$$\det(\gamma_{\mu}p_{\mu}-m^{*}) , p_{0} = i(\omega_{n}+i\mu)$$

**Exercise 2:** Show that 
$$2\sum_{n=-\infty}^{+\infty} \ln \beta^2 [\omega^2 + (\omega_n + i\mu)^2] = \sum_{n=-\infty}^{+\infty} \left\{ \ln \beta^2 [\omega_n^2 + (\omega - \mu)^2] + \ln \beta^2 [\omega_n^2 + (\omega + \mu)^2] \right\}$$

## PARTITION FUNCTION FOR FERMIONIC FIELDS

Since both positive and negative frequencies have to be summed over, the latter expression can be put in a form analogous to the above expression in the bosonic case,

$$\ln Z = \sum_{n} \sum_{\vec{p}} \left\{ \ln \left[ \beta^2 \left( \omega_n^2 + (\omega - \mu)^2 \right) \right] + \ln \left[ \beta^2 \left( \omega_n^2 + (\omega + \mu)^2 \right) \right] \right\}$$

In the further evaluation we can go similar steps as in the bosonic case, with two exceptions: (1) the presence of a chemical potential, splitting the contributions of particles and antiparticles; (2) the Matsubara frequencies are now odd multiples of  $\pi T$ , so that the infinite sum to be exploited reads

$$\sum_{n=-\infty}^{\infty} \frac{1}{(2n+1)^2 \pi^2 + \Theta^2} = \frac{1}{\Theta} \left( \frac{1}{2} - \frac{1}{e^{\Theta} + 1} \right) .$$

1

Integrating over the auxiliary variable  $\Theta$ , and dropping terms independent of  $\beta$  and  $\mu$ , we finally obtain

$$\ln Z = 2V \int \frac{d^3 p}{(2\pi)^3} \left[ \beta \omega + \ln(1 + e^{-\beta(\omega-\mu)}) + \ln(1 + e^{-\beta(\omega+\mu)}) \right]$$

Notice that the factor 2 corresponding to the spin- $\frac{1}{2}$  nature of the fermions comes out automatically. Separate contributions from particles ( $\mu$ ) and antiparticles (- $\mu$ ) are evident. Finally, the zero-point energy of the vacuum also appears in this formula.

## INTERACTIONS: HUBBARD-STRATONOVICH TRICK

A general class of interactions for which the Hubbard-Stratonovich (HS) transformation is immediately applicable, are four-fermion couplings of the current-current type

$$\mathcal{L}_{int} = G(\bar{\psi}\psi)^2 \,. \tag{3}$$

A Fermi gas with this typ of interaction serves as a model for electronic superconductivity (Bardeen-Cooper-Schrieffer (BCS) model, 1957) or for chiral symmetry breaking in quark matter (Nambu–Jona-Lasinio (NJL) model, 1961).

The HS-transformation for (3) reads

$$\exp\left[G(\bar{\psi}\psi)^2\right] = \mathcal{N}\int \mathcal{D}\sigma \exp\left[\frac{\sigma^2}{4G} + \bar{\psi}\psi\sigma\right]$$

and allows to bring the functional integral over fermionic fields into a quadratic (Gaussian) form so that fermions can be integrated out.

This is also called *Bosonization* procedure.

#### BOSE-EINSTEIN CONDENSATION: CHARGED SCALAR FIELD

Consider a complex scalar field (two real components  $\phi_1$ ,  $\phi_2$ ):  $\Phi = (\phi_1 + i\phi_2)/\sqrt{2}$ ,  $\Phi^* = (\phi_1 - i\phi_2)/\sqrt{2}$ 

 $\mathcal{L} = \partial_{\mu} \Phi^* \partial^{\mu} \Phi - m^2 \Phi^* \Phi - \lambda (\Phi^* \Phi)^2 ,$ 

with U(1) symmetry:  $\Phi \longrightarrow \Phi e^{-i\alpha}$ , where  $\alpha$  is a real constant. Noether theorem: continuous symmetry  $\rightarrow$  conserved current

$$\mathcal{L} \to \mathcal{L}' = \partial_{\mu} (\Phi^* e^{i\alpha(x)}) (\partial^{\mu} \Phi e^{-i\alpha(x)}) - m^2 \Phi^* \Phi - \lambda (\Phi^* \Phi)^2 ,$$
  
=  $\mathcal{L} + \Phi^* \Phi \partial_{\mu} \alpha \partial^{\mu} \alpha + i \partial_{\mu} \alpha (\Phi^* \partial^{\mu} \Phi - \Phi \partial^{\mu} \Phi^*)$ 

Equation of motion for the "field"  $\alpha(x)$ 

$$\partial^{\mu} \frac{\partial \mathcal{L}'}{\partial(\partial^{\mu} \alpha)} = \frac{\partial \mathcal{L}'}{\partial \alpha}$$

Since  $\partial \mathcal{L}' / \partial \alpha = 0$  follows a conserved "current":  $\partial \mathcal{L}' / \partial (\partial^{\mu} \alpha) = \Phi^* \Phi \partial_{\mu} \alpha - i \Phi \partial_{\mu} \Phi^* + i \Phi^* \partial_{\mu} \Phi$ . Recover original field theory by setting  $\alpha$  =constant. Then

$$j_{\mu} = i(\Phi^* \partial_{\mu} \Phi - \Phi \partial_{\mu} \Phi^*) , \quad \partial^{\mu} j_{\mu} = 0$$

Full current:  $J_{\mu} = \int d^3x j_{\mu}(x)$ ; conserved charge:  $Q = \int d^3x j_0(x)$ 

# **BOSE-EINSTEIN CONDENSATION: CHARGED SCALAR FIELD (2)**

Decompose the complex  $\Phi = (\phi_1 + i\phi_2)/\sqrt{2}$  into real and imaginary parts:  $\phi_1$ ,  $\phi_2$ . Conjugate momenta:  $\pi_1 = \partial \phi_1/\partial t$ ,  $\pi_2 = \partial \phi_2/\partial t$ Hamiltonian density and charge:

$$\mathcal{H} = \frac{1}{2} \left[ \pi_1^2 + \pi_2^2 + (\nabla \phi_1)^2 + (\nabla \phi_2)^2 + m^2 \phi_1^2 + m^2 \phi_2^2 \right] + \frac{\lambda}{4} (\phi_1^2 + \phi_2^2)^2 , \quad Q = \int d^3 x (\phi_2 \pi_1 - \phi_1 \pi_2) d^3 x (\phi_2 \pi_1 - \phi_2) d^3$$

The partition function is

$$Z = \int [d\pi_1] [d\pi_2] \int_{\text{periodic}} [d\phi_1] [d\phi_2] \exp\left[\int^\beta d^4x \left(i\pi_1 \frac{\partial \phi_1}{\partial \tau} + i\pi_2 \frac{\partial \phi_2}{\partial \tau} - \mathcal{H}(\pi_1, \pi_2, \phi_1, \phi_2) + \mu(\phi_2 \pi_1 - \phi_1 \pi_2)\right)\right]$$

Integration over conjugate field momenta can be done with the result:

$$Z = (N')^2 \int_{\text{periodic}} [d\phi_1] [d\phi_2] \exp\left\{\int^{\beta} d^4x \left[-\frac{1}{2}\left(\frac{\partial\phi_1}{\partial\tau} - i\mu\phi_2\right)^2 - \frac{1}{2}\left(\frac{\partial\phi_2}{\partial\tau} - i\mu\phi_1\right)^2 - \frac{1}{2}(\nabla\phi_1)^2 - \frac{1}{2}(\nabla\phi_2)^2 - \frac{1}{2}m^2\phi_1^2 - \frac{1}{2}m^2\phi_2^2 - \frac{\lambda}{4}(\phi_1^2 + \phi_2^2)^2\right]\right\}.$$

Differs from naïve expectation  $\mathcal{L}(\phi_1, \phi_2, \partial_\mu \phi_1, \partial_\mu \phi_2; \mu = 0) + \mu j_0(\phi_1, \phi_2, \partial \phi_1 / \partial \tau, \partial \phi_2 / \partial \tau)$ by  $\mu^2 \Phi^* \Phi$ 

# **BOSE-EINSTEIN CONDENSATION: CHARGED SCALAR FIELD (3)**

In the following: ideal gas ( $\lambda = 0$ ). For  $\lambda \neq 0$ , perform HS-transformation! (Exercise) Expand components of  $\Phi = (\phi_1 + i\phi_2)/\sqrt{2}$  in Fourier series:

$$\phi_1(\vec{x},\tau) = \sqrt{2}\zeta\cos\theta + \left(\frac{\beta}{V}\right)^{1/2} \sum_{n=-\infty}^{\infty} \sum_{\vec{p}} e^{i(\vec{p}\vec{x}+\omega_n\tau)}\phi_{1;n}(\vec{p}) ,$$
  
$$\phi_2(\vec{x},\tau) = \sqrt{2}\zeta\sin\theta + \left(\frac{\beta}{V}\right)^{1/2} \sum_{n=-\infty}^{\infty} \sum_{\vec{p}} e^{i(\vec{p}\vec{x}+\omega_n\tau)}\phi_{2;n}(\vec{p}) .$$

Infrared character of  $\Phi$  carried by  $\zeta$  and  $\theta$ , independent of  $(\vec{x}, \tau)$ , so  $\phi_{1;0}(\vec{0}) = \phi_{2;0}(\vec{0}) = 0$ . Possibility of condensation of bosons into the zero-momentum state: finite fraction of particles in  $n = 0, \vec{p} = \vec{0}$  state.

$$\begin{split} Z &= (N')^2 \left[ \Pi_n \Pi_{\vec{p}} \int i d\phi_{1;n}(\vec{p}) d\phi_{2;n}(\vec{p}) \right] e^S , \\ S &= \beta V (\mu^2 - m^2) \zeta^2 - \frac{1}{2} \sum_n \sum_{\vec{p}} (\phi_{1;-n}(-\vec{p}), \phi_{2;-n}(-\vec{p})) D \begin{pmatrix} \phi_{1;n}(\vec{p}) \\ \phi_{2;n}(\vec{p}) \end{pmatrix} , \\ D &= \beta^2 \begin{pmatrix} \omega_n^2 + \omega^2 - \mu^2 & -2\mu\omega_n \\ 2\mu\omega_n & \omega_n^2 + \omega^2 - \mu^2 \end{pmatrix} . \end{split}$$

Carrying out integrations yields:

$$\ln Z = \beta V (\mu^2 - m^2) \zeta^2 + \ln(\det D)^{-1}$$

Second term can be handled as:

$$\ln \det D = \ln \left\{ \Pi_n \Pi_{\vec{p}} \beta^4 \left[ (\omega_n^2 + \omega^2 - \mu^2)^2 + 4\mu^2 \omega_n^2 \right] \right\} \\ = \ln \left\{ \Pi_n \Pi_{\vec{p}} \beta^2 \left[ \omega_n^2 + (\omega - \mu)^2 \right] \right\} + \ln \left\{ \Pi_n \Pi_{\vec{p}} \beta^2 \left[ \omega_n^2 + (\omega - \mu)^2 \right] \right\}$$

Putting all together and evaluating the Matsubara sums, we obtain

$$\ln Z = \beta V (\mu^2 - m^2) \zeta^2 - V \int \frac{d^3 p}{(2\pi)^3} [\beta \omega + \ln(1 - e^{-\beta(\omega - \mu)}) + \ln(1 - e^{-\beta(\omega + \mu)})]$$

Result independent of  $\theta$  because of U(1) symmetry. Parameter  $\zeta$  from variation of  $\ln Z$ .

$$\frac{\partial \ln Z}{\partial \zeta} = 2\beta V (\mu^2 - m^2)\zeta = 0$$

implies that  $\zeta = 0$  unless  $|\mu| = m$ , when  $\zeta$  is determined from  $\rho = Q/V$ 

$$\rho = \frac{T}{V} \left( \frac{\partial \ln Z}{\partial \mu} \right)_{\mu=m} = 2m\zeta^2 + \rho^*(\beta, \mu = m) \; ; \; \; \rho^* = \int \frac{d^3p}{(2\pi)^3} \left( \frac{1}{e^{\beta(\omega-\mu)} - 1} - \frac{1}{e^{\beta(\omega+\mu)} - 1} \right)$$

Critical temperature  $T_c$  for condensation from  $\rho = \rho^*(\beta_c, \mu = m)$ .

#### **BOSE-EINSTEIN CONDENSATION: EXERCISES**

1. Find the critical temperature  $T_c$  for condensation from  $\rho = \rho^*(\beta_c, \mu = m)$ . Show that the nonrelativistic (NR,  $\rho \ll m^3$ ) and ultrarelativistic (UR,  $\rho \gg m^3$ ) limits are given by

$$T_{c,\text{NR}} = \frac{2\pi}{m} \left(\frac{\rho}{\zeta(3/2)}\right)^{2/3} ; T_{c,\text{UR}} = \left(\frac{3\rho}{m}\right)^{1/2}$$

2. For  $T < T_c$ , the value of  $\zeta$  is the order parameter of the 2<sup>nd</sup> order condensation phase transition

$$\zeta^2 = \left[ \rho - \rho^*(\beta, \mu = m) \right] / (2m) \ , \ T < T_c \ .$$

At the critical temperature, the expansion  $\zeta \sim t^{\nu}$  for small  $t = T - T_c$  determines a critical exponent  $\nu$ . Find the value of  $\nu$  in both cases.

3. Consider the interacting case  $\lambda \neq 0$ . Perform the Hubbard-Stratonovich transformation by introducing a collective scalar field  $\sigma$ . Discuss the effect of  $\lambda \neq 0$  on the thermodynamic potential in the mean-field approximation for  $\sigma$ , i.e. by neglecting the path integral over the  $\sigma$ -fields and determining  $\sigma$  in the thermodynamical equilibrium from a gap equation  $\partial \ln Z/\partial \sigma = 0$ .

 $\det(\gamma_{\mu}p_{\mu}-m^{*})$  ,  $p_{0}=i(\omega_{n}+i\mu)$ 

Solution: 1. Use explicit form of gamma matrices (and Pauli matrices)

$$\gamma_0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}; \quad \gamma_i = \begin{pmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{pmatrix}$$
$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}; \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}; \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

2. Write down the determinant

$$\|\gamma_{\mu}p_{\mu} - m^{*}\| = \begin{vmatrix} (p_{0} - m^{*}) & 0 & p_{3} & (p_{1} - ip_{2}) \\ 0 & (p_{0} - m^{*}) & (p_{1} + ip_{2}) & -p_{3} \\ -p_{3} & (-p_{1} + ip_{2}) & (-p_{0} - m^{*}) & 0 \\ (-p_{1} - ip_{2}) & p_{3} & 0 & (-p_{0} - m^{*}) \end{vmatrix}$$

3. Determine the subdeterminants

$$D_{11} = -(p_0 + m^*) \quad \left(\vec{p}^2 + m^2 - p_0^2\right)$$
$$D_{13} = p_3 \qquad \left(\vec{p}^2 + m^2 - p_0^2\right)$$
$$D_{14} = -(p_1 + ip_2) \quad \left(\vec{p}^2 + m^{*2} - p_0^2\right)$$

4. Calculate the determinant according to standard rules

$$\begin{aligned} \|\gamma_{\mu}p_{\mu} - m^{*}\| &= (p_{0} - m^{*})D_{11} + p_{3}D_{13} - (p_{1} - ip_{2})D_{14} \\ &= \left(-p_{0}^{2} + p_{1}^{2} + p_{2}^{2} + p_{3}^{2} + m^{*2}\right)\left(\vec{p}^{2} + m^{*2} - p_{0}^{2}\right) \\ &= \left(\vec{p}^{2} + m^{*2} - p_{0}^{2}\right)^{2} \\ &= \left[\omega^{2} + (\omega_{n} + i\mu)^{2}\right]^{2}, \qquad \omega^{2} = \vec{p}^{2} + m^{*2} \end{aligned}$$

5. Result:

**Exercise 2:** Show that  $2\sum_{n=-\infty}^{+\infty} \ln \beta^2 [\omega^2 + (\omega_n + i\mu)^2] = \sum_{n=-\infty}^{+\infty} \left\{ \ln \beta^2 [\omega_n^2 + (\omega - \mu)^2] + \ln \beta^2 [\omega_n^2 + (\omega + \mu)^2] \right\}$ 

Solution: 1. Consider an analytic function  $F(z_n)$  where  $z_n = (i\omega_n - \mu)$ , with  $\omega_n = (2n+1)\pi T$  $\sum_{n=-\infty}^{+\infty} F\left((\omega_n + i\mu)^2\right) = \sum_{n=0}^{+\infty} F\left((\omega_n + i\mu)^2\right) + \sum_{n=-\infty}^{-1} F\left((\omega_n + i\mu)^2\right)$   $\sum_{n=-\infty}^{-1} F\left((\omega_n + i\mu)^2\right) = \sum_{n=1}^{\infty} F\left((\omega_{-n} + i\mu)^2\right) = \sum_{n=0}^{\infty} F\left((\omega_{-n-1} + i\mu)^2\right) = \sum_{n=0}^{\infty} F\left((-\omega_{-n-1} - i\mu)^2\right)$ 

2. For the fermionic Matsubara frequencies holds  $-\omega_{-n-1} = -\pi T(2(-n-1)+1) = \pi T(2n+1) = \omega_n$ 

$$\sum_{n=-\infty}^{+\infty} F\left((\omega_n + i\mu)^2\right) = \sum_{n=0}^{+\infty} F\left((\omega_n + i\mu)^2\right) + \sum_{n=0}^{+\infty} F\left((\omega_n - i\mu)^2\right) = \sum_{n=0}^{+\infty} F\left((\omega_n + i\mu)^2\right) + \sum_{n=0}^{+\infty} F^*\left((\omega_n + i\mu)^2\right)$$
$$= 2\sum_{n=0}^{+\infty} Re F\left((\omega_n + i\mu)^2\right)$$

3. Using this relationship based on the symmetry of the Matsubara frequencies, transform:

$$2\sum_{n=-\infty}^{+\infty} \ln \beta^{2} [\omega^{2} + (\omega_{n} + i\mu)^{2}] = 4\sum_{n=0}^{+\infty} Re \ln \beta^{2} [(\omega^{2} + \omega_{n}^{2} - \mu^{2}) + i(2\omega_{n}\mu)]$$
  
$$= 2\sum_{n=0}^{+\infty} \ln \beta^{2} [(\omega^{2} + \omega_{n}^{2} - \mu^{2})^{2} + (2\omega_{n}\mu)^{2}]$$
  
$$= 2\sum_{n=0}^{+\infty} \left\{ \ln \beta^{2} [\omega_{n}^{2} + (\omega - \mu)^{2}] + \ln \beta^{2} [\omega_{n}^{2} + (\omega + \mu)^{2}] \right\}$$
  
$$= \sum_{n=-\infty}^{+\infty} \left\{ \ln \beta^{2} [\omega_{n}^{2} + (\omega - \mu)^{2}] + \ln \beta^{2} [\omega_{n}^{2} + (\omega + \mu)^{2}] \right\}$$