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# Phylogenetics and diversification history of African rattans (Calamoideae, Ancistrophyllinae)

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Even though African rain forests display high levels of local species diversity and endemism, their lower continental species diversity when compared with the Neotropics and Asia is paradoxical. This disparity is mainly thought to be linked to either important extinction events during the Pleistocene or at the Eocene-Oligocene boundary. African rattans or climbing palms are one of the most diverse clades of palms in Africa, representing one-third of all known species. Here, we reconstruct the phylogeny and temporal evolution of African rattans (Arecaceae: Calamoideae: Lepidocaryeae: Ancistrophyllinae) to test the two main hypotheses of palm evolution in Africa. We constructed a near-complete, dated species-level phylogenetic tree for subtribe Ancistrophyllinae using plastid and nuclear markers. The generic relationships between Ancistrophyllinae were fully resolved and species-level relationships are well to weakly supported. Ancistrophyllinae diversified during the Eocene with most species originating during the late Miocene after 10 Mya. This result is in agreement with several other studies suggesting a pre-Pleistocene origin of the extant African flora. Ancistrophyllinae display an anti-sigmoidal lineage-through-time plot with a moderate overall extinction fraction. Our simulations suggest important roles for an ancient extinction event at the Oligocene-Eocene boundary. In contrast, the hypothesis of an important extinction event in palms during the late Pliocene at 3 Mya is not supported. We suggest that the evolutionary history of African rattans has undergone a constant diversification rate punctuated by one or several important extinction events during the first part of the Cenozoic with most species diversity accumulating during the late Miocene and Pliocene. © 2016 The Linnean Society of London, Botanical Journal of the Linnean Society, 2016, 182, 256-271

ADDITIONAL KEYWORDS: LTT plots – molecular dating – palms – phylogenetic – simulations.

## INTRODUCTION

With 21 currently recognized species, the endemic African rattan genera (Calamoideae: Lepidocaryeae: Ancistrophyllinae) account for one-third of all African palm species diversity (Stauffer, Ouattara & Stork, 2014). *Eremospatha* (G.Mann & H.Wendl.) Schaedtler (11 species), *Laccosperma* (G. Mann & H. Wendl.) Drude (six species) and *Oncocalamus* (G. Mann & H. Wendl.) H.Wendl. (four species) are mainly distributed in Central and West African lowland rain forests (Sunderland, 2012). Morphological and molecular studies have clearly shown that these three genera form a monophyletic group (Baker, Dransfield & Hedderson, 2000a; Baker, Hedderson & Dransfield, 2000b; Asmussen *et al.*, 2006; Baker *et al.*, 2009; Faye *et al.*, 2014) and they all share a set of unique morphological characters (Baker *et al.*, 2000a) such as the presence of a whip-like extension of the rachis (called a cirrus), generally bearing numerous modified hook-like leaflets termed acanthophylls, and inflorescences that are not adnate to

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either the stem internode or leaf sheath. Beside these common characters, each genus is easily distinguished by morphological and reproductive characters, such as the presence or absence of spines on the leaf sheaths, spines being flat or rounded and hapaxanthic versus pleonanthic reproductive systems (Sunderland, 2012; Faye *et al.*, 2014). Despite these differences their phylogenetic relationships at generic and species levels remain poorly understood (Baker *et al.*, 2000a,b, 2009; Asmussen *et al.*, 2006; Faye *et al.*, 2014). In the most comprehensive study to date based on four plastid markers with 80% of species sampled (Faye *et al.*, 2014), the relationships between the genera could not be resolved.

Even though some African rain forests contain high levels of alpha diversity and endemism at local levels (e.g. Gentry, 1993; Kenfack et al., 2007) tropical Africa has been labelled as the 'odd man out' in terms of continental species diversity (Richards, 1973). Numerous hypotheses have been suggested to explain this pattern of lower species diversity in Africa (reviewed by Couvreur, 2015) ranging from the impact of current and past climate, alternative diversification/extinction rates between regions, alternative human impacts or more recently the role of the African megafauna such as elephants (Terborgh et al., 2016) or the extension of C4 savannas across Africa (Linder, 2014). Palms are a characteristic tropical rain forest plant family and have been proposed as a model group for studying the evolution of tropical rain forests (Couvreur & Baker, 2013). They provide an ideal example of species richness discrepancies between the major continental rain forest blocks (Dransfield, 1988) reflecting the 'odd man out pattern'. There are ~1200 species of palms in tropical Asia (India to the Solomon Islands), 730 in the Americas, ~200 in Madagascar and only 65 in Africa (Dransfield et al., 2008; Rakotoarinivo et al., 2014). Because regional species diversity is mainly the result of historical events linked to speciation and extinction rates (Ricklefs, 2005), one of the main hypotheses advanced to explain this lower species diversity is that the African flora underwent higher extinction rates when compared with other regions during the Cenozoic (Axelrod & Raven, 1978; Richards, 1996; Morley, 2000; Couvreur, 2015). This explanation has also been advanced by several authors for the African palms, but at contrasting periods (Moore, 1973; Dransfield, 1988; Baker & Dransfield, 2000; Pan et al., 2005; Blach-Overgaard et al., 2010, 2013, 2015; Kissling et al., 2012a). For palms, two major periods during the Cenozoic have been suggested as important in explaining present day lower diversity across Africa: 1. Ancient extinction event: the Eocene-Oligocene boundary at 33 Mya represents a drastic and sudden decline in global temperatures (Zachos et al., 2001) and is generally referred to as the 'big chill' (Morley, 2000). This event is thought to be responsible for the extinction of numerous plant groups and palms in particular (Salard-Cheboldaeff, 1990; Morley, 2000). The analyses of the fossil flora of Chilga in Ethiopia showed that the Eocene-Oligocene boundary was possibly responsible for a major decrease in the number of African palm morphospecies (Pan et al., 2005) and was suggested as an important reason for explaining the lower diversity of palms in Africa. 2. Recent extinction event: the late Pliocene/early Pleistocene (3.5-1.6 Mya) was a period of pronounced drying and cooling phases in Africa, the most important one occurring around 3 Mya (Morley, 2000). The fossil record documents widespread grass-dominated ecosystems at that time (Jacobs, Kingston & Jacobs, 1999). This increased continental aridity was partly the result of major uplifts of several parts of the East African Rift System during the late Pliocene leading to a change in atmospheric circulation (Sepulchre et al., 2006). Numerous extinction events are documented in the fossil record at that time, including some for palms (Morley, 2000). Moore (1973) suggested that these climatic events were the main reason for the lower African palm diversity. Kissling et al. (2012b), based on a global analysis of palm phylogenetic structure, found a random phylogenetic structure for African palms and suggested that this was the result of important Quaternary climatic oscillations preventing the diversification of rain forest lineages in palms.

Even though estimating extinction and speciation rates from molecular phylogenetic trees is challenging (Rabosky, 2010; Morlon, 2014; Beaulieu & O'Meara, 2015), understanding how species have diversified through time can provide important insights into how the African palm flora has assembled (Linder, 2001). To date no species-level, dated phylogenetic tree for African palms has been published, hampering our understanding of the origin of palm diversity in Africa. The family-wide, dated phylogenetic tree for palms (Baker & Couvreur, 2013a) estimated that Ancistrophyllinae originated at the end of the Cretaceous period (70 Mya, stem node), with the three genera originating during the Eocene period (47-31 Mya). Thus the evolutionary history of this clade spans a long period ideal to test the above hypotheses. The present paper aims: (1) to clarify the phylogenetic relations in Ancistrophyllinae based on a broad sampling of taxa and molecular markers; and (2) to test if present day species diversity in Ancistrophyllinae is the result of ancient or more recent extinction events.

## MATERIAL AND METHODS

#### Species data

This study combined published plastid sequence data (Faye *et al.*, 2014) with newly sequenced plastid and nuclear sequence data. Sequence data for both plastid and nuclear markers were produced for 28 individuals representing 18 species (Table 1) (out of the currently recognized 21 species) (Sunderland, 2012). *Laccosperma laeve* (G. Mann & H. Wendl.) Kuntze is newly sequenced here. For three species [*L. secundiflorum* (P.Beauv.) Kuntze, *L. opacum* Drude and *L. robustum* (Burret) J.Dransf.], two individuals were sequenced from different geographical regions because these species are widespread and are morphologically variable. Six outgroup species belonging to different subtribes of Calamoideae following Faye *et al.* (2014) were also included.

#### DNA EXTRACTION, AMPLIFICATION AND SEQUENCING

Total genomic DNA was extracted following the same protocol as in Faye *et al.* (2014). Plastid DNA

markers [psbA-trnH (Al-Qurainy et al., 2011), psbZtrnFM, atpI-atpH and rps3-rpl16 (Scarcelli et al., 2011)] were sequenced for the additional taxa included in this study using Sanger sequencing following Faye et al. (2014). To sequence as many nuclear markers as possible we followed the targeted amplicon sequencing (TAS) approach (Bybee et al., 2011). We targeted five common nuclear markers used in palm phylogenetics: PRK (Lewis & Doyle, 2002), RPB2 (Roncal et al., 2005), WRKY7 (Mauro-Herrera et al., 2006; Meerow et al., 2009), malate synthase (Lewis & Doyle, 2001) and AGA-MOUS 1 (Ludeña et al., 2011). All nuclear and plastid markers used for PCR are available in the Table 2. The TAS approach (see Data S1) combined two PCR steps before sequencing (Bybee et al., 2011). Samples for this study were sent to MWG for sequencing using the 454 GS FLX+ chemistry. In total 145 sequences were newly generated in this study (all nuclear markers and plastid markers for L. laeve).

**Table 1.** List of all species used in this study with species names, voucher specimens, and countries (RBGK = Royal Botanic Gardens, Kew)

Species	Collector	Number	Country
Calamus aruensis	Dransfield, J.D.	7571	Indonesia
Eremospatha barendii	Couvreur, T.L.P.	409	Cameroon
Eremospatha cabrae	Harris, D.J.	9547	Republic of Congo
Eremospatha cuspidata	Bruneau, A.	1071	Cameroon
Eremospatha dransfieldii	Ouattara & Stauffer	S-D 7	Ghana
Eremospatha haullevilleana	Harris, D.J.	9623	Republic of Congo
Eremospatha hookeri	Baker, W.J.	1364	Cultivated (RBGK)
Eremospatha laurentii	Valkenburg, J.L.C.H. van	2991	Gabon
Eremospatha macrocarpa	Couvreur, T.L.P.	399	Cameroon
Eremospatha wendlandiana	Couvreur, T.L.P.	382	Cameroon
Eugeissona tristis	Baker, W.J.	501	Malaysia
Laccosperma acutiflorum	Couvreur, T.L.P.	375	Cameroon
Laccosperma korupensis	Couvreur, T.L.P.	394	Cameroon
Laccosperma laeve	Valkenburg, J.L.C.H. van	2629	Gabon
Laccosperma opacum1	Couvreur, T.L.P.	403	Cameroon
Laccosperma opacum2	Ouattara, D.	15	Ghana
Laccosperma robustum1	Couvreur, T.L.P.	368	Cameroon
Laccosperma robustum2	Wieringa, J.J.	5166	Gabon
Laccosperma secundiflorum1	Couvreur, T.L.P.	369	Cameroon
Laccosperma secundiflorum2	Ouattara & Stauffer	S-D 12	Ghana
Lepidocaryum tenue	?	?	Peru
Mauritia flexuosa	Couvreur, T.L.P.	194	Bolivia
Mauritiella armata	Couvreur, T.L.P.	257	Bolivia
Metroxylon salomonense	Zona, S.	651	Solomon Islands
Oncocalamus macrospathus	Valkenburg, J.L.C.H. van	2628	Gabon
Oncocalamus mannii	Dransfield, J.D.	7007	Cameroon
Oncocalamus tuleyi	Sunderland, T.C.H.	1759	Cameroon
Raphia hookeri	Ouattara & Stauffer	3	Ghana
Raphia palma-pinus	Ouattara & Stauffer	14	Ghana

Markers	Primers	5' Sequence 3'	Annealing temperature
Plastid	psbA (F)	GTTATGCATGAACGTAATGCTC	60
	trnH (R)	CGCGCATGGTGGATTCACAAATC	
	psbZ (F)	GGTACMTACTTATTGAAT	58
	TrnfM (R)	GCGGAGTAGAGCAGTTTG	
	Rps3 (F)	TTCGGCTTTCGTCTCGGTAGG	60
	Rpl16 (R)	AACTCACACCATCCATTTCAA	
	atpI (F)	TAT TTA CAA GYG GTA TTC AAG CT	58
	atpH (R)	CCA AYC CAG CAG CAA TAA C	
Nuclear	WRKY 7 (F)	AAGGAACTCTGCTTCTTCTCA	55
	WRKY 7 (R)	GGATCATGTGATGCCCTCTCTA	
	PRK (F)	GTGATATGGAAGAACGTGG	55
	PRK (R)	ATTCCAGGGTATGAGCAGC	
	RPB2 (F)	CAACTTATTGAGTGCATCATGG	55
	RPB2 (R)	CCACGCATCTGATATCCAC	
	AGAMOUS 1 (F)	CAGGAATTTGATGGGAGAGTC	64
	AGAMOUS 1 (R)	GCTGATTGCTTTGCATGAG	
	Malate synthase (F)	TCTATCTCCCCAAGATGGAGCA	53-62
	Malate synthase (R)	CATTGGCTGCCGGATTAT	

Table 2. List of the plastid and nuclear primers used for PCR amplifications in this study

#### NGS BIOINFORMATICS

Initial quality control, adapter removal and demultiplexing (mismatch of 0) of all reads generated by 454 Sequencing were undertaken directly by Eurofins MWG. We used the PRGmatic pipeline (Hird, Brumfield & Carstens, 2011) to undertake our analyses. PRGmatic generates a high quality pseudo reference genome based on the data, to which all reads are then aligned. In the first step, all high percentage identity reads for each individual are clustered together to form an allele. Pairs of alleles are then clustered under a lower identity percentage into contigs or loci. These loci are used to generate the pseudo reference genome to which all the reads are aligned.

We ran PRGmatic independently on all the reads for each barcoded individual after demultiplexing. The following parameters were set differently from default values: minimum number of reads to call high confidence alleles = 4; and minimum coverage for calling consensus sequence in an individual = 4. Once the individual genotypes were determined for each species we conducted additional checks to eliminate paralogues. First, for each identified locus per individual. we undertook a BLASTn search (Altschul et al., 1990) against all sequences on GenBank. Loci that were identified as belonging to one of the target markers were kept, the others were removed from the dataset. Second, we separated targeted regions from unknown paralogues. Prior to this study, Sanger sequenced references for all markers (except WRKY7) and for one or two species of Ancistrophyllinae were available in GenBank. Thus, if several loci blasted to the same marker (e.g. PRK), we ran neighbour-joining analyses in Geneious to separate them using the GenBank sequence as a correct reference.

#### Phylogenetic Analyses

For each individual nuclear marker dataset we undertook preliminary phylogenetic analyses using RAxML (see below) to identify potentially conflicting Incongruence between markers was markers. detected as different relationships with significant bootstrap support (>90%). Phylogenies were inferred using all data (plastid and nuclear: nine markers in total, see Table 2) under maximum parsimony (MP) and then maximum likelihood (ML). All MP analyses were performed using PAUP\* (Swofford, 2002). One hundred replicates of random stepwise addition with subsequent tree-bisection-reconnection (TBR) branch swapping were carried out. A strict consensus tree was calculated from the resulting sample of the most parsimonious trees. MP bootstrap analysis (Felsenstein, 1985) was performed with 1000 replicates. For each bootstrapped dataset, a single random addition tree was constructed and swapped with TBR, keeping a maximum of five trees in memory. All ML analyses were carried out with RAxML v.7.2.6 (Stamatakis, 2006; Stamatakis, Hoover & Rougemont, 2008) accessed through the CIPRES Portal 2.1 (Miller et al., 2009)(www.phylo.org). Phylogenetic tree inference using ML/rapid bootstrapping on XSEDE was used with default parameters (GTR +  $\Gamma$ model sequence evolution). One hundred bootstrap replicates were performed for ML phylogeny inference using the rapid bootstrap algorithm (Stamatakis *et al.*, 2008) associated with a 'ML search' and 'estimate proportion of invariable sites'.

#### BAYESIAN ANALYSES OF PHYLOGENY

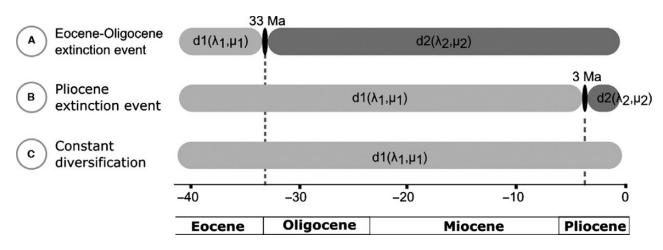
Bayesian estimation of phylogeny was undertaken using BEAST v.1.8.1 under a relaxed molecular clock with uncorrelated lognormal distribution (Drummond & Rambaut, 2007). This also allowed us to estimate divergence times across lineages by integrating phylogenetic and fossil data. The combined matrix of plastid and nuclear markers was used with six independent partitions (one for each nuclear marker and the combined plastid DNA markers). Separate substitution models were inferred for all data partitions, as determined by jModelTest (Posada, 2008). One fossil calibration and one secondary calibration were used. For the fossil calibration we used the fossil of Eremospatha chilgaensis A.D.Pan, B.F.Jacobs, J.Dransf. & W.J.Baker from the late Oligocene period (27-28 Mya) (Pan et al., 2005). This fossil represents a single thick leaflet with a single basally positioned robust spine and clearly distinct parallel venation. Pan et al. (2005) suggested that this leaflet strongly resembles leaves of Eremospatha 'by having heavily armed, thickened, reduced proximal leaflets with robust spines'. In contrast, other genera of Ancistrophyllinae (Laccosperma and Oncocalamus) are generally characterized by leaflets with nonthickened margins and slender spines (Sunderland, 2012). The authors suggested that this fossil closely E. dransfieldii resembles Sunderl. However, although we agree that this fossil belongs to Eremospatha, we prefer to assign it conservatively to the stem node of the genus. Our dating scheme thus represents minimum age estimations. The secondary calibration point was taken from the palm-wide dated chronogram inferred by Couvreur, Forest & Baker (2011a) to constrain the root node of our tree (crown node of Calamoideae) to 80 Mya. We used two different calibration schemes to estimate the potential impact of the fossil position on the divergence ages: (1) only the secondary calibration; and (2) the combined secondary and fossil calibrations. For the first scheme, the secondary calibration (root) was constrained by a normal prior ranging from 70-100 Mya corresponding broadly to the 95% confidence intervals inferred in Couvreur et al. (2011a). In the second scheme, the root was constrained by a normal prior as above, in addition to an exponential prior set for the fossil of Eremospatha with a mean of 0.5 and offset value of 27. A tree prior speciation birth-death model with incomplete sampling was used based on a ML inferred ultrametric starting tree using r8s (Sanderson, 2003). The analysis was run for 20 million generations and sampled every 1000th generation. Effective sample sizes (ESS values) for all parameters were examined in Tracer v1.6 (Rambaut & Drummond, 2003) to ensure that they were >200. To explore if our data and priors were both in agreement with one another, a 'sample from prior only' analysis was performed. TreeAnnotator was used to calculate posterior distributions of node ages and generate a maximum clad credibility (MCC) tree. Tree representation was performed in FigTree v.1.3.1 (http://tree.bio.ed.ac.uk/software/figtree/).

## Hypothesis testing

We use phylogenetic simulations to test if trees simulated under different extinction hypotheses deviate significantly from the reconstructed phylogenetic trees (Crisp & Cook, 2009; Antonelli & Sanmartin, 2011). In all subsequent analyses, we used the results from calibration scheme 2 (see results). First, analyses were conducted on a sub-selection of 100 trees sampled equally every 2000 trees from our BEAST analyses and the MCC tree. Second, all outgroups and duplicated taxa (see below) were pruned. Third, to take missing taxon sampling into account, we calculated the sampling fraction of 0.81: 18 species sampled out of 22 species in total (including a potential cryptic species from West Africa, see Results). In addition, other undetected cryptic species could be a potential problem with our dataset, thus we repeated all analyses with a sampling fraction of 0.5 (reflecting that we sampled just 50% of the true diversity).

The average speciation  $(\lambda)$  and extinction  $(\mu)$  rates, the extinction fraction  $(\mu/\lambda)$  and the diversification rate  $(\lambda - \mu)$  across the selected 100 posterior trees using the function *birthdeath* implemented in the R package APE were estimated (Paradis, Claude & Strimmer, 2004).

Our hypotheses A and B (Fig. 1, Table 3 for parameters) were tested using simulations by comparing the shape of lineage-through-time (LTT) plots from simulated phylogenies to our observed LTT plot from reconstructed phylogenetic trees. We simulated phylogenies under a constant birth-death rate process using the R function sim.rateshift.taxa of the package TreeSim (Stadler, 2011). This function defines one or several punctual extinction events at a time (t) with a number of lineages surviving the extinction ('frac') and rates of speciation  $(\lambda)$  and extinction ( $\mu$ ) before ( $\lambda_1$  and  $\mu_1$ ) and after this extinction event ( $\lambda_2$  and  $\mu_2$ ). In all cases, two values of 'frac' were tested: 80% of lineages go extinct (frac = 0.2); and 95% of lineages go extinct (frac = 0.05). For  $\lambda_2$  and  $\mu_2$  we used three values representing different hypotheses about how a clade could have reacted to a mass extinction event: 1.



**Figure 1.** The three different evolutionary hypotheses tested in our study. Under hypotheses A and B, the rate of diversification changes from a diversification rate d1 (speciation rate:  $\lambda_1$ , extinction rate:  $\mu_1$ ) to d2 ( $\lambda_2$ ,  $\mu_2$ ) after an extinction event (represented by a black bar) with the fraction of species that go extinct ranging from high (95% of all species go extinct) to moderate (80% of all species go extinct). Under hypothesis C a constant diversification rate is simulated with no rate shifts or mass extinctions. See Table 3 for parameters used.

**Table 3.** Diversification parameters used in this study.  $\lambda_1$  and  $\lambda_2$  refer to the speciation rate before and after the extinction event (EE), respectively, and  $\mu_1$  and  $\mu_2$  correspond to extinction rate before and after the extinction event, respectively

Hypotheses	Sub-hypotheses	Parameters before EE		Parameters after EE		
		$\lambda_1$	μ1	$\lambda_2$	$\mu_2$	Extinction fraction (%)
A (33 Mya)	a	0.1	0.03	0.1	0.03	95
	b	0.1	0.03	0.2	0.03	95
	с	0.1	0.03	0.1	0.06	95
	d	0.1	0.03	0.1	0.03	80
	e	0.1	0.03	0.2	0.03	80
	f	0.1	0.03	0.1	0.06	80
B (3 Mya)	a	0.1	0.03	0.1	0.03	95
	b	0.1	0.03	0.2	0.03	95
	с	0.1	0.03	0.1	0.08	95
	d	0.1	0.03	0.1	0.03	80
	e	0.1	0.03	0.2	0.03	80
	f	0.1	0.03	0.1	0.08	80
C (constant)	a	0.1	0.05			N/A

Speciation rates increased as more ecological opportunities became available: speciation rate changed from  $\lambda_1 = 0.1$  to  $\lambda_2 = 0.2$ ; extinction rate unchanged  $\mu_2 = \mu_1 = 0.03$ . 2. Extinction rates increased as the clade did not recover after the mass extinction: speciation rate remains unchanged at  $\lambda_1 = \lambda_2 = 0.1$  and extinction rate increases from  $\mu_1 = 0.03$  to  $\mu_2 = 0.05$ . 3. No change in rates of diversification occurred after the extinction episode ( $\mu_2 = \mu_1 = 0.03$  and  $\lambda_1 = \lambda_2 = 0.1$ ). The function also takes incomplete

taxon sampling into account by defining a fraction of extant species sampled (here 0.86 or 0.5, see above).

For each of the hypotheses 1000 phylogenies were simulated. Parameters for each simulation are given in Table 3: (A) the 'ancient event' hypothesis with an extinction event at the Eocene–Oligocene boundary at 33 Mya; (B) the 'recent extinction event' hypothesis with an extinction event during the late Pliocene–early Pleistocene at 3 Mya; and (C) null hypothesis of constant diversification rates: we simulated a constant diversification using the R function sim.bd.taxa.

The root age of all simulated phylogenies were constrained to the age of the root of our empirical phylogenetic tree by subtracting branching time values to the empirical phylogenetic tree root age. LTT plots were then generated for the empirical and the simulated phylogenetic trees. To compare the empirical reconstructed and simulated phylogenetic trees we used the APE R function *ltt.plot.coords* to extract the LTT plot coordinates by transforming each simulated phylogeny into a two-column matrix (column 1 = times and column 2 = number of lineages). The time and the number of lineages were then normalized. Using the 1000 matrices obtained after 1000 simulations we calculated the 95% confidence interval (CI) for each simulation. For each of the simulated phylogenies, we applied the same method as above by extracting time and number of lineage values before normalization.

To compare the empirical and simulated LTT plots we followed the methodology of Paradis (2015) in which the proportion of empirical points falling within the 95% confidence interval (CI) of the simulated LTT plots are counted and averaged. The higher the proportion of empirical points falling within the 95% CI of the simulated LTT plots, the higher the similarity between the empirical and simulated LTT plots. The 100 LTT plots derived from the 100 posterior trees sampled from BEAST were compared with the 95% CI of the 1000 simulated trees under each hypothesis. We thus report an average percentage of points (and the standard deviation) per empirical LTT plot found within the 95% CI of each of the simulated LTT plots.

## RESULTS

#### PLASTID DATASET

Among the four plastid markers used, atpI-atpH was the most informative with 38 potentially informative sites (out of a total of 814 bp), and rps3-rpl16 was the least informative with nine potentially informative sites (see Faye *et al.*, 2014). Alignment and concatenation of all plastid markers resulted in a dataset containing 28 sequences and 2888 characters of which 127 are variable. Among the variable characters 65 were potentially parsimony informative and 62 were uninformative.

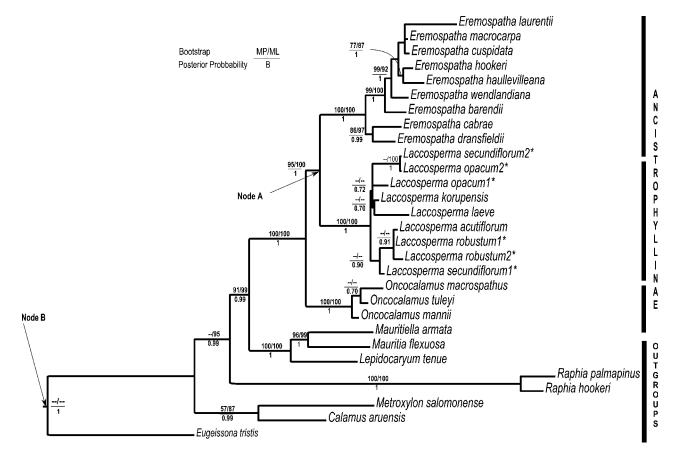
#### 454 SEQUENCING

Two hundred thousand reads were generated from  $\frac{1}{4}$  of a 454 plate, of which 160 000 were correctly identified with a barcode (0 mismatches). Read length

varied between 350-1000 bp with a mean of 635 bp and a median of 574 bp. Reads assigned to individual barcodes varied from 31 to 25 556, underlying a high heterogeneity in sequencing efficiency between barcodes. However, most barcodes had >100 reads. PRGmatic analyses were able to identify most of the markers. Paralogous sequences were found for most markers, even those suggested as being single or double copies. For AGAMOUS 1 and WRKY7, we detected several paralogous sequences and for PRK and RPB2 we detected fewer. Malate synthase was the least problematic of the markers with one or no identifiable paralogues. Sequences that clustered together with the respective reference sequence using neighbour joining were kept for further analyses. This hidden paralogous diversity led to significantly fewer reads per target marker than theoretically calculated before the run. Thus, in some cases, read coverage was low, generally  $5-8\times$ . For some species, some markers were not sequenced, because only the paralogues were sequenced or because they were not enough reads (>3 $\times$  in coverage). GenBank numbers for all sequences are provided in Data S2.

#### PHYLOGENETICS

No well supported conflicts were identified between the five nuclear markers and thus all nine markers including the plastid data were concatenated into a single dataset of 28 taxa comprising 6508 bp with alignment gaps retained. Parsimony analyses of the combined plastid and nuclear dataset yielded parsimonious trees with a consistency index (CI) of 0.85, a retention index (RI) of 0.84 and a re-scaled consistency index (RC) of 0.71. Results of MP and ML analyses were topologically compatible except relationships among Laccosperma spp. Monophyly of Ancistrophyllinae was confirmed with a strong bootstrap support (100%) in ML and MP analyses (Fig. 2). The clade was also resolved as monophyletic in the Bayesian analyses of the combined data with 1.0 posterior probability (PP). The genera Eremospatha, Laccosperma and Oncocalamus were also recovered as monophyletic with a strong support of bootstrap in all analyses. Relationships between the three genera were resolved with strong support values (Fig. 2). Eremospatha and Laccosperma were well supported as sister clades with 95% and 100% of bootstrap in MP and ML, respectively. In Eremospatha, E. dransfieldii and E. cabrae (De Wild. & T.Durand) DeWild. were recovered as sister species with strong support and together they were sister to the rest of the genus, showing a high genetic differentiation. Among the other Eremospatha spp., E. hookeri (G.Mann & H.Wendl.) H.Wendl. and E. haullevilleana De Wild. formed a moderately



**Figure 2.** Maximum likelihood phylogram of subtribe Ancistrophyllinae and the eight outgroup species. Bootstrap values from the maximum parsimony (MP) and maximum likelihood (ML) analyses are indicated above branches (MP/ML). Posterior probabilities from the Bayesian analysis are under the branches. -= bootstrap values <87%; \*= polyphyletic species. Node A represents the fossil calibration used under the molecular dating scheme 1. Node B corresponds to the secondary calibration point used in the molecular dating schemes 1 and 2 (crown node of Calamoideae).

supported group with 77% in MP, 87% in ML and 1.00 PP in the Bayesian analyses and were resolved as sister to the unsupported group *E. cuspidata* (G.Mann & H.Wendl.) H.Wendl., *E. macrocarpa* Schaedtler and *E. laurentii* De Wild. In *Laccosperma*, two groups of four species each were found in the ML analysis, but not in the MP analysis. In *Oncocalamus*, relationships between the three species remain less supported; *O. macrospathus* Burret and *O. tuleyi* Sunderl. form a sister pair, but this is not well supported (MP: 69%, ML: 58%).

#### Age estimation and diversification time

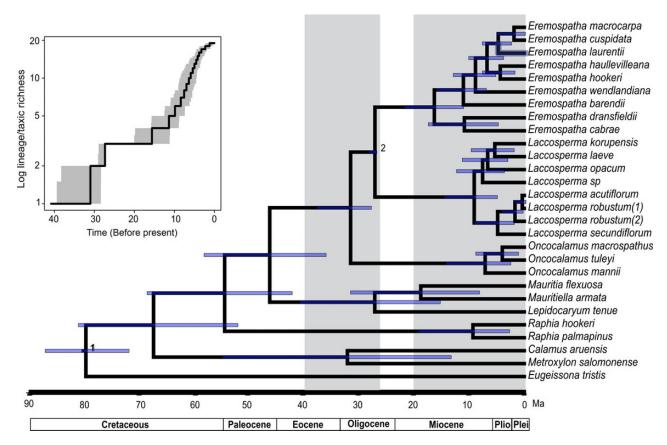
Both calibration schemes returned highly compatible results with slight age differences for some nodes (Table 4). All ESS for all parameters were >200, and the 'sample from prior only' analysis showed that priors had little effect on our data. Even in the first scheme when only using a secondary calibration, the stem node age of *Eremospatha* was estimated to be 25 Mya [95% highest posterior distribution (HPD): 14.3–38.2], nearly identical to the age assigned using the fossil calibration (27 Mya). Under the two calibration schemes, Ancistrophyllinae originated during the Eocene with a stem node inferred at 46.8 Mya (95% HPD: 36-58) for calibration 1 and 44 Mya in calibration 2 (95% HPD: 27-61 Mya) (Fig. 3). The crown node age was estimated to the late Eoceneearly Oligocene 32 Mya (95% HPD: 27-37) for calibration 1 and 30 Mya (95% HPD: 17-44 Mya) for cal-2. Diversification analyses of the ibration phylogenetic tree inferred a moderate level of extinction rate and a low diversification rate within the Ancistrophyllinae (Table 5).

#### LTT PLOTS COMPARISON

Using calibration scheme 2, the empirical LTT plot of the reconstructed phylogeny based on the MCC

**Table 4.** Ages and 95% confidence intervals of the highest posterior distribution (HPD) of selected clades as inferred from relaxed-clock Bayesian dating analyses. Bold values indicate calibration values. Calibration 1 = Root + stem *Eremospatha*, Calibration 2 = Root. ESS, Effective Sample Size

	Calibration 1 Age (Mya) HPD 95%			Calibration 2		ESS
Node			ESS	Age (Mya) HPD 95%		
1. Root Height	79.6365	[71.83, 86.99]	7537	79.4303	[71.42, 87.21]	18001
2. Eremospatha crown	16.612	[11.30, 22.02]	725	15.612	[8.07, 23.78]	540
3. Eremospatha stem	27.4757	[27, 28.43]	14768	25.6543	[14.39, 38.20]	595
4. Laccosperma crown	9.7228	[5.18, 14.78]	575	8.9088	[4.00, 14.28]	525
5. Oncocalamus crown	8.0076	[2.76, 14.51]	1740	7.5292	[2.22, 14.44]	1212
6. Ancistrophyllinae crown	32.3312	[27.98, 37.90]	1987	30.3243	[17.16, 44.08]	627
7. Ancistrophyllinae stem	46.8062	[36.17, 58.25]	744	44.8626	[27.24, 61.36]	707
8. Mauritiinae stem	67.06	[52.13, 81.01]	916	64.8431	[44.40, 83.04]	670



**Figure 3.** Chronogram of subtribe Ancistrophyllinae from the BEAST analysis under calibration scheme 2. 1: position of the fossil of *Eremospatha chilgaensis*, 2: position of the secondary calibration (crown node of Calamoideae). The scale axis shows ages in millions of years. Bars around nodes indicate 95% density intervals of the posterior distribution of node ages.

tree and the mean LTT plot resulting from 100 trees sampled from the posterior distribution showed an anti-sigmoidal curve (Fig. 3). The LTT plots indicate an early increase in diversification from the stem node of Ancistrophyllinae at 46 Mya until 33 Mya. This was followed by a plateau of low or no diversification, which was subsequently replaced by a new phase of increased diversification starting at c. 15 Mya.

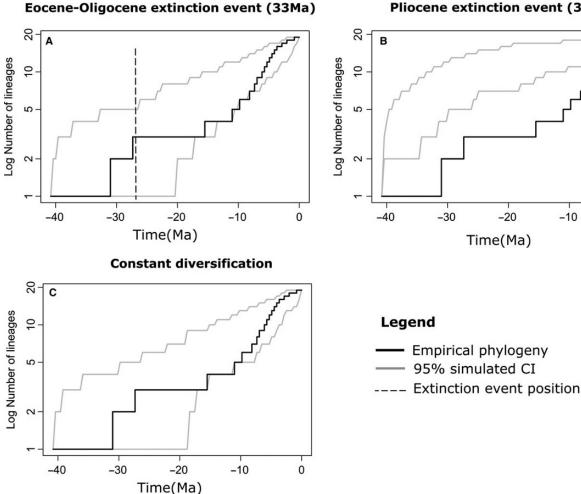
Simulations using two different sampling fractions (0.86 and 0.50), resulted in highly similar results (Table 6). Simulations for hypothesis A provided an average of empirical points between 84.3% and 92.5% falling inside the 95% CI plot suggesting a

strong resemblance between both LTT plots (Fig. 4A). For hypothesis B, between 20.1% and 22.6% of the empirical points fell inside the 95% simulated CI (Fig. 4B). When compared with a null model computed with a constant birth death scenario (hypothesis C), 72.9% of empirical points fell inside the 95% simulated CI.

## DISCUSSION

#### PHYLOGENY AND EVOLUTION OF AFRICAN RATTANS

The phylogenetic relationships between the three genera of Ancistrophyllinae remained weakly resolved or unresolved in past morphological and molecular studies (Baker et al., 2000a,b, 2009; Asmussen et al., 2006; Faye et al., 2014). Our analyses that includes five nuclear and four plastid markers show for the first time that Eremospatha is strongly supported as sister to Laccosperma, and together they are strongly supported as sister to Oncocalamus (Fig. 2). The relationship found here was weakly supported in both the supermatrix (70% bootstrap value) and supertree (s = 6, where s is the number of input trees supporting a given node) phylogenetic trees of Baker et al. (2009). The phylogenetic tree of Baker & Dransfield (2000a) also indicated some moderate support for the sister relationship between Eremospatha and Laccosperma (86% bootstrap values based on the ribosomal



#### Pliocene extinction event (3Ma)

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internal transcribed spacer (ITS) region and the plastid rps16 intron). At the morphological level this relationship is supported by, for example, floral characters (Sunderland, 2012). Both these genera are characterized by hermaphroditic flowers grouped in pairs (dyads), whereas Oncocalamus has unisexual monoecious flowers grouped in a complex cluster. For other characters, however, this relationship is not supported, for example, life history traits: Laccosperma is hapaxanthic (individual stems die after a single flowering event), whereas, Eremospatha and Oncocalamus are pleonanthic (continuous flowering) (Sunderland, 2012). Our results further strongly support the sister relationship between Ancistrophyllinae and Mauritiinae (Mauritia L.f.-Mauritiella Burret–Lepidocaryum Mart.), with Raphiinae (Raphia P.Beauv.) sister to this group. The phylogenetic relationships between these three subtribes remains ambiguous based on morphology (Baker et al., 2000a), plastid and/or nuclear markers (Baker et al., 2000a,b; Asmussen et al., 2006) or supertree/ supermatrix approaches (Baker et al., 2009). Our results should, however, be taken with caution, as the sampling of other genera of Calamoideae remains limited and this aspect was not the purpose of this study.

Faye et al. (2014) suggested that this difficulty in achieving strong support values between genera of Ancistrophyllinae might be the result of an early rapid diversification. Our results somewhat support this hypothesis as all three genera are estimated to have originated during the Oligocene between 31.7 Mya and 27.0 Mya. Each genus is then subtended by a long branch or 'temporal gap' before the diversification of its extant species after 15 Mya (Fig. 3). Early diversification is suggested to generate morphological diversity (Foote, 1997), which tends to increase over time (Harmon et al., 2003). In addition the greater age of clades is expected to be positively correlated with morphological change (Purvis, 2004; Ricklefs, 2006). Thus, the important morphological diversity found between genera of Ancistrophyllinae could be explained by their origins in the Oligocene followed by a long period of independent evolution.

In *Eremospatha*, the sister relationship between *E. cabrae* and *E. dransfieldii* suggested by Faye *et al.* (2014) is confirmed. These species are morphologically different and show an allopatric distribution, with *E. cabrae* distributed across Central Africa and *E. dranfieldii* in West Africa (Sunderland, 2012). These species diverged during the mid and late Miocene 11.6 Mya (95% HPD 5–17.6 Mya), just after the Middle Miocene Climatic Transition (14 Mya). This event led to renewed cooling and aridity across Africa breaking up the once continuous rain forest

(Couvreur *et al.*, 2008). Shifts in habitats are known to cause species divergence (Losos, Warheit & Schoener, 1997; Reznick *et al.*, 1997) and play a prominent role in speciation (Smith *et al.*, 1997; Schluter, 1998). This ecological divergence can lead to a burst of diversification with the acquisition of reproductive isolation ('ecological speciation') (Rundell & Price, 2009). Thus the speciation of these *Eremospatha* spp. could be the result of a climate-driven vicariance event due to the breakup of the rain forest during the middle Miocene.

## IMPACT OF PAST EXTINCTION EVENTS ON DIVERSIFICATION IN ANCISTROPHYLLINAE

The overall lower species diversity of African rain forests when compared with the Neotropics and South East Asia has intrigued scientists for decades (Richards, 1996; Couvreur, 2015). Here, we tested two main hypotheses about extinction on the evolutionary dynamics of African rattans. Our results suggest that this clade has undergone moderate levels of extinction (extinction fraction = 0.272; Table 5) since its origin in the late Palaeocene/early Eocene. Extinction in palms is particularly evident when analysing the palm fossil record where extinction and turnover in morphospecies are often documented across Africa and at different time periods (Salard-Cheboldaeff, 1990; Morley, 2000; Pan et al., 2005; Jacobs, Pan & Scotese, 2010). In addition, the important morphological disparity between the three genera of Ancistrophyllinae was also suggested as the result of important extinction linked to climate change in Africa (Dransfield, 1988; Baker & Dransfield, 2000). The empirical LTT plot (Fig. 3, insert) shows an antisigmoidal pattern that could possibly be associated with the signature of a mass extinction event (Crisp & Cook, 2009). The 'plateau' of the LTT plot occurs between 30 Mya and 25 Mya, just after the Eocene-

**Table 5.** Diversification parameters inferred from the crown node of Ancistrophyllinae. The  $\lambda$  and  $\mu$  parameters represent speciation and extinction rates respectively. These were estimated from the 100 posteriors phylogenetic trees. The extinction fraction rate is the ratio  $\lambda/\mu$  representing the fraction of species going extinct and the diversification rate corresponds to the difference between  $\lambda$  and  $\mu$ 

Root node	λ	μ	Extinction fraction	Diversification rate
Mean Standard deviation	0.103 0.019	0.032 0.029	0.272 0.233	0.071 0.014

Oligocene boundary, which has been suggested to have severely impacted palm species diversity (Hypothesis A) (Pan et al., 2005). All three rattan genera had evolved at that time, but then underwent a 'temporal gap' (high extinction or low speciation) which might explain the large morphological disparity observed between them (Dransfield, 1988; Baker & Dransfield, 2000). In addition, the simulated LTT plots under the hypothesis of a mass extinction event at 33 Mya (hypothesis A) agrees well with our empirical LTT. Indeed, an average between 88.1 and 92.5% of all points fell inside the 95% CI of the simulated phylogenies depending on the scenario simulated (Fig. 4, Table 6Aa-Af) providing additional support for this hypothesis. The Oligocene-Eocene boundary saw a relatively sudden drop in global temperatures (Zachos et al., 2001). This drop is thought to have led to important reduction in plant species diversity in the Neotropics (Jaramillo, Rueda & Mora, 2006). Molecular phylogenetic analyses have also detected signatures of this mass extinction event at c. 30 Mya, e.g. in two independently evolving lineages in African

**Table 6.** Fit of the simulated lineage through time (LTT) plots under different extinction hypotheses to the empirical (reconstructed) LTT plot, following the method of Paradis (2015). For each hypothesis, 1000 simulations were run under the three different hypotheses (see Table 3 for parameter values) with a sampling fraction of 0.86 (14% of species not sampled) and 0.5 (50% of species not sampled). The table indicates the mean empirical (ME) points and its associated standard deviation (SD) falling inside the 95% confidence interval (CI) of the empirical LTT

	Samplin fraction	-	Sampling fraction 0.5		
Hypotheses	ME	SD	ME	SD	
A (33 Mya)					
a	92.5	5.4	97.4	4.4	
b	92.5	5.4	93.5	5.3	
с	88.1	7.2	90.1	6.2	
d	91.0	5.1	91.0	3.1	
e	84.3	7.6	85.3	8.6	
f	92.1	6.0	95.1	5.2	
B (3 Mya)					
а	21.3	5.4	27.3	4.5	
b	20.1	4.6	25.1	5.6	
с	20.6	5.7	23.6	5.8	
d	22.6	6.3	26.6	4.3	
e	21.4	5.3	22.4	5.6	
f	20.5	4.9	21.5	5.3	
C (constant)					
а	72.9	6.3	72.9	6.3	

and Australian tribes of Fabaceae (Crisp & Cook, 2009). The congruent ages of these possible extinction events between independent lineages and African rattans suggest a common response to a global event. This global event appears to have negatively impacted plant diversity in general and tropical rain forests diversity in particular on every continent (Morley, 2000; Couvreur, 2015). Thus, the Eocene–Oligocene boundary would only in part explain why African rain forests have lower species diversity as most regions would have been negatively impact by this event.

In contrast, the hypothesis of an extinction event in palms during the Pliocene at 3 Mya is not supported by our data. This relatively recent period of increased aridity was suggested to be important in explaining lower species diversity across tropical Africa in plants in general (Morley, 2000; Plana, 2004) and palms in particular (Moore, 1973). In fact, our results suggest that African rattans mostly diversified during the late Miocene after 10 Mya (Fig. 3). This finding is in agreement with several other studies showing that plant species in Africa mainly originated during the Miocene and Pliocene, before the Pleistocene (e.g. Auvrey et al., 2010; Couvreur et al., 2011b; Yessoufou et al., 2014). Our results highlight once more the important role of the late Miocene and Pliocene in the diversification of the African flora (Couvreur et al., 2008) even in ancient lineages such as Ancistrophyllinae.

The constant diversification rate hypothesis (C) is not completely rejected by our data, with an average of 72.9% of points falling inside the 95% CI of the simulated LTT plots. Thus our data suggest that African rattans possibly diversified under a constant diversification rate, punctuated by a severe extinction event during the Eocene-Oligocene transition. This process can lead to LTT plots that resemble constant diversification (Crisp & Cook, 2009). A similar result was found in the African cycad genus Encephalartos Lehm., although on a much shorter time frame, that was suggested to diversify at a constant rate punctuated by a mass extinction event (Yessoufou et al., 2014). Baker & Couvreur (2013a, b) found no evidence in their palm genus-level diversification analyses for a decrease in diversification rates in African lineages, including Ancistrophyllinae, suggesting that lower African palm species diversity might be the result of lower speciation rates when compared with other regions (Baker & Couvreur, 2013b). This situation contrasts with other studies of palm diversification in South America (e.g. Astrocaryum G.Mey., Roncal et al., 2013) or South East Asia (e.g. Livistoninae, Bacon et al., 2013) which have documented non-constant rates with significant increases in diversification rates at

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the species level linked to dispersal or tectonic movements.

Our study has a number of limitations, however. First, the total number of species included in the study remains few (<20) and thus the power of our tests and simulations might be insufficient (Rabosky, 2010; Beaulieu & O'Meara, 2015). However, in the context of understanding clades of fewer/lower species diversity in Africa, this will be an inherent problem. Phylogenetic support at the species level could be improved, especially in Laccosperma and Oncocalamus, as it remains relatively weak even after sequencing nine markers (nuclear and plastid). Next generation sequencing of hundreds of nuclear loci within genera developed across palms (Heyduk et al., 2016) could provide increased support and better dating of this clade. Third, if extinction did indeed play a major role in explaining present day diversity of the African flora, using phylogenetic trees of extant species only might be limiting. However, our results seem to fit well with what we know from the fossil record of palms, in that this family has been affected by extinction during the Oligocene-Eocene (Hypotheses A). More detailed, continent-wide analyses of the palm fossil record in Africa (e.g. Pan et al., 2005) should provide future insights into the evolution of the African flora complementary to those of robust dated molecular phylogenetic trees.

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## SUPPORTING INFORMATION

Additional Supporting Information may be found in the online version of this article:

Data S1. Trageted Amplicon Sequencing (TAS) method.

Data S2. Genbank numbers.