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# Chapter 1

## Notices / News Releases

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### 1.1 Notices

#### 1.1.1 **CSA Multilateral Staff Notice 51-349 Report on the Review of Investment Entities and Guide for Disclosure Improvements**

CSA Multilateral Staff Notice 51-349 *Report on the Review of Investment Entities and Guide for Disclosure Improvements* is reproduced on the following separately numbered pages. Bulletin pagination resumes at the end of the Notice.

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**CSA Multilateral Staff Notice 51-349**  
*Report on the Review of Investment Entities and  
Guide for Disclosure Improvements*

**March 16, 2017**

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## 1. Introduction

Staff from the securities regulatory authorities in Ontario, Saskatchewan and Alberta (**Staff or we**) provide this notice based on a targeted review conducted by the staff from the Ontario Securities Commission (**OSC Staff**).

OSC Staff recently reviewed the continuous disclosure provided by certain reporting issuers who determined they meet the definition of an investment entity under IFRS 10 *Consolidated Financial Statements* (**IFRS 10**), an emerging subsector of the financial services industry that is mainly concentrated in Ontario (the **Review**).

The Review identified several areas where disclosure could be improved and resulted in many disclosure changes to provide more fulsome information to investors. Overall, OSC Staff observed a wide range in the quality of disclosures provided by investment entities to comply with securities requirements.

This notice summarizes the findings of the Review and also sets out Staff's disclosure expectations and provides guidance to assist investment entities in meeting their ongoing continuous disclosure obligations.

## 2. Application

Reference to "investment entity" in this notice applies to reporting issuers that have determined they do not meet the definition of an investment fund under National Instrument 81-106 *Investment Fund Continuous Disclosure* (**NI 81-106**) and are therefore subject to the requirements of National Instrument 51-102 *Continuous Disclosure Obligations* (**NI 51-102**).

In addition to investment entities, some of the observations outlined in this notice may be applicable to non-investment entities that record investments at fair value and we expect these reporting issuers to also consider our findings.

## 3. Executive Summary

The Review considered compliance with several areas of securities legislation including:

- how reporting issuers met the definition of an investment entity in IFRS 10;
- fair value measurements and disclosures;
- sufficiency of disclosures to understand investment portfolio composition, investment performance, investment strategies and oversight and related risks; and
- disclosure provided by reporting issuers heavily concentrated in only a few investments.

The Review resulted in several outcomes as discussed in Section 5 of this notice. At a high level, we emphasize the following points to investment entities:

- the importance of fair value measurements and entity specific fair value disclosures in both the financial statements and the Management's Discussion & Analysis (**MD&A**) to help investors understand the performance of the investment entity and judgements made by management;
- with so much dependency on fair value, consider if external expertise is needed to determine fair value of private investments;



- in addition to fair value disclosures, there may be instances where additional investee specific financial information and operational disclosure is necessary to inform an investment decision;
- the unique financial reporting of investment entities does not preclude compliance with other securities requirements such as executive compensation disclosure, business acquisition disclosure and entity specific technical requirements; and
- this is an emerging area where market participants may need to look through the structure and look to other securities requirements for guidance. This notice provides examples of these instances and when investment entities should consider consultation with Staff to determine how specific securities requirements may apply to them.

We will continue to evaluate the disclosure and evolving profile of investment entities and consider the need for policy changes if we believe sufficient disclosure is not being provided to investors.

## 4. Background

### ***What are the attributes of an investment entity?***

To qualify as an investment entity for accounting purposes, a reporting issuer must meet the definition of an investment entity under IFRS 10 which was applicable to reporting issuers for fiscal years beginning on or after January 1, 2014. To meet this definition, a reporting issuer must:

- obtain funds from one or more investors for the purpose of providing those investors with investment management services;
- commit to its investors that its business purpose is to invest funds solely for returns from capital appreciation, investment income, or both; and
- measure and evaluate the performance of substantially all of its investments on a fair value basis.

Except in limited circumstances as described in IFRS 10.32, an investment entity does not consolidate its subsidiaries. Instead, an investment entity measures an investment in a subsidiary at fair value through profit or loss.

Prior to the adoption of IFRS 10, reporting issuers considered Accounting Guideline 18 *Investment Companies (AcG 18)* in order to determine if they met the definition of an investment company for accounting purposes.

### ***What is the difference between an "investment fund" and an "investment entity"?***

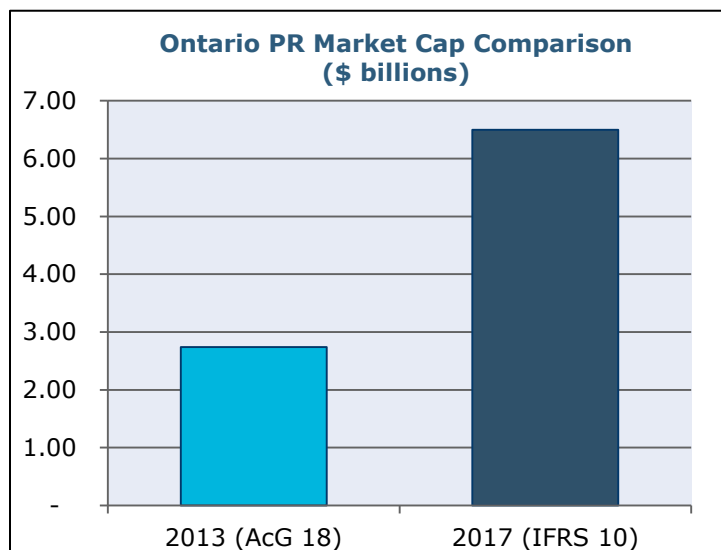
One key difference is that an investment entity in the corporate finance regime<sup>1</sup> can hold a significant interest, including a controlling interest in an investee, which is generally precluded under the investment fund regime.

Both continuous disclosure regimes require annual and interim disclosure to investors. However, there are a number of specific differences in the disclosure and regulatory requirements under each regime.

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<sup>1</sup> Reporting issuers in the corporate finance regime are subject to the requirements of NI 51-102, rather than the requirements of NI 81-106.

## **What is the reporting issuer population and what are the emerging trends?**



There are approximately 18 reporting issuers that have disclosed they meet the definition of an investment entity under IFRS 10 and for which Ontario is principal regulator (**PR**). By comparison, there were 6 such reporting issuers when the AcG 18 rules were in effect.

The collective market capitalization of this subsector has increased substantially in recent years from \$2.7 billion under AcG 18 in 2013 to approximately \$6.5 billion (a 140% increase) presently under IFRS 10. Most investment entities in Ontario are currently listed on the TSX.

Factors contributing to this increase include:

- more reporting issuers have determined that they meet the definition of an investment entity since the adoption of IFRS 10, including reporting issuers who previously consolidated subsidiaries with operations in the resource, insurance and real estate industries;
- some reporting issuers have transitioned from the investment fund regime to the corporate finance regime with the introduction of additional requirements for non-redeemable investment funds that took effect on March 21, 2016; and
- recent initial public offering (**IPO**) activity in this sector.

OSC Staff have also seen other notable trends with this subsector including:

- a growing number of investment entities have few investments, or one investment that represents a significant portion of their portfolio;
- a larger percentage of portfolio holdings being comprised of investments in private companies;
- investment entities with investments in emerging markets;
- significant related party transactions; and
- larger market cap investment entities holding significant assets have been more common.

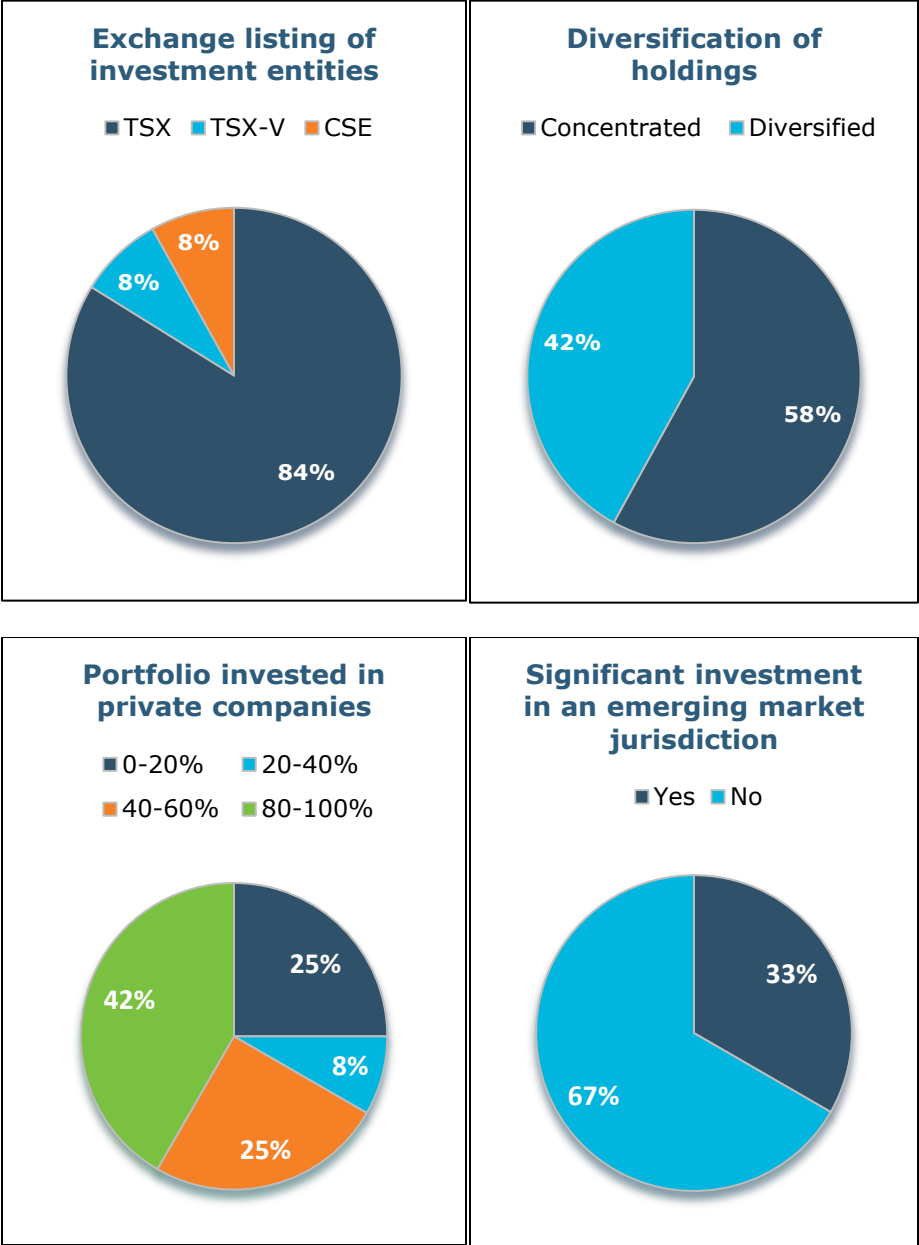
Both the growth and evolving profile in this subsector contributed to the initiation of the Review.

## **What was the purpose and scope of the Review?**

OSC Staff examined the continuous disclosure record of 12 investment entities representing over 90% of the market capitalization of investment entities for which the OSC is PR. The sample consisted of investment entities of varying size and investment strategy.

The purpose of the Review was to improve disclosures in material areas, assess accounting areas which require the exercise of significant judgement, and to inform policy related issues given the attributes of this group of reporting issuers.

The following charts illustrate some key attributes of the investment entities reviewed:



**Exchange listing of reporting issuers** – the majority of investment entities are listed on the TSX. Of the 12 investment entities reviewed by OSC Staff, one was listed on each of the TSX-V and CSE.

**Diversification of holdings** – investment entities with concentrated holdings were considered those with a single investment that represented 20% or more of the fair value of their investment portfolio, excluding cash and cash equivalents, temporary investments and derivative instruments. Additionally, one third of investment entities reviewed by OSC Staff had a single investment that represented 40% or more of their investment portfolio.

**Portfolio invested in private companies** – over 40% of the investment entities reviewed by OSC Staff had invested over 80% of their portfolio in private companies, often resulting in a fair value measurement categorized within level 3 of the fair value hierarchy, and for which information is not publically available.

**Significant investment in an emerging market jurisdiction** – one third of investment entities reviewed by OSC Staff had a significant investment located in an emerging market jurisdiction.

## 5. Overall Results

The tables below summarize the outcomes of the 12 reviews. A review may have multiple outcomes.

Overall, the findings were disappointing. Five of the 12 reviews (42%) resulted in the investment entity being placed on the OSC Refilings and Errors List<sup>2</sup> for material disclosure non-compliance issues. Deficiencies included:

Area of disclosure	Reason for placement on the Refilings and Errors List
MD&A	<ul style="list-style-type: none"> <li>➤ insufficient discussion of the investment entity's operations, investments (including portfolio changes) and risks</li> <li>➤ prominence of non-GAAP measures in the MD&amp;A</li> </ul>
Annual Information Form (AIF)	<ul style="list-style-type: none"> <li>➤ lack of specific risks and operational information regarding the investment entity's significantly concentrated investments or investments in emerging markets</li> <li>➤ material contracts disclosure was not included</li> </ul>
Corporate governance	<ul style="list-style-type: none"> <li>➤ executive compensation, corporate governance or audit committee disclosure was not included in the investment entity's filings</li> </ul>
Material contracts	<ul style="list-style-type: none"> <li>➤ material contracts not filed</li> </ul>
Technical disclosure	<ul style="list-style-type: none"> <li>➤ a technical report was not filed to support the disclosure of mineral reserves and resources</li> </ul>

In addition, the reviews resulted in many prospective disclosure enhancements. Some of the areas OSC Staff requested prospective changes included:

Area of disclosure	Prospective enhancement
Financial statements	<ul style="list-style-type: none"> <li>➤ changes to the valuation approach for investments in private companies</li> <li>➤ fair value disclosures for investments in private companies</li> <li>➤ related party transaction disclosure</li> <li>➤ further disclosure of portfolio composition</li> </ul>
MD&A	<ul style="list-style-type: none"> <li>➤ enhanced analysis of fair value changes and valuation methodologies</li> <li>➤ trends and risks for material investments</li> <li>➤ related party transactions and management company fee disclosure</li> <li>➤ summary financial information for significantly concentrated investments</li> </ul>
AIF	<ul style="list-style-type: none"> <li>➤ more detailed disclosure for investment selection and criteria, related party transactions, corporate structure, investee specific risk factors and material contracts</li> </ul>
Information circular	<ul style="list-style-type: none"> <li>➤ enhanced disclosure for management contracts and executive compensation</li> </ul>

<sup>2</sup> This list is available on the OSC website at: [http://www.osc.qov.on.ca/en/Investors\\_refilings-errors-list.htm](http://www.osc.qov.on.ca/en/Investors_refilings-errors-list.htm)

Other notable outcomes included:

- the filing of insider and early warning reports not previously filed;
- changes to internal insider reporting policies.

## 6. Detailed Findings and Guidance

The findings were primarily focused on the following areas:

### 6.1 Financial Statements

#### ***Investment Entity Criteria***

Further information was requested when it was not clear from an investment entity's disclosure how it met the definition of an investment entity in IFRS 10. For example:

- When an investment entity appeared to have significant involvement with an investee at an operational level, particularly in instances where its portfolio was significantly concentrated, OSC Staff questioned whether the purpose of the investment was made solely for returns from capital appreciation, investment income, or both. An investment entity may provide management services, strategic advice, and financial support to an investee; however, these activities must not represent a separate substantial business activity or a separate substantial source of income.
- When significant investments were carried at their original cost (i.e. the transaction cost) or when disclosure of the investment entity's determination of the fair value of an investment was limited, it was unclear whether fair value was the primary basis on which the investment entity measures and evaluates the performance of substantially all of its investments.
- When an investment entity's exit strategies were unclear from the review of the reporting issuer's disclosure record.

OSC Staff would also raise questions if an investment entity's portfolio was primarily based on one investment for a period of more than one year and it was unclear whether there was an investment plan in place that could result in the acquisition of several investments in the near term to diversify the investment entity's risk and maximize its returns.

Based on the responses provided, OSC Staff did not object to a reporting issuer's determination that they met the definition of an investment entity in IFRS 10. However, the information received prompted additional requests for enhanced disclosure in certain areas, including MD&A and AIF disclosure to assist investors in understanding the operations and risk profile of the investment entity. The notice addresses these issues further in sections 6.2 and 6.3.

### Additional Consideration - Significant Judgements

Management's determination that a reporting issuer has met the definition of an investment entity often requires significant judgement, in particular when one or more of the typical characteristics of an investment entity (as described in IFRS 10.28) are not present.

Disclosure of such significant judgements, as required generally by IAS 1 *Presentation of Financial Statements* and specifically by IFRS 12 *Disclosure of Interests in Other Entities* should include entity specific disclosure of the judgements made, including why the reporting issuer ultimately determined it met the definition of an investment entity.

### Disaggregation of Investment Portfolio

Investment funds subject to NI 81-106 are required to provide a statement of investment portfolio as part of its financial statements disclosing the investee name, cost and fair value for each investment held. While not an IFRS requirement, OSC Staff were encouraged that the majority of investment entities in the Review provided this disclosure in their financial statements, or in certain instances, in their MD&A. Where investment entities aggregated their investment portfolio by industry, geography or other categorization, OSC Staff requested further disclosure by investment, similar to the disclosure provided by investment funds.

The investment portfolio should be presented with sufficient disaggregation and transparency to allow an investor to understand the key characteristics of the portfolio composition including the associated risks and the drivers of any change in fair value. Given the nature of an investment entity's business and the importance of understanding the investment portfolio, we believe this objective is best met by disclosing a statement of investment portfolio. This disclosure also helps investment entities meet their MD&A requirements to provide a meaningful analysis of its performance and trends during the period. The following example illustrates useful disclosure of an investment entity's investment portfolio:

#### EXAMPLE 6.1(a) – sufficiently disaggregated investment portfolio:

Name	Investment type	%	Location	Average cost	Fair value 2016	Fair value 2015
Investment A	Common shares	52%	Canada	\$14m	\$9m	\$5m
Investment B	Convertible debentures	20%	Chile	\$5m	\$6m	\$6m
Investment C	LP units	15%	Japan	\$2m	\$2.5m	\$1.5m

### Disaggregation of Fair Value Gains / Losses

OSC Staff raised comments to understand the components of fair value gains or losses reported on the statement of comprehensive income. When both realized and unrealized fair value gains or losses were presented in the same financial statement line item, OSC Staff requested investment entities to provide supplemental disclosures in the financial statements that clearly reconcile the balance. Staff would expect this disclosure to include the amount that relates to the reversal of previously unrealized fair value gains or losses. For this disclosure, a tabular reconciliation was the most useful. This disclosure assists investors in understanding what portion of the realized gains or losses presented relates to a reversal of unrealized gains or losses previously recorded, and what portion of the unrealized gain or loss relates to the remaining portfolio.

The following example illustrates useful disclosure of the components of an investment entity's fair value gains or losses:

<b>EXAMPLE 6.1(b) – useful disaggregation of fair value gains or losses:</b>	
<b>Net gain on investments</b>	<b>2016</b>
Net realized gain on investments	\$100
Reversal of previously recorded unrealized gain on investments (triggered in connection with the sale of investments)	(125)
Change in unrealized gain on investments held at period end	50
Change in unrealized foreign exchange gain on investments	10
Net gain on investments for the period	<b>\$35</b>

### **Fair Value Measurements<sup>3</sup>**

When a specific valuation technique used to determine fair value appeared inconsistent with the objective of fair value measurement<sup>4</sup>, OSC Staff raised comments and questioned the appropriateness of such a valuation technique.

For example, non-independent transaction prices may not be representative of fair value. Additionally, the use of an independent transaction price may not be appropriate after a period of time has elapsed that has rendered the transaction price no longer representative of current fair value. In these instances, we are of the view that use of a separate valuation technique (for example, a discounted cash flow) is necessary to determine the fair value of the investment.

Investment entities and non-investment entities that record investments at fair value should also consider whether management has the necessary expertise to perform a valuation of its investments, particularly when the investment entity holds significant investments which are subject to a fair value measurement categorized within level 3 of the fair value hierarchy. Staff encourage consideration of the use of independent valuation experts where appropriate.

### **Fair Value Disclosures**

As noted above, the majority of the investment entities reviewed have significant investments in private entities, with 40% of the reporting issuers reviewed disclosing investments in private entities that represent greater than 80% of their investment portfolio. The fair value measurements for such investments are inherently subject to a greater degree of management estimation due to the lack of observable inputs, and therefore additional disclosures are required by IFRS 13 *Fair Value Measurement* (**IFRS 13**).

IFRS 13.91 recognizes that disclosures of fair value measurements should help users of financial statements assess (a) the valuation techniques and inputs used to develop the fair value measurements; and (b) for recurring fair value measurements using significant level 3 inputs, the effect of the measurements on profit/loss or other comprehensive income.

<sup>3</sup> For additional observations on fair value measurements and disclosures, please refer to OSC Staff Notice 52-723 *Office of the Chief Accountant Financial Reporting Bulletin* (November 2016) which can be found on the OSC website at: [http://www.osc.gov.on.ca/en/SecuritiesLaw\\_sn\\_20161124\\_52-723\\_financial-reporting-bulletin.htm](http://www.osc.gov.on.ca/en/SecuritiesLaw_sn_20161124_52-723_financial-reporting-bulletin.htm)

<sup>4</sup> The objective of a fair value measurement is to estimate the price at which an orderly transaction to sell the asset or to transfer the liability would take place between market participants at the measurement date under current market conditions.



Significant variance was observed in the level of detail provided for fair value disclosures across investment entities. While some investment entities provided very detailed disclosures, others provided disclosure that was generic or vague making it less useful for investors. For example:

- IFRS 13.93(d) requires, among other things, a description of the valuation technique(s) and the inputs used for fair value measurements categorized within level 2 or level 3 of the fair value hierarchy. Some investment entities did not provide this information.
- IFRS 13.93(g) requires a description of the valuation processes used by the entity for fair value measurements categorized within level 3 of the fair value hierarchy. Some investment entities provided boilerplate disclosure that did not describe their valuation processes in sufficient detail for an investor to understand the processes, including the level of rigour and sophistication that the fair value measurements are subject to.
- IFRS 13.93(h) requires a narrative description of the sensitivity of the fair value measurement categorized within level 3 of the fair value hierarchy to changes in significant unobservable inputs. Some investment entities failed to provide this required disclosure, despite its particular importance when an entity has a significant investment in a private company.

To help contextualize some of the disclosure requirements in IFRS 13, the table in **Appendix A** provides some disclosure considerations that investment entities and non-investment entities that record investments at fair value may find useful.

Below are illustrative examples of useful entity specific disclosures with specific reference to IFRS 13.93(d) and IFRS 13.93(h).

**EXAMPLE 6.1(c) – useful description of unobservable inputs for IFRS 13.93(d):**

The Company determines the fair value of Investment E, a real estate company, using the net present value of estimated future cash flows. The most significant unobservable inputs to this calculation are the estimated rental revenue cash inflows (\$7.5 million annually), growth rate (1.5%), and discount rate (12%). The estimated rental revenue cash inflows are based on the type, quality and location of Investment E’s properties and are supported by either existing rental agreements or current external market data. Growth rates are based on external market forecasts for Investment E’s real estate sectors (office and residential), and discount rates are reflective of current market risks and uncertainties.

**EXAMPLE 6.1(d) – useful sensitivity disclosure for IFRS 13.93(h):**

Investment	Unobservable input	Value of input used in valuation	Reasonable potential increase/decrease	Impact on fair value of increase/decrease
Investment A	Gold spot price	\$1,300 USD/oz	+/- X	+/- \$X
	Discount rate	13.5%	+/- X	+/- \$X
Investment B	Revenue multiple	3x	+/- X	+/- \$X
	USD/CAD FX rate	1.35	+/- X	+/- \$X



**6.2 Management’s Discussion & Analysis**

**Analysis of Changes to Fair Value and Investment Portfolio Changes**

Fair value disclosures are significant in both the understanding of the performance of the investment entity and often the related management and performance fees paid or accrued by an investment entity. To meet the requirements of Item 1.2 – Overall Performance and Item 1.4 – Discussion of Operations of Form 51-102F1 Management’s Discussion & Analysis (Form 51-102F1), the MD&A must provide sufficient disclosure about the investment entity’s material investments and portfolio changes.

OSC Staff raised comments where an investment entity’s discussion of the performance of its investment portfolio did not provide enough disclosure to understand:

- material changes to the composition of the investment portfolio (i.e. what specific investments have been purchased/sold or have resulted in unrealized gains and losses during the period); and
- the key drivers of significant fair value changes by investment.

In many cases, the investment entity’s disclosure was not granular enough for investors to have a clear understanding of why fair value changed for specific private investments and the risks and trends in its investment portfolio.

Staff expect investment entities and non-investment entities that record investments at fair value to provide a fulsome analysis in the MD&A of the financial and operational trends for material investments which led to the current determination of fair value.

**EXAMPLE 6.2(a) – deficient disclosure of fair value changes**

- does not discuss specific investments
- does not explain key drivers for fair value changes

The Company recognized a realized loss on its corporate investments of \$4 million and an unrealized gain of \$6 million for the fiscal year ended December 31, 2016. The realized loss was attributable primarily to an investment in the technology sector, while the unrealized gain recorded in the current period was due to increases in the fair value of the Company’s investments in the manufacturing sector.

## EXAMPLE 6.2(b) – enhanced disclosure of fair value changes

- discusses how investment is valued
- explains key inputs and why fair value changed

The Company recognized a realized loss on its corporate investments of \$4 million and an unrealized gain of \$6 million for the fiscal year ended December 31, 2016.

The Company's realized loss of \$4 million was due primarily to the sale of 1,000,000 common shares of Investment B on October 31, 2016, on which the Company recognized a \$3.8 million loss.

The unrealized gain was due primarily to an increase in the fair value of Investment A. The Company determined the fair value of Investment A at December 31, 2016 based on the net present value of estimated future cash flows to be \$15 million (an increase of \$5.5 million from the prior period). The increase in fair value was due to an increase in the estimated future cash flows as a result of the performance of Investment A in the current period, which accounted for a \$4 million increase in fair value, as well as a favorable movement in the USD to CAD foreign exchange rate that increased the fair value of Investment A by \$1.5 million.

Specifically, the revenues and gross margin of Investment A increased significantly for the year ended December 31, 2016. Investment A entered a new geographic market in the Southern U.S. in April which resulted in a 20% increase in sales year over year. Investment A also began outsourcing the production of its principal product, which increased margins year over year by 4%.

### ***Disclosure for Significantly Concentrated Investments***

One third of the investment entities reviewed had a single investment that represented 40% or more of their portfolio. Where such a significant concentration exists, Staff expect sufficient disclosure about the investment to enable investors to evaluate the performance, operations and risks of the investee. This is of particular importance when the investee is private and disclosure is not otherwise available to investors.

For example, NI 51-102 requires summarized financial information of an investment for reporting issuers with significant equity investees. For investment entities and non-investment entities that record investments at fair value, we are of the view that this information may be reflective of the minimum disclosure necessary for significantly concentrated investments generally and can assist in understanding management's judgements in arriving at fair value.

Most investment entities in the Review sample provided some financial and operational information for their significantly concentrated investments in the MD&A. OSC Staff requested summarized financial information be included in the MD&A when it had not been provided, along with a discussion of those results. OSC Staff also requested further disclosure on the risks and operations of concentrated investments in certain instances when such risks could materially impact the investment entity.

OSC Staff found that the above information was often available to the investment entity as part of its investee monitoring process. We recognize that investment entities may have a concentrated investment for which it does not have direct access to the investee's information. Investment

entities that do not have a diversified investment portfolio should consider the need for access to operational and financial investee information upon acquisition.

We may have similar policy concerns and request standalone financial statements as contemplated by National Policy 41-201 *Income Trust and Other Indirect Offerings* where an investment entity's operations are dependent on a single investment and current disclosures are not sufficient for an investor to make an informed investment decision. Investment entities and non-investment entities that record investments at fair value are encouraged to consult with Staff in this circumstance.

### ***Related Party Transactions***

Many investment entities have complex corporate structures, related management companies, and/or other significant related party agreements. Form 51-102F1 requires a discussion of all transactions between related parties as defined by the reporting issuer's generally accepted accounting principles (GAAP).

Many investment entities have a complex management fee structure including ongoing fees and performance based fees. As management fees can be one of the largest expenses of an investment entity, we expect this fee structure and the amounts paid or accrued in the current period to be disclosed in detail in the MD&A. In certain corporate structures where investors receive distributions, the impact of fees on cash flows from operating activities and distributions should also be specifically discussed in the MD&A.

## **6.3 Annual Information Form**

Investment strategies, the investment and management fee structure, and investment specific risk factors are important in understanding the operations and risk profile of an investment entity or a non-investment entity that records investments at fair value. The Review found that:

- the majority of investment entities provided fairly detailed disclosure of its investment policies and oversight, however, some investment entities provided limited disclosure;
- some investment entities with concentrated investments did not provide sufficient investee specific risk factors, including investment entities with investments in emerging markets; and
- in a few instances, more disclosure was required to better understand the investment entity's corporate and management fee structure.

The table in **Appendix B** provides guidance to illustrate industry specific disclosures consistent with certain requirements of Form 51-102F2 *Annual Information Form* (**Form 51-102F2**).

## **6.4 Information Circular**

### ***Executive Compensation Disclosure***

In the Review, it was observed that many investment entities have executive management services provided by an external management company (an **External Manager**). Item 1.3(4) of Form 51-102F6 *Statement of Executive Compensation (in respect of financial years ending on or after December 31, 2008)* (**51-102F6**) and Item 2.2 of Form 51-102F6V *Statement of Executive Compensation – Venture Issuers* (**51-102F6V**) requires disclosure of compensation paid to a reporting issuer's named executive officers or NEOs (as defined in 51-102F6) (**NEOs**), employed or retained by an External Manager.

Where NEOs were paid a salary by the investment entity or the External Manager, OSC Staff found that investment entities were generally disclosing the compensation paid to NEOs. However, it was also observed that compensation to NEOs may be deferred or provided in a manner other than in the form of wages. An illustrative example is where a NEO is also the owner of, or otherwise holds an equity position in, the External Manager. As a result, OSC Staff found certain investment entities disclosing no compensation having been paid to its NEOs, although the investment entity paid a management fee to the External Manager for services that would include the provision of executive management services.

The provisions of 51-102F6 and 51-102F6V are intended to capture the wide variety of ways in which the form, timing and manner of compensation can be made. Investment entities and their boards of directors should make every attempt to allocate what they view to be the portion of the management fee attributable to the services provided by their NEOs, regardless of how compensation is paid.

**EXAMPLE 6.4(a) – deficient executive compensation disclosure**

- does not identify NEOs
- no compensation disclosed for NEOs

The Company paid \$2,000,000 to the Manager under the Management Agreement for the year ended December 31, 2016. The Company’s officers are not employees of the Company. The services of the Company’s officers are provided pursuant to the Management Agreement.

**EXAMPLE 6.4(b) – enhanced executive compensation disclosure**

- provides an allocation of the management fee for NEO services

Name	Year	Salary (\$)	Share-based awards (\$)	Non-equity incentive plan compensation (\$)	Pension value (\$)	All other compensation (\$)	Total (\$)
CEO	2016	300K	Nil	Nil	Nil	Nil	300K
CFO	2016	250K	Nil	Nil	Nil	Nil	250K

The Company paid \$2,000,000 to the Manager under the Management Agreement for the year ended December 31, 2016. The services of the CEO and CFO are provided pursuant to the Management Agreement. The salary of the CEO and CFO reflect an allocation of the management fee attributable to the services of the CEO and CFO based on the estimated fair value of such services.

## **Management Contracts**

In the Review, it was noted that many investment entities have an external investment manager that provides investment management or advisory services to the investment entity (an **Investment Manager**).

In light of an investment entity's overall business being generally the investment of funds for capital appreciation, investment income and other returns, OSC Staff may take the view that an Investment Manager is performing a substantial management function of the investment entity, depending on the services and authority that the Investment Manager had with respect to the investment decisions of the investment entity. For example, where an Investment Manager exercised significant discretion in selecting and executing upon investments for an investment entity's portfolio, OSC Staff took the view that it was providing a substantial management function of the investment entity.

In such cases, an investment entity should be aware of the disclosure requirements relating to the agreement entered into with its Investment Manager, particularly with respect to the filing of material contracts under Part 12 of NI 51-102 and disclosure under Item 13 of Form 51-102F5 - *Information Circular*.

## **6.5 Insider Reporting**

The operations of an investment entity may result in certain insider reporting considerations. While the majority of the reviews did not identify insider reporting non-compliance, OSC Staff did observe the following:

- Not all investment entities considered their Investment Managers to be reporting insiders under NI 55-104 *Insider Reporting Requirements and Exemptions (NI 55-104)*. Subparagraph (f) to the definition of "reporting insider" (**Subparagraph (f)**) includes a management company (including its directors, certain executives and significant shareholders) that provides significant management or administrative services to the investment entity or a major subsidiary. In light of an investment entity's overall business (as described above) and the role performed by an Investment Manager in such business, OSC Staff have taken the view that an Investment Manager is a reporting insider pursuant to Subparagraph (f).
- Insider and early warning reports were not filed for certain investment holdings.

### **Additional Insider Reporting Considerations – Concentrated Investments**

Investment entities with significantly concentrated investments should also consider whether the investee and/or its officers or directors are reporting insiders under the definition of "reporting insider" of NI 55-104. We encourage reporting issuers to adopt internal policies prohibiting trading by insiders of material investees in the securities of the reporting issuer while in the possession of material undisclosed information about such investee entities.

## 6.6 Other Considerations

### ***Investment Entity Reporting Issuers with Material Mining or Oil and Gas Investments***

Investment entities with material mining or oil and gas investments need to consider the applicability of technical disclosure requirements in NI 43-101 *Standards of Disclosure for Mineral Projects (NI 43-101)* and NI 51-101 *Standards of Disclosure for Oil and Gas Activities* in their filings.

For example, the disclosure of technical information relating to a material investee may trigger the requirement to file a technical report under NI 43-101. In addition, if the investment entity files an AIF, disclosure requirements of Item 5.4 – *Companies with Mineral Projects* or Item 5.5 – *Companies with Oil and Gas Activities* of Form 51-102F2 may apply.

Investment entities are encouraged to consult Staff if there is uncertainty relating to the applicability of the above requirements.

### ***Prospectus Pre-Filing Matters***

IPOs of investment entities may raise disclosure and regulatory policy concerns when the investment entity has few investments or when the net proceeds of the offering are largely unallocated. We recommend submitting a pre-filing application to address these issues with Staff in advance of the filing of a preliminary prospectus.

## **Conclusion**

In Ontario, we have seen an increase in the number of reporting issuers that have determined they are an investment entity under IFRS 10 and therefore, except in limited circumstances, measure substantially all of their investments at fair value through profit and loss, including investments in subsidiaries. While some investment entities have provided detailed disclosures in continuous disclosure filings, improvements were required in many areas to provide sufficient disclosure to investors. Investment entities must ensure investors are receiving complete and transparent information about their underlying investments to make informed investment decisions.

Companies considering an IPO or a change in business to become an investment entity should carefully consider the disclosure requirements detailed above and the guidance in this notice to assist them with meeting the regulatory requirements following the adoption of the investment entity provisions of IFRS 10.

We will continue to evaluate the disclosure of our reporting issuers that are investment entities and will consider the need for policy changes if we believe these reporting issuers are not providing sufficient disclosure to their investors.

## Questions

Please refer your questions to any of the following people:

### **Ontario Securities Commission**

Sonny Randhawa  
Deputy Director, Corporate Finance  
(416) 204-4959  
[srandhawa@osc.gov.on.ca](mailto:srandhawa@osc.gov.on.ca)

Mark Pinch  
Associate Chief Accountant, Office of the Chief Accountant  
(416) 593-8057  
[mpinch@osc.gov.on.ca](mailto:mpinch@osc.gov.on.ca)

Jodie Hancock  
Senior Accountant, Corporate Finance  
(416) 593-2316  
[jhancock@osc.gov.on.ca](mailto:jhancock@osc.gov.on.ca)

Tamara Driscoll  
Accountant, Corporate Finance  
(416) 596-4292  
[tdriscoll@osc.gov.on.ca](mailto:tdriscoll@osc.gov.on.ca)

Steven Oh  
Legal Counsel, Corporate Finance  
(416) 595-8778  
[soh@osc.gov.on.ca](mailto:soh@osc.gov.on.ca)

### **Financial and Consumer Affairs Authority of Saskatchewan**

Tony Herdzik  
Deputy Director, Corporate Finance  
(306) 787-5849  
[tony.herdzik@gov.sk.ca](mailto:tony.herdzik@gov.sk.ca)

### **Alberta Securities Commission**

Cheryl McGillivray  
Manager, Corporate Finance  
(403) 297-3307  
[cheryl.mcgillivray@asc.ca](mailto:cheryl.mcgillivray@asc.ca)

## APPENDIX A FAIR VALUE MEASUREMENT DISCLOSURE CONSIDERATIONS

IFRS 13 Requirement <sup>5</sup>	Disclosure Considerations
<p>Description of valuation processes</p> <p><b>IFRS 13.93(g)</b></p>	<ul style="list-style-type: none"> <li>➤ Who is responsible for determining and reviewing fair value measurements? Does the investment entity have a valuation committee?</li> <li>➤ What is the role of the board of directors and the audit committee in fair value measurements?</li> <li>➤ Does the investment entity engage the services of an independent valuation expert?</li> </ul>
<p>Description of valuation techniques and inputs</p> <p><b>IFRS 13.93(d)</b></p>	<ul style="list-style-type: none"> <li>➤ When comparable companies are considered in the fair value analysis, how does management determine which companies are considered comparable?</li> <li>➤ When recent transaction prices are utilized, what did management consider to be "recent"? At what time would management determine that a transaction price was outdated and therefore not reflective of current fair value?</li> <li>➤ How does management consider other relevant factors such as investee performance to plan, general industry conditions, funding availability and liquidity discounts in its valuation?</li> </ul>
<p>Quantitative information about significant unobservable inputs</p> <p><b>IFRS 13.93(d)</b></p>	<ul style="list-style-type: none"> <li>➤ Is disclosure detailed enough to understand the use of discount rates, valuation multiples, expected volatility, discounts for lack of marketability and how they are derived?</li> <li>➤ Does the disclosure of an input that is used in the valuation of multiple investments (such as a discount rate) have a wide range that suggests information is not sufficiently disaggregated by investment?</li> </ul>
<p>Disclosures for each class of assets</p> <p><b>IFRS 13.93 &amp; 94</b></p>	<ul style="list-style-type: none"> <li>➤ Does the investment entity's determination of asset classes give adequate consideration to the nature, characteristics and risks of its investment portfolio?</li> <li>➤ Do risks differ by industry or stage of development of the investee resulting in additional disaggregation being useful?</li> </ul>
<p>Description of sensitivity to changes in unobservable inputs</p> <p><b>IFRS 13.93(h)</b></p>	<ul style="list-style-type: none"> <li>➤ Would a change in unobservable inputs result in a materially different fair value measurement?</li> <li>➤ What are the interrelationships between unobservable inputs used in the fair value measurement? How would those interrelationships magnify or mitigate the effect of changes in the unobservable inputs on the fair value measurement?</li> </ul>

<sup>5</sup> IFRS 13.93(d) is applicable to fair value measurements categorized within level 2 or level 3 of the fair value hierarchy, while IFRS 13.93(g) and (h) are applicable only to those categorized within level 3.



**APPENDIX B**  
**DISCLOSURE GUIDANCE TO MEET THE REQUIREMENTS OF FORM 51-102F2**

Form 51-102F2 Requirement	Disclosure Considerations
<b>Corporate Structure</b>  <i>Item 3 of Form 51-102F2</i>	<ul style="list-style-type: none"> <li>➤ Does disclosure also provide an understanding of the investment entity’s investment structure and fees with entities that provide management or advisory services?</li> </ul>
<b>Description of Business</b>  <i>Item 5.1 of Form 51-102F2</i>	<p><u>Investment Strategies and Oversight:</u></p> <ul style="list-style-type: none"> <li>➤ What are the criteria used for investment selection? Are there targets or restrictions by geography, industry, stage of development or type of security?</li> <li>➤ What is the time horizon for investments and how are investments anticipated to be realized?</li> <li>➤ What is the investment entity’s involvement with investee companies? Is there involvement on the board of directors of investee companies?</li> <li>➤ What processes does the investment entity have to monitor ongoing performance? What information is reviewed by the investment entity?</li> <li>➤ Who is on the investment committee and what are the key responsibilities of the committee?</li> <li>➤ What is the role of an Investment Manager vs the investment entity?</li> <li>➤ What is the role of the board of directors in approving investment purchases, sales and valuations?</li> </ul> <p><u>Concentrated Investments</u></p> <ul style="list-style-type: none"> <li>➤ What information is required to understand the operations and industries of significant and material investees?</li> </ul>
<b>Risk Factors</b>  <i>Item 5.2 of Form 51-102F2</i>	<ul style="list-style-type: none"> <li>➤ What are the key risks related to the reporting issuer’s investment strategy? Is concentration risk, currency risk or valuation risk related to investments in private companies adequately disclosed?</li> <li>➤ Does the investment or fee structure result in conflicts of interest? How is this risk mitigated?</li> <li>➤ What are the risks of the industries that the investment entity significantly invests in?</li> <li>➤ For concentrated investments, what are the key risks of the investee?</li> <li>➤ What are the regulatory, legal and economic risks of investing in companies that operate in emerging markets?</li> </ul>
<b>Material Contracts</b>  <i>Item 15 of Form 51-102F2</i>	<ul style="list-style-type: none"> <li>➤ Are agreements to provide management or investment services included as material contracts?</li> <li>➤ Are agreements with significant investees and credit agreements considered to be material contracts?</li> </ul>



**1.5 Notices from the Office of the Secretary**

**1.5.1 Krishna Sammy**

**FOR IMMEDIATE RELEASE  
March 9, 2017**

**IN THE MATTER OF  
THE SECURITIES ACT,  
RSO 1990, c S.5**

**AND**

**IN THE MATTER OF  
A REQUEST FOR A HEARING AND REVIEW OF  
THE DECISION OF A HEARING PANEL OF  
THE INVESTMENT INDUSTRY REGULATORY  
ORGANIZATION OF CANADA**

**AND**

**IN THE MATTER OF  
KRISHNA SAMMY**

**TORONTO** – The Commission issued an Order in the above named matter which provides that:

1. Commission Staff and IIROC Staff shall serve and file responding written submissions by no later than 4:30 p.m. EDT on March 31, 2017;
2. Sammy shall serve and file reply submissions, if any, by no later than 4:30 p.m. EDT on April 7, 2017;
3. the hearing of the Application for Hearing and Review shall commence on May 3, 2017, at 10:00 a.m. EDT.

A copy of the Order dated March 8, 2017 is available at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

OFFICE OF THE SECRETARY  
GRACE KNAKOWSKI  
SECRETARY TO THE COMMISSION

For media inquiries:

[media\\_inquiries@osc.gov.on.ca](mailto:media_inquiries@osc.gov.on.ca)

For investor inquiries:

OSC Contact Centre  
416-593-8314  
1-877-785-1555 (Toll Free)

**1.5.2 Mark Steven Rotstein and Equilibrium Partners Inc.**

**FOR IMMEDIATE RELEASE  
March 9, 2017**

**IN THE MATTER OF  
THE SECURITIES ACT,  
RSO 1990, c S.5**

**AND**

**IN THE MATTER OF  
MARK STEVEN ROTSTEIN AND  
EQUILIBRIUM PARTNERS INC.**

**TORONTO** – The Commission issued an Order in the above named matter which provides that the final interlocutory appearance is adjourned to a date to be fixed by the Secretary's Office.

A copy of the Order dated March 9, 2017 is available at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

OFFICE OF THE SECRETARY  
GRACE KNAKOWSKI  
SECRETARY TO THE COMMISSION

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## Chapter 2

# Decisions, Orders and Rulings

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### 2.1 Decisions

#### 2.1.1 Total S.A.

##### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Application for relief from the prospectus and dealer registration requirement in respect of certain trades of securities in connection with employee share offerings by a French issuer – The offerings will involve the use of collective employee shareholding vehicles, each a fonds communs de placement d'entreprise (FCPE) – The filer cannot rely on the employee prospectus exemption in section 2.24 of National Instrument 45-106 Prospectus Exemptions or the plan administrator exemption in section 8.16 of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations as the shares are not being offered to Canadian employees directly by the issuer, but through the FCPEs – Canadian employees will receive disclosure documents – The FCPEs are subject to the supervision of the French Autorité des marchés financiers – The employee share offering plan involving a swap element is limited to leverage of four times the initial investment of the employee – Relief granted, subject to conditions and a 5 year sunset clause.

##### Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 74(1).

Citation: Re Total S.A., 2017 ABASC 37

March 6, 2017

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ALBERTA AND ONTARIO  
(the Jurisdictions)

AND

IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF  
TOTAL S.A.  
(the Filer)

DECISION

##### Background

The securities regulatory authority or regulator in each of the Jurisdictions (the **Decision Maker**) has received an application from the Filer for a decision under the securities legislation of the Jurisdictions (the **Legislation**) for:

1. an exemption from the prospectus requirement (**Prospectus Relief**) with respect to each distribution of:
  - (a) a unit of FCPE Total Actionnariat International Relais 2017 (the **Intermediary Fund**) pursuant to the global employee share offering of the Filer for 2017 (the **Current Employee Offering**) to or with an employee of a Canadian related entity of the Filer (a **Canadian Related Entity**), including, Atotech Canada Ltd., Hutchinson Aéronautique et Industrie Limitée, Total Canada Inc., Total E&P Canada Limited and Total Trading and Marketing Canada, who is on the payroll of a Canadian Related Entity at the end of the subscription period for

the Current Employee Offering and who has been employed thereby at the closing of the subscription period and for at least a specified minimum period prior thereto (a **Qualified Canadian Participant**);

- (b) a unit of TAIC COMPARTIMENT A subfund of the Total Actionnariat International Capitalisation fund (the **Classic Fund**, and together with the Intermediary Fund, the **Classic Funds**) to or with a Qualified Canadian Participant;
- (c) a unit of Total Intl B Capital + 2017 subfund of the Total Intl Capital fund (the **+Fund**) to or with a Qualified Canadian Participant;
- (d) a unit of the Classic Fund that is created as a result of the merger of the Intermediary Fund with the Classic Fund whereby Qualified Canadian Participants' units in an Intermediary Fund are exchanged for units of the Classic Fund;
- (e) a unit of either of the Classic Funds that a Qualified Canadian Participant receives by virtue of any dividend paid on the common shares of the Filer (the **Shares**) held in either of the Classic Funds for Qualified Canadian Participants that results in the subsequent issuance of additional units of either of the Classic Funds to a Qualified Canadian Participant;
- (f) a unit of either of the Classic Funds by a Qualified Canadian Participant to either of the Classic Funds, or a Share by either of the Classic Funds to a Qualified Canadian Participants, upon the redemption of units by a Qualified Canadian Participant;
- (g) a unit of the +Fund by a Qualified Canadian Participant to the +Fund, or a Share by the +Fund to a Qualified Canadian Participant, upon the redemption of units of the +Fund by a Qualified Canadian Participant;
- (h) a unit of the Classic Fund that a Qualified Canadian Participant receives by virtue of any merger of the +Fund with the Classic Fund; and
- (i) a unit distributed in connection with a Subsequent Employee Offering (as described below and, together with the Current Employee Offering, an **Employee Offering**);

2. an exemption from the dealer registration requirement (**Registration Relief**, and together with the Prospectus Relief, the **Exemptive Relief Sought**) in respect of each of the Filer, the Canadian Related Entities, the Classic Funds, the +Fund and the respective manager from time to time of each of the Classic Funds and the +Fund (the **Manager**), with respect to each trade of:

- (a) a unit of the Intermediary Fund for the Current Employee Offering to or with a Qualified Canadian Participant;
- (b) a unit of either of the Classic Funds to or with a Qualified Canadian Participant;
- (c) a unit of the +Fund to or with a Qualified Canadian Participant;
- (d) a unit of the Classic Fund that is created as a result of the merger of any Intermediary Fund with the Classic Fund whereby Qualified Canadian Participants units in an Intermediary Fund are exchanged for units of the Classic Fund;
- (e) a unit of either of the Classic Funds that a Qualified Canadian Participant receives by virtue of any dividend paid on the Shares held in the Classic Funds for Qualified Canadian Participants that results in the subsequent issuance of additional units of either of the Classic Funds to a Qualified Canadian Participant;
- (f) a unit of the Classic Fund that a Qualified Canadian Participant receives by virtue of any redemption of units of the +Fund for units of the Classic Fund;
- (g) a unit of the Classic Fund that a Qualified Canadian Participant receives by virtue of any merger of the +Fund with the Classic Fund; and
- (h) a unit distributed in connection with a Subsequent Employee Offering.

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a dual application):

- (a) the Alberta Securities Commission is the principal regulator for this application;

- (b) the Filer has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in Québec; and
- (c) the decision is the decision of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

### **Interpretation**

Terms defined in National Instrument 14-101 *Definitions* or in MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

In this decision, **related entity** has the same meaning given to such term in section 2.22 of National Instrument 45-106 *Prospectus Exemptions*.

### **Representations**

This decision is based on the following facts represented by the Filer:

1. The Filer is a corporation formed under the laws of France.
2. The Shares are listed on the Euronext Paris Eurolist and on the New York Stock Exchange (in the form of American Depositary Shares).
3. The Filer is not and has no current intention of becoming a reporting issuer under the securities legislation in any of the jurisdictions of Canada.
4. Each of the Canadian Related Entities is a direct or indirect controlled subsidiary of the Filer and is not, and has no current intention of becoming, a reporting issuer under the securities legislation in any of the jurisdictions of Canada.
5. Neither the Filer nor any of its Canadian Related Entities is in default of securities legislation in any of the jurisdictions of Canada.
6. Qualified Canadian Participants will be invited to participate in an Employee Offering under the terms of two subscription options: the “classic plan” (the **Classic Plan**) and the “capital + plan” (the **Capital + Plan**), both intended to provide Qualified Canadian Participants with an opportunity to indirectly hold an investment in the Shares.
7. Each subsequent offering (**Subsequent Employee Offering**) to employees of a Canadian Related Entity or an entity that is directly or indirectly controlled by the Filer will be similar to the Current Employee Offering, with each of the representations in paragraphs 3 through 5, 8, 9, 11 through 20, and 24 through 35 hereof being applicable (save for the identities of particular special-purpose entities).
8. The Employee Offerings will be made only to employees of the Filer, of the Canadian Related Entities or of other entities directly or indirectly controlled by the Filer.
9. Only participants in an Employee Offering are allowed to hold units of the Classic Funds and the +Fund.
10. For the Current Employee Offering, there are approximately 393 Qualified Canadian Participants resident in Canada (approximately 265 in Québec, 39 in Ontario and 89 in Alberta) who represent in aggregate less than 0.40% of the Filer’s employees worldwide.
11. Qualified Canadian Participants will not be induced to participate in an Employee Offering by expectation of employment or continued employment. Participation in an Employee Offering is optional. The total cumulative amount invested by a Qualified Canadian Participant in an Employee Offering under both plans cannot exceed a specified percentage (currently 25%) of his or her estimated gross annual remuneration or allocations for the calendar year in which an Employee Offering occurs.
12. Qualified Canadian Participants can complete a subscription form during a prescribed subscription period indicating the amount they wish to invest in the Employee Offering. The subscription price will be communicated to the Qualified Canadian Participants and will be determined by the commencement of the subscription period. The subscription price will be determined as provided for in paragraph 19(b) and paragraph 20(a) below for the Classic Funds and the +Fund, respectively.

13. The Classic Funds and the +Fund are and will be collective shareholding vehicles of a type commonly used in France for investing in shares of an issuer by employee-investors.
14. The Classic Funds and +Fund must be registered and approved by the French Autorité des marchés financiers (**AMF France**) at the time of their creation.
15. The Classic Funds and the +Fund are not and have no current intention of becoming reporting issuers under the securities legislation in any of the jurisdictions in Canada.
16. After each Employee Offering, the relevant Intermediary Fund and the Classic Fund will invest in Shares. From time to time, cash in respect of dividends paid on the Shares held in the Classic Funds will be reinvested in Shares. Classic Funds may also hold cash or cash equivalents pending investments in the Shares and for the purpose of unit redemptions.
17. After each Employee Offering, the +Fund will invest in Shares and may also hold cash or cash equivalents pending investments in the Shares and for the purpose of unit redemptions.
18. The payment of dividends on the Shares (in the ordinary course or otherwise) is strictly determined by the board of directors of the Filer and approved by the shareholders of the Filer. The Filer has not made any commitment to the Bank (as defined below) as to any minimum payment of dividends during the term of the Lock-Up Period (as defined below).
19. Under the Classic Plan, an Employee Offering will involve an offering of Shares to be subscribed through the Classic Funds as follows:
  - (a) Qualified Canadian Participants will subscribe for and be issued units of the relevant Intermediary Fund which will, in turn, subscribe for and hold Shares on behalf of the Qualified Canadian Participants;
  - (b) the subscription price for Shares is equal to the price calculated as the average of the closing prices of the Shares for a specified number of trading days ending on a date preceding the date of the corporate decision on which the dates of the subscription period are set and the Employee Offering commences (the **Reference Price**), less a specified discount to the Reference Price;
  - (c) after completion of an Employee Offering, the Intermediary Fund will be merged with the Classic Fund, and the units of the Intermediary Fund held by Qualified Canadian Participants will be exchanged for units of the Classic Fund and the Shares previously held by the Intermediary Fund will be held in the Classic Fund;
  - (d) the units of the Classic Funds will be subject to a hold period of approximately five years from the issuance date (the **Lock-Up Period**), subject to certain exceptions prescribed by French law (such as a release on death, disability or termination of employment);
  - (e) any dividends paid on the Shares held in the Classic Funds on behalf of a Qualified Canadian Participant, and any income and earnings on the assets in the Classic Funds, will be used by the Classic Funds to purchase more Shares, and additional units will be issued to the Qualified Canadian Participant to reflect such additional Shares being held in the Classic Funds;
  - (f) at the end of the Lock-Up Period, or any time thereafter, or in the event of an early release outlined under paragraph 19(d), a Qualified Canadian Participant may:
    - (i) redeem his or her units in the Classic Funds for the Qualified Canadian Participant's pro rata portion of the underlying Shares held in the Classic Funds or a cash payment equal to the net asset value of the units held by the Qualified Canadian Participant in the Classic Funds; or
    - (ii) continue to hold his or her units in the Classic Funds and redeem those units at a later date;
  - (g) units of Classic Funds held by Qualified Canadian Participants are not transferable, except when the units held by the Qualified Canadian Participants are exchanged when the Intermediary Fund merges with the Classic Fund; and
  - (h) units of Classic Funds will not be listed on any exchange.
20. Under the Capital + Plan, an Employee Offering will involve an offering of Shares to be subscribed through the +Fund as follows:



- (a) Qualified Canadian Participants will subscribe for and be issued units of the +Fund, which will, in turn, subscribe for Shares on behalf of the Qualified Canadian Participants, at a subscription price that is equal to the Reference Price less a specified discount to the Reference Price;
- (b) the +Fund will enter into a swap transaction (a **Swap Transaction**) with a bank (the **Bank**, which at present is Credit Agricole Corporate and Investment Bank), under which it will receive from the Bank an amount equal to a specified multiple of the initial investment of each Qualified Canadian Participant (the **Multiple**), and under which:
  - (i) the +Fund, using money received by the +Fund from the Qualified Canadian Participants and from the Bank pursuant to the Swap Transaction, subscribes for a number of Shares corresponding to the total of:
    - A. the Shares subscribed with the subscription amount received from each Qualified Canadian Participant; plus
    - B. additional Shares equal to the Multiple;
  - (ii) the Filer issues to the +Fund a number of Shares corresponding to:
    - A. the subscription amount received from its Qualified Canadian Participants; plus
    - B. the additional Shares corresponding to the Multiple;
  - (iii) the +Fund will:
    - A. pay to the Bank an amount equivalent to the sum of all dividends that it receives on Shares on each payment date thereof; and
    - B. at the end of the Lock-Up Period, or earlier in the case of early release (as outlined in paragraph 20(c)), transfer to the Bank all Shares held by the +Fund (in the case of early release, the +Fund will transfer to the Bank only the Shares that correspond to the redeemed units); and
  - (iv) the Bank will pay to the +Fund at the end of the Lock-Up Period, or earlier in the case of early release, an amount equal to:
    - A. 100% of the initial subscription by a Qualified Canadian Participant; plus
    - B. the amount which is the greater of:
      - (1) a multiplier of the Protected Average Increase (as described below) of a Share over the Reference Price (the **Stake in the Protected Average Increase**); and
      - (2) a specified annual capitalized return on the Qualified Canadian Participant's initial investment (the **Annual Compound Return**);
- (c) the subscribed Shares will be held in the +Fund until the end of the Lock-Up Period, or earlier, in the case of early release, and the Qualified Canadian Participant will receive units in the +Fund;
- (d) any dividends paid on Shares and any income and earnings on other assets held in the +Fund will not increase the value of +Fund units; rather, those amounts will be transferred to the Bank under the Swap Transaction as described above;
- (e) the Lock-Up Period will apply to +Fund units, subject to certain early release exceptions prescribed by French law (such as a release on death, disability or termination of employment);
- (f) at the end of the Lock-Up Period (or earlier, in the case of a permitted early release) Qualified Canadian Participants will be entitled to receive from the +Fund 100% of their initial contribution, plus the greater of:
  - (i) the Stake in the Protected Average Increase; and

- (ii) the Annual Compound Return (pro-rated in the event of an early release);
  - (g) the “Protected Average Increase” will be calculated on the basis of the average of values of the Share recorded a set number of times per month between the date of the subscription by the +Fund for Shares and the end of the Lock-Up Period, each value being the greater of the Reference Price and the price of the Share on such record date;
  - (h) before the end of the Lock-Up Period, Qualified Canadian Participants will choose between:
    - (i) redemption of their units in cash or in Shares; and
    - (ii) redemption of their units of the +Fund in exchange for units of the Classic Fund;
  - (i) accordingly, at the end of the Lock-Up Period, a Qualified Canadian Participant may:
    - (i) redeem his or her units in the +Fund for cash (or Shares having an equal value) equal to his or her initial contribution plus the greater of: (x) the Stake in the Protected Average Increase; and (y) the Annual Compound Return; or
    - (ii) continue holding units of the Classic Fund received in exchange for his or her units of the +Fund;
  - (j) any Qualified Canadian Participant who does not select between (i) redemption of his or her Units in cash or Shares, or (ii) transfer of his or her Units to the Classic Fund, as described above, will be deemed to have elected (ii);
  - (k) at the end of the Lock-Up Period, the +Fund may be merged into the Classic Fund;
  - (l) units of the +Fund held by Qualified Canadian Participants are not transferable, except as described above; and
  - (m) +Fund units will not be listed on any exchange.
21. The initial value of a unit of an Intermediary Fund will be approximately equal to the subscription price of a Share as described above in paragraph 19(b).
22. The value of a unit of the Classic Fund is tied to the market price of Shares, plus or minus 1%. The value of a unit any of the Classic Funds will be based on the relevant fund’s net assets divided by the number of its units outstanding.
23. The initial value of a unit of the +Fund is calculated based on the value of underlying assets of the +Fund.
24. Subject to the Lock-Up Period hold requirement described above, the Classic Funds and the +Fund will redeem units at the request of a Qualified Canadian Participant, making payment in cash or the equivalent number of Shares. The amount payable on redemption of a unit of the Classic Funds will be based of the per-unit net asset value of such fund. The amount payable on redemption of a +Fund unit will be as set out in paragraph 20(f).
25. It is anticipated that any resale by a Qualified Canadian Participant of Shares received on the redemption of units of the Classic Funds or of the +Fund will be effected through the facilities of, and in accordance with the rules of, a foreign exchange.
26. Shares issued under an Employee Offering will be deposited in the relevant Intermediary Fund or in the +Fund through a depository (the **Depository**). The Depository will carry out orders to purchase and sell securities, and take all necessary action to allow the Classic Funds and +Fund to exercise the rights relating to the Shares held. The Depository must carry out its activities in accordance with French law. The current Depository is CACEIS Bank France, a large French commercial bank.
27. The Classic Funds and the +Fund are or will be established by their respective Manager and the Filer. The Manager will be a portfolio management company governed by the laws of France. The Manager will be registered with AMF France to manage French investment funds and will comply with the rules of AMF France. At present, the Manager of each of the Classic Funds and +Fund is Amundi Asset Management, a limited liability company registered in the *Paris Trade and Companies Register*. It is not and has no current intention of becoming a reporting issuer under the securities legislation in any of the jurisdictions of Canada, nor is it registered as an adviser or a dealer under the securities legislation in any of the jurisdictions of Canada. To the best of the Filer’s knowledge, the Manager is not in default of securities legislation in any of the jurisdictions of Canada.

## Decisions, Orders and Rulings

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28. The Manager's portfolio management activities in connection with Employee Offerings will be limited to purchasing Shares from the Filer and selling such Shares (in the case of the Classic Funds, in a marketplace (as defined in National Instrument 21-101 *Marketplace Operation* (a **Marketplace**)); and in the case of the +Fund, to the Bank) as necessary in order to fund redemption requests. The Filer, the Manager, the Canadian Related Entities or any of their employees, agents or representatives will not be involved in providing advice to any Qualified Canadian Participant with respect to investments in the Shares, units of the Classic Funds or units of the +Fund. Manager's activities will in no way affect the underlying value of the Shares or of units of the Classic Funds or the +Fund.
29. The management of each of the Classic Funds and the +Fund will be overseen by a separate supervisory board (the **Supervisory Board**) comprised of employee unitholders from the various geographical zones in which the Filer operates and management representatives of the Filer. The Supervisory Board's duties will include, among other things, examining the Classic Funds' and the +Fund's management reports and annual accounts, reviewing major changes in the Classic Funds and +Fund and making decisions about the merger of an Intermediary Fund with the Classic Fund.
30. Administrative, accounting, audit and financial management expenses incurred by the Classic Funds and the +Fund will be paid by the Filer. Other expenses incurred by the Classic Funds and the +Fund, including transaction fees relating to the purchase or sale of Shares, will be borne by the respective fund and paid from its assets.
31. Qualified Canadian Participants will receive an information package in French or English, as they choose, which will include a summary of the terms of the applicable Employee Offering and a description of relevant Canadian income tax consequences.
32. Qualified Canadian Participants will have access, through the Filer's website, to the Filer's annual report filed with the United States Securities and Exchange Commission and to the continuous disclosure furnished by the Filer to its shareholders generally.
33. A copy of the rules of the Classic Fund or of +Fund will be made available to Qualified Canadian Participants when they receive their application to subscribe for units of an Intermediary Fund or of the +Fund, respectively.
34. Each Qualified Canadian Participant will receive, at least annually, a statement of account.
35. As of the date hereof and after giving effect to the Employee Offering, Qualified Canadian Participants do not and will not beneficially own more than 10% of the Shares and do not and will not represent in number more than 10% of the total number of holders of the Shares as shown on the books of the Filer.

### Decision

Each of the Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Maker to make the decision.

The decision of the Decision Makers under the Legislation is that the Exemptive Relief Sought is granted:

- (a) for the Current Employee Offering provided that:
  - (i) the first trade of any security acquired by a Qualified Canadian Participant pursuant to this decision, in a Jurisdiction, is deemed to be a distribution or a primary distribution to the public under the Legislation of such Jurisdiction unless the following conditions are met:
    - A. the issuer of the security:
      - (1) was not a reporting issuer in any jurisdiction of Canada at any time during the distribution; and
      - (2) is not a reporting issuer in any jurisdiction of Canada at the date of such first trade;
    - B. at the date of any distribution under the Current Employee Offering, residents of Canada:
      - (1) do not own directly or indirectly more than 10% of outstanding Shares; and
      - (2) did not represent in number more than 10% of the total number of direct or indirect owners of outstanding Shares; and

- C. the trade is made:
    - (1) through a Marketplace outside Canada; and
    - (2) to a person or company outside Canada; and
  - D. in Québec, the required fees are paid in accordance with subsection 271.6(1.1) of the *Securities Regulation* (Québec); and
- (b) for any Subsequent Employee Offerings under this decision completed within five years from the date of this decision provided that the representations in paragraphs 3 through 5, 8, 9, 11 through 20, and 24 through 35 (varied to reflect the identities of particular special-purpose entities) remain true and correct in respect of that Subsequent Employee Offering, and the conditions set out in paragraph (a) above (varied such that any reference therein to the Current Employee Offering is read as a reference to the relevant Subsequent Employee Offering) are satisfied, as of the date of any distribution of a security under such Subsequent Employee Offering.

**For the Commission:**

“Tom Cotter”  
Vice-Chair

“Stephen Murison”  
Vice-Chair

## 2.1.2 McEwen Mining Inc.

### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – exemption from the prospectus requirement for certain marketing activities not expressly permitted by National Instrument 71-101 The Multijurisdictional Disclosure System so that investment dealers acting as underwriters or selling group members of an issuer are permitted to use standard term sheets and marketing materials and conduct road shows (each as defined under National Instrument 41-101 General Prospectus Requirements) in connection with future offerings under an MJDS base shelf prospectus – NI 71-101 does not contain equivalent provisions to Part 9A of National Instrument 44-102 Shelf Distributions – relief granted, provided that any road shows, standard term sheets and marketing materials would comply with the approval, content, use and other conditions and requirements of Part 9A of NI 44-102, as applicable.

### Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., ss. 53, 74(1)2.

National Instrument 71-101 The Multijurisdictional Disclosure System, s. 11.3.

March 10, 2017

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF ONTARIO  
(THE JURISDICTION)

AND

IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF  
MCEWEN MINING INC.  
(THE FILER)

DECISION

### Background

The principal regulator in the Jurisdiction has received an application from the Filer for a decision under the securities legislation of the Jurisdiction, pursuant to paragraph 74(1)2 of the *Securities Act* (Ontario) (the **Legislation**), for an exemption from the prospectus requirement for certain marketing activities not expressly permitted by National Instrument 71-101 *The Multijurisdictional Disclosure System* (**NI 71-101**) so that investment dealers acting as underwriters (as defined in the Legislation) or selling group members of (a) the Filer, or (b) a selling securityholder of the Filer are permitted to (i) use Standard Term Sheets (as defined below) and Marketing Materials (as defined below), and (ii) conduct Road Shows (as defined below) in connection with future offerings under a Final Canadian MJDS Shelf Prospectus (as defined below), together with applicable supplements as filed by the Filer in each of the provinces of Canada, other than the province of Québec (the **Exemption Sought**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application; and
- (b) the Filer has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in the provinces of British Columbia, Alberta, Saskatchewan, Manitoba, New Brunswick, Nova Scotia, Prince Edward Island and Newfoundland and Labrador (together with the Jurisdiction, collectively, the **Jurisdictions**).

### Interpretation

Terms defined in National Instrument 14-101 *Definitions*, MI 11-102 and NI 71-101 have the same meaning if used in this decision, unless otherwise defined.

## Representations

This decision is based on the following facts represented by the Filer:

1. The Filer is a corporation incorporated under the laws of the state of Colorado.
1. The head office of the Filer is located at 150 King Street West, Suite 2800, P.O. Box 24, Toronto, Ontario (Canada), M5H 1J9.
2. As of the date hereof, the Filer is a reporting issuer in each of the Jurisdictions and is an "SEC foreign issuer" as defined under National Instrument 71-102 *Continuous Disclosure and Other Exemptions Relating to Foreign Issuers*.
3. The Filer is not in default of the securities legislation in any of the Jurisdictions.
4. The Filer filed a registration statement on Form S-3 on June 3, 2015 and an amendment thereof on Form S-3/A on June 26, 2015 with the U.S. Securities and Exchange Commission (the **Registration Statement**). The Registration Statement contains a shelf prospectus (the **U.S. Shelf Prospectus**) and may register for sale in the United States, from time to time, in one or more offerings and pursuant to one or more prospectus supplements, any combination of the Filer's common stock, debt securities, warrants, subscription rights, subscription receipts and units.
5. The Filer has also filed a final MJDS prospectus dated July 27, 2015 in each of the Jurisdictions pursuant to NI 71-101 which includes the final U.S. Shelf Prospectus (the final MJDS prospectus is referred to in this decision as the **Final Canadian MJDS Shelf Prospectus**), and will qualify the distribution in each of the Jurisdictions, from time to time, in one or more offerings and pursuant to one or more prospectus supplements, any combination of the Filer's common stock, debt securities, warrants, subscription rights, subscription receipts and units.
6. National Instrument 44-102 *Shelf Distributions (NI 44-102)* sets out the requirements for a distribution under a (non-MJDS) shelf prospectus in Canada, including requirements with respect to advertising and marketing activities. In particular, Part 9A of NI 44-102 entitled *Marketing In Connection with Shelf Distributions (Part 9A)* permits the conduct of "Road Shows" and the use of "Standard Term Sheets" and "Marketing Materials" (as such terms are defined in National Instrument 41-101 *General Prospectus Requirements (NI 41-101)*) following the issuance of a receipt for a final base shelf prospectus provided that the approval, content, use and other applicable conditions and requirements of Part 9A are complied with. NI 71-101 does not contain provisions that are equivalent to those of Part 9A of NI 44-102.
7. In connection with marketing an offering in Canada under the Final Canadian MJDS Shelf Prospectus, investment dealers acting as underwriters or selling group members of (a) the Filer, or (b) a selling securityholder of the Filer may wish to conduct road shows (**Road Shows**) and utilize one or more standard term sheets (**Standard Term Sheets**) and marketing materials (**Marketing Materials**). Any such Road Shows, Standard Term Sheets and Marketing Materials would comply with the approval, content, use and other conditions and requirements of Part 9A of NI 44-102, as applicable.
8. Canadian purchasers, if any, of securities offered under the Final Canadian MJDS Shelf Prospectus will only be able to purchase those securities through an investment dealer registered in the Jurisdiction of residence of the purchaser.

## Decision

9. The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.
10. The decision of the principal regulator is that the Exemption Sought is granted, provided that the conditions and requirements set out in Part 9A of NI 44-102 for Standard Term Sheets, Marketing Materials and Road Shows are complied with for any future offering under the Final Canadian MJDS Shelf Prospectus in the manner in which those conditions and requirements would apply if the Final Canadian MJDS Shelf Prospectus were a final base shelf prospectus under NI 44-102.

"Philip Anisman"  
Commissioner  
Ontario Securities Commission

"Frances Kordyback"  
Commissioner  
Ontario Securities Commission

### 2.1.3 Compagnie de Saint-Gobain

#### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Application for a 5 year relief from the prospectus and dealer registration requirement in respect of certain trades of securities in connection with employee share offerings by a French issuer – The offerings will involve the use of collective employee shareholding vehicles, each a fonds communs de placement d'entreprise (FCPE) – The filer cannot rely on the employee prospectus exemption in section 2.24 of National Instrument 45-106 Prospectus Exemptions or the plan administrator exemption in section 8.16 of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations as the shares are not being offered to Canadian employees directly by the issuer but through the FCPEs – Canadian employees will receive disclosure documents – The FCPEs are subject to the supervision of the French Autorité des marchés financiers – Relief granted, subject to conditions.

#### Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., ss. 25, 53, 74(1).

National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations.

National Instrument 45-102 Resale of Securities.

National Instrument 45-106 Prospectus Exemptions.

March 3, 2017

**IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(the Jurisdiction)**

**AND**

**IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS**

**AND**

**IN THE MATTER OF  
COMPAGNIE DE SAINT-GOBAIN  
(the Filer)**

**DECISION**

#### Background

The principal regulator in the Jurisdiction has received an application from the Filer for a decision under the securities legislation of the Jurisdiction (the **Legislation**) for:

1. an exemption from the prospectus requirement (the **Prospectus Relief**) so that such requirement does not apply to
  - (a) trades of:
    - (i) units (the **2017 Units**) of a temporary *fonds commun de placement d'entreprise* or “**FCPE**”, a form of collective shareholding vehicle commonly used in France for the conservation of shares held by employee-investors, named *Saint-Gobain Relais 2017 Monde* (the **2017 Fund**); and
    - (ii) units (together with the 2017 Units, the **Temporary Classic Units**, and together with the 2017 Units and the Principal Classic Units (as defined below), the **Units**) of future temporary FCPEs organized in the same manner as the 2017 Fund (together with the 2017 Fund, the **Temporary Classic Funds**),

made under the Saint-Gobain Group Share Purchase Plan (**PEG**) to or with Qualifying Employees (as defined below) resident in the Jurisdiction and the Other Offering Jurisdictions (as defined below) (collectively, the **Canadian Employees**, and Canadian Employees who subscribe for Temporary Classic Units, the **Canadian Participants**);

- (b) trades of ordinary shares of the Filer (the **Shares**) by the Classic Fund to or with Canadian Participants upon the redemption of Units as requested by Canadian Participants (the term “**Classic Fund**” used herein means, prior to the Merger (as defined below), a Temporary Classic Fund and following the Merger, a compartment (the **Principal Classic Compartment**) named *Saint-Gobain Avenir Monde* of an FCPE named *Saint-Gobain PEG Monde* (the **Principal Classic Fund**)); and
- 2. an exemption from the dealer registration requirement (the **Registration Relief**, and together with the Prospectus Relief, the **Offering Relief**) so that such requirement does not apply to the Filer and its Local Related Entities (as defined below), the Classic Fund and Amundi Asset Management (the **Management Company**) in respect of:
  - (a) trades in Units made pursuant to an Employee Offering (as defined below) to or with Canadian Employees; and
  - (b) trades in Shares by the Classic Fund to or with Canadian Participants upon the redemption of Units as requested by Canadian Participants.

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application, and
- (b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Québec, New Brunswick and Nova Scotia (the **Other Offering Jurisdictions**).

### Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

“**Related entity**” has the same meaning given to such term in National Instrument 45-106 *Prospectus Exemptions* (**NI 45-106**) under the heading “Division 4 – Employee Executive Officer, Director and Consultant Exemptions”.

### Representations

This decision is based on the following facts represented by the Filer:

1. The Filer is a corporation formed under the laws of France. It is not, and has no current intention of becoming, a reporting issuer under the Legislation or the securities legislation of any other jurisdiction of Canada. The head office of the Filer is located in France and the Shares are listed on Euronext Paris. The Filer is not in default of securities legislation of any jurisdiction of Canada.
2. The Filer carries on business in Canada through certain related entities and has established a global employee share offering under the PEG (the **2017 Employee Offering**) and expects to establish subsequent global employee share offerings of the Filer following 2017 for the next four years that are substantially similar (**Subsequent Employee Offerings**, and together with the 2017 Employee Offering, the **Employee Offerings**) for Qualifying Employees and its participating related entities, including related entities that employ Canadian Employees (**Local Related Entities**, and together with the Filer and other related entities of the Filer, the **Saint-Gobain Group**). Each of the Local Related Entities is a direct or indirect controlled subsidiary of the Filer and no Local Related Entity has any current intention of becoming a reporting issuer under the Legislation or the securities legislation of any other jurisdiction of Canada. The principal office of the Saint-Gobain Group in Canada is located in Ontario, and the greatest number of employees of Local Related Entities are employed in Ontario as compared to any other jurisdiction in Canada.
3. As of the date hereof, “Local Related Entities” include CertainTeed Gypsum Canada Inc., CertainTeed Canada, Inc., Saint-Gobain Abrasives, Inc., Saint-Gobain Canada Inc., and SAINT-GOBAIN ADFORS Canada, Ltd. For any Subsequent Employee Offering, the list of “Local Related Entities” may change.
4. Each Employee Offering will be made under the terms as set out herein and for greater certainty, all of the representations will be true for each Employee Offering other than paragraphs 3, 11 and 26 which may change (save for references to the 2017 Fund and the 2017 Employee Offering which will be varied such that they are read as references to the relevant Temporary Classic Fund and Subsequent Employee Offering, respectively).



5. As of the date hereof and after giving effect to any Employee Offering, Canadian residents do not and will not beneficially own more than 10% of the Shares (which term, for the purposes of this paragraph, is deemed to include all Shares held by the Classic Fund on behalf of Canadian Participants) issued and outstanding, and do not and will not represent in number more than 10% of the total number of holders of the Shares as shown on the books of the Filer.
6. Each Employee Offering involves an offering of Shares to be acquired through a Temporary Classic Fund, which will be merged with the Principal Classic Compartment following completion of the Employee Offering (the **Classic Plan**), subject to the decision of the supervisory boards of the FCPEs and the approval of the French AMF (as defined below).
7. Only persons who are employees of an entity forming part of the Saint-Gobain Group during the subscription period for an Employee Offering and who meet other employment criteria (the **Qualifying Employees**) will be allowed to participate in the relevant Employee Offering.
8. The 2017 Fund was established for the purpose of implementing the 2017 Employee Offering. The Principal Classic Compartment was established for the purpose of implementing the Employee Offering generally. There is no current intention for any of the 2017 Fund or the Principal Classic Compartment to become a reporting issuer under the securities legislation of any jurisdiction of Canada. There is no intention for any Temporary Classic Fund that will be established for the purpose of implementing Subsequent Employee Offerings to become a reporting issuer under the securities legislation of any jurisdiction of Canada.
9. The 2017 Fund and the Principal Classic Compartment are registered with the French Autorité des marchés financiers (the **French AMF**). It is expected that each Temporary Classic Fund established for Subsequent Employee Offerings will be a French FCPE and registered with, and approved by, the French AMF.
10. Under the Classic Plan, each Employee Offering will be made as follows:
  - (a) Canadian Participants will subscribe for the relevant Temporary Classic Units, and the relevant Temporary Classic Fund will then subscribe for Shares on behalf of Canadian Participants at a subscription price that is the Canadian dollar equivalent of the average opening price of the Shares (expressed in Euros) on Euronext Paris for the 20 trading days preceding the date of the fixing of the subscription price (the **Reference Price**) by the Chief Executive Officer of the Filer, less a specified discount to the Reference Price.
  - (b) Canadian Participants will make a contribution to the Classic Plan (the **Employee Contribution**), and the Local Related Entities that employ the Canadian Participants will also contribute on behalf of such Canadian Participants an amount into the Classic Plan (the **Employer Contributions**). The Temporary Classic Fund will apply the cash received from the Employee Contributions and the Employer Contributions to subscribe for Shares from the Filer.
  - (c) Initially, the Shares subscribed for will be held in the relevant Temporary Classic Fund and the Canadian Participants will receive Units of the relevant Temporary Classic Fund.
  - (d) Following the completion of an Employee Offering, the relevant Temporary Classic Fund will be merged with the Principal Classic Compartment (subject to the approval of the supervisory board of the FCPEs and the French AMF). The Temporary Classic Units held by Canadian Participants will be replaced with units of the Principal Classic Fund (the **Principal Classic Units**) on a pro rata basis and the Shares will be held in the Principal Classic Compartment (such transaction being referred to as the **Merger**). The Filer is relying on the exemption from the prospectus requirement pursuant to section 2.11 of NI 45-106 in respect of the issuance of Units of the Principal Classic Fund to Canadian Participants in connection with the Merger.
  - (e) The Units will be subject to a hold period of approximately five years (the **Lock-Up Period**), subject to certain exceptions provided for under French law and adopted for an Employee Offering in Canada (such as a release on death or termination of employment).
  - (f) Any dividends paid on the Shares held in the Classic Fund will be contributed to the Classic Fund and used to purchase additional Shares. To reflect this reinvestment, new Units (or fractions thereof) will be issued to the Canadian Participants.
  - (g) At the end of the relevant Lock-Up Period, a Canadian Participant may (i) request the redemption of Units in the Classic Fund in consideration for the underlying Shares or a cash payment equal to the then market value of the Shares, or (ii) continue to hold Units in the Classic Fund and request the redemption of those Units at a later date in consideration for the underlying Shares or a cash payment equal to the then market value of the Shares.

- (h) In the event of an early exit resulting from a Canadian Participant exercising one of the exceptions to the Lock-Up Period and meeting the applicable criteria, the Canadian Participant may request the redemption of Units in the Classic Fund in consideration for a cash payment equal to the then market value of the underlying Shares.
  - (i) As indicated in paragraph 10(b) above, the Local Related Entity employing a Canadian Participant will also contribute on behalf of such Canadian Participant an amount into the Classic Plan based on predetermined matching contribution rules
11. For the 2017 Employee Offering, for each Employee Contribution, the Local Related Entity employing such Canadian Participant will contribute an additional 15% of such amount into the Classic Plan, up to a maximum amount of \$1,500 per Canadian Participant to the Classic Plan, for the benefit of, and at no cost to, the Canadian Participant. For each Subsequent Employee Offering, the matching contribution rules may change.
  12. Under French law, an FCPE is a limited liability entity. The portfolio of the Classic Fund will consist almost entirely of Shares and may also include cash in respect of dividends paid on the Shares which will be reinvested in Shares as discussed above and cash or cash equivalents pending investments in the Shares and for the purposes of Unit redemptions.
  13. The Management Company is a portfolio management company governed by the laws of France. The Management Company is registered with the French AMF as an investment manager and complies with the rules of the French AMF. To the best of the Filer's knowledge, the Management Company is not, and has no current intention of becoming, a reporting issuer under the Legislation or the securities legislation of any other jurisdiction of Canada.
  14. Only Qualifying Employees will be allowed to hold Units of the Classic Fund.
  15. The Management Company's portfolio management activities in connection with an Employee Offering and the Classic Fund are limited to purchasing Shares from the Filer, selling such Shares as necessary in order to fund redemption requests and investing available cash in cash equivalents.
  16. The Management Company is also responsible for preparing accounting documents and publishing periodic informational documents as provided by the rules of the Classic Fund. The Management Company's activities do not affect the underlying value of the Shares.
  17. None of the entities forming part of the Saint-Gobain Group, the Classic Fund or the Management Company or any of their employees, directors, officers, agents or representatives will provide investment advice to the Canadian Employees with respect to an investment in the Shares or the Units.
  18. Shares issued pursuant to an Employee Offering will be deposited in the Classic Fund through CACEIS Bank France (the **Depository**), a large French commercial bank subject to French banking legislation. The Depository carries out orders to purchase, trade and sell securities in the portfolio and takes all necessary action to allow the Classic Fund to exercise the rights relating to the securities held in its portfolio.
  19. Participation in an Employee Offering is voluntary, and the Canadian Employees will not be induced to participate in an Employee Offering by expectation of employment or continued employment.
  20. The total amount invested by a Canadian Employee pursuant to an Employee Offering cannot exceed 25% of his or her gross annual compensation. The Employer Contribution will not be factored into the maximum amount that a Canadian Employee may contribute.
  21. The Shares and the Units are not currently listed for trading on any stock exchange in Canada and there is no intention to have the Shares or the Units so listed.
  22. None of the entities forming part of Saint-Gobain Group, the Classic Fund or the Management Company is currently in default of securities legislation of any jurisdiction of Canada.
  23. The Unit value of the Classic Fund will be calculated and reported to the French AMF on a regular basis, based on the net assets of the Classic Fund divided by the number of Units outstanding. The value of the Units will be based on the value of the underlying Shares.
  24. All management charges relating to the Classic Fund will be paid from the assets of the Classic Fund or by the Filer, as provided in the regulations of the Classic Fund.

25. The Canadian Employees will receive, or will be notified of their ability to request, an information package in the French or English language, according to their preference, which will include a summary of the terms of the relevant Employee Offering and a description of Canadian income tax consequences of subscribing for and holding the Units of the Classic Fund and the redemption of such Units at the end of the applicable Lock-Up Period. Canadian Employees will have access to or may request a copy of the Filer's French *Document de Référence* filed with the French AMF in respect of the Shares and a copy of the rules of the relevant Temporary Classic Fund and the Principal Classic Compartment. The Canadian Employees will also have access to the continuous disclosure materials relating to the Filer that are furnished to holders of the Shares generally. Canadian Participants will receive an initial statement of their holdings under the Classic Plan, together with an updated statement at least once per year.
26. For the 2017 Employee Offering, there are approximately 1,213 Canadian Employees resident in Canada, with the greatest number resident in Ontario (678), and the remainder in the Other Offering Jurisdictions who represent, in the aggregate, less than 1% of the number of employees in the Saint-Gobain Group worldwide.

### Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Offering Relief is granted:

- (a) for the 2017 Employee Offering provided that:
- (i) the prospectus requirement will apply to the first trade in any Units or Shares acquired by Canadian Participants pursuant to this decision unless the following conditions are met:
    - (1) the issuer of the security
      - (A) was not a reporting issuer in any jurisdiction of Canada at the distribution date, or
      - (B) is not a reporting issuer in any jurisdiction of Canada at the date of the trade;
    - (2) at the distribution date, after giving effect to the issue of the security and any other securities of the same class or series that were issued at the same time as or as part of the same distribution as the security, residents of Canada:
      - (A) did not own, directly or indirectly, more than 10% of the outstanding securities of the class or series, and
      - (B) did not represent in number more than 10% of the total number of owners, directly or indirectly, of securities of the class or series; and
    - (3) the first trade is made
      - (A) through an exchange, or a market, outside of Canada, or
      - (B) to a person or company outside of Canada; and
- (b) for any Subsequent Employee Offering under this decision completed within five years from the date of this decision, provided that (i) the representations other than those in paragraphs 3, 11 and 26 remain true and correct in respect of that Subsequent Employee Offering, and (ii) the conditions set out in paragraph (a) above are satisfied as of the date of any distribution of a security under such Subsequent Employee Offering (varied such that any references therein to the 2017 Fund and the 2017 Employee Offering are read as references to the relevant Temporary Classic Fund and Subsequent Employee Offering, respectively).

"Anne Marie Ryan"  
Commissioner  
Ontario Securities Commission

"Janet Leiper"  
Commissioner  
Ontario Securities Commission

## 2.1.4 Horizons ETFs Management (Canada) Inc. and AlphaPro Management Inc.

### Headnote

NP 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Existing and future mutual funds managed by the Filers granted relief from paragraphs 15.3(4)(c) and (f) of NI 81-102 Investment Funds to permit references to Fundata A+ Awards and relief from paragraphs 15.3(4)(c) to permit references to FundGrade Ratings in sales communications – Relief subject to conditions requiring specified disclosure and the requirement that the Fundata A+ Awards being referenced not have been awarded more than 365 days before the date of the sales communication.

### Applicable Legislative Provisions

National Instrument 81-102 Investment Funds, ss. 15.3(4)(c) and (f), 19.1.

March 10, 2017

**IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(the Jurisdiction)**

**AND**

**IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS**

**AND**

**IN THE MATTER OF  
HORIZONS ETFS MANAGEMENT (CANADA) INC. AND  
ALPHAPRO MANAGEMENT INC.  
(the Filers)**

**DECISION**

### Background

The principal regulator in the Jurisdiction has received an application from the Filers on behalf of the Existing Funds and Future Funds (each defined below) (each a **Fund** and collectively, the **Funds**) of which a Filer is or becomes the investment fund manager, pursuant to section 19.1 of National Instrument 81-102 – *Investment Funds (NI 81-102)*, for exemptive relief (**Requested Relief**) from the requirements set out in paragraphs 15.3(4)(c) (in respect of both the FundGrade A+ Awards presented annually by Fundata Canada Inc. (**Fundata**) and the FundGrade Ratings) and 15.3(4)(f) (in respect of the FundGrade A+ Awards only) of NI 81-102, which provide that a sales communication must not refer to a performance rating or ranking of a mutual fund or asset allocation service unless:

- (a) the rating or ranking is provided for each period for which standard performance data is required to be given, except the period since the inception of the mutual fund;
- (b) the rating or ranking is to the same calendar month end that is
  - (i) not more than 45 days before the date of the appearance or use of the advertisement in which it is included, and
  - (ii) not more than three months before the date of first publication of any other sales communication in which it is included;

in order to permit the FundGrade A+ Awards and the FundGrade Ratings to be referenced in sales communications relating to the Funds.

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application; and

- (b) the Filers have provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Québec, New Brunswick, Nova Scotia, Prince Edward Island, Newfoundland & Labrador, the Northwest Territories, Nunavut and Yukon (the **Other Jurisdictions**).

### Interpretation

Terms defined in NI 81-102, National Instrument 14-101 Definitions, and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

### Representations

This decision is based on the following facts represented by the Filers:

#### *The Filers*

1. Each Filer is a corporation existing under the federal laws of Canada. The head office of each filer is located in Toronto, Ontario.
2. Each Filer is registered as an investment fund manager in each of Ontario, Quebec and Newfoundland & Labrador.
3. Each Filer is not in default of securities legislation in Ontario or any Other Jurisdiction.

#### *The Funds*

4. Horizons ETFs Management (Canada) Inc. and its affiliate AlphaPro Management Inc. (each, a “**Filer**”, and together, the “**Filers**”) are the managers of mutual funds (the “**Existing Funds**”) subject to the requirements of NI 81-102, or NI 81-102 and National Instrument 81-104 *Commodity Pools* (“**NI 81-104**”). Each Filer, or an affiliate of a Filer, may in the future become the manager of additional mutual funds (the “**Future Funds**”, and together with the Existing Funds, the “**Funds**”) that are subject to the requirements of NI 81-102, or NI 81-102 and NI 81-104.
5. Each of the Funds is, or will be, an open-ended mutual fund established under the laws of Canada or a jurisdiction of Canada. The securities of each of the Funds are, or will be, qualified for distribution pursuant to a prospectus that has been, or will be, prepared and filed in accordance with the securities legislation of each applicable jurisdiction of Canada. Each of the Funds is, or will be, a reporting issuer in one or more of the jurisdictions of Canada. Each of the Funds is, or will be, subject to the requirements of NI 81-102, or NI 81-102 and NI 81-104, including Part 15 of NI 81-102 which governs sales communications.
6. The Existing Funds are not in default of securities legislation of any jurisdiction.

#### *Fundata FundGrade A+ Awards Program*

7. Fundata is not related to the Filers or the Funds. Fundata is a leader in supplying mutual fund information, analytical tools, and commentary. Fundata's fund data and analysis, fund awards designations and ratings information provide valuable insight to advisors, media and individual investors.
8. One of Fundata's programs is the FundGrade A+ Awards program. This program highlights funds that have excelled in delivering consistently strong risk-adjusted performance relative to their peers. The FundGrade A+ Awards designate award-winning funds in most individual fund classifications for the previous calendar year, and the awards are announced in January of each year. The categories for fund classification used by Fundata are those maintained by the Canadian Investment Funds Standards Committee (**CIFSC**) (or a successor to CIFSC), a Canadian organization that is independent of Fundata.
9. The FundGrade A+ Awards are based on a proprietary rating methodology developed by Fundata, the FundGrade Rating system. The FundGrade Rating system evaluates funds based on their risk-adjusted performance measured by three well-known and widely used metrics: the Sharpe Ratio, the Information Ratio, and the Sortino Ratio. The ratios are calculated for the two through ten year time periods for each fund. When there is more than one eligible series of a fund, an average ratio is taken for each period. The ratios are ranked across all time periods and an overall score is calculated by equally weighting the yearly rankings.
10. The FundGrade Ratings are letter grades for each fund and are determined each month. The FundGrade Ratings for each month are released on the seventh business day of the following month. The top 10% of funds earn an A Grade; the next 20% of funds earn a B Grade; the next 40% of funds earn a C Grade; the next 20% of funds receive a D

Grade; and the lowest 10% of funds receive an E Grade. Because the overall score of a fund is calculated by equally weighting the periodic rankings, to receive an A Grade, a Fund must show consistently high scores for all ratios across all time periods.

11. Fundata calculates a grade using only the retail series of each Fund. Institutional series or fee-based series of any Fund are not included in the calculation. A Fund must have at least two years of history to be included in the calculation. Once a letter grade is calculated for a Fund, it is then applied to all related series of that Fund.
12. At the end of each calendar year, Fundata calculates a "Fund GPA" for each Fund based on the full year's performance. The Fund GPA is calculated by converting each month's FundGrade Rating letter grade into a numerical score. Each A is assigned a grade of 4.0; each B is assigned a grade of 3.0; each C is assigned a grade of 2.0; each D is assigned a grade of 1.0; and each E is assigned a grade of 0. The total of the grades for each Fund is divided by 12 to arrive at the Fund's GPA for the year. Any Fund earning a GPA of 3.5 or greater earns a FundGrade A+ Award.
13. When a Fund is awarded a FundGrade A+ Award, Fundata will permit such Fund to make reference to the award in its sales communications.
14. The FundGrade Ratings fall within the definition of "performance data" under NI 81-102, as they constitute "a rating, ranking, quotation, discussion or analysis regarding an aspect of the investment performance of an investment fund", given that the FundGrade Ratings are based on performance measures calculated by Fundata. The FundGrade A+ Awards may be considered to be "overall ratings or rankings", given that the awards are based on the FundGrade Ratings as described above. Therefore, references to FundGrade Ratings and FundGrade A+ Awards in sales communications relating to the Funds need to meet the applicable requirements in Part 15 of NI 81-102.
15. Paragraph 15.3(4)(c) of NI 81-102 imposes a "matching" requirement for performance ratings or rankings that are included in sales communications for mutual funds. If a performance rating or ranking is referred to in a sales communication, it must be provided for, or "match", each period for which standard performance data is required to be given for the fund, except for the period since the inception of the fund (i.e., for one, three, five and ten year periods, as applicable).
16. While FundGrade Ratings are based on calculations for a minimum of two years through to a maximum of ten years, and the FundGrade A+ Awards are based on a yearly average of monthly FundGrade Ratings, specific ratings for the three, five and ten year periods within the two to ten year measurement period are not given. This means that a sales communication referencing FundGrade Ratings cannot comply with the "matching" requirement contained in paragraph 15.3(4)(c) of NI 81-102. Relief from paragraph 15.3(4)(c) of NI 81-102 is, therefore, required in order for a Fund to use FundGrade Ratings in sales communications.
17. The exemption in subsection 15.3(4.1) of NI 81-102 for references to overall ratings or rankings of funds cannot be relied upon to reference the FundGrade A+ Awards in sales communications for the Funds because it is available only if a sales communication "otherwise complies" with the requirements of subsection 15.3(4). As noted above, sales communications referencing the FundGrade A+ Awards cannot comply with the "matching" requirement in subsection 15.3(4) because the underlying FundGrade Ratings are not available for the three, five and ten year periods within the two to ten year measurement period for the FundGrade Ratings, rendering the exemption in subsection 15.3(4.1) unavailable. Relief from paragraph 15.3(4)(c) is, therefore, required in order for the Funds to reference the FundGrade A+ Awards and the FundGrade Ratings in sales communications.
18. Paragraph 15.3(4)(f) of NI 81-102 imposes certain restrictions on disclosure in sales communications. This paragraph provides that in order for a rating or ranking such as a FundGrade A+ Award to be used in an advertisement, the advertisement must be published within 45 days of the calendar month end to which the rating or ranking applies. Further, in order for the rating or ranking to be used in any other sales communication, the rating or ranking must be published within three months of the calendar month end to which the rating or ranking applies.
19. Because the evaluation of Funds for the FundGrade A+ Awards will be based on data aggregated until the end of December in any given year and the results will be published in January of the following year, by the time a Fund receives a FundGrade A+ Award in January, paragraph 15.3(4)(f) of NI 81-102 will only allow the FundGrade A+ Award to be used in an advertisement until the middle of February and in other sales communications until the end of March.
20. The Requested Relief is required in order for the FundGrade Ratings and the FundGrade A+ Awards to be referenced in sales communications relating to the Funds.
21. The Filers wish to include, in sales communications of the Funds, references to the FundGrade Ratings and the FundGrade A+ Awards, where such Funds have been awarded a FundGrade A+ Award.

22. The Filers submit that the FundGrade A+ Awards and the FundGrade Ratings provide important tools for investors, as they provide investors with context when evaluating investment choices. The FundGrade A+ Awards and the FundGrade Ratings provide an objective, transparent and quantitative measure of performance that is based on the expertise of Fundata in fund analysis that alleviates any concern that references to them may be misleading and, therefore, contrary to paragraph 15.2(1)(a) of NI 81-102.

**Decision**

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Requested Relief is granted to permit the FundGrade A+ Awards and the FundGrade Ratings to be referenced in sales communications relating to a Fund provided that:

1. The sales communication that refers to the FundGrade A+ Awards and the FundGrade Ratings complies with Part 15 of NI 81-102 other than as set out herein and contains the following disclosure in at least 10 point type:
  - (a) the name of the category for which the Fund has received the award or rating;
  - (b) the number of mutual funds in the category for the applicable period;
  - (c) the name of the ranking entity, i.e., Fundata;
  - (d) the length of period and the ending date, or, the first day of the period and the ending date on which the FundGrade A+ Awards or the FundGrade Rating is based;
  - (e) a statement that FundGrade Ratings are subject to change every month;
  - (f) in the case of a FundGrade A+ Award, a brief overview of the FundGrade A+ Awards;
  - (g) in the case of a FundGrade Rating (other than FundGrade Ratings referenced in connection with a FundGrade A+ Award), a brief overview of the FundGrade Rating;
  - (h) disclosure of the meaning of the FundGrade Ratings from A to E (e.g., rating of A indicates a fund is in the top 10% of its category); and
  - (i) reference to Fundata's website ([www.fundata.com](http://www.fundata.com)) for greater detail on the FundGrade A+ Awards and the FundGrade Ratings;
2. The FundGrade A+ Award being referenced must not have been awarded more than 365 days before the date of the sales communication; and
3. The FundGrade A+ Awards and the FundGrade Ratings being referenced are calculated based on comparisons of performance of investment funds within a specified category established by the CIFSC (or a successor to the CIFSC).

"Vera Nunes"  
Manager  
Investment Funds and Structured Products Branch  
Ontario Securities Commission

## 2.1.5 Schneider Electric S.E.

### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Application for a 5 year relief from the prospectus and dealer registration requirement in respect of certain trades of securities in connection with employee share offerings by a French issuer – The offerings will involve the use of collective employee shareholding vehicles, each a fonds communs de placement d'entreprise (FCPE) – The filer cannot rely on the employee prospectus exemption in section 2.24 of National Instrument 45-106 Prospectus Exemptions or the plan administrator exemption in section 8.16 of National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations as the shares are not being offered to Canadian employees directly by the issuer but through the FCPEs – Canadian employees will receive disclosure documents – The FCPEs are subject to the supervision of the French Autorité des marchés financiers – Relief granted, subject to conditions.

### Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., ss. 25, 53, 74(1).

National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations.

National Instrument 45-102 Resale of Securities.

National Instrument 45-106 Prospectus Exemptions.

March 3, 2017

IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ONTARIO  
(the Jurisdiction)

AND

IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF  
SCHNEIDER ELECTRIC S.E.  
(the Filer)

DECISION

### Background

The principal regulator in the Jurisdiction has received an application from the Filer for a decision under the securities legislation of the Jurisdiction (the Legislation) for:

1. an exemption from the prospectus requirement (the **Prospectus Relief**) so that such requirement does not apply to
  - (a) trades of:
    - (i) units (the **2017 Units**) of a temporary *fonds commun de placement d'entreprise* or "**FCPE**", a form of collective shareholding vehicle commonly used in France for the conservation of shares held by employee-investors, named *Schneider Relais International 2017* (the **2017 Fund**); and
    - (ii) units (together with the 2017 Units, the **Temporary Classic Units** and together with the 2017 Units and the Principal Classic Units (as defined below), the **Units**) of future temporary FCPEs organized in the same manner as the 2017 Fund (together with the 2017 Fund, the **Temporary Classic Funds**),

made under an International Employee Shareholding Plan (**IESP**) to or with Qualifying Employees (as defined below) resident in the Jurisdiction and the Other Offering Jurisdictions (as defined below)



(collectively, the **Canadian Employees**, and Canadian Employees who subscribe for Temporary Classic Units, the **Canadian Participants**);

- (b) trades of ordinary shares of the Filer (the **Shares**) by the Classic Fund to or with Canadian Participants upon the redemption of Units as requested by Canadian Participants (the term "**Classic Fund**" used herein means, prior to the Merger (as defined below), a Temporary Classic Fund and following the Merger, an FCPE named *Schneider Actionnariat Mondial* (the **Principal Classic Fund**)); and
2. an exemption from the dealer registration requirement (the **Registration Relief**, and together with the Prospectus Relief, the **Offering Relief**) so that such requirement does not apply to the Filer and its Local Related Entities (as defined below), the Classic Fund and Natixis Asset Management (the **Management Company**) in respect of:
    - (a) trades in Units made pursuant to an Employee Offering (as defined below) to or with Canadian Employees; and
    - (b) trades in Shares by the Classic Fund to or with Canadian Participants upon the redemption of Units as requested by Canadian Participants.

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a passport application):

- (a) the Ontario Securities Commission is the principal regulator for this application, and
- (b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, Québec, New Brunswick, Nova Scotia and Newfoundland and Labrador (the **Other Offering Jurisdictions**).

### Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this decision, unless otherwise defined.

"**Related entity**" has the same meaning given to such term in National Instrument 45-106 *Prospectus Exemptions* (**NI 45-106**) under the heading "Division 4 – Employee Executive Officer, Director and Consultant Exemptions".

### Representations

This decision is based on the following facts represented by the Filer:

1. The Filer is a corporation formed under the laws of France. It is not, and has no current intention of becoming, a reporting issuer under the Legislation or the securities legislation of any other jurisdiction of Canada. The head office of the Filer is located in France and the Shares are listed on Euronext. The Filer is not in default of securities legislation of any jurisdiction of Canada.
2. The Filer carries on business in Canada through certain related entities and has established a global employee share offering of the Filer under the IESP (the **2017 Employee Offering**) and expects to establish subsequent global employee share offerings of the Filer following 2017 for the next four years that are substantially similar (**Subsequent Employee Offerings**, and together with the 2017 Employee Offering, **Employee Offerings**) for Qualifying Employees and its participating related entities, including related entities that employ Canadian Employees (**Local Related Entities**, and together with the Filer and other related entities of the Filer, the **Schneider Electric Group**). Each Local Related Entity is a direct or indirect controlled subsidiary of the Filer and no Local Related Entity has any current intention of becoming a reporting issuer under the Legislation or the securities legislation of any other jurisdiction of Canada. The Canadian headquarters of the Schneider Electric Group are in Ontario. There are more assets and clients of the Schneider Electric Group in Ontario than in any other jurisdiction of Canada.
3. As of the date hereof, "Local Related Entities" include Control Microsystems Inc., Schneider Electric Systems Canada Inc., Power Measurement Ltd., Power Measurement, Inc., Schneider Electric Canada Inc., Schneider Electric Software Canada Inc. and Viconics Technologies Inc. For any Subsequent Employee Offering, the list of "Local Related Entities" may change.
4. Each Employee Offering will be made under the terms as set out herein and for greater certainty, all of the representations will be true for each Employee Offering other than paragraphs 3, 11, 23 and 29 which may change

(save for references to the 2017 Fund and the 2017 Employee Offering which will be varied such that they are read as references to the relevant Temporary Classic Fund and Subsequent Employee Offering, respectively).

5. As of the date hereof and after giving effect to any Employee Offering, Canadian residents do not and will not beneficially own more than 10% of the Shares (which term, for the purposes of this paragraph, is deemed to include all Shares held by the Classic Fund on behalf of Canadian Participants) issued and outstanding, and do not and will not represent in number more than 10% of the total number of holders of the Shares as shown on the books of the Filer.
6. Each Employee Offering involves an offering of Shares to be subscribed through a Temporary Classic Fund, which will be merged with the Principal Classic Fund following completion of the Employee Offering (the Classic Plan).
7. Only persons who are employees of an entity forming part of the Schneider Electric Group during the subscription period for an Employee Offering and who meet other employment criteria (the **Qualifying Employees**) will be allowed to participate in the relevant Employee Offering.
8. The 2017 Fund was established for the purpose of implementing the 2017 Employee Offering. The Principal Classic Fund was established for the purpose of implementing the Employee Offering generally. There is no current intention for any of the 2017 Fund or the Principal Classic Fund to become a reporting issuer under the Legislation or the securities legislation of any other jurisdiction of Canada. There is no intention for any Temporary Classic Fund that will be established for the purpose of implementing Subsequent Employee Offerings to become a reporting issuer under the Legislation or the securities legislation of any other jurisdiction of Canada.
9. The 2017 Fund and the Principal Classic Fund are FCPEs and are registered with the French Autorité des marchés financiers (the **French AMF**). It is expected that each Temporary Classic Fund established for Subsequent Employee Offerings will be a French FCPE and registered with, and approved by, the French AMF.
10. Under the Classic Plan, each Employee Offering will be made as follows:
  - (a) Canadian Participants will subscribe for the relevant Temporary Classic Units, and the relevant Temporary Classic Fund will then subscribe for Shares on behalf of Canadian Participants using the Canadian Participants' contributions and the employer contributions from Local Related Entities that employ the Canadian Participants, as described in paragraph 10(h). The subscription price will be the Canadian dollar equivalent of the average opening price of the Shares (expressed in Euros) on Euronext for the 20 trading days preceding the date of fixing of the subscription price (the **Reference Price**) by the management board of the Filer, less a specified discount to the Reference Price.
  - (b) Initially, the Shares subscribed for will be held in the relevant Temporary Classic Fund and the Canadian Participants will receive the relevant Temporary Classic Units.
  - (c) Following the completion of an Employee Offering, the relevant Temporary Classic Fund will be merged with the Principal Classic Fund (subject to the approval of the supervisory board of the FCPEs and the French AMF). The Temporary Classic Units held by the Canadian Participants will be replaced with units of the Principal Classic Fund (the **Principal Classic Units**) on a *pro rata* basis and the Shares subscribed for will be held in the Principal Classic Fund (such transaction being referred to as the **Merger**). The Filer is relying on the exemption from the prospectus requirement pursuant to section 2.11 of NI 45-106 in respect of the issuance of Units of the Principal Classic Fund to Canadian Participants in connection with the Merger.
  - (d) The Units will be subject to a hold period of approximately five years (the **Lock-Up Period**), subject to certain exceptions provided for in the IESP and adopted for an Employee Offering in Canada (such as a release on death, termination of employment or the Canadian Participant's employer ceases to be an affiliate of the Filer).
  - (e) Any dividends paid on the Shares held in the Classic Fund will be contributed to the Classic Fund and used to purchase additional Shares. To reflect this reinvestment, no new Units will be issued. Instead, the reinvestment will increase the asset base of the Classic Fund as well as the value of the Units held by Canadian Participants.
  - (f) At the end of the relevant Lock-Up Period, a Canadian Participant may (i) request the redemption of his or her Units in the Classic Fund in consideration for a cash payment equal to the then market value of the Shares, or (ii) continue to hold his or her Units in the Classic Fund and request the redemption of those Units at a later date in consideration for a cash payment equal to the then market value of the Shares. Subject to certain changes in the regulations of the Classic Fund which may be made, a Canadian Participant may be permitted to request the redemption of his or her Units in the Classic Fund in consideration for the underlying Shares (instead of a cash payment) at or after the end of the Lock-Up Period.

- (g) In the event of an early exit resulting from a Canadian Participant exercising one of the exceptions to the Lock-Up Period and meeting the applicable criteria, the Canadian Participant may request the redemption of his or her Units in the Classic Fund in consideration for a cash payment equal to the then market value of the underlying Shares.
  - (h) As indicated in paragraph 10(a) above, the Local Related Entity employing a Canadian Participant will also contribute on behalf of such Canadian Participant an amount into the Classic Plan based on predetermined matching contribution rules.
11. For the 2017 Employee Offering, for each contribution that a Canadian Participant makes into the Classic Plan up to and including the Canadian dollar equivalent of €800, the Local Related Entity employing such Canadian Participant will contribute an additional 100% of such amount into the Classic Plan on behalf of such Canadian Participant. For the portion of each contribution that a Canadian Participant makes that is equal to or greater than the Canadian dollar equivalent of €801 and up to and including the Canadian dollar equivalent of €2,000, the Local Related Entity employing such Canadian Participant will contribute an additional 50% of such amount into the Classic Plan on behalf of such Canadian Participant. For clarity, the maximum contribution by a Local Related Entity in respect of a Canadian Participant is the Canadian dollar equivalent of €1,400 (i.e., 100% of the Canadian dollar equivalent of first €800 contribution and 50% of the Canadian dollar equivalent of the next €1,200 contribution). For each Subsequent Employee Offering, the matching contribution rules may change.
  12. The subscription price for an Employee Offering will not be known to Canadian Employees until after the end of the applicable subscription period. However, this information will be provided to Canadian Employees prior to the start of the revocation period, during which Canadian Participants may choose to revoke all (but not part) of their subscription under the Classic Plan and thereby not participate in the relevant Employee Offering.
  13. Under French law, an FCPE is a limited liability entity. The portfolio of the Classic Fund will consist almost entirely of Shares, but may, from time to time, include cash in respect of dividends paid on the Shares which will be reinvested in Shares and cash or cash equivalents pending investments in Shares and for the purposes of Unit redemptions.
  14. The Management Company is a portfolio management company governed by the laws of France. The Management Company is registered with the French AMF to manage investments and complies with the rules of the French AMF. To the best of the Filer's knowledge, the Management Company is not, and has no current intention of becoming, a reporting issuer under the Legislation or the securities legislation of the Other Offering Jurisdictions.
  15. Only Qualifying Employees will be allowed to hold Units of the Classic Fund.
  16. The Management Company's portfolio management activities in connection with an Employee Offering and the Classic Fund are limited to subscribing for Shares from the Filer, selling such Shares as necessary in order to fund redemption requests and investing available cash in cash equivalents.
  17. The Management Company is also responsible for preparing accounting documents and publishing periodic informational documents of the Classic Fund. The Management Company's activities do not affect the underlying value of the Shares.
  18. None of the entities forming part of the Schneider Electric Group, the Classic Fund, the Management Company or any of their directors, officers, employees, agents or representatives will provide investment advice to the Canadian Employees with respect to an investment in the Shares or the Units.
  19. Shares issued pursuant to an Employee Offering will be deposited in the Classic Fund through CACEIS Bank (the **Depository**), a large French commercial bank subject to French banking legislation.
  20. Under French law, the Depository must be selected by the Management Company from among a limited number of companies identified on a list maintained by the French Minister of the Economy and Finance and its appointment must be approved by the French AMF. The Depository carries out orders to purchase, trade and sell securities in the portfolio and takes all necessary action to allow the Classic Fund to exercise the rights relating to the securities held in its portfolio.
  21. Participation in an Employee Offering is voluntary, and the Canadian Employees will not be induced to participate in an Employee Offering by expectation of employment or continued employment.
  22. The total amount invested by a Canadian Employee pursuant to an Employee Offering cannot exceed 25% of his or her gross eligible earnings.

## Decisions, Orders and Rulings

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23. For the 2017 Employee Offering, eligible earnings include the employee's gross base salary as of March 1, 2017 as well as any bonus and/or overtime paid between January 1, 2016 and December 31, 2016.
24. The Shares and Units are not currently listed for trading on any stock exchange in Canada and there is no intention to have the Shares or the Units so listed.
25. None of the entities forming part of Schneider Electric Group, the Classic Fund or the Management Company is currently in default of securities legislation of any jurisdiction of Canada.
26. The Unit value of the Classic Fund will be calculated and reported to the French AMF on a regular basis, based on the net assets of the Classic Fund divided by the number of Units outstanding. The value of Classic Fund Units will be based on the value of the underlying Shares, but the number of Units of the Classic Fund will not correspond to the number of the underlying Shares (as dividends will be reinvested in additional Shares and increase the value of each Unit).
27. All management charges relating to the Classic Fund will be paid from the assets of the Classic Fund or by the Filer, as provided in the regulations of the Classic Fund.
28. The Canadian Employees will receive an information package in the French or English language, according to their preference, which will include a summary of the terms of the relevant Employee Offering and a description of Canadian income tax consequences of subscribing for and holding Units of the Classic Fund and requesting the redemption of such Units at the end of the applicable Lock-Up Period. Canadian Participants will have access to the Filer's French *Document de Référence* filed with the French AMF in respect of the Shares and a copy of the regulations of the relevant Temporary Classic Fund and the Principal Classic Fund. The Canadian Employees will also have access to copies of the continuous disclosure materials relating to the Filer that are furnished to holders of the Shares. Canadian Participants will receive an initial statement of their holdings under the Classic Plan, together with an updated statement at least once per year.
29. For the 2017 Employee Offering, there are approximately 2,740 Canadian Employees resident in the Jurisdiction and the Other Offering Jurisdictions (with the greatest numbers, approximately 715, 689, 644 and 632 resident in Québec, Ontario, Alberta and British Columbia, respectively), who represent, in the aggregate, less than 2% of the number of employees in the Schneider Electric Group worldwide.

### Decision

The principal regulator is satisfied that the decision meets the test set out in the Legislation for the principal regulator to make the decision.

The decision of the principal regulator under the Legislation is that the Offering Relief is granted:

- (a) for the 2017 Employee Offering provided that:
  - (i) the prospectus requirement will apply to the first trade in any Units or Shares acquired by Canadian Participants pursuant to this decision unless the following conditions are met:
    - (1) the issuer of the security
      - (A) was not a reporting issuer in any jurisdiction of Canada at the distribution date, or
      - (B) is not a reporting issuer in any jurisdiction of Canada at the date of the trade;
    - (2) at the distribution date, after giving effect to the issue of the security and any other securities of the same class or series that were issued at the same time as or as part of the same distribution as the security, residents of Canada
      - (A) did not own, directly or indirectly, more than 10% of the outstanding securities of the class or series, and
      - (B) did not represent in number more than 10% of the total number of owners, directly or indirectly, of securities of the class or series; and
    - (3) the first trade is made

- (A) through an exchange, or a market, outside of Canada, or
  - (B) to a person or company outside of Canada; and
- (b) for any Subsequent Employee Offering under this decision completed within five years from the date of this decision, provided that (i) the representations other than those in paragraphs 3, 11, 23 and 29 remain true and correct in respect of that Subsequent Employee Offering, and (ii) the conditions set out in paragraph (a) above are satisfied as of the date of any distribution of a security under such Subsequent Employee Offering (varied such that any references therein to the 2017 Fund and the 2017 Employee Offering are read as references to the relevant Temporary Classic Fund and Subsequent Employee Offering, respectively).

“Anne Marie Ryan”  
Commissioner  
Ontario Securities Commission

“Janet Leiper”  
Commissioner  
Ontario Securities Commission

**2.1.6 Sierra Wireless, Inc.**

**Headnote**

Multilateral Instrument 11-102 Passport System and National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – National Instrument 51-102, s. 13.1 Continuous Disclosure Obligations – BAR – An issuer requires relief from the requirement to file a business acquisition report – The acquisition is insignificant applying the asset and investment tests; applying the profit or loss test produces an anomalous results because the significance of the acquisition under this test is disproportionate to its significance on an objective basis in comparison to the results of the other significance tests and all other business, commercial, financial and practical factors; the filer has provided additional measures that demonstrate the insignificance of the property to the filer and that are generally consistent with the results when applying the asset and investment tests.

**Applicable Legislative Provisions**

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions.  
National Instrument 51-102, s. 13.1 Continuous Disclosure Obligations.

March 1, 2017

**IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
BRITISH COLUMBIA AND ONTARIO  
(the Jurisdictions)**

**AND**

**IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS**

**AND**

**IN THE MATTER OF  
SIERRA WIRELESS, INC.  
(the Filer)**

**DECISION**

**Background**

1 The securities regulatory authority or regulator in each of the Jurisdictions (Decision Maker) has received an application from the Filer for a decision under the securities legislation of the Jurisdictions (the Legislation) granting relief from the requirement in Part 8 of National Instrument 51-102 *Continuous Disclosure Obligations* (NI 51-102) to file a business acquisition report (BAR) in connection with the Filer's acquisition of a business specializing in embedded wireless technologies (Blue Creation) (the Acquisition) on November 2, 2016 (the Exemption Sought).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a dual application):

- (a) the British Columbia Securities Commission is the principal regulator for this application,
- (b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System* (MI 11-102) is intended to be relied upon in Alberta, Saskatchewan, Manitoba, Quebec, Nova Scotia, New Brunswick, Prince Edward Island and Newfoundland and Labrador, and
- (c) the decision is the decision of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

**Interpretation**

2 Terms defined in National Instrument 14-101 *Definitions*, MI 11-102 and NI 51-102 have the same meaning if used in this decision, unless otherwise defined in this decision.

## Representations

3 This decision is based on the following facts represented by the Filer:

### ***The Filer***

1. the Filer is a corporation incorporated under the *Canada Business Corporations Act* and its head office is located in Richmond, British Columbia;
2. the Filer is a reporting issuer under the securities legislation of each of the provinces of Canada;
3. the common shares of the Filer are listed and posted for trading on the Toronto Stock Exchange under the trading symbol "SW" and on NASDAQ under the trading symbol "SWIR";
4. the Filer is not in default of securities legislation in any jurisdiction other than its obligation to file a BAR in connection with the Acquisition;
5. the Filer is in the business of building wireless solutions including cellular embedded wireless modules and gateways which are integrated with the Filer's secure cloud and connectivity services;
6. the Filer's OEM Solutions segment includes cellular embedded modules, software and tools for original equipment manufacturers (OEMs) to integrate wireless cellular connectivity into products and solutions across a broad range of industries, including automotive, transportation, energy, enterprise, networking, sales and payment, mobile computing, security, industrial monitoring, field services, residential, healthcare and others;

### ***The Acquisition***

7. on November 2, 2016, the Filer acquired the issued and outstanding share capital of Blue Creation for total cash consideration of approximately US\$6.4 million or US\$2.9 million, net of US\$3.5 million of cash acquired, plus a maximum contingent consideration of US\$0.5 million under a performance-based earn-out formula (the Purchase Price);
8. Blue Creation is an early stage company that specializes in Bluetooth, Bluetooth Low Energy, Wi-Fi and other embedded wireless technologies; the acquisition of Blue Creation provides the Filer with expanded short-range wireless module capabilities in Bluetooth and Wi-Fi and will strengthen the Filer's strategic position with OEMs;

### ***Significance Tests for the BAR***

9. under Part 8 of NI 51-102, the Filer is required to file a BAR for any completed acquisition that is determined to be significant based on the acquisition satisfying any of the three significance tests set out in section 8.3(2) of NI 51-102;
10. the Acquisition is not a significant acquisition under the asset test in section 8.3(2)(a) of NI 51-102 as the value of Blue Creation represented only approximately 0.6% of the consolidated assets of the Filer as of December 31, 2015;
11. the Acquisition is not a significant acquisition under the investment test in section 8.3(2)(b) of NI 51-102 as the Filer's acquisition costs represented only approximately 1.3% of the consolidated assets of the Filer as of December 31, 2015;
12. the Acquisition would, however, be a significant acquisition under the profit or loss test in section 8.3(2)(c) of NI 51-102; in particular, the Filer's proportionate share of the consolidated specified profit or loss of Blue Creation exceeds 20% of the consolidated specified profit or loss of the Filer calculated using audited annual financial statements of the Filer for the year ended December 31, 2015 and unaudited annual financial statements of Blue Creation for the year ended February 28, 2016;
13. the application of the profit or loss test produces an anomalous result for the Filer because it exaggerates the significance of the Acquisition out of proportion to its significance on an objective basis in comparison to the results of the asset test and investment test;

***De Minimis Acquisition***

14. the Filer does not believe (nor did it at the time that it made the acquisition) that the acquisition of Blue Creation is significant to it from a commercial, business, practical or financial perspective; and
15. the Filer has provided the principal regulator with additional operational measures that demonstrate the non-significance of the acquisition of Blue Creation to the Filer; these additional operational measures compared other operational information such as revenue, operating income, number of employees and number of embedded wireless modules shipped to that of the Filer, and the results of those measures are generally consistent with the results of the asset test and the investment test.

**Decision**

- 4 Each of the Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Maker to make the decision.

The decision of the Decision Makers under the Legislation is that the Exemption Sought is granted.

“Peter J. Brady”  
Executive Director  
British Columbia Securities Commission



## 2.1.7 American Hotel Income Properties REIT LP

### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions and Part 13 of National Instrument 51-102 Continuous Disclosure Obligations – an issuer requires relief from the requirement to file a business acquisition report. The acquisition is insignificant applying the asset and investment tests, but applying the profit or loss test produces anomalous results. The filer has provided additional measures that demonstrate the insignificance of the property acquired and that are generally consistent with the results when applying the asset and investment tests.

### Applicable Legislative Provisions

National Instrument 51-102 Continuous Disclosure Obligations, s. 13.1.

March 9, 2017

**IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
BRITISH COLUMBIA AND ONTARIO  
(the Jurisdictions)**

**AND**

**IN THE MATTER OF  
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS  
IN MULTIPLE JURISDICTIONS**

**AND**

**IN THE MATTER OF  
AMERICAN HOTEL INCOME PROPERTIES REIT LP  
(the Filer)**

**DECISION**

### Background

- 1 The securities regulatory authority or regulator in each of the Jurisdictions (Decision Maker) has received an application from the Filer for a decision under the securities legislation of the Jurisdictions (the Legislation) granting relief from the requirement in Part 8 of National Instrument 51-102 *Continuous Disclosure Obligations* (NI 51-102) to file a business acquisition report (BAR) in connection with the Filer's acquisition of a portfolio of two Embassy Suites by Hilton hotels located in Irving, Texas and Tempe, Arizona (the Sunstone Embassy Suites Portfolio) on January 6, 2017 (the Exemption Sought).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a dual application):

- (a) the British Columbia Securities Commission is the principal regulator for this application,
- (b) the Filer has provided notice that section 4.7(1) of Multilateral Instrument 11-102 *Passport System* (MI 11-102) is intended to be relied upon in Alberta, Saskatchewan, Manitoba, Quebec, Nova Scotia, New Brunswick, Prince Edward Island, Newfoundland and Labrador, Yukon, Northwest Territories and Nunavut, and
- (c) the decision is the decision of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

### Interpretation

- 2 Terms defined in National Instrument 14-101 *Definitions*, MI 11-102 and NI 51-102 have the same meaning if used in this decision, unless otherwise defined in this decision.

## Representations

3 This decision is based on the following facts represented by the Filer:

### *The Filer*

1. the Filer is a limited partnership established under the laws of the Province of Ontario pursuant to a declaration of limited partnership and its head office is located in Vancouver, British Columbia;
2. the Filer is a reporting issuer under the securities legislation of each of the provinces and territories of Canada;
3. the limited partnership units of the Filer are listed and posted for trading on the Toronto Stock Exchange under the trading symbol "HOT.UN";
4. the Filer is not in default of securities legislation in any jurisdiction;
5. the Filer is in the business of indirectly acquiring hotel properties substantially in the United States;
6. from its February 20, 2013 initial public offering and several subsequent bought deals, the Filer has raised approximately Cdn\$565 million in gross proceeds, the net proceeds of which have been used by the Filer to, among other things, partially finance its indirect acquisition of 96 hotel properties in the United States (including the Sunstone Embassy Suites Portfolio);

### *The Acquisition*

7. on January 6, 2017, the Filer acquired the Sunstone Embassy Suites Portfolio for a total gross purchase price of approximately US\$57.6 million, excluding approximately US\$5.7 million for brand mandated property improvement plans and before customary closing and post-closing acquisition adjustments;
8. the acquisition of the Sunstone Embassy Suites Portfolio constitutes a "significant acquisition" of the Filer for the purposes of Part 8 of NI 51-102, requiring the Filer to file a BAR within 75 days of the acquisition pursuant to section 8.2(1) of NI 51-102;

### *Significance Tests for the BAR*

9. under Part 8 of NI 51-102, the Filer is required to file a BAR for any completed acquisition that is determined to be significant based on the acquisition satisfying any of the three significance tests set out in section 8.3(2) of NI 51-102;
10. the acquisition of the Sunstone Embassy Suites Portfolio is not a significant acquisition under the asset test in section 8.3(2)(a) of NI 51-102 as the value of the Sunstone Embassy Suites Portfolio represented only approximately 10.0% of the consolidated assets of the Filer as of December 31, 2015;
11. the acquisition of the Sunstone Embassy Suites Portfolio is not a significant acquisition under the investment test in section 8.3(2)(b) of NI 51-102 as the Filer's acquisition costs represented only approximately 10.0% of the consolidated assets of the Filer as of December 31, 2015;
12. the acquisition of the Sunstone Embassy Suites Portfolio would, however, be a significant acquisition under the profit or loss test in section 8.3(2)(c) of NI 51-102; in particular, the Filer's proportionate share of the consolidated specified profit or loss of the Sunstone Embassy Suites Portfolio (with net operating income being used as proxy for the specified profit or loss of the Sunstone Embassy Suites Portfolio) exceeds 20% of the consolidated specified profit or loss of the Filer calculated using audited annual financial statements of the Filer and unaudited annual financial information for the Sunstone Embassy Suites Portfolio, in each case, for the year ended December 31, 2015;
13. the application of the profit or loss test produces an anomalous result for the Filer because it exaggerates the significance of the Acquisition out of proportion to its significance on an objective basis in comparison to the results of the asset test and investment test;

**De Minimis Acquisition**

14. the Filer does not believe (nor did it at the time that it made the acquisition) that the acquisition of the Sunstone Embassy Suites Portfolio is significant to it from a commercial, business, practical or financial perspective; and
15. the Filer has provided the principal regulator with additional operational measures that demonstrate the non-significance of the acquisition of the Sunstone Embassy Suites Portfolio to the Filer – these additional operational measures compared other operational information, being net operating income, revenue and number of guestrooms for the Sunstone Embassy Suites Portfolio, to that of the Filer, and the results of those measures are generally consistent with the results of the asset test and the investment test.

**Decision**

- 4 Each of the Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Maker to make the decision.

The decision of the Decision Makers under the Legislation is that the Exemption Sought is granted.

“Peter Brady”  
Executive Director  
British Columbia Securities Commission

## 2.2 Orders

### 2.2.1 Janus Capital Management LLC – s. 80 of the CFA

#### Headnote

Section 80 of the Commodity Futures Act (Ontario) – Foreign adviser exempted from the adviser registration requirement in paragraph 22(1)(b) of the Commodity Futures Act (Ontario) where such adviser acts as an adviser in respect of commodity futures contracts or commodity futures options (Contracts) for certain investors in Ontario who meet the definition of “permitted client” in NI 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations – Contracts are primarily traded on commodity futures exchanges outside of Canada and primarily cleared outside of Canada.

Terms and conditions of exemption correspond to the relevant terms and conditions of the comparable exemption from the adviser registration requirement available to international advisers in respect of securities set out in section 8.26 of NI 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations – Exemption also subject to a “sunset clause” condition.

#### Statutes Cited

Commodity Futures Act, R.S.O. 1990, c. C.20., as am., ss. 1(1), 22(1)(b), 80.  
Securities Act, R.S.O. 1990, c. S.5, as am.

#### Instruments Cited

Ontario Securities Commission Rule 13-502 Fees.  
National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations, ss. 1.1, 8.26.

**IN THE MATTER OF  
THE COMMODITY FUTURES ACT,  
R.S.O. 1990, CHAPTER C.20, AS AMENDED  
(the CFA)**

**AND**

**IN THE MATTER OF  
JANUS CAPITAL MANAGEMENT LLC**

**ORDER  
(Section 80 of the CFA)**

**UPON** the application (the **Application**) of Janus Capital Management LLC (the **Applicant**) to the Ontario Securities Commission (the **Commission**) for an order of the Commission, pursuant to section 80 of the CFA that the Applicant and any individuals engaging in, or holding themselves out as engaging in, the business of advising others as to trading in Contracts (as defined below) on the Applicant’s behalf (the **Representatives**) be exempt, for a specified period of time, from the adviser registration requirement in paragraph 22(1)(b) of the CFA, subject to certain terms and conditions.

**AND UPON** considering the Application and the recommendation of staff of the Commission;

**AND WHEREAS** for the purposes of this Order:

“**CFA Adviser Registration Requirement**” means the provisions of section 22 of the CFA that prohibits a person or company from acting as an adviser with respect to trading in Contracts unless the person or company is registered in the appropriate category of registration under the CFA;

“**CFTC**” means the Commodity Futures Trading Commission of the United States;

“**Contract**” has the meaning ascribed to that term in subsection 1(1) of the CFA;

“**Foreign Contract**” means a Contract that is primarily traded on one or more organized exchanges that are located outside of Canada and primarily cleared through one or more clearing corporations that are located outside of Canada;

“**International Adviser Exemption**” means the exemption set out in section 8.26 of NI 31-103 from the OSA Adviser Registration Requirement;

“**NFA**” means the National Futures Association of the United States;

“**NI 31-103**” means National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*, as amended from time to time;

“**OSA**” means the *Securities Act*, R.S.O. 1990, c. S.5, as amended from time to time;

“**OSA Adviser Registration Requirement**” means the provisions of section 25 of the OSA that prohibits a person or company from acting as an adviser with respect to investing in, buying or selling securities unless the person or company is registered in the appropriate category of registration under the OSA;

“**Permitted Client**” means a client in Ontario that is a “permitted client”, as that term is defined in section 1.1 of NI 31-103, except that for purposes of this Order such definition shall exclude a person or company registered under the securities or commodities legislation of a jurisdiction of Canada as an adviser or dealer;

“**SEC**” means the Securities and Exchange Commission of the United States;

“**specified affiliate**” has the meaning ascribed to that term in Form 33-109F6 to National Instrument 33-109 *Registration Information*;

“**United States**” means the United States of America; and

“**United States Advisers Act**” means the *Investment Advisers Act of 1940* of the United States, as amended from time to time.

**AND UPON** the Applicant having represented to the Commission that:

1. The Applicant is a company incorporated under the laws of the State of Delaware, United States. Its principal place of business is located in Denver, Colorado.
2. The Applicant engages in the business of an adviser with respect to securities and with respect to Contracts in the United States. The Applicant provides investment management services on a fully discretionary basis to its clients through separately managed accounts across multiple strategies and financial instruments including equities, equity options and Foreign Contracts.
3. The Applicant is currently (a) registered with the SEC as an investment adviser under the United States Advisers Act; (b) registered with the CFTC as a commodity trading advisor and is a commodity pool operator; and (c) a member of the NFA.
4. The Applicant is not registered in any capacity under the CFA. The Applicant is registered as a portfolio manager and exempt market dealer in Ontario, Alberta, British Columbia, Manitoba and Québec.
5. The Applicant is not in default of securities legislation, commodity futures legislation or derivatives legislation of any jurisdiction in Canada. The Applicant is in compliance in all material respects with securities laws, commodity futures laws and derivatives laws of the United States.
6. In Ontario, certain institutional investors that are Permitted Clients seek to engage the Applicant as a discretionary investment manager for purposes of implementing certain specialized investment strategies.
7. The Applicant seeks to act as a discretionary commodity futures advisory manager for Canadian institutional investors that are Permitted Clients. The Applicant’s advisory services to Permitted Clients would primarily include the use of specialized investment strategies employing Foreign Contracts.
8. Were the proposed advisory services limited to securities (as defined in subsection 1(1) of the OSA) the Applicant would be able to rely on the International Adviser Exemption and carry out such activities for Permitted Clients on a basis that would be exempt from the OSA Adviser Registration Requirement.
9. There is currently no exemption from the CFA Adviser Registration Requirement that is equivalent to the International Adviser Exemption. Consequently, in order to advise Permitted Clients as to trading in Foreign Contracts, in the absence of this Order, the Applicant would be required to satisfy the CFA Adviser Registration Requirement by applying for and obtaining registration in Ontario as an adviser under the CFA in the category of commodity trading manager.

10. To the best of the Applicant's knowledge, The Applicant confirms that there are currently no regulatory actions of the type contemplated by the Notice of Regulatory Action attached as Appendix "B".

**AND UPON** being satisfied that it would not be prejudicial to the public interest for the Commission to make this Order;

**IT IS ORDERED**, pursuant to section 80 of the CFA, that the Applicant and the Representatives are exempt from the adviser registration requirement in paragraph 22(1)(b) of the CFA in respect of providing advice to Permitted Clients as to the trading of Foreign Contracts provided that:

- (a) the Applicant provides advice to Permitted Clients only as to trading in Foreign Contracts and does not advise any Permitted Client as to trading in Contracts that are not Foreign Contracts, unless providing such advice is incidental to its providing advice on Foreign Contracts;
- (b) the Applicant's head office or principal place of business remains in the United States;
- (c) the Applicant is registered in a category of registration, or operates under an exemption from registration, under the applicable securities or commodity futures legislation of the United States that permits it to carry on the activities in the United States that registration under the CFA as an adviser in the category of commodity trading manager would permit it to carry on in Ontario;
- (d) the Applicant continues to engage in the business of an adviser (as defined in the CFA) in the United States;
- (e) as at the end of the Applicant's most recently completed financial year, not more than 10% of the aggregate consolidated gross revenue of the Applicant, its affiliates and its affiliated partnerships was derived from the portfolio management activities of the Applicant, its affiliates and its affiliated partnerships in Canada (which, for greater certainty, includes both securities-related and commodity-futures-related activities);
- (f) before advising a Permitted Client with respect to Foreign Contracts, the Applicant notifies the Permitted Client of all of the following:
  - (i) the Applicant is not registered in Ontario to provide the advice described in paragraph (a) of this Order;
  - (ii) the foreign jurisdiction in which the Applicant's head office or principal place of business is located;
  - (iii) all or substantially all of the Applicant's assets may be situated outside of Canada;
  - (iv) there may be difficulty enforcing legal rights against the Applicant because of the above; and
  - (v) the name and address of the Applicant's agent for service of process in Ontario;
- (g) the Applicant has submitted to the Commission a completed *Submission to Jurisdiction and Appointment of Agent for Service* in the form attached as Appendix "A";
- (h) the Applicant notifies the Commission of any regulatory action initiated after the date of this Order with respect to the Applicant or any predecessors or the specified affiliates of the Applicant by completing and filing Appendix "B" within 10 days of the commencement of each such action, provided that the Applicant may also satisfy this condition by filing with the Commission,
  - (i) within 10 days of the date of this Order, a notice making reference to and incorporating by reference the disclosure made by the Applicant pursuant to federal securities laws of the United States that is identified on the Investment Adviser Public Disclosure website, and
  - (ii) promptly, a notification of any Form ADV amendment and/or filing with the SEC that relates to legal and/or regulatory actions; and
- (j) if the Applicant is not registered under the OSA and does not rely on the International Adviser Exemption, by December 31st of each year, the Applicant pays a participation fee based on its specified Ontario revenues for its previous financial year in compliance with the requirements of Part 3 and section 6.4 of Ontario Securities Commission Rule 13-502 Fees as if the Applicant relied on the International Adviser Exemption; and

**IT IS FURTHER ORDERED** that this Order will terminate on the earliest of:

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- (a) the expiry of any transition period as may be provided by law, after the effective date of the repeal of the CFA;
- (b) six months, or such other transition period as may be provided by law, after the coming into force of any amendment to Ontario commodity futures law (as defined in the CFA) or Ontario securities law (as defined in the OSA) that affects the ability of the Applicant to act as an adviser to a Permitted Client; and
- (c) five years after the date of this Order.

**DATED** at Toronto, Ontario, this 3rd day of March, 2017.

Anne Marie Ryan”  
Commissioner  
Ontario Securities Commission

“Janet Leiper”  
Commissioner  
Ontario Securities Commission

APPENDIX "A"

SUBMISSION TO JURISDICTION AND APPOINTMENT OF AGENT FOR SERVICE  
INTERNATIONAL DEALER OR INTERNATIONAL ADVISER  
EXEMPTED FROM REGISTRATION UNDER THE COMMODITY FUTURES ACT, ONTARIO

1. Name of person or company ("International Firm"):
2. If the International Firm was previously assigned an NRD number as a registered firm or an unregistered exempt international firm, provide the NRD number of the firm:
3. Jurisdiction of incorporation of the International Firm:
4. Head office address of the International Firm:
5. The name, e-mail address, phone number and fax number of the International Firm's individual(s) responsible for the supervisory procedure of the International Firm, its chief compliance officer, or equivalent.  
  
Name:  
E-mail address:  
Phone:  
Fax:
6. The International Firm is relying on an exemption order under section 38 or section 80 of the *Commodity Futures Act* (Ontario) that is similar to the following exemption in National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (the "Relief Order"):  
  
 Section 8.18 [*international dealer*]  
  
 Section 8.26 [*international adviser*]  
  
 Other [specify]:
7. Name of agent for service of process (the "Agent for Service"):
8. Address for service of process on the Agent for Service:
9. The International Firm designates and appoints the Agent for Service at the address stated above as its agent upon whom may be served a notice, pleading, subpoena, summons or other process in any action, investigation or administrative, criminal, quasi-criminal or other proceeding (a "Proceeding") arising out of or relating to or concerning the International Firm's activities in the local jurisdiction and irrevocably waives any right to raise as a defence in any such proceeding any alleged lack of jurisdiction to bring such Proceeding.
10. The International Firm irrevocably and unconditionally submits to the non-exclusive jurisdiction of the judicial, quasi-judicial and administrative tribunals of the local jurisdiction in any Proceeding arising out of or related to or concerning the International Firm's activities in the local jurisdiction.
11. Until 6 years after the International Firm ceases to rely on the Relief Order, the International Firm must submit to the regulator
  - a. a new Submission to Jurisdiction and Appointment of Agent for Service in this form no later than the 30th day before the date this Submission to Jurisdiction and Appointment of Agent for Service is terminated;
  - b. an amended Submission to Jurisdiction and Appointment of Agent for Service no later than the 30th day before any change in the name or above address of the Agent for Service;
  - c. a notice detailing a change to any information submitted in this form, other than the name or above address of the Agent for Service, no later than the 30th day after the change.
12. This Submission to Jurisdiction and Appointment of Agent for Service is governed by and construed in accordance with the laws of the local jurisdiction.



**Decisions, Orders and Rulings**

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Dated: \_\_\_\_\_

\_\_\_\_\_  
(Signature of the International Firm or authorized signatory)

\_\_\_\_\_  
(Name of signatory)

\_\_\_\_\_  
(Title of signatory)

**Acceptance**

The undersigned accepts the appointment as Agent for Service of \_\_\_\_\_ [Insert name of International Firm] under the terms and conditions of the foregoing Submission to Jurisdiction and Appointment of Agent for Service.

Dated: \_\_\_\_\_

\_\_\_\_\_  
(Signature of the Agent for Service or authorized signatory)

\_\_\_\_\_  
(Name of signatory)

\_\_\_\_\_  
(Title of signatory)

This form, and notice of a change to any information submitted in this form, is to be submitted through the Ontario Securities Commission's Electronic Filing Portal:

<https://www.osc.gov.on.ca/filings>

APPENDIX "B"

NOTICE OF REGULATORY ACTION

1. Has the firm, or any predecessors or specified affiliates<sup>1</sup> of the firm entered into a settlement agreement with any financial services regulator, securities or derivatives exchange, SRO or similar agreement with any financial services regulator, securities or derivatives exchange, SRO or similar organization?

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, provide the following information for each settlement agreement:

Name of entity
Regulator/organization
Date of settlement (yyyy/mm/dd)
Details of settlement
Jurisdiction

2. Has any financial services regulator, securities or derivatives exchange, SRO or similar organization:

	Yes	No
a) Determined that the firm, or any predecessors or specified affiliates of the firm violated any securities regulations or any rules of a securities or derivatives exchange, SRO or similar organization?	___	___
(b) Determined that the firm, or any predecessors or specified affiliates of the firm made a false statement or omission?	___	___
(c) Issued a warning or requested an undertaking by the firm, or any predecessors or specified affiliates of the firm?	___	___
(d) Suspended or terminated any registration, licensing or membership of the firm, or any predecessors or specified affiliates of the firm?	___	___
(e) Imposed terms or conditions on any registration or membership of the firm, or predecessors or specified affiliates of the firm?	___	___
(f) Conducted a proceeding or investigation involving the firm, or any predecessors or specified affiliates of the firm?	___	___
(g) Issued an order (other than an exemption order) or a sanction to the firm, or any predecessors or specified affiliates of the firm for securities or derivatives-related activity (e.g. cease trade order)?	___	___

If yes, provide the following information for each action:

Name of entity	
Type of action	
Regulator/organization	
Date of action (yyyy/mm/dd)	Reason for action
Jurisdiction	

<sup>1</sup> In this Appendix, the term "specified affiliate" has the meaning ascribed to that term in Form 33-109F6 to National Instrument 33-109 *Registration Information*.

**Decisions, Orders and Rulings**

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3. Is the firm aware of any ongoing investigation of which the firm or any of its specified affiliates is the subject?

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, provide the following information for each investigation:

Name of entity
Reason or purpose of investigation
Regulator/organization
Date investigation commenced (yyyy/mm/dd)
Jurisdiction

Name of firm:
Name of firm's authorized signing officer or partner
Title of firm's authorized signing officer or partner
Signature
Date (yyyy/mm/dd)

**Witness**

The witness must be a lawyer, notary public or commissioner of oaths.

Name of witness
Title of witness
Signature
Date (yyyy/mm/dd)

This form is to be submitted through the Ontario Securities Commission's Electronic Filing Portal:

<https://www.osc.gov.on.ca/filings>

2.2.2 Krishna Sammy

IN THE MATTER OF  
THE SECURITIES ACT,  
RSO 1990, c S.5

AND

IN THE MATTER OF  
A REQUEST FOR A HEARING AND REVIEW OF  
THE DECISION OF A HEARING PANEL OF  
THE INVESTMENT INDUSTRY REGULATORY  
ORGANIZATION OF CANADA

AND

IN THE MATTER OF  
KRISHNA SAMMY

ORDER

**WHEREAS:**

1. on March 8, 2017, the Ontario Securities Commission (“Commission”) held a confidential pre-hearing conference by teleconference with respect to an application by Krishna Sammy (“Sammy”) for a Hearing and Review of the decision of a Hearing Panel of the Investment Industry Regulatory Organization of Canada (“IIROC”) dated January 22, 2016 (the “Application for Hearing and Review”); and
2. counsel for IIROC, counsel for Commission Staff, and counsel for Sammy agreed to adjourn the hearing for the Application for Hearing and Review to May 3, 2017;

**IT IS ORDERED** that:

1. Commission Staff and IIROC Staff shall serve and file responding written submissions by no later than 4:30 p.m. EDT on March 31, 2017;
2. Sammy shall serve and file reply submissions, if any, by no later than 4:30 p.m. EDT on April 7, 2017;
3. the hearing of the Application for Hearing and Review shall commence on May 3, 2017, at 10:00 a.m. EDT.

**DATED** at Toronto, this 8th day of March 2017.

“Timothy Moseley”

2.2.3 Mark Steven Rotstein and Equilibrium Partners Inc.

IN THE MATTER OF  
THE SECURITIES ACT,  
RSO 1990, c S.5

AND

IN THE MATTER OF  
MARK STEVEN ROTSTEIN AND  
EQUILIBRIUM PARTNERS INC.

ORDER

**WHEREAS:**

1. on February 29, 2016, the Ontario Securities Commission (the “Commission”) issued a Notice of Hearing in respect of a Statement of Allegations filed by Staff of the Commission (“Staff”) on February 29, 2016, in which Staff sought an order against Mark Steven Rotstein and Equilibrium Partners Inc. (the “Respondents”) pursuant to subsection 127(1) and section 127.1 of the *Securities Act*, RSO 1990, c S.5 (the “Act”);
2. on September 15, 2016, counsel for Staff and counsel for the Respondents appeared before the Commission and made submissions;
3. on September 16, 2016, the Commission ordered, among other things, that the final interlocutory appearance be held on March 16, 2017 at 10:00 a.m.; and
4. Staff and the Respondents seek an adjournment of the final interlocutory appearance to a date to be fixed by the Secretary’s office;

**IT IS ORDERED** that the final interlocutory appearance is adjourned to a date to be fixed by the Secretary’s Office.

**DATED** at Toronto this 9th day of March, 2017.

“Timothy Moseley”

## 2.2.4 Estrella International Energy Services Ltd.

### Headnote

National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions – Issuer deemed to no longer be a reporting issuer under securities legislation.

### Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

**Citation:** Re Estrella International Energy Services Ltd., 2017 ABASC 39

March 8, 2017

**IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
ALBERTA AND ONTARIO  
(the Jurisdictions)**

**AND**

**IN THE MATTER OF  
THE PROCESS FOR CEASE TO BE  
A REPORTING ISSUER APPLICATIONS**

**AND**

**IN THE MATTER OF  
ESTRELLA INTERNATIONAL ENERGY SERVICES LTD.  
(the Filer)**

**ORDER**

### Background

The securities regulatory authority or regulator in each of the Jurisdictions (the **Decision Maker**) has received an application from the Filer for an order under the securities legislation of the Jurisdictions (the **Legislation**) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the **Order Sought**).

Under the Process for Cease to be a Reporting Issuer Applications (for a dual application):

- (a) the Alberta Securities Commission is the principal regulator for this application;
- (b) the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 *Passport System (MI 11-102)* is intended to be relied upon in British Columbia; and
- (c) this order is the order of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

### Interpretation

Terms defined in National Instrument 14-101 *Definitions* or MI 11-102 have the same meaning if used in this order, unless otherwise defined.

### Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;
2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of securities legislation in any jurisdiction.

### Order

Each of the Decision Makers is satisfied that the order meets the test set out in the Legislation for the Decision Maker to make the order.

The decision of the Decision Makers under the Legislation is that the Order Sought is granted.

“Denise Weeres”  
Manager, Legal  
Corporate Finance

## 2.2.5 Royal Bank of Canada and The Toronto-Dominion Bank – s. 6.1 of NI 62-104 Take-Over Bids and Issuer Bids

### Headnote

Section 6.1 of NI 62-104 – Issuer bid – relief from the requirements applicable to issuer bids in Part 2 of NI 62-104 – issuer proposes to purchase, pursuant to a repurchase program and at a discounted purchase price, up to a specified number of its common shares under its normal course issuer bid from a third party – the third party will abide by the requirements governing normal course issuer bids as though it was the issuer, subject to certain modifications, including that the third party will not make any purchases under the program pursuant to a pre-arranged trade – common shares delivered to the issuer for cancellation will be common shares from the third party's existing inventory – the third party will purchase common shares under the program on the same basis as if the Issuer had conducted the bid in reliance on the normal course issuer bid exemptions set out in securities legislation – no adverse economic impact on, or prejudice to, the Issuer or its security holders – acquisition of securities exempt from the requirements applicable to issuer bids in Part 2 of NI 62-104, subject to conditions, including that the number of common shares transferred by the third party from its existing inventory to the issuer for purchase under the program be equivalent to the number of common shares that the third party has purchased, or had purchased on its behalf, on Canadian markets.

### Applicable Legislative Provisions

National Instrument 62-104 Take-Over Bids and Issuer Bids, Part 2 and s. 6.1.

**IN THE MATTER OF  
THE SECURITIES ACT,  
R.S.O. 1990, c.S.5, AS AMENDED**

**AND**

**IN THE MATTER OF  
ROYAL BANK OF CANADA AND  
THE TORONTO-DOMINION BANK**

**ORDER**

**(Section 6.1 of National Instrument 62-104)**

**UPON** the application (the "**Application**") of Royal Bank of Canada (the "**Issuer**") and The Toronto-Dominion Bank ("**TD**", and together with the Issuer, the "**Filers**") to the Ontario Securities Commission (the "**Commission**") for an order pursuant to section 6.1 of National Instrument 62-104 *Take-Over Bids and Issuer Bids* ("**NI 62-104**") exempting the Issuer from the requirements applicable to issuer bids in Part 2 of NI 62-104 (the "**Issuer Bid Requirements**") in respect of the proposed purchases by the Issuer of up to 10,000,000 (the "**Program Maximum**") of its common shares (the "**Common Shares**") from TD pursuant to a share repurchase program (the "**Program**");

**AND UPON** considering the Application and the recommendation of staff of the Commission;

**AND UPON** the Issuer having represented to the Commission the matters set out in paragraphs 1 to 4, inclusive, 7, 9 to 20, inclusive, 22 to 28, inclusive, 32, 34, 36 to 38, inclusive, 40 and 41;

**AND UPON** TD and TD Securities Inc. ("**TD Securities**" and together with TD, the "**TD Entities**") having represented to the Commission the matters set out in paragraphs 5 to 10, inclusive, 18, 21 to 23 inclusive, 27, 29 to 33 inclusive, 35, 39, 41 and 42 as they relate to the TD Entities;

1. The Issuer is a Schedule I bank governed by the *Bank Act* (Canada).
2. The Issuer's corporate headquarters are located at Royal Bank Plaza, 200 Bay Street, Toronto, Ontario, Canada and its head office is located at 1 Place Ville-Marie, Montreal, Quebec, Canada.
3. The Issuer is a reporting issuer in each of the provinces and territories of Canada (the "**Jurisdictions**") and the Common Shares are listed for trading on the TSX, the New York Stock Exchange ("**NYSE**") and the SIX Swiss Exchange under the symbols "RY", "RY:US" and "RY", respectively. The Issuer is not in default of any requirement of the securities legislation of the Jurisdictions.
4. The authorized share capital of the Issuer consists of an unlimited number of Common Shares without nominal or par value and an unlimited number of first preferred shares and second preferred shares without nominal or par value,

issuable in series which classes may be issued for a maximum consideration of \$20 billion and \$5 billion, respectively. As at February 27, 2017, the Issuer had the following shares outstanding:

	Number of shares
<b>Common Shares outstanding</b>	1,475,793,585
<b>First preferred shares outstanding</b>	
Non-cumulative Series W	12,000,000
Non-cumulative Series AA	12,000,000
Non-cumulative Series AB	12,000,000
Non-cumulative Series AC	8,000,000
Non-cumulative Series AD	10,000,000
Non-cumulative Series AE	10,000,000
Non-cumulative Series AF	8,000,000
Non-cumulative Series AG	10,000,000
Non-cumulative Series AJ	13,578,815
Non-cumulative Series AK	2,421,285
Non-cumulative Series AL	12,000,000
Non-cumulative Series AZ	20,000,000
Non-cumulative Series BB	20,000,000
Non-cumulative Series BD	24,000,000
Non-cumulative Series BF	12,000,000
Non-cumulative Series BH	6,000,000
Non-cumulative Series BI	6,000,000
Non-cumulative Series BJ	6,000,000
Non-cumulative Series BK	29,000,000
Non-cumulative Series BM	30,000,000
Non-cumulative Series C-1	3,282,000
Non-cumulative Series C-2	815,400

5. TD is a Schedule I bank governed by the *Bank Act* (Canada). The head office of TD is located in Toronto, Canada.
6. TD Securities Inc. is registered as an investment dealer under the securities legislation of British Columbia, Alberta, Saskatchewan, Manitoba, Ontario, Québec, Nova Scotia, Newfoundland and Labrador, New Brunswick, Prince Edward Island, Yukon, the Northwest Territories and Nunavut. It is also registered as a futures commission merchant under the *Commodity Futures Act* (Ontario), as a derivatives dealer under the *Derivatives Act* (Québec), and as dealer (futures commission merchant) under *The Commodity Futures Act* (Manitoba). TD Securities is a member of the Investment Industry Regulatory Organization of Canada (“IIROC”) and the Canadian Investor Protection Fund, a participating organization or member of the TSX, TSX Venture Exchange and Canadian Securities Exchange, and an approved participant of the Bourse de Montréal. The head office of TD Securities is located in Toronto, Ontario.
7. Each proposed purchase will be executed and settled in the Province of Ontario.
8. TD does not own, directly or indirectly, more than 5% of the issued and outstanding Common Shares.



9. TD is the beneficial owner of at least 10,000,000 Common Shares, none of which were acquired by, or on behalf of, TD in anticipation or contemplation of resale to the Issuer (such Common Shares over which TD has beneficial ownership, the “**Inventory Shares**”). All of the Inventory Shares are held by TD in the Province of Ontario. No Common Shares were purchased by, or on behalf of, TD on or after January 21, 2017, being the date that was 30 days prior to the date of the Application, in anticipation or contemplation of a sale of Common Shares by TD to the Issuer.
10. TD is at arm’s length to the Issuer and is not an “insider” of the Issuer, or an “associate” of an “insider” of the Issuer, or an “associate” or “affiliate” of the Issuer, as such terms are defined in the *Securities Act* (Ontario) (the “**Act**”). TD is an “accredited investor” within the meaning of National Instrument 45-106 *Prospectus Exemptions*.
11. Pursuant to a Notice of Intention to Make a Normal Course Issuer Bid (the “**Notice**”), which was accepted by the TSX effective March 9, 2017, the Issuer was permitted to make a normal course issuer bid (the “**NCIB**”) to purchase up to 30,000,000 Common Shares, representing approximately 2% of the Issuer’s then outstanding Common Shares. The Notice specifies that purchases made under the NCIB are to be conducted through the facilities of the TSX, the NYSE and other designated exchanges and Canadian alternative trading systems, if eligible, or by such other means as may be permitted by the TSX in accordance with sections 628 to 629.3 of Part VI of the TSX Company Manual (the “**TSX Rules**”), or by such other means as may be permitted by the TSX, a securities regulatory authority or applicable securities laws and regulations, including under automatic purchase plans and by private agreements or share repurchase programs under issuer bid exemption orders issued by securities regulatory authorities.
12. The NCIB is being conducted in reliance upon the exemption from the Issuer Bid Requirements set out in subsection 4.8(2) of NI 62-104 (the “**Designated Exchange Exemption**”).
13. The NCIB is also being conducted in the normal course on the NYSE and other permitted published markets (collectively with the NYSE, the “**Other Published Markets**”) in reliance upon the exemption from the Issuer Bid Requirements set out in subsection 4.8(3) of NI 62-104 (the “**Other Published Markets Exemption**”), and together with the Designated Exchange Exemption, the “**Exemptions**”).
14. Pursuant to the TSX Rules, the Issuer has appointed RBC Dominion Securities Inc. as its designated broker in respect of the NCIB (the “**Responsible Broker**”).
15. The Issuer has not established an automatic share repurchase plan in connection with the NCIB.
16. During the course of the NCIB, Common Shares may be purchased by trustees or administrators that are not independent of the Issuer (a “**Plan Trustee**”) in the open market to satisfy net requirements of certain employee plans (“**Plan Trustee Purchases**”). The maximum number of Common Shares that the Issuer is permitted to repurchase under the NCIB, being 30,000,000, will be reduced by the number of Plan Trustee Purchases other than Exempted Plan Trustee Purchases (as defined below).
17. Pursuant to relief granted by the TSX, certain of the Issuer’s broadly-based, market-sourced, employee-directed employee share purchase plans were exempted from the provisions of the TSX Rules that would deem the plans to have non-independent trustees (the “**Exempted Plans**”). Other than purchases made under the Exempted Plans (“**Exempted Plan Trustee Purchases**”), no Plan Trustee Purchases will be made during the Program Term (as defined below).
18. The Filers wish to participate in the Program during, and as part of, the NCIB to enable the Issuer to purchase from TD, and for TD to sell to the Issuer, that number of Common Shares equal to the Program Maximum.
19. To the best of the Issuer’s knowledge the “public float” (calculated in accordance with the TSX Rules) for the Common Shares as at March 7, 2017 consisted of 1,471,294,105 Common Shares. The Common Shares are “highly-liquid securities” as that term is defined in section 1.1 of OSC Rule 48-501 *Trading during Distributions, Formal Bids and Share Exchange Transactions* (“**OSC Rule 48-501**”) and section 1.1 of the Universal Market Integrity Rules (“**UMIR**”).
20. Concurrently with this Application, the Issuer has filed an additional application with the Commission for exemptive relief from the Issuer Bid Requirements in connection with the proposed purchase by the Issuer of up to 5,000,000 Common Shares from The Bank of Nova Scotia (“**BNS**”) pursuant to a share repurchase program (the “**BNS Program**”). The BNS Program will begin on the Trading Day (as defined below) following completion or termination of the Program and will terminate on the earlier of April 27, 2017 and the date on which the Issuer will have purchased 5,000,000 Common Shares from BNS under the BNS Program.
21. Pursuant to the terms of the Program Agreement (as defined below), TD Securities has been retained by TD to acquire Common Shares through the facilities of the TSX and on Other Published Markets in Canada (each, a “**Canadian Other Published Market**” and collectively with the TSX, the “**Canadian Markets**”) under the Program. No Common

Shares will be acquired under the Program on any Other Published Markets other than Canadian Other Published Markets.

22. The Program will be governed by, and conducted in accordance with, the terms and conditions of a Share Repurchase Program Agreement (the “**Program Agreement**”) that will be entered into among the Filers and TD Securities prior to the commencement of the Program and a copy of which will be delivered by the Filers to the Commission promptly thereafter.
23. The Program will begin on or after March 14, 2017 and will terminate on the earlier of April 13, 2017 and the date on which the Issuer will have purchased the Program Maximum under the Program (the “**Program Term**”). Neither the Issuer nor any of the TD Entities may unilaterally terminate the Program Agreement during the Program Term, except in the case of an event of default by a party thereunder or a change in law or announced change in law that would have adverse consequences to the transactions contemplated thereunder or to the Issuer or the TD Entities.
24. At least two clear Trading Days (as defined below) prior to the commencement of the Program, the Issuer will issue a press release that has been pre-cleared by the TSX that describes the material features of the Program and discloses the Issuer’s intention to participate in the Program during the NCIB (the “**Press Release**”).
25. The Program Maximum will be less than the number of Common Shares remaining that the Issuer is entitled to acquire under the NCIB, calculated as at the date of the Program Agreement.
26. The TSX: (a) will be advised of the Issuer’s intention to enter into the Program; (b) will be provided with a copy of the Program Agreement; and (c) will have pre-cleared the Program.
27. During the Program Term, TD Securities will purchase Common Shares on the applicable Trading Day (as defined below) in accordance with instructions received by TD Securities from the Issuer prior to the opening of trading on such day, which instructions will be the same instructions that the Issuer would give to the Responsible Broker, as its designated broker in respect of the NCIB if the Issuer was conducting the NCIB in reliance on the Exemptions.
28. The Issuer will not give purchase instructions in respect of the Program to TD Securities at any time that the Issuer is aware of Undisclosed Information (as defined below).
29. All Common Shares acquired for the purposes of the Program by TD Securities on a day during the Program Term on which Canadian Markets are open for trading (each, a “**Trading Day**”) must be acquired on Canadian Markets in accordance with the TSX Rules and any by-laws, rules, regulations or policies of any Canadian Markets upon which purchases are carried out (collectively, the “**NCIB Rules**”) that would be applicable to the Issuer in connection with the NCIB, provided that:
  - (a) the aggregate number of Common Shares to be acquired on Canadian Markets by TD Securities on each Trading Day shall not exceed the maximum daily limit that is imposed upon the NCIB pursuant to the TSX Rules, determined with reference to an average daily trading volume that is based on the trading volume of the Common Shares on all Canadian Markets rather than being limited to the trading volume on the TSX only (the “**Modified Maximum Daily Limit**”), it being understood that the aggregate number of Common Shares to be acquired on the TSX by TD Securities on each Trading Day will not exceed the maximum daily limit that is imposed on the NCIB pursuant to the TSX Rules; and
  - (b) notwithstanding the block purchase exception provided for in the TSX Rules, no purchases will be made by TD Securities on any Canadian Markets pursuant to a pre-arranged trade.
30. The aggregate number of Common Shares acquired by TD Securities in connection with the Program:
  - (a) shall not exceed the Program Maximum; and
  - (b) on Canadian Other Published Markets, shall not exceed that number of Common Shares remaining eligible for purchase by the Issuer pursuant to the Other Published Markets Exemption, calculated as at the date of the Program Agreement.
31. On every Trading Day, TD Securities will purchase the Number of Common Shares. The “**Number of Common Shares**” will be no greater than the least of:
  - (a) the maximum number of Common Shares established in the instructions received by TD Securities from the Issuer prior to the opening of trading on such day;

- (b) the Program Maximum less the aggregate number of Common Shares previously purchased by TD Securities under the Program;
- (c) on a Trading Day where trading ceases on the TSX or some other event that would impair TD Securities' ability to acquire Common Shares on Canadian Markets occurs (a "**Market Disruption Event**"), the number of Common Shares acquired by TD Securities on such Trading Day up until the time of the Market Disruption Event; and
- (d) the Modified Maximum Daily Limit.

The "**Discounted Price**" per Common Share will be equal to: (a) the volume weighted average price of the Common Shares on the Canadian Markets on the Trading Day on which purchases were made less an agreed upon discount; or (b) upon the occurrence of a Market Disruption Event, the volume weighted average price of the Common Shares on the Canadian Markets at the time of the Market Disruption Event less an agreed upon discount.

- 32. TD will deliver to the Issuer that number of Inventory Shares equal to the number of Common Shares purchased by TD Securities on a Trading Day under the Program on the Trading Day immediately thereafter, and the Issuer will pay TD a purchase price equal to the Discounted Price for each such Inventory Share. Each Inventory Share purchased by the Issuer under the Program will be cancelled upon delivery to the Issuer.
- 33. TD will not sell any Inventory Shares to the Issuer under the Program unless TD Securities has purchased the equivalent number of Common Shares on Canadian Markets. The number of Common Shares that are purchased by TD Securities on Canadian Markets on a Trading Day will be equal to the Number of Common Shares for such Trading Day. TD Securities will provide the Issuer with a daily written report of TD Securities' purchases, which report will indicate, *inter alia*, the aggregate number of Common Shares acquired, the Canadian Market on which such Common Shares were acquired, and the Modified Maximum Daily Limit.
- 34. During the Program Term, the Issuer will: (a) not purchase any Common Shares (other than Inventory Shares purchased under the Program); (b) prohibit the Responsible Broker from acquiring any Common Shares on its behalf; and (c) prohibit any Plan Trustee from undertaking any Plan Trustee Purchases other than Exempted Plan Trustee Purchases.
- 35. All purchases of Common Shares under the Program will be made by TD Securities and neither of the TD Entities will engage in any hedging activity in connection with the conduct of the Program.
- 36. The Issuer will report its purchases of Common Shares under the Program to the TSX in accordance with the TSX Rules. In addition, immediately following the completion of the Program, the Issuer will: (a) report the total number of Common Shares acquired under the Program to the TSX and the Commission; and (b) file a notice on the System for Electronic Document Analysis and Retrieval ("**SEDAR**") disclosing the number of Common Shares acquired under the Program and the aggregate dollar amount paid for such Common Shares.
- 37. The Issuer is of the view that: (a) it will be able to purchase Common Shares from TD at a lower price than the price at which it would be able to purchase an equivalent quantity of Common Shares under the NCIB in reliance on the Exemptions; and (b) the purchase of Common Shares pursuant to the Program is in the best interests of the Issuer and constitutes a desirable use of the Issuer's funds.
- 38. The entering into of the Program Agreement, the purchase of Common Shares by TD Securities in connection with the Program, and the sale of Inventory Shares by TD to the Issuer will not adversely affect the Issuer or the rights of any of the Issuer's security holders and it will not materially affect control of the Issuer.
- 39. The sale of Inventory Shares to the Issuer by TD will not be a "distribution" (as defined in the Act).
- 40. The Issuer will be able to acquire the Inventory Shares from TD without the Issuer being subject to the dealer registration requirements of the Act.
- 41. At the time that the Issuer and the TD Entities enter into the Program Agreement, neither the Issuer, nor any member of the Equity Derivatives group of TD, nor any personnel of either of the TD Entities that negotiated the Program Agreement or made, participated in the making of, or provided advice in connection with, the decision to enter into the Program Agreement and sell the Common Shares, will be aware of any "material change" or "material fact" (each as defined in the Act) with respect to the Issuer or the Common Shares that has not been generally disclosed (the "**Undisclosed Information**").
- 42. Each of the TD Entities:

- (a) has policies and procedures in place to ensure that the Program will be conducted in accordance with, among other things, the Program Agreement and this Order, and to preclude those persons responsible for administering the Program from acquiring any Undisclosed Information during the conduct of the Program; and
- (b) will, prior to entering into the Program Agreement: (i) ensure that its systems are capable of adhering to, and performing in accordance with, the requirements of the Program and this Order; and (ii) provide all necessary training and take all necessary actions to ensure that the persons administering and executing the purchases under the Program are aware of, and understand the terms of, this Order.

**AND UPON** the Commission being satisfied that to do so would not be prejudicial to the public interest;

**IT IS ORDERED** pursuant to section 6.1 of NI 62-104 that the Issuer be exempt from the Issuer Bid Requirements in respect of the purchase of Inventory Shares from TD pursuant to the Program, provided that:

- (a) at least two clear trading days prior to the commencement of the Program, the Issuer issues the Press Release;
- (b) all purchases of Common Shares under the Program are made on Canadian Markets by TD Securities, and are:
  - (i) made in accordance with the NCIB Rules applicable to the NCIB, as modified by paragraph 29 of this Order;
  - (ii) taken into account by the Issuer when calculating the maximum annual aggregate limits that are imposed upon the NCIB in accordance with the TSX Rules, with those Common Shares purchased on Canadian Other Published Markets being taken into account by the Issuer when calculating the maximum aggregate limits that are imposed upon the Issuer in accordance with the Other Published Markets Exemption;
  - (iii) marked with such designation, as would be required by the applicable marketplace and UMIR for trades made by an agent of the Issuer; and
  - (iv) monitored by the TD Entities on a continual basis for the purposes of ensuring compliance with the terms of this Order, NCIB Rules, and applicable securities law;
- (c) during the Program Term: (i) the Issuer does not purchase any Common Shares (other than Inventory Shares purchased under the Program); (ii) no Common Shares are purchased on behalf of the Issuer by the Responsible Broker; and (iii) no Plan Trustee Purchases are undertaken by any Plan Trustee (other than Exempted Plan Trustee Purchases);
- (d) the number of Inventory Shares transferred by TD to the Issuer for purchase under the Program in respect of a particular Trading Day is equal to the number of Common Shares purchased by TD Securities on Canadian Markets in respect of the Trading Day;
- (e) no hedging activity is engaged in by the TD Entities in connection with the conduct of the Program;
- (f) at the time that the Program Agreement is entered into by the Filers and TD Securities:
  - (i) the Common Shares are “highly liquid securities”, as that term is defined in section 1.1 of OSC Rule 48-501 and section 1.1 of UMIR; and
  - (ii) none of the Issuer, any member of the Equity Derivatives group of TD, or any personnel of either of the TD Entities that negotiated the Program Agreement or made, participated in the making of, or provided advice in connection with, the decision to enter into the Program Agreement and sell the Common Shares, was aware of any Undisclosed Information;
- (g) no purchase instructions in respect of the Program are given by the Issuer to TD Securities at any time that the Issuer is aware of Undisclosed Information;
- (h) the TD Entities maintain records of all purchases of Common Shares that are made by TD Securities pursuant to the Program, which will be available to the Commission and IIROC upon request; and

- (i) in addition to reporting its purchases of Common Shares under the Program to the TSX in accordance with the TSX Rules, immediately following the completion of the Program, the Issuer will: (i) report the total number of Common Shares acquired under the Program to the TSX and the Commission; and (ii) file a notice on SEDAR disclosing the number of Common Shares acquired under the Program and the aggregate dollar amount paid for such Common Shares.

**DATED** at Toronto, Ontario this 9th day of March, 2017.

“Naizam Kanji”  
Director, Office of Mergers & Acquisitions  
Ontario Securities Commission

## 2.2.6 Royal Bank of Canada and The Bank of Nova Scotia – s. 6.1 of NI 62-104 Take-Over Bids and Issuer Bids

### Headnote

Section 6.1 of NI 62-104 – Issuer bid – relief from the requirements applicable to issuer bids in Part 2 of NI 62-104 – issuer proposes to purchase, pursuant to a repurchase program and at a discounted purchase price, up to a specified number of its common shares under its normal course issuer bid from a third party – the third party will abide by the requirements governing normal course issuer bids as though it was the issuer, subject to certain modifications, including that the third party will not make any purchases under the program pursuant to a pre-arranged trade – common shares delivered to the issuer for cancellation will be common shares from the third party's existing inventory – the third party will purchase common shares under the program on the same basis as if the Issuer had conducted the bid in reliance on the normal course issuer bid exemptions set out in securities legislation – no adverse economic impact on, or prejudice to, the Issuer or its security holders – acquisition of securities exempt from the requirements applicable to issuer bids in Part 2 of NI 62-104, subject to conditions, including that the number of common shares transferred by the third party from its existing inventory to the issuer for purchase under the program be equivalent to the number of common shares that the third party has purchased, or had purchased on its behalf, on Canadian markets.

### Applicable Legislative Provisions

National Instrument 62-104 Take-Over Bids and Issuer Bids, Part 2 and s. 6.1.

**IN THE MATTER OF  
THE SECURITIES ACT,  
R.S.O. 1990, c.S.5, AS AMENDED**

**AND**

**IN THE MATTER OF  
ROYAL BANK OF CANADA AND  
THE BANK OF NOVA SCOTIA**

**ORDER**

**(Section 6.1 of National Instrument 62-104)**

**UPON** the application (the “**Application**”) of Royal Bank of Canada (the “**Issuer**”) and The Bank of Nova Scotia (“**BNS**”, and together with the Issuer, the “**Filers**”) to the Ontario Securities Commission (the “**Commission**”) for an order pursuant to section 6.1 of National Instrument 62-104 *Take-Over Bids and Issuer Bids* (“**NI 62-104**”) exempting the Issuer from the requirements applicable to issuer bids in Part 2 of NI 62-104 (the “**Issuer Bid Requirements**”) in respect of the proposed purchases by the Issuer of up to 5,000,000 (the “**Program Maximum**”) of its common shares (the “**Common Shares**”) from BNS pursuant to a share repurchase program (the “**Program**”);

**AND UPON** considering the Application and the recommendation of staff of the Commission;

**AND UPON** the Issuer having represented to the Commission the matters set out in paragraphs 1 to 4, inclusive, 7, 9 to 20, inclusive, 22 to 28, inclusive, 32, 34, 36 to 38, inclusive, 40 and 41;

**AND UPON** BNS and Scotia Capital Inc. (“**SCI**” and together with BNS, the “**BNS Entities**”) having represented to the Commission the matters set out in paragraphs 5 to 10, inclusive, 18, 21 to 23 inclusive, 27, 29 to 33 inclusive, 35, 39, 41 and 42 as they relate to the BNS Entities;

1. The Issuer is a Schedule I bank governed by the *Bank Act* (Canada).
2. The Issuer's corporate headquarters are located at Royal Bank Plaza, 200 Bay Street, Toronto, Ontario, Canada and its head office is located at 1 Place Ville-Marie, Montreal, Quebec, Canada.
3. The Issuer is a reporting issuer in each of the provinces and territories of Canada (the “**Jurisdictions**”) and the Common Shares are listed for trading on the TSX, the New York Stock Exchange (“**NYSE**”) and the SIX Swiss Exchange under the symbols “RY”, “RY:US” and “RY”, respectively. The Issuer is not in default of any requirement of the securities legislation of the Jurisdictions.
4. The authorized share capital of the Issuer consists of an unlimited number of Common Shares without nominal or par value and an unlimited number of first preferred shares and second preferred shares without nominal or par value,

issuable in series which classes may be issued for a maximum consideration of \$20 billion and \$5 billion, respectively. As at February 27, 2017, the Issuer had the following shares outstanding:

	Number of shares
<b>Common Shares outstanding</b>	1,475,793,585
<b>First preferred shares outstanding</b>	
Non-cumulative Series W	12,000,000
Non-cumulative Series AA	12,000,000
Non-cumulative Series AB	12,000,000
Non-cumulative Series AC	8,000,000
Non-cumulative Series AD	10,000,000
Non-cumulative Series AE	10,000,000
Non-cumulative Series AF	8,000,000
Non-cumulative Series AG	10,000,000
Non-cumulative Series AJ	13,578,815
Non-cumulative Series AK	2,421,285
Non-cumulative Series AL	12,000,000
Non-cumulative Series AZ	20,000,000
Non-cumulative Series BB	20,000,000
Non-cumulative Series BD	24,000,000
Non-cumulative Series BF	12,000,000
Non-cumulative Series BH	6,000,000
Non-cumulative Series BI	6,000,000
Non-cumulative Series BJ	6,000,000
Non-cumulative Series BK	29,000,000
Non-cumulative Series BM	30,000,000
Non-cumulative Series C-1	3,282,000
Non-cumulative Series C-2	815,400

5. BNS is a Schedule I bank governed by the *Bank Act* (Canada). The corporate headquarters of BNS are located in Toronto, Ontario.
6. SCI is registered as an investment dealer under the securities legislation of British Columbia, Alberta, Saskatchewan, Manitoba, Ontario, Québec, Nova Scotia, Newfoundland and Labrador, New Brunswick, Prince Edward Island, Yukon, the Northwest Territories and Nunavut. SCI is a member of the Investment Industry Regulatory Organization of Canada ("IIROC") and the Canadian Investor Protection Fund, a participating organization or member of the TSX, TSX Venture Exchange and Canadian Securities Exchange, and an approved participant of the Bourse de Montréal. The head office of SCI is located in Toronto, Ontario.
7. Each proposed purchase will be executed and settled in the Province of Ontario.
8. BNS does not own, directly or indirectly, more than 5% of the issued and outstanding Common Shares.
9. BNS is the beneficial owner of at least 5,000,000 Common Shares, none of which were acquired by, or on behalf of, BNS in anticipation or contemplation of resale to the Issuer (such Common Shares over which BNS has beneficial

ownership, the **“Inventory Shares”**). All of the Inventory Shares are held by BNS in the Province of Ontario. No Common Shares were purchased by, or on behalf of, BNS on or after January 28, 2017, being the date that was 30 days prior to the date of the Application, in anticipation or contemplation of a sale of Common Shares by BNS to the Issuer.

10. BNS is at arm’s length to the Issuer and is not an “insider” of the Issuer, or an “associate” of an “insider” of the Issuer, or an “associate” or “affiliate” of the Issuer, as such terms are defined in the *Securities Act* (Ontario) (the **“Act”**). BNS is an “accredited investor” within the meaning of National Instrument 45-106 *Prospectus Exemptions*.
11. Pursuant to a Notice of Intention to Make a Normal Course Issuer Bid (the **“Notice”**), which was accepted by the TSX effective March 9, 2017, the Issuer was permitted to make a normal course issuer bid (the **“NCIB”**) to purchase up to 30,000,000 Common Shares, representing approximately 2% of the Issuer’s then outstanding Common Shares. The Notice specifies that purchases made under the NCIB are to be conducted through the facilities of the TSX, the NYSE and other designated exchanges and Canadian alternative trading systems, if eligible, or by such other means as may be permitted by the TSX in accordance with sections 628 to 629.3 of Part VI of the TSX Company Manual (the **“TSX Rules”**), or by such other means as may be permitted by the TSX, a securities regulatory authority or applicable securities laws and regulations, including under automatic purchase plans and by private agreements or share repurchase programs under issuer bid exemption orders issued by securities regulatory authorities.
12. The NCIB is being conducted in reliance upon the exemption from the Issuer Bid Requirements set out in subsection 4.8(2) of NI 62-104 (the **“Designated Exchange Exemption”**).
13. The NCIB is also being conducted in the normal course on the NYSE and other permitted published markets (collectively with the NYSE, the **“Other Published Markets”**) in reliance upon the exemption from the Issuer Bid Requirements set out in subsection 4.8(3) of NI 62-104 (the **“Other Published Markets Exemption”**), and together with the Designated Exchange Exemption, the **“Exemptions”**.
14. Pursuant to the TSX Rules, the Issuer has appointed RBC Dominion Securities Inc. as its designated broker in respect of the NCIB (the **“Responsible Broker”**).
15. The Issuer has not established an automatic share repurchase plan in connection with the NCIB.
16. During the course of the NCIB, Common Shares may be purchased by trustees or administrators that are not independent of the Issuer (a **“Plan Trustee”**) in the open market to satisfy net requirements of certain employee plans (**“Plan Trustee Purchases”**). The maximum number of Common Shares that the Issuer is permitted to repurchase under the NCIB, being 30,000,000, will be reduced by the number of Plan Trustee Purchases other than Exempted Plan Trustee Purchases (as defined below).
17. Pursuant to relief granted by the TSX, certain of the Issuer’s broadly-based, market-sourced, employee-directed employee share purchase plans were exempted from the provisions of the TSX Rules that would deem the plans to have non-independent trustees (the **“Exempted Plans”**). Other than purchases made under the Exempted Plans (**“Exempted Plan Trustee Purchases”**), no Plan Trustee Purchases will be made during the Program Term (as defined below).
18. The Filers wish to participate in the Program during, and as part of, the NCIB to enable the Issuer to purchase from BNS, and for BNS to sell to the Issuer, that number of Common Shares equal to the Program Maximum.
19. To the best of the Issuer’s knowledge the “public float” (calculated in accordance with the TSX Rules) for the Common Shares as at March 7, 2017 consisted of 1,471,294,105 Common Shares. The Common Shares are “highly-liquid securities” as that term is defined in section 1.1 of OSC Rule 48-501 Trading during Distributions, Formal Bids and Share Exchange Transactions (**“OSC Rule 48-501”**) and section 1.1 of the Universal Market Integrity Rules (**“UMIR”**).
20. Concurrently with this Application, the Issuer has filed an additional application with the Commission for exemptive relief from the Issuer Bid Requirements in connection with the proposed purchase by the Issuer of up to 10,000,000 Common Shares from The Toronto-Dominion Bank (**“TD”**) pursuant to a share repurchase program (the **“TD Program”**). The TD Program will begin on or after March 14, 2017 and will terminate on the earlier of April 13, 2017 and the date on which the Issuer will have purchased 10,000,000 Common Shares from TD under the TD Program.
21. Pursuant to the terms of the Program Agreement (as defined below), SCI has been retained by BNS to acquire Common Shares through the facilities of the TSX and on Other Published Markets in Canada (each, a **“Canadian Other Published Market”** and collectively with the TSX, the **“Canadian Markets”**) under the Program. No Common Shares will be acquired under the Program on any Other Published Markets other than Canadian Other Published Markets.



22. The Program will be governed by, and conducted in accordance with, the terms and conditions of a Share Repurchase Program Agreement (the "**Program Agreement**") that will be entered into among the Filers and SCI prior to the commencement of the Program and a copy of which will be delivered by the Filers to the Commission promptly thereafter.
23. The Program will begin on the Trading Day (as defined below) following the completion or termination of the TD Program, and will terminate on the earlier of April 27, 2017 and the date on which the Issuer will have purchased the Program Maximum under the Program (the "**Program Term**"). Neither the Issuer nor any of the BNS Entities may unilaterally terminate the Program Agreement during the Program Term, except in the case of an event of default by a party thereunder or a change in law or announced change in law that would have adverse consequences to the transactions contemplated thereunder or to the Issuer or either of the BNS Entities.
24. At least two clear Trading Days (as defined below) prior to the commencement of the Program, the Issuer will issue a press release that has been pre-cleared by the TSX that describes the material features of the Program and discloses the Issuer's intention to participate in the Program during the NCIB (the "**Press Release**").
25. The Program Maximum will be less than the number of Common Shares remaining that the Issuer is entitled to acquire under the NCIB, calculated as at the date of the Program Agreement.
26. The TSX: (a) will be advised of the Issuer's intention to enter into the Program; (b) will be provided with a copy of the Program Agreement; and (c) will have pre-cleared the Program.
27. During the Program Term, SCI will purchase Common Shares on the applicable Trading Day (as defined below) in accordance with instructions received by SCI from the Issuer prior to the opening of trading on such day, which instructions will be the same instructions that the Issuer would give to the Responsible Broker, as its designated broker in respect of the NCIB if the Issuer was conducting the NCIB in reliance on the Exemptions.
28. The Issuer will not give purchase instructions in respect of the Program to SCI at any time that the Issuer is aware of Undisclosed Information (as defined below).
29. All Common Shares acquired for the purposes of the Program by SCI on a day during the Program Term on which Canadian Markets are open for trading (each, a "**Trading Day**") must be acquired on Canadian Markets in accordance with the TSX Rules and any by-laws, rules, regulations or policies of any Canadian Markets upon which purchases are carried out (collectively, the "**NCIB Rules**") that would be applicable to the Issuer in connection with the NCIB, provided that:
  - (a) the aggregate number of Common Shares to be acquired on Canadian Markets by SCI on each Trading Day shall not exceed the maximum daily limit that is imposed upon the NCIB pursuant to the TSX Rules, determined with reference to an average daily trading volume that is based on the trading volume of the Common Shares on all Canadian Markets rather than being limited to the trading volume on the TSX only (the "**Modified Maximum Daily Limit**"), it being understood that the aggregate number of Common Shares to be acquired on the TSX by SCI on each Trading Day will not exceed the maximum daily limit that is imposed on the NCIB pursuant to the TSX Rules; and
  - (b) notwithstanding the block purchase exception provided for in the TSX Rules, no purchases will be made by SCI on any Canadian Markets pursuant to a pre-arranged trade.
30. The aggregate number of Common Shares acquired by SCI in connection with the Program:
  - (a) shall not exceed the Program Maximum; and
  - (b) on Canadian Other Published Markets, shall not exceed that number of Common Shares remaining eligible for purchase by the Issuer pursuant to the Other Published Markets Exemption, calculated as at the date of the Program Agreement.
31. On every Trading Day, SCI will purchase the Number of Common Shares. The "**Number of Common Shares**" will be no greater than the least of:
  - (a) the maximum number of Common Shares established in the instructions received by SCI from the Issuer prior to the opening of trading on such day;
  - (b) the Program Maximum less the aggregate number of Common Shares previously purchased by SCI under the Program;

(c) on a Trading Day where trading ceases on the TSX or some other event that would impair SCI's ability to acquire Common Shares on Canadian Markets occurs (a "**Market Disruption Event**"), the number of Common Shares acquired by SCI on such Trading Day up until the time of the Market Disruption Event; and

(d) the Modified Maximum Daily Limit.

The "**Discounted Price**" per Common Share will be equal to: (a) the volume weighted average price of the Common Shares on the Canadian Markets on the Trading Day on which purchases were made less an agreed upon discount; or (b) upon the occurrence of a Market Disruption Event, the volume weighted average price of the Common Shares on the Canadian Markets at the time of the Market Disruption Event less an agreed upon discount.

32. BNS will deliver to the Issuer that number of Inventory Shares equal to the number of Common Shares purchased by SCI on a Trading Day under the Program on the Trading Day immediately thereafter, and the Issuer will pay BNS a purchase price equal to the Discounted Price for each such Inventory Share. Each Inventory Share purchased by the Issuer under the Program will be cancelled upon delivery to the Issuer.
33. BNS will not sell any Inventory Shares to the Issuer under the Program unless SCI has purchased the equivalent number of Common Shares on Canadian Markets. The number of Common Shares that are purchased by SCI on Canadian Markets on a Trading Day will be equal to the Number of Common Shares for such Trading Day. SCI will provide the Issuer with a daily written report of SCI's purchases, which report will indicate, *inter alia*, the aggregate number of Common Shares acquired, the Canadian Market on which such Common Shares were acquired, and the Modified Maximum Daily Limit.
34. During the Program Term, the Issuer will: (a) not purchase any Common Shares (other than Inventory Shares purchased under the Program); (b) prohibit the Responsible Broker from acquiring any Common Shares on its behalf; and (c) prohibit any Plan Trustee from undertaking any Plan Trustee Purchases other than Exempted Plan Trustee Purchases.
35. All purchases of Common Shares under the Program will be made by SCI and neither of the BNS Entities will engage in any hedging activity in connection with the conduct of the Program.
36. The Issuer will report its purchases of Common Shares under the Program to the TSX in accordance with the TSX Rules. In addition, immediately following the completion of the Program, the Issuer will: (a) report the total number of Common Shares acquired under the Program to the TSX and the Commission; and (b) file a notice on the System for Electronic Document Analysis and Retrieval ("**SEDAR**") disclosing the number of Common Shares acquired under the Program and the aggregate dollar amount paid for such Common Shares.
37. The Issuer is of the view that: (a) it will be able to purchase Common Shares from BNS at a lower price than the price at which it would be able to purchase an equivalent quantity of Common Shares under the NCIB in reliance on the Exemptions; and (b) the purchase of Common Shares pursuant to the Program is in the best interests of the Issuer and constitutes a desirable use of the Issuer's funds.
38. The entering into of the Program Agreement, the purchase of Common Shares by SCI in connection with the Program, and the sale of Inventory Shares by BNS to the Issuer will not adversely affect the Issuer or the rights of any of the Issuer's security holders and it will not materially affect control of the Issuer.
39. The sale of Inventory Shares to the Issuer by BNS will not be a "distribution" (as defined in the Act).
40. The Issuer will be able to acquire the Inventory Shares from BNS without the Issuer being subject to the dealer registration requirements of the Act.
41. At the time that the Issuer and the BNS Entities enter into the Program Agreement, neither the Issuer, nor any member of the Global Equity Derivatives group of BNS, nor any personnel of either of the BNS Entities that negotiated the Program Agreement or made, participated in the making of, or provided advice in connection with, the decision to enter into the Program Agreement and sell the Common Shares, will be aware of any "material change" or "material fact" (each as defined in the Act) with respect to the Issuer or the Common Shares that has not been generally disclosed (the "**Undisclosed Information**").
42. Each of the BNS Entities:
  - (a) has policies and procedures in place to ensure that the Program will be conducted in accordance with, among other things, the Program Agreement and this Order, and to preclude those persons responsible for

administering the Program from acquiring any Undisclosed Information during the conduct of the Program; and

- (b) will, prior to entering into the Program Agreement: (i) ensure that its systems are capable of adhering to, and performing in accordance with, the requirements of the Program and this Order; and (ii) provide all necessary training and take all necessary actions to ensure that the persons administering and executing the purchases under the Program are aware of, and understand the terms of, this Order.

**AND UPON** the Commission being satisfied that to do so would not be prejudicial to the public interest;

**IT IS ORDERED** pursuant to section 6.1 of NI 62-104 that the Issuer be exempt from the Issuer Bid Requirements in respect of the purchase of Inventory Shares from BNS pursuant to the Program, provided that:

- (a) at least two clear trading days prior to the commencement of the Program the Issuer issues the Press Release;
- (b) all purchases of Common Shares under the Program are made on Canadian Markets by SCI, and are:
  - (i) made in accordance with the NCIB Rules applicable to the NCIB, as modified by paragraph 29 of this Order;
  - (ii) taken into account by the Issuer when calculating the maximum annual aggregate limits that are imposed upon the NCIB in accordance with the TSX Rules, with those Common Shares purchased on Canadian Other Published Markets being taken into account by the Issuer when calculating the maximum aggregate limits that are imposed upon the Issuer in accordance with the Other Published Markets Exemption;
  - (iii) marked with such designation, as would be required by the applicable marketplace and UMIR for trades made by an agent of the Issuer; and
  - (iv) monitored by the BNS Entities on a continual basis for the purposes of ensuring compliance with the terms of this Order, NCIB Rules, and applicable securities law;
- (c) during the Program Term: (i) the Issuer does not purchase any Common Shares (other than Inventory Shares purchased under the Program); (ii) no Common Shares are purchased on behalf of the Issuer by the Responsible Broker; and (iii) no Plan Trustee Purchases are undertaken by any Plan Trustee (other than Exempted Plan Trustee Purchases);
- (d) the number of Inventory Shares transferred by BNS to the Issuer for purchase under the Program in respect of a particular Trading Day is equal to the number of Common Shares purchased by SCI on Canadian Markets in respect of the Trading Day;
- (e) no hedging activity is engaged in by the BNS Entities in connection with the conduct of the Program;
- (f) at the time that the Program Agreement is entered into by the Filers and SCI:
  - (i) the Common Shares are “highly liquid securities”, as that term is defined in section 1.1 of OSC Rule 48-501 and section 1.1 of UMIR; and
  - (ii) none of the Issuer, any member of the Global Equity Derivatives group of BNS, or any personnel of either of the BNS Entities that negotiated the Program Agreement or made, participated in the making of, or provided advice in connection with, the decision to enter into the Program Agreement and sell the Common Shares, was aware of any Undisclosed Information;
- (g) no purchase instructions in respect of the Program are given by the Issuer to SCI at any time that the Issuer is aware of Undisclosed Information;
- (h) the BNS entities maintain records of all purchases of Common Shares that are made by SCI pursuant to the Program, which will be available to the Commission and IIROC upon request; and
- (i) in addition to reporting its purchases of Common Shares under the Program to the TSX in accordance with the TSX Rules, immediately following the completion of the Program, the Issuer will: (i) report the total number of Common Shares acquired under the Program to the TSX and the Commission; and (ii) file a notice on

SEDAR disclosing the number of Common Shares acquired under the Program and the aggregate dollar amount paid for such Common Shares.

**DATED** at Toronto, Ontario this 9th day of March, 2017.

“Naizam Kanji”  
Director, Office of Mergers & Acquisitions  
Ontario Securities Commission

**2.2.7 Global Diversified Investment Grade Income Trust II**

the securities regulatory authority or regulator in Ontario.

**Headnote**

National Policy 11- 206 Process for Cease to be a Reporting Issuer Applications – The issuer ceased to be a reporting issuer under securities legislation.

**Applicable Legislative Provisions**

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

**March 2, 2017**

**IN THE MATTER OF  
THE SECURITIES LEGISLATION OF  
QUÉBEC AND ONTARIO  
(the Jurisdictions)**

**AND**

**IN THE MATTER OF  
THE PROCESS FOR CEASE TO BE  
A REPORTING ISSUER APPLICATIONS**

**AND**

**IN THE MATTER OF  
GLOBAL DIVERSIFIED INVESTMENT GRADE  
INCOME TRUST II  
(the Filer)**

**ORDER**

**Background**

The securities regulatory authority or regulator in each of the Jurisdictions (Decision Maker) has received an application from the Filer for an order under the securities legislation of the Jurisdictions (the Legislation) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the Order Sought).

Under the Process for Cease to be a Reporting Issuer Applications (for a dual application):

- (a) the Autorité des marchés financiers is the principal regulator for this application,
- (b) the Filer has provided notice that subsection 4C.5(1) of *Regulation 11-102 respecting Passport System* (chapter V- 1.1, r. 1) (Regulation 11-102) is intended to be relied upon in British Columbia, Alberta, Saskatchewan, Manitoba, New Brunswick, New Scotia, Prince Edward Island and Newfoundland and Labrador, and
- (c) this order is the order of the principal regulator and evidences the decision of

**Interpretation**

Terms defined in *Regulation 14-101 respecting Definitions* (chapter, V- 1.1, r. 3), *Regulation 11- 102* and, in Québec, in *Regulation 14-501Q on definitions* (chapter V- 1.1, r. 4) have the same meaning if used in this order, unless otherwise defined.

**Representations**

This order is based on the following facts represented by the Filer:

- 1. the Filer is not an OTC reporting issuer under *Regulation 51-105 respecting Issuers Quoted in the U.S. Over-the-Counter Markets* (chapter V- 1.1 r. 24.1);
- 2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
- 3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in *Regulation 21-101 respecting Marketplace Operation* (chapter V- 1.1, r. 5) or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
- 4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
- 5. the Filer is not in default of securities legislation in any jurisdiction.

**Order**

Each of the Decision Makers is satisfied that the order meets the test set out in the Legislation for the Decision Maker to make the order.

The decision of the Decision Makers under the Legislation is that the Order Sought is granted.

“Jacinthe Des Marchais”  
Director, Investment Funds  
Autorité des marchés financiers

## 2.4 Rulings

### 2.4.1 ABN AMRO Clearing Chicago LLC – ss. 38 and 73 of the CFA and s. 6.1 of OSC Rule 91-502 Trades in Recognized Options

#### Headnote

Application to the Commission pursuant to subsection 78(1) of the Commodity Futures Act (Ontario) (CFA) for an order revoking the exemption granted by the Commission to the Applicant dated March 9, 2012, In the Matter of ABN Amro Clearing Chicago LLC.

Application to the Director for a revocation of a decision, pursuant to section 6.1 of Rule 91-502 Trades in Recognized Options(Rule 91-502), dated March 12, 2012, In the Matter of ABN Amro Clearing Chicago LLC.

Application to the Commission pursuant to section 38 of the (CFA) for a ruling that the Applicant be exempted from the dealer registration requirement in paragraph 22(1)(a) and the prohibition against trading on non-recognized exchanges in section 33 of the CFA. The Applicant will offer the ability to trade in commodity futures contracts and commodity futures options that trade on exchanges located outside of Canada and cleared through clearing corporations located outside of Canada to certain of its clients in Ontario who meet the definition of "permitted client" in National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations.

Application to the Director for an exemption, pursuant to section 6.1 of Rule 91-502 exempting the Applicant's Representatives from the proficiency requirements in section 3.1 of Rule 91-502 for trades in commodity futures options on exchanges located outside of Canada.

#### Applicable Legislative Provisions

Commodity Futures Act, R.S.O. 1990, c. C.20, as am., ss. 22, 23, 38.  
Securities Act, R.S.O. 1990, c. S.5, as am.

#### Rule Cited

Ontario Securities Commission Rule 91-502 Trades in Recognized Options, ss. 3.1, 6.1.

#### Instrument Cited

National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations, s. 8.18.

March 7, 2017

IN THE MATTER OF  
THE COMMODITY FUTURES ACT,  
R.S.O. 1990, c. C.20, AS AMENDED  
(the CFA)

AND

IN THE MATTER OF  
THE SECURITIES ACT,  
R.S.O. 1990, c. S.5, AS AMENDED  
(the OSA)

AND

IN THE MATTER OF  
ONTARIO SECURITIES COMMISSION RULE 91-502  
TRADES IN RECOGNIZED OPTIONS  
(Rule 91-502)

AND

IN THE MATTER OF  
ABN AMRO CLEARING CHICAGO LLC  
ORDER, RULING & EXEMPTION  
(Sections 78, 38 of the CFA and Section 6.1 of Rule 91-502)

UPON the application (the **Application**) of ABN AMRO Clearing Chicago LLC (the **Applicant** or **AACC**) to the Ontario Securities Commission (the **Commission**) for:

- (a) an order, pursuant to subsection 78(1) of the CFA, revoking the exemption granted by the Commission to the Applicant dated March 9, 2012, *In the Matter of ABN Amro Clearing Chicago LLC (Previous Commission Ruling)* exempting the Applicant and Permitted Client (as defined below) from the dealer registration requirement or the trading restrictions in the CFA in connection with trades in Exchange-Traded Futures (as defined below) on exchanges located outside of Canada (**Non-Canadian Exchanges**);
- (b) a revocation of a decision, pursuant to section 6.1 of Rule 91-502, dated March 12, 2012 granted by the Director exempting the Representatives (as defined below) from the proficiency requirements, *In the Matter of ABN Amro Clearing Chicago LLC (Previous Director Decision)*;
- (c) a ruling of the Commission, pursuant to section 38 of the CFA, that the Applicant is not subject to the dealer registration requirement in the CFA or the trading restrictions in the CFA in connection with trades in Exchange-Traded Futures on Non-Canadian Exchanges where the Applicant is acting as principal or agent in such trades to, from or on behalf of Permitted Clients;
- (d) a ruling of the Commission, pursuant to section 38 of the CFA, that a Permitted Client is not subject to the dealer registration requirement in the CFA or the trading restrictions in the CFA in connection with trades in Exchange-Traded Futures on Non-Canadian Exchanges, where the Applicant acts in respect of trades in Exchange-Traded Futures on behalf of the Permitted Client pursuant to the ruling referred to in paragraph (c) above; and
- (e) a decision of the Director, pursuant to section 6.1 of Rule 91-502, exempting the Representatives from section 3.1 of Rule 91-502 in connection with trades in Exchange Traded Futures made by a Representative on behalf of the Applicant;

**AND WHEREAS** for the purposes of this order, revocation, ruling and exemption (the **Decision**):

“**CEA**” means the Commodity Exchange Act of the USA;

“**CFTC**” means the Commodity Futures Trading Commission of the USA;

“**dealer registration requirements in the CFA**” means the provisions of section 22 of the CFA that prohibit a person or company from trading in Exchange-Traded Futures unless the person or company satisfies the applicable provisions of section 22 of the CFA;

“**Exchange-Traded Futures**” means a commodity futures contract or a commodity futures option that trades on one or more organized exchanges located outside of Canada and that is cleared through one or more clearing corporations located outside of Canada;

“**FINRA**” means the Financial Industry Regulatory Authority of the USA;

“**NI 31-103**” means National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registration Obligations*;

“**NFA**” means the National Futures Association of the USA;

“**dealer registration exemption in the OSA**” means the provision of section 25 of the OSA that prohibits a person or company from trading in securities unless the person or company satisfies the applicable provisions of section 25, or is exempted from section 25 of the OSA as contained in section 8.18 [International Dealer] of NI 31-103;

“**Permitted Client**” means a client of the Applicant in Ontario that is a “permitted client” as that term is defined in section 1.1 of NI 31-103;

“**Representative**” means a salesperson, director, officer or employee of the Applicant;

“**SEC**” means the Securities and Exchange Commission of the USA;

“trading restrictions in the CFA” means the provisions of section 33 of the CFA that prohibit a person or company from trading in Exchange-Traded Futures unless the person or company satisfies the applicable provisions of section 33 of the CFA; and

“USA” means the United States of America.

- (ii) terms used in this Decision that are defined in the OSA, and not otherwise defined in this Decision or in the CFA, shall have the same meaning as in the OSA, unless the context otherwise requires;

**AND UPON** considering the Application and the recommendation of staff of the Commission;

**AND UPON** the Applicant having represented to the Commission and the Director as follows:

1. The Applicant is a limited liability company organized under the laws of the state of Illinois. Its head office is located in Chicago, Illinois, USA.
2. The Applicant is part of the global clearing business of ABN AMRO Bank N.V. The Applicant is a subsidiary of ABN AMRO Clearing Bank N.V., a 100% subsidiary of ABN AMRO Bank N.V. ABN AMRO Clearing Bank N.V. is a leading global securities services provider clearing over 16 million trades per day and covering 85 exchanges worldwide.
3. The Applicant relies on the dealer registration exemption in the OSA and is not registered under the OSA.
4. The Applicant currently relies on the Previous Commission Ruling and Previous Director Decision (collectively, the “**Previous Order**”) that is set to expire on March 12, 2017. The attached Annex includes as a Schedule a copy of the Previous Order which was effective on March 12, 2012.
5. The Applicant is a broker-dealer registered with the SEC, a member of FINRA, a registered futures commission merchant with the CFTC and a member of the NFA.
6. The Applicant is a foreign approved participant of the Montréal Exchange, and is also a member of the following: BATS Exchange, Inc.; BATS Options Exchange; BATS Z-Exchange, Inc.; BM&F Bovespa; Boston Options Exchange; CBOE C2 Options Exchange, Incorporated; CBOE Futures Exchange; Chicago Board Options Exchange; Chicago Stock Exchange; CME Group; EDGA Exchange, Inc.; EDGX Exchange, Inc.; Electronic Liquidity Exchange; ERIS Exchange; ICE Futures Canada; ICE Futures; ISE Gemini; IEX Exchange; International Securities Exchange; Miami International Securities Exchange; Minneapolis Grain Exchange; NASDAQ OMX BX, Inc.; NASDAQ OMX Futures; NASDAQ Options Market; NASDAQ OMX PHLX, Inc.; NASDAQ OMX PSX; NASDAQ Stock Market; New York Portfolio Clearing; New York Stock Exchange; NYSE Amex Options; NYSE Arca, Inc.; NYSE Euronext; NYSE LIFFE US; OneChicago; and Toronto Stock Exchange.
7. The Applicant is (a) not in default under the CFA or of securities legislation in any jurisdiction in Canada, subject to the matter to which this Decision relates, and (b) in compliance in all material respects with U.S. securities and commodity futures laws.
8. Pursuant to its registrations and memberships, the Applicant is authorized to handle customer orders and receive and hold customer margin deposits, and otherwise act as a futures broker, in the USA. Rules of the CFTC and NFA require the Applicant to maintain adequate capital levels, make and keep specified types of records relating to customer accounts and transactions, and comply with other forms of customer protection rules, including rules respecting know-your-customer obligations, account-opening requirements, suitability requirements, anti-money laundering checks, credit checks, delivery of confirmation statements, clearing deposits and initial and maintenance margins. These rules require the Applicant to treat Permitted Clients materially the same as the Applicant’s customers in the USA. In order to protect customers in the event of the insolvency or financial instability of the Applicant, the Applicant is required to ensure that customer securities and monies be separately accounted for, segregated at all times from the securities and monies of the Applicant (and its affiliates), and custodied exclusively with such banks, trust companies, clearing organizations or other licensed futures brokers and intermediaries as may be approved for such purposes under the CEA and the rules promulgated by the CFTC thereunder (collectively, the **Applicant Approved Depositories**). The Applicant is further required to obtain acknowledgements from any Applicant Approved Depository holding customer funds or securities that such funds and securities are to be separately held on behalf of such customers, with no right of set-off against the Applicant’s obligations or debts.
9. The Applicant proposes to offer Permitted Clients the ability to trade in Exchange-Traded Futures through the Applicant.



## Decisions, Orders and Rulings

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10. The Applicant will solicit trades in Exchange-Traded Futures in Ontario only from persons who would qualify as Permitted Clients.
11. The Applicant will not maintain an office, sales force or physical place of business in Ontario.
12. Permitted Clients will only be offered the ability to effect trades in Exchange-Traded Futures on Non-Canadian Exchanges.
13. The Applicant will execute and clear trades in Exchange-Traded Futures on behalf of Permitted Clients in the same manner that it executes and clears trades on behalf of its customers in the USA. The Applicant will follow the same know-your-customer, client classification and segregation of assets procedures that it follows in respect of its customers in the USA, all of whom are "Eligible Contract Participants" as defined in the CEA. Permitted Clients will be afforded the benefits of compliance by the Applicant with the statutory and other requirements of applicable securities regulators, self-regulatory organizations and exchanges located in the USA. Permitted Clients will generally have the same contractual rights against the Applicant as the Applicant's customers in the USA.
14. The Exchange-Traded Futures to be traded by the Applicant on behalf of its Permitted Clients will include, but will not be limited to, Exchange-Traded Futures for equity index, interest rate, foreign exchange, bond, energy, agricultural and other commodity products.
15. Permitted Clients will be able to execute Exchange-Traded Futures orders through the Applicant by contacting the Applicant's applicable execution desks. Permitted Clients may self-execute Exchange-Traded Futures orders electronically via an independent service vendor and/or other electronic trading routing. Permitted Clients may also execute Exchange-Traded Futures orders through third party brokers and then "give up" the transaction for clearance through the Applicant.
16. The Applicant may execute a Permitted Client's order on the relevant Non-Canadian Exchange in accordance with the rules and customary practices of the exchange, or engage another broker to assist in the execution of orders. The Applicant will remain responsible for the execution of each such trade.
17. The Applicant may perform both execution and clearing functions for trades in Exchange-Traded Futures or may direct that a trade executed by it be cleared through a carrying broker if the Applicant is not a member of the Non-Canadian Exchange on which the trade is executed. Alternatively, the Permitted Client of the Applicant will be able to direct that trades executed by the Applicant be cleared through clearing brokers not affiliated with the Applicant (each, a **Non-AACC Clearing Broker**).
18. If the Applicant performs only the execution of a Permitted Client's Exchange-Traded Futures order and "gives-up" the transaction for clearance to a Non-AACC Clearing Broker, such clearing broker will also be required to comply with the rules of the exchanges of which it is a member and any relevant regulatory requirements, including requirements under any applicable legislation. Each such Non-AACC Clearing Broker will represent to the Applicant in an industry-standard give-up agreement that it will perform its obligations in accordance with applicable laws, governmental, regulatory, self-regulatory, exchange and clearing house rules and the customs and usages of the exchange or clearing house on which the relevant Permitted Client's Exchange-Traded Futures order will be executed and cleared. The Applicant will not enter into a give-up agreement with any Non-Applicant Clearing Broker located in the USA unless such clearing broker is registered with the CFTC and/or the SEC, as applicable.
19. As is customary for all trades in Exchange-Traded Futures, a clearing corporation appointed by the exchange or clearing division of the exchange is substituted as a universal counterparty on all trades in Exchange-Traded Futures and Permitted Client orders that are submitted to the exchange in the name of a Non-AACC Clearing Broker, or the Applicant, or on exchanges where the Applicant is not a member, in the name of another carrying broker. The Permitted Client is responsible to the Applicant for payment of daily mark-to-market variation margin and/or proper margin to carry open positions and the Applicant, the carrying broker, or the Non-AACC Clearing Broker is in turn responsible to the clearing corporation/division for payment.
20. Permitted Clients that direct the Applicant to give up transactions in Exchange-Traded Futures for clearance and settlement by Non-AACC Clearing Brokers will execute the give-up agreements described above.
21. Permitted Clients will pay to the Applicant commissions for trades made on their behalf by the Applicant. In the event that the Applicant needs to utilize a Non-AACC Clearing Broker for clearing or execution services in relation to such trades, the Applicant will pay the Non-AACC Clearing Broker for such services.

22. The trading restrictions in the CFA apply unless, among other things, an Exchange-Traded Future is traded on a recognized or registered commodity futures exchange and the form of the contract is approved by the Director. To date, no Non-Canadian Exchange has been recognized or registered under the CFA.
23. Section 3.1 of the Rule 91-502 provides that no person shall trade as agent in, or give advice in respect of, a recognized option as defined in section 1.1 of Rule 91-502 unless he or she has successfully completed the Canadian Options Course (which has been replaced by the Derivatives Fundamentals Course and the Options Licensing Course).
24. A Representative will not execute Exchange Traded Futures Trades for Permitted Clients unless the Representative has passed the National Commodity Futures Examination (Series 3) being the relevant futures and options proficiency examination administered by FINRA.
25. The expiry of the five year period set out in the Previous Order has triggered this requested order.

**AND UPON** the Commission and the Director being satisfied that to do so would not be prejudicial to the public interest;

**IT IS ORDERED**, pursuant to subsection 78(1) of the CFA, that the Previous Commission Ruling is revoked;

**IT IS RULED**, pursuant to section 38 of the CFA, that the Applicant is not subject to the dealer registration requirement in the CFA or the trading restrictions in the CFA in connection with trades in Exchange-Traded Futures where the Applicant is acting as principal or agent in such trades to, from or on behalf of Permitted Clients, provided that:

- (a) each client effecting trades in the Exchange-Traded Futures is a Permitted Client;
- (b) each Non-AACC Clearing Broker that executes or clears the trade has represented and covenanted to the Applicant that it is appropriately registered or exempt from registration under the CFA;
- (c) the Applicant only executes and clears Exchange-Traded Futures for Permitted Clients on Non-Canadian Exchanges;
- (d) at the time the trade is engaged in, the Applicant:
  - (i) has its head office or principal place of business in the USA;
  - (ii) is registered as a futures commission merchant with the CFTC in good standing;
  - (iii) is a member in good standing with the NFA;
  - (iv) engages in the business of a futures commission merchant in Exchange-Traded Futures in the United States;
- (e) the Applicant has provided to the Permitted Client the following disclosure in writing:
  - (i) a statement that the Applicant is not registered in Ontario to trade in Exchange-Traded Futures as principal or agent;
  - (ii) a statement that the Applicant's head office or principal place of business is located in Chicago, Illinois, USA;
  - (iii) a statement that all or substantially all of the Applicant's assets may be situated outside of Canada;
  - (iv) a statement that there may be difficulty enforcing legal rights against the Applicant because of the above; and
  - (v) the name and address of the Applicant's agent for service of process in Ontario;
- (f) the Applicant has submitted to the Commission a completed *Submission to Jurisdiction and Appointment of Agent for Service* in the form attached as Appendix "A";
- (g) the Applicant notifies the Commission of any regulatory action initiated after the date of this Decision in respect of the Applicant, or any predecessors or specified affiliates of the Applicant, by completing and filing

with the Commission Appendix “B” hereto within ten days of the commencement of any such action; provided that the Applicant may satisfy this condition by filing with the Commission within ten days of the date of this decision a notice making reference to and incorporating by reference the disclosure made by the Filer pursuant to U.S federal securities laws that is identified in the FINRA BrokerCheck system, and any updates to such disclosure that may be made from time to time and by providing notification, in a manner reasonably acceptable to the Director, of any filing of a Form BD “Regulatory Action Disclosure Reporting Page” required by FINRA;

- (h) if the Applicant does not rely on the dealer registration exemption in the OSA, by December 31st of each year, the Applicant pays a participation fee based on its specified Ontario revenues for its previous financial year in compliance with the requirements of Part 3 and section 6.4 of the Commission Rule 13-502 *Fees* as if the Applicant had relied on the dealer registration exemption in the OSA; and
- (i) by December 1st of each year, the Applicant notifies the Commission of its continued reliance on the exemption from the dealer registration requirement granted pursuant to this Decision by filing Form 13-502F4 *Capital Markets Participation Fee Calculation*; and
- (j) this Decision will terminate on the earliest of:
  - (i) the expiry of any transition period as may be provided by law, after the effective date of the repeal of the CFA;
  - (ii) six months, or such other transition period as may be provided by law, after the coming into force of any amendment to Ontario commodity futures law (as defined in the CFA) or Ontario securities law (as defined in the OSA) that affects the dealer registration requirements in the CFA or the trading restrictions in the CFA; and
  - (iii) five years after the date of this Decision.

**AND IT IS FURTHER RULED**, pursuant to section 38 of the CFA, that a Permitted Client is not subject to the dealer registration requirement in the CFA or the trading restrictions in the CFA in connection with trades in Exchange-Traded Futures on Non-Canadian Exchanges where the Applicant acts in connection with trades in Exchange-Traded Futures on behalf of the Permitted Clients pursuant to the above ruling.

“Tim Moseley”  
Commissioner  
Ontario Securities Commission

“Robert Hutchison”  
Commissioner  
Ontario Securities Commission

**IT IS THE DECISION** of the Director that the Previous Director Decision is revoked;

**IT IS THE DECISION** of the Director, pursuant to section 6.1 of Rule 91-502, that section 3.1 of Rule 91-502 does not apply to the Representatives in respect of trades in Exchange-Traded Futures on behalf of the Applicants, provided that:

- (a) the Applicant and its Representatives maintain their respective registrations with the CFTC and NFA which permit them to trade commodity futures options in the USA; and
- (b) this Decision will terminate on the earliest of:
  - (i) the expiry of any transition period as may be provided by law, after the effective date of the repeal of the CFA;
  - (ii) six months, or such other transition period as may be provided by law, after the coming into force of any amendment to Ontario commodity futures law (as defined in the CFA) or Ontario securities law (as defined in the OSA) that affects the dealer registration requirements in the CFA or the trading restrictions in the CFA; and
  - (iii) five years after the date of this Decision.

“Debra Foubert”  
Director  
Compliance and Registrant Regulation Branch

APPENDIX "A"

SUBMISSION TO JURISDICTION AND APPOINTMENT OF AGENT FOR SERVICE

INTERNATIONAL DEALER OR INTERNATIONAL ADVISER  
EXEMPTED FROM REGISTRATION UNDER THE COMMODITY FUTURES ACT, ONTARIO

1. Name of person or company ("International Firm"):
2. If the International Firm was previously assigned an NRD number as a registered firm or an unregistered exempt international firm, provide the NRD number of the firm:
3. Jurisdiction of incorporation of the International Firm:
4. Head office address of the International Firm:
5. The name, e-mail address, phone number and fax number of the International Firm's individual(s) responsible for the supervisory procedure of the International Firm, its chief compliance officer, or equivalent.  
  
Name:  
E-mail address:  
Phone:  
Fax:
6. The International Firm is relying on an exemption order under section 38 or section 80 of the *Commodity Futures Act* (Ontario) that is similar to the following exemption in National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* (the "Relief Order"):  
  
 Section 8.18 [*international dealer*]  
  
 Section 8.26 [*international adviser*]  
  
 Other [specify]:
7. Name of agent for service of process (the "Agent for Service"):
8. Address for service of process on the Agent for Service:
9. The International Firm designates and appoints the Agent for Service at the address stated above as its agent upon whom may be served a notice, pleading, subpoena, summons or other process in any action, investigation or administrative, criminal, quasi-criminal or other proceeding (a "Proceeding") arising out of or relating to or concerning the International Firm's activities in the local jurisdiction and irrevocably waives any right to raise as a defence in any such proceeding any alleged lack of jurisdiction to bring such Proceeding.
10. The International Firm irrevocably and unconditionally submits to the non-exclusive jurisdiction of the judicial, quasi-judicial and administrative tribunals of the local jurisdiction in any Proceeding arising out of or related to or concerning the International Firm's activities in the local jurisdiction.
11. Until 6 years after the International Firm ceases to rely on the Relief Order, the International Firm must submit to the regulator
  - a. a new Submission to Jurisdiction and Appointment of Agent for Service in this form no later than the 30th day before the date this Submission to Jurisdiction and Appointment of Agent for Service is terminated;
  - b. an amended Submission to Jurisdiction and Appointment of Agent for Service no later than the 30th day before any change in the name or above address of the Agent for Service;
  - c. a notice detailing a change to any information submitted in this form, other than the name or above address of the Agent for Service, no later than the 30th day after the change.
12. This Submission to Jurisdiction and Appointment of Agent for Service is governed by and construed in accordance with the laws of the local jurisdiction.

**Decisions, Orders and Rulings**

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Dated: \_\_\_\_\_

\_\_\_\_\_  
(Signature of the International Firm or authorized signatory)

\_\_\_\_\_  
(Name of signatory)

\_\_\_\_\_  
(Title of signatory)

**Acceptance**

The undersigned accepts the appointment as Agent for Service of \_\_\_\_\_ [Insert name of International Firm] under the terms and conditions of the foregoing Submission to Jurisdiction and Appointment of Agent for Service.

Dated: \_\_\_\_\_

\_\_\_\_\_  
(Signature of the Agent for Service or authorized signatory)

\_\_\_\_\_  
(Name of signatory)

\_\_\_\_\_  
(Title of signatory)

This form, and notice of a change to any information submitted in this form, is to be submitted through the Ontario Securities Commission's Electronic Filing Portal:

<https://www.osc.gov.on.ca/filings>

APPENDIX "B"

NOTICE OF REGULATORY ACTION

1. Has the firm, or any predecessors or specified affiliates<sup>2</sup> of the firm entered into a settlement agreement with any financial services regulator, securities or derivatives exchange, SRO or similar agreement with any financial services regulator, securities or derivatives exchange, SRO or similar organization?

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, provide the following information for each settlement agreement:

Name of entity
Regulator/organization
Date of settlement (yyyy/mm/dd)
Details of settlement
Jurisdiction

2. Has any financial services regulator, securities or derivatives exchange, SRO or similar organization:

	Yes	No
a) Determined that the firm, or any predecessors or specified affiliates of the firm violated any securities regulations or any rules of a securities or derivatives exchange, SRO or similar organization?	___	___
(b) Determined that the firm, or any predecessors or specified affiliates of the firm made a false statement or omission?	___	___
(c) Issued a warning or requested an undertaking by the firm, or any predecessors or specified affiliates of the firm?	___	___
(d) Suspended or terminated any registration, licensing or membership of the firm, or any predecessors or specified affiliates of the firm?	___	___
(e) Imposed terms or conditions on any registration or membership of the firm, or predecessors or specified affiliates of the firm?	___	___
(f) Conducted a proceeding or investigation involving the firm, or any predecessors or specified affiliates of the firm?	___	___
(g) Issued an order (other than an exemption order) or a sanction to the firm, or any predecessors or specified affiliates of the firm for securities or derivatives-related activity (e.g. cease trade order)?	___	___

If yes, provide the following information for each action:

Name of entity	
Type of action	
Regulator/organization	
Date of action (yyyy/mm/dd)	Reason for action
Jurisdiction	

<sup>2</sup> In this Appendix, the term "specified affiliate" has the meaning ascribed to that term in Form 33-109F6 to National Instrument 33-109 *Registration Information*.

**Decisions, Orders and Rulings**

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3. Is the firm aware of any ongoing investigation of which the firm or any of its specified affiliates is the subject?

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, provide the following information for each investigation:

Name of entity
Reason or purpose of investigation
Regulator/organization
Date investigation commenced (yyyy/mm/dd)
Jurisdiction

Name of firm:
Name of firm's authorized signing officer or partner
Title of firm's authorized signing officer or partner
Signature
Date (yyyy/mm/dd)

**Witness**

The witness must be a lawyer, notary public or commissioner of oaths.

Name of witness
Title of witness
Signature
Date (yyyy/mm/dd)

This form is to be submitted through the Ontario Securities Commission's Electronic Filing Portal:

<https://www.osc.gov.on.ca/filings>



## Chapter 4

# Cease Trading Orders

### 4.1.1 Temporary, Permanent & Rescinding Issuer Cease Trading Orders

Company Name	Date of Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/Revoke

### Failure to File Cease Trade Orders

Company Name	Date of Order	Date of Revocation
Blue River Resources Ltd.	07 March 2017	09 March 2017
Easy Technologies Inc.	07 March 2017	
Enfield Technologies Inc.	07 March 2017	
Exo U Inc.	08 March 2017	

### 4.2.1 Temporary, Permanent & Rescinding Management Cease Trading Orders

Company Name	Date of Order or Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/ Expire	Date of Issuer Temporary Order
Quest Rare Minerals Ltd.	02 February 2017	15 February 2017	15 February 2017	14 March 2017	

### 4.2.2 Outstanding Management & Insider Cease Trading Orders

Company Name	Date of Order or Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/ Expire	Date of Issuer Temporary Order
Performance Sports Group Ltd.	19 October 2016	31 October 2016	31 October 2016		
Quest Rare Minerals Ltd.	02 February 2017	15 February 2017	15 February 2017	14 March 2017	

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## Chapter 7

# Insider Reporting

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The following is a weekly summary of insider transactions by insiders of *Ontario reporting issuers* in SEDI® (the System for Electronic Disclosure by Insiders).<sup>1</sup> The weekly summary contains insider transactions reported during the 7-day period ending Sunday at 11:59 p.m. (i.e. the Sunday prior to the Bulletin Issue date).<sup>2</sup>

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### Guide to Codes

#### Relationship of Insider to Issuer (Rel=n)

- 1 Issuer
- 2 Subsidiary of Issuer
- 3 10% Security Holder of Issuer
- 4 Director of Issuer
- 5 Senior Officer of Issuer
- 6 Director or Senior Officer of 10% Security Holder
- 7 Director or Senior Officer of Insider or Subsidiary of Issuer (other than in 4,5,6)
- 8 Deemed Insider – 6 Months before becoming Insider

#### Nature of Transaction (T/O)

- 00 Opening Balance-Initial SEDI Report
- 10 Acquisition or disposition in the public market
- 11 Acquisition or disposition carried out privately
- 15 Acquisition or disposition under a prospectus
- 16 Acquisition or disposition under a prospectus exemption
- 22 Acquisition or disposition pursuant to a take-over bid, merger or acquisition
- 30 Acquisition or disposition under a purchase/ ownership plan
- 35 Stock dividend
- 36 Conversion or exchange
- 37 Stock split or consolidation
- 38 Redemption, retraction, cancellation, repurchase
- 40 Short sale
- 45 Compensation for property
- 46 Compensation for services
- 47 Acquisition or disposition by gift
- 48 Acquisition by inheritance or disposition by bequest
- 50 Grant of options
- 51 Exercise of options
- 52 Expiration of options
- 53 Grant of warrants
- 54 Exercise of warrants
- 55 Expiration of warrants
- 56 Grant of rights
- 57 Exercise of rights
- 59 Exercise for cash
- 70 Acquisition or disposition (writing) of third party derivative
- 71 Exercise of third party derivative
- 72 Other settlement of third party
- 73 Expiration of third party derivative
- 90 Change in nature of ownership
- 97 Other
- 99 Correction of Information

Note: The asterisk in the "Date/Month End Holding" column indicates the insider disagreed with the system calculated balance when the transaction was reported.

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2 ©CDS INC.

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
5N Plus Inc.	Common Shares	5N Plus Inc.	1	02/03/2017	38	1.9199	92,932	14,196
5N Plus Inc.	Common Shares	5N Plus Inc.	1	03/03/2017	38	1.9454	107,128	14,196
5N Plus Inc.	Common Shares	5N Plus Inc.	1	08/03/2017	38	1.937	109,424	2,296
5N Plus Inc.	Common Shares	5N Plus Inc.	1	09/03/2017	38	1.95	110,520	1,096
Abacus Mining & Exploration Corporation	Common Shares	Harvey, T. Sean	4	08/03/2017	11	0.05	1,257,000	800,000
Abacus Mining & Exploration Corporation	Warrants	Harvey, T. Sean	4	01/04/2016	00			
Abacus Mining & Exploration Corporation	Warrants	Harvey, T. Sean	4	08/03/2017	11	0.08	400,000	400,000
Abattis Bioceuticals Corp.	Common Shares	Xie, Shuang	4	03/03/2017	10	0.165	392,687	-157,705
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	01/02/2017	38	4.55	7,000	7,000
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	03/02/2017	38	4.55	13,600	6,600
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	03/02/2017	38	4.57	14,000	400
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	07/02/2017	38	4.53	16,600	2,600
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	07/02/2017	38	4.54	21,000	4,400
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	08/02/2017	38	4.52	24,700	3,700
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	08/02/2017	38	4.51	28,000	3,300
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	09/02/2017	38	4.52	28,400	400
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	09/02/2017	38	4.56	28,600	200
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	09/02/2017	38	4.58	35,000	6,400
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	13/02/2017	38	4.58	38,500	3,500
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	13/02/2017	38	4.55	42,000	3,500
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	16/02/2017	38		0	-42,000
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	17/02/2017	38	4.57	3,700	3,700
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	17/02/2017	38	4.58	6,000	2,300
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	17/02/2017	38	4.59	7,000	1,000
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	22/02/2017	38	4.53	10,700	3,700
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	22/02/2017	38	4.55	14,000	3,300
Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	23/02/2017	38	4.56	21,000	7,000

**Insider Reporting**

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Aberdeen Asia-Pacific Income Investment Company Limited	Common Shares	Aberdeen Asia-Pacific Income Investment Company Limited	1	24/02/2017	38		0	-21,000
Aberdeen International Inc.	Common Shares	Miller, III, Lloyd I.	3	03/03/2017	10	0.1185	1,426,000	-15,500
Aberdeen International Inc.	Common Shares	Miller, III, Lloyd I.	3	06/03/2017	10	0.1182	1,423,500	-2,500
Abitibi Royalties Inc.	Common Shares	Abitibi Royalties Inc.	1	23/02/2017	38		36,700	36,700
Abitibi Royalties Inc.	Common Shares	Abitibi Royalties Inc.	1	24/02/2017	38		37,500	800
Abitibi Royalties Inc.	Common Shares	Abitibi Royalties Inc.	1	27/02/2017	38		38,700	1,200
Abitibi Royalties Inc.	Common Shares	Abitibi Royalties Inc.	1	28/02/2017	38		40,800	2,100
Abitibi Royalties Inc.	Common Shares	Abitibi Royalties Inc.	1	28/02/2017	38		4,100	-36,700
Abitibi Royalties Inc.	Common Shares	Abitibi Royalties Inc.	1	10/03/2017	38		0	-4,100
ACTIVEnergy Income Fund	Trust Units	ACTIVEnergy Income Fund	1	08/03/2017	38	4.5991	34,407,380	2,200
Advantage Oil & Gas Ltd.	Options	Blackwood, Donald Craig	5	08/03/2017	51	4.43	390,676	-50,000
Advantage Oil & Gas Ltd.	Options	Blackwood, Donald Craig	5	08/03/2017	51		408,457	17,781
Advantage Oil & Gas Ltd.	Options	Bokenfohr, Neil	5	08/03/2017	51	4.43	417,953	-257,601
Advantage Oil & Gas Ltd.	Options	Bokenfohr, Neil	5	08/03/2017	51		509,562	91,609
Advantage Oil & Gas Ltd.	Common Shares	Mah, Andy	5	08/03/2017	10	8.15	938,157	-20,000
Advantage Oil & Gas Ltd.	Options	Mah, Andy	5	08/03/2017	51	4.43	609,489	-159,904
Advantage Oil & Gas Ltd.	Options	Mah, Andy	5	08/03/2017	51		666,354	56,865
Adventus Zinc Corporation	Common Shares	Leung, Sam Yee Cheng	5	28/02/2017	00		100,000	
Adventus Zinc Corporation	Options	Leung, Sam Yee Cheng	5	28/02/2017	00		1,300,000	
Aecon Group Inc.	Common Shares	Franceschini, Anthony P.	4	03/03/2017	51	12.95	60,000	20,000
Aecon Group Inc.	Options	Franceschini, Anthony P.	4	03/03/2017	51	12.95	30,000	-20,000
Agellan Commercial Real Estate Investment Trust	Units	ELAD Canada Inc.	3	08/03/2017	10	11.501	6,216,846	142,600
Agellan Commercial Real Estate Investment Trust	Units	ELAD Canada Inc.	3	09/03/2017	10	11.427	6,239,246	22,400
Agnico Eagle Mines Limited	Common Shares	McCombe, Deborah	4	06/03/2017	57		3,965	3,000
Agnico Eagle Mines Limited	Common Shares	McCombe, Deborah	4	06/03/2017	10	54.52	2,309	-1,656
Agnico Eagle Mines Limited	Restricted Share Units	McCombe, Deborah	4	06/03/2017	57		11,000	-3,000
Air Canada	Class B Voting Shares	Air Canada	1	22/02/2017	38	13.662	400,000	400,000
Air Canada	Class B Voting Shares	Air Canada	1	22/02/2017	38		0	-400,000
Air Canada	Class B Voting Shares	Air Canada	1	23/02/2017	38	13.837	400,000	400,000
Air Canada	Class B Voting Shares	Air Canada	1	23/02/2017	38		0	-400,000
Air Canada	Class B Voting Shares	Air Canada	1	24/02/2017	38	13.489	400,000	400,000
Air Canada	Class B Voting Shares	Air Canada	1	24/02/2017	38		0	-400,000
Air Canada	Class B Voting Shares	Air Canada	1	27/02/2017	38	13.388	397,200	397,200
Air Canada	Class B Voting Shares	Air Canada	1	27/02/2017	38		0	-397,200
Air Canada	Class B Voting Shares	Air Canada	1	28/02/2017	38	13.437	400,000	400,000
Air Canada	Class B Voting Shares	Air Canada	1	28/02/2017	38		0	-400,000
Akita Drilling Ltd.	Non-Voting Shares	Coleman, Raymond	5	07/03/2017	30	8.75	17,547	266
Akita Drilling Ltd.	Non-Voting Shares	Hensel, Fred	5	07/03/2017	30	8.75	28,869	194
Akita Drilling Ltd.	Non-Voting Shares	Reynolds, Darcy	5	07/03/2017	30	8.75	2,579	81
Alabama Graphite Corp.	Common Shares	Dinwoodie, Tyler Wayne Paul	5	26/09/2016	00			
Alabama Graphite Corp.	Common Shares	Dinwoodie, Tyler Wayne Paul	5	09/03/2017	10	0.185	25,000	25,000
Alabama Graphite Corp.	Common Shares	Dinwoodie, Tyler Wayne Paul	5	10/03/2017	10	0.18	31,000	6,000
Alabama Graphite Corp.	Common Shares	Dinwoodie, Tyler Wayne Paul	5	10/03/2017	10	0.185	35,000	4,000
Alabama Graphite Corp.	Common Shares	Pamplin, Ann-Marie Michelle	8	03/03/2017	10	0.21	20,000	3,000
Alabama Graphite Corp.	Common Shares	Pamplin, Ann-Marie Michelle	8	09/03/2017	10	0.185	28,000	8,000
Alabama Graphite Corp.	Common Shares	Pamplin, Ann-Marie Michelle	8	10/03/2017	10	0.185	30,000	2,000
Alacer Gold Corp.	Common Shares	Antal, Rod	5	05/03/2017	38		1,035,469	38,884
Alacer Gold Corp.	RSU	Antal, Rod	5	05/03/2017	38		1,166,445	-145,583
Alacer Gold Corp.	RSU	Antal, Rod	5	05/03/2017	38		1,093,655	-72,790
Alacer Gold Corp.	Common Shares	Murchison, Mark Edward	5	05/03/2017	38		345,447	15,878

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Alacer Gold Corp.	RSU	Murchison, Mark Edward	5	05/03/2017	38		342,433	-42,782
Alacer Gold Corp.	RSU	Murchison, Mark Edward	5	05/03/2017	38		319,088	-23,345
Alamos Gold Inc.	Options	Barwell, Christine	5	06/03/2017	50	9.62		31,977
Alamos Gold Inc.	Options	Barwell, Christine	5	06/03/2017	50	9.62	290,743	31,977
Alamos Gold Inc.	Rights Rights Performance Share Units	Barwell, Christine	5	06/03/2017	56		33,186	14,293
Alamos Gold Inc.	Options	Bostwick, Christopher John	5	06/03/2017	50	9.62	230,466	31,977
Alamos Gold Inc.	Rights Rights Performance Share Units	Bostwick, Christopher John	5	06/03/2017	56		33,186	14,293
Alamos Gold Inc.	Options	Chavez - Martinez, Mario Luis	5	06/03/2017	50	7.23	225,420	31,977
Alamos Gold Inc.	Rights Rights Performance Share Units	Chavez - Martinez, Mario Luis	5	06/03/2017	56			14,187
Alamos Gold Inc.	Rights Rights Performance Share Units	Chavez - Martinez, Mario Luis	5	06/03/2017	56		30,197	14,293
Alamos Gold Inc.	Options	Cormier, John Andrew	5	06/03/2017	50	9.62	368,225	31,977
Alamos Gold Inc.	Rights Rights Performance Share Units	Cormier, John Andrew	5	06/03/2017	56		33,186	14,293
Alamos Gold Inc.	Rights Deferred Share Units	Daniel, Mark	4	06/03/2017	56		38,569	11,435
Alamos Gold Inc.	Rights Deferred Share Units	Downey, Patrick D.	4	06/03/2017	56		38,569	11,435
Alamos Gold Inc.	Options	Engelstad, Nils Frederik Jonas	8	06/03/2017	50	9.62	121,977	31,977
Alamos Gold Inc.	Rights Rights Performance Share Units	Engelstad, Nils Frederik Jonas	8	06/03/2017	56		31,733	14,293
Alamos Gold Inc.	Options	Fisher, Gregory S.	5	06/03/2017	50	9.62	324,077	31,977
Alamos Gold Inc.	Rights Rights Performance Share Units	Fisher, Gregory S.	5	06/03/2017	56		33,186	14,293
Alamos Gold Inc.	Rights Deferred Share Units	Fleck, David Alexander	4	06/03/2017	56		62,081	11,435
Alamos Gold Inc.	Rights Deferred Share Units	Gower, David Patrick	4	06/03/2017	56		55,493	11,435
Alamos Gold Inc.	Rights Deferred Share Units	Kennedy, Claire Marie Catherine	4	06/03/2017	56		50,665	11,435
Alamos Gold Inc.	Options	MacPhail, Peter	5	06/03/2017	50	9.62	537,976	63,953
Alamos Gold Inc.	Rights Rights Performance Share Units	MacPhail, Peter	5	06/03/2017	56		88,705	28,586
Alamos Gold Inc.	Options	McCluskey, John	4, 5	06/03/2017	50	9.62	1,753,491	162,791
Alamos Gold Inc.	Rights Rights Performance Share Units	McCluskey, John	4, 5	06/03/2017	56		170,866	72,765
Alamos Gold Inc.	Options	McGrath, Aoife Mairead	5	06/03/2017	50	9.62	121,977	31,977
Alamos Gold Inc.	Rights Rights Performance Share Units	McGrath, Aoife Mairead	5	06/03/2017	56		31,733	14,293
Alamos Gold Inc.	Rights Deferred Share Units	Murphy, Paul	4	06/03/2017	56		96,402	20,790
Alamos Gold Inc.	Options	Parsons, Scott Kyle	5	06/03/2017	50	9.62	121,977	31,977
Alamos Gold Inc.	Rights Rights Performance Share Units	Parsons, Scott Kyle	5	06/03/2017	56		31,733	14,293
Alamos Gold Inc.	Options	Porter, James	5	06/03/2017	50	9.62	634,253	63,953
Alamos Gold Inc.	Rights Rights Performance Share Units	Porter, James	5	06/03/2017	56		67,826	28,586
Alamos Gold Inc.	Rights Deferred Share Units	Smith, Ronald	4	06/03/2017	56		47,866	11,435
Alamos Gold Inc.	Rights Deferred Share Units	Stowe, Kenneth George	4	06/03/2017	56		55,493	11,435
Alamos Gold Inc.	Options	Webster, Colin	5	06/03/2017	50	9.62	121,977	31,977
Alamos Gold Inc.	Rights Rights Performance Share Units	Webster, Colin	5	06/03/2017	56		33,186	14,293
Alaris Royalty Corp.	Rights Restricted Share units	DEL COURT, GREGG	5	01/07/2015	00			
Alaris Royalty Corp.	Rights Restricted Share units	DEL COURT, GREGG	5	09/03/2017	56		3,000	3,000
Alaska Hydro Corporation	Common Shares	Grandison, Clifford Allan	4, 5	05/03/2017	10	0.11	4,708,030	2,000
Alderon Iron Ore Corp.	Common Shares	Altius Minerals Corporation	3	03/03/2017	10	0.5024	32,539,006	-330,000

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Alderon Iron Ore Corp.	Common Shares	Altius Minerals Corporation	3	06/03/2017	10	0.5	32,537,006	-2,000
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	06/03/2017	10	0.058	1,502,378	598,000
Alexandria Minerals Corporation	Common Shares	Owens, Eric	3, 4, 5	07/03/2017	10	0.06	1,584,378	82,000
Algonquin Power & Utilities Corp.	Common Shares	Laney, Randy David	4	01/02/2017	00			
Algonquin Power & Utilities Corp.	Common Shares	Laney, Randy David	4	07/03/2017	10	9.3191	16,000	16,000
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Common Shares	Brown, Mark Thomas	4	06/03/2017	16	0.125	5,300,300	1,200,000
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Warrants	Brown, Mark Thomas	4	06/03/2017	16	0.2	1,648,000	600,000
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Common Shares	Lindsay, Craig Thomas	4	08/03/2017	16	0.125	322,500	100,000
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Common Shares	Weber, Jason Shawn	4, 5	06/03/2017	16	0.125	61,000	40,000
Alianza Minerals Ltd. (formerly Tarsis Resources Ltd.)	Warrants	Weber, Jason Shawn	4, 5	06/03/2017	16		60,000	40,000
Allied Properties Real Estate Investment Trust	Options	Burns, Thomas Gerard	5	06/03/2017	51	26.51	398,200	-13,442
Allied Properties Real Estate Investment Trust	Units	Burns, Thomas Gerard	5	06/03/2017	51	26.51	51,837	13,442
Allied Properties Real Estate Investment Trust	Units	Burns, Thomas Gerard	5	07/03/2017	10	35.86	38,395	-13,442
Allied Properties Real Estate Investment Trust	Options	Connor, Gerald R.	4	06/03/2017	51	26.51	4,171	-2,669
Allied Properties Real Estate Investment Trust	Units	Connor, Gerald R.	4	06/03/2017	51	26.51	55,246	2,669
Allied Properties Real Estate Investment Trust	Options	Emory, Michael R.	4, 5	06/03/2017	51	26.51	700,713	-89,680
Allied Properties Real Estate Investment Trust	Units	Emory, Michael R.	4, 5	06/03/2017	51	26.51	937,432	89,680
Allied Properties Real Estate Investment Trust	Units	Emory, Michael R.	4, 5	06/03/2017	10	35.731	880,832	-56,600
Allied Properties Real Estate Investment Trust	Units	Emory, Michael R.	4, 5	07/03/2017	10	35.8	847,752	-33,080
Alta Vista Ventures Ltd.	Common Shares	Springett, Jason	3, 4	06/03/2017	11	0.05	7,055,000	2,000,000
Alta Vista Ventures Ltd.	Common Shares	Springett, Jason	3, 4	07/03/2017	10	0.045	7,129,000	74,000
Alta Vista Ventures Ltd.	Common Shares	Springett, Jason	3, 4	07/03/2017	10	0.055	7,004,000	-125,000
Alta Vista Ventures Ltd.	Warrants	Springett, Jason	3, 4	06/03/2017	11	0.1	5,610,000	2,000,000
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Best, Catherine May	4	31/12/2016	30		6,452	308
AltaGas Ltd.	Rights Restricted Units (RU)	Best, Catherine May	4	31/12/2016	30			308
AltaGas Ltd.	Rights Restricted Units (RU)	Best, Catherine May	4	31/12/2016	30			308
AltaGas Ltd.	Rights Restricted Units (RU)	Best, Catherine May	4	31/12/2016	30		5,325	308
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Bushfield, Corine Renae Knight	5	01/12/2016	00			
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Bushfield, Corine Renae Knight	5	06/03/2017	50	31.05	50,000	50,000
AltaGas Ltd.	Rights Performance Units (PU)	Bushfield, Corine Renae Knight	5	01/12/2016	00			
AltaGas Ltd.	Rights Performance Units (PU)	Bushfield, Corine Renae Knight	5	06/03/2017	56		20,000	20,000
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Calvert, Victoria Anne	4	31/12/2016	30		3,559	131
AltaGas Ltd.	Rights Restricted Units (RU)	Calvert, Victoria Anne	4	31/12/2016	30		4,427	162

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AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Clements, Paul Carson	7	29/09/2014	00			
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Clements, Paul Carson	7	06/03/2017	50	31.05	18,000	18,000
AltaGas Ltd.	Rights Performance Units (PU)	Clements, Paul Carson	7	29/09/2014	00			
AltaGas Ltd.	Rights Performance Units (PU)	Clements, Paul Carson	7	06/03/2017	56		800	800
AltaGas Ltd.	Rights Restricted Units (RU)	Clements, Paul Carson	7	31/12/2016	30		563	59
AltaGas Ltd.	Rights Restricted Units (RU)	Clements, Paul Carson	7	06/03/2017	56		1,313	750
AltaGas Ltd.	Rights Restricted Units (RU)	Cornhill, David Wallace	4	31/12/2016	30			1,904
AltaGas Ltd.	Rights Restricted Units (RU)	Cornhill, David Wallace	4	31/12/2016	30			1,904
AltaGas Ltd.	Rights Restricted Units (RU)	Cornhill, David Wallace	4	31/12/2016	30		29,962	1,904
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Edgeworth, Allan Leslie	4	31/12/2016	30		3,225	154
AltaGas Ltd.	Rights Restricted Units (RU)	Edgeworth, Allan Leslie	4	31/12/2016	30		5,325	308
AltaGas Ltd.	Rights Restricted Units (RU)	Gilbert, Daryl Harvey	4	31/12/2016	30		5,325	308
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Grant, Bradley, Bertram	5	19/05/2015	00			
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Grant, Bradley, Bertram	5	06/03/2017	50	31.05	20,000	20,000
AltaGas Ltd.	Rights Performance Units (PU)	Grant, Bradley, Bertram	5	31/12/2016	30		32,995	2,995
AltaGas Ltd.	Rights Performance Units (PU)	Grant, Bradley, Bertram	5	06/03/2017	56		40,495	7,500
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Green, Jared Blake	5	11/07/2012	00			
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Green, Jared Blake	5	06/03/2017	50	31.05	15,000	15,000
AltaGas Ltd.	Rights Restricted Units (RU)	Green, Jared Blake	5	31/12/2016	30		1,437	88
AltaGas Ltd.	Rights Restricted Units (RU)	Green, Jared Blake	5	06/03/2017	56		2,687	1,250
AltaGas Ltd.	Rights Performance Units (PU)	Harris, David Michael	5	31/12/2016	30		28,745	1,752
AltaGas Ltd.	Rights Performance Units (PU)	Harris, David Michael	5	06/03/2017	56		58,745	30,000
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Hodgins, Robert Bruce	4	31/12/2016	30		3,225	154
AltaGas Ltd.	Rights Restricted Units (RU)	Hodgins, Robert Bruce	4	31/12/2016	30		5,325	308
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Karl, Peter Lauren	5	28/07/2010	00			
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Karl, Peter Lauren	5	28/07/2010	00			
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Karl, Peter Lauren	5	28/07/2010	00			
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Karl, Peter Lauren	5	06/03/2017	50	31.05		15,000
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Karl, Peter Lauren	5	06/03/2017	50	31.05		15,000
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Karl, Peter Lauren	5	06/03/2017	50	31.05	15,000	15,000
AltaGas Ltd.	Rights Performance Units (PU)	Karl, Peter Lauren	5	31/12/2016	30		2,299	141
AltaGas Ltd.	Rights Restricted Units (RU)	Karl, Peter Lauren	5	06/03/2017	56			1,250
AltaGas Ltd.	Rights Restricted Units (RU)	Karl, Peter Lauren	5	06/03/2017	56			1,250



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AltaGas Ltd.	Rights Restricted Units (RU)	Karl, Peter Lauren	5	06/03/2017	56		1,250	1,250
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Knoll, Phillip R.	4	31/12/2016	30		1,779	65
AltaGas Ltd.	Rights Restricted Units (RU)	Knoll, Phillip R.	4	31/12/2016	30		4,427	162
AltaGas Ltd.	Subscription Rights issued February 3, 2017	Knoll, Phillip R.	4	01/11/2015	00			
AltaGas Ltd.	Subscription Rights issued February 3, 2017	Knoll, Phillip R.	4	01/11/2015	00			
AltaGas Ltd.	Subscription Rights issued February 3, 2017	Knoll, Phillip R.	4	01/11/2015	00			
AltaGas Ltd.	Subscription Rights issued February 3, 2017	Knoll, Phillip R.	4	09/03/2017	10	29.75	1,000	1,000
AltaGas Ltd.	Rights Performance Units (PU)	LOWE, JOHN EDWARD	5	31/12/2016	30		11,498	701
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	Mackie, David French	4	31/12/2016	30		6,452	308
AltaGas Ltd.	Rights Restricted Units (RU)	Mackie, David French	4	31/12/2016	30		5,325	308
AltaGas Ltd.	Rights Deferred Share Unit (DSU)	McCrank, Michael Neil	4	31/12/2016	30		6,452	308
AltaGas Ltd.	Rights Restricted Units (RU)	McCrank, Michael Neil	4	31/12/2016	30		5,325	308
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	O'Brien, John Dennis	5	01/05/2015	00			
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	O'Brien, John Dennis	5	06/03/2017	50	31.05	25,000	25,000
AltaGas Ltd.	Rights Performance Units (PU)	O'Brien, John Dennis	5	01/05/2015	00			
AltaGas Ltd.	Rights Performance Units (PU)	O'Brien, John Dennis	5	06/03/2017	56		15,000	15,000
AltaGas Ltd.	Rights Restricted Units (RU)	O'Brien, John Dennis	5	31/12/2016	30		10,998	998
AltaGas Ltd.	Rights Restricted Units (RU)	Stout, Kent Eugene	5	31/12/2016	30		2,874	175
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Thakur, Joy Sumanan	5	01/10/2013	00			
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Thakur, Joy Sumanan	5	06/03/2017	50	31.05	15,000	15,000
AltaGas Ltd.	Rights Performance Units (PU)	Thakur, Joy Sumanan	5	31/12/2016	30		7,473	455
AltaGas Ltd.	Rights Performance Units (PU)	Thakur, Joy Sumanan	5	06/03/2017	56		17,473	10,000
AltaGas Ltd.	Options at \$21.76 expiring October 27, 2020	Toivanen, Shaun William	5	10/01/2011	00			
AltaGas Ltd.	Options at \$21.76 expiring October 27, 2020	Toivanen, Shaun William	5	10/01/2011	00			
AltaGas Ltd.	Options at \$21.76 expiring October 27, 2020	Toivanen, Shaun William	5	06/03/2017	50	31.05		18,000
AltaGas Ltd.	Options at \$21.76 expiring October 27, 2020	Toivanen, Shaun William	5	06/03/2017	50	31.05		18,000
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Toivanen, Shaun William	5	10/01/2011	00			
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Toivanen, Shaun William	5	06/03/2017	50	31.05	18,000	18,000
AltaGas Ltd.	Rights Performance Units (PU)	Toivanen, Shaun William	5	06/03/2017	56			800
AltaGas Ltd.	Rights Performance Units (PU)	Toivanen, Shaun William	5	06/03/2017	56			800
AltaGas Ltd.	Rights Performance Units (PU)	Toivanen, Shaun William	5	06/03/2017	56		800	800
AltaGas Ltd.	Rights Restricted Units (RU)	Toivanen, Shaun William	5	31/12/2016	30		1,149	69
AltaGas Ltd.	Rights Restricted Units (RU)	Toivanen, Shaun William	5	06/03/2017	56			750

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AltaGas Ltd.	Rights Restricted Units (RU)	Toivanen, Shaun William	5	06/03/2017	56			750
AltaGas Ltd.	Rights Restricted Units (RU)	Toivanen, Shaun William	5	06/03/2017	56		1,899	750
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Toone, Randy Warren	5	01/12/2016	00			
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Toone, Randy Warren	5	06/03/2017	50	31.05	10,000	10,000
AltaGas Ltd.	Rights Performance Units (PU)	Toone, Randy Warren	5	01/12/2016	00			
AltaGas Ltd.	Rights Performance Units (PU)	Toone, Randy Warren	5	06/03/2017	56		5,000	5,000
AltaGas Ltd.	Rights Restricted Units (RU)	Valentino, Scott A.	7	01/12/2015	00			
AltaGas Ltd.	Rights Restricted Units (RU)	Valentino, Scott A.	7	06/03/2017	56		2,200	2,200
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Watson, Timothy, William	5	16/03/2015	00			
AltaGas Ltd.	Options at \$31.05 expiring March 6, 2023	Watson, Timothy, William	5	06/03/2017	50	31.05	25,000	25,000
AltaGas Ltd.	Rights Performance Units (PU)	Watson, Timothy, William	5	31/12/2016	30		22,153	1,352
AltaGas Ltd.	Rights Performance Units (PU)	Watson, Timothy, William	5	06/03/2017	56		42,153	20,000
Altiplano Minerals Ltd.	Common Shares	Bourassa, Justin	5	28/08/2013	00		51,428	
Altiplano Minerals Ltd.	Common Shares	Bourassa, Justin	5	09/06/2016	11	0.1	251,428	200,000
Altiplano Minerals Ltd.	Common Shares	Bourassa, Justin	5	27/02/2017	11	0.15	384,761	133,333
Altiplano Minerals Ltd.	Options	Bourassa, Justin	5	28/08/2013	00			
Altiplano Minerals Ltd.	Options	Bourassa, Justin	5	09/09/2016	50	0.12	100,000	100,000
Altiplano Minerals Ltd.	Warrants	Bourassa, Justin	5	28/08/2013	00			
Altiplano Minerals Ltd.	Warrants	Bourassa, Justin	5	09/06/2016	11	0.15	100,000	100,000
Altiplano Minerals Ltd.	Warrants	Bourassa, Justin	5	27/02/2017	11	0.25	233,333	133,333
Altiplano Minerals Ltd.	Common Shares	Williamson, John	3, 4	01/03/2017	11	0.15	2,001,013	333,333
Altiplano Minerals Ltd.	Common Shares	Williamson, John	3, 4	01/03/2017	11	0.15	1,028,571	1,000,000
Altiplano Minerals Ltd.	Warrants	Williamson, John	3, 4	01/03/2017	11	0.15	333,333	333,333
Altiplano Minerals Ltd.	Warrants	Williamson, John	3, 4	24/12/2010	00			
Altiplano Minerals Ltd.	Warrants	Williamson, John	3, 4	01/03/2017	11	0.15	1,000,000	1,000,000
Altus Group Limited	Common Share Performance Share Units	Ball, Patricia Gabriel	5	02/08/2016	00			
Altus Group Limited	Common Share Performance Share Units	Ball, Patricia Gabriel	5	07/03/2017	56	29.72	3,200	3,200
Altus Group Limited	Options	Ball, Patricia Gabriel	5	02/08/2016	00			
Altus Group Limited	Options	Ball, Patricia Gabriel	5	07/03/2017	50	29.72	6,500	6,500
Altus Group Limited	Restricted Shares	Ball, Patricia Gabriel	5	06/03/2017	56	30.324	1,786	382
Altus Group Limited	Common Share Performance Share Units	Bartolini, Angelo	5	03/03/2017	57	30.324		-6,904
Altus Group Limited	Common Share Performance Share Units	Bartolini, Angelo	5	06/03/2017	57	30.324	17,016	-6,904
Altus Group Limited	Common Share Performance Share Units	Bartolini, Angelo	5	07/03/2017	56		21,004	3,988
Altus Group Limited	Common Shares	Bartolini, Angelo	5	03/03/2017	57	30.324		6,904
Altus Group Limited	Common Shares	Bartolini, Angelo	5	03/03/2017	11	30.324		-3,696
Altus Group Limited	Common Shares	Bartolini, Angelo	5	06/03/2017	57	30.324	15,884	6,904
Altus Group Limited	Common Shares	Bartolini, Angelo	5	06/03/2017	11	30.324	12,188	-3,696
Altus Group Limited	Options	Bartolini, Angelo	5	07/03/2017	50	29.72	30,100	8,100
Altus Group Limited	Restricted Shares	Bartolini, Angelo	5	06/03/2017	56	30.324	7,023	1,569
Altus Group Limited	Restricted Shares	Bartolini, Angelo	5	07/03/2017	56	29.72	9,664	2,641
Altus Group Limited	Common Share Performance Share Units	BISHOP, TERRY ALAN	5	06/03/2017	57	30.324	5,494	-2,590
Altus Group Limited	Common Share Performance Share Units	BISHOP, TERRY ALAN	5	07/03/2017	56	29.72	8,860	3,366
Altus Group Limited	Common Shares	BISHOP, TERRY ALAN	5	06/03/2017	57	30.324	41,413	2,590
Altus Group Limited	Common Shares	BISHOP, TERRY ALAN	5	06/03/2017	11	30.324	40,026	-1,387

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Altus Group Limited	Options	BISHOP, TERRY ALAN	5	07/03/2017	50	29.72	11,934	6,833
Altus Group Limited	Restricted Shares	BISHOP, TERRY ALAN	5	06/03/2017	56	30.324	8,914	1,569
Altus Group Limited	Common Share Performance Share Units	Chande, Narendra	5	06/03/2017	57	30.324	7,918	-4,028
Altus Group Limited	Common Share Performance Share Units	Chande, Narendra	5	07/03/2017	56	29.72	10,544	2,626
Altus Group Limited	Common Shares	Chande, Narendra	5	06/03/2017	57	30.324	14,028	4,028
Altus Group Limited	Common Shares	Chande, Narendra	5	06/03/2017	11	30.324	11,871	-2,157
Altus Group Limited	Options	Chande, Narendra	5	07/03/2017	50	29.72	16,333	5,333
Altus Group Limited	Restricted Shares	Chande, Narendra	5	06/03/2017	56	30.324	2,818	533
Altus Group Limited	Common Share Performance Share Units	Commons, Michael	5	06/03/2017	57	30.324	7,918	-4,028
Altus Group Limited	Common Share Performance Share Units	Commons, Michael	5	07/03/2017	56	29.72	11,612	3,694
Altus Group Limited	Common Shares	Commons, Michael	5	06/03/2017	57	30.324	16,778	4,028
Altus Group Limited	Common Shares	Commons, Michael	5	06/03/2017	11	30.324	14,621	-2,157
Altus Group Limited	Options	Commons, Michael	5	07/03/2017	50	29.72	18,500	7,500
Altus Group Limited	Restricted Shares	Commons, Michael	5	06/03/2017	56	30.324	2,818	533
Altus Group Limited	Common Share Performance Share Units	COURTEAU, Robert G	4, 5	06/03/2017	57	30.324	101,018	-47,464
Altus Group Limited	Common Share Performance Share Units	COURTEAU, Robert G	4, 5	07/03/2017	56	29.72	135,490	34,472
Altus Group Limited	Common Shares	COURTEAU, Robert G	4, 5	06/03/2017	57	30.324	112,003	47,464
Altus Group Limited	Common Shares	COURTEAU, Robert G	4, 5	06/03/2017	11	30.324	86,595	-25,408
Altus Group Limited	Options	COURTEAU, Robert G	4, 5	07/03/2017	50	29.72	300,833	70,000
Altus Group Limited	Restricted Shares	COURTEAU, Robert G	4, 5	07/03/2017	56	30.324	44,672	8,567
Altus Group Limited	Common Share Performance Share Units	Derbyshire, Jim	5	06/03/2017	57	30.324	15,834	-9,205
Altus Group Limited	Common Share Performance Share Units	Derbyshire, Jim	5	07/03/2017	56	29.72	21,744	5,910
Altus Group Limited	Common Shares	Derbyshire, Jim	5	06/03/2017	57	30.324	96,806	9,205
Altus Group Limited	Common Shares	Derbyshire, Jim	5	06/03/2017	11	30.324	91,878	-4,928
Altus Group Limited	Options	Derbyshire, Jim	5	07/03/2017	50	29.72	45,000	12,000
Altus Group Limited	Restricted Shares	Derbyshire, Jim	5	06/03/2017	56	30.324	6,764	1,569
Altus Group Limited	Restricted Shares	Derbyshire, Jim	5	07/03/2017	56	29.72	9,119	2,355
Altus Group Limited	Common Share Performance Share Units	Eisen, Barry Isaac	5	06/03/2017	57	30.324	12,763	-6,904
Altus Group Limited	Common Share Performance Share Units	Eisen, Barry Isaac	5	07/03/2017	56	29.72	15,973	3,210
Altus Group Limited	Common Shares	Eisen, Barry Isaac	5	06/03/2017	57	30.324	6,904	6,904
Altus Group Limited	Common Shares	Eisen, Barry Isaac	5	06/03/2017	11	30.324	3,208	-3,696
Altus Group Limited	Options	Eisen, Barry Isaac	5	07/03/2017	50	29.72	24,517	6,517
Altus Group Limited	Restricted Shares	Eisen, Barry Isaac	5	06/03/2017	56	30.324	5,565	1,255
Altus Group Limited	Common Share Performance Share Units	Gurnsey, David Lee	5	06/03/2017	57	30.324	12,467	-2,590
Altus Group Limited	Common Share Performance Share Units	Gurnsey, David Lee	5	07/03/2017	56	29.72	15,051	2,584
Altus Group Limited	Common Shares	Gurnsey, David Lee	5	06/03/2017	57	30.324	15,613	2,590
Altus Group Limited	Common Shares	Gurnsey, David Lee	5	06/03/2017	11	30.324	14,369	-1,244
Altus Group Limited	Options	Gurnsey, David Lee	5	07/03/2017	50	29.72	17,116	5,249
Altus Group Limited	Restricted Shares	Gurnsey, David Lee	5	06/03/2017	56	30.324	4,038	1,178
Altus Group Limited	Common Share Performance Share Units	Johnston, Colin Boyd	5	06/03/2017	57	30.324	14,653	-9,205
Altus Group Limited	Common Share Performance Share Units	Johnston, Colin Boyd	5	07/03/2017	56	29.72	18,347	3,694
Altus Group Limited	Common Shares	Johnston, Colin Boyd	5	06/03/2017	57	30.324	50,870	9,205
Altus Group Limited	Common Shares	Johnston, Colin Boyd	5	06/03/2017	11	30.324	45,942	-4,928
Altus Group Limited	Options	Johnston, Colin Boyd	5	07/03/2017	50	29.72	29,500	7,500
Altus Group Limited	Restricted Shares	Johnston, Colin Boyd	5	06/03/2017	56	30.324		1,098
Altus Group Limited	Restricted Shares	Johnston, Colin Boyd	5	06/03/2017	56	30.324	8,209	1,098
Altus Group Limited	Common Share Performance Share Units	McSweeney, Niall	5	06/03/2017	57	30.324	6,854	-4,028

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Altus Group Limited	Common Share Performance Share Units	McSweeney, Niall	5	07/03/2017	56	29.72	10,202	3,348
Altus Group Limited	Common Shares	McSweeney, Niall	5	06/03/2017	57	30.324	4,028	4,028
Altus Group Limited	Options	McSweeney, Niall	5	07/03/2017	50	29.72	13,299	6,800
Altus Group Limited	Restricted Share Units	McSweeney, Niall	5	06/03/2017	56	30.324	4,211	545
Altus Group Limited	Common Share Performance Share Units	Pasini, Flora Anna	5	06/03/2017	57	30.324	7,977	-4,314
Altus Group Limited	Common Shares	Pasini, Flora Anna	5	06/03/2017	57	30.324	5,139	4,314
Altus Group Limited	Common Shares	Pasini, Flora Anna	5	06/03/2017	11	30.324	2,830	-2,309
Altus Group Limited	Common Share Performance Share Units	Probyn, Alex	7	06/03/2017	57	30.324	13,708	-8,054
Altus Group Limited	Common Share Performance Share Units	Probyn, Alex	7	07/03/2017	56	29.72	17,304	3,596
Altus Group Limited	Common Shares	Probyn, Alex	7	06/03/2017	57	30.324	29,874	8,054
Altus Group Limited	Options	Probyn, Alex	7	07/03/2017	50	29.72	27,304	7,304
Altus Group Limited	Restricted Share Units	Probyn, Alex	7	06/03/2017	56	30.324	22,660	2,356
Altus Group Limited	Restricted Share Units	Probyn, Alex	7	07/03/2017	56	29.72	24,862	2,202
Altus Group Limited	Common Share Performance Share Units	Turrin, Liana	5	06/03/2017	57	30.324	12,763	-6,904
Altus Group Limited	Common Share Performance Share Units	Turrin, Liana	5	07/03/2017	56	29.72	15,717	2,954
Altus Group Limited	Common Shares	Turrin, Liana	5	06/03/2017	57	30.324	13,760	6,904
Altus Group Limited	Common Shares	Turrin, Liana	5	06/03/2017	11	30.324	10,064	-3,696
Altus Group Limited	Options	Turrin, Liana	5	07/03/2017	50	29.72	24,000	6,000
Altus Group Limited	Restricted Shares	Turrin, Liana	5	06/03/2017	56	30.324	4,814	1,098
American Manganese Inc.	Options	Ali, Shaheem	5	01/03/2017	00		800,000	
American Manganese Inc.	Common Shares	Kikauka, Andris	4	03/03/2017	10	0.05		600,000
American Manganese Inc.	Common Shares	Kikauka, Andris	4	03/03/2017	11	0.05	600,000	600,000
American Manganese Inc.	Common Shares	Kikauka, Andris	4	03/03/2017	10	0.245	590,000	-10,000
American Manganese Inc.	Common Shares	Kikauka, Andris	4	06/03/2017	10	0.245	550,000	-40,000
American Manganese Inc.	Common Shares	Kikauka, Andris	4	09/03/2017	10	0.05		600,000
American Manganese Inc.	Common Shares	Kikauka, Andris	4	09/03/2017	10	0.245		-10,000
American Manganese Inc.	Common Shares	Kikauka, Andris	4	09/03/2017	10	0.23	500,000	-50,000
American Manganese Inc.	Options	Kikauka, Andris	4	09/03/2017	52	0.55		-200,000
American Manganese Inc.	Options	Kikauka, Andris	4	09/03/2017	52	0.12	200,000	-200,000
American Manganese Inc.	Options	Kikauka, Andris	4	09/03/2017	52	0.2	100,000	-100,000
American Manganese Inc.	Options	Kikauka, Andris	4	09/03/2017	52	0.2	0	-100,000
American Manganese Inc.	Options	Kikauka, Andris	4	09/03/2017	50	0.05	600,000	600,000
American Manganese Inc.	Options	Kikauka, Andris	4	09/03/2017	50	0.15	800,000	200,000
American Manganese Inc.	Options	Kikauka, Andris	4	09/03/2017	51	0.05	200,000	-600,000
American Manganese Inc.	Common Shares	Piorun, Teresa	5	19/04/2016	50	0.05		250,000
American Manganese Inc.	Common Shares	Piorun, Teresa	5	19/04/2016	50	0.05		250,000
American Manganese Inc.	Common Shares	Piorun, Teresa	5	24/05/2016	11	0.02		100,000
American Manganese Inc.	Common Shares	Piorun, Teresa	5	24/05/2016	11	0.02		100,000
American Manganese Inc.	Common Shares	Piorun, Teresa	5	24/05/2016	53	0.05		100,000
American Manganese Inc.	Common Shares	Piorun, Teresa	5	24/05/2016	53	0.05		100,000
American Manganese Inc.	Options	Piorun, Teresa	5	05/09/2012	52	0.2		-100,000
American Manganese Inc.	Options	Piorun, Teresa	5	05/09/2012	52	0.58	150,000	-150,000
American Manganese Inc.	Options	Piorun, Teresa	5	27/10/2013	52		50,000	-100,000
American Manganese Inc.	Options	Piorun, Teresa	5	19/08/2014	52		0	-50,000
American Manganese Inc.	Options	Piorun, Teresa	5	12/04/2016	50		250,000	250,000
American Manganese Inc.	Options	Piorun, Teresa	5	19/08/2016	52	0.58		-150,000
American Manganese Inc.	Options	Piorun, Teresa	5	19/08/2016	52	0.58		-100,000
American Manganese Inc.	Options	Piorun, Teresa	5	19/08/2016	52	0.58		-100,000
American Manganese Inc.	Warrants	Piorun, Teresa	5	25/05/2016	53		100,000	100,000
Amerigo Resources Ltd	Options	Davidson, Aurora	5	07/03/2017	52	0.77	2,250,000	-400,000
Amerigo Resources Ltd	Options	Gayton, Robert	4	07/03/2017	52	0.77	750,000	-300,000
Amerigo Resources Ltd	Options	KIMBERLY, THOMAS	5	07/03/2017	52	0.77	250,000	-100,000
Amerigo Resources Ltd	Options	Robinson, Sidney P H	4	07/03/2017	52	0.77	700,000	-300,000
Amerigo Resources Ltd	Options	Salas, Alberto	4, 7	07/03/2017	52	0.77	1,000,000	-300,000

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Amerigo Resources Ltd	Common Shares	Toor, Nauman (Nick)	3	12/01/2017	00		17,251,800	
Amerigo Resources Ltd	Common Shares	Toor, Nauman (Nick)	3	12/01/2017	00		979,200	
Amerigo Resources Ltd	Options	Zeitler, Klaus M	4, 5	07/03/2017	52	0.77	2,400,000	-800,000
Anfield Gold Corp.	Common Shares	Beaty, Ross J.	3	08/03/2017	11	0.75	14,650,264	1,933,333
Anfield Gold Corp.	OTC Calls (including Private Options to Purchase) As per Option Agreement dated May 10, 2016	Beaty, Ross J.	3	09/03/2017	73		0	2,000,000
Anfield Gold Corp.	OTC Calls (including Private Options to Purchase) As per Option Agreement dated May 10, 2016	Beaty, Ross J.	3	09/03/2017	70	0.82	-2,000,000	-2,000,000
Anfield Gold Corp.	Warrants	Beaty, Ross J.	3	08/03/2017	54	0.75	0	-1,933,333
Anfield Gold Corp.	OTC Calls (including Private Options to Purchase) As per Option Agreement dated May 10, 2016	Braaten, Lyle	5	09/03/2017	73	0.82	0	-2,000,000
Anfield Gold Corp.	OTC Calls (including Private Options to Purchase) As per Option Agreement dated May 10, 2016	Braaten, Lyle	5	09/03/2017	70	0.82	2,000,000	2,000,000
Anfield Gold Corp.	Options	Hicks, Scott Victor Andrew	5	06/03/2017	00		500,000	
Anfield Gold Corp.	Common Shares	Koval, Marshall	4	08/03/2017	11	0.75	1,175,445	333,333
Anfield Gold Corp.	Warrants	Koval, Marshall	4	08/03/2017	54	0.75	0	-333,333
Antler Gold Inc.	Options	Bird, Howard Michael	4	08/11/2016	00			
Antler Gold Inc.	Options	Bird, Howard Michael	4	05/03/2017	50	0.8	125,000	125,000
Antler Gold Inc.	Options	Dawe, Wade K.	3	19/08/2016	00			
Antler Gold Inc.	Options	Dawe, Wade K.	3	05/03/2017	50	0.8	150,000	150,000
Antler Gold Inc.	Options	Megann, Harold James (Jim)	4	19/08/2016	00			
Antler Gold Inc.	Options	Megann, Harold James (Jim)	4	05/03/2017	50	0.8	50,000	50,000
Antler Gold Inc.	Options	Randall, Robert William George	5	08/11/2016	00			
Antler Gold Inc.	Options	Randall, Robert William George	5	05/03/2017	50	0.8	100,000	100,000
Antler Gold Inc.	Options	Sparkes, Paul David	4	29/11/2016	00			
Antler Gold Inc.	Options	Sparkes, Paul David	4	05/03/2017	50	0.08	125,000	125,000
Antler Gold Inc.	Options	Thomson, Paul Andrew	5	19/08/2016	00			
Antler Gold Inc.	Options	Thomson, Paul Andrew	5	05/03/2017	50	0.8	25,000	25,000
Antler Gold Inc.	Options	Whittaker, Daniel Davies	3, 4, 5	19/08/2016	00			
Antler Gold Inc.	Options	Whittaker, Daniel Davies	3, 4, 5	05/03/2017	50	0.8	150,000	150,000
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Common Shares	MERTON, Carl	5	09/03/2017	10	6.41	55,000	-20,000
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Common Shares	Neufeld, Victor	4, 5	09/03/2017	10	6.67	815,514	-66,000
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Common Shares	Neufeld, Victor	4, 5	10/03/2017	10	6.8	811,514	-4,000
Aphria Inc. (formerly, Black Sparrow Capital Corp.)	Common Shares	Staudt, Dennis Joseph	4	09/03/2017	10	6.1	0	-40,000
Appia Energy Corp.	Options	Sykes, James	5	02/03/2017	00		750,000	
Aquarius Coatings Inc.	Common Shares	Hennigar, David John	4, 6, 5	03/03/2017	37		304,697	-5,789,232
Aquarius Coatings Inc.	Common Shares	Hennigar, David John	4, 6, 5	03/03/2017	37		2,124,396	-40,363,523
Aquarius Coatings Inc.	Common Shares	Hennigar, David John	4, 6, 5	03/03/2017	11	1	3,624,396	1,500,000
Aquarius Coatings Inc.	Common Shares	Hennigar, David John	4, 6, 5	03/03/2017	37		169,722	-3,224,714
Aquarius Coatings Inc.	Common Shares	MacFarlane, Lorne Stewart	5	03/03/2017	37		8,009	-152,178
Aquarius Coatings Inc.	Common Shares	MacFarlane, Lorne Stewart	5	03/03/2017	37		427,500	-8,122,500
Aquila Resources Inc.	Common Shares	FABIAN, Stephen Leslie	4	31/01/2017	16			100,000
Aquila Resources Inc.	Common Shares	FABIAN, Stephen Leslie	4	31/01/2017	16	0.22	100,000	100,000
Aquila Resources Inc.	Warrants	FABIAN, Stephen Leslie	4	10/11/2016	55		0	-151,250
Aquila Resources Inc.	Warrants	FABIAN, Stephen Leslie	4	31/01/2017	16			50,000

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Aquila Resources Inc.	Warrants	FABIAN, Stephen Leslie	4	31/01/2017	16		50,000	50,000
ARC Resources Ltd.	Common Shares	Kvisle, Harold N.	4	06/03/2017	10	19.3	94,000	4,000
ARC Resources Ltd.	Common Shares	Kvisle, Harold N.	4	07/03/2017	10	19.45	100,000	6,000
ARC Resources Ltd.	Common Shares	Smith, Nancy Lynn	4	07/03/2017	10		10,102	5,000
Argex Titanium Inc.	Common Shares	Haddad, Mazen	4, 5	06/03/2017	11	0.07	2,200,252	-900,000
Argex Titanium Inc.	Common Shares	Haddad, Mazen	4, 5	06/03/2017	11	0.07	3,100,252	900,000
Arizona Mining Inc.	Options (Stock Options)	Gowans, James Kitchener	4	02/03/2017	50	2.76	1,750,000	500,000
Arizona Mining Inc.	Options (Stock Options)	Ireland, Paul	5	02/03/2017	50		1,300,000	300,000
Arizona Mining Inc.	Options (Stock Options)	Lucero, Gregory Felix	5	02/03/2017	50	2.76	600,000	100,000
Arizona Mining Inc.	Options (Stock Options)	Muir, Susan	5	02/03/2017	50	2.76	300,000	200,000
Arizona Mining Inc.	Options (Stock Options)	Parikh, Purni	5	02/03/2017	50	2.76	1,300,000	300,000
Arizona Mining Inc.	Options (Stock Options)	Puri, Poonam	4	02/03/2017	50	2.76	225,000	100,000
Arizona Mining Inc.	Options (Stock Options)	Siemens, Donald	4	02/03/2017	50	2.76	450,000	100,000
Arizona Mining Inc.	Options (Stock Options)	TAYLOR, DONALD RICHARD	4	02/03/2017	50	2.76	3,000,000	500,000
Arizona Mining Inc.	Options (Stock Options)	Wares, Robert	4	02/03/2017	50	2.76	350,000	100,000
Arizona Mining Inc.	Options (Stock Options)	Warke, Richard William	4	02/03/2017	50	2.76	3,900,000	400,000
Armada Mercantile Ltd.	Common Shares	Skinder, Philip Joseph	4	07/03/2017	10	0.15	362,000	-77,000
Armada Mercantile Ltd.	Common Shares	Skinder, Philip Joseph	4	19/02/2008	00			
Armada Mercantile Ltd.	Common Shares	Skinder, Philip Joseph	4	19/02/2008	00			
Asanko Gold Inc.	Options	Wallace, Shawn	4	27/02/2017	50	3.98	607,000	42,000
Ascendant Resources Inc. (formerly, Morumbi Resources Inc.)	Common Shares	Brennan, Mark Peter	4, 5	22/12/2009	00			
Ascendant Resources Inc. (formerly, Morumbi Resources Inc.)	Common Shares	Brennan, Mark Peter	4, 5	07/03/2017	15	0.85	177,000	177,000
Ascendant Resources Inc. (formerly, Morumbi Resources Inc.)	Warrants	Brennan, Mark Peter	4, 5	22/12/2009	00			
Ascendant Resources Inc. (formerly, Morumbi Resources Inc.)	Warrants	Brennan, Mark Peter	4, 5	07/03/2017	15		88,500	88,500
Ascendant Resources Inc. (formerly, Morumbi Resources Inc.)	Common Shares	Buncic, Christopher Raymond	4, 5	07/03/2017	15	0.85	687,000	47,000
Ascendant Resources Inc. (formerly, Morumbi Resources Inc.)	Warrants	Buncic, Christopher Raymond	4, 5	07/03/2017	15		263,500	23,500
Ascendant Resources Inc. (formerly, Morumbi Resources Inc.)	Common Shares	Hale-Sanders, Clifford David	5	07/03/2017	15	0.85	1,177,000	177,000
Ascendant Resources Inc. (formerly, Morumbi Resources Inc.)	Warrants	Hale-Sanders, Clifford David	5	07/03/2017	15		388,500	88,500
Ascendant Resources Inc. (formerly, Morumbi Resources Inc.)	Common Shares	Hazelton, Rohan	5	07/03/2017	15	0.85	39,000	18,000
Ascendant Resources Inc. (formerly, Morumbi Resources Inc.)	Warrants	Hazelton, Rohan	5	15/11/2016	00			
Ascendant Resources Inc. (formerly, Morumbi Resources Inc.)	Warrants	Hazelton, Rohan	5	07/03/2017	15		9,000	9,000
Ascendant Resources Inc. (formerly, Morumbi Resources Inc.)	Common Shares	Shefsky, Stephen	4	07/03/2017	15	0.85	133,627	29,400
Ascendant Resources Inc. (formerly, Morumbi Resources Inc.)	Warrants	Shefsky, Stephen	4	07/03/2017	15		114,700	14,700
Astar Minerals Ltd.	Common Shares	Mason, Matthew John	3, 4	04/01/2017	11	0.15	3,876,668	2,376,667
Astar Minerals Ltd.	Warrants	Mason, Matthew John	3, 4	20/02/2013	00			
Astar Minerals Ltd.	Warrants	Mason, Matthew John	3, 4	04/01/2017	11	0.3	1,188,333	1,188,333
Astoriuss Resources Ltd.	Common Shares	Brown, Arthur	4, 5	08/03/2017	10	0.17	1,374,500	20,000
Astoriuss Resources Ltd.	Common Shares	Brown, Arthur	4, 5	08/03/2017	10	0.165	1,377,500	3,000

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Astorius Resources Ltd.	Common Shares	Brown, Arthur	4, 5	10/03/2017	10	0.185	1,387,500	10,000
Astorius Resources Ltd.	Common Shares	Brown, Arthur	4, 5	10/03/2017	10	0.165	1,394,500	7,000
ATCO LTD.	Non-Voting Shares Class I	Maher, Anthony L.	5	31/12/2016	30	45.91	836	43
ATCO LTD.	Non-Voting Shares Class I	Maher, Anthony L.	5	31/12/2016	30	42.67	970	134
ATCO LTD.	Non-Voting Shares Class I	Maher, Anthony L.	5	07/03/2017	30	44.71	1,016	46
ATCO LTD.	Non-Voting Shares Class I	Maher, Anthony L.	5	07/03/2017	10	47.66		-394
ATCO LTD.	Non-Voting Shares Class I	Maher, Anthony L.	5	07/03/2017	10	47.66		-394
ATCO LTD.	Non-Voting Shares Class I	Maher, Anthony L.	5	07/03/2017	30	45.39	1,060	44
ATCO LTD.	Non-Voting Shares Class I	Maher, Anthony L.	5	07/03/2017	10	47.66	666	-394
ATCO LTD.	Non-Voting Shares Class I	Maher, Anthony L.	5	07/03/2017	10	47.62	406	-260
ATCO LTD.	Non-Voting Shares Class I	Southern-Heathcott, Linda A.	6, 7	31/12/2016	30	45.84	6,919	1,019
ATCO LTD.	Non-Voting Shares Class I	Urwin, Roger J.	4	31/12/2016	30	43.9	5,769	487
ATCO LTD.	Non-Voting Shares Class I	Werth, Susan R	4	31/12/2016	30	43.9	15,557	473
ATCO LTD.	Non-Voting Shares Class I	WILSON, Charles W.	4	31/12/2016	30	44.01	57,384	733
Atlantic Power Corporation	Common Shares	Cofelice, Joseph Edward	5	28/02/2017	57		477,617	23,084
Atlantic Power Corporation	Common Shares	Cofelice, Joseph Edward	5	28/02/2017	57		487,549	9,932
Atlantic Power Corporation	Common Shares	Cofelice, Joseph Edward	5	07/03/2017	10	2.44	517,549	30,000
Atlantic Power Corporation	Notional Shares	Cofelice, Joseph Edward	5	28/02/2017	56		283,837	159,664
Atlantic Power Corporation	Notional Shares	Cofelice, Joseph Edward	5	28/02/2017	57		260,753	-23,084
Atlantic Power Corporation	Notional Shares	Cofelice, Joseph Edward	5	28/02/2017	38	2.38	249,211	-11,542
Atlantic Power Corporation	Notional Shares	Cofelice, Joseph Edward	5	28/02/2017	57		239,279	-9,932
Atlantic Power Corporation	Notional Shares	Cofelice, Joseph Edward	5	28/02/2017	38	2.38	234,313	-4,966
Atlantic Power Corporation	Notional Shares	Moore, James J.	4, 5	28/02/2017	56		945,510	229,517
Atlantic Power Corporation	Common Shares	Ronan, Terrence	5	28/02/2017	57		153,541	32,440
Atlantic Power Corporation	Common Shares	Ronan, Terrence	5	28/02/2017	57		182,970	29,429
Atlantic Power Corporation	Common Shares	Ronan, Terrence	5	28/02/2017	57		212,765	29,795
Atlantic Power Corporation	Notional Shares	Ronan, Terrence	5	28/02/2017	56		441,720	151,261
Atlantic Power Corporation	Notional Shares	Ronan, Terrence	5	28/02/2017	57		409,280	-32,440
Atlantic Power Corporation	Notional Shares	Ronan, Terrence	5	28/02/2017	38	2.38	393,060	-16,220
Atlantic Power Corporation	Notional Shares	Ronan, Terrence	5	28/02/2017	57		363,631	-29,429
Atlantic Power Corporation	Notional Shares	Ronan, Terrence	5	28/02/2017	38	2.38	348,917	-14,714
Atlantic Power Corporation	Notional Shares	Ronan, Terrence	5	28/02/2017	57		319,122	-29,795
Atlantic Power Corporation	Notional Shares	Ronan, Terrence	5	28/02/2017	38	2.38	304,225	-14,897
ATS Automation Tooling Systems Inc.	Common Shares	Gyles, Chuck	5	01/03/2017	51	10.53	500	500
ATS Automation Tooling Systems Inc.	Common Shares	Gyles, Chuck	5	01/03/2017	10	13.8	0	-500
ATS Automation Tooling Systems Inc.	Options	Gyles, Chuck	5	01/03/2017	51	10.553	286,166	-500
Aurania Resources Ltd.	Common Shares	Spencer, Richard Mark	4	06/03/2017	00		5,000	
AuRico Metals Inc.	Common Shares	Ewing, Grant David	5	08/03/2017	00		281,333	
AuRico Metals Inc.	Common Shares	Perry, Scott Graeme	4	03/03/2017	10	1.1	1,562,128	-17,100
AuRico Metals Inc.	Common Shares	Perry, Scott Graeme	4	06/03/2017	10	1.03	1,552,128	-10,000
AuRico Metals Inc.	Common Shares	Perry, Scott Graeme	4	06/03/2017	10	1.04	1,550,928	-1,200
AuRico Metals Inc.	Common Shares	Perry, Scott Graeme	4	07/03/2017	10	1.05	1,544,128	-6,800
AuRico Metals Inc.	Common Shares	Perry, Scott Graeme	4	07/03/2017	10	1.04	1,534,128	-10,000
AuRico Metals Inc.	Common Shares	Perry, Scott Graeme	4	07/03/2017	10	1.03	1,529,128	-5,000
AuRico Metals Inc.	Common Shares	Perry, Scott Graeme	4	07/03/2017	10	1.02	1,519,128	-10,000
AuRico Metals Inc.	Common Shares	Perry, Scott Graeme	4	07/03/2017	10	0.99	1,509,128	-10,000
AuRico Metals Inc.	Common Shares	Perry, Scott Graeme	4	08/03/2017	10	1.02	1,499,128	-10,000
AuRico Metals Inc.	Common Shares	Perry, Scott Graeme	4	08/03/2017	10	1.0318	1,582,128	83,000
AuRico Metals Inc.	Common Shares	Perry, Scott Graeme	4	09/03/2017	10	1.03	1,567,128	-15,000
AuRico Metals Inc.	Common Shares	Perry, Scott Graeme	4	09/03/2017	10	0.98	1,557,128	-10,000
AuRico Metals Inc.	Common Shares	Perry, Scott Graeme	4	09/03/2017	10	1.02	1,547,128	-10,000
Aurion Resources Ltd.	Common Shares	Basha, Michael Gerard	3, 4	03/03/2017	10	1.7	15,500	-200,000
Aurora Cannabis Inc.	Common Shares	Del Moral, Jose	4	28/02/2017	97		5,182,086	476,810
Aurora Cannabis Inc.	Common Shares	Dobler, Stephen	4	24/10/2016	97			8,695,652
Aurora Cannabis Inc.	Common Shares	Dobler, Stephen	4	24/10/2016	97		17,090,348	-8,695,652

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Aurora Cannabis Inc.	Common Shares	Mayerson, Christopher	7	27/02/2017	11	2.25		112,500
Aurora Cannabis Inc.	Common Shares	Mayerson, Christopher	7	27/02/2017	11	2.25	2,733,000	50,000
Aurora Cannabis Inc.	Common Shares	Mayerson, Christopher	7	27/02/2017	11	2.25	2,833,000	100,000
Aurora Cannabis Inc.	Common Shares	Mayerson, Christopher	7	03/03/2017	10	2.54	2,803,000	-30,000
Aurora Cannabis Inc.	Common Shares	Szweras, Adam Kelley	4	10/08/2015	00			
Aurora Cannabis Inc.	Common Shares	Szweras, Adam Kelley	4	08/03/2017	51	0.3	110,000	110,000
Aurora Cannabis Inc.	Common Shares	Szweras, Adam Kelley	4	08/03/2017	10	2.3	60,000	-50,000
Aurora Cannabis Inc.	Common Shares	Szweras, Adam Kelley	4	09/03/2017	10	2.4	0	-60,000
Aurora Cannabis Inc.	Options	Szweras, Adam Kelley	4	08/03/2017	51		590,000	-110,000
Auryn Resources Inc.	Common Shares	Bebek, Ivan	4	08/03/2017	10	2.9	4,460,000	20,000
Avante Logixx Inc.	Common Shares	Wechsler, Rodney Alan	7	07/03/2017	10	0.3	1,679,519	-30,000
Avante Logixx Inc.	Common Shares	Wechsler, Rodney Alan	7	08/03/2017	10	0.3	1,640,019	-39,500
Avante Logixx Inc.	Common Shares	Wechsler, Rodney Alan	7	09/03/2017	10	0.3	1,590,019	-50,000
Avante Logixx Inc.	Common Shares	Wechsler, Rodney Alan	7	10/03/2017	10	0.3	1,501,019	-89,000
Aveda Transportation and Energy Services Inc.(formerly Phoenix Oilfield Hauling Inc.)	Deferred Share Units	Erasmus, Stefan Oliver	4, 6	27/02/2017	50		76,800	50,000
Aveda Transportation and Energy Services Inc.(formerly Phoenix Oilfield Hauling Inc.)	Deferred Share Units	Werklund, David Paul	4	27/02/2017	50		94,800	50,000
Avigilon Corporation	Common Shares	Fernandes, Alexander	4, 5	31/12/2016	30	12.051	1,383,902	6,157
Avigilon Corporation	Common Shares	Fernandes, Alexander	4, 5	06/03/2017	57		1,410,839	16,937
Avigilon Corporation	Restricted Share Units	Fernandes, Alexander	4, 5	06/03/2017	57		244,682	-16,937
Avigilon Corporation	Common Shares	Henderson, James	5	06/03/2017	57		20,452	847
Avigilon Corporation	Restricted Share Units	Henderson, James	5	06/03/2017	57		124,117	-847
Avigilon Corporation	Common Shares	Leong, Dick (Ric)	5	31/12/2016	30	12.562	8,085	319
Avigilon Corporation	Common Shares	Leong, Dick (Ric)	5	06/03/2017	57		14,778	1,693
Avigilon Corporation	Common Shares	Leong, Dick (Ric)	5	31/12/2016	30	11.852	2,492	1,993
Avigilon Corporation	Common Shares	Leong, Dick (Ric)	5	31/12/2016	30	12.823	949	324
Avigilon Corporation	Restricted Share Units	Leong, Dick (Ric)	5	06/03/2017	57		21,845	-1,693
Avigilon Corporation	Common Shares	Saptharishi, Mahesh	5	06/03/2017	57		21,223	4,273
Avigilon Corporation	Restricted Share Units	Saptharishi, Mahesh	5	06/03/2017	57		92,084	-4,273
Avigilon Corporation	Common Shares	Schuster, Joel	5	31/12/2016	30	12.058	11,653	1,318
Avigilon Corporation	Common Shares	Schuster, Joel	5	06/03/2017	57		20,887	4,234
Avigilon Corporation	Common Shares	Schuster, Joel	5	21/01/2014	00			
Avigilon Corporation	Common Shares	Schuster, Joel	5	31/12/2016	30	12.058	1,318	1,318
Avigilon Corporation	Restricted Share Units	Schuster, Joel	5	06/03/2017	57		153,918	-4,234
Ballard Power Systems Inc.	Common Shares	COLBOW, KEVIN MICHAEL	5	16/02/2016	00			
Ballard Power Systems Inc.	Common Shares	COLBOW, KEVIN MICHAEL	5	03/03/2017	57	2.76	3,110	3,110
Ballard Power Systems Inc.	Common Shares	COLBOW, KEVIN MICHAEL	5	03/03/2017	57	2.76	7,002	3,892
Ballard Power Systems Inc.	Options	COLBOW, KEVIN MICHAEL	5	03/03/2017	46	2.67	195,125	17,873
Ballard Power Systems Inc.	Units Restricted Share Units	COLBOW, KEVIN MICHAEL	5	02/03/2017	46	2.67	85,410	28,089
Ballard Power Systems Inc.	Units Restricted Share Units	COLBOW, KEVIN MICHAEL	5	03/03/2017	57		79,462	-5,948
Ballard Power Systems Inc.	Units Restricted Share Units	COLBOW, KEVIN MICHAEL	5	03/03/2017	57		72,019	-7,443
Ballard Power Systems Inc.	Common Shares	Guglielmin, Anthony Robert	5	03/03/2017	57	2.76	170,278	13,842
Ballard Power Systems Inc.	Common Shares	Guglielmin, Anthony Robert	5	03/03/2017	57	2.76	181,491	11,213
Ballard Power Systems Inc.	Options	Guglielmin, Anthony Robert	5	03/03/2017	46	2.67	379,653	34,317
Ballard Power Systems Inc.	Units Restricted Share Units	Guglielmin, Anthony Robert	5	02/03/2017	46	2.67	186,031	53,932
Ballard Power Systems Inc.	Units Restricted Share Units	Guglielmin, Anthony Robert	5	03/03/2017	57		159,563	-26,468
Ballard Power Systems Inc.	Units Restricted Share Units	Guglielmin, Anthony Robert	5	03/03/2017	57		138,122	-21,441



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Ballard Power Systems Inc.	Common Shares	HILLIER, KERRY BRENT	5	03/03/2017	57	2.76	1,866	1,866
Ballard Power Systems Inc.	Common Shares	HILLIER, KERRY BRENT	5	03/03/2017	57	2.76	4,201	2,335
Ballard Power Systems Inc.	Common Shares	HILLIER, KERRY BRENT	5	08/03/2017	10	2.75	0	-4,201
Ballard Power Systems Inc.	Options	HILLIER, KERRY BRENT	5	03/03/2017	46	2.67	120,300	20,000
Ballard Power Systems Inc.	Units Restricted Share Units	HILLIER, KERRY BRENT	5	02/03/2017	46	2.67	37,295	11,235
Ballard Power Systems Inc.	Units Restricted Share Units	HILLIER, KERRY BRENT	5	03/03/2017	57		33,726	-3,569
Ballard Power Systems Inc.	Units Restricted Share Units	HILLIER, KERRY BRENT	5	03/03/2017	57		29,261	-4,465
Ballard Power Systems Inc.	Common Shares	KASSAM, KARIM	5	16/02/2016	00			
Ballard Power Systems Inc.	Common Shares	KASSAM, KARIM	5	03/03/2017	57	2.76	3,110	3,110
Ballard Power Systems Inc.	Common Shares	KASSAM, KARIM	5	03/03/2017	57	2.76	7,002	3,892
Ballard Power Systems Inc.	Options	KASSAM, KARIM	5	03/03/2017	46	2.67	134,292	17,873
Ballard Power Systems Inc.	Units Restricted Share Units	KASSAM, KARIM	5	02/03/2017	46	2.67	95,470	28,089
Ballard Power Systems Inc.	Units Restricted Share Units	KASSAM, KARIM	5	03/03/2017	57		89,522	-5,948
Ballard Power Systems Inc.	Units Restricted Share Units	KASSAM, KARIM	5	03/03/2017	57		82,079	-7,443
Ballard Power Systems Inc.	Common Shares	Le, Duy-Loan	4	01/02/2017	00			
Ballard Power Systems Inc.	Common Shares	Le, Duy-Loan	4	06/03/2017	10	2.0978	10,000	10,000
Ballard Power Systems Inc.	Common Shares	MacEwen, Robert Randall	5	03/03/2017	57	2.76	96,063	36,501
Ballard Power Systems Inc.	Options	MacEwen, Robert Randall	5	03/03/2017	46	2.67	559,975	111,710
Ballard Power Systems Inc.	Units Restricted Share Units	MacEwen, Robert Randall	5	02/03/2017	46	2.67	596,771	175,561
Ballard Power Systems Inc.	Units Restricted Share Units	MacEwen, Robert Randall	5	03/03/2017	57		526,979	-69,792
Ballard Power Systems Inc.	Common Shares	Murray, Jay Francis	5	03/03/2017	57	2.76	1,866	1,866
Ballard Power Systems Inc.	Common Shares	Murray, Jay Francis	5	03/03/2017	57	2.76	4,201	2,335
Ballard Power Systems Inc.	Common Shares	Murray, Jay Francis	5	08/03/2017	10	2.76	0	-4,201
Ballard Power Systems Inc.	Options	Murray, Jay Francis	5	03/03/2017	46	2.67	123,834	20,000
Ballard Power Systems Inc.	Units Restricted Share Units	Murray, Jay Francis	5	02/03/2017	46	2.67	37,298	11,235
Ballard Power Systems Inc.	Units Restricted Share Units	Murray, Jay Francis	5	03/03/2017	57		33,729	-3,569
Ballard Power Systems Inc.	Units Restricted Share Units	Murray, Jay Francis	5	03/03/2017	57		29,264	-4,465
Ballard Power Systems Inc.	Options	Osenar, Paul	7	03/03/2017	46	2	132,260	23,927
Ballard Power Systems Inc.	Units Restricted Share Units	Osenar, Paul	7	02/03/2017	46	2	134,542	37,500
Ballard Power Systems Inc.	Common Shares	WHYTE, DAVID MARTIN	5	03/03/2017	57	2.76	28,601	1,866
Ballard Power Systems Inc.	Common Shares	WHYTE, DAVID MARTIN	5	03/03/2017	57	2.76	30,936	2,335
Ballard Power Systems Inc.	Options	WHYTE, DAVID MARTIN	5	03/03/2017	46	2.67	205,125	17,873
Ballard Power Systems Inc.	Units Restricted Share Units	WHYTE, DAVID MARTIN	5	02/03/2017	46	2.67	79,149	28,089
Ballard Power Systems Inc.	Units Restricted Share Units	WHYTE, DAVID MARTIN	5	03/03/2017	57		75,580	-3,569
Ballard Power Systems Inc.	Units Restricted Share Units	WHYTE, DAVID MARTIN	5	03/03/2017	57		71,115	-4,465
Balmoral Resources Ltd.	Options	Currie, Dougal Graeme	4	02/03/2017	50	0.78	550,000	100,000
Balmoral Resources Ltd.	Options	Disher, John Bryan	4	02/03/2017	50	0.78		100,000
Balmoral Resources Ltd.	Options	Disher, John Bryan	4	02/03/2017	50		500,000	100,000
Balmoral Resources Ltd.	Options	Talbot, Lawrence William Edward	4	02/03/2017	50		850,000	100,000
Balmoral Resources Ltd.	Common Shares	Wagner, Darin	4, 5	06/03/2017	10	0.78	3,130,925	3,500
Balmoral Resources Ltd.	Options	Wagner, Darin	4, 5	10/03/2017	50	0.78	1,895,500	445,500
Balmoral Resources Ltd.	Options	Wu, Peggy	5	02/03/2017	50	0.78	563,500	148,500
Bank of Montreal	Performance Share Units	Ares, Jean-Michel	5	28/02/2017	35	100.79	28,884	250
Bank of Montreal	Restricted Share Units	Ares, Jean-Michel	5	28/02/2017	35	100.79	93,173	806
Bank of Montreal	Restricted Share Units	Begy, Christopher Blake	5	28/02/2017	35	100.79	17,865	155
Bank of Montreal	Performance Share Units	Casper, David Robert	5	28/02/2017	35	100.79	34,016	294

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Bank of Montreal	Restricted Share Units	Casper, David Robert	5	28/02/2017	35	100.79	10,277	89
Bank of Montreal	Restricted Share Units	Cronin, Patrick	5	28/02/2017	35	100.79	118,625	1,027
Bank of Montreal	Common Shares	Dousmanis-Curtis, Alexandra	5	06/03/2017	10	103.34	1,064	-3,899
Bank of Montreal	Performance Share Units	Dousmanis-Curtis, Alexandra	5	28/02/2017	35	100.79	18,809	163
Bank of Montreal	Restricted Share Units	Dousmanis-Curtis, Alexandra	5	28/02/2017	35	100.79	5,498	48
Bank of Montreal	Performance Share Units	Downe, William	7, 5	28/02/2017	35	100.79	136,521	1,182
Bank of Montreal	Restricted Share Units	Fish, Simon Adrian	5	28/02/2017	35	100.79	23,606	204
Bank of Montreal	Common Shares	Flynn, Thomas Earl	7	07/03/2017	51	60.23	21,255	16,800
Bank of Montreal	Common Shares	Flynn, Thomas Earl	7	07/03/2017	10	103.52	4,455	-16,800
Bank of Montreal	Common Shares	Flynn, Thomas Earl	7	08/03/2017	51	60.23	4,955	500
Bank of Montreal	Options	Flynn, Thomas Earl	7	07/03/2017	51	60.23	243,688	-16,800
Bank of Montreal	Options	Flynn, Thomas Earl	7	08/03/2017	51	60.23	243,188	-500
Bank of Montreal	Performance Share Units	Flynn, Thomas Earl	7	28/02/2017	35	100.79	33,148	287
Bank of Montreal	Restricted Share Units	Flynn, Thomas Earl	7	28/02/2017	35	100.79	16,635	144
Bank of Montreal	Performance Share Units	Fowler, Cameron McAskile	5	28/02/2017	35	100.79	35,297	306
Bank of Montreal	Restricted Share Units	Fowler, Cameron McAskile	5	28/02/2017	35	100.79	9,980	86
Bank of Montreal	Performance Share Units	Ouellette, Gilles Gerard	5	28/02/2017	35	100.79	36,659	317
Bank of Montreal	Restricted Share Units	Ouellette, Gilles Gerard	5	28/02/2017	35	100.79	18,832	163
Bank of Montreal	Performance Share Units	Rajpal, Surjit	5	28/02/2017	35	100.79	42,996	372
Bank of Montreal	Restricted Share Units	Rajpal, Surjit	5	28/02/2017	35	100.79	22,169	192
Bank of Montreal	Restricted Share Units	Roche, Catherine Margaret	5	28/02/2017	35	100.79	12,684	110
Bank of Montreal	Restricted Share Units	Rotenberg, Joanna Michelle	5	28/02/2017	35	100.79	25,411	220
Bank of Montreal	Performance Share Units	Rudderham, Richard D.	5	28/02/2017	35	100.79	18,621	161
Bank of Montreal	Restricted Share Units	Rudderham, Richard D.	5	28/02/2017	35	100.79	9,263	80
Bank of Montreal	Restricted Share Units	Stefankiewicz, Connie Anne	5	28/02/2017	35	100.79	22,171	192
Bank of Montreal	Common Shares	Techar, Frank J.	5	06/03/2017	51	57.78		63,581
Bank of Montreal	Common Shares	Techar, Frank J.	5	06/03/2017	51	57.78		63,581
Bank of Montreal	Common Shares	Techar, Frank J.	5	06/03/2017	10	57.78		-63,581
Bank of Montreal	Common Shares	Techar, Frank J.	5	06/03/2017	10	103.23		-63,581
Bank of Montreal	Common Shares	Techar, Frank J.	5	06/03/2017	10	103.23		-63,581
Bank of Montreal	Common Shares	Techar, Frank J.	5	06/03/2017	51	57.78	103,969	63,581
Bank of Montreal	Common Shares	Techar, Frank J.	5	06/03/2017	10	103.23	40,388	-63,581
Bank of Montreal	Options	Techar, Frank J.	5	06/03/2017	51	57.78		-63,581
Bank of Montreal	Options	Techar, Frank J.	5	06/03/2017	51	57.78		-63,581
Bank of Montreal	Options	Techar, Frank J.	5	06/03/2017	51	57.78	290,787	-63,581
Bank of Montreal	Performance Share Units	Techar, Frank J.	5	28/02/2017	35	100.79	67,333	583
Bank of Montreal	Restricted Share Units	Techar, Frank J.	5	28/02/2017	35	100.79	54,819	474
Bank of Montreal	Performance Share Units	White, William Darryl	5	28/02/2017	35	100.79	72,422	627
Bank of Montreal	Restricted Share Units	White, William Darryl	5	28/02/2017	35	100.79	15,875	137
Bank of Nova Scotia, The	Common Shares	Porter, Brian J	4, 5	06/03/2017	51	55.63	141,864	40,000
Bank of Nova Scotia, The	Common Shares	Porter, Brian J	4, 5	06/03/2017	10	80.22	101,864	-40,000
Bank of Nova Scotia, The	Options	Porter, Brian J	4, 5	06/03/2017	51	55.63	681,576	-40,000
Bank of Nova Scotia, The	Common Shares	Regent, Aaron William	4	03/03/2017	10	79.959	19,377	12,507
Banyan Gold Corp.	Common Shares Class "A"	Christie, Tara	4	08/03/2017	16	0.095	1,691,209	321,209
Banyan Gold Corp.	Common Shares Class "A"	Reid, David Robert	4	23/08/2016	53			192,307
Banyan Gold Corp.	Common Shares Class "A"	Reid, David Robert	4	23/08/2016	53			192,307
Banyan Gold Corp.	Common Shares Class "A"	Reid, David Robert	4	06/03/2017	00		1,051,281	
Banyan Gold Corp.	Common Shares Class "A"	Reid, David Robert	4	08/03/2017	16	0.095		684,210
Banyan Gold Corp.	Common Shares Class "A"	Reid, David Robert	4	08/03/2017	16	0.095	1,735,491	684,210
Banyan Gold Corp.	Options	Reid, David Robert	4	06/03/2017	00		400,000	
Banyan Gold Corp.	Warrants	Reid, David Robert	4	06/03/2017	00		192,307	
Barker Minerals Ltd.	Common Shares	Doyle, Colleen	5	28/02/2017	10	0.005	2,941,510	-360,000

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Barker Minerals Ltd.	Common Shares	Doyle, Colleen	5	28/02/2017	10	0.01	2,841,510	-100,000
Battle Mountain Gold Inc.	Common Shares	Gold Standard Ventures Corp.	3	22/09/2016	54	0.37	15,722,152	5,240,717
Battle Mountain Gold Inc.	Common Shares	Gold Standard Ventures Corp.	3	22/09/2016	16	0.47	16,607,620	885,468
Battle Mountain Gold Inc.	Warrants	Gold Standard Ventures Corp.	3	22/09/2016	54	0.37	0	-5,240,717
BCE Inc.	Share Units	Allen, Barry Keith	4	15/01/2016	35	54.79	16,782	191
BCE Inc.	Share Units	Allen, Barry Keith	4	31/03/2016	30	59.19	17,215	433
BCE Inc.	Share Units	Allen, Barry Keith	4	15/04/2016	35	59.74	17,407	192
BCE Inc.	Share Units	Allen, Barry Keith	4	30/06/2016	30	61.14	17,827	420
BCE Inc.	Share Units	Allen, Barry Keith	4	15/07/2016	35	62.52	18,017	190
BCE Inc.	Share Units	Allen, Barry Keith	4	30/09/2016	30	60.59	18,440	423
BCE Inc.	Share Units	Allen, Barry Keith	4	15/10/2016	35	60.3	18,644	204
BCE Inc.	Share Units	Allen, Barry Keith	4	31/12/2016	30	58.03	19,086	442
BCE Inc.	Options	Bibic, Mirko	7	28/02/2017	50	58.62		81,593
BCE Inc.	Options	Bibic, Mirko	7	28/02/2017	50	58.62	297,188	81,593
BCE Inc.	Performance-based Restricted Share Units	Bibic, Mirko	7	15/01/2016	35	54.79	18,183	213
BCE Inc.	Performance-based Restricted Share Units	Bibic, Mirko	7	15/04/2016	35	59.74	17,204	194
BCE Inc.	Performance-based Restricted Share Units	Bibic, Mirko	7	15/07/2016	35	62.52	17,392	188
BCE Inc.	Performance-based Restricted Share Units	Bibic, Mirko	7	15/10/2016	35	60.3	17,589	197
BCE Inc.	Restricted Share Units	Bibic, Mirko	7	15/01/2016	35	54.79	36,364	426
BCE Inc.	Restricted Share Units	Bibic, Mirko	7	15/04/2016	35	59.74	34,406	389
BCE Inc.	Restricted Share Units	Bibic, Mirko	7	15/07/2016	35	62.52	34,782	376
BCE Inc.	Restricted Share Units	Bibic, Mirko	7	15/10/2016	35	60.3	35,176	394
BCE Inc.	Share Units	Bibic, Mirko	7	15/01/2016	35	54.79	78,440	920
BCE Inc.	Share Units	Bibic, Mirko	7	15/04/2016	35	59.74	79,336	896
BCE Inc.	Share Units	Bibic, Mirko	7	15/07/2016	35	62.52	80,202	866
BCE Inc.	Share Units	Bibic, Mirko	7	15/10/2016	35	60.3	81,110	908
BCE Inc.	Share Units	Brenneman, Ron A.	4	15/01/2016	35	54.79	59,075	686
BCE Inc.	Share Units	Brenneman, Ron A.	4	31/03/2016	30	59.19	59,603	528
BCE Inc.	Share Units	Brenneman, Ron A.	4	15/04/2016	35	59.74	60,278	675
BCE Inc.	Share Units	Brenneman, Ron A.	4	30/06/2016	30	61.14	60,789	511
BCE Inc.	Share Units	Brenneman, Ron A.	4	15/07/2016	35	62.52	61,447	658
BCE Inc.	Share Units	Brenneman, Ron A.	4	30/09/2016	30	60.59	61,963	516
BCE Inc.	Share Units	Brenneman, Ron A.	4	15/10/2016	35	60.3	62,658	695
BCE Inc.	Share Units	Brenneman, Ron A.	4	31/12/2016	30	58.03	63,197	539
BCE Inc.	Share Units	Brochu, Sophie	4	15/01/2016	35	54.79	27,560	312
BCE Inc.	Share Units	Brochu, Sophie	4	31/03/2016	30	59.19	28,426	866
BCE Inc.	Share Units	Brochu, Sophie	4	15/04/2016	35	59.74	28,741	315
BCE Inc.	Share Units	Brochu, Sophie	4	30/06/2016	30	61.14	29,579	838
BCE Inc.	Share Units	Brochu, Sophie	4	15/07/2016	35	62.52	29,893	314
BCE Inc.	Share Units	Brochu, Sophie	4	30/09/2016	30	60.59	30,739	846
BCE Inc.	Share Units	Brochu, Sophie	4	15/10/2016	35	60.3	31,077	338
BCE Inc.	Share Units	Brochu, Sophie	4	31/12/2016	30	58.03	31,961	884
BCE Inc.	Options	Brown, Charles	7	28/02/2017	50	58.62		81,593
BCE Inc.	Options	Brown, Charles	7	28/02/2017	50	58.62	313,549	81,593
BCE Inc.	Performance-based Restricted Share Units	Brown, Charles	7	15/01/2016	35	54.79	21,257	249
BCE Inc.	Performance-based Restricted Share Units	Brown, Charles	7	15/04/2016	35	59.74	18,663	211
BCE Inc.	Performance-based Restricted Share Units	Brown, Charles	7	15/07/2016	35	62.52	18,867	204
BCE Inc.	Performance-based Restricted Share Units	Brown, Charles	7	15/10/2016	35	60.3	19,081	214
BCE Inc.	Restricted Share Units	Brown, Charles	7	15/01/2016	35	54.79	42,513	498
BCE Inc.	Restricted Share Units	Brown, Charles	7	15/04/2016	35	59.74	37,326	422

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
BCE Inc.	Restricted Share Units	Brown, Charles	7	15/07/2016	35	62.52	37,733	407
BCE Inc.	Restricted Share Units	Brown, Charles	7	15/10/2016	35	60.3	38,160	427
BCE Inc.	Share Units	Brown, Charles	7	15/01/2016	35	54.79	133,193	1,562
BCE Inc.	Share Units	Brown, Charles	7	15/04/2016	35	59.74	134,715	1,522
BCE Inc.	Share Units	Brown, Charles	7	15/07/2016	35	62.52	136,256	1,541
BCE Inc.	Share Units	Brown, Charles	7	15/10/2016	35	60.3	142,351	6,095
BCE Inc.	Share Units	Brown, Robert Ellis	4	15/01/2016	35	54.79	17,117	195
BCE Inc.	Share Units	Brown, Robert Ellis	4	31/03/2016	30	59.19	17,593	476
BCE Inc.	Share Units	Brown, Robert Ellis	4	15/04/2016	35	59.74	17,789	196
BCE Inc.	Share Units	Brown, Robert Ellis	4	30/06/2016	30	61.14	18,249	460
BCE Inc.	Share Units	Brown, Robert Ellis	4	15/07/2016	35	62.52	18,443	194
BCE Inc.	Share Units	Brown, Robert Ellis	4	30/09/2016	30	60.59	18,907	464
BCE Inc.	Share Units	Brown, Robert Ellis	4	15/10/2016	35	60.3	19,116	209
BCE Inc.	Share Units	Brown, Robert Ellis	4	31/12/2016	30	58.03	19,601	485
BCE Inc.	Options	Cole, Michael	7	28/02/2017	50	58.62		81,593
BCE Inc.	Options	Cole, Michael	7	28/02/2017	50	58.62	549,625	81,593
BCE Inc.	Performance-based Restricted Share Units	Cole, Michael	7	15/01/2016	35	54.79	21,257	249
BCE Inc.	Performance-based Restricted Share Units	Cole, Michael	7	15/04/2016	35	59.74	18,663	211
BCE Inc.	Performance-based Restricted Share Units	Cole, Michael	7	15/07/2016	35	62.52	18,867	204
BCE Inc.	Performance-based Restricted Share Units	Cole, Michael	7	15/10/2016	35	60.3	19,081	214
BCE Inc.	Restricted Share Units	Cole, Michael	7	15/01/2016	35	54.79	42,513	498
BCE Inc.	Restricted Share Units	Cole, Michael	7	15/04/2016	35	59.74	37,326	422
BCE Inc.	Restricted Share Units	Cole, Michael	7	15/07/2016	35	62.52	37,733	407
BCE Inc.	Restricted Share Units	Cole, Michael	7	15/10/2016	35	60.3	38,160	427
BCE Inc.	Share Units	Cole, Michael	7	15/01/2016	35	54.79	24,700	290
BCE Inc.	Share Units	Cole, Michael	7	15/04/2016	35	59.74	24,982	282
BCE Inc.	Share Units	Cole, Michael	7	15/07/2016	35	62.52	25,255	273
BCE Inc.	Share Units	Cole, Michael	7	15/10/2016	35	60.3	25,541	286
BCE Inc.	Common Shares	Cope, George	4, 5	07/03/2017	51	47.9	417,498	384,490
BCE Inc.	Common Shares	Cope, George	4, 5	07/03/2017	10	58.1	33,008	-384,490
BCE Inc.	Options	Cope, George	4, 5	28/02/2017	50	58.62		383,486
BCE Inc.	Options	Cope, George	4, 5	28/02/2017	50	58.62	1,473,673	383,486
BCE Inc.	Options	Cope, George	4, 5	07/03/2017	51	47.9	1,089,183	-384,490
BCE Inc.	Performance-based Restricted Share Units	Cope, George	4, 5	15/01/2016	35	54.79	99,906	1,171
BCE Inc.	Performance-based Restricted Share Units	Cope, George	4, 5	15/04/2016	35	59.74	87,716	991
BCE Inc.	Performance-based Restricted Share Units	Cope, George	4, 5	15/07/2016	35	62.52	88,674	958
BCE Inc.	Performance-based Restricted Share Units	Cope, George	4, 5	15/10/2016	35	60.3	89,678	1,004
BCE Inc.	Restricted Share Units	Cope, George	4, 5	15/01/2016	35	54.79	199,812	2,343
BCE Inc.	Restricted Share Units	Cope, George	4, 5	15/04/2016	35	59.74	175,431	1,982
BCE Inc.	Restricted Share Units	Cope, George	4, 5	15/07/2016	35	62.52	177,346	1,915
BCE Inc.	Restricted Share Units	Cope, George	4, 5	15/10/2016	35	60.3	179,353	2,007
BCE Inc.	Share Units	Cope, George	4, 5	15/01/2016	35	54.79	1,061,573	12,446
BCE Inc.	Share Units	Cope, George	4, 5	15/04/2016	35	59.74	1,073,701	12,128
BCE Inc.	Share Units	Cope, George	4, 5	15/07/2016	35	62.52	1,085,422	11,721
BCE Inc.	Share Units	Cope, George	4, 5	15/10/2016	35	60.3	1,097,707	12,285
BCE Inc.	Common Shares	Denison, David Francis	4	15/10/2016	35	60.4	1,011	11
BCE Inc.	Common Shares	Denison, David Francis	4	16/01/2017	35	57.5	1,023	12
BCE Inc.	Share Units	Denison, David Francis	4	15/01/2016	35	54.79	14,414	157
BCE Inc.	Share Units	Denison, David Francis	4	31/03/2016	30	59.19	15,364	950
BCE Inc.	Share Units	Denison, David Francis	4	15/04/2016	35	59.74	15,529	165
BCE Inc.	Share Units	Denison, David Francis	4	30/06/2016	30	61.14	16,449	920
BCE Inc.	Share Units	Denison, David Francis	4	15/07/2016	35	62.52	16,619	170

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
BCE Inc.	Share Units	Denison, David Francis	4	30/09/2016	30	60.59	17,547	928
BCE Inc.	Share Units	Denison, David Francis	4	15/10/2016	35	60.3	17,735	188
BCE Inc.	Share Units	Denison, David Francis	4	31/12/2016	30	58.03	18,704	969
BCE Inc.	Share Units	Dexter, Robert P.	4	15/01/2016	35	54.79	22,272	250
BCE Inc.	Share Units	Dexter, Robert P.	4	31/03/2016	30	59.19	23,138	866
BCE Inc.	Share Units	Dexter, Robert P.	4	15/04/2016	35	59.74	23,393	255
BCE Inc.	Share Units	Dexter, Robert P.	4	30/06/2016	30	61.14	24,231	838
BCE Inc.	Share Units	Dexter, Robert P.	4	15/07/2016	35	62.52	24,486	255
BCE Inc.	Share Units	Dexter, Robert P.	4	30/09/2016	30	60.59	25,332	846
BCE Inc.	Share Units	Dexter, Robert P.	4	15/10/2016	35	60.3	25,609	277
BCE Inc.	Share Units	Dexter, Robert P.	4	31/12/2016	30	58.03	26,493	884
BCE Inc.	Share Units	Greenberg, Ian	4	15/01/2016	35	54.79	9,394	99
BCE Inc.	Share Units	Greenberg, Ian	4	31/03/2016	30	59.19	10,260	866
BCE Inc.	Share Units	Greenberg, Ian	4	15/04/2016	35	59.74	10,367	107
BCE Inc.	Share Units	Greenberg, Ian	4	30/06/2016	30	61.14	11,205	838
BCE Inc.	Share Units	Greenberg, Ian	4	15/07/2016	35	62.52	11,318	113
BCE Inc.	Share Units	Greenberg, Ian	4	30/09/2016	30	60.59	12,164	846
BCE Inc.	Share Units	Greenberg, Ian	4	15/10/2016	35	60.3	12,292	128
BCE Inc.	Share Units	Greenberg, Ian	4	31/12/2016	30	58.03	13,176	884
BCE Inc.	Options	HOWE, STEPHEN GUY	7	28/02/2017	50	58.62		107,703
BCE Inc.	Options	HOWE, STEPHEN GUY	7	28/02/2017	50	58.62	471,712	107,703
BCE Inc.	Restricted Share Units	HOWE, STEPHEN GUY	7	15/01/2016	35	54.79	46,281	543
BCE Inc.	Restricted Share Units	HOWE, STEPHEN GUY	7	15/04/2016	35	59.74	44,602	504
BCE Inc.	Restricted Share Units	HOWE, STEPHEN GUY	7	15/07/2016	35	62.52	45,089	487
BCE Inc.	Restricted Share Units	HOWE, STEPHEN GUY	7	15/10/2016	35	60.3	45,599	510
BCE Inc.	Share Units	HOWE, STEPHEN GUY	7	15/01/2016	35	54.79	40,007	469
BCE Inc.	Share Units	HOWE, STEPHEN GUY	7	15/01/2016	35	54.79	40,278	271
BCE Inc.	Share Units	HOWE, STEPHEN GUY	7	15/04/2016	35	59.74	40,735	457
BCE Inc.	Share Units	HOWE, STEPHEN GUY	7	15/04/2016	35	59.74	40,987	252
BCE Inc.	Share Units	HOWE, STEPHEN GUY	7	15/07/2016	35	62.52	41,429	442
BCE Inc.	Share Units	HOWE, STEPHEN GUY	7	15/07/2016	35	62.52	41,672	243
BCE Inc.	Share Units	HOWE, STEPHEN GUY	7	15/10/2016	35	60.3	42,135	463
BCE Inc.	Share Units	HOWE, STEPHEN GUY	7	15/10/2016	35	60.3	42,390	255
BCE Inc.	Options	Jamal, Rizwan	7	28/02/2017	50	58.62		65,275
BCE Inc.	Options	Jamal, Rizwan	7	28/02/2017	50	58.62	255,912	65,275
BCE Inc.	Performance-based Restricted Share Units	Jamal, Rizwan	7	15/01/2016	35	54.79	7,632	89
BCE Inc.	Performance-based Restricted Share Units	Jamal, Rizwan	7	15/04/2016	35	59.74	5,567	111
BCE Inc.	Performance-based Restricted Share Units	Jamal, Rizwan	7	15/07/2016	35	62.52	5,675	108
BCE Inc.	Performance-based Restricted Share Units	Jamal, Rizwan	7	15/10/2016	35	60.3	5,788	113
BCE Inc.	Restricted Share Units	Jamal, Rizwan	7	15/01/2016	35	54.79	15,262	179
BCE Inc.	Restricted Share Units	Jamal, Rizwan	7	15/04/2016	35	59.74	11,134	223
BCE Inc.	Restricted Share Units	Jamal, Rizwan	7	15/07/2016	35	62.52	11,349	215
BCE Inc.	Restricted Share Units	Jamal, Rizwan	7	15/10/2016	35	60.3	11,574	225
BCE Inc.	Rights Performance-based Deferred Share Units	Jamal, Rizwan	7	15/04/2016	35	54.79	4,331	49
BCE Inc.	Rights Performance-based Deferred Share Units	Jamal, Rizwan	7	15/07/2016	35	62.52	4,378	47
BCE Inc.	Rights Performance-based Deferred Share Units	Jamal, Rizwan	7	15/10/2016	35	60.3	4,428	50
BCE Inc.	Rights Restricted Deferred Share Units	Jamal, Rizwan	7	15/04/2016	35	59.74	8,662	98
BCE Inc.	Rights Restricted Deferred Share Units	Jamal, Rizwan	7	15/07/2016	35	62.52	8,757	95
BCE Inc.	Rights Restricted Deferred Share Units	Jamal, Rizwan	7	15/10/2016	35	60.3	8,856	99

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
BCE Inc.	Share Units	Jamal, Rizwan	7	15/01/2016	35	54.79	16,753	196
BCE Inc.	Share Units	Jamal, Rizwan	7	15/01/2016	35	62.52		257
BCE Inc.	Share Units	Jamal, Rizwan	7	15/04/2016	35	59.74		266
BCE Inc.	Share Units	Jamal, Rizwan	7	15/04/2016	35	59.74		257
BCE Inc.	Share Units	Jamal, Rizwan	7	15/04/2016	35	62.52		257
BCE Inc.	Share Units	Jamal, Rizwan	7	15/04/2016	35	59.74	23,546	266
BCE Inc.	Share Units	Jamal, Rizwan	7	15/07/2016	35	62.52	23,803	257
BCE Inc.	Share Units	Jamal, Rizwan	7	15/10/2016	35	60.3	24,072	269
BCE Inc.	Options	Kirby, Blaik	5	28/02/2017	50	58.62		65,275
BCE Inc.	Options	Kirby, Blaik	5	28/02/2017	50	58.62	311,265	65,275
BCE Inc.	Performance-based Restricted Share Units	Kirby, Blaik	5	15/01/2016	35	54.79	12,673	149
BCE Inc.	Performance-based Restricted Share Units	Kirby, Blaik	5	15/04/2016	35	59.74	12,748	144
BCE Inc.	Performance-based Restricted Share Units	Kirby, Blaik	5	15/07/2016	35	62.52	12,887	139
BCE Inc.	Performance-based Restricted Share Units	Kirby, Blaik	5	15/10/2016	35	60.3	13,033	146
BCE Inc.	Restricted Share Units	Kirby, Blaik	5	15/01/2016	35	54.79	25,345	297
BCE Inc.	Restricted Share Units	Kirby, Blaik	5	15/04/2016	35	59.74	25,496	288
BCE Inc.	Restricted Share Units	Kirby, Blaik	5	15/07/2016	35	62.52	25,774	278
BCE Inc.	Restricted Share Units	Kirby, Blaik	5	15/10/2016	35	60.3	26,066	292
BCE Inc.	Share Units	Kirby, Blaik	5	15/01/2016	35	54.79	25,796	302
BCE Inc.	Share Units	Kirby, Blaik	5	15/04/2016	35	54.79	26,091	295
BCE Inc.	Share Units	Kirby, Blaik	5	15/07/2016	35	62.52	26,376	285
BCE Inc.	Share Units	Kirby, Blaik	5	15/10/2016	35	60.3	26,675	299
BCE Inc.	Options	le Duc, Bernard	5	28/02/2017	50	58.62		81,593
BCE Inc.	Options	le Duc, Bernard	5	28/02/2017	50	58.62	283,143	81,593
BCE Inc.	Performance-based Restricted Share Units	le Duc, Bernard	5	15/01/2016	35	54.79	17,007	199
BCE Inc.	Performance-based Restricted Share Units	le Duc, Bernard	5	15/04/2016	35	59.74	16,015	181
BCE Inc.	Performance-based Restricted Share Units	le Duc, Bernard	5	15/07/2016	35	62.52	16,190	175
BCE Inc.	Performance-based Restricted Share Units	le Duc, Bernard	5	15/10/2016	35	60.3	16,373	183
BCE Inc.	Restricted Share Units	le Duc, Bernard	5	15/01/2016	35	54.79	34,011	399
BCE Inc.	Restricted Share Units	le Duc, Bernard	5	15/04/2016	35	59.74	32,026	362
BCE Inc.	Restricted Share Units	le Duc, Bernard	5	15/07/2016	35	62.52	32,376	350
BCE Inc.	Restricted Share Units	le Duc, Bernard	5	15/10/2016	35	60.3	32,742	366
BCE Inc.	Share Units	le Duc, Bernard	5	15/01/2016	35	54.79	42,334	496
BCE Inc.	Share Units	le Duc, Bernard	5	15/04/2016	35	59.74	52,717	595
BCE Inc.	Share Units	le Duc, Bernard	5	15/07/2016	35	62.52	53,292	575
BCE Inc.	Share Units	le Duc, Bernard	5	15/10/2016	35	60.3	53,895	603
BCE Inc.	Options	LeBlanc, Glen	5	28/02/2017	50	58.62		81,593
BCE Inc.	Options	LeBlanc, Glen	5	28/02/2017	50	58.62	217,698	81,593
BCE Inc.	Performance-based Restricted Share Units	LeBlanc, Glen	5	15/01/2016	35	54.79	4,730	55
BCE Inc.	Performance-based Restricted Share Units	LeBlanc, Glen	5	15/04/2016	35	59.74	4,845	115
BCE Inc.	Performance-based Restricted Share Units	LeBlanc, Glen	5	15/07/2016	35	62.52	4,956	111
BCE Inc.	Performance-based Restricted Share Units	LeBlanc, Glen	5	15/10/2016	35	60.3	5,073	117
BCE Inc.	Restricted Share Units	LeBlanc, Glen	5	15/01/2016	35	54.79	9,460	111
BCE Inc.	Restricted Share Units	LeBlanc, Glen	5	15/04/2016	35	59.74	9,690	230
BCE Inc.	Restricted Share Units	LeBlanc, Glen	5	15/07/2016	35	62.52	9,913	223
BCE Inc.	Restricted Share Units	LeBlanc, Glen	5	15/10/2016	35	60.3	10,146	233
BCE Inc.	Rights Performance-based Deferred Share Units	LeBlanc, Glen	5	15/04/2016	35	59.74	5,413	61

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
BCE Inc.	Rights Performance-based Deferred Share Units	LeBlanc, Glen	5	15/07/2016	35	62.52	5,472	59
BCE Inc.	Rights Performance-based Deferred Share Units	LeBlanc, Glen	5	15/10/2016	35	60.3	5,534	62
BCE Inc.	Rights Restricted Deferred Share Units	LeBlanc, Glen	5	15/04/2016	35	59.74	10,826	122
BCE Inc.	Rights Restricted Deferred Share Units	LeBlanc, Glen	5	15/07/2016	35	62.52	10,944	118
BCE Inc.	Rights Restricted Deferred Share Units	LeBlanc, Glen	5	15/10/2016	35	60.3	11,068	124
BCE Inc.	Share Units	LeBlanc, Glen	5	15/01/2016	35	54.79	145,002	1,697
BCE Inc.	Share Units	LeBlanc, Glen	5	15/04/2016	35	54.79	146,661	1,659
BCE Inc.	Share Units	LeBlanc, Glen	5	15/07/2016	35	62.52	148,270	1,609
BCE Inc.	Share Units	LeBlanc, Glen	5	15/10/2016	35	60.3	149,956	1,686
BCE Inc.	Share Units	Lee, Katherine M	4	15/01/2016	35	54.79	1,536	7
BCE Inc.	Share Units	Lee, Katherine M	4	31/03/2016	30	59.19	2,402	866
BCE Inc.	Share Units	Lee, Katherine M	4	15/04/2016	35	59.74	2,420	18
BCE Inc.	Share Units	Lee, Katherine M	4	30/06/2016	30	61.14	3,258	838
BCE Inc.	Share Units	Lee, Katherine M	4	15/07/2016	35	62.52	3,284	26
BCE Inc.	Share Units	Lee, Katherine M	4	30/09/2016	30	60.59	4,130	846
BCE Inc.	Share Units	Lee, Katherine M	4	15/10/2016	35	60.3	4,167	37
BCE Inc.	Share Units	Lee, Katherine M	4	31/12/2016	30	58.03	5,051	884
BCE Inc.	Options	Lennox, Randal	5	28/02/2017	50	58.62		29,374
BCE Inc.	Options	Lennox, Randal	5	28/02/2017	50	58.62	67,082	29,374
BCE Inc.	Share Units	Leroux, Monique F.	4	28/04/2016	00			
BCE Inc.	Share Units	Leroux, Monique F.	4	30/06/2016	30	61.14	590	590
BCE Inc.	Share Units	Leroux, Monique F.	4	30/09/2016	30	60.59	1,436	846
BCE Inc.	Share Units	Leroux, Monique F.	4	15/10/2016	35	60.3	1,443	7
BCE Inc.	Share Units	Leroux, Monique F.	4	31/12/2016	30	58.03	2,326	883
BCE Inc.	Options	Little, Thomas (Tom)	7	28/02/2017	50	58.62		88,121
BCE Inc.	Options	Little, Thomas (Tom)	7	28/02/2017	50	58.62	238,270	88,121
BCE Inc.	Performance-based Restricted Share Units	Little, Thomas (Tom)	7	15/01/2016	35	54.79	21,257	249
BCE Inc.	Performance-based Restricted Share Units	Little, Thomas (Tom)	7	15/04/2016	35	59.74	13,311	211
BCE Inc.	Performance-based Restricted Share Units	Little, Thomas (Tom)	7	15/07/2016	35	62.52	13,515	204
BCE Inc.	Performance-based Restricted Share Units	Little, Thomas (Tom)	7	15/10/2016	35	60.3	13,729	214
BCE Inc.	Restricted Share Units	Little, Thomas (Tom)	7	15/01/2016	35	54.79	42,513	498
BCE Inc.	Restricted Share Units	Little, Thomas (Tom)	7	15/04/2016	35	59.74	37,326	422
BCE Inc.	Restricted Share Units	Little, Thomas (Tom)	7	15/07/2016	35	62.52	37,733	407
BCE Inc.	Restricted Share Units	Little, Thomas (Tom)	7	15/10/2016	35	60.3	38,160	427
BCE Inc.	Rights Performance-based Deferred Share Units	Little, Thomas (Tom)	7	15/04/2016	35	59.74	5,413	61
BCE Inc.	Rights Performance-based Deferred Share Units	Little, Thomas (Tom)	7	15/07/2016	35	62.52	5,472	59
BCE Inc.	Rights Performance-based Deferred Share Units	Little, Thomas (Tom)	7	15/10/2016	35	60.3	5,534	62
BCE Inc.	Share Units	Little, Thomas (Tom)	7	15/01/2016	35	54.79	61,677	723
BCE Inc.	Share Units	Little, Thomas (Tom)	7	15/04/2016	35	59.74	78,881	891
BCE Inc.	Share Units	Little, Thomas (Tom)	7	15/07/2016	35	62.52	79,742	861
BCE Inc.	Share Units	Little, Thomas (Tom)	7	15/10/2016	35	60.3	80,645	903
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	15/01/2016	35	54.79	4,522	42
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	31/03/2016	30	59.19	5,388	866
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	15/04/2016	35	59.74	5,440	52
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	30/06/2016	30	61.14	6,911	1,471
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	15/07/2016	35	62.52	6,970	59

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	30/09/2016	30	60.59	8,724	1,754
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	15/10/2016	35	60.3	8,803	79
BCE Inc.	Share Units	Nixon, Gordon Melbourne	4	31/12/2016	30	58.03	10,633	1,830
BCE Inc.	Options	Oosterman, Wade	7	28/02/2017	50	58.62		163,186
BCE Inc.	Options	Oosterman, Wade	7	28/02/2017	50	58.62	517,953	163,186
BCE Inc.	Performance-based Restricted Share Units	Oosterman, Wade	7	15/01/2016	35	54.79	30,937	363
BCE Inc.	Performance-based Restricted Share Units	Oosterman, Wade	7	15/04/2016	35	59.74	19,839	321
BCE Inc.	Performance-based Restricted Share Units	Oosterman, Wade	7	15/07/2016	35	62.52	20,149	310
BCE Inc.	Performance-based Restricted Share Units	Oosterman, Wade	7	15/10/2016	35	60.3	20,474	325
BCE Inc.	Restricted Share Units	Oosterman, Wade	7	15/01/2016	35	54.79	186,270	2,184
BCE Inc.	Restricted Share Units	Oosterman, Wade	7	15/04/2016	35	59.74	39,677	642
BCE Inc.	Restricted Share Units	Oosterman, Wade	7	15/07/2016	35	62.52	40,297	620
BCE Inc.	Restricted Share Units	Oosterman, Wade	7	15/10/2016	35	60.3	40,947	650
BCE Inc.	Rights Performance-based Deferred Share Units	Oosterman, Wade	7	15/04/2016	35	59.74	8,662	98
BCE Inc.	Rights Performance-based Deferred Share Units	Oosterman, Wade	7	15/07/2016	35	62.52	8,757	95
BCE Inc.	Rights Performance-based Deferred Share Units	Oosterman, Wade	7	15/10/2016	35	60.3	8,856	99
BCE Inc.	Rights Restricted Deferred Share Units	Oosterman, Wade	7	15/04/2016	35	59.74	17,323	196
BCE Inc.	Rights Restricted Deferred Share Units	Oosterman, Wade	7	15/07/2016	35	62.52	17,512	189
BCE Inc.	Rights Restricted Deferred Share Units	Oosterman, Wade	7	15/10/2016	35	60.3	17,710	198
BCE Inc.	Share Units	Oosterman, Wade	7	15/01/2016	35	54.79	561,839	6,587
BCE Inc.	Share Units	Oosterman, Wade	7	15/04/2016	35	59.74	728,724	8,231
BCE Inc.	Share Units	Oosterman, Wade	7	15/07/2016	35	62.52	736,679	7,955
BCE Inc.	Share Units	Oosterman, Wade	7	15/10/2016	35	60.3	745,017	8,338
BCE Inc.	Common Shares	Rovinescu, Calin	4	15/10/2016	35	60.33	131	1
BCE Inc.	Common Shares	Rovinescu, Calin	4	26/10/2016	35	60.33	328	197
BCE Inc.	Common Shares	Rovinescu, Calin	4	16/01/2017	35	58.69	535	207
BCE Inc.	Common Shares	Rovinescu, Calin	4	16/01/2017	35	58.69	536	1
BCE Inc.	Common Shares	Rovinescu, Calin	4	15/10/2016	35	60.33	303	3
BCE Inc.	Common Shares	Rovinescu, Calin	4	16/01/2017	35	58.69	306	3
BCE Inc.	Common Shares	Rovinescu, Calin	4	15/10/2016	35	60.33	131	1
BCE Inc.	Common Shares	Rovinescu, Calin	4	16/01/2017	35	58.69	132	1
BCE Inc.	Share Units	Rovinescu, Calin	4	28/04/2016	00			
BCE Inc.	Share Units	Rovinescu, Calin	4	30/06/2016	30	61.14	590	590
BCE Inc.	Share Units	Rovinescu, Calin	4	30/09/2016	30	60.59	1,436	846
BCE Inc.	Share Units	Rovinescu, Calin	4	15/10/2016	35	60.3	1,443	7
BCE Inc.	Share Units	Rovinescu, Calin	4	31/12/2016	30	58.03	2,326	883
BCE Inc.	Share Units	Simmonds, Robert	4	15/01/2016	35	54.79	20,888	234
BCE Inc.	Share Units	Simmonds, Robert	4	31/03/2016	30	59.19	21,754	866
BCE Inc.	Share Units	Simmonds, Robert	4	15/04/2016	35	59.74	21,992	238
BCE Inc.	Share Units	Simmonds, Robert	4	30/06/2016	30	61.14	22,830	838
BCE Inc.	Share Units	Simmonds, Robert	4	15/07/2016	35	62.52	23,070	240
BCE Inc.	Share Units	Simmonds, Robert	4	30/09/2016	30	60.59	23,916	846
BCE Inc.	Share Units	Simmonds, Robert	4	15/10/2016	35	60.3	24,178	262
BCE Inc.	Share Units	Simmonds, Robert	4	31/12/2016	30	58.03	25,062	884
BCE Inc.	Common Shares	Turcotte, Martine	5	15/01/2016	35	54.91	20,527	93
BCE Inc.	Common Shares	Turcotte, Martine	5	15/04/2016	35	59.69	20,617	90
BCE Inc.	Common Shares	Turcotte, Martine	5	15/07/2016	35	62.63	20,704	87
BCE Inc.	Common Shares	Turcotte, Martine	5	15/10/2016	35	60.33	20,795	91
BCE Inc.	Options	Turcotte, Martine	5	28/02/2017	50	58.62		81,593



**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
BCE Inc.	Options	Turcotte, Martine	5	28/02/2017	50	58.62	397,555	81,593
BCE Inc.	Performance-based Restricted Share Units	Turcotte, Martine	5	15/01/2016	35	54.79	21,257	249
BCE Inc.	Performance-based Restricted Share Units	Turcotte, Martine	5	15/04/2016	35	59.74	18,663	211
BCE Inc.	Performance-based Restricted Share Units	Turcotte, Martine	5	15/07/2016	35	62.52	18,867	204
BCE Inc.	Performance-based Restricted Share Units	Turcotte, Martine	5	15/10/2016	35	60.3	19,081	214
BCE Inc.	Restricted Share Units	Turcotte, Martine	5	15/01/2016	35	54.79	42,513	498
BCE Inc.	Restricted Share Units	Turcotte, Martine	5	15/04/2016	35	59.74	37,326	422
BCE Inc.	Restricted Share Units	Turcotte, Martine	5	15/07/2016	35	62.52	37,733	407
BCE Inc.	Restricted Share Units	Turcotte, Martine	5	15/10/2016	35	60.3	38,160	427
BCE Inc.	Share Units	Turcotte, Martine	5	15/01/2016	35	54.79	88,033	1,032
BCE Inc.	Share Units	Turcotte, Martine	5	15/04/2016	35	59.74	93,163	1,052
BCE Inc.	Share Units	Turcotte, Martine	5	15/07/2016	35	62.52	94,180	1,017
BCE Inc.	Share Units	Turcotte, Martine	5	15/10/2016	35	60.3	95,246	1,066
BCE Inc.	Options	Watson, John	7	28/02/2017	50	58.62		97,912
BCE Inc.	Options	Watson, John	7	28/02/2017	50	58.62	359,897	97,912
BCE Inc.	Performance-based Restricted Share Units	Watson, John	7	15/01/2016	35	54.79	22,434	263
BCE Inc.	Performance-based Restricted Share Units	Watson, John	7	15/04/2016	35	59.74	20,936	236
BCE Inc.	Performance-based Restricted Share Units	Watson, John	7	15/07/2016	35	62.52	21,165	229
BCE Inc.	Performance-based Restricted Share Units	Watson, John	7	15/10/2016	35	60.3	21,405	240
BCE Inc.	Restricted Share Units	Watson, John	7	15/01/2016	35	54.79	44,867	526
BCE Inc.	Restricted Share Units	Watson, John	7	15/04/2016	35	59.74	41,872	473
BCE Inc.	Restricted Share Units	Watson, John	7	15/07/2016	35	62.52	42,329	457
BCE Inc.	Restricted Share Units	Watson, John	7	15/10/2016	35	60.3	42,808	479
BCE Inc.	Share Units	Watson, John	7	15/01/2016	35	54.79	33,701	395
BCE Inc.	Share Units	Watson, John	7	15/04/2016	35	59.74	58,836	665
BCE Inc.	Share Units	Watson, John	7	15/07/2016	35	62.52	59,478	642
BCE Inc.	Share Units	Watson, John	7	15/10/2016	35	60.3	60,151	673
BCE Inc.	Share Units	Weiss, Paul Raymond	4	15/01/2016	35	54.79	32,353	372
BCE Inc.	Share Units	Weiss, Paul Raymond	4	31/03/2016	30	59.19	32,881	528
BCE Inc.	Share Units	Weiss, Paul Raymond	4	15/04/2016	35	59.74	33,251	370
BCE Inc.	Share Units	Weiss, Paul Raymond	4	30/06/2016	30	61.14	33,762	511
BCE Inc.	Share Units	Weiss, Paul Raymond	4	15/07/2016	35	62.52	34,125	363
BCE Inc.	Share Units	Weiss, Paul Raymond	4	30/09/2016	30	60.59		363
BCE Inc.	Share Units	Weiss, Paul Raymond	4	30/09/2016	30	60.59	34,641	516
BCE Inc.	Share Units	Weiss, Paul Raymond	4	15/10/2016	35	60.3	35,028	387
BCE Inc.	Share Units	Weiss, Paul Raymond	4	31/12/2016	30	58.03	35,567	539
Beafield Resources Inc.	Common Shares	Osisko Mining Inc.	3	03/03/2017	10	0.16	31,803,500	103,500
Beafield Resources Inc.	Common Shares	Osisko Mining Inc.	3	09/03/2017	10	0.16	34,700,000	2,896,500
Big Rock Brewery Inc.	Rights Share Appreciation Rights (SARs)	Feit, Barbara	5	02/03/2017	56		124,500	24,000
Big Rock Brewery Inc.	Rights Share Appreciation Rights (SARs)	Gautreau, Paul Henry	5	02/03/2017	56		122,500	22,000
Big Rock Brewery Inc.	Rights Share Appreciation Rights (SARs)	Girard, Shelley Paige	5	02/03/2017	56		42,000	22,000
Big Rock Brewery Inc.	Rights Share Appreciation Rights (SARs)	Kohut, Michael G	4	02/03/2017	56	6.16	28,500	6,000
Big Rock Brewery Inc.	Rights Share Appreciation Rights (SARs)	McNally-Leitch, Kathleen Margaret	4	02/03/2017	56		31,500	6,000
Big Rock Brewery Inc.	Rights Share Appreciation Rights (SARs)	Noone, Patrick Donnell	4	02/03/2017	56	6.16	9,000	6,000
Big Rock Brewery Inc.	Rights Share Appreciation Rights (SARs)	Peters, Jay Alan John	4	02/03/2017	56		23,400	6,000
Big Rock Brewery Inc.	Rights Share Appreciation Rights (SARs)	Peters, Robert George	4	02/03/2017	56	6.16	31,500	6,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	01/02/2017	38	9.75	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	01/02/2017	38	9.75	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	06/02/2017	38	9.78	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	06/02/2017	38	9.78	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	14/02/2017	38	9.76	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	14/02/2017	38	9.76	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	17/02/2017	38	9.76	100	100
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	17/02/2017	38	9.76	0	-100
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	22/02/2017	38	9.8	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	22/02/2017	38	9.8	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	24/02/2017	38	9.69	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	24/02/2017	38	9.69	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	24/02/2017	38	9.84	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	24/02/2017	38	9.84	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	27/02/2017	38	9.66	3,000	3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	27/02/2017	38	9.66	0	-3,000
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	28/02/2017	38	9.6	2,300	2,300
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	28/02/2017	38	9.6	0	-2,300
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	28/02/2017	38	9.54	700	700
Blue Ribbon Income Fund (formerly Citadel Diversified Investment Trust)	Trust Units	Blue Ribbon Income Fund	1	28/02/2017	38	9.54	0	-700
BLUEROCK VENTURES CORP.	Common Shares	Kang, Ravinder	4	03/03/2017	00		200,000	
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	02/02/2017	38	12.75	82,600	82,600
BMTC Group Inc.	Common Shares	Groupe BMTC Inc.	1	02/02/2017	38		0	-82,600
Borex Inc.	Common Shares	Aird, Sylvain	7, 5	06/03/2017	40	20.902	6,144	-2,701
Borex Inc.	Common Shares	Aird, Sylvain	7, 5	06/03/2017	40	20.901	4,144	-2,000
Borex Inc.	Common Shares	Aird, Sylvain	7, 5	07/03/2017	51	13.3	8,845	4,701
Borex Inc.	Options Options d'achat d'actions ordinaires	Aird, Sylvain	7, 5	07/03/2017	51	13.3	74,940	-4,701

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	40	20.87	1,257	-800
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	40	20.81	-2,543	-3,800
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	40	20.8	-3,043	-500
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	40	20.79	-3,970	-927
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	40	20.88	-5,170	-1,200
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	40	20.74	-5,870	-700
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	40	20.73	-5,970	-100
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	40	20.72	-42,770	-36,800
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	40	20.75	-45,070	-2,300
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	51	17.31	-41,352	3,718
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	51	7.14	-32,157	9,195
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	51	9.2	-24,739	7,418
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	51	8.5	-16,541	8,198
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	51	7.96	-7,559	8,982
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	51	10.29	-2,221	5,338
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	51	12.9	676	2,897
Borex Inc.	Common Shares	Aubut, Denis	5	06/03/2017	51	13.87	2,057	1,381
Borex Inc.	Options Options d'achat d'actions ordinaires	Aubut, Denis	5	06/03/2017	51	17.31	56,935	-3,718
Borex Inc.	Options Options d'achat d'actions ordinaires	Aubut, Denis	5	06/03/2017	51	7.14	47,740	-9,195
Borex Inc.	Options Options d'achat d'actions ordinaires	Aubut, Denis	5	06/03/2017	51	9.2	40,322	-7,418
Borex Inc.	Options Options d'achat d'actions ordinaires	Aubut, Denis	5	06/03/2017	51	8.5	32,124	-8,198
Borex Inc.	Options Options d'achat d'actions ordinaires	Aubut, Denis	5	06/03/2017	51	7.96	23,142	-8,982
Borex Inc.	Options Options d'achat d'actions ordinaires	Aubut, Denis	5	06/03/2017	51	10.29	17,804	-5,338
Borex Inc.	Options Options d'achat d'actions ordinaires	Aubut, Denis	5	06/03/2017	51	12.9	14,907	-2,897
Borex Inc.	Options Options d'achat d'actions ordinaires	Aubut, Denis	5	06/03/2017	51	13.87	13,526	-1,381
Borex Inc.	Unités d'actions différées	Benoit, Germain	4	26/05/2003	00			
Borex Inc.	Unités d'actions différées	Benoit, Germain	4	02/03/2017	56		1,305	1,305
Borex Inc.	Unités d'actions différées	Benoit, Germain	4	02/03/2017	35		1,342	37
Borex Inc.	Common Shares	Decostre, Patrick	7, 5	06/03/2017	40	20.96	2,400	-600
Borex Inc.	Common Shares	Decostre, Patrick	7, 5	06/03/2017	40	20.93	2,000	-400
Borex Inc.	Common Shares	Decostre, Patrick	7, 5	06/03/2017	40	20.92	700	-1,300
Borex Inc.	Common Shares	Decostre, Patrick	7, 5	06/03/2017	40	20.91	-714	-1,414
Borex Inc.	Common Shares	Decostre, Patrick	7, 5	07/03/2017	51	13.3	3,000	3,714
Borex Inc.	Options Options d'achat d'actions ordinaires	Decostre, Patrick	7, 5	07/03/2017	51	13.3	40,918	-3,714
Borex Inc.	Unités d'actions différées	Ducharme, Alain	4	11/05/2011	00			
Borex Inc.	Unités d'actions différées	Ducharme, Alain	4	02/03/2017	56		1,305	1,305
Borex Inc.	Unités d'actions différées	Ducharme, Alain	4	02/03/2017	35		1,342	37
Borex Inc.	Unités d'actions différées	Hall, Robert F.	4, 6, 5	26/05/2003	00			
Borex Inc.	Unités d'actions différées	Hall, Robert F.	4, 6, 5	02/03/2017	56		2,167	2,167
Borex Inc.	Unités d'actions différées	Hall, Robert F.	4, 6, 5	02/03/2017	35		2,229	62
Borex Inc.	Unités d'actions différées	Kernaghan, Edward Hume	4	15/06/2006	00			
Borex Inc.	Unités d'actions différées	Kernaghan, Edward Hume	4	02/03/2017	56		1,305	1,305
Borex Inc.	Unités d'actions différées	Kernaghan, Edward Hume	4	02/03/2017	35		1,342	37
Borex Inc.	Unités d'actions différées	Lemaire, Patrick	4, 7, 5	06/06/2006	00			
Borex Inc.	Unités d'actions différées	Lemaire, Patrick	4, 7, 5	02/03/2017	56		1,305	1,305
Borex Inc.	Unités d'actions différées	Lemaire, Patrick	4, 7, 5	02/03/2017	35		1,342	37
Borex Inc.	Unités d'actions différées	Lemaire, Patrick	4, 7, 5	02/03/2017	56		5,173	3,831
Borex Inc.	Unités d'actions différées	Lemaire, Richard	4	09/01/2002	00			
Borex Inc.	Unités d'actions différées	Lemaire, Richard	4	02/03/2017	56		1,305	1,305
Borex Inc.	Unités d'actions différées	Lemaire, Richard	4	02/03/2017	35		1,342	37
Borex Inc.	Unités d'actions différées	Rheault, Yves	4	26/05/2003	00			
Borex Inc.	Unités d'actions différées	Rheault, Yves	4	02/03/2017	56		1,305	1,305

**Insider Reporting**

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Borex Inc.	Unités d'actions différées	Rheault, Yves	4	02/03/2017	35		1,342	37
Borex Inc.	Unités d'actions différées	Rheaume, Alain	4	15/09/2010	00			
Borex Inc.	Unités d'actions différées	Rheaume, Alain	4	02/03/2017	56		1,305	1,305
Borex Inc.	Unités d'actions différées	Rheaume, Alain	4	02/03/2017	35		1,342	37
Borex Inc.	Unités d'actions différées	Samson-Doel, Michelle	4	25/11/2004	00			
Borex Inc.	Unités d'actions différées	Samson-Doel, Michelle	4	25/11/2004	00			
Borex Inc.	Unités d'actions différées	Samson-Doel, Michelle	4	25/11/2004	00			
Borex Inc.	Unités d'actions différées	Samson-Doel, Michelle	4	02/03/2017	56			1,618
Borex Inc.	Unités d'actions différées	Samson-Doel, Michelle	4	02/03/2017	56			1,618
Borex Inc.	Unités d'actions différées	Samson-Doel, Michelle	4	02/03/2017	56		1,619	1,619
Borex Inc.	Unités d'actions différées	Samson-Doel, Michelle	4	02/03/2017	35		1,665	46
Borex Inc.	Unités d'actions différées	Seccareccia, Pierre	4	10/11/2010	00			
Borex Inc.	Unités d'actions différées	Seccareccia, Pierre	4	02/03/2017	56		1,305	1,305
Borex Inc.	Unités d'actions différées	Seccareccia, Pierre	4	02/03/2017	35		1,342	37
Borex Inc.	Unités d'actions différées	St-Pierre, Dany	4	11/05/2016	00			
Borex Inc.	Unités d'actions différées	St-Pierre, Dany	4	02/03/2017	56		504	504
Borex Inc.	Unités d'actions différées	St-Pierre, Dany	4	02/03/2017	35		518	14
Borex Inc.	Common Shares	Thibodeau, Jean-François	7, 5	06/03/2017	40	20.805	5,295	-1,500
Borex Inc.	Common Shares	Thibodeau, Jean-François	7, 5	06/03/2017	40	20.81	2,457	-2,838
Borex Inc.	Common Shares	Thibodeau, Jean-François	7, 5	06/03/2017	40	20.815	2,357	-100
Borex Inc.	Common Shares	Thibodeau, Jean-François	7, 5	06/03/2017	40	20.82	1,057	-1,300
Borex Inc.	Common Shares	Thibodeau, Jean-François	7, 5	06/03/2017	40	20.83	-9,743	-10,800
Borex Inc.	Common Shares	Thibodeau, Jean-François	7, 5	07/03/2017	51	13.3	6,795	16,538
Borex Inc.	Options Options d'achat d'actions ordinaires	Thibodeau, Jean-François	7, 5	07/03/2017	51	13.3	209,188	-16,538
Bow Energy Ltd.	Common Shares	Anjum, Mansoor Ahmad	8	02/03/2017	10	0.09	260,000	26,000
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	01/02/2017	38	2.67	47,100	1,900
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	02/02/2017	38	2.68	76,000	28,900
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	03/02/2017	38	2.69	33,600	2,800
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	06/02/2017	38	2.67	35,900	2,300
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	07/02/2017	38	2.66	39,100	3,200
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	08/02/2017	38	2.61	40,200	1,100
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	09/02/2017	38	2.61	42,400	2,200
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	10/02/2017	38	2.66	45,300	2,900
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	13/02/2017	38	2.64	47,800	2,500
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	14/02/2017	38	2.7	49,500	1,700
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	15/02/2017	38	2.74	51,900	2,400
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	16/02/2017	38	2.76	54,100	2,200
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	17/02/2017	38	2.78	55,900	1,800
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	21/02/2017	38	2.87	58,000	2,100
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	22/02/2017	38	2.84	60,100	2,100
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	23/02/2017	38	2.86	62,200	2,100
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	24/02/2017	38	2.88	63,200	1,000
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	27/02/2017	38	2.89	64,700	1,500
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	28/02/2017	38	2.925	65,900	1,200
Brick Brewing Co. Limited	Common Shares	Brick Brewing Co. Limited	1	03/03/2017	38		0	-65,900
BrightPath Early Learning Inc. (formerly Edleun Group, Inc.)	Common Shares	BrightPath Early Learning Inc.	1	03/03/2017	38		0	-38,700
Brio Gold Inc.	Purchase Rights issued by Yamana Gold Inc.	Munhoz, Nelson	5	21/12/2016	58 - Expiration of rights		0	-6,151
Brio Gold Inc.	Common Shares	Yamana Gold Inc.	3	17/11/2016	00		112,527,429	
Brio Gold Inc.	Common Shares	Yamana Gold Inc.	3	23/12/2016	15	3.25	95,202,922	-17,324,507
Brio Gold Inc.	Common Shares	Yamana Gold Inc.	3	06/03/2017	16	3.35	89,202,922	-6,000,000
Brixton Metals Corporation	Common Shares	Thompson, Gary Robert	6	01/03/2017	10	0.5	1,234,584	29,000
Brompton 2017 Flow-Through Limited Partnership	Limited Partnership Units	Caranci, Mark A.	4, 5	27/02/2017	00			
Brompton 2017 Flow-Through Limited Partnership	Limited Partnership Units	Caranci, Mark A.	4, 5	06/03/2017	15	25		1,200

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Brompton 2017 Flow-Through Limited Partnership	Limited Partnership Units	Caranci, Mark A.	4, 5	06/03/2017	15	25	1,200	1,200
Brompton 2017 Flow-Through Limited Partnership	Limited Partnership Units	Kikuchi, Craig	4, 5	06/03/2017	15	25		800
Brompton 2017 Flow-Through Limited Partnership	Limited Partnership Units	Kikuchi, Craig	4, 5	06/03/2017	15	25	800	800
Brompton 2017 Flow-Through Limited Partnership	Limited Partnership Units	Lau, Laura Wing-Sze	5	27/02/2017	00			
Brompton 2017 Flow-Through Limited Partnership	Limited Partnership Units	Lau, Laura Wing-Sze	5	06/03/2017	15	25		2,000
Brompton 2017 Flow-Through Limited Partnership	Limited Partnership Units	Lau, Laura Wing-Sze	5	06/03/2017	15	25	2,000	2,000
Brookfield Asset Management Inc.	Preferred Shares Cass A Series 18	Brookfield Asset Management Inc.	1	30/05/2003	00			
Brookfield Asset Management Inc.	Preferred Shares Cass A Series 18	Brookfield Asset Management Inc.	1	31/01/2017	38	22.011	24,276	24,276
Brookfield Asset Management Inc.	Preferred Shares Cass A Series 18	Brookfield Asset Management Inc.	1	31/01/2017	38		0	-24,276
Brookfield Asset Management Inc.	Preferred Shares Cass A Series 18	Brookfield Asset Management Inc.	1	28/02/2017	38	22.693	9,566	9,566
Brookfield Asset Management Inc.	Preferred Shares Cass A Series 18	Brookfield Asset Management Inc.	1	28/02/2017	38		0	-9,566
Brookfield Asset Management Inc.	Preferred Shares Class A Series 17	Brookfield Asset Management Inc.	1	30/05/2003	00			
Brookfield Asset Management Inc.	Preferred Shares Class A Series 17	Brookfield Asset Management Inc.	1	31/01/2017	38	21.963	35,377	35,377
Brookfield Asset Management Inc.	Preferred Shares Class A Series 17	Brookfield Asset Management Inc.	1	31/01/2017	38		0	-35,377
Brookfield Asset Management Inc.	Preferred Shares Class A Series 17	Brookfield Asset Management Inc.	1	28/02/2017	38	22.666	13,867	13,867
Brookfield Asset Management Inc.	Preferred Shares Class A Series 17	Brookfield Asset Management Inc.	1	28/02/2017	38		0	-13,867
Brookfield Asset Management Inc.	Preferred Shares Class A Series 30	Brookfield Asset Management Inc.	1	31/01/2017	38	20.908	13,815	13,815
Brookfield Asset Management Inc.	Preferred Shares Class A Series 30	Brookfield Asset Management Inc.	1	28/02/2017	38		0	-13,815
Brookfield Asset Management Inc.	Preferred Shares Class A Series 36	Brookfield Asset Management Inc.	1	30/05/2003	00			
Brookfield Asset Management Inc.	Preferred Shares Class A Series 36	Brookfield Asset Management Inc.	1	31/01/2017	38	22.164	36,108	36,108
Brookfield Asset Management Inc.	Preferred Shares Class A Series 36	Brookfield Asset Management Inc.	1	31/01/2017	38		0	-36,108
Brookfield Asset Management Inc.	Preferred Shares Class A Series 36	Brookfield Asset Management Inc.	1	28/02/2017	38	22.688	14,868	14,868
Brookfield Asset Management Inc.	Preferred Shares Class A Series 36	Brookfield Asset Management Inc.	1	28/02/2017	38		0	-14,868
Brookfield Asset Management Inc.	Preferred Shares Class A Series 37	Brookfield Asset Management Inc.	1	30/05/2003	00			
Brookfield Asset Management Inc.	Preferred Shares Class A Series 37	Brookfield Asset Management Inc.	1	31/01/2017	38	22.545	36,943	36,943
Brookfield Asset Management Inc.	Preferred Shares Class A Series 37	Brookfield Asset Management Inc.	1	31/01/2017	38		0	-36,943
Brookfield Asset Management Inc.	Preferred Shares Class A Series 37	Brookfield Asset Management Inc.	1	28/02/2017	38	22.754	13,974	13,974
Brookfield Asset Management Inc.	Preferred Shares Class A Series 37	Brookfield Asset Management Inc.	1	28/02/2017	38		0	-13,974
Brookfield Asset Management Inc.	Preferred Shares Class A Series 9	Brookfield Asset Management Inc.	1	18/10/2016	38	13.8	900	900
Brookfield Asset Management Inc.	Preferred Shares Class A Series 9	Brookfield Asset Management Inc.	1	18/10/2016	38		0	-900
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Lawson, Brian	6, 5	10/03/2017	10	48.523	847,900	-100,000
Brookfield Asset Management Inc.	Common Shares Class A Limited Voting	Lawson, Brian	6, 5	09/03/2017	10	48.66	343,156	-100,000
Brookfield Canada Office Properties	Trust Units	Farley, Thomas Fredrick	4	08/03/2017	30		18,147	1,164

**Insider Reporting**

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Brookfield Renewable Partners L.P.	Deferred Share Units	Christie, Douglas	7	09/03/2017	56		5,774	2,260
Brookfield Renewable Partners L.P.	Deferred Share Units	Deschatelets, Julian	7	04/07/2014	00			
Brookfield Renewable Partners L.P.	Deferred Share Units	Deschatelets, Julian	7	09/03/2017	56		310	310
Brookfield Renewable Partners L.P.	Deferred Share Units	Hannah, Valerie	7	09/03/2015	00			
Brookfield Renewable Partners L.P.	Deferred Share Units	Hannah, Valerie	7	09/03/2017	56		3,875	3,875
Cadillac Ventures Inc.	Common Shares	Brewster, Norman	5	03/03/2017	10	0.045	427,757	15,000
CAE Inc.	Common Shares	CAE INC.	1	01/02/2017	38	18.55	4,000	4,000
CAE Inc.	Common Shares	CAE INC.	1	02/02/2017	38	18.48	8,000	4,000
CAE Inc.	Common Shares	CAE INC.	1	03/02/2017	38	18.47	12,000	4,000
CAE Inc.	Common Shares	CAE INC.	1	06/02/2017	38	18.55	16,000	4,000
CAE Inc.	Common Shares	CAE INC.	1	07/02/2017	38	18.58	20,000	4,000
CAE Inc.	Common Shares	CAE INC.	1	08/02/2017	38	18.59	23,900	3,900
CAE Inc.	Common Shares	CAE INC.	1	09/02/2017	38	18.63	27,900	4,000
CAE Inc.	Common Shares	CAE INC.	1	10/02/2017	38	18.76	31,900	4,000
CAE Inc.	Common Shares	CAE INC.	1	13/02/2017	38	18.85	35,900	4,000
CAE Inc.	Common Shares	CAE INC.	1	14/02/2017	38	18.99	39,900	4,000
CAE Inc.	Common Shares	CAE INC.	1	15/02/2017	38	19.66	41,700	1,800
CAE Inc.	Common Shares	CAE INC.	1	16/02/2017	38	20.4	45,600	3,900
CAE Inc.	Common Shares	CAE INC.	1	17/02/2017	38	20.38	49,600	4,000
CAE Inc.	Common Shares	CAE INC.	1	22/02/2017	38	20.54	57,600	8,000
CAE Inc.	Common Shares	CAE INC.	1	24/02/2017	38	19.96	66,000	8,400
CAE Inc.	Common Shares	CAE INC.	1	27/02/2017	38	19.95	74,400	8,400
CAE Inc.	Common Shares	CAE INC.	1	28/02/2017	38	19.99	74,600	200
CAE Inc.	Common Shares	CAE INC.	1	28/02/2017	38	19.36	0	-74,600
Caldwell U.S. Dividend Advantage Fund	Units	Caldwell US Dividend Advantage Fund	1	07/03/2017	10	10.454	31,900	-1,100
Caldwell U.S. Dividend Advantage Fund	Units	Caldwell US Dividend Advantage Fund	1	08/03/2017	10	10.45	30,700	-1,200
Calfrac Well Services Ltd.	Performance Share Units	Aguilar, Fernando	4, 5	22/02/2017	38			-40,000
Calfrac Well Services Ltd.	Performance Share Units	Aguilar, Fernando	4, 5	22/02/2017	38		743,330	-20,000
Calfrac Well Services Ltd.	Performance Share Units	Aguilar, Fernando	4, 5	22/02/2017	38		683,665	-59,665
Calfrac Well Services Ltd.	RSU	Brown, James Michael	5	06/03/2017	56		79,167	40,000
Calfrac Well Services Ltd.	RSU	Ellingson, Mark Ryan	5	06/03/2017	56		47,001	30,000
Calfrac Well Services Ltd.	RSU	Gall, Christopher Kenneth	5	06/03/2017	56		83,332	45,000
Calfrac Well Services Ltd.	RSU	Kuntz, Roderick Pius	5	06/03/2017	56		78,332	40,000
Calfrac Well Services Ltd.	RSU	Kuracz, Gerardo Daniel	5	06/03/2017	56		84,333	45,000
Calfrac Well Services Ltd.	RSU	Leier, Chad Jeremy	5	06/03/2017	56		54,334	30,000
Calfrac Well Services Ltd.	RSU	Link, Lindsay Robert	5	06/03/2017	56		174,667	90,000
Calfrac Well Services Ltd.	RSU	Medvedic, Tom	5	06/03/2017	56		109,999	65,000
Calfrac Well Services Ltd.	RSU	Mignault, Matthew	5	06/03/2017	56		21,367	10,000
Calfrac Well Services Ltd.	RSU	Oke, Edward	5	06/03/2017	56		90,832	50,000
Calfrac Well Services Ltd.	Options 2004 Stock Option Plan	Olinek, Michael Dean	5	06/03/2017	50	3.82	379,000	40,000
Calfrac Well Services Ltd.	RSU	Olinek, Michael Dean	5	06/03/2017	56		77,666	50,000
Calfrac Well Services Ltd.	Options 2004 Stock Option Plan	Paslawski, Basil Mark	5	06/03/2017	50	3.82	545,000	10,000
Calfrac Well Services Ltd.	RSU	Paslawski, Basil Mark	5	06/03/2017	56		114,667	60,000
Calfrac Well Services Ltd.	RSU	Rokosh, Gary John	5	06/03/2017	56		37,499	17,500
Calfrac Well Services Ltd.	RSU	Sutherland, Robert	5	06/03/2017	56		93,332	50,000
Calfrac Well Services Ltd.	RSU	Toney, Freddie Lynn	5	06/03/2017	56		88,334	45,000
Calian Group Ltd.	Common Shares	Ford, Kevin Lee	5	08/03/2017	10	26	7,399	-100
Calian Group Ltd.	Common Shares	Ford, Kevin Lee	5	09/03/2017	10	26	6,399	-1,000
Calian Group Ltd.	Common Shares	Ford, Kevin Lee	5	10/03/2017	10	26.04	5,399	-1,000
Cameco Corporation	Common Shares	Barsukov, Dmitry	5	03/03/2017	10	14.612	1,861	1,238
Cameco Corporation	Rights Performance Share Units	Barsukov, Dmitry	5	03/03/2017	57	14.612	22,400	-2,297

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Cameco Corporation	Rights Performance Share Units	Barsukov, Dmitry	5	03/03/2017	58 - Expiration of rights		20,920	-1,480
Cameco Corporation	Common Shares	Clark, Dale Robert	5	03/03/2017	10	14.612	3,280	1,276
Cameco Corporation	Rights Performance Share Units	Clark, Dale Robert	5	03/03/2017	57	14.612	25,239	-2,576
Cameco Corporation	Rights Performance Share Units	Clark, Dale Robert	5	03/03/2017	58 - Expiration of rights		23,579	-1,660
Cameco Corporation	Common Shares	Dobchuk, James Allan	7	03/03/2017	10	14.612	9,268	1,470
Cameco Corporation	Rights Performance Share Units	Dobchuk, James Allan	7	03/03/2017	57	14.612	24,847	-2,406
Cameco Corporation	Rights Performance Share Units	Dobchuk, James Allan	7	03/03/2017	58 - Expiration of rights		23,297	-1,550
Cameco Corporation	Common Shares	Doerksen, David Fehr	5	03/03/2017	10	14.612	9,754	1,422
Cameco Corporation	Rights Performance Share Units	Doerksen, David Fehr	5	03/03/2017	57	14.612	24,617	-2,602
Cameco Corporation	Rights Performance Share Units	Doerksen, David Fehr	5	03/03/2017	58 - Expiration of rights		22,941	-1,676
Cameco Corporation	Common Shares	Gabruch, Timothy Stephen	5	03/03/2017	10	14.612	7,952	1,330
Cameco Corporation	Rights Performance Share Units	Gabruch, Timothy Stephen	5	03/03/2017	57	14.612	23,670	-2,450
Cameco Corporation	Rights Performance Share Units	Gabruch, Timothy Stephen	5	03/03/2017	58 - Expiration of rights		22,092	-1,578
Cameco Corporation	Common Shares	Gitzel, Tim Scott	5	03/03/2017	10	14.612	221,854	19,886
Cameco Corporation	Rights Performance Share Units	Gitzel, Tim Scott	5	03/03/2017	57	14.612	407,456	-38,244
Cameco Corporation	Rights Performance Share Units	Gitzel, Tim Scott	5	03/03/2017	58 - Expiration of rights		382,800	-24,656
Cameco Corporation	Common Shares	Gorsalitz, Caroline Marie	5	03/03/2017	10	14.612	5,999	1,417
Cameco Corporation	Rights Performance Share Units	Gorsalitz, Caroline Marie	5	03/03/2017	57	14.612	24,555	-2,594
Cameco Corporation	Rights Performance Share Units	Gorsalitz, Caroline Marie	5	03/03/2017	58 - Expiration of rights		22,883	-1,672
Cameco Corporation	Common Shares	Isaac, Grant Everett	5	03/03/2017	10	14.612	42,795	6,639
Cameco Corporation	Common Shares	Isaac, Grant Everett	5	03/03/2017	10	14.636	60,600	17,805
Cameco Corporation	Rights Performance Share Units	Isaac, Grant Everett	5	03/03/2017	57	14.612	144,982	-12,768
Cameco Corporation	Rights Performance Share Units	Isaac, Grant Everett	5	03/03/2017	58 - Expiration of rights		136,750	-8,232
Cameco Corporation	Rights Restricted Share Units	Isaac, Grant Everett	5	03/03/2017	57	14.636	0	-34,240
Cameco Corporation	Common Shares	Leach, Mark Sean	5	03/03/2017	10	14.612	6,992	1,265
Cameco Corporation	Rights Performance Share Units	Leach, Mark Sean	5	03/03/2017	57	14.612	22,599	-2,347
Cameco Corporation	Rights Performance Share Units	Leach, Mark Sean	5	03/03/2017	58 - Expiration of rights		21,086	-1,513
Cameco Corporation	Common Shares	McHardy, Scott	5	03/03/2017	10	14.612	8,417	1,179
Cameco Corporation	Rights Performance Share Units	McHardy, Scott	5	03/03/2017	57	14.612	22,378	-2,186
Cameco Corporation	Rights Performance Share Units	McHardy, Scott	5	03/03/2017	58 - Expiration of rights		20,969	-1,409
Cameco Corporation	Common Shares	McNally-Power, Lynn Debra	5	03/03/2017	10	14.636	3,577	254
Cameco Corporation	Rights Restricted Share Units	McNally-Power, Lynn Debra	5	03/03/2017	57	14.636	0	-511
Cameco Corporation	Common Shares	Mooney, Ronald Liam	5	03/03/2017	10	14.612	1,417	1,417
Cameco Corporation	Rights Performance Share Units	Mooney, Ronald Liam	5	03/03/2017	57	14.612	24,555	-2,594
Cameco Corporation	Rights Performance Share Units	Mooney, Ronald Liam	5	03/03/2017	58 - Expiration of rights		22,883	-1,672
Cameco Corporation	Common Shares	Quinn, Sean Anthony	5	03/03/2017	10	14.612	25,405	1,667
Cameco Corporation	Rights Performance Share Units	Quinn, Sean Anthony	5	03/03/2017	57	14.612	88,067	-3,206
Cameco Corporation	Rights Performance Share Units	Quinn, Sean Anthony	5	03/03/2017	58 - Expiration of rights		86,000	-2,067
Cameco Corporation	Common Shares	Shockey, Heidi Lynn	5	03/03/2017	10	14.612	1,994	1,266

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Cameco Corporation	Rights Performance Share Units	Shockey, Heidi Lynn	5	03/03/2017	57	14.612	22,557	-2,349
Cameco Corporation	Rights Performance Share Units	Shockey, Heidi Lynn	5	03/03/2017	58 - Expiration of rights		21,043	-1,514
Cameco Corporation	Common Shares	Steane, Robert Albert	5	03/03/2017	10	14.612	112,351	10,117
Cameco Corporation	Rights Performance Share Units	Steane, Robert Albert	5	03/03/2017	57	14.612	177,244	-19,456
Cameco Corporation	Rights Performance Share Units	Steane, Robert Albert	5	03/03/2017	58 - Expiration of rights		164,700	-12,544
Cameco Corporation	Common Shares	Thorne, Andrew Miles	5	03/03/2017	10	14.612	9,859	1,558
Cameco Corporation	Rights Performance Share Units	Thorne, Andrew Miles	5	03/03/2017	57	14.612	26,650	-2,816
Cameco Corporation	Rights Performance Share Units	Thorne, Andrew Miles	5	03/03/2017	58 - Expiration of rights		24,836	-1,814
Cameco Corporation	Common Shares	Wong, Alice Louise	5	03/03/2017	10	14.612	42,233	4,426
Cameco Corporation	Common Shares	Wong, Alice Louise	5	03/03/2017	10	14.636	58,072	15,839
Cameco Corporation	Rights Performance Share Units	Wong, Alice Louise	5	03/03/2017	57	14.612	94,588	-8,512
Cameco Corporation	Rights Performance Share Units	Wong, Alice Louise	5	03/03/2017	58 - Expiration of rights		89,100	-5,488
Cameco Corporation	Rights Restricted Share Units	Wong, Alice Louise	5	03/03/2017	57	14.636	0	-30,440
Canaccord Genuity Group Inc.	Common Shares	Harris, Michael Deane	4	01/03/2017	10	5.58	200	-800
Canaccord Genuity Group Inc.	Common Shares	Harris, Michael Deane	4	01/03/2017	10	5.59	0	-200
Canaccord Genuity Group Inc.	Common Shares	Whaling, Mark Driscoll	7	31/12/2016	30	4.31	5,489	1,838
Canaccord Genuity Group Inc.	Rights Restricted Share Units	Whaling, Mark Driscoll	7	23/06/2016	56	4.79		43,148
Canaccord Genuity Group Inc.	Rights Restricted Share Units	Whaling, Mark Driscoll	7	23/06/2016	56	4.79	379,518	45,306
Canada Jetlines Ltd.	Common Shares	Bourque, Réjean	4	28/02/2017	00			
Canada Jetlines Ltd.	Options	Bourque, Réjean	4	28/02/2017	00		225,000	
Canada Jetlines Ltd.	Common Shares	Gadek, Stanley John	4	28/02/2017	00			
Canada Jetlines Ltd.	Options	Gadek, Stanley John	4	28/02/2017	00		225,000	
Canada Jetlines Ltd.	Common Shares	Lawson, Dixon Wayne	5	28/02/2017	00		670,000	
Canada Jetlines Ltd.	Common Shares	Lawson, Dixon Wayne	5	28/02/2017	00		557,500	
Canada Jetlines Ltd.	Options	Lawson, Dixon Wayne	5	28/02/2017	00		520,000	
Canada Jetlines Ltd.	Warrants	Lawson, Dixon Wayne	5	28/02/2017	00		52,500	
Canada Jetlines Ltd.	Options	Lotz, Mark	4	28/02/2017	50	0.3	110,000	110,000
Canada Jetlines Ltd.	Common Shares	Morabito, Mark Joseph	7	07/03/2017	10	0.28	458,592	10,000
Canada Jetlines Ltd.	Common Shares	Robinson, Deborah Wallis	4	28/02/2017	00			
Canada Jetlines Ltd.	Options	Robinson, Deborah Wallis	4	28/02/2017	00		225,000	
Canada Jetlines Ltd.	Common Shares	Scott, Daniel James	4, 5	28/02/2017	00		1,900,002	
Canada Jetlines Ltd.	Options	Scott, Daniel James	4, 5	28/02/2017	00		520,000	
Canada Jetlines Ltd.	Warrants	Scott, Daniel James	4, 5	28/02/2017	00		123,750	
Canada Jetlines Ltd.	Common Shares	Sutherland, John James	4	28/02/2017	00		142,500	
Canada Jetlines Ltd.	Options	Sutherland, John James	4	28/02/2017	00		245,000	
Canada Jetlines Ltd.	Warrants	Sutherland, John James	4	28/02/2017	00		15,000	
CanadaBis Capital Inc.	Options	Byers, Donald Lester	4	24/02/2017	00			
CanadaBis Capital Inc.	Options	Byers, Donald Lester	4	07/03/2017	50	0.1	125,000	125,000
CanadaBis Capital Inc.	Options	Harper, Jason Glen	4	24/02/2017	00			
CanadaBis Capital Inc.	Options	Harper, Jason Glen	4	07/03/2017	50	0.1	125,000	125,000
CanadaBis Capital Inc.	Options	O'Neill, Barbara Michele	4, 5	24/02/2017	00			
CanadaBis Capital Inc.	Options	O'Neill, Barbara Michele	4, 5	07/03/2017	50	0.1	175,000	175,000
CanadaBis Capital Inc.	Options	Pettigrew, Christopher Elliot	4	24/02/2017	00			
CanadaBis Capital Inc.	Options	Pettigrew, Christopher Elliot	4	07/03/2017	50	0.1	125,000	125,000
CanadaBis Capital Inc.	Options	Smith, Gregory Harold	4, 5	24/02/2017	00			
CanadaBis Capital Inc.	Options	Smith, Gregory Harold	4, 5	07/03/2017	50	0.1	250,000	250,000



**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Canadian Apartment Properties Real Estate Investment Trust	Trust Units	Kenney, Mark	5	31/12/2016	30		158,258	1,852
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	13/02/2017	38	9.63	1,800	1,800
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	13/02/2017	38	9.63	0	-1,800
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	14/02/2017	38	9.63	3,000	3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	14/02/2017	38	9.63	0	-3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	16/02/2017	38	9.74	1,100	1,100
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	16/02/2017	38	9.74	0	-1,100
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	17/02/2017	38	9.75	500	500
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	17/02/2017	38	9.75	0	-500
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	21/02/2017	38	9.74	3,000	3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	21/02/2017	38	9.74	0	-3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	23/02/2017	38	9.8	3,000	3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	23/02/2017	38	9.8	0	-3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	24/02/2017	38	9.85	3,000	3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	24/02/2017	38	9.85	0	-3,000
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	24/02/2017	38	9.7	1,600	1,600
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	24/02/2017	38	9.7	0	-1,600
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	27/02/2017	38	9.7	100	100
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	27/02/2017	38	9.7	0	-100
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	28/02/2017	38	9.52	2,800	2,800
Canadian High Income Equity Fund	Units	Canadian High Income Equity Fund	1	28/02/2017	38	9.52	0	-2,800
Canadian Imperial Bank of Commerce	Rights RDSU	Manley, John Paul	4	31/12/2016	30	101.85		5,155
Canadian Imperial Bank of Commerce	Rights RDSU	Manley, John Paul	4	31/12/2016	30	100.63	26,733	3,975
Canadian Imperial Bank of Commerce	Rights RDSU	Manley, John Paul	4	31/12/2016	30	97.9		1,210
Canadian Imperial Bank of Commerce	Rights RDSU	Manley, John Paul	4	31/12/2016	30	97.88	27,936	1,203
Canadian Life Companies Split Corp.	Class A Shares	Finch, S. Wayne	4, 5	01/03/2017	10	6.01	208,000	-13,500
Canadian Life Companies Split Corp.	Class A Shares	Finch, S. Wayne	4, 5	03/03/2017	10	6.04	176,600	-31,400
Canadian National Railway Company	Common Shares	Barker, Matthew	5	31/12/2016	30	82.965	63,467	158
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	01/02/2017	38	87.637	176,284	88,377
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	02/02/2017	38	87.136	177,274	88,897
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	03/02/2017	38		88,897	-88,377
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	03/02/2017	38	87.699	181,816	92,919
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	06/02/2017	38		92,919	-88,897

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	06/02/2017	38	87.411	181,522	88,603
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	07/02/2017	40			-92,919
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	07/02/2017	38		88,603	-92,919
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	07/02/2017	38	88.275	176,391	87,788
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	08/02/2017	38		87,788	-88,603
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	08/02/2017	38	88.285	175,574	87,786
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	09/02/2017	38		87,786	-87,788
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	09/02/2017	38	88.926	174,924	87,138
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	10/02/2017	38		87,138	-87,786
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	10/02/2017	38	89.397	173,838	86,700
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	13/02/2017	38		86,700	-87,138
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	13/02/2017	38	89.984	172,700	86,000
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	14/02/2017	38		86,000	-86,700
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	14/02/2017	38	90.172	167,340	81,340
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	15/02/2017	38		81,340	-86,000
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	15/02/2017	38	90.208	158,170	76,830
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	16/02/2017	38		76,830	-81,340
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	16/02/2017	38	90.184	153,676	76,846
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	17/02/2017	38		76,846	-76,830
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	17/02/2017	38	90.567	162,396	85,550
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	21/02/2017	38		85,550	-76,846
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	21/02/2017	38	91.127	161,600	76,050
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	22/02/2017	38		76,050	-85,550
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	22/02/2017	38	91.872	151,517	75,467
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	23/02/2017	38		75,467	-76,050
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	23/02/2017	38	90.941	151,667	76,200
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	24/02/2017	38		76,200	-75,467
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	24/02/2017	38		153,550	77,350
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	27/02/2017	38		77,350	-76,200
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	27/02/2017	38	89.867	163,650	86,300
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	28/02/2017	38		86,300	-77,350
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	28/02/2017	38	90.227	172,166	85,866
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	01/03/2017	38		85,866	-86,300

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Canadian National Railway Company	Common Shares	Canadian National Railway Company	1	02/03/2017	38		0	-85,866
Canadian National Railway Company	Common Shares	Cory, Michael A	5	31/12/2016	30	81.715	15,690	970
Canadian National Railway Company	Common Shares	Orr, John Frederick	5	03/03/2017	51	24.23	26,240	8,000
Canadian National Railway Company	Common Shares	Orr, John Frederick	5	03/03/2017	51	20.96	37,040	10,800
Canadian National Railway Company	Common Shares	Orr, John Frederick	5	03/03/2017	10	71.805	18,240	-18,800
Canadian National Railway Company	Options	Orr, John Frederick	5	03/03/2017	51	24.23	84,683	-8,000
Canadian National Railway Company	Options	Orr, John Frederick	5	03/03/2017	51	20.96	73,883	-10,800
Canadian Natural Resources Limited	Options	Cusson, Réal, Michel	5	14/12/2011	50	36.78		125,000
Canadian Natural Resources Limited	Options	Cusson, Réal, Michel	5	14/12/2011	50	36.78	710,000	125,000
Canadian Natural Resources Limited	Common Shares	Doucet, Real J. H.	5	07/03/2017	10	40.703	37,476	-2,700
Canadian Natural Resources Limited	Common Shares	Doucet, Real J. H.	5	08/03/2017	10	41.895	36,467	-1,009
Canadian Natural Resources Limited	Common Shares	Doucet, Real J. H.	5	08/03/2017	10	41.887	16,317	-20,150
Canadian Natural Resources Limited	Common Shares	Frankiw, Allan E	5	06/03/2017	10	41.62	26,569	5,000
Canadian Natural Resources Limited	Common Shares	Frankiw, Allan E	5	06/03/2017	10	41.62	21,569	-5,000
Canadian Natural Resources Limited	Common Shares	Frankiw, Allan E	5	09/03/2017	10	41.71	20,569	-1,000
Canadian Natural Resources Limited	Options	Frankiw, Allan E	5	06/03/2017	51	41.62	147,500	-5,000
Canadian Natural Resources Limited	Options	Laut, Stephen W.	5	14/12/2011	50	36.78		300,000
Canadian Natural Resources Limited	Options	Laut, Stephen W.	5	14/12/2011	50	36.78	2,350,000	300,000
Canadian Natural Resources Limited	Common Shares	McKay, Timothy Shawn	5	31/12/2016	30	36.83	1,152,284	2,130
Canadian Natural Resources Limited	Options	McKay, Timothy Shawn	5	14/12/2011	50	36.78		200,000
Canadian Natural Resources Limited	Options	McKay, Timothy Shawn	5	14/12/2011	50	36.78	960,000	200,000
Canadian Natural Resources Limited	Options	Mendes, Paul Martin	5	14/12/2011	99	36.78	131,000	40,000
Canadian Natural Resources Limited	Options	Stauth, Scott Gerald	5	14/12/2011	50	36.78		100,000
Canadian Natural Resources Limited	Options	Stauth, Scott Gerald	5	14/12/2011	50	36.78	258,200	100,000
Canadian Orebodies Inc.	Common Shares	Cudney, Robert Douglas	3	03/03/2017	10	0.2452	5,894,750	58,500
Canadian Orebodies Inc.	Common Shares	Laschinger, Fraser James	5	07/03/2017	10	0.3	702,198	8,000
Canadian Orebodies Inc.	Common Shares	McKinnon, Gordon Scott Townsend	4, 5	07/03/2017	10	0.28	1,645,335	20,000
Canadian Orebodies Inc.	Common Shares	McKinnon, Gordon Scott Townsend	4, 5	07/03/2017	10	0.3	1,647,335	2,000
Canadian Orebodies Inc.	Common Shares	McKinnon, Gordon Scott Townsend	4, 5	07/03/2017	10	0.31	1,648,335	1,000
Canadian Pacific Railway Limited	Common Shares	Nettleton, Steven	5	20/04/2016	00			
Canadian Pacific Railway Limited	Common Shares	Nettleton, Steven	5	31/12/2016	30	147	84	84
Canadian Pacific Railway Limited	Common Shares 401K	Nettleton, Steven	5	20/04/2016	00		12	
Canadian Pacific Railway Limited	Common Shares 401K	Nettleton, Steven	5	31/12/2016	30	143.23	29	17
Canadian Pacific Railway Limited	Rights DSU	Nettleton, Steven	5	20/04/2016	00			

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Canadian Pacific Railway Limited	Rights DSU	Nettleton, Steven	5	20/04/2016	00		957	
Canadian Pacific Railway Limited	Rights DSU	Nettleton, Steven	5	31/12/2016	30	146.74	963	6
Canadian Pacific Railway Limited	Rights RSU	Nettleton, Steven	5	20/04/2016	00		1,518	
Canadian Pacific Railway Limited	Rights RSU	Nettleton, Steven	5	31/12/2016	30	142	1,528	10
Canadian Pacific Railway Limited	Common Shares 401K	Pitz, Laird Joseph	5	21/04/2014	00			
Canadian Pacific Railway Limited	Common Shares 401K	Pitz, Laird Joseph	5	31/12/2016	30	142.12	31	31
Canadian Pacific Railway Limited	Rights DSU	Pitz, Laird Joseph	5	23/02/2016	56	126.55	4,268	2,549
Canadian Pacific Railway Limited	Rights DSU	Pitz, Laird Joseph	5	31/12/2016	30	142.23	4,302	34
Canadian Pacific Railway Limited	Options	WAHBA, JONATHAN SHERIF	5	14/02/2017	00			
Canadian Pacific Railway Limited	Options	WAHBA, JONATHAN SHERIF	5	07/03/2017	50	200	2,414	2,414
Canadian Pacific Railway Limited	Rights DSU	WAHBA, JONATHAN SHERIF	5	14/02/2017	00			
Canadian Pacific Railway Limited	Rights DSU	WAHBA, JONATHAN SHERIF	5	07/03/2017	56	200	937	937
Canadian Real Estate Investment Trust	Units Real Estate Investment Trust Units	Latimer, Robert Michael	4	24/02/2017	10	49.974	1,178	850
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	06/03/2017	38	152.72	17,000	17,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	06/03/2017	38		0	-17,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	07/03/2017	38	152.29	17,000	17,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	07/03/2017	38		0	-17,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	08/03/2017	38	152.65	17,000	17,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	08/03/2017	38		0	-17,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	09/03/2017	38	152	17,000	17,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	09/03/2017	38		0	-17,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	10/03/2017	38	153.12	17,000	17,000
Canadian Tire Corporation, Limited	Non-Voting Shares Class A	Canadian Tire Corporation, Limited	1	10/03/2017	38		0	-17,000
Canadian Tire Corporation, Limited	Options	Christie, James Robert	5	28/02/2017	50	156.29	43,605	12,763
Canadian Tire Corporation, Limited	Performance Share Units	Christie, James Robert	5	28/02/2017	56	156.29	13,042	2,814
Canadian Tire Corporation, Limited	Options	Collver, Robyn Anne	3, 7, 5	28/02/2017	50	156.29	30,393	5,179
Canadian Tire Corporation, Limited	Performance Share Units	Collver, Robyn Anne	3, 7, 5	28/02/2017	56	156.29	5,687	1,142
Canadian Tire Corporation, Limited	Options	Craig, Gregory George	7, 5	28/02/2017	50	156.29	26,875	7,834
Canadian Tire Corporation, Limited	Performance Share Units	Craig, Gregory George	7, 5	28/02/2017	56	156.29	5,896	1,727
Canadian Tire Corporation, Limited	Options	Fulton, Duncan Stanley Allpress	7, 5	28/02/2017	50	156.29	35,547	7,834
Canadian Tire Corporation, Limited	Performance Share Units	Fulton, Duncan Stanley Allpress	7, 5	28/02/2017	56	156.29	9,326	1,727
Canadian Tire Corporation, Limited	Options	MacDonald, Allan Angus	5	28/02/2017	50	156.29	50,612	12,763
Canadian Tire Corporation, Limited	Performance Share Units	MacDonald, Allan Angus	5	28/02/2017	56	156.23		2,814

**Insider Reporting**

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Canadian Tire Corporation, Limited	Performance Share Units	MacDonald, Allan Angus	5	28/02/2017	56	156.23		2,814
Canadian Tire Corporation, Limited	Performance Share Units	MacDonald, Allan Angus	5	28/02/2017	56	156.29	13,379	2,814
Canadian Tire Corporation, Limited	Options	McCann, Dean Charles	7, 5	28/02/2017	50	156.29	58,939	12,763
Canadian Tire Corporation, Limited	Performance Share Units	McCann, Dean Charles	7, 5	28/02/2017	56	156.29	14,087	2,814
Canadian Tire Corporation, Limited	Options	Mongeau, Robert	7	28/02/2017	50	156.29	28,129	5,179
Canadian Tire Corporation, Limited	Performance Share Units	Mongeau, Robert	7	28/02/2017	56	156.29	5,156	1,142
Canadian Tire Corporation, Limited	Options	Nakamachi, Jane	5	28/02/2017	50	156.29	9,421	4,439
Canadian Tire Corporation, Limited	Performance Share Units	Nakamachi, Jane	5	28/02/2017	56	156.29	2,855	978
Canadian Tire Corporation, Limited	Options	Nathanson, Douglas Bigler	7, 5	28/02/2017	50	156.29	30,100	5,919
Canadian Tire Corporation, Limited	Performance Share Units	Nathanson, Douglas Bigler	7, 5	28/02/2017	56	156.29	5,919	1,305
Canadian Tire Corporation, Limited	Options	O'Brien, Susan M	5	28/02/2017	50	156.29	11,625	4,661
Canadian Tire Corporation, Limited	Performance Share Units	O'Brien, Susan M	5	28/02/2017	56	156.29	3,751	1,218
Canadian Tire Corporation, Limited	Options	Roman, Eugene O.	5	28/02/2017	50	156.29	37,028	11,098
Canadian Tire Corporation, Limited	Performance Share Units	Roman, Eugene O.	5	28/02/2017	56	156.29	11,129	2,447
Canadian Tire Corporation, Limited	Options	Sheldon, Joseph Barry	7	10/03/2016	00			
Canadian Tire Corporation, Limited	Options	Sheldon, Joseph Barry	7	28/02/2017	50	156.29	1,741	1,741
Canadian Tire Corporation, Limited	Performance Share Units	Sheldon, Joseph Barry	7	28/02/2017	56	156.29	1,497	383
Canadian Tire Corporation, Limited	Deferred Share Units	Wetmore, Stephen Gerald	4, 5	28/02/2017	56	156.29	52,902	11,996
Canadian Tire Corporation, Limited	Performance Share Units	Wetmore, Stephen Gerald	4, 5	28/02/2017	56	156.23		11,996
Canadian Tire Corporation, Limited	Performance Share Units	Wetmore, Stephen Gerald	4, 5	28/02/2017	56	156.23		11,996
Canadian Tire Corporation, Limited	Performance Share Units	Wetmore, Stephen Gerald	4, 5	28/02/2017	56	156.29	29,971	11,996
Canadian Tire Corporation, Limited	Options	White, Richard Jay (Rick)	7, 5	28/02/2017	50	156.29	33,009	8,715
Canadian Tire Corporation, Limited	Performance Share Units	White, Richard Jay (Rick)	7, 5	28/02/2017	56	156.29	10,009	1,921
Canadian Tire Corporation, Limited	Options	Wickramasinghe, Mahes S	5	28/02/2017	50	156.29	26,712	11,098
Canadian Tire Corporation, Limited	Performance Share Units	Wickramasinghe, Mahes S	5	28/02/2017	56	156.29	7,824	2,447
Canadian Utilities Limited	Non-Voting Shares Class A	ATCO Ltd.	3	01/03/2017	30	35.304	75,964,115	430,898
Canadian Utilities Limited	Non-Voting Shares Class A	Maher, Anthony L.	7	31/12/2016	30	37.37	837	416
Canadian Utilities Limited	Non-Voting Shares Class A	Maher, Anthony L.	7	31/12/2016	30	29.65	923	86
Canadian Utilities Limited	Non-Voting Shares Class A	Maher, Anthony L.	7	07/03/2017	30	36	956	33
Canadian Utilities Limited	Non-Voting Shares Class A	Maher, Anthony L.	7	07/03/2017	10	37.298	876	-80
Canadian Utilities Limited	Non-Voting Shares Class A	SIMPSON, JAMES W.	4	31/12/2016	30	36.56	19,992	1,065
Canadian Utilities Limited	Non-Voting Shares Class A	Southern-Heathcott, Linda A.	4	31/12/2016	30	38.3	1,207	1,207
Canadian Utilities Limited	Non-Voting Shares Class A	Southern-Heathcott, Linda A.	4	01/09/2016	30	37.66	9,592	111

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Canadian Utilities Limited	Non-Voting Shares Class A	Southern-Heathcott, Linda A.	4	01/12/2016	30	34.888	9,713	121
Canadian Utilities Limited	Non-Voting Shares Class A	Watson, Karen M	4	31/12/2016	30	37.11	32,805	606
Canadian Utilities Limited	Non-Voting Shares Class A	WILSON, Charles W.	4	31/12/2016	30	38.23	79,865	964
Canadian Western Bank	Common Shares	Blackett, Kelly St. Clair	5	06/03/2017	10	30.8	3,332	-1,000
Canadian Western Bank	Common Shares	Blackett, Kelly St. Clair	5	06/03/2017	10	30.76	2,732	-600
Canadian Western Bank	Common Shares	Blackett, Kelly St. Clair	5	06/03/2017	10	30.75	832	-1,900
Canadian Western Bank	Common Shares	Christensen, Lars Kurt	5	31/12/2016	30	24.78	17,238	1,259
Canadian Western Bank	Options	Christensen, Lars Kurt	5	11/03/2016	50		58,555	9,598
Canadian Western Bank	Options	Christensen, Lars Kurt	5	17/06/2016	52	30.757	54,941	-3,614
Canadian Western Bank	Rights Restricted Share Units	Christensen, Lars Kurt	5	31/12/2016	56		9,868	3,964
Canadian Western Bank	Rights Restricted Share Units	Christensen, Lars Kurt	5	31/12/2016	59		6,973	-2,895
Canadian Western Bank	Common Shares	Furlan, Mario Vittorio	5	31/12/2016	30	24.78	21,377	1,466
Canadian Western Bank	Options	Furlan, Mario Vittorio	5	11/03/2016	50		88,276	15,890
Canadian Western Bank	Options	Furlan, Mario Vittorio	5	17/06/2016	52	30.757	80,866	-3,705
Canadian Western Bank	Options	Furlan, Mario Vittorio	5	31/12/2016	56			1,952
Canadian Western Bank	Rights Performance Share Units	Furlan, Mario Vittorio	5	31/12/2016	56		7,809	1,952
Canadian Western Bank	Rights Performance Share Units	Furlan, Mario Vittorio	5	31/12/2016	59		6,931	-878
Canadian Western Bank	Rights Restricted Share Units	Furlan, Mario Vittorio	5	31/12/2016	56		10,029	3,942
Canadian Western Bank	Rights Restricted Share Units	Furlan, Mario Vittorio	5	31/12/2016	59		6,932	-3,097
Canadian Western Bank	Common Shares	Gallagher, Patrick	5	31/12/2016	30	24.78	1,148	1,148
Canadian Western Bank	Common Shares	Gallagher, Patrick	5	06/03/2017	10	30.76	0	-1,148
Canadian Western Bank	Options	Gallagher, Patrick	5	11/03/2016	50		18,758	8,751
Canadian Western Bank	Preferred Shares Series 5	Gallagher, Patrick	5	06/03/2017	10	21.238	1,480	480
Canadian Western Bank	Preferred Shares Series 5	Gallagher, Patrick	5	06/03/2017	10	21.25	150	50
Canadian Western Bank	Common Shares	Ozdemir, Hamdi B	5	08/12/2014	00			
Canadian Western Bank	Common Shares	Ozdemir, Hamdi B	5	30/01/2017	10	29.92	735	735
Canadian Western Bank	Common Shares	Phillips, Robert L.	4	07/03/2017	10	31.22	11,336	1,000
Canadian Western Bank	Common Shares	Stephen, Allen David	5	06/03/2017	51	26.404	9,657	261
Canadian Western Bank	Options	Stephen, Allen David	5	06/03/2017	51	26.404	42,176	-1,810
Canadian Western Bank	Common Shares	Thomson, David Leslie John	5	31/12/2016	30	24.78	10,137	1,350
Canadian Western Bank	Options	Thomson, David Leslie John	5	11/03/2016	50		59,950	14,630
Canadian Western Bank	Rights Performance Share Units	Thomson, David Leslie John	5	31/12/2016	56		6,221	1,753
Canadian Western Bank	Rights Restricted Share Units	Thomson, David Leslie John	5	31/12/2016	56		8,647	3,614
Canadian Western Bank	Rights Restricted Share Units	Thomson, David Leslie John	5	31/12/2016	59		6,186	-2,461
Canarc Resource Corp.	Common Shares	Canarc Resource Corp.	1	06/02/2017	00			
Canarc Resource Corp.	Common Shares	Canarc Resource Corp.	1	10/02/2017	38	0.085	185,000	185,000
Canarc Resource Corp.	Common Shares	Canarc Resource Corp.	1	17/02/2017	38	0.0937	380,000	195,000
Canarc Resource Corp.	Common Shares	Canarc Resource Corp.	1	28/02/2017	38	0.096	530,000	150,000
Canarc Resource Corp.	Common Shares	Chiloflisch, Catalin	5	09/03/2017	10	0.115	3,000	2,000
Canfor Pulp Products Inc.	Common Shares	Canfor Pulp Products Inc.	1	03/03/2017	38	11.348	95,778	4,000
Canfor Pulp Products Inc.	Common Shares	Canfor Pulp Products Inc.	1	06/03/2017	38	11.402	103,478	7,700
Canfor Pulp Products Inc.	Common Shares	Canfor Pulp Products Inc.	1	07/03/2017	38	11.155	108,478	5,000
Canfor Pulp Products Inc.	Common Shares	Canfor Pulp Products Inc.	1	08/03/2017	38	11.599	158,478	50,000
Canfor Pulp Products Inc.	Common Shares	Canfor Pulp Products Inc.	1	09/03/2017	38	11.43	160,678	2,200
Canfor Pulp Products Inc.	Common Shares	Canfor Pulp Products Inc.	1	10/03/2017	38	11.544	168,253	7,575
Canopy Growth Corporation	Common Shares	Bell, John K.	4	02/03/2017	10	3.85	31,250	31,250
Canopy Growth Corporation	Common Shares	Bell, John K.	4	02/03/2017	10	2.95	131,250	100,000
Canopy Growth Corporation	Common Shares	Bell, John K.	4	02/03/2017	10	2.11	172,917	41,667
Canopy Growth Corporation	Options	Bell, John K.	4	02/03/2017	51	3.85	318,750	-31,250

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Canopy Growth Corporation	Options	Bell, John K.	4	02/03/2017	51	2.95	218,750	-100,000
Canopy Growth Corporation	Options	Bell, John K.	4	02/03/2017	51	2.11	177,083	-41,667
Canopy Growth Corporation	Common Shares	Schnarr, Christopher James	4	27/02/2017	10			-100,000
Canopy Growth Corporation	Common Shares	Schnarr, Christopher James	4	27/02/2017	10	11.58	0	-100,000
Canopy Growth Corporation	Common Shares	Schnarr, Christopher James	4	27/02/2017	10			-50,000
Canopy Growth Corporation	Common Shares	Schnarr, Christopher James	4	27/02/2017	10	11.58	0	-50,000
Canuc Resources Corporation	Common Shares	Davis, Paul Charles	4	03/03/2017	00			
Canuc Resources Corporation	Options	Davis, Paul Charles	4	03/03/2017	00			
Canuc Resources Corporation	Options	Davis, Paul Charles	4	03/03/2017	50	0.5	200,000	200,000
Canuc Resources Corporation	Warrants	Davis, Paul Charles	4	03/03/2017	00			
Canuc Resources Corporation	Options	DiGirolamo, Julio	5	01/12/2016	00			
Canuc Resources Corporation	Options	DiGirolamo, Julio	5	03/03/2017	50	0.5	200,000	200,000
Canuc Resources Corporation	Options	lavoie, marc andre	4	03/03/2017	50		255,000	150,000
Canuc Resources Corporation	Common Shares	Mockler, Hubert J.	4, 5	03/03/2017	51	25	237,906	100,000
Canuc Resources Corporation	Options	Mockler, Hubert J.	4, 5	03/03/2017	50	50	900,000	700,000
Canuc Resources Corporation	Options	Mockler, Hubert J.	4, 5	03/03/2017	51	50	800,000	-100,000
Canuc Resources Corporation	Options	Nebocat, John	5	08/03/2017	00		200,000	
Canuc Resources Corporation	Options	Nebocat, John	5	08/03/2017	50	0.5	400,000	200,000
Canuc Resources Corporation	Common Shares	Reid, Bruce	4	03/03/2017	00		500,000	
Canuc Resources Corporation	Options	Reid, Bruce	4	03/03/2017	00		200,000	
Canuc Resources Corporation	Options	Reid, Bruce	4	03/03/2017	50		300,000	100,000
CanWel Building Materials Group Ltd.	Common Shares	Fleiser, Sam	4	10/03/2017	10	5.81	48,000	9,500
Canyon Services Group Inc.	Common Shares	Walker, Quentin Maurice	5	09/03/2017	57		7,500	7,500
Canyon Services Group Inc.	Common Shares	Walker, Quentin Maurice	5	09/03/2017	10	5.3737	0	-7,500
Canyon Services Group Inc.	Stock Based Units	Walker, Quentin Maurice	5	09/03/2017	57		68,250	-7,500
Capital DGMC Inc.	Common Shares	Laberge, Benoit	3	09/03/2017	10	0.025	1,664,500	1,000
Capital DGMC Inc.	Common Shares	Laberge, Benoit	3	09/03/2017	10	0.025		1,000
Capital Power Corporation	Options	Chisholm, Burness Kathryn	5	09/03/2017	50	25.53	403,027	38,851
Capital Power Corporation	Performance Share Units	Chisholm, Burness Kathryn	5	31/12/2016	30	18.66	21,324	1,459
Capital Power Corporation	Performance Share Units	Chisholm, Burness Kathryn	5	09/03/2017	56	24.71	20,543	5,828
Capital Power Corporation	Deferred Share Units	DeNeve, Bryan	5	04/01/2011	00			
Capital Power Corporation	Deferred Share Units	DeNeve, Bryan	5	28/02/2017	56		784	784
Capital Power Corporation	Options	DeNeve, Bryan	5	09/03/2017	50	25.53		60,097
Capital Power Corporation	Options	DeNeve, Bryan	5	09/03/2017	50	25.53		60,097
Capital Power Corporation	Options	DeNeve, Bryan	5	09/03/2017	50	25.53	376,263	60,097
Capital Power Corporation	Performance Share Units	DeNeve, Bryan	5	31/12/2016	30	18.66	27,413	1,834
Capital Power Corporation	Performance Share Units	DeNeve, Bryan	5	09/03/2017	56	24.71	30,025	9,015
Capital Power Corporation	Options	Pylypiuk, Jacquelyn Marie	5	09/03/2017	50	25.53	24,573	7,082
Capital Power Corporation	Performance Share Units	Pylypiuk, Jacquelyn Marie	5	31/12/2016	30	18.66	2,905	188
Capital Power Corporation	Performance Share Units	Pylypiuk, Jacquelyn Marie	5	09/03/2017	56	24.71	3,277	1,062
Capital Power Corporation	Restricted Share Units	Pylypiuk, Jacquelyn Marie	5	31/12/2016	30	18.66	5,809	375
Capital Power Corporation	Restricted Share Units	Pylypiuk, Jacquelyn Marie	5	09/03/2017	56	24.71	6,555	2,125
Capital Power Corporation	Options	TRUFYN, DARCY	5	09/03/2017	50	25.53		59,625
Capital Power Corporation	Options	TRUFYN, DARCY	5	09/03/2017	50	25.53		59,625
Capital Power Corporation	Options	TRUFYN, DARCY	5	09/03/2017	50	25.53	374,563	59,625
Capital Power Corporation	Performance Share Units	TRUFYN, DARCY	5	31/12/2016	30	18.66	27,830	1,862

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Capital Power Corporation	Performance Share Units	TRUFYN, DARCY	5	09/03/2017	56	24.71	30,165	8,944
Capital Power Corporation	Common Shares	Vaasjo, Brian Tellef	4, 5	01/03/2017	51	22.5	255,390	171,060
Capital Power Corporation	Common Shares	Vaasjo, Brian Tellef	4, 5	01/03/2017	10	25.5	84,330	-171,060
Capital Power Corporation	Options	Vaasjo, Brian Tellef	4, 5	01/03/2017	51		1,429,889	-171,060
Capital Power Corporation	Options	Vaasjo, Brian Tellef	4, 5	09/03/2017	50	25.53	1,596,151	166,262
Capital Power Corporation	Performance Share Units	Vaasjo, Brian Tellef	4, 5	31/12/2016	30	18.66	94,621	6,510
Capital Power Corporation	Performance Share Units	Vaasjo, Brian Tellef	4, 5	09/03/2017	56	24.71	87,737	24,939
Capital Power Corporation	Options	Zimmerman, Mark A.P.	5	09/03/2017	50	25.53	117,640	48,968
Capital Power Corporation	Performance Share Units	Zimmerman, Mark A.P.	5	31/12/2016	30	18.66	10,914	613
Capital Power Corporation	Performance Share Units	Zimmerman, Mark A.P.	5	09/03/2017	56	24.71	18,259	7,345
Cara Operations Limited	Subordinate Voting Shares	Rivett, Paul	6	10/04/2015	00			
Cara Operations Limited	Subordinate Voting Shares	Rivett, Paul	6	10/04/2015	00		8,300	
Cardinal Energy Ltd.	Common Shares	Hyde, Tim	5	31/12/2016	30	8.47	10,607	265
Cardinal Energy Ltd.	Common Shares	PEET, SHANE	5	31/12/2016	30	8.55	926,510	27,707
Cardinal Energy Ltd.	Common Shares	Smith, Douglas Kevin	5	31/12/2016	30	7.12	443,789	4,375
Caribbean Utilities Company, Ltd.	Common Shares Class A Ordinary Shares	Ebanks, Sheree	4	10/01/2006	00			
Caribbean Utilities Company, Ltd.	Common Shares Class A Ordinary Shares	Ebanks, Sheree	4	02/03/2017	10	13.15	3,000	3,000
Caribbean Utilities Company, Ltd.	Common Shares Class A Ordinary Shares	Ebanks, Sheree	4	03/03/2017	10	13.16	9,000	6,000
Cartier Iron Corporation (Formerly Northfield Metals Inc.)	Common Shares	LARSEN, THOMAS	4, 5	08/03/2017	10	0.08	1,727,019	25,000
Cartier Iron Corporation (Formerly Northfield Metals Inc.)	Common Shares	LARSEN, THOMAS	4, 5	08/03/2017	10	0.09	1,752,019	25,000
Carube Copper Corp. (formerly Miocene Resources Limited)	Common Shares	Ackert, Jeff	4, 5	03/03/2017	16	0.08	260,294	25,000
Carube Copper Corp. (formerly Miocene Resources Limited)	Warrants	Ackert, Jeff	4, 5	23/06/2014	00			
Carube Copper Corp. (formerly Miocene Resources Limited)	Warrants	Ackert, Jeff	4, 5	03/03/2017	16		12,500	12,500
Carube Copper Corp. (formerly Miocene Resources Limited)	Common Shares	Manini, Antony	6	02/07/2015	00			
Carube Copper Corp. (formerly Miocene Resources Limited)	Common Shares	Manini, Antony	6	03/03/2017	16	0.08	625,000	625,000
Carube Copper Corp. (formerly Miocene Resources Limited)	Common Shares	Manini, Antony	6	02/07/2015	00			
Carube Copper Corp. (formerly Miocene Resources Limited)	Common Shares	Manini, Antony	6	03/03/2017	16	0.08	750,000	750,000
Carube Copper Corp. (formerly Miocene Resources Limited)	Warrants	Manini, Antony	6	02/07/2015	00			
Carube Copper Corp. (formerly Miocene Resources Limited)	Warrants	Manini, Antony	6	03/03/2017	16		312,500	312,500
Carube Copper Corp. (formerly Miocene Resources Limited)	Warrants	Manini, Antony	6	02/07/2015	00			
Carube Copper Corp. (formerly Miocene Resources Limited)	Warrants	Manini, Antony	6	03/03/2017	16		375,000	375,000
Carube Copper Corp. (formerly Miocene Resources Limited)	Common Shares	Rampton, Vernon Neil	4, 5	03/03/2017	16	0.08	3,520,851	1,200,000



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Carube Copper Corp. (formerly Miocene Resources Limited)	Warrants	Rampton, Vernon Neil	4, 5	03/03/2017	16		1,087,500	600,000
Cava Resources Inc. (formerly Sea Green Capital Corp.)	Common Shares	Murray, Robert Brian	4	06/03/2017	10	0.155	511,730	-32,000
CCL Industries Inc.	Rights Deferred Share Units	Block, Paul J.	4	02/03/2017	56	285.67	15,437	27
CCL Industries Inc.	Non-Voting Shares Class B	Brayley, Anne Marie	5	08/03/2017	51	219.5	438	438
CCL Industries Inc.	Non-Voting Shares Class B	Brayley, Anne Marie	5	08/03/2017	10	290.32	300	-138
CCL Industries Inc.	Non-Voting Shares Class B	Brayley, Anne Marie	5	08/03/2017	10	290.15	0	-300
CCL Industries Inc.	Options	Brayley, Anne Marie	5	08/03/2017	51	219.5	4,312	-438
CCL Industries Inc.	Rights Deferred Share Units	Galifi, Vincent Joseph	4	19/12/2016	00			
CCL Industries Inc.	Rights Deferred Share Units	Galifi, Vincent Joseph	4	02/03/2017	56	285.87	74	74
CCL Industries Inc.	Rights Deferred Share Units	Guillet, Edward	4	02/03/2017	56	285.87	13,521	24
CCL Industries Inc.	Rights Deferred Share Units	Horn, Alan Douglas	4	02/03/2017	56	285.87	19,467	34
CCL Industries Inc.	Rights Deferred Share Units	Keller-Hobson, Kathleen	4	02/03/2017	56	285.87	1,176	2
CCL Industries Inc.	Non-Voting Shares Class B	Lang, Donald G.	4, 5	07/03/2017	10	290.88	51,985	-6,000
CCL Industries Inc.	Non-Voting Shares Class B	Lang, Donald G.	4, 5	07/03/2017	10	290.9	47,285	-4,700
CCL Industries Inc.	Non-Voting Shares Class B	Lang, Donald G.	4, 5	07/03/2017	10	290.91	45,385	-1,900
CCL Industries Inc.	Non-Voting Shares Class B	Lang, Donald G.	4, 5	07/03/2017	10	290.96	41,985	-3,400
CCL Industries Inc.	Non-Voting Shares Class B	Lang, Donald G.	4, 5	08/03/2017	10	290	39,685	-2,300
CCL Industries Inc.	Non-Voting Shares Class B	Lang, Donald G.	4, 5	08/03/2017	10	290.01	38,785	-900
CCL Industries Inc.	Non-Voting Shares Class B	Lang, Donald G.	4, 5	08/03/2017	10	290.02	38,585	-200
CCL Industries Inc.	Non-Voting Shares Class B	Lang, Donald G.	4, 5	08/03/2017	10	290.18	37,185	-1,400
CCL Industries Inc.	Non-Voting Shares Class B	Lang, Donald G.	4, 5	08/03/2017	10	290.25	18,185	-19,000
CCL Industries Inc.	Non-Voting Shares Class B	Lang, Donald G.	4, 5	08/03/2017	10	290.9	15,985	-2,200
CCL Industries Inc.	Rights Deferred Share Units	Lang, Erin Melissa	4	19/12/2016	00			
CCL Industries Inc.	Rights Deferred Share Units	Lang, Erin Melissa	4	02/03/2017	56	285.87	74	74
CCL Industries Inc.	Rights Deferred Share Units	Lang, Stuart W.	4	02/03/2017	56	285.87	5,979	10
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	06/03/2017	51	219.5	500	500
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	06/03/2017	10	287.45	400	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	06/03/2017	10	287.64	300	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	06/03/2017	10	286.77	200	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	06/03/2017	10	287.16	100	-100
CCL Industries Inc.	Non-Voting Shares Class B	McClendon, Mark	5	06/03/2017	10	286.74	0	-100
CCL Industries Inc.	Options	McClendon, Mark	5	06/03/2017	51	219.5	5,500	-500
CCL Industries Inc.	Rights Deferred Share Units	Muzyka, Douglas W.	4	02/03/2017	56	285.87	164	14

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CCL Industries Inc.	Rights Deferred Share Units	Peddie, Tom	4	02/03/2017	56	285.87	30,830	54
CCL Industries Inc.	Rights Deferred Share Units	Shapansky, Mandy	4	02/03/2017	56	285.87	1,579	3
CCL Industries Inc.	Non-Voting Shares Class B	Snelgrove, Susan	5	03/03/2017	51	137.39	625	625
CCL Industries Inc.	Non-Voting Shares Class B	Snelgrove, Susan	5	03/03/2017	10	287	225	-400
CCL Industries Inc.	Non-Voting Shares Class B	Snelgrove, Susan	5	03/03/2017	10	286.73	100	-125
CCL Industries Inc.	Non-Voting Shares Class B	Snelgrove, Susan	5	03/03/2017	10	286.79	0	-100
CCL Industries Inc.	Options	Snelgrove, Susan	5	03/03/2017	51	137.39	5,500	-625
CCL Industries Inc.	Non-Voting Shares Class B	Vecchiarelli, Nicolangelo	5	07/03/2017	51	137.39	875	625
CCL Industries Inc.	Non-Voting Shares Class B	Vecchiarelli, Nicolangelo	5	07/03/2017	10	291.25	750	-125
CCL Industries Inc.	Non-Voting Shares Class B	Vecchiarelli, Nicolangelo	5	07/03/2017	10	291	250	-500
CCL Industries Inc.	Non-Voting Shares Class B	Vecchiarelli, Nicolangelo	5	07/03/2017	51	219.5	688	438
CCL Industries Inc.	Non-Voting Shares Class B	Vecchiarelli, Nicolangelo	5	07/03/2017	10	219.01	325	-363
CCL Industries Inc.	Non-Voting Shares Class B	Vecchiarelli, Nicolangelo	5	07/03/2017	10	291.25	250	-75
CCL Industries Inc.	Options	Vecchiarelli, Nicolangelo	5	07/03/2017	51	137.39	5,500	-625
CCL Industries Inc.	Options	Vecchiarelli, Nicolangelo	5	07/03/2017	51	219.5	5,062	-438
Celestica Inc.	Options	DelBianco, Elizabeth	7, 5	09/03/2017	51		70,504	-38,769
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	09/03/2017	51	9.87	116,920	38,769
Celestica Inc.	Subordinate Voting Shares	DelBianco, Elizabeth	7, 5	09/03/2017	10	18.213	78,151	-38,769
Celestica Inc.	Options	Myers, Darren	7, 5	09/03/2017	51		44,565	-50,000
Celestica Inc.	Performance Share Units	Myers, Darren	7, 5	06/03/2017	56	18.12	457,572	22,124
Celestica Inc.	Restricted Share Units	Myers, Darren	7, 5	06/03/2017	56	18.12	213,690	11,062
Celestica Inc.	Subordinate Voting Shares	Myers, Darren	7, 5	09/03/2017	51	8.29	195,515	50,000
Celestica Inc.	Subordinate Voting Shares	Myers, Darren	7, 5	09/03/2017	10	18.1	145,515	-50,000
Cenovus Energy Inc.	Options	Alden, Gary Marvin	7	06/03/2017	52		26,279	-7,100
Cenovus Energy Inc.	Options	Hofstetter, Larry Allen	7	06/03/2017	52		86,728	-6,600
Cenovus Energy Inc.	Options	Pollock, Robert John	5	06/03/2017	52		196,568	-23,000
Centric Health Corporation (formerly Alegro Health Corp.)	Common Shares	Davis, Ingrid	4	02/03/2017	36	0.46	641,073	576,923
Centric Health Corporation (formerly Alegro Health Corp.)	Warrants	Davis, Ingrid	4	02/03/2017	36	1	161,670	16,520
Centric Health Corporation (formerly Alegro Health Corp.)	Common Shares	Shevel, Jack	4, 6, 7	02/03/2017	36	0.46	10,933,715	10,869,565
Centric Health Corporation (formerly Alegro Health Corp.)	Common Shares	Shevel, Jack	4, 6, 7	02/03/2017	36	0.46	23,314,484	12,380,769
Centric Health Corporation (formerly Alegro Health Corp.)	Warrants	Shevel, Jack	4, 6, 7	02/03/2017	36	1	4,202,410	1,400,000
Centric Health Corporation (formerly Alegro Health Corp.)	Warrants	Shevel, Jack	4, 6, 7	02/03/2017	36	1	4,556,925	354,515
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	02/02/2017	38	5.55	7,957	1,600
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	02/02/2017	38	5.55	8,357	400
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	02/02/2017	38	5.55	8,376	19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	02/02/2017	38	5.55	8,476	100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	03/02/2017	38	5.7	8,876	400
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	03/02/2017	38	5.7	10,476	1,600

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Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	03/02/2017	38	5.7	10,495	19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	03/02/2017	38	5.7	10,595	100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	06/02/2017	38	5.68	11,395	800
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	06/02/2017	38	5.68	12,695	1,300
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	07/02/2017	38	5.69	12,795	100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	07/02/2017	38	5.69	13,995	1,200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	08/02/2017	38	5.7	14,095	100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	09/02/2017	38	5.69	14,495	400
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	09/02/2017	38	5.69	14,995	500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	09/02/2017	38	5.7	16,195	1,200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	09/02/2017	38	5.7	16,214	19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	10/02/2017	38	5.69	16,514	300
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	10/02/2017	38	5.69	17,014	500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	10/02/2017	38	5.69	17,514	500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	10/02/2017	38	5.69	18,014	500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	13/02/2017	38	5.65	18,614	600
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	13/02/2017	38	5.67	18,814	200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	13/02/2017	38	5.67	19,514	700
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	13/02/2017	38	5.67	19,714	200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	13/02/2017	38	5.67	20,114	400
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	14/02/2017	38	5.67	22,214	2,100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	14/02/2017	38	5.65	22,233	19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	15/02/2017	38	5.65	22,733	500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	15/02/2017	38	5.65	24,233	1,500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	15/02/2017	38	5.65	24,333	100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	15/02/2017	38	5.65	24,352	19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	16/02/2017	38	5.78	25,052	700
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	16/02/2017	38	5.78	25,752	700
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	16/02/2017	38	5.78	26,452	700
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	16/02/2017	38	5.78	26,471	19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.65	26,452	-19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.67	24,352	-2,100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.67	23,952	-400
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.67	23,752	-200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.67	23,052	-700
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.67	22,852	-200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.65	22,252	-600
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.69	21,752	-500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.69	21,252	-500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.69	20,752	-500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.69	20,452	-300
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.7	20,433	-19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.7	19,233	-1,200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.69	18,733	-500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.69	18,333	-400
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.7	18,233	-100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.69	17,033	-1,200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.69	16,933	-100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.68	15,633	-1,300
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.68	14,833	-800
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.7	14,733	-100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.7	14,714	-19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.7	13,114	-1,600
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.7	12,714	-400
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.55	12,614	-100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.55	12,595	-19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.55	12,195	-400
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.55	10,595	-1,600

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Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.4	10,576	-19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.4	8,476	-2,100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.39	8,457	-19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.39	8,357	-100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.39	6,357	-2,000
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.39	6,338	-19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.39	4,238	-2,100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.76	4,438	200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.77	5,138	700
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.77	5,238	100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.77	5,338	100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.77	5,538	200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.77	5,738	200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.77	5,938	200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.77	6,038	100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.77	6,138	100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	17/02/2017	38	5.77	6,238	100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	21/02/2017	38	5.8	7,738	1,500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	21/02/2017	38	5.8	8,238	500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	21/02/2017	38	5.8	8,338	100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	21/02/2017	38	5.8	8,357	19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	22/02/2017	38	5.86	10,457	2,100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	22/02/2017	38	5.86	10,476	19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	23/02/2017	38	5.86	12,476	2,000
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	23/02/2017	38	5.86	12,495	19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	23/02/2017	38	5.86	12,595	100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	24/02/2017	38	5.85	14,695	2,100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	24/02/2017	38	5.88	14,714	19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	27/02/2017	38	5.83	16,714	2,000
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	27/02/2017	38	5.83	16,814	100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.86	16,714	-100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.86	16,695	-19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.86	14,695	-2,000
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.86	14,676	-19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.86	12,576	-2,100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.8	12,557	-19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.8	12,457	-100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.8	11,957	-500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.8	10,457	-1,500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.77	10,357	-100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.77	10,257	-100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.77	10,157	-100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.77	9,957	-200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.77	9,757	-200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.77	9,557	-200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.77	9,457	-100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.77	9,357	-100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.77	8,657	-700
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.76	8,457	-200
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.78	8,438	-19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.78	7,738	-700
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.78	7,038	-700
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.78	6,338	-700
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.65	6,319	-19
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.65	6,219	-100
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.65	4,719	-1,500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.65	4,219	-500
Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38	5.8	6,319	2,100

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Ceres Global Ag Corp.	Common Shares	Ceres Global Ag Corp.	1	28/02/2017	38		6,338	19
Ceres Global Ag Corp.	Common Shares	Speers, Douglas Edgar	4	08/03/2017	10	5.85	12,503	900
Ceres Global Ag Corp.	Common Shares	Speers, Douglas Edgar	4	09/03/2017	10	5.85	13,503	1,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Brassard, Jean	4	02/03/2017	47		500,000	-6,307
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	17/02/2017	38		70,000	-29,400
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	17/02/2017	38	63.894	130,000	60,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	21/02/2017	38	63.991	155,000	25,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	22/02/2017	38		85,000	-70,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	22/02/2017	38	63.725	139,000	54,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	23/02/2017	38		79,000	-60,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	23/02/2017	38	63.12	163,400	84,400
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	24/02/2017	38		138,400	-25,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	24/02/2017	38	61.816	288,400	150,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	27/02/2017	38		234,400	-54,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	27/02/2017	38	61.206	409,400	175,000
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	28/02/2017	38		325,000	-84,400
CGI Group Inc.	Subordinate Voting Shares Classe A	Groupe CGI inc.	1	28/02/2017	38	61.468	505,000	180,000
Chartwell Retirement Residences	Rights Restricted Trust Units	Binions, W. Brent	4, 5	06/03/2017	56	15.617	205,838	56,028
Chartwell Retirement Residences	Rights Restricted Trust Units	Binions, W. Brent	4, 5	08/03/2017	59	15.617		-39,371
Chartwell Retirement Residences	Rights Restricted Trust Units	Binions, W. Brent	4, 5	08/03/2017	59	15.617	166,466	-39,372
Chartwell Retirement Residences	Rights Restricted Trust Units	Boulakia, Jonathan	5	06/03/2017	56	15.617	52,201	14,007
Chartwell Retirement Residences	Rights Restricted Trust Units	Boulakia, Jonathan	5	08/03/2017	59	15.617		-12,052
Chartwell Retirement Residences	Rights Restricted Trust Units	Boulakia, Jonathan	5	08/03/2017	59	15.617	40,148	-12,053
Chartwell Retirement Residences	Rights Restricted Trust Units	Chateauvert, Sheri Lynn	5	06/03/2017	56	15.617	54,187	14,007
Chartwell Retirement Residences	Rights Restricted Trust Units	Chateauvert, Sheri Lynn	5	08/03/2017	59	15.617	41,108	-13,079
Chartwell Retirement Residences	Rights Restricted Trust Units	Sullivan, Karen Leslie	5	06/03/2017	56	15.617	59,686	15,208
Chartwell Retirement Residences	Rights Restricted Trust Units	Sullivan, Karen Leslie	5	08/03/2017	59	15.617	45,044	-14,642
Chartwell Retirement Residences	Rights Restricted Trust Units	Volodarski, Vlad	5	06/03/2017	56	15.617	60,981	15,208
Chartwell Retirement Residences	Rights Restricted Trust Units	Volodarski, Vlad	5	08/03/2017	59	15.617	45,670	-15,311
CI Financial Corp.	Common Shares	Riddle, David J.	4	21/02/2017	10	28.073	899,041	-7,500
CI Financial Corp.	Common Shares	Riddle, David J.	4	24/02/2017	10	27.07	881,541	-17,500
CIBT Education Group Inc.	Common Shares	MacPhail, John	8	01/06/2016	00			
CIBT Education Group Inc.	Common Shares	MacPhail, John	8	08/03/2017	10	0.63	1,500	1,500
Clarocity Corporation (formerly, Zaio Corporation)	Common Shares	StableView Asset Management	3	07/03/2017	16		21,519,992	400,000
Clarocity Corporation (formerly, Zaio Corporation)	Warrants	StableView Asset Management	3	07/03/2017	16	0.135	28,005,584	2,444,444
Clean Seed Capital Group Ltd.	Common Shares	Brassard, Steven	5	03/03/2017	16	0.3	124,167	66,667

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
ClearStream Energy Services Inc.	Common Shares	Bourque, Paul Emery	7	09/03/2017	10	0.22	149,500	49,500
Clearwater Seafoods Incorporated	Deferred Share Units	Craighead, Jane	4	30/09/2016	56	14.163	8,445	2,189
Clearwater Seafoods Incorporated	Deferred Share Units	Craighead, Jane	4	31/12/2016	56	11.323	11,042	2,561
Clearwater Seafoods Incorporated	Deferred Share Units	Dickson, James Malcolm	4	30/09/2016	56	14.163	44,271	1,090
Clearwater Seafoods Incorporated	Deferred Share Units	Dickson, James Malcolm	4	31/12/2016	56	11.323	45,910	1,452
Clearwater Seafoods Incorporated	Deferred Share Units	Giles, Harold	4	30/09/2016	56	14.163	46,470	1,906
Clearwater Seafoods Incorporated	Deferred Share Units	Giles, Harold	4	31/12/2016	56	11.323	48,697	2,031
Clearwater Seafoods Incorporated	Deferred Share Units	Hood, Richard Lawrence Gillis	4	30/09/2016	56	14.163	16,816	1,192
Clearwater Seafoods Incorporated	Deferred Share Units	Hood, Richard Lawrence Gillis	4	31/12/2016	56	11.323	18,377	1,490
Clearwater Seafoods Incorporated	Common Shares	Paddick, Brendan John	4	10/03/2017	10	10.25	140,000	10,000
Clearwater Seafoods Incorporated	Deferred Share Units	Paddick, Brendan John	4	30/09/2016	56	14.163	46,808	2,180
Clearwater Seafoods Incorporated	Deferred Share Units	Paddick, Brendan John	4	31/12/2016	56	11.323	50,085	3,080
Clearwater Seafoods Incorporated	Deferred Share Units	Risley, John Carter	4	30/09/2016	56	14.163	31,122	1,633
Clearwater Seafoods Incorporated	Deferred Share Units	Risley, John Carter	4	31/12/2016	56	11.323	33,472	2,219
Clearwater Seafoods Incorporated	Common Shares	van der Giesen, Ronald Johannes Andrianes	5	10/03/2017	10	10.42	9,200	9,200
CO2 Solutions Inc.	Common Shares	Manherz, Robert	3, 4	09/03/2017	11		24,764,953	-500,000
CO2 Solutions Inc.	Common Shares	Manherz, Robert	3, 4	09/03/2017	11		24,264,953	-500,000
Cogeco Communications Inc.	Performance Share Units / Unite d'action performance	ALVES, ELIZABETH	5	31/12/2016	35	63.92	954	19
Cogeco Communications Inc.	Performance Share Units / Unite d'action performance	Audet, Louis	4, 5	31/12/2016	35	63.96	16,198	285
Cogeco Communications Inc.	Performance Share Units / Unite d'action performance	Bonin, Philippe	5	31/12/2016	35	64.52	633	8
Cogeco Communications Inc.	Unité d'action différée/Deferred Share Unit	Curadeau-Grou, Patricia	4	31/12/2016	35	63.83	7,208	178
Cogeco Communications Inc.	Performance Share Units / Unite d'action performance	Dorval, Nathalie	5	31/12/2016	35	63.93	1,367	26
Cogeco Communications Inc.	Unité d'action différée/Deferred Share Unit	Ferstman, Joanne Shari	4	31/12/2016	35	63.83	1,319	33
Cogeco Communications Inc.	Unité d'action différée/Deferred Share Unit	Garcia, Claude	4	31/12/2016	35	68.83	5,431	134
Cogeco Communications Inc.	Unité d'action différée/Deferred Share Unit	Gibson, Lib	4	31/12/2016	35	63.83	841	21
Cogeco Communications Inc.	Performance Share Units / Unite d'action performance	Guimond, René	5	31/12/2016	35	63.94	1,287	24
Cogeco Communications Inc.	Performance Share Units / Unite d'action performance	Jetté, Philippe	5	31/12/2016	35	63.96	6,359	111
Cogeco Communications Inc.	Performance Share Units / Unite d'action performance	Jolivet, Christian	5	31/12/2016	35	63.93	2,526	48
Cogeco Communications Inc.	Performance Share Units / Unite d'action performance	Maheux, Pierre	5	31/12/2016	35	63.9	1,527	31

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Cogeco Communications Inc.	Unité d'action différée/Deferred Share Unit	McAusland, David L.	4	31/12/2016	35	63.83	7,956	196
Cogeco Communications Inc.	Performance Share Units / Unité d'action performance	Noiseux, Luc	5	31/12/2016	35	64.72	1,132	7
Cogeco Communications Inc.	Performance Share Units / Unité d'action performance	NYISZTOR, Diane	5	31/12/2016	35	63.93	1,882	36
Cogeco Communications Inc.	Performance Share Units / Unité d'action performance	Ouimet, Patrice	5	31/12/2016	35	63.93	5,144	97
Cogeco Communications Inc.	Unité d'action différée/Deferred Share Unit	Peeters, Jan E.	4	31/12/2016	35	63.83	9,941	245
Cogeco Inc	Performance Share Units / Unité d'action performance	Bonin, Philippe	5	31/12/2016	35	54.18	759	9
Cogeco Inc	Unité d'action différée/Deferred Share unit	Cherry, James Charles	4	31/12/2016	35			36
Cogeco Inc	Unité d'action différée/Deferred Share unit	Cherry, James Charles	4	31/12/2016	35	53.3	1,587	36
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	01/02/2017	38	62.12	187	187
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	01/02/2017	38	62.11	287	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	01/02/2017	38	62.09	487	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	01/02/2017	38	62.08	687	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	01/02/2017	38	62.01	787	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	01/02/2017	38	62	987	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	01/02/2017	38	62.27	1,287	300
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	01/02/2017	38	62.43	1,687	400
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	01/02/2017	38	62.6	2,087	400
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	02/02/2017	38	63	2,574	487
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	02/02/2017	38	62.95	2,674	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	02/02/2017	38	62.6	2,874	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	02/02/2017	38	62.79	2,974	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	02/02/2017	38	62.74	3,074	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	02/02/2017	38	62.81	3,174	100

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	02/02/2017	38	62.86	3,374	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	03/02/2017	38	63.06	3,861	487
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	03/02/2017	38	63.08	4,061	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	03/02/2017	38	63.12	4,161	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	03/02/2017	38	63.1	4,361	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	03/02/2017	38	63.13	4,461	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	03/02/2017	38	62.95	4,561	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	03/02/2017	38	63	4,861	300
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	03/02/2017	38	63.11	5,061	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	03/02/2017	38	63.04	5,161	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	06/02/2017	38	62.85	5,848	687
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	06/02/2017	38	62.71	6,248	400
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	06/02/2017	38	62.69	6,448	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	06/02/2017	38	62.75	6,648	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	06/02/2017	38	62.7	6,848	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	06/02/2017	38	62.82	6,948	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	06/02/2017	38	62.86	7,048	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	06/02/2017	38	62.76	7,248	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	07/02/2017	38	62.27	7,335	87
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	07/02/2017	38	62.3	7,435	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	07/02/2017	38	62.34	7,635	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	07/02/2017	38	62.4	7,935	300
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	07/02/2017	38	62.39	8,535	600



**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	08/02/2017	38	62.61	8,722	187
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	08/02/2017	38	62.56	8,922	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	08/02/2017	38	62.69	9,422	500
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	08/02/2017	38	62.49	9,622	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	08/02/2017	38	62.5	9,722	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	08/02/2017	38	62.4	9,922	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	08/02/2017	38	62.35	10,022	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	09/02/2017	38	62.87	10,122	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	09/02/2017	38	62.81	10,222	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	09/02/2017	38	62.95	10,409	187
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	09/02/2017	38	63.08	10,609	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	09/02/2017	38	63.43	11,009	400
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	09/02/2017	38	63.31	11,109	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	09/02/2017	38	63.45	12,109	1,000
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	10/02/2017	38	63.45	12,296	187
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	10/02/2017	38	63.5	12,496	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	10/02/2017	38	63.56	12,596	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	10/02/2017	38	63.36	12,796	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	10/02/2017	38	63.42	12,896	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	10/02/2017	38	63.35	13,196	300
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	10/02/2017	38	63.37	13,396	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	10/02/2017	38	63.3	13,596	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	10/02/2017	38	63.2	13,796	200

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	13/02/2017	38	63.23	13,996	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	13/02/2017	38	63.34	14,183	187
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	13/02/2017	38	63.25	14,383	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	13/02/2017	38	63.27	15,883	1,500
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	14/02/2017	38	63.15	16,170	287
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	14/02/2017	38	63.1	16,370	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	14/02/2017	38	63.09	16,770	400
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	14/02/2017	38	63.04	16,970	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	14/02/2017	38	63.03	17,070	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	14/02/2017	38	63.11	17,170	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	14/02/2017	38	62.99	17,570	400
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	14/02/2017	38	62.98	17,770	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	14/02/2017	38	62.9	17,870	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	15/02/2017	38	62.96	19,957	2,087
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	15/02/2017	38	62.86	20,057	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	16/02/2017	38	62.95	20,357	300
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	16/02/2017	38	63	20,644	287
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	16/02/2017	38	63.06	20,744	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	16/02/2017	38	62.99	20,844	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	16/02/2017	38	62.99	20,944	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	16/02/2017	38	63.02	22,044	1,100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	17/02/2017	38	63.11	22,244	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	17/02/2017	38	63.05	22,444	200

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	17/02/2017	38	63.03	22,644	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	17/02/2017	38	63.06	24,131	1,487
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	21/02/2017	38	63.06	24,231	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	21/02/2017	38	63.12	24,318	87
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	22/02/2017	38	62.99	24,505	187
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	22/02/2017	38	63	24,705	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	22/02/2017	38	63.05	25,005	300
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	22/02/2017	38	63.04	25,105	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	22/02/2017	38	63.02	25,705	600
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	22/02/2017	38	62.95	25,805	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	22/02/2017	38	63.07	26,005	200
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	22/02/2017	38	63.06	26,105	100
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	23/02/2017	38	62.83	27,792	1,687
Cogeco Inc	Subordinate Voting Shares actions subalternes à droit de vote	Cogeco Inc.	1	28/02/2017	38		0	-27,792
Cogeco Inc	Unité d'action différée/Deferred Share unit	Comtois, Pierre	4	31/12/2016	35	53.3	16,597	376
Cogeco Inc	Performance Share Units / Unite d'action performance	Dorval, Nathalie	5	31/12/2016	35	53.57	1,540	26
Cogeco Inc	Unité d'action différée/Deferred Share unit	Garcia, Claude	4	31/12/2016	35	53.3	4,553	103
Cogeco Inc	Performance Share Units / Unite d'action performance	Guimond, René	5	31/12/2016	35	53.61	1,461	24
Cogeco Inc	Performance Share Units / Unite d'action performance	Jetté, Philippe	7	31/12/2016	35	53.3	1,388	31
Cogeco Inc	Performance Share Units / Unite d'action performance	Jolivet, Christian	5	31/12/2016	35	53.56	2,954	51
Cogeco Inc	Unité d'action différée/Deferred Share unit	Legault, Normand	4	31/12/2016	35	53.3	7,152	162
Cogeco Inc	Performance Share Units / Unite d'action performance	Maheux, Pierre	5	31/12/2016	35	53.7	942	14
Cogeco Inc	Unité d'action différée/Deferred Share unit	McAusland, David L.	4	31/12/2016	35	53.3	9,300	211

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Cogeco Inc	Performance Share Units / Unite d'action performance	Noiseux, Luc	5	31/12/2016	35	55.5	1,383	8
Cogeco Inc	Performance Share Units / Unite d'action performance	NYISZTOR, Diane	5	31/12/2016	35	53.56	2,260	39
Cogeco Inc	Performance Share Units / Unite d'action performance	Ouimet, Patrice	5	31/12/2016	35	53.57	5,921	100
Cogeco Inc	Unité d'action différée/Deferred Share unit	Peeters, Jan E.	4	31/12/2016	35	53.3	11,403	258
Colabor Group Inc.	Common Shares	Cloutier, Robert	4	01/05/2014	00			
Colabor Group Inc.	Common Shares	Cloutier, Robert	4	06/03/2017	10	1.15	2,500	2,500
Colabor Group Inc.	Common Shares	Cloutier, Robert	4	01/05/2014	00			
Colabor Group Inc.	Common Shares	Cloutier, Robert	4	06/03/2017	10	1.15	1,000	1,000
Colabor Group Inc.	Common Shares	Cloutier, Robert	4	01/05/2014	00			
Colabor Group Inc.	Common Shares	Cloutier, Robert	4	06/03/2017	10	1.15	1,000	1,000
Colabor Group Inc.	Common Shares	Cloutier, Robert	4	01/05/2014	00			
Colabor Group Inc.	Common Shares	Cloutier, Robert	4	01/05/2014	00			
Colabor Group Inc.	Common Shares	Cloutier, Robert	4	06/03/2017	10	1.15	60,042	10,000
COLLIERS INTERNATIONAL GROUP INC.	Subordinate Voting Shares	Beatty, David	4	10/03/2017	10	65.18	32,500	-2,500
COLLIERS INTERNATIONAL GROUP INC.	Subordinate Voting Shares	Beatty, David	4	10/03/2017	10	65.21	30,100	-2,400
COLLIERS INTERNATIONAL GROUP INC.	Subordinate Voting Shares	Beatty, David	4	10/03/2017	10	65.25	30,000	-100
COLLIERS INTERNATIONAL GROUP INC.	Subordinate Voting Shares	Mulamoottil, Elias	5	23/11/2015	10	60		-200
COLLIERS INTERNATIONAL GROUP INC.	Subordinate Voting Shares	Mulamoottil, Elias	5	23/11/2015	10	60	47,167	-1,400
COLLIERS INTERNATIONAL GROUP INC.	Subordinate Voting Shares	Mulamoottil, Elias	5	07/03/2017	10	65.5	23,667	-12,000
Cominar Real Estate Investment Trust	Parts différées	Bechard, Todd Patrick	5	31/12/2016	35	15.84	1,114	110
Cominar Real Estate Investment Trust	Parts différées	Bechard, Todd Patrick	5	06/03/2017	56	14.52	4,299	3,185
Cominar Real Estate Investment Trust	Parts incessibles	Bechard, Todd Patrick	5	31/12/2016	35	15.78	3,468	286
Cominar Real Estate Investment Trust	Trust Units	Bechard, Todd Patrick	5	31/12/2016	30	15.87	5,722	758
Cominar Real Estate Investment Trust	Trust Units	Bechard, Todd Patrick	5	31/12/2016	35	15.95	6,158	436
Cominar Real Estate Investment Trust	Parts différées	Charron, Guy	5	31/12/2016	35	15.78	13,474	1,166
Cominar Real Estate Investment Trust	Parts différées	Charron, Guy	5	06/03/2017	56	14.52	18,954	5,480
Cominar Real Estate Investment Trust	Trust Units	Charron, Guy	5	31/12/2016	30	15.87	13,473	2,997
Cominar Real Estate Investment Trust	Trust Units	Charron, Guy	5	31/12/2016	35	15.95	14,280	807
Cominar Real Estate Investment Trust	Parts différées	Cossette, Sylvain	5	31/12/2016	35	15.78	41,567	3,614
Cominar Real Estate Investment Trust	Parts différées	Cossette, Sylvain	5	06/03/2017	56	14.52	61,544	19,977
Cominar Real Estate Investment Trust	Trust Units	Cossette, Sylvain	5	31/12/2016	30	15.87	15,439	4,487
Cominar Real Estate Investment Trust	Trust Units	Cossette, Sylvain	5	31/12/2016	35	15.95	16,671	1,232
Cominar Real Estate Investment Trust	Parts différées	Dallaire, Alain	4, 5	31/12/2016	35	15.83	8,000	1,211

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Cominar Real Estate Investment Trust	Parts différées	Dallaire, Alain	4, 5	06/03/2017	56	14.52	13,706	5,706
Cominar Real Estate Investment Trust	Trust Units	Dallaire, Alain	4, 5	31/12/2016	30	15.87	92,784	2,997
Cominar Real Estate Investment Trust	Trust Units	Dallaire, Alain	4, 5	31/12/2016	35	15.95	95,457	2,673
Cominar Real Estate Investment Trust	Parts différées	Dallaire, Michel	4, 5	31/12/2016	35	15.82	55,305	9,703
Cominar Real Estate Investment Trust	Parts différées	Dallaire, Michel	4, 5	06/03/2017	56	14.52	94,841	39,536
Cominar Real Estate Investment Trust	Trust Units	Dallaire, Michel	4, 5	31/12/2016	30	15.87	226,354	10,868
Cominar Real Estate Investment Trust	Trust Units	Dallaire, Michel	4, 5	31/12/2016	35	15.95	228,963	2,609
Cominar Real Estate Investment Trust	Parts différées	Deslauriers, Manon	5	31/12/2016	35	15.87	1,012	82
Cominar Real Estate Investment Trust	Parts différées	Deslauriers, Manon	5	06/03/2017	56	14.52	2,703	1,691
Cominar Real Estate Investment Trust	Trust Units	Deslauriers, Manon	5	31/12/2016	30	15.87	11,036	1,530
Cominar Real Estate Investment Trust	Trust Units	Deslauriers, Manon	5	31/12/2016	35	15.95	11,212	176
Cominar Real Estate Investment Trust	Parts différées	Hamel, Gilles	5	31/12/2016	35	14.52		1,454
Cominar Real Estate Investment Trust	Parts différées	Hamel, Gilles	5	31/12/2016	35	15.82	12,268	1,454
Cominar Real Estate Investment Trust	Parts différées	Hamel, Gilles	5	06/03/2017	56	14.52	24,937	12,669
Cominar Real Estate Investment Trust	Trust Units	Hamel, Gilles	5	31/12/2016	30	15.87	28,687	3,474
Cominar Real Estate Investment Trust	Trust Units	Hamel, Gilles	5	31/12/2016	35	15.95	29,513	826
Cominar Real Estate Investment Trust	Parts différées	Laramée, Jean	5	31/12/2016	35	15.87	2,693	218
Cominar Real Estate Investment Trust	Parts différées	Laramée, Jean	5	06/03/2017	56	14.52	7,061	4,368
Cominar Real Estate Investment Trust	Trust Units	Laramée, Jean	5	31/12/2016	30	15.87	7,027	2,669
Cominar Real Estate Investment Trust	Trust Units	Laramée, Jean	5	31/12/2016	35	15.95	7,380	353
Cominar Real Estate Investment Trust	Parts différées	Lépine, Johanne	4	06/03/2017	56	14.52	10,454	364
Cominar Real Estate Investment Trust	Parts différées	Pepin, Carl	5	31/12/2016	35	15.84	2,599	418
Cominar Real Estate Investment Trust	Parts différées	Pepin, Carl	5	06/03/2017	56	14.52	4,875	2,276
Cominar Real Estate Investment Trust	Trust Units	Pepin, Carl	5	31/12/2016	30	15.87	25,261	1,615
Cominar Real Estate Investment Trust	Trust Units	Pepin, Carl	5	31/12/2016	35	15.95	27,185	1,924
Cominar Real Estate Investment Trust	Parts différées	Racine, Michael	5	31/12/2016	35	15.81	1,098	184
Cominar Real Estate Investment Trust	Parts différées	Racine, Michael	5	06/03/2017	56	14.52	3,671	2,573
Cominar Real Estate Investment Trust	Trust Units	Racine, Michael	5	31/12/2016	30	15.87	13,476	1,419
Cominar Real Estate Investment Trust	Trust Units	Racine, Michael	5	31/12/2016	35	15.95	14,590	1,114
Comstock Metals Ltd.	Options	Turnbull, Douglas Stewart	4	01/03/2017	50	0.195	749,000	300,000
CONDOR RESOURCES INC.	Common Shares	Younie, Jonathan Leslie	5	06/03/2017	51	0.08	400,000	100,000
CONDOR RESOURCES INC.	Options	Younie, Jonathan Leslie	5	06/03/2017	51	0.08	200,000	-100,000
Conifex Timber Inc.	Common Shares	Costello, John Michael	4	08/03/2017	15	3.05	30,400	7,500
Conifex Timber Inc.	Common Shares	North, Janine Marie	4	14/02/2017	00			
Conifex Timber Inc.	Common Shares	North, Janine Marie	4	08/03/2017	15	3.05	10,000	10,000

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Conifex Timber Inc.	Common Shares	Princeton Holdings Limited	3	08/03/2017	11	3.05	2,569,300	300,000
Conifex Timber Inc.	Common Shares	Roberts, David	4	08/03/2017	15	3.05	410,027	25,000
Conifex Timber Inc.	Common Shares	Shields, Kenneth A.	4, 5	08/03/2017	15	3.05	277,700	100,000
Constellation Software Inc.	Common Shares	Symons, Barry Alan	5	07/03/2017	30	649	47,000	-1,000
Constellation Software Inc.	Debentures - Subordinated Floating Rate, Series 1 due March 31, 2040	Symons, Barry Alan	5	15/11/2016	97		\$126	-\$2,033,000
Constellation Software Inc.	Debentures - Subordinated Floating Rate, Series 1 due March 31, 2040	Symons, Barry Alan	5	13/06/2006	00			
Constellation Software Inc.	Debentures - Subordinated Floating Rate, Series 1 due March 31, 2040	Symons, Barry Alan	5	15/11/2016	97		\$2,033,000	\$2,033,000
Constellation Software Inc.	Debentures - Subordinated Floating Rate, Series 1 due March 31, 2040	Symons, Barry Alan	5	02/03/2017	30	1.1158	\$2,883,000	\$850,000
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Cote, Stephane	5	24/02/2017	30	22.61	5,926	111
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Holub, Paul	5	24/02/2017	30	22.61	20,483	137
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	O'Driscoll, Roland Patrick	4, 5	24/02/2017	30	22.61	13,082	112
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Sanchez Villarreal, Antonio	4, 5	24/02/2017	30	22.61	1,472	79
Corby Spirit and Wine Limited (formerly Corby Distilleries Limited)	Common Shares Class A	Valencia, Marc Andrew	5	24/02/2017	30	22.61	23,128	137
Cott Corporation	Common Shares	Ausher, Jason	5	21/02/2017	57	11.32		1,647
Cott Corporation	Common Shares	Ausher, Jason	5	21/02/2017	57	11.32	18,583	1,464
Cott Corporation	Performance Share Units	Ausher, Jason	5	21/02/2017	57	11.32		-1,647
Cott Corporation	Performance Share Units	Ausher, Jason	5	21/02/2017	57	11.32	13,158	-1,464
Cott Corporation	Performance Share Units	Ausher, Jason	5	21/02/2017	38	11.32		-853
Cott Corporation	Performance Share Units	Ausher, Jason	5	21/02/2017	38	11.32	12,122	-1,036
Cott Corporation	Common Shares	Baila, Carlos	5	21/02/2017	57	11.32		11,612
Cott Corporation	Common Shares	Baila, Carlos	5	21/02/2017	57	11.32	21,506	10,342
Cott Corporation	Performance Share Units	Baila, Carlos	5	21/02/2017	57	11.32		-11,612
Cott Corporation	Performance Share Units	Baila, Carlos	5	21/02/2017	57	11.32	41,759	-10,342
Cott Corporation	Performance Share Units	Baila, Carlos	5	21/02/2017	38	11.32		-5,381
Cott Corporation	Performance Share Units	Baila, Carlos	5	21/02/2017	38	11.32	35,108	-6,651
Cott Corporation	Common Shares	Creamer, Michael	5	21/02/2017	57	11.32		7,441
Cott Corporation	Common Shares	Creamer, Michael	5	21/02/2017	57	11.32		7,441
Cott Corporation	Common Shares	Creamer, Michael	5	21/02/2017	57	11.32	65,359	6,469
Cott Corporation	Performance Share Units	Creamer, Michael	5	21/02/2017	57	11.32		-7,441
Cott Corporation	Performance Share Units	Creamer, Michael	5	21/02/2017	57	11.32	33,753	-6,469
Cott Corporation	Performance Share Units	Creamer, Michael	5	21/02/2017	38	11.32		-4,278
Cott Corporation	Performance Share Units	Creamer, Michael	5	21/02/2017	38	11.32	28,503	-5,250
Cott Corporation	Common Shares	Fowden, Jeremy Stephen Gary	5	21/02/2017	57	11.32		85,232
Cott Corporation	Common Shares	Fowden, Jeremy Stephen Gary	5	21/02/2017	57	11.32	665,565	74,313
Cott Corporation	Performance Share Units	Fowden, Jeremy Stephen Gary	5	21/02/2017	57	11.32		-85,232
Cott Corporation	Performance Share Units	Fowden, Jeremy Stephen Gary	5	21/02/2017	57	11.32	387,318	-74,313
Cott Corporation	Performance Share Units	Fowden, Jeremy Stephen Gary	5	21/02/2017	38	11.32		-43,674
Cott Corporation	Performance Share Units	Fowden, Jeremy Stephen Gary	5	21/02/2017	38	11.32	332,725	-54,593

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Cott Corporation	Common Shares	Harrington, Thomas	5	10/12/2014	00			
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.88	900	900
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.88	2,530	1,630
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.89	2,930	400
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.89	7,430	4,500
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.945	8,230	800
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.95	8,530	300
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.96	8,930	400
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.96	9,130	200
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.97	9,230	100
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.975	9,330	100
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.98	10,930	1,600
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.985	11,130	200
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.989	11,530	400
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.99	12,330	800
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	10.995	15,930	3,600
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	11	37,730	21,800
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	11.065	38,130	400
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	11.07	39,630	1,500
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	11.075	40,530	900
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	11.08	41,530	1,000
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	11.08	44,700	3,170
Cott Corporation	Common Shares	Harrington, Thomas	5	06/03/2017	10	11.09	46,100	1,400
Cott Corporation	Common Shares	Monahan, Gregory Rush	4	18/06/2008	00			
Cott Corporation	Common Shares	Monahan, Gregory Rush	4	03/03/2017	10	10.683	12,000	12,000
Cott Corporation	Common Shares	Poe, Marni Morgan	5	21/02/2017	57	11.32		16,172
Cott Corporation	Common Shares	Poe, Marni Morgan	5	21/02/2017	57	11.32	97,668	14,062
Cott Corporation	Performance Share Units	Poe, Marni Morgan	5	21/02/2017	57	11.32		-16,172
Cott Corporation	Performance Share Units	Poe, Marni Morgan	5	21/02/2017	57	11.32	73,082	-14,062
Cott Corporation	Performance Share Units	Poe, Marni Morgan	5	21/02/2017	38	11.32		-8,731
Cott Corporation	Performance Share Units	Poe, Marni Morgan	5	21/02/2017	38	11.32	62,241	-10,841
Cott Corporation	Common Shares	Wells, Jay	5	21/02/2017	57	11.32		18,153
Cott Corporation	Common Shares	Wells, Jay	5	21/02/2017	57	11.32	59,358	14,840
Cott Corporation	Performance Share Units	Wells, Jay	5	21/02/2017	57	11.32		-18,153
Cott Corporation	Performance Share Units	Wells, Jay	5	21/02/2017	57	11.32	118,757	-14,840
Cott Corporation	Performance Share Units	Wells, Jay	5	21/02/2017	38	11.32		-13,488
Cott Corporation	Performance Share Units	Wells, Jay	5	21/02/2017	38	11.32	101,956	-16,801
CounterPath Corporation	Common Shares	Carothers, Todd	5	03/03/2017	30	2.4863	5,296	106
CounterPath Corporation	Common Shares	Jones, Donovan	4, 5	03/03/2017	30	3.3	68,644	823
CounterPath Corporation	Common Shares	Karp, David Lawrence	5	03/03/2017	30	3.3	20,744	138
CR Capital Corp. (formerly Cogitore Resources Inc.)	Common Shares	Howlett, Brian	5	10/03/2017	10	0.08	305,000	65,000
Crescent Point Energy Corp.	Common Shares	Amirault, Rene	4	09/05/2014	00		3,000	
Crescent Point Energy Corp.	Common Shares	Amirault, Rene	4	09/05/2014	00		2,100	
Crescent Point Energy Corp.	Common Shares	Amirault, Rene	4	15/09/2014	10	43.4	4,100	2,000
Crescent Point Energy Corp.	Common Shares	Amirault, Rene	4	09/05/2014	00			
Crescent Point Energy Corp.	Common Shares	Amirault, Rene	4	09/05/2014	00			
Crescent Point Energy Corp.	Common Shares	Amirault, Rene	4	09/05/2014	00			
Crescent Point Energy Corp.	Common Shares	Amirault, Rene	4	09/05/2014	00			
Crescent Point Energy Corp.	Common Shares	Amirault, Rene	4	09/05/2014	00		5,200	
Crescent Point Energy Corp.	Common Shares	Jackson, Michael Sidney	4	09/03/2017	10	14.485	20,750	1,000
Crius Energy Trust	Rights Restricted Trust Units	GF Power I, LLC	3	23/06/2016	00			
Crius Energy Trust	Rights Restricted Trust Units	GF Power I, LLC	3	23/06/2016	00			
Crius Energy Trust	Rights Restricted Trust Units	Gries, Jr., Robert D.	3	25/03/2013	00			
Crius Energy Trust	Rights Restricted Trust Units	Gries, Jr., Robert D.	3	23/06/2016	11		200,000	200,000

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Crius Energy Trust	Rights Restricted Trust Units	Gries, Jr., Robert D.	3	25/03/2013	00			
Crius Energy Trust	Rights Restricted Trust Units	Gries, Jr., Robert D.	3	23/06/2016	11		4,670,000	4,670,000
Crius Energy Trust	Rights Restricted Trust Units	Gries, Jr., Robert D.	3	25/03/2013	00			
Crius Energy Trust	Rights Restricted Trust Units	Gries, Jr., Robert D.	3	23/06/2016	11		3,350,000	3,350,000
Crius Energy Trust	Trust Units	Gries, Jr., Robert D.	3	23/06/2016	11			4,264
Crius Energy Trust	Trust Units	Gries, Jr., Robert D.	3	23/06/2016	11			4,264
CT Real Estate Investment Trust	Performance Units	Forbes, Louis Marie	5	07/03/2017	56	15.057	76,998	18,131
CT Real Estate Investment Trust	Performance Units	Salsberg, Kevin Mark	5	07/06/2016	00			
CT Real Estate Investment Trust	Performance Units	Salsberg, Kevin Mark	5	07/03/2017	56	15.057	10,958	10,958
CT Real Estate Investment Trust	Performance Units	Silver, Kenneth	4, 5	07/03/2017	56	15.057	167,936	39,848
CWC Energy Services Corp.	Common Shares	Apps, Robert Charles Nelson	5	03/03/2017	57		412,500	112,500
CWC Energy Services Corp.	Restricted Share Units	Apps, Robert Charles Nelson	5	03/03/2017	57		317,000	-112,500
Damara Gold Corp. (formerly Solomon Resources Limited)	Common Shares	Colorado Resources Ltd.	3	06/03/2017	00			
Damara Gold Corp. (formerly Solomon Resources Limited)	Common Shares	Colorado Resources Ltd.	3	06/03/2017	16	0.05	4,200,000	4,200,000
Danbel Ventures Inc.	Common Shares	Stein, Michael Brian	3, 4, 5	03/03/2017	11	0.0272	88,352	-2,413,336
Danbel Ventures Inc.	Common Shares	Stein, Michael Brian	3, 4, 5	03/03/2017	11	0.0555	5,647,193	5,500,000
Delphi Energy Corp.	Common Shares	Batteke, Hugo	5	28/02/2017	30	1.67	391,188	3,492
Delphi Energy Corp.	Common Shares	Hume, Rod Allan	5	28/02/2017	30	1.67	348,254	4,063
Delphi Energy Corp.	Common Shares	Reid, David James	4, 5	17/02/2017	90	1.67	870,532	-14,300
Delphi Energy Corp.	Common Shares	Reid, David James	4, 5	28/02/2017	30	1.67	875,612	5,080
Delphi Energy Corp.	Common Shares	Reid, David James	4, 5	17/02/2017	90	1.67	460,233	14,300
Denison Mines Corp.	Common Shares	Cates, David Daniel	5	09/03/2017	16	0.95	280,700	10,700
Denison Mines Corp.	Common Shares	Cates, David Daniel	5	09/03/2017	16	1.12	310,000	29,300
Denison Mines Corp.	Options	Cates, David Daniel	5	10/03/2016	52		558,000	-25,000
Denison Mines Corp.	Options	Cates, David Daniel	5	08/03/2017	52		881,750	-32,000
Denison Mines Corp.	Options	Cates, David Daniel	5	10/03/2017	50	0.85	2,809,350	1,927,600
Denison Mines Corp.	Options	Dengler, W. Robert	4	08/03/2017	52		200,000	-40,000
Denison Mines Corp.	Options	Dengler, W. Robert	4	10/03/2017	50		250,000	50,000
Denison Mines Corp.	Options	Edgar, Brian Douglas	4	10/03/2017	50	0.85	290,000	50,000
Denison Mines Corp.	Options	Hochstein, Ronald F.	4	08/03/2017	52	1.52	800,000	-200,000
Denison Mines Corp.	Options	Hochstein, Ronald F.	4	10/03/2017	50	0.85	850,000	50,000
Denison Mines Corp.	Options	Hochstein, Ronald F.	4	10/03/2017	52	1.3		-200,000
Denison Mines Corp.	Options	Hochstein, Ronald F.	4	10/03/2017	52	1.3		-200,000
Denison Mines Corp.	Common Shares	McDonald, Gabriel Ernst	5	09/03/2017	11	1.12	49,000	15,000
Denison Mines Corp.	Options	McDonald, Gabriel Ernst	5	10/03/2017	50		1,864,200	1,400,900
Denison Mines Corp.	Options	Rand, William Archibald	4	08/03/2017	52	1.52	200,000	-40,000
Denison Mines Corp.	Options	Rand, William Archibald	4	10/03/2017	50	0.85	250,000	50,000
Denison Mines Corp.	Common Shares	Stefan, Catherine Jean Garrett	4	09/03/2017	16	1.12	110,000	10,000
Denison Mines Corp.	Options	Stefan, Catherine Jean Garrett	4	08/03/2017	52	1.52	200,000	-40,000
Denison Mines Corp.	Options	Stefan, Catherine Jean Garrett	4	10/03/2017	50	0.85	250,000	50,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	28/09/2016	00		2,475,500	
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.208	2,235,500	-240,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.066	1,995,500	-240,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.066	1,755,500	-240,000



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Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.167	1,725,500	-30,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.167	1,665,500	-60,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.167	1,635,500	-30,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11			-150,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11		1,485,500	-150,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.167	1,395,500	-90,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.167	1,245,500	-150,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.25	1,155,500	-90,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.25	1,095,500	-60,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.25	1,065,500	-30,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11			-60,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11			-60,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11			-60,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.066		-320,500
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.066		-320,500
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.066		-150,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.066		-150,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.066		-65,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.066		-65,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.066		-229,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.066		-229,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.066		-241,000
Deploy Technologies Inc.	Convertible Preferred Shares Class A Preferred	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.066		-241,000
Deploy Technologies Inc.	Subordinate Voting Shares	Karaba, Kathleen Charlotte	3, 6	28/09/2016	00		911,062	
Deploy Technologies Inc.	Subordinate Voting Shares	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.007	261,062	-650,000
Deploy Technologies Inc.	Subordinate Voting Shares	Karaba, Kathleen Charlotte	3, 6	03/03/2017	11	0.007	62	-261,000
Deveron UAS Corp. (formerly Deveron Resources Ltd.)	Common Shares	Greencastle Resources Ltd.	3	03/03/2017	10	0.425	9,321,005	25,000
Deveron UAS Corp. (formerly Deveron Resources Ltd.)	Common Shares	Greencastle Resources Ltd.	3	03/03/2017	10	0.41	9,333,005	12,000
Deveron UAS Corp. (formerly Deveron Resources Ltd.)	Common Shares	Greencastle Resources Ltd.	3	07/03/2017	10	0.47	9,353,005	20,000
DHX Media Ltd.	Common Voting Shares	Regan, David A.	5	06/03/2017	10	5.36	41,059	1,500
Diamond Estates Wines & Spirits Inc.	Common Shares	HARRIS, KEITH RAYMOND	3, 4	02/03/2017	51	0.2	1,138,304	111,804
Diamond Estates Wines & Spirits Inc.	Options	HARRIS, KEITH RAYMOND	3, 4	02/03/2017	51	0.2	100,000	-111,804
Difference Capital Financial Inc.	Common Shares	Difference Capital Financial Inc.	1	13/02/2017	38		1,320	-4,360

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
DMD Digital Health Connections Group Inc. (Formerly Aptilon Corporation)	Common Shares	Benthin, Mark	4	08/03/2017	10	0.275	716,000	-37,500
DMD Digital Health Connections Group Inc. (Formerly Aptilon Corporation)	Common Shares	BROSSEAU, ANDRE	4	10/03/2017	10	0.279	6,100,000	100,000
Dollarama Inc.	Deferred Share Units (DSU)	Bekenstein, Joshua	4	30/01/2017	35	99.386		3
Dollarama Inc.	Deferred Share Units (DSU)	Bekenstein, Joshua	4	01/02/2017	35	99.386	3,511	3
Dollarama Inc.	Deferred Share Units (DSU)	David, Gregory	4	30/01/2017	35	99.386		2
Dollarama Inc.	Deferred Share Units (DSU)	David, Gregory	4	01/02/2017	35	99.386	2,490	2
Dollarama Inc.	Deferred Share Units (DSU)	Garcia C., Elisa D.	4	30/01/2017	35	99.386		2
Dollarama Inc.	Deferred Share Units (DSU)	Garcia C., Elisa D.	4	01/02/2017	35	99.386	3,124	2
Dollarama Inc.	Deferred Share Units (DSU)	Gunn, Stephen	4	30/01/2017	35	99.386		1
Dollarama Inc.	Deferred Share Units (DSU)	Gunn, Stephen	4	01/02/2017	35	99.386	1,714	1
Dollarama Inc.	Deferred Share Units (DSU)	Nomicos, Nicholas George	4	30/01/2017	35	99.386		3
Dollarama Inc.	Deferred Share Units (DSU)	Nomicos, Nicholas George	4	01/02/2017	35	99.386	3,325	3
Dollarama Inc.	Deferred Share Units (DSU)	Roy, Richard G	4	30/01/2017	35	99.386		3
Dollarama Inc.	Deferred Share Units (DSU)	Roy, Richard G	4	01/02/2017	35	99.386	3,362	3
Dollarama Inc.	Deferred Share Units (DSU)	Swidler, John Joseph	4	30/01/2017	35	99.386		1
Dollarama Inc.	Deferred Share Units (DSU)	Swidler, John Joseph	4	01/02/2017	35	99.386	2,117	1
Dollarama Inc.	Deferred Share Units (DSU)	Thomas, John Huw	4	30/01/2017	35	99.386		2
Dollarama Inc.	Deferred Share Units (DSU)	Thomas, John Huw	4	01/02/2017	35	99.386	3,506	2
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	01/02/2017	38	13.105	111,600	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	02/02/2017	38	13.105	139,500	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	03/02/2017	38	13.11	159,300	19,800
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	06/02/2017	38	13.309	187,200	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	07/02/2017	38	13.472	215,100	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	08/02/2017	38	13.572	243,000	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	09/02/2017	38	13.608	270,900	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	10/02/2017	38	13.726	298,800	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	13/02/2017	38	13.679	321,800	23,000
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	14/02/2017	38	13.746	349,700	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	15/02/2017	38	13.804	377,600	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	16/02/2017	38	13.648	405,500	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	17/02/2017	38	13.27	433,400	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	21/02/2017	38	12.529	461,300	27,900

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	22/02/2017	38	12.235	489,200	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	23/02/2017	38	11.837	517,100	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	24/02/2017	38	11.59	545,000	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	27/02/2017	38	11.491	572,900	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	28/02/2017	38	11.252	600,800	27,900
Dominion Diamond Corporation	Common Shares	Dominion Diamond Corporation	1	28/02/2017	38		83,700	-517,100
Dream Global Real Estate Investment Trust	Rights Deferred Trust Units	Bhatia, Rajan Sacha	4	31/12/2016	30		9,853	452
Dream Global Real Estate Investment Trust	Rights Deferred Trust Units	BIERBAUM, DETLEF	4	31/12/2016	30		114,908	9,093
Dream Global Real Estate Investment Trust	Rights Deferred Trust Units	Cooper, Michael	4, 7	31/12/2016	30		159,871	13,493
Dream Global Real Estate Investment Trust	Rights Deferred Trust Units	GAVAN, JANE	4, 5	31/12/2016	30		72,571	13,251
Dream Global Real Estate Investment Trust	Rights Deferred Trust Units	GAVAN, JANE	4, 5	03/03/2017	57		84,072	-8,499
Dream Global Real Estate Investment Trust	Rights Deferred Trust Units	GAVAN, JANE	4, 5	03/03/2017	57		78,865	-5,207
Dream Global Real Estate Investment Trust	Rights Deferred Trust Units	GAVAN, JANE	4, 5	03/03/2017	57		74,095	-4,770
Dream Global Real Estate Investment Trust	Rights Deferred Trust Units	GAVAN, JANE	4, 5	03/03/2017	57		69,729	-4,366
Dream Global Real Estate Investment Trust	Units	GAVAN, JANE	4, 5	03/03/2017	57		14,487	8,499
Dream Global Real Estate Investment Trust	Units	GAVAN, JANE	4, 5	03/03/2017	10	9.5366	9,791	-4,696
Dream Global Real Estate Investment Trust	Units	GAVAN, JANE	4, 5	03/03/2017	57		14,998	5,207
Dream Global Real Estate Investment Trust	Units	GAVAN, JANE	4, 5	03/03/2017	10	9.5366	12,121	-2,877
Dream Global Real Estate Investment Trust	Units	GAVAN, JANE	4, 5	03/03/2017	57		16,891	4,770
Dream Global Real Estate Investment Trust	Units	GAVAN, JANE	4, 5	03/03/2017	10	9.5366	14,255	-2,636
Dream Global Real Estate Investment Trust	Units	GAVAN, JANE	4, 5	03/03/2017	57		18,621	4,366
Dream Global Real Estate Investment Trust	Units	GAVAN, JANE	4, 5	03/03/2017	10	9.5366	16,208	-2,413
Dream Global Real Estate Investment Trust	Rights Deferred Trust Units	Jackman, Duncan Newton Rowell	4	31/12/2016	30		62,954	4,989
Dream Global Real Estate Investment Trust	Rights Deferred Trust Units	Koss, Johann Olav	4	31/12/2016	30		29,264	2,193
Dream Global Real Estate Investment Trust	Rights Deferred Trust Units	Sullivan, John	4	31/12/2016	30		49,885	3,979
Dream Global Real Estate Investment Trust	Units	Sullivan, John	4	31/12/2016	30		135,359	9,988
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Alimchandani, Pauline	7	31/12/2016	30		20,162	1,286
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Cooper, Michael	7, 5	31/12/2016	30		20,162	1,286
Dream Hard Asset Alternatives Trust	Units	Cooper, Michael	7, 5	03/03/2017	10	6.3	6,285,100	19,000
Dream Hard Asset Alternatives Trust	Units	Cooper, Michael	7, 5	09/03/2017	10	6.3	6,485,100	200,000
Dream Hard Asset Alternatives Trust	Units	Dream Asset Management Corporation	5	03/03/2017	10	6.3	5,866,500	19,000
Dream Hard Asset Alternatives Trust	Units	Dream Asset Management Corporation	5	09/03/2017	10	6.3	6,066,500	200,000
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	03/03/2017	38	6.3248	6,500	6,500

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	03/03/2017	38		0	-6,500
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	09/03/2017	38	6.3092	6,500	6,500
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	09/03/2017	38		0	-6,500
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	10/03/2017	38	6.3158	6,500	6,500
Dream Hard Asset Alternatives Trust	Units	Dream Hard Asset Alternatives Trust	1	10/03/2017	38		0	-6,500
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Eaton, James George	4	31/12/2016	30		44,383	2,437
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Ferstman, Joanne Shari	7	31/12/2016	30		41,623	2,305
Dream Hard Asset Alternatives Trust	Deferred Trust Units	GAVAN, JANE	7	31/12/2016	57		14,778	-1,371
Dream Hard Asset Alternatives Trust	Deferred Trust Units	GAVAN, JANE	7	31/12/2016	30		15,812	1,034
Dream Hard Asset Alternatives Trust	Units	GAVAN, JANE	7	31/12/2016	57		2,786	1,371
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Kaufman, David Richard	4	31/12/2016	30		19,949	1,168
Dream Hard Asset Alternatives Trust	Deferred Trust Units	Lapham, Andrew Polk	4	31/12/2016	30		48,195	2,572
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Chapman, Brent Paul	5	31/12/2016	30		60,197	4,768
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Cooper, Michael	4, 7	31/12/2016	30		136,982	11,248
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	GAVAN, JANE	7	03/03/2017	57		52,834	-8,549
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	GAVAN, JANE	7	03/03/2017	57		48,078	-4,756
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	GAVAN, JANE	7	03/03/2017	57		43,721	-4,357
Dream Industrial Real Estate Investment Trust	Units	GAVAN, JANE	7	03/03/2017	57		10,455	8,549
Dream Industrial Real Estate Investment Trust	Units	GAVAN, JANE	7	03/03/2017	10	8.3401	5,746	-4,709
Dream Industrial Real Estate Investment Trust	Units	GAVAN, JANE	7	03/03/2017	57		10,502	4,756
Dream Industrial Real Estate Investment Trust	Units	GAVAN, JANE	7	03/03/2017	10	8.3401	7,882	-2,620
Dream Industrial Real Estate Investment Trust	Units	GAVAN, JANE	7	03/03/2017	57		12,239	4,357
Dream Industrial Real Estate Investment Trust	Units	GAVAN, JANE	7	03/03/2017	10	8.3401	9,839	-2,400
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	GOODALL, ROBERT	4	31/12/2016	30		44,720	3,399
Dream Industrial Real Estate Investment Trust	Units	GOODALL, ROBERT	4	31/12/2016	30		19,842	1,721
Dream Industrial Real Estate Investment Trust	Units	GOODALL, ROBERT	4	31/12/2016	30		76,285	6,638
Dream Industrial Real Estate Investment Trust	Units	KNOWLTON, JOHN MICHAEL ARTHUR	4	20/06/2016	00		11,842	
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Koss, Johann Olav	4	31/12/2016	30		41,032	3,087
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Mulroney, Benedict Martin Paul	4	31/12/2016	30		41,659	3,107
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Quan, Lenis Wen-Juan	5	31/12/2016	30		22,475	1,739
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Segal, Leerom	4	31/12/2016	30		50,177	3,862
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Sera, Maria Vincenza	4, 7	31/12/2016	30		82,663	6,102
Dream Industrial Real Estate Investment Trust	Units	Sera, Maria Vincenza	4, 7	31/12/2016	30		10,725	351

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Dream Industrial Real Estate Investment Trust	Deferred Trust Units	Wiseman, Sheldon	4	31/12/2016	30		37,197	2,631
Dream Office Real Estate Investment Trust	Rights deferred trust units	BIERBAUM, DETLEF	4	31/12/2016	30		60,766	5,090
Dream Office Real Estate Investment Trust	Rights deferred trust units	Charter, Donald Kinloch	4, 6	31/12/2016	30		42,557	3,500
Dream Office Real Estate Investment Trust	Trust Units Series A	Charter, Donald Kinloch	4, 6	31/12/2016	30		26,998	1,933
Dream Office Real Estate Investment Trust	Trust Units Series A	Charter, Donald Kinloch	4, 6	31/12/2016	30		2,859	164
Dream Office Real Estate Investment Trust	Rights deferred trust units	Cooper, Michael	4, 7, 5	31/12/2016	30		248,473	21,511
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	03/03/2017	38	18.887	81,307	81,307
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	03/03/2017	38		0	-81,307
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	06/03/2017	38	18.865	66,307	66,307
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	06/03/2017	38		0	-66,307
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	07/03/2017	38	19.181	81,907	81,907
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	07/03/2017	38		0	-81,907
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	08/03/2017	38	18.947	76,107	76,107
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	08/03/2017	38		0	-76,107
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	09/03/2017	38	18.805	81,907	81,907
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	09/03/2017	38		0	-81,907
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	10/03/2017	38	18.955	65,607	65,607
Dream Office Real Estate Investment Trust	Trust Units Series A	Dream Office Real Estate Investment Trust	1	10/03/2017	38		0	-65,607
Dream Office Real Estate Investment Trust	Rights deferred trust units	Ferstman, Joanne Shari	4	31/12/2016	30		52,463	3,875
Dream Office Real Estate Investment Trust	Trust Units Series A	Ferstman, Joanne Shari	4	31/12/2016	30		8,313	1,010
Dream Office Real Estate Investment Trust	Rights deferred trust units	GAVAN, JANE	5	03/03/2017	57		23,830	-2,678
Dream Office Real Estate Investment Trust	Rights deferred trust units	GAVAN, JANE	5	03/03/2017	57		21,305	-2,525
Dream Office Real Estate Investment Trust	Rights deferred trust units	GAVAN, JANE	5	03/03/2017	57		17,627	-3,678
Dream Office Real Estate Investment Trust	Rights deferred trust units	GAVAN, JANE	5	03/03/2017	57		12,805	-4,822
Dream Office Real Estate Investment Trust	Rights deferred trust units	GAVAN, JANE	5	03/03/2017	57		8,471	-4,334
Dream Office Real Estate Investment Trust	Trust Units Series A	GAVAN, JANE	5	31/12/2016	30		6,374	3,187
Dream Office Real Estate Investment Trust	Trust Units Series A	GAVAN, JANE	5	03/03/2017	57		9,052	2,678
Dream Office Real Estate Investment Trust	Trust Units Series A	GAVAN, JANE	5	03/03/2017	10	18.91	7,588	-1,464
Dream Office Real Estate Investment Trust	Trust Units Series A	GAVAN, JANE	5	03/03/2017	57		10,113	2,525
Dream Office Real Estate Investment Trust	Trust Units Series A	GAVAN, JANE	5	03/03/2017	10	18.91	8,733	-1,380
Dream Office Real Estate Investment Trust	Trust Units Series A	GAVAN, JANE	5	03/03/2017	57		12,411	3,678
Dream Office Real Estate Investment Trust	Trust Units Series A	GAVAN, JANE	5	03/03/2017	10	18.91	10,400	-2,011
Dream Office Real Estate Investment Trust	Trust Units Series A	GAVAN, JANE	5	03/03/2017	57		15,222	4,822

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Dream Office Real Estate Investment Trust	Trust Units Series A	GAVAN, JANE	5	03/03/2017	10	18.91	12,586	-2,636
Dream Office Real Estate Investment Trust	Trust Units Series A	GAVAN, JANE	5	03/03/2017	57		16,920	4,334
Dream Office Real Estate Investment Trust	Trust Units Series A	GAVAN, JANE	5	03/03/2017	10	18.91	14,551	-2,369
Dream Office Real Estate Investment Trust	Rights deferred trust units	GOODALL, ROBERT	4	31/12/2016	30		50,904	4,251
Dream Office Real Estate Investment Trust	Trust Units Series A	GOODALL, ROBERT	4	31/12/2016	30		11,567	532
Dream Office Real Estate Investment Trust	Trust Units Series A	GOODALL, ROBERT	4	31/12/2016	30		4,228	208
Dream Office Real Estate Investment Trust	Trust Units Series A	GOODALL, ROBERT	4	31/12/2016	30		7,353	362
Dream Office Real Estate Investment Trust	Trust Units Series A	GOODALL, ROBERT	4	31/12/2016	30		3,709	179
Dream Office Real Estate Investment Trust	Rights deferred trust units	Jackman, Duncan Newton Rowell	4	31/12/2016	30		50,732	4,236
Dream Office Real Estate Investment Trust	Rights deferred trust units	MacIndoe, Karine	4	31/12/2016	30		10,956	698
Dream Office Real Estate Investment Trust	Rights deferred trust units	Viswanathan, Rajeev	5	31/12/2016	30		38,838	3,063
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	03/03/2017	38	6.8907	8,194	8,194
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	03/03/2017	38		0	-8,194
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	06/03/2017	38	6.8244	8,194	8,194
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	06/03/2017	38		0	-8,194
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	07/03/2017	38	6.8222	8,194	8,194
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	07/03/2017	38		0	-8,194
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	08/03/2017	38	6.8329	8,194	8,194
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	08/03/2017	38		0	-8,194
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	09/03/2017	38	6.7896	8,194	8,194
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	09/03/2017	38		0	-8,194
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	10/03/2017	38	6.823	8,194	8,194
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	10/03/2017	38		0	-8,194
Dundee Precious Metals Inc.	Common Shares	Montalvo, Juanita	4	15/02/2017	00			
eCobalt Solutions Inc. (formerly Formation Metals Inc.)	Common Shares	Quinn, Robert Joseph	4	09/03/2017	51	0.6	155,000	125,000
eCobalt Solutions Inc. (formerly Formation Metals Inc.)	Common Shares	Quinn, Robert Joseph	4	09/03/2017	10	1.09	143,500	-11,500
eCobalt Solutions Inc. (formerly Formation Metals Inc.)	Common Shares	Quinn, Robert Joseph	4	09/03/2017	10	1.095	142,500	-1,000
eCobalt Solutions Inc. (formerly Formation Metals Inc.)	Common Shares	Quinn, Robert Joseph	4	09/03/2017	10	1.1	130,000	-12,500
eCobalt Solutions Inc. (formerly Formation Metals Inc.)	Common Shares	Quinn, Robert Joseph	4	09/03/2017	10	1.08	30,000	-100,000
eCobalt Solutions Inc. (formerly Formation Metals Inc.)	Common Shares	Quinn, Robert Joseph	4	09/03/2017	10	1.12	3,300	-26,700
eCobalt Solutions Inc. (formerly Formation Metals Inc.)	Common Shares	Quinn, Robert Joseph	4	09/03/2017	10	1.1	0	-3,300

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
eCobalt Solutions Inc. (formerly Formation Metals Inc.)	Options Stock Option Plan	Quinn, Robert Joseph	4	09/03/2017	51		25,000	-125,000
Eloro Resources Ltd.	Common Shares	LARSEN, THOMAS	4, 5	10/03/2017	10	0.75	876,622	4,000
Eloro Resources Ltd.	Common Shares	LARSEN, THOMAS	4, 5	06/03/2017	10	0.76	931,000	5,000
Emera Incorporated	Common Shares	Balfour, Scott Carlyle	5	31/12/2016	30	46.87	32,967	253
Emera Incorporated	DSU	Balfour, Scott Carlyle	5	31/12/2016	30		36,467	1,522
Emera Incorporated	Performance Share Units	Balfour, Scott Carlyle	5	31/12/2016	30		40,931	1,708
Emera Incorporated	Common Shares	Bennett, Robert Ross	5	31/12/2016	30	46.87	4,983	230
Emera Incorporated	DSU	Bennett, Robert Ross	5	31/12/2016	30		34,431	1,912
Emera Incorporated	Performance Share Units	Bennett, Robert Ross	5	31/12/2016	30		29,650	1,254
Emera Incorporated	Common Shares	Blunden, Gregory	5	31/12/2016	30	46.87	1,431	245
Emera Incorporated	DSU	Blunden, Gregory	5	31/12/2016	30		9,123	381
Emera Incorporated	Performance Share Units	Blunden, Gregory	5	31/12/2016	30		12,321	514
Emera Incorporated	Common Shares	Hanf, Robert John Scott	7	31/12/2016	30	46.87	7,132	390
Emera Incorporated	DSU	Hanf, Robert John Scott	7	31/12/2016	30		28,950	1,161
Emera Incorporated	Performance Share Units	Hanf, Robert John Scott	7	31/12/2016	30		16,742	681
Emera Incorporated	Common Shares	Huskilson, Christopher	4, 5	31/12/2016	30	44.2	81,565	7
Emera Incorporated	DSU	Huskilson, Christopher	4, 5	31/12/2016	30		219,144	9,146
Emera Incorporated	Performance Share Units	Huskilson, Christopher	4, 5	31/12/2016	30		103,218	4,180
Emera Incorporated	Common Shares	Hutt, Karen	7	01/08/2016	00		1,326	
Emera Incorporated	Common Shares	Hutt, Karen	7	31/12/2016	30	46.87	1,406	80
Emera Incorporated	DSU	Hutt, Karen	7	01/08/2016	00		2,536	
Emera Incorporated	DSU	Hutt, Karen	7	31/12/2016	30		2,593	57
Emera Incorporated	Performance Share Units	Hutt, Karen	7	31/12/2016	30		6,752	148
Emera Incorporated	Common Shares	MacDonald, Sarah	5	31/12/2016	30	46.87	2,023	201
Emera Incorporated	DSU	MacDonald, Sarah	5	31/12/2016	30		25,280	1,055
Emera Incorporated	Performance Share Units	MacDonald, Sarah	5	31/12/2016	30		12,819	535
Emera Incorporated	DSU	Marchand, Bruce	5	31/12/2016	30		11,941	647
Emera Incorporated	Performance Share Units	Marchand, Bruce	5	31/12/2016	30		19,060	795
Emera Incorporated	Common Shares	Muldoon, Daniel	5	31/12/2016	30	46.87	3,077	77
Emera Incorporated	DSU	Muldoon, Daniel	5	31/12/2016	30		28,362	1,177
Emera Incorporated	Performance Share Units	Muldoon, Daniel	5	31/12/2016	30		13,477	558
Emera Incorporated	Common Shares	O'Connor, Wayne David	5	31/12/2016	30	46.87	6,344	280
Emera Incorporated	DSU	O'Connor, Wayne David	5	31/12/2016	30		49,844	2,120
Emera Incorporated	Performance Share Units	O'Connor, Wayne David	5	31/12/2016	30		12,882	527
Emera Incorporated	Common Shares	Pether, Donald Allison	4	31/12/2016	30	42.98	1,208	14
Emera Incorporated	DSU	Roberts, R. Michael	5	31/12/2016	30		876	37
Emera Incorporated	Performance Share Units	Roberts, R. Michael	5	31/12/2016	30		7,750	323
Emera Incorporated	Common Shares	Sidebottom, Mark	7	31/12/2016	30	46.87	743	191
Emera Incorporated	DSU	Sidebottom, Mark	7	31/12/2016	30		6,494	271
Emera Incorporated	Performance Share Units	Sidebottom, Mark	7	31/12/2016	30		6,355	265
Emera Incorporated	Common Shares	Tower, Nancy Gail	5	31/12/2016	30	44.2	25,216	25
Emera Incorporated	Common Shares	Tower, Nancy Gail	5	31/12/2016	30	46.87	25,749	533
Emera Incorporated	DSU	Tower, Nancy Gail	5	31/12/2016	30		65,876	2,989
Emera Incorporated	Performance Share Units	Tower, Nancy Gail	5	31/12/2016	30		30,694	1,254
Emerita Resources Corp. (formerly Emerita Gold Corp.)	Common Shares	Sulliden Mining Capital Inc.	3	06/03/2017	10		5,185,000	-15,000
Enbridge Inc.	Common Shares	Bryson, David Wayne	7	27/02/2017	00		1,752	
Enbridge Inc.	Common Shares	Bryson, David Wayne	7	27/02/2017	00		1,995	
Enbridge Inc.	Common Shares	Bryson, David Wayne	7	27/02/2017	00			
Enbridge Inc.	Common Shares	Bryson, David Wayne	7	27/02/2017	00		2,510	
Enbridge Inc.	Common Shares	Bryson, David Wayne	7	27/02/2017	00		315	
Enbridge Inc.	Common Shares	Bryson, David Wayne	7	27/02/2017	00		608	
Enbridge Inc.	Options \$19.805 (\$39.61) - February 25, 2019	Bryson, David Wayne	7	27/02/2017	00		30,500	
Enbridge Inc.	Options \$23.295 (\$46.59) - February 16, 2020 Expiry	Bryson, David Wayne	7	27/02/2017	00		22,000	

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Enbridge Inc.	Options \$28.775 (\$57.55) - February 14, 2021 Expiry	Bryson, David Wayne	7	27/02/2017	00		63,400	
Enbridge Inc.	Options \$38.34 - March 2, 2022 Expiry	Bryson, David Wayne	7	27/02/2017	00		50,500	
Enbridge Inc.	Options \$44.06 - March 1, 2026 Expiry	Bryson, David Wayne	7	27/02/2017	00		58,600	
Enbridge Inc.	Options \$44.83 - February 27, 2023 Expiry	Bryson, David Wayne	7	27/02/2017	00		58,900	
Enbridge Inc.	Options \$48.81 - March 13, 2024 Expiry	Bryson, David Wayne	7	27/02/2017	00		58,250	
Enbridge Inc.	Options \$55.84 - February 28, 2027 Expiry	Bryson, David Wayne	7	27/02/2017	00		63,720	
Enbridge Inc.	Options \$59.08 - March 2, 2025 Expiry	Bryson, David Wayne	7	27/02/2017	00		55,150	
Enbridge Inc.	Options USD \$20.165 (USD\$40.33) - February 19, 2018 Expiry	Bryson, David Wayne	7	27/02/2017	00		27,200	
Enbridge Inc.	Rights - 2015 Performance Units (PUs) - December 31, 2017 Expiry	Bryson, David Wayne	7	27/02/2017	00		3,842	
Enbridge Inc.	Rights 2016 Performance Units (PUs) - December 31, 2018 Expiry	Bryson, David Wayne	7	27/02/2017	00		3,232	
Enbridge Inc.	Rights 2017 Performance Units (PUs) - December 31, 2019 Expiry	Bryson, David Wayne	7	27/02/2017	00		3,350	
Enbridge Inc.	Common Shares	Capps, Allen Carter	5	27/02/2017	00		7,246	
Enbridge Inc.	Common Shares	Capps, Allen Carter	5	27/02/2017	00		12,833	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2015)	Capps, Allen Carter	5	27/02/2017	00		2,650	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2016)	Capps, Allen Carter	5	27/02/2017	00		2,100	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2016)	Capps, Allen Carter	5	27/02/2017	00		2,100	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2017)	Capps, Allen Carter	5	27/02/2017	00		2,775	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2017)	Capps, Allen Carter	5	27/02/2017	00		2,775	
Enbridge Inc.	Rights - Spectra Performance Shares (Feb 2015)	Capps, Allen Carter	5	27/02/2017	00		4,150	
Enbridge Inc.	Rights - Spectra Performance Shares (Feb 2016)	Capps, Allen Carter	5	27/02/2017	00		4,500	
Enbridge Inc.	Common Shares	Carter, Pamela Lynn	4	27/02/2017	00		39,729	
Enbridge Inc.	Common Shares	Czalot, Jr., Clarence Peter	4	27/02/2017	00		12,929	
Enbridge Inc.	Common Shares	Ebel, Gregory Lorne	4	27/02/2017	00		456,155	
Enbridge Inc.	Common Shares	Ebel, Gregory Lorne	4	27/02/2017	00			
Enbridge Inc.	Common Shares	Ebel, Gregory Lorne	4	27/02/2017	00		3,757	
Enbridge Inc.	Common Shares	Ebel, Gregory Lorne	4	27/02/2017	00		1,546	
Enbridge Inc.	Options - Spectra (USD \$28.40)	Ebel, Gregory Lorne	4	27/02/2017	00		412,000	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2015)	Ebel, Gregory Lorne	4	27/02/2017	00		59,350	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2017)	Ebel, Gregory Lorne	4	27/02/2017	00		62,550	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2017)	Ebel, Gregory Lorne	4	27/02/2017	00		62,550	
Enbridge Inc.	Rights - Spectra Performance Shares (Feb 2015)	Ebel, Gregory Lorne	4	27/02/2017	00		94,000	
Enbridge Inc.	Rights - Spectra Performance Shares (Feb 2016)	Ebel, Gregory Lorne	4	27/02/2017	00		99,800	
Enbridge Inc.	Rights - Spectra Stock Deferral Fund (Executive Savings Plan)	Ebel, Gregory Lorne	4	27/02/2017	00		1,541	



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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Enbridge Inc.	Common Shares	Gruending, Colin Kenneth	5	08/03/2017	30	56.31	11,840	50
Enbridge Inc.	Options \$55.84 - February 28, 2027 Expiry	Gruending, Colin Kenneth	5	01/08/2005	00			
Enbridge Inc.	Options \$55.84 - February 28, 2027 Expiry	Gruending, Colin Kenneth	5	28/02/2017	50	55.84	48,670	48,670
Enbridge Inc.	Rights - 2014 Performance Units (PUs) - December 31, 2016 Expiry	Gruending, Colin Kenneth	5	01/03/2017	30		4,657	83
Enbridge Inc.	Rights - 2014 Performance Units (PUs) - December 31, 2016 Expiry	Gruending, Colin Kenneth	5	10/03/2017	59		0	-4,657
Enbridge Inc.	Rights - 2015 Performance Units (PUs) - December 31, 2017 Expiry	Gruending, Colin Kenneth	5	01/03/2017	30		4,004	159
Enbridge Inc.	Rights 2016 Performance Units (PUs) - December 31, 2018 Expiry	Gruending, Colin Kenneth	5	01/03/2017	30		3,836	152
Enbridge Inc.	Rights 2017 Performance Units (PUs) - December 31, 2019 Expiry	Gruending, Colin Kenneth	5	01/08/2005	00			
Enbridge Inc.	Rights 2017 Performance Units (PUs) - December 31, 2019 Expiry	Gruending, Colin Kenneth	5	28/02/2017	56		2,520	2,520
Enbridge Inc.	Common Shares	Jordan, Jana Lerice	5	27/02/2017	00		5,433	
Enbridge Inc.	Common Shares	Jordan, Jana Lerice	5	27/02/2017	00		180	
Enbridge Inc.	Options \$38.34 - March 2, 2022 Expiry	Jordan, Jana Lerice	5	27/02/2017	00			
Enbridge Inc.	Options \$38.34 - March 2, 2022 Expiry	Jordan, Jana Lerice	5	27/02/2017	00		23,750	
Enbridge Inc.	Options \$44.06 - March 1, 2026 Expiry	Jordan, Jana Lerice	5	27/02/2017	00		20,980	
Enbridge Inc.	Options \$44.83 - February 27, 2023 Expiry	Jordan, Jana Lerice	5	27/02/2017	00		24,550	
Enbridge Inc.	Options \$48.81 - March 13, 2024 Expiry	Jordan, Jana Lerice	5	27/02/2017	00		20,800	
Enbridge Inc.	Options \$55.84 - February 28, 2027 Expiry	Jordan, Jana Lerice	5	27/02/2017	00		17,580	
Enbridge Inc.	Options \$59.08 - March 2, 2025 Expiry	Jordan, Jana Lerice	5	27/02/2017	00		19,170	
Enbridge Inc.	Rights 2015 Restricted Stock Units (RSUs) 35 mos maturity period	Jordan, Jana Lerice	5	27/02/2017	00		1,090	
Enbridge Inc.	Rights 2016 Restricted Stock Units (RSUs) 35 mos maturity period	Jordan, Jana Lerice	5	27/02/2017	00		1,090	
Enbridge Inc.	Rights 2017 Restricted Stock Units (RSUs) 35 mos maturity period	Jordan, Jana Lerice	5	27/02/2017	00		840	
Enbridge Inc.	Common Shares	McShane, Michael	4	27/02/2017	00		32,068	
Enbridge Inc.	Common Shares	Neiles, Byron Craig	5	08/03/2017	30	54.51	64,417	225
Enbridge Inc.	Options \$55.84 - February 28, 2027 Expiry	Neiles, Byron Craig	5	01/06/2003	00			
Enbridge Inc.	Options \$55.84 - February 28, 2027 Expiry	Neiles, Byron Craig	5	28/02/2017	50	55.84	93,300	93,300
Enbridge Inc.	Rights - 2014 Performance Units (PUs) - December 31, 2016 Expiry	Neiles, Byron Craig	5	01/03/2017	30		6,322	113
Enbridge Inc.	Rights - 2014 Performance Units (PUs) - December 31, 2016 Expiry	Neiles, Byron Craig	5	10/03/2017	59		0	-6,322
Enbridge Inc.	Rights - 2015 Performance Units (PUs) - December 31, 2017 Expiry	Neiles, Byron Craig	5	01/03/2017	30		4,811	191
Enbridge Inc.	Rights 2016 Performance Units (PUs) - December 31, 2018 Expiry	Neiles, Byron Craig	5	01/03/2017	30		8,526	2,747
Enbridge Inc.	Rights 2017 Performance Units (PUs) - December 31, 2019 Expiry	Neiles, Byron Craig	5	01/06/2003	00			

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Enbridge Inc.	Rights 2017 Performance Units (PUs) - December 31, 2019 Expiry	Neiles, Byron Craig	5	28/02/2017	56		5,600	5,600
Enbridge Inc.	Common Shares	Phelps, Michael E.J.	4	27/02/2017	00		90,428	
Enbridge Inc.	Common Shares	Phelps, Michael E.J.	4	27/02/2017	00		14,167	
Enbridge Inc.	Common Shares	Sayavedra, Laura Buss	5	27/02/2017	00		11,818	
Enbridge Inc.	Common Shares	Sayavedra, Laura Buss	5	27/02/2017	00		5,053	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2015)	Sayavedra, Laura Buss	5	27/02/2017	00		2,000	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2016)	Sayavedra, Laura Buss	5	27/02/2017	00		1,650	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2016)	Sayavedra, Laura Buss	5	27/02/2017	00		1,650	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2017)	Sayavedra, Laura Buss	5	27/02/2017	00		2,125	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2017)	Sayavedra, Laura Buss	5	27/02/2017	00		2,125	
Enbridge Inc.	Rights - Spectra Performance Shares (Feb 2015)	Sayavedra, Laura Buss	5	27/02/2017	00		3,200	
Enbridge Inc.	Rights - Spectra Performance Shares (Feb 2016)	Sayavedra, Laura Buss	5	27/02/2017	00		3,450	
Enbridge Inc.	Common Shares	Shamla, Bradley Francis	5	27/02/2017	00		36,726	
Enbridge Inc.	Common Shares	Shamla, Bradley Francis	5	27/02/2017	00		3,942	
Enbridge Inc.	Common Shares	Shamla, Bradley Francis	5	27/02/2017	00		1,454	
Enbridge Inc.	Options \$19.805 (\$39.61) - February 25, 2019	Shamla, Bradley Francis	5	27/02/2017	00		30,000	
Enbridge Inc.	Options \$20.21 (\$40.42) - February 19, 2018 Expiry	Shamla, Bradley Francis	5	27/02/2017	00		23,000	
Enbridge Inc.	Options \$23.295 (\$46.59) - February 16, 2020 Expiry	Shamla, Bradley Francis	5	27/02/2017	00		25,400	
Enbridge Inc.	Options \$28.775 (\$57.55) - February 14, 2021 Expiry	Shamla, Bradley Francis	5	27/02/2017	00		65,400	
Enbridge Inc.	Options \$38.34 - March 2, 2022 Expiry	Shamla, Bradley Francis	5	27/02/2017	00		50,350	
Enbridge Inc.	Options \$44.83 - February 27, 2023 Expiry	Shamla, Bradley Francis	5	27/02/2017	00		52,000	
Enbridge Inc.	Options USD \$32.56 - March 1, 2026 Expiry	Shamla, Bradley Francis	5	27/02/2017	00		46,830	
Enbridge Inc.	Options USD \$41.64 - February 28, 2027 Expiry	Shamla, Bradley Francis	5	27/02/2017	00		37,510	
Enbridge Inc.	Options USD \$44.09 - March 13, 2024 Expiry	Shamla, Bradley Francis	5	27/02/2017	00		35,500	
Enbridge Inc.	Options USD \$47.41 - March 2, 2025 Expiry	Shamla, Bradley Francis	5	27/02/2017	00		43,210	
Enbridge Inc.	Rights - 2015 Performance Units (PUs) - December 31, 2017 Expiry	Shamla, Bradley Francis	5	27/02/2017	00		2,573	
Enbridge Inc.	Rights 2016 Performance Units (PUs) - December 31, 2018 Expiry	Shamla, Bradley Francis	5	27/02/2017	00		3,410	
Enbridge Inc.	Rights 2017 Performance Units (PUs) - December 31, 2019 Expiry	Shamla, Bradley Francis	5	27/02/2017	00		3,350	
Enbridge Inc.	Common Shares	Yardley, William Turner	5	27/02/2017	00		70,788	
Enbridge Inc.	Common Shares	Yardley, William Turner	5	27/02/2017	00		13,169	
Enbridge Inc.	Options - Spectra (USD \$28.40)	Yardley, William Turner	5	27/02/2017	00		59,900	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2015)	Yardley, William Turner	5	27/02/2017	00		7,950	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2016)	Yardley, William Turner	5	27/02/2017	00		8,250	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2017)	Yardley, William Turner	5	27/02/2017	00		9,100	
Enbridge Inc.	Rights - Spectra LTIP Grant (Feb 2017)	Yardley, William Turner	5	27/02/2017	00		9,100	

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Enbridge Inc.	Rights - Spectra LTIP Grant (Jul 2014)	Yardley, William Turner	5	27/02/2017	00		10,300	
Enbridge Inc.	Rights - Spectra Performance Shares (Feb 2015)	Yardley, William Turner	5	27/02/2017	00		12,550	
Enbridge Inc.	Rights - Spectra Performance Shares (Feb 2016)	Yardley, William Turner	5	27/02/2017	00		14,500	
Enbridge Income Fund Holdings Inc.	Common Shares	Bryson, David Wayne	7	27/02/2017	00		2,513	
Enbridge Income Fund Holdings Inc.	Common Shares	Enbridge Inc.	3	15/02/2017	30	33.27	24,769,723	28,430
Enbridge Income Fund Holdings Inc.	Common Shares	Enbridge Inc.	3	15/02/2017	30	33.95	24,769,746	23
Encana Corporation	Common Shares	Suttles, Douglas James	4, 5	09/03/2017	10	14.01	86,350	1,000
Encana Corporation	Restricted Share Units	Williams, Michael	5	10/03/2017	38		99,660	-11,920
Encana Corporation	Rights - Performance Share Unit Plan	Williams, Michael	5	10/03/2017	38		199,320	-47,681
Encana Corporation	Common Shares	Woitas, Clayton	4, 5	10/03/2017	10	14.11	8,340	1,900
Encana Corporation	Common Shares	Woitas, Clayton	4, 5	10/03/2017	10	14.09	18,750	12,500
Endeavour Silver Corp.	Common Shares	Cooke, Bradford	4, 5	03/03/2017	10	4.48	952,831	20,000
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Performance Units	Bailey Moffitt, Colleen Nancy	5	06/03/2017	56		11,302	4,116
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Performance Units	Boxer, Scott Jason	7	06/03/2017	56		46,258	15,047
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Deferred Share Units	de Wilde, Lisa	4	06/03/2017	56		35,245	3,800
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Performance Units	Krause, Jenine	5	06/03/2017	56		59,217	13,400
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Performance Units	Macdonald, John	5	06/03/2017	56		114,842	30,151
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Deferred Share Units	Palombo, Grace	4	06/03/2017	56		17,542	3,800
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Deferred Share Units	Pantelidis, James	4	06/03/2017	56		165,148	7,600
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Deferred Share Units	Patava, Miroslav Jerry	4	06/03/2017	56		32,408	3,800
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Deferred Share Units	Pearce, Roy	4	06/03/2017	56		67,223	3,800
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Performance Units	Piercy, John Robert	5	06/03/2017	56		8,800	6,595
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Deferred Share Units	Rousseau, Michael Stewart	4	06/03/2017	56		32,408	3,800
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Performance Units	Solway, Lorne Craig	5	06/03/2017	56		14,566	4,841
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Performance Units	Sutherland, Evelyn Louise	5	06/03/2017	56		49,466	11,286
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Performance Units	Toffoletto, John	5	06/03/2017	56		39,904	8,794
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Deferred Share Units	Wells, William M.	4	06/03/2017	56		18,843	3,800
Enercare Inc. (formerly The Consumers' Waterheater Income Fund)	Performance Units	Zaguskin, Irit (Irene)	5	06/03/2017	56		16,172	6,281

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Beebe, Bradley	5	09/03/2017	46	17.73	9,620	4,611
Enerflex Ltd.	Common Shares	Boswell, Robert Stephen	4	08/03/2017	51	12.96	40,946	5,000
Enerflex Ltd.	Common Shares	Boswell, Robert Stephen	4	08/03/2017	10		35,946	-5,000
Enerflex Ltd.	Options	Boswell, Robert Stephen	4	08/03/2017	51	12.96	10,000	-5,000
Enerflex Ltd.	Common Shares	Goertzen, John Blair	4, 5	09/03/2017	51	12.96	82,311	45,000
Enerflex Ltd.	Common Shares	Goertzen, John Blair	4, 5	09/03/2017	10		37,311	-45,000
Enerflex Ltd.	Options	Goertzen, John Blair	4, 5	09/03/2017	51	12.96	980,938	-45,000
Enerflex Ltd.	Common Shares	Marshall, H. Stanley	4	07/03/2017	51	12.96	104,000	5,000
Enerflex Ltd.	Common Shares	Marshall, H. Stanley	4	07/03/2017	10		99,000	-5,000
Enerflex Ltd.	Options	Marshall, H. Stanley	4	07/03/2017	51	12.96	6,000	-5,000
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Martinez, Patricia	5	09/03/2017	46	17.73	29,494	3,856
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Pyle, Phillip	5	09/03/2017	46	17373	13,111	3,638
Enerflex Ltd.	Common Shares	Rossiter, Marc Edward	5	06/03/2017	51	12.96	14,000	7,500
Enerflex Ltd.	Common Shares	Rossiter, Marc Edward	5	06/03/2017	51	11.66	30,000	16,000
Enerflex Ltd.	Options	Rossiter, Marc Edward	5	06/03/2017	51	12.96	272,258	-7,500
Enerflex Ltd.	Options	Rossiter, Marc Edward	5	06/03/2017	51	11.66	256,258	-16,000
Enerflex Ltd.	Rights Deferred Share Units (cash settled)	Rossiter, Marc Edward	5	09/03/2017	46	17.73	18,305	6,076
Energy Credit Opportunities Income Fund	Class A Units	Energy Credit Opportunities Income Fund	1	03/02/2017	38	7.3	1,600	800
Energy Credit Opportunities Income Fund	Class A Units	Energy Credit Opportunities Income Fund	1	06/02/2017	38	7.3	2,400	800
Energy Credit Opportunities Income Fund	Class A Units	Energy Credit Opportunities Income Fund	1	08/02/2017	38	7.3	2,500	100
Energy Credit Opportunities Income Fund	Class A Units	Energy Credit Opportunities Income Fund	1	13/02/2017	38	7.35	3,300	800
Energy Credit Opportunities Income Fund	Class A Units	Energy Credit Opportunities Income Fund	1	14/02/2017	38	7.31	3,500	200
Energy Credit Opportunities Income Fund	Class A Units	Energy Credit Opportunities Income Fund	1	24/02/2017	38	7.3	4,300	800
Energy Credit Opportunities Income Fund	Class A Units	Energy Credit Opportunities Income Fund	1	28/02/2017	38	7.25	5,100	800
Energy Credit Opportunities Income Fund	Class A Units	Energy Credit Opportunities Income Fund	1	28/02/2017	38		1,600	-3,500
Energy Credit Opportunities Income Fund	Class U Units	Energy Credit Opportunities Income Fund	1	06/02/2017	38	7.51	600	600
Energy Credit Opportunities Income Fund	Class U Units	Energy Credit Opportunities Income Fund	1	07/02/2017	38	7.51	1,200	600
Energy Credit Opportunities Income Fund	Class U Units	Energy Credit Opportunities Income Fund	1	08/02/2017	38	7.51	1,800	600
Energy Credit Opportunities Income Fund	Class U Units	Energy Credit Opportunities Income Fund	1	14/02/2017	38	7.49	2,400	600
Energy Credit Opportunities Income Fund	Class U Units	Energy Credit Opportunities Income Fund	1	15/02/2017	38	7.49	3,000	600
Energy Credit Opportunities Income Fund	Class U Units	Energy Credit Opportunities Income Fund	1	16/02/2017	38	7.49	3,600	600
Energy Credit Opportunities Income Fund	Class U Units	Energy Credit Opportunities Income Fund	1	17/02/2017	38	7.47	4,200	600
Energy Credit Opportunities Income Fund	Class U Units	Energy Credit Opportunities Income Fund	1	22/02/2017	38	7.47	4,800	600
Energy Credit Opportunities Income Fund	Class U Units	Energy Credit Opportunities Income Fund	1	23/02/2017	38	7.47	5,400	600
Energy Credit Opportunities Income Fund	Class U Units	Energy Credit Opportunities Income Fund	1	24/02/2017	38	7.42	5,700	300
Energy Credit Opportunities Income Fund	Class U Units	Energy Credit Opportunities Income Fund	1	27/02/2017	38	7.42	6,300	600
Energy Credit Opportunities Income Fund	Class U Units	Energy Credit Opportunities Income Fund	1	28/02/2017	38	7.42	6,900	600
Energy Credit Opportunities Income Fund	Class U Units	Energy Credit Opportunities Income Fund	1	28/02/2017	38		1,500	-5,400

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Enerplus Corporation	Common Shares (Deferred Share Plan ("DSU"))	Barr, David Heckman	4	31/12/2016	30	12.87	44,039	2,400
Enerplus Corporation	Common Shares (Deferred Share Plan ("DSU"))	Culbert, Michael Robert	4	31/12/2016	30	12.87	27,308	1,535
Enerplus Corporation	Common Shares	Daniels, Raymond John	5	04/03/2017	57	11.73	40,324	19,874
Enerplus Corporation	Common Shares	Daniels, Raymond John	5	04/03/2017	10	11.73	20,450	-19,874
Enerplus Corporation	Common Shares	Daniels, Raymond John	5	05/03/2017	57	11.73	25,352	4,902
Enerplus Corporation	Common Shares	Daniels, Raymond John	5	05/03/2017	10	11.73	20,450	-4,902
Enerplus Corporation	Common Shares	Daniels, Raymond John	5	06/03/2017	57	11.73	29,036	8,586
Enerplus Corporation	Common Shares	Daniels, Raymond John	5	06/03/2017	10	11.73	20,450	-8,586
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Daniels, Raymond John	5	03/03/2017	56	12.54	278,558	65,799
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Daniels, Raymond John	5	03/03/2017	56	12.54	100,340	21,933
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Daniels, Raymond John	5	04/03/2017	57		80,837	-19,503
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Daniels, Raymond John	5	05/03/2017	57		76,624	-4,213
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Daniels, Raymond John	5	06/03/2017	57		68,781	-7,843
Enerplus Corporation	Common Shares	DUNDAS, Ian Charles	5	04/03/2017	57	11.73	118,096	38,244
Enerplus Corporation	Common Shares	DUNDAS, Ian Charles	5	04/03/2017	10	11.73	79,852	-38,244
Enerplus Corporation	Common Shares	DUNDAS, Ian Charles	5	05/03/2017	57	11.73	88,845	8,993
Enerplus Corporation	Common Shares	DUNDAS, Ian Charles	5	05/03/2017	10	11.73	79,852	-8,993
Enerplus Corporation	Common Shares	DUNDAS, Ian Charles	5	06/03/2017	57	11.73	96,006	16,154
Enerplus Corporation	Common Shares	DUNDAS, Ian Charles	5	06/03/2017	10	11.73	79,852	-16,154
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	DUNDAS, Ian Charles	5	03/03/2017	56	12.54	561,443	154,754
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	DUNDAS, Ian Charles	5	03/03/2017	56	12.54	201,415	51,585
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	DUNDAS, Ian Charles	5	04/03/2017	57		163,885	-37,530
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	DUNDAS, Ian Charles	5	05/03/2017	57		156,156	-7,729
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	DUNDAS, Ian Charles	5	06/03/2017	57		141,400	-14,756
Enerplus Corporation	Common Shares	Fisher, Nathan Douglas	5	04/03/2017	57	11.73	17,991	8,400
Enerplus Corporation	Common Shares	Fisher, Nathan Douglas	5	04/03/2017	10	11.73	9,591	-8,400
Enerplus Corporation	Common Shares	Fisher, Nathan Douglas	5	05/03/2017	57	11.73	10,579	988
Enerplus Corporation	Common Shares	Fisher, Nathan Douglas	5	05/03/2017	10	11.73	9,591	-988
Enerplus Corporation	Common Shares	Fisher, Nathan Douglas	5	06/03/2017	57	11.73	12,269	2,678
Enerplus Corporation	Common Shares	Fisher, Nathan Douglas	5	06/03/2017	10	11.73	9,591	-2,678
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Fisher, Nathan Douglas	5	03/03/2017	56	12.54	114,277	32,286
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Fisher, Nathan Douglas	5	03/03/2017	56	12.54	41,266	10,762
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Fisher, Nathan Douglas	5	04/03/2017	57		33,023	-8,243
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Fisher, Nathan Douglas	5	05/03/2017	57		32,174	-849

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Fisher, Nathan Douglas	5	06/03/2017	57		29,710	-2,464
Enerplus Corporation	Common Shares	Fitzgerald, Daniel John	5	04/03/2017	57	11.73	48,086	6,736
Enerplus Corporation	Common Shares	Fitzgerald, Daniel John	5	04/03/2017	10	11.73	41,350	-6,736
Enerplus Corporation	Common Shares	Fitzgerald, Daniel John	5	05/03/2017	57	11.73	41,715	365
Enerplus Corporation	Common Shares	Fitzgerald, Daniel John	5	05/03/2017	10	11.73	41,350	-365
Enerplus Corporation	Common Shares	Fitzgerald, Daniel John	5	06/03/2017	57	11.73	43,463	2,113
Enerplus Corporation	Common Shares	Fitzgerald, Daniel John	5	06/03/2017	10	11.73	41,350	-2,113
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Fitzgerald, Daniel John	5	03/03/2017	56	12.54	90,749	24,222
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Fitzgerald, Daniel John	5	03/03/2017	56	12.54	32,105	8,074
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Fitzgerald, Daniel John	5	04/03/2017	57		25,495	-6,610
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Fitzgerald, Daniel John	5	05/03/2017	57		25,181	-314
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Fitzgerald, Daniel John	5	06/03/2017	57		23,238	-1,943
Enerplus Corporation	Common Shares (Deferred Share Plan ("DSU"))	Foulkes, Hilary, Amber	4	31/12/2016	30	12.87	27,308	1,535
Enerplus Corporation	Common Shares (Deferred Share Plan ("DSU"))	Hodgins, Robert Bruce	4	31/12/2016	30	12.87	16,731	865
Enerplus Corporation	Common Shares	Hoffman, John Edward	5	04/03/2017	57	11.73	17,840	8,045
Enerplus Corporation	Common Shares	Hoffman, John Edward	5	04/03/2017	10	11.73	9,795	-8,045
Enerplus Corporation	Common Shares	Hoffman, John Edward	5	06/03/2017	57	11.73	15,197	5,402
Enerplus Corporation	Common Shares	Hoffman, John Edward	5	06/03/2017	10	11.73	9,795	-5,402
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Hoffman, John Edward	5	03/03/2017	56	12.54	106,587	24,671
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Hoffman, John Edward	5	03/03/2017	56	12.54	41,963	8,224
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Hoffman, John Edward	5	04/03/2017	57		34,068	-7,895
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Hoffman, John Edward	5	06/03/2017	57		29,040	-5,028
Enerplus Corporation	Common Shares	Jenson Labrie, Jodine Julene	5	04/03/2017	57	11.73	38,265	15,797
Enerplus Corporation	Common Shares	Jenson Labrie, Jodine Julene	5	04/03/2017	10	11.73	22,468	-15,797
Enerplus Corporation	Common Shares	Jenson Labrie, Jodine Julene	5	05/03/2017	57	11.73	24,150	1,682
Enerplus Corporation	Common Shares	Jenson Labrie, Jodine Julene	5	05/03/2017	10	11.73	22,468	-1,682
Enerplus Corporation	Common Shares	Jenson Labrie, Jodine Julene	5	06/03/2017	57	11.73	27,413	4,945
Enerplus Corporation	Common Shares	Jenson Labrie, Jodine Julene	5	06/03/2017	10	11.73	22,468	-4,945
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Jenson Labrie, Jodine Julene	5	03/03/2017	56	12.54	216,808	63,160
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Jenson Labrie, Jodine Julene	5	03/03/2017	56	12.54	78,233	21,053
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Jenson Labrie, Jodine Julene	5	04/03/2017	57		62,731	-15,502

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Jenson Labrie, Jodine Julene	5	05/03/2017	57		61,285	-1,446
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Jenson Labrie, Jodine Julene	5	06/03/2017	57		56,670	-4,615
Enerplus Corporation	Common Shares	Le Dain, Eric G.C.	5	04/03/2017	57	11.73	34,992	19,896
Enerplus Corporation	Common Shares	Le Dain, Eric G.C.	5	04/03/2017	10	11.73	15,096	-19,896
Enerplus Corporation	Common Shares	Le Dain, Eric G.C.	5	05/03/2017	57	11.73	19,642	4,546
Enerplus Corporation	Common Shares	Le Dain, Eric G.C.	5	05/03/2017	10	11.73	15,096	-4,546
Enerplus Corporation	Common Shares	Le Dain, Eric G.C.	5	06/03/2017	57	11.73	23,419	8,323
Enerplus Corporation	Common Shares	Le Dain, Eric G.C.	5	06/03/2017	10	11.73	15,096	-8,323
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Le Dain, Eric G.C.	5	03/03/2017	56	12.54	284,239	73,217
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Le Dain, Eric G.C.	5	03/03/2017	56	12.54	102,089	24,406
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Le Dain, Eric G.C.	5	04/03/2017	57		82,565	-19,524
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Le Dain, Eric G.C.	5	05/03/2017	57		78,658	-3,907
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Le Dain, Eric G.C.	5	06/03/2017	57		71,055	-7,603
Enerplus Corporation	Common Shares (Deferred Share Plan ("DSU"))	MacKenzie, Susan Mary	4	31/12/2016	30	12.87	44,039	2,400
Enerplus Corporation	Common Shares	MCCOY, David	5	04/03/2017	57	11.73	24,932	8,102
Enerplus Corporation	Common Shares	MCCOY, David	5	04/03/2017	10	11.73	16,830	-8,102
Enerplus Corporation	Common Shares	MCCOY, David	5	05/03/2017	57	11.73	19,394	2,564
Enerplus Corporation	Common Shares	MCCOY, David	5	05/03/2017	10	11.73	16,830	-2,564
Enerplus Corporation	Common Shares	MCCOY, David	5	06/03/2017	57	11.73	20,710	3,880
Enerplus Corporation	Common Shares	MCCOY, David	5	06/03/2017	10	11.73	16,830	-3,880
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	MCCOY, David	5	03/03/2017	56	12.54	118,882	29,504
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	MCCOY, David	5	03/03/2017	56	12.54	42,977	9,835
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	MCCOY, David	5	04/03/2017	57		35,026	-7,951
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	MCCOY, David	5	05/03/2017	57		32,822	-2,204
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	MCCOY, David	5	06/03/2017	57		29,278	-3,544
Enerplus Corporation	Common Shares	McLaughlin, Edward	5	04/03/2017	57	11.73	23,017	11,992
Enerplus Corporation	Common Shares	McLaughlin, Edward	5	04/03/2017	10	11.73	11,025	-11,992
Enerplus Corporation	Common Shares	McLaughlin, Edward	5	05/03/2017	57	11.73	13,897	2,872
Enerplus Corporation	Common Shares	McLaughlin, Edward	5	05/03/2017	10	11.73	11,025	-2,872
Enerplus Corporation	Common Shares	McLaughlin, Edward	5	06/03/2017	57	11.73	16,556	5,531
Enerplus Corporation	Common Shares	McLaughlin, Edward	5	06/03/2017	10	11.73	11,025	-5,531
Enerplus Corporation	Common Shares	McLaughlin, Edward	5	09/03/2017	10	8.14		3,240
Enerplus Corporation	Common Shares	McLaughlin, Edward	5	09/03/2017	10	8.2354	14,265	3,240
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	McLaughlin, Edward	5	03/03/2017	56	12.54		43,213
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	McLaughlin, Edward	5	03/03/2017	56	12.54	172,666	43,213

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	McLaughlin, Edward	5	03/03/2017	56	12.54	62,277	14,404
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	McLaughlin, Edward	5	04/03/2017	57		50,509	-11,768
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	McLaughlin, Edward	5	05/03/2017	57		48,041	-2,468
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	McLaughlin, Edward	5	06/03/2017	57		42,989	-5,052
Enerplus Corporation	Common Shares	Morihira, Shaina Brianne	5	31/12/2016	30	5.72		731
Enerplus Corporation	Common Shares	Morihira, Shaina Brianne	5	31/12/2016	30	7.81	15,744	744
Enerplus Corporation	Common Shares	Morihira, Shaina Brianne	5	04/03/2017	57	11.73	19,111	3,367
Enerplus Corporation	Common Shares	Morihira, Shaina Brianne	5	04/03/2017	10	11.73	15,744	-3,367
Enerplus Corporation	Common Shares	Morihira, Shaina Brianne	5	06/03/2017	57	11.73	22,252	6,508
Enerplus Corporation	Common Shares	Morihira, Shaina Brianne	5	06/03/2017	10	11.73	15,744	-6,508
Enerplus Corporation	Common Shares (Performance Share Unit Plan ("PSU"))	Morihira, Shaina Brianne	5	03/03/2017	56	12.54	43,075	12,261
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Morihira, Shaina Brianne	5	03/03/2017	56	12.54	26,326	4,087
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Morihira, Shaina Brianne	5	04/03/2017	57		23,022	-3,304
Enerplus Corporation	Common Shares (Restricted Share Unit Plan ("RSU"))	Morihira, Shaina Brianne	5	06/03/2017	57	11.73	16,859	-6,163
Enerplus Corporation	Common Shares (Deferred Share Plan ("DSU"))	Pew, Elliott	4	31/12/2016	30	12.87	58,742	3,225
Enerplus Corporation	Common Shares (Deferred Share Plan ("DSU"))	Steeves, Sheldon Brooks	4	31/12/2016	30	12.87	40,860	2,400
Ensign Energy Services Inc.	Options	Connors, Thomas Joseph	7	10/03/2017	50	7.98	275,000	50,000
Ensign Energy Services Inc.	Options	Geddes, Robert Harold	5	10/03/2017	50	7.98	800,000	150,000
Ensign Energy Services Inc.	Options	Gray, Michael	5	10/03/2017	50	7.98	70,000	50,000
Ensign Energy Services Inc.	Options	Johannessen, Brage	7	10/03/2017	50	7.98	250,000	50,000
Ensign Energy Services Inc.	Options	Kautz, Edward	5	10/03/2017	50	7.98	437,500	62,500
Ensign Energy Services Inc.	Options	Nuss, Michael Rudy	7	10/03/2017	50	7.98	300,000	50,000
EnWave Corporation	Options	Anand, Beenu	5	06/03/2017	50		675,000	200,000
EnWave Corporation	Options	Budreski, John Philip Adrian	4	06/03/2017	50		1,350,000	200,000
EnWave Corporation	Restricted Share Units	Budreski, John Philip Adrian	4	23/06/2014	00			
EnWave Corporation	Restricted Share Units	Budreski, John Philip Adrian	4	06/03/2017	56		40,000	40,000
EnWave Corporation	Options	Durance, Timothy Douglas	4, 5	06/03/2017	50		725,000	200,000
EnWave Corporation	Restricted Share Units	Durance, Timothy Douglas	4, 5	15/06/2003	00			
EnWave Corporation	Restricted Share Units	Durance, Timothy Douglas	4, 5	06/03/2017	50		40,000	40,000
EnWave Corporation	Options	Henriques, Daniel Stephen	5	06/03/2017	50		460,000	200,000
EnWave Corporation	Restricted Share Units	Henriques, Daniel Stephen	5	06/03/2017	56		35,000	20,000
EnWave Corporation	Options	Sandberg, Gary Melvin Michael	4	06/03/2017	50		600,000	200,000
eQube Gaming Limited	Common Shares	janko, andrew charles	4	01/03/2017	10	0.15		11,000
eQube Gaming Limited	Common Shares	janko, andrew charles	4	01/03/2017	10	0.15		11,000
eQube Gaming Limited	Common Shares	janko, andrew charles	4	08/03/2017	10	0.145	2,965,271	5,000
Equitable Group Inc.	Common Shares	Farella, Isabelle	5	07/03/2017	51	36.11	602	433
Equitable Group Inc.	Common Shares	Farella, Isabelle	5	07/03/2017	10	69.56	169	-433
Equitable Group Inc.	Common Shares	Farella, Isabelle	5	07/03/2017	51	52.9	1,040	871
Equitable Group Inc.	Common Shares	Farella, Isabelle	5	07/03/2017	10	69.56	169	-871
Equitable Group Inc.	Common Shares	Farella, Isabelle	5	10/03/2017	51	52.9	605	436
Equitable Group Inc.	Common Shares	Farella, Isabelle	5	10/03/2017	10	70.59	1,041	436
Equitable Group Inc.	Options Options granted	Farella, Isabelle	5	07/03/2017	51	36.11	6,248	-433
Equitable Group Inc.	Options Options granted	Farella, Isabelle	5	07/03/2017	51	52.9	5,377	-871



**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Equitable Group Inc.	Options Options granted	Farella, Isabelle	5	10/03/2017	51	52.9	4,941	-436
Equitable Group Inc.	Common Shares	Kirzner, Eric Franklin	4	10/03/2017	10	70.01	1,369	-300
Equitable Group Inc.	Common Shares	Malozewski, Tamara	7	03/03/2017	10	71.5	367	-1,800
Espial Group Inc.	Common Shares	Espial Group Inc	1	02/02/2017	38	2.34	5,000	5,000
Espial Group Inc.	Common Shares	Espial Group Inc	1	02/02/2017	38	2.3	10,000	5,000
Espial Group Inc.	Common Shares	Espial Group Inc	1	03/02/2017	38	2.28	12,700	2,700
Espial Group Inc.	Common Shares	Espial Group Inc	1	03/02/2017	38	2.28	20,000	7,300
Espial Group Inc.	Common Shares	Espial Group Inc	1	06/03/2017	38		0	-20,000
European Commercial Real Estate Limited	Common Shares	Schwartz, Thomas	3, 4	31/01/2017	11	0.1		150,000
European Commercial Real Estate Limited	Common Shares	Schwartz, Thomas	3, 4	07/10/2016	00			
European Commercial Real Estate Limited	Common Shares	Schwartz, Thomas	3, 4	31/01/2017	11	0.1	150,000	150,000
Exeter Resource Corporation	Common Shares	Roxburgh, Bryce	4, 5	06/03/2017	10	1.54	3,853,900	76,400
Exeter Resource Corporation	Common Shares	Roxburgh, Bryce	4, 5	07/03/2017	10	1.5	3,877,500	23,600
Exeter Resource Corporation	Common Shares	Sun Valley Gold LLC	3	07/03/2017	10	1.1096	13,140,750	1,300
Exeter Resource Corporation	Common Shares	Sun Valley Gold LLC	3	08/03/2017	10	1.1532	13,149,128	8,378
Exeter Resource Corporation	Common Shares	Sun Valley Gold LLC	3	09/03/2017	10	1.059	13,183,128	34,000
Exeter Resource Corporation	Common Shares	Sun Valley Gold LLC	3	10/03/2017	10	1.067	13,194,953	11,825
Fairfax Africa Holdings Corporation	Subordinate Voting Shares	Lace, Roger	7	02/03/2017	10	9.8	20,000	10,000
Fineqia International Inc.	Common Shares	Graham, Martin Paul	4	27/02/2017	00			
Finning International Inc.	Common Shares	Finning International Inc.	1	28/02/2017	38		89,900	89,900
Finning International Inc.	Common Shares	Finning International Inc.	1	06/03/2017	38		0	-89,900
First Mexican Gold Corp.	Common Shares	Voisin, James Arthur Robert	4	10/03/2017	10	0.03	3,081,717	-7,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	01/03/2017	10	57.123		100
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	02/03/2017	10	57.12	14,446	1,554
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	02/03/2017	10	57.071	14,946	500
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	02/03/2017	10	57.05	15,937	991
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	02/03/2017	10	57.1	18,937	3,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	02/03/2017	10	57.07	19,143	206
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	02/03/2017	10	57.25	19,643	500
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	02/03/2017	10	57.17	20,643	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	02/03/2017	10	57.14	22,143	1,500
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	02/03/2017	10	57.2	23,784	1,641
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	02/03/2017	10	57.15	25,784	2,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	02/03/2017	10	57		200
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	57.28	26,076	292
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	15.15	27,077	1,001
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	57.124	27,177	100
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	57.2	27,977	800
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	57.15	28,177	200
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	57.123	28,277	100
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	57.05	29,477	1,200

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FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	56.84	30,477	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	57.101	30,953	476
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	57	31,153	200
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	56.824	31,953	800
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	56.795	32,053	100
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	56.65	32,553	500
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	56.8	34,653	2,100
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	56.75	35,653	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	56.65	35,753	100
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	56.55	36,277	524
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	03/03/2017	10	56.57	38,277	2,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	06/03/2017	10	56.38	39,277	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	06/03/2017	10	56.32	39,864	587
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	06/03/2017	10	56.25	40,277	413
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	06/03/2017	10	56.35	41,277	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	06/03/2017	10	56.3	42,809	1,532
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	06/03/2017	10	56.41	43,169	360
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	06/03/2017	10	56.5	44,169	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	06/03/2017	10	56.446	45,169	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	06/03/2017	10	56.4	46,169	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	06/03/2017	10	56.45	50,169	4,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	06/03/2017	10	56.42	51,169	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	55.764	51,219	50
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	56.3	52,219	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	56.25	54,111	1,892
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	56.22	55,111	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	56.28	58,111	3,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	56.24	59,111	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	56.2	60,111	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	56.13	61,111	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	55.84	61,161	50
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	56.18	62,161	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	56.1	63,161	1,000

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FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	55.53	63,661	500
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	55.797	63,961	300
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	55.777	64,061	100
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	55.797	64,161	100
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	07/03/2017	10	55.792	64,511	350
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	08/03/2017	10	55.58	65,511	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	08/03/2017	10	55.92	66,011	500
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	08/03/2017	10	55.88	66,911	900
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	08/03/2017	10	55.8	68,011	1,100
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	08/03/2017	10	55.9	69,364	1,353
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	08/03/2017	10	55.85	71,164	1,800
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	08/03/2017	10	55.775	71,664	500
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	08/03/2017	10	55.65	71,864	200
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	08/03/2017	10	55.6	72,864	1,000
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	08/03/2017	10	55.65	74,664	1,800
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	08/03/2017	10	55.75	74,811	147
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	08/03/2017	10	55.63	76,203	1,392
FIRSTSERVICE CORPORATION	Subordinate Voting Shares	FirstService Corporation	1	08/03/2017	10	55.83	77,403	1,200
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	02/02/2017	38	12.22	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	02/02/2017	38	12.22	0	-3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	03/02/2017	38	12.22	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	03/02/2017	38	12.12		-3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	03/02/2017	38	12.22	0	-3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	07/02/2017	38	12.14	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	07/02/2017	38	12.14	0	-3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	08/02/2017	38	12.22	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	08/02/2017	38	12.22	0	-3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	09/02/2017	38	12.2	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	09/02/2017	38	12.2	0	-3,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	10/02/2017	38	12.21	100	100
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	10/02/2017	38	12.21	0	-100
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	10/02/2017	38	12.22	2,900	2,900
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	10/02/2017	38	12.22	0	-2,900
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	13/02/2017	38	12.2	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	13/02/2017	38	12.2	0	-3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	14/02/2017	38	12.1	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	14/02/2017	38	12.1	0	-3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	15/02/2017	38	12.1	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	15/02/2017	38	12.1	0	-3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	21/02/2017	38	12.12	500	500
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	21/02/2017	38	12.12	0	-500
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	24/02/2017	38	12.29	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	24/02/2017	38	12.29	0	-3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	27/02/2017	38	12.25	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	27/02/2017	38	12.25	0	-3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	28/02/2017	38	12.18	3,000	3,000
Flaherty & Crumrine Investment Grade Fixed Income Fund	Trust Units	Flaherty & Crumrine Investment Grade Fixed Income Fund	1	28/02/2017	38	12.18	0	-3,000
Foraco International SA	Common Shares	Foraco International SA	1	01/02/2017	38	0.38	252,902	1,639
Foraco International SA	Common Shares	Foraco International SA	1	02/02/2017	38	0.38	254,541	1,639
Foraco International SA	Common Shares	Foraco International SA	1	03/02/2017	38	0.38	256,180	1,639
Foraco International SA	Common Shares	Foraco International SA	1	10/02/2017	38	0.38	257,819	1,639
Foraco International SA	Common Shares	Foraco International SA	1	13/02/2017	38	0.38	259,458	1,639
Foraco International SA	Common Shares	Foraco International SA	1	24/02/2017	38	0.38	260,458	1,000
Foran Mining Corporation	Options	Dowdall, Sharon Elizabeth	4	24/01/2017	52		585,000	-100,000
Foran Mining Corporation	Options	Dowdall, Sharon Elizabeth	4	09/03/2017	50		765,000	180,000
Foran Mining Corporation	Options	Fleming, David Bruce	5	24/01/2017	52		750,000	-100,000
Foran Mining Corporation	Options	Fleming, David Bruce	5	09/03/2017	50		930,000	180,000
Foran Mining Corporation	Options	March, Roger Bursell	5	24/01/2017	52		750,000	-100,000
Foran Mining Corporation	Options	March, Roger Bursell	5	09/03/2017	50	0.4	930,000	180,000
Foran Mining Corporation	Options	Morcombe, Darren James	4	24/01/2017	52		950,000	-100,000
Foran Mining Corporation	Options	Morcombe, Darren James	4	09/03/2017	50		1,130,000	180,000

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Foran Mining Corporation	Options	Petroff, David Michael	4	09/03/2017	50		885,000	260,000
Foran Mining Corporation	Common Shares	Soares, Patrick	5	10/03/2017	54		3,995,000	262,500
Foran Mining Corporation	Common Shares	Soares, Patrick	5	10/03/2017	47		3,732,500	-262,500
Foran Mining Corporation	Options	Soares, Patrick	5	24/01/2017	52		1,175,000	-100,000
Foran Mining Corporation	Options	Soares, Patrick	5	09/03/2017	50		1,355,000	180,000
Foran Mining Corporation	Warrants	Soares, Patrick	5	10/03/2017	54		0	-262,500
Foran Mining Corporation	Common Shares	Tagami, Maurice Alan	4	10/03/2017	54		631,500	125,000
Foran Mining Corporation	Options	Tagami, Maurice Alan	4	24/01/2017	52			-100,000
Foran Mining Corporation	Options	Tagami, Maurice Alan	4	24/01/2017	52		585,000	-100,000
Foran Mining Corporation	Options	Tagami, Maurice Alan	4	09/03/2017	50		765,000	180,000
Foran Mining Corporation	Warrants	Tagami, Maurice Alan	4	10/03/2017	54		59,000	-125,000
Foran Mining Corporation	Options	Thiessen, Tim	5	24/01/2017	52	0.8	1,230,000	100,000
Foran Mining Corporation	Options	Thiessen, Tim	5	09/03/2017	50	0.4	1,410,000	180,000
Fortis Inc.	Common Shares	Ernst, Albert	7	02/03/2017	10		9,878	90
Fortis Inc.	Common Shares	Roberts, Jamie	7	06/03/2017	51	34.27	32,607	1,320
Fortis Inc.	Common Shares	Roberts, Jamie	7	06/03/2017	51	30.73	34,886	2,279
Fortis Inc.	Options	Roberts, Jamie	7	06/03/2017	51		44,058	-1,320
Fortis Inc.	Options	Roberts, Jamie	7	06/03/2017	51		41,779	-2,279
Fox River Resources Corporation	Common Shares	Case, Stephen Dunbar	3, 4, 5	09/03/2017	10	0.12	7,452,542	13,500
Franco-Nevada Corporation	Rights Restricted Share Units (Time-based)	O'Connell, Jason	5	01/05/2016	00			
Franco-Nevada Corporation	Rights Restricted Share Units (Time-based)	O'Connell, Jason	5	01/05/2016	00		1,585	
Front Range Resources Ltd.	Common Shares	Todd, Robert Hamish Owen	5	03/03/2017	00		40,000	
Front Range Resources Ltd.	Common Shares	Todd, Robert Hamish Owen	5	03/03/2017	00		207,347	
Front Range Resources Ltd.	Common Shares	Todd, Robert Hamish Owen	5	03/03/2017	00		228,034	
Front Range Resources Ltd.	Options	Todd, Robert Hamish Owen	5	03/03/2017	00		493,150	
Frontenac Mortgage Investment Corporation	Common Shares	Barnes, Robert	4	03/03/2017	15	30	51,127	60
Frontenac Mortgage Investment Corporation	Common Shares	Cruickshank, Kevin	5	03/03/2017	15	30	13,948	63
Frontenac Mortgage Investment Corporation	Common Shares	Jacobs, Sheldon	4	03/03/2017	15	30	14,040	7
Frontenac Mortgage Investment Corporation	Common Shares	REISER, DAWN	5	03/03/2017	15	30	1,087	98
Galway Metals Inc.	Common Shares	Hinchcliffe, Robert	3, 4, 5	06/03/2017	10	0.38	9,372,384	2,000
Galway Metals Inc.	Common Shares	Hinchcliffe, Robert	3, 4, 5	08/03/2017	10	0.42	9,382,384	10,000
Galway Metals Inc.	Common Shares	Hinchcliffe, Robert	3, 4, 5	08/03/2017	10	0.38	9,383,384	1,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	01/02/2017	38	0.41	152,500	500
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	02/02/2017	38	0.45	182,500	30,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	02/02/2017	38	0.445	192,500	10,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	02/02/2017	38	0.45	229,500	37,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	02/02/2017	38	0.48	294,500	65,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	02/02/2017	38	0.475	321,000	26,500
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	03/02/2017	38	0.475	330,000	9,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	03/02/2017	38	0.48	331,000	1,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	06/02/2017	38	0.48	337,500	6,500
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	07/02/2017	38	0.48	353,000	15,500
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	08/02/2017	38	0.48	358,000	5,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	09/02/2017	38	0.48	360,000	2,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	13/02/2017	38	0.5	456,000	96,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	13/02/2017	38	0.495	471,500	15,500
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	13/02/2017	38	0.49		15,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	13/02/2017	38	0.49		15,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	13/02/2017	38	0.49	486,500	15,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	13/02/2017	38	0.48	491,000	4,500
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	16/02/2017	38	0.5	554,000	63,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	21/02/2017	38	0.49	555,000	1,000
Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	22/02/2017	38	0.5	563,500	8,500

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Gaming Nation Inc.	Common Shares	Gaming Nation Inc.	1	28/02/2017	38		0	-563,500
Gaming Nation Inc.	Common Shares	Kennedy, Benedict	5	01/03/2017	00			
Gaming Nation Inc.	Options	Kennedy, Benedict	5	01/03/2017	00		20,000	
Gear Energy Ltd.	Common Shares	Kaluski, Jason John Kenneth	5	03/03/2017	10	0.92		4,240
Gear Energy Ltd.	Common Shares	Kaluski, Jason John Kenneth	5	03/03/2017	10	0.92	173,983	5,215
GeneNews Limited	Options	Stewart, Thomas David	4	31/12/2016	52	0.135	383,333	-166,667
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	01/02/2017	38	2.81	2,972	1,486
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	02/02/2017	38	2.81	4,458	1,486
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	03/02/2017	38	2.8006	5,944	1,486
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	08/02/2017	38	2.92	6,544	600
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	09/02/2017	38	2.929	7,830	1,286
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	09/02/2017	38	2.95	8,030	200
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	13/02/2017	38	2.85	8,530	500
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	14/02/2017	38	2.85	8,930	400
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	15/02/2017	38	2.95	10,416	1,486
Genesis Land Development Corp.	Common Shares	Genesis Land Development Corp.	1	28/02/2017	38		3,872	-6,544
Genius Properties Ltd.	Common Shares	Gravel, Jimmy	4, 5	03/03/2017	90		275,000	-225,000
Genius Properties Ltd.	Common Shares	Gravel, Jimmy	4, 5	09/09/2016	00			
Genius Properties Ltd.	Common Shares	Gravel, Jimmy	4, 5	03/03/2017	90		225,000	225,000
Genworth MI Canada Inc.	Performance Share Unit	Artinian, Vania	7	08/03/2017	35	38.27	2,270	25
Genworth MI Canada Inc.	Restricted Share Units	Artinian, Vania	7	08/03/2017	35	38.27	2,270	25
Genworth MI Canada Inc.	Deferred Share Units	Bolger, Andrea Elaine	7	08/03/2017	35	38.27	823	9
Genworth MI Canada Inc.	Common Shares	Carbonelli, Cecilia	7	08/03/2017	35	38.27	1,255	13
Genworth MI Canada Inc.	Performance Share Unit	Carbonelli, Cecilia	7	08/03/2017	35	38.27	2,607	29
Genworth MI Canada Inc.	Restricted Share Units	Carbonelli, Cecilia	7	08/03/2017	35	38.27	2,608	29
Genworth MI Canada Inc.	Performance Share Unit	Hewat, Mary-Jo	5	08/03/2017	35	38.27	2,138	24
Genworth MI Canada Inc.	Restricted Share Units	Hewat, Mary-Jo	5	08/03/2017	35	38.27	2,878	32
Genworth MI Canada Inc.	Deferred Share Units	Horn, Sidney M.	4	08/03/2017	35	38.27	27,835	316
Genworth MI Canada Inc.	Common Shares	Hurley, Brian Leo	4, 5	13/02/2017	51	37.43		51,100
Genworth MI Canada Inc.	Common Shares	Hurley, Brian Leo	4, 5	13/02/2017	51	37.43	129,823	50,000
Genworth MI Canada Inc.	Common Shares	Hurley, Brian Leo	4, 5	13/02/2017	10	37.43		-51,100
Genworth MI Canada Inc.	Common Shares	Hurley, Brian Leo	4, 5	13/02/2017	10	37.43	79,823	-50,000
Genworth MI Canada Inc.	Common Shares	Hurley, Brian Leo	4, 5	08/03/2017	35	38.27	80,262	439
Genworth MI Canada Inc.	Executive DSU	Hurley, Brian Leo	4, 5	08/03/2017	35	38.27	22,039	250
Genworth MI Canada Inc.	Options	Hurley, Brian Leo	4, 5	13/02/2017	51	37.43		-51,100
Genworth MI Canada Inc.	Options	Hurley, Brian Leo	4, 5	13/02/2017	51	37.43	407,800	-50,000
Genworth MI Canada Inc.	Deferred Share Units	Kelly, Brian Michael	4, 7	08/03/2017	35	38.27	16,326	185
Genworth MI Canada Inc.	Common Shares	Levings, Stuart Kendrick	4	08/03/2017	35	38.27	12,621	101
Genworth MI Canada Inc.	Performance Share Unit	Levings, Stuart Kendrick	4	08/03/2017	35	38.27	29,892	339
Genworth MI Canada Inc.	Restricted Share Units	Levings, Stuart Kendrick	4	08/03/2017	35	38.27	14,889	169
Genworth MI Canada Inc.	Performance Share Unit	Macdonell, Winsor James	5	08/03/2017	35	38.27	9,037	102
Genworth MI Canada Inc.	Restricted Share Units	Macdonell, Winsor James	5	08/03/2017	35	38.27	4,572	51
Genworth MI Canada Inc.	Common Shares	Mayers, Philip Adrian Virgil	5	08/03/2017	35	38.27	17,477	1
Genworth MI Canada Inc.	Common Shares	Mayers, Philip Adrian Virgil	5	09/03/2017	51	39	23,577	6,100
Genworth MI Canada Inc.	Common Shares	Mayers, Philip Adrian Virgil	5	09/03/2017	10	39	17,477	-6,100
Genworth MI Canada Inc.	Executive DSU	Mayers, Philip Adrian Virgil	5	08/03/2017	35	38.27	18,691	212
Genworth MI Canada Inc.	Options	Mayers, Philip Adrian Virgil	5	09/03/2017	51	39	179,200	-6,100
Genworth MI Canada Inc.	Performance Share Unit	Mayers, Philip Adrian Virgil	5	08/03/2017	35	38.27	14,763	167
Genworth MI Canada Inc.	Restricted Share Units	Mayers, Philip Adrian Virgil	5	08/03/2017	35	38.27	7,437	84

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Genworth MI Canada Inc.	Common Shares	McPherson, Deborah Lynn	5	08/03/2017	35	38.27	12,249	23
Genworth MI Canada Inc.	Executive DSU	McPherson, Deborah Lynn	5	08/03/2017	35	38.27	5,068	57
Genworth MI Canada Inc.	Performance Share Unit	McPherson, Deborah Lynn	5	08/03/2017	35	38.27	9,607	109
Genworth MI Canada Inc.	Restricted Share Units	McPherson, Deborah Lynn	5	08/03/2017	35	38.27	4,747	53
Genworth MI Canada Inc.	Performance Share Unit	Neziol, Jason	7	08/03/2017	35	38.27	704	8
Genworth MI Canada Inc.	Restricted Share Units	Neziol, Jason	7	08/03/2017	35	38.27	3,363	38
Genworth MI Canada Inc.	Deferred Share Units	Nicol, Heather	4	08/03/2017	35	38.27	3,239	36
Genworth MI Canada Inc.	Restricted Share Units	Pinto, Jonathan	5	08/03/2017	35	38.27	2,754	31
Genworth MI Canada Inc.	Restricted Share Units	Piroli, Robert John	5	08/03/2017	35	38.27	3,084	35
Genworth MI Canada Inc.	Performance Share Unit	Spitali, Jim	7	08/03/2017	35	38.27	679	7
Genworth MI Canada Inc.	Restricted Share Units	Spitali, Jim	7	08/03/2017	35	38.27	1,197	13
Genworth MI Canada Inc.	Common Shares	Sweeney, Craig	5	08/03/2017	35	38.27	8,281	33
Genworth MI Canada Inc.	Performance Share Unit	Sweeney, Craig	5	08/03/2017	35	38.27	7,444	84
Genworth MI Canada Inc.	Restricted Share Units	Sweeney, Craig	5	08/03/2017	35	38.27	3,777	42
Genworth MI Canada Inc.	Deferred Share Units	Walker, John Logan	4	08/03/2017	35	38.27	15,676	178
Genworth MI Canada Inc.	Performance Share Unit	Watson, Timothy Scott	7	10/02/2017	00			
Genworth MI Canada Inc.	Performance Share Unit	Watson, Timothy Scott	7	10/02/2017	00		3,051	
Genworth MI Canada Inc.	Performance Share Unit	Watson, Timothy Scott	7	08/03/2017	35	38.27	3,086	35
Genworth MI Canada Inc.	Restricted Share Units	Watson, Timothy Scott	7	10/02/2017	00			
Genworth MI Canada Inc.	Restricted Share Units	Watson, Timothy Scott	7	10/02/2017	00		3,051	
Genworth MI Canada Inc.	Restricted Share Units	Watson, Timothy Scott	7	08/03/2017	35	38.27	3,086	35
Genworth MI Canada Inc.	Performance Share Unit	Williams, Aaron James Glyndwr	7	10/02/2017	00			
Genworth MI Canada Inc.	Performance Share Unit	Williams, Aaron James Glyndwr	7	10/02/2017	00		2,529	
Genworth MI Canada Inc.	Performance Share Unit	Williams, Aaron James Glyndwr	7	08/03/2017	35	38.27	2,558	29
Genworth MI Canada Inc.	Restricted Share Units	Williams, Aaron James Glyndwr	7	10/02/2017	00			
Genworth MI Canada Inc.	Restricted Share Units	Williams, Aaron James Glyndwr	7	10/02/2017	00		2,529	
Genworth MI Canada Inc.	Restricted Share Units	Williams, Aaron James Glyndwr	7	08/03/2017	35	38.27	2,558	29
Geologix Explorations Inc.	Options	Brack, George Leslie	4	01/03/2017	50		2,100,000	350,000
George Weston Limited	Rights -Deferred Share Units	Weston, Willard Galen Garfield	4	11/10/2016	56			461
George Weston Limited	Rights -Deferred Share Units	Weston, Willard Galen Garfield	4	11/10/2016	56		1,139	568
George Weston Limited	Rights -Deferred Share Units	Weston, Willard Galen Garfield	4	03/01/2017	56			441
George Weston Limited	Rights -Deferred Share Units	Weston, Willard Galen Garfield	4	03/01/2017	56		2,024	881
Getty Copper Inc.	Options	Milburn, Dennis William	4	14/08/2009	50	0.1		250,000
Getty Copper Inc.	Options	Milburn, Dennis William	4	14/08/2009	50	0.1	250,000	250,000
Getty Copper Inc.	Options	Milburn, Dennis William	4	20/06/2014	50	0.05		311,850
Getty Copper Inc.	Options	Milburn, Dennis William	4	20/06/2014	50	0.05	561,850	311,850
Getty Copper Inc.	Options	Milburn, Dennis William	4	14/08/2014	52	0.1		250,000
Getty Copper Inc.	Options	Milburn, Dennis William	4	14/08/2014	52	0.1	311,850	-250,000
Getty Copper Inc.	Options	Milburn, Dennis William	4	03/06/2016	50	0.05	1,500,000	1,000,000
Gitennes Exploration Inc.	Common Shares	booth, kenneth david	4, 5	10/03/2017	10	0.055	3,411,000	50,000
Giyani Gold Corp.	Common Shares	Parnham, Duane	4, 5	03/11/2010	00			
Giyani Gold Corp.	Common Shares	Parnham, Duane	4, 5	09/03/2017	16	0.35	205,714	205,714
Giyani Gold Corp.	Warrants	Parnham, Duane	4, 5	03/11/2010	00			
Giyani Gold Corp.	Warrants	Parnham, Duane	4, 5	09/03/2017	16		102,857	102,857
Glance Technologies Inc.	Common Shares	Green, Penny Olga	3, 4, 6, 5	03/03/2017	46	0.24	12,265,339	298,958
Glance Technologies Inc.	Common Shares	Griffin, Desmond	3, 4, 5	03/03/2017	46	0.24	16,308,332	125,000
Global Dividend Growers Income Fund	Trust Units	Global Dividend Growers Income Fund	1	06/03/2017	38	12.109	4,524,694	2,800
Global Dividend Growers Income Fund	Trust Units	Global Dividend Growers Income Fund	1	07/03/2017	38	12.09	4,525,294	600
Global Dividend Growers Income Fund	Trust Units	Global Dividend Growers Income Fund	1	09/03/2017	10	12.31	4,525,194	-100

**Insider Reporting**

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Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	16/02/2017	38	8.69	1,000	1,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	16/02/2017	38	8.69	0	-1,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	24/02/2017	38	8.74	3,000	3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	24/02/2017	38	8.74	0	-3,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	27/02/2017	38	8.79	1,000	1,000
Global Healthcare Income & Growth Fund	Units	Global Healthcare Income & Growth Fund	1	27/02/2017	38	8.79	0	-1,000
Global Real Estate Dividend Growers Corp.	Common Shares Equity Shares	Global Real Estate Dividend Growers Corp.	1	03/03/2017	38	7.8891	3,830,917	2,200
Global Real Estate Dividend Growers Corp.	Common Shares Equity Shares	Global Real Estate Dividend Growers Corp.	1	07/03/2017	38	7.8986	3,831,617	700
Global Real Estate Dividend Growers Corp.	Common Shares Equity Shares	Global Real Estate Dividend Growers Corp.	1	09/03/2017	38	7.8538	3,832,917	1,300
Global Real Estate Dividend Growers Corp.	Common Shares Equity Shares	Lauzon, Robert	6, 7	03/03/2017	10	7.88	0	-15,000
Global Real Estate Dividend Growers Corp.	Common Shares Equity Shares	Lauzon, Robert	6, 7	08/03/2017	10	7.91	0	-12,150
Global Real Estate Dividend Growers Corp.	Common Shares Equity Shares	Lauzon, Robert	6, 7	08/03/2017	10	7.91	0	-2,100
GMP Capital Inc.	Common Shares	Bell, Douglas	7	07/03/2017	10	3.9	534,802	5,192
GMP Capital Inc.	Options	Bell, Douglas	7	07/03/2017	52		50,000	-150,000
GMP Capital Inc.	Common Shares	BOEHM, TRENT	7	07/03/2017	10	3.9	877,354	13,000
GMP Capital Inc.	Common Shares	Bond, Chris	7	07/03/2017	10	3.9	245,810	1,251
GMP Capital Inc.	Options	Bond, Chris	7	07/03/2017	52		90,000	-25,000
GMP Capital Inc.	Common Shares	Brown, David G.	4	08/03/2017	10	3.83	14,400	1,900
GMP Capital Inc.	Common Shares	Brown, David G.	4	09/03/2017	10	3.9	23,500	9,100
GMP Capital Inc.	Rights Deferred Share Units (DSU)	Brown, David G.	4	09/03/2017	56		33,972	6,105
GMP Capital Inc.	Common Shares	Fricker, Harris Anthony	5	07/03/2017	10	3.9	578,315	1,242
GMP Capital Inc.	Rights Restricted Share Units (RSU)	Fricker, Harris Anthony	5	07/03/2017	38		0	-150,000
GMP Capital Inc.	Options	HILL, CHRISTOPHER	7	07/03/2017	52		43,750	-7,500
GMP Capital Inc.	Rights Deferred Share Units (DSU)	Meekison, James David	4	09/03/2017	56		31,247	3,693
GMP Capital Inc.	Common Shares	Overstrom, Kevin	7	07/03/2017	10	3.9	14,402	16
GMP Capital Inc.	Options	Overstrom, Kevin	7	07/03/2017	52		0	-25,000
GMP Capital Inc.	Options	Riley, Sanford	4	07/03/2017	52		0	-25,000
GMP Capital Inc.	Rights Deferred Share Units (DSU)	Riley, Sanford	4	09/03/2017	56		49,679	6,299
GMP Capital Inc.	Options	STARKMAN, DEBORAH JOANNE	7, 5	07/03/2017	52		50,000	-10,000
GMP Capital Inc.	Common Shares	Sullivan, Kevin M.	4, 5	07/03/2017	10	3.9	24,310	1,538
GMP Capital Inc.	Common Shares	WEIR, ROBERT RUSSELL	7	07/03/2017	10	3.9	607,988	3,013
GMP Capital Inc.	Options	WEIR, ROBERT RUSSELL	7	07/03/2017	52		250,000	-15,000
Gold Port Resources Ltd.	Common Shares	Ambrose, Allen Vernon	4	18/11/2016	00			
Gold Port Resources Ltd.	Common Shares	Ambrose, Allen Vernon	4	18/01/2017	00		125,000	
Gold Port Resources Ltd.	Common Shares	Cherry, Christopher	4, 5	18/11/2016	00			
Gold Port Resources Ltd.	Common Shares	Cherry, Christopher	4, 5	18/01/2017	00		12,500	
Gold Port Resources Ltd.	Common Shares	hobkirk, adrian frederick	4, 5	18/11/2016	00			
Gold Port Resources Ltd.	Common Shares	hobkirk, adrian frederick	4, 5	18/11/2016	00			
Gold Port Resources Ltd.	Common Shares	hobkirk, adrian frederick	4, 5	18/01/2017	00		123,129	
Gold Port Resources Ltd.	Common Shares	hobkirk, adrian frederick	4, 5	18/11/2016	00			
Gold Port Resources Ltd.	Common Shares	hobkirk, adrian frederick	4, 5	18/01/2017	00		733,780	
Gold Port Resources Ltd.	Common Shares	Urata, Jan	5	18/11/2016	00			
Gold Port Resources Ltd.	Common Shares	Urata, Jan	5	18/11/2016	00			
Gold Port Resources Ltd.	Common Shares	Urata, Jan	5	18/01/2017	00			
Goldcorp Inc.	Common Shares	Athaide, Rohan Clarence	5	03/03/2017	57	20.135	9,019	1,707
Goldcorp Inc.	Common Shares	Athaide, Rohan Clarence	5	03/03/2017	10	20.135	8,203	-816



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Goldcorp Inc.	Rights	Athaide, Rohan Clarence	5	03/03/2017	57		17,299	-1,707
Goldcorp Inc.	Common Shares	Ball, Russell David	5	03/03/2017	57	20.135	55,265	6,906
Goldcorp Inc.	Common Shares	Ball, Russell David	5	03/03/2017	10	20.135	51,964	-3,301
Goldcorp Inc.	Common Shares	Ball, Russell David	5	08/03/2017	57	19.804	58,870	6,906
Goldcorp Inc.	Common Shares	Ball, Russell David	5	08/03/2017	10	19.804	55,569	-3,301
Goldcorp Inc.	Rights	Ball, Russell David	5	03/03/2017	57		46,530	-6,906
Goldcorp Inc.	Rights	Ball, Russell David	5	08/03/2017	57		39,624	-6,906
Goldcorp Inc.	Common Shares	Belleau, Guy	7	03/03/2017	57	20.135	31,385	4,184
Goldcorp Inc.	Common Shares	Belleau, Guy	7	03/03/2017	10	20.135	29,140	-2,245
Goldcorp Inc.	Common Shares	Belleau, Guy	7	08/03/2017	57	19.804	32,627	3,487
Goldcorp Inc.	Common Shares	Belleau, Guy	7	08/03/2017	10	19.804	30,756	-1,871
Goldcorp Inc.	Rights	Belleau, Guy	7	03/03/2017	57		24,309	-4,184
Goldcorp Inc.	Rights	Belleau, Guy	7	08/03/2017	57		20,822	-3,487
Goldcorp Inc.	Common Shares	BERGERON, BRENT	5	03/03/2017	57	20.135	11,950	4,466
Goldcorp Inc.	Common Shares	BERGERON, BRENT	5	03/03/2017	10	20.135	9,815	-2,135
Goldcorp Inc.	Common Shares	BERGERON, BRENT	5	08/03/2017	57	19.804	14,281	4,466
Goldcorp Inc.	Common Shares	BERGERON, BRENT	5	08/03/2017	10	19.804	12,146	-2,135
Goldcorp Inc.	Rights	BERGERON, BRENT	5	03/03/2017	57		30,320	-4,466
Goldcorp Inc.	Rights	BERGERON, BRENT	5	08/03/2017	57		25,854	-4,466
Goldcorp Inc.	Common Shares	Berney, Brian James	7	04/04/2016	00			
Goldcorp Inc.	Common Shares	Berney, Brian James	7	08/03/2017	57	14.72	3,848	3,848
Goldcorp Inc.	Common Shares	Berney, Brian James	7	08/03/2017	10	14.72	2,883	-965
Goldcorp Inc.	Rights	Berney, Brian James	7	08/03/2017	57		18,988	-3,848
Goldcorp Inc.	Common Shares	Bristol, Wade William	5	03/03/2017	10	14.894		-1,537
Goldcorp Inc.	Common Shares	Bristol, Wade William	5	03/03/2017	10	14.915	12,960	-1,536
Goldcorp Inc.	Common Shares	Bristol, Wade William	5	03/03/2017	57	14.718	16,962	4,002
Goldcorp Inc.	Common Shares	Bristol, Wade William	5	03/03/2017	10	14.718	15,682	-1,280
Goldcorp Inc.	Rights	Bristol, Wade William	5	03/03/2017	57		23,225	-4,002
Goldcorp Inc.	Common Shares	Canepari, Luis Maximo	5	03/03/2017	57	20.135	13,956	3,506
Goldcorp Inc.	Common Shares	Canepari, Luis Maximo	5	03/03/2017	10	20.135	12,280	-1,676
Goldcorp Inc.	Common Shares	Canepari, Luis Maximo	5	08/03/2017	57	19.804	15,202	2,922
Goldcorp Inc.	Common Shares	Canepari, Luis Maximo	5	08/03/2017	10	19.804	13,805	-1,397
Goldcorp Inc.	Rights	Canepari, Luis Maximo	5	03/03/2017	57		20,691	-3,506
Goldcorp Inc.	Rights	Canepari, Luis Maximo	5	08/03/2017	57		17,769	-2,922
Goldcorp Inc.	Common Shares	Cormier, Christopher	5	03/03/2017	57	20.135	9,344	4,346
Goldcorp Inc.	Common Shares	Cormier, Christopher	5	03/03/2017	10	20.135	4,998	-4,346
Goldcorp Inc.	Common Shares	Cormier, Christopher	5	08/03/2017	57	19.804	8,620	3,622
Goldcorp Inc.	Common Shares	Cormier, Christopher	5	08/03/2017	10	19.804	6,888	-1,732
Goldcorp Inc.	Rights	Cormier, Christopher	5	03/03/2017	57		25,054	-4,346
Goldcorp Inc.	Rights	Cormier, Christopher	5	08/03/2017	57		21,432	-3,622
Goldcorp Inc.	RURs	Crill, Bernerd Lee	7	03/03/2017	57		7,458	-1,824
Goldcorp Inc.	Common Shares	Dick, Joseph Dennis	5	03/03/2017	10	14.915		-3,847
Goldcorp Inc.	Common Shares	Dick, Joseph Dennis	5	03/03/2017	10	14.915	6,597	-1,329
Goldcorp Inc.	Common Shares	Dick, Joseph Dennis	5	03/03/2017	57	14.915		3,847
Goldcorp Inc.	Common Shares	Dick, Joseph Dennis	5	03/03/2017	57	14.915	10,444	3,847
Goldcorp Inc.	Rights	Dick, Joseph Dennis	5	03/03/2017	57			3,847
Goldcorp Inc.	Rights	Dick, Joseph Dennis	5	03/03/2017	57		28,624	-3,847
Goldcorp Inc.	Common Shares	Garofalo, David	4, 5	08/03/2017	57	19.804	126,794	75,335
Goldcorp Inc.	Common Shares	Garofalo, David	4, 5	08/03/2017	10	19.804	90,786	-36,008
Goldcorp Inc.	Rights	Garofalo, David	4, 5	08/03/2017	57		124,128	-75,335
Goldcorp Inc.	Common Shares	Gascon, William P.	7	03/03/2017	57	20.13	11,480	3,610
Goldcorp Inc.	Common Shares	Gascon, William P.	7	03/03/2017	10	20.13	9,543	-1,937
Goldcorp Inc.	Rights	Gascon, William P.	7	03/03/2017	57	20.13	28,447	-3,610
Goldcorp Inc.	Common Shares	Gula, Peter	7	03/03/2017	57	20.135	7,060	2,203
Goldcorp Inc.	Common Shares	Gula, Peter	7	03/03/2017	10	20.135	4,857	-2,203
Goldcorp Inc.	Common Shares	Gula, Peter	7	08/03/2017	57	19.804	7,247	2,390
Goldcorp Inc.	Common Shares	Gula, Peter	7	08/03/2017	10	19.804	4,857	-2,390
Goldcorp Inc.	Rights	Gula, Peter	7	03/03/2017	57		17,046	-2,203

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Goldcorp Inc.	Rights	Gula, Peter	7	08/03/2017	57		14,656	-2,390
Goldcorp Inc.	Common Shares	Hackney, Kim Lionel	5	31/12/2016	30	14.35	12,802	65
Goldcorp Inc.	Common Shares	Hackney, Kim Lionel	5	03/03/2017	57	14.915	18,342	5,540
Goldcorp Inc.	Common Shares	Hackney, Kim Lionel	5	03/03/2017	10	14.915	16,872	-1,470
Goldcorp Inc.	Common Shares	Hackney, Kim Lionel	5	08/03/2017	57	14.718	21,489	4,617
Goldcorp Inc.	Common Shares	Hackney, Kim Lionel	5	08/03/2017	10	14.718	20,264	-1,225
Goldcorp Inc.	Rights	Hackney, Kim Lionel	5	03/03/2017	57		65,005	-5,540
Goldcorp Inc.	Rights	Hackney, Kim Lionel	5	08/03/2017	57		60,388	-4,617
Goldcorp Inc.	Common Shares	HILLE, SIMON OSWALD	5	03/03/2017	57	20.135	8,248	3,831
Goldcorp Inc.	Common Shares	HILLE, SIMON OSWALD	5	03/03/2017	10	20.135	4,417	-3,831
Goldcorp Inc.	Common Shares	HILLE, SIMON OSWALD	5	08/03/2017	57	19.804	7,978	3,561
Goldcorp Inc.	Common Shares	HILLE, SIMON OSWALD	5	08/03/2017	10	19.804	4,417	-3,561
Goldcorp Inc.	Rights	HILLE, SIMON OSWALD	5	03/03/2017	57		24,380	-3,831
Goldcorp Inc.	Rights	HILLE, SIMON OSWALD	5	08/03/2017	57		20,819	-3,561
Goldcorp Inc.	Common Shares	KLEIN, JOANNE MARGARET	5	03/03/2017	57	20.135	18,913	3,285
Goldcorp Inc.	Common Shares	KLEIN, JOANNE MARGARET	5	03/03/2017	10	20.135	17,342	-1,571
Goldcorp Inc.	Rights	KLEIN, JOANNE MARGARET	5	03/03/2017	57		20,410	-3,285
Goldcorp Inc.	Common Shares	Lauzier, Marc	7	27/02/2017	57	21.878	6,947	3,241
Goldcorp Inc.	Common Shares	Lauzier, Marc	7	03/03/2017	10	20.13	2,969	-3,978
Goldcorp Inc.	Common Shares	Lauzier, Marc	7	03/03/2017	57	20.135	6,947	3,978
Goldcorp Inc.	Common Shares	Lauzier, Marc	7	08/03/2017	10	19.8	3,509	-3,438
Goldcorp Inc.	Common Shares	Lauzier, Marc	7	08/03/2017	10	19.8	6,947	3,438
Goldcorp Inc.	Rights	Lauzier, Marc	7	27/02/2017	57			3,241
Goldcorp Inc.	Rights	Lauzier, Marc	7	27/02/2017	57		27,798	-3,241
Goldcorp Inc.	Rights	Lauzier, Marc	7	03/03/2017	57		23,820	-3,978
Goldcorp Inc.	Rights	Lauzier, Marc	7	08/03/2017	57		20,382	-3,438
Goldcorp Inc.	Common Shares	Lawson, Gilbert John Frederick	5	03/03/2017	57	20.135	8,663	4,110
Goldcorp Inc.	Common Shares	Lawson, Gilbert John Frederick	5	03/03/2017	10	20.135	4,553	-4,110
Goldcorp Inc.	Common Shares	Lawson, Gilbert John Frederick	5	08/03/2017	57	19.804	7,979	3,426
Goldcorp Inc.	Common Shares	Lawson, Gilbert John Frederick	5	08/03/2017	10	19.804	4,553	-3,426
Goldcorp Inc.	Rights	Lawson, Gilbert John Frederick	5	03/03/2017	57		23,694	-4,110
Goldcorp Inc.	Rights	Lawson, Gilbert John Frederick	5	08/03/2017	57		20,268	-3,426
Goldcorp Inc.	Common Shares	Masse, Ann	5	03/03/2017	57	20.072	7,492	4,052
Goldcorp Inc.	Common Shares	Masse, Ann	5	03/03/2017	10	20.072	5,555	-1,937
Goldcorp Inc.	Common Shares	Masse, Ann	5	08/03/2017	57	19.804	8,932	3,377
Goldcorp Inc.	Common Shares	Masse, Ann	5	08/03/2017	10	19.804	7,317	-1,615
Goldcorp Inc.	Rights	Masse, Ann	5	03/03/2017	57		23,538	-4,052
Goldcorp Inc.	Rights	Masse, Ann	5	08/03/2017	57		20,161	-3,377
Goldcorp Inc.	Common Shares	Oraziotti, Richard	5	03/03/2017	57	20.135	23,384	3,433
Goldcorp Inc.	Common Shares	Oraziotti, Richard	5	03/03/2017	10	20.135	21,743	-1,641
Goldcorp Inc.	Common Shares	Oraziotti, Richard	5	08/03/2017	57	19.804	23,930	2,187
Goldcorp Inc.	Common Shares	Oraziotti, Richard	5	08/03/2017	10	19.804	22,884	-1,046
Goldcorp Inc.	Rights	Oraziotti, Richard	5	03/03/2017	57		16,015	-3,433
Goldcorp Inc.	Rights	Oraziotti, Richard	5	08/03/2017	57		13,828	-2,187
Goldcorp Inc.	Common Shares	Perkins, David Patrick Michael	7	03/03/2017	57	14.915		3,126
Goldcorp Inc.	Common Shares	Perkins, David Patrick Michael	7	03/03/2017	57	14.915	19,927	3,126
Goldcorp Inc.	Common Shares	Perkins, David Patrick Michael	7	03/03/2017	10	14.915	18,640	-1,287
Goldcorp Inc.	Rights	Perkins, David Patrick Michael	7	03/03/2017	58 - Expiration of rights		13,926	-3,126
Goldcorp Inc.	Common Shares	Randhawa, Raman	7	03/03/2017	57	20.135	16,958	3,473

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Goldcorp Inc.	Common Shares	Randhawa, Raman	7	03/03/2017	10	20.135	15,298	-1,660
Goldcorp Inc.	Common Shares	Randhawa, Raman	7	08/03/2017	57	19.804	18,193	2,895
Goldcorp Inc.	Common Shares	Randhawa, Raman	7	08/03/2017	10	19.804	15,298	-2,895
Goldcorp Inc.	Rights	Randhawa, Raman	7	03/03/2017	57		20,575	-3,473
Goldcorp Inc.	Rights	Randhawa, Raman	7	08/03/2017	57		17,680	-2,895
Goldcorp Inc.	Common Shares	Ripley, Charlene Adele	5	03/03/2017	57	20.13	50,210	5,064
Goldcorp Inc.	Common Shares	Ripley, Charlene Adele	5	03/03/2017	10	20.13	47,789	-2,421
Goldcorp Inc.	Common Shares	Ripley, Charlene Adele	5	08/03/2017	57	19.804	52,854	5,065
Goldcorp Inc.	Common Shares	Ripley, Charlene Adele	5	08/03/2017	10	19.804	50,433	-2,421
Goldcorp Inc.	Rights	Ripley, Charlene Adele	5	03/03/2017	57		33,602	-5,064
Goldcorp Inc.	Rights	Ripley, Charlene Adele	5	08/03/2017	57		28,537	-5,065
Goldcorp Inc.	Common Shares	Roldan, Christian	7	03/03/2017	57	15.12	18,623	2,701
Goldcorp Inc.	Common Shares	Roldan, Christian	7	08/03/2017	57	14.66	20,942	2,319
Goldcorp Inc.	Rights	Roldan, Christian	7	03/03/2017	57		16,259	-2,701
Goldcorp Inc.	Rights	Roldan, Christian	7	08/03/2017	57		13,940	-2,319
Goldcorp Inc.	Common Shares	Ruus, Mark Adrian	5	03/03/2017	57	20.135	27,556	3,397
Goldcorp Inc.	Common Shares	Ruus, Mark Adrian	5	03/03/2017	10	20.135	25,932	-1,624
Goldcorp Inc.	Common Shares	Ruus, Mark Adrian	5	08/03/2017	57	19.804	28,480	2,548
Goldcorp Inc.	Common Shares	Ruus, Mark Adrian	5	08/03/2017	10	19.804	27,262	-1,218
Goldcorp Inc.	Rights	Ruus, Mark Adrian	5	03/03/2017	57		18,054	-3,397
Goldcorp Inc.	Rights	Ruus, Mark Adrian	5	08/03/2017	57		15,506	-2,548
Goldcorp Inc.	Common Shares	Stephens, David Andrew	5	03/03/2017	57	20.135	1,713	1,713
Goldcorp Inc.	Common Shares	Stephens, David Andrew	5	03/03/2017	10	20.135	0	-1,713
Goldcorp Inc.	Common Shares	Stephens, David Andrew	5	08/03/2017	57	19.804	2,701	2,701
Goldcorp Inc.	Common Shares	Stephens, David Andrew	5	08/03/2017	10	19.804	0	-2,701
Goldcorp Inc.	Rights	Stephens, David Andrew	5	03/03/2017	57		18,990	-1,713
Goldcorp Inc.	Rights	Stephens, David Andrew	5	08/03/2017	57		16,289	-2,701
Goldcorp Inc.	Common Shares	Tudela, Anna Maria	5	03/03/2017	57	20.135	25,977	3,595
Goldcorp Inc.	Common Shares	Tudela, Anna Maria	5	03/03/2017	10	20.135	22,382	-3,595
Goldcorp Inc.	Common Shares	Tudela, Anna Maria	5	08/03/2017	57	19.804	25,501	3,119
Goldcorp Inc.	Common Shares	Tudela, Anna Maria	5	08/03/2017	10	19.804	22,382	-3,119
Goldcorp Inc.	Rights	Tudela, Anna Maria	5	03/03/2017	57		21,424	-3,595
Goldcorp Inc.	Rights	Tudela, Anna Maria	5	08/03/2017	57		18,305	-3,119
Goldcorp Inc.	Common Shares	Wade, Lisa	5	03/03/2017	57	14.915	9,586	2,570
Goldcorp Inc.	Common Shares	Wade, Lisa	5	03/03/2017	10	14.915	8,486	-1,100
Goldcorp Inc.	Rights	Wade, Lisa	5	03/03/2017	57		20,369	-2,570
Goldcorp Inc.	Common Shares	White, Todd James	5	03/03/2017	57	14.915		4,937
Goldcorp Inc.	Common Shares	White, Todd James	5	03/03/2017	57	14.915	9,077	4,937
Goldcorp Inc.	Common Shares	White, Todd James	5	03/03/2017	10	14.915	6,715	-2,362
Goldcorp Inc.	Common Shares	White, Todd James	5	08/03/2017	57	19.804	10,168	3,453
Goldcorp Inc.	Common Shares	White, Todd James	5	08/03/2017	10	19.804	8,517	-1,651
Goldcorp Inc.	Rights	White, Todd James	5	03/03/2017	57		30,634	-4,937
Goldcorp Inc.	Rights	White, Todd James	5	08/03/2017	57		27,181	-3,453
Golden Share Mining Corporation	Common Shares	Huang, Zhen	4, 6	09/03/2017	54	0.1	600,000	200,000
Golden Share Mining Corporation	Warrants	Huang, Zhen	4, 6	09/03/2017	54	0.1	0	-200,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	01/02/2017	38	7.65	2,700	2,700
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	01/02/2017	38	7.65	0	-2,700
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	07/02/2017	38	7.65	3,000	3,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	07/02/2017	38	7.65	0	-3,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	28/02/2017	38	8	3,000	3,000
Goldman Sachs U.S. Income Builder Trust	Units Class A	Goldman Sachs U.S. Income Builder Trust	1	28/02/2017	38	8	0	-3,000
GoldQuest Mining Corp.	Common Shares	Agnico Eagle Mines Limited	3	08/03/2016	00			

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GoldQuest Mining Corp.	Common Shares	Agnico Eagle Mines Limited	3	08/03/2017	00			
GoldQuest Mining Corp.	Common Shares	Agnico Eagle Mines Limited	3	08/03/2017	16	0.6	38,100,000	38,100,000
GPM Metals Inc.	Options	Burgess, Harry	4	17/02/2017	52	0.2	275,000	-75,000
GPM Metals Inc.	Options	Burgess, Harry	4	02/03/2017	50	0.15	375,000	100,000
Gran Colombia Gold Corp.	2020 Debentures	Perez, Jaime	4, 6	08/03/2017	11		\$104,148	-\$52,074
Gran Tierra Energy Inc.	Options	Caldwell, Ed	5	02/03/2017	50	2.57	251,968	78,900
Gran Tierra Energy Inc.	Rights Performance Stock Units	Caldwell, Ed	5	02/03/2017	56		262,950	139,500
Gran Tierra Energy Inc.	Options	CORAL, ADRIAN	5	02/03/2017	50	2.57	263,277	74,200
Gran Tierra Energy Inc.	Rights Common Shares RSU	CORAL, ADRIAN	5	01/03/2017	59	2.58	11,640	-8,333
Gran Tierra Energy Inc.	Rights Common Shares RSU	CORAL, ADRIAN	5	01/03/2017	59	2.58	10,568	-1,072
Gran Tierra Energy Inc.	Rights Common Shares RSU	CORAL, ADRIAN	5	02/03/2017	59	2.58		-8,333
Gran Tierra Energy Inc.	Rights Performance Stock Units	CORAL, ADRIAN	5	02/03/2017	56		160,600	131,200
Gran Tierra Energy Inc.	Options	Ellson, Ryan Paul	5	02/03/2017	50	2.57	621,400	133,400
Gran Tierra Energy Inc.	Rights Performance Stock Units	Ellson, Ryan Paul	5	02/03/2017	56		462,400	235,800
Gran Tierra Energy Inc.	Options	Evans, Jim Randall	5	02/03/2017	50	2.57	360,500	78,900
Gran Tierra Energy Inc.	Rights Performance Stock Units	Evans, Jim Randall	5	02/03/2017	53			139,500
Gran Tierra Energy Inc.	Rights Performance Stock Units	Evans, Jim Randall	5	02/03/2017	56		273,500	139,500
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	03/03/2017	10	2.64	10,532,030	81,000
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	06/03/2017	10	2.67	10,713,330	181,300
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	03/03/2017	10	2.64	11,976,390	95,200
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	06/03/2017	10	2.67	12,189,590	213,200
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	03/03/2017	10	2.64	17,376,750	128,200
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	06/03/2017	10	2.67	17,664,150	287,400
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	03/03/2017	10	2.64	637,930	4,200
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	06/03/2017	10	2.67	647,430	9,500
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	03/03/2017	10	2.64	1,589,600	11,200
Gran Tierra Energy Inc.	Common Shares	GMT Capital Corp	3	06/03/2017	10	2.67	1,614,600	25,000
Gran Tierra Energy Inc.	Options	Guidry, Gary Stephen	4, 5	02/03/2017	50	2.57	974,700	184,200
Gran Tierra Energy Inc.	Rights Performance Stock Units	Guidry, Gary Stephen	4, 5	02/03/2017	56		638,400	325,600
Gran Tierra Energy Inc.	Options	HARDY, DAVID ELDON	5	02/03/2017	50	2.57		84,500
Gran Tierra Energy Inc.	Options	HARDY, DAVID ELDON	5	02/03/2017	50	2.57	841,800	84,500
Gran Tierra Energy Inc.	Rights Performance Stock Units	HARDY, DAVID ELDON	5	02/03/2017	56		292,800	149,300
Gran Tierra Energy Inc.	Options	Johnson, Alan Martin	5	02/03/2017	50	2.57	360,500	78,900
Gran Tierra Energy Inc.	Rights Performance Stock Units	Johnson, Alan Martin	5	02/03/2017	56		273,500	139,500
Gran Tierra Energy Inc.	Options	Mah, Glen	5	02/03/2017	50	2.57		78,900
Gran Tierra Energy Inc.	Options	Mah, Glen	5	02/03/2017	50	2.57	157,745	78,900
Gran Tierra Energy Inc.	Rights Performance Stock Units	Mah, Glen	5	02/03/2017	56		273,484	139,500
Gran Tierra Energy Inc.	Options	Mawdsley, Susan	5	02/03/2017	50	2.57	238,883	78,900
Gran Tierra Energy Inc.	Rights Performance Stock Units	Mawdsley, Susan	5	02/03/2017	56		265,022	139,500
Gran Tierra Energy Inc.	Rights RSU's	Mawdsley, Susan	5	01/03/2017	59	2.58	2,780	-5,352
Gran Tierra Energy Inc.	Options	Trimble, Rodger Derrick	5	02/03/2017	50	2.57		78,900
Gran Tierra Energy Inc.	Options	Trimble, Rodger Derrick	5	02/03/2017	50	2.57	154,290	78,900
Gran Tierra Energy Inc.	Rights Performance Stock Units	Trimble, Rodger Derrick	5	02/03/2017	56		256,838	139,500
Gran Tierra Energy Inc.	Options	WEST, LAWRENCE	5	02/03/2017	50	2.57	360,500	78,900
Gran Tierra Energy Inc.	Rights Performance Stock Units	WEST, LAWRENCE	5	02/03/2017	56		273,500	139,500
Granite Oil Corp. (formerly DeeThree Exploration Ltd.)	Common Shares	Hannon, Gail	5	27/02/2017	51	4.28	152,999	5,147

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Granite Oil Corp. (formerly DeeThree Exploration Ltd.)	Replacement Options	Hannon, Gail	5	27/02/2017	51	4.28		-5,147
Granite Oil Corp. (formerly DeeThree Exploration Ltd.)	Replacement Options	Hannon, Gail	5	27/02/2017	51	4.28		-5,147
Granite Oil Corp. (formerly DeeThree Exploration Ltd.)	Replacement Options	Hannon, Gail	5	27/02/2017	51	4.28	0	-5,147
Granite Oil Corp. (formerly DeeThree Exploration Ltd.)	Common Shares	Kabanuk, Michael Lyle	4, 5	09/03/2017	10	5.54	529,762	1,800
Granite Oil Corp. (formerly DeeThree Exploration Ltd.)	Common Shares	Kabanuk, Michael Lyle	4, 5	09/03/2017	10	5.55	529,962	200
Granite Real Estate Investment Trust	Trust Units (traded as a component of stapled units)	Cruise, Brydon	4	06/03/2017	10	45.696	25,000	10,000
Granite REIT Inc.	Common Shares (traded as a component of stapled units)	Cruise, Brydon	4	06/03/2017	10	45.696	25,000	10,000
Great Lakes Graphite Inc.	Common Shares	Ferguson, Paul Kenneth	4, 5	09/03/2017	10	0.0692	4,278,714	10,000
Great Lakes Graphite Inc.	Common Shares	Ferguson, Paul Kenneth	4, 5	09/03/2017	10	0.0685	4,298,714	20,000
Great-West Lifeco Inc.	Common Shares	Allison, Brian Robert	7	31/12/2016	30	34.049	39,028	2,114
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Armstrong, Philip	5	04/01/2016	00			
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Armstrong, Philip	5	31/12/2016	30	34.113	342	342
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Bird, Graham R.	5	31/12/2016	30	34.049	2,122	1,265
Great-West Lifeco Inc.	Common Shares	Henaire, Charles Donald Harvey	7	31/12/2016	30	34.049	8,111	991
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Kasdorf, Helen Ruth	5	31/12/2016	30	34.049	8,044	885
Great-West Lifeco Inc.	Executive Performance Share Units	Kasdorf, Helen Ruth	5	31/12/2015	30	34.701		223
Great-West Lifeco Inc.	Executive Performance Share Units	Kasdorf, Helen Ruth	5	31/12/2015	30	34.701	8,052	223
Great-West Lifeco Inc.	Common Shares	Kristjanson, Stefan	5	31/12/2016	30	34.049	17,772	1,489
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Macoun, Jeffrey Frederick	5	31/12/2016	30	35.62	2,643	29
Great-West Lifeco Inc.	Common Shares	Mahon, Paul	5	31/12/2016	30	34.049	142,328	3,550
Great-West Lifeco Inc.	Common Shares Plan Common Shares	McCarthy, David John	5	31/12/2016	30	34.076	1,978	1,515
Great-West Lifeco Inc.	Common Shares Plan Common Shares	Tomlin, Dervla Mary	5	31/12/2016	30	34.076	1,450	987
Green Swan Capital Corp.	Common Shares	Nadon, Ashley Elizabeth Mary	7	03/03/2017	59		50,000	50,000
Green Swan Capital Corp.	Options	Nadon, Ashley Elizabeth Mary	7	03/03/2017	51		50,000	-50,000
Greenbank Capital Inc.	Common Shares	Wettreich, Daniel	3, 4, 5	07/03/2017	90	0.05	5,341,198	-1,000,000
Greenbank Capital Inc.	Common Shares	Wettreich, Daniel	3, 4, 5	07/03/2017	90	0.05	13,000,000	1,000,000
GrowMax Resources Corp. (formerly Americas Petrogas Inc.)	Common Shares	GrowMax Resources Corp.	1	06/02/2017	38	0.16	50,000	50,000
GrowMax Resources Corp. (formerly Americas Petrogas Inc.)	Common Shares	GrowMax Resources Corp.	1	09/02/2017	38	0.155	80,000	30,000
GrowMax Resources Corp. (formerly Americas Petrogas Inc.)	Common Shares	GrowMax Resources Corp.	1	15/02/2017	38	0.1507	190,000	110,000
GrowMax Resources Corp. (formerly Americas Petrogas Inc.)	Common Shares	GrowMax Resources Corp.	1	16/02/2017	38	0.155	215,000	25,000
GrowMax Resources Corp. (formerly Americas Petrogas Inc.)	Common Shares	GrowMax Resources Corp.	1	17/02/2017	38	0.145	265,000	50,000
GrowMax Resources Corp. (formerly Americas Petrogas Inc.)	Common Shares	GrowMax Resources Corp.	1	21/02/2017	38	0.15	315,000	50,000

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GrowMax Resources Corp. (formerly Americas Petrogas Inc.)	Common Shares	GrowMax Resources Corp.	1	24/02/2017	38	0.145	415,000	100,000
GrowMax Resources Corp. (formerly Americas Petrogas Inc.)	Common Shares	GrowMax Resources Corp.	1	27/02/2017	38	0.145	465,000	50,000
GrowMax Resources Corp. (formerly Americas Petrogas Inc.)	Common Shares	GrowMax Resources Corp.	1	28/02/2017	38	0.145	535,000	70,000
GrowMax Resources Corp. (formerly Americas Petrogas Inc.)	Common Shares	GrowMax Resources Corp.	1	03/03/2017	38		0	-535,000
Guardian Capital Group Limited	Non-Voting Shares Class A	Guardian Capital Group Limited	1	03/03/2017	10	24.99	50,000	50,000
Guardian Capital Group Limited	Non-Voting Shares Class A	Guardian Capital Group Limited	1	07/03/2017	10	23.91	131,500	81,500
Guyana Goldfields Inc.	Common Shares	Beatty, David Malcolm	4	08/03/2017	51		16,666	16,666
Guyana Goldfields Inc.	Common Shares	Beatty, David Malcolm	4	08/03/2017	10	6.2765	0	-16,666
Guyana Goldfields Inc.	Options	Beatty, David Malcolm	4	08/03/2017	51		33,334	-16,666
Guyana Goldfields Inc.	Common Shares	Bondy, Robert Allan	5	08/03/2017	10		84,400	-3,000
Guyana Goldfields Inc.	Common Shares	Bondy, Robert Allan	5	10/03/2017	10		81,400	-3,000
Guyana Goldfields Inc.	Common Shares	Sheridan, Patrick John	4	03/03/2017	51	3.01	4,323,234	250,000
Guyana Goldfields Inc.	Common Shares	Sheridan, Patrick John	4	03/03/2017	10	6.75	4,073,234	-250,000
Guyana Goldfields Inc.	Common Shares	Sheridan, Patrick John	4	06/03/2017	51	3.01	4,110,734	37,500
Guyana Goldfields Inc.	Common Shares	Sheridan, Patrick John	4	06/03/2017	10	6.64	4,073,234	-37,500
Guyana Goldfields Inc.	Options	Sheridan, Patrick John	4	03/03/2017	51	3.01	2,129,500	-250,000
Guyana Goldfields Inc.	Options	Sheridan, Patrick John	4	06/03/2017	51	3.01	2,092,000	-37,500
H&R Finance Trust	Units	Froom, Larry	5	31/12/2016	30	22.09	5,633	233
H&R Finance Trust	Units	Froom, Larry	5	31/12/2016	30	22.09	1,008	8
H&R Finance Trust	Units	Froom, Larry	5	08/03/2017	10	23.11	-8	-2,004
H&R Finance Trust	Units	Froom, Larry	5	10/03/2017	30	22.184	0	8
H&R Finance Trust	Units	Froom, Larry	5	31/12/2016	30	21.678	28,261	1,358
H&R Finance Trust	Units	Froom, Larry	5	03/03/2017	51	21.012		100,000
H&R Finance Trust	Units	Froom, Larry	5	03/03/2017	51	21.012		100,000
H&R Finance Trust	Units	Froom, Larry	5	03/03/2017	51	18.98		565,986
H&R Finance Trust	Units	Froom, Larry	5	03/03/2017	51	18.98		565,986
H&R Finance Trust	Units	Froom, Larry	5	03/03/2017	51	22.024		230,768
H&R Finance Trust	Units	Froom, Larry	5	03/03/2017	51	22.024		230,768
H&R Finance Trust	Units	Froom, Larry	5	03/03/2017	51	21.012	54,559	10,051
H&R Finance Trust	Units	Froom, Larry	5	03/03/2017	51	18.98	160,681	106,122
H&R Finance Trust	Units	Froom, Larry	5	03/03/2017	51	22.024	173,879	13,198
H&R Finance Trust	Units	Froom, Larry	5	06/03/2017	10	23.3	153,879	-20,000
H&R Finance Trust	Units	Froom, Larry	5	08/03/2017	10	23.11	143,879	-10,000
H&R Real Estate Investment Trust	Restricted Share Units	Dickson, Robert Earl	4	07/03/2017	59	23.38	15,064	-4,510
H&R Real Estate Investment Trust	Restricted Share Units	Fried, Cheryl	5	07/03/2017	59	23.38	11,680	-2,593
H&R Real Estate Investment Trust	Options	Froom, Larry	5	03/03/2017	51	21.012	2,589,112	-100,000
H&R Real Estate Investment Trust	Options	Froom, Larry	5	03/03/2017	51	18.98	2,023,126	-565,986
H&R Real Estate Investment Trust	Options	Froom, Larry	5	03/03/2017	51	22.024	1,792,358	-230,768
H&R Real Estate Investment Trust	Restricted Share Units	Froom, Larry	5	07/03/2017	59	23.38	41,188	-34,731
H&R Real Estate Investment Trust	Units	Froom, Larry	5	31/12/2016	30	22.09	5,633	233
H&R Real Estate Investment Trust	Units	Froom, Larry	5	31/12/2016	30	22.09	1,008	8
H&R Real Estate Investment Trust	Units	Froom, Larry	5	08/03/2017	10	23.11	-8	-2,004
H&R Real Estate Investment Trust	Units	Froom, Larry	5	10/03/2017	30	22.184	0	8

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
H&R Real Estate Investment Trust	Units	Froom, Larry	5	31/12/2016	30	21.678	28,261	1,358
H&R Real Estate Investment Trust	Units	Froom, Larry	5	03/03/2017	51	21.012		100,000
H&R Real Estate Investment Trust	Units	Froom, Larry	5	03/03/2017	51	21.012		100,000
H&R Real Estate Investment Trust	Units	Froom, Larry	5	03/03/2017	51	18.98		565,986
H&R Real Estate Investment Trust	Units	Froom, Larry	5	03/03/2017	51	18.98		565,986
H&R Real Estate Investment Trust	Units	Froom, Larry	5	03/03/2017	51	22.024		230,768
H&R Real Estate Investment Trust	Units	Froom, Larry	5	03/03/2017	51	22.024		230,768
H&R Real Estate Investment Trust	Units	Froom, Larry	5	03/03/2017	51	21.012	54,559	10,051
H&R Real Estate Investment Trust	Units	Froom, Larry	5	03/03/2017	51	18.98	160,681	106,122
H&R Real Estate Investment Trust	Units	Froom, Larry	5	03/03/2017	51	22.024	173,879	13,198
H&R Real Estate Investment Trust	Units	Froom, Larry	5	06/03/2017	10	23.3	153,879	-20,000
H&R Real Estate Investment Trust	Units	Froom, Larry	5	08/03/2017	10	23.11	143,879	-10,000
H&R Real Estate Investment Trust	Restricted Share Units	Gilbert, Edward Allen	4	07/03/2017	59	23.38	15,064	-4,510
H&R Real Estate Investment Trust	Options	Hofstedter, Thomas J.	4, 5	08/03/2017	51	16.69	5,687,977	-33,333
H&R Real Estate Investment Trust	Options	Hofstedter, Thomas J.	4, 5	08/03/2017	51	9.407	5,621,310	-66,667
H&R Real Estate Investment Trust	Options	Hofstedter, Thomas J.	4, 5	08/03/2017	51	15.525	5,521,310	-100,000
H&R Real Estate Investment Trust	Options	Hofstedter, Thomas J.	4, 5	08/03/2017	51	19.76	5,421,310	-100,000
H&R Real Estate Investment Trust	Restricted Share Units	Hofstedter, Thomas J.	4, 5	07/03/2017	59	23.38	50,470	-58,637
H&R Real Estate Investment Trust	Units	Hofstedter, Thomas J.	4, 5	08/03/2017	51	16.69	2,356,085	9,497
H&R Real Estate Investment Trust	Units	Hofstedter, Thomas J.	4, 5	08/03/2017	51	9.407	2,395,882	39,797
H&R Real Estate Investment Trust	Units	Hofstedter, Thomas J.	4, 5	08/03/2017	51	15.525	2,429,365	33,483
H&R Real Estate Investment Trust	Units	Hofstedter, Thomas J.	4, 5	08/03/2017	51	19.76	2,444,703	15,338
H&R Real Estate Investment Trust	Options	Lebovic, Laurence A.	6	03/03/2017	51	16.684	154,280	-40,000
H&R Real Estate Investment Trust	Options	Lebovic, Laurence A.	6	03/03/2017	51	19.752	104,280	-50,000
H&R Real Estate Investment Trust	Restricted Share Units	Lebovic, Laurence A.	6	07/03/2017	59	23.38	15,064	-4,510
H&R Real Estate Investment Trust	Units	Lebovic, Laurence A.	6	03/03/2017	51	16.684		40,000
H&R Real Estate Investment Trust	Units	Lebovic, Laurence A.	6	03/03/2017	51	16.684		40,000
H&R Real Estate Investment Trust	Units	Lebovic, Laurence A.	6	03/03/2017	51	19.752		50,000
H&R Real Estate Investment Trust	Units	Lebovic, Laurence A.	6	03/03/2017	51	19.752		50,000
H&R Real Estate Investment Trust	Units	Lebovic, Laurence A.	6	03/03/2017	51	16.684	67,198	11,431
H&R Real Estate Investment Trust	Units	Lebovic, Laurence A.	6	03/03/2017	51	19.752	74,920	7,722
H&R Real Estate Investment Trust	Restricted Share Units	Rutman, Ronald C.	4	07/03/2017	59	23.38	15,064	-4,510
H&R Real Estate Investment Trust	Options	Sullivan, Patrick James	5	01/01/2014	00			

**Insider Reporting**

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H&R Real Estate Investment Trust	Options	Sullivan, Patrick James	5	01/01/2014	00		50,000	
H&R Real Estate Investment Trust	Options	Sullivan, Patrick James	5	03/03/2017	51	18.98	599,901	-163,799
H&R Real Estate Investment Trust	Units	Sullivan, Patrick James	5	01/01/2014	00			
H&R Real Estate Investment Trust	Units	Sullivan, Patrick James	5	03/03/2017	51	18.98		163,799
H&R Real Estate Investment Trust	Units	Sullivan, Patrick James	5	03/03/2017	51	18.98		163,799
H&R Real Estate Investment Trust	Units	Sullivan, Patrick James	5	03/03/2017	51	18.98	30,712	30,712
H&R Real Estate Investment Trust	Options	Uhr, Nathan	5	03/03/2017	51	18.98	708,079	-40,816
H&R Real Estate Investment Trust	Restricted Share Units	Uhr, Nathan	5	07/03/2017	59	23.38	13,717	-4,510
H&R Real Estate Investment Trust	Units	Uhr, Nathan	5	03/03/2017	51	18.98	40,816	40,816
HANWEI ENERGY SERVICES CORP.	Options	Clay, Malcolm Frank	4	02/03/2017	50	0.08	750,000	200,000
HANWEI ENERGY SERVICES CORP.	Options	HUANG, YU CAI	5	02/03/2017	50	0.08	1,000,000	200,000
HANWEI ENERGY SERVICES CORP.	Options	Kwan, Graham Richard	4, 5	02/03/2017	50	0.08	1,000,000	200,000
HANWEI ENERGY SERVICES CORP.	Options	LANG, FULAI	3, 4, 5	02/03/2017	50	0.08	750,000	200,000
HANWEI ENERGY SERVICES CORP.	Options	Paine, William George	4	02/03/2017	50	0.08	750,000	200,000
HANWEI ENERGY SERVICES CORP.	Options	Smallbone, Sidney Randall	4	02/03/2017	50	0.08	750,000	200,000
HANWEI ENERGY SERVICES CORP.	Options	Yan, Joanne	6	02/03/2017	50	0.08	750,000	200,000
Hello Pal International Inc. (formerly known as Neoteck Solutions Inc.)	Common Shares	Roehlig, Axel Gunther Ruediger	4, 5	09/03/2017	10	0.28	2,387,333	21,000
Hemisphere Energy Corporation	Common Shares	Borowicz, Frank Stephen	4	02/03/2017	10	0.3	1,165,500	110,000
High Liner Foods Incorporated	Rights Performance Share Units	Brown, Joanne	5	08/03/2017	30	19.65	12,519	458
High Liner Foods Incorporated	Rights Performance Share Units	Brown, Peter Brooks	5	08/03/2017	30	19.65	28,146	652
High Liner Foods Incorporated	Rights Performance Share Units	Decker, Keith	5	08/03/2017	30	19.65	92,399	2,646
High Liner Foods Incorporated	Rights Performance Share Units	Demone, Henry	4, 5	08/03/2017	30	19.65	23,230	2,948
High Liner Foods Incorporated	Rights Deferred Share Units	Dexter, Robert P.	4	08/03/2017	30	19.65	23,160	858
High Liner Foods Incorporated	Common Shares	Hennigar, Andrew	4	08/03/2017	37		1,000	500
High Liner Foods Incorporated	Common Shares	Hennigar, Andrew	4	05/11/2010	00		700	
High Liner Foods Incorporated	Rights Performance Share Units	Jewer, Paul Allan	5	08/03/2017	30	19.65	25,299	1,153
High Liner Foods Incorporated	Common Shares	MAHODY, Jolene	4	06/03/2017	10	17.342	7,000	2,000
High Liner Foods Incorporated	Rights Deferred Share Units	Miller, Ralph Andy	4	08/03/2017	30	19.65	11,175	422
High Liner Foods Incorporated	Rights Performance Share Units	O'Neill, J. Jeffery	5	08/03/2017	30	19.65	15,001	291
High Liner Foods Incorporated	Rights Performance Share Units	Rorabeck, Timothy	5	08/03/2017	30	19.65	13,554	465
High Liner Foods Incorporated	Common Shares	Snow, Paul	5	08/03/2017	30	19.13	21,021	556
High Liner Foods Incorporated	Common Shares	Snow, Paul	5	08/03/2017	30	19.13	7,313	194
High Liner Foods Incorporated	Rights Performance Share Units	Snow, Paul	5	08/03/2017	30	19.65	16,079	400



**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	28/02/2017	38	26.68	1,000	1,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	28/02/2017	38	26.68	0	-1,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	06/03/2017	38	25.95	1,000	1,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	06/03/2017	38	25.95	0	-1,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	07/03/2017	38	25.94	1,000	1,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	07/03/2017	38	25.94	0	-1,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/03/2017	38	25.9	1,000	1,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	08/03/2017	38	25.9	0	-1,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	09/03/2017	38	26.15	1,000	1,000
Home Capital Group Inc.	Common Shares	Home Capital Group Inc.	1	09/03/2017	38	26.15	0	-1,000
HUSKY ENERGY INC.	Common Shares	Alexander, Gerald Frank	7	01/03/2017	00		923	
HUSKY ENERGY INC.	Options	Alexander, Gerald Frank	7	01/03/2017	00		128,780	
HUSKY ENERGY INC.	Performance Share Units	Alexander, Gerald Frank	7	01/03/2017	00		15,860	
Hydruke Energy Services Inc.	Common Shares	Lynch, Kelvin	5	06/07/2013	00		32,258	
IAMGOLD Corporation	Options	Stothart, Peter Gordon	5	28/02/2017	50	5.24	862,867	232,600
IAMGOLD Corporation	Restricted Share Awards	Stothart, Peter Gordon	5	28/02/2017	56	5.24	288,200	128,200
IBC Advanced Alloys Corp.	Common Shares	Hampson, Christopher Geoffrey	4	06/03/2017	46	0.57	34,987	17,728
IDM Mining Ltd. (Formerly Revolution Resources Corp.)	Common Shares	OSISKO GOLD ROYALTIES LTD	3	07/03/2016	00			
IDM Mining Ltd. (Formerly Revolution Resources Corp.)	Common Shares	OSISKO GOLD ROYALTIES LTD	3	07/03/2017	16	0.17	29,400,000	29,400,000
IDM Mining Ltd. (Formerly Revolution Resources Corp.)	Common Shares	OSISKO GOLD ROYALTIES LTD	3	07/03/2017	16	0.25	70,400,000	41,000,000
Igen Networks Corp.	Common Shares	Chan, Neil	4	17/02/2017	10	0.13	2,473,167	10,000
Igen Networks Corp.	Common Shares	Chan, Neil	4	23/02/2017	10	0.12	2,483,167	10,000
Imagination Park Entertainment Inc.	Common Shares	Napora, Gabriel	4, 5	11/05/2015	00			
Imagination Park Entertainment Inc.	Common Shares	Napora, Gabriel	4, 5	26/08/2016	11	0.05	48,032	48,032
Imagination Park Entertainment Inc.	Common Shares	Napora, Gabriel	4, 5	11/05/2015	00			
Imagination Park Entertainment Inc.	Common Shares	Napora, Gabriel	4, 5	05/10/2016	16	0.05	2,550,000	2,550,000
Imagination Park Entertainment Inc.	Options	Napora, Gabriel	4, 5	11/05/2015	00			
Imagination Park Entertainment Inc.	Options	Napora, Gabriel	4, 5	11/08/2015	50	0.06	100,000	100,000
Imagination Park Entertainment Inc.	Options	Napora, Gabriel	4, 5	13/09/2016	50	0.15	350,000	250,000
Imagination Park Entertainment Inc.	Warrants	Napora, Gabriel	4, 5	11/05/2015	00			
Imagination Park Entertainment Inc.	Warrants	Napora, Gabriel	4, 5	26/08/2016	11	0.1	48,032	48,032
IMAX Corporation	Common Shares	Campbell, Eileen	5	07/03/2017	57		24,341	8,512
IMAX Corporation	Common Shares	Campbell, Eileen	5	08/03/2017	10	32.265	15,829	-8,512
IMAX Corporation	Rights Restricted Share Unit	Campbell, Eileen	5	07/03/2017	57		22,117	-8,512
IMAX Corporation	Common Shares	Foster, Greg	5	07/03/2017	57		73,603	17,503
IMAX Corporation	Common Shares	Foster, Greg	5	08/03/2017	10	32.265	67,018	-6,585
IMAX Corporation	Rights Restricted Share Unit	Foster, Greg	5	07/03/2017	57		73,110	-17,503
IMAX Corporation	Common Shares	IMAX Corporation	1	28/02/2017	30		215,000	215,000
IMAX Corporation	Common Shares	IMAX Corporation	1	01/03/2017	30		230,845	15,845
IMAX Corporation	Common Shares	IMAX Corporation	1	09/03/2017	30		40,761	-190,084
IMAX Corporation	Common Shares	IMAX Corporation	1	10/03/2017	30		148,298	107,537
IMAX Corporation	Common Shares	IMAX Corporation	1	10/03/2017	30		99,619	-48,679
IMAX Corporation	Common Shares	Lister, Robert D.	5	07/03/2017	57		40,620	13,576
IMAX Corporation	Common Shares	Lister, Robert D.	5	08/03/2017	10	32.265	35,594	-5,026
IMAX Corporation	Options 1:1	Lister, Robert D.	5	07/03/2017	50	32.45	263,646	39,909
IMAX Corporation	Rights Restricted Share Unit	Lister, Robert D.	5	07/03/2017	57		53,896	-13,576

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IMAX Corporation	Rights Restricted Share Unit	Lister, Robert D.	5	07/03/2017	56		86,253	32,357
IMAX Corporation	Options 1:1	McClymont, Patrick McClymont	5	07/03/2017	50	32.45	53,559	37,836
IMAX Corporation	Rights Restricted Share Unit	McClymont, Patrick McClymont	5	07/03/2017	56		42,917	31,202
IMAX Corporation	Common Shares	Ruby, G. Mary	5	07/03/2017	57		11,493	1,996
IMAX Corporation	Common Shares	Ruby, G. Mary	5	07/03/2017	51	15.88	29,470	17,977
IMAX Corporation	Common Shares	Ruby, G. Mary	5	07/03/2017	10	32.562	11,493	-17,977
IMAX Corporation	Common Shares	Ruby, G. Mary	5	08/03/2017	10	32.265	10,422	-1,071
IMAX Corporation	Options 1:1	Ruby, G. Mary	5	07/03/2017	50	32.45	75,223	3,503
IMAX Corporation	Options 1:1	Ruby, G. Mary	5	07/03/2017	51	15.88	57,246	-17,977
IMAX Corporation	Rights Restricted Share Unit	Ruby, G. Mary	5	07/03/2017	57		4,630	-1,996
IMAX Corporation	Rights Restricted Share Unit	Ruby, G. Mary	5	07/03/2017	56		7,519	2,889
IMAX Corporation	Common Shares	Savant, Don	5	01/01/2017	00			
IMAX Corporation	Common Shares	Savant, Don	5	07/03/2017	57		1,531	1,531
IMAX Corporation	Common Shares	Savant, Don	5	08/03/2017	10	32.265	955	-576
IMAX Corporation	Options 1:1	Savant, Don	5	07/03/2017	50	32.45	24,590	9,109
IMAX Corporation	Rights Restricted Share Unit	Savant, Don	5	07/03/2017	57		6,122	-1,531
IMAX Corporation	Rights Restricted Share Unit	Savant, Don	5	07/03/2017	56		13,634	7,512
IMAX Corporation	Common Shares	Vance, Jeff	5	07/03/2017	57		4,942	1,996
IMAX Corporation	Common Shares	Vance, Jeff	5	08/03/2017	10	32.265	3,871	-1,071
IMAX Corporation	Options 1:1	Vance, Jeff	5	07/03/2017	50	32.45	52,996	3,503
IMAX Corporation	Rights Restricted Share Unit	Vance, Jeff	5	07/03/2017	57		6,380	-1,996
IMAX Corporation	Rights Restricted Share Unit	Vance, Jeff	5	07/03/2017	56		9,269	2,889
IMAX Corporation	Common Shares	Welton, Mark	5	07/03/2017	57		20,500	7,778
IMAX Corporation	Common Shares	Welton, Mark	5	08/03/2017	10	32.265	16,331	-4,169
IMAX Corporation	Options 1:1	Welton, Mark	5	07/03/2017	50	32.45	186,422	21,020
IMAX Corporation	Rights Restricted Share Unit	Welton, Mark	5	07/03/2017	57		19,223	-7,778
IMAX Corporation	Rights Restricted Share Unit	Welton, Mark	5	07/03/2017	56		36,557	17,334
Immunovaccine Inc.	Common Shares	Dawe, Wade K.	4	08/06/2016	11	0.55		200,000
Immunovaccine Inc.	Common Shares	Dawe, Wade K.	4	08/06/2016	16	0.55		200,000
Immunovaccine Inc.	Common Shares	Dawe, Wade K.	4	08/06/2016	16	0.55	200,000	200,000
Immunovaccine Inc.	Common Shares	Dawe, Wade K.	4	30/01/2017	10	0.735	375,000	15,000
Inca One Gold Corp.	Common Shares	Kelly, Edward John	4	10/03/2017	10	0.155	560,731	1,000
Inca One Gold Corp.	Common Shares	Kelly, Edward John	4	01/02/2017	47	0.25	806,920	-50,000
Industrial Alliance Insurance and Financial Services inc.	Common Shares	Laflamme, Renée	5	07/03/2017	51	19.23	6,186	5,000
Industrial Alliance Insurance and Financial Services inc.	Common Shares	Laflamme, Renée	5	07/03/2017	10	56.394	1,186	-5,000
Industrial Alliance Insurance and Financial Services inc.	Options	Laflamme, Renée	5	07/03/2017	51	19.23	105,000	-5,000
Industrial Alliance Insurance and Financial Services inc.	Common Shares	Ricard, Denis	5	08/03/2017	51	37.37	18,000	18,000
Industrial Alliance Insurance and Financial Services inc.	Common Shares	Ricard, Denis	5	08/03/2017	10	57.37	0	-18,000
Industrial Alliance Insurance and Financial Services inc.	Options	Ricard, Denis	5	08/03/2017	51	37.37	189,000	-18,000
InMed Pharmaceuticals Inc. (formerly Cannabis Technologies Inc.)	Options	Mancini, Alexandra Diane Janet	5	20/02/2017	50	0.37	500,000	100,000
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	02/02/2017	38	94.253	3,800	1,900
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	03/02/2017	38	94.097	4,100	300
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	06/02/2017	38	94.654	5,000	900
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	09/02/2017	38	93.87	5,100	100

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Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	24/02/2017	38		0	-5,100
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	28/02/2017	38	94.41	2,000	2,000
Intact Financial Corporation	Common Shares	Tullis, Mark Alan	5	03/03/2017	10	94.79	93,632	-1,000
Intact Financial Corporation	Common Shares	Tullis, Mark Alan	5	03/03/2017	10	94.79	92,632	-1,000
Intact Financial Corporation	Common Shares	Tullis, Mark Alan	5	03/03/2017	10	94.99	91,632	-1,000
Intact Financial Corporation	Common Shares	Tullis, Mark Alan	5	06/03/2017	10	95.11	90,632	-1,000
Intact Financial Corporation	Common Shares	Tullis, Mark Alan	5	06/03/2017	10	95.195	88,632	-2,000
Intact Financial Corporation	Common Shares	Tullis, Mark Alan	5	06/03/2017	10	95.495	86,632	-2,000
Intact Financial Corporation	Common Shares	Tullis, Mark Alan	5	06/03/2017	10	95.495	84,632	-2,000
Intact Financial Corporation	Common Shares	Tullis, Mark Alan	5	06/03/2017	10	95.495	82,632	-2,000
Intact Financial Corporation	Common Shares	Tullis, Mark Alan	5	06/03/2017	10	95.494	80,894	-1,738
Interfor Corporation	Rights SARs	FILLINGER, IAN	5	23/02/2017	59		15,000	-8,000
Interfor Corporation	Rights SARs	FILLINGER, IAN	5	01/03/2017	59		11,000	-4,000
International Road Dynamics Inc.	Common Shares	Kolla, Ray	4	07/03/2017	46	3.46	6,761	542
INV Metals Inc.	Common Shares	IAMGOLD Corporation	3	02/03/2017	15	1	9,822,546	9,822,546
Invictus MD Strategies Corp.	Common Shares	The K2 Principal Fund L.P.	3	02/03/2017	10	1.6	2,801,700	200
Invictus MD Strategies Corp.	Common Shares	The K2 Principal Fund L.P.	3	02/03/2017	11	1.65	5,225,900	2,424,200
Invictus MD Strategies Corp.	Common Shares	The K2 Principal Fund L.P.	3	07/03/2017	10	1.6	5,228,100	2,200
Invictus MD Strategies Corp.	Warrants	The K2 Principal Fund L.P.	3	02/03/2017	11		2,612,100	1,212,100
Itafos (formerly, MBAC Fertilizer Corp.)	Common Shares	Delaney, George David	4	06/02/2017	00			
Itafos (formerly, MBAC Fertilizer Corp.)	Common Shares	Delaney, George David	4	09/03/2017	16	2.1	25,000	25,000
Itafos (formerly, MBAC Fertilizer Corp.)	Restricted Share Units	Delaney, George David	4	06/02/2017	00			
Itafos (formerly, MBAC Fertilizer Corp.)	Restricted Share Units	Delaney, George David	4	08/03/2017	56		54,000	54,000
Itafos (formerly, MBAC Fertilizer Corp.)	Restricted Share Units	Ibnabdeljalil, Mohamed	4	29/12/2016	00			
Itafos (formerly, MBAC Fertilizer Corp.)	Restricted Share Units	Ibnabdeljalil, Mohamed	4	08/03/2017	56		54,000	54,000
Itafos (formerly, MBAC Fertilizer Corp.)	Common Shares	Zaff LLC	3	09/03/2017	11	2.1	57,480,210	1,906,541
Itafos (formerly, MBAC Fertilizer Corp.)	Common Shares	Zaff LLC	3	09/03/2017	16	2.1	65,868,991	8,388,781
Itafos (formerly, MBAC Fertilizer Corp.)	Common Shares	Zatarain, Brian Robert	5	28/10/2016	00			
Itafos (formerly, MBAC Fertilizer Corp.)	Common Shares	Zatarain, Brian Robert	5	09/03/2017	16	2.1	32,000	32,000
JDL Gold Corp.	Subscription Receipts	de Groot, Marcel H.	3, 4, 5	02/03/2017	16	2	200,000	200,000
JDL Gold Corp.	Subscription Receipts	de Groot, Marcel H.	3, 4, 5	02/03/2017	16		200,000	200,000
JDL Gold Corp.	Subscription Receipts	Lowell, J. David	3, 4, 5	09/07/2013	00			
JDL Gold Corp.	Subscription Receipts	Lowell, J. David	3, 4, 5	02/03/2017	16	2	250,000	250,000
JDL Gold Corp.	Subscription Receipts	Lowell, J. David	3, 4, 5	09/07/2013	00			
JDL Gold Corp.	Subscription Receipts	Lowell, J. David	3, 4, 5	02/03/2017	16		250,000	250,000
JDL Gold Corp.	Subscription Receipts	McLeod-Seltzer, Catherine	4	02/03/2017	16	2	130,000	130,000
JDL Gold Corp.	Subscription Receipts	McLeod-Seltzer, Catherine	4	02/03/2017	16		130,000	130,000
JDL Gold Corp.	Common Shares	O'Rourke, James Calhoun	4	07/03/2017	15		581,515	100,000
JDL Gold Corp.	Warrants	O'Rourke, James Calhoun	4	01/11/2016	00			
JDL Gold Corp.	Warrants	O'Rourke, James Calhoun	4	07/03/2017	15		100,000	100,000
Jericho Oil Corporation (fka Dakar Resource Corp.)	Options	Peterson, Robin	5	31/08/2016	50	0.45	350,000	100,000
Jericho Oil Corporation (fka Dakar Resource Corp.)	Options	Peterson, Robin	5	31/12/2016	50	0.45		100,000
Karnalyte Resources Inc.	Common Shares	Phinney, Robin	3	12/12/2013	30	1.35	59,595	-405
Karnalyte Resources Inc.	Common Shares	Phinney, Robin	3	07/07/2016	90			-7,635
Kerr Mines Inc.	Warrants Common Share Purchase Warrants	2176423 Ontario Ltd.	3	04/03/2017	55			-5,000,000
Kerr Mines Inc.	Warrants Common Share Purchase Warrants	2176423 Ontario Ltd.	3	04/03/2017	55			-5,000,000

**Insider Reporting**

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Kerr Mines Inc.	Warrants Common Share Purchase Warrants	2176423 Ontario Ltd.	3	04/03/2017	55		0	-5,000,000
Kerr Mines Inc.	Warrants Common Share Purchase Warrants	Sprott, Eric S.	3	04/03/2017	55		0	-5,000,000
Keyera Corp.	Common Shares	Hathaway, Suzanne	5	31/12/2016	30	37.781	24,249	813
Keyera Corp.	Deferred Share Units	Haughey, Douglas J.	4	31/12/2016	30	39.47	3,318	3,318
Keyera Corp.	Deferred Share Units	Laird, Nancy M.	4	31/12/2016	30	39.47	1,389	1,389
Keyera Corp.	Common Shares	Lock, Bradley Wayne	5	31/12/2016	30	37.781	149,742	5,248
Keyera Corp.	Common Shares	Nelson, Donald J.	4	31/12/2016	30	37.88	71,583	654
Keyera Corp.	Common Shares	Nelson, Donald J.	4	31/12/2016	30	37.88	6,302	243
Keyera Corp.	Common Shares	Norris, Michael John	4	31/12/2016	30	37.88	7,059	229
Keyera Corp.	Deferred Share Units	Norris, Michael John	4	31/12/2016	30	39.47	5,015	5,015
Keyera Corp.	Deferred Share Units	O'Connor, Thomas C.	4	31/12/2016	30	39.47	2,546	2,546
Keyera Corp.	Deferred Share Units	Stedman, William Richard	4	31/12/2016	30	39.47	5,015	5,015
Keyera Corp.	Deferred Share Units	Woodruff, Janet Patricia	4	31/12/2016	30	39.47	2,546	2,546
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Buckle-McIntosh, Ruth Mary	5	06/03/2017	57	12.63	15,953	-2,528
Killam Apartment Real Estate Investment Trust	Trust Units	Buckle-McIntosh, Ruth Mary	5	06/03/2017	57	12.63	3,433	1,264
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Cleveland, Erin Nicole	5	06/03/2017	57	12.63	11,936	-882
Killam Apartment Real Estate Investment Trust	Trust Units	Cleveland, Erin Nicole	5	06/03/2017	57	12.63	4,290	441
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Crowell, Pamela Florence	5	06/03/2017	57	12.63	13,038	-2,056
Killam Apartment Real Estate Investment Trust	Trust Units	Crowell, Pamela Florence	5	06/03/2017	57	12.63	7,465	2,056
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Fraser, Philip	4	06/03/2017	57	12.63	93,842	-10,592
Killam Apartment Real Estate Investment Trust	Trust Units	Fraser, Philip	4	06/03/2017	57	12.63	234,489	4,872
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Jackson, Jeremy Winston	5	06/03/2017	57	12.63	11,187	-1,580
Killam Apartment Real Estate Investment Trust	Trust Units	Jackson, Jeremy Winston	5	06/03/2017	57	12.63	7,219	790
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	McCarville, Colleen	5	06/03/2017	57	12.63	8,056	-496
Killam Apartment Real Estate Investment Trust	Trust Units	McCarville, Colleen	5	06/03/2017	57	12.63	4,450	496
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	McLean, Mike	5	06/03/2017	57	12.63	13,354	-2,000
Killam Apartment Real Estate Investment Trust	Trust Units	McLean, Mike	5	06/03/2017	57	12.63	12,518	1,000
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Noseworthy, Dale	5	06/03/2017	57	12.63	12,556	-1,878
Killam Apartment Real Estate Investment Trust	Trust Units	Noseworthy, Dale	5	06/03/2017	57	12.63	5,508	939
Killam Apartment Real Estate Investment Trust	Rights Restricted Units	Richardson, Robert	4	06/03/2017	57	12.63	79,553	-8,711
Killam Apartment Real Estate Investment Trust	Trust Units	Richardson, Robert	4	06/03/2017	57	12.63	15,822	4,007
Kinaxis Inc.	Common Shares	Colbeth, Douglas Proctor	4	07/03/2017	10	71.781	146,400	-3,600
Kinaxis Inc.	Common Shares	Colbeth, Douglas Proctor	4	08/03/2017	10	70.72	125,000	-21,400
Kinaxis Inc.	Common Shares	Colbeth, Douglas Proctor	4	08/03/2017	10	71.3	605,000	-25,000
Kinaxis Inc.	Common Shares	Erwin, John Randall	5	08/03/2017	51	3.2	11,070	10,000
Kinaxis Inc.	Common Shares	Erwin, John Randall	5	08/03/2017	10	71.087	1,070	-10,000
Kinaxis Inc.	Common Shares	Erwin, John Randall	5	08/03/2017	51	9.75	15,070	14,000
Kinaxis Inc.	Common Shares	Erwin, John Randall	5	08/03/2017	10	71.087	1,070	-14,000
Kinaxis Inc.	Options	Erwin, John Randall	5	08/03/2017	51	3.2	79,400	-10,000
Kinaxis Inc.	Options	Erwin, John Randall	5	08/03/2017	51	9.75	65,400	-14,000
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	07/03/2017	51	17.8	12,500	12,500
Kinaxis Inc.	Common Shares	Kelly, David Peter	5	07/03/2017	10	71.704	0	-12,500
Kinaxis Inc.	Options	Kelly, David Peter	5	03/03/2017	50	75.62	100,000	25,000

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Kinaxis Inc.	Options	Kelly, David Peter	5	07/03/2017	51	17.8	87,500	-12,500
Kinaxis Inc.	Common Shares	Paterson, Megan	5	03/03/2017	51	17.8	2,817	2,500
Kinaxis Inc.	Common Shares	Paterson, Megan	5	03/03/2017	10	73.269	317	-2,500
Kinaxis Inc.	Common Shares	Paterson, Megan	5	03/03/2017	51	11.84	2,817	2,500
Kinaxis Inc.	Common Shares	Paterson, Megan	5	03/03/2017	10	72.227	317	-2,500
Kinaxis Inc.	Common Shares	Paterson, Megan	5	03/03/2017	51	45.31	7,817	7,500
Kinaxis Inc.	Common Shares	Paterson, Megan	5	03/03/2017	10	71.833	317	-7,500
Kinaxis Inc.	Options	Paterson, Megan	5	03/03/2017	51	17.8	40,000	-2,500
Kinaxis Inc.	Options	Paterson, Megan	5	03/03/2017	51	11.84	37,500	-2,500
Kinaxis Inc.	Options	Paterson, Megan	5	03/03/2017	51	45.31	30,000	-7,500
Kinaxis Inc.	Common Shares	Sedgman, Sarah Mayme	5	08/03/2017	51	31.45	3,135	2,500
Kinaxis Inc.	Common Shares	Sedgman, Sarah Mayme	5	08/03/2017	10	70.797	635	-2,500
Kinaxis Inc.	Options	Sedgman, Sarah Mayme	5	03/03/2017	50	75.62	55,000	15,000
Kinaxis Inc.	Options	Sedgman, Sarah Mayme	5	08/03/2017	51	31.45	52,500	-2,500
Kinaxis Inc.	Common Shares	Sicard, John Ernest	4, 5	09/03/2017	10	74	83,389	-20,000
Kinaxis Inc.	Common Shares	Ticala, Vasile Gelu	5	28/02/2017	00			
Kinaxis Inc.	Common Shares	Ticala, Vasile Gelu	5	09/03/2017	51	25.49	1,800	1,800
Kinaxis Inc.	Common Shares	Ticala, Vasile Gelu	5	09/03/2017	10	74.5	0	-1,800
Kinaxis Inc.	Options	Ticala, Vasile Gelu	5	09/03/2017	51	25.49	69,450	-1,800
Kiska Metals Corporation	Common Shares	Awmack, Henry James	4	06/03/2017	51		1,800,000	750,000
Kiska Metals Corporation	Options	Awmack, Henry James	4	06/03/2017	51		160,000	-750,000
Kiska Metals Corporation	Options	Awmack, Henry James	4	07/03/2017	52		0	-160,000
Kiska Metals Corporation	Common Shares	Ewing, Grant David	4, 5	06/03/2017	51		4,220,000	720,000
Kiska Metals Corporation	Options	Ewing, Grant David	4, 5	06/03/2017	51		500,000	-720,000
Kiska Metals Corporation	Options	Ewing, Grant David	4, 5	07/03/2017	52	0.1	0	-500,000
Kiska Metals Corporation	Common Shares	Miller, Brian	5	07/03/2017	51		2,531,900	720,000
Kiska Metals Corporation	Options	Miller, Brian	5	06/03/2017	51		100,000	-720,000
Kiska Metals Corporation	Options	Miller, Brian	5	07/03/2017	52		0	-100,000
Kiska Metals Corporation	Common Shares	Miller, Jack H.L.	4	03/03/2017	51	0.05	3,387,385	350,000
Kiska Metals Corporation	Common Shares	Miller, Jack H.L.	4	03/03/2017	51	0.06	3,787,385	400,000
Kiska Metals Corporation	Options	Miller, Jack H.L.	4	03/03/2017	51		550,000	-350,000
Kiska Metals Corporation	Options	Miller, Jack H.L.	4	03/03/2017	51		150,000	-400,000
Kiska Metals Corporation	Common Shares	Roberts, Michael David	5	07/03/2017	51	720000	1,277,500	720,000
Kiska Metals Corporation	Options	Roberts, Michael David	5	06/03/2017	51		280,000	-720,000
Kiska Metals Corporation	Options	Roberts, Michael David	5	06/03/2017	52		0	-280,000
Kontrol Energy Corp.	Common Shares	Smith, Steve	4, 5	03/03/2017	10	0.7	666,953	-173,000
Kontrol Energy Corp.	Common Shares	Smith, Steve	4, 5	07/03/2017	10	0.67		2,000
Kontrol Energy Corp.	Common Shares	Smith, Steve	4, 5	09/03/2017	16	0.7	739,810	72,857
Kontrol Energy Corp.	Common Shares	Smith, Steve	4, 5	09/03/2017	54	0.3	889,810	150,000
Kontrol Energy Corp.	Common Shares	Smith, Steve	4, 5	09/03/2017	16	0.7		72,857
Kontrol Energy Corp.	Common Shares	Smith, Steve	4, 5	09/03/2017	16	0.7		72,857
Kontrol Energy Corp.	Warrants	Smith, Steve	4, 5	09/03/2017	54	0.3	50,000	-150,000
KWG Resources Inc.	Subordinate Voting Shares	Reid, Bruce	4	06/09/2016	00			
KWG Resources Inc.	Subordinate Voting Shares	Reid, Bruce	4	03/03/2017	11	0.021	4,761,905	4,761,905
KWG Resources Inc.	Warrants	Reid, Bruce	4	06/09/2016	00			
KWG Resources Inc.	Warrants	Reid, Bruce	4	03/03/2017	11		4,761,905	4,761,905
KWG Resources Inc.	Common Shares	Sheldon, Donald A.	4	03/03/2017	11		0	-600,000
KWG Resources Inc.	Subordinate Voting Shares	Sheldon, Donald A.	4	08/04/2014	00		600,000	
KWG Resources Inc.	Subordinate Voting Shares	Sheldon, Donald A.	4	03/03/2017	16	0.021	2,338,095	1,738,095
KWG Resources Inc.	Warrants	Sheldon, Donald A.	4	03/03/2017	16	0.05	2,338,095	1,738,095
Laguna Blends Inc. (formerly Grenadier Resource Corp.)	Common Shares	Loree, Bryan	4, 5	02/03/2017	46		1,115,000	750,000
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Allidina, Azmina	7	05/12/2016	56	53.91		1,515

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LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Allidina, Azmina	7	05/12/2016	56	53.91	2,845	1,894
LAURENTIAN BANK OF CANADA	Droits à la plus value-DPVA/Stock Appreciation Rights-SARs	Barrière, François	5	02/03/2017	59		0	-250
LAURENTIAN BANK OF CANADA	Droits à la plus value-DPVA/Stock Appreciation Rights-SARs	Barrière, François	5	10/03/2017	99		250	250
LAURENTIAN BANK OF CANADA	Unités actions performance-UAP/Performance Share Units-PSUs	Barrière, François	5	05/12/2016	56	53.91		1,507
LAURENTIAN BANK OF CANADA	Unités actions performance-UAP/Performance Share Units-PSUs	Barrière, François	5	05/12/2016	56	53.91	1,884	1,884
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Bastarache, Lise	4	02/03/2017	35	58.823	4,173	42
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Baxendale, Sonia	4	02/03/2017	35	58.823	741	8
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Baxendale, Sonia	4	02/03/2017	56	59.186	1,089	348
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Bélanger, Richard	4	02/03/2017	35	58.823	1,712	17
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Bergeron, Louise	5	05/12/2016	56	53.91		347
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Bergeron, Louise	5	05/12/2016	56	53.91	2,341	434
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Bergeron, Pierre-Étienne	5	05/12/2016	56	53.91		1,595
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Bergeron, Pierre-Étienne	5	05/12/2016	56	53.91	4,162	1,993
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Bernier, Julie	5	05/12/2016	56	53.91		1,396
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Bernier, Julie	5	05/12/2016	56	53.91	3,458	1,745
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Bernier, Julie	5	05/12/2016	56	53.91		1,558
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Bernier, Julie	5	05/12/2016	56	53.91	1,586	1,586
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Blais, Liliane	5	05/12/2016	56	53.91		843
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Blais, Liliane	5	05/12/2016	56	53.91	5,622	1,054
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes différées-UARD / DRUSUs	Blais, Liliane	5	05/12/2016	56	53.91		779
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes différées-UARD / DRUSUs	Blais, Liliane	5	05/12/2016	56	53.91	5,611	801
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Boychuk, Michael T.	4	02/03/2017	35	58.823	5,138	53
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Boychuk, Michael T.	4	02/03/2017	56	59.186	5,231	93
LAURENTIAN BANK OF CANADA	Common Shares	Campbell, Gordon	4	06/03/2017	46	59.278	770	164

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LAURENTIAN BANK OF CANADA	Unités d'actions différées	Campbell, Gordon	4	02/03/2017	35	58.823	118	1
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Campbell, Gordon	4	02/03/2017	56	59.186	292	174
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Courville, Isabelle	4	02/03/2017	35	58.823	7,644	79
LAURENTIAN BANK OF CANADA	Unités actions performance-UAP/Performance Share Units-PSUs	De Broux, Christian	5	05/12/2016	56	53.91		2,167
LAURENTIAN BANK OF CANADA	Unités actions performance-UAP/Performance Share Units-PSUs	De Broux, Christian	5	05/12/2016	56	53.91	2,709	2,709
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	De Broux, Christian	5	05/12/2016	56	53.91		2,348
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	De Broux, Christian	5	05/12/2016	56	53.91	5,833	2,393
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Deschamps, Yvan	5	05/12/2016	56	53.91		916
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Deschamps, Yvan	5	05/12/2016	56	53.91	1,145	1,145
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes différées-UARD / DRSUs	Deschamps, Yvan	5	05/12/2016	56	53.91		489
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes différées-UARD / DRSUs	Deschamps, Yvan	5	05/12/2016	56	53.91	502	502
LAURENTIAN BANK OF CANADA	Unités actions performance-UAP/Performance Share Units-PSUs	Desjardins, François	4, 5	06/12/2016	56	53.91		37,748
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Desjardins, François	4, 5	06/12/2016	56	53.91		37,748
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Desjardins, François	4, 5	06/12/2016	56	53.91	128,128	44,635
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Gagné, Julie	5	05/12/2016	56	53.91		1,395
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Gagné, Julie	5	05/12/2016	56	53.91	4,000	1,744
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes différées-UARD / DRSUs	Gagné, Julie	5	05/12/2016	56	53.91		2,596
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes différées-UARD / DRSUs	Gagné, Julie	5	05/12/2016	56	53.91	2,639	2,639
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	King, Donald Fisher	7	05/12/2016	56	53.91		1,515
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	King, Donald Fisher	7	05/12/2016	56	53.91	1,894	1,894
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Kingaby, Carolyn Helen	7	05/12/2016	56	53.91		1,515
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Kingaby, Carolyn Helen	7	05/12/2016	56	53.91	1,894	1,894
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes différées-UARD / DRSUs	Kingaby, Carolyn Helen	7	05/12/2016	56	53.91		445

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LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Kingaby, Carolyn Helen	7	01/06/2016	00			
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Kingaby, Carolyn Helen	7	05/12/2016	56	53.91	457	457
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Kudzman, Susan	5	05/12/2016	56	53.91		9,571
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Kudzman, Susan	5	05/12/2016	56	53.91	22,800	11,408
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Kudzman, Susan	5	05/12/2016	56	53.91		4,340
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Kudzman, Susan	5	05/12/2016	56	53.91	6,166	4,458
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Labonté, Michel	4	02/03/2017	35	58.823	7,923	81
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Labonté, Michel	4	02/03/2017	56	59.186	8,086	163
LAURENTIAN BANK OF CANADA	Unités actions performance-UAP/Performance Share Units-PSUs	LAFRESNAYE, DIANE	7	05/12/2016	56	53.91		1,475
LAURENTIAN BANK OF CANADA	Unités actions performance-UAP/Performance Share Units-PSUs	LAFRESNAYE, DIANE	7	05/12/2016	56	53.91	3,007	1,845
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	LAFRESNAYE, DIANE	7	05/12/2016	56	53.91		2,196
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	LAFRESNAYE, DIANE	7	05/12/2016	56	53.91	6,837	2,241
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Laurin, François	5	05/12/2016	56	53.91		9,571
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Laurin, François	5	05/12/2016	56	53.91	20,423	11,407
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes différées-UARD / DRSUs	Laurin, François	5	05/12/2016	56	53.91		4,341
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes différées-UARD / DRSUs	Laurin, François	5	05/12/2016	56	53.91	5,149	4,459
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Lavigne, A. Michel	4	02/03/2017	35	58.823	1,436	15
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Lavigne, A. Michel	4	02/03/2017	56	59.186	1,523	87
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Lopresti, André	5	05/12/2016	56	53.91		1,595
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Lopresti, André	5	05/12/2016	56	53.91	7,068	1,994
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Lopresti, André	5	05/12/2016	56	53.91		2,021



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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Lopresti, André	5	05/12/2016	56	53.91	5,083	2,056
LAURENTIAN BANK OF CANADA	Unités actions performance-UAP/Performance Share Units-PSUs	Migliara, Antonino	5	05/12/2016	56	53.91		1,595
LAURENTIAN BANK OF CANADA	Unités actions performance-UAP/Performance Share Units-PSUs	Migliara, Antonino	5	05/12/2016	56	53.91	1,994	1,994
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Migliara, Antonino	5	05/12/2016	56	53.91		2,374
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Migliara, Antonino	5	05/12/2016	56	53.91	7,974	2,422
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	O'Neill, David	7	05/12/2016	56	53.91		1,675
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	O'Neill, David	7	05/12/2016	56	53.91	5,103	2,093
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	O'Neill, David	7	05/12/2016	56	53.91		3,116
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	O'Neill, David	7	05/12/2016	56	53.91	4,607	3,167
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Pilote, Diane	5	05/12/2016	56	53.91		1,595
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Pilote, Diane	5	05/12/2016	56	53.91	3,952	1,994
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Pilote, Diane	5	05/12/2016	56	53.91		2,611
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Pilote, Diane	5	05/12/2016	56	53.91	2,650	2,650
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Pinsonneault, Denis	5	05/12/2016	56	53.91		1,595
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Pinsonneault, Denis	5	05/12/2016	56	53.91	3,952	1,994
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes différées-UARD / DRSUs	Pinsonneault, Denis	5	05/12/2016	56	53.91		2,967
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes différées-UARD / DRSUs	Pinsonneault, Denis	5	05/12/2016	56	53.91	3,016	3,016
LAURENTIAN BANK OF CANADA	Unités actions performance-UAP/Performance Share Units-PSUs	Provost, Éric	5	05/12/2016	56	53.91		1,754
LAURENTIAN BANK OF CANADA	Unités actions performance-UAP/Performance Share Units-PSUs	Provost, Éric	5	05/12/2016	56	53.91	4,249	2,193
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Provost, Éric	5	05/12/2016	56	53.91		3,265

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Provost, Éric	5	05/12/2016	56	53.91	9,642	3,318
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Rose, Deborah	5	05/12/2016	56	53.91		12,780
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Rose, Deborah	5	05/12/2016	56	53.91	32,212	14,770
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Rose, Deborah	5	05/12/2016	56	53.91		4,702
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Rose, Deborah	5	05/12/2016	56	53.91	16,340	4,829
LAURENTIAN BANK OF CANADA	Common Shares	Savoy, Michelle Renee	4	06/03/2017	46	59.278	4,727	111
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Savoy, Michelle Renee	4	02/03/2017	35	58.823	4,191	43
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Savoy, Michelle Renee	4	02/03/2017	56	59.186	4,341	150
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Stamadianos, Eva	5	05/12/2016	56	53.91		1,675
LAURENTIAN BANK OF CANADA	Unités d'actions de performance différées-UAPD / DPSUs	Stamadianos, Eva	5	05/12/2016	56	53.91	7,206	2,094
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Stamadianos, Eva	5	05/12/2016	56	53.91		2,119
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Stamadianos, Eva	5	05/12/2016	56	53.91	7,116	2,160
LAURENTIAN BANK OF CANADA	Unités actions performance-UAP/Performance Share Units-PSUs	Therrien, Stéphane	5	05/12/2016	56	53.91		15,730
LAURENTIAN BANK OF CANADA	Unités actions performance-UAP/Performance Share Units-PSUs	Therrien, Stéphane	5	05/12/2016	56	53.91	50,595	18,179
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Therrien, Stéphane	5	05/12/2016	56	53.91		7,123
LAURENTIAN BANK OF CANADA	Unités d'actions restreintes-UAR/Restricted Share Units-RSUs	Therrien, Stéphane	5	05/12/2016	56	53.91	24,040	7,317
LAURENTIAN BANK OF CANADA	Common Shares	Wener, Jonathan I.	4	06/03/2017	46	59.278	8,211	103
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Wener, Jonathan I.	4	02/03/2017	35	58.823	1,712	17
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Wener, Jonathan I.	4	02/03/2017	56	59.186	1,823	111
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Wolburgh Jenah, Susan	4	02/03/2017	35	58.823	2,657	27
LAURENTIAN BANK OF CANADA	Unités d'actions différées	Wolburgh Jenah, Susan	4	02/03/2017	56	59.186	3,006	349
LEAGOLD MINING CORPORATION	Common Shares	Bowlby, Douglas John	5	08/03/2017	37		585,000	-2,340,000
LEAGOLD MINING CORPORATION	Options	Bowlby, Douglas John	5	08/03/2017	37		400,000	-1,600,000
LEAGOLD MINING CORPORATION	Subscription Receipts	Bowlby, Douglas John	5	08/09/2016	00			

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
LEAGOLD MINING CORPORATION	Subscription Receipts	Bowlby, Douglas John	5	08/03/2017	15	2.75	90,900	90,900
LEAGOLD MINING CORPORATION	Subscription Receipts	Campbell, Gordon	4	30/01/2017	00			
LEAGOLD MINING CORPORATION	Subscription Receipts	Campbell, Gordon	4	08/03/2017	15	2.75	12,700	12,700
LEAGOLD MINING CORPORATION	Common Shares	Clark, Wesley Kanne	4	08/03/2017	37		20,000	-80,000
LEAGOLD MINING CORPORATION	Common Shares	D'Silva, Bernadette Marie	5	08/03/2017	37		5,000	-20,000
LEAGOLD MINING CORPORATION	Common Shares	D'Silva, Bernadette Marie	5	08/03/2017	37		5,000	-20,000
LEAGOLD MINING CORPORATION	Options	D'Silva, Bernadette Marie	5	08/03/2017	37		20,000	-80,000
LEAGOLD MINING CORPORATION	Subscription Receipts	D'Silva, Bernadette Marie	5	11/07/2016	00			
LEAGOLD MINING CORPORATION	Subscription Receipts	D'Silva, Bernadette Marie	5	08/03/2017	15	2.75	10,800	10,800
LEAGOLD MINING CORPORATION	Subscription Receipts	D'Silva, Bernadette Marie	5	11/07/2016	00			
LEAGOLD MINING CORPORATION	Subscription Receipts	D'Silva, Bernadette Marie	5	08/03/2017	15	2.75	18,100	18,100
LEAGOLD MINING CORPORATION	Options	Dhaliwal, Harpreet Kaur	5	08/03/2017	37		80,000	-320,000
LEAGOLD MINING CORPORATION	Subscription Receipts	Dhaliwal, Harpreet Kaur	5	08/08/2016	00			
LEAGOLD MINING CORPORATION	Subscription Receipts	Dhaliwal, Harpreet Kaur	5	08/03/2017	15	2.75	10,100	10,100
LEAGOLD MINING CORPORATION	Common Shares	Giustra, Frank	3, 4	08/03/2017	15		630,000	-2,520,000
LEAGOLD MINING CORPORATION	Common Shares	Giustra, Frank	3, 4	08/03/2017	37		740,000	-2,960,000
LEAGOLD MINING CORPORATION	Common Shares	Giustra, Frank	3, 4	08/03/2017	37		1,055,000	-4,220,000
LEAGOLD MINING CORPORATION	Common Shares	Giustra, Frank	3, 4	08/03/2017	37		686,000	-2,744,000
LEAGOLD MINING CORPORATION	Options	Giustra, Frank	3, 4	08/03/2017	37		100,000	-400,000
LEAGOLD MINING CORPORATION	Subscription Receipts	Giustra, Frank	3, 4	11/07/2016	00			
LEAGOLD MINING CORPORATION	Subscription Receipts	Giustra, Frank	3, 4	08/03/2017	15	2.75	1,926,900	1,926,900
LEAGOLD MINING CORPORATION	Common Shares	Reddy, Doug	5	08/03/2017	37		157,000	-628,000
LEAGOLD MINING CORPORATION	Options	Reddy, Doug	5	08/03/2017	37		400,000	-1,600,000
LEAGOLD MINING CORPORATION	Subscription Receipts	Reddy, Doug	5	08/09/2016	00			
LEAGOLD MINING CORPORATION	Subscription Receipts	Reddy, Doug	5	08/03/2017	15	2.75	6,400	6,400
LEAGOLD MINING CORPORATION	Subscription Receipts	Rodriguez, Miguel	4	08/08/2016	00			
LEAGOLD MINING CORPORATION	Subscription Receipts	Rodriguez, Miguel	4	08/03/2017	15	2.75	17,500	17,500
LEAGOLD MINING CORPORATION	Common Shares	Woodyer, Neil	3, 4, 5	08/03/2017	37		5,525,000	-22,100,000
LEAGOLD MINING CORPORATION	Options	Woodyer, Neil	3, 4, 5	08/03/2017	37		1,000,000	-4,000,000
LEAGOLD MINING CORPORATION	Subscription Receipts	Woodyer, Neil	3, 4, 5	11/07/2016	00			
LEAGOLD MINING CORPORATION	Subscription Receipts	Woodyer, Neil	3, 4, 5	08/03/2017	15	2.75	2,032,550	2,032,550
Leon's Furniture Limited	Common Shares	Leon, Mark	4	23/05/2003	00			
Leon's Furniture Limited	Common Shares	Leon, Mark	4	08/03/2017	10	17.26	52,000	52,000
LeoNovus Inc.	Options	Archambault, Denis	4	05/01/2017	50	0.04		49,500
LeoNovus Inc.	Options	Archambault, Denis	4	05/01/2017	50	0.05		49,500

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Liberty Leaf HoldingsLtd. (formerly Weststar Resources Corp.)	Common Shares	Rascan, William	4, 5	08/03/2017	10	0.145	2,056,000	98,000
LiCo Energy Metals Inc.	Common Shares	wilson, richard	4	27/02/2017	10	0.185	325,000	-10,000
LiCo Energy Metals Inc.	Common Shares	wilson, richard	4	28/02/2017	10	0.185	315,000	-10,000
LiCo Energy Metals Inc.	Common Shares	wilson, richard	4	01/03/2017	10	0.175	300,000	-15,000
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	01/02/2017	38	21.35	3,200	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	02/02/2017	38	21.45	4,000	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	03/02/2017	38	21.55	4,800	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	06/02/2017	38	21.6	5,600	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	07/02/2017	38	21.65	6,400	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	08/02/2017	38	21.65	7,200	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	09/02/2017	38	21.65	8,000	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	13/02/2017	38	21.65	8,800	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	14/02/2017	38	21.71	9,600	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	15/02/2017	38	21.9	10,400	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	16/02/2017	38	22.1	11,200	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	17/02/2017	38	22.09	12,000	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	21/02/2017	38	22.35	12,800	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	23/02/2017	38	22.29	13,600	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	24/02/2017	38	22.29	14,400	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	28/02/2017	38	22.25	15,200	800
Limited Duration Investment Grade Preferred Securities Fund	Units Class A	Limited Duration Investment Grade Preferred Securities Fund	1	28/02/2017	38		1,600	-13,600
LIONS GATE ENTERTAINMENT CORP.	Common Shares Class A Voting	Goldsmith, Brian	5	09/03/2017	16		84,425	9,375
LIONS GATE ENTERTAINMENT CORP.	Common Shares Class A Voting	Goldsmith, Brian	5	09/03/2017	30	26.23	79,573	-4,852
LIONS GATE ENTERTAINMENT CORP.	Non-Voting Shares Class B	Goldsmith, Brian	5	09/03/2017	16		84,425	9,375
LIONS GATE ENTERTAINMENT CORP.	Non-Voting Shares Class B	Goldsmith, Brian	5	09/03/2017	30	24.45	79,533	-4,892
LIONS GATE ENTERTAINMENT CORP.	Options	Goldsmith, Brian	5	09/03/2017	50		213,728	16,582
LIONS GATE ENTERTAINMENT CORP.	Options	Goldsmith, Brian	5	09/03/2017	50		213,728	16,582

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
LIONS GATE ENTERTAINMENT CORP.	Common Shares Class A Voting	Levin, Wayne	5	09/03/2017	16		105,910	9,375
LIONS GATE ENTERTAINMENT CORP.	Common Shares Class A Voting	Levin, Wayne	5	09/03/2017	30	26.23	102,395	-3,515
LIONS GATE ENTERTAINMENT CORP.	Non-Voting Shares Class B	Levin, Wayne	5	09/03/2017	16		105,910	9,375
LIONS GATE ENTERTAINMENT CORP.	Non-Voting Shares Class B	Levin, Wayne	5	09/03/2017	30	24.45	102,387	-3,523
LIONS GATE ENTERTAINMENT CORP.	Options	Levin, Wayne	5	09/03/2017	50		259,995	16,582
LIONS GATE ENTERTAINMENT CORP.	Options	Levin, Wayne	5	09/03/2017	50	37.86		16,582
LIONS GATE ENTERTAINMENT CORP.	Options	Levin, Wayne	5	09/03/2017	50	37.86		16,582
LIONS GATE ENTERTAINMENT CORP.	Options	Levin, Wayne	5	09/03/2017	50		259,995	16,582
Lithium Americas Corp.	Common Shares	Hodgson, William Thomas	4	10/03/2017	11	0.92	1,045,878	-20,000
Lithium Americas Corp.	Common Shares	Hodgson, William Thomas	4	10/03/2017	11	0.92	59,450	20,000
Loblaws Companies Limited	Common Shares	Bourdeau, Jocyanne C.	5	01/03/2017	97		1,809	-313
Loblaws Companies Limited	Options	Bourdeau, Jocyanne C.	5	01/03/2017	97		62,721	-60,675
Loblaws Companies Limited	Options	Bourdeau, Jocyanne C.	5	02/03/2017	50	70.13	87,671	24,950
Loblaws Companies Limited	Performance Share Units	Bourdeau, Jocyanne C.	5	25/07/2007	00			
Loblaws Companies Limited	Performance Share Units	Bourdeau, Jocyanne C.	5	01/03/2017	97		6,203	6,203
Loblaws Companies Limited	Performance Share Units	Bourdeau, Jocyanne C.	5	02/03/2017	56		9,768	3,565
Loblaws Companies Limited	Restricted Share Units	Bourdeau, Jocyanne C.	5	25/07/2007	00			
Loblaws Companies Limited	Restricted Share Units	Bourdeau, Jocyanne C.	5	01/03/2017	97		6,203	6,203
Loblaws Companies Limited	Restricted Share Units	Bourdeau, Jocyanne C.	5	02/03/2017	56		9,768	3,565
Loblaws Companies Limited	Rights - Deferred Share Units	Clark, Christie James Beckett	4	03/01/2017	56			362
Loblaws Companies Limited	Rights - Deferred Share Units	Clark, Christie James Beckett	4	03/01/2017	56		1,508	375
Loblaws Companies Limited	Options	Columb, Kieran Barry	5	02/03/2017	50	70.13	370,160	36,593
Loblaws Companies Limited	Performance Share Units	Columb, Kieran Barry	5	02/03/2017	56		22,813	5,228
Loblaws Companies Limited	Restricted Share Units	Columb, Kieran Barry	5	02/03/2017	56		22,813	5,228
Loblaws Companies Limited	Options	Davis, Sarah Ruth	5	02/03/2017	50	70.13	347,777	89,820
Loblaws Companies Limited	Performance Share Units	Davis, Sarah Ruth	5	02/03/2017	56		67,038	12,833
Loblaws Companies Limited	Restricted Share Units	Davis, Sarah Ruth	5	02/03/2017	56		33,524	12,833
Loblaws Companies Limited	Options	Dufresne, Richard	6, 5	02/03/2017	50	70.13	87,728	30,273
Loblaws Companies Limited	Performance Share Units	Dufresne, Richard	6, 5	02/03/2017	56		38,977	4,325
Loblaws Companies Limited	Restricted Share Units	Dufresne, Richard	6, 5	02/03/2017	56		13,514	4,325
Loblaws Companies Limited	Rights - Deferred Share Units	Harris, M. Marianne	4	03/01/2017	56			618
Loblaws Companies Limited	Rights - Deferred Share Units	Harris, M. Marianne	4	03/01/2017	56		1,690	645
Loblaws Companies Limited	Rights - Deferred Share Units	Kotchka, Claudia	4	03/01/2017	56			618
Loblaws Companies Limited	Rights - Deferred Share Units	Kotchka, Claudia	4	03/01/2017	56		1,690	645
Loblaws Companies Limited	Options	Motz, Michael James	7	02/03/2017	50	70.13	163,593	53,227
Loblaws Companies Limited	Performance Share Units	Motz, Michael James	7	02/03/2017	56		35,393	7,605
Loblaws Companies Limited	Restricted Share Units	Motz, Michael James	7	02/03/2017	56		49,616	30,420
Loblaws Companies Limited	Rights - Deferred Share Units	Raiss, Sarah	4	03/01/2017	56			645
Loblaws Companies Limited	Rights - Deferred Share Units	Raiss, Sarah	4	03/01/2017	56		25,173	672
Loblaws Companies Limited	Options	Senecal, Garry	5	02/03/2017	50	70.13	264,360	43,247
Loblaws Companies Limited	Performance Share Units	Senecal, Garry	5	02/03/2017	56		43,763	6,179
Loblaws Companies Limited	Restricted Share Units	Senecal, Garry	5	02/03/2017	56		23,786	6,179
Loblaws Companies Limited	Options	Weston, Willard Galen Garfield	4, 5	02/03/2017	50	70.13	1,389,638	78,510
Loblaws Companies Limited	Performance Share Units	Weston, Willard Galen Garfield	4, 5	02/03/2017	56		73,133	11,217

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Loblaw Companies Limited	Restricted Share Units	Weston, Willard Galen Garfield	4, 5	02/03/2017	56		73,133	11,217
Logistec Corporation	Common Shares Class A	LOGISTEC CORPORATION	1	16/02/2017	38	36	400	400
Logistec Corporation	Common Shares Class A	LOGISTEC CORPORATION	1	28/02/2017	38		0	-400
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	27/02/2017	38	34.25	500	500
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	28/02/2017	38	34.5	600	100
Logistec Corporation	Subordinate Voting Shares Class B	LOGISTEC CORPORATION	1	06/03/2017	38		0	-600
Lomiko Metals Inc.	Common Shares	Gill, Amrit Paul Singh	5	21/02/2017	10	0.245		1,000
Lomiko Metals Inc.	Common Shares	Gill, Amrit Paul Singh	5	21/02/2017	10	0.245	454,610	1,000
Lomiko Metals Inc.	Common Shares	Gill, Amrit Paul Singh	5	21/02/2017	10	0.245		4,000
Lomiko Metals Inc.	Common Shares	Gill, Amrit Paul Singh	5	21/02/2017	10	0.255	458,610	4,000
Lomiko Metals Inc.	Common Shares	Gill, Amrit Paul Singh	5	03/03/2017	10	0.23	515,610	10,000
Lomiko Metals Inc.	Common Shares	Gill, Amrit Paul Singh	5	03/03/2017	10	0.24	535,610	20,000
Lotus Ventures Inc.	Common Shares	Mathiesen, Steve	4	07/03/2017	10	0.37	907,000	-12,000
Lotus Ventures Inc.	Common Shares	McClanaghan, Dale	4, 5	09/03/2017	47	0.38	0	-500,000
Lucara Diamond Corp.	Options	Armstrong, John Patrick	5	08/03/2017	50	2.8	240,000	60,000
Lucara Diamond Corp.	Rights Share Units	Armstrong, John Patrick	5	08/03/2017	56		209,039	49,000
Lucara Diamond Corp.	Options	Kondo, Glenn	5	08/03/2017	50	2.8	333,334	100,000
Lucara Diamond Corp.	Rights Share Units	Kondo, Glenn	5	08/03/2017	56		343,423	80,500
Lucara Diamond Corp.	Options	Lahri, Naseem Banu	7	08/03/2017	50	2.8	170,000	50,000
Lucara Diamond Corp.	Options	Lamb, William	4, 5	08/03/2017	50	2.8	500,000	150,000
Lucara Diamond Corp.	Rights Share Units	Lamb, William	4, 5	08/03/2017	56		634,287	115,500
Lucara Diamond Corp.	Options	Lecour, Jennifer Elizabeth	5	08/03/2017	50	2.8	166,667	50,000
Lucara Diamond Corp.	Rights Share Units	Lecour, Jennifer Elizabeth	5	08/03/2017	56		164,244	38,500
Lucara Diamond Corp.	Options	Ndlovu, Gerald	7	08/03/2017	50	2.8	170,000	50,000
Lumenpulse Inc.	Common Shares	Campbell, Gregory Sullivan	5	13/12/2016	71	4.45		3,000
Lumenpulse Inc.	Common Shares	Campbell, Gregory Sullivan	5	13/12/2016	51	4.45	3,214	3,000
Lumenpulse Inc.	Options	Campbell, Gregory Sullivan	5	13/12/2016	71	4.45		3,000
Lumenpulse Inc.	Options	Campbell, Gregory Sullivan	5	13/12/2016	51	4.45	123,500	3,000
Lumina Gold Corp.	Options	Hicks, Scott Victor Andrew	5	06/03/2017	00		500,000	
Lundin Gold Inc.	Common Shares	Zebra Holdings and Investments S.à.r.l.	3	02/03/2017	11		30,735,392	905
Lundin Mining Corporation	Common Shares	Peniuk, Dale Canfield	4	27/02/2017	97	8.15	0	-2,500
Lundin Mining Corporation	Common Shares	Peniuk, Dale Canfield	4	27/02/2017	97	8.15	2,600	2,500
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Lance, Howard	5	02/03/2017	10	66.35	19,186	7,530
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Phillips, Robert L.	4	07/03/2017	10	65.33	6,000	1,000
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Wirasekara, Anil	5	02/03/2017	10	66.7	13,949	3,000
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Zahler, Eric	4	01/05/2014	00			
MacDonald, Dettwiler and Associates Ltd.	Common Shares	Zahler, Eric	4	03/03/2017	10	65.42	1,000	1,000
Madalena Energy Inc.	Convertible Debentures	Macdonald, Keith Elliott	4	13/07/2016	16	0.25		\$35,000
Madalena Energy Inc.	Convertible Debentures	Macdonald, Keith Elliott	4	22/06/2010	00			
Madalena Energy Inc.	Convertible Debentures	Macdonald, Keith Elliott	4	13/07/2016	16	0.25	\$35,000	\$35,000
Magna International Inc.	Units Deferred Share Units	Eyton, J. Trevor	4	31/12/2016	56	42.65	30,993	61
Magna International Inc.	Options	Galifi, Vincent Joseph	5	08/03/2017	50	42.73	762,551	225,964
Magna International Inc.	Rights ROIC	Galifi, Vincent Joseph	5	08/03/2017	56	43.92	53,324	43,989
Magna International Inc.	Rights TSR	Galifi, Vincent Joseph	5	08/03/2017	56	43.92	26,662	21,994
Magna International Inc.	Units Deferred Share Units	Lauk, Kurt	4	31/12/2016	56	42.65	25,412	49
Magna International Inc.	Common Shares	Magna International Inc.	1	28/02/2017	38	57.275	196,683	47,500
Magna International Inc.	Common Shares	Magna International Inc.	1	28/02/2017	38	43.154	244,183	47,500
Magna International Inc.	Common Shares	Niekamp, Cynthia	4	08/03/2017	10	42.74	6,600	2,000

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Magna International Inc.	Units Deferred Share Units	Niekamp, Cynthia	4	31/12/2016	56	42.65	5,260	23
Magna International Inc.	Units Deferred Share Units	Samarasekera, Indira Vasanti	4	31/12/2016	56	42.65	15,018	46
Magna International Inc.	Common Shares	Seguin, Francis	5	07/03/2017	10	57.63	35,708	5,400
Magna International Inc.	Options	Walker, Donald James	4, 5	08/03/2017	50	42.73	2,096,996	556,725
Magna International Inc.	Rights ROIC	Walker, Donald James	4, 5	08/03/2017	56	43.92	135,427	108,378
Magna International Inc.	Rights TSR	Walker, Donald James	4, 5	08/03/2017	56	43.92	67,714	54,189
Magna International Inc.	Units Deferred Share Units	Worrall, Lawrence	4	31/12/2016	56	42.65	50,017	116
Magna International Inc.	Units Deferred Share Units	Young, William	4	31/12/2016	56	42.65	73,910	213
Majescor Resources Inc.	Common Shares	Audet, André	4, 5	03/03/2017	10	0.0908	2,722,000	30,000
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	09/03/2017	10	7.39	69,500	2,000
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	09/03/2017	10	7.38	70,500	1,000
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	09/03/2017	10	7.21	72,500	2,000
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	09/03/2017	10	7.21	75,500	3,000
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	09/03/2017	10	7.41	77,500	2,000
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	10/03/2017	10	7.01	77,700	200
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	10/03/2017	10	6.91	78,700	1,000
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	10/03/2017	10	6.92	79,200	500
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	10/03/2017	10	6.94	79,800	600
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	10/03/2017	10	6.99	80,800	1,000
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	10/03/2017	10	7.04	81,800	1,000
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	10/03/2017	10	7.03	82,800	1,000
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	10/03/2017	10	7.01	83,100	300
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	10/03/2017	10	7.08	83,500	400
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	10/03/2017	10	7.09	84,200	700
Major Drilling Group International Inc.	Common Shares	Tennant, David Buchanan	4	08/03/2017	10	7.54	13,400	3,500
Maple Leaf Foods Inc.	Options Employee	Brooks, Bentley Andrew	5	01/03/2017	50	30.86	70,150	18,350
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Brooks, Bentley Andrew	5	01/03/2017	56		14,084	2,120
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Brooks, Bentley Andrew	5	01/03/2017	56		9,280	2,120
Maple Leaf Foods Inc.	Options Employee	Cappuccitti, Rocco	5	01/03/2017	50	30.86	105,200	14,700
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Cappuccitti, Rocco	5	01/03/2017	56		17,764	1,695
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Cappuccitti, Rocco	5	01/03/2017	56		11,005	1,695
Maple Leaf Foods Inc.	Options Employee	Compton, Christopher Paul	5	01/03/2017	50	30.86	48,100	11,000
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Compton, Christopher Paul	5	01/03/2017	56		10,632	1,275
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Compton, Christopher Paul	5	01/03/2017	56		6,335	1,275

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Elmer, Stephen Lloyd	5	01/03/2017	56		12,545	2,375
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Elmer, Stephen Lloyd	5	01/03/2017	56		12,545	2,375
Maple Leaf Foods Inc.	Options Employee	Frank, Curtis Eugene	5	01/03/2017	50	30.86	85,150	22,050
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Frank, Curtis Eugene	5	01/03/2017	56		16,301	2,540
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Frank, Curtis Eugene	5	01/03/2017	56		32,170	2,540
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Gratton, Glen Lucien	5	01/03/2017	56		12,360	2,540
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Gratton, Glen Lucien	5	01/03/2017	56		12,360	2,540
Maple Leaf Foods Inc.	Options Employee	Grogan, Adam John	5	01/03/2017	50	30.86	98,850	22,050
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Grogan, Adam John	5	01/03/2017	56		19,155	2,540
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Grogan, Adam John	5	01/03/2017	56		34,220	2,540
Maple Leaf Foods Inc.	Options Employee	Henry, Ian Vincent	5	01/03/2017	50	30.86	94,450	22,050
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Henry, Ian Vincent	5	01/03/2017	56		19,363	2,540
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Henry, Ian Vincent	5	01/03/2017	56		13,730	2,540
Maple Leaf Foods Inc.	Common Shares	Huffman, Randall	5	02/03/2017	10	31.325	5,160	-19,300
Maple Leaf Foods Inc.	Common Shares	Huffman, Randall	5	06/03/2017	51	11.36	24,460	19,300
Maple Leaf Foods Inc.	Options Employee	Huffman, Randall	5	01/03/2017	50	30.86	201,900	29,400
Maple Leaf Foods Inc.	Options Employee	Huffman, Randall	5	06/03/2017	51	11.36	182,600	-19,300
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Huffman, Randall	5	01/03/2017	56		27,851	3,390
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Huffman, Randall	5	01/03/2017	56		17,850	3,390
Maple Leaf Foods Inc.	Options Employee	Kuhn, Lynda J.	5	01/03/2017	50	30.86	202,750	22,050
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Kuhn, Lynda J.	5	01/03/2017	56		20,386	2,540
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Kuhn, Lynda J.	5	01/03/2017	56		14,190	2,540
Maple Leaf Foods Inc.	Options Employee	Liris, Andreas	5	01/03/2017	50	30.86	64,050	18,350
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Liris, Andreas	5	01/03/2017	56		18,895	2,120
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Liris, Andreas	5	01/03/2017	56		14,067	2,120
Maple Leaf Foods Inc.	Options Employee	Maksymetz, Gary Louis	5	01/03/2017	50	30.86	570,200	88,200
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Maksymetz, Gary Louis	5	01/03/2017	56		66,625	10,165
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Maksymetz, Gary Louis	5	01/03/2017	56		48,085	10,165
Maple Leaf Foods Inc.	Options Employee	McAlpine, Rory A.	5	01/03/2017	50	30.86	42,600	14,700
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	McAlpine, Rory A.	5	01/03/2017	56		11,812	1,695



**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	McAlpine, Rory A.	5	01/03/2017	56		7,515	1,695
Maple Leaf Foods Inc.	Options Employee	McCain, Michael Harrison	4, 5	01/03/2017	50	30.86	2,651,000	348,300
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	McCain, Michael Harrison	4, 5	01/03/2017	56		223,824	40,170
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	McCain, Michael Harrison	4, 5	01/03/2017	56		189,700	40,170
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	McLean, Rene Richard	5	01/03/2017	56		21,465	4,235
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	McLean, Rene Richard	5	01/03/2017	56		17,916	4,235
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Rawle, Michael Ross	5	01/03/2017	56		3,075	1,355
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Rawle, Michael Ross	5	01/03/2017	56		3,075	1,355
Maple Leaf Foods Inc.	Options Employee	Simpson, Deborah Keenan	5	01/03/2017	50	30.86	226,100	55,100
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Simpson, Deborah Keenan	5	01/03/2017	56		41,576	6,355
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Simpson, Deborah Keenan	5	01/03/2017	56		29,345	6,355
Maple Leaf Foods Inc.	Options Employee	Stewart, Iain William	5	01/03/2017	50	30.86	73,900	20,200
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Stewart, Iain William	5	01/03/2017	56		15,082	2,330
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Stewart, Iain William	5	01/03/2017	56		9,530	2,330
Maple Leaf Foods Inc.	Common Shares	Young, Richard	5	03/03/2017	10	31.65	150,937	-3,000
Maple Leaf Foods Inc.	Common Shares	Young, Richard	5	03/03/2017	10	31.764	149,937	-1,000
Maple Leaf Foods Inc.	Common Shares	Young, Richard	5	03/03/2017	10	31.52	148,937	-1,000
Maple Leaf Foods Inc.	Common Shares	Young, Richard	5	03/03/2017	10	31.75	146,937	-2,000
Maple Leaf Foods Inc.	Common Shares	Young, Richard	5	03/03/2017	10	31.7	139,537	-7,400
Maple Leaf Foods Inc.	Common Shares	Young, Richard	5	03/03/2017	10	31.62	138,537	-1,000
Maple Leaf Foods Inc.	Common Shares	Young, Richard	5	03/03/2017	10	31.57	137,537	-1,000
Maple Leaf Foods Inc.	Common Shares	Young, Richard	5	06/03/2017	10	31.6	136,537	-1,000
Maple Leaf Foods Inc.	Options Employee	Young, Richard	5	01/03/2017	50	30.86	230,800	25,700
Maple Leaf Foods Inc.	Rights PSU - Performance Share Units settled with market shares	Young, Richard	5	01/03/2017	56		26,940	2,970
Maple Leaf Foods Inc.	Rights Restricted Share Units settled with market shares	Young, Richard	5	01/03/2017	56		16,560	2,970
Marquee Energy Ltd. (formerly, Alberta Oilsands Inc.)	Common Shares	Roach, William	4	08/03/2017	10	0.115	73,500	13,410
Martinrea International Inc.	Common Shares	D'Eramo, Frank Patrick	4	07/03/2017	10	7.046		2,600
Martinrea International Inc.	Common Shares	D'Eramo, Frank Patrick	4	07/03/2017	10	7.046		2,600
Martinrea International Inc.	Common Shares	D'Eramo, Frank Patrick	4	07/03/2017	10	7.0534		4,900
Martinrea International Inc.	Common Shares	D'Eramo, Frank Patrick	4	07/03/2017	10	7.0534		4,900
Martinrea International Inc.	Common Shares	D'Eramo, Frank Patrick	4	07/03/2017	10	7.046		2,600
Martinrea International Inc.	Common Shares	D'Eramo, Frank Patrick	4	07/03/2017	10	7.046		2,600
Martinrea International Inc.	Common Shares	D'Eramo, Frank Patrick	4	07/03/2017	10	7.046	82,476	2,600
Martinrea International Inc.	Common Shares	D'Eramo, Frank Patrick	4	07/03/2017	10	7.0534	87,376	4,900
Martinrea International Inc.	Common Shares	D'Eramo, Frank Patrick	4	07/03/2017	10	7.0609	93,476	6,100
Martinrea International Inc.	Common Shares	D'Eramo, Frank Patrick	4	07/03/2017	10	7.0683	96,076	2,600
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.41		1,100
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.41		1,100

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.42		2,200
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.42		2,200
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.43		4,700
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.43		4,700
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.45		1,100
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.45		1,100
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.43		165
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.43		165
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.41	41,205	1,100
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.42	43,405	2,200
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.43	48,270	4,865
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.44	49,370	1,100
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	09/03/2017	10	9.45	57,170	7,800
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	10/03/2017	10	9.75		2,200
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	10/03/2017	10	9.75		2,200
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	10/03/2017	10	9.76		700
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	10/03/2017	10	9.76		700
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	10/03/2017	10	9.75	59,370	2,200
Martinrea International Inc.	Common Shares	Di Tosto, Alfred	5	10/03/2017	10	9.76	60,070	700
Martinrea International Inc.	Common Shares	Wildeboer, Robert Peter Edward	4, 5	08/03/2017	51	7.33	450,000	27,500
Martinrea International Inc.	Options Options to purchase common shares	Wildeboer, Robert Peter Edward	4, 5	08/03/2017	51	7.33	660,000	27,500
Mason Graphite Inc.	Common Shares	Marcotte, Simon	5	07/03/2017	10	1.3	1,318,600	1,200
Maxtech Ventures Inc.	Options	Cheung, Ryan	4	14/02/2017	00			
Maxtech Ventures Inc.	Options	Cheung, Ryan	4	10/03/2017	50	0.47	50,000	50,000
Maxtech Ventures Inc.	Options	Wilson, Peter Grant	4, 5	26/10/2016	00			
Maxtech Ventures Inc.	Options	Wilson, Peter Grant	4, 5	03/03/2017	50	0.47	400,000	400,000
Maxtech Ventures Inc.	Options	Wilson, Peter Grant	4, 5	26/10/2016	00			
Maxtech Ventures Inc.	Options	Wilson, Peter Grant	4, 5	03/03/2017	50	0.47	225,000	225,000
MAYA GOLD & SILVER INC.	Common Shares	Taub, Robert	4	23/11/2016	00		320,000	
MAYA GOLD & SILVER INC.	Common Shares	Taub, Robert	4	23/11/2016	00			
MAYA GOLD & SILVER INC.	Common Shares	Taub, Robert	4	23/11/2016	00		16,602,000	
MBN Corporation	Units	MBN Corporation	1	07/03/2017	38	6.1	1,400	1,400
MBN Corporation	Units	MBN Corporation	1	07/03/2017	38		0	-1,400
MBN Corporation	Units	MBN Corporation	1	08/03/2017	38	6.1	1,800	1,800
MBN Corporation	Units	MBN Corporation	1	08/03/2017	38		0	-1,800
MBN Corporation	Units	MBN Corporation	1	09/03/2017	38	6.1	300	300
MBN Corporation	Units	MBN Corporation	1	09/03/2017	38		0	-300
MCAN Mortgage Corporation	Common Shares	Beaudry, Martin	5	02/03/2017	30	14.905	6,709	6,709
MCAN Mortgage Corporation	Performance Share Units	Beaudry, Martin	5	05/12/2016	00			
MCAN Mortgage Corporation	Performance Share Units	Beaudry, Martin	5	02/03/2017	56	14.942	1,339	1,339
MCAN Mortgage Corporation	Performance Share Units	Brown, Carl Scott	5	02/03/2017	56	14.94	4,323	2,148
MCAN Mortgage Corporation	Performance Share Units	Brown, Carl Scott	5	02/03/2017	56	14.942	5,104	781
MCAN Mortgage Corporation	Common Shares	Cruise, Brydon	4	07/03/2017	10	15.1	5,490	360
MCAN Mortgage Corporation	Common Shares	Jandrisits, William John	4, 7, 5	01/02/2017	30	14.892	9,801	93
MCAN Mortgage Corporation	Common Shares	Jandrisits, William John	4, 7, 5	16/02/2017	30	15	9,894	93
MCAN Mortgage Corporation	Common Shares	Jandrisits, William John	4, 7, 5	01/03/2017	30	15.021	9,987	93
MCAN Mortgage Corporation	Performance Deferred Share Units	Jandrisits, William John	4, 7, 5	02/03/2017	56	14.942		2,855
MCAN Mortgage Corporation	Performance Share Units	Jandrisits, William John	4, 7, 5	04/08/2010	00			
MCAN Mortgage Corporation	Performance Share Units	Jandrisits, William John	4, 7, 5	02/03/2017	56	14.942	2,855	2,855
MCAN Mortgage Corporation	Performance Share Units	Jensen, Michael Edward	5	01/10/2014	00			
MCAN Mortgage Corporation	Performance Share Units	Jensen, Michael Edward	5	02/03/2017	56	14.942	387	387
MCAN Mortgage Corporation	Restricted Share Units	Jensen, Michael Edward	5	02/03/2017	56	14.942	2,304	1,163
MCAN Mortgage Corporation	Performance Share Units	Tyas, John	5	04/11/2004	00			
MCAN Mortgage Corporation	Performance Share Units	Tyas, John	5	02/03/2017	56	14.94	385	385
MCAN Mortgage Corporation	Restricted Share Units	Tyas, John	5	02/03/2017	56	14.942	4,005	1,253

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Mediagrif Interactive Technologies Inc.	Common Shares	Roy, Claude	3, 4, 5	07/03/2017	10	16.62	38,520	3,750
Mediagrif Interactive Technologies Inc.	Common Shares	Roy, Claude	3, 4, 5	07/03/2017	10	16.74	38,620	100
Mediagrif Interactive Technologies Inc.	Common Shares	Roy, Claude	3, 4, 5	08/03/2017	10	16.53	39,620	1,000
Mediagrif Interactive Technologies Inc.	Common Shares	Roy, Claude	3, 4, 5	08/03/2017	10	16.56	40,620	1,000
Mega Uranium Ltd.	Common Shares	Global X Uranium ETF	3	28/02/2017	00			
Mega Uranium Ltd.	Common Shares	Global X Uranium ETF	3	28/02/2017	00		32,049,176	
Memex Inc.	Common Shares	Lacey, Peter Alan	4	01/03/2017	10	0.24	2,363,175	320,000
Memex Inc.	Common Shares	Lacey, Peter Alan	4	06/03/2017	10	0.24	2,454,175	91,000
Memex Inc.	Common Shares	Lacey, Peter Alan	4	01/03/2017	10	0.245	257,088	-410,922
Metalla Royalty & Streaming Ltd.	Options	Beaudry, Charles	4	06/03/2017	50	0.58	625,000	25,000
Metalla Royalty & Streaming Ltd.	Options	Gallagher, Timothy David	4	06/03/2017	50	0.58	783,333	100,000
Metalla Royalty & Streaming Ltd.	Options	Heath, Brett	8	06/03/2017	50	0.58	1,666,667	200,000
Metalla Royalty & Streaming Ltd.	Options	McLean, Donna Ruth	5	06/03/2017	50	0.58	245,834	12,500
Metalla Royalty & Streaming Ltd.	Options	Tucker, E.B.	4	06/03/2017	50	0.58	333,333	100,000
Metallic Minerals Corp.	Common Shares	Harris, William Glen	4	09/03/2017	10	0.375	1,562,700	2,500
Metallic Minerals Corp.	Common Shares	Harris, William Glen	4	09/03/2017	10	0.38	1,564,200	1,500
Metallic Minerals Corp.	Common Shares	Harris, William Glen	4	09/03/2017	10	0.365	1,566,700	2,500
Metallic Minerals Corp.	Common Shares	Harris, William Glen	4	09/03/2017	10	0.37	1,567,200	500
Metallis Resources Inc.	Common Shares Warrants - March 2, 2017 Expiry	Lever, Jon Stacy	4, 5	02/03/2017	55	0.2	0	-25,000
Metalo Manufacturing Inc. (formerly Muskrat Minerals Incorporated)	Common Shares	MacKenzie, Francis	4	06/03/2017	10	0.37	1,107,348	4,000
Metalo Manufacturing Inc. (formerly Muskrat Minerals Incorporated)	Common Shares	MacKenzie, Francis	4	07/03/2017	10	0.3	1,113,848	6,500
Metalo Manufacturing Inc. (formerly Muskrat Minerals Incorporated)	Common Shares	MacKenzie, Francis	4	09/03/2017	10	0.49	1,115,848	2,000
Metanor Resources Inc.	Common Shares	Sprott, Eric S.	3	06/03/2017	10	0.07	30,733,000	-965,000
Metanor Resources Inc.	Common Shares	Sprott, Eric S.	3	07/03/2017	10	0.0646	29,198,000	-1,535,000
Metanor Resources Inc.	Common Shares	Sprott, Eric S.	3	07/03/2017	11	0.06	11,698,000	-17,500,000
Methanex Corporation	Rights Performance Share Units	ABRARY, NOJAN	7	03/03/2017	56		5,209	1,000
Methanex Corporation	Rights Share Appreciation Rights	ABRARY, NOJAN	7	03/03/2017	56		42,350	3,700
Methanex Corporation	Rights Deferred Share Units	Arnell, Douglas James	4	01/10/2016	00			
Methanex Corporation	Rights Deferred Share Units	Arnell, Douglas James	4	03/03/2017	56		1,700	1,700
Methanex Corporation	Rights Performance Share Units	BACH, WENDY	5	03/03/2017	56		30,134	7,000
Methanex Corporation	Rights Share Appreciation Rights	BACH, WENDY	5	03/03/2017	56		175,100	24,000
Methanex Corporation	Rights Performance Share Units	Cameron, Ian Peter	5	03/03/2017	56		36,230	10,000
Methanex Corporation	Rights Share Appreciation Rights	Cameron, Ian Peter	5	03/03/2017	56		254,000	35,000
Methanex Corporation	Options	Chadee, Rudinauth	7	03/03/2017	50		24,600	2,300
Methanex Corporation	Rights Performance Share Units	Chadee, Rudinauth	7	03/03/2017	56		3,336	600
Methanex Corporation	Rights Restricted Share Units	Cook, Phillip Henry	4	03/03/2017	56		5,768	1,700
Methanex Corporation	Rights Performance Share Units	Daoust, Paul	7	03/03/2017	56		5,401	1,300
Methanex Corporation	Rights Share Appreciation Rights	Daoust, Paul	7	03/03/2017	56		43,200	4,700

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Methanex Corporation	Rights Performance Share Units	Floren, John	4, 5	03/03/2017	56		130,739	32,000
Methanex Corporation	Rights Share Appreciation Rights	Floren, John	4, 5	03/03/2017	56		771,000	116,000
Methanex Corporation	Rights Performance Share Units	Gonzalez, Juan Enrique	7	03/03/2017	56		6,773	1,300
Methanex Corporation	Rights Share Appreciation Rights	Gonzalez, Juan Enrique	7	03/03/2017	56		45,500	4,700
Methanex Corporation	Rights Restricted Share Units	Hamilton, Tom	4	03/03/2017	56		11,137	3,000
Methanex Corporation	Rights Performance Share Units	Henderson, Kevin	5	03/03/2017	56		12,465	7,000
Methanex Corporation	Rights Share Appreciation Rights	Henderson, Kevin	5	03/03/2017	56		74,000	24,000
Methanex Corporation	Rights Performance Share Units	Herz, Mike	7	03/03/2017	56		30,134	7,000
Methanex Corporation	Rights Share Appreciation Rights	Herz, Mike	7	03/03/2017	56		184,000	26,000
Methanex Corporation	Rights Performance Share Units	Hognestad, Jone	7	03/03/2017	56		6,152	1,100
Methanex Corporation	Rights Share Appreciation Rights	Hognestad, Jone	7	03/03/2017	56		50,500	4,100
Methanex Corporation	Rights Performance Share Units	James, Vanessa	7	03/03/2017	56		30,134	7,000
Methanex Corporation	Rights Share Appreciation Rights	James, Vanessa	7	03/03/2017	56		184,000	26,000
Methanex Corporation	Rights Restricted Share Units	Kostelnik, Robert	4	03/03/2017	56		5,768	1,700
Methanex Corporation	Rights Performance Share Units	Maddren, Simon Christopher	5	03/03/2017	56		6,558	1,300
Methanex Corporation	Rights Share Appreciation Rights	Maddren, Simon Christopher	5	03/03/2017	56		41,300	4,700
Methanex Corporation	Rights Performance Share Units	MALONEY, KEVIN	5	03/03/2017	56		6,558	1,300
Methanex Corporation	Rights Share Appreciation Rights	MALONEY, KEVIN	5	03/03/2017	56		45,500	4,700
Methanex Corporation	Rights Performance Share Units	Parra, Gustavo	7	03/03/2017	56		6,450	1,300
Methanex Corporation	Rights Share Appreciation Rights	Parra, Gustavo	7	03/03/2017	56		51,200	4,700
Methanex Corporation	Rights Deferred Share Units	Poole, Albert Terence	4	03/03/2017	56		60,886	1,700
Methanex Corporation	Rights Performance Share Units	Price, Kevin	5	03/03/2017	56		5,918	1,300
Methanex Corporation	Rights Share Appreciation Rights	Price, Kevin	5	03/03/2017	56		34,550	4,700
Methanex Corporation	Rights Performance Share Units	Shindy, Mohamed	7	03/03/2017	56		4,102	1,500
Methanex Corporation	Rights Share Appreciation Rights	Shindy, Mohamed	7	03/03/2017	56		26,800	5,600
Methanex Corporation	Rights Performance Share Units	Sumner, Rich	7, 5	03/03/2017	56		5,918	1,300
Methanex Corporation	Rights Share Appreciation Rights	Sumner, Rich	7, 5	03/03/2017	56		41,045	4,700
Methanex Corporation	Options	Valembois, David	5	03/03/2017	50		16,625	1,800
Methanex Corporation	Rights Performance Share Units	Valembois, David	5	03/03/2017	56		2,302	500
Methanex Corporation	Rights Restricted Share Units	WALKER, MARGARET REESE	4	03/03/2017	56		4,074	1,700
Methanex Corporation	Rights Deferred Share Units	Warmbold, Benita Marie	4	01/02/2016	00			
Methanex Corporation	Rights Deferred Share Units	Warmbold, Benita Marie	4	03/03/2017	56		1,700	1,700
Methanex Corporation	Options	Wiggins, Wade	7, 5	03/03/2017	50		53,600	4,700
Methanex Corporation	Rights Performance Share Units	Wiggins, Wade	7, 5	03/03/2017	56		6,558	1,300
Metro inc.	Common Shares	Metro inc.	1	01/02/2017	38	39.205	25,000	25,000

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Metro inc.	Common Shares	Metro inc.	1	02/02/2017	38	39.002	75,000	50,000
Metro inc.	Common Shares	Metro inc.	1	06/02/2017	38		50,000	-25,000
Metro inc.	Common Shares	Metro inc.	1	07/02/2017	38		0	-50,000
Metro inc.	Common Shares	Metro inc.	1	13/02/2017	38	39.906	821,438	121,438
Metro inc.	Common Shares	Metro inc.	1	14/02/2017	38		121,438	-700,000
Metro inc.	Common Shares	Metro inc.	1	16/02/2017	38		0	-121,438
Metro inc.	Common Shares	Metro inc.	1	22/02/2017	38		0	-703,000
Metro inc.	Common Shares	Metro inc.	1	27/02/2017	38	39.268	126,338	126,338
Metro inc.	Common Shares	Metro inc.	1	28/02/2017	38	39.132	176,338	50,000
Metro inc.	Common Shares	Metro inc.	1	02/03/2017	38		50,000	-126,338
Metro inc.	Common Shares	Metro inc.	1	03/03/2017	38		0	-50,000
MGX Minerals Inc.	Common Shares	Kikauka, Andris	4	02/02/2017	51	0.1		125,000
MGX Minerals Inc.	Common Shares	Kikauka, Andris	4	02/02/2017	51	0.1		125,000
MGX Minerals Inc.	Options	Kikauka, Andris	4	02/02/2017	51			-125,000
MGX Minerals Inc.	Options	Kikauka, Andris	4	02/02/2017	51			-125,000
Microbix Biosystems Inc.	Options	Embro-Pantalony, Vaughn	4	22/02/2017	52			-275,000
Microbix Biosystems Inc.	Options	Embro-Pantalony, Vaughn	4	22/02/2017	52		775,000	-125,000
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Lauzon, Robert	6, 7	20/10/2016	00			
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Lauzon, Robert	6, 7	09/03/2017	10	9.9646	5,000	5,000
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	03/03/2017	38	9.9726	167,400	3,800
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	06/03/2017	38	9.9817	168,600	1,200
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	07/03/2017	38	9.9864	170,000	1,400
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	08/03/2017	38	9.9452	180,500	10,500
Middlefield Healthcare & Wellness Dividend Fund	Trust Units	Middlefield Healthcare & Wellness Dividend Fund	1	09/03/2017	38	9.9048	184,900	4,400
MINT Income Fund	Trust Units	MINT Income Fund	1	03/03/2017	38	7.1745	65,916,329	2,000
MINT Income Fund	Trust Units	MINT Income Fund	1	07/03/2017	38	7.12	65,916,529	200
Mitel Networks Corporation	Common Shares	Ball, Benjamin	4, 6	01/03/2017	58 - Expiration of rights		82,405	10,000
Mitel Networks Corporation	Rights Restricted Stock Units	Ball, Benjamin	4, 6	28/02/2017	58 - Expiration of rights		0	-10,000
Mitel Networks Corporation	Common Shares	Matthews, Terence Hedley	4	02/03/2017	57		39,135	2,523
Mitel Networks Corporation	Common Shares	Matthews, Terence Hedley	4	03/03/2017	10	6.4867	37,936	-1,199
Mitel Networks Corporation	Rights Restricted Stock Units	Matthews, Terence Hedley	4	02/03/2017	58 - Expiration of rights		0	-2,523
Mitel Networks Corporation	Common Shares	Williams, David Michael	4	10/03/2017	10	9.3	210,000	15,000
Monarques Gold Corporation (formerly know as MONARQUES RESOURCES INC.)	Common Shares	Bourassa, guy georges	4, 6	08/03/2017	16	0.45	326,308	20,000
Monarques Gold Corporation (formerly know as MONARQUES RESOURCES INC.)	Warrants	Bourassa, guy georges	4, 6	08/03/2017	16		112,925	20,000
MONETA PORCUPINE MINES INC.	Common Shares	Henry, Alexander David	4	01/03/2017	97	0.235	1,899,300	-50,000
MONETA PORCUPINE MINES INC.	Common Shares	Henry, Alexander David	4	03/03/2017	51	0.19		170,000
MONETA PORCUPINE MINES INC.	Common Shares	Henry, Alexander David	4	03/03/2017	51	0.19		170,000
MONETA PORCUPINE MINES INC.	Common Shares	Henry, Alexander David	4	03/03/2017	51	0.19		170,000
MONETA PORCUPINE MINES INC.	Common Shares	Henry, Alexander David	4	01/03/2017	97	0.235	1,235,250	50,000
MONETA PORCUPINE MINES INC.	Options	Henry, Alexander David	4	03/03/2017	51	0.19		-170,000
MONETA PORCUPINE MINES INC.	Options	Henry, Alexander David	4	03/03/2017	51	0.19		-170,000

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MONETA PORCUPINE MINES INC.	Options	Henry, Alexander David	4	03/03/2017	51	0.19		-170,000
MONETA PORCUPINE MINES INC.	Options	Henry, Alexander David	4	06/03/2017	52		1,475,000	-170,000
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	01/02/2017	38		23,000	-86,000
Mongolia Growth Group Ltd.	Common Shares	Mongolia Growth Group Ltd.	1	22/02/2017	38	0.3366	196,000	173,000
Montan Mining Corp. (formerly Strait Minerals Inc.)	Common Shares	Graham, Ian	4, 5	02/03/2017	10	0.055	243,400	-995,000
Montan Mining Corp. (formerly Strait Minerals Inc.)	Common Shares	Graham, Ian	4, 5	03/03/2017	10	0.055	173,400	-70,000
Morguard Real Estate Investment Trust	Units	Morguard Real Estate Investment Trust	1	09/03/2017	38	15.187	7,000	7,000
Morguard Real Estate Investment Trust	Units	Morguard Real Estate Investment Trust	1	09/03/2017	38		0	-7,000
Morneau Shepell Inc.	Rights RSU	Chamberland, Pierre	5	02/03/2017	56	18.99	43,516	13,691
Morneau Shepell Inc.	Rights RSU	Claxton, Hazel Cynthia	5	02/03/2017	56	18.99	16,406	10,637
Morneau Shepell Inc.	Rights RSU	Fridella, Rita Elena	5	04/08/2016	00			
Morneau Shepell Inc.	Rights RSU	Fridella, Rita Elena	5	02/03/2017	56	18.99	11,058	11,058
Morneau Shepell Inc.	Rights RSU	Liptrap, Stephen	5	02/03/2017	56	18.99	37,310	28,962
Morneau Shepell Inc.	Rights RSU	Milligan, Scott	5	02/03/2017	56	18.99	24,690	12,519
Morneau Shepell Inc.	Rights RSU	Phillips, Randal George	5	01/01/2011	00			
Morneau Shepell Inc.	Rights RSU	Phillips, Randal George	5	02/03/2017	56	18.99	10,637	10,637
Morneau Shepell Inc.	Rights RSU	Ponce, Julien	5	02/03/2017	56	18.99	13,270	13,270
Morneau Shepell Inc.	Rights RSU	Salman, Zahid Raza	5	02/03/2017	56	18.99	27,391	11,374
Morneau Shepell Inc.	Rights Pre 2011 DSU	Torrie, Alan D.	5	02/03/2017	56	18.99	237,824	10,531
Mosaic Capital Corporation	Common Shares	Bentham, Gary Lambert	4	02/03/2017	59	9.8	10,328	2,304
Mosaic Capital Corporation	Common Shares	Bentham, Gary Lambert	4	02/03/2017	59	9.8	2,016	1,344
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	C-Growth Trust	3	03/03/2017	58 - Expiration of rights		0	-3,419
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Cloutier, Colin	7	03/03/2017	58 - Expiration of rights		0	-74
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Gardhouse, John Mark	5	03/03/2017	58 - Expiration of rights		0	-388
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	KUNIK, Harold	4, 6, 7, 5	03/03/2017	58 - Expiration of rights		0	-21,751
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	KUNIK, Harold	4, 6, 7, 5	03/03/2017	58 - Expiration of rights		0	-932
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Kyle, Pamela	6	03/03/2017	58 - Expiration of rights		0	-6,333
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Mackay, John	4, 6, 7, 5	03/03/2017	58 - Expiration of rights		0	-16,534
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Mackay, Trisha	6	03/03/2017	58 - Expiration of rights		0	-19
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Pearce, Richard Troy	5	03/03/2017	58 - Expiration of rights		0	-971
Mosaic Capital Corporation	Common Shares	Smith, William Hartman	4, 5	03/03/2017	15	9.8	312,652	51,342
Mosaic Capital Corporation	Common Shares	Smith, William Hartman	4, 5	03/03/2017	15	9.8	1,543	1,457
Mosaic Capital Corporation	Common Shares	Smith, William Hartman	4, 5	03/03/2017	15	9.8	1,254	1,168
Mosaic Capital Corporation	Common Shares	Smith, William Hartman	4, 5	03/03/2017	15	9.8	67,223	32,043
Mosaic Capital Corporation	Common Shares	Smith, William Hartman	4, 5	03/03/2017	15	9.8	4,203	2,544
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Smith, William Hartman	4, 5	03/03/2017	57		0	-10,229
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Smith, William Hartman	4, 5	03/03/2017	58 - Expiration of rights			-213
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Smith, William Hartman	4, 5	03/03/2017	58 - Expiration of rights			-213
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Smith, William Hartman	4, 5	03/03/2017	57		0	-213
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Smith, William Hartman	4, 5	03/03/2017	58 - Expiration of rights		0	-233
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Smith, William Hartman	4, 5	03/03/2017	58 - Expiration of rights			-213
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Smith, William Hartman	4, 5	03/03/2017	58 - Expiration of rights			-213

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Smith, William Hartman	4, 5	03/03/2017	57		0	-213
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Smith, William Hartman	4, 5	03/03/2017	57		0	-5,771
Mosaic Capital Corporation	Subscription Rights Subscription Privilege	Smith, William Hartman	4, 5	03/03/2017	57		0	-399
Movarie Capital Ltd.	Common Shares	Shahbazi, Hamed	4	24/03/2016	00			
Movarie Capital Ltd.	Common Shares	Shahbazi, Hamed	4	24/03/2016	16	0.15	98,667	98,667
MYM Nutraceuticals Inc.	Common Shares	Ramage, Ian	4	25/01/2017	00			
MYM Nutraceuticals Inc.	Common Shares	Ramage, Ian	4	08/02/2017	50	0.2	250,000	250,000
MYM Nutraceuticals Inc.	Common Shares	Ramage, Ian	4	09/02/2017	16	0.05	350,000	100,000
NamSys Inc. (Formerly Cencotech Inc.)	Common Shares	Siemens, John Raymond	3	06/03/2017	10	0.75	530,378	-5,500
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	03/03/2017	10	1.37	2,903,444	-500
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	03/03/2017	10	1.32	2,902,944	-500
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	03/03/2017	10	1.3	2,901,444	-1,500
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	03/03/2017	10	1.28	2,899,944	-1,500
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	03/03/2017	10	1.27	2,898,944	-1,000
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	06/03/2017	10	1.2	2,897,444	-1,500
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	06/03/2017	10	1.22	2,896,444	-1,000
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	06/03/2017	10	1.26	2,894,444	-2,000
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	07/03/2017	10	1.25	2,892,944	-1,500
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	07/03/2017	10	1.27	2,892,444	-500
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	07/03/2017	10	1.3	2,890,444	-2,000
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	07/03/2017	10	1.31	2,888,944	-1,500
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	08/03/2017	10	1.29	2,888,444	-500
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	08/03/2017	10	1.3	2,887,444	-1,000
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	08/03/2017	10	1.31	2,886,444	-1,000
Nanotech Security Corp.	Common Shares	Kaminska, Bozena	4	08/03/2017	10	1.34	2,885,444	-1,000
National Bank of Canada	Common Shares	Dagenais, Jean	5	06/03/2017	51	26.93	24,438	13,200
National Bank of Canada	Common Shares	Dagenais, Jean	5	06/03/2017	10	58.5	11,238	-13,200
National Bank of Canada	Options	Dagenais, Jean	5	06/03/2017	51	26.93	213,336	-13,200
National Bank of Canada	Common Shares	Fagnoule, Dominique	5	03/03/2017	51	44.96	15,000	15,000
National Bank of Canada	Common Shares	Fagnoule, Dominique	5	03/03/2017	10	58.1	0	-15,000
National Bank of Canada	Options	Fagnoule, Dominique	5	03/03/2017	51	44.96	99,824	-15,000
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	51	34.34	8,037	5,840
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	51	38.36	32,381	24,344
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	10	58.965	32,281	-100
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	10	58.96	31,041	-1,240
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	10	58.95	30,541	-500
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	10	58.955	30,441	-100
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	10	58.94	27,641	-2,800
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	10	58.93	26,541	-1,100
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	10	59	6,341	-20,200
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	10	58.815	6,141	-200
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	10	58.81	3,997	-2,144
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	10	58.8	3,797	-200
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	10	58.79	2,597	-1,200
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	10	58.78	2,297	-300
National Bank of Canada	Common Shares	Hébert, Brigitte	5	06/03/2017	10	58.77	2,197	-100
National Bank of Canada	Options	Hébert, Brigitte	5	06/03/2017	51	34.34	116,204	-5,840
National Bank of Canada	Options	Hébert, Brigitte	5	06/03/2017	51	38.36	91,860	-24,344
Neo Lithium Corp. (formerly, POCML 3 Inc.)	Options	Fornazzari, Paul	4, 5	01/03/2017	50		935,000	550,000
Neo Lithium Corp. (formerly, POCML 3 Inc.)	Options	Karayannopoulos, Constantine Efthymios	4	01/03/2017	50		1,335,000	750,000
Neo Lithium Corp. (formerly, POCML 3 Inc.)	Options	Perez, Waldo A.	4, 5	01/03/2017	50		1,525,000	750,000
Neo Lithium Corp. (formerly, POCML 3 Inc.)	Options	Pindar, Ruben Gabriel	4	01/03/2017	50		935,000	550,000

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Neo Lithium Corp. (formerly, POCML 3 Inc.)	Options	Pladsen, Thomas John	4	01/03/2017	50		935,000	550,000
Neo Lithium Corp. (formerly, POCML 3 Inc.)	Options	Vicens, Carlos Ernesto	5	01/03/2017	50		835,000	550,000
Nevada Exploration Inc.	Common Shares	Higgs, Darcy Alan	3	03/03/2017	10	0.32	2,707,234	18,000
Nevada Exploration Inc.	Common Shares	Higgs, Darcy Alan	3	08/03/2017	10	0.32	2,714,234	7,000
Nevada Sunrise Gold Corporation	Common Shares	Petterson, Brent	5	07/03/2017	10	0.315	0	-25,000
Nevada Zinc Corporation	Common Shares	Norvista Capital Corporation	3	09/03/2017	10	0.36	9,158,999	2,000
Nevada Zinc Corporation	Common Shares	Norvista Capital Corporation	3	09/03/2017	10	0.365	9,163,999	5,000
Nevada Zinc Corporation	Common Shares	Norvista Capital Corporation	3	09/03/2017	10	0.37	9,166,499	2,500
Nevada Zinc Corporation	Common Shares	Norvista Capital Corporation	3	09/03/2017	10	0.375	9,168,499	2,000
Nevada Zinc Corporation	Common Shares	Norvista Capital Corporation	3	09/03/2017	10	0.38	9,171,499	3,000
Nevada Zinc Corporation	Common Shares	Norvista Capital Corporation	3	09/03/2017	10	0.39	9,171,999	500
Nevada Zinc Corporation	Common Shares	Norvista Capital Corporation	3	09/03/2017	10	0.385	9,176,999	5,000
Nevada Zinc Corporation	Common Shares	Norvista Capital Corporation	3	10/03/2017	10	0.37	9,177,999	1,000
Nevada Zinc Corporation	Common Shares	Parnham, Duane	4	01/03/2017	10	0.43	3,799,500	-30,000
Nevada Zinc Corporation	Common Shares	Parnham, Duane	4	03/03/2017	10	0.425	3,749,500	-50,000
Newalta Corporation	Performance Share Units	Barkhouse, John Leslie	5	31/12/2016	30	2.5	204,259	958
Newalta Corporation	Restricted Share Units	Barkhouse, John Leslie	5	06/03/2017	59	2.32	214,996	-28,571
Newalta Corporation	Performance Share Units	Barnes, Christopher Stuart	5	31/12/2016	30	2.5	43,640	146
Newalta Corporation	Performance Share Units	Dietsche, Linda	5	31/12/2016	30	2.5	44,087	149
Newalta Corporation	Deferred Share Units	DOERR, Harvey	4	31/12/2016	30	2.5	48,125	105
Newalta Corporation	Deferred Share Units	DOERR, Harvey	4	06/03/2017	56	2.32	92,090	43,965
Newalta Corporation	Deferred Share Units	Gemmell, Robert	4	31/12/2016	30	2.5	42,411	106
Newalta Corporation	Deferred Share Units	Gemmell, Robert	4	06/03/2017	56	2.32	81,204	38,793
Newalta Corporation	Performance Share Units	Gramlich, Bryne Scott	5	31/12/2016	30	2.5	46,317	163
Newalta Corporation	Restricted Share Units	Gramlich, Bryne Scott	5	06/03/2017	59	2.32	48,772	-30,369
Newalta Corporation	Restricted Share Units	Gramlich, Bryne Scott	5	06/03/2017	59	2.32	39,883	-8,889
Newalta Corporation	Performance Share Units	Merrick, Colin	5	31/12/2016	30	2.5	43,640	146
Newalta Corporation	Deferred Share Units	Milligan, R. Vance	4	31/12/2016	30	2.5	57,376	383
Newalta Corporation	Deferred Share Units	Milligan, R. Vance	4	06/03/2017	56	2.32	99,401	42,025
Newalta Corporation	Performance Share Units	Pecharsky, Douglas Allen	5	31/12/2016	30	2.5	61,707	204
Newalta Corporation	Restricted Share Units	Pecharsky, Douglas Allen	5	06/03/2017	59	2.32	80,184	-11,111
Newalta Corporation	Restricted Share Units	Pecharsky, Douglas Allen	5	06/03/2017	59	2.32	49,853	-30,331
Newalta Corporation	Deferred Share Units	Pridham, Gordon E.	4	31/12/2016	30	2.5		410
Newalta Corporation	Deferred Share Units	Pridham, Gordon E.	4	31/12/2016	30	2.5	73,970	411
Newalta Corporation	Deferred Share Units	Pridham, Gordon E.	4	06/03/2017	56	2.32	104,142	30,172
Newalta Corporation	Deferred Share Units	Riddell Rose, Susan	4	31/12/2016	30	2.5	53,804	383
Newalta Corporation	Deferred Share Units	Riddell Rose, Susan	4	06/03/2017	56	2.32	92,597	38,793
Newalta Corporation	Deferred Share Units	Ryley, Thomas Lovett	4	31/12/2016	30	2.5	57,376	383
Newalta Corporation	Deferred Share Units	Ryley, Thomas Lovett	4	06/03/2017	56	2.32	99,401	42,025
Newalta Corporation	Deferred Share Units	Starzer, Michael	4	31/12/2016	30	2.5	45,161	173
Newalta Corporation	Deferred Share Units	Starzer, Michael	4	06/03/2017	56	2.32	83,954	38,793
Newalta Corporation	Deferred Share Units	Tugman, Laurie	4	31/12/2016	30	2.5	51,260	147
Newalta Corporation	Deferred Share Units	Tugman, Laurie	4	06/03/2017	56	2.32	96,518	45,258
Newalta Corporation	Performance Share Units	Whiteley, Took	5	31/12/2016	30	2.5	59,922	193
Newalta Corporation	Restricted Share Units	Whiteley, Took	5	15/01/2017	56	2.28		39,078
Newalta Corporation	Restricted Share Units	Whiteley, Took	5	15/01/2017	56	2.28	110,083	40,523
NewCastle Gold Ltd. (Formerly Castle Mountain Mining Company Limited)	Common Shares	Panneton, Gerald	5	09/03/2017	10	0.65	350,000	10,000
Newrange Gold Corp.	Common Shares	Carrington, Robert Gregory	4, 7, 5	27/02/2017	11		2,434,877	797,877
Newrange Gold Corp.	Warrants	Carrington, Robert Gregory	4, 7, 5	27/02/2017	54	0.05	185,647	-797,877
Newrange Gold Corp.	Common Shares	Salari, David John	4	06/03/2017	10	0.26	166,500	-28,500
Newstrike Resources Ltd.	Subscription Rights Subscription Receipts	Flynn, Thomas Patrick	4	25/07/2005	00			
Newstrike Resources Ltd.	Subscription Rights Subscription Receipts	Flynn, Thomas Patrick	4	07/03/2017	16	0.125	270,000	270,000



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Newstrike Resources Ltd.	Subscription Rights Subscription Receipts	Kelly, Scott	3, 4, 5	21/08/2013	00			
Newstrike Resources Ltd.	Subscription Rights Subscription Receipts	Kelly, Scott	3, 4, 5	07/03/2017	16	0.125	788,000	788,000
Newstrike Resources Ltd.	Subscription Rights Subscription Receipts	MacIntyre, Christopher Randall	3	20/09/2016	00		404,000	
Newstrike Resources Ltd.	Subscription Rights Subscription Receipts	MacIntyre, Christopher Randall	3	20/09/2016	00		541,000	
Newstrike Resources Ltd.	Subscription Rights Subscription Receipts	Marrelli, Carmelo	5	25/07/2005	00			
Newstrike Resources Ltd.	Subscription Rights Subscription Receipts	Marrelli, Carmelo	5	07/03/2017	16	0.125	75,000	75,000
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	01/02/2017	38	12.603	2,756	1,378
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	02/02/2017	38	12.51	4,134	1,378
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	03/02/2017	37	12.45	4,434	300
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	10/02/2017	38	12.553	5,812	1,378
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	15/02/2017	38	12.72	6,412	600
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	16/02/2017	38	12.66	7,790	1,378
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	17/02/2017	38	12.6	9,168	1,378
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	22/02/2017	38	12.75	9,668	500
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	23/02/2017	38	12.599	11,203	1,535
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	24/02/2017	38	12.6	11,903	700
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	27/02/2017	38	12.6	14,416	2,513
NexC Partners Corp.	Non-Voting Shares Class A	NexC Partners Corp.	1	28/02/2017	38		3,213	-11,203
NexgenRx Inc.	Common Shares	Graham, Connie Lois	4	09/02/2017	10	0.25	12,000	2,000
NexgenRx Inc.	Common Shares	Graham, Connie Lois	4	21/02/2017	10	0.25	22,000	10,000
NexgenRx Inc.	Common Shares	Graham, Connie Lois	4	22/02/2017	10	0.25	24,000	2,000
NexJ Systems Inc.	Common Shares	Rosati, Deborah	4	27/02/2017	10	4.66	1,550	-1,550
NexJ Systems Inc.	Common Shares	Shepherd, David William	5	01/03/2017	10	4.64	566,880	-2,000
NexJ Systems Inc.	Common Shares	Shepherd, David William	5	06/03/2017	10	4.5	562,880	-4,000
NexJ Systems Inc.	Common Shares	Shepherd, David William	5	07/03/2017	10	4.5	560,880	-2,000
NexJ Systems Inc.	Common Shares	Shepherd, David William	5	07/03/2017	10	4.5	558,880	-2,000
NexJ Systems Inc.	Common Shares	Shepherd, David William	5	07/03/2017	10	4.503	556,880	-2,000
NexJ Systems Inc.	Common Shares	Shepherd, David William	5	09/03/2017	10	4.5	556,080	-800
Nicola Mining Inc.	Common Shares	Espig, Peter Edward	4	06/03/2017	10	0.24	3,592,087	10,000
Nighthawk Gold Corp.	Common Shares	Byron, Michael	4, 5	02/03/2017	16	0.7	288,355	35,000
Nighthawk Gold Corp.	Warrants	Byron, Michael	4, 5	02/03/2017	16		17,500	17,500
Nighthawk Gold Corp.	Common Shares	Cudney, Robert Douglas	3	02/03/2017	11	0.7	26,025,081	646,249
Nighthawk Gold Corp.	Common Shares	Cudney, Robert Douglas	3	06/03/2017	10	0.75	26,075,081	50,000
Nighthawk Gold Corp.	Warrants	Cudney, Robert Douglas	3	02/03/2017	11	1.1	323,129	323,129
Nighthawk Gold Corp.	Common Shares	Leskovec, Michael George	5	02/03/2017	16	0.7	180,446	31,772
Nighthawk Gold Corp.	Warrants	Leskovec, Michael George	5	02/03/2017	16		21,300	15,885
Nighthawk Gold Corp.	Common Shares	Peters, Brent James	4	02/03/2017	11	0.7	1,350,851	100,000
Nighthawk Gold Corp.	Warrants	Peters, Brent James	4	01/06/2016	00			
Nighthawk Gold Corp.	Warrants	Peters, Brent James	4	02/03/2017	11	1.1	50,000	50,000
Niobay Metals Inc. (formerly MDN INC.)	Common Shares	David, Jean-Sébastien	4	06/03/2017	10	0.81	192,657	3,820
NioCorp Developments Ltd.	options	Beling, David	4	06/03/2017	50	0.76	750,000	300,000
NioCorp Developments Ltd.	Options	Honan, Scott	5	06/03/2017	50	0.76	1,720,000	400,000
Noble Iron Inc.	Common Shares	Strachman, Paul	4	07/07/2016	00		106,360	
Noranda Income Fund	Trust Units	Roy, François R.	4	07/10/2010	00			

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Noranda Income Fund	Trust Units	Roy, François R.	4	09/03/2017	10	1.5725	20,000	20,000
Norbord Inc.	Common Shares	Brookfield Asset Management Inc.	3	10/04/2003	00			
Norbord Inc.	Common Shares	Brookfield Asset Management Inc.	3	06/03/2017	11		11,359,634	11,359,634
Norbord Inc.	Common Shares	Brookfield Asset Management Inc.	3	06/03/2017	11		0	-11,359,634
Noront Resources Ltd.	Options common shares	Flewelling, Stephen Bennett	5	24/02/2017	50	0.25	2,504,165	720,832
Noront Resources Ltd.	Options common shares	RCF Management LLC	4	24/02/2017	50			300,000
Noront Resources Ltd.	Options common shares	RCF Management LLC	4	24/02/2017	50		1,200,000	300,000
Noront Resources Ltd.	Options common shares	Rieveley, Gregory Robert	5	24/02/2017	50	0.25	3,436,750	648,750
Noront Resources Ltd.	Options common shares	Thomas, David Alan	4	24/02/2017	50		1,300,000	300,000
Noront Resources Ltd.	Options common shares	Weston, Ryan	5	24/02/2017	50	0.25	632,499	332,499
North Sea Energy Inc.	Common Shares	Anderson, James Craig	3	06/03/2017	10	0.0456	2,391,218	-2,250,000
North Sea Energy Inc.	Common Shares	Anderson, James Craig	3	06/03/2017	10	0.05	1,503,218	-888,000
North Sea Energy Inc.	Common Shares	Anderson, James Craig	3	07/03/2017	10	0.04	1,375,218	-128,000
North Sea Energy Inc.	Common Shares	Anderson, James Craig	3	08/03/2017	10	0.04	1,253,218	-122,000
Northern Superior Resources Inc.	Common Shares	2176423 Ontario Ltd.	3	03/03/2017	00			
Northern Superior Resources Inc.	Common Shares	2176423 Ontario Ltd.	3	03/03/2017	11	0.05	40,000,000	40,000,000
Northern Superior Resources Inc.	Warrants	2176423 Ontario Ltd.	3	03/03/2017	00			
Northern Superior Resources Inc.	Warrants	2176423 Ontario Ltd.	3	03/03/2017	11	0.075	40,000,000	40,000,000
Northern Superior Resources Inc.	Common Shares	Perron, François	4	06/03/2017	11	0.05	500,000	500,000
Northern Superior Resources Inc.	Warrants	Perron, François	4	22/11/2016	00			
Northern Superior Resources Inc.	Warrants	Perron, François	4	06/03/2017	11		500,000	500,000
Northern Superior Resources Inc.	Common Shares	Sprott, Eric S.	3	03/03/2017	00			
Northern Superior Resources Inc.	Common Shares	Sprott, Eric S.	3	03/03/2017	11	0.05	40,000,000	40,000,000
Northern Superior Resources Inc.	Warrants	Sprott, Eric S.	3	03/03/2017	00			
Northern Superior Resources Inc.	Warrants	Sprott, Eric S.	3	03/03/2017	11	0.075	40,000,000	40,000,000
Northland Power Inc.	Deferred Rights	Brace, John Wycliffe	5	03/03/2017	56		134,193	134,193
Northland Power Inc.	Restricted Share Units	Brace, John Wycliffe	5	01/01/2011	00			
Northland Power Inc.	Restricted Share Units	Brace, John Wycliffe	5	23/02/2017	56		30,662	30,662
Northland Power Inc.	Deferred Rights	Bradley, Paul	5	03/03/2017	56		79,229	79,229
Northland Power Inc.	Restricted Share Units	Bradley, Paul	5	01/04/2011	00			
Northland Power Inc.	Restricted Share Units	Bradley, Paul	5	23/02/2017	56		16,353	16,353
Northland Power Inc.	Restricted Share Units	Crawley, Mike	5	20/07/2015	00			
Northland Power Inc.	Restricted Share Units	Crawley, Mike	5	23/02/2017	56		16,353	16,353
Northland Power Inc.	Deferred Rights	mantenuto, salvatore	5	03/03/2017	56		35,309	35,309
Northland Power Inc.	Deferred Rights	Shadbolt, Michael	5	03/03/2017	56		19,822	19,822
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Elsy, Louise Jane	5	09/03/2017	57	24.72	2,399	-607
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Freda, Linay	5	09/03/2017	57	24.72	9,163	-2,124
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Nash, Melvin	5	09/03/2017	57	24.72	8,755	-2,124
Northview Apartment Real Estate Investment Trust	Long Term Incentive Plan Unit Award	Wheeler, Lizaine Sheila	5	09/03/2017	57	24.72	11,242	-2,639
Nutritional High International Inc. (formerly, Sonoma Capital Inc.)	Common Shares	Szweras, Adam Kelley	4, 5	09/03/2017	10	0.155		143,000
Nutritional High International Inc. (formerly, Sonoma Capital Inc.)	Common Shares	Szweras, Adam Kelley	4, 5	09/03/2017	10	0.155		143,000

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Nutritional High International Inc. (formerly, Sonoma Capital Inc.)	Common Shares	Szweras, Adam Kelley	4, 5	09/03/2017	10	0.15	132,000	100,000
Nutritional High International Inc. (formerly, Sonoma Capital Inc.)	Common Shares	Szweras, Adam Kelley	4, 5	07/07/2014	00			
Nutritional High International Inc. (formerly, Sonoma Capital Inc.)	Common Shares	Szweras, Adam Kelley	4, 5	09/03/2017	10	0.155	143,000	143,000
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Options	Burkett, Mary-Jane Eleanor	5	07/03/2017	50		55,908	49,758
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Options	Chicoine, Daniel	4	07/03/2017	50		188,479	5,687
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Options	Copeland, David A.	4	07/03/2017	50		15,578	5,687
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Options	Dobranowski, Anthony Edward	4	07/03/2017	50		15,578	5,687
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Options	Ledger, Jesse Francis	5	07/03/2017	50		104,908	71,083
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Options	London, John	4, 5	07/03/2017	50		377,737	92,408
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Options	Loucaides, Katina	8	07/03/2017	50		122,329	56,867
Nuvo Pharmaceuticals Inc. (formerly, Nuvo Research Inc.)	Options	Messier, Jacques	4	07/03/2017	50		15,578	5,687
Olympia Financial Group Inc.	Common Shares	Bahnuk, Jonathan Marvin	5	28/02/2017	30	29.27	315	55
Olympia Financial Group Inc.	Common Shares	Lanzl, Anthony	4	07/03/2017	10	29.89	7,187	287
Olympia Financial Group Inc.	Common Shares	Skauge, Rick	3, 4, 5	06/03/2017	10	29.87	13,911	233
ONEX CORPORATION	Subordinate Voting Shares	Lewtas, Donald William	5	10/03/2017	10	94.744	27,541	789
ONEX CORPORATION	Subordinate Voting Shares	Mansell, David John	5	10/03/2017	10	94.744	21,079	566
ONEX CORPORATION	Subordinate Voting Shares	Munk, Anthony	7	07/03/2017	10	94.739	549,181	2,442
ONEX CORPORATION	Subordinate Voting Shares	Srivastava, Manish Kumar	5	10/03/2017	10	94.744	3,143	155
Open Source Health Inc.	Common Shares	Satveit, Sonya	4, 5	06/03/2017	11	0.05	2,929,734	-1,000,000
Open Source Health Inc.	Common Shares	Satveit, Sonya	4, 5	06/03/2017	11	0.05	2,629,734	-300,000
Open Text Corporation	Options All OTEX Option Plans	Maheshwari, Aditya	5	27/02/2017	50	33.48	70,000	20,000
Open Text Corporation	Options All OTEX Option Plans	Parker, Douglas Michael	5	27/02/2017	00			
Open Text Corporation	Options All OTEX Option Plans	Parker, Douglas Michael	5	27/02/2017	50	25.04	20,000	20,000
Open Text Corporation	Restricted Share Units	Parker, Douglas Michael	5	27/02/2017	00			
Open Text Corporation	Restricted Share Units	Parker, Douglas Michael	5	27/02/2017	56		20,740	20,740
Open Text Corporation	Common Shares OTEX Common	Stevenson, Katharine Berghuis	4	07/03/2017	51	33.35	69,200	22,000
Open Text Corporation	Common Shares OTEX Common	Stevenson, Katharine Berghuis	4	07/03/2017	10	33.29	57,290	-11,910
Open Text Corporation	Common Shares OTEX Common	Stevenson, Katharine Berghuis	4	08/03/2017	47	33.35	55,790	-1,500
Open Text Corporation	Options All OTEX Option Plans	Stevenson, Katharine Berghuis	4	07/03/2017	51	33.35	0	-22,000
Open Text Corporation	Common Shares OTEX Common	Weiss, Gary	5	06/03/2017	51	33.36	22,730	9,492
Open Text Corporation	Common Shares OTEX Common	Weiss, Gary	5	06/03/2017	10	33.36	13,238	-9,492
Open Text Corporation	Options All OTEX Option Plans	Weiss, Gary	5	06/03/2017	51	33.36	98,924	-9,492

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Orca Gold Inc.	Common Shares	Field, David	4	06/03/2017	00		486,026	
Orca Gold Inc.	Options	Field, David	4	06/03/2017	00		300,000	
Orca Gold Inc.	Options	White, Derek Christopher	4	06/03/2017	00		300,000	
OrganiGram Holdings Inc. (formerly, Inform Exploration Corp.)	Common Shares	Tripp, Michael David	5	07/03/2017	10	2.2329	310,600	30,000
Orla Mining Ltd.	Common Shares	Hall, Richard James	4	16/02/2017	54	950000	2,157,000	950,000
Orla Mining Ltd.	Warrants	Hall, Richard James	4	16/02/2017	54		100,000	-950,000
Orla Mining Ltd.	Common Shares	Prefontaine, Marc	3	24/02/2017	54		2,700,000	1,350,000
Orla Mining Ltd.	Warrants	Prefontaine, Marc	3	24/02/2017	54		0	-1,350,000
OSISKO GOLD ROYALTIES LTD	Common Shares	Burzynski, John Feliks	4	31/12/2016	30	14.96	94,105	1,076
OSISKO GOLD ROYALTIES LTD	Common Shares	Coates, Bryan A.	5	31/12/2016	30	14.44	116,548	1,679
OSISKO GOLD ROYALTIES LTD	Common Shares	de la Plante, Joseph	5	31/12/2016	30	14.44	2,108	1,679
OSISKO GOLD ROYALTIES LTD	Common Shares	Gaumond, André	4	31/12/2016	30	14.44	180,094	1,524
OSISKO GOLD ROYALTIES LTD	Common Shares	Le Bel, André	5	31/12/2016	30	14.44	36,353	1,679
OSISKO GOLD ROYALTIES LTD	Common Shares	Lessard, Luc	5	31/12/2016	30	14.44	26,089	1,679
OSISKO GOLD ROYALTIES LTD	Common Shares	Lévesque, Elif	5	31/12/2016	30	14.44	2,444	1,679
OSISKO GOLD ROYALTIES LTD	Common Shares	Metcalfe, Vincent	5	31/12/2016	30	14.44	2,685	1,679
OSISKO GOLD ROYALTIES LTD	Common Shares	Roosen, Sean	4, 5	31/12/2016	30	14.44	414,742	1,679
OSISKO GOLD ROYALTIES LTD	Common Shares	Ruel, Frédéric	5	31/12/2016	30	14.44	2,108	1,679
Pan American Silver Corp.	Common Shares	Andrews, Matthew Robert	5	07/03/2017	51	18.53	15,602	5,394
Pan American Silver Corp.	Common Shares	Andrews, Matthew Robert	5	07/03/2017	51	11.49	24,307	8,705
Pan American Silver Corp.	Common Shares	Andrews, Matthew Robert	5	07/03/2017	51	11.58	36,304	11,997
Pan American Silver Corp.	Common Shares	Andrews, Matthew Robert	5	07/03/2017	51	13599		13,599
Pan American Silver Corp.	Common Shares	Andrews, Matthew Robert	5	07/03/2017	51	9.76	49,903	13,599
Pan American Silver Corp.	Options	Andrews, Matthew Robert	5	07/03/2017	51	18.53	57,699	-5,394
Pan American Silver Corp.	Options	Andrews, Matthew Robert	5	07/03/2017	51	11.49	48,994	-8,705
Pan American Silver Corp.	Options	Andrews, Matthew Robert	5	07/03/2017	51	11.58	36,997	-11,997
Pan American Silver Corp.	Options	Andrews, Matthew Robert	5	07/03/2017	51	9.76	23,398	-13,599
Pan Global Resources Inc.	Common Shares	Baxter, Robert William	4, 5	06/03/2017	16	0.05		1,000,000
Pan Global Resources Inc.	Common Shares	Baxter, Robert William	4, 5	06/03/2017	16	0.05		1,000,000
Pan Global Resources Inc.	Common Shares	Baxter, Robert William	4, 5	06/03/2017	16	0.05		1,000,000
Pan Global Resources Inc.	Common Shares	Baxter, Robert William	4, 5	06/03/2017	16	0.05	2,911,166	1,000,000
Pan Global Resources Inc.	Warrants	Baxter, Robert William	4, 5	06/03/2017	16		1,083,500	1,000,000
Pan Global Resources Inc.	Common Shares	Downey, Patrick	4	06/03/2017	16	0.05	1,571,000	1,000,000
Pan Global Resources Inc.	Warrants	Downey, Patrick	4	06/03/2017	16	0.1	1,230,000	1,000,000
Pan Global Resources Inc.	Common Shares	Kerzner, brian	4	06/03/2017	15	0.05	1,499,999	1,000,000
Pan Global Resources Inc.	Warrants	Kerzner, brian	4	06/03/2017	15	0.1	1,000,000	1,000,000
Panoro Minerals Ltd.	Common Shares	Boden, William John	4	07/03/2017	10	0.1708	11,269,120	20,000
ParcelPal Technology Inc. (formerly Plus8 Global Ventures, Ltd.)	Common Shares	Moreau, Jason Wayne	4	09/03/2017	10	0.205	1,396,000	2,500
ParcelPal Technology Inc. (formerly Plus8 Global Ventures, Ltd.)	Common Shares	Moreau, Jason Wayne	4	09/03/2017	51	0.05	1,646,000	250,000
ParcelPal Technology Inc. (formerly Plus8 Global Ventures, Ltd.)	Options	Moreau, Jason Wayne	4	09/03/2017	51		0	-250,000
Park Lawn Corporation	Deferred Share Units	Casagrande, Winz Martina	4	31/12/2016	30		640	4
Park Lawn Corporation	Deferred Share Units	Clark, Andrew	4, 5	31/12/2016	30		561	4
Park Lawn Corporation	Deferred Share Units	Leeder, James Joseph	4, 5	31/12/2016	30		561	4
Park Lawn Corporation	Deferred Share Units	Powers, Timothy Ashton	4	31/12/2016	30		676	4
Park Lawn Corporation	Deferred Share Units	Scott, Steven	4	31/12/2016	30		1,280	8

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Park Lawn Corporation	Deferred Share Units	Smith, Paul G.	4	31/12/2016	30		1,197	7
Park Lawn Corporation	Deferred Share Units	Ward, William John	4	31/12/2016	30		1,363	9
Parkland Fuel Corporation	Common Shares	White, Ian James	5	08/02/2017	00		3,143	
Parkland Fuel Corporation	Common Shares	White, Ian James	5	27/02/2017	90	26.35	1,815	-1,328
Parkland Fuel Corporation	Common Shares	White, Ian James	5	08/02/2017	00			
Parkland Fuel Corporation	Common Shares	White, Ian James	5	27/02/2017	90	26.35	1,328	1,328
Partners Value Investments LP	Limited Partnership Units Equity Limited Partnership Units	Partners Value Investments LP	1	21/02/2017	38	29	400	400
Partners Value Investments LP	Limited Partnership Units Equity Limited Partnership Units	Partners Value Investments LP	1	21/02/2017	38		0	-400
Pason Systems Inc.	Common Shares	Boston, Kevin	5	06/03/2017	10	13.543	6,000	500
Pembina Pipeline Corporation	Options	Andersen, Harold	5	07/03/2017	50	42.97	74,893	10,692
Pembina Pipeline Corporation	Options	Burrows, J. Scott	5	07/03/2017	50	42.97	120,182	15,592
Pembina Pipeline Corporation	Options	Charlesworth, Allan	5	07/03/2017	50	42.97	61,417	7,547
Pembina Pipeline Corporation	Options	D'Orazio, Claudia	5	07/03/2017	50	42.97	61,417	7,547
Pembina Pipeline Corporation	Options	Dilger, Michael H.	5	07/03/2017	50	42.97	733,943	116,352
Pembina Pipeline Corporation	Options	Gruszecki, Andrew W.	5	07/03/2017	50	42.97	61,356	8,805
Pembina Pipeline Corporation	Options	Jones, Robert M.	5	07/03/2017	50	42.97	61,608	9,057
Pembina Pipeline Corporation	Options	Lock, Robert Duncan	5	07/03/2017	50	42.97	95,631	11,950
Pembina Pipeline Corporation	Options	Murphy, Paul John	5	07/03/2017	50	42.97	190,760	25,590
Pembina Pipeline Corporation	Options	Smith, Brad	5	07/03/2017	50	42.97	45,020	5,870
Pembina Pipeline Corporation	Options	Sprott, Jaret	5	07/03/2017	50	42.97	45,845	8,302
Pembina Pipeline Corporation	Options	Sulkers, Deborah Ann	5	07/03/2017	50	42.97	70,167	8,176
Pembina Pipeline Corporation	Options	Taylor, Stuart	5	07/03/2017	50	42.97	190,760	25,590
Pembina Pipeline Corporation	Options	Wiun, Jason Travis	5	07/03/2017	50	42.97	62,296	9,686
People Corporation	Common Shares	Asmundson, Paul Edward	5	06/03/2017	00		6,600	
People Corporation	Common Shares	Asmundson, Paul Edward	5	06/03/2017	00		155,896	
People Corporation	Common Shares	Chwartacki, Bonnie	5	08/03/2017	10	4.5	1,261,802	2,000
People Corporation	Common Shares	Chwartacki, Bonnie	5	08/03/2017	10	4.52	1,262,302	500
Performance Sports Group Ltd. (formerly, Bauer Performance Sports Ltd.)	Rights DSUs granted pursuant to Omnibus Equity Incentive Plan	Dea, Joan	4	01/03/2017	56		41,593	8,158
Performance Sports Group Ltd. (formerly, Bauer Performance Sports Ltd.)	Rights DSUs granted pursuant to Omnibus Equity Incentive Plan	Mannelly, Matthew Mark	4	01/03/2017	56		39,438	8,158
Performance Sports Group Ltd. (formerly, Bauer Performance Sports Ltd.)	Rights DSUs granted pursuant to Omnibus Equity Incentive Plan	McDonell, Bernard J.	4	01/03/2017	56		85,180	16,980
Perpetual Energy Inc.	Common Shares	Riddell, Clayton H.	3, 4	06/03/2017	10	1.64	516,991	300,000
Perpetual Energy Inc.	Common Shares	Riddell, Clayton H.	3, 4	06/03/2017	10	1.64	549,316	-300,000
Peyto Exploration & Development Corp.	Common Shares	Chetner, Stephen Jonathan	4	09/03/2017	10	26.815	490,990	-3,000
Peyto Exploration & Development Corp.	Common Shares	Chetner, Stephen Jonathan	4	09/03/2017	10	26.802	56,748	1,250
Peyto Exploration & Development Corp.	Common Shares	Turgeon, Kathy	5	09/03/2017	10	26.77	103,210	5,000
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Athaide, Judith	4	04/03/2017	57	4.07	91,877	-16,666
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Athaide, Judith	4	06/03/2017	57	4.07	88,544	-3,333

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PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Blanchard, Daniel Asa	5	04/03/2017	57	4.07	16,667	-3,333
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Brown, Craig	5	03/03/2017	56		149,940	50,000
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Brown, Craig	5	04/03/2017	57	4.07	133,274	-16,666
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Brown, Craig	5	06/03/2017	57	4.07	112,355	-20,919
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Brown, Craig	5	06/03/2017	57	4.07	110,040	-2,315
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Buker, Michael Leslie	5	03/03/2017	56		151,487	50,000
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Buker, Michael Leslie	5	04/03/2017	57	4.07	134,821	-16,666
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Buker, Michael Leslie	5	06/03/2017	57	4.07	113,902	-20,919
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Buker, Michael Leslie	5	06/03/2017	57	4.07	107,587	-6,315
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Charron, Randolph M	4	04/03/2017	57	4.07	66,119	-16,666
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Charron, Randolph M	4	06/03/2017	57	4.07	62,786	-3,333
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hibbard, Lawrence M.	4	04/03/2017	57	4.07	95,123	-16,666
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hibbard, Lawrence M.	4	06/03/2017	57	4.07	91,790	-3,333
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hooks, John Michael	5	03/03/2017	56		209,377	50,000
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hooks, John Michael	5	04/03/2017	57	4.07	192,711	-16,666
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hooks, John Michael	5	06/03/2017	57	4.07	159,177	-33,534
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Hooks, John Michael	5	06/03/2017	57	4.07	147,154	-12,023
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Ritchie, Cameron Michael	5	03/03/2017	56		150,614	50,000
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Ritchie, Cameron Michael	5	04/03/2017	57	4.07	133,948	-16,666
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Ritchie, Cameron Michael	5	06/03/2017	57	4.07	113,029	-20,919
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Ritchie, Cameron Michael	5	06/03/2017	57	4.07	106,714	-6,315
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Shafer, Jeffery John	5	04/03/2017	57	4.07	56,667	-10,000
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Shafer, Jeffery John	5	06/03/2017	57	4.07	54,167	-2,500
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Tetreault, Myron Arthur	4	04/03/2017	57	4.07	107,442	-16,666
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Tetreault, Myron Arthur	4	06/03/2017	57	4.07	104,109	-3,333
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Thomas, Roger Dale	4	04/03/2017	57	4.07	75,980	-16,666
PHX Energy Services Corp.	Retention Awards (Cash-based Only)	Thomas, Roger Dale	4	06/03/2017	57	4.07	72,647	-3,333
Pioneering Technology Corp.	Common Shares	Bergsma, John	4	08/03/2017	10	1.15	489,611	1,000
Pizza Pizza Royalty Corp.	Common Shares	Swartz, Jay	4	09/03/2017	10	17.27	11,500	500
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	07/03/2017	10	14.6	1,793,084	300
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	07/03/2017	10	14.5	1,793,384	300
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	08/03/2017	10	14.2	1,795,184	1,800
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	08/03/2017	10	14.5	1,795,384	200
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	08/03/2017	10	14.4	1,795,584	200
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	08/03/2017	10	14.3	1,795,784	200
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	08/03/2017	10	14.2	1,795,984	200
Polaris Infrastructure Inc.	Common Shares	Goodwood Inc.	3	08/03/2017	10	13.95	1,796,484	500
Power Americas Minerals Corp.	Common Shares	BYERS, WILLIAM	4, 5	27/05/2011	00			

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Power Americas Minerals Corp.	Common Shares	BYERS, WILLIAM	4, 5	07/03/2017	51	40000	40,000	40,000
Power Americas Minerals Corp.	Options	BYERS, WILLIAM	4, 5	07/03/2017	51	0.05	160,000	-40,000
Power Americas Minerals Corp.	Common Shares	MILNE, HOWARD	4, 5	06/03/2017	51	0.05	825,000	180,000
Power Americas Minerals Corp.	Options	MILNE, HOWARD	4, 5	06/03/2017	51	0.05	720,000	-180,000
Power Corporation of Canada	Options	Lemay, Stéphane	5	07/03/2017	51	27.6	198,280	-22,608
Power Corporation of Canada	Subordinate Voting Shares	Lemay, Stéphane	5	07/03/2017	51	27.6	22,608	22,608
Power Corporation of Canada	Subordinate Voting Shares	Lemay, Stéphane	5	07/03/2017	10	31.993	0	-22,608
PrairieSky Royalty Ltd.	Common Shares	Phillips, Andrew	5	09/03/2017	10	28.08	479,138	10,000
PrairieSky Royalty Ltd.	Common Shares	PrairieSky Royalty Ltd.	1	28/02/2017	38	29.944	127,500	102,500
PrairieSky Royalty Ltd.	Common Shares	PrairieSky Royalty Ltd.	1	28/02/2017	38		16,500	-111,000
PrairieSky Royalty Ltd.	Common Shares	PROCTOR, CAMERON MACLEAN	5	07/03/2017	10	29.62	35,107	2,000
PrairieSky Royalty Ltd.	Common Shares	PROCTOR, CAMERON MACLEAN	5	08/03/2017	10	28.381	37,107	2,000
PrairieSky Royalty Ltd.	Common Shares	PROCTOR, CAMERON MACLEAN	5	07/03/2017	10	29.64	98	20
PrairieSky Royalty Ltd.	Common Shares	PROCTOR, CAMERON MACLEAN	5	07/03/2017	10	29.64	238	23
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	06/02/2017	38	9.68	500	500
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	06/02/2017	38	9.68	0	-500
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	08/02/2017	38	9.68	1,000	1,000
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	08/02/2017	38	9.68	0	-1,000
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	09/02/2017	38	9.68	300	300
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	09/02/2017	38	9.68	0	-300
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	13/02/2017	38	9.68	700	700
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	13/02/2017	38	9.68	0	-700
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	15/02/2017	38	9.68	1,000	1,000
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	15/02/2017	38	9.68	0	-1,000
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	15/02/2017	38	9.53	400	400
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	15/02/2017	38	9.53	0	-400
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	28/02/2017	38	9.91	700	700
Precious Metals Bullion Trust	Units	Precious Metals Bullion Trust	1	28/02/2017	38	9.91	0	-700
Precipitate Gold Corp.	Common Shares	Strategic Metals Ltd.	3	07/03/2017	10		23,510,327	-100,000
Precision Drilling Corporation	Performance Shares Units	Evasiuk, Douglas Brian	7	31/01/2017	59	5.62	125,914	-30,716
Precision Drilling Corporation	Performance Shares Units	Foley, Veronica H.	5	31/01/2017	59	5.62	60,185	-4,797
Precision Drilling Corporation	Restricted Share Units	Foley, Veronica H.	5	31/01/2017	59	5.62	15,585	-11,154
Precision Drilling Corporation	Performance Shares Units	FORD, CAREY THOMAS	7	31/01/2017	59	5.62	123,090	-22,602
Precision Drilling Corporation	Performance Shares Units	Gambles, Leonard C.	7	31/01/2017	59	7.52	64,862	-20,815
Precision Drilling Corporation	Performance Shares Units	Hunter, Grant MacLeod	7	31/01/2017	59	5.62	71,260	-23,140
Precision Drilling Corporation	Performance Shares Units	Marks, Roland H.	7	31/01/2017	59	7.52	93,779	-20,815
Precision Drilling Corporation	Performance Shares Units	Neveu, Kevin A.	4, 5	31/01/2017	59	7.52	412,256	-186,849
Precision Drilling Corporation	Performance Shares Units	Pickering, William Ross	7	31/01/2017	59	7.52	98,779	-20,815
Precision Drilling Corporation	Performance Shares Units	Ruhr, Darren	5	31/01/2017	59	7.52	85,624	-28,137
Precision Drilling Corporation	Performance Shares Units	Stahl, Gene	5	31/01/2017	59	5.62	117,471	-59,220

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Precision Drilling Corporation	Performance Shares Units	Stickland, Wane Jacob	7	31/01/2017	59	5.62	69,006	-16,108
Precision Drilling Corporation	Restricted Share Units	Stickland, Wane Jacob	7	31/01/2017	59	5.62		-13,366
Precision Drilling Corporation	Restricted Share Units	Stickland, Wane Jacob	7	31/01/2017	59	5.62	18,746	-13,366
Premier Diversified Holdings Inc.	Common Shares	Yang, Gang	4	09/03/2017	51	0.05	3,466,667	233,333
Premier Diversified Holdings Inc.	Options	Yang, Gang	4	09/03/2017	51	0.05	233,333	-233,333
Premier Diversified Holdings Inc.	Options	Yang, Gang	4	09/03/2017	38		0	-233,333
Premier Gold Mines Limited	Common Shares	Downie, Ewan Stewart	4	06/03/2017	10	2.3	3,750,278	15,000
Premier Gold Mines Limited	Common Shares	McGibbon, Stephen John	5	06/03/2017	10	2.29	385,889	2,000
Primero Mining Corp.	Common Shares	Brown, Tamara Lee	5	06/03/2017	57		35,507	7,027
Primero Mining Corp.	Common Shares	Brown, Tamara Lee	5	06/03/2017	10		31,629	-3,878
Primero Mining Corp.	Common Shares	Brown, Tamara Lee	5	06/03/2017	57		44,564	12,935
Primero Mining Corp.	Common Shares	Brown, Tamara Lee	5	06/03/2017	10		37,425	-7,139
Primero Mining Corp.	Rights Phantom Share Units	Brown, Tamara Lee	5	06/03/2017	57		100,024	-7,027
Primero Mining Corp.	Rights Phantom Share Units	Brown, Tamara Lee	5	06/03/2017	57		87,089	-12,935
Primero Mining Corp.	Common Shares	Conway, Joseph Francis	4, 5	06/03/2017	57		955,851	33,783
Primero Mining Corp.	Common Shares	Conway, Joseph Francis	4, 5	06/03/2017	10		937,207	-18,644
Primero Mining Corp.	Common Shares	Conway, Joseph Francis	4, 5	06/03/2017	57		1,004,578	67,371
Primero Mining Corp.	Common Shares	Conway, Joseph Francis	4, 5	06/03/2017	10		967,398	-37,180
Primero Mining Corp.	Common Shares	Conway, Joseph Francis	4, 5	06/03/2017	57		984,348	16,950
Primero Mining Corp.	Common Shares	Conway, Joseph Francis	4, 5	06/03/2017	10		974,994	-9,354
Primero Mining Corp.	Rights Phantom Share Units	Conway, Joseph Francis	4, 5	06/03/2017	57		1,202,539	-33,783
Primero Mining Corp.	Rights Phantom Share Units	Conway, Joseph Francis	4, 5	06/03/2017	57		1,135,168	-67,371
Primero Mining Corp.	Rights Phantom Share Units	Conway, Joseph Francis	4, 5	06/03/2017	57		1,118,218	-16,950
Primero Mining Corp.	Common Shares	Demers, David Robert	4	06/03/2017	57		289,451	11,300
Primero Mining Corp.	Common Shares	Demers, David Robert	4	06/03/2017	10		283,894	-5,557
Primero Mining Corp.	Rights Phantom Share Units	Demers, David Robert	4	06/03/2017	57		30,890	-11,300
Primero Mining Corp.	Common Shares	Edey, Grant Arnold	4	06/03/2017	57		79,743	11,300
Primero Mining Corp.	Rights Phantom Share Units	Edey, Grant Arnold	4	06/03/2017	57		30,890	-11,300
Primero Mining Corp.	Common Shares	Lendon, Heather Maura	5	06/03/2017	57		48,066	9,910
Primero Mining Corp.	Common Shares	Lendon, Heather Maura	5	06/03/2017	10		42,597	-5,469
Primero Mining Corp.	Common Shares	Lendon, Heather Maura	5	06/03/2017	57		62,166	19,569
Primero Mining Corp.	Common Shares	Lendon, Heather Maura	5	06/03/2017	10		51,366	-10,800
Primero Mining Corp.	Rights Phantom Share Units	Lendon, Heather Maura	5	06/03/2017	57		140,832	-9,910
Primero Mining Corp.	Rights Phantom Share Units	Lendon, Heather Maura	5	06/03/2017	57		121,263	-19,569
Primero Mining Corp.	Common Shares	Mallory, William James	5	06/03/2017	57		36,278	13,226
Primero Mining Corp.	Common Shares	Mallory, William James	5	06/03/2017	10		28,979	-7,299
Primero Mining Corp.	Rights Phantom Share Units	Mallory, William James	5	06/03/2017	57		73,531	-13,226
Primero Mining Corp.	Common Shares	marchant, peter bradley	4	06/03/2017	57		65,240	11,300
Primero Mining Corp.	Common Shares	marchant, peter bradley	4	06/03/2017	10		59,683	-5,557
Primero Mining Corp.	Rights Phantom Share Units	marchant, peter bradley	4	06/03/2017	57		30,890	-11,300
Primero Mining Corp.	Common Shares	Quartermain, Robert Allan	4	06/03/2017	57		73,948	11,300
Primero Mining Corp.	Common Shares	Quartermain, Robert Allan	4	06/03/2017	10		68,391	-5,557
Primero Mining Corp.	Rights Phantom Share Units	Quartermain, Robert Allan	4	06/03/2017	57		30,890	-11,300
Primero Mining Corp.	Common Shares	Riley, Michael	4	06/03/2017	57		74,948	11,300
Primero Mining Corp.	Rights Phantom Share Units	Riley, Michael	4	06/03/2017	57		30,890	-11,300
Primero Mining Corp.	Common Shares	Toner, Louis	5	06/03/2017	57		41,138	5,856



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Primero Mining Corp.	Common Shares	Toner, Louis	5	06/03/2017	10		37,919	-3,219
Primero Mining Corp.	Common Shares	Toner, Louis	5	06/03/2017	57		51,393	13,474
Primero Mining Corp.	Common Shares	Toner, Louis	5	06/03/2017	10		43,987	-7,406
Primero Mining Corp.	Rights Phantom Share Units	Toner, Louis	5	06/03/2017	57		90,507	-5,856
Primero Mining Corp.	Rights Phantom Share Units	Toner, Louis	5	06/03/2017	57		77,033	-13,474
Probe Metals Inc.	Common Shares	Sokalsky, Jamie Calvin	4	10/03/2017	10	1.37	600,000	50,000
ProMIS Neurosciences Inc.	Common Shares	Giovinazzo, Anthony	4	01/03/2017	00		225,000	
ProMIS Neurosciences Inc.	Options	Giovinazzo, Anthony	4	01/03/2017	00			
ProMIS Neurosciences Inc.	Options	Giovinazzo, Anthony	4	01/03/2017	50	0.18	3,000,000	3,000,000
ProMIS Neurosciences Inc.	Warrants	Giovinazzo, Anthony	4	01/03/2017	00		62,500	
Prophecy Development Corp.	Common Shares without par value	Batista, Harald Fuhrken	4	06/06/2016	70			-75,000
Prophecy Development Corp.	Common Shares without par value	Batista, Harald Fuhrken	4	06/06/2016	70			-75,000
Prophecy Development Corp.	Common Shares without par value	Batista, Harald Fuhrken	4	06/06/2016	37		825,680	-375,273
Prophecy Development Corp.	Common Shares without par value	Batista, Harald Fuhrken	4	06/06/2016	37		150,000	-675,680
Prophecy Development Corp.	Common Shares without par value	Batista, Harald Fuhrken	4	06/06/2016	37		75,000	-75,000
Prophecy Development Corp.	Common Shares without par value	Batista, Harald Fuhrken	4	06/06/2016	37			-750
Prophecy Development Corp.	Common Shares without par value	Batista, Harald Fuhrken	4	06/06/2016	37			-750
Prophecy Development Corp.	Common Shares without par value	Batista, Harald Fuhrken	4	06/06/2016	37		75,750	750
Prophecy Development Corp.	Common Shares without par value	Batista, Harald Fuhrken	4	06/06/2016	37		79,503	3,753
Prophecy Development Corp.	Common Shares without par value	Batista, Harald Fuhrken	4	06/06/2016	37		86,260	6,757
Prophecy Development Corp.	Common Shares without par value	Batista, Harald Fuhrken	4	06/06/2016	37		87,010	750
Prophecy Development Corp.	Common Shares without par value	Batista, Harald Fuhrken	4	06/03/2017	37		26,582	-75,000
Prophecy Development Corp.	Options	Batista, Harald Fuhrken	4	16/08/2013	37	12	652,500	2,500
Prophecy Development Corp.	Options	Batista, Harald Fuhrken	4	27/01/2014	37	10.5	655,500	3,000
Prophecy Development Corp.	Options	Batista, Harald Fuhrken	4	01/05/2014	37	6.5	1,007,500	2,000
Prophecy Development Corp.	Options	Batista, Harald Fuhrken	4	07/04/2015	37	5	1,310,500	3,000
Prophecy Development Corp.	Options	Batista, Harald Fuhrken	4	22/06/2015	37	5	1,512,500	2,000
Prophecy Development Corp.	Options	Batista, Harald Fuhrken	4	02/06/2016	37	2	1,272,500	10,000
Prophecy Development Corp.	Options	Batista, Harald Fuhrken	4	06/06/2016	37		16,500	-1,256,000
Prophecy Development Corp.	Options	Batista, Harald Fuhrken	4	12/01/2017	37	4.88	27,500	5,000
Prophecy Development Corp.	Warrants	Batista, Harald Fuhrken	4	02/06/2014	37	10	3,753	3,753
Prophecy Development Corp.	Warrants	Batista, Harald Fuhrken	4	06/06/2016	37		1	-1,054,705
Prophecy Development Corp.	Warrants	Batista, Harald Fuhrken	4	24/06/2016	37	6	10,510	6,757
Prosper Gold Corp. (formerly Lander Energy Corporation)	Common Shares	Bernier, Peter	4	08/03/2017	10	0.16	5,845,500	12,000
Prosper Gold Corp. (formerly Lander Energy Corporation)	Common Shares	Bernier, Peter	4	09/03/2017	10	0.16	5,933,500	88,000
PUF Ventures Inc.	Common Shares	Cherry, Christopher	5	10/03/2017	16	0.4		60,000
PUF Ventures Inc.	Common Shares	Cherry, Christopher	5	10/03/2017	16	0.25	274,200	60,000
PUF Ventures Inc.	Warrants	Cherry, Christopher	5	19/01/2016	00		60,000	

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PUF Ventures Inc.	Common Shares	Habuda, Jerry	4	10/03/2017	16	0.25	330,000	30,000
PUF Ventures Inc.	Warrants	Habuda, Jerry	4	10/03/2017	16	0.4	180,000	30,000
PUF Ventures Inc.	Common Shares	Ivany, Derek, Lloyd, Harry	4	01/03/2017	10	0.38	1,060,000	50,000
PUF Ventures Inc.	Common Shares	Ivany, Derek, Lloyd, Harry	4	01/03/2017	10	0.385	1,072,000	12,000
PUF Ventures Inc.	Common Shares	Ivany, Derek, Lloyd, Harry	4	07/03/2017	10	0.335	1,085,000	13,000
PUF Ventures Inc.	Common Shares	Ivany, Derek, Lloyd, Harry	4	07/03/2017	10	0.34	1,090,000	5,000
PUF Ventures Inc.	Common Shares	Ivany, Derek, Lloyd, Harry	4	10/03/2017	16	0.25	1,240,000	150,000
PUF Ventures Inc.	Common Shares	Ivany, Derek, Lloyd, Harry	4	10/03/2017	16	0.25	560,000	200,000
PUF Ventures Inc.	Warrants	Ivany, Derek, Lloyd, Harry	4	10/03/2017	16	0.4	1,005,000	150,000
PUF Ventures Inc.	Warrants	Ivany, Derek, Lloyd, Harry	4	19/04/2016	00		200,000	
PUF Ventures Inc.	Common Shares	Perino, Joseph	4	10/03/2017	16	0.25	240,000	30,000
PUF Ventures Inc.	Warrants	Perino, Joseph	4	10/03/2017	16	0.4	90,000	30,000
Pulse Oil Corp.	Common Shares	Cadenhead, Drew	3, 4, 5	24/02/2017	00		4,813,376	
Pulse Oil Corp.	Common Shares	Randhawa, Devinder	3	06/03/2017	00		3,500,000	
Pulse Oil Corp.	Common Shares	Sidoo, David	3	07/03/2017	00		4,166,667	
Pulse Oil Corp.	Common Shares	Sidoo, David	3	08/03/2017	10	0.16	4,126,667	-40,000
Pulse Oil Corp.	Common Shares	Sidoo, David	3	08/03/2017	10	0.17	4,086,667	-40,000
Pulse Seismic Inc.	Common Shares	Pulse Seismic Inc.	1	09/03/2017	38	2.38	173,500	400
Pulse Seismic Inc.	Common Shares	Pulse Seismic Inc.	1	10/03/2017	38	2.398	178,100	4,600
Puma Exploration Inc.	Common Shares	Gagné, Dominique	5	06/03/2017	10	0.1	683,978	5,000
Puma Exploration Inc.	Common Shares	Gagné, Dominique	5	09/03/2017	10	0.095	685,978	2,000
Puma Exploration Inc.	Common Shares	Robillard, Marcel	4, 5	06/03/2017	10	0.1	2,595,965	10,000
Puma Exploration Inc.	Common Shares	Robillard, Marcel	4, 5	07/03/2017	10	0.095	2,605,965	10,000
Puma Exploration Inc.	Common Shares	Robillard, Marcel	4, 5	08/03/2017	10	0.095	2,615,965	10,000
Pure Technologies Ltd.	Common Shares	Budianto, Robert	5	08/02/2017	30	4.56	27,740	287
Pure Technologies Ltd.	Common Shares	Budianto, Robert	5	21/02/2017	30	4.61	28,023	283
Pure Technologies Ltd.	Common Shares	Chandrasekaran, Muthu Kumaran	5	08/02/2017	30	4.56		288
Pure Technologies Ltd.	Common Shares	Chandrasekaran, Muthu Kumaran	5	08/02/2017	30	4.56	62,413	288
Pure Technologies Ltd.	Common Shares	Chandrasekaran, Muthu Kumaran	5	21/02/2017	30	4.61	62,698	285
Pure Technologies Ltd.	Rights Deferred Share Units	Crossley, Raymond	4	31/03/2016	30	4.88	31,278	191
Pure Technologies Ltd.	Rights Deferred Share Units	Crossley, Raymond	4	30/06/2016	30	5.89	33,708	171
Pure Technologies Ltd.	Rights Deferred Share Units	Crossley, Raymond	4	30/09/2016	30	5.86	35,836	182
Pure Technologies Ltd.	Rights Deferred Share Units	Crossley, Raymond	4	31/12/2016	30	4.8	38,043	237
Pure Technologies Ltd.	Rights Deferred Share Units	Elford, Sara	4	31/03/2016	30	4.88	1,489	9
Pure Technologies Ltd.	Rights Deferred Share Units	Elford, Sara	4	30/06/2016	30	5.89	3,767	19
Pure Technologies Ltd.	Rights Deferred Share Units	Elford, Sara	4	30/09/2016	30	5.86	5,742	29
Pure Technologies Ltd.	Rights Deferred Share Units	Elford, Sara	4	31/12/2016	30	4.8	7,760	48
Pure Technologies Ltd.	Rights Deferred Share Units	Fischer, Charles Wayne	4	31/03/2016	30	4.88	7,451	45
Pure Technologies Ltd.	Rights Deferred Share Units	Fischer, Charles Wayne	4	30/06/2016	30	5.89	9,759	49
Pure Technologies Ltd.	Rights Deferred Share Units	Fischer, Charles Wayne	4	30/09/2016	30	5.86	11,765	60
Pure Technologies Ltd.	Rights Deferred Share Units	Fischer, Charles Wayne	4	31/12/2016	30	4.8	13,821	86
Pure Technologies Ltd.	Common Shares	Higgins, Michael	5	20/01/2017	30	4.83	32,065	330
Pure Technologies Ltd.	Common Shares	Higgins, Michael	5	08/02/2017	30	4.56	32,416	351
Pure Technologies Ltd.	Common Shares	Higgins, Michael	5	21/02/2017	30	4.61	32,765	349
Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	08/02/2017	30	4.56	225,513	263
Pure Technologies Ltd.	Common Shares	Holley, Mark William	5	21/02/2017	30	4.61	225,773	260
Pure Technologies Ltd.	Common Shares	Krause, Geoffrey Dean	5	08/02/2017	30	4.56	17,594	239

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Pure Technologies Ltd.	Common Shares	Krause, Geoffrey Dean	5	21/02/2017	30	4.61	17,830	236
Pure Technologies Ltd.	Rights Deferred Share Units	MACDONALD, SCOTT IAN	4	31/03/2016	30	4.88	14,073	86
Pure Technologies Ltd.	Rights Deferred Share Units	MACDONALD, SCOTT IAN	4	30/06/2016	30	5.89	18,389	93
Pure Technologies Ltd.	Rights Deferred Share Units	MACDONALD, SCOTT IAN	4	30/09/2016	30	5.86	18,490	101
Pure Technologies Ltd.	Rights Deferred Share Units	McDermid, David Hugh	4	31/03/2016	30	4.88	13,245	81
Pure Technologies Ltd.	Rights Deferred Share Units	McDermid, David Hugh	4	30/06/2016	30	5.89	17,349	88
Pure Technologies Ltd.	Rights Deferred Share Units	McDermid, David Hugh	4	30/09/2016	30	5.86	20,915	106
Pure Technologies Ltd.	Rights Deferred Share Units	McDermid, David Hugh	4	31/12/2016	30	4.8	24,571	153
Pure Technologies Ltd.	Common Shares	Paulson, Peter Osborne	4, 6, 5	08/02/2017	30	4.56	332,396	280
Pure Technologies Ltd.	Common Shares	Paulson, Peter Osborne	4, 6, 5	21/02/2017	30	4.61	332,673	277
Pure Technologies Ltd.	Common Shares	Springer, Nicole	5	20/01/2017	30	4.83	3,996	205
Pure Technologies Ltd.	Common Shares	Springer, Nicole	5	08/02/2017	30	4.56	4,214	218
Pure Technologies Ltd.	Common Shares	WRIGGLESWORTH, MICHAEL ROBERT	5	08/02/2017	30	4.56	39,953	199
Pure Technologies Ltd.	Common Shares	WRIGGLESWORTH, MICHAEL ROBERT	5	21/02/2017	30	4.61	40,150	197
Quadron Capital Corporation (formerly DePaul Capital Corporation)	Common Shares	Durno, Jeff	4, 6	08/03/2017	10	0.285	1,559,000	19,000
Quantum Numbers Corp.	Common Shares	Leboeuf, Eric	3	06/03/2017	54	0.1	3,619,000	1,000,000
Quantum Numbers Corp.	Warrants	Leboeuf, Eric	3	06/03/2017	54		2,500,000	-1,000,000
Raging River Exploration Inc.	Common Shares	ROSZELL, NEIL JACK	4	31/12/2016	30	10.12	11,146	5,455
Range Energy Resources Inc.	Promissory Notes	Gulf LNG America, LLC	3	03/03/2017	97	0.02	\$8,930,720	\$2,007,600
Ravensource Fund (formerly The First Asia Income Fund)	Trust Units	Reid, Scott	3	07/03/2017	10	14.25	164,800	7,400
Redknee Solutions Inc.	Common Shares	Kothari, Vishal	5	03/03/2017	10	1.01	3,840,358	-5,500
Redknee Solutions Inc.	Common Shares	Kothari, Vishal	5	03/03/2017	10	1	3,745,858	-94,500
Redknee Solutions Inc.	Common Shares	Kothari, Vishal	5	03/03/2017	10	1	3,645,858	-100,000
Redknee Solutions Inc.	Common Shares	Kothari, Vishal	5	03/03/2017	10	1	3,545,858	-100,000
Redknee Solutions Inc.	Common Shares	Kothari, Vishal	5	03/03/2017	10	1	3,445,858	-100,000
Redknee Solutions Inc.	Common Shares	Kothari, Vishal	5	03/03/2017	10	1	3,341,358	-104,500
Redknee Solutions Inc.	Common Shares	Kothari, Vishal	5	06/03/2017	10	1.01	3,332,158	-9,200
Redknee Solutions Inc.	Common Shares	Kothari, Vishal	5	06/03/2017	10	1	3,241,358	-90,800
Redknee Solutions Inc.	Common Shares	Kothari, Vishal	5	06/03/2017	10	1	3,041,358	-200,000
Redknee Solutions Inc.	Common Shares	Kothari, Vishal	5	06/03/2017	10	1	2,841,358	-200,000
REIT INDEXPLUS Income Fund	Trust Units	REIT INDEXPLUS Income Fund	1	06/03/2017	38	12.407	9,752,579	700
REIT INDEXPLUS Income Fund	Trust Units	REIT INDEXPLUS Income Fund	1	08/03/2017	38	12.313	9,755,079	2,500
Relevium Technologies Inc.	Common Shares	Useche Gutierrez, Aurelio Antonio	5	03/03/2017	10	0.1	78,000	4,000
Relevium Technologies Inc.	Common Shares	Useche Gutierrez, Aurelio Antonio	5	01/03/2017	10	0.1	314,000	-10,000
Relevium Technologies Inc.	Common Shares	Useche Gutierrez, Aurelio Antonio	5	01/03/2017	10	0.102	329,000	15,000
Restaurant Brands International Inc.	Common Shares	Van Damme, Alexandre	4	12/12/2014	00			
Restaurant Brands International Inc.	Common Shares	Van Damme, Alexandre	4	09/03/2017	51	3.54	141,105	141,105
Restaurant Brands International Inc.	Common Shares	Van Damme, Alexandre	4	09/03/2017	11		0	-141,105
Restaurant Brands International Inc.	Common Shares	Van Damme, Alexandre	4	09/03/2017	11		5,419,230	141,105
Restaurant Brands International Inc.	Options	Van Damme, Alexandre	4	12/12/2014	00			
Restaurant Brands International Inc.	Options	Van Damme, Alexandre	4	12/12/2014	00		141,105	

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Restaurant Brands International Inc.	Options	Van Damme, Alexandre	4	09/03/2017	51	3.54	0	-141,105
Richmont Mines Inc.	Common Shares	Adam, Daniel	5	01/03/2017	57	10.36	69,205	5,200
Richmont Mines Inc.	Common Shares	Adam, Daniel	5	02/03/2017	10	9.864	66,414	-2,791
Richmont Mines Inc.	Options	Adam, Daniel	5	02/03/2017	50	10.87	62,354	7,007
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Adam, Daniel	5	01/03/2017	57	10.36	12,734	-5,200
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Adam, Daniel	5	02/03/2017	56	10.87	18,564	5,830
Richmont Mines Inc.	Common Shares	Adams, Renaud	4, 5	01/03/2017	57	10.36	128,666	28,666
Richmont Mines Inc.	Common Shares	Adams, Renaud	4, 5	02/03/2017	10	9.864	113,259	-15,407
Richmont Mines Inc.	Options	Adams, Renaud	4, 5	02/03/2017	50	10.87	821,355	46,355
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Adams, Renaud	4, 5	01/03/2017	57	10.36	57,334	-28,666
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Adams, Renaud	4, 5	02/03/2017	56	10.87	95,903	38,569
Richmont Mines Inc.	Unité d'Action différée /Deferred Share unit	Barnes, Peter Derek	4	24/02/2016	00			
Richmont Mines Inc.	Unité d'Action différée /Deferred Share unit	Barnes, Peter Derek	4	02/03/2017	56	10.87	10,764	10,764
Richmont Mines Inc.	Options	Bourcier, Christian	5	02/03/2017	50	10.87	131,643	11,643
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Bourcier, Christian	5	11/01/2016	00			
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Bourcier, Christian	5	02/03/2017	56	10.87	9,687	9,687
Richmont Mines Inc.	Common Shares	Burleton, Stephen	5	01/03/2017	57	10.36	14,671	5,600
Richmont Mines Inc.	Common Shares	Burleton, Stephen	5	02/03/2017	10	9.864	11,658	-3,013
Richmont Mines Inc.	Options	Burleton, Stephen	5	02/03/2017	50	10.87	96,319	11,319
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Burleton, Stephen	5	01/03/2017	57	10.36	15,867	-5,600
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Burleton, Stephen	5	02/03/2017	56	10.87	25,285	9,418
Richmont Mines Inc.	Common Shares	Day, Anne	5	01/03/2017	57	10.36	18,333	3,333
Richmont Mines Inc.	Common Shares	Day, Anne	5	02/03/2017	10	9.864	16,538	-1,795
Richmont Mines Inc.	Options	Day, Anne	5	02/03/2017	50	10.87	112,829	12,829
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Day, Anne	5	01/03/2017	57	10.36	6,667	-3,333
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Day, Anne	5	02/03/2017	56	10.87	17,341	10,674
Richmont Mines Inc.	Unité d'Action différée /Deferred Share unit	Ellingham, Elaine	4	02/03/2017	56	10.87	28,764	10,764
Richmont Mines Inc.	Unité d'Action différée /Deferred Share unit	Marion, Rene Louis Joseph	4	02/03/2017	56	10.87	43,145	16,145
Richmont Mines Inc.	Unité d'Action différée /Deferred Share unit	Pesner, Michael	4	02/03/2017	56	10.87	28,764	10,764
Richmont Mines Inc.	Common Shares	Tardif, MéliSSa	5	01/03/2017	57	10.36	2,417	1,243
Richmont Mines Inc.	Common Shares	Tardif, MéliSSa	5	02/03/2017	10	9.864	1,821	-596
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Tardif, MéliSSa	5	01/03/2017	57	10.36	3,387	-1,243
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Tardif, MéliSSa	5	02/03/2017	56	10.87	5,227	1,840
Richmont Mines Inc.	Common Shares	Veilleux, Nicole	5	01/03/2017	57	10.36	33,833	6,000
Richmont Mines Inc.	Options	Veilleux, Nicole	5	02/03/2017	50	10.87	144,185	8,085
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Veilleux, Nicole	5	01/03/2017	57	10.36	14,834	-6,000
Richmont Mines Inc.	Restricted Share Unit / Unité d'action restreinte	Veilleux, Nicole	5	02/03/2017	56	10.87	21,561	6,727
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	06/03/2017	10	14.6	5,218,070	5,702
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	06/03/2017	10	14.6	5,208,055	-10,015

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Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	07/03/2017	10	14.6	5,208,076	21
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	07/03/2017	10	14.6	5,208,035	-41
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	08/03/2017	10	14.6	5,208,044	9
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	08/03/2017	10	14.6	5,208,027	-17
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	09/03/2017	10	14.6	5,209,982	1,955
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	09/03/2017	10	14.6		-3,425
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	09/03/2017	10	14.6		-3,425
RIOCAN REAL ESTATE INVESTMENT TRUST	Options	Devine, Cynthia Jane	5	08/03/2017	30	25.78		-5,000
RIOCAN REAL ESTATE INVESTMENT TRUST	Options	Devine, Cynthia Jane	5	08/03/2017	51	25.78	254,465	-5,000
RIOCAN REAL ESTATE INVESTMENT TRUST	Options	Devine, Cynthia Jane	5	10/03/2017	51	25.78	249,465	-5,000
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Devine, Cynthia Jane	5	03/03/2017	10	26.35	17,167	-5,000
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Devine, Cynthia Jane	5	07/03/2017	10	26.5	12,167	-5,000
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Devine, Cynthia Jane	5	08/03/2017	51	25.78	17,167	5,000
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Devine, Cynthia Jane	5	10/03/2017	51	25.78	22,167	5,000
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Kissoon, Daneshwar	5	07/10/2016	30	26.45	47,830	149
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Kissoon, Daneshwar	5	07/11/2016	30	25.8	47,984	154
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Kissoon, Daneshwar	5	07/12/2016	30	26.862	48,132	148
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Kissoon, Daneshwar	5	09/01/2017	30	26.907	48,281	149
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Kissoon, Daneshwar	5	07/02/2017	30	25.8	48,437	156
RIOCAN REAL ESTATE INVESTMENT TRUST	Trust Units	Kissoon, Daneshwar	5	07/03/2017	30	26.363	48,590	153
Ritchie Bros. Auctioneers Incorporated	Options	Alseth, Becky	5	02/03/2017	50	32.16	58,082	21,982
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Alseth, Becky	5	02/03/2017	56	32.77	12,334	5,111
Ritchie Bros. Auctioneers Incorporated	Options	Barr, James IV	5	02/03/2017	50	32.16	247,323	50,525
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Barr, James IV	5	02/03/2017	56	32.77	45,713	11,749
Ritchie Bros. Auctioneers Incorporated	Rights Deferred Share Units	Briscoe, Beverley Anne	4	06/03/2017	56	32.77	22,001	1,190
Ritchie Bros. Auctioneers Incorporated	Options	Driscoll, Sharon	5	02/03/2017	50	32.16	117,081	32,559
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Driscoll, Sharon	5	02/03/2017	56	32.77	26,799	7,571
Ritchie Bros. Auctioneers Incorporated	Rights Deferred Share Units	Elton, Robert George	4	06/03/2017	56	32.77	11,558	526
Ritchie Bros. Auctioneers Incorporated	Options	Holm, Kieran	5	02/03/2017	50	32.16	70,107	12,861
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Holm, Kieran	5	02/03/2017	56	32.77	8,233	2,991

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Ritchie Bros. Auctioneers Incorporated	Options	Marck, Marianne	5	02/03/2017	50	32.16	40,435	22,966
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Marck, Marianne	5	02/03/2017	56	32.77	9,355	5,340
Ritchie Bros. Auctioneers Incorporated	Options	OLIVE, DOUGLAS	5	02/03/2017	50	32.16	52,757	10,261
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	OLIVE, DOUGLAS	5	02/03/2017	56	32.77	8,630	2,386
Ritchie Bros. Auctioneers Incorporated	Rights Deferred Share Units	Olsson, Erik	4	06/03/2017	56	32.77	8,635	526
Ritchie Bros. Auctioneers Incorporated	Rights Deferred Share Units	Pitoniak, Edward Baltazar	4	06/03/2017	56	32.77	12,466	526
Ritchie Bros. Auctioneers Incorporated	Rights Deferred Share Units	Raiss, Sarah	4	06/03/2017	56	32.77	1,016	526
Ritchie Bros. Auctioneers Incorporated	Options	Rijk, Jeroen	5	02/03/2017	50	32.16	118,201	13,047
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Rijk, Jeroen	5	02/03/2017	56	32.77	15,644	3,034
Ritchie Bros. Auctioneers Incorporated	Options	Saligram, Ravichandra	5	02/03/2017	50	32.16	1,032,312	164,043
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Saligram, Ravichandra	5	02/03/2017	56	32.77	260,181	38,145
Ritchie Bros. Auctioneers Incorporated	Options	Watt, Darren	5	02/03/2017	50	32.16	47,307	14,800
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Watt, Darren	5	02/03/2017	56	32.77	7,269	3,441
Ritchie Bros. Auctioneers Incorporated	Options	Werner, Karl William	5	02/03/2017	50	32.16	153,157	25,263
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Werner, Karl William	5	02/03/2017	56	32.77	26,390	5,874
Ritchie Bros. Auctioneers Incorporated	Options	Wohler, Todd	5	02/03/2017	50	32.16	105,445	25,919
Ritchie Bros. Auctioneers Incorporated	Rights Performance Share Units	Wohler, Todd	5	02/03/2017	56	32.77	23,356	6,027
Ritchie Bros. Auctioneers Incorporated	Rights Deferred Share Units	Zimmerman, Christopher	4	06/03/2017	56	32.77	12,466	526
Rockhaven Resources Ltd.	Options	Youngman, Bruce A.	4, 6	02/03/2017	52	0.5	690,000	-500,000
Rogers Communications Inc.	Non-Voting Shares Class B	Reid, James M.	5	31/12/2016	30	52.229	4,284	856
Rogers Communications Inc.	Non-Voting Shares Class B	Reid, James M.	5	08/03/2017	10	56.65	734	-3,550
Rogers Communications Inc.	Options (Non-Performance)	Reid, James M.	5	08/03/2017	38		85,120	-8,620
Rogers Communications Inc.	Options (Non-Performance)	Reid, James M.	5	08/03/2017	38		76,630	-8,490
Rogers Communications Inc.	Options (Performance)	Reid, James M.	5	08/03/2017	38		26,347	-10,328
Rogers Communications Inc.	Options (Performance)	Reid, James M.	5	08/03/2017	38		20,572	-5,775
Rogers Communications Inc.	Options (Performance)	Reid, James M.	5	08/03/2017	38		15,359	-5,213
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Reid, James M.	5	08/03/2017	59	11.692	85,120	-8,620
Rogers Communications Inc.	Stock Appreciation Rights (Non-Performance)	Reid, James M.	5	08/03/2017	59	6.7118	76,630	-8,490
Rogers Communications Inc.	Stock Appreciation Rights (Performance)	Reid, James M.	5	08/03/2017	59	8.1023	26,347	-10,328
Rogers Communications Inc.	Stock Appreciation Rights (Performance)	Reid, James M.	5	08/03/2017	59	11.232	20,572	-5,775
Rogers Communications Inc.	Stock Appreciation Rights (Performance)	Reid, James M.	5	08/03/2017	59	13.813	15,359	-5,213
Rosita Mining Corporation	Common Shares	Bandrowski, Michael	4	08/03/2017	10	0.045	200,000	60,000
Royal Bank of Canada	Common Shares	McGregor, Alex Douglas	5	06/03/2017	51	58.648	90,383	20,000
Royal Bank of Canada	Common Shares	McGregor, Alex Douglas	5	06/03/2017	10	99.104	75,908	-14,475
Royal Bank of Canada	Options	McGregor, Alex Douglas	5	06/03/2017	51	58.648	545,310	-20,000
Royal Road Minerals Limited	Ordinary Shares	Phoenix Gold Fund Limited	3	28/02/2017	00			
Royal Road Minerals Limited	Ordinary Shares	Phoenix Gold Fund Limited	3	28/02/2017	00			
RTG Mining Inc.	Common Shares	Hains, Richard Charles	3	09/03/2017	10	0.243	3,493,588	966,411

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
RTG Mining Inc.	Common Shares	Hains, Richard Charles	3	09/03/2017	10	0.243	0	-966,411
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	09/03/2017	10	1.31	18,634,300	20,000
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	09/03/2017	10	1.33	18,674,300	40,000
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	09/03/2017	10	1.3	18,704,100	29,800
Rupert Resources Ltd.	Common Shares	Brimacombe, Alan Douglas	3	09/03/2017	10	1.31	18,704,300	200
Rye Patch Gold Corp.	Common Shares	BAKER, TIMOTHY CLIVE	4	14/12/2016	00			
Rye Patch Gold Corp.	Common Shares	BAKER, TIMOTHY CLIVE	4	09/03/2017	10	0.29	100,000	100,000
S Split Corp.	Common Shares Class A Shares	Premium Income Corporation	8	08/03/2017	10	7.707	7,500	-1,000
Salazar Resources Limited	Common Shares	Roca Arteta, Jorge F.	4	08/03/2017	10	0.105	2,884,166	22,000
Salazar Resources Limited	Common Shares	Roca Arteta, Jorge F.	4	08/03/2017	10	0.11	2,931,666	47,500
Salazar Resources Limited	Common Shares	Roca Arteta, Jorge F.	4	09/03/2017	10	0.115	3,031,666	100,000
Sanatana Resources Inc.	Common Shares	Miles, Peter Leighton	4, 5	10/03/2017	10	0.045	6,437,886	50,000
Sandvine Corporation	Common Shares	Sandvine Corporation	1	01/02/2017	38	2.8289	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	01/02/2017	38	2.8289	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	02/02/2017	38	2.7223	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	02/02/2017	38	2.7223	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	03/02/2017	38	2.7341	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	03/02/2017	38	2.7341	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	06/02/2017	38	2.7294	109,100	109,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	06/02/2017	38	2.7294	0	-109,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	07/02/2017	38	2.7777	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	07/02/2017	38	2.7777	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	08/02/2017	38	2.7456	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	08/02/2017	38	2.7456	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	09/02/2017	38	2.8095	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	09/02/2017	38	2.8095	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	10/02/2017	38	2.811	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	10/02/2017	38	2.811	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	13/02/2017	38	2.8432	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	13/02/2017	38	2.8432	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	14/02/2017	38	2.8574	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	14/02/2017	38	2.8574	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	15/02/2017	38	2.8279	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	15/02/2017	38	2.8279	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	16/02/2017	38	2.8324	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	16/02/2017	38	2.8324	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	17/02/2017	38	2.8218	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	17/02/2017	38	2.8218	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	20/02/2017	38	2.8286		82,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	21/02/2017	38	2.8286	82,100	82,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	21/02/2017	38	2.8286	0	-82,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	22/02/2017	38	2.8887	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	22/02/2017	38	2.8887	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	23/02/2017	38	2.8917	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	23/02/2017	38	2.8917	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	24/02/2017	38	2.8676	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	24/02/2017	38	2.8676	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	27/02/2017	38	2.8576	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	27/02/2017	38	2.8576	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	28/02/2017	38	2.871	126,600	126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	28/02/2017	38	2.871	0	-126,600
Sandvine Corporation	Common Shares	Sandvine Corporation	1	06/03/2017	38	2.7294	-109,100	-109,100
Sandvine Corporation	Common Shares	Sandvine Corporation	1	07/03/2017	38	2.7777	17,500	126,600
Saputo Inc.	Common Shares	Saputo inc.	1	01/02/2017	38	47.635	267,000	57,000
Saputo Inc.	Common Shares	Saputo inc.	1	01/02/2017	38		197,000	-70,000
Saputo Inc.	Common Shares	Saputo inc.	1	02/02/2017	38		127,000	-70,000
Saputo Inc.	Common Shares	Saputo inc.	1	03/02/2017	38		57,000	-70,000

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Saputo Inc.	Common Shares	Saputo inc.	1	06/02/2017	38		0	-57,000
Saputo Inc.	Common Shares	Saputo inc.	1	17/02/2017	38	43.686	600,000	600,000
Saputo Inc.	Common Shares	Saputo inc.	1	22/02/2017	38		0	-600,000
Saputo Inc.	Common Shares	Saputo inc.	1	23/02/2017	38	44.615	800,000	800,000
Saputo Inc.	Common Shares	Saputo inc.	1	27/02/2017	38		0	-800,000
Saputo Inc.	Common Shares	Saputo inc.	1	27/02/2017	38	44.108	600,000	600,000
Satori Resources Inc.	Common Shares	DiGirolamo, Julio	5	19/01/2017	00		150,000	
Savaria Corporation	Common Shares	Chapelaine, Jean-Louis	4	09/03/2017	51	3.45	150,000	25,000
Savaria Corporation	Options	Chapelaine, Jean-Louis	4	09/03/2017	51	3.45	50,000	-25,000
Savaria Corporation	Common Shares	Drutz, Peter Allen	4	09/03/2017	51	3.45	158,766	25,000
Savaria Corporation	Common Shares	Drutz, Peter Allen	4	09/03/2017	10	12	154,766	-4,000
Savaria Corporation	Common Shares	Drutz, Peter Allen	4	09/03/2017	10	11.82	150,766	-4,000
Savaria Corporation	Common Shares	Drutz, Peter Allen	4	09/03/2017	10	11.75	145,766	-5,000
Savaria Corporation	Common Shares	Drutz, Peter Allen	4	09/03/2017	10	11.5	141,766	-4,000
Savaria Corporation	Common Shares	Drutz, Peter Allen	4	09/03/2017	10	11.525	136,766	-5,000
Savaria Corporation	Common Shares	Drutz, Peter Allen	4	09/03/2017	10	11.63	133,766	-3,000
Savaria Corporation	Options	Drutz, Peter Allen	4	09/03/2017	51	3.45		25,000
Savaria Corporation	Options	Drutz, Peter Allen	4	09/03/2017	51	3.45	50,000	-25,000
Savaria Corporation	Common Shares	Dumoulin, Sylvain	4	09/03/2017	51	3.45	70,000	25,000
Savaria Corporation	Common Shares	Dumoulin, Sylvain	4	09/03/2017	10	11.6	65,000	-5,000
Savaria Corporation	Common Shares	Dumoulin, Sylvain	4	10/03/2017	10	12.3	60,000	-5,000
Savaria Corporation	Options	Dumoulin, Sylvain	4	09/03/2017	51	3.45	50,000	-25,000
Scandium International Mining Corp.	Common Shares	Putnam, George Frederick [Jr.]	4, 5	07/03/2017	51	0.07	4,542,010	400,000
Scandium International Mining Corp.	Options	Putnam, George Frederick [Jr.]	4, 5	07/03/2017	51		5,200,000	-400,000
Sceptre Ventures Inc.	Common Shares	Burke, Malcolm Peter	4	06/03/2014	00			
Sceptre Ventures Inc.	Common Shares	Burke, Malcolm Peter	4	12/05/2014	16	0.1	200,000	200,000
Seabridge Gold Inc.	Common Shares	Murphy, Robert Brent	5	03/03/2017	57	12.72	49,130	5,000
Seabridge Gold Inc.	Rights Restricted Share Units	Murphy, Robert Brent	5	03/03/2017	57	12.72	5,000	-5,000
Seabridge Gold Inc.	Common Shares	Reynolds, Christopher Justin	5	03/03/2017	57		90,019	5,000
Seabridge Gold Inc.	Rights Restricted Share Units	Reynolds, Christopher Justin	5	03/03/2017	57		5,000	-5,000
Seabridge Gold Inc.	Common Shares	Scott, Charles Bruce Scott	5	03/03/2017	36		34,600	3,000
Seabridge Gold Inc.	Common Shares	Scott, Charles Bruce Scott	5	03/03/2017	90	12.72	33,200	-1,400
Seabridge Gold Inc.	Common Shares	Scott, Charles Bruce Scott	5	01/01/2012	00			
Seabridge Gold Inc.	Common Shares	Scott, Charles Bruce Scott	5	03/03/2017	36		2,000	2,000
Seabridge Gold Inc.	Common Shares	Scott, Charles Bruce Scott	5	03/03/2017	90	12.72	20,800	1,400
Seabridge Gold Inc.	Rights Restricted Share Units	Scott, Charles Bruce Scott	5	03/03/2017	36		5,000	-5,000
Seabridge Gold Inc.	Common Shares	Threlkeld, William Earl II	5	03/03/2017	57	12.72	317,147	5,750
Seabridge Gold Inc.	Rights Restricted Share Units	Threlkeld, William Earl II	5	03/03/2017	57	12.72	5,750	-5,750
Seabridge Gold Inc.	Common Shares	Trujillo, Gloria M.	8	03/03/2017	57	12.72	21,690	1,750
Seabridge Gold Inc.	Rights Restricted Share Units	Trujillo, Gloria M.	8	03/03/2017	57	12.72	1,750	-1,750
Seabridge Gold Inc.	Common Shares	Williams, Peter D.	5	03/03/2017	57	12.72	65,500	16,250
Seabridge Gold Inc.	Rights Restricted Share Units	Williams, Peter D.	5	03/03/2017	57		16,250	-16,250
Secure Energy Services Inc.	Rights Deferred Share Units	Cobbe, Murray Lynn	4	06/03/2017	56	10.53	51,091	14,245
Secure Energy Services Inc.	Common Shares	Steinke, Daniel	5	08/03/2017	90	10.44	566,392	-3,436
Secure Energy Services Inc.	Common Shares	Steinke, Daniel	5	08/03/2017	90	10.44	5,036	3,436
SecureCom Mobile Inc.	Options	Bartholomew, Gary	4	03/03/2017	52		0	-25,000
Security Devices International Inc.	Common Shares	Northeast Industrial Partners LLC	4	09/03/2017	46	0.13	1,581,516	503,251
Seven Generations Energy Ltd.	Common Shares Class A	Brunner, Kyle	5	31/12/2016	30	23.6	1,449	640
Seven Generations Energy Ltd.	Common Shares Class A	Carlson, Patrick Beverley	5	29/10/2014	00			



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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Seven Generations Energy Ltd.	Common Shares Class A	Carlson, Patrick Beverley	5	31/12/2016	30	30.35	1,102	1,102
Seven Generations Energy Ltd.	Common Shares Class A	Feltin, Christopher Wade	5	26/10/2016	00			
Seven Generations Energy Ltd.	Common Shares Class A	Feltin, Christopher Wade	5	31/12/2016	30	30.12	298	298
Seven Generations Energy Ltd.	Common Shares Class A	Hand, Paul Warren	4	07/03/2017	00		25,000	
Seven Generations Energy Ltd.	Common Shares Class A	Hnatuik, Randall Nickolas Bruce	5	31/12/2016	30	23.3	6,157	2,534
Seven Generations Energy Ltd.	Common Shares Class A	Hucik, Barry John	5	31/12/2016	30	23.3	6,729	2,776
Seven Generations Energy Ltd.	Common Shares Class A	Johnston, Kevin James	5	31/12/2016	30	28.75	340	340
Seven Generations Energy Ltd.	Common Shares Class A	Law, Christopher Tudor	5	31/12/2016	30	23.37	7,107	3,050
Seven Generations Energy Ltd.	Common Shares Class A	Nevokshonoff, Glen Allen	5	31/12/2016	30	23.43	6,346	2,681
Seven Generations Energy Ltd.	Common Shares Class A	Newmarch, Brian John	5	31/12/2016	30	23.68	5,443	1,842
Seven Generations Energy Ltd.	Common Shares Class A	Proctor, Marty Leigh	5	31/12/2016	30	23.26	9,337	3,870
Seven Generations Energy Ltd.	Common Shares Class A	Raggett, Charlotte Sarah Jane	5	01/12/2015	00			
Seven Generations Energy Ltd.	Common Shares Class A	Raggett, Charlotte Sarah Jane	5	31/12/2016	30	23.26	287	287
Seven Generations Energy Ltd.	Common Shares Class A	Spence, Merlyn Dale	5	31/12/2016	30	23.3	6,740	2,776
Seven Generations Energy Ltd.	Common Shares Class A	Stauf, Timothy Lauer	5	14/11/2016	00			
Seven Generations Energy Ltd.	Common Shares Class A	Stauf, Timothy Lauer	5	31/12/2016	30	30.88	328	328
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Culmone, Vito	5	31/12/2016	30	25.05	4,392	3,485
SHAW COMMUNICATIONS INC.	Restricted Share Units	Culmone, Vito	5	31/12/2016	30	26.67	17,363	127
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	English, Trevor	5	31/12/2016	30	25.01	18,073	3,060
SHAW COMMUNICATIONS INC.	Performance Stock Units (PSU)	English, Trevor	5	31/12/2016	30	26.99	6,946	25
SHAW COMMUNICATIONS INC.	Restricted Share Units	English, Trevor	5	31/12/2016	30	26.67	6,868	50
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Johnson, Peter	5	31/12/2016	30	25.77	9,858	1,209
SHAW COMMUNICATIONS INC.	Performance Stock Units (PSU)	Johnson, Peter	5	31/12/2016	30	26.99	6,946	25
SHAW COMMUNICATIONS INC.	Restricted Share Units	Johnson, Peter	5	31/12/2016	30	26.67	6,921	50
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Little, James	5	31/12/2016	30	26.36	361	361
SHAW COMMUNICATIONS INC.	Performance Stock Units (PSU)	Little, James	5	31/12/2016	30	26.99	6,946	25
SHAW COMMUNICATIONS INC.	Restricted Share Units	Little, James	5	31/12/2016	30	26.67	6,868	50
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	McKenzie, Ronald E.	5	31/12/2016	30	24.99	3,897	1,404
SHAW COMMUNICATIONS INC.	Non-Voting Shares Class "B"	Stacic, Zoran	5	31/12/2016	30	24.98	21,184	3,243
SHAW COMMUNICATIONS INC.	Performance Stock Units (PSU)	Stacic, Zoran	5	31/12/2016	30	26.99	6,946	25
SHAW COMMUNICATIONS INC.	Restricted Share Units	Stacic, Zoran	5	31/12/2016	30	26.67	6,883	50
ShawCor Ltd.	Options	Anderson, Thomas Young	5	13/01/2014	00			
ShawCor Ltd.	Options	Anderson, Thomas Young	5	04/03/2017	50	37.4	6,500	6,500
ShawCor Ltd.	Deferred Share Unit	Baldwin, John Trewren	4	31/12/2016	56		20,696	3,981
ShawCor Ltd.	Deferred Share Unit	Blackwood, Derek Stuart	4	31/12/2016	56		17,782	2,430

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ShawCor Ltd.	Options	Bronson, James Richard	5	24/11/2016	00		800	
ShawCor Ltd.	Options	Bronson, James Richard	5	04/03/2017	50	37.4	11,000	10,200
ShawCor Ltd.	Options	Cistrone, Frank	5	04/03/2017	50	37.4	28,400	8,000
ShawCor Ltd.	Common Shares	Dunn, Ronald Joseph	5	06/03/2017	10	25.02	45,187	12,000
ShawCor Ltd.	Common Shares	Dunn, Ronald Joseph	5	06/03/2017	10	37.68	33,187	-12,000
ShawCor Ltd.	Options	Dunn, Ronald Joseph	5	04/03/2017	50	37.4	92,510	6,300
ShawCor Ltd.	Options	Dunn, Ronald Joseph	5	06/03/2017	51	25.02	80,510	-12,000
ShawCor Ltd.	Options	ewert, darrell	5	04/03/2017	50	37.4	29,400	5,000
ShawCor Ltd.	Deferred Share Unit	Forbes, Kevin John	4	31/12/2016	56		15,066	6,138
ShawCor Ltd.	Options	Orr, Stephen Michael	5	04/03/2017	50	37.4	248,700	118,700
ShawCor Ltd.	Deferred Share Unit	Pierce, Pamela Sue	4	31/12/2016	56		5,152	2,182
ShawCor Ltd.	Options	Pierroz, Paul Anthony	5	04/03/2017	50	37.4	65,200	7,500
ShawCor Ltd.	Options	Reizer, Kevin Dominic	5	04/03/2017	50		12,200	10,200
ShawCor Ltd.	Options	Simmons, Michael James	7	04/03/2017	50	37.4	108,900	28,100
ShawCor Ltd.	Options	Tabak, Joseph	5	04/03/2017	50	37.4	19,200	7,700
ShawCor Ltd.	Deferred Share Unit	Wishart, Donald M.	4	31/12/2016	56		8,542	4,783
Sherritt International Corporation	Restricted Share Units	Chambers, Dean Ronald	5	03/03/2017	59	1.15	2,560,881	-92,598
Sherritt International Corporation	Restricted Share Units	Chambers, Dean Ronald	5	03/03/2017	38		2,537,732	-23,149
Sherritt International Corporation	Restricted Share Units	Pathe, David V.	4, 5	03/03/2017	59	1.15	5,280,240	-217,876
Sherritt International Corporation	Restricted Share Units	Pathe, David V.	4, 5	03/03/2017	38		5,225,771	-54,469
Sherritt International Corporation	Restricted Share Units	Saruk, Elvin	5	03/03/2017	59	1.15	1,847,419	-95,323
Sherritt International Corporation	Restricted Share Units	Saruk, Elvin	5	03/03/2017	38		1,823,589	-23,830
Sherritt International Corporation	Restricted Share Units	Sellers, Edward Arnold	5	03/03/2017	59	1.15	1,844,062	-88,512
Sherritt International Corporation	Restricted Share Units	Sellers, Edward Arnold	5	03/03/2017	38		1,821,934	-22,128
Sherritt International Corporation	Restricted Share Units	Snowden, Andrew Peter	5	03/03/2017	59	1.15	384,474	-10,895
Sherritt International Corporation	Restricted Share Units	Snowden, Andrew Peter	5	03/03/2017	38		381,751	-2,723
Sherritt International Corporation	Restricted Share Units	Trenton, Karen Lynn	5	03/03/2017	59	1.15	1,483,459	-54,472
Sherritt International Corporation	Restricted Share Units	Trenton, Karen Lynn	5	03/03/2017	38		1,469,842	-13,617
Sherritt International Corporation	Restricted Share Units	Vydra, Martin	5	03/03/2017	59	1.15	1,575,115	-68,087
Sherritt International Corporation	Restricted Share Units	Vydra, Martin	5	03/03/2017	38		1,558,094	-17,021
Shopify Inc.	RSU	Finkelstein, Harley Michael	5	03/03/2017	57		62,202	-16,711
Shopify Inc.	Subordinate Voting Shares Class A	Finkelstein, Harley Michael	5	03/03/2017	57		16,711	16,711
Shopify Inc.	Subordinate Voting Shares Class A	Finkelstein, Harley Michael	5	03/03/2017	10	59.7	7,740	-8,971
Shopify Inc.	Subordinate Voting Shares Class A	Finkelstein, Harley Michael	5	03/03/2017	30	60.2	0	-7,740
Shopify Inc.	RSU	Forsyth, Brittany Jean	5	03/03/2017	57		20,579	-4,178
Shopify Inc.	Subordinate Voting Shares Class A	Forsyth, Brittany Jean	5	03/03/2017	57		4,178	4,178
Shopify Inc.	Subordinate Voting Shares Class A	Forsyth, Brittany Jean	5	03/03/2017	10	59.7	1,930	-2,248

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Shopify Inc.	Subordinate Voting Shares Class A	Forsyth, Brittany Jean	5	03/03/2017	30	60.113	0	-1,930
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Frasca, Joseph Andrew	5	06/03/2017	51	4.22	3,000	3,000
Shopify Inc.	Multiple Voting Shares Class B Multiple Voting Shares	Frasca, Joseph Andrew	5	06/03/2017	36		0	-3,000
Shopify Inc.	Options	Frasca, Joseph Andrew	5	06/03/2017	51	4.22	86,335	-3,000
Shopify Inc.	Subordinate Voting Shares Class A	Frasca, Joseph Andrew	5	06/03/2017	36		3,000	3,000
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Frasca, Joseph Andrew	5	06/03/2017	30	59.401	0	-3,000
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Jones, Russell Norman	5	08/03/2017	30	60.981	60,000	-5,000
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	RSU	Lemieux, Jean-Michel	5	03/03/2017	57		20,579	-4,178
Shopify Inc.	Subordinate Voting Shares Class A	Lemieux, Jean-Michel	5	03/03/2017	57		33,606	4,178
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Lemieux, Jean-Michel	5	03/03/2017	10	59.7	31,250	-2,356
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	RSU	Lennie, David William	5	03/03/2017	57		34,539	-4,178
Shopify Inc.	Subordinate Voting Shares Class A	Lennie, David William	5	03/03/2017	57		6,943	4,178
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Lennie, David William	5	03/03/2017	10	59.7	4,587	-2,356
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Lutke, Tobias Albin	4, 5	06/03/2017	30	59.299	378,000	-9,000
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Miller, Craig Stuart	5	03/03/2017	30	59.904	1,250	-16,250
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	RSU	Weinand, Peter Daniel	5	03/03/2017	57		33,112	-8,356
Shopify Inc.	Subordinate Voting Shares Class A	Weinand, Peter Daniel	5	03/03/2017	57		924,379	8,356
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Weinand, Peter Daniel	5	03/03/2017	10	59.7	919,668	-4,711
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Weinand, Peter Daniel	5	08/03/2017	30	60.864	916,024	-3,644
Shopify Inc.	Subordinate Voting Shares							
Shopify Inc.	Subordinate Voting Shares Class A	Weinand, Peter Daniel	5	07/03/2017	30	60.036	195,117	-18,133
Shopify Inc.	Subordinate Voting Shares							
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	Alaimo, Cristina	5	31/12/2016	30		1,487	39

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	ANNABLE, MICHAEL	5	31/12/2016	30		8,418	360
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Restricted Share Units	ANNABLE, MICHAEL	5	31/12/2016	30		8,275	407
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	Chiesa, Dino	4, 5	31/12/2016	30		130,530	6,635
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	Cormack, Lois	5	31/12/2016	30		45,808	960
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Restricted Share Units	Cormack, Lois	5	31/12/2016	30		18,789	1,000
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	Dykeman, Patricia Joanne	5	31/12/2016	30		6,618	290
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Restricted Share Units	Dykeman, Patricia Joanne	5	31/12/2016	30		5,114	245
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	Graham, Janet	4	31/12/2016	30		28,721	1,362
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	Jain, Nitin	5	31/12/2016	30		8,238	335
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Restricted Share Units	Jain, Nitin	5	31/12/2016	30		7,890	383
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	Jourdain Coleman, Paula	4	31/12/2016	30		10,680	452
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	Kachur, Lisa Leanne	5	31/12/2016	30		1,189	31
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	MacDonald, Jack C.	4	31/12/2016	30		22,153	1,031
Sienna Senior Living Inc. (formerly Leisureworld Senior Care Corporation)	Deferred Share Units	McLaughlin, John Gordon	4	31/12/2016	30		39,243	1,968
Silver Wheaton Corp.	Common Shares	Gosselin, Chantal	4	31/12/2016	30	24.342	2,043	20
SILVERCORP METALS INC.	Options	Kong, David TokPay	4	05/03/2017	52	6.69	494,250	-7,500
SILVERCORP METALS INC.	Common Shares without par value	Liu, Yikang	4	06/03/2017	10	4.7974	184,000	-20,000
SILVERCORP METALS INC.	Common Shares without par value	New Pacific Holdings Corp.	7	20/11/2015	10	0.78	548,000	100,000
SILVERCORP METALS INC.	Common Shares without par value	New Pacific Holdings Corp.	7	24/02/2017	10	5.6681	948,000	-100,000
SIR Royalty Income Fund	Units	Fowler, Kenneth Alfred	3	14/02/2017	90		0	-452,800
SIR Royalty Income Fund	Units	Fowler, Peter	4, 6	12/10/2004	00			
SIR Royalty Income Fund	Units	Fowler, Peter	4, 6	14/02/2017	90		452,800	452,800
Slam Exploration Ltd.	Common Shares	Taylor, Michael R.	4, 5	06/03/2017	10	0.055	1,647,633	-500,000
Slam Exploration Ltd.	Common Shares	Taylor, Michael R.	4, 5	07/03/2017	10	0.05	1,945,633	298,000
Slate Retail REIT	Trust Units Class U Units	Armstrong, Robert	5	03/03/2017	00			
Slate Retail REIT	Trust Units Class U Units	Armstrong, Robert	5	03/03/2017	00			
Slate Retail REIT	Trust Units Class U Units	Armstrong, Robert	5	03/03/2017	00		11,491	
Sleep Country Canada Holdings Inc.	Common Shares	Howcroft, Dave	5	03/03/2017	10	32.08	10,662	49
Sleep Country Canada Holdings Inc.	Common Shares	Howcroft, Dave	5	03/03/2017	10	32.08	455	65
Smart Real Estate Investment Trust	Deferred Units	Foster, Garry	4	02/01/2017	56	31.68	33,935	5,935
Smart Real Estate Investment Trust	Deferred Units	Foster, Garry	4	10/03/2017	30	32.88	35,258	1,323
Smart Real Estate Investment Trust	Deferred Units	Goldhar, Mitchell	3	31/12/2016	30	32.88	50,237	2,266

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Smart Real Estate Investment Trust	Deferred Units	Goldhar, Mitchell	3	06/03/2017	46		54,783	4,546
Smart Real Estate Investment Trust	Deferred Units	Howard, Gregory James	4	02/01/2017	56	31.68	7,245	5,430
Smart Real Estate Investment Trust	Deferred Units	Howard, Gregory James	4	10/03/2017	30	32.88	7,331	86
Smart Real Estate Investment Trust	Deferred Units	McVicar, Jamie Marshall	4	02/01/2017	56	31.68	62,328	6,408
Smart Real Estate Investment Trust	Deferred Units	McVicar, Jamie Marshall	4	10/03/2017	30	33.36	58,148	2,820
Smart Real Estate Investment Trust	Deferred Units	Pshebniski, Kevin Brent	4	02/01/2017	56	31.68	70,762	5,145
Smart Real Estate Investment Trust	Deferred Units	Pshebniski, Kevin Brent	4	10/03/2017	30	32.69	74,152	3,390
Smart Real Estate Investment Trust	Deferred Units	Young, Michael D'Arcy	4	02/01/2017	56	31.68	19,694	9,186
Smart Real Estate Investment Trust	Deferred Units	Young, Michael D'Arcy	4	10/03/2017	30	32.07	20,676	982
SNC-Lavalin Group Inc.	Equity Swap A	Groupe SNC-Lavalin inc.	1	01/06/2003	00			
SNC-Lavalin Group Inc.	Equity Swap A	Groupe SNC-Lavalin inc.	1	06/03/2017	97		1	1
SNC-Lavalin Group Inc.	Equity Swap A	Groupe SNC-Lavalin inc.	1	07/03/2017	38		0	-1
SNC-Lavalin Group Inc.	Equity Swap B	Groupe SNC-Lavalin inc.	1	01/06/2003	00			
SNC-Lavalin Group Inc.	Equity Swap B	Groupe SNC-Lavalin inc.	1	06/03/2017	97		1	1
SNC-Lavalin Group Inc.	Equity Swap B	Groupe SNC-Lavalin inc.	1	07/03/2017	38		0	-1
Société d'exploration minière Vior Inc.	Common Shares	L'Heureux, Marc	4, 5	08/03/2017	51	0.1	937,200	475,000
Société d'exploration minière Vior Inc.	Options	L'Heureux, Marc	4, 5	08/03/2017	51	0.1	100,000	-475,000
Société d'exploration minière Vior Inc.	Common Shares	Mercier, Gaétan	5	08/03/2017	51	0.1	383,914	350,000
Société d'exploration minière Vior Inc.	Options	Mercier, Gaétan	5	08/03/2017	51		40,000	-350,000
Société d'exploration minière Vior Inc.	Common Shares	St-Jacques, Claude	4, 5	09/03/2017	51	0.1	952,766	475,000
Société d'exploration minière Vior Inc.	Options	St-Jacques, Claude	4, 5	09/03/2017	51	0.1	100,000	-475,000
Société d'exploration minière Vior Inc.	Common Shares	St-Jacques, Pierre	4	08/03/2017	51	0.1	508,751	150,000
Société d'exploration minière Vior Inc.	Options	St-Jacques, Pierre	4	08/03/2017	51	0.1		-150,000
Société d'exploration minière Vior Inc.	Options	St-Jacques, Pierre	4	08/03/2017	51	0.1	20,000	-150,000
Source Exploration Corp.	Options	Baker, David	4	07/03/2017	50	0.3	240,000	140,000
Source Exploration Corp.	Options	Drake, Shaun Anthony	5	07/03/2017	51	0.3	118,500	100,000
Source Exploration Corp.	Options	GU, VIVIAN	5	07/03/2017	50	0.3	79,000	65,000
Source Exploration Corp.	Common Shares	Palisade Global Investments Ltd.	3	06/03/2017	00			
Source Exploration Corp.	Common Shares	Palisade Global Investments Ltd.	3	06/03/2017	16	0.15	3,333,000	3,333,000
Source Exploration Corp.	Warrants	Palisade Global Investments Ltd.	3	06/03/2017	00			
Source Exploration Corp.	Warrants	Palisade Global Investments Ltd.	3	06/03/2017	16		3,333,000	3,333,000
Source Exploration Corp.	Options	Robertson, Brian Ernest	4, 5	07/03/2017	50	0.3	461,000	325,000
Source Exploration Corp.	Options	Terris, J. Earl	4	07/03/2017	50	0.3	150,000	135,000
Source Exploration Corp.	Options	Zamani, Ali	4	23/02/2017	00			
Source Exploration Corp.	Options	Zamani, Ali	4	07/03/2017	50	0.3	135,000	135,000
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2809	12,931,468	-200
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2802	12,931,068	-400
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.28	12,876,821	-54,247
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2801	12,876,321	-500
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2894	12,876,221	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2868	12,876,121	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2866	12,875,921	-200

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2879	12,874,721	-1,200
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.288	12,873,721	-1,000
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2804	12,873,421	-300
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.282	12,872,581	-840
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.281	12,870,429	-2,152
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2855	12,870,229	-200
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.285	12,870,163	-66
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2805	12,864,763	-5,400
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2865	12,864,563	-200
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2844	12,864,363	-200
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2827	12,864,063	-300
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2829	12,863,763	-300
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2813	12,863,563	-200
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2815	12,862,563	-1,000
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.283	12,862,463	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	07/03/2017	10	0.2828	12,862,361	-102
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2875	12,862,261	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2862	12,861,036	-1,225
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.286	12,855,436	-5,600
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2851	12,854,836	-600
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.285	12,784,736	-70,100
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2856	12,784,336	-400
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2873	12,783,336	-1,000
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2861	12,782,636	-700
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2811	12,780,936	-1,700
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2809	12,771,536	-9,400
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2872	12,771,436	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2807	12,770,743	-693
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2801	12,764,538	-6,205
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.28	12,731,345	-33,193
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2806	12,731,338	-7
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2857	12,731,238	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2802	12,731,036	-202
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2827	12,730,736	-300
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2828	12,729,532	-1,204
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.2808	12,727,132	-2,400
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	08/03/2017	10	0.281	12,725,832	-1,300
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	09/03/2017	10	0.2844	12,725,217	-615
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	09/03/2017	10	0.28	12,681,725	-43,492
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	09/03/2017	10	0.2825	12,677,541	-4,184
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	09/03/2017	10	0.2842	12,675,242	-2,299
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	09/03/2017	10	0.281	12,672,074	-3,168
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	09/03/2017	10	0.2804	12,671,874	-200
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	09/03/2017	10	0.2811	12,671,774	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	09/03/2017	10	0.2816	12,670,474	-1,300
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	09/03/2017	10	0.2817	12,670,124	-350
Sphere 3D Corp.	Common Shares	MacFarlane, Thaderine	3	09/03/2017	10	0.2801	12,669,199	-925
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2809	12,931,468	-200
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2802	12,931,068	-400
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.28	12,876,821	-54,247
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2801	12,876,321	-500
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2894	12,876,221	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2868	12,876,121	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2866	12,875,921	-200
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2879	12,874,721	-1,200
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.288	12,873,721	-1,000
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2804	12,873,421	-300
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.282	12,872,581	-840

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Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.281	12,870,429	-2,152
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2855	12,870,229	-200
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.285	12,870,163	-66
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2805	12,864,763	-5,400
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2865	12,864,563	-200
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2844	12,864,363	-200
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2827	12,864,063	-300
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2829	12,863,763	-300
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2813	12,863,563	-200
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2815	12,862,563	-1,000
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.283	12,862,463	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	07/03/2017	10	0.2828	12,862,361	-102
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2875	12,862,261	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2862	12,861,036	-1,225
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.286	12,855,436	-5,600
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2851	12,854,836	-600
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.285	12,784,736	-70,100
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2856	12,784,336	-400
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2873	12,783,336	-1,000
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2861	12,782,636	-700
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2811	12,780,936	-1,700
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2809	12,771,536	-9,400
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2872	12,771,436	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2807	12,770,743	-693
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2801	12,764,538	-6,205
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.28	12,731,345	-33,193
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2806	12,731,338	-7
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2857	12,731,238	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2802	12,731,036	-202
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2827	12,730,736	-300
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2828	12,729,532	-1,204
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.2808	12,727,132	-2,400
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	08/03/2017	10	0.281	12,725,832	-1,300
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	09/03/2017	10	0.2844	12,725,217	-615
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	09/03/2017	10	0.28	12,681,725	-43,492
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	09/03/2017	10	0.2825	12,677,541	-4,184
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	09/03/2017	10	0.2842	12,675,242	-2,299
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	09/03/2017	10	0.281	12,672,074	-3,168
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	09/03/2017	10	0.2804	12,671,874	-200
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	09/03/2017	10	0.2811	12,671,774	-100
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	09/03/2017	10	0.2816	12,670,474	-1,300
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	09/03/2017	10	0.2817	12,670,124	-350
Sphere 3D Corp.	Common Shares	MacFarlane, Victor	3	09/03/2017	10	0.2801	12,669,199	-925
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2809	11,931,468	-200
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2802	11,931,068	-400
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.28	11,876,821	-54,247
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2801	11,876,321	-500
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2894	11,876,221	-100
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2868	11,876,121	-100
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2866	11,875,921	-200
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2879	11,874,721	-1,200
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.288	11,873,721	-1,000
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2804	11,873,421	-300
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.282	11,872,581	-840
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.281	11,870,429	-2,152
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2855	11,870,229	-200
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.285	11,870,163	-66
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2805	11,864,763	-5,400

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2865	11,864,563	-200
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2844	11,864,363	-200
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2827	11,864,063	-300
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2829	11,863,763	-300
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2813	11,863,563	-200
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2815	11,862,563	-1,000
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.283	11,862,463	-100
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	07/03/2017	10	0.2828	11,862,361	-102
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2875	11,862,261	-100
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2862	11,861,036	-1,225
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.286	11,855,436	-5,600
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2851	11,854,836	-600
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.285	11,784,736	-70,100
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2856	11,784,336	-400
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2873	11,783,336	-1,000
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2861	11,782,636	-700
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2811	11,780,936	-1,700
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2809	11,771,536	-9,400
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2872	11,771,436	-100
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2807	11,770,743	-693
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2801	11,764,538	-6,205
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.28	11,731,345	-33,193
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2806	11,731,338	-7
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2857	11,731,238	-100
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2802	11,731,036	-202
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2827	11,730,736	-300
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2828	11,729,532	-1,204
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.2808	11,727,132	-2,400
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	08/03/2017	10	0.281	11,725,832	-1,300
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	09/03/2017	10	0.2844	11,725,217	-615
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	09/03/2017	10	0.28	11,681,725	-43,492
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	09/03/2017	10	0.2825	11,677,541	-4,184
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	09/03/2017	10	0.2842	11,675,242	-2,299
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	09/03/2017	10	0.281	11,672,074	-3,168
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	09/03/2017	10	0.2804	11,671,874	-200
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	09/03/2017	10	0.2811	11,671,774	-100
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	09/03/2017	10	0.2816	11,670,474	-1,300
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	09/03/2017	10	0.2817	11,670,124	-350
Sphere 3D Corp.	Common Shares	MF Ventures, LLC	3	09/03/2017	10	0.2801	11,669,199	-925
Sphinx Resources Ltd.	Common Shares	Sparkes, Kerry Elwyn	4	03/03/2017	10	0.07	202,117	43,000
SponsorsOne Inc.	Common Shares	Bartholomew, Myles	3	24/02/2017	10	0.02	5,857,552	-62,000
SponsorsOne Inc.	Common Shares	Bartholomew, Myles	3	09/03/2017	10	0.02	5,802,552	-55,000
Spot Coffee (Canada) Ltd.	Common Shares	AYOUB, ANTON MICHEL	4, 5	06/03/2017	10	0.135	1,539,958	5,000
Spot Coffee (Canada) Ltd.	Common Shares	AYOUB, ANTON MICHEL	4, 5	07/03/2017	10	0.15	1,541,958	2,000
Spot Coffee (Canada) Ltd.	Common Shares	AYOUB, ANTON MICHEL	4, 5	08/03/2017	10	0.145	1,545,958	4,000
Spot Coffee (Canada) Ltd.	Common Shares	Lorenzo, John Michael	4	08/03/2017	10	0.14	7,459,324	10,000
Spot Coffee (Canada) Ltd.	Common Shares	Lorenzo, John Michael	4	09/03/2017	10	0.14	7,494,324	35,000
Stans Energy Corp.	Common Shares	Aryev, Boris	4, 5	06/03/2017	50	0.06	2,861,052	1,000,000
Stans Energy Corp.	Options	BAKER, GORDON	4, 5	06/03/2017	50	0.06	2,900,000	1,000,000
Stans Energy Corp.	Options	Grenke, Albert	4	06/03/2017	50	0.06	1,500,000	1,000,000
Stans Energy Corp.	Options	Grenke, Albert	4	06/03/2017	50	0.06	2,500,000	1,000,000
Stans Energy Corp.	Options	UNDERHILL, DOUGLAS HENRY	4	07/03/2017	50	0.06	2,600,000	1,000,000
Stantec Inc.	Common Shares	Castro, Leonardo De Oliveira	7	10/11/2016	00			
Stantec Inc.	Common Shares	Castro, Leonardo De Oliveira	7	06/03/2017	51	34.821		10,000
Stantec Inc.	Common Shares	Castro, Leonardo De Oliveira	7	06/03/2017	51	20.875	10,000	10,000



**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Stantec Inc.	Common Shares	Castro, Leonardo De Oliveira	7	06/03/2017	10	34.821	0	-10,000
Stantec Inc.	Options	Castro, Leonardo De Oliveira	7	06/03/2017	51	34.821		-10,000
Stantec Inc.	Options	Castro, Leonardo De Oliveira	7	06/03/2017	51	20.875	20,757	-10,000
Stella-Jones Inc.	Common Shares	Ritchie, Glen	7	13/02/2017	10	40.85	6,000	-2,000
Storm Resources Ltd.	Common Shares	Wierzba, P. Grant	4	08/03/2017	10		44,967	-10,900
Stornoway Diamond Corporation	Options	Baranowsky, Orin Michael	5	06/03/2017	50	0.8509	1,187,500	450,000
Stornoway Diamond Corporation	Options	Boucher, Martin	5	14/09/2015	52		777,500	-17,500
Stornoway Diamond Corporation	Options	Boucher, Martin	5	21/11/2016	52		707,500	-70,000
Stornoway Diamond Corporation	Options	Boucher, Martin	5	06/03/2017	50		1,157,500	450,000
Stornoway Diamond Corporation	Options	Farrow, David	5	06/03/2017	50	0.8509		450,000
Stornoway Diamond Corporation	Options	Farrow, David	5	06/03/2017	50	0.8509	900,000	450,000
Stornoway Diamond Corporation	Options	Glover, Brian Darrell	5	06/03/2017	50		1,387,500	450,000
Stornoway Diamond Corporation	Options	Godin, Patrick	4, 5	06/03/2017	50		3,462,500	1,000,000
Stornoway Diamond Corporation	Options	Hopkins, Robin Torrey	5	04/01/2017	52	1.04	787,500	-150,000
Stornoway Diamond Corporation	Options	Hopkins, Robin Torrey	5	06/03/2017	50	0.8509	1,237,500	450,000
Stornoway Diamond Corporation	Options	Kyle, Hume	4	06/03/2017	50	0.85	990,000	300,000
Stornoway Diamond Corporation	Options	LeBoutillier, John	4	06/03/2017	50	0.85	990,000	300,000
Stornoway Diamond Corporation	Options	Manson, Matthew Lamont	4, 5	06/03/2017	50	0.8509	4,237,500	1,200,000
Stornoway Diamond Corporation	Options	Morin, Gaston	4	06/03/2017	50	0.85	765,000	300,000
Stornoway Diamond Corporation	Options	Nixon, Peter	4	06/03/2017	50	0.85	990,000	300,000
Stornoway Diamond Corporation	Options	Perron, Yves	5	06/03/2017	50		1,387,500	450,000
Stornoway Diamond Corporation	Options	Poirier, Ghislain	5	06/03/2017	50	0.8509	1,237,500	450,000
Stornoway Diamond Corporation	Options	Scherkus, Ebe	4	06/03/2017	50	0.85	1,400,000	400,000
Stornoway Diamond Corporation	Options	Silver, Douglas	4	06/03/2017	50	0.85	765,000	300,000
Stornoway Diamond Corporation	Options	Tawil, Marie-Anne	4	06/03/2017	50	0.85	605,000	300,000
Stornoway Diamond Corporation	Options	Torkia Lagace, Annie	5	06/03/2017	50	0.85	1,050,000	450,000
Stornoway Diamond Corporation	Options	Vézina, Serge	4	06/03/2017	50	0.85	990,000	300,000
Strad Energy Services Ltd.	Common Shares	McNulty, Michael Joseph	4	28/02/2017	00		10,000	
Strata Minerals Inc.	Common Shares	Agro, Hugh	3, 4, 5	07/03/2017	47	0.005	3,892,258	-300,000
Stratton Resources Inc.	Common Shares	Cook, Steven Mark	4	27/02/2017	16	0.65		300,000
Stratton Resources Inc.	Common Shares	Cook, Steven Mark	4	27/02/2017	16	0.65	700,000	50,000
Sun Life Financial Inc.	Options	Accum, Claude	5	06/03/2017	52		115,779	-8,868
Sun Life Financial Inc.	Options	Connor, Dean	4, 5	06/03/2017	52		1,363,034	-34,247
Sun Life Financial Inc.	Options	Dougherty, Kevin	5	06/03/2017	52		173,111	-53,273
Sun Life Financial Inc.	Options	Freyne, Colm Joseph	5	06/03/2017	52		179,142	-11,416
Sun Life Financial Inc.	Options	Strain, Kevin	5	06/03/2017	52		219,277	-6,660
SunOpta Inc.	Common Shares	Barlett, Lillian	5	03/03/2017	30	6.154	3,494	170
SunOpta Inc.	Common Shares	Barnett, Jill	5	03/03/2017	30	6.154	3,927	520
SunOpta Inc.	Common Shares	Coleman, Michelle	5	03/03/2017	30	6.154	5,549	526
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/02/2017	00			

**Insider Reporting**

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SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.8		10,521
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.8	10,521	10,521
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.85		8,388
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.85	18,909	8,388
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.875		400
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.875	19,309	400
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.9		17,691
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.9	37,000	17,691
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.845		825
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.845	37,825	825
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.85		9,653
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.85	47,478	9,653
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.84		26,522
SunOpta Inc.	Common Shares	Colo, David	4, 5	06/03/2017	10	6.84	74,000	26,522
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.075		200
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.075	74,200	200
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.095		100
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.095	74,300	100
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.1		7,586
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.1	81,886	7,586
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.175		500
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.175	82,386	500
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.195		388
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.195	82,774	388
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.15		3,176
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.15	85,950	3,176
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.2		9,200
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.2	95,150	9,200
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.245		1,476
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.245	96,626	1,476
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.225		1,100
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.225	97,726	1,100
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.25		13,626
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.25	111,352	13,626
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.275		1,800
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.275	113,152	1,800
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.3		4,535
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.3	117,687	4,535
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.35		6,516
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.35	124,203	6,516
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.395		776
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.395	124,979	776
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.4		6,400
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.4	131,379	6,400
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.44		13,621
SunOpta Inc.	Common Shares	Colo, David	4, 5	07/03/2017	10	7.44	145,000	13,621
SunOpta Inc.	Common Shares	McKeracher, Robert	5	03/03/2017	30	6.154	49,475	781
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	07/10/2016	00			
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	03/03/2017	10	6.91	180,513	180,513
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	03/03/2017	10	6.8	200,933	20,420
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	03/03/2017	10	6.8	305,483	104,550
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	06/03/2017	10	6.43	305,728	245
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	06/03/2017	10	6.46	309,236	3,508

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	06/03/2017	10	6.96	490,079	180,843
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	07/10/2016	00			
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	03/03/2017	10	6.91	924,487	924,487
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	03/03/2017	10	6.8	1,029,067	104,580
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	03/03/2017	10	6.8	1,564,517	535,450
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	06/03/2017	10	6.43	1,565,772	1,255
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	06/03/2017	10	6.46		17,969
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	06/03/2017	10	6.46		17,969
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	06/03/2017	10	6.96	2,491,952	926,180
SunOpta Inc.	Common Shares	Oaktree Capital Management, L.P.	3	06/03/2017	10	6.46	2,509,921	17,969
Superior Plus Corp.	Rights Deferred Share Units	BRADEEN, RICHARD	4	02/03/2017	56		23,667	796
Superior Plus Corp.	Rights Deferred Share Units	Findlay, Randall J.	4	02/03/2017	56		50,165	398
Superior Plus Corp.	Rights Deferred Share Units	Jordan, Mary	4	02/03/2017	56		33,358	796
Supremex Inc.	Common Shares	Supremex Inc	1	08/03/2017	38	4.9667	2,800	2,800
Supremex Inc.	Common Shares	Supremex Inc	1	08/03/2017	38		0	-2,800
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	03/02/2017	38	9.08	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	03/02/2017	38	9.08	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	06/02/2017	38	9.05	100	100
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	06/02/2017	38	9.05	0	-100
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	07/02/2017	38	9.07	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	07/02/2017	38	9.07	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	09/02/2017	38	8.96	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	09/02/2017	38	8.96	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	10/02/2017	38	8.95	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	10/02/2017	38	8.95	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	17/02/2017	38	9.15	1,200	1,200
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	17/02/2017	38	9.15	0	-1,200
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	21/02/2017	38	9.14	1,800	1,800
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	21/02/2017	38	9.14	0	-1,800
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	22/02/2017	38	9.14	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	22/02/2017	38	9.14	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	23/02/2017	38	9.08	3,000	3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	23/02/2017	38	9.08	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	27/02/2017	38	9.05	3,000	3,000

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Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	27/02/2017	38	9.05	0	-3,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	28/02/2017	38	9.05	2,600	2,600
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	28/02/2017	38	9.05	0	-2,600
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	28/02/2017	38	9.03	400	400
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	28/02/2017	38	9.03	0	-400
Tango Mining Limited	Common Shares	Gallagher, Kevin Cormack	4	07/03/2017	16	0.05	15,236,160	26,160
Tartisan Resources Corp.	Common Shares	FUOCO, DONATO	5	02/03/2017	10	0.16	260,000	60,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	03/02/2017	38	11.67	100	100
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	03/02/2017	38	11.67	0	-100
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	06/02/2017	38	11.76	1,000	1,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	06/02/2017	38	11.76	0	-1,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	08/02/2017	38	11.76	3,000	3,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	08/02/2017	38	11.76	0	-3,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	14/02/2017	38	12	200	200
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	14/02/2017	38	12	0	-200
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	17/02/2017	38	12.25	1,100	1,100
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	17/02/2017	38	12.25	0	-1,100
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	24/02/2017	38	12.73	3,000	3,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	24/02/2017	38	12.73	0	-3,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	24/02/2017	38	12.88	3,000	3,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	24/02/2017	38	12.88	0	-3,000
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	27/02/2017	38	12.73	300	300
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	27/02/2017	38	12.73	0	-300
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	28/02/2017	38	12.73	900	900
Taylor North American Equity Opportunities Fund	Units	Taylor North American Equity Opportunities Fund	1	28/02/2017	38	12.73	0	-900
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	02/02/2017	38	8.83	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	02/02/2017	38	8.83	0	-3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	03/02/2017	38	8.84	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	03/02/2017	38	8.84	0	-3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	09/02/2017	38	8.99	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	09/02/2017	38	8.99	0	-3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	14/02/2017	38	8.95	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	14/02/2017	38	8.95	0	-3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	17/02/2017	38	9.2	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	17/02/2017	38	9.2	0	-3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	21/02/2017	38	9.18	1,300	1,300
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	21/02/2017	38	9.18	0	-1,300
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	22/02/2017	38	9.19	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	22/02/2017	38	9.19	0	-3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	23/02/2017	38	9.19	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	23/02/2017	38	9.19	0	-3,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	24/02/2017	38	9.2	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	24/02/2017	38	9.2	0	-3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	28/02/2017	38	9.19	1,100	1,100
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	28/02/2017	38	9.19	0	-1,100
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	28/02/2017	38	9.07	1,900	1,900
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	28/02/2017	38	9.07	0	-1,900
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	28/02/2017	38	9.04	3,000	3,000
Tech Leaders Income Fund	Units	Tech Leaders Income Fund	1	28/02/2017	38	9.04	0	-3,000
TELUS Corporation	Common Shares	Mowat, David Lawrence	4	06/03/2017	10	43.1	2,615	235
Teranga Gold Corporation	Deferred Share Units	Biggar, William John	4	07/03/2017	56	0.8364	175,000	100,000
Teranga Gold Corporation	Options	Chawrun, William Paul	5	07/03/2017	50	0.8364	1,438,447	163,447
Teranga Gold Corporation	Restricted Share Units	Chawrun, William Paul	5	07/03/2017	56	0.8364	880,748	376,000
Teranga Gold Corporation	Options	Dyal, Navin	5	07/03/2017	50	0.8364		282,000
Teranga Gold Corporation	Options	Dyal, Navin	5	07/03/2017	50	0.8364	1,297,585	122,585
Teranga Gold Corporation	Restricted Share Units	Dyal, Navin	5	07/03/2017	56	0.8364	686,748	282,000
Teranga Gold Corporation	Deferred Share Units	Frazer, Jendayi Elizabeth Juliet	4	07/03/2017	56	0.8364	365,833	100,000
Teranga Gold Corporation	Deferred Share Units	Goldenberg, Edward	4	07/03/2017	56	0.8364	365,833	100,000
Teranga Gold Corporation	Deferred Share Units	Hill, Alan Richard	4	07/03/2017	56	0.8364	731,667	200,000
Teranga Gold Corporation	Deferred Share Units	Lattanzi, Christopher	4	07/03/2017	56	0.8364	335,556	100,000
Teranga Gold Corporation	Deferred Share Units	Mimran, David Jacques	3, 4	07/03/2017	56	0.8364	175,000	100,000
Teranga Gold Corporation	Options	Savarie, David Roger	5	07/03/2017	50	0.8364	1,797,585	122,585
Teranga Gold Corporation	Restricted Share Units	Savarie, David Roger	5	07/03/2017	56	0.8364	686,748	282,000
Teranga Gold Corporation	Deferred Share Units	Thomas, Alan Richard	4	07/03/2017	56	0.8364	335,556	100,000
Teranga Gold Corporation	Deferred Share Units	Wheatley, Frank David	4	07/03/2017	56	0.8364	335,556	100,000
Teranga Gold Corporation	Options	Young, Richard Scott	4, 5	07/03/2017	50	0.8364	3,424,740	224,740
Teranga Gold Corporation	Restricted Share Units	Young, Richard Scott	4, 5	07/03/2017	56	0.8364	1,259,826	517,000
TerraVest Capital Inc.	Options	Gilbert, Mitchell Jordan	5	09/03/2017	38	9.1		333,000
TerraVest Capital Inc.	Options	Gilbert, Mitchell Jordan	5	09/03/2017	38	9.1	666,000	-333,000
Tesco Corporation	Common Shares	Dielwart, John Patrick	4	05/03/2017	10	8.7	9,869	834
Tesco Corporation	Common Shares	Dielwart, John Patrick	4	05/03/2017	10	8.7	9,513	-356
Tesco Corporation	Rights RSUs - Restricted Stock Units	Dielwart, John Patrick	4	05/03/2017	57		26,968	-834
Tesco Corporation	Common Shares	Dyment, Fred J.	4	03/03/2017	10	8.95	39,530	-3,269
Tesco Corporation	Common Shares	Dyment, Fred J.	4	07/03/2017	10	8.7265	22,799	-16,731
Tesco Corporation	Common Shares	Serrano, Elijio V.	4	05/03/2017	10	8.7	12,334	834
Tesco Corporation	Rights RSUs - Restricted Stock Units	Serrano, Elijio V.	4	05/03/2017	57		26,968	-834
Tesco Corporation	Common Shares	Sutherlin, Michael W.	4	05/03/2017	10	8.7	52,500	334
Tesco Corporation	Rights RSUs - Restricted Stock Units	Sutherlin, Michael W.	4	05/03/2017	57		35,500	-334
Tetra Bio-Pharma Inc.	Options	Audet, André	4	28/05/2013	52	0.4	1,037,500	-37,500
Tetra Bio-Pharma Inc.	Warrants	Audet, André	4	03/10/2015	55	0.2	1,000,000	-100,000
Tetra Bio-Pharma Inc.	Common Shares	Rancourt, André	4, 5	01/03/2017	10	0.78	470,000	-20,000
The Descartes Systems Group Inc.	Performance Share Units	Brett, Allan	5	08/03/2017	97		31,679	6,642
The Descartes Systems Group Inc.	Performance Share Units	Pagan, John Scott	7, 5	08/03/2017	97		164,292	19,557
The Descartes Systems Group Inc.	Performance Share Units	Ryan, Edward	5	08/03/2017	97		193,428	25,553
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Bastarache, Lise	4	06/03/2017	56	19.96	20,737	608
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Bastarache, Lise	4	06/03/2017	35	20.7	20,857	120
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Dutil, Marcel E.	4	06/03/2017	56	19.96	72,291	933
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Dutil, Marcel E.	4	06/03/2017	35	20.7	72,710	419
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Forget, Nicolle	4	06/03/2017	35	20.7	4,876	28
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Hanley, Michael	4	06/03/2017	56	19.96	1,256	467

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Hanley, Michael	4	06/03/2017	35	20.7	1,263	7
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Lacroix, Robert	4	06/03/2017	35	20.7	35,139	203
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Lamothe, Marie Josee	4	06/03/2017	56	19.96	1,111	395
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Lamothe, Marie Josee	4	06/03/2017	35	20.7	1,117	6
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Molson, Andrew	4	06/03/2017	56	19.96		573
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Molson, Andrew	4	06/03/2017	56	19.96	4,936	554
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Molson, Andrew	4	06/03/2017	35	20.7	4,965	29
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Mussely Tsoufidou, Cora	4	06/03/2017	35	20.7	6,161	36
The Jean Coutu Group (PJC) Inc.	Unités d'actions différées	Thabet, Annie	4	06/03/2017	35	20.7	5,832	34
The Jenex Corporation	Common Shares	Fia, Roberto	4	15/09/2009	00			
The Jenex Corporation	Common Shares	Fia, Roberto	4	15/09/2009	00		2,040,306	
The Jenex Corporation	Common Shares	Fia, Roberto	4	01/03/2017	47	0.09	4,141,744	-300,000
The Tinley Beverage Company Inc. (formerly Quia Resources Inc.)	Common Shares	Stodart, Andrew	4	27/10/2016	11	0.17	200,000	200,000
The Tinley Beverage Company Inc. (formerly Quia Resources Inc.)	Common Shares	Stodart, Andrew	4	04/11/2016	00			
The Tinley Beverage Company Inc. (formerly Quia Resources Inc.)	Common Shares	Stodart, Andrew	4	26/10/2016	11	0.17	100,000	100,000
The Tinley Beverage Company Inc. (formerly Quia Resources Inc.)	Common Shares	Stodart, Andrew	4	04/11/2016	00			
The Tinley Beverage Company Inc. (formerly Quia Resources Inc.)	Common Shares	Stodart, Andrew	4	04/11/2016	00			
The Tinley Beverage Company Inc. (formerly Quia Resources Inc.)	Common Shares	Stodart, Andrew	4	07/03/2017	10	0.26	100,000	100,000
The Tinley Beverage Company Inc. (formerly Quia Resources Inc.)	Warrants	Stodart, Andrew	4	27/10/2016	11	0.25	100,000	100,000
The Tinley Beverage Company Inc. (formerly Quia Resources Inc.)	Warrants	Stodart, Andrew	4	04/11/2016	00			
The Tinley Beverage Company Inc. (formerly Quia Resources Inc.)	Warrants	Stodart, Andrew	4	26/10/2016	11	0.25	50,000	50,000
The Tinley Beverage Company Inc. (formerly Quia Resources Inc.)	Warrants	Stodart, Andrew	4	04/11/2016	00			
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Campbell, Norie Clare	5	06/03/2017	51	32.99	15,794	7,440
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Campbell, Norie Clare	5	06/03/2017	51	36.625	23,874	8,080
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Campbell, Norie Clare	5	06/03/2017	10	70.17	8,949	-14,925
The Toronto-Dominion Bank	Options	Campbell, Norie Clare	5	06/03/2017	51	32.99	168,708	-7,440
The Toronto-Dominion Bank	Options	Campbell, Norie Clare	5	06/03/2017	51	36.625	160,628	-8,080
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Dorrance, Robert E	5	06/03/2017	51	36.625		219,624
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Dorrance, Robert E	5	06/03/2017	51	36.625		219,624
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Dorrance, Robert E	5	06/03/2017	51	36.625	789,208	219,624
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Dorrance, Robert E	5	09/03/2017	47	70.012	569,584	-219,624
The Toronto-Dominion Bank	Options	Dorrance, Robert E	5	06/03/2017	51	36.625		-219,624

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The Toronto-Dominion Bank	Options	Dorrance, Robert E	5	06/03/2017	51	36.625		-219,624
The Toronto-Dominion Bank	Options	Dorrance, Robert E	5	06/03/2017	51	36.625	899,432	-219,624
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Johnston, Colleen	5	07/03/2017	51	36.625	30,000	30,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Johnston, Colleen	5	07/03/2017	10	70.15	0	-30,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Johnston, Colleen	5	10/03/2017	99		81,200	81,200
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Johnston, Colleen	5	16/12/2016	10	66.84	81,200	-20,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Johnston, Colleen	5	10/03/2017	99			-81,200
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Johnston, Colleen	5	10/03/2017	99		0	-81,200
The Toronto-Dominion Bank	Options	Johnston, Colleen	5	07/03/2017	51	36.625		-30,000
The Toronto-Dominion Bank	Options	Johnston, Colleen	5	07/03/2017	51	36.625		-30,000
The Toronto-Dominion Bank	Options	Johnston, Colleen	5	07/03/2017	51	36.625	386,100	-30,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Pedersen, Mike	7, 5	06/03/2017	51	36.635	218,552	218,552
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Pedersen, Mike	7, 5	06/03/2017	10	70.2	0	-218,552
The Toronto-Dominion Bank	Options	Pedersen, Mike	7, 5	06/03/2017	51	36.635	459,896	-218,552
Thomson Reuters Corporation	Restricted Share Units	Beaumont, Stewart	7	01/03/2017	56		41,222	5,113
Thomson Reuters Corporation	Restricted Share Units	Beaumont, Stewart	7	01/03/2017	56		46,335	5,113
Thomson Reuters Corporation	Common Shares	Bello, Stephane	5	01/01/2016	30		83,921	1,232
Thomson Reuters Corporation	Common Shares	Bello, Stephane	5	01/01/2017	30		24,443	165
Thomson Reuters Corporation	Deferred Share Units	Bello, Stephane	5	01/01/2016	30	37.85	44,745	-1,822
Thomson Reuters Corporation	Deferred Share Units	Bello, Stephane	5	14/03/2016	57			16,916
Thomson Reuters Corporation	Deferred Share Units	Bello, Stephane	5	14/03/2016	57		62,069	17,324
Thomson Reuters Corporation	Deferred Share Units	Bello, Stephane	5	15/03/2016	30	38.23	62,455	386
Thomson Reuters Corporation	Deferred Share Units	Bello, Stephane	5	15/06/2016	30	41.22	62,959	504
Thomson Reuters Corporation	Deferred Share Units	Bello, Stephane	5	15/09/2016	30	40.81		514
Thomson Reuters Corporation	Deferred Share Units	Bello, Stephane	5	15/09/2016	30	40.81		514
Thomson Reuters Corporation	Deferred Share Units	Bello, Stephane	5	15/09/2016	30	40.81	63,472	513
Thomson Reuters Corporation	Deferred Share Units	Bello, Stephane	5	15/12/2016	30	44.56	63,945	473
Thomson Reuters Corporation	Deferred Share Units	Bello, Stephane	5	01/01/2017	30	43.78	63,725	-220
Thomson Reuters Corporation	Options	Bello, Stephane	5	01/03/2017	50	42.3	1,072,445	268,097
Thomson Reuters Corporation	Restricted Share Units	Bello, Stephane	5	01/03/2017	56		314,210	23,641
Thomson Reuters Corporation	Restricted Share Units	Cannizzaro, Sean	7	01/03/2017	56		22,447	971
Thomson Reuters Corporation	Restricted Share Units	Cannizzaro, Sean	7	01/03/2017	56		23,418	971
Thomson Reuters Corporation	Restricted Share Units	Clamp-Held, Ruth	7	01/03/2017	56		6,710	796
Thomson Reuters Corporation	Restricted Share Units	Clamp-Held, Ruth	7	01/03/2017	56		7,506	796
Thomson Reuters Corporation	Deferred Share Units	Collier, Timothy	7	15/03/2016	30	38.23	6,109	54
Thomson Reuters Corporation	Deferred Share Units	Collier, Timothy	7	15/06/2016	30	41.22	6,159	50

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Thomson Reuters Corporation	Deferred Share Units	Collier, Timothy	7	15/09/2016	30	40.81	6,210	51
Thomson Reuters Corporation	Deferred Share Units	Collier, Timothy	7	15/12/2016	30	44.56	6,257	47
Thomson Reuters Corporation	Restricted Share Units	Collier, Timothy	7	01/03/2017	56		113,745	5,738
Thomson Reuters Corporation	Restricted Share Units	Collier, Timothy	7	01/03/2017	56			5,728
Thomson Reuters Corporation	Restricted Share Units	Collier, Timothy	7	01/03/2017	56			5,728
Thomson Reuters Corporation	Restricted Share Units	Collier, Timothy	7	01/03/2017	56		119,483	5,738
Thomson Reuters Corporation	Options	Craig, David William Ian	7, 5	01/03/2017	50	42.3	483,139	124,228
Thomson Reuters Corporation	Restricted Share Units	Craig, David William Ian	7, 5	01/03/2017	56		229,999	10,955
Thomson Reuters Corporation	Restricted Share Units	Gold, Marc E.	7	01/03/2017	56		38,415	2,119
Thomson Reuters Corporation	Restricted Share Units	Gold, Marc E.	7	01/03/2017	56		40,534	2,119
Thomson Reuters Corporation	Restricted Share Units	Hughes, Priscilla C.	7	01/03/2017	56		39,454	2,908
Thomson Reuters Corporation	Restricted Share Units	Hughes, Priscilla C.	7	01/03/2017	56		42,362	2,908
Thomson Reuters Corporation	Restricted Share Units	Johnston, Bernadette	7	01/03/2017	56		7,025	821
Thomson Reuters Corporation	Restricted Share Units	Johnston, Bernadette	7	01/03/2017	56		7,846	821
Thomson Reuters Corporation	Deferred Share Units	King, Richard Harrison	7, 5	15/03/2016	30	38.23	4,560	31
Thomson Reuters Corporation	Deferred Share Units	King, Richard Harrison	7, 5	15/06/2016	30	41.22	4,598	38
Thomson Reuters Corporation	Deferred Share Units	King, Richard Harrison	7, 5	15/09/2016	30	40.81	4,636	38
Thomson Reuters Corporation	Deferred Share Units	King, Richard Harrison	7, 5	15/12/2016	30	44.56	4,671	35
Thomson Reuters Corporation	Restricted Share Units	King, Richard Harrison	7, 5	01/03/2017	56		74,749	6,407
Thomson Reuters Corporation	Restricted Share Units	King, Richard Harrison	7, 5	01/03/2017	56		81,156	6,407
Thomson Reuters Corporation	Deferred Share Units	Masterson, Neil Thomas	7, 5	15/03/2016	30	38.23	9,269	25
Thomson Reuters Corporation	Deferred Share Units	Masterson, Neil Thomas	7, 5	15/06/2016	30	41.22	9,345	76
Thomson Reuters Corporation	Deferred Share Units	Masterson, Neil Thomas	7, 5	15/09/2016	30	40.81	9,423	78
Thomson Reuters Corporation	Deferred Share Units	Masterson, Neil Thomas	7, 5	15/12/2016	30	44.56	9,495	72
Thomson Reuters Corporation	Options	Masterson, Neil Thomas	7, 5	01/03/2017	50	42.3	360,058	83,781
Thomson Reuters Corporation	Restricted Share Units	Masterson, Neil Thomas	7, 5	01/03/2017	56		135,991	7,388
Thomson Reuters Corporation	Deferred Share Units	Peccarelli, Brian S.	7, 5	14/03/2016	57			5,223
Thomson Reuters Corporation	Deferred Share Units	Peccarelli, Brian S.	7, 5	14/03/2016	57		17,032	5,349
Thomson Reuters Corporation	Deferred Share Units	Peccarelli, Brian S.	7, 5	15/03/2016	30	38.23	17,136	104
Thomson Reuters Corporation	Deferred Share Units	Peccarelli, Brian S.	7, 5	15/06/2016	30	41.22	17,278	142
Thomson Reuters Corporation	Deferred Share Units	Peccarelli, Brian S.	7, 5	15/09/2016	30	40.81	17,422	144
Thomson Reuters Corporation	Deferred Share Units	Peccarelli, Brian S.	7, 5	15/12/2016	30	44.56	17,555	133
Thomson Reuters Corporation	Options	Peccarelli, Brian S.	7, 5	01/03/2017	50	42.3	328,380	84,451



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Thomson Reuters Corporation	Restricted Share Units	Peccarelli, Brian S.	7, 5	01/03/2017	56		137,016	7,447
Thomson Reuters Corporation	Common Shares	Scanlon, Brian Louis	5	16/03/2016	30	38.79	1,901	6
Thomson Reuters Corporation	Common Shares	Scanlon, Brian Louis	5	31/03/2016	57		3,734	1,833
Thomson Reuters Corporation	Common Shares	Scanlon, Brian Louis	5	31/03/2016	30	34.408	3,906	172
Thomson Reuters Corporation	Common Shares	Scanlon, Brian Louis	5	16/06/2016	30	41.32	3,912	6
Thomson Reuters Corporation	Common Shares	Scanlon, Brian Louis	5	16/09/2016	30	40.79	3,919	7
Thomson Reuters Corporation	Common Shares	Scanlon, Brian Louis	5	16/12/2016	30	44.34	3,925	6
Thomson Reuters Corporation	Options	Scanlon, Brian Louis	5	01/03/2017	50	42.3	276,824	77,078
Thomson Reuters Corporation	Restricted Share Units	Scanlon, Brian Louis	5	31/03/2016	57		85,257	-3,572
Thomson Reuters Corporation	Restricted Share Units	Scanlon, Brian Louis	5	01/03/2017	56		92,054	6,797
Thomson Reuters Corporation	Deferred Share Units	Sider, Vance A.	5	15/03/2016	30	38.23	63,279	480
Thomson Reuters Corporation	Deferred Share Units	Sider, Vance A.	5	15/06/2016	30	41.22	63,801	522
Thomson Reuters Corporation	Deferred Share Units	Sider, Vance A.	5	15/09/2016	30	40.81	64,332	531
Thomson Reuters Corporation	Deferred Share Units	Sider, Vance A.	5	15/12/2016	30	44.56	64,823	491
Thomson Reuters Corporation	Restricted Share Units	Sider, Vance A.	5	01/03/2017	56		45,712	5,796
Thomson Reuters Corporation	Restricted Share Units	Sider, Vance A.	5	01/03/2017	56		51,508	5,796
Thomson Reuters Corporation	Deferred Share Units	Smith, James Clifton	5	15/03/2016	30	38.23	186,429	1,610
Thomson Reuters Corporation	Deferred Share Units	Smith, James Clifton	5	15/06/2016	30	41.22	187,935	1,506
Thomson Reuters Corporation	Deferred Share Units	Smith, James Clifton	5	15/09/2016	30	40.81	189,469	1,534
Thomson Reuters Corporation	Deferred Share Units	Smith, James Clifton	5	15/12/2016	30	44.56	190,885	1,416
Thomson Reuters Corporation	Options	Smith, James Clifton	5	01/03/2017	50	42.3	4,514,785	1,179,625
Thomson Reuters Corporation	Restricted Share Units	Smith, James Clifton	5	01/03/2017	56		613,977	104,019
Thomson Reuters Corporation	Deferred Share Units	Stanley, Deirdre	7, 5	15/03/2016	30	38.23	65,751	549
Thomson Reuters Corporation	Deferred Share Units	Stanley, Deirdre	7, 5	15/06/2016	30	41.22	66,264	513
Thomson Reuters Corporation	Deferred Share Units	Stanley, Deirdre	7, 5	15/09/2016	30	40.81	66,787	523
Thomson Reuters Corporation	Deferred Share Units	Stanley, Deirdre	7, 5	15/12/2016	30	44.56	67,270	483
Thomson Reuters Corporation	Options	Stanley, Deirdre	7, 5	01/03/2017	50	42.3	491,646	111,395
Thomson Reuters Corporation	Restricted Share Units	Stanley, Deirdre	7, 5	01/03/2017	56		164,044	9,823
Thomson Reuters Corporation	Options	Taylor, Susan C.	7, 5	01/03/2017	50	42.3	466,737	95,656
Thomson Reuters Corporation	Restricted Share Units	Taylor, Susan C.	7, 5	01/03/2017	56		120,218	8,435
Thomson Reuters Corporation	Common Shares	The Woodbridge Company Limited	3	02/03/2017	47	57.39	451,294,874	-879
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	10/02/2017	38	57.663	31,900	31,900
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	10/02/2017	38	44.085	59,000	27,100

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Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	13/02/2017	38	58.552	86,400	27,400
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	13/02/2017	38	44.774	111,200	24,800
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	14/02/2017	38	58.695	138,900	27,700
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	14/02/2017	38	44.894	163,400	24,500
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	15/02/2017	38	58.769	190,900	27,500
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	15/02/2017	38	44.912	215,600	24,700
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	16/02/2017	38	58.598	242,600	27,000
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	16/02/2017	38	44.88	267,800	25,200
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	17/02/2017	38	58.842	295,000	27,200
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	17/02/2017	38	44.904	320,000	25,000
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	17/02/2017	38		156,600	-163,400
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	21/02/2017	38	58.405	183,400	26,800
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	21/02/2017	38	44.408	208,800	25,400
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	22/02/2017	38	56.864	235,600	26,800
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	22/02/2017	38	43.121	261,000	25,400
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	23/02/2017	38	56.462	289,800	28,800
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	23/02/2017	38	43.059	325,900	36,100
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	23/02/2017	38		169,300	-156,600
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	24/02/2017	38	55.91	199,500	30,200
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	24/02/2017	38	42.703	235,300	35,800
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	27/02/2017	38	55.865	264,400	29,100
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	27/02/2017	38	42.586	301,300	36,900
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	28/02/2017	38	56.303	330,000	28,700
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	28/02/2017	38	42.473	367,300	37,300
Thomson Reuters Corporation	Common Shares	Thomson Reuters Corporation	1	28/02/2017	38		198,000	-169,300
Thomson Reuters Corporation	Deferred Share Units	Walker, Linda	7, 5	15/03/2016	30	38.23	6,119	54
Thomson Reuters Corporation	Deferred Share Units	Walker, Linda	7, 5	15/06/2016	30	41.22	6,169	50
Thomson Reuters Corporation	Deferred Share Units	Walker, Linda	7, 5	15/09/2016	30	40.81	6,220	51
Thomson Reuters Corporation	Deferred Share Units	Walker, Linda	7, 5	15/12/2016	30	44.56	6,268	48
Thomson Reuters Corporation	Restricted Share Units	Walker, Linda	7, 5	01/03/2017	56		56,713	4,540
Thomson Reuters Corporation	Restricted Share Units	Walker, Linda	7, 5	01/03/2017	56		61,253	4,540
Thomson Reuters Corporation	Deferred Share Units	Warwick, Peter	7	15/03/2016	30	38.23	49,245	413
Thomson Reuters Corporation	Deferred Share Units	Warwick, Peter	7	15/06/2016	30	41.22	49,631	386

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Thomson Reuters Corporation	Deferred Share Units	Warwick, Peter	7	15/09/2016	30	40.81	50,025	394
Thomson Reuters Corporation	Deferred Share Units	Warwick, Peter	7	15/12/2016	30	44.56	50,388	363
Thomson Reuters Corporation	Options	Warwick, Peter	7	01/03/2017	50	42.3	1,109,837	199,397
Thomson Reuters Corporation	Restricted Share Units	Warwick, Peter	7	01/03/2017	56		76,731	17,583
ThreeD Capital Inc.(formerly Brownstone Energy Inc.)	Common Shares	LONE, ALLEN	4	02/03/2017	22	0.18	188,000	-9,000
Timmins Gold Corp.	Common Shares	Gregg, Jason Jared	5	01/03/2017	00		92,600	
TMAC Resources Inc.	Options	Adams, Andrew Bell	4	28/02/2017	50	16.23	177,553	13,403
TMAC Resources Inc.	Rights	Adams, Andrew Bell	4	28/02/2017	56	16.23	10,026	3,081
TMAC Resources Inc.	Options	Aglukkaq, Leona	4	28/02/2017	50		47,553	13,403
TMAC Resources Inc.	Rights	Aglukkaq, Leona	4	28/02/2017	56		10,026	3,081
TMAC Resources Inc.	Common Shares	Carrelo, Joao	4	26/06/2015	00			
TMAC Resources Inc.	Common Shares	Carrelo, Joao	4	01/03/2017	57	16.3	2,315	2,315
TMAC Resources Inc.	Rights	Carrelo, Joao	4	01/03/2017	57		7,711	-2,315
TMAC Resources Inc.	Common Shares	Davis, Franklin Lorie	4	29/06/2015	00			
TMAC Resources Inc.	Common Shares	Davis, Franklin Lorie	4	01/03/2017	57	16.3	2,315	2,315
TMAC Resources Inc.	Common Shares	Davis, Franklin Lorie	4	01/03/2017	90	16.3	0	-2,315
TMAC Resources Inc.	Common Shares	Davis, Franklin Lorie	4	01/03/2017	90	16.3	37,315	2,315
TMAC Resources Inc.	Rights	Davis, Franklin Lorie	4	28/02/2017	56	16.23	16,188	9,243
TMAC Resources Inc.	Rights	Davis, Franklin Lorie	4	01/03/2017	57		13,873	-2,315
TMAC Resources Inc.	Common Shares	Faley, David Robert	4	29/06/2015	00			
TMAC Resources Inc.	Common Shares	Faley, David Robert	4	01/03/2017	57	16.3	6,945	6,945
TMAC Resources Inc.	Options	Faley, David Robert	4	28/02/2017	56			9,243
TMAC Resources Inc.	Options	Faley, David Robert	4	01/03/2017	57			-6,945
TMAC Resources Inc.	Rights	Faley, David Robert	4	28/02/2017	56		30,077	9,243
TMAC Resources Inc.	Rights	Faley, David Robert	4	01/03/2017	57		23,132	-6,945
TMAC Resources Inc.	Options	Farrow, Catharine Elizabeth Goddard	4, 5	28/02/2017	50		625,348	67,015
TMAC Resources Inc.	Rights	Farrow, Catharine Elizabeth Goddard	4, 5	29/06/2015	00			
TMAC Resources Inc.	Rights	Farrow, Catharine Elizabeth Goddard	4, 5	28/02/2017	50			30,807
TMAC Resources Inc.	Rights	Farrow, Catharine Elizabeth Goddard	4, 5	28/02/2017	56		30,807	30,807
TMAC Resources Inc.	Options	Gagel, Ronald Peter	5	28/02/2017	50		349,469	26,136
TMAC Resources Inc.	Rights	Gagel, Ronald Peter	5	29/06/2015	00			
TMAC Resources Inc.	Rights	Gagel, Ronald Peter	5	28/02/2017	56		12,015	12,015
TMAC Resources Inc.	Options	King, David Matthew	5	28/02/2017	50		224,740	14,074
TMAC Resources Inc.	Rights	King, David Matthew	5	29/06/2015	00			
TMAC Resources Inc.	Rights	King, David Matthew	5	28/02/2017	56		6,470	6,470
TMAC Resources Inc.	Common Shares	Lydall, John	4	01/03/2017	57	16.3	25,648	2,315
TMAC Resources Inc.	Options	Lydall, John	4	28/02/2017	50		177,553	13,403
TMAC Resources Inc.	Rights	Lydall, John	4	28/02/2017	56		10,026	3,081
TMAC Resources Inc.	Rights	Lydall, John	4	01/03/2017	57		7,711	-2,315
TMAC Resources Inc.	Options	MacGibbon, Terry	4, 5	28/02/2017	50	16.23	475,453	87,120
TMAC Resources Inc.	Options	Micks, Julia Patricia	5	28/02/2017	50		265,596	12,264
TMAC Resources Inc.	Rights	Micks, Julia Patricia	5	29/06/2015	00			
TMAC Resources Inc.	Rights	Micks, Julia Patricia	5	28/02/2017	56		5,638	5,638
TMAC Resources Inc.	Options	Morrison, Gordon Garfield	5	28/02/2017	50		422,563	44,230
TMAC Resources Inc.	Rights	Morrison, Gordon Garfield	5	29/06/2015	00			
TMAC Resources Inc.	Rights	Morrison, Gordon Garfield	5	28/02/2017	56		20,333	20,333
TMAC Resources Inc.	Options	Roberts, Malcolm John	5	28/02/2017	50		212,484	12,817
TMAC Resources Inc.	Rights	Roberts, Malcolm John	5	29/06/2015	00			
TMAC Resources Inc.	Rights	Roberts, Malcolm John	5	28/02/2017	56		5,892	5,892
TMAC Resources Inc.	Options	Theunissen, Marthinus Wilhelmus	5	28/02/2017	56			13,068

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TMAC Resources Inc.	Options	Theunissen, Marthinus Wilhelmus	5	28/02/2017	50		220,734	13,068
TMAC Resources Inc.	Rights	Theunissen, Marthinus Wilhelmus	5	29/06/2015	00			
TMAC Resources Inc.	Rights	Theunissen, Marthinus Wilhelmus	5	28/02/2017	56		6,007	6,007
TMAC Resources Inc.	Options	Varley, Floyd D.	5	28/02/2017	50		224,443	21,110
TMAC Resources Inc.	Rights	Varley, Floyd D.	5	29/06/2015	00			
TMAC Resources Inc.	Rights	Varley, Floyd D.	5	28/02/2017	56		9,704	9,704
TORC Oil & Gas Ltd.	Common Shares	Brown, Alexander C. (Sandy)	5	31/12/2016	30	7.6086	68,504	4,087
TORC Oil & Gas Ltd.	Common Shares	Herman, Brett	5	31/12/2016	30	7.6086	1,347,103	2,155
TORC Oil & Gas Ltd.	Common Shares	Herman, Brett	5	31/12/2016	30	7.6086	10,861	4,244
TORC Oil & Gas Ltd.	Common Shares	Manchester, Shane	5	31/12/2016	30	7.6086	330,072	3,214
TORC Oil & Gas Ltd.	Common Shares	Tang, Marvin	5	31/12/2016	30	7.6086	134,859	7,370
TORC Oil & Gas Ltd.	Common Shares	Wallis, Jeremy	5	31/12/2016	30	7.6086	596,228	4,537
TORC Oil & Gas Ltd.	Common Shares	Wihak, Michael	5	31/12/2016	30	7.6086	343,930	4,534
TORC Oil & Gas Ltd.	Common Shares	Zabinsky, Jason	5	31/12/2016	30	7.6086	679,978	2,594
TORC Oil & Gas Ltd.	Common Shares	Zabinsky, Jason	5	19/11/2012	00			
TORC Oil & Gas Ltd.	Common Shares	Zabinsky, Jason	5	31/12/2016	30	7.6086	2,725	2,725
Torex Gold Resources Inc.	Common Shares	Davis, Franklin Lorie	4	06/03/2017	11	25.27	27,902	4,902
Torex Gold Resources Inc.	Common Shares	Davis, Franklin Lorie	4	06/03/2017	57		4,902	4,902
Torex Gold Resources Inc.	Common Shares	Davis, Franklin Lorie	4	06/03/2017	11	25.27	0	-4,902
Torex Gold Resources Inc.	Rights Restricted Share Units ("RSU's")	Davis, Franklin Lorie	4	06/03/2017	57		5,511	-4,902
Touchstone Exploration Inc.	Common Shares	Valentine, Thomas	5	08/03/2017	10	0.135	518	-1,234
Tower One Wireless Corp	Common Shares	Horsley, Robert N	4	01/02/2016	00		187,499	
Tower One Wireless Corp	Common Shares	Horsley, Robert N	4	09/01/2017	30	0.15	558,166	370,667
Tower One Wireless Corp	Warrants	Horsley, Robert N	4	01/02/2016	00			
Tower One Wireless Corp	Warrants	Horsley, Robert N	4	12/01/2017	30	0.4	260,000	260,000
Tower One Wireless Corp	Warrants	Horsley, Robert N	4	01/02/2016	00			
Tower One Wireless Corp	Warrants	Horsley, Robert N	4	09/01/2017	30	0.4	370,666	370,666
TransCanada Corporation	Executive Share Units	Anderson, Brandon M.	7	01/03/2016	56		24,846	7,116
TransCanada Corporation	Executive Share Units	Bennett, Terry J.	7	01/03/2016	56		16,549	4,543
TransCanada Corporation	Rights Deferred Share Units	Benson, Kevin E.	4	31/12/2016	56		65,221	4,009
TransCanada Corporation	Rights Deferred Share Units	Benson, Kevin E.	4	31/12/2016	30		67,779	2,558
TransCanada Corporation	Executive Share Units	Bertovic, Jasmin	7	01/03/2016	56		11,841	3,912
TransCanada Corporation	Executive Share Units	Bowman, Norm Russell	7	01/03/2016	56		12,821	3,407
TransCanada Corporation	Executive Share Units	Breen, Chris P.	7	01/03/2016	56		10,598	2,791
TransCanada Corporation	Executive Share Units	Brett, Sean M.	7	01/03/2016	56		14,935	3,934
TransCanada Corporation	Rights Deferred Share Units	Burney, Derek Hudson	4	31/12/2016	56		59,875	4,239
TransCanada Corporation	Rights Deferred Share Units	Burney, Derek Hudson	4	31/12/2016	30		62,209	2,334
TransCanada Corporation	Executive Share Units	Clark, Stephen M.V.	5	01/03/2016	56		21,699	6,057
TransCanada Corporation	Executive Share Units	Cook, Ronald L.	5	01/03/2016	56		16,187	4,380
TransCanada Corporation	Executive Share Units	DAVIS, MARY CATHARINE	7	01/03/2016	56		12,984	4,491
TransCanada Corporation	Executive Share Units	Delkus, Kristine	7, 5	01/03/2016	56		38,649	12,527
TransCanada Corporation	Executive Share Units	Diakow, David A.	7	01/03/2016	56		14,530	4,008
TransCanada Corporation	Executive Share Units	Favel, Penny	7	01/03/2017	00		6,938	
TransCanada Corporation	Executive Share Units	Federucci, Alexandra	7	01/03/2016	56		10,784	3,407
TransCanada Corporation	Executive Share Units	Ferguson, Dean K.	7	01/03/2016	56		20,571	6,124
TransCanada Corporation	Executive Share Units	Gateman, Richard N.	5	01/03/2016	56		17,640	4,654
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	51	35.08	310,384	133,080
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.845	310,084	-300
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.85	309,884	-200
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.835	308,784	-1,100
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.825	280,784	-28,000
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.83	280,584	-200

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.82	278,457	-2,127
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.81	278,357	-100
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.815	277,257	-1,100
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.805	275,957	-1,300
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.795	270,957	-5,000
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.785	269,757	-1,200
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.8	268,257	-1,500
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.775	247,957	-20,300
TransCanada Corporation	Common Shares	Girling, Russell	5	03/03/2017	10	60.79	215,357	-32,600
TransCanada Corporation	Common Shares	Girling, Russell	5	31/12/2016	30	55.17	25,552	1,178
TransCanada Corporation	Common Shares	Girling, Russell	5	31/12/2016	30	54.76	26,573	1,021
TransCanada Corporation	Executive Share Units	Girling, Russell	5	01/03/2016	56		245,589	66,815
TransCanada Corporation	Options Granted Feb. 26, 2010 @ \$35.08 CDN Expiry Feb. 26, 2017	Girling, Russell	5	03/03/2017	51		0	-133,080
TransCanada Corporation	Common Shares	Goulet, Corey J.	7	31/12/2016	30	55.19	3,836	294
TransCanada Corporation	Common Shares	Goulet, Corey J.	7	31/12/2016	30	54.81	3,986	150
TransCanada Corporation	Executive Share Units	Goulet, Corey J.	7	01/03/2016	56		20,135	5,791
TransCanada Corporation	Common Shares	Hanrahan, Wendy	5	31/12/2016	30	55.17	5,214	430
TransCanada Corporation	Common Shares	Hanrahan, Wendy	5	31/12/2016	30	54.82	5,418	204
TransCanada Corporation	Executive Share Units	Hanrahan, Wendy	5	01/03/2016	56		49,607	13,223
TransCanada Corporation	Executive Share Units	Heckman, Laura	7	01/03/2016	56		8,163	2,728
TransCanada Corporation	Common Shares	Hobbs, Lee G.	5	31/12/2016	30	55.17	4,758	317
TransCanada Corporation	Common Shares	Hobbs, Lee G.	5	31/12/2016	30	54.79	4,946	188
TransCanada Corporation	Executive Share Units	Hobbs, Lee G.	5	01/03/2016	56		24,457	6,496
TransCanada Corporation	Common Shares	Hunter, Joel E.	7	31/12/2016	30	55.34	133	103
TransCanada Corporation	Common Shares	Hunter, Joel E.	7	31/12/2016	30	54	138	5
TransCanada Corporation	Executive Share Units	Hunter, Joel E.	7	01/03/2016	56		16,117	5,122
TransCanada Corporation	Rights Deferred Share Units	Jackson, Steven Barry	4	31/12/2016	56		139,944	8,501
TransCanada Corporation	Rights Deferred Share Units	Jackson, Steven Barry	4	31/12/2016	30		145,433	5,489
TransCanada Corporation	Common Shares	Jacobucci, Robert C.	7	31/12/2016	30	54.69	511	20
TransCanada Corporation	Common Shares	Jacobucci, Robert C.	7	31/12/2016	30	55.17	278	129
TransCanada Corporation	Common Shares	Jacobucci, Robert C.	7	31/12/2016	30	52.79	281	3
TransCanada Corporation	Executive Share Units	Jacobucci, Robert C.	7	01/03/2016	56		10,501	2,910
TransCanada Corporation	Common Shares	Jalbert, Andrea E	5	31/12/2016	30	54.69	2,906	115
TransCanada Corporation	Executive Share Units	Jalbert, Andrea E	5	01/03/2016	56		10,476	2,910
TransCanada Corporation	Common Shares	Johannson, Karl	5	31/12/2016	30	55.17	28,088	886
TransCanada Corporation	Common Shares	Johannson, Karl	5	31/12/2016	30	54.74	29,221	1,133
TransCanada Corporation	Executive Share Units	Johannson, Karl	5	01/03/2016	56		66,572	20,044
TransCanada Corporation	Common Shares	Johnston, Christine R.	7	31/12/2016	30	55.26	83	66
TransCanada Corporation	Executive Share Units	Johnston, Christine R.	7	01/03/2016	56		15,008	4,287
TransCanada Corporation	Executive Share Units	Jones, Robert E.	7	01/03/2016	56		23,331	6,310
TransCanada Corporation	Executive Share Units	Keys, Patrick M.	7	01/03/2016	56		17,227	4,695
TransCanada Corporation	Executive Share Units	Kohlenberg, David M.	5	01/03/2016	56		20,676	5,395
TransCanada Corporation	Executive Share Units	Kunz, Kenneth W.	7	01/03/2016	56		14,912	4,751
TransCanada Corporation	Executive Share Units	Lord, Bryce A.	7	01/03/2016	56		10,543	2,850
TransCanada Corporation	Rights Deferred Share Units	Lowe, John Edward	4	31/12/2016	56		7,704	5,457
TransCanada Corporation	Rights Deferred Share Units	Lowe, John Edward	4	31/12/2016	30		7,879	175
TransCanada Corporation	Executive Share Units	Marchand, Donald R.	5	01/03/2016	56		76,199	22,410
TransCanada Corporation	Common Shares	McWilliams, John J.	7	07/03/2017	51	49.03	4,783	4,783
TransCanada Corporation	Common Shares	McWilliams, John J.	7	07/03/2017	10	62.055	0	-4,783
TransCanada Corporation	Common Shares	McWilliams, John J.	7	07/03/2017	51	56.58	9,302	9,302
TransCanada Corporation	Common Shares	McWilliams, John J.	7	07/03/2017	10	62.055	3,985	-5,317
TransCanada Corporation	Common Shares	McWilliams, John J.	7	07/03/2017	10	62.06	3,685	-300
TransCanada Corporation	Common Shares	McWilliams, John J.	7	07/03/2017	10	62.065	685	-3,000
TransCanada Corporation	Common Shares	McWilliams, John J.	7	07/03/2017	10	62.07	85	-600

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
TransCanada Corporation	Common Shares	McWilliams, John J.	7	07/03/2017	10	62.11	0	-85
TransCanada Corporation	Executive Share Units	McWilliams, John J.	7	01/03/2016	56		14,143	4,008
TransCanada Corporation	Options Granted Feb 19, 2015 @ \$56.58 CDN (expire Feb 19, 2022)	McWilliams, John J.	7	07/03/2017	51		4,651	-9,302
TransCanada Corporation	Options Granted Feb. 25, 2014 @ \$49.03 CDN Expiry Feb. 25, 2021	McWilliams, John J.	7	07/03/2017	51		0	-4,783
TransCanada Corporation	Executive Share Units	MEIER, VERN J.	7	01/03/2016	56		14,652	4,083
TransCanada Corporation	Executive Share Units	Menuz, G. Glenn	5	01/03/2016	56		18,102	4,952
TransCanada Corporation	Executive Share Units	Miller, Paul E.	7	01/03/2016	56		42,284	15,033
TransCanada Corporation	Executive Share Units	Moneta, David B.	7	01/03/2016	56		13,706	3,711
TransCanada Corporation	Common Shares	Murray, Geoff	7	31/12/2016	30	62.39	8	8
TransCanada Corporation	Executive Share Units	Murray, Geoff	7	01/03/2016	56		14,369	3,786
TransCanada Corporation	Common Shares	Palmer, Anthony M.	5	31/12/2016	30	55.17	3,758	290
TransCanada Corporation	Common Shares	Palmer, Anthony M.	5	31/12/2016	30	54.81	3,906	148
TransCanada Corporation	Executive Share Units	Palmer, Anthony M.	5	01/03/2016	56		19,096	5,226
TransCanada Corporation	Common Shares	Patry, Dean C.	7	31/12/2016	30	55.17	601	272
TransCanada Corporation	Common Shares	Patry, Dean C.	7	31/12/2016	30	55.29	599	-2
TransCanada Corporation	Common Shares	Patry, Dean C.	7	31/12/2016	30	55.6	616	17
TransCanada Corporation	Executive Share Units	Patry, Dean C.	7	01/03/2016	56		18,935	5,790
TransCanada Corporation	Common Shares	Poirier, Francois Lionel	7	31/12/2016	30	55.17	450	159
TransCanada Corporation	Common Shares	Poirier, Francois Lionel	7	31/12/2016	30	55.35	464	14
TransCanada Corporation	Executive Share Units	Poirier, Francois Lionel	7	01/03/2016	56		33,404	7,795
TransCanada Corporation	Common Shares	Pourbaix, Alex	5	31/12/2016	30	55.17	22,086	870
TransCanada Corporation	Common Shares	Pourbaix, Alex	5	31/12/2016	30	54.75	22,972	886
TransCanada Corporation	Executive Share Units	Pourbaix, Alex	5	01/03/2016	56		131,830	40,089
TransCanada Corporation	Common Shares	Prior, Richard	7	31/12/2016	30	54.48	578	-4
TransCanada Corporation	Common Shares	Prior, Richard	7	31/12/2016	30	54.68	602	24
TransCanada Corporation	Executive Share Units	Prior, Richard	7	31/03/2016	56		7,125	2,617
TransCanada Corporation	Common Shares	RAMSAY, Norrie Carson	7	31/12/2016	30	55.19	339	147
TransCanada Corporation	Common Shares	RAMSAY, Norrie Carson	7	31/12/2016	30	55.56	349	10
TransCanada Corporation	Common Shares	RAMSAY, Norrie Carson	7	31/12/2016	30	55.19	339	147
TransCanada Corporation	Common Shares	RAMSAY, Norrie Carson	7	31/12/2016	30	55.56	349	10
TransCanada Corporation	Executive Share Units	RAMSAY, Norrie Carson	7	01/03/2016	56		15,768	5,791
TransCanada Corporation	Rights Deferred Share Units	Reynolds, Paula Rospud	4	31/12/2016	56		20,679	4,204
TransCanada Corporation	Rights Deferred Share Units	Reynolds, Paula Rospud	4	31/12/2016	30		21,415	736
TransCanada Corporation	Rights Deferred Share Units	Richels, John	4	31/12/2016	56		19,171	5,452
TransCanada Corporation	Rights Deferred Share Units	Richels, John	4	31/12/2016	30		19,815	644
TransCanada Corporation	Common Shares	Robinson, Tracy	7	31/12/2016	30	55.25	626	268
TransCanada Corporation	Common Shares	Robinson, Tracy	7	31/12/2016	30	55.54	645	19
TransCanada Corporation	Executive Share Units	Robinson, Tracy	7	01/03/2016	56		14,033	5,122
TransCanada Corporation	Rights Deferred Share Units	Salomone, Mary Patricia	4	31/12/2016	56		10,921	2,499
TransCanada Corporation	Rights Deferred Share Units	Salomone, Mary Patricia	4	31/12/2016	30		11,302	381
TransCanada Corporation	Rights Deferred Share Units	Samarasekera, Indira Vasanti	4	29/04/2016	00			
TransCanada Corporation	Rights Deferred Share Units	Samarasekera, Indira Vasanti	4	31/12/2016	56		2,606	2,606
TransCanada Corporation	Rights Deferred Share Units	Samarasekera, Indira Vasanti	4	31/12/2016	30		2,627	21
TransCanada Corporation	Common Shares	Scheibelhut, Edward L	5	31/12/2016	30	55.17	2,133	277
TransCanada Corporation	Common Shares	Scheibelhut, Edward L	5	31/12/2016	30	54.9	2,214	81
TransCanada Corporation	Executive Share Units	Scheibelhut, Edward L	5	01/03/2016	56		15,424	3,786
TransCanada Corporation	Common Shares	Schultz, Dave	5	07/03/2017	51	49.03	11,282	11,282
TransCanada Corporation	Common Shares	Schultz, Dave	5	07/03/2017	10	62	0	-11,282
TransCanada Corporation	Common Shares	Schultz, Dave	5	31/12/2016	30	55.17	6,468	236

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
TransCanada Corporation	Common Shares	Schultz, Dave	5	31/12/2016	30	54.75	6,728	260
TransCanada Corporation	Executive Share Units	Schultz, Dave	5	01/03/2016	56		11,340	3,281
TransCanada Corporation	Options Granted Feb. 25, 2014 @ \$49.03 CDN Expiry Feb. 25, 2021	Schultz, Dave	5	07/03/2017	51		0	-11,282
TransCanada Corporation	Common Shares	SOINI, JOHN F.	7	31/12/2016	30	60.81	1,263	15
TransCanada Corporation	Executive Share Units	SOINI, JOHN F.	7	01/03/2016	56		15,102	4,599
TransCanada Corporation	Common Shares	Steeves, Terri	7	31/12/2016	30	55.28	3,410	210
TransCanada Corporation	Common Shares	Steeves, Terri	7	31/12/2016	30	54.79	3,545	135
TransCanada Corporation	Executive Share Units	Steeves, Terri	7	01/03/2016	56		8,080	2,617
TransCanada Corporation	Rights Deferred Share Units	Stewart, Donald Michael Godfrey	4	31/12/2016	56		29,492	1,905
TransCanada Corporation	Rights Deferred Share Units	Stewart, Donald Michael Godfrey	4	31/12/2016	30		30,646	1,154
TransCanada Corporation	Common Shares	Tatarchuk, Eric W.	7	31/12/2016	30	60.79	1,094	138
TransCanada Corporation	Common Shares	Tatarchuk, Eric W.	7	31/12/2016	30	60.15	1,103	9
TransCanada Corporation	Executive Share Units	Tatarchuk, Eric W.	7	01/03/2016	56		13,030	3,667
TransCanada Corporation	Executive Share Units	Taylor, William C.	5	01/03/2016	56		42,216	15,033
TransCanada Corporation	Common Shares	Van der Put, Jan	7	31/12/2016	30	55.17	2,214	209
TransCanada Corporation	Common Shares	Van der Put, Jan	7	31/12/2016	30	54.84	2,300	86
TransCanada Corporation	Executive Share Units	Van der Put, Jan	7	16/03/2016	56		8,231	2,902
TransCanada Corporation	Rights Deferred Share Units	Vanaselja, Siim A.	4	31/12/2016	56		12,215	4,401
TransCanada Corporation	Rights Deferred Share Units	Vanaselja, Siim A.	4	31/12/2016	30		12,602	387
TransCanada Corporation	Rights Deferred Share Units	Waugh, Richard Earl	4	31/12/2016	56		22,504	4,143
TransCanada Corporation	Rights Deferred Share Units	Waugh, Richard Earl	4	31/12/2016	30		23,318	814
TransCanada Corporation	Executive Share Units	White, Jay J.	7	01/03/2016	56		7,673	2,191
TransCanada Corporation	Common Shares	Wilson, Stephanie	7	31/12/2016	30	55.17	2,535	590
TransCanada Corporation	Common Shares	Wilson, Stephanie	7	31/12/2016	30	55.09	2,624	89
TransCanada Corporation	Executive Share Units	Wilson, Stephanie	7	01/03/2016	56		12,139	3,312
TransCanada Corporation	Common Shares	Yeomans, Mark	7	31/12/2016	30	61.05	23	19
TransCanada Corporation	Executive Share Units	Yeomans, Mark	7	01/03/2016	56		10,677	3,207
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	08/02/2017	38	4.25	500	500
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	09/02/2017	38	4.245	900	400
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	13/02/2017	38	4.25	1,300	400
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	14/02/2017	38	4.2078	9,200	7,900
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	15/02/2017	38	4.2081	17,400	8,200
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	16/02/2017	38	4.1333	25,600	8,200
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	17/02/2017	38	4.1775	31,700	6,100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	24/02/2017	38	4.2435	66,400	34,700
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	28/02/2017	38	4.1509	105,300	38,900
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd. (formerly known as Tree Island Wire Income Fund)	1	06/03/2017	38		0	-105,300

**Insider Reporting**

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Trican Well Service Ltd.	Options Employee Stock Options	Baldwin, Michael Andrew	5	08/03/2017	52	18.03	573,183	-18,350
Trican Well Service Ltd.	Performance Unit Awards (PSUs)	Baldwin, Michael Andrew	5	06/03/2017	59	4.37	120,136	-1,530
Trican Well Service Ltd.	Performance Unit Awards (PSUs)	Baldwin, Michael Andrew	5	06/03/2017	58 - Expiration of rights		102,800	-17,336
Trican Well Service Ltd.	Options Employee Stock Options	Cox, Robert, John	5	08/03/2017	52	18.03	530,650	-17,350
Trican Well Service Ltd.	Performance Unit Awards (PSUs)	Cox, Robert, John	5	06/03/2017	59	4.37	89,944	-1,160
Trican Well Service Ltd.	Performance Unit Awards (PSUs)	Cox, Robert, John	5	06/03/2017	58 - Expiration of rights		76,800	-13,144
Trican Well Service Ltd.	Rights Restricted Share Units (RSUs)	Cox, Robert, John	5	06/03/2017	59	5.08	17,550	-6,440
Trican Well Service Ltd.	Options Employee Stock Options	Dusterhoft, Dale M.	5	08/03/2017	52	18.03	1,112,450	-49,950
Trican Well Service Ltd.	Performance Unit Awards (PSUs)	Dusterhoft, Dale M.	5	06/03/2017	59	4.37	299,426	-3,850
Trican Well Service Ltd.	Performance Unit Awards (PSUs)	Dusterhoft, Dale M.	5	06/03/2017	58 - Expiration of rights		255,800	-43,626
Trican Well Service Ltd.	Rights Restricted Share Units (RSUs)	Dusterhoft, Dale M.	5	06/03/2017	59	5.08	64,710	-2,274
Trican Well Service Ltd.	Performance Unit Awards (PSUs)	Girard, David Joseph	5	06/03/2017	59	4.37	57,580	-740
Trican Well Service Ltd.	Performance Unit Awards (PSUs)	Girard, David Joseph	5	06/03/2017	58 - Expiration of rights		49,200	-8,380
Tricon Capital Group Inc.	Common Shares	Baldrige, Kevin	7	01/03/2017	57	10.9		16,100
Tricon Capital Group Inc.	Common Shares	Baldrige, Kevin	7	01/03/2017	57	10.9	7,567	7,567
Tricon Capital Group Inc.	Deferred Share Units	Baldrige, Kevin	7	01/03/2017	57	10.9		-16,100
Tricon Capital Group Inc.	Deferred Share Units	Baldrige, Kevin	7	01/03/2017	57	10.9	96,136	-7,567
Tricon Capital Group Inc.	Common Shares	Ellenzweig, Jonathan	5	27/02/2017	57	10.71		6,675
Tricon Capital Group Inc.	Common Shares	Ellenzweig, Jonathan	5	27/02/2017	57	10.71	35,037	3,439
Tricon Capital Group Inc.	Common Shares	Ellenzweig, Jonathan	5	28/02/2017	57	10.42		6,965
Tricon Capital Group Inc.	Common Shares	Ellenzweig, Jonathan	5	28/02/2017	57	10.42	38,626	3,589
Tricon Capital Group Inc.	Common Shares	Ellenzweig, Jonathan	5	01/03/2017	57	10.9		5,419
Tricon Capital Group Inc.	Common Shares	Ellenzweig, Jonathan	5	01/03/2017	57	10.9	41,417	2,791
Tricon Capital Group Inc.	Deferred Share Units	Ellenzweig, Jonathan	5	27/02/2017	57	10.71		-6,675
Tricon Capital Group Inc.	Deferred Share Units	Ellenzweig, Jonathan	5	27/02/2017	57	10.71	131,203	-3,439
Tricon Capital Group Inc.	Deferred Share Units	Ellenzweig, Jonathan	5	28/02/2017	57	10.42		-6,965
Tricon Capital Group Inc.	Deferred Share Units	Ellenzweig, Jonathan	5	28/02/2017	57	10.42	124,378	-3,589
Tricon Capital Group Inc.	Deferred Share Units	Ellenzweig, Jonathan	5	01/03/2017	57	10.9		-5,419
Tricon Capital Group Inc.	Deferred Share Units	Ellenzweig, Jonathan	5	01/03/2017	57	10.9	118,211	-2,791
Tricon Capital Group Inc.	Common Shares	Scheetz, Jeremy	5	27/02/2017	57	10.71		5,053
Tricon Capital Group Inc.	Common Shares	Scheetz, Jeremy	5	27/02/2017	57	10.71	79,672	2,481
Tricon Capital Group Inc.	Common Shares	Scheetz, Jeremy	5	28/02/2017	57	10.42		5,758
Tricon Capital Group Inc.	Common Shares	Scheetz, Jeremy	5	28/02/2017	57	10.42	82,499	2,827
Tricon Capital Group Inc.	Deferred Share Units	Scheetz, Jeremy	5	27/02/2017	57	10.71		-5,053
Tricon Capital Group Inc.	Deferred Share Units	Scheetz, Jeremy	5	27/02/2017	57	10.71	100,902	-2,481
Tricon Capital Group Inc.	Deferred Share Units	Scheetz, Jeremy	5	28/02/2017	57	10.42		-5,758
Tricon Capital Group Inc.	Deferred Share Units	Scheetz, Jeremy	5	28/02/2017	57	10.42	95,503	-2,827
Tsodilo Resources Limited	Common Shares	Cushing, David James	4	01/08/2016	11		3,483,315	-118,189
Tsodilo Resources Limited	Common Shares	Cushing, David James	4	16/08/2016	11	0.3	3,283,315	-200,000
Tsodilo Resources Limited	Common Shares	Cushing, David James	4	01/10/2016	11		3,277,759	-5,556
Tsodilo Resources Limited	Common Shares	Cushing, David James	4	01/01/2017	11		4,738,568	-328,727
Tucows Inc.	Common Shares	Goertz, Carla Anne	5	01/03/2017	51	7.288	30,937	30,937
Tucows Inc.	Common Shares	Goertz, Carla Anne	5	01/03/2017	97		18,997	-11,940
Tucows Inc.	Options	Goertz, Carla Anne	5	01/03/2017	51		328,813	-30,937
Tudor Gold Corp.	Common Shares	Morfopoulos, Aris	5	01/03/2017	10	0.55	12,000	-10,000
Tudor Gold Corp.	Common Shares	Morfopoulos, Aris	5	07/03/2017	10	0.55	6,000	-6,000
Tudor Gold Corp.	Common Shares	Morfopoulos, Aris	5	08/03/2017	10	0.55	500	-5,500
TWC Enterprises Limited	Common Shares	Brigham, Patrick	4	19/12/2014	35	11.009	60,912	412
TWC Enterprises Limited	Common Shares	Brigham, Patrick	4	31/03/2015	35	10.968	61,326	414



**Insider Reporting**

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TWC Enterprises Limited	Common Shares	Brigham, Patrick	4	19/06/2015	35	10.729	61,752	426
TWC Enterprises Limited	Common Shares	Brigham, Patrick	4	15/09/2015	35	10.257	62,200	448
TWC Enterprises Limited	Common Shares	Brigham, Patrick	4	21/12/2015	35	11.213	62,613	413
TWC Enterprises Limited	Common Shares	Brigham, Patrick	4	01/04/2016	35	9.7313	63,092	479
TWC Enterprises Limited	Common Shares	Brigham, Patrick	4	26/08/2016	35	11.009	63,105	13
TWC Enterprises Limited	Common Shares	Brigham, Patrick	4	19/12/2014	35	11.009	232,627	1,627
TWC Enterprises Limited	Common Shares	Brigham, Patrick	4	31/03/2015	35	10.968	234,207	1,580
TWC Enterprises Limited	Common Shares	Brigham, Patrick	4	19/06/2015	35	10.729	235,833	1,626
TWC Enterprises Limited	Common Shares	Brigham, Patrick	4	15/09/2015	30	10.257	237,546	1,713
TWC Enterprises Limited	Common Shares	Brigham, Patrick	4	21/12/2015	35	11.213	239,124	1,578
TWC Enterprises Limited	Common Shares	Brigham, Patrick	4	01/04/2016	35	9.7313	240,954	1,830
Umbral Energy Corp.	Common Shares	Bal, Jagdip	4	27/02/2017	50	0.065	8,147,519	1,000,000
Unique Broadband Systems, Inc.	Common Shares	Ulicki, Robert	3	07/03/2017	10	0.015	16,800,000	-1,163,772
Uniserve Communications Corporation	Common Shares	Scholz, Michael Curt	4	08/03/2017	54	0.07	422,300	200,000
Uniserve Communications Corporation	Warrants	Scholz, Michael Curt	4	08/03/2017	53	0.06	15,518,005	4,000,000
Uniserve Communications Corporation	Warrants	Scholz, Michael Curt	4	08/03/2017	54	0.07	0	-200,000
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	14/01/2015	10	1.9988	27,593	675
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	26/02/2013	11	1.18		8,474
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	14/01/2015	10	1.9988	32,400	793
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	14/01/2015	10	1.9988	25,889	634
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	26/02/2013	11	1.18	118,506	8,474
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	14/01/2015	10	1.9988	144,156	1,712
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	25/02/2016	11	1.83	149,620	5,464
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	14/01/2015	10	1.9988	3,939	575
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	14/01/2015	10	1.9988	32,890	805
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	14/01/2015	10	1.9988		675
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	26/02/2013	11	1.18		8,474
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	26/02/2013	11	1.18	8,474	8,474
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	14/01/2015	10	1.9988		793
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	14/01/2015	10	1.9988		634
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	26/02/2013	11	1.18		8,474
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	14/01/2015	10	1.9988		1,712
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	25/02/2016	11	1.83		5,464
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	14/01/2015	10	1.9988		575
Urbana Corporation	Common Shares	CALDWELL, BRENDAN T.N.	3	14/01/2015	10	1.9988		805
Urbana Corporation	Non-Voting Shares Class A	CALDWELL, BRENDAN T.N.	3	07/05/2015	10	2.04	34,727	-22,200
Urbana Corporation	Non-Voting Shares Class A	CALDWELL, BRENDAN T.N.	3	08/05/2015	10	2.04	0	-34,727
Urbana Corporation	Non-Voting Shares Class A	CALDWELL, BRENDAN T.N.	3	24/04/2014	10	1.88	6,612	100

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Urbana Corporation	Non-Voting Shares Class A	CALDWELL, BRENDAN T.N.	3	07/05/2015	10	2.04		-22,200
Urbana Corporation	Non-Voting Shares Class A	CALDWELL, BRENDAN T.N.	3	08/05/2015	10	2.04		-34,727
Urbana Corporation	Non-Voting Shares Class A	CALDWELL, BRENDAN T.N.	3	24/04/2014	10	1.88		100
UrtheCast Corp.	Common Shares	Evans, William MacDonald ('Mac')	4	24/06/2014	57	1.39		3,956
UrtheCast Corp.	Common Shares	Evans, William MacDonald ('Mac')	4	24/06/2014	57	1.39		3,956
UrtheCast Corp.	Common Shares	Evans, William MacDonald ('Mac')	4	28/01/2015	57	1.28		3,022
UrtheCast Corp.	Common Shares	Evans, William MacDonald ('Mac')	4	28/01/2015	57	1.28		3,022
UrtheCast Corp.	Common Shares	Evans, William MacDonald ('Mac')	4	28/01/2015	57	1.28		3,022
UrtheCast Corp.	Common Shares	Evans, William MacDonald ('Mac')	4	28/01/2015	57	1.28		3,022
UrtheCast Corp.	Rights Restricted Share Units	Evans, William MacDonald ('Mac')	4	24/06/2014	57		36,044	-3,956
UrtheCast Corp.	Rights Restricted Share Units	Evans, William MacDonald ('Mac')	4	28/01/2015	57		67,022	-3,022
UrtheCast Corp.	Rights Restricted Share Units	Evans, William MacDonald ('Mac')	4	28/01/2015	57		64,000	-3,022
Valeant Pharmaceuticals International, Inc.	Options	Ackermann, Christina	5	01/03/2017	50	14.38	99,814	60,345
Valeant Pharmaceuticals International, Inc.	Options	Appio, Thomas	5	17/08/2016	00			
Valeant Pharmaceuticals International, Inc.	Options	Appio, Thomas	5	01/03/2017	50	14.38	30,174	30,174
Valeant Pharmaceuticals International, Inc.	Options	Eldessouky, Osama	5	15/06/2016	00			
Valeant Pharmaceuticals International, Inc.	Options	Eldessouky, Osama	5	01/03/2017	50	14.38	31,430	31,430
Valeant Pharmaceuticals International, Inc.	Performance Share Units	Eldessouky, Osama	5	15/06/2016	00			
Valeant Pharmaceuticals International, Inc.	Performance Share Units	Eldessouky, Osama	5	06/01/2017	56		8,534	8,534
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Eldessouky, Osama	5	15/06/2016	00		53,791	
Valeant Pharmaceuticals International, Inc.	Rights Restricted Share Units (RSUs)	Eldessouky, Osama	5	06/01/2017	56		66,590	12,799
Valeant Pharmaceuticals International, Inc.	Options	Humphries, William Douglas	5	02/01/2017	00			
Valeant Pharmaceuticals International, Inc.	Options	Humphries, William Douglas	5	01/03/2017	50	14.38	150,859	150,859
Valener Inc.	Common Shares	Forget, Nicole	4	15/01/2016	35	17.88	3,001	44
Valener Inc.	Common Shares	Forget, Nicole	4	15/04/2016	35	20.94	3,039	38
Valener Inc.	Common Shares	Forget, Nicole	4	15/07/2016	35	21.86	3,076	37
Valener Inc.	Common Shares	Forget, Nicole	4	17/10/2016	35	20.68	3,116	40
Valener Inc.	Common Shares	Forget, Nicole	4	15/01/2016	35	17.88	9,677	115
Valener Inc.	Common Shares	Forget, Nicole	4	15/01/2016	35	17.88	9,705	28
Valener Inc.	Common Shares	Forget, Nicole	4	15/04/2016	35	20.94	9,805	100
Valener Inc.	Common Shares	Forget, Nicole	4	15/04/2016	35	20.94	9,829	24
Valener Inc.	Common Shares	Forget, Nicole	4	15/07/2016	35	21.86	9,926	97
Valener Inc.	Common Shares	Forget, Nicole	4	15/07/2016	35	21.86	9,950	24
Valener Inc.	Common Shares	Forget, Nicole	4	17/10/2016	35	20.68	10,054	104
Valener Inc.	Common Shares	Forget, Nicole	4	17/10/2016	35	20.68	10,079	25
Valener Inc.	Common Shares	Gravel, Jean-Luc	7	15/01/2016	35	17.88	1,728	25
Valener Inc.	Common Shares	Gravel, Jean-Luc	7	15/04/2016	35	20.94	1,750	22
Valener Inc.	Common Shares	Gravel, Jean-Luc	7	15/07/2016	35	21.86	1,771	21
Valener Inc.	Common Shares	Gravel, Jean-Luc	7	17/10/2016	35	20.68	1,794	23
Valener Inc.	Common Shares	Monahan, Pierre	4	15/01/2016	35	17.88	5,009	74
Valener Inc.	Common Shares	Monahan, Pierre	4	15/04/2016	35	20.94	5,073	64

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Valener Inc.	Common Shares	Monahan, Pierre	4	15/07/2016	35	21.86	5,135	62
Valener Inc.	Common Shares	Monahan, Pierre	4	17/10/2016	35	20.68	5,202	67
VBI Vaccines Inc.	Common Shares	Perceptive Advisors LLC	3	06/12/2016	00			
VBI Vaccines Inc.	Common Shares	Perceptive Advisors LLC	3	06/12/2016	00		6,213,316	
Vela Minerals Ltd.	Common Shares	Swanson, Conrad	4	15/01/2017	00			
Vela Minerals Ltd.	Common Shares	Swanson, Conrad	4	15/01/2017	00		159,000	
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	01/02/2017	38	17.55	6,500	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	02/02/2017	38	17.58	7,500	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	03/02/2017	38	17.55	8,100	600
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	07/02/2017	38	17.6	40,800	32,700
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	14/02/2017	38	17.6	41,800	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	15/02/2017	38	17.65	42,800	1,000
Velan Inc.	Subordinate Voting Shares	Velan Inc.	1	01/03/2017	38		0	-42,800
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Common Shares	Adams, George	4, 5	02/03/2017	10	0.285	699,264	-100,000
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Common Shares	Adams, George	4, 5	02/03/2017	10	0.285	565,999	-133,265
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Common Shares	Adams, George	4, 5	08/03/2017	36	0.15	1,232,665	666,666
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Warrants	Adams, George	4, 5	08/03/2017	36	0.15	1,195,218	-666,666
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Common Shares	Dalla-Longa, Danny	4, 5	02/12/2015	37			-3,127,500
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Common Shares	Dalla-Longa, Danny	4, 5	02/12/2015	37			-3,127,500
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Common Shares	Dalla-Longa, Danny	4, 5	02/12/2015	37		428,801	-3,046,199
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Common Shares	Dalla-Longa, Danny	4, 5	09/03/2017	10	0.47	359,801	-69,000
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Common Shares	Dalla-Longa, Danny	4, 5	09/03/2017	10	0.4	338,467	-21,334
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Common Shares	Dalla-Longa, Danny	4, 5	02/12/2015	37		60,000	-540,000
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Common Shares	Dalla-Longa, Danny	4, 5	02/12/2015	37		15,625	-140,625
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Options	Dalla-Longa, Danny	4, 5	02/12/2015	37		108,000	-972,000
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Warrants	Dalla-Longa, Danny	4, 5	02/12/2015	37		7,813	-70,312
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Common Shares	Segal, Donald	4	05/02/2016	00		46,875	
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Options	Segal, Donald	4	05/02/2016	00			
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Options	Segal, Donald	4	11/04/2016	50		75,000	75,000
Ventripoint Diagnostics Ltd. (formerly Luca Capital Inc.)	Common Shares	Skidmore, Brian David	3	02/03/2017	10	0.14	1,649,497	-2,000,000
Veresen Inc.	Common Shares	Althoff, Donald	5	31/12/2016	30	9.82	45,689	4,425
Veresen Inc.	Common Shares	Althoff, Donald	5	31/12/2016	30	10.11	27,097	10,643
Veresen Inc.	Deferred Share Units	Althoff, Donald	5	31/12/2016	56	12.933	17,598	1,297
Veresen Inc.	Performance Share Units	Althoff, Donald	5	31/12/2016	56	13.91	129,075	4,922
Veresen Inc.	Performance Share Units	Althoff, Donald	5	31/12/2016	56	13.71	133,005	3,930
Veresen Inc.	Performance Share Units	Althoff, Donald	5	31/12/2016	56	9.09	231,705	98,700
Veresen Inc.	Restricted Share Units	Althoff, Donald	5	31/12/2016	56	13.91	32,271	1,231
Veresen Inc.	Restricted Share Units	Althoff, Donald	5	31/12/2016	56	13.71	33,254	983
Veresen Inc.	Restricted Share Units	Althoff, Donald	5	31/12/2016	56	9.09	57,930	24,676
Veresen Inc.	Performance Share Units	Spomer, Elizabeth Grace	5	31/12/2016	56	13.91	94,936	3,232
Veresen Inc.	Performance Share Units	Spomer, Elizabeth Grace	5	31/12/2016	56	13.71	98,393	3,457

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Veresen Inc.	Performance Share Units	Spomer, Elizabeth Grace	5	31/12/2016	56	9.09	201,593	103,200
Veresen Inc.	Restricted Share Units	Spomer, Elizabeth Grace	5	31/12/2016	56	13.91	23,735	808
Veresen Inc.	Restricted Share Units	Spomer, Elizabeth Grace	5	31/12/2016	56	13.71	24,599	864
Veresen Inc.	Restricted Share Units	Spomer, Elizabeth Grace	5	31/12/2016	56	9.09	50,399	25,800
Vermilion Energy Inc.	Notes Senior Notes due 3/15/2025	Ghersinich, Claudio	4	01/09/2010	00			
Vermilion Energy Inc.	Notes Senior Notes due 3/15/2025	Ghersinich, Claudio	4	06/03/2017	10	100	\$3,000,000	\$3,000,000
Vermilion Energy Inc.	Common Shares	Hatcher, Dion	5	08/03/2017	46	51.567	17,059	1,444
Vermilion Energy Inc.	Common Shares	Hatcher, Dion	5	08/03/2017	30	48.78	16,335	-724
Vermilion Energy Inc.	Common Shares	Hergott, Terrance Gerald	5	08/03/2017	46	51.567	32,393	1,154
Vermilion Energy Inc.	Common Shares	Hergott, Terrance Gerald	5	08/03/2017	30	48.78	31,808	-585
Vermilion Energy Inc.	Common Shares	Hicks, Curtis W.	5	08/03/2017	46	51.567	129,380	2,537
Vermilion Energy Inc.	Common Shares	Hicks, Curtis W.	5	08/03/2017	30	48.78	128,093	-1,287
Vermilion Energy Inc.	Common Shares	Jasinski, Mona Jean	5	08/03/2017	46	51.567	51,582	2,131
Vermilion Energy Inc.	Common Shares	Jasinski, Mona Jean	5	08/03/2017	30	48.78	50,517	-1,065
Vermilion Energy Inc.	Common Shares	Kaluza, Michael Sam	5	08/03/2017	46	51.567	38,336	2,592
Vermilion Energy Inc.	Common Shares	Kaluza, Michael Sam	5	08/03/2017	30	48.78	37,021	-1,315
Vermilion Energy Inc.	Common Shares	Marino, Anthony William	5	08/03/2017	46	51.567	147,556	4,147
Vermilion Energy Inc.	Common Shares	Marino, Anthony William	5	08/03/2017	30	48.78	145,452	-2,104
VersaBank	Common Shares	George, Patrick	6	09/03/2017	10	4.97	157,970	300
VersaBank	Common Shares	George, Patrick	6	09/03/2017	10	4.98	158,070	100
VersaBank	Common Shares	George, Patrick	6	09/03/2017	10	4.99	161,370	3,300
VersaBank	Common Shares	Hillier, Arnold Edward	4	31/01/2017	22			26,468
VersaBank	Common Shares	Hillier, Arnold Edward	4	31/01/2017	22			26,467
VersaBank	Common Shares	Hillier, Arnold Edward	4	31/01/2017	22			26,467
VersaBank	Common Shares	Hillier, Arnold Edward	4	31/01/2017	22		26,468	26,468
Vigil Health Solutions Inc.	Common Shares	Chalmers, Nicola	5	03/03/2017	51	0.14	17,666	16,000
Vigil Health Solutions Inc.	Options	Chalmers, Nicola	5	03/03/2017	51	0.14	224,000	-16,000
VIQ Solutions Inc.	Common Shares	Taylor, Larry Douglas	4	06/03/2017	10	0.2	6,045,800	10,000
VIQ Solutions Inc.	Common Shares	Taylor, Larry Douglas	4	07/03/2017	10	0.2	6,050,800	5,000
Vista Gold Corp.	Common Shares	Clark, John	4	07/03/2017	57		79,067	17,000
Vista Gold Corp.	RSU	Clark, John	4	07/03/2017	57		95,400	-17,000
Vista Gold Corp.	RSU	Clark, John	4	07/03/2017	58 - Expiration of rights		92,400	-3,000
Vista Gold Corp.	Common Shares	Earnest, Frederick H.	5	07/03/2017	57		804,274	114,750
Vista Gold Corp.	Common Shares	Earnest, Frederick H.	5	07/03/2017	10	0.93	746,405	-57,869
Vista Gold Corp.	RSU	Earnest, Frederick H.	5	05/03/2017	56			87,000
Vista Gold Corp.	RSU	Earnest, Frederick H.	5	05/03/2017	56		833,879	87,000
Vista Gold Corp.	RSU	Earnest, Frederick H.	5	07/03/2017	57		719,129	-114,750
Vista Gold Corp.	RSU	Earnest, Frederick H.	5	07/03/2017	58 - Expiration of rights		698,879	-20,250
Vista Gold Corp.	Common Shares	Engele, John	5	07/03/2017	57	0.96		62,050
Vista Gold Corp.	Common Shares	Engele, John	5	07/03/2017	57		287,950	62,050
Vista Gold Corp.	Common Shares	Engele, John	5	07/03/2017	10	0.96	256,950	-31,000
Vista Gold Corp.	RSU	Engele, John	5	05/03/2017	56		409,000	38,000
Vista Gold Corp.	RSU	Engele, John	5	07/03/2017	57		346,950	-62,050
Vista Gold Corp.	RSU	Engele, John	5	07/03/2017	58 - Expiration of rights		336,000	-10,950
Vista Gold Corp.	Common Shares	Eppler, W. Durand	7	07/03/2017	57		219,478	17,000
Vista Gold Corp.	RSU	Eppler, W. Durand	7	07/03/2017	57		95,400	-17,000
Vista Gold Corp.	RSU	Eppler, W. Durand	7	07/03/2017	58 - Expiration of rights		92,400	-3,000
Vista Gold Corp.	Common Shares	Ogryzlo, Charles Thomas	4	07/03/2017	57		168,374	17,000
Vista Gold Corp.	RSU	Ogryzlo, Charles Thomas	4	07/03/2017	57		95,400	-17,000
Vista Gold Corp.	RSU	Ogryzlo, Charles Thomas	4	07/03/2017	58 - Expiration of rights		92,400	-3,000
Vista Gold Corp.	Common Shares	Richings, Michael	4	07/03/2017	57		237,691	17,000
Vista Gold Corp.	RSU	Richings, Michael	4	07/03/2017	57		95,400	-17,000

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Vista Gold Corp.	RSU	Richings, Michael	4	07/03/2017	58 - Expiration of rights		92,400	-3,000
Vista Gold Corp.	Common Shares	Rozelle, John W.	5	07/03/2017	57		234,625	39,950
Vista Gold Corp.	Common Shares	Rozelle, John W.	5	07/03/2017	10	0.93	214,297	-20,328
Vista Gold Corp.	RSU	Rozelle, John W.	5	05/03/2017	56		262,800	24,000
Vista Gold Corp.	RSU	Rozelle, John W.	5	07/03/2017	57		222,850	-39,950
Vista Gold Corp.	RSU	Rozelle, John W.	5	07/03/2017	58 - Expiration of rights		215,800	-7,050
Vista Gold Corp.	Common Shares	Stevenson, Tracy	4	07/03/2017	57		120,198	17,000
Vista Gold Corp.	RSU	Stevenson, Tracy	4	07/03/2017	57		95,400	-17,000
Vista Gold Corp.	RSU	Stevenson, Tracy	4	07/03/2017	58 - Expiration of rights		92,400	-3,000
Waste Connections, Inc. (formerly Progressive Waste Solutions Ltd.)	Common Shares	Davis, Robert H.	4	02/03/2017	10	87.72	8,866	-500
Waste Connections, Inc. (formerly Progressive Waste Solutions Ltd.)	Deferred Share Units	Progressive Waste Solutions Ltd.	1	01/08/2011	00			
Waste Connections, Inc. (formerly Progressive Waste Solutions Ltd.)	Deferred Share Units	Progressive Waste Solutions Ltd.	1	01/08/2011	00			
West Fraser Timber Co. Ltd.	Options	Gardner, Larry Earl	5	03/03/2017	59		16,226	-220
West Fraser Timber Co. Ltd.	Options	Gardner, Larry Earl	5	03/03/2017	59		15,306	-920
West Fraser Timber Co. Ltd.	Options	Gardner, Larry Earl	5	03/03/2017	59		14,666	-640
West Fraser Timber Co. Ltd.	Common Shares	Miller, Gerald	4	08/03/2017	10	57.6	9,942	-2,200
West Fraser Timber Co. Ltd.	Common Shares	Miller, Gerald	4	08/03/2017	10	57.61	9,542	-400
West Fraser Timber Co. Ltd.	Common Shares	Miller, Gerald	4	08/03/2017	10	57.62	9,442	-100
West Fraser Timber Co. Ltd.	Common Shares	Miller, Gerald	4	08/03/2017	10	57.63	9,342	-100
West Fraser Timber Co. Ltd.	Common Shares	Miller, Gerald	4	08/03/2017	10	57.64	9,142	-200
West Fraser Timber Co. Ltd.	Common Shares	Miller, Gerald	4	08/03/2017	10	57.65	8,142	-1,000
West Fraser Timber Co. Ltd.	Common Shares	Miller, Gerald	4	08/03/2017	10	57.65	0	-1,000
West High Yield (W.H.Y.) Resources Ltd.	Common Shares	Vinck, Dwayne Albert	5	09/03/2017	10	0.265	273,715	500
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	01/02/2017	38	25.716	7,700	7,700
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	01/02/2017	38		0	-7,700
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	02/02/2017	38	25.735	800	800
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	02/02/2017	38		0	-800
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	06/02/2017	38	25.714	7,700	7,700
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	06/02/2017	38		0	-7,700
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	07/02/2017	38	25.583	7,600	7,600
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	07/02/2017	38		0	-7,600
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	08/02/2017	38	25.512	7,900	7,900
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	08/02/2017	38		0	-7,900
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	09/02/2017	38	25.442	7,900	7,900
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	09/02/2017	38		0	-7,900
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	09/02/2017	38	25.262	4,700	4,700
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	09/02/2017	38		0	-4,700
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	10/02/2017	38	25.679	900	900
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	10/02/2017	38		0	-900

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	14/02/2017	38	25.673	4,000	4,000
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	14/02/2017	38		0	-4,000
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	15/02/2017	38	25.566	11,500	11,500
Westshore Terminals Investment Corporation	Common Shares	Westshore Terminals Investment Corporation	1	15/02/2017	38		0	-11,500
Wi-LAN Inc.	Options	Bramson, Robert S.	4	03/03/2017	52		75,013	-17,000
Wi-LAN Inc.	Options	Fattouche, Michel	4	03/03/2017	52		75,013	-17,000
Wi-LAN Inc.	Options	Gillberry, John Kendall	4	03/03/2017	52		75,013	-17,000
Wi-LAN Inc.	Options	McCarten, W. Paul	4	03/03/2017	52		75,013	-17,000
Wi-LAN Inc.	Options	McEwan, Michael Shaun	5	03/03/2017	52		330,000	-200,000
Wi-LAN Inc.	Options	Parolin, Andrew	5	03/03/2017	52		250,000	-200,000
Wi-LAN Inc.	Options	Shorkey, Richard John	4	03/03/2017	52		75,013	-17,000
Wi-LAN Inc.	Options	Skippen, James	4, 5	03/03/2017	52		640,000	-1,000,000
Wi-LAN Inc.	Options	Watchmaker, Prashant	5	03/03/2017	52		204,000	-100,000
X-Terra Resources Inc.	Common Shares	Champagne, Sylvain	4, 5	10/03/2017	10	0.25	400,000	40,000
X-Terra Resources Inc.	Common Shares	Ferreira, Michael	4, 5	04/06/2014	00			
X-Terra Resources Inc.	Common Shares	Ferreira, Michael	4, 5	09/03/2017	10	0.25	20,000	20,000
Xebec Adsorption Inc.	Options	DUFOUR, LOUIS	5	31/10/2016	00			
Xebec Adsorption Inc.	Options	DUFOUR, LOUIS	5	05/03/2017	50	0.18	100,000	100,000
Xebec Adsorption Inc.	Options	RAO, PRABHU KRUTHYVEMTI	4	05/03/2017	50		1,200,000	1,000,000
Xebec Adsorption Inc.	Options	RAO, PRABHU KRUTHYVEMTI	4	05/03/2017	50		2,200,000	1,000,000
Yamana Gold Inc.	PSU	Bouchard, Yohann	5	30/11/2016	30		36,267	168
Yamana Gold Inc.	PSU	Bouchard, Yohann	5	13/01/2017	30		36,325	58
Yamana Gold Inc.	Restricted Shares	Bouchard, Yohann	5	30/11/2016	30		12,088	56
Yamana Gold Inc.	Restricted Shares	Bouchard, Yohann	5	13/01/2017	30		12,107	19
Yamana Gold Inc.	PSU	CAMPBELL, RICHARD	5	30/11/2016	30		78,190	362
Yamana Gold Inc.	PSU	CAMPBELL, RICHARD	5	13/01/2017	30		78,315	125
Yamana Gold Inc.	Restricted Shares	CAMPBELL, RICHARD	5	30/11/2016	30		41,654	37
Yamana Gold Inc.	Restricted Shares	CAMPBELL, RICHARD	5	13/01/2017	30		25,718	13
Yamana Gold Inc.	PSU	Fernandez-Tobar, Gerardo	5	30/11/2016	30		71,370	328
Yamana Gold Inc.	PSU	Fernandez-Tobar, Gerardo	5	13/01/2017	30		71,483	113
Yamana Gold Inc.	Restricted Shares	Fernandez-Tobar, Gerardo	5	30/11/2016	30		40,621	62
Yamana Gold Inc.	Restricted Shares	Fernandez-Tobar, Gerardo	5	13/01/2017	30		33,974	21
Yamana Gold Inc.	PSU	Gallinger, Ross Douglas	5	30/11/2016	30		40,484	188
Yamana Gold Inc.	PSU	Gallinger, Ross Douglas	5	13/01/2017	30		40,549	65
Yamana Gold Inc.	Restricted Shares	Gallinger, Ross Douglas	5	30/11/2016	30		13,495	63
Yamana Gold Inc.	Restricted Shares	Gallinger, Ross Douglas	5	13/01/2017	30		13,517	22
Yamana Gold Inc.	PSU	Murphy, Eric Barry	5	30/11/2016	30		38,205	174
Yamana Gold Inc.	PSU	Murphy, Eric Barry	5	13/01/2017	30		38,265	60
Yamana Gold Inc.	Restricted Shares	Murphy, Eric Barry	5	30/11/2016	30		62,334	18
Yamana Gold Inc.	Restricted Shares	Murphy, Eric Barry	5	13/01/2017	30		37,400	6
Yamana Gold Inc.	PSU	Tsakos, Sofia	5	30/11/2016	30		206,507	957
Yamana Gold Inc.	PSU	Tsakos, Sofia	5	13/01/2017	30		206,838	331
Yamana Gold Inc.	Restricted Shares	Tsakos, Sofia	5	30/11/2016	30		49,405	55
Yamana Gold Inc.	Restricted Shares	Tsakos, Sofia	5	13/01/2017	30		40,162	19
Yamana Gold Inc.	PSU	Wulftange, William	5	30/11/2016	30		99,378	459
Yamana Gold Inc.	PSU	Wulftange, William	5	13/01/2017	30		99,537	159
Yamana Gold Inc.	Restricted Shares	Wulftange, William	5	30/11/2016	30		50,400	61
Yamana Gold Inc.	Restricted Shares	Wulftange, William	5	13/01/2017	30		37,002	21
ZADAR VENTURES LTD.	Common Shares	Watson, Geoff	4	18/08/2016	10	0.185	260,000	-3,000

## Chapter 11

# IPOs, New Issues and Secondary Financings

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### INVESTMENT FUNDS

**Issuer Name:**

AlphaNorth Growth Fund  
AlphaNorth Resource Fund (formerly AlphaNorth Rollover Fund)

Principal Regulator - Ontario

**Type and Date:**

Amendment #1 to Final Simplified Prospectus dated March 6, 2017

Received on March 7, 2017

**Offering Price and Description:**

-

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

AlphaNorth Asset Management  
Project #2483673

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**Issuer Name:**

Black Creek Global Balanced Fund  
Black Creek Global Leaders Fund  
Black Creek International Equity Fund  
Cambridge American Equity Fund  
Cambridge Canadian Dividend Fund  
Cambridge Global Dividend Fund  
Cambridge Global High Income Fund  
Cambridge Income Fund  
Cambridge Pure Canadian Equity Fund  
Cambridge Stock Selection Fund  
Cambridge U.S. Dividend Fund  
Cambridge U.S. Dividend Registered Fund  
Cambridge U.S. Dividend US\$ Fund  
CI American Small Companies Fund  
CI American Value Fund  
CI Canadian Dividend Fund  
CI Canadian Investment Fund  
CI Canadian Small/Mid Cap Fund  
CI Global High Dividend Advantage Fund  
CI Global Small Companies Fund  
CI Global Value Fund  
CI Income Fund  
CI International Value Fund  
CI Investment Grade Bond Fund  
CI Money Market Fund  
CI Pacific Fund  
CI U.S. Income US\$ Pool  
CI US Money Market Fund  
Harbour Fund  
Harbour Growth & Income Fund  
Lawrence Park Strategic Income Fund  
Marret High Yield Bond Fund  
Marret Short Duration High Yield Fund  
Marret Strategic Yield Fund  
Portfolio Series Balanced Fund  
Portfolio Series Balanced Growth Fund  
Portfolio Series Conservative Balanced Fund  
Portfolio Series Conservative Fund  
Portfolio Series Growth Fund  
Portfolio Series Income Fund  
Portfolio Series Maximum Growth Fund  
Synergy American Fund  
Synergy Tactical Asset Allocation Fund  
Cambridge Canadian Growth Companies Fund Principal Regulator - Ontario

**Type and Date:**

Amendment#3 to the Final Simplified Prospectus dated March 10,

Received on March 13, 2017

**Offering Price and Description:**

-

**Underwriter(s) or Distributor(s):**

n/a

**Promoter(s):**

CI Investments Inc.  
Project #2494270

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**Issuer Name:**

BonaVista Canadian Equity Value Fund  
BonaVista Global Balanced Fund  
Principal Regulator - Ontario

**Type and Date:**

Amendment #2 to Final Simplified Prospectus dated March 13, 2017  
Received on March 13, 2017

**Offering Price and Description:**

-

**Underwriter(s) or Distributor(s):**

Phillips, Hager & North Investment Funds Ltd.

**Promoter(s):**

RBC Global Asset Management Inc.  
Project #2485597

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**Issuer Name:**

Diversified Fixed Income Folio Fund  
Principal Regulator - Ontario

**Type and Date:**

Amendment #3 to Final Simplified Prospectus dated February 27, 2017  
NP 11-202 Receipt dated March 10, 2017

**Offering Price and Description:**

Quadrus Series, H Series, L Series, N Series and QF Series

**Underwriter(s) or Distributor(s):**

Quadrus Investment Services Ltd.  
Quadrus Investment Services Inc.  
none  
N/A

**Promoter(s):**

Mackenzie Financial Corporation  
Project #2481507

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**Issuer Name:**

FT Balanced Growth Pool  
FT Balanced Income Pool  
FT Growth Pool  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Simplified Prospectus dated March 10, 2017  
NP11-202 Preliminary Receipt dated March 10, 2017

**Offering Price and Description:**

Series O Units

**Underwriter(s) or Distributor(s):**

Franklin Templeton Investments Corp.  
FTC Investor Services Inc.

**Promoter(s):**

Franklin Templeton Investments Corp.  
Project #2594453

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**Issuer Name:**

Greystone Canadian Equity Income & Growth Fund  
Principal Regulator - Ontario

**Type and Date:**

Amendment #2 to Final Simplified Prospectus dated February 23, 2017  
NP 11-202 Receipt dated March 8, 2017

**Offering Price and Description:**

-

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

Brandes Investment Partners & Co.  
Project #2517821

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**Issuer Name:**

LifePoints Balanced Class  
LifePoints Balanced Growth Class  
LifePoints Balanced Growth  
LifePoints Balanced Income Class  
LifePoints Balanced Income  
LifePoints Balanced  
LifePoints Long-Term Growth Class  
LifePoints Long-Term Growth  
Russell Investments Income Essentials  
Russell Investments Diversified Monthly Income  
Russell Investments Income Essentials Class  
Russell Investments Diversified Monthly Income Class  
Principal Regulator - Ontario

**Type and Date:**

Amendment #3 to Final Simplified Prospectus dated February 23, 2017  
NP 11-202 Receipt dated March 13, 2017

**Offering Price and Description:**

-

**Underwriter(s) or Distributor(s):**

Russell Investments Canada Limited  
N/A

**Promoter(s):**

Russell Investments Canada Limited  
Project #2492228

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**Issuer Name:**

Mackenzie Canadian All Cap Balanced Class  
Mackenzie Canadian All Cap Balanced Fund  
Mackenzie Canadian All Cap Dividend Class  
Mackenzie Canadian All Cap Dividend Fund  
Mackenzie Canadian All Cap Value Class  
Mackenzie Canadian All Cap Value Fund  
Mackenzie Canadian Bond Fund  
Mackenzie Canadian Growth Balanced Fund  
Mackenzie Canadian Growth Class  
Mackenzie Canadian Growth Fund  
Mackenzie Canadian Large Cap Balanced Fund  
Mackenzie Canadian Large Cap Dividend & Growth Fund  
Mackenzie Canadian Large Cap Dividend Class  
Mackenzie Canadian Large Cap Dividend Fund  
Mackenzie Canadian Large Cap Growth Fund  
Mackenzie Canadian Money Market Class  
Mackenzie Canadian Money Market Fund  
Mackenzie Canadian Resource Fund  
Mackenzie Canadian Short Term Income Fund  
Mackenzie Canadian Small Cap Value Class  
Mackenzie Canadian Small Cap Value Fund  
Mackenzie Corporate Bond Fund  
Mackenzie Cundill Canadian Balanced Fund  
Mackenzie Cundill Canadian Security Class  
Mackenzie Cundill Canadian Security Fund  
Mackenzie Cundill Recovery Class  
Mackenzie Cundill Recovery Fund  
Mackenzie Cundill US Class  
Mackenzie Cundill Value Class  
Mackenzie Cundill Value Fund  
Mackenzie Diversified Alternatives Fund  
Mackenzie Emerging Markets Class  
Mackenzie Emerging Markets Opportunities Class  
Mackenzie Floating Rate Income Fund  
Mackenzie Global Concentrated Equity Fund  
Mackenzie Global Dividend Fund  
Mackenzie Global Growth Class  
Mackenzie Global Low Volatility Fund  
Mackenzie Global Resource Class  
Mackenzie Global Small Cap Growth Class  
Mackenzie Global Small Cap Growth Fund  
Mackenzie Global Strategic Income Fund  
Mackenzie Global Tactical Bond Fund  
Mackenzie Global Tactical Investment Grade Bond Fund  
Mackenzie Gold Bullion Class  
Mackenzie Growth Fund  
Mackenzie High Diversification Canadian Equity Class  
Mackenzie High Diversification Emerging Markets Equity Fund  
Mackenzie High Diversification European Equity Fund  
Mackenzie High Diversification Global Equity Fund  
Mackenzie High Diversification International Equity Fund  
Mackenzie High Diversification US Equity Fund  
Mackenzie Income Fund  
Mackenzie International Growth Class  
Mackenzie International Growth Fund  
Mackenzie Investment Grade Floating Rate Fund  
Mackenzie Ivy Canadian Balanced Class  
Mackenzie Ivy Canadian Balanced Fund  
Mackenzie Ivy Canadian Fund  
Mackenzie Ivy European Class  
Mackenzie Ivy Foreign Equity Class

Mackenzie Ivy Foreign Equity Currency Neutral Class  
Mackenzie Ivy Foreign Equity Fund  
Mackenzie Ivy Global Balanced Class  
Mackenzie Ivy Global Balanced Fund  
Mackenzie Ivy International Equity Fund  
Mackenzie Monthly Income Balanced Portfolio  
Mackenzie Monthly Income Conservative Portfolio  
Mackenzie North American Corporate Bond Fund  
Mackenzie Precious Metals Class  
Mackenzie Private Canadian Focused Equity Pool  
Mackenzie Private Canadian Focused Equity Pool Class  
Mackenzie Private Canadian Money Market Pool  
Mackenzie Private Global Conservative Income Balanced Pool  
Mackenzie Private Global Equity Pool  
Mackenzie Private Global Equity Pool Class  
Mackenzie Private Global Fixed Income Pool  
Mackenzie Private Global Income Balanced Pool  
Mackenzie Private Income Balanced Pool  
Mackenzie Private Income Balanced Pool Class  
Mackenzie Private US Equity Pool  
Mackenzie Private US Equity Pool Class  
Mackenzie Strategic Bond Fund  
Mackenzie Strategic Income Fund  
Mackenzie Unconstrained Fixed Income Fund  
Mackenzie US All Cap Growth Fund  
Mackenzie US Dividend Fund  
Mackenzie US Dividend Registered Fund  
Mackenzie US Growth Class  
Mackenzie US Large Cap Class  
Mackenzie US Low Volatility Fund  
Mackenzie US Mid Cap Growth Class  
Mackenzie US Mid Cap Growth Currency Neutral Class  
Mackenzie USD Global Strategic Income Fund  
Mackenzie USD Global Tactical Bond Fund  
Mackenzie USD Ultra Short Duration Income Fund  
Symmetry Balanced Portfolio  
Symmetry Balanced Portfolio Class  
Symmetry Conservative Income Portfolio  
Symmetry Conservative Income Portfolio Class  
Symmetry Conservative Portfolio  
Symmetry Conservative Portfolio Class  
Symmetry Equity Portfolio Class  
Symmetry Fixed Income Portfolio  
Symmetry Growth Portfolio  
Symmetry Growth Portfolio Class  
Symmetry Moderate Growth Portfolio  
Symmetry Moderate Growth Portfolio Class  
Mackenzie Canadian Growth Balanced Class  
Principal Regulator - Ontario

**Type and Date:**

Amended and Restated Final Simplified Prospectus dated March 10, 2017

Received on March 10, 2017

**Offering Price and Description:**

-

**Underwriter(s) or Distributor(s):**

Quadrus Investment Services Ltd.  
LBC Financial Services Inc.

**Promoter(s):**

Mackenzie Financial Corporation

**Project #2516157**

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**Issuer Name:**

Mackenzie Strategic Bond Fund  
Symmetry Fixed Income Portfolio  
Principal Regulator - Ontario

**Type and Date:**

Amendment #2 to Final Simplified Prospectus dated March 10, 2017

Received on March 10, 2017

**Offering Price and Description:**

-

**Underwriter(s) or Distributor(s):**

LBC Financial Services Inc.

**Promoter(s):**

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**Project #2539681**

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**Issuer Name:**

Marquest 2017-I Mining Super Flow-Through Limited  
Partnership - National Class  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Long Form Prospectus dated March 9, 2017

NP11-202 Preliminary Receipt dated March 10, 2017

**Offering Price and Description:**

Maximum Offering: \$20,000,000 - 2,000,000 Marquest  
2017-I National Class Units

Minimum Offering: \$2,500,000 - 250,000 Marquest 2017-I  
National Class Units)

(subject to a minimum of 250,000 Québec Class Units  
being sold)

Price: \$10.00 per Marquest 2017-I National Class Unit

Minimum Subscription: \$2,500 (250 National Class Units)

**Underwriter(s) or Distributor(s):**

National Bank Financial Inc.

CIBC World Markets Inc.

Scotia Capital Inc.

BMO Nesbitt Burns Inc.

RBC Dominion Securities Inc.

TD Securities Inc.

Desjardins Securities Inc.

Industrial Alliance Securities Inc.

Canaccord Genuity Corp.

GMP Securities L.P.

Raymond James Ltd.

Manulife Securities Incorporated

Echelon Wealth Partners Inc.

Laurentian Bank Securities Inc.

**Promoter(s):**

Marquest Asset Management Inc.

**Project #2594042**

**Issuer Name:**

Marquest 2017-I Mining Super Flow-Through Limited  
Partnership - Québec Class  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Long Form Prospectus dated March 9, 2017

NP11-202 Preliminary Receipt dated March 10, 2017

**Offering Price and Description:**

Maximum Offering: \$20,000,000 - 2,000,000 Marquest  
2017-I Quebec Class Units

Minimum Offering: \$2,500,000 - 250,000 Marquest 2017-I  
Quebec Class Units)

(subject to a minimum of 250,000 National Class Units  
being sold)

Price: \$10.00 per Marquest 2017-I Quebec Class Unit

Minimum Subscription: \$2,500 (250 Quebec Class Units)

**Underwriter(s) or Distributor(s):**

National Bank Financial Inc.

CIBC World Markets Inc.

Scotia Capital Inc.

BMO Nesbitt Burns Inc.

RBC Dominion Securities Inc.

TD Securities Inc.

Desjardins Securities Inc.

Industrial Alliance Securities Inc.

Canaccord Genuity Corp.

GMP Securities L.P.

Raymond James Ltd.

Manulife Securities Incorporated

Echelon Wealth Partners Inc.

Laurentian Bank Securities Inc.

**Promoter(s):**

Marquest Asset Management Inc.

**Project #2594041**

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**Issuer Name:**

Multi-Asset Equity Completion  
Russell Investments Multi-Factor International Equity Pool  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Simplified Prospectus dated March 3, 2017

NP11-202 Preliminary Receipt dated March 6, 2017

**Offering Price and Description:**

Series B, F and O Units

**Underwriter(s) or Distributor(s):**

Russell Investments Canada Limited

**Promoter(s):**

Russell Investments Canada Limited

**Project #2592698**

**Issuer Name:**

PowerShares FTSE RAFI Global Small-Mid Fundamental ETF  
PowerShares Global Shareholder Yield ETF  
PowerShares Low Volatility Portfolio ETF  
PowerShares Tactical Bond ETF  
Principal Regulator - Ontario

**Type and Date:**

Final Long Form Prospectus dated March 10, 2017  
NP 11-202 Receipt dated March 10, 2017

**Offering Price and Description:**

CAD Units and USD Units @ Net Assets Units

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

INVESCO CANADA LTD.

**Project #2575425**

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**Issuer Name:**

RBC Canadian Preferred Share ETF  
RBC Quant Canadian Dividend Leaders ETF  
RBC Quant Canadian Equity Leaders ETF  
RBC Quant EAFE Dividend Leaders (CAD Hedged) ETF  
RBC Quant EAFE Dividend Leaders ETF  
RBC Quant EAFE Equity Leaders (CAD Hedged) ETF  
RBC Quant EAFE Equity Leaders ETF  
RBC Quant Emerging Markets Dividend Leaders ETF  
RBC Quant Emerging Markets Equity Leaders ETF  
RBC Quant European Dividend Leaders (CAD Hedged) ETF  
RBC Quant European Dividend Leaders ETF  
RBC Quant Global Infrastructure Leaders ETF  
RBC Quant Global Real Estate Leaders ETF  
RBC Quant U.S. Dividend Leaders (CAD Hedged) ETF  
RBC Quant U.S. Dividend Leaders ETF  
RBC Quant U.S. Equity Leaders (CAD Hedged) ETF  
RBC Quant U.S. Equity Leaders ETF  
RBC Strategic Global Dividend Leaders ETF  
RBC Strategic Global Equity Leaders ETF  
Principal Regulator - Ontario

**Type and Date:**

Combined Preliminary and Pro Forma Long Form Prospectus dated March 10, 2017  
NP11-202 Preliminary Receipt dated March 13, 2017

**Offering Price and Description:**

CAD Units and USD Units

**Underwriter(s) or Distributor(s):**

RBC Global Asset Management Inc.

N/A

**Promoter(s):**

RBC Global Asset Management Inc.

**Project #2594570**

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**Issuer Name:**

RP Strategic Income Plus Fund  
Principal Regulator - Ontario

**Type and Date:**

Final Simplified Prospectus dated March 8, 2017  
NP 11-202 Receipt dated March 9, 2017

**Offering Price and Description:**

Class A, Class F and Class O Units @ Net Asset Value

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

RP Investment Advisors LP

**Project #2577269**

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**Issuer Name:**

Sprott Global Real Estate Fund  
Principal Regulator - Ontario

**Type and Date:**

Amendment #2 to Final Simplified Prospectus dated February 7, 2017  
NP 11-202 Receipt dated March 7, 2017

**Offering Price and Description:**

Series T and Series FT securities @ Net Asset Value

**Underwriter(s) or Distributor(s):**

N/A

**Promoter(s):**

Sprott Asset Management LP

**Project #2490444**

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NON-INVESTMENT FUNDS

**Issuer Name:**

Algonquin Power & Utilities Corp.  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Shelf Prospectus dated March 10, 2017  
NP 11-202 Preliminary Receipt dated March 10, 2017

**Offering Price and Description:**

\$2,000,000,000.00 - Subscription Receipts, Preferred  
Shares, Common Shares, Warrants, Units

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

-

**Project #2594534**

**Issuer Name:**

Hampton Financial Corporation  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Long Form Prospectus dated March 9, 2017  
NP 11-202 Preliminary Receipt dated March 10, 2017

**Offering Price and Description:**

Maximum \$20,000,000.00 - Up to 2,000,000 Units  
Price: \$10.00 per Unit

**Underwriter(s) or Distributor(s):**

M Partners Inc.

**Promoter(s):**

Peter M. Deeb

**Project #2594076**

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**Issuer Name:**

Black Diamond Group Limited  
Principal Regulator - Alberta

**Type and Date:**

Preliminary Short Form Prospectus dated March 10, 2017  
NP 11-202 Preliminary Receipt dated March 10, 2017

**Offering Price and Description:**

\$28,999,998.75 - 7,733,333 Common Shares  
Price: \$3.75 per Common Share

**Underwriter(s) or Distributor(s):**

Raymond James Ltd.

Peters & Co. Limited

BMO Nesbitt Burns Inc.

Cormark Securities Inc.

Acumen Capital Finance Partners Limited

National Bank Financial Inc.

**Promoter(s):**

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**Project #2592850**

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**Issuer Name:**

LottoGopher Holdings Inc.  
Principal Regulator - British Columbia

**Type and Date:**

Preliminary Long Form Prospectus dated March 10, 2017  
NP 11-202 Preliminary Receipt dated March 10, 2017

**Offering Price and Description:**

11,463,000 Common Shares and 5,731,500 Common  
Share Purchase Warrants issuable on deemed exercise of  
outstanding Subscription Receipts

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

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**Project #2594582**

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**Issuer Name:**

Sun Life Financial Inc.  
Principal Regulator - Ontario

**Type and Date:**

Preliminary Shelf Prospectus dated March 10, 2017  
NP 11-202 Preliminary Receipt dated March 10, 2017

**Offering Price and Description:**

\$5,000,000,000.00

Debt Securities

Class A Shares

Class B Shares

Common Shares

Subscription Receipts

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

-

**Project #2594440**

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**Issuer Name:**

BUFFALO CAPITAL INC.  
Principal Regulator - Manitoba

**Type and Date:**

Preliminary CPC Prospectus dated March 10, 2017  
NP 11-202 Preliminary Receipt dated March 10, 2017

**Offering Price and Description:**

Maximum Offering: \$300,000.00 - 1,200,000 Common  
Shares

Minimum Offering: \$200,000.00 - \$800,000 Common  
Shares

Price: \$0.25 per Common Share

**Underwriter(s) or Distributor(s):**

PI Financial Corp.

**Promoter(s):**

Albert D. Friesen

**Project #2594483**

**Issuer Name:**

Trenchant Capital Corp.  
Principal Regulator - British Columbia

**Type and Date:**

Preliminary Long Form Prospectus dated March 7, 2017  
NP 11-202 Preliminary Receipt dated March 7, 2017

**Offering Price and Description:**

Maximum Offering: \$20,000,000.00  
Minimum Offering: \$5,000,000.00  
9% Secured Convertible Debentures  
Price: \$1,000.00 per Debenture

**Underwriter(s) or Distributor(s):**

Industrial Alliance Securities Inc.  
Canaccord Genuity Corp.  
GMP Securities L.P.  
Raymond James Ltd.  
Echelon Wealth Partners Inc.  
Mackie Research Capital Corporation  
PI Financial Corp.  
Hampton Securities Limited  
Integral Wealth Securities Limited  
Leede Jones Gable Inc.

**Promoter(s):**

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**Project #2593147**

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**Issuer Name:**

UrtheCast Corp.  
Principal Regulator - British Columbia

**Type and Date:**

Preliminary Short Form Prospectus dated March 9, 2017  
NP 11-202 Preliminary Receipt dated March 9, 2017

**Offering Price and Description:**

\$17,000,010.00 - 11,333,340 Common Shares  
Price: \$1.50 per Common Share

**Underwriter(s) or Distributor(s):**

Clarus Securities Inc.  
Canaccord Genuity Corp.  
Raymond James Ltd.  
Eight Capital

**Promoter(s):**

-

**Project #2593106**

**Issuer Name:**

Argonaut Gold Inc.  
Principal Regulator - Ontario

**Type and Date:**

Final Short Form Prospectus dated March 7, 2017  
NP 11-202 Receipt dated March 7, 2017

**Offering Price and Description:**

\$40,080,000.00 - 16,700,000 Common Shares at a price of  
\$2.40 per Offered Share

**Underwriter(s) or Distributor(s):**

BMO Nesbitt Burns Inc.  
Desjardins Securities Inc.  
GMP Securities L.P.  
RBC Dominion Securities Inc.  
Scotia Capital Inc.  
Canaccord Genuity Corp.  
Cormark Securities Inc.  
Mackie Research Capital Corporation

**Promoter(s):**

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**Project #2588271**

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**Issuer Name:**

Blackbird Energy Inc.  
Principal Regulator - Alberta

**Type and Date:**

Final Short Form Prospectus dated March 9, 2017  
NP 11-202 Receipt dated March 9, 2017

**Offering Price and Description:**

Up to \$81,012,000.00 - Up to 110,000,000 Common  
Shares, Price \$0.55 per Common Share  
Up to 25,781,250 CEE Flow-Through Shares, Price \$0.64  
per CEE Flow-Through Share  
Up to 6,800,000 CDE Flow-Through Shares, Price \$0.59  
per CDE Flow-Through Share

**Underwriter(s) or Distributor(s):**

Cormark Securities Inc.  
TD Securities Inc.  
BMO Nesbitt Burns Inc.  
Scotia Capital Inc.  
Haywood Securities Inc.  
Laurentian Bank Securities Inc.  
Raymond James Ltd.

**Promoter(s):**

-

**Project #2588360**

**Issuer Name:**

Canadian Imperial Bank of Commerce

**Type and Date:**

Final Shelf Prospectus dated March 6, 2017

Received on March 7, 2017

**Offering Price and Description:**

US\$10,000,000,000.00 - Senior Debt Securities (unsubordinated indebtedness), Subordinated Debt Securities (subordinated indebtedness), Common Shares

**Underwriter(s) or Distributor(s):**

-

**Promoter(s):**

-

**Project #2587533**

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**Issuer Name:**

Dream Global Real Estate Investment Trust

Principal Regulator - Ontario

**Type and Date:**

Final Short Form Prospectus dated March 13, 2017

NP 11-202 Receipt dated March 13, 2017

**Offering Price and Description:**

\$100,032,000.00 - 10,420,000 Units at a price of \$9.60 per Unit.

**Underwriter(s) or Distributor(s):**

TD Securities Inc.  
CIBC World Markets Inc.  
Scotia Capital Inc.  
RBC Dominion Securities Inc.  
BMO Nesbitt Burns Inc.  
Canaccord Genuity Corp.  
Desjardins Securities Inc.  
National Bank Financial Inc.  
GMP Securities L.P.

**Promoter(s):**

-

**Project #2589704**

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**Issuer Name:**

First Capital Realty Inc.

Principal Regulator - Ontario

**Type and Date:**

Final Short Form Prospectus dated March 10, 2017

NP 11-202 Receipt dated March 10, 2017

**Offering Price and Description:**

\$185,400,000.00 - 9,000,000 Common Shares

**Underwriter(s) or Distributor(s):**

TD Securities Inc.  
National Bank Financial Inc.  
CIBC World Markets Inc.  
Scotia Capital Inc.  
RBC Dominion Securities Inc.  
BMO Nesbitt Burns Inc.  
Canaccord Genuity Corp.  
Desjardins Securities Inc.

**Promoter(s):**

-

**Project #2591225**

**Issuer Name:**

Golden Predator Mining Corp.

Principal Regulator - British Columbia

**Type and Date:**

Final Short Form Prospectus dated March 7, 2017

NP 11-202 Receipt dated March 8, 2017

**Offering Price and Description:**

\$15,000,550.00 - 6,250,000 Common Shares - Price: \$1.60 per Offering Share

2,703,000 Flow-Through Common Shares - \$1.85 per FT Share

**Underwriter(s) or Distributor(s):**

Clarus Securities Inc.  
GMP Securities L.P.

**Promoter(s):**

-

**Project #2585742**

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**Issuer Name:**

InterRent Real Estate Investment Trust

Principal Regulator - Ontario

**Type and Date:**

Final Short Form Prospectus dated March 8, 2017

NP 11-202 Receipt dated March 8, 2017

**Offering Price and Description:**

\$75,033,600.00 (9,770,000 trust units) at a price of \$7.68 per Offered Unit

**Underwriter(s) or Distributor(s):**

BMO Nesbitt Burns Inc.  
Canaccord Genuity Corp.  
Desjardins Securities Inc.  
GMP Securities L.P.  
Scotia Capital Inc.  
TD Securities Inc.  
CIBC World Markets Inc.  
RBC Dominion Securities Inc.  
Industrial Alliance Securities Inc.  
National Bank Financial Inc.  
Raymond James Ltd.  
Echelon Wealth Partners Inc.  
Eight Capital

**Promoter(s):**

-

**Project #2588261**

**Issuer Name:**

Lithium X Energy Corp.  
Principal Regulator - British Columbia

**Type and Date:**

Final Short Form Prospectus dated March 8, 2017  
NP 11-202 Receipt dated March 8, 2017

**Offering Price and Description:**

\$15,010,000 - 7,900,000 Common Shares at a price of  
\$1.90 per Offered Share

**Underwriter(s) or Distributor(s):**

Canaccord Genuity Corp.  
GMP Securities L.P.

**Promoter(s):**

Brian Paes-Braga  
**Project #2587049**

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**Issuer Name:**

North American Energy Partners Inc.  
Principal Regulator - Alberta

**Type and Date:**

Final Short Form Prospectus dated March 8, 2017  
NP 11-202 Receipt dated March 8, 2017

**Offering Price and Description:**

\$40,000,000.00 - 5.50% Convertible Unsecured  
Subordinated Debentures

**Underwriter(s) or Distributor(s):**

National Bank Financial Inc.  
Canaccord Genuity Corp.  
BMO Nesbitt Burns Inc.  
CIBC World Markets Inc.  
HSBC Securities (Canada) Inc.  
Raymond James Ltd.

**Promoter(s):**

-

**Project #2586443**

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**Issuer Name:**

Prairie Provident Resources Inc.  
Principal Regulator - Alberta

**Type and Date:**

Final Short Form Prospectus dated March 8, 2017  
NP 11-202 Receipt dated March 9, 2017

**Offering Price and Description:**

\$4,000,150.00  
5,195,000 Flow-Through Shares - \$0.77 per Flow-Through  
Share  
\$4,000,570.00  
5,971,000 Subscription Receipts each representing the  
right to receive one Unit - \$0.67 per Subscription Receipt

**Underwriter(s) or Distributor(s):**

Mackie Research Capital Corporation  
Beacon Securities Limited  
Industrial Alliance Securities Inc.

**Promoter(s):**

-

**Project #2586067**

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**Issuer Name:**

TAG Oil Ltd  
Principal Regulator - British Columbia

**Type and Date:**

Final Short Form Prospectus dated March 10, 2017  
NP 11-202 Receipt dated March 10, 2017

**Offering Price and Description:**

Up to \$14,995,500.00  
Up to 23,070,000 Units at a Price: \$0.65 per Unit

**Underwriter(s) or Distributor(s):**

Mackie Research Capital Corporation

**Promoter(s):**

-

**Project #2584211**

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**Issuer Name:**

Tricon Capital Group Inc.  
Principal Regulator - Ontario

**Type and Date:**

Final Short Form Prospectus dated March 10, 2017  
NP 11-202 Receipt dated March 10, 2017

**Offering Price and Description:**

C\$174,982,500.00 - 17,675,000 Subscription Receipts  
each representing the right to receive one Common Share  
at a

price of C\$9.90 per Subscription Receipt  
and

US\$150,000,000.00 - 5.75% Extendible Convertible  
Unsecured Subordinated Debentures at a price of \$1,000  
per Debenture

**Underwriter(s) or Distributor(s):**

RBC Dominion Securities Inc.  
GMP Securities L.P.  
BMO Nesbitt Burns Inc.  
TD Securities Inc.  
National Bank Financial Inc.  
Scotia Capital Inc.  
CIBC World Markets Inc.  
Raymond James Ltd.  
Canaccord Genuity Corp.  
Paradigm Capital Inc.

**Promoter(s):**

-

**Project #2588607**

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**Issuer Name:**

Uranium Energy Corp.  
Principal Regulator - British Columbia

**Type and Date:**

Final Prospectus - MJDS dated March 10, 2017  
NP 11-202 Receipt dated March 10, 2017

**Offering Price and Description:**

\$100,000,000.00 - Common Shares, Debt Securities,  
Warrants, Subscription Receipts, Units

**Underwriter(s) or Distributor(s):**

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**Promoter(s):**

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**Project #2571895**

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## Chapter 12

# Registrations

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### 12.1.1 Registrants

Type	Company	Category of Registration	Effective Date
New Registration	Girard Investor Services Inc.	Exempt Market Dealer	March 7, 2017
Change in Registration Category	MaRS VX	From: Restricted Dealer To: Exempt Market Dealer	March 8, 2017
New Registration	Cornerstone Investment Counsel Ltd.	Portfolio Manager and Investment Fund Manager	March 9, 2017
Name Change	From: Acernis Capital Management Inc. To: Virtual Brokers Wealth Management Inc.	Portfolio Manager	January 20, 2017

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## Chapter 13

# SROs, Marketplaces, Clearing Agencies and Trade Repositories

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### 13.3 Clearing Agencies

#### 13.3.1 CDS – Material Amendments to CDS Rules – Automatic and Discretionary Suspension – Notice of Commission Approval

##### CDS CLEARING AND DEPOSITORY SERVICES INC.

##### MATERIAL AMENDMENTS TO CDS RULES – AUTOMATIC AND DISCRETIONARY SUSPENSION

##### NOTICE OF COMMISSION APPROVAL

In accordance with the Rule Protocol between the Ontario Securities Commission (Commission) and CDS Clearing and Depository Services Inc. (CDS), the Commission approved on March 10, 2017 Material Amendments to CDS Rules – Automatic and Discretionary Suspension.

A copy of the CDS notice was published for comment on October 20, 2016 on the Commission's website at: <http://www.osc.gov.on.ca>. No comments were received

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