



The American Institute for Contemporary German Studies
The Johns Hopkins University

Annual Report 2001



Creating Resources for the German-American Dialogue



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Letter From The Co-Chairmen



Fred H. Langhammer

Co-Chairman



Eugene A. Sekulow

Co-Chairman

We are pleased to present the 2001 Annual Report of the American Institute for Contemporary German Studies (AICGS). Affiliated with the Johns Hopkins University, where it was established 18 years ago as a center for academic research of the politics, economics, society and culture of Germany, AICGS continues its important work as a unique institution by providing nonpartisan research and analysis of issues that concern both Germany and the United States.

Considering the introduction of one currency in all of Europe on January 1, 2002 and the effect it will have on Europe and its partners, as well as the preparation for expansion of the EU and NATO, a thorough analysis and in-depth understanding of Germany as a key player remains a high priority for the United States. We also believe that following the tragedies that occurred in September 2001, it will be crucial for the United States and Germany to continue their important partnership. AICGS will strive to contribute to that effort.

The programs of AICGS support independent, scholarly research on issues of critical significance to Germany and the United States. This research is also of a practical and readily applicable nature. The Institute organizes high-level conferences, workshops and study groups, identifies young scholars with an expertise on these issues, and publishes seminal papers and books. With this important work, AICGS will remain the outstanding institution of German Studies on this side of the Atlantic.

As our first year as Co-Chairmen has ended, we are confident that the work of the Institute will continue to contribute to the German-American dialogue. The support of many corporations, foundations and individuals in Germany and the United States makes this possible. We are deeply grateful to them and look forward to another year of cooperation. Special appreciation goes to the members of the Institute's Board of Trustees, who we can confidently count on in our mission to strengthen the ties between Germany and the United States.

A handwritten signature in black ink that reads "H. Langhammer".

Fred H. Langhammer

Co-Chairman

A handwritten signature in black ink that reads "E. Sekulow".

Eugene A. Sekulow

Co-Chairman

Letter from the Executive Director

A controversial presidential election in the United States and a panic in Germany over mad cow disease marked the beginning of 2001. The year ended with a very different set of issues on the agenda in Washington and Berlin in the wake of the attacks in New York and Washington and the response to those attacks in Afghanistan. Germany's response to September 11 was at once a firm affirmation of the German-American partnership in the effort to defeat global terrorism, reflected in the initiatives Germany took in political, economic and defense policies. Yet, there was also a nation-wide sharing of the grief felt by Americans in those days and weeks after the attacks and a determination to respond together that underscored the strong foundation of German-American societal relations.

In early 2001, the Institute issued a report on German-American relations directed at the new administration in Washington. In that report, we described several challenges and opportunities that we felt were going to determine the agenda of German-American relations. We cited potential differences between Germany and the United States over climate control policies, energy production, missile defense, relations with Russia, and trade. September 11 rearranged the priorities for the rest of the year but many of those pre-September 11 problems addressed in our report are still with us, along with the new challenges we face. Our agenda in 2002 will continue to be shaped by them.

During the first half of the year, the Institute sponsored conferences and research on German and American defense policies, peace making and peacekeeping in the Balkans, transatlantic foreign direct investment, globalization, and trade developments. A decade after unification, we also looked at the continuing adjustments Germany is facing in its institutions and policies and within its society. During the second half, we examined German and American responses to global terrorism, the impact of the economic recession in both countries and the adjustments being made within two of the key institutions of importance to the United States and Germany, NATO and the European Union. All of these issues and challenges are interdependent and require continuous and comprehensive examination in order to provide a better understanding of the choices Germany and the United States face in responding to them. With that goal in mind, AICGS draws on its multidimensional programs to offer our audiences the best research and analysis available for the German-American dialogue. I wish to express my appreciation to all our supporters, individuals, corporations and foundations for their continuing commitment to the mission and work of AICGS and my thanks to the many scholars, specialists and experts whose contributions mark that work with excellence.



Dr. Jackson Janes
Executive Director



Dr. Jackson Janes
Executive Director

Mission Statement

The American Institute for Contemporary German Studies (AICGS) is a center for nonpartisan, advanced research, study and discourse relating to the Federal Republic of Germany: its politics, economy, culture, and society. Founded in 1983, AICGS has been a premier source of research and analysis for the policymaking and policy-advising communities in the public and private sectors. Drawing on an international network of scholars and specialists, the Institute has consistently generated in-depth, nonpartisan assessments of Germany's policy choices and developments and their impact on the transatlantic dialogue.

Affiliated with the Johns Hopkins University, AICGS provides a comprehensive program of public fora, policy studies, research and study groups designed to enrich the political, corporate and scholarly constituencies it serves.

"The American Institute for Contemporary German Studies deserves special recognition for its invaluable work, which strengthens the ties between the United States and Germany."

Colin L. Powell
U.S. Secretary of State

"I greatly admire and appreciate AICGS' tremendous contribution to the study and the deepening of U.S.-German relations. This relationship stands among the most powerful pillars of the Euro-Atlantic community - a fact so movingly demonstrated by the sympathy and support expressed so spontaneously by the German people in the wake of the September 11th tragedies in New York and Washington."

Jesse Helms
United States Senate
Committee of Foreign Relations

The Historical Roots of AICGS

In 1983, a small group of Americans perceived the need in this country for an intellectually rigorous, non-partisan and independent institution capable of focused and sustained analytical attention to contemporary Germany, both East and West. A few executives of leading German banks and corporations with long-standing interests in the U.S. shared their conviction. Together, they conceived the American Institute for Contemporary German Studies.

During its initial evolution, AICGS carved out a respected niche as a forum for lively discussion and careful analysis of German developments. In the late 1980s, AICGS developed conferences important to the overall American-German relationship. Its first annual conference in 1987 dealt with the *Bundeswehr*, the West German military forces. Subsequent conference themes were on the German constitution, transatlantic relations in transition, and German immigration issues.

Germany's rush to unification in 1989 and 1990 dramatically highlighted the importance of the Institute's central purpose – to study and interpret for Americans a country that had suddenly become the largest in Europe. The Institute responded to the challenges of the unification years by substantially expanding its seminar and lecture series. Today the Institute focuses on issues related to its three major programs: the Politics and Foreign Policy Program, the Economic Studies Program, and the Harry and Helen Gray Humanities Program.

During the last decade, AICGS has become a unique research center whose work impacts the scholarly and policy debates in both the United States and Germany. In the past two years, AICGS has conducted a series of study groups that emphasize its work on policy-relevant issues.

AICGS Programs

The restructuring of the Research Program at AICGS has been very successful in developing study groups that bring a broad array of expertise to bear on policy-relevant issues. Government officials, journalists, academics, and policy experts participate as members of the study groups and as experts invited to contribute to the groups' deliberations, both in small workshops and in conferences. Research efforts are further enhanced by the participation of research fellows resident at AICGS in the various study groups. Policy briefings and the Institute's traditional platform of public debates and discussions are organized to present the findings to the policy community and to encourage an informed dialogue on issues central to the German-American and U.S.-European agenda. The findings are published in a written report as well as a web document on the AICGS web-site.

Earlier study groups have focused on setting a new German-American agenda in the wake of a new American administration, on German military reform, and a comparative look at the New Economy in Germany and in the United States. The 2001 study groups, which will set the agenda for 2002 as well, have focused on Russia, European integration, and immigration. An important element of the Research Program's outreach activities is the Institute's web-site and *The AICGS Advisor*, a bi-monthly electronic newsletter, which continue to provide a growing and diverse constituency with solid research and analysis of issues central to German-American relations.

To assist in the administration of these research efforts, a new Director of Studies position was established. AICGS is pleased to have Professor Jeffrey Anderson join the Institute as its first Director of Studies.



Joschka Fischer
Foreign Minister,
Federal Republic of Germany



AICGS Advisory Council

Chaired by Dr. Stephen Szabo, Acting Dean, School of Advanced International Studies, the Johns Hopkins University, the Advisory Council continues to provide support and advice to the Institute's programs and initiatives. Represented are various academic disciplines and areas within the policymaking community as well as the private sector. In addition to their advisory role, members also contribute to the intellectual dialogue at AICGS by contributing articles to *The AICGS Advisor*, participating in events and study groups, and contributing to AICGS publications.



Advisory Council Members

Prof. Angela Stent

Georgetown University and AICGS
Advisory Council Member

- Stephen Szabo (Chair), Acting Dean for Academic Affairs, SAIS, the Johns Hopkins University
- Leslie Adelson, Department of German Studies, Cornell University
- Christopher S. Allen, Associate Professor, Department of Political Science, University of Georgia
- Jeffrey Anderson, Director of Studies, AICGS (ex officio)
- David B. Audretsch, Director, Institute for Development Studies, Indiana University
- Clayton Clemens, Associate Professor, Department of Government, College of William and Mary
- James Cooney, Executive Director, Weatherhead Center for International Affairs, Harvard University
- Marion Deshmukh, Chair, Department of History, George Mason University
- Karen Donfried, Director, Foreign Policy, The German Marshall Fund of the United States
- Claudia Dziobek, Senior Economist, IMF
- Barry Eichengreen, Professor, Department of Economics, University of California-Berkeley
- Philip H. Gordon, Director, Centre on the United States and France, The Brookings Institution
- Jackson Janes, Executive Director, AICGS (ex officio)
- Karin Johnston, Research Associate, AICGS (ex officio)
- Stephen Kobrin, Director, Joseph H. Lauder Institute, The Wharton School, University of Pennsylvania
- Susanne Lohmann, Associate Professor, Department of Political Science, University of California, Los Angeles
- Andrei S. Markovits, Professor of Politics, University of Michigan
- Gebhard Schweigler, National War College
- Stephen Silvia, Associate Professor, School of International Service, American University
- Angela Stent, School of Foreign Service, Georgetown University
- Jeffrey Thinnnes, JTI Marketing & Communications, Inc.

Politics and Foreign Policy Program

The past year has seen the Politics and Foreign Policy Program successfully integrate the work of AICGS study groups, research fellows, and Advisory Council members. In addition to the new security and New Economy study groups, projects on the changing face of Europe, Russia, migration, and terrorism are taking shape. The Politics and Foreign Policy Program will continue to serve as a valuable resource for policymakers and academics in the United States, Germany, and beyond.

Study Group on Redefining German Security

The study group on Redefining German Security, supported by a generous grant from The German Marshall Fund of the United States, spent the last year examining the challenges and pressures in the European security environment over the last decade, concentrating primarily on the issues and implications of the German government's efforts at reforming the *Bundeswehr*. The study group organized workshops in October 2000 in Berlin and in March 2001 in Washington D.C., meeting with journalists, politicians, policy experts, and academics. AICGS published the findings in a publication titled "Redefining German Security: Prospects for *Bundeswehr* Reform," which appeared in September 2001. The findings were also presented during a briefing at the National Press Club in Washington, D.C. on September 28, 2001.



Dr. Esther Brimmer

AICGS-DAAD Fellow

Study Group on Russia

Professor Angela Stent, former member of the Policy Planning Staff, U.S. Department of State, and currently Director of the Center for Russian and Eurasian Studies at Georgetown University, is piloting a new AICGS study group on Russia. The project will address the topic of Russia's relationship to Europe and how we can engage more productively a Russia that remains ambivalent about its participation in European structures and initiatives. One of the key elements of the project is to look at the complex interdependencies that are emerging between "old" and "new" security challenges and how the United States and Europe can deal with these challenges on the basis of a new, positive agenda for Russia and the West. A workshop was held in December 2001. Further workshops and a publication are planned for 2002. The study group has received funding from The German Marshall Fund of the United States and the German Academic Exchange Service.

Study Group on the Changing Face of Europe

The changing face of Europe is another AICGS study group project. Led by Dr. John van Oudenaren, Director of the European Division of the Library of Congress, the project focuses on the EU enlargement process and the issues that may influence the course and outcome of that process: NATO enlargement, Russia and the Baltic countries' candidacy for membership, Cyprus and Turkey, ESDP, and EU internal structural reform issues. The study group, which is funded by the *Bundesministerium für Wirtschaft und Technologie, Bereich ERP-Sondervermögen* and The German Marshall Fund of the United States, will meet with experts in Washington D.C., Berlin, and Brussels, with a final conference in Prague. A publication is planned for fall 2002.

Economic Studies Program

New Economy Study Group

Led by Dr. David Audretsch, Indiana University, the New Economy study group evaluated the role of the New Economy in Germany and the United States. The primary focus of this project was to identify and compare how Germany and the United States have responded to the challenges of the New Economy, what policy measures will promote the New Economy, and how these changes fit into the larger context of the global economy. The study group met in October 2000 at Indiana University in Bloomington and in April 2001 in Washington D.C. The study group's findings are summarized in the publication titled "The New Economy in Germany and the United States: Policy Challenges and Solutions" that appeared in late fall 2001. The project will feed into future projects on the New Economy.

Harry & Helen Gray Humanities Program

This past year, the Harry and Helen Gray Humanities Program continued to expand the Institute's expertise in comparative cultural studies between the United States and Germany. A new study group, which concentrates on current transformations in culture and society on both sides of the Atlantic, had its first meeting in February 2001 in Berlin. German and American experts who participated in the initial meeting determined the research agendas and investigative approaches the group will pursue. Further meetings and workshops of the study group will continue in 2002.

Workshops

A major assessment of the state of the German and American women's movements in the beginning of the twenty-first century was the goal of the workshop "Feminist Movements in a Globalizing World: German and American Experiences" on May 18, 2001. Well-known scholars and representatives of German and American feminist groups examined the impact of the new Bush administration on the situation of American women and analyzed the state of the women's movement in eastern and western Germany under the auspices of globalization.

Berlin writer and journalist Dr. Rita Kuczynski, AICGS writer-in-residence and DAAD-AICGS Fellow in fall 2001, discussed discrepancies of intellectual and cultural integration for eastern and western authors since unification. Her seminar entitled "Berlin: A Divided Literary Heaven? An (East) German Writer as (West) German Citizen" was held on September 20, 2001.

Publications

- Whose Brain Drain? Immigrant Scholars and American Views of Germany (Volume 9)
- Does Euroland Have a Culture? An American-European Exchange (Volume 10)



Ernst Welteke
President of Deutsche
Bundesbank



Prof. Frank Trommler
University of Pennsylvania
and Chairman, AICGS Humanities
Program

2001 AICGS Fellowship Programs

At AICGS, fellows from the United States and Europe have the opportunity to conduct research in Washington, D.C. to engage in discussions with fellow scholars at the Institute and with scholars and policy experts in the Washington vicinity, and to share the results of their research through AICGS-sponsored workshops and publications.

DaimlerChrysler-Fonds im Stifterverband für die Deutsche Wissenschaft

The *DaimlerChrysler-Fonds im Stifterverband für die Deutsche Wissenschaft* Fellowship is designed to bring senior scholars and specialists working on Germany to AICGS for stays of 3-12 months. The current and future topical foci of the fellowship include transatlantic economic relations and the evolution of the New Economy in the United States and Germany.

Directed by AICGS Senior Fellow Dr. Lily Gardner Feldman, the inaugural project of the DaimlerChrysler Fellowship titled “Cooperation or Competition? The United States, the European Union, and Germany in the Balkans” culminated in an international conference held on April 26-28, 2001. Scholars and representatives of the governments of the U.S., the European Union, and Germany participated and contributed to a greater understanding of the limits of cooperation. The identification and understanding of the various actors in the Balkans will move the United States and the European Union closer to presenting a unified stand and will deepen the transatlantic relationship. A German Issues publication integrating the political, economic, and military dimensions of the project appeared in late fall 2001.

Dr. Daniel Hamilton, former Deputy Assistant Secretary for European Affairs, U.S. Department of State, continued the DaimlerChrysler fellowship with his project titled “German and American Perspectives on the New Strategic Landscape: Building a Coalition against International Terrorism.” The coordinated wave of terrorist attacks on U.S. soil on September 11 underscored with dramatic effect the reality of seismic changes in the strategic environment confronting the transatlantic partnership. Despite the end of the cold war and the demise of the Soviet Union, new threats have emerged—the acceleration of new technologies, the proliferation of weapons of mass destruction, and the growing ability of individuals, groups, and states to deliver such weapons—and together they raise serious and troubling questions about the foreign policy premises and defense priorities of the United States and its NATO allies, particularly Germany. The project places special emphasis on the implications for military, economic, and societal cooperation between Germany and the United States. A small group of strategic thinkers, former government officials, and scholars have been asked to prepare and present short papers on specific aspects of the debate. An intensive brainstorming session took place in October 2001 followed by a workshop in November 2001. A final policy briefing based on the papers will be held in early 2002.



Dr. Lily Gardner Feldman

DAAD-AICGS Senior Fellow

Economic Studies Program Visiting Scholar

Dr. Ullrich Heilemann, Vice President of the *Rheinisch-Westfälisches Institut für Wirtschaftsforschung* in Essen and Professor of Economics at Duisburg University, was in residence at AICGS in August 2001. Dr. Heilemann has written on the impact of energy market liberalization and on the relationship between migration and labor market policies, in both cases with special reference to the Federal Republic of Germany. During his stay at AICGS, Dr. Heilemann continued his research project on migration.

2001 AICGS Fellowship Programs

Robert Bosch Foundation Research Scholars Program in Comparative Public Policy and Institutions

This program offers two in-residence fellowships for candidates from German and American institutions working in the fields of economics, political science, business, sociology, and interdisciplinary studies. The fellows in residence in 2001 were:

- Prof. Maria Green Cowles, American University

Dr. Cowles completed her project on the Global Business Dialogue on e-Commerce (GBDe). The project examined how business concerns of e-commerce firms were translated into an institutionalized dialogue with corporate representatives from all over the world. Dr. Cowles' publication titled "Who are the Rule-Makers of E-Commerce: The Case of the Global Business Dialogue on e-Commerce" appeared in June 2001.

- Prof. Julie Ann Elston, University of Central Florida

Dr. Elston focused her research on "The Relationship between Firm Size and Growth in *Neuer Markt* Firms." She was asked to participate in the AICGS New Economy study group and contributed to a study group workshop on April 20, 2001. She also conducted research at the *Bundesbank* during the summer of 2001. A workshop on the New Economy in Germany took place in November 2001. A publication summarizing Prof. Elston's research appeared in fall 2001.



Prof. Maria Green Cowles

Robert Bosch Foundation
Research Scholar



Prof. Lloyd Gruber

Robert Bosch Foundation
Research Scholar

- Prof. Matthias Bank, Universität Erlangen-Nürnberg

Dr. Bank focused on "The New Economy in Germany: Lessons from High Tech Firms Listed on the *Neuer Markt*." Dr. Bank also was asked to participate in the AICGS New Economy workshop. The findings of his research will be published in early 2002.



Prof. Matthias Bank

Robert Bosch Foundation
Research Scholar

- Prof. Lloyd Gruber, University of Chicago

Dr. Gruber's research focused on "Dis-Integration: The Politics of Economic Self-Determination in Germany, Europe and Beyond." A workshop on domestic responses to globalization was held in October 2001 and resulted in a publication.

- Dr. Ines Hartwig, European Institute of Public Administration (Maastricht) Dr. Hartwig's research concentrates on "Different Modes of Governance in Promoting Employment." A workshop and publication are planned for early spring 2002.

GAAC/NEH/AICGS/GHI Fellowship in Continuity, Change, and Globalization in Postwar Germany

This fellowship is supported by AICGS, the former German-American Academic Council, now the Ministry for Education and Research (BMBF), the National Endowment for the Humanities, and the German Historical Institute. Its main goal is to pursue and enhance cooperation between German and American scholars.

Dr. Patrice Poutrus, *Zentrum für Zeithistorische Forschung* in Potsdam, organized a workshop in July 2001 on "Anti-Americanism in the Twentieth Century." Dr. Philipp Löser, Universität Göttingen, will be a fellow under this program at AICGS until spring 2002. His research focuses on tracing the slow transnationalization of German literature in the twentieth century, adapting the theories of transnationalization, globalization, and localization from sociology, the sociology of literature, and cultural studies. Dr. Alexander Freund started his fellowship in October 2001 and will be in residence at AICGS until spring 2002. His project is titled "Dealing with the Past Abroad: German Immigrants' *Vergangenheitsbewältigung* and their Relations with Jews in North America since 1945." Both fellows will present their research findings in a workshop in 2002.

DAAD-AICGS Fellowship

The Institute is pleased to announce the establishment of a new AICGS Research Fellowship Program, funded by a generous grant from the *Deutscher Akademischer Austauschdienst* (DAAD), which is designed to bring scholars and specialists working on Germany, Europe, and/or transatlantic relations to AICGS for stays of 1-6 months. Fellows work on topics that address one or more of the research areas currently given emphasis by the Institute: transatlantic economic relations; enlargement of the European Union; security issues linking the United States, Germany, and Russia; the evolution of the "New Economy" in the United States and Germany; migration policy; and cultural or value conflicts between America and Germany/Europe.

Mr. Christoph Schwegmann of the *Stiftung Wissenschaft und Politik* (SWP), Berlin's research unit "Foreign and Atlantic Security," and Ph.D. candidate in Political Sciences at the University of Mannheim, was in residence at the Institute in spring 2001. While at AICGS, Mr. Schwegmann focused on the U.S. perspectives on the Balkan Contact Group and the future of transatlantic cooperation in European security matters. He also



Dr. Ines Hartwig

Robert Bosch Foundation
Research Scholar



Dr. Patrice Poutrus

GAAC/NEH/AICGS/GHI Fellow

participated in the final conference of the AICGS/DaimlerChrysler Balkans project "Cooperation or Competition? The United States, the European Union, and Germany in the Balkans" in April 2001.

Dr. Rita Kuczynski, a writer and poet from eastern Germany, was at the Institute in fall 2001. She continued her writing while in Washington, D.C. and presented her work at the Institute and also at major German departments at universities throughout the east coast.

Dr. Lily Gardner Feldman, AICGS Senior Fellow, will continue her research at AICGS with a new project titled "Principle and Practice of Reconciliation in German Foreign Policy." The project will focus on the issues surrounding reconciliation in the Balkans.

Journalists in Residence at AICGS

The joint program between AICGS and the *Georg von Holtzbrinck Schule für Wirtschaftsjournalisten* in Düsseldorf finished its second year. Established to bring young German journalists to Washington for a two-month residence, AICGS was pleased to have Ms. Klaudia Prevezanos and Mr. Dirk Cohausz at the Institute conducting research on issues pertaining to contemporary Germany, such as the creation of the ver.di Union, right-wing radicalism, and the preparations of the major political parties for the upcoming German elections. Several of their articles were posted on the AICGS web-site.



Dr. Rita Kuczynski
AICGS-DAAD Fellow

Public Affairs Program

Throughout the year, the Institute hosted numerous experts within the framework of roundtable discussions or conferences. Representatives of political parties, experts on economic and security issues, and specialists on constitutional developments participated in programs that were sponsored by *Verlagsgruppe Handelsblatt*, by *Die Zeit Stiftung Ebelin und Gerd Bucerius*, Lufthansa German Airlines and *Deutsche Bank AG*. More than a decade after unification, the Institute organized a conference which focused on the constitutional and legal challenges still very much part of the domestic debates over institutional reforms in Germany. Another conference dealt with the transatlantic security debates over missile defense and arms control. Additional meetings dealt with new trends in German-American trade relations and the projected impact of the euro on fiscal and monetary policy in Germany.

Publications in 2001

- Parliamentary Parties in the German Bundestag, by Suzanne S. Schuettemeyer
- Redefining German Security: Prospects for *Bundeswehr* Reform
- Cooperation or Competition? American, European Union and German Policies in the Balkans, edited by Lily Gardner Feldman
- Does Euroland have a Culture? An American-European Exchange, edited by Paul Michael Luetzeler
- Creating a Transatlantic Marketplace: Government Policies and Business Strategies, by Michelle Egan
- Strategic Aspects of The Global Campaign Against Terrorism and Some Political Military Implications: A German View, by Ulrich Weisser
- Privacy and Other Civil Liberties in The United States after September 11, by Fred Cate
- The Role of Economic Sanctions in Combating International Terrorism (and its Place in the Transatlantic Alliance), by Jonathan M. Winer
- A New Transatlantic Strategy for Terrorism and Asymmetric Warfare, by Anthony H. Cordesman
- Fighting Terrorism in Germany, by Klaus Jansen
- Speech on Terrorism, November 29, 2001, by James Woolsey
- Combating the Terrorist-Criminal Nexus, by Jens van Scherpenberg
- What's New About the New Economy? An Explanation of Firm Size, Growth, and Liquidity, by Julie Ann Elston
- Globalization and Political Conflict: The Long-term Prognosis, by Loyd Gruber
- Who Writes the Rules of E-Commerce? A Case Study of the Global Business Dialogue on E-Commerce (GBDe), by Maria Green Cowles
- Redefining European Security? Insights from United States, European Union, and German Assistance to the Russian Federation 1991-2000, by Esther Brimmer
- The New Economy in Germany and The United States: Policy Challenges and Solutions, AICGS New Economy Working Group

AICGS on The Web

With over 40,000 pages viewed regularly each month, the AICGS web-site is a valued resource for those with an interest in Germany and the transatlantic dialogue. The Institute's web-site is the main vehicle for AICGS' outreach and dissemination of its publications. Visitors to the AICGS web-site can find comprehensive information about AICGS projects, programs, publications and events, as well as an up-to-date assessment of election results and reports on developments in political and economic arenas and their influence on Germany and American policies toward Germany.

AICGS sends out a fortnightly electronic newsletter by e-mail entitled *The AICGS Advisor*. *The AICGS Advisor* highlights recent Institute events and provides subscribers with commentary on important issues in the German-American agenda. This important resource provides news and information to thousands of subscribers around the globe.

Over the past year AICGS placed most of its publications on its web-site in Adobe Acrobat® format for visitors to download. This archive of current and past publications has become the most popular segment of the AICGS web-site. Circa 5,000 copies of various AICGS publications are downloaded each month.



www.aicgs.org

AICGS allows its donors to support the Institute by sending in their pledges via the web. This feature also gives donors the ease of donating by credit card using secure, encrypted on-line technology.

The AICGS web-site continues to offer a wide variety of audio features which can be listened to in streaming format. These pieces feature interviews, conference seminar discussions or even speeches by prominent experts in their fields while at AICGS.

Annual Global Leadership Award Dinner

The annual Global Leadership Award honors a German business or political figure in recognition of his or her outstanding contributions to enriching relationships between Germany, the European community, and the United States through business, educational, and cultural pursuits. The 2001 award was given to Dr. Rolf-E. Breuer, Spokesman of the Board of Managing Directors, Deutsche Bank Group, on Tuesday, October 23, 2001. At the Award Dinner, the Institute also presented its annual DAAD (German Academic Exchange Service) Prize for Distinguished Scholarship in German Studies with an emphasis on economics to Professor Jennifer Hunt of the University of Montreal.



Dr. Rolf-E. Breuer, Deutsche Bank Group, with AICGS Trustees The Honorable Robert M. Kimmitt, AOL Time Warner, and The Honorable John C. Kornblum, Lazard & Co. GmbH.



Paul Volcker, former Chairman of the Federal Reserve System, Rolf-E. Breuer, Deutsche Bank Group, and Larry Whittemore, Brown Brothers Harriman and AICGS Trustee.



AICGS Executive Director Jackson Janes with AICGS Trustees David Detjen, Alston & Bird, and Claus Halle, The Halle Foundation.



The Honorable Ischmar Schorsch and Ronaldo Schmitz, Deutsche Bank Group and AICGS Trustee.



*The Honorable Wolfgang Ischinger,
German Ambassador to the U.S.*



*The Honorable Dieter Kastrup, Permanent Representative of
the German Mission to the United Nations.*



*Fred H. Langhammer, Estée Lauder and Co-Chairman of
AICGS Board of Trustees, and Guenther Greiner,
International Corporate Consultancy LLC and AICGS Trustee.*



*Dr. Christian Bode, DAAD General Secretary, and Dr. Steven
Muller, Co-Chairman Emeritus of AICGS Board of Trustees.*



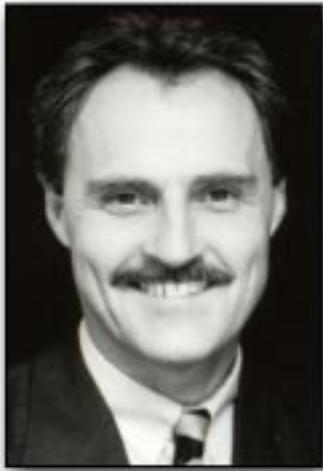
*Professor Jennifer Hunt, University of Montreal,
accepting the DAAD Prize for Distinguished Scholarship in
German Studies.*



Global Leadership Award Dinner



AICGS Associates' Program



Stefan Baron

Editor-in-Chief, *Wirtschaftswoche*,
and AICGS Trustee

The Associates' Program promotes an active partnership between the Institute and corporate sponsors who are interested in contemporary Germany. The program provides members with rare access to leading experts and decision makers from Germany's political, financial, business, and media communities. Associates Program events take place regularly in Washington D.C. and New York City. Members also receive *The Associates' Advisor*, which is a quarterly newsletter offering forthright and independent analysis of recent developments in Germany. The program is designed to help its members anticipate the agenda of German-American relations both politically and economically, and to gain insights that may directly affect their international strategies.



Dr. Eckhard Wurzel

Head of the Desk for
Germany and Austria, OECD

Speakers at 2001 Associates Program Events

- Kurt Biedenkopf, Minister President of Saxony
- Dieter Roth, Elections Research Group, Mannheim
- Kurt Viermetz, Chairman of the Supervisory Board, HypoVereinsbank
- Norbert Walter, Managing Director of Deutsche Bank Research and Chief Economist of Deutsche Bank Group
- Ernst Welteke, President of *Deutsche Bundesbank*
- Eckhard Wurzel, Head of the Desk for Germany and Austria, OECD

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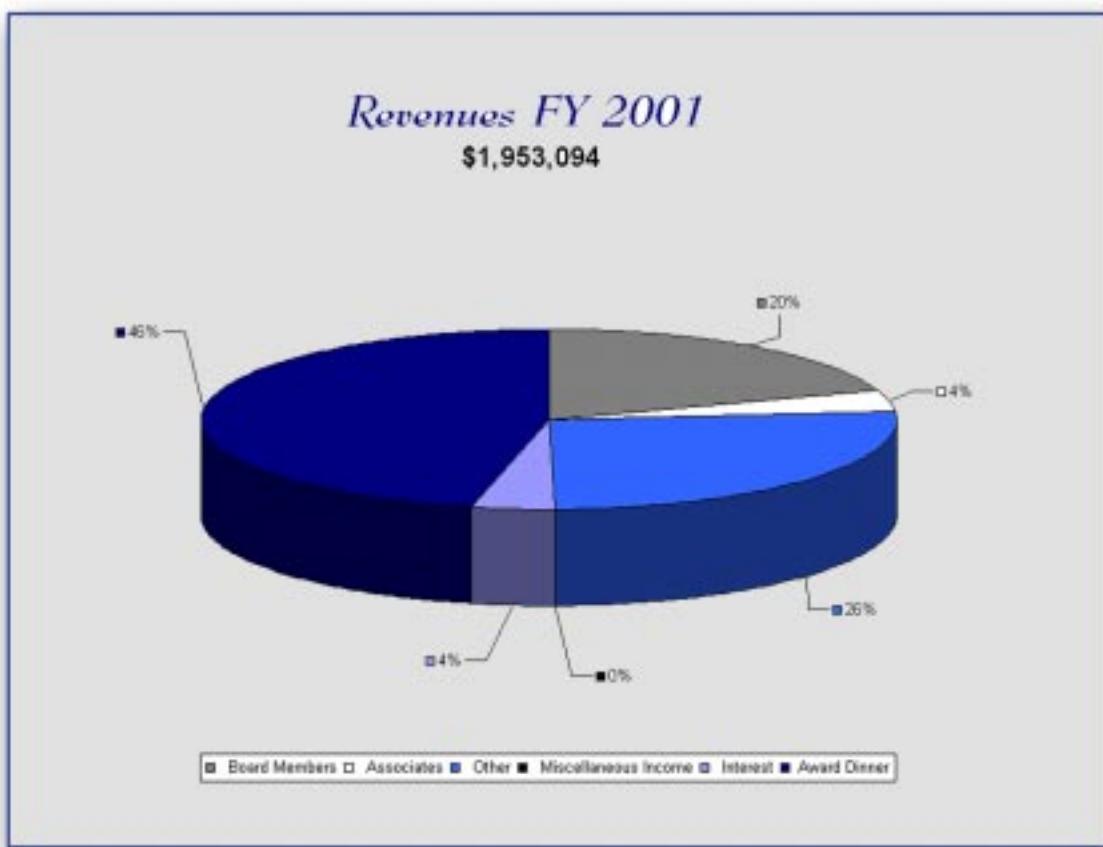


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During the last several years, AICGS has used its annual surplus to generate a growing discretionary endowment, currently valued at \$1.6 million. The Institute is extremely grateful to its donors, listed on the following pages, who contributed almost \$2 million during the past fiscal year. Most of the Institute's support comes from the annual Global Leadership Award Dinner, foundations and members of the Board of Trustees and their respective firms.



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Fiscal Year 2001 Donor List

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*The American Institute for Contemporary German Studies
At the Johns Hopkins University, Washington, D.C.*

Statement of Financial Position

June 30, 2001 and 2000

	<u>2001</u>	<u>2000</u>
Assets		
Cash and cash equivalents	\$ 740,770	\$ 506,613
Pledge receivables	29,500	29,000
Other receivables	12,521	63,105
Prepaid expenses and other assets	4,348	2,243
Furniture and equipment, less accumulated depreciation of \$103,009 in 2001 and \$138,754 in 2000	20,160	18,032
Investments, at fair value	1,635,218	1,611,336
Total assets	<hr/> 2,442,517	<hr/> 2,230,329
Liabilities and Net Assets		
Liabilities		
Accounts payable	32,970	106,338
Net Assets		
Unrestricted - undesignated	420,016	234,366
Unrestricted - board designated endowments	<hr/> 1,585,218	<hr/> 1,561,335
Total unrestricted	<hr/> 2,005,234	<hr/> 1,795,701
Temporarily restricted	404,313	328,290
Total net assets	<hr/> 2,409,547	<hr/> 2,123,991
Total liabilities and net assets	<hr/> <u>\$ 2,442,517</u>	<hr/> <u>\$ 2,230,329</u>

*The American Institute for Contemporary German Studies
 At the Johns Hopkins University, Washington, D.C.*

Statement of Activities

For The Years Ended June 30, 2001 and 2000

	2001			2000
	Unrestricted	Temporarily Restricted	Total	Total
Revenue				
Contributions and grants	\$ 1,315,253	\$ 559,567	\$ 1,874,820	\$ 1,116,074
Interest	21,828	55,008	76,835	73,631
Other revenues	1,082	357	1,439	4,004
Realized and unrealized appreciation of investments reported at fair value	(76,118)		(76,118)	100,523
Net assets released from restrictions:				
Satisfaction of program restrictions	535,257	(535,257)	-	-
Satisfaction of administrative allowance restrictions	3,651	(3,651)	-	-
Total revenues	1,800,953	76,023	1,876,976	1,294,232
Expenditures				
Program Expenditures				
GAAC/NEH/DHI Fellowship	29,614		29,614	24,578
German Issues Publication	20,079		20,079	7,556
Thyssen Globalization Project	8,456		8,456	38,181
RIAS	-		-	4,653
Economic Studies Program	63,106		63,106	55,730
Bosch Fellowship	122,799		122,799	84,838
DaimlerChrysler Program	172,190		172,190	54,726
Information Resource Center	127,977		127,977	129,678
German Marshal Fund Seminars	184,634		184,634	126,368
Humanities Program	81,731		81,731	90,947
Telecommunications Program	38,091		38,091	48,051
Die Zeit Conference	39,124		39,124	-
Deutsche Bank Econ Roundtables	20,936		20,936	17,268
DAAD Fellowship	21,424		21,424	-
Handelsblatt Grant	19		19	-
German Grant	-		-	128,371
Total program expenses	930,180	-	930,180	810,945
Fund Raising				
Annual Award Dinner	221,726		221,726	110,892
Other fund raising expenditures	184,415		184,415	117,375
Total Fundraising	406,141		406,141	228,267
Management and general				
Total expenditures	1,591,420	-	1,591,420	1,315,047
Change in net assets	209,533	76,023	285,557	(20,815)
Net assets at beginning of year	1,795,701	328,290	2,123,991	2,144,806
Net assets at end of year	\$ 2,005,234	\$ 404,313	\$ 2,409,547	\$ 2,123,991

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Brief der Aufsichtsratsvorsitzenden

Wir freuen uns, Ihnen den Jahresbericht 2001 des American Institute for Contemporary German Studies (AICGS) präsentieren zu können. Angeschlossen an die Johns Hopkins University, wo das Institut vor achtzehn Jahren als Zentrum für akademische Forschung der Politik, Wirtschaft, Gesellschaft und Kultur Deutschlands gegründet wurde, führt AICGS seine wichtige Arbeit als einmalige Institution fort, indem es parteilose Forschung und Analyse von Themen betreibt, die sowohl Deutschland als auch die Vereinigten Staaten betreffen.

Im Hinblick auf die Einführung der europäischen Einheitswährung am 1. Januar 2002, deren Auswirkungen auf Europa und ihre Partner, sowie die Vorbereitungen zur Ausweitung der Europäischen Union und NATO, bleibt es weiterhin eine Priorität für die Vereinigten Staaten, Deutschland als Schlüsselfigur in diesen Entwicklungen zu erforschen und zu verstehen. Wir sind auch der Meinung, dass nach den Tragödien des 11. September 2001 die Vereinigten Staaten und Deutschland ihr enges Verhältnis aufrechterhalten müssen. AICGS wird zu diesen Bemühungen einen wichtigen Beitrag leisten.

Die Programme des AICGS unterstützen die unabhängige, wissenschaftliche Forschung von Themen, die für Deutschland und die Vereinigten Staaten äußerst relevant sind. Die Forschungen sind auch von praktischer und anwendbarer Natur. Das Institut organisiert anspruchsvolle Konferenzen, Seminare und *Study Groups*, rekrutiert junge Wissenschaftler mit Expertisen zu diesen Themen und publiziert Seminarberichte und Bücher. Durch diese wichtige Arbeit wird das AICGS eine bedeutende Institution für deutsche Studien auf dieser Seite des Atlantiks bleiben.

Nach unserem ersten Jahr als Aufsichtsratsvorsitzende sind wir der Überzeugung, dass die Arbeit des Instituts weiterhin zum deutsch-amerikanischen Dialog beitragen wird. Die Unterstützung vieler Firmen, Stiftungen und privater Personen in Deutschland und den Vereinigten Staaten macht dies möglich. Wir sind ihnen äußerst dankbar und freuen uns auf weitere Zusammenarbeit im nächsten Jahr. Ein besonderer Dank geht an die Mitglieder des AICGS Aufsichtsrats, auf die wir mit Vertrauen zählen können in unserem Ziel, die Verbindungen zwischen den Vereinigten Staaten und Deutschland zu stärken.



Fred H. Langhammer
Aufsichtsratsvorsitzender



Eugene A. Sekulow
Aufsichtsratsvorsitzender

Brief des Direktors

Das Jahr 2001 begann mit einer umstrittenen Präsidentschaftswahl in den USA und einer durch die BSE-Verseuchung hervorgerufenen Krise in Deutschland. Das Jahr endete aufgrund der Terroranschläge am 11. September auf New York und Washington und den Konsequenzen dieser Angriffe für Afghanistan mit einer Reihe ganz anderer Themen auf der Tagesordnung in Washington und Berlin. Deutschlands Reaktion auf die Anschläge des 11. September ist eine Bestätigung der deutsch-amerikanischen Partnerschaft. Die politischen, wirtschaftlichen und verteidigungspolitischen Massnahmen, die Deutschland ergriffen hat, machen das gemeinsame Ziel, den internationalen Terrorismus zu besiegen, deutlich. Gleichzeitig gab es auch eine bundesweite Sympathie für das Leid, das die Amerikaner in diesen Tagen und Wochen nach den Angriffen empfanden, ebenso wie die Bereitschaft, gemeinsam zu reagieren, wodurch das starke Fundament der deutsch-amerikanischen gesellschaftlichen Beziehungen unterstrichen wurde.

Zu Beginn des Jahres 2001 verfasste das Institut einen Bericht zu den deutsch-amerikanischen Beziehungen, der an die neue Regierung in Washington gerichtet war. In diesem Bericht werden verschiedene Herausforderungen und Gelegenheiten beschrieben, die unserer Meinung nach die Beziehungen der beiden Staaten bestimmen. Wir beschreiben potentielle Differenzen zwischen Deutschland und den Vereinigten Staaten über Themen wie Klimakontrolle, Energieproduktion, Raketenabwehrsysteme, Beziehungen zu Russland und dem Handel. Der 11. September hat einige dieser Prioritäten verschoben, aber viele davon sind noch immer aktuell. Unsere Arbeit im Jahr 2002 wird von diesen Themen und den neuen Herausforderungen nach den Ereignissen des 11. September geprägt sein.

In der ersten Hälfte des Jahres organisierte das Institut Konferenzen und unterstützte Forschungen zur deutschen und amerikanischen Verteidigungspolitik, zu den Friedensbemühungen im Balkan, zu transatlantischen Auslandsinvestitionen, zur Globalisierung und zu den Handelsentwicklungen. Anlässlich des zehnten Jahrestages der Wiedervereinigung untersuchten wir die institutionellen, innenpolitischen und gesellschaftlichen Entwicklungen, mit denen Deutschland weiterhin konfrontiert wird. In der zweiten Hälfte des Jahres untersuchten wir die deutsche und amerikanische Reaktion auf den internationalen Terrorismus, die Auswirkungen der Rezession in beiden Ländern und die institutionellen Veränderungen zweier für Deutschland und Amerika wichtigen Institutionen, die NATO und die Europäische Union. Diese Themen und Herausforderungen sind miteinander verknüpft und bedürfen einer kontinuierlichen und ausführlichen Untersuchung, um die deutschen und amerikanischen politischen Entscheidungen diesbezüglich besser verstehen zu können. Dem AICGS steht ein vielseitiges Programm zur Verfügung, das es uns ermöglicht, die besten Untersuchungen und Analysen zum deutsch-amerikanischen Dialog anzubieten.

Ich möchte meinen Dank an alle diejenigen ausdrücken, die uns in unserer Arbeit unterstützen, und an die vielen Wissenschaftler und Experten, die mit ihren Beiträgen unsere Arbeit so ausgezeichnet machen.



Dr. Jackson Janes

Zusammenfassung der Programme und Stipendien des Instituts

An den AICGS Forschungsprogrammen nehmen eine Reihe von Experten teil, darunter Regierungsvertreter, Journalisten, Akademiker und Politikexperten. Am Institut tätige Wissenschaftler leisten wichtige Beiträge zu den Forschungsgruppen. Die Forschungsresultate werden sowohl in Berichten publiziert als auch auf der AICGS Webseite veröffentlicht. Damit liefert das Institut Ideen und fördert einen sachkundigen Dialog über wichtige Themen der deutsch-amerikanischen und amerikanisch-europäischen Agenda. Die Forschungsgruppen 2001 konzentrierten sich auf die Themen Russland, europäische Integration sowie Einwanderung. Der neue AICGS Forschungsdirektor Prof. Jeffrey Anderson wird die Organisation dieser Forschungsvorhaben unterstützen.

Program Politik und Aussenpolitik

Forschungsgruppe Neue Deutsche Sicherheitspolitik

Diese Forschungsgruppe untersucht die Herausforderungen und Aufgaben im europäischen Sicherheitsumfeld und konzentriert sich vor allem auf die Bemühungen der deutschen Regierung zur Bundeswehrreform. Die Forschungsergebnisse wurden in einer Publikation mit dem Titel „Eine neue Definition der deutschen Sicherheitspolitik: Aussichten für die Bundeswehrreform“ veröffentlicht.

Forschungsgruppe Russland

Dieses Projekt wird sich mit Russlands Verhältnis zu Europa beschäftigen und damit, wie man produktiv mit einem Russland umgehen kann, das sich weiterhin in einer ambivalenten Position bezüglich seiner Teilnahme an europäischen Strukturen und Initiativen sieht. Alte und neue sicherheitspolitische Themen werden angesprochen, insbesondere in wie weit die Vereinigten Staaten und Europa den Herausforderungen in Bezug auf eine neue und positive Rolle Russlands und des Westens gewachsen sind. Eine Reihe von Veranstaltungen und Publikationen sind für 2002 geplant.

Forschungsgruppe Europa im Wandel

Dieses Projekt beschäftigt sich mit dem EU-Erweiterungsprozess und den Ereignissen, die einen Einfluss auf diesen Prozess haben werden, wie zum Beispiel die NATO-Erweiterung, die mögliche Mitgliedschaft der baltischen Staaten und Russlands, das Thema Zypern und Türkei sowie Strukturreformen innerhalb der Euroasiatischen Union. Verschiedene Experten treffen sich zu Veranstaltungen in Washington, D.C., Berlin und Brüssel. Eine Abschlusskonferenz findet in Prag statt und eine Publikation ist für Ende 2002 geplant.

Forschungsgruppe New Economy

Diese Forschungsgruppe bewertete die Rolle der *New Economy* in Deutschland und den USA speziell im Hinblick darauf, wie Deutschland und die USA auf die Herausforderungen der *New Economy* reagiert haben und wie diese Änderungen in den größeren Zusammenhang der Weltwirtschaft einzuordnen sind. Die Ergebnisse der Forschungsgruppe wurden in einer Publikation veröffentlicht.

Das Harry & Helen Gray Programm zu den Geisteswissenschaften

Dieses Programm beschäftigte sich 2001 weiterhin mit vergleichenden kulturellen Studien zwischen den USA und Deutschland. Dazu wurde eine neue Forschungsgruppe gebildet, die sich mit den gegenwärtigen Veränderungen in Kultur und Gesellschaft auf beiden Seiten des Atlantiks beschäftigt.

Der Workshop „Feministische Bewegungen in einer sich globalisierenden Welt: deutsche und amerikanische Erfahrungen“ untersuchte die Auswirkungen der neuen amerikanischen Regierung auf die Situation der amerikanischen Frauen und analysierte den Status der Frauenbewegung in Ost- und Westdeutschland unter den Vorzeichen der Globalisierung.

Die Berliner Schriftstellerin und Journalistin Dr. Rita Kuczynski besprach die Widersprüche der intellektuellen und kulturellen Integration von Autoren aus Ost- und Westdeutschland seit der Wiedervereinigung in dem Seminar „Berlin: Ein geteilter literarischer Himmel? Ein (Ost-) Deutscher Autor als (West-) Deutscher Bürger.“

2001 AICGS Stipendienprogramme

Am AICGS haben Gastwissenschaftler aus den Vereinigten Staaten und Europa die Gelegenheit, Forschung in Washington, D.C. zu betreiben, an Diskussionen mit anderen Wissenschaftlern und Politikexperten am Institut und anderen Organisationen in Washington teilzunehmen, und ihre Forschungsresultate mittels vom AICGS unterstützten Seminaren und Publikationen vorzustellen.

DaimlerChrysler-Fonds im Stifterverband für die Deutsche Wissenschaft

Bei den Themen dieses Stipendienprogramms handelt es sich um transatlantische wirtschaftliche Beziehungen und die Entwicklung der *New Economy* in den USA und in Deutschland. Das Projekt „Kooperation oder Wettbewerb? Die USA, die EU und Deutschland im Balkan“, das von Dr. Lily Gardner Feldman geleitet wurde, endete mit einer Konferenz und einer Publikation, die Ende 2001 erschien. Dr. Daniel Hamilton beendete sein DaimlerChrysler Stipendienprogramm mit dem Projekt „Deutsche und amerikanische Perspektiven zur neuen strategischen Situation: Die Bildung einer Koalition gegen den internationalen Terrorismus“. Dieses Projekt betont die militärische, wirtschaftliche und gesellschaftliche Kooperation zwischen Deutschland und den USA.

Programm Wirtschaftsstudien

Dr. Ullrich Heilemann, Vizepräsident des Rheinisch-Westfälischen Institut für Wirtschaftsforschung in Essen und Professor an der Universität Duisburg, führte am AICGS seine Arbeit zum Einfluss der Energiemarktliberalisierung und zu den Beziehungen zwischen Einwanderung und Arbeitsmarktpolitik im Hinblick auf die Bundesrepublik Deutschland fort.

Robert Bosch Foundation Research Scholars Program in Comparative Public Policy and Institutions

Dieses Programm bietet zwei Stipendien für jeweils einen Kandidaten einer amerikanischen und einer deutschen Institution in den Bereichen Politikwissenschaft, Wirtschaftswissenschaft, Betriebswirtschaft, Soziologie und interdisziplinäre Studien an. In 2001 waren die folgenden Wissenschaftler am Institut:

Prof. Maria Green Cowles, American University

Prof. Julie Ann Elston, University of Central Florida

Prof. Matthias Bank, Universität Erlangen-Nürnberg

Prof. Lloyd Gruber, University of Chicago

Dr. Ines Hartwig, European Institute of Public Administration (Maastricht)

GAAC/NEH/AICGS/GHI Fellowship in Continuity, Change and Globalization in Postwar Germany

Dieses Stipendium wird vom AICGS, dem Bundesministerium für Bildung und Forschung (BMBF), dem National Endowment for the Humanities und dem Deutschen Historischen Institut unterstützt. Das Hauptziel ist es, die Zusammenarbeit zwischen deutschen und amerikanischen Forschern zu fördern. Zu den Stipendiaten gehören:

Dr. Patrice Poutrus, Zentrum für Zeithistorische Forschung in Potsdam, 2001

Dr. Phillip Löser, Universität Göttingen, 2001-2002

Dr. Alexander Freund, Universität Bremen, 2001-2002

DAAD-AICGS Stipendienprogramm

Das Institut freut sich, die Einrichtung eines neuen AICGS Forschungsprogramms bekanntzugeben, das in grosszügiger Weise vom Deutschen Akademischen Austauschdienst (DAAD) unterstützt wird. Teilnehmer des Programms arbeiten an Themenbereichen wie transatlantische wirtschaftliche Beziehungen, die Erweiterung der EU, Fragen zur Sicherheit in den Vereinigten Staaten, Deutschland und Russland, die Entwicklung der *New Economy* in den Vereinigten Staaten und in Deutschland, Einwanderungspolitik und die kulturellen oder Wertekonflikte zwischen den USA und Deutschland/Europa.

Herr Christoph Schwegmann, Stiftung Wissenschaft und Politik (SWP) und Universität Mannheim, konzentrierte sich auf die amerikanischen Perspektiven der Balkan Gruppe und die Zukunft der transatlantischen Kooperation in europäischen Sicherheitsproblemen. Dr. Rita Kuczynski, Schriftstellerin und Dichterin aus der ehemaligen DDR, setzte am Institut ihre schriftstellerische Tätigkeit fort. Dr. Lily Gardner Feldman arbeitet an ihrem Projekt „Prinzipien und Praxis der Versöhnung in der deutschen Außenpolitik“, das sich speziell mit dem Thema Versöhnung in den Balkanstaaten beschäftigt.

Journalisten am AICGS

Frau Klaudia Prevezanos und Herr Dirk Cohausz haben an aktuellen Themen zu Deutschland gearbeitet, wie die Schaffung der Gewerkschaft „ver.di“, dem Rechtsradikalismus und die Vorbereitung aller deutschen Parteien auf die kommenden Wahlen.

Public Affairs Program

Im Rahmen von Diskussionsrunden und Konferenzen kamen im Laufe des Jahres 2001 zahlreiche Experten ans Institut. Vertreter der politischen Parteien, Wirtschafts- und Sicherheitsexperten sowie Verfassungsspezialisten nahmen an Programmen teil, die durch die Verlagsgruppe Handelsblatt, Die Zeit Stiftung Ebelin und Gerd Bucerius, Lufthansa und die Deutsche Bank AG finanziert wurden. Das Institut organisierte eine Konferenz zu den verfassungspolitischen Herausforderungen, denen Deutschland über ein Jahrzehnt nach der Wiedervereinigung weiterhin gegenüber steht. Eine weitere Konferenz beschäftigte sich mit den transatlantischen Sicherheitsdiskussionen bezüglich Raketenabwehr und Waffenkontrolle. Die deutsch-amerikanischen Handelsbeziehungen sowie die Auswirkungen des Euro auf Deutschlands Finanzpolitik waren ebenfalls Themen am AICGS.

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