

The Ontario Securities Commission

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The Ontario Securities Commission exercises its regulatory oversight function through the administration and enforcement of Ontario's *Securities Act* (R.S.O. 1990, c. S.5) and *Commodity Futures Act* (R.S.O. 1990, c. C.20), and administration of certain provisions of the *Business Corporations Act* (R.S.O. 1990, c. B.16).

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A. Capital Markets Tribunal

A.1 Notices of Hearing

A.1.1 Royal Bank of Canada – s. 127(1)

FILE NO.: 2023-32

**IN THE MATTER OF
ROYAL BANK OF CANADA**

NOTICE OF HEARING

Section 127(1) of the *Securities Act*, RSO 1990, c S.5

PROCEEDING TYPE: Public Settlement Hearing

HEARING DATE AND TIME: November 3, 2023 at 8:00 a.m.

LOCATION: By videoconference

PURPOSE

The purpose of this hearing is to consider whether it is in the public interest for the Capital Markets Tribunal to approve the Settlement Agreement dated October 25, 2023, between Staff of the Commission and Royal Bank of Canada in respect of the Statement of Allegations filed by Staff of the Commission dated November 1, 2023.

REPRESENTATION

Any party to the proceeding may be represented by a representative at the hearing.

FAILURE TO ATTEND

IF A PARTY DOES NOT ATTEND, THE HEARING MAY PROCEED IN THE PARTY'S ABSENCE AND THE PARTY WILL NOT BE ENTITLED TO ANY FURTHER NOTICE IN THE PROCEEDING.

FRENCH HEARING

This Notice of Hearing is also available in French on request of a party. Participation may be in either French or English. Participants must notify the Tribunal in writing as soon as possible if the participant is requesting a proceeding be conducted wholly or partly in French.

AVIS EN FRANÇAIS

L'avis d'audience est disponible en français sur demande d'une partie, que la participation à l'audience peut se faire en français ou en anglais et que les participants doivent aviser le Tribunal par écrit dès que possible si le participant demande qu'une instance soit tenue entièrement ou partiellement en français.

Dated at Toronto this 2nd day of November, 2023

Registrar, Governance & Tribunal Secretariat
Ontario Securities Commission

For more information

Please visit capitalmarketstribunal.ca or contact the Registrar at registrar@osc.gov.on.ca.

IN THE MATTER OF
ROYAL BANK OF CANADA

STATEMENT OF ALLEGATIONS

(Subsections 127(1) and 127.1 of the *Securities Act*, RSO 1990 c S.5)

A. OVERVIEW

1. Accurate financial disclosure is a cornerstone principle of Ontario securities law identified in the *Securities Act* and is fundamental to achieving the purposes of the *Act*. The proper recording of business transactions and financial affairs is an important obligation of reporting issuers. The maintenance of appropriate books and records requires that reporting issuers prepare and maintain those books and records in compliance with applicable accounting standards and their related internal accounting policies. It is essential that reporting issuers remediate identified non-compliance with their internal accounting policies to ensure compliance with Ontario securities law.
2. This matter concerns the books and records of Royal Bank of Canada (**RBC**) as they relate to costs incurred by RBC to develop software applications internally as opposed to purchasing software from third-party vendors. Under accounting rules, certain costs associated with creating such internally developed software (**IDS**) may be eligible for capitalization and recorded as intangible assets on RBC's balance sheet rather than being recorded as expenses. However, such costs must meet certain criteria including that they relate to the development of assets which, among other things, are expected to generate future economic benefits.
3. IDS includes all software applications developed using RBC staff and other internal resources as opposed to the purchase of an "off the shelf" application from a third-party vendor.
4. Although there was no material impact on RBC's financial statements, RBC's books and records and internal accounting controls and processes relating to the capitalization of IDS were deficient because they failed to provide support for RBC's capitalized IDS costs.
5. For many years, RBC applied a practical expedient whereby it aggregated the costs of its smaller IDS projects into a single pool and capitalized a percentage of those costs by applying a single capitalization rate to all projects in the pool (the **Pool Method**). The Pool Method had a number of control and process deficiencies. From 2008 through 2020, RBC included projects in the pool that were ineligible for capitalization. Also, during this period, the Pool Method suffered from additional shortcomings:
 - (a) From 2008 to 2016, RBC estimated the capitalization rate to be 78% with limited supporting analysis; and
 - (b) Starting in 2017, RBC instituted a "rate study" to determine whether its continued use of the 78% capitalization rate remained appropriate. The rate studies were intended to be a key control in support of RBC's IDS cost capitalization estimation process, but the studies were unreliable and did not provide sufficient support for the 78% capitalization rate due to a number of deficiencies with respect to key inputs into the study and the lack of documentation to support project costs.
6. Finally, RBC also lacked effective impairment assessment and amortization controls and procedures, which resulted in RBC carrying capitalized IDS assets in the large programs (defined below) on its balance sheet at full book value when those assets should have been amortized over time commencing when they became available for use or written off, if they were impaired.

B. FACTS

Staff of the Enforcement Branch of the Ontario Securities Commission (**Enforcement Staff**) makes the following allegations of fact:

Background

7. RBC is a Schedule 1 Bank under the *Bank Act* (Canada) incorporated and domiciled in Canada. Its corporate headquarters are located in Toronto, Ontario and its head office is located in Montreal, Quebec. RBC is a reporting issuer in all provinces and territories of Canada, and its principal regulator is the *Autorité des Marchés Financiers*. RBC's common shares are listed on the Toronto Stock Exchange and New York Stock Exchange with the ticker symbol RY.
8. RBC is Canada's largest bank whether on the basis of assets, revenue, net income or market capitalization. At October 31, 2022, RBC reported total assets of \$1.9 trillion. RBC's total revenue in fiscal 2022 was \$49.0 billion, with \$15.8 billion of net income. Its market capitalization at the end of fiscal 2022 was approximately \$174.3 billion.

9. RBC's consolidated financial statements filed with the Ontario Securities Commission are prepared in accordance with International Financial Reporting Standards (**IFRS**).

Applicable Accounting Standards

10. Under applicable accounting standards, in order to qualify as an intangible asset, the expenditure must, among other things, provide future economic benefits and its cost must be subject to reliable measurement. Costs incurred during the development phase of an IDS project are eligible for capitalization provided other applicable criteria are met. Costs incurred for the investigation and planning for potential new IDS projects during the initial research phase and costs associated with maintaining or decommissioning existing IDS must be expensed.
11. Applicable accounting standards also require an entity to assess, at the end of each reporting period, whether there is any indication that an asset, including internally developed intangible assets, may be impaired. Any indicator of impairment requires the entity to then consider whether the carrying amount of the asset exceeds its recoverable amount and, if yes, to reduce the carrying amount to its recoverable amount. For instance, if an entity no longer uses an IDS application, the asset is likely impaired and its carrying amount should be written down to the amount to be recovered through its use, which is typically zero. The applicable accounting standards also require an annual assessment of impairment of intangible assets not yet available for use.

RBC's Internally Developed Software Accounting

Growth in IDS at RBC

12. RBC's spending on IDS, and capitalization of associated costs, has grown over the years. RBC capitalized approximately \$658 million of IDS assets in 2011. That amount grew to \$1.3 billion by 2022. Likewise, the amount spent on pooled projects has grown from approximately \$100 million at the inception of the pool to approximately \$600-700 million.

Accounting for IDS at RBC

13. Generally, RBC's process for capitalizing IDS project costs has depended on the dollar-value of the project. Projects estimated to cost more than \$5 million (increased to more than \$10 million in 2020) are designated as "large program" projects and individually reviewed to determine the amount of costs eligible for capitalization. Projects that have an expected cost of \$5 million or less (later increased to \$10 million or less) are subjected to the Pool Method developed by RBC.
14. The Pool Method, a component of RBC's internal accounting policy, aggregates the costs of RBC's smaller IDS projects into a single pool and capitalizes a percentage of those costs by applying a single capitalization rate. RBC implemented the Pool Method for administrative ease due to the time and expense it would take to assess each small project for capitalization. In later years, the IDS pool has included in excess of 1,200 projects.
15. In theory, the capitalization rate represents the estimated amount of development work eligible for capitalization relative to the total amount of work completed on each IDS project in the pool. The capitalized costs under the Pool Method are then amortized over three years starting one year from the date the costs were incurred.
16. RBC's internal accounting policy required that the capitalization rate be assessed on an annual basis and adjusted if necessary to reflect the nature of the actual expenditures.

Control Deficiencies in RBC's Pool Method

- i. RBC Used the Same Unsupported Capitalization Rate
17. From 2008 through 2020, RBC used a capitalization rate of 78%, meaning 78% of its IDS pool costs were recorded as intangible assets on its balance sheet.
18. From 2008 to 2016, RBC's purported process for calculating this capitalization rate was to:
- (a) add up salary, benefits, and professional fees for its IDS pool projects; and
 - (b) divide that number by the total costs incurred (salary, benefits, and professional fees plus rent, utilities, training, and management salaries).
19. Consequently, and as an expedient, RBC treated staffing costs and professional fees on IDS pool projects as capitalizable even when certain of these tasks were not truly capitalizable under applicable accounting standards (such as planning and maintenance expenses). This resulted in RBC overcapitalizing costs associated with its IDS pool projects and understating period expenses.

A.1: Notices of Hearing

- ii. RBC Instituted Rate Studies That Were Unreliable
- 20. In 2017, RBC began conducting studies as a key internal control to validate its estimated capitalization rate of 78%. RBC conducted additional studies in 2019, 2020, and 2021, but did not conduct a study in 2018.
- 21. The basic methodology used for the studies involved surveying employees working on IDS as to their activities to determine how much time, on average, various roles spent on capitalizable activities (**Role Rate**). RBC also sampled IDS pool projects to determine how much time was spent in the research and development stages of projects and reviewed project documentation relating to third-party contracts (**Phase Rate**). The resulting Role Rate and Phase Rate were then averaged, providing RBC with two points on a range.
- 22. The rate studies completed prior to 2021 were unreliable and failed as an internal control and did not support the capitalization rate for the following reasons:
 - (a) Starting in 2017, RBC received approximately 150 survey responses from employees. RBC did not in all cases accept the survey results. Instead, if the results of the survey did not match internal notions of a particular role's contributions to IDS project work (and thus what the capitalization rate should be) RBC disregarded the survey result and substituted a different value that more closely aligned with those internal notions;
 - (b) For the Phase Rate portion of the studies, RBC sampled 27 to 45 projects in any given year. RBC eventually determined that the relatively small sample sizes resulted in unreliable survey results;
 - (c) The sampled projects sometimes included projects that were ineligible for capitalization and should not have been in the IDS pool, such as maintenance and decommissioning projects, and canceled projects that should have been written off. These projects were in the IDS pool because RBC lacked an effective controls process to identify and exclude them. Maintenance and decommissioning projects were incorrectly coded as "development" and accounted for using the Pool Method, resulting in a percentage of associated costs being improperly capitalized as assets;
 - (d) Upon identifying ineligible projects during the study processes, RBC removed the projects from the sample as "outliers" but did not review the pool to ensure that it did not include other projects that were similarly ineligible for capitalization. The removal of "outliers" effectively undermined the representativeness of the project sample and further added to the unreliable nature of the studies;
 - (e) Once a project made it into the pool, RBC also lacked an effective process for determining whether it should remain in the pool. This included projects that were started but then canceled (and thus impaired) and should have been written off.

Lack of Documentation for Professional Fees

- 23. From at least 2017 through 2020, RBC did not have an effective system in place to track and document contracts or the associated statements of work for professional fees paid to third-party contractors in respect of IDS pool projects and large programs. The lack of documentation meant that RBC often had to rely on unverifiable information from project managers as to the content of a contract, the type of work being undertaken, and whether any or all of the activities performed by contractors on a project were capitalizable.

Control Deficiencies in RBC's Large Programs

- i. Lack of Impairment Analysis
- 24. From at least 2017 through 2020, RBC did not have an effective process to identify and report impaired IDS assets, resulting in impaired assets that should have been written off remaining on RBC's balance sheet.
- ii. Lack of Effective Amortization Start Date
- 25. From at least 2017 through 2020, RBC did not have an effective process for determining and recording the start date for amortizing capitalized costs arising out of large programs because it did not have an effective method to determine when an IDS application was available for use.

C. BREACHES AND/OR CONDUCT CONTRARY TO THE PUBLIC INTEREST

Enforcement Staff alleges the following breach of Ontario securities law and/or conduct alleged to be contrary to the public interest:

- (a) RBC failed to keep such books and records as are necessary to properly record the business transactions and financial affairs of RBC as they relate to IDS contrary to section 19(1) of the Act.

D. ORDER SOUGHT

Enforcement Staff requests that the Tribunal make the following order:

26. It is requested that the Tribunal make an order pursuant to subsection 127(1) to approve the settlement agreement entered into by RBC, on a no-contest basis, with respect to the matters set out herein.

DATED this 1st day of November, 2023.

ONTARIO SECURITIES COMMISSION
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A.2 Other Notices

A.2.1 TeknoScan Systems Inc. et al.

FOR IMMEDIATE RELEASE
November 1, 2023

**TEKNOSCAN SYSTEMS INC.,
H. SAMUEL HYAMS,
PHILIP KAI-HING KUNG AND
SOON FOO (MARTIN) TAM,
File No. 2022-19**

TORONTO – The Tribunal issued an Order in the above named matter.

A copy of the Order dated November 1, 2023 is available at capitalmarketstribunal.ca.

Registrar, Governance & Tribunal Secretariat
Ontario Securities Commission

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A.2.2 Mughal Asset Management Corporation et al.

FOR IMMEDIATE RELEASE
November 2, 2023

**MUGHAL ASSET MANAGEMENT CORPORATION,
LENLE CORPORATION AND
USMAN ASIF,
File No. 2022-15**

TORONTO – The Tribunal issued its Reasons for Decision and Reasons and Decision in the above-named matter.

A copy of the Reasons for Decision and Reasons and Decision both dated November 1, 2023 are available at capitalmarketstribunal.ca.

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Ontario Securities Commission

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A.2.3 Royal Bank of Canada

**FOR IMMEDIATE RELEASE
November 2, 2023**

**ROYAL BANK OF CANADA,
File No. 2023-32**

TORONTO – The Tribunal issued a Notice of Hearing for a hearing to consider whether it is in the public interest to approve a settlement agreement entered into by Staff of the Commission and Royal Bank of Canada in the above-named matter.

The hearing will be held on November 3, 2023 at 8:00 a.m.

A copy of the Notice of Hearing dated November 2, 2023 and Statement of Allegations dated November 1, 2023 are available at capitalmarketstribunal.ca.

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A.2.4 Royal Bank of Canada

**FOR IMMEDIATE RELEASE
November 3, 2023**

**ROYAL BANK OF CANADA,
File No. 2023-32**

TORONTO – Following a hearing held today, the Tribunal issued an Order in the above-named matter approving the Settlement Agreement reached between Staff of the Commission and Royal Bank of Canada.

A copy of the Order dated November 3, 2023, Settlement Agreement dated October 25, 2023 and Oral Reasons for Approval of a Settlement dated November 3, 2023 are available at capitalmarketstribunal.ca.

Registrar, Governance & Tribunal Secretariat
Ontario Securities Commission

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A.2.5 Mark Odorico

**FOR IMMEDIATE RELEASE
November 3, 2023**

**MARK ODORICO,
File No. 2022-18**

TORONTO – Take notice that the attendance in the above named matter scheduled to be heard on November 7, 2023 will not proceed as scheduled.

Registrar, Governance & Tribunal Secretariat
Ontario Securities Commission

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**A.2.6 Manticore Labs OÜ (o/a Coinfield) and
Manticore Labs Inc.**

**FOR IMMEDIATE RELEASE
November 6, 2023**

**MANTICORE LABS OÜ
(o/a COINFIELD) AND
MANTICORE LABS INC.,
File No. 2023-24**

TORONTO – The Tribunal issued an Order in the above-named matter.

A copy of the Order dated November 6, 2023 is available at capitalmarketstribunal.ca.

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Ontario Securities Commission

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A.2.7 Michael Paul Kraft and Michael Brian Stein

**FOR IMMEDIATE RELEASE
November 6, 2023**

**MICHAEL PAUL KRAFT AND
MICHAEL BRIAN STEIN,
File No. 2021-32**

TORONTO – Take notice that the hearing with respect to sanctions and costs in the above-named matter is scheduled to be heard on March 4, 2024 at 10:00 a.m. at 20 Queen Street West, 17th Floor, Toronto, Ontario.

Registrar, Governance & Tribunal Secretariat
Ontario Securities Commission

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A.3 Orders

A.3.1 TeknoScan Systems Inc. et al.

**IN THE MATTER OF
TEKNOSCAN SYSTEMS INC.,
H. SAMUEL HYAMS,
PHILIP KAI-HING KUNG AND
SOON FOO (MARTIN) TAM**

File No. 2022-19

- c. Hyams, TeknoScan, Kung and Tam shall serve and file reply evidence, if any, by 12:00 p.m. on November 6, 2023; and
- d. the parties shall serve and file written submissions, if any, by 4:30 p.m. on November 6, 2023.

“Andrea Burke”

Adjudicators: Andrea Burke (chair of the panel)
James Douglas

“James Douglas”

November 1, 2023

ORDER

WHEREAS on November 1, 2023, the Capital Markets Tribunal held a hearing by videoconference to consider, among other things, a motion by H. Samuel Hyams to adjourn the merits hearing in this proceeding;

ON READING the material filed by Hyams, and on hearing the submissions of the representative for Staff of the Ontario Securities Commission, Sunil Joseph on behalf of TeknoScan Systems Inc. (**TeknoScan**), Philip Kai-Hing Kung and Soon Foo (Martin) Tam, and Hyams, appearing on his own behalf by telephone, and on being advised that TeknoScan, Kung and Tam also intend to bring a motion for adjournment of the merits hearing;

IT IS ORDERED THAT:

1. TeknoScan, Kung and Tam shall serve and file a motion for adjournment of the merits hearing, if any, and any affidavit or documentary evidence they intend to rely upon in support of the motion, by 4:30 p.m. on November 2, 2023;
2. the motions for adjournment shall be heard on November 7, 2023, at 10:30 a.m., by videoconference, or on such other date and time as may be agreed to by the parties and set by the Governance & Tribunal Secretariat; and
3. the parties shall adhere to the following schedule for the delivery of additional motion materials:
 - a. Hyams shall serve and file any affidavit or documentary evidence he intends to rely upon by 4:30 p.m. on November 2, 2023;
 - b. Staff shall serve and file its responding record by 4:30 p.m. on November 3, 2023;

A.3.2 Royal Bank of Canada – s. 127(1)

IN THE MATTER OF
ROYAL BANK OF CANADA

File No. 2023-32

Adjudicators: Tim Moseley (chair of the panel)
Russell Juriansz
M. Cecilia Williams

November 3, 2023

ORDER
(Section 127(1) of the *Securities Act*, RSO 1990, c S.5)

WHEREAS on November 3, 2023, the Capital Markets Tribunal held a hearing by videoconference to consider the joint request for approval of a settlement agreement between Staff of the Ontario Securities Commission and Royal Bank of Canada dated October 25, 2023 (the **Settlement Agreement**);

ON READING the Joint Application for Settlement Hearing, including the Statement of Allegations dated November 1, 2023, and the Settlement Agreement, and on hearing the submissions of the representatives for the parties, and on considering Royal Bank has paid \$2,000,000 to the Commission in accordance with the terms of the Settlement Agreement;

IT IS ORDERED THAT the Settlement Agreement is approved.

“Tim Moseley”

“Russell Juriansz”

“M. Cecilia Williams”

**IN THE MATTER OF
ROYAL BANK OF CANADA
SETTLEMENT AGREEMENT**

PART I – INTRODUCTION

1. Accurate financial disclosure is a cornerstone principle of Ontario securities law identified in the *Securities Act* and is fundamental to achieving the purposes of the *Act*. The proper recording of business transactions and financial affairs is an important obligation of reporting issuers. The maintenance of appropriate books and records requires that reporting issuers prepare and maintain those books and records in compliance with applicable accounting standards and their related internal accounting policies. It is essential that reporting issuers remediate identified non-compliance with their internal accounting policies to ensure compliance with Ontario securities law.
2. This matter concerns the books and records of Royal Bank of Canada (**RBC** or the **Respondent**) as they relate to costs incurred by RBC to develop software applications internally as opposed to purchasing software from third-party vendors. Under accounting rules, certain costs associated with creating such internally developed software (**IDS**)¹ may be eligible for capitalization and recorded as intangible assets on RBC's balance sheet rather than being recorded as expenses. However, such costs must meet certain criteria including that they relate to the development of assets which, among other things, are expected to generate future economic benefits.
3. RBC's books and records and internal accounting controls and processes relating to the capitalization of IDS were deficient because they failed to provide support for RBC's capitalized IDS costs.
4. For many years, RBC applied a practical expedient whereby it aggregated the costs of its smaller IDS projects into a single pool and capitalized a percentage of those costs by applying a single capitalization rate to all projects in the pool (the **Pool Method**). The Pool Method had a number of control and process deficiencies. From 2008 through 2020, RBC included projects in the pool that were ineligible for capitalization. Also, during this period, the Pool Method suffered from additional shortcomings:
 - (a) From 2008 to 2016, RBC estimated the capitalization rate to be 78% with limited supporting analysis; and
 - (b) Starting in 2017, RBC instituted a "rate study" to determine whether its continued use of the 78% capitalization rate remained appropriate. The rate studies were intended to be a key control in support of RBC's IDS cost capitalization estimation process, but the studies were unreliable and did not provide sufficient support for the 78% capitalization rate due to a number of deficiencies with respect to key inputs into the study and the lack of documentation to support project costs.
5. Finally, RBC also lacked effective impairment assessment and amortization controls and procedures, which resulted in RBC carrying capitalized IDS assets in the large programs (defined below) on its balance sheet at full book value when those assets should have been amortized over time commencing when they became available for use or written off, if they were impaired.
6. Consequently, RBC failed to keep such books and records as are necessary to properly record the business transactions and financial affairs of RBC as they relate to IDS contrary to section 19(1) of the *Act*.
7. The parties will jointly file a request for a public hearing (the **Settlement Hearing**) in accordance with Rule 33, to consider whether, pursuant to subsection 127(1) of the *Securities Act*, R.S.O. 1990, c. S.5, as amended (the **Act**), it is in the public interest for the Capital Markets Tribunal (the **Tribunal**) to make certain orders in respect of the RBC.

PART II - JOINT SETTLEMENT RECOMMENDATION

8. RBC agrees to this settlement and consents to the making of an order (the **Order**) substantially in the form attached as Schedule "A" to this Settlement Agreement.
9. RBC neither admits nor denies the accuracy of the facts or the conclusions of the OSC set out in Parts I and III of this Settlement Agreement. It is the OSC's position that the statement of facts set out by Commission Staff in Parts I and III, which is based on an investigation carried out by the OSC, is supported by the evidence reviewed by the OSC and the conclusions contained in Parts I and III are reasonable.

¹ IDS includes all software applications developed using RBC staff and other internal resources as opposed to the purchase of an "off the shelf" application from a third-party vendor. To take an example not specifically arising in this case, a corporation could choose to develop its own internal time keeping software as opposed to purchasing such software from an established provider. The costs associated with developing new IDS largely relate to work effort and include employee salaries and benefits, and fees paid to third-party contractors.

PART III – STATEMENT OF FACTS AND CONCLUSIONS OF THE ONTARIO SECURITIES COMMISSION**A - Background**

10. RBC is a Schedule 1 Bank under the *Bank Act* (Canada) incorporated and domiciled in Canada. Its corporate headquarters are located in Toronto, Ontario and its head office is located in Montreal, Quebec. RBC is a reporting issuer in all provinces and territories of Canada, and its principal regulator is the Autorité des Marchés Financiers (the **AMF**). RBC's common shares are listed on the Toronto Stock Exchange and New York Stock Exchange with the ticker symbol RY.
11. RBC is Canada's largest bank whether on the basis of assets, revenue, net income or market capitalization. At October 31, 2022, RBC reported total assets of \$1.9 trillion. RBC's total revenue in fiscal 2022 was \$49.0 billion, with \$15.8 billion of net income. Its market capitalization at the end of fiscal 2022 was approximately \$174.3 billion.
12. RBC's consolidated financial statements filed with the Ontario Securities Commission are prepared in accordance with International Financial Reporting Standards (**IFRS**).

Applicable Accounting Standards

13. Under applicable accounting standards, in order to qualify as an intangible asset, the expenditure must, among other things, provide future economic benefits and its cost must be subject to reliable measurement. Costs incurred during the development phase of an IDS project are eligible for capitalization provided other applicable criteria are met. Costs incurred for the investigation and planning for potential new IDS projects during the initial research phase and costs associated with maintaining or decommissioning existing IDS must be expensed.
14. Applicable accounting standards also require an entity to assess, at the end of each reporting period, whether there is any indication that an asset, including internally developed intangible assets, may be impaired. Any indicator of impairment requires the entity to then consider whether the carrying amount of the asset exceeds its recoverable amount and, if yes, to reduce the carrying amount to its recoverable amount. For instance, if an entity no longer uses an IDS application, the asset is likely impaired and its carrying amount should be written down to the amount to be recovered through its use, which is typically zero. The applicable accounting standards also require an annual assessment of impairment of intangible assets not yet available for use.

B - RBC's Internally Developed Software Accounting**Growth in IDS at RBC**

15. RBC's spending on IDS, and capitalization of associated costs, has grown over the years. RBC capitalized approximately \$658 million of IDS assets in 2011.² That amount grew to \$1.3 billion by 2022. Likewise, the amount spent on pooled projects has grown from approximately \$100 million at the inception of the pool to approximately \$600-700 million.

Accounting for IDS at RBC

16. Generally, RBC's process for capitalizing IDS project costs has depended on the dollar-value of the project. Projects estimated to cost more than \$5 million (increased to more than \$10 million in 2020) are designated as "large program" projects and individually reviewed to determine the amount of costs eligible for capitalization. Projects that have an expected cost of \$5 million or less (later increased to \$10 million or less) are subjected to the Pool Method developed by RBC.
17. The Pool Method, a component of RBC's internal accounting policy, aggregates the costs of RBC's smaller IDS projects into a single pool and capitalizes a percentage of those costs by applying a single capitalization rate. RBC implemented the Pool Method for administrative ease due to the time and expense it would take to assess each small project for capitalization. In later years, the IDS pool has included in excess of 1,200 projects.
18. In theory, the capitalization rate represents the estimated amount of development work eligible for capitalization relative to the total amount of work completed on each IDS project in the pool. The capitalized costs under the Pool Method are then amortized over three years starting one year from the date the costs were incurred.
19. RBC's internal accounting policy required that the capitalization rate be assessed on an annual basis and adjusted if necessary to reflect the nature of the actual expenditures.

² Prior to 2011, RBC did not separately disclose IDS balances.

Control Deficiencies in RBC's Pool Method

- i. RBC Used the Same Unsupported Capitalization Rate
20. From 2008 through 2020, RBC used a capitalization rate of 78%, meaning 78% of its IDS pool costs were recorded as intangible assets on its balance sheet.
21. From 2008 to 2016, RBC's purported process for calculating this capitalization rate was to:
- (a) add up salary, benefits, and professional fees for its IDS pool projects; and
 - (b) divide that number by the total costs incurred (salary, benefits, and professional fees plus rent, utilities, training, and management salaries).
22. Consequently, and as an expedient, RBC treated staffing costs and professional fees on IDS pool projects as capitalizable even when certain of these tasks were not truly capitalizable under applicable accounting standards (such as planning and maintenance expenses). This resulted in RBC overcapitalizing costs associated with its IDS pool projects and understating period expenses.
- ii. RBC Instituted Rate Studies That Were Unreliable
23. In 2017, RBC began conducting studies as a key internal control to validate its estimated capitalization rate of 78%. RBC conducted additional studies in 2019, 2020, and 2021, but did not conduct a study in 2018.
24. The basic methodology used for the studies involved surveying employees working on IDS as to their activities to determine how much time, on average, various roles spent on capitalizable activities (**Role Rate**). RBC also sampled IDS pool projects to determine how much time was spent in the research and development stages of projects and reviewed project documentation relating to third-party contracts (**Phase Rate**). The resulting Role Rate and Phase Rate were then averaged, providing RBC with two points on a range.
25. The rate studies completed prior to 2021 were unreliable and failed as an internal control and did not support the capitalization rate for the following reasons:
- (a) Starting in 2017, RBC received approximately 150 survey responses from employees. RBC did not in all cases accept the survey results. Instead, if the results of the survey did not match internal notions of a particular role's contributions to IDS project work (and thus what the capitalization rate should be) RBC disregarded the survey result and substituted a different value that more closely aligned with those internal notions;
 - (b) For the Phase Rate portion of the studies, RBC sampled 27 to 45 projects in any given year. RBC eventually determined that the relatively small sample sizes resulted in unreliable survey results;
 - (c) The sampled projects sometimes included projects that were ineligible for capitalization and should not have been in the IDS pool, such as maintenance and decommissioning projects, and canceled projects that should have been written off. These projects were in the IDS pool because RBC lacked an effective controls process to identify and exclude them. Maintenance and decommissioning projects were incorrectly coded as "development" and accounted for using the Pool Method, resulting in a percentage of associated costs being improperly capitalized as assets;
 - (d) Upon identifying ineligible projects during the study processes, RBC removed the projects from the sample as "outliers" but did not review the pool to ensure that it did not include other projects that were similarly ineligible for capitalization. The removal of "outliers" effectively undermined the representativeness of the project sample and further added to the unreliable nature of the studies;
 - (e) Once a project made it into the pool, RBC also lacked an effective process for determining whether it should remain in the pool. This included projects that were started but then canceled (and thus impaired) and should have been written off.
26. Starting in 2021, RBC instituted additional controls governing the maintenance/development designation, including additional education on the topic and requiring project managers to answer a questionnaire about each project at the time the designation is made to help determine whether projects should be coded as maintenance. RBC also enhanced its rate study methodology by increasing the sample size, making the sampling more representative of the overall project pool and conducting the studies more frequently.

Lack of Documentation for Professional Fees

27. From at least 2017 through 2020, RBC did not have an effective system in place to track and document contracts or the associated statements of work for professional fees paid to third-party contractors in respect of IDS pool projects and large programs. The lack of documentation meant that RBC often had to rely on unverifiable information from project managers as to the content of a contract, the type of work being undertaken, and whether any or all of the activities performed by contractors on a project were capitalizable.

Control Deficiencies in RBC's Large Programs

i. Lack of Impairment Analysis

28. From at least 2017 through 2020, RBC did not have an effective process to identify and report impaired IDS assets, resulting in impaired assets that should have been written off remaining on RBC's balance sheet.
29. In 2021, RBC improved its impairment review process, including by assigning two employees to assess each month whether any software applications are no longer in use and require a write-down.

ii. Lack of Effective Amortization Start Date

30. From at least 2017 through 2020, RBC did not have an effective process for determining and recording the start date for amortizing capitalized costs arising out of large programs because it did not have an effective method to determine when an IDS application was available for use.

C - Conclusions

31. RBC failed to keep such books and records as are necessary to properly record the business transactions and financial affairs of RBC as they relate to IDS contrary to section 19(1) of the Act. RBC's books and records and internal accounting controls and processes relating to the capitalization of IDS were deficient because they failed to adequately support the accuracy of the IDS costs capitalized by RBC or whether the IDS costs were eligible to be treated as such.

D - Mitigating Factors

32. Dishonest or abusive conduct has not been alleged and no evidence of such conduct was uncovered.
33. RBC provided prompt, detailed and candid cooperation during the investigation.
34. No evidence of harm or loss to investors was uncovered during the investigation and there was no material impact to RBC's financial statements.
35. The control deficiencies identified did not result in a material weakness in RBC's internal control over financial reporting, or impact the evaluation of RBC's disclosure control and procedures, within the meaning of National Instrument 52-109 – *Certification of Disclosure in Issuers' Annual and Interim Filings*.
36. RBC has agreed to make the voluntary payment set out in Part IV below.
37. RBC has also agreed to pay US \$6,000,000 (\$8,000,000 at a fixed CAD to USD exchange rate of 1 CAD = .75 USD) to the Securities and Exchange Commission (the **SEC**) and \$2,000,000 under a settlement reached with the AMF. RBC shall receive an offset credit against the amount payable to the SEC for amounts paid to the Commission and the AMF. Payments to the SEC and AMF also arise out of the conduct described in this Settlement Agreement.
38. RBC has taken corrective measures to address the books and records and control deficiencies relating to IDS cost capitalization including the following:
- (a) centralizing the IDS capitalization rate assessment process;
 - (b) implementing an automated solution to improve the overall control environment respecting the IDS capitalization rate process;
 - (c) undertaking an initiative to improve inputs into the project management system;
 - (d) enhancing training for project managers on differences between development (capitalizable) and maintenance (non-capitalizable) expenditures;
 - (e) conducting capitalization rate studies on a quarterly rather than annual basis; and

(f) formalizing the impairment review process.

39. The above-noted corrective measures were taken prior to RBC being notified about the commencement of the investigation by the Commission, the AMF and the SEC.

PART IV - TERMS OF SETTLEMENT

40. RBC agrees to the terms of settlement set forth below.

41. RBC agrees to make a voluntary payment in the amount of \$2,000,000 by wire transfer to the Commission before the commencement of the Settlement Hearing.

42. RBC consents to the Order substantially in the form attached to this Settlement Agreement as Schedule "A", pursuant to which it is ordered that:

(a) this Settlement Agreement is approved.

PART V - FURTHER PROCEEDINGS

43. If the Tribunal approves this Settlement Agreement, no enforcement proceeding will be commenced or continued against the Respondent under Ontario securities law based on the misconduct described in Part III of this Settlement Agreement, unless the Respondent fails to comply with any term in this Settlement Agreement.

44. If the Respondent fails to comply with any term in this Settlement Agreement, enforcement proceedings under Ontario securities law may be brought against the Respondent.

45. The Respondent waives any defences to a proceeding referenced in paragraph 44 that are based on the limitation period in the Act, provided that no such proceeding shall be commenced later than six years from the date of the occurrence of the last failure to comply with this Settlement Agreement.

PART VI - PROCEDURE FOR APPROVAL OF SETTLEMENT

46. The parties will seek approval of this Settlement Agreement at the Settlement Hearing before the Tribunal, which shall be held on a date determined by Registrar, Governance & Tribunal Secretariat of the Commission in accordance with this Agreement and the Tribunal's *Rules of Procedure and Forms*.

47. Representative(s) of the Respondent will attend the Settlement Hearing by video conference or in person as required.

48. The parties confirm that this Settlement Agreement sets forth all facts that will be submitted at the Settlement Hearing, unless the parties agree that additional facts should be submitted at the Settlement Hearing.

49. If the Tribunal approves this Settlement Agreement:

1. the Respondent irrevocably waives all rights to a full hearing, judicial review or appeal of this matter under the Act; and

2. neither party will make any public statement that is inconsistent with this Settlement Agreement or with any additional agreed facts submitted at the Settlement Hearing. Nothing in this paragraph affects the Respondent's testimonial obligations or the right to take legal or factual positions in other investigations or legal proceedings in which the Commission and/or Commission Staff is not a party or in which any provincial or territorial securities regulatory authority in Canada and/or its staff is not a party (**Other Proceedings**) or to make public statements in connection with Other Proceedings.

50. Whether or not the Tribunal approves this Settlement Agreement, the Respondent will not use, in any proceeding, this Settlement Agreement or the negotiation or process of approval of this Settlement Agreement as the basis for any attack on the Commission or the Tribunal's jurisdiction, alleged bias, alleged unfairness or any other remedies or challenges that may be available.

PART VII - DISCLOSURE OF SETTLEMENT AGREEMENT

51. If the Tribunal does not make the Order:

1. this Settlement Agreement and all discussions and negotiations between the parties before the Settlement Hearing will be without prejudice to either party; and

A.3: Orders

2. the parties will each be entitled to all available proceedings, remedies and challenges, including proceeding to a hearing on the merits of the allegations contained in the Statement of Allegations in respect of the Proceeding. Any such proceedings, remedies and challenges will not be affected by this Settlement Agreement, or by any discussions or negotiations relating to this Settlement Agreement.
52. The parties will keep the terms of this Settlement Agreement confidential until the Tribunal approves the Settlement Agreement, except as is necessary to make submissions at the settlement hearing. If, for whatever reason, the Tribunal does not approve the Settlement Agreement, the terms of the Settlement Agreement shall remain confidential indefinitely, unless the parties otherwise agree in writing or if required by law.

PART VIII - EXECUTION OF SETTLEMENT AGREEMENT

53. This Settlement Agreement may be signed in one or more counterparts which together constitute a binding agreement.
54. An electronic copy of any signature will be as effective as an original signature.

DATED at Toronto, Ontario, this 18th day of October, 2023.

ROYAL BANK OF CANADA

By: I have authority to bind the Bank.

“Nick Tomovski”

Name: Nick Tomovski
Title: Senior Vice President, Personal
Commercial Banking, Technology &
Operations and Corporate Support
Finance

DATED at Toronto, Ontario, this 25 day of October, 2023.

ONTARIO SECURITIES COMMISSION

By: **“Jeff Kehoe”**

Name: Jeff Kehoe
Title: Director, Enforcement Branch

**SCHEDULE "A"
FORM OF ORDER**

**IN THE MATTER OF
ROYAL BANK OF CANADA**

File No.

(Names of panelists comprising the panel)

(Day and date order made)

ORDER

(Section 127 of the *Securities Act*, RSO 1990, c. S.5)

WHEREAS on [date] the Capital Markets Tribunal held a hearing by videoconference to consider the request for approval of settlement agreement dated [date] (the **Settlement Agreement**);

ON READING the Joint Application for Settlement Hearing, including the Statement of Allegations dated [date] and the Settlement Agreement, the written submissions, and on hearing the submissions of representatives of each of the parties, and on considering Royal Bank of Canada (**RBC**) having made payment of \$2,000,000 to the Commission in accordance with the terms of the Settlement Agreement,

IT IS ORDERED that:

1. the Settlement Agreement is approved.

[Adjudicator]

[Adjudicator]

[Adjudicator]

A.3.3 Manticore Labs OÜ (o/a Coinfield) and Manticore Labs Inc.

**IN THE MATTER OF
MANTICORE LABS OÜ
(o/a COINFIELD) AND
MANTICORE LABS INC.**

File No. 2023-24

Adjudicator: M. Cecilia Williams

November 6, 2023

ORDER

WHEREAS on November 6, 2023, the Capital Markets Tribunal held a hearing by videoconference;

ON HEARING the submissions of the representatives for Staff of the Ontario Securities Commission (**Staff**), no one attending for the respondents, though properly served;

IT IS ORDERED THAT:

1. by 4:30 p.m. on November 27, 2023, Staff shall disclose to the respondents the non-privileged, relevant documents and things in Staff's possession or control;
2. by 4:30 p.m. on January 12, 2024, the respondents shall serve and file a motion, if any, regarding Staff's disclosure or seeking disclosure of additional documents;
3. by 4:30 p.m. on January 19, 2024, Staff shall:
 - a. serve and file a witness list,
 - b. serve a summary of each witness's anticipated evidence, and
 - c. indicate any intention to call an expert witness, including providing the expert's name and the issues on which the expert will give evidence; and
4. a further attendance in this matter is scheduled for January 26, 2024, at 9:00 a.m., by videoconference, or on such other date and time as may be agreed to by the parties and set by the Governance & Tribunal Secretariat.

"M. Cecilia Williams"

A.4

Reasons and Decisions

A.4.1 Mughal Asset Management Corporation et al. – s. 127(1)

Citation: *Mughal Asset Management Corporation (Re)*, 2023 ONCMT 39

Date: 2023-11-01

File No. 2022-15

**IN THE MATTER OF
MUGHAL ASSET MANAGEMENT CORPORATION,
LENLE CORPORATION AND
USMAN ASIF**

**REASONS AND DECISION
(Subsection 127(1) of the *Securities Act*, RSO 1990, c S.5)**

Adjudicators: Andrea Burke (chair of the panel)
Geoffrey D. Creighton

Hearing: By videoconference, April 24, 26 and July 20, 2023; final written submissions received October 26, 2023

Appearances: Sarah McLeod For Staff of the Ontario Securities Commission
Usman Asif For himself and Mughal Asset Management Corporation and Lendle Corporation

REASONS AND DECISION

1. OVERVIEW

- [1] These are our reasons for finding that the respondents, Mughal Asset Management Corporation, Lendle Corporation and Usman Asif, breached the Ontario *Securities Act*¹ (the **Act**) by perpetrating a fraud on investors and also that Asif made false and misleading statements to Staff of the Ontario Securities Commission, disclosed an investigation order and summons and engaged in conduct contrary to the public interest.
- [2] Staff alleges that Mughal was a sham investment corporation operated by Asif. Over a five-year period, Mughal and Asif raised approximately \$3 million from investors by representing that Mughal was a legitimate investment firm that traded in securities on behalf of investors. In reality, Asif was using Mughal and Lendle, another corporation controlled by Asif, to operate a scheme in which new investor funds were used to pay “returns” to existing investors, commonly known as a Ponzi scheme. Contrary to the representations made to investors, none of their funds were ever invested. The scheme also funded Asif’s lifestyle and personal expenses.
- [3] Staff also alleges that during the course of the investigation of his conduct, Asif repeatedly misled and interfered with the work of the Commission’s investigation team. Asif lied while testifying under oath and in correspondence, failed to produce documents required to be disclosed by a summons, concealed the existence of certain documents, unlawfully disclosed the nature or content of a summons, coached a witness on how to respond to the Commission’s investigation team and encouraged other witnesses to ignore the investigation team.
- [4] During the merits hearing in this proceeding, Staff and the respondents jointly filed an agreed statement of facts in which the respondents admitted to all of Staff’s allegations in the Statement of Allegations (issued in July 2022 and later amended in December 2022) and admitted to most of the facts pleaded in the Amended Statement of Allegations and contained in the affidavit of Staff’s investigator. Staff presented additional evidence from its investigator witness to address certain facts not agreed to by the respondents. The respondents did not present any additional evidence.
- [5] For the reasons that follow, we find that:
 - a. the respondents perpetrated a fraud on investors, contrary to s. 126.1(1)(b) of the *Act*;

¹ RSO 1990, c S.5

- b. Asif made false and misleading statements to Staff, contrary to s. 122(1)(a) of the *Act*;
- c. Asif disclosed the nature or content of an investigation order and details regarding a summons, contrary to s. 16 of the *Act*; and
- d. Asif engaged the Tribunal's public interest jurisdiction by:
 - i. disregarding a warning letter sent in 2019;
 - ii. interfering with the investigation; and
 - iii. telling at least one investor that he had settled this enforcement proceeding when no settlement had occurred.

[6] Because we found that Asif directly contravened s. 126.1(1)(b) of the *Act* along with each of Mughal and Lendle, we find that we do not need to consider whether Asif also authorized, permitted or acquiesced in the companies' misconduct and we decline to do so.

[7] We also dismiss the allegation that Asif breached s. 13 of the *Act* for failure to comply with a s. 13 summons. In our view, s. 13(1) of the *Act* does not create a positive obligation on individuals or prohibit certain conduct, such that it can be breached.

2. BACKGROUND

[8] From October 2016 until December 2021 (the **Material Time**), Mughal and Asif raised at least \$2.757 million² and US\$264,000 from at least 82 investors by making representations that Mughal was an investment firm and managed various investment funds.

[9] Asif was at all times the sole director, shareholder, chief executive officer, and directing mind of Mughal.

[10] In the course of marketing investments in Mughal to investors and prospective investors, Mughal and Asif told investors that:

- a. Mughal was an investment firm operating several investment funds;
- b. investor funds were being invested in different investment funds or were being used to purchase securities in initial public offerings;
- c. investors would be paid all profits on their investment less a 2 percent management fee; and
- d. investors could expect to earn 2 to 5 percent in monthly returns.

[11] Mughal and Asif advertised Mughal as an investment firm through a website, advertisements on a local radio station, business cards and emails, and several social media sites. Mughal provided investors with "client forms" that stated Mughal was using investor money to invest in securities. In addition, investors and prospective investors met with Asif in Mughal's Toronto office and spoke with him on the phone and by text message. Asif primarily targeted Ontario investors from the Pakistani community.

[12] Investor funds raised by Mughal were primarily used to pay other investors, either as simulated return payments or to satisfy withdrawal requests. Of the \$2.757 million and US\$264,000 raised by Mughal from investors, the records available to Staff's investigator reflect that approximately \$1.83 million was transferred back to existing investors by Mughal. Investor funds were also used for Asif's personal spending, transferred into his personal accounts and funneled into Lendle, another corporation controlled by Asif.

[13] Mughal investors never received any real return on their investment. Any Mughal investors who were not repaid with other investors' funds, or funds from Asif or Lendle, lost all of their invested funds.

[14] In or around November 2019, Asif incorporated Lendle, a purported credit and loan corporation, which up until January 2022 shared an office location with Mughal. Asif is the chief executive officer and directing mind of Lendle. Asif and his brother are the sole directors of Lendle and had sole signing authority on the Lendle bank accounts.

² All dollar amounts not indicated to be in U.S. dollars are in Canadian dollars.

- [15] Beginning in or around mid-2021, Asif began to solicit certain investors in Mughal to provide funds directly to Lendle. The respondents admitted that at least some of these funds were used to repay Mughal investors and for Asif's personal expenses.
- [16] Some Mughal investor funds were transferred by Mughal to Lendle and were used to fund Lendle operations and were also used by Lendle to repay Mughal investors.
- [17] Before this proceeding was commenced, Mughal and Asif had been the subject of previous Commission investigations. During the previous investigations, Asif described Mughal's business as teaching investment courses. Asif advised Staff that Mughal was not raising investment funds from the public. Asif is alleged to have made false and/or misleading statements to the investigation team in response to this and other investigations, and also to have made several attempts to disrupt Staff's investigations.

3. EVIDENCE CONSIDERED

- [18] The evidentiary portion of the merits hearing took place over two days. On the second day of the hearing, before any oral evidence had commenced, the parties jointly filed an agreed statement of facts in which the respondents admitted to all of Staff's alleged breaches in the Amended Statement of Allegations as well as most facts contained in the Amended Statement of Allegations, in the affidavit of Staff's investigator witness and in various summaries of evidence given by investors.
- [19] Staff's investigator witness provided additional oral testimony at the hearing pertaining to specific facts not admitted by the respondents.
- [20] The respondents did not tender any evidence outside of the agreed statement of facts.
- [21] In coming to our decision, we rely on the facts contained in the agreed statement of facts, the investigator affidavit, and oral testimony. We note that while the agreed statement of facts contains admissions to breaches of the *Act*, this does not displace the Tribunal's obligation to determine whether the facts satisfy the required elements for each of those breaches.
- [22] After the filing of the agreed statement of facts and Staff closing its case, the respondents requested additional time to permit Asif to obtain and review records and produce additional evidence relating to the total amount of funds that were repaid to Mughal investors.
- [23] This request was dismissed with reasons to follow. Because the decision to dismiss this request was made before Adjudicator Furlong recused himself from the merits panel (as explained below in part 4), the reasons for dismissing this request are set out in separate Reasons for Decision.³

4. PRELIMINARY ISSUE - RECUSAL OF ADJUDICATOR FROM MERITS HEARING PANEL

- [24] Before oral closing submissions, the panel alerted the parties that a member of the panel, Adjudicator Furlong, had, subsequent to the closing of the evidentiary portion of the merits hearing, inadvertently reviewed the transcript of a confidential conference held between the parties and a different adjudicator of the Tribunal. Neither of the remaining panel members reviewed the transcript nor discussed its contents with Adjudicator Furlong.
- [25] The confidential conference occurred in the middle of the evidence portion of the merits hearing, at the request of the merits panel, so the parties could discuss the possibility of producing the agreed statement of facts without the merits panel present for discussions.
- [26] Rule 20(1) of the Capital Markets Tribunal *Rules of Procedure and Forms* states that at any stage of a proceeding, a party may request or a panel may direct that the parties participate in a confidential conference to consider:
- a. the settlement of any or all of the issues;
 - b. the simplification of the issues;
 - c. facts that may be agreed upon; and
 - d. any other matter that may further a just, expeditious and cost-effective disposition of the proceeding.
- [27] Rule 20(2) states that an adjudicator who presides at a confidential conference at which the parties attempt to settle issues shall not preside at a subsequent hearing in the proceeding unless the parties consent.

³ 2023 ONCMT 38

- [28] The purpose of rule 20(2) is to allow parties to have frank and confidential discussions about the issues in a proceeding without the decision-maker present.
- [29] While Adjudicator Furlong was not physically present at the confidential conference, his review of the transcript could reasonably be seen as violating the expectations of the parties. The panel therefore asked the parties to be prepared to discuss and provide their positions at the outset of oral closing submissions on the issue of Adjudicator Furlong's continued participation in the merits hearing given his review of the transcript.
- [30] Asif, who represented himself and the corporate respondents at the merits hearing, did not attend oral closings or file any written closing submissions and did not provide his position to the panel on the issue of Adjudicator Furlong's continued participation at any time – either orally or in writing.
- [31] Staff took the position that Adjudicator Furlong should recuse himself for the following reasons:
- a. the respondents were not present to consent to Adjudicator Furlong's continued participation in the hearing; and
 - b. given that the respondents are unrepresented, it is important to err on the side of caution to ensure the respondents receive procedural fairness.
- [32] After considering Staff's submissions, Adjudicator Furlong recused himself from the merits hearing panel. The findings contained in these reasons, with the exception of the findings summarized above in part 3 (that are the subject of separate Reasons for Decision issued as a companion to these Reasons and Decision), are of Adjudicators Burke and Creighton only.

5. ANALYSIS OF THE ISSUES AND ALLEGATIONS

5.1 Elements of fraud

- [33] We now turn to our analysis of the substantive issues raised in this hearing.
- [34] Staff alleges that the respondents committed securities fraud by making false representations to investors which caused them a deprivation, in contravention of s. 126.1(1)(b) of the *Act*. That section of the *Act* provides:
- A person or company shall not, directly or indirectly, engage or participate in any act, practice or course of conduct relating to securities, derivatives or the underlying interest of a derivative that the person or company knows or reasonably ought to know, ...
- (b) perpetrates a fraud on any person or company.⁴

- [35] Staff is required to prove the following elements of fraud:
- a. the *actus reus*, or objective element, which must consist of:
 - i. an act of deceit, falsehood, or some other fraudulent means; and
 - ii. deprivation caused by that act; and
 - b. the *mens rea*, or subjective element, which must consist of:
 - i. subjective knowledge of the act referred to above; and
 - ii. subjective knowledge that the act could have as a consequence the deprivation of another.⁵

5.1.1 Fraud on Mughal investors

5.1.1.a The investments in Mughal were securities

- [36] We must first determine whether the Mughal investments are “securities” as defined by the *Act*. The term “security” is defined in s. 1(1) of the *Act* and includes “any investment contract”.
- [37] An “investment contract” will be found where:
- a. there is an investment of money;

⁴ *Act*, s 126.1(1)(b)

⁵ *Meharchand (Re)*, 2018 ONSEC 51 (*Meharchand*) at para 119, citing *R v Théroux*, 1993 CanLII 134 (SCC) (*Théroux*) at p 20

- b. with an intention or expectation of profit;
- c. in a common enterprise in which the fortunes of the investor are interwoven with and dependent on the efforts and success of third parties; and
- d. where the efforts made by those other than the investor are significant and managerial, thereby affecting the failure or success of the enterprise.⁶

[38] The Tribunal has previously found that in situations involving fraudulent investment firms, the investments were securities according to the definition of an investment contract.⁷

[39] The respondents admitted that the Mughal investments are securities.

[40] We find that the Mughal investments meet the above definition of a security. Mughal's client forms and advertisements represented that Mughal operated an investment fund and was pooling investor funds to invest in securities. The investors advanced funds in a common enterprise with an expectation of profit solely dependent on the efforts of Mughal and Asif.

5.1.1.b *Actus Reus*

5.1.1.b.i Acts of deceit, falsehood or other fraudulent means

[41] We find that Asif and Mughal engaged in acts of deceit, falsehood, or some other fraudulent means on Mughal investors.

[42] Previous Tribunal decisions have found that using investor funds in a manner other than what is represented to investors constitutes the *actus reus* of fraud under s. 126.1(1)(b) of the *Act*.⁸ Respondents have previously been found to have engaged in acts of fraud by diverting new investor funds to pay existing investors or for personal spending.⁹ The "other fraudulent means" category has been found to include acts that a reasonable person would consider to be dishonest, such as "the use of corporate funds for personal purposes, non-disclosure of important facts... [and] unauthorized diversion of funds."¹⁰

[43] Asif and Mughal have admitted to making false representations to Mughal investors that their funds were being pooled in an investment fund and being used to purchase securities. There was no investment fund and no securities were purchased on behalf of Mughal investors. The respondents admitted that Mughal was a sham investment corporation operated by Asif.

[44] Asif and Mughal also admitted to engaging in the following "other fraudulent means":

- a. using investor funds for Asif's personal and lifestyle spending;
- b. not disclosing important facts to Mughal investors (such as the fact that Mughal was operating a Ponzi scheme in which new Mughal investor funds were used to pay simulated returns to existing Mughal investors or to satisfy withdrawal requests of existing Mughal investors); and
- c. unauthorized diversion of invested funds (including to Lendle, Asif and other Mughal investors).

[45] We find that the respondents used Mughal investor funds in a way that was inconsistent with what was promised to Mughal investors and without any notice to them. No Mughal investor funds were used for the purpose that was promised (which was for Mughal to invest in securities on behalf of its clients) and all Mughal investor funds were diverted to unauthorized personal spending, transfers to Lendle, or repayment of existing Mughal investors.

5.1.1.b.ii Deprivation caused by the fraudulent acts

[46] We find that the above fraudulent acts caused both a deprivation and the risk of deprivation to Mughal investors.

[47] The deprivation component of the *actus reus* is established by proof of:

- a. actual loss to one or more investors;
- b. actual prejudice to investors' economic interests; or

⁶ *Pacific Coast Coin Exchange v Ontario Securities Commission*, 1977 CanLII 37 (SCC) at p 128

⁷ *Reeve (Re)*, 2018 ONSEC 55 at paras 18-23

⁸ *Quadrex Hedge Capital Management Ltd (Re)*, 2017 ONSEC 3 (*Quadrex*) at paras 245-246 and 300

⁹ *Quadrex* at para 246; *Black Panther (Re)*, 2017 ONSEC 1 at paras 128-135; *Solar Income Fund Inc (Re)*, 2022 ONSEC 2 (*Solar Income*) at paras 74-75, 87-88 and 94

¹⁰ *Meharchand* at para 120, citing *Théroux* at p 19

c. risk of prejudice to investors' economic interests.¹¹

[48] Mughal investors suffered an actual loss as the Mughal bank accounts are now closed and the investors who were not repaid have lost their funds. While the respondents did not agree with the specific amounts that Staff alleged were repaid to Mughal investors, they did admit to facts showing that certain Mughal investors have not been repaid.

[49] In addition to actual losses, Asif and Mughal exposed Mughal investors to real and substantial risk of loss by concealing the fact that Mughal was not operating an investment firm and relying on enticing new investors in Mughal in order to pay simulated returns to, and satisfy withdrawal requests of, existing investors.

[50] We therefore find that both of the *actus reus* elements for fraud on the Mughal investors have been established.

5.1.1.c *Mens Rea*

5.1.1.c.i Subjective knowledge of the fraudulent acts

[51] We find that the respondents had subjective knowledge of the fraud on Mughal investors.

[52] The mental element of fraud under s. 126.1(1)(b) of the *Act* is established where a respondent is subjectively aware that: (a) they are undertaking a prohibited act, and (b) the prohibited act could cause deprivation.¹² In situations where a respondent "tells a lie knowing others will act on it and thereby puts their property at risk, the inference of subjective knowledge that the property of another would be put at risk is clear."¹³ In proving that a respondent was aware they were undertaking a prohibited act, it is not necessary to show that they regarded the act as dishonest. It is enough to show that a respondent knowingly undertook the act.¹⁴

[53] Asif directly made, or was at least aware of, all of the admitted false representations that were made to Mughal investors. Asif was at all times the sole director, shareholder, officer and directing mind of Mughal. Asif had sole access to Mughal's bank accounts and was therefore aware of all of the unauthorized uses of investor funds.

[54] Mughal also had knowledge through Asif, who is Mughal's directing mind. A corporation will have the requisite knowledge if the directing minds of the corporation knew or ought reasonably to have known that the corporation perpetrated a fraud.¹⁵

5.1.1.c.ii Subjective knowledge of deprivation

[55] We find that Asif and Mughal also had subjective knowledge of the deprivation and risk of deprivation to Mughal investors.

[56] To satisfy this element of the test for fraud, it is not necessary to prove what is in a respondent's mind. The Tribunal may infer a subjective awareness of the consequences from the dishonest act itself.¹⁶

[57] It is clear in this case, based on Asif's and Mughal's own admissions, that they knew that Mughal investor funds were being used for purposes other than those they had represented to investors. They were aware that Mughal did not actually operate an investment fund. They were aware that the entire operation was a Ponzi scheme whereby new investor funds were being used to pay existing investors, for Asif's personal spending or diverted into Lendle.

[58] We therefore find that Asif and Mughal have committed a fraud on Mughal investors in contravention of s. 126.1(1)(b) of the *Act*.

5.1.1.d The extent of the fraud on Mughal investors

[59] Staff's investigator Jody Sikora prepared a detailed "source and application" analysis in connection with Mughal's, Lendle's and Asif's various bank accounts and Asif's investment account with a view to quantifying:

- a. the amount of funds received by Mughal from Mughal investors;
- b. the uses of those funds (including the amounts diverted to Asif's personal expenses, used to pay Mughal investors and forwarded to Lendle); and
- c. the amounts repaid to Mughal investors.

¹¹ *Meharchand* at para 121, citing *Théroux* at pp 15-16

¹² *First Global Data Ltd (Re)*, 2022 ONCMT 25 (*First Global*) at para 386; *Solar Income* at para 177

¹³ *Meharchand* at para 123, citing *Théroux* at p 21

¹⁴ *First Global* at para 390, citing *Théroux* at pp 19-20

¹⁵ *First Global* at para 347; *Solar Income* at para 82

¹⁶ *First Global* at para 420, citing *Théroux* at p 18

[60] The “source and application” analysis was included in Sikora’s affidavit. In oral testimony Sikora explained his methodology in undertaking the analysis as well as the various assumptions and limitations underlying the analysis.

[61] The respondents did not admit to the contents of Sikora’s “source and application” analysis. The respondents also did not cross-examine Sikora, nor did the respondents introduce any evidence to contradict Sikora’s analysis, findings or conclusions.

[62] Amongst other things, Sikora explained that he made certain assumptions and judgment calls when identifying the 82 Mughal investors, calculating the total amount of funds invested in Mughal and repaid to Mughal investors, and identifying Mughal investor monies used for Asif’s personal expenses. We find that Sikora’s methodology, assumptions and judgment calls with respect to the analysis related to Mughal investor funds were reasonable and that he took a conservative approach.

[63] Sikora’s evidence was that:

- a. Mughal received at least \$2.757 million and US\$264,000 of investment funds from Mughal investors;
- b. Mughal transferred back to Mughal investors approximately \$1.811 million and US\$19,000;
- c. Asif paid Mughal investors \$83,350 from his personal accounts;
- d. Lendle paid Mughal investors \$201,573;
- e. Asif received (or received the benefit of) \$650,698 of Mughal investor funds directly from Mughal accounts, by way of payment of his personal expenses, payment of deposits for two residential real estate properties and transfers to him, to his personal Questrade account, to a joint bank account he shared with his brother and to his personal credit card; and
- f. Lendle received \$290,385 of transferred Mughal investor funds.

[64] The respondents admitted to the figures in a, d and f, but did not admit the figures in b, c, and e above.

[65] In addition to not admitting certain of the figures set out above, the respondents specifically noted that they disputed Sikora’s conclusion regarding the amounts Mughal repaid to Mughal investors and Asif submitted that the figures could potentially be “slightly” higher. As noted above, the respondents did not provide any evidence to substantiate this assertion, did not cross-examine Sikora on his evidence nor did they introduce any evidence regarding the figures.

[66] We took from Sikora’s evidence that the amount he identified for total investment funds Mughal received from Mughal investors (in paragraph 63a) likely significantly understated the actual total that was received by Mughal from investors, for a number of reasons, including that:

- a. Sikora did not include in his analysis receipt of funds by Mughal that were individually below a certain materiality threshold or that he was not able to link directly to someone identified as a Mughal investor because the investment was made in cash or the banks could not identify the deposit; and
- b. as a result of (a) above, \$895,074 and US\$15,010 of funds received by Mughal during the relevant timeframe were not counted by Sikora as investment funds received by Mughal; and
- c. there was no evidence of Mughal carrying on any “business activity” other than soliciting investment funds from investors that might account for the receipt of these additional funds by Mughal.

[67] Sikora did acknowledge that because his “source and application” analysis did not take into account withdrawals, payments and transfers out of Mughal accounts that were under a certain individual materiality threshold or where the application of the withdrawal, payment or transfer could not be identified from available bank and other records, there existed the possibility that the amounts he identified for Mughal repayments to Mughal investors (in paragraph 63b) were understated. As this was a possibility only and there was no evidence before us regarding the application of these additional withdrawals, payments and transfers, we accepted Sikora’s evidence in paragraph 63b.

[68] Having considered the evidence, we accept and make findings corresponding to Sikora’s evidence set out above in paragraph 63.

5.1.1.e Lendle’s participation in the fraud on Mughal investors

[69] We also find that Lendle knowingly participated in the fraud on Mughal investors.

[70] The Tribunal has previously found that individuals and companies can breach s. 126.1(1)(b) of the *Act* by aiding and abetting a fraud.¹⁷

[71] Lendle admitted that it was the recipient of Mughal investor funds (which were fraudulently obtained) and also that Lendle was used to repay Mughal investors. Asif was the directing mind of Lendle and transferred funds between Mughal, Lendle and his personal accounts.

5.1.2 Fraud on Lendle investors

[72] Staff alleges that Lendle and Asif also committed a separate fraud on Lendle investors as a result of false representations made to Lendle investors about the use of their invested funds, and the misuse of Lendle investors' funds.

[73] As detailed below, we find that Staff established this allegation only with respect to two Lendle investors. Staff failed to establish that four other persons identified by Staff as "Lendle investors" provided funds to Lendle in respect of securities issued by Lendle.

[74] Staff alleges that Asif solicited certain Mughal investors to provide investment funds to Lendle (thus becoming "Lendle investors" as well as Mughal investors) and stated that these funds would be used for the Lendle business. According to Staff these investments took three different forms:

- a. two Lendle investors (M.A. and A.A.(1)) provided funds pursuant to promissory notes;
- b. two other Lendle investors (Z.A. and A.A.(2)) provided funds with a promised 45% return and return of principal evidenced by a Lendle post-dated cheque; and
- c. another two individuals (N.A. and B.P.) appear to have transferred funds to Lendle's bank accounts.

[75] Staff alleges that the funds invested by these Lendle investors were used to pay back Mughal investors and for Asif's personal expenses. Lendle investors were therefore deceived about the intended use of the funds as Asif used the funds for personal spending and to further the fraud on Mughal investors.

[76] Asif and Lendle admitted the facts in paragraph 74 with respect to M.A., A.A.(1), Z.A., A.A.(2) and B.P, but did not admit that M.A., A.A.(1) and B.P. were investors in Lendle. Asif did not admit the facts in paragraph 74 regarding N.A.

5.1.2.a Were the alleged Lendle investments securities?

[77] We must first determine whether the Lendle investments identified by Staff are "securities" as defined by the *Act*. The term "security" includes a "bond, debenture, note or other evidence of indebtedness" in addition to "any investment contract".¹⁸

[78] We find that the funds advanced to Lendle by two investors (Z.A. and A.A.(2)) who received Lendle post-dated cheques reflecting repayment of principal and a 45% return were investments and that the post-dated cheques meet the following definition of a security: "bond, debenture, note or other evidence of indebtedness."¹⁹ In total, these two Lendle investors advanced \$70,000 to Lendle under the post-dated cheques. We do not find the remaining four advances identified by Staff to have been made in connection with Lendle securities, for the reasons explained below.

[79] Although we accept that a promissory note can satisfy the definition of a security,²⁰ in this case the promissory notes relied on by Staff in connection with funds advanced made by M.A. and A.A.(1) were, on their face, promissory notes of Asif in his personal capacity, and not of Lendle. No evidence was called to address or explain this. In the circumstances we are not satisfied that these promissory notes are Lendle securities as alleged by Staff and, in any event, these promissory notes stated that the advanced funds could be used by Asif for "personal/business use", so we are not satisfied that even if they were Lendle securities Staff has established that the funds advanced under these promissory notes were misused.

[80] Staff's investigator never spoke to B.P or N.A. The evidence about B.P.'s and N.A.'s advances of funds to Lendle was limited to bank records showing B.P. and N.A. to be the source of the funds. No evidence was introduced concerning the purposes for these advances of funds and Sikora acknowledged that Lendle did carry on another business that was a source of funds. In the circumstances, we find that Staff has not established that these advances were investments or that there were any related Lendle securities.

¹⁷ *Maple Leaf Investment Fund Corp (Re)*, 2011 ONSEC 31 at paras 351-352

¹⁸ *Act*, s 1(1)

¹⁹ *Act*, s 1(1); *Ontario Securities Commission v Tiffin*, 2020 ONCA 217 (*Tiffin*) at para 50

²⁰ *Act*, s 1(1); *Tiffin* at para 50

[81] Below we consider whether Asif and Lendle committed a fraud in connection with the Lendle securities issued to Z.A. and A.A.2. We approach the issue within the framework of the test to establish fraud under s. 126.1(1)(b) of the *Act* as set out above in section 5.1.

5.1.2.b Separate fraud by Asif and Lendle established

[82] The respondents admitted that in soliciting Mughal investors to invest in Lendle, Asif represented that the invested funds were to be used for the Lendle business. Sikora provided evidence with respect to Z.A.'s and A.A.(2)'s understanding that was consistent with this. We therefore conclude that Asif told Z.A. and A.A.(2) that the funds they advanced to Lendle would be used for the Lendle business.

[83] Staff introduced evidence through Sikora that established that some Lendle funds were used for Asif's personal expenses and also to repay Mughal investors. We find that this did occur. Sikora also provided specific evidence that confirmed, and we are satisfied, that a large part of the \$70,000 advanced by Z.A. and A.A.(2) to Lendle was used to pay personal expenses of Asif, including a deposit on Asif's home and for Asif's legal counsel. Sikora did not undertake a tracing exercise to establish the precise dollar amount of the \$70,000 that was used for Asif's personal expenses, although he did give evidence as to deposits into and and payments out of the Lendle account that received the \$70,000. Based on our review of this evidence, we are satisfied that at least \$57,540 of the \$70,000 was used for these purposes.

[84] The respondents admitted that Z.A.'s investment (in the principal amount of \$50,000) has never been repaid and the related post-dated cheque bounced. Staff did not introduce any evidence about the status of repayment of A.A.(2)'s investment in Lendle.

[85] We find that the above acts constitute deceit, falsehood and other fraudulent means which caused a deprivation, or risk of deprivation, to Lendle investors. We also find that Asif and Lendle, with Asif acting as Lendle's directing mind, had subjective knowledge of the fraudulent acts and deprivation to Lendle investors.

[86] Accordingly, we find that Asif and Lendle committed a separate fraud against two Lendle investors pursuant to s. 126.1(1)(b) of the *Act*.

5.2 Asif's misleading statements made to investigators

[87] Staff alleges that Asif made misleading statements to Staff investigators during the course of multiple investigations.

[88] Subsection 122(1)(a) of the *Act* prohibits a person or company from making materially false or misleading statements, or failing to state a fact that is necessary to make the statement not misleading, in the course of an investigation or examination under the *Act*. This section establishes liability even without proof of a specific mental element, such as intention, wilful blindness or recklessness.²¹

[89] Both this Tribunal and the courts have stressed the importance of providing truthful information during an investigation by the Commission.²² The Court of Appeal has expressed this importance as follows: "[i]t is difficult to imagine anything that could be more important to protecting the integrity of capital markets than ensuring that those involved in those markets, whether as direct participants or as advisors, provide full and accurate information to the OSC."²³ In particular, providing misleading or false information under oath demonstrates a serious disregard for the investigation process.²⁴

[90] We find that Asif breached s. 122(1)(a) of the *Act* by making multiple misleading statements during the course of Staff's investigations.

[91] Asif made repeated false statements in written communications and under oath in two interviews relating to (among other things):

- a. the nature of the Mughal business;
- b. Mughal's advertisements and banking activity;
- c. the status of Mughal's business operations;
- d. the existence of Lendle investors;
- e. the nature and extent of communications with investors; and

²¹ *Black Panther* at para 154

²² *Kitmitto (Re)*, 2022 ONCMT 12 at para 210, citing *Wilder v Ontario (Securities Commission)*, 2001 CanLII 24072 (ON CA) (*Wilder*) at para 22; *Agueci (Re)*, 2015 ONSEC 2 at paras 634-636

²³ *Wilder* at para 22

²⁴ *Miner Edge Inc (Re)*, 2021 ONSEC 31 (*Miner Edge*) at paras 51-53

f. the use of investor funds.

[92] In addition, Asif failed to provide material facts by refusing to answer questions in his compelled interview by saying he “did not know” or “did not recall” to obvious questions where we are satisfied that Asif did, in fact, know the answers, such as whether the Mughal bank account was used for personal spending.

[93] Asif has admitted to making false and misleading statements to investigators. Asif’s misleading statements in this case were serious, repeated over years and obviously false given the admissions he made at the merits hearing. Many of the statements were made under oath. Asif also misled investigators by concealing the existence of documents and information (including in response to a summons) that were material to Mughal’s fraudulent scheme.

5.3 Allegation that Asif failed to comply with a s. 13 summons

[94] Staff alleges that Asif’s admissions that he failed to produce the information or documents requested in a s. 13 summons constitutes a breach of s. 13 of the *Act*.

[95] Subsection 13(1) of the *Act* provides as follows:

Power of investigator or examiner

13 (1) A person making an investigation or examination under section 11 or 12 has the same power to summon and enforce the attendance of any person and to compel him or her to testify on oath or otherwise, and to summon and compel any person or company to produce documents and other things, as is vested in the Superior Court of Justice for the trial of civil actions, and the refusal of a person to attend or to answer questions or of a person or company to produce such documents or other things as are in his, her or its custody or possession makes the person or company liable to be committed for contempt by the Superior Court of Justice as if in breach of an order of that court.

[96] While Staff concedes that no previous Tribunal decisions have considered a distinct s. 13 breach, it submits that there is no principled reason why the failure to comply with a s. 13 summons should not be considered a separate breach of the *Act*. Staff relies on the Tribunal’s decision in *Daley (Re)*²⁵ as support for its position. In that decision, the panel found that a breach of a summons does not exclusively have to be dealt with by way of contempt proceedings in court.²⁶ However, rather than finding a breach of s. 13 of the *Act*, the panel in that case found that the breach of the summons constituted conduct that engaged the Tribunal’s broad public interest jurisdiction and warranted a consideration of sanctions under s. 127 of the *Act*.²⁷

[97] In our view, s. 13(1) of the *Act* does not create a positive obligation on individuals or prohibit certain conduct, such that it can be breached. Rather, the section grants powers to investigators to summon a person and to enforce a summons by seeking a contempt order.

[98] Accordingly, we dismiss the allegation that Asif breached s. 13 of the *Act*.

[99] During oral submissions, Staff made an alternative submission that if the conduct does not amount to a breach of s. 13, it should nevertheless be captured by our public interest jurisdiction. We address this submission below in section 5.6.

5.4 Asif’s disclosure of the nature or content of a s. 11 order and/or details regarding a s. 13 summons

[100] Staff alleges that Asif breached s. 16 of the *Act* by disclosing to certain Mughal investors that he was being investigated and sending a picture of his s. 13 summons to a Mughal investor.

[101] Subsection 16(1) of the *Act* protects the confidentiality of information related to, and obtained through, a s. 11 investigation. It provides that no person or company shall disclose the nature or content of the s. 11 investigation order, or other specified information regarding the production of documents or testimony given under s. 13. Subsection 16(1.1) provides exceptions to this prohibition against disclosure where it is being provided to counsel or an insurer, neither of which are applicable in this proceeding.

[102] Previous Tribunal decisions have found a breach of s. 16 where a respondent told an investor he was under investigation and scheduled for an interview²⁸ and where a respondent provided a copy of a s. 13 summons to an individual.²⁹

²⁵ 2021 ONSEC 27 (*Daley*)

²⁶ *Daley* at paras 32-37

²⁷ *Daley* at paras 70-72

²⁸ *Miner Edge* at para 55

²⁹ *Black Panther* at paras 162-163

[103] We find that Asif's admitted conduct of disclosing the fact he was being investigated and providing a copy of a s. 13 summons establishes a breach of s. 16(1) of the *Act*.

5.5 Asif permitting, authorizing or acquiescing in Mughal and Lendle's breaches

[104] Staff seeks a finding that Asif be deemed liable for Mughal and Lendle's non-compliance with the *Act* pursuant to s. 129.2 of the *Act*.

[105] Section 129.2 of the *Act* provides that a director or officer is deemed to be liable for a breach of securities law by a company where the director or officer authorized, permitted or acquiesced in the company's non-compliance with the *Act*.

[106] We questioned Staff whether such a finding can or should be made where the individual has already been found to have personally committed the same breaches as the corporation. Staff relied on the Tribunal's decision in *Natural Bee Works Apiaries Inc (Re)*³⁰ as authority for the proposition that an individual can breach the fraud provision of the *Act* and also authorize or permit the same breach on behalf of the corporation. In that decision, the corporation was found to have breached ss. 53 and 126.1 of the *Act* and one of the individual respondents was found to have only personally breached s. 126.1. The panel went on to find that the individual was deemed liable for all of the breaches of the corporation pursuant to s. 129.2 of the *Act*.³¹

[107] Staff submits that the elements of the breach under s. 129.2 of the *Act* are different than the elements of the breach under s. 126.1(1)(b) of the *Act* and that this argues in favour of a finding under s. 129.2, even where we first conclude that Asif personally committed the same s. 126.1(1)(b) breaches along with the corporations.

[108] We prefer the approach to this issue adopted in the recent Tribunal decisions in *Stinson (Re)*³² and *Feng (Re)*³³. Having found that Asif directly contravened s. 126.1(1)(b) of the *Act* along with each of Mughal and Lendle we do not need to consider whether he authorized, permitted or acquiesced in the companies' misconduct and we decline to do so.

5.6 Asif's conduct engaging the Tribunal's public interest jurisdiction

[109] Staff alleges that Asif engaged in "conduct contrary to the public interest" as a result of the following conduct:

- a. disregarding a warning letter sent from the Staff investigation team in 2019 regarding potential unregistered trading;
- b. concealing the existence of documents and information from the Staff investigation team during the investigation;
- c. interfering with the investigation, including by coaching a witness on their written response to a request for information, encouraging witnesses not to speak to the investigation team, attempting to conceal banking activity from the investigation team, and shifting to soliciting new investments in Lendle after becoming aware that Mughal was under investigation; and
- d. telling at least one investor that he had settled this enforcement proceeding when no settlement had occurred.

[110] Asif admits to having engaged in all of this conduct.

[111] The words "conduct contrary to the public interest" do not appear in the *Act*. Rather, the opening words of s. 127 of the *Act* give the Tribunal broad authority to make "orders if in its opinion it is in the public interest to make the...orders".

[112] The Tribunal may exercise its jurisdiction to find that conduct, which does not constitute a direct breach of Ontario securities law, nevertheless attracts the Tribunal's public interest jurisdiction.³⁴

[113] The fundamental animating principles of securities regulation, set out in s. 2.1 of the *Act*, include:

- a. requirements for timely, accurate and efficient disclosure of information;
- b. restrictions on fraudulent and unfair market practices and procedures; and

³⁰ 2019 ONSEC 23 (*Natural Bee Works*)

³¹ *Natural Bee Works* at para 149

³² 2023 ONCMT 26 at para 78

³³ 2023 ONCMT 12 at paras 72-73

³⁴ *Committee for Equal Treatment of Asbestos Minority Shareholders v Ontario (Securities Commission)* 2001 SCC 37 at para 42

- c. requirements for the maintenance of high standards of fitness and business conduct to ensure honest and responsible conduct by market participants.

- [114] We find that the conduct by Asif set out in paragraph 109 a, c and d, while not being a direct breach of the *Act*, offends the animating principles of the *Act* and the conduct engages the Tribunal's public interest jurisdiction. With respect to the conduct set out in paragraph 109 b (namely, concealing the existence of documents and information from Staff), because this same conduct is part of our finding that Asif breached s. 122(1)(a) of the *Act* which was based in part on representations that certain documents and information did not exist, we decline to find that it is conduct that also separately engages the Tribunal's public interest jurisdiction.
- [115] We now return to Staff's submission that if Asif's failure to comply with the summons does not amount to a breach of s. 13 of the *Act*, we should address it under our public interest jurisdiction instead.
- [116] We questioned Staff whether that would be permissible from a procedural fairness perspective given that the allegation was not framed as such in its Amended Statement of Allegations. Staff submitted that it was relying on the wording in paragraph 31(b) of its Amended Statement of Allegations that states that Asif's concealment of the existence of documents, which includes the documents listed in the summons, constitutes "conduct contrary to the public interest".
- [117] We find that the Amended Statement of Allegations treated the allegation that Asif failed to comply with the summons as an allegation distinct from the allegation that Asif concealed the existence of documents and information from the investigation team during the investigation. The Amended Statement of Allegations pleads that distinct consequences arise from these allegations, namely that Asif's failure to comply with the summons is a breach of the *Act*, whereas the concealment allegation constitutes conduct contrary to the public interest.
- [118] Notwithstanding this, paragraph 31(b) of the Amended Statement of Allegations cross-references other paragraphs in the Amended Statement of Allegations, including paragraph 24, that identifies false and misleading statements provided by Asif in response to the summons, including false and misleading statements concerning the availability of documents and information.
- [119] We accept that in appropriate circumstances the failure to comply with a summons can constitute conduct contrary to the public interest.³⁵ However, here the failure to comply with a summons is based on the very same allegations and facts underlying our conclusion above that Asif concealed the existence of documents and information and made related misleading statements concerning the availability of documents and information to investigators including in response to the summons, contrary to s. 122(1)(a) of the *Act*. In the circumstances we decline to find that the failure to comply with the summons is an additional example of conduct contrary to the public interest.

6. CONCLUSION

- [120] For the reasons set out above we find that:
- a. the respondents perpetrated a fraud on investors, contrary to s. 126.1(1)(b) of the *Act*;
 - b. Asif made false and misleading statements to Staff, contrary to s. 122(1)(a) of the *Act*;
 - c. Asif disclosed the nature or content of an investigation order and details regarding a summons, contrary to s. 16 of the *Act*; and
 - d. Asif engaged the Tribunal's public interest jurisdiction by:
 - i. disregarding a warning letter sent in 2019;
 - ii. interfering with the investigation; and
 - iii. telling at least one investor that he had settled this enforcement proceeding when no settlement had occurred.
- [121] Also for the reasons set out above:
- a. we dismiss the allegation that Asif breached s. 13 of the *Act*; and
 - b. we decline to make a finding regarding the allegation that Asif breached s. 129.2 of the *Act*.

³⁵ *Daley* at paras 68-73

A.4: Reasons and Decisions

- [122] The parties shall contact the Registrar by 4:30 p.m. on November 15, 2023, to arrange an attendance in respect of a hearing regarding sanctions and costs. The attendance is to take place on a date that is mutually convenient, that is fixed by the Governance & Tribunal Secretariat, and that is no later than December 8, 2023.
- [123] If the parties are unable to present a mutually convenient date to the Registrar, then each party may submit to the Registrar, for consideration by a panel of the Tribunal, one-page written submissions regarding a date for the attendance. Any such submissions shall be submitted by 4:30 p.m. on November 15, 2023.

Dated at Toronto this 1st day of November, 2023

“Andrea Burke”

“Geoffrey D. Creighton”

A.4.2 Mughal Asset Management Corporation et al.

Citation: *Mughal Asset Management Corporation (Re)*, 2023 ONCMT 38

Date: 2023-11-01

File No. 2022-15

**IN THE MATTER OF
MUGHAL ASSET MANAGEMENT CORPORATION,
LENLE CORPORATION AND
USMAN ASIF**

REASONS FOR DECISION

Adjudicators: Andrea Burke (chair of the panel)
Geoffrey D. Creighton
William J. Furlong

Hearing: By videoconference, April 26, 2023

Appearances: Sarah McLeod For Staff of the Ontario Securities Commission
Usman Asif For himself and Mughal Asset Management Corporation and Lendle Corporation

REASONS FOR DECISION

1. OVERVIEW

- [1] These are our reasons for a mid-hearing ruling denying the respondents' request for additional time to gather and present evidence at the merits hearing in this matter.
- [2] The respondents' request was made after Staff closed its case, after Staff and the respondents jointly filed an agreed statement of facts with the Tribunal, and after the respondents had stated on multiple occasions that they did not intend to introduce any evidence or call any witnesses at the merits hearing. The respondents advised that the evidence they wanted time to locate might have the potential to provide greater clarity on the amount of money Mughal repaid to investors. Specifically, Asif, who represented himself and the corporate respondents at the merits hearing, stated that the evidence could show that the amount of money repaid to investors "was slightly a bit higher" than the numbers in Staff's investigator's affidavit.
- [3] We denied the request with reasons to follow. We did so primarily because the request was brought much later in the hearing process than what would otherwise be acceptable, the respondents were unable to provide any assurance that the additional evidence was readily accessible to them, the respondents were not certain the additional evidence would be helpful to their case, and significant unfairness would result to Staff if the request were granted.

2. BACKGROUND

- [4] On the second day of the merits hearing, after taking part in a confidential conference at the request of the panel, the parties tendered an agreed statement of facts in which the respondents admitted to nearly all of Staff's allegations and evidence.
- [5] The agreed statement of facts states that the respondents agree to all of the facts set out in the affidavit of Staff's investigator, with a few exceptions. One of the exceptions was the total amount of funds returned to Mughal investors. The respondents submitted that Staff's investigator underestimated this amount.
- [6] The respondents requested additional time to obtain bank records, review those records and cross-reference the numbers with those included in the investigator's affidavit.
- [7] The respondents had previously repeatedly advised the panel and Staff that they were not going to introduce any evidence at the merits hearing, including as recently as two days before making the request. The respondents did not file any witness lists, witness summaries, or hearing brief prior to the merits hearing.
- [8] A separate issue arose after the evidentiary portion of the merits hearing was completed that led to the recusal of one of the members of the merits panel. As this ruling occurred before the recusal, these reasons are of all three panel members.

3. ANALYSIS AND CONCLUSION

- [9] We found that it was not in the public interest to grant the respondents' request.
- [10] In making this request, Asif explained that he had difficulty understanding the proper process to be followed at the hearing, was misguided by previous counsel and wanted to ensure that the evidence before the panel was as accurate as possible. However, he did not specify what the proposed additional evidence was going to include and whether it would actually contradict the numbers in the Staff investigator's affidavit.
- [11] Staff submitted that the respondents had already had more than sufficient time to consider and address Staff's numbers. The numbers the respondents were challenging were included in the original Statement of Allegations (issued on June 14, 2022). Copies of the schedules attached to the investigator's affidavit that included these numbers were also provided to the respondents as part of a witness summary in October 2022.
- [12] During this proceeding, the respondents repeatedly advised Staff and the Tribunal that they were not going to introduce any evidence or call any witnesses at the merits hearing. This included such advice made in writing by Asif on March 29, 2023, following the final interlocutory attendance in this matter, and orally on the first day of the merits hearing on April 24, 2023.
- [13] We found that allowing the respondents additional time to locate and potentially present additional evidence would be inappropriate in the circumstances.
- [14] Our reasons for dismissing the respondents' request include the facts that:
- a. the financial documents at issue, which the respondents had sufficient time to procure before the middle of the merits hearing, should have been provided to Staff much earlier in the hearing process and the respondents offered no explanation or justification for why this was not done;
 - b. the merits hearing had already begun, and Staff had already called their evidence and closed their case;
 - c. the requirement of providing notice to Staff of the intention to tender evidence (as well as notice of the contents of such evidence) had been previously communicated to the respondents on multiple occasions and was the subject of orders specifying dates for the delivery by the respondents of witness lists and witness summaries¹ and a hearing brief containing copies of any documents the respondents wanted to introduce in evidence²;
 - d. the respondents were provided with Staff's analysis on the use of investor funds well in advance of the merits hearing;
 - e. the Statement of Allegations, which had been available to the respondents for some time, also laid out the monetary amounts that were now being challenged;
 - f. the respondents were unable to provide any assurance that they would be able to obtain the documents they wished to rely on, and if procuring the documents were to take longer than expected, we would likely have to extend the length of the merits hearing, which was scheduled to end on May 5, 2023; and
 - g. the respondents were not certain that the additional evidence would be helpful to their case and, indeed, described the additional evidence as only potentially showing a "slightly higher" number.
- [15] We ultimately found that the unfairness to Staff, who had proceeded with and closed its case on the understanding that the respondents were not going to introduce any evidence, that would result in granting the request outweighed any potential unfairness to the respondents.
- [16] As a result of our ruling, the respondents did not tender the additional evidence at the merits hearing.

Dated at Toronto this 1st day of November, 2023

"Andrea Burke"

"Geoffrey D. Creighton"

"William J. Furlong"

¹ (2022) 45 OSCB 9797

² (2023) 46 OSCB 504

A.4.3 Royal Bank of Canada – s. 127(1)

Citation: *Royal Bank of Canada (Re)*, 2023 ONCMT 40

Date: 2023-11-03

File No. 2023-32

**IN THE MATTER OF
ROYAL BANK OF CANADA
ORAL REASONS FOR APPROVAL OF A SETTLEMENT
(Section 127(1) of the *Securities Act*, RSO 1990, c S.5)**

Adjudicators: Tim Moseley (chair of the panel)
Russell Juriansz
M. Cecilia Williams

Hearing: By videoconference, November 3, 2023

Appearances: Mark Bailey For Staff of the Ontario Securities Commission
David Hausman For Royal Bank of Canada
Jonathan Wansbrough

ORAL REASONS FOR APPROVAL OF A SETTLEMENT

The following reasons have been prepared for publication, based on the reasons delivered orally at the hearing, as edited and approved by the panel, to provide a public record of the oral reasons.

- [1] Staff of the Ontario Securities Commission alleges that Royal Bank of Canada did not account properly for costs incurred for software that it developed internally, using Royal Bank’s staff and other internal resources, as opposed to being purchased from third-party vendors. Staff says that this improper accounting is a breach of s. 19(1) of the *Securities Act*,¹ which requires market participants such as Royal Bank to maintain proper books and records.
- [2] Staff and Royal Bank have entered into a “no-contest” settlement agreement, in which Royal Bank neither admits nor denies the truth of Staff’s allegations. The parties jointly submit that it is in the public interest for us to approve this settlement. We agree. We reach that conclusion for the following reasons, in which we summarize the factual background that is set out in more detail in the settlement agreement.
- [3] Staff’s allegations relate to two categories of internal software projects.
- [4] The first category includes smaller projects. For many years, and for the sake of expediency, Royal Bank adopted a particular accounting practice for these smaller projects. It aggregated the costs of the projects into a single pool and capitalized a percentage of those costs by applying one capitalization rate to all projects in the pool.
- [5] A pooling approach is not inherently problematic. However, Staff alleges that the way in which Royal Bank implemented the pooling approach was improper, in three ways:
- a. Royal Bank included projects in the pool that were ineligible for capitalization;
 - b. Royal Bank applied a capitalization rate that was an estimate, without sufficient supporting analysis; and
 - c. there were flaws in a review that Royal Bank did of its estimated capitalization rate.
- [6] The second category includes larger projects, which Royal Bank reviewed individually to determine eligibility for capitalization. For these larger projects, Staff alleges that Royal Bank carried capitalized assets on its balance sheet at full book value, when in some instances those assets should have been amortized or written off.
- [7] Staff does not allege that any of these deficiencies had any material impact on Royal Bank’s financial statements. However, Staff submits that if its allegations were proven in an enforcement proceeding, Royal Bank’s failure to keep accurate books and records as they relate to internal software would constitute a breach of s. 19(1) of the *Securities Act*.

¹ RSO 1990, c S.5

A.4: Reasons and Decisions

- [8] These allegations are serious. Accurate financial disclosure is a cornerstone of Ontario securities law. Reporting issuers must prepare and maintain their books and records in accordance with applicable accounting standards. The conduct that Staff alleges, if it were proven, would warrant significant sanctions.
- [9] We note as a mitigating factor that Royal Bank undertook corrective measures to address the deficiencies in its books and records and controls relating to internal software cost capitalization. It did so before it was notified of an investigation relating to this issue.
- [10] To resolve Staff's allegations, Royal Bank has agreed to make a voluntary payment of \$2,000,000 to the OSC. Arising out of the same conduct alleged in this proceeding, but outside this proposed settlement, Royal Bank has also agreed to pay \$2,000,000 to Québec's Autorité des Marchés Financiers. Royal Bank has further agreed to pay \$8,000,000 to the United States Securities and Exchange Commission, although from that \$8,000,000, Royal Bank will receive an offset credit to reflect the amounts paid to the Autorité des Marchés Financiers and to the OSC.
- [11] The Capital Market Tribunal's role at a settlement hearing is to determine whether the negotiated result falls within a range of reasonable outcomes, and whether it would be in the public interest to make the order requested. We have reviewed this settlement in detail, and we conducted a confidential settlement conference with counsel for both parties. We asked questions of counsel and heard their submissions.
- [12] We recognize that the agreement is the product of negotiation between Staff and Royal Bank. We respect the negotiation process. We accord significant deference to the resolution reached by the parties. We do have to be particularly mindful of our role to ensure that a settlement is in the public interest, when it is a "no-contest" settlement in which the respondent neither admits nor denies the truth of Staff's allegations.
- [13] It is more difficult for parties to secure approval of no-contest settlements. However, in this case, we have considered section 17 of OSC Staff Notice 15-702, the *Revised Credit for Co-operation Program*, and we have taken into account the following factors:
- a. Staff alleged no dishonest or abusive conduct;
 - b. Royal Bank provided prompt, detailed and candid cooperation during the investigation;
 - c. there was no evidence of harm to investors;
 - d. Royal Bank is making the voluntary payments totaling \$8,000,000; and
 - e. Royal Bank implemented corrective measures before the OSC, the AMF or the SEC notified it of the investigation.
- [14] The parties submit that this proposed settlement adequately addresses the important principle of deterrence. We agree.
- [15] In our view, therefore, it is in the public interest to approve this no-contest settlement. We will issue an order substantially in the form of the draft order attached to the settlement agreement.

Dated at Toronto this 3rd day of November, 2023

"Tim Moseley"

"Russell Juriansz"

"M. Cecilia Williams"

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B. Ontario Securities Commission

B.2 Orders

B.2.1 TransAlta Renewables Inc.

Headnote

National Policy 11-206 Process for Cease to be a Reporting Issuer Applications – The issuer ceased to be a reporting issuer under securities legislation.

Applicable Legislative Provisions

Securities Act, R.S.O. 1990, c. S.5, as am., s. 1(10)(a)(ii).

Citation: *Re TransAlta Renewables Inc.*, 2023 ABASC 147

November 2, 2023

**IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ALBERTA
AND
ONTARIO
(the Jurisdictions)**

AND

**IN THE MATTER
OF THE PROCESS FOR CEASE TO BE
A REPORTING ISSUER APPLICATIONS**

AND

**IN THE MATTER OF
TRANSALTA RENEWABLES INC.
(the Filer)**

ORDER

Background

The securities regulatory authority or regulator in each of the Jurisdictions (the **Decision Maker**) has received an application from the Filer for an order under the securities legislation of the Jurisdictions (the **Legislation**) that the Filer has ceased to be a reporting issuer in all jurisdictions of Canada in which it is a reporting issuer (the **Order Sought**).

Under the Process for Cease to be a Reporting Issuer Applications (for a dual application):

- (a) the Alberta Securities Commission is the principal regulator for this application;
- (b) the Filer has provided notice that subsection 4C.5(1) of Multilateral Instrument 11-102 *Passport System (MI 11-102)* is intended to be relied upon in British Columbia, Saskatchewan, Manitoba, Québec, New Brunswick, Prince Edward Island, Nova Scotia, Newfoundland and Labrador; and
- (c) this order is the order of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

Interpretation

Terms defined in National Instrument 14-101 *Definitions* and MI 11-102 have the same meaning if used in this order, unless otherwise defined.

Representations

This order is based on the following facts represented by the Filer:

1. the Filer is not an OTC reporting issuer under Multilateral Instrument 51-105 *Issuers Quoted in the U.S. Over-the-Counter Markets*;
2. the outstanding securities of the Filer, including debt securities, are beneficially owned, directly or indirectly, by fewer than 15 securityholders in each of the jurisdictions of Canada and fewer than 51 securityholders in total worldwide;
3. no securities of the Filer, including debt securities, are traded in Canada or another country on a marketplace as defined in National Instrument 21-101 *Marketplace Operation* or any other facility for bringing together buyers and sellers of securities where trading data is publicly reported;
4. the Filer is applying for an order that the Filer has ceased to be a reporting issuer in all of the jurisdictions of Canada in which it is a reporting issuer; and
5. the Filer is not in default of securities legislation in any jurisdiction.

Order

Each of the Decision Makers is satisfied that the order meets the test set out in the Legislation for the Decision Maker to make the order.

The decision of the Decision Makers under the Legislation is that the Order Sought is granted.

“Timothy Robson”
Manager, Legal
Corporate Finance
Alberta Securities Commission

OSC File #: 2023/0480

B.3 Reasons and Decisions

B.3.1 Imperial Oil Limited

Headnote

Multilateral Instrument 11-102 Passport System – National Policy 11-203 Process for Exemptive Relief in Multiple Jurisdictions – Dual application – Issuer bid – Modified Dutch auction – Application for relief from the requirement to take up and pay for shares on a pro rata basis and the related disclosure requirements for the issuer bid circular (Section 2.26 of National Instrument 62-104 Take-Over Bids and Issuer Bids and Item 8 of Form 62-104F2 Issuer Bid Circular) – Application for relief from the requirement that the Offer not be extended if all the terms and conditions of the Offer have been complied with or waived unless the issuer first takes up all Shares deposited under the Offer and not withdrawn (Section 2.32 of NI 62-104).

Applicable Legislative Provisions

National Instrument 62-104 Take-Over Bids and Issuer Bids, ss. 2.26 and 6.1 and 2.32(4).

October 27, 2023

IN THE MATTER OF
THE SECURITIES LEGISLATION OF
ALBERTA
AND
ONTARIO
(the Jurisdictions)

AND

IN THE MATTER OF
THE PROCESS FOR EXEMPTIVE RELIEF APPLICATIONS
IN MULTIPLE JURISDICTIONS

AND

IN THE MATTER OF
IMPERIAL OIL LIMITED
(the Filer)

DECISION

Background

The securities regulatory authority or regulator in each of the Jurisdictions (each a **Decision Maker**) has received an application from the Filer for a decision under the securities legislation of the Jurisdictions (the **Legislation**) granting the Filer, in connection with the proposed purchase of a portion of its outstanding common shares (the **Shares**) pursuant to an issuer bid (the **Offer**), an exemption from the following requirements (the **Exemption Sought**):

- (a) the proportionate take-up requirements in section 2.26 of National Instrument 62104 *Take-over Bids and Issuer Bids (NI 62-104)* (the **Proportionate Take-Up Requirement**);
- (b) the requirements in Item 8 of Form 62-104F2 Issuer Bid Circular to provide disclosure of the proportionate take-up and payment in the issuer bid circular (the **Proportionate Take-Up Disclosure Requirement**);
- (c) the requirements in subsection 2.32(4) of NI 62-104 that an issuer bid not be extended if all the terms and conditions of the issuer bid have been complied with or waived unless the Filer first takes up all securities deposited under the issuer bid and not withdrawn (the **Extension Take-Up Requirement**).

Under the Process for Exemptive Relief Applications in Multiple Jurisdictions (for a dual application):

- (a) the Alberta Securities Commission is the principal regulator for this application;
- (b) the Filer has provided notice that subsection 4.7(1) of Multilateral Instrument 11-102 *Passport System* (**MI 11-102**) is intended to be relied upon in each jurisdiction of Canada, other than Alberta and Ontario; and
- (c) the decision is the decision of the principal regulator and evidences the decision of the securities regulatory authority or regulator in Ontario.

Interpretation

Terms defined in National Instrument 14-101 Definitions and NI 62-104 have the same meaning if used in this decision, unless otherwise defined herein.

Representations

This decision is based on the following facts represented by the Filer:

1. The head office and registered office of the Filer are located in Alberta.
2. The Filer is a reporting issuer in each jurisdiction of Canada. The Filer's Shares are listed for trading on the Toronto Stock Exchange (the **TSX**) and have unlisted trading privileges and trade on the NYSE American LLC (the **NYSE American**). The Filer is not in default of securities legislation in any jurisdiction of Canada.
3. The authorized share capital of the Filer consists of 1,100,000,000 Shares. As of September 29, 2023, there were 566,667,118 Shares issued and outstanding.
4. On September 29, 2023, the closing price of the Shares on the TSX was \$83.66 and US\$61.59 on the NYSE American.
5. As at September 29, 2023, Exxon Mobil Corporation (**ExxonMobil**) beneficially owned 394,399,906 Shares, which represented approximately 69.6% of the issued and outstanding Shares.
6. The Filer intends to make the Offer pursuant to which it would offer to purchase that number of Shares having an aggregate purchase price of up to \$• (the **Specified Dollar Amount**).
7. The board of directors of the Filer has determined that the Offer is in the best interests of the Filer.
8. The purchase price per Share will be determined by the Filer through a modified "Dutch auction" procedure in the manner described below, but will not be less than \$• and not more than \$• per Share (the **Price Range**).
9. The Specified Dollar Amount has been determined and will be announced by the Filer in a press release issued on October 27, 2023. Both the Specified Dollar Amount and the Price Range will be specified in the Circular.
10. The Filer expects to fund the purchase of Shares pursuant to the Offer, together with the fees and expenses of the Offer, with cash on hand. In any event, the Offer will not be conditional upon the receipt of any financing.
11. Any holder of Shares (**Shareholder**) wishing to tender to the Offer will be able to do so in the following ways:
 - (a) by making auction tenders in which the tendering Shareholders specify the number of Shares being tendered at a specified price per Share (the **Auction Price**) within the Price Range (the **Auction Tenders**);
 - (b) by making purchase price tenders in which the tendering Shareholders do not specify a price per Share, but rather agree to have a specified number of Shares purchased at the Purchase Price (as defined below) to be determined by the Auction Tenders (the **Purchase Price Tenders**);
 - (c) by making proportionate tenders in which the tendering Shareholders agree to sell to the Filer, at the Purchase Price to be determined by the Auction Tenders, a number of Shares that will result in them maintaining their respective proportionate equity ownership in the Filer following completion of the Offer (the **Proportionate Tenders**).
12. Shareholders may make multiple Auction Tenders but not in respect of the same Shares (i.e. Shareholders may tender different Shares at different prices but cannot tender the same Shares at different prices). Shareholders may also make an Auction Tender in respect of certain of their Shares and a Purchase Price Tender in respect of other Shares. Shareholders who make an Auction Tender or a Purchase Price Tender may not make a Proportionate Tender and *vice versa*.

B.3: Reasons and Decisions

13. A registered Shareholder who makes a Proportionate Tender must deposit either all of its Shares or a sufficient number of Shares to satisfy the Shareholder's Proportionate Tender. A beneficial Shareholder who wishes its nominee to make a Proportionate Tender must deposit all of its Shares.
14. Any Shareholder who owns fewer than 100 Shares and tenders all of such Shareholder's Shares pursuant to an Auction Tender at or below the Purchase Price or makes a Purchase Price Tender will be considered to have made an "**Odd-Lot Tender**".
15. The Filer will determine the purchase price payable per Share (the **Purchase Price**) based on the Auction Prices and the number of Shares deposited pursuant to valid Auction Tenders and Purchase Price Tenders. The Purchase Price will be the lowest price that enables the Filer to purchase that number of Shares tendered pursuant to valid Auction Tenders and Purchase Price Tenders having an aggregate purchase price not to exceed an amount (the **Auction Tender Limit Amount**) equal to
 - (a) the Specified Dollar Amount, less
 - (b) the product of
 - (i) the Specified Dollar Amount, and
 - (ii) a fraction, the numerator of which is the aggregate number of Shares owned by Shareholders making valid Proportionate Tenders, and the denominator of which is the aggregate number of Shares outstanding at the time of expiry of the Offer.
16. If the aggregate purchase price for Shares validly tendered pursuant to Auction Tenders at Auction Prices at or below the Purchase Price and Purchase Price Tenders is less than or equal to the Auction Tender Limit Amount, the Filer will purchase at the Purchase Price all Shares so deposited pursuant to Auction Tenders at or below the Purchase Price and Purchase Price Tenders.
17. If the aggregate purchase price for Shares validly tendered pursuant to (i) Auction Tenders at Auction Prices at or below the Purchase Price; and (ii) Purchase Price Tenders is greater than the Auction Tender Limit Amount, then the Filer will purchase at the Purchase Price a portion of the Shares so deposited pursuant to Auction Tenders at or below the Purchase Price and Purchase Price Tenders, determined as follows:
 - (a) first, the Filer will purchase all such Shares tendered by Shareholders at or below the Purchase Price pursuant to Odd-Lot Tenders;
 - (b) second, the Filer will purchase on a pro rata basis that portion of such Shares tendered pursuant to Auction Tenders at or below the Purchase Price and Purchase Price Tenders having an aggregate purchase price, based on the Purchase Price, equal to
 - (i) the Auction Tender Limit Amount, less
 - (ii) the aggregate amount paid by the Filer for Shares tendered pursuant to Odd-Lot Tenders.
18. The Filer will purchase at the Purchase Price that portion of the Shares deposited by Shareholders making valid Proportionate Tenders that results in the tendering Shareholders maintaining their proportionate equity ownership in the Filer following completion of the Offer.
19. The number of Shares that the Filer will purchase pursuant to the Offer and the aggregate purchase price will vary depending on whether the aggregate purchase price payable in respect of Shares required to be purchased pursuant to Auction Tenders at Auction Prices at or below the Purchase Price and Purchase Price Tenders (the **Auction Tender Purchase Amount**) is equal to or less than the Auction Tender Limit Amount. If the Auction Tender Purchase Amount is equal to the Auction Tender Limit Amount, the Filer will purchase Shares for an aggregate purchase price equal to the Specified Dollar Amount. If the Auction Tender Purchase Amount is less than the Auction Tender Limit Amount, the Filer will purchase proportionately fewer Shares in the aggregate, with a proportionately lower aggregate purchase price.
20. ExxonMobil has advised the Filer that it intends to make a Proportionate Tender.
21. All Shares purchased by the Filer pursuant to the Offer (including Shares tendered at Auction Prices below the Purchase Price) will be purchased at the Purchase Price. Shareholders will receive the Purchase Price in cash. All Auction Tenders, Purchase Price Tenders and Proportionate Tenders will be subject to adjustment to avoid the purchase of fractional Shares. All payments to Shareholders will be subject to deduction of applicable withholding taxes.
22. All Shares tendered to the Offer and not taken up will be returned to the appropriate Shareholders.

B.3: Reasons and Decisions

23. The Offer is subject to the provisions of the United States regulation entitled *Regulation 14E* adopted under the 1934 Act (**Regulation 14E**).
24. The Offer is scheduled to expire at • p.m. (Calgary time) on December 8, 2023 (the **Expiration Time**).
25. Until expiry of the Offer, all information about the number of Shares tendered and the prices at which the Shares are tendered will be required to be kept confidential by the depositary and the Filer until the Purchase Price has been determined.
26. Shareholders who do not accept the Offer will continue to hold the same number of Shares as before the Offer and their proportionate Share ownership will increase following completion of the Offer.
27. The Filer may, in connection with the Offer, elect to extend the Offer if the aggregate purchase price for Shares validly tendered pursuant to Auction Tenders at Auction Prices at or below the Purchase Price and Purchase Price Tenders is less than the Auction Tender Limit Amount. The Filer will not extend the Offer if all the terms and conditions of the Offer have been complied with or waived by the Filer by the Expiration Time and the aggregate purchase price of the Shares validly tendered and not withdrawn pursuant to Auction Tenders and Purchase Price Tenders is equal to or greater than the Auction Tender Limit Amount.
28. Under the Extension Take-Up Requirement contained in subsection 2.32(4) of NI 62-104, an offeror may not extend an issuer bid if all the terms and conditions of the issuer bid have been complied with or waived unless the offeror first takes up all the securities deposited and not withdrawn under the issuer bid.
29. Under Regulation 14E, the Filer must promptly pay for all Shares deposited pursuant to the Offer at the time of expiry of the Offer. Regulation 14E does not provide for extensions of the Offer in the manner required by subsection 2.32(4) of NI 62-104.
30. In the event the Offer is extended, the Filer will be unable to take up Shares following the initial expiry of the Offer since the Purchase Price depends on all Auction Prices. Not all Auction Prices will be known at the time of the initial expiry of the Offer since there may be additional Auction Tenders during the extension period. As such, relief from the Extension Take-Up Requirement is required. Providing relief from the Extension Take-Up Requirement would enable the Filer to make a final determination regarding the Purchase Price, taking into account all Shares tendered during the period prior to the initial expiry of the Offer, as well as any subsequent extension period.
31. The Filer intends to rely on the exemption from the formal valuation requirements applicable to issuer bids under Multilateral Instrument 61-101 *Protection of Minority Security Holders in Special Transactions* (**MI 61-101**) set out in paragraph 3.4(b) of MI 61-101 (the **Liquid Market Exemption**).
32. There will be a "liquid market" for the Shares, as such term is defined in MI 61-101, as of the date of the making of the Offer because the test in paragraph 1.2(1)(a) of MI 61-101 will be satisfied. In addition, an opinion has been voluntarily sought by the Filer in accordance with section 1.2 of MI 61-101 confirming that a liquid market exists for the Shares as of the date of the making of the Offer and such opinion will be included in the Circular (the **Liquidity Opinion**).
33. Based on the maximum number of Shares that may be purchased under the Offer, as of the date of the Offer, it will be reasonable to conclude (and the Liquidity Opinion will provide that it will be reasonable to conclude) that, following the completion of the Offer in accordance with its terms, there will be a market for holders of the Shares who do not tender to the Offer that is not materially less "liquid", as such term is defined in MI 61-101, than the market that existed at the time of the making of the Offer.
34. The Filer will disclose in the Circular relating to the Offer the following information:
 - (a) the mechanics for the take-up of and payment for Shares as described herein;
 - (b) that, by tendering Shares at the lowest price in the Price Range under an Auction Tender or by tendering Shares under a Purchase Price Tender or a Proportionate Tender, a Shareholder can reasonably expect that the Shares so tendered will be purchased at the Purchase Price, subject to proration and other terms of the Offer as specified herein;
 - (c) that the Filer has filed for, or has then obtained, as the case may be, an exemption from the Proportionate Take-Up Requirement, the Proportionate Take-Up Disclosure Requirement and the Extension Take-Up Requirement;
 - (d) the manner in which an extension of the Offer will be communicated to Shareholders and the public;
 - (e) that Shares deposited pursuant to the Offer may be withdrawn at any time prior to the expiry of the Offer;

B.3: Reasons and Decisions

- (f) as applicable, the name of each Shareholder that has advised the Filer that it intends to make a Proportionate Tender;
- (g) the facts supporting the Filer's reliance on the Liquid Market Exemption, including the Liquidity Opinion;
- (h) except to the extent exemptive relief is granted further to the Exemption Sought, the disclosure prescribed by applicable securities laws for issuer bids.

Decision

Each of the Decision Makers is satisfied that the decision meets the test set out in the Legislation for the Decision Maker to make the decision.

The decision of the Decision Makers under the Legislation is that the Exemption Sought is granted provided that the Filer

- (a) takes up Shares validly deposited pursuant to the Offer and not withdrawn and pays for such Shares, in each case, in the manner described herein and as set out in the Circular,
- (b) is eligible to rely on the Liquid Market Exemption,
- (c) will issue and file a press release announcing receipt of the Exemption Sought promptly, and in any case, no later than one (1) business day following receipt of the Exemption Sought, and
- (d) complies with the requirements of Regulation 14E.

"Timothy Robson"
Manager, Legal
Corporate Finance
Alberta Securities Commission

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B.4 Cease Trading Orders

B.4.1 Temporary, Permanent & Rescinding Issuer Cease Trading Orders

Company Name	Date of Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/Revoke
THERE IS NOTHING TO REPORT THIS WEEK.				

Failure to File Cease Trade Orders

Company Name	Date of Order	Date of Revocation
Nass Valley Gateway Ltd.	July 13, 2023	November 2, 2023
DGTL Holdings Inc.	October 19, 2023	November 2, 2023
DXStorm.com Inc	November 3, 2023	
KuuHubb Inc	November 3, 2023	
Aretto Wellness Inc.	November 3, 2023	
Critical Infrastructure Technologies Ltd.	November 3, 2023	
Enlighta Inc.	November 3, 2023	
GCC Global Capital Corporation	November 3, 2023	
Metalo Manufacturing Inc.	November 3, 2023	
Pure Extracts Technologies Corp.	November 3, 2023	
Sweet Earth Holdings Corporation	November 3, 2023	
BevCanna Enterprises Inc.	August 3, 2022	November 3, 2023
Haltain Developments Corp.	September 1, 2022	November 6, 2023

B.4.2 Temporary, Permanent & Rescinding Management Cease Trading Orders

Company Name	Date of Order	Date of Lapse
Falcon Gold Corp.	November 1, 2023	

B.4.3 Outstanding Management & Insider Cease Trading Orders

Company Name	Date of Order or Temporary Order	Date of Hearing	Date of Permanent Order	Date of Lapse/Expire	Date of Issuer Temporary Order
Performance Sports Group Ltd.	19 October 2016	31 October 2016	31 October 2016		

B.4: Cease Trading Orders

Company Name	Date of Order	Date of Lapse
Agrios Global Holdings Ltd.	September 17, 2020	
Sproutly Canada, Inc.	June 30, 2022	
iMining Technologies Inc.	September 30, 2022	
Alkaline Fuel Cell Power Corp.	April 4, 2023	
mCloud Technologies Corp.	April 5, 2023	
Element Nutritional Sciences Inc.	May 2, 2023	
CareSpan Health, Inc.	May 5, 2023	
Canada Silver Cobalt Works Inc.	May 5, 2023	
FenixOro Gold Corp.	July 5, 2023	
HAVN Life Sciences Inc.	August 30, 2023	
Falcon Gold Corp.	November 1, 2023	

B.6

Request for Comments

B.6.1 Proposed Amendments to OSC Rule 13-502 Fees and OSC Rule 13-503 (Commodity Futures Act) Fees

OSC NOTICE AND REQUEST FOR COMMENT

PROPOSED AMENDMENTS TO ONTARIO SECURITIES COMMISSION RULE 13-502 FEES AND ONTARIO SECURITIES COMMISSION RULE 13-503 (*COMMODITY FUTURES ACT*) FEES

November 9, 2023

Introduction

The Ontario Securities Commission (the **OSC**, the **Commission** or **we**) is publishing for a 90-day comment period the following:

- (a) proposed amendments (the **Proposed 13-502 Amendments**) to OSC Rule 13-502 *Fees* (the **Fee Rule**), which are set out in Annex A; and
- (b) proposed amendments (the **Proposed 13-503 Amendments**) to OSC Rule 13-503 (*Commodity Futures Act Fees*) (the **CFA Fee Rule**), which are set out in Annex A.1.

In this Notice and Request for Comment, the Proposed 13-502 Amendments and the Proposed 13-503 Amendments are referred to collectively as the **Proposed Amendments**.

The Proposed Amendments respond to the increase in funding required to address the additional regulatory costs required to onboard restricted dealers when compared to most existing market participants. The OSC has been supporting registration by providing a tailored regulatory regime to support restricted dealers, which include Crypto-Asset Trading Platforms (**CTPs**); however, in taking a leadership role in dealing with emerging sectors, additional resources have been dedicated to support the significant regulatory activity. The Proposed Amendments introduce two targeted incremental fees towards restricted dealers:

- an additional fee of \$24,500 at the time of OSC registration; and
- an additional exemptive relief application (**ERA**) fee of \$24,500 for restricted dealers operating as a marketplace.

The Proposed Amendments also include a change to the definition of “registrant firm” in each of the Fee Rule and the CFA Fee Rule that will extend the application of the participation fee and late fee requirements:

- in the Fee Rule, to unregistered persons or companies that are required to be registered as dealers, advisers, or investment fund managers, under the *Securities Act* (Ontario) (the **OSA**)
- in CFA Fee Rule, to unregistered persons or companies that are required to be registered as dealers or advisers, under the *Commodity Futures Act* (the **CFA**).

The timing of the Proposed Amendments is anticipated to become effective on July 2, 2024. The Proposed Amendments are available on the Commission’s website (www.osc.ca). We request comments on the Proposed Amendments by February 7, 2024.

Background

The OSC is a self-funded agency that regulates Ontario’s capital markets. The OSC’s mandate is to protect investors from unfair, improper or fraudulent practices, to foster fair, efficient and competitive capital markets and confidence in the capital markets, to foster capital formation, and to contribute to the stability of the financial system and the reduction of systemic risk.

The fee structure is designed to recover the OSC’s costs in carrying out its mandate. Fees are typically re-evaluated every three years based on the anticipated operating and capital costs to be incurred over the following period and infrequent cyclical investments that occur beyond a three-year cycle.

These Proposed Amendments are being brought forward at this time (and off the regular three year fee cycle) to align fees to the higher costs being incurred by the OSC to onboard restricted dealers when compared to onboarding other market participants.

B.6: Request for Comments

Furthermore, as the proposed fees are sector neutral, they aim to proactively address an evolving cross-subsidization risk of regulatory costs associated with the new and emerging sectors.

Novel businesses, which include CTPs, require significant resource efforts to initiate compliance discussions, including understanding these entities' novel business models and imposing detailed obligations to mitigate investor protection risk. These businesses are typically registered as restricted dealers, subject to specific requirements or conditions as they are exempt from various aspects of the OSA.

The guiding principles used by the OSC to establish any fee rule amendments are as follows:

- Recovery of regulatory costs
- Ease of administration
- Fair and proportionate fees
- Fee predictability

Current registration and ERA fees for dealers and marketplaces, are minimal compared to the costs being incurred by OSC staff during the onboarding stage. Significant OSC staff time is dedicated to understanding these entities' business models and assessing detailed obligations to mitigate investor protection risk.

Substance and Purpose

Restricted Dealers

The Proposed Amendments are aimed at better aligning fees to costs, reflective of the evolution of the regulatory landscape. This section provides information on fees required to manage the increased costs to support the regulatory activities for registration and ERA for restricted dealers and marketplaces, respectively.

The OSC has and continues to observe higher onboarding costs, that is, to register and exempt restricted dealers and restricted dealers performing marketplace functions. Activity fees are relevant in this proposal given OSC staff perform specific regulatory functions that directly benefit the firms applying for registration/exemption.

There is an estimated additional \$24,500 in costs to register CTPs with terms and conditions compared to typical firm registrations. The additional work is required in order to assess the appropriate regulatory framework considering business models that are complex, as typically seen with most restricted dealers. Historically, the average registration fees paid by a CTP amounted to approximately \$2,600. Accordingly, the OSC proposes an additional \$24,500 registration fee for restricted dealers, to better align fees with costs.

Once registered, restricted dealers are subject to annual participation fees within the OSC's existing fee structure.

Restricted Dealers Performing Marketplace Functions

Under the interim approach¹, a CTP performing marketplace functions would need to register as a restricted dealer. Firms will file an ERA to obtain an exemption from operating as a recognized Alternative Trading System. OSC staff estimate that they spend on average \$24,500 more on ERAs for platforms that perform marketplace functions as compared to a typical ERA. Accordingly, the OSC proposes an additional \$24,500 exemption fee in addition to existing ERA fees which is \$4,800 or \$7,000 depending on if relief is sought from one or two (or more) sections of the OSA at the same time, respectively.

Firms that operate a marketplace platform will incur total additional onboarding fees of \$49,000: \$24,500 to apply as a restricted dealer and \$24,500 for those restricted dealers performing marketplace functions.

¹ As described in CSA Staff Notice 21-329 *Guidance for Crypto-Asset Trading Platforms: Compliance with Regulatory Requirements*

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Summary of Fees for Restricted Dealers and Restricted Dealers Performing Marketplace Functions

The table below summarizes the total one-time and ongoing fee implications for restricted dealers and restricted dealers performing marketplace functions:

	Restricted Dealer	Restricted Dealer who also performs marketplace functions
One-time fees		
Registration fee *	\$ 2,600	\$ 2,600
ERA fee **	7,000	7,000
NEW: Additional registration fee for restricted dealer	24,500	24,500
NEW: Additional ERA fee for restricted dealer who also performs marketplace functions	-	24,500
Total	\$ 34,100	\$ 58,600

* Fee begins at \$1,300 per firm, increasing based on number of categories of registration and representatives. \$2,600 represents average historical fee paid by registered CTPs.

** ERA fees are either \$4,800 or \$7,000, depending on whether one or two or more sections of the OSA are requested from exemption. Most CTPs require relief from two or more sections of the OSA, which means most CTPs are required to pay the \$7,000 ERA fee.

Approximate one-time fees are estimated to be \$34,100 and \$58,600 for restricted dealers and restricted dealers performing marketplace functions, respectively.

Detailed Listing of Fee Amendments

Proposed New Fees	
Stakeholders	Description
Restricted dealer	New additional registration fee for restricted dealer - \$24,500 (Fee Rule: Appendix F)
Restricted dealer who also performs marketplace functions	New additional registration fee for restricted dealer - \$24,500 (Fee Rule: Appendix F) New additional exemptive relief application (ERA) fee for restricted dealer who also performs marketplace functions - \$24,500 (Fee Rule: Appendix F)

Change to the definition of “registrant firm”

As indicated above, the Proposed 13-502 Amendments and Proposed 13-503 Amendments include changes to the definition of “registrant firm” in each of the Fee Rule and CFA Fee Rule to extend the application of the participation fee and late fee requirements to unregistered firms that are required to be registered. These changes better align the definition of “registrant firm” in the Fee Rule and the CFA Fee Rule with the respective definitions of “registrant” in the OSA and CFA.

In the OSA, requirements that are made applicable to a “registrant” apply to “a person or company registered or *required to be registered*”. Similarly, in the CFA, requirements that are made applicable to a “registrant” apply to “a person or company registered or *required to be registered*”.

The proposed changes to the definitions of “registrant firm” in the Fee Rule and the CFA Fee Rule are intended to achieve a more equitable allocation of regulatory costs among participants in Ontario’s capital markets, and are consistent with the principles guiding our formulation of fee rule amendments that are referred to above.

The proposed changes to the definition of “registrant firm” in each of the Fee Rule and the CFA Fee Rule will mean that, after the coming into force of these changes: unregistered firms that participate in Ontario’s capital markets in non-compliance with the relevant dealer, adviser and investment fund manager requirements in either the OSA or the CFA - as a result of their failure to

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obtain registration - will become responsible under the corresponding fee rule for paying the participation fees applicable to other registered firms that are now included within the definition of a registrant firm.

Coming-into-Force

The Proposed Amendments will come into force on July 2, 2024.

Authority for the Proposed Amendments

The following provision of the OSA and CFA provide the Commission with the authority to make the Proposed Amendments:

- Paragraph 43 of subsection 143(1) of the OSA, which authorizes the Commission to make rules prescribing fees payable to the Commission.
- Paragraph 25 of subsection 65(1) of the CFA, which authorizes the Commission to make rules prescribing fees payable to the Commission.

Alternatives Considered

The Commission considered maintaining the existing Fee Rule; however, based on recent and increasing disparity in regulatory costs required to onboard restricted dealers when compared to most existing market participants, new fees were necessary. In continuing to provide a tailored regulatory regime to support restricted dealers, which include CTPs; the Commission's regulatory activity has been significant in dealing with emerging sectors. This approach allows the OSC to maintain its commitment to proactively consider regulatory implications of new and emerging sectors and maintain fair and proportionate fees.

Moreover, as noted above, the proposed changes to the definitions of "registrant firm" in the Fee Rule and the CFA Fee Rule are intended to achieve a more equitable allocation of regulatory costs amongst participants in Ontario's capital markets, and are consistent with the principles guiding our formulation of fee rule amendments that are referred to above.

Unpublished Materials

The Commission has not relied on any significant unpublished study, report, decision, or other written materials in putting forward the Proposed Amendments.

Content of Annexes

The following annexes form part of this Notice:

Annex A – Proposed Amendments to OSC Rule 13-502 *Fees*

Annex A.1 - Proposed Amendments to OSC Rule 13-503 *Fees (Commodity Futures Act)*

Annex B – Local Matters (Regulatory Impact Assessment)

Request for Comments

We welcome your comments on the Proposed Amendments.

How to Provide Your Comments

You must provide your comments in writing by February 7, 2024. If you are sending your comments by email, you should also send an electronic file containing the submissions using Microsoft Word.

Please send your comments to the following address:

The Secretary
Ontario Securities Commission
22 Queen Street West
22nd Floor, Box 55
Toronto, Ontario M5H 3S8
Fax: 416-593-2318
[Email: comments@osc.gov.on.ca](mailto:comments@osc.gov.on.ca)

The Commission will publish written comments received unless the Commission approves a commenter's request for confidentiality or the commenter withdraws its comment before the comment's publication.

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Please refer your questions to:

Dena Staikos
Manager
Compliance and Registrant Regulation
DStaikos@osc.gov.on.ca

Michelle Alexander
Manager
Market Regulation
MAlexander@osc.gov.on.ca

Mark Delloro
Senior Accountant
Market Regulation
MDelloro@osc.gov.on.ca

Roger Aguiar
Controller
Financial Management and Reporting
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Liliana Ripandelli
Senior Legal Counsel
General Counsel's Office
lripandelli@osc.gov.on.ca

ANNEX A

**PROPOSED AMENDMENTS TO
ONTARIO SECURITIES COMMISSION RULE 13-502 FEES**

1. *Ontario Securities Commission Rule 13-502 Fees is amended by this Instrument.*

2. *Section 1 is amended by adding the following definition:*

“restricted dealer” has the same meaning as in NI 31-103;.

3. *Appendix F is amended by:*

(a) *adding “, other than in the registration category of restricted dealer” after “registration” in Row I1.*

(b) *adding the following row after Row I1:*

I1.1	Additional fee for new registration of a firm in the registration category of restricted dealer	\$24,500
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(c) *adding the following row after Row L4:*

L5	An application referred to in Row L1 or L2 if the application is by a restricted dealer or a firm that has applied for registration in the category of restricted dealer and involves an exemption from one or more requirements of National Instrument 21-101 <i>Marketplace Operation</i> , National Instrument 23-101 <i>Trading Rules</i> , or National Instrument 23-103 <i>Electronic Trading and Direct Electronic Access to Marketplaces</i>	The amount in Row L1 or L2 is increased by \$24,500
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4. This Instrument comes into force on [●].

ANNEX A.1

PROPOSED AMENDMENTS TO
ONTARIO SECURITIES COMMISSION RULE 13-503 FEES (*COMMODITY FUTURES ACT*)

1. **Ontario Securities Commission Rule 13-503 Fees (*Commodity Futures Act*) is amended by this Instrument.**
2. **Section 1 is amended by replacing the definition of “registrant firm” with the following:**

“registrant firm” means a person or company registered or required to be registered as a dealer or an adviser under the CFA;.
3. **Subsection 7(2) is amended by:**
 - (a) **replacing** (b) advisory or sub-advisory fees paid during the designated financial year by the registrant firm to (i) a registrant firm under the CFA or a registrant firm under the Securities Act, or (ii) an unregistered exempt international firm, as defined in Rule 13-502 Fees under the Securities Act **with the following:**

advisory or sub-advisory fees paid during the designated financial year by; (i) a person or company registered as a dealer or an adviser under the *Commodity Futures Act*; (ii) a registered dealer or a registered adviser, under the *Securities Act* or (iii) an unregistered exempt international firm;
4. **The General Instructions of Form 13-503F1 are amended by replacing subsection (1) with the following:**
 1. This form must be completed by “registrant firms” as defined in this Rule that are not also “registrant firms” as defined in Rule 13-502 Fees under the *Securities Act*. It must be returned to the Ontario Securities Commission by November 1 each year, as required by section 3 of this Rule, except in the case where firms register after November 1 in a year. In this exceptional case, this form must be filed within 60 days of registration.
5. The Notes of Form 13-503F1 are amended by replacing 2. with the following:
 2. Where the advisory services of (i) a person or company registered as a dealer or an adviser under the *Commodity Futures Act* or (ii) a registered dealer, registered adviser or registered investment fund manager, under the *Securities Act*; or (iii) an unregistered exempt international firm, are used by the person or company to advise on a portion of its assets under management, such sub-advisory costs are permitted as a deduction on this line to the extent that they are otherwise included in gross revenues.
6. **Part 4(b) - Other Registrants is amended by replacing line 3 with:**

advisory or sub-advisory fees paid during the designated financial year by it to (i) a person or company registered as a dealer or an adviser under the *Commodity Futures Act*; or (ii) a registered dealer or registered adviser under the *Securities Act*; or or (iii) an unregistered exempt international firm
7. This Instrument comes into force on [●].

ANNEX B

LOCAL MATTERS (REGULATORY IMPACT ASSESSMENT) – ONTARIO

1. Qualitative and quantitative analysis of the anticipated costs and benefits of the Proposed Amendments

OSC staff (the **OSC**, the **Commission** or **we**) have undertaken an analysis of the anticipated costs and benefits of the Proposed Amendments, as set forth below, to analyze the regulatory need for the proposed rule changes. This analysis includes the potential economic impacts, including anticipated costs and benefits, relative to the current baseline (where no additional activity fees are paid by restricted dealers in relation to the Commission's oversight of the new and emerging sectors).

The fee structure model set out in the Proposed Amendments is based on a 'cost-recovery model' – it is designed to recover the Commission's costs to provide protection to investors, promote efficient capital markets and confidence in capital markets, foster capital formation, and to contribute to the stability of the financial system and the reduction of systemic risk.

Proposed Additional Firm Registration Fee for Restricted Dealers and Additional Fee for Restricted Dealers Performing Marketplace Functions

It is important that the OSC's oversight continues to evolve to adequately address the complexity and growth in new and emerging sectors which include Crypto-Asset Trading Platforms (**CTPs**). These businesses, which require significant resource efforts to initiate compliance discussions, are typically registered as restricted dealers and they typically agree to terms and conditions at the registration stage. The OSC tailors each restricted dealer registration with specific requirements or conditions by exempting them from various aspects of the *Securities Act* (Ontario) (**OSA**).

The OSC has and continues to observe higher onboarding costs, that is, to register and exempt restricted dealers and restricted dealers performing marketplace functions. Additional activity fees considerations are relevant given OSC staff perform specific regulatory functions that directly benefit the firms applying for registration/exemption. Accordingly, restricted dealers activity was assessed for the additional costs to register and provide exemptive relief for restricted dealers, and specifically referencing work undertaken with CTPs, who form the majority of restricted dealers.

Proposed Change in Definition of "registrant firm"

The current definition of "registrant firm" in *Ontario Securities Commission Rule 13-502 Fees* and *Ontario Securities Commission Rule 13-503 Commodity Futures Act* (the **Fee Rules**) does not extend to unregistered persons or companies that are required to be registered as dealers, advisers, or investment fund managers. The proposed change to the Fee Rules would extend the application of participation fee and late fee requirements to unregistered firms that are required to be registered. The proposed changes to the definitions of "registrant firm" in the Fee Rules are intended to achieve a more equitable allocation of regulatory costs amongst participants in Ontario's capital markets.

The proposed changes to the definition of "registrant firm" in each of the Fee Rules will mean that, after the coming into force of these changes, unregistered firms that participate in Ontario's capital markets in non-compliance with the relevant dealer, adviser and investment fund manager requirements in either the OSA or the *Commodity Futures Act* (Ontario) (**CFA**) – as a result of their failure to obtain registration - will become responsible under the corresponding Fee Rule for paying the participation fees applicable to other registered firms that are now included within the definition of a registrant firm.

1.1 The anticipated costs of the Proposed Amendments

Where feasible, we have used available information to quantify the anticipated costs of the Proposed Amendments.

1.1.1 Costs of Proposed Additional Fees for Restricted Dealers

1.1.1.0 Anticipated fees to be paid under the Proposed Amendments

Restricted Dealers

There is an estimated \$24,500 in additional OSC costs to register restricted dealers with terms and conditions compared to typical firm registrations. The additional work is required in order to assess the appropriate regulatory framework considering business models that are complex. Historically, the average registration fees paid by a restricted dealers amounted to approximately \$2,600. Accordingly, the OSC proposes an additional \$24,500 registration fee for restricted dealers, to better align fees with costs.

Once registered, restricted dealers are subject to annual participation fees within the OSC's existing fee structure.

Restricted Dealers Performing Marketplace Functions

Under the interim approach¹, a CTP performing marketplace functions needs to register as a restricted dealer. Firms will file an Exemptive Relief Application (ERA) to obtain exemption from operating as a recognized Alternative Trading System (ATS). There is an estimated \$24,500 in additional OSC costs to review ERAs for restricted dealers compared to a typical ERA. Accordingly, the OSC proposes an additional \$24,500 exemption fee in addition to existing ERA fees which range between \$4,800 and \$7,000.

Firms looking to operate a marketplace platform would incur total additional onboarding fees of \$49,000: \$24,500 to apply as a restricted dealer and \$24,500 for those restricted dealers performing marketplace functions.

The table below summarizes the total one-time and ongoing fee implications during the interim period for restricted dealers and restricted dealers performing marketplace functions:

	Restricted Dealer	Restricted Dealer who also performs marketplace functions
One-time fees		
Registration fee *	\$ 2,600	\$ 2,600
ERA fee **	7,000	7,000
NEW: Registration fee for restricted dealer	24,500	24,500
NEW: ERA fee for restricted dealer who also performs marketplace functions	-	24,500
Total	\$ 34,100	\$ 58,600

* Fee begins at \$1,300 per firm, increasing based on number of categories of registration and representatives. \$2,600 represents average fee paid by registered crypto dealers/brokers.

** ERA fees are either \$4,800 or \$7,000, depending on whether one or two or more sections of the OSA are requested from exemption. Most CTPs require relief from two or more sections of the OSA, which means most CTPs are required to pay the \$7,000 ERA fee.

Approximate one-time fees are estimated to be \$34,100 and \$58,600 for restricted dealers and restricted dealers performing marketplace functions, respectively.

Change in Definition of “registrant firm”

The change in the definition of “registrant firm” will only have an impact on unregistered firms that participate in Ontario’s capital markets in non-compliance with the relevant dealer, adviser and investment fund manager requirements in either the OSA or the CFA. The cost to the unregistered firm would be their staff’s time to prepare an estimate for the Ontario specified revenues for the year(s) of which they were not registered. The cost of this time, by firm, could amount to approximately \$764.

1.1.1.1 Other implementation costs to anticipated fee payers

Generally, anticipated fee payers will incur initial and ongoing costs from analyzing the Proposed Amendments and updating policies and procedures for compliance with any terms and conditions agreed upon for registration.

1.1.1.2 Direct costs to investors or other end-users of Restricted Dealers

There will be no direct costs to investors or end-users in arrangements with restricted dealers from the Proposed Amendments.

1.2 The anticipated benefits of the Proposed Amendments

In this section, we present our assessment of the anticipated benefits of the Proposed Amendments. Overall, the Proposed Amendments and appropriate funding benefit the public interest by providing the resources to allow the OSC to maintain its commitment to proactively consider regulatory implications of new and emerging sectors and fulfill its mandate.

¹ As described in CSA Staff Notice 21-329 *Guidance for Crypto-Asset Trading Platforms: Compliance with Regulatory Requirements*

1.2.1 Benefits from Additional Fees for Restricted Dealers

The benefits of the Proposed Amendments relating to the additional fees for restricted dealers include the following:

1.2.1.1 Effectively align fees to costs and proactively manage cross-subsidization

In the absence of the Proposed Amendments, the OSC will not be able to effectively manage cross-subsidization. There has been a need for additional resources to support onboarding restricted dealers compared to most existing market participants, supporting the additional fees. Furthermore, the additional fees will allow the OSC to better manage cross-subsidization of the regulatory costs associated with these emerging/novel sectors, minimizing the need for fees to be paid by market participants not in emerging/novel sectors.

1.2.1.2 Adequate funding will enable the OSC to fulfill its mandate

The OSC's mandate is to protect investors from unfair, improper or fraudulent practices, to foster fair, efficient and competitive capital markets and confidence in the capital markets, to foster capital formation, and to contribute to the stability of the financial system and the reduction of systemic risk. Additional fees relating to restricted dealers will enable the OSC to:

- provide oversight of the emerging/novel sector(s) and related risks and vulnerabilities;
- identify challenges in the emerging/novel sector(s) that may impede market efficiency;
- identify opportunities to strengthen and increase the competitiveness and growth of Ontario markets, and improve policy development; and
- improve coordination and cooperation with other provincial, federal, and foreign agencies to enhance the identification of vulnerabilities and manage risks;
- fund the OSC's efforts to protect Ontario investors from systemic risk and misconduct by providing the OSC with the necessary resources to continue to design and implement a framework for oversight of restricted dealers.

1.2.1.3 Additional fees are simple and easy for market participants to administer

The additional fees contemplated by the Proposed Amendments are fixed at \$24,500 for registration of restricted dealers and a \$24,500 Exemptive Relief Application (ERA) fee for restricted dealers who also perform marketplace functions. Firms looking to operate a marketplace platform would incur total additional onboarding fees of \$49,000: \$24,500 to apply as a restricted dealer and \$24,500 for those restricted dealers performing marketplace functions. The implementation of the Proposed Amendments will not require significant system or process changes by firms but rather the fee will accompany their filings.

1.2.1.4 Fairness to market participants

The proposed changes to the definition of "registrant firm" contemplated by the Proposed Amendments are intended to achieve a more equitable allocation of regulatory costs amongst participants in Ontario's capital markets. Similarly, as noted for the additional fee of the Proposed Amendments, the change in the definition of "registrant firm" will not require significant system or process changes by firms but rather time by the firm's staff to calculate Ontario specified revenues.

2. Legislative Authority for Rule Making

The following provision of the *OSA and CFA* provides the Commission with the authority to make the Proposed Amendments:

- Paragraph 43 of subsection 143(1) of *OSA* authorizes the Commission to make rules prescribing fees payable to the Commission.
- Paragraph 25 of subsection 65(1) of the *CFA*, which authorizes the Commission to make rules prescribing fees payable to the Commission.

3. Alternatives Considered

The Commission considered maintaining the existing Fee Rule; however, as additional resource requirements are necessary to onboard restricted dealers when compared to most existing market participants, new fees are necessary. In continuing to provide a tailored regulatory regime to support restricted dealers, which include CTPs; the Commission's regulatory activity has been significant in dealing with emerging sectors. This approach allows the OSC to maintain its commitment to proactively consider regulatory implications of new and emerging sectors.

B.6: Request for Comments

The alternative to expanding the definition of “registrant firm” would be to maintain the definition as it is. However, the proposed changes to the definitions of “registrant firm” in the Fee Rules are intended to achieve a more equitable allocation of regulatory costs amongst participants in Ontario’s capital markets.

4. Reliance on Unpublished Studies

The Commission has not relied on any significant unpublished study, report, decision, or other written materials in putting forward the Proposed Amendments.

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B.7 Insider Reporting

The following is a weekly summary of insider transactions by insiders of *Ontario reporting issuers* in SEDI ® (the System for Electronic Disclosure by Insiders).¹ The weekly summary contains insider transactions reported during the 7-day period ending Sunday at 11:59 p.m. (i.e. the Sunday prior to the Bulletin Issue date).²

Guide to Codes

Relationship of Insider to Issuer (Rel'n)

- 1 Issuer
- 2 Subsidiary of Issuer
- 3 10% Security Holder of Issuer
- 4 Director of Issuer
- 5 Senior Officer of Issuer
- 6 Director or Senior Officer of 10% Security Holder
- 7 Director or Senior Officer of Insider or Subsidiary of Issuer (other than in 4,5,6)
- 8 Deemed Insider – 6 Months before becoming Insider

Nature of Transaction (T/O)

- 00 Opening Balance-Initial SEDI Report
- 10 Acquisition or disposition in the public market
- 11 Acquisition or disposition carried out privately
- 15 Acquisition or disposition under a prospectus
- 16 Acquisition or disposition under a prospectus exemption
- 22 Acquisition or disposition pursuant to a take-over bid, merger or acquisition
- 30 Acquisition or disposition under a purchase/ ownership plan
- 35 Stock dividend
- 36 Conversion or exchange
- 37 Stock split or consolidation
- 38 Redemption, retraction, cancellation, repurchase
- 40 Short sale
- 45 Compensation for property
- 46 Compensation for services
- 47 Acquisition or disposition by gift
- 48 Acquisition by inheritance or disposition by bequest
- 50 Grant of options
- 51 Exercise of options
- 52 Expiration of options
- 53 Grant of warrants
- 54 Exercise of warrants
- 55 Expiration of warrants
- 56 Grant of rights
- 57 Exercise of rights
- 59 Exercise for cash
- 70 Acquisition or disposition (writing) of third party derivative
- 71 Exercise of third party derivative
- 72 Other settlement of third party
- 73 Expiration of third party derivative
- 90 Change in nature of ownership
- 97 Other
- 99 Correction of Information

Note: The asterisk in the "Date/Month End Holding" column indicates the insider disagreed with the system calculated balance when the transaction was reported.

¹ SEDI® is a registered trademark owned by CDS INC.

² ©CDS INC.

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
79 Resources Ltd.	Common Shares	Kalt, Ryan	3, 4, 5	2023-11-01	10	0.02	462,000	16,000
79 Resources Ltd.	Common Shares	Kalt, Ryan	3, 4, 5	2023-11-01	10	0.02	562,000	100,000
79 Resources Ltd.	Common Shares	Kalt, Ryan	3, 4, 5	2023-11-01	10	0.02	637,000	75,000
79 Resources Ltd.	Common Shares	Kalt, Ryan	3, 4, 5	2023-11-01	10	0.02	712,000	75,000
A&W Revenue Royalties Income Fund	Trust Units	Glowinsky, Fern Tammy	4	2023-10-31	10	29.483	902	4
A&W Revenue Royalties Income Fund	Trust Units	Mindell, David Allen	4	2023-10-31	10	30.01	52,216	276
A&W Revenue Royalties Income Fund	Trust Units	Mindell, David Allen	4	2023-10-31	10	30.01	2,087	11
A&W Revenue Royalties Income Fund	Trust Units	Senecal, Susan	6	2023-10-31	10	30	21,255	11
A&W Revenue Royalties Income Fund	Trust Units	Senecal, Susan	6	2023-10-31	10	30.13	21,309	54
Abitibi Metals Corp.	Common Shares	Deluce, Keith James	3, 4	2023-11-01	11		14,640,741	-4,000,000
Adastra Holdings Ltd.	Common Shares	McLeod, Lachlan Clyde	5	2023-10-31	10	0.25	17,499	4,000
ADF Group Inc.	Unités d'actions différées (UAD-DSU)	Blouin, Myriam	4	2023-10-31	56		37,806	75
ADF Group Inc.	Unités d'actions de performance-Performance Share Units	BOURSIER, Jean-François	5	2023-10-31	56		69,038	55
ADF Group Inc.	Unités d'actions différées (UAD-DSU)	BOURSIER, Jean-François	5	2023-10-31	56		185,138	286
ADF Group Inc.	Unités d'actions différées (UAD-DSU)	D'Aronco, Danilo	4	2023-10-31	56		68,810	1,797
ADF Group Inc.	Unités d'actions différées (UAD-DSU)	Martel, Richard	4	2023-10-31	56		37,806	75
ADF Group Inc.	Unités d'actions différées (UAD-DSU)	Pelletier, Guy	4	2023-10-31	56		37,806	75
ADF Group Inc.	Unités d'actions différées (UAD-DSU)	Rochette, Jean	4	2023-10-31	56		65,368	130
ADF Group Inc.	Unités d'actions de performance-Performance Share Units	Rooney, Daniel P.	7	2023-10-31	56		59,210	47
ADF Group Inc.	Unités d'actions différées (UAD-DSU)	Rooney, Daniel P.	7	2023-10-31	56		230,983	389
Advantage Energy Ltd.	Common Shares	Advantage Energy Ltd.	1	2023-10-31	38	9.4533	1,378,730	1,176,700
Advantage Energy Ltd.	Common Shares	Advantage Energy Ltd.	1	2023-10-31	38	9.4533	234,800	-1,143,930
Advantage Energy Ltd.	Rights Deferred Share Units	Angevine, Jill Terilee	4	2023-10-31	56		135,062	568
Advantage Energy Ltd.	Rights Deferred Share Units	Balog, Stephen	4	2023-10-31	56		149,276	785
Advantage Energy Ltd.	Rights Deferred Share Units	Choate, Deirdre Mary	4	2023-10-31	56		10,087	258
Advantage Energy Ltd.	Rights Deferred Share Units	Clague, Donald M.	4	2023-10-31	56		46,845	517
Advantage Energy Ltd.	Rights Deferred Share Units	Haggis, Paul	4	2023-10-31	56		159,095	517
Advantage Energy Ltd.	Rights Deferred Share Units	MacDonald, Norman	4	2023-10-31	56		13,798	258
Advantage Energy Ltd.	Common Shares	Mah, Andy	4	2023-10-31	10	10.1	760,639	-74,400
Advantage Energy Ltd.	Common Shares	Mah, Andy	4	2023-11-01	10	10.1	685,039	-75,600
Advantage Energy Ltd.	Rights Deferred Share Units	Mah, Andy	4	2023-10-31	56		6,379	258
Advantage Energy Ltd.	Rights Deferred Share Units	McArdle, Janine Marie	4	2023-10-31	56		7,871	548
Aecon Group Inc.	Common Shares	Beck, John Michael	4	2020-03-31	00			
Aecon Group Inc.	Common Shares	Beck, John Michael	4	2023-10-30	10	9.81	10,000	10,000
Aecon Group Inc.	Common Shares	Franceschini, Anthony P.	4	2023-10-30	10	9.65	92,500	2,500

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Aecon Group Inc.	Common Shares	Rosenfeld, Eric Stuart	4	2023-10-30	10	9.816	274,200	10,000
Aegis Brands Inc. (formerly, The Second Cup Ltd.)	Options	Anderson, Martin Robert	5	2023-09-25	00			
Aegis Brands Inc. (formerly, The Second Cup Ltd.)	Options	Anderson, Martin Robert	5	2023-10-27	50	0.25	50,000	50,000
AGF Management Limited	Common Shares Class B	AGF Management Limited, La Societe de Gestion AGF Limitee	1	2023-10-27	38	6.45	7,000	7,000
AGF Management Limited	Common Shares Class B	AGF Management Limited, La Societe de Gestion AGF Limitee	1	2023-10-27	38	6.45	0	-7,000
AGF Management Limited	Common Shares Class B	AGF Management Limited, La Societe de Gestion AGF Limitee	1	2023-10-30	38	6.49	7,000	7,000
AGF Management Limited	Common Shares Class B	AGF Management Limited, La Societe de Gestion AGF Limitee	1	2023-10-30	38	6.49	0	-7,000
AGF Management Limited	Common Shares Class B	AGF Management Limited, La Societe de Gestion AGF Limitee	1	2023-10-31	38	6.51	6,700	6,700
AGF Management Limited	Common Shares Class B	AGF Management Limited, La Societe de Gestion AGF Limitee	1	2023-10-31	38	6.51	0	-6,700
AGF Management Limited	Common Shares Class B	AGF Management Limited, La Societe de Gestion AGF Limitee	1	2023-11-01	38	6.5	6,800	6,800
AGF Management Limited	Common Shares Class B	AGF Management Limited, La Societe de Gestion AGF Limitee	1	2023-11-01	38	6.5	0	-6,800
AGF Management Limited	Common Shares Class B	AGF Management Limited, La Societe de Gestion AGF Limitee	1	2023-11-02	38	6.7	6,600	6,600
AGF Management Limited	Common Shares Class B	AGF Management Limited, La Societe de Gestion AGF Limitee	1	2023-11-02	38	6.7	0	-6,600
Agricann Solutions Corp.	Common Shares	Tombe, Timothy	3	2023-10-31	16	0.1	9,650,431	2,575,431
Agricann Solutions Corp.	Warrants	Tombe, Timothy	3	2019-12-13	00			
Agricann Solutions Corp.	Warrants	Tombe, Timothy	3	2023-10-31	16	0.2	2,575,431	2,575,431
Air Canada	Class B Voting Shares	Hennebelle, Christophe	5	2023-03-27	00			
Air Canada	Class B Voting Shares	Hennebelle, Christophe	5	2023-11-01	10	16.553	5,275	5,275
Alamos Gold Inc.	Rights Deferred Share Units	Murphy, Paul	4	2023-10-31	30		253,094	230
Alamos Gold Inc.	Common Shares Class A	Parsons, Scott Kyle	5	2023-11-03	51	9.62	77,207	21,977
Alamos Gold Inc.	Common Shares Class A	Parsons, Scott Kyle	5	2023-11-03	10	18.315	55,230	-21,977
Alamos Gold Inc.	Options	Parsons, Scott Kyle	5	2023-11-03	51	9.62	270,675	-21,977
Alamos Gold Inc.	Rights Deferred Share Units	Prichard, John Robert Stobo	4	2023-10-31	30		121,025	295
Alamos Gold Inc.	Common Shares Class A	Thompson, Rebecca	5	2023-11-01	51	7.07	19,066	10,873
Alamos Gold Inc.	Common Shares Class A	Thompson, Rebecca	5	2023-11-01	10	17.19	8,193	-10,873
Alamos Gold Inc.	Common Shares Class A	Thompson, Rebecca	5	2023-11-02	51	7.63	20,193	12,000
Alamos Gold Inc.	Common Shares Class A	Thompson, Rebecca	5	2023-11-02	10	17.4	8,193	-12,000
Alamos Gold Inc.	Common Shares Class A	Thompson, Rebecca	5	2023-11-03	51	7.63	20,964	12,771
Alamos Gold Inc.	Common Shares Class A	Thompson, Rebecca	5	2023-11-03	10	18.34	8,193	-12,771

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Alamos Gold Inc.	Options	Thompson, Rebecca	5	2023-11-01	51	7.07	101,385	-10,873
Alamos Gold Inc.	Options	Thompson, Rebecca	5	2023-11-02	51	7.63	89,385	-12,000
Alamos Gold Inc.	Options	Thompson, Rebecca	5	2023-11-03	51	7.63	76,614	-12,771
Alamos Gold Inc.	Rights Restricted Share Units	Thompson, Rebecca	5	2023-11-01	59	17.23	11,248	-2,720
Alerio Gold Corp. (formerly, Project One Resources Ltd.)	Common Shares	Mohan, Chatradharee	3	2023-11-01	10	0.025	17,900,332	-200,000
Algonquin Power & Utilities Corp.	Restricted Share Units	Huskilson, Christopher	4	2023-11-01	56	8.5181	161,420	53,806
Allegiant Gold Ltd.	Common Shares	Gianulis, Peter Lawrence	4, 6	2023-11-02	10	0.12	1,683,888	30,000
Allegiant Gold Ltd.	Common Shares	Gianulis, Peter Lawrence	4, 6	2023-11-03	57		1,921,388	237,500
Allegiant Gold Ltd.	Restricted Share Unit	Gianulis, Peter Lawrence	4, 6	2023-11-03	57		975,000	-237,500
Allied Properties Real Estate Investment Trust	Units	Cormack, Lois	4	2018-05-10	00			
Allied Properties Real Estate Investment Trust	Units	Cormack, Lois	4	2023-11-01	10	17	2,000	2,000
Allied Properties Real Estate Investment Trust	Units	Cormack, Lois	4	2018-05-10	00			
Allied Properties Real Estate Investment Trust	Units	Cormack, Lois	4	2023-11-01	10	16.38	2,400	2,400
Allied Properties Real Estate Investment Trust	Units	Miatello, Anne Elizabeth	5	2021-01-04	00			
Allied Properties Real Estate Investment Trust	Units	Miatello, Anne Elizabeth	5	2023-10-31	10	16.074	1,860	1,860
Allied Properties Real Estate Investment Trust	Units	Sender, Stephen	4	2023-11-02	10	17.485	9,999	3,000
Almonty Industries Inc.	Common Shares	Black, Michael Lewis	4, 5	2023-10-27	11	0.45	11,800,750	1,111,111
Almonty Industries Inc.	Warrants	Black, Michael Lewis	4, 5	2023-10-27	11	0.6	1,755,611	1,111,111
Almonty Industries Inc.	Common Shares	D'Amato, Daniel Guy	4	2023-10-27	11	0.33	3,229,411	1,111,111
Almonty Industries Inc.	Warrants	D'Amato, Daniel Guy	4	2023-10-27	11	0.45	1,111,111	1,111,111
Alpha Lithium Corporation	Common Shares	Cooper, Christopher	4	2023-10-25	11	0.548	344,826	250,000
Alpha Lithium Corporation	Common Shares	Cooper, Christopher	4	2023-10-25	11	1.15	544,826	200,000
Alpha Lithium Corporation	Common Shares	Cooper, Christopher	4	2023-10-25	10	1.47	297,826	-247,000
Alpha Lithium Corporation	Common Shares	Cooper, Christopher	4	2023-10-25	57		547,826	250,000
Alpha Lithium Corporation	Common Shares	Cooper, Christopher	4	2023-10-31	22	1.48	0	-547,826
Alpha Lithium Corporation	Options	Cooper, Christopher	4	2023-10-25	51	0.548	200,000	-250,000
Alpha Lithium Corporation	Options	Cooper, Christopher	4	2023-10-25	11	0.548		250,000
Alpha Lithium Corporation	Options	Cooper, Christopher	4	2023-10-25	11	0.548		250,000
Alpha Lithium Corporation	Options	Cooper, Christopher	4	2023-10-25	51	1.15	0	-200,000
Alpha Lithium Corporation	Options	Cooper, Christopher	4	2023-10-25	11	1.15		200,000
Alpha Lithium Corporation	Options	Cooper, Christopher	4	2023-10-25	11	1.15		200,000
Alpha Lithium Corporation	Options	Cooper, Christopher	4	2023-10-25	51	0.548		-250,000
Alpha Lithium Corporation	Options	Cooper, Christopher	4	2023-10-25	51	0.548		-250,000
Alpha Lithium Corporation	Restricted Share Units	Cooper, Christopher	4	2023-10-31	57		0	-250,000
Alpha Lithium Corporation	Common Shares	Steinke, Nathan	5	2023-10-31	22		0	-1,530,000
Alpha Lithium Corporation	Common Shares	TechEnergy Lithium Canada Inc.	3	2023-10-31	22	1.48	138,566,277	35,873,662
Alta Copper Corp.	Common Shares	Bonifacio, Giulio	4	2023-11-01	16	0.5	1,661,240	231,000
Alta Copper Corp.	Common Shares	Found, Dale Andrew	5	2023-11-01	16	0.5	127,682	93,334
Alta Copper Corp.	Common Shares	Freeze, Joanne	4, 5	2023-11-01	16	0.5	1,740,121	400,000
Alta Copper Corp.	Common Shares	Waller, Sean Ivor	4, 5	2023-11-01	16	0.5	363,250	60,000
Altima Resources Ltd.	Common Shares	DeVries, Joe	4	2023-10-31	10	0.02	345,033	32,000
ALX Resources Corp.	Common Shares	Stanyer, Warren William	4	2023-10-27	10	0.03	4,207,725	5,000
ALX Resources Corp.	Common Shares	Stanyer, Warren William	4	2023-10-30	10	0.03	4,211,725	4,000
ALX Resources Corp.	Common Shares	Stanyer, Warren William	4	2023-11-01	10	0.03	4,219,725	8,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
ALX Resources Corp.	Common Shares	Stanyer, Warren William	4	2023-11-03	10	0.03	4,229,725	10,000
American Aires Inc.	Options	Bruni, Joshua	4, 5	2023-10-30	52		0	-1,500,000
American Aires Inc.	Common Shares	Savitski, Vitali	5	2023-10-27	46			250,000
American Aires Inc.	Common Shares	Savitski, Vitali	5	2023-10-27	46		4,295,448	500,000
American Aires Inc.	Common Shares	Serov, Dimitry	3, 4, 5	2023-09-18	37		3,973,000	-35,757,000
American Aires Inc.	Options	Serov, Dimitry	3, 4, 5	2023-10-30	52		0	-1,500,000
American Future Fuel Corporation (formerly Future Fuel Corporation)	Common Shares	suda, david, richard	4, 5	2023-10-30	10	0.3253	285,000	10,000
Americas Gold and Silver Corporation	Common Shares	Blasutti, Darren John	5	2023-10-31	10	0.3618	1,257,078	100,000
Antioquia Gold Inc.	Common Shares	Bravo, Ivo Alfonso	4	2020-11-01	00		445,500	
Antioquia Gold Inc.	Common Shares	Bravo, Ivo Alfonso	4	2023-10-25	10	0.01	0	-445,500
Appia Rare Earths & Uranium Corp.	Common Shares	UNDERHILL, DOUGLAS HENRY	4	2003-09-27	10	0.25		-20,000
Appia Rare Earths & Uranium Corp.	Common Shares	UNDERHILL, DOUGLAS HENRY	4	2023-09-27	10	0.25	0	-20,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-03	38	21.831	150,000	150,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-04	38	21.673	300,000	150,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-04	38	21.014	450,000	150,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-05	38	20.974	600,000	150,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-06	38	20.358	750,000	150,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-06	38		0	-750,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-10	38	20.344	150,000	150,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-11	38	20.639	300,000	150,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-12	38	21.728	400,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-13	38	21.676	500,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-13	38		0	-500,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-16	38	21.771	100,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-17	38	22.045	200,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-18	38	21.838	300,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-19	38	21.805	400,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-20	38	22.214	500,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-20	38		0	-500,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-23	38	22.498	100,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-23	38	22.515	200,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-24	38	22.41	300,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-25	38	21.923	400,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-26	38	21.846	500,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-27	38	22.004	600,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-31	38	21.826	700,000	100,000
ARC Resources Ltd.	Common Shares	ARC Resources Ltd.	1	2023-10-31	38		0	-700,000
Arcland Resources Inc.	Common Shares	Hall, Hugh Dickson	4	2023-08-30	00			
Arcus Development Group Inc.	Warrants	Gray, James Douglas	4, 5	2023-10-30	55	0.05	0	-950,000
Argo Gold Inc.	Common Shares	Baker, Judith Catharine	4	2023-11-01	16	0.1	5,727,250	800,000
Aritzia Inc.	Subordinate Voting Shares	Aritzia Inc.	1	2023-09-28	38	22.25	361,941	6,000
Aritzia Inc.	Subordinate Voting Shares	Aritzia Inc.	1	2023-10-31	38		0	-6,000
Arizona Metals Corp.	Common Shares	Reid, Paul Gregory	4	2023-11-03	10	2.43	3,376,000	25,000
Artis Real Estate Investment Trust	Preferred Shares Series E	Artis Real Estate Investment Trust	1	2023-10-27	38	16.28	3,600	3,600
Artis Real Estate Investment Trust	Preferred Shares Series I	Artis Real Estate Investment Trust	1	2023-10-27	38	16.376	3,700	3,700

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Asante Gold Corporation	Common Shares	Heath, Alexandre Nicolas	4	2023-10-27	10	1.306	416,200	-3,500
Asante Gold Corporation	Common Shares	Heath, Alexandre Nicolas	4	2023-10-27	10	1.3294	406,200	-10,000
Asante Gold Corporation	Common Shares	Heath, Alexandre Nicolas	4	2023-10-30	10	1.3	400,000	-6,200
AsiaBaseMetals Inc.	Common Shares	Chowdhry, Raj	4	2023-10-30	10	0.12	28,451,555	1,000
AsiaBaseMetals Inc.	Common Shares	Chowdhry, Raj	4	2023-10-31	10	0.12	28,452,055	500
AsiaBaseMetals Inc.	Common Shares	Chowdhry, Raj	4	2023-11-01	10	0.12	28,452,555	500
Asian Television Network International Limited	Common Shares	CHANDRASEKAR, SUBRAHMAN	4, 5	2023-10-31	10	0.1	18,144,518	8,000
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-02	10	4.217	720,500	269,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-03	10	4.1674	990,400	269,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-04	10	4.0098	1,260,300	269,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-05	10	3.9362	1,530,200	269,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-06	10	3.9789	1,800,100	269,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-06	38		1,574,800	-225,300
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-06	38		1,304,900	-269,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-06	38		1,035,000	-269,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-06	38		765,100	-269,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-06	38		539,800	-225,300
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-10	10	4.1561	834,300	294,500
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-11	10	4.0657	1,128,800	294,500
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-12	10	4.1422	1,423,300	294,500
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-13	10	4.257	1,717,800	294,500
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-13	38		1,447,900	-269,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-13	38		1,178,000	-269,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-13	38		883,500	-294,500
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-13	38		589,000	-294,500
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-16	10	4.252	866,400	277,400
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-17	10	4.2593	1,143,800	277,400
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-18	10	4.247	1,421,200	277,400
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-19	10	4.2839	1,698,600	277,400
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-20	10	4.2427	1,976,000	277,400
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-20	38		1,681,500	-294,500
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-20	38		1,387,000	-294,500
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-20	38		1,109,600	-277,400

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-20	38		832,200	-277,400
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-20	38		554,800	-277,400
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-23	10	4.149	835,700	280,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-24	10	4.0977	1,116,600	280,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-25	10	4.0893	1,397,500	280,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-26	10	4.1158	1,678,400	280,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-27	10	4.119	1,959,300	280,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-27	38		1,681,900	-277,400
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-27	38		1,404,500	-277,400
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-27	38		1,123,600	-280,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-27	38		842,700	-280,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-27	38		561,800	-280,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-30	10	4.105	858,000	296,200
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-31	10	4.1372	1,154,200	296,200
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-31	38		873,300	-280,900
Athabasca Oil Corporation	Common Shares	Athabasca Oil Corporation	1	2023-10-31	38		592,400	-280,900
Atomic Minerals Corporation	Common Shares	Helmel, Alexander Bernhard	4, 5	2023-10-18	37		94,500	-378,000
Atomic Minerals Corporation	Options	Helmel, Alexander Bernhard	4, 5	2017-12-21	00			
Atomic Minerals Corporation	Options	Helmel, Alexander Bernhard	4, 5	2021-02-11	50	0.1	500,000	500,000
Atomic Minerals Corporation	Options	Helmel, Alexander Bernhard	4, 5	2023-10-18	37		100,000	-400,000
Atomic Minerals Corporation	Common Shares	Massey, Clive	4, 5	2023-10-18	37		127,286	-509,142
Atomic Minerals Corporation	Options	Massey, Clive	4, 5	2023-10-18	37		110,000	-440,000
Aton Resources Inc.	Common Shares	OU MOONRIDER	3	2023-10-26	16	0.22	40,986,326	12,921,364
Aton Resources Inc.	Common Shares	Soliman, Assem Adel	4	2021-03-22	00		819,500	
Aton Resources Inc.	Common Shares	Soliman, Assem Adel	4	2023-10-26	16	0.22	894,500	75,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	4	2023-10-31	10	0.48	12,890,082	9,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	4	2023-10-31	10	0.47	12,899,082	9,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	4	2023-11-01	10	0.45	12,909,082	10,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	4	2023-11-02	10	0.465	12,912,582	3,500
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	4	2023-11-02	10	0.45	12,938,582	26,000
Aurion Resources Ltd.	Common Shares	Lotan Holdings Inc.	4	2023-11-03	10	0.42	12,990,082	51,500
Auxico Resources Canada Inc.	Common Shares	Central America Nickel Inc.	3	2021-02-03	00			
Auxico Resources Canada Inc.	Common Shares	Central America Nickel Inc.	3	2023-06-19	00		2,550,000	
Auxico Resources Canada Inc.	Convertible Debentures	Central America Nickel Inc.	3	2021-02-03	00			
Auxico Resources Canada Inc.	Convertible Debentures	Central America Nickel Inc.	3	2021-02-03	00			

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Auxico Resources Canada Inc.	Convertible Debentures	Central America Nickel Inc.	3	2023-06-19	00			
Auxico Resources Canada Inc.	Warrants	Central America Nickel Inc.	3	2021-02-03	00			
Auxico Resources Canada Inc.	Warrants	Central America Nickel Inc.	3	2023-06-19	00		1,275,000	
Avanti Gold Corp.	Common Shares	The K2 Principal Fund L.P.	3	2023-11-01	10	0.29	6,251,456	110,000
Avaron Mining Corp. (formerly Benz Capital Corp.)	Common Shares	Vicentijevic, Miloje	4	2023-11-01	10	0.083	2,665,000	13,000
Avila Energy Corporation	Common Shares	VAN BETUW, LEONARD B.	4	2023-10-31	11	0.05	46,687,000	2,400,000
Avila Energy Corporation	Warrants	VAN BETUW, LEONARD B.	4	2021-07-18	00			
Avila Energy Corporation	Warrants	VAN BETUW, LEONARD B.	4	2023-10-31	11	0.05	1,200,000	1,200,000
Awale Resources Limited	Common Shares Consolidated	Birchall, Robin Jonathan Eckford	4	2023-10-20	46		93,691	32,858
Axiom Capital Advisors Inc.	Common Shares	Lowes, Sebastian	8	2023-09-25	00			
Axiom Capital Advisors Inc.	Common Shares	Lowes, Sebastian	8	2023-09-25	00			
Axiom Capital Advisors Inc.	Options	Tso, Tak Tsan (Simon)	5	2023-09-25	50			100,000
Axiom Capital Advisors Inc.	Options	Tso, Tak Tsan (Simon)	5	2023-09-25	50			100,000
Ayr Wellness Inc.	Restricted Exchangeable Stock Units (RSU)	Goubert, David Charly	5	2023-11-01	57		10,846	-450,692
Ayr Wellness Inc.	Subordinate, Restricted and Limited Voting Shares	Goubert, David Charly	5	2023-11-01	57		550,692	450,692
Azimut Exploration Inc.	Options	BERGEVIN, Christiane	4	2023-10-26	50	0.95	180,000	80,000
Azimut Exploration Inc.	Options	Lulin, Jean-Marc	4, 5	2023-10-26	50	0.95	1,770,000	300,000
Azimut Exploration Inc.	Options	Mullan, Glenn J	4	2023-10-26	50	0.95	345,000	100,000
Bank of Montreal	Deferred Share Units	Agrawal, Piyush	5	2023-10-30	35	113.28	8,988	115
Bank of Montreal	Deferred Share Units	Casper, David Robert	5	2023-10-30	35	113.28	36,298	456
Bank of Montreal	Deferred Share Units	HACKETT, DARREL	7	2023-10-30	35	113.28	2,876	37
Bank of Montreal	Deferred Share Units	Haward-Laird, Sharon Marie	5	2023-10-30	35	113.28	2,527	32
Bank of Montreal	Deferred Share Units	Johannson, Erminia	5	2023-10-30	35	113.28	22,614	289
Bank of Montreal	Deferred Share Units	Kamanga, Deland	5	2023-10-30	35	113.28	121,649	1,558
Bank of Montreal	Deferred Share Units	Malone, Mona Elizabeth	5	2023-10-30	35	113.28	2,399	30
Bank of Montreal	Deferred Share Units	Tennyson, Steven Lloyd	5	2023-10-30	35	113.28	24,327	311
Bank of Montreal	Deferred Share Units	Tuzun, Tayfun	5	2023-10-30	35	113.28	13,047	167
Bank of Montreal	Deferred Share Units	White, William Darryl	7, 5	2023-10-30	35	113.28	64,901	831
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Aufreiter, Nora Anne	4	2023-10-27	56	55.41	33,794	1,466
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Aufreiter, Nora Anne	4	2023-10-27	30	55.41	34,412	618
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Babatz, Guillermo	4	2023-10-27	56	55.41	28,550	1,173
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Babatz, Guillermo	4	2023-10-27	30	55.41	29,073	523
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Bonham, Scott Barclay	4	2023-10-27	56	55.41	31,555	1,240

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Bonham, Scott Barclay	4	2023-10-27	30	55.41	32,135	580
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Callahan, Daniel Hughes	4	2023-10-27	56	55.41	9,265	1,241
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Callahan, Daniel Hughes	4	2023-10-27	30	55.41	9,418	153
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Dowrich, Wendell Dave	4	2023-10-27	56	55.41	6,065	1,241
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Dowrich, Wendell Dave	4	2023-10-27	30	55.41	6,157	92
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Medline, Michael Bennett	4	2023-09-01	00			
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Medline, Michael Bennett	4	2023-10-27	56	55.41	827	827
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Patterson, Lynn Katherine	4	2023-10-27	56	55.41	12,213	1,241
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Patterson, Lynn Katherine	4	2023-10-27	30	55.41	12,423	210
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Penner, Michael D.	4	2023-10-27	56	55.41	25,476	1,241
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Penner, Michael D.	4	2023-10-27	30	55.41	25,939	463
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Power, Una Marie	4	2023-10-27	56	55.41	34,762	1,241
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Power, Una Marie	4	2023-10-27	30	55.41	35,403	641
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Regent, Aaron William	4	2023-10-27	56	55.41	64,051	2,256
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Regent, Aaron William	4	2023-10-27	30	55.41	65,233	1,182
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Rovinescu, Calin	4	2023-10-27	56	55.41	12,287	1,466
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Rovinescu, Calin	4	2023-10-27	30	55.41	12,494	207
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Stuart, Sandra	4	2023-09-01	00			
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Stuart, Sandra	4	2023-10-27	56	55.41	827	827
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Warmbold, Benita Marie	4	2023-10-27	56	55.41	20,535	1,466
Bank of Nova Scotia, The	Rights Director Deferred Stock Units (DDSU)	Warmbold, Benita Marie	4	2023-10-27	30	55.41	20,900	365
Barksdale Resources Corp.	Options	Waldkirch, Michael	5	2023-08-14	50	0.59	748,410	100,000
Base Carbon Inc.	Common Shares	Costa, Michael	4, 5	2023-10-27	11	0.44	455,978	155,978

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Base Carbon Inc.	Common Shares	Crumb, Joshua Dale	6	2023-10-27	11	0.44	1,932,718	-424,204
Base Carbon Inc.	Common Shares	Flax, Catherine	4, 6	2023-10-27	11	0.44	325,099	56,530
Base Carbon Inc.	Common Shares	Fulford, Wesley Lloyd	5	2023-10-27	11	0.44	2,582,931	120,805
Base Carbon Inc.	Common Shares	Naudie, Margot	4, 6	2023-10-27	11	0.44	45,368	43,783
Base Carbon Inc.	Common Shares	Tozer, Bruce Christian	4	2022-02-23	00			
Base Carbon Inc.	Common Shares	Tozer, Bruce Christian	4	2023-10-27	11	0.44	47,108	47,108
Battery Mineral Resources Corp.	Convertible Debentures	Nikeas, Lazaros	4, 5	2021-02-17	00			
Battery Mineral Resources Corp.	Convertible Debentures	Nikeas, Lazaros	4, 5	2023-10-19	16		\$2,719,856	\$2,719,856
Battery Mineral Resources Corp.	Convertible Debentures	Nikeas, Lazaros	4, 5	2023-11-03	16		\$4,534,856	\$1,815,000
Battery Mineral Resources Corp.	Convertible Debentures	Nikeas, Lazaros	4, 5	2021-02-17	00			
Battery Mineral Resources Corp.	Convertible Debentures	Nikeas, Lazaros	4, 5	2023-10-19	16		\$12,960,367	\$12,960,367
Bayhorse Silver Inc.	Common Shares	O'Neill, Graeme	5	2023-11-01	10	0.02	8,871,075	-700,000
Baylin Technologies Inc.	Common Shares	Davis, Janice Leslie	4	2023-10-31	16	0.25	212,064	14,584
Baylin Technologies Inc.	Deferred Share Units	Pankajakshan, Bejoy	4	2023-10-31	56	0.25	136,794	12,500
Baylin Technologies Inc.	Deferred Share Units	Reiter, Barry	4	2023-10-31	56	0.25	481,648	18,332
Baylin Technologies Inc.	Common Shares	Saska, David	4	2023-10-31	16	0.25	225,438	16,668
Baylin Technologies Inc.	Deferred Share Units	SIMMONDS, DONALD E.	4	2023-10-31	56	0.25	708,976	29,168
Baylin Technologies Inc.	Deferred Share Units	Wolkin, Harold Morton	4	2023-10-31	56	0.25	690,609	33,332
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-02	38	4.16	1,469,200	479,600
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-03	38	4.091	1,955,300	486,100
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-04	38	3.889	2,465,200	509,900
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-05	38	3.8372	2,982,700	517,500
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-06	38	3.8986	3,494,000	511,300
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-09	38	4.19	3,972,000	478,000
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-10	38	4.1846	4,451,500	479,500
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-11	38	4.1376	4,936,000	484,500
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-12	38	4.1651	5,415,000	479,000
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-13	38	4.3215	5,876,900	461,900
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-16	38	4.3379	6,337,129	460,229
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-17	38	4.387	6,792,729	455,600
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-18	38	4.4259	7,242,729	450,000
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-19	38	4.5298	7,681,629	438,900
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-20	38	4.547	8,119,529	437,900
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-23	38	4.452	8,567,129	447,600
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-24	38	4.3653	9,022,129	455,000
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-25	38	4.3422	9,477,692	455,563
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-26	38	4.3198	9,934,392	456,700
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-27	38	4.3141	10,390,592	456,200
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-30	38	4.2898	10,850,392	459,800
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-31	38	4.3525	11,302,092	451,700
Baytex Energy Corp.	Common Shares	Baytex Energy Corp	1	2023-10-31	38		911,500	-10,390,592
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-02	38	15.1	45,100	45,100
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-03	38	15.033	47,200	2,100
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-04	38	14.589	49,500	2,300
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-05	38	14.56	51,900	2,400

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-06	38	14.468	53,900	2,000
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-10	38	14.593	56,300	2,400
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-11	38	14.642	58,300	2,000
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-12	38	14.817	60,700	2,400
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-13	38	14.74	62,600	1,900
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-16	38	14.754	64,600	2,000
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-17	38	14.706	66,600	2,000
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-18	38	14.561	68,200	1,600
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-19	38	14.502	119,700	51,500
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-20	38	14.395	121,800	2,100
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-23	38	14.206	124,100	2,300
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-24	38	14.16	126,000	1,900
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-25	38	14.098	128,400	2,400
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-26	38	13.94	130,800	2,400
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		85,700	-45,100
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		83,600	-2,100
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		81,300	-2,300
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		78,900	-2,400
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		76,900	-2,000
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		74,500	-2,400
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		72,500	-2,000
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		70,100	-2,400
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		68,200	-1,900
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		66,200	-2,000
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		64,200	-2,000
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		62,600	-1,600
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		11,100	-51,500
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		9,000	-2,100
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		6,700	-2,300
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		4,800	-1,900
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		2,400	-2,400

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AA	BCE Inc.	1	2023-10-30	38		0	-2,400
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-02	38	17.708	800	800
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-03	38	17.671	2,400	1,600
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-04	38	17.52	3,400	1,000
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-05	38	17.433	4,300	900
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-06	38	17.348	5,500	1,200
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-10	38	17.414	7,200	1,700
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-11	38	17.543	8,500	1,300
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-12	38	17.401	58,800	50,300
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-13	38	17.523	60,300	1,500
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-16	38	17.414	61,800	1,500
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-17	38	17.561	63,200	1,400
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-18	38	17.455	64,600	1,400
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-19	38	17.5	114,600	50,000
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-20	38	17.509	116,000	1,400
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-23	38	17.304	117,700	1,700
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-24	38	17.25	119,100	1,400
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-25	38	17.3	119,200	100
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-26	38	17.186	120,300	1,100
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		119,500	-800
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		117,900	-1,600
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		116,900	-1,000
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		116,000	-900
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		114,800	-1,200
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		113,100	-1,700
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		111,800	-1,300
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		61,500	-50,300
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		60,000	-1,500
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		58,500	-1,500
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		57,100	-1,400
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		55,700	-1,400

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		5,700	-50,000
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		4,300	-1,400
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		2,600	-1,700
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		1,200	-1,400
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		1,100	-100
BCE Inc.	Preferred Shares Series AB	BCE Inc.	1	2023-10-30	38		0	-1,100
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-02	38	15.43	50,000	50,000
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-03	38	15.27	50,800	800
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-04	38	14.906	51,800	1,000
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-05	38	15	52,700	900
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-06	38	14.796	53,200	500
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-10	38	14.905	54,000	800
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-11	38	15.127	54,700	700
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-12	38	15.048	55,300	600
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-13	38	14.93	91,500	36,200
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-16	38	15.056	92,400	900
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-17	38	15.052	93,300	900
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-18	38	14.81	94,100	800
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-19	38	15.132	94,600	500
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-20	38	14.693	95,300	700
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-23	38	14.567	95,600	300
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-24	38	14.508	96,400	800
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-25	38	14.386	97,400	1,000
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-26	38	14.466	98,100	700
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		48,100	-50,000
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		47,300	-800
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		46,300	-1,000
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		45,400	-900
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		44,900	-500
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		44,100	-800
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		43,400	-700

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		42,800	-600
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		6,600	-36,200
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		5,700	-900
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		4,800	-900
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		4,000	-800
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		3,500	-500
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		2,800	-700
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		2,500	-300
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		1,700	-800
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		700	-1,000
BCE Inc.	Preferred Shares Series AC	BCE Inc.	1	2023-10-30	38		0	-700
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-02	38	17.501	50,500	50,500
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-03	38	17.45	50,700	200
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-04	38	17.396	51,800	1,100
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-05	38	17.337	52,900	1,100
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-06	38	17.273	53,700	800
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-10	38	17.399	54,700	1,000
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-11	38	17.48	56,000	1,300
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-12	38	17.4	106,300	50,300
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-13	38	17.529	107,600	1,300
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-16	38	17.501	158,000	50,400
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-17	38	17.576	159,000	1,000
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-18	38	17.51	160,100	1,100
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-19	38	17.494	161,400	1,300
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-20	38	17.5	161,900	500
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-23	38	17.354	163,200	1,300
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-24	38	17.364	163,700	500
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-25	38	17.2	195,100	31,400
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-26	38	17.038	196,000	900
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		145,500	-50,500
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		145,300	-200

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		144,200	-1,100
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		143,100	-1,100
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		142,300	-800
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		141,300	-1,000
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		140,000	-1,300
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		89,700	-50,300
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		88,400	-1,300
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		38,000	-50,400
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		37,000	-1,000
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		35,900	-1,100
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		34,600	-1,300
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		34,100	-500
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		32,800	-1,300
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		32,300	-500
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		900	-31,400
BCE Inc.	Preferred Shares Series AD	BCE Inc.	1	2023-10-30	38		0	-900
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-02	38	17.275	800	800
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-03	38	17.307	1,800	1,000
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-04	38	17.162	2,800	1,000
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-05	38	17.048	3,600	800
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-06	38	16.971	4,300	700
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-10	38	17.081	5,000	700
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-13	38	17.19	5,700	700
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-16	38	17.067	6,000	300
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-17	38	17.164	7,100	1,100
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-18	38	17.079	8,300	1,200
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-19	38	17.1	8,800	500
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-20	38	17.1	9,200	400
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-23	38	16.929	10,300	1,100
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-24	38	16.936	11,200	900
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-25	38	16.837	12,100	900

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-26	38	16.808	13,200	1,100
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		12,400	-800
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		11,400	-1,000
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		10,400	-1,000
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		9,600	-800
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		8,900	-700
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		8,200	-700
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		7,500	-700
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		7,200	-300
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		6,100	-1,100
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		4,900	-1,200
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		4,400	-500
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		4,000	-400
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		2,900	-1,100
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		2,000	-900
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		1,100	-900
BCE Inc.	Preferred Shares Series AE	BCE Inc.	1	2023-10-30	38		0	-1,100
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-02	38	14.991	1,000	1,000
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-03	38	14.847	2,200	1,200
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-04	38	14.631	3,400	1,200
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-05	38	14.789	4,300	900
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-10	38	14.929	5,200	900
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-11	38	14.92	6,500	1,300
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-12	38	14.935	7,700	1,200
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-13	38	14.91	8,600	900
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-16	38	14.88	9,200	600
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-17	38	14.9	10,200	1,000
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-18	38	14.722	11,200	1,000
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-19	38	14.723	12,300	1,100
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-20	38	14.72	12,800	500
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-23	38	14.557	13,800	1,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-24	38	14.552	15,100	1,300
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-25	38	14.525	16,400	1,300
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-26	38	14.373	17,600	1,200
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		16,600	-1,000
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		15,400	-1,200
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		14,200	-1,200
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		13,300	-900
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		12,400	-900
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		11,100	-1,300
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		9,900	-1,200
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		9,000	-900
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		8,400	-600
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		7,400	-1,000
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		6,400	-1,000
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		5,300	-1,100
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		4,800	-500
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		3,800	-1,000
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		2,500	-1,300
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		1,200	-1,300
BCE Inc.	Preferred Shares Series AF	BCE Inc.	1	2023-10-30	38		0	-1,200
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-02	38	13.811	700	700
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-03	38	13.582	1,700	1,000
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-04	38	13.421	3,000	1,300
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-05	38	13.4	3,100	100
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-06	38	13.405	3,500	400
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-10	38	13.55	4,500	1,000
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-12	38	13.626	5,200	700
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-13	38	13.6	5,300	100
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-16	38	13.562	6,300	1,000
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-17	38	13.544	7,400	1,100
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-18	38	13.453	8,200	800

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-19	38	13.4	8,900	700
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-20	38	13.307	9,800	900
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-23	38	13.218	11,000	1,200
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-24	38	13.139	11,700	700
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-25	38	13.065	12,800	1,100
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-26	38	13.03	13,000	200
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		12,300	-700
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		11,300	-1,000
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		10,000	-1,300
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		9,900	-100
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		9,500	-400
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		8,500	-1,000
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		7,800	-700
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		7,700	-100
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		6,700	-1,000
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		5,600	-1,100
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		4,800	-800
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		4,100	-700
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		3,200	-900
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		2,000	-1,200
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		1,300	-700
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		200	-1,100
BCE Inc.	Preferred Shares Series AG	BCE Inc.	1	2023-10-30	38		0	-200
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-02	38	17.275	400	400
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-03	38	17.21	700	300
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-04	38	17.21	1,100	400
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-05	38	17.23	1,300	200
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-06	38	17.077	1,900	600
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-10	38	17.152	2,900	1,000
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-11	38	17.22	3,100	200
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-12	38	17.168	3,700	600

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-13	38	17.344	4,200	500
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-16	38	17.22	4,300	100
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-17	38	17.326	5,100	800
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-20	38	17.17	5,400	300
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-23	38	17.031	6,200	800
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-25	38	16.909	7,200	1,000
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-26	38	16.921	8,100	900
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		7,700	-400
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		7,400	-300
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		7,000	-400
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		6,800	-200
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		6,200	-600
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		5,200	-1,000
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		5,000	-200
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		4,400	-600
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		3,900	-500
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		3,800	-100
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		3,000	-800
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		2,700	-300
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		1,900	-800
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		900	-1,000
BCE Inc.	Preferred Shares Series AH	BCE Inc.	1	2023-10-30	38		0	-900
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-02	38	13.755	400	400
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-03	38	13.498	1,200	800
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-04	38	13.286	2,000	800
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-05	38	13.341	2,900	900
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-06	38	13.35	3,100	200
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-10	38	13.5	4,000	900
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-11	38	13.695	4,800	800
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-13	38	13.57	5,000	200
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-17	38	13.63	5,300	300

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-18	38	13.436	6,200	900
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-19	38	13.472	6,700	500
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-20	38	13.305	7,500	800
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-23	38	13.19	8,300	800
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-24	38	13.2	8,400	100
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-25	38	13.083	8,800	400
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-26	38	13	9,000	200
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		8,600	-400
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		7,800	-800
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		7,000	-800
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		6,100	-900
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	37		5,900	-200
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		5,000	-900
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		4,200	-800
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		4,000	-200
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		3,700	-300
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		2,800	-900
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		2,300	-500
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		1,500	-800
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		700	-800
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		600	-100
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		200	-400
BCE Inc.	Preferred Shares Series AI	BCE Inc.	1	2023-10-30	38		0	-200
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-03	38	17.523	1,000	1,000
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-04	38	17.345	1,400	400
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-05	38	17.227	2,100	700
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-10	38	17.374	2,800	700
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-11	38	17.387	3,100	300
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-12	37	17.27	3,200	100
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-13	38	17.38	3,600	400
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-16	38	17.41	3,900	300

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-17	38	17.426	4,900	1,000
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-18	38	17.261	5,800	900
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-26	38	16.95	6,100	300
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-30	38		5,100	-1,000
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-30	38		4,700	-400
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-30	38		4,000	-700
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-30	38		3,300	-700
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-30	38		3,000	-300
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-30	38		2,900	-100
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-30	38		2,500	-400
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-30	38		2,200	-300
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-30	38		1,200	-1,000
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-30	38		300	-900
BCE Inc.	Preferred Shares Series AJ	BCE Inc.	1	2023-10-30	38		0	-300
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-02	38	13.703	2,700	2,700
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-03	38	13.711	6,300	3,600
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-04	38	13.665	10,100	3,800
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-05	38	13.666	12,800	2,700
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-06	38	13.657	14,300	1,500
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-10	38	13.771	16,800	2,500
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-11	38	13.75	17,000	200
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-12	38	13.832	20,000	3,000
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-13	38	13.775	22,000	2,000
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-16	38	13.817	24,800	2,800
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-17	38	13.768	27,800	3,000
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-18	38	13.748	30,500	2,700
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-19	38	13.717	33,900	3,400
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-20	38	13.698	37,400	3,500
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-23	38	13.572	41,100	3,700
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-24	38	13.45	41,400	300
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-25	38	13.423	44,600	3,200

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-26	38	13.484	47,400	2,800
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		44,700	-2,700
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		41,100	-3,600
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		37,300	-3,800
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		34,600	-2,700
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		33,100	-1,500
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		30,600	-2,500
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		30,400	-200
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		27,400	-3,000
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		25,400	-2,000
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		22,600	-2,800
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		19,600	-3,000
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		16,900	-2,700
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		13,500	-3,400
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		10,000	-3,500
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		6,300	-3,700
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		6,000	-300
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		2,800	-3,200
BCE Inc.	Preferred Shares Series AK	BCE Inc.	1	2023-10-30	38		0	-2,800
BCE Inc.	Preferred Shares Series AL	BCE Inc.	1	2023-10-06	38	15.985	1,000	1,000
BCE Inc.	Preferred Shares Series AL	BCE Inc.	1	2023-10-10	38	15.622	2,000	1,000
BCE Inc.	Preferred Shares Series AL	BCE Inc.	1	2023-10-11	38	15.55	2,400	400
BCE Inc.	Preferred Shares Series AL	BCE Inc.	1	2023-10-12	38	15.35	2,600	200
BCE Inc.	Preferred Shares Series AL	BCE Inc.	1	2023-10-23	38	15.492	3,100	500
BCE Inc.	Preferred Shares Series AL	BCE Inc.	1	2023-10-30	38		2,100	-1,000
BCE Inc.	Preferred Shares Series AL	BCE Inc.	1	2023-10-30	38		1,100	-1,000
BCE Inc.	Preferred Shares Series AL	BCE Inc.	1	2023-10-30	38		700	-400
BCE Inc.	Preferred Shares Series AL	BCE Inc.	1	2023-10-30	38		500	-200
BCE Inc.	Preferred Shares Series AL	BCE Inc.	1	2023-10-30	38		0	-500
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-03	38	13.9	1,300	1,300
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-04	38	13.894	3,100	1,800

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-05	38	13.85	3,200	100
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-06	38	13.86	3,300	100
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-10	38	13.959	4,700	1,400
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-11	38	13.942	5,200	500
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-13	38	14.02	6,000	800
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-18	38	14.035	7,500	1,500
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-19	38	14.05	7,800	300
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-23	38	13.809	9,400	1,600
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-24	38	13.75	9,700	300
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-25	38	13.802	10,900	1,200
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-26	38	13.804	11,600	700
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-30	38		10,300	-1,300
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-30	38		8,500	-1,800
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-30	38		8,400	-100
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-30	38		8,300	-100
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-30	38		6,900	-1,400
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-30	37		6,400	-500
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-30	38		5,600	-800
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-30	38		4,100	-1,500
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-30	38		3,800	-300
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-30	38		2,200	-1,600
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-30	38		1,900	-300
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-30	38		700	-1,200
BCE Inc.	Preferred Shares Series AM	BCE Inc.	1	2023-10-30	38		0	-700
BCE Inc.	Preferred Shares Series AN	BCE Inc.	1	2023-10-16	38	16.385	400	400
BCE Inc.	Preferred Shares Series AN	BCE Inc.	1	2023-10-24	38	16.25	500	100
BCE Inc.	Preferred Shares Series AN	BCE Inc.	1	2023-10-26	38	16.24	700	200
BCE Inc.	Preferred Shares Series AN	BCE Inc.	1	2023-10-30	38		300	-400
BCE Inc.	Preferred Shares Series AN	BCE Inc.	1	2023-10-30	38		200	-100
BCE Inc.	Preferred Shares Series AN	BCE Inc.	1	2023-10-30	38		0	-200
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-02	38	19.836	1,000	1,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-03	38	19.756	2,300	1,300
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-04	38	19.743	3,600	1,300
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-05	38	19.552	5,000	1,400
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-06	38	19.548	6,200	1,200
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-10	38	19.703	6,600	400
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-11	38	19.811	8,000	1,400
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-12	38	19.865	9,000	1,000
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-13	38	19.502	59,800	50,800
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-16	38	19.501	110,700	50,900
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-17	38	19.419	112,100	1,400
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-18	38	19.649	113,500	1,400
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-19	38	19.573	114,600	1,100
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-20	38	19.426	116,086	1,486
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-23	38	19.429	117,186	1,100
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-24	38	19.653	148,786	31,600
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-25	38	19.445	150,086	1,300
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-26	38	19.279	151,386	1,300
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		150,386	-1,000
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		149,086	-1,300
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		147,786	-1,300
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		146,386	-1,400
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		145,186	-1,200
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		144,786	-400
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		143,386	-1,400
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		142,386	-1,000
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		91,586	-50,800
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		40,686	-50,900
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		39,286	-1,400
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		37,886	-1,400
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		36,786	-1,100
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		35,300	-1,486

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		34,200	-1,100
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		2,600	-31,600
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		1,300	-1,300
BCE Inc.	Preferred Shares Series AQ	BCE Inc.	1	2023-10-30	38		0	-1,300
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-02	38	14.165	600	600
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-03	38	13.973	1,000	400
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-04	38	13.675	1,400	400
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-10	38	13.8	1,700	300
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-11	38	13.87	2,300	600
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-13	38	14	2,900	600
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-16	38	14.235	3,500	600
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-18	38	13.897	4,100	600
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-20	38	13.61	4,300	200
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-23	38	13.317	4,600	300
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-24	38	13.34	5,300	700
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-25	38	13.2	5,700	400
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-26	38	13.05	5,900	200
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-30	38		5,300	-600
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-30	38		4,900	-400
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-30	38		4,500	-400
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-30	38		4,200	-300
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-30	38		3,600	-600
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-30	38		3,000	-600
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-30	38		2,400	-600
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-30	38		1,800	-600
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-30	38		1,600	-200
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-30	38		1,300	-300
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-30	38		600	-700
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-30	38		200	-400
BCE Inc.	Preferred Shares Series R	BCE Inc.	1	2023-10-30	38		0	-200
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-04	38	17.4	600	600

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-06	38	17.25	800	200
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-10	38	17.4	1,000	200
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-18	38	17.331	2,000	1,000
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-19	38	17.5	2,400	400
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-23	38	17.22	2,700	300
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-24	38	17.05	2,900	200
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-25	38	16.976	3,600	700
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-26	38	16.95	3,900	300
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-30	38		3,300	-600
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-30	38		3,100	-200
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-30	38		2,900	-200
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-30	38		1,900	-1,000
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-30	38		1,500	-400
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-30	38		1,200	-300
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-30	38		1,000	-200
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-30	38		300	-700
BCE Inc.	Preferred Shares Series S	BCE Inc.	1	2023-10-30	38		0	-300
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-02	38	15.772	38,700	38,700
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-03	38	15.473	41,100	2,400
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-04	38	15.536	43,900	2,800
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-05	38	15.521	45,800	1,900
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-06	38	15.5	46,700	900
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-10	38	15.583	47,300	600
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-12	38	15.708	49,300	2,000
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-13	38	15.66	49,800	500
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-17	38	15.633	51,400	1,600
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-18	38	15.563	53,100	1,700
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-19	38	15.357	53,700	600
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-20	38	15.27	54,700	1,000
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-23	38	15.159	57,000	2,300
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-24	38	14.992	59,600	2,600

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-25	38	14.805	62,400	2,800
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-26	38	14.5	62,700	300
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		24,000	-38,700
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		21,600	-2,400
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		18,800	-2,800
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		16,900	-1,900
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		16,000	-900
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		15,400	-600
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		13,400	-2,000
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		12,900	-500
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		11,300	-1,600
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		9,600	-1,700
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		9,000	-600
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		8,000	-1,000
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		5,700	-2,300
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		3,100	-2,600
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		300	-2,800
BCE Inc.	Preferred Shares Series T	BCE Inc.	1	2023-10-30	38		0	-300
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-03	38	17.49	50,100	50,100
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-04	38	17.373	51,200	1,100
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-05	38	17.25	52,300	1,100
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-06	38	17.207	53,000	700
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-10	38	17.376	53,800	800
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-11	38	17.25	53,900	100
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-13	38	17.35	54,800	900
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-16	38	17.328	55,700	900
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-17	38	17.402	56,900	1,200
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-18	38	17.25	57,500	600
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-20	38	17.266	58,700	1,200
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-23	38	17.055	60,100	1,400
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-24	38	16.941	110,500	50,400

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-25	38	16.91	110,800	300
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-26	38	16.854	111,700	900
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		61,600	-50,100
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		60,500	-1,100
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		59,400	-1,100
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		58,700	-700
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		57,900	-800
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		57,800	-100
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		56,900	-900
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		56,000	-900
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		54,800	-1,200
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		54,200	-600
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		53,000	-1,200
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		51,600	-1,400
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		1,200	-50,400
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		900	-300
BCE Inc.	Preferred Shares Series Y	BCE Inc.	1	2023-10-30	38		0	-900
Bear Creek Mining Corporation	Common Shares	Peer, Brian	5	2023-11-01	00			
Bear Creek Mining Corporation	Options	Peer, Brian	5	2023-11-01	00			
Bear Creek Mining Corporation	Rights Deferred Share Units	Peer, Brian	5	2023-11-01	00			
Bear Creek Mining Corporation	Rights Restricted Share Units	Peer, Brian	5	2023-11-01	00			
Bear Creek Mining Corporation	Warrants	Peer, Brian	5	2023-11-01	00			
Bedford Metals Corp.	Common Shares	Born, Peter	4	2023-11-03	10	0.85	21,000	-5,000
Belmont Resources Inc.	Common Shares	Musil, Gary	4, 5	2023-10-31	10	0.06	985,249	-50,000
Belmont Resources Inc.	Common Shares	Musil, Gary	4, 5	2023-10-31	10	0.06	652,500	50,000
Benjamin Hill Mining Corp. (formerly, Mojave Gold Corp.)	Options	Bronson, Gregory	5	2023-11-01	50	0.26	544,105	100,000
Benjamin Hill Mining Corp. (formerly, Mojave Gold Corp.)	Common Shares	Davis, Scott	5	2023-02-02	00		63,000	
Benjamin Hill Mining Corp. (formerly, Mojave Gold Corp.)	Common Shares	Davis, Scott	5	2023-11-01	16	0.25	103,000	40,000
Benjamin Hill Mining Corp. (formerly, Mojave Gold Corp.)	Warrants	Davis, Scott	5	2023-02-02	00		41,500	
Benjamin Hill Mining Corp. (formerly, Mojave Gold Corp.)	Warrants	Davis, Scott	5	2023-11-01	53	0.28	81,500	40,000
BeWhere Holdings Inc.	Common Shares	BeWhere Holdings Inc.	1	2023-10-02	38	0.19	631,000	131,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
BeWhere Holdings Inc.	Common Shares	BeWhere Holdings Inc.	1	2023-10-12	38	0.195	798,500	167,500
Big Pharma Split Corp.	Common Shares Class A Shares	Big Pharma Split Corp.	1	2023-10-27	10		1,334,065	12,100
Big Pharma Split Corp.	Common Shares Class A Shares	Big Pharma Split Corp.	1	2023-10-31	38		1,321,965	-12,100
BlackBerry Limited (formerly Research In Motion Limited)	Deferred Share Units	Dattels, Timothy	4	2023-10-28	59	3.4273		-306,182
BlackBerry Limited (formerly Research In Motion Limited)	Deferred Share Units	Dattels, Timothy	4	2023-10-28	59	3.4273		-306,182
Blackline Safety Corp.	Common Shares	Slater, Cody	4	2023-11-02	51		1,823,617	95,000
Blackline Safety Corp.	Options	Slater, Cody	4	2023-11-02	51		300,000	-95,000
Blanton Resources Corp.	Common Shares	Dake, Michael R.	7	2023-10-23	10	0.15	1,413,000	2,500
Blender Bites Limited (formerly "Balsam Technologies Corp.")	Common Shares	Balderson, Geoffrey	5	2023-10-31	46		23,244	5,652
Blockchain Venture Capital Inc.	Common Shares	Ding, Yongbiao	4	2023-10-26	10	0.5	91,500	-8,500
Blockchain Venture Capital Inc.	Common Shares	Ding, Yongbiao	4	2023-10-27	10	0.495	81,500	-10,000
Blockchain Venture Capital Inc.	Common Shares	Ding, Yongbiao	4	2023-10-27	10	0.5	81,000	-500
Blockmate Ventures Inc. (formerly "Midpoint Holdings Ltd.")	Options	Carosa, Domenico	4	2022-03-15	00			
Blockmate Ventures Inc. (formerly "Midpoint Holdings Ltd.")	Options	Carosa, Domenico	4	2023-10-31	50		1,500,000	1,500,000
Blockmate Ventures Inc. (formerly "Midpoint Holdings Ltd.")	Options	Lichtenwald, Konstantin	5	2022-04-12	00			
Blockmate Ventures Inc. (formerly "Midpoint Holdings Ltd.")	Options	Lichtenwald, Konstantin	5	2023-10-26	50	0.05	300,000	300,000
Bluestone Resources Inc.	Common Shares	Lorito Holdings S.à.r.l.	3	2023-10-31	16		299,881	24,000
Bluestone Resources Inc.	Common Shares	Zebra Holdings and Investments S.à.r.l.	3	2023-10-31	16		19,698,774	24,000
Boat Rocker Media Inc.	Deferred Share Units	Desai, Sangeeta	4	2023-11-01	56		54,640	9,480
Boat Rocker Media Inc.	Deferred Share Units	Jacob, Ellis	4	2023-11-01	56		48,922	12,640
Boralex Inc.	Unités d'action liées au rendement / Performance share units	Hurtubise, Pascal	5	2023-09-18	35		9,880	49
Boralex Inc.	Unités d'action liées au rendement / Performance share units	Hurtubise, Pascal	5	2023-09-19	35			49
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-02	38	14.85		10,000
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-02	38	14.85		10,000
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-02	10	14.77	2,142	2,142
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-02	38	14.85	12,142	10,000
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-03	38	14.42	26,642	14,500
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-03	38	14.45	28,995	2,353
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-04	38	14.5	41,195	12,200

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-04	38	14.45	42,529	1,334
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-05	38	14.92		8,500
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-05	38	14.92		8,500
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-05	38	14.8	44,941	2,412
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-05	38	14.69	53,441	8,500
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-06	38	14.92	61,941	8,500
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-06	38	14.96	62,507	566
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-09	38	15.36	63,166	659
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-12	38	14.98	67,266	4,100
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-12	38	14.96	68,006	740
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-16	38	14.61	82,528	14,522
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-16	10	14.73	84,561	2,033
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-17	38	14.84	99,061	14,500
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-17	38	14.9	101,031	1,970
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-18	38	14.88	112,831	11,800
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-18	38	14.9	114,864	2,033
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-19	38	14.96	121,064	6,200
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-19	38	14.97	123,097	2,033
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-20	38	14.65	137,297	14,200
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-20	38	14.68	139,220	1,923
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-23	38	14.59	153,720	14,500
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-23	38	14.67	156,004	2,284
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-24	38	14.02	170,526	14,522
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-24	38	13.97	172,974	2,448
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-25	38	13.86	186,074	13,100
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-25	38	13.83	188,522	2,448
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-26	38	13.23	190,815	2,293
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-26	38	13.23	205,337	14,522
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-30	38	13.07	219,537	14,200
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-30	38	13.11	222,037	2,500
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-31	38		46,371	-175,666

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Brookfield Business Partners L.P.	Limited Partnership Units	Brookfield Business Partners L.P.	1	2023-10-31	38		16,700	-29,671
BRP Inc.	Deferred Share Units	Beaudoin, Elaine	4	2023-10-31	56	94.271	842	479
BRP Inc.	Deferred Share Units	Beaudoin, Pierre	4	2023-10-31	56	94.271	6,618	479
BRP Inc.	Deferred Share Units	Bekenstein, Joshua	4	2023-10-31	56	94.271	6,618	479
BRP Inc.	Deferred Share Units	Bombardier, Charles	4	2023-10-31	56	94.271	5,625	479
BRP Inc.	Deferred Share Units	Hernández, Ernesto M.	4	2023-10-31	56	94.271	4,592	479
BRP Inc.	Deferred Share Units	Katherine, Kountze	4	2023-10-31	56	94.271	4,592	479
BRP Inc.	Deferred Share Units	Métayer, Estelle	4	2023-10-31	56	94.271	27,651	479
BRP Inc.	Deferred Share Units	Nomicos, Nicholas George	4	2023-10-31	56	94.271	6,618	479
BRP Inc.	Deferred Share Units	Philip, Edward Michael	4	2023-10-31	56	94.271	31,321	479
BRP Inc.	Deferred Share Units	Ross, Michael	4	2023-10-31	56	94.271	1,677	479
BRP Inc.	Deferred Share Units	Samardzich, Barbara Jean	4	2023-10-31	56	94.271	12,543	479
Builders Capital Mortgage Corp.	Non-Voting Shares Class A	Loutitt, Sandy Luke	3, 4	2023-10-30	10	8.1	29,550	1,200
Builders Capital Mortgage Corp.	Non-Voting Shares Class A	Loutitt, Sandy Luke	3, 4	2023-10-30	10	8.17	29,750	200
Builders Capital Mortgage Corp.	Non-Voting Shares Class A	Loutitt, Sandy Luke	3, 4	2023-11-03	10	8.3	30,050	300
C-COM Satellite Systems Inc.	Options	Fathi, Eliahu Tzion	4	2023-11-02	52		50,000	-50,000
C-COM Satellite Systems Inc.	Options	Leslie, Ronald A.	4	2023-11-02	52		172,000	-50,000
C2C Gold Corp. (formerly Taku Gold Corp.)	Options	Walton, Lori	4	2023-10-27	52	0.095	950,000	-200,000
California Nanotechnologies Corp.	Common Shares	Dent, Roger	4	2023-10-30	16	0.15	1,712,000	600,000
California Nanotechnologies Corp.	Common Shares	Dent, Roger	4	2023-10-30	16	0.15	2,094,447	674,447
California Nanotechnologies Corp.	Warrants	Dent, Roger	4	2023-10-30	16	0.15	300,000	300,000
California Nanotechnologies Corp.	Warrants	Dent, Roger	4	2023-10-23	16	0.25	387,224	387,224
California Nanotechnologies Corp.	Common Shares	Eyerman, Eric	5	2023-10-30	16	0.15	2,432,220	2,222,220
California Nanotechnologies Corp.	Warrants	Eyerman, Eric	5	2016-12-01	00			
California Nanotechnologies Corp.	Warrants	Eyerman, Eric	5	2023-10-30	53	0.25	1,111,110	1,111,110
California Nanotechnologies Corp.	Common Shares	Goulet, Sebastien	4	2023-10-30	16	0.15	140,000	90,000
California Nanotechnologies Corp.	Warrants	Goulet, Sebastien	4	2015-08-27	00			
California Nanotechnologies Corp.	Warrants	Goulet, Sebastien	4	2023-10-30	53	0.25	45,000	45,000
California Nanotechnologies Corp.	Options	Lavernia, Enrique	4	2021-08-20	00			
California Nanotechnologies Corp.	Options	Lavernia, Enrique	4	2021-11-16	50	0.095	100,000	100,000
California Nanotechnologies Corp.	Options	Lavernia, Enrique	4	2022-02-22	50	0.075	150,000	50,000
California Nanotechnologies Corp.	Options	Lavernia, Enrique	4	2022-08-05	50	0.095	225,000	75,000
California Nanotechnologies Corp.	Options	Lavernia, Enrique	4	2023-08-28	50	0.175	350,000	125,000
California Nanotechnologies Corp.	Common Shares	Melnyk, Christopher	4	2023-11-01	00		776,463	
California Nanotechnologies Corp.	Options	Melnyk, Christopher	4	2023-11-01	00		180,000	

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Callinex Mines Inc.	Common Shares	Porterfield, Isaac Max	5	2019-05-22	30	0.6		17,000
Callinex Mines Inc.	Common Shares	Porterfield, Isaac Max	5	2019-05-22	30	0.06	1,106,109	170,000
Callinex Mines Inc.	Common Shares	Porterfield, Isaac Max	5	2019-05-31	30	0.06	1,148,609	42,500
Callinex Mines Inc.	Common Shares	Porterfield, Isaac Max	5	2019-07-02	10	0.055	1,892,609	175,000
Callinex Mines Inc.	Common Shares	Porterfield, Isaac Max	5	2019-07-04	37			-1,377,998
Callinex Mines Inc.	Common Shares	Porterfield, Isaac Max	5	2019-07-04	37		212,361	-1,726,748
Callinex Mines Inc.	Restricted Share Units	Porterfield, Isaac Max	5	2019-05-22	30	0.06		170,000
Callinex Mines Inc.	Restricted Share Units	Porterfield, Isaac Max	5	2019-05-22	30	0.06	4,335,000	-170,000
Callinex Mines Inc.	Restricted Share Units	Porterfield, Isaac Max	5	2019-05-31	30	0.06	4,292,500	-42,500
Canada Jetlines Operations Ltd.	Common Shares Common & Variable Voting Shares	Christian, Regenold	3, 4	2023-10-30	11		7,192,857	25,000
Canada Jetlines Operations Ltd.	Common Shares Common & Variable Voting Shares	Klerer, Shawn	4	2023-10-31	57	0.125	75,000	75,000
Canada Jetlines Operations Ltd.	Rights Restricted Share Units	Klerer, Shawn	4	2023-10-31	57	0.125	0	-75,000
Canadian Copper Inc. (formerly, Melius Metals Corp.)	Common Shares	Quick, Simon	5	2023-10-30	10	0.075	3,395,298	6,000
Canadian Copper Inc. (formerly, Melius Metals Corp.)	Common Shares	Quick, Simon	5	2023-10-31	10	0.08	3,405,298	10,000
Canadian Critical Minerals Inc. (formerly Braveheart Resources Inc.)	Common Shares	Vinck, Dwayne Albert	5	2023-11-02	10	0.03	2,666,571	100,000
Canadian Gold Corp.	Common Shares	Hanson, Wesley Clay	4	2023-11-03	10	0.205	377,560	97,560
Canadian National Railway Company	Common Shares	Houle, Ghislain	5	2023-10-31	51	58.71	142,904	8,260
Canadian National Railway Company	Common Shares	Houle, Ghislain	5	2023-10-31	10	147.25	137,965	-4,939
Canadian National Railway Company	Options	Houle, Ghislain	5	2023-10-31	51	58.71	406,931	-8,260
Canadian National Railway Company	Common Shares	McKenzie, Margaret Anne	4	2020-12-02	10	137.94		475
Canadian National Railway Company	Common Shares	McKenzie, Margaret Anne	4	2021-09-21	10	147.29		3,000
Canadian National Railway Company	Common Shares	McKenzie, Margaret Anne	4	2022-01-27	10	151.38		1,000
Canadian National Railway Company	Common Shares	McKenzie, Margaret Anne	4	2022-08-23	10	161.41		1,500
Canadian National Railway Company	Common Shares	McKenzie, Margaret Anne	4	2023-11-01	10	148.2	3,000	2,000
Canadian National Railway Company	Common Shares	McKenzie, Margaret Anne	4	2020-12-02	10	137.94	475	475
Canadian National Railway Company	Common Shares	McKenzie, Margaret Anne	4	2021-09-21	10	147.29	3,475	3,000
Canadian National Railway Company	Common Shares	McKenzie, Margaret Anne	4	2022-01-27	10	151.38	4,475	1,000
Canadian National Railway Company	Common Shares	McKenzie, Margaret Anne	4	2022-08-23	10	161.41	5,975	1,500
Canadian Natural Resources Limited	Common Shares	Canadian Natural Resources Limited	1	2023-10-31	38	64.504	3,075,000	2,675,000
Canadian Natural Resources Limited	Common Shares	Canadian Natural Resources Limited	1	2023-10-31	38	88.391	5,550,000	2,475,000
Canadian Natural Resources Limited	Common Shares	Canadian Natural Resources Limited	1	2023-10-31	38		1,000,000	-4,550,000
Canadian Net Real Estate Investment Trust	Deferred Trust Units	Gazith, Charles Benjamin	5	2023-10-31	56		19,127	115
Canadian Net Real Estate Investment Trust	Deferred Trust Units	Henley, Kevin	5	2023-10-31	56		23,451	141

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Canadian North Resources Inc.	Common Shares	Weeks, Michael	4	2023-11-03	10	2.55	1,600	1,600
Canadian Palladium Resources Inc.	Common Shares	Chin, Kelsey	5	2023-10-25	37		126,000	-1,134,000
Canadian Palladium Resources Inc.	Options	Chin, Kelsey	5	2023-10-25	37		100,000	-900,000
Canadian Palladium Resources Inc.	Common Shares	Clark, James Garnet (Garry)	4	2023-10-25	37		119,033	-1,071,302
Canadian Palladium Resources Inc.	Options	Clark, James Garnet (Garry)	4	2023-10-25	37		155,000	-1,395,000
Canadian Palladium Resources Inc.	Warrants	Clark, James Garnet (Garry)	4	2023-11-01	55		0	-772,945
Canadian Palladium Resources Inc.	Common Shares	Newall, James Wyndham Gordon	4, 5	2023-10-25	37		122,000	-1,098,000
Canadian Palladium Resources Inc.	Options	Newall, James Wyndham Gordon	4, 5	2023-10-25	37		20,000	-180,000
Canadian Palladium Resources Inc.	Warrants	Newall, James Wyndham Gordon	4, 5	2023-11-01	55		0	-100,000
Canadian Palladium Resources Inc.	Common Shares	Tisdale, Bryce	4	2023-10-25	37		1,111	-10,000
Canadian Palladium Resources Inc.	Common Shares	Tisdale, Bryce	4	2023-10-25	37		1,111	-10,000
Canadian Palladium Resources Inc.	Options	Tisdale, Bryce	4	2023-10-25	37		50,000	-450,000
Canadian Utilities Limited	Rights 29.40 (Phantom 2023-11-01)	Ivulich, John Vincent	7	2014-08-01	00			
Canadian Utilities Limited	Rights 29.40 (Phantom 2023-11-01)	Ivulich, John Vincent	7	2023-11-01	56		15,000	15,000
Canoe EIT Income Fund	Trust Units	Chernoff, M. Bruce	3, 4	2023-11-02	10	12.7	8,067	-1,645
Canopy Growth Corporation	Warrants	CBG Holdings LLC	3	2023-11-01	55		0	-139,745,453
CANSORTIUM INC.	Common Shares	Daher, Roger	4	2023-11-03	55		1,096,518	-20,000
Caprock Mining Corp. (formerly Blingold Corp.)	Common Shares	Kirke, James David	4	2023-11-01	00			
Caprock Mining Corp. (formerly Blingold Corp.)	Options	Kirke, James David	4	2023-11-01	00			
Caprock Mining Corp. (formerly Blingold Corp.)	Restricted Share Units	Kirke, James David	4	2023-11-01	00			
Carbon2Green Corporation	Common Shares de catégorie "A"	Renaud, Yves	4	2009-09-25	00			
Carbon2Green Corporation	Common Shares de catégorie "A"	Renaud, Yves	4	2009-09-25	00			
Carbon2Green Corporation	Common Shares de catégorie "A"	Renaud, Yves	4	2009-09-25	00			
Carbon2Green Corporation	Common Shares de catégorie "A"	Renaud, Yves	4	2009-09-25	00			
Carbon2Green Corporation	Common Shares de catégorie "A"	Renaud, Yves	4	2009-09-25	00			
Carbon2Green Corporation	Common Shares de catégorie "A"	Renaud, Yves	4	2009-09-25	00			
Carbon2Green Corporation	Options	Renaud, Yves	4	2009-09-25	00			
Carbon2Green Corporation	Options	Renaud, Yves	4	2009-09-25	00			
Carbon2Green Corporation	Warrants	Renaud, Yves	4	2009-09-25	00			
Carbon2Green Corporation	Warrants	Renaud, Yves	4	2009-09-25	00			
Cargojet Inc.	Rights Deferred Share Units	Traversy, Mary	4	2023-04-11	00			
Cargojet Inc.	Rights Deferred Share Units	Traversy, Mary	4	2023-05-16	56		1,371	1,371

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Cascade Copper Corp.	Common Shares	Ackert, Jeff	4, 5	2023-10-27	10	0.06	522,000	10,000
Cenovus Energy Inc.	Common Shares	CROTHERS, MICHAEL JOHN	4	2023-11-01	00		1,050	
Cenovus Energy Inc.	Common Shares	CROTHERS, MICHAEL JOHN	4	2023-11-02	00			
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Common Shares	Charest, Alain	4, 5	2023-10-26	00		1,833,333	
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Options	Charest, Alain	4, 5	2023-10-26	00			
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Options	Charest, Alain	4, 5	2023-10-31	50		450,000	450,000
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Warrants	Charest, Alain	4, 5	2023-10-26	00		41,666	
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Common Shares	Fulcher, Douglas	5	2023-10-26	00		1,300,000	
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Common Shares	Fulcher, Douglas	5	2023-11-01	11		1,250,000	-50,000
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Options	Fulcher, Douglas	5	2023-10-26	00		300,000	
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Warrants	Fulcher, Douglas	5	2023-10-26	00		25,000	
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Common Shares	Mendez Alvidrez, Pablo	4	2023-10-26	00		412,500	
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Options	Mendez Alvidrez, Pablo	4	2023-10-26	00			
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Options	Mendez Alvidrez, Pablo	4	2023-10-31	50		200,000	200,000
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Common Shares	Milledge, Kevin	4	2023-10-26	00		450,000	
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Common Shares	Milledge, Kevin	4	2023-10-26	00		169,999	
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Common Shares	Younie, Jonathan Leslie	5	2023-11-01	47		800,000	-50,000
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Options	Younie, Jonathan Leslie	5	2023-10-26	00			
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Options	Younie, Jonathan Leslie	5	2023-10-31	50	0.15	200,000	200,000
Centenario Gold Corp. (formerly AADirection Capital Corp.)	Warrants	Younie, Jonathan Leslie	5	2023-10-26	00		150,000	
CENTR Brands Corp.	Common Shares	Chima, Arjan Jagiit	4, 5	2023-10-30	10	0.175	980,000	30,000
CENTR Brands Corp.	Common Shares	Chima, Arjan Jagiit	4, 5	2023-10-31	10	0.15	995,000	15,000
Cerro de Pasco Resources Inc. (formerly Genius Properties Ltd.)	Common Shares	Goulet, Guy	4, 5	2023-10-31	10	0.08	3,860,044	6,000
Cerro de Pasco Resources Inc. (formerly Genius Properties Ltd.)	Common Shares	Goulet, Guy	4, 5	2023-11-02	10	0.08	3,864,044	4,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Cerro de Pasco Resources Inc. (formerly Genius Properties Ltd.)	Common Shares	Goulet, Guy	4, 5	2023-11-03	10	0.083	3,867,044	3,000
CERRO DE PASCO RESOURCES INC. (formerly Genius Properties Ltd.)	Common Shares	Goulet, Guy	4, 5	2023-10-25	10	0.088	3,850,044	5,000
CERRO DE PASCO RESOURCES INC. (formerly Genius Properties Ltd.)	Common Shares	Goulet, Guy	4, 5	2023-10-26	10	0.085	3,854,044	4,000
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2023-10-31	38	3.652	2,124,800	1,906,800
CES Energy Solutions Corp.	Common Shares	CES Energy Solutions Corp.	1	2023-10-31	38	3.652	160,000	-1,964,800
Ceylon Graphite Corp.	Convertible Debentures Convert. Deb#2	Jacob, Sasha	3	2016-12-30	00			
Ceylon Graphite Corp.	Convertible Debentures Convert. Deb#2	Jacob, Sasha	3	2023-10-26	30	0.05	\$40,000	\$40,000
Ceylon Graphite Corp.	Warrants	Jacob, Sasha	3	2023-10-26	53		9,754,246	800,000
Chibougamau Independent Mines Inc.	Common Shares	Lotan Holdings Inc.	3	2023-10-27	10	0.1	10,978,142	130,000
Chibougamau Independent Mines Inc.	Common Shares	Lotan Holdings Inc.	3	2023-10-30	10	0.1	11,048,142	70,000
Chibougamau Independent Mines Inc.	Common Shares	Lotan, David	4	2023-10-27	10	0.1	10,978,142	130,000
Chibougamau Independent Mines Inc.	Common Shares	Lotan, David	4	2023-10-30	10	0.1	11,048,142	70,000
CI Financial Corp.	Restricted Share Unit	MacAlpine, Kurt	4, 5	2023-05-19	56	12.7		199,620
CI Financial Corp.	Restricted Share Unit	MacAlpine, Kurt	4, 5	2023-05-19	56	12.7	495,285	194,489
CI Financial Corp.	Restricted Share Unit	MacAlpine, Kurt	4, 5	2023-05-19	56	12.7		199,620
CI Financial Corp.	Restricted Share Unit	MacAlpine, Kurt	4, 5	2023-05-19	56	12.7	689,774	194,489
CI Financial Corp.	Restricted Share Unit	Muni, Amit	5	2023-05-19	56	12.7		61,541
CI Financial Corp.	Restricted Share Unit	Muni, Amit	5	2023-05-19	56	12.7	122,682	59,959
CI Financial Corp.	Restricted Share Unit	Silcox, Julie Lynn	5	2023-05-19	56	12.7		55,158
CI Financial Corp.	Restricted Share Unit	Silcox, Julie Lynn	5	2023-05-19	56	12.7	77,840	53,741
Clairvest Group Inc.	Rights Appreciation DSUs	Parr, Benjamin Jeffrey	4	1995-06-27	00			
Clairvest Group Inc.	Rights Appreciation DSUs	Parr, Benjamin Jeffrey	4	2023-11-01	30		15,000	15,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2023-10-03	38	13.5	1,000	1,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2023-10-05	38	13.5	2,000	1,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2023-10-06	38	13.44	3,000	1,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2023-10-11	38	13.44	3,700	700
Clarke Inc.	Common Shares	Clarke Inc.	1	2023-10-12	38	13.44	4,700	1,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2023-10-16	38	13.459	5,700	1,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2023-10-18	38	13.45	6,700	1,000
Clarke Inc.	Common Shares	Clarke Inc.	1	2023-11-01	38		0	-6,700
Clean Seed Capital Group Ltd.	Common Shares	Schultz, Jason	3	2023-10-26	10	0.07	3,085,374	50,000
Cloud DX Inc. (formerly Roosevelt Capital Group Inc.)	Common Shares	Puri, Gaurav	4	2023-10-23	10	0.12	11,081,688	60,000
Cloud DX Inc. (formerly Roosevelt Capital Group Inc.)	Common Shares	Puri, Gaurav	4	2023-10-24	10	0.13	11,121,688	40,000
CMX Gold & Silver Corp.	Common Shares	Alston, Glen Robert	5	2023-10-26	54	0.1	4,093,457	200,000
CMX Gold & Silver Corp.	Warrants	Alston, Glen Robert	5	2023-10-26	54	0.1	300,000	-200,000
CMX Gold & Silver Corp.	Common Shares	Alston, Jan Millward	4, 5	2023-10-24	10	0.08	2,648,125	4,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Co-operators General Insurance Company	Preferred Shares Class A Series B	Hanna, Paul	7	2023-10-31	30	100	1,829	1
Co-operators General Insurance Company	Preferred Shares Class H Series A	Taylor, Colette	7	2023-05-02	00			
Co-operators General Insurance Company	Preferred Shares Class H Series A	Taylor, Colette	7	2023-11-01	30	100	270	270
Coast Copper Corp.	Common Shares	morgan, fletcher	4	2023-01-30	10		750,000	14,000
Coast Copper Corp.	Common Shares	morgan, fletcher	4	2023-10-27	10		845,000	45,000
Coast Copper Corp.	Common Shares	morgan, fletcher	4	2023-10-30	10		1,000,000	155,000
Coast Copper Corp.	Common Shares	Thiessen, Tim	5	2023-10-30	10	0.045	2,120,961	100,000
Coast Copper Corp.	Common Shares	Thiessen, Tim	5	2023-11-02	10	0.045	2,155,961	35,000
Coast Copper Corp.	Common Shares	Travis, Adam Robert	4, 5	2023-11-02	10	0.045	6,976,500	100,000
Coelacanth Energy Inc.	Common Shares	GMT Capital Corp	3	2023-10-30	10	0.58	19,664,882	49,900
Coelacanth Energy Inc.	Common Shares	GMT Capital Corp	3	2023-10-31	10	0.59	19,669,382	4,500
Coelacanth Energy Inc.	Common Shares	GMT Capital Corp	3	2023-10-30	10	0.58	29,834,879	76,700
Coelacanth Energy Inc.	Common Shares	GMT Capital Corp	3	2023-10-31	10	0.59	29,841,779	6,900
Coelacanth Energy Inc.	Common Shares	GMT Capital Corp	3	2023-10-30	10	0.58	34,965,335	89,400
Coelacanth Energy Inc.	Common Shares	GMT Capital Corp	3	2023-10-31	10	0.59	34,973,535	8,200
Coelacanth Energy Inc.	Common Shares	GMT Capital Corp	3	2023-10-30	10	0.58	3,446,613	10,500
Coelacanth Energy Inc.	Common Shares	GMT Capital Corp	3	2023-10-31	10	0.59	3,447,513	900
Coelacanth Energy Inc.	Common Shares	Vermilion Energy Inc.	3	2023-10-27	10	0.8	67,701,000	777,910
Collective Mining Ltd.	Common Shares	Begin, Paul Philemon	5	2023-10-31	51	0.6	3,948,530	100,000
Collective Mining Ltd.	Options	Begin, Paul Philemon	5	2023-10-31	51		250,000	-100,000
Comet Lithium Corporation	Common Shares	NIAZI, MANSOOR JAN	5	2023-11-01	10	0.53	401,500	16,500
Commerce Resources Corp.	Common Shares	Dahrouge, Jody	4	2003-12-01	00			
Commerce Resources Corp.	Common Shares	Dahrouge, Jody	4	2021-02-04	90		1,193,000	1,193,000
Commerce Resources Corp.	Common Shares	Dahrouge, Jody	4	2023-10-30	10	0.08	4,193,000	3,000,000
Commerce Resources Corp.	Common Shares	Dahrouge, Jody	4	2021-02-04	90		0	-1,193,000
Commerce Resources Corp.	Warrants	Dahrouge, Jody	4	2003-12-01	00			
Commerce Resources Corp.	Warrants	Dahrouge, Jody	4	2023-10-30	10	0.12	3,000,000	3,000,000
Converge Technology Solutions Corp.	Common Shares	Converge Technology Solutions	1	2023-10-03	38			-221,800
Converge Technology Solutions Corp.	Common Shares	Converge Technology Solutions	1	2023-10-03	38			-221,800
Copper Lake Resources Ltd.	Options	Cater, Douglas Frederick	4	2023-10-23	50	0.05	1,000,000	500,000
Copper Road Resources Inc.	Common Shares	Rees, Matthew Ian	4	2023-10-18	10	0.08	285,000	60,000
Coveo Solutions Inc.	Restricted Share Units	Goode, Nicholas Samuel	5	2023-10-31	57		44,025	-3,048
Coveo Solutions Inc.	Subordinate Voting Shares	Goode, Nicholas Samuel	5	2023-10-31	57		36,827	2,154
Coveo Solutions Inc.	Restricted Share Units	Melzl, Thomas J.	5	2023-10-31	57		41,582	-3,048
Coveo Solutions Inc.	Subordinate Voting Shares	Melzl, Thomas J.	5	2023-10-31	57		157,476	2,154
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-02	10	10.888		91,800
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-02	10	10.888		91,800
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-02	38	10.888	818,200	91,800
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-03	38	10.733	911,300	93,100

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-04	38	10.366	1,007,700	96,400
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-05	38	10.287	1,104,900	97,200
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-06	38	10.368	1,201,300	96,400
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-06	38		193,600	-1,007,700
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-10	38	10.921	285,100	91,500
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-11	38	10.805	377,600	92,500
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-12	38	10.766	470,400	92,800
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-13	38	10.953	561,700	91,300
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-13	38		184,100	-377,600
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-16	38	11.063	274,400	90,300
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-17	38	11.326	362,600	88,200
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-18	38	11.42	450,100	87,500
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-19	38	11.479	537,200	87,100
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-20	38	11.402	624,900	87,700
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-20	38		174,800	-450,100
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-23	38	11.201	264,000	89,200
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-24	38	11.044	354,500	90,500
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-25	38	11.002	445,300	90,800
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-26	38	10.966	536,400	91,100
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-27	38	11.013	627,200	90,800
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-27	38		181,900	-445,300
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-30	38	11.058	272,300	90,400
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-31	38	11.121	362,200	89,900
Crescent Point Energy Corp.	Common Shares	Crescent Point Energy Corp.	1	2023-10-31	38		180,300	-181,900
Crestview Exploration Inc.	Common Shares	Liakopoulos, Dimitrios	6	2023-10-18	10	0.075	3,219,500	2,000
Crestview Exploration Inc.	Common Shares	Liakopoulos, Dimitrios	6	2023-10-18	10	0.07	3,234,500	15,000
Crestview Exploration Inc.	Common Shares	Wensley, Christopher James	4	2023-10-30	10	0.07	888,000	7,000
Crestview Exploration Inc.	Common Shares	Wensley, Christopher James	4	2023-10-31	10	0.065	889,000	1,000
Crestview Exploration Inc.	Common Shares	Wensley, Christopher James	4	2023-11-02	10	0.065	890,000	1,000
Crestview Exploration Inc.	Common Shares	Wensley, Christopher James	4	2023-11-03	10	0.07	903,000	13,000
Crestview Exploration Inc.	Common Shares	Wensley, Christopher James	4	2023-11-03	10	0.065	906,000	3,000
Crown Capital Partners Inc.	Common Shares	Lee-Chin, Michael	3	2023-10-30	10	6.0345	640,400	2,900

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Curaleaf Holdings, Inc. (formerly Lead Ventures Inc.)	Subordinate Voting Shares	Jordan, Boris Alexis	3, 4, 5	2023-10-27	90		18,349,725	-35,241
Curaleaf Holdings, Inc. (formerly Lead Ventures Inc.)	Subordinate Voting Shares	Jordan, Boris Alexis	3, 4, 5	2023-10-27	11		18,007,367	-342,358
Curaleaf Holdings, Inc. (formerly Lead Ventures Inc.)	Subordinate Voting Shares	Jordan, Boris Alexis	3, 4, 5	2023-09-07	11		339,210	-40,060
Curaleaf Holdings, Inc. (formerly Lead Ventures Inc.)	Subordinate Voting Shares	Jordan, Boris Alexis	3, 4, 5	2023-10-27	90		507,426	35,241
Currency Exchange International, Corp.	Options	BARNARD, GERHARD STEPHANUS	5	2023-10-30	50		48,646	16,018
Currency Exchange International, Corp.	Options	Bezhitashvili, Khatuna	5	2023-10-30	50		34,772	4,084
Currency Exchange International, Corp.	Options	Bracy, Wade Alan	5	2023-10-30	50		82,209	8,055
Currency Exchange International, Corp.	Options	Davis, Katherine	5	2023-10-30	50		26,653	2,687
Currency Exchange International, Corp.	Options	Devenish, James William	7	2023-10-30	50		40,131	7,161
Currency Exchange International, Corp.	Options	Esmail, Farzana	5	2023-10-30	50		15,456	3,351
Currency Exchange International, Corp.	Options	Kingerski, Margaret-Ann	5	2023-10-30	50		2,160	1,013
Currency Exchange International, Corp.	Options	Ohm, Paul Christian	5	2023-10-30	50		47,764	4,949
Currency Exchange International, Corp.	Options	Pinna, Randolph W.	3, 4, 5	2023-10-30	50		265,435	23,340
Currency Exchange International, Corp.	Options	Rei, Christopher Grant	5	2023-10-30	50		14,637	4,949
Currency Exchange International, Corp.	Options	Schillo, Matthew Alan	5	2023-10-30	50		78,968	6,224
Currency Exchange International, Corp.	Options	Stratton, Alan Brooke	5	2023-10-30	50		29,742	4,661
Currency Exchange International, Corp.	Options	Winkel, Dennis	5	2023-10-30	50		129,525	8,186
Cuspis Capital II Ltd.	Common Shares	Elliott, C. Fraser	4	2020-11-13	00			
Cuspis Capital II Ltd.	Common Shares	Elliott, C. Fraser	4	2023-10-30	10	0.1	30,489	30,489
Cuspis Capital II Ltd.	Common Shares	McCutcheon, James Grant	4, 5	2020-11-13	00			
Cuspis Capital II Ltd.	Common Shares	McCutcheon, James Grant	4, 5	2020-11-13	00			
Cuspis Capital II Ltd.	Common Shares	McCutcheon, James Grant	4, 5	2023-10-30	10	0.1	30,489	30,489
Cuspis Capital II Ltd.	Common Shares	Ollerhead, William	4, 5	2020-11-13	00			
Cuspis Capital II Ltd.	Common Shares	Ollerhead, William	4, 5	2023-10-30	10	0.1	48,283	48,283
Cuspis Capital II Ltd.	Common Shares	Schoenmakers, Jack Theodore	4	2020-11-13	00			
Cuspis Capital II Ltd.	Common Shares	Schoenmakers, Jack Theodore	4	2023-10-30	10	0.1	30,489	30,489
CyberCatch Holdings, Inc.	Common Shares	Healy, Mark	4	2023-10-17	10	0.04	467,700	-32,300
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-10-05	38	58.499	800	800
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-10-06	38		0	-800
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-10-06	38	58.553	1,564	1,564
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-10-11	38	58.605	8,064	6,500

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-10-12	38		6,500	-1,564
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-10-12	38	58.717	8,149	1,649
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-10-13	38		1,649	-6,500
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-10-16	38		0	-1,649
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-10-20	38	58.525	5,700	5,700
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-10-24	38		0	-5,700
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-10-26	38	58	400	400
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-10-27	38	58	1,041	641
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-10-31	38		641	-400
Cymbria Corporation	Non-Voting Shares Class A Shares	Cymbria Corporation	1	2023-11-01	38		0	-641
Cyberpunk Holdings Inc.	Common Shares	Guoga, Antanas (Tony)	4, 5	2023-11-02	10	0.085	41,317,667	31,000
Cyberpunk Holdings Inc.	Common Shares	Guoga, Antanas (Tony)	4, 5	2023-11-03	10	0.085	41,318,667	1,000
D2L Inc.	Deferred Share Units	Johnston, David Lloyd	4	2023-10-31	46	6.814	74,310	2,989
DeepMarkit Corp.	Warrants	Smith, Curtis	5	2023-09-29	55		0	-3,658
Delota Corp. (formerly, Spyder Cannabis Inc.)	Common Shares	Pelchovitz, Mark	4	2023-10-30	10	0.09	2,881,799	5,000
Delota Corp. (formerly, Spyder Cannabis Inc.)	Common Shares	Pelchovitz, Mark	4	2023-11-03	10	0.093	2,917,799	36,000
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-03	38	5.92		43,600
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-03	38	5.988	43,600	43,600
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-04	38	6.0443		24,000
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-04	38	6.0626	67,600	24,000
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-05	38		24,000	-43,600
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-05	38	6.13		25,000
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-05	38	6.1052	49,000	25,000
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-06	38		25,000	-24,000
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-09	38		0	-25,000
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-12	38	5.9914		11,000
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-12	38	6.0247		11,000
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-12	38	6.0247	11,000	11,000
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-13	38	5.9326	36,000	25,000
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-16	38		25,000	-11,000
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-16	38	6.0781	25,500	500
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-17	38		500	-25,000
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-17	38	6.0487	1,800	1,300

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-18	38		1,300	-500
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-19	38		0	-1,300
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-20	38	6.0557	700	700
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-23	38	5.9374	25,400	24,700
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-24	38		24,700	-700
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-24	38	5.9596	36,100	11,400
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-25	38		11,400	-24,700
dentalcorp Holdings Ltd.	Subordinate Voting Shares	dentalcorp Holdings Ltd.	1	2023-10-26	38		0	-11,400
Desert Gold Ventures Inc.	Options	Engdahl, Douglas Gordon	4	2023-06-14	00			
Desert Gold Ventures Inc.	Options	Engdahl, Douglas Gordon	4	2023-06-14	50	0.07	600,000	600,000
Diamcor Mining Inc.	Common Shares	Smith, Mark Franklin	5	2023-10-26	51	0.11	741,822	10,000
Diamcor Mining Inc.	Options	Smith, Mark Franklin	5	2023-10-26	51	0.11	190,000	-10,000
Digicann Ventures Inc. (formerly Agra Ventures Ltd.)	Common Shares	Carnevale, Anthony	4	2023-10-30	57		318,151	192,820
Digicann Ventures Inc. (formerly Agra Ventures Ltd.)	Rights Restricted Share Units	Carnevale, Anthony	4	2023-10-27	56		192,820	192,820
Digicann Ventures Inc. (formerly Agra Ventures Ltd.)	Rights Restricted Share Units	Carnevale, Anthony	4	2023-10-30	57		0	-192,820
Digicann Ventures Inc. (formerly Agra Ventures Ltd.)	Common Shares	Fitzmaurice, Fiona	4, 5	2023-10-30	57		680,729	385,640
Digicann Ventures Inc. (formerly Agra Ventures Ltd.)	Rights Restricted Share Units	Fitzmaurice, Fiona	4, 5	2023-10-27	56		385,640	385,640
Digicann Ventures Inc. (formerly Agra Ventures Ltd.)	Rights Restricted Share Units	Fitzmaurice, Fiona	4, 5	2023-10-30	57		0	-385,640
Digicann Ventures Inc. (formerly Agra Ventures Ltd.)	Common Shares	Hirsh, Jonathan	4	2023-10-30	57		282,611	192,820
Digicann Ventures Inc. (formerly Agra Ventures Ltd.)	Rights Restricted Share Units	Hirsh, Jonathan	4	2023-10-27	56		192,820	192,820
Digicann Ventures Inc. (formerly Agra Ventures Ltd.)	Rights Restricted Share Units	Hirsh, Jonathan	4	2023-10-30	57		0	-192,820
Digicann Ventures Inc. (formerly Agra Ventures Ltd.)	Common Shares	Kuzyk, Nicholas Jonathan	4, 5	2023-10-30	57		1,146,402	771,279
Digicann Ventures Inc. (formerly Agra Ventures Ltd.)	Rights Restricted Share Units	Kuzyk, Nicholas Jonathan	4, 5	2023-10-27	56		771,279	771,279
Digicann Ventures Inc. (formerly Agra Ventures Ltd.)	Rights Restricted Share Units	Kuzyk, Nicholas Jonathan	4, 5	2023-10-30	57		0	-771,279
Discovery 2023 Short Duration LP	Limited Partnership Units - Class F	Orrico, Dean	6	2023-11-02	00			
Discovery 2023 Short Duration LP	Limited Partnership Units - Class F	Orrico, Dean	6	2023-11-02	15	25	4,000	4,000
Dividend 15 Split Corp.	Class A Shares	Dividend 15 Split Corp.	1	2023-10-06	38	3.91	247,000	247,000
Dividend 15 Split Corp.	Class A Shares	Dividend 15 Split Corp.	1	2023-10-06	38		0	-247,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Dividend 15 Split Corp.	Class A Shares	Dividend 15 Split Corp.	1	2023-10-10	38	4.03	100,100	100,100
Dividend 15 Split Corp.	Class A Shares	Dividend 15 Split Corp.	1	2023-10-10	38		0	-100,100
Dividend 15 Split Corp.	Class A Shares	Dividend 15 Split Corp.	1	2023-10-16	38	3.98	114,800	114,800
Dividend 15 Split Corp.	Class A Shares	Dividend 15 Split Corp.	1	2023-10-16	38		0	-114,800
Dividend 15 Split Corp.	Class A Shares	Dividend 15 Split Corp.	1	2023-10-31	38	3.44	99,200	99,200
Dividend 15 Split Corp.	Class A Shares	Dividend 15 Split Corp.	1	2023-10-31	38		0	-99,200
Dividend 15 Split Corp.	Preferred Shares	Dividend 15 Split Corp.	1	2023-09-26	00			
Dividend 15 Split Corp.	Preferred Shares	Dividend 15 Split Corp.	1	2023-10-10	38	9.46	96,100	96,100
Dividend 15 Split Corp.	Preferred Shares	Dividend 15 Split Corp.	1	2023-10-10	38		0	-96,100
Dividend 15 Split Corp.	Preferred Shares	Dividend 15 Split Corp.	1	2023-10-13	38	9.5	75,000	75,000
Dividend 15 Split Corp.	Preferred Shares	Dividend 15 Split Corp.	1	2023-10-13	38		0	-75,000
Dividend 15 Split Corp.	Preferred Shares	Dividend 15 Split Corp.	1	2023-10-16	38	9.55	74,400	74,400
Dividend 15 Split Corp.	Preferred Shares	Dividend 15 Split Corp.	1	2023-10-16	38		0	-74,400
Dividend 15 Split Corp.	Preferred Shares	Dividend 15 Split Corp.	1	2023-10-31	38	9.68	87,400	87,400
Dividend 15 Split Corp.	Preferred Shares	Dividend 15 Split Corp.	1	2023-10-31	38		0	-87,400
Dividend 15 Split Corp. II	Class A Shares	Dividend 15 Split Corp. 11	1	2023-10-06	38	2.75	96,200	96,200
Dividend 15 Split Corp. II	Class A Shares	Dividend 15 Split Corp. 11	1	2023-10-06	37		0	-96,200
Dividend 15 Split Corp. II	Preferred Shares	Dividend 15 Split Corp. 11	1	2023-10-06	38	9.72	20,600	20,600
Dividend 15 Split Corp. II	Preferred Shares	Dividend 15 Split Corp. 11	1	2023-10-06	38		0	-20,600
DLP RESOURCES INC.	Common Shares	Njegovan, Donald Robert	4	2023-11-01	11	0.5	320,000	50,000
DLP RESOURCES INC.	Warrants	Njegovan, Donald Robert	4	2023-11-01	53	0.8	50,000	50,000
Dollarama Inc.	Deferred Share Units (DSU)	Bekenstein, Joshua	4	2023-10-30	56	95.526	29,605	222
Dollarama Inc.	Deferred Share Units (DSU)	David, Gregory	4	2023-10-30	56	95.526	21,165	98
Dollarama Inc.	Deferred Share Units (DSU)	Garcia C., Elisa D.	4	2023-10-30	56	95.526	27,680	242
Dollarama Inc.	Deferred Share Units (DSU)	Mugford, Kristin Williams	4	2023-10-30	56	95.526	17,050	275
Dollarama Inc.	Deferred Share Units (DSU)	Nomikos, Nicholas George	4	2023-10-30	56	95.526	30,080	222
Dollarama Inc.	Deferred Share Units (DSU)	Sakhia, Samira	4	2023-10-30	56	95.526	5,466	223
Dollarama Inc.	Deferred Share Units (DSU)	Sweeney, Thecla	4	2023-10-30	56	95.526	1,326	242
Dolly Varden Silver Corporation	Common Shares	Hecla Mining Company	3	2023-11-01	11	0.65	42,425,495	15,384,615
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-04	30	2.22	620,310	481
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-05	30	2.2	621,256	946
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-11	30	2	621,828	572
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-12	30	1.99	623,028	1,200
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-13	30	2	624,428	1,400

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-16	30	1.91	625,128	700
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-17	30	1.93	626,528	1,400
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-18	30	1.92	627,467	939
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-19	30	1.93	628,597	1,130
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-20	30	1.95	629,487	890
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-23	30	1.91	630,009	522
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-24	30	1.9	631,409	1,400
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-25	30	1.85	632,555	1,146
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-26	30	1.87	633,655	1,100
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-27	30	1.87	635,055	1,400
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-30	30	1.86	635,855	800
Dominion Lending Centres Inc. Centres Hypothecaires Dominion Inc.	Common Shares Class A	Dominion Lending Centres Inc.	1	2023-10-31	30	1.875	637,055	1,200
Dore Copper Mining Corp.	Common Shares	Mast, Ernest Mast	4, 5	2023-10-30	10	0.18	2,642,634	20,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-02	38	17.911	15,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-03	38	17.546	15,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-04	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-04	38	18.212	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-05	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-05	38	18.662	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-06	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-06	38	18.822	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-10	38		5,000	-5,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-10	38	19.366	7,800	2,800
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-11	38		2,800	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-11	38	19.467	7,100	4,300
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-12	38		4,300	-2,800
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-12	38	19.513	9,300	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-13	38		5,000	-4,300
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-13	38	18.992	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-16	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-16	38	18.388	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-17	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-17	38	18.548	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-18	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-18	38	18.212	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-19	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-19	38	17.8	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-20	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-20	38	16.951	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-23	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-23	38	17.001	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-24	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-24	38	17.174	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-25	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-25	38	16.943	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-26	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-26	38	16.883	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-27	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-27	38	16.916	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-30	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-30	38	17.115	10,000	5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-31	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-10-31	38	17.135	10,000	5,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-11-01	38		5,000	-5,000
DREAM Unlimited Corp.	Subordinate Voting Shares Class A	DREAM Unlimited Corp.	1	2023-11-02	38		0	-5,000
DRI Healthcare Trust	Rights Restricted Units	Coeytaux, Emmanuel	5	2023-10-25	56			102,843
DRI Healthcare Trust	Rights Restricted Units	Coeytaux, Emmanuel	5	2023-10-25	56		116,694	85,816
DRI Healthcare Trust	Rights Restricted Units	Coeytaux, Emmanuel	5	2023-10-25			58 - Expiration of rights	-16,667
DRI Healthcare Trust	Rights Restricted Units	Coeytaux, Emmanuel	5	2023-10-25			58 - Expiration of rights	-16,667
Dundee Corporation	Rights Deferred Share Units	Sharpe, Steven Blair	5	2023-10-31	56	1.1696	827,524	5,335
Dundee Corporation	Preferred Shares Cumulative Floating Rate First Preference Shares, Series 3	Sinclair, Alistair Murray	4	2023-11-02	10	20.01	0	-54,800
Dundee Precious Metals Inc.	Common Shares	Dundee Precious Metals Inc.	1	2023-10-26	38	8.51	554,601	57,454
Dundee Precious Metals Inc.	Common Shares	Dundee Precious Metals Inc.	1	2023-10-27	38	8.69	610,878	56,277
Dundee Precious Metals Inc.	Common Shares	Dundee Precious Metals Inc.	1	2023-10-30	38		553,424	-57,454
Dundee Precious Metals Inc.	Common Shares	Dundee Precious Metals Inc.	1	2023-10-30	38	8.95	608,099	54,675
Dundee Precious Metals Inc.	Common Shares	Dundee Precious Metals Inc.	1	2023-10-31	38		551,822	-56,277
Dundee Precious Metals Inc.	Common Shares	Dundee Precious Metals Inc.	1	2023-10-31	38	9.11	605,502	53,680
Durango Resources Inc.	Options	LEMIEUX, JULIE	4	2023-10-23	50	0.05	750,000	250,000
Dye & Durham Limited	Options	Derksen, Brian Lloyd	4	2023-06-12	59	3.6	23,954	-90,000
Dye & Durham Limited	Common Shares	Plantro Ltd.	3	2023-11-01	10	8.7384	9,721,610	850,000
E3 Lithium Ltd.	Common Shares	Doornbos, Christopher	4	2023-10-25	10	2.85	1,480,680	-22,100
E3 Lithium Ltd.	Common Shares	Doornbos, Christopher	4	2023-10-26	10	2.85		-22,100
E3 Lithium Ltd.	Common Shares	O'Hara, Michael	4	2023-11-01	10	2.56	235,000	-16,400
E3 Lithium Ltd.	Common Shares	Varshney, Peeyush Kumar	4	2023-08-29	10	3.67	295,906	-22,000
E3 Lithium Ltd.	Common Shares	Varshney, Peeyush Kumar	4	2023-08-30	10	3.86	292,906	-3,000
E3 Lithium Ltd.	Common Shares	Varshney, Peeyush Kumar	4	2023-08-31	10	3.99	289,206	-3,700
E3 Lithium Ltd.	Common Shares	Varshney, Peeyush Kumar	4	2023-09-05	10	4.08	284,706	-4,500
E3 Lithium Ltd.	Common Shares	Varshney, Peeyush Kumar	4	2023-09-08	10	4.86	264,906	-19,800
E3 Lithium Ltd.	Common Shares	Varshney, Peeyush Kumar	4	2023-09-11	10	5.13	254,906	-10,000
E3 Lithium Ltd.	Common Shares	Varshney, Peeyush Kumar	4	2023-09-15	10	4.19	247,906	-7,000
Eagle Bay Resources Corp.	Common Shares	Birmingham, Jason	4	2023-10-03	11		500,000	150,000
Eagle Plains Resources Ltd.	Common Shares	Termuende, Timothy Jay	4, 5	2023-11-01	10	0.11	4,259,300	10,000
Eagle Royalties Ltd.	Common Shares	Campbell, Jesse	4	2023-10-23	10	0.1217	231,000	6,000
Eagle Royalties Ltd.	Common Shares	Termuende, Timothy Jay	4, 5	2023-11-01	10	0.12	1,559,333	10,000
East West Petroleum Corp.	Common Shares	Haney, Kevin	4	2023-11-02	10	0.07	1,285,000	10,000
Eastwood Bio-Medical Canada Inc.	Common Shares	Kim, Yunji	5	2023-10-23	10	0.7	20,200	500
Eastwood Bio-Medical Canada Inc.	Common Shares	Kim, Yunji	5	2023-10-23	10	0.74	20,700	500
Eastwood Bio-Medical Canada Inc.	Common Shares	Kim, Yunji	5	2023-10-26	10	0.8	21,200	500

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-02	38	3.7	4,100	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-03	38	3.7	8,200	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-04	38	3.65	12,300	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-05	38	3.62	16,400	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-06	38	3.65	20,500	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-10	38	3.62	24,600	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-11	38	3.74	28,700	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-12	38	3.76	32,800	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-13	38	3.68	36,900	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-16	38	3.68	41,000	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-17	38	3.72	41,600	600
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-18	38	3.62	45,700	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-19	38	3.6	49,800	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-20	38	3.56	53,900	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-23	38	3.45	58,000	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-24	38	3.33	62,100	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-25	38	3.21	66,200	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-26	38	3.16	70,300	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-27	38	3.06	74,400	4,100
EcoSynthetix Inc.	Common Shares	EcoSynthetix Inc	1	2023-10-31	38		0	-74,400
Eddy Smart Home Solutions Ltd. (formerly Aumento Capital VIII Corp.)	Common Shares	SILVER, MARK	3, 4	2023-10-31	10	0.04	7,279,286	1,500,000
Edison Lithium Corp.	Common Shares	Richardson, James Angus Wilson (Bilkstys-)	4	2023-10-27	16	0.12	156,662	100,000
Edison Lithium Corp.	Warrants	Richardson, James Angus Wilson (Bilkstys-)	4	2023-10-27	16	0.2	100,000	100,000
Eldorado Gold Corporation	Common Shares	Aram, Karen Christine	5	2023-10-10	30	12.36	4,631	112
Eldorado Gold Corporation	Common Shares	Aram, Karen Christine	5	2023-10-19	30	13.75	4,732	101
Eldorado Gold Corporation	Common Shares	Burns, George Raymond	4, 5	2023-10-10	30	12.36	51,541	358
Eldorado Gold Corporation	Common Shares	Burns, George Raymond	4, 5	2023-10-19	30	13.75	51,862	321
Eldorado Gold Corporation	Common Shares	Eldorado Gold Corporation	1	2023-10-31	38	15.129	633,319	10,000
Eldorado Gold Corporation	Common Shares	Ferneyhough, Paul Anthony	5	2023-10-10	30	12.36	6,523	112
Eldorado Gold Corporation	Common Shares	Ferneyhough, Paul Anthony	5	2023-10-19	30	13.75	6,624	101
Eldorado Gold Corporation	Common Shares	Herbert, Frank Hamilton	5	2023-10-10	30	12.36	4,063	114
Eldorado Gold Corporation	Common Shares	Herbert, Frank Hamilton	5	2023-10-19	30	13.75	4,165	102
Eldorado Gold Corporation	Common Shares	HILLE, SIMON OSWALD	5	2023-10-10	30	12.36	11,118	146
Eldorado Gold Corporation	Common Shares	HILLE, SIMON OSWALD	5	2023-10-19	30	13.75	11,249	131
Eldorado Gold Corporation	Common Shares	Lind, Peter Jonathan	5	2023-11-01	00		1,202	
Eldorado Gold Corporation	Common Shares	Morrison, Graham Magnus	5	2023-10-10	30	12.36	9,712	72
Eldorado Gold Corporation	Common Shares	Morrison, Graham Magnus	5	2023-10-19	30	13.75	9,777	65
Eldorado Gold Corporation	Common Shares	Ohta, Christine Tamiko	5	2023-10-10	30	12.36	451	157
Eldorado Gold Corporation	Common Shares	Ohta, Christine Tamiko	5	2023-10-19	30	13.75	592	141
Eldorado Gold Corporation	Common Shares	Yee, Philip Chow	5	2023-10-10	30	12.36	11,385	112
Eldorado Gold Corporation	Common Shares	Yee, Philip Chow	5	2023-10-19	30	13.75	11,485	100
Eldorado Gold Corporation	Common Shares	Yilmaz, Mehmet	5	2023-10-06	30	11.965	48,676	249
Eloro Resources Ltd.	Common Shares	Holden, Christopher	5	2023-10-25	10	1.68	378,700	3,000
Elysee Development Corp.	Common Shares	Elysee Development Corp.	1	2023-11-02	38	0.38	9,500	8,500

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Elysee Development Corp.	Common Shares	Elysee Development Corp.	1	2023-11-03	38	0.38	25,000	15,500
EMP Metals Corp.	Common Shares	Tembo Capital Mining GP III Ltd	3	2023-10-31	00			
EMP Metals Corp.	Common Shares	Tembo Capital Mining GP III Ltd	3	2023-10-31	16	0.4		10,819,000
EMP Metals Corp.	Common Shares	Tembo Capital Mining GP III Ltd	3	2023-10-31	16	0.4		18,319,000
EMP Metals Corp.	Common Shares	Tembo Capital Mining GP III Ltd	3	2023-10-31	16	0.4	18,319,000	18,319,000
EMP Metals Corp.	Common Shares	Tembo Capital Mining GP III Ltd	3	2023-10-31	00			
EMP Metals Corp.	Warrants	Tembo Capital Mining GP III Ltd	3	2023-10-31	00			
EMP Metals Corp.	Warrants	Tembo Capital Mining GP III Ltd	3	2023-10-31	16		13,739,250	13,739,250
EMP Metals Corp.	Warrants	Tembo Capital Mining GP III Ltd	3	2023-10-31	00			
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-02	38	37.182	69,700	69,700
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-02	38		0	-69,700
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-03	38	37.011	83,000	83,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-03	38		0	-83,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-04	38	37.486	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-04	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-05	38	37.843	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-05	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-06	38	37.555	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-06	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-10	38	38.001	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-10	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-11	38	37.894	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-11	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-12	38	37.724	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-12	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-13	38	37.439	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-13	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-16	38	37.603	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-16	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-17	38	37.559	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-17	38		0	-69,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-18	38	37.596	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-18	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-19	38	37.474	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-19	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-20	38	37.403	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-20	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-23	38	37.744	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-23	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-24	38	37.786	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-24	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-25	38	38.091	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-25	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-26	38	37.913	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-26	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-27	38	37.279	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-27	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-30	38	37.853	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-30	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-31	38	38.117	69,000	69,000
Empire Company Limited	Non-Voting Shares Class A	Empire Company Limited	1	2023-10-31	38		0	-69,000
Empire Company Limited	Non-Voting Shares Class A	St-Laurent, Pierre	5	2023-10-25	10	38	18,594	-5,000
Empire Company Limited	Non-Voting Shares Class A	St-Laurent, Pierre	5	2023-10-30	10	38.05	13,594	-5,000
Empire Company Limited	Non-Voting Shares Class A	St-Laurent, Pierre	5	2023-11-01	10	38.45	2,743	-10,851
Empire Company Limited	Non-Voting Shares Class A	St-Laurent, Pierre	5	2023-11-01	10	38.53	1,287	-1,456
Empire Company Limited	Non-Voting Shares Class A	St-Laurent, Pierre	5	2023-11-01	30	36.027	1,387	100
Empire Company Limited	Non-Voting Shares Class A	St-Laurent, Pierre	5	2023-11-01	10	38.55	0	-1,387
enCore Energy Corp.	Common Shares	Cherry, Richard	4	2023-11-01	30	4.541	32,667	-3,000
Endurance Gold Corporation	Common Shares	Pease, Robert	4	2023-10-26	10	0.26	1,135,500	80,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-09-01	38	16.997		-9,935
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-09-01	38	16.997		-9,935
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-02	38	16.949		295,002

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-02	38	16.949		295,002
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-02	38	16.949	807,402	295,002
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-03	38	23.1		181,298
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-03	38	23.1		181,298
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-03	38	22.12		740,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-03	38	22.12		740,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-03	38	16.91		121,207
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-03	38	16.91		121,207
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-03	38	23.1	988,700	181,298
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-03	38	16.91	1,109,907	121,207
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-03	38	23.1		-181,298
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-04	38	16.321		206,263
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-04	38	16.321		206,263
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-04	38	16.321	1,316,170	206,263
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-05	38	16.276		215,002
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-05	38	16.276		215,002
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-05	38	16.276	1,531,172	215,002
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	22.12		740,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	22.12		740,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	16.242		30,854
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	16.242		30,854
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	22.12	2,271,172	740,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	16.242	2,302,026	30,854
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	24.015	2,234,026	-68,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	23.985		-74,400
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	23.985	2,159,626	-74,400
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	23.1	1,978,328	-181,298
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	17.782	1,793,328	-185,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	17.703	1,608,328	-185,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	16.949	1,313,326	-295,002
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	16.91	1,192,119	-121,207

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-06	38	16.321	985,856	-206,263
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-09	38	17.07		58,583
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-09	38	17.07		58,583
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-09	38	17.07	1,044,439	58,583
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-10	38	17.167		29,126
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-10	38	17.167		29,126
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-10	38	17.167	1,073,565	29,126
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-11	38	17.073		35,649
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-11	38	17.073		35,649
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-11	38	17.073	1,109,214	35,649
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-12	37	17.141		29,169
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-12	38	17.141		29,169
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-12	38	17.141		29,169
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-12	38	17.141	1,138,383	29,169
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-13	37	17.447		28,631
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-13	38	17.447		28,631
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-13	38	17.447		28,631
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-13	38	17.447	1,167,014	28,631
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-13	38	22.12	427,014	-740,000
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-13	38	16.276	212,012	-215,002
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-13	38	16.242	181,158	-30,854
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-13	38	17.07	122,575	-58,583
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-13	38	17.167	93,449	-29,126
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-13	38	17.073	57,800	-35,649
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-16	38	17.473		28,614
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-16	38	17.473		28,614
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-16	38	17.473	86,414	28,614
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-17	38	17.636		28,351
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-17	38	17.636		28,351
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-17	38	17.636	114,765	28,351
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-18	38	17.712		28,230

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-18	38	17.712		28,230
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-18	38	17.712	142,995	28,230
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-19	38	17.882		27,961
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-19	38	17.882		27,961
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-19	38	17.882	170,956	27,961
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-20	38	17.792		28,102
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-20	38	17.792		28,102
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-20	38	17.792	199,058	28,102
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-20	38	17.141	169,889	-29,169
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-20	38	17.447	141,258	-28,631
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-20	38	17.473	112,644	-28,614
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-20	38	17.636	84,293	-28,351
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-20	38	17.712	56,063	-28,230
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-23	38	17.505		28,563
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-23	38	17.505		28,563
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-23	38	17.505	84,626	28,563
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-24	38	17.255		28,977
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-24	38	17.255		28,977
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-24	38	17.255	113,603	28,977
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-25	38	17.232		29,016
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-25	38	17.232		29,016
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-25	38	17.232	142,619	29,016
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-26	38	17.15		31,783
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-26	38	17.15		31,783
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-26	38	17.15	174,402	31,783
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-27	38	16.988		48,115
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-27	38	16.988		48,115
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-27	38	16.988	222,517	48,115
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-27	38	17.882	194,556	-27,961
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-27	38	17.792	166,454	-28,102
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-27	38	17.505	137,891	-28,563

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-27	38	17.255	108,914	-28,977
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-27	38	17.232	79,898	-29,016
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-27	38	17.232	50,882	-29,016
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-30	38	16.902		59,164
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-30	38	16.902		59,164
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-30	38	16.902	110,046	59,164
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-30	38	17.15	78,263	-31,783
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-30	38	16.988	30,148	-48,115
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-31	38	16.93		59,064
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-31	38	16.93		59,064
Enerplus Corporation	Common Shares	ENERPLUS CORPORATION	1	2023-10-31	38	16.93	89,212	59,064
Envirogold Global Limited	Common Shares	Walsh, John	5	2023-10-27	10	0.13	3,988,136	20,000
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Hanley, Michael	4	2023-10-31	56		4,419	728
EQB Inc. (formerly Equitable Group Inc.)	Common Shares	Kim, Yongah	4	2023-11-02	10	71.97	1,000	1,000
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Kim, Yongah	4	2023-10-31	56		5,427	422
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Lopez, Marcos	4	2023-10-31	56		2,593	395
EQB Inc. (formerly Equitable Group Inc.)	Common Shares	Oakwest Corporation Limited	3	2023-10-27	10	67.05	3,653,000	3,700
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Saunders, Rowan	4	2023-10-31	56		21,218	395
EQB Inc. (formerly Equitable Group Inc.)	Rights Deferred Share Units	Schuetz, Carolyn Margaret	4	2023-10-31	56		2,593	395
Ero Copper Corp.	Common Shares	Tejani, Faheem	4	2023-11-01	00			
ESE Entertainment Inc.	Options	Segev, Ron	4	2023-09-22	50		505,000	200,000
ESE Entertainment Inc.	Options	Segev, Ron	4	2023-09-26	52		405,000	-100,000
ESE Entertainment Inc.	Options	Segev, Ron	4	2023-09-26	52		380,000	-25,000
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-02	38	12.655	2,500	2,500
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-02	38		0	-2,500
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-03	38	12.746	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-03	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-04	38	12.824	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-04	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-05	38	12.81	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-05	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-06	38	12.76	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-06	38		0	-2,529

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-10	38	12.745	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-10	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-10	38	12.157		2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-10	38	12.157		2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-11	38	12.52	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-11	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-12	38	12.75	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-12	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-13	38	12.341	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-13	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-16	38	12.15	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-16	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-17	38	12.408	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-17	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-18	38	12.398	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-18	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-20	38	12.395	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-20	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-23	38			-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-23	38			-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-23	38	12.157	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-23	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-24	38	12.297		2,200
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-24	38	12.297		2,200
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-24	38			-2,200
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-24	38			-2,200
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-24	38	12.297	2,200	2,200
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-24	38		0	-2,200
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-25	38	12.437	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-25	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-26	38	12.626	2,529	2,529

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-26	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-27	38	12.45	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-27	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-30	38	12.4	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-30	38		0	-2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-31	38	12.618	2,529	2,529
Evertz Technologies Limited	Common Shares	Evertz Technologies Limited	1	2023-10-31	38		0	-2,529
Excelsior Mining Corp.	Options Incentive Stock	Axcell, Stephen	4	2023-11-02	52		1,150,000	-600,000
Excelsior Mining Corp.	Options Incentive Stock	DuVal, Fred Price	4	2023-11-02	52		1,550,000	-500,000
Excelsior Mining Corp.	Options Incentive Stock	Greenstone Resources L.P.	3	2023-11-02	52		1,150,000	-600,000
Excelsior Mining Corp.	Options Incentive Stock	Haworth, Michael John	4, 6	2023-11-02	52		1,150,000	-600,000
Excelsior Mining Corp.	Options Incentive Stock	Kinley, Colin Brent	4	2023-11-02	52		1,170,000	-580,000
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2023-10-24	38	7.303	18,124	18,124
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2023-10-24	38	7.303	0	-18,124
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2023-11-02	38	7.2944	14,724	14,724
Exco Technologies Limited	Common Shares	Exco Technologies Limited	1	2023-11-02	38	7.2944	0	-14,724
Fennec Pharmaceuticals Inc.	Common Shares	Raykov, Rostislav Christov	4, 5	2023-11-03	10	1.59	2,497,297	74,793
Fennec Pharmaceuticals Inc.	Options	Raykov, Rostislav Christov	4, 5	2023-11-03	10	1.59	21,357,203	-74,793
Finlay Minerals Ltd.	Warrants	Barakso, John Andrew	4	2021-11-18	53	0.2		1,153,846
Finlay Minerals Ltd.	Warrants	Barakso, John Andrew	4	2021-11-18	53	0.2	1,153,846	1,153,846
Finning International Inc.	Common Shares	Finning International Inc.	1	2023-10-31	38		105,200	105,200
Finning International Inc.	Common Shares	Finning International Inc.	1	2023-10-31	38		0	-105,200
First Majestic Silver Corp.	Common Shares	Alkhafaji, Mani	5	2023-11-02	10	6.105	37,152	2,500
First Majestic Silver Corp.	Common Shares	Anderson, Cory Christopher	5	2023-11-02	10	4.43	665	225
First Majestic Silver Corp.	Common Shares	Holmes, Steven	5	2023-11-02	10	4.45	91,000	10,000
First Majestic Silver Corp.	Common Shares	Neumeyer, Keith	4, 5	2023-11-02	10	6.1	4,074,102	12,500
FIRSTSERVICE CORPORATION	Common Shares	Sproul, Joan Eloise	4	2023-10-30	10	196.85	2,600	-400
FIRSTSERVICE CORPORATION	Common Shares	Sproul, Joan Eloise	4	2023-10-30	10	196.77	2,000	-600
FIRSTSERVICE CORPORATION	Common Shares	Sproul, Joan Eloise	4	2023-10-30	10	196.71	1,600	-400
FIRSTSERVICE CORPORATION	Common Shares	Sproul, Joan Eloise	4	2023-10-30	10	196.69	1,500	-100
FIRSTSERVICE CORPORATION	Common Shares	Sproul, Joan Eloise	4	2023-10-30	10	196.68	1,300	-200
FIRSTSERVICE CORPORATION	Common Shares	Sproul, Joan Eloise	4	2023-10-30	10	196.67	1,200	-100
FIRSTSERVICE CORPORATION	Common Shares	Sproul, Joan Eloise	4	2023-10-30	10	196.62	1,000	-200
Flow Beverage Corp. (formerly RG One Corp.)	Restricted Share Units	Jackman, Joseph Patrick	4	2023-10-31	57		0	-5,000
Flow Beverage Corp. (formerly RG One Corp.)	Subordinate Voting Shares	Jackman, Joseph Patrick	4	2023-10-31	57		130,000	5,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Flow Beverage Corp. (formerly RG One Corp.)	Restricted Share Units	MacDonald, Trent	5	2023-10-31	57		688,668	-152,166
Flow Beverage Corp. (formerly RG One Corp.)	Restricted Share Units	MacDonald, Trent	5	2023-11-01	56		951,651	262,983
Flow Beverage Corp. (formerly RG One Corp.)	Subordinate Voting Shares	MacDonald, Trent	5	2023-10-31	57		344,332	152,166
Flow Beverage Corp. (formerly RG One Corp.)	Restricted Share Units	Reichenbach, Nicholas Dean	3, 4, 5	2023-10-31	57		0	-34,106
Flow Beverage Corp. (formerly RG One Corp.)	Restricted Share Units	Reichenbach, Nicholas Dean	3, 4, 5	2023-11-01	56		748,048	748,048
Flow Beverage Corp. (formerly RG One Corp.)	Subordinate Voting Shares	Reichenbach, Nicholas Dean	3, 4, 5	2023-10-31	57		921,845	34,106
Flow Beverage Corp. (formerly RG One Corp.)	Restricted Share Units	Socqué, Mathieu	5	2023-01-09	00			
Flow Beverage Corp. (formerly RG One Corp.)	Restricted Share Units	Socqué, Mathieu	5	2023-11-01	56		160,296	160,296
Flow Capital Corp. (formerly LOGiQ Asset Management Inc.)	Common Shares	Flow Capital Corp.	1	2023-09-28	38	0.54	9,465,000	37,000
Flow Capital Corp. (formerly LOGiQ Asset Management Inc.)	Common Shares	Flow Capital Corp.	1	2023-10-04	38	0.55	9,486,000	21,000
Flow Capital Corp. (formerly LOGiQ Asset Management Inc.)	Common Shares	Flow Capital Corp.	1	2023-10-05	38	0.56	9,498,000	12,000
Flow Capital Corp. (formerly LOGiQ Asset Management Inc.)	Common Shares	Flow Capital Corp.	1	2023-10-06	38	0.56	9,504,500	6,500
Flow Capital Corp. (formerly LOGiQ Asset Management Inc.)	Common Shares	Flow Capital Corp.	1	2023-10-10	38	0.56	9,514,500	10,000
Flying Nickel Mining Corp.	Common Shares	Zours, Wilhelm K. T.	3	2023-10-31	16	0.09	6,901,500	1,000,000
Foran Mining Corporation	Options	Bolger, Bradly James	5	2023-11-01	00			
Foran Mining Corporation	Options	Bolger, Bradly James	5	2023-11-01	50	4.33	250,000	250,000
Fortune Bay Corp.	Common Shares	Dawe, Wade K.	4, 5	2023-10-30	16	0.23		869,566
Fortune Bay Corp.	Common Shares	Dawe, Wade K.	4, 5	2023-10-30	16	0.23		869,566
Fortune Bay Corp.	Common Shares	Dawe, Wade K.	4, 5	2023-10-30	16	0.23	869,566	869,566
Fortune Bay Corp.	Warrants	Dawe, Wade K.	4, 5	2023-10-30	16			869,566
Fortune Bay Corp.	Warrants	Dawe, Wade K.	4, 5	2023-10-30	16			869,566
Fortune Bay Corp.	Common Shares	Shaw, Robert Peter	4	2023-10-30	16	0.23	86,957	86,957
Fortune Bay Corp.	Warrants	Shaw, Robert Peter	4	2020-10-01	00			
Fortune Bay Corp.	Warrants	Shaw, Robert Peter	4	2023-10-30	16		86,957	86,957
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-10-30	10	1	3,827,466	-12,000
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-10-30	10	1	3,817,466	-10,000
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-10-30	10	1.03	3,802,066	-15,400
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-10-31	10	1.02	3,800,566	-1,500
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-10-31	10	1.02	3,784,066	-16,500
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-10-31	10	1.02	3,779,666	-4,400
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-10-31	10	1.02	3,769,066	-10,600
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-10-31	10	1.02	3,754,066	-15,000
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-10-31	10	1.03	3,742,066	-12,000
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-11-02	10	1.06	3,722,066	-20,000
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-11-02	10	1.05	3,710,066	-12,000
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-11-02	10	1.08	3,685,066	-25,000
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-11-02	10	1.05	3,665,066	-20,000
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-11-02	10	1	3,650,066	-15,000
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-11-02	10	1.03	3,630,066	-20,000
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-11-02	10	1.0307	3,615,066	-15,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-11-02	10	1.06	3,608,066	-7,000
Founders Metals Inc.	Common Shares	Bonnell, Roy	3, 4	2023-11-02	10	1.06	3,593,066	-15,000
Four Nines Gold Inc.	Common Shares	Ross, Charles Edward	4, 5	2019-05-27	00			
Four Nines Gold Inc.	Common Shares	Ross, Charles Edward	4, 5	2023-10-31	11	0.1	500,000	500,000
Fremont Gold Ltd.	Common Shares	Moore, Dennis	4, 5	2023-10-27	16	0.1	4,950,268	1,000,000
Fremont Gold Ltd.	Warrants	Moore, Dennis	4, 5	2023-10-27	16	0.1	1,200,000	1,000,000
Full Circle Lithium Corp.	Common Shares	Vicens, Carlos Ernesto	5	2023-11-02	10	0.5	7,082,100	2,100
Full Circle Lithium Corp.	Common Shares	Vicens, Carlos Ernesto	5	2023-11-02	10	0.51	7,099,500	17,400
Full Circle Lithium Corp.	Common Shares	Vicens, Carlos Ernesto	5	2023-11-02	10	0.52	7,142,500	43,000
G Mining Ventures Corp.	Warrants	Petkovic, Dusan	5	2021-04-06	00			
G Mining Ventures Corp.	Warrants	Petkovic, Dusan	5	2021-04-06	00			
G Mining Ventures Corp.	Warrants	Petkovic, Dusan	5	2023-10-31	11		677,525	677,525
G2 Energy Corp. (formerly G2 Technologies Corp.)	Common Shares	Costigan, John	4	2023-10-30	10	0.05	1,439,812	400,000
G2 Energy Corp. (formerly G2 Technologies Corp.)	Common Shares	Smulewicz, Slawomir	3, 4, 5	2023-10-31	16	0.05	2,556,613	1,000,000
G2 Energy Corp. (formerly G2 Technologies Corp.)	Warrants	Smulewicz, Slawomir	3, 4, 5	2017-07-21	00			
G2 Energy Corp. (formerly G2 Technologies Corp.)	Warrants	Smulewicz, Slawomir	3, 4, 5	2023-10-31	16	0.08	1,000,000	1,000,000
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-03	38	4.746	30,800	30,800
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-03	38		0	-30,800
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-04	38	4.738	51,900	51,900
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-04	38		0	-51,900
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-05	38	4.75	1,200	1,200
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-05	38		0	-1,200
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-06	38	4.747	20,300	20,300
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-06	38		0	-20,300
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-11	38	4.677	205,400	205,400
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-11	38		0	-205,400
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-12	38	4.723	47,100	47,100
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-12	38		0	-47,100
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-13	38	4.749	25,200	25,200
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-13	38		0	-25,200
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-18	38	4.736	86,900	86,900
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-18	38		0	-86,900
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-19	38	4.734	82,700	82,700
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-19	38		0	-82,700
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-20	38	4.748	21,300	21,300
Galaxy Digital Holdings Ltd.	Common Shares	Galaxy Digital Holdings Ltd.	1	2023-10-20	38		0	-21,300

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
GENIUS METALS INC.	Common Shares	Goulet, Guy	5	2023-11-02	10	0.04	3,969,686	5,000
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-02	38	151.61	109,236	20,000
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-02	38	151.44	117,305	8,069
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-03	38	152.03	150,805	33,500
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-03	38	151.34	164,323	13,518
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-04	38	152.31	193,823	29,500
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-04	38	152.78	205,729	11,906
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-06	38		12,500	-193,229
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-10	38	150.81	35,700	23,200
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-10	38	150.08	45,066	9,366
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-11	38	150.29	68,266	23,200
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-11	38	150.85	77,633	9,367
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-12	38	151.04	95,733	18,100
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-12	38	151.55	103,042	7,309
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-13	38	151.12	119,842	16,800
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-13	38	149.69	126,627	6,785
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-13	38		61,494	-65,133
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-16	38	150.93	78,994	17,500
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-16	38	150.74	86,063	7,069
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-17	38	150.3	102,763	16,700
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-17	38	150.35	109,509	6,746
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-18	38	149.35	132,909	23,400
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-18	38	147.93	142,363	9,454
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-19	38	146.74	166,163	23,800
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-19	38	146.01	175,781	9,618
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-20	38	145.4	199,781	24,000
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-20	38	145.32	209,481	9,700
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-20	38		79,618	-129,863
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-23	38	146.59	99,918	20,300
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-23	38	146.74	108,124	8,206
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-24	38	146.33	121,224	13,100
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-24	38	145.7	126,520	5,296
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-25	38	147.15	137,520	11,000
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-25	38	146.71	141,967	4,447
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-26	38	146.56	163,967	22,000
George Weston Limited	Common Shares	George Weston Limited	1	2023-10-26	38	145.87	172,863	8,896
George Weston Limited	Common Shares	Weston, Willard Galen Garfield	3, 4, 5	2023-10-30	38	149.15	77,192,148	-9,506
George Weston Limited	Common Shares	Weston, Willard Galen Garfield	3, 4, 5	2023-10-31	38	150.42	77,182,721	-9,427
George Weston Limited	Common Shares	Weston, Willard Galen Garfield	3, 4, 5	2023-11-01	38	153.7	77,173,495	-9,226
George Weston Limited	Common Shares	Weston, Willard Galen Garfield	3, 4, 5	2023-11-02	38	157.7	77,164,470	-9,025
George Weston Limited	Common Shares	Weston, Willard Galen Garfield	3, 4, 5	2023-11-03	38	160.83	77,155,646	-8,824
GFL Environmental Inc.	Deferred Share Units	Chiesa, Dino	4	2023-10-31	56		19,601	11
GFL Environmental Inc.	Restricted Share Units	Dovigi, Patrick Joseph	3, 4, 5	2023-10-31	56		787,509	355
GFL Environmental Inc.	Restricted Share Units	Gilbert, Mindy Beth	5	2023-10-31	56		85,595	38
GFL Environmental Inc.	Restricted Share Units	Glavina, Edward Christian	5	2023-09-07	57			-10,052
GFL Environmental Inc.	Restricted Share Units	Glavina, Edward Christian	5	2023-09-07	57		19,763	-10,088

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
GFL Environmental Inc.	Restricted Share Units	Glavina, Edward Christian	5	2023-10-31	56		19,771	8
GFL Environmental Inc.	Subordinate Voting Shares	Glavina, Edward Christian	5	2023-09-07	57			10,052
GFL Environmental Inc.	Subordinate Voting Shares	Glavina, Edward Christian	5	2023-09-07	57		60,200	10,088
GFL Environmental Inc.	Restricted Share Units	Grahek, Elizabeth Joy	5	2023-10-31	56		91,245	42
GFL Environmental Inc.	Deferred Share Units	Konkle, Violet	4	2023-10-31	56		21,301	10
GFL Environmental Inc.	Deferred Share Units	Levy, Sandra Elizabeth	4	2023-10-31	56		2,042	1
GFL Environmental Inc.	Deferred Share Units	McDONALD, JESSICA	4	2023-10-31	56		8,628	5
GFL Environmental Inc.	Deferred Share Units	Nayar, Arun	4	2023-10-31	56		19,601	11
GFL Environmental Inc.	Restricted Share Units	Pelosi, Jonathan Lucas (Luke)	5	2023-10-31	56		84,086	38
GFL Environmental Inc.	Deferred Share Units	Poole, Lonnie Craven, III	4	2023-10-31	56		19,601	11
GFL Environmental Inc.	Restricted Share Units	Yorston, Gregory Gerald	5	2023-10-31	56		96,314	44
GIGA Metals Corporation	Options	Young, Leslie	5	2023-10-13	50	0.3	225,000	20,000
Gildan Activewear Inc.	Common Shares	Driscoll, Sharon Ruth	4	2023-10-30	00			
Gildan Activewear Inc.	Deferred Share Units (DSUs)	Driscoll, Sharon Ruth	4	2023-10-30	00			
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-02	38	38.22	175,000	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-03	38	37.95	265,000	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-04	38	38.6	355,000	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-05	38	38.45	444,600	89,600
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-06	38	38.59	534,600	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-09	38	28.34	623,718	89,118
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-10	38	39.42	713,118	89,400
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-11	38	40.03	803,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-12	38	39.53	893,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-13	38	39.78	983,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-16	38	40.19	1,073,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-17	38	40.54	1,163,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-18	38	40.73	1,253,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-19	38	39.97	1,343,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-20	38	39.96	1,433,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-23	38	39.54	1,523,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-24	38	39.54	1,613,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-25	38	39.46	1,703,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-26	38	38.96	1,793,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-27	38	38.49	1,883,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-30	38	38.8	1,973,118	90,000
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-31	38		90,000	-1,883,118
Gildan Activewear Inc.	Common Shares	Gildan Activewear Inc.	1	2023-10-31	38	39.39	180,000	90,000
Gildan Activewear Inc.	Common Shares	Masi, Benito	5	2023-11-01	57	27.94	367,468	76,171
Gildan Activewear Inc.	Restricted Share Units	Masi, Benito	5	2023-11-01	57	27.94	20,908	-76,171
Givex Corp. (formerly, Givex Information Technology Group Limited)	Common Shares	Ladouceur, Marc	6	2023-10-30	10	0.54	784,500	-125,500
Givex Corp. (formerly, Givex Information Technology Group Limited)	Common Shares	Ladouceur, Marc	6	2023-11-02	10	0.55	729,000	-55,500
Gladiator Metals Corp. (formerly Cairo Resources Inc.)	Rights Restricted Share Units	Bontempo, Jason	4, 5	2021-10-08	00			

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Gladiator Metals Corp. (formerly Cairo Resources Inc.)	Rights Restricted Share Units	Bontempo, Jason	4, 5	2023-10-27	56		600,000	600,000
Gladiator Metals Corp. (formerly Cairo Resources Inc.)	Rights Restricted Share Units	Devine, Darren	4	2021-10-08	00			
Gladiator Metals Corp. (formerly Cairo Resources Inc.)	Rights Restricted Share Units	Devine, Darren	4	2023-10-27	56		150,000	150,000
Gladiator Metals Corp. (formerly Cairo Resources Inc.)	Rights Restricted Share Units	Harden, Marcus Richard	5	2023-09-25	00			
Gladiator Metals Corp. (formerly Cairo Resources Inc.)	Rights Restricted Share Units	Harden, Marcus Richard	5	2023-10-27	56		400,000	400,000
Gladiator Metals Corp. (formerly Cairo Resources Inc.)	Rights Restricted Share Units	Khunkhun, Shawn	4	2021-10-08	00			
Gladiator Metals Corp. (formerly Cairo Resources Inc.)	Rights Restricted Share Units	Khunkhun, Shawn	4	2023-10-27	56		150,000	150,000
Gladiator Metals Corp. (formerly Cairo Resources Inc.)	Rights Restricted Share Units	Nielsen, Kell Ivar	5	2023-02-13	00			
Gladiator Metals Corp. (formerly Cairo Resources Inc.)	Rights Restricted Share Units	Nielsen, Kell Ivar	5	2023-10-27	56		200,000	200,000
Gladiator Metals Corp. (formerly Cairo Resources Inc.)	Rights Restricted Share Units	Roma, Matthew Frederick	5	2021-10-08	00			
Gladiator Metals Corp. (formerly Cairo Resources Inc.)	Rights Restricted Share Units	Roma, Matthew Frederick	5	2023-10-27	56		50,000	50,000
Global Helium Corp.	Common Shares	Cross, Thomas	5	2023-10-30	00		10,000	
Global Helium Corp.	Common Shares	Cross, Thomas	5	2023-10-30	00		15,000	
Global Helium Corp.	Common Shares	Cross, Thomas	5	2023-10-30	00			
Global Helium Corp.	Common Shares	Cross, Thomas	5	2023-10-30	00		182,500	
Global Helium Corp.	Common Shares	Cross, Thomas	5	2023-11-01	10	0.22	197,500	15,000
Global Helium Corp.	Options	Cross, Thomas	5	2023-10-30	00		395,000	
Glow Lifetech Corp. (formerly, Ateba Resources Inc.)	Common Shares	Proska, Daniel	4	2023-09-06	00			
Glow Lifetech Corp. (formerly, Ateba Resources Inc.)	Common Shares	Proska, Daniel	4	2023-09-06	00		13,333	
Glow Lifetech Corp. (formerly, Ateba Resources Inc.)	Options	Proska, Daniel	4	2023-09-06	00			
Glow Lifetech Corp. (formerly, Ateba Resources Inc.)	Options	Proska, Daniel	4	2023-09-06	00			
Glow Lifetech Corp. (formerly, Ateba Resources Inc.)	Warrants	Proska, Daniel	4	2023-09-06	00			
Glow Lifetech Corp. (formerly, Ateba Resources Inc.)	Warrants	Proska, Daniel	4	2023-09-06	00			
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Appel, David Harry	4	2023-10-31	56	109.38	77,679	64
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Basian, Karen	4	2023-10-31	56	109.38	31,421	64
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Deakin, Tara	4	2023-10-31	56	109.38	3,532	64
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Doniz, Susan	4	2023-10-31	56	109.38	8,534	64

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Johnson, Donald Kenneth	3, 4	2023-10-31	56	109.38	88,260	31
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Moore, James	4	2023-10-31	56	109.38	3,238	64
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Morrison, Sean	4	2023-10-31	56	109.38	16,457	64
goeasy Ltd. (formerly, easyhome Ltd.)	Deferred Share Unit Plan	Tétrault, Jonathan	4	2023-10-31	56	109.38	995	64
Gold Hunter Resources Inc.	Warrants	Kingsley, Sean Adam	4, 5	2023-11-02	53	0.35	100,000	100,000
Gold Hunter Resources Inc.	Common Shares	Naughty, Blair Lawrence	3	2023-10-30	10	0.205	2,425,500	12,000
Gold Hunter Resources Inc.	Common Shares	Naughty, Blair Lawrence	3	2023-10-30	10	0.21	2,465,000	39,500
Gold Hunter Resources Inc.	Common Shares	Naughty, Blair Lawrence	3	2023-10-30	10	0.219	2,475,000	10,000
Gold Hunter Resources Inc.	Common Shares	Naughty, Blair Lawrence	3	2023-10-30	10	0.2252	2,483,500	8,500
Gold Hunter Resources Inc.	Common Shares	Naughty, Blair Lawrence	3	2023-11-02	10	0.2305	2,503,500	20,000
Gold Hunter Resources Inc.	Common Shares	Naughty, Blair Lawrence	3	2023-11-02	11	0.25		320,000
Gold Hunter Resources Inc.	Common Shares	Naughty, Blair Lawrence	3	2023-11-02	16	0.25	2,823,500	320,000
Gold Hunter Resources Inc.	Common Shares	Naughty, Blair Lawrence	3	2023-11-02	16	0.35	2,983,500	160,000
Gold Hunter Resources Inc.	Warrants	Naughty, Blair Lawrence	3	2021-01-28	00			
Gold Hunter Resources Inc.	Common Shares	Schwabe, Brandon	4, 5	2023-11-02	16	0.25	40,000	40,000
Gold Hunter Resources Inc.	Warrants	Schwabe, Brandon	4, 5	2023-11-02	16	0.35	40,000	40,000
Gold Line Resources Ltd.	Common Shares	Pierce, Toby Robert	4	2023-10-27	11	0.1	1,081,966	200,000
Gold Line Resources Ltd.	Warrants	Pierce, Toby Robert	4	2023-10-27	11	0.2	464,133	100,000
Gold Line Resources Ltd.	Common Shares	Singh, Taj	4, 5	2023-09-08	00			
Gold Line Resources Ltd.	Common Shares	Singh, Taj	4, 5	2023-10-27	11	0.1	500,000	500,000
Gold Line Resources Ltd.	Warrants	Singh, Taj	4, 5	2023-09-08	00			
Gold Line Resources Ltd.	Warrants	Singh, Taj	4, 5	2023-10-27	11	0.2	250,000	250,000
Golden Independence Mining Corp.	Options	Robb, Warren	4	2023-10-31	00		100,000	
Golden Pursuit Resources Ltd.	Common Shares	McClay, Kathleen	3	2023-10-31	10	0.12	6,882,333	100,000
Golden Sky Minerals Corp. (formerly Luckystrike Resources Ltd.)	Common Shares	Newell, John	5	2023-10-30	10	0.09	958,000	10,000
Goldflare Exploration Inc.	Common Shares catégorie "A"	Dufour, Yves	4	2023-11-02	10	0.03	4,129,000	115,000
Goldflare Exploration Inc.	Common Shares catégorie "A"	Morin, Ghislain	5	2023-11-02	10	0.03	4,129,000	115,000
Goldflare Exploration Inc.	Common Shares catégorie "A"	ROY, SERGE	5	2023-11-02	10	0.03	4,129,000	115,000
Goldgroup Mining Inc.	Options	Balic, Anthony Bob	4, 5	2023-10-31	50		1,225,000	900,000
Goldgroup Mining Inc.	Options	Montano, Javier	4	2023-10-31	50		145,000	100,000
Goldgroup Mining Inc.	Options	Reyes, Javier	4	2023-10-31	50		1,225,000	900,000
Goldgroup Mining Inc.	Options	Shearing, Ralph	5	2023-10-03	00			
Goldgroup Mining Inc.	Options	Shearing, Ralph	5	2023-10-31	50	0.04	1,500,000	1,500,000
Goldgroup Mining Inc.	Options	Silbernagel, Corry Jonathan	4	2023-10-31	50		1,225,000	900,000
GoldMining Inc. (formerly Brazil Resources Inc.)	Options	Kong, David TokPay	4	2023-11-04	50	1.09	370,000	75,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-09-29	38	7.79	1,000	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-02	38	7.782	2,000	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-03	38	7.679	3,000	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-04	38	7.88	4,000	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-05	38	8	5,000	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-06	38	8.036	6,000	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-10	38	8.12	6,600	600
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-11	38	8.47	7,600	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-12	38	8.41	8,600	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-13	38	8.7729	9,300	700
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-17	38	8.869	10,300	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-18	38	8.45	11,300	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-19	38	8.58	12,300	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-20	38	8.6	13,300	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-23	38	8.553	14,300	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-24	38	8.754	15,300	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-25	38	8.77	15,600	300
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-26	38	8.75	16,600	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-27	38	8.55	17,600	1,000
Goldmoney Inc.	Common Shares	Goldmoney Inc.	1	2023-10-31	38		0	-17,600
Goldstar Minerals Inc.	Common Shares	Gagne, Andre	3, 4, 5	2023-10-27	11	0.09	7,410,100	30,100
Goldstar Minerals Inc.	Common Shares	Gagne, Andre	3, 4, 5	2023-10-27	10	0.09	36,100	30,100
Goldstar Minerals Inc.	Common Shares	Gagne, Andre	3, 4, 5	2023-10-30	10	0.095	54,100	18,000
Gran Tierra Energy Inc.	Common Shares	Abraham, Phillip David	5	2023-11-03	30	6.24	18,850	291
Gran Tierra Energy Inc.	Common Shares	Ellson, Ryan Paul	5	2023-11-03	30	6.24	37,658	412
Gran Tierra Energy Inc.	Common Shares	Evans, Jim Randall	5	2023-11-03	30	6.24	15,942	182
Gran Tierra Energy Inc.	Common Shares	Guidry, Gary Stephen	4, 5	2023-11-03	30	6.24	54,647	582
Gran Tierra Energy Inc.	Common Shares	Trimble, Rodger Derrick	5	2023-11-03	30	6.24	26,582	291
Gran Tierra Energy Inc.	Common Shares	Will, Robert George	5	2023-11-03	30	6.24	9,859	291
Grande Portage Resources Ltd.	Common Shares	Klassen, Ian McCulloch	5	2023-10-31	10	0.25	2,814,346	-25,000
Graph Blockchain Inc. (formerly, Reg Technologies Inc.)	Common Shares	Haber, Paul	4	2023-10-31	57		12,850,000	2,000,000
Graph Blockchain Inc. (formerly, Reg Technologies Inc.)	Restricted Share Units	Haber, Paul	4	2023-10-31	56		3,000,000	2,000,000
Graph Blockchain Inc. (formerly, Reg Technologies Inc.)	Restricted Share Units	Haber, Paul	4	2023-10-31	57		1,000,000	-2,000,000
Green Light Metals Inc.	Rights DSU	Bennett, Ryan Todd	4	2023-02-02	00			
Green Light Metals Inc.	Rights DSU	Bennett, Ryan Todd	4	2023-04-18	56	0.4	3,020	3,020
Green Light Metals Inc.	Rights DSU	Bennett, Ryan Todd	4	2023-08-28	56	0.55	6,429	3,409
Green Light Metals Inc.	Rights DSU	Reid, Gordon Dunlop	4	2023-11-01	56	0.55	12,986	3,409
Greenland Resources Inc.	Common Shares	Asper, Leonard	4	2023-10-18	10	0.6816	144,000	42,000
Greenland Resources Inc.	Common Shares	Shiffman, Ruben	4, 5	2023-10-23	10	0.67		16,000
Greenland Resources Inc.	Common Shares	Shiffman, Ruben	4, 5	2023-10-23	10	0.67	14,517,807	18,000
Greenland Resources Inc.	Common Shares	Shiffman, Ruben	4, 5	2023-10-27	10	0.64	14,594,807	77,000
Greenland Resources Inc.	Common Shares	Shiffman, Ruben	4, 5	2023-10-30	10	0.63	14,625,807	31,000
Greenland Resources Inc.	Common Shares	Shiffman, Ruben	4, 5	2023-11-02	10	0.62	14,645,807	20,000
Greenway Greenhouse Cannabis Corporation	Options	D'Alimonte, James Patrick	4	2023-10-30	38	0.25	100,000	-1,000,000
Greenway Greenhouse Cannabis Corporation	Options	D'Alimonte, James Patrick	4	2023-10-30	38	1.1	0	-100,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Greenway Greenhouse Cannabis Corporation	Options	Komsa, Martin John	4	2023-10-30	38	0.5	100,000	-1,000,000
Greenway Greenhouse Cannabis Corporation	Options	Komsa, Martin John	4	2023-10-30	38	1.1	0	-100,000
Greenway Greenhouse Cannabis Corporation	Options	Mastronardi, Carlo	4	2023-10-30	38	0.25	100,000	-1,000,000
Greenway Greenhouse Cannabis Corporation	Options	Mastronardi, Carlo	4	2023-10-30	38	1.1		-100,000
Greenway Greenhouse Cannabis Corporation	Options	Mastronardi, Carlo	4	2023-10-30	38	1.1	0	-100,000
Greenway Greenhouse Cannabis Corporation	Options	Peddle, Darren Joseph	4	2023-10-30	38	0.25	500,000	-400,000
Greenway Greenhouse Cannabis Corporation	Options	Peddle, Darren Joseph	4	2023-10-30	38	1.1	400,000	-100,000
Greenway Greenhouse Cannabis Corporation	Options	Staudt, Dennis Joseph	4	2023-10-30	38	0.5	600,000	-500,000
Greenway Greenhouse Cannabis Corporation	Options	Staudt, Dennis Joseph	4	2023-10-30	38	1.35	100,000	-500,000
Greenway Greenhouse Cannabis Corporation	Options	Staudt, Dennis Joseph	4	2023-10-30	38	1.1	0	-100,000
Grizzly Discoveries Inc.	Common Shares	Testo, Brian	4, 5	2023-11-01	10	0.045	5,303,801	75,000
Gunpoint Exploration Ltd.	Common Shares	Reifel, P. Randy	4	2023-11-01	10	0.47	3,482,668	1,500
Gunpoint Exploration Ltd.	Common Shares	Reifel, P. Randy	4	2023-11-01	10	0.47	3,484,168	1,500
GURU Organic Energy Corp.	Common Shares	Graveline, Eric Yves	3, 4	2023-10-31	10	2.09	5,108,428	40,000
GURU Organic Energy Corp.	Options	Graveline, Eric Yves	3, 4	2023-10-31	50	1.97	71,573	15,385
GURU Organic Energy Corp.	Common Shares	GURU Organic Energy Corp.	1	2023-10-02	38	1.6	500,400	500,400
GURU Organic Energy Corp.	Common Shares	GURU Organic Energy Corp.	1	2023-10-02	38		0	-500,400
GURU Organic Energy Corp.	Rights Deferred Share Units (DSU)	Meunier, Philippe	4	2023-10-31	56	1.97	47,485	10,152
GURU Organic Energy Corp.	Rights Deferred Share Units (DSU)	Miquelon, Alain	4	2023-10-31	56	1.97	54,554	11,421
Hank Payments Corp. (formerly Nobelium Tech Corp.)	Common Shares	HILMER, MICHAEL	4, 5	2023-10-31	10	0.055	2,889,473	18,181
Hank Payments Corp. (formerly Nobelium Tech Corp.)	Common Shares	HILMER, MICHAEL	4, 5	2023-11-01	10	0.05	2,909,473	20,000
Happy Belly Food Group Inc.	Common Shares	Black, Sean	4, 5	2023-10-31	10	0.15	1,576,000	10,000
Happy Belly Food Group Inc.	Common Shares	Black, Sean	4, 5	2023-11-02	10	0.15	1,586,000	10,000
Happy Belly Food Group Inc.	Common Shares	Black, Sean	4, 5	2023-11-03	10	0.14	1,626,000	40,000
Heartfield Mining Corp.	Common Shares	Dake, Michael R.	4	2023-10-27	10	0.05	1,584,001	16,000
Heartfield Mining Corp.	Common Shares	Dake, Michael R.	4	2023-10-30	10	0.05	1,591,001	7,000
Heliostar Metals Ltd.	Common Shares	Funk, Charles Walter	4, 5	2023-11-01	10	0.29	486,397	8,500
Hemisphere Energy Corporation	Common Shares	Hemisphere Energy Corporation	1	2023-10-04	38	1.28	790,000	50,000
Hemisphere Energy Corporation	Common Shares	Hemisphere Energy Corporation	1	2023-10-05	38	1.27	827,900	37,900
Hemisphere Energy Corporation	Common Shares	Hemisphere Energy Corporation	1	2023-10-06	38	1.285	112,100	24,200
Hemisphere Energy Corporation	Common Shares	Hemisphere Energy Corporation	1	2023-10-12	38	1.33	127,000	14,900
Hemisphere Energy Corporation	Common Shares	Hemisphere Energy Corporation	1	2023-10-13	38	1.36	177,000	50,000
Hemisphere Energy Corporation	Common Shares	Hemisphere Energy Corporation	1	2023-10-16	38	1.4	252,000	75,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Hemisphere Energy Corporation	Common Shares	Hemisphere Energy Corporation	1	2023-10-19	38	1.381	393,900	141,900
Hemisphere Energy Corporation	Common Shares	Hemisphere Energy Corporation	1	2023-10-23	38	1.34	443,900	50,000
Hemisphere Energy Corporation	Common Shares	Hemisphere Energy Corporation	1	2023-10-24	38	1.34	465,000	21,100
Hemisphere Energy Corporation	Common Shares	Hemisphere Energy Corporation	1	2023-10-26	38	1.3	499,200	34,200
Hemisphere Energy Corporation	Common Shares	Hemisphere Energy Corporation	1	2023-10-30	38	1.3	512,700	13,500
Hemisphere Energy Corporation	Common Shares	Hemisphere Energy Corporation	1	2023-11-02	38		0	-512,700
Hemostemix Inc.	Common Shares	Smeenk, Thomas	4	2023-11-01	10	0.07	1,542,593	50,000
Hemostemix Inc.	Common Shares	Smeenk, Thomas	4	2023-11-02	10	0.065	1,642,593	100,000
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-02	38	15.9	14,100	14,100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-02	38	15.915	17,800	3,700
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-02	38	15.92	18,100	300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-02	38	15.98	18,200	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-02	38		0	-18,200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38	15.38	200	200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38	15.39	800	600
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38	15.4	1,400	600
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38	15.41	2,200	800
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38	15.49	2,300	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38	15.5	2,800	500
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38	15.51	3,100	300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38	15.52	3,200	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38	15.54	3,400	200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38	15.56	3,500	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38	15.6	3,600	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38	15.62	4,600	1,000
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38	15.71	4,700	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-03	38		0	-4,700
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.02	100	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.06	200	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.1	800	600
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.14	1,000	200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.17	1,100	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.18	1,200	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.37	1,300	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.4	2,300	1,000
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.44	3,300	1,000
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.5	3,800	500
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.65	3,900	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.66	4,000	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.67	4,300	300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38	15.69	5,500	1,200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-04	38		0	-5,500
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.48	100	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.58	200	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.62	300	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.64	500	200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.65	1,700	1,200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.66	1,900	200

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.665	2,000	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.69	2,100	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.7	3,400	1,300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.71	3,600	200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.73	3,900	300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.735	4,000	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.74	4,100	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.75	4,600	500
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.79	4,700	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.82	4,900	200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38	15.85	5,400	500
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-05	38		0	-5,400
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-06	38	15.77	1,900	1,900
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-06	38	15.78	2,000	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-06	38	15.97	2,100	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-06	38	15.98	2,500	400
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-06	38	16	3,000	500
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-06	38		0	-3,000
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-12	38	15.92	1,000	1,000
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-12	38	15.95	2,500	1,500
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-12	38	15.985	2,600	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-12	38		0	-2,600
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-13	38	15.88	100	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-13	38	16	31,290	31,190
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-13	38		0	-31,290
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-16	38	15.38	300	300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-16	38	15.49	400	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-16	38		0	-400
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-17	38	15.35	100	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-17	38	15.48	200	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-17	38	15.49	1,400	1,200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-17	38	15.46	1,500	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-17	38	15.57	1,600	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-17	38	15.68	1,800	200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-17	38	15.69	2,000	200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-17	38		0	-2,000
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-18	38	15.32	1,200	1,200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-18	38	15.47	1,300	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-18	38	15.48	4,100	2,800
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-18	38	15.55	4,500	400
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-18	38	15.63	4,600	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-18	38		0	-4,600
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-19	38	15.25	87,619	87,619
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-19	38		0	-87,619
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-20	38	15.12	800	800
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-20	38		0	-800
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-23	38	15.07	300	300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-23	38	15.09	400	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-23	38	15.1	600	200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-23	38	15.17	1,700	1,100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-23	38	15.18	1,900	200

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-23	38	15.19	2,900	1,000
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-23	38	15.2	3,300	400
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-23	38		0	-3,300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-24	38	15.15	300	300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-24	38	15.19	1,000	700
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-24	38	15.2	1,300	300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-24	38		0	-1,300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-25	38	15.31	1,000	1,000
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-25	38	15.32	1,300	300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-25	38	15.4	2,400	1,100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-25	38	15.41	3,000	600
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-25	38		0	-3,000
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-26	38	15.15	100	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-26	38	15.18	200	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-26	38	15.2	400	200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-26	38	15.21	800	400
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-26	38	15.22	900	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-26	38	15.23	1,300	400
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-26	38	15.29	1,400	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-26	38	15.3	2,700	1,300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-26	38	15.31	3,000	300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-26	38	15.32	3,800	800
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-26	38		0	-3,800
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-27	38	15.19	700	700
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-27	38	15.2	1,200	500
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-27	38	15.23	1,700	500
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-27	38	15.24	1,800	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-27	38	15.25	2,100	300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-27	38		0	-2,100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-30	38	15.12	600	600
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-30	38	15.13	1,000	400
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-30	38		0	-1,000
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-31	38	15.19	100	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-31	38	15.2	800	700
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-31	38	15.25	900	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-31	38	15.28	1,100	200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-31	38	15.29	1,400	300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-31	38	15.3	2,600	1,200
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-31	38	15.31	2,700	100
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-31	38	15.33	3,000	300
Heroux-Devtek Inc.	Common Shares	Héroux-Devtek inc.	1	2023-10-31	38		0	-3,000
High Arctic Energy Services Inc.	Rights Deferred Share Units	Batcup, Simon	4	2023-11-02	35		94,897	1,059
High Arctic Energy Services Inc.	Rights Deferred Share Units	Binnion, Michael Rupert	4	2023-11-02	56		518,664	23,306
High Arctic Energy Services Inc.	Rights Performance Share Units	Maguire, Michael Joseph	5	2023-11-02	30		502,538	5,611
High Arctic Energy Services Inc.	Rights Deferred Share Units	Oliver, Joseph	4	2023-11-02	11		188,048	9,413
High Arctic Energy Services Inc.	Rights Deferred Share Units	Strong, Douglas John	4	2023-11-02	56		90,526	1,010
Highland Copper Company Inc.	Options	Tennant, David Buchanan	4	2023-10-13	50	0.07	3,366,667	1,666,667
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-02	38	5.0564	2,200	2,200

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-02	38		0	-2,200
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-03	38	4.97	3,600	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-03	38		0	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-04	38	4.96	3,600	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-04	38		0	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-05	38	4.95	3,600	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-05	38		0	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-06	38	4.98	3,600	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-06	38		0	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-10	38	4.9267	600	600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-10	38		0	-600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-11	38	4.97	3,600	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-11	38		0	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-12	38	5	3,600	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-12	38		0	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-13	38	5	3,600	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-13	38		0	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-16	38	4.9	200	200
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-16	38		0	-200
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-17	38	4.9961	3,600	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-17	38		0	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-18	38	5	1,300	1,300
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-18	38		0	-1,300
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-19	38	5.27	3,600	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-19	38		0	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-20	38	4.98	3,600	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-20	38		0	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-23	38	5.0111	3,600	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-23	38		0	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-25	38	4.9508	3,600	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-25	38		0	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-25	38		-3,600	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-26	38	5	0	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-27	38	4.73	100	100
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-27	38		0	-100
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-30	38	5	3,600	3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-30	38		0	-3,600
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-31	38	4.93	24,300	24,300
HLS Therapeutics Inc.	Common Shares	HLS Therapeutics Inc.	1	2023-10-31	38		0	-24,300
HPQ SILICON INC.	Common Shares	Smith, Peter Henderson	4	2023-10-31	10	0.24	340,000	-20,000
i-80 Gold Corp.	Common Shares	Downie, Ewan Stewart	4, 5	2023-11-02	10	1.78	6,354,355	10,000
i-80 Gold Corp.	Options	Turner, Curtis	5	2023-11-01	00		162,082	
i-80 Gold Corp.	Rights Restricted Share Units	Turner, Curtis	5	2023-11-01	00		14,104	
IBEX TECHNOLOGIES INC.	Common Shares	IBEX Technologies Inc.	1	2023-10-04	38	0.98	30,000	4,400
IBEX TECHNOLOGIES INC.	Common Shares	IBEX Technologies Inc.	1	2023-10-23	38	0.97	110,000	80,000
IBEX TECHNOLOGIES INC.	Common Shares	IBEX Technologies Inc.	1	2023-10-24	38	0.98	120,000	10,000
IBEX TECHNOLOGIES INC.	Common Shares	IBEX Technologies Inc.	1	2023-10-25	38	0.96	130,000	10,000
IBEX TECHNOLOGIES INC.	Common Shares	IBEX Technologies Inc.	1	2023-10-27	38	0.93	140,000	10,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
IC Capitalight Corp.	Common Shares	Bosse, Brian Gerald	4, 5	2023-10-30	10	0.03	35,910,805	500,000
IGM Financial Inc.	Executive Performance Share Units	Bartlett, Naomi	7	2023-10-31	30	31.22	3,197	57
IGM Financial Inc.	Restricted Share Units	Bartlett, Naomi	7	2023-10-31	30	31.22	1,404	24
IGM Financial Inc.	Executive Performance Share Units	Bast, Esther	7	2023-10-31	30	31.22	4,156	74
IGM Financial Inc.	Restricted Share Units	Bast, Esther	7	2023-10-31	30	31.22	2,693	48
IGM Financial Inc.	Senior Executive Share Units	Bast, Esther	7	2023-10-31	30	31.22	22,087	391
IGM Financial Inc.	Common Shares	Burns, Sam	7	2023-11-01	00		5,640	
IGM Financial Inc.	Executive Performance Share Units	Burns, Sam	7	2023-11-01	00		5,809	
IGM Financial Inc.	Options	Burns, Sam	7	2023-11-01	00		22,797	
IGM Financial Inc.	Restricted Share Units	Burns, Sam	7	2023-11-01	00			
IGM Financial Inc.	Restricted Share Units	Burns, Sam	7	2023-11-01	00			
IGM Financial Inc.	Restricted Share Units	Burns, Sam	7	2023-11-01	00		3,067	
IGM Financial Inc.	Executive Performance Share Units	Dibden, Michael William	7	2023-10-31	30	31.22	32,619	577
IGM Financial Inc.	Restricted Share Units	Dibden, Michael William	7	2023-10-31	30	31.22	7,516	133
IGM Financial Inc.	Executive Performance Share Units	Gould, J. Luke	7	2023-10-31	30	31.22	51,373	910
IGM Financial Inc.	Restricted Share Units	Gould, J. Luke	7	2023-10-31	30	31.22	8,633	153
IGM Financial Inc.	Executive Performance Share Units	Hepher, Kelly	7	2023-10-31	30	31.22	6,961	123
IGM Financial Inc.	Restricted Share Units	Hepher, Kelly	7	2023-10-31	30	31.22	1,160	20
IGM Financial Inc.	Equity Forward - IGM 25	IGM Financial Inc.	1	2023-10-31	30	31.22	8	1
IGM Financial Inc.	Equity Forward - IGM 27	IGM Financial Inc.	1	2023-10-31	30	31.22	6	1
IGM Financial Inc.	Equity Forward - IGM28	IGM Financial Inc.	1	2023-10-31	30	31.22	8	1
IGM Financial Inc.	Equity Forward - IGM30	IGM Financial Inc.	1	2023-10-31	30	31.22	7	1
IGM Financial Inc.	Equity Forward - IGM32	IGM Financial Inc.	1	2023-10-31	30	31.22	6	1
IGM Financial Inc.	Equity Forward - IGM33	IGM Financial Inc.	1	2023-10-31	30	31.22	3	1
IGM Financial Inc.	Equity Swap - IGM18	IGM Financial Inc.	1	2023-10-31	30	31.22	19	1
IGM Financial Inc.	Equity Swap - IGM35	IGM Financial Inc.	1	2023-10-31	30	31.22	2	1
IGM Financial Inc.	Equity Swap - IGM35	IGM Financial Inc.	1	2023-10-31	70	31.22	0	-2
IGM Financial Inc.	Equity Swap - IGM36	IGM Financial Inc.	1	2004-04-02	00			
IGM Financial Inc.	Equity Swap - IGM36	IGM Financial Inc.	1	2023-10-31	70	31.22	1	1
IGM Financial Inc.	Executive Performance Share Units	Kinzel, Mark Richard	7	2023-10-31	30	31.22	13,562	240
IGM Financial Inc.	Restricted Share Units	Kinzel, Mark Richard	7	2023-10-31	30	31.22	3,142	55
IGM Financial Inc.	Executive Performance Share Units	Lamba, Herpreet	7	2023-10-31	30	31.22	6,343	112
IGM Financial Inc.	Restricted Share Units	Lamba, Herpreet	7	2023-10-31	30	31.22	3,138	55
IGM Financial Inc.	Executive Performance Share Units	Lawrence, Ian	7	2023-10-31	30	31.22	4,701	83
IGM Financial Inc.	Restricted Share Units	Lawrence, Ian	7	2023-10-31	30	31.22	2,589	46
IGM Financial Inc.	Executive Performance Share Units	Locke, Steven	7	2023-10-31	30	31.22	14,498	256
IGM Financial Inc.	Restricted Share Units	Locke, Steven	7	2023-10-31	30	31.22	3,281	58
IGM Financial Inc.	Executive Performance Share Units	Marks, Lesley Carolyn	7	2023-10-31	30	31.22	14,914	264
IGM Financial Inc.	Restricted Share Units	Marks, Lesley Carolyn	7	2023-10-31	30	31.22	3,280	58
IGM Financial Inc.	Executive Performance Share Units	Milloy-Alfred, Patricia	7	2023-10-31	30	31.22	2,486	44
IGM Financial Inc.	Restricted Share Units	Milloy-Alfred, Patricia	7	2023-10-31	30	31.22	1,886	33

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
IGM Financial Inc.	Senior Executive Share Units	Milloy-Alfred, Patricia	7	2023-10-31	30	31.22	2,173	38
IGM Financial Inc.	Executive Performance Share Units	Milne, Douglas	7	2023-10-31	30	31.22	9,412	167
IGM Financial Inc.	Restricted Share Units	Milne, Douglas	7	2023-10-31	30	31.22	2,244	40
IGM Financial Inc.	Executive Performance Share Units	Murchison, Damon	7	2023-10-31	30	31.22	80,368	1,422
IGM Financial Inc.	Senior Executive Share Units	Murchison, Damon	7	2023-10-31	30	31.22	14,589	258
IGM Financial Inc.	Common Shares	O'Sullivan, James Patrick	4, 5	2023-10-31	35	31.139	34,076	604
IGM Financial Inc.	Executive Performance Share Units	O'Sullivan, James Patrick	4, 5	2023-10-31	30	31.22	105,098	1,860
IGM Financial Inc.	Senior Executive Share Units	O'Sullivan, James Patrick	4, 5	2023-10-31	30	31.22	25,816	457
IGM Financial Inc.	Executive Performance Share Units	Petursson, Philip	7	2023-10-31	30	31.22	3,171	56
IGM Financial Inc.	Restricted Share Units	Petursson, Philip	7	2023-10-31	30	31.22	1,660	29
IGM Financial Inc.	Executive Performance Share Units	Potter, Keith	5	2023-10-31	30	31.22	10,017	177
IGM Financial Inc.	Restricted Share Units	Potter, Keith	5	2023-10-31	30	31.22	2,486	44
IGM Financial Inc.	Executive Performance Share Units	Shewchuk, Blaine	7	2023-10-31	30	31.22	32,723	579
IGM Financial Inc.	Restricted Share Units	Shewchuk, Blaine	7	2023-10-31	30	31.22	1,274	23
IGM Financial Inc.	Executive Performance Share Units	Testani, Annamaria	7	2023-10-31	30	31.22	4,495	80
IGM Financial Inc.	Restricted Share Units	Testani, Annamaria	7	2023-10-31	30	31.22	18,089	320
IGM Financial Inc.	Senior Executive Share Units	Tretiak, Gregory Dennis	4, 6	2023-10-31	30	31.22	11,275	199
IMAX Corporation	Common Shares	IMAX Corporation	1	2023-10-24	38		9,321	4,086
IMAX Corporation	Common Shares	IMAX Corporation	1	2023-10-25	38		42,306	38,220
IMAX Corporation	Common Shares	IMAX Corporation	1	2023-10-26	38		61,773	19,467
IMAX Corporation	Common Shares	IMAX Corporation	1	2023-10-27	38		57,687	-4,086
IMAX Corporation	Common Shares	IMAX Corporation	1	2023-10-27	38		105,625	47,938
IMAX Corporation	Common Shares	IMAX Corporation	1	2023-10-30	38		67,405	-38,220
IMAX Corporation	Common Shares	IMAX Corporation	1	2023-10-30	38		47,938	-19,467
IMAX Corporation	Common Shares	IMAX Corporation	1	2023-10-31	38		0	-47,938
IMAX Corporation	Common Shares	IMAX Corporation	1	2023-10-31	38		14,835	14,835
IMAX Corporation	Common Shares	IMAX Corporation	1	2023-11-01	38		58,431	43,596
IMAX Corporation	Common Shares	IMAX Corporation	1	2023-11-02	38		43,596	-14,835
IMAX Corporation	Common Shares	IMAX Corporation	1	2023-11-03	38		0	-43,596
ImmunoPrecise Antibodies Ltd.	Common Shares	Bath, Jennifer Lynne	5	2023-11-01	10	2.0666	205,818	1,000
ImmunoPrecise Antibodies Ltd.	Common Shares	Witters, Dirk	4	2023-10-27	10	1.82	950	950
Impact Analytics Inc.	Common Shares	Lowes, Sebastian	8	2023-09-25	00			
Impact Analytics Inc.	Common Shares	Lowes, Sebastian	8	2023-10-26	10		1,000	1,000
Impact Analytics Inc.	Options	Parzen, Laura Ann	5	2023-09-25	50	0.11	25,000	25,000
Impact Analytics Inc.	Options	Parzen, Laura Ann	5	2023-10-06	37	0.275	100,000	75,000
Imperial Equities Inc.	Common Shares	Chadi Land Company Inc.	3	2023-10-31	10	3.96	1,655,813	100
Imperial Equities Inc.	Common Shares	CHADI, SINE KASSIM	3, 4, 5	2023-10-31	10	3.96	1,655,813	100
Imperial Metals Corporation	Debentures Non-Convertible Debentures Issued on Nov 1 2023	COLWILL, SHEILA	5	2017-09-11	00			
Imperial Metals Corporation	Debentures Non-Convertible Debentures Issued on Nov 1 2023	COLWILL, SHEILA	5	2023-11-01	16		\$20,000	\$20,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Imperial Metals Corporation	Debentures Non-Convertible Debentures Issued on Nov 1 2023	Dhillon, Darb	5	2017-04-24	00			
Imperial Metals Corporation	Debentures Non-Convertible Debentures Issued on Nov 1 2023	Dhillon, Darb	5	2023-11-01	16		\$20,000	\$20,000
Imperial Metals Corporation	Debentures Non-Convertible Debentures Issued on Nov 1 2023	Edwards, Norman Murray	3	2003-02-21	00			
Imperial Metals Corporation	Debentures Non-Convertible Debentures Issued on Nov 1 2023	Edwards, Norman Murray	3	2023-11-01	16		\$12,500,000	\$12,500,000
Imperial Metals Corporation	Common Shares	Imperial Metals Corporation	1	2023-10-03	38	2.1	11,803	5,000
Imperial Metals Corporation	Common Shares	Imperial Metals Corporation	1	2023-10-04	38	2.198	17,803	6,000
Imperial Metals Corporation	Common Shares	Imperial Metals Corporation	1	2023-10-05	38	2.101	23,803	6,000
Imperial Metals Corporation	Common Shares	Imperial Metals Corporation	1	2023-10-16	30	2.32	4,797	-19,006
Imperial Metals Corporation	Debentures Non-Convertible Debentures Issued on Nov 1 2023	Miller-tait, James	5	2017-09-11	00			
Imperial Metals Corporation	Debentures Non-Convertible Debentures Issued on Nov 1 2023	Miller-tait, James	5	2017-09-11	00			
Imperial Metals Corporation	Debentures Non-Convertible Debentures Issued on Nov 1 2023	Miller-tait, James	5	2017-09-11	00			
Imperial Metals Corporation	Debentures Non-Convertible Debentures Issued on Nov 1 2023	Miller-tait, James	5	2023-11-01	16		\$20,000	\$20,000
Imperial Metals Corporation	Options	Miller-tait, James	5	2023-10-31	52		225,000	-60,000
Imperial Metals Corporation	Debentures Non-Convertible Debentures Issued on Nov 1 2023	Moeller, Larry G.	4	2003-02-26	00			
Imperial Metals Corporation	Debentures Non-Convertible Debentures Issued on Nov 1 2023	Moeller, Larry G.	4	2023-11-01	16		\$650,000	\$650,000
Imperial Metals Corporation	Options	Thompson, Randall	5	2023-08-18	50	2.4	325,000	125,000
Imperial Mining Group Ltd.	Common Shares	Duchesne, Luc Clement	5	2023-11-01	00		1,170,973	
Imperial Mining Group Ltd.	Options	Duchesne, Luc Clement	5	2023-11-01	00		300,000	
Independence Gold Corp.	Warrants	McDonald, John Angus	4	2023-10-31	54		200,000	-50,000
Independence Gold Corp.	Warrants	McDonald, John Angus	4	2023-10-31	54		250,000	50,000
Independence Gold Corp.	Common Shares	Salman, Terrance Kamil	4	2017-02-28	00			
Independence Gold Corp.	Common Shares	Salman, Terrance Kamil	4	2023-10-31	51	0.1	250,000	250,000
Independence Gold Corp.	Options	Salman, Terrance Kamil	4	2023-10-31	51	0.1	750,000	-250,000
Infinico Metals Corp.	Common Shares	Plethora Private Equity Management	3	2023-10-30	10	0.075	11,075,778	60,000
Infinico Metals Corp.	Common Shares	Stichting Legal Owner Plethora Private Equity	3	2023-10-30	10	0.075	11,075,778	60,000
Innovotech Inc.	Options	Farkas, Karen Lee	4	2023-10-30	50	0.12	135,000	25,000
Innovotech Inc.	Options	Grobbelaar, Bernard	4	2023-10-30	50		220,000	25,000
Innovotech Inc.	Options	Milne, Craig	4	2023-10-30	50	0.12	2,995,000	25,000
Innovotech Inc.	Options	Tam, David Shong-Tak	4	2023-10-30	50	0.12	210,000	25,000
Innovotech Inc.	Options	Timourian, James Gregory	5	2023-10-30	50	0.12	640,000	25,000
Innovotech Inc.	Options	Wright, Julienne April	4	2023-10-30	50	0.12	75,000	25,000
Intact Financial Corporation	Common Shares	Intact Financial Corporation	1	2023-10-30	38	193.5	2,000	2,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Integra Resources Corp.	Common Shares	Salamis, George	4, 5	2023-11-01	10	1.04	620,480	7,000
INTER-ROCK MINERALS INC.	Common Shares	Crombie, Michael	4, 5	2023-10-27	47		5,790,200	5,048,200
INTER-ROCK MINERALS INC.	Common Shares	Crombie, Robert	5	2023-10-27	47		5,048,200	5,048,200
INTER-ROCK MINERALS INC.	Common Shares	Inter-Rock Minerals	1	2023-10-05	38	0.7	3,500	3,500
INTER-ROCK MINERALS INC.	Common Shares	Inter-Rock Minerals	1	2023-10-30	38		0	-3,500
International Battery Metals Ltd. (formerly, Rheingold Exploration Corp.)	Common Shares	EVL Holdings, LLC	3	2022-10-11	11	2.55	13,254,629	-1,500,000
International Battery Metals Ltd. (formerly, Rheingold Exploration Corp.)	Common Shares	EVL Holdings, LLC	3	2022-10-27	11	2.304	13,229,329	-25,300
International Battery Metals Ltd. (formerly, Rheingold Exploration Corp.)	Common Shares	EVL Holdings, LLC	3	2022-12-16	11	1	11,729,329	-1,500,000
International Battery Metals Ltd. (formerly, Rheingold Exploration Corp.)	Common Shares	EVL Holdings, LLC	3	2023-03-21	16	0.58	20,354,329	8,625,000
International Battery Metals Ltd. (formerly, Rheingold Exploration Corp.)	Common Shares	EVL Holdings, LLC	3	2023-03-21	54	0.58	28,979,329	8,625,000
International Battery Metals Ltd. (formerly, Rheingold Exploration Corp.)	Common Shares	EVL Holdings, LLC	3	2023-08-23	11	0.637	25,133,175	-3,846,154
International Battery Metals Ltd. (formerly, Rheingold Exploration Corp.)	Warrants	EVL Holdings, LLC	3	2020-12-14	00			
International Battery Metals Ltd. (formerly, Rheingold Exploration Corp.)	Warrants	EVL Holdings, LLC	3	2023-03-21	16	0.58	8,625,000	8,625,000
International Battery Metals Ltd. (formerly, Rheingold Exploration Corp.)	Warrants	EVL Holdings, LLC	3	2023-03-21	54		0	-8,625,000
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-10-19	38	6.0567	1,534,900	1,800
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-10-20	38	5.9433	1,536,700	1,800
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-10-23	38	5.875	1,537,900	1,200
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-10-24	38	5.8748	1,540,000	2,100
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-10-25	38	5.8415	1,543,900	3,900
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-10-26	38	5.844	1,546,900	3,000
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-10-26	38	5.844		3,000
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-10-26	38	5.844		3,000
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-10-27	38	5.8727	1,556,200	9,300
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-10-30	38	5.8906	1,559,500	3,300

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-10-31	38	5.8856	1,562,200	2,700
International Clean Power Dividend Fund	Trust Units	International Clean Power Dividend Fund	1	2023-11-01	38	5.9772	1,565,100	2,900
International Lithium Corp.	Warrants	Kovacs, Anthony Michael	4, 5	2023-09-30	55		625,000	-720,000
International Petroleum Corporation	Common Shares	International Petroleum Corporation	1	2023-10-27	38	13.46	320,600	320,600
International Petroleum Corporation	Common Shares	International Petroleum Corporation	1	2023-10-27	38	12.87	571,600	251,000
International Petroleum Corporation	Common Shares	International Petroleum Corporation	1	2023-10-27	38		275,600	-296,000
International Petroleum Corporation	Common Shares	International Petroleum Corporation	1	2023-10-27	38		34,600	-241,000
INTERNATIONAL ZEOLITE CORP.	Common Shares	Paquette, Raymon Lucien	3, 4, 5	2023-11-03	10	0.045	6,293,678	10,000
Intrepid Metals Corp.	Common Shares	Morabito, Mark Joseph	4	2023-10-30	10	0.2475	1,270,184	10,000
Intrepid Metals Corp.	Common Shares	Morabito, Mark Joseph	4	2023-11-03	10	0.2308	1,280,184	10,000
Intrepid Metals Corp.	Common Shares	Morabito, Mark Joseph	4	2023-11-03	10	0.235	1,290,184	10,000
Inventus Mining Corp.	Common Shares	Spears, Stephan Michael Seydegart	6	2023-10-30	10	0.04	2,139,509	30,000
J4 Ventures Inc.	Common Shares	Leonard, Joel	5	2021-03-30	00		150,000	
J4 Ventures Inc.	Options	Leonard, Joel	5	2021-03-30	00		100,000	
James Bay Resources Limited	Common Shares	Shefsky, Stephen Mitchell	3, 4, 5	2023-10-31	90		13,404,426	-406,075
James Bay Resources Limited	Common Shares	Shefsky, Stephen Mitchell	3, 4, 5	2023-10-31	90		888,743	406,075
Juggernaut Exploration Ltd.	Common Shares	Stuart, Dan	4	2023-10-30	10	0.13	529,000	69,000
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-02	10	31.35	107,622	2,198
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-03	10	30.85	109,820	2,198
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-04	10	30.61	111,620	1,800
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-06	10	31.9	112,420	800
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-10	10	31.62	112,520	100
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-11	10	31.63	113,720	1,200
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-12	10	32.31	115,918	2,198
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-13	10	31.22	117,418	1,500
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-16	10	31.08	117,918	500
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-17	10	31.24	119,718	1,800
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-18	10	31.47	121,916	2,198
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-19	10	31.36	124,114	2,198
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-20	10	31.15	126,312	2,198
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-23	10	30.48	126,512	200
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-24	10	30.82	126,712	200
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-25	10	30.82	128,910	2,198
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-26	10	30.98	130,708	1,798
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-27	10	30.97	132,906	2,198
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-30	10	31.2	135,104	2,198
K-Bro Linen Inc.	Common Shares	K-Bro Linen Inc.	1	2023-10-31	10	31.15	135,604	500
KDA GROUP INC.	Common Shares	Bégin, Isabelle	3, 4	2023-10-25	10	0.1	333,000	47,000
KDA GROUP INC.	Common Shares	Bégin, Isabelle	3, 4	2023-10-27	10	0.11	361,000	28,000
KDA GROUP INC.	Common Shares	Lemieux, Marc	3, 4, 5	2023-10-25	10	0.1	333,000	47,000
KDA GROUP INC.	Common Shares	Lemieux, Marc	3, 4, 5	2023-10-27	10	0.11	361,000	28,000
Kenadyr Metals Corp.	Common Shares	McCutcheon, Timothy	4, 5	2023-11-02	10	0.03	400,000	100,000
Kermode Resources Ltd.	Common Shares	Bell, Peter Newton	4, 5	2023-10-11	46	0.05		100,000
Kermode Resources Ltd.	Common Shares	Bell, Peter Newton	4, 5	2023-10-11	46	0.05		100,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Kermode Resources Ltd.	Common Shares	LIU, ZHIZHEN	5	2023-11-02	46	0.025	3,550,000	200,000
Kintavar Exploration Inc.	Common Shares	Faucher, Richard Regis	4	2023-11-01	10		100,000	-50,000
Kirkland Lake Discoveries Corp. (formerly, Warrior Gold Inc.)	Options	Burleton, Stephen	4	2023-10-31	50		625,000	400,000
Kirkland Lake Discoveries Corp. (formerly, Warrior Gold Inc.)	Options	Nassif, Gary John	4	2023-10-31	50	0.12	625,000	400,000
Kirkland Lake Discoveries Corp. (formerly, Warrior Gold Inc.)	Options	SPETHMANN, DANIELE	4, 5	2023-10-31	50		1,125,000	800,000
KLONDIKE GOLD CORP.	Common Shares	Keep, Gordon	4	2023-10-25	10	0.1	270,000	-10,000
Knight Therapeutics Inc.	Common Shares	Armoyan, Sime	3	2023-10-23	10	4.35	12,237,800	1,100
Kolibri Global Energy Inc.	Common Shares	Neuhauser, David Loren	4	2023-10-25	10	5.94	5,288,198	5,400
Kolibri Global Energy Inc.	Common Shares	Neuhauser, David Loren	4	2023-10-26	10	6.08	5,294,298	6,100
Kolibri Global Energy Inc.	Common Shares	Neuhauser, David Loren	4	2023-10-27	10	6.07	5,299,098	4,800
KORE Mining Ltd.	Common Shares	Hynes, James Warwick	4, 5	2023-10-23	10	0.05	8,397,187	62,000
KORE Mining Ltd.	Common Shares	Hynes, James Warwick	4, 5	2023-10-24	10	0.055	8,472,187	75,000
KORE Mining Ltd.	Common Shares	Hynes, James Warwick	4, 5	2023-10-25	10	0.055	8,522,187	50,000
Latin Metals Inc.	Common Shares	Kopple, Robert Charles	3	2023-10-30	10	0.075	7,482,617	10,000
Latin Metals Inc.	Common Shares	Kopple, Robert Charles	3	2023-10-31	10	0.075	7,510,617	28,000
Latin Metals Inc.	Common Shares	Kopple, Robert Charles	3	2023-11-01	10	0.075	7,513,617	3,000
Legend Power Systems Inc.	Options	Moffat, Paul John	5	2023-11-01	50	0.18	500,000	100,000
Leon's Furniture Limited	Common Shares	Leon, Lewis	5	2023-07-10	30	15.3		-259
Leon's Furniture Limited	Common Shares	Leon, Lewis	5	2023-07-10	30	15.3	104,242	259
Leon's Furniture Limited	Common Shares	Pyshniak, Orest	5	2023-07-10	30	15.3	10,234	302
Leon's Furniture Limited	Common Shares	Pyshniak, Orest	5	2023-07-10	30	15.3	28,654	-302
Liberty Defense Holdings, Ltd. (formerly, Gulfstream Acquisition 1 Corp.)	Options	Garcia Abrego, Omar	5	2023-10-16	50	0.19	225,000	75,000
Liberty Defense Holdings, Ltd. (formerly, Gulfstream Acquisition 1 Corp.)	Options	Rebeck, Daryl	5	2023-10-16	56		800,000	300,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Common Shares	Attew, Jason Mark	4, 5	2023-11-02	57	0.26	1,363,611	250,000
Liberty Gold Corp. (formerly Pilot Gold Inc.)	Rights Restricted Share Unit	Attew, Jason Mark	4, 5	2023-11-02	57	0.26	700,000	-250,000
Life & Banc Split Corp.	Preferred Shares	Lau, Laura Wing-Sze	5	2023-10-18	15	9.6		30,000
Life & Banc Split Corp.	Preferred Shares	Lau, Laura Wing-Sze	5	2023-10-31	15	9.6	50,000	30,000
LIONS BAY CAPITAL INC.	Common Shares	Byrne, John Joseph	3, 4, 5	2023-10-30	10	0.015	8,200,000	75,000
LIONS BAY CAPITAL INC.	Common Shares	Byrne, John Joseph	3, 4, 5	2023-10-31	10	0.015	8,280,000	80,000
LIONS BAY CAPITAL INC.	Common Shares	Byrne, John Joseph	3, 4, 5	2023-11-02	10	0.015	8,480,000	200,000
LIONS BAY CAPITAL INC.	Common Shares	Byrne, John Joseph	3, 4, 5	2023-11-03	10	0.015	8,570,000	90,000
LithiumBank Resources Corp.	Common Shares	Kovacevic, John Gianni	4	2023-11-01	10	0.79	2,434,000	25,000
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2022-10-24	38	112.3	30,900	30,900
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-17	38	114.66	61,400	30,500
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-17	38	114.75	79,175	17,775
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-18	38	114.1	109,775	30,600

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-18	38	113	143,796	34,021
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-19	38	112.87	174,796	31,000
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-19	38	111.87	209,262	34,466
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-20	38	111.66	240,562	31,300
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-20	38	111.52	275,361	34,799
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-20	38		162,465	-112,896
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-23	38	112.3	193,365	30,900
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-23	38	111.76	227,720	34,355
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-24	38	111.36	259,120	31,400
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-24	38	110.96	294,030	34,910
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-25	38	111.92	325,330	31,300
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-25	38	111.54	360,130	34,800
Loblaw Companies Limited	Common Shares	Loblaw Companies Limited	1	2023-10-26	38	111.45	363,030	2,900
Lorne Park Capital Partners Inc.	Common Shares	Dingle, Christopher James	4	2013-10-25	00			
Lorne Park Capital Partners Inc.	Common Shares	Dingle, Christopher James	4	2023-11-02	10	1.19	8,125	8,125
Lorne Park Capital Partners Inc.	Common Shares	Dingle, Christopher James	4	2023-11-02	10	1.19	319,400	900
LQwD Technologies Corp. (formerly LQwD FinTech Corp.)	Common Shares	Guidi, Alex P.	4	2023-10-30	10	0.5	528,250	2,500
LQwD Technologies Corp. (formerly LQwD FinTech Corp.)	Common Shares	Guidi, Alex P.	4	2023-11-02	10	0.5	529,750	1,500
LQwD Technologies Corp. (formerly LQwD FinTech Corp.)	Common Shares	Guidi, Alex P.	4	2023-11-02	10	0.45	538,750	9,000
Lucero Energy Corp. (formerly PetroShale Inc.)	Common Shares	Lucero Energy Corp. (formerly, PetroShale Inc.)	1	2023-10-03	38	0.66	91,000	91,000
Lucero Energy Corp. (formerly PetroShale Inc.)	Common Shares	Lucero Energy Corp. (formerly, PetroShale Inc.)	1	2023-10-04	38	0.638	279,500	188,500
Lucero Energy Corp. (formerly PetroShale Inc.)	Common Shares	Lucero Energy Corp. (formerly, PetroShale Inc.)	1	2023-10-05	38	0.62	299,500	20,000
Lucero Energy Corp. (formerly PetroShale Inc.)	Common Shares	Lucero Energy Corp. (formerly, PetroShale Inc.)	1	2023-10-06	38	0.61	309,500	10,000
Lucero Energy Corp. (formerly PetroShale Inc.)	Common Shares	Lucero Energy Corp. (formerly, PetroShale Inc.)	1	2023-10-31	38		0	-309,500
Lumina Gold Corp.	Common Shares	Hicks, Scott Victor Andrew	5	2023-10-31	10	0.375	521,250	6,000
Lycos Energy Inc.	Common Shares	Atkinson, Ian Kenneth	4	2023-10-18	10	3.94	105,816	-10,000
Lycos Energy Inc.	Common Shares	Atkinson, Ian Kenneth	4	2023-10-31	10	3.731	95,816	-10,000
Lycos Energy Inc.	Options	Beynon, Bruce	4	2023-10-30	50		45,000	7,500
Lycos Energy Inc.	Options	Boon, Kyle Norvan	5	2023-10-30	50		577,500	290,000
Lycos Energy Inc.	Options	Burton, David Jonathan	4, 5	2023-10-30	50		622,500	310,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Lycos Energy Inc.	Options	Conboy, Jamie Peter	5	2023-10-30	50		406,250	200,000
Lycos Energy Inc.	Options	Goos, Sara Lindsay	5	2023-10-30	50		577,500	290,000
Lycos Energy Inc.	Options	Greenall, Geraldine Louise	4	2023-10-30	50		45,000	7,500
Lycos Energy Inc.	Options	Henschel, Barret Josh	5	2023-10-30	50		239,375	150,000
Lycos Energy Inc.	Options	Horvath, Alexandra	4	2023-10-30	50		45,000	7,500
Lycos Energy Inc.	Options	Johnston, Kelvin	4	2023-10-30	50		45,000	7,500
Lycos Energy Inc.	Options	Olson, Kevin	4	2023-10-30	50		45,000	7,500
Lycos Energy Inc.	Options	Rideout, Jefferson Lea	5	2023-10-30	50		186,875	75,000
Madison Metals Inc.	Common Shares	Parnham, Duane	4	2023-10-30	10	0.48	2,145,000	5,000
Madison Metals Inc.	Common Shares	Parnham, Duane	4	2023-11-01	10	0.5	2,153,000	8,000
Madison Metals Inc.	Common Shares	Parnham, Duane	4	2023-11-03	10	0.58	2,161,500	8,500
Magna Mining Inc.	Common Shares	Baker, Vernon Casey	4	2023-10-30	51	0.28	4,531,712	81,250
Magna Mining Inc.	Options	Baker, Vernon Casey	4	2023-10-30	51	0.28	959,750	-81,250
Magna Mining Inc.	Common Shares	Jessup, Jason David	3, 5	2023-10-31	51	0.28	359,921	20,312
Magna Mining Inc.	Options	Jessup, Jason David	3, 5	2023-10-31	51	0.28	1,564,013	-20,312
Major Drilling Group International Inc.	Common Shares	Gignac, Louis-Pierre	4	2023-11-03	10	7.3	27,000	2,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-02	38	8.1505	27,000	27,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-02	38	8.1505	0	-27,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-03	38	8.1117	27,000	27,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-03	38	8.1117	0	-27,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-04	38	7.964	50,700	50,700
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-04	38	7.964	0	-50,700
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-05	38	7.9551	27,000	27,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-05	38	7.9551	0	-27,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-06	38	8.1349	27,000	27,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-06	38	8.1349	0	-27,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-10	38	8.2043	27,000	27,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-10	38	8.2043	0	-27,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-12	38	8.1885	27,000	27,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-12	38	8.1885	0	-27,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-13	38	8.0545	99,365	99,365
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-13	38	8.0545	0	-99,365
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-13	38	8.0374	50,000	50,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-13	38	8.0374	0	-50,000
Major Drilling Group International Inc.	Common Shares	Major Drilling Group International Inc	1	2023-10-16	38	8.0545		-99,365
Manning Ventures Inc.	Common Shares	Klenman, Alexander	4, 5	2023-11-03	16	0.09	760,000	500,000
Manning Ventures Inc.	Warrants	Klenman, Alexander	4, 5	2018-09-20	00		500,000	

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Many Bright Ideas Technologies Inc.	Common Shares	Naughty, Blair Lawrence	3	2023-10-31	10	0.015	1,173,500	320,000
MariMed Inc.	Common Shares	Levine, Jon R.	5	2023-10-27	57	0.001	20,240,472	138,889
MariMed Inc.	Common Shares	Levine, Jon R.	5	2023-10-27	10	0.321	20,198,882	-41,590
MariMed Inc.	Restricted Share Units	Levine, Jon R.	5	2023-10-27	57		695,555	-138,889
MariMed Inc.	Common Shares	Shaw, Timothy	5	2023-10-27	57	0.001	8,932,359	111,111
MariMed Inc.	Common Shares	Shaw, Timothy	5	2023-10-27	10	0.321	8,899,087	-33,272
MariMed Inc.	Restricted Share Units	Shaw, Timothy	5	2023-10-27	57		964,445	-111,111
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Adler, Jason Marc	6	2023-10-31	97		\$4,627,782	\$40,774
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Adler, Jason Marc	6	2023-10-31	97		\$1,156,765	\$10,192
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Adler, Jason Marc	6	2023-10-31	97		\$15,496,168	\$136,530
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Adler, Jason Marc	6	2023-10-31	97		\$2,662,411	\$23,458
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Adler, Jason Marc	6	2023-10-31	97		\$28,048,378	\$247,122
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Adler, Jason Marc	6	2023-10-31	97		\$6,018,427	\$53,026
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Gotham Green Partners, LLC	3	2023-10-31	97		\$4,627,782	\$40,774
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Gotham Green Partners, LLC	3	2023-10-31	97		\$1,156,765	\$10,192
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Gotham Green Partners, LLC	3	2023-10-31	97		\$15,496,168	\$136,530
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Gotham Green Partners, LLC	3	2023-10-31	97		\$2,662,411	\$23,458
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Gotham Green Partners, LLC	3	2023-10-31	97		\$28,048,378	\$247,122
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Convertible Notes Senior Secured	Gotham Green Partners, LLC	3	2023-10-31	97		\$6,018,427	\$53,026
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	MedMen Enterprises Inc. Class B Subordinate Voting Shares	Pandey, Amit	5	2023-07-24	00			
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	MedMen Enterprises Inc. Class B Subordinate Voting Shares	Pandey, Amit	5	2023-07-24	00			
MedMen Enterprises Inc. (formerly Ladera Ventures Corp.)	Rights Restricted Share Units	Pandey, Amit	5	2023-07-24	00		13,157,895	
Mega Uranium Ltd.	Common Shares	Goldberg, Larry	4	2023-10-31	10	0.342	176,000	-124,000
Mega Uranium Ltd.	Common Shares	Goldberg, Larry	4	2023-11-01	10	0.35	100,000	-76,000
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2023-10-27	38	11.17	30,155	1,617
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2023-10-30	38	11.302	31,772	1,617
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2023-10-31	38	11.319	33,389	1,617

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Melcor Developments Ltd.	Common Shares	Melcor Developments Ltd.	1	2023-10-31	38	11.52	3,234	-30,155
Meridian Mining UK Societas	Common Shares	McLeod, Donald Bruce	4	2023-10-27	00		600,000	
Meridian Mining UK Societas	Common Shares	McLeod, Donald Bruce	4	2023-10-27	00		100,000	
Meridian Mining UK Societas	Options	McLeod, Donald Bruce	4	2023-10-27	00		1,000,000	
Metaguest.ai Incorporated	Common Shares	Keddy, Colin Malcom Lloyd	4	2023-10-31	10	0.3417	690,900	750
Metaguest.ai Incorporated	Common Shares	Keddy, Colin Malcom Lloyd	4	2023-10-31	10	0.341	691,600	700
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2023-10-19	38	7.2667	1,595,700	1,800
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2023-10-20	38	7.2483	1,599,300	3,600
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2023-10-23	38	7.18	1,599,900	600
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2023-10-25	38	7.17	1,600,500	600
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2023-10-26	38	7.16	1,601,700	1,200
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2023-10-26	38	7.16		1,200
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2023-10-26	38	7.16		1,200
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2023-10-27	38	7.13	1,604,300	2,600
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2023-10-30	38	7.12	1,604,900	600
Middlefield Global Real Asset Fund	Trust Units	Middlefield Global Real Asset Fund	1	2023-10-31	38	7.224	1,607,900	3,000
Minnova Corp	Common Shares	Glenn, Gorden	4	2023-10-26	10	0.025	4,060,840	-70,000
Minnova Corp	Common Shares	Glenn, Gorden	4	2023-10-27	10	0.025	4,046,840	-14,000
Minnova Corp	Common Shares	Glenn, Gorden	4	2023-10-30	10	0.025	3,996,840	-50,000
Minnova Corp	Common Shares	Glenn, Gorden	4	2023-11-01	10	0.025	3,982,840	-14,000
Minto Apartment Real Estate Investment Trust	Deferred Units	Amini Baghbadorani, Mohammad	5	2023-06-16	00		1,000	
Minto Apartment Real Estate Investment Trust	Units	Amini Baghbadorani, Mohammad	5	2023-06-16	00			
Minto Apartment Real Estate Investment Trust	Units	Amini Baghbadorani, Mohammad	5	2023-06-16	00		2,285	
Mirasol Resources Ltd.	Common Shares	Pountney, Glenn	3	2023-10-30	10	0.58	7,201,500	1,000
Mirasol Resources Ltd.	Common Shares	Pountney, Glenn	3	2023-10-31	10	0.58	7,202,000	500
Mogo Inc. (formerly, Difference Capital Financial Inc.)	Options	Carter, Justin	5	2023-06-30	50			50,000
Mogo Inc. (formerly, Difference Capital Financial Inc.)	Options	Carter, Justin	5	2023-06-30	50		382,807	74,000
Mogo Inc. (formerly, Difference Capital Financial Inc.)	Options	Carter, Justin	5	2023-08-14	37		127,604	-255,203
Molten Metals Corp.	Common Shares	Bagge, Emil	8	2023-11-02	10	0.06	96,000	-54,000
Montfort Capital Corp.	Common Shares	Thomson, Kenneth Walter	3, 4, 6, 7, 5	2023-10-16	10	0.18	12,290,243	36,000
Monumental Minerals Corp.	Common Shares	Sali, Maximilian	4	2023-11-01	10	0.045	3,676,800	50,000
Moon River Capital Ltd	Subscription Rights	levy, Jamie	4, 5	2020-03-20	00			
Moon River Capital Ltd	Subscription Rights	levy, Jamie	4, 5	2023-10-25	16	0.25	400,000	400,000
Moon River Capital Ltd	Subscription Rights	McDonald, Ian James	4	2020-01-23	00			

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Moon River Capital Ltd	Subscription Rights	McDonald, Ian James	4	2023-10-25	16	0.25	800,000	800,000
Moon River Capital Ltd	Subscription Rights	McDonald, Ian James	4	2020-01-23	00			
Moon River Capital Ltd	Subscription Rights	McDonald, Ian James	4	2023-10-25	16	0.25	144,000	144,000
Morguard North American Residential Real Estate Investment Trust	Trust Units	Morguard Corporation	3	2023-11-02	10	13.45	8,120,666	176,500
Morguard Real Estate Investment Trust	Units	Armoyan, Sime	3	2023-10-25	10	5.25	6,333,300	500
Morguard Real Estate Investment Trust	Units	Armoyan, Sime	3	2023-10-26	10	5.25	6,342,900	9,600
Morguard Real Estate Investment Trust	Units	Armoyan, Sime	3	2023-10-26	10	5.25	6,356,400	13,500
Morguard Real Estate Investment Trust	Units	Armoyan, Sime	3	2023-10-27	10	5.25	6,360,900	4,500
Morguard Real Estate Investment Trust	Units	Armoyan, Sime	3	2023-10-27	10	5.2545	6,384,400	23,500
Morguard Real Estate Investment Trust	Units	Armoyan, Sime	3	2023-10-31	10	5.2	6,385,800	1,400
Morguard Real Estate Investment Trust	Units	Armoyan, Sime	3	2023-10-31	10	5.2	6,385,900	100
Morguard Real Estate Investment Trust	Units	Armoyan, Sime	3	2023-11-01	10	5.2	6,388,200	2,300
Morguard Real Estate Investment Trust	Units	Armoyan, Sime	3	2023-11-02	10	5.25	6,390,300	2,100
MTB Metals Corp.	Common Shares	Bernard, Rene	3	2023-10-25	10	0.12	20,060,000	33,000
MTB Metals Corp.	Common Shares	Bernard, Rene	3	2023-10-26	10	0.12	20,073,000	13,000
MTB Metals Corp.	Common Shares	Bernard, Rene	3	2023-10-27	10	0.12	20,075,000	2,000
MTB Metals Corp.	Common Shares	Bernard, Rene	3	2023-10-30	10	0.118	20,078,000	3,000
MTB Metals Corp.	Common Shares	Bernard, Rene	3	2023-10-31	10	0.11	20,093,000	15,000
MTB Metals Corp.	Common Shares	Bernard, Rene	3	2023-11-01	10	0.11	20,095,000	2,000
MTB Metals Corp.	Common Shares	Bernard, Rene	3	2023-11-02	10	0.11	20,098,000	3,000
MTB Metals Corp.	Common Shares	Bernard, Rene	3	2023-11-03	10	0.106	20,131,500	33,500
MTB Metals Corp.	Common Shares	Bernard, Rene	3	2023-10-25	10	0.12	6,108,700	71,000
MTB Metals Corp.	Common Shares	Bernard, Rene	3	2023-10-26	10	0.12	6,126,700	18,000
MTB Metals Corp.	Common Shares	Bernard, Rene	3	2023-10-30	10	0.11	20,614,500	11,500
MTB Metals Corp.	Common Shares	Bernard, Rene	3	2023-10-31	10	0.11	20,653,000	38,500
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2023-10-30	38	13.339	196,130	9,252
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2023-10-31	38		9,252	-186,878
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2023-10-31	38	13.308	18,504	9,252
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2023-11-01	38	13.282	27,756	9,252
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2023-11-02	38	13.502	37,008	9,252
Mullen Group Ltd.	Common Shares	Mullen Group Ltd.	1	2023-11-03	38	13.632	46,260	9,252
NameSilo Technologies Corp.	Common Shares	Namesilo Technologies Corp.	1	2023-10-27	38		51,500	-1,720,500
NameSilo Technologies Corp.	Common Shares	Namesilo Technologies Corp.	1	2023-10-30	38	0.18	76,500	25,000
NamSys Inc.	Common Shares	Namsys Inc.	1	2023-10-31	38	0.9633	52,000	52,000
NamSys Inc.	Common Shares	Namsys Inc.	1	2023-10-31	38		0	-52,000
National Bank of Canada	Unités d'actions assujetties à des restrictions (UAR) (RSU)	Girouard, Denis	7	2023-08-01	35			567
National Bank of Canada	Unités d'actions assujetties à des restrictions (UAR) (RSU)	Girouard, Denis	7	2023-08-01	35		57,972	567
National Bank of Canada	Common Shares	Paquet, Nancy	5	2023-11-01	00		5,746	
National Bank of Canada	Options	Paquet, Nancy	5	2023-11-01	00		88,156	

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
National Bank of Canada	Unités d'actions assujetties à des restrictions (UAR) / (RSU)	Paquet, Nancy	5	2023-11-01	00		1,369	
National Bank of Canada	Unités d'actions assujetties à un critère de performance	Paquet, Nancy	5	2023-11-01	00		4,126	
National Bank of Canada	Unités d'actions différées (UAD) / (DSU)	Paquet, Nancy	5	2023-11-01	00		1,186	
nDatalyze Corp.	Options	Courtney, Keith	5	2021-07-16	50		100,000	100,000
nDatalyze Corp.	Options	Courtney, Keith	5	2023-10-25	00			
nDatalyze Corp.	Options	Courtney, Keith	5	2023-10-31	11			2,000,000
nDatalyze Corp.	Options	Courtney, Keith	5	2023-11-01	11			2,000,000
nDatalyze Corp.	Options	Courtney, Keith	5	2023-11-01	11			2,000,000
nDatalyze Corp.	Options	Courtney, Keith	5	2023-11-01	11		2,100,000	2,000,000
Neptune Digital Assets Corp. (formerly Neptune Dash Technologies Corp.)	Common Shares	Moodie, Cale	4, 5	2023-10-30	10	0.27	902,666	15,000
Neptune Digital Assets Corp. (formerly Neptune Dash Technologies Corp.)	Common Shares	Moodie, Cale	4, 5	2023-10-26	10	0.26	2,855,297	3,200
Netramark Holdings Inc. (formerly Nurosene Health Inc.)	Common Shares	Douglas J., Cook	5	2023-10-31	46	0.36	666,713	34,722
Netramark Holdings Inc. (formerly Nurosene Health Inc.)	Common Shares	Pani, Luca	5	2023-10-31	46	0.36	718,652	40,027
Netramark Holdings Inc. (formerly Nurosene Health Inc.)	Common Shares	Spiegel, Joshua Justin	7	2023-10-31	46	0.36	2,327,316	128,086
Nevada King Gold Corp.	Common Shares	Moubarak, Bassam	5	2023-10-27	11		0	-481,000
Nevada Sunrise Metals Corporation	Options	Boddy, Christina Lynn	5	2023-10-31	52		400,000	-75,000
Nevada Sunrise Metals Corporation	Options	Roy, Charles Edward	4	2023-11-01	52	0.105		100,000
Nevada Sunrise Metals Corporation	Options	Roy, Charles Edward	4	2023-11-01	52		600,000	-100,000
Nevada Sunrise Metals Corporation	Common Shares	Stanyer, Warren William	4, 5	2023-10-27	10	0.08	2,946,903	7,500
Nevada Sunrise Metals Corporation	Common Shares	Stanyer, Warren William	4, 5	2023-10-30	10	0.07	2,950,903	4,000
Nevada Sunrise Metals Corporation	Common Shares	Stanyer, Warren William	4, 5	2023-10-30	10	0.075	2,952,903	2,000
Nevada Sunrise Metals Corporation	Common Shares	Stanyer, Warren William	4, 5	2023-11-01	10	0.065	2,956,903	4,000
Nevada Sunrise Metals Corporation	Options	Sweatman, Michael	4	2023-10-31	52	0.105	687,500	-100,000
Nevis Brands Inc.	Common Shares	Kueber, John	5	2023-11-02	10	0.075	1,455,000	10,000
Nevis Brands Inc.	Common Shares	Kueber, John	5	2023-11-03	10	0.07	1,465,000	10,000
New Found Gold Corp.	Common Shares	2176423 Ontario Ltd.	3	2023-10-30	10	5.9647	8,882,400	20,000
New Found Gold Corp.	Common Shares	2176423 Ontario Ltd.	3	2023-10-31	10	5.1766	8,902,400	20,000
New Found Gold Corp.	Common Shares	2176423 Ontario Ltd.	3	2023-11-01	10	5.1115	8,922,400	20,000
New Found Gold Corp.	Common Shares	2176423 Ontario Ltd.	3	2023-11-02	10	5.1216	8,942,400	20,000
New Found Gold Corp.	Common Shares	Spratt, Eric S.	3	2023-10-30	10	5.9647	9,382,400	20,000
New Found Gold Corp.	Common Shares	Spratt, Eric S.	3	2023-10-31	10	5.1766	9,402,400	20,000
New Found Gold Corp.	Common Shares	Spratt, Eric S.	3	2023-11-01	10	5.1115	9,422,400	20,000
New Found Gold Corp.	Common Shares	Spratt, Eric S.	3	2023-11-02	10	5.1216	9,442,400	20,000
NEWBASKA GOLD AND COPPER MINES LTD.	Common Shares	FISSET, GILLES	3	2023-10-30	00		5,293,940	

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Nexus Industrial REIT	Class B Units of Nobel REIT LP exchangeable 1-1 for Units	1803299 Ontario Inc.	3	2023-11-01	45	11.3	18,209,828	2,394,774
NGEx Minerals Ltd.	Common Shares	Bonney, Brent Alexander	5	2023-10-31	10	5.65	26,000	1,000
Nickelex Resource Corporation	Options Stock	Stewart, Alfred Bruce	4	2023-11-01	00		300,000	
Noram Lithium Corp.	Common Shares	Studer, Simon David	5	2023-10-26	10	0.4	382,000	10,000
North American Financial 15 Split Corp.	Class A Shares	North American Financial 15 Split Corp.	1	2023-10-06	38	2.94	49,900	49,900
North American Financial 15 Split Corp.	Class A Shares	North American Financial 15 Split Corp.	1	2023-10-06	38		0	-49,900
North American Financial 15 Split Corp.	Class A Shares	North American Financial 15 Split Corp.	1	2023-10-10	38	3.1	49,700	49,700
North American Financial 15 Split Corp.	Class A Shares	North American Financial 15 Split Corp.	1	2023-10-10	38		0	-49,700
North American Financial 15 Split Corp.	Class A Shares	North American Financial 15 Split Corp.	1	2023-10-16	38	3.04	31,100	31,100
North American Financial 15 Split Corp.	Class A Shares	North American Financial 15 Split Corp.	1	2023-10-16	38		0	-31,100
North American Financial 15 Split Corp.	Preferred Shares	North American Financial 15 Split Corp.	1	2023-10-06	38	9.78	12,400	12,400
North American Financial 15 Split Corp.	Preferred Shares	North American Financial 15 Split Corp.	1	2023-10-06	38		0	-12,400
North American Financial 15 Split Corp.	Preferred Shares	North American Financial 15 Split Corp.	1	2023-10-10	38	9.81	42,000	42,000
North American Financial 15 Split Corp.	Preferred Shares	North American Financial 15 Split Corp.	1	2023-10-10	38		0	-42,000
North American Financial 15 Split Corp.	Preferred Shares	North American Financial 15 Split Corp.	1	2023-10-11	38	9.82	100,000	100,000
North American Financial 15 Split Corp.	Preferred Shares	North American Financial 15 Split Corp.	1	2023-10-11	38		0	-100,000
North American Financial 15 Split Corp.	Preferred Shares	North American Financial 15 Split Corp.	1	2023-10-16	38	9.82	29,100	29,100
North American Financial 15 Split Corp.	Preferred Shares	North American Financial 15 Split Corp.	1	2023-10-16	38		0	-29,100
Northcliff Resources Ltd.	Common Shares	Todd Minerals Limited	3	2023-10-24	36	0.02	236,282,483	110,000,000
Northcliff Resources Ltd.	Common Shares	Todd Minerals Limited	3	2023-10-24	36	0.019	352,071,957	115,789,474
Northcliff Resources Ltd.	Convertible Notes	Todd Minerals Limited	3	2023-10-24	36	0.02	\$3,200,000	-\$2,000,000
Northcliff Resources Ltd.	Convertible Notes	Todd Minerals Limited	3	2023-10-24	36	0.019	\$1,000,000	-\$2,200,000
Northcore Resources Inc. (formerly Big Red Diamond Corporation)	Common Shares	Cuesta, Eugenio Noel	5	2023-10-25	00			
Northcore Resources Inc. (formerly Big Red Diamond Corporation)	Options	Cuesta, Eugenio Noel	5	2023-10-25	00			
Northern Superior Resources Inc.	Common Shares	Guillemette, Frank	4	2022-11-04	00		8,924,372	
Northern Superior Resources Inc.	Common Shares	Guillemette, Frank	4	2022-11-22	10	0.4361	11,161,722	2,237,350
Northern Superior Resources Inc.	Common Shares	Guillemette, Frank	4	2023-05-03	11	0.4	6,661,722	-4,500,000
Northern Superior Resources Inc.	Common Shares	Guillemette, Frank	4	2023-05-20	10	0.4718	8,729,777	2,068,055
Northern Superior Resources Inc.	Common Shares	Guillemette, Frank	4	2023-10-27	97		11,704,568	2,974,791
Northern Superior Resources Inc.	Options	Guillemette, Frank	4	2022-11-04	00		60,000	
Northern Superior Resources Inc.	Options	Guillemette, Frank	4	2023-03-07	50	0.5	160,000	100,000
NorthStar Gaming Holdings Inc.	Common Shares	Barber, Jennifer	5	2023-10-31	16	0.175	248,550	14,286

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
NorthStar Gaming Holdings Inc.	Convertible Debentures 3 Year, 8% convertible at \$0.20	Barber, Jennifer	5	2023-03-03	00			
NorthStar Gaming Holdings Inc.	Convertible Debentures 3 Year, 8% convertible at \$0.20	Barber, Jennifer	5	2023-10-31	16		\$2,500	\$2,500
NorthStar Gaming Holdings Inc.	Warrants	Barber, Jennifer	5	2023-03-03	00			
NorthStar Gaming Holdings Inc.	Warrants	Barber, Jennifer	5	2023-10-31	16	0.36	7,143	7,143
NorthStar Gaming Holdings Inc.	Warrants	Barber, Jennifer	5	2023-10-31	16	0.4	14,286	7,143
NorthStar Gaming Holdings Inc.	Common Shares	Goodman, Corey	5	2023-11-01	16	0.175	2,362,468	71,428
NorthStar Gaming Holdings Inc.	Convertible Debentures 3 Year, 8% convertible at \$0.20	Goodman, Corey	5	2023-03-03	00			
NorthStar Gaming Holdings Inc.	Convertible Debentures 3 Year, 8% convertible at \$0.20	Goodman, Corey	5	2023-10-31	16		\$12,500	\$12,500
NorthStar Gaming Holdings Inc.	Warrants	Goodman, Corey	5	2023-03-03	00			
NorthStar Gaming Holdings Inc.	Warrants	Goodman, Corey	5	2023-11-01	16	0.36	35,714	35,714
NorthStar Gaming Holdings Inc.	Warrants	Goodman, Corey	5	2023-11-01	16	0.4	71,428	35,714
NorthStar Gaming Holdings Inc.	Common Shares	MacDonald, Dean	4	2023-10-31	16	0.175	208,858	142,858
NorthStar Gaming Holdings Inc.	Convertible Debentures 3 Year, 8% convertible at \$0.20	MacDonald, Dean	4	2023-03-07	00			
NorthStar Gaming Holdings Inc.	Convertible Debentures 3 Year, 8% convertible at \$0.20	MacDonald, Dean	4	2023-10-31	16		\$25,000	\$25,000
NorthStar Gaming Holdings Inc.	Warrants	MacDonald, Dean	4	2023-03-07	00			
NorthStar Gaming Holdings Inc.	Warrants	MacDonald, Dean	4	2023-10-31	16	0.36	71,429	71,429
NorthStar Gaming Holdings Inc.	Warrants	MacDonald, Dean	4	2023-10-31	16	0.4	142,858	71,429
NorthStar Gaming Holdings Inc.	Common Shares	Moskowitz, Michael David	4, 5	2023-11-03	16	0.175	4,931,160	142,858
NorthStar Gaming Holdings Inc.	Convertible Debentures 3 Year, 8% convertible at \$0.20	Moskowitz, Michael David	4, 5	2023-03-03	00			
NorthStar Gaming Holdings Inc.	Convertible Debentures 3 Year, 8% convertible at \$0.20	Moskowitz, Michael David	4, 5	2023-10-31	16		\$25,000	\$25,000
NorthStar Gaming Holdings Inc.	Warrants	Moskowitz, Michael David	4, 5	2023-03-03	00			
NorthStar Gaming Holdings Inc.	Warrants	Moskowitz, Michael David	4, 5	2023-10-31	16	0.36	71,429	71,429
NorthStar Gaming Holdings Inc.	Warrants	Moskowitz, Michael David	4, 5	2023-10-31	16	0.4	142,858	71,429
NorthStar Gaming Holdings Inc.	Common Shares	Playtech plc	3	2023-10-31	11	0.175	53,071,428	28,571,428
NorthStar Gaming Holdings Inc.	Convertible Debentures 3 Year, 8% convertible at \$0.20	Playtech plc	3	2023-03-03	00			
NorthStar Gaming Holdings Inc.	Convertible Debentures 3 Year, 8% convertible at \$0.20	Playtech plc	3	2023-10-31	11	1	\$5,000,000	\$5,000,000
NorthStar Gaming Holdings Inc.	Warrants	Playtech plc	3	2023-10-31	11		38,785,714	14,285,714

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
NorthStar Gaming Holdings Inc.	Warrants	Playtech plc	3	2023-10-31	11		53,071,428	14,285,714
Nuclear Fuels Inc. (formerly, UraVan Minerals Inc.)	Common Shares	Sheriff, William Morris	4, 5	2023-10-30	10	0.44	665,000	15,000
NuLegacy Gold Corporation	Common Shares	Budreski, John Philip Adrian	4	2023-11-03	16	0.025	3,139,000	1,000,000
NuLegacy Gold Corporation	Warrants	Budreski, John Philip Adrian	4	2023-11-03	16		1,200,000	1,000,000
NuLegacy Gold Corporation	Common Shares	Davidson, Alexander John	4, 5	2023-11-03	16	0.025	4,544,500	4,500,000
NuLegacy Gold Corporation	Warrants	Davidson, Alexander John	4, 5	2023-11-03	16		7,000,000	4,500,000
NuLegacy Gold Corporation	Common Shares	Matter, Albert	4, 5	2023-11-03	16	0.025	10,000,000	10,000,000
NuLegacy Gold Corporation	Warrants	Matter, Albert	4, 5	2023-11-03	16		26,864,000	10,000,000
NurExone Biologic Inc. (formerly, EnerSpar Corp.)	Options	Riesenfeld, Gadi	4	2023-07-06	00			
NurExone Biologic Inc. (formerly, EnerSpar Corp.)	Options	Riesenfeld, Gadi	4	2023-10-30	50		129,200	129,200
NUVISTA ENERGY LTD.	Common Shares	NuVista Energy Ltd	1	2023-10-31	38	13.02	1,917,300	1,917,300
NUVISTA ENERGY LTD.	Common Shares	NuVista Energy Ltd	1	2023-10-31	38		0	-1,917,300
NXT Energy Solutions Inc.	Common Shares	Woychyshyn, Eugene Darryl	5	2023-11-02	30	0.203	704,871	14,778
Oceanic Iron Ore Corp.	Common Shares	Keep, Gordon	4, 5	2023-10-25	10	0.072	43,000	-72,013
OCUMETICS TECHNOLOGY CORP.	Common Shares	Jewett, Roger	4, 5	2023-10-26	10	0.345	25,000	15,000
OCUMETICS TECHNOLOGY CORP.	Common Shares	Jewett, Roger	4, 5	2023-10-30	10	0.33	28,000	3,000
OCUMETICS TECHNOLOGY CORP.	Common Shares	Jewett, Roger	4, 5	2023-10-30	10	0.32	58,000	30,000
Olympia Financial Group Inc.	Common Shares	McKenna, John Ryan	5	2023-10-31	30	86.89	1,650	9
Omni-Lite Industries Canada Inc.	Common Shares	Omni-Lite Industries Canada Inc, Omni-Lite Industries Canada Inc	3	2023-08-23	00		6,604,970	
Omni-Lite Industries Canada Inc.	Common Shares	Omni-Lite Industries Canada Inc, Omni-Lite Industries Canada Inc	3	2023-10-30	36	0.16	7,804,970	1,200,000
Optimi Health Corp.	Common Shares	Stevens, Dane Nicholas	4, 5	2023-10-27	10	0.17	8,268,900	10,000
Optimi Health Corp.	Common Shares	Stevens, Dane Nicholas	4, 5	2023-10-27	10	0.16	8,278,900	10,000
Organto Foods Inc.	Common Shares	Bromley, Steve	4	2023-10-27	10	0.3	1,113,533	48,500
Organto Foods Inc.	Common Shares	Bromley, Steve	4	2023-10-30	10	0.3	1,123,033	9,500
Organto Foods Inc.	Common Shares	Bromley, Steve	4	2023-10-31	10	0.3	1,124,033	1,000
Organto Foods Inc.	Common Shares	Bromley, Steve	4	2023-10-31	10	0.3	1,155,033	31,000
Organto Foods Inc.	Common Shares	Gianulis, Peter Lawrence	6	2023-11-01	10	0.24	2,399,293	25,000
Osisko Mining Inc.	Common Shares	Osisko Mining Inc.	1	2023-10-30	38	2.7683	126,900	126,900
Osisko Mining Inc.	Common Shares	Osisko Mining Inc.	1	2023-10-30	38		0	-126,900
Osisko Mining Inc.	Common Shares	Osisko Mining Inc.	1	2023-10-31	38	2.7404	126,900	126,900
Osisko Mining Inc.	Common Shares	Osisko Mining Inc.	1	2023-10-31	38		0	-126,900
Osisko Mining Inc.	Common Shares	Osisko Mining Inc.	1	2023-11-01	38	2.6746	126,900	126,900
Osisko Mining Inc.	Common Shares	Osisko Mining Inc.	1	2023-11-01	38		0	-126,900
Osisko Mining Inc.	Common Shares	Osisko Mining Inc.	1	2023-11-02	38	2.6606	126,900	126,900
Osisko Mining Inc.	Common Shares	Osisko Mining Inc.	1	2023-11-02	38		0	-126,900
Osisko Mining Inc.	Common Shares	Osisko Mining Inc.	1	2023-11-03	38	2.84	80,000	80,000
Osisko Mining Inc.	Common Shares	Osisko Mining Inc.	1	2023-11-03	38		0	-80,000
Ostrom Climate Solutions Inc.	Common Shares	O'Loughnane, Guy	4	2023-11-02	16	0.069	12,590,111	3,623,188

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Ovation Science Inc.	Options	Howard, Ian	4	2023-10-30	52		0	-50,000
Ovation Science Inc.	Options	Howard, Ian	4	2023-10-30	50	0.05	200,000	200,000
Ovation Science Inc.	Options	Howard, Ian	4	2023-11-03	52			-50,000
Ovation Science Inc.	Options	Howlett, Terry	4	2023-10-30	52		0	-150,000
Ovation Science Inc.	Options	Howlett, Terry	4	2023-10-30	50	0.05	200,000	200,000
Ovation Science Inc.	Options	McMorran, Doreen	4	2023-10-30	52		0	-150,000
Ovation Science Inc.	Options	McMorran, Doreen	4	2023-10-30	50	0.05	200,000	200,000
Ovation Science Inc.	Common Shares	Stern, Ronald	4	2023-10-30	00		725,000	
Ovation Science Inc.	Options	Stern, Ronald	4	2023-10-30	00		700,000	
Ovation Science Inc.	Warrants	Stern, Ronald	4	2023-10-30	00		400,000	
Pacific Arc Resources Ltd.	Common Shares	MacPhail, John Dugald	4	2023-10-30	10	0.15	740,350	-50,000
Pacific Arc Resources Ltd.	Common Shares	MacPhail, John Dugald	4	2023-11-01	10	0.12	742,350	2,000
Pacific Booker Minerals Inc.	Options	PLOURDE, JEAN JOSEPH	4	2023-11-02	52	3	385,000	-700,000
Palisades Goldcorp Ltd.	Common Shares	Parker, Michael A	3	2023-10-30	10	2.4	1,311,559	6,450
Palisades Goldcorp Ltd.	Common Shares	Parker, Michael A	3	2023-10-31	10	2.382	1,332,659	21,100
Palisades Goldcorp Ltd.	Common Shares	Parker, Michael A	3	2023-10-30	10	2.4	2,034,923	6,450
Palisades Goldcorp Ltd.	Common Shares	Parker, Michael A	3	2023-10-31	10	2.382	2,056,023	21,100
Pan Global Resources Inc.	Common Shares	Kerzner, brian	4	2023-10-31	15	0.2	6,034,120	500,000
Pan Global Resources Inc.	Warrants	Kerzner, brian	4	2014-02-22	55		0	-85,000
Pan Global Resources Inc.	Warrants	Kerzner, brian	4	2023-10-31	53	0.3	500,000	500,000
Pan Global Resources Inc.	Common Shares	Marshall, Andrew Colin	5	2023-10-31	16	0.2	228,000	100,000
Pan Global Resources Inc.	Warrants	Marshall, Andrew Colin	5	2022-08-16	00			
Pan Global Resources Inc.	Warrants	Marshall, Andrew Colin	5	2023-10-31	53	0.3	100,000	100,000
Pan Global Resources Inc.	Common Shares	Mercier, Jason Alexander James	5	2023-10-31	16	0.2	221,280	176,280
Pan Global Resources Inc.	Warrants	Mercier, Jason Alexander James	5	2022-11-07	00			
Pan Global Resources Inc.	Warrants	Mercier, Jason Alexander James	5	2023-10-31	16	0.2	176,280	176,280
Panorama Capital Corp.	Common Shares	Bailey, Blaine	5	2023-11-02	10	0.05	550,000	50,000
Paramount Resources Ltd.	Common Shares Class A	Lynch Proctor, Kimberley Elizabeth	4	2023-11-03	10	31.604	27,350	2,000
Paramount Resources Ltd.	Common Shares Class A	Reid, David Blake	5	2023-11-03	51	7.28	26,491	13,000
Paramount Resources Ltd.	Common Shares Class A	Reid, David Blake	5	2023-11-03	10	32.022	13,491	-13,000
Paramount Resources Ltd.	Options	Reid, David Blake	5	2023-11-03	51	7.28	291,000	-13,000
Patagonia Gold Corp.	Common Shares	Patagonia Gold Corp.	1	2023-10-27	38	0.01	1,350,000	1,350,000
Patagonia Gold Corp.	Common Shares	van Tienhoven, Christopher	5	2022-12-12	10	0.02	435,000	5,000
Patagonia Gold Corp.	Common Shares	van Tienhoven, Christopher	5	2023-11-03	10	0.02	695,000	20,000
Patagonia Gold Corp.	Common Shares	van Tienhoven, Christopher	5	2023-11-03	10	0.015	700,000	5,000
Payfare Inc.	Common Shares	Deslippe, Ryan Charles	5	2023-11-02	30	5	1,771,155	-10,000
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2023-10-06	38	7.0436	8,400	8,400
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2023-10-06	38		0	-8,400
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2023-10-13	38	7.04	15,600	15,600
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2023-10-13	38		0	-15,600
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2023-10-20	38	7.04	5,800	5,800

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2023-10-20	38		0	-5,800
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2023-10-27	38	6.7563	8,100	8,100
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2023-10-27	38		0	-8,100
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2023-10-31	38	6.75	1,900	1,900
Pender Growth Fund Inc.	Common Shares Class C	Pender Growth Fund Inc.	1	2023-10-31	38		0	-1,900
Permex Petroleum Corporation	Common Shares	Whelan, Barry Leslie Francis	4, 5	2023-10-20	37		2,650	-7,950
Permex Petroleum Corporation	Common Shares	Whelan, Barry Leslie Francis	4, 5	2023-10-20	37		104	-313
Permex Petroleum Corporation	Options	Whelan, Barry Leslie Francis	4, 5	2023-10-20	37		333	-13,000
Perpetual Energy Inc.	Options	Dietsche, Linda	4	2023-10-27	50	0.55	105,000	50,000
Perpetual Energy Inc.	Options	Goosen, Ryan	5	2023-10-27	50	0.55	460,000	100,000
Perpetual Energy Inc.	Options	Green, Jeff	5	2023-10-27	50	0.55	460,000	100,000
Perpetual Energy Inc.	Common Shares	Merritt, Geoffrey Craig	4	2023-10-27	51	0.25	120,673	19,000
Perpetual Energy Inc.	Options	Merritt, Geoffrey Craig	4	2023-10-27	50	0.55	140,000	50,000
Perpetual Energy Inc.	Options	Merritt, Geoffrey Craig	4	2023-10-27	51	0.25	121,000	-19,000
Perpetual Energy Inc.	Options	Rapini, Marcello	5	2023-10-27	50	0.55	280,000	140,000
Perpetual Energy Inc.	Options	Riddell Rose, Susan	3, 4, 5	2023-10-27	50	0.55	1,168,750	275,000
Perpetual Energy Inc.	Options	Rumpf, Karlton Howard	5	2023-10-27	50	0.55	415,000	140,000
Perpetual Energy Inc.	Options	Shay, Ryan	4, 5	2023-10-27	50	0.55	924,000	175,000
Perpetual Energy Inc.	Options	Spence, Steven	4	2023-10-27	50	0.55	85,000	50,000
Peruvian Metals Corp.	Common Shares Unlimited, No Par Value	Brown, William Richard	3	2023-11-02	10	0.06	10,502,071	-3,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-03	38	0.46	369,111	42,243
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-03	38	0.074	411,354	42,243
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-04	38	0.4535	456,022	44,668
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-04	38	0.7177	459,690	3,668
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-04	38	0.7324	460,190	500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-04	38	0.71	461,690	1,500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-04	38	0.7225	500,690	39,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-05	38	0.4255	547,627	46,937
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-05	38	0.72	548,127	500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-05	38	0.72	594,127	46,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-05	38	0.72	594,564	437
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-06	38	0.415	641,947	47,383
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-06	38	0.73	663,947	22,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-06	38	0.73	667,947	4,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-06	38	0.73	688,947	21,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-06	38	0.73	689,330	383
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-09	38	0.4343	717,689	28,359
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-10	38	0.447	727,689	10,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-10	38	0.7551	751,189	23,500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-10	38	0.76	751,689	500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-10	38	0.7551	776,189	24,500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-10	38	0.7433	776,414	225
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-11	38	0.445	786,414	10,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-11	38	0.74	793,914	7,500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-11	38	0.7493	833,914	40,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-11	38	0.755	834,300	386

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-12	38	0.7461	880,343	46,043
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-12	38	0.74	880,843	500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-12	38	0.74	881,343	500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-12	38	0.743	883,843	2,500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-13	38	0.45	893,843	10,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-16	38	0.4393	947,516	53,673
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-16	38	0.74	960,516	13,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-16	38	0.7425	964,516	4,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-16	38	0.7488	1,001,016	36,500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-16	38	0.75	1,001,189	173
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-17	38	0.441	1,057,592	56,403
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-17	38	0.74	1,070,592	13,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-17	38	0.75	1,113,592	43,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-17	38	0.75	1,113,995	403
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-18	38	0.4556	892,489	56,336
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-18	38	0.7789	901,489	9,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-18	38	0.7758	948,489	47,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-18	38	0.76	948,825	336
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-19	38	0.4579	963,825	15,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-19	38	0.78	982,825	19,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-19	38	0.78	985,325	2,500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-19	38	0.78	1,018,325	33,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-19	38	0.78	1,018,634	309
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-20	38	0.4658	1,028,634	10,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-20	38	0.78	1,063,134	34,500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-20	38	0.78	1,067,134	4,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-20	38	0.78	1,069,634	2,500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-20	38	0.78	1,070,134	500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-20	38	0.78	1,070,634	500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-20	38	0.786	1,085,634	15,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-20	38	0.78	1,085,753	119
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-23	38	0.455	1,145,585	59,832
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-23	38	0.77	1,155,085	9,500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-23	38	0.77	1,157,085	2,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-23	38	0.77	1,205,085	48,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-23	38	0.77	1,205,417	332
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-24	38	0.45	1,266,302	60,885
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-24	38	0.75	1,272,302	6,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-24	38	0.75	1,277,802	5,500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-24	38	0.75	1,326,802	49,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-24	38	0.75	1,327,187	385
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-25	38	0.4414	1,388,367	61,180
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-25	38	0.7511	1,436,367	48,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-25	38	0.75	1,445,867	9,500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-25	38	0.75	1,446,867	1,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-25	38	0.755	1,449,367	2,500
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-25	38	0.75	1,449,547	180
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-26	38	0.7448	1,523,547	74,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-26	38	0.7402	1,574,547	51,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-26	38	0.74	1,575,514	967
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-27	38	0.4397	1,649,190	73,676

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-27	38	0.76	1,722,866	73,676
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-30	38	0.4439	1,764,667	41,801
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-30	38	0.75	1,805,468	40,801
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-30	38	0.745	1,806,468	1,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-10-31	38	0.441	1,833,468	27,000
PetroTal Corp.	Common Shares	PetroTal Corp.	1	2023-11-01	38		801,527	-1,031,941
Petrus Resources Ltd.	Options New Options	Arnell, Patrick	4	2023-10-31	52	1.78	11,667	-5,833
Petrus Resources Ltd.	Options New Options	CORMACK, Donald Gordon	4	2023-10-31	52	1.78	11,667	-5,833
Petrus Resources Ltd.	Options New Options	Gray, Don	4	2023-10-31	52	1.78	30,000	-7,500
Petrus Resources Ltd.	Options New Options	Gray, Kenneth Graham	4, 5	2023-10-31	52	1.78	1,480,002	-41,666
Petrus Resources Ltd.	Options Predecessor Entity Options	Skanderup, Matthew Alexander	5	2023-10-31	52	1.78	940,495	-33,333
Petrus Resources Ltd.	Options New Options	Verburg, Peter Julius	4	2023-10-31	52	1.78	57,501	-5,833
Petrus Resources Ltd.	Options New Options	Wong, Mathew	5	2023-10-31	52	1.78	874,018	-33,333
Pine Cliff Energy Ltd.	Common Shares	Rice, William Sanford	4	2023-11-02	51	1.6	1,185,970	78,650
Pine Cliff Energy Ltd.	Options	Rice, William Sanford	4	2023-11-02	51	1.6	643,200	-78,650
Platinex Inc.	Common Shares	Ferron, Greg	4	2023-10-25	10	0.035	6,530,556	50,000
Platinex Inc.	Common Shares	Ferron, Greg	4	2023-10-26	10	0.0325	6,580,556	50,000
Playgon Games Inc.	Common Shares	Baker, Steve	5	2023-11-03	10	0.05	1,656,965	64,000
Pollard Banknote Limited	Common Shares	Westbury, Jennifer Doris	5	2023-08-14	10	10		6,250
Pollard Banknote Limited	Common Shares	Westbury, Jennifer Doris	5	2023-08-14	10	10		-6,250
Pollard Banknote Limited	Common Shares	Westbury, Jennifer Doris	5	2023-08-14	10	10		6,250
Pollard Banknote Limited	Common Shares	Westbury, Jennifer Doris	5	2023-08-14	51	10	6,250	6,250
Portofino Resources Inc.	Common Shares	Wright, Jeremy Christopher	4	2016-10-04	00			
Portofino Resources Inc.	Common Shares	Wright, Jeremy Christopher	4	2023-11-01	00			
Portofino Resources Inc.	Common Shares	Wright, Jeremy Christopher	4	2016-10-04	00			
Portofino Resources Inc.	Common Shares	Wright, Jeremy Christopher	4	2023-11-01	00			
Portofino Resources Inc.	Options	Wright, Jeremy Christopher	4	2016-10-04	00			
Portofino Resources Inc.	Options	Wright, Jeremy Christopher	4	2023-11-01	00			
Portofino Resources Inc.	Options	Wright, Jeremy Christopher	4	2016-10-04	00			
Portofino Resources Inc.	Options	Wright, Jeremy Christopher	4	2023-11-01	00			
Portofino Resources Inc.	Rights	Wright, Jeremy Christopher	4	2016-10-04	00			
Portofino Resources Inc.	Rights	Wright, Jeremy Christopher	4	2023-11-01	00			
Portofino Resources Inc.	Warrants	Wright, Jeremy Christopher	4	2016-10-04	00			
Portofino Resources Inc.	Warrants	Wright, Jeremy Christopher	4	2023-11-01	00			
POSaBIT Systems Corporation	Common Shares	Apker, Michael Glen	4	2023-10-30	36	0.12	3,765,676	2,309,500
POSaBIT Systems Corporation	Convertible Notes	Apker, Michael Glen	4	2023-10-30	36	0.12	\$0	-\$200,000
POSaBIT Systems Corporation	Common Shares	Jaffe, Bruce Alan	4	2023-10-30	36	0.12	718,687	288,687
POSaBIT Systems Corporation	Convertible Notes	Jaffe, Bruce Alan	4	2023-10-30	36	0.12	\$0	-\$25,000
Power Corporation of Canada	Equity Forward Contract	Power Corporation of Canada	1	2023-11-01	70	32.868	84	1

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Power Corporation of Canada	Equity Swap - Long Position PCC 13 (2018-03)	Power Corporation of Canada	1	2023-11-01	70	33.41	14	1
Power Corporation of Canada	Equity Swap - Long Position PCC 14	Power Corporation of Canada	1	2023-11-01	70	33.41	13	1
Power Corporation of Canada	Equity Swap - Long Position PCC 16	Power Corporation of Canada	1	2023-11-01	70	33.41	10	1
Power Corporation of Canada	Equity Swap - Long Position PCC 17	Power Corporation of Canada	1	2023-11-01	70	33.41	10	1
Power Corporation of Canada	Equity Swap - Long Position PCC 19	Power Corporation of Canada	1	2023-11-01	70	33.41	10	1
Power Corporation of Canada	Equity Swap - Long Position PCC 20	Power Corporation of Canada	1	2023-11-01	70	33.41	8	1
Power Corporation of Canada	Equity Swap - Long Position PCC 21	Power Corporation of Canada	1	2023-11-01	70	33.8	3	1
Power Corporation of Canada	Equity Swap - Long Position PFC 14 (2018-03)	Power Corporation of Canada	1	2023-11-01	70	33.41	15	1
Power Corporation of Canada	Equity Swap - Long Position PFC 15 (2019-04)	Power Corporation of Canada	1	2023-11-01	70	33.41	15	1
Power Corporation of Canada	Equity Swap - Long Position PFC 16	Power Corporation of Canada	1	2023-11-01	70	33.41	10	1
Power Corporation of Canada	Equity Swap - Long Position PFC 2018-03	Power Corporation of Canada	1	2023-11-01	70	33.41	21	1
Power Corporation of Canada	PFC Equity Forward Contract	Power Corporation of Canada	1	2023-11-01	70	32.868	87	1
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-02	38	32.241	116,800	116,800
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-02	38	34.241	0	-116,800
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-03	38	33.745	118,500	118,500
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-03	38	33.745	0	-118,500
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-04	38	33.826	118,200	118,200
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-04	38	33.826	0	-118,200
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-05	38	34.249	116,700	116,700
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-05	38	34.249	0	-116,700
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-06	38	34.573	115,600	115,600
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-06	38	34.573	0	-115,600
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-10	38	34.834	114,200	114,200
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-10	38	34.834	0	-114,200
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-11	38	35.081	91,800	91,800
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-11	38	35.081	0	-91,800
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-12	38	34.904	114,600	114,600
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-12	38	34.904	0	-114,600
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-13	38	34.851	114,700	114,700
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-13	38	34.851	0	-114,700

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-16	38	34.952	114,400	114,400
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-16	38	34.952	0	-114,400
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-17	38	35.031	114,100	114,100
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-17	38	35.031	0	-114,100
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-18	38	34.484	115,900	115,900
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-18	38	34.484	0	-115,900
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-19	38	34.191	116,900	116,900
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-19	38	34.191	0	-116,900
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-20	38	33.257	120,200	120,200
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-20	38	33.257	0	-120,200
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-23	38	32.978	121,200	121,200
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-23	38	32.978	0	-121,200
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-24	38	32.833	121,800	121,800
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-24	38	32.833	0	-121,800
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-25	38	32.805	121,900	121,900
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-25	38	32.805	0	-121,900
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-26	38	32.693	122,300	122,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-26	38	32.693	0	-122,300
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-27	38	32.547	122,900	122,900
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-27	38	32.547	0	-122,900
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-30	38	33.133	120,700	120,700
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-30	38	33.133	0	-120,700
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-31	38	33.422	119,600	119,600
Power Corporation of Canada	Subordinate Voting Shares	Power Corporation of Canada	1	2023-10-31	38	33.422	0	-119,600
Power Financial Corporation	Equity Forward Contrat	POWER FINANCIAL CORPORATION	1	2023-11-01	70	32.868	87	1
Power Financial Corporation	Equity Swap - Long Position PFC 14 (2018-03)	POWER FINANCIAL CORPORATION	1	2023-11-01	70	33.41	15	1
Power Financial Corporation	Equity Swap - Long Position PFC 15 (2019-04)	POWER FINANCIAL CORPORATION	1	2023-11-01	70	33.41	15	1
Power Financial Corporation	Equity Swap - Long Position PFC 16	POWER FINANCIAL CORPORATION	1	2023-11-01	70	33.41	10	1
Power Financial Corporation	Equity Swap - Long Position PFC 2018-03	POWER FINANCIAL CORPORATION	1	2023-11-01	70	33.41	21	1
PrairieSky Royalty Ltd.	Common Shares	Phillips, Andrew	5	2023-11-03	10	24.63	780,622	5,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-03	38	12.998	171,302	30,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-03	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-04	38	13.14	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-04	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-05	38	13.275	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-05	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-06	38	13.148	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-06	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-10	38	13.524	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-10	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-11	38	13.581	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-11	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-12	38	13.624	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-12	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-13	38	13.548	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-13	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-16	38	13.462	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-16	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-17	38	13.447	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-17	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-18	38	13.42	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-18	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-19	38	13.345	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-19	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-20	38	13.113	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-20	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-23	38	13.113	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-23	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-24	38	13.124	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-24	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-25	38	12.854	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-25	38		141,302	-30,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/Disposed
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-26	38	12.747	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-26	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-27	38	12.492	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-27	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-30	38	12.375	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-30	38		141,302	-30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-31	38	12.471	171,302	30,000
Primaris Real Estate Investment Trust	Series A Units	Primaris Real Estate Investment Trust	1	2023-10-31	38		141,302	-30,000
ProMIS Neurosciences Inc.	Options	Altstiel, Larry	5	2023-10-26	50	1.87	45,833	12,500
ProMIS Neurosciences Inc.	Options	Cashman, Neil	5	2023-10-26	50	2.59	178,310	12,500
ProMIS Neurosciences Inc.	Options	Geffken, Daniel	5	2023-10-26	50	2.59	19,167	2,500
ProMIS Neurosciences Inc.	Options	Johanne, Kaplan	5	2023-10-26	50	2.59	104,167	12,500
ProMIS Neurosciences Inc.	Options	Malenfant, Gavin	5	2023-10-26	50	2.59	70,833	12,500
Prospera Energy Inc.	Common Shares	Lacey, Mark	4	2023-10-31	10	0.105	250,000	100,000
Psyence Group Inc. (formerly Cardinal Capital Partners Inc.)	Rights RSU	Aufrechtig, Jody	4, 5	2023-10-30	97		1,125,800	-122,100
Psyence Group Inc. (formerly Cardinal Capital Partners Inc.)	Common Shares	Corden-Lloyd, Warwick	5	2023-10-30	57		375,322	66,000
Psyence Group Inc. (formerly Cardinal Capital Partners Inc.)	Rights RSU	Corden-Lloyd, Warwick	5	2023-10-30	57		513,000	-66,000
Psyence Group Inc. (formerly Cardinal Capital Partners Inc.)	Rights RSU	Friedman, Alan Mark	4	2023-10-30	97		361,200	-59,400
Psyence Group Inc. (formerly Cardinal Capital Partners Inc.)	Common Shares	Maresky, Neil	5	2023-10-30	57		1,218,523	122,100
Psyence Group Inc. (formerly Cardinal Capital Partners Inc.)	Rights Restricted Share Units	Maresky, Neil	5	2023-10-30	57		1,449,371	-122,100
Pulse Seismic Inc.	Common Shares	Pulse Seismic Inc.	1	2023-10-30	38	1.83	27,400	4,000
Pulse Seismic Inc.	Common Shares	Pulse Seismic Inc.	1	2023-10-31	38		0	-27,400
Puma Exploration Inc.	Common Shares	Robillard, Marcel	4, 5	2023-11-03	10	0.135	1,024,247	1,000
Puranium Energy Ltd.	Common Shares	Naughty, Blair Lawrence	3	2023-11-02	10	0.11	2,413,500	5,000
Puranium Energy Ltd.	Common Shares	Naughty, Blair Lawrence	3	2023-11-02	10	0.1	2,448,500	35,000
Puranium Energy Ltd.	Common Shares	Naughty, Blair Lawrence	3	2023-11-03	10	0.1	2,507,500	59,000
Q Battery Metals Corp. (formerly "Black Tusk Resources Inc.")	Common Shares	Penn, Richard Ryan	3, 4, 5	2023-11-01	10	0.02	2,869,500	10,000
Quartz Mountain Resources Ltd.	Common Shares	Dickinson, Robert Allan	3, 5	2023-10-31	10	0.18	24,518,184	1,400,000
Quartz Mountain Resources Ltd.	Warrants	Dickinson, Robert Allan	3, 5	2023-10-31	53	0.18	1,650,000	1,400,000
Rackla Metals Inc.	Common Shares	Ridgway, Simon T.P.	4, 5	2023-10-31	10	0.15	8,782,545	200,000
Rackla Metals Inc.	Common Shares	Ridgway, Simon T.P.	4, 5	2023-10-31	10	0.13	8,832,545	50,000
Rackla Metals Inc.	Common Shares	Ridgway, Simon T.P.	4, 5	2023-11-01	10	0.15		200,000
Rackla Metals Inc.	Common Shares	Ridgway, Simon T.P.	4, 5	2023-11-01	10	0.1	8,882,545	50,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
RDARS Inc.	Options	Braverman, Jason	5	2023-10-27	38	1	0	-300,000
RDARS Inc.	Options	Kurtz, Bennett	5	2023-10-27	38	1	0	-150,000
RDARS Inc.	Options	Posen, Binyomin Yehuda	4	2023-10-27	38	1	0	-125,000
RDARS Inc.	Options	Zwebner, Charles	4, 5	2023-10-27	38	1	0	-500,000
Red Light Holland Corp. (formerly, Added Capital Inc.)	Common Shares	Red light Holland	1	2023-10-31	38	0.061		900,000
Red Light Holland Corp. (formerly, Added Capital Inc.)	Common Shares	Red light Holland	1	2023-10-31	38	0.06	1,112,000	900,000
Reflex Advanced Materials Corp.	Common Shares	Bowen, Dave	3, 8, 4, 5	2023-08-04	10	0.375	95,010	-15,000
Renegade Gold Inc.	Common Shares	Starr, James Russell	5	2023-10-30	10	0.4	6,871,500	-25,000
RESAAS Services Inc.	Common Shares	Chadi, Pierre	4	2018-09-24	11	0.2	350,000	250,000
RESAAS Services Inc.	Common Shares	Chadi, Pierre	4	2019-06-03	11	0.25	550,000	200,000
RESAAS Services Inc.	Common Shares	Chadi, Pierre	4	2019-10-07	51	0.2	900,000	350,000
RESAAS Services Inc.	Common Shares	Chadi, Pierre	4	2022-05-02	11	0.5	1,000,000	100,000
RESAAS Services Inc.	Common Shares	Chadi, Pierre	4	2023-10-17	11	0.32	1,200,000	200,000
RESAAS Services Inc.	Options	Chadi, Pierre	4	2022-05-19	52		0	-100,000
RESAAS Services Inc.	Options	Chadi, Pierre	4	2023-03-17	50		300,000	300,000
RESAAS Services Inc.	Warrants	Chadi, Pierre	4	2018-09-24	53		350,000	250,000
RESAAS Services Inc.	Warrants	Chadi, Pierre	4	2019-01-25	55		250,000	-100,000
RESAAS Services Inc.	Warrants	Chadi, Pierre	4	2019-06-03	53		350,000	100,000
RESAAS Services Inc.	Warrants	Chadi, Pierre	4	2019-10-07	54			350,000
RESAAS Services Inc.	Warrants	Chadi, Pierre	4	2019-10-07	54		0	-350,000
RESAAS Services Inc.	Warrants	Chadi, Pierre	4	2022-04-22	53		50,000	50,000
RESAAS Services Inc.	Warrants	Chadi, Pierre	4	2023-10-17	53		150,000	100,000
Revive Therapeutics Ltd.	Warrants	Scovenna, Christian	4	2023-04-14	55		0	-2,000,000
Richelieu Hardware Ltd.	Unités d'actions différées	Chabot, Lucie	4	2023-11-02	35	41.28	4,553	16
Richelieu Hardware Ltd.	Unités d'actions différées	Courteau, Robert	4, 5	2023-11-02	35	41.28	76,463	277
Richelieu Hardware Ltd.	Unités d'actions différées	Lemay, Marie	4	2023-11-02	35	41.28	4,637	17
Richelieu Hardware Ltd.	Unités d'actions différées	Lord, Richard	4, 5	2023-11-02	35	41.28	5,884	21
Richelieu Hardware Ltd.	Unités d'actions différées	Martin, Luc	4	2023-11-02	35	41.28	7,550	27
Richelieu Hardware Ltd.	Unités d'actions différées	Pomerleau, Pierre	4	2023-11-02	35	41.28	17,527	63
Richelieu Hardware Ltd.	Unités d'actions différées	Poulin, Marc	4	2023-11-02	35	41.28	28,112	102
Richelieu Hardware Ltd.	Unités d'actions différées	Vachon, Sylvie	4	2023-11-02	35	41.28	24,618	89
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-10-24	10	13.424	13,256,681	27,300
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-10-24	10	13.43	13,231,681	-25,000
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-10-25	10	13.284	13,237,681	6,000
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-10-26	10	13.381	13,279,203	41,522
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-10-26	10	13.6	13,244,281	-34,922

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-10-26	10	13.5	13,244,081	-200
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-10-27	10	13.495	13,247,406	3,325
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-10-27	10	13.49	13,246,781	-625
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-10-30	10	13.5	13,249,281	2,500
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-10-30	10	13.5	13,247,081	-2,200
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-10-31	10	13.321	13,262,581	15,500
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-10-31	10	13.3	13,250,081	-12,500
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-11-01	10	13.45	13,250,781	700
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-11-02	10	13.237	13,256,981	6,200
Ridgewood Canadian Investment Grade Bond Fund	Units	Ridgewood Capital Asset Management	3	2023-11-02	10	13.22	13,251,281	-5,700
Rise Gold Corp.	Common Shares	Lepard, Lawrence	4	2023-06-30	97		0	-187,500
Rise Gold Corp.	Warrants	Lepard, Lawrence	4	2023-06-30	97		0	-93,750
RIWI Corp.	Common Shares	Wong, Greg	5	2023-10-31	10	0.4	70,000	8,500
Rockland Resources Ltd.	Common Shares	England, Michael Bruno John Franz	5	2023-11-02	10	0.045	2,069,000	35,000
Rockland Resources Ltd.	Common Shares	England, Michael Bruno John Franz	5	2023-11-02	10	0.05	2,094,000	25,000
Rockridge Resources Ltd.	Common Shares	Trimble, Jordan	4, 5	2023-10-31	10	0.015	1,738,000	60,000
Rome Resources Ltd.	Common Shares	Eckhof, Klaus Peter	3	2023-11-01	47		12,925,000	-1,000,000
Rome Resources Ltd.	Common Shares	Gasson, Mark Richard	3, 4, 5	2023-11-01	47		10,040,000	1,000,000
Romios Gold Resources Inc.	Common Shares	Burega, Stephen	5	2023-10-30	30	0.03	1,425,000	500,000
Romios Gold Resources Inc.	Warrants	Burega, Stephen	5	2023-10-30	53	0.05	1,000,000	500,000
Romios Gold Resources Inc.	Common Shares	Drivas, Anastasios (Tom)	3, 4	2023-10-27	16	0.03	10,307,152	1,666,666
Romios Gold Resources Inc.	Warrants	Drivas, Anastasios (Tom)	3, 4	2023-10-27	53	0.05	1,958,333	833,333
Romios Gold Resources Inc.	Common Shares	Skimming, Thomas	4, 5	2023-10-27	16	0.03	2,836,999	333,333
Romios Gold Resources Inc.	Warrants	Skimming, Thomas	4, 5	2023-10-27	53	0.05	391,666	166,666
Romios Gold Resources Inc.	Common Shares	van de Water, Frank	4	2023-10-27	16	0.03	350,000	200,000
Romios Gold Resources Inc.	Common Shares	van de Water, Frank	4	2023-10-27	16	0.03	550,000	200,000
Romios Gold Resources Inc.	Warrants	van de Water, Frank	4	2023-10-27	16	0.05		200,000
Romios Gold Resources Inc.	Warrants	van de Water, Frank	4	2023-10-27	53	0.05	350,000	200,000
Romios Gold Resources Inc.	Warrants	van de Water, Frank	4	2023-10-27	53	0.05	450,000	100,000
Rover Metals Corp.	Common Shares	Culter, Richard Judson	3, 4, 5	2023-11-02	10	0.035	1,732,136	50,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Rover Metals Corp.	Common Shares	Culter, Richard Judson	3, 4, 5	2023-11-03	10	0.03	1,762,136	30,000
RT Minerals Corp.	Options	Elston, William	4	2023-07-12	50	0.15		350,000
RT Minerals Corp.	Options	Elston, William	4	2023-07-12	50	0.15		350,000
Rusoro Mining Ltd.	Options	Lim, Szascha	5	2023-01-31	52		0	-475,000
Sabre Gold Mines Corp. (formerly Arizona Gold Corp.)	Common Shares	Spears, Stephan Michael Seydegart	4	2023-11-02	10	0.115	100,000	50,000
Sailfish Royalty Corp.	Common Shares	Leisman, Akiba Jacob Issachar	5	2023-09-28	10	1.05	1,753,920	7,500
Sailfish Royalty Corp.	Common Shares	Leisman, Akiba Jacob Issachar	5	2023-09-28	10	1.1	1,746,420	-7,500
Sailfish Royalty Corp.	Common Shares	Sailfish Royalty Corp.	1	2023-10-27	38	1.1657	141,000	78,400
Sailfish Royalty Corp.	Common Shares	Sailfish Royalty Corp.	1	2023-10-31	38	1.294	146,000	5,000
Salona Global Medical Device Corporation	Exchangeable Shares Brattle Acquisition I Corp.	Cross, Leslie	4	2023-10-31	36		0	-84,200
Salona Global Medical Device Corporation	Non-Voting Shares Class "A" Non-Voting Shares	Cross, Leslie	4	2020-09-16	00			
Salona Global Medical Device Corporation	Non-Voting Shares Class "A" Non-Voting Shares	Cross, Leslie	4	2023-10-31	36		842,000	842,000
Sanatana Resources Inc.	Common Shares	Miles, Peter Leighton	4, 5	2023-10-30	10	0.05	1,965,721	150,000
Sanatana Resources Inc.	Common Shares	Miles, Peter Leighton	4, 5	2023-10-31	10	0.045	1,999,721	34,000
Sanatana Resources Inc.	Common Shares	Miles, Peter Leighton	4, 5	2023-11-02	10	0.06	2,009,721	10,000
Sanatana Resources Inc.	Common Shares	Miles, Peter Leighton	4, 5	2023-11-03	10	0.05	2,069,721	60,000
Sanu Gold Corp.	Common Shares	Gueye, Fatou Sylla	4	2023-10-24	15	0.05		200,000
Sanu Gold Corp.	Common Shares	Gueye, Fatou Sylla	4	2023-10-24	16	0.05	5,300,000	200,000
Sasquatch Resources Corp.	Common Shares	Deveault, Justin	4	2023-11-03	45	0.06	600,000	150,000
Savaria Corporation	Common Shares	Bourassa, Marcel	4, 6, 5	2023-11-03	10	13.91	212,931	75,000
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-10-26	10	0.13	35,315,556	2,500
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-10-27	10	0.125	35,319,056	3,500
Sekur Private Data Ltd.	Common Shares	Ghiai Chamlou, Alain Mehdi	4, 5	2023-10-30	10	0.115	35,324,056	5,000
Senvest Capital Inc.	Common Shares	Senvest Capital Inc.	1	2023-10-06	38	310	700	700
Senvest Capital Inc.	Common Shares	Senvest Capital Inc.	1	2023-10-23	38	299.69	1,000	300
Senvest Capital Inc.	Common Shares	Senvest Capital Inc.	1	2023-10-26	38	299	1,500	500
Senvest Capital Inc.	Common Shares	Senvest Capital Inc.	1	2023-10-31	38		0	-1,500
Shopify Inc.	RSU	Finkelstein, Harley Michael	5	2023-10-31	57		135,895	-47
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Finkelstein, Harley Michael	5	2023-10-31	57		110,127	47
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Finkelstein, Harley Michael	5	2023-10-31	10	46.878	110,101	-26
Shopify Inc.	RSU	Nejatian, Kasra	5	2023-10-31	57		143,489	-253
Shopify Inc.	Subordinate Voting Shares Class A Subordinate Voting Shares	Nejatian, Kasra	5	2023-10-31	57		143,787	253
Showcase Minerals Inc.	Common Shares	Reed, Kirk R	4	2023-10-12	10	0.4	423,470	-6,000
Showcase Minerals Inc.	Common Shares	Reed, Kirk R	4	2023-10-23	10	0.45	415,470	-8,000
Sierra Madre Gold and Silver Ltd.	Common Shares	Langer, Alexander	4	2023-11-01	10	0.35	130,000	55,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Sierra Madre Gold and Silver Ltd.	Common Shares	Langer, Alexander	4	2023-11-01	10	0.35	3,077,778	15,000
Sierra Madre Gold and Silver Ltd.	Common Shares	Langer, Alexander	4	2023-11-01	10	0.33	3,089,278	11,500
Sierra Madre Gold and Silver Ltd.	Common Shares	Langer, Alexander	4	2023-11-01	10	0.34	3,124,278	35,000
Sierra Metals Inc. (formerly Dia Bras Exploration Inc.)	Common Shares	Aramburu, Miguel	4	2022-06-10	00			
Silver North Resources Ltd. (formerly Alianza Minerals Ltd.)	Common Shares	Brown, Mark Thomas	4	2023-10-30	10	0.22	377,500	7,000
Silver North Resources Ltd. (formerly Alianza Minerals Ltd.)	Common Shares	Brown, Mark Thomas	4	2023-10-31	10	0.22	382,500	5,000
Silver North Resources Ltd. (formerly Alianza Minerals Ltd.)	Common Shares	Brown, Mark Thomas	4	2023-10-27	10	0.21	268,000	9,000
Silver One Resources Inc.	Common Shares	Engquist, Kenneth	4	2022-04-25	00			
Silver One Resources Inc.	Common Shares	Engquist, Kenneth	4	2023-11-02	10	0.19	60,000	60,000
Silver Wolf Exploration Ltd. (formerly Gray Rock Resources Ltd.)	Common Shares	Harte, Nathan	5	2023-11-02	10	0.0867	536,500	7,500
SILVERCORP METALS INC.	Common Shares without par value	Silvercorp Metals Inc.	1	2023-11-02	38	2.9919	58,170	58,170
Silverstock Metals Inc.	Common Shares	Fruchter, Jonathan David	4, 5	2023-10-31	10	0.12	2,038,000	5,000
Silverstock Metals Inc.	Common Shares	Fruchter, Jonathan David	4, 5	2023-11-03	10	0.13	2,043,000	5,000
Sirios Resources Inc.	Common Shares	Doucet, Dominique	4, 5	2023-11-02	16	0.08	541,500	137,500
Sirios Resources Inc.	Common Shares	Le Bel, Guy	4	2022-12-15	00			
Sirios Resources Inc.	Common Shares	Le Bel, Guy	4	2023-11-02	16	0.05	100,000	100,000
Sirios Resources Inc.	Common Shares	Ménard, Robert	4	2023-11-02	10	0.05	1,200,000	300,000
Sirios Resources Inc.	Common Shares	Ménard, Robert	4	2023-11-03	10	0.05		300,000
SITKA GOLD CORP.	Common Shares	Coe, Corwin	4, 5	2023-10-31	10	0.15	2,618,500	30,000
Solarvest BioEnergy Inc.	Common Shares	Cuesta, Eugenio Noel	4	2023-10-18	00			
Solarvest BioEnergy Inc.	Options	Cuesta, Eugenio Noel	4	2023-10-18	00			
SOLITARIO RESOURCES CORP. (FORMERLY SOLITARIO ZINC CORP.)	Common Shares	Herald, Christopher	4	2023-10-30	51	0.31	2,067,000	400,000
SOLITARIO RESOURCES CORP. (FORMERLY SOLITARIO ZINC CORP.)	Options 2013 Option Plan	Herald, Christopher	4	2023-10-30	51		645,000	-400,000
SOLITARIO RESOURCES CORP. (FORMERLY SOLITARIO ZINC CORP.)	Common Shares	Labadie, Brian	4	2023-10-31	51	0.31	332,857	140,000
SOLITARIO RESOURCES CORP. (FORMERLY SOLITARIO ZINC CORP.)	Options 2013 Option Plan	Labadie, Brian	4	2023-10-31	51		305,000	-140,000
SOLITARIO RESOURCES CORP. (FORMERLY SOLITARIO ZINC CORP.)	Common Shares	Labate, John Anthony	4	2023-10-30	51	0.31	140,000	130,000
SOLITARIO RESOURCES CORP. (FORMERLY SOLITARIO ZINC CORP.)	Options 2013 Option Plan	Labate, John Anthony	4	2023-10-30	51		295,000	-130,000
SOLITARIO RESOURCES CORP. (FORMERLY SOLITARIO ZINC CORP.)	Options 2013 Option Plan	Labate, John Anthony	4	2023-10-31	51			-140,000
SOLITARIO RESOURCES CORP. (FORMERLY SOLITARIO ZINC CORP.)	Options 2013 Option Plan	Labate, John Anthony	4	2023-10-31	51			-140,000
Soma Gold Corp.	Common Shares	Meilleur, Jean-Francois	5	2023-11-01	10	0.71	138,000	10,000
Sonoro Energy Ltd.	Common Shares	Wadsworth, Richard	4	2023-11-03	54	0.05	4,224,668	600,000
Sonoro Energy Ltd.	Warrants	Wadsworth, Richard	4	2023-11-03	54	0.05	2,536,666	-600,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Sorrento Resources Ltd.	Common Shares	Sutton, Brayden Robert	5	2023-10-30	10	0.16	2,606,666	25,000
Southern Energy Corp.	Common Shares	Atkinson, Ian Kenneth	4, 5	2023-11-02	16	0.26	2,939,979	76,923
Southern Energy Corp.	Common Shares	Beynon, Bruce	4	2023-11-02	16	0.26	897,266	120,000
Southern Energy Corp.	Common Shares	McMurren, Gary Patrick	5	2023-11-02	16	0.26	341,977	100,000
Southern Energy Corp.	Common Shares	Nally, John Joseph	4	2023-11-02	16	0.155	369,618	64,516
Southern Energy Corp.	Common Shares	Smith, Clifford Neil	4	2023-11-02	16	0.26	282,116	38,462
Southern Energy Corp.	Common Shares	Smith, Reginald Stevenson	4	2023-11-02	16	0.26	894,712	288,462
Southern Energy Corp.	Common Shares	Yau, Calvin Wai-Kit	5	2023-11-02	16	0.26	440,348	57,692
Spanish Mountain Gold Ltd.	Common Shares	Janes, Lembit	4	2023-10-27	10	0.15		1,214,500
Spanish Mountain Gold Ltd.	Common Shares	Janes, Lembit	4	2023-10-27	10	0.15	52,340,048	124,500
Spark Energy Minerals Inc. (formerly St. Anthony Gold Corp.)	Common Shares	Wilson, Peter Grant	4, 5	2023-10-27	10	0.1625	1,970,000	10,000
Spearmint Resources Inc.	Options	Aalderink, Dennis Wellington	4	2023-10-31	52	0.05	2,000,000	-500,000
Spearmint Resources Inc.	Options	Adam, Negar	4	2023-10-31	52		500,000	-250,000
Spearmint Resources Inc.	Options	Bain, George Franklin	4	2023-10-31	52	0.05	500,000	-250,000
Spearmint Resources Inc.	Common Shares	CAI, YANGPING	5	2023-10-31	57		3,731,500	330,000
Spearmint Resources Inc.	Rights Restricted share units	CAI, YANGPING	5	2023-10-31	57		0	-330,000
Spearmint Resources Inc.	Common Shares	Kay, Seth	5	2023-10-31	57		3,225,000	330,000
Spearmint Resources Inc.	Rights Restricted share units	Kay, Seth	5	2023-10-31	57		0	-330,000
Spearmint Resources Inc.	Common Shares	Nelson, James	4	2023-10-31	57		3,551,000	330,000
Spearmint Resources Inc.	Rights Restricted share units	Nelson, James	4	2023-10-31	57		0	-330,000
SPOD LITHIUM CORP.	Common Shares	Kelly, Gerald Francis	4	2021-03-17	00		240,000	
SPOD LITHIUM CORP.	Common Shares	Kelly, Gerald Francis	4	2023-10-31	16	0.07	320,000	80,000
SPOD LITHIUM CORP.	Warrants	Kelly, Gerald Francis	4	2021-03-17	00			
SPOD LITHIUM CORP.	Warrants	Kelly, Gerald Francis	4	2023-10-31	16		40,000	40,000
SQI Diagnostics Inc.	Debentures Maturity 10/06/2024	Beddoe, Clive	3, 4	2023-08-31	38		\$0	-\$1,350,000
SQI Diagnostics Inc.	Debentures Maturity 30/01/2025	Beddoe, Clive	3, 4	2023-08-31	38		\$0	-\$440,000
SQI Diagnostics Inc.	Debentures Maturity 10/06/2024	Connor, Gerald R.	3, 4	2023-08-31	38		\$0	-\$1,350,000
SQI Diagnostics Inc.	Debentures Maturity 30/01/2025	Connor, Gerald R.	3, 4	2023-08-31	38		\$0	-\$550,000
SQI Diagnostics Inc.	Debentures Maturity 10/06/2024	Matthews, Wilmot Leslie	3, 4	2023-08-31	38		\$0	-\$1,350,000
SQI Diagnostics Inc.	Debentures Maturity 30/01/2025	Matthews, Wilmot Leslie	3, 4	2023-08-31	38		\$0	-\$1,100,000
SSC Security Services Corp.	Common Shares	SSC Security Services Corp.	1	2023-10-27	38	2.45	69,300	13,300
SSC Security Services Corp.	Common Shares	SSC Security Services Corp.	1	2023-10-30	38	2.45	71,800	2,500
SSC Security Services Corp.	Common Shares	SSC Security Services Corp.	1	2023-10-31	38	2.4	72,000	200
SSC Security Services Corp.	Common Shares	SSC Security Services Corp.	1	2023-10-31	38		2,700	-69,300
St-Georges Eco-Mining Corp.	Common Shares	Billings, Mark Anthony	4	2023-10-31	11	0.1	4,522,562	250,000
St-Georges Eco-Mining Corp.	Warrants	Billings, Mark Anthony	4	2023-10-31	11	0.15	1,685,417	250,000
St-Georges Eco-Mining Corp.	Common Shares	Dumas, Francois	4, 5	2023-10-31	11	0.1	8,667,495	250,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
St-Georges Eco-Mining Corp.	Warrants	Dumas, Francois	4, 5	2023-10-31	11		5,013,333	250,000
St-Georges Eco-Mining Corp.	Common Shares	Tally, Neha Edah	5	2023-10-27	10	0.12	1,413,285	-50,000
St-Georges Eco-Mining Corp.	Common Shares	Tally, Neha Edah	5	2023-10-31	11	0.1	1,663,285	250,000
St-Georges Eco-Mining Corp.	Warrants	Tally, Neha Edah	5	2023-10-31	11		865,096	250,000
Stamper Oil & Gas Corp.	Common Shares	Goodwin, Bryson	4, 5	2023-11-03	16	0.055	1,100,000	900,000
Stamper Oil & Gas Corp.	Warrants	Goodwin, Bryson	4, 5	2023-11-03	16	0.07	1,100,000	900,000
Stella-Jones Inc.	Common Shares	Alexander, Joel	7	2023-10-30	00		889	
Stella-Jones Inc.	Performance Share Units (PSUs)	Alexander, Joel	7	2023-10-30	00		428	
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Alexander, Joel	7	2023-10-30	00		535	
Stella-Jones Inc.	Common Shares	Bryant, Steve	7	2023-10-30	00		478	
Stella-Jones Inc.	Performance Share Units (PSUs)	Bryant, Steve	7	2023-10-30	00		406	
Stella-Jones Inc.	Restricted Stock Units (RSU's)	Bryant, Steve	7	2023-10-30	00		515	
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-02	38	65.422	11,200	11,200
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-03	38	63.835	22,374	11,174
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-04	38	63.722	33,574	11,200
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-05	38	63.988	44,721	11,147
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-06	38	64.488	55,784	11,063
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-06	38		22,210	-33,574
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-10	38	68.951	32,554	10,344
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-11	38	67.566	43,111	10,557
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-12	38	67.298	53,711	10,600
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-13	38	68.119	64,211	10,500
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-13	38		21,100	-43,111
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-16	38	70.715	31,200	10,100
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-17	38	71.177	41,217	10,017
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-18	38	71.778	51,217	10,000
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-19	38	72.61	61,029	9,812
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-20	38	72.689	70,830	9,801
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-20	38		19,613	-51,217
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-23	38	72.7	29,413	9,800
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-24	38	72.893	39,213	9,800
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-25	38	72.15	49,013	9,800
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-26	38	72.202	58,892	9,879
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-27	38	72.081	68,787	9,895
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-30	38	72.919	78,587	9,800
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-31	38	72.727	88,378	9,791
Stella-Jones Inc.	Common Shares	Stella-Jones Inc.	1	2023-10-31	38		19,591	-68,787
STICKIT TECHNOLOGIES INC.	Common Shares	Be-Haroosh, Eli	5	2023-10-23	00		11,169,138	
STICKIT TECHNOLOGIES INC.	Common Shares	Berger, Orit	4	2023-10-23	00			
STICKIT TECHNOLOGIES INC.	Common Shares	Galper-Komet, Sophya	5	2023-10-23	00			
STICKIT TECHNOLOGIES INC.	Common Shares	Glaser, Steven	4	2023-10-23	00			
STICKIT TECHNOLOGIES INC.	Common Shares	Holzer, Asher	4	2023-10-23	00		53,334,022	

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Strategic Resources Inc.	Common Shares	Hicks, Scott Victor Andrew	4, 5	2023-11-02	10	1.18	111,000	2,000
Strathmore Plus Uranium Corp.	Common Shares	Potts, Jordan	4	2023-11-01	10	0.56	271,076	5,500
Strathmore Plus Uranium Corp.	Common Shares	Randhawa, Devinder	5	2023-11-01	10	0.5739	5,900,528	40,000
Strathmore Plus Uranium Corp.	Common Shares	Wiebe, Jeremy	4	2022-11-01	00			
Strathmore Plus Uranium Corp.	Options	Wiebe, Jeremy	4	2022-11-01	00			
Strathmore Plus Uranium Corp.	Options	Wiebe, Jeremy	4	2023-11-01	50	0.7	100,000	100,000
Stria Lithium Inc.	Common Shares	Hanisch, Dean	5	2023-10-20	10	0.15	203,500	10,000
Stria Lithium Inc.	Common Shares	Hanisch, Dean	5	2023-10-20	10	0.16	208,500	5,000
Sucro Limited	Restricted Share Units	Ferrier, Andrew Alan	4	2023-10-30	00		12,441	
Summa Silver Corp.	Common Shares	Allegiant Gold Ltd.	3	2023-10-26	10	0.41	13,579,215	-10,000
Summa Silver Corp.	Common Shares	Allegiant Gold Ltd.	3	2023-10-27	10	0.44	13,569,215	-10,000
Summa Silver Corp.	Common Shares	Allegiant Gold Ltd.	3	2023-10-30	10	0.46	13,559,215	-10,000
Summa Silver Corp.	Common Shares	Allegiant Gold Ltd.	3	2023-10-31	10	0.47	13,549,215	-10,000
Summa Silver Corp.	Common Shares	Allegiant Gold Ltd.	3	2023-11-01	10	0.44	13,539,215	-10,000
Summa Silver Corp.	Common Shares	Allegiant Gold Ltd.	3	2023-11-02	10	0.44	13,529,215	-10,000
Summa Silver Corp.	Common Shares	Allegiant Gold Ltd.	3	2023-11-03	10	0.44	13,519,215	-10,000
Supernova Metals Corp.	Common Shares	Brophy, Kenneth	3, 4	2023-11-02	10	0.06	420,988	13,000
Surge Battery Metals Inc.	Common Shares	Morrison, Brian	5	2023-10-26	00		1,000,000	
Surge Battery Metals Inc.	Options	Morrison, Brian	5	2023-10-26	00			
Surge Battery Metals Inc.	Options	Morrison, Brian	5	2023-10-26	00		800,000	
Surge Battery Metals Inc.	Warrants	Morrison, Brian	5	2023-10-26	00		1,000,000	
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-10-19	38	9.565	1,490,300	1,200
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-10-20	38	9.468	1,495,300	5,000
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-10-23	38	9.32	1,501,700	6,400
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-10-24	38	9.2629	1,503,100	1,400
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-10-26	38	9.1308	1,508,300	5,200
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-10-26	38	9.1308		5,200
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-10-26	38	9.1308		5,200
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-10-27	38	9.0491	1,510,600	2,300
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-10-30	38	9.05	1,510,700	100
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-10-31	38	9.175	1,515,100	4,400
Sustainable Innovation & Health Dividend Fund	Trust Units	Sustainable Innovation & Health Dividend Fund	1	2023-11-01	38	9.16	1,515,200	100
Sustainable Real Estate Dividend Fund	Trust Units	Sustainable Real Estate Dividend Fund	1	2023-10-19	38	6.6057	191,000	700
Sustainable Real Estate Dividend Fund	Trust Units	Sustainable Real Estate Dividend Fund	1	2023-10-20	38	6.4525	191,400	400
Sustainable Real Estate Dividend Fund	Trust Units	Sustainable Real Estate Dividend Fund	1	2023-10-23	38	6.382	191,900	500
Sustainable Real Estate Dividend Fund	Trust Units	Sustainable Real Estate Dividend Fund	1	2023-10-25	38	6.32	192,000	100
Sustainable Real Estate Dividend Fund	Trust Units	Sustainable Real Estate Dividend Fund	1	2023-10-26	38	6.3133	192,300	300

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Sustainable Real Estate Dividend Fund	Trust Units	Sustainable Real Estate Dividend Fund	1	2023-10-27	38	6.2614	193,000	700
Sustainable Real Estate Dividend Fund	Trust Units	Sustainable Real Estate Dividend Fund	1	2023-11-01	38	6.4	193,200	200
SWMBRD Sports Inc.	Common Shares	Schroenn, Gareth	4, 5	2023-10-30	10	0.02	2,176,236	5,000
Sylogist Ltd.	Common Shares	Sylogist Ltd.	1	2023-10-31	38	7.1	13,500	2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-05	38	6.77	2,000	2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-05	38	6.77	0	-2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-10	38	6.76	2,000	2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-10	38	6.76	0	-2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-12	38	6.79	2,000	2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-12	38	6.79	0	-2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-13	38	6.76	2,000	2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-13	38	6.76	0	-2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-16	38	6.78	2,000	2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-16	38	6.78	0	-2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-18	38	6.78	2,000	2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-18	38	6.78	0	-2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-19	38	6.77	2,000	2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-19	38	6.77	0	-2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-23	38	6.75	2,000	2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-23	38	6.75	0	-2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-24	38	6.7	2,000	2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-24	38	6.7	0	-2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-25	38	6.69	1,800	1,800
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-25	38	6.69	0	-1,800
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-26	38	6.71	2,000	2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-26	38	6.71	0	-2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-30	38	6.69	2,000	2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-30	38	6.69	0	-2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-31	38	6.65	2,000	2,000
Symphony Floating Rate Senior Loan Fund	Units Class A	Symphony Floating Rate Senior Loan Fund	1	2023-10-31	38	6.65	0	-2,000
T2 Metals Corp.	Common Shares	Saxon, Mark Stephen	4, 5	2023-10-27	10	0.16	1,868,150	50,000
T2 Metals Corp.	Common Shares	Saxon, Mark Stephen	4, 5	2023-11-02	10	0.15	1,893,150	25,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2023-10-27	10	0.07	7,430,500	11,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2023-10-27	10	0.08	7,452,500	22,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2023-10-30	10	0.08	7,512,500	60,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2023-10-30	10	0.09	7,524,500	12,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2023-10-31	10	0.09	7,527,500	3,000
Tartisan Nickel Corp.	Common Shares	Appleby, D. Mark	4, 5	2023-10-31	10	0.1	7,534,500	7,000
Taurus Gold Corp.	Common Shares	Lagiglia, Frank	4, 5	2023-11-01	10	0.0983	46,800	10,000
Taurus Gold Corp.	Common Shares	Lagiglia, Frank	4, 5	2023-11-01	10	0.1	48,800	2,000
Taurus Gold Corp.	Common Shares	Lagiglia, Frank	4, 5	2023-11-01	10	0.1	50,800	2,000
Taurus Gold Corp.	Common Shares	Lagiglia, Frank	4, 5	2023-11-01	10	0.1	55,300	4,500
TDG Gold Corp. (Formerly: Kismet Resources Corp.)	Common Shares	Kosowan, Michael	4	2023-11-03	10	0.16	9,795,500	41,500
TDG Gold Corp. (Formerly: Kismet Resources Corp.)	Warrants	Kosowan, Michael	4	2023-10-26	55		365,000	-85,000
Teck Resources Limited	Class B Subordinate Voting Shares	Anderson, Ian Kenneth	5	2023-05-25	00			
Teck Resources Limited	Class B Subordinate Voting Shares	Anderson, Ian Kenneth	5	2023-05-25	00		237	
Teck Resources Limited	Deferred Performance Share Units	Conger, Harry Milton	4, 5	2023-03-01	56	56.999	17,500	17,500
Teck Resources Limited	Deferred Performance Share Units	Conger, Harry Milton	4, 5	2023-03-31	30	50.575	17,716	216
Teck Resources Limited	Deferred Performance Share Units	Conger, Harry Milton	4, 5	2023-06-30	30	55.845	17,755	39
Teck Resources Limited	Deferred Performance Share Units	Conger, Harry Milton	4, 5	2023-09-29	30	56.947	17,793	38
Teck Resources Limited	Options	Conger, Harry Milton	4, 5	2023-03-01	50	54.47	675,600	75,000
Teck Resources Limited	Performance Share Units	Conger, Harry Milton	4, 5	2023-03-01	56	56.999	135,127	17,500
Teck Resources Limited	Performance Share Units	Conger, Harry Milton	4, 5	2023-03-31	30	50.575	136,796	1,669
Teck Resources Limited	Performance Share Units	Conger, Harry Milton	4, 5	2023-06-30	30	55.845	137,100	304
Teck Resources Limited	Performance Share Units	Conger, Harry Milton	4, 5	2023-09-29	30	56.947	137,399	299
Telescope Innovations Corp.	Common Shares	Hein, Jason	4, 5	2023-11-02	10	0.175	2,181,651	50,000
Telescope Innovations Corp.	Common Shares	Hein, Jason	4, 5	2023-11-03	10	0.16	2,231,651	50,000
Telescope Innovations Corp.	Options	Jeffrey, Sherman	5	2023-11-01	50	0.2	500,000	250,000
Temas Resources Corp.	Common Shares	Hardy, Samuel Anthony Kyler	4	2023-10-31	10	0.115	30,236	10,000
Temas Resources Corp.	Common Shares	Hardy, Samuel Anthony Kyler	4	2023-10-31	10	0.115	261,680	-100,000
Tempus Capital Inc.	Common Shares	Tanz, Bernie	4	2023-10-24	11	0.075	1,439,186	-1,000,000
Tempus Capital Inc.	Common Shares	Tanz, Russell	5	2023-10-24	11	0.075	9,392,023	1,000,000
Tenet Fintech Group Inc. (formerly Peak Fintech Group Inc.)	Common Shares	Renaud, Yves	4	2023-10-26	00		43,263	
Tenet Fintech Group Inc. (formerly Peak Fintech Group Inc.)	Common Shares	Renaud, Yves	4	2023-10-26	00			
Tenet Fintech Group Inc. (formerly Peak Fintech Group Inc.)	Common Shares	Renaud, Yves	4	2023-10-26	00			
Tenet Fintech Group Inc. (formerly Peak Fintech Group Inc.)	Common Shares	Renaud, Yves	4	2023-10-26	00			

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Tenet Fintech Group Inc. (formerly Peak Fintech Group Inc.)	Common Shares	Renaud, Yves	4	2023-10-26	00		24,228	
Tenet Fintech Group Inc. (formerly Peak Fintech Group Inc.)	Common Shares	Renaud, Yves	4	2023-10-26	00		49,228	
Tenet Fintech Group Inc. (formerly Peak Fintech Group Inc.)	Common Shares	Renaud, Yves	4	2023-10-26	00			
Tenet Fintech Group Inc. (formerly Peak Fintech Group Inc.)	Common Shares	Renaud, Yves	4	2023-10-26	00			
Tethys Petroleum Limited	Common Shares	Tethys Petroleum	1	2023-10-30	10	0.72	217,765	1,145
TFI International Inc.	Common Shares	Martel, Sébastien	4	2023-07-31	00			
TFI International Inc.	Common Shares	Martel, Sébastien	4	2023-10-31	10	152.66	2,000	2,000
TFI International Inc.	Common Shares	Pratt, John	4	2022-10-27	00			
TFI International Inc.	Common Shares	Pratt, John	4	2023-10-27	10	107.38	930	930
TFI International Inc.	Common Shares	TFI International Inc.	1	2023-10-25	38	148.71	155,868	139,960
TFI International Inc.	Common Shares	TFI International Inc.	1	2023-10-26	38	149.78	305,868	150,000
TFI International Inc.	Common Shares	TFI International Inc.	1	2023-10-27	38	149.81	455,868	150,000
TFI International Inc.	Common Shares	TFI International Inc.	1	2023-10-31	38		15,908	-439,960
The Fresh Factory B.C. Ltd. (formerly, 1181718 B.C. Ltd.)	Subordinate Voting Shares	The Fresh Factory B.C. Ltd.	1	2023-10-27	38	0.85	20,000	2,000
The Fresh Factory B.C. Ltd. (formerly, 1181718 B.C. Ltd.)	Subordinate Voting Shares	The Fresh Factory B.C. Ltd.	1	2023-11-01	38	0.85	15,000	-5,000
The Good Flour Corp.	Common Shares	Silva, Denis	4	2023-03-01	54	0.05	625,000	250,000
The Good Flour Corp.	Warrants	Silva, Denis	4	2023-03-01	54	0.05	125,000	-250,000
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Brant, Cherie Lynn	4	2023-10-31	46	77.19	5,191	253
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Brinkley, Amy Woods	4, 7	2023-10-31	46	77.19	76,133	93
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Ferguson, Brian Charles	4	2023-10-31	46	77.19	34,949	486
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Goggins, Colleen	4	2023-10-31	46	77.19	53,598	486
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Levitt, Brian	4	2023-10-31	46	77.19	138,383	842
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	MacGibbon, Alan	4, 7	2023-10-31	46	77.19	58,542	664
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	MacIntyre, John	4	2023-10-31	46	77.19	811	421
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Maidment, Karen	4	2023-10-31	46	77.19	60,815	93
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Martell, Keith	4	2023-08-23	00			
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Martell, Keith	4	2023-10-31	46	77.41	180	180
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Martell, Keith	4	2023-10-31	46	77.19	571	181
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Mongeau, Claude	4	2023-10-31	46	77.19	33,627	453
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	Rowe, Jane	4	2023-10-31	46	77.41	307	307
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-02	38	79.33	1,125,000	1,125,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-02	38	79.33	0	-1,125,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-03	38	79.33	1,208,300	1,208,300

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-03	38	79.33	0	-1,208,300
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-05	38	79.33	1,150,000	1,150,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-05	38	79.33	0	-1,150,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-06	38	79.33	1,205,400	1,205,400
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-06	38	79.33	0	-1,205,400
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-10	38	79.33	426,700	426,700
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-10	38	79.33	0	-426,700
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-11	38	79.33	425,000	425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-11	38	79.33	0	-425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-12	38	79.33	425,000	425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-12	38	79.33	0	-425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-13	38	79.33	425,000	425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-13	38	79.33	0	-425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-16	38	79.33	425,000	425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-16	38	79.33	0	-425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-17	38	79.33	425,000	425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-17	38	79.33	0	-425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-18	38	79.33	425,000	425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-18	38	79.33	0	-425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-19	38	79.33	425,000	425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-19	38	79.33	0	-425,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-20	38	79.33	550,000	550,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-20	38	79.33	0	-550,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-23	38	79.33	550,000	550,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-23	38	79.33	0	-550,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-25	38	79.33	900,000	900,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-25	38	79.33	0	-900,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-26	38	79.33	601,700	601,700
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-26	38	79.33	0	-601,700
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-27	38	79.33	650,000	650,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-27	38	79.33	0	-650,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-30	38	79.33	400,000	400,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-30	38	79.33	0	-400,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-31	38	79.33	550,000	550,000
The Toronto-Dominion Bank	Common Shares CUSIP 891160 50 9	The Toronto-Dominion Bank	1	2023-10-31	38	79.33	0	-550,000
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Tower, Nancy Gail	4	2023-10-31	46	77.19	4,656	542
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Virmani, Ajay Kumar	4	2023-10-31	46	77.19	3,701	421
The Toronto-Dominion Bank	Rights Deferred Share Units (DSU)	Winston, Mary Ann	7	2023-10-31	46	77.19	7,088	317
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-02	38	2.5941	101,800	101,800
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-02	38		0	-101,800
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-03	38	2.5826	38,200	38,200
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-03	38		0	-38,200
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-04	38	2.5975	38,500	38,500
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-04	38		0	-38,500
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-05	38	2.6111	60,000	60,000
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-05	38		0	-60,000
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-06	38	2.6272	44,700	44,700
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-06	38		0	-44,700
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-10	38	2.6505	35,000	35,000
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-10	38		0	-35,000
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-11	38	2.6887	52,100	52,100
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-11	38		0	-52,100
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-12	38	2.6798	47,700	47,700
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-12	38		0	-47,700
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-13	38	2.7083	632,500	632,500
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-13	38		0	-632,500
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-16	38	2.7066	14,600	14,600
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-16	38		0	-14,600
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-17	38	2.6977	10,000	10,000
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-17	38		0	-10,000
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-18	38	2.6773	10,000	10,000
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-18	38		0	-10,000
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-19	38	2.6456	6,600	6,600
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-19	38		0	-6,600
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-20	38	2.6697	207,400	207,400
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-20	38		0	-207,400
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-23	38	2.6092	19,200	19,200
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-23	38		0	-19,200
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-24	38	2.6228	200,000	200,000
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-24	38		0	-200,000
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-26	38	2.604	254,700	254,700
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-26	38		0	-254,700
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-27	38	2.5995	45,900	45,900
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-27	38		0	-45,900
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-30	38	2.6415	20,000	20,000
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-30	38		0	-20,000
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-31	38	2.6199	40,000	40,000
The Westaim Corporation	Common Shares	The Westaim Corporation	1	2023-10-31	38		0	-40,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Theratechnologies Inc.	Common Shares	Arena, Joseph	4	2023-07-31	37		3,750	-11,250
Theratechnologies Inc.	Common Shares	Arena, Joseph	4	2023-10-30	10	0.925		10,000
Theratechnologies Inc.	Common Shares	Arena, Joseph	4	2023-10-30	10	0.925		10,000
Theratechnologies Inc.	Common Shares	Arena, Joseph	4	2023-10-30	10	0.925	13,750	10,000
Theratechnologies Inc.	Common Shares	Dubuc, Philippe	5	2023-07-31	37		7,750	-23,250
Theratechnologies Inc.	Common Shares	Dubuc, Philippe	5	2023-10-27	10	1.3569	15,750	8,000
Theratechnologies Inc.	Convertible Notes	Dubuc, Philippe	5	2023-06-30	97		\$0	-\$25,000
Theratechnologies Inc.	Options	Dubuc, Philippe	5	2023-07-31	37		214,603	-643,811
Theratechnologies Inc.	Warrants	Dubuc, Philippe	5	2023-07-31	37		375	-1,125
Theratechnologies Inc.	Common Shares	Holler, Frank	4	2023-07-31	37		9,750	-29,250
Theratechnologies Inc.	Common Shares	Holler, Frank	4	2023-10-31	10		34,750	25,000
Theratechnologies Inc.	Common Shares	Holler, Frank	4	2023-11-01	10		59,750	25,000
Theratechnologies Inc.	Common Shares	Investissement Québec	3	2023-10-31	00		9,118,184	
Theratechnologies Inc.	Subscription Rights Exchangeable Subscription Receipt	Investissement Québec	3	2023-10-31	00		3,381,816	
Theratechnologies Inc.	Common Shares	Lacoste, Gérald A.	4	2023-07-31	37		1,000	-3,000
Theratechnologies Inc.	Common Shares	Lacoste, Gérald A.	4	2023-07-31	37		23,999	-72,001
Theratechnologies Inc.	Common Shares	Lacoste, Gérald A.	4	2023-10-27	10	1.34	26,499	2,500
Theratechnologies Inc.	Convertible Notes	Lacoste, Gérald A.	4	2023-06-30	38		\$0	-\$30,000
Theratechnologies Inc.	Convertible Notes	Lacoste, Gérald A.	4	2023-06-30	38		\$0	-\$15,000
Theratechnologies Inc.	Common Shares	Leasure, John	5	2023-07-31	37		1,250	-3,750
Theratechnologies Inc.	Common Shares	Leasure, John	5	2023-10-27	10	1.0179		25,000
Theratechnologies Inc.	Common Shares	Leasure, John	5	2023-10-27	10	1.0179		25,000
Theratechnologies Inc.	Common Shares	Leasure, John	5	2023-10-27	10	0.9889		25,000
Theratechnologies Inc.	Common Shares	Leasure, John	5	2023-10-27	10	0.9889		25,000
Theratechnologies Inc.	Common Shares	Leasure, John	5	2023-10-27	10	1.0179	26,250	25,000
Theratechnologies Inc.	Common Shares	Leasure, John	5	2023-10-27	10	0.9888	51,250	25,000
Theratechnologies Inc.	Common Shares	Lévesque, Paul	5	2023-07-31	37		27,800	-83,400
Theratechnologies Inc.	Common Shares	Lévesque, Paul	5	2023-10-27	10	1.36		45,000
Theratechnologies Inc.	Common Shares	Lévesque, Paul	5	2023-10-27	10	1.36		45,000
Theratechnologies Inc.	Common Shares	Lévesque, Paul	5	2023-10-27	10	1.36	72,800	45,000
Theratechnologies Inc.	Common Shares	Molson, Andrew	4	2023-07-31	37		7,500	-22,500
Theratechnologies Inc.	Common Shares	Molson, Andrew	4	2023-10-27	10	1.42	76,400	68,900
Theratechnologies Inc.	Common Shares	Molson, Andrew	4	2023-10-27	10	1.41	78,500	2,100
Theratechnologies Inc.	Common Shares	Molson, Andrew	4	2023-10-27	10	1.4	82,900	4,400
Theratechnologies Inc.	Common Shares	Molson, Andrew	4	2023-10-27	10	1.39	96,600	13,700
Theratechnologies Inc.	Common Shares	Molson, Andrew	4	2023-10-27	10	1.38	100,800	4,200
Theratechnologies Inc.	Common Shares	Molson, Andrew	4	2023-10-27	10	1.37	137,500	36,700
Theratechnologies Inc.	Common Shares	Soleus Capital Master Fund, L.P.	3	2023-10-31	15	1	11,795,511	2,470,000
Theratechnologies Inc.	Common Shares	Svoronos, Dawn	4	2023-07-31	37		80,900	-242,700
Theratechnologies Inc.	Common Shares	Svoronos, Dawn	4	2023-10-27	10	1.36		13,800
Theratechnologies Inc.	Common Shares	Svoronos, Dawn	4	2023-10-27	10	1.36		13,800
Theratechnologies Inc.	Common Shares	Svoronos, Dawn	4	2023-10-27	10	1.365		400
Theratechnologies Inc.	Common Shares	Svoronos, Dawn	4	2023-10-27	10	1.365		400
Theratechnologies Inc.	Common Shares	Svoronos, Dawn	4	2023-10-27	10	1.37		15,800
Theratechnologies Inc.	Common Shares	Svoronos, Dawn	4	2023-10-27	10	1.37		15,800
Theratechnologies Inc.	Common Shares	Svoronos, Dawn	4	2023-10-27	10	1.36	94,700	13,800
Theratechnologies Inc.	Common Shares	Svoronos, Dawn	4	2023-10-27	10	1.365	95,100	400
Theratechnologies Inc.	Common Shares	Svoronos, Dawn	4	2023-10-27	10	1.37	110,900	15,800
Theratechnologies Inc.	Common Shares	Weil, Dale	4	2023-07-31	37		7,960	-23,880
Theratechnologies Inc.	Common Shares	Weil, Dale	4	2023-11-01	10	1.38	44,160	36,200

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Thesis Gold Inc.	Common Shares	Peck, Keith Lawrence	4	2023-08-23	37		265,384	-424,616
Thesis Gold Inc.	Common Shares	Peck, Keith Lawrence	4	2023-08-23	11		324,424	59,040
Thesis Gold Inc.	Options	Peck, Keith Lawrence	4	2023-08-23	37		192,307	-307,693
Thesis Gold Inc.	Warrants	Peck, Keith Lawrence	4	2023-08-23	37		65,384	-104,616
Thinkific Labs Inc.	Subordinate Voting Shares	Smith, Greg Ryan	3, 4, 5	2023-10-30	10	2.195	290,600	-2,000
Thinkific Labs Inc.	Subordinate Voting Shares	Smith, Greg Ryan	3, 4, 5	2023-10-30	10	2.162	287,600	-3,000
Thinkific Labs Inc.	Subordinate Voting Shares	Smith, Greg Ryan	3, 4, 5	2023-10-31	10	2.15	284,600	-3,000
Thinkific Labs Inc.	Subordinate Voting Shares	Smith, Greg Ryan	3, 4, 5	2023-10-31	10	2.2	282,600	-2,000
Thinkific Labs Inc.	Subordinate Voting Shares	Smith, Greg Ryan	3, 4, 5	2023-10-31	10	2.22	280,600	-2,000
Three Valley Copper Corp.	Common Shares Class "A"	Haines, Andrew Michael	4	2023-11-02	10	0.0154	249,900	33,900
Three Valley Copper Corp.	Common Shares Class "A"	Haines, Andrew Michael	4	2023-11-02	10	0.0151	250,000	100
ThreeD Capital Inc.	Warrants	Inwentash, Jakson Samuel	3, 4	2023-10-30	55		0	-425,000
ThreeD Capital Inc.	Warrants	Inwentash, Sheldon	4, 6, 5	2023-10-30	55		0	-83,333
Thunder Gold Corp. (formerly White Metal Resources Corp.)	Common Shares	Strashin, Elliot	3, 4, 5	2023-10-30	10	0.025	12,158,833	80,000
Thunder Gold Corp. (formerly White Metal Resources Corp.)	Common Shares	Strashin, Elliot	3, 4, 5	2023-11-03	10	0.03	12,178,833	20,000
Thunder Gold Corp. (formerly White Metal Resources Corp.)	Common Shares	Strashin, Elliot	3, 4, 5	2023-10-30	10	0.025	9,869,300	76,000
Thunderbird Entertainment Group Inc.	Common Shares	McCarron, Jennifer Margaret	4	2023-10-11	10	2.3964	15,000	15,000
Tiidal Gaming Group Corp. (formerly, GTA Financecorp Inc.)	Common Shares	Goldenberg, Zachary	3, 4, 7	2023-10-30	10	0.105	3,169,500	200,000
Tincorp Metals Inc.	Common Shares	Neal, Gordon	4, 5	2023-11-03	10	0.3329	506,267	31,000
Tiny Ltd. (formerly WeCommerce Holdings Ltd.)	Common Shares Class A Common Shares	Berardo, Michael	7	2023-10-30	99		20,240	290
Tiny Ltd. (formerly WeCommerce Holdings Ltd.)	Common Shares Class A Common Shares	Charron, David	5	2023-10-30	99		67,263	478
Titan Logix Corp.	Common Shares	Martin, Michael, John	5	2023-11-01	30	0.53	2,526	1,342
Titan Logix Corp.	Common Shares	Schultz, Angela	5	2023-11-01	30	0.53	415,029	1,287
Titan Logix Corp.	Common Shares	Watt, Douglas Jerrold	5	2023-11-01	30	0.53	5,738	758
Titan Mining Corporation	Warrants	Warke, Richard William	4	2023-11-01	53	0.42	15,236,072	6,000,000
TomaGold Corporation	Common Shares de catégorie "A"	Renaud, Yves	4	2009-09-25	00		291,761	
TomaGold Corporation	Common Shares de catégorie "A"	Renaud, Yves	4	2009-09-25	00		4,000	
TomaGold Corporation	Common Shares de catégorie "A"	Renaud, Yves	4	2009-09-25	00		7,500	
TomaGold Corporation	Options	Renaud, Yves	4	2009-09-25	00		50,000	
TomaGold Corporation	Warrants	Renaud, Yves	4	2009-09-25	00		28,571	
Tombill Mines Limited	Common Shares	Davies, Raymond	4	2020-12-09	00			
Tombill Mines Limited	Common Shares	Davies, Raymond	4	2023-11-02	11	0.015	2,000,000	2,000,000
Tony G Co-Investment Holdings Ltd. (formerly Braingrid Limited)	Common Shares	Guoga, Antanas (Tony)	4, 5	2023-10-30	10	0.39	7,500	3,000
Topaz Energy Corp.	Common Shares	Rose, Mike	4	2023-11-03	10	21.64	20,000	5,000
Topaz Energy Corp.	Common Shares	Staples, Marty	5	2023-11-03	10	21.507	160,283	2,500

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Topaz Energy Corp.	Common Shares	Stephenson, Cheree	5	2023-11-03	10	21.37	194,503	500
TORR METALS INC.	Common Shares	Williamson, John	4, 5	2023-10-27	10	0.06	80,000	20,000
Total Telcom Inc.	Common Shares	Allen, SCOTT	4	2023-10-13	10	0.5		-2,000
Total Telcom Inc.	Common Shares	Allen, SCOTT	4	2023-10-13	10	0.5		-2,000
Total Telcom Inc.	Common Shares	Allen, SCOTT	4	2023-11-01	10	0.5	1,290,100	-2,000
Total Telcom Inc.	Common Shares	Allen, SCOTT	4	2023-11-02	10	0.5	1,241,600	-48,500
Total Telcom Inc.	Common Shares	Allen, SCOTT	4	2023-11-03	10	0.5	1,190,100	-51,500
Total Telcom Inc.	Common Shares	Andreola, Gianpaolo	4	2023-10-30	10	0.445	159,000	8,000
Toubani Resources Inc.	Common Shares	Callow, Daniel John	5	2023-10-30	16	0.105	4,424,999	208,333
Toubani Resources Inc.	Common Shares	Gates, Aaron Philip	5	2023-09-11	00			
Toubani Resources Inc.	Common Shares	Gates, Aaron Philip	5	2023-09-11	00			
Toubani Resources Inc.	Common Shares	Gates, Aaron Philip	5	2023-09-11	00			
Toubani Resources Inc.	Common Shares	Gates, Aaron Philip	5	2023-10-30	16	0.105	208,333	208,333
Toubani Resources Inc.	Common Shares	Gates, Aaron Philip	5	2023-09-11	00		100,000	
Toubani Resources Inc.	Common Shares	Perry, Scott Graeme	4	2023-10-30	16	0.105	2,083,334	2,083,334
Toubani Resources Inc.	Common Shares	Russo, Phillip Roberto	4, 5	2023-10-30	16	0.105	397,333	208,333
Toubani Resources Inc.	Common Shares	Strizek, Mark William	4	2023-10-30	16	0.105	634,555	416,667
Tourmaline Oil Corp.	Common Shares	Rose, Mike	5	2023-11-03	10	73.292	8,701,372	5,000
Tourmaline Oil Corp.	Common Shares	Rose, Mike	5	2023-11-03	10	73.165	455,000	5,000
Traction Uranium Corp.	Common Shares	Jeerh, Tasheel	5	2022-06-01	00			
Traction Uranium Corp.	Common Shares	Jeerh, Tasheel	5	2023-06-13	57		50,000	50,000
Traction Uranium Corp.	Common Shares	Jeerh, Tasheel	5	2023-10-26	57		100,000	50,000
Traction Uranium Corp.	Restricted Share Units	Jeerh, Tasheel	5	2022-06-08	56		50,000	50,000
Traction Uranium Corp.	Restricted Share Units	Jeerh, Tasheel	5	2023-06-13	57		0	-50,000
Traction Uranium Corp.	Restricted Share Units	Jeerh, Tasheel	5	2023-10-26	57		0	-50,000
Transat A.T. inc.	Unités d'actions différées (UAD)	Brouillette, Geneviève	4	2023-10-31	56	3.09	6,989	3,155
Transat A.T. inc.	Unités d'actions différées (UAD)	Chabot, Lucie	4	2023-10-31	56	3.09	36,155	2,265
Transat A.T. inc.	Unités d'actions différées (UAD)	Chort, Valérie	4	2023-10-31	56	3.09	24,224	4,207
Transat A.T. inc.	Unités d'actions différées (UAD)	Coallier, Robert	4	2023-10-31	56	3.09	5,017	2,265
Transat A.T. inc.	Unités d'actions différées (UAD)	Desjardins, Daniel	4	2023-10-31	56	3.09	30,816	5,081
Transat A.T. inc.	Unités d'actions différées (UAD)	Kudzman, Susan	4	2023-10-31	56	3.09	70,245	2,265
Transat A.T. inc.	Unités d'actions différées (UAD)	Lefebvre, Stéphane	4	2023-10-31	56	3.09	21,493	4,045
Transat A.T. inc.	Unités d'actions différées (UAD)	Matheu, Bruno	4	2023-10-31	56	3.09	7,088	3,236
Transat A.T. inc.	Unités d'actions différées (UAD)	Rae, Ian	4	2023-10-31	56	3.09	40,383	6,149
Transat A.T. inc.	Unités d'actions différées (UAD)	Tremblay, Julie	4	2023-10-31	56	3.09	28,663	4,644
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Cote, Jacynthe	4	2023-10-29	56	10.49	61,332	2,049
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Gentiletti, Nelson	4	2023-10-29	56	10.49	17,778	1,906
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Leduc, Yves	4	2023-10-29	56	10.4	46,670	3,217

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Martini, Anna	4	2023-10-29	56	10.4	103,746	3,575
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Plourde, Mario	4	2023-10-29	56	10.49	64,501	3,408
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Raymond, Jean	4	2023-10-29	56	10.49	51,162	3,265
Transcontinental Inc.	Participation Units d'actions différées (UAD-administrateurs) / (DSU-directors)	Thabet, Annie	4	2023-10-29	56	10.49	37,034	1,764
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-09-29	38	3.16	700	700
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-09-29	38	3.16	729	29
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-10	38	3.18	157,729	157,000
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-10	38	3.18	157,829	100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-10	38	3.18	158,029	200
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-10	38	3.18	158,058	29
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-12	38	3.1914	158,758	700
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-12	38	3.1897	158,787	29
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-12	38	3.19	158,887	100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-13	38	3.1538	160,487	1,600
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-13	38	3.15	160,587	100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-13	38	3.15	160,616	29
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-18	38	3.21	160,716	100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-18	38	3.21	161,016	300
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-18	38	3.21	161,045	29
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-19	38	3.2	163,045	2,000
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-19	38	3.2	163,074	29
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-23	38	3.05	163,174	100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-23	38	3.05	163,203	29
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-24	38	3.0247	163,632	429
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-25	38	3	163,732	100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-25	38	3.05	163,832	100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-25	38	3.05	163,932	100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-25	38	3.05	163,961	29
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-26	38	3.01	164,061	100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-26	38	3	164,161	100
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-26	38	3	164,361	200
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-26	38	3.01	164,390	29
Tree Island Steel Ltd.	Common Shares	Tree Island Steel Ltd.	1	2023-10-31	38		0	-164,390
Trenchant Capital Corp.	Options	Boehnke, Eric	4	2022-03-29	52		0	-180,000
Trenchant Capital Corp.	Options	Boehnke, Eric	4	2023-10-31	50		1,500,000	1,500,000
Trenchant Capital Corp.	Options	Devine, Darren	4	2020-11-11	00			
Trenchant Capital Corp.	Options	Devine, Darren	4	2023-10-31	50	0.05	1,500,000	1,500,000
Trenchant Capital Corp.	Options	English, Thomas	3	2022-03-29	52		0	-180,000
Trenchant Capital Corp.	Options	English, Thomas	3	2023-10-31	50		1,500,000	1,500,000
Tribe Property Technologies Inc.	Common Shares	Feeny, Daniel Robert	5	2023-11-03	30	0.75	3,321	196
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2023-10-23	38	4.5075	534,329	27,400
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2023-10-24	38	4.5183	579,329	45,000
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2023-10-25	38	4.5363	639,329	60,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2023-10-26	38	4.5407	671,529	32,200
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2023-10-27	38	4.5828	759,329	87,800
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2023-10-30	38	4.559	805,629	46,300
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2023-10-31	38	4.6502	864,429	58,800
Trican Well Service Ltd.	Common Shares	Trican Well Service Ltd.	1	2023-10-31	38		105,100	-759,329
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Baldrige, Kevin	7	2023-10-16	30	9.55	10,790	89
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Baldrige, Kevin	7	2023-10-16	30	9.55	66,764	549
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Restricted Common Shares	Baldrige, Kevin	7	2023-10-16	30	9.36	13,583	93
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Berman, David	3, 4, 6, 5	2023-10-16	30	9.55	343,232	2,824
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Berman, David	3, 4, 6, 5	2023-10-16	30	9.55	69,900	575
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Berman, Gary	4, 5	2023-10-16	30	9.55	608,436	5,006
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Berman, Gary	4, 5	2023-10-16	30	9.55	388,984	3,201
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Restricted Common Shares	Berman, Gary	4, 5	2023-10-16	30	9.36	451,784	3,675
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Carmody, Andrew	5	2023-10-16	30	9.55	108,501	893
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Carmody, Andrew	5	2023-10-16	30	9.55	63,428	522
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Restricted Common Shares	Carmody, Andrew	5	2023-10-16	30	9.36	12,290	100
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Dube, Evelyne	5	2023-10-16	30	9.55	29,144	240
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Ellenzweig, Jonathan	5	2023-10-16	30	9.55	6,520	54
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Ellenzweig, Jonathan	5	2023-10-16	30	9.55	79,751	655
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Restricted Common Shares	Ellenzweig, Jonathan	5	2023-10-16	30	9.36	18,801	129
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Francis, Wissam	5	2023-10-16	30	9.55	55,628	458
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Francis, Wissam	5	2023-10-16	30	9.55	102,128	840
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Restricted Common Shares	Francis, Wissam	5	2023-10-16	30	9.36	90,392	735
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Gluskin, Ira	4	2023-10-16	30	9.55	84,639	696
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Joyner, Andrew	5	2023-10-16	30	9.55	61,127	503

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Joyner, Andrew	5	2023-10-16	30	9.55	40,989	337
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Restricted Common Shares	Joyner, Andrew	5	2023-10-16	30	9.36	8,931	73
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	KNOWLTON, JOHN MICHAEL ARTHUR	4	2023-10-16	30	9.55	53,923	444
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Matthews, Sian Margaret	4	2023-10-16	30	9.55	87,749	722
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	MATUS, GEOFFREY	3, 4, 6, 5	2023-10-16	30	9.55	13,739	233
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	MATUS, GEOFFREY	3, 4, 6, 5	2023-10-16	30	9.55	34,950	288
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	McMullan, Gina	5	2023-10-16	30	9.55	12,783	105
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Quesnel, Douglas Paul	5	2023-10-16	30	9.55	59,801	492
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Sacks, Peter	4	2023-10-16	30	9.55	13,863	114
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Suski, Sherrie	5	2023-10-16	30	9.55	2,856	23
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Suski, Sherrie	5	2023-10-16	30	9.55	18,338	151
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Restricted Common Shares	Suski, Sherrie	5	2023-10-16	30	9.36	8,294	57
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Deferred Share Units	Veneziano, David	5	2023-10-16	30	9.55	68,085	560
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Performance Share Units	Veneziano, David	5	2023-10-16	30	9.55	51,877	427
Tricon Residential Inc. (formerly, Tricon Capital Group Inc.)	Restricted Common Shares	Veneziano, David	5	2023-10-16	30	9.36	25,209	205
Trillion Energy International Inc.	Common Shares	Halleran, Arthur Alvin	4, 5	2023-10-19	57	0.75	1,971,869	4,800
Trillion Energy International Inc.	Common Shares	Halleran, Arthur Alvin	4, 5	2023-10-25	56	0.75		4,800
Trillion Energy International Inc.	Common Shares	Halleran, Arthur Alvin	4, 5	2020-02-11	00			
Trillion Energy International Inc.	Common Shares	Halleran, Arthur Alvin	4, 5	2023-10-19	57	0.75	264,125	264,125
Trillion Energy International Inc.	Restricted Share Units	Halleran, Arthur Alvin	4, 5	2020-02-11	00			
Trillion Energy International Inc.	Restricted Share Units	Halleran, Arthur Alvin	4, 5	2023-10-19	56		4,800	4,800
Trillion Energy International Inc.	Restricted Share Units	Halleran, Arthur Alvin	4, 5	2023-10-19	57		0	-4,800
Trillion Energy International Inc.	Restricted Share Units	Halleran, Arthur Alvin	4, 5	2020-02-11	00			
Trillion Energy International Inc.	Restricted Share Units	Halleran, Arthur Alvin	4, 5	2023-10-19	56		264,125	264,125
Trillion Energy International Inc.	Restricted Share Units	Halleran, Arthur Alvin	4, 5	2023-10-19	57		0	-264,125

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Trillion Energy International Inc.	Common Shares	Thompson, David	7	2023-10-29	46	0.75	668,400	4,800
Trillion Energy International Inc.	Common Shares	WOOD, Barry Gordon McLeod	4	2023-10-29	46	0.75	59,800	4,800
Tryp Therapeutics Inc.	Options	Carroll, Jason Alan	5	2023-10-01	00			
Tryp Therapeutics Inc.	Options	Carroll, Jason Alan	5	2023-10-30	50	0.108	7,713,548	7,713,548
Tryp Therapeutics Inc.	Options	Gilligan, James Patrick	5	2023-10-30	50	0.108	5,769,684	2,000,000
Tryp Therapeutics Inc.	Options	Molloy, Peter Robert	4	2023-10-30	50	0.108	2,350,000	750,000
Tucows Inc.	Common Shares	Investmentaktiengesellschaft für langfristige Investoren TGV	3	2023-10-30	10	16.457	1,980,042	448
Tucows Inc.	Common Shares	Investmentaktiengesellschaft für langfristige Investoren TGV	3	2023-10-31	10	17.04	1,989,594	9,552
TUT Fitness Group Inc.	Common Shares	Dutton, Anthony John Guy	4	2023-10-31	10	0.045	1,555,187	50,687
TUT Fitness Group Inc.	Common Shares	Smith, Robert Douglas	4, 5	2023-10-31	10	0.025	4,978,611	100,000
Uniserve Communications Corporation	Common Shares	Walker, Kelly Ali	4, 5	2021-09-29	53	0.12		100,000
Uniserve Communications Corporation	Common Shares	Walker, Kelly Ali	4, 5	2021-09-29	53	0.12		100,000
Uniserve Communications Corporation	Warrants	Walker, Kelly Ali	4, 5	2021-09-29	53	0.12	162,500	100,000
Uniserve Communications Corporation	Warrants	Walker, Kelly Ali	4, 5	2023-09-29	55	0.15	0	-100,000
Ur-Energy Inc.	Common Shares	Cash, John William	4, 5	2023-11-01	51	0.91	485,994	27,810
Ur-Energy Inc.	Common Shares	Cash, John William	4, 5	2023-11-01	10	2.2626	458,184	-27,810
Ur-Energy Inc.	Common Shares	Cash, John William	4, 5	2023-11-02	51	0.91	485,994	27,810
Ur-Energy Inc.	Common Shares	Cash, John William	4, 5	2023-11-02	10	2.2446	458,184	-27,810
Ur-Energy Inc.	Options	Cash, John William	4, 5	2023-11-01	51		820,157	-27,810
Ur-Energy Inc.	Options	Cash, John William	4, 5	2023-11-02	51		792,347	-27,810
Ur-Energy Inc.	Common Shares	Hatten, Steven Marcal	5	2023-11-02	51	0.91	362,334	58,357
Ur-Energy Inc.	Common Shares	Hatten, Steven Marcal	5	2023-11-02	10	2.2611	303,977	-58,357
Ur-Energy Inc.	Options	Hatten, Steven Marcal	5	2023-11-02	51		578,190	-58,357
Ur-Energy Inc.	Common Shares	Smith, Roger L.	5	2023-11-01	51	0.91	687,128	74,067
Ur-Energy Inc.	Common Shares	Smith, Roger L.	5	2023-11-01	10	2.2403	613,061	-74,067
Ur-Energy Inc.	Options	Smith, Roger L.	5	2023-11-01	51		700,472	-74,067
ValOre Metals Corp.	Common Shares	Paterson, James R.	4, 5	2023-10-30	10	0.055	33,553,833	55,000
ValOre Metals Corp.	Common Shares	Paterson, James R.	4, 5	2023-10-30	10	0.06	33,918,833	365,000
ValOre Metals Corp.	Common Shares	Paterson, James R.	4, 5	2023-10-31	10	0.06	33,946,833	28,000
ValOre Metals Corp.	Common Shares	Paterson, James R.	4, 5	2023-10-31	10	0.065	34,498,833	552,000
Venzee Technologies Inc.	Common Shares	Bertrand, Marc	4	2021-02-11	00			
Venzee Technologies Inc.	Common Shares	Bertrand, Marc	4	2021-02-11	00		3,300,000	
Venzee Technologies Inc.	Common Shares	Bertrand, Marc	4	2023-10-25	10	0.01	0	-3,300,000
Verisante Technology, Inc.	Common Shares	Braun, Emmeline Alexandra	4	2023-11-01	46	0.015	5,000,000	1,600,000
Verisante Technology, Inc.	Common Shares	Braun, Thomas Andrew	4, 5	2023-11-01	46	0.015	5,400,000	4,000,000
Vertex Resource Group Ltd.	Common Shares	Vertex Resource Group Ltd.	1	2023-10-31	38	0.4	95,500	88,000
Volta Metals Ltd. (formerly Cashbox Ventures Ltd.)	Common Shares	Boland, Brad James	5	2023-11-01	16	0.2	100,000	100,000
Volta Metals Ltd. (formerly Cashbox Ventures Ltd.)	Common Shares	CRUISE, MARK DANIEL	4, 5	2023-05-26	00			
Volta Metals Ltd. (formerly Cashbox Ventures Ltd.)	Common Shares	CRUISE, MARK DANIEL	4, 5	2023-11-02	10	0.2	100,000	100,000
Volta Metals Ltd. (formerly Cashbox Ventures Ltd.)	Common Shares	Hoffman, Michael	4	2023-11-02	11	0.2	1,366,105	250,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Volta Metals Ltd. (formerly Cashbox Ventures Ltd.)	Common Shares	Humphrey, Bradley Dean	4	2023-11-02	16	0.2	1,032,800	125,000
Volta Metals Ltd. (formerly Cashbox Ventures Ltd.)	Common Shares	Usenmez, Kerem	5	2023-11-02	10	0.2	507,800	50,000
Volta Metals Ltd. (formerly Cashbox Ventures Ltd.)	Common Shares	Williams, Alison Sagateh	4	2023-11-01	10	0.2	832,800	75,000
VSBLTY Groupe Technologies Corp.	Common Shares	Costa Romero de Barros, Luiz Felipe	4	2023-11-03	37		129,000	-1,161,000
VSBLTY Groupe Technologies Corp.	Options	Costa Romero de Barros, Luiz Felipe	4	2023-11-03	37		37,500	-337,500
VSBLTY Groupe Technologies Corp.	Warrants	Costa Romero de Barros, Luiz Felipe	4	2023-11-03	37		129,000	-1,161,000
VSBLTY Groupe Technologies Corp.	Common Shares	Gibson, Gary	5	2023-11-03	37		133,500	-1,201,500
VSBLTY Groupe Technologies Corp.	Options	Gibson, Gary	5	2023-11-03	37		35,000	-315,000
VSBLTY Groupe Technologies Corp.	Options Restricted Share Unit	Gibson, Gary	5	2023-11-03	37		15,000	-135,000
VSBLTY Groupe Technologies Corp.	Warrants	Gibson, Gary	5	2023-11-03	37		133,500	-1,201,500
VSBLTY Groupe Technologies Corp.	Common Shares	Hays, III, Thomas Dunlap	4, 5	2023-11-03	37		367,140	-3,304,264
VSBLTY Groupe Technologies Corp.	Options	Hays, III, Thomas Dunlap	4, 5	2023-11-03	37		85,000	-765,000
VSBLTY Groupe Technologies Corp.	Warrants	Hays, III, Thomas Dunlap	4, 5	2023-11-03	37		9,835	-88,517
VSBLTY Groupe Technologies Corp.	Common Shares	Hutton, James Joseph	4, 5	2023-11-03	37		174,150	-1,567,345
VSBLTY Groupe Technologies Corp.	Options	Hutton, James Joseph	4, 5	2023-11-03	37		292,500	-2,632,500
VSBLTY Groupe Technologies Corp.	Warrants	Hutton, James Joseph	4, 5	2023-11-03	37		56,600	-509,400
VSBLTY Groupe Technologies Corp.	Common Shares	Mohan, Alnesh	4	2023-11-03	37		25,000	-225,000
VSBLTY Groupe Technologies Corp.	Common Shares	Mohan, Alnesh	4	2023-11-03	37		20,000	-180,000
VSBLTY Groupe Technologies Corp.	Options	Mohan, Alnesh	4	2023-11-03	37		42,500	-382,500
VSBLTY Groupe Technologies Corp.	Warrants	Mohan, Alnesh	4	2023-11-03	37		22,500	-202,500
VSBLTY Groupe Technologies Corp.	Common Shares	Potok, Fred	5	2023-11-03	37		505,824	-4,552,411
VSBLTY Groupe Technologies Corp.	Options	Potok, Fred	5	2023-11-03	37		117,500	-1,057,500
VSBLTY Groupe Technologies Corp.	Warrants	Potok, Fred	5	2023-11-03	37		19,400	-174,600
VSBLTY Groupe Technologies Corp.	Common Shares	Rosanio, Linda	5	2023-11-03	37		51,133	-460,200
VSBLTY Groupe Technologies Corp.	Options	Rosanio, Linda	5	2023-11-03	37		242,500	-2,182,500
VSBLTY Groupe Technologies Corp.	Warrants	Rosanio, Linda	5	2023-11-03	37		34,466	-310,200
VSBLTY Groupe Technologies Corp.	Common Shares	Roth, David	4	2023-11-03	37		1,667	-14,999
VSBLTY Groupe Technologies Corp.	Options	Roth, David	4	2023-11-03	37		37,500	-337,500
VSBLTY Groupe Technologies Corp.	Warrants	Roth, David	4	2023-11-03	37		1,667	-14,999
VSBLTY Groupe Technologies Corp.	Common Shares	Shahidi, Amin	4	2023-11-03	37		8,307	-74,758
VSBLTY Groupe Technologies Corp.	Options	Shahidi, Amin	4	2023-11-03	37		42,500	-382,500

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
VSBLTY Groupe Technologies Corp.	Warrants	Shahidi, Amin	4	2023-11-03	37		6,507	-58,558
VSBLTY Groupe Technologies Corp.	Common Shares	Talamo, Jan	5	2023-11-03	37		44,255	-398,293
VSBLTY Groupe Technologies Corp.	Options	Talamo, Jan	5	2023-11-03	37		127,399	-1,146,595
Waskahigan Oil & Gas Corp.	Common Shares	Leia, Gregory	4	2023-11-01	10		2,005,100	7,000
Waste Connections, Inc. (formerly Progressive Waste Solutions Ltd.)	Common Shares	Cloninger, Robert Michael	5	2023-11-01	10	129.92	14,961	-283
Waste Connections, Inc. (formerly Progressive Waste Solutions Ltd.)	Common Shares	Cloninger, Robert Michael	5	2023-11-01	10	129.9	13,484	-1,477
Waste Connections, Inc. (formerly Progressive Waste Solutions Ltd.)	Common Shares	Cloninger, Robert Michael	5	2023-11-01	10	129.87	12,299	-1,185
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Common Shares	Hobson, Jason Alan	4, 6, 5	2023-03-21	00			
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Common Shares	Hobson, Jason Alan	4, 6, 5	2023-03-21	00			
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Common Shares	Hobson, Jason Alan	4, 6, 5	2023-11-01	57			500,000
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Common Shares	Hobson, Jason Alan	4, 6, 5	2023-11-01	57			500,000
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Restricted Share Units	Hobson, Jason Alan	4, 6, 5	2023-09-01	50	0.05		1,000,000
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Restricted Share Units	Hobson, Jason Alan	4, 6, 5	2023-09-01	50			500,000
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Restricted Share Units	Hobson, Jason Alan	4, 6, 5	2023-09-01	50		500,000	500,000
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Restricted Share Units	Hobson, Jason Alan	4, 6, 5	2023-11-01	57		0	-500,000
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Subordinate Voting Shares	Hobson, Jason Alan	4, 6, 5	2023-03-21	00			
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Subordinate Voting Shares	Hobson, Jason Alan	4, 6, 5	2023-11-01	57			500,000
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Subordinate Voting Shares	Hobson, Jason Alan	4, 6, 5	2023-11-01	57		500,000	500,000
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Restricted Share Units	Nikzad, David	4, 6, 5	2023-09-01	50	0.05		1,000,000
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Restricted Share Units	Nikzad, David	4, 6, 5	2023-09-01	56		500,000	500,000
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Restricted Share Units	Nikzad, David	4, 6, 5	2023-11-01	57		0	-500,000
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Subordinate Voting Shares	Nikzad, David	4, 6, 5	2023-03-21	00			
Web3 Ventures Inc. (formerly La Jolla Capital Inc.)	Subordinate Voting Shares	Nikzad, David	4, 6, 5	2023-11-01	57		500,000	500,000

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Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Wedgemount Resources Corp.	Common Shares	Vanry, Mark Andrew	4, 5	2023-11-01	10	0.1	7,145,500	5,000
Western Copper and Gold Corporation	Common Shares	Spivak, Elena	5	2023-11-02	57		14,600	4,067
Western Copper and Gold Corporation	Rights	Spivak, Elena	5	2023-11-02	57		17,167	-4,067
Western Star Resources Inc.	Common Shares	Morgan, Blake	5	2023-10-30	10	0.115	2,030,500	5,500
Western Star Resources Inc.	Common Shares	Morgan, Blake	5	2023-10-30	10	0.11	2,040,500	10,000
Western Uranium & Vanadium Corp. (formerly Western Uranium Corporation)	Common Shares	Murphy, Bryan James	4	2023-10-26	51	1	31,763	18,246
Western Uranium & Vanadium Corp. (formerly Western Uranium Corporation)	Options Employee	Murphy, Bryan James	4	2023-10-26	51		875,000	-50,000
WestKam Gold Corp.	Common Shares	Laipnieks, Peter John	4	2023-11-03	10	0.065	325,000	8,000
Westward Gold Inc.	Common Shares	Nelson, Andrew	5	2023-10-30	10	0.09	4,435,000	10,000
Westward Gold Inc.	Common Shares	Nelson, Andrew	5	2023-11-01	10	0.095	4,455,000	20,000
Westward Gold Inc.	Common Shares	Nelson, Andrew	5	2023-11-02	10	0.093	4,500,000	45,000
Whitecap Resources Inc.	Common Shares	Armstrong, Joel Maxwell	5	2023-10-30	10	10.6	344,042	10,000
Whitecap Resources Inc.	Performance Awards	Armstrong, Joel Maxwell	5	2023-11-01	59		207,287	-66,333
Whitecap Resources Inc.	Rights Time Based Awards	Case, Mary-Jo	4	2023-11-01	59		30,284	-5,554
Whitecap Resources Inc.	Performance Awards	Dunlop, Darin Roy	5	2023-11-01	59		207,287	-66,333
Whitecap Resources Inc.	Common Shares	Fagerheim, Grant Bradley	4, 5	2023-10-30	10	10.72	2,836,475	15,000
Whitecap Resources Inc.	Performance Awards	Fagerheim, Grant Bradley	4, 5	2023-11-01	59		716,695	-208,188
Whitecap Resources Inc.	Rights Time Based Awards	Gilbert, Daryl Harvey	4	2023-11-01	59		30,284	-8,887
Whitecap Resources Inc.	Rights Time Based Awards	Henry, Chandra	4	2023-11-01	59		24,007	-2,416
Whitecap Resources Inc.	Common Shares	Kang, Thanh Chan	5	2023-10-30	10	10.6	352,407	10,000
Whitecap Resources Inc.	Performance Awards	Kang, Thanh Chan	5	2023-11-01	59		346,051	-99,334
Whitecap Resources Inc.	Rights Time Based Awards	McNamara, Glenn	4	2023-11-01	59		30,284	-8,887
Whitecap Resources Inc.	Common Shares	Mombourquette, David Michael	5	2023-10-30	10	10.57	832,560	10,000
Whitecap Resources Inc.	Performance Awards	Mombourquette, David Michael	5	2023-11-01	59		207,287	-66,333
Whitecap Resources Inc.	Rights Time Based Awards	Nikiforuk, Stephen Curtis	4	2023-11-01	59		30,284	-8,887
Whitecap Resources Inc.	Rights Time Based Awards	Stickland, Kenneth	4	2023-11-01	59		30,284	-8,887
Whitecap Resources Inc.	Rights Time Based Awards	Wall, Bradley John	4	2023-11-01	59		30,284	-8,887
Whitecap Resources Inc.	Common Shares	Whitecap Resources Inc.	1	2023-10-31	38	10.69	5,536,300	5,536,300
Whitecap Resources Inc.	Common Shares	Whitecap Resources Inc.	1	2023-10-31	38	10.69	0	-5,536,300
Whitecap Resources Inc.	Rights Time Based Awards	Zawalsky, Grant A.	4	2023-11-01	59		30,284	-8,887
WonderFi Technologies Inc.	Common Shares no par value	Wekerle, Michael A.	4	2023-10-25	10	0.135	2,439,918	100,000
WonderFi Technologies Inc.	Common Shares no par value	Wekerle, Michael A.	4	2023-10-26	10	0.135	2,539,918	100,000
Xtra-Gold Resources Corp.	Common Shares	Xtra-Gold Resources Corp.	1	2023-10-30	38	0.94	3,000	3,000
Xtra-Gold Resources Corp.	Common Shares	Xtra-Gold Resources Corp.	1	2023-10-30	38		0	-3,000

B.7: Insider Reporting

Issuer Name	Security	Insider Name	Rel'n	Transaction Date	T/O	Unit Price	Date/Month End Holdings	Acquired/ Disposed
Xtra-Gold Resources Corp.	Common Shares	Xtra-Gold Resources Corp.	1	2023-10-31	38	0.93	3,000	3,000
Xtra-Gold Resources Corp.	Common Shares	Xtra-Gold Resources Corp.	1	2023-10-31	38		0	-3,000
Yellow Pages Limited	Common Shares	GoldenTree Asset Management LP	3	2023-11-01	10	11.55	109,300	-800
Zenith Capital Corp.	Units Restricted Share Units	McNeill, Kelly Bret	4	2023-10-31	46		749,319	88,021
Zenith Capital Corp.	Units Restricted Share Units	Thompson, Bradley	4	2023-10-31	46		204,768	73,622
Zenith Capital Corp.	Units Restricted Share Units	WONG, NORMAN C.W.	4	2023-10-31	46		410,581	53,823
Zenith Capital Corp.	Units Restricted Share Units	Zuerblis, Kenneth	4	2023-10-31	46		551,364	84,235
ZeU Technologies, Inc.	Common Shares	St-Georges Eco-Mining Corp.	3	2023-10-30	10	0.01	11,423,519	-98,000
Zonte Metals Inc.	Common Shares	Christopher, Kevin Terry	4	2023-11-02	10	0.045	2,391,000	50,000

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B.9 IPOs, New Issues and Secondary Financings

INVESTMENT FUNDS

Issuer Name:

Tangerine Balanced ETF Portfolio
Tangerine Balanced Growth ETF Portfolio
Tangerine Balanced Growth Portfolio
Tangerine Balanced Growth SRI Portfolio
Tangerine Balanced Income ETF Portfolio
Tangerine Balanced Income Portfolio
Tangerine Balanced Income SRI Portfolio
Tangerine Balanced Portfolio
Tangerine Balanced SRI Portfolio
Tangerine Dividend Portfolio
Tangerine Equity Growth ETF Portfolio
Tangerine Equity Growth Portfolio
Tangerine Equity Growth SRI Portfolio
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated Oct 30, 2023
NP 11-202 Final Receipt dated Nov 1, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Filing #06027036

Issuer Name:

GuardBonds TM 1-3 Year Laddered Investment Grade Bond Fund
GuardBonds TM 2024 Investment Grade Bond Fund
GuardBonds TM 2025 Investment Grade Bond Fund
GuardBonds TM 2026 Investment Grade Bond Fund
GuardBonds TM 2027 Investment Grade Bond Fund
Principal Regulator – Ontario

Type and Date:

Preliminary Simplified Prospectus dated Nov 2, 2023
NP 11-202 Preliminary Receipt dated Nov 1, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Filing #06041614

Issuer Name:

Mackenzie All-Equity Allocation ETF
Mackenzie Canadian Government Long Bond Index ETF
Mackenzie Canadian Ultra Short Bond Index ETF
Mackenzie US Government Long Bond Index ETF
Principal Regulator – Ontario

Type and Date:

Final Long Form Prospectus dated Oct 31, 2023
NP 11-202 Final Receipt dated Nov 2, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Filing #06025912

Issuer Name:

Embark Select Conservative Plan
Principal Regulator – Ontario

Type and Date:

Final Long Form Prospectus dated Oct 18, 2023
NP 11-202 Final Receipt dated Oct 31, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Filing #06028408

Issuer Name:

2028 Investment Grade Bond Trust
Principal Regulator – Ontario

Type and Date:

Preliminary Long Form Prospectus dated Nov 2, 2023
NP 11-202 Preliminary Receipt dated Nov 2, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Filing #06041851

Issuer Name:

DAMI Corporate Bond Fund
Principal Regulator – Ontario

Type and Date:

Final Simplified Prospectus dated Oct 27, 2023
NP 11-202 Final Receipt dated Oct 31, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Filing #06027054

Issuer Name:

Arrow EC Equity Advantage Alternative Fund
Principal Regulator – Ontario

Type and Date:

Preliminary Simplified Prospectus dated Nov 3, 2023
NP 11-202 Preliminary Receipt dated Nov 3, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Filing #06042872

Issuer Name:

Horizons Robotics & AI Index ETF
Principal Regulator – Ontario

Type and Date:

Amendment #1 to Final Long Form Prospectus dated
October 30, 2023
NP 11-202 Final Receipt dated Nov 1, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Filing #03557340

Issuer Name:

Russell Investments Global Infrastructure Class
Russell Investments Global Infrastructure Pool
Russell Investments Money Market Pool
Russell Investments Real Assets
Principal Regulator – Ontario

Type and Date:

Amendment #2 to Final Simplified Prospectus dated
October 24, 2023
NP 11-202 Final Receipt dated Nov 2, 2023

Offering Price and Description:

-

Underwriter(s) or Distributor(s):

-

Promoter(s):

-

Filing #03549726

NON-INVESTMENT FUNDS

Issuer Name:

Bayridge Resources Corp.
Principal Regulator – British Columbia

Type and Date:

Amended and Restated
Preliminary Long Form Prospectus dated Oct 30, 2023
NP 11-202 Amendment to Preliminary Receipt dated Oct 30, 2023

Offering Price and Description:

1,841,750 Common Shares on Exercise of 1,841,750
Outstanding Special Warrants

Filing# 06004534

Issuer Name:

BGX - BLACK GOLD EXPLORATION CORP.
Principal Regulator – British Columbia

Type and Date:

Amended and Restated Preliminary Long Form Prospectus dated Oct 27, 2023
NP 11-202 Amendment to Preliminary Receipt dated Oct 30, 2023

Offering Price and Description:

90,627 Common Shares on Exercise of 90,627
Outstanding Special Warrants

Filing# 06005260

Issuer Name:

Cenovus Energy Inc.
Principal Regulator – Alberta

Type and Date:

Final Short Form Base Shelf Prospectus dated Nov 3, 2023
NP 11-202 Final Receipt dated Nov 3, 2023

Offering Price and Description:

Debt, Common Shares, Preferred Shares, Subscription Receipts, Warrants, Share Purchase Contracts, Units

Filing# 06042843

Issuer Name:

Coelacanth Energy Inc.
Principal Regulator – Alberta

Type and Date:

Final Short Form Prospectus dated Nov 3, 2023
NP 11-202 Final Receipt dated Nov 3, 2023

Offering Price and Description:

\$80,000,000.00
100,000,000 Units
\$0.80 per Unit

Filing# 06039508

Issuer Name:

Crescent Point Energy Corp.
Principal Regulator – Alberta

Type and Date:

Final Short Form Base Shelf Prospectus dated Nov 3, 2023
NP 11-202 Final Receipt dated Nov 3, 2023

Offering Price and Description:

Common Shares, Warrants, Subscription Receipts, Debt Securities

Filing# 06042856

Issuer Name:

FendX Technologies Inc.
Principal Regulator – Ontario

Type and Date:

Final Short Form Base Shelf dated Oct 31, 2023
NP 11-202 Final Receipt dated Nov 1, 2023

Offering Price and Description:

\$25,000,000.00
Common Shares, Preferred Shares, Warrants, Subscription Receipts, Units, Debt Securities

Filing# 06004543

Issuer Name:

Generation Mining Limited
Principal Regulator – Ontario

Type and Date:

Preliminary Short Form Prospectus dated Nov 2, 2023
NP 11-202 Preliminary Receipt dated Nov 3, 2023

Offering Price and Description:

\$15,097,200.00
42,858,000 Units
9,678,000 Flow-Through Units

Filing# 06039851

Issuer Name:

Genesis Trust II
Principal Regulator – Ontario

Type and Date:

Final Short Form Base Shelf Prospectus dated Nov 2, 2023
NP 11-202 Final Receipt dated Nov 2, 2023

Offering Price and Description:

Up to \$7,000,000,000.00 Real Estate Secured Line of Credit Backed Notes

Filing# 06038967

Issuer Name:

Global Atomic Corporation
Principal Regulator – Ontario

Type and Date:

Preliminary Shelf Prospectus dated Nov 3, 2023
NP 11-202 Preliminary Receipt dated Nov 3, 2023

Offering Price and Description:

\$350,000,000.00
Common Shares, Warrants, Subscription Receipts, Units, Debt Securities

Filing# 060428863

Issuer Name:

InterRent Real Estate Investment Trust
Principal Regulator – Ontario

Type and Date:

Final Short Form Base Shelf Prospectus dated Oct 31, 2023
NP 11-202 Final Receipt dated Nov 2, 2023

Offering Price and Description:

Units, Subscription Receipts

Filing# 06041174

Issuer Name:

Jo-Jo Capital Canada Ltd.
Principal Regulator – Ontario

Type and Date:

Amended and Restated CPC Prospectus dated Oct 31, 2023
NP 11-202 Subsequent Amendment to Final dated Nov 2, 2023

Offering Price and Description:

Minimum Offering: \$200,000.00 or 2,000,000 Common Shares
Maximum Offering: \$800,000.00 or 8,000,000 Common Shares
\$0.10 per Common Share
Filing# 03552842

Issuer Name:

Lithium Americas Corp. (formerly 1397468 B.C. Ltd.)
Principal Regulator – British Columbia

Type and Date:

Preliminary Short Form Base Shelf Prospectus dated Oct 30, 2023
NP 11-202 Preliminary Receipt dated Oct 30, 2023

Offering Price and Description:

US\$750,000,000.00
Common Shares, Preferred Shares, Debt Securities, Subscription Receipts, Warrants, Units
Filing# 06040146

Issuer Name:

Organto Foods Inc.
Principal Regulator – Ontario

Type and Date:

Amended And Restated Preliminary Short Form Base Shelf Prospectus dated Nov 1, 2023
NP 11-202 Amendment to Preliminary Receipt dated Nov 3, 2023

Offering Price and Description:

\$25,000,000.00
Common Shares, Debt Securities, Convertible Securities, Warrants, Subscription Receipts
Filing# 06004257

Issuer Name:

Pro Real Estate Investment Trust
Principal Regulator – Quebec

Type and Date:

Final Short Form Base Shelf Prospectus dated Oct 31, 2023
NP 11-202 Final Receipt dated Oct 31, 2023

Offering Price and Description:

\$250,000,000.00
Trust Units, Debt Securities, Subscription Receipts, Warrants, Units
Filing# 06039032

Issuer Name:

Royal Gold, Inc.
Principal Regulator – Ontario

Type and Date:

Final MJDS Prospectus dated Nov 2, 2023
NP 11-202 Final Receipt dated Nov 3, 2023

Offering Price and Description:

Debt Securities, Preferred Stock, Common Stock, Warrants, Depositary Shares, Purchase Contracts, Units
Filing# 06042194

Issuer Name:

Thinkific Labs Inc.
Principal Regulator – British Columbia

Type and Date:

Preliminary Short Form Base Shelf Prospectus dated Oct 30, 2023
NP 11-202 Preliminary Receipt dated Oct 30, 2023

Offering Price and Description:

\$300,000,000.00
Subordinate Voting Shares, Preferred Shares, Debt Securities, Warrants, Subscription Receipts, Units
Filing# 06040263

Issuer Name:

Troilus Gold Corp.
Principal Regulator – Quebec

Type and Date:

Preliminary short form prospectus dated Nov 3, 2023
NP 11-202 Preliminary Receipt dated Nov 3, 2023

Offering Price and Description:

\$15,008,000.00
28,580,000 Units
7,150,000 Traditional Flow-Through Shares
4,550,000 Québec Flow-Through Shares
Filing# 06040064

Issuer Name:

Western Alaska Minerals Corp. (formerly 1246779 B.C. Ltd.)
Principal Regulator – British Columbia

Type and Date:

Preliminary Short Form Base Shelf Prospectus dated Nov 1, 2023
NP 11-202 Preliminary Receipt dated Nov 3, 2023

Offering Price and Description:

\$75,000,000.00
Subordinate Voting Shares, Proportionate Voting Shares, Preferred Shares, Debt Securities, Warrants, Subscription Receipts, Units, Share Purchase Contracts
Filing# 06043063

B.9: IPOs, New Issues and Secondary Financings

Issuer Name:

Zefiro Methane Corp.
Principal Regulator – British Columbia

Type and Date:

Amended and Restated Preliminary Long Form Prospectus
dated Nov 3, 2023
NP 11-202 Amendment to Preliminary Receipt dated Nov
4, 2023

Offering Price and Description:

Common Shares
Filing# 06005766

Issuer Name:

ZenaTech, Inc.
Principal Regulator – Ontario

Type and Date:

Amendment No. 1 To Preliminary Long Form Prospectus -
dated Nov 1, 2023
NP 11-202 Amendment to Preliminary Receipt dated Nov
1, 2023

Offering Price and Description:

No securities are being offered or sold pursuant to this
Prospectus
Filing# 06005267

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B.10 Registrations

B.10.1 Registrants

Type	Company	Category of Registration	Effective Date
Amalgamation	Research Capital Corporation and M Partners Inc. To Form: Research Capital Corporation	Investment Fund Manager Investment Dealer	August 1, 2023
Suspended (Regulatory Action)	SPOTLIGHT CAPITAL CORP.	Exempt Market Dealer	February 16, 2023

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