## (Mostly Real) Quantifier Elimination

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AVACS Autumn School, Oldenburg, Germany, October 1, 2015

## Overview

Introduction
Definitions
Virtual Substitution
Variants of Quantifier Elimination
Software
Applications in Geometry and Verification
CAD for Satistfiability Checking
CAD as a Complete Decision Procedure
CAD for Quantifier Elimination
Summary

## Quantifier Elimination and Decision

## Example (Tarski Algebra = real numbers with arithmetic and ordering)

$$
\mathbb{R} \models \underbrace{\forall x \exists y\left(x^{2}+x y+b>0 \wedge x+a y^{2}+b \leq 0\right)}_{\varphi} \longleftrightarrow \underbrace{a<0 \wedge b>0}_{\varphi^{\prime}}
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Formally: Given 1st-order theory $\Theta$, find algorithm with input $\varphi$ and output $\varphi^{\prime}$ quantifier-free such that

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## Important Special Cases

- all variables in $\varphi$ are quantified $\rightsquigarrow$ decision problem
- only existential quantifiers $\rightsquigarrow$ satisfiability problem


## Quantifier Elimination-relevant Research Topics



## Definitions

## Syntax and Semantics

Language（＝Signature）：$L=(0,1,+,-, \cdot,<, \leq, \neq,>, \geq)$
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There is always"=" which is formally not in the language.
Semantics of " $=$ " is Leibniz's (second-order) definition of equality

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## Remark

There is no multiplicative inverse or division in $L$.
We do not want to deal with partial functions.

## Terms and Atomic Formulas

## Terms

are w.l.o.g. polynomials with integer coefficients in a recursive representation

$$
t \in\left(\ldots\left(\left(\left(\mathbb{Z}\left[x_{n}\right]\right)\left[x_{n-1}\right]\right) \ldots\right)\left[x_{2}\right]\right)\left[x_{1}\right]
$$

Representation is unique and isomorphic to "distributive" $\mathbb{Z}\left[x_{1}, \ldots, x_{n}\right]$.

## Example

$f=x_{1}+\left(x_{2}+x_{3}\right), \quad f^{2}=x_{1}^{2}+\left(2 x_{2}+2 x_{3}\right) x_{1}+\left(x_{2}^{2}+2 x_{3} x_{2}+x_{3}^{2}\right)$
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We can efficiently reorder such polynomials, i.e., change the main variable.
Atomic formulas (atoms) are of the form $f R 0$, where

- $R \in L_{=}=\{\leq,<, \neq, \geq,>,=\}$as discussed
- $f$ a recursive polynomial in some variables $x_{1}, \ldots, x_{n}$ as above
- $L_{=}$is closed under negation: For $R \in L_{=}$there is $\bar{R} \in L_{=}$such that

$$
\mathbb{R} \models \neg(f R 0) \longleftrightarrow f \bar{R} 0 .
$$

## Quantifier-free Formulas and First-order Formulas

First-order formulas are obtained from atomic formulas using operators true, false, $\wedge, \vee, \exists x, \forall x, \quad$ where $x$ is a variable

## Further Boolean Operators

- $\longrightarrow$ and $\longleftrightarrow$ can be expressed without introducing quantifiers:

$$
\alpha \longrightarrow \beta \quad \leadsto \quad \neg \alpha \vee \beta, \quad \alpha \longleftrightarrow \beta \quad \leadsto \quad \alpha \longrightarrow \beta \wedge \beta \longrightarrow \alpha
$$

- Eliminate $\neg$ using de Morgan's law and closure property of $L$ w.r.t. negation, e.g.:

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Practical reson for restricting to $\wedge$ and v : Simplification
Quantifier-free formulas are first-order formulas not containing $\exists x$ or $\forall x$.
Convention: the only formulas containing true, false are true, false themselves.

## Prenex Formulas

We assume w.l.o.g. that all first-order formulas are in a prenex normal form

$$
\mathrm{Q}_{n} x_{n} \ldots \mathrm{Q}_{1} x_{1}(\psi)
$$

with $\mathrm{Q}_{1}, \ldots, \mathrm{Q}_{n} \in\{\exists, \forall\}$ and $\psi$ quantifier-free.

## Fact

(i) For every first-order formula $\tilde{\varphi}$ there is an equivalent prenex formula

$$
\varphi=\mathrm{Q}_{n} x_{n} \ldots \mathrm{Q}_{1}(\psi) .
$$

(ii) $\varphi$ can be efficiently computed from $\tilde{\varphi}$ such that the number of alternations in the sequence $\mathrm{Q}_{n}, \ldots, \mathrm{Q}_{1}$ is minimized.

## Virtual Substitution

## Eliminate from the Inside to the Outside

Given $\varphi=\mathrm{Q}_{n} x_{n} \ldots \mathrm{Q}_{1} x_{1}(\psi)$

- $\psi$ is quantifier-free
- the variables of $\psi$ are a subset of quantified (bound) variables $X=\left\{x_{1}, \ldots, x_{n}\right\}$ and (free) parameters $U=\left\{u_{1}, \ldots, u_{m}\right\}$, where

$$
X \cap U=\emptyset .
$$

We are going to eliminate $Q_{1} x_{1}$.
The rest is iteration with some optimizations to discuss later on.
We may assume that $\mathrm{Q}_{1}=\exists$, because $\forall x_{1} \varphi \longleftrightarrow \neg \exists x_{1} \neg \varphi$.

## Elimination of One Existential Quantifier

## Given $\varphi=\exists x_{1}(\psi)$

- The variables in $\psi$ are among $x_{1}$ and $V_{1}:=\left(X \backslash\left\{x_{1}\right\}\right) \cup U$.
- All variables from $V_{1}$ will play the same role now, say, $V_{1}=\left\{v_{1}, \ldots, v_{k}\right\}$.

If $x_{1}$ does not occur in $\psi$, then we are done.

## Key Idea

- Intuitively, $\exists x$ is like a big disjunction over all real numbers.
- Could there be a finite $E$ set of terms $t$ such that

$$
\mathbb{R} \models \exists x_{1}(\psi) \longleftrightarrow \bigvee_{t \in E} \psi\left[x_{1} / t\right] \quad ?
$$

Modulo a couple of technical problems, there is essentially such a set.

## Thought Experiment

Given $\varphi=\exists x_{1}(\psi)$
Temporarily and only in our minds（not in any algorithm）fix

$$
\left(v_{1}, \ldots, v_{k}\right):=\left(a_{1}, \ldots, a_{l}\right) \in \mathbb{R}^{k}
$$

such that $\psi$ becomes univariate in $x_{1}$ ．
Left hand sides of atomic formulas in $\psi$ become univariate polynomials $f \in \mathbb{R}\left[x_{1}\right]$ ．

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- Sets of satisfying values for $x_{1}$ in $f\left(x_{1}\right) R 0$ are finite unions of intervals $\left[b_{1}, b_{2}\right],\left(b_{1}, b_{2}\right),\left(b_{1}, b_{2}\right]$, $\left[b_{1}, b_{2}\right)$, where $b_{1}, b_{2} \in \mathbb{R} \cup\{\infty\}$.


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- if $b_{i} \in \mathbb{R}$, then $f\left(b_{i}\right)=0$
- Set of satisfying values for $x_{1}$ in $\psi$ has the same form.


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- Set of satisfying values for $x_{1}$ in $\psi$ has the same form. $\wedge$ is cut and $v$ is intersection of satisfying sets.
- Idea: $E=$ all $b_{2}$ or $b_{2}-\varepsilon$ and $\infty$.


## Elimination Sets

Given $\varphi=\exists x_{1}(\psi)$
Supersets of the zeros of the left hand side terms

$$
f \in\left(\ldots\left(\left(\left(\mathbb{Z}\left[v_{1}\right]\right)\left[v_{2}\right]\right) \ldots\right)\left[v_{k}\right]\right)\left[x_{1}\right]
$$

can be computed symbolically and uniformly.

## Example

$f=a\left(v_{1} \ldots, v_{k}\right) x_{1}^{2}+b\left(v_{1}, \ldots, v_{k}\right) x_{1}+c\left(v_{1}, \ldots, v_{k}\right)$ yields candidate solutions
$\underbrace{\left(-b \pm \sqrt{b^{2}-4 a c}\right) / 2 a}_{t}$ for $\underbrace{a \neq 0 \wedge b^{2}-4 a c \geq 0}_{\gamma}, \quad \underbrace{-c / b}_{t}$ for $\underbrace{a=0 \wedge b \neq 0}_{\gamma}$.

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An elimination set $E$ for $x_{1}$ and $\psi$ is a finite set of pairs $(\gamma, t)$ such that

$$
\mathbb{R} \models \exists x_{1}(\psi) \longleftrightarrow \bigvee_{(\gamma, t) \in E} \gamma \wedge \psi\left[x_{1} / / t\right]
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## Virtual Substitution

Given $\varphi=\exists x_{1}(\psi)$ and $E$ such that $\mathbb{R} \models \exists x_{1}(\psi) \longleftrightarrow \underset{(\gamma, t) \in E}{\bigvee} \gamma \wedge \psi\left[x_{1} / / t\right]$.

## Remaining Problem

$t$ contain $/, \checkmark \cdot, \infty, \varepsilon, \ldots$, which are not in our language $L$.

## Solution: Virtual Substitution

$$
[x \| t]: \text { atomic formulas } \rightarrow \text { quantifier-free formulas }
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## And beyond degree 2?

- Method generalizes to arbitrary degrees (in principle long known).
- first implementation will be available this year (PhD thesis by M. Košta).
- For higher degrees, $t$ will be way more abstract.


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## Important

In practice, good simplification of quantifier-free (intermediate) results is crucial!

## Virtual Substitution by Example

## Conventions: $f \in \mathbb{Z}[\mathbf{y}][x], \quad f_{i}, \quad g_{i}, \quad g_{i}^{*} \in \mathbb{Z}[\mathbf{y}]$

## Quotients

$\left(f_{1} x+f_{0} \leq 0\right)\left[x / / \frac{g_{1}}{g_{2}}\right] \equiv f_{1} \frac{g_{1}}{g_{2}}+f_{0} \leq 0 \equiv f_{1} g_{1} g_{2}+f_{0} g_{2}^{2} \leq 0$

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Formal solutions of quadratic equations

$$
(f=0)\left[x / / \frac{g_{1}+g_{2} \sqrt{g_{3}}}{g_{4}}\right] \equiv \frac{g_{1}^{*}+g_{2}^{*} \sqrt{g_{3}}}{g_{4}^{*}}=0
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\end{aligned}
$$

Infinity

$$
\left(f_{2} x^{2}+f_{1} x+f_{0}<0\right)[x / / \infty] \equiv f_{2}<0 \vee\left(f_{2}=0 \wedge f_{1}<0\right) \vee\left(f_{2}=0 \wedge f_{1}=0 \wedge f_{0}<0\right)
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$$

Positive infinitesimals
$\left(3 x^{2}+6 x-3>0\right)[x / / t-\varepsilon] \equiv 3 t^{2}+6 t-3>0 \vee\left(3 t^{2}+6 t-3=0 \wedge 6 t+6 \leq 0\right)$

## Elimination of Several Existential Quantifiers by Block

Back to the bigger picture

$$
\ldots \forall^{*} \exists^{*} \forall^{*} \exists^{*} \exists x_{1}(\psi) \quad \leadsto \quad \ldots \forall^{*} \exists^{*} \forall^{*} \exists^{*} \bigvee_{(\gamma, t) \in E} \gamma \wedge \psi\left[x_{1} / / t\right]
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Disjunction $\bigvee$ is compatible with existential quantifiers $\exists^{*}$ :

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## Effect

- more local substitution of test points With the elimination of the next quantifiers
- even improves upper bound on asymptotic worst-case complexity


## Complexity of Virtual Substitution

Upper bound on asymptotic worst-case complexity
doubly exponential in the input word length (and thus optimal)
More precisely
doubly exponential in \#quantifier alternations
singly exponential in \#quantifiers thanks to elimination by block
polynomial in \#parameters (= unquantified variables)
polynomial in \#atomic formulas

```
particularly good for
low degrees and many parameters
```

For comparision: Cylindrical Algberaic Decomposition (CAD)
[Collins 1973, Hong, Brown, ...] doubly exponential in the number of all variables
For comparison: Asymptotically fast procedures
[Renegar, Basu-Pollack-Roy, Grigoriev, ...] no practical relevance (so far)

## Variants of Quantifier Elimination

## Extended Quantifier Elimination

Generalize $\exists x \varphi \longleftrightarrow \bigvee_{(\gamma, t) \in E} \gamma \wedge \varphi[t / / x] \quad$ to $\quad \exists x \varphi \leadsto\left[\begin{array}{cc}\gamma \wedge \varphi[t / / x] & x=t \\ \vdots & \vdots\end{array}\right]$
Simple example revisited

$$
\varphi \equiv \exists x\left(a x^{2}+b x+c=0\right) \rightsquigarrow
$$

$$
\left[\begin{array}{ll}
a \neq 0 \wedge b^{2}-4 a c \geq 0 & x=\frac{-b-\sqrt{b^{2}-4 a c}}{2 a} \\
a=0 \wedge b \neq 0 & x=-\frac{c}{b} \\
a=0 \wedge b=0 \wedge c=0 & x=\infty_{1}
\end{array}\right]
$$

## Semantics (for fixed parameters)

Whenever some left hand side condition holds, then $\exists x \varphi$ holds and the corresponding right hand side term is one sample solution.

## [M. Kosta, T.S., A. Dolzmann, J. Symb. Comput. 2016]

For fixed choices of parameters, standard values can be efficiently computed for all $\infty_{i}$ and $\varepsilon_{i}$ in a post-processing step.

## Generic Quantifier Elimination

Collect negated equations from the $\gamma$ in a global theory $\Theta$ :

$$
E=\left\{\ldots,\left(s \neq 0 \wedge \gamma^{\prime}, t\right), \ldots\right) \leadsto \Theta=\{\ldots, s \neq 0, \ldots\}, E=\left\{\ldots,\left(\gamma^{\prime}, t\right), \ldots\right\}
$$

## Semantics

$\varphi^{\prime}$ is correct for all choices of parameters satisfying $\Theta$ :

$$
\bigwedge \Theta \longrightarrow\left(\varphi^{\prime} \longleftrightarrow \varphi\right)
$$

Important observation
exception set has a lower dimension than the parameter space

## Simple example revisited

$$
\varphi \equiv \exists x\left(a x^{2}+b x+c=0\right) \quad \leadsto \quad \Theta=\{a \neq 0\}, \quad \varphi^{\prime} \equiv b^{2}-4 a c \geq 0
$$

## Software

## Redlog and Reduce

## Everything discussed here is available in our computer logic system Redlog:

```
http://www.redlog.eu
```

- interactive system, QE and decision for many domains, normal forms, simplification, construction and decomposition of large formulas, ...
- interfaces to Qepcad B, Gurobi, Mathematica, Z3, ...
- more than 300 citations of applications in the literature:
geometry, verification, chemistry, life sciences, physics and engineering, scientific computation, geometry and planning, ...
- Redlog development since 1992 as part of the CAS Reduce [Hearn, 1968]
- Reduce/Redlog open-source (free-BSD) on Sourceforge since 12/2008
http://reduce-algebra.sourceforge. net
- 48,318 downloads since 12/2008 (7,496 in 2014), 500+ SVN commits per year


## Further Theories in Redlog

Integers (AAECC 2007, CASC 2007, CASC 2009)

- Presburger Arithmetic
- weak quantifier elimination for the full linear theory
- weak quantifier elimination also for higher degrees (special cases)

Mixed Real-Integer (Weispfenning at ISSAC 1999)

- experimental

Complex Numbers (using Comprehensive Gröbner Bases)

- language of rings only

Differential Algebras (CASC 2004)

- language of rings with unary differential operator
- computation in differentially closed field (A. Robinson, Blum)


## Further Theories in Redlog

Padic Numbers (JSC 2000, ISSAC 1999, CASC 2001)

- linear formulas over $p$-adic fields for $p$ prime
- optionally uniform in $p$
- used e.g. for solving parametric systems of congruences over the integers

Terms (CASC 2002)

- Malcev-type term algebras (with functions instead of relations)

Queues (C. Straßer at RWCA 2006)

- two-sided queues over the other theories (2-sorted)
- Implemented at present for queues of reals

Propositional Formulas (CASC 2003, ISSAC 2010)

- generalization of SAT solving
- quantified propositional calculus, i.e., parametric QSAT (aka QBF) solving


## Some Other Software

- Qepcad B (Hong and Brown) is the reference implementation for cylindrical algebraic decomposition (CAD).
- The computer algebra system Mathematica has real QE: essentially CAD + virtual substitution for preprocessing.
- The computer algebra system Maple has been used in recent research on CAD (Davenport et al.)
- The computer algebra system Risa/Asir (originally by Fujitsu) has QE by virtual substitution (TS, 1996)
- Some prototypes in Japan based on comprehensive Gröbner bases (Sato et al.) or Sturm-Habicht sequences (Anai et al. in Matlab)
- Specialized implementations of CAD in SMT solvers (z3)
- Specialized implementations of virtual substitutions for SMT (SMT-RAT)


## Applications in Geometry and Verification

## Variant of the Steiner-Lehmus-Theorem

[J. Autom. Reasoning 1998 - Joint work with A. Dolzmann, V. Weispfenning]
The longer bisector goes to the shorter side

$$
\begin{array}{rl}
h_{1} & \equiv u_{2} \geq 0 \wedge x_{1} \geq 0 \\
h_{2} & \equiv r^{2}=1+x_{1}^{2}=u_{1}^{2}+\left(u_{2}-x_{1}\right)^{2} \\
h_{3} & \equiv x_{2} \leq 0 \wedge r^{2}=\left(x_{2}-x_{1}\right)^{2} \\
h_{4} \equiv u_{1} x_{2}+u_{2} x_{3}-x_{2} x_{3}=0 & Y \\
h_{5} \equiv x_{4} \leq 1 \wedge\left(x_{4}-1\right)^{2}=\left(u_{1}-1\right)^{2}+u_{2}^{2} \\
h_{7} \equiv\left(-1-u_{1}\right)^{2}+u_{2}^{2}<2^{2} \\
h_{6} & \equiv\left(x_{4}-x_{5}\right)^{2}+x_{6}^{2}=\left(u_{1}-x_{5}\right)^{2}+\left(u_{2}-x_{6}\right)^{2} \wedge u_{1} x_{6}-u_{2} x_{5}-u_{2}+x_{6}=0 \\
g & \equiv\left(u_{1}-x_{3}\right)^{2}+u_{2}^{2}<\left(x_{5}-1\right)^{2}+x_{6}^{2}
\end{array}
$$

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$g \equiv\left(u_{1}-x_{3}\right)^{2}+u_{2}^{2}<\left(x_{5}-1\right)^{2}+x_{6}^{2}$

- $\varphi \equiv \forall x_{6} \forall x_{5} \forall x_{4} \forall x_{3} \forall x_{2} \forall x_{1} \forall r\left(\bigwedge_{i=1}^{7} h_{i} \longrightarrow g\right)$


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- Generic QE (1.1 s): $\varphi^{\prime} 231$ atomic formulas, $\Theta=\{\underbrace{u_{1}^{2}-2 u_{1}+u_{2}^{2}-3 \neq 0}_{\left(u_{1}-1\right)^{2}+u_{2}^{2} \neq 4}, u_{1} \neq 0, u_{2} \neq 0\}$.


## Variant of the Steiner-Lehmus-Theorem

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- CAD (0.9 s): $\forall u_{1} \forall u_{2}\left(\wedge \Theta \longrightarrow \varphi^{\prime}\right) \checkmark$


## Collision Avoidance with Adaptive Cruise Control

 [ISSAC 2011 - Joint Work with A. Tiwari @SRI]System dynamics

$$
\begin{aligned}
\dot{v}_{f} & =a_{f} \in[-5,2] \\
\dot{v} & =a \in[-5,2] \\
\text { gäp } & =v_{f}-v
\end{aligned}
$$

$$
\dot{a}=-3 a-3\left(v-v_{f}\right)+(\operatorname{gap}-(v+10)) \quad \text { control law for rear car }
$$

## Initial states and safe states

$$
\text { Init } \equiv \text { gap }=10 \wedge a=0 \wedge v_{f}=c_{1} \wedge v=c_{2}
$$

Safe $\equiv$ gap $>0$

## Collision Avoidance with Adaptive Cruise Control

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$$
\begin{aligned}
\dot{v}_{f} & =a_{f} \in[-5,2] & & \text { velocity and accelleration of leading } c \\
\dot{v} & =a \in[-5,2] & & \text { velocity and accelleration of rear car } \\
\text { gáp } & =v_{f}-v & & \\
\dot{a} & =-3 a-3\left(v-v_{f}\right)+(\text { gap }-(v+10)) & & \text { control law for rear car }
\end{aligned}
$$

velocity and accelleration of leading car

Initial states and safe states

$$
\text { Init } \equiv \text { gap }=10 \wedge a=0 \wedge v_{f}=c_{1} \wedge v=c_{2}
$$

Safe $\equiv$ gap > 0
Certificate-based approach to find a set Inv such that

1. Init $\subseteq \operatorname{Inv}$
2. $\operatorname{Inv} \subseteq$ Safe
3. System dynamics cannot cause the system to leave Inv.

## Collision Avoidance with Adaptive Cruise Control

## Linear ansatz

$$
\begin{aligned}
\text { Inv } & \equiv p \geq 0 \text { where } p:=c_{3} v+c_{4} v_{f}+c_{5} a+\text { gap }+c_{6} \\
\operatorname{lnv}^{\prime} & \equiv-5 \leq a \leq 2 \wedge-5 \leq a_{f} \leq 2 \wedge v \geq 0 \wedge v_{f} \geq 0
\end{aligned}
$$

## Certificate as a formula

$\exists c_{3} \exists c_{4} \exists c_{5} \exists c_{6} \forall v \forall v_{f} \forall g a p \forall a \forall a_{f}\left(\varphi_{1} \wedge \varphi_{2} \wedge \varphi_{3}\right)$

$$
\text { where } \begin{aligned}
\varphi_{1} & \equiv \operatorname{Init} \wedge \operatorname{Inv}^{\prime} \longrightarrow \operatorname{Inv} \\
\varphi_{2} & \equiv \operatorname{Inv} \wedge \operatorname{Inv}^{\prime} \longrightarrow \text { Safe } \\
\varphi_{3} & \equiv p=0 \wedge \operatorname{Inv}^{\prime} \longrightarrow \dot{p} \geq 0
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\end{aligned}
$$

## After 1 minute of computation:

- 584 disjuncts, 33365 atomic formulas, depth 13 , some still containing $\exists c_{5}$
- first 33 disjuncts automatically simplify to $c_{2}^{2}-30 c_{2}-75 \leq 0$ for $c_{1}>0, c_{2}>0$.
- $\Rightarrow$ no collision for $c_{2}=v \leq 32$


## Cylindrical Algebraic Decomposition（CAD）

## From Sign Invariant Regions to CAD Cells

$\varphi\left(f_{1}, f_{2}\right)$ is a Boolean combination of constraints with left hand sides $f_{1}, f_{2}$ and right hand sides 0 .

$$
f_{1}(x, y)=2 y^{2}-2 x^{3}-3 x^{2}
$$

$$
f_{1}(A)=-1<0
$$

$$
f_{1}(B)=2>0
$$

$$
f_{1}(C)=-5<0
$$

$$
f_{1}(D)=0
$$



## From Sign Invariant Regions to CAD Cells

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$f_{1}(D)=0$
$f_{2}(x, y)=y^{2}+x^{2}-1$
$g(x)=-2 x^{3}-3 x^{2}$

projection polynomials

## Projection and Base Phase（1）



$$
\varphi\left(f_{1}, f_{2}\right)
$$

－projection operator computes projection set：

$$
\begin{aligned}
& \Pi\left(\left\{f_{1}(x, y), f_{2}(x, y)\right\}\right)= \\
& \left\{g_{1}(x), \ldots, g_{k}(x)\right\}
\end{aligned}
$$

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- Projections of critical points are among the zeros of $g_{1}$, $\ldots, g_{k}$.


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- The zeros of the $g_{i}$ are real algebraic numbers, e.g.

$$
-\sqrt{2}=\left(x^{2}-2,\right]-10,1[)
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$$
-\sqrt{2}=\left(x^{2}-2,\right]-10,1[)
$$

- Their computation is univariate computer algebra.


## Projection and Base Phase (2)



$$
\varphi\left(f_{1}, f_{2}\right)
$$

- Add points
(anywhere) between the zeros as test points for the 1-dimensional cells.


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- This yields a decomposition of $\mathbb{R}^{1}$ (the $x$-axis).


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- We want to lift this decomposition to $\mathbb{R}^{2}$.


## Projection and Base Phase (2)



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- Add points (anywhere) between the zeros as test points for the 1-dimensional cells.
- This yields a decomposition of $\mathbb{R}^{1}$ (the $x$-axis).
- We want to lift this decomposition to $\mathbb{R}^{2}$.
- By the way: How many cells will there be in $\mathbb{R}^{2}$ ?


## Extension Phase（Lifting）


$\varphi\left(f_{1}, f_{2}\right)$
For each test point $t$ from the base phase：
－compute univariate

$$
f_{1}(t, y), \quad f_{2}(t, y)
$$

with algebraic number coefficients．

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－compute zeros and points between zeros $u_{1}, \ldots, u_{s}$ ．

## Extension Phase (Lifting)


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For each test point $t$ from the base phase:

- compute univariate

$$
f_{1}(t, y), \quad f_{2}(t, y) .
$$

with algebraic number coefficients.

- compute zeros and points between zeros $u_{1}, \ldots, u_{s}$.
- this yields test points

$$
\left(t, u_{1}\right), \ldots,\left(t, u_{s}\right) \in \mathbb{R}^{2}
$$

for the cylinder over $t$.

Example: a CAD as a "data structure"

$$
\begin{aligned}
& P_{3}=\left\{x_{1}^{2}+x_{2}^{2}+x_{3}^{2}-4\right\} \\
& P_{2}=\left\{x_{2}^{2}+x_{1}^{2}-4\right\} \\
& P_{1}=\left\{x_{1}+2, x_{1}-2\right\}
\end{aligned}
$$



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$$



## SAT-Checking



$$
\varphi\left(f_{1}, f_{2}\right)
$$

- Finitely many test points

$$
T=\left\{\left(t_{1}, u_{t_{1}, 1}\right), \ldots,\left(t_{1}, u_{t_{1}, s_{1}}\right),\right.
$$

$$
\left.\left(t_{r}, u_{t_{r}, 1}\right), \ldots,\left(t_{r}, u_{t_{r}, s_{r}}\right)\right\}
$$

## SAT-Checking



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\varphi\left(f_{1}, f_{2}\right)
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- Finitely many test points

$$
\begin{gathered}
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\vdots \\
\left.\left(t_{r}, u_{t_{r}, 1}\right), \ldots,\left(t_{r}, u_{t_{r}, s_{r}}\right)\right\}
\end{gathered}
$$

- $\mathbb{R} \models \exists \underline{\exists}\left(f_{1}, f_{2}\right)$ iff ex. $t \in T$ s.t.

$$
\mathbb{R},(x, y)=t \models \varphi\left(f_{1}, f_{2}\right)
$$

## Complete Decision Procedure



- Finitely many test points

$$
\begin{gathered}
T=\left\{\left(t_{1}, u_{t_{1}, 1}\right), \ldots,\left(t_{1}, u_{t_{1}, s_{1}}\right),\right. \\
\vdots \\
\left.\left(t_{r}, u_{t_{t}, 1}\right), \ldots,\left(t_{r}, u_{t_{r}, s_{r}}\right)\right\} .
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$$

## Complete Decision Procedure



- Finitely many test points

$$
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$$
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$$

- $\forall x \exists y \varphi\left(f_{1}, f_{2}\right)$ :
"In each cylinder there is a cell such that ..."
Satisfying $t$ in each row of $T$ ?


## Complete Decision Procedure



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$$
\begin{gathered}
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\vdots \\
\left.\left(t_{r}, u_{t_{r}, 1}\right), \ldots,\left(t_{r}, u_{t_{r}, s_{r}}\right)\right\}
\end{gathered}
$$

- $\forall x \exists y \varphi\left(f_{1}, f_{2}\right)$ :
"In each cylinder there is a cell such that ..."

Satisfying $t$ in each row of $T$ ?

- $\exists x \forall y \varphi\left(f_{1}, f_{2}\right)$ :
"There is a cylinder such that for each cell ..."
A satisfying column of $T$ ?


## Complete Decision Procedure



- Finitely many test points

$$
\begin{gathered}
T=\left\{\left(t_{1}, u_{t_{1}, 1}\right), \ldots,\left(t_{1}, u_{t_{1}, s_{1}}\right),\right. \\
\vdots \\
\left.\left(t_{r}, u_{t, 1}\right), \ldots,\left(t_{r}, u_{t_{r}, s_{r}}\right)\right\} .
\end{gathered}
$$

- $\forall x \exists y \varphi\left(f_{1}, f_{2}\right)$ :
"In each cylinder there is a cell such that ..."

Satisfying $t$ in each row of $T$ ?

- $\exists x \forall y \varphi\left(f_{1}, f_{2}\right)$ :
"There is a cylinder such that for each cell ..."
A satisfying column of $T$ ?
- The innermost variable $y$ was projected first.


## Some Remarks Before We Continue

- Given $\varphi\left(f_{1}, f_{2}\right)$ essentially all the algorithmic work we have done is valid for arbitrary Boolean combinations $\psi\left(f_{1}, f_{2}\right)$ of arbitrary constraints with left hand sides $f_{1}, f_{2}$ (and right hand sides 0 ).


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- In practice, for general input, CAD is the best we have.
- Until now, we have not used and did not "really know" the cells - only test points.


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- For each $c \in C$ with test point $t_{c} \in \mathbb{R}^{n-k}$ we can decide $\psi\left(t_{c}\right)$ and collect TRUECELLS $=\left\{c \in C \mid \mathbb{R},\left(x_{1}, \ldots, x_{k}\right)=t_{c} \vDash \psi\right\} \subseteq C$.


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$$
\mathbb{R} \models \psi \longleftrightarrow \bigvee_{c \in \text { TRUECELLS }} \Delta_{c} .
$$

Solution Formula Construction Example


| cell | $P_{1,1}$ | $P_{1,2}$ | $P_{2,1}$ | $T / F$ |
| :---: | :---: | :---: | :---: | :---: |
| 1,1 | - | - | + | $F$ |
| 2,1 | 0 | - | + | $F$ |
| 2,2 | 0 | - | 0 | $T$ |
| 2,3 | 0 | - | + | $F$ |
| 3,1 | + | - | + | $F$ |
| 3,2 | + | - | 0 | $F$ |
| 3,3 | + | - | - | $T$ |
| 3,4 | + | - | 0 | $F$ |
| 3,5 | + | - | + | $F$ |
| 4,1 | + | 0 | + | $F$ |
| 4,2 | + | 0 | 0 | $F$ |
| 4,3 | + | 0 | + | $F$ |
| 5,1 | + | + | + | $F$ |

Solution Formula Construction Example


$$
P_{2,1}<0
$$

| cell | $P_{1,1}$ | $P_{1,2}$ | $P_{2,1}$ | $T / F$ |
| :---: | :---: | :---: | :---: | :---: |
| 1,1 | - | - | + | $F$ |
| 2,1 | 0 | - | + | $F$ |
| 2,2 | 0 | - | 0 | $T$ |
| 2,3 | 0 | - | + | $F$ |
| 3,1 | + | - | + | $F$ |
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Solution Formula Construction Example


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| 1,1 | - | - | + | $F$ |
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$$
P_{2,1}<0 \vee P_{1,1}=0 \wedge P_{2,1}=0
$$

## Solution Formula Construction Problem

$$
\exists y\left[x^{2}+y^{2}-1<0 \wedge x-y<0\right]
$$



| cell | $x+1$ | $x-1$ | $x^{2}-2$ | $T / F$ |
| :---: | :---: | :---: | :---: | :---: |
| 1 | - | - | + | $F$ |
| 2 | 0 | - | + | $F$ |
| 3 | + | - | + | $T$ |
| 4 | + | - | 0 | $T$ |
| 5 | + | - | - | $T$ |
| 6 | + | - | 0 | $F$ |
| 7 | + | - | + | $F$ |
| 8 | + | 0 | + | $F$ |
| 9 | + | + | + | $F$ |

## Solutions to the Solution Formula Problem (1)

## Augmented Projection

- The approach of the original Collins article (1975).
- Idea: Produce sufficiently many polynomials during projection.
- Technically one adds "lots of derivatives."


## A very simple demonstration of the idea

- Consider a single polynomial $f=x^{3}-12 x^{2}+44 x-48$.



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Augmented projection is considered practically infeasible.

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- In several variables one could obtain, e.g.,

$$
\begin{aligned}
& \operatorname{root}_{\alpha}\left(\alpha^{2}-2,1\right)<x<\operatorname{root}_{\alpha}\left(\alpha^{2}-2,2\right) \wedge \\
& \operatorname{root}_{\beta}\left(3 \beta^{7}-\beta+4 x^{5}, 3\right)<y<\operatorname{root}_{\beta}\left(3 \beta^{7}-\beta+4 x^{5}, 5\right)
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State-of-the-art in QEPCAD and Mathematica, and used in Z3/NLSAT.

## Summary

- virtual substitution for real quantifier elimination and some variants (extended, generic)
- software: Redlog and other
- other theories
(integers, comples, differential, padic, terms, queues, PQSAT)
- applications in geometry, verification, ...
- cylindrical algebraic decomposition (CAD)

