"The Wager," Overview of the Book, and Gödel's Completeness Theorem

Selmer Bringsjord

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Department of Computer Science
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Troy, New York 12180 USA

9/15/2022 (version 092122)







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Note: This is a version of coverage of Gödel's Completeness Theorem designed for those who've had at least one standard/ standard-paced university-level course in formal logic.

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Latest on HyperGrader®: Tutorial on Rips' "Rowdiness"

New Required Problems ...

Background Context ...

- Introduction ("The Wager")
- Brief Preliminaries (elementary discrete math, incl. ZOL, FOL)
- The Completeness Theorem
- The First Incompleteness Theorem
- The Second Incompleteness Theorem
- The Speedup Theorem
- The Continuum-Hypothesis Theorem
- The Time-Travel Theorem
- Gödel's "God Theorem"
- Could a Machine Match Gödel's Genius?



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1923 Vienna1906 Brünn, Austria-Hungary

Undergrad in seminar by Schlick

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1929 Doctoral Dissertation: Proof of Completeness Theorem
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I923 ViennaI906 Brünn, Austria-Hungary



1930 Announces (First) *In*completeness Theorem1929 Doctoral Dissertation: Proof of Completeness TheoremUndergrad in seminar by Schlick

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1933 Hitler comes to power.

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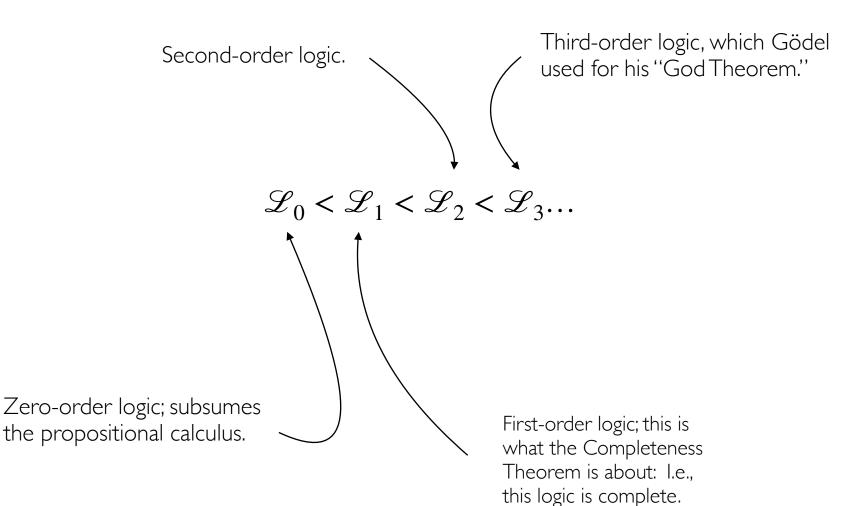
"I have proved that syntax and semantics are fundamentally the same."

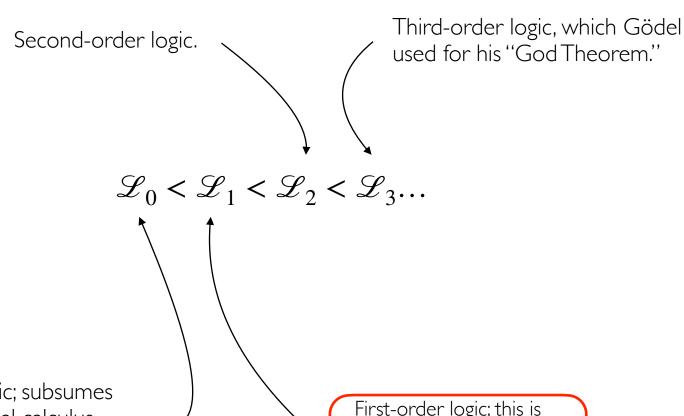


Preliminaries: Propositional Calculus & First-Order Logic

• • •

$$\mathcal{L}_0 < \mathcal{L}_1 < \mathcal{L}_2 < \mathcal{L}_3 ...$$





Zero-order logic; subsumes the propositional calculus.

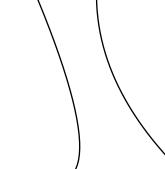
First-order logic; this is what the Completeness Theorem is about: I.e., this logic is complete.

This logic is not complete.

Second-order logic.



$$\mathcal{L}_0 < \mathcal{L}_1 < \mathcal{L}_2 < \mathcal{L}_3 ...$$



Zero-order logic; subsumes the propositional calculus.

First-order logic; this is what the Completeness Theorem is about: I.e., this logic is complete.



R&W's Axiomatization of the Propositional Calculus

A1
$$(\phi \lor \phi) \to \phi$$

A2 $\phi \to (\phi \lor \psi)$
A3 $(\phi \lor \psi) \to (\psi \lor \phi)$
A4 $(\psi \to \chi) \to ((\phi \lor \psi) \to (\phi \lor \chi))$



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A4 $(\psi \to \chi) \to ((\phi \lor \psi) \to (\phi \lor \chi))$

All instances of these schemata are true no matter what the input (true or false). (Agreed?) And indeed every single formula in the propositional calculus that is true no matter what the permutation (as shown in a truth table, e.g.), can be proved (somehow) from these four axioms (using any standard collection of inference schemata). This, Gödel (& later, Newell & Simon, when modern Al was born!) knew, and could use.



R&W's Axiomatization of the Propositional Calculus

```
\begin{array}{lll} \text{A1} & (\phi \lor \phi) \to \phi & \text{ (if (or \phi \phi) \phi)} \\ \text{A2} & \phi \to (\phi \lor \psi) & \text{ (if (phi \phi) \phi)} \\ \text{A3} & (\phi \lor \psi) \to (\psi \lor \phi) & \text{ (if (or \phi \phi) \phi)} \\ \text{A4} & (\psi \to \chi) \to ((\phi \lor \psi) \to (\phi \lor \chi)) & \text{ (if (if \phi \phi) \phi) \phi)} \end{array}
```

All instances of these schemata are true no matter what the input (true or false). (Agreed?) And indeed every single formula in the propositional calculus that is true no matter what the permutation (as shown in a truth table, e.g.), can be proved (somehow) from these four axioms (using any standard collection of inference schemata). This, Gödel (& later, Newell & Simon, when modern Al was born!) knew, and could use.

Verify that these are true-no-matter what in a truth tree in HyperSlate®; then prove using our rules for the prop. calc.; or perhaps better yet, have the oracle prove in HyperSlate®.

$$(\phi \land \psi) \to (\psi \lor \chi)$$
$$\phi \to (\psi \to \phi)$$

Verify that these are true-no-matter what in a truth tree; then prove using our rules for the prop. calc.

$$(\phi \wedge \psi) \rightarrow (\psi \vee \chi)$$

Verify that these are true-no-matter what in a truth tree; then prove using our rules for the prop. calc.

$$(\phi \land \psi) \to (\psi \lor \chi)$$

Truth Tree showing this formula true no matter what the inputs.

Verify that these are true-no-matter what in a truth tree; then prove using our rules for the prop. calc.

$$(\phi \wedge \psi) \to (\psi \vee \chi)$$

Truth Tree showing this formula true no matter what the inputs.

Proof:

Verify that these are true-no-matter what in a truth tree; then prove using our rules for the prop. calc.

$$(\phi \wedge \psi) \to (\psi \vee \chi)$$

Truth Tree showing this formula true no matter what the inputs.

Proof:

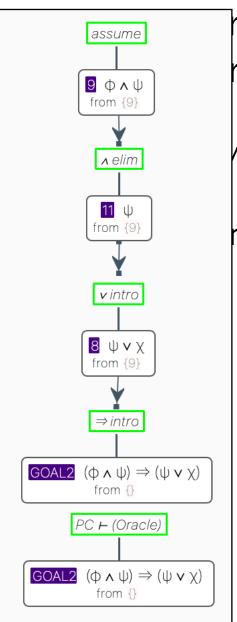
Exercise 1:

Verify that these are then prove usin



Truth Tree showing th

Proof:



r what in a truth tree; r the prop. calc.

$$\chi)$$

no matter what the inputs.

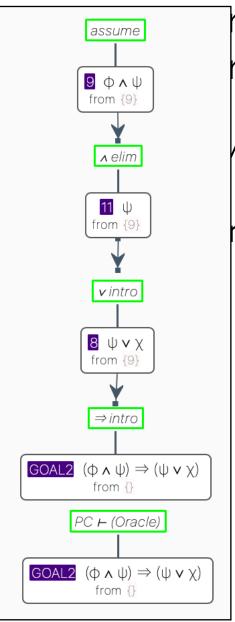
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Truth Tree showing th

Proof:



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ho matter what the inputs.

resolution-based!

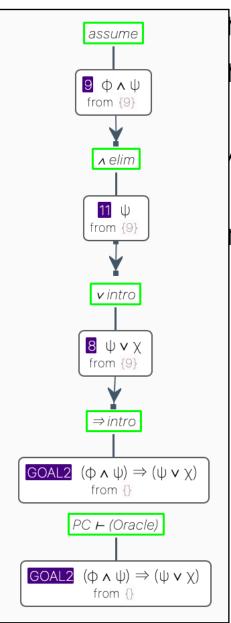
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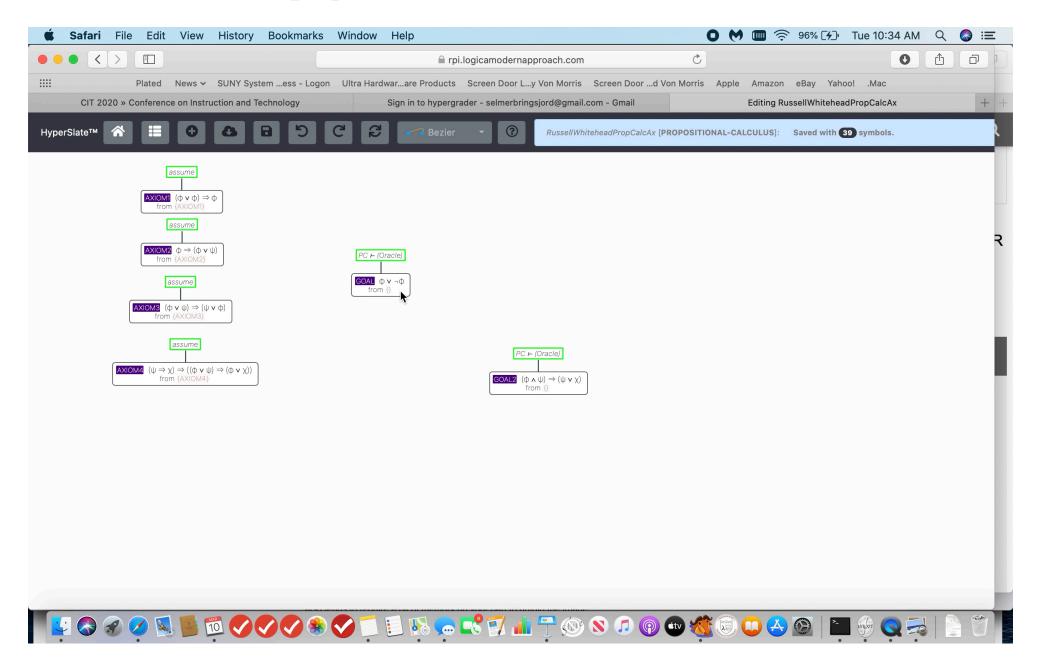
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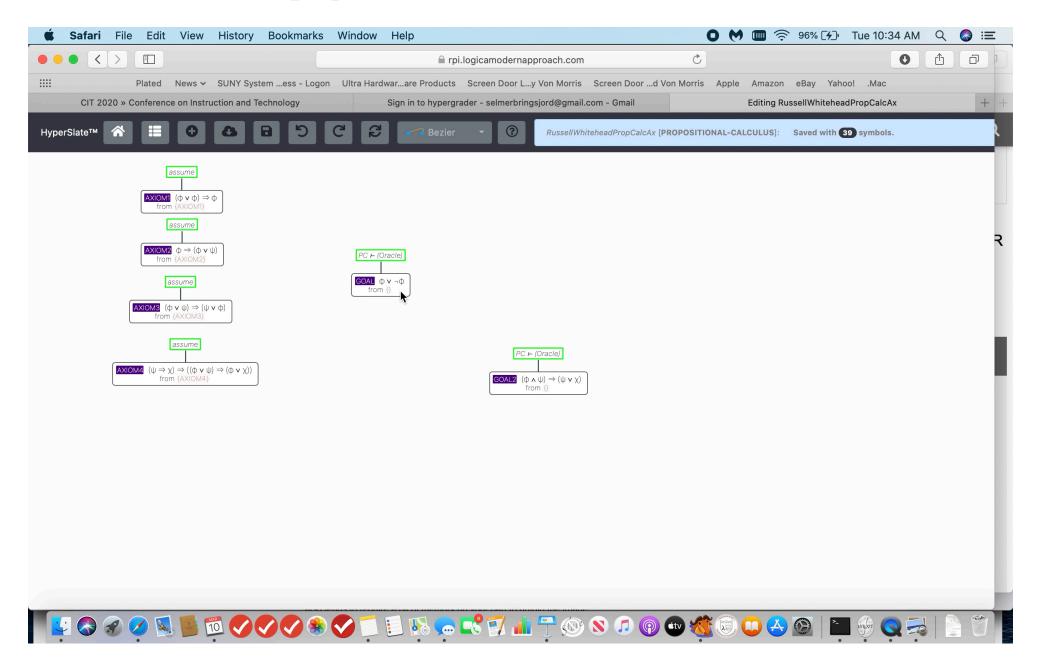
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As HyperSlate® Tutorial



As HyperSlate® Tutorial



The Grammar of \mathcal{L}_0 = the Pure Predicate Calculus

```
Formula
                       \Rightarrow AtomicFormula
                            (Formula Connective Formula)
                             \neg Formula
AtomicFormula \Rightarrow (Predicate\ Term_1 \dots Term_k)
                             (Term = Term)
Term
                           (Function \ Term_1 \ \dots \ Term_k)
                             Constant
                      \Rightarrow \land |\lor| \rightarrow |\leftrightarrow
Connective
Predicate
                      \Rightarrow P_1 \mid P_2 \mid P_3 \dots
Constant
                      \Rightarrow c_1 \mid c_2 \mid c_3 \dots
                      \Rightarrow f_1 \mid f_2 \mid f_3 \dots
Function
```

Some Simple Examples

```
Sally likes Bill.
Formula
                       Atomic Formula
                       (Formula Connective Formula
                                                                        (Likes sally bill)
                       \neg Formula
                       (Predicate\ Term_1 \dots Term_k)
AtomicFormula
                  \Rightarrow
                        (Term = Term)
                                                                Sally likes Bill and Bill likes Sally.
Term
                       (Function \ Term_1 \ \dots \ Term_k)
                                                                      Sally likes Bill's mother.
                       Constant
                                                               Sally likes Bill only if Bill's mother is tall.
                  \Rightarrow \land \mid \lor \mid \rightarrow \mid \leftrightarrow
Connective
                                                                  Matilda is Bill's super-smart mother.
                                                                  5 plus 5 equals the number 10.
Predicate
                                           Lexicon
Constant
Function
```

Can Roger be counted upon to declare: "Yes that sentence is okay!" whenever it's conforms to this grammar?

Some Simple Examples

```
Sally likes Bill.
Formula
                       Atomic Formula
                       (Formula Connective Formula
                                                                        (Likes sally bill)
                       \neg Formula
                       (Predicate\ Term_1 \dots Term_k)
AtomicFormula
                  \Rightarrow
                        (Term = Term)
                                                                Sally likes Bill and Bill likes Sally.
Term
                       (Function \ Term_1 \ \dots \ Term_k)
                                                                     Sally likes Bill's mother.
                       Constant
                                                               Sally likes Bill only if Bill's mother is tall.
Connective
                  \Rightarrow \land \mid \lor \mid \rightarrow \mid \leftrightarrow
                                                                 Matilda is Bill's super-smart mother.
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 Likes
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                       \Rightarrow \land \mid \lor \mid \rightarrow \mid \leftrightarrow
Connective
                        \Rightarrow P_1 \mid P_2 \mid P_3 \dots
Predicate
                       \Rightarrow c_1 \mid c_2 \mid c_3 \dots
Constant
                        \Rightarrow f_1 \mid f_2 \mid f_3 \dots
Function
```

Formula
$$\Rightarrow$$
 AtomicFormula $|$ (Formula Connective Formula) $|$ \neg Formula

AtomicFormula \Rightarrow (Predicate Term₁ ... Term_k) $|$ (Term $=$ Term)

Term \Rightarrow (Function Term₁ ... Term_k) $|$ Constant

Connective \Rightarrow $\land | \lor | \rightarrow | \leftrightarrow$

Predicate \Rightarrow $P_1 | P_2 | P_3 ...$ \Rightarrow Constant \Rightarrow $c_1 | c_2 | c_3 ...$ \Rightarrow Function \Rightarrow $f_1 | f_2 | f_3 ...$

If Sally likes Bill then Sally likes Bill.

If Sally likes Bill then Sally likes Bill. Sally likes Bill's mother, or not.

If Sally likes Bill then Sally likes Bill. Sally likes Bill's mother, or not.

Sally likes Bill and Bill likes Jane, only if Bill likes Jane.

If Sally likes Bill then Sally likes Bill.
Sally likes Bill's mother, or not.

Sally likes Bill and Bill likes Jane, only if Bill likes Jane.

Bill's smart mother is a mother.

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• • •

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Formula
                         Atomic Formula
                         (Formula Connective Formula)
                         \neg Formula
AtomicFormula \Rightarrow (Predicate\ Term_1 \dots Term_k)
                                                                   If Sally likes Bill then Sally likes Bill.
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Term
                         (Function \ Term_1 \ \dots \ Term_k)
                                                              Sally likes Bill and Bill likes Jane, only if Bill likes Jane.
                         Constant
                                                                         Bill's smart mother is a mother.
                    \Rightarrow \land \mid \lor \mid \rightarrow \mid \leftrightarrow
Connective
```

Predicate \Rightarrow $P_1 \mid P_2 \mid P_3 \dots$ These are all true, yes; but can they be proved?! \Rightarrow $c_1 \mid c_2 \mid c_3 \dots$ \Rightarrow $f_1 \mid f_2 \mid f_3 \dots$

Yes!

 $\Rightarrow c_1 \mid c_2 \mid c_3 \dots$

 $\Rightarrow f_1 \mid f_2 \mid f_3 \dots$

Constant

Function

But Now the Deeper Challenge: Add Two Quantifiers to the Pure Predicate Calculus, Which Yields \mathcal{L}_1 First-order Logic = Predicate Calculus simpliciter But Now the Deeper Challenge:
Add Two Quantifiers to the Pure Predicate Calculus,
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there exists at least one thing x such that ...

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for all x, it's the case that ...

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 $\forall x \cdots$ for all x, it's the case that ...

$$\lim_{x\to a} f(x) = L$$
 iff
$$\forall \epsilon (\epsilon>0\to \exists \delta(\delta>0 \land \forall x (d(x,a)<\delta\to d(f(x),L)<\epsilon)))$$

Add Two Quantifiers to the Pure Predicate Calculus, Which Yields \mathcal{L}_1 First-order Logic = Predicate Calculus simpliciter

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```
\lim_{x\to a} f(x) = L (forall (\epsilon) (if (> \epsilon 0) (and (> \delta 0) (forall (x) (if (< (dist x a) \delta) (< (dist (f x) L) \epsilon)))))) \forall \epsilon (\epsilon > 0 \to \exists \delta (\delta > 0 \land \forall x (d(x,a) < \delta \to d(f(x),L) < \epsilon)))
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Every natural number is greater than or equal to zero.

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There's a positive integer greater than any positive integer.

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$$\forall x (x \ge 0)$$

There's a positive integer greater than any positive integer.

$$\exists x \forall y (y < x)$$

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Every positive integer x is less-than-or-equal-to a positive integer y.

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$$\forall x (x \ge 0)$$

Every positive integer x is less-than-or-equal-to a positive integer y.

$$\forall x \exists y (x \leq y) \qquad \forall x \exists y (\leq (x, y))$$

The Shoulders Available to Gödel for Standing Upon

• • •

Completeness Theorem for The Propositional Calculus

Let Γ be a set $\{\phi_1, \phi_2, \ldots\}$ of formulae in the propositional calculus. Then either all of Γ are satisfiable, or the conjunction up to and including the point k (i.e. $\phi_1 \wedge \phi_2 \wedge \ldots \wedge \phi_k$) of failure is refutable.

Completeness Theorem for The Propositional Calculus

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Let Γ be a set $\{\phi_1, \phi_2, \ldots\}$ of formulae in the the propositional calculus. Then either all of Γ can be simultaneously true in some scenario, or the conjunction up to and including the point k (i.e. $\phi_1 \wedge \phi_2 \wedge \ldots \wedge \phi_k$) of failure is **refutable** (i.e. $\vdash \neg(\phi_1 \wedge \phi_2 \wedge \ldots \wedge \phi_k)$).

What does the Completeness Theorem say?

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Completeness Theorem as an Equation

In first-order logic: NECESSARY TRUTH = PROVABILITY.

Completeness Theorem, More Precisely Put

For every first-order statement ϕ : if ϕ is a necessary or absolute truth (i.e. true in any scenario whatsoever), then ϕ is provable.

And the version Gödel targeted, and proved:

For every first-order statement ϕ : Either ϕ is true in some scenario, or ϕ is refutable (= it's negation $\neg \phi$ can be proved).

GCT

The Proof-Sketch

The Proof-Sketch

To prove the theorem in the case of first-order logic ($=\mathcal{L}_1$), we need to show that given any set Γ of formulae in first-order logic, either there's a scenario on which every member of this set is true; otherwise, there is a refutation of the set, i.e. a proof from the set to an outright contradiction $\phi \wedge \neg \phi$. We can accomplish this by finding a procedure $\mathscr P$ that first takes the set in question and goes hunting for a scenario that does the trick. If the scenario is found, we're done. But, if such a scenario can't be found, then our procedure moves on to find a proof of a contradiction from Γ !

How?! The procedure \mathscr{T} is the building out of a truth tree! If all the branches in the tree close, then the finding of a proof of a contradiction uses **resolution**, and the **resolution guarantee**. The guarantee is that if you have a set of formulae that can't be true in any scenario, resolution applied to the set finds a contradiction $\bot = \zeta \land \neg \zeta = \{\}$. **QED**

The Proof-Sketch

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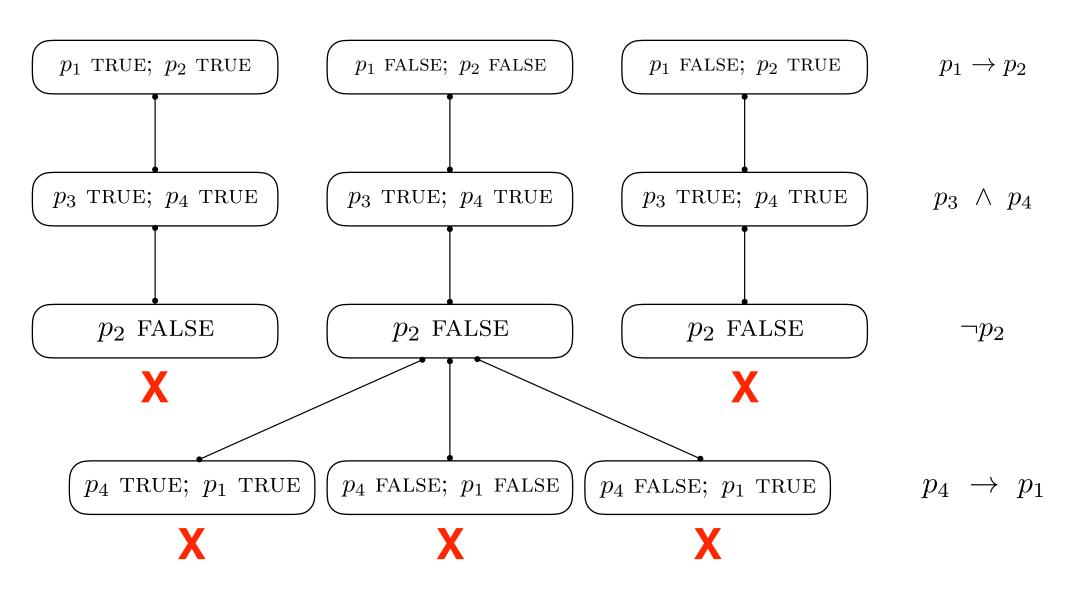
How?! The pro See LAMA-BDLAHGHS. tree! If all

tree! It all

contradiction uses resolution, and the resolution

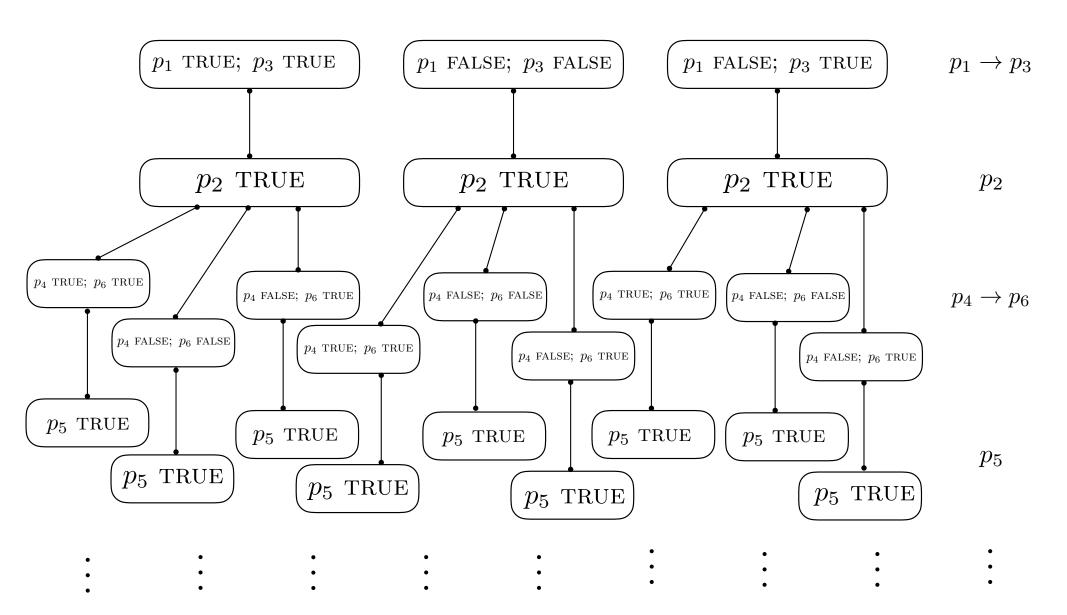
guarantee. The guarantee is that if you have a set of formulae that can't be true in any scenario, resolution applied to the set finds a contradiction $\bot = \zeta \land \neg \zeta = \{\}$. **QED**

$$\Gamma := \{ p_1 \to p_2, \ p_3 \land p_4, \ \neg p_2, \ p_4 \to p_1, \ \ldots \}$$



Therefore, there is no scenario in which all of the formulae are true!

$$\Gamma := \{ p_1 \to p_3, \ p_2, \ p_4 \to p_6, \ p_5, \ p_7 \to p_9, \ p_8, \ldots \}$$



Therefore, since we can travel to infinity, there is a scenario in which all of the formulae are true: any infinite path down will do.

Ah, but can we travel to infinity? The assumption that there is an infinite branch here is based on König's Lemma ...

Toward König's Lemma as Train Travel

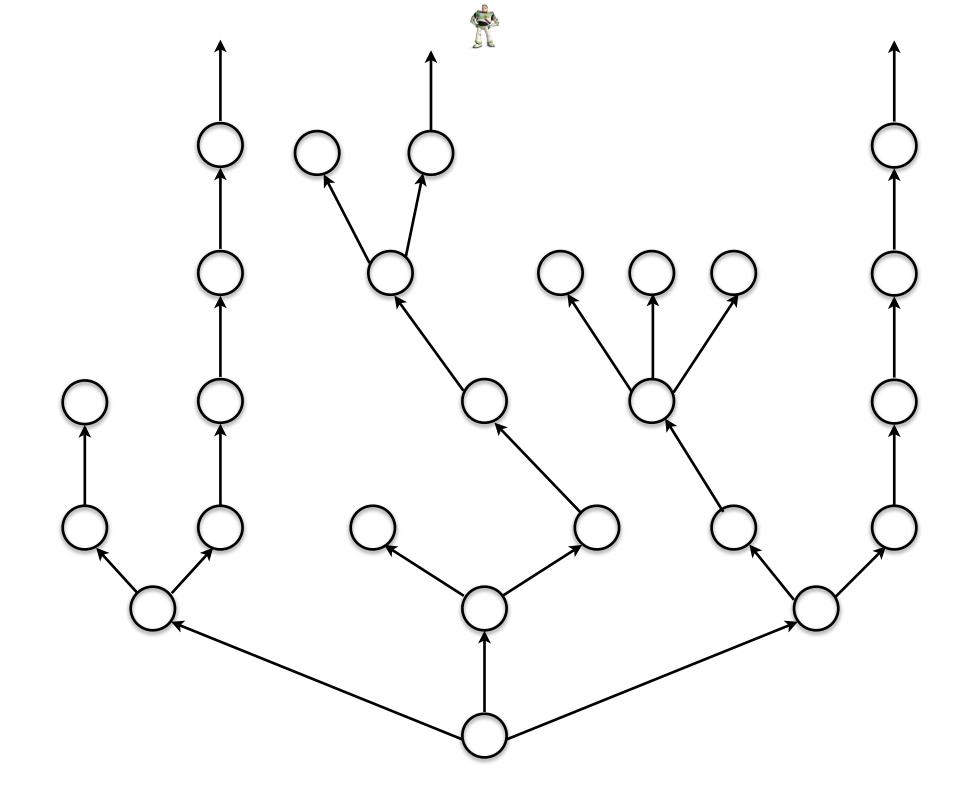


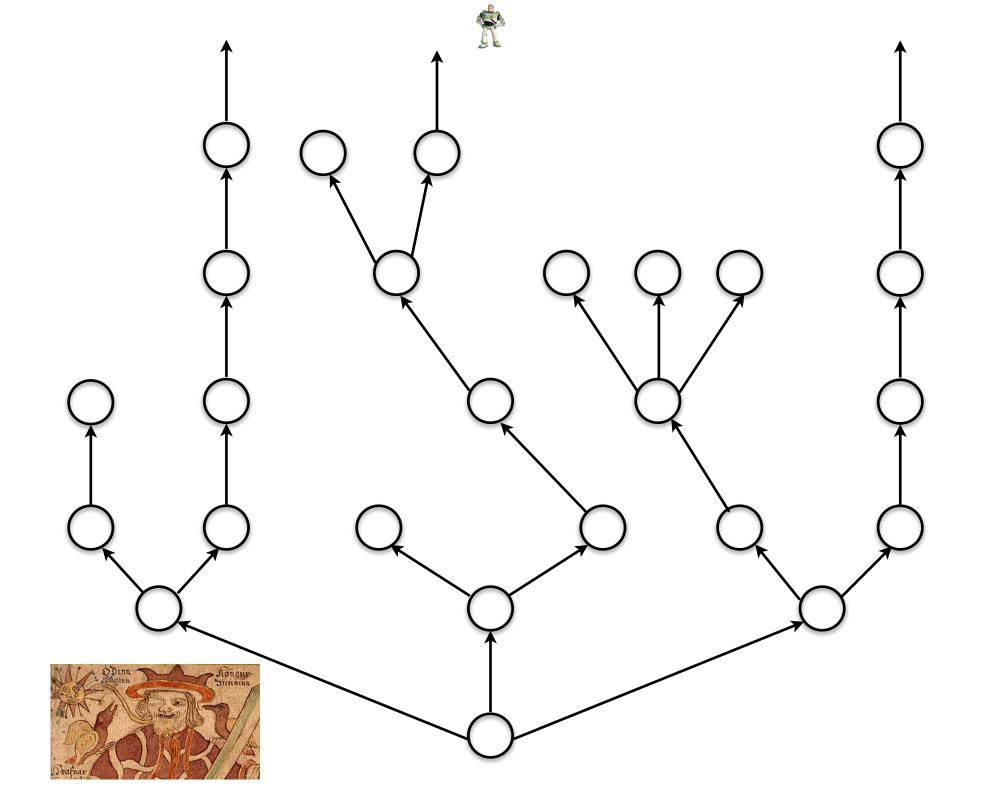
"To infinity and beyond!"

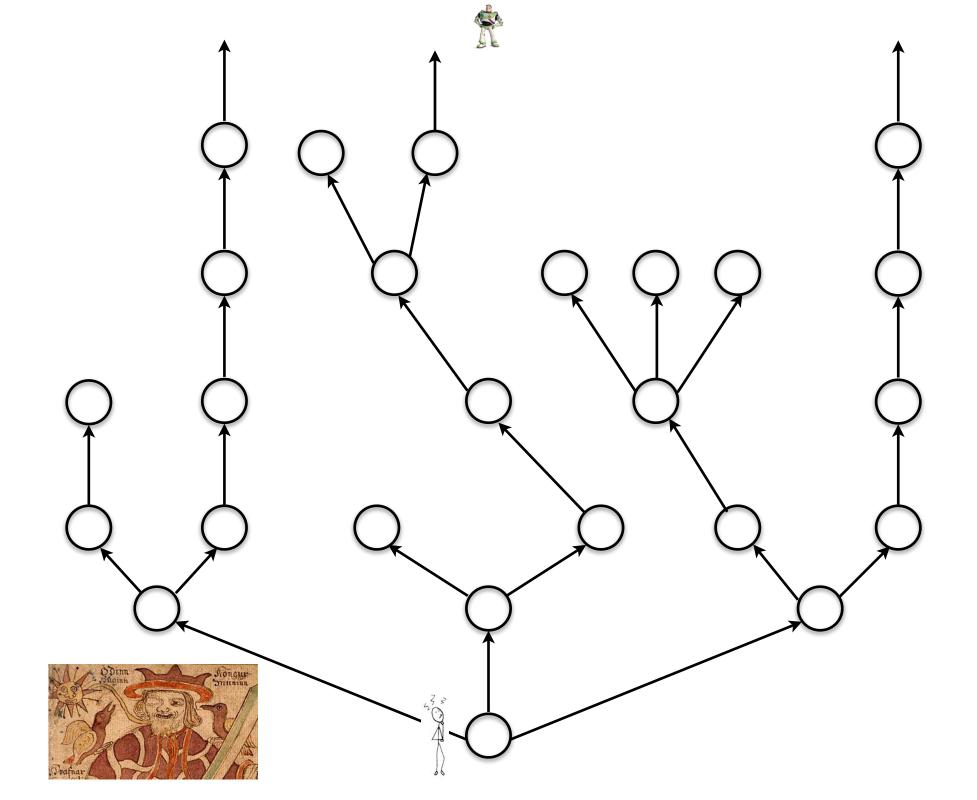


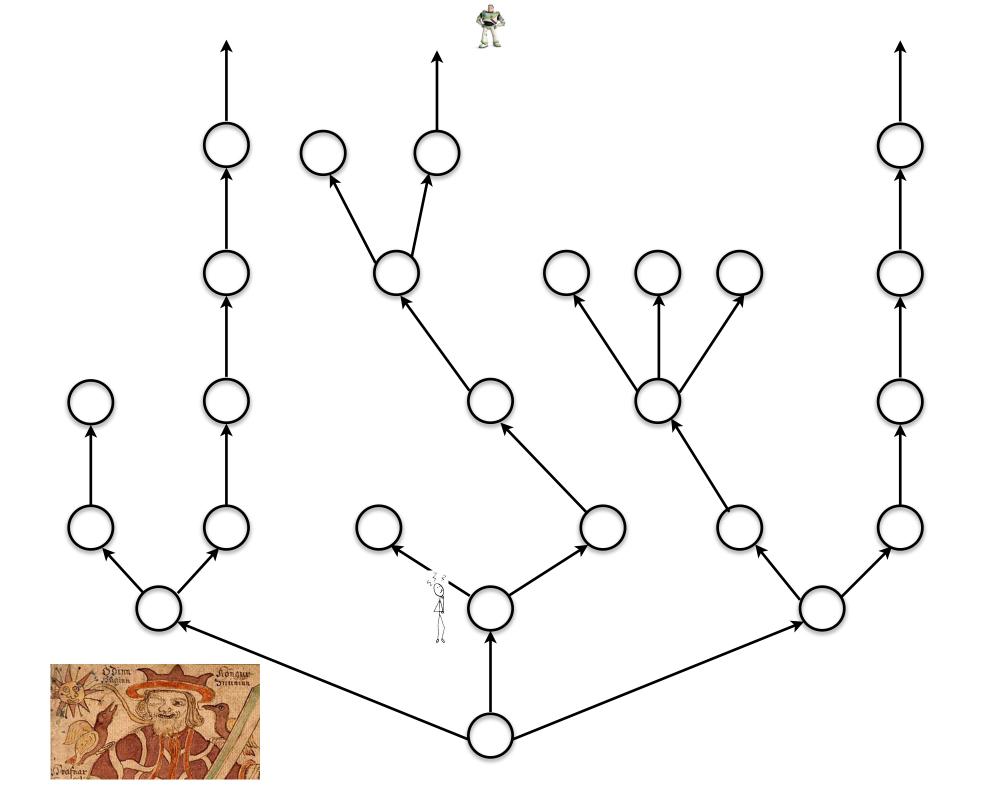
König's Lemma (train-travel version)

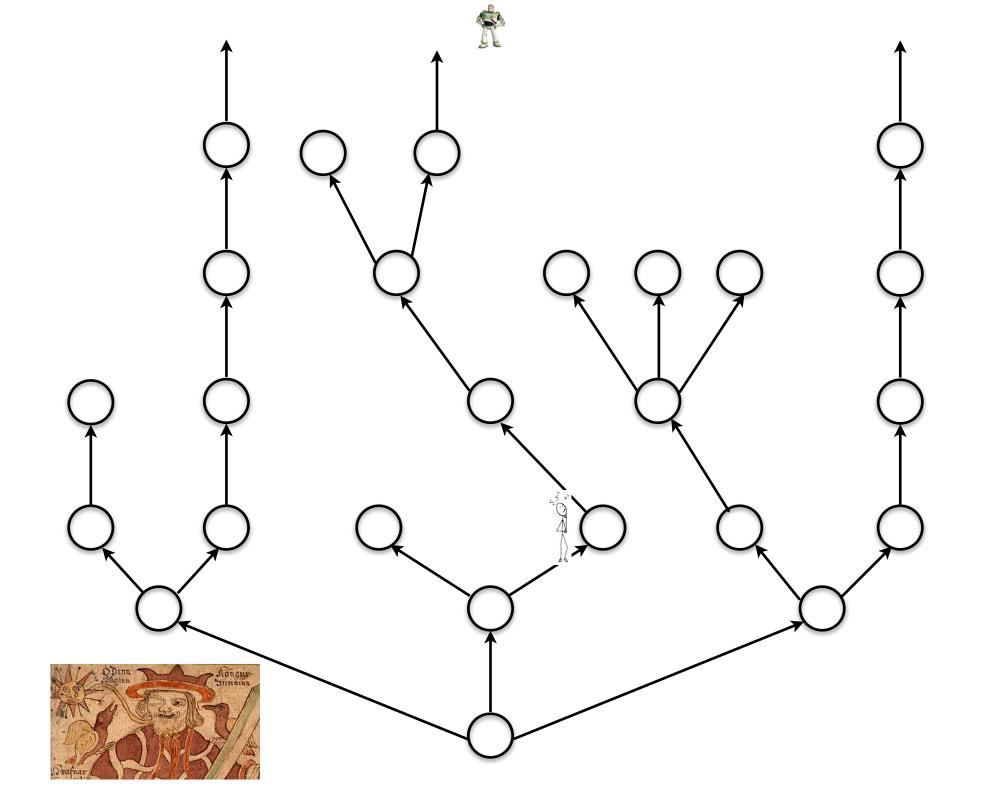
In a one-way train-travel map with finitely many options leading from each station, if there are partial paths forward of every finite length, there is an *infinite* path (= a path "to infinity").

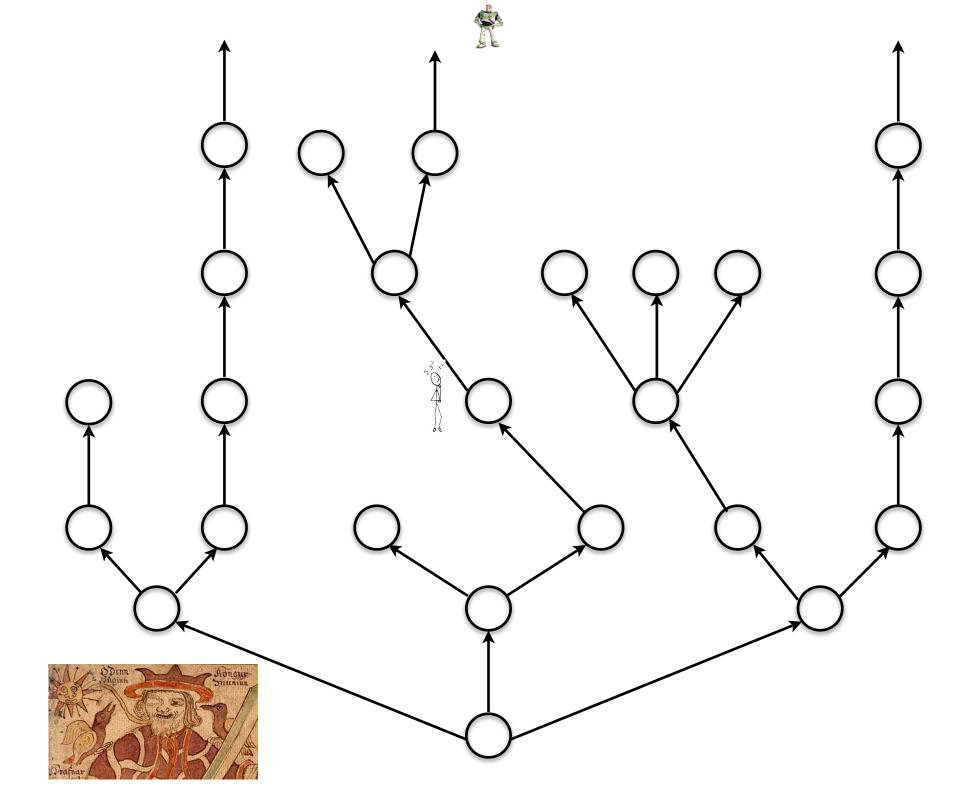


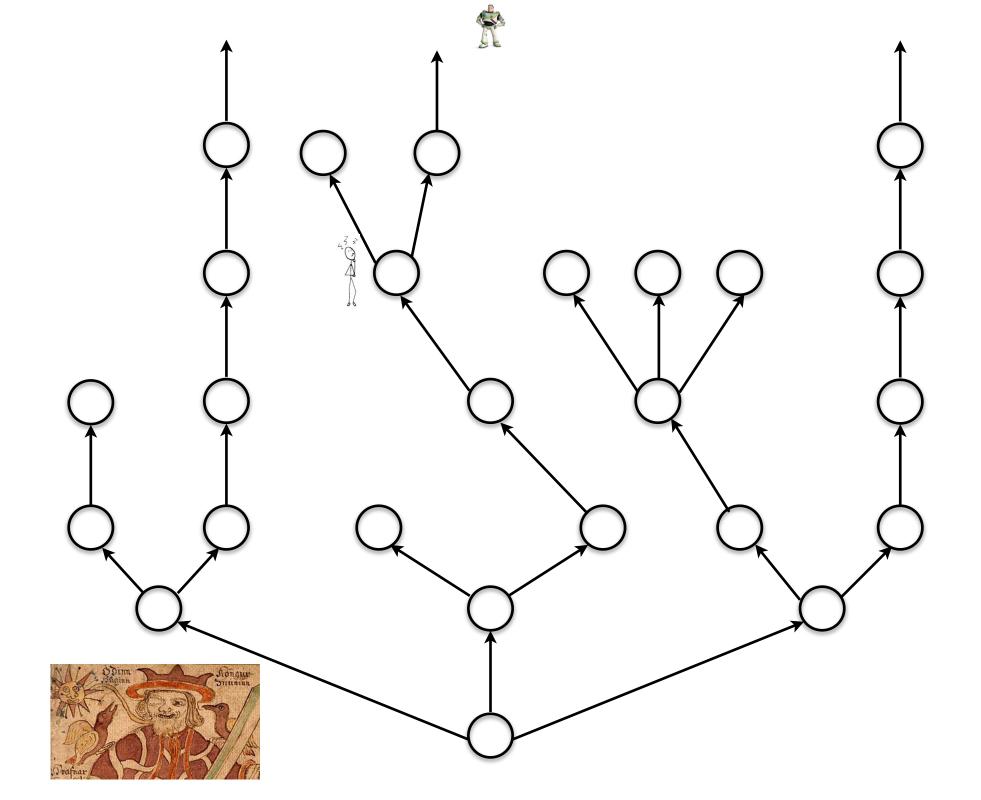


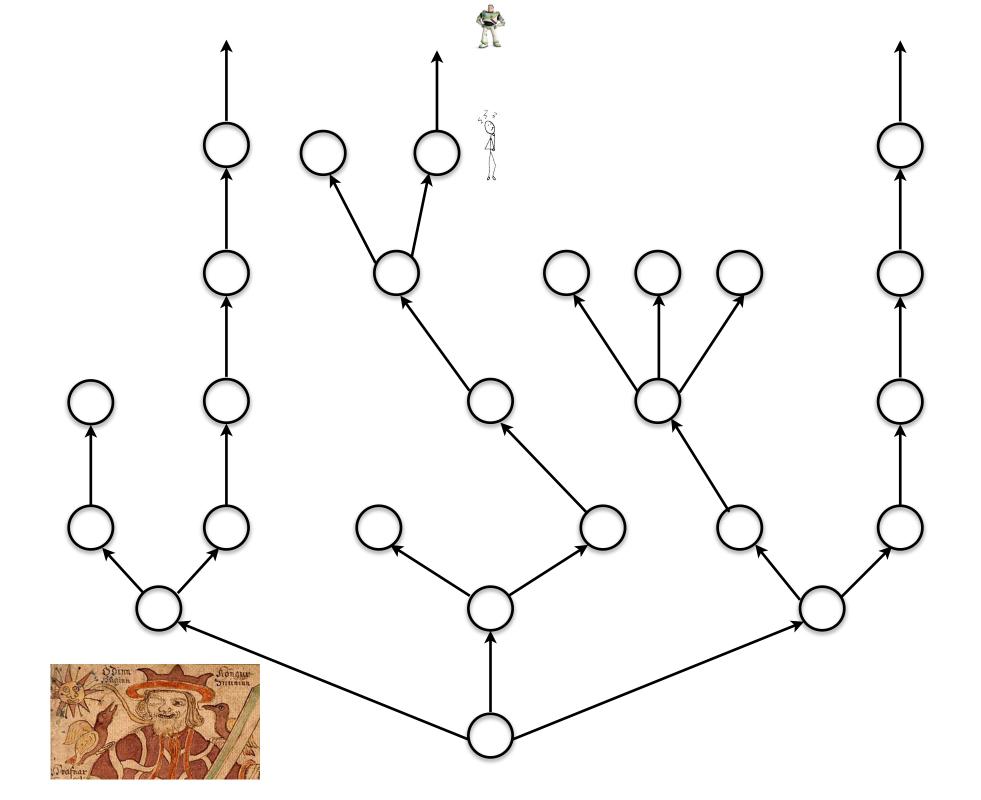


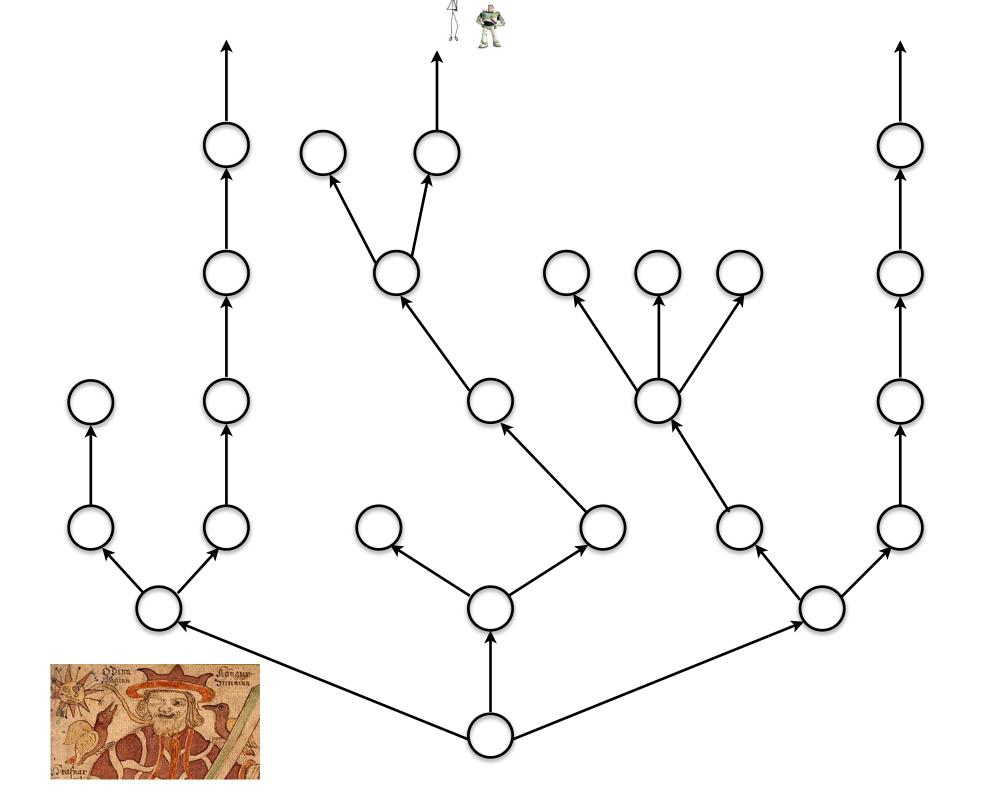












Exercise 2: Is there an algorithm for traveling this way?

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No. This strategy for travel is beyond the reach of constructive mathematics/standard computation.

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(Does it not then follow, assuming that humans can find and "use" a provably correct strategy for this travel, that humans can't be fundamentally computing machines?)

NY-Centric Proof of the Lemma

(that there is an infinite branch)

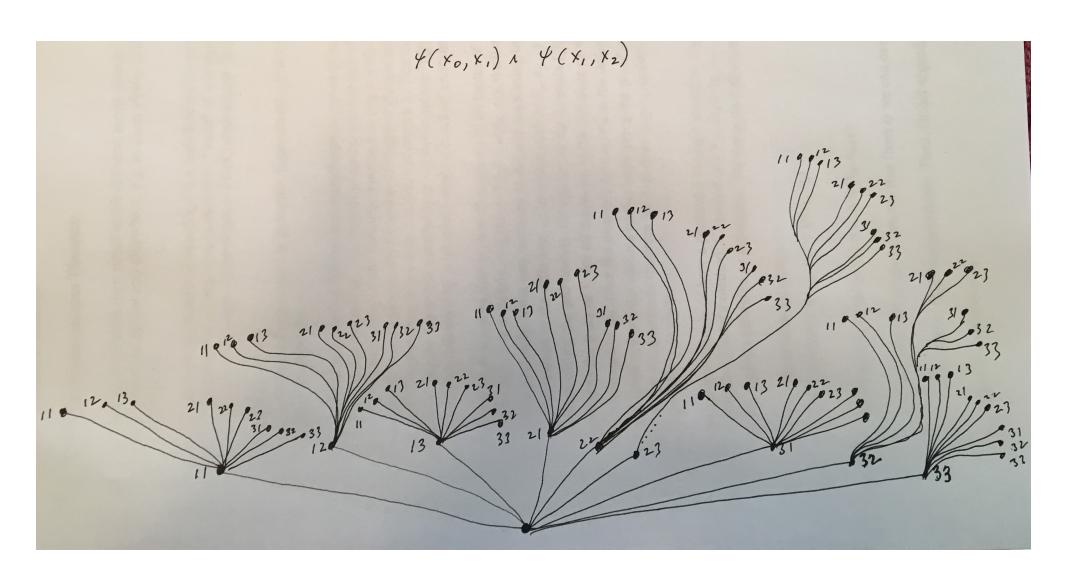
Proof: We are seeking to prove that there is an infinite path (= that you can keep going forward forever = that the number of your stops forward are the size of \mathbf{Z}^+).

To begin, assume the antecedent of the theorem (i.e. that, (1), there are finitely many options leading from each station, and that, (2), in the map there are partial paths forward of every finite size).

Now, you are standing at Penn Station (S_1) , facing k options. At least one of these options must lead to partial paths of arbitrary size (the size of any m in \mathbb{Z}^+). (**Sub-Proof**: Suppose otherwise for indirect proof. Then there is some positive integer n that places a ceiling on the size of partial paths that can be reached. But this violates (2) — contradiction.) Proceed to choose one of these options that lead to partial paths of arbitrary size. You are now standing at a new station (S_2) , one stop after Penn Station. At least one of these options must lead to partial parts of arbitrary size (the size of any m in \mathbb{Z}^+). (**Sub-Proof**: Suppose otherwise for indirect proof ...)

Since you can iterate this forever, you'll be on an infinite trip to infinity! Buzz will be happy.

Simple Buzz-Lightyear-Like Branch



Gödel as Giant: Some Evidence

THE DISCOVERY OF MY COMPLETENESS PROOFS

LEON HENKIN

Dedicated to my teacher, Alonzo Church, in his 91st year.

§1. Introduction. This paper deals with aspects of my doctoral dissertation¹ which contributed to the early development of model theory. What was of use to later workers was less the results of my thesis, than the method by which I proved the completeness of first-order logic—a result established by Kurt Gödel in his doctoral thesis 18 years before.²

The ideas that fed my discovery of this proof were mostly those I found in the teachings and writings of Alonzo Church. This may seem curious, as his work in logic, and his teaching, gave great emphasis to the constructive character of mathematical logic, while the model theory to which I contributed is filled with theorems about very large classes of mathematical structures, whose proofs often by-pass constructive methods.

Another curious thing about my discovery of a new proof of Gödel's completeness theorem, is that it arrived in the midst of my efforts to prove an entirely different result. Such "accidental" discoveries arise in many parts of scientific work. Perhaps there are regularities in the conditions under which such "accidents" occur which would interest some historians, so I shall try to describe in some detail the accident which befell me.

Received November 17, 1995, and in revised form, January 4, 1996.

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Gödel proves the lemma that if the GCT holds for formula of degree *j*, GCT holds of formulae of degree *j*+1. So the challenge reduces to formulae of degree 1:

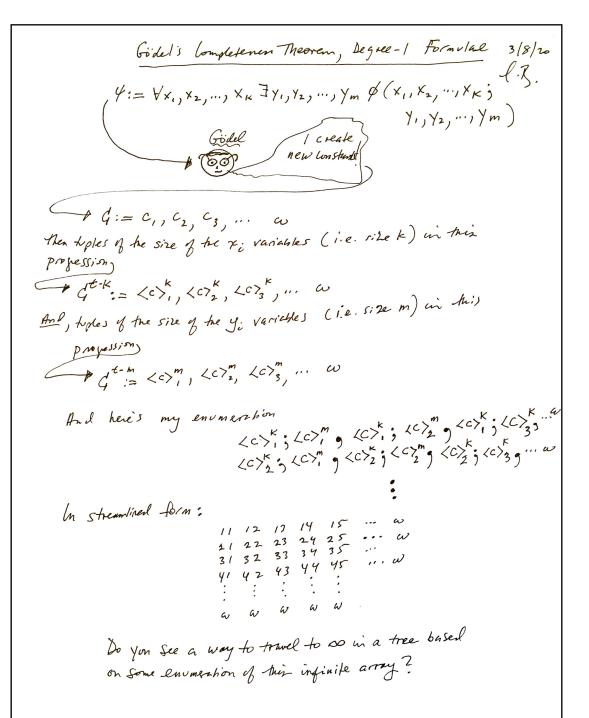
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$$\forall x_1, x_2, ..., x_k \exists y_1, y_2, ..., y_m \phi(x_1, x_2, ..., x_k, y_1, y_2, ..., y_m)$$

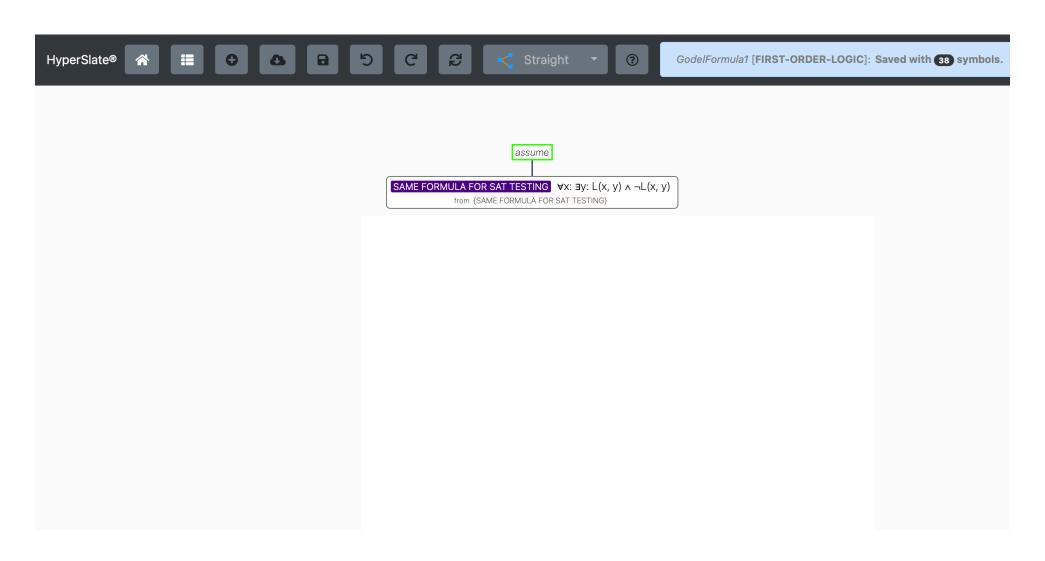
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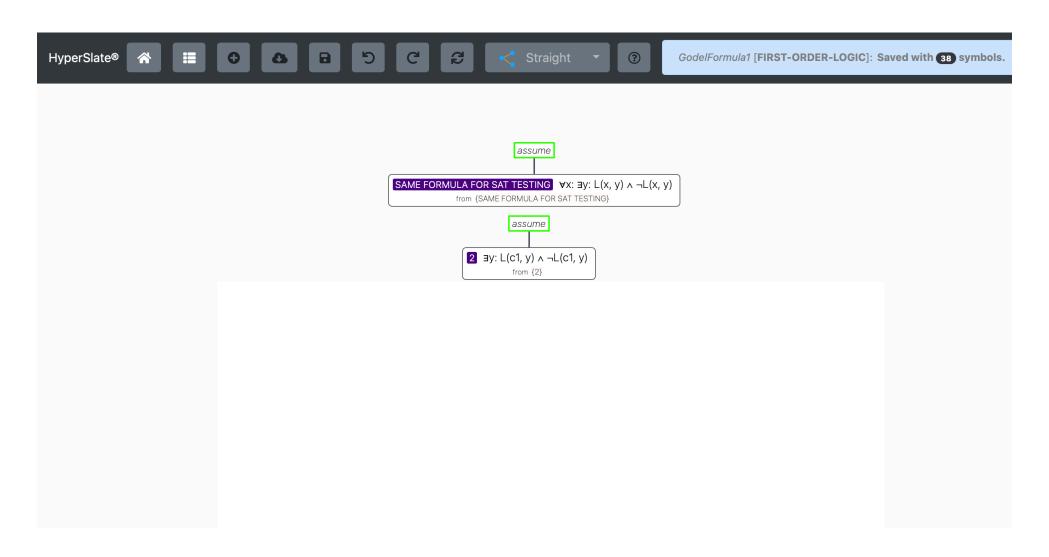
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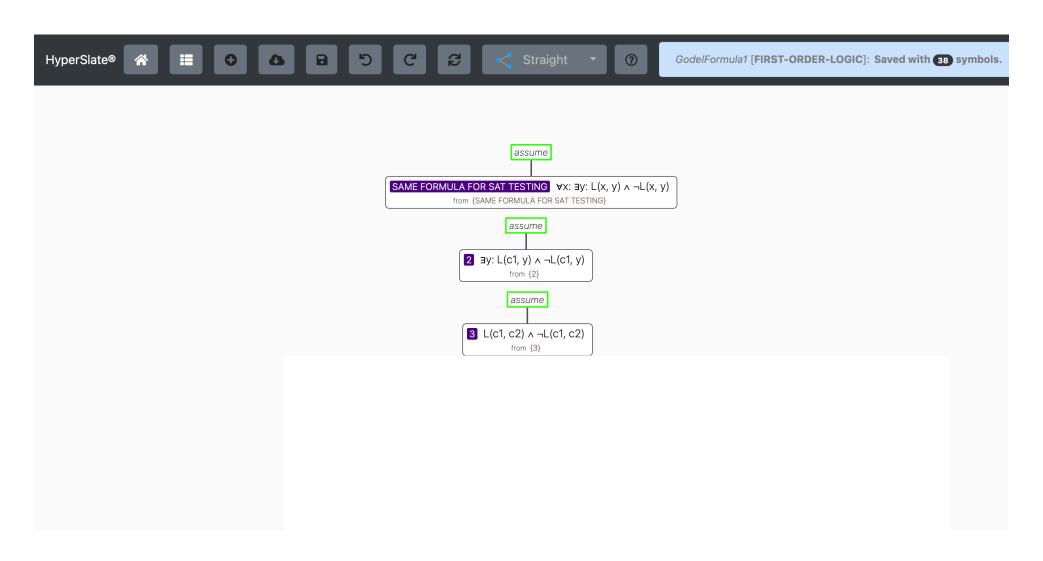
How? By ingenious tree-building, which starts by creating an enumeration of new constants $\mathfrak{c}=c_1,c_2,\ldots$ that becomes our "universe of discourse"/"domain of quantification." Note that from \mathfrak{c} we can algorithmically generate an enumeration of tuples $\mathfrak{c}^t=\langle c\rangle_1,\langle c\rangle_2,\ldots$ of any finite size. (Those of size k will work for the k-variables, and those of size k will work for the k-variables, and those of size k will work for the k-variables.) And now we can build a BIG tree at the level of the pure predicate calculus, looking for either a scenario that makes our formula true by traveling with Buzz to infinity, or getting all branches closed, in which case we turn back to the resolution guarantee! Let's make sense of this by hand on paper ...

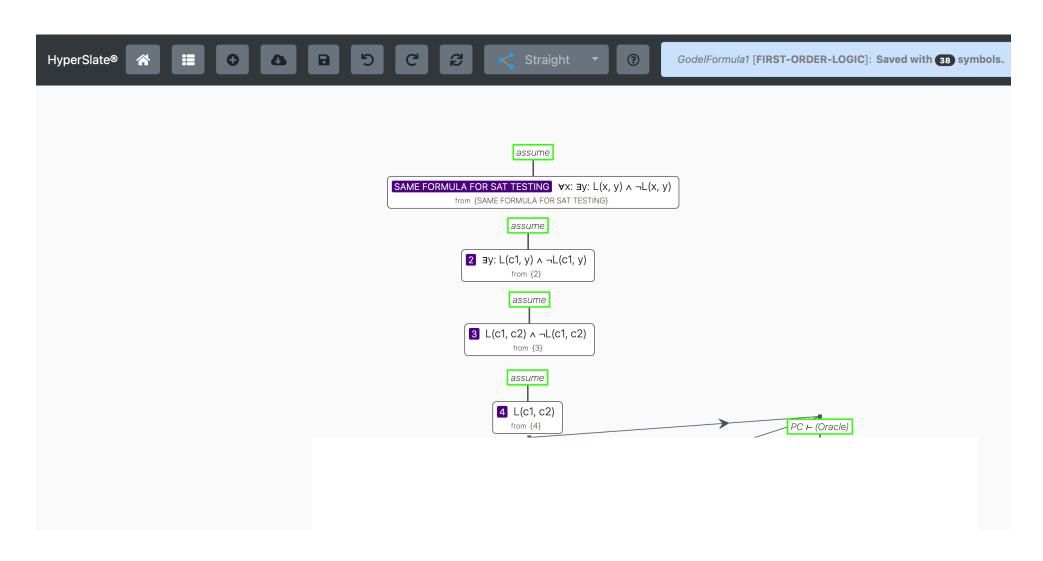


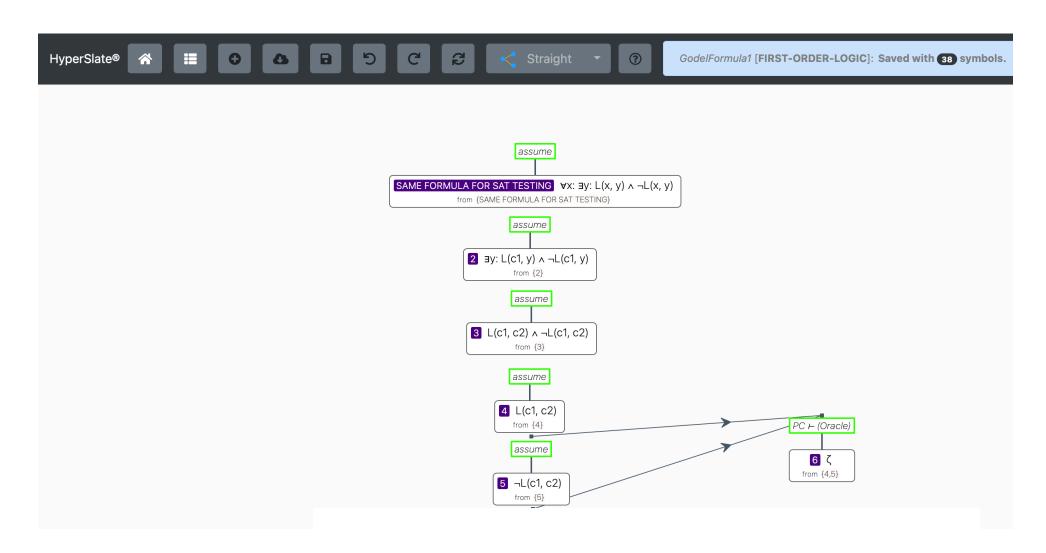
Gödel's Completenen Theorem, Degree-1 Formulae 3/8/20
$\forall := \forall \times_{i,1} \times_{2,\dots,i} \times_{K} \exists y_{i,1} y_{2,\dots,i} y_{m} \phi(\times_{i,1} \times_{2,\dots,i} \times_{K})$ Godel New Lonstands New Lonstands
Then toples of the size of the x_i variables (i.e. rize k) in this propession
And, types of the size of the y. varieties (i.e. size m) in this Droyessism)
$\zeta_{1}^{t-m} < c \rangle_{1}^{m}, \langle c \rangle_{2}^{m}, \langle c \rangle_{3}^{m}, \dots \omega$
And here's my enumeration $\langle c \rangle_{1}^{k}; \langle c \rangle_{2}^{m}, \langle c \rangle_{2}^{k}; \langle c \rangle_{3}^{k}; \langle c \rangle_{3}^{k}; \langle c \rangle_{2}^{k}; \langle c \rangle_{3}^{k}; \langle c \rangle_{3$
In streamlined form: 11 12 17 14 15 \(\omega \) 21 22 23 24 25 \(\omega \) 21 32 33 34 35 31 32 43 44 45 \(\omega \) 41 42 43 44 45 \(\omega \) 21 43 44 45 \(\omega \)
Do you see a way to truvel to so in a tree based on some enumeration of this infinite array?

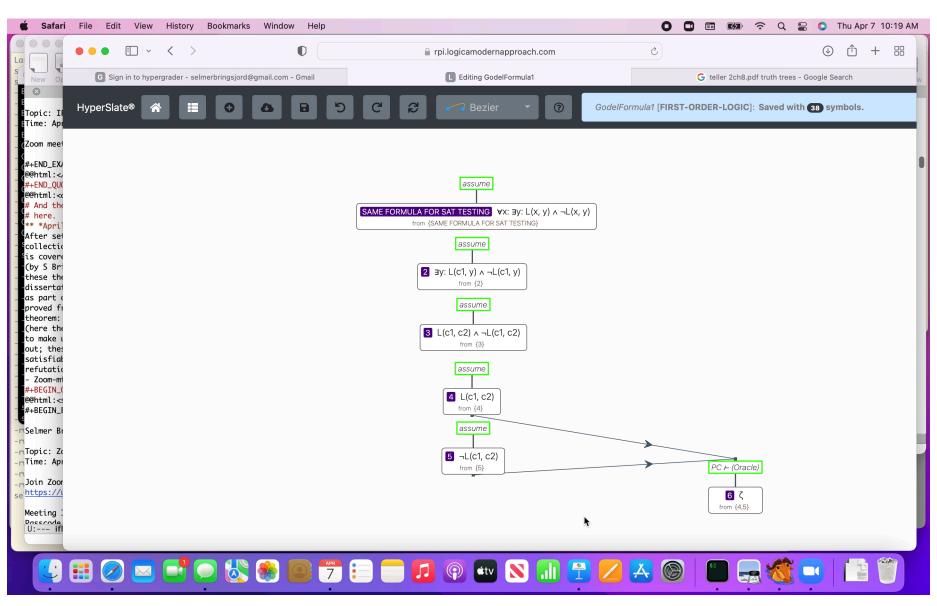


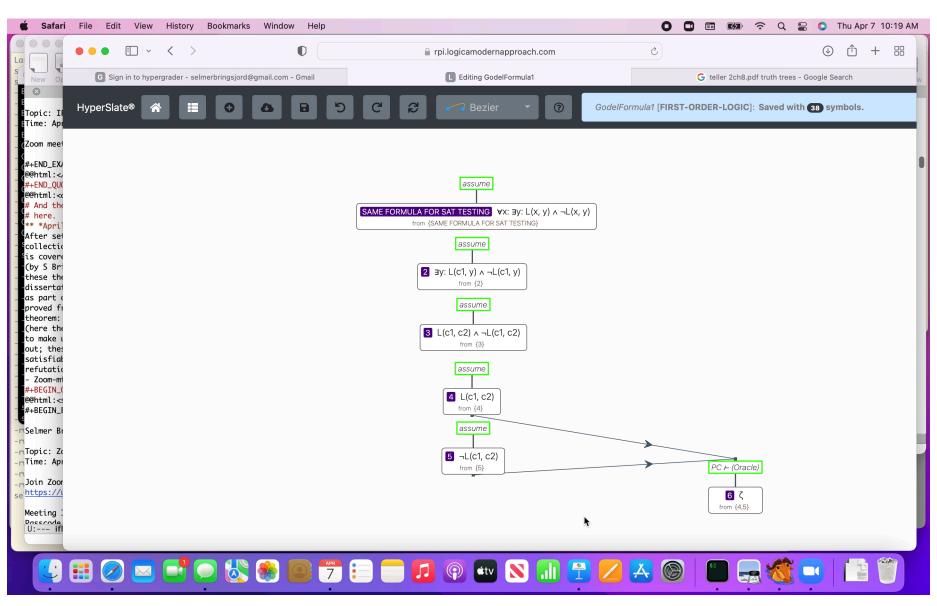






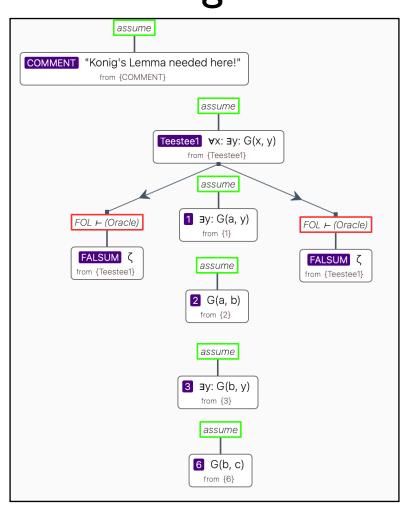


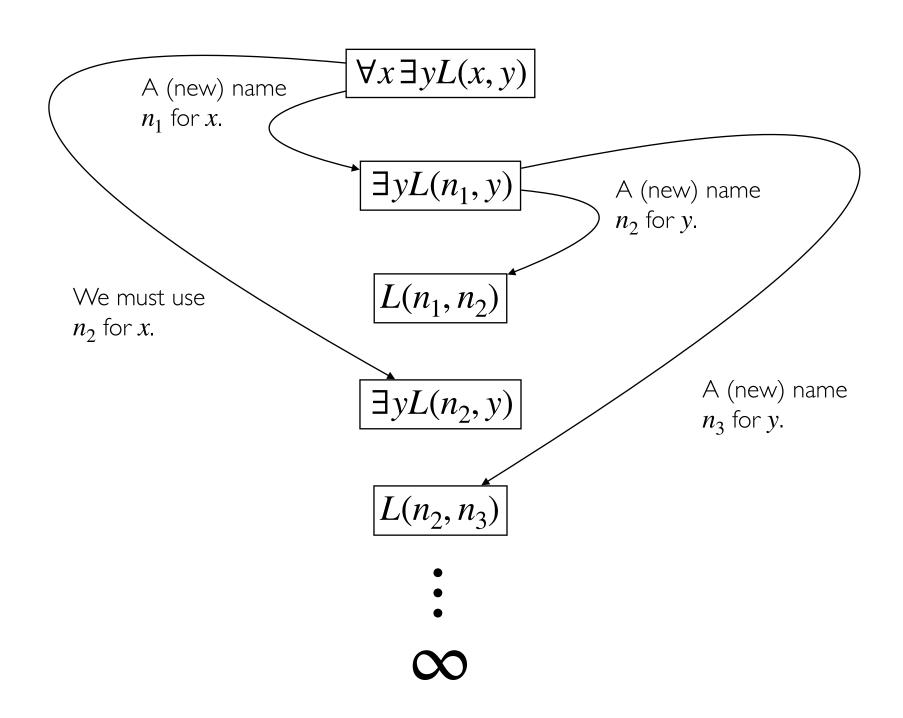


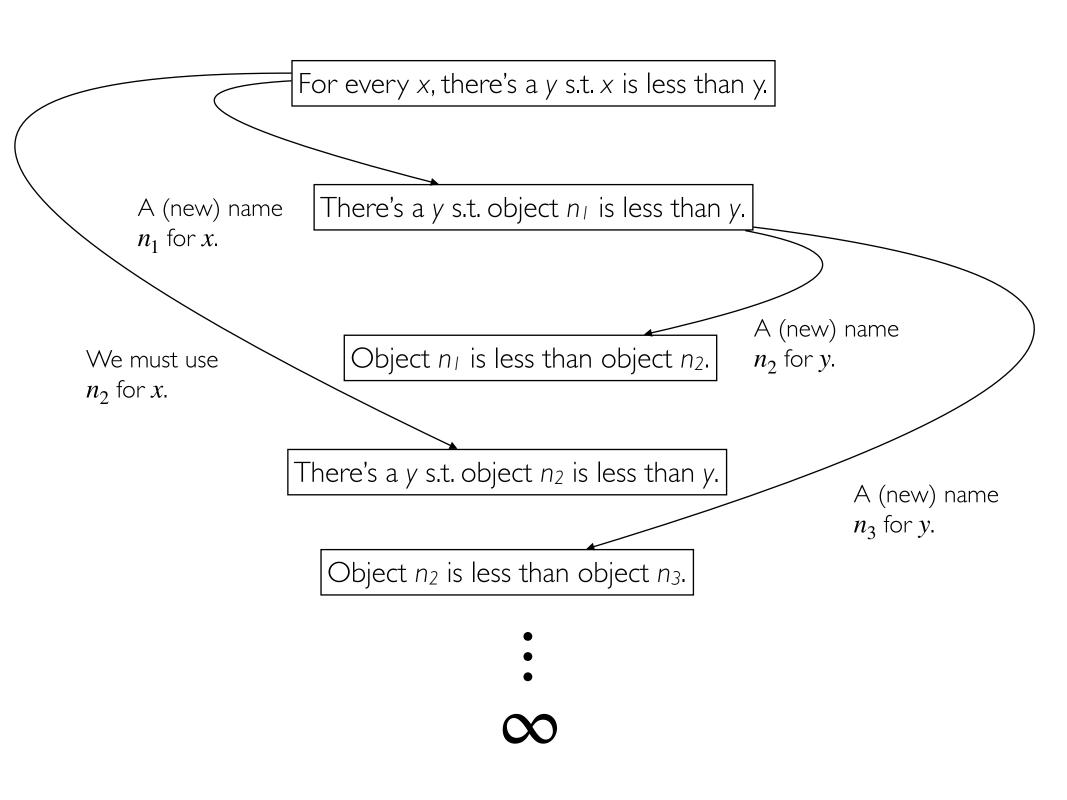


Volunteers to do the following Buzz-Lightyear-relevant formula, from earlier in the present slide deck?

$$\forall x \exists y \ y > x$$







slutten