S-72.2211 Mobile Communication Systems and Services

Principles of DS-CDMA

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- Spreading the transmission bandwidth to be >> information symbol frequency
 - introducing redundancy by occupying more frequency
- Frequency Hopped Spread Spectrum (FH-SS)
- Direct Sequence Spread Spectrum
 - spreading by multiplying linear modulation symbols with a spreading code
 - different spreading codes can be used to multiplex channels and/or for multiple access
 - Direct Sequence Code Division Multiple Access (DS-CDMA)



Despreading

- At receiver, despreading is performed
- Example: 1-tap channel *h*,constant during transmission
- equivalent baseband signal model, one sample per chip
 - received signals during the SF chips of transmitting the spread symbol:

 $\mathbf{y} = hx\mathbf{c} + \mathbf{n} = \begin{bmatrix} hx & hx & -hx & hx & -hx & hx & -hx \end{bmatrix}^{\mathrm{T}} + \mathbf{n}$

where $\ensuremath{\mathbf{n}}$ is noise and interference

• despreading by multiplying with the (transposed) spreading code:

$$\mathbf{z} = \mathbf{c}^{\mathrm{T}}\mathbf{y} = hx\mathbf{c}^{\mathrm{T}}\mathbf{c} + \mathbf{c}^{\mathrm{T}}\mathbf{n} = SF\ hx + \mathbf{c}^{\mathrm{T}}\mathbf{n}$$

- the chips carrying information about symbol *x* are coherently combined
- noise and interference is despread and non-coherently combined (as long as interference is not transmitted with the same spreading code)

Interference from Other Code Channel

- assume transmission on another code channel (e.g. another user) with same timing and same SF
 - symbol, code, channel of user of interest: $x_1, \ h_1, \ \mathbf{c}_1$
 - symbol, code, channel of interfering user: $x_2, \ h_2, \ \mathbf{c}_2$
- received signals during the SF chips

 $\mathbf{y} = h_1 x_1 \mathbf{c}_1 + h_2 x_2 \mathbf{c}_2 + \mathbf{n}$

where \mathbf{n} is noise (and other interference)

• despreading:

$$\mathbf{z}_1 = \mathbf{c}_1^{\mathrm{T}} \mathbf{y} = SF \ h_1 x_1 + h_2 x_2 \mathbf{c}_1^{\mathrm{T}} \mathbf{c}_2 + \mathbf{c}_1^{\mathrm{T}} \mathbf{n}$$

• interference caused by transmission using c_2 on c_1 determined by cross-correlation $c_1^T c_2$ of c_1 and c_2

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Interference form Other Channel Tap

- Example: 2-tap channel $\begin{bmatrix} h_1 & h_2 \end{bmatrix}$
- received signals during the SF chips of transmitting the spread symbol:

$$\mathbf{y} = h_1 x \begin{bmatrix} 1 & 1 & -1 & 1 & -1 & -1 & 1 & -1 \end{bmatrix}^{\mathrm{T}} + h_2 x \begin{bmatrix} 0 & 1 & 1 & -1 & 1 & -1 & -1 & 1 \end{bmatrix}^{\mathrm{T}} + h_2 x_0 \begin{bmatrix} -1 & 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}^{\mathrm{T}} + \mathbf{n} \\ = h_1 x \mathbf{c}^{\mathrm{T}} + h_2 x \mathbf{c}^{\mathrm{T}}_{\mathrm{shift 1}} + \begin{bmatrix} h_2(x_0 - x)c_1 & 0 & 0 & 0 & 0 & 0 \end{bmatrix}^{\mathrm{T}} + \mathbf{n} \\ \bullet x_0 \text{ is the previous transmitted symbol, } c_1 \text{ is the first chip in c} \\ \bullet \mathbf{c}_{\mathrm{shift 1}} \text{ is the permuted version of c where last chip is first} \end{cases}$$

• despreading with timing of first channel tap:

$$\mathbf{z} = \mathbf{c}^{\mathrm{T}} \mathbf{y} \approx SF h_1 x + h_2 x \mathbf{c}^{\mathrm{T}} \mathbf{c}_{\mathrm{shift 1}} + \mathbf{c}^{\mathrm{T}} \mathbf{n}$$

• Inter-Path Interference is characterized by *auto-correlation* $c^{T}c_{shift n}$ of code with shifted versions of itself

Orthogonal and Non-orthogonal Codes I

• A family of spreading codes is a set $\{c_j\}$ • different spreading codes define different code multiplexed channels • A family of spreading codes is orthogonal if $\mathbf{c}_i^{\mathrm{T}} \mathbf{c}_j = SF \delta_{ij}$ • Here δ_{ij} is the Kronecker delta, $\delta_{ij} = \begin{cases} 0, & i \neq j \\ 1, & i = j \end{cases}$ • at most SF orthogonal codes with length SF• example for SF = 4: $\mathbf{c}_1 = \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}$ $\mathbf{c}_2 = \begin{bmatrix} 1 \\ -1 \\ 1 \\ -1 \end{bmatrix}$ $\mathbf{c}_3 = \begin{bmatrix} 1 \\ 1 \\ -1 \\ -1 \end{bmatrix}$ $\mathbf{c}_4 = \begin{bmatrix} 1 \\ -1 \\ -1 \\ 1 \end{bmatrix}$ • these are mutually orthogonal: $\mathbf{c}_1^{\mathrm{T}} \mathbf{c}_2 = \mathbf{c}_1^{\mathrm{T}} \mathbf{c}_3 = \mathbf{c}_2^{\mathrm{T}} \mathbf{c}_3 = \mathbf{c}_2^{\mathrm{T}} \mathbf{c}_4 = \mathbf{c}_3^{\mathrm{T}} \mathbf{c}_4 = 0$ • and normalized: $\mathbf{c}_1^{\mathrm{T}} \mathbf{c}_1 = \mathbf{c}_2^{\mathrm{T}} \mathbf{c}_2 = \mathbf{c}_3^{\mathrm{T}} \mathbf{c}_3 = \mathbf{c}_4^{\mathrm{T}} \mathbf{c}_4 = SF = 4$

Orthogonal and Non-orthogonal Codes II

- Orthogonality is lost if codes are received with different timing
- Autocorrelation properties of orthogonal codes are poor
 - for example the autocorrelation of $\mathbf{c}_1 = [1 \; 1 \; 1 \; 1]$ with any shifted version of itself is SF=4
 - this leads to poor resistance against inter-path interference
- For random spreading codes, cross & and autocorrelation are

 $E\left\{\mathbf{c}_{i}^{\mathrm{T}}\mathbf{c}_{j}\right\} = \sqrt{SF} \text{ for } i \neq j \qquad E\left\{\mathbf{c}^{\mathrm{T}}\mathbf{c}_{\mathrm{shift}n}\right\} = \sqrt{SF} \text{ for } n \neq 0$

- expected cross-correlation of two random codes is \sqrt{SF}
- expected auto-correlation of random code with shifted versions of itself is \sqrt{SF}



- With orthogonal codes, the channel can be divided into SF orthogonal code channels, each with symbol rate 1/SF of the chip rate
- Just as with TDMA, one can divide the chip rate into SF orthogonal time domain channels with rate 1/SF
 - in TDMA, symbols/channels are multiplexed in the time domain
 - in orthogonal CDMA, symbols/channels are multiplexed in the code domain \Rightarrow Multicode transmission
- in orthogonal CDMA with given SF, at most SF orthogonal channels can be designed

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Multicode CDMA vs. TDMA Example I

- 4 symbols on 4 signaling channels, possibly with different wireless channels *h*₁, *h*₂, *h*₃, *h*₄:
- Time Division Multiplexed channels:

$$\mathbf{y} = \begin{bmatrix} h_1 x_1 \\ h_2 x_2 \\ h_3 x_3 \\ h_4 x_4 \end{bmatrix} + \mathbf{n}$$

4 Code Division Multiplexed channels with SF = 4:
define spreading matrix using SF orthogonal codes:

$$\mathbf{C} = \begin{bmatrix} \mathbf{c}_1 & \mathbf{c}_2 & \mathbf{c}_3 & \mathbf{c}_4 \end{bmatrix}$$

у

• for orthogonal spreading, C is orthogonal:
$$C^{T}C = CC^{T} = SFI$$

• Rx signal is sum of Rx signals of the CDM channels:

$$= \frac{1}{2} (h_1 x_1 \mathbf{c}_1 + h_2 x_2 \mathbf{c}_2 + h_3 x_3 \mathbf{c}_3 + h_4 x_4 \mathbf{c}_4) + \mathbf{n}$$

$$= \frac{1}{2} \begin{bmatrix} \mathbf{c}_1 & \mathbf{c}_2 & \mathbf{c}_3 & \mathbf{c}_4 \end{bmatrix} \begin{bmatrix} h_1 x_1 \\ h_2 x_2 \\ h_3 x_3 \\ h_4 x_4 \end{bmatrix} + \mathbf{n} = \frac{1}{\sqrt{SF}} \mathbf{C} \begin{bmatrix} h_1 x_1 \\ h_2 x_2 \\ h_3 x_3 \\ h_4 x_4 \end{bmatrix} + \mathbf{n}$$

• normalization by $\frac{1}{2}$ to have same Tx power in TDM and CDM

Multicode CDMA vs. TDMA Example II

- despreading of all channels: 4 despreading outputs z_i
 - include scaling with 1/√SF = 1/2 into despreading
 scales received signals and interference + noise similarly

$$\mathbf{z} = \begin{bmatrix} z_1 \\ z_2 \\ z_3 \\ z_4 \end{bmatrix} = \frac{1}{\sqrt{SF}} \mathbf{C}^{\mathrm{T}} \mathbf{y} = \frac{1}{\sqrt{SF}} \mathbf{C}^{\mathrm{T}} \mathbf{C} \begin{bmatrix} h_1 x_1 \\ h_2 x_2 \\ h_3 x_3 \\ h_4 x_4 \end{bmatrix} + \frac{1}{\sqrt{SF}} \mathbf{C}^{\mathrm{T}} \mathbf{n}$$

 $h_2 x_2$

 h_3x_3

 $+\tilde{n}$

- ullet with orthogonal spreading $\mathbf{z}=$
- noise hase not been coloured in despreading:

$$\mathbf{E}\left\{\tilde{\mathbf{n}}\tilde{\mathbf{n}}^{\mathrm{H}}\right\} = \frac{1}{SF} \mathbf{E}\left\{\mathbf{C}^{\mathrm{T}}\mathbf{n}\mathbf{n}^{\mathrm{H}}\mathbf{C}\right\} = \frac{1}{SF}\mathbf{C}^{\mathrm{T}}\underbrace{\mathbf{E}\left\{\mathbf{n}\mathbf{n}^{\mathrm{H}}\right\}}_{=N_{0}\mathbf{I}}\mathbf{C} = N_{0}\mathbf{I}$$

• In 1-tap channel TDM & multicode orthogonal CDM equivalent

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• difference in Peak-to-average power Ratio (PAR), higher in CDM

Principles of CDMA





- Downlink is intra-cell synchronous by definition
 - Transmissions to all users have the same timing
 - Orthogonal spreading may be used
- Including possible multicode transmissions to a user
- To synchronize intra-cell UL, accurate Timing Advance is required
 - Less than a fraction of the chip rate
 - If UL is intra-cell synchronized, orthogonal spreading may be used
 - If UL is not synchronous, orthogonal spreading for different users cannot be used
- Pseudo random spreading is used to randomize interference
- In WCDMA, chip rate 4 Mcps, UL synchonization not considered
 - required TA accuracy would be $< 10^{-7} \ {\rm s}$
 - Orthogonal spreading can be used for multicode transmission from a user
- In CDMA systems, resources used in different cells are not orthogonal
 - Reuse 1
 - Pseudo-random spreading ("scrambling") to mitigate inter-cell interference

Principles of CDMA

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- functions of spreading in Cellular system
 - to provide immunity against multipath interference
 good autocorrelation properties
 - 2. to whiten the inter-cell interference (randomization)
 - 3. to provide orthogonal CDM for synchronized intra-cell channels
- these somewhat conflicting targets are achieved by partitioning spreading code into two parts
 - scrambling code
 - a long sequence of pseudo-random +-1:s generated by mathematically defined random sign generator, known at BS and MS
 - fulfils targets 1 and 2
 - channelization code
 - performs the spreading from symbol rate to chip rate
 - length SF
 - family of SF orthogonal codes, provides multiple orthogonal channels, if needed
 - fulfils target 3
- a symbol is spread by multiplying with a channelization code of length SF and a changing set of SF consecutive chips of the scrambling code



- SF = 4
- channelization code $\mathbf{c} = \begin{bmatrix} -1 & 1 & 1 & -1 \end{bmatrix}$
- pseudo-random scrambling code
- data symbols are BPSK, one bit per symbol, values ± 1
- spectrum is spread from bit rate to chip rate = 4 x bit rate



Processing Gain I

- multi-user CDMA (UL), N users
- the received signal of user k after despreading

$$z_{k} = \mathbf{c}_{k}^{\mathrm{T}} \mathbf{y} = SF h_{k} x_{k} + \sum_{\substack{i=1\\i \neq k}}^{N} h_{i} x_{i} \mathbf{c}_{k}^{\mathrm{T}} \mathbf{c}_{i} + \mathbf{c}_{k}^{\mathrm{T}} \mathbf{n}$$

$$\approx \underbrace{SF h_{k} x_{k}}_{\text{signal}} + \underbrace{\sqrt{SF} \sum_{\substack{i=1\\i \neq k}}^{N} h_{i} x_{i}}_{i \neq k} + \underbrace{\mathbf{c}_{k}^{\mathrm{T}} \mathbf{n}}_{\text{noise}}$$

Multiple Access Interference

- the last approximate equality uses expected cross-correlation of pseudo-random spreading
- expected noise power is $E \{ \mathbf{c}_k^T \mathbf{n} \mathbf{n}^H \mathbf{c}_k \} R_c = \mathbf{c}_k^T \mathbf{c}_k N_0 R_c = SF N_0 R_c$ • the energy of the *SF* noise samples combined in despreading, times chip bandwidth (chip rate)



- expected signal power is $SF^2|h|^2|x|^2R_c = SF^2P_k$
 - P_k is the received signal power for user k, the channel gain times the transmitted symbol power (symbol energy/chip duration)
- expected interference power is

$$SF \ E\left\{\sum_{\substack{i=1\\i\neq k}}^{N} h_i x_i \ \sum_{\substack{j=1\\j\neq k}}^{N} h_j^* x_j^*\right\} R_c = SF \sum_{\substack{i=1\\i\neq k}}^{N} |h_i|^2 |x_i|^2 R_c = SF \sum_{\substack{i=1\\i\neq k}}^{N} P_i$$

• the post-despreading SINR of user k is

$$\gamma_k = \frac{SF P_k}{\sum_{\substack{i=1\\i\neq k}}^N P_i + N_0 R_c} \equiv G \frac{P_k}{\sum_{\substack{i=1\\i\neq k}}^N P_i + N_0 R_c}$$

- the spreading factor provides the processing gain G = SF against noise and interference
 - processing gain is 3dB per doubling of SF
 - here Multiple Access Interference (MAI) treated
 - similarly, spreading provides processing gain against Inter-Path Interference and Inter-Cell Interference

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Near–Far Effect

- recall SINR of user k: $\gamma_k = \frac{G P_k}{\sum_{\substack{i=1\\i \neq k}}^N P_i + N_0 R_c}$
- With the same Tx power, received power differences of UL users due to path loss may be up to 90 dB, due to fast fading up to 50dB.
- To overcome this, a user in a disadvantaged position would need a processing gain of the same magnitude, i.e. $G \ 10^9$
- This near-far effect causes a significant reduction of the capacity
- To cope with the near-far effect, effective uplink power control is required in DS-CDMA systems







Purpose of PC:

- Uplink
 - removes excessive intra-cell (and inter-cell) interference caused by transmissions of users close to BS
 - makes Rx power of users nearly equal to serve whole coverage area properly
- Downlink
 - removes excessive inter-cell interference caused by transmissions to users close to BS
 - keeps the received signal at minimum required level properly
- Both links
 - mitigate fast fading
 - creates reliable channels for circuit switched traffic



- All resources can be used in all cells
 - wideband channel and large SF produce sufficient SINR for cell edge users \Rightarrow high system capacity
- Protection against multipath interference
 - based on good autocorrelation properties of the spreading codes
 - if spreading factor is large
- Multipath diversity can be utilised by the RAKE-receiver
- It is easy to multiplex different channels in the code domain
 control and transport channels, different users
- Silence periods in the transmitted signal do not consume resources
- Narrowband interference rejection
 - A narrowband signal will be spread in the correlation receiver
- Privacy and low probability of interception
 - signal can be detected only if spreading code is known
- In a hostile environment good anti-jamming properties

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Disatvantages of DS-CDMA

- In UL, chip synchronisation between the users is overwhelming
 ⇒ Multiple Access Interference between users
- In DL, Inter-Path Interference reduces orthogonality of users
- Accurate power control needed to avoid near-far problems which put distant users in an unfavourable situation
- CDMA is fundamentally an access scheme for low rates and many users
 - RAKE works well in severe multipath channel only if significant fraction of the possible orthogonal codes are not used (DL)
 - despreading in UL works well only for large SF
 - When striving for high data rates with high SINR requirements, IPI and MAI dominate performance
 - More complex receivers (chip equalizers) are needed to mitigate IPI and MAI
 - Simplicity of DS-CDMA is lost

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CDMA Capacity

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- divide interfering users to own cell and other cell interference
 - N users in own cell, M interfering cells, N_i users in cell i
 - activity factors ho_j and $ho_{i,l}$ of own cell and other cell users
- multipath interference can be modeled as interfering user
- post-despreading SINR of user k is

$$\gamma_k = \frac{G P_k}{I_{\text{own}} + I_{\text{other}} + P_k / \gamma_{\text{RF}}}$$

average own and other cell interference powers, and SNR (before despreading) are

$$I_{\text{own}} = \sum_{j=1, j \neq k}^{N} \rho_j P_j \qquad I_{\text{other}} = \sum_{i=1}^{M} \sum_{l=1}^{N_i} \rho_{i,l} P_j \qquad \gamma_{\text{RF}} = \frac{P_k}{N_0 R_c}$$

• other cell interference is fraction of own cell interference:

$$I_{\rm other} \approx f I_{\rm own}$$

- f depends on path loss and distribution of users, typically $f \approx 0.6$
- the received powers are subject to power control

CDMA Capacity



- Consider the case where all users receive the same service
 - target SINR γ_{target}
 - power control target $P_j = P$
 - same activity factor $\rho_j = \rho$

$$\gamma_k = \frac{G}{(1+f)(N-1)\rho + 1/\gamma_{\rm RF}} \ge \gamma_{\rm target}$$

• this constrains the number of users that can receive this service:

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$$N \le N_{\text{max}} = \frac{1}{\rho(1+f)} \left(\frac{G}{\gamma_{\text{target}}} - \frac{1}{\gamma_{\text{RF}}} \right) + 1$$

- N_{\max} is directly proportional to G
- inversly proportional to γ_{target}

CDMA Capacity





- parameters: G = 256, $\rho = 0.5$, f = 0.6
- capacity saturates when γ_{RF} grows: interference limitation
- $N_{\rm max}$ is significantly less than the spreading factor G





• the SINR can be calculated from the previous equation:

$$\gamma_k = \left(1 - (1+f)\sum_{j \neq k} \frac{\rho_j \gamma_j}{G_j}\right) G_k \gamma_{\rm RF}$$

- Define $\gamma_0 = G_k \gamma_{\rm RF}$, post-despreading SINR in absence of MAI
- the noise rise is

$$\frac{\gamma_0}{\gamma_k} = \frac{I_{\text{own}} + I_{\text{other}} + N_0 R_c}{N_0 R_c} = \left(1 - (1+f) \sum_{j \neq k} \frac{\rho_j \gamma_j}{G_j}\right)^{-1}$$

- the increase in disturbances over thermal noise due to MAI
- Note: if (1 + f) Σ_{j≠k} ρ_{jγj}/G_j = 1, noise rise is infinite
 target SINR cannot be met at any SNR



- the more users the higher load in the system
- the higher SINR targets, the higher load
- the more activitym the higher load
- the fractional load is

$$\eta = (1+f)\sum_{j} \frac{\rho_j \gamma_j}{G_j}$$

- note: all users taken into account (also user k)
- noise rise equation was derived based on the power control condition that assumed that a user takes negligible resources
 - \Rightarrow no essential difference betwwen fractional load and sum in noise rise
- relation of fractional and noise rise:

$$\frac{\gamma_0}{\gamma_k} \approx \frac{1}{1-\eta}$$

• when number of users and their SINR requirements grow so that $\eta \rightarrow 1$, the required SNR (and the Tx power) grows to infinity

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• infinitely interference limited network

CDMA Capacity

Pole Capacity

fractional load 1 determines the pole capacity of the CDMA system

$$\eta = (1+f)\sum_{j}\frac{\rho_{j}\gamma_{j}}{G_{j}} = 1$$

- the number of users and SINR requirements that can be served if all users have $\infty~\text{Tx}$ power
- with finite Tx powers, capacity is less than pole capacity
- Example: similar users
- pole capacity equation:

$$\frac{1}{1+f}N_{\max}\frac{\rho\gamma}{G} = 1 \implies N_{\max} = \frac{G}{(1+f)\rho\gamma}$$

takle $\rho = 0.5$, $G = 256$, $\gamma = [3, 6, 9]dB = [2, 4, 8]$
 $f = 0.6 = 3/5$

$$N_{\max} = \frac{5}{8} \cdot 256 \cdot \left[1, \frac{1}{2}, \frac{1}{4}\right] = [160, 80, 40]$$

compare plot for similar users above



- In DL CDMA, users in a cell typically use orthogonal spreading codes
- Multiple Access Interference arises from inter-path interference
 partly destroys orthogonality of spreading codes
- can be modelled by an orthogonality factor α :

$$\eta = (1 - \alpha + f) \sum_{j} \frac{\rho_j \gamma_j}{G_j}$$

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- in frequency flat (single path) channel, $\alpha=1$
 - no in-cell interference
- in frequency selective fading, $\alpha < 1$

CDMA Capacity



- According to noise rise equation, post-despreading SNR γ_0 is larger than SINR γ_k
- an Interference Margin (IM) needs to be added to the link budget

$$IM = 10\log\left(\frac{1}{1-\eta}\right)$$

- takes care of the multiple access interference
- when load is approaching the pole capacity, the IM becomes infinite
- interference margin, fractional load, noise rise:
 - different ways to view the same phenomenon: degree of interfernce limitation of CDMA

Interference Margin II



CDMA Capacity

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Load vs. Coverage I

• Consider the path loss model $L_p = L_0 + 10n \log(r)$ in [dB]

- L_0 is the path loss at 1 km distance
- n is the path loss exponent
- r is the distance in km
- Link budget: $P_{Tx} S = L_1 + L_p + IM$
 - P_{Tx} is the transmitter power level [dBm]
 - S is the receiver sensitivity [dBm]
 - IM is the interference margin due to traffic load
 - L_1 is the sum of system gains and losses except for L_p and IM
- determine coverage area $A_0 = \pi r_0^2$ for zero fractional load and coverage area $A = \pi r^2$ for non-zero fractional load
- find ratio from

$$10n \log r + IM = 10n \log r_0 \quad \Rightarrow \quad \frac{A}{A_0} = 10^{-IM/5n} = (1 - \eta)^{2/n}$$



	n			
$ \eta $	2	3	4	5
0	1	1	1	1
0.5	0.5	0.63	0.71	0.87
0.7	0.3	0.45	0.55	0.79
0.9	0.1	0.22	0.32	0.63



- Cell breathing: Coverage area decreases with increasing traffic load
 - price from reuse 1: increasing interference at cell edge
 - breathing stronger for low path loss exponents
 - cell size must be planned according to maximum load

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