

The Ontario Securities Commission

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Chapter 1  
**Notices / News Releases**

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## Chapter 2

# Decisions, Orders and Rulings

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### 2.1 Decisions

#### 2.1.1 Industrial Alliance, Investment Management Inc.

##### Headnote

National Policy 11-203 Passport System and Multilateral Instrument 11-102 Process for Exemptive Relief Applications in Multiple Jurisdictions – Relief granted from section 13.5(2)(b) of NI 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations to permit the filer to engage in inter-entity trades between the investment portfolios of affiliates for which it acts as an adviser – inter-entity trades will comply with conditions of section 6.1(2) of National Instrument 81-107 Independent Review Committee for Investment Funds except for the requirements to have an independent review committee and obtain its approval of trades.

##### Applicable Legislative Provisions

National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations, ss. 13.5(2)(b), 15.1. National Instrument 81-107 Independent Review Committee for Investment Funds, s. 6.1(2).

September 1, 2016

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
QUÉBEC AND ONTARIO  
(the “Jurisdictions”)

AND

IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF  
INDUSTRIAL ALLIANCE, INVESTMENT MANAGEMENT INC.  
(the “Filer”)

DECISION

##### Background

The securities regulatory authority or regulator in each of the Jurisdictions (**Decision Maker**) has received an application from the Filer for a decision under the securities legislation in the Jurisdictions (the **Legislation**) for an exemption under section 15.1 of National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (**NI 31-103**) from the restriction on certain managed account transactions contained in section 13.5(2)(b) of NI 31-103 in order to permit the Filer to knowingly cause the investment portfolio of an Affiliate (as defined below), for which the Filer acts as an adviser, to purchase securities from or sell securities to the investment portfolio of another Affiliate for which the Filer also acts as an adviser (the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a dual application):

- (a) the Autorité des marchés financiers du Québec is the principal regulator for this application,
- (b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in Alberta, British Columbia, Manitoba, New Brunswick, Nova Scotia and Saskatchewan, and

- (c) the decision with respect to the Exemption Sought is the decision of the principal regulator and evidences the decision of the regulator in Ontario.

**Interpretation**

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

**Current Market Price of the Security** means,

- (a) if the security is an exchange-traded security or a foreign exchange-traded security,
  - (i) the closing sale price on the day prior to the transaction as reported on the exchange upon which the security is listed or the quotation trade reporting system upon which the security is quoted, or
  - (ii) if there are no reported transactions for the day prior to the transaction, the average of the highest current bid and lowest current ask for the security as displayed on the exchange upon which the security is listed or the quotation trade reporting system upon which the security is quoted, or
  - (iii) if the closing sale price on the day prior to the transaction is outside of the closing bid and closing ask, the average of the highest current bid and lowest current ask for the security as displayed on the exchange upon which the security is listed or the quotation trade reporting system upon which the security is quoted; or
- (b) for all other securities, the average of the current values determined on the basis of reasonable inquiry; and

**Market Integrity Requirements** means

- (a) if the security is an exchange-traded security, the purchase or sale
  - (i) is printed on a marketplace that executes trades of the security; and
  - (ii) complies with the market conduct and display requirements of the marketplace, its regulation services provider and securities regulatory authorities; or
- (b) if the security is a foreign exchange-traded security, the purchase or sale complies with the requirements that govern transparency and trading of foreign exchange-traded securities on the foreign exchange or foreign quotation and trade reporting system; or
- (c) for all other securities, the purchase or sale is through a dealer, if the purchase or sale is required to be reported by a registered dealer under applicable securities legislation.

**Representations**

This decision is based on the following facts represented by the Filer:

1. The Filer is a corporation incorporated under the laws of Canada with its head office located in Québec City, Québec.
2. The Filer is a subsidiary of Industrial Alliance Insurance and Financial Services Inc. (**Industrial Alliance**), a publicly listed company in Canada and part of the Industrial Alliance group of companies. Industrial Alliance is a life and health insurance company and financial services provider that has individual insurance, individual wealth management, group insurance and group savings and retirement businesses, and controls a large network of subsidiaries inside and outside of Canada.
3. The Filer is registered as: (a) a portfolio manager in Alberta, British Columbia, Manitoba, New Brunswick, Nova Scotia, Ontario, Québec and Saskatchewan; (b) a commodity trading counsel and a commodity trading manager in Ontario; and (c) a derivatives portfolio manager in Québec.
4. The Filer is not in default of securities legislation of any of the provinces and territories of Canada.
5. The Filer provides portfolio management services to certain affiliated entities within the Industrial Alliance group of companies, and may act as portfolio manager for the investment portfolios of additional affiliated entities in the future (collectively, the current and future affiliates that have their head offices or principal places of business in Canada are



referred to as the **Affiliates**). Investment portfolios of Affiliates managed by the Filer include portfolios of related insurance companies that are invested to satisfy their liabilities under insurance contracts. The current affiliates for which the Filer provides portfolio management services are: Industrial Alliance; Industrial Alliance, Auto and Home Insurance Inc.; Industrial Alliance Pacific General Insurance Corporation; Investia Financial Services Inc.; Industrial Alliance Trust Inc.; SAL Marketing Inc.; The Excellence Life Insurance Company; and Prysm Assurances générales inc.

6. The Filer enters into a written portfolio management agreement with each Affiliate and has, or will have, full discretionary authority to trade in securities for each Affiliate's investment portfolio without obtaining the specific consent or instructions of the Affiliate with respect to the trade.
7. Other than Industrial Alliance, which is a reporting issuer in Canada, each of the Affiliates is not and does not intend to become a reporting issuer in Canada.
8. The Filer wishes to cause the investment portfolio of an Affiliate to purchase securities from or sell securities to the investment portfolio of another Affiliate (the **Inter-Entity Trades**). The Filer has determined that there are significant benefits that could be achieved for Affiliates through such Inter-Entity Trades, including cost and timing efficiencies.
9. Because of the operation and structure of the Industrial Alliance group of companies and the Filer's investment process, an Affiliate may be a "responsible person" of the Filer as defined in section 13.5(1) of NI 31-103. In the absence of the Exemption Sought, to the extent the Affiliates are responsible persons of the Filer, the Filer would be prohibited from engaging in the Inter-Entity Trades under section 13.5(2)(b) of NI 31-103.
10. Each Inter-Entity Trade will be consistent with the investment objectives and strategies of the investment portfolios of each of the applicable Affiliates.
11. The portfolio management agreements between the Filer and each of the Affiliates contain, or will contain, the authorization of the Affiliate for the Filer to engage in Inter-Entity Trades. In addition, all Inter-Entity Trades will be made in compliance with the provisions of any applicable insurance legislation.
12. The Filer has written policies and procedures in place to govern the Inter-Entity Trades.

### Decision

Each of the Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Maker to make the decision.

The decision of the Decision Makers under the Legislation is that the Exemption Sought is granted provided that:

- (a) Each of the Affiliates, other than Industrial Alliance, is not a reporting issuer in Canada;
- (b) The Inter-Entity Trades are consistent with the investment objectives and strategies of the investment portfolios of each of the applicable Affiliates;
- (c) The portfolio management agreement or other documentation in respect of the investment portfolios of the Affiliates permits Inter-Entity Trades;
- (d) At the time of the Inter-Entity Trade,
  - (i) the bid and ask price of the security is readily available;
  - (ii) the Inter-Entity Trade is executed at the Current Market Price of the Security;
  - (iii) the Inter-Entity Trade is subject to Market Integrity Requirements; and
  - (iv) the Filer keeps written records of each Inter-Entity Trade including,
    - (A) a record of each purchase and sale of securities,
    - (B) the parties to the trade, and
    - (C) the terms of the purchase or sale

for five years after the end of the fiscal year in which the trade occurred, the most recent two years in a reasonably accessible place;

- (e) Each Inter-Entity Trade represents the business judgement of the Filer uninfluenced by considerations other than the best interests of the investment portfolios of each of the Affiliates that is party to the Inter-Entity Trade;
- (f) Each Inter-Entity Trade is in compliance with the Filer's written policies and procedures relating to Inter-Entity Trades;
- (g) Each Inter-Entity Trade achieves a fair and reasonable result for the investment portfolios of each of the Affiliates; and
- (h) No fees or costs will be paid by or to any party for an Inter-Entity Trade other than the nominal cost incurred by a party to print or otherwise display the trade.

“Eric Stevenson”  
Superintendent, Client Services and Distribution Oversight  
Autorité des marchés financiers

## 2.1.2 Home Investment Management Inc. et al.

### Headnote

Multilateral Instrument 11-102 Passport System and National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – under paragraphs 4.1(1)(a) and 4.1(1)(b) of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations a registered firm must not permit an individual to act as a dealing, advising or associate advising representative of the registered firm if the individual acts as an officer, partner or director of another registered firm that is not an affiliate of the first-mentioned firm or if the individual is registered as a dealing, advising or associate advising representative of another registered firm – firms are not affiliated entities – first registered firm acquiring second registered firm’s client accounts – second registered firm intends to surrender registration – the firms have valid business reasons for individual to be registered with both firms – individual has sufficient time to adequately serve both firms – since one firm is winding up, conflicts of interest are unlikely to arise – the firms have policies in place to handle potential conflicts of interest – the firms are exempted from the prohibition for a limited period of time

### Applicable Legislative Provisions

Multilateral Instrument 11-102 Passport System, s. 4.7.

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions.

National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations, ss. 4.1, 15.1.

September 9, 2016

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(the “Jurisdiction”)

AND

IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF  
HOME INVESTMENT MANAGEMENT INC. (“Home”),  
PANGAEA ASSET MANAGEMENT INC. (“Pangaea”) AND  
RICHARD STRAND (“Strand”)

DECISION

### Background

The principal regulator in the Jurisdiction (the **Decision Maker**) has received an application from Home and Pangaea (collectively, the **Filers**) for a decision under the securities legislation of the Jurisdiction (the **Legislation**) for an exemption from the restrictions in paragraphs 4.1(1)(a) and 4.1(1)(b) of National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (**NI 31-103**) to permit Strand to act as an advising representative, director and officer of Home while also acting as an advising representative and dealing representative of Pangaea for a limited period of time following the acquisition of substantially all of the assets of Home by Pangaea (the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application; and
- (b) the Filers have provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon by Home in Alberta and Saskatchewan and by Pangaea in Alberta, British Columbia, Manitoba and Saskatchewan.

### Interpretation

Terms defined in MI 11-102, NI 31-103 and National Instrument 14-101 *Definitions* have the same meaning in this decision unless otherwise defined.

### Representations

This decision is based on the following facts represented by the Filers:

#### *Home*

1. Home is a company organized under the laws of Alberta, with its head office in Alberta. Home is currently registered in the categories of exempt market dealer and portfolio manager under the securities legislation of each of Alberta and Saskatchewan.
2. The principal regulator of Home is the Alberta Securities Commission (the **ASC**).
3. Home's registration is subject to terms and conditions, including that Home and anyone acting on its behalf shall not, directly or indirectly:
  - (a) accept any new clients or open any client accounts of any kind or
  - (b) trade in any security, other than a full or partial liquidating transaction.
4. Other than providing discretionary portfolio management services to two residents of Nova Scotia without having the appropriate registration or relying upon an appropriate exemption from registration, Home is not in default of any requirement of securities legislation in any jurisdiction of Canada.

#### *Pangaea*

5. Pangaea is a company organized under the laws of Ontario, with its head office in Ontario. Pangaea is registered in the categories of investment fund manager, portfolio manager and exempt market dealer under the securities legislation of each of Alberta, British Columbia, Ontario and Saskatchewan. Additionally, Pangaea is registered in the category of exempt market dealer under the securities legislation of Manitoba.
6. The principal regulator of Pangaea is the Ontario Securities Commission.
7. Pangaea is not in default of any requirement of securities legislation in any jurisdiction of Canada.

#### *The Transaction*

8. The Filers are each independently owned and are not affiliates of one another.
9. The application for the Exemption Sought is made in relation to the transfer of substantially all of the assets of Home, including Home's client accounts, to Pangaea (the **Transaction**). In connection with the Transaction, Strand will seek registration as a dealing representative and advising representative of Pangaea under the securities legislation of each of Alberta and Saskatchewan.
10. Home will transfer all of its client accounts to Pangaea on or about September 16, 2016 (the **Account Transfer Date**). On or immediately after the Account Transfer Date, Home will submit an application for voluntary surrender of its registration to the ASC, its principal regulator.

#### *Dual Registration*

11. Strand is a director and officer of Home and is registered as the ultimate designated person (**UDP**), the chief compliance officer (**CCO**) and the sole advising representative of Home.
12. As soon as the Exemption Sought is granted, Pangaea will make a filing via the National Registration Database to add Pangaea as an additional sponsoring firm of Strand.

13. The Exemption Sought will permit Strand:
  - (a) as an officer, director and advising representative of Home, to facilitate the orderly wind-up of Home's registerable business and operations, including the voluntary surrender of Home's registration under applicable securities legislation; and
  - (b) as an advising representative of Pangaea, to provide services in relation to former clients of Home who will become clients of Pangaea that are similar to the services he performed on behalf of Home.
14. After the Account Transfer Date, Strand, as Home's sole director, officer, UDP and CCO, will act in such capacity only to comply with regulatory requirements, including, as necessary, to surrender Home's registration under securities legislation.
15. Strand will have sufficient time and resources to adequately meet his obligations to each of the Filers.
16. Home will ensure that Strand adheres to the terms and conditions imposed on the registration of Home.
17. The Filers have in place policies and procedures to address any conflicts of interest that may arise as a result of the dual registration of Strand. Following the transfer of its client accounts to Pangaea, the activities of Home will be administrative in nature and will not include registerable activities of any kind, which should result in there being few, if any, conflicts of interest.
18. Pangaea has compliance and supervisory policies and procedures in place to monitor the conduct of its representatives, including Strand, and to ensure that Pangaea can deal appropriately with any conflicts of interest that may arise.
19. Pangaea will supervise the activities that Strand will conduct on behalf of Home in the same way that it does other outside business activities of its registered individuals, including by holding meetings regularly with him and by obtaining regular status reports from him.
20. In the absence of the Exemption Sought, the Filers would be prohibited under paragraphs 4.1(1)(a) and 4.1(1)(b) of NI 31-103 from permitting Strand to act as an advising representative, director and officer of Home while also acting as an advising representative and dealing representative of Pangaea.

**Decision**

The Decision Maker is satisfied that the decision meets the test set out in the Legislation for the Decision Maker to make the decision.

The decision of the Decision Maker under the Legislation is that the Exemption Sought is granted provided that (1) the circumstances described above remain in place, and (2) the Exemption Sought expires on the earlier of the following:

- (a) one year after the date hereof, and
- (b) the date on which the surrender of Home's registration is accepted by the ASC.

"Marriane Bridge"  
Deputy Director,  
Compliance and Registrant Regulation  
Ontario Securities Commission

### 2.1.3 Brompton Funds Limited and Symphony Floating Rate Senior Loan Fund

#### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – investment fund manager obtaining relief from the requirement to obtain the approval of securityholders before changing the fundamental investment objectives of a non-redeemable investment fund – exemptive relief required as a result of changes to tax law eliminating certain tax benefits associated with character conversion transactions – manager required to send written notice at least 30 days before the effective date of the change to the investment objectives of the fund setting out the change, the reasons for such change and a statement that the fund will no longer distribute gains under forward contracts that are treated as capital gains for tax purposes.

#### Applicable Legislative Provisions

National Instrument 81-102 Investment Funds, ss. 5.1(1)(c), 19.1.

September 12, 2016

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(the “Jurisdiction”)

AND

IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF  
BROMPTON FUNDS LIMITED  
(the “Filer”)

AND

IN THE MATTER OF  
SYMPHONY FLOATING RATE SENIOR LOAN FUND  
(the “Fund”)

DECISION

#### Background

The principal regulator in the Jurisdiction has received an application from the Filer, on behalf of the Fund, for a decision under the securities legislation of the Jurisdiction of the principal regulator (the “**Legislation**”) for exemptive relief from the requirement to obtain prior securityholder approval before changing the fundamental investment objectives of the Fund under subsection 5.1(1)(c) of National Instrument 81-102 – *Investment Funds* (“**NI 81-102**”) (the “**Requested Relief**”).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

1. the Ontario Securities Commission is the principal regulator for this application; and
2. the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 – *Passport System* (“**MI 11-102**”) is intended to be relied upon in each of the other provinces and territories of Canada (collectively with Ontario, the “**Jurisdictions**”).

#### Interpretation

Terms defined in National Instrument 14-101 – *Definitions*, MI 11-102 and NI 81-102 have the same meaning if used in this decision, unless otherwise defined.

## Representations

This decision is based on the following facts represented by the Filer:

1. The Filer is the manager of the Fund and also the manager and trustee of SSF Trust. The Filer is registered with the Ontario Securities Commission as a portfolio manager, investment fund manager, exempt market dealer and commodity trading manager and is also registered as an investment fund manager in Quebec and Newfoundland and Labrador. The head office of the Filer is located in Toronto, Ontario.
2. The Filer is the portfolio manager of each of the Fund and SSF Trust.
3. The Fund is an investment trust established under the laws of the Province of Ontario pursuant to a declaration of trust.
4. None of the Filer, the Fund or SSF Trust is in default of securities legislation in any Jurisdiction.
5. The Fund is a non-redeemable investment fund. Its units were qualified for distribution pursuant to a prospectus dated October 19, 2011, that was prepared and filed in accordance with the securities legislation of all the provinces and territories of Canada. Accordingly, the Fund is a reporting issuer or the equivalent in each province and territory of Canada.
6. Under its current investment objectives and strategies, the Fund may enter into character conversion transactions. The Fund is a party to a forward purchase and sale agreement dated November 1, 2011 (the "**Forward Agreement**"). The Forward Agreement provides the Fund with exposure to the returns of the securities of another investment fund, SSF Trust (the "**Reference Fund**"). The current investment objectives of the Fund are as follows:

"The Fund's investment objectives are to: (i) provide monthly tax-advantaged distributions consisting primarily of returns of capital; and (ii) preserve capital, in each case, through exposure, pursuant to the Forward Agreement, to an actively managed, diversified portfolio consisting primarily of short-duration floating rate senior corporate debt instruments, including senior secured loans and other senior debt obligations of North American non-investment grade corporate borrowers."
7. Through the use of the Forward Agreement, the Fund provides tax-advantaged distributions to its securityholders because the Fund will realize capital gains (or capital losses) on the disposition of securities acquired under the Forward Agreement, rather than ordinary income. Ordinary income is subject to tax at a higher rate in Canada than capital gains.
8. The Forward Agreement is expected to terminate on or about October 27, 2016, in accordance with its terms (the "**Termination Date**").
9. The *Income Tax Act* (Canada) was amended in December 2013 to implement proposals that were first announced in the March 21, 2013 federal budget regarding the income tax treatment of character conversion transactions (the "**Tax Changes**"). Under the Tax Changes, the favourable tax treatment of character conversion transactions will be eliminated after a prescribed date (the "**Effective Date**"). The Effective Date for the Fund will be the Termination Date.
10. As a result of the Tax Changes, the Forward Agreement will no longer be able, following the Termination Date, to provide the same material tax efficiency to securityholders of the Fund. As a result, the Filer has determined that, upon the termination of the Forward Agreement, the Fund should own its portfolio of investments directly rather than through the Reference Fund, and the Reference Fund will be wound up. Following the Termination Date, the Fund will invest directly in the securities currently held in the underlying portfolio held by SSF Trust and the Filer intends to continue to pursue the Fund's investment strategy directly without the Forward Agreement or the Reference Fund.
11. The Filer has determined that, as a result of the Tax Changes, it would be more efficient and less costly for the Fund to seek to achieve its fundamental investment objectives after the Effective Date by investing its assets using the same, or substantially the same, investment strategies as those of the Reference Fund. The Filer will also continue to manage the portfolio of the Fund in as tax-efficient a manner as possible.
12. The Filer wishes to amend the investment objectives of the Fund to remove all references to the use of the Forward Agreement to gain exposure to the Reference Fund and to delete references to "tax-advantaged" distributions. Other than for the loss of tax efficiency resulting from the Tax Changes, the Fund will have the same investment attributes under its amended investment objectives as exist under its current investment objectives.

13. Following such amendment, the revised investment objectives of the Fund will be as follows:

“The Fund’s investment objectives are to: (i) provide monthly distributions; and (ii) preserve capital through investment in an actively managed, diversified portfolio consisting primarily of short-duration floating rate senior corporate debt instruments, including senior secured loans and other senior debt obligations of North American non-investment grade corporate borrowers.”
14. The Filer has complied with the material change report requirements set out in Part 11 of National Instrument 81-106 - Investment Fund Continuous Disclosure in connection with the Filer’s decision to make the changes to the investment objectives of the Fund set out above.
15. The Filer expects the proposed changes to the fundamental investment objectives of the Fund to take effect on or about the Termination Date.
16. The Filer has determined that it would be in the best interests of the Fund and not prejudicial to the public interest to receive the Requested Relief.

**Decision**

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Requested Relief is granted, provided that, at least 30 days before the effective date of the change in the investment objectives of the Fund, the Filer will send to each securityholder of the Fund a written notice that sets out the change to the investment objectives, the reasons for such change and a statement that the Fund will no longer distribute gains under forward contracts that are treated as capital gains for tax purposes.

“Raymond Chan”  
Manager, Investment Funds and Structured Products Branch  
Ontario Securities Commission



## 2.1.4 Starlight Investment Ltd. et al.

### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Multilateral Instrument 61-101 Protection of Minority Security Holders in Special Transactions – Filer is the manager of limited partnerships that own interests in real property in the U.S. – application for relief from requirement to obtain separate minority approval for each class of units, but rather to obtain minority approval on a Fund-by-Fund basis – no difference of interest between holders of each class of units within a particular Fund in connection with the proposed business combination transaction – safeguards include independent committee, fairness opinions, appraisals – declaration of trust of Filer provides that unitholders will vote as a single class unless the nature of the business affects holders of one class of units in a manner materially different from another class – requiring a class-by-class vote could give a de facto veto right to a very small group of unitholders.

### Applicable Legislative Provisions

National Instrument 61-101 Protection of Minority Security Holders in Special Transactions, ss. 8.1(1), 9.1(2).

September 12, 2016

**IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(THE “JURISDICTION”)**

**AND**

**IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS**

**AND**

**IN THE MATTER OF  
STARLIGHT INVESTMENT LTD. (THE “FILER”),  
STARLIGHT U.S. MULTI-FAMILY CORE FUND (“FUND 1”),  
STARLIGHT U.S. MULTI-FAMILY (NO. 2) CORE FUND (“FUND 2”),  
STARLIGHT U.S. MULTI-FAMILY (NO. 3) CORE FUND (“FUND 3”) AND  
STARLIGHT U.S. MULTI-FAMILY (NO. 4) CORE FUND (“FUND 4”) AND,  
TOGETHER WITH FUND 1, FUND 2 AND FUND 3, THE “FUNDS” AND EACH A “FUND”)**

**DECISION**

### Background

The principal regulator in the Jurisdiction has received an application from the Filer, the manager of each of the Funds, under the securities legislation of the jurisdiction of the principal regulator (the “**Legislation**”) for an exemption for each Fund, pursuant to section 9.1 of Multilateral Instrument 61-101 *Protection of Minority Security Holders in Special Transactions* (“**MI 61-101**”), from the requirements in subsection 8.1(1) of MI 61-101 that minority approval for the Proposed Transaction (as defined below) be obtained from the unitholders of every class of affected securities of each Fund voting separately as a class, to allow minority approval to be obtained separately, on a Fund-by-Fund basis, with the minority unitholders of the various classes of securities of each Fund voting together as single class of limited partners of such Fund (the “**Requested Relief**”). The application is being made in connection with a proposed plan of arrangement involving each of the Funds and a new limited partnership entity that the Filer has established named Starlight U.S. Multi-Family (No. 5) Core Fund (“**New Fund**”), among others, pursuant to which New Fund will indirectly acquire all of the multi-family real estate properties currently owned by each Fund.

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application, and
- (b) the Filer has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System* (“**MI 11-102**”) is intended to be relied upon in Quebec.

## Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

## Representations

This decision is based on the following facts represented by the Filer and by each Fund:

### Overview of the Funds

1. Each of the Funds is a reporting issuer under the Legislation and the securities legislation of each of the provinces of Canada. No Fund is in default of any requirement of the securities legislation in any jurisdiction in which such Fund is a reporting issuer.
2. Fund 1 is a limited partnership established on February 12, 2013 under the laws of the Province of Ontario and governed by a fourth amended and restated limited partnership agreement dated August 20, 2014 (the "**Fund 1 LPA**").
3. Fund 1's investment objectives are to: (a) indirectly acquire, own, and operate a portfolio comprised primarily of recently constructed, Class "A" stabilized, income producing multi-family real estate properties in Texas and the southeastern United States ("U.S."); (b) make stable monthly cash distributions; and (c) enhance the value of its assets through active management, with the goal of ultimately disposing of the assets at a gain by the end of Fund 1's pre-determined term of existence, being in April 2017 (as extended from April 2016), unless further extended in accordance with the terms of the Fund 1 LPA.
4. Fund 1 owns interests in a portfolio of 2,606 suites in nine properties located in the U.S.
5. The limited partnership interests in Fund 1 are divided into five classes of limited partnership units (collectively, the "**Fund 1 Units**"): Class A units ("**Class A1 Units**"), Class U units ("**Class U1 Units**"), Class I units ("**Class I1 Units**"), Class F units ("**Class F1 Units**") and Class C units ("**Class C1 Units**").
6. As at August 15, 2016, there were 4,810,126 Fund 1 Units outstanding and the Class A1 Units represented 61.6% of the issued and outstanding Fund 1 Units, the Class U1 Units represented 7.1% of the issued and outstanding Fund 1 Units, the Class I1 Units represented 2.3% of the issued and outstanding Fund 1 Units, the Class F1 Units represented 4.0% of the issued and outstanding Fund 1 Units and the Class C1 Units represented 25.0% of the issued and outstanding Fund 1 Units.
7. The holders of the Class A1 Units, Class U1 Units, Class I1 Units, Class F1 Units and Class C1 Units have identical rights and obligations and no holder of Fund 1 Units is entitled to any privilege, priority or preference in relation to any other such holder, subject to the following:
  - (a) The Class A1 Units, Class C1 Units, Class F1 Units and Class I1 Units are denominated in Canadian dollars, while the Class U1 Units are denominated in U.S. dollars. The difference in currency denominations was intended to allow holders of Fund 1 Units the flexibility to invest in Fund 1 and receive distributions in either U.S. or Canadian dollars.
  - (b) The Class I1 Units and Class F1 Units differ from the Class A1 Units in that the Class I1 Units and Class F1 Units are not required to account for an annual service fee to registered dealers by the Filer (the "**Service Fee**"). The Class F1 Units also paid an agents' fee to the selling agents in connection with Fund 1's initial public offering that was lower than the fee payable on Class A1 Units and Class I1 Units.
  - (c) The Class C1 Units differ from Class A1 Units in that Class C1 Units were not required to pay an agents' fee to the selling agents in connection with Fund 1's initial public offering and not required to account for the Service Fee.
  - (d) The proportionate entitlement of the holders of Class A1 Units, Class U1 Units, Class I1 Units, Class F1 Units and Class C1 Units to participate in distributions made by Fund 1 and to receive proceeds upon termination or dissolution of Fund 1 is determined based on the net U.S. dollar proceeds received by Fund 1 in respect of such class of Fund 1 Units at the time of Fund 1's initial public offering.
  - (e) The Class A1 Units and Class U1 Units are listed on the TSX Venture Exchange (the "**TSXV**") under the symbols "UMF.A" and "UMF.U" respectively. The Class I1 Units, Class F1 Units and Class C1 Units are not listed on any stock exchange, but may be converted into Class A1 Units at the option of the holders thereof at

a rate determined by the relative net U.S. dollar proceeds received by Fund 1 for each Fund 1 Unit, by class, at the time of its initial public offering.

- (f) If a formal take-over bid is made for a class of Fund 1 Units other than the Class A1 Units and the Class U1 Units, then the Class A1 Units and the Class U1 Units have coattail rights to convert into the class of Fund 1 Units that are the subject of the formal take-over bid at a rate determined by the relative net U.S. dollar proceeds received by Fund 1 for each Fund 1 Unit, by class, at the time of its initial public offering.
8. The Fund 1 LPA provides that unitholders vote together as a single class in respect of any matter to be voted upon unless the nature of the business to be transacted at the meeting affects holders of one class of Fund 1 Units in a manner materially different from its effect on holders of another class of Fund 1 Units, in which case the Fund 1 Units of the affected class will vote separately as a class.
9. Fund 2 is a limited partnership established on September 23, 2013 under the laws of the Province of Ontario and governed by a second amended and restated limited partnership agreement dated August 20, 2014 (the "**Fund 2 LPA**").
10. Fund 2's investment objectives are to: (a) indirectly acquire, own, and operate a portfolio comprised of recently constructed, Class "A" stabilized, income producing multi-family real estate properties primarily in Texas as well as the southeastern U.S.; (b) make stable monthly cash distributions; and (c) enhance operating income and property values of Fund 2's assets through active management, with the goal of ultimately disposing of the assets at a gain by the end of Fund 2's pre-determined term of existence, being November 2016, unless extended in accordance with the terms of the Fund 2 LPA.
11. Fund 2 owns interests in a portfolio of 1,527 suites in four properties located in the U.S.
12. The limited partnership interests in Fund 2 are divided into five classes of limited partnership units (collectively, the "**Fund 2 Units**"): Class A units ("**Class A2 Units**"), Class U units ("**Class U2 Units**"), Class D units ("**Class D2 Units**"), Class F units ("**Class F2 Units**") and Class C units ("**Class C2 Units**").
13. As at August 15, 2016, there were 3,386,305 Fund 2 Units outstanding and the Class A2 Units represented 50.9% of the issued and outstanding Fund 2 Units, the Class U2 Units represented 13.8% of the issued and outstanding Fund 2 Units, the Class D2 Units represented 13.0% of the issued and outstanding Fund 2 Units, the Class F2 Units represented 2.4% of the issued and outstanding Fund 2 Units and the Class C2 Units represented 20.0% of the issued and outstanding Fund 2 Units.
14. The holders of the Class A2 Units, Class U2 Units, Class D2 Units, Class F2 Units and Class C2 Units have the same rights and obligations and no holder of Fund 2 Units is entitled to any privilege, priority or preference in relation to any other such holder, subject to the following:
- (a) The Class A2 Units, Class C2 Units, Class F2 Units and Class D2 Units are denominated in Canadian dollars, while the Class U2 Units are denominated in U.S. dollars. The difference in currency denominations was intended to allow holders of Fund 2 Units the flexibility to invest in Fund 2 and receive distributions in either U.S. or Canadian dollars.
- (b) The Class D2 Units and Class F2 Units differ from the Class A2 Units in that the Class D2 Units and Class F2 Units are not required to account for the Service Fee. The Class F2 Units also paid an agents' fee to the selling agents in connection with Fund 2's initial public offering that was lower than the fee payable on Class A2 Units and Class D2 Units.
- (c) The Class C2 Units differ from Class A2 Units in that Class C2 Units were not required to pay an agents' fee to the selling agents in connection with Fund 2's initial public offering and not required to account for the Service Fee.
- (d) The proportionate entitlement of the holders of Class A2 Units, Class U2 Units, Class D2 Units, Class F2 Units and Class C2 Units to participate in distributions made by Fund 2 and to receive proceeds upon termination or dissolution of Fund 2 is determined based on the net U.S. dollar proceeds received by Fund 2 in respect of such class of Fund 2 Units at the time of Fund 2's initial public offering.
- (e) The Class A2 Units and Class U2 Units are listed on the TSXV under the symbols "SUD.A" and "SUD.U" respectively. The Class D2 Units, Class F2 Units and Class C2 Units are not listed on any stock exchange, but may be converted into Class A2 Units at the option of the holders thereof at a rate determined by the relative

net U.S. dollar proceeds received by Fund 2 for each Fund 2 Unit, by class, at the time of its initial public offering.

- (f) If a formal take-over bid is made for a class of Fund 2 Units other than the Class A2 Units and the Class U2 Units, then the Class A2 Units and the Class U2 Units have coattail rights to convert into the class of Fund 2 Units that are the subject of the formal take-over bid at a rate determined by the relative net U.S. dollar proceeds received by Fund 2 for each Fund 2 Unit, by class, at the time of its initial public offering.
15. The Fund 2 LPA provides that unitholders vote together as a single class in respect of any matter to be voted upon unless the nature of the business to be transacted at the meeting affects holders of one class of Fund 2 Units in a manner materially different from its effect on holders of another class of Fund 2 Units, in which case the Fund 2 Units of the affected class will vote separately as a class.
16. Fund 3 is a limited partnership established on May 1, 2014 under the laws of the Province of Ontario and governed by an amended and restated limited partnership agreement dated July 4, 2014 (the "**Fund 3 LPA**").
17. Fund 3's investment objectives are to: (a) indirectly acquire, own, and operate a portfolio comprised of recently constructed, Class "A" stabilized, income producing multi-family real estate properties primarily in Texas, Arizona and the southeastern U.S.; (b) make stable monthly cash distributions; and (c) enhance operating income and property values of Fund 3's assets through active management, with the goal of ultimately disposing of the assets at a gain by the end of Fund 3's pre-determined term of existence, being in July 2017, unless extended in accordance with the terms of the Fund 3 LPA.
18. Fund 3 owns interests in a portfolio of 1,894 suites in seven properties located in the U.S.
19. The limited partnership interests in Fund 3 are divided into five classes of limited partnership units (collectively, the "**Fund 3 Units**"): Class A units ("**Class A3 Units**"), Class U units ("**Class U3 Units**"), Class D units ("**Class D3 Units**"), Class F units ("**Class F3 Units**") and Class C units ("**Class C3 Units**").
20. As at August 15, 2016, there were 5,255,121 Fund 3 Units outstanding and the Class A3 Units represented 42.6% of the issued and outstanding Fund 3 Units, the Class U3 Units represented 6.4% of the issued and outstanding Fund 3 Units, the Class D3 Units represented 30.8% of the issued and outstanding Fund 3 Units, the Class F3 Units represented 6.0% of the issued and outstanding Fund 3 Units and the Class C3 Units represented 14.2% of the issued and outstanding Fund 3 Units.
21. The holders of the Class A3 Units, Class U3 Units, Class D3 Units, Class F3 Units and Class C3 Units have the same rights and obligations and no holder of Fund 3 Units is entitled to any privilege, priority or preference in relation to any other such holder, subject to the following:
- (a) The Class A3 Units, Class C3 Units, Class F3 Units and Class D3 Units are denominated in Canadian dollars, while the Class U3 Units are denominated in U.S. dollars. The difference in currency denominations was intended to allow holders of Fund 3 Units the flexibility to invest in Fund 3 and receive distributions in either U.S. or Canadian dollars.
- (b) The Class D3 Units and Class F3 Units differ from the Class A3 Units in that the Class D3 Units and Class F3 Units are not required to account for the Service Fee. The Class F3 Units also paid an agents' fee to the selling agents in connection with Fund 3's initial public offering that was lower than the fee payable on Class A3 Units and Class D3 Units.
- (c) The Class C3 Units differ from Class A3 Units in that Class C3 Units were not required to pay an agents' fee to the selling agents in connection with Fund 3's initial public offering and not required to account for the Service Fee.
- (d) The proportionate entitlement of the holders of Class A3 Units, Class U3 Units, Class D3 Units, Class F3 Units and Class C3 Units to participate in distributions made by Fund 3 and to receive proceeds upon termination or dissolution of Fund 3 is determined based on the net U.S. dollar proceeds received by Fund 3 in respect of such class of Fund 3 Units at the time of Fund 3's initial public offering.
- (e) The Class A3 Units and Class U3 Units are listed on the TSXV under the symbols "SUS.A" and "SUS.U" respectively. The Class D3 Units, Class F3 Units and Class C3 Units are not listed on any stock exchange, but may be converted into Class A3 Units at the option of the holders thereof at a rate determined by the relative net U.S. dollar proceeds received by Fund 3 for each Fund 3 Unit, by class, at the time of its initial public offering.

- (f) If a formal take-over bid is made for a class of Fund 3 Units other than the Class A3 Units and the Class U3 Units, then the Class A3 Units and the Class U3 Units have coattail rights to convert into the class of Fund 3 Units that are the subject of the formal take-over bid at a rate determined by the relative net U.S. dollar proceeds received by Fund 3 for each Fund 3 Unit, by class, at the time of its initial public offering.
22. The Fund 3 LPA provides that unitholders vote together as a single class in respect of any matter to be voted upon unless the nature of the business to be transacted at the meeting affects holders of one class of Fund 3 Units in a manner materially different from its effect on holders of another class of Fund 3 Units, in which case the Fund 3 Units of the affected class will vote separately as a class.
23. Fund 4 is a limited partnership established on December 1, 2014 under the laws of the Province of Ontario and governed by an amended and restated limited partnership agreement dated March 27, 2015 (the "**Fund 4 LPA**").
24. Fund 4's investment objectives are to: (a) indirectly acquire, own, and operate a portfolio comprised of recently constructed, Class "A" stabilized, income producing multi-family real estate properties primarily in Florida, Arizona, Texas, Tennessee, North Carolina, Georgia and Colorado; (b) make stable monthly cash distributions; and (c) enhance the operating income and property values of Fund 4's assets through active management, with the goal of ultimately directly or indirectly disposing of its interests in the assets at a gain by the end of Fund 4's pre-determined term of existence, being in April 2017, unless extended in accordance with the terms of the Fund 4 LPA.
25. Fund 4 owns interests in a portfolio of 1,204 suites in four properties located in the U.S.
26. The limited partnership interests in Fund 4 are divided into seven classes of limited partnership units (collectively, the "**Fund 4 Units**"): Class A units ("**Class A4 Units**"), Class U units ("**Class U4 Units**"), Class D units ("**Class D4 Units**"), Class E units ("**Class E4 Units**"), Class F units ("**Class F4 Units**"), Class H units ("**Class H4 Units**") and Class C units ("**Class C4 Units**").
27. As at August 15, 2016, there were 6,117,303 Fund 4 Units outstanding and the Class A4 Units represented 34.3% of the issued and outstanding Fund 4 Units, the Class U4 Units represented 7.6% of the issued and outstanding Fund 4 Units, the Class D4 Units represented 22.6% of the issued and outstanding Fund 4 Units, the Class E4 Units represented 13.3% of the issued and outstanding Fund 4 Units, the Class F4 Units represented 9.8% of the issued and outstanding Fund 4 Units, the Class H4 Units represented 4.2% of the issued and outstanding Fund 4 Units and the Class C4 Units represented 8.2% of the issued and outstanding Fund 4 Units.
28. The holders of the Class A4 Units, Class U4 Units, Class D4 Units, Class E4 Units, Class F4 Units, Class H4 Units and Class C4 Units have the same rights and obligations and no holder of Fund 4 Units is entitled to any privilege, priority or preference in relation to any other such holder, subject to the following:
- (a) The Class A4 Units, Class C4 Units, Class F4 Units, Class H4 Units and Class D4 Units are denominated in Canadian dollars, while the Class U4 Units and Class E4 Units are denominated in U.S. dollars. The difference in currency denominations was intended to allow holders of Fund 4 Units the flexibility to invest in Fund 4 and receive distributions in either U.S. or Canadian dollars.
- (b) The Class D4 Units and Class H4 Units differ from the Class A4 Units in that the Class D4 Units and Class H4 Units are not required to account for the Service Fee. Additionally, Fund 4 has acquired derivative instruments which are intended to provide the holders of Class H4 Units with some protection against any weakening of the U.S. dollar as compared to the Canadian dollar between the closing date of Fund 4's initial public offering and the target date for termination and liquidation of Fund 4. The Class H4 Units are required to account for the costs of such hedging instruments.
- (c) The Class E4 Units differ from the Class U4 Units in that the Class E4 Units are not required to account for the Service Fee.
- (d) The Class F4 Units differ from the Class A4 Units in that the Class F4 Units are not required to account for the Service Fee and paid an agents' fee to the selling agents in connection with Fund 4's initial public offering that was lower than the fee payable on Class A4 Units and Class D4 Units.
- (e) The Class C4 Units differ from Class A4 Units in that Class C4 Units were not required to pay an agents' fee to the selling agents in connection with Fund 4's initial public offering and not required to account for the Service Fee.
- (f) The proportionate entitlement of the holders of Class A4 Units, Class U4 Units, Class D4 Units, Class E4 Units, Class F4 Units, Class H4 Units and Class C4 Units to participate in distributions made by Fund 4 and to

receive proceeds upon termination or dissolution of Fund 4 is determined based on the net U.S. dollar proceeds received by Fund 4 in respect of such class of Fund 4 Units at the time of Fund 4's initial public offering.

- (g) The Class A4 Units and Class U4 Units are listed on the TSXV under the symbols "SUF.A" and "SUF.U" respectively. The Class D4 Units, Class F4 Units, Class H4 Units and Class C4 Units are not listed on any stock exchange, but may be converted into Class A4 Units at the option of the holders thereof at a rate determined by the relative net U.S. dollar proceeds received by Fund 4 for each Fund 4 Unit, by class, at the time of its initial public offering. The Class E4 Units are not listed on any stock exchange, but may be converted into Class U4 Units at the option of the holders thereof at a rate determined by the relative net U.S. dollar proceeds received by Fund 4 for each Fund 4 Unit, by class, at the time of its initial public offering.
- (h) If a formal take-over bid is made for a class of Fund 4 Units other than the Class A4 Units and the Class U4 Units, then the Class A4 Units and the Class U4 Units have coattail rights to convert into the class of Fund 4 Units that are the subject of the formal take-over bid at a rate determined by the relative net U.S. dollar proceeds received by Fund 4 for each Fund 4 Unit, by class, at the time of its initial public offering.

- 29. The Fund 4 LPA provides that unitholders vote as a single class in respect of any matter to be voted upon unless the nature of the business to be transacted at the meeting affects holders of one class of units in a manner materially different from its effect on holders of another class of units, in which case the units of the affected class will vote separately as a class.

#### **Overview of New Fund**

- 30. New Fund is a limited partnership established on August 26, 2016 under the laws of the Province of Ontario and governed by a limited partnership agreement dated August 26, 2016 (the "**New Fund LPA**"). The head office of New Fund is located in Toronto, Ontario.
- 31. On September 6, 2016, the Filer filed a preliminary prospectus on behalf of New Fund with the securities regulatory authorities in each of the provinces of Canada. Upon obtaining a receipt for its final prospectus, New Fund will be a reporting issuer in each of the provinces of Canada.
- 32. D.D. Acquisitions Partnership, an affiliate of the Filer, is currently the sole limited partner of New Fund, while Starlight U.S. Multi-Family (No. 5) Core GP, Inc., a subsidiary of the Filer, is the sole general partner of New Fund.
- 33. Upon completion of the Proposed Transaction, there will be seven classes of limited partnership interests in New Fund with terms the same as those of the corresponding Fund 4 Units: Class A units, Class U units, Class D units, Class E units, Class F units, Class H units and Class C units (collectively, the "**New Fund Units**").
- 34. On September 2, 2016, the Filer applied to the TSXV to list the Class A units and Class U units of New Fund on the TSXV under the symbols "STUS.A" and "STUS.U", respectively.
- 35. The New Fund LPA is substantially similar, although not identical, to the limited partnership agreements (including identical governance and unitholder protections) that established and currently govern the Funds. Any material differences between the limited partnership agreement of New Fund and the limited partnership agreements of the Funds will be disclosed in the Information Circular (as defined below).

#### **Overview of Campar**

- 36. Campar Capital Corporation ("**Campar**") is a capital pool company governed by the *Business Corporations Act* (Ontario).
- 37. Campar is a reporting issuer in the provinces of Ontario, British Columbia and Alberta and its common shares are listed on the TSXV under the symbol "CHK".
- 38. The authorized share capital of Campar consists of an unlimited number of common shares, of which 55,000,000 are issued and outstanding as at August 15, 2016.
- 39. Prior to the effective date of the Proposed Transaction, Campar will effect its "Qualifying Transaction" as required under TSXV Policy 2.4 – Capital Pool Companies by indirectly acquiring an 80% interest in Boardwalk Med Center, a multi-family real estate property in San Antonio, Texas through its U.S. subsidiary corporation. The remaining 20% interest in Boardwalk Med Center will be owned by Boardwalk Acquisition LP ("**Boardwalk**"), an Ontario limited partnership

established under, and governed by, the laws of the Province of Ontario, and beneficially owned and controlled by Daniel Drimmer, a related party of the Filer, each Fund and Campar.

**Proposed Transaction**

40. On September 6, 2016, each Fund and Campar entered into an arrangement agreement with New Fund pursuant to which New Fund will acquire all of the issued and outstanding limited partnership units of each Fund, as well as the general partner of each Fund, and all of the issued and outstanding common shares of Campar, thereby indirectly acquiring ownership of the interests in the multi-family real estate properties currently owned by each Fund and Campar (the “**Proposed Transaction**”).
41. New Fund will satisfy the purchase price for the outstanding limited partnership units of each Fund and outstanding common shares of Campar through the issuance of New Fund Units to the current unitholders of each Fund and the current shareholders of Campar, in proportion to their respective entitlements and the relative appraised value of the interests in the multi-family real estate properties to be transferred to New Fund by each of the Funds and Campar. Unitholders of a given class of each Fund will migrate to the corresponding class of New Fund and holders of common shares of Campar will migrate to Class A units or Class C units of New Fund (at their election).
42. Upon completion of the Proposed Transaction, and assuming an exchange rate of CAD\$1.30 to U.S.\$1.00: (a) unitholders of Fund 1 will collectively own approximately 26.6% of the New Fund Units; (b) unitholders of Fund 2 will collectively own approximately 18.8% of the New Fund Units; (c) unitholders of Fund 3 will collectively own approximately 21.5% of the New Fund Units; (d) unitholders of Fund 4 will collectively own approximately 19.0% of the New Fund Units; and (e) shareholders of Campar will collectively own approximately 1.8% of the New Fund Units.
43. In addition, pursuant to the Proposed Transaction, the “carried interest” in each Fund will be transferred to New Fund in exchange for Class C units of New Fund having a deemed value of U.S.\$39,283,083 being issued to the Filer and Evan Kirsh which, assuming an exchange rate of CAD\$1.30 to U.S.\$1.00, will represent approximately 11.9% of the New Fund Units, thereby monetizing the value of the Filer’s and Evan Kirsh’s accumulated “carried interest” in each of the Funds as at the date of Proposed Transaction.
44. Boardwalk will also transfer its 20% indirect interest in Boardwalk Med Center to New Fund in exchange for Class C units of New Fund which, assuming an exchange rate of CAD\$1.30 to U.S.\$1.00, will represent approximately 0.4% of the New Fund Units.

**Minority Approval**

45. The Proposed Transaction will be a “business combination” in respect of each Fund as such term is defined in MI 61-101 and is therefore subject to the applicable requirements of MI 61-101. Such requirements include, among other things, obtaining approval for the Proposed Transaction by a majority of votes cast by the holders of each class of units of each Fund, excluding the votes attached to units beneficially owned, or over which control or direction is exercised, by any party specified in subsection 8.1(2) of MI 61-101 (the “**Disinterested Unitholders**”) at unitholder meetings held by each Fund. The Disinterested Unitholders in respect of the Proposed Transaction include all of the unitholders of each Fund with the exceptions of Daniel Drimmer and Evan Kirsh.
46. As at August 15, 2016, Daniel Drimmer beneficially owns, or exercises control or direction over: (a) 854,999 Fund 1 Units, representing a voting interest in Fund 1 of approximately 17.78%; (b) 614,974 Fund 2 Units, representing a voting interest in Fund 2 of approximately 18.16%; (c) 544,730 Fund 3 Units, representing a voting interest in Fund 3 of approximately 10.37%; and (d) 401,200 Fund 4 Units, representing a voting interest in Fund 4 of approximately 6.56%.
47. As at August 15, 2016, Evan Kirsh beneficially owns, or exercises control or direction over: (a) 6,700 Fund 1 Units, representing a voting interest in Fund 1 of approximately 0.14%; (b) 10,000 Fund 2 Units, representing a voting interest in Fund 2 of approximately 0.30%; (c) 23,600 Fund 3 Units, representing a voting interest in Fund 3 of approximately 0.45%; and (d) 10,000 Fund 4 Units, representing a voting interest in Fund 4 of approximately 0.16%.
48. As at August 15, 2016, the Disinterested Unitholders held:
  - (a) In respect of Fund 1:
    - i. 2,850,302 Class A1 Units;
    - ii. 340,370 Class U1 Units;
    - iii. 109,400 Class I1 Units;

- iv. 193,800 Class F1 Units; and
    - v. 454,545 Class C1 Units;
  - (b) In respect of Fund 2:
    - i. 1,677,641 Class A2 Units;
    - ii. 466,450 Class U2 Units;
    - iii. 439,900 Class D2 Units;
    - iv. 78,240 Class F2 Units; and
    - v. 100,000 Class C2 Units;
  - (c) In respect of Fund 3:
    - i. 2,227,841 Class A3 Units;
    - ii. 333,450 Class U3 Units;
    - iii. 1,619,300 Class D3 Units;
    - iv. 306,200 Class F3 Units; and
    - v. 200,000 Class C3 Units;
  - (d) In respect of Fund 4:
    - i. 2,092,892 Class A4 Units;
    - ii. 459,300 Class U4 Units;
    - iii. 1,380,090 Class D4 Units;
    - iv. 813,700 Class E4 Units;
    - v. 601,725 Class F4 Units;
    - vi. 258,396 Class H4 Unit; and
    - vii. 100,000 Class C4 Units.
- 49. MI 61-101 was adopted to ensure the fair treatment of all security holders and the perception of such in the context of insider bids, issuer bids, business combinations and related party transactions.
- 50. The Proposed Transaction is subject to a number of mechanisms to ensure that the collective interests of each Fund's unitholders are protected, including the following:
  - (a) The Proposed Transaction was negotiated and settled by the Filer in accordance with its contractual duties and standard of care with respect to each Fund.
  - (b) The Proposed Transaction was negotiated and settled by an independent committee of the board of directors of the general partner of each Fund, which was comprised solely of directors that are independent of each Fund, the Filer and New Fund.
  - (c) The general partner of each Fund exercised the requisite standard of care in accordance with the terms of the applicable Fund's limited partnership agreement with respect to the Proposed Transaction, with Daniel Drimmer recusing himself from any resolutions passed by the directors of each such general partner.



- (d) The contractual requirement set out in each Fund's limited partnership agreement that, in the event of any proposed transaction with a related party of a Fund, each Fund shall comply with the provisions of MI 61-101, has been satisfied in respect of the Proposed Transaction.
  - (e) Each Fund will prepare and deliver to its unitholders an information circular (the "**Information Circular**") in accordance with applicable securities law requirements in order to provide unitholders with sufficient information to enable them to make an informed decision in respect of the Proposed Transaction.
  - (f) The Filer retained independent financial advisors on behalf of the Funds in respect of the Proposed Transaction. The independent financial advisors have prepared and delivered fairness opinions concluding that the Proposed Transaction is fair from a financial point of view to the unitholders of each Fund (other than Daniel Drimmer and Evan Kirsh), which will be included in the Information Circular.
  - (g) An independent appraiser has prepared appraisals concerning each of the multi-family real estate properties currently owned by each Fund. Such appraisals have been filed on the System for Electronic Document Analysis and Retrieval and will be summarized in the Information Circular.
  - (h) Each Fund will hold a special meeting of all unitholders of each Fund in order for each Fund's unitholders to consider and, if deemed advisable, approve the Proposed Transaction by the majority of votes cast by the Disinterested Unitholders (which, for greater clarity, excludes the votes attached to all of the units of the Funds beneficially owned, or over which control or direction is exercised, by Daniel Drimmer and Evan Kirsh), voting together as a single class at each Fund.
51. The Filer is of the view that these are the optimal mechanisms to ensure that the public interest is well protected and that the unitholders of each Fund are treated fairly and in accordance with their voting and economic entitlements under the limited partnership agreement of each Fund.
52. Each Fund's governing limited partnership agreement provides that unitholders vote as a single class in respect of any matter to be voted upon unless the nature of the business to be transacted at the meeting affects holders of one class of units in a manner materially different from its effect on holders of another class of units, in which case the units of the affected class will vote separately as a class. The Filer and the general partner of each Fund have determined that the Proposed Transaction does not affect holders of one class of units in a manner materially different from its effect on holders of another class of units of that Fund.
53. The division of each Fund's limited partnership units into various classes was related to the use of different currencies, to accommodate a number investment account differences, and the establishment of differing economic entitlements to participate in distributions made by the Fund and to receive proceeds upon termination or dissolution of the Fund, in each case, strictly pursuant to formulas determined at the time of the initial issuance of the units and provided for in the governing limited partnership agreements.
54. Each limited partnership unit of each Fund entitles the holder to the same rights and obligations and no unitholder of the Fund is entitled to any privilege, priority or preference in relation to any other holder of limited partnership units, subject to: (a) the proportionate entitlement of each holder to participate in distributions made by the Fund and to receive proceeds upon termination of the Fund, which is based on such holder's share of the "Proportionate Class Interest"; and (b) a proportionate allocation of income or loss of the Fund in accordance with the terms of the Fund's limited partnership agreement. The Proportionate Class Interest is essentially the proportion of the aggregate net proceeds of the initial public offering and any concurrent private placement (being the gross proceeds less the underwriting fee) for all classes that is attributable to a specific class of units. The proportionate interest of a specific class would be greater than that of another class if units of the first class had a lower applicable underwriting fee in the initial public offering.
55. The relative returns as between classes within a Fund are fixed pursuant to a formula for each Fund that was determined at the time of each Fund's initial public offering when investors selected their preferred class and purchased their units. Therefore, the interests of the holders of each class of units of each Fund are aligned in respect of the Proposed Transaction, since the economic impact of the Proposed Transaction will be determined pursuant to formulas established in the governing limited partnership agreement of each Fund and the Proposed Transaction will not alter such relative entitlements as between classes or otherwise provide for the payment of cash or assets to unitholders in a manner that differs from the pre-established relative entitlements in the governing limited partnership agreement of each Fund.

56. Each of the unlisted classes for each Fund may be converted into a listed class, as follows:
- (a) the Class I1 Units, Class F1 Units and Class C1 Units can be converted at any time into Class A1 Units at the option of the holders thereof;
  - (b) the Class D2 Units, Class F2 Units and Class C2 Units can be converted at any time into Class A2 Units at the option of the holders thereof;
  - (c) the Class D3 Units, Class F3 Units and Class C3 Units can be converted at any time into Class A3 Units at the option of the holders thereof; and
  - (d) the Class D4 Units, Class F4 Units, Class H4 Units and Class C4 Units can be converted at any time into Class A4 Units at the option of the holders thereof, while the Class E4 Units can be converted at any time into Class U4 Units at the option of the holders thereof.
57. Separate class votes by the unitholders of the Funds would have the effect of granting disproportionate importance to small groups of the Disinterested Unitholders:
- (a) Separate class votes by the unitholders of Fund 1 would have the effect of granting disproportionate importance to a small group of Disinterested Unitholders of each of the Class U1 Units (8.6%), Class I1 Units (2.8%), Class F1 Units (4.9%) and Class C1 Units (11.5%). Despite their relatively small holdings, voting unitholders in each of these groups could be afforded a de facto veto right in respect of the Proposed Transaction that could be exercised against all other unitholders of Fund 1.
  - (b) Separate class votes by the unitholders of Fund 2 would have the effect of granting disproportionate importance to a small group of Disinterested Unitholders of each of the Class U2 Units (16.9%), Class D2 Units (15.9%), Class F2 Units (2.8%) and Class C2 Units (3.6%). Despite their relatively small holdings, voting unitholders in each of these groups could be afforded a de facto veto right in respect of the Proposed Transaction that could be exercised against all other unitholders of Fund 2.
  - (c) Separate class votes by the unitholders of Fund 3 would have the effect of granting disproportionate importance to a small group of Disinterested Unitholders of each of the Class U3 Units (7.1%), Class F3 Units (6.5%) and Class C3 Units (4.3%). Despite their relatively small holdings, voting unitholders in each of these groups could be afforded a de facto veto right in respect of the Proposed Transaction that could be exercised against all other unitholders of Fund 3.
  - (d) Separate class votes by the unitholders of Fund 4 would have the effect of granting disproportionate importance to a small group of Disinterested Unitholders of each of the Class U4 Units (8.1%), Class E4 Units (14.3%), Class F4 Units (10.6%), Class H4 Units (4.5%) and Class C4 Units (1.8%). Despite their relatively small holdings, voting unitholders in each of these groups could be afforded a de facto veto right in respect of the Proposed Transaction that could be exercised against all other unitholders of Fund 4.
58. A class-by-class vote could provide disproportionate power to a potentially small number of unitholders of each Fund. As quorum for a meeting of a class of unitholders is only 10% for each class, it is possible that a holder of less than 1% of the limited partnership units of a Fund could “veto” the Proposed Transaction. Such an outcome would not be in accordance with the reasonable expectations of the unitholders of each Fund.
59. To the best of the knowledge of the Filer and the general partner of each Fund, there is no reason to believe that any Fund’s unitholders of any particular class would not approve the Proposed Transaction.

**Decision**

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Requested Relief is granted provided that the following mechanisms are implemented and remain in place:

1. special meetings of the unitholders of each Fund are held in order for the Disinterested Unitholders of each Fund to consider and, if deemed advisable, approve the Proposed Transaction, such approval to be obtained on a Fund-by-Fund basis with the Disinterested Unitholders of each Fund voting together as a single class of such Fund;

## Decisions, Orders and Rulings

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2. the Information Circular is prepared and delivered by each Fund to its unitholders in accordance with applicable securities law requirements; and
3. a fairness opinion concluding that the consideration to be received by each Fund is fair from a financial point of view to the Disinterested Unitholders is prepared by an independent financial advisor and included as part of the Information Circular for each Fund.

“Naizam Kanji”  
Director, Office of Mergers & Acquisitions  
Ontario Securities Commission

## 2.1.5 Moneda LatAm Corporate Bond Fund

### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – investment fund manager obtaining relief from the requirement to obtain the approval of securityholders before changing the fundamental investment objectives of a non-redeemable investment fund – exemptive relief required as a result of changes to tax law eliminating certain tax benefits associated with character conversion transactions – manager required to send written notice at least 30 days before the effective date of the change and a statement that the fund will no longer distribute gains under forward contracts that are treated as capital gains for tax purposes – National Instrument 81-102 Investment Funds.

### Applicable Legislative Provisions

National Instrument 81-102 Investment Funds, ss. 5.1(1)(c), 19.1.

September 8, 2016

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(the “Jurisdiction”)

AND

IN THE MATTER OF  
SCOTIA MANAGED COMPANIES ADMINISTRATION INC.  
(the “Filer”)

AND

IN THE MATTER OF  
MONEDA LATAM CORPORATE BOND FUND  
(the “Fund”)

DECISION

### Background

The Ontario Securities Commission (the “**Decision Maker**”) has received an application from the Filer, on behalf of the Fund, for a decision under the securities legislation of the Jurisdiction (the “**Legislation**”) for exemptive relief from the requirement to obtain prior securityholder approval before changing the fundamental investment objectives of the Fund under subsection 5.1(1)(c) of National Instrument 81-102 – *Investment Funds* (“**NI 81-102**”) (the “**Requested Relief**”).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

1. the Ontario Securities Commission is the principal regulator for this application; and
2. the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 – *Passport System* (“**MI 11-102**”) is intended to be relied upon in each of the other provinces and territories of Canada (collectively with Ontario, the “**Jurisdictions**”).

### Interpretation

Terms defined in National Instrument 14-101 – *Definitions*, MI 11-102 and NI 81-102 have the same meaning if used in this decision, unless otherwise defined.

### Representations

This decision is based on the following facts represented by the Filer:

1. The Filer, a corporation existing under the laws of the Province of Ontario which is a wholly-owned subsidiary of Scotia Capital Inc., is the manager of the Fund. The Filer is registered as an investment fund manager in Ontario, Québec and Newfoundland and Labrador. The head office of the Filer is located in Toronto, Ontario.

2. The Fund is an investment trust established under the laws of the Province of Ontario pursuant to a declaration of trust dated as of October 26, 2011.
3. Neither the Filer nor the Fund are in default of securities legislation in any Jurisdiction.
4. The Fund is a non-redeemable investment fund. Its units were qualified for distribution pursuant to a prospectus dated October 26, 2011, that was prepared and filed in accordance with the securities legislation of all the provinces and territories of Canada. Accordingly, the Fund is a reporting issuer or the equivalent in each province and territory of Canada. The Class A Units of the Fund, which are designed for investors wishing to make their investment in Canadian dollars, are listed and posted for trading on the Toronto Stock Exchange under the symbol MLD.UN. The Class U Units are designed for investors wishing to make their investment in U.S. dollars.
5. The Fund is a party to a forward purchase and sale agreement dated November 3, 2011 (the “**Forward Agreement**”). The Forward Agreement provides the Fund with exposure to the total return performance of Moneda Deuda Latinoamericana Fondo de Inversion (the “**Moneda Fund**”), a Chilean listed investment fund established in 2000 which is actively managed by Moneda S.A. Administradora de Fondos de Inversion. The current investment objectives of the Fund are as follows:

“The Fund’s investment objectives are to: (i) preserve and enhance the net asset value of the Fund; and (ii) provide Unitholders with quarterly tax-advantaged distributions consisting primarily of returns of capital, in each case through exposure by virtue of the Forward Agreement to the total return performance of the Moneda Fund.”
6. The fundamental investment objective of the Moneda Fund is to seek capital appreciation and income from the investment in a diversified portfolio of high yield fixed income securities of companies located in, or with significant operations in, Latin America (including the Caribbean), primarily denominated in U.S. dollars.
7. Through the use of the Forward Agreement, the Fund provides tax-advantaged distributions to its securityholders because the Fund will realize capital gains (or capital losses) on the disposition of securities acquired under the Forward Agreement, rather than ordinary income. Ordinary income is subject to tax at a higher rate in Canada than capital gains.
8. The Forward Agreement is expected to terminate on or about November 3, 2016, in accordance with its terms (the “**Termination Date**”).
9. The *Income Tax Act* (Canada) was amended in December 2013 to implement proposals that were first announced in the March 21, 2013 federal budget regarding the income tax treatment of character conversion transactions (the “**Tax Changes**”). Under the Tax Changes, the favourable tax treatment of character conversion transactions will be eliminated after a prescribed date (the “**Effective Date**”). The Effective Date for the Fund will be the Termination Date.
10. As a result of the Tax Changes, it is anticipated that the Forward Agreement will no longer be able, over the long term, to provide the same material tax efficiency to securityholders of the Fund. As a result, the Filer has determined that, upon the termination of the Forward Agreement, the Fund should invest directly in the securities of the Moneda Fund.
11. The Filer has determined that, as a result of the Tax Changes, it would be more efficient and less costly for the Fund to seek to achieve its fundamental investment objectives after the Effective Date by investing its assets using the same, or substantially the same, investment strategies as it currently employs.
12. The Filer wishes to amend the investment objectives of the Fund to remove all references to the use of the Forward Agreement to gain exposure to the Moneda Fund and to delete references to “tax-advantaged” distributions. Other than for the loss of tax efficiency resulting from the Tax Changes, the Fund will have the same investment attributes under its amended investment objectives as exist under its current investment objectives.
13. Following such amendment, the revised investment objectives of the Fund will be as follows:

“The Fund’s investment objectives are to: (i) preserve and enhance the net asset value of the Fund; and (ii) provide unitholders with quarterly distributions through direct investment in the Moneda Fund.”
14. The Filer has complied with the material change report requirements set out in Part 11 of National Instrument 81-106 - *Investment Fund Continuous Disclosure* in connection with the Filer’s decision to make the changes to the investment objectives of the Fund set out above.

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15. The Filer expects the proposed changes to the fundamental investment objectives of the Fund to take effect on or about the Effective Date.
16. The Filer has determined that it would be in the best interests of the Fund and not prejudicial to the public interest to receive the Requested Relief.

### Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Requested Relief is granted, provided that, at least 30 days before the effective date of the change in the investment objectives of the Fund, the Filer will send to each securityholder of the Fund a written notice that sets out the change to the investment objective, the reasons for such change and a statement that the Fund will no longer distribute gains under forward contracts that are treated as capital gains for tax purposes.

“Raymond Chan”  
Manager,  
Investment Funds and Structured Products Branch  
Ontario Securities Commission

## 2.1.6 Ubisoft Entertainment S.A

### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Application for relief from the prospectus and registration requirements for certain trades made in connection with an employee share offering by a French issuer – The issuer cannot rely on the employee exemption in section 2.24 of National Instrument 45-106 Prospectus Exemptions as the securities are not being offered to Canadian employees directly by the issuer but rather through special purpose entities – Canadian participants will receive disclosure documents – The special purpose entities are subject to the supervision of the local securities regulator – Canadian employees will not be induced to participate in the offering by expectation of employment or continued employment – There is no market for the securities of the issuer in Canada – Units not transferable and redeemable only for cash – Relief granted without conditions.

### Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., ss. 25, 53, 74.

National Instrument 45-106 Prospectus Exemptions, s. 2.24.

National Instrument 45-102 Resale of Securities, s. 2.14.

National Instrument 31-103 Registration Requirements and Exemptions, s. 8.16.

### Translation

August 24, 2016

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
QUÉBEC AND ONTARIO  
(the “Jurisdictions”)

AND

IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF  
UBISOFT ENTERTAINMENT S.A  
(the “Filer”)

### DECISION

### Background

The securities regulatory authority or regulator in each of the Jurisdictions (the “**Decision Maker**”) has received an application from the Filer for a decision under the securities legislation of the Jurisdictions (the “**Legislation**”) for:

1. an exemption from the prospectus requirement of the Legislation (“**Prospectus Relief**”) so that such requirements do not apply to:
  - (a) trades in units (the “**2016 Units**”) of UBI SHARE OWNERSHIP 2016 FCPE (the “**2016 UBI FCPE**”), a *fonds commun de placement d’entreprise* (“**FCPE**”), pursuant to the global employee share acquisition offer by the Filer (the “**Employee Offering**”), which are intended to be made by the 2016 UBI FCPE to or with Qualifying Employees (as defined below) resident in the Jurisdictions and in Nova Scotia who elect to participate in the Employee Offering (the “**Canadian Participants**”);
  - (b) trades in units (“**Second FCPE Units**”, together with the 2016 Units, the “**Units**” and each a “**Unit**”) of another FCPE established by the Filer (the “**Second FCPE**”, together with the 2016 UBI FCPE, the “**UBI FCPEs**”) made by the UBI FCPEs pursuant to subscriptions made by the Canadian Participants using proceeds from the redemption of the 2016 Units (the “**Redemption Subscription**”) or the default redemption of 2016 Units by the 2016 UBI FCPE prior to its winding up shortly after the expiry of the Lock-Up Period (as defined below) (the “**Default Windup Redemption**”) to or with Canadian Participants;

2. an exemption from the dealer registration requirements of the Legislation (“**Registration Relief**”, together with the Prospectus Relief, the “**Exemptive Relief Sought**”) so that such requirements do not apply to the Filer and the Canadian affiliates of the Filer including, Ubisoft Divertissements Inc., Hybride Technologies Inc. and Ubisoft Toronto Inc. (the “**Canadian Affiliates**”, and together with the Filer and other affiliates of the Filer, the “**Ubisoft Group**”), the 2016 UBI FCPE, the Second FCPE and Amundi Asset Management (“**Amundi**”, or the “**Manager**”) in respect of:
- (a) trades in 2016 Units made pursuant to the Employee Offering to or with Canadian Participants;
  - (b) trades in Second FCPE Units made pursuant to the Redemption Subscription or the Default Windup Redemption to or with Canadian Participants.

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a dual application):

- (a) the Autorité des marchés financiers is the principal regulator for this application;
- (b) the Filer has provided notice that section 4.7(1) of *Regulation 11-102 respecting Passport System* (“**Regulation 11-102**”) is intended to be relied upon in Nova Scotia; and
- (c) this decision is the decision of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

### **Interpretation**

Terms defined in *Regulation 14-101 respecting Definitions* or in Regulation 11-102 have the same meaning if used in this decision, unless otherwise defined herein.

### **Representations**

This decision is based on the following facts represented by the Filer:

1. The Filer is a corporation formed under the laws of France.
2. The ordinary shares of the Filer (“**Shares**”) are listed on the Euronext Paris stock exchange (the “**Exchange**”).
3. The Filer is not and does not intend on becoming a reporting issuer (or equivalent) under the securities legislation in any of the jurisdictions of Canada.
4. Each of the Canadian Affiliates is a direct or an indirect controlled subsidiary of the Filer and is not, and does not intend on becoming, a reporting issuer under the securities legislation in any of the jurisdictions of Canada.
5. The Employee Offering is reserved for employees of the Filer’s affiliates in France and elsewhere, including the Canadian Affiliates, in which the Filer directly or indirectly holds at least 80% of the share capital or voting rights, provided that such affiliate companies participate in the Ubisoft Group International Savings Plan (“**PEGI**”).
6. The Employee Offering is reserved for employees of the Ubisoft Group who belong to the PEGI, who have at least three month’s seniority, continuous or not, between January 1, 2015 and the last day of the Acquisition/Withdrawal Period (as defined below) (the “**Qualifying Employees**”).
7. Qualifying Employees will be invited to participate in the Employee Offering under the terms of the 2016 UBI FCPE, which is intended to provide Qualifying Employees with an opportunity to indirectly hold an investment in Shares.
8. Only participants in the Employee Offering are allowed to hold 2016 Units.
9. For purposes of the Employee Offering in Canada, there are currently approximately 3,587 Qualifying Employees resident in Canada, in the provinces of Québec (approximately 3,145), Ontario (approximately 403) and Nova Scotia (approximately 39). The Qualifying Employees residing in Canada represent approximately 40 % of the Qualifying Employees worldwide.
10. Qualifying Employees will not be induced to participate in the Employee Offering by expectation of employment or continued employment. Participation in the Employee Offering is optional and voluntary. The total amount invested by a Qualifying Employee in the Employee Offering cannot exceed a specified percentage of his or her estimated gross annual compensation for the calendar year (currently, 2.5%) in which the Employee Offering occurs (i.e. 2016). During



the Acquisition/Withdrawal Period (as defined below), the ceiling is reduced to 0.25% of the Qualifying Employee's estimated 2016 gross annual compensation.

11. Qualifying Employees can indicate their intent to subscribe to an amount under an Employee Offering and make a reservation by filling out a reservation form during a prescribed reservation period (the "**Reservation Period**"). After the expiration of the Reservation Period, the subscription price is set and the acquisition period and withdrawal period commences (the "**Acquisition/Withdrawal Period**"). During the Acquisition/Withdrawal Period, an employee who has made a reservation may withdraw his or her subscription of 2016 Units under the Employee Offering. However, an employee who has not made a reservation may still subscribe.
12. The UBI FCPEs are or will be collective shareholding vehicles of a type commonly used in France for investing in shares of an issuer by employee-investors.
13. Each UBI FCPE must be registered and approved by the French Autorité des marchés financiers ("**AMF France**") at the time of its creation.
14. The UBI FCPEs are not and do not intend on becoming reporting issuers under the securities legislation in any of the jurisdictions in Canada.
15. The Second FCPE is or will be an FCPE especially established by the Filer to invest in Shares. At the end of the Lock-Up Period, Canadian Participants may, in lieu of a cash payment at the end of the Lock-Up Period, elect to transfer the corresponding cash equivalent (the Initial Investment and the amount of the Performance (as defined below)) of their 2016 Units to the Second FCPE in exchange for Second FCPE Units (the Redemption Subscription). In the case that the Canadian Participants do not make any election, prior to its winding-up, the 2016 UBI FCPE will, under the default option, transfer the cash redemption value (the Initial Investment plus the amount of the Performance) of the 2016 Units to the Second FCPE to subscribe for, on behalf of the respective Canadian Participants, Second FCPE Units (the Default Windup Redemption).
16. After the Employee Offering, the 2016 UBI FCPE will invest in Shares.
17. The Employee Offering is comprised of an offering of 2016 Units, to fund the acquisition of Shares by the 2016 UBI FCPE, to be subscribed as follows:
  - (a) Canadian Participants will subscribe for 2016 Units for an amount per 2016 Unit equivalent to the Purchase Price (as defined below) paid by the 2016 UBI FCPE to acquire Shares. The minimum investment amount per Canadian Participant is EUR 25. Canadian Participants will acquire 2016 Units in Canadian dollars, with the exchange rate to be determined at the same time as the Purchase Price. Canadian Participants can indicate their intent to subscribe to an amount and make a reservation by filling out a reservation form during the Reservation Period. The value of a 2016 Unit is tied to the market price of the Shares. The value of 2016 Units will be adjusted on the basis of the market price of the Shares and other assets (for example, cash) held by the 2016 UBI FCPE, effective from the first date on which the net asset value is calculated and whenever Shares or other assets are contributed to the 2016 UBI FCPE, as applicable.
  - (b) For each cash investment made by a Canadian Participant in the Employee Offering (the "**Employee's Personal Payment**"), the Filer will make a matching (100%) cash contribution capped at an amount in Canadian dollars equivalent to EUR 1,000 net per Canadian Participant (the "**Ubisoft Contribution**"), together with the Employee's Personal Payment, the "**Initial Investment**"). The net amount of the Ubisoft Contribution will be fully invested on behalf of the Canadian Participant to acquire additional 2016 Units.
  - (c) The 2016 UBI FCPE will apply the cash received from (i) the Initial Investments and (ii) the Bank Upfront Payment (as defined below), to acquire Shares at the Purchase Price. The purchase price for a 2016 Unit will be equal to the Reference Price (as defined below), minus a 15% discount (the "**Discount**"), rounded up to the nearest euro cent (the "**Purchase Price**"). The reference price will be the volume weighted average prices of the Shares on the twenty days preceding the date on which the Filer's board of directors (or its CEO acting by delegation) determines the Employee Offering period as well as the Purchase Price (the "**Reference Price**").
  - (d) Pursuant to the operation of a five year swap agreement (the "**Swap**"), entered into between the 2016 UBI FCPE (represented by the Manager), and the Crédit Agricole CIB (the "**Bank**"), whereby on the day of settlement and delivery of the Shares, the Bank provides to the 2016 UBI FCPE a cash amount (the "**Bank Upfront Payment**") equal to nine times the sum of the Initial Investments, to be used by the 2016 UBI FCPE to acquire additional Shares from the Filer at the Purchase Price.

- (e) Under the Swap, dividends and any other financial rights on the Shares received by the 2016 UBI FCPE during the five-year period will be paid by the 2016 UBI FCPE to the Bank as and when received. Canadian Participants will not receive additional 2016 Units on account of dividends paid on Shares held in the 2016 UBI FCPE.
  - (f) Canadian Participants will be subject to a five year lock-up period (the “**Lock-Up Period**”) and will be prohibited from disposing or requesting repurchase of 2016 Units during the Lock-Up Period unless one of the following cases of early release occurs with respect to the Canadian Participant: (i) disability; (ii) termination of the employment; or (iii) death (the “**Case of Early Release**”).
  - (g) At the end of the Lock-Up Period, or earlier if a Case of Early Release occurs and the Canadian Participant requests the repurchase of his or her 2016 Units: (i) the 2016 UBI FCPE will sell the corresponding number of Shares on the Exchange (the “**Sale**”) and pay the total proceeds from the Sale to the Bank; (ii) the Bank will pay to the 2016 UBI FCPE an amount corresponding to the sum of (a) the Initial Investment and (b) an amount equal to a multiple (under the Employee Offering, the multiple will be five) of the Protected Average Performance (as defined below) of the Shares corresponding to the employee's Initial Investment (the “**Performance**”); and (iii) the Canadian Participant will receive a cash amount equal to (a) the repayment of his or her Initial Investment, it being specified that only the euro amount of the Initial Investment is guaranteed and Canadian Participants will carry the risk of any fluctuations in the Canadian dollar/Euro exchange rate between the investment date and the date of redemption and (b) the amount of the Performance.
  - (h) The Protected Average Performance represents the difference between (i) the average reference price, i.e. the average of the monthly market reference prices of the Shares over a 60-month period (with the 60-month period scheduled to commence on September 30, 2016, subject to Bank confirmation) (the “**Average Reference Price**”) and (ii) the Reference Price (the “**Protected Average Performance**”). The monthly market reference price is fixed on a pre-agreed business day of the month. The monthly market reference price shall be, for each month, the higher of (i) the market price of the Shares on that business day of the month in question and (ii) the Reference Price. If a Case of Early Release occurs and the Canadian Participant asks for the repurchase of his or her 2016 Units, in order to calculate the monthly market reference price for the remaining period between the month where the Case of Early Release occurs and the end of the 5 year period, the last monthly market reference price of the Shares for the month when the Case of Early Release occurs shall be used for the month of the Case of Early Release and every subsequent month up until the end of the 5 year period (to have 60 monthly market reference prices in order to determine the Average Reference Price).
  - (i) The Canadian Participant may, in lieu of a cash payment at the end of the Lock-Up Period, elect to transfer the corresponding cash equivalent of the Initial Investment and the amount of the Performance of his or her 2016 Units to the Second FCPE in exchange for Second FCPE Units (the Redemption Subscription). The number of Second FCPE Units received will correspond to the Initial Investment and the amount of the Performance divided by the par value of the Second FCPE Units. The par value of a Second FCPE will be based on the net assets of the Second FCPE divided by the number of Second FCPE Units outstanding. The Canadian Participant may redeem Second FCPE Units at any time, and upon redemption will only be entitled to the corresponding cash equivalent of the liquidation value of the Second FCPE Units (i.e. the market value of the assets within the Second FCPE divided by the number of Second FCPE Units). The investments made in the Second FCPE will not be guaranteed.
  - (j) The Units held by a Canadian Participant are not transferable, except on the redemption of the Units held by the Canadian Participant (as described in paragraph 17(g), (i) and (l)). Canadian Participants at the end of the Lock-Up Period (or in the Case of Early Release) are not entitled to Shares upon the redemption of Units.
  - (k) The Units will not be listed on any stock exchange. The initial par value of a 2016 Unit will be equivalent to the Purchase Price. The value of the Units will be calculated and reported to the French AMF on a regular basis, based on the net assets of the UBI FCPEs divided by the number of Units outstanding.
  - (l) The 2016 UBI FCPE will be wound up shortly after the expiry of the Lock-Up Period (the “**Wound Up FCPE**”), with Shares held by the Wound Up FCPE being sold (as described in paragraph 17(g) above) and the cash redemption value (the Initial Investment plus the amount of the Performance) of those 2016 Units not redeemed by Canadian Participants, being automatically transferred by the Wound Up FCPE to the Second FCPE to subscribe, on behalf of the respective Canadian Participants, for Second FCPE Units at the same value as set out in paragraph 17(i) (the Default Windup Redemption).
18. Shares issued under the Employee Offering will be deposited in the UBI FCPEs through a custodian (the “**Custodian**”). The Custodian will carry out orders to purchase and sell securities, and take all necessary action to allow the UBI

FCPEs to exercise the rights relating to the Shares held. The Custodian must carry out its activities in accordance with French law. The current Custodian is CACEIS Bank France, a large French commercial bank.

19. The UBI FCPEs are or will be established by the Manager and the Filer. The Manager will be a portfolio management company governed by the laws of France. The Manager will be registered with AMF France to manage French investment funds and will comply with the rules of AMF France. At present, the Manager of the 2016 UBI FCPE is Amundi, a limited liability company registered in the *Paris Trade and Companies Register*. It is not and has no current intention of becoming a reporting issuer under the securities legislation in any of the jurisdictions of Canada, nor is it registered as an adviser or a dealer under the securities legislation in any of the jurisdictions of Canada.
20. The Manager's portfolio management activities in connection with Employee Offering and the Redemption Subscription will be limited to acquiring Shares and selling such Shares as necessary in order to fund redemption requests. The Manager will be responsible for the daily operation of the UBI FCPEs and preparing the annual statement of the number of Units each Canadian Participant holds in the UBI FCPEs (a "**Statement of Account**"). The Manager's activities will in no way affect the value of the Shares, or the Units.
21. The management of the UBI FCPEs will be overseen by a separate supervisory board (the "**Supervisory Board**") comprised of employee unitholders and management representatives of the Filer. The Supervisory Board's duties will include, among other things, examining the UBI FCPEs' management reports and annual accounts and reviewing major changes with respect to the UBI FCPEs.
22. Administrative, accounting, audit, financial management and other expenses incurred by the UBI FCPEs, including transaction fees relating to the acquisition and sale of Shares, will be borne by the UBI FCPEs and paid from its assets.
23. Qualifying Employees will receive an information package in French or English which will include a summary of the terms of the Employee Offering and a description of relevant Canadian income tax consequences. The information package will also include a risk statement which will describe certain risks associated with an investment in 2016 Units.
24. Canadian Participants will not receive any dividends declared by the Filer on the Shares held by the UBI FCPEs. Furthermore, the French AMF expressly requires the Manager to state in the information package provided to Qualifying Employees that employees will not receive any dividends from the Shares held by the UBI FCPEs. In light of this, the Filer's position is that there should be no tax implications to Canadian Participants flowing from the declaration of dividends, if any, on the Shares of the Filer.
25. The difference between the fair value of the Canadian Participants interest in the Shares attributable to the Canadian Participant, represented by the Canadian Participants Units at the time of his or her participation in the Employee Offering, and the amount of the Employee's Personal Payment should be subject to tax and (subject to applicable limits) social security contributions, which will be withheld by the Canadian Participants employer.
26. Upon the redemption of Units held by the Canadian Participant, 50% of the capital gain (if any), equal to the excess of the proceeds of disposition (i.e. the amount the Canadian Participant received on redemption of the Units) over the fair value of the Canadian Participant's interest in the underlying Shares determined at the time of his or her participation by the valuation report, will be included in his or her taxable income and taxed at ordinary rate.
27. Qualifying Employees will have access, through the Filer's website, to the Filer's continuous disclosure furnished by the Filer to its shareholders generally.
28. A copy of the rules of the 2016 UBI FCPE will be made available to Qualifying Employees when they receive their application to subscribe for Units of the 2016 UBI FCPE. A copy of the rules of the Second FCPE will be made available to Canadian Participants if and when they choose to redeem their 2016 Units for the Second FCPE Units.
29. Each Canadian Participant will receive, at least annually, a Statement of Account.
30. There are no circumstances under which a Canadian Participant would be required to contribute amounts in addition to their Employee Personal Payment other than certain tax and social security amounts payable pursuant to the Employee Offering.
31. As of the date hereof and after giving effect to the Employee Offering, Canadian residents do not and will not beneficially own (which term, for the purposes of this paragraph, is deemed to include all Shares held by the UBI FCPEs on behalf of Canadian Participants) more than 10% of the Shares issued and outstanding and do not and will not represent in number more than 10% of the total number of holders of Shares as shown on the books of the Filer.

## Decisions, Orders and Rulings

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32. None of the Filer, the Manager, the Canadian Affiliates or any of their directors, officers, employees, agents or representatives will provide investment advice to the Qualifying Employees with respect to investments in the Units.
33. The UBI FCPEs will pay fees to the Manager to cover the cost of running the UBI FCPEs, including the distribution costs of the Units. These fees are disclosed in the information package provided to Qualifying Employees as well as in the rules (which are analogous to company by-laws) of the UBI FCPEs.
34. The 2016 UBI FCPE may, through the Manager, cancel the Swap at any time provided that it is in the best interests of all the participants, including the Canadian Participants, to do so. If the 2016 UBI FCPE, through the Manager, cancels the Swap, Canadian Participants may, based on the market value of the Shares, receive an amount which is different (higher, or lower) than the guaranteed amount to be paid at the end of the Lock-Up Period.
35. Any dividends paid on the Shares held in the Second FCPE will be paid to the Second FCPE and the Second FCPE may either retain the cash proceeds in the Second FCPE or use them to purchase additional Shares on the Exchange. If the Second FCPE retains the cash proceeds in the Second FCPE, the par value of the Second FCPE Units will increase accordingly. If the Second FCPE purchases additional Shares on the Exchange with the cash proceeds, the Second FCPE may (i) issue additional Second FCPE Units to Canadian Participants, in which case the par value of the Second FCPE Units will not be adjusted accordingly or (ii) not issue any additional Second FCPE Units to Canadian Participants, in which case the par value of the Second FCPE Units will be adjusted accordingly.
36. The UBI FCPEs will hold no other securities aside from the Shares, and cash-equivalents or money-market securities representing up to 10% of the value of the assets of the UBI FCPEs to be used to pay redemptions pursuant to Cases of Early Release.
37. Except in respect of the distribution of 2016 Units to Canadian Participants under the Employee Offering during the Reservation Period (as defined below) from June 27, 2016 to July 8, 2016 notwithstanding the fact that the Filer had not yet obtained the Exemption Relief Sought, neither the Filer, the UBI FCPEs nor any of its Canadian Affiliates is in default of any securities legislation of any jurisdiction of Canada.

### Decision

Each of the Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Makers to make the decision.

The decision of the Decision Makers under the Legislation is that the Exemptive Relief Sought is granted.

“Lucie J. Roy”  
Directrice principale du financement des sociétés

## 2.2 Orders

### 2.2.1 Raimount Oil and Gas Inc. (formerly Raimount Energy Inc.)

#### Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – The issuer ceased to be a reporting issuer under securities legislation.

#### Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

**Citation:** Re Raimount Oil and Gas Inc., 2016 ABASC 249

September 8, 2016

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ALBERTA AND ONTARIO  
(the Jurisdictions)

AND

IN THE MATTER OF  
THE PROCESS FOR CEASE TO BE A REPORTING ISSUER APPLICATIONS

AND

IN THE MATTER OF  
RAIMOUNT OIL AND GAS INC. (formerly Raimount Energy Inc.)  
(the Filer)

ORDER

#### Background

The securities regulatory authority or regulator in each of the Jurisdictions (the **Decision Maker**) has received an application from the Filer for an order under the securities legislation of the Jurisdictions (the **Legislation**) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the **Order Sought**).

Under the Process for Cease to be a Reporting Issuer Applications (for a dual application):

- (a) the Alberta Securities Commission is the principal regulator for this application;
- (b) the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in British Columbia; and
- (c) this order is the order of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

#### Interpretation

Terms defined in National Instrument 14-101 *Definitions* or MI 11-102 have the same meaning if used in this order, unless otherwise defined.

#### Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;

## Decisions, Orders and Rulings

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2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of securities legislation in any jurisdiction.

### Order

Each of the Decision Makers is satisfied that the order meets the test set out in the Legislation for the Decision Maker to make the order.

The decision of the Decision Makers under the Legislation is that the Order Sought is granted.

“Denise Weeres”  
Manager, Legal  
Corporate Finance

## 2.2.2 McEwen Mining – Minera Andes Acquisition Corp.

### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Issuer deemed to no longer be a reporting issuer under securities legislation.

### Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

**Citation:** Re McEwen Mining – Minera Andes Acquisition Corp., 2016 ABASC 247

September 8, 2016

**IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ALBERTA AND ONTARIO  
(the Jurisdictions)**

**AND**

**IN THE MATTER OF  
THE PROCESS FOR CEASE TO BE A REPORTING ISSUER APPLICATIONS**

**AND**

**IN THE MATTER OF  
MCEWEN MINING – MINERA ANDES ACQUISITION CORP.  
(the Filer)**

**ORDER**

### Background

The securities regulatory authority or regulator in each of the Jurisdictions (the **Decision Maker**) has received an application from the Filer for an order under the securities legislation of the Jurisdictions (the **Legislation**) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the **Order Sought**).

Under the Process for Cease to be a Reporting Issuer Applications (for a dual application):

- (a) the Alberta Securities Commission is the principal regulator for this application;
- (b) the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in British Columbia, Saskatchewan, Manitoba, Québec, New Brunswick, Nova Scotia, Prince Edward Island and Newfoundland and Labrador; and
- (c) this order is the order of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

### Interpretation

Terms defined in National Instrument 14-101 *Definitions* or MI 11-102 have the same meaning if used in this order, unless otherwise defined.

### Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;

## Decisions, Orders and Rulings

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2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of securities legislation in any jurisdiction.

### Order

Each of the Decision Makers is satisfied that the order meets the test set out in the Legislation for the Decision Maker to make the order.

The decision of the Decision Makers under the Legislation is that the Order Sought is granted.

“Denise Weeres”  
Manager, Legal  
Corporate Finance



### 2.2.3 First Growth Holdings Ltd. – s. 6.1 of NI 62-104 Take-Over Bids and Issuer Bids

#### Headnote

Section 6.1 of NI 62-104 – Issuer bid – relief from the requirements applicable to issuer bids in Part 2 of NI 62-104 – Issuer proposes to sell all of the issued and outstanding shares of its wholly owned subsidiary in exchange for the return of the 5,299,982 of its common shares and \$636,000 in cash – selling shareholder is a related party of the Issuer – Issuer obtained a formal valuation and held an annual and special meeting of shareholders at which the transaction was submitted to, and approved by, 97.58% of minority shareholders – selling shareholder not receiving cash in exchange for subject shares – shares repurchased at a deemed value below the volume weighted average price for the 20 day period prior to announcement of the transaction – repurchase not designed to give preferential treatment to the selling shareholder – transaction necessary to generate working capital needed to maintain operations – transaction is in the best interests of the Issuer and its shareholders and will not adversely affect the financial position of the Issuer or shareholders to whom the bid was not extended – share repurchase will not materially affect control of the Issuer.

#### Applicable Legislative Provisions

National Instrument 62-104 Take-Over Bids and Issuer Bids, Part 2 and s. 6.1.

**IN THE MATTER OF  
THE SECURITIES ACT,  
R.S.O. 1990, c. S.5, AS AMENDED**

**AND**

**IN THE MATTER OF  
FIRST GROWTH HOLDINGS LTD.**

**ORDER**

**(Section 6.1 of National Instrument 62-104)**

**UPON** the application (the “**Application**”) of First Growth Holdings Ltd. (the “**Issuer**”) to the Ontario Securities Commission (the “**Commission**”) for an order pursuant to section 6.1 of National Instrument 62-104 *Take-Over Bids and Issuer Bids* (“**NI 62-104**”) exempting the Issuer from the requirements applicable to issuer bids in Part 2 of NI 62-104 (the “**Issuer Bid Requirements**”) in respect of the proposed purchase by the Issuer of 5,299,982 common shares of the Issuer (the “**Subject Shares**”) owned by The Bick Group Inc. (the “**Selling Shareholder**”);

**AND UPON** considering the Application and the recommendation of Staff of the Commission;

**AND UPON** the Issuer (and the Selling Shareholder in respect of paragraphs 5, 6, 7, 9, 11, and 15, as they relate to the Selling Shareholder) having represented to the Commission that:

1. The Issuer is a corporation governed by the *Business Corporations Act* (British Columbia).
2. The head and registered office of the Issuer is located at 4388 Still Creek Drive, Unit 235, Burnaby, British Columbia, V5C 6C6.
3. The Issuer is a reporting issuer in the Provinces of British Columbia and Alberta and the common shares of the Issuer (the “**Common Shares**”) are listed for trading on the TSX Venture Exchange (the “**TSXV**”) under the symbol “FGH”. The Issuer is not in default of any requirement of the securities legislation in the jurisdictions in which it is a reporting issuer.
4. The authorized share capital of the Issuer consists of an unlimited number of Common Shares and an unlimited number of preferred shares. As of July 27, 2016, there were 75,570,999 Common Shares and no preferred shares issued and outstanding.
5. The corporate headquarters of the Selling Shareholder are located in the Province of Ontario. The Share Repurchase (as defined below) under this Order will be executed and settled in the Province of Ontario.
6. The Selling Shareholder is the beneficial owner of the Subject Shares, which, as of July 27, 2016, represented approximately 7.01% of the issued and outstanding Common Shares.

7. On April 12, 2016, the Issuer executed a definitive agreement (the “**Definitive Agreement**”) with 2057611 Ontario Inc. (“**2057611**”), a wholly owned subsidiary of the Selling Shareholder, pursuant to which the Issuer would sell, and 2057611 would acquire, all of the issued and outstanding shares of WineOnline Marketing Company Ltd. (“**WineOnline**”), a wholly owned subsidiary of the Issuer, in exchange for the return of the Subject Shares to the Issuer for cancellation (the “**Share Repurchase**”) and cash consideration in the amount of \$636,000 (the “**Sale Transaction**”).
8. Assuming completion of the Sale Transaction, 70,271,017 Common Shares would be issued and outstanding.
9. The Selling Shareholder is a “related party” of the Issuer as such term is defined in Multilateral Instrument 61-101 *Protection of Minority Security Holders in Special Transactions* (“**MI 61-101**”) by virtue of the fact that the Selling Shareholder is owned and controlled by Aaron Bick, the General Manager of WineOnline. Therefore, the Sale Transaction is a “related party transaction” as such term is defined in MI 61-101.
10. On June 15, 2016, the TSXV provided conditional acceptance to the Issuer for the Sale Transaction, subject to, among other conditions, requiring that the Issuer: (a) provide the TSXV with evidence of the value of WineOnline, and (b) provide the TSXV with evidence of shareholders’ approval of the Sale Transaction (together, the “**TSXV Conditions**”).
11. In accordance with the requirements of MI 61-101, and in satisfaction of the TSXV Conditions, the Issuer held an annual and special meeting of shareholders on July 28, 2016 (the “**Shareholder Meeting**”) at which the Sale Transaction was submitted to a vote of shareholders, excluding the votes attached to Common Shares owned, or over which control or direction is exercised by, the Selling Shareholder and Mill Street & Co. Inc. (the “**Minority Shareholders**”). The management information circular dated June 27, 2016 and filed on the System for Electronic Document Analysis and Retrieval (“**SEDAR**”) on July 5, 2016 (the “**Circular**”) provided Minority Shareholders with sufficient information regarding the Issuer and the Sale Transaction to enable them to make an informed decision with respect to the Sale Transaction, such information including a formal valuation, which concluded that the fair market value of WineOnline was in a range between \$590,000 and \$744,000.
12. At the Shareholder Meeting, the Sale Transaction was approved by 97.58% of the Minority Shareholders that voted on the Sale Transaction.
13. The Circular discloses the existence of a petition (the “**Petition**”) and a notice of application for an interim injunction served by certain parties (the “**Petitioners**”) seeking, among other things, to restrict the Issuer from completing the Sale Transaction (the “**Pending Litigation**”). On July 15, 2016, counsel to the Petitioners advised the Issuer in writing that the Petitioners would not object to the Sale Transaction, provided that a specified amount of money from the proceeds of the Sale Transaction be held in escrow and disbursed in accordance with a court order to be rendered in respect of the Petition. Upon completion of the Sale Transaction, the Issuer will deposit such funds into the Petitioners’ counsel’s trust account, which will be disbursed in accordance with a court order, provided that if the Petition is not heard on or before December 31, 2016, such funds will be returned to the Issuer.
14. The Share Repurchase by the Issuer pursuant to the Sale Transaction will constitute an “issuer bid” for the purposes of NI 62-104, to which the applicable Issuer Bid Requirements would apply. The Share Repurchase cannot be made in reliance upon exemptions from the Issuer Bid Requirements contained in Part 4 of NI 62-104.
15. The Share Repurchase is an integral part of the Sale Transaction. The Selling Shareholder is not receiving any cash in exchange for the Subject Shares; the Subject Shares are being returned to the Issuer for cancellation at a deemed value of \$0.02 per share, which is at a discount to the 20-day volume weighted average price on the TSXV as at April 12, 2016, being the date before the execution of the Definitive Agreement.
16. As a result of the fact no shareholder other than the Selling Shareholder is a party to the Sale Transaction, it is not practical for the Issuer to offer to acquire Common Shares from all shareholders on the same terms and conditions as those contemplated by the Sale Transaction.
17. The terms of the Sale Transaction were not agreed to in order to give preferential treatment to the Selling Shareholder or to provide a method for the Issuer to purchase the Subject Shares, but rather to facilitate the sale of WineOnline to the Selling Shareholder and thereby increase the working capital of the Issuer.
18. The directors and officers of the Issuer have concluded that completion of the Sale Transaction is necessary to generate working capital needed to maintain operations for the Issuer’s other subsidiaries.
19. The board of directors of the Issuer has determined that the Sale Transaction is in the best interests of the Issuer and its shareholders and will not adversely affect the financial position of the Issuer or the shareholders to whom the issuer bid is not extended.

20. The board of directors of the Issuer has concluded that the Share Repurchase will not materially affect control of the Issuer.

**AND UPON** the Commission being satisfied that to do so would not be prejudicial to the public interest;

**IT IS ORDERED** pursuant to section 6.1 of NI 62-104 that the Issuer be exempt from the Issuer Bid Requirements in connection with the Share Repurchase, provided that the Issuer issue and file a press release on SEDAR disclosing: (a) the results of the Shareholder Meeting, and (b) that the Issuer has been granted exemptive relief from the Issuer Bid Requirements in connection with the Share Repurchase.

Dated at Toronto this 13th day of September, 2016.

“Naizam Kanji”  
Director, Office of Mergers & Acquisitions  
Ontario Securities Commission

## 2.4 Rulings

### 2.4.1 Merrill Lynch, Pierce, Fenner & Smith Incorporated – s. 38 of the CFA

#### Headnote

Application for a ruling pursuant to section 38 of the Commodity Futures Act granting relief from the dealer registration requirement set out in section 22 of the CFA in connection with acting as a clearing broker in Give-Up Transactions involving commodity futures contracts and options on commodity futures contracts on exchanges located in Canada (Canadian Futures) to, from or on behalf of Canadian institutional permitted clients (institutional investors) – relief limited to trades in Canadian futures for institutional permitted clients – relief subject to sunset clause.

#### Statutes Cited

Commodity Futures Act, R.S.O. 1990, c. C.20. as am., ss. 22, 38.

September 9, 2016

**IN THE MATTER OF  
THE COMMODITY FUTURES ACT,  
R.S.O. 1990, c. C.20, AS AMENDED  
(the CFA)**

**AND**

**IN THE MATTER OF  
MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED  
(the Filer)**

**RULING  
(Section 38 of the CFA)**

**UPON** the application (the **Application**) of the Filer to the Ontario Securities Commission (the **Commission**) for a ruling of the Commission, pursuant to section 38 of the CFA, that:

- (a) the Filer is not subject to the dealer registration requirement set out in section 22 of the CFA in connection with providing Clearing Broker Services (as defined below) in Give-Up Transactions (as defined below) involving exchange-traded futures on exchanges located in Canada (**Canadian Futures**) to, from or on behalf of Institutional Permitted Clients (defined below)(the **Ruling**); and
- (b) an Institutional Permitted Client is not subject to the dealer registration requirement in the CFA in connection with receiving Clearing Broker Services (as defined below) in Give-Up Transactions (as defined below) in Canadian Futures from the Filer pursuant to the Ruling;

**AND WHEREAS** for the purposes of the Ruling “Institutional Permitted Client” shall mean a “permitted client” as defined in section 1.1 of National Instrument 31-103 *Registration Requirements, Exemptions, and Ongoing Registrant Obligations (NI 31-103)*, except for:

- (a) an individual,
- (b) a person or company acting on behalf of a managed account of an individual,
- (c) a person or company referred to in paragraph (p) of that definition, unless the person or company qualifies as an Institutional Permitted Client under another paragraph of that definition, or
- (d) a person or company referred to in paragraph (q) of that definition unless that person or company has net assets of at least \$100 million as shown on its most recently prepared financial statements or qualifies as an Institutional Permitted Client under another paragraph of that definition;

and provided further that, for the purposes of the definition of “Institutional Permitted Client”, a reference in the definition of “permitted client” in section 1.1 of NI 31-103 to “securities legislation” shall be read as “securities legislation or Ontario commodity futures law, as applicable”.

**AND UPON** considering the Application and the recommendation of Staff of the Commission;

**AND UPON** the Filer having represented to the Commission as follows:

1. The Filer is a corporation formed under the laws of the State of Delaware. The Filer's head offices are located at One Bryant Park, New York, New York, 10036, United States of America (**U.S.**). The Filer is an indirect wholly-owned subsidiary of Bank of America Corporation, held through its direct and indirect wholly-owned subsidiaries NB Holdings Corporation and BAC North America Holding Company, respectively.
2. The Filer is registered as a broker-dealer with the U.S. Securities and Exchange Commission (**SEC**), a member of the U.S. Financial Industry Regulatory Authority (**FINRA**), a registered futures commission merchant (**FCM**) with the U.S. Commodity Futures Trading Commission (**CFTC**), and a member of the U.S. National Futures Association (**NFA**).
3. The Filer is a member of a number of major U.S. securities and equity options exchanges, including the New York Stock Exchange, NASDAQ Stock Market, Chicago Board Options Exchange, Miami International Securities Exchange, International Securities Exchange and the BOX Options Exchange. The Filer is also a member of many major U.S. commodity exchanges, including the Chicago Mercantile Exchange, Chicago Board of Trade, New York Mercantile Exchange, Commodity Exchange, Inc., CBOE Futures Exchange, Eris Exchange, ICE Futures U.S. and Nodal Exchange, and trades through affiliated or unaffiliated member firms on other exchanges, including exchanges in Canada.
4. In connection with its securities trading and advising activities, the Filer relies on the "international dealer exemption" under section 8.18 of NI 31-103 in Alberta, British Columbia, Manitoba, New Brunswick, Newfoundland and Labrador, Northwest Territories, Nova Scotia, Ontario, Prince Edward Island, Québec and Saskatchewan, and the "international adviser exemption" under section 8.26 of NI 31-103 in Alberta, British Columbia, Ontario and Québec.
5. The Filer is not in default of securities legislation in any jurisdiction in Canada or under the CFA. The Filer is in compliance in all material respects with U.S. securities and commodity futures laws.
6. Merrill Lynch Canada Inc. (**MLCI**), an affiliate of the Filer, is a corporation organized under the laws of Canada and has its head office in Toronto, Ontario. MLCI is registered: (a) as an investment dealer under the securities legislation in each of the jurisdictions of Canada; (b) as a derivatives dealer in Quebec; and (c) as a FCM in Ontario and is a member of the Investment Industry Regulatory Organization of Canada (**IIROC**).
7. The Filer currently relies on an order dated October 17, 2008 under the CFA, *Re Merrill Lynch, Pierce, Fenner & Smith Incorporated and Merrill Lynch Professional Clearing Corp.* (the **Prior Order**), granting an exemption from the dealer registration requirement in connection with certain execution and clearing activities in commodity futures contracts and options on commodity futures contracts that trade on exchanges located outside of Canada or that trades on exchanges that are located in Canada but are routed through an agent that is a dealer registered in Ontario under the CFA.
8. The Filer wishes to act as a clearing broker with respect to Canadian Futures in the context of Give-Up Transactions (defined below) with Institutional Permitted Clients.
9. A **Give-Up Transaction** is a purchase or sale of futures contracts by a client that has an existing relationship with a clearing broker, but wishes to use the trade execution services of one or more other executing brokers for the purpose of executing such purchases or sales (**Subject Transactions**) on one or more markets. Under these circumstances, the executing broker executes the Subject Transactions as directed by the client and "gives up" such trades to the clearing broker for clearing, settlement, record-keeping, bookkeeping, custody and other administrative functions (**Clearing Broker Services**). The service provided by the executing broker is limited to trade execution only.
10. In a Give-Up Transaction, the clearing broker will maintain an account for the client that is administered in accordance with the terms and conditions of the account documentation of the clearing broker that has been signed by the client. The clearing broker will handle record keeping and collateral for the client. The client will not sign clearing account documentation with the executing broker, nor will the executing broker typically receive monies, margin or collateral directly from the client. Although the executing broker is responsible for its own record-keeping, bookkeeping, custody and other administrative functions (**Account Services**) in respect of its own clients, it does not, subject to any applicable regulatory requirements that may otherwise apply, provide Account Services for execution-only clients. Such Account Services remain the responsibility of the clearing broker. The clearing broker will have the primary relationship with the client and is contractually responsible for trade and risk monitoring as well as reporting trade confirmations and sending out monthly statements.

11. In order to enter into a Give-Up Transaction, a client will enter into a tri-party agreement, known as a “give-up agreement” (**Give-Up Agreement**), between an executing broker, a clearing broker, and the client. The Filer, as clearing broker, will generally use the *International Uniform Brokerage Execution Services (“Give-Up”) Agreement: Version 2008* (© Futures Industry Association, 2008), as may be revised from time to time, as the Give-Up Agreement entered into with Institutional Permitted Clients.
12. Each party to the Give-Up Agreement, including the Filer as clearing broker, will represent in the Give-Up Agreement that it will perform its obligations under the Give-Up Agreement in accordance with applicable laws, governmental, regulatory, self-regulatory, exchange or clearing house rules, regulations, interpretations, protocols and the customs and usages of the exchange or clearing house on which the transactions governed by the Give-Up Agreement are executed and cleared, as in force from time to time.
13. In Ontario, an Institutional Permitted Client would place orders for Canadian Futures for execution on Canadian futures exchanges with an Ontario-registered FCM, which would then be cleared locally on the applicable Canadian futures exchange by that Ontario-registered FCM (if qualified to do so) or another clearing member of the applicable Canadian futures exchange. The executed trades would be placed into a client omnibus account maintained by the Filer with the clearing member of the applicable Canadian futures exchange that locally clears the trades, and the executed trades would be booked by the Filer to the futures account of the Ontario client maintained with the Filer for trading on exchanges globally. In this arrangement, the Ontario-registered FCM would be responsible for all client-facing interactions relating to the execution of the Canadian Futures.
14. In the case of a Montréal Exchange-listed futures contract, a member of the Canadian Derivatives Clearing Corporation (**CDCC**) would clear the trade on the Filer’s behalf. Therefore, trade execution would be done by an Ontario-registered FCM, the positions would be held at CDCC by a CDCC member (which could be, but would not necessarily have to be, the executing broker) and given up to the Filer at which the Ontario Institutional Permitted Client maintains a clearing account. The Filer would then carry the resulting positions in an account maintained on its books by the Institutional Permitted Client, and the Filer would call for and collect applicable margin from the Institutional Permitted Client. The Filer, in turn, would remit the required margin to the CDCC member that cleared the trades. That CDCC member would then make the required margin payment(s) to CDCC.
15. In respect of holding client assets, in order to protect customers in the event of the insolvency or financial instability of the Filer, the Filer is required under U.S. law to ensure that customer securities and monies be separately accounted for, segregated at all times from the securities and monies of the Filer and custodied exclusively with such banks, trust companies, clearing organizations or other licensed futures brokers and intermediaries as may be approved for such purposes under the U.S. *Commodity Exchange Act (CEA)* and the rules promulgated by the CFTC thereunder (collectively, the **Approved Depositories**). The Filer is further required to obtain acknowledgements from any Approved Depository holding customer funds or securities related to U.S.-based transactions or accounts that such funds and securities are to be separately held on behalf of such customers, with no right of set-off against the Filer’s obligations or debts.
16. As a U.S. registered broker-dealer and FCM, the Filer is subject to regulatory capital requirements under the CEA and *Securities Exchange Act of 1934* (the **1934 Act**), specifically CFTC Regulation 1.17 Minimum Financial Requirements for Futures Commission Merchants and Introducing Brokers (**CFTC Regulation 1.17**), SEC Rule 15c3-1 *Net Capital Requirements for Brokers or Dealers* (**SEC Rule 15c3-1**) and SEC Rule 17a-5 *Reports to be Made by Certain Brokers and Dealers* (**SEC Rule 17a-5**). The Filer has elected to compute the minimum capital requirement in accordance with the alternative net capital requirement as permitted by SEC Rule 15c3-1 and CFTC Regulation 1.17. The Alternative Net Capital (**ANC**) method provides large broker-dealer/FCMs meeting specified criteria with an alternative to use mathematical models such as the value at risk model to calculate capital requirements for market and derivatives related credit risk. Under the ANC method, the Filer must document and implement a comprehensive internal risk management system which addresses market, credit, liquidity, legal and operational risk at the firm.
17. SEC Rule 15c3-1 requires that the Filer account for any guarantee of debt of a third party in calculating its excess net capital when a loss is probable and the amount can be reasonably estimated. Accordingly, the Filer will, in the event that it provides a guarantee of any debt of a third party, take a deduction from net capital when both of the preceding conditions exist. The Filer guarantees the debt of its subsidiary Merrill Lynch Professional Clearing Corp.
18. SEC Rule 15c3-1 and CFTC Regulation 1.17 are designed to provide protections that are substantially similar to the protections provided by the capital formula requirements and specifically risk adjusted capital to which dealer members of IIROC are subject. The Filer is in compliance with SEC Rule 15c3-1. If the Filer’s net capital declines below the minimum amount required, the Filer is required to notify the SEC and FINRA pursuant to SEC Rule 17a-11 *Notification Provisions for Brokers and Dealers* (**SEC Rule 17a-11**). The SEC and FINRA have the responsibility to provide oversight over the Filer’s compliance with SEC Rule 15c3-1 and SEC Rule 17a-5.

19. The Filer is required to prepare and file a financial report, which includes Form X-17a-5 *Financial and Operational Combined Uniform Single Report* (the **FOCUS Report**), monthly with the CFTC, NFA, SEC and FINRA. The FOCUS Report provides a more comprehensive description of the business activities of the Filer, and more accurately reflects those activities including client lending activity, than would be provided by Form 31-103F1 *Calculation of Excess Working Capital* (**Form 31-103F1**). The FOCUS Report provides a net capital calculation and a comprehensive description of the business activities of the Filer. The net capital requirements computed using methods prescribed by SEC Rule 15c3-1 are based on all assets and liabilities on the books and records of a broker-dealer whereas Form 31-103F1 is a calculation of excess working capital, which is a computation based primarily on the current assets and current liabilities on the books and records of the dealer. The Filer is up-to-date in its submission of annual reports under SEC Rule 17a-5(d), including the FOCUS Report.
20. The Filer is a member of the Securities Investors Protection Corporation (**SIPC**). Subject to the eligibility criteria of SIPC, client assets held by the Filer in connection with its activities as a broker-dealer are insured by SIPC against loss due to insolvency. There is no SIPC or similar insurance protection in connection with activities undertaken as a U.S. registered FCM.
21. The Filer is subject to CFTC Regulation 30.7 regarding cash, securities and other collateral that are deposited with a FCM or are otherwise required to be held for the benefit of its customers to margin futures and options on futures contracts traded on non-U.S. boards of trade, including Canadian Futures (**30.7 Customer Funds**). Accounts used to hold 30.7 Customer Funds must be properly titled to make clear that the funds belong to, and are being held for the benefit of, the FCM's customers who are trading foreign (i.e. non-U.S.) futures and futures options.
22. 30.7 Customer Funds may not be commingled with the funds of any other person, including the carrying FCM, except that the carrying FCM may deposit its own funds into the account containing 30.7 Customer Funds in order to prevent the accounts of the customers from becoming under-margined. Each Approved Depository (except for a derivatives clearing organization with specified rules) is required to provide the depositing FCM with a written acknowledgment that the depository was informed that such funds held in the customer account belong to customers and are being held in accordance with the CEA and CFTC Regulations. Among other representations, the depository must acknowledge that it cannot use any portion of 30.7 Customer Funds to satisfy any obligations that the FCM may owe the depository. The types of investments permitted for 30.7 Funds are restricted by CFTC Regulation 30.7(h), which refers to the list of permitted investments set forth in CFTC Regulation 1.25. The FCM is required, on a daily basis, to compute and submit to regulatory authorities a statement of the amounts of 30.7 Customer Funds held by the FCM.
23. In the event of a FCM's bankruptcy, funds allocated to each account class (i.e., the customer segregated, 30.7 secured amount and cleared swaps customer account classes established pursuant to CFTC Regulations 1.20, 30.7 and 22.2, respectively) or readily traceable to an account class must be allocated solely to that customer account class. The U.S. Bankruptcy Code also provides that non-defaulting customers in an account class that has incurred a loss will share in any shortfall, pro rata. However, customers whose funds are held in another account class that has not incurred a loss will not be required to share in such shortfall.
24. Section 22 of the CFA provides that no person may trade in a commodity futures contract or a commodity futures option unless the person is registered as a dealer [*Futures Commission Merchant*], or as a representative of the dealer, or an exemption from the registration requirement is available. The Filer's activities in providing Clearing Broker Services in Give-Up Transactions involving Canadian Futures to, from or on behalf of Institutional Permitted Clients may constitute trading in Canadian Futures.
25. The Filer's activities in providing Clearing Broker Services in Give-Up Transactions involving Canadian Futures to, from or on behalf of Institutional Permitted Clients may also constitute trading in Canadian Futures by Institutional Permitted Clients. Institutional Permitted Clients may be unable to rely on the exemptions from the dealer registration requirement in the CFA because the Filer is not a registered dealer. Accordingly, the Filer is also seeking exemptive relief pursuant to the Ruling for Institutional Permitted Clients that receive Clearing Broker Services from the Filer.
26. The Filer believes that it would be beneficial to Institutional Permitted Clients in Ontario that trade in the international futures markets for the Filer to act as a clearing broker for both Canadian and non-Canadian futures for the Institutional Permitted Client because such an arrangement would enable the Institutional Permitted Client to benefit from significant efficiencies in collateral usage and consolidated reporting. Benefits would include single margin calls/payments, single wire transfer, ease of reconciliation, netting and cross product margining.
27. Clients may seek clearing services from the Filer in order to separate the execution of a trade from the clearing and settlement of a trade. This allows clients to use many executing brokers, without maintaining an active, ongoing clearing account with each executing broker. It also allows the client to consolidate the clearing and settlement of Canadian Futures in an account with the Filer.

28. The Filer does not dictate to its clients the executing brokers through which clients may execute trades. Clients are free to directly select their executing broker. Clients send orders to the executing broker who carries out the trade. The executing broker will be an appropriately registered dealer or a person or company relying on an exemption from dealer registration that permits it to execute the trade for clients.
29. The Filer is a "market participant" as defined under subsection 1(1) of the CFA. As a market participant, among other requirements, the Filer is required to comply with the record keeping and provision of information provisions under section 14 of the CFA, which include the requirement to keep such books, records and other documents (a) as are necessary for the proper recording of business transactions and financial affairs, and the transactions executed on behalf of others, (b) as may otherwise be required under Ontario commodity futures law, and (c) as may reasonably be required to demonstrate compliance with Ontario commodity futures laws, and to deliver such records to the Commission if required.

**AND UPON** the Commission being satisfied that it would not be prejudicial to the public interest to do so;

**IT IS RULED**, pursuant to section 38 of the CFA, that the Filer is not subject to the dealer registration requirement set out in the CFA in connection with providing Clearing Broker Services in Give-Up Transactions involving Canadian Futures to, from or on behalf of Institutional Permitted Clients so long as the Filer:

- (a) has its head office or principal place of business in the U.S.;
- (b) is registered as a FCM with the CFTC and engages in the business of an FCM in the U.S., and is registered as a broker-dealer under the securities legislation of the U.S. and engages in the business of a broker-dealer in the U.S.;
- (c) is a member firm of the NFA and FINRA;
- (d) is a member of SIPC;
- (e) is subject to requirements over regulatory capital, lending of money, extension of credit and provision of margin, financial reporting to the SEC and FINRA, and/or the CFTC and NFA, and segregation and custody of assets which provide protections that are substantially similar to the protections provided by the rules to which dealer members of IIROC are subject;
- (f) limits its provision of Clearing Broker Services in respect of Give-Up Transactions involving Canadian Futures to Institutional Permitted Clients in Ontario;
- (g) does not execute trades in Canadian Futures with or for Institutional Permitted Clients in Ontario, except as permitted under applicable Ontario securities or commodities futures laws;
- (h) does not require its clients to use specific executing brokers through which clients may execute trades;
- (i) notifies the OSC of any regulatory action initiated after the date of this decision in respect of the Filer, or any predecessors or specified affiliates of the Filer, by completing and filing with the OSC Appendix "B" hereto within ten days of the commencement of any such action; provided that the Filer may also satisfy this condition by filing with the OSC within ten days of the date of this decision a notice making reference to and incorporating by reference the disclosure made by the Filer pursuant to U.S. federal securities laws that is identified in the FINRA BrokerCheck system, and any updates to such disclosure that may be made from time to time, and by providing notification, in a manner reasonably acceptable to the Director, of any filing of a Form BD 'Regulatory Action Disclosure Reporting Page';
- (j) submits the financial report and compliance report as described in SEC Rule 17a-5(d) to the OSC on an annual basis, at the same time such reports are filed with the SEC and FINRA;
- (k) submits audited financial statements to the OSC on an annual basis, within 90 days of the Filer's financial year end;
- (l) submits to the OSC promptly a copy of any notice filed under SEC Rule 17a-11 or under SEC Rule 15c3-3(i) with the SEC and FINRA;
- (m) complies with the filing and fee payment requirements applicable to a registrant under OSC Rule 13-502 Fees; provided that, if the Filer does not rely on the international dealer exemption in section 8.18 of NI 31-103 (the **IDE**), by December 31st of each year, the Filer pays a participation fee based on its specified Ontario



revenues for its previous financial year in compliance with the requirements of Part 3 and section 6.4 of OSC Rule 13-502 *Fees* as if the Filer relied on the IDE;

- (n) files in an electronic and searchable format with the OSC such reports as to any or all of its trading activities in Canada as the OSC may, upon notice, require from time to time;
- (o) pays the increased compliance and case assessment costs of the OSC due to the Filer's location outside Ontario, including, as required, the reasonable cost of hiring a third party to perform a compliance review on behalf of the OSC;
- (p) the Filer has provided to each Institutional Permitted Client the following disclosure in writing:
  - (i) a statement that the Filer is not registered in Ontario to trade in Canadian Futures as principal or agent;
  - (ii) a statement that the Filer's head office or principal place of business is located in New York, New York, U.S.;
  - (iii) a statement that all or substantially all of the Filer's assets may be situated outside of Canada;
  - (iv) a statement that there may be difficulty enforcing legal rights against the Filer because of the above; and
  - (v) the name and address of the Filer's agent for service of process in Ontario; and
- (q) the Filer has submitted to the Commission a completed *Submission to Jurisdiction and Appointment of Agent for Service* in the form attached as Appendix "A" hereto.

This Decision will terminate on the earliest of:

- (i) the expiry of any transition period as may be provided by law, after the effective date of the repeal of the CFA;
- (ii) six months, or such other transition period as may be provided by law, after the coming into force of any amendment to Ontario commodity futures law (as defined in the CFA) or Ontario securities law (as defined in the OSA) that affects the dealer registration requirements in the CFA or the trading restrictions in the CFA; and
- (iii) five years after the date of this Decision.

**AND IT IS FURTHER RULED**, pursuant to section 38 of the CFA, that an Institutional Permitted Client is not subject to the dealer registration requirement in the CFA in connection with trades in Canadian Futures when receiving Clearing Broker Services in Give-Up Transactions where the Filer acts in connection with trades in Canadian Futures on behalf of the Institutional Permitted Client from the Filer pursuant to the above ruling.

"Grant Vingoe"  
Vice-Chair  
Ontario Securities Commission

"Monica Kowal"  
Vice-Chair  
Ontario Securities Commission

**APPENDIX "A"**

**SUBMISSION TO JURISDICTION AND APPOINTMENT OF AGENT FOR SERVICE**

**INTERNATIONAL DEALER OR INTERNATIONAL ADVISER EXEMPTED  
FROM REGISTRATION UNDER THE COMMODITY FUTURES ACT, ONTARIO**

1. Name of person or company ("International Firm"):
2. If the International Firm was previously assigned an NRD number as a registered firm or an unregistered exempt international firm, provide the NRD number of the firm:
3. Jurisdiction of incorporation of the International Firm:
4. Head office address of the International Firm:
5. The name, e-mail address, phone number and fax number of the International Firm's individual(s) responsible for the supervisory procedure of the International Firm, its chief compliance officer, or equivalent.  
  
Name:  
  
E-mail address:  
  
Phone:  
  
Fax:
6. The International Firm is relying on an exemption order under section 38 or section 80 of the *Commodity Futures Act* (Ontario) that is similar to the following exemption in National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (the "Relief Order"):  
  
 Section 8.18 [international dealer]  
  
 Section 8.26 [international adviser]  
  
 Other
7. Name of agent for service of process (the "Agent for Service"):
8. Address for service of process on the Agent for Service:
9. The International Firm designates and appoints the Agent for Service at the address stated above as its agent upon whom may be served a notice, pleading, subpoena, summons or other process in any action, investigation or administrative, criminal, quasi-criminal or other proceeding (a "Proceeding") arising out of or relating to or concerning the International Firm's activities in the local jurisdiction and irrevocably waives any right to raise as a defence in any such proceeding any alleged lack of jurisdiction to bring such Proceeding.
10. The International Firm irrevocably and unconditionally submits to the non-exclusive jurisdiction of the judicial, quasi-judicial and administrative tribunals of the local jurisdiction in any Proceeding arising out of or related to or concerning the International Firm's activities in the local jurisdiction.
11. Until 6 years after the International Firm ceases to rely on the Relief Order, the International Firm must submit to the regulator
  - a. a new Submission to Jurisdiction and Appointment of Agent for Service in this form no later than the 30th day before the date this Submission to Jurisdiction and Appointment of Agent for Service is terminated;
  - b. an amended Submission to Jurisdiction and Appointment of Agent for Service no later than the 30th day before any change in the name or above address of the Agent for Service;
  - c. a notice detailing a change to any information submitted in this form, other than the name or above address of the Agent for Service, no later than the 30th day after the change.

**Decisions, Orders and Rulings**

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12. This Submission to Jurisdiction and Appointment of Agent for Service is governed by and construed in accordance with the laws of the local jurisdiction.

Dated: \_\_\_\_\_

\_\_\_\_\_  
(Signature of the International Firm or authorized signatory)

\_\_\_\_\_  
(Name of signatory)

\_\_\_\_\_  
(Title of signatory)

**Acceptance**

The undersigned accepts the appointment as Agent for Service of \_\_\_\_\_ [Insert name of International Firm] under the terms and conditions of the foregoing Submission to Jurisdiction and Appointment of Agent for Service.

Dated: \_\_\_\_\_

\_\_\_\_\_  
(Signature of the Agent for Service or authorized signatory)

\_\_\_\_\_  
(Name of signatory)

\_\_\_\_\_  
(Title of signatory)

This form, and notice of a change to any information submitted in this form, is to be submitted through the Ontario Securities Commission's Electronic Filing Portal:

<https://www.osc.gov.on.ca/filings>

APPENDIX "B"

NOTICE OF REGULATORY ACTION

1. Has the firm, or any predecessors or specified affiliates<sup>1</sup> of the firm entered into a settlement agreement with any financial services regulator, securities or derivatives exchange, SRO or similar agreement with any financial services regulator, securities or derivatives exchange, SRO or similar organization

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, provide the following information for each settlement agreement:

Name of entity
Regulator/organization
Date of settlement (yyyy/mm/dd)
Details of settlement
Jurisdiction

2. Has any financial services regulator, securities or derivatives exchange, SRO or similar organization:

	Yes	No
(a) Determined that the firm, or any predecessors or specified affiliates of the firm violated any securities regulations or any rules of a securities or derivatives exchange, SRO or similar organization?	_____	_____
(b) Determined that the firm, or any predecessors or specified affiliates of the firm made a false statement or omission?	_____	_____
(c) Issued a warning or requested an undertaking by the firm, or any predecessors or specified affiliates of the firm?	_____	_____
(d) Suspended or terminated any registration, licensing or membership of the firm, or any predecessors or specified affiliates of the firm?	_____	_____
(e) Imposed terms or conditions on any registration or membership of the firm, or predecessors or specified affiliates of the firm?	_____	_____
(f) Conducted a proceeding or investigation involving the firm, or any predecessors or specified affiliates of the firm?	_____	_____
(g) Issued an order (other than an exemption order) or a sanction to the firm, or any predecessors or specified affiliates of the firm for securities or derivatives-related activity (e.g. cease trade order)?	_____	_____

If yes, provide the following information for each action:

Name of entity	
Type of action	
Regulator/organization	
Date of action (yyyy/mm/dd)	Reason for action
Jurisdiction	

<sup>1</sup> In this Appendix, the term "specified affiliate" has the meaning ascribed to that term in Form 33-109F6 to National Instrument 33-109 Registration Information.

**Decisions, Orders and Rulings**

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3. Is the firm aware of any ongoing investigation of which the firm or any of its specified affiliates is the subject

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, provide the following information for each investigation:

Name of entity
Reason or purpose of investigation
Regulator/organization
Date investigation commenced (yyyy/mm/dd)
Jurisdiction

Name of firm:
Name of firm's authorized signing officer or partner
Title of firm's authorized signing officer or partner
Signature
Date (yyyy/mm/dd)

**Witness**

The witness must be a lawyer, notary public or commissioner of oaths.

Name of witness
Title of witness
Signature
Date (yyyy/mm/dd)

This form is to be submitted through the Ontario Securities Commission's Electronic Filing Portal:

<https://www.osc.gov.on.ca/filings>

**2.4.2 Swiss Re America Holding Corporation et al. – s. 74(1)**

**Headnote**

Application to the Ontario Securities Commission for a ruling pursuant to subsection 74(1) of the Securities Act (Ontario) (the Act) for a ruling that the Applicants be exempted from the adviser registration requirements in subsection 25(3) of the Act. The Applicants will provide advice to certain Canadian Affiliates in Ontario only for so long as such affiliates remain affiliates of the Applicants. Filer acknowledged its activities did not comply with the registration requirements under applicable Canadian securities legislation. Exemptive relief granted is not retroactive.

**Applicable Legislative Provisions**

Securities Act, R.S.O. 1990, c. S.5, as am., ss. 25(3), 74(1).

September 9, 2016

**IN THE MATTER OF  
THE SECURITIES ACT,  
R.S.O. 1990, c. S.5, AS AMENDED  
(THE ACT)**

**AND**

**IN THE MATTER OF  
SWISS RE AMERICA HOLDING CORPORATION,  
SWISS REINSURANCE COMPANY LTD, SWISS RE CORPORATE SOLUTIONS LTD AND  
SR CORPORATE SOLUTIONS AMERICA HOLDING CORPORATION**

**RULING  
(Subsection 74(1) of the Act)**

**UPON** the application (the **Application**) of Swiss Re America Holding Corporation, Swiss Reinsurance Company Ltd, Swiss Re Corporate Solutions Ltd and SR Corporate Solutions America Holding Corporation (the **Applicants**) to the Ontario Securities Commission (the **Commission**) for a ruling pursuant to subsection 74(1) of the Act that the Applicants be exempted from the adviser registration requirements in subsection 25(3) of the Act;

**AND UPON** considering the Application and the recommendation of staff of the Commission;

**AND UPON** the Applicants having represented to the Commission as follows:

1. The Applicants are part of a multi-national group of companies headquartered in Zurich, Switzerland and collectively known as the "Swiss Re Group". The Swiss Re Group is in the business of wholesale reinsurance, insurance and risk transfer solutions. Swiss Re Ltd, domiciled in Zurich, Switzerland, is the ultimate holding company of the Swiss Re Group. Its principal activity is the holding of investments in Swiss Re Group companies.
2. Swiss Re Corporate Solutions Ltd (**SRCS**) is a corporation existing under the laws of Switzerland, based in Zurich. SRCS is a wholly-owned subsidiary of Swiss Re Ltd and is the primary legal entity within Swiss Re Group's Corporate Solutions business. The Corporate Solutions business offers insurance capacity to mid-sized and large multinational corporations worldwide. SRCS does not have an office or employees in Canada.
3. SR Corporate Solutions America Holding Corporation (**SRCS AH**) is a corporation existing under the laws of the State of Delaware, based in New York. SRCS AH is a wholly-owned subsidiary of SRCS. SRCS AH is the primary holding company for Swiss Re Group's Corporate Solutions business in the Americas. SRCS AH does not have an office or employees in Canada.
4. Westport Insurance Corporation (**WIC**) is a corporation existing under the laws of the State of Missouri, with its administrative office in Overland Park, Kansas. WIC operates as a stock property and casualty insurer and is a wholly-owned subsidiary of SRCS AH.
5. Westport Insurance Corporation, Canada Branch (**WIC Canada**) carries on business in Canada as a federally and provincially licensed branch of a foreign insurance company with its Canadian head office in Toronto, Ontario.

6. The principal carrier within Swiss Re Group's Reinsurance business group is Swiss Reinsurance Company Ltd (**SRZ**). SRZ is a corporation existing under the laws of Switzerland, based in Zurich. SRZ is a wholly-owned subsidiary of Swiss Re Ltd and a sister company to SRCS. The Reinsurance business offers reinsurance, insurance, and insurance linked financial market products to customers worldwide.
7. Swiss Reinsurance Company Ltd, Canada Branch (**SRZ Canada**) carries on business as a federally licensed branch of a foreign insurance company with its Canadian head office in Toronto, Ontario. SRZ Canada and WIC Canada are referred to, collectively, as the "**Canadian Affiliates**".
8. Swiss Re America Holding Corporation (**SRAH**) is a corporation existing under the laws of the State of Delaware, based in New York. SRAH is a subsidiary of SRZ. SRAH does not have an office or employees in Canada.
9. The Canadian Affiliates hold portfolio assets directly and are also the beneficiaries of portfolio assets held in certain collateral accounts (the **Collateral Accounts**) established either (i) as Canadian-domiciled trusts settled by affiliates within the Swiss Re Group, as grantors, for the contingent benefit of itself and SRZ Canada or WIC Canada (**Vested Asset Trusts**) or (ii) by affiliates within the Swiss Re Group, as pledgors, for the benefit of SRZ Canada or WIC Canada, as secured parties, under reinsurance security agreements pursuant to which the pledgor has agreed to collateralize certain risks of the secured party for purposes of internal and external reinsurance and retrocession (i.e. reinsurance of reinsurance) (the **Reinsurance Security Agreements**). Under the *Insurance Companies Act (Canada)* (the **ICA**) and guidelines of the Office of the Superintendent of Financial Institutions Canada (**OSFI**) assets in the Collateral Accounts must be maintained in Canada in order for the beneficiary or secured party, as applicable, to receive credit for such assets under the ICA. The trustee of each of the Vested Asset Trusts and the custodian under each of the Reinsurance Security Agreements is RBC Investor Services Trust (the **Trustee and Custodian**), a Canadian financial institution as defined in section 1.1 of National Instrument 45-106 *Prospectus Exemptions (NI 45-106)*. The portfolio assets held directly by the Canadian Affiliates and the Collateral Accounts are referred to, collectively, as the "**Accounts**".
10. With respect to the Vested Asset Trusts, the Trustee and Custodian acts as agent of the Swiss Re Group and invests the vested assets on the written direction of the persons authorized by the grantor of the Vested Asset Trust.
11. With respect to the Reinsurance Security Agreements, until an entitlement order is delivered by the secured party, pledged collateral is held by the Trustee and Custodian for safekeeping and the pledgor is entitled to direct the Trustee and Custodian as to the manner of investment of the collateral and with respect to the manner of exercising the voting rights attached to the securities and other financial assets that are part of the collateral. These investment and voting powers promptly cease, and the Trustee and Custodian must transfer the collateral to or to the direction of the secured party, upon the receipt of an entitlement order by the Trustee and Custodian from the secured party.
12. Each of the Canadian Affiliates, the Trustee and Custodian, the grantor under each Vested Asset Trust and the pledgor under each Reinsurance Security Agreement is a "permitted client" as defined in National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations (NI 31-103)*.
13. Although one Vested Asset Trust (the **Non-Permitted Client Trust**) does not qualify as a permitted client under NI 31-103 because it has net assets of less than \$25 million as shown on its most recent prepared financial statements, each of the grantor and the Trustee and Custodian is a permitted client as defined in NI 31-103 and such trust has been established for the benefit of the Canadian insurance business of a Canadian Affiliate that is also a permitted client.
14. The Applicants currently provide or will provide investment management services solely to entities in the Swiss Re Group, including branches, subsidiaries and other entities related to Swiss Re Ltd.
15. SRAH currently provides investment management services and portfolio management services to affiliates within the Swiss Re Group, such as the Canadian Affiliates in connection with its respective Canadian business.
16. SRAH is a sister company to WIC Canada. The provision of investment management services by SRAH to WIC Canada is anticipated to be assumed by January 1, 2018 by WIC Canada's direct and indirect corporate parent, SRCS AH and SRCS. SRCS AH and SRCS may also provide investment management services and portfolio management services to SRZ Canada in the future.
17. SRZ from its offices in Zurich, and SRAH, provides investment management services and portfolio management services primarily to the grantor or pledgor, as applicable, in respect of the Collateral Accounts, and SRCS AH and SRCS may also provide investment management services and portfolio management services to the grantor or pledgor, as applicable, in respect of the Collateral Accounts in the future.

18. None of the employees who provide investment management services and portfolio management services to the Accounts is resident in Canada.
19. Given that SRAH does not provide investment management services to entities outside of the Swiss Re Group, SRAH is exempt from the requirement to register as an adviser with the U.S. Securities and Exchange Commission (SEC) under the United States *Investment Advisers Act of 1940* (the **1940 Act**). As of December 31, 2015, SRAH provided investment oversight on approximately US \$35 billion on behalf of entities in the Swiss Re Group.
20. SRCS AH does not currently provide investment management services in Canada, but it is also exempt from the requirement to register as an adviser with the SEC under the 1940 Act on the same basis as SRAH.
21. Given that SRZ does not provide investment management services to entities outside of the Swiss Re Group, SRZ is exempt from the requirement to register as an asset manager with the Swiss Financial Market Supervisory Authority (**FINMA**). As of December 31, 2015, SRZ provided investment oversight on approximately US \$66 billion on behalf of entities in the Swiss Re Group.
22. SRCS does not currently provide investment management services in Canada, but it is also exempt from the requirement to register as an asset manager with the FINMA on the same basis as SRZ.
23. The provision of these services by SRAH and SRZ (the **Current Advisors**) to the Accounts commenced upon their creation in 1959 and 1973 respectively. The Current Advisors provided these services to the Accounts without obtaining adviser registration under the Act based on the advice of Canadian counsel and a good faith determination that they were not providing advice to others with respect to investing in securities or buying or selling securities because they were providing such advice only to affiliates or special purpose entities within the Swiss Re Group. The Current Advisors seek to continue to provide investment advice and portfolio management services to affiliates and special purpose entities in the Swiss Re Group, including the Accounts, on a basis that would not require adviser registration under the Act.
24. Except as indicated in the previous paragraph with respect to the Current Advisors, no Applicant is in default of any requirements of securities legislation in Ontario.
25. The Applicants provide or will provide investment advice and portfolio management services on portfolios of assets held in the Accounts that include Canadian securities (being part of the investment objectives of the Accounts). However, the international adviser registration exemption in Section 8.26 of NI 31-103 does not apply with respect to the Canadian portfolio assets in the Accounts managed by the Applicants since such advice is not incidental to the advice it is providing on a "foreign security" (as defined in Section 8.26(2) of NI 31-103).
26. There is no requirement for employees of a corporation to be registered as advisers under the Act if such employees provide investment advice to their employer on a portfolio assets held by such employer. The Canadian Affiliates do not currently employ, nor do they intend to employ, individuals who provide investment advice with respect to the Accounts, but rather the Canadian Affiliates have outsourced or will outsource the adviser function to the Applicants, each an affiliated corporation. Outsourcing the investment function is permitted under the federal insurance company legislation.
27. The Canadian portfolio assets held in the Accounts and managed or to be managed by the Applicants are owned by each of the respective Canadian Affiliates or held for their benefit and the benefit of the Swiss Re Group. There are no external stakeholders (such as, for example, holders of variable annuity contracts or segregated funds/separate accounts for policyholders) that have any direct interest in the performance of such assets. Accordingly, there are no stakeholders in Ontario or elsewhere other than members of the Swiss Re Group that are directly affected by the investment advice provided or to be provided by the Applicants.
28. Subsection 74(1) of the Act provides that a ruling may be made by the Commission that a person or company is not subject to section 25 of the Act, subject to such terms and conditions as the Commission considers necessary, where the Commission is satisfied that to do so would not be prejudicial to the public interest.

**AND UPON** the Commission being satisfied that to do so would not be prejudicial to the public interest;

**IT IS RULED**, pursuant to subsection 74(1) of the Act, that each Applicant is exempt from the adviser registration requirements of subsection 25(3) of the Act in respect of advice it provides to its affiliates in Ontario, provided that:

1. the Applicants provide investment advice and portfolio management services in Ontario only to:
  - (a) their affiliates that:



- (i) are licensed or otherwise duly permitted or authorized to carry on business as an insurance company in Canada or a branch of a foreign insurance company in Canada; or
    - (ii) are holding companies that have as their principal business activity to hold securities of one or more affiliates that are each licensed or otherwise duly permitted or authorized to carry on business as an insurance company in Canada; or
  - (b) their affiliates that are the grantor or pledgor of a collateral account established for the benefit of the Canadian insurance business of an affiliate, described in paragraph (a), in accordance with the ICA and OSFI guidelines; and
2. with respect to any particular affiliate described in section 1, the investment advice and portfolio management services provided in Ontario are provided only as long as that affiliate remains: (i) an “affiliate” of the Applicant as defined in the Act, and (ii) a “permitted client” as defined in NI 31-103; and
  3. in the case of investment advice and portfolio management services provided to the grantor of a collateral account, described in paragraph 1(b), that is a trust, the trust remains a “permitted client” as defined in NI 31-103 except for in the case of the Non-Permitted Client Trust.

September 9, 2016

“William Furlong”  
Commissioner  
Ontario Securities Commission

“Deborah Leckman”  
Commissioner  
Ontario Securities Commission

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## Chapter 4

# Cease Trading Orders

### 4.1.1 Temporary, Permanent & Rescinding Issuer Cease Trading Orders

Company Name	Date of Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/Revoke

THERE IS NOTHING TO REPORT THIS WEEK.

### Failure to File Cease Trade Orders

Company Name	Date of Order	Date of Revocation
DataWind Inc.	07 September 2016	
LGX Oil + Gas Inc.	06 September 2016	
Northern Frontier Corp.	06 September 2016	

### 4.2.1 Temporary, Permanent & Rescinding Management Cease Trading Orders

Company Name	Date of Order or Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/ Expire	Date of Issuer Temporary Order
DataWind Inc.	06 July 2016	18 July 2016	18 July 2016	07 Sept 2016*	07 Sept 2016
iSIGN Media Solutions Inc.	09 Sept 2016	21 Sept 2016			
Northern Power Systems Corp.	31 March 2016	13 April 2016	13 April 2016	02 Sept 2016	02 Sept 2016
Reservoir Capital Corp.	12 Sept 2016	23 Sept 2016			

### 4.2.2 Outstanding Management & Insider Cease Trading Orders

Company Name	Date of Order or Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/ Expire	Date of Issuer Temporary Order
DataWind Inc.	06 July 2016	18 July 2016	18 July 2016	07 Sept 2016*	07 Sept 2016
iSIGN Media Solutions Inc.	09 Sept 2016	21 Sept 2016			
Northern Power Systems Corp.	31 March 2016	13 April 2016	13 April 2016	02 Sept 2016	02 Sept 2016
Reservoir Capital Corp.	12 Sept 2016	23 Sept 2016			
Starrex International Ltd.	30 December 2015	11 January 2016	11 January 2016		

\*The MCTO is revoked and replaced with a FFCTO effective September 7, 2016.

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## Chapter 7

# Insider Reporting

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The following is a weekly summary of insider transactions by insiders of *Ontario reporting issuers* in SEDI® (the System for Electronic Disclosure by Insiders).<sup>1</sup> The weekly summary contains insider transactions reported during the 7-day period ending Sunday at 11:59 p.m. (i.e. the Sunday prior to the Bulletin Issue date).<sup>2</sup>

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### Guide to Codes

#### Relationship of Insider to Issuer (Rel=n)

- 1 Issuer
- 2 Subsidiary of Issuer
- 3 10% Security Holder of Issuer
- 4 Director of Issuer
- 5 Senior Officer of Issuer
- 6 Director or Senior Officer of 10% Security Holder
- 7 Director or Senior Officer of Insider or Subsidiary of Issuer (other than in 4,5,6)
- 8 Deemed Insider – 6 Months before becoming Insider

#### Nature of Transaction (T/O)

- 00 Opening Balance-Initial SEDI Report
- 10 Acquisition or disposition in the public market
- 11 Acquisition or disposition carried out privately
- 15 Acquisition or disposition under a prospectus
- 16 Acquisition or disposition under a prospectus exemption
- 22 Acquisition or disposition pursuant to a take-over bid, merger or acquisition
- 30 Acquisition or disposition under a purchase/ ownership plan
- 35 Stock dividend
- 36 Conversion or exchange
- 37 Stock split or consolidation
- 38 Redemption, retraction, cancellation, repurchase
- 40 Short sale
- 45 Compensation for property
- 46 Compensation for services
- 47 Acquisition or disposition by gift
- 48 Acquisition by inheritance or disposition by bequest
- 50 Grant of options
- 51 Exercise of options
- 52 Expiration of options
- 53 Grant of warrants
- 54 Exercise of warrants
- 55 Expiration of warrants
- 56 Grant of rights
- 57 Exercise of rights
- 59 Exercise for cash
- 70 Acquisition or disposition (writing) of third party derivative
- 71 Exercise of third party derivative
- 72 Other settlement of third party
- 73 Expiration of third party derivative
- 90 Change in nature of ownership
- 97 Other
- 99 Correction of Information

Note: The asterisk in the "Date/Month End Holding" column indicates the insider disagreed with the system calculated balance when the transaction was reported.

1 SEDI® is a registered trademark owned by CDS INC.

2 ©CDS INC.

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	09/08/2016	38	4.84	5,200	5,200
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	09/08/2016	38	4.83	5,800	600
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	09/08/2016	38	4.82	7,000	1,200
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	09/08/2016	38	4.8	11,500	4,500
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	09/08/2016	38	4.78	14,000	2,500
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	18/08/2016	38		0	-14,000
Ackroo Inc.	Common Shares	Levely, Stephen Alexander	5	07/09/2016	11	0.2	730,000	55,000
Ackroo Inc.	Common Shares	Levely, Stephen Alexander	5	07/09/2016	11	0.21	770,000	40,000
ACTIVEnergy Income Fund	Trust Units	ACTIVEnergy Income Fund	1	06/09/2016	38	4.6	31,193,785	800
ACTIVEnergy Income Fund	Trust Units	ACTIVEnergy Income Fund	1	07/09/2016	38	4.6175	31,194,585	800
ACTIVEnergy Income Fund	Trust Units	ACTIVEnergy Income Fund	1	08/09/2016	38	4.67	31,194,985	400
Aecon Group Inc.	Common Shares	Beck, John Michael	4, 5	07/09/2016	10	18.87	22,787	-3,906
Aecon Group Inc.	Common Shares	Beck, John Michael	4, 5	07/09/2016	10	18.87	53,906	-96,094
Affinor Growers Inc.	Warrants	Whitlock, Brian Kent	4	14/09/2015	00			
Affinor Growers Inc.	Warrants	Whitlock, Brian Kent	4	14/09/2015	00			
Affinor Growers Inc.	Warrants	Whitlock, Brian Kent	4	14/09/2015	00		2,500,000	
AGF Management Limited	Common Shares Class B	AGF Management Limited, La Societe de Gestion AGF Limitee	1	31/08/2016	38	4.94	175,500	175,500
AGF Management Limited	Common Shares Class B	AGF Management Limited, La Societe de Gestion AGF Limitee	1	31/08/2016	38	4.94	0	-175,500
Aileron Ventures Limited	Common Shares	Clarke, Simon Patrick	4, 5	04/07/2016	00			
Aileron Ventures Limited	Common Shares	Clarke, Simon Patrick	4, 5	30/08/2016	11		400,000	400,000
Aileron Ventures Limited	Common Shares	Reid, Christopher Donald	4	30/08/2016	00			
Aileron Ventures Limited	Common Shares	Reid, Christopher Donald	4	01/09/2016	11		200,000	200,000
Aimia Inc.	Preferred Shares - Cumulative Rate Reset Preferred Shares, Series 1	Lønnum, Tor Magne	5	02/05/2016	00			
Aimia Inc.	Preferred Shares - Cumulative Rate Reset Preferred Shares, Series 1	Lønnum, Tor Magne	5	06/09/2016	10	12.369	8,000	8,000
Air Canada	Class B Voting Shares	Air Canada	1	02/08/2016	38	8.8698	364,600	364,600
Air Canada	Class B Voting Shares	Air Canada	1	02/08/2016	38		0	-364,600
Air Canada	Class B Voting Shares	Air Canada	1	03/08/2016	38	8.8432	347,200	347,200
Air Canada	Class B Voting Shares	Air Canada	1	03/08/2016	38		0	-347,200
Air Canada	Class B Voting Shares	Air Canada	1	04/08/2016	38	8.8901	181,300	181,300
Air Canada	Class B Voting Shares	Air Canada	1	04/08/2016	38		0	-181,300
Air Canada	Class B Voting Shares	Air Canada	1	05/08/2016	38	9.0472	200,000	200,000
Air Canada	Class B Voting Shares	Air Canada	1	05/08/2016	38		0	-200,000
Air Canada	Class B Voting Shares	Air Canada	1	08/08/2016	38	9.0891	100,000	100,000
Air Canada	Class B Voting Shares	Air Canada	1	08/08/2016	38		0	-100,000
Air Canada	Class B Voting Shares	Air Canada	1	11/08/2016	38	9.0846	200,000	200,000
Air Canada	Class B Voting Shares	Air Canada	1	11/08/2016	38		0	-200,000
Air Canada	Class B Voting Shares	Air Canada	1	12/08/2016	38	9.0006	200,000	200,000
Air Canada	Class B Voting Shares	Air Canada	1	12/08/2016	38		0	-200,000
Air Canada	Class B Voting Shares	Air Canada	1	15/08/2016	38	9.0174	200,000	200,000
Air Canada	Class B Voting Shares	Air Canada	1	15/08/2016	38		0	-200,000
Air Canada	Class B Voting Shares	Air Canada	1	16/08/2016	38	8.9967	200,000	200,000
Air Canada	Class B Voting Shares	Air Canada	1	16/08/2016	38		0	-200,000
Air Canada	Class B Voting Shares	Air Canada	1	17/08/2016	38	9.005	200,000	200,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Air Canada	Class B Voting Shares	Air Canada	1	17/08/2016	38		0	-200,000
Air Canada	Class B Voting Shares	Air Canada	1	19/08/2016	38	8.983	299,500	299,500
Air Canada	Class B Voting Shares	Air Canada	1	19/08/2016	38		0	-299,500
Air Canada	Class B Voting Shares	Air Canada	1	22/08/2016	38	8.9984	161,800	161,800
Air Canada	Class B Voting Shares	Air Canada	1	22/08/2016	38		0	-161,800
Air Canada	Class B Voting Shares	Air Canada	1	24/08/2016	38	8.988	300,000	300,000
Air Canada	Class B Voting Shares	Air Canada	1	24/08/2016	38		0	-300,000
Air Canada	Class B Voting Shares	Air Canada	1	30/08/2016	38	8.9518	200,000	200,000
Air Canada	Class B Voting Shares	Air Canada	1	30/08/2016	38		0	-200,000
AIRBOSS OF AMERICA CORP.	Common Shares	Flatt, James Gordon	3	08/05/2015	00			
AIRBOSS OF AMERICA CORP.	Common Shares	Flatt, James Gordon	3	06/09/2016	11	14	3,346,900	3,346,900
AIRBOSS OF AMERICA CORP.	Common Shares	Flatt, James Gordon	3	06/09/2016	11	14	0	-3,346,900
Akita Drilling Ltd.	Non-Voting Shares	Coleman, Raymond	5	07/09/2016	30	8.1	14,944	288
Akita Drilling Ltd.	Non-Voting Shares	Hensel, Fred	5	07/09/2016	30	8.1	26,646	210
Akita Drilling Ltd.	Non-Voting Shares	Reynolds, Darcy	5	07/09/2016	30	8.1	1,443	85
Alabama Graphite Corp.	Common Shares	PAMPLIN, Ann-Marie Michelle	8	06/09/2016	10	0.16	563,000	3,000
Alabama Graphite Corp.	Common Shares	PAMPLIN, Ann-Marie Michelle	8	06/09/2016	11	0.15	596,334	33,334
Alabama Graphite Corp.	Options stock options	PAMPLIN, Ann-Marie Michelle	8	02/09/2016	50		700,000	200,000
Alberta Oilsands Inc.	Common Shares	Smoothwater Capital Corporation	3	06/09/2016	10	0.12	32,725,599	83,000
Alberta Oilsands Inc.	Common Shares	Smoothwater Capital Corporation	3	08/09/2016	10	0.12	32,785,599	60,000
Alberta Oilsands Inc.	Common Shares	Smoothwater Capital Corporation	3	08/09/2016	10	0.12	32,820,599	35,000
Alberta Oilsands Inc.	Common Shares	Smoothwater Capital Corporation	3	09/09/2016	10	0.12	32,828,099	7,500
Alderon Iron Ore Corp.	Options	Ashby, Ian R.	4	07/09/2016	50		600,000	300,000
Alderon Iron Ore Corp.	Options	Baker, John	4, 6	07/09/2016	50		300,000	300,000
Alderon Iron Ore Corp.	Options	Genzel, Kate-Lynn	5	07/09/2016	50		180,000	180,000
Alderon Iron Ore Corp.	Options	Hebei Iron & Steel Group Co., Ltd.	3	07/09/2016	50		900,000	300,000
Alderon Iron Ore Corp.	Options	LOADER, WILLIAM ADRIAN	4	07/09/2016	50		600,000	300,000
Alderon Iron Ore Corp.	Options	Morabito, Mark Joseph	4	07/09/2016	50		500,000	500,000
Alderon Iron Ore Corp.	Options	Paine, Sheila Margaret	5	07/09/2016	50		100,000	100,000
Alderon Iron Ore Corp.	Options	Porter, David James	4	07/09/2016	50		300,000	300,000
Alimentation Couche-Tard Inc.	Unité d'action différée	Bourque, Nathalie	4	21/08/2016	46	61.84	15,783	16
Alimentation Couche-Tard Inc.	Unité d'action différée	Bourque, Nathalie	4	30/08/2016	46	67.71	15,813	30
Alimentation Couche-Tard Inc.	Unité d'action différée	D'Amours, Jacques	4	21/08/2016	46	61.84	3,656	16
Alimentation Couche-Tard Inc.	Unité d'action différée	D'Amours, Jacques	4	30/08/2016	46	67.71	3,686	30
Alimentation Couche-Tard Inc.	Unité d'action différée	Fortin, Richard	4, 6, 7, 5	21/08/2016	46	61.84	17,118	16
Alimentation Couche-Tard Inc.	Unité d'action différée	Fortin, Richard	4, 6, 7, 5	30/08/2016	46	67.71	17,148	30
Alimentation Couche-Tard Inc.	Subordinate Voting Shares Catégorie B	Hannasch, Brian Patrick	4, 5	02/09/2016	10	68.06	458,100	-70,000
Alimentation Couche-Tard Inc.	Subordinate Voting Shares Catégorie B	Hannasch, Brian Patrick	4, 5	06/09/2016	10	68.36	440,600	-17,500
Alimentation Couche-Tard Inc.	Unité d'action différée	Kau, Mélanie	4	21/08/2016	46	61.84	62,213	17
Alimentation Couche-Tard Inc.	Unité d'action différée	Kau, Mélanie	4	30/08/2016	46	67.71	62,242	29
Alimentation Couche-Tard Inc.	Unité d'action différée	Leroux, Monique F.	4	21/08/2016	46	61.84	1,704	16

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Alimentation Couche-Tard Inc.	Unité d'action différée	Leroux, Monique F.	4	30/08/2016	46	67.71	1,763	59
Alimentation Couche-Tard Inc.	Unité d'action différée	Plourde, Réal	4, 6, 7, 5	21/08/2016	46	61.84	3,489	16
Alimentation Couche-Tard Inc.	Unité d'action différée	Plourde, Réal	4, 6, 7, 5	30/08/2016	46	67.71	3,519	30
Alimentation Couche-Tard Inc.	Unité d'action différée	Rabinowicz, Daniel	5	21/08/2016	46	61.84	3,597	9
Alimentation Couche-Tard Inc.	Unité d'action différée	Rabinowicz, Daniel	5	30/08/2016	46	67.71	3,611	14
Alimentation Couche-Tard Inc.	Unité d'action différée	Turmel, Jean	4	21/08/2016	46	61.84	96,330	16
Alimentation Couche-Tard Inc.	Unité d'action différée	Turmel, Jean	4	30/08/2016	46	67.71	96,390	60
Almaden Minerals Ltd.	Common Shares	Brown, Mark Thomas	4	06/09/2016	10	2.1	30,000	-6,000
Alterra Power Corp.	Common Shares	Beaty, Ross J.	6	06/09/2016	37		1,810,000	-16,290,000
Alterra Power Corp.	Common Shares	Beaty, Ross J.	6	06/09/2016	37		12,144,533	-109,300,806
Alterra Power Corp.	Common Shares	Beaty, Ross J.	6	06/09/2016	37		1,150,026	-10,350,243
Alterra Power Corp.	Common Shares	Braaten, Lyle	5	06/09/2016	37		170,000	-1,530,000
Alterra Power Corp.	Common Shares	Braaten, Lyle	5	06/09/2016	37		60,000	-540,000
Alterra Power Corp.	Options	Braaten, Lyle	5	06/09/2016	37		512,950	-53,063
Alterra Power Corp.	Options	Braaten, Lyle	5	06/09/2016	37		467,950	-45,000
Alterra Power Corp.	Options	Braaten, Lyle	5	06/09/2016	37		323,090	-144,860
Alterra Power Corp.	Options	Braaten, Lyle	5	06/09/2016	37		186,920	-136,170
Alterra Power Corp.	Options	Braaten, Lyle	5	06/09/2016	37		56,600	-130,320
Alterra Power Corp.	Common Shares	Bruce, James Matthew Ilisley	4	06/09/2016	37		20,000	-180,000
Alterra Power Corp.	Options	Bruce, James Matthew Ilisley	4	06/09/2016	37		405,000	-45,000
Alterra Power Corp.	Options	Bruce, James Matthew Ilisley	4	06/09/2016	37		315,000	-90,000
Alterra Power Corp.	Options	Bruce, James Matthew Ilisley	4	06/09/2016	37		225,000	-90,000
Alterra Power Corp.	Options	Bruce, James Matthew Ilisley	4	06/09/2016	37		135,000	-90,000
Alterra Power Corp.	Options	Bruce, James Matthew Ilisley	4	06/09/2016	37		45,000	-90,000
Alterra Power Corp.	Common Shares	Carson, John	5	06/09/2016	37		236,680	-2,130,123
Alterra Power Corp.	Common Shares	Carson, John	5	06/09/2016	37		1,000	-9,000
Alterra Power Corp.	Options	Carson, John	5	06/09/2016	37		2,159,916	-365,623
Alterra Power Corp.	Options	Carson, John	5	06/09/2016	37		2,065,416	-94,500
Alterra Power Corp.	Options	Carson, John	5	06/09/2016	37		1,880,068	-185,348
Alterra Power Corp.	Options	Carson, John	5	06/09/2016	37			-538,604
Alterra Power Corp.	Options	Carson, John	5	06/09/2016	37		1,341,463	-538,605
Alterra Power Corp.	Options	Carson, John	5	06/09/2016	37		810,463	-531,000
Alterra Power Corp.	Options	Carson, John	5	06/09/2016	37		252,553	-557,910
Alterra Power Corp.	Common Shares	Cornhill, David Wallace	4	06/09/2016	37		3,321	-29,893
Alterra Power Corp.	Options	Cornhill, David Wallace	4	06/09/2016	37			-90,000
Alterra Power Corp.	Options	Cornhill, David Wallace	4	06/09/2016	37		410,000	-90,000
Alterra Power Corp.	Options	Cornhill, David Wallace	4	06/09/2016	37		320,000	-90,000
Alterra Power Corp.	Options	Cornhill, David Wallace	4	06/09/2016	37		230,000	-90,000
Alterra Power Corp.	Options	Cornhill, David Wallace	4	06/09/2016	37		140,000	-90,000
Alterra Power Corp.	Options	Cornhill, David Wallace	4	06/09/2016	37		50,000	-90,000
Alterra Power Corp.	Common Shares	Fox, Kerri	4	06/09/2016	37			-22,500
Alterra Power Corp.	Common Shares	Fox, Kerri	4	06/09/2016	37		2,500	-22,500
Alterra Power Corp.	Options	Fox, Kerri	4	06/09/2016	37		210,000	-90,000
Alterra Power Corp.	Options	Fox, Kerri	4	06/09/2016	37		120,000	-90,000
Alterra Power Corp.	Options	Fox, Kerri	4	06/09/2016	37		30,000	-90,000
Alterra Power Corp.	Common Shares	Freeman, Lynda Diane	5	06/09/2016	37		29,518	-265,663
Alterra Power Corp.	Options	Freeman, Lynda Diane	5	06/09/2016	37		1,205,744	-108,704
Alterra Power Corp.	Options	Freeman, Lynda Diane	5	06/09/2016	37		1,151,744	-54,000
Alterra Power Corp.	Options	Freeman, Lynda Diane	5	06/09/2016	37		1,105,552	-46,192



**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Alterra Power Corp.	Options	Freeman, Lynda Diane	5	06/09/2016	37		1,069,552	-36,000
Alterra Power Corp.	Options	Freeman, Lynda Diane	5	06/09/2016	37		912,052	-157,500
Alterra Power Corp.	Options	Freeman, Lynda Diane	5	06/09/2016	37		650,474	-261,578
Alterra Power Corp.	Options	Freeman, Lynda Diane	5	06/09/2016	37		407,474	-243,000
Alterra Power Corp.	Options	Freeman, Lynda Diane	5	06/09/2016	37		131,444	-276,030
Alterra Power Corp.	Common Shares	Kroeker, Murray George	5	06/09/2016	37		30,735	-276,617
Alterra Power Corp.	Options	Kroeker, Murray George	5	06/09/2016	37		1,098,706	-134,944
Alterra Power Corp.	Options	Kroeker, Murray George	5	06/09/2016	37		1,037,956	-60,750
Alterra Power Corp.	Options	Kroeker, Murray George	5	06/09/2016	37		980,614	-57,342
Alterra Power Corp.	Options	Kroeker, Murray George	5	06/09/2016	37		868,114	-112,500
Alterra Power Corp.	Options	Kroeker, Murray George	5	06/09/2016	37		582,364	-285,750
Alterra Power Corp.	Options	Kroeker, Murray George	5	06/09/2016	37		339,364	-243,000
Alterra Power Corp.	Options	Kroeker, Murray George	5	06/09/2016	37		123,364	-216,000
Alterra Power Corp.	Common Shares	McInnes, Donald Arthur	4	05/09/2016	37		165,935	-1,493,415
Alterra Power Corp.	Common Shares	McInnes, Donald Arthur	4	05/09/2016	37		14,637	-131,733
Alterra Power Corp.	Options	McInnes, Donald Arthur	4	05/09/2016	37	0.52	233,333	-196,432
Alterra Power Corp.	Options	McInnes, Donald Arthur	4	05/09/2016	37	0.52	142,554	-90,779
Alterra Power Corp.	Options	McInnes, Donald Arthur	4	05/09/2016	37	0.41	42,975	-99,579
Alterra Power Corp.	Common Shares	Rapp, Paul Anthony	5	06/09/2016	37		22,817	-205,360
Alterra Power Corp.	Options	Rapp, Paul Anthony	5	06/09/2016	37		1,392,147	-202,500
Alterra Power Corp.	Options	Rapp, Paul Anthony	5	06/09/2016	37		1,329,147	-63,000
Alterra Power Corp.	Options	Rapp, Paul Anthony	5	06/09/2016	37		1,224,499	-104,648
Alterra Power Corp.	Options	Rapp, Paul Anthony	5	06/09/2016	37		1,066,999	-157,500
Alterra Power Corp.	Options	Rapp, Paul Anthony	5	06/09/2016	37		732,493	-334,506
Alterra Power Corp.	Options	Rapp, Paul Anthony	5	06/09/2016	37		435,493	-297,000
Alterra Power Corp.	Options	Rapp, Paul Anthony	5	06/09/2016	37		159,463	-276,030
Alterra Power Corp.	Common Shares	Schintler, Jonathan	5	06/09/2016	37		8,000	-72,000
Alterra Power Corp.	Options	Schintler, Jonathan	5	06/09/2016	37		971,566	-37,350
Alterra Power Corp.	Options	Schintler, Jonathan	5	06/09/2016	37		881,566	-90,000
Alterra Power Corp.	Options	Schintler, Jonathan	5	06/09/2016	37		660,331	-221,235
Alterra Power Corp.	Options	Schintler, Jonathan	5	06/09/2016	37		363,331	-297,000
Alterra Power Corp.	Options	Schintler, Jonathan	5	06/09/2016	37		100,891	-262,440
Alterra Power Corp.	Common Shares	Shumka, Donald	4	06/09/2016	37			-36,000
Alterra Power Corp.	Common Shares	Shumka, Donald	4	06/09/2016	37		40,000	-360,000
Alterra Power Corp.	Common Shares	Shumka, Donald	4	06/09/2016	37		4,000	-36,000
Alterra Power Corp.	Common Shares	Shumka, Donald	4	06/09/2016	37		4,000	-36,000
Alterra Power Corp.	Common Shares	Shumka, Donald	4	06/09/2016	37		4,000	-36,000
Alterra Power Corp.	Options	Shumka, Donald	4	06/09/2016	37		410,000	-90,000
Alterra Power Corp.	Options	Shumka, Donald	4	06/09/2016	37		320,000	-90,000
Alterra Power Corp.	Options	Shumka, Donald	4	06/09/2016	37		230,000	-90,000
Alterra Power Corp.	Options	Shumka, Donald	4	06/09/2016	37		140,000	-90,000
Alterra Power Corp.	Options	Shumka, Donald	4	06/09/2016	37		50,000	-90,000
Alterra Power Corp.	Common Shares	Sutton, John Jay Kenneth	5	06/09/2016	37		43,558	-392,029
Alterra Power Corp.	Options	Sutton, John Jay Kenneth	5	06/09/2016	37		1,562,892	-208,864
Alterra Power Corp.	Options	Sutton, John Jay Kenneth	5	06/09/2016	37		1,467,503	-95,389
Alterra Power Corp.	Options	Sutton, John Jay Kenneth	5	06/09/2016	37			-105,887
Alterra Power Corp.	Options	Sutton, John Jay Kenneth	5	06/09/2016	37		1,361,622	-105,881
Alterra Power Corp.	Options	Sutton, John Jay Kenneth	5	06/09/2016	37		1,204,122	-157,500
Alterra Power Corp.	Options	Sutton, John Jay Kenneth	5	06/09/2016	37		865,674	-338,448
Alterra Power Corp.	Options	Sutton, John Jay Kenneth	5	06/09/2016	37		505,674	-360,000
Alterra Power Corp.	Options	Sutton, John Jay Kenneth	5	06/09/2016	37		177,174	-328,500
Alterra Power Corp.	Common Shares	Webber, Shannon Dawn	5	06/09/2016	37		10,000	-90,000
Alterra Power Corp.	Options	Webber, Shannon Dawn	5	06/09/2016	37		339,700	-297,000
Alterra Power Corp.	Options	Webber, Shannon Dawn	5	06/09/2016	37		63,670	-276,030
Altius Minerals Corporation	Common Shares	Altius Minerals Corporation	1	02/09/2016	38	9.566	51,800	51,800
Altus Group Limited	Common Shares	Derbyshire, Jim	5	02/09/2016	10	28.96	74,185	-100
Altus Group Limited	Common Shares	Derbyshire, Jim	5	02/09/2016	10	28.94	73,785	-400
Altus Group Limited	Common Shares	Derbyshire, Jim	5	02/09/2016	10	28.93	73,585	-200

**Insider Reporting**

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Altus Group Limited	Common Shares	Derbyshire, Jim	5	02/09/2016	10	28.91	73,385	-200
Altus Group Limited	Common Shares	Derbyshire, Jim	5	02/09/2016	10	28.88	72,785	-600
Altus Group Limited	Common Shares	Derbyshire, Jim	5	02/09/2016	10	28.84	71,785	-1,000
Altus Group Limited	Common Shares	Derbyshire, Jim	5	02/09/2016	10	28.83	71,085	-700
Altus Group Limited	Common Shares	Derbyshire, Jim	5	02/09/2016	10	28.82	70,585	-500
Altus Group Limited	Common Shares	Derbyshire, Jim	5	02/09/2016	10	28.8	55,485	-15,100
Altus Group Limited	Common Shares	Derbyshire, Jim	5	02/09/2016	10	28.77	54,985	-500
Altus Group Limited	Common Shares	Derbyshire, Jim	5	02/09/2016	10	28.76	54,885	-100
Altus Group Limited	Common Shares	Derbyshire, Jim	5	02/09/2016	10	28.75	36,985	-17,900
Altus Group Limited	Common Shares	Derbyshire, Jim	5	06/09/2016	10	29.19	36,885	-100
Altus Group Limited	Common Shares	Derbyshire, Jim	5	06/09/2016	10	29.15	35,785	-1,100
Altus Group Limited	Common Shares	Derbyshire, Jim	5	06/09/2016	10	29.12	35,285	-500
Altus Group Limited	Common Shares	Derbyshire, Jim	5	06/09/2016	10	29.11	35,185	-100
Altus Group Limited	Common Shares	Derbyshire, Jim	5	06/09/2016	10	29.1	24,285	-10,900
ARC Resources Ltd.	Common Shares	Dielwart, John Patrick	4	15/08/2016	30	22.95	46,592	101
ARC Resources Ltd.	Common Shares	Dielwart, John Patrick	4	15/08/2016	30	22.95	24,964	54
ARC Resources Ltd.	Common Shares	Dielwart, John Patrick	4	15/08/2016	30	22.95	1,848	4
ARC Resources Ltd.	Common Shares	Dielwart, John Patrick	4	15/08/2016	30	22.95	2,203	4
ARC Resources Ltd.	Common Shares	Dielwart, John Patrick	4	15/08/2016	30	22.95	2,014	4
ARC Resources Ltd.	Common Shares	Dielwart, John Patrick	4	30/08/2016	97		0	-2,014
ARC Resources Ltd.	Common Shares	Dielwart, John Patrick	4	30/08/2016	97		133,684	2,014
ARC Resources Ltd.	Common Shares	Dielwart, John Patrick	4	15/08/2016	30	22.95	178,169	387
ARC Resources Ltd.	Common Shares	Dyment, Fred J.	4	15/07/2016	30		49,492	113
ARC Resources Ltd.	Common Shares	Groeneveld, Neil Adrian	5	15/08/2016	30	22.93	43,160	47
Arch Biopartners Inc.	Options	Gunne, Conor Michael	4	29/08/2016	50	0.5	150,000	50,000
Arctic Star Exploration Corp.	Common Shares	Jassal, Brijender (Binny) Jassal	5	06/09/2016	10	0.03		-65,500
Arctic Star Exploration Corp.	Common Shares	Jassal, Brijender (Binny) Jassal	5	06/09/2016	10	0.03	548,000	-65,550
Arcturus Growthstar Technologies Inc.	Common Shares	Withrow, Mike Withrow	6	08/09/2016	10	0.11	4,048,000	-380,000
Arcturus Growthstar Technologies Inc.	Common Shares	Withrow, Mike Withrow	6	08/09/2016	10	0.115	4,112,500	64,500
Arcturus Growthstar Technologies Inc.	Common Shares	Withrow, Mike Withrow	6	08/09/2016	10	0.12	4,262,500	150,000
Arcturus Growthstar Technologies Inc.	Common Shares	Withrow, Mike Withrow	6	08/09/2016	10	0.13	4,287,500	25,000
Arcus Development Group Inc.	Common Shares	ATAC Resources Ltd.	3	19/08/2016	00		11,399,910	
Arcus Development Group Inc.	Warrants	ATAC Resources Ltd.	3	19/08/2016	00		5,000,000	
Argentum Silver Corp.	Common Shares	Sprott Mining Inc.	3	02/09/2016	00		15,000,000	
Argentum Silver Corp.	Warrants	Sprott Mining Inc.	3	02/09/2016	00		15,000,000	
Argentum Silver Corp.	Common Shares	Sprott, Eric S.	3	02/09/2016	00		15,000,000	
Argentum Silver Corp.	Warrants	Sprott, Eric S.	3	02/09/2016	00		15,000,000	
Arsenal Energy Inc.	Common Shares	Taylor, Gjoa	5	07/09/2016	10	1.2	29,378	-5,000
Arsenal Energy Inc.	Common Shares	Taylor, Gjoa	5	08/09/2016	10	1.21	24,378	-5,000
Arsenal Energy Inc.	Common Shares	Taylor, Gjoa	5	09/09/2016	10	1.2	-232	-24,610
Astar Minerals Ltd.	Common Shares	Allibhai, Abdul Rahim	5	06/09/2016	10	0.1	310,500	30,000
Astori Resources Ltd.	Common Shares	MacDougall, Sandy James	4, 5	01/09/2016	10		836,500	-57,000
ATCO LTD.	Non-Voting Shares Class I	Maher, Anthony L.	5	08/09/2016	30	46.37	993	53
ATCO LTD.	Non-Voting Shares Class I	Maher, Anthony L.	5	08/09/2016	10	48.42	793	-200
Athabasca Minerals Inc.	Common Shares	Woods, William Nelson	5	09/09/2016	10	0.17	20,500	500
Atlantic Gold Corporation (previously Spur Ventures Inc.)	Warrants	Beedie, Ryan K	3, 4	24/06/2015	00			
Atlantic Gold Corporation (previously Spur Ventures Inc.)	Warrants	Beedie, Ryan K	3, 4	29/08/2016	11	0.25		3,000,000
Atlantic Gold Corporation (previously Spur Ventures Inc.)	Warrants	Beedie, Ryan K	3, 4	29/08/2016	11	0.25	3,000,000	3,000,000

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Atrium Mortgage Investment Corporation	Common Shares	Lockhart, Nancy	4	08/09/2016	10	12.116	109,400	-2,200
Atrium Mortgage Investment Corporation	Common Shares	Lockhart, Nancy	4	08/09/2016	10	12.115	107,400	-2,000
Atrium Mortgage Investment Corporation	Common Shares	Lockhart, Nancy	4	08/09/2016	10	12.12	106,400	-1,000
Atrium Mortgage Investment Corporation	Common Shares	Lockhart, Nancy	4	08/09/2016	10	12.12	105,600	-800
Aura Minerals Inc.	Options	Keith, Stephen	4	22/08/2016	52	1.87	1,125,000	-260,000
Aura Minerals Inc.	Options	Mars, Patrick James	4, 5	22/08/2016	52	1.87	1,710,000	-150,000
Aura Minerals Inc.	Options	Reed, Monty	7	22/08/2016	52	1.87	1,314,286	-100,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	3	29/08/2016	10	0.45	5,891,500	2,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	3	29/08/2016	10	0.44	5,894,500	3,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	3	29/08/2016	10	0.43	5,898,500	4,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	3	30/08/2016	10	0.45	5,903,500	5,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	3	31/08/2016	10	0.44	5,904,500	1,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	3	31/08/2016	10	0.425	5,909,500	5,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	3	02/09/2016	10	0.44	5,916,500	7,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	3	06/09/2016	10	0.44	5,923,500	7,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	3	06/09/2016	10	0.43	5,931,500	8,000
Aurora Cannabis Inc. (formerly Prescient Mining Corp.)	Common Shares	Mayerson, Christopher	7	26/08/2016	10	0.75	3,050,000	-50,000
Aurora Cannabis Inc. (formerly Prescient Mining Corp.)	Common Shares	Mayerson, Christopher	7	29/08/2016	10	0.86	2,993,000	-57,000
Aurora Cannabis Inc. (formerly Prescient Mining Corp.)	Common Shares	Mayerson, Christopher	7	15/08/2016	10	0.65	467,000	-17,500
Aurora Cannabis Inc. (formerly Prescient Mining Corp.)	Common Shares	Mayerson, Christopher	7	16/08/2016	10	0.66	384,500	-82,500
Aurora Cannabis Inc. (formerly Prescient Mining Corp.)	Common Shares	Mayerson, Christopher	7	23/08/2016	10	0.65	284,500	-100,000
Aurora Cannabis Inc. (formerly Prescient Mining Corp.)	Common Shares	Mayerson, Christopher	7	24/08/2016	10	0.68	200,000	-84,500
Aurvista Gold Corporation	Options	Minty, Keith Charles	5	30/08/2016	00			
Aurvista Gold Corporation	Options	Minty, Keith Charles	5	30/08/2016	50	0.24	400,000	400,000
Avante Logixx Inc.	Options	De Shane, William Wesley	4	17/11/2015	50			75,000
Avante Logixx Inc.	Options	De Shane, William Wesley	4	17/11/2015	50		522,500	75,000
Avante Logixx Inc.	Options	Leeder, James Joseph	4	06/09/2016	50	0.26	445,000	75,000
Avante Logixx Inc.	Options	Leeder, James Joseph	4	06/09/2016	52		392,500	-52,500
Avante Logixx Inc.	Options	Rossolatos, George	4, 5	06/09/2016	50		4,075,000	75,000
Avante Logixx Inc.	Common Shares	Verner, Leland	4, 5	31/08/2016	10	0.26	354,200	-80,800
Avante Logixx Inc.	Options	Verner, Leland	4, 5	06/09/2016	50		425,000	75,000
Avante Logixx Inc.	Common Shares	Wechsler, Rodney Alan	7	31/08/2016	10	0.26	2,014,091	80,800
Avanti Energy Inc.	Common Shares	Frascogna, Steven Mark	5	31/05/2013	00			
Avanti Energy Inc.	Common Shares	Frascogna, Steven Mark	5	31/05/2013	00		304,104	
Avigilon Corporation	Common Shares	Berg, Lawrence R.	4	07/09/2016	10	8.787	36,332	10,000
Avigilon Corporation	Common Shares	Berg, Lawrence R.	4	07/09/2016	10	8.7856	51,332	15,000
Avigilon Corporation	Common Shares	Tevlin, Murray	4	07/09/2016	10	8.81	9,796	2,200
Avigilon Corporation	Common Shares	Tevlin, Murray	4	08/09/2016	10	8.86	11,996	2,200
Avivagen Inc.	Warrants	Allan, David	4	13/05/2015	00			
Avivagen Inc.	Warrants	Allan, David	4	13/05/2015	00		533,000	
Ballard Power Systems Inc.	Common Shares	Osenar, Paul	7	31/08/2016	47	2.07	468,340	-1,250
Bank of Montreal	Deferred Share Units	Babiak, Jan	4	26/02/2016	35	74.15	10,179	105
Bank of Montreal	Deferred Share Units	Brochu, Sophie	4	26/02/2016	35	74.15	14,808	158
Bank of Montreal	Deferred Share Units	Cope, George	4	26/02/2016	35	74.15	30,752	337
Bank of Montreal	Deferred Share Units	Edwards, Christine A.	4	26/02/2016	35	74.15	19,548	209
Bank of Montreal	Deferred Share Units	Eichenbaum, Martin Stewart	4	26/02/2016	35	74.15	2,471	19

**Insider Reporting**

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Bank of Montreal	Deferred Share Units	Farmer, Ron	4	26/02/2016	35	74.15	50,365	554
Bank of Montreal	Deferred Share Units	Mitchelmore, Lorraine	4	26/02/2016	35	74.15	2,471	19
Bank of Montreal	Deferred Share Units	Orsino, Philip	4	26/02/2016	35	74.15	66,507	735
Bank of Montreal	Deferred Share Units	Prichard, John Robert Stobo	4, 7	26/02/2016	35	74.15	78,739	773
Bank of Montreal	Deferred Share Units	Prichard, John Robert Stobo	4, 7	26/05/2016	35	83.8	81,277	86
Bank of Montreal	Deferred Share Units	Prichard, John Robert Stobo	4, 7	26/08/2016	35	86.84	83,761	91
Bank of Montreal	Deferred Share Units	RICHER LA FLÈCHE, ERIC	4	26/02/2016	35	74.15	11,244	118
Bank of Montreal	Deferred Share Units	Wilson III, Don Matthew	4	26/02/2016	35	74.15	34,014	370
Bank of Nova Scotia, The	Common Shares	Alexander, Deborah	5	06/09/2016	51	52.57	52,748	37,648
Bank of Nova Scotia, The	Common Shares	Alexander, Deborah	5	06/09/2016	10	70.081	15,100	-37,648
Bank of Nova Scotia, The	Common Shares	Alexander, Deborah	5	06/09/2016	51	33.89	85,180	70,080
Bank of Nova Scotia, The	Common Shares	Alexander, Deborah	5	06/09/2016	10	70.51	15,100	-70,080
Bank of Nova Scotia, The	Options	Alexander, Deborah	5	06/09/2016	51	52.57	382,786	-37,648
Bank of Nova Scotia, The	Options	Alexander, Deborah	5	06/09/2016	51	33.89	312,706	-70,080
Bank of Nova Scotia, The	Common Shares	Porter, Brian J	4, 5	08/09/2016	51	49.93	120,040	25,176
Bank of Nova Scotia, The	Common Shares	Porter, Brian J	4, 5	08/09/2016	10	70.171	94,864	-25,176
Bank of Nova Scotia, The	Options	Porter, Brian J	4, 5	08/09/2016	51	49.93	744,420	-25,176
Banro Corporation	Senior Secured Notes	Baiyin Nonferrous Group Co. Ltd.	3	25/02/2016	00		\$40,000,000	
Bellatrix Exploration Ltd.	Common Shares	Oicle, Russell G.	5	02/09/2016	10	1.01	175,000	3,000
Bellatrix Exploration Ltd.	Common Shares	Oicle, Russell G.	5	02/09/2016	10	1.01	180,000	5,000
Bengal Energy Ltd.	Common Shares	Blanchard, Jerrad James	5	30/08/2016	30	0.1947	166,610	6,161
Bengal Energy Ltd.	Common Shares	Blanchard, Jerrad James	5	30/08/2016	30	0.1947	249,880	9,241
Bengal Energy Ltd.	Common Shares	Chakrabarty, Chayan	4, 5	30/08/2016	30	0.1947	486,553	19,682
Bengal Energy Ltd.	Common Shares	MacMahon, Gordon R	5	30/08/2016	30	0.1947	371,037	15,403
BioSynt Inc.	Common Shares	Larson, Douglas Robert	4, 5	08/09/2016	10	8.35	13,500	-800
BioSynt Inc.	Common Shares	Montador, Paul	4	22/08/2016	51	8.22	75,000	25,000
BIOX Corporation	Common Shares	Rickard, Alan Donald	4, 7, 5	02/11/2015	00			
BIOX Corporation	Common Shares	Rickard, Alan Donald	4, 7, 5	02/09/2016	10	0.59	1,000	1,000
BIOX Corporation	Common Shares	Rickard, Alan Donald	4, 7, 5	02/09/2016	10	0.6	63,000	62,000
BIOX Corporation	Common Shares	Rickard, Alan Donald	4, 7, 5	06/09/2016	10	0.59	64,000	1,000
BIOX Corporation	Common Shares	Rickard, Alan Donald	4, 7, 5	07/09/2016	10	0.6	80,000	16,000
BIOX Corporation	Common Shares	Rickard, Alan Donald	4, 7, 5	07/09/2016	10	0.59	81,000	1,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$35,119,000	\$35,119,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$8,000,000	\$8,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$3,500,000	\$3,500,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$12,000,000	\$12,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$10,000,000	\$10,000,000

## Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$26,900,000	\$26,900,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$29,125,000	\$2,225,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$31,447,000	\$2,322,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$34,685,000	\$3,238,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$35,941,000	\$1,256,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$10,000,000	\$10,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$14,000,000	\$14,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$16,840,000	\$16,840,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$8,000,000	\$8,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$39,500,000	\$39,500,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$6,250,000	\$6,250,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$64,000,000	\$64,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$113,043,000	\$113,043,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$134,733,000	\$21,690,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$42,117,000	\$42,117,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$20,000,000	\$20,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$10,000,000	\$10,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$15,000,000	\$15,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	13/11/2013	00			
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 3.75% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	07/09/2016	11		\$15,000,000	\$15,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$35,119,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$8,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$3,500,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$12,000,000	-\$10,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$12,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$9,041,000	-\$26,900,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$6,816,000	-\$2,225,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$4,494,000	-\$2,322,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$1,256,000	-\$3,238,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$1,256,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$14,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$10,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$16,840,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$6,250,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$8,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$64,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$39,500,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$21,690,000	-\$113,043,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$21,690,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$42,117,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$20,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$10,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$15,000,000
BlackBerry Limited (formerly Research In Motion Limited)	Debentures 6% Convertible Unsecured due November 13, 2020	Fairfax Financial Holdings Limited	3	02/09/2016	38		\$0	-\$15,000,000
Blackbird Energy Inc.	Common Shares	GMT Capital Corp	4	02/09/2016	10	0.24	19,845,100	11,700
Blackbird Energy Inc.	Common Shares	GMT Capital Corp	4	02/09/2016	10	0.24	20,631,200	12,700
Blackbird Energy Inc.	Common Shares	GMT Capital Corp	4	02/09/2016	10	0.24	37,100,400	19,200
Blackbird Energy Inc.	Common Shares	GMT Capital Corp	4	02/09/2016	10	0.24	977,400	1,100
Blackbird Energy Inc.	Common Shares	GMT Capital Corp	4	02/09/2016	10	0.24	3,381,000	1,800
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	02/08/2016	38	9.09	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	02/08/2016	38	9.09	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	03/08/2016	38	9.04	1,000	1,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	03/08/2016	38	9.04	0	-1,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	11/08/2016	38	9.26	500	500
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	11/08/2016	38	9.26	0	-500
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	16/08/2016	38	9.31	200	200
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	16/08/2016	38	9.31	0	-200
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	24/08/2016	38	9.43	500	500
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	24/08/2016	38	9.43	0	-500

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	25/08/2016	38	9.39	4,000	4,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	25/08/2016	38	9.39	0	-4,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	30/08/2016	38	9.32	4,000	4,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	30/08/2016	38	9.32	0	-4,000
Bonavista Energy Corporation	Common Shares	Robinson, Lynda Julie	5	07/09/2016	10	4.44	67,629	-4,500
Bonterra Energy Corp.	Common Shares	Jonsson, Carl Roland	4	31/08/2016	50	25.8	192,777	25,000
Braveheart Resources Inc. (formerly, Rainbow Resources Inc.)	Common Shares	Falconer, Alexander	3, 4, 5	30/08/2016	16	0.05	1,353,900	200,000
Braveheart Resources Inc. (formerly, Rainbow Resources Inc.)	Warrants	Falconer, Alexander	3, 4, 5	30/08/2016	16		784,200	200,000
Braveheart Resources Inc. (formerly, Rainbow Resources Inc.)	Warrants	Falconer, Alexander	3, 4, 5	09/09/2016	90		684,200	-100,000
Braveheart Resources Inc. (formerly, Rainbow Resources Inc.)	Common Shares	Johnston, David Walter	4, 5	01/12/2011	00			
Braveheart Resources Inc. (formerly, Rainbow Resources Inc.)	Common Shares	Johnston, David Walter	4, 5	30/08/2016	16	0.05	1,000,000	1,000,000
Braveheart Resources Inc. (formerly, Rainbow Resources Inc.)	Warrants	Johnston, David Walter	4, 5	30/08/2016	16		1,859,583	1,000,000
Braveheart Resources Inc. (formerly, Rainbow Resources Inc.)	Common Shares	Matlock, Aaron	4, 5	05/10/2015	00			
Braveheart Resources Inc. (formerly, Rainbow Resources Inc.)	Common Shares	Matlock, Aaron	4, 5	30/08/2016	16	0.05	540,000	540,000
Braveheart Resources Inc. (formerly, Rainbow Resources Inc.)	Warrants	Matlock, Aaron	4, 5	05/10/2015	00			
Braveheart Resources Inc. (formerly, Rainbow Resources Inc.)	Warrants	Matlock, Aaron	4, 5	30/08/2016	16		540,000	540,000
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	30/08/2016	38	2.4433	300	300
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	06/09/2016	38		0	-300
Brisio Innovations Inc.	Common Shares	Vestergaard, Steve	3, 4	31/08/2016	10	0.1	1,775,000	-100,000
Brisio Innovations Inc.	Options	Vestergaard, Steve	3, 4	28/02/2014	50		270,000	70,000
Brisio Innovations Inc.	Options	Vestergaard, Steve	3, 4	04/08/2016	50		345,000	75,000
Brookfield Asset Management Inc.	Preferred Shares Class A Series 24	Brookfield Asset Management Inc.	1	08/07/2016	38	15.282	14,231	14,231
Brookfield Asset Management Inc.	Preferred Shares Class A Series 24	Brookfield Asset Management Inc.	1	29/07/2016	38		0	-14,231
Brookfield Asset Management Inc.	Preferred Shares Class A Series 24	Brookfield Asset Management Inc.	1	31/07/2016	38	15.282		14,231
Brookfield Asset Management Inc.	Preferred Shares Class A Series 24	Brookfield Asset Management Inc.	1	31/07/2016	38	15.282		14,231
Brookfield Asset Management Inc.	Preferred Shares Class A Series 24	Brookfield Asset Management Inc.	1	31/07/2016	38			-14,231
Brookfield Asset Management Inc.	Preferred Shares Class A Series 24	Brookfield Asset Management Inc.	1	31/07/2016	38			-14,231
Brookfield Asset Management Inc.	Preferred Shares Class A Series 26	Brookfield Asset Management Inc.	1	19/07/2016	38	15.014	21,846	21,846
Brookfield Asset Management Inc.	Preferred Shares Class A Series 26	Brookfield Asset Management Inc.	1	29/07/2016	38		0	-21,846
Brookfield Asset Management Inc.	Preferred Shares Class A Series 28	Brookfield Asset Management Inc.	1	06/07/2016	38	12.88	2,827	2,827



**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Brookfield Asset Management Inc.	Preferred Shares Class A Series 28	Brookfield Asset Management Inc.	1	29/07/2016	38		0	-2,827
Brookfield Asset Management Inc.	Preferred Shares Class A Series 30	Brookfield Asset Management Inc.	1	08/07/2016	38	18.699	7,932	7,932
Brookfield Asset Management Inc.	Preferred Shares Class A Series 30	Brookfield Asset Management Inc.	1	29/07/2016	38		0	-7,932
Brookfield Asset Management Inc.	Preferred Shares Class A Series 32	Brookfield Asset Management Inc.	1	08/07/2016	38	18.391	6,537	6,537
Brookfield Asset Management Inc.	Preferred Shares Class A Series 32	Brookfield Asset Management Inc.	1	29/07/2016	38	18.391		6,537
Brookfield Asset Management Inc.	Preferred Shares Class A Series 32	Brookfield Asset Management Inc.	1	29/07/2016	38	18.391		6,537
Brookfield Asset Management Inc.	Preferred Shares Class A Series 32	Brookfield Asset Management Inc.	1	29/07/2016	38		0	-6,537
Brookfield Asset Management Inc.	Preferred Shares Class A Series 34	Brookfield Asset Management Inc.	1	08/07/2016	38	17.165	4,102	4,102
Brookfield Asset Management Inc.	Preferred Shares Class A Series 34	Brookfield Asset Management Inc.	1	29/07/2016	38		0	-4,102
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Asset Management Inc.	3	02/09/2016	10	30.19	4,863,872	18,390
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Asset Management Inc.	3	02/09/2016	10	23.23	4,877,062	13,190
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Asset Management Inc.	3	06/09/2016	10	29.96	4,895,262	18,200
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Asset Management Inc.	3	06/09/2016	10	23.3	4,910,037	14,775
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Asset Management Inc.	3	07/09/2016	10	29.83	4,928,427	18,390
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Asset Management Inc.	3	07/09/2016	10	23.14	4,943,202	14,775
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Asset Management Inc.	3	08/09/2016	10	29.72	4,961,502	18,300
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Asset Management Inc.	3	08/09/2016	10	23.01	4,976,277	14,775
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Asset Management Inc.	3	09/09/2016	10	29.5	4,981,877	5,600
Brookfield Property Partners L.P.	Limited Partnership Units	Brookfield Asset Management Inc.	3	09/09/2016	10	22.64	4,985,674	3,797
Cache Exploration Inc.	Common Shares	Bal, Jatinder Singh	4	31/08/2016	10	0.115	1,204,500	-16,500
Cache Exploration Inc.	Common Shares	Bal, Jatinder Singh	4	01/09/2016	10	0.11	1,154,500	-50,000
Cadillac Ventures Inc.	Common Shares	Brewster, Norman	5	08/09/2016	10	0.03	3,583,120	-45,000
CAE Inc.	Common Shares	CAE INC.	1	02/08/2016	38	17.37	24,500	24,500
CAE Inc.	Common Shares	CAE INC.	1	03/08/2016	38	17.26	49,000	24,500
CAE Inc.	Common Shares	CAE INC.	1	04/08/2016	38	17.24	73,500	24,500
CAE Inc.	Common Shares	CAE INC.	1	05/08/2016	38	17.31	98,000	24,500
CAE Inc.	Common Shares	CAE INC.	1	08/08/2016	38	17.46	116,700	18,700
CAE Inc.	Common Shares	CAE INC.	1	12/08/2016	38	17.75	123,500	6,800
CAE Inc.	Common Shares	CAE INC.	1	15/08/2016	38	17.92	130,500	7,000
CAE Inc.	Common Shares	CAE INC.	1	16/08/2016	38	18.04	137,300	6,800
CAE Inc.	Common Shares	CAE INC.	1	17/08/2016	38	18.15	144,300	7,000
CAE Inc.	Common Shares	CAE INC.	1	18/08/2016	38	18.18	151,300	7,000
CAE Inc.	Common Shares	CAE INC.	1	19/08/2016	38	18.25	158,300	7,000
CAE Inc.	Common Shares	CAE INC.	1	22/08/2016	38	18.27	165,300	7,000
CAE Inc.	Common Shares	CAE INC.	1	23/08/2016	38	18.31	172,300	7,000
CAE Inc.	Common Shares	CAE INC.	1	24/08/2016	38	18.22	179,300	7,000
CAE Inc.	Common Shares	CAE INC.	1	25/08/2016	38	18.3	186,300	7,000
CAE Inc.	Common Shares	CAE INC.	1	26/08/2016	38	18.32	193,300	7,000
CAE Inc.	Common Shares	CAE INC.	1	29/08/2016	38	18.41	200,300	7,000
CAE Inc.	Common Shares	CAE INC.	1	30/08/2016	38	18.39	207,300	7,000
CAE Inc.	Common Shares	CAE INC.	1	31/08/2016	38	17.37		24,500
CAE Inc.	Common Shares	CAE INC.	1	31/08/2016	38	17.26		24,500
CAE Inc.	Common Shares	CAE INC.	1	31/08/2016	38	18.55	214,300	7,000
CAE Inc.	Common Shares	CAE INC.	1	31/08/2016	38	17.73	0	-214,300

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Caldwell U.S. Dividend Advantage Fund	Units	Caldwell US Dividend Advantage Fund	1	02/09/2016	38	9.539	250,200	1,000
Caldwell U.S. Dividend Advantage Fund	Units	Caldwell US Dividend Advantage Fund	1	06/09/2016	38	9.542	251,200	1,000
Caldwell U.S. Dividend Advantage Fund	Units	Caldwell US Dividend Advantage Fund	1	08/09/2016	38	9.5192	253,700	2,500
Caldwell U.S. Dividend Advantage Fund	Units	Caldwell US Dividend Advantage Fund	1	08/09/2016	38	9.55	254,700	1,000
Calian Group Ltd.	Common Shares	Basler, Raymond Gregory	4	06/09/2016	51	20.54	70,528	4,000
Calian Group Ltd.	Common Shares	Basler, Raymond Gregory	4	06/09/2016	10	23.27	66,728	-3,800
Calian Group Ltd.	Options	Basler, Raymond Gregory	4	06/09/2016	51	20.54	44,000	-4,000
Canaccord Genuity Group Inc.	Common Shares	de Rosnay, Alexis	7	05/09/2016	57		220,185	120,000
Canaccord Genuity Group Inc.	Common Shares	de Rosnay, Alexis	7	05/09/2016	10	4.5999	162,837	-57,348
Canaccord Genuity Group Inc.	Rights Restricted Share Units	de Rosnay, Alexis	7	05/09/2016	57		727,654	-120,000
Canadian Apartment Properties Real Estate Investment Trust	Trust Units	Schwartz, Thomas	4, 5	06/09/2016	10	30.36	414,269	-40
Canadian Apartment Properties Real Estate Investment Trust	Trust Units	Schwartz, Thomas	4, 5	08/09/2016	10	30.35	413,269	-1,000
Canadian Energy Services & Technology Corp.	Common Shares	Stewart, Donald Michael Godfrey	4	07/09/2016	10	4.8766	65,000	-10,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	02/08/2016	38	9.14	3,000	3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	02/08/2016	38	9.14	0	-3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	16/08/2016	38	9.26	500	500
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	16/08/2016	38	9.26	0	-500
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	16/08/2016	38	9.27	2,500	2,500
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	16/08/2016	38	9.27	0	-2,500
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	19/08/2016	38	9.39	3,000	3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	19/08/2016	38	9.39	0	-3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	22/08/2016	38	9.39	1,100	1,100
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	22/08/2016	38	9.39	0	-1,100
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	23/08/2016	38	9.39	1,100	1,100
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	23/08/2016	38	9.39	0	-1,100
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	25/08/2016	38	9.41	1,300	1,300
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	25/08/2016	38	9.41	0	-1,300
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	26/08/2016	38	9.41	5,000	5,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	26/08/2016	38	9.41	0	-5,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	29/08/2016	38	9.41	5,000	5,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	29/08/2016	38	9.41	0	-5,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	30/08/2016	38	9.35	5,000	5,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	30/08/2016	38	9.35	0	-5,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	31/08/2016	38	9.25	1,700	1,700

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	31/08/2016	38	9.25	0	-1,700
Canadian Imperial Bank of Commerce	Common Shares	Patterson, Kevin Joesph Robert	5	01/09/2016	47	104.12	3,996	-288
Canadian Natural Resources Limited	Common Shares	Fichter, Darren	5	08/09/2016	51	36.78	49,336	5,000
Canadian Natural Resources Limited	Common Shares	Fichter, Darren	5	08/09/2016	10	41.59	44,336	-5,000
Canadian Natural Resources Limited	Options	Fichter, Darren	5	08/09/2016	51	36.78	343,000	-5,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	01/08/2016	38	196.27	175,000	25,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	02/08/2016	38	192.58	218,285	43,285
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	02/08/2016	38		168,285	-50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	02/08/2016	38		143,285	-25,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	03/08/2016	38		93,285	-50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	04/08/2016	38		68,285	-25,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	05/08/2016	38		25,000	-43,285
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	12/08/2016	38	190.64	76,500	51,500
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	15/08/2016	38	193.04	126,500	50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	16/08/2016	38	191.31	176,500	50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	17/08/2016	38	192.42	226,500	50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	17/08/2016	38		175,000	-51,500
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	18/08/2016	38	193.6	225,000	50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	18/08/2016	38		175,000	-50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	19/08/2016	38	195.49	225,000	50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	19/08/2016	38		175,000	-50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	22/08/2016	38	196.88	225,000	50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	22/08/2016	38		175,000	-50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	23/08/2016	38	198.03	225,000	50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	23/08/2016	38		175,000	-50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	24/08/2016	38	197.69	225,000	50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	24/08/2016	38		175,000	-50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	25/08/2016	38	197.12	225,000	50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	25/08/2016	38		175,000	-50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	26/08/2016	38	199	225,000	50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	26/08/2016	38		175,000	-50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	29/08/2016	38	199.76	179,800	4,800
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	29/08/2016	38		129,800	-50,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	30/08/2016	38		79,800	-50,000
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	31/08/2016	38	199.95	97,300	17,500
Canadian Pacific Railway Limited	Common Shares	Canadian Pacific Railway Limited	1	31/08/2016	38		47,300	-50,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	02/09/2016	38	137.01	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	02/09/2016	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	06/09/2016	38	136.04	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	06/09/2016	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	07/09/2016	38	134.92	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	07/09/2016	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	08/09/2016	38	132.93	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	08/09/2016	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	09/09/2016	38	131.24	15,000	15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	09/09/2016	38		0	-15,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Christie, James Robert	5	08/09/2016	10	132.99	3,500	1,000
Canadian Western Bank	Options	Garvey, Randell William	5	11/03/2016	50		198,209	29,790
Canadian Western Bank	Rights Performance Share Units	Garvey, Randell William	5	31/08/2016	30		15,067	430
Canadian Western Bank	Rights Restricted Share Units	Garvey, Randell William	5	31/08/2016	56		14,489	5,655
Canadian Western Bank	Rights Restricted Share Units	Garvey, Randell William	5	31/08/2016	59		9,980	-4,509
Canadian Western Bank	Options	Sprung, Greg	5	05/07/2016	52	30.757		-7,683
Canadian Western Bank	Options	Sprung, Greg	5	05/07/2016	52	30.757	155,854	-7,683
Canlan Ice Sports Corp.	Common Shares	Wu, Ivan C.	5	01/04/2001	00			
Canlan Ice Sports Corp.	Common Shares	Wu, Ivan C.	5	09/09/2016	10	3.6	1,000	1,000
Canso Credit Income Fund	Units Class A Units	Canso Investment Counsel Ltd.	7	07/09/2016	10	10.549	231,652	11,000
Canso Select Opportunities Fund	Units Class A	Canso Investment Counsel Ltd.	7	07/09/2016	10	8.215	107,600	1,000
Capital Power Corporation	Common Shares	Mulligan, Margaret Jean	4	29/01/2016	30	18.545	12,416	239
Capital Power Corporation	Common Shares	Mulligan, Margaret Jean	4	29/04/2016	30	17.959	12,667	251
Capital Power Corporation	Common Shares	Mulligan, Margaret Jean	4	29/07/2016	30	20.797	12,889	222
Cara Operations Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	3	10/04/2015	00			
Cara Operations Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	3	02/09/2016	36		239,400	239,400
Cara Operations Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	3	10/04/2015	00			
Cara Operations Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	3	02/09/2016	36		786,401	786,401
Cara Operations Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	3	10/04/2015	00			
Cara Operations Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	3	02/09/2016	36		68,376	68,376
Cara Operations Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	3	10/04/2015	00			
Cara Operations Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	3	02/09/2016	36		1,025,500	1,025,500
Cara Operations Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	3	10/04/2015	00			
Cara Operations Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	3	02/09/2016	36		512,703	512,703

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Cara Operations Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	3	10/04/2015	00			
Cara Operations Limited	Subordinate Voting Shares	Fairfax Financial Holdings Limited	3	02/09/2016	36		854,800	854,800
Cara Operations Limited	Subscription Receipts	Fairfax Financial Holdings Limited	3	02/09/2016	36		0	-239,400
Cara Operations Limited	Subscription Receipts	Fairfax Financial Holdings Limited	3	02/09/2016	36		0	-786,401
Cara Operations Limited	Subscription Receipts	Fairfax Financial Holdings Limited	3	02/09/2016	36		0	-68,376
Cara Operations Limited	Subscription Receipts	Fairfax Financial Holdings Limited	3	02/09/2016	36		0	-1,025,500
Cara Operations Limited	Subscription Receipts	Fairfax Financial Holdings Limited	3	02/09/2016	36		0	-512,703
Cara Operations Limited	Subscription Receipts	Fairfax Financial Holdings Limited	3	02/09/2016	36		0	-854,800
Cardiff Energy Corp.	Common Shares	Bal, Jatinder Singh	4	01/09/2016	10	0.025	1,700,000	-125,000
Cardinal Energy Ltd.	Common Shares	Hyde, Tim	5	08/09/2016	10	8.68	196,477	-25,000
Cardinal Energy Ltd.	Common Shares	Shevkenek, Connie	5	01/09/2016	00		14,500	
Cardinal Energy Ltd.	Common Shares	Shevkenek, Connie	5	01/09/2016	00			
Cardinal Energy Ltd.	Common Shares	Shevkenek, Connie	5	01/09/2016	00			
Cardinal Energy Ltd.	Common Shares	Shevkenek, Connie	5	01/09/2016	00		22,617	
Cardinal Energy Ltd.	Restricted Bonus Awards	Shevkenek, Connie	5	01/09/2016	00		34,697	
Carl Data Solutions Inc.	Common Shares	BDirect Online Communications Inc	4, 5	20/06/2016	36	0.45	1,927,591	51,111
Carl Data Solutions Inc.	Common Shares	BDirect Online Communications Inc	4, 5	20/06/2016	46	0.48	2,252,591	325,000
Cascades Inc.	Common Shares	Lemaire, Bernard	3	07/09/2016	10	11.7	11,087,619	-7,300
Cascades Inc.	Common Shares	Lemaire, Bernard	3	07/09/2016	10	11.71	11,086,019	-1,600
Cascades Inc.	Common Shares	Lemaire, Bernard	3	07/09/2016	10	11.76	11,084,919	-1,100
Cascades Inc.	Common Shares	Lemaire, Bernard	3	08/09/2016	10	11.7	11,044,359	-40,560
Cascades Inc.	Common Shares	Malo, Charles	7	06/09/2016	51		54,869	10,494
Cascades Inc.	Common Shares	Malo, Charles	7	06/09/2016	51		64,309	9,440
Cascades Inc.	Options options d'achat d'actions ordinaires	Malo, Charles	7	06/09/2016	51		100,627	-10,494
Cascades Inc.	Options options d'achat d'actions ordinaires	Malo, Charles	7	06/09/2016	51		91,187	-9,440
Cava Resources Inc. (formerly Sea Green Capital Corp.)	Common Shares	Murray, Robert Brian	4	02/09/2016	10	0.7	578,730	2,500
Champion Iron Limited	Common Shares Ordinary Shares (as per Australian securities law)	O'Keeffe, William Michael	4	07/09/2016	10	0.25	30,036,930	260,000
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	15/08/2016	38	10.35	1,857	1,857
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	15/08/2016	38		0	-1,857
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	16/08/2016	38	10.452	4,657	4,657
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	16/08/2016	38		0	-4,657
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	17/08/2016	38	10.614	4,657	4,657
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	17/08/2016	38		0	-4,657
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	18/08/2016	38	10.604	3,657	3,657
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	18/08/2016	38		0	-3,657
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	19/08/2016	38	10.544	3,257	3,257
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	19/08/2016	38		0	-3,257
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	22/08/2016	38	10.521	4,657	4,657
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	22/08/2016	38		0	-4,657
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	23/08/2016	38	10.634	4,657	4,657
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	23/08/2016	38		0	-4,657
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	24/08/2016	38	10.975	957	957
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	24/08/2016	38		0	-957
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	25/08/2016	38	10.967	2,400	2,400
Chesswood Group Limited	Common Shares	Chesswood Group Limited	1	25/08/2016	38		0	-2,400

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
CI Financial Corp.	Common Shares	CI Financial Corp.	1	05/08/2016	38	25.99	564,961	564,961
CI Financial Corp.	Common Shares	CI Financial Corp.	1	05/08/2016	38		0	-564,961
CI Financial Corp.	Common Shares	CI Financial Corp.	1	17/08/2016	38	25	33,261	33,261
CI Financial Corp.	Common Shares	CI Financial Corp.	1	17/08/2016	38		0	-33,261
CI Financial Corp.	Common Shares	CI Financial Corp.	1	18/08/2016	38	24.998	34,500	34,500
CI Financial Corp.	Common Shares	CI Financial Corp.	1	18/08/2016	38		0	-34,500
CI Financial Corp.	Common Shares	CI Financial Corp.	1	19/08/2016	38	24.999	42,600	42,600
CI Financial Corp.	Common Shares	CI Financial Corp.	1	19/08/2016	38		0	-42,600
CI Financial Corp.	Common Shares	CI Financial Corp.	1	22/08/2016	38	24.95	2,900	2,900
CI Financial Corp.	Common Shares	CI Financial Corp.	1	22/08/2016	38		0	-2,900
CI Financial Corp.	Common Shares	CI Financial Corp.	1	23/08/2016	38	25.211	40,300	40,300
CI Financial Corp.	Common Shares	CI Financial Corp.	1	23/08/2016	38		0	-40,300
CI Financial Corp.	Common Shares	CI Financial Corp.	1	24/08/2016	38	25.373	34,000	34,000
CI Financial Corp.	Common Shares	CI Financial Corp.	1	24/08/2016	38		0	-34,000
CI Financial Corp.	Common Shares	CI Financial Corp.	1	25/08/2016	38	25.367	60,300	60,300
CI Financial Corp.	Common Shares	CI Financial Corp.	1	25/08/2016	38		0	-60,300
CI Financial Corp.	Common Shares	CI Financial Corp.	1	26/08/2016	38	25.346	54,700	54,700
CI Financial Corp.	Common Shares	CI Financial Corp.	1	26/08/2016	38		0	-54,700
CI Financial Corp.	Common Shares	CI Financial Corp.	1	29/08/2016	38	25.217	64,500	64,500
CI Financial Corp.	Common Shares	CI Financial Corp.	1	29/08/2016	38		0	-64,500
CI Financial Corp.	Common Shares	CI Financial Corp.	1	29/08/2016	38	25.217		64,500
CI Financial Corp.	Common Shares	CI Financial Corp.	1	30/08/2016	38	25.274	31,500	31,500
CI Financial Corp.	Common Shares	CI Financial Corp.	1	30/08/2016	38		0	-31,500
CIBT Education Group Inc.	Common Shares	Capital Alliance Group Inc.	1	02/09/2016	10	0.565	821,400	11,800
CIBT Education Group Inc.	Common Shares	Capital Alliance Group Inc.	1	06/09/2016	10	0.56	860,900	39,500
CIBT Education Group Inc.	Common Shares	Capital Alliance Group Inc.	1	08/09/2016	10	0.59	866,800	5,900
CIBT Education Group Inc.	Common Shares	Chu, Toby	4, 5	02/09/2016	11	0.56	5,284,347	-150,000
CIBT Education Group Inc.	Common Shares	Chu, Toby	4, 5	07/09/2016	10	0.6	5,294,347	10,000
Clean Seed Capital Group Ltd.	Common Shares	Brassard, Steven	5	31/01/2011	00			
Clean Seed Capital Group Ltd.	Common Shares	Brassard, Steven	5	06/09/2016	10	0.4	4,500	4,500
Clearwater Seafoods Incorporated	Rights Performance Share Units	Wight, Robert Derek	7	01/09/2016	56	14.25	21,026	52
Clearwater Seafoods Incorporated	Rights Performance Share Units	Wight, Robert Derek	7	01/09/2016	56	14.25	21,047	21
Cogeco Communications Inc.	Incentive Units / Unités incitatives	Smithard, Ken	5	01/09/2016	00		2,000	
Cogeco Communications Inc.	Options	Smithard, Ken	5	01/09/2016	00		12,723	
Cogeco Communications Inc.	Performance Share Units / Unite d'action performance	Smithard, Ken	5	01/09/2016	00		1,347	
Cogeco Communications Inc.	Subordinate Voting Shares actions subalternes à droit de vote	Smithard, Ken	5	01/09/2016	00		443	
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	01/08/2016	00			
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	02/08/2016	38	52.34	2,087	2,087
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	03/08/2016	38	51	3,374	1,287
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	04/08/2016	38	50.79	4,661	1,287
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	05/08/2016	38	51.85	6,748	2,087
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	08/08/2016	38	51.58	6,948	200

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	08/08/2016	38	51.38	7,048	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	08/08/2016	38	51.51	7,248	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	08/08/2016	38	51.6	7,335	87
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	08/08/2016	38	52.07	7,435	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	09/08/2016	38	51.77	9,522	2,087
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	10/08/2016	38	51.46	11,609	2,087
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	11/08/2016	38	51.27	12,796	1,187
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	12/08/2016	38	50.94	12,896	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	12/08/2016	38	50.98	12,996	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	12/08/2016	38	50.95	13,196	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	12/08/2016	38	51.81	13,383	187
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	15/08/2016	38	51.15	13,483	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	15/08/2016	38	51.17	13,583	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	15/08/2016	38	51.18	13,670	87
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	15/08/2016	38	51.25	13,970	300
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	15/08/2016	38	51.26	14,070	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	15/08/2016	38	51.18		87
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	15/08/2016	38	51.18		87
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	15/08/2016	38	51.25		300
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	15/08/2016	38	51.25		300
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	15/08/2016	38	51.26		100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	15/08/2016	38	51.26		100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	17/08/2016	38	51.35	14,170	100

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	17/08/2016	38	51.17	14,270	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	17/08/2016	38	51.19	14,470	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	17/08/2016	38	51.25	14,657	187
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	17/08/2016	38	51.51	14,757	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	18/08/2016	38	50.98	16,044	1,287
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	19/08/2016	38	50.73	16,344	300
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	19/08/2016	38	50.71	16,644	300
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	19/08/2016	38	51.61	16,831	187
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	22/08/2016	38	51.56	17,018	187
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	23/08/2016	38	51.33	17,305	287
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	24/08/2016	38	51.35	17,592	287
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	25/08/2016	38	50.84	17,792	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	25/08/2016	38	51.01	18,092	300
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	25/08/2016	38	51.02	18,279	187
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	26/08/2016	38	50.79		1,487
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	26/08/2016	38	50.79	19,766	1,487
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	31/08/2016	38		0	-19,766
Colombian Mines Corporation	Options	Burchill, Donn	4	30/08/2016	50	0.16	415,000	60,000
Cominar Real Estate Investment Trust	Parts différées	Lépine, Johanne	4	06/09/2016	56	16.62	6,638	409
Commerce Resources Corp.	Common Shares	Dahrouge, Jody	4	01/09/2016	10	0.07	432,545	-24,500
COMPASS Income Fund	Trust Units	COMPASS Income Fund	1	02/09/2016	10	11.4	32,052,162	-3,000
CONDOR RESOURCES INC.	Common Shares	Boyd, Robert T.	4	06/09/2016	54	0.05	670,000	100,000
CONDOR RESOURCES INC.	Warrants	Boyd, Robert T.	4	06/09/2016	54		0	-100,000
CONDOR RESOURCES INC.	Common Shares	Davis, Lyle Roy	4	06/09/2016	54	0.05	632,500	100,000
CONDOR RESOURCES INC.	Warrants	Davis, Lyle Roy	4	06/09/2016	54	0.05	150,000	-100,000
Conifex Timber Inc.	Common Shares	Uhrich, Kalin	7	09/09/2016	57		30,282	6,000
Conifex Timber Inc.	Restricted Shares	Uhrich, Kalin	7	09/09/2016	57		40,000	-10,000
Copperbank Resources Corp.	Common Shares	Kovacevic, John Gianni	4, 5	06/09/2016	10	0.08	14,324,500	50,000



**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Copperbank Resources Corp.	Common Shares	Kovacevic, John Gianni	4, 5	08/09/2016	10	0.075	14,374,500	50,000
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Llewellyn, Robert	4	08/09/2016	30	21.75		-4,600
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Llewellyn, Robert	4	08/09/2016	30	21.75		-4,600
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Llewellyn, Robert	4	08/09/2016	30	21.571	2,262	-4,600
Cornerstone Metals Inc.	Common Shares	Sveinson, Frederick J.	4, 5	07/09/2016	10	0.035	1,281,700	22,000
CounterPath Corporation	Common Shares	Carothers, Todd	5	04/05/2016	00		4,480	
CounterPath Corporation	Common Shares	Carothers, Todd	5	31/08/2016	30	2.19	4,600	120
CounterPath Corporation	Options	Carothers, Todd	5	04/05/2016	00		57,500	
CounterPath Corporation	Rights Deferred Share Units	Carothers, Todd	5	04/05/2016	00		3,824	
CounterPath Corporation	Common Shares	Jones, Donovan	4, 5	31/08/2016	30	2.8505	50,991	953
CounterPath Corporation	Common Shares	Karp, David Lawrence	5	31/08/2016	30	2.8505	19,828	160
Covalon Technologies Ltd.	Common Shares	Pedlar, Brian Edward	4, 5	29/08/2016	54	0.155	684,052	322,550
Covalon Technologies Ltd.	Warrants	Pedlar, Brian Edward	4, 5	29/08/2016	54	0.155	0	-322,550
Crescita Therapeutics Inc.	Options	Laflamme, Mario	5	06/09/2016	00			
Crescita Therapeutics Inc.	Options	Laflamme, Mario	5	06/09/2016	50		38,000	38,000
Crescita Therapeutics Inc.	Common Shares	Orleski, Gregory M.C.	4, 5	01/09/2016	00		827,690	
Crescita Therapeutics Inc.	Options	Orleski, Gregory M.C.	4, 5	01/09/2016	00			
Crescita Therapeutics Inc.	Options	Orleski, Gregory M.C.	4, 5	06/09/2016	50		90,000	90,000
Crescita Therapeutics Inc.	Common Shares	Schlader, Thomas	4	01/09/2016	00		20,239	
Critical Control Energy Services Corp.	Common Shares	Lo, Kevin Chi Hin	4	08/09/2016	10	0.2	40,956	250
Critical Control Energy Services Corp.	Common Shares	Nerland, Dennis Leroy	4, 5	08/09/2016	10	0.2	249,580	250
Critical Control Energy Services Corp.	Common Shares	Somji, Nizar Jaffer	4	08/09/2016	10	0.2	40,956	250
Critical Outcome Technologies Inc.	Common Shares	Alexander, Douglas Stewart	4	08/09/2016	51	0.3	286,540	121,951
Critical Outcome Technologies Inc.	Options	Alexander, Douglas Stewart	4	08/09/2016	51	0.3		-121,951
Critical Outcome Technologies Inc.	Options	Alexander, Douglas Stewart	4	08/09/2016	51	0.3		-121,951
Critical Outcome Technologies Inc.	Options	Alexander, Douglas Stewart	4	08/09/2016	51	0.3	1,080,273	-121,951
Critical Outcome Technologies Inc.	Common Shares	Drake, John C.	3, 5	08/09/2016	51	0.3	322,592	146,342
Critical Outcome Technologies Inc.	Options	Drake, John C.	3, 5	08/09/2016	51	0.3	911,398	-146,342
Crown Capital Partners Inc.	Rights Performance Share Units	Hughes, Brent Gerald	5	08/09/2016	56		10,627	81
Crown Capital Partners Inc.	Rights Restricted Share Units	Hughes, Brent Gerald	5	08/09/2016	56		58,001	41
Crown Capital Partners Inc.	Common Shares	Johnson, Christopher Allen	4, 5	07/09/2016	10	9.5	23,200	2,000
Crown Capital Partners Inc.	Rights Performance Share Units	Johnson, Christopher Allen	4, 5	08/09/2016	56		15,193	174
Crown Capital Partners Inc.	Rights Restricted Share Units	Johnson, Christopher Allen	4, 5	08/09/2016	56		142,535	87
Crown Capital Partners Inc.	Rights Performance Share Units	Oldfield, Timothy James	5	08/09/2016	56		7,152	81
Crown Capital Partners Inc.	Rights Restricted Share Units	Oldfield, Timothy James	5	08/09/2016	56		3,576	41
CT Real Estate Investment Trust	Restricted Units	Salsberg, Kevin	5	07/06/2016	00			
CT Real Estate Investment Trust	Restricted Units	Salsberg, Kevin	5	06/09/2016	56	15.155	6,598	6,598
Cynapsus Therapeutics Inc.	Common Shares	Orbimed Advisors LLC	3	06/09/2016	11	39.75	1,000,000	-620,325
DECISIVE DIVIDEND CORPORATION	Common Shares	Redekop, David	4, 5	09/09/2016	10	3.2	136,900	1,000

**Insider Reporting**

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Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Mustang Asset Management Inc.	3	25/08/2016	00			
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Mustang Asset Management Inc.	3	25/08/2016	11	0.0297	2,475,500	2,475,500
Deploy Technologies Inc.	Subordinate Voting Shares	Mustang Asset Management Inc.	3	25/08/2016	00			
Deploy Technologies Inc.	Subordinate Voting Shares	Mustang Asset Management Inc.	3	25/08/2016	11	0.0029	911,062	911,062
DHX Media Ltd.	Common Shares	Donovan, Michael	4	03/03/2014	47		6,119,027	-5,000
DHX Media Ltd.	Common Shares	Donovan, Michael	4	14/10/2014	35			-5,524,027
DHX Media Ltd.	Common Shares	Donovan, Michael	4	14/10/2014	36			-5,524,027
DHX Media Ltd.	Common Shares	Donovan, Michael	4	14/10/2014	36		0	-5,519,027
DHX Media Ltd.	Common Voting Shares	Donovan, Michael	4	14/10/2014	36			5,524,027
DHX Media Ltd.	Common Voting Shares	Donovan, Michael	4	14/10/2014	36		5,519,027	5,519,027
DiaMedica Inc.	Common Shares	Hermeda Industrial Co., Ltd.	3	08/09/2016	00		5,000,000	
DiaMedica Inc.	Common Shares	Hermeda Industrial Co., Ltd.	3	08/09/2016	11	0.2	20,000,000	15,000,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	159,900	-40,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	152,000	-7,900
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	150,000	-2,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	144,900	-5,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	103,600	-41,300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	101,000	-2,600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	100,000	-1,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	90,000	-10,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	69,300	-20,700
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	65,000	-4,300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	55,700	-9,300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	53,600	-2,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	42,200	-11,400
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	37,100	-5,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	26,100	-11,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	21,100	-5,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	18,200	-2,900
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	13,100	-5,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.34	11,200	-1,900
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	10,200	-1,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	4,200	-6,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.37	1,800	-2,400
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.34	300	-1,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.34	0	-300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,625,100	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,625,000	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,624,900	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,617,700	-7,200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,617,600	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,580,900	-36,700
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,575,200	-5,700
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,571,300	-3,900
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,571,200	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,561,900	-9,300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,560,700	-1,200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,556,200	-4,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,525,200	-31,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.39	1,522,200	-3,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.4	1,512,700	-9,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.39	1,502,700	-10,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.4	1,501,000	-1,700
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.39	1,500,200	-800
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	1,488,800	-11,400

**Insider Reporting**

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Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.34	1,488,700	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.34	1,488,600	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.33	1,486,500	-2,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.31	1,466,200	-20,300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.3	1,464,200	-2,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	1,453,000	-11,200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	1,452,900	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	1,452,500	-400
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.31	1,449,500	-3,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	1,449,200	-300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.31	1,447,200	-2,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	1,443,700	-3,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	1,443,200	-500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.31	1,437,200	-6,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	1,436,600	-600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	1,436,500	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,400,500	-36,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,396,900	-3,600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,396,800	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,386,600	-10,200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,386,500	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.42	1,377,500	-9,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.43	1,354,400	-23,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.43	1,348,400	-6,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.42	1,342,400	-6,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.43	1,339,200	-3,200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.43	1,339,000	-200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.42	1,338,400	-600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.43	1,338,300	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.41	1,320,000	-18,300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.4	1,297,100	-22,900
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.42	1,296,600	-500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.41	1,291,900	-4,700
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.4	1,288,900	-3,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.4	1,288,300	-600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,269,700	-18,600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,249,500	-20,200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,218,400	-31,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,201,600	-16,800
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	1,184,100	-17,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,181,100	-3,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	1,181,000	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,176,000	-5,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	1,175,000	-1,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	1,170,900	-4,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	1,168,400	-2,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,157,100	-11,300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	1,157,000	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,152,500	-4,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	1,121,500	-31,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	1,121,400	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	1,118,400	-3,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.33	1,097,100	-21,300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	1,096,600	-500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.34	1,084,700	-11,900
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.33	1,081,700	-3,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	1,079,600	-2,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.34	1,079,100	-500

**Insider Reporting**

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Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.34	1,076,000	-3,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.34	1,075,900	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.34	1,075,800	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.34	1,075,700	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.33	1,069,200	-6,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.34	1,068,400	-800
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.44	1,063,300	-5,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.43	1,058,800	-4,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.44	951,000	-107,800
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.44	949,000	-2,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.44	946,400	-2,600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.43	943,400	-3,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.33	914,400	-29,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	11	2.32	913,900	-500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.34	906,900	-7,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	903,100	-3,800
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	897,900	-5,200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	879,500	-18,400
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.31	877,600	-1,900
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	858,200	-19,400
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	853,100	-5,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.33	848,500	-4,600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.31	846,700	-1,800
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	843,700	-3,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	840,600	-3,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	836,600	-4,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.31	833,600	-3,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	833,500	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	829,500	-4,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	809,500	-20,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	799,600	-9,900
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	799,300	-300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	788,000	-11,300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	779,500	-8,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.37	769,000	-10,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	761,800	-7,200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.38	758,600	-3,200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.37	755,600	-3,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.37	755,300	-300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.37	755,100	-200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.37	754,500	-600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	739,600	-14,900
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	739,100	-500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.36	738,600	-500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	729,600	-9,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	729,500	-100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.33	679,500	-50,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.32	658,500	-21,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.33	641,400	-17,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.33	640,500	-900
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.33	638,500	-2,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.33	629,500	-9,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	01/09/2016	10	2.35	624,400	-5,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.34	606,800	-17,600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.34	593,900	-12,900
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	550,100	-43,800
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.37	543,900	-6,200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	515,700	-28,200

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	500,300	-15,400
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	496,300	-4,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	447,800	-48,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	434,800	-13,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.37	414,800	-20,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	408,600	-6,200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.37	407,600	-1,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.37	397,800	-9,800
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	387,200	-10,600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	374,500	-12,700
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	370,900	-3,600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	362,300	-8,600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	347,800	-14,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	322,300	-25,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	304,300	-18,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	298,800	-5,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	297,800	-1,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	248,100	-49,700
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	243,600	-4,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.37	188,100	-55,500
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.34	173,800	-14,300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	129,600	-44,200
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	126,700	-2,900
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	90,400	-36,300
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	81,800	-8,600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	71,200	-10,600
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	54,400	-16,800
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.34	50,400	-4,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	46,400	-4,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	43,300	-3,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.36	30,400	-12,900
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.34	24,400	-6,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	23,400	-1,000
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	22,600	-800
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	17,500	-5,100
Diversified Royalty Corp.	Common Shares	Giese, Clifford Duncan	7	02/09/2016	10	2.35	0	-17,500
Diversified Royalty Corp.	Common Shares	Giese, Kevin Arnold	7	06/09/2016	10	2.34	49,500	-12,500
Diversified Royalty Corp.	Common Shares	Giese, Kevin Arnold	7	06/09/2016	10	2.35	0	-49,500
Diversified Royalty Corp.	Common Shares	SHAW, SCOTT	7	06/09/2016	10	2.43	320,631	-75,000
Diversified Royalty Corp.	Common Shares	SHAW, SCOTT	7	06/09/2016	10	2.44	295,631	-25,000
Diversified Royalty Corp.	Common Shares	SHAW, SCOTT	7	07/09/2016	10	2.42	270,631	-25,000
Diversified Royalty Corp.	Common Shares	TRACEY, LANCE	7	06/09/2016	10	2.43	320,631	-75,000
Diversified Royalty Corp.	Common Shares	TRACEY, LANCE	7	06/09/2016	10	2.44	295,631	-25,000
Diversified Royalty Corp.	Common Shares	TRACEY, LANCE	7	07/09/2016	10	2.42	270,631	-25,000
Divestco Inc.	Options	Brillon, Wade	4	27/07/2016	52	0.165	50,000	-125,000
Divestco Inc.	Options	Chiarastella, Daniele	5	27/07/2016	52	0.165	100,000	-225,000
Divestco Inc.	Options	Gough, Michael	4	27/07/2016	52	0.165	50,000	-125,000
Divestco Inc.	Options	Hornsby, Lonn	7	27/07/2016	52	0.165	100,000	-225,000
Divestco Inc.	Options	Molnar, Edward Lawrence	4	27/07/2016	52	0.165	50,000	-150,000
Divestco Inc.	Options	Popadynetz, Stephen	5	27/07/2016	52	0.165	150,000	-225,000
Divestco Inc.	Options	Sinclair-Smith, Steven James	5	27/07/2016	52	0.165	100,000	-225,000
DMD Digital Health Connections Group Inc. (Formerly Aptilon Corporation)	Common Shares	Benthin, Mark	4	07/09/2016	10	0.16	2,894,254	-25,000
DMD Digital Health Connections Group Inc. (Formerly Aptilon Corporation)	Common Shares	Benthin, Mark	4	09/09/2016	10	0.16	2,872,254	-22,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	26/03/2013	00			
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	03/08/2016	38	11.912	15,828	15,828
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	04/08/2016	38	11.91	43,728	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	05/08/2016	38	11.506	71,628	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	08/08/2016	38	11.829	99,528	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	09/08/2016	38	11.785	127,428	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	10/08/2016	38	11.764	155,328	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	11/08/2016	38	11.925	183,228	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	12/08/2016	38	11.899	211,128	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	15/08/2016	38	12.275	239,028	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	16/08/2016	38	12.08	266,928	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	17/08/2016	38	11.946	294,828	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	18/08/2016	38	11.774	322,728	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	19/08/2016	38	12.275	350,628	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	22/08/2016	38	12.519	378,528	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	23/08/2016	38	12.235	406,428	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	24/08/2016	38	12.191	434,328	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	25/08/2016	38	11.904	462,228	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	26/08/2016	38	11.888	490,128	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	29/08/2016	38	11.829	518,028	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	30/08/2016	38	11.726	545,928	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	31/08/2016	38	11.617	573,828	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	31/08/2016	38		83,700	-490,128
Dundee Corporation	Subordinate Voting Shares Class A	Attanasio, Mark	7	02/09/2016	10	5.89	2,750	-1,558
Dundee Precious Metals Inc.	Options	Walsh, Anthony P.	3	08/09/2016	52	12	50,430	-110
E-L Financial Corporation Limited	Common Shares	Barron, John Christopher	4	02/09/2016	10	675.03	-18	-240
Easy Technologies Inc.	Common Shares	Bal, Jatinder Singh	4	02/09/2016	10	1.25	18,000	6,000
Eclipse Residential Mortgage Investment Corporation	Class A Shares	Eclipse Residential Mortgage Investment Corporation	1	29/08/2016	38	9.35	500	500
Eclipse Residential Mortgage Investment Corporation	Class A Shares	Eclipse Residential Mortgage Investment Corporation	1	29/08/2016	38	9.35	0	-500
eCobalt Solutions Inc.	Options Stock Option Plan	Christie, David William	4	06/09/2016	50		434,000	150,000
eCobalt Solutions Inc.	Options Stock Option Plan	Farquharson, John Paul	5	06/09/2016	50			300,000
eCobalt Solutions Inc.	Options Stock Option Plan	Farquharson, John Paul	5	06/09/2016	50			300,000
eCobalt Solutions Inc.	Options Stock Option Plan	Farquharson, John Paul	5	06/09/2016	50		920,000	300,000
eCobalt Solutions Inc.	Options Stock Option Plan	Hahn, Gregory Arthur	4	06/09/2016	50		434,000	150,000
eCobalt Solutions Inc.	Options Stock Option Plan	Hean, Scott Bradbury	4	06/09/2016	50	0.6	434,000	150,000
eCobalt Solutions Inc.	Options Stock Option Plan	Honsinger, Gregory Eric	5	06/09/2016	50		670,000	240,000
eCobalt Solutions Inc.	Options Stock Option Plan	Metka, Robert Gary	4	06/09/2016	50		484,000	150,000

**Insider Reporting**

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eCobalt Solutions Inc.	Options Stock Option Plan	Quinn, Robert Joseph	4	06/09/2016	50		484,000	150,000
eCobalt Solutions Inc.	Options Stock Option Plan	Stone, David M.	4	06/09/2016	50		484,000	150,000
eCobalt Solutions Inc.	Options Stock Option Plan	Tran, Marcus Tuan Quoc	5	06/09/2016	50		630,000	240,000
Ecuador Gold and Copper Corp.	Common Shares	Choi, Jun-Hyouk	5	15/04/2013	00			
Ecuador Gold and Copper Corp.	Common Shares	Choi, Jun-Hyouk	5	25/08/2016	16	0.39	57,949	57,949
Ecuador Gold and Copper Corp.	Options	Choi, Jun-Hyouk	5	26/09/2013	50			500,000
Ecuador Gold and Copper Corp.	Options	Choi, Jun-Hyouk	5	26/09/2013	50		500,000	300,000
Ecuador Gold and Copper Corp.	Options	Choi, Jun-Hyouk	5	14/03/2016	37		50,000	-450,000
Ecuador Gold and Copper Corp.	Options	Choi, Jun-Hyouk	5	20/04/2016	50		100,000	50,000
Ecuador Gold and Copper Corp.	Common Shares	Daun, Heye Edmund	4	18/06/2015	00			
Ecuador Gold and Copper Corp.	Common Shares	Daun, Heye Edmund	4	25/08/2016	46	0.39	92,691	92,691
Ecuador Gold and Copper Corp.	Options	Daun, Heye Edmund	4	19/04/2016	50	0.3	875,000	875,000
Ecuador Gold and Copper Corp.	Common Shares	RIVADENEIRA, MARCELO	4	14/03/2016	37		135,984	-1,223,858
Ecuador Gold and Copper Corp.	Common Shares	Tilkov, Mit	5	09/08/2012	00			
Ecuador Gold and Copper Corp.	Common Shares	Tilkov, Mit	5	25/08/2016	16	0.4	132,500	132,500
Ecuador Gold and Copper Corp.	Options	Tilkov, Mit	5	14/03/2016	37		150,000	-1,350,000
Ecuador Gold and Copper Corp.	Options	Tilkov, Mit	5	19/04/2016	50		200,000	50,000
Emera Incorporated	Common Shares	O'Connor, Wayne David	5	02/09/2016	51	33.35	8,414	2,350
Emera Incorporated	Common Shares	O'Connor, Wayne David	5	02/09/2016	51	34.8	11,714	3,300
Emera Incorporated	Common Shares	O'Connor, Wayne David	5	02/09/2016	51	32.35	15,264	3,550
Emera Incorporated	Common Shares	O'Connor, Wayne David	5	02/09/2016	51	42.71	18,489	3,225
Emera Incorporated	Common Shares	O'Connor, Wayne David	5	02/09/2016	10	48.33	6,064	-12,425
Emera Incorporated	Options	O'Connor, Wayne David	5	02/09/2016	51		42,650	-2,350
Emera Incorporated	Options	O'Connor, Wayne David	5	02/09/2016	51		39,350	-3,300
Emera Incorporated	Options	O'Connor, Wayne David	5	02/09/2016	51		35,800	-3,550
Emera Incorporated	Options	O'Connor, Wayne David	5	02/09/2016	51		32,575	-3,225
Empire Industries Ltd.	Options	Chang, Li-Ting (Jack)	4	27/06/2016	37		75,000	-225,000
Empire Industries Ltd.	Common Shares	Francis, Allan Ross	5	27/06/2016	37		6,250	-18,750
Empire Industries Ltd.	Options	Francis, Allan Ross	5	31/01/2015	52		1,000,000	-70,000
Empire Industries Ltd.	Options	Francis, Allan Ross	5	27/06/2016	37		250,000	-750,000
Empire Industries Ltd.	Options	Francis, Allan Ross	5	01/09/2016	52			-70,000
Empire Industries Ltd.	Options	Francis, Allan Ross	5	01/09/2016	52			-70,000
Empire Industries Ltd.	Common Shares	Lin, Chao Jian (Jane)	4	27/06/2016	37		39,000	-117,000
Empire Industries Ltd.	Options	Lin, Chao Jian (Jane)	4	27/06/2016	37		75,000	-225,000
Empire Industries Ltd.	Common Shares	Macdonald, Ian Mor	4	27/06/2016	37		697,000	-2,091,000
Empire Industries Ltd.	Common Shares	Macdonald, Ian Mor	4	27/06/2016	37		144,000	-432,000
Empire Industries Ltd.	Common Shares	Macdonald, Ian Mor	4	27/06/2016	37		2,625,000	-7,875,000
Empire Industries Ltd.	Options	Macdonald, Ian Mor	4	27/06/2016	37		398,750	-1,196,250
Empire Industries Ltd.	Warrants	Macdonald, Ian Mor	4	14/02/2013	55		1,000,000	-5,000,000
Empire Industries Ltd.	Common Shares	Marshall, Robert Glenn	4	27/06/2016	37		448,250	-1,344,750
Empire Industries Ltd.	Common Shares	Marshall, Robert Glenn	4	27/06/2016	37		1,061,558	-3,184,672
Empire Industries Ltd.	Options	Marshall, Robert Glenn	4	27/06/2016	37		253,750	-651,600
Empire Industries Ltd.	Warrants	Marshall, Robert Glenn	4	14/02/2013	55		300,000	-1,250,000
Empire Industries Ltd.	Common Shares	Martin, Michael John	5	27/06/2016	37		6,250	-18,750
Empire Industries Ltd.	Options	Martin, Michael John	5	27/06/2016	37		250,000	-750,000
Empire Industries Ltd.	Common Shares	Nelson, Kenneth Guy	4, 5	27/06/2016	37		3,240,093	-9,935,722
Empire Industries Ltd.	Common Shares	Nelson, Kenneth Guy	4, 5	27/06/2016	37			-5,039,278
Empire Industries Ltd.	Common Shares	Nelson, Kenneth Guy	4, 5	27/06/2016	37			-5,039,278

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Empire Industries Ltd.	Common Shares	Nelson, Kenneth Guy	4, 5	27/06/2016	37		1,759,907	-5,039,278
Empire Industries Ltd.	Options	Nelson, Kenneth Guy	4, 5	01/07/2013	52			-200,000
Empire Industries Ltd.	Options	Nelson, Kenneth Guy	4, 5	01/07/2013	52			-200,000
Empire Industries Ltd.	Options	Nelson, Kenneth Guy	4, 5	01/07/2013	52		2,000,000	-200,000
Empire Industries Ltd.	Options	Nelson, Kenneth Guy	4, 5	27/06/2016	37		1,000,000	-3,000,000
Empire Industries Ltd.	Warrants	Nelson, Kenneth Guy	4, 5	14/02/2013	55			-2,500,000
Empire Industries Ltd.	Warrants	Nelson, Kenneth Guy	4, 5	14/02/2013	55			-2,500,000
Empire Industries Ltd.	Warrants	Nelson, Kenneth Guy	4, 5	14/02/2013	37			-2,500,000
Empire Industries Ltd.	Warrants	Nelson, Kenneth Guy	4, 5	14/02/2013	37			-2,500,000
Empire Industries Ltd.	Warrants	Nelson, Kenneth Guy	4, 5	14/02/2013	55			-2,500,000
Empire Industries Ltd.	Warrants	Nelson, Kenneth Guy	4, 5	14/02/2013	55			-2,500,000
Empire Industries Ltd.	Warrants	Nelson, Kenneth Guy	4, 5	14/02/2013	52		500,000	-2,500,000
Empire Industries Ltd.	Warrants June 2013	Nelson, Kenneth Guy	4, 5	27/06/2016	37		625,000	-1,875,000
Empire Industries Ltd.	Common Shares	Qiu, Qiguang	3	27/06/2016	37		6,250,000	-18,750,000
Empire Industries Ltd.	Warrants	Qiu, Qiguang	3	08/12/2013	55		0	-12,500,000
Empire Industries Ltd.	Common Shares	Quinn, Terence Patrick	4	27/06/2016	37		429,345	-1,070,655
Empire Industries Ltd.	Common Shares	Quinn, Terence Patrick	4	27/06/2016	37		54,208	-48,792
Empire Industries Ltd.	Common Shares	Quinn, Terence Patrick	4	07/09/2016	10	0.385	64,208	10,000
Empire Industries Ltd.	Common Shares	Quinn, Terence Patrick	4	27/06/2016	37		357,041	-1,411,339
Empire Industries Ltd.	Options	Quinn, Terence Patrick	4	27/06/2016	37		290,000	-870,000
Empire Industries Ltd.	Warrants	Quinn, Terence Patrick	4	14/02/2013	55		200,000	-500,000
Empire Industries Ltd.	Options	White-Robinson, Peter	4, 5	27/06/2016	37		625,000	-1,875,000
Empire Industries Ltd.	Common Shares	Xie, Junliang	3	27/06/2016	37		12,262,875	-36,788,625
Empire Industries Ltd.	Warrants June 2013	Xie, Junliang	3	27/06/2016	37		6,300,000	-18,900,000
Empire Industries Ltd.	Common Shares	Zhou, Ye	7	27/06/2016	37		250,000	-750,000
Empire Industries Ltd.	Options	Zhou, Ye	7	01/01/2016	52		1,200,000	-30,000
Empire Industries Ltd.	Options	Zhou, Ye	7	27/06/2016	37		300,000	-900,000
Energy Credit Opportunities Income Fund	Class A Units	Energy Credit Opportunities Income Fund	1	09/08/2016	38	6.96	2,100	500
Energy Credit Opportunities Income Fund	Class A Units	Energy Credit Opportunities Income Fund	1	12/08/2016	38	6.98	2,900	800
Energy Credit Opportunities Income Fund	Class A Units	Energy Credit Opportunities Income Fund	1	17/08/2016	38	7	3,400	500
Energy Credit Opportunities Income Fund	Class A Units	Energy Credit Opportunities Income Fund	1	31/08/2016	38		0	-3,400
Energy Fuels Inc.	Common Shares	Catchpole, Glenn	4	06/09/2016	10	2.4	202,931	-3,000
Ensign Energy Services Inc.	Common Shares	Connors, Thomas Joseph	7	06/09/2016	10	7.75	37,512	-9,802
Ensign Energy Services Inc.	Common Shares	Geddes, Robert Harold	5	07/09/2016	10	7.7	644,903	-25,000
Ensign Energy Services Inc.	Common Shares	Kautz, Edward	5	08/09/2016	10	5.966	219,814	-15,000
Enterprise Group, Inc.	Common Shares	Darling, Neil B.T.	4	08/09/2016	10	0.27	313,500	53,500
EnWave Corporation	Common Shares	Durance, Timothy Douglas	4, 5	06/09/2016	10		1,683,138	-11,400
EnWave Corporation	Common Shares	Durance, Timothy Douglas	4, 5	07/09/2016	10		1,682,038	-1,100
EnWave Corporation	Common Shares	Durance, Timothy Douglas	4, 5	07/09/2016	10		1,662,338	-19,700
EnWave Corporation	Common Shares	Durance, Timothy Douglas	4, 5	08/09/2016	10		1,658,038	-4,300
Equitable Group Inc.	Common Shares	Prokoudine, Alexei	7	01/09/2016	00		2,798	
Equitable Group Inc.	Options Options granted	Prokoudine, Alexei	7	01/09/2016	00		12,040	
Equitable Group Inc.	Rights Restricted Share Units	Prokoudine, Alexei	7	01/09/2016	00		914	
Equitorial Exploration Corp.	Common Shares	Bal, Jatinder Singh	1	06/09/2016	10	0.08	1,482,000	250,000
Espial Group Inc.	Common Shares	Espial Group Inc	1	24/08/2016	00			
Espial Group Inc.	Common Shares	Espial Group Inc	1	24/08/2016	38	1.95	900	900
Espial Group Inc.	Common Shares	Espial Group Inc	1	24/08/2016	38	1.94	1,900	1,000
Espial Group Inc.	Common Shares	Espial Group Inc	1	25/08/2016	38	1.97	2,700	800
Espial Group Inc.	Common Shares	Espial Group Inc	1	25/08/2016	38	1.95	3,400	700
Espial Group Inc.	Common Shares	Espial Group Inc	1	25/08/2016	38	1.94	4,200	800
Espial Group Inc.	Common Shares	Espial Group Inc	1	26/08/2016	38	1.93	5,100	900
Espial Group Inc.	Common Shares	Espial Group Inc	1	26/08/2016	38	1.96	5,800	700
Espial Group Inc.	Common Shares	Espial Group Inc	1	26/08/2016	38	1.94	10,700	4,900
Espial Group Inc.	Common Shares	Espial Group Inc	1	26/08/2016	38	1.97	15,400	4,700
Espial Group Inc.	Common Shares	Espial Group Inc	1	29/08/2016	38	1.95	25,800	10,400



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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Espial Group Inc.	Common Shares	Espial Group Inc	1	29/08/2016	38	1.94	26,000	200
Espial Group Inc.	Common Shares	Smith, Carl Gilbert	5	07/09/2016	10	2	171,643	5,000
Espial Group Inc.	Common Shares	Smith, Carl Gilbert	5	08/09/2016	10	2	176,643	5,000
Eurocontrol Technics Group Inc.	Common Shares	Rowlands, William Bruce	4, 5	06/09/2016	90		534,000	-410,500
Eurocontrol Technics Group Inc.	Common Shares	Rowlands, William Bruce	4, 5	06/09/2016	90			-377,666
Eurocontrol Technics Group Inc.	Common Shares	Rowlands, William Bruce	4, 5	06/09/2016	90			-377,333
Eurocontrol Technics Group Inc.	Common Shares	Rowlands, William Bruce	4, 5	06/09/2016	90		157,000	-377,000
Eurocontrol Technics Group Inc.	Common Shares	Rowlands, William Bruce	4, 5	06/09/2016	90		661,500	410,500
Eurocontrol Technics Group Inc.	Common Shares	Rowlands, William Bruce	4, 5	02/09/2016	10	0.155	1,373,500	10,000
Eurocontrol Technics Group Inc.	Common Shares	Rowlands, William Bruce	4, 5	06/09/2016	10	0.16	1,383,500	10,000
Eurocontrol Technics Group Inc.	Common Shares	Rowlands, William Bruce	4, 5	06/09/2016	90			377,666
Eurocontrol Technics Group Inc.	Common Shares	Rowlands, William Bruce	4, 5	06/09/2016	90			377,333
Eurocontrol Technics Group Inc.	Common Shares	Rowlands, William Bruce	4, 5	06/09/2016	90		1,760,500	377,000
Eurocontrol Technics Group Inc.	Common Shares	Rowlands, William Bruce	4, 5	06/09/2016	55	0.15	1,627,500	-133,000
Eurocontrol Technics Group Inc.	Common Shares	Rowlands, William Bruce	4, 5	09/09/2016	10	0.165	1,637,500	10,000
Eurocontrol Technics Inc.	Common Shares	Rowlands, William Bruce	4, 5	09/12/2010	16	0.15		133,333
Eurocontrol Technics Inc.	Common Shares	Rowlands, William Bruce	4, 5	09/12/2010	16	0.15	426,500	133,000
Eurocontrol Technics Inc.	Common Shares	Rowlands, William Bruce	4, 5	09/12/2010	53	0.2		133,333
Eurocontrol Technics Inc.	Common Shares	Rowlands, William Bruce	4, 5	09/12/2010	53	0.2	559,500	133,000
Euromax Resources Ltd.	Common Shares	Griffiths, Richard Ian	3	04/09/2016	10		15,710,500	299,500
European Strategic Balanced Fund	Units	Landry Investment Management Inc.	3	12/04/2016	10	8.99	223,600	-2,000
Everton Resources Inc.	Options	Stein, Keith	4	14/04/2016	50		220,000	100,000
Evertz Technologies Limited	Common Shares	Campbell, Brian Scott	5	08/09/2016	51	11.88	100,000	50,000
Evertz Technologies Limited	Options	Campbell, Brian Scott	5	08/09/2016	51	11.88	0	-50,000
Evertz Technologies Limited	Common Shares	Gridley, Anthony Ronald	5	08/09/2016	51	11.88	20,000	15,000
Evertz Technologies Limited	Options	Gridley, Anthony Ronald	5	08/09/2016	51	11.88	40,000	-15,000
Evertz Technologies Limited	Common Shares	Patel, Rakesh Thakor	7	08/09/2016	51	11.88	319,100	50,000
Evertz Technologies Limited	Options	Patel, Rakesh Thakor	7	08/09/2016	51	11.88	500,000	-50,000
Fairfax Financial Holdings Limited	Subordinate Voting Shares	Salsberg, Eric	7	30/08/2016	47		85,136	-40
Fairfax India Holdings Corporation	Options	Joory, Kapildeo	7	12/11/2014	00			
Fairfax India Holdings Corporation	Options	Joory, Kapildeo	7	06/03/2015	50	10.34	2,418	2,418
Fairfax India Holdings Corporation	Options	Lala, Couldiplall	7	12/11/2014	00			
Fairfax India Holdings Corporation	Options	Lala, Couldiplall	7	06/03/2015	50	10.34	2,418	2,418
Fairfax India Holdings Corporation	Options	Soundarajan, Gopalakrishnan	7	28/01/2015	00			
Fairfax India Holdings Corporation	Options	Soundarajan, Gopalakrishnan	7	06/03/2015	50	10.34	24,178	24,178
Fairfax India Holdings Corporation	Options	Tan, Amy	7	12/11/2014	00			
Fairfax India Holdings Corporation	Options	Tan, Amy	7	06/03/2015	50	10.34	9,671	9,671
Finning International Inc.	Common Shares	Marchese, Marchello	5	09/09/2016	51	17.43	31,564	3,233
Finning International Inc.	Common Shares	Marchese, Marchello	5	09/09/2016	10	23.25	30,264	-1,300
Finning International Inc.	Common Shares	Marchese, Marchello	5	09/09/2016	10	23.24	29,064	-1,200
Finning International Inc.	Common Shares	Marchese, Marchello	5	09/09/2016	10	23.23	28,331	-733
Finning International Inc.	Options	Marchese, Marchello	5	09/09/2016	51	17.43	173,087	-12,120

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Finning International Inc.	Common Shares	Sexsmith, Jean Gail	5	08/09/2016	10	23.85	8,889	-2,850
First Capital Realty Inc.	Convertible Debentures 4.45% unsecured due Feb. 28. /20 - FCR.DB.J	First Capital Realty Inc.	1	02/08/2016	38	103.49	\$1,000	\$1,000
First Capital Realty Inc.	Convertible Debentures 4.45% unsecured due Feb. 28. /20 - FCR.DB.J	First Capital Realty Inc.	1	02/08/2016	38	103.49	\$0	-\$1,000
First Capital Realty Inc.	Convertible Debentures 4.45% unsecured due Feb. 28. /20 - FCR.DB.J	First Capital Realty Inc.	1	17/08/2016	38	102.77	\$7,000	\$7,000
First Capital Realty Inc.	Convertible Debentures 4.45% unsecured due Feb. 28. /20 - FCR.DB.J	First Capital Realty Inc.	1	17/08/2016	38	102.77	\$0	-\$7,000
First Capital Realty Inc.	Convertible Debentures 4.45% unsecured due Feb. 28. /20 - FCR.DB.J	First Capital Realty Inc.	1	18/08/2016	38	102.77	\$7,000	\$7,000
First Capital Realty Inc.	Convertible Debentures 4.45% unsecured due Feb. 28. /20 - FCR.DB.J	First Capital Realty Inc.	1	18/08/2016	38	102.77	\$0	-\$7,000
First Capital Realty Inc.	Convertible Debentures 4.45% unsecured due Feb. 28. /20 - FCR.DB.J	First Capital Realty Inc.	1	22/08/2016	38	103.02	\$13,000	\$13,000
First Capital Realty Inc.	Convertible Debentures 4.45% unsecured due Feb. 28. /20 - FCR.DB.J	First Capital Realty Inc.	1	22/08/2016	38	103.02	\$0	-\$13,000
First Capital Realty Inc.	Convertible Debentures 4.45% unsecured due Feb. 28. /20 - FCR.DB.J	First Capital Realty Inc.	1	25/08/2016	38	103.25	\$1,000	\$1,000
First Capital Realty Inc.	Convertible Debentures 4.45% unsecured due Feb. 28. /20 - FCR.DB.J	First Capital Realty Inc.	1	25/08/2016	38	103.25	\$0	-\$1,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	04/01/2016	00		95,089,978	
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	04/01/2016	10	4.97	95,439,978	350,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	04/01/2016	10	4.97	95,789,978	350,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	05/01/2016	10	5.24	95,839,978	50,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	05/01/2016	10	5.29	95,754,978	-85,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	05/01/2016	10	5.28	96,677,778	922,800
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	06/01/2016	10	4.9	97,139,648	461,870
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	07/01/2016	10	4.33	97,468,198	328,550
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	12/01/2016	10	3.3	97,709,718	241,520
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	12/01/2016	10	3.41	98,359,718	650,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	13/01/2016	10	3.4	99,009,718	650,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	15/01/2016	10	3	99,309,718	300,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	19/01/2016	10	2.83	99,613,318	303,600
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	20/01/2016	10	2.43	100,038,318	425,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	25/01/2016	10	2.49	100,188,318	150,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	27/01/2016	10	2.39	100,238,318	50,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	28/01/2016	10	2.61	101,556,018	1,317,700
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	29/01/2016	10	2.68	101,631,018	75,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	29/01/2016	10	2.75	101,841,868	210,850

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First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	29/01/2016	10	2.75	102,052,718	210,850
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	01/02/2016	10	3.04	103,860,518	1,807,800
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	03/02/2016	10	2.93	103,530,042	-330,476
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	05/02/2016	10	3.76	103,928,932	398,890
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	08/02/2016	10	3.94	103,660,142	-268,790
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	10/02/2016	10	2.94	104,160,142	500,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	11/02/2016	10	2.79	104,310,142	150,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	17/02/2016	10	4.71	103,908,270	-401,872
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	18/02/2016	10	4.3	104,392,270	484,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	29/02/2016	10	4.97	104,292,270	-100,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	01/03/2016	10	5.04	104,492,270	200,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	02/03/2016	10	6.35	104,204,433	-287,837
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	04/03/2016	10	7.13	103,978,114	-226,319
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	04/03/2016	10	7.04	103,115,414	-862,700
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	07/03/2016	10	7.39	102,365,414	-750,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	08/03/2016	10	6.15	103,042,114	676,700
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	09/03/2016	10	6.21	104,034,414	992,300
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	10/03/2016	10	6.81	104,434,414	400,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	15/03/2016	10	7.4	104,599,114	164,700
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	15/03/2016	10	7.68	104,509,114	-90,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	17/03/2016	10	8.39	104,310,264	-198,850
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	17/03/2016	10	8.57	103,598,664	-711,600
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	17/03/2016	10	8.31	103,579,814	-18,850
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	18/03/2016	10	8.4	103,455,314	-124,500
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	18/03/2016	10	8.32	103,518,414	63,100
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	18/03/2016	10	8.41	102,785,806	-732,608
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	21/03/2016	10	8.41	103,773,854	988,048
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	22/03/2016	10	8.37	103,548,854	-225,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	24/03/2016	10	7.02	103,405,560	-143,294
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	01/04/2016	10	6.78	103,760,510	354,950
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	13/04/2016	10	7.17	103,743,927	-16,583
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	20/04/2016	10	8.91	103,516,187	-227,740
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	21/04/2016	10	8.85	101,888,187	-1,628,000

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First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	22/04/2016	10	8.97	101,386,117	-502,070
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	27/04/2016	10	9.13	101,415,894	29,777
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	28/04/2016	10	9.29	100,998,769	-417,125
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	28/04/2016	10	9.3	100,956,644	-42,125
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	29/04/2016	10	10.61	97,741,427	-3,215,217
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	29/04/2016	10	10.69	97,642,410	-99,017
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	02/05/2016	10	10.92	97,342,410	-300,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	20/05/2016	10	8.79	97,073,000	-269,410
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	26/05/2016	10	8.74	96,499,080	-573,920
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	27/05/2016	10	8.68	96,448,890	-50,190
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	31/05/2016	10	8.88	96,673,890	225,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	06/06/2016	10	9.85	96,318,040	-355,850
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	07/06/2016	10	9.7	96,278,270	-39,770
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	15/06/2016	10	8.6	95,599,280	-678,990
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	17/06/2016	10	8.75	94,931,580	-667,700
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	05/07/2016	10	9.44	94,911,580	-20,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	13/07/2016	10	10.97	94,801,580	-110,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	18/07/2016	10	10.33	94,362,450	-439,130
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	25/07/2016	10	10.01	94,325,342	-37,108
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	26/07/2016	10	10.4	93,981,852	-343,490
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	27/07/2016	10	10.13	93,915,352	-66,500
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	28/07/2016	10	11.28	91,415,352	-2,500,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	29/07/2016	10	11.31	90,533,452	-881,900
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	29/07/2016	10	11.24	90,488,154	-45,298
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	02/08/2016	10	11.3	89,598,804	-889,350
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	02/08/2016	10	11.29	91,321,604	1,722,800
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	02/08/2016	10	11.24	91,293,379	-28,225
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	03/08/2016	10	11.23	90,708,579	-584,800
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	03/08/2016	10	11.05	90,965,379	256,800
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	04/08/2016	10	11.31	90,815,379	-150,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	04/08/2016	10	11.48	91,665,579	850,200
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	05/08/2016	10	11.48	92,415,579	750,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	08/08/2016	10	12.1	91,106,979	-1,308,600

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	09/08/2016	10	11.82	90,505,579	-601,400
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	09/08/2016	10	11.79	90,445,579	-60,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	10/08/2016	10	12.04	89,270,379	-1,175,200
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	11/08/2016	10	12.01	88,604,279	-666,100
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	11/08/2016	10	12.01	88,574,279	-30,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	12/08/2016	10	11.69	88,174,279	-400,000
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	15/08/2016	10	11.92	86,914,328	-1,259,951
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	18/08/2016	10	12.17	86,795,228	-119,100
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	30/08/2016	10	10.69	86,784,318	-10,910
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	31/08/2016	10	9.83	87,284,608	500,290
First Quantum Minerals Ltd	Common Shares	SailingStone Capital Partners LLC	3	31/08/2016	10	9.91	87,449,347	164,739
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	02/08/2016	38	12.35	1,000	1,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	02/08/2016	38	12.35	0	-1,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	15/08/2016	38	12.41	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	15/08/2016	38	12.41	0	-3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	16/08/2016	38	12.44	500	500
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	16/08/2016	38	12.44	0	-500
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	18/08/2016	38	12.45	400	400
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	18/08/2016	38	12.45	0	-400
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	24/08/2016	38	12.52	800	800
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	24/08/2016	38	12.52	0	-800
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	26/08/2016	38	12.46	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	26/08/2016	38	12.46	0	-3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	29/08/2016	38	12.4	2,100	2,100
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	29/08/2016	38	12.4	0	-2,100
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	29/08/2016	38	12.44	900	900
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	29/08/2016	38	12.44	0	-900

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	30/08/2016	38	12.32	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	30/08/2016	38	12.32	0	-3,000
Foraco International SA	Common Shares	Foraco International SA	1	07/06/2016	38	0.45	580,327	2,072
Foraco International SA	Common Shares	Foraco International SA	1	08/06/2016	38	0.44	582,399	2,072
Foraco International SA	Common Shares	Foraco International SA	1	09/06/2016	38	0.45	584,471	2,072
Foraco International SA	Common Shares	Foraco International SA	1	13/06/2016	38	0.43	585,971	1,500
Foraco International SA	Common Shares	Foraco International SA	1	14/06/2016	38	0.49	588,043	2,072
Foraco International SA	Common Shares	Foraco International SA	1	17/06/2016	38	0.44	590,115	2,072
Foraco International SA	Common Shares	Foraco International SA	1	20/06/2016	38	0.43	592,115	2,000
Foraco International SA	Common Shares	Foraco International SA	1	21/06/2016	38	0.43	594,115	2,000
Foraco International SA	Common Shares	Foraco International SA	1	27/06/2016	38	0.435	596,115	2,000
Foraco International SA	Common Shares	Foraco International SA	1	28/06/2016	38	0.435	598,187	2,072
Foraco International SA	Common Shares	Foraco International SA	1	29/06/2016	38	0.435	600,259	2,072
Foraco International SA	Common Shares	Foraco International SA	1	30/06/2016	38	0.4352		2,072
Foraco International SA	Common Shares	Foraco International SA	1	30/06/2016	38	0.4352	602,331	2,072
Foraco International SA	Common Shares	Foraco International SA	1	05/07/2016	38	0.47		2,072
Foraco International SA	Common Shares	Foraco International SA	1	05/07/2016	38	0.47	604,403	2,072
Foraco International SA	Common Shares	Foraco International SA	1	08/07/2016	38	0.45		2,000
Foraco International SA	Common Shares	Foraco International SA	1	08/07/2016	38	0.45	606,403	2,000
Foraco International SA	Common Shares	Foraco International SA	1	11/07/2016	38	0.46	608,403	2,000
Foraco International SA	Common Shares	Foraco International SA	1	12/07/2016	38	0.46	610,403	2,000
Foraco International SA	Common Shares	Foraco International SA	1	13/07/2016	38	0.4603	612,475	2,072
Foraco International SA	Common Shares	Foraco International SA	1	14/07/2016	38	0.48	614,547	2,072
Foraco International SA	Common Shares	Foraco International SA	1	18/07/2016	38	0.48	616,619	2,072
Foraco International SA	Common Shares	Foraco International SA	1	25/07/2016	38	0.46	618,691	2,072
Foraco International SA	Common Shares	Foraco International SA	1	27/07/2016	38	0.465	620,763	2,072
Foraco International SA	Common Shares	Foraco International SA	1	02/08/2016	38	0.41	622,835	2,072
Foraco International SA	Common Shares	Foraco International SA	1	04/08/2016	38	0.42	624,907	2,072
Foraco International SA	Common Shares	Foraco International SA	1	05/08/2016	38	0.48	626,979	2,072
Foraco International SA	Common Shares	Foraco International SA	1	12/08/2016	38	0.43	628,551	1,572
Foraco International SA	Common Shares	Foraco International SA	1	15/08/2016	38	0.46	630,623	2,072
Foraco International SA	Common Shares	Foraco International SA	1	16/08/2016	38	0.4541	632,695	2,072
Foraco International SA	Common Shares	Foraco International SA	1	19/08/2016	38	0.465	634,767	2,072
Foraco International SA	Common Shares	Foraco International SA	1	22/08/2016	38	0.46	636,839	2,072
Foraco International SA	Common Shares	Foraco International SA	1	23/08/2016	38	0.46	638,911	2,072
Foraco International SA	Common Shares	Foraco International SA	1	25/08/2016	38	0.45	640,983	2,072
Foraco International SA	Common Shares	Foraco International SA	1	26/08/2016	38	0.46	642,983	2,000
Foraco International SA	Common Shares	Foraco International SA	1	29/08/2016	38	0.46	644,983	2,000
Foraco International SA	Common Shares	Foraco International SA	1	30/08/2016	38	0.465	647,055	2,072
Fortress Paper Ltd.	Common Shares Class A voting without par value	Fortress Paper Ltd.	1	06/09/2016	38	4.6	655,300	655,300
Fortune Minerals Limited	Common Shares	Massola, David	5	02/04/2015	10	0.08		62,500
Fortune Minerals Limited	Common Shares	Massola, David	5	02/04/2015	10	0.08		62,500
Fortune Minerals Limited	Common Shares	Massola, David	5	06/09/2016	00		62,500	
Fortune Minerals Limited	Options	Massola, David	5	06/09/2016	00			
Fortune Minerals Limited	Options	Massola, David	5	06/09/2016	00			
Fortune Minerals Limited	Options	Massola, David	5	06/09/2016	00			
Fortune Minerals Limited	Options	Massola, David	5	06/09/2016	50	0.115	1,000,000	1,000,000
Fortune Minerals Limited	Warrants	Massola, David	5	06/09/2016	00		31,250	
Frankly Inc. (formerly WB III Acquisition Corp.)	Common Shares	Raycom Media, Inc.	3	01/09/2016	00		9,304,532	
Frankly Inc. (formerly WB III Acquisition Corp.)	Warrants	Raycom Media, Inc.	3	01/09/2016	00		14,809,720	
Freehold Royalties Ltd.	Common Shares	Romanow, Marvin F.	4	15/04/2016	30	9.9	21,433	86
Freehold Royalties Ltd.	Common Shares	Romanow, Marvin F.	4	16/05/2016	30	10.83	21,512	79
Freehold Royalties Ltd.	Common Shares	Romanow, Marvin F.	4	15/06/2016	30	11.1	31,625	113

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Freehold Royalties Ltd.	Common Shares	Romanow, Marvin F.	4	15/07/2016	30	11.14	31,738	113
Frontenac Mortgage Investment Corporation	Common Shares	Barnes, Robert	4	06/09/2016	15	30	50,805	73
Frontenac Mortgage Investment Corporation	Common Shares	Cruickshank, Kevin	5	06/09/2016	15	30	12,610	95
Frontenac Mortgage Investment Corporation	Common Shares	REISER, DAWN	5	06/09/2016	15	30	850	24
Gaming Nation Inc.	Common Shares	Crowe, Richard	4	06/09/2016	10	0.59	552,700	20,000
Gaming Nation Inc.	Common Shares	Crowe, Richard	4	06/09/2016	10	0.6	576,700	24,000
Gaming Nation Inc.	Common Shares	Secord, Scott Lawrence	4, 5	09/09/2016	10	0.56	995,300	3,000
Gazit-Globe Ltd.	Common Shares	Jemini, Adi	5	16/01/2016	00			
Gazit-Globe Ltd.	Common Shares	Jemini, Adi	5	01/09/2016	57		4,741	4,741
Gazit-Globe Ltd.	Restricted Share Units	Jemini, Adi	5	01/09/2016	57		9,482	-4,741
Gazit-Globe Ltd.	Common Shares	Lavine, Rachel	4, 5	01/09/2016	57		27,042	17,042
Gazit-Globe Ltd.	Restricted Share Units	Lavine, Rachel	4, 5	01/09/2016	57		34,086	-17,042
Gazit-Globe Ltd.	Common Shares	Mientkavich, Arie	4	03/09/2016	57		118,535	5,272
Gazit-Globe Ltd.	Performance Share Units	Mientkavich, Arie	4	03/09/2016	38		0	-35,786
Gazit-Globe Ltd.	Restricted Share Units	Mientkavich, Arie	4	03/09/2016	57		0	-5,272
Gear Energy Ltd.	Common Shares	Gray, Don	3, 4	02/09/2016	10	0.71	218,379	-8,119
Gear Energy Ltd.	Common Shares	Gray, Don	3, 4	02/09/2016	10	0.71	2,032,324	-75,563
Gear Energy Ltd.	Common Shares	Verburg, Peter Julius	4	02/09/2016	10	0.71	218,379	-8,119
Gear Energy Ltd.	Common Shares	Verburg, Peter Julius	4	02/09/2016	10	0.71	2,032,324	-75,563
GeneNews Limited	Common Shares	Howard-Tripp, James	4, 5	01/09/2016	11	0.16	625,000	625,000
GeneNews Limited	Warrants	Howard-Tripp, James	4, 5	01/06/2003	00			
GeneNews Limited	Warrants	Howard-Tripp, James	4, 5	01/09/2016	10	0.5	156,250	156,250
GeneNews Limited	Common Shares	MacRae, Garth A. C.	4	01/09/2016	11	0.16	326,083	319,000
GeneNews Limited	Options	MacRae, Garth A. C.	4	16/05/2016	52	1.14	597,293	-12,500
GeneNews Limited	Warrants	MacRae, Garth A. C.	4	28/11/2002	00			
GeneNews Limited	Warrants	MacRae, Garth A. C.	4	01/09/2016	11	0.5	79,750	79,750
GeneNews Limited	Common Shares	Riggs, Rory	4	01/09/2016	11	0.16	7,693,191	2,500,000
GeneNews Limited	Promissory Notes	Riggs, Rory	4	27/06/2016	11	100000	\$400,000	\$100,000
GeneNews Limited	Promissory Notes	Riggs, Rory	4	12/07/2016	11		\$450,000	\$50,000
GeneNews Limited	Promissory Notes	Riggs, Rory	4	01/09/2016	11		\$144,656	-\$305,344
GeneNews Limited	Warrants	Riggs, Rory	4	01/09/2016	11	0.5	2,187,500	625,000
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	03/08/2016	38	2.75	15,000	15,000
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	04/08/2016	38	2.74	20,500	5,500
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	05/08/2016	38	2.75	21,700	1,200
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	10/08/2016	38	2.73	27,000	5,300
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	11/08/2016	38	2.75	31,400	4,400
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	12/08/2016	38	2.75	31,600	200
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	16/08/2016	38	2.75	37,100	5,500
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	30/08/2016	38	2.85	47,100	10,000
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	31/08/2016	38	2.82	57,100	10,000
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	31/08/2016	38		20,000	-37,100
Genesis Land Development Corp.	Common Shares	Miller, III, Lloyd I.	3	06/09/2016	10	2.2183	3,210,100	40,000
Genesis Land Development Corp.	Common Shares	Miller, III, Lloyd I.	3	07/09/2016	10	2.1704	3,213,400	3,300
Genesis Land Development Corp.	Common Shares	Miller, III, Lloyd I.	3	08/09/2016	10	2.1676	112,900	1,600
Genius Properties Ltd.	Options	Vallée, Hubert	4	11/07/2016	00			
Genius Properties Ltd.	Options	Vallée, Hubert	4	09/09/2016	50	0.05	300,000	300,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Gildan Activewear Inc.	Restricted Share Units	HERNANDEZ, Raimundo	5	29/08/2016	00			
Gildan Activewear Inc.	Restricted Share Units	HERNANDEZ, Raimundo	5	29/08/2016	56	38.69	7,500	7,500
Glance Technologies Inc.	Options	Griffin, Angela	5	06/09/2016	00		500,000	
Glance Technologies Inc.	Options	Herrington, Kirk	4	06/09/2016	50		275,000	25,000
Glance Technologies Inc.	Options	Rao, Christina	5	08/09/2016	00		150,000	
Glance Technologies Inc.	Options	Topham, James Walter	4	06/09/2016	50		325,000	25,000
Global Dividend Growers Income Fund	Trust Units	Global Dividend Growers Income Fund	1	02/09/2016	38	11.14	2,335,056	900
Global Healthcare Dividend Fund	Trust Units	Global Healthcare Dividend Fund	1	02/09/2016	38	11.242	3,147,913	2,400
Global Healthcare Dividend Fund	Trust Units	Global Healthcare Dividend Fund	1	06/09/2016	38	11.203	3,149,213	1,300
Global Healthcare Dividend Fund	Trust Units	Global Healthcare Dividend Fund	1	07/09/2016	38	11.19	3,149,513	300
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	02/08/2016	38	9.09	3,000	3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	02/08/2016	38	9.09	0	-3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	03/08/2016	38	8.99	600	600
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	03/08/2016	38	8.99	0	-600
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	05/08/2016	38	9.16	500	500
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	05/08/2016	38	9.16	0	-500
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	08/08/2016	38	9.15	3,000	3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	08/08/2016	38	9.15	0	-3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	09/08/2016	38	9.15	3,000	3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	09/08/2016	38	9.15	0	-3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	10/08/2016	38	9.11	3,000	3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	10/08/2016	38	9.11	0	-3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	16/08/2016	38	9.24	3,000	3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	16/08/2016	38	9.24	0	-3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	17/08/2016	38	9.21	3,000	3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	17/08/2016	38	9.21	0	-3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	18/08/2016	38	9.15	1,200	1,200
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	18/08/2016	38	9.15	0	-1,200
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	19/08/2016	38	9.15	1,400	1,400
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	19/08/2016	38	9.15	0	-1,400
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	22/08/2016	38	9.19	3,000	3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	22/08/2016	38	9.19	0	-3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	22/08/2016	38	9.28		3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	24/08/2016	38	9.28	3,000	3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	24/08/2016	38	9.28	0	-3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	25/08/2016	38	9.2	3,000	3,000



**Insider Reporting**

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Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	25/08/2016	38	9.2	0	-3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	26/08/2016	38	9.08	3,000	3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	26/08/2016	38	9.08	0	-3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	29/08/2016	38	8.96	3,000	3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	29/08/2016	38	8.96	0	-3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	29/08/2016	38	8.81	2,400	2,400
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	29/08/2016	38	8.81	0	-2,400
Gluskin Sheff + Associates Inc.	Common Shares	Bantis, Jim Demetris	5	06/09/2016	57		183,035	50,623
Gluskin Sheff + Associates Inc.	Common Shares	Bantis, Jim Demetris	5	06/09/2016	10	19.23	155,935	-27,100
Gluskin Sheff + Associates Inc.	Restricted Share Units	Bantis, Jim Demetris	5	06/09/2016	57		78,887	-50,623
Gluskin Sheff + Associates Inc.	Common Shares	LiChong, Jeannine Nietlan	5	06/09/2016	56		241,424	52,520
Gluskin Sheff + Associates Inc.	Common Shares	LiChong, Jeannine Nietlan	5	06/09/2016	10	19.23	213,309	-28,115
Gluskin Sheff + Associates Inc.	Restricted Share Units	LiChong, Jeannine Nietlan	5	06/09/2016	57		98,424	-52,520
Gluskin Sheff + Associates Inc.	Common Shares	Mann, Peter McDaniel	5	06/09/2016	57		79,826	52,520
Gluskin Sheff + Associates Inc.	Common Shares	Mann, Peter McDaniel	5	06/09/2016	10	19.23	51,711	-28,115
Gluskin Sheff + Associates Inc.	Restricted Share Units	Mann, Peter McDaniel	5	06/09/2016	57		98,423	-52,520
Gluskin Sheff + Associates Inc.	Common Shares	Moody, Jeffrey	5	06/09/2016	57		834,807	18,102
Gluskin Sheff + Associates Inc.	Common Shares	Moody, Jeffrey	5	06/09/2016	10	19.23	825,117	-9,690
Gluskin Sheff + Associates Inc.	Restricted Share Units	Moody, Jeffrey	5	06/09/2016	57		129,065	-18,102
Gluskin Sheff + Associates Inc.	Common Shares	Morris, David Roy	5	06/09/2016	57	19.23		1,789
Gluskin Sheff + Associates Inc.	Common Shares	Morris, David Roy	5	06/09/2016	10	19.23		1,789
Gluskin Sheff + Associates Inc.	Common Shares	Morris, David Roy	5	06/09/2016	57		4,752	1,789
Gluskin Sheff + Associates Inc.	Common Shares	Morris, David Roy	5	06/09/2016	10	19.23	3,794	-958
Gluskin Sheff + Associates Inc.	Restricted Share Units	Morris, David Roy	5	06/09/2016	57		10,901	-1,789
GMP Capital Inc.	Common Shares	Bell, Douglas	7	02/09/2016	10	4.8788	493,051	5,549
GMP Capital Inc.	Common Shares	Bond, Chris	7	02/09/2016	10	4.83	234,724	241
GMP Capital Inc.	Common Shares	Sullivan, Kevin M.	4, 5	02/09/2016	10	4.83	18,848	996
GMP Capital Inc.	Common Shares	WEIR, ROBERT RUSSELL	7	02/09/2016	10	4.8695	548,010	1,906
Gold Mountain Mining Corporation	Common Shares	KCR, LLC	3	02/09/2016	11	0.1	24,916,500	-5,300,000
Gold Mountain Mining Corporation	Common Shares	McLeod, Christopher Raymond	5	02/09/2016	11		1,708,000	1,000,000
Gold Mountain Mining Corporation	Common Shares	O'Rourke, James Calhoun	4	02/09/2016	11		2,493,847	1,000,000
Goldcorp Inc.	Common Shares	Ruus, Mark Adrian	5	02/09/2016	10	20.77	24,536	-3,500
Golden Dawn Minerals Inc.	Common Shares	Wiese, Wolfgang	4, 5	02/09/2016	54	0.125	4,909,074	500,000
Golden Dawn Minerals Inc.	Common Shares	Wiese, Wolfgang	4, 5	02/09/2016	54	0.13	5,709,074	800,000
Golden Dawn Minerals Inc.	Common Shares	Wiese, Wolfgang	4, 5	09/09/2016	10	0.345	5,909,074	200,000
Golden Dawn Minerals Inc.	Warrants	Wiese, Wolfgang	4, 5	02/09/2016	54	0.125	5,623,834	-500,000
Golden Dawn Minerals Inc.	Warrants	Wiese, Wolfgang	4, 5	02/09/2016	54	0.13	4,823,834	-800,000
Golden Hope Mines Limited	Options	Laurin, Nathalie	5	09/09/2016	50	0.32		30,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Golden Hope Mines Limited	Options	Laurin, Nathalie	5	09/09/2016	50	0.32	50,000	30,000
Golden Hope Mines Limited	Options	Millington, Roy	4	09/09/2016	50		135,000	75,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	04/08/2016	38	7.59	3,000	3,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	04/08/2016	38	7.59	0	-3,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	05/08/2016	38	7.53	1,100	1,100
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	05/08/2016	38	7.53	0	-1,100
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	08/08/2016	38	7.61	600	600
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	08/08/2016	38	7.61	0	-600
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	12/08/2016	38	7.66	1,100	1,100
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	12/08/2016	38	7.66	0	-1,100
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	16/08/2016	38	7.7	1,400	1,400
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	16/08/2016	38	7.7	0	-1,400
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	17/08/2016	38	7.7	1,600	1,600
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	17/08/2016	38	7.7	0	-1,600
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	18/08/2016	38	7.7	300	300
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	18/08/2016	38	7.7	0	-300
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	22/08/2016	38	7.71	3,000	3,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	22/08/2016	38	7.71	0	-3,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	24/08/2016	38	7.84	3,000	3,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	24/08/2016	38	7.84	0	-3,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	25/08/2016	38	7.88	3,000	3,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	25/08/2016	38	7.88	0	-3,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	25/08/2016	38	7.73	100	100
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	25/08/2016	38	7.73	0	-100
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	26/08/2016	38	7.73	2,000	2,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	26/08/2016	38	7.73	0	-2,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	29/08/2016	38	7.73	700	700
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	29/08/2016	38	7.73	0	-700
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	30/08/2016	38	7.73	300	300
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	30/08/2016	38	7.73	0	-300
Great Bear Resources Ltd.	Common Shares	Ramshaw, Douglas William	4	01/09/2016	16	0.2	100,000	80,000
Great Bear Resources Ltd.	Options	Ramshaw, Douglas William	4	22/07/2016	00			
Great Bear Resources Ltd.	Options	Ramshaw, Douglas William	4	01/09/2016	50	0.23	100,000	100,000
Great Bear Resources Ltd.	Warrants	Ramshaw, Douglas William	4	01/09/2016	16		100,000	80,000
Great Bear Resources Ltd.	Options	Ricci, Antonio	4	01/09/2016	50	0.23	230,000	100,000
Great Bear Resources Ltd.	Common Shares	Singh, Rajbinder Bob	4	01/09/2016	11	0.2	358,334	25,000
Great Bear Resources Ltd.	Common Shares	Singh, Rajbinder Bob	4	01/09/2016	53	0.27	383,334	25,000
Great Bear Resources Ltd.	Options	Singh, Rajbinder Bob	4	24/04/2015	00			

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Great Bear Resources Ltd.	Options	Singh, Rajbinder Bob	4	01/09/2016	50	0.23	180,000	180,000
Great Lakes Graphite Inc.	Common Shares	Ferguson, Paul Kenneth	4, 5	08/09/2016	10	0.062	3,563,714	70,000
Great Lakes Graphite Inc.	Common Shares	Ferguson, Paul Kenneth	4, 5	08/09/2016	10	0.0658	3,573,714	10,000
Great Lakes Graphite Inc.	Options	Ferguson, Paul Kenneth	4, 5	03/09/2016	52	0.1	726,740	-150,000
Great Lakes Graphite Inc.	Options	Gorman, Paul Anthony	4, 5	03/09/2016	52	0.1	450,000	-500,000
Great Lakes Graphite Inc.	Options	Murphy, Gregory William	4	03/09/2016	52	0.1	450,000	-250,000
Greenfields Petroleum Corporation	Common Shares - New	Curzadd, Allen Wayne	5	01/09/2016	10	0.21	248,719	100,000
Greenfields Petroleum Corporation	Common Shares - New	Curzadd, Allen Wayne	5	01/09/2016	10	0.22	298,719	50,000
GSI Group Inc.	Common Shares	Roush, John A	4, 5	09/03/2012	57			-444,444
GSI Group Inc.	Common Shares	Roush, John A	4, 5	09/03/2012	57		479,260	-44,444
GSI Group Inc.	Common Shares	Roush, John A	4, 5	11/03/2013	10	9.71		14,490
GSI Group Inc.	Common Shares	Roush, John A	4, 5	11/03/2013	10	9.71	550,442	-14,490
GTA Resources and Mining Inc.	Common Shares	Barr, Clinton	4	29/08/2016	10	0.159		-60,000
GTA Resources and Mining Inc.	Common Shares	Barr, Clinton	4	30/08/2016	10	0.159	729,971	-60,000
GTA Resources and Mining Inc.	Common Shares	Barr, Clinton	4	30/08/2016	10	0.14		-60,000
GTA Resources and Mining Inc.	Common Shares	Barr, Clinton	4	31/08/2016	10	0.14	669,971	-60,000
GTA Resources and Mining Inc.	Common Shares	Duess, Robert L.	4, 5	02/09/2016	10	0.125	200,000	-800,000
GTA Resources and Mining Inc.	Common Shares	Duess, Robert L.	4, 5	02/09/2016	54	0.06	700,000	500,000
GTA Resources and Mining Inc.	Common Shares	Duess, Robert L.	4, 5	06/09/2016	10	0.12	600,000	-100,000
GTA Resources and Mining Inc.	Common Shares	Duess, Robert L.	4, 5	07/09/2016	10	0.115	500,000	-100,000
GTA Resources and Mining Inc.	Warrants	Duess, Robert L.	4, 5	02/09/2016	54	0.06	500,000	-500,000
GTA Resources and Mining Inc.	Common Shares	Stares, Stephen	4	29/08/2016	10	0.159		-60,000
GTA Resources and Mining Inc.	Common Shares	Stares, Stephen	4	30/08/2016	10	0.159	729,971	-60,000
GTA Resources and Mining Inc.	Common Shares	Stares, Stephen	4	30/08/2016	10	0.14		-60,000
GTA Resources and Mining Inc.	Common Shares	Stares, Stephen	4	31/08/2016	10	0.14	669,971	-60,000
Halogen Software Inc.	Common Shares	Graham, Eric John	5	07/09/2016	10	3.23	3,300	3,300
Halogen Software Inc.	Common Shares	Graham, Eric John	5	07/09/2016	10	9.5	0	-3,300
Halogen Software Inc.	Common Shares	Graham, Eric John	5	08/09/2016	10	3.23	1,700	1,700
Halogen Software Inc.	Common Shares	Graham, Eric John	5	08/09/2016	10	9.5	0	-1,700
Halogen Software Inc.	Options	Graham, Eric John	5	07/09/2016	51	3.23		-3,300
Halogen Software Inc.	Options	Graham, Eric John	5	07/09/2016	51	3.23		-3,300
Halogen Software Inc.	Options	Graham, Eric John	5	07/09/2016	51	3.23	14,034	-3,300
Halogen Software Inc.	Options	Graham, Eric John	5	08/09/2016	51	3.23	12,334	-1,700
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	02/08/2016	38	8.95	1,000	1,000
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	03/08/2016	38	8.9	2,000	1,000
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	03/08/2016	38	9.19	2,200	200
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	03/08/2016	38	9.2	4,800	2,600
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	04/08/2016	38	9.29	6,700	1,900
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	05/08/2016	38	9.38	7,000	300
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	05/08/2016	38	9.45	7,700	700
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	05/08/2016	38	9.49	10,400	2,700
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	10/08/2016	38	9.49	11,300	900
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	10/08/2016	38	9.5	11,800	500
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	11/08/2016	38	9.4	12,300	500
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	11/08/2016	38	9.48	12,800	500
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	11/08/2016	38	9.49	14,600	1,800
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	12/08/2016	38	9.43	16,800	2,200

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Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	12/08/2016	38	9.45	18,100	1,300
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	15/08/2016	38	9.4	19,400	1,300
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	15/08/2016	38	9.44	19,900	500
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	15/08/2016	38	9.49	21,900	2,000
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	16/08/2016	38	9.4	24,700	2,800
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	16/08/2016	38	9.41		1,000
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	16/08/2016	38	9.41		1,000
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	16/08/2016	38	9.41	25,200	500
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	17/08/2016	38	9.3	25,800	600
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	17/08/2016	38	9.38	28,000	2,200
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	17/08/2016	38	9.39	29,000	1,000
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	18/08/2016	38	8.85		100
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	18/08/2016	38	8.85		100
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	18/08/2016	38	9		3,100
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	18/08/2016	38	9		3,100
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	18/08/2016	38	9.11	29,600	600
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	18/08/2016	38	9	32,400	2,800
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	19/08/2016	38	9.1	32,900	500
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	19/08/2016	38	9.17	33,900	1,000
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	22/08/2016	38	9.48	34,900	1,000
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	22/08/2016	38	9.49	37,700	2,800
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	23/08/2016	38	9.4	39,100	1,400
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	23/08/2016	38	9.49	41,500	2,400
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	24/08/2016	38	9.39	42,500	1,000
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	24/08/2016	38	9.4	45,300	2,800
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	25/08/2016	38	9.39	48,100	2,800
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	25/08/2016	38	9.4	49,100	1,000
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	26/08/2016	38	9.4	50,200	1,100
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	26/08/2016	38	9.45	51,400	1,200
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	26/08/2016	38	9.49	52,900	1,500
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	29/08/2016	38	9.35	53,700	800
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	29/08/2016	38	9.4	56,100	2,400
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	30/08/2016	38	8.99	56,300	200
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	30/08/2016	38	9	57,400	1,100
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	30/08/2016	38	9.02	57,900	500
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	30/08/2016	38	9.04	58,800	900
Halogen Software Inc.	Common Shares	Halogen Software Inc.	1	31/08/2016	38		0	-58,800
Happy Creek Minerals Ltd.	Options	Blann, David Ellis	5	30/08/2016	50	0.18	1,000,000	550,000
Heatherdale Resources Ltd.	Warrants	Brommeland, Lena Kathryn	4	31/08/2015	53	0.05		400,000
Heatherdale Resources Ltd.	Warrants	Brommeland, Lena Kathryn	4	31/08/2015	53	0.05	400,000	400,000
Heatherdale Resources Ltd.	Warrants	Carrier, Rene G.	4	31/08/2015	53	0.05		500,000
Heatherdale Resources Ltd.	Warrants	Carrier, Rene G.	4	31/08/2015	53	0.05	500,000	500,000
Heatherdale Resources Ltd.	Warrants	Copeland, David James	4	24/08/2015	11			300,000
Heatherdale Resources Ltd.	Warrants	Copeland, David James	4	01/09/2015	11			300,000
Heatherdale Resources Ltd.	Warrants	Copeland, David James	4	01/09/2015	11		300,000	300,000
Heatherdale Resources Ltd.	Warrants	Dickinson, Robert Allan	4	01/09/2015	53	0.05		600,000
Heatherdale Resources Ltd.	Warrants	Dickinson, Robert Allan	4	01/09/2015	53	0.05	600,000	600,000
Heatherdale Resources Ltd.	Warrants	Dickinson, Robert Allan	4	24/08/2016	55	0.05		-600,000
Heatherdale Resources Ltd.	Warrants	Dickinson, Robert Allan	4	24/08/2016	55	0.05		-600,000
Hello Pal International Inc. (formerly known as Neoteck Solutions Inc.)	Common Shares	Roehlig, Axel Gunther Ruediger	4, 5	06/09/2016	10	0.34	2,316,333	49,000
High Liner Foods Incorporated	Common Shares	Brown, Joanne	5	29/01/2007	00			
High Liner Foods Incorporated	Common Shares	Brown, Joanne	5	02/09/2016	51	24.89	1,823	1,823
High Liner Foods Incorporated	Options	Brown, Joanne	5	02/09/2016	51	17.39	47,809	-6,052
High Liner Foods Incorporated	Options	Covelluzzi, James G	4	06/09/2016	59	25.25	45,190	-26,750

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Holloway Lodging Corporation	Convertible Debentures HLC.DB 6.25% due Feb 28, 2020	Clarke Inc.	3	06/09/2016	10	90	\$7,766,000	-\$2,446,000
Home Capital Group Inc.	Common Shares	Marsh, John M.	4	08/09/2016	10	29.504	951,987	-36,000
IDM Mining Ltd. (Formerly Revolution Resources Corp.)	Common Shares	Osisko Mining Inc.	3	06/09/2016	10	0.2007	25,492,944	-500,000
IGM Financial Inc.	Common Shares	IGM Financial Inc.	1	02/08/2016	38	36.376	5,000	5,000
IGM Financial Inc.	Common Shares	IGM Financial Inc.	1	02/08/2016	38		0	-5,000
IGM Financial Inc.	Common Shares	IGM Financial Inc.	1	03/08/2016	38	36.552	5,000	5,000
IGM Financial Inc.	Common Shares	IGM Financial Inc.	1	03/08/2016	38		0	-5,000
IGM Financial Inc.	Common Shares	IGM Financial Inc.	1	04/08/2016	38	36.985	5,000	5,000
IGM Financial Inc.	Common Shares	IGM Financial Inc.	1	04/08/2016	38		0	-5,000
IGM Financial Inc.	Common Shares	IGM Financial Inc.	1	05/08/2016	38	36.885	5,000	5,000
IGM Financial Inc.	Common Shares	IGM Financial Inc.	1	05/08/2016	38		0	-5,000
IGM Financial Inc.	Common Shares	IGM Financial Inc.	1	08/08/2016	38	36.568	5,000	5,000
IGM Financial Inc.	Common Shares	IGM Financial Inc.	1	08/08/2016	38		0	-5,000
IMAX Corporation	Options 1:1	Foster, Greg	5	01/09/2016	50	30.71	782,626	136,187
IMAX Corporation	Rights Restricted Share Unit	Foster, Greg	5	01/09/2016	56		97,088	51,286
IMAX Corporation	Common Shares	Gelfond, Richard L.	4, 5	01/09/2016	57		117,465	14,995
IMAX Corporation	Common Shares	Gelfond, Richard L.	4, 5	02/09/2016	10	30.828		-7,441
IMAX Corporation	Common Shares	Gelfond, Richard L.	4, 5	02/09/2016	10	30.828	109,724	-7,741
IMAX Corporation	Rights Restricted Share Unit	Gelfond, Richard L.	4, 5	01/09/2016	57		209,221	-14,995
Immunovaccine Inc.	Common Shares	Dawe, Wade K.	4	25/09/2014	00			
Immunovaccine Inc.	Common Shares	Dawe, Wade K.	4	02/09/2016	10	0.79	40,000	40,000
Immunovaccine Inc.	Common Shares	SCARDINO, ALBERT JAMES	4	29/08/2016	10	0.67	4,845,805	5,000
Inca One Gold Corp.	Common Shares	Kelly, Edward John	4	01/09/2016	97	0.45	500,731	23,150
Inca One Gold Corp.	Common Shares	Kelly, Edward John	4	01/09/2016	97	0.45	1,106,920	54,800
Industrial Alliance Insurance and Financial Services inc.	Common Shares	Chabot, René	5	07/09/2016	51	35.64	23,673	7,800
Industrial Alliance Insurance and Financial Services inc.	Common Shares	Chabot, René	5	07/09/2016	10	48	15,873	-7,800
Industrial Alliance Insurance and Financial Services inc.	Options	Chabot, René	5	07/09/2016	51	35.64	212,200	-7,800
Innova Gaming Group Inc.	Common Shares	Innova Gaming Group Inc.	1	16/08/2016	38	1.0847	8,800	8,800
Innova Gaming Group Inc.	Common Shares	Innova Gaming Group Inc.	1	17/08/2016	38	1.1233	17,600	8,800
Innova Gaming Group Inc.	Common Shares	Innova Gaming Group Inc.	1	18/08/2016	38	1.1	26,400	8,800
Innova Gaming Group Inc.	Common Shares	Innova Gaming Group Inc.	1	19/08/2016	38	1.1317	35,200	8,800
Innova Gaming Group Inc.	Common Shares	Innova Gaming Group Inc.	1	22/08/2016	38	1.14	44,000	8,800
Innova Gaming Group Inc.	Common Shares	Innova Gaming Group Inc.	1	23/08/2016	38	1.1	46,000	2,000
Innova Gaming Group Inc.	Common Shares	Innova Gaming Group Inc.	1	24/08/2016	38	1.1	46,200	200
Innova Gaming Group Inc.	Common Shares	Innova Gaming Group Inc.	1	25/08/2016	38	1.1078	50,800	4,600
Innova Gaming Group Inc.	Common Shares	Innova Gaming Group Inc.	1	26/08/2016	38	1.129	55,000	4,200
Innova Gaming Group Inc.	Common Shares	Innova Gaming Group Inc.	1	29/08/2016	38	1.1609	61,800	6,800
Innova Gaming Group Inc.	Common Shares	Innova Gaming Group Inc.	1	30/08/2016	38	1.1856	68,600	6,800
Innova Gaming Group Inc.	Common Shares	Innova Gaming Group Inc.	1	31/08/2016	38	1.1727	77,400	8,800
Innova Gaming Group Inc.	Common Shares	Innova Gaming Group Inc.	1	31/08/2016	38		22,400	-55,000
Input Capital Corp.	Rights Deferred Share Units	Budreski, John Philip Adrian	4	06/08/2013	00			
Input Capital Corp.	Rights Deferred Share Units	Budreski, John Philip Adrian	4	08/09/2016	56	1.83	23,894	23,894
Input Capital Corp.	Common Shares	Emsley, Doug	4, 6, 5	09/09/2016	10	1.856	254,627	10,000
Inspira Financial Inc. (formerly, Chrysalis Capital IX Corporation)	Common Shares	Hecksel, Marc	5	06/09/2016	10	0.415	47,000	29,500
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	02/08/2016	38	93.58	2,800	100
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	03/08/2016	38	93.43	4,800	2,000
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	04/08/2016	38	92.956	6,300	1,500
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	05/08/2016	38	93.255	6,500	200
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	08/08/2016	38	93.944	8,500	2,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	09/08/2016	38	93.693	10,400	1,900
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	10/08/2016	38	93.013	12,300	1,900
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	11/08/2016	38	93.074	14,200	1,900
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	15/08/2016	38	92.653	16,200	2,000
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	16/08/2016	38	92.464	18,200	2,000
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	17/08/2016	38	92.185	20,200	2,000
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	18/08/2016	38	91.96	20,900	700
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	19/08/2016	38	91.679	22,400	1,500
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	22/08/2016	38	91.97	22,700	300
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	23/08/2016	38	92.63	23,200	500
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	25/08/2016	38	93.086	23,900	700
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	26/08/2016	38	93.331	24,600	700
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	29/08/2016	38	93.165	26,600	2,000
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	30/08/2016	38		2,000	-24,600
INTELLIPHARMACEUTICS INTERNATIONAL INC.	Options	Allport, John Newell	4, 5	31/08/2016	50	2.42	420,000	55,000
INTELLIPHARMACEUTICS INTERNATIONAL INC.	Options	Keirstead, Kenneth	4	31/08/2016	50		175,000	35,000
Intermap Technologies Corporation	Options	Burns, Kevin	5	02/09/2016	52	0.27	362,500	-50,000
Intermap Technologies Corporation	Options	Burns, Kevin	5	02/09/2016	52	0.29	325,000	-37,500
Intermap Technologies Corporation	Options	Burns, Kevin	5	02/09/2016	52	0.44	309,375	-15,625
International Wastewater Systems Inc. (formerly Amana Copper Ltd.)	Common Shares	Burton, Russell	5	01/04/2016	00		1,000,000	
International Wastewater Systems Inc. (formerly Amana Copper Ltd.)	Options	Burton, Russell	5	01/04/2016	00		250,000	
Intertape Polymer Group Inc.	Common Shares	Foster, Robert J	4	07/09/2016	47	22.86	56,500	-8,500
Intertape Polymer Group Inc.	Rights SARs	Yull, Gregory	4, 5	07/09/2016	59	7.56	0	-125,225
Inventus Mining Corp.	Common Shares	Spears, Stephan Michael Seydegart	4	06/09/2016	10	0.24	117,000	47,000
Itasca Capital Ltd.	Common Shares	STRONG/MDI INC.	3	06/09/2016	10	0.6881	6,869,000	69,000
Itasca Capital Ltd.	Common Shares	STRONG/MDI INC.	3	07/09/2016	10	0.7	6,944,000	75,000
Itasca Capital Ltd.	Common Shares	STRONG/MDI INC.	3	08/09/2016	10	0.7	6,993,000	49,000
Jaguar Mining Inc.	Deferred Share Units (DSUs)	Ahmed, Hashim	5	19/02/2016	00		35,473	
Jaguar Mining Inc.	Options	Ahmed, Hashim	5	06/09/2016	50	0.74	252,363	177,363
Jaguar Mining Inc.	Deferred Share Units (DSUs)	Gill, Robert Clifton	5	01/08/2016	00			
Jaguar Mining Inc.	Deferred Share Units (DSUs)	Gill, Robert Clifton	5	08/08/2016	46		70,945	70,945
Jaguar Mining Inc.	Options	Gill, Robert Clifton	5	01/08/2016	00			
Jaguar Mining Inc.	Options	Gill, Robert Clifton	5	08/08/2016	50	0.74	177,363	177,363
Jericho Oil Corporation (fka Dakar Resource Corp.)	Options	Baxter, Nicholas W.	4	25/08/2016	50	0.45	500,000	250,000
Jericho Oil Corporation (fka Dakar Resource Corp.)	Options	Wilson, Allen William	4	25/08/2016	50	0.45	1,000,000	250,000
Just Energy Group Inc.	Bonds 6.5% Convertible Bonds	Pattison, James A.	3	29/10/2013	00			
Just Energy Group Inc.	Bonds 6.5% Convertible Bonds	Pattison, James A.	3	01/09/2016	10	94.75	\$1,600,000	\$1,600,000
K-Bro Linen Inc.	Common Shares	Graham, Ronald James	5	02/09/2016	10	44.44	15,205	-1,800
K-Bro Linen Inc.	Common Shares	Graham, Ronald James	5	06/09/2016	10	44.59	13,005	-2,200
Karnalyte Resources Inc.	Common Shares	Phinney, Robin	3	07/07/2016	90			-382,335
Karnalyte Resources Inc.	Common Shares	Phinney, Robin	3	07/07/2016	90			-382,335
Karnalyte Resources Inc.	Common Shares	Phinney, Robin	3	07/07/2016	90			-2,287,512
Karnalyte Resources Inc.	Common Shares	Phinney, Robin	3	07/07/2016	90			-2,287,512
Karnalyte Resources Inc.	Common Shares	Phinney, Robin	3	07/07/2016	90			382,335
Karnalyte Resources Inc.	Common Shares	Phinney, Robin	3	07/07/2016	90			382,335
Karnalyte Resources Inc.	Common Shares	Phinney, Robin	3	07/07/2016	90			-11,575

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Karnalyste Resources Inc.	Common Shares	Phinney, Robin	3	07/07/2016	90			-11,575
Kelso Technologies Inc.	Common Shares	Andrukaitis, Anthony	4, 5	31/08/2016	10	1.1	224,500	-1,300
Kelso Technologies Inc.	Common Shares	Andrukaitis, Anthony	4, 5	06/09/2016	10	1.15	224,480	-20
Kelso Technologies Inc.	Common Shares	Andrukaitis, Anthony	4, 5	06/09/2016	10	1.1	222,480	-2,000
Kelso Technologies Inc.	Common Shares	Andrukaitis, Anthony	4, 5	07/09/2016	10	1.133	218,480	-4,000
Kelso Technologies Inc.	Common Shares	Andrukaitis, Anthony	4, 5	07/09/2016	10	1.14	216,480	-2,000
Kelso Technologies Inc.	Common Shares	Andrukaitis, Anthony	4, 5	07/09/2016	10	1.1201	214,480	-2,000
Kelso Technologies Inc.	Common Shares	Andrukaitis, Anthony	4, 5	07/09/2016	10	1.1246	212,480	-2,000
KILO Goldmines Ltd.	Common Shares	Osisko Mining Inc.	3	06/09/2016	10	0.19	9,668,000	-73,500
KILO Goldmines Ltd.	Common Shares	Osisko Mining Inc.	3	09/09/2016	10	0.1604	9,168,000	-500,000
Klondex Mines Ltd.	Common Shares	Matlack, William	4	06/09/2016	10	5.8507	1,317,487	-27,385
Klondex Mines Ltd.	Common Shares	Schultz, Blair	4	08/09/2016	51	1.5	263,333	200,000
Klondex Mines Ltd.	Options	Schultz, Blair	4	08/09/2016	51	1.5	480,000	-200,000
Kontrol Energy Corp.	Common Shares	Del Vasto, Claudio	4	06/09/2016	16	0.2	229,160	200,000
Kontrol Energy Corp.	Warrants	Del Vasto, Claudio	4	22/07/2016	00			
Kontrol Energy Corp.	Warrants	Del Vasto, Claudio	4	22/07/2016	00		100,000	
Kontrol Energy Corp.	Common Shares	Smith, Stephen	4, 5	06/09/2016	16	0.2	733,953	300,000
Kontrol Energy Corp.	Warrants	Smith, Stephen	4, 5	06/09/2016	53	0.3	673,000	150,000
KWG Resources Inc.	Common Shares	Boyle, Jennifer L	4	06/09/2016	00			
KWG Resources Inc.	Common Shares	Pladsen, Thomas John	4	31/08/2016	10	0.025	500,000	-600,000
KWG Resources Inc.	Common Shares	Reid, Bruce	4	06/09/2016	00			
Laguna Blends Inc. (formerly Grenadier Resource Corp.)	Common Shares	Gray, Stuart Vaughan Ryan	3, 4, 5	31/08/2016	10	0.24	2,554,600	10,000
Laguna Blends Inc. (formerly Grenadier Resource Corp.)	Common Shares	Gray, Stuart Vaughan Ryan	3, 4, 5	31/08/2016	10	0.23	2,572,600	18,000
Laguna Blends Inc. (formerly Grenadier Resource Corp.)	Common Shares	Gray, Stuart Vaughan Ryan	3, 4, 5	31/08/2016	10	0.25	2,579,600	7,000
Largo Resources Ltd.	Common Shares	Arias, J. Alberto	4	07/09/2016	11	0.45	10,811,564	311,407
Largo Resources Ltd.	Common Shares	Arias, J. Alberto	4	07/09/2016	11	0.45	190,555,203	5,488,593
Largo Resources Ltd.	Warrants	Arias, J. Alberto	4	12/03/2016	55		4,995,224	-56,375
Largo Resources Ltd.	Warrants	Arias, J. Alberto	4	14/05/2016	55		4,725,419	-269,805
Largo Resources Ltd.	Warrants	Arias, J. Alberto	4	22/05/2016	55		3,697,541	-1,027,878
Largo Resources Ltd.	Warrants	Arias, J. Alberto	4	07/09/2016	11		3,853,244	155,703
Largo Resources Ltd.	Warrants	Arias, J. Alberto	4	12/03/2016	55		88,041,474	-993,624
Largo Resources Ltd.	Warrants	Arias, J. Alberto	4	14/05/2016	55		83,286,110	-4,755,364
Largo Resources Ltd.	Warrants	Arias, J. Alberto	4	22/05/2016	55		65,169,636	-18,116,474
Largo Resources Ltd.	Warrants	Arias, J. Alberto	4	07/09/2016	11		67,913,932	2,744,296
Largo Resources Ltd.	Warrants	Arias, J. Alberto	4	14/05/2016	55		18,012,355	-2,631,079
Largo Resources Ltd.	Warrants	Arias, J. Alberto	4	22/05/2016	55		13,156,707	-4,855,648
Largo Resources Ltd.	Common Shares	Smith, Mark	5	06/09/2016	16	0.45	5,555,555	555,555
Largo Resources Ltd.	Warrants	Smith, Mark	5	06/09/2016	16		5,268,027	2,777,777
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Bastarache, Lise	4	02/09/2016	35	48.511	3,675	45
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Bastarache, Lise	4	02/09/2016	56	49.196	4,082	407
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Baxendale, Sonia	4	31/08/2016	00			
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Baxendale, Sonia	4	02/09/2016	56	49.196	351	351
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Bélanger, Richard	4	02/09/2016	35	48.511	1,268	15
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Bélanger, Richard	4	02/09/2016	56	49.196	1,675	407
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Boychuk, Michael T.	4	02/09/2016	35	48.511	4,518	55
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Boychuk, Michael T.	4	02/09/2016	56	49.196	4,925	407
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Courville, Isabelle	4	02/09/2016	35	48.511	7,068	86
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Courville, Isabelle	4	02/09/2016	56	49.196	7,475	407

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LAURENTIAN BANK OF CANADA	Unités d'actions différées	Labonté, Michel	4	02/09/2016	35	48.511	7,000	86
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Labonté, Michel	4	02/09/2016	56	49.196	7,574	574
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Lavigne, A. Michel	4	02/09/2016	35	48.511	916	11
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Lavigne, A. Michel	4	02/09/2016	56	49.196	1,322	406
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Orange, Jacqueline C.	4	02/09/2016	35	48.511	1,268	15
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Orange, Jacqueline C.	4	02/09/2016	56	49.196	1,675	407
LAURENTIAN BANK OF CANADA	Common Shares	Savoy, Michelle Renee	4	06/09/2016	46	49.437	4,482	128
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Savoy, Michelle Renee	4	02/09/2016	35	48.511	3,246	40
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Savoy, Michelle Renee	4	02/09/2016	56	49.196	3,913	667
LAURENTIAN BANK OF CANADA	Common Shares	Wener, Jonathan I.	4	06/09/2016	46	49.437	7,748	400
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Wener, Jonathan I.	4	02/09/2016	35	48.511	1,268	15
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Wener, Jonathan I.	4	02/09/2016	56	49.196	1,675	407
LAURENTIAN BANK OF CANADA	Common Shares	Wolburgh Jenah, Susan	4	06/09/2016	46	49.437	1,135	220
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Wolburgh Jenah, Susan	4	02/09/2016	35	48.511	1,609	20
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Wolburgh Jenah, Susan	4	02/09/2016	56	49.196	2,225	616
LGC Capital Ltd.	Common Shares	Jeremy, Edelman	3	06/09/2016	10	0.09	23,757,457	-5,000
LGC Capital Ltd.	Common Shares	Jeremy, Edelman	3	07/09/2016	10	0.09	23,747,457	-10,000
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	03/08/2016	38	21.52	4,100	700
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	15/08/2016	38	21.88	4,900	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	16/08/2016	38	21.95	5,000	100
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	18/08/2016	38	21.82	5,800	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	19/08/2016	38	21.9	6,600	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	22/08/2016	38	22.04	7,400	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	23/08/2016	38	22.04	8,200	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	24/08/2016	38	22.03	9,000	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	26/08/2016	38	22.01	9,800	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	30/08/2016	38	22.11	10,600	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	31/08/2016	38		2,400	-8,200
Lingo Media Corporation	Common Shares	Bernholtz, Martin	4	07/09/2016	10	0.41	120,000	5,000
Lingo Media Corporation	Common Shares	Kraft, Michael	4, 5	06/09/2016	10	0.405	1,958,012	10,000
Lingo Media Corporation	Common Shares	Kraft, Michael	4, 5	07/09/2016	10	0.415	1,968,512	10,500



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Lithium X Energy Corp.	Common Shares	Aberdeen International Inc.	3	31/08/2016	10		7,820,400	-60,600
Lithium X Energy Corp.	Common Shares	Aberdeen International Inc.	3	02/09/2016	10		7,750,000	-70,400
Loblaws Companies Limited	Common Shares	Loblaws Companies Limited	1	29/08/2016	38	71.588	41,000	41,000
Loblaws Companies Limited	Common Shares	Loblaws Companies Limited	1	30/08/2016	38	71.161	82,000	41,000
Loblaws Companies Limited	Common Shares	Loblaws Companies Limited	1	31/08/2016	38	71.162	123,000	41,000
Loblaws Companies Limited	Common Shares	Loblaws Companies Limited	1	01/09/2016	38	71.527	164,000	41,000
Loblaws Companies Limited	Common Shares	Loblaws Companies Limited	1	02/09/2016	38	71.964	205,000	41,000
Lotus Ventures Inc.	Common Shares	Mathiesen, Steve	4	08/09/2016	10	0.2	1,088,500	-9,000
M Pharmaceutical Inc.	10% Convertible Debentures due September 7, 2019	Andrews, Christopher Norman	4	14/05/2015	00			
M Pharmaceutical Inc.	10% Convertible Debentures due September 7, 2019	Andrews, Christopher Norman	4	07/09/2016	16		\$10,000	\$10,000
M Pharmaceutical Inc.	Common Shares	Andrews, Christopher Norman	4	14/05/2015	00			
M Pharmaceutical Inc.	Common Shares	Andrews, Christopher Norman	4	07/09/2016	16		40,000	40,000
M Pharmaceutical Inc.	Warrants at an exercise price of \$0.08; Expiry: September 7, 2018	Andrews, Christopher Norman	4	14/05/2015	00			
M Pharmaceutical Inc.	Warrants at an exercise price of \$0.08; Expiry: September 7, 2018	Andrews, Christopher Norman	4	07/09/2016	16		40,000	40,000
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Lance, Howard	5	16/05/2016	00			
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Lance, Howard	5	07/09/2016	10	85.79	11,656	11,656
Mad Catz Interactive Inc.	Options	Andersen, Brian Johnny	5	08/09/2016	50		1,465,000	250,000
Mad Catz Interactive Inc.	Options	Chiarello, Carlo	4	08/09/2016	50		46,506	25,000
Mad Catz Interactive Inc.	Options	Guthrie, Scott Douglas	4	08/09/2016	50		80,342	25,000
Mad Catz Interactive Inc.	Options	Marshall, Tyson Eliot	5	08/09/2016	50		335,000	250,000
Mad Catz Interactive Inc.	Options	McGinnis, Karen	4, 5	08/09/2016	50		840,000	325,000
Mad Catz Interactive Inc.	Options	McKeon, David Sean	5	08/09/2016	50		310,000	250,000
Mad Catz Interactive Inc.	Options	Nyholt, John	4	08/09/2016	50		86,712	25,000
Mad Catz Interactive Inc.	Options	Young, Andrew	5	08/09/2016	50		1,215,000	250,000
Mag One Products Inc.	Common Shares	Jiwani, Hussein	8	06/09/2016	00		183,400	
Mag One Products Inc.	Options	Jiwani, Hussein	8	06/09/2016	00		300,000	
Mag One Products Inc.	Warrants	Jiwani, Hussein	8	06/09/2016	00			
Mag One Products Inc.	Warrants	Jiwani, Hussein	8	06/09/2016	00		100,000	
MAG Silver Corp.	Common Shares	Leversage, Jill Diane	4	22/12/2014	00			
MAG Silver Corp.	Common Shares	Leversage, Jill Diane	4	02/09/2016	10	21.53	250	250
MAG Silver Corp.	Common Shares	Leversage, Jill Diane	4	02/09/2016	10	21.505	3,500	1,000
MAG Silver Corp.	Common Shares	Leversage, Jill Diane	4	22/12/2014	00			
MAG Silver Corp.	Common Shares	Leversage, Jill Diane	4	02/09/2016	10	21.54	250	250
MAG Silver Corp.	Common Shares	Leversage, Jill Diane	4	22/12/2014	00			
MAG Silver Corp.	Common Shares	Leversage, Jill Diane	4	02/09/2016	10	21.54	250	250
MAG Silver Corp.	Common Shares	Megaw, Peter	4	06/09/2016	51	10.04	60,550	50,000
MAG Silver Corp.	Common Shares	Megaw, Peter	4	06/09/2016	10	22.19	20,550	-40,000
MAG Silver Corp.	Common Shares	Megaw, Peter	4	06/09/2016	10	22.33	10,550	-10,000
MAG Silver Corp.	Options	Megaw, Peter	4	06/09/2016	51	10.04	112,500	-50,000
Magna International Inc.	Common Shares	Magna International Inc.	1	12/08/2016	38	52.53	224,183	75,000
Magna International Inc.	Common Shares	Magna International Inc.	1	12/08/2016	38	40.567	299,183	75,000
Magna International Inc.	Common Shares	Magna International Inc.	1	15/08/2016	38	52.984	347,183	48,000
Magna International Inc.	Common Shares	Magna International Inc.	1	15/08/2016	38	40.997	383,689	36,506
Magna International Inc.	Common Shares	Magna International Inc.	1	16/08/2016	38	52.392	458,689	75,000
Magna International Inc.	Common Shares	Magna International Inc.	1	16/08/2016	38	40.746	533,689	75,000
Magna International Inc.	Common Shares	Magna International Inc.	1	17/08/2016	38	52.156	613,689	80,000
Magna International Inc.	Common Shares	Magna International Inc.	1	17/08/2016	38	40.527	683,689	70,000
Magna International Inc.	Common Shares	Magna International Inc.	1	18/08/2016	38	51.822	793,689	110,000
Magna International Inc.	Common Shares	Magna International Inc.	1	18/08/2016	38	40.531	883,689	90,000

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Magna International Inc.	Common Shares	Magna International Inc.	1	19/08/2016	38	52	889,589	5,900
Magna International Inc.	Common Shares	Magna International Inc.	1	19/08/2016	38	40.4	913,911	24,322
Magna International Inc.	Common Shares	Magna International Inc.	1	22/08/2016	38	52.231	1,013,911	100,000
Magna International Inc.	Common Shares	Magna International Inc.	1	22/08/2016	38	40.333	1,113,911	100,000
Magna International Inc.	Common Shares	Magna International Inc.	1	23/08/2016	38	52.498	1,141,311	27,400
Magna International Inc.	Common Shares	Magna International Inc.	1	23/08/2016	38	40.683	1,161,160	19,849
Magna International Inc.	Common Shares	Magna International Inc.	1	24/08/2016	38	52.347	1,211,160	50,000
Magna International Inc.	Common Shares	Magna International Inc.	1	24/08/2016	38	40.462	1,261,160	50,000
Magna International Inc.	Common Shares	Magna International Inc.	1	24/08/2016	38		496,432	-764,728
Magna International Inc.	Common Shares	Magna International Inc.	1	25/08/2016	38	51.936	596,932	100,500
Magna International Inc.	Common Shares	Magna International Inc.	1	25/08/2016	38	40.157	688,532	91,600
Magna International Inc.	Common Shares	Magna International Inc.	1	25/08/2016	38		488,532	-200,000
Magna International Inc.	Common Shares	Magna International Inc.	1	26/08/2016	38	40.289	588,532	100,000
Magna International Inc.	Common Shares	Magna International Inc.	1	26/08/2016	38		541,283	-47,249
Magna International Inc.	Common Shares	Magna International Inc.	1	29/08/2016	38	52.741	665,283	124,000
Magna International Inc.	Common Shares	Magna International Inc.	1	29/08/2016	38	40.485	690,283	25,000
Magna International Inc.	Common Shares	Magna International Inc.	1	29/08/2016	38		590,283	-100,000
Magna International Inc.	Common Shares	Magna International Inc.	1	30/08/2016	38	52.841	690,283	100,000
Magna International Inc.	Common Shares	Magna International Inc.	1	30/08/2016	38	40.407	790,283	100,000
Magna International Inc.	Common Shares	Magna International Inc.	1	30/08/2016	38		598,183	-192,100
Magna International Inc.	Common Shares	Magna International Inc.	1	31/08/2016	38	52.738	659,583	61,400
Magna International Inc.	Common Shares	Magna International Inc.	1	31/08/2016	38	40.181	720,156	60,573
Magna International Inc.	Common Shares	Magna International Inc.	1	31/08/2016	38		620,156	-100,000
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	09/09/2016	10	7.24	26,300	5,000
Manitok Energy Inc.	Common Shares	Geremia, Bruno P.	4	07/09/2016	90		0	-200,000
Manitok Energy Inc.	Common Shares	Geremia, Bruno P.	4	07/09/2016	36		120,000	120,000
Manitok Energy Inc.	Common Shares	Geremia, Bruno P.	4	07/09/2016	90		584,400	200,000
Manitok Energy Inc.	Common Shares	Geremia, Bruno P.	4	07/09/2016	36		670,500	86,100
Manitok Energy Inc.	Warrants Exercise price of \$0.30 per common shares and 2 year term	Geremia, Bruno P.	4	08/07/2010	00			
Manitok Energy Inc.	Warrants Exercise price of \$0.30 per common shares and 2 year term	Geremia, Bruno P.	4	07/09/2016	36		30,000	30,000
Manitok Energy Inc.	Warrants Exercise price of \$0.30 per common shares and 2 year term	Geremia, Bruno P.	4	08/07/2010	00			
Manitok Energy Inc.	Warrants Exercise price of \$0.30 per common shares and 2 year term	Geremia, Bruno P.	4	07/09/2016	36		21,525	21,525
Manulife Financial Corporation	Common Shares	Jenkins, P. Thomas	4	01/03/2015	00			
Manulife Financial Corporation	Common Shares	Jenkins, P. Thomas	4	02/09/2016	90			233,000
Manulife Financial Corporation	Common Shares	Jenkins, P. Thomas	4	02/09/2016	90			233,000
Manulife Financial Corporation	Common Shares	Jenkins, P. Thomas	4	02/09/2016	90			-233,000
Manulife Financial Corporation	Common Shares	Jenkins, P. Thomas	4	02/09/2016	90			-233,000
Manulife Financial Corporation	Common Shares	Jenkins, P. Thomas	4	02/09/2016	97			233,000
Manulife Financial Corporation	Common Shares	Jenkins, P. Thomas	4	02/09/2016	97			233,000
Manulife Financial Corporation	Common Shares	Jenkins, P. Thomas	4	02/09/2016	90		233,000	233,000
Manulife Financial Corporation	Common Shares	Jenkins, P. Thomas	4	02/09/2016	97			-233,000
Manulife Financial Corporation	Common Shares	Jenkins, P. Thomas	4	02/09/2016	97			-233,000
Manulife Financial Corporation	Common Shares	Jenkins, P. Thomas	4	02/09/2016	90		0	-233,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Marathon Gold Corporation	Options	Dunsworth, Sheryl Mary	5	01/09/2016	52		1,136,000	-20,000
MATRIX Energy Technologies Inc.	Common Shares	van Bostelen, Robert Clayton	5	02/09/2016	10	0.125	104,000	4,000
MATRIX Energy Technologies Inc.	Common Shares	van Bostelen, Robert Clayton	5	09/09/2016	10	0.125	175,000	71,000
MATRIX Energy Technologies Inc.	Options	van Bostelen, Robert Clayton	5	02/09/2016	10	0.125	464,113	4,000
Mawson Resources Limited	Warrants	Sentient Executive GP IV, Limited (for the general partner of Sentient Global Resources Fund IV, L.P.)	3	09/09/2016	55		5,265,152	-1,817,000
Maxim Power Corp.	Options	Auch, Wiley Darren	4	04/09/2016	52	3.2	43,333	-16,667
Maxim Power Corp.	Options	Auch, Wiley Darren	4	04/09/2016	50	2.62	60,000	16,667
Maxim Power Corp.	Options	Dychkowski, Dwayne Joseph	5	04/09/2016	52	3.2	126,800	-18,655
Maxim Power Corp.	Options	Dychkowski, Dwayne Joseph	5	04/09/2016	50	2.62	145,455	18,655
Maxim Power Corp.	Options	Karran, Kimberly Lorna	5	04/09/2016	52	3.2	129,715	-64,858
Maxim Power Corp.	Options	Karran, Kimberly Lorna	5	04/09/2016	50	2.62	194,573	64,858
Maxim Power Corp.	Options	Mayder, Michael Raymond	5	04/09/2016	52	3.2	391,852	-111,901
Maxim Power Corp.	Options	Mayder, Michael Raymond	5	04/09/2016	50	2.62	503,753	111,901
Maxim Power Corp.	Options	Polz, Johann	4	04/09/2016	52	3.2	43,333	-16,667
Maxim Power Corp.	Options	Polz, Johann	4	04/09/2016	50	2.62	60,000	16,667
Maxim Power Corp.	Options	Watson, Robert Bruce	5	04/09/2016	52	3.2	133,352	-24,524
Maxim Power Corp.	Options	Watson, Robert Bruce	5	04/09/2016	50	2.62	157,876	24,524
Maxim Power Corp.	Options	Workman, Timothy William	5	04/09/2016	52	3.2	124,451	-20,000
Maxim Power Corp.	Options	Workman, Timothy William	5	04/09/2016	50	2.62	144,451	20,000
MCAN Mortgage Corporation	Common Shares	Doré, Raymond	3	07/09/2016	10	14.82	840,518	-3,900
MCAN Mortgage Corporation	Common Shares	Doré, Raymond	3	08/09/2016	10	14.68	837,718	-2,800
MCAN Mortgage Corporation	Common Shares	Tyas, John	5	06/09/2016	90		13,200	-955
MCAN Mortgage Corporation	Common Shares	Tyas, John	5	06/09/2016	90		1,385	955
MCAN Mortgage Corporation	Common Shares	Tyas, John	5	07/09/2016	90		884	-501
MCAN Mortgage Corporation	Common Shares	Tyas, John	5	07/09/2016	90		588	-296
MCAN Mortgage Corporation	Common Shares	Tyas, John	5	08/09/2016	58 - Expiration of rights			-336
MCAN Mortgage Corporation	Common Shares	Tyas, John	5	08/09/2016	90		252	-336
MCAN Mortgage Corporation	Common Shares	Tyas, John	5	04/11/2004	00			
MCAN Mortgage Corporation	Common Shares	Tyas, John	5	07/09/2016	90		336	336
MCAN Mortgage Corporation	Common Shares	Tyas, John	5	07/09/2016	90		13,117	296
MCAN Mortgage Corporation	Common Shares	Tyas, John	5	07/09/2016	90		1,551	501
MDN INC.	Common Shares	David, Jean-Sébastien	4	07/09/2016	10	0.17	869,560	31,500
MEG Energy Corp.	Restricted Share Units	McCOSHEN, John Garry	5	01/09/2016	59	5.3	9,050	-4,525
Memex Inc.	Common Shares	Lacey, Peter Alan	4	07/09/2016	10	0.418	2,218,175	175,000
Memex Inc.	Common Shares	Lacey, Peter Alan	4	07/09/2016	10	0.422	2,043,175	-175,000
Merus Labs International Inc.	Common Shares	BLOCH, ROBERT	4	27/03/2015	00			
Merus Labs International Inc.	Common Shares	BLOCH, ROBERT	4	02/09/2016	10	1.33	1,000	1,000
Metallis Resources Inc.	Warrants August 21, 2016 Expiry	Aliperti, Fiore	4	09/09/2016	55	0.2	0	-33,334
Metallis Resources Inc.	Warrants August 21, 2016 Expiry	Dupre, David	5	09/09/2016	55	0.2	0	-20,000
Metallis Resources Inc.	Warrants Aug 2014 PP	Lever, Jon Stacy	4, 5	09/09/2016	55		0	-30,000
Metallis Resources Inc.	Warrants August 21, 2016 Expiry	Sheikh, Sameen	5	09/09/2016	55	0.2	0	-10,000
Metals Creek Resources Corp.	Common Shares	CONCEPT CAPITAL MANAGEMENT LTD	3	01/09/2016	00			
Metals Creek Resources Corp.	Common Shares	CONCEPT CAPITAL MANAGEMENT LTD	3	01/09/2016	15	0.1	5,200,000	5,200,000
Metals Creek Resources Corp.	Warrants	CONCEPT CAPITAL MANAGEMENT LTD	3	01/09/2016	00			
Metals Creek Resources Corp.	Warrants	CONCEPT CAPITAL MANAGEMENT LTD	3	01/09/2016	15	0.15	5,200,000	5,200,000
Metro inc.	Common Shares	Metro inc.	1	25/08/2016	38		0	-365,000
Metro inc.	Common Shares	Metro inc.	1	26/08/2016	38	44.508	41,500	41,500

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Metro inc.	Common Shares	Metro inc.	1	29/08/2016	38	44.829	86,500	45,000
Metro inc.	Common Shares	Metro inc.	1	30/08/2016	38	44.582	131,500	45,000
Metro inc.	Common Shares	Metro inc.	1	31/08/2016	38	44.312	181,500	50,000
Metro inc.	Common Shares	Metro inc.	1	31/08/2016	38		140,000	-41,500
Mettrum Health Corp.	Common Shares	Assini, William Joseph	4	30/09/2014	00			
Mettrum Health Corp.	Common Shares	Assini, William Joseph	4	08/09/2016	10	3	2,800	2,800
Mettrum Health Corp.	Options	Fencott, Trevor	4, 5	24/08/2016	50		230,000	100,000
Mettrum Health Corp.	Options	Haines, Michael James	4, 5	24/08/2016	50		250,000	100,000
Mettrum Health Corp.	Options	Herriott, Gregory Scott	7	24/08/2016	50		150,000	50,000
Mettrum Health Corp.	Options	Scorsis, George	5	24/08/2016	50		350,000	100,000
MGX Minerals Inc.	Common Shares	Read, H. David	4	02/09/2016	11	0.1813	3,099,008	-50,000
MGX Minerals Inc.	Common Shares	Read, H. David	4	06/09/2016	11	0.2244	2,564,008	-535,000
MGX Minerals Inc.	Common Shares	Reimann, Michael Andrew	5	06/09/2016	11	0.19	600,000	-100,000
MGX Minerals Inc.	Common Shares	Reimann, Michael Andrew	5	08/09/2016	11	0.205	575,000	-25,000
Middlefield Can-Global REIT Income Fund	Trust Units	Middlefield Can-Global REIT Income Fund	1	02/09/2016	38	11.079	4,179,552	2,000
Middlefield Can-Global REIT Income Fund	Trust Units	Middlefield Can-Global REIT Income Fund	1	08/09/2016	38	11.118	4,180,352	800
Midpoint Holdings Ltd. (formerly Javelina Resources Ltd.)	Common Shares	Wong, Shee Hau	4, 5	02/09/2016	10	0.065	292,000	10,000
Midpoint Holdings Ltd. (formerly Javelina Resources Ltd.)	Common Shares	Wong, Shee Hau	4, 5	06/09/2016	10	0.06	382,000	90,000
Migao Corporation	Common Shares	Kay, Robert	4	06/09/2016	11	0.75	0	-24,250
Migao Corporation	Options	Kay, Robert	4	06/09/2016	38		0	-485,000
Migao Corporation	Options	Kuzmak Bourdet, Karina	4	06/09/2016	38		0	-250,000
Migao Corporation	Common Shares	Liu, Guocai	3, 4, 5	18/05/2006	00			
Migao Corporation	Common Shares	Liu, Guocai	3, 4, 5	18/05/2006	00			
Migao Corporation	Common Shares	Liu, Guocai	3, 4, 5	18/05/2006	00			
Migao Corporation	Common Shares	Liu, Guocai	3, 4, 5	06/09/2016	11	0.75	34,614,548	34,614,548
Migao Corporation	Options	Liu, Guocai	3, 4, 5	06/09/2016	38		0	-200,000
Migao Corporation	Common Shares	Lu, Helen	5	06/09/2016	97	0.75	0	-2,500
Migao Corporation	Common Shares	Ni, Peiwei	4	06/09/2016	11	0.75	0	-96,000
Migao Corporation	Common Shares	Ni, Peiwei	4	06/09/2016	11	0.75	0	-80,100
Migao Corporation	Options	Ni, Peiwei	4	06/09/2016	38		0	-285,000
Migao Corporation	Options	Ni, Peiwei	4	06/09/2016	38		0	-200,000
Migao Corporation	Common Shares	Wu, Jianmin	4	06/09/2016	11	0.75	0	-200,000
Migao Corporation	Options	Wu, Jianmin	4	06/09/2016	38		0	-450,000
Millstream Mines Ltd.	Common Shares	Chase, Robert J.	4, 5	02/09/2016	11	0.05	6,897,395	280,000
Millstream Mines Ltd.	Options Exp. June 5, 2013	Chase, Robert J.	4, 5	02/09/2016	52		0	-150,000
Millstream Mines Ltd.	Warrants Expire Aug. 30, 2017	Chase, Robert J.	4, 5	18/06/2003	00		280,000	
Minsud Resources Corp. (previously, Rattlesnake Ventures Inc.)	Common Shares	Andersen, Paul Forbes	5	02/09/2016	11	0.1	350,000	100,000
Minsud Resources Corp. (previously, Rattlesnake Ventures Inc.)	Warrants	Andersen, Paul Forbes	5	10/05/2011	00			
Minsud Resources Corp. (previously, Rattlesnake Ventures Inc.)	Warrants	Andersen, Paul Forbes	5	02/09/2016	11	0.15	100,000	100,000
Minsud Resources Corp. (previously, Rattlesnake Ventures Inc.)	Common Shares	Compania de Tierras Sud Argentino S.A.	3, 8	02/09/2016	16	0.1	31,473,743	3,940,000
Minsud Resources Corp. (previously, Rattlesnake Ventures Inc.)	Warrants	Compania de Tierras Sud Argentino S.A.	3, 8	02/09/2016	16		23,273,443	3,940,000
MINT Income Fund	Trust Units	MINT Income Fund	1	06/09/2016	10	7.05	62,839,982	-1,000
MINT Income Fund	Trust Units	MINT Income Fund	1	07/09/2016	10	7.08	62,836,582	-3,400
MINT Income Fund	Trust Units	MINT Income Fund	1	08/09/2016	10	7.09	62,832,582	-4,000
Mitel Networks Corporation	Common Shares	Williams, David Michael	4	08/09/2016	10	9.5565	170,000	13,000

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Mobi724 Global Solutions Inc. (Formerly Hybrid Paytech World Inc.)	Common Shares	Boisvert, Stéphane	4	26/05/2016	11	0.1125		91,295
Mobi724 Global Solutions Inc. (Formerly Hybrid Paytech World Inc.)	Common Shares	Boisvert, Stéphane	4	31/08/2016	11	0.1125		91,295
Mobi724 Global Solutions Inc. (Formerly Hybrid Paytech World Inc.)	Common Shares	Boisvert, Stéphane	4	31/08/2016	16	0.1125	2,617,619	91,295
Mobi724 Global Solutions Inc. (Formerly Hybrid Paytech World Inc.)	Convertible Debentures	Boisvert, Stéphane	4	31/08/2016	36	0.061		\$560,042
Mobi724 Global Solutions Inc. (Formerly Hybrid Paytech World Inc.)	Convertible Debentures	Boisvert, Stéphane	4	31/08/2016	36	0.061	\$0	-\$30,000
Mobi724 Global Solutions Inc. (Formerly Hybrid Paytech World Inc.)	Warrants	Boisvert, Stéphane	4	30/08/2016	36	560042		560,042
Mobi724 Global Solutions Inc. (Formerly Hybrid Paytech World Inc.)	Warrants	Boisvert, Stéphane	4	31/08/2016	36		2,605,497	560,042
Mobi724 Global Solutions Inc. (Formerly Hybrid Paytech World Inc.)	Convertible Debentures	Charbonneau, Luc	5	31/08/2016	36	0.061	\$0	-\$25,068
Mobi724 Global Solutions Inc. (Formerly Hybrid Paytech World Inc.)	Convertible Debentures	Nadeau, André	4	31/08/2016	36	0.061	\$0	-\$76,200
Mogo Finance Technology Inc.	Common Shares	Feller, Gregory Dean	4, 5	08/09/2016	10	1.55	547,414	5,000
MONETA PORCUPINE MINES INC.	Common Shares	Henry, Alexander David	4	07/09/2016	11	0.3	924,300	100,000
MONETA PORCUPINE MINES INC.	Warrants	Henry, Alexander David	4	24/06/2005	00			
MONETA PORCUPINE MINES INC.	Warrants	Henry, Alexander David	4	07/09/2016	11		100,000	100,000
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	04/07/2016	38		11,000	-14,500
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	02/08/2016	38		0	-11,000
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	04/08/2016	38			-14,500
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	31/08/2016	38	0.3745	108,000	108,000
Morneau Shepell Inc.	Common Shares	Chamberland, Pierre	5	02/09/2016	10	18.8	51,028	-9,000
Mustang Minerals Corp.	Options	Dunbar, Robin Edward	4, 5	09/09/2016	52		1,868,333	-400,000
Mustang Minerals Corp.	Options	Dunbar, Robin Edward	4, 5	09/09/2016	52		1,468,333	-400,000
Mustang Minerals Corp.	Options	Wakeam, Nadim	5	09/09/2016	52	0.3	50,000	-100,000
Nanotech Security Corp.	Common Shares	Blakeway, Douglas Hayward	3, 4, 5	06/09/2016	57	1.09	5,853,984	76,750
Nanotech Security Corp.	Rights Deferred Share Units	Blakeway, Douglas Hayward	3, 4, 5	06/09/2016	57	1.09	145,750	-76,750
Nanotech Security Corp.	Common Shares	Bullock, Gordon Troy	5	06/09/2016	57	1.09	102,750	52,750
Nanotech Security Corp.	Rights Deferred Share Units	Bullock, Gordon Troy	5	06/09/2016	57	1.09	99,750	-52,750
Nanotech Security Corp.	Common Shares	Causey, Brian	4	06/09/2016	57	1.09	12,950	2,825
Nanotech Security Corp.	Rights Deferred Share Units	Causey, Brian	4	06/09/2016	57	1.09	5,550	-2,825
Nanotech Security Corp.	Common Shares	Hall, Hugh Dickson	4	06/09/2016	57	1.09	11,250	1,250
Nanotech Security Corp.	Rights Deferred Share Units	Hall, Hugh Dickson	4	06/09/2016	57	1.09	3,750	-1,250
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	06/09/2016	57	1.09	2,753,944	2,825
Nanotech Security Corp.	Rights Deferred Share Units	Kaminska, Bozena	4	06/09/2016	57	1.09	5,550	-2,825
Nanotech Security Corp.	Common Shares	LeRoux, Iginatius Johannes	5	06/09/2016	57	1.09	69,750	52,750
Nanotech Security Corp.	Rights Deferred Share Units	LeRoux, Iginatius Johannes	5	06/09/2016	57	1.09	205,250	52,750
Nanotech Security Corp.	Common Shares	Tolmie, Kenneth Ross	4	06/09/2016	57	1.09	533,807	2,825
Nanotech Security Corp.	Rights Deferred Share Units	Tolmie, Kenneth Ross	4	06/09/2016	57	1.09	5,550	-2,825
National Bank of Canada	Common Shares	Blouin, Pierre J.	4	01/09/2016	00			

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National Bank of Canada	Common Shares	Blouin, Pierre J.	4	01/09/2016	00			
National Bank of Canada	Preferred Shares First, Series 34	Blouin, Pierre J.	4	01/09/2016	00		800	
National Bank of Canada	Unités d'actions différées (UAD) / (DSU)	Hanczakowski, Jean-François	5	02/08/2016	35			96
National Bank of Canada	Unités d'actions différées (UAD) / (DSU)	Hanczakowski, Jean-François	5	02/08/2016	35		7,916	96
Nevada Copper Corp.	Rights Deferred Compensation Unit	Bonifacio, Giulio	3, 4, 5	15/08/2006	00			
Nevada Copper Corp.	Rights Deferred Compensation Unit	Bonifacio, Giulio	3, 4, 5	10/08/2016	56		415,625	415,625
Nevada Copper Corp.	Rights Deferred Share Units	Bradley, Victor	4	23/02/2012	00			
Nevada Copper Corp.	Rights Deferred Share Units	Bradley, Victor	4	31/12/2013	56		42,800	42,800
Nevada Copper Corp.	Rights Deferred Share Units	Bradley, Victor	4	30/06/2014	56		66,000	23,200
Nevada Copper Corp.	Rights Deferred Share Units	Bradley, Victor	4	11/08/2015	56		119,548	53,548
Nevada Copper Corp.	Rights Deferred Share Units	Bradley, Victor	4	01/01/2016	56		219,548	100,000
Nevada Copper Corp.	Rights Deferred Share Units	Bradley, Victor	4	10/08/2016	56		375,848	156,300
Nevada Copper Corp.	Rights Deferred Share Units	Brown, Michael	4	06/08/2013	00			
Nevada Copper Corp.	Rights Deferred Share Units	Brown, Michael	4	31/12/2013	56		42,800	42,800
Nevada Copper Corp.	Rights Deferred Share Units	Brown, Michael	4	30/06/2014	56		66,000	23,200
Nevada Copper Corp.	Rights Deferred Share Units	Brown, Michael	4	11/08/2015	56		116,322	50,322
Nevada Copper Corp.	Rights Deferred Share Units	Brown, Michael	4	10/08/2016	56		234,722	118,400
Nevada Copper Corp.	Rights Deferred Share Units	Genovese, Raffaele (Lucio)	4	27/05/2016	00			
Nevada Copper Corp.	Rights Deferred Share Units	Genovese, Raffaele (Lucio)	4	10/08/2016	56		107,300	107,300
Nevada Copper Corp.	Rights Deferred Share Units	Gill, Stephen Malcolm Kirk	4	28/01/2016	00			
Nevada Copper Corp.	Rights Deferred Share Units	Gill, Stephen Malcolm Kirk	4	10/08/2016	56		132,275	132,275
Nevada Copper Corp.	Rights Deferred Share Units	Giuffre, Joseph Paul	4	03/11/2006	00			
Nevada Copper Corp.	Rights Deferred Share Units	Giuffre, Joseph Paul	4	31/12/2013	56		42,800	42,800
Nevada Copper Corp.	Rights Deferred Share Units	Giuffre, Joseph Paul	4	30/06/2014	56		66,000	23,200
Nevada Copper Corp.	Rights Deferred Share Units	Giuffre, Joseph Paul	4	11/08/2015	56		116,322	50,322
Nevada Copper Corp.	Rights Deferred Share Units	Giuffre, Joseph Paul	4	01/01/2016	56		216,322	100,000
Nevada Copper Corp.	Rights Deferred Share Units	Giuffre, Joseph Paul	4	10/08/2016	56		334,722	118,400
Nevada Copper Corp.	Rights Deferred Share Units	Iorich, Evgenij	4	28/01/2016	00			
Nevada Copper Corp.	Rights Deferred Share Units	Iorich, Evgenij	4	10/08/2016	56		133,400	133,400
Nevada Copper Corp.	Rights Deferred Compensation Unit	McKnight, Robert Thomas	5	26/10/2010	00			
Nevada Copper Corp.	Rights Deferred Compensation Unit	McKnight, Robert Thomas	5	10/08/2016	56		288,125	288,125
Nevada Copper Corp.	Rights Deferred Share Units	Myckatyn, William Harry	4	27/05/2016	00			
Nevada Copper Corp.	Rights Deferred Share Units	Myckatyn, William Harry	4	10/08/2016	56		107,300	107,300
Nevada Exploration Inc.	Common Shares	Higgs, Darcy Alan	3	02/09/2016	10	0.6881	2,664,234	-15,000
Nevada Exploration Inc.	Common Shares	Higgs, Dennis	4	02/09/2016	10	0.6881	6,271,054	-15,000

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Nevada Exploration Inc.	Common Shares	Hodges, Wade	4	08/09/2016	10	0.5151	1,774,361	-37,198
Nevada Sunrise Gold Corporation	Options	Ahuja, Suraj Prakash	4	06/09/2016	50	0.37	422,500	40,000
Nevada Sunrise Gold Corporation	Options	Boddy, Christina Lynn	5	06/09/2016	50		230,000	50,000
Nevada Sunrise Gold Corporation	Common Shares	Petterson, Brent	5	02/09/2016	10	0.36	70,000	-5,000
Nevada Sunrise Gold Corporation	Options	Roy, Charles Edward	4	06/09/2016	50	0.37	270,000	40,000
Nevada Sunrise Gold Corporation	Options	Sweatman, Michael	4	07/09/2016	50	0.37	447,500	50,000
Nevada Zinc Corporation (formerly Goldspike Exploration Inc.)	Common Shares	Parnham, Duane	4	02/09/2016	10	0.55	3,834,000	50,000
Nevsun Resources Ltd.	Options PSU	Bourchier, Frazer William	5	01/01/2012	00			
Nevsun Resources Ltd.	Common Shares	Whelan, Thomas S.	5	27/01/2014	00			
Nevsun Resources Ltd.	Common Shares	Whelan, Thomas S.	5	02/09/2016	10	4.1	50,000	50,000
New Flyer Industries Inc.	Common Shares	Sardo, Vincent James	4	08/09/2016	10	43.05	27,918	-1,500
New Look Vision Group Inc. (formerly New Look Eyewear Inc.)	Common Shares Class A	Schonfeld, Jason	7	23/06/2016	00			
New Look Vision Group Inc. (formerly New Look Eyewear Inc.)	Common Shares Class A	Schonfeld, Jason	7	02/09/2016	10	27.85	100	100
New Look Vision Group Inc. (formerly New Look Eyewear Inc.)	Common Shares Class A	Schonfeld, Jason	7	02/09/2016	10	27.91	200	100
NewCastle Gold Ltd. (Formerly Castle Mountain Mining Company Limited)	Common Shares	Cunningham-Dunlop, Ian Richard	5	08/09/2016	51	0.4	485,715	200,000
NewCastle Gold Ltd. (Formerly Castle Mountain Mining Company Limited)	Options	Cunningham-Dunlop, Ian Richard	5	08/09/2016	51	0.4	400,000	-200,000
Newfoundland Capital Corporation Limited	Subordinate Voting Shares Class A	Newfoundland Capital Corporation Limited	1	07/09/2016	38	9	1,000	1,000
Newfoundland Capital Corporation Limited	Subordinate Voting Shares Class A	Newfoundland Capital Corporation Limited	1	07/09/2016	38	9	0	-1,000
Newfoundland Capital Corporation Limited	Subordinate Voting Shares Class A	Newfoundland Capital Corporation Limited	1	08/09/2016	38	8.95	1,000	1,000
Newfoundland Capital Corporation Limited	Subordinate Voting Shares Class A	Newfoundland Capital Corporation Limited	1	08/09/2016	38	8.95	0	-1,000
Newmarket Gold Inc.	Common Shares	Dufour, Robert James Joseph	5	07/09/2016	10	4.4	237,097	-25,000
Newmarket Gold Inc.	Common Shares	Sprott, Eric S.	3	02/09/2016	10	4.2957	26,687,296	-963,700
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	02/08/2016	38	11.3	2,400	800
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	04/08/2016	38	11.48	3,200	800
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	05/08/2016	37	11.85	4,000	800
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	11/08/2016	38	12.15	4,800	800
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	15/08/2016	38	11.8	5,600	800
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	16/08/2016	38	11.8	6,400	800
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	17/08/2016	38	11.51	7,200	800
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	18/08/2016	38	11.497	8,000	800
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	19/08/2016	38	11.5	8,800	800
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	22/08/2016	38	11.49	9,600	800
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	23/08/2016	38	11.5	10,400	800

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NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	24/08/2016	38	11.5	11,200	800
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	30/08/2016	38	11.4	12,000	800
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	31/08/2016	38	11.4	12,400	400
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	31/08/2016	38		400	-12,000
NexgenRx Inc.	Common Shares	Crossett, Paul Everett	3	06/09/2016	10	0.19	2,125,500	49,500
NexgenRx Inc.	Common Shares	Crossett, Paul Everett	3	08/09/2016	10	0.175	2,168,500	43,000
NexgenRx Inc.	Common Shares	Crossett, Paul Everett	3	08/09/2016	10	0.19	2,208,500	40,000
Nexus Gold Corp.	Options	Berdusco, Peter Dean	4, 7, 5	06/09/2016	50	0.085	1,525,000	500,000
Nexus Gold Corp.	Options	Kinley, Michael Winslow	4, 5	06/09/2016	50	0.085	600,000	200,000
Nexus Gold Corp.	Common Shares	Klenman, Alexander	4	02/09/2016	10	0.078	1,388,549	5,000
Nexus Gold Corp.	Common Shares	Klenman, Alexander	4	09/09/2016	10	0.09	1,393,549	5,000
Nexus Gold Corp.	Options	Klenman, Alexander	4	06/09/2016	56	0.085		750,000
Nexus Gold Corp.	Options	Klenman, Alexander	4	06/09/2016	50	0.085	1,025,000	750,000
Nexus Gold Corp.	Options	Robb, Warren	4	06/09/2016	50	0.085	825,000	500,000
Nicola Mining Inc.	Common Shares	Espig, Peter Edward	4	26/08/2016	11	0.12	3,018,087	850,000
Noble Iron Inc.	Common Shares	Kassam, Nabil	3, 4	02/09/2016	11	0.05	10,045,595	9,907,479
Noble Iron Inc.	Options	Kassam, Nabil	3, 4	17/06/2016	50			400,000
Noble Iron Inc.	Options	Kassam, Nabil	3, 4	17/06/2016	50		900,000	400,000
Noble Iron Inc.	Options Options Expiring October 2 2018	Kassam, Nabil	3, 4	02/10/2015	50	1.3		500,000
Noble Iron Inc.	Options Options Expiring October 2 2018	Kassam, Nabil	3, 4	02/10/2015	50	1.3	500,000	500,000
North American Energy Partners Inc.	Deferred Share Units	McIntosh, Ronald A	4	31/08/2016	56		201,306	2,422
Northern Empire Resources Corp.	Common Shares	Paterson, James R.	4	08/09/2016	10	0.165	1,845,000	87,500
Northern Empire Resources Corp.	Common Shares	Paterson, James R.	4	08/09/2016	10	0.17	1,876,000	31,000
Northern Empire Resources Corp.	Common Shares	Paterson, James R.	4	08/09/2016	10	0.175	1,931,000	55,000
Northern Empire Resources Corp.	Common Shares	Paterson, James R.	4	09/09/2016	10	0.175	1,955,000	24,000
Northern Empire Resources Corp.	Common Shares	Paterson, James R.	4	09/09/2016	10	0.18	2,066,500	111,500
Northfield Capital Corporation	Common Shares CLASS A RESTRICTED VOTING	Northfield Capital Corporation	1	01/09/2016	38	17.7	29,600	700
Northland Power Inc.	Common Shares	Temerty, James C.	3, 4, 5	18/08/2016	30	23.645	96,544	155
Northland Power Inc.	Common Shares	Temerty, James C.	3, 4, 5	18/08/2016	30	23.645	841,899	3,192
Northland Power Inc.	Common Shares	Temerty, James C.	3, 4, 5	18/08/2016	30	23.645	10,733	40
Northland Power Inc.	Common Shares	Temerty, James C.	3, 4, 5	18/08/2016	30	23.645	44,066,466	30,053
NorthWest Healthcare Properties Real Estate Investment Trust	Trust Units	Dalla Lana, Paul	4, 5	02/09/2016	10	10.555	1,734,990	2,200
NorthWest Healthcare Properties Real Estate Investment Trust	Trust Units	Dalla Lana, Paul	4, 5	07/09/2016	10	10.6	1,735,690	700
Nouveau Monde Mining Enterprises Inc.	Common Shares	Letendre, Jacques, Pierre-Julien	4	06/09/2016	51	0.2	72,810	1,500
Nouveau Monde Mining Enterprises Inc.	Common Shares	Letendre, Jacques, Pierre-Julien	4	06/09/2016	10	0.295	71,310	-1,500
Nouveau Monde Mining Enterprises Inc.	Common Shares	Letendre, Jacques, Pierre-Julien	4	08/09/2016	51	0.2	87,000	15,690
Nouveau Monde Mining Enterprises Inc.	Common Shares	Letendre, Jacques, Pierre-Julien	4	08/09/2016	10	0.295	71,310	-15,690
Nouveau Monde Mining Enterprises Inc.	Common Shares	Letendre, Jacques, Pierre-Julien	4	08/09/2016	10	0.295	70,500	-810
Nouveau Monde Mining Enterprises Inc.	Options	Letendre, Jacques, Pierre-Julien	4	06/09/2016	51	0.2	215,690	-1,500
Nouveau Monde Mining Enterprises Inc.	Options	Letendre, Jacques, Pierre-Julien	4	08/09/2016	51	0.2	200,000	-15,690



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NovaCopper Inc.	Rights Deferred Share Unit	McConnell, Gerald James	4	01/09/2016	56		148,123	5,362
NovaCopper Inc.	Rights Deferred Share Unit	Stairs, Janice Alayne	4	01/09/2016	56		156,023	5,362
Novanta Inc.	Common Shares	Roush, John A	4, 5	13/12/2012	57		463,193	-111,111
Novanta Inc.	Common Shares	Roush, John A	4, 5	01/09/2016	38		495,179	-96,127
Novanta Inc.	Common Shares	Roush, John A	4, 5	01/09/2016	57		458,589	-36,590
Novanta Inc.	Common Shares	Roush, John A	4, 5	01/09/2016	57		495,179	36,590
Novanta Inc.	Common Shares	Roush, John A	4, 5	01/09/2016	10	16.99	477,961	-17,218
Novanta Inc.	Options (Common Shares)	Roush, John A	4, 5	01/09/2016	38		12,797	-77,607
Nutritional High International Inc. (formerly, Sonoma Capital Inc.)	Common Shares	Keeler, Robert	4	30/08/2016	10	0.0315	42,350	350
Nutritional High International Inc. (formerly, Sonoma Capital Inc.)	Common Shares	Keeler, Robert	4	31/08/2016	10	0.035	92,350	50,000
Nutritional High International Inc. (formerly, Sonoma Capital Inc.)	Common Shares	Keeler, Robert	4	08/09/2016	10	0.046	110,850	18,500
Nutritional High International Inc. (formerly, Sonoma Capital Inc.)	Common Shares	Rizas, Statis	3	06/09/2016	10	0.075		-37,725
Nutritional High International Inc. (formerly, Sonoma Capital Inc.)	Common Shares	Rizas, Statis	3	06/09/2016	10	0.075	14,797,000	-503,000
Nutritional High International Inc. (formerly, Sonoma Capital Inc.)	Common Shares	Rizas, Statis	3	07/09/2016	10	0.075	14,700,000	-97,000
Nutritional High International Inc. (formerly, Sonoma Capital Inc.)	Common Shares	Rizas, Statis	3	07/09/2016	10	0.07	13,300,000	-1,400,000
NUVISTA ENERGY LTD.	Common Shares	Wright, Jonathan Andrew	5	07/09/2016	47		253,088	-100
NYX Gaming Group Limited	Common Shares	Davey, Matthew Stuart	3, 4, 5	09/09/2016	51	0.57	417,135	276,438
NYX Gaming Group Limited	Options	Davey, Matthew Stuart	3, 4, 5	09/09/2016	51	0.57	1,200,000	-276,438
OceanaGold Corporation	Common Shares	Holmes, Michael Harvy Lou	5	08/09/2016	10		122,352	-10,000
Oil Sands Sector Fund	Trust Units	Oil Sands Sector Fund	1	03/08/2016	38	4.09	500	500
Oil Sands Sector Fund	Trust Units	Oil Sands Sector Fund	1	03/08/2016	38	4.09	0	-500
Oil Sands Sector Fund	Trust Units	Oil Sands Sector Fund	1	17/08/2016	38	4.2	500	500
Oil Sands Sector Fund	Trust Units	Oil Sands Sector Fund	1	17/08/2016	38	4.2	0	-500
Olympia Financial Group Inc.	Common Shares	Keogh, Willem	5	02/09/2016	38	22.6	112	-1,167
Olympia Financial Group Inc.	Common Shares	Keogh, Willem	5	08/09/2016	10	21.28	450	338
OneCap Investment Corporation	Common Shares	Desmarais, Jean	4, 5	08/09/2016	11	0.1683	5,000	-140,000
OneCap Investment Corporation	Common Shares	Desmarais, Jean	4, 5	08/09/2016	11	0.1683	0	-50,000
OneCap Investment Corporation	Common Shares	Dorey, Daniel	4	08/09/2016	11	0.1683	285,000	95,000
OneCap Investment Corporation	Common Shares	Dorey, Daniel	4	08/09/2016	11	0.1507	390,000	105,000
OneCap Investment Corporation	Common Shares	Jean, Pierre Albert	4, 5	08/09/2016	11	0.1507	5,000	-20,000
OneCap Investment Corporation	Common Shares	Jean, Pierre Albert	4, 5	08/09/2016	11	0.1507	0	-190,000
OneCap Investment Corporation	Common Shares	Setlakwe, Pierre	4, 5	12/07/2012	00			
OneCap Investment Corporation	Common Shares	Setlakwe, Pierre	4, 5	08/09/2016	11	0.1683	95,000	95,000
OneCap Investment Corporation	Common Shares	Setlakwe, Pierre	4, 5	08/09/2016	11	0.1507	200,000	105,000
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	02/08/2016	38	79	18,400	18,400
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	02/08/2016	38		0	-18,400
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	03/08/2016	38	79	31,416	31,416

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ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	03/08/2016	38	79.17	32,716	1,300
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	03/08/2016	38		1,300	-31,416
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	03/08/2016	38		0	-1,300
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	04/08/2016	38	79	32,516	32,516
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	04/08/2016	38	79.17	33,816	1,300
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	04/08/2016	38		1,300	-32,516
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	04/08/2016	38		0	-1,300
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	08/08/2016	38	79	8,816	8,816
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	08/08/2016	38	79.17	9,216	400
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	08/08/2016	38		400	-8,816
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	08/08/2016	38		0	-400
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	12/08/2016	38	79	33,616	33,616
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	12/08/2016	97	79	39,616	6,000
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	12/08/2016	38	79.17	39,816	200
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	12/08/2016	38		6,200	-33,616
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	12/08/2016	38		200	-6,000
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	12/08/2016	38		0	-200
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	25/08/2016	38	79	18,616	18,616
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	25/08/2016	38	79.17	18,916	300
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	25/08/2016	38		300	-18,616
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	25/08/2016	38		0	-300
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	26/08/2016	38	79	13,016	13,016
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	26/08/2016	38	79.17	13,516	500
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	26/08/2016	38		500	-13,016
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	26/08/2016	38		0	-500
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	29/08/2016	38	79	3,616	3,616
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	29/08/2016	38		0	-3,616
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	31/08/2016	38	79	30,616	30,616
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	31/08/2016	38	79.17	33,816	3,200
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	31/08/2016	38		3,200	-30,616
ONEX CORPORATION	Subordinate Voting Shares	Onex Corporation	1	31/08/2016	38		0	-3,200
Opsens Inc.	Options	Mackin, James Patrick	4	07/09/2016	00			
Opsens Inc.	Options	Mackin, James Patrick	4	07/09/2016	50		100,000	100,000
Orca Gold Inc.	Common Shares	Ross, Kevin John	5	29/08/2016	00		284,781	
Orca Gold Inc.	Options	Ross, Kevin John	5	29/08/2016	00		350,000	

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Orca Gold Inc.	Options	Ross, Kevin John	5	29/08/2016	50	0.32	650,000	300,000
OrganiGram Holdings Inc. (formerly, Inform Exploration Corp.)	Options	Amirault, Peter	4	01/09/2016	50		55,000	15,000
OrganiGram Holdings Inc. (formerly, Inform Exploration Corp.)	Options	Amirault, Peter	4	02/09/2016	50			15,000
OrganiGram Holdings Inc. (formerly, Inform Exploration Corp.)	Options	Arsenault, Denis Joseph Robert	3, 4, 5	01/09/2016	50		312,000	12,000
OrganiGram Holdings Inc. (formerly, Inform Exploration Corp.)	Options	Arsenault, Denis Joseph Robert	3, 4, 5	01/09/2016	50		1,062,000	750,000
OrganiGram Holdings Inc. (formerly, Inform Exploration Corp.)	Options	Bourque, Michel	4	01/09/2016	50		52,500	17,500
OrganiGram Holdings Inc. (formerly, Inform Exploration Corp.)	Options	Bourque, Michel	4	02/09/2016	50			17,500
OrganiGram Holdings Inc. (formerly, Inform Exploration Corp.)	Options	Imbeault, Monique Marie	4	01/09/2016	50		66,700	26,700
OrganiGram Holdings Inc. (formerly, Inform Exploration Corp.)	Options	Mitton, Kenneth Arnold	4	01/09/2016	50		129,400	14,400
Organto Foods Inc.	Options	BICKFORD, ARTURO	4, 5	14/06/2016	52	0.2	0	-600,000
Oryx Petroleum Corporation Limited	Common Shares	Querio, Vance Barton	5	01/09/2016	10	0.55	694,142	-78,500
Oryx Petroleum Corporation Limited	Common Shares	Querio, Vance Barton	5	02/09/2016	10	0.55	690,142	-4,000
Oryx Petroleum Corporation Limited	Common Shares	Querio, Vance Barton	5	06/09/2016	10	0.55	672,642	-17,500
Otis Gold Corp.	Options	Norwich, Roger	4	10/10/2012	50	0.15	300,000	150,000
Otis Gold Corp.	Options	Norwich, Roger	4	16/07/2015	50	0.05	1,100,000	800,000
Otis Gold Corp.	Options	Norwich, Roger	4	09/08/2016	50	0.18	1,725,000	625,000
Otis Gold Corp.	Warrants	Norwich, Roger	4	19/06/2015	53	0.15	4,500,000	4,000,000
Painted Pony Petroleum Ltd.	Common Shares	Fleming, Tonya Louise	5	06/09/2016	30	8.33	59,893	423
Painted Pony Petroleum Ltd.	Common Shares	Hall, Bruce	5	06/09/2016	30	8.33	68,308	413
Painted Pony Petroleum Ltd.	Common Shares	Hanbury, Edwin Stewart	5	06/09/2016	30	8.33	137,524	509
Painted Pony Petroleum Ltd.	Common Shares	Jaggard, Stuart	1	06/09/2016	30	8.33	64,933	403
Painted Pony Petroleum Ltd.	Common Shares	McNamara, Leo Barry	5	06/09/2016	30	8.33	103,049	630
Painted Pony Petroleum Ltd.	Common Shares	REIMER, JAMES D.	5	06/09/2016	30	8.33	67,108	542
Painted Pony Petroleum Ltd.	Common Shares	SailingStone Capital Partners LLC	3	30/08/2016	10	8.54	12,709,384	-83,200
Painted Pony Petroleum Ltd.	Common Shares	SailingStone Capital Partners LLC	3	31/08/2016	10	8.5	12,659,384	-50,000
Painted Pony Petroleum Ltd.	Common Shares	Van de Pol, John	5	06/09/2016	30	8.33	124,470	536
Painted Pony Petroleum Ltd.	Common Shares	Ward, Patrick Russell	4, 5	06/09/2016	30	8.33	1,084,944	739
Palamina Corp.	Common Shares	Wagner, Darin	4	09/09/2016	10	0.21	212,000	12,000
Panoro Minerals Ltd.	Common Shares	Boden, William John	4	08/09/2016	10	0.175	11,144,620	6,000
Panoro Minerals Ltd.	Options	Mundie, Richard A.	4	15/08/2011	50	0.5		500,000
Panoro Minerals Ltd.	Options	Mundie, Richard A.	4	15/08/2011	50	0.5		-500,000
Panoro Minerals Ltd.	Options	Mundie, Richard A.	4	15/08/2011	50	0.5	1,000,000	500,000
Panoro Minerals Ltd.	Options	Mundie, Richard A.	4	15/08/2016	52		800,000	-500,000
Paramount Resources Ltd.	Common Shares Class A	Tahmazian, Phillip G.	5	02/09/2016	10	14.37	0	-898
Parex Resources Inc.	Common Shares	Bechtold, John Frederick	4	06/09/2016	51		76,666	3,666
Parex Resources Inc.	Common Shares	Bechtold, John Frederick	4	06/09/2016	57		81,666	5,000
Parex Resources Inc.	Common Shares	Bechtold, John Frederick	4	06/09/2016	10	16.303	78,000	-3,666
Parex Resources Inc.	Common Shares	Bechtold, John Frederick	4	06/09/2016	10	16.255	73,000	-5,000
Parex Resources Inc.	Options	Bechtold, John Frederick	4	06/09/2016	51	6.07	16,834	-3,666
Parex Resources Inc.	Restricted Share Unit	Bechtold, John Frederick	4	06/09/2016	57	0.01	16,600	-5,000
Park Lawn Corporation	Common Shares	Smith, Paul G.	4	31/05/2016	00			
Park Lawn Corporation	Common Shares	Smith, Paul G.	4	07/09/2016	10	15.238	3,750	3,750
Parkland Fuel Corporation	Common Shares	Brooks, Benjamin David	5	06/09/2016	30	23.08	92	66

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Parkland Fuel Corporation	Common Shares	Brooks, Benjamin David	5	06/09/2016	90		0	-92
Parkland Fuel Corporation	Common Shares	Brooks, Benjamin David	5	06/09/2016	90		982	92
Pason Systems Inc.	common share options	Dudar, Ronald	5	06/09/2016	51		255,600	-2,400
Pason Systems Inc.	Common Shares	Dudar, Ronald	5	06/09/2016	51		15,620	2,400
Pason Systems Inc.	Common Shares	Dudar, Ronald	5	06/09/2016	10	19.25	13,220	-2,400
Pason Systems Inc.	Common Shares	Faber, Jonathan	5	08/09/2016	10	17.7	7,200	3,000
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,238	500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	19.07	33,738	-500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,038	300
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	19.04	33,738	-300
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,938	200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	19.03	33,738	-200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,838	100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	19.02	33,738	-100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,238	500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	19.01	33,738	-500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,738	1,000
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	19	33,738	-1,000
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,838	100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	19.025	33,738	-100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,838	100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.965	33,738	-100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,138	400
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.86	33,738	-400
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,438	700
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.85	33,738	-700
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,938	200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.83	33,738	-200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		35,338	1,600
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.81	33,738	-1,600
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,638	900
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.8	33,738	-900
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,938	200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.855	33,738	-200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,938	200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.885	33,738	-200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,838	100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.875	33,738	-100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,938	200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.87	33,738	-200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,138	400
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.7	33,738	-400
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,038	300
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.71	33,738	-300
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		35,038	1,300
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.61	33,738	-1,300
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,938	200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.745	33,738	-200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,238	500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.68	33,738	-500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,838	1,100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.69	33,738	-1,100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,238	500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.66	33,738	-500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,838	1,100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.65	33,738	-1,100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,738	1,000
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.64	33,738	-1,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,438	700
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.62	33,738	-700
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,138	400
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.63	33,738	-400
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,838	100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.6	33,738	-100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,938	200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.22	33,738	-200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,838	100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.21	33,738	-100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,438	700
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	18.2	33,738	-700
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		35,738	2,000
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.99	33,738	-2,000
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,538	800
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.96	33,738	-800
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,938	200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.94	33,738	-200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,338	600
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.98	33,738	-600
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,938	200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.97	33,738	-200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,938	200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.95	33,738	-200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		35,838	2,100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.84	33,738	-2,100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,238	500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.86	33,738	-500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,238	500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.87	33,738	-500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,938	200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.83	33,738	-200
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,838	1,100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.835	33,738	-1,100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		35,038	1,300
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.845	33,738	-1,300
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,438	700
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.855	33,738	-700
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		36,238	2,500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.85	33,738	-2,500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		33,838	100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.82	33,738	-100
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,638	900
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.81	33,738	-900
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,238	500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.56	33,738	-500
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		34,538	800
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.57	33,738	-800
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	51		35,971	2,233
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	07/09/2016	10	17.53	33,738	-2,233
Pason Systems Inc.	Common Shares	Kessler, Marcel	5	08/09/2016	10	17.64	36,738	3,000
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		312,833	-500
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		312,533	-300
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		312,333	-200
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		312,233	-100
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		311,733	-500
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		310,733	-1,000
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		310,633	-100

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		310,533	-100
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		310,133	-400
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		309,433	-700
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		309,233	-200
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		307,633	-1,600
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		306,733	-900
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		306,533	-200
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		306,333	-200
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		306,233	-100
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		306,033	-200
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		305,633	-400
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		305,333	-300
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		304,033	-1,300
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		303,833	-200
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		303,333	-500
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		302,233	-1,100
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		301,733	-500
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		300,633	-1,100
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		299,633	-1,000
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		298,933	-700
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		298,533	-400
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		298,433	-100
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		298,233	-200
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		298,133	-100
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		297,433	-700
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		295,433	-2,000
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		294,633	-800
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		294,433	-200
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		293,833	-600
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		293,633	-200
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		293,433	-200
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		291,333	-2,100
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		290,833	-500
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		290,333	-500
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		290,133	-200
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		289,033	-1,100
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		287,733	-1,300
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		287,033	-700
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		284,533	-2,500
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		284,433	-100
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		283,533	-900
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		283,033	-500
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		282,233	-800
Pason Systems Inc.	Options	Kessler, Marcel	5	07/09/2016	51		280,000	-2,233
Pathfinder Income Fund	Trust Units	Pathfinder Convertible Debenture Fund	1	07/09/2016	38	8.75	9,730,964	600
Pediapharm Inc.	Common Shares	Désormeau, Pierre	4	07/09/2016	10	0.285		-50,000
Pediapharm Inc.	Common Shares	Désormeau, Pierre	4	07/09/2016	10	0.285	1,015,231	-47,000
Pediapharm Inc.	Common Shares	Lapalme, Pierre	4	07/09/2016	10	0.28	2,516,744	3,000
Pediapharm Inc.	Common Shares	Lapalme, Pierre	4	07/09/2016	10	0.285	2,563,744	47,000
Pepcap Resources, Inc.	Common Shares	Andrup, Claus Erik	4	08/09/2016	10	0.085	961,837	5,000
Performance Sports Group Ltd. (formerly, Bauer Performance Sports Ltd.)	Rights DSUs granted pursuant to Omnibus Equity Incentive Plan	Barsa, Karyn Odette	4	01/09/2016	56		18,812	8,579
Performance Sports Group Ltd. (formerly, Bauer Performance Sports Ltd.)	Rights DSUs granted pursuant to Omnibus Equity Incentive Plan	Dea, Joan	4	01/09/2016	56		21,002	8,579
Performance Sports Group Ltd. (formerly, Bauer Performance Sports Ltd.)	Rights DSUs granted pursuant to Omnibus Equity Incentive Plan	Jacobi, Charles Michael	4	01/09/2016	56		36,645	15,542

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Performance Sports Group Ltd. (formerly, Bauer Performance Sports Ltd.)	Rights DSUs granted pursuant to Omnibus Equity Incentive Plan	Mannelly, Matthew Mark	4	01/09/2016	56		18,847	8,579
Performance Sports Group Ltd. (formerly, Bauer Performance Sports Ltd.)	Rights DSUs granted pursuant to Omnibus Equity Incentive Plan	McDonell, Bernard J.	4	01/09/2016	56		43,599	19,222
Performance Sports Group Ltd. (formerly, Bauer Performance Sports Ltd.)	Rights DSUs granted pursuant to Omnibus Equity Incentive Plan	Nicholson, Robert Grant	4	01/09/2016	56		26,985	11,577
Petrus Resources Ltd.	Common Shares	Gray, Don	4	02/09/2016	10	2.0028	444,734	-16,560
Petrus Resources Ltd.	Common Shares	Verburg, Peter Julius	4	02/09/2016	10	2.0028	444,734	-16,560
Peyto Exploration & Development Corp.	Common Shares	Burdick, Todd	5	06/09/2016	10	37.895	17,240	-1,000
PHX Energy Services Corp.	Common Shares	Athaide, Judith	4	08/09/2016	10	3.4	200	-37
PHX Energy Services Corp.	Common Shares	Athaide, Judith	4	08/09/2016	10	3.45	0	-200
PHX Energy Services Corp.	Common Shares	Athaide, Judith	4	09/09/2016	10	3.4		-37
Pioneering Technology Corp.	Common Shares	Comber, Peter Laird	4	07/09/2016	51	0.15		-50,000
Pioneering Technology Corp.	Common Shares	Comber, Peter Laird	4	07/09/2016	51	0.15		-50,000
Pioneering Technology Corp.	Common Shares	Comber, Peter Laird	4	07/09/2016	51	0.15		-50,000
Pioneering Technology Corp.	Common Shares	Comber, Peter Laird	4	07/09/2016	51	0.61	852,370	50,000
Pioneering Technology Corp.	Common Shares	Comber, Peter Laird	4	07/09/2016	10	0.61	802,370	-50,000
Pioneering Technology Corp.	Options	Comber, Peter Laird	4	29/08/2016	51	0.15		50,000
Pioneering Technology Corp.	Options	Comber, Peter Laird	4	29/08/2016	51		680,000	-50,000
Pioneering Technology Corp.	Options	Comber, Peter Laird	4	07/09/2016	51		630,000	-50,000
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Options	Kyle, Brian Scott	5	08/08/2016	00			
Pivot Technology Solutions, Inc. (formerly Acme Capital Corporation)	Options	Kyle, Brian Scott	5	31/08/2016	50	0.49	600,000	600,000
PJX Resources Inc.	Common Shares	Crossett, Paul Everett	3	09/09/2016	10	0.14	407,000	12,000
Platinex Inc.	Options	BURDEN, LORNE DONALD	4	07/09/2016	50	0.05	750,000	250,000
Platinex Inc.	Options	Hussey, Tom	4	07/09/2016	50	0.05	500,000	250,000
Platinex Inc.	Options	Reilly, Robert Bruce	5	07/09/2016	50		1,655,000	750,000
Platinex Inc.	Options	TRUSLER, JAMES RICHARD	3, 4, 5	01/04/2016	52	0.12	250,000	-240,000
Platinex Inc.	Options	TRUSLER, JAMES RICHARD	3, 4, 5	07/09/2016	50	0.05	1,250,000	1,000,000
Points International Ltd.	Common Shares	Points International Ltd.	1	19/08/2016	38	8.09	200	200
Points International Ltd.	Common Shares	Points International Ltd.	1	19/08/2016	38	8.1	2,000	1,800
Points International Ltd.	Common Shares	Points International Ltd.	1	22/08/2016	38	7.94	2,417	417
Points International Ltd.	Common Shares	Points International Ltd.	1	22/08/2016	38	7.95	4,000	1,583
Points International Ltd.	Common Shares	Points International Ltd.	1	23/08/2016	38	7.96	4,100	100
Points International Ltd.	Common Shares	Points International Ltd.	1	23/08/2016	38	7.97	4,200	100
Points International Ltd.	Common Shares	Points International Ltd.	1	23/08/2016	38	7.98	4,400	200
Points International Ltd.	Common Shares	Points International Ltd.	1	23/08/2016	38	7.99	4,900	500
Points International Ltd.	Common Shares	Points International Ltd.	1	23/08/2016	38	8	5,100	200
Points International Ltd.	Common Shares	Points International Ltd.	1	23/08/2016	38	8.01	5,200	100
Points International Ltd.	Common Shares	Points International Ltd.	1	23/08/2016	38	8.02	5,300	100
Points International Ltd.	Common Shares	Points International Ltd.	1	23/08/2016	38	8.07	5,400	100
Points International Ltd.	Common Shares	Points International Ltd.	1	23/08/2016	38	8.1	5,600	200
Points International Ltd.	Common Shares	Points International Ltd.	1	24/08/2016	38	7.81	5,700	100
Points International Ltd.	Common Shares	Points International Ltd.	1	24/08/2016	38	7.85	6,200	500
Points International Ltd.	Common Shares	Points International Ltd.	1	24/08/2016	38	7.87	6,300	100
Points International Ltd.	Common Shares	Points International Ltd.	1	24/08/2016	38	7.89	6,500	200
Points International Ltd.	Common Shares	Points International Ltd.	1	24/08/2016	38	7.93	6,600	100
Points International Ltd.	Common Shares	Points International Ltd.	1	24/08/2016	38	7.97	6,800	200
Points International Ltd.	Common Shares	Points International Ltd.	1	24/08/2016	38	7.98	7,400	600
Points International Ltd.	Common Shares	Points International Ltd.	1	24/08/2016	38	7.99	7,500	100
Points International Ltd.	Common Shares	Points International Ltd.	1	24/08/2016	38	8	7,600	100
Points International Ltd.	Common Shares	Points International Ltd.	1	25/08/2016	38	7.81	7,900	300

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Points International Ltd.	Common Shares	Points International Ltd.	1	25/08/2016	38	7.82	8,000	100
Points International Ltd.	Common Shares	Points International Ltd.	1	25/08/2016	38	7.83	8,100	100
Points International Ltd.	Common Shares	Points International Ltd.	1	25/08/2016	38	7.84	8,200	100
Points International Ltd.	Common Shares	Points International Ltd.	1	25/08/2016	38	7.86	8,285	85
Points International Ltd.	Common Shares	Points International Ltd.	1	25/08/2016	38	7.9	8,900	615
Points International Ltd.	Common Shares	Points International Ltd.	1	25/08/2016	38	7.91	9,000	100
Points International Ltd.	Common Shares	Points International Ltd.	1	25/08/2016	38	7.92	9,100	100
Points International Ltd.	Common Shares	Points International Ltd.	1	25/08/2016	38	7.93	9,300	200
Points International Ltd.	Common Shares	Points International Ltd.	1	25/08/2016	38	7.94	9,400	100
Points International Ltd.	Common Shares	Points International Ltd.	1	25/08/2016	38	7.98	9,600	200
Points International Ltd.	Common Shares	Points International Ltd.	1	26/08/2016	38	7.73	9,800	200
Points International Ltd.	Common Shares	Points International Ltd.	1	26/08/2016	38	7.74	9,900	100
Points International Ltd.	Common Shares	Points International Ltd.	1	26/08/2016	38	7.75	10,000	100
Points International Ltd.	Common Shares	Points International Ltd.	1	26/08/2016	38	7.79	10,004	4
Points International Ltd.	Common Shares	Points International Ltd.	1	26/08/2016	38	7.83	10,200	196
Points International Ltd.	Common Shares	Points International Ltd.	1	26/08/2016	38	7.86	10,500	300
Points International Ltd.	Common Shares	Points International Ltd.	1	26/08/2016	38	7.9	10,700	200
Points International Ltd.	Common Shares	Points International Ltd.	1	26/08/2016	38	7.92	10,900	200
Points International Ltd.	Common Shares	Points International Ltd.	1	26/08/2016	38	7.97	11,100	200
Points International Ltd.	Common Shares	Points International Ltd.	1	26/08/2016	38	7.98	11,200	100
Points International Ltd.	Common Shares	Points International Ltd.	1	26/08/2016	38	7.99	11,400	200
Points International Ltd.	Common Shares	Points International Ltd.	1	26/08/2016	38	8	11,500	100
Points International Ltd.	Common Shares	Points International Ltd.	1	26/08/2016	38	8.03	11,600	100
Points International Ltd.	Common Shares	Points International Ltd.	1	29/08/2016	38	7.51	11,700	100
Points International Ltd.	Common Shares	Points International Ltd.	1	29/08/2016	38	7.52	11,900	200
Points International Ltd.	Common Shares	Points International Ltd.	1	29/08/2016	38	7.57	12,100	200
Points International Ltd.	Common Shares	Points International Ltd.	1	29/08/2016	38	7.58	12,200	100
Points International Ltd.	Common Shares	Points International Ltd.	1	29/08/2016	38	7.69	12,300	100
Points International Ltd.	Common Shares	Points International Ltd.	1	29/08/2016	38	7.7	12,400	100
Points International Ltd.	Common Shares	Points International Ltd.	1	29/08/2016	38	7.74	12,500	100
Points International Ltd.	Common Shares	Points International Ltd.	1	29/08/2016	38	7.75	13,000	500
Points International Ltd.	Common Shares	Points International Ltd.	1	29/08/2016	38	7.76	13,087	87
Points International Ltd.	Common Shares	Points International Ltd.	1	29/08/2016	38	7.78	13,187	100
Points International Ltd.	Common Shares	Points International Ltd.	1	29/08/2016	38	7.79	13,287	100
Points International Ltd.	Common Shares	Points International Ltd.	1	29/08/2016	38	7.8	13,500	213
Points International Ltd.	Common Shares	Points International Ltd.	1	29/08/2016	38	7.87	13,600	100
Points International Ltd.	Common Shares	Points International Ltd.	1	30/08/2016	38	7.72	14,000	400
Points International Ltd.	Common Shares	Points International Ltd.	1	30/08/2016	38	7.74	15,100	1,100
Points International Ltd.	Common Shares	Points International Ltd.	1	30/08/2016	38	7.75	15,200	100
Points International Ltd.	Common Shares	Points International Ltd.	1	30/08/2016	38	7.755	15,400	200
Points International Ltd.	Common Shares	Points International Ltd.	1	30/08/2016	38	7.79	15,600	200
Points International Ltd.	Common Shares	Points International Ltd.	1	31/08/2016	38	7.74	15,900	300
Points International Ltd.	Common Shares	Points International Ltd.	1	31/08/2016	38	7.75	16,000	100
Points International Ltd.	Common Shares	Points International Ltd.	1	31/08/2016	38	7.78	16,200	200
Points International Ltd.	Common Shares	Points International Ltd.	1	31/08/2016	38	7.79	16,300	100
Points International Ltd.	Common Shares	Points International Ltd.	1	31/08/2016	38	7.8	16,500	200
Points International Ltd.	Common Shares	Points International Ltd.	1	31/08/2016	38	7.81	16,700	200
Points International Ltd.	Common Shares	Points International Ltd.	1	31/08/2016	38	7.83	16,800	100
Points International Ltd.	Common Shares	Points International Ltd.	1	31/08/2016	38	7.86	17,100	300
Points International Ltd.	Common Shares	Points International Ltd.	1	31/08/2016	38	7.95	17,300	200
Points International Ltd.	Common Shares	Points International Ltd.	1	31/08/2016	38		5,700	-11,600
Polaris Infrastructure Inc. (formerly Ram Power, Corp.)	Common Shares	Downey, Shane	5	07/09/2016	10	15.85	6,335	335
Polaris Materials Corporation (formerly Polaris Minerals Corporation)	Options	Wilson, Herbert Godfrey Anthony	4, 5	09/08/2016	50	1.35		150,000
Polaris Materials Corporation (formerly Polaris Minerals Corporation)	Options	Wilson, Herbert Godfrey Anthony	4, 5	12/08/2016	50	1.35	909,792	150,000



**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Potash Corporation of Saskatchewan Inc.	Options Employee Stock Options	Peacock, Bradley	7	01/02/2016	00		77,950	
Potash Corporation of Saskatchewan Inc.	Options Employee Stock Options	Peacock, Bradley	7	11/05/2016	50	16.15	94,556	16,606
Potash Corporation of Saskatchewan Inc.	Performance Share Units	Peacock, Bradley	7	01/02/2016	00			
Potash Corporation of Saskatchewan Inc.	Performance Share Units	Peacock, Bradley	7	11/05/2016	56		9,210	9,210
Potash Ridge Corporation	Common Shares	Phillips, Ross Arnold	5	02/09/2016	10	0.23	43,834	-29,500
Potash Ridge Corporation	Common Shares	Phillips, Ross Arnold	5	06/09/2016	10	0.235	33,334	-10,500
Poydras Gaming Finance Corp.	Common Shares	Davila, Daniel	4, 5	02/09/2016	10	0.71	845,250	39,000
Poydras Gaming Finance Corp.	Common Shares	Macy, Peter Kingsland	4, 5	09/09/2016	10	0.7229	857,250	7,000
PrairieSky Royalty Ltd.	Common Shares	PrairieSky Royalty Ltd.	1	31/08/2016	38	26.494	509,500	124,400
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	05/08/2016	38	11.15	3,000	3,000
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	05/08/2016	38	11.15	0	-3,000
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	08/08/2016	38	10.95	200	200
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	08/08/2016	38	10.95	0	-200
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	12/08/2016	38	10.95	900	900
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	12/08/2016	38	10.95	0	-900
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	17/08/2016	38	10.86	400	400
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	17/08/2016	38	10.86	0	-400
Precipitate Gold Corp.	Common Shares	Strategic Metals Ltd.	3	07/09/2016	10		14,921,327	-19,000
Precipitate Gold Corp.	Common Shares	Strategic Metals Ltd.	3	08/09/2016	10		14,878,327	-43,000
Precipitate Gold Corp.	Common Shares	Strategic Metals Ltd.	3	09/09/2016	10		14,843,327	-35,000
Pretium Resources Inc.	Common Shares	Birkey, Peter Merriman	4	06/09/2016	57	14.15	63,178	23,178
Pretium Resources Inc.	Rights	Birkey, Peter Merriman	4	08/12/2015	56	7.55		23,179
Pretium Resources Inc.	Rights	Birkey, Peter Merriman	4	08/12/2015	56	7.55	23,178	23,178
Pretium Resources Inc.	Rights	Birkey, Peter Merriman	4	06/09/2016	57		0	-23,178
Pretium Resources Inc.	Common Shares	Dunn, Christopher Noel	4	07/09/2016	57	14.15	73,178	23,178
Pretium Resources Inc.	Rights	Dunn, Christopher Noel	4	08/12/2015	56	7.55		23,179
Pretium Resources Inc.	Rights	Dunn, Christopher Noel	4	08/12/2015	56	7.55	23,178	23,178
Pretium Resources Inc.	Rights	Dunn, Christopher Noel	4	07/09/2016	57		0	-23,178
Pretium Resources Inc.	Common Shares	McNaughton, Kenneth C.	6	07/09/2016	51	11.78	505,500	75,000
Pretium Resources Inc.	Common Shares	McNaughton, Kenneth C.	6	07/09/2016	10	15	430,500	-75,000
Pretium Resources Inc.	Common Shares	McNaughton, Kenneth C.	6	08/09/2016	51	11.78	505,500	75,000
Pretium Resources Inc.	Common Shares	McNaughton, Kenneth C.	6	08/09/2016	10	15.25	430,500	-75,000
Pretium Resources Inc.	Options	McNaughton, Kenneth C.	6	07/09/2016	51		785,000	-75,000
Pretium Resources Inc.	Options	McNaughton, Kenneth C.	6	08/09/2016	51		710,000	-75,000
Pretium Resources Inc.	Common Shares	Mitchell, Ross Andrew	4	06/09/2016	57	14.15	38,841	23,841
Pretium Resources Inc.	Rights	Mitchell, Ross Andrew	4	06/09/2016	57		0	-23,841
Pretium Resources Inc.	Common Shares	Paspalas, George Nickolas	4	06/09/2016	57		36,476	28,476
Pretium Resources Inc.	Common Shares	Paspalas, George Nickolas	4	06/09/2016	10	14.5	33,976	-2,500
Pretium Resources Inc.	Common Shares	Paspalas, George Nickolas	4	06/09/2016	10	14.55	33,546	-430
Pretium Resources Inc.	Common Shares	Paspalas, George Nickolas	4	06/09/2016	10	14.246	30,546	-3,000
Pretium Resources Inc.	Common Shares	Paspalas, George Nickolas	4	06/09/2016	10	14.25	28,046	-2,500
Pretium Resources Inc.	Common Shares	Paspalas, George Nickolas	4	06/09/2016	10	14.3	25,546	-2,500
Pretium Resources Inc.	Common Shares	Paspalas, George Nickolas	4	06/09/2016	10	14.35	23,046	-2,500
Pretium Resources Inc.	Rights	Paspalas, George Nickolas	4	08/12/2015	56	7.55		28,477
Pretium Resources Inc.	Rights	Paspalas, George Nickolas	4	08/12/2015	56	7.55	28,476	28,476
Pretium Resources Inc.	Rights	Paspalas, George Nickolas	4	06/09/2016	57			-28,477
Pretium Resources Inc.	Rights	Paspalas, George Nickolas	4	06/09/2016	57		0	-28,476
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	06/09/2016	51	11.78	2,312,053	62,700

**Insider Reporting**

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Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	06/09/2016	10	14.63	2,288,553	-23,500
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	06/09/2016	10	14.64	2,286,453	-2,100
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	06/09/2016	10	14.645	2,285,953	-500
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	06/09/2016	10	14.66	2,282,753	-3,200
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	06/09/2016	10	14.665	2,282,553	-200
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	06/09/2016	10	14.67	2,279,253	-3,300
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	06/09/2016	10	14.68	2,273,053	-6,200
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	06/09/2016	10	14.65	2,262,053	-11,000
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	06/09/2016	10	14.65	2,251,353	-10,700
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	06/09/2016	10	15.15	2,250,553	-800
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	06/09/2016	10	15.14	2,249,453	-1,100
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	06/09/2016	10	15.13	2,238,653	-10,800
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	07/09/2016	51	11.78	2,275,953	37,300
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	07/09/2016	10	14.99	2,263,653	-12,300
Pretium Resources Inc.	Common Shares	Quartermain, Robert Allan	4, 5	07/09/2016	10	15.09	2,238,653	-25,000
Pretium Resources Inc.	Options	Quartermain, Robert Allan	4, 5	06/09/2016	51		1,104,300	-62,700
Pretium Resources Inc.	Options	Quartermain, Robert Allan	4, 5	07/09/2016	51		1,067,000	-37,300
Pretium Resources Inc.	Common Shares	Romero, Michelle Ann	5	08/09/2016	51	11.78	67,300	30,000
Pretium Resources Inc.	Common Shares	Romero, Michelle Ann	5	08/09/2016	10	15.52	37,300	-30,000
Pretium Resources Inc.	Options	Romero, Michelle Ann	5	08/09/2016	51		280,000	-30,000
Prism Medical Ltd.	Common Shares	Charles, John Christopher	5	31/08/2016	22	14	0	-570,595
Prism Medical Ltd.	Options	Charles, John Christopher	5	31/08/2016	22	14	0	-30,000
Prism Medical Ltd.	Options	Charles, John Christopher	5	31/08/2016	97		0	-30,000
Prism Medical Ltd.	Common Shares	Clark, Steven Craig	7	31/08/2016	22	14	0	-20,200
Prism Medical Ltd.	Options	Clark, Steven Craig	7	31/08/2016	97		0	-150,000
Prism Medical Ltd.	Common Shares	Edwards, William George	5	31/08/2016	22	14	0	-25,400
Prism Medical Ltd.	Common Shares	Handicare Holdings Ltd.	3	31/08/2016	00		4,938,989	
Prism Medical Ltd.	Common Shares	McIntyre, Andrew A.	3, 4, 5	31/08/2016	22	14	0	-8,000
Prism Medical Ltd.	Common Shares	McIntyre, Andrew A.	3, 4, 5	31/08/2016	22	14	0	-535,738
Prism Medical Ltd.	Common Shares	McIntyre, Andrew A.	3, 4, 5	31/08/2016	22	14	0	-1,216
Prism Medical Ltd.	Common Shares	Scavuzzo, Ross	5	31/08/2016	22	14	0	-79,026
Prism Medical Ltd.	Common Shares	Scavuzzo, Ross	5	31/08/2016	22	14	0	-10,000
Prism Medical Ltd.	Options	Scavuzzo, Ross	5	31/08/2016	97		0	-100,000
Prism Medical Ltd.	Common Shares	Spence, Brian	4	31/08/2016	22	14	0	-42,001
Prism Medical Ltd.	Common Shares	Spence, Brian	4	31/08/2016	22	14	0	-45,059
Prism Medical Ltd.	Options	Spence, Brian	4	31/08/2016	22		0	-57,000
Prism Medical Ltd.	Common Shares	Spinks, Jeffrey	4	31/08/2016	22	14	0	-50,000
Prism Medical Ltd.	Options	Spinks, Jeffrey	4	31/08/2016	97		0	-30,000
Prism Medical Ltd.	Common Shares	Struzziero, Ralph Edward	4	31/08/2016	22	14	0	-30,000
Prism Medical Ltd.	Options	Struzziero, Ralph Edward	4	31/08/2016	97		0	-30,000
Prism Medical Ltd.	Common Shares	Wallace, Charles Jr.	7, 5	31/08/2016	22	14	0	-284,148
Prism Medical Ltd.	Options	Wallace, Charles Jr.	7, 5	31/08/2016	97		0	-125,000
Prism Medical Ltd.	Common Shares	Wright, John D.	5	31/08/2016	22	14	0	-15,403
Prism Medical Ltd.	Common Shares	Wright, John D.	5	31/08/2016	22	14	0	-2,400
Probe Metals Inc.	Common Shares	Goldcorp Inc.	3	17/08/2016	16	0.95	9,093,926	1,315,800
Probe Metals Inc.	Warrants	Goldcorp Inc.	3	13/03/2015	00			
Probe Metals Inc.	Warrants	Goldcorp Inc.	3	17/08/2016	16	1.75	657,900	657,900
ProMetic Life Sciences Inc.	Common Shares	Bees, William Charles Henry Labossiere	7	01/09/2016	57	1.71	9,472	3,750
ProMetic Life Sciences Inc.	Common Shares	Bees, William Charles Henry Labossiere	7	01/09/2016	10	2.79	7,502	-1,970
ProMetic Life Sciences Inc.	Unités d'actions restreintes / Restricted Share Units	Bees, William Charles Henry Labossiere	7	01/09/2016	57	1.71	110,000	-3,750
ProMetic Life Sciences Inc.	Common Shares	Chen, Dwun-Hou	7	01/09/2016	57	1.71	936,869	2,500
ProMetic Life Sciences Inc.	Common Shares	Chen, Dwun-Hou	7	01/09/2016	10	2.79	935,923	-946
ProMetic Life Sciences Inc.	Unités d'actions restreintes / Restricted Share Units	Chen, Dwun-Hou	7	01/09/2016	57	1.71	482,500	-2,500
ProMetic Life Sciences Inc.	Common Shares	Gagnon, Lyne	7	01/09/2016	57	1.71	230,152	4,000

**Insider Reporting**

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ProMetic Life Sciences Inc.	Common Shares	Gagnon, Lyne	7	01/09/2016	10	2.79	227,929	-2,223
ProMetic Life Sciences Inc.	Unités d'actions restreintes / Restricted Share Units	Gagnon, Lyne	7	01/09/2016	57	1.71	383,187	-4,000
ProMetic Life Sciences Inc.	Options	Galbraith, Kenneth Harry	4	02/09/2016	50	2.8	32,896	32,896
ProMetic Life Sciences Inc.	Common Shares	Harris, Gordon Frederick	7	01/09/2016	57	1.71	13,000	3,000
ProMetic Life Sciences Inc.	Common Shares	Harris, Gordon Frederick	7	01/09/2016	10	2.79	11,423	-1,577
ProMetic Life Sciences Inc.	Unités d'actions restreintes / Restricted Share Units	Harris, Gordon Frederick	7	01/09/2016	57	1.71	139,750	-3,000
ProMetic Life Sciences Inc.	Common Shares	Laurin, Pierre	4, 5	01/09/2016	57	1.71	815,718	42,321
ProMetic Life Sciences Inc.	Common Shares	Laurin, Pierre	4, 5	01/09/2016	10	2.79	773,397	-42,321
ProMetic Life Sciences Inc.	Unités d'actions restreintes / Restricted Share Units	Laurin, Pierre	4, 5	01/09/2016	57	1.71		-41,321
ProMetic Life Sciences Inc.	Unités d'actions restreintes / Restricted Share Units	Laurin, Pierre	4, 5	01/09/2016	57	1.71	2,763,729	-42,321
ProMetic Life Sciences Inc.	Common Shares	Moran, John Edward	4	01/09/2016	57	1.71	419,432	12,895
ProMetic Life Sciences Inc.	Unités d'actions restreintes / Restricted Share Units	Moran, John Edward	4	01/09/2016	57	1.71	620,933	-12,895
ProMetic Life Sciences Inc.	Common Shares	Pritchard, Bruce	5	01/09/2016	57	1.71	4,679	4,679
ProMetic Life Sciences Inc.	Common Shares	Pritchard, Bruce	5	01/09/2016	10	2.79	2,386	-2,293
ProMetic Life Sciences Inc.	Unités d'actions restreintes / Restricted Share Units	Pritchard, Bruce	5	01/09/2016	57	1.71	1,030,409	-4,679
ProMetic Life Sciences Inc.	Common Shares	Sartore, Patrick	5	01/09/2016	57	1.71	509,773	4,679
ProMetic Life Sciences Inc.	Common Shares	Sartore, Patrick	5	01/09/2016	10	2.79	507,173	-2,600
ProMetic Life Sciences Inc.	Unités d'actions restreintes / Restricted Share Units	Sartore, Patrick	5	01/09/2016	57	1.71	866,374	-4,679
ProMetic Life Sciences Inc.	Common Shares	Weaver, Gregory	5	01/09/2016	57	1.71	47,500	12,500
ProMetic Life Sciences Inc.	Common Shares	Weaver, Gregory	5	01/09/2016	10	2.79	43,272	-4,228
ProMetic Life Sciences Inc.	Unités d'actions restreintes / Restricted Share Units	Weaver, Gregory	5	01/09/2016	57	1.71	237,500	-12,500
Prosper Gold Corp. (formerly Lander Energy Corporation)	Options	Bernier, Peter	4	02/09/2016	50	0.345	1,025,000	150,000
Prosper Gold Corp. (formerly Lander Energy Corporation)	Options	Hedalen, James	5	02/09/2016	50	0.345	300,000	150,000
Prosper Gold Corp. (formerly Lander Energy Corporation)	Options	Hynes, Jason Franklin	4	02/09/2016	50	0.345		100,000
Prosper Gold Corp. (formerly Lander Energy Corporation)	Options	Hynes, Jason Franklin	4	02/09/2016	50	0.345	450,000	75,000
Prosper Gold Corp. (formerly Lander Energy Corporation)	Options	Lee, Sharon	5	02/09/2016	50	0.345	325,000	100,000
Prosper Gold Corp. (formerly Lander Energy Corporation)	Options	Miller-tait, James	4	02/09/2016	50	0.345	450,000	75,000
Prosper Gold Corp. (formerly Lander Energy Corporation)	Options	Tempelman-Kluit, Dirk	4	02/09/2016	50	0.345	925,000	150,000
Puma Exploration Inc.	Common Shares	Robillard, Marcel	4, 5	06/09/2016	10	0.07	1,957,750	10,000
Puma Exploration Inc.	Common Shares	Robillard, Marcel	4, 5	07/09/2016	10	0.065	1,987,750	30,000
Puma Exploration Inc.	Common Shares	Robillard, Marcel	4, 5	09/09/2016	10	0.065	2,037,750	50,000
Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	07/09/2016	51	3.28	243,074	21,200
Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	07/09/2016	10	6.1	240,574	-2,500
Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	07/09/2016	10	6.07	238,574	-2,000
Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	07/09/2016	10	6.05	224,774	-13,800
Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	07/09/2016	10	6.06	221,874	-2,900
Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	08/09/2016	51	3.28	235,674	13,800
Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	08/09/2016	10	6.08	233,974	-1,700
Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	08/09/2016	10	6.07	233,574	-400
Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	08/09/2016	10	6.06	230,274	-3,300
Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	08/09/2016	10	6.02	230,174	-100

**Insider Reporting**

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Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	08/09/2016	10	6.05	227,274	-2,900
Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	08/09/2016	10	6.01	221,874	-5,400
Pure Technologies Ltd.	Options	Holley, Mark William	5	07/09/2016	51	3.28	183,500	-21,200
Pure Technologies Ltd.	Options	Holley, Mark William	5	08/09/2016	51	3.28	169,700	-13,800
Questa Energy Corporation	Common Shares	Binnion, Michael Rupert	4, 6, 5	09/09/2016	10	0.2	8,976,182	-1,000,000
Raging River Exploration Inc.	Common Shares	Danku, Terry Ryan	5	08/09/2016	10	11.08	263,267	-17,000
Raging River Exploration Inc.	Common Shares	Olson, Kevin	4	07/09/2016	51		1,024,799	29,167
Raging River Exploration Inc.	Options	Olson, Kevin	4	07/09/2016	51			-29,167
Raging River Exploration Inc.	Options	Olson, Kevin	4	07/09/2016	51	3.53	116,000	-29,167
Redknee Solutions Inc.	Common Shares	Kothari, Vishal	5	12/08/2016	30	1.47	21,478	3,184
Redknee Solutions Inc.	Common Shares	Skoczkowski, Lucas Atanazy	4, 5	12/08/2016	30	1.47	21,142	1,265
Redknee Solutions Inc.	Common Shares	Skoczkowski, Lucas Atanazy	4, 5	12/08/2016	30	1.47	70,240	1,265
Redknee Solutions Inc.	Common Shares	Skoczkowski, Lucas Atanazy	4, 5	12/08/2016	30	1.47	17,809	317
RESAAS Services Inc.	Common Shares	Shippit, Cameron	4, 5	04/08/2016	10	2.08	1,470,000	-1,500
Restaurant Brands International Inc.	Common Shares	John, Andrea	7	01/09/2016	00		895	
Restaurant Brands International Inc.	Options	John, Andrea	7	01/09/2016	00		20,000	
Restaurant Brands International Inc.	Units Restricted Shares	John, Andrea	7	01/09/2016	00		32,469	
Richards Packaging Income Fund	Trust Units	McKernan, Timothy	5	12/08/2016	10	24.75	851,590	-400
Richmont Mines Inc.	Common Shares	Tardif, Méliissa	5	08/09/2016	51	12.03	10,708	10,000
Richmont Mines Inc.	Common Shares	Tardif, Méliissa	5	08/09/2016	10	13.812	8,708	-2,000
Richmont Mines Inc.	Common Shares	Tardif, Méliissa	5	08/09/2016	10	13.81	6,708	-2,000
Richmont Mines Inc.	Common Shares	Tardif, Méliissa	5	08/09/2016	10	13.874	4,708	-2,000
Richmont Mines Inc.	Common Shares	Tardif, Méliissa	5	08/09/2016	10	13.782	2,708	-2,000
Richmont Mines Inc.	Common Shares	Tardif, Méliissa	5	08/09/2016	10	13.802	708	-2,000
Richmont Mines Inc.	Options	Tardif, Méliissa	5	08/09/2016	51	12.03	22,000	-10,000
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	06/09/2016	10	14.5	2,041,357	590
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	08/09/2016	10	14.5	2,041,294	-63
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	08/09/2016	10	14.5	2,053,516	12,222
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	08/09/2016	10	14.5	2,051,446	-2,070
RIOCAN REAL ESTATE INVESTMENT TRUST	Restricted Equity Units (REUs)	Green, Christian Whitney	8	07/09/2016	00		353	
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Green, Christian Whitney	8	07/09/2016	00		568	
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Green, Christian Whitney	8	07/09/2016	00			
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Green, Christian Whitney	8	07/09/2016	00			
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Green, Christian Whitney	8	07/09/2016	00		1,350	
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Jageshar, Bibi	8	07/09/2016	00		757	
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Jageshar, Bibi	8	07/09/2016	00			
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Jageshar, Bibi	8	07/09/2016	00		4,436	
RIOCAN REAL ESTATE INVESTMENT TRUST	Options	Sturm, Naftali	8	07/09/2016	00		203,427	
RIOCAN REAL ESTATE INVESTMENT TRUST	Restricted Equity Units (REUs)	Sturm, Naftali	8	07/09/2016	00		4,882	

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Sturm, Naftali	8	07/09/2016	00		45	
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Sturm, Naftali	8	07/09/2016	00			
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Sturm, Naftali	8	07/09/2016	00		3,344	
Rockex Mining Corporation	Common Shares	Garofalo, Sam	4, 5	07/09/2016	16	0.074	370,477	123,535
Rockshield Capital Corp.	Common Shares	Doherty, David	4	07/09/2016	10	0.04	988,000	100,000
Rodinia Lithium Inc.	Common Shares	Aberdeen International Inc.	3	08/09/2015	00		17,362,811	
Rodinia Lithium Inc.	Common Shares	Aberdeen International Inc.	3	06/10/2015	10		16,562,811	-800,000
Rosita Mining Corporation	Common Shares	Cook, John Francis	4, 5	10/11/2014	00			
Rosita Mining Corporation	Common Shares	Cook, John Francis	4, 5	02/09/2016	10	0.0975	298,000	298,000
Roughrider Exploration Limited (formerly, Westham Resources Corp.)	Common Shares	Kivalliq Energy Corporation	3	30/08/2016	45	0.09	3,939,656	1,969,828
Royal Bank of Canada	Common Shares	Fukakusa, Janice Rose	5	06/09/2016	51	52.944	62,960	62,960
Royal Bank of Canada	Common Shares	Fukakusa, Janice Rose	5	06/09/2016	10	81.08	0	-62,960
Royal Bank of Canada	Options	Fukakusa, Janice Rose	5	06/09/2016	51	52.944	405,708	-62,960
Royal Bank of Canada	Common Shares	Royal Bank of Canada	1	26/08/2016	38	81.684	100,000	100,000
Royal Bank of Canada	Common Shares	Royal Bank of Canada	1	31/08/2016	38		0	-100,000
Royalty North Partners Ltd.	Common Shares	BUSS, CHRISTOPHER A.	4, 5	02/09/2016	16	0.15	931,861	300,000
Royalty North Partners Ltd.	Warrants	BUSS, CHRISTOPHER A.	4, 5	02/09/2016	16		727,841	150,000
Royalty North Partners Ltd.	Common Shares	HOLLANDS, H. CLARK	4	02/09/2016	16	0.15	5,109,376	3,333,334
Royalty North Partners Ltd.	Warrants	HOLLANDS, H. CLARK	4	02/09/2016	16		3,220,542	1,666,667
Royalty North Partners Ltd.	Common Shares	Krause, Steven Karl	5	02/09/2016	16	0.15	510,000	300,000
Royalty North Partners Ltd.	Warrants	Krause, Steven Karl	5	02/09/2016	16		350,000	150,000
Royalty North Partners Ltd.	Common Shares	SCHELLENBERG, DAVID ALFRED	4	01/02/2016	00			
Royalty North Partners Ltd.	Common Shares	SCHELLENBERG, DAVID ALFRED	4	02/09/2016	16	0.15	2,666,666	2,666,666
Royalty North Partners Ltd.	Warrants	SCHELLENBERG, DAVID ALFRED	4	01/02/2016	00			
Royalty North Partners Ltd.	Warrants	SCHELLENBERG, DAVID ALFRED	4	02/09/2016	16		1,333,333	1,333,333
Royalty North Partners Ltd.	Common Shares	Watson, Nolan Allan	4	02/09/2016	16	0.15	5,362,708	2,670,000
Royalty North Partners Ltd.	Warrants	Watson, Nolan Allan	4	02/09/2016	16		3,690,875	1,335,000
Rupert Resources Ltd.	Convertible Debentures	Hinchcliffe, Brian	4, 5	06/07/2004	00			
Rupert Resources Ltd.	Convertible Debentures	Hinchcliffe, Brian	4, 5	06/09/2016	16	0.95	\$500,000	\$500,000
RYU Apparel Inc.	Common Shares	Leone, Marcello	5	01/09/2016	16	0.125	1,163,596	784,000
RYU Apparel Inc.	Warrants	Leone, Marcello	5	20/02/2015	00			
RYU Apparel Inc.	Warrants	Leone, Marcello	5	01/09/2016	16	0.2	784,000	784,000
S Split Corp.	Common Shares Class A Shares	Premium Income Corporation	8	17/05/2007	00			
S Split Corp.	Common Shares Class A Shares	Premium Income Corporation	8	08/09/2016	10	6.3033	6,000	6,000
Sabina Gold & Silver Corp.	Common Shares	McLeod, Donald Bruce	4	02/09/2016	10	1.24	591,500	10,000
Sabina Gold & Silver Corp.	Common Shares	McLeod, Donald Bruce	4	09/09/2016	10	1.28	601,500	10,000
Salazar Resources Limited	Common Shares	DeMare, Nick	4, 5	01/09/2016	10	0.12	575,650	-15,000
SANDSTORM GOLD LTD.	Common Shares	Budreski, John Philip Adrian	4	08/09/2016	51	6.35	120,000	120,000
SANDSTORM GOLD LTD.	Options	Budreski, John Philip Adrian	4	08/09/2016	51		175,000	-120,000
SANDSTORM GOLD LTD.	Common Shares	KAZEMI-ESFAHANI, ERFAN	5	09/09/2016	51	2.93	37,068	35,000
SANDSTORM GOLD LTD.	Options	KAZEMI-ESFAHANI, ERFAN	5	09/09/2016	51		425,000	-35,000
SANDSTORM GOLD LTD.	Common Shares	Watson, Nolan Allan	4, 5	06/09/2016	51	6.35	1,086,973	160,000
SANDSTORM GOLD LTD.	Common Shares	Watson, Nolan Allan	4, 5	06/09/2016	10	8.14	926,973	-160,000
SANDSTORM GOLD LTD.	Options	Watson, Nolan Allan	4, 5	06/09/2016	51		845,000	-160,000
Sandvine Corporation	Common Shares	Sandvine Corporation	1	02/08/2016	38	3.2949	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	02/08/2016	38	3.2949	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	03/08/2016	38	3.2714	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	03/08/2016	38	3.2714	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	04/08/2016	38	3.2712	149,100	149,100

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Sandvine Corporation	Common Shares	Sandvine Corporation	1	04/08/2016	38	3.2712	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	05/08/2016	38	3.2884	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	05/08/2016	38	3.2884	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	08/08/2016	38	3.3181	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	08/08/2016	38	3.3181	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	09/08/2016	38	3.4394	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	09/08/2016	38	3.4394	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	10/08/2016	38	3.4599	126,400	126,400
Sandvine Corporation	Common Shares	Sandvine Corporation	1	10/08/2016	38	3.4599	0	-126,400
Sandvine Corporation	Common Shares	Sandvine Corporation	1	11/08/2016	38	3.4922	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	11/08/2016	38	3.4922	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	12/08/2016	38	3.5012	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	12/08/2016	38	3.5012	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	15/08/2016	38	3.5283	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	15/08/2016	38	3.5283	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	16/08/2016	38	3.549	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	16/08/2016	38	3.549	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	17/08/2016	38	3.5296	136,500	136,500
Sandvine Corporation	Common Shares	Sandvine Corporation	1	17/08/2016	38	3.5296	0	-136,500
Sandvine Corporation	Common Shares	Sandvine Corporation	1	18/08/2016	38	3.5083	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	18/08/2016	38	3.5083	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	19/08/2016	38	3.5018	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	19/08/2016	38	3.5018	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	22/08/2016	38	3.5036	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	22/08/2016	38	3.5036	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	23/08/2016	38	3.5211	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	23/08/2016	38	3.5211	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	24/08/2016	38	3.5625	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	24/08/2016	38	3.5625	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	25/08/2016	38	3.5506	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	25/08/2016	38	3.5506	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	26/08/2016	38	3.547	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	26/08/2016	38	3.547	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	29/08/2016	38	3.5371	112,500	112,500
Sandvine Corporation	Common Shares	Sandvine Corporation	1	29/08/2016	38	3.5371	0	-112,500
Sandvine Corporation	Common Shares	Sandvine Corporation	1	30/08/2016	38	3.5902	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	30/08/2016	38	3.5902	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	31/08/2016	38	3.6522	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	31/08/2016	38	3.6522	0	-149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	02/09/2016	38	3.2949	149,100	149,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	02/09/2016	38	3.2949	149,100	149,100
Sangoma Technologies Corporation	Common Shares	Galea, Nicholas	3	15/08/2016	00		4,051,500	
Sangoma Technologies Corporation	Common Shares	Galea, Nicholas	3	30/08/2016	10	0.25	4,501,500	450,000
Saputo Inc.	Common Shares	Saputo inc.	1	05/08/2016	38	38.901	750,000	750,000
Saputo Inc.	Common Shares	Saputo inc.	1	10/08/2016	38		0	-750,000
Saputo Inc.	Common Shares	Saputo inc.	1	12/08/2016	38	39.98	853,000	853,000
Saputo Inc.	Common Shares	Saputo inc.	1	16/08/2016	38		0	-853,000
Savannah Gold Corp.	Common Shares	VARSHNEY, HARI	5	08/09/2016	10	0.095	1,048,623	50,000
Savaria Corporation	Common Shares	Fraser, Alison	7	06/09/2016	51	1.5	127,485	20,000
Savaria Corporation	Common Shares	Fraser, Alison	7	06/09/2016	10	9.86	120,185	-7,300
Savaria Corporation	Common Shares	Fraser, Alison	7	08/09/2016	10	10.18	107,485	-12,700
Savaria Corporation	Options	Fraser, Alison	7	06/09/2016	51	1.5	195,000	20,000
Secure Energy Services Inc.	Common Shares Employee Share Ownership Plan	Steinke, Daniel	5	06/09/2016	30	8.79	17,662	78
Serinus Energy Inc.	Common Shares	Auld, Jeffrey	5	01/09/2016	00			
Seven Generations Energy Ltd.	Common Shares Class A	Rakhit, Kaushik	4	08/09/2016	51	2.5	305,000	-15,000

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Seven Generations Energy Ltd.	Common Shares Class A	Rakhit, Kaushik	4	08/09/2016	51	2.5	320,000	15,000
Seven Generations Energy Ltd.	Common Shares Class A	Rakhit, Kaushik	4	08/09/2016	10	31.25	305,000	-15,000
Seven Generations Energy Ltd.	Common Shares Class A	Targett, Susan Elizabeth Mabel	5	06/09/2016	54	5.25	31,476	7,643
Seven Generations Energy Ltd.	Common Shares Class A	Targett, Susan Elizabeth Mabel	5	06/09/2016	54	6	131,476	100,000
Seven Generations Energy Ltd.	Common Shares Class A	Targett, Susan Elizabeth Mabel	5	06/09/2016	54	6	158,144	26,668
Seven Generations Energy Ltd.	Common Shares Class A	Targett, Susan Elizabeth Mabel	5	06/09/2016	54	6.75	184,808	26,664
Seven Generations Energy Ltd.	Common Shares Class A	Targett, Susan Elizabeth Mabel	5	06/09/2016	10	30.903	23,833	-160,975
Seven Generations Energy Ltd.	Warrants Performance	Targett, Susan Elizabeth Mabel	5	06/09/2016	54	5.25	1,084,036	-7,643
Seven Generations Energy Ltd.	Warrants Performance	Targett, Susan Elizabeth Mabel	5	06/09/2016	54	6	984,036	-100,000
Seven Generations Energy Ltd.	Warrants Performance	Targett, Susan Elizabeth Mabel	5	06/09/2016	54	6	957,368	-26,668
Seven Generations Energy Ltd.	Warrants Performance	Targett, Susan Elizabeth Mabel	5	06/09/2016	54	6.75	930,704	-26,664
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Yuill, Willard	4	06/09/2016	90		800,000	800,000
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Yuill, Willard	4	06/09/2016	10	26.3	500,000	-300,000
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Yuill, Willard	4	08/09/2016	10	26.25	200,000	-300,000
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Yuill, Willard	4	06/09/2016	90		3,919,712	-800,000
ShawCor Ltd.	Options	Dunn, Ronald Joseph	5	06/09/2016	50	32.19	86,210	15,000
ShawCor Ltd.	Options	ewert, darrell	5	06/09/2016	50	32.19	24,400	15,000
ShawCor Ltd.	Options	Pierroz, Paul Anthony	5	06/09/2016	50	32.19	57,700	15,000
ShawCor Ltd.	Employee Share Unit	Tano, Gaston Alfonso	5	06/09/2016	00			
ShawCor Ltd.	Employee Share Unit	Tano, Gaston Alfonso	5	06/09/2016	56		9,355	9,355
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Finkelstein, Harley Michael	5	06/09/2016	30	43.11	10,103	-11,000
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Lutke, Tobias Albin	4, 5	06/09/2016	30	43.11	124,000	-9,000
Silver Bear Resources Inc.	Common Shares	Hill, Graham David	5	31/08/2016	10		0	-67,667
Silver Bear Resources Inc.	Common Shares	Hill, Graham David	5	31/08/2016	51		416,667	416,667
Silver Bear Resources Inc.	Options	Hill, Graham David	5	31/08/2016	51	0.175	5,666,666	-416,667
Sleep Country Canada Holdings Inc.	Common Shares	Schaefer, Stewart	5	06/09/2016	10	31.8	428,334	-1,000
Sleep Country Canada Holdings Inc.	Common Shares	Schaefer, Stewart	5	06/09/2016	10	31.9	425,734	-2,600
Sleep Country Canada Holdings Inc.	Common Shares	Schaefer, Stewart	5	06/09/2016	10	31.92	425,634	-100
Sleep Country Canada Holdings Inc.	Common Shares	Schaefer, Stewart	5	06/09/2016	10	31.95	425,334	-300
Sleep Country Canada Holdings Inc.	Common Shares	Schaefer, Stewart	5	06/09/2016	10	31.96	424,334	-1,000
SNC-Lavalin Group Inc.	Common Shares	Smati, Zine Edine	4	05/05/2016	00			
SNC-Lavalin Group Inc.	Common Shares	Smati, Zine Edine	4	07/09/2016	10	56.441	850	850
SNC-Lavalin Group Inc.	Common Shares	Smati, Zine Edine	4	07/09/2016	10	56.351	1,150	300
Solegear Bioplastic Technologies Inc. (formerly Rodeo Capital III Corp.)	Common Shares	Reid, Toby Simon Oliver	4, 5	29/08/2016	10	0.14	1,932,119	-20,500
Solegear Bioplastic Technologies Inc. (formerly Rodeo Capital III Corp.)	Common Shares	Reid, Toby Simon Oliver	4, 5	29/08/2016	10	0.135	1,852,619	-79,500

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Solegear Bioplastic Technologies Inc. (formerly Rodeo Capital III Corp.)	Common Shares	Reid, Toby Simon Oliver	4, 5	01/09/2016	10	0.145	1,852,119	-500
Solegear Bioplastic Technologies Inc. (formerly Rodeo Capital III Corp.)	Common Shares	Reid, Toby Simon Oliver	4, 5	01/09/2016	10	0.14	1,843,119	-9,000
Solegear Bioplastic Technologies Inc. (formerly Rodeo Capital III Corp.)	Common Shares	Reid, Toby Simon Oliver	4, 5	01/09/2016	10	0.135	1,752,619	-90,500
Solegear Bioplastic Technologies Inc. (formerly Rodeo Capital III Corp.)	Common Shares	Reid, Toby Simon Oliver	4, 5	02/09/2016	10	0.14	1,751,119	-1,500
Solegear Bioplastic Technologies Inc. (formerly Rodeo Capital III Corp.)	Common Shares	Reid, Toby Simon Oliver	4, 5	02/09/2016	10	0.135	1,702,619	-48,500
Solegear Bioplastic Technologies Inc. (formerly Rodeo Capital III Corp.)	Common Shares	Reid, Toby Simon Oliver	4, 5	06/09/2016	10	0.145		-10,000
Solegear Bioplastic Technologies Inc. (formerly Rodeo Capital III Corp.)	Common Shares	Reid, Toby Simon Oliver	4, 5	06/09/2016	10	0.145	1,692,619	-10,000
Solegear Bioplastic Technologies Inc. (formerly Rodeo Capital III Corp.)	Common Shares	Reid, Toby Simon Oliver	4, 5	07/09/2016	10	0.145	1,692,119	-500
Solegear Bioplastic Technologies Inc. (formerly Rodeo Capital III Corp.)	Common Shares	Reid, Toby Simon Oliver	4, 5	07/09/2016	10	0.14	1,687,619	-4,500
Solegear Bioplastic Technologies Inc. (formerly Rodeo Capital III Corp.)	Common Shares	Reid, Toby Simon Oliver	4, 5	07/09/2016	10	0.135	1,652,619	-35,000
Solium Capital Inc.	Options	Broadfoot, Michael Graeme	4	01/09/2016	50		69,000	19,000
Solium Capital Inc.	Restricted Share Units	Campbell, Scott Blair	5	18/11/2014	00			
Solium Capital Inc.	Restricted Share Units	Campbell, Scott Blair	5	01/09/2016	56		9,333	9,333
Solium Capital Inc.	Common Shares	Craig, Brian N	3, 4, 6, 5	29/08/2016	58 - Expiration of rights			10,000
Solium Capital Inc.	Common Shares	Craig, Brian N	3, 4, 6, 5	29/08/2016	57		3,000,513	10,000
Solium Capital Inc.	Restricted Share Units	Craig, Brian N	3, 4, 6, 5	01/09/2016	56		37,000	11,667
Solium Capital Inc.	Options	Lopez, Marcos	4, 5	01/09/2016	50		166,000	40,000
Solium Capital Inc.	Common Shares	Titchkosky, Lance Kenneth Shade	5	31/08/2016	57		252,902	3,575
Solium Capital Inc.	Common Shares	Titchkosky, Lance Kenneth Shade	5	31/08/2016	10	7.0009	251,188	-1,714
Solium Capital Inc.	Restricted Share Units	Titchkosky, Lance Kenneth Shade	5	29/08/2016	57	7.0009	12,334	-3,575
Solium Capital Inc.	Restricted Share Units	Titchkosky, Lance Kenneth Shade	5	01/09/2016	56		20,667	8,333
Solium Capital Inc.	Restricted Share Units	Webster, Janice	5	01/09/2016	56		25,417	6,667
Solium Capital Inc.	Options	Wulforst, James	5	01/09/2016	50		113,500	30,000
Source Exploration Corp.	Common Shares	Baker, David	4	06/09/2016	37		92,450	-832,050
Source Exploration Corp.	Common Shares	Baker, David	4	06/09/2016	37		213,500	-1,921,500
Source Exploration Corp.	Options	Baker, David	4	06/09/2016	37		100,000	-900,000
Source Exploration Corp.	Warrants	Baker, David	4	06/09/2016	37		110,000	-990,000
Source Exploration Corp.	Common Shares	Drake, Shaun Anthony	5	06/09/2016	37		7,500	-67,500
Source Exploration Corp.	Options	Drake, Shaun Anthony	5	06/09/2016	37		18,500	-166,500
Source Exploration Corp.	Options	Glenn, Gorden	4	06/09/2016	37		50,000	-450,000
Source Exploration Corp.	Options	GU, VIVIAN	5	06/09/2016	37		14,000	-126,000
Source Exploration Corp.	Common Shares	Robertson, Brian Ernest	4, 5	06/09/2016	37		84,762	-762,867
Source Exploration Corp.	Options	Robertson, Brian Ernest	4, 5	06/09/2016	37		136,000	-1,224,000
Source Exploration Corp.	Warrants	Robertson, Brian Ernest	4, 5	06/09/2016	37		10,000	-90,000
Source Exploration Corp.	Common Shares	Terris, J. Earl	4	06/09/2016	37		90,000	-810,000
Source Exploration Corp.	Common Shares	Terris, J. Earl	4	06/09/2016	37		10,000	-90,000
Source Exploration Corp.	Options	Terris, J. Earl	4	06/09/2016	37		10,000	-90,000
Source Exploration Corp.	Options	Terris, J. Earl	4	06/09/2016	37		15,000	-135,000
SouthGobi Resources Ltd.	Common Shares	Turquoise Hill Resources Ltd.	3	06/09/2016	10	0.2189	29,154,818	-375,000



**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
SouthGobi Resources Ltd.	Common Shares	Turquoise Hill Resources Ltd.	3	07/09/2016	10	0.234	28,779,818	-375,000
SouthGobi Resources Ltd.	Common Shares	Turquoise Hill Resources Ltd.	3	08/09/2016	10	0.2313	28,540,718	-239,100
SouthGobi Resources Ltd.	Common Shares	Turquoise Hill Resources Ltd.	3	09/09/2016	10	0.239	28,165,718	-375,000
Specialty Foods Group Income Fund	Trust Units	Abramson, Randall	3	08/09/2016	97		1,729,670	-4,800
Spin Master Corp.	Subordinate Voting Shares	Beardall, Chris	5	06/09/2016	10	30.416	676,190	-5,686
Spin Master Corp.	Subordinate Voting Shares	Beardall, Chris	5	07/09/2016	10	29.954	674,259	-1,931
Spin Master Corp.	Subordinate Voting Shares	Beardall, Chris	5	08/09/2016	10	29.404	631,876	-42,383
Spin Master Corp.	Subordinate Voting Shares	Beder, Adam	5	06/09/2016	10	30.416	846,664	-5,681
Spin Master Corp.	Subordinate Voting Shares	Beder, Adam	5	07/09/2016	10	29.954	844,734	-1,930
Spin Master Corp.	Subordinate Voting Shares	Beder, Adam	5	08/09/2016	10	29.404	802,345	-42,389
Spin Master Corp.	Subordinate Voting Shares	Gadbois, Benoit	4, 5	08/09/2016	10	29.401	1,285,676	-128,545
Spin Master Corp.	Subordinate Voting Shares	Hess, William	5	06/09/2016	10	30.416	244,347	-5,673
Spin Master Corp.	Subordinate Voting Shares	Hess, William	5	07/09/2016	10	29.954	242,419	-1,928
Spin Master Corp.	Subordinate Voting Shares	Hess, William	5	08/09/2016	10	29.25	227,291	-15,128
Spin Master Corp.	Subordinate Voting Shares	Segal, Mark	5	06/09/2016	10	30.43	578,036	-5,821
Spin Master Corp.	Subordinate Voting Shares	Segal, Mark	5	07/09/2016	10	29.954	576,105	-1,931
Spin Master Corp.	Subordinate Voting Shares	Segal, Mark	5	08/09/2016	10	29.402	483,857	-92,248
Spin Master Corp.	Subordinate Voting Shares	Yuen, Chi Wah	7	06/09/2016	10	30.43	271,198	-5,814
Spin Master Corp.	Subordinate Voting Shares	Yuen, Chi Wah	7	07/09/2016	10	30.076	270,448	-750
Spin Master Corp.	Subordinate Voting Shares	Yuen, Chi Wah	7	08/09/2016	10	29.406	247,012	-23,436
SponsorsOne Inc.	Common Shares	Bartholomew, Gary	4	31/08/2016	10	0.14	3,349,279	-35,000
Sprott Inc.	Rights Deferred Share Units	Faber, Marc	4	06/09/2016	56	2.46	88,559	1,067
Sprott Inc.	Rights Deferred Share Units	Lee, Jack Chuck	4	06/09/2016	56	2.46	169,808	2,046
Sprott Inc.	Rights Deferred Share Units	Ranson, Sharon Margaret	4	06/09/2016	56	2.46	169,220	2,039
Sprott Inc.	Rights Deferred Share Units	Roddy, James Thomas	4	06/09/2016	56	2.46	88,559	1,067
Sprott Inc.	Rights Deferred Share Units	ZIGROSSI, ROSEMARY	4	06/09/2016	56	2.46	118,101	1,423
St-Georges Platinum & Base Metals Ltd.	Common Shares	Tally, Neha Edah	5	01/09/2016	10	0.03	930,894	-100,000
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	07/09/2016	10	43.92	3,432	-800
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	07/09/2016	10	43.93	3,232	-200
Stella-Jones Inc.	Common Shares	Vachon, Eric	7	07/09/2016	10	43.96	2,592	-640
Sterling Resources Ltd.	Common Shares	Barker, Eleanor Jean	4	02/09/2016	10	0.61	7,000	1,000
Sterling Resources Ltd.	Common Shares	Barker, Eleanor Jean	4	05/09/2016	10	0.69	9,500	2,500
Sterling Resources Ltd.	Common Shares	Barker, Eleanor Jean	4	05/09/2016	10	0.7	20,000	10,500
Sterling Resources Ltd.	Options expiry Aug 24, 2020	Lessard, Tracy Leigh	5	01/09/2016	00			
Sterling Resources Ltd.	Options expiry Aug 24, 2020	Lessard, Tracy Leigh	5	01/09/2016	00			
Sterling Resources Ltd.	Options expiry Aug 24, 2020	Lessard, Tracy Leigh	5	01/09/2016	00		2,900	

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Sterling Resources Ltd.	Options expiry May 29, 2019	Lessard, Tracy Leigh	5	01/09/2016	00			
Sterling Resources Ltd.	Options expiry May 29, 2019	Lessard, Tracy Leigh	5	01/09/2016	00			
Sterling Resources Ltd.	Options expiry May 29, 2019	Lessard, Tracy Leigh	5	01/09/2016	00		2,500	
Stina Resources Ltd.	Common Shares	Wall, James	5	06/09/2016	10	0.1	246,500	-38,000
Stina Resources Ltd.	Common Shares	Wall, James	5	07/09/2016	10	0.1	221,500	-25,000
Stompy Bot Corporation	Options	Garland, David John	4	16/10/2015	50	0.15		250,000
Stompy Bot Corporation	Options	Garland, David John	4	16/10/2015	50	0.15		250,000
Stompy Bot Corporation	Options	Gill, Jon David	4, 5	13/10/2015	50			250,000
Stompy Bot Corporation	Options	Gill, Jon David	4, 5	02/09/2016	50	0.05	3,000,000	3,000,000
Stompy Bot Corporation	Options	Irwin, Christopher	4	13/10/2015	50	0.15		250,000
Stompy Bot Corporation	Options	Irwin, Christopher	4	16/10/2015	50	0.15		250,000
Stompy Bot Corporation	Options	Irwin, Christopher	4	02/09/2016	50		250,000	250,000
Stompy Bot Corporation	Common Shares	MONACO, JASON	3	08/09/2016	10	0.05	5,354,772	-600,000
Strad Energy Services Ltd.	Common Shares	Lyle Wood Contracting Ltd.	5	31/08/2016	00			
Strad Energy Services Ltd.	Common Shares	Lyle Wood Contracting Ltd.	5	31/08/2016	00			
Strad Energy Services Ltd.	Common Shares	Lyle Wood Contracting Ltd.	5	31/08/2016	00		9,366,996	
Strategic Oil & Gas Ltd.	Common Shares	Claugus, Thomas Eugene	4	06/09/2016	10	0.12	13,257,200	-1,700
Strategic Oil & Gas Ltd.	Common Shares	Claugus, Thomas Eugene	4	08/09/2016	10	0.12	13,250,900	-6,300
Strategic Oil & Gas Ltd.	Common Shares	Claugus, Thomas Eugene	4	06/09/2016	10	0.12	64,499,700	-8,300
Strategic Oil & Gas Ltd.	Common Shares	Claugus, Thomas Eugene	4	08/09/2016	10	0.12	64,468,900	-30,800
Strategic Oil & Gas Ltd.	Common Shares	Claugus, Thomas Eugene	4	06/09/2016	10	0.12	143,604,800	-18,600
Strategic Oil & Gas Ltd.	Common Shares	Claugus, Thomas Eugene	4	08/09/2016	10	0.12	143,536,300	-68,500
Strategic Oil & Gas Ltd.	Common Shares	Claugus, Thomas Eugene	4	06/09/2016	10	0.12	66,875,100	-8,600
Strategic Oil & Gas Ltd.	Common Shares	Claugus, Thomas Eugene	4	08/09/2016	10	0.12	66,843,200	-31,900
Strategic Oil & Gas Ltd.	Common Shares	Claugus, Thomas Eugene	4	06/09/2016	10	0.12	21,594,300	-2,800
Strategic Oil & Gas Ltd.	Common Shares	Claugus, Thomas Eugene	4	08/09/2016	10	0.12	21,584,000	-10,300
Strategic Oil & Gas Ltd.	Common Shares	Claugus, Thomas Eugene	4	06/09/2016	10	0.12	3,516,500	-500
Strategic Oil & Gas Ltd.	Common Shares	Claugus, Thomas Eugene	4	08/09/2016	10	0.12	3,514,800	-1,700
Stratton Resources Inc.	Common Shares	Rees, Peter	5	30/08/2016	11	0.35	270,000	200,000
Stratton Resources Inc.	Common Shares	Rees, Peter	5	30/08/2016	11	0.35	482,927	212,927
Sunvest Minerals Corp.	Common Shares	Zelko, Gordon Edward	4, 5	07/09/2016	10	0.215	1,001,000	1,000
Sunvest Minerals Corp.	Common Shares	Zelko, Gordon Edward	4, 5	09/09/2016	10	0.21	1,012,000	11,000
Supremex Inc.	Common Shares	Supremex Inc	1	29/08/2016	38	4.9567	560	560
Supremex Inc.	Common Shares	Supremex Inc	1	29/08/2016	38		0	-560
Surge Energy Inc.	Common Shares	Brown, Daniel Curt	5	15/08/2016	30	2.5	356,268	463
Surge Energy Inc.	Common Shares	Brown, Daniel Curt	5	01/09/2016	30	2.3	356,772	504
Surge Energy Inc.	Common Shares	Bye, Murray	5	15/08/2016	30	2.5	208,649	229
Surge Energy Inc.	Common Shares	Bye, Murray	5	01/09/2016	30	2.3	208,875	226
Surge Energy Inc.	Common Shares	Bye, Murray	5	15/08/2016	30	2.5	19,632	250
Surge Energy Inc.	Common Shares	Bye, Murray	5	01/09/2016	30	2.3	19,858	226
Surge Energy Inc.	Common Shares	Colborne, Paul	4	15/08/2016	30	2.5	3,273,958	786
Surge Energy Inc.	Common Shares	Colborne, Paul	4	01/09/2016	30	2.2996	3,339,611	653
Surge Energy Inc.	Common Shares	Colborne, Paul	4	08/09/2016	10	2.575	316,806	10,000
Surge Energy Inc.	Common Shares	de Leeuw, Gerard A.	5	15/08/2016	30	2.5	165,595	573
Surge Energy Inc.	Common Shares	de Leeuw, Gerard A.	5	01/09/2016	30	2.3	166,084	489
Surge Energy Inc.	Common Shares	Elekes, Margaret Ann	5	15/08/2016	30	2.5	211,339	407
Surge Energy Inc.	Common Shares	Elekes, Margaret Ann	5	01/09/2016	30	2.3	211,774	435
Surge Energy Inc.	Common Shares	Ferguson, Gordon Paul	5	15/08/2016	30	2.5	4,628	232
Surge Energy Inc.	Common Shares	Ferguson, Gordon Paul	5	01/09/2016	30	2.3	4,868	240
Surge Energy Inc.	Common Shares	Ferguson, Gordon Paul	5	15/08/2016	30	2.5	5,351	233
Surge Energy Inc.	Common Shares	Ferguson, Gordon Paul	5	01/09/2016	30	2.3	5,591	240
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	02/08/2016	38	8.41	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	02/08/2016	38	8.41	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	03/08/2016	38	8.38	3,000	3,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	03/08/2016	38	8.38	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	04/08/2016	38	8.39	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	04/08/2016	38	8.39	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	05/08/2016	38	8.38	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	05/08/2016	38	8.38	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	08/08/2016	38	8.37	1,100	1,100
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	08/08/2016	38	8.37	0	-1,100
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	12/08/2016	38	8.45	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	12/08/2016	38	8.45	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	16/08/2016	38	8.49	500	500
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	16/08/2016	38	8.49	0	-500
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	17/08/2016	38	8.53	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	17/08/2016	38	8.53	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	19/08/2016	38	8.55	1,500	1,500
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	19/08/2016	38	8.55	0	-1,500
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	19/08/2016	38	8.54	1,500	1,500
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	19/08/2016	38	8.54	0	-1,500
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	22/08/2016	38	8.55	1,400	1,400
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	22/08/2016	38	8.55	0	-1,400
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	23/08/2016	38	8.55	1,500	1,500
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	23/08/2016	38	8.55	0	-1,500
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	25/08/2016	38	8.58	800	800
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	25/08/2016	38	8.58	0	-800
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	29/08/2016	38	8.61	1,900	1,900
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	29/08/2016	38	8.61	0	-1,900
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	30/08/2016	38	8.62	2,500	2,500
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	30/08/2016	38	8.62	0	-2,500
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	31/08/2016	38	8.6	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	31/08/2016	38	8.6	0	-3,000
Tantalex Resources Corporation (formerly, Lynnwood Capital Inc.)	Options	Appleby, Kyle Michael	5	23/03/2015	00			
Tantalex Resources Corporation (formerly, Lynnwood Capital Inc.)	Options	Appleby, Kyle Michael	5	07/09/2016	50		750,000	750,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	02/08/2016	38	10.6	3,000	3,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	02/08/2016	38	10.6	0	-3,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	03/08/2016	38	10.46	500	500
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	03/08/2016	38	10.46	0	-500
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	04/08/2016	38	10.46	700	700
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	04/08/2016	38	10.46	0	-700
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	10/08/2016	38	10.72	1,000	1,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	10/08/2016	38	10.72	0	-1,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	18/08/2016	38	10.72	3,000	3,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	18/08/2016	38	10.72	0	-3,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	29/08/2016	38	10.45	1,200	1,200
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	29/08/2016	38	10.45	0	-1,200
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	31/08/2016	38	10.46	3,000	3,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	31/08/2016	38	10.46	0	-3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	02/08/2016	38	8.51	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	02/08/2016	38	8.51	0	-3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	03/08/2016	38	8.51	500	500
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	03/08/2016	38	8.51	0	-500
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	08/08/2016	38	8.66	2,700	2,700
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	08/08/2016	38	8.66	0	-2,700
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	08/08/2016	38	8.65	300	300
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	08/08/2016	38	8.65	0	-300
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	10/08/2016	38	8.61	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	10/08/2016	38	8.61	0	-3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	12/08/2016	38	8.63	100	100
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	12/08/2016	38	8.63	0	-100
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	16/08/2016	38	8.66	900	900
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	16/08/2016	38	8.66	0	-900
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	17/08/2016	38	8.66	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	17/08/2016	38	8.66	0	-3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	19/08/2016	38	8.66	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	19/08/2016	38	8.66	0	-3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	22/08/2016	38	8.63	500	500
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	22/08/2016	38	8.63	0	-500
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	24/08/2016	38	8.64	800	800
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	24/08/2016	38	8.64	0	-800
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	25/08/2016	38	8.64	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	25/08/2016	38	8.64	0	-3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	29/08/2016	38	8.59	100	100
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	29/08/2016	38	8.59	0	-100
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	30/08/2016	38	8.64	1,100	1,100
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	30/08/2016	38	8.64	0	-1,100
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	31/08/2016	38	8.64	400	400
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	31/08/2016	38	8.64	0	-400
TELUS Corporation	Common Shares	Lewis, Stephen Flynn	5	01/09/2016	51	16.31	1,738	1,738
TELUS Corporation	Common Shares	Lewis, Stephen Flynn	5	01/09/2016	10	43.28		1,738
TELUS Corporation	Common Shares	Lewis, Stephen Flynn	5	01/09/2016	10	43.28	0	-1,738
TELUS Corporation	Common Shares	Lewis, Stephen Flynn	5	01/09/2016	30		11,108	232
TELUS Corporation	Common Shares	Lewis, Stephen Flynn	5	01/09/2016	30		11,375	267
TELUS Corporation	Options	Lewis, Stephen Flynn	5	01/09/2016	51	16.31	9,408	-2,800

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
TELUS Corporation	Common Shares	Mowat, David Lawrence	4	06/09/2016	10	43.48	1,185	235
Tembo Gold Corp.	Common Shares	Benstead, Simon Charles	3	06/09/2016	10	0.05	21,365,000	65,000
Tembo Gold Corp.	Common Shares	Benstead, Simon Charles	3	08/09/2016	10	0.05	21,374,000	9,000
Ten Peaks Coffee Company Inc.	Common Shares	Veit, Roland	4	30/08/2016	10	5.405	47,000	2,000
Ten Peaks Coffee Company Inc.	Common Shares	Veit, Roland	4	31/08/2016	10	5.405		2,000
Ten Peaks Coffee Company Inc.	Common Shares	Veit, Roland	4	01/09/2016	10	5.409	50,000	3,000
TerraVest Capital Inc.	Common Shares	MacBean, Micheal	6	31/08/2016	00		241,754	
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Brett, Allan	5	31/08/2016	97		2,293	-417
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Diederik, Raimond	5	31/08/2016	97		8,656	-1,765
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Gardner, Edward James	5	31/08/2016	97		10,204	-1,994
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Jones, Christopher	5	31/08/2016	97		11,214	-2,202
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Pagan, John Scott	7, 5	31/08/2016	97		3,364	-1,330
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Parker, Robert	5	31/08/2016	97		6,187	-655
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Ryan, Edward	5	31/08/2016	97		3,597	-1,409
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Verhoeve, Michael	7, 5	31/08/2016	97		6,755	-844
The Descartes Systems Group Inc.	Restricted Stock Unit - Cash-settled	Wood, Kenneth Edward	5	31/08/2016	97		9,559	-1,688
The Western Investment Company of Canada Limited	Common Shares	Tannas, Scott	4, 5	01/02/2016	00			
The Western Investment Company of Canada Limited	Common Shares	Tannas, Scott	4, 5	08/09/2016	10	0.5	49,000	49,000
Thomson Reuters Corporation	Common Shares	Beaumont, Stewart	7	06/09/2016	51	37.15	6,690	6,690
Thomson Reuters Corporation	Common Shares	Beaumont, Stewart	7	06/09/2016	51	35.22	11,120	4,430
Thomson Reuters Corporation	Common Shares	Beaumont, Stewart	7	06/09/2016	51	38.98	15,540	4,420
Thomson Reuters Corporation	Common Shares	Beaumont, Stewart	7	06/09/2016	51	27.57	17,000	1,460
Thomson Reuters Corporation	Common Shares	Beaumont, Stewart	7	06/09/2016	10	42.408	0	-17,000
Thomson Reuters Corporation	Options	Beaumont, Stewart	7	06/09/2016	51	37.15		6,690
Thomson Reuters Corporation	Options	Beaumont, Stewart	7	06/09/2016	51	37.15		6,690
Thomson Reuters Corporation	Options	Beaumont, Stewart	7	06/09/2016	51	37.15	10,310	-6,690
Thomson Reuters Corporation	Options	Beaumont, Stewart	7	06/09/2016	51	35.22		-4,430
Thomson Reuters Corporation	Options	Beaumont, Stewart	7	06/09/2016	51	35.22		-4,430
Thomson Reuters Corporation	Options	Beaumont, Stewart	7	06/09/2016	51	38.98	5,890	-4,420
Thomson Reuters Corporation	Options	Beaumont, Stewart	7	06/09/2016	51	27.57	4,430	-1,460
Thomson Reuters Corporation	Options	Beaumont, Stewart	7	06/09/2016	51	35.22	0	-4,430
Thomson Reuters Corporation	Common Shares	Clamp-Held, Ruth	7	02/09/2016	10	42.27	0	-2,016
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	01/08/2016	38	41.761	698,400	198,400
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	02/08/2016	38	54.324	810,300	111,900
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	02/08/2016	38	41.541	912,900	102,600

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	03/08/2016	38	54.253	1,028,600	115,700
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	03/08/2016	38	41.457	1,127,400	98,800
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	04/08/2016	38	53.995	742,500	115,100
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	04/08/2016	38	41.445	841,900	99,400
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	05/08/2016	38	54.559	955,400	113,500
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	05/08/2016	38	41.387	1,056,400	101,000
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	05/08/2016	38		643,500	-412,900
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	08/08/2016	38	54.192	758,700	115,200
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	08/08/2016	38	41.203	858,000	99,300
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	09/08/2016	38	54.784	973,200	115,200
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	09/08/2016	38	41.731	1,072,500	99,300
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	10/08/2016	38	54.532	1,187,500	115,000
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	10/08/2016	38	41.79	1,287,000	99,500
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	11/08/2016	38	54.78	1,402,900	115,900
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	11/08/2016	38	42.152		986,000
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	11/08/2016	38	42.152		986,000
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	11/08/2016	38	42.152	1,501,500	98,600
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	12/08/2016	38	54.419	1,610,600	109,100
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	12/08/2016	38	42.004	1,705,000	94,400
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	12/08/2016	38		632,500	-1,072,500
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	15/08/2016	38	54.276	747,700	115,200
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	15/08/2016	38	42.012	847,000	99,300
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	16/08/2016	38	53.216	963,300	116,300
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	16/08/2016	38	41.395	1,061,500	98,200
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	17/08/2016	38	53.318	1,179,500	118,000
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	17/08/2016	38	41.424	1,276,000	96,500
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	18/08/2016	38	53.451	1,394,100	118,100
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	18/08/2016	38	41.816	1,490,500	96,400
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	18/08/2016	38		643,500	-847,000
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	19/08/2016	38	53.48	761,300	117,800
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	19/08/2016	38	41.556	858,000	96,700
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	19/08/2016	38		643,500	-214,500
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	23/08/2016	38	53.88	765,100	121,600

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	23/08/2016	38	41.75	858,000	92,900
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	24/08/2016	38	53.772	978,900	120,900
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	24/08/2016	38	41.58	1,072,500	93,600
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	25/08/2016	38	53.84	1,193,900	121,400
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	25/08/2016	38	41.648	1,287,000	93,100
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	26/08/2016	38	53.969	1,412,000	125,000
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	26/08/2016	38	41.705	1,501,500	89,500
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	26/08/2016	38		643,500	-858,000
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	29/08/2016	38	54.204	767,500	124,000
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	29/08/2016	38	41.632	858,000	90,500
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	30/08/2016	38	54.343	937,800	79,800
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	30/08/2016	38	41.548	995,857	58,057
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	31/08/2016	38	54.401	1,083,857	88,000
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	31/08/2016	38	41.479	1,158,371	74,514
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	31/08/2016	38		514,871	-643,500
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	02/09/2016	38		162,514	-352,357
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	09/09/2016	38		0	-162,514
Tidewater Midstream and Infrastructure Ltd.	Common Shares	Wright, David Robert	4	09/09/2016	10	1.4	25,000	15,000
Titan Medical Inc.	Common Shares	Bernholtz, Martin	5	02/09/2016	16	1	1,471,500	191,000
Titan Medical Inc.	Options	Bernholtz, Martin	5	02/09/2016	50	1	488,144	167,094
TMX Group Limited	Rights Restricted Share Units	Thadaney, Nicholas	5	01/09/2016	57	57.6	14,213	-26,100
Torex Gold Resources Inc.	Common Shares	Adams, Andrew Bell	4	07/09/2016	10	35.01	30,000	-2,109
Torex Gold Resources Inc.	Common Shares	Adams, Andrew Bell	4	08/09/2016	10	34.25	25,000	-5,000
Torex Gold Resources Inc.	Common Shares	Adams, Andrew Bell	4	09/09/2016	10	31.5	24,900	-100
Torex Gold Resources Inc.	Common Shares	Davis, Franklin Lorie	4	06/09/2016	10	34.75	13,000	-7,000
Torex Gold Resources Inc.	Common Shares	Fennell, David	4	06/09/2016	51	15.4	57,897	50,000
Torex Gold Resources Inc.	Common Shares	Fennell, David	4	06/09/2016	10	35.064	32,897	-25,000
Torex Gold Resources Inc.	Common Shares	Fennell, David	4	07/09/2016	10	34.573	7,897	-25,000
Torex Gold Resources Inc.	Options	Fennell, David	4	06/09/2016	51	15.4	109,360	-50,000
Torex Gold Resources Inc.	Common Shares	Stanford, Frederick McLae	4, 5	31/08/2016	57		146,385	446
Torex Gold Resources Inc.	Rights Restricted Share Units ("RSU's")	Stanford, Frederick McLae	4, 5	31/08/2016	57		38,880	-446
Torex Gold Resources Inc.	Common Shares	Stephen, Anne Elizabeth	5	06/09/2016	10	34.88	773	-300
Torex Gold Resources Inc.	Common Shares	Stephen, Anne Elizabeth	5	06/09/2016	10	34.89	500	-273
Torex Gold Resources Inc.	Common Shares	Swinoga, Jeffrey Anthony	5	06/09/2016	51	11.4	14,312	10,000
Torex Gold Resources Inc.	Common Shares	Swinoga, Jeffrey Anthony	5	06/09/2016	10	34.59	4,312	-10,000
Torex Gold Resources Inc.	Options	Swinoga, Jeffrey Anthony	5	06/09/2016	51		38,920	-10,000
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	08/09/2016	10	12.815	10,000	10,000
Total Energy Services Inc.	Common Shares	Total Energy Services Inc	1	09/09/2016	10	12.747	20,000	10,000
TransAlta Corporation	Common Shares	Jenkins, P. Thomas	4	01/09/2014	00			
TransAlta Corporation	Common Shares	Jenkins, P. Thomas	4	02/09/2016	97		237,000	237,000
TransAlta Corporation	Common Shares	Jenkins, P. Thomas	4	02/09/2016	97		0	-237,000
TransAlta Renewables Inc.	Common Shares	Jenkins, P. Thomas	6	01/09/2014	00			
TransAlta Renewables Inc.	Common Shares	Jenkins, P. Thomas	6	02/09/2016	97		120,000	120,000

**Insider Reporting**

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TransAlta Renewables Inc.	Common Shares	Jenkins, P. Thomas	6	02/09/2016	97		0	-120,000
TransCanada Corporation	Common Shares	Cook, Ronald L.	5	02/09/2016	51	35.08	14,500	4,000
TransCanada Corporation	Common Shares	Cook, Ronald L.	5	02/09/2016	10	60.75	14,000	-500
TransCanada Corporation	Common Shares	Cook, Ronald L.	5	02/09/2016	10	60.74	12,300	-1,700
TransCanada Corporation	Common Shares	Cook, Ronald L.	5	02/09/2016	10	60.73	11,800	-500
TransCanada Corporation	Common Shares	Cook, Ronald L.	5	02/09/2016	10	60.72	10,900	-900
TransCanada Corporation	Common Shares	Cook, Ronald L.	5	02/09/2016	10	60.71	10,500	-400
TransCanada Corporation	Options Granted Feb. 26, 2010 @ \$35.08 CDN Expiry Feb. 26, 2017	Cook, Ronald L.	5	02/09/2016	51		5,125	-4,000
TransCanada Corporation	Common Shares	Johnston, Christine R.	7	12/08/2016	10	62.15		-430
TransCanada Corporation	Common Shares	Johnston, Christine R.	7	06/09/2016	10	61.15	0	-430
TransCanada Corporation	Common Shares	Johnston, Christine R.	7	12/08/2016	10	62.15	1,225	-430
TransCanada Corporation	Common Shares	Moneta, David B.	7	08/09/2016	51	35.08	5,025	5,000
TransCanada Corporation	Common Shares	Moneta, David B.	7	08/09/2016	10	60.25	2,525	-2,500
TransCanada Corporation	Common Shares	Moneta, David B.	7	08/09/2016	10	60.75	25	-2,500
TransCanada Corporation	Options Granted Feb. 26, 2010 @ \$35.08 CDN Expiry Feb. 26, 2017	Moneta, David B.	7	08/09/2016	51		0	-5,000
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	02/09/2016	51	41.95	8,300	8,300
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	02/09/2016	10	60.75	7,800	-500
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	02/09/2016	10	60.74	7,700	-100
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	02/09/2016	10	60.73	7,100	-600
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	02/09/2016	10	60.72	6,200	-900
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	02/09/2016	10	60.71	5,300	-900
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	02/09/2016	10	60.7	4,400	-900
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	02/09/2016	10	60.69	2,000	-2,400
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	02/09/2016	10	60.68	800	-1,200
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	02/09/2016	10	60.67	0	-800
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	08/09/2016	51	41.95	373	373
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	08/09/2016	10	60.9	0	-373
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	08/09/2016	51	47.09	11,614	11,614
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	08/09/2016	10	60.9	0	-11,614
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	08/09/2016	51	49.03	9,000	9,000
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	08/09/2016	10	60.9	6,087	-2,913
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	08/09/2016	10	60.86	2,800	-3,287
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	08/09/2016	10	60.87	2,600	-200
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	08/09/2016	10	60.79	200	-2,400
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	08/09/2016	10	60.8	0	-200
TransCanada Corporation	Options Granted Feb. 17, 2012 @ \$41.95 CDN	SOINI, JOHN F.	7	02/09/2016	51		373	-8,300
TransCanada Corporation	Options Granted Feb. 17, 2012 @ \$41.95 CDN	SOINI, JOHN F.	7	08/09/2016	51		0	-373
TransCanada Corporation	Options Granted Feb. 25, 2014 @ \$49.03 CDN Expiry Feb. 25, 2021	SOINI, JOHN F.	7	08/09/2016	51		6,147	-9,000
TransCanada Corporation	Options Granted February 15, 2013 @ \$47.09 CDN	SOINI, JOHN F.	7	08/09/2016	51			-11,614
TransCanada Corporation	Options Granted February 15, 2013 @ \$47.09 CDN	SOINI, JOHN F.	7	08/09/2016	51			-11,614
TransCanada Corporation	Options Granted February 15, 2013 @ \$47.09 CDN	SOINI, JOHN F.	7	08/09/2016	51		0	-11,614
Transeastern Power Trust	Debentures	R.G. RENOVATIO GROUP Limited	3	24/07/2015	00			
Transeastern Power Trust	Debentures	R.G. RENOVATIO GROUP Limited	3	02/09/2016	16	2E+06	\$2,071,000	\$2,071,000
Transeastern Power Trust	Trust Units	R.G. RENOVATIO GROUP Limited	3	02/09/2016	16	1	22,159,058	14,790,136
Treasury Metals Inc.	Common Shares	Bache, Douglas	4	07/09/2016	51	0.55	408,828	100,000
Treasury Metals Inc.	Options	Bache, Douglas	4	07/09/2016	51	0.55	300,000	-100,000
Treasury Metals Inc.	Common Shares	Bush, Norman	5	07/09/2016	51	0.55	164,000	150,000
Treasury Metals Inc.	Options	Bush, Norman	5	07/09/2016	51	0.55	325,000	-150,000



**Insider Reporting**

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Treasury Metals Inc.	Common Shares	Fisher, William J.	4	07/09/2016	51	0.55	324,203	100,000
Treasury Metals Inc.	Options	Fisher, William J.	4	07/09/2016	51	0.55	300,000	-100,000
Treasury Metals Inc.	Options	Walter, Martin	4, 5	07/09/2016	52	0.55		-400,000
Treasury Metals Inc.	Options	Walter, Martin	4, 5	07/09/2016	52	0.55		-400,000
Treasury Metals Inc.	Common Shares	Wood, Flora Emily MacKay	4	07/09/2016	51	0.55	322,413	100,000
Treasury Metals Inc.	Options	Wood, Flora Emily MacKay	4	07/09/2016	51	0.55	200,000	-100,000
Trez Capital Senior Mortgage Investment Corporation	Class A Shares	Trez Capital Senior Mortgage Investment Corporation	1	18/08/2016	00			
Trez Capital Senior Mortgage Investment Corporation	Class A Shares	Trez Capital Senior Mortgage Investment Corporation	1	18/08/2016	38	8.6	1,883	1,883
Trez Capital Senior Mortgage Investment Corporation	Class A Shares	Trez Capital Senior Mortgage Investment Corporation	1	19/08/2016	38	8.58	3,766	1,883
Trez Capital Senior Mortgage Investment Corporation	Class A Shares	Trez Capital Senior Mortgage Investment Corporation	1	22/08/2016	38	8.55	5,649	1,883
Trez Capital Senior Mortgage Investment Corporation	Class A Shares	Trez Capital Senior Mortgage Investment Corporation	1	23/08/2016	38	8.54	7,532	1,883
Trez Capital Senior Mortgage Investment Corporation	Class A Shares	Trez Capital Senior Mortgage Investment Corporation	1	24/08/2016	38	8.5	9,415	1,883
Trez Capital Senior Mortgage Investment Corporation	Class A Shares	Trez Capital Senior Mortgage Investment Corporation	1	26/08/2016	38	8.47		1,883
Trez Capital Senior Mortgage Investment Corporation	Class A Shares	Trez Capital Senior Mortgage Investment Corporation	1	26/08/2016	38	8.47	11,215	1,800
Trez Capital Senior Mortgage Investment Corporation	Class A Shares	Trez Capital Senior Mortgage Investment Corporation	1	29/08/2016	38	8.48	13,098	1,883
Trez Capital Senior Mortgage Investment Corporation	Class A Shares	Trez Capital Senior Mortgage Investment Corporation	1	30/08/2016	38	8.49	13,881	783
Trez Capital Senior Mortgage Investment Corporation	Class A Shares	Trez Capital Senior Mortgage Investment Corporation	1	30/08/2016	38	8.49	14,981	1,100
Trez Capital Senior Mortgage Investment Corporation	Class A Shares	Trez Capital Senior Mortgage Investment Corporation	1	30/08/2016	38		7,449	-7,532
Trez Capital Senior Mortgage Investment Corporation	Class A Shares	Trez Capital Senior Mortgage Investment Corporation	1	31/08/2016	38	8.39	9,332	1,883
True Leaf Medicine International Ltd.	Common Shares	Bottomley, Kevin	4	02/09/2016	51	0.1	613,700	250,000
True Leaf Medicine International Ltd.	Options	Bottomley, Kevin	4	02/09/2016	51	0.1	250,000	-250,000
Tucows Inc.	Options	Chase, Robin	4	06/09/2016	50	27.53	11,875	3,750
Tucows Inc.	Common Shares	Gissin, Erez	4	01/09/2016	10	27.25	48,550	-10,000
Tucows Inc.	Options	Gissin, Erez	4	06/09/2016	50	27.53	122,700	3,750
Tucows Inc.	Common Shares	Karp, Allen	4	26/11/2014	47		17,700	-2,300
Tucows Inc.	Common Shares	Karp, Allen	4	03/12/2014	47		17,400	-300
Tucows Inc.	Common Shares	Karp, Allen	4	23/12/2014	47		17,100	-300
Tucows Inc.	Common Shares	Karp, Allen	4	02/09/2015	47		35,125	-100
Tucows Inc.	Common Shares	Karp, Allen	4	09/12/2015	47		34,016	-1,109
Tucows Inc.	Options	Karp, Allen	4	06/09/2016	50	27.53	183,125	3,750
Tucows Inc.	Common Shares	Ralls, Rawleigh Hazen	4	01/09/2016	10	27.398	281,575	-2,872
Tucows Inc.	Common Shares	Ralls, Rawleigh Hazen	4	02/09/2016	10	27.371	263,080	-18,495
Tucows Inc.	Common Shares	Ralls, Rawleigh Hazen	4	06/09/2016	10	27.531	234,447	-28,633
Tucows Inc.	Options	Ralls, Rawleigh Hazen	4	06/09/2016	50	27.53	120,000	3,750
Tucows Inc.	Options	Schwartz, Jeffrey	4	06/09/2016	50	27.53	198,125	3,750
TVA Group Inc.	Options d'achat d'actions classe B	Rozon, Denis	5	05/09/2016	52		55,199	-35,910
TWC Enterprises Limited	Common Shares	tamlin, andrew	5	01/09/2016	10	9.88	2,000	1,000

**Insider Reporting**

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U.S. Dividend Growers Income Corp.	Common Shares Equity Shares	U.S. Dividend Growers Income Corp.	1	02/09/2016	38	8.45	3,985,310	1,200
U.S. Dividend Growers Income Corp.	Common Shares Equity Shares	U.S. Dividend Growers Income Corp.	1	08/09/2016	38	8.2655	3,988,210	2,900
UGE International Ltd.	Common Shares	Blitterswyk, Nicolas Adrian	3, 4, 5	01/09/2016	54		2,115,326	660,000
UGE International Ltd.	Common Shares	Blitterswyk, Nicolas Adrian	3, 4, 5	01/09/2016	10	0.2666	2,133,326	18,000
UGE International Ltd.	Common Shares	Blitterswyk, Nicolas Adrian	3, 4, 5	02/09/2016	10	0.2494	2,144,326	11,000
UGE International Ltd.	Common Shares	Blitterswyk, Nicolas Adrian	3, 4, 5	06/09/2016	10	0.2517	2,161,326	17,000
UGE International Ltd.	Special Warrants	Blitterswyk, Nicolas Adrian	3, 4, 5	01/09/2016	54		660,000	-660,000
UGE International Ltd.	Options	Isabel Marques	7	28/06/2016	00		67,208	
UGE International Ltd.	Common Shares	Liu, Yun	3	01/09/2016	54		2,156,956	660,000
UGE International Ltd.	Special Warrants	Liu, Yun	3	01/09/2016	54		660,000	-660,000
UGE International Ltd.	Options	Matthews, David Scott	7	28/06/2016	00		336,040	
UGE International Ltd.	Common Shares	Varrone, Crescent Richard	4	31/08/2016	10	0.3492	42,700	-18,500
UGE International Ltd.	Common Shares	Varrone, Crescent Richard	4	01/09/2016	10	0.33	25,200	-17,500
UGE International Ltd.	Common Shares	Xie, Xiangrong	3	01/09/2016	54		9,371,936	3,780,000
UGE International Ltd.	Special Warrants	Xie, Xiangrong	3	01/09/2016	54		3,780,000	-3,780,000
United Corporations Limited	Common Shares	Barron, John Christopher	4	06/09/2016	10	94	0	-500
United Corporations Limited	Common Shares	E-L Financial Corporation Limited	3	02/09/2016	10	93.5	6,307,659	100
UpSnap, Inc.	Common Shares	StableView Asset Management	3	11/09/2015	16	0.025	42,127,672	12,994,536
UpSnap, Inc.	Common Shares	StableView Asset Management	3	11/09/2015	53	0.05	48,624,940	6,497,268
UpSnap, Inc.	Common Shares	StableView Asset Management	3	12/09/2015	16	0.025		12,994,536
Uranium Energy Corp.	Common Shares	ABRAHAM, Edward Spencer	4	02/09/2016	46	1.01	20,359	3,218
Uranium Energy Corp.	Common Shares	Adnani, Amir	4, 5	02/09/2016	46	1.01	2,154,396	10,099
Uranium Energy Corp.	Common Shares	KURRUS, ANDREW WILLIAM III	5	02/09/2016	46	1.01	27,417	2,307
Uranium Energy Corp.	Common Shares	Melbye, Scott Eric	5	02/09/2016	46	1.01	116,368	3,937
Uranium Energy Corp.	Common Shares	Obara, Pat	5	02/09/2016	46	1.01	102,618	1,843
Uranium Energy Corp.	Common Shares	Underdown, Jr., William Robert	5	02/09/2016	46	1.01	24,936	1,967
Valeant Pharmaceuticals International, Inc.	Common Shares	Appio, Thomas	5	17/08/2016	00		9,890	
Valeant Pharmaceuticals International, Inc.	Performance Share Units	Appio, Thomas	5	17/08/2016	00		8,475	
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Appio, Thomas	5	17/08/2016	00		12,230	
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	03/08/2016	38	17.55	4,100	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	11/08/2016	38	17.6	1,400	400
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	12/08/2016	38	17.74	2,400	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	15/08/2016	38	17.399	3,400	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	16/08/2016	38	17.337	4,400	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	17/08/2016	38	17.3	5,400	1,000
Veresen Inc.	Common Shares	Jang, Theresa	5	02/09/2016	30	12.98	14,384	-93
Veritas Pharma Inc.	Common Shares	Alexander, David Malcolm	5	31/08/2016	10	0.25		200,000
Veritas Pharma Inc.	Common Shares	Alexander, David Malcolm	5	31/08/2016	10	0.25		200,000
Veritas Pharma Inc.	Common Shares	Alexander, David Malcolm	5	31/08/2016	10	0.361		-140,000
Veritas Pharma Inc.	Common Shares	Alexander, David Malcolm	5	31/08/2016	10	0.361		-140,000
Veritas Pharma Inc.	Common Shares	Alexander, David Malcolm	5	31/08/2016	51	0.25	291,250	200,000
Veritas Pharma Inc.	Common Shares	Alexander, David Malcolm	5	31/08/2016	10	0.361	151,250	-140,000
Veritas Pharma Inc.	Options	Alexander, David Malcolm	5	31/08/2016	10	0.361		-140,000
Veritas Pharma Inc.	Options	Alexander, David Malcolm	5	31/08/2016	10	0.361		-140,000
Veritas Pharma Inc.	Options	Alexander, David Malcolm	5	02/09/2016	51	0.25	0	-200,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Vermilion Energy Inc.	Common Shares	Hicks, Curtis W.	5	08/09/2016	10			-10,000
Vermilion Energy Inc.	Common Shares	Hicks, Curtis W.	5	08/09/2016	30	39.142	134,624	1,122
Vermilion Energy Inc.	Common Shares	Jasinski, Mona Jean	5	07/09/2016	30	41.594	58,452	540
Vermilion Energy Inc.	Common Shares	Jasinski, Mona Jean	5	07/09/2016	10	49.5	56,432	-2,020
Victoria Gold Corp. (formerly Victoria Resource Corporation)	Common Shares	Downey, Patrick	4	30/08/2016	15	0.65	400,000	200,000
VIQ Solutions Inc.	Common Shares	Taylor, Larry Douglas	4	06/09/2016	54	0.05	2,517,300	1,200,000
VIQ Solutions Inc.	Warrants	Taylor, Larry Douglas	4	06/09/2016	54	0.05	0	-1,200,000
VIRTUALARMOR INTERNATIONAL INC.	Options	Brennan, Matthew	7, 5	07/09/2016	50	0.4	1,962,000	1,000,000
Wescan Goldfields Inc.	Common Shares	MacNeill, Kenneth Earl	4, 6	06/09/2016	16	0.05	8,832,711	2,300,000
Wescan Goldfields Inc.	Common Shares	MacNeill, Tom	6	06/09/2016	11	0.05	4,674,279	1,500,000
Wescan Goldfields Inc.	Common Shares	MacNeill, Tom	6	08/09/2016	10	0.05	4,739,279	65,000
Wedome Gold Mines Ltd.	Common Shares	Smith, Barry George	4	06/09/2016	10	2.61	697,856	-205,000
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	06/09/2016	38		154,494	-124,494
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	06/09/2016	38	44.473	184,494	30,000
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	07/09/2016	38	43.395	214,494	30,000
West Fraser Timber Co. Ltd.	Common Shares	West Fraser Timber Co. Ltd.	1	08/09/2016	38	42.767	244,494	30,000
West Isle Energy Inc.	Common Shares	Pronghorn Energy Ltd.	3	29/07/2016	00		2,950,000	
West Isle Energy Inc.	Common Shares	Skagen, Arthur	3, 4, 6, 5	29/07/2016	00		2,950,000	
WesternOne Inc.	Common Shares	Blake, Peter James	5	01/09/2016	57		100,883	5,855
WesternOne Inc.	Restricted Stock Award	Blake, Peter James	5	01/09/2016	57		267,252	-5,855
WesternOne Inc.	Restricted Stock Award	Blake, Peter James	5	01/09/2016	57		188,833	-78,419
WesternOne Inc.	Common Shares	Matheson, Joseph Lee Grant	4	07/09/2016	10	0.055	34,560,521	800,000
WesternOne Inc.	Common Shares	Matheson, Joseph Lee Grant	4	11/08/2016	00			
WesternOne Inc.	Common Shares	Matheson, Joseph Lee Grant	4	07/09/2016	10	0.055	900,000	900,000
Whistler Blackcomb Holdings Inc.	Common Shares	KSL Capital Partners III GP, LLC	3	04/12/2012	00			
Whistler Blackcomb Holdings Inc.	Common Shares	KSL Capital Partners III GP, LLC	3	06/09/2016	90		3,907,709	3,907,709
Whistler Blackcomb Holdings Inc.	Common Shares	KSL Capital Partners III GP, LLC	3	06/09/2016	90		0	-4,064,029
Whistler Blackcomb Holdings Inc.	Common Shares	KSL Capital Partners III GP, LLC	3	04/12/2012	00			
Whistler Blackcomb Holdings Inc.	Common Shares	KSL Capital Partners III GP, LLC	3	06/09/2016	90		4,064,029	4,064,029
Whistler Blackcomb Holdings Inc.	Common Shares	KSL Capital Partners III GP, LLC	3	06/09/2016	90		0	-3,907,709
Wi-LAN Inc.	Common Shares	Anderson, Roxanne Leigh	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Common Shares	Anderson, Roxanne Leigh	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Common Shares	Anderson, Roxanne Leigh	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Options Warrants	Anderson, Roxanne Leigh	4	13/05/2015	00			
Wi-LAN Inc.	Options Warrants	Anderson, Roxanne Leigh	4	29/07/2016	50	2.84	35,211	35,211
Wi-LAN Inc.	Common Shares	Bramson, Robert S.	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Common Shares	Bramson, Robert S.	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Common Shares	Bramson, Robert S.	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Options Warrants	Bramson, Robert S.	4	29/07/2016	50	2.84	112,013	35,211
Wi-LAN Inc.	Common Shares	Fattouche, Michel	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Common Shares	Fattouche, Michel	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Common Shares	Fattouche, Michel	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Options Warrants	Fattouche, Michel	4	29/07/2016	50	2.84	112,013	35,211
Wi-LAN Inc.	Common Shares	Gillberry, John Kendall	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Common Shares	Gillberry, John Kendall	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Common Shares	Gillberry, John Kendall	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Options Warrants	Gillberry, John Kendall	4	29/07/2016	50	2.84	112,013	35,211
Wi-LAN Inc.	Common Shares	Laurie, Ronald Sheldon	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Common Shares	Laurie, Ronald Sheldon	4	29/07/2016	50	2.84		35,211

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Wi-LAN Inc.	Common Shares	Laurie, Ronald Sheldon	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Options Warrants	Laurie, Ronald Sheldon	4	13/05/2015	00			
Wi-LAN Inc.	Options Warrants	Laurie, Ronald Sheldon	4	29/07/2016	50	2.84	35,211	35,211
Wi-LAN Inc.	Common Shares	McCarten, W. Paul	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Common Shares	McCarten, W. Paul	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Common Shares	McCarten, W. Paul	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Options Warrants	McCarten, W. Paul	4	29/07/2016	50	2.84	121,424	35,211
Wi-LAN Inc.	Options Warrants	McCarten, W. Paul	4	11/08/2016	52	3.4	92,013	-29,411
Wi-LAN Inc.	Common Shares	Shorkey, Richard John	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Common Shares	Shorkey, Richard John	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Common Shares	Shorkey, Richard John	4	29/07/2016	50	2.84		35,211
Wi-LAN Inc.	Options Warrants	Shorkey, Richard John	4	29/07/2016	50	2.84	112,013	35,211
Wi-LAN Inc.	Common Shares	Wi-LAN Inc.	1	02/09/2016	10	2.2	493,200	15,000
Wi-LAN Inc.	Common Shares	Wi-LAN Inc.	1	06/09/2016	10	2.2257	508,200	15,000
Wi-LAN Inc.	Common Shares	Wi-LAN Inc.	1	07/09/2016	10	2.2067	523,200	15,000
Wi-LAN Inc.	Common Shares	Wi-LAN Inc.	1	08/09/2016	10	2.19	538,200	15,000
Wi-LAN Inc.	Common Shares	Wi-LAN Inc.	1	09/09/2016	38	2.16	553,200	15,000
Winston Gold Mining Corp.	Common Shares	Nye, Murray R.	4	07/09/2016	10	0.43	1,245,000	-20,000
Wolverine Minerals Corp.	Common Shares	Anderson, Logan Bruce	3, 4, 5	07/09/2016	46	0.05	2,045,150	900,000
Wolverine Minerals Corp.	Common Shares	Anderson, Logan Bruce	3, 4, 5	07/06/2004	00			
Wolverine Minerals Corp.	Common Shares	Anderson, Logan Bruce	3, 4, 5	07/09/2016	46	0.05	566,000	566,000
Wolverine Minerals Corp.	Common Shares	BLANCHFLOWER, JOHN DOUGLAS	4, 5	07/09/2016	46	0.05	1,328,000	1,028,000
Wolverine Minerals Corp.	Common Shares	Burnett, Gregory Clifford	4	07/09/2016	46	0.05	1,644,175	1,120,000
Wolverine Minerals Corp.	Common Shares	Doyle, Thomas Anthony	3, 4, 5	07/09/2016	46	0.05	9,385,250	6,348,000
Wolverine Minerals Corp.	Common Shares	Doyle, Thomas Anthony	3, 4, 5	07/09/2016	46	0.05	2,541,150	1,782,000
Yoho Resources Inc.	Common Shares	Allford, Richard Bruce	4	07/09/2016	22		0	-253,918
Yoho Resources Inc.	Common Shares Non-Voting	Allford, Richard Bruce	4	07/09/2016	22		0	-100,000
Yoho Resources Inc.	Non-Voting Shares Class B	Allford, Richard Bruce	4	07/09/2016	22		0	-5,595
Yoho Resources Inc.	Options	Allford, Richard Bruce	4	07/09/2016	22		0	-98,334
Yoho Resources Inc.	Common Shares	Brussa, John Albert	4	07/09/2016	22		0	-185,000
Yoho Resources Inc.	Options	Brussa, John Albert	4	07/09/2016	22		0	-205,000
Yoho Resources Inc.	Common Shares	Drader, Clark Warren	5	07/09/2016	22	0.475	0	-274,408
Yoho Resources Inc.	Common Shares	Drader, Clark Warren	5	07/09/2016	22	0.475	0	-13,850
Yoho Resources Inc.	Options	Drader, Clark Warren	5	07/09/2016	22		0	-720,000
Yoho Resources Inc.	Common Shares	Kurceba, Peter	4	07/09/2016	22	0.475	0	-363,211
Yoho Resources Inc.	Common Shares	Kurceba, Peter	4	07/09/2016	22	0.475	0	-22,500
Yoho Resources Inc.	Convertible Debentures 8.25% Secured Subordinated	Kurceba, Peter	4	07/09/2016	22		\$0	-\$100
Yoho Resources Inc.	Options	Kurceba, Peter	4	07/09/2016	22		0	-198,334
Yoho Resources Inc.	Common Shares	McLachlan, Brian	4, 5	07/09/2016	22	0.475	0	-1,416,463
Yoho Resources Inc.	Common Shares	McLachlan, Brian	4, 5	07/09/2016	22	0.475	0	-259,952
Yoho Resources Inc.	Convertible Debentures 8.25% Secured Subordinated	McLachlan, Brian	4, 5	07/09/2016	22		\$0	-\$250
Yoho Resources Inc.	Convertible Debentures 8.25% Secured Subordinated	McLachlan, Brian	4, 5	07/09/2016	22		\$0	-\$150
Yoho Resources Inc.	Options	McLachlan, Brian	4, 5	07/09/2016	22		0	-720,000
Yoho Resources Inc.	Options	McLachlan, Brian	4, 5	07/09/2016	22		0	-990,000
Yoho Resources Inc.	Common Shares	Niebergall, Gregory	5	07/09/2016	22	0.475	0	-82,588
Yoho Resources Inc.	Options	Niebergall, Gregory	5	07/09/2016	22		0	-693,340
Yoho Resources Inc.	Common Shares	Olson, Kevin	4	07/09/2016	22	0.475	0	-424,905
Yoho Resources Inc.	Convertible Debentures 8.25% Secured Subordinated	Olson, Kevin	4	07/09/2016	22		\$0	-\$60
Yoho Resources Inc.	Options	Olson, Kevin	4	07/09/2016	22		0	-198,334
Yoho Resources Inc.	Common Shares	Perron, Gary	4	07/09/2016	22	0.475		-7,927,187

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Yoho Resources Inc.	Common Shares	Perron, Gary	4	07/09/2016	22	0.475	7,600,000	-327,187
Yoho Resources Inc.	Common Shares	Perron, Gary	4	07/09/2016	22	0.475	0	-100,000
Yoho Resources Inc.	Common Shares	Perron, Gary	4	07/09/2016	22	0.475	0	-4,100
Yoho Resources Inc.	Common Shares	Perron, Gary	4	07/09/2016	22	0.475	0	-4,100
Yoho Resources Inc.	Common Shares	Perron, Gary	4	07/09/2016	22	0.475	0	-4,100
Yoho Resources Inc.	Common Shares	Perron, Gary	4	07/09/2016	22	0.475	0	-3,400
Yoho Resources Inc.	Common Shares	Perron, Gary	4	07/09/2016	22	0.475	0	-2,400
Yoho Resources Inc.	Common Shares	Perron, Gary	4	07/09/2016	22	0.475	0	-67,700
Yoho Resources Inc.	Convertible Debentures 8.25% Secured Subordinated	Perron, Gary	4	07/09/2016	22		\$0	-\$1,000
Yoho Resources Inc.	Options	Perron, Gary	4	07/09/2016	22		0	-181,668
Yoho Resources Inc.	Common Shares	Stobo, Barry J.	5	07/09/2016	22	0.475	0	-414,605
Yoho Resources Inc.	Options	Stobo, Barry J.	5	07/09/2016	22		0	-760,000
Yoho Resources Inc.	Common Shares	Woolsey, Wendy S	5	07/09/2016	22	0.475	0	-143,229
Yoho Resources Inc.	Options	Woolsey, Wendy S	5	07/09/2016	22		0	-686,667
Zaio Corporation	Common Shares	Guebert, David Dean	5	06/09/2016	00		100,000	
Zargon Oil & Gas Ltd.	Common Shares	Doetzel, Randolph John	5	06/09/2016	10	0.96	53,653	-5,900
Zargon Oil & Gas Ltd.	Common Shares	Doetzel, Randolph John	5	06/09/2016	10	0.96	0	-7,700
Zargon Oil & Gas Ltd.	Common Shares	Hustad, Christopher Michael	5	07/09/2016	57	0.94	98,846	7,710
Zargon Oil & Gas Ltd.	Restricted Share Awards	Hustad, Christopher Michael	5	07/09/2016	57	0.94	46,700	-5,200

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## Chapter 11

# IPOs, New Issues and Secondary Financings

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**Issuer Name:**

Canadian Western Bank  
Principal Regulator – Alberta

**Type and Date:**

Preliminary Base Shelf Prospectus dated September 9, 2016

NP 11-202 Receipt dated September 9, 2016

**Offering Price and Description:**

\$750,000,000.00

Debt Securities (subordinated indebtedness)  
Common Shares

First Preferred Shares

**Underwriter(s) or Distributor(s):**

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**Promoter(s):**

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Project #2532590

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**Issuer Name:**

Donnelley Financial Solutions, Inc.  
Principal Regulator – Ontario

**Type and Date:**

Amended and Restated Preliminary Long Form Prospectus dated September 7, 2016

NP 11-202 Receipt dated September 8, 2016

**Offering Price and Description:**

\* Shares of Common Stock

**Underwriter(s) or Distributor(s):**

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**Promoter(s):**

R. R. Donnelley & Sons Company

Project #2504610

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**Issuer Name:**

European Commercial Real Estate Limited  
Principal Regulator – Ontario

**Type and Date:**

Preliminary CPC Prospectus dated September 2, 2016

NP 11-202 Receipt dated September 6, 2016

**Offering Price and Description:**

\$1,770,000.00 – 17,700,000 Common Shares

Price: \$0.10 per Common Share

**Underwriter(s) or Distributor(s):**

CIBC World Markets Inc.

**Promoter(s):**

Phillip Burns

Ian Dyke

Project #2531328

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**Issuer Name:**

Front Range Resources Ltd.  
Principal Regulator – Alberta

**Type and Date:**

Preliminary Short Form Prospectus dated September 8, 2016

NP 11-202 Receipt dated September 8, 2016

**Offering Price and Description:**

\$18,000,000.20 – 25,714,286 Common Shares of which  
Up to \$6,999,999.75 – 8,641,975 shares may be issued as  
Flow-Through Shares

Price: \$0.70 per Common Share and \$0.81 per Flow-  
Through Share

**Underwriter(s) or Distributor(s):**

Sprott Private Wealth LP

GMP Securities LP

**Promoter(s):**

-

Project #2532345

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**Issuer Name:**

Front Range Resources Ltd.  
Principal Regulator – Alberta

**Type and Date:**

Amended and Restated Preliminary Short Form Prospectus dated September 9, 2016

NP 11-202 Receipt dated September 9, 2016

**Offering Price and Description:**

Up to \$20,000,000.30 – 28,571,429 Common Shares of  
which

Up to \$6,999,999.75 – 8,641,975 shares may be issued as  
Flow-Through Shares

Price: \$0.70 per Common Share and \$0.81 per Flow-  
Through Share

**Underwriter(s) or Distributor(s):**

Sprott Private Wealth LP

GMP Securities LP

**Promoter(s):**

-

Project #2532345

**Issuer Name:**

June 2020 Corporate Bond Trust  
Principal Regulator – Quebec

**Type and Date:**

Preliminary Long Form Prospectus dated September 2, 2016

NP 11-202 Receipt dated September 6, 2016

**Offering Price and Description:**

Maximum Offering: \$ \* – \* Units

Minimum Offering: \$15,000,000 – 1,500,000 Units

Minimum Purchase: 500 Units

Price: \$10.00 per Class A Unit and Class T Unit

**Underwriter(s) or Distributor(s):**

National Bank Financial Inc.

**Promoter(s):**

Fiera Capital Corporation

**Project #2531275**

**Issuer Name:**

Noront Resources Ltd.  
Principal Regulator – Ontario

**Type and Date:**

Preliminary Short Form Prospectus dated September 7, 2016

NP 11-202 Receipt dated September 7, 2016

**Offering Price and Description:**

Maximum: \$10,000,000.00 – 15,625,000 Units and 12,500,000 Flow-Through Units

Minimum \$3,000,000.00

Price: \$0.32 per Unit and \$0.40 per Flow-Through Unit

**Underwriter(s) or Distributor(s):**

Secutor Capital Management Corporation

**Promoter(s):**

-

**Project #2531960**

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**Issuer Name:**

LSC Communications, Inc.  
Principal Regulator – Ontario

**Type and Date:**

Amended and Restated Preliminary Long Form Prospectus dated September 7, 2016

NP 11-202 Receipt dated September 8, 2016

**Offering Price and Description:**

\* Shares of Common Stock

**Underwriter(s) or Distributor(s):**

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**Promoter(s):**

R. R. Donnelley & Sons Company

**Project #2504612**

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**Issuer Name:**

Starlight U.S. Multi-Family (No. 5) Core Fund  
Principal Regulator – Ontario

**Type and Date:**

Preliminary Long Form Prospectus dated September 6, 2016

NP 11-202 Receipt dated September 6, 2016

**Offering Price and Description:**

Maximum Offering: US\$200,000,000.00 – \* Class A Units and/or Class U Units and/or Class D Units and/or Class E Units and/or Class F Units and/or Class H Units and/or Class C Units

Price: C\$10.00 per Class A, Class D, Class F, Class H and Class C Unit

Price: US\$10.00 per Class U and Class E Unit

**Underwriter(s) or Distributor(s):**

CIBC WORLD MARKETS INC.

SCOTIA CAPITAL INC.

BMO NESBITT BURNS INC.

GMP SECURITIES L.P.

NATIONAL BANK FINANCIAL INC.

RAYMOND JAMES LTD.

TD SECURITIES INC.

CANACCORD GENUITY CORP.

DESJARDINS SECURITIES INC.

**Promoter(s):**

STARLIGHT INVESTMENTS LTD.

**Project #2531539**

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**Issuer Name:**

MCAP Corporation  
Principal Regulator – Ontario

**Type and Date:**

Second Amended and Restated Preliminary Long Form Prospectus dated September 8, 2016

NP 11-202 Receipt dated September 9, 2016

**Offering Price and Description:**

\$275,000,000.00 – \* Common Shares

Price: \$ \* per Common Share

**Underwriter(s) or Distributor(s):**

RBC Dominion Securities Inc.

BMO Nesbitt Burns Inc.

TD Securities Inc.

CIBC World Markets Inc.

National Bank Financial Inc.

Scotia Capital Inc.

Laurentian Bank Securities Inc.

**Promoter(s):**

Otera Capital CADCAP Inc.

MCAN Mortgage Corporation

**Project #2488767**



**Issuer Name:**

VALHALLA GAME STUDIOS INTERNATIONAL LTD.  
Principal Regulator – British Columbia

**Type and Date:**

Amendment dated to Final Long Form Prospectus dated  
July 28, 2016

Received on September 12, 2016

**Offering Price and Description:**

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**Underwriter(s) or Distributor(s):**

Echelon Wealth Partners Inc.

**Promoter(s):**

Satoshi Kanematsu

Tomonobu Itagaki

**Project #2495209**

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**Issuer Name:**

Automotive Properties Real Estate Investment Trust  
Principal Regulator – Ontario

**Type and Date:**

Final Short Form Prospectus dated September 8, 2016

NP 11-202 Receipt dated September 12, 2016

**Offering Price and Description:**

\$35,070,000.00 – 3,340,000 Units, price \$10.50 per  
Offered

Unit

**Underwriter(s) or Distributor(s):**

TD SECURITIES INC.

CANACCORD GENUITY CORP.

SCOTIA CAPITAL INC.

BMO NESBITT BURNS INC.

RBC DOMINION SECURITIES INC.

NATIONAL BANK FINANCIAL INC.

DESJARDINS SECURITIES INC.

INDUSTRIAL ALLIANCE SECURITIES INC.

GMP SECURITIES L.P.

RAYMOND JAMES LTD.

**Promoter(s):**

893353 Alberta Inc.

**Project #2524238**

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**Issuer Name:**

Cargojet Inc.

Principal Regulator – Ontario

**Type and Date:**

Final Short Form Prospectus dated September 8, 2016

NP 11-202 Receipt dated September 8, 2016

**Offering Price and Description:**

\$115,000,000.00 – 4.65% Convertible Unsecured  
Subordinated Debentures

Price: \$1,000 per Debenture

**Underwriter(s) or Distributor(s):**

RBC DOMINION SECURITIES INC.

NATIONAL BANK FINANCIAL INC.

CIBC WORLD MARKETS INC.

ACUMEN CAPITAL FINANCE PARTNERS LIMITED

BEACON SECURITIES LIMITED

SCOTIA CAPITAL INC.

**Promoter(s):**

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**Project #2524264**

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**Issuer Name:**

First Trust Canadian Capital Strength Portfolio

Principal Regulator – Ontario

**Type and Date:**

Amendment #1 dated August 24, 2016 to the Simplified  
Prospectus and Annual Information Form dated September  
29, 2015

NP 11-202 Receipt dated September 6, 2016

**Offering Price and Description:**

Series A Units and Series F Units @ Net Asset Value

**Underwriter(s) or Distributor(s):**

FT Portfolios Canada Co.

**Promoter(s):**

FT Portfolios Canada Co.

**Project #2386164**

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**Issuer Name:**

IBI Group Inc.  
Principal Regulator – Ontario

**Type and Date:**

Final Short Form Prospectus dated September 8, 2016  
NP 11-202 Receipt dated September 8, 2016

**Offering Price and Description:**

\$40,000,000.00 – 5.50% Convertible Unsecured  
Subordinated Debentures

**Underwriter(s) or Distributor(s):**

NATIONAL BANK FINANCIAL INC.  
CIBC WORLD MARKETS INC.  
TD SECURITIES INC.  
LAURENTIAN BANK SECURITIES INC.  
SCOTIA CAPITAL INC.  
ALTACORP CAPITAL INC.  
CANACCORD GENUITY CORP.  
RAYMOND JAMES LTD.  
DUNDEE SECURITIES LTD.

**Promoter(s):**

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**Project #2524164**

**Issuer Name:**

Slate Office REIT  
Principal Regulator – Ontario

**Type and Date:**

Final Base Shelf Prospectus dated September 7, 2016  
NP 11-202 Receipt dated September 7, 2016

**Offering Price and Description:**

\$500,000,000.00  
Units

**Underwriter(s) or Distributor(s):**

Debt Securities  
Subscription Receipts

**Underwriter(s) or Distributor(s):**

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**Promoter(s):**

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**Project #2505759**

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**Issuer Name:**

NorthWest Healthcare Properties Real Estate Investment  
Trust

Principal Regulator – Ontario

**Type and Date:**

Final Base Shelf Prospectus dated September 12, 2016  
NP 11-202 Receipt dated September 12, 2016

**Offering Price and Description:**

C\$750,000,000.00 – Units, Debt Securities, Warrants,  
Subscription Receipts

**Underwriter(s) or Distributor(s):**

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**Promoter(s):**

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**Project #2531232**

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**Issuer Name:**

Redwood Floating Rate Preferred Fund (formerly Redwood  
Diversified Income Fund)

Redwood Unconstrained Bond Class

Principal Regulator – Ontario

**Type and Date:**

Amendment #1 dated August 8, 2016 to the Simplified  
Prospectuses and Annual Information Form dated May 11,  
2016

NP 11-202 Receipt dated September 8, 2016

**Offering Price and Description:**

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**Underwriter(s) or Distributor(s):**

Redwood Asset Management Inc.

**Promoter(s):**

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**Project #2466746**

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## Chapter 12

# Registrations

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### 12.1.1 Registrants

Type	Company	Category of Registration	Effective Date
Voluntary Surrender	Arton Investments	Investment Dealer	September 6, 2016
Change in Registration Category	Smart Investments Ltd.	From: Portfolio Manager, Exempt Market Dealer and Investment Fund Manager To: Portfolio Manager and Exempt Market Dealer	September 6, 2016

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<b>Arton Investments</b>		<b>Starlight Investment Ltd.</b>	
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<b>Brompton Funds Limited</b>		<b>Starlight U.S. Multi-Family (No. 2) Core Fund</b>	
Decision .....	7880	Decision .....	7883
<b>DataWind Inc.</b>		<b>Starlight U.S. Multi-Family (No. 3) Core Fund</b>	
Cease Trading Order .....	7925	Decision .....	7883
<b>First Growth Holdings Ltd.</b>		<b>Starlight U.S. Multi-Family (No. 4) Core Fund</b>	
Order – s. 6.1 of NI 62-104 Take-Over Bids and Issuer Bids .....	7907	Decision .....	7883
<b>Home Investment Management Inc.</b>		<b>Starlight U.S. Multi-Family Core Fund</b>	
Decision .....	7877	Decision .....	7883
<b>Industrial Alliance, Investment Management Inc.</b>		<b>Starrex International Ltd.</b>	
Decision .....	7873	Cease Trading Order .....	7925
<b>iSIGN Media Solutions Inc.</b>		<b>Strand, Richard</b>	
Cease Trading Order .....	7925	Decision .....	7877
<b>LGX Oil + Gas Inc.</b>		<b>Swiss Re America Holding Corporation</b>	
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<b>McEwen Mining – Minera Andes Acquisition Corp.</b>		<b>Swiss Re Corporate Solutions Ltd</b>	
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<b>Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated</b>		<b>Swiss Reinsurance Company Ltd</b>	
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<b>Moneda LatAm Corporate Bond Fund</b>		<b>Symphony Floating Rate Senior Loan Fund</b>	
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<b>Northern Frontier Corp.</b>		<b>Ubisoft Entertainment S.A</b>	
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<b>Northern Power Systems Corp.</b>			
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<b>Pangaea Asset Management Inc.</b>			
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