

(Mostly Real) Quantifier Elimination

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http://www.mpi-inf.mpg.de/~sturm/

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CAD for Satistfiability Checking

CAD as a Complete Decision Procedure

CAD for Quantifier Elimination

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Quantifier Elimination and Decision

Example (Tarski Algebra = real numbers with arithmetic and ordering)

$$\mathbb{R} \models \underbrace{\forall x \exists y (x^2 + xy + b > 0 \land x + ay^2 + b \le 0)}_{\varphi} \longleftrightarrow \underbrace{a < 0 \land b > 0}_{\varphi'}$$



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Formally: Given 1st-order theory Θ , find **algorithm** with input φ and output φ' quantifier-free such that

 $\Theta \models \varphi \longleftrightarrow \varphi'$,

or prove that no such algorithm exists.

Important aspects: theoretical complexity, practical performance



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Important Special Cases

- all variables in φ are quantified \rightsquigarrow decision problem



Quantifier Elimination-relevant Research Topics

Symbolic Computation

algebraic complexity computer algebra systems exact arithmetic Gröbner bases polynomial factorization real algebraic numbers subresultants Automated Reasoning heuristics learning model-based construction

Algebraic Model Theory definable sets elementary extensions substructure completeness

Applications

chemistry engineering geometry life sciences physics planning scientific computing verification



Definitions



Syntax and Semantics

Language (= Signature): $L = (0, 1, +, -, \cdot, <, \le, \ne, >, \ge)$

Semantics: Everything is interpreted over IR.



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Important convention in algebraic model theory

There is always"=" which is formally not in the language. Semantics of "=" is Leibniz's (second-order) definition of equality

$$x = y : \iff \forall p(p(x) \longleftrightarrow p(y))$$

in contrast to its first-order theory.

For convenience, define $L_{=} := L \cup \{=\}$.



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Remark

There is no multiplicative inverse or division in *L*. We do not want to deal with partial functions.



Terms and Atomic Formulas

Terms

are w.l.o.g. polynomials with integer coefficients in a recursive representation

 $t \in (\dots (((\mathbb{Z}[x_n])[x_{n-1}])\dots)[x_2])[x_1]$

Representation is unique and isomorphic to "distributive" $\mathbb{Z}[x_1, \ldots, x_n]$.

Example $f = x_1 + (x_2 + x_3), \quad f^2 = x_1^2 + (2x_2 + 2x_3)x_1 + (x_2^2 + 2x_3x_2 + x_3^2)$

We can efficiently reorder such polynomials, i.e., change the main variable.



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Atomic formulas (atoms) are of the form f R 0, where

- $R \in L_{=} = \{ \leq, <, \neq, \geq, >, = \}$ as discussed
- *f* a recursive polynomial in some variables x_1, \ldots, x_n as above
- ▶ $L_{=}$ is closed under negation: For $R \in L_{=}$ there is $\overline{R} \in L_{=}$ such that

$$\mathbb{R} \models \neg (f R 0) \longleftrightarrow f \bar{R} 0.$$



Quantifier-free Formulas and First-order Formulas

First-order formulas are obtained from atomic formulas using operators

true, false, \land , \lor , $\exists x$, $\forall x$, where x is a variable

Further Boolean Operators

▶ \rightarrow and \leftrightarrow can be expressed without introducing quantifiers:

$$\alpha \longrightarrow \beta \quad \rightsquigarrow \quad \neg \alpha \lor \beta, \qquad \alpha \longleftrightarrow \beta \quad \rightsquigarrow \quad \alpha \longrightarrow \beta \land \beta \longrightarrow \alpha.$$

► Eliminate ¬ using de Morgan's law and closure property of *L* w.r.t. negation, e.g.:

$$\neg (x = 0 \land y > 0) \quad \rightsquigarrow \quad x \neq 0 \lor y \le 0.$$



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Practical reson for restricting to ∧ and ∨: Simplification

Quantifier-free formulas are first-order formulas not containing $\exists x$ or $\forall x$.

Convention: the only formulas containing true, false are true, false themselves.



Prenex Formulas

We assume w.l.o.g. that all first-order formulas are in a prenex normal form

 $Q_n x_n \dots Q_1 x_1(\psi)$

with $Q_1, \ldots, Q_n \in \{\exists, \forall\}$ and ψ quantifier-free.

Fact

(i) For every first-order formula $ilde{arphi}$ there is an equivalent prenex formula

$$\varphi = \mathsf{Q}_n x_n \ldots \mathsf{Q}_1(\psi).$$

 (ii) φ can be efficiently computed from φ̃ such that the number of alternations in the sequence Q_n, ..., Q₁ is minimized.





Eliminate from the Inside to the Outside

Given $\varphi = Q_n x_n \dots Q_1 x_1(\psi)$

- ψ is quantifier-free
- ► the variables of \u03c6 are a subset of quantified (bound) variables X = {x₁,..., x_n} and (free) parameters U = {u₁,..., u_m}, where

$$X \cap U = \emptyset.$$

We are going to eliminate $Q_1 x_1$.

The rest is iteration with some optimizations to discuss later on.

We may assume that $Q_1 = \exists$, because $\forall x_1 \varphi \leftrightarrow \neg \exists x_1 \neg \varphi$.



Elimination of One Existential Quantifier

Given $\varphi = \exists x_1(\psi)$

- The variables in ψ are among x_1 and $V_1 := (X \setminus \{x_1\}) \cup U$.
- All variables from V_1 will play the same role now, say, $V_1 = \{v_1, \ldots, v_k\}$.

If x_1 does not occur in ψ , then we are done.

Key Idea

- Intuitively, $\exists x$ is like a big disjunction over all real numbers.
- Could there be a finite E set of terms t such that

$$\mathbb{R} \models \exists x_1(\psi) \longleftrightarrow \bigvee_{t \in E} \psi[x_1/t] \quad ?$$

Modulo a couple of technical problems, there is essentially such a set.



Given $\varphi = \exists x_1(\psi)$

Temporarily and only in our minds (not in any algorithm) fix

$$(v_1,\ldots,v_k):=(a_1,\ldots,a_l)\in\mathbb{R}^k$$

such that ψ becomes univariate in x_1 .

Left hand sides of atomic formulas in ψ become univariate polynomials $f \in \mathbb{R}[x_1]$.



• Sets of satisfying values for x_1 in $f(x_1) R 0$ are



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► Sets of satisfying values for x_1 in $f(x_1) R 0$ are finite unions of intervals $[b_1, b_2], (b_1, b_2), (b_1, b_2], [b_1, b_2), where <math>b_1, b_2 \in \mathbb{R} \cup \{\infty\}.$



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max planck institut

- ► Sets of satisfying values for x_1 in $f(x_1) R 0$ are finite unions of intervals $[b_1, b_2]$, (b_1, b_2) , $(b_1, b_2]$, $[b_1, b_2)$, where $b_1, b_2 \in \mathbb{R} \cup \{\infty\}$.
- if $b_i \in \mathbb{R}$, then $f(b_i) = 0$
- Set of satisfying values for x_1 in ψ has the same form.

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- Sets of satisfying values for x₁ in f(x₁) R 0 are finite unions of intervals [b₁, b₂], (b₁, b₂), (b₁, b₂], [b₁, b₂), where b₁, b₂ ∈ ℝ ∪ {∞}.
- if $b_i \in \mathbb{R}$, then $f(b_i) = 0$
- Set of satisfying values for x₁ in ψ has the same form.
 ∧ is cut and ∨ is intersection of satisfying sets.



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- Set of satisfying values for x_1 in ψ has the same form. \wedge is cut and \vee is intersection of satisfying sets.

• Idea:
$$E = all b_2$$
 or $b_2 - \varepsilon$ and ∞ .



Elimination Sets

Given $\varphi = \exists x_1(\psi)$

Supersets of the zeros of the left hand side terms

 $f \in (\dots (((\mathbb{Z}[v_1])[v_2]) \dots)[v_k])[x_1]$

can be computed symbolically and uniformly.

Example

$$f = a(v_1, \dots, v_k)x_1^2 + b(v_1, \dots, v_k)x_1 + c(v_1, \dots, v_k) \text{ yields candidate solutions}$$

$$\underbrace{(-b \pm \sqrt{b^2 - 4ac})/2a}_{t} \text{ for } \underbrace{a \neq 0 \land b^2 - 4ac \ge 0}_{\gamma}, \quad \underbrace{-c/b}_{t} \text{ for } \underbrace{a = 0 \land b \neq 0}_{\gamma}.$$



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An elimination set *E* for x_1 and ψ is a finite set of pairs (γ , *t*) such that

$$\mathbb{R} \models \exists x_1(\psi) \longleftrightarrow \bigvee_{(\gamma,t) \in E} \gamma \land \psi[x_1 // t].$$



Given
$$\varphi = \exists x_1(\psi)$$
 and E such that $\mathbb{R} \models \exists x_1(\psi) \longleftrightarrow \bigvee_{(\gamma,t) \in E} \gamma \land \psi[x_1//t].$

Remaining Problem

t contain /, $\sqrt{\cdot}$, ∞ , ε , ..., which are not in our language L.

Solution: Virtual Substitution

[x//t] : atomic formulas \rightarrow quantifier-free formulas



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And beyond degree 2?

- Method generalizes to arbitrary degrees (in principle long known).
- first implementation will be available this year (PhD thesis by M. Košta).
- ► For higher degrees, *t* will be way more abstract.



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Important

In practice, good simplification of quantifier-free (intermediate) results is crucial!



Conventions: $f \in \mathbb{Z}[\mathbf{y}][x], f_i, g_i, g_i^* \in \mathbb{Z}[\mathbf{y}]$

Quotients

 $\left(f_1x + f_0 \leq 0\right) \left[x/\!/ \frac{g_1}{g_2}\right] \; \equiv \; f_1 \frac{g_1}{g_2} + f_0 \leq 0 \; \equiv \; f_1 g_1 g_2 + f_0 g_2^2 \leq 0$



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Formal solutions of quadratic equations

$$\left(f=0\right)\left[x/\!/\frac{g_1+g_2\sqrt{g_3}}{g_4}\right] \equiv \frac{g_1^*+g_2^*\sqrt{g_3}}{g_4^*}=0$$



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Infinity

$$(f_2 x^2 + f_1 x + f_0 < 0)[x //\infty] \equiv f_2 < 0 \lor (f_2 = 0 \land f_1 < 0) \lor (f_2 = 0 \land f_1 = 0 \land f_0 < 0)$$



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Positive infinitesimals

 $(3x^2 + 6x - 3 > 0)[x / / t - \varepsilon] \equiv 3t^2 + 6t - 3 > 0 \lor (3t^2 + 6t - 3 = 0 \land 6t + 6 \le 0)$



Elimination of Several Existential Quantifiers by Block

Back to the bigger picture

$$\dots \forall^* \exists^* \forall^* \exists^* \exists x_1(\psi) \quad \rightsquigarrow \quad \dots \forall^* \exists^* \forall^* \exists^* \bigvee_{(\gamma,t) \in E} \gamma \land \psi[x_1 // t]$$

Disjunction V is compatible with existential quantifiers \exists^* :

$$\dots \forall^* \exists^* \forall^* \exists^* \bigvee_{(\gamma,t)\in E} \gamma \wedge \psi[x_1//t] \quad \rightsquigarrow \quad \dots \forall^* \exists^* \forall^* \bigvee_{(\gamma,t)\in E} \exists^* (\gamma \wedge \psi[x_1//t])$$



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Effect

- more local substitution of test points With the elimination of the next quantifiers
- even improves upper bound on asymptotic worst-case complexity


Complexity of Virtual Substitution

Upper bound on asymptotic worst-case complexity

doubly exponential in the input word length (and thus optimal)

More precisely

doubly exponential in # quantifier alternationssingly exponentialin # quantifiers thanks to elimination by blockpolynomialin # parameters (= unquantified variables)polynomialin # atomic formulas

particularly good for

low degrees and many parameters

For comparision: Cylindrical Algberaic Decomposition (CAD)

[Collins 1973, Hong, Brown, ...] doubly exponential in the number of all variables

For comparison: Asymptotically fast procedures

[Renegar, Basu-Pollack-Roy, Grigoriev, ...] no practical relevance (so far)



Variants of Quantifier Elimination



Extended Quantifier Elimination

Generalize
$$\exists x \varphi \longleftrightarrow \bigvee_{(\gamma,t) \in E} \gamma \land \varphi[t/\!/x]$$
 to $\exists x \varphi \rightsquigarrow$
 \vdots \vdots

Simple example revisited

$$\varphi \equiv \exists x(ax^2 + bx + c = 0) \rightsquigarrow$$

$$a \neq 0 \land b^{2} - 4ac \ge 0 \qquad x = \frac{-b - \sqrt{b^{2} - 4ac}}{2a}$$
$$a = 0 \land b \neq 0 \qquad x = -\frac{c}{b}$$
$$a = 0 \land b = 0 \land c = 0 \qquad x = \infty,$$

г

Semantics (for fixed parameters)

Whenever some left hand side condition holds, then $\exists x \phi$ holds and the corresponding right hand side term is **one** sample solution.

[M. Kosta, T.S., A. Dolzmann, J. Symb. Comput. 2016]

For fixed choices of parameters, standard values can be efficiently computed for all ∞_i and ε_i in a post-processing step.



/ . . .

Generic Quantifier Elimination

Collect negated equations from the γ in a global theory Θ :

 $E = \{\ldots, (s \neq 0 \land \gamma', t), \ldots\} \quad \rightsquigarrow \quad \Theta = \{\ldots, s \neq 0, \ldots\}, \ E = \{\ldots, (\gamma', t), \ldots\}$

Semantics

 φ' is correct for all choices of parameters satisfying Θ :

$$\bigwedge \Theta \longrightarrow (\varphi' \longleftrightarrow \varphi).$$

Important observation

exception set has a lower dimension than the parameter space

Simple example revisited

$$\varphi \equiv \exists x (ax^2 + bx + c = 0) \quad \rightsquigarrow \quad \Theta = \{a \neq 0\}, \quad \varphi' \equiv b^2 - 4ac \ge 0$$



Software



Redlog and Reduce

Everything discussed here is available in our computer logic system Redlog:

http://www.redlog.eu

- interactive system, QE and decision for many domains, normal forms, simplification, construction and decomposition of large formulas, ...
- ▶ interfaces to Qepcad B, Gurobi, Mathematica, Z3, ...
- more than 300 citations of applications in the literature: geometry, verification, chemistry, life sciences, physics and engineering, scientific computation, geometry and planning, ...
- ▶ Redlog development since 1992 as part of the CAS Reduce [Hearn, 1968]
- Reduce/Redlog open-source (free-BSD) on Sourceforge since 12/2008 http://reduce-algebra.sourceforge.net
- ▶ 48,318 downloads since 12/2008 (7,496 in 2014), 500+ SVN commits per year



Further Theories in Redlog

Integers (AAECC 2007, CASC 2007, CASC 2009)

- Presburger Arithmetic
- weak quantifier elimination for the full linear theory
- weak quantifier elimination also for higher degrees (special cases)

Mixed Real-Integer (Weispfenning at ISSAC 1999)

experimental

Complex Numbers (using Comprehensive Gröbner Bases)

language of rings only

Differential Algebras (CASC 2004)

- language of rings with unary differential operator
- computation in differentially closed field (A. Robinson, Blum)



Further Theories in Redlog

Padic Numbers (JSC 2000, ISSAC 1999, CASC 2001)

- linear formulas over *p*-adic fields for *p* prime
- optionally uniform in p
- used e.g. for solving parametric systems of congruences over the integers

Terms (CASC 2002)

Malcev-type term algebras (with functions instead of relations)

Queues (C. Straßer at RWCA 2006)

- two-sided queues over the other theories (2-sorted)
- Implemented at present for queues of reals

Propositional Formulas (CASC 2003, ISSAC 2010)

- generalization of SAT solving
- quantified propositional calculus, i.e., parametric QSAT (aka QBF) solving



Some Other Software

- Qepcad B (Hong and Brown) is the reference implementation for cylindrical algebraic decomposition (CAD).
- The computer algebra system Mathematica has real QE: essentially CAD + virtual substitution for preprocessing.
- The computer algebra system Maple has been used in recent research on CAD (Davenport et al.)
- The computer algebra system Risa/Asir (originally by Fujitsu) has QE by virtual substitution (TS, 1996)
- Some prototypes in Japan based on comprehensive Gröbner bases (Sato et al.) or Sturm–Habicht sequences (Anai et al. in Matlab)
- Specialized implementations of CAD in SMT solvers (z3)
- Specialized implementations of virtual substitutions for SMT (SMT-RAT)



Applications in Geometry and Verification



[J. Autom. Reasoning 1998 – Joint work with A. Dolzmann, V. Weispfenning]





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$$\bullet \quad \boldsymbol{\varphi} \equiv \forall x_6 \forall x_5 \forall x_4 \forall x_3 \forall x_2 \forall x_1 \forall r \left(\bigwedge_{i=1}^7 h_i \longrightarrow g \right)$$



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• Generic QE (1.1 s): φ' 231 atomic formulas, $\Theta = \{\underbrace{u_1^2 - 2u_1 + u_2^2 - 3 \neq 0}_{(u_1 - 1)^2 + u_2^2 \neq 4}, u_1 \neq 0, u_2 \neq 0\}.$



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• Generic QE (1.1 s): φ' 231 atomic formulas, $\Theta = \{ u_1^2 - 2u_1 + u_2^2 - 3 \neq 0, u_1 \neq 0, u_2 \neq 0 \}.$

• CAD (0.9 s): $\forall u_1 \forall u_2 (\bigwedge \Theta \longrightarrow \varphi') \checkmark$



 $(u_1-1)^2+u_2^2\neq 4$

Collision Avoidance with Adaptive Cruise Control [ISSAC 2011 – Joint Work with A. Tiwari @SRI]

System dynamics

 $\dot{v}_f = a_f \in [-5, 2]$ $\dot{v} = a \in [-5, 2]$

 $gap = v_f - v$

velocity and accelleration of leading car velocity and accelleration of rear car

 $\dot{a} = -3a - 3(v - v_f) + (gap - (v + 10))$ control law for rear car

Initial states and safe states

Init = gap = $10 \land a = 0 \land v_f = c_1 \land v = c_2$ Safe = gap > 0



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$$Init \equiv gap = 10 \land a = 0 \land v_f = c_1 \land v = c_2$$

Safe = gap > 0

Certificate-based approach to find a set Inv such that

- 1. Init ⊆ Inv
- Inv ⊆ Safe
- 3. System dynamics cannot cause the system to leave Inv.



Collision Avoidance with Adaptive Cruise Control

Linear ansatz

$$\begin{aligned} &\text{Inv} &\equiv p \ge 0 \quad \text{where} \quad p := c_3 v + c_4 v_f + c_5 a + \text{gap} + c_6 \\ &\text{Inv}' &\equiv -5 \le a \le 2 \ \land \ -5 \le a_f \le 2 \ \land \ v \ge 0 \ \land \ v_f \ge 0 \end{aligned}$$

Certificate as a formula

 $\exists c_3 \exists c_4 \exists c_5 \exists c_6 \forall v \forall v_f \forall gap \forall a \forall a_f (\varphi_1 \land \varphi_2 \land \varphi_3)$

where
$$\varphi_1 \equiv \text{Init} \land \text{Inv}' \longrightarrow \text{Inv}$$

 $\varphi_2 \equiv \text{Inv} \land \text{Inv}' \longrightarrow \text{Safe}$
 $\varphi_3 \equiv p = 0 \land \text{Inv}' \longrightarrow \dot{p} \ge 0$



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Certificate as a formula $\exists c_3 \exists c_4 \exists c_5 \exists c_6 \forall v \forall v_f \forall gap \forall a \forall a_f (\varphi_1 \land \varphi_2 \land \varphi_3)$ where $\varphi_1 \equiv \text{Init} \land \text{Inv}' \longrightarrow \text{Inv}$ $\varphi_2 \equiv \text{Inv} \land \text{Inv}' \longrightarrow \text{Safe}$ $\varphi_3 \equiv \rho = 0 \land \text{Inv}' \longrightarrow \dot{p} \ge 0$

After 1 minute of computation:

- ▶ 584 disjuncts, 33365 atomic formulas, depth 13, some still containing $\exists c_5$
- first 33 disjuncts automatically simplify to $c_2^2 30c_2 75 \le 0$ for $c_1 > 0$, $c_2 > 0$.
- ▶ ⇒ no collision for $c_2 = v \le 32$



Cylindrical Algebraic Decomposition (CAD)



 $\varphi(f_1, f_2)$ is a Boolean combination of constraints with left hand sides f_1 , f_2 and right hand sides 0.

$$f_1(x, y) = 2y^2 - 2x^3 - 3x^2$$

 $\begin{array}{l} f_1(A) = -1 < 0 \\ f_1(B) = 2 > 0 \\ f_1(C) = -5 < 0 \\ f_1(D) = 0 \end{array}$





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 $g(x) = -2x^3 - 3x^2$

projection polynomials







 $\varphi(f_1, f_2)$

projection operator computes projection set:

 $\Pi(\{f_1(x, y), f_2(x, y)\}) = \{g_1(x), \dots, g_k(x)\}$





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 Their computation is univariate computer algebra.





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Add points

(anywhere) between the zeros as test points for the 1-dimensional cells.





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- We want to lift this decomposition to IR².





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(anywhere) between the zeros as test points for the 1-dimensional cells.

- This yields a decomposition of IR¹ (the x-axis).
- We want to lift this decomposition to IR².
- ► By the way: How many cells will there be in ℝ²?



Extension Phase (Lifting)



 $\varphi(f_1, f_2)$

For each test point *t* from the base phase:

compute univariate

$$f_1(t, y), \quad f_2(t, y).$$

with algebraic number coefficients.



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 compute zeros and points between zeros u₁, ..., u_s.



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with algebraic number coefficients.

- compute zeros and points between zeros u₁,..., u_s.
- this yields test points
 - $(t,u_1),\ldots,(t,u_s)\in \mathbb{R}^2$

for the cylinder over *t*.



Example: a CAD as a "data structure"

$$P_{3} = \{x_{1}^{2} + x_{2}^{2} + x_{3}^{2} - 4\}$$
$$P_{2} = \{x_{2}^{2} + x_{1}^{2} - 4\}$$
$$P_{1} = \{x_{1} + 2, x_{1} - 2\}$$



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SAT-Checking





SAT-Checking







Finitely many test points

$$T = \{(t_1, u_{t_1,1}), \ldots, (t_1, u_{t_1,s_1}), \ldots, (t_n, u_{t_n,s_n}), \}$$

 $(t_r, u_{t_r,1}), \ldots, (t_r, u_{t_r,s_r})\}.$





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"In each cylinder there is a cell such that"

Satisfying *t* in each row of *T*?





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A satisfying column of *T*?





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A satisfying column of T?

 The innermost variable y was projected first.



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- ► In practice, for general input, CAD is the best we have.
- ▶ Until now, we have not used and did not "really know" the cells only test points.





The essential new concept with QE is **quantifier-free description of cells**. This is relevant also for recent decision procedures (Jovanovic & de Moura).

• Given $\psi(x_1, ..., x_k) = Q_{k+1}x_{k+1} ... Q_r x_r \varphi(x_1, ..., x_k, x_{k+1}, ..., x_r)$.



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- Consider the finite set $C \subseteq Pot(\mathbb{R}^k)$ of cells in parameter space, i.e., at projection level k with polynomials from $\mathbb{R}[x_1, \dots, x_k]$.



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- ► For each $c \in C$ with test point $t_c \in \mathbb{R}^{n-k}$ we can decide $\psi(t_c)$ and collect TRUECELLS = { $c \in C \mid \mathbb{R}, (x_1, \dots, x_k) = t_c \models \psi$ } $\subseteq C$.



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- ► Assume that for $c \in C$ we have a quantifier-free description formula $\Delta_c(x_1, \ldots, x_k)$, i.e. $\mathbf{x} \in c$ iff $\mathbb{R} \models \Delta_c(\mathbf{x})$.



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$$\mathbb{R} \models \psi \longleftrightarrow \mathsf{V}_{c \in \mathsf{TRUECELLS}} \Delta_c.$$



Solution Formula Construction Example



cell	$P_{1,1}$	$P_{1,2}$	$P_{2,1}$	T/F
1, 1	-	-	+	F
2, 1	0	-	+	F
2, 2	0	-	0	T
2, 3	0	-	+	F
3, 1	+	-	+	F
3, 2	+	_	0	F
3, 3	+	-	_	T
3, 4	+	_	0	F
3, 5	+	_	+	F
4, 1	+	0	+	F
4, 2	+	0	0	F
4,3	+	0	+	F
5.1	+	+	+	F

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2, 2	0	-	0	T
2, 3	0	-	+	F
3, 1	+	-	+	F
3, 2	+	-	0	F
3, 3	+	-	-	T
3, 4	+	-	0	F
3, 5	+	-	+	F
4, 1	+	0	+	F
4, 2	+	0	0	F
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Solution Formula Construction Example



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2, 3	0	_	+	F
3, 1	+	_	+	F
3, 2	+	_	0	F
3, 3	+	_	_	T
3, 4	+	_	0	F
3, 5	+	_	+	F
4, 1	+	0	+	F
4, 2	+	0	0	F
4,3	+	0	+	F
5.1	+	+	+	F

 $P_{2,1} < 0 \lor P_{1,1} = 0 \land P_{2,1} = 0$

Solution Formula Construction Problem

$$\exists y [x^2 + y^2 - 1 < 0 \land x - y < 0]$$



- The approach of the original Collins article (1975).
- Idea: Produce sufficiently many polynomials during projection.
- Technically one adds "lots of derivatives."

A very simple demonstration of the idea

• Consider a single polynomial $f = x^3 - 12x^2 + 44x - 48$.





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- $f > 0 \land f'' = 6x 24 < 0$ describes]2, 4[.





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- *f* > 0 ∧ *f*["] = 6*x* − 24 < 0 describes]2, 4[.</p>
- Isn't this somehow Rolle's Theorem? Yes it is!





- The approach of the original Collins article (1975).
- Idea: Produce sufficiently many polynomials during projection.
- Technically one adds "lots of derivatives."

A very simple demonstration of the idea

- Consider a single polynomial $f = x^3 12x^2 + 44x 48$.
- *f* > 0 describes]2, 4[∪]6, ∞[, *f* = 0 describes {2, 4, 6}.
- f cannot describe exclusively]2, 4[or {4}.
- $f = 0 \wedge f' = 3x^2 24x + 44 < 0$ describes {4}.
- $f > 0 \land f'' = 6x 24 < 0$ describes]2, 4[.
- Isn't this somehow Rolle's Theorem? Yes it is!

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Augmented projection is considered practically infeasible.



Solutions to the Solution Formula Problem (2) Extended Tarski Language

PhD thesis of Brown (1999).



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- Use extended language with predicates like

 $x \varrho \operatorname{root}_{\alpha}(f(\alpha), n), \quad \varrho \in \{=, <, >, \le, \ge, \neq\}.$


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Predicate is false if f has less than n roots.



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State-of-the-art in QEPCAD and Mathematica, and used in Z3/NLSAT.



Summary

- virtual substitution for real quantifier elimination and some variants (extended, generic)
- software: Redlog and other
- other theories

(integers, comples, differential, padic, terms, queues, PQSAT)

- applications in geometry, verification, ...
- cylindrical algebraic decomposition (CAD)

