

# POWER MANAGEMENT

SAM DAVIS

POWER

SUPPLIES

SYSTEMS

APPLICATIONS

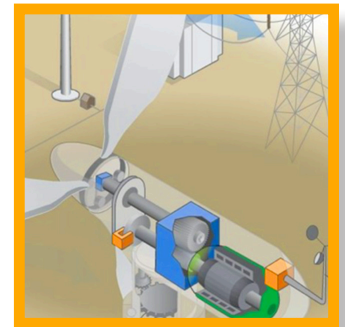
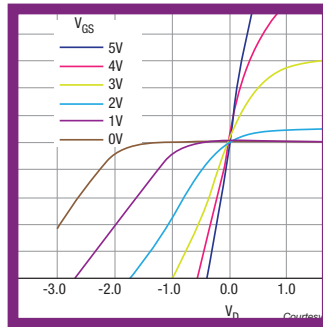
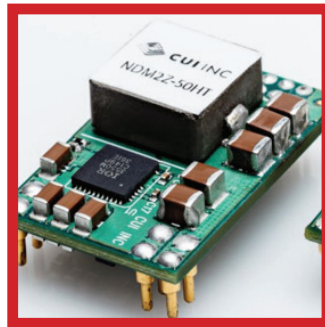
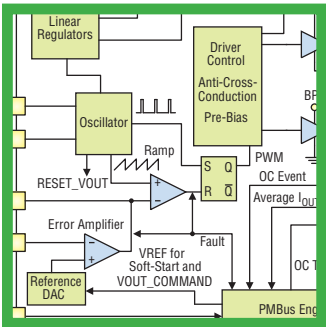
COMPONENTS

SEMICONDUCTORS



# POWER MANAGEMENT

BY SAM DAVIS



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# INTRODUCTION TO POWER MANAGEMENT

**P**ower management technology plays a major role in virtually all electronic systems, including analog, digital, and mixed-signal systems. It doesn't matter whether it is consumer, industrial, computer, or transportation electronics, power management technology plays a pivotal role. Regardless of the application, power management technology regulates, controls, and distributes power throughout the system.

Therefore, power management affects the reliability, performance, cost, and time-to-market for electronic systems. An analogy would be that power management functions in a manner similar to the body's blood vessels that supply the proper nutrients to keep the body alive. Likewise, power management supplies and controls the power that keeps an electronic system alive.

Designing system power management is much more complicated now than it was a decade ago. Today, designers must cope with ICs that operate below 1 V, or others that may consume over 100 A. In addition, there is a trend toward mixed-signal systems employing analog and digital circuits. Plus, processors of different types are now part of some power management functions. And, there are also system-oriented functions that require application-specific ICs to perform specific power management tasks.

The primary power management device is the power supply that accepts an ac or dc input and produces a regulated dc output that powers the electronic system. The key component of the power supply is the voltage regulator that is usually an integrated circuit (IC), with several different types that provide specific characteristics. Other important components are the power semiconductors and the various power management ICs that perform a variety of functions.

This book covers power management components and systems, with an emphasis on their application. The book also includes updated information for articles that appeared in Power Electronics Technology magazine. There is also new material that has not appeared before. All the important information about power management is contained in one book. There are 25 chapters that describe the components and systems associated with power management.





## CHAPTER 1:

## POWER SUPPLY

## FUNDAMENTALS

The key component of the dc power management system is the power supply that provides dc power for the associated system. The specific type of dc power management depends on its power input, which includes:

- **AC input**—A power supply that accepts an

ac utility power input, rectifies and filters it, then applies the resulting dc voltage to a regulator circuit that provides a constant dc output voltage. There is a wide variety of ac-dc supplies that can have an output voltage from less than 1V to thousands of volts. This dc power management system usually employs a switch-mode power supply, although some linear supplies are available.

- **DC input**—A power supply that accepts a dc voltage input, typically 5 V, 12V, 24V, or 48 V and produces a dc output voltage. At the low end, a supply of this type can produce less than 1Vdc, whereas other dc-dc supplies can produce thousands of volts dc. Here, power management usually employs a switch-mode power supply.

- **Battery input** (for portable equipment)—Because of size and weight restrictions of portable equipment, this power management function is usually integrated with the rest of the electronic system. Some of these systems also include an ac adapter, which is a small power unit that plugs into the ac wall outlet and provides a dc output voltage. Usually, the ac adapter is used to power the unit and can also recharge the system battery.

- **Ultralow voltage input** (energy harvesting)—Energy harvesting can provide the power to charge, supplement, or replace batteries. A key component in energy harvesting is a power converter that can operate with ultralow voltage inputs. In operation, this power converter captures

a minute amount of energy, accumulates it, stores it, and then maintains the stored energy as a power source. Low-voltage inputs can come from solar power, thermal energy, wind energy, or kinetic energy.

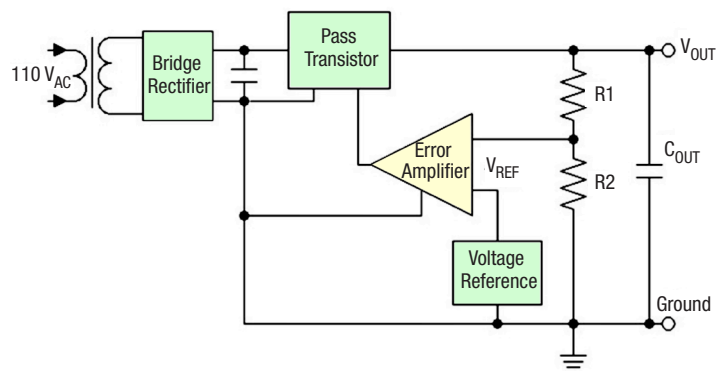
### Linear vs. Switch-Mode Power Supplies

There are two basic power supply configurations used with dc power management subsystems: linear and switch-mode. Linear power supplies always conduct current. Switch-mode supplies convert dc to a switched signal that is then rectified to produce a dc output. Differences between these two configurations include size and weight, power-handling capability, EMI, and regulation.

The linear regulator's main components are a pass transistor, error amplifier, and voltage reference, as seen in Fig. 1-1. The linear regulator maintains a constant output voltage by using the error amplifier to compare a portion of the output voltage with a stable voltage reference. If the output voltage tends to increase, feedback causes the pass transistor to lower the output voltage and vice versa. OEM linear supplies can handle several amperes of current. They are usually bulky benchtop or rack-mounted supplies.

In most applications, older, high-current linear supplies have been superseded by switch-mode supplies.

**Figure 1-1.**  
Basic AC-DC  
Linear Power  
Supply



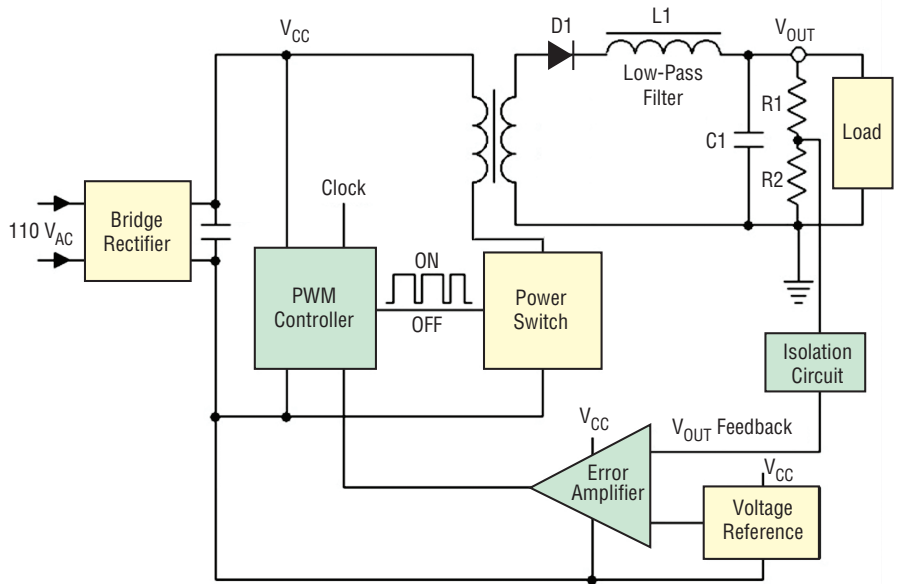


Shown in Fig. 1-2 is a typical isolated switch-mode supply. Here, the ac input voltage is rectified and filtered to obtain a dc voltage for the other power-supply components. One widely used approach uses the on and off times pulse-width modulation (PWM) to control the power-switch output voltage. The ratio of on time to the switching period time is the duty cycle. The higher the duty cycle, the higher the power output from the power semiconductor switch.

The error amp compares a portion of the output voltage feedback with a stable voltage reference to produce the drive for PWM circuit. The resulting drive for the PWM controls the duty cycle of the pulsed signal applied to the power switch, which in turn controls the power-supply dc output voltage. If the output voltage tends to rise or fall, the PWM changes the duty cycle so that the dc output voltage remains constant.

An isolation circuit is required to maintain isolation between the output ground and the power supplied to the power supply's components. Usually, an optocoupler provides the isolation while permitting the feedback voltage to control the supply's output.

The inductor-capacitor low-pass output filter converts the switched voltage from the switching transformer to a dc voltage. The filter is not perfect, so there is always some residual output noise called "ripple." The amount of ripple depends on the effectiveness of the low-pass filter at the switching frequency. Power-supply switching frequencies can range between 100kHz to over 1MHz. Higher switching frequencies allow the use of smaller-size, lower-value inductors and capacitors in the output low-pass filter.



**Figure 1-2.**  
**Typical Isolated AC-DC Switch-Mode Power Supply**

However, higher frequencies can also increase power semiconductor losses, which reduces power-supply efficiency.

The power switch is a key component in the power supply in terms of power dissipation. The switch is usually a power MOSFET that operates in only two states—on and off. In the off state, the power switch draws very little current and dissipates very little power. In the on state, the power switch draws the maximum amount of current, but its on-resistance is low, so in most cases its power dissipation is minimal. In the transition from the on state to the off state and off to on, the power switch goes through its linear region so it can consume a moderate amount of power. The total losses for the power switch are therefore the sum of the on and off state plus the transition through its linear regions. The actual losses depend on the power switch and its operating characteristics. Table 1-1 compares the characteristics of isolated, ac-dc linear and switch-mode power supplies.

### Voltage Regulator ICs

Regulating the output voltage of virtually all power supplies is dependent on voltage regulator ICs. These ICs obtain a DC input from rectified AC or a battery. In operation, the voltage regulator feeds back a percentage of its output voltage that is compared with a stable reference voltage. If the output voltage tends to rise or fall compared with the reference, the

| TABLE 1-1. LINEAR VS. SWITCH-MODE |   |  |
|-----------------------------------|---|--|
| Parameter                         | Linear Power Supply                           | Switch-Mode Power Supply                             |
| Size                              | Can be twice the size                         | Half the size  |
| Weight                            | Heavier because of ac input power transformer | Higher frequency, lower weight switching transformer |
| Efficiency                        | 50-70%  | 80-90+%  |
| Design Complexity                 | Simpler                                       | More Complex   |
| EMI                               | "Quiet" (None)                                | More (depends on switching frequency and layout)     |

feedback causes the output to remain the same. Chapter 7 provides the details of voltage regulator ICs. Also, there are lab kits to help engineers understand voltage regulator IC operation. ☺

### Related Articles

1. Sam Davis, *Component Power Analysis Supports Design of 94% Efficient 200 W AC-DC Supply*, [powerelectronics.com](#), December, 2013.
2. Michael O'Loughlin, *Voltage/Current Sensing Technique Cuts Flyback Converter Costs*, [powerelectronics.com](#), November, 2012.
3. Don Knowles, *The AC-DC Power Supply: Make It Or Buy It?*, [powerelectronics.com](#), August, 2012.
4. Steve Sandler, *Measuring Stability: Stability and Why It Matters*, [powerelectronics.com](#), December, 2014.
5. Sam Davis, *Digitally-Controlled AC-DC Supply*, [powerelectronics.com](#), January, 2012.
6. Sam Davis, *Bi-Directional Controller IC Employs Supercapacitors for dc Power Backup*, [powerelectronics.com](#), May, 2014.
7. Sam Davis, *DC-DC Converter Design Considerations for Wearable Devices*, [powerelectronics.com](#), February, 2014.

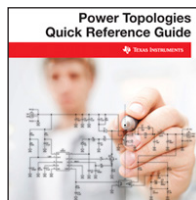
8. Steve Sandler, *Five Things Every Engineer Should Know about Bode Plots*, [powerelectronics.com](#), January, 2014.
9. Viral Vaidya, *High-Voltage Synchronous Regulators Address Industrial Power Dilemma*, [powerelectronics.com](#), December, 2013.
10. am Davis, *Power-Management IC Supports Automotive Instrument Cluster Design*, [powerelectronics.com](#), March, 2014.
11. Ernie Wittenbreder, *Topology Selection by the Numbers Part One*, [powerelectronics.com](#), March, 2006.
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13. Bramble, Simon, *Digital Feedback Controls Supply Voltage Accurately*, [powerelectronics.com](#), January, 2006.
14. Sam Davis, *Power Supply Characteristics FAQs*, [powerelectronics.com](#), April, 2014.
15. Christophe Basso, *Why is it Important to Plot a Power Stage Small-Signal Response?*, [powerelectronics.com](#), September, 2013.
16. *Power-Management Lab Kits for Young and Old Engineers*, [powerelectronics.com](#), July 13, 2016.

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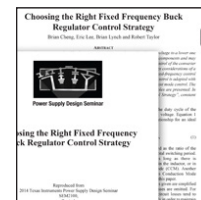
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CHAPTER 1:

# POWER SUPPLY CHARACTERISTICS

Efficiency is one of the most important power supply characteristics. It determines the thermal and electrical losses in the system, as well as the amount of cooling required. Also, it determines the physical package sizes of both the power supply and the final system. Plus, it determines the system component operating temperatures and the resultant system reliability. These factors contribute to the determination of the total system cost, both hardware and field support. Power-supply data sheets usually include a plot of efficiency versus output current, as shown in Fig. 2-1. This plot shows that efficiency varies with the power supply's applied voltage as well as the output load current.

Efficiency, reliability, and operating temperature are interrelated. Power-supply data sheets usually include specific airflow and heat-sink requirements. For example, the ambient operating temperature affects the output load current that the power supply can handle reliably. Derating curves for the power supply (Fig. 2-2) indicate its reliable operating current versus temperature. Derating shows how much current the supply can be safely handle if it is operating with natural convection, or 200 LFM and 400 LFM.

### Protecting the Supply

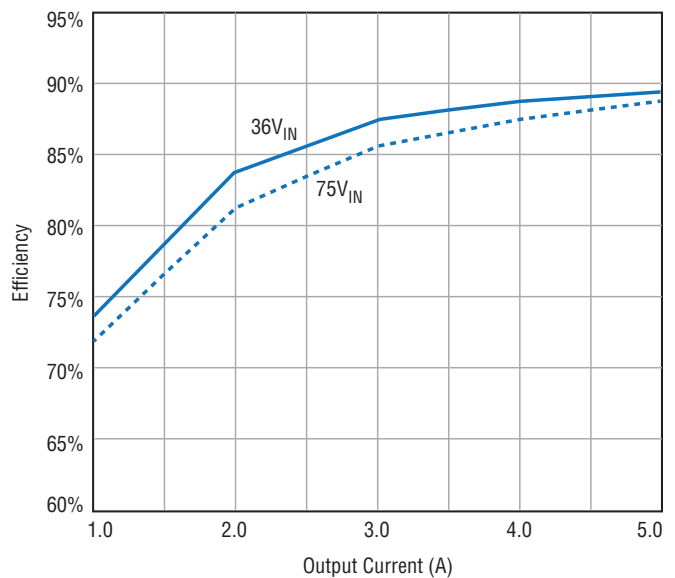
There are several other characteristics that impact power-supply operation. Among these are those employed to protect the supply, which are listed below.

**Overcurrent:** A failure mode caused by output load current that is greater than specified. It is limited by the maximum current capability

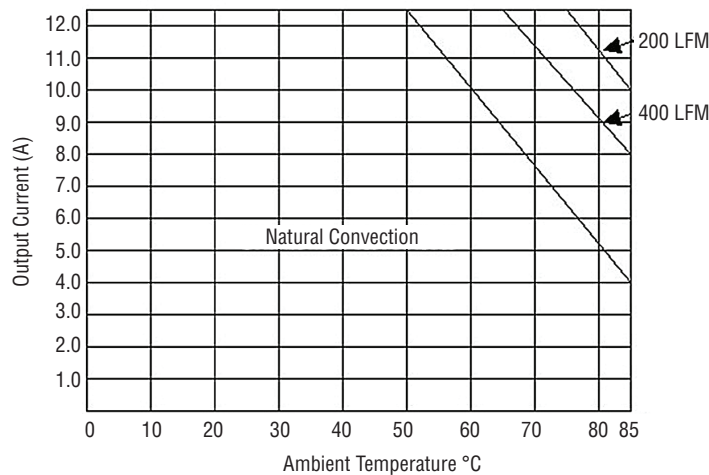
of the power supply and controlled by internal protection circuits. It can also damage the power supply in some cases. Short circuits between the power-supply output and ground can create currents within the system that are limited only by the maximum current capability and internal impedance of the power supply. Without limiting, this high current can cause overheating and damage the power supply as well as the load and its interconnects (printed circuit board traces, cables). Therefore, most power supplies should have current limiting (overcurrent protection) that activates if the output current exceeds a specified maximum.

**Overttemperature:** A temperature that is above the power supply's specified value must be prevented or it can cause power-supply failure. Excessive operating temperature can damage a power supply and the circuits connected to it. Therefore, many supplies employ a temperature sensor and associated circuits to disable the supply if its operating temperature exceeds a specific value. In

**2-1. Typical efficiency plot for a power supply.**







**2-2. Typical derating curves for a power supply.**

turns the supply off if the output voltage exceeds a specified amount. Another approach is a crowbar zener diode that conducts enough current at the over-voltage threshold so that it activates the power-supply current limiting and it shuts down.

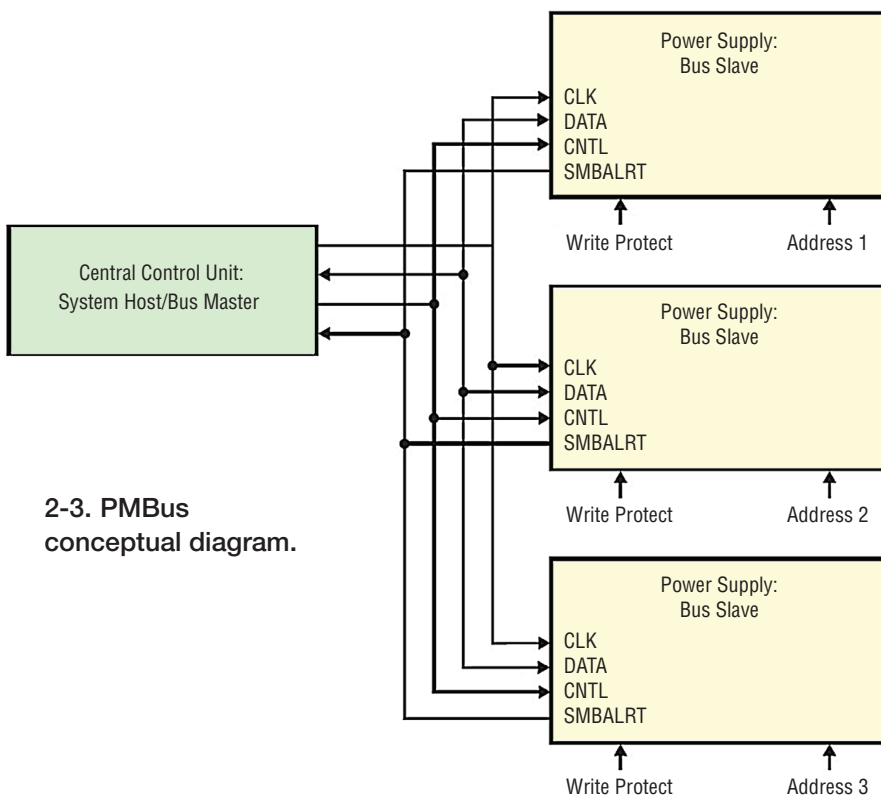
**Soft Start:** Inrush current limitation may be needed when power is first applied or when new boards are hot plugged. Typically, this is achieved by a soft-start circuit that slows the initial rise of current and then allows normal operation. If left untreated, the inrush current can generate a high peak charging current that impacts the output voltage. If this is an important consideration, select a supply with this feature.

particular, semiconductors used in the supply are vulnerable to temperatures beyond their specified limits. Many supplies include overtemperature protection that turns off the supply if the temperature exceeds the specified limit.

**Overvoltage:** This failure mode occurs if the output voltage goes above the specified dc value, which can impose excessive dc voltage that damages the load circuits. Typically, electronic system loads can withstand up to 20% overvoltage without incurring any permanent damage. If this is a consideration, select a supply that minimizes this risk. Many supplies include overvoltage protection that

turns the supply on when it reaches a high enough input voltage and turns off the supply if the input voltage falls below a certain value. This feature is used for supplies operating from utility power as well as battery power. When operated from battery-based power UVLO disables the power supply (as well as the system) if the battery discharges so much that it drops supply's input voltage too low to permit reliable operation.

**Undervoltage Lockout:** Known as UVLO, it turns the supply on when it reaches a high enough input voltage and turns off the supply if the input voltage falls below a certain value. This feature is used for supplies operating from utility power as well as battery power. When operated from battery-based power UVLO disables the power supply (as well as the system) if the battery discharges so much that it drops supply's input voltage too low to permit reliable operation.



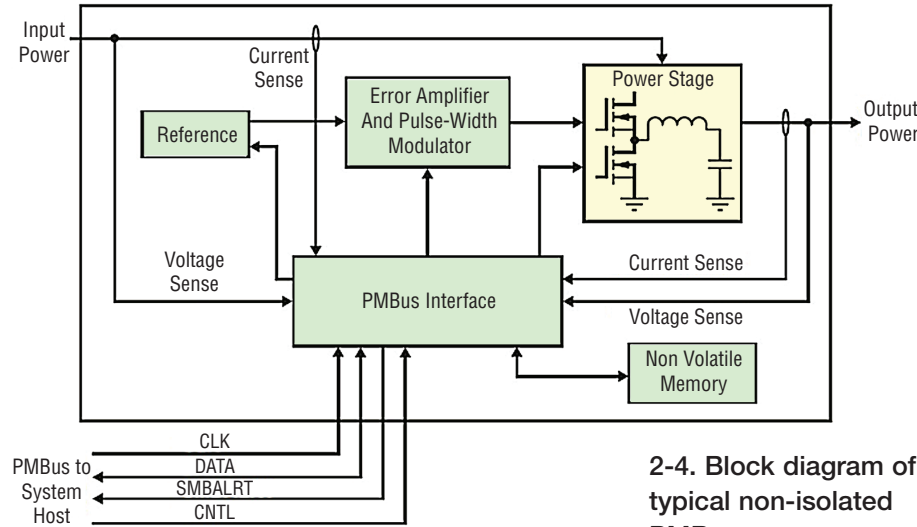
**2-3. PMBus conceptual diagram.**

**Electromagnetic Compatibility (EMC):**

Involves design techniques that minimize electromagnetic interference (EMI). In switch-mode power supplies, a dc voltage is converted to a chopped or a pulsed waveform. This causes the power supply to generate narrow-band noise (EMI) at the fundamental of the switching frequency and its associated harmonics. To contain the noise, manufacturers must minimize radiated or conducted emissions.

Power-supply manufacturers minimize EMI radiation by enclosing the supply in a metal box or spray coating the case with a metallic material. Manufacturers also need to pay attention to the internal layout of the supply and the wiring that goes in and out of the supply, which can generate noise.

Most of the conducted interference on the power line is the result of the main switching transistor or output rectifiers. With power-factor correction and proper transformer design, connection of the heat sink, and filter design, the power-supply manufacturer can reduce conducted interference so



2-4. Block diagram of typical non-isolated PMBus converter.

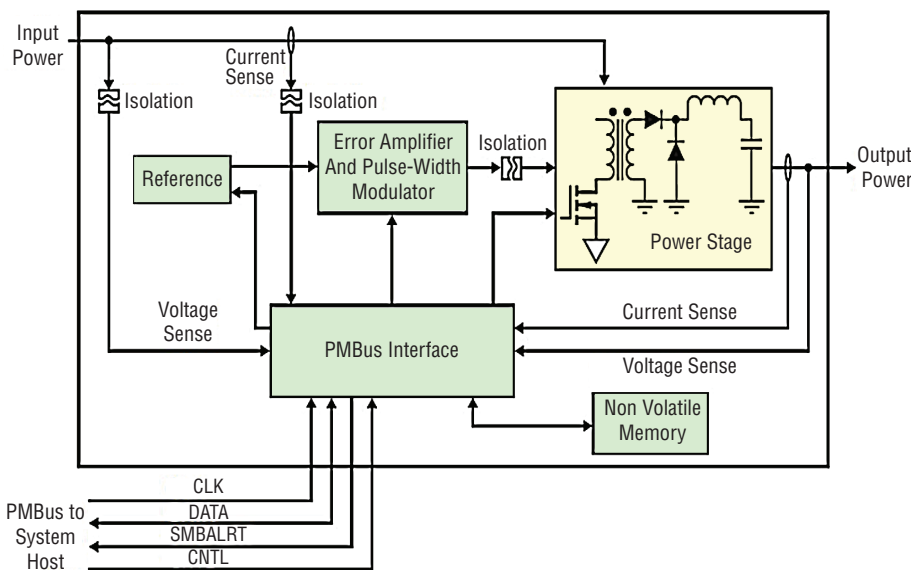
that the supply can achieve EMI regulatory agency approvals without incurring excessive filter cost. Always check to see that the power-supply manufacturer meets the requirement of the regulatory EMI standards.

There are several power-supply characteristics that affect their operation:

**Drift:** The variation in dc output voltage as a function of time at constant line voltage, load, and ambient temperature.

**Dynamic response:** A power supply may be employed in a system where there is a requirement to provide fast dynamic response to a change in load power. That can be the case for the load of high-speed microprocessors with power-management functions. In this case, the microprocessor may be in a standby state and upon command it must start up or turn off immediately, which imposes high

2-5. Block diagram of typical isolated PMBus converter.



dynamic currents with fast ramp rates on the power supply. To accommodate the microprocessor, the supply's output voltage must ramp up or down within a specified time interval, but without excessive overshoot.

**Efficiency:** Ratio of output-to-input power (in percent), measured at a given load current with nominal line conditions ( $P_{out}/P_{in}$ ).

**Holdup time:** Time during which a power supply's output voltage remains within specification following the loss of input power.

**Inrush current:** Peak instantaneous input current drawn by a power supply at turn-on.

**International standards:** Specify a power supply's safety requirements and allowable EMI (electromagnetic interference) levels.

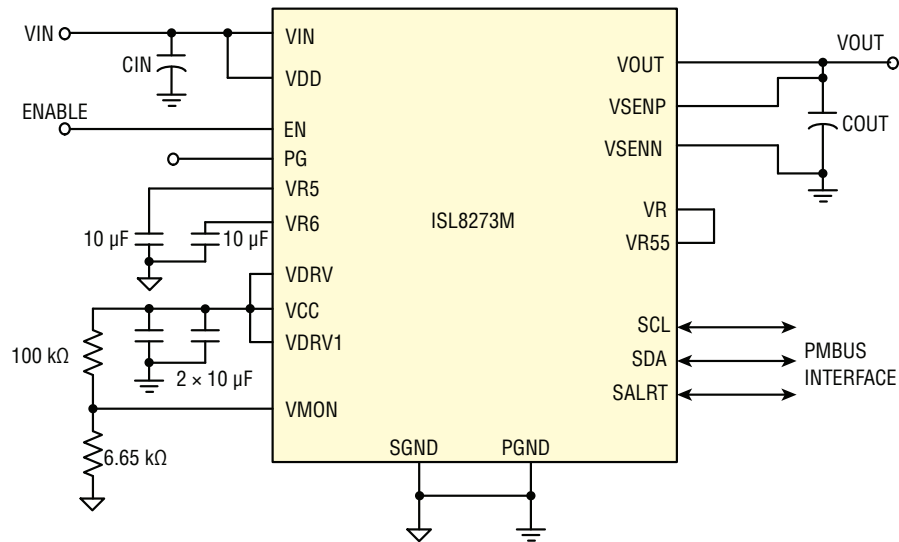
**Isolation:** Electrical separation between the input and output of a power supply measured in volts. A non-isolated has a dc path between the input and output of supply, whereas an isolated power supply employs a transformer to eliminate the dc path between input and output.

**Line regulation:** Change in value of dc output voltage resulting from a change in ac input voltage, specified as the change in  $\pm$  mV or  $\pm$  %.

**Load regulation:** Change in value of dc output voltage resulting from a change in load from open-circuit to maximum-rated output current, specified as the change in  $\pm$  mV or  $\pm$  %.

**Output noise:** This can occur in the power supply in the form of short bursts of high frequency energy. The noise is caused by charging and discharging of parasitic capacitances within the power supply during its operating cycle. Its amplitude is variable and can depend on the load impedance, external filtering, and how it is measurement.

**Output voltage trim:** Most power supplies have the ability to "trim" the output voltage, whose adjustment range does not need to be large, usually about  $\pm 10\%$ . One common usage is to compensate for



## 2-6. ISL8273M is compatible with PMBus Power System Management Protocol Specification Parts I and II version 1.2.

the dc distribution voltage drop within the system. Trimming can either be upward or downward from the nominal setting using an external resistor or potentiometer.

**Periodic and random deviation (PARD):** Unwanted periodic (ripple) or aperiodic (noise) deviation of the power-supply output voltage from its nominal value. PARD is expressed in mV peak-to-peak or rms, at a specified bandwidth.

**Peak current:** The maximum current that a power supply can provide for brief periods.

**Peak power:** The absolute maximum output power that a power supply can produce without damage. It is typically well beyond the continuous reliable output power capability and should only be used infrequently.

**Power-supply sequencing:** Sequential turn-on and off of power supplies may be required in systems with multiple operating voltages. That is, voltages must be applied in a specific sequence, otherwise the system can be damaged. For example, after applying the first voltage and it reaches a specific value, a second voltage can be ramped up, and so on. Sequencing works in reverse when power is removed, although speed is not usually as much of a problem as turn-on.

**Remote on/off :** This is preferred over switches to turn power supplies on and off. Power-supply data-sheet specifications usually detail the dc parameters for remote on/off, listing the on and off logic levels required.

**Remote sense:** A typical power supply monitors its output voltage and feeds a portion of it back to the supply to provide voltage regulation. In this way, if the output tends to rise or fall, the feedback regulates the supply's output voltage. However, to maintain a constant output at

the load, the power supply should actually monitor the voltage at the load. But, connections from a power supply's output to its load have resistance and current flowing through them that produces a voltage drop that creates a voltage difference between the supply's output and the actual load. For the optimal regulation, the voltage fed back to the power supply should be the actual load voltage. The supply's two (plus and minus) remote sense connections monitor the actual load voltage, a portion of which is then fed back to the supply with very little voltage drop because the current through the two remote sense connections is very low. As a consequence, the voltage applied to the load is regulated.

**Ripple:** Rectifying and filtering a switching power supply's output results in an ac component (ripple) that rides on its dc output. Ripple frequency is some integral multiple of the converter's switching frequency, which depends on the converter topology. Ripple is relatively unaffected by load current, but can be decreased by external capacitor filtering.

**Tracking:** When using multiple output power supplies whereby one or more outputs follow another with changes in line, load, and temperature, so that each maintains the same proportional output voltage, within specified tracking tolerance, with respect to a common value.

## PMBus

The PMBus specification describes the addition of digital control for a power supply over a specified physical bus, communications protocol, and command language. A conceptual diagram of PMBus-capable power supplies controlled from a central location is shown in Fig. 2-3. It contains a bus master and three slaves. Figures 2-4 and 2-5, respectively, show the block diagrams of typical non-isolated and isolated converters that might be found in the system shown in Fig. 2-3.

A typical system employing a PMBus will have a central control unit and at least one PMBus-enabled power supply attached to it. The connected power supplies are always slaves, and the central control unit is always the master. The central control unit initiates all communication on the bus, and the slave power supplies respond to the master when they are addressed.

The PMBus specification only dictates the way the central control unit and the slave power supplies communicate with each other. It does not put constraints on power-supply architecture, form factor, pinout, power input,



power output, or any other characteristics of the supply. The specification is also divided into two parts.

Part I: Physical implementation and electrical specifications.

Part II: Protocol, communication, and command language.

To be PMBus-compliant, a power supply must:

- Meet all requirements of Part I of the PMBus specification.
- Implement at least one of the PMBus commands that is not a manufacturer-specific command.
- If a PMBus command is supported, execute that command as specified in Part II of the PMBus specification.
- If a PMBus command is not supported, respond as described in the “Fault Management and Reporting” section of Part II of the PMBus specification.

In addition, the device must be capable of starting up unassisted and without any communication with or connection to the PMBus. This behavior may be overridden by programming new defaults for the device, but the capability to start up unassisted must be present. This implies that the PMBus device must be able to store operating defaults for its configurable parameters on the device itself in some form of nonvolatile storage.

Doing so can significantly decrease the amount of time required for the system to start up, since no communication is required to configure the device for its operating parameters. If the central control unit gets power from a PMBus device that it is controlling, then that PMBus device must obviously be set to start up automatically, or the central control unit would never start and the system would not function.

To get the latest and most complete specification for PMBus, download it from the PMBus Web site at <http://pmbus.org/specs.html>. The PMBus is derived from the System Management Bus (SMBus) Specification Version 1.1, which is an improvement over the I<sup>2</sup>C bus. I<sup>2</sup>C is a simple two-line, synchronous serial communication bus originally designed to allow communication between two or more integrated circuits that are in close proximity to each other. SMBus extensions and improvements over I<sup>2</sup>C include host notification via the SMBALRT bus line and packet error checking (PEC) to help prevent erroneous operation from noise issues.

There are several differences between the PMBus and SMBus specifications. Those most notable from a system-design perspective are the optional host notify protocol and the group command protocol.

Host notification is required for SMBus compliance, but is optional for PMBus compliance. However, most PMBus devices will support this feature, since it tells the host that

a problem exists so it can take appropriate action without having to continually poll each slave device to check for problems. This lightens the load on both the host and the bus itself, providing greater system capability. Host notification is done using a single line (SMBALRT) that is passively pulled high.

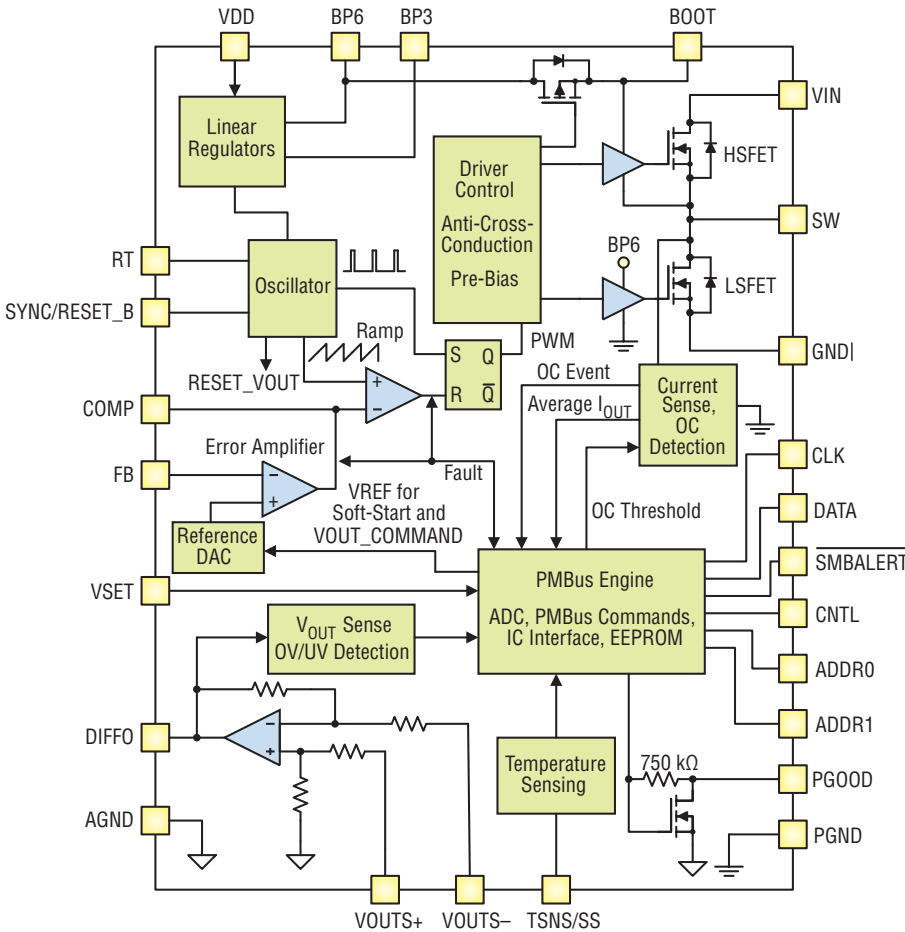
When a slave has information that the host is likely to need, the slave pulls the SMBALRT line low. The host can then poll each device individually or use the protocol described in the “SMBus Host Notify Protocol” section of the SMBus 2.0 specification.

The group command protocol is designed to allow several PMBus-compliant devices to simultaneously execute commands. For more specific information on this feature, refer to Part I,

Section 5.2 of the PMBus Specification.

You can group PMBus commands into several categories; a partial list of the actual commands is listed below:

- On, off, and margin testing
  - OPERATION
  - ON\_OFF\_CONFIG
  - VOUT\_MARGIN\_HIGH
  - VOUT\_MARGIN\_LOW
  - Output-voltage related
  - VOUT\_COMMAND
  - VOUT\_TRIM
  - VOUT\_CAL\_OFFSET
  - VOUT\_SCALE\_LOOP
  - VOUT\_SCALE\_MONITOR
- Addressing, memory, communication, and capability
  - STORE\_DEFAULT\_ALL
  - RESTORE\_DEFAULT\_ALL
  - STORE\_DEFAULT\_CODE
  - RESTORE\_DEFAULT\_CODE
  - WRITE\_PROTECT
  - PAGE
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  - IOUT\_OC\_FAULT\_RESPONSE
  - IOUT\_OC\_WARN\_LIMIT
  - OT\_WARN\_LIMIT
  - OT\_FAULT\_LIMIT
  - OT\_FAULT\_RESPONSE
  - VIN\_UV\_WARN\_LIMIT
  - VIN\_UV\_FAULT\_LIMIT
  - VIN\_UV\_FAULT\_RESPONSE
  - CLEAR\_FAULTS
- Sequencing
  - TON\_DELAY



**2-7. TPS544C25 synchronous buck converter with PMBus and frequency synchronization.**

- TON\_RISE
- TOFF\_DELAY
- TOFF\_FALL
- Status
- STATUS\_BYTE,
- STATUS\_WORD
- STATUS\_VOUT
- STATUS\_IOUT
- STATUS\_CML
- Telemetry
  - READ\_VIN
  - READ\_VOUT
  - READ\_IIN
  - READ\_IOUT
  - READ\_TEMPERATURE
  - READ\_DUTY\_CYCLE
  - READ\_PIN
  - READ\_POUT
- Other
  - FREQUENCY\_SWITCH
  - VIN\_ON

- VIN\_OFF
- POUT\_MAX

Version 1.3 of PMBus was added in 2014. The major new addition to PMBus is the AVSBus, which is an interface designed to facilitate and expedite communication between an ASIC, FPGA, or processor and a POL control device on a system, for the purpose of adaptive voltage scaling. When integrated with PMBus, AVSBus is available for allowing independent control and monitoring of multiple rails within one slave.

- The AVSBus is behaviorally and electrically similar to SPI bus without chip select lines. AVS\_MData and AVS\_SData are equivalent to MOSI and MISO. AVS\_Clock is equivalent to CLK of the SPI bus. Maximum bus speed is 50 MHz.
- AVSBus is an application-specific protocol to allow a powered device such as an ASIC, FPGA, or Processor to control its own voltage for power savings.
- The combination of these protocols in a slave device is an efficient and effective solution for systems containing loads that need to adapt the operating voltage.

**ISL8273M**

Intersil’s ISL8273M provides a PMBus digital interface that enables the user to configure all aspects of the module operation as well as monitor the input and output parameters (Fig. 2-6). The ISL8273M can be used with any SMBus host device. In addition, the module is compatible with PMBus Power System Management Protocol Specification Parts I and II version 1.2. The ISL8273M accepts most standard PMBus commands. When configuring the device using PMBus commands, it is recommended that the enable pin is tied to SGND.

The SMBus device address is the only parameter that must be set by the external pins. All other device parameters can be set using PMBus commands.

The ISL8273M can operate without the PMBus in pin-strap mode with configurations programmed by pin-strap resistors, such as output voltage, switching frequency, device SMBus address, input UVLO, soft-start/stop, and current sharing.

The TPS544x25 from Texas Instruments are PMBus 1.2 compliant, non-isolated synchronous buck converters with integrated FETs, capable of high-frequency operation and 20-A or 30-A from a 5 mm × 7 mm package (Fig.



2-7). High-frequency, low-loss switching, provided by an integrated NexFET power stage and optimized drivers, allows for very high-density power solutions. These devices implement the industry standard fixed-switching frequency, voltage-mode control with input feed-forward topology that responds instantly to input voltage change. These devices can be synchronized to the external clock to eliminate beat noise and reduce EMI/EMC.

The PMBus interface enables the Adaptive Voltage Scaling (AVS) through NexFET Power Stage VOUT\_COMMAND, flexible converter configuration, as well as key parameter monitoring including output voltage, current, and an optional external temperature. Response to fault conditions can be set to either restart, latch-off, or ignore depending on system requirements. Two on-board linear regulators provide suitable power for the internal circuits.

#### Features

- Input voltage: 4.5V to 18V
- Output voltage: 0.5V to 5.5V
- Single thermal pad
- 500mV to 1500 mV reference for AVS and margining through PMBus
- 0.5% reference accuracy at 600mV and above
- Lossless low-side MOSFET current sensing
- Voltage mode control with input feed-forward
- Differential remote sensing
- Thermal shutdown

#### iJA Series

TDK-Lambda's 35A iJA series of POL (point-of-load) non-isolated dc-dc converters are PMBus compliant and feature digital control (Fig. 2-8). These converters provide better dynamic performance and improved system stability, as well as allow a great deal of flexibility and customization to the end application's needs.

The PMBus read-write functionality of the converter

2-8. TDK-Lambda's 35A iJA series of POL non-isolated dc-dc converters are PMBus compliant.

provides real-time, precision monitoring of voltage, current, and temperature, and allows full programmability of the iJA parameters. Function-setting pins make them easy to use in applications where PMBus communication is not implemented. A GUI (graphical user interface) and evaluation boards are available for development support.

Operating from an 8 to 14VDC input, the iJA series can provide output voltages from 0.6 to 3.3V, with a precision set-point accuracy of 1%. The series is designed to meet a wide range of applications, including servers, routers, and other Information & Communication Technology (ICT) equipment, semiconductor manufacturing equipment, measuring equipment, and general industrial equipment.

The surface-mount converters occupy only 0.45 square inch of board space, representing an ultra-high power density of 580 Watts per cubic inch. Overall dimensions are 22.9mm × 12.7mm × 9.7mm with a weight of just 6.5g. Optimization of components using digital control enables a high current output in high-temperature, low-airflow environments. The iJA power module has a typical efficiency of 94% with a 3.3V output, 12V input, and 80% loading. ⚡

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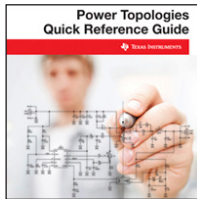
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## CHAPTER 3:

# POWER SUPPLIES- MAKE OR BUY?

**P**ower supplies are necessary in virtually every piece of electronic equipment. Therefore, equipment manufacturers are confronted with the task of deciding whether to make or buy a power supply for their system. DC power management employs a power supply that can either be bought or made by the equipment manufacturer. The make-or-buy decision for power supplies can have a major impact on the cost and time-to-market for the end-item electronic equipment.

The equipment manufacturer has several challenges to consider before making a power supply in-house:

- Can they make it cheaper than a purchased power supply?
- Is time-to-market a consideration?
- Are the necessary people and resources available to make the power supplies, including design and production facilities?
- Does the design and production include the time, costs, and fees associated with getting agency certifications specific to power supplies?

Unless the equipment manufacturer can meet these challenges, it most likely will buy the power supplies and then implement the power management subsystem. Among the reasons for an equipment manufacturer to make the power supply in-house are:

- They can't install a commercial power supply because there is not enough room, such as in a battery-based portable system.
- They must meet unique safety and EMC (electromagnetic compatibility) requirements that are not available in commercial units, such as found in military and aerospace systems.
- They want the equipment to be proprietary.
- They think they can make it cheaper.

There are other factors to consider when deciding between making and buying the power supplies:

- Overall budget.
- Time-to-market for the end-item equipment.
- Finding and employing “safety critical” components for the power- supply section.
- Time, costs, and fees associated with getting agency certifications specific to power supplies.
- Will your competitors have an advantage if they purchase a technically superior standard power supply?

If the equipment manufacturer's decision is to buy the power supplies, the first step is to find a manufacturer certified to meet the required reliability, safety, and EMC specifications. This usually means an investigation into the proposed manufacturer and development of the appropriate specification for the power supplies. Also, this usually requires a means for the equipment manufacturer to inspect the incoming power supply to ensure it meets its specifications. Plus, the equipment manufacturer may want to establish multiple sources to ensure delivery of enough products. In addition, the power-supply manufacturer should provide documentation and technical support, if it is required. The power-supply company should also be able to support the return of failed units.

Involve the power-supply manufacturer early on in the design stage for architectural, product, and cooling discussions. Traditionally, power supplies have been subject to the tailpipe syndrome (i.e., remembering them when the project is nearly complete and having little time to select them).

If the equipment manufacturer decides to make the power supplies, it will start with a paper design, followed by a prototype, design review, and then a decision on whether to go ahead with production. Given the go-ahead, the purchasing department can order all the components, which usually includes qualified components from qualified vendors. As long as there are no long lead-time components, production can start. Allow sufficient time for relevant safety agency approvals.

There are alternatives to making and manufacturing the power supplies. For example, one alternative is to subcontract the design phase. In addition, the production can also be subcontracted. The cost of subcontracting should be compared with doing everything in-house.

When the first units are completed they will have to be tested for safety, EMC, and reliability over the required temperature range. This depends on the appropriate standards that must be met, which might vary for some countries. If the manufacturer doesn't have this facility in-house, the units can be sent to a testing laboratory.

The equipment manufacturer should check the supplier's reliability. They can send one or two supplies to a facility that performs HALT (Highly Accelerated Life Test) or ALT (Accelerated Life Test).

- HALT is the process of determining the reliability of a product by gradually increasing stresses until the product fails. This is usually performed on entire systems, but can be performed on individual assemblies as well.
- ALT is the process of determining the reliability of a product in a short period of time by accelerating stresses (usually temperature) on the product. This is also good for finding dominant failure mechanisms. ALTs are usually performed on individual assemblies rather than full systems.
- Calculate the electrolytic capacitor life using measured temperature data

The equipment manufacturer may also want to check each power supply by "burning them in." This is usually done by powering each supply for a given period (for example, 24 hours) and then checking them to see if they are operating properly. Often, this is done by putting several supplies on a burn-in rack at the same time.

What OEM ac-dc power supplies can be purchased?

1. AC adapters.
2. Front-end power supplies for Distributed Power Architecture (DPA).
3. Centralized power supplies (single- and multiple-output voltages).
4. AC-DC brick power supplies.
5. High-voltage power supplies.

What OEM dc-dc converters can be purchased?

1. DC-DC brick dc-dc converters (single- and multiple-output voltages)
2. Non-brick dc-dc converters (single-

and multiple-output voltages).

3. Encapsulated dc-dc converters (single- and multiple-output voltages).
4. Bus dc-dc converters.
5. Point-of-load (POL) converters (non-isolated).
6. Power over Ethernet power (PoE) supplies.
7. High-voltage dc-dc converters.

It is a good idea to select a power supply that provides a safety margin for the future. Too often, electronic systems expand from their initial requirements and need additional current, power, and sometimes even a new output voltage. A new output voltage requires an additional power supply or one with an adjustable output voltage, although most supplies can accommodate a 10% variation in output voltage.

The OEM power supply must provide the necessary output voltage, current, and power. With such a broad range of standard products, you should see what type of power supply can meet your requirements. One way to start is to understand the characteristics of the available power supplies. If you can't find a standard supply to meet your requirements, you will probably need to buy a custom-designed supply that is more expensive than a standard unit. An economic alternative to a custom power supply is the wide range of "modular power supplies"

**Power Supply Requirements**

AC Input Voltage Range  Line Frequency  Hz

DC Input Voltage Range

Power Factor Correction? Yes  No       RoHS Compliant? Yes  No

Operating Temperature Range  °C

|           | Volts (V)            | Current (A)          | Peak Current (A)     | Regulation (±)       |
|-----------|----------------------|----------------------|----------------------|----------------------|
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| Output #2 | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
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| Output #5 | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| Output #6 | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |

Agency Safety Approvals

Package Enclosed  Open Frame  PCB Mount  DIN Rail  Surface Mount  SIP  DIP

Cooling    Integral Fan  System Fan  Convection-Cooled

Price Target       Estimated Annual Usage

**3-1. Power-supply requirements worksheet.**

on the market today that can be tailored to your needs without the NRE and delays associated with a custom design.

One of the best ways to find the optimum power supply for your application is to fill out a form similar to that in Fig. 3-1. This allows you to list your requirements and then leave it up to the power-supply vendor to give you the answer. The completed form also allows you to use the same information if you are looking for a second source. 📄

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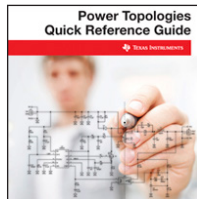
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## CHAPTER 4:

# POWER SUPPLY PACKAGES

Power-supply packages will influence the performance, cost, and size of the power supply used in the power-management subsystem. Therefore, the selection process for purchasing a power supply should take the package style into account. Package styles can range from the open-frame type without an enclosure to the completely enclosed type, like the standard brick.

The quest for multiple source dc-dc converter modules has led to a family of standard “brick” sizes. There are now “16th-brick” and “eighth-brick” sizes to go along with the full-bricks, half-bricks, and quarter-bricks. And, power densities have gone beyond 50W/in.<sup>3</sup> Many of these modules are interchangeable with those of many manufacturers, which ensures multiple sources. In addition, pinouts of the brick converters are now a de facto standard, allowing interchangeability between different manufacturers’ products. Currently, distributed power architecture (DPA) system bricks can dissipate hundreds of watts. Table 4-1 lists the size for each of the brick sizes. Generally, the larger the size, the higher the maximum power output.

Modular brick dc-dc converters supplied by the front-end supply provide electrical isolation, increased load transient performance, and a modular upgrade path (Fig. 4-1). Their lower output voltage draws a larger current (for a given power level) and has less tolerance



**4-1. TDK-Lambda’s CN-A Series of dc-dc converters have a 60V to 160VDC input range with output voltages from 5V to 24VDC (adjustable  $\pm 10\%$ ). Output power ratings are 30W, 50W, and 100W. These isolated power modules are in the industry-standard quarter-brick footprint.**

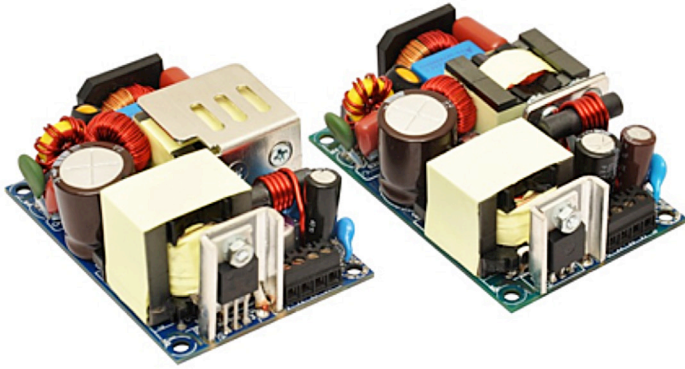
for deviations in its voltage caused by voltage drops on the lines between the converter output and its load.

There are also ac-dc bricks. Initially, these bricks required two modules. One module for the ac input rectification and power factor correction (PFC), and another for the dc-dc isolation and low voltage conversion. Now, these two functions are available in a single brick, thus eliminating module interconnects and saving 25% or more printed circuit board space.

An example of a single module ac-dc brick with PFC is TDK-Lambda’s 1000W PFE1000F series that is available with 12V, 28V, and 48V nominal outputs that are adjustable to  $\pm 20\%$ . The series can operate with a baseplate temperature range from  $-40^{\circ}\text{C}$  up to  $+100^{\circ}\text{C}$ . Line and load regulation is 0.4% max and efficiency is in the range of 82% to 86% depending on output voltage.

**TABLE 4-1. SIZE OF BRICK DC-DC CONVERTERS**

| Type       | Length | Width | Height |
|------------|--------|-------|--------|
| 1/16 brick | 1.65   | 0.8   | 0.5    |
| 1/8 brick  | 2.28   | 0.9   | 0.5    |
| 1/4 brick  | 2.28   | 1.45  | 0.5    |
| 1/2 brick  | 2.40   | 2.28  | 0.5    |
| 3/4 brick  | 3.45   | 2.40  | 0.5    |
| Full brick | 4.60   | 2.40  | 0.5    |



**4-2. Gresham Power Electronics' (M)WLP75 AC/DC converter.** This open-frame series is the fourth addition to the EOS low-profile, high-efficiency (M)WLP series. Available in medical and industrial versions, (M)WLP75 features include: 75W convection rating; efficiencies up to 93%; -40 to 70°C operation; standby power < 0.3 Watt; weight 150g; dual fusing; and Class II Option available for medical applications and RoHS compliance.

These models accept an 85Vac to 265Vac input at 47-63Hz and have active power-factor correction (PFC), an input-to-output isolation of 3kVAC, and an input-to-baseplate rating of 2.5kVAC with application circuitry. In addition, overvoltage, overcurrent, and over-temperature protections are included.

The PFE1000F comes in a 6.3 × 3.94 × 0.53-in. package that is larger than a dc-dc full-brick, but its construction resembles its dc-dc cousins. Other members of the PFE series are rated at 300W, 500W, and 700W and are housed in a full-brick package the same size as the dc-dc full-brick. These full-brick ac-dc supplies also have PFC.

An advantage of the DPA approach with multiple dc-dc converter brick modules is that the heat produced by each of the modules is spread throughout a system. In contrast, most of the heat associated with a centralized power system is in the single power supply.

Use of a dc bus voltage, typically 48V, also means cables with lower current are bused throughout the system. Higher current requirements are handled by the dc-dc converter modules that are located close to their loads, which minimizes distribution losses and enables smaller, less expensive conductors to be used for the cables that bus the secondary voltage.

From a reliability standpoint, each element in a DPA has its own power supply, so failure of a single dc-dc converter module will only affect a single function or printed circuit board, which aids the design of fault-tolerant systems.

DC-DC converter brick modules are a key ingredient in DPA systems. The performance of these converters is directly related to the IC operating voltage requirements that are dropping from the historic standard of 5V to 1.5V, with projections of less than 1.0V over the next decade. Besides the 5V and 3.3V outputs, some converters now provide 2.5V, 1.8V, and 1.2V, and some can supply 0.8V.

"Off-the-shelf" standard brick converters can lower

system development costs and also shorten design cycles because if they have already been tested and are available from multiple sources. If the system's powering requirements change, it is relatively simple to replace one converter module with another, that is, no major redesign is usually required.

The majority of packaged dc-dc converter brick modules are mounted on p.c. boards holding associated digital circuits. Therefore, the converter module's size impacts a board's circuit density. This includes the converter's footprint area that determines how much circuitry can be placed on the board. Converter module height is also important because it affects spacing between boards within the system. Eliminating the need for a heat sink also allows tighter board-to-board spacing.

To operate without a heat sink and provide more power output, the brick dc-dc converter must be efficient, particularly at the new lower semiconductor operating voltages. Therefore, the converter must minimize its internal power loss and the operating temperature of its components. Achieving higher efficiency also reduces the system's input power and cooling requirements. Plus, it influences system manufacturing and operating costs.

Minimizing internal power loss lowers the converter's case temperature, which may eliminate the need to employ forced-air cooling. Most converters have maximum case temperature ratings less than 100°C.

One approach to reducing internal power loss and improving converter efficiency is to employ synchronous rectifiers consisting of power MOSFETs. This higher efficiency obtained by synchronous rectifiers means the converter dissipates less heat and may no longer require a heat sink.

### Non-Brick Power Supplies

Non-brick dc-dc power supplies are available in both single- and multiple-output versions. They come in open-frame, such as these from Gresham Power Electronics



(Fig. 4-2). Most of these modules deliver from 20W to 500W, which is somewhat less than their larger brick cousins. They may be rack-mounted, DIN-Rail mounted, or packaged within an electronic system. Some of these power supplies have a high enough isolation voltage to allow them to be certified for use in medical systems.

The physical size of these power supplies varies over a wide range, from a length of 0.65 in. to 21.2 in. Some packages employ SMT, DIP, or SIP connections, whereas some use through-hole pins and others use screw terminals.

Non-brick supplies with multiple outputs include those employed with Compact PCI systems that employ 5V, 3.3V, and  $\pm 12$ V.

Astrodyne TDI digitally programmable ac/dc power supply provides 3,800 W of regulated power and can operate as either a current or voltage source up to 400 V or 170 A (Fig. 4-3). Intended for industrial applications that require a flexible, digitally controlled industrial power supply with a universal voltage range of 90 VAC to 264 VAC and a 50/60 Hz single-phase input, the Astrodyne TDI Mercury-Flex is offered in a variety of adjustable dc output voltage range models including 0-28V, 0-56V, 0-85V, 0-125V, and 0-400V. This reliable unit delivers efficiency up to 93%, with a power factor of



4-4. CUI's PFR-2100 is a 2,000 W front-end supply in an enclosed package.

4-3. Astrodyne's modular 3.8 kW Mercury-Flex is hot-swappable, enabling maximum uptime. For applications that require higher power, you can connect the modules in parallel current sharing groups through a four-module shelf assembly. This enables designs of upwards to 228 kW in a single universal 19-in. rack (30U).

0.97 or better, helping to lower energy requirements and heat dissipation. Its 14 VDC auxiliary output is useful for powering miscellaneous user circuits.

CUI Inc.'s PFR-2100 is a 2000 W front-end ac-dc power supply in an enclosed package (Fig. 4-4). The PFR-2100 series is a blind-mate rectifier with a programmable output voltage range of 100~410 Vdc. Key features include a hot-swap blind-docking capability implemented through the use of a single connector that integrates ac, dc, and I/O signals. The PFR-2100 delivers high efficiency up to 93% in a package measuring 11.5 × 5.2 × 2.5 in. (292.1 × 132.08 × 63.5 mm). The series is ideally suited for use in data-center high-voltage dc bus power systems, broadcast amplifiers, and EV battery-charging systems.

The programmable dc output voltage delivers a constant current up to 5.125 A with droop current sharing for paralleling up to 12 units. Additional features include power-factor correction, remote on/off control, power good signal, and front-panel LED indicators. The PFR 2100 series complies with all applicable EMC require-



4-5. The BMR466's unique LGA (Line Grid Array) footprint is 0.98 × 0.55 in. and has an exceptionally low profile of 0.276 in., which facilitates compact system design.





ments to accommodate worldwide applications and offers 60950-1 safety approvals. Protections for overvoltage, overcurrent, and overtemperature are also provided.

### BMR466

Ericsson's BMR466 is a 60A digital point-of-load (POL) dc/dc power module for powering microprocessors, FPGAs, ASICs, and other digital ICs on complex boards. The BMR466's unique LGA footprint is 0.98 × 0.55 in. and has an exceptionally low profile of 0.276 in., which facilitates compact system design (Fig. 4-5). Spreading the placement of the 60A units across printed circuit boards means that heat dissipation can be distributed, optimizing the use of multi-layer board technology and simplifying cooling arrangements. In addition, the use of a surface-mount LGA package with symmetric contact layout offers superior mechanical contact and high reliability after soldering. The elimination of connecting leads results in lower inductance, enabling excellent noise, and EMI characteristics. This is further enhanced because a high number of the LGA contacts are ground pins.

Up to eight of the fully regulated 60A (maximum) POL converters can be connected in parallel to deliver up to 480A in multi-module and multi-phase systems. This produces an economical, efficient, and scalable power solution with a small footprint, high stability, advanced-loop compensation, and class-leading thermal characteristics.

Operating from a 4.5V to 14V input, the BMR466 is ideally suited to operation across a range of intermediate bus voltages and complies with the Dynamic Bus Voltage scheme to reduce power dissipation and save energy. The factory default output voltage is set to

4-6. MinMax AAF-05 Series features: ultra compact size (1.0 × 1.0 × 0.64 in.); fully encapsulated plastic case for PCB and chassis mounting version; Universal Input 85–264VAC, 47~440Hz; Protection Class II as per IEC/EN 60536; and I/O Isolation 3000VAC with reinforced insulation.

1.2V, but can be adjusted from 0.6V to 1.8V either via a pin-strap resistor or PMBus commands. The BMR466 powertrain guarantees high efficiency and reliability and is built from the latest generation of power-transistor semiconductors, enabling the module to deliver up to 94.9% with a 5V input and a 1.8V output, at half load.

Through software control, the BMR466 uses class-leading adaptive compensation of the PWM control loop and advanced energy-optimization algorithms to reduce energy consumption and deliver a stable and secure power supply with fast transient performance over a wide range of operating conditions. In multi-module systems, two or more of the single-phase BMR466 POL converters can be synchronized with an external clock to enable phase spreading, which means the reduction of input ripple current and corresponding capacitance requirements and efficiency losses. The ripple current can be estimated using the Ericsson Power Designer (EPD) software tool, which enables easy capacitor selection. The BMR466 is also fully compliant with PMBus commands and has been integrated into the EPD software, which makes it easy for power system architects to simulate and configure complete multi-module and multi-phase systems prior to implementation. This cuts time-to-market.

Suited for deployment in distributed power and intermediate bus voltage architectures within the ICT (Information and Communication Technology), telecom, and industrial sectors, the module targets high-power and high-performance use in products such as networking and telecommunications equipment, servers, and data-storage applications, as well as industrial equipment.



4-7. Aimtec's 1 watt dc-dc converter series is available in a compact single-inline SIP4.

### Encapsulated Power Supplies

Encapsulated/sealed dc-dc power supplies are available in both single- and multiple-output versions,





**4-8. The LTM4650 μModule is housed in a conventional ball-grid array (BGA) package.**

like these from MinMax (Fig. 4-6). They come in SIP, DIP, SMT, and through-hole pin packages. Most of these modules deliver from less than 1W to over 300W, which is somewhat less than other non-brick packages. They are usually mounted directly on a printed circuit board.

A typical single-output plastic-encapsulated supply of this type rated at 1W. The Series accepts nominal input of either 3.3V, 5V, 12V, 15V, or 24V and produces outputs of 3.3V, 5V, 9V, 12V, 15V, or 24V. Isolation options are 1,000V or 3,000V. A five-pin SMD package measures  $0.5 \times 0.32 \times 0.29$  in.

Another plastic-encapsulated type is housed in a SIP package rated at 3W. Its nominal input voltages are either 5V, 12V, 24V, or 48V. Output voltages are either 3.3V, 5V, 9V, 12V, or 15V. The SIP package measures  $0.86 \times 0.36 \times 0.44$  in.

A sealed dc-dc power supply for COTS (commercial off-the-shelf for military applications) measures  $2.4 \times 2.3 \times 0.5$  in. It accepts a nominal 270V dc input and delivers either 3.3V, 5V, 12V, 15V, or 28V, with up to a 200W output rating. It has a mu-metal shield for low radiated emissions.

Aimtec's AM1SS-NZ series of unregulated 1 Watt DC-DC converters provides continuous short-circuit protection with auto recovery restart (Fig. 4-7). The restart feature will work continuously until the short-circuit condition is cleared, protecting the converter, the load, and the converter's input circuit from extremely high currents a short circuit can cause.

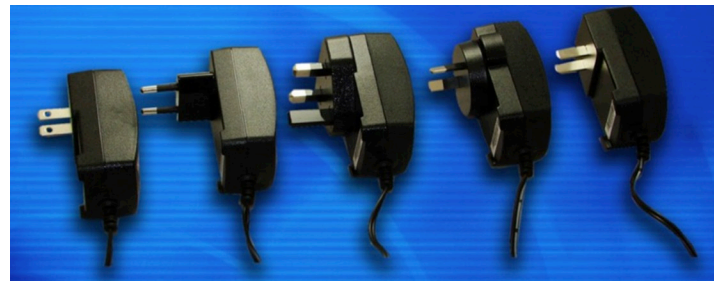
Supported input voltages of 3.3, 5, 12, 15, and 24VDC convert to single output voltages of 3.3, 5, 9, 12, 15, and 24VDC. Operating within an ambient temperature range of  $-40^{\circ}\text{C}$  to  $+105^{\circ}\text{C}$  (derating at  $85^{\circ}\text{C}$ ), the AM1SS-NZ series is designed for versatility and can be integrated into a multitude of applications, such as digital circuits, low-frequency analog, or relay-drive circuits.

Available in a compact, single-inline SIP4 package ( $11.60 \times 10.10 \times 6.00$  mm), the AM1SS-NZ is offered with an input-output isolation upgraded to 1500VDC for easy integration in industrial, telecommunication, and computer applications.

## μModule

Power-supply packages continue to shrink by using semiconductor industry manufacturing techniques. The result is the μModule (power module) regulator that integrates switching controllers, power FETs, inductors, and all supporting components in a conventional ball-grid array (BGA) package (Fig. 4-8). The circuit requires only a few input and output capacitors.

The LTM4650 is a dual 25A or single 50A output switching mode step-down dc/dc converter. Operating from a 4.5V to 15V input, the LTM4650 supports two outputs each with an output voltage range of 0.6V



**4-9. Phihong's energy-efficient 10W wall-mount adapter series is available in 5- and 9VDC outputs. The PSC12x Series consists of five versions: A, E, K, S, and C, each of which features a country-specific fixed-outlet plug. PSC12A adapters are equipped for use in the United States, Europe, United Kingdom, Australia, New Zealand, and China. PSC12x Series adapters are rated for operation from  $0^{\circ}\text{C}$  to  $+40^{\circ}\text{C}$ .**



**4-10. Spellman Bertan High-Voltage Power Supply PMT30CN-1 features: 500V to 7.5kV @ 1.9 to 4 Watts; modular design; stability and regulation; low noise and ripple; arc and short-circuit protected; and CE listed, UL recognized and RoHS compliant.**

to 1.8V, each set by a single external resistor. Its high efficiency design delivers up to 25A continuous current for each output. The LTM4650 is pin-compatible with the LTM4620 (dual 13A, single 26A) and the LTM4630 (dual 18A, single 36A).

This  $\mu$ Module supports frequency synchronization, multiphase operation, burst-mode operation and output voltage tracking for supply-rail sequencing and has an onboard temperature diode for device-temperature monitoring. High switching frequency and a current-mode architecture enable a very fast transient response to line and load changes without sacrificing stability. Fault protection features include overvoltage and overcurrent protection.

The LTM4650 is housed in a 16mm  $\times$  16mm  $\times$  5.01mm BGA package.

## AC Adapters

AC adapters are a cost-effective and relatively fast way to provide a power source for computer peripherals such as these from Pihong (Fig. 4-10). There are two types of dc output adapters: linear and switch-mode. The switch-mode adapter provides greater efficiency and smaller size, whereas the linear power supply adapter is less efficient and larger, but could be “quieter,” that is, less radiated or conducted EMI. However, there are several adapters using the switch-mode topology that meet the FCC’s EMI requirements

It is important to obtain an adapter that has high efficiency, which minimizes heat dissipation, resulting in an adapter that is small and reliable. Without this high efficiency, the resulting internal temperature rise would be potentially hazardous and a major reliability limiter. In many applications, the adapter may be placed in a confined space, or it may be buried under a pile of cable, so minimizing heat is essential.

AC adapters are a cost-effective power source to charge portable system batteries because the OEM does not have to design and qualify the supply. Typically, these adapters can power the unit as well as charge the associated battery.

The switch-mode adapter provides greater efficiency and smaller size, whereas the linear power supply adapter is less efficient and larger, but produces less radiated or conducted EMI. A high efficiency adapter minimizes heat dissipation, resulting in a smaller and reliable unit.

The California Energy Commission approved new energy saving standards in order to slow down the demand for electricity throughout the state. According to the CEC, the energy savings from the new standards

over the next 10 years will enable the state to avoid building three large power plants.

On average, ENERGY STAR-approved models are 35% more efficient than conventional designs, and often are lighter and smaller in size. Many adapters have safety approvals from cUL/UL, TUV, SAA, CE, C-Tick, and CCC (except for 48V). Some provide no-load power consumption of less than 0.5W, as well as low leakage current with a maximum of 0.25mA.

## High-Voltage Power Supplies


High voltage ac-dc power supplies offer regulated outputs for bench top or OEM use (Fig. 4-11). Typical applications include: spectrometers, detectors, imaging, electron beam systems, projection television, X-ray systems, capacitor charging, laser systems, and cathode-ray tubes.

Available high-voltage ac-dc power supplies have many circuit and system variations. There are single-phase supplies with switch-selectable 115/230Vac, three-phase 208V inputs, and most include power-factor correction (PFC).

The method for generating the high voltage is either with a conventional switch-mode power converter, or a resonant high-frequency inverter.

Power-supply enclosures are usually fully metal-enclosed units, 19-in. enclosed metal rack panels, or smaller modules intended for embedded applications.

High-voltage power-supply outputs can range from 1kV to over 100kV, from fractions of a milliamp to amperes, and output power from watts to kilowatts. Output polarity may be a fixed positive voltage, fixed negative voltage, or a reversible positive or negative voltage output. The output interface may be a high-voltage connector, or a captive high-voltage cable. The output voltage adjustment may be provided by a local internal potentiometer, or a ground-referenced signal for remote operation. Output monitors on some units monitor voltage or current, or both. Output ripple is usually rated in peak-to-peak in mV or peak-to-peak as a percent of output voltage.

Most supplies offer protection against arcs or output short circuits. Among the miscellaneous features found in these supplies are local and remote programming, fault indicators with safety interlock, overload protection, and an enable voltage signal input for remote control. 

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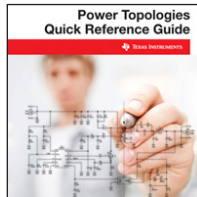
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## CHAPTER 5:

# POWER-MANAGEMENT REGULATORY STANDARDS

**R**egulatory standards must be met because international and domestic standards are required for the power-management section of the end-item equipment. These standards vary from one country to another, so the power subsystem manufacturer and the end-item system manufacturer must adhere to these standards where the system will be sold. Design engineers must understand these standards even though they may not perform standards certification. Understanding these regulatory standards usually poses problems for power-management subsystem designers.

- Many standards are technically complex, requiring an expert to be able to decipher them.
- Often, standards are written in a form that is difficult for the uninitiated to interpret because there are usually exemptions and exclusions that are not clear.
- Several different agencies may be involved, so some may be specific to one country or group of countries and not others.
- Standard requirements vary and sometimes conflict from one jurisdiction to another.
- Standards are continually evolving, with new ones introduced periodically, so it is difficult to keep pace with them.

**What standards agencies are encountered at the product and system level?**

**ANSI:** The American National Standards Institute oversees the creation, promulgation, and use of norms and guidelines that directly impact businesses, including energy distribution.

**EC (European Community) Directives:** Companies responsible for the product intended for use in the European Community must design and manufacture it in accordance with the requirements in the relevant directives.

**EN (European Norm):** Standard directives for the European community.

**IEC (International Electrotechnical Commission):** Generates standards for electrical and electronic systems.

**UL (Underwriter's Laboratory):** Safety approvals for electrical and electronics products within the United States. A UL approval can also be obtained through the CSA.

**CSA (Canadian Standards Association):** Safety approval required to use an electrical or electronic product within Canada. A CSA approval can also be obtained through the UL.

**Telcordia:** Standards for telecom equipment in the United States.

**ETSI (European Telecommunications Standards Institute):** Standards for telecom equipment.

Required safety standards for power supplies include EN60950 and UL60950 "Safety of Information Technology Equipment" based on IEC60950, containing requirements to prevent injury or damage due to hazards such as: electric shock, energy, fire, mechanical, heat, radiation, and chemicals. As of January 1997, the EC Low Voltage Directive (LVD) 73/23/EEC and the amending directive 93/68/EEC requires the manufacturer to make a declaration of conformity if the product is intended to be sold in the European Community.

Specific standards power-supply acoustics define maximum audible noise levels that may be produced by



the product. The main contributor to the acoustic noise is usually the fan in a power supply with an internal fan.

ESD (Electrostatic Discharge) standards include EN61000-4-2 that tests immunity to the effects of high-voltage low-energy discharges, such as the static charge built up on operating personnel.

### Power-Line Standards for Power Supplies

**EN61000-3:** Limits voltage changes the power supply under test can impose on the input power source (flicker test).

**EN61000-4:** Tests the effects of transients and determines the ability of the power supply to survive without damage or operate through temporary variations in main voltage. These transients can be in either direction (under-voltage or overvoltage).

**EN61000-3-2:** Limits the harmonic currents that the power supply generates onto the power line. The standard applies to power supplies rated at 75 W with an input line current up to 16A/phase.

**EN61000-4-11:** Checks the effect of input voltage dips on the ac input power supplies.

### EMC Standards for Power Supplies

The most commonly used international standard for emissions is C.I.S.P.R. 22 “Limits and Methods for Measurement of Emissions from ITE.” Most of the immunity standards are contained in various sections of EN61000. As of January 1996, EC Directive 89/336/EEC on EMC requires the manufacturer to make a declaration of conformity if the product is sold in the European Community.

Sections of EN61000 for EMC include:

**EN61204-3:** This covers the EMC requirements for power supplies with a dc output up to 200V at power levels up to 30kW, and operating from ac or dc sources up to 600 V.

**EN61000-2-12:** Compatibility levels for low-frequency conducted disturbances and signaling in public medium-voltage power supply systems

**EN61000-3-12:** Limits for harmonic currents produced by equipment connected to public low-voltage systems with input current > 16A and < 75A per phase

**EN61000-3-2:** Limits harmonic currents injected into the public supply system. It specifies limits of harmonic components of the input current, which may be produced by equipment tested under specified conditions

**EN61000-4-1:** Test and measurement techniques for electric and electronic equipment (apparatus and systems) in its electromagnetic environment.

**EN61000-4-11:** Measurement techniques for voltage

dips, short interruptions, and voltage variations immunity tests.

**EN61000-4-12:** Testing for non-repetitive damped oscillatory transients (ring waves) occurring in low-voltage power, control, and signal lines supplied by public and non-public networks.

**EN61000-4-3:** Testing and measurement techniques for immunity requirements of electrical and electronic equipment to radiated electromagnetic energy. It establishes test levels and the required test procedures.

**EN61000-4-4:** Testing and measurement techniques for electrical fast transient/burst immunity test.

**EN61000-4-5:** Recommended test levels for equipment to unidirectional surges caused by overvoltage from switching and lightning transients. Several test levels are defined that relate to different environment and installation conditions.

**EN61000-6-1:** Electromagnetic compatibility (EMC) immunity for residential, commercial, and light-industrial environments

**EN61000-6-2:** Generic standards for EMC immunity in industrial environments

**EN61000-6-3:** Electromagnetic compatibility (EMC) emission requirements for electrical and electronic apparatus intended for use in residential, commercial, and light-industrial environments.

**EN61000-6-4:** Generic EMC standards for industrial environments intended for use by test laboratories, industrial/medical product designers, system designers, and system installers.

### Restriction of Hazardous Substances (RoHS) Affects Power Supplies

RoHS is a directive that restricts use of hazardous substances in electrical and electronic equipment. Designated 2002/95/EC, it is commonly referred to as the Restriction of Hazardous Substances Directive. This RoHS directive took effect in July 2006, and includes power supplies. Often referred to as the lead-free directive, RoHS restricts the use of: lead; mercury; cadmium; hexavalent chromium (Cr6+); polybrominated biphenyls (PBB) (flame retardant); and polybrominated diphenyl ether (PBDE) (flame retardant).

### Electronic Waste Directives

RoHS is closely linked to the Waste and Electronic Equipment Directive (WEEE). Designated 2002/96/EC, it makes power-supply manufacturers responsible for the disposal of their waste electrical and electronic equipment. Companies are compelled to use the collected waste in an ecologically friendly manner, either

by ecological disposal or by reuse/refurbishment of the collected WEEE.

### Directives for Disposal of Batteries

Batteries are not included within the scope of RoHS. However, in Europe, batteries are under the European Commission's 1991 Battery Directive (91/157/EEC), which was recently increased in scope and approved in the form of the new battery directive, version 2003/0282 COD, which will be official when submitted to and published in the EU's Official Journal. This new directive explicitly highlights improving and protecting the environment from the negative effects of the waste contained in batteries. ⏻

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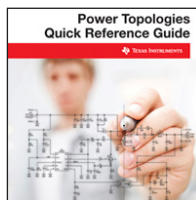
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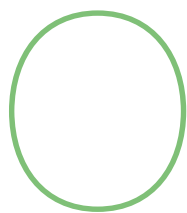


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## CHAPTER 6:

# POWER SUPPLY SYSTEM CONSIDERATIONS



Overall design of the power-management subsystem involves several system-oriented issues. Adequate space must be available for the selected power supply. Make sure the power supply will fit in the space provided for it. Therefore, make sure the package type you want, such as open-frame, enclosed, brick, encapsulated, etc., will fit in the allocated space. In addition, make sure there is enough room adjacent to the power supply to allow it to cool if you are using natural convection cooling. If you are using forced air cooling, make sure that you have sufficient air movement around the supply.

One aspect of space availability is the standards for size of rack-mounted power systems, such as 1U, 2U, 3U, etc. The term 1U defines one rack unit of height that equals 1.75 in. of rack height. A 2U rack mount height would be  $2 \times 1.75$  in., or 3.5 in. high, and so on. The 1U, 2U, and 3U heights are maximum dimensions. Individual rack-mounted power supplies must be a bit shorter than the equipment's overall height to allow for the top and bottom covers. So a 1U-high enclosure-mountable power supply needs to be shorter than 1.75 in.; a 2U enclosure-mountable supply needs to be shorter than 3.5 in., and so forth.

## Power Distribution

Distributing power in the end-item system depends on the type of power supply used. Five different distribution methods are possible:

**Centralized Power Architecture** (Fig. 6-1) accepts an ac power line input and produces one or up to five output voltages. As implied, centralized supplies operate from a central location and supply all the power for an elec-

tronic system. They are powered from the ac power line and produce a dc voltage output. They may provide one or multiple output voltages. These outputs then provide power to the specific circuits that require the various voltages. For most small, relatively low-power systems, centralized power distribution is usually the most cost- and performance-effective.

A typical centralized system is the type employed in desktop computers; that is, a single supply provides all the required voltages: +5V,  $\pm 12$ V. (Note: 3V is replacing 5V on many computers as the main logic voltage.) However, the centralized approach can suffer from lack of flexibility.

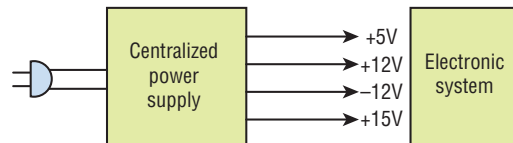
If the system requires an additional low voltage, the power management subsystem must be redesigned by replacing the entire centralized supply or adding a

voltage regulator derived from an existing output. If any existing supply voltage requires a higher current capability, the centralized supply must be replaced.

An advantage for the centralized power supply is the cost associated with powering a small to moderate size system. A single supply with multiple outputs can be more cost-effective than a distributed supply with multiple dc-dc converters.

To minimize power distribution losses, the centralized supplies should be located near the load. For safety and EMI reasons, it should be located as close as possible to the ac entry point, which is often a problematic tradeoff. Although centralized power works well for many applications, it is usually unsuitable for distributing high power at low voltages.

A drawback of the centralized supply is its transient response, which is the ability to react quickly to rapidly changing loads. Centralized power systems may have



**6-1. Centralized Power Supply Can Produce Multiple Voltage Outputs**

difficulty responding to transient loads and handling resistive voltage drops. Another potential problem is its characteristic of concentrating heat in one specific area.

Distributed Power Architecture (DPA) (Fig. 6-2) converts the incoming ac power to a secondary dc bus voltage, using a front-end supply. This dc bus voltage can be 12V, 24V, or 48V and is usually less than 60V. This bus voltage is distributed throughout the system, connecting to dc-dc converter modules associated with specific subsystems or circuit cards

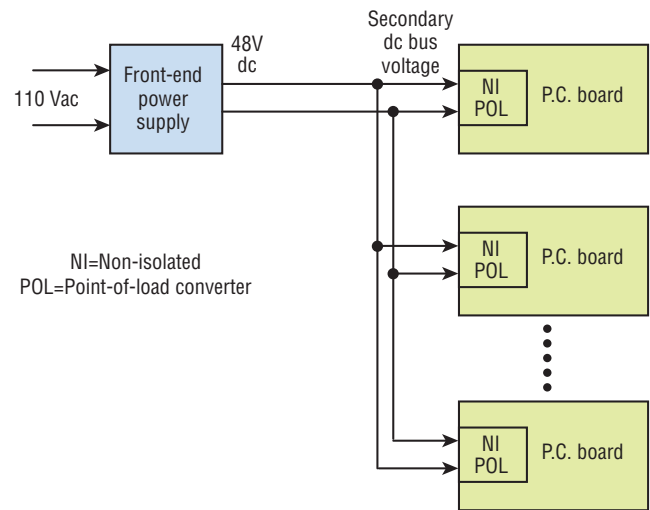
The most popular DPA voltage is 48V used by telecommunication systems. The secondary voltage is bused throughout the system, connecting to dc-dc converter modules associated with specific subsystems or circuit cards. You can locate the front-end supply either in a card cage or in a convenient place within the system. For non-telephone applications, the trend is to use lower intermediate bus voltages, ranging from 7V to 12V. This is because as the speed and complexity of the digital circuits and microprocessors increase, their internal spacings decrease, resulting in reduced input voltages for these devices. Therefore, the secondary buses power local dc-dc converters that output lower voltages. Higher-speed devices use lower voltages.

One common characteristic for front-end supplies is power-factor correction (PFC) that lowers the peak currents drawn from the ac line. This reduces the harmonic content fed back into the power line, which might otherwise interfere with other equipment connected to the same power line.

Among the features of most front-end supplies is the ability to work over a broad ac input voltage range, for example, 85 to 265Vac. Some front-end supplies may be paralleled to increase the available power. Some paralleled supplies may be combined in a 19-in. rack. Models usually include protection features for overvoltage, over-current, short-circuit, and overtemperature.

Optional on-board intelligence on some front-end supplies is the ability to communicate with the host computer. Transmitted data can include operational status, such as temperature, current limit, and installation location identification.

Intermediate Bus Architecture (IBA) inserts another level of power distribution between a front-end power supply and POL. An IBA (Fig. 6-3) employs an isolated bus converter that delivers an unregulated 9.6V to 14V to power to the non-isolated POL converters. As shown in Fig. 6-3, a typical bus converter delivers an unregulated, stepped-down voltage of 9.6 to 14V with a nominal 2000Vdc input output isolation. This converter is ideal for a loosely regulated 12Vdc Intermediate Bus Architecture

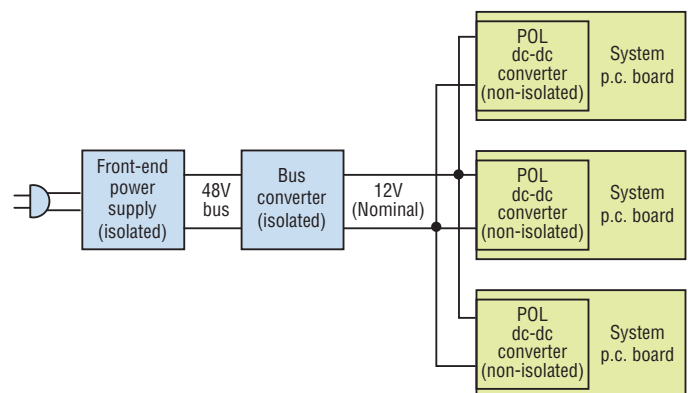


**6-2. Distributed Power Architecture Employs Front-End Power Supply for Multiple POL Mounted on System P.C. Boards**

to power a variety of downstream non isolated, point of load regulators. These modules are suited for computer servers, enterprise networking equipment, and other applications that use a 48V (+10%) input bus.

Cost savings can be achieved in many applications by replacing multiple 48V in isolated dc-dc converters with low-cost, non isolated POL modules or embedded converters that are fed from the 12V bus converter rail. Implementing one central point of isolation eliminates the need for individual isolation at each point of load, allowing reduced costs, greater flexibility, and savings on board space.

Bus converters achieve high efficiency by limiting the input range and essentially optimizing for a single input voltage. Bus converters are designed for efficient



**6-3. Intermediate Bus Architecture Adds an Isolated Bus Converter**





6-4. TDK-Lambda's medically certified DTM65-C8 external power supplies offer a class II input and do not require an earth ground connection and meets the stringent Level VI DoE standards for efficiency. It is housed in a rugged, vent-free IP21 rated enclosure, measuring 106 x 60 x 31mm. AC is applied using a standard IEC60320-C8 cable and DC provided through a four pin Power-DIN connector.

cy. Removing the entire feedback path (reference, error amp, optocoupler, etc.) liberates board area and power. Additional parallel MOSFETs may be added to lower on resistances. MOSFET duty cycles in the power train are set and maintained at 50%, and all components are optimized for the voltages they will actually experience and not the voltages they may experience. Also, for high efficiency most bus converters employ synchronous rectifier outputs.

Bus converter packages come in many sizes, from SIPs and SMTs to quarter-brick, eighth-brick and sixteenth brick modules.

AC Adapter's distribute power external to the end-item system. They plug into an ac power outlet to provide dc power via a cable and connector that plugs into the end item system. One of the widely used ac input power supplies (Fig. 6-4). They are a cost effective and relatively fast way to obtain a power source for computer peripherals and other electronic devices without going through power supply safety and EMI qualification tests.

All the OEM has to do is provide the appropriate connector within the associated equipment. Typically, many printers and scanners operate from these adapters that supply a regulated dc voltage. Laptop computers and other portable equipment use these adapters to power the unit as well as charge their battery.

It is important to obtain an adapter that has high

efficiency, which minimizes heat dissipation, resulting in an adapter that is small and reliable. Without this high efficiency, the resulting internal temperature rise would be potentially hazardous and a major reliability limiter. In many applications, the adapter may be placed in a confined space, or it may be buried under a pile of cable, so minimizing heat is essential.

Battery-Based Power Distribution requires virtually all circuits designed "from the ground up." The power management subsystem design involves voltage regulation circuits operating from a battery whose output voltage naturally decreases with use. ⚡

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TABLE 6-1. COMPARISON OF POWER DISTRIBUTION APPROACHES FOR ELECTRONIC SYSTEMS

| Power Distribution                   | Remarks  |
|--------------------------------------|--|
| Centralized Power Architecture       | <ul style="list-style-type: none"> <li>• Usually most cost- and performance-effective for small, low-power systems.</li> <li>• Most of the heat is centralized in a single power supply.</li> <li>• Lacks design flexibility for adding voltages or current requirements.</li> </ul>   |
| Distributed Power Architecture (DPA) | <ul style="list-style-type: none"> <li>• Changing load current or voltage usually requires only a POL change.</li> <li>• Failure of a single POL usually only affects a single function or p. c. board.</li> <li>• Heat is spread throughout the system.</li> </ul>  |
| Intermediate Bus Architecture (IBA)  | <ul style="list-style-type: none"> <li>• Achieves high efficiency by limiting input voltage range and usually operating open-loop.</li> <li>• Power train duty cycles usually maintained at 50%</li> <li>• All components are optimized for load voltage/current.</li> </ul>   |
| AC Adapter Power Distribution        | <ul style="list-style-type: none"> <li>• Does not require power supply safety and EMI qualification tests, which have been certified by its manufacturer.</li> <li>• Two types: linear and switch-mode. The switch-mode adapter provides greater efficiency and smaller size, whereas the linear power supply adapter is less efficient and larger, but could be "quieter," that is, less radiated or conducted EMI.</li> <li>• Usually limited 100 W, or less.</li> </ul> |
| Battery-based Power Distribution     | <ul style="list-style-type: none"> <li>• Operates from Li-ion, NiCd or NiMH battery packs.</li> <li>• Provides high efficiency for maximum battery run time</li> <li>• Must have light weight, small physical size power supplies</li> <li>• Must be thermally efficient to prevent overheating.</li> </ul>  |

- 3. David Morrison, *Distributed Power Architectures Evolve and Reconfigure*, PET, December, 2004.
- 4. Steve Sandler, *Assessing POL Regulators Using Non-Invasive Techniques*, PET, October, 2012.
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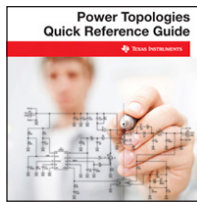
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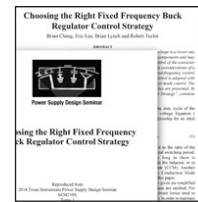
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# CHAPTER 7: VOLTAGE REGULATOR ICs

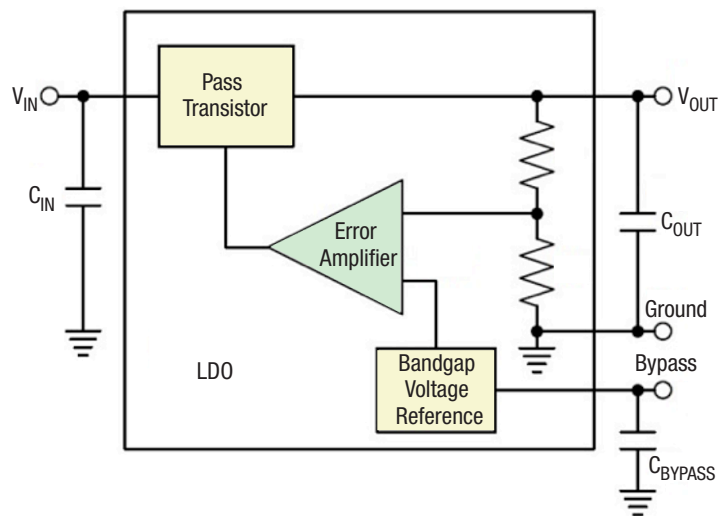
Virtually all power supplies employ semiconductors to provide a regulated output voltage. If the supply has an ac input, it is rectified to be a dc voltage. A power converter IC accepts the dc input and produces a dc output or controls external power output semiconductor switches to produce a dc output. It is a voltage regulator when its output voltage is fed back to a circuit that causes the voltage remains constant. If the output voltage tends to rise or fall, the feedback causes the output to remain the same.

The power converter can operate either as a switch-mode or linear circuit. In a linear configuration, the controlling transistor always dissipates power, which can be minimized by using low dropout regulators (LDOs) that regulate properly even when there is a relatively low voltage differential between their input and output. LDO ICs have simpler circuits than their switch-mode cousins and produce less noise (no switching), but are limited by their current-handling and power dissipation capability. Some LDO ICs are specified at about 200mA and others can handle up to about 1A.

Efficiency of the LDO ICs may be 40-60%, whereas the switch-mode ICs can exhibit up to 95% efficiency. Switch-mode topologies are the primary approach for embedded systems, but LDOs also find use in some applications.

## Low Dropout (LDO) Linear Regulator

LDO linear regulators are usually employed in systems that require a low-noise power source instead of a switching regulator that might upset the system. LDOs also find use in applications where the regulator must maintain regulation with small differences between the input supply voltage and output load voltage, such as battery-powered systems. Their low dropout voltage and low quiescent current make them a good fit for portable

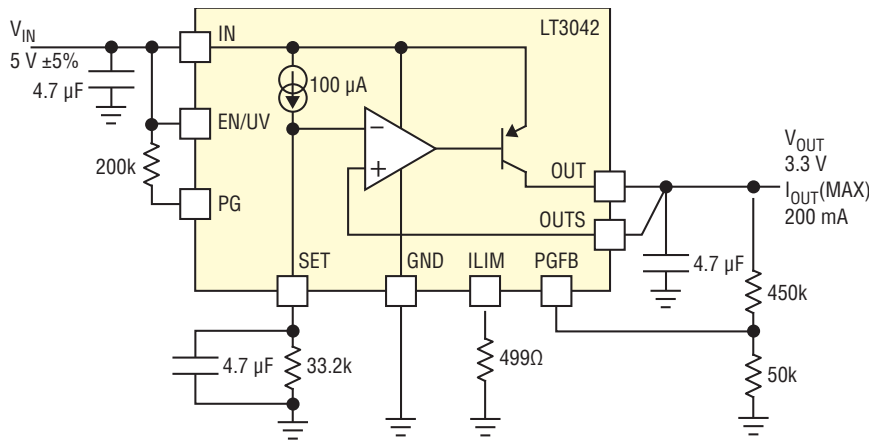


**7-1. In the basic LDO, one input to the differential error amplifier, set by resistors R1 and R2, monitors a percentage of the output voltage. The other error amplifier input is a stable voltage reference ( $V_{REF}$ ). If the output voltage increases relative to  $V_{REF}$ , the differential error amplifier changes the pass-transistor's output to maintain a constant output load voltage ( $V_{OUT}$ ).**

and wireless applications. LDOs with an on-chip power MOSFET or bipolar transistor typically provide outputs in the 50 to 500mA range.

An LDO voltage regulator operates in the linear region with the topology shown in Fig. 7-1. As a basic voltage regulator, its main components are a series pass transistor (bipolar transistor or MOSFET), differential error amplifier, and precise voltage reference.

Key operational factors for an LDO are its dropout voltage, power-supply rejection ratio (PSRR), and output noise. Low dropout refers to the difference between the input and output voltages that allow the IC to regulate



**7-2. The LT3042 is an LDO that uses a unique architecture to minimize noise effects and optimize Power Supply Ripple Rejection (PSRR).**

the output load voltage. That is, an LDO can regulate the output load voltage until its input and output approach each other at the dropout voltage. Ideally, the dropout voltage should be as low as possible to minimize power dissipation and maximize efficiency. Typically, dropout is considered to be reached when the output voltage has dropped to 100mV below its nominal value. The load current and pass transistor temperature affect the dropout voltage.

An LDO's internal voltage reference is a potential noise source, usually specified as microvolts RMS over a specific bandwidth, such as 30  $\mu\text{V}$  RMS from 1 to 100 kHz. This low-level noise causes fewer problems than the switching transients and harmonics from a switch-mode converter. In Fig. 7-1, the LDO has a (voltage-reference) bypass pin to filter reference voltage noise with a capacitor to ground. Adding the datasheet-specified input, output, and bypass capacitors usually results in a non-problematic noise level.

Among their operational considerations are the type and range of the applied input voltage, required output

voltage, maximum load current, minimum dropout voltage, quiescent current, power dissipation, and shutdown current.

Controlling the LDO's frequency compensation loop to include the load capacitor reduces sensitivity to the capacitor's ESR (equivalent series resistance), which allows a stable LDO with good quality capacitors of any type. In addition, output capacitor placement should be as close as possible to the output.

Additional features in some LDOs are:

- An enable input that allows external control of LDO turn-on and turn-off.
- Soft-start that limits inrush current and controls output voltage rise time during power-up.
- A bypass pin that allows an external capacitor to reduce reference voltage noise.
- An error output that indicates if the output is going out of regulation.
- Thermal shutdown that turns the LDO off if its temperature exceeds the specified amount.
- Overcurrent protection (OCP) that limits the LDO's output current and power dissipation.

## LT3042

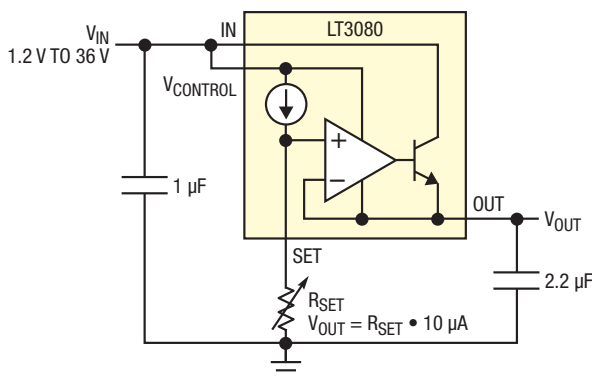
The LT3042 from Linear Technology is a low dropout (LDO) linear regulator that uses a unique architecture to minimize noise effects and optimize Power-Supply Ripple Rejection (PSRR).

PSRR describes how well a circuit rejects ripple, injected at its input. The ripple can be either from the input supply such as a 50Hz/60Hz supply ripple, switching ripple from a DC/DC converter, or ripple due to the sharing of an input supply with other circuits.

For LDOs, PSRR is a function of the regulated output voltage ripple compared to the input voltage ripple over a given frequency range (typically 10Hz to 1MHz), expressed in decibels (dB). It can be an important factor when an LDO powers analog circuits because a low PSRR may allow output ripple to affect other circuits.

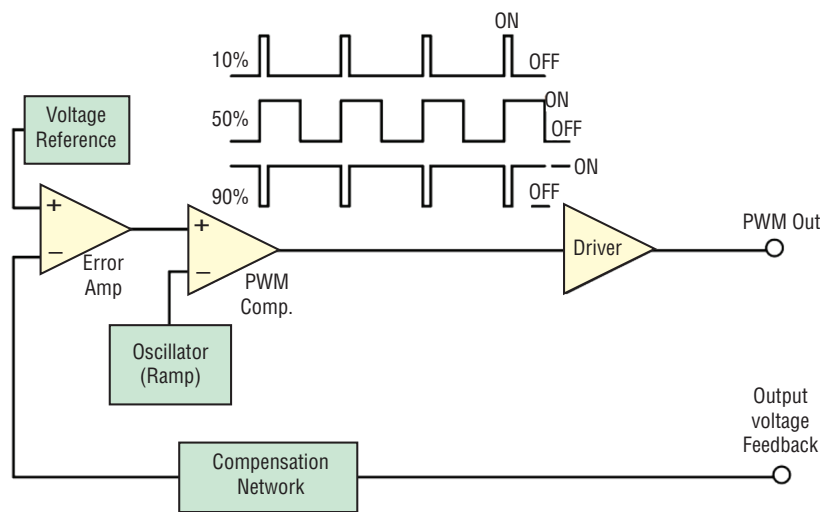
Low-ESR output capacitors and added reference voltage bypass capacitors improve the PSRR performance. Battery-based systems should employ LDOs that maintain high PSRR at low battery voltages.

The LT3042 shown in the simplified schematic of Fig. 7-2 is an LDO that reduces noise and increases PSRR. Rather than a voltage reference used by most traditional linear regulators, the LT3042 uses a current reference that operates with a typical noise current level of  $20\text{pA}/\sqrt{\text{Hz}}$  (6nARMS over a 10Hz to 100kHz bandwidth).



**7-3. The LT3080 can program output voltage to any level between zero and 36V.**





7-4. A PWM controller produces square waves of different widths dependent on the out voltage feedback.

The current source is followed by a high performance rail-to-rail voltage buffer, allowing it to be easily paralleled to further reduce noise, increase output current and spread heat on a PCB. Paralleling multiple LT3042s further reduces noise by a factor of  $\sqrt{N}$ , where  $N$  is the number of parallel circuits.

### LT3080

Linear Technology's LT3080 is a unique, 1.1A LDO that you can parallel to increase output current or spread heat in surface-mounted boards (Fig. 7-3). This IC brings out the collector of the pass transistor to allow low dropout operation—down to 350 mV—when used with multiple supplies. Protection features include short-circuit and safe operating area protection, as well as thermal shutdown.

A key feature of the LT3080 is the capability to supply a wide output voltage range. By using a reference current through a single resistor, the output voltage is programmed to any level between zero and 36V. It is stable with 2.2 $\mu$ F of capacitance on the output, and can use small ceramic capacitors that do not require additional

ESR, unlike other regulators.

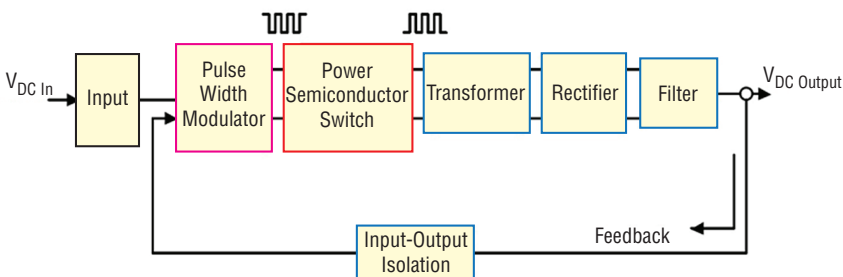
The LT3080 is especially well suited to applications needing multiple rails. Its architecture adjusts down to zero with a single resistor handling modern low-voltage digital ICs as well as allowing easy parallel operation and thermal management without heat sinks. Adjusting to “zero” output allows shutting off the powered circuitry and when the input is pre-regulated—such as a 5V or 3.3V input supply—external resistors can help spread the heat.

A precision “0” TC 10 $\mu$ A internal current source connects to the non-inverting input of its power operational amplifier, which provides a low-impedance buffered output to the voltage on the non-inverting input. A single resistor from the non-inverting input to ground sets the output voltage; setting this resistor to zero produces zero output. Any output voltage can be obtained from zero up to the maximum defined by the input power supply.

Use of a true current source allows the regulator to exhibit gain and frequency response independent of the positive input impedance. Older adjustable regulators change their loop gain with output voltage and change bandwidth when bypassing their adjustment pin. For the LT3080, the loop gain is unchanged by changing the output voltage or bypassing. Output regulation is not fixed at a percentage of the output voltage but is a fixed fraction of millivolts. Use of a true current source allows all the gain in the buffer amplifier to provide regulation and none of that gain is needed to boost the reference to a higher output voltage.

The IC can operate in two modes. One is the three-terminal mode that connects the control pin to the power input pin, which limits it to 1.35V dropout. Alternatively, you can connect the “control” pin to a higher voltage and the power IN pin to a lower voltage, resulting in 350mV dropout on the IN pin and minimizing the power dissipation. This allows a 1.1A supply regulating from 2.5VIN to 1.8VOUT or 1.8VIN to 1.2VOUT with low dissipation.

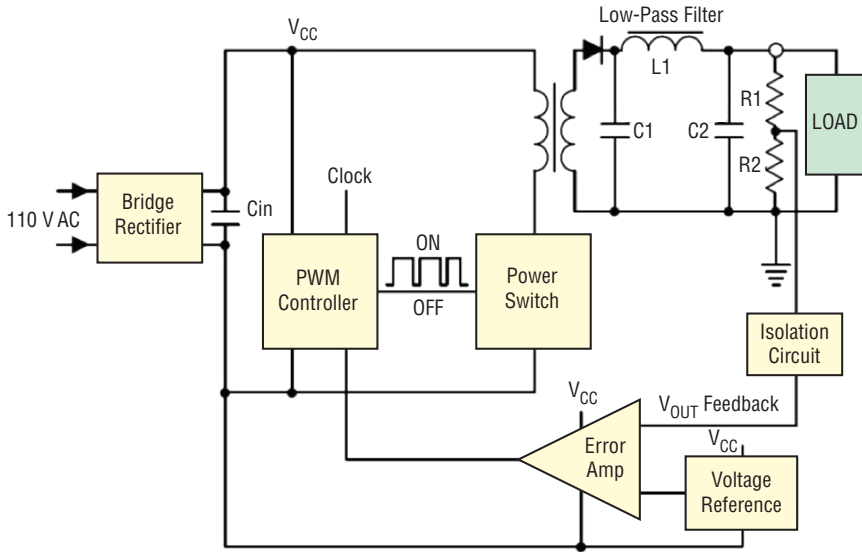
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7-5. Switch-mode converter uses pulse width modulator to control regulation

### Switch-Mode ICs

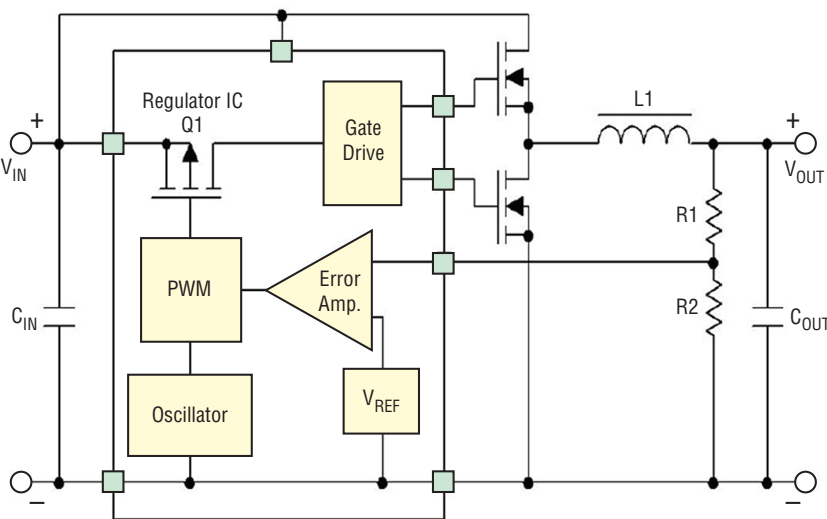
Figure 7-4 shows a simplified PWM controller employed with a switch-mode converter. In operation, a fraction of the dc output voltage feeds back to the error amplifier, which causes the comparator to control the PWM ON and OFF times. Figure 7-4 shows how the PWM pulse width changes for different percentages of ON and OFF times. The longer the ON time,



**7-6. Isolated switch-mode converter employs a transformer for isolation.**

the higher the rectified dc output voltage. Output voltage regulation is maintained if the power MOSFET's filtered output tends to change, if this occurs feedback adjusts the PWM duty cycle to keep the output voltage at the desired level.

To generate the PWM signal, the error amplifier accepts the feedback signal input and a stable voltage reference to produce an output related to the difference of the two inputs. The comparator compares the error amplifier's output voltage with the ramp (sawtooth) from the oscillator, producing a modulated pulse width. The comparator output is applied to the switching logic, whose output goes to the output driver for the external



**7-7. Non-isolated switch-mode converter.**

power MOSFET. The switching logic provides the capability to enable or disable the PWM signal applied to the power MOSFET.

Most PWM controller ICs provide current limiting protection by sensing the output current. If the current sense input exceeds a specific threshold, it terminates the present cycle (cycle-by-cycle current limit).

Circuit layout is critical when using a current sense resistor, which must be a low inductance type. Locate the current sense filter capacitor very close to and connected directly to the PWM IC pin. Also, all the noise-sensitive low-power ground connections should be connected together near the IC GND and a single connection should be made to the power ground (sense resistor ground point).

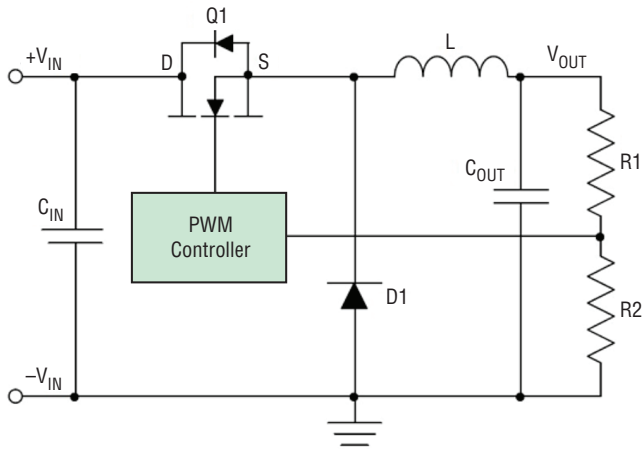
In most PWM controller ICs, a single external resistor or capacitor sets the oscillator frequency. To set a desired oscillator frequency, use the equation in the controller datasheet to calculate the resistor value.

Some PWM converters include the ability to synchronize the oscillator to an external clock with a frequency that is either higher or lower than the frequency of the internal oscillator. If there is no requirement for synchronization, connect the sync pin to GND to prevent noise interference.

Because the PWM IC is a part of feedback circuit, the input to the error amplifier must employ a frequency compensation network to ensure system stability.

A typical power converter accepts a dc input, converts it to the switching frequency and then rectifies it to produce the dc output. A portion of its dc output is compared with a voltage reference ( $V_{REF}$ ) and controls the PWM. If the output voltage tends to increase, the voltage fed back to the PWM circuit reduces its duty cycle, causing its output to reduce and maintain the proper regulated voltage. Conversely, if the output voltage tends to go down, the feedback causes the power-switch duty cycle to increase, keeping the regulated output at its proper voltage.

Typically, the power semiconductor switch turns on and off at a frequency that may range from 100kHz to 1MHz, depending on the IC type. Switching frequency determines the physical size and value of filter inductors, capacitors, and transformers. The higher the switching frequency, the smaller the physi-



### 7.8. Basic buck converter; inductor always “bucks” or acts against the input voltage

cal size and component value. To optimize efficiency, magnetic core material for the inductor and transformer should be consistent with the switching frequency. That is, the transformer/inductor core material should be chosen to operate efficiently at the switching frequency.

Figure 7-5 shows a simplified diagram of a switch-mode voltage regulator. Switch-mode dc-dc converters require a means to vary their output voltage in response to changes in their load. One approach is to use pulse-width modulation (PWM) that controls the input to the associated power switch. The PWM signal consists of two values, ON and OFF. A low-pass filter connected to the output of the power switch provides a voltage proportional to the ON and OFF times of the PWM controller.

There are two types of switch-mode converters: isolated and non-isolated, which depends on whether there is a direct dc path from the input to the output. An isolated converter employs a transformer to provide isolation between the input and output voltage (Fig. 7-6). The non-isolated converter usually employs an inductor and there is no voltage isolation between the input and output (Fig. 7-7). For the vast majority of applications, non-isolated converters are appropriate. However, some applications require isolation between the input and output voltages. An advantage of the transformer-based converter is that it has the ability to easily produce multiple output voltages, whereas the inductor-based converter provides only one output.

### Circuit Topologies

There are two basic IC topologies employed in dc power converters. If the output is lower than the input voltage, the IC is said to be a step-down, or buck converter. If the output is higher than the input voltage, the

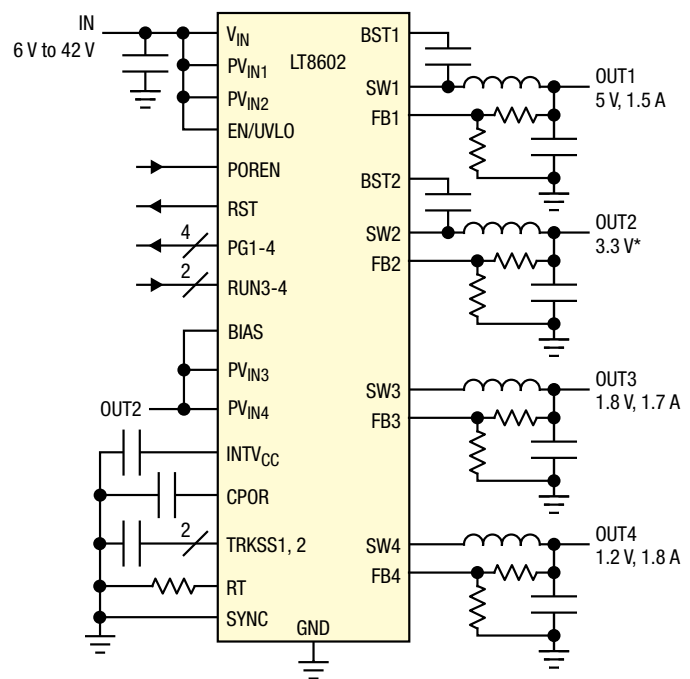
IC is said to be a step-up, or boost converter.

In its basic circuit (Fig. 7-8), the buck regulator accepts a dc input, converts it to a PWM (pulse-width modulator) switching frequency that controls the output of the power MOSFET (Q1). An external rectifier, inductor, and output capacitor produce the regulated dc output. The regulator IC compares a portion of the rectified dc output with a voltage reference ( $V_{REF}$ ) and varies the PWM duty cycle to maintain a constant dc output voltage. If the output voltage tends to increase, the PWM reduces its duty cycle causing the output to reduce and keeping the regulated output at its proper voltage. Conversely, if the output voltage tends to go down, the feedback causes the PWM duty cycle to increase and maintain the regulated output.

The buck, or step-down regulator topology has advantages of simplicity and low cost. However, it has a limited power range and its direct dc path from input to output can pose a problem if there is a shorted power switch.

### LT8602

The LT8602 from Linear Technology is a constant-frequency, current-mode, monolithic buck-switching regulator with four output channels (Fig. 7-9). Two are high-voltage channels with a 3V to 42V input and the other two are low-voltage channels with a 2.6V to 5.5V input.



$$*I_{OUT2} = 2.5 A - I_{PVIN3} - I_{PVIN4}$$

7-9. LT8602 Quad buck converter has two high-voltage channels with a 3V to 42V input and the other two are low-voltage channels with a 2.6V to 5.5V input.

input.

The IC employs a single oscillator that generates two clock (CLK) signals 180 deg. out of phase. Channels 1 and 3 operate on CLK1, while channels 2 and 4 operate on CLK2. A buck regulator only draws input current during the top switch on cycle, so multiphase operation cuts peak input current and doubles the input current frequency. This reduces both input current ripple and the required input capacitance.

Each high-voltage (HV) channel is a synchronous buck regulator that operates from its own PVIN pin. The internal top-power MOSFET turns on at the beginning of each oscillator cycle, and turns off when the current flowing through the top MOSFET reaches a level determined by its error amplifier. The error amplifier measures the output voltage through an external resistor divider tied to the FB pin to control the peak current in the top switch.

While the top MOSFET is off, the bottom MOSFET is turned on for the remainder of the oscillator cycle or until the inductor current starts to reverse. If overload conditions result in more than 2A (Ch 1) or 3.3A (Ch 2) flowing through the bottom switch, the next clock cycle will be delayed until switch current returns to a lower, safe level.

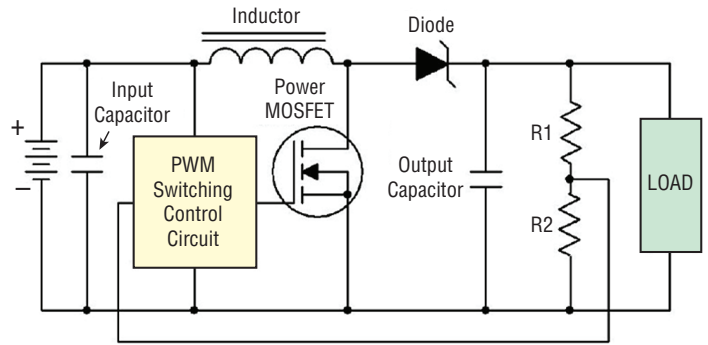
High-voltage channels have Track/Soft-Start Inputs (TRKSS1, TRKSS2). When this pin is below 1V, the converter regulates the FB pin to the TRKSS voltage instead of the internal reference. The TRKSS pin has a 2.4 $\mu$ A pull-up current. The TRKSS pin can also be used to allow the output to track another regulator, either the other HV channel or an external regulator.

As shown in the simplified inductive-boost dc-dc converter circuit (Fig. 7-10), turning on the power MOSFET causes current to build up through the inductor. Turning off the power MOSFET forces current through the diode to the output capacitor. Multiple switching cycles build the output capacitor voltage due to the charge it stores from the inductor current. The result is an output voltage higher than the input.

## LTC3124

The typical application circuit Linear Technology's LTC3124 shown in Fig. 7-11 employs an external resistive voltage divider from V<sub>OUT</sub> to FB to SGND to program the output from 2.5V to 15V. When set for a 12V output, it can deliver up to 1.5A continuously from a 5V input. Its 2.5A per phase current limit, along with the ability to program output voltages up to 15V make it suitable for a variety of applications.

Use of two phases equally spaced 180 deg. apart, doubles output ripple frequency, and significantly reduces output capacitor ripple current. Although this

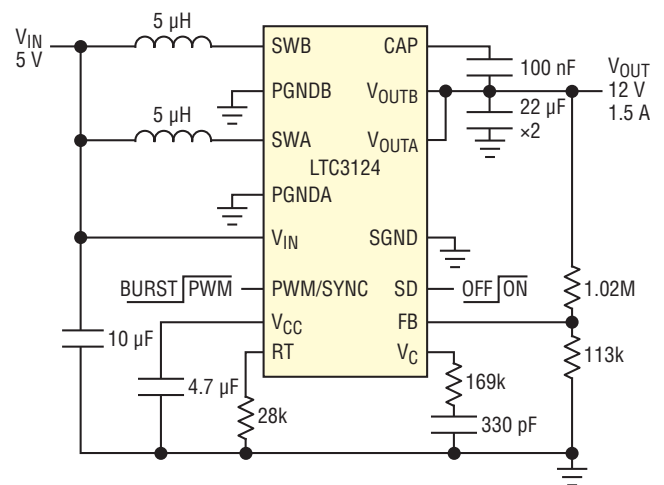


7-10. Basic non-isolated switch-mode inductive-boost dc-dc converter.

architecture requires two inductors, rather than a single inductor, it has several important advantages:

- Substantially lower peak inductor current allows the use of smaller, lower-cost inductors.
- Significantly reduced output ripple current minimizes output capacitance requirement.
- Higher-frequency output ripple is easier to filter for low-noise applications.
- Input ripple current is also reduced for lower noise on V<sub>IN</sub>.

With two-phase operation, one phase always delivers current to the load whenever V<sub>IN</sub> is greater than one-half V<sub>OUT</sub> (for duty cycles less than 50%). As the duty cycle decreases further, load current delivery between the two phases begin to overlap, occurring simultaneously for a growing portion of each phase as the duty cycle approaches zero. Compared with a single-phase converter, this significantly reduces both the output ripple current



7-11. LTC3124 application circuit employs an external resistive voltage divider from V<sub>OUT</sub> to FB to SGND to program the output from 2.5V to 15V.



and the peak current in each inductor.

The LTC3124 provides an advantage for battery-powered systems, it can start up from inputs as low as 1.8V and continue to operate from inputs as low as 0.5V, while producing output voltages greater than 2.5V. This extends operating times by maximizing the amount of energy extracted from the input source. The limiting factors for the application are the ability of the power source to supply sufficient power to the output at the low input voltage, and the maximum duty cycle, which is clamped at 94%.

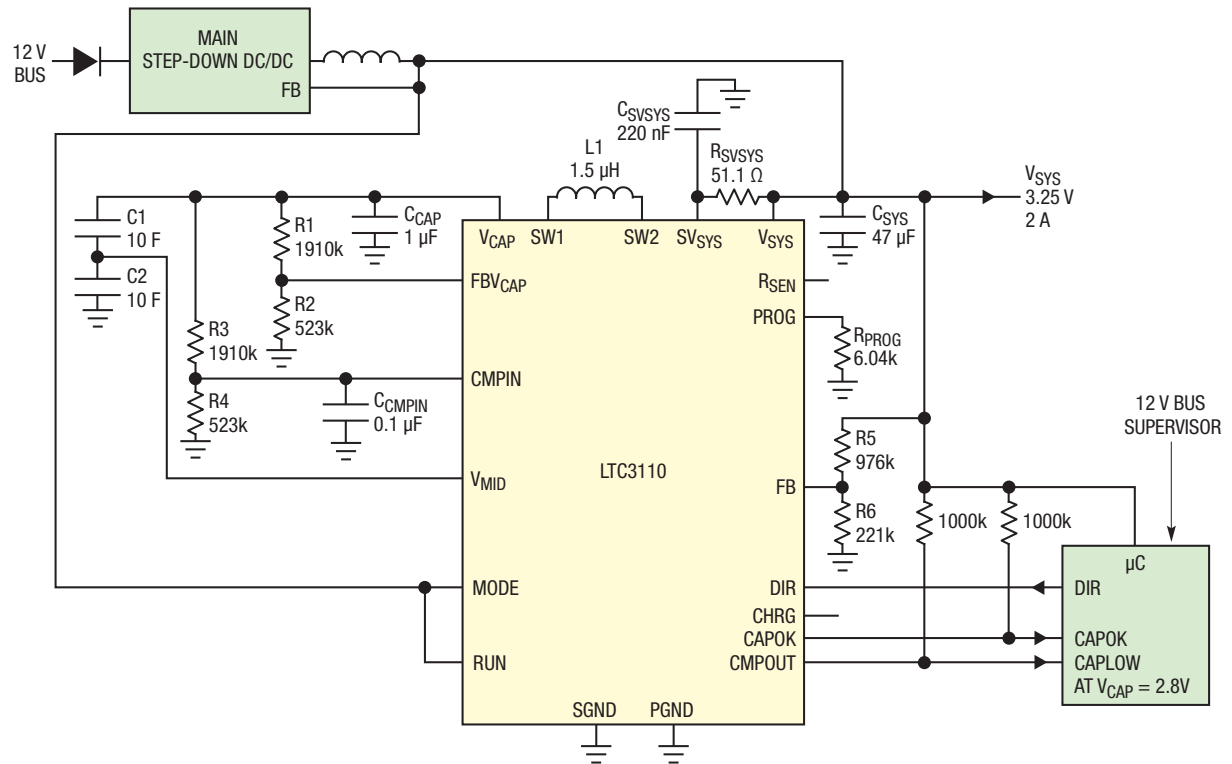
At low input voltages, small voltage drops due to series resistance become critical and limit the converter's power delivery.

Even if the input voltage exceeds the output voltage, the IC will regulate the output, enabling compatibility with any battery chemistry. The LTC3124 is an ideal solution for boost applications requiring outputs up to 15V where high efficiency, small size and high reliability are defining factors.

**LTC3110**

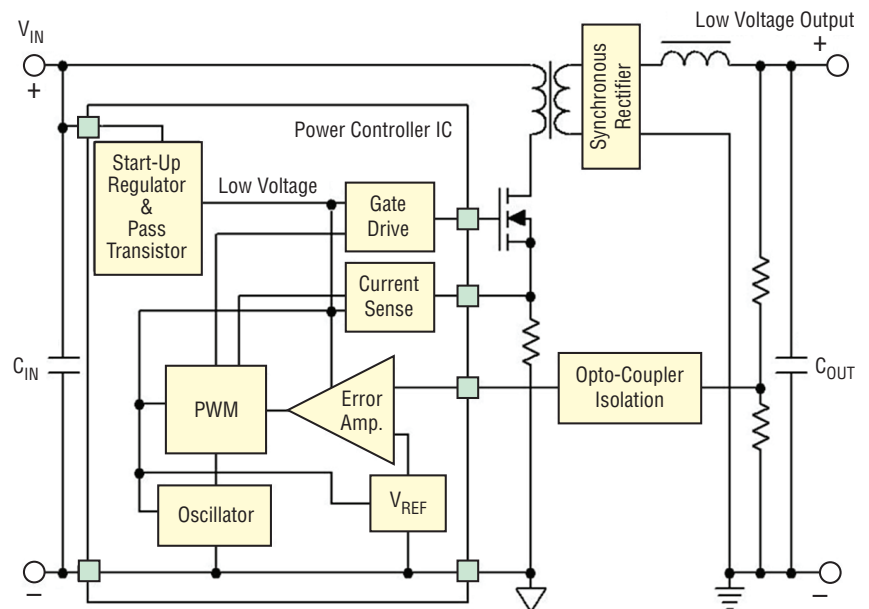
The LTC3110 from Linear Technology is a 2A buck-boost DC/DC regulator/charger combination with pin-selectable operation modes for charging and system backup (Fig. 7-12). This bidirectional, programmable input current buck-boost supercapacitor charger provides active charge balancing for 1- or 2-series supercapacitors. Its proprietary low noise buck-boost topology does the work of two separate switching regulators, saving size, cost and complexity.

Bidirectional refers to the dc current flow related to VSYS, the power-supply pin for system backup output voltage and charge

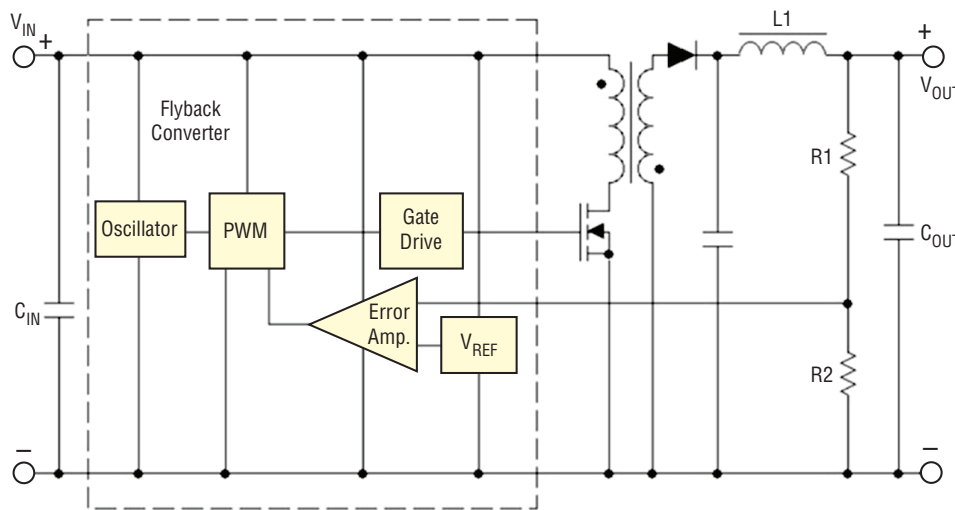


**7-12. The LTC3110 is a 2A buck-boost dc/dc regulator/charger combination with pin-selectable operation modes for charging and system backup.**

current input voltage. In one direction, the LTC3110 operates as a buck-boost regulator taking current out from the supercapacitor and providing a regulated voltage to the load at the VSYS pin. In the other direction, the sign



**7-13. Basic forward converter can operate as a step-up or step-down converter. Theoretically, it should use an “ideal” transformer with no leakage fluxes, zero magnetizing current, and no losses.**

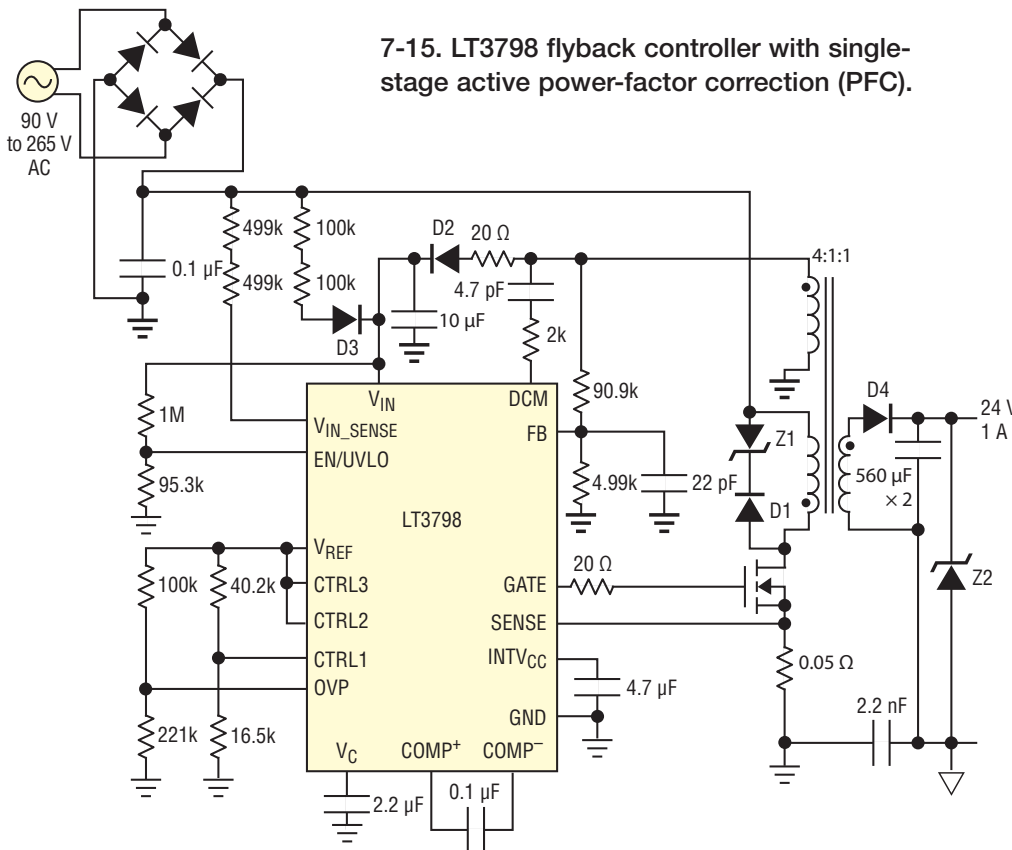


**7-14. Basic flyback converter's transformer usually has an air gap, enabling it to store energy during the on-time and deliver the energy to the diode during off-time.**

of the current flow reverses and an accurately limited current flows from the system rail back to charge the supercapacitor. If  $V_{SYS}$  drops due to a power loss, it can switch direction autonomously to stabilize the system voltage by delivering current from the supercapacitor into  $V_{SYS}$ .

The LTC3110's 0.1V to 5.5V capacitor/battery voltage and 1.8V to 5.25V system backup voltage ranges make it well-suited to a wide variety of backup applications using supercapacitors or batteries, for example:

- It integrates all the functionality required to exploit the benefits of supercapacitors, charging, balancing and backup.
- An Input current limit with  $\pm 2\%$  accuracy eliminates external components, lowers IQ and allows it to utilize full capability of power source without exceeding safety limits.
- Input power sharing enables LTC3110 and other dc/dc converters or loads to share the same power source with minimal derating/margin.
- An active balancer synchronously shuttles charge between the capacitors, eliminating external ballast resistors and their power losses, resulting in fewer recharge cycles and faster charging.
- It can autonomously transition from charge to backup mode or switch modes based on an external command.

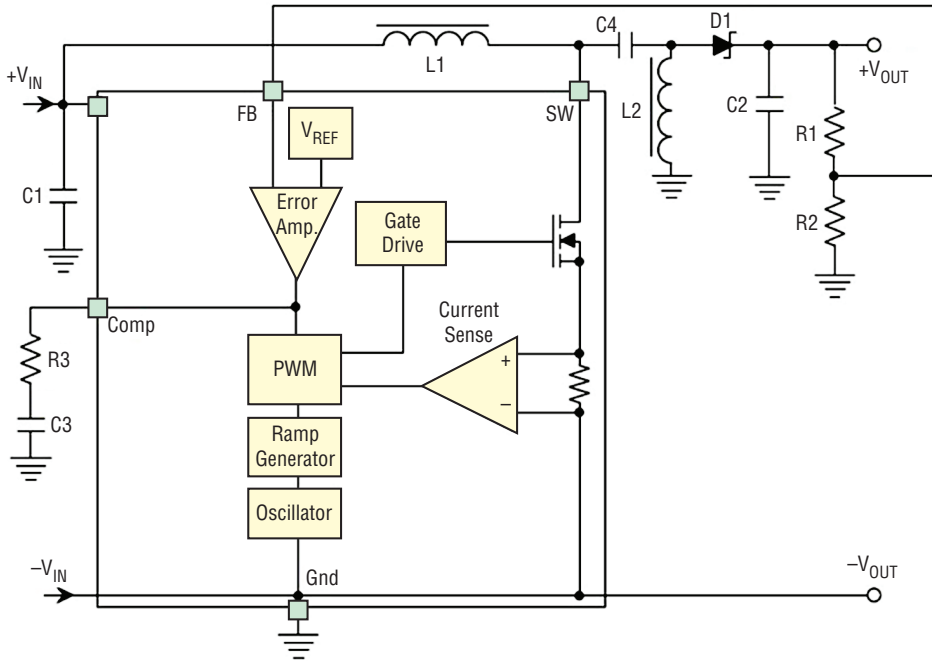


**7-15. LT3798 flyback controller with single-stage active power-factor correction (PFC).**

In Fig. 7-13, the PWM control turns the MOSFET on and off. Without feedback, the PWM duty cycle determines the output voltage, which is twice the input for a 50% duty cycle. Stepping up the voltage by a factor of two causes the input current to be twice the output current. In a real circuit with losses, the input current is slightly higher.

Its advantages are simplicity, low cost, and the ability to step-up the output without a transformer. Disadvantages are a limited power range and a relatively high output ripple due to the off-time energy coming from the output capacitor.

Inductor selection is a critical part of this boost circuit design because the inductance value affects input and output ripple voltages and currents. An induc-



7-16. The two inductors in the basic SEPIC converter can be wound on the same core because the same voltages are applied to them throughout the switching cycle.

tor with low series resistance provides optimal power conversion efficiency. Choose the inductor's saturation current rating so that it is above the steady-state peak inductor current of the application.

To ensure stability for duty cycles above 50%, the inductor requires a minimum value determined by the minimum input voltage and maximum output voltage. This depends on the switching frequency, duty cycle, and on-resistance of the power MOSFET.

Forward converter topology (Fig. 7-13) is essentially an isolated version of the buck converter. Use of a transformer allows the forward converter to be either a step-up or step-down converter, although the most common

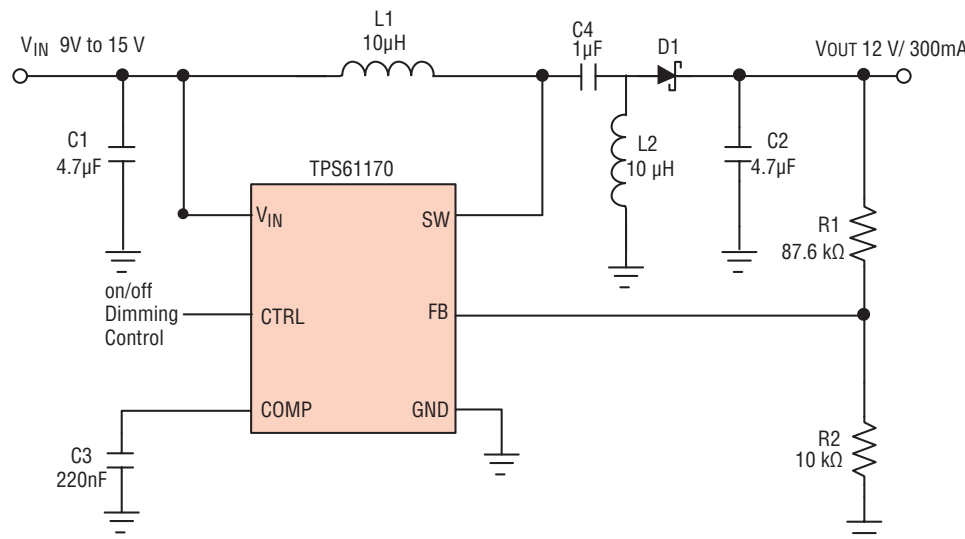
application is step-down. The main advantages of the forward topology are its simplicity and flexibility. Another transformer-isolated topology, the simplified flyback converter (Fig. 7-14) operates in the indirect conversion mode. Flyback topology is one of the most common and cost-effective means for generating moderate levels of isolated power in ac-dc converters. It has greater flexibility because it can easily generate multiple output voltages by adding additional secondary transformer windings. A disadvantage is that regulation and output ripple are not as tightly controlled as in some of the other topologies and the stresses on the power switch are higher.

**LT3798**

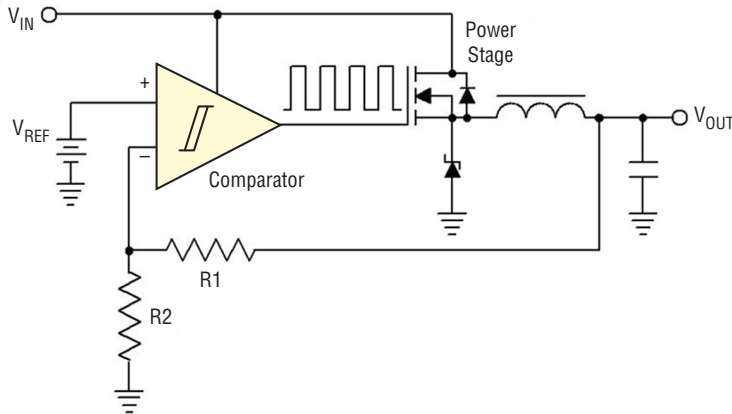
Linear Technology's LT3798 is an isolated flyback controller with single-stage active power-factor correction (PFC). Efficiencies greater than 86% can be achieved with output power levels up to 100W. Depending on the choice of external components, it can operate over a 90VAC to 277VAC input range, and can easily be scaled higher or lower. Furthermore, the LT3798 can be

designed into high input voltage dc applications, making it suited for industrial, EV/EHV automotive, mining, and medical applications.

Figure 7-15 shows a typical application for the LT3798. This IC is a current mode switching controller intended specifically for generating a constant current/constant voltage supply with an isolated flyback topology. To maintain regulation, this topology usually uses output voltage and current feedback from the isolated secondary side of the output transformer to VIN. Typically, this requires an opto-isolator. Instead, the LT3798 uses the external MOSFET's peak current derived from a sense



7-17. TPS61170 configured as a SEPIC converter.



**7-18. Basic hysteretic regulator represents the Fastest dc-dc converter control technique.**

resistor to determine the flyback converter's output current, without requiring an optocoupler.

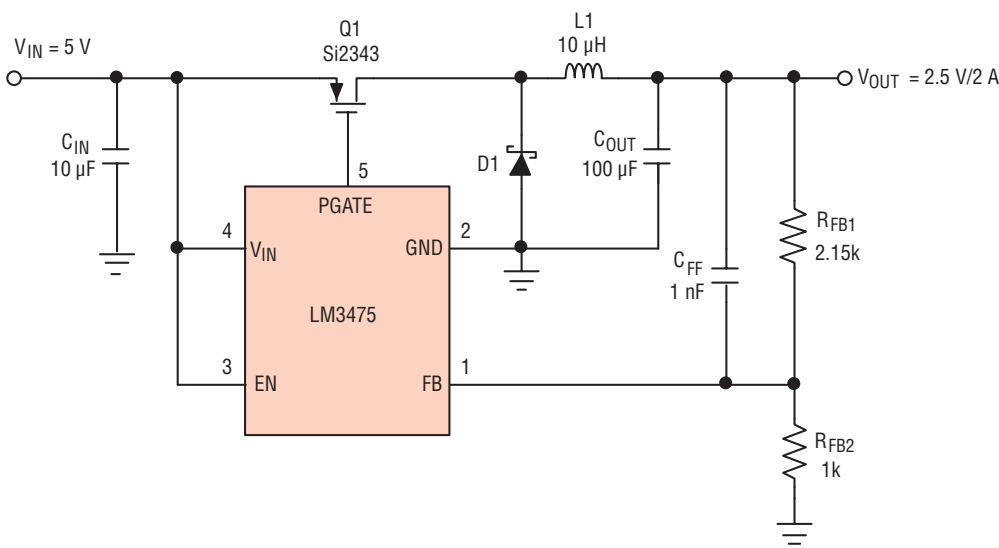
As shown in Fig. 7-15, the output transformer has three windings, including the output. The external MOSFET's drain connects to one of the primary windings. The transformer's third winding senses the output voltage and also supplies power for steady-state operation. The  $V_{IN}$  pin supplies power to an internal LDO that generates 10V at the  $INTVCC$  pin. Internal control circuitry consists of two error amplifiers, minimum circuit, multiplier, transmission gate, current comparator, low output current oscillator, and master latch. Also, a sample-and-hold circuit monitors the third winding's output voltage. A comparator detects the discontinuous conduction mode (DCM) with a capacitor and series resistor connected to

the third winding.

During a typical cycle, the gate driver turns on the external MOSFET so that a current flows in the primary winding. This current increases at a rate proportional to the input voltage and inversely proportional to the transformer's magnetizing inductance. The control loop determines the maximum current and a comparator turns off the switch when it reaches that current. When the switch turns off, the energy in the transformer flows out the secondary winding through the output diode, D1. This current decreases at a rate proportional to the output voltage. When the current decreases to zero, the output diode turns off and voltage across the secondary winding starts to oscillate from the parasitic capacitance and the magnetizing inductance of the transformer.

All windings have the same voltage across them, so the third winding rings, too. The capacitor connected to the DCM pin trips the comparator, which serves as a  $dv/dt$  detector, when ringing occurs. This timing information is used to calculate the output current. The  $dv/dt$  detector waits for the ringing waveform to reach its minimum value and then the switch turns on. This switching behavior is similar to zero volt switching and minimizes the amount of energy lost when the switch is turned on, improving efficiency as much as 5%. This IC operates on the edge of continuous and discontinuous conduction modes, which is called the critical conduction mode (or boundary conduction mode). Critical conduction mode operation enables use of a smaller transformer than continuous conduction mode designs.

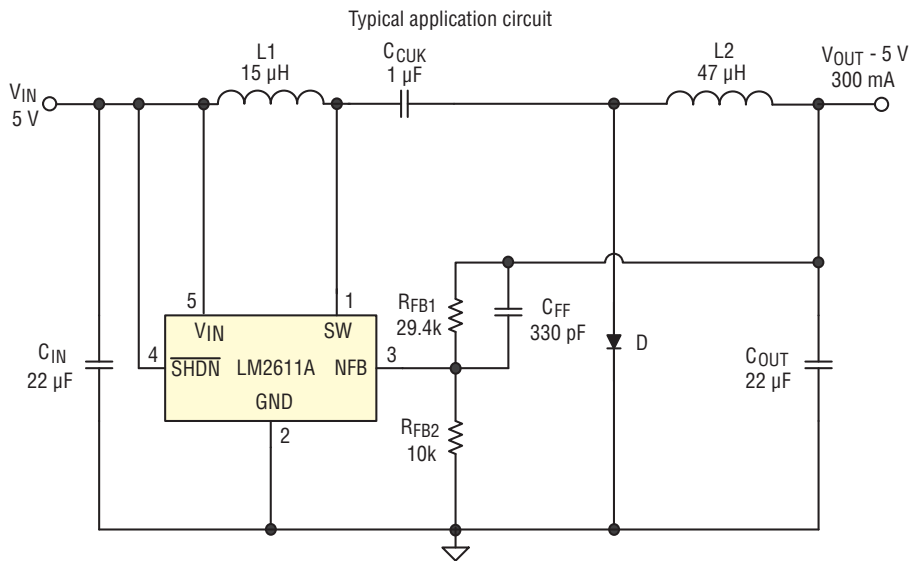
## SEPIC



**7-19. LM3475 is a buck (step-down) dc-dc controller that uses a hysteretic control architecture, which results in Pulse Frequency Modulated (PFM) regulation.**

The single-ended primary-inductance converter (SEPIC) is a dc/dc-converter topology that provides a positive regulated output voltage from an input voltage that varies from above to below the output voltage. The simplified SEPIC converter shown in Fig. 7-16 uses two inductors, L1 and L2, which can be wound on the same core because the same voltages are applied to them throughout the switching cycle. Using a coupled inductor takes up less space on the p.c. board and tends to be lower-cost than two separate inductors. The capacitor C4 isolates the input from the output and provides protection against a shorted load.





7-20. LM2611 configured as a Cuk converter

The IC regulates the output with current mode PWM control that turns on the power MOSFET Q1 at the beginning of each switching cycle. The input voltage is applied across the inductor and stores the energy as inductor current ramps up. During this portion of the switching cycle, the load current is provided by the output capacitor. When the inductor current rises to the threshold set by the error amplifier output, the power switch turns off and the external Schottky diode is forward biased. The inductor transfers stored energy to replenish the output capacitor and supply the load current. This operation repeats in every switching cycle. The duty cycle of the converter is determined by the PWM control comparator, which compares the error amplifier output and the current signal.

A ramp signal from the oscillator is added to the current ramp. This slope compensation is to avoid sub-harmonic oscillation that is intrinsic to the current mode control at duty cycle higher than 50%. The feedback loop regulates the FB pin to a reference voltage through an error amplifier. The output of the error amplifier is connected to the COMP pin. An external RC compensation network is connected to the COMP pin to optimize the feedback loop for stability and transient response.

### TPS61170

The TPS61170 is a monolithic, high-voltage switching regulator from Texas Instruments with an integrated 1.2A, 40V power MOSFET. The device can be configured in several standard regulator topologies, including boost and SEPIC. Figure 7-17 shows the SEPIC configuration. The device has a wide input-voltage range to support applications with input voltage from batteries or

regulated 5V, 12V power rails.

The IC integrates a 40 V low-side FET for providing output voltages up to 38 V. The device regulates the output with current mode PWM (pulse width modulation) control. The switching frequency of the PWM is fixed at 1.2 MHz (typical). The PWM control circuitry turns on the switch at the beginning of each switching cycle. The input voltage is applied across the inductor and stores the energy as the inductor current ramps up. During this portion of the switching cycle, the load current is provided by the output capacitor. When the inductor current rises to the threshold set by the error amplifier output, the power switch turns off and the external Schottky diode is forward biased. The

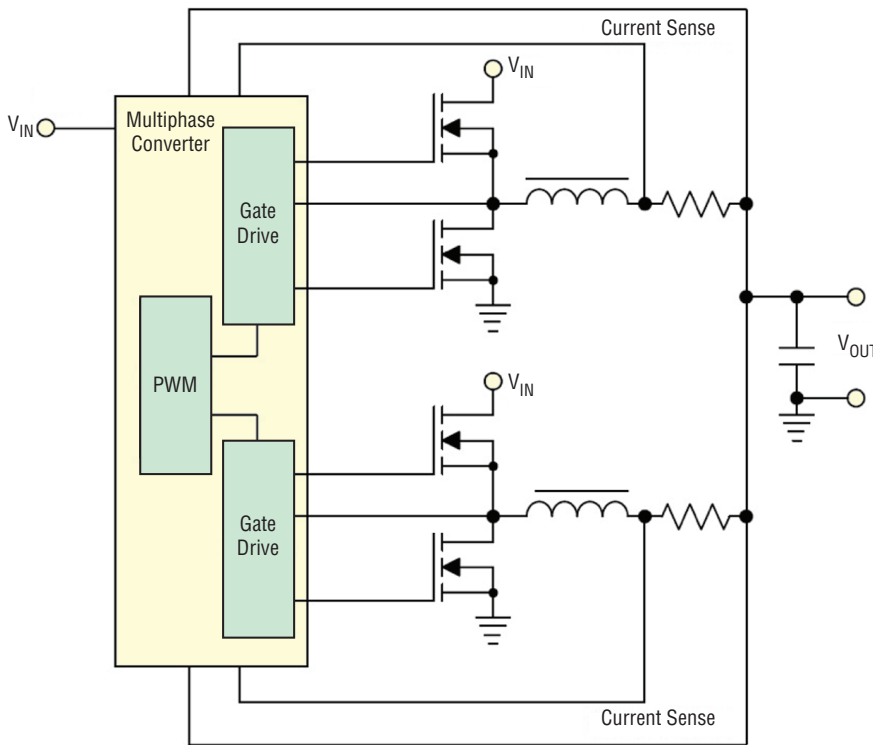
inductor transfers stored energy to replenish the output capacitor and supply the load current. This operation repeats each switching cycle. As shown in the block diagram, the duty cycle of the converter is determined by the PWM control comparator which compares the error amplifier output and the current signal.

The TPS61170 operates at a 1.2-MHz switching frequency, allowing the use of low-profile inductors and low-value ceramic input and output capacitors. It has built-in protection, including overcurrent limit, soft start and thermal shutdown.

### Hysteretic Converter

The basic hysteretic regulator shown in Fig. 7-18 is a type of switching regulator that does not employ a PWM. It consists of a comparator with input hysteresis that compares the output feedback voltage with a reference voltage. When the feedback voltage exceeds the reference voltage, the comparator output goes low, turning off the buck-switch MOSFET. The switch remains off until the feedback voltage falls below the reference hysteresis voltage. Then, the comparator output goes high, turning on the switch and allowing the output voltage to rise again.

The basic hysteretic converter consists of an Error Comparator, control logic, and internal reference. The output usually drives a synchronous rectifier, which can be internal or external. A portion of the output voltage is fed back to the Error Comparator, which compares it with the reference voltage. If the output tends to go low relative to the reference voltage, the output capacitor charges up until it reaches equilibrium with the reference voltage. The comparator then turns on the synchronous



**7-21. Basic multiphase converter has two phases that are interleaved, which reduces ripple currents at the input and output.**

rectifier. When the synchronous rectifier is on, the output voltage drops low enough to overcome the comparator's hysteresis, at which time the synchronous rectifier turns off, starting a new cycle.

There is no voltage-error amplifier in the hysteretic regulator, so its response to any change in the load current or the input voltage is virtually instantaneous. Therefore, the hysteretic regulator represents the fastest possible dc-dc converter control technique. A disadvantage of the conventional hysteretic regulator is that its frequency varies proportionally with the output capacitor's ESR. Since the initial value is often poorly controlled, and the ESR of electrolytic capacitors also changes with temperature and age, practical ESR variations can easily lead to frequency variations in the order of one to three. However, there is a modification of the hysteretic topology that eliminates the dependence of the operating frequency on the ESR.

**LM3475**

The LM3475 is a buck (step-down) dc-dc controller that uses a hysteretic control architecture, which results in Pulse Frequency Modulated (PFM) regulation (Fig. 7-19). The hysteretic control scheme does not utilize an internal oscillator. Switching frequency depends on external components and operating conditions. Oper-

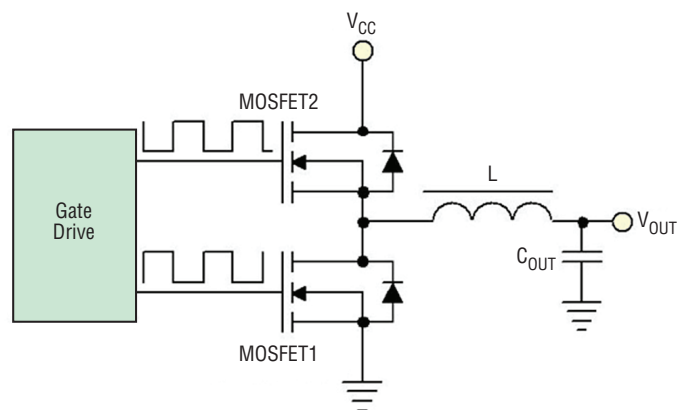
ating frequency decreases at light loads, resulting in excellent efficiency compared to PWM architectures. Because switching is directly controlled by the output conditions, hysteretic control provides exceptional load transient response.

The LM3475 uses a comparator-based voltage control loop. The voltage on the feedback pin is compared to an 0.8V reference with 21mV of hysteresis. When the FB input to the comparator falls below the reference voltage, the output of the comparator goes low. This results in the driver output, PGATE, pulling the gate of the PFET low and turning on the PFET.

With the PFET on, the input supply charges C<sub>OUT</sub> and supplies current to the load through the PFET and the inductor. Current through the inductor ramps up linearly, and the output voltage increases. As the FB voltage reaches the upper threshold (reference voltage plus hysteresis) the output of the comparator goes high, and the PGATE turns the PFET off. When the PFET turns off, the catch diode turns on, and the current through the inductor ramps down. As the output voltage falls below the reference voltage, the cycle repeats.

**Cuk Converter**

The Cuk converter is a dc-dc converter whose output voltage magnitude can be either greater than or less than the input voltage. It is essentially a boost converter followed by a buck converter with a capacitor to couple the energy. It is an inverting converter, so the output voltage is negative with respect to the input voltage. The non-isolated Cuk converter can only have opposite



**7-22. Synchronous rectifier is more efficient than a diode rectifier.**

polarity between input and output. It uses a capacitor as its main energy-storage component, unlike most other types of converters that use an inductor.

As with other converters (buck converter, boost converter, buck-boost converter), the Cuk converter can either operate in continuous or discontinuous current mode. However, unlike these converters, it can also operate in discontinuous voltage mode (the voltage across the capacitor drops to zero during the commutation cycle).

The LM2611 from Texas Instruments is a Cuk converter that consists of a current mode controller with an integrated primary switch and integrated current sensing circuitry (Fig. 7-20). The feedback is connected to the internal error amplifier and it uses type II/III internal compensation. A ramp generator provides some slope compensation to the system.  $\overline{\text{SHDN}}$  pin is a logic input designed to shut down the converter.

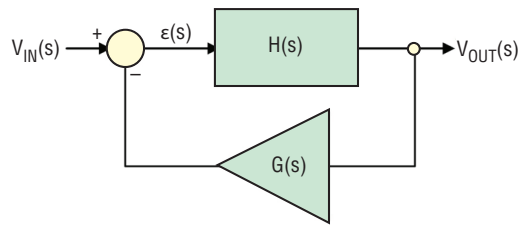
A current mode, fixed frequency PWM switching regulator the LM2611 has a  $-1.23\text{V}$  reference that makes it ideal for use in a Cuk converter. The Cuk converter inverts the input and can step up or step down the absolute value. Using inductors on both the input and output, the Cuk converter produces very little input and output current ripple. This is a significant advantage over other inverting topologies such as the buck-boost and flyback.

## Multiphase Converter

As current requirements increase, so does the need for increasing the number of phases in the converter.

Single-phase buck controllers are fine for low-voltage applications with currents of up to about 25 A, however power dissipation and efficiency are an issue at higher currents. One approach for higher current loads is the multiphase buck controller. Their performance makes them ideal for powering personal electronics, portable industrial, solid state drive, small-cell applications, FPGAs, and microprocessors.

The two-phase circuit shown in Fig. 7-21 has interleaved phases, which reduces ripple currents at the input and output. It also reduces hot spots on a printed circuit



**7-23. Typical switch-mode power supply model with negative feedback employs a compensation block,  $G(s)$ , and  $H(s)$ , the open-loop gain.  $V_{IN}(s)$  is the input and  $V_{OUT}(s)$  is the output.**

board or a particular component. A two-phase buck converter reduces RMS current power dissipation in the MOSFETs and inductors by half. Interleaving also reduces transitional losses.

Multiphase cells operate at a common frequency, but are phase shifted so that conversion switching occurs at regular intervals controlled by a common control chip. The control chip staggers the switching time of each converter so that the phase angle between

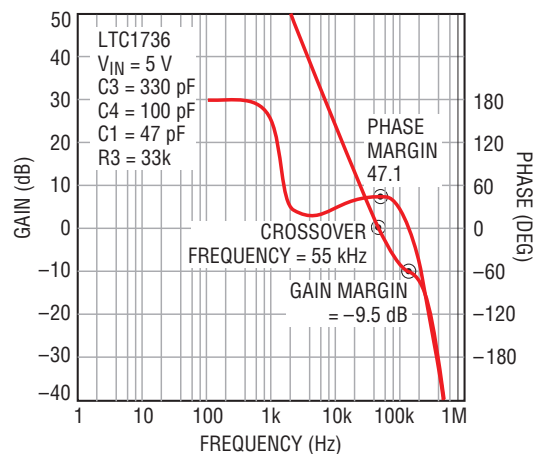
each converter switching is  $360 \text{ deg./n}$ , where  $n$  is the number of converter phases. The outputs of the converters are paralleled so that the effective output ripple frequency is  $n \times f$ , where  $f$  is the operating frequency of each converter. This provides better dynamic performance and significantly less decoupling capacitance than a single-phase system.

Current sharing among the multiphase cells is necessary so that one does not hog too much current. Ideally, each multiphase cell should consume the same amount of current. To achieve equal current sharing, the output current for each cell must be monitored and controlled.

The multiphase approach also offers packaging advantages. Each converter delivers  $1/n$  of the total output power, reducing the physical size and value of the magnetics employed in each phase. Also, the power semiconductors in each phase only need to handle  $1/n$  of the total power. This spreads the internal power dissipation over multiple power devices, eliminating the concentrated heat sources and possibly the need for a heat sink. Even though this uses more components, its cost tradeoffs can be favorable.

Multiphase converters have important advantages:

- Reduced RMS current in the input filter capacitor, allows use of a smaller and less expensive types
- Distributed heat dissipation, reduces the hot-spot temperature, increasing reliability
- Higher total power capability
- Increased equivalent frequency without increased switching losses, which allows use of smaller equivalent inductances that shorten load transient time
- Reduced ripple current in the



**7-24. Typical Bode plot for a switch-mode voltage regulator IC shows crossover frequency, gain, and phase margin.**

output capacitor reduces the output ripple voltage and allows use of smaller and less expensive output capacitors

- Excellent load transient response over the entire load range

Multiphase converters also have some disadvantages that should be considered when choosing the number of phases, such as:

- The need for more switches and output inductors than in a single-phase design, which leads to a higher system cost than a single-phase solution, at least below a certain power level
- More complex control
- The possibility of uneven current sharing among the phases
- Added circuit layout complexity

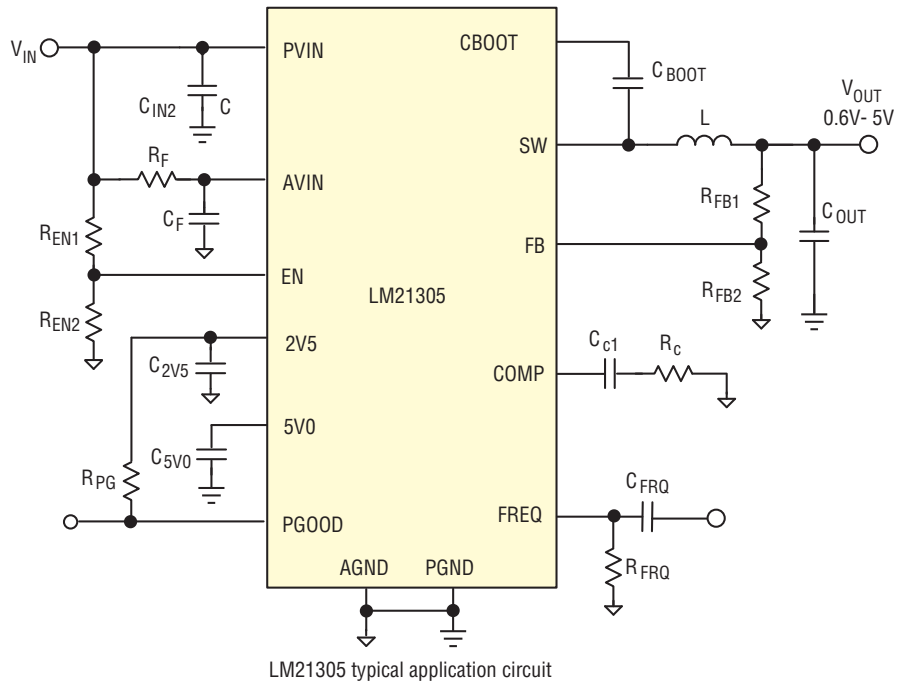
## Synchronous Rectification

Efficiency is an important criterion in designing dc-dc converters, which means power losses must be minimized. These losses are caused by the power switch, magnetic elements, and the output rectifier. Reduction in power switch and magnetics losses require components that can operate efficiently at high switching frequencies. Output rectifiers can use Schottky diodes, but synchronous rectification (Fig. 7-22) consisting of power MOSFETs can provide higher efficiency.

MOSFETs exhibit lower forward conduction losses than Schottky diodes. Unlike conventional diodes that are self-commutating, the MOSFETs turn on and off by means of a gate control signal synchronized with converter operation. The major disadvantage of synchronous rectification is the additional complexity and cost associated with the MOSFET devices and associated control electronics. At low output voltages, however, the resulting increase in efficiency more than offsets the cost disadvantage in many applications.

## Voltage Regulator Compensation

Switched-mode power supplies use negative feedback to regulate their output to a desired value. The optimum SMPS control system using negative feedback should feature speed, precision, and an oscillation-free response. One way to accomplish this is to limit the frequency range within which the SMPS reacts. To be stable, the frequency range, or bandwidth, should correspond to a frequency where the closed-loop transmission path from the input to the output drops by 3 dB (called the crossover frequency). It is mandatory to limit



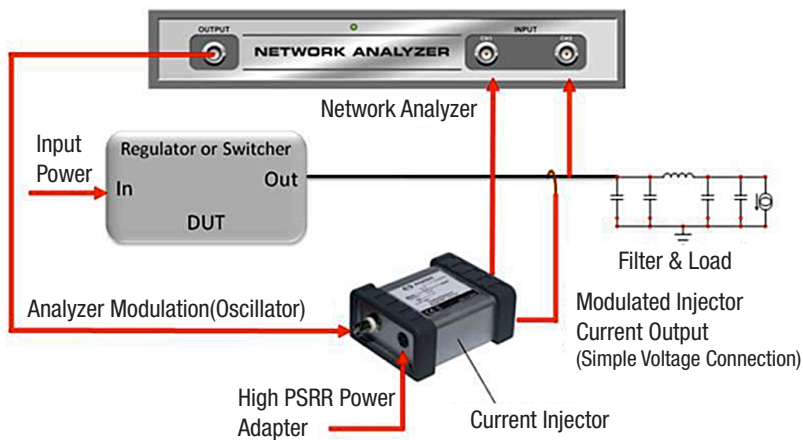
**7-25. The LM21305 is a switch-mode regulator IC that employs a single compensation node that requires compensation components RC and CC1 connected between the COMP pin and AGND.**

the bandwidth to what your application actually requires. Adopting too wide a bandwidth affects the system's noise immunity and too low a bandwidth results in poor transient response. You can limit the bandwidth of an SMPS control system by shaping its loop gain curve ( $V_{OUT}/V_{IN}$ ) using the compensator block,  $G(s)$  shown in Fig. 7-23. This block will ensure that after a certain frequency the loop gain magnitude drops and passes below 1 or 0 dB.

Also, to obtain a response converging toward a stable state we need to ensure that the phase where the loop gain magnitude is 1 is less than  $-180$  deg. To make sure we stay away from the  $-180$  deg. at the crossover frequency, the compensator  $G(s)$  must tailor the loop response at the selected crossover frequency to build the necessary phase margin. The appropriate phase margin ensures that despite external perturbations or unavoidable production spreads, changes in the loop gain will not put the system's stability in jeopardy. The phase margin also impacts the transient response of the system. Therefore, the compensator,  $G(s)$  must provide the desired gain and phase characteristics.

Using a network analyzer you can determine stability margins by measuring the gain and phase of the control loop, and then observe the resulting Bode plot (Fig. 7-24) that is a graph of the gain and phase versus frequency of a power supply. A 60-deg. phase margin is preferred, but 45 deg. is usually acceptable. A gain mar-





### 7-26. Non-accessible output impedance measurements (Picotest).

gain of  $-10\text{dB}$  is usually considered acceptable. Gain and phase margin are important because actual component values may vary over temperature. Thus, component values may differ from unit-to-unit in production, causing the control loop's voltage gain and phase to vary accordingly. Plus, component values may vary over time, and cause instability.

If component values cause the phase to go to zero at the crossover frequency, the regulator becomes unstable and oscillates. The goal of compensation is to provide the best gain and phase margins with the highest possible crossover frequency. A high crossover frequency provides a quick response to load current changes, whereas high gain at low frequencies produces fast settling of the output voltage. Component values and  $V_{\text{OUT}}/V_{\text{IN}}$  variations can force a trade-off between high crossover frequency and high stability margins.

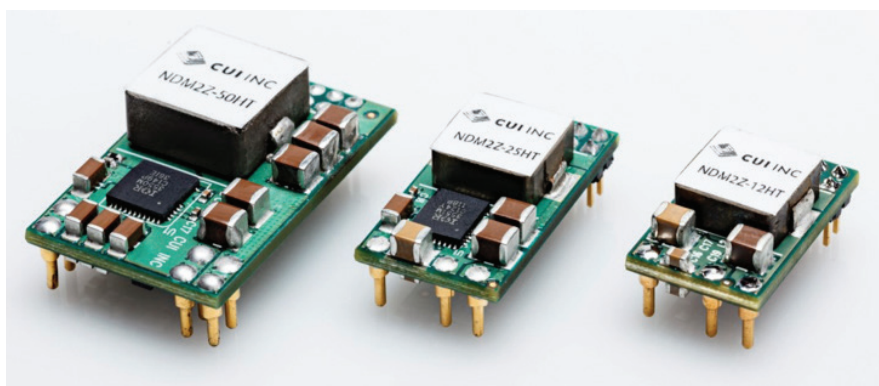
Determining the compensation for a power supply isn't always easy because a Bode plot assessment is not feasible when there is no feedback loop access to the

part. In other cases, the feedback loop is difficult to access because the hardware is integrated or would require cutting a PCB trace. In other cases, the devices either contain multiple control loops, with only one of them being accessible, or the order of the control loop is higher than second order, in which case the Bode plot is a poor predictor of relative stability. A further complication is that in many portable electronics, such as cell phones and tablets, the circuitry is very small and densely populated leaving little in the way of access to the control loop elements.

In the above cases the only way to verify stability is with non-invasive stability margin (NISM) assessment. It is derived from easily accessible output impedance measurements. The mathematical relationship that allows the precise determination of the control loop stability from output impedance data was developed by Picotest and incorporated into the OMICRON Lab Bode 100 Vector Network Analyzer (VNA) software. Figure 7-26 shows the test setup for this measurement.

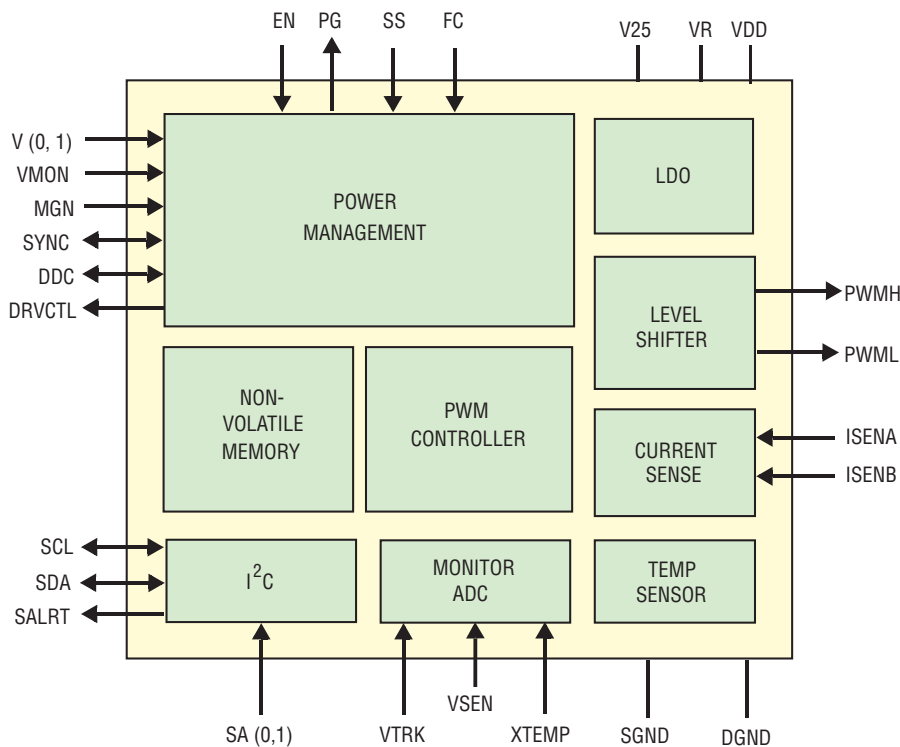
One of the earliest compensation techniques provided a voltage regulator with external nodes so the designer could insert compensation components. Determining compensation component values involved an analysis of the regulator IC and its external components. After determining the required compensation, the designer modeled or measured the regulator circuit with the compensation components installed. This process usually required several iterations before obtaining the desired results.

Proper implementation of a compensation network requires engineers with special tools, skills and experience. If the circuit was modeled and not measured, the designer had to eventually insert the actual compensation components to measure supply performance. Modeling was only as good as the designer's knowledge of the components and parasitics. The model might have been incomplete or differed from the actual circuit, so compensation had to be verified by measurement of the actual circuit. Invariably, reworking was necessary because of possible errors associated with changing components. Reworking could also change supply performance and damage circuits powered by the regulator.



7-27. CUI's NDM2Z power-supply family employs auto compensation that allows it to dynamically set optimum stability and transient response.

Some regulator IC vendors included internal compensation components, so the design didn't need further analysis. However, the designer had to use external



**7-28. Intersil's ZL8101 IC block diagram shows the PWM outputs (PWMH and PWML) that interface with an external driver like the ZL1505.**

vendor-specified components.

A single compensation node was the next stage in this evolution. An example of this is Texas Instruments' LM21305 switch-mode regulator IC, as shown in Fig. 7-25. The LM21305 typically requires only a single resistor and capacitor for compensation. However, sometimes it required an additional capacitor.

### Auto Compensation

To eliminate the problems associated with manual determination of power supply compensation two companies developed the technology for automatic compensation. This resulted in mixed signal regulator IC designs employing automatic compensation. This relieved the designer of the need for special tools, knowledge or experience to optimize performance. Automatic compensation sets the output characteristics so that changes due to component tolerances, ageing, temperature, input voltage and other factors do not affect performance.

CUI's NDM2Z family (Fig. 7-27) of digital point-of-load power supplies incorporate auto compensation using the Intersil/Zilker ZL8101M regulator IC. Auto compensation bypasses the traditional practice of building in margins to account for component variations, which can lead to higher component costs and longer design cycles.

The 50A NDM2Z supplies deliver 91% efficiency with 12 Vdc input and 1.0 Vdc output at 50% load. These supplies all have a 4.5 to 14 Vdc input range and a programmable output of 0.6 to 5.0 Vdc in the 12A version and 0.6 to 3.3 Vdc in the 25A and 50A versions.

Module features include active current sharing, voltage sequencing, voltage tracking, synchronization and phase spreading, programmable soft start and stop, as well as a host of monitoring capabilities. CUI's simple, easy-to-use GUI aids these designs.

### ZL8101

The NMD2Z uses an Intersil/Zilker ZL8101, voltage-mode, synchronous buck controller with a constant frequency pulse width modulator (PWM). This third-generation digital controller uses a dedicated, optimized, state machine for generating precise PWM pulses and a proprietary microcontroller used for setup, house-keeping, and optimization (Fig. 7-28). It requires external drivers, power MOSFETs, capacitors, and inductors. Integrated sub-regulation allows operation from a single 4.5V to 14V supply. Using simple pin connections or standard PMBus commands you can configure an extensive set of power management functions with Intersil's PowerNavigator GUI.

Initially, the ZL8101's auto compensation measures the characteristics of the power train and determines the required compensation. The IC saves compensation values and uses them on subsequent inputs. Once enabled, the ZL8101 is ready to regulate power and perform power management tasks with no programming required. Advanced configuration options and real-time configuration changes are available via the I2C/SMBus interface. An on-chip non-volatile memory (NVM) saves configuration data.

You should choose the external power MOSFETs primarily on RDS(ON) and secondarily on total gate charge. The actual power converter's output current depends on the characteristics of the drivers and output MOSFETs.

Configurable circuit protection features continuously safeguard the IC and load from damage due to system faults. The ZL8101 continuously monitors input voltage, output voltage/current, internal temperature, and temperature of an external thermal diode. You can also set monitoring parameters for specific fault condition alerts.

A non-linear response (NLR) loop improves the re-

response time and reduces load transient output deviations. To optimize power converter efficiency, the ZL8101 monitors its operating conditions and continuously adjusts the turn-on and turn-off timing of the high-side and low-side power MOSFETs. Adaptive performance optimization algorithms such as dead-time control, diode emulation, and adaptive frequency provide greater efficiency improvement.

A Power-Good (PG) signal indicates the output voltage is within a specified tolerance of its target level and no fault condition exists. By default, the PG pin asserts if the output is within  $-10\%/+15\%$  of the target voltage. You can change these limits and the polarity via the I2C/SMBus interface.

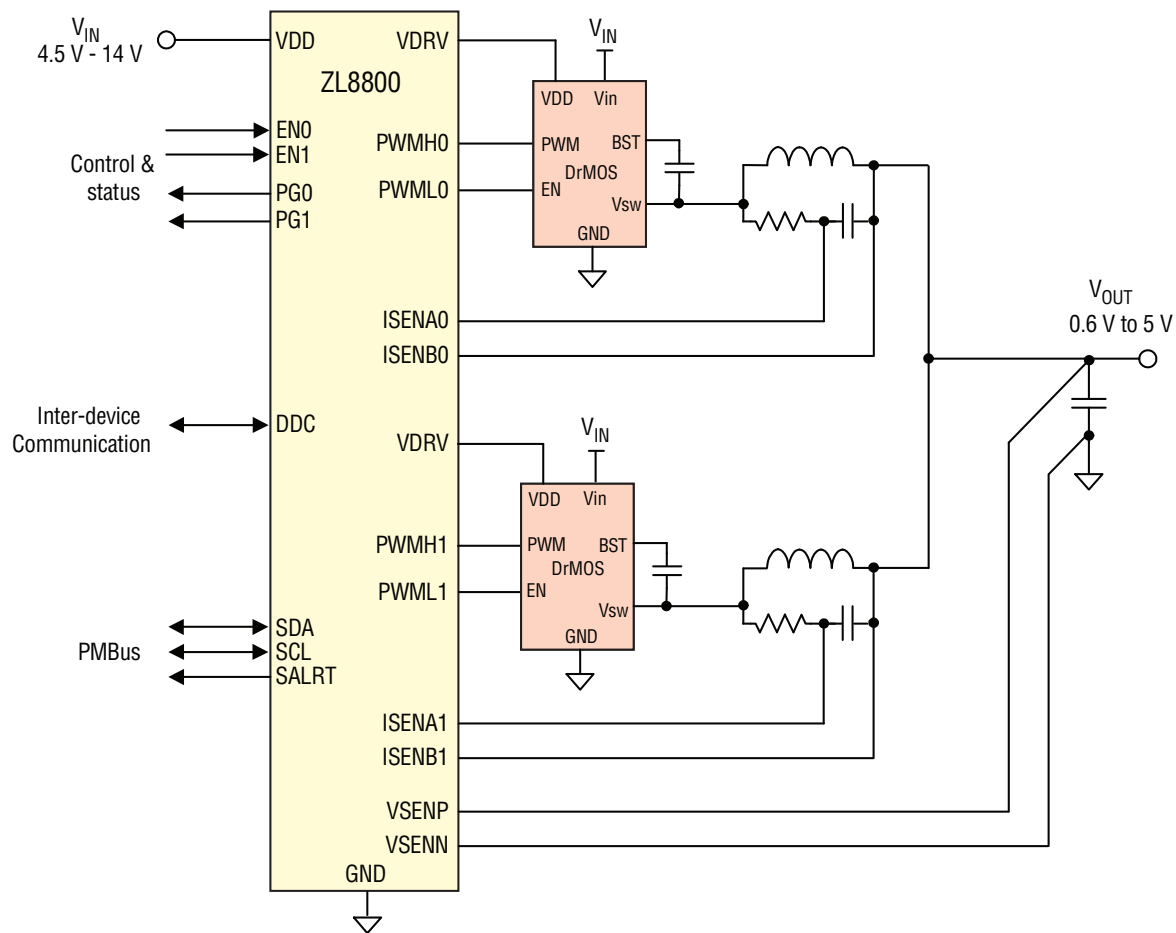
An internal phase-locked loop (PLL) serves as a clock for internal circuitry. You can drive the PLL from an external clock source connected to the SYNC pin. You can set the switching frequency from 200kHz to 1.33MHz.

A Windows-based GUI enables full configuration and monitoring capability via the I2C/SMBus interface.

CUI's NDM3Z-90 is a 90A module that has several features that enable high power conversion efficiency. Adaptive algorithms and cycle-by-cycle charge management improves the response time and reduces the output deviation as a result of load transients.

## ZL8800

The NDM3Z uses the Intersil ZL8800 for auto compensation. It is a dual output or dual phase digital dc/dc controller. Each output can operate independently or be used together in a dual phase configuration for high current applications. The ZL8800 supports a wide range of output voltages (0.54V to 5.5V) operating from input voltages as low as 4.5V up to 14V. Figure 7-29 shows the two-phase configuration that employs external DRMOS power modules.

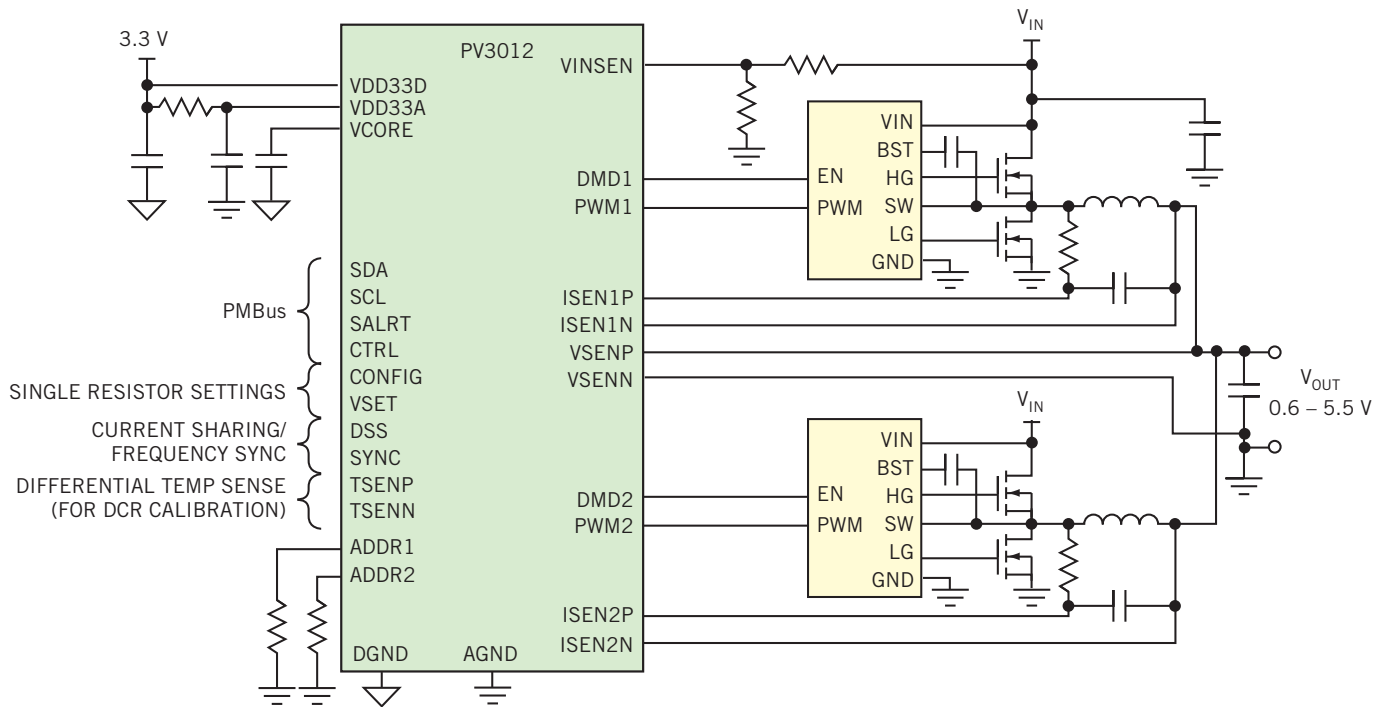


7-29. Intersil's ZL8800 configured as a two-phase converter

With the fully digital ChargeMode Control, the ZL8800 will respond to a transient load step within a single switching cycle. This unique compensation-free modulation technique allows designs to meet transient specifications with minimum output capacitance thus saving cost and board space.

Intersil's proprietary single wire DDC (Digital-DC) serial bus enables the ZL8800 to communicate between other Intersil ICs. By using the DDC, the ZL8800 achieves complex functions such as inter-IC phase current balancing, sequencing and fault spreading, eliminating complicated power supply managers with numerous external discrete components.

The ZL8800 features cycle-by-cycle output overcurrent protection. The input voltage, output voltages, and DrMOS/MOSFET driver supply voltages are under- and overvoltage protected. Two external and one internal temperature sensors are available for temperature monitoring, one of which is used for under and over-temperature protection. A snapshot parametric capture feature allows users to take a snapshot of operating and fault data during normal or fault conditions.



**7-30. Powervation's PV3012 IC is a real-time auto compensation IC with a single output, dual- or single-phase digital synchronous buck controller for POL applications.**

Integrated Low Dropout (LDO) regulators allow the ZL8800 to be operated from a single input supply eliminating the need for additional linear regulators. The LDO output can be used to power external drivers or DrMOS devices.

With full PMBus compliance, the ZL8800 is capable of measuring and reporting input voltage, input current, output voltage, output current as well as the device's internal temperature, external temperatures and an auxiliary voltage input.

This supply incorporates a wide range of configurable power management features that are simple to implement with a minimum of external components. Additionally, the supply has protection features that continuously safeguard the load from damage due to unexpected system faults.

The supply's standard configuration is suitable for a wide range of operation in terms of input voltage, output voltage, and load. The configuration is stored in an internal Non-Volatile Memory (NVM). All power-management functions can be reconfigured using the PMBus interface.

### Powervation Auto Compensation

Bellnix Co. Ltd. (Japan) uses ROHM's PV3012 Powervation digital controller in its low-profile, 60 A dc/dc module. The BDP12-0.6S60R0 digital power module is a PMBus compliant, non-isolated step-down converter that

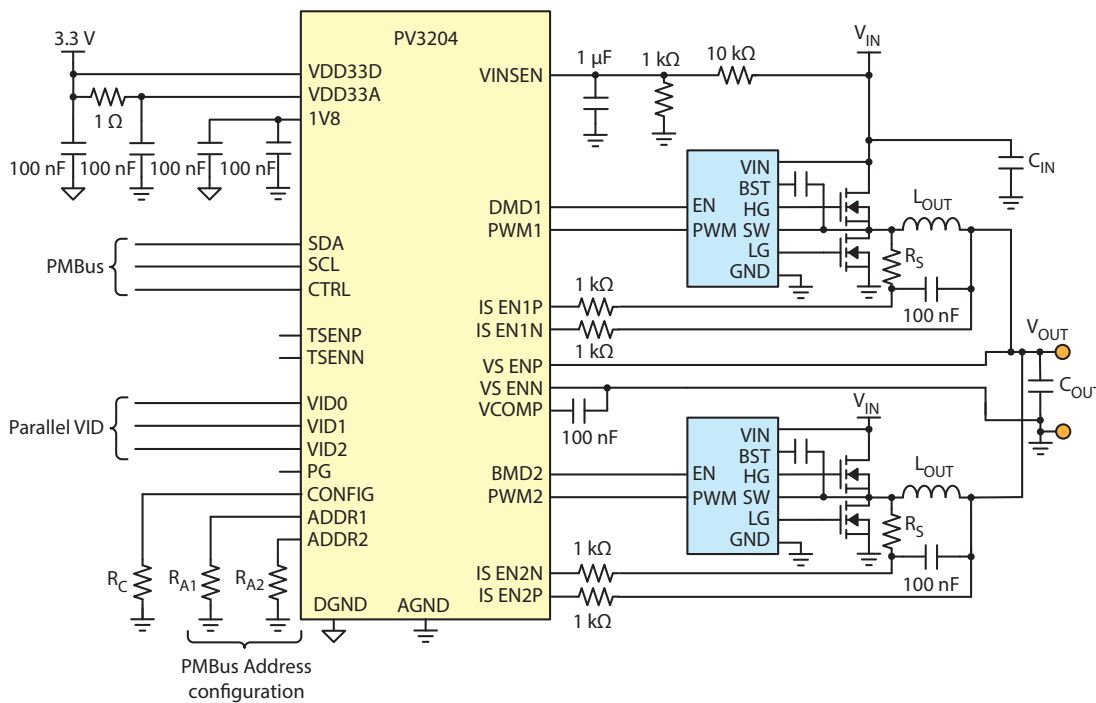
addresses the needs for small form-factor designs while providing high reliability and high performance. ROHM's PV3012 is a digital two-phase controller (Fig. 7-30).

The 60 A BDP uses, and parallel BDP module operation is supported via ROHM's DSS current sharing bus. This PMBus compliant module features precision measurement and telemetry reporting, a full line of programmable power-supply protection features, power good, and optional tracking function, all in a compact 32.8 mm × 23.0 mm ROHS compliant SMD package design.

ROHM's PV3012 Powervation digital controller is also used TDK-Lambda's iJB Series high-current digital POL modules use. The iJB series products support low-voltage, high-current operation while providing ±0.5% set-point accuracy over line, load, and temperature range. While the PMBus functionality of the module provides real-time telemetry of voltage, current, and temperature and enables full programmability of the dc/dc converter, the iJB series products also employ function setting pins, enabling them to be used in non-PMBus applications.

Using the Powervation intelligent auto-tuning technology, Auto-Control, the iJB POL modules bring better dynamic performance and system stability to the application. Auto-Control is a patented adaptive compensation technology that optimizes dynamic performance and system stability in real-time without requiring any





**7-31. Powervation's PV3204 is a dual-phase digital synchronous buck controller with adaptive loop auto-compensation, for point-of-load (POL) applications.**

noise injection or the drawbacks of periodic techniques. This is a key benefit for modules and other designs that drive unknown or variable output loads, and addresses the challenges of load parameter drift that occurs over temperature and time.

Another PV3012 digital controller user is Murata Power Solutions' the OKLF-T/25-W12N-C DC/DC module. It is a non-isolated, DC/DC converter delivering a maximum of 25 A at an output of 1.2 V, when operating up to 70°C with a 200 LFM airflow. The adjustable outputs provide precision regulation from 0.69 V to 3.63 V over a wide input range (6.5 V to 14 V).

Murata Power Solutions' OKLF 25 A module delivers ultra-fast load transient response, exceptional de-rating performance, and >90% typical efficiency in a high power density form-factor. The module is a complete, stand-alone power supply; with the use of the PV3012 digital control IC, it provides a full-line of protection features and precision set-point accuracy.

This POL converter delivers precision set-point accuracy of  $\pm 0.5\%$  over line, load, and temperature range – far better than analog options. Additionally, this offering adds value by the use of space saving elevated inductors and Powervation's Auto-Control.

## PV3204

One of the new Powervation products from ROHM that provide auto compensation is the PV3204, a dual phase digital synchronous buck controller with adap-

tive loop compensation, for point-of-load (POL) applications (Fig. 7-31). The output can supply 0.6 V to 5.5 V, and can be configured and controlled via PMBus or through programming stored in the non-volatile memory (NVM). Besides the SMBus interface, PV3204 provides a 3-bit parallel VID interface with a mapping from 0.85 V to 1.0 V in 25 mV steps, and 1.05 V.

## PV3204


PV3204 uses the Powervation proprietary adaptive digital control loop, Auto-Control, a real-time adaptive loop

compensation technology for switching power converters that autonomously balances the trade-offs between dynamic performance and system stability. Auto-Control does away with complex calculations and setting optimum stability employed with traditional compensation techniques. Auto-Control adjusts P, I, and D coefficients each switching cycle to continuously achieve optimum stability over a wide range of disturbances. Auto-Control is embedded in the control architecture of the Powervation digital devices, and does not rely on injected noise of periodic calibrations. The continuous nature of Auto-Control allows it to manage changes in the system that occur in real-time, or slowly over time while the power supply is in use. This self-compensation occurs on a cycle-by-cycle basis, so Auto-Control is able to continuously adjust according to changes in temperature that occur while the power supply is in use, and accounts for other factors such as aging and drift.

This controller may be used in single- or dual-phase mode. When used in dual-phase mode, phases may be added or removed as the load varies, so that efficiency is maximized over the load range. Additionally, the outputs of the phases are interleaved so that the effective switching frequency at the output is doubled.

The digital functionality of this PMBus power converter controller allows system telemetry (remote measurement and reporting) of current, voltage, and temperature information.

Additionally, to maximize system performance and

reliability, the IC provides temperature correction/compensation of several parameters. 

**Related Articles**

1. Sam Davis, *Two-Phase, Synchronous Boost Regulator IC Delivers Up to 15V*, [powerelectronics.com](#), July 2014.
2. Sam Davis, *DC-DC Boost Converter Harvests Photovoltaic Energy*, [powerelectronics.com](#), January 2011.
3. Sam Davis, *42V Quad Monolithic Synchronous Step-Down Regulator*, [powerelectronics.com](#), August 2015.
4. Sam Davis, *Synchronous Buck Controller Can Step-Down from 48VIN to 1VOUT*, [powerelectronics.com](#), June 2015.
5. Sam Davis, *42V IC Features Both a 1.5A and a 2.5A Step-Down Regulator Channel*, [powerelectronics.com](#), May 2015.
6. Haifeng Fan, *Wide VIN and High-Power Challenges with Buck-Boost Converters*, [powerelectronics.com](#), June 2015.
7. Sam Davis, *Synchronous 4-Switch Buck-Boost DC/DC Controller*, [powerelectronics.com](#), May 2013.
8. Timothy Hegarty, *Post-Regulated Fly-Buck Powers Noise-*

- Sensitive Loads*, [powerelectronics.com](#), October 2014.
9. Sam Davis, *Back to Basics: Voltage Regulators Part 2*, [powerelectronics.com](#), July 2013.
10. Carl Walding, *Forward-Converter Design Leverages Clever Magnetics*, [powerelectronics.com](#), August 2007.
11. Carl Walding, *Part One: Forward-Converter Design Leverages Clever Magnetics*, [powerelectronics.com](#), July 2007.
12. Kevin Daugherty, *Feedback Circuit Improves Hysteretic Control*, [powerelectronics.com](#), March 2008.
13. Ron Crews, *Negative Supply Uses Positive Hysteretic Regulator*, [powerelectronics.com](#), August 2007.
14. Sam Davis, *“Quiet” LDO Employs Unique Architecture to Cut Noise and Boost PSRR*, [powerelectronics.com](#), March 2015.
15. Sam Davis, *Multiphase Converter ICs Solve Powering Requirements for Microprocessors*, [powerelectronics.com](#), January 2009.

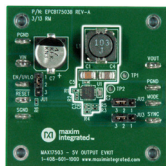
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# CHAPTER 8: POWER-MANAGEMENT ICs

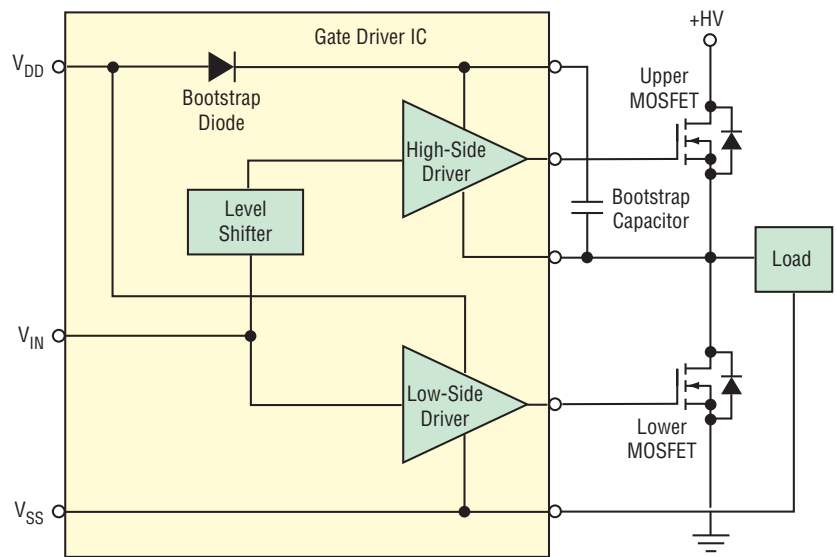
Power-management ICs provide management functions that support operation of the power distributed in the end-item electronic system. These ICs employ both analog and digital process for this supporting function.

## Gate Driver ICs

Gate driver ICs are power amplifiers to drive power MOSFETs in power-supply applications. Inputs to these gate driver ICs are typically logic levels from PWM ICs. Outputs can be single-ended or dual synchronous rectifier drive. MOSFETs require 1.0A to 2.0A drive to achieve switching efficiently at frequencies of hundreds of kilohertz. This drive is required on a pulsed basis to quickly charge and discharge the MOSFET gate capacitances. Figure 8-1 shows a basic gate driver IC for a power MOSFET.

Gate drive requirements show that the Miller effect, produced by drain-source capacitance, is the predominant speed limitation when switching high voltages. A MOSFET responds instantaneously to changes in gate voltage and will begin to conduct when its gate threshold is reached and the gate-to-source voltage is 2.0V to 3.0V; it will be fully on at 7.0 V to 8.0 V.

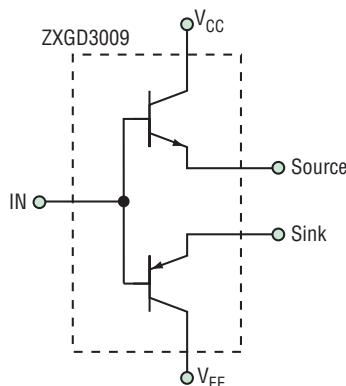
Many manufacturers now provide logic level and low threshold voltage MOSFETs that require lower gate voltages to be fully turned on. Gate waveforms will show a porch at a point just above the threshold voltage that varies in duration depending on the amount of drive current available and this determines both the rise and fall times for the drain current.



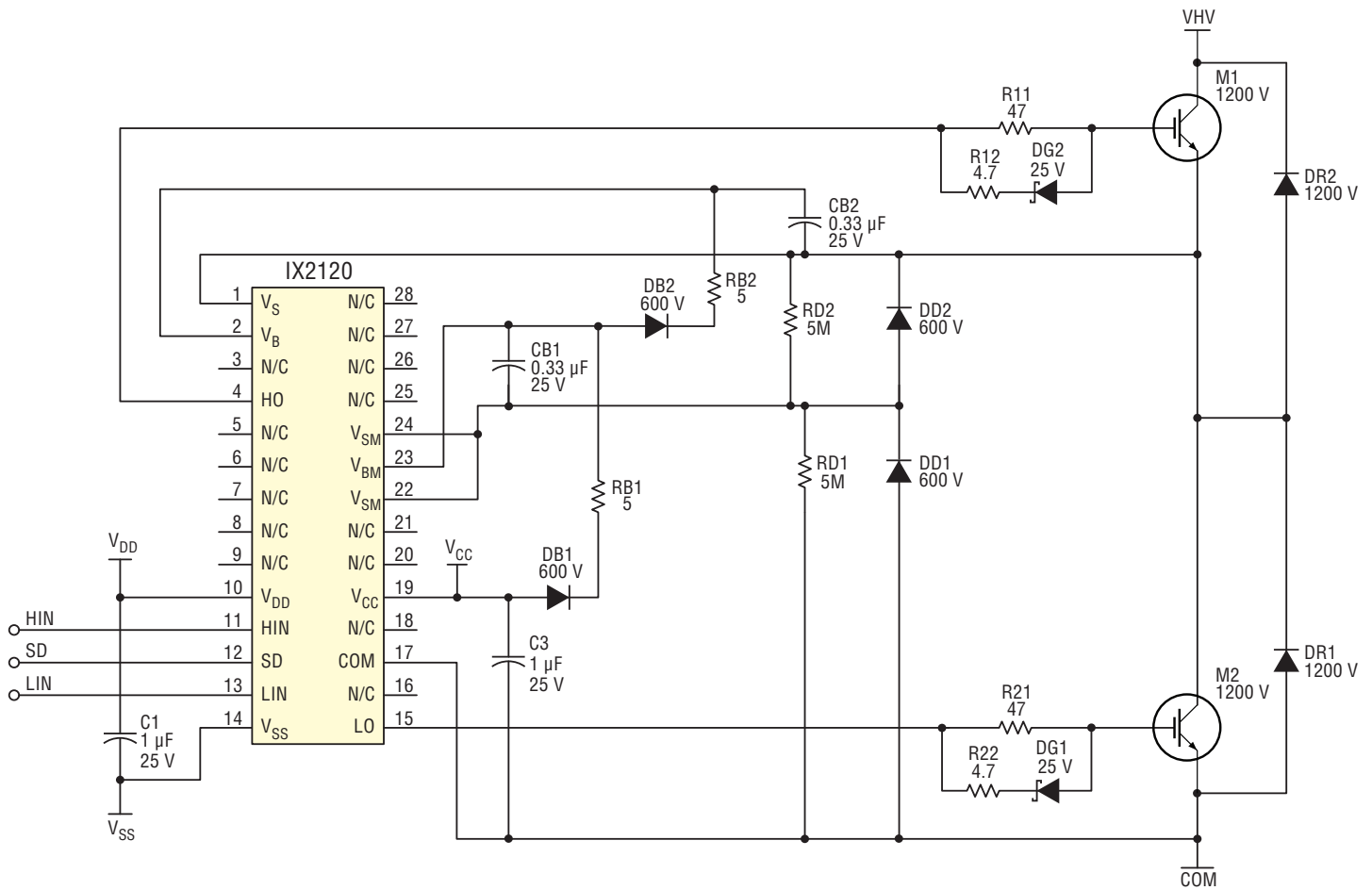
8-1. Basic high-side and low-side drivers in a gate driver IC provides for synchronous rectifier connected power MOSFETs.

## ZXGD3009E6/DY

A pair of compact 40 V, 1 A-rated gate drivers from Diodes Inc. are specifically designed to control the high-current power MOSFETs used in on-board and embedded power supplies and motor drive circuits (Fig. 8-2). Enabling the MOSFETs to be more rapidly and fully switched on and off, the ZXGD3009E6 and ZXGD3009DY help minimize switching losses, improve power density, and increase overall conversion efficiency.



8-2. 40 V, 1 A-rated gate drivers from Diodes Inc. are intended to control the high-current power MOSFETs used in on-board and embedded power supplies and motor drive circuits.



**8-3. IXYS' IX2120 is a high-voltage IC that can drive high-speed MOSFETs and IGBTs that operate at up to +1200V.**

Acting as a high-gain buffer stage for low-power control ICs, the devices can provide a typical drive current of 500 mA from an input current of only 10mA, ensuring the desirable fast charging and discharging of the power MOSFET's capacitive load. The drivers' switching capability is ultra-fast, with a propagation delay time of less than 5 ns, and rise and fall times of less than 20 ns.

Separate source and sink outputs offer independent control of MOSFET turn-on and turn-off times, which enables MOSFET behavior to be more closely tailored to the needs of the application. The ZXGD3009's ability to drive the gate negatively as well as positively assures dependable hard turn-off of the power MOSFET.

The gate drivers' rugged emitter-follower design avoids any issues of latch-up or shoot-through and can tolerate peak currents of up to 2 A. Their wide 40 V operating range will also cater to voltage spikes far beyond the typical 12 V normally associated with power MOSFET gate driving.

The ZXGD3009E6 is housed in a SOT26 package and the ZXGD3009DY is in an SOT363 package.

### IX2120

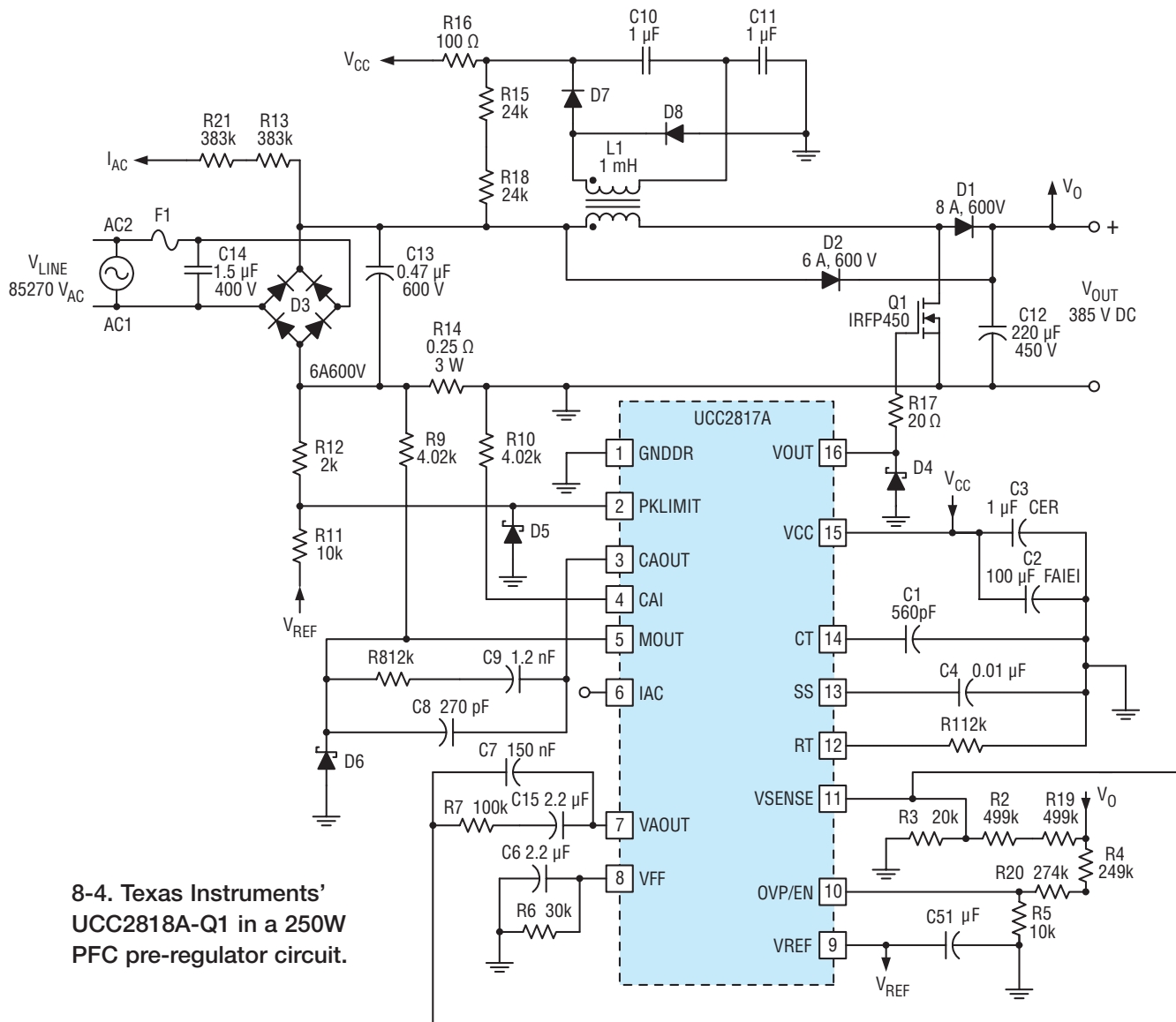
IXYS IX2120 is a high-voltage IC that can drive high-speed MOSFETs and IGBTs and operates at up to +1200V (Fig. 8-3). The IX2120 is configured with independent high-side and low-side referenced output channels, both of which can source and sink 2A. The floating high-side channel can drive an N-channel power MOSFET or IGBT 1200V from the common reference.

High-voltage level-shift circuitry allows low-voltage logic signals to drive IGBTs in a high-side configuration operating up to 1200V. The IX2120B's 1400V absolute maximum rating provides additional margin for high-voltage applications.

The IX2120B is manufactured on IXYS ICD's advanced HVIC Silicon on Insulator (SOI) process, making the IX2120B extremely robust and virtually immune to negative transients and high dV/dt noise.

The inputs are 3.3V and 5V logic compatible. Internal undervoltage lockout circuitry for both the high-side and low-side outputs prevents the IX2120B from turning on the discrete power IGBTs until there is sufficient gate voltage. The output propagation delays are matched for





8-4. Texas Instruments' UCC2818A-Q1 in a 250W PFC pre-regulator circuit.

Typical application circuit

use in high-frequency applications.

The IX2120B can drive power discrete MOSFETs and IGBTs in half-bridge, full-bridge, and 3-phase configurations. Typical applications include motor drives, high-voltage inverters, uninterruptible power supplies (UPS), and dc/dc converters. The IX2120B complements IXYS ICD's extensive portfolio of high-voltage gate drivers, low-side gate drivers, and optically isolated gate drivers, and the full range of IXYS power semiconductors.

**Features include:**

- Floating channel for bootstrap operation to +600V with absolute maximum rating of +700V
- Outputs capable of sourcing and sinking 2A
- Gate drive supply range from 15V to 20V
- Enhanced robustness due to SOI process
- Tolerant to negative voltage transients: dV/dt immune

- 3.3V logic compatible
- Undervoltage lockout for both high-side and low-side outputs
- 28-pin SOIC package

**Power-Factor Correction ICs**

Most electronic systems use ac-dc switch-mode power converters that draw current from the powerline in a non-sinusoidal fashion that produces current and voltage distortions that can create problems with other equipment on the powerline.

Power factor describes the power relationships on an ac powerline. Current and voltage distortions occur with a reactive load, which has a real and a reactive power component. The vector sum of these two power components is the apparent power to the load. The phase angle

between the real power and reactive power is the power factor angle. With a resistive load, the reactive power is zero and the apparent power equals the real power and the power factor is unity, or 100%. If the load is reactive, the power factor is lower (less than 100%).

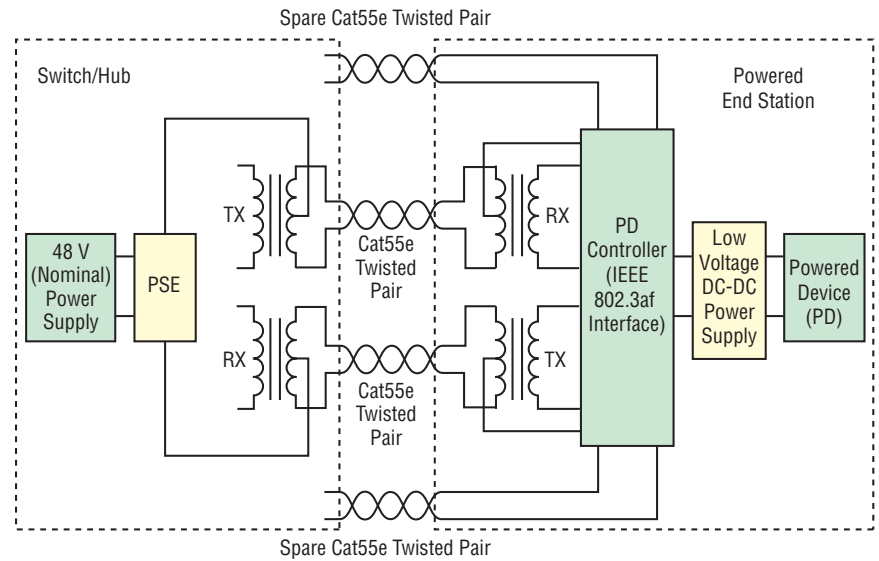
For a nonlinear load with a distorted current waveform, the current consists of fundamental line frequency and various harmonics. These harmonic currents do not contribute directly to the useful power dissipated in the load, but rather add to the reactive power to create a higher value of apparent power. Total harmonic distortion, THD, is a common way of specifying and measuring the amount of distortion present on a waveform. Note that THD can be higher than 100%.

Most commonly used techniques for power-system electronics incorporate a power-factor correction (PFC) circuit ahead of the other electronics on the assembly. An example would be the PFC correction circuitry on the front end of an off-line ac-dc power converter. In addition, most systems that employ an active PFC utilize feedback circuitry along with switch-mode converters to synthesize input current waveforms consistent with high power factor.

The boost topology is the most popular PFC implementation. Almost all present-day boost PFC converters utilize a standard controller chip for the purposes of ease of design, reduced circuit complexity, and cost savings. These ICs greatly simplify the process of achieving a reliable high-performance circuit. In order for the converter to achieve power-factor correction over the entire range of input line voltages, the converter in the PFC circuit must be designed so that the output voltage is greater than the peak of the input line voltage.

Figure 8-4 shows a typical application circuit for the UCC2818A-Q1 from Texas Instruments: a BiCMOS average current mode boost controller for high-power-factor high-efficiency pre-regulator power supplies. This active power-factor correction circuit pre-regulator programs the input current to follow the line voltage, forcing the converter to look like a resistive load to the line. A THD of less than 3% is possible with this circuit.

For the circuit of Fig. 8-4, a switching frequency of 100 kHz, a ripple current of 875 mA, a maximum duty cycle of 0.688, and a minimum input voltage of 85 VRMS produces a boost inductor value of about 1 mH. The values used are at the peak of low line, where the inductor current and its ripple are at a maximum.



**8-5. A Power-over-Ethernet system employs a power-source equipment (PSE) IC and a power-device (PD) IC and uses existing Ethernet cabling.**

## Power Over Ethernet (PoE) ICs

The IEEE 802.3af Standard states that all data terminal equipment (DTE) now has the option to receive power over existing cabling used for data transmission. The IEEE 802.3af Standard defines the requirements associated with providing and receiving power over the existing cabling. Figure 8-5 shows a typical Power-Over-Ethernet configuration. The power-sourcing equipment (PSE) provides the power on the cable and the powered device (PD) receives the power. As part of the IEEE 802.3af Standard, the interface between the PSE and PD is defined as it relates to the detection and classification protocol.

A PD draws power or requests power by participating in a PD detection algorithm. This algorithm requires the PSE to probe the link looking for a valid PD. The PSE probes the link by sending out a voltage between 2.8 V and 10 V across the power lines. A valid PD detects this voltage and places a resistance of between 23.75 kW and 26.25kW across the power lines. Naturally, the current varies depending on the input voltage. Upon detecting this current, the PSE concludes that a valid PD is connected at the end of the Ethernet cable and is requesting power.

If the PD is in a state in which it does not accept power, it is required to place a resistance above or below the values listed for a valid PD. On the lower end, a range between 12 kW and 23.75 kW signifies that the PD does not require power. On the higher end, the range is defined to be between 26.25 kW and 45 kW. Any resistance value less than 12 kW and greater than 45 kW is inter-

preted by the PSE as a non-valid PD detection signature.

After the detection phase, the PSE can optionally initiate a classification of the PD. The classification of a PD is used by the PSE to determine the maximum power required by the PD during normal operation. Five different levels of classification are defined by the IEEE 802.3af Standard.

Classification of the PD is optionally performed by the PSE only after a valid PD has been detected. To determine PD classification, the PSE increases the voltage across the power lines to between 15.5V and 20.5V. The amount of current drawn by the PD determines the classification.

Upon completion of the detection and optional classification phases, the PSE ramps its output voltage above 42V. Once the UVLO threshold has been reached, the internal FET is turned on. At this point, the PD begins to operate normally and it continues to operate normally as long as the input voltage remains above 30V. For most PDs, this input voltage is down-converted using an on-board dc-to-dc converter to generate the required voltages.

Designers can still supply power in a limited fashion in some existing Ethernet installations via a mid-span bridge. But in that case, designers can't implement power negotiations between a PD and PSE. This implies dedicated PoE Plus ports and relatively high duty-cycle power supplies in midspans.

Something else to watch out for is PDs that dynami-

cally negotiate power requirements with the PSE via their Ethernet connection. This requires more code in the PD microcontroller and a greater understanding of dynamic power requirements on the part of the engineer writing that code.

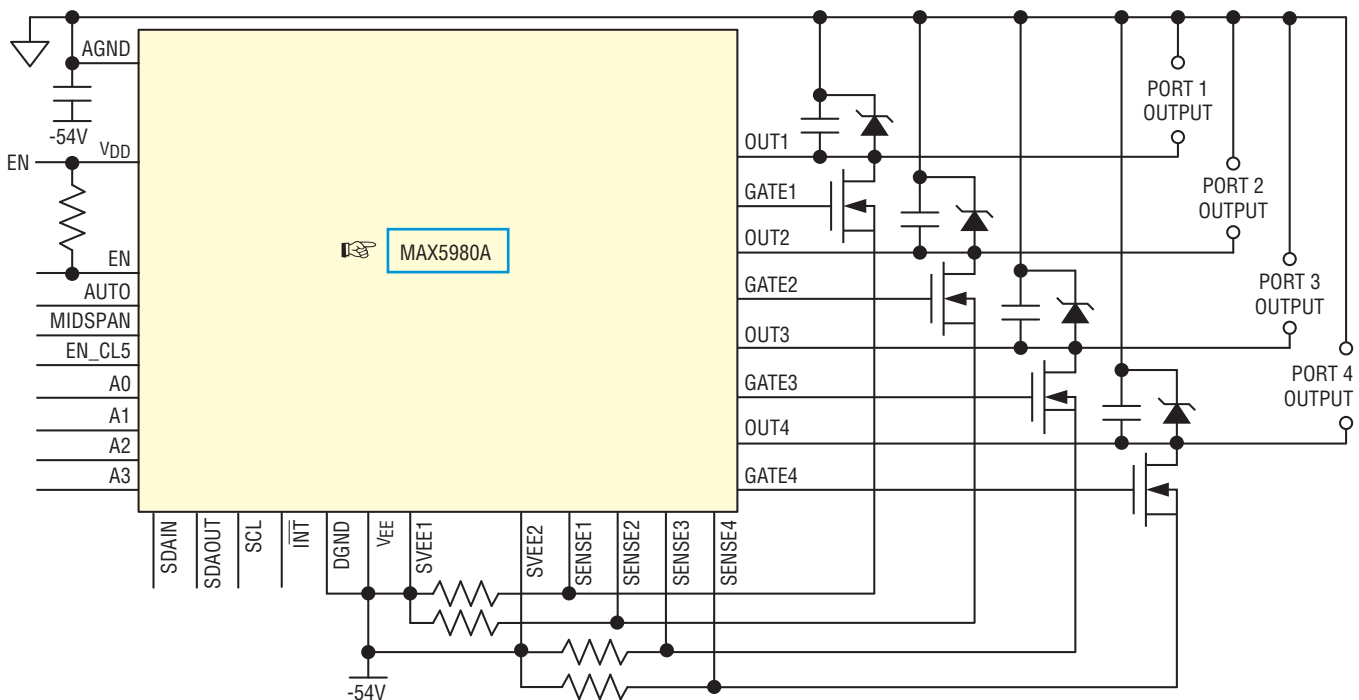
The original 802.3af PoE standard offered a fairly straightforward way to supply loads with up to 13 W of usable power delivered at 48 V dc. But IEEE 802.3at PoE Plus ups usable power to something over 50 W, and introduces some wrinkles that designers and even IT managers must understand.

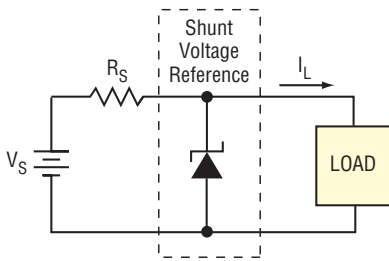
### MAX5980A

The **MAX5980A** from Maxim Integrated is a quad PSE power controller designed for use in IEEE 802.3at/af-compliant PSE (Fig. 8-6). This device provides PD discovery, classification, current limit, and load disconnect detections. The device supports both fully automatic operation and software programmability. The device also supports new 2-Event classification and Class 5 for detection and classification of high-power PDs. The device supports single-supply operation, provides up to 70W to each port (Class 5 enabled), and still provides high-capacitance detection for legacy PDs.

The device features an I2C-compatible, 3-wire serial interface, and is fully software configurable and program-mable. The device provides instantaneous readout of port current and voltage through the I2C interface. The device provides input undervoltage lockout (UVLO),

## 8-6. Maxim's **MAX5980A** provides PD discovery, classification, current limit, and load-disconnect detections.





**8-7. Shunt voltage reference.**

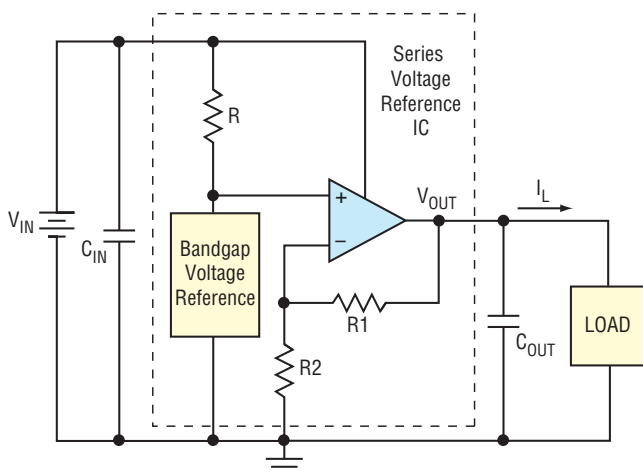
input over-voltage lockout (OVLO), overtemperature protection, and output voltage slew-rate limit during startup.

The device provides four operating modes to suit different system requirements. By default, auto mode allows the device to operate automatically at its default settings without any software. Semiautomatic mode automatically detects and classifies devices connected to the ports, but does not power a port until instructed to by software. Manual mode allows total software control of the device and is useful for system diagnostics. Shutdown mode terminates all activities and securely turns off power to the ports.

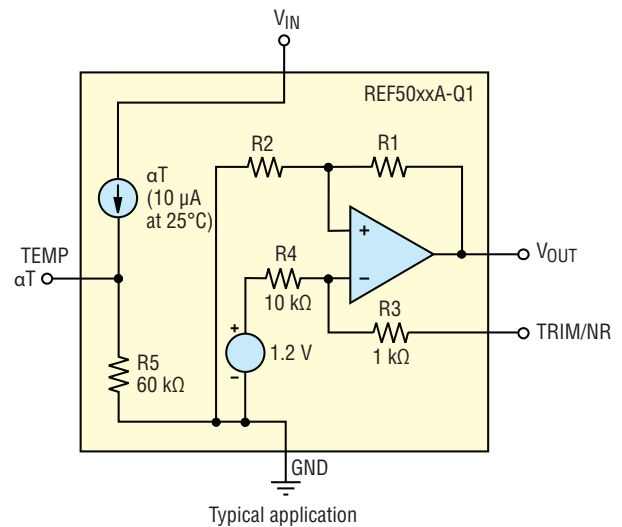
Switching between auto, semiautomatic, and manual mode does not interfere with the operation of an output port. When a port is set into shutdown mode, all port operations are immediately stopped and the port remains idle until shutdown mode is exited.

## Voltage Reference ICs

Voltage reference provides an accurate, temperature-compensated voltage source for use in a variety of applications. These devices usually come in families of parts that provide specific accurate voltages. Some families can have up to six different values with output



**8-9. Texas Instruments' REF50xxA-Q1 IC family is a low-noise, precision-bandgap voltage reference that is specifically designed for excellent initial voltage accuracy and drift.**



**8-8. Series voltage reference.**

voltages ranging from 1.225V to 5.000V. Initial output voltage accuracy and temperature coefficient are two of the more important characteristics.

Voltage references are available with fixed and adjustable reference voltage outputs. Adjustable output is set by a resistor divider connected to a reference pin. These references are either shunt (two-terminal) or series (three-terminal) types.

The ideal voltage reference has a perfect initial accuracy and maintains its voltage output independent of changes in temperature, load current, and time. However, the ideal characteristics are virtually impossible to attain, so the designer must consider the following factors:

Shunt references (Fig. 8-7) are similar to zener diodes in operation because both require an external resistor that determines the maximum current that can be supplied to the load. The external resistor also sets the minimum biasing current to maintain regulation. Consider shunt references when the load is nearly constant and power-supply variations are minimal.

Series references (Fig. 8-8) do not require any external components and they should be considered when the load is variable and lower-voltage overhead is important. They are also more immune to the power-supply changes than shunt references.

## REF50xxA-Q1

Texas Instruments' REF50xxA-Q1 IC family is a low-noise, precision-bandgap voltage reference that is specifically designed for excellent initial voltage accuracy and drift. This family of voltage references features extremely low dropout voltage (Fig. 8-9). With the exception of the REF5020A-Q1 device, which has a minimum supply requirement of 2.7 V, these references can oper-



ate with a supply of 200 mV above the output voltage in an unloaded condition.

These reference ICs provide a very accurate voltage output. If desired, you can adjust VOUT to reduce noise and shift the output voltage from the nominal value by configuring the trim and noise-reduction pin (TRIM/NR, pin 5). The TRIM/NR pin provides a ±15 mV adjustment of the device bandgap, which produces a ±15 mV change on the VOUT pin.

This family of reference ICs allows access to the bandgap through the TRIM/NR pin. Placing a capacitor from the TRIM/NR pin to GND in combination with the internal 1 kΩ resistor creates a low-pass filter that lowers the overall noise measured on the VOUT pin. A capacitance of 1 μF is suggested for a low-pass filter with a corner frequency of 14.5 Hz. Higher capacitance results in a lower cutoff frequency.

The REF50xxA-Q1 family has minimal drift error, which is defined as the change in output voltage over temperature. The drift is calculated using the box method. This reference family features a maximum drift coefficient of 8 ppm/°C for the standard-grade.

Temperature output pin (TEMP, pin 3) provides a temperature-dependent voltage output with approximately

60-kΩ source impedance. This pin indicates general chip temperature, accurate to approximately ±15°C. Although this pin is not generally suitable for accurate temperature measurements, it can be used to indicate temperature changes or for temperature compensation of analog circuitry. A temperature change of 30°C corresponds to an approximate 79 mV change in voltage at the TEMP pin.

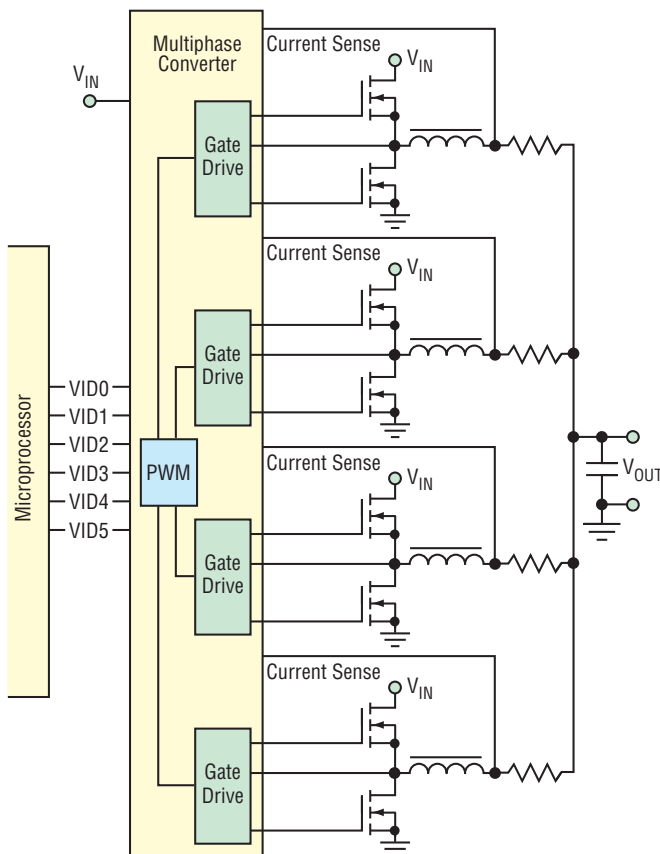
### VRM/VRD Power Management ICs

A voltage regulator module (VRM) is a buck converter that provides a microprocessor the appropriate supply voltage, converting +5 V or +12 V to a much lower voltage required by the CPU, allowing processors with different supply voltage to be mounted on the same motherboard.

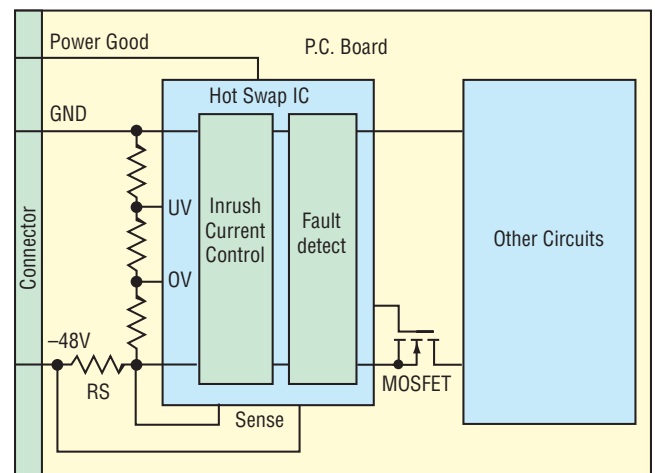
Fig. 8-10 is a typical VRM circuit.

Some voltage regulator modules are soldered onto the motherboard, while others are installed in an open slot designed especially to accept modular voltage regulators. Some processors, such as Intel Haswell CPUs, feature voltage-regulation components on the same package (or die) as the CPU, instead of having a VRM as part of the motherboard; such a design brings certain levels of simplification to complex voltage regulation involving numerous CPU supply voltages and dynamic powering up and down of various areas of a CPU. A voltage regulator integrated on-package or on-die is usually referred to as fully integrated voltage regulator (FIVR) or integrated voltage regulator (IVR).

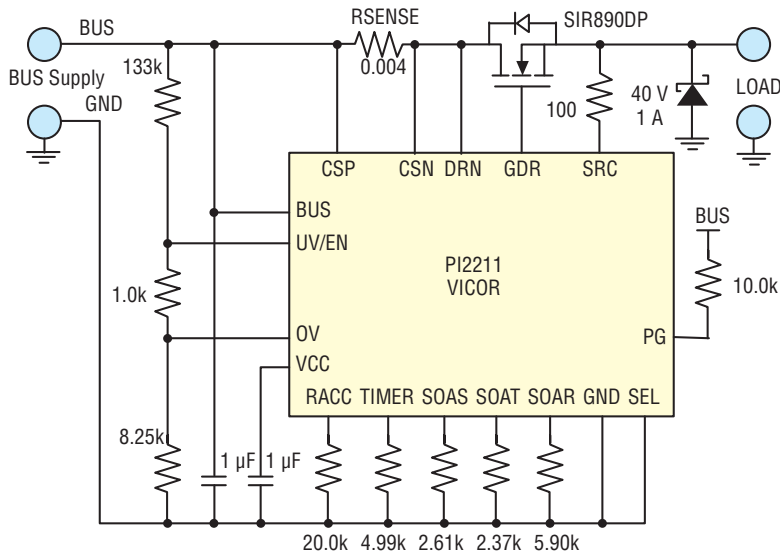
Most modern CPUs require less than 1.5 V, as CPU designers tend to use smaller CPU core voltages; lower voltages help in reducing CPU power dissipation, which is often specified through thermal design power (TDP) that serves as the nominal value for designing CPU cool-



8-10. VRM responds to the VID code from the microprocessor to provide the proper dc voltage.



8-11. Hot-swap control IC provides startup current-limiting, undervoltage, overvoltage, and current monitoring that prevents power-supply failure.



**8-12. Picor's PI2211 hot-swap controller and circuit breaker ensures safe system operation during circuit card insertion by limiting the start-up or in-rush.**

ing systems.

Some voltage regulators provide a fixed supply voltage to the processor, but most of them sense the required supply voltage from the processor, essentially acting as a continuously variable adjustable regulator. In particular, VRMs that are soldered to the motherboard are supposed to do the sensing, according to the Intel specification.

Modern graphics processing units (GPUs) also use a VRM due to higher power and current requirements. These VRMs may generate a significant amount of heat and require heat sinks separate from the GPU.

The VRM concept was developed by Intel to guide the design of dc-dc converters that supply the required voltage and current to a Pentium microprocessor. The maximum voltage is determined by the five- to seven-bit VID (Voltage Identity) code provided to the VRM. The VID code connects the power supply controller to the corresponding pins on the microprocessor (Fig. 8-10). Therefore, the internal coding in the microprocessor controls the dc voltage applied to processor. VRM guidelines are intended for a special module, usually a small circuit board, that plugs into the computer system board and supplies power for the microprocessor.

A later version of guidelines are for a similar circuit called the Voltage Regulator-Down (VRD) developed by Intel to guide the design of a voltage regulator integrated onto the computer system motherboard with a single processor. These guidelines are based on the six-bit VID code.

At the present time and in the near future the VRM

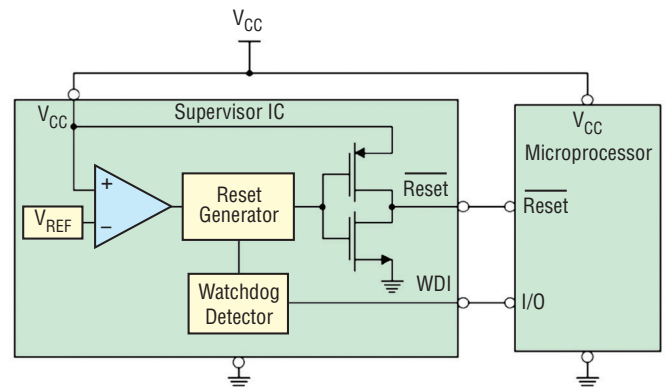
and VRD circuits must provide 60A to 100A for the Intel microprocessors. At this time, the only practical circuit that can provide those current levels is the multiphase configuration. Multiphase converters employ two or more identical, interleaved converters connected so that their output is a summation of the outputs of the cells.

### Hot-Swap Controller ICs

Often, equipment users want to replace a defective board without interfering with system operation. They can do this by removing the existing board and inserting a new board without turning off system power, a process called "hot-swap." Figure 8-11 shows a typical hot-swap IC circuit. When inserting a plug-in module or p.c. card into a live chassis slot, the discharged supply bulk capacitance on the board can draw huge transient currents from the system supplies. Therefore, the hot-swap circuit must provide some form of inrush limiting, because these currents can reach peak magnitudes ranging up to several hundred

amps, particularly in high-voltage systems. Such large transients can damage connector pins, p.c. board etch, and plug-in and supply components. In addition, current spikes can cause voltage droops on the power distribution bus, causing other boards in the system to reset. Therefore, a hot-swap control IC must provide startup current limiting, undervoltage, overvoltage, and current monitoring that prevents power supply failure.

At a hardware level, the hot-swap operation requires a reliable bus isolation method and power management. With today's power-hungry processors, careful power ramp up and ramp down is a must, both to prevent arcing on power pins and to minimize backplane voltage glitches.



**8-13. Supervisory IC ensures that the system power supplies operate within specified voltage and time windows.**

Connectors employed in these systems must also allow safe and reliable hot-swap operation. One technique is to use staged pins on the backplane with different lengths. This allows events to occur in a time-sequenced manner as cards are inserted and removed. It enables the power ground and signal pins to be disconnected and then connected in an appropriate sequence that prevents glitches or arcing. After insertion, an enable signal informs the system to power up so that bus-connect and software initialization can begin.

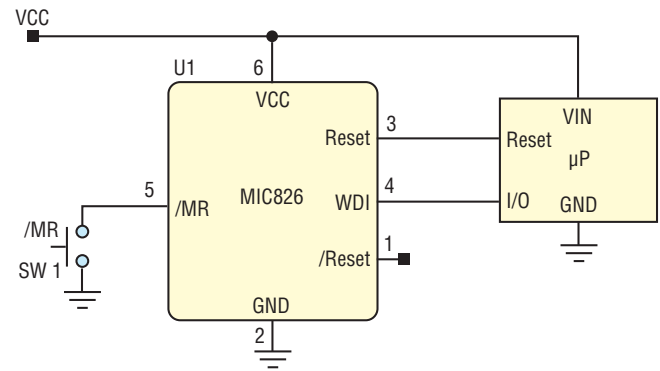
One software sequence of the extraction-insertion process starts with an interrupt signal informing the operating system of the impending event. After the operating system shuts down the board's functions, it signals the maintenance person or operator via an LED that it is okay to remove the board. After installing a new board, the operating system automatically configures the system software. This signaling method allows the operator to install or remove boards without the extra step of reconfiguring the system at the console.

## PI2211

The PI2211 hot-swap controller and circuit breaker from Picor ensures safe system operation during circuit card insertion by limiting the start-up or in-rush current to the load and eliminating the electrical disturbance or possible voltage sag imposed on a backplane power supply. During steady state operation, the PI2211 acts as a circuit breaker, disconnecting from the backplane power source if an overcurrent condition arises. The PI2211 uses an external N-channel MOSFET and employs the MOSFET's transient thermal characteristics (supplied by the MOSFET supplier) to ensure operation within the MOSFET's dynamic safe operating area (SOA).

In Fig. 8-12, the PI2211 limits the start-up current to a load, eliminating the electrical disturbance or possible voltage sag imposed on a backplane power supply. The PI2211 performs hot-swap protection during power-up or insertion and acts as a circuit breaker during steady state operation. The PI2211 performs these protection functions by controlling an external MOSFET and limiting the MOSFET junction temperature rise to a safe level, a key requirement for hot swap power managers expected to operate over wide dynamic conditions.

Upon insertion, the PI2211 initiates a user programmable turn-on delay where the gate of the MOSFET is held "off," providing input BUS de-bounce. The PI2211 then turns "on" the MOSFET pass element in a controlled manner, limiting the current to a pre-defined level based on the value of a user selected sense resistor. The PI2211 circuit breaker threshold protects against over-current by comparing the voltage drop across this



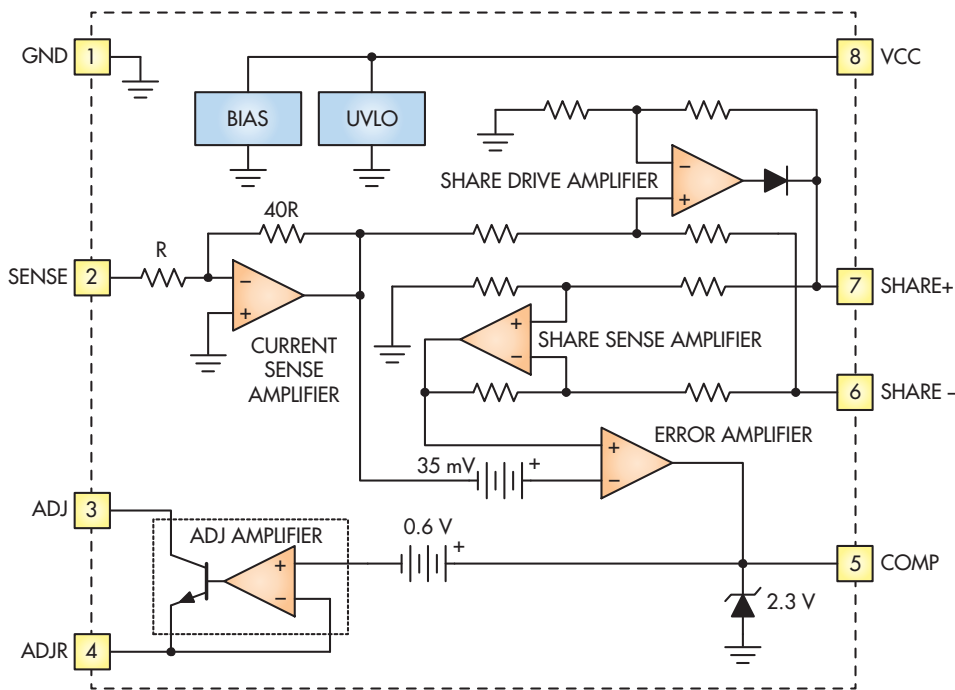
**8-14. Micrel's MIC826 is a low-current, ultra-small voltage supervisor with manual reset input, watchdog timer, and active-high and active-low push-pull outputs.**

sense resistor with a fixed internal reference voltage. Once the load voltage has reached its steady-state value, the Power-good pin is asserted "high" and the start-up current limit is disabled. Under Voltage (UV) and Over Voltage (OV) trip points (user settable) ensure operation within a defined operating range in addition to a Enable/Disable feature shared with the UV input.

With Power-good established, the load current is continuously monitored by the PI2211 with the MOSFET operating in the low-loss RDS(ON) region. In this steady state operation, the PI2211 now acts primarily as a circuit breaker. An over-current threshold is fixed to be twice the start-up current limit and sets an upper current boundary that determines when a gross fault has occurred. Exceeding this boundary will initiate the PI2211 Glitch-Catcher circuitry and assert the power good pin low. Glitch-Catcher prevents overvoltage events caused by the energy stored in the parasitic inductance of the input power path in response to a rapid interruption of the forward current during an overcurrent fault event. Acting as an active snubber, this circuitry mitigates the need for large external protection components by shunting the energy through the MOSFET to the low impedance load.

For the design example of Fig. 8-12, system requirements are:

- Nominal BUS voltage (VBUS) = 12V
- High BUS voltage where controller must be enabled (VBUSHIGH) = 12.5V
- Low BUS voltage where controller must be enabled (VBUSLOW) = 11.5V
- Maximum Operating Current (IMAX) = 10A
- Circuit Breaker Threshold (ICB) = 13A
- Hot-Swap Efficiency > 99%
- Schottky Diode is 40V, 1A; required to protect the SCR pin from negative voltage transients that can damage



**8-15. Texas Instruments' UC3902 is a load-share controller IC that distributes load currents equally among paralleled voltage-stabilized supplies.**

the controller. The 100Ω series resistor is used to limit current.

### Supervisor ICs

Supervisory ICs ensure that the system-power supplies operate within specified voltage and time windows. In its most basic form, a supervisory IC compares a power supply voltage with a specific threshold. If the power source reaches that threshold, the supervisory IC generates a pulse that resets the system processor.

Figure 8-13 shows a simplified diagram of supervisor IC and its associated microprocessor. The voltage monitoring section of the supervisory IC includes a comparator and voltage reference as well as reset generator that can reset the associated microprocessor. Usually, supervisor ICs consist of a family of parts set for different thresholds, such as 1.5 V, 1.8 V, etc. There are also supervisor ICs that have adjustable thresholds. This supervisor IC has a watchdog timer that protects against an interruption in software execution. Usually, the watchdog timer is a restartable timer whose output changes state on timeout, resetting the system processor or generating an interrupt.

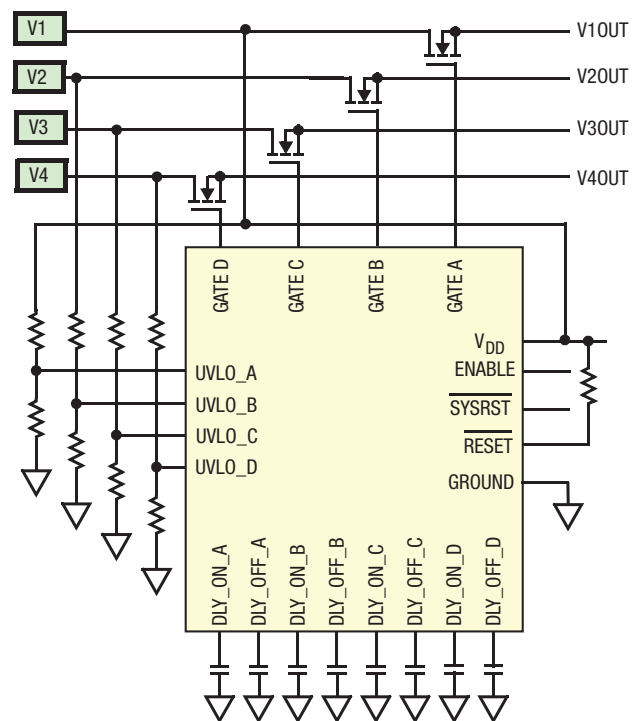
Many systems require multiple supply voltages that can be monitored with multiple devices, but some of the supervisory ICs can monitor two or more voltages. Typically, the number of threshold voltages required in a system depends on the number of processor and periph-

eral power supplies.

The reset function of the supervisory IC may provide a power-on-reset (POR) to eliminate problems during power-up or a supply voltage sag. These problems can occur because of a slow-rising supply voltage, a supply voltage that exhibits noise or poor behavior during startup, or recovery from a sag. Typically, the reset circuit's voltage tolerance should not exceed ±2.7% over temperature.

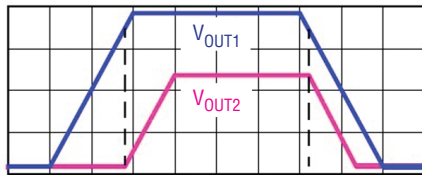
Many supervisory ICs include undervoltage and overvoltage comparators with programmable thresholds. Inputs for these comparators can implement a windowed reset that warns if a particular voltage is either too high or too low.

To ensure the continuity of processor memory contents and other critical functions if a supply voltage is lost, many of the older supervisory circuits are able to switch the memory's power source to a backup battery.

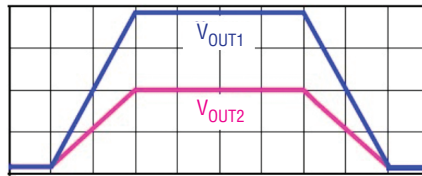


**8-16. Intersil ISL6123 is an integrated 4-channel controlled-on/controlled-off power-supply sequencer.**

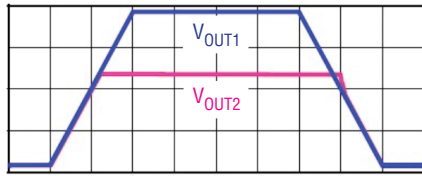




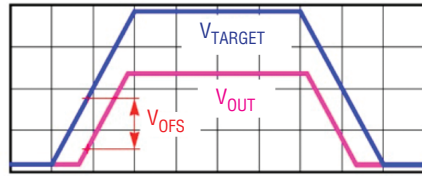
8-17.1. Output sequencing.



8-17.2. Ratiometric tracking.



8-17.3. Coincidental tracking.



8-17.4. Offset voltage tracking.

### MIC826

Micrel's MIC826 is a low-current, ultra-small, voltage supervisor with manual reset input, watchdog timer, and active-high and active-low push-pull outputs (Fig. 8-14). This provides the designer with high integration while reducing solution size up to 70% compared to competing solutions. The IC also improves the accuracy of the power supply monitor by 1 to 2% over the  $-40^{\circ}\text{C}$  to  $+125^{\circ}\text{C}$  temperature range. This makes it an ideal solution for portable, as well as industrial and automotive applications.

It contains eight reset threshold options and is intended to monitor 1.8V to 5V power supplies. The IC features a  $\pm 0.5$  percent voltage threshold accuracy at room temperature and  $\pm 1.5$  percent voltage threshold accuracy over the  $-40^{\circ}\text{C}$  to  $+125^{\circ}\text{C}$  temperature range. The solution consumes a low  $3.8\mu\text{A}$  of supply current for power supplies; lower than  $3.6\text{V}$  and  $4.8\mu\text{A}$  for solutions operating from a 5V power supply. The IC also features an industry standard reset timeout period of 140ms (min) and a watchdog time output period of 1.6s. The watchdog input can be left unconnected for applications that do not require watchdog monitoring.

The MIC826 consumes a quiescent current of only  $3.8\mu\text{A}$  and is offered in a tiny, space-saving, 6-pin 1.6mm x 1.6mm Thin DFN package.

### Load-Share Controller ICs

System integrators can improve system reliability with redundant, paralleled power supplies that share the load. Load-sharing distributes load currents equally among paralleled voltage-stabilized supplies. For the shared supplies to operate efficiently, the power system must ensure that no supply hogs the load current while other supplies are essentially idle. Also, the power system must be able to tolerate the failure of any one supply

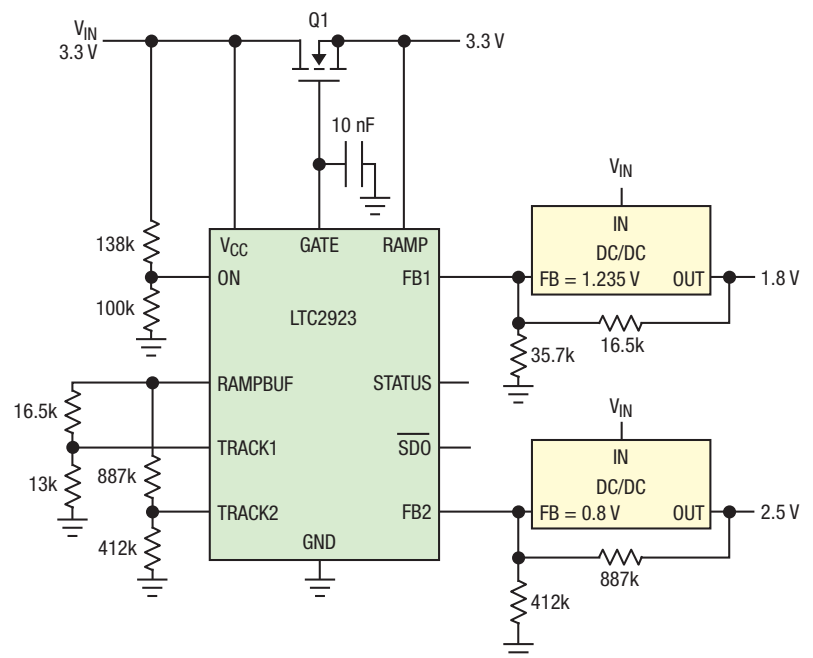
as long as there is sufficient current capacity from the remaining supplies. This requires the combination of power supplies to behave like one large power supply with equal stress on each of the units.

Individual load-shared supplies require an external controller, otherwise the supply with the highest output voltage will contribute most of the output current. Output impedance of typical power supplies is in the milliohm range so a small difference in output voltages can cause a relatively large difference in output currents. This might cause the

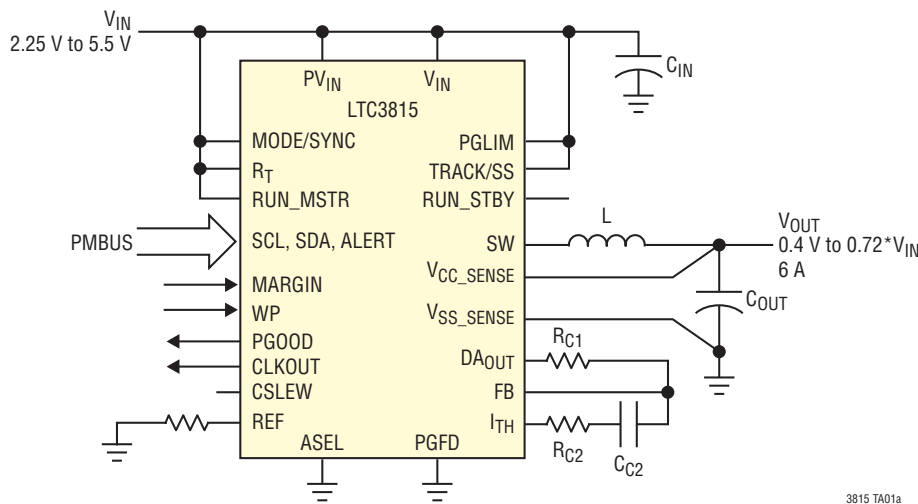
supply providing the majority of load current to enter the current-limit mode, increasing its thermal stress, which would decrease system reliability. A load-shared system should have a common, low bandwidth share bus interconnecting all supplies. It should also have good load-sharing transient response and the ability to margin the system output voltage with a single control.

The UC3902 from Texas Instruments is a load share controller IC that balances the current drawn from independent, paralleled power supplies (Fig. 8-15). Load sharing is accomplished by adjusting each supply's output current to a level proportional to the voltage on a share bus.

The master power supply, which is automatically designated as the supply that regulates to the highest



8-18. Linear Technology's LTC2923 sink/source tracking termination regulator.



3815 TA01a

**8-19. Linear Technology's LTC3815 provides up to  $\pm 25\%$  margining adjustment at 0.1%/bit resolution around the reference voltage.**

voltage, drives the share bus with a voltage proportional to its output current. The UC3902 trims the output voltage of the other paralleled supplies so that they each support their share of the load current. Typically, each supply is designed for the same current level although that is not necessary for use with the UC3902. By appropriately scaling the current sense resistor, supplies with different output current capability can be paralleled with each supply providing the same percentage of their output current capability for a particular load.

## Power Supply Management ICs

There are a variety of power-up profiles to satisfy the requirements of digital logic circuits including FPGAs, PLDs, DSPs and microprocessors. Certain applications require one supply to come up after another. Other applications require the potential difference between two power supplies must never exceed a specified voltage. This requirement applies during power-up and power-down as well as during steady-state operation.

The Intersil ISL6123 is an integrated 4-channel controlled-on/controlled-off power-supply sequencer (Fig. 8-16) with supply monitoring, fault protection and a "sequence completed" signal (RESET).

Figure 8-17.1. Timing diagram for output sequencing  
 Figure 8-17.2. Timing diagram for ratiometric tracking  
 Figure 8-17.3. Timing diagram for coincidental tracking  
 Figure 8-17.4. Timing diagram for offset voltage tracking

Another power-supply management function is tracking that ramps supplies outputs up and down together. In other applications it is desirable to have the supplies ramp up and down with fixed voltage offsets between them or to have them ramp up and down ratiometrical-

ly. Linear Technologies' LTC2923 can provide power-supply tracking and sequencing. The associated supplies can be configured to ramp-up and ramp-down together or with voltage offsets, time delays or different ramp rates (Fig. 8-18).

Voltage margining is a means of verifying the robustness of a product by intentionally adjusting its supply voltages to their limits and then evaluating the product's performance. This process evaluates the load circuit's ability to tolerate changes in the power supply voltages that may occur over time and temperature. The testing is typically performed by forcing the power supply to  $\pm 5\%$  of its nominal output voltage

and then ensuring that the equipment still passes its final acceptance test.

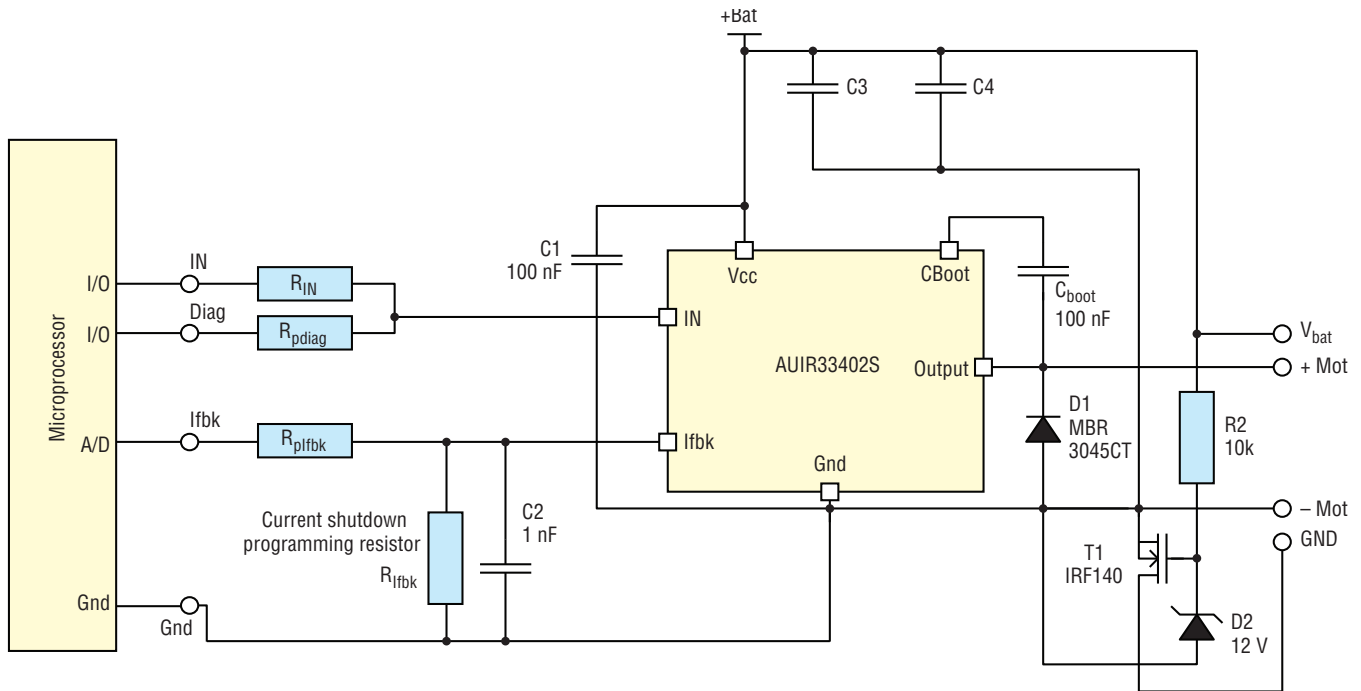
The LTC3815 from Linear Technology is a high-efficiency, 6A monolithic synchronous buck regulator using a phase lockable controlled on-time, current mode architecture (Fig. 8-19). Its I<sup>2</sup>C-based PMBus interface allows the output voltage to be margined using its internal 9-bit DAC that provides up to  $\pm 25\%$  adjustment at 0.1%/bit resolution around the reference voltage set at the REF pin.

The digital offset value is changed with a PMBus command. When a change in the reference is detected, the reference is ramped (0.1%/step) from its current value to the new value at a rate set by the capacitor value connected to the CSLEW pin, which provides a programmable slew rate of the V<sub>OUT</sub> transition. If desired, you can pre-load the LTC3815 with two additional offsets using PMBus commands. The reference offset can then be switched between any of these three register values with the 3-state MARGIN pin. When using the MARGIN pin, the latency of the V<sub>OUT</sub> transition is limited only by the chosen CSLEW capacitor and the loop bandwidth of the power supply. Changes to these registers are prevented by pulling the write protect (WP) pin high.

## Intelligent Power-Switch ICs

Automotive body electronics modules routinely use intelligent power switches to control loads such as lamps, LEDs, solenoids, and motors. These switches replace mechanical relays to reduce mechanical noise, and shrink module size while increasing functionality.

Many years of development have produced today's low-cost devices that are efficient, safe, flexible, reliable, robust, and fault-tolerant. Now, those same advances are

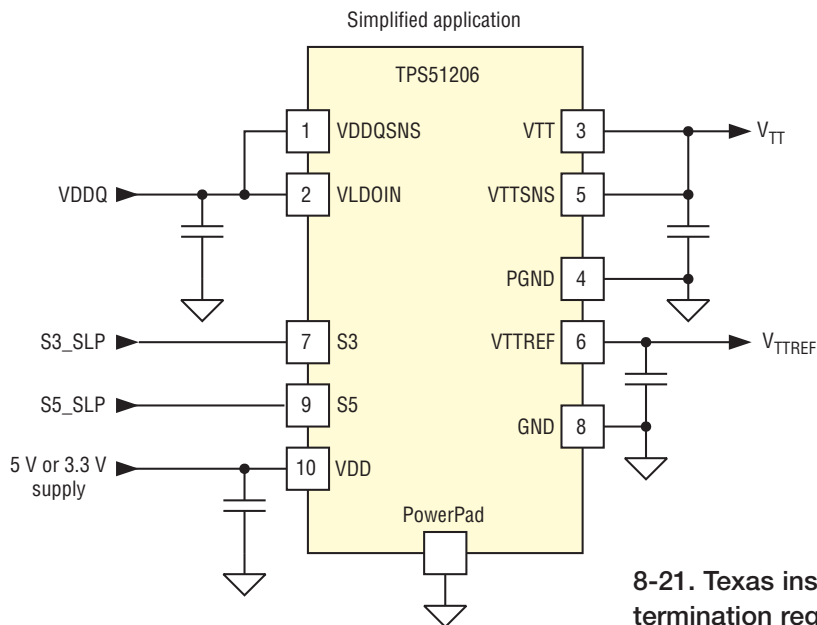


**8-20. Recommended circuit for International Rectifier’s AUIR33402S used as an intelligent power module to drive a motor.**

being extended to intelligent power switches designed for the more demanding requirements of 24 V systems. Requirements of a solid-state switch for 24 V truck and bus systems must consider what we have already learned from the use of solid state switches in 12 V systems. Many of the requirements of 12 and 24 V systems are similar.

The primary requirement is low cost. Here, the entire system cost as well as the device cost is of interest. This

includes the cost of thermal management, MCU overhead and pin count, PCB area for mounting and routing, additional circuitry needed for diagnostics and fault management, protection components such as capacitors needed to suppress voltage transients, etc. To minimize system costs associated with managing power, the latest devices have very low on-resistances to reduce power dissipation. Additionally, their SPI interface makes many control and diagnostic features possible and reduces MCU overhead and pin count. The SPI interface also greatly reduces routing complexity and saves PCB area.



**8-21. Texas instruments’ TPS51206 DDR is a sink/source tracking termination regulator.**

guarantee safe operation in harsh conditions of the automotive environment.

The recommended connection with reverse battery protection is shown in Fig. 8-20. The basic circuit provides all the functionality to drive a motor up to 33A DC. Rlfbk sets both the level current shutdown and the current feedback reading scale.

### DDR Memory Termination Supply ICs

DDR memories require terminal regulators, power supplies that minimize timing skew and power dissipation. The voltages involved in this termination process are VDDQ, VTT, and VREF. According to the JEDEC specification:  $V_{TT} = 0.5(V_{DDQ})$ , VREF is a buffered reference voltage that also tracks  $0.5(V_{DDQ})$  and VTT must track VREF with <math>40\text{mV}</math> offset regardless of variations in voltage, temperature, and noise.

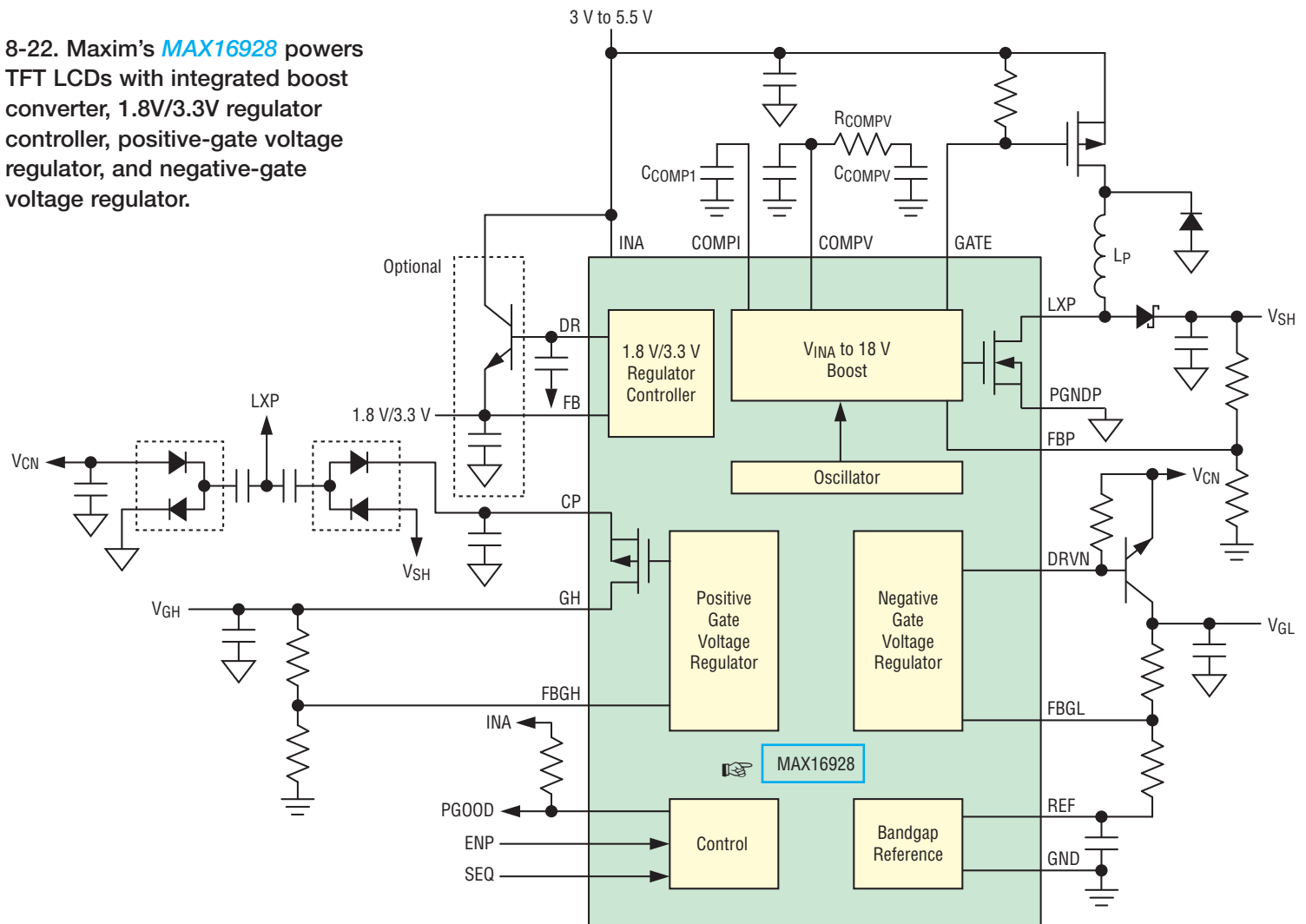
DDR memory systems employ Series Stub Termination Logic (SSTL) that improves signal integrity of the data transmission across the memory bus. This termina-

tion scheme is essential to prevent data error from signal reflections while transmitting at high frequencies encountered with DDR RAM. This termination configuration prevents data error from signal reflections while transmitting at the high frequencies associated with DDR memory. It involves the use of the termination regulator and termination resistors that regulate the voltage to  $0.5(V_{DDQ})$ .

The TPS51206 from Texas Instruments (Fig. 8-21) is a sink/source tracking termination regulator specifically designed for low input voltage, low cost, and low external component count systems where space is a key application parameter. The TPS51206 integrates a high-performance, low-dropout (LDO) linear regulator (VTT) that has ultimate fast response to track  $\frac{1}{2} V_{DDQ_{SNS}}$  within  $40\text{ mV}$  at all conditions, and its current capability is  $2\text{ A}$  for both sink and source directions.

A  $10\text{-}\mu\text{F}$  (or greater) ceramic capacitor(s) need to be attached close to the VTT terminal for stable operation; X5R or better grade is recommended. To achieve tight regulation with minimum effect of trace resistance, the

8-22. Maxim's **MAX16928** powers TFT LCDs with integrated boost converter, 1.8V/3.3V regulator controller, positive-gate voltage regulator, and negative-gate voltage regulator.





remote sensing terminal, VTTSNS, should be connected to the positive terminal of the output capacitor(s) as a separate trace from the high current path from the VTT pin.

The TPS51206 has a dedicated pin, VLDOIN, for VTT power supply to minimize the LDO power dissipation on user application. The minimum VLDOIN voltage is 0.4 V above the  $\frac{1}{2}$  VDDQSNS voltage.

## LCD Power-Management ICs

Charge pump, switch mode, and LDO techniques are used by various ICs to power color thin film transistor (TFT) liquid crystal displays (LCDs). These ICs usually employ a combination of dc-dc converter technologies to provide the multiple voltages required by an LCD.

An example of a highly integrated power supply for automotive TFT-LCD applications is the **Maxim MAX16928** (Fig. 8-22). The IC integrates a boost converter, 1.8V/3.3V regulator controller, positive-gate voltage regulator, and negative-gate voltage regulator.

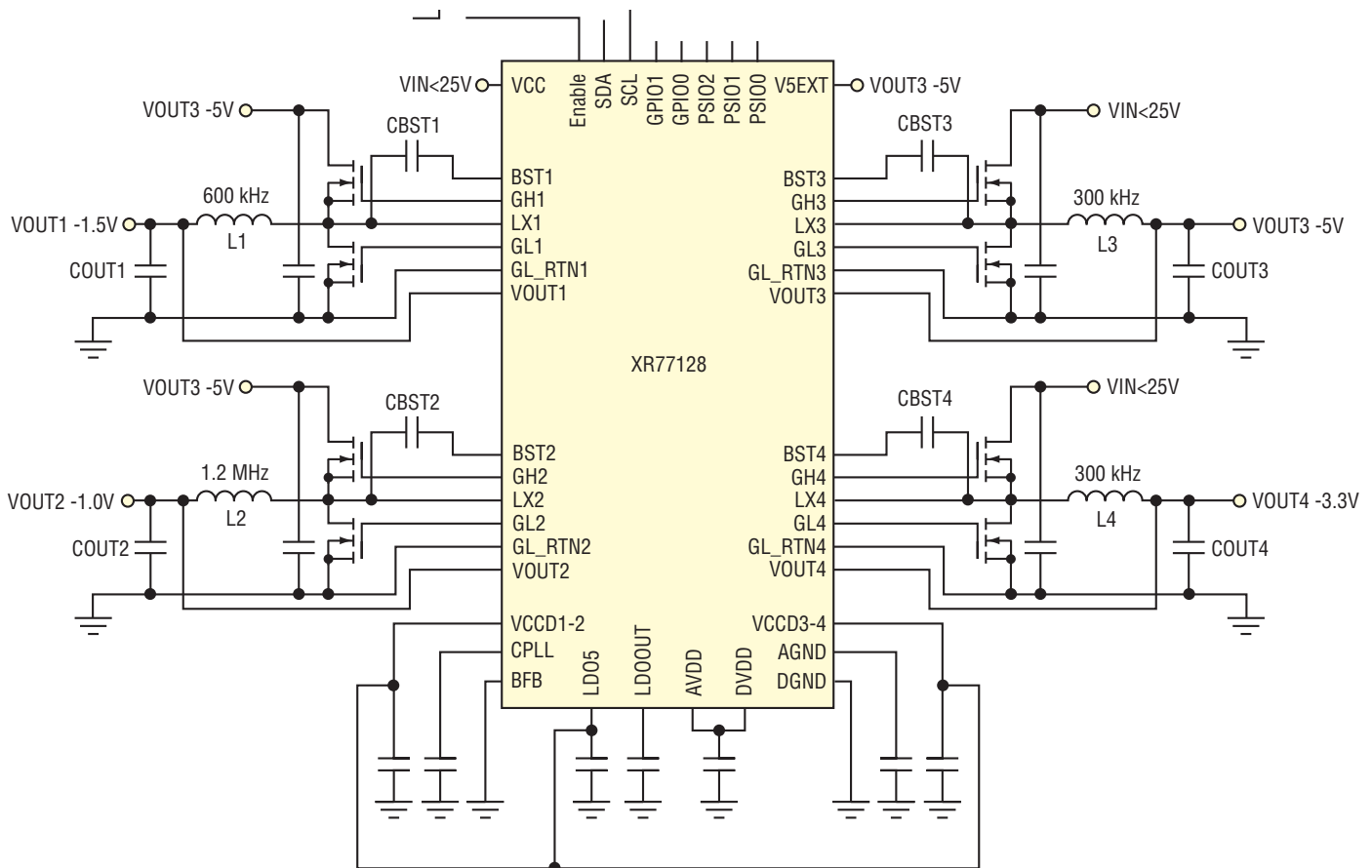
It achieves enhanced EMI performance through spread-spectrum modulation. Digital input control allows the device to be placed in a low-current shutdown mode

and provides flexible sequencing of the gate voltage regulators.

Internal thermal shutdown circuitry protects the IC. It will shut down if its die temperature reaches  $+165^{\circ}\text{C}$  (typ) and will resume normal operation once its die temperature falls  $15^{\circ}\text{C}$ .

It is factory-trimmed to provide a variety of power options to meet the most common automotive TFT-LCD display power requirements.

Its boost converter employs a current-mode, fixed-frequency PWM architecture to maximize loop bandwidth and provide fast transient response to pulsed loads typical of TFT-LCD panel source drivers. The 2.2MHz switching frequency allows use of low-profile inductors and ceramic capacitors that minimize thickness of LCD panels. An integrated low on-resistance MOSFET and the IC's built-in digital soft-start functions reduce the required number of external components while controlling inrush currents. Using an external resistive voltage-divider you can set output voltage from VINA to 18V. The regulator controls the output voltage by modulating the duty cycle (D) of the internal power MOSFET in each switching cycle.



8-23. Exar's XR77128 is a four-channel digital PWM step down (buck) controller.

**Features**

- Up to 6W Boost Output Providing Up to 18V
- 1.8V or 3.3V Regulator Provides 500mA with External NPN Transistor
- One Positive-Gate Voltage Regulator Capable of Delivering 20mA at 28V
- One Negative-Gate Voltage Regulator
- 2.2MHz Switching Operation
- Flexible Stand-Alone Sequencing
- True Shutdown Boost Converter
- Internal Soft-Start

- Overtemperature Shutdown
- -40°C to +105°C Operation
- AEC-Q100 Qualified

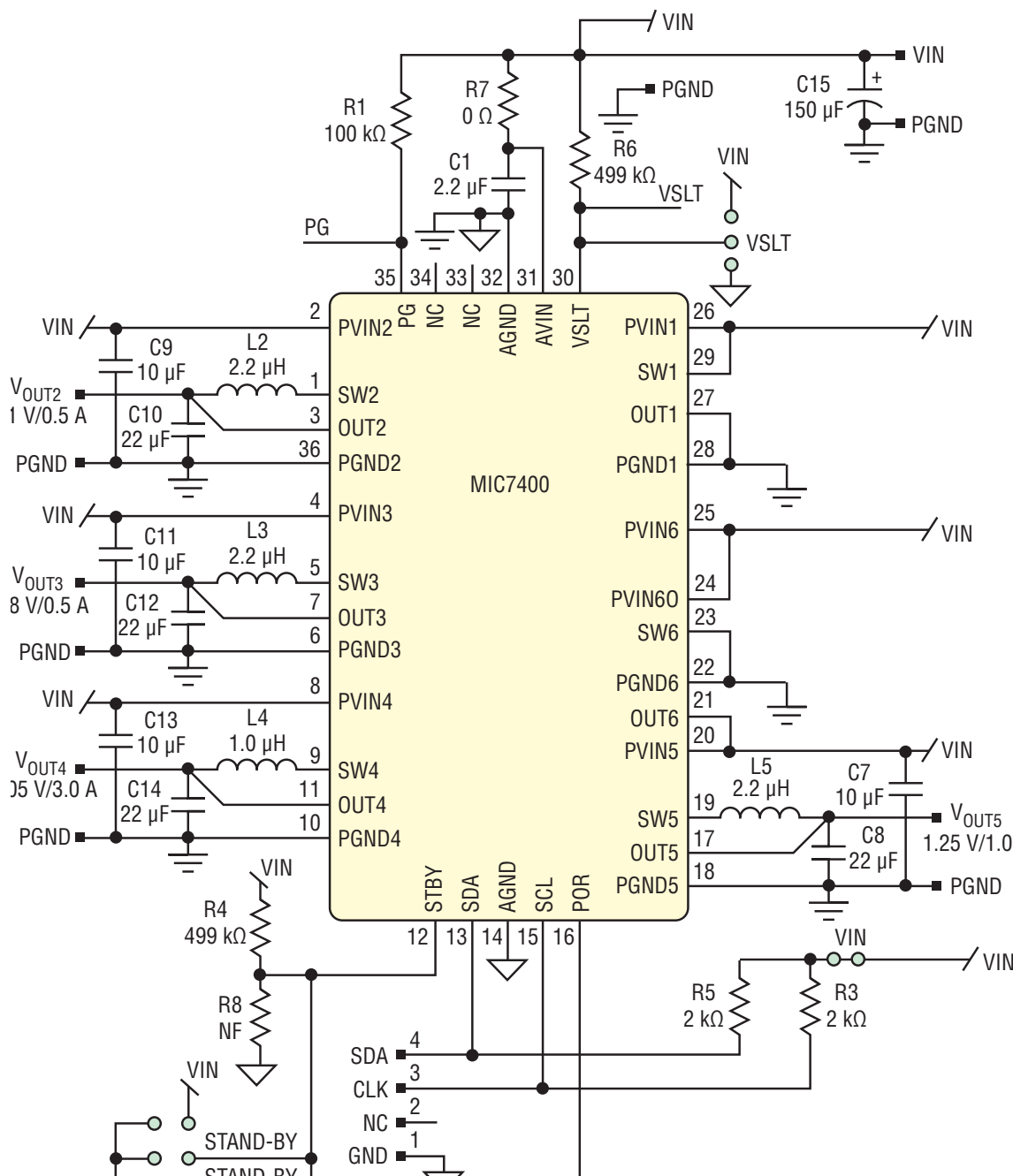
**Multi-Channel Power Management ICs**

The XR77128 from Exar is a quad-channel digital Pulse Width Modulated (PWM) step-down (buck) controller (Fig. 8-23). A wide 4.75V to 5.5V and 5.5V to 25V input voltage range allows for single supply operation from standard power rails.

With integrated FET gate drivers, two LDOs for standby power, and a 105kHz to 1.23MHz independent channel-to-channel programmable constant operating frequency, the XR77128 reduces overall component count, solution footprint, and optimizes conversion efficiencies. A selectable digital Pulse Frequency Mode (PFM) capable of better than 80% efficiency at light current load and low operating current allow for portable and Energy Star compliant applications. Each XR77128 channel's output voltage is individually programmable down to 0.6V with a resolution of 2.5mV, and is configurable for precise soft start and soft stop sequencing, including delay and ramp control.

The XR77128 operations are fully controlled via a SMBus-compliant I2C interface, allowing for advanced local and/or remote reconfiguration and full performance monitoring and reporting as well as fault handling.

Built-in independent output Over-Voltage, Over-Temperature, Over-Current, and Under-Voltage Lockout protections insure safe operation under abnormal operating conditions.



8-24. Micrel's MIC7400 is a multi-channel power supply with internal EEPROM.


## MIC7400

The MIC7400 from Micrel is a multi-channel power supply with internal EEPROM (Fig. 8-24). It offers software-configurable soft-start, sequencing and digital voltage control (DVC) that minimizes PC board area. MIC7400 buck regulators are adaptive on-time synchronous step-down dc-dc regulators that operate from a 2.4V to 5.5V input range.

### IC Features

- Five independent synchronous buck converters up to 3A
- One independent non-synchronous boost converter to 200mA and 70 $\mu$ A quiescent current
- 200 $\mu$ A quiescent current with all regulators on
- 93% peak buck efficiency, 85% typical efficiency at 1 mA
- 2.0MHz boost switching frequency
- 1.3 MHz buck operation in continuous mode
- Thermal shutdown and current limit protection

### Programmable features

- Buck output voltage: 0.8V to 3.3V in 50 mV steps
- Boost output voltage: 7.0 to 14V in 200 mV steps
- Power on reset: 2.25V to 4.25V in 50 mV steps
- Power on reset delay: 5ms to 160ms in 5ms steps
- Power-up sequencing: 6 time slots
- Power-up sequencing delay: 0ms to 7ms in 1ms steps
- Soft-start: 4 $\mu$ s to 1024 $\mu$ s per step
- Buck current limit threshold: 1.1A to 6.1A in 0.5A steps
- Boost current limit threshold: 1.76A to 2.6A in 0.12A steps
- Boost pull-down: 37mA to 148mA in 37mA steps
- Buck pull-down: 90 $\Omega$
- Buck standby output voltage programmable
- Boost standby output voltage programmable
- Global power good masking 

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2. *Sam Davis, Third Generation Digital Signal Controllers Boost Digital Power Conversion Performance*, [powerelectronics.com](#), June 2015.
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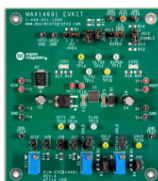
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## CHAPTER 9:

## BATTERY-POWER

## MANAGEMENT ICs

Use of battery-powered systems have expanded as consumers have migrated to portable phones, MP3 players, digital cameras, and more. One reason for this growth has been the availability of batteries and power-management ICs that provide the required support for increasingly complex electronic systems. Fig.

9-1 shows the basic power-management subsystem employed in a battery-based system.

To be effective, these power-management subsystems must:

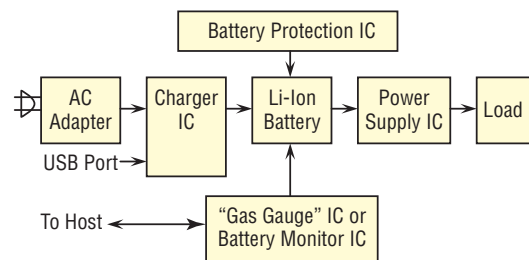
- Minimize battery size and weight while maximizing available run time.
- Provide the appropriate regulated output voltage over the specified input voltage range and load current.
- Minimize overall space and weight for associated components.
- Minimize heat dissipation to eliminate the need for sophisticated thermal management that adds size, weight, and cost.
- Allow a circuit-board layout that minimizes EMI.
- Maximize system reliability.

### Battery Selection

To meet these design objectives, the power-management subsystem design begins with the battery, which may be a non-rechargeable primary battery or a rechargeable secondary battery. Primary battery examples are alkaline and lithium metal cells. Popular rechargeable batteries are nickel cadmium (NiCd), nickel-metal hydride (NiMH), lithium-ion (Li-ion), and lithium-polymer (Li-pol).

Lithium-ion batteries have the greatest electrochemical potential and the highest energy density per weight. The

Li-ion battery is safe, provided certain precautions are met when charging and discharging. Li-ion energy density is about twice that of the standard NiCd. Besides high capacity, the load characteristics are reasonably good and behave similarly to the NiCd in terms of discharge characteristics. Its relatively high cell voltage (2.7V to 4.2V) allows one-cell battery packs.



**9-1. A typical battery-based power-management subsystem consists of single or multiple-function ICs.**

Exercise caution when handling and testing Li-ion batteries. Do not short-circuit, overcharge, crush, drop, mutilate, penetrate, apply reverse polarity, expose to high temperature, or disassemble. Use the Li-ion battery with its designated protection circuit.

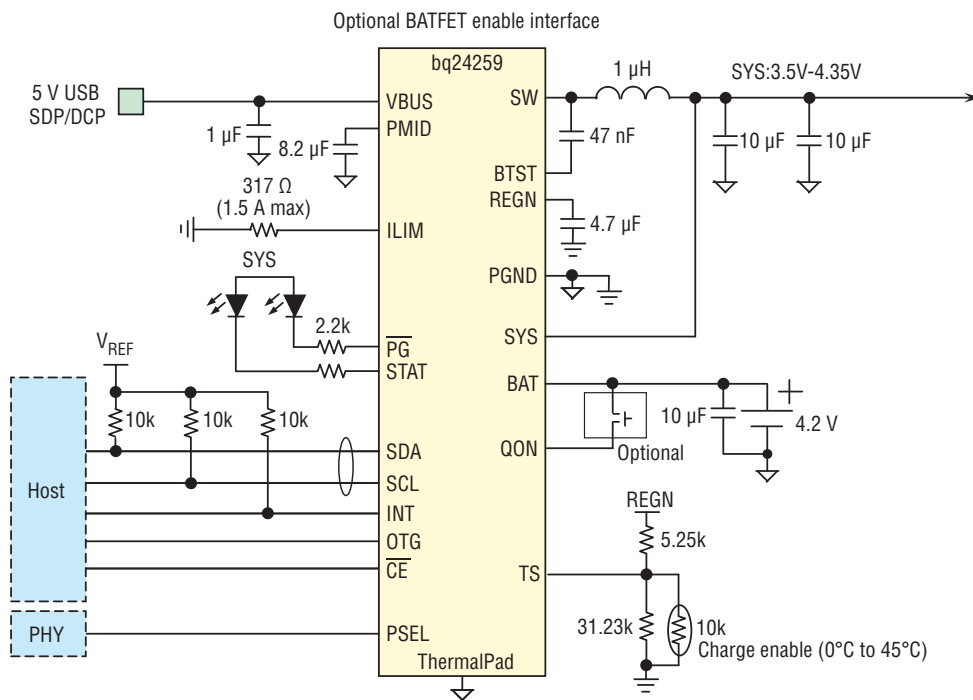
The Li-pol battery differs from the Li-ion type in its fabrication, ruggedness, safety, and thin-profile geometry. Unlike the Li-ion, the Li-pol has minimal danger of flammability because it does not use a liquid or gelled electrolyte like the Li-ion. The Li-pol has simpler packaging and a lower profile than the conventional Li-ion battery.

### Battery-Charger ICs

Battery chemistries have unique requirements for their charge technique, which is critical for maximizing capacity, cycle life, and safety. Linear topology works well in applications with low-power (e.g., one- or two-cell Li-ion) battery packs that are charged at less than 1A. However, switch-mode topology is better suited for large (e.g., three or four series Li-Ion or multiple NiCd/NiMH) battery packs that require charge rates of 1A and above. Switch-mode topology is more efficient and minimizes heat generation during charging, but can produce EMI if not packaged properly.

The charge and discharge capacity of a secondary battery is in terms of "C," given as ampere-hours (Ah).





## 9-2. Texas Instruments' Bq24259 is a switch-mode battery-charge management and system power-path management IC for one-cell Li-ion and Li-polymer batteries.

The actual battery capacity depends on the C-rate and temperature. Most portable batteries are rated at 1C. A discharge of 1C draws a current equal to the rated capacity, that is, a battery rated at 1000mAh provides 1000mA for one hour if discharged at 1C rate.

Li-ion batteries have a higher voltage per cell, tighter voltage tolerance, and the absence of trickle or float charge when reaching full charge. Charge time for Li-ion batteries charged at a 1C initial current is about three hours. Full charge occurs after reaching the upper voltage threshold and the current drops and levels off at about 3% of the nominal charge current. Increasing Li-ion charge current has little effect on shortening the charge time. Although it reaches the voltage peak faster with higher current, the topping charge will take longer. Li-ion batteries cannot absorb overcharge, which can cause the cell to overheat. Li-ion constant-current-constant-voltage (CCCV) chargers are important to get the maximum energy into the battery, without overvoltage.

Performance and longevity of rechargeable batteries depends on the quality of the charger IC. One type of charger IC (used only for NiCd) applies a fixed charge rate of about 0.1C (one tenth of the rated capacity). A faster charger takes three to six hours with a charge rate of about 0.3C.

A charger for NiMH batteries could also accommodate NiCds, but not vice versa because a NiCd charger could overcharge a NiMH battery. Lithium-based chargers

require tighter charge algorithms and voltages. Avoid a charge rate over 1C for lithium battery packs because high currents can induce lithium plating. With most lithium packs, a charge above 1C is not possible because the protection circuit limits the amount of current the battery can accept.

### Bq24259

The bq24259 from Texas Instruments is a switch-mode battery charge-management and system-power-path management device for a one-cell Li-ion and Li-polymer battery (Fig. 9-2). Its low-impedance power path optimizes switch-mode operation efficiency, reduces battery charging time, and extends battery life during discharging phase.

The IC supports 3.9 V to 6.2 V USB input sources, including a standard USB host port and USB charging port with 6.4 V overvoltage protection. It also supports USB 2.0 and USB 3.0 power specifications with input current and voltage regulation.

The power-path management regulates the system slightly above battery voltage, but does not drop below 3.5 V minimum system voltage (programmable). With this feature, the system keeps operating even when the battery is completely depleted or removed. When the input source current or voltage limit is reached, the power-path management automatically reduces the charge current to zero and then discharges the battery until the system power requirement is met. This supplement-mode operation keeps the input source from getting overloaded.

The IC initiates and completes a charging cycle when host control is not available. It automatically charges the battery in three phases:

1. Pre-conditioning
2. Constant current
3. Constant voltage

In the end, the charger automatically terminates when the charge current is below a preset limit in the constant voltage phase. Later on, when the battery voltage falls below the recharge threshold, the charger will automatically start another charging cycle.

Safety features for battery charging and system operation include:

- Negative thermistor monitoring
- Charging safety timer

- Overvoltage protection
- Overcurrent protection

The thermal regulation reduces charge current when the junction temperature exceeds 120°C (programmable). An output reports the charging status and any fault conditions. And the IC immediately notifies host when fault occurs.

### MAX8900

Maxim Integrated's **MAX8900** is a high-frequency switch-mode charger for a 1-cell Li+ or Li-Poly battery (Fig. 9-3). It delivers up to 1.2A to the battery from 3.4V to 6.3V (MAX8900A/MAX8900C) or 3.4V to 8.7V (MAX8900B). Contact the factory for input operating voltage ranges up to +20V. The 3.25MHz switch-mode charger is ideally suited to small portable devices such as headsets and ultra-portable media players because it minimizes component size and heat.

The MAX8900 is protected against input voltages as high as +22V and as low as -22V. Battery protection features include low-voltage prequalification, charge-fault timer, die-temperature monitoring, and battery temperature monitoring. The battery temperature monitoring adjusts the charge current and termination voltage as described in the JEITA (Japan Electronics and Information Technology Industries Association) specification for safe use of secondary Li+ batteries.

Charge parameters are adjustable with external components. An external resistance adjusts the charge current from 50mA to 1200mA. Another external resistance adjusts the prequalification and done-current thresholds from 10mA to 200mA. The done-current threshold is very accurate, achieving Q1mA at the 10mA level. The charge timer is adjustable with an external capacitor.

A proprietary hysteretic-current PWM control scheme ensures high efficiency, fast switching, and physically tiny external components. Inductor ripple current is internally set to provide 3.25MHz. At very high duty factors, when the input voltage is lowered close to the output voltage, the steady-state duty ratio does not allow 3.25MHz operation because of the minimum off-time. The controller then provides minimum off-time, peak current regulation. Similarly, when the input voltage is too high to allow 3.25MHz operation due to the minimum on-time, the controller becomes a minimum on-time, valley current regulator.

To prevent input current transients, the rate of change of the input current ( $di/dt$ ) and charge current is limited. When the input is valid, the charge current ramps from 0mA to the fast-charge current value in 1.5ms. Charge current also soft-starts when transitioning from the prequalification state to the fast-charge state. There is no  $di/dt$  limiting when transitioning from the done state to the

fast-charge state.

### Battery-Monitor ICs

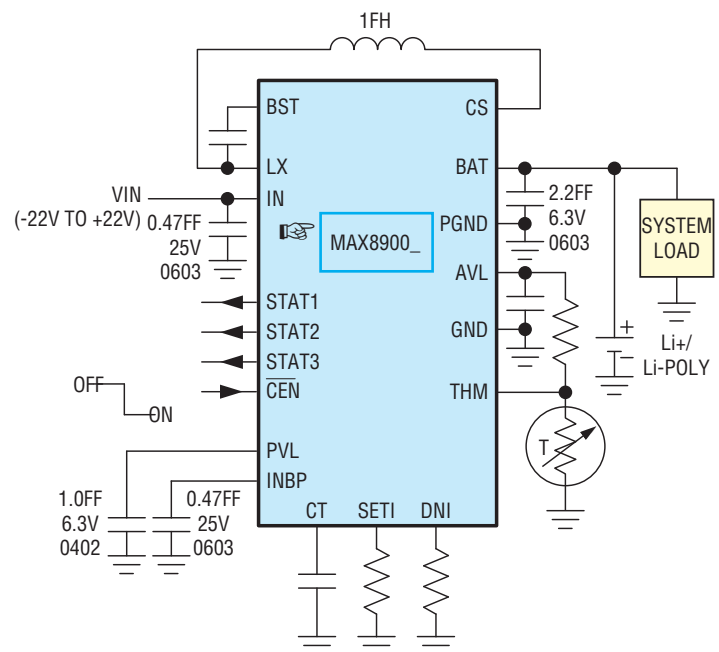
Portable systems are sensitive to usable battery life. This is particularly important for computers where a loss of power could mean a loss of stored data. Therefore, it is useful to provide a real-time indication of remaining battery life. One approach is a battery monitor that accumulates battery data and transmits it to a host processor. Another approach is a “gas gauge” that displays battery life within its associated equipment.

Battery monitors are mixed-signal ICs that include digital memory and registers that store battery data. Analog circuits include temperature sensors and amplifiers, as well as interface circuits. To measure battery current, a monitor usually includes either an internal or external current sense resistor. Voltage and current measurements are usually via an on-chip A/D converter.

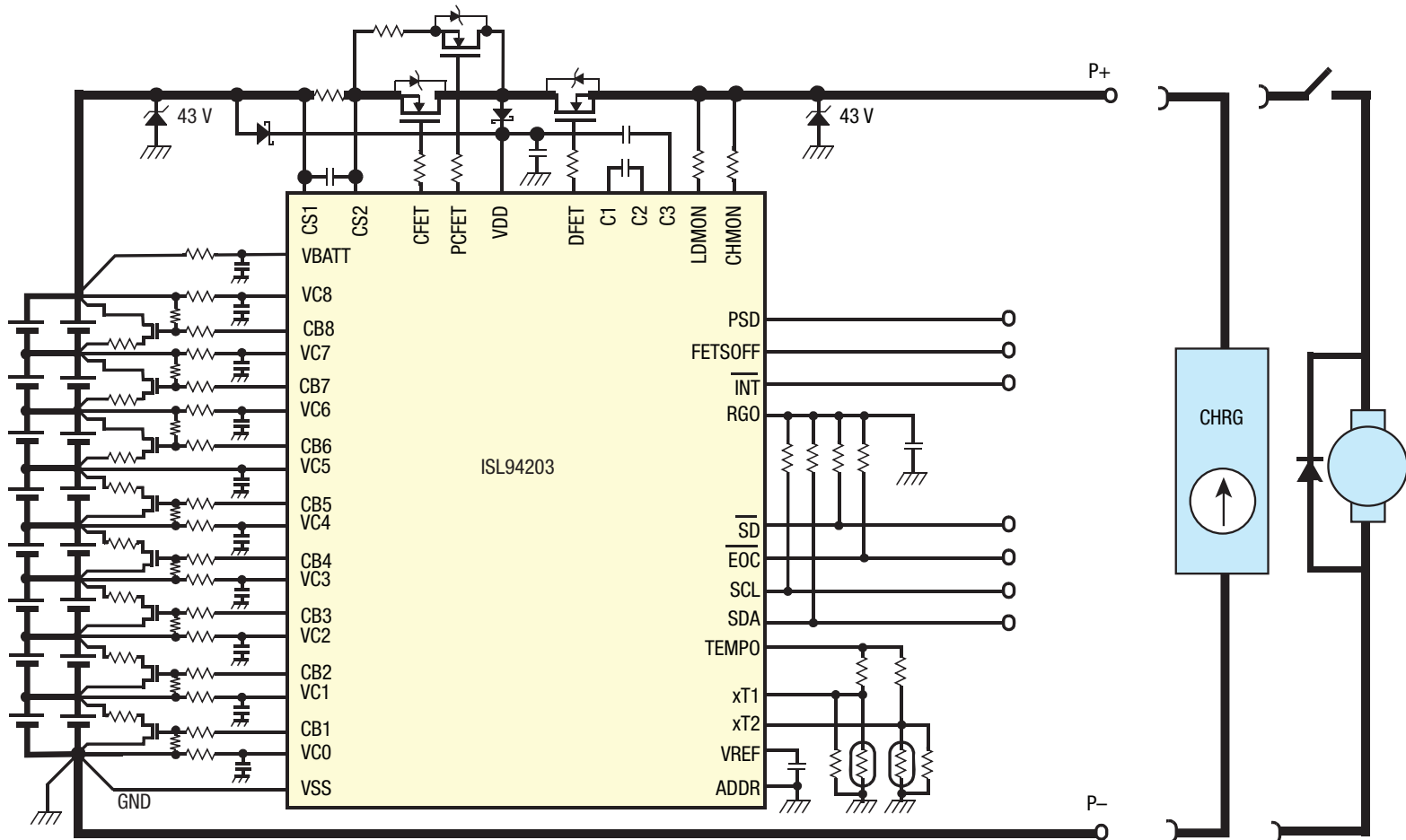
One solution to this battery-sensitive situation is to include a means for providing a real-time indication of remaining battery life to the system user. Battery monitors are actually data-acquisition systems that accumulate data related to battery parameters and then transmit the battery data to a host processor.

Battery monitors are mixed-signal ICs that incorporate both analog and digital circuits. These monitors include one or more types of digital memory and special registers to hold battery data. Analog circuits include temperature sensors and amplifiers, as well as some interface circuits.

To measure battery current, the monitors usually include



9-3. Maxim's **MAX8900** is a high-frequency switch-mode charger for a one-cell Li+ or Li-polymer battery.



9-4. Intersil's ISL94203 is a stand-alone battery-pack monitor that provides monitor and protection functions.

either an internal or external current sense resistor. Voltage and current measurements are usually via an on-chip A/D converter.

Among the monitored battery parameters are overcharge (overvoltage), overdischarge (undervoltage), and excessive charge and discharge currents (overcurrent, short circuit), information of particular importance in Li-ion battery systems. In some ways a battery monitor assumes some of the functions of a protection circuit by protecting the battery from harmful overcharging and overcurrent conditions.

Intersil's ISL94203 is a stand-alone battery-pack monitor that provides monitor and protection functions without using an external microcontroller (Fig. 9-4). The IC locates the power-control FETs on the high side with a built-in charge pump for driving N-Channel FETs. The current sense resistor is also on the high side.

Power is minimized in all areas, with parts of the circuit powered down a majority of the time, to extend battery life. At the same time, its RGO output stays on, so that any connected microcontroller can remain on most of the time.

The ISL94203 includes:

- Eight-cell voltage monitors that support Li-ion CoO<sub>2</sub>, Li-ion Mn<sub>2</sub>O<sub>4</sub> and Li-ion FePO<sub>4</sub> chemistries

- Input level shifter to enable monitoring of battery stack voltages
- 14-bit ADC converter, with voltage readings trimmed and saved as 12-bit results
- 1.8V voltage reference (0.8% accurate)
- 2.5V regulator, with the voltage maintained during sleep
- Automatic scan of the cell voltages; overvoltage, undervoltage, and sleep voltage monitoring
- Selectable overcurrent detection settings
- 8 discharge overcurrent thresholds
- 8 charge overcurrent thresholds
- 8 short circuit thresholds
- 12-bit programmable discharge overcurrent delay time
- 12-bit programmable charge overcurrent delay time
- 12-bit programmable short-circuit delay time
- Current-sense monitor with gain that provides the ability to read the current-sense voltage
- Second external temperature sensor for use in monitoring the pack or power FET temperatures
- EEPROM for storing operating parameters and a user area for general purpose pack information
- Cell balancing uses external FETs with internal state machine or external microcontroller

## Battery Gas-Gauge ICs

The gas-gauge IC is usually found within the battery pack. Because specific inputs on the gas-gauge IC connect directly to the battery, those inputs must consume very little power. Otherwise, battery life will be reduced during long storage periods. Initially, the battery must be fully charged and the counters and registers set to states consistent with a fully charged battery. As discharge occurs, the gas-gauge IC tracks the amount of charge removed from the battery.

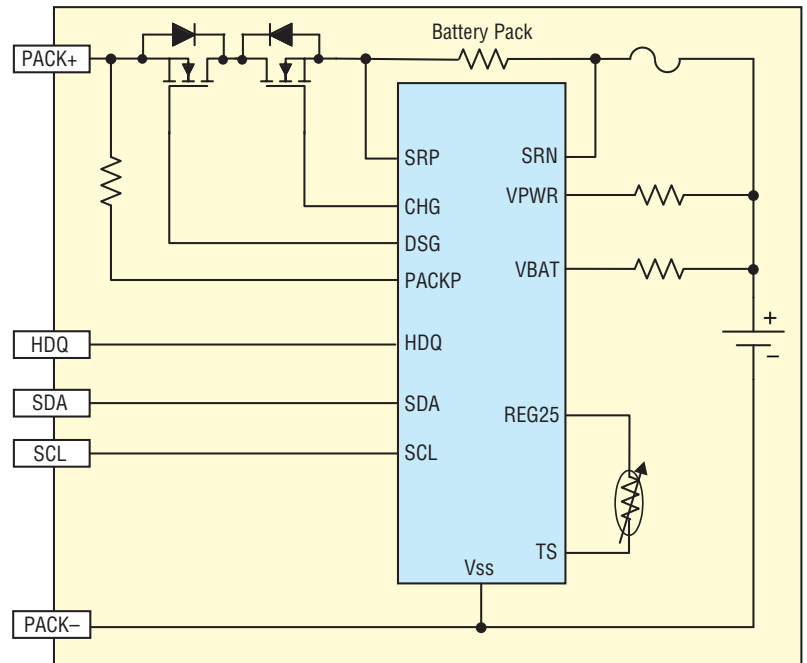
Most battery gas gauges compensate for both temperature and charge/discharge rate. Typically, it displays the available charge on LEDs and also can send the charge data to an external processor via an I/O port. The LED presentation usually consists of five or six segments of a “thermometer” display. To conserve battery power, the display is only activated at the user’s command. At full charge, all the LED segments are lit. As battery life decreases, the gas-gauge IC extinguishes successive segments on the thermometer display.

The gas-gauge IC calculates the available charge of the battery while compensating for battery temperature because the actual available charge is reduced at lower temperatures. For example, if the gas-gauge IC indicates that the battery is 60% full at 25°C, then the IC indicates 40% full when cooled to 0°C, which is the predicted available charge at that temperature. When the temperature returns to 25°C, the displayed capacity returns to 60%. This ensures that the indicated capacity is always conservatively representative of the charge available for use under the given conditions.

Depending on the battery type, the gas-gauge IC also adjusts the available charge for the approximate internal self-discharge of the battery. It adjusts self-discharge based on the selected rate, elapsed time, battery charge level, and temperature. This adjustment provides a conservative estimate of self-discharge that occurs naturally and that is a significant source of discharge in systems that are not charged often or are stored at elevated temperatures.

The gas-gauge IC is usually packaged within the battery pack. Because specific inputs on the gas-gauge IC connect directly to the battery, those inputs must consume very little power. Otherwise, battery life will be reduced during long storage periods.

The battery gas gauge continuously compensates for both temperature and charge/discharge rate. Typically, it displays the available charge on LEDs and also can send the charge data to an external processor via an I/O port. The LED presentation usually consists of five or six segments of a “thermometer” display. To conserve battery



9-5. Texas Instruments' bq27741-G1 provides fuel gauging for single-cell Li-ion battery packs.

power, the display is only activated at the user’s discretion.

Battery gas-gauge ICs employ mixed-signal, analog, and digital circuits. One technique is to use analog circuits to monitor battery current by measuring the voltage drop across a low-value resistor (typically 20mΩ to 100mΩ) in series with the battery. This provides the charge input to the battery and the charge subsequently removed from the battery. Integrated over time, the scaled voltage drives internal digital counters and registers. The counters and registers track the amount of charge available from the battery, the amount of charge removed from the battery since it was last full, and the most recent count value representing “battery full.”

### Bq27741-G1

Texas Instruments' bq27741-G1 Li-ion battery fuel gauge is a microcontroller peripheral that provides fuel gauging for single-cell Li-Ion battery packs (Fig. 9-5). The device requires little system microcontroller firmware development for accurate battery fuel gauging. The fuel gauge resides within the battery pack or on the system’s main board with an embedded battery (non-removable).

Cell information is stored in the fuel gauge in non-volatile flash memory. Many of these data flash locations are accessible during application development. They cannot, generally, be accessed directly during end-equipment operation. To access these locations, use individual commands, a sequence of data-flash-access commands.

The key to the high-accuracy gas-gauging prediction is



the proprietary Impedance Track algorithm. This algorithm uses cell measurements, characteristics, and properties to create state-of-charge predictions that can achieve less than 1% error across a wide variety of operating conditions and over the lifetime of the battery.

The fuel gauge provides:

- Hardware-based overvoltage
- Hardware-based undervoltage
- Overcurrent in charge or discharge
- Short-circuit protection

Information provided includes:

- Remaining battery capacity(mAh)
- State-of-charge (%)
- Run-time to empty (minimum)
- Battery voltage (mV) and temperature (°C)
- Vital parameters recorded throughout battery lifetime

## Battery-Protector ICs

An added requirement for Li-ion battery packs is a protection circuit that limits each cell's peak voltage during charge and prevents the voltage from dropping too low on discharge. The protection circuit limits the maximum charge and discharge current and monitors the cell temperature. This protects against overvoltage, undervoltage, overcharge current, and overdischarge current in battery packs

Ideally, the protection circuit should consume no current when the battery-powered system is turned off. However, the protector always consumes some small current. A single-cell rechargeable Li+ protection IC provides electronic safety functions required for rechargeable Li+ applications including protecting the battery during charge, protection of the circuit from damage during periods of excess current flow and maximization of battery life by limiting the level of cell depletion. Protection is facilitated by electronically disconnecting the charge and discharge conduction path with switching devices such as low-cost N-channel power MOSFETs.

## Battery-Protection IC

The S-8240A Series monitors the voltage of the battery connected between VDD pin and VSS pin, the voltage

between VM pin and VSS pin to control charging and discharging (Fig. 9-6). When the battery voltage is in the range from overdischarge detection voltage (VDL) to overcharge detection voltage (VCU), and the VM pin voltage is in the range from charge overcurrent detection voltage (VCIOV) to discharge overcurrent detection voltage (VDIOV), the S-8240A Series turns both the charge and discharge control FETs on. This condition is called the normal status, and in this condition charging and discharging can be carried out freely.

The resistance between VDD pin and VM pin (RVMD), and the resistance between VM pin and VSS pin (RVMS) are not connected in the normal status.

When the battery voltage becomes higher than VCU

during charging in the normal status and the condition continues for the overcharge detection delay time ( $t_{CU}$ ) or longer, the S-8240A Series turns the charge control FET off to stop charging. This condition is called the overcharge status.

The overcharge status is released in the following two cases.

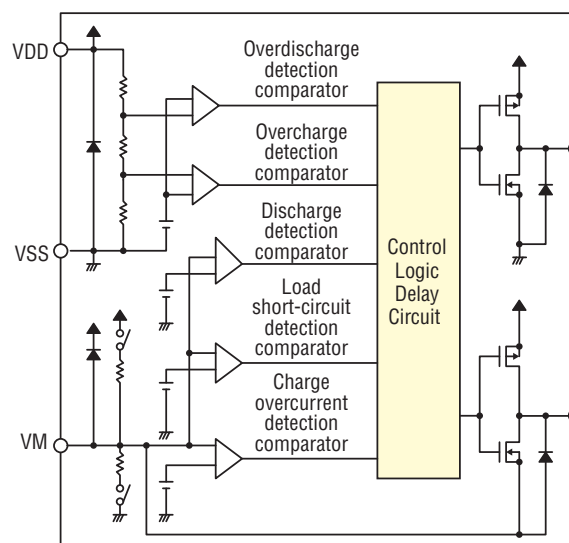
1. In the case that the VM pin voltage is lower than VDIOV, the S-8240A Series releases the overcharge status when the battery voltage falls below overcharge release voltage (VCL).

2. In the case that the VM pin voltage is equal to or higher than VDIOV, the S-8240A Series releases the overcharge status when the battery voltage falls below VCU.

When the discharge is started by connecting a load after the overcharge detection, the VM pin voltage rises by the  $V_f$  voltage of the parasitic diode than the VSS pin voltage, because the discharge current flows through the parasitic diode in the charge control FET. If this VM pin voltage is equal to or higher than VDIOV, the S-8240A Series releases the overcharge status when the battery voltage is equal to or lower than VCU.

Battery Power-Supply ICs

Virtually all battery-based systems are intended for portable operation. As such, their power converters have requirements that dictate the associated configurations. This also means that the converter ICs should require very few external components and any that are used should be low-cost types. Also, to minimize size and weight, the



**9-6. The S-8240A Series from S.I.I. monitors the voltage of the battery connected between VDD pin and VSS pin and the voltage between VM pin and VSS pin to control charging and discharging.**

IC should be packaged in some form of BGA package. In addition, the application will determine what combination of buck, boost, or buck-boost functions will be available.

One tradeoff in selecting a converter IC is whether it employs external or on-chip power MOSFET switches. On-chip devices minimize external components, but have a tendency to increase the junction temperature and degrade thermal performance. Depending on the package employed, this could also reduce the current carrying capacity of the converter IC.

One design consideration is reducing power dissipated by the power converter, which in turn increases battery run time. Most converter ICs have a shutdown pin that disables the output voltage, cutting battery drain. This can be done in many systems that have a normal “sleep” mode. When the IC comes out of the shutdown mode, it has to do so without upsetting the system. Also available in most battery-based converter ICs is undervoltage lockout (UVLO) that shuts down the power supply if the input voltage drops below a specific threshold. Therefore, if the battery output voltage drops too far, the supply will shut down. Another characteristic of these converter ICs is protection against overcurrent, which protects both the controller IC and the system components. This is accomplished by sensing current to the load and cutting power

for an overload condition.

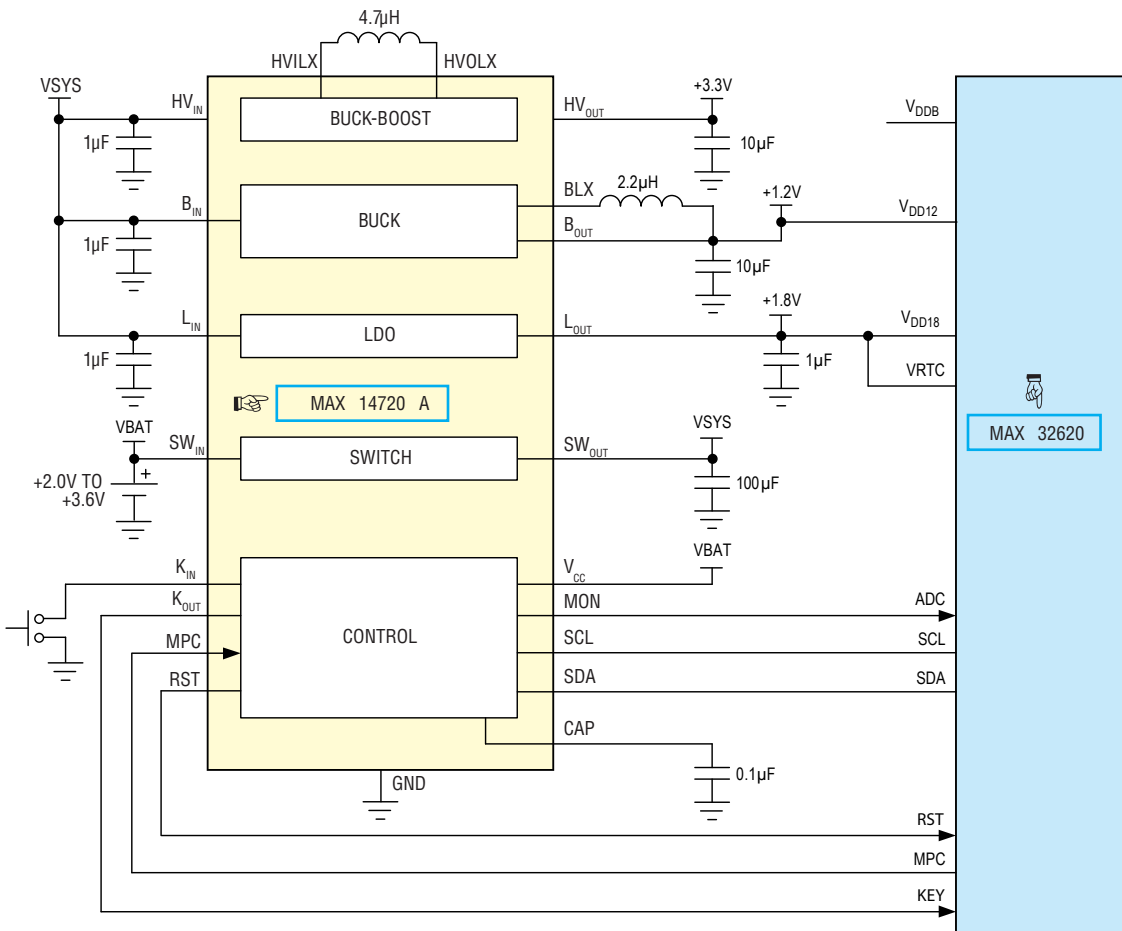
An important design consideration is minimizing the supply's power dissipation, which increases battery run time. This is aided by a shutdown pin that disables the power supply, cutting battery drain. When the IC comes out of the shutdown mode, it has to do so without generating a transient that upsets the system.

Also available in most battery-based supply ICs is undervoltage lockout (UVLO) that disables the power supply if the battery output voltage drops too low.

Most of these supply ICs protect against overcurrent, which protects both the IC and system components. This involves a current sensor that monitors load current and cuts power for an overload.

For all switching power supplies, layout is an important design consideration, especially at high peak currents and high switching frequencies. If the layout is not carefully done, the supply IC could become unstable or produce EMI. This requires wide and short traces for the main current path and for the power ground tracks. The input capacitor, output capacitor, and the inductor should be placed as close as possible to the IC.

The feedback divider should be placed as close as possible to the control ground pin of the IC. In laying out the control ground, use short traces separated from the power ground traces.



### MAX14720

Maxim's **MAX14720** is a compact power-management solution for space-constrained, battery-powered applications where size and efficiency are critical (Fig. 9-7). This IC integrates a power switch, linear regulator, buck regulator, and buck-boost regulator.

The MAX14720 is intended to be the primary power-management device and is ideal for either non-rechargeable battery (coin-cell, dual alkaline)

**9-7. Maxim's **MAX14720** is a compact power-management solution that integrates a power switch, linear regulator, buck regulator, and buck-boost regulator.**

applications or for rechargeable solutions where the battery is removable and charged separately. The device includes a button monitor and sequencer.

There are two programmable micro-IQ, high-efficiency switching converters: a buck-boost regulator and a synchronous buck regulator. These regulators feature a burst mode for increased efficiency during light-load operation.

A low-dropout linear regulator has a programmable output. It can also operate as a power switch that can disconnect the quiescent load of system peripherals.

This IC also includes a power switch with battery-monitoring capability. The switch can isolate the battery from all system loads to maximize battery life when not operating. It is also used to isolate the battery-impedance measurements. This switch can also operate as a general-purpose load switch.

The MAX14720 includes a programmable power con-

troller that allows the device to be configured either for use in applications that require a true off state or for always-on applications. This controller provides a delayed reset signal, voltage sequencing, and customized button timing for on/off control and recovery hard reset.

This IC is available in a 25-bump, 0.4mm pitch, 2.26mm x 2.14mm wafer-level package (WLP) and operate over the -40°C to +85°C extended temperature range.

## Multi-Function Battery Power-Management ICs

These ICs perform multiple functions in a battery-based system. Among these functions are battery charging, dc-dc conversion, battery protection, battery monitoring, and power-source selection.

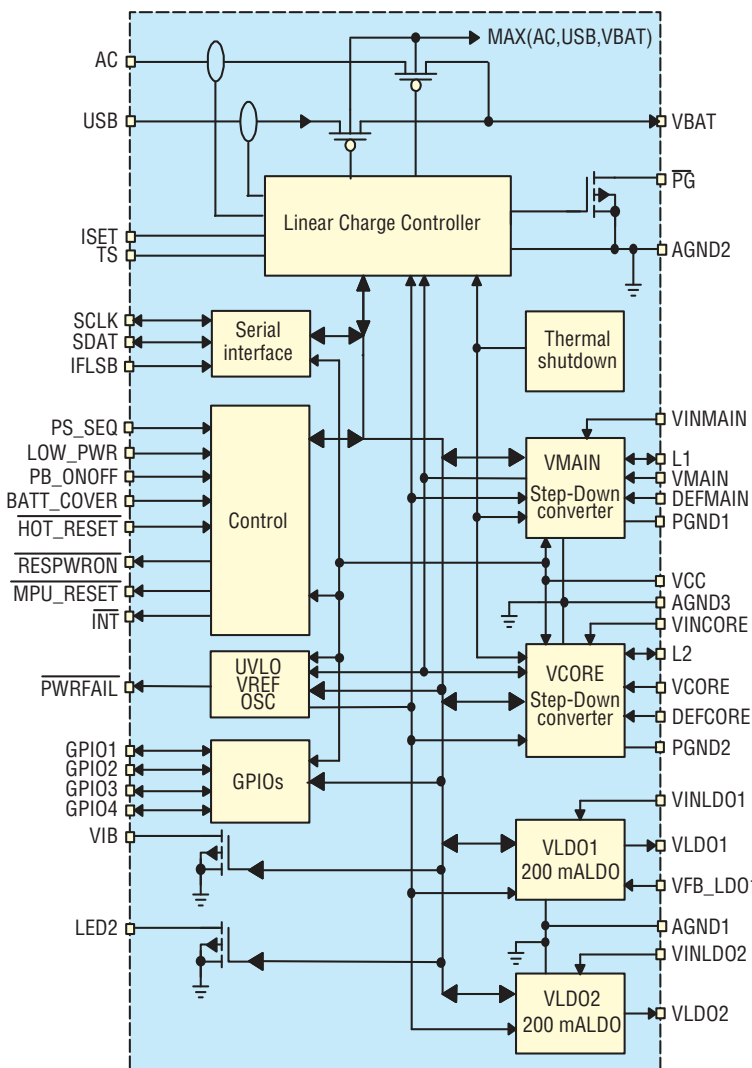
For example, an IC integrates PWM power control for charging a battery and converting the battery voltage to a regulated output. Also, it can simultaneously charge the battery while powering a system load from an unregulated ac wall adapter. Combining these features into a single IC produces a smaller area and lower-cost solution compared to presently available multi-IC solutions. The IC shares the discrete components for both the battery charger and the dc-dc converter, minimizing size and cost relative to dual controller solutions. Both the battery charger and dc-dc converter use a current-mode flyback topology for high efficiency and excellent transient response. Optional Burst Mode operation and power-down mode allow power density, efficiency, and output ripple to be tailored to the application.

The IC provides a complete Li-Ion battery charger with charge termination timer, preset Li-Ion battery voltages, overvoltage and undervoltage protection, and user-programmable constant-current charging. Automatic battery recharging, shorted-cell detection, and open-drain C/10 and wall-plug detect outputs are also provided. User programming allows NiMH and NiCd battery chemistries to be charged as well.

## TPS65010

Texas Instruments' TPS65010 is an integrated power and battery management IC for applications powered by one Li-ion or Li-polymer cell, and which require multiple power rails (Fig. 9-8). **The power source components include:**

- 1A step-down converter for I/O and peripheral components (VMAIN)
- 400mA, 90% efficient step-down converter for processor core (VCORE)
- 2x 200mA LDOs for I/O and peripheral components, LDO enable through bus
- Serial interface compatible with I2C, supports 100kHz, 400 Hz operation




9-8. Texas Instruments' TPS65010 is an integrated power-supply and battery-management IC.

- 70µA quiescent current
- 1% reference voltage
- Thermal shutdown protection

The TPS65010 charger automatically selects the USB port or the ac adapter as the power source for the system. In the USB configuration, the host can increase the charge current from the default value of maximum 100 mA to 500 mA through the interface. In the ac-adapter configuration, an external resistor sets the maximum value of charge current. The battery is charged in three phases:

- Conditioning
- Constant current
- Constant voltage

Charge is normally terminated based on minimum current. An internal charge timer provides a safety backup for charge termination. The TPS65010 automatically restarts the charge if the battery voltage falls below an internal threshold. The charger automatically enters sleep mode when both supplies are removed. 

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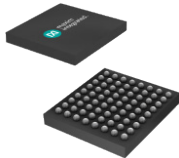
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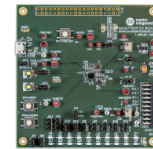
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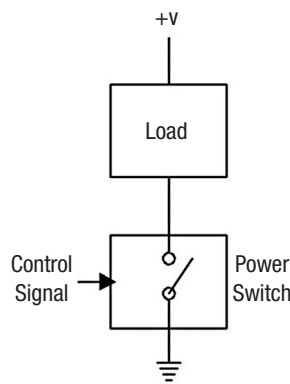
CHAPTER 10:

# SILICON POWER-MANAGEMENT POWER SEMICONDUCTORS

**S**ilicon power semiconductors are employed in power management systems. They are found in two different forms:

- Discrete Power Semiconductors (single type housed in a package.)
- Integrated Power Semiconductors  
MOSFETs and BJTs (bipolar junction transistors) can be integrated with other circuits in a single package. In addition, various types of power semiconductors may housed in a hybrid (multi-chip module, or MCM) package, that is, interconnected with other monolithic discrete devices in the same package.

Power switches are actually the electronic equivalent of a mechanical switch, except for much faster switching speed. Fig. 10-1 is the representation of a mechanical switch. The individual power semiconductor switch applies power to a load when a control signal tells it to do so. The control signal also tells it to turn off. Ideally, the power semiconductor switch should turn on and off in zero time. It should have an infinite impedance when



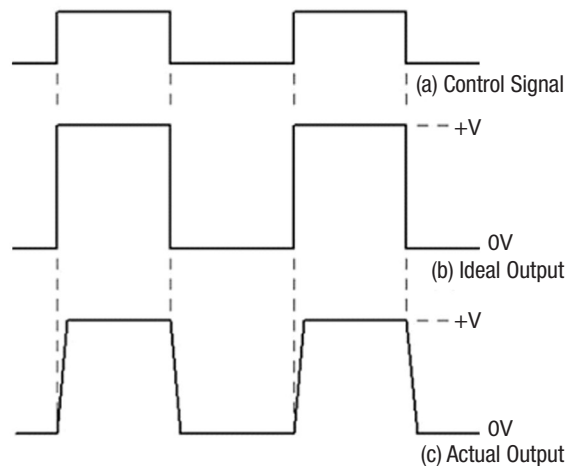
**10-1. Power management power semiconductors duplicate the action of a mechanical switch in which a control operation turns the power semiconductor switch on and off to control power applied to a load.**

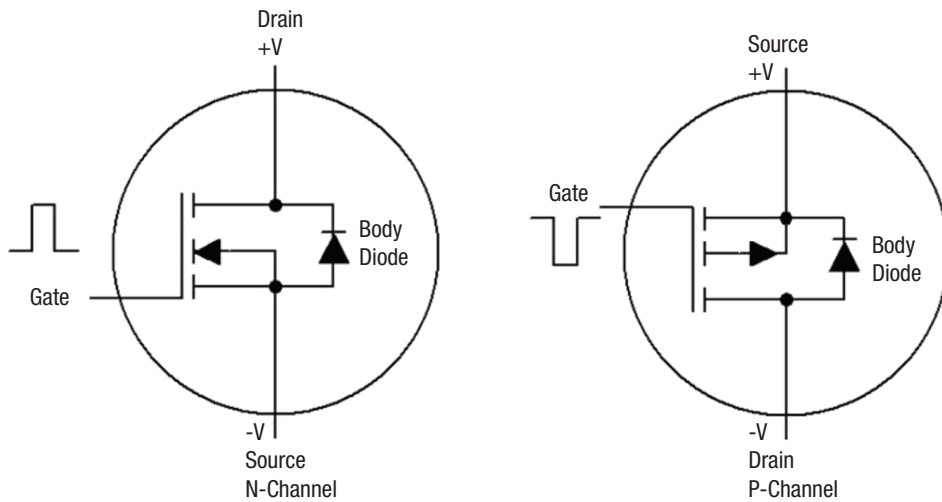
turned off so zero current flows to the load. It should also have zero impedance when turned on so that the on-state voltage drop is zero. Another idealistic characteristic would be that the switch input consumes zero power when the control signal is applied. However, these idealistic characteristics are unachievable with the present state of the art.

In the real world, actual power semiconductor switches do not meet the ideal switching characteristics. For

**10-2. Actual power semiconductors do not meet the ideal switching characteristics.**

- (a) Control signal applied to an ideal power semiconductor switch whose
- (b) Ideal output exhibits zero transition time when turning on and off.
- (c) Actual power switch exhibits some delay when turning on and off.





**10-3. N-Channel and P-Channel Power MOSFETs showing the voltage polarity of the Drain and the gate pulse polarity to turn the device on.**

example, Fig. 10-2(a) shows a control signal applied to an ideal power semiconductor switch whose output exhibits zero transition time when turning on and off (Fig. 10-2(b)). When the transistor is off (not conducting current) power dissipation is very low because current is very low. When the transistor is on (conducting maximum current) power dissipation is low because the conducting resistance is low. In contrast, an actual power switch exhibits some delay when turning on and off, as shown in Fig. 10-2(c). Therefore, some power dissipation occurs when the switch goes through the linear region between on and off. This means that the most power dissipation depends on the time spent going from the off to on and vice versa, that is, going through the linear region. Thus, the faster the device goes through the linear region, the lower the power dissipation and losses.

**Power MOSFETs**

Power MOSFETs (Metal-Oxide Semiconductor Field Effect Transistors) are among the most widely used power switch semiconductors. Power MOSFETs are three-terminal silicon devices that function by applying a signal to the gate that controls current conduction between source and drain (Fig. 10-3). They are available in n-channel versions that require a positive gate turn-on

voltage and also p-channel devices that require a negative gate voltage to turn on. Their current conduction capabilities are up to several tens of amperes, with breakdown voltage ratings (BV<sub>DSS</sub>) of 10V to 1000V.

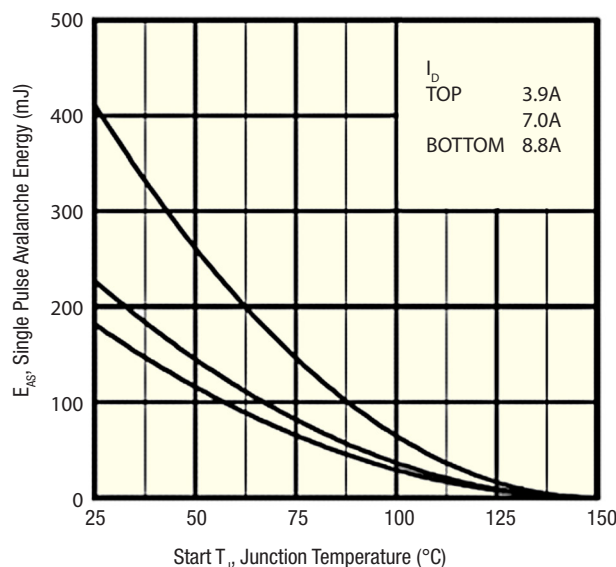
MOSFETs used in integrated circuits are lateral devices with gate, source and drain all on the top of the device, with current flow taking place in a path parallel to the surface. The Vertical Double diffused MOSFET (VDMOS) uses the device substrate as the drain terminal. MOSFETs used in integrated circuits exhibit a higher on-resistance than those of discrete MOSFETs.

The fabrication processes used to manufacture power MOSFETs are the same as those used in today's VLSI circuits, although the device geometry, voltage and current levels are significantly different. Discrete monolithic MOSFETs have tens or hundreds of thousands of individual cells paralleled together in order to reduce their on-resistance.

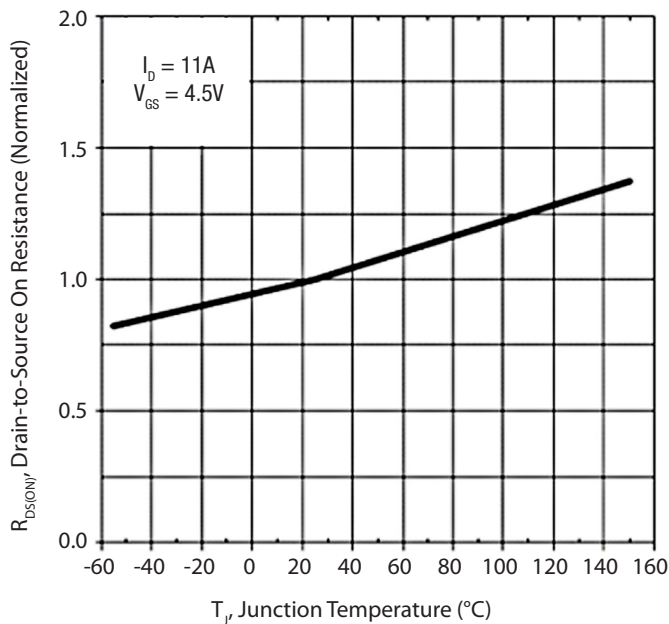
The gate turns the MOSFET on when its gate-to-source voltage is above a specific threshold. Typical gate thresholds range from 1 to 4 V. For an n-channel MOSFET a positive bias greater than the gate-to-source threshold voltage ( $V_{GS(th)}$ ) is applied to the gate, a current flows between source and drain. For gate voltages less than  $V_{GS(th)}$  the device remains in the off-state. P-channel MOSFETs use a negative gate drive signal to turn on.

When power semiconductor switches first found wide use, discrete transistors, pulse transformers, opto-couplers, among other components were used to drive the power MOSFET on and off.

Now, specially designed gate driver ICs are used in many applications. This minimizes the drive requirements from a low power circuit, such as a microprocessor, and also acts as a buffer between the controlling signal and the power semiconductor switch. The gate driver supplies enough drive to ensure that the power switch turns on



**10-4. Avalanche occurs if the maximum drain-to-source voltage is exceeded and current rushes through the device.**



**10-5. Normalized Variation of on-resistance vs. junction temperature for an N-Channel MOSFET.**

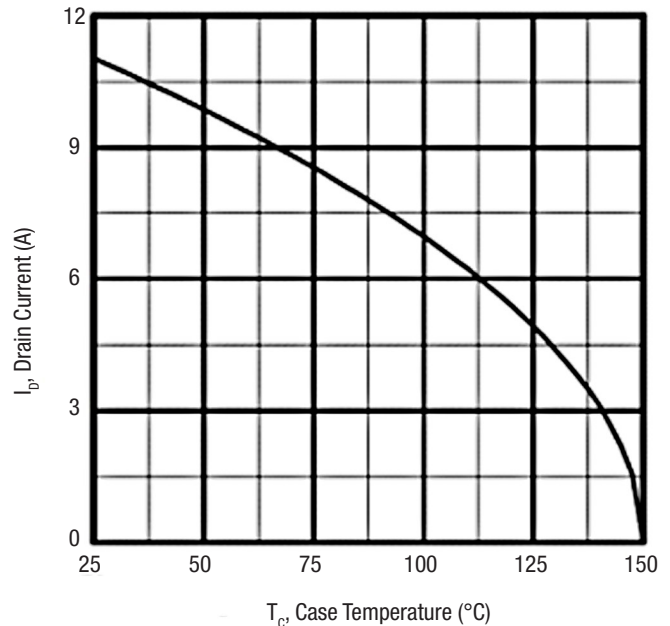
properly. Some gate drivers also have protection circuits to prevent failure of the power semiconductor switch and also its load.

MOSFET characteristics include several parameters critical to their performance:

**Blocking voltage ( $BV_{DSS}$ )** is the maximum voltage that can be applied to the MOSFET. When driving an inductive load, this includes the applied voltage plus any inductively induced voltage. With inductive loads, the voltage across the MOSFET can actually be twice the applied voltage.

**Maximum single pulse avalanche energy ( $E_{AS}$ )** determines how much energy the MOSFET can withstand under avalanche conditions. Avalanche occurs if the maximum drain-to-source voltage is exceeded and current rushes through the device. The higher the avalanche value the more rugged the device. The avalanche condition can cause two possible failure modes that can destroy a MOSFET. The most destructive is “bipolar latching” that occurs if the device current causes a voltage drop across its internal device resistance, resulting in transistor action and latching of the parasitic bipolar structure of the MOSFET. A second failure mode is thermal, which occurs if the avalanche condition raises the device temperature above its maximum junction temperature.

Trench technology offers an avalanche capability approaching industry-leading planar technology. To ensure satisfactory performance, devices in this technology can be fully characterized for single pulse avalanche energy ( $E_{AS}$ ) up to their maximum junction temperature. The higher the  $E_{AS}$ , the more rugged the device. Some de-



**10-6. Maximum Drain Current for a typical N-Channel Power MOSFET.**

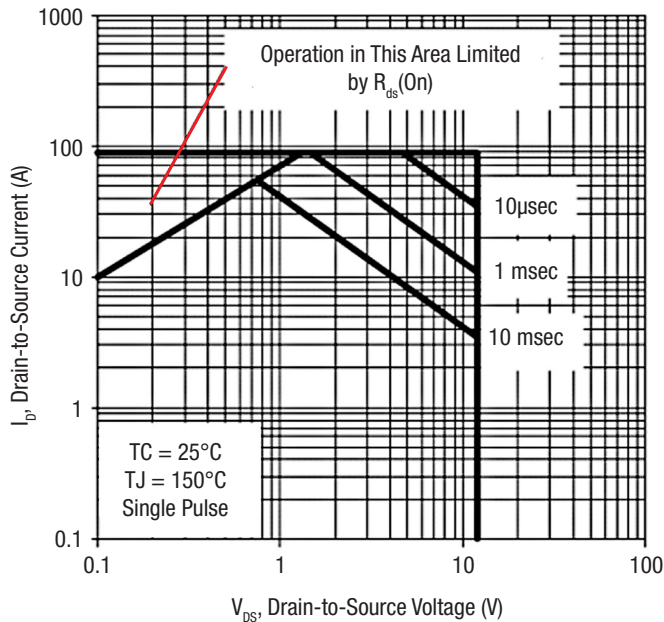
vices are rated in terms of  $E_{AR}$ , the repetitive avalanche energy.

Trench technology provides the desirable characteristics of low on-resistance sometimes at the expense of high avalanche energy. Trench power MOSFET technology provides 15% lower device on-resistance per unit area than existing benchmark planar technologies but usually at the cost of higher charge. And, the trench technology allows 10% lower on-resistance temperature coefficient. Fig. 10-4 is plot of single pulse avalanche energy for a MOSFET.

**On-resistance ( $R_{DS(ON)}$ )** for both planar and Trench MOSFETs is important because it determines the power loss and heating of the power semiconductor. The lower the on-resistance the lower the device power loss and the cooler it will operate. Low on-resistance drastically reduces heat-sinking requirements in many applications, which lowers parts count and assembly costs. In many applications, the low on-resistance also eliminates the need to parallel MOSFETs for low on-resistance, which leads to improved reliability and lower overall system cost than previous MOSFET generations. In virtually all MOSFETs, the n-channel versions have lower on-resistance than p-channel devices with the same operating voltages.

$R_{DS(ON)}$  decreases with increasing cell density. The cell density has increased over the years from around half a million per square inch in 1980 to around eight million for planar MOSFETs and around 12 million and higher for trench technology.

Maximum junction temperature,  $T_{J(max)}$ , is a function of

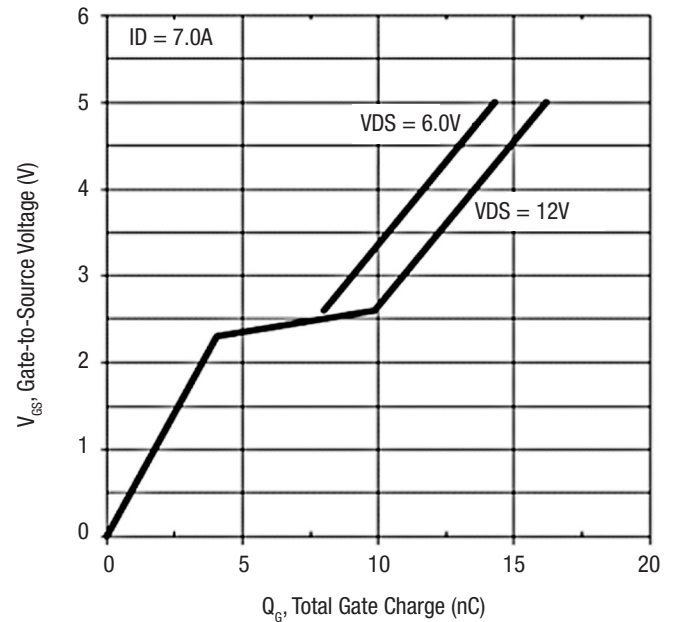


**10-7. Typical Maximum Safe Operating for an N-Channel Power MOSFET**

the electrical characteristics of the device itself, as well as the package employed. Package thermal properties determine its ability to extract heat from the die. The junction-to-ambient and junction-to-case thermal resistance is a measure of the MOSFET's ability to extract heat. Data sheets rate thermal resistance in terms of either °C/W or K/W. The lower the thermal resistance, the more efficient the package is in eliminating heat. In some cases, a heat sink may be required to maintain the device junction temperature below its maximum rating. Fig. 10-5 shows the variation of  $R_{DS(ON)}$  with junction temperature for  $V_{GS} = 4.5\text{ V}$  and  $10\text{ V}$ .  $V_{GS}$  is gate-to-source voltage.

**Drain current ( $I_D$ )** establishes the ability of the MOSFET to drive a specific load. This value can be limited by the MOSFET's package. When operated in the pulsed mode, the MOSFET's drain current can be several times its continuous rating. In the pulsed mode the pulse width and duty cycle determine safe drain current and device power dissipation. Fig. 10-6 shows the maximum drain current vs. case temperature.

**Safe operating area (SOA)** for a MOSFET is a function of the voltage and current applied to the device. Power semiconductor manufacturers include a curve in their power transistor data sheets (Fig. 10-7) that defines the allowable combination of voltage and current, which is called the device's safe operating area (SOA). The product of the voltage and current represents the watts dissipated in the chip. If you exceed the SOA, the chip will get too hot and fail. MOSFET devices are limited by the SOA; bipolar devices have an additional failure



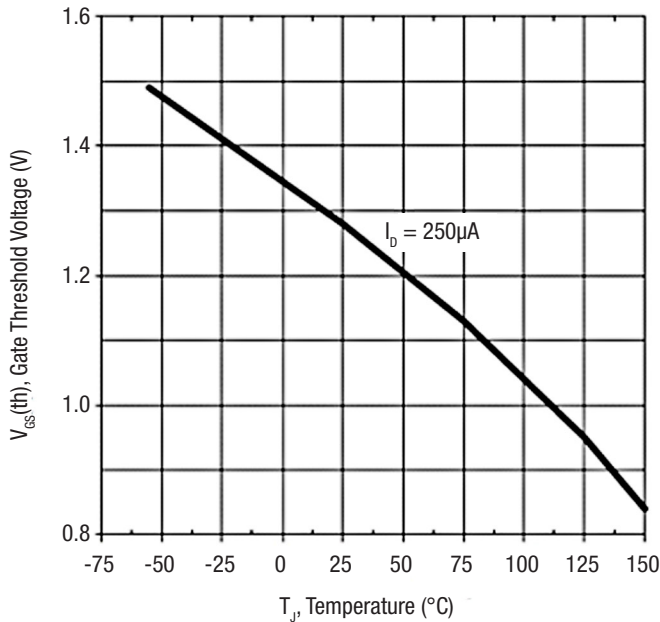
**10-8. Total Gate Charge ( $Q_G$ ) of an N-Channel Power MOSFET Varies With the Drain-Source Voltage**

mechanism called secondary breakdown that significantly reduces the SOA.

**Total Gate charge ( $Q_G$ )** The charge on the gate terminal of the MOSFET as determined by its gate-to-source capacitance. The lower the gate charge, the easier it is to drive the MOSFET. Total gate charge,  $Q_G$ , affects the highest reliable switching frequency of the MOSFET. The lower the gate charge, the higher the frequency. Operation at higher frequencies allows use of lower value, smaller size capacitors and inductors, which can be significant factors in system cost. A low gate charge also makes it easier to drive the MOSFET, however, designers sometimes need to trade-off switching frequency with EMI considerations. Some New trench devices exhibit lower gate charge than some existing planar technologies by replacing larger die with new smaller die devices that have been optimized to offer a lower charge version of the trench devices. Fig. 10-8 shows the gate charge for a typical power MOSFET, which is specified in nC, nano-coulombs.

Although input capacitance values are useful, they do not lend themselves to calculation of the gate current required to switch the device in a given time and they do not provide accurate results when comparing the switching performance of two devices. A more useful parameter from the circuit design point of view is the total gate charge. Most manufacturers include both parameters on their data sheets. Using gate charge,  $Q_G$ , the designer can calculate the amount of current required from the drive circuit to switch the device on in a desired length of





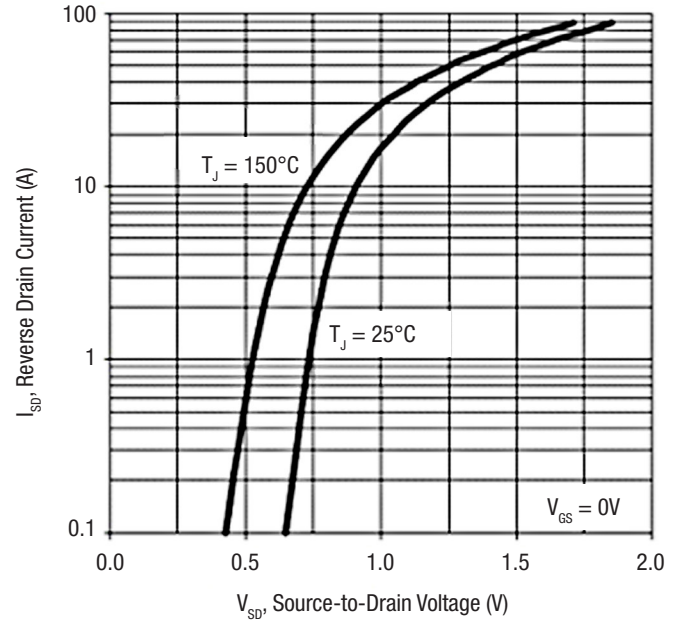
**10-9. The Gate Threshold Voltage of this N-Channel Power MOSFET Is About 1.28 V for  $I_D = 250\mu\text{A}$  at  $25^\circ\text{C}$ .**

time because  $Q_G = \text{current} \times \text{time}$ . For example, a device with a gate charge of 20nC can be turned on in 20msec if a current of 1mA is supplied to the gate, or it can turn on in 20nsec if the gate current is increased to 1A. These simple calculations would not have been possible with input capacitance values.

Gate charge and on-resistance are inter-related. That is, the lower the gate charge, the higher the on-resistance and vice versa. Historically, MOSFET manufacturers have focused on reducing  $R_{DS(on)}$  without paying much attention to gate charge. This has changed in the last several years, with new designs and processes becoming available that offer reduced gate charge devices.

**Figure of Merit (FOM)** relates to the tradeoff between  $R_{DS(on)}$  and gate charge. The product of  $R_{DS(on)} \times Q_G$  is a figure of merit (FOM) that compares different power MOSFETs for use in high frequency applications.

**Threshold Voltage ( $V_{GS(th)}$ )** is the minimum gate-source electrode bias required to form a conducting channel between the source and the drain regions. It is usually measured at a drain-source current of  $250\mu\text{A}$ . A value of 2-4V for high voltage devices with thicker gate oxides, and logic-compatible values of 1-2V for lower voltage devices with thinner gate oxides are common. In battery-based applications where power is a premium, the trend is towards lower values of  $R_{DS(on)}$  and  $V_{gsth}$ . Gate oxide quality and integrity become major issues as gate oxide thickness is reduced to achieve lower  $V_{gsth}$ , the minimum voltage is required between the gate and source that enables the MOSFET to turn on. Logic level



**10-10. Body-Diode Forward Voltage for an N-Channel Power MOSFET.**

MOSFETs have typical values of about 2V to 3V, whereas other devices can have higher values. In Fig. 10-9 is the threshold voltage plotted against junction temperature.

**Power Loss** MOSFETs are expected to have low conduction and switching losses. For power management applications, conduction losses, ruggedness and avalanche capability are important features. Conduction losses are determined by the product of operating current and on-resistance ( $I^2R$ ) of the power MOSFET.

**Maximum Allowable Power Dissipation ( $P_D$ )** is the maximum allowable power dissipation that raises the MOSFET's die temperature to the maximum allowable junction temperature,  $T_{jmax}$ , when the case temperature is held at  $25^\circ\text{C}$ .  $T_{jmax}$  is normally  $150^\circ\text{C}$  or  $175^\circ\text{C}$ .

**Body-Diode Forward Voltage ( $V_{SD}$ )** is the guaranteed maximum forward drop of the body-drain diode at a specified value of source current. The value of  $V_{SD}$  is significant and must be low in applications where the source-drain voltage may extend into the negative range, causing forward biasing the body-drain diode. If this happens, the source-drain current flows from drain straight to the source contacts, across the forward biased body-diode p-n junction.

A second and more dominant current conduction path will exist through the channel if the gate-source voltage,  $V_{GS} > V_{gsth}$ . Low voltage and low  $R_{DS(on)}$  power MOSFETs are used in such synchronous rectifier modes since their forward voltage drop can be as low as 0.1V versus the typical Schottky diode forward voltage drops of 0.4-0.5V. Maximum values of 1.6V for high voltage devices

(>100V) and values of 1.2V for low voltage devices (<100V) are common for VSD. A typical source-drain diode forward voltage is shown in Fig. 10-10.

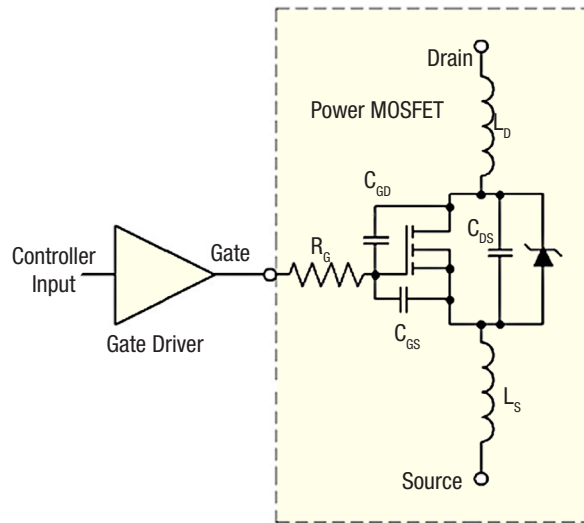
**Thermal Resistance, Junction-to-Case ( $R_{\theta JC}$ )** is the junction-to-case thermal impedance of the MOSFET, a typical surface mount package can have a thermal resistance of 30-50 °C/W, whereas a typical TO-220 device can be 2°C/W or less. Data sheets may also provide a value for  $R_{\theta JA}$  for the junction-to-ambient thermal resistance of the power MOSFET

**Maximum  $dV/dt$**  is the maximum rate of rise of source-drain voltage allowed if the MOSFET's  $dV/dt$ . If this rate is exceeded, the voltage across the gate-source terminals may become higher than the threshold voltage of the device, forcing the device into current conducting mode and under certain conditions a catastrophic failure may occur.

There are two possible mechanisms by which a  $dV/dt$  induced turn-on may take place. One becomes active through the feedback action of the gate-drain capacitance  $C_{GD}$  together with  $C_{GS}$  forming a capacitive divider that can generate a pulse sufficient to exceed the  $V_{th}$  and turn the device on during fast voltage transitions on the drain. When a voltage ramp appears across the drain and source terminals of the device. Usually the driver will sink a current flowing through the gate resistance,  $R_G$ , to clamp the gate low during the off state, if  $R_G$  is too large, it is sometimes possible that the driver is isolated from the gate allowing the device to turn on.  $R_G$  is the total gate resistance in the circuit.

The second mechanism for the  $dV/dt$  turn-on in MOSFETs is through the parasitic BJT. The capacitance associated with the depletion region of the body diode, extending into the drift region is denoted as  $C_{DB}$  and appears between the base of the BJT and the drain of the MOSFET. This capacitance gives rise to a current that flows through the base resistance,  $R_B$ , when a voltage ramp appears across the drain-source terminals.

**Static Electricity (ESD) Effects** is another way to kill semiconductors. The static charge accumulated by a person handling an MOSFET semiconductor is often enough to destroy the part. Therefore, manufacturers of semiconductors have instituted static discharge ratings that range from 3000V to 5000V. Handlers of MOSFET semiconductors use grounding straps and conductive



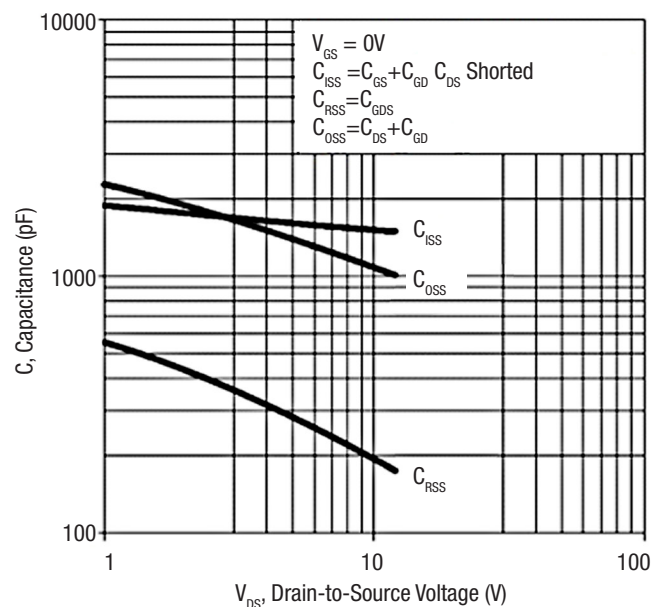
**10-11. Typical N-Channel MOSFET's Parasitic Capacitances Includes  $C_{GD}$  (Gate-to-Drain),  $C_{DS}$  (Drain-to-Source), and  $C_{GS}$  (Gate-to-Source)**

surfaces to prevent static charge problems.

**Switching and Transient Response** is determined by the time required to establish voltage changes across capacitances and current changes in inductances.  $R_G$  is the distributed resistance of the gate and is approximately

inversely proportional to active area. Values of around 20  $\Omega$ -mm<sup>2</sup> are common for the product of  $R_G$  and active area for polysilicon gates. Fig. 10-11 shows the parasitics in the MOSFET input.  $L_S$  and  $L_D$  are source and drain lead inductances and are around a few tens of nH. There are also several parasitic capacitances associated with the power MOSFET. Gate-source capacitance,  $C_{GS}$ , is the capacitance due to the overlap of polysilicon gate with the source and the channel regions and is not a strong function of applied voltage. Fig. 10-12 illustrates the variation of the parasitic capacitances vs. the drain-source voltage.

$C_{RSS}$  is the reverse transfer capacitance, which is the capacitance between the drain and gate with the source connected to ground. This capacitance is equal to the



**10-12. Typical Plot of N-Channel MOSFET's Parasitic Capacitances vs. Drain-to-Source Voltage.**

gate-to-drain capacitance.  $C_{RSS}$ , often referred to as the Miller capacitance, is one of the major parameters affecting rise and fall times of the output voltage during switching. Plus, it also affects turn-off delay time. The capacitances decrease over a range of increasing drain-source voltage, especially the output and reverse transfer capacitances.

Important parameters for MOSFETs are listed in Table 10-1.

### Power MOSFET Fabrication Technologies

The trench MOSFET has replaced the planar device in many applications because it extends the cell density limit. Trench technology allows a higher cell density but is more difficult to manufacture than the planar device. Process refinements have yielded devices with steadily increasing density and lower on-resistance. TrenchFET devices have achieved on-resistance less than 1mΩ for a 25mm<sup>2</sup> silicon die, exclusive of lead resistance.

Trench MOSFETs employ the same schematic config-

uration of the older planar MOSFETs. And, new Trench MOSFETs offer significant advantages over the older generation Trench MOSFETs and also some improvements over the older planar MOSFET technology.

Among the other technologies are MDMesh. STMicroelectronics says that the improvement in  $R_{DS(ON)}$  achieved with MDmesh V will significantly reduce losses in line-voltage PFC circuits and power supplies, which will in turn enable new generations of electronic products offering better energy ratings and smaller dimensions. This new technology should help designers with high efficiency targets and also save power.

MDmesh V achieves its  $R_{DS(ON)}$  per area performance by improving the transistor drain structure to lower the drain-source voltage drop. This reduces the device's on-state losses while also maintaining low gate charge (Qg), enabling energy-efficient switching at high speeds and delivering a low  $R_{DS(ON)} \times Qg$  Figure of Merit (FOM). ST claims that the breakdown voltage of 650V is also higher than competing 600V devices, delivering a valuable

safety margin for designers. A further advantage of ST's MD-mesh V MOSFETs is a cleaner turn-off waveform, enabling easier gate control and simpler filtering due to reduced EMI.

STMicroelectronics' STRip-FET technology uses an optimized layout and updated manufacturing process to improve the gate charge, gate resistance and input capacitance characteristics. The low gate charge enables excellent switching behavior and the low gate resistance means fast transient response. The technology also offers an extremely low figure-of-merit, meaning reduced conduction and switching losses.

Among STMicroelectronics introductions is a series of 30V surface-mount power transistors, achieving on-resistance as low as 2 mΩ (max) to increase the energy efficiency of products such as computers, telecom and networking equipment. The latest-generation STRipFET VI DeepGATE family process has high equiv-

TABLE 10-1. MOSFET PARAMETERS

| Symbol        | Parameter                               | Description   |
|---------------|---|---|
| $V_{(BR)DSS}$ | Breakdown voltage                       | The MOSFET's maximum operating voltage, where the reverse-biased body-drift diode breaks down and current flows between the source and drain.   |
| $V_{GS(TH)}$  | Threshold voltage                       | Minimum gate electrode voltage required to cause the MOSFET to conduct.   |
| $R_{DS(ON)}$  | On-resistance                           | $R_{DS(ON)} = R_{SOURCE} + R_{CH} + R_A + R_J + R_D + R_{SUB} + R_{WCML}$<br>$R_{SOURCE}$ = Source diffusion resistance<br>$R_{CH}$ = Channel resistance<br>$R_A$ = Accumulation resistance<br>$R_J$ = "JFET" component-resistance of the region between the two body regions<br>$R_D$ = Drift region resistance<br>$R_{SUB}$ = Substrate resistance<br>$R_{WCML}$ = Sum of Bond Wire resistance, contact resistance between the source and drain metallization and silicon, metallization and leadframe contributions. |
| $I_{DS(MAX)}$ | Maximum drain current                   | Maximum drain-to-source output current.   |
| $Q_G$         | Total gate charge                       | Gate charge allows calculation of the amount of current required from the drive circuit to switch the device on in a desired length of time.  |
| PD            | The maximum allowable power dissipation | Maximum allowable power dissipation that raises the die temperature to the maximum allowable when the case temperature is held at 25°C.<br>$P_D = \frac{T_{J(MAX)} - 25}{R_{\theta JC}}$ where:<br>$T_{J(MAX)}$ = Maximum allowable temperature of the p-n junction (normally 150°C or 175°C)<br>$R_{\theta JC}$ = Junction-to-case thermal impedance of the MOSFET.  |

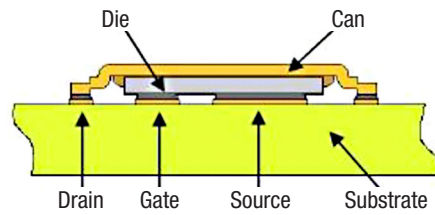
alent cell density and said to be best  $R_{DS(ON)}$  in relation to active chip size. This is around 20 per cent better than the previous generation and allows the use of small surface-mount power packages in switching regulators and DC-to-DC converters, the company said.

Infinion has developed CoolMOS™ technology for high voltage Power MOSFETs that reduces the  $R_{DS(ON)}$  area product by a factor of five for 600V transistors. It has redefined the dependence of  $R_{DS(ON)}$  on the breakdown voltage. The more than square-law dependence in the case of a standard MOSFET has been broken and a linear voltage dependence achieved. It is said that this opens the way to new fields of application even without avalanche operation. System miniaturization, higher switching frequencies, lower circuit parasitics, higher efficiency, reduced system costs are pointing the way towards future developments. It has also set new benchmarks for device capacitances. Due to chip shrink and novel internal structure, the technology shows a very small input capacitance as well as a strongly nonlinear output capacitance. The drastically lower gate charge facilitates and reduces the cost of controllability, and the smaller feedback capacitance reduces dynamic losses. This technology, improves the minimum  $R_{DS(ON)}$  values in the 600 to 1000 V operating range.

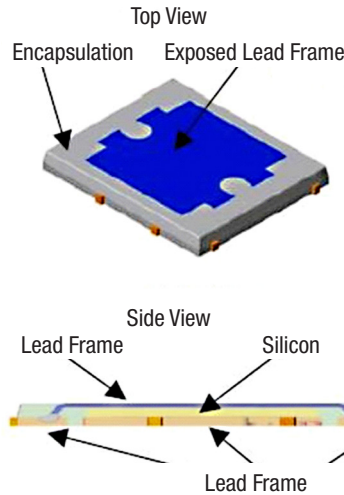
## MOSFET Packages

MOSFETs are available in Small Outline IC (SOIC) packages for applications where space is at a premium. Larger through-hole TO-220, TO-247 and the surface mountable D2PAK or SMD-220 are also available. Newer package styles include chip scale devices and also the DirectFET™ and PolarPak™ packages.

Devices with breakdown voltage ratings of 55V-60V and gate-threshold voltages of 2 to 3V are used mainly in through-hole packages such as TO-220, TO-247 or the surface mounted D<sub>2</sub>PAK (SMD220). These through-hole packages have very low thermal resistance. Despite their higher thermal resistances, more surface-mount SOIC packages are finding their way into applications due to the continuous reduction in on-resistance of power MOSFETs. SOIC packages save space and simplify system assembly. The newest generation of power MOSFETs use



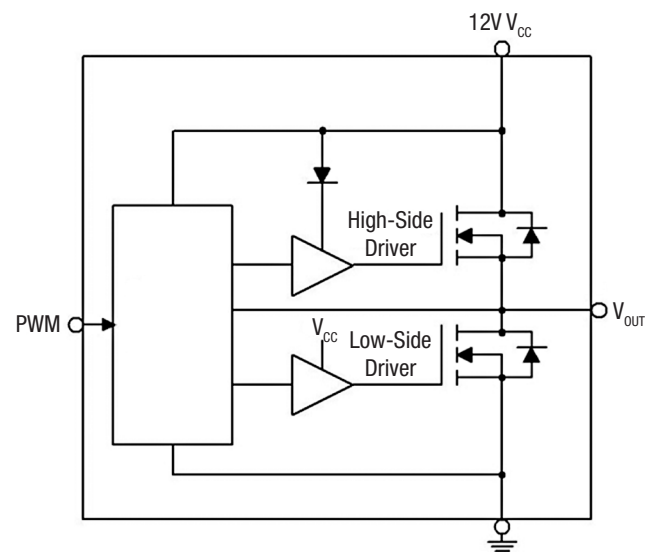
**10-13. DirectFET® is a surface mount semiconductor for board-mounted power applications. It eliminates unnecessary elements of packaging that contribute to higher inductance and resistance, both thermal and electrical, so that its power capabilities exceed those of comparably sized packages.**



**10-14. The PolarPAK™ package increases the power handling capability of power MOSFETs while keeping a PCB landing pattern no bigger in area than that of a standard SO-8 or PowerPAK® SO-8.**

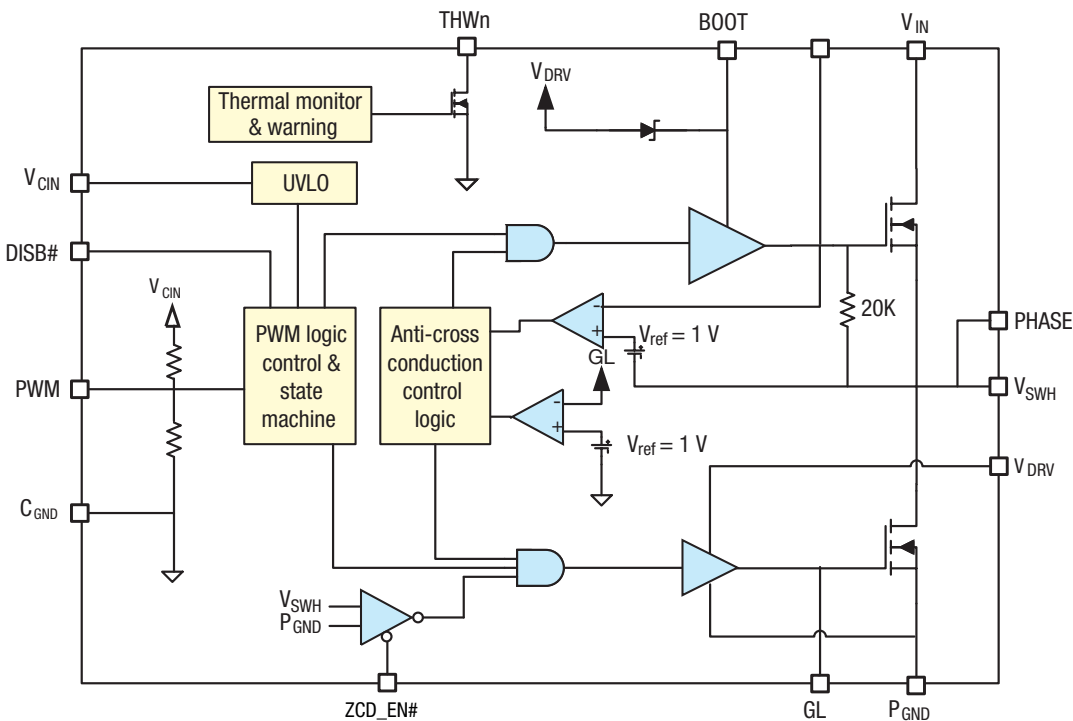
chip scale and ball grid array packages for low voltage power MOSFETs.

The International Rectifier DirectFET power package is surface-mount power MOSFET packaging technology designed for efficient topside cooling in a SO-8 footprint (Fig. 10-13). In combination with improved bottom-side cooling, the new package can be cooled on both sides to cut part count by up to 60%, and board space by as much as 50% compared to devices in standard or enhanced SO-8 packages. This effectively doubles current density (A/in<sup>2</sup>) at a lower total system cost. The DirectFET MOSFET family offerings match 20V and 30V synchronous buck converter MOSFET chipsets, followed by the addition at 30V targeted for high frequency operation. The DirectFET MOSFET family is also available in three different can



**10-15. DrMOS Is a Multi-Chip Module That Contains Two MOSFETs and the Associated Drive Circuits.**





**10-16. Vishay Intertechnology’s SiC632CD integrated DrMOS power stage for multiphase POL regulator applications combines power MOSFETs, advanced MOSFET gate driver IC, and a bootstrap Schottky diode.**

is that the individual MOSFET’s performance characteristics can be optimized, whereas monolithic MOSFETs produce higher on-resistance. Although the component cost of a multi-chip module may be higher than a monolithic part. The designer must view the cost from a system viewpoint.

sizes.

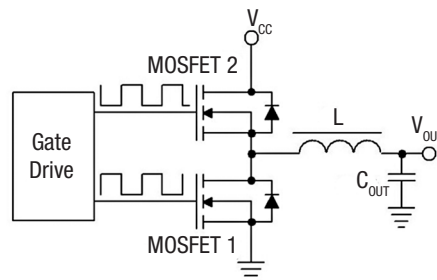
Vishay’s PolarPAK® (Fig. 10-14) is a thermally enhanced package that facilitates MOSFET heat removal from an exposed top metal lead-frame connected to a drain surface in addition to a source lead-frame connected to a PCB. PolarPAK was specifically designed for easy handling and mounting onto the PCB with high-speed assembly equipment and thus to enable high assembly yields in mass-volume production. PolarPAK power MOSFETs have the same footprint dimensions of the standard SO-8, dissipate 1 °C/W from their top surface and 1 °C/W from their bottom surface. This provides a dual heat dissipation path that gives the devices twice the current density of the standard SO-8. With its improved junction-to-ambient thermal impedance, a PolarPAK power MOSFET can either handle more power or operate with a lower junction temperature. A lower junction temperature means a lower  $R_{DS(ON)}$ , which in turn means higher efficiency. A reduction in junction temperature of just 20 °C can also result in a 2.5 times increase in lifetime reliability.

**DrMOS**

Intel’s November 2004 DrMOS specification identified a multi-chip module consisting of a gate driver and power MOSFET. A major advantage of using this module (Fig. 10-15)

That is, space is saved, potential noise problems are minimized, and fewer components reduce production time and cost. Here, the multi-chip approach is superior to use of a monolithic part.

Unlike discrete solutions whose parasitic elements combined with board layout significantly reduce system efficiency, the DrMOS module is designed to both thermally and electrically minimize parasitic effects and improve overall system efficiency. In operation, the high-side MOSFET is optimized for fast switching while the low-side device is optimized for low  $R_{DS(ON)}$ . This arrangement ideally accommodates the low-duty-cycle switching requirements needed to convert the 12V bus to supply the processor core with 1.0V to 1.2V at up to 40A.



**10-17. A Synchronous Rectifier Employs Two N-Channel MOSFETs, Which Provides More Efficient Rectification than Conventional Diodes.**

**SiC632CD**

Vishay Intertechnology’s SiC-632CD integrated DrMOS power stage for multiphase POL regulator applications combines power MOSFETs, advanced MOSFET gate driver IC, and a bootstrap Schottky diode (Fig. 10-16). Housed in thermally enhanced 5 mm by 5 mm PowerPAK MLP55-31L package, it offers a 45 % smaller footprint compared with discrete solutions. The devices are suitable for industrial PC and high-current multiphase modules

used in networking and industrial applications.

This integrated device offers continuous current up to 40 A in the 5 mm by 5 mm PowerPAK MLP55-31L package. Besides its high-current capabilities and footprint savings, the power stage also lowers package parasitics to enable switching frequencies up to 2 MHz — further shrinking the overall solution size and profile by reducing the size of the output filter. Its high- and low-side MOSFETs utilize Gen IV TrenchFET® technology to reduce switching and conduction losses. The integrated power driver IC is compatible with a wide range of PWM controllers and supports tri-state PWM logic of 5 V.

The SiC632CD is optimized for synchronous buck converters, DC/DC voltage regulation modules, and multiphase VRDs for CPUs, GPUs, and memory. To increase light-load efficiency in these applications, the driver IC incorporates diode emulation mode circuitry and zero-current detect. An adaptive dead time control helps to further improve efficiency at all load points. To support PS4 mode light-load requirements for IMVP8, the power stages reduce current consumption to 5  $\mu$ A when systems are operating in standby mode, and they can awake from this state within 5  $\mu$ s. Protection features for the RoHS-compliant, halogen-free devices include undervoltage lockout (UVLO).

### Power MOSFETs for Synchronous Rectifiers

Fig. 10-17 shows a simplified synchronous rectifier circuit. Typical synchronous rectifiers consist of high-side and low-side MOSFETs, which require different characteristics for an optimum design. Generally, the best high side MOSFET is one with the lowest  $Q_{\text{switch}} \times R_{\text{DS(ON)}}$  figure-of-merit.  $Q_{\text{switch}}$  is defined as the post gate threshold portion of the gate-to-source charge plus the gate-to-drain charge ( $Q_{\text{gs2}} + Q_{\text{gd}}$ ). In contrast, the best high side MOSFET must exhibit very low  $R_{\text{DS(ON)}}$  coupled with good  $C_{\text{dv/dt}}$  immunity.

### Power MOSFETs for Automotive Applications

Over the last two decades Power MOSFETs have evolved as a necessary power handling component is virtually all automobiles. To be eligible for use in automotive electronic systems these power MOSFETs must meet the AEC Q101 standard. Some new power MOSFETs are AEC Q101 qualified and will fit in the growing use of electric motors, solenoids and fuel injectors. Power MOSFETs have low on-resistance, 40 V and 100 V maximum operating voltage and the ability to tolerate the high-voltage transients such as load dump that can occur in automotive electrical systems.

An important feature of these new MOSFETs is their Moisture Sensitivity Level, or MSL. This relates to the

packaging and handling precautions for semiconductors and is an electronic standard for the time the device can be exposed to ambient room conditions of approximately 30°C/60%RH. The reason this is important is that thin fine-pitch devices could be damaged during surface mount technology (SMT) reflow when moisture trapped inside the component expands. Trapped moisture can damage a semiconductor. In extreme cases, cracks will extend to the component surface.

According to IPC/JEDEC's J-STD-20: Moisture/Reflow Sensitivity Classification for Plastic Integrated Circuit (IC) SMTs, there are eight levels of moisture sensitivity. Originally MOSFETs was rated at MSL 3, which allowed 168 hours of moisture testing. MSL 1 allows an unlimited time for the moisture test.

Today, there are new applications and power MOSFETs continue to grab them. This includes Electric Power Steering (EPS) and Micro Hybrid Vehicles, and chassis, drive train and power train systems. Besides meeting the AEC Q101 standard, they must meet the cost constraints imposed by automotive manufacturers.

For EPS, an electric motor driven by a power MOSFET provides steering assist to the driver of a vehicle. A typical system employs sensors detect the motion and torque of the steering column, and a computer module controls system performance. Software allows varying amounts of assistance to be applied depending on driving conditions.

Electric systems have a fuel efficiency advantage over conventional hydraulic power steering. The electrical approach eliminates the belt-driven hydraulic pump constantly running, whether assistance is required or not. Another major advantage is the elimination of a belt-driven engine accessory, and several high-pressure hydraulic hoses between the hydraulic pump, mounted on the engine, and the steering gear, mounted on the chassis. This simplifies manufacturing and maintenance.

A micro-hybrid system performs a stop-start function completely transparent to the driver, during idling, like waiting for a traffic light, a starter-alternator turns off the engine. Then, the engine restarts very quickly and silently when the drive steps on the accelerator. This technique cuts fuel consumption and gas emissions at standstill. Tests have shown that this can cut fuel consumption about 6%.

Power MOSFETs have played a major role make automotive systems more reliable. Among the traditional mechanical components that have been eliminated are shafts, pumps, hoses, fluids, coolers, etc., which reduces the weight of the vehicle and improves fuel efficiency. Safety improvement is another feature of electronic controls that provide more automated functions that cannot

be achieved by mechanical techniques. Compared with mechanical systems, the electronics trend also allows easier modification or upgrade of automotive systems.

MSL-1 preconditioning is required for surface mount capable devices that are put on Temperature Cycling, H3TRB, IOL, and Autoclave tests. If straight leaded devices (such as I-PAK or TO-262) are used, then the devices are required to undergo the preconditioning with a third reflow exposure in lieu of the surface mounting step

### MOSFETs and BJT Comparison

Power MOSFETs are capable of operating at very high frequencies compared with Bipolar Junction Transistors (BJTs) whose switching speed is much slower than for a power MOSFET of similar size and voltage rating. Typical rise and fall times of power MOSFETs are of the order of several nanoseconds which is two orders of magnitude faster than bipolar devices of similar voltage rating and active area. BJTs are limited to frequencies of less than 100kHz whereas power MOSFETs can operate up to 1MHz before switching losses become unacceptably high. Recent advances in the design and processing of MOSFETs are pushing this frequency limit higher.

Power MOSFETs are voltage controlled devices with simple drive circuitry requirements. Power BJTs on the other hand are current controlled devices requiring large base drive currents to keep the device in the ON state. Power MOSFETs have been replacing power BJTs in power application due to faster switching capability and ease of drive, despite the very advanced state of manufacturability and lower costs of BJTs.

BJTs suffer from thermal runaway. The forward voltage drop of a BJT decreases with increasing temperature potentially leading to destruction. This is of special significance when several devices are paralleled in order to reduce forward voltage drop. Power MOSFETs can be paralleled easily because the forward voltage increases with temperature, ensuring an even distribution of current among all components. They can withstand simultaneous application of high current and high voltage without undergoing destructive failure due to second breakdown. However, at high breakdown voltages ( $> \sim 200V$ ) the on-state voltage drop of the power MOSFET becomes higher than that of a similar size bipolar device with similar voltage rating, making it more attractive to use the bipolar power transistor at the expense of worse high-frequency performance.

Breakdown voltage ( $BV_{DSS}$ ) is the drain-to-source voltage at which a current of 250 $\mu A$  starts to flow between source and drain while the gate and the source are shorted together. With no bias on the gate, the drain voltage is entirely supported by the reverse-biased body-drain p-n

junction. Breakdown voltage is primarily determined by the resistivity of the epitaxial layer.

All applications of power MOSFET switches require some guardbanding when specifying BVDSS rating. It is important to remember that there is a price to be paid for this in the form of either higher  $R_{DS(on)}$  or larger die. There may be applications where a reduction of conservative guardbanding on BVDSS can be justified by an improved  $R_{DS(on)}$  specification or lower cost without jeopardizing performance or reliability.

Bipolar transistors have ratings for maximum current under continuous and pulsed conditions. Exceeding these ratings usually result in device failure. Current ratings on MOSFET transistors have a different meaning because they behave as a resistor when they turn on. This means that the maximum voltage drop or heat generated determines the maximum current. Turning the current on and off at high speeds reduces the average power or heat generated, thereby increasing the maximum allowable current.

### Power semiconductor Reliability

Excessive operating voltage can cause power semiconductor failures because the devices may have small spacing between their internal elements. An even worse condition for a power semiconductor is to have high voltage and high current present simultaneously. A few nanoseconds at an excessive voltage or excessive current can cause a failure. Most power semiconductor data sheets specify the maximum voltage that can be applied under all conditions. The military has shown very clearly that operating semiconductors at 20% below their voltage rating provides a substantial improvement in their reliability.

Another common killer of power semiconductors is heat. Not only does high temperature destroy devices, but even operation at elevated, non-destructive temperatures can degrade useful life. Data sheets specify a maximum junction temperature, which is typically between 100°C and 200°C for silicon. Most power transistors have a maximum junction rating of 125°C to 150°C, the safe operating temperature is much lower.

### Transient Effects

Power semiconductors can be destroyed by very short pulses of energy. A major source of destructive transients is caused by turning on or off an inductive load. Protection against these problems involves a careful combination of operating voltage and current margins and protective devices.

### Power $dv/dt$ and $di/dt$

The terms  $dv/dt$  and  $di/dt$  reflect a time rate of change of voltage ( $dv/dt$ ) or current ( $di/dt$ ) describe their reaction to turning on or off a reactive load. These problems can occur in power semiconductor switches because all sections of the device do not behave in an identical manner when subjected to very high rates of change. It is not only important to look at the  $dv/dt$  and  $di/dt$  values generated within a circuit, but also turn on and turn off times as well.

## EMI

Switching power on and off at a rapid rate can cause electromagnetic interference (EMI) that can affect nearby electronic systems. Domestic and international standards define the amount of EMI that can be emitted.

### Unclamped Inductive Switching (UIS)

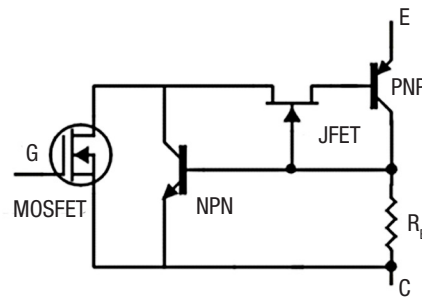
Whenever current through an inductance is turned off quickly, the resulting magnetic field induces a counter electromagnetic force (CEMF) that can build up surprisingly high potentials across the switch. With transistor switches, the full buildup of this induced potential may far exceed the rated voltage breakdown of the transistor, resulting in catastrophic failure.

There are two failure modes when subjecting a MOSFET to UIS. These failure mechanisms are considered as either active or passive. The active mode results when the avalanche current forces the MOSFET's parasitic bipolar transistor into conduction. In the passive mode the instantaneous device temperature reaches a critical value. At this elevated temperature the MOSFET's parasitic bipolar transistor causes catastrophic thermal runaway. In both cases the MOSFET is destroyed.

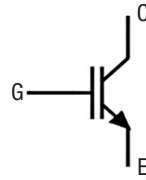
## Cost Considerations

As a semiconductor chip gets larger its cost grows exponentially. And, there is the cost of the package that houses the integrated power device and the cost of interconnections. In deciding whether to integrate a power semiconductor into an integrated circuit or use two separate devices, look at the die size of each. If an integrated power semiconductor and a discrete power semiconductor have large die, the die cost dominates the overall cost, it would be cheaper to use two parts.

Integrated power semiconductors make sense when the die sizes are moderate, or there are multiple outputs. This is so because the package and handling costs offset the increased silicon cost. A major impact on cost is the number of good devices that can be obtained from



10-18 Simplified IGBT equivalent circuit



10-19. IGBT circuit symbol

silicon wafer, usually called yield. Not only does a larger die size mean a disproportionately larger cost, but key parameters may not be the same for all functions of each device on the die.

## IGBT

An insulated-gate bipolar transistor (IGBT) is a three-terminal power semiconductor device primarily used as an electronic switch that combines high efficiency and relatively fast switching. The IGBT provides the simple gate-drive characteristics of MOSFETs with the high-current and low-saturation-voltage capability of bipolar transistors. It combines an isolated-gate FET for the control input and a bipolar power transistor as a switch in a single device.

The IGBT is used in medium- to high-power applications like switch-mode power supplies, traction motor control and induction heating. Large IGBT modules typically consist of many devices in parallel and can have very high current-handling capabilities in the order of hundreds of amperes with blocking voltages of 6000 V. These IGBTs can control loads of hundreds of kilowatts. It is equally suitable in resonant-mode converter circuits.

**The main advantages of IGBT over a Power MOSFET and a BJT are:**

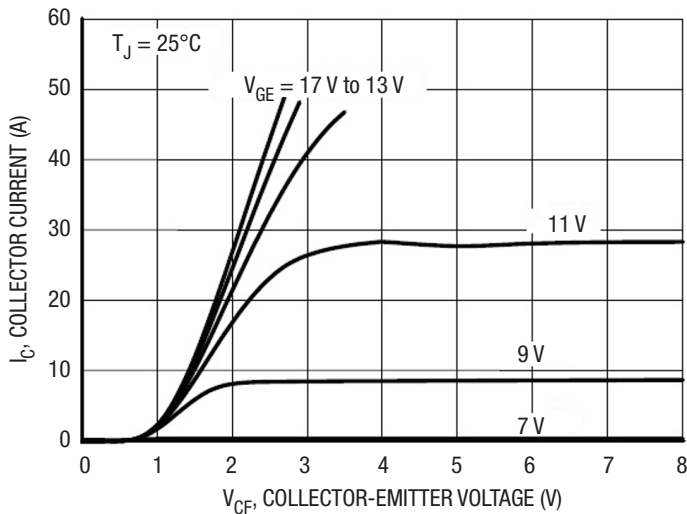
1. Low on-state voltage drop due to conductivity modulation and on-state current density. So smaller chip size is possible, reducing cost.
2. Low driving power and a simple drive circuit due to the input MOS gate structure, which allows relatively easy control compared with current controlled devices in high voltage and high current applications.
3. Compared with a bipolar transistor, it has better current conduction capability as well as forward and reverse blocking capabilities.

**Main disadvantages are:**

1. Slower switching speed compared with a power MOSFET, but better than a BJT.
2. Possibility of latchup due to the internal PNPN thyristor structure.

A simple equivalent circuit model of an IGBT is shown in Fig. 10-18. It contains MOSFET, JFET, NPN and PNP transistors. The collector of the PNP is connected to the base of the NPN and the collector of the NPN is connected to the base of the PNP through the JFET. The NPN and PNP transistors represent the parasitic thyristor that





**10-20. Output characteristics of the 15A, 600V NGTB15N60EG at 25°C**

constitutes a regenerative feedback loop. The resistor  $R_B$  represents the shorting of the base-emitter of the NPN transistor to ensure that the thyristor does not latch up, which will lead to the IGBT latchup. The JFET represents the constriction of current between any two neighboring IGBT cells. It supports most of the voltage and allows the MOSFET to be a low voltage type and consequently have a low  $R_{DS(ON)}$  value. A circuit symbol for the IGBT is shown in Fig. 10-19. It has three terminals called Collector (C), Gate (G) and Emitter (E).

In general, high voltage, high current and low switching frequencies favor the IGBT while low voltage, low current and high switching frequencies are the domain of the MOSFET.

There two types of IGBTs: Non-punch-through (NPT) and punch-through (PT). The PT type has an extra buffer layer that performs two functions:

- Avoids failure by punch-through action because the depletion region expansion at applied high voltage is restricted by this layer.
- Reduces the tail current during turn-off and shortens the fall time of the IGBT.

NPT IGBTs have equal forward and reverse breakdown voltage, so they are suitable for ac applications. PT IGBTs have less reverse breakdown voltage than the forward breakdown voltage, so they are applicable for dc circuits where devices are not required to support voltage in the reverse direction.

The IGBT has a much lower “on-state” resistance,  $R_{ON}$  than an equivalent MOSFET. This means that the  $I^2R$  drop across the bipolar output structure for a given switching current is much lower. The forward blocking operation of the IGBT transistor is identical to a power MOSFET.

When used as static controlled switch, the IGBT has

voltage and current ratings similar to that of the bipolar transistor. However, the presence of an isolated gate in an IGBT makes it a lot simpler to drive than the BJT as it requires much less drive power.

An IGBT is turned “ON” or “OFF” by activating and deactivating its Gate terminal. Applying a positive input voltage signal across the Gate and the Emitter will keep the device in its “ON” state, while making the input gate signal zero or slightly negative will cause it to turn “OFF” in much the same way as a bipolar transistor or MOSFET. Another advantage of the IGBT is that it has a much lower on-state channel resistance than a standard MOSFET.

The IGBT is a voltage-controlled device, so it only requires a small voltage on the gate to maintain conduction through the device unlike BJT’s that require that the Base current is continuously supplied in a sufficient enough quantity to maintain saturation.

Also, it is a unidirectional device, meaning it can only switch current in the “forward direction”, that is from collector to emitter unlike MOSFET’s that have bi-directional current switching capabilities (controlled in the forward direction and uncontrolled in the reverse direction).

The principal of operation and gate drive circuits for the IGBT are similar to that of the N-channel power MOSFET. The basic difference is that the resistance offered by the main conducting channel when current flows through the device in its “ON” state is very much smaller in the IGBT. Because of this, the current ratings are much higher than an equivalent power MOSFET.

The main advantages of using the IGBT over other types of transistors are its high voltage capability, low ON-resistance, ease of drive, relatively fast switching speeds and combined with zero gate drive current makes it a good choice for moderate speed, high voltage applications such as in pulse-width modulated (PWM), variable speed control, switch-mode power supplies or solar powered DC-AC inverter and frequency converter applications operating in the hundreds of kilohertz range.

One of the main advantages of the IGBT transistor is the simplicity by which it can be driven “ON” by applying a positive gate voltage, or switched “OFF” by making the gate signal zero or slightly negative allowing it to be used in a variety of switching applications.

With its lower on-state resistance and conduction losses as well as its ability to switch high voltages at high frequencies without damage makes the IGBT ideal for driving inductive loads such as coil windings, electromagnets and dc motors.

ON Semiconductor’s NGTB15N60EG IGBT features a robust and cost effective Non-Punch Through (NPT) Trench construction. It is intended for switching applications and offers both low on state voltage and minimal

switching loss. Therefore the IGBT is well suited for motor drive control and other hard switching applications. Incorporated into the device is a rugged co-packaged reverse recovery diode with a low forward voltage. Figure 10-20 is this IGBT's output characteristics at 25°C.

**Features**

- Low Saturation Voltage Resulting in Low Conduction Loss
- Low Switching Loss in Higher Frequency Applications
- Soft Fast Reverse Recovery Diode
- 10\_μs Short Circuit Capability
- Excellent Current versus Package Size Performance Density
- This is a Pb-Free Device

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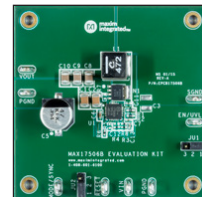
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CHAPTER 11:

# WIDE BANDGAP

# SEMICONDUCTORS

**W**ide bandgap (WBG) semiconductor materials allow smaller, faster, more reliable power electronic components and with higher efficiency than their silicon-based counterparts. These capabilities make it possible to reduce weight, volume, and life-cycle costs in a wide range of power applications. Harnessing these capabilities can lead to dramatic energy savings in industrial processing and consumer appliances, accelerate widespread use of electric vehicles and fuel cells, and help integrate renewable energy onto the electric grid.

WBG semiconductors permit devices to operate at much higher temperatures, voltages, and frequencies—making the power electronic modules using these materials significantly more powerful and energy-efficient than those made from conventional semiconductor materials. Figure 11-1 compares the breakdown voltages of silicon and WBG semiconductors SiC and GaN.

WBG semiconductors are expected to pave the way for exciting innovations in power electronics, solid-state lighting, and other diverse applications across multiple industrial and clean energy devices with vastly superior performance compared to current technology. **Projected WBG benefits are:**

- Elimination of up to 90% of the power losses that currently occur during ac-to-dc and dc-to-ac power conversion.
- Operation up to 10 times higher than Si-based devices, which will enhance high-power performance.
- Operation up to higher maximum temperature of Si-based devices, which will provide better overall system reliability.
- Enabling of smaller and lighter systems with reduced lifecycle energy use, along with opportunities for new applications.
- Operation at higher frequencies than Si-based devices,

making possible more compact, less costly product designs.

As manufacturing capabilities improve and market applications expand, costs are expected to decrease, making WBG-based devices competitive with less expensive Si-based devices. Several manufacturing challenges must be addressed to make WBG materials more cost effective, including:

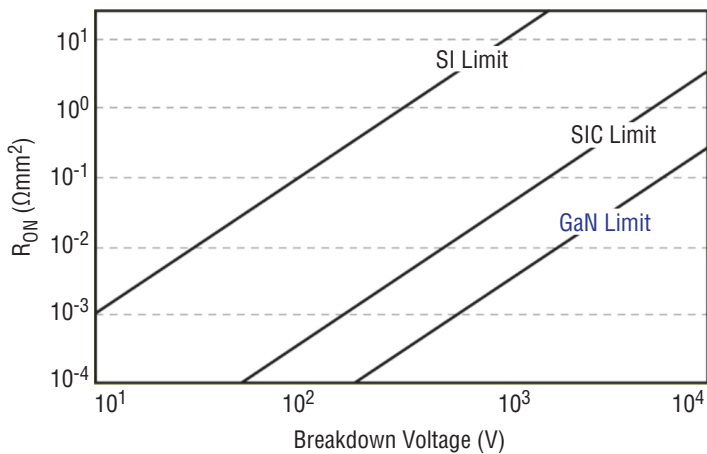
- Cost of producing larger-diameter wafers needs to be reduced.
- Novel device designs that effectively exploit the properties of WBG materials are needed to achieve the voltage and current ratings required in certain applications.
- Alternative packaging materials or designs are also needed to withstand the high temperatures in WBG devices.
- Existing systems may have to be redesigned to integrate the WBG devices in ways that deliver their unique capabilities.

TABLE 11-1. WBG MATERIAL COMPARISON

| Material         | Chemical Symbol | Bandgap Energy (eV) |
|------------------|-----------------|---------------------|
| Germanium        | Ge              | 0.7                 |
| Silicon          | Si              | 1.1                 |
| Gallium Arsenide | GaAs            | 1.4                 |
| Silicon Carbide  | SiC             | 3.3                 |
| Gallium Nitride  | GaN             | 3.4                 |
| Diamond          | C               | 5.5                 |

### SiC

Using SiC (silicon carbide) can reduce on-resistance to two orders of magnitude in compared with existing Si devices. Use of SiC devices can reduce power loss extensively, when applied to power conversion systems. These SiC devices such as power MOSFET or IGBT



Courtesy of Efficient Power Conversion

### 11-1. Comparison of breakdown voltage for Si, SiC, and GaN.

are used in combination with rectifier devices such as Schottky barrier diode (SBD). SiC-SBD has been introduced. Within the last few years, SiC power MOSFETs have been manufactured after being able to produce usable SiC material.

#### GaN

Silicon power MOSFETs have not been able to keep pace with evolutionary changes in the power electronics systems industry. The power electronics industry reached the theoretical limit of silicon MOSFETs and now must go to another semiconductor material whose performance matches today's newer systems. The new material is gallium nitride (GaN), a high electron mobility (HEMT) semiconductor, which is poised to usher in new power devices that are superior to the present state of the art. Although GaN is young in its life cycle, it will certainly see significant improvements in the years to come.

Gallium nitride (GaN) is grown on top of a silicon substrate. The end result is a fundamentally simple, elegant, cost-effective solution for power switching. This device behaves similarly to Silicon MOSFETs with some exceptions.

GaN transistors behave in a similar manner to silicon power MOSFETs. A positive bias on the gate relative to the source causes the device to turn on. When the bias is removed from the gate, the electrons under it are dispersed into the GaN, recreating the depletion region, and once it the capability to block voltage. Among GaN's features:

1. GaN offers superior performance compared with both silicon and silicon carbide.
2. Device-grade gallium nitride can be grown on top of silicon wafers.

3. GaN-on-silicon offers the advantage of self-isolation and therefore efficient monolithic power integrated circuits can be fabricated economically.

4. Enhancement-mode (normally off) and depletion mode (normally on) GaN devices are available.

#### SiC Power MOSFET

Cree is the first to come up with a viable MOSFET. The ability to make these parts rests on the gate structure, which requires a physics and chemistry solution. The company still has some "tweaking" to do with the process, but it appears to be well ahead of the other companies that have ventured into this technology.

The commercial production of 1200 V SiC power MOSFETs is now feasible because of recent advances in substrate quality, improvements in epitaxy, optimized device design, advances made in increasing channel mobility with nitridation annealing, and optimization of device fabrication processes. SiC is a better power semiconductor than silicon (Si) because SiC has a much higher electric field breakdown capability (almost 10x), higher thermal conductivity, and higher temperature operation capability (wide electronic band gap).

SiC excels over Si as a semiconductor material in 600V and higher-rated breakdown voltage devices. SiC Schottky diodes at 600V and 1200V ratings are commercially available today and are already accepted as the best solution for efficiency improvement in boost-converter topologies as well as in solar inverters by substituting them for the previously used Si PiN free-wheeling diodes that have significant switching losses

The SiC MOSFET being discussed here is a 1200V, 20A device from Cree that has a 100mΩ  $R_{DS(on)}$  at a +15V gate-source voltage. Besides the inherent reduction in on-resistance, SiC also offers a substantially reduced on-resistance variation over operating temperature. From 25°C to 150°C, SiC variations are in the range of 20% versus 200% to 300% for Si. The SiC MOSFET die is capable of operation at junction temperatures greater than 200°C, but for this particular example it is limited by its TO-247 plastic package to 150°C.

The technology also benefits from inherently low gate charge, which allows designers to use high switching frequencies and thereby specify smaller passive components such as inductors and capacitors.

#### GaN Power Transistors

Performance of silicon-based MOSFETs is reaching its upper performance limit. One company developing a higher performance alternative is Efficient Power Conversion (EPC). EPC produces gallium nitride (GaN) on silicon wafers using standard MOS processing equip-



ment. EPC produces gallium nitride on silicon wafers using standard MOS processing equipment. GaN's exceptionally high electron mobility and low temperature coefficient allows very low  $R_{DS(ON)}$ , while its lateral device structure and majority carrier diode provide exceptionally low  $Q_G$  (total gate charge) and zero  $Q_{RR}$  (source-drain recovery charge). As a result, GaN devices can handle tasks benefitted by very high switching speeds.

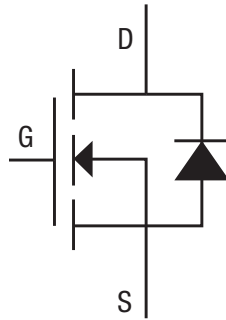
Initially, GaN-on-silicon transistors were depletion mode types. That is, they operated like a normally on power switch that required a negative voltage to turn them off. The ideal mode for designers is an enhancement mode transistor that is normally non-conducting and requires a positive voltage to turn it on, like the present silicon-only N-channel MOSFETs. EPC produces an enhancement mode GaN transistor using a proprietary process with a GaN-on-silicon structure. In operation, a positive gate voltage turns the enhancement mode GaN transistor on.

An advantage of the GaN transistor is that its blocking voltage rating depends on the distance between the drain and gate; the longer the distance, the higher the voltage rating. Another GaN advantage is its very low on-resistance.

GaN transistors borrowed the same nomenclature as their silicon brethren: gate, drain, and source, as shown Fig. 11-2. In addition, on-resistance and breakdown voltage of a GaN device have a similar meaning as their silicon counterparts. On-resistance ( $R_{DS(ON)}$ ) versus gate-source voltage curves are similar to silicon MOSFETs. The temperature coefficient of GaN FETs on-resistance is similar to the silicon MOSFET as it is positive, but the magnitude is somewhat less.

GaN has a higher critical electric field strength than silicon. Its higher electron mobility enables a GaN device to have a smaller size for a given on-resistance and breakdown voltage than a silicon semiconductor. Compared to silicon devices, this also allows devices to be physically smaller and their electrical terminals closer together for a given breakdown voltage requirement.

The two types are the depletion mode and enhancement mode. The depletion mode transistor is normally on and is turned off with a negative voltage relative to the drain and source electrodes. In contrast, the enhancement mode transistor is normally off and is turned on by positive voltage applied to the gate. Depletion mode transistors are inconvenient because at start-up of a power converter, a negative bias must first be applied to



### 11-2. Enhancement mode GaN has a circuit schematic similar to silicon MOSFETs with gate (G), drain (D), and source (S).

the power devices or a short circuit will result. Enhancement mode devices do not have this problem: with zero bias on the gate, an enhancement mode device is off and will not conduct current.

The threshold of enhancement mode GaN FETs is lower than that of silicon MOSFETs. This is made possible by the almost flat relationship between threshold and temperature along with the very low gate-to-drain capacitance ( $C_{GD}$ ). The device starts to conduct significant current at 1.6V, so care must be taken to ensure a low impedance path from gate-to-source when the device needs to be held off during high speed switching in a rectifier function.

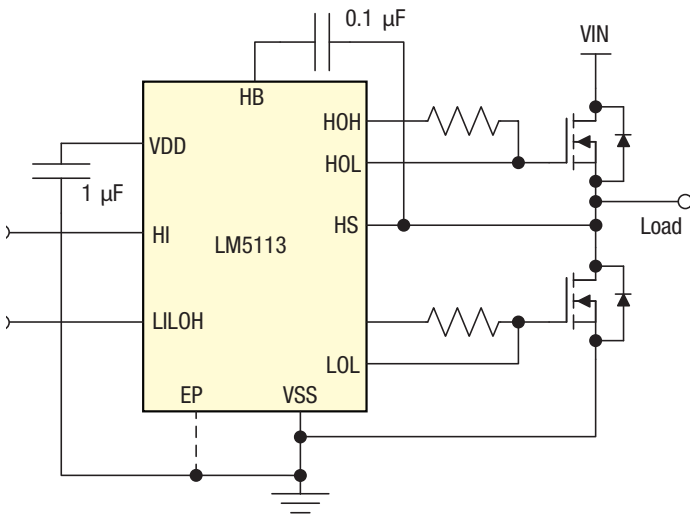
The threshold of depletion mode GaN HEMTs ranges from -5 V to -20 V.

Besides its low  $R_{DS(ON)}$ , the lateral structure of the enhanced GaN FET also makes it a very low-capacitance device. It can switch hundreds of volts in nanoseconds, giving it multi-megahertz capability. With a lateral structure,  $C_{GD}$  comes only from a small corner of the gate and is much lower than the same capacitance in a vertical MOSFET.

Gate-to-source capacitance ( $C_{GS}$ ) consists of the junction from the gate in channel, and the capacitance of the dielectric between the gate and the field plate.  $C_{GS}$  is large compared with  $C_{GD}$ , giving GaN FETs good  $dv/dt$  immunity, but still small compared with silicon MOSFETs. The drain-to-source capacitance ( $C_{DS}$ ) is also small, being limited to the capacitance across the dielectric from the field plate to the drain. Capacitance versus voltage curves for GaN FETs are similar to those for silicon, except that for a similar resistance, its capacitance is significantly lower.

The GaN transistor structure is a purely lateral device, without the parasitic bipolar junction common to silicon MOSFETs. Therefore, the enhancement GaN reverse bias or "diode" operation has a different mechanism, but a similar function. With zero bias gate-to-source there is an absence of electrons under the gate region. As the drain voltage decreases, a positive bias on the gate is created relative to the drift region, injecting electrons under the gate. Thus, there are no minority carriers involved in conduction, and therefore no reverse recovery losses.

Although  $Q_{RR}$  is zero, output capacitance ( $C_{OSS}$ ) has to be charged and discharged with every switching cycle. For devices of similar  $R_{DS(ON)}$ , enhancement GaN FETs have significantly lower  $C_{OSS}$  than silicon MOSFETs. It takes a bias on the gate greater than the



**11-3. EPC GaN transistors employ the Texas Instruments’ LM5113 half-bridge gate driver IC.**

threshold voltage to turn on the enhancement FET in the reverse direction; the forward voltage of the “diode” is higher than silicon transistors.

In the cascode configuration for depletion mode devices, the low-voltage silicon MOSFET has very low  $Q_{RR}$  due to its body diode, which is orders of magnitude lower than a high-voltage silicon device with similar ratings to the high-voltage HEMT.

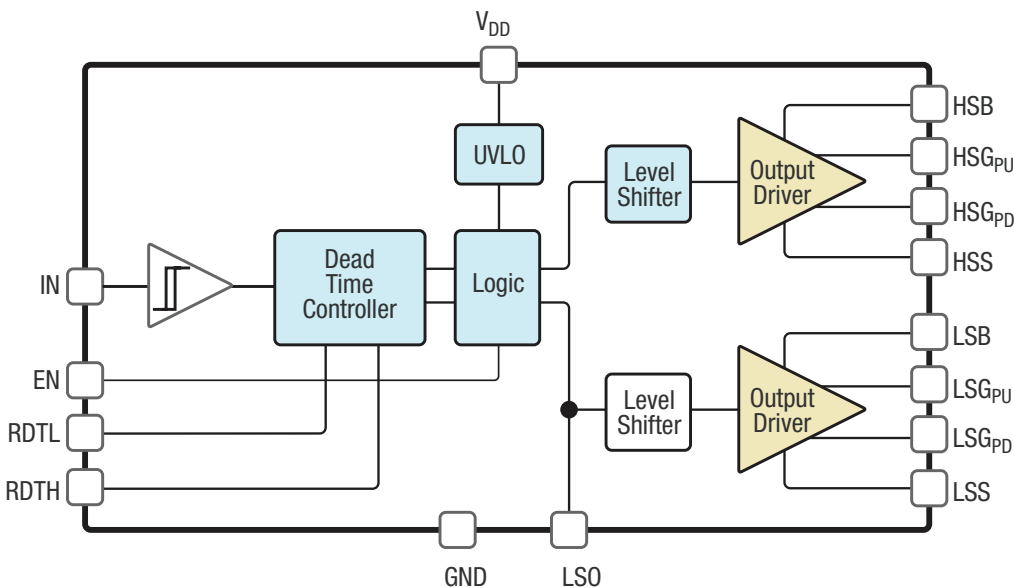
The three most important GaN FET parameters are:

- Maximum allowable gate voltage
- Gate threshold voltage
- Body diode voltage drop

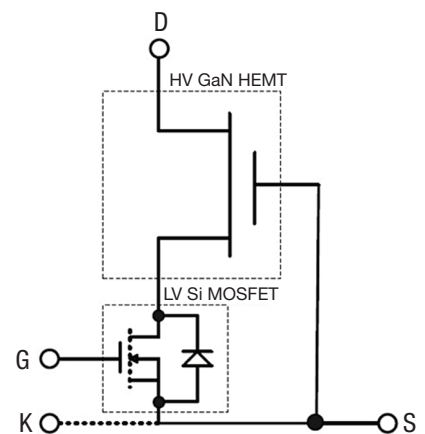
The maximum allowable gate-source voltage for an enhanced GaN FET of 6V is low compared with traditional silicon. The gate voltage is also low compared to most power MOSFETs, but does not suffer from as strong a negative temperature coefficient. And, the body diode forward drop can be a volt higher than comparable silicon MOSFETs.

Because the total Miller charge ( $Q_{GD}$ ) is much lower for an eGaN FET than for a similar on-resistance power MOSFET, it is possible to turn on the device much faster. Too high a  $dv/dt$  can reduce efficiency by creating shoot-through during the “hard” switching transition. It would therefore be an advantage to adjust the gate drive pull-up resistance to minimize transition time without inducing other unwanted loss mechanisms. This also allows adjustment of the switch node voltage overshoot and ringing for improved EMI. For eGaN FETs, where the threshold voltage is low, the simplest general solution is to split the gate pull-up and pull-down connections in the driver and allow the insertion of a discrete resistor as needed.

The LM5113, from Texas Instruments, is an example of an eGaN FET optimized half-bridge driver that implements bootstrap regulation (Fig. 11-3). Integrated in the undervoltage lockout is an overvoltage clamp that limits bootstrap voltage to 5.2 V ensuring sufficient reliable operation under all circuit conditions. In addition to the clamp, there are separate source and sink pins, >50 V/ns  $dv/dt$  capability, matched propagation time, 0.5 Ω pull down, and separate high-side and low-side inputs to unlock the efficiencies the eGaN FETs enable.



**11-4. PE29100 functional diagram.**

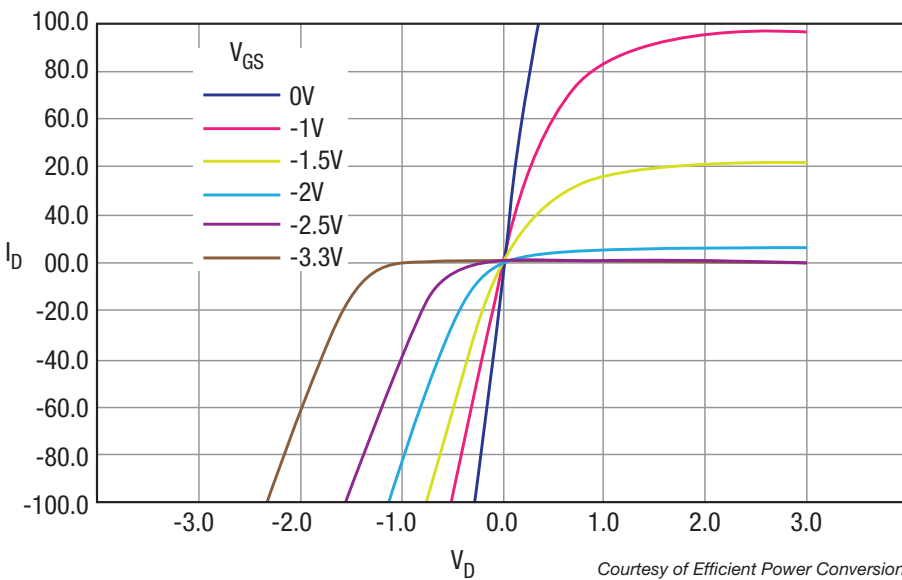


**11-5. Transphorm employs a cascode circuit to drive the GaN device. Drain, gate, and source are similar to a silicon MOSFET’s D, G, and S, and K is the Kelvin contact for the gate return.**

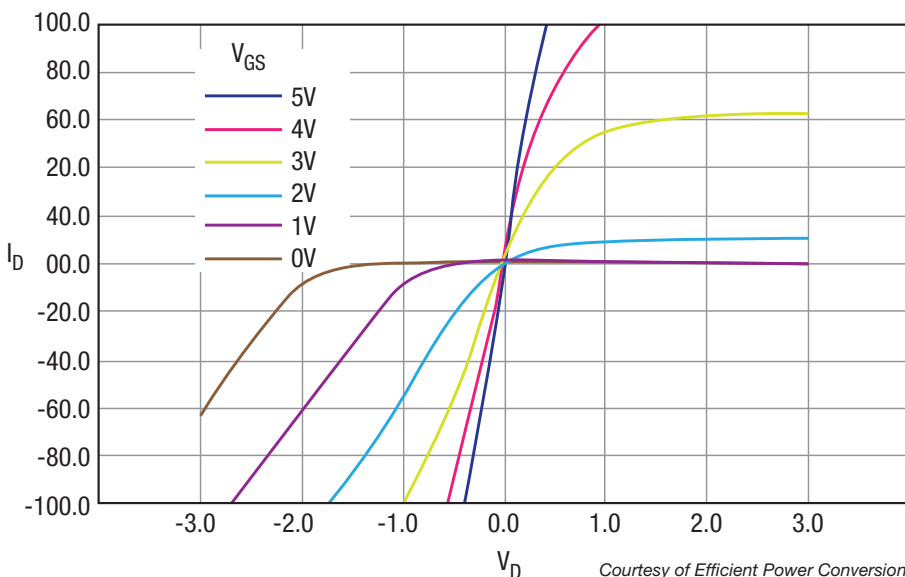
## PE29100

The PE29100 from Peregrine Semiconductor (Fig. 11-4) is an integrated high-speed driver intended to control the gates of external power devices, such as enhancement mode gallium nitride (e.g. eGaN<sup>®</sup>) transistors. The outputs of the PE29100 are capable of providing switching transition speeds in the sub-nanosecond range for hard switching applications up to 33 MHz. The PE29100 is available in a flip chip package.

The PE29100 is manufactured on Peregrine's UltraC-MOS process, a patented variation of silicon-on-insulator (SOI) technology on a sapphire substrate, offering the performance of GaAs with the economy and integration of conventional CMOS.



11-6. Output characteristics of a depletion mode GaN transistor.



11-7. Output characteristics of an enhancement mode GaN transistor.

To allow normally off operation of a depletion mode GaN HEMT, it is often packaged in cascode with a low-voltage silicon MOSFET to allow normally off operation. The cascode configuration provides the ruggedness of a silicon gate, coupled with the improved voltage blocking characteristics of a high-voltage GaN HEMT. Figure 11-5 shows the cascode configuration with a depletion mode HEMT employed by Transphorm. There are no special requirements for the gate driver since the gate is connected to a standard silicon gate rated at  $\pm 20$  V with threshold around 2 V.

The layout is most critical regardless if the device is e-mode, d-mode, or cascode configuration. All of these devices switch extremely fast and therefore the parasitic inductance of the layout must be as small as possible; in the range of 0.4 nH to 2.0 nH is desirable.

There are two types of GaN transistors, enhancement mode and depletion mode. Enhancement mode is normally off and is turned on by a positive pulse. Depletion mode is normally on and is turned off by a negative pulse. Output characteristics of a depletion mode GaN transistor are in Fig. 11-6. Figure 11-7 shows the output characteristics of an enhancement mode GaN transistor.

## LMG5200

Figure 11-8 shows the LMG5200 from Texas Instruments, a half-bridge, GaN power stage with a highly integrated high-side and low-side gate drivers that includes built-in UVLO protection circuitry and an overvoltage clamp circuitry. The clamp circuitry limits the bootstrap refresh operation to ensure that the high-side gate driver overdrive does not exceed 5.4 V. The device integrates two 19-m $\Omega$  GaN FETs in a half-bridge configuration. The device can be used in many isolated and non-isolated topologies, allowing very simple integration. The package is designed to minimize the loop inductance while keeping the PCB design simple. The drive strengths for turn-on and turn-off are optimized to ensure high-voltage slew rates without causing any excessive ringing on the gate or power loop.

Propagation delays between the high-side gate driver and low-side gate

driver are matched to allow very tight control of dead time. Controlling the dead time is critical in GaN-based applications to maintain high efficiency. HI and LI can be independently controlled to minimize the third quadrant conduction of the low-side FET for hard switched buck converters. A very small propagation mismatch between the HI and LI to the drivers for both the falling and rising thresholds ensures dead times of <10 ns. Co-packaging the GaN FET half-bridge with the driver ensures minimized common source inductance.

This minimized inductance has a significant performance impact on hard-switched topologies.

The built-in bootstrap circuit with clamp prevents the high-side gate drive from exceeding the GaN FETs maximum gate-to-source voltage ( $V_{GS}$ ) without any additional external circuitry. The built-in driver has an undervoltage lockout (UVLO) on the VDD and bootstrap (HB-HS) rails. When the voltage is below the UVLO threshold voltage, the device ignores both the HI and LI signals to prevent the GaN FETs from being partially turned on. Below



**11-10. The all-SiC 300A, 1.2kV half-bridge module is packaged in industry-standard 62mm housing.**

UVLO, if there is sufficient voltage ( $V_{CC} > 2.5 V$ ), the driver actively pulls the high-side and low-side gate driver output low. The UVLO threshold hysteresis of 200 mV prevents chattering and unwanted turn-on due to voltage spikes. Use an external VCC bypass capacitor with a value of 0.1  $\mu F$  or higher. A size of 0402 is recommended to minimize trace length to the pin. You should place the bypass and bootstrap capacitors as close to the device as possible to minimize parasitic inductance.

**All-SiC 300A**

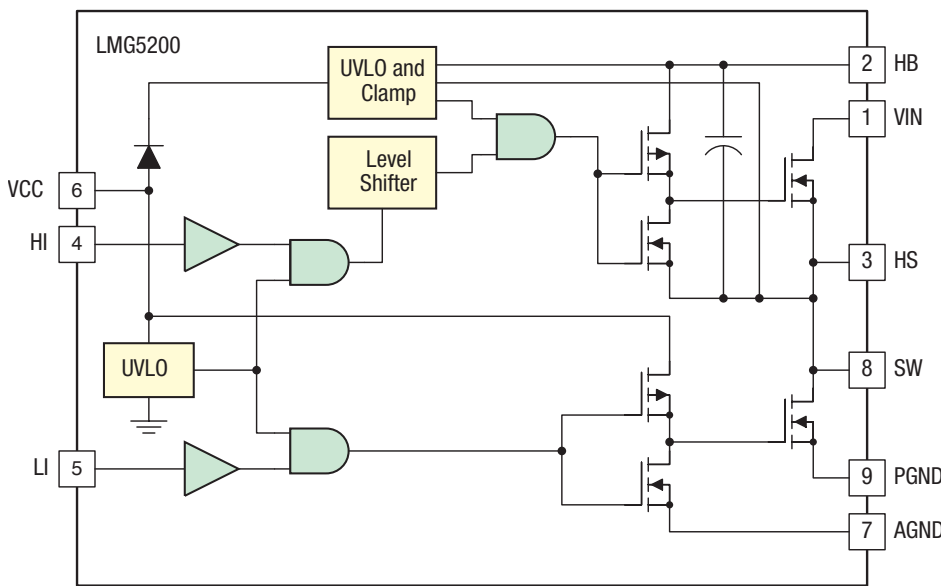
Cree's all-SiC 300A, 1.2kV half-bridge module circuit (Fig. 11-9) is packaged in industry-standard 62mm housing (Fig. 11-10). The module reduces energy loss due to switching by more than five times compared to the equivalent silicon solution. This efficiency enables an all-SiC high power converter rated up to the megawatt level.

The all-SiC 62mm half-bridge module allows designers to reduce the amount of magnetic and cooling elements, delivering double the power density and a lower system cost while also reducing end user cost of ownership. Offering a simplified two-level topology that is feasible at higher frequencies, the new module can also eliminate the need to invest in multi-level silicon-based solutions.

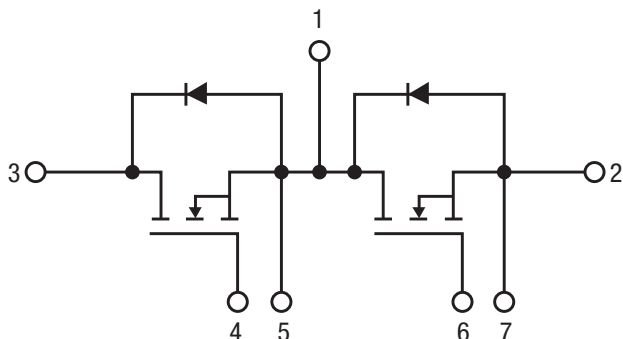
This Cree SiC power module is available with multiple gate driver options and is pin-compatible to standard 62mm half-bridge modules, including IGBT modules rated at 450A or more. This allows designers to quickly and easily evaluate the module's unparalleled capabilities.

The all-SiC 300A, 1.2kV half-bridge module is available as part number CAS300M12BM2. Companion gate drivers are also available.

A newer module design also configured as in Fig. 11-9 is said to be the industry's most optimized to




**11-8. LMG5200 half-bridge with GaN output transistors and internal gate drivers.**



**11-9. Configuration of the Cree half-bridge.**



achieve the unique benefits of SiC technology—with a 66% reduction in module inductance to 5.5nH, compared to competitive power products at 15nH. This reduction in module inductance enables faster switching speeds, higher frequency operation, and ultra-low losses.

Available as part number CAS325M12HM2, the high-performance power module is configured in a half-bridge topology comprised of seven 1.2kV 25mΩ C2M SiC MOSFETs and six 1.2kV 50A Z-Rec Schottky diodes. The companion gate driver (CGD15HB62LP) is specifically designed for integration with the module to fit within the 62mm mounting footprint. An engineering evaluation kit that includes both the module and the gate driver is also available so design engineers can quickly and easily test the performance of the new device in their systems. 

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2. [Sam Davis, Half-Bridge GaN FET Module Comes In QFN, \*powerelectronics.com\*, March 2015.](#)
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5. [Sam Davis, Gallium Nitride Transistors Switch in the Sub-Nanosecond Timeframe, \*powerelectronics.com\*, October 2013.](#)
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7. [Michael A Briere, Characterizing Performance of Mid-voltage GaN-on-Si Devices, \*powerelectronics.com\*, July 2013.](#)
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CHAPTER 12:

# WIRELESS POWER TRANSFER

You can employ wireless power transfer at different power levels. At the low power level, wireless power transfer is intended for smartphones and other portable battery-powered systems. Higher-power wireless transfer is used to recharge the battery in an electric vehicle. First, we will describe the lower power technology in an FAQ format. Next, we will describe work done at the Oak Ridge National Laboratory for wireless charging batteries in an electric vehicle.

### Is there a wireless power transfer standard for portable phones?

Wireless power transfer is based on the Wireless Power Consortium’s WPC 1.1 Standard (July 2012) that facilitates cross-compatibility of compliant transmitters and receivers. The Standard defines the physical parameters and the communication protocol used in wireless power transfer.

The Wireless Power Consortium’s global standard for compatible wireless charging is called Qi (pronounced “chee”). The Qi standard guarantees that any device carrying the Qi logo will work with any charging surface that carries the Qi logo, regardless of manufacturer or brand. Qi allows design freedom, product differentiation, and guaranteed wireless charging interoperability.

### How does wireless power technology work?

Wireless power transfer relies on magnetic induction between planar receiver and transmitter coils. Positioning the receiver coil over the transmitter coil causes magnetic coupling when the transmitter coil is driven. Flux couples into the secondary coil, which induces a voltage and current flows. The secondary voltage is rectified and transferred

to the load, wirelessly. Wireless power transfer is usually controlled by two ICs: one transmits and another receives the transferred power, as shown in Fig. 12-1.

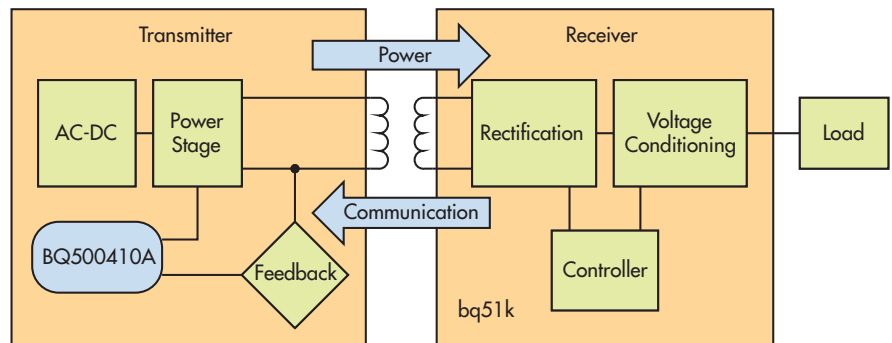
### How do the receiver and transmitter ICs provide the wireless transfer?

The power-transfer receiver IC provides efficient ac/dc power conversion as required to comply with WPC 1.1 communication protocol. Control algorithms provide an effective and safe Li-Ion and Li-Pol battery charger—eliminating the need for a separate battery charger circuit.

By utilizing near-field inductive power transfer, a secondary coil embedded in the portable device can pick up the power transmitted by the primary coil. The ac signal from the secondary coil is then rectified and conditioned to apply power directly to the battery. Global feedback is established from the secondary to the primary in order to stabilize the power transfer process. This feedback utilizes the WPC 1.1 power communication protocol.

### What determines the power transfer?

Power transfer depends on coil coupling, which depends on the distance between coils, alignment,



**12-1. Qi-compliant wireless power transfer receivers and transmitter circuits. The bq500410a is a Texas Instruments transmitter IC. Companion receiver ICs include the bq51050B, bq51051B, bq51011, bq5013, bq51013A, and bq51013B.**



**A1 type w/  
magnet**



**A6 type for rectangular free  
positioning**

**12-2. The transmitter IC can employ either of two type of coil configurations. On the left is the A1 single coil and on the right is the A6 three-coil configuration.**

coil dimensions, coil materials, number of turns, magnetic shielding, impedance matching, frequency, and duty cycle. Receiver and transmitter coils must be aligned for best coupling and efficient power transfer. The closer the space between the two coils, the better the coupling. However, to account for housing and interface surfaces, the practical distance is set to be less than 5 mm, as defined within the WPC Standard. Shielding is added as a backing to both the transmitter and receiver coils to direct the magnetic field to the coupled zone. Magnetic fields outside the coupled zone do not transfer power. Thus, shielding also serves to contain the wireless fields and avoid coupling to other adjacent system components.

### **Does the WPC 1.1 Standard set the operating environment for power transfer?**

You can control power transfer by varying any one of the coil coupling parameters. However, for WPC compatibility, the transmitter-side coils and capacitance are specified and the resonant frequency point is fixed. Power transfer is regulated by changing the frequency along the resonance curve from 112 kHz to 205 kHz (that is the higher the frequency is, the lower the power). Duty cycle remains constant at 50% throughout the power band and is reduced only once 205 kHz is reached.

### **What coil configurations are available?**

Fig. 12-2 shows the A6 three-coil configuration with a 70 x 20 mm charge surface area as well as the A1 single-coil with 18 mm x 18mm charge space. The 70 mm by 20 mm A6 charge area is 400% larger than 19-mm by 19-mm area used by an A1 coil.

An A6 coil arrangement can achieve greater than 70-percent efficiency. The WPC Standard establishes coil and matching capacitor specification for the A6 transmitter.

Although the transmitter is intended to drive an A6 three-coil array, it can also be used to drive a single A1 coil. For single-coil operation, the two outer coils and associated electronics are simply omitted.

The performance of an A6 transmitter can vary based on the design of the A6 coil set. For best performance with small receiver coils under heavy loading, it is best to design the coil set so that it is on the low end of the specified tolerance.

### **Does the WPC standard set the coil characteristics?**

The WPC standard describes the dimensions, materials of the coils, and information regarding the tuning of the coils to resonance. The value of the inductor and resonant capacitor are critical for proper operation and system efficiency.

### **Why is capacitor selection important?**

Capacitor selection is critical to proper system operation. The resonant tank requires a total capacitance value of 68 nF +5.6 nF center coil, which is the WPC system compatibility requirement. Capacitors chosen must be rated for at least 100 V and must be of a high-quality COG dielectric (sometimes also called NPO). They typically have a 5% tolerance, which is adequate. The designer can combine capacitors to achieve the desired capacitance value. Various combinations can work depending on availability.

### **Can you monitor power transfer efficiency?**

Both parasitic metal detection (PMOD) and foreign object detection (FOD) can continuously monitor the efficiency of the established power transfer. This protects against power lost due to metal objects in the wireless power transfer path. Combining input power, known losses, and the value of power reported by the RX device being charged, the transmitter can estimate how much power is unaccounted for and presumed lost due to misplaced metal objects. Exceeding this unexpected loss indicates a fault and halts power transfer.

PMOD has certain inherent weaknesses as rectified power is not ensured to be accurate per the original WPC1.0 Specification. However, the FOD algorithm uses information from an in-system characterized and WPC1.1 certified receiver and it is therefore more accurate. Where the WPC1.0 specification requires merely the rectified power packet, the WPC1.1 specification additionally uses the received power packet that more accurately tracks power used by the receiver. As default, PMOD and FOD share the same threshold-setting resistor for which the recommended starting point is 400 mW.

### How do the receiver and transmitter ICs communicate?

Communication within the WPC standard is from the receiver to the transmitter, where the receiver tells the transmitter to send power and how much. To provide regulation, the receiver must communicate with the transmitter whether to increase or decrease frequency. The receiver monitors the rectifier output and, using Amplitude Modulation (AM), sends packets of information to the transmitter. A packet is comprised of a preamble, header, actual message, and a checksum, as defined in the WPC standard.

The receiver sends a packet by modulating an impedance network. This AM signal reflects back as a change in the voltage amplitude on the transmitter coil. The signal is demodulated and decoded by the transmitter-side electronics and the frequency of its coil-drive output is adjusted to close the regulation loop.

### Oak Ridge National Laboratory's Demos 20kW, EV Wireless Power Transfer System

A 20 kW wireless charging system for electric vehicles was demonstrated recently at the Department of Energy's Oak Ridge National Laboratory (ORNL). The charging system achieved 90% efficiency at three times the rate of plug-in systems commonly used for electric vehicles.

ORNL's power electronics team achieved the world's first 20 kW wireless charging system for passenger cars by developing a unique architecture that includes an ORNL-built inverter, isolation transformer, vehicle-side electronics, and coupling technologies. For the demonstration, researchers integrated the single-converter system into an electric Toyota RAV4 equipped with an additional 10kW-hour battery (Fig. 12-3).

The researchers are already looking ahead to their next target of a 50kW wireless charger, which would match the power levels of commercially available plug-in quick chargers. Providing the same charging speed with the convenience of wireless charging could increase consumer acceptance of electric vehicles and is considered a key enabler for hands-free autonomous vehicles. As the researchers advance their systems to achieve higher power levels, one of their chief concerns is maintaining safety for the equipment and associated personnel.

Original work on wireless started about three years ago and was described in a paper presented by nine ORNL researchers in a 2013 IEEE Transportation Electrification



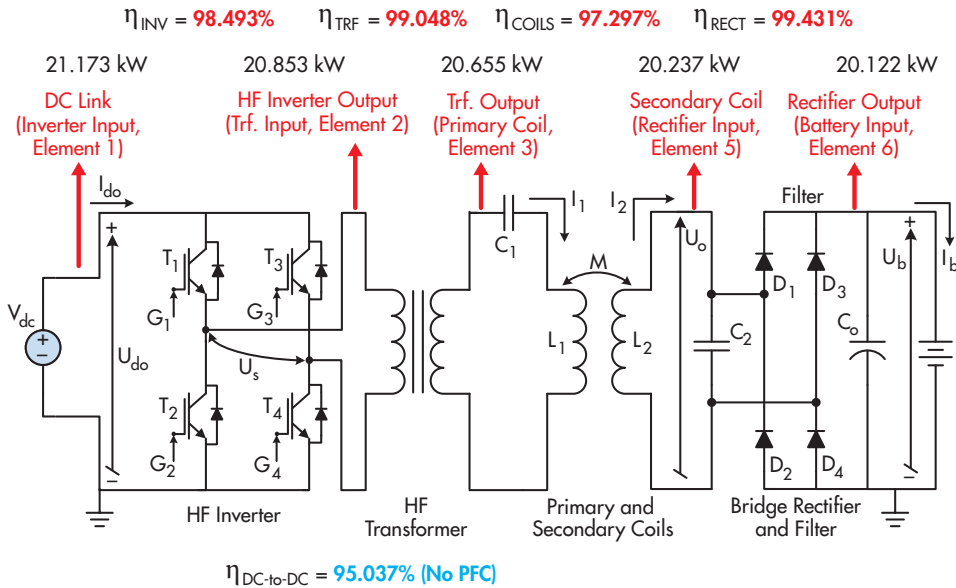
**12-3. WPT system installed in an electric Toyota RAV4 equipped with an additional 10kW-hour battery.**

Initiative (TEI) e-Newsletter. According to David Smith, vehicle systems program manager “wireless power transfer (WPT) represents a paradigm shift in electric-vehicle (EV) charging that offers the consumer an autonomous, safe, and convenient option to conductive charging and its attendant need for cables. Today’s technology is a stepping stone toward electrified roadways where vehicles could charge on the go.”

The 2013 newsletter said WPT can be fully autonomous due to the vehicle and grid side radio communication systems, and is non-contacting; therefore, issues with leakage currents, ground faults, and touch potentials do not exist. It also eliminates the need for dealing with heavy, bulky, dirty cables and plugs. It eliminates the fear of forgetting to plug-in and running out of charge the following day and eliminates the tripping hazards in public parking lots and in highly populated areas such as malls, recreational areas, etc. Furthermore, the high-frequency magnetic fields employed in power transfer across a large air gap are focused and shielded, so that fringe fields (i.e., magnetic leakage fields) attenuate rapidly over a transition region to levels well below limits set by international standards for the public zone (which starts at the perimeter of the vehicle and includes the passenger cabin).

The ORNL approach to WPT charging places strong emphasis on radio communications in the power regulation feedback channel augmented with software control algorithms. The goal for this WPT is minimization of vehicle on-board complexity by keeping the secondary side content confined to coil tuning, rectification, filtering, and interfacing to the regenerative energy-storage system (RESS). WPT





12-4. Block diagram of the ORNL WPT system with five cascaded power conversion stages.

charging represents the end game in the context of the connected vehicle, wireless communications, and eventually, with in-motion deployment of WPT, the ultimate in electric vehicle operation with unlimited range: dynamic wireless charging. Oak Ridge National Laboratory is working toward more efficient coil designs, power electronics converter developments, and communications systems, as well as new control strategies in this field. ORNL WPT programs also define and address concerns related to personal safety and hazards that may arise. ORNL uses electromagnetic resonance inductive coupling system for wireless charging of electric vehicles.

ORNL is the lead organization for this activity and partners with Toyota Motor Corp., Evatran, Clemson University ICAR Center, Cisco, Duke Energy, and International Rectifier. With OEM, commercialization, communications, grid,

and device partners, ORNL meets the aggressive power and efficiency goals for future's electric vehicles with stationary and in-motion charging capabilities. At the end of the first phase, ORNL demonstrated 6.6kW and 10kW power transfer over 160mm gap with over  $\sim 90\%$  dc-to-dc efficiency,  $\sim 97\%$  coil-to-coil efficiency, and 85% end-to-end (wall outlet to vehicle battery terminals) efficiency. The demonstration used dedicated short range communication (DSRC) systems for vehicle side data monitoring and feedbacks for controlling the grid side units.

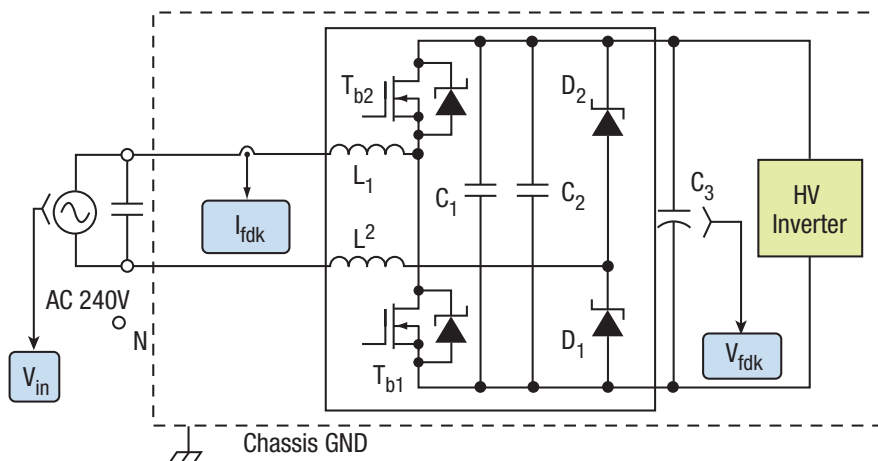
Fig. 12-4 is the block diagram of the original ORNL wireless power transfer system. The grid side unit of the WPT system consists of an active front-end rectifier (AFER) with power

factor correction (PFC), a high-frequency power inverter, a high-frequency isolation transformer, a tuning capacitor, and the primary coil. On the secondary (vehicle) side, the secondary coil is in parallel with the tuning capacitor, a diode-bridge rectifier, and a filter capacitor.

### Active Front-end Rectifier

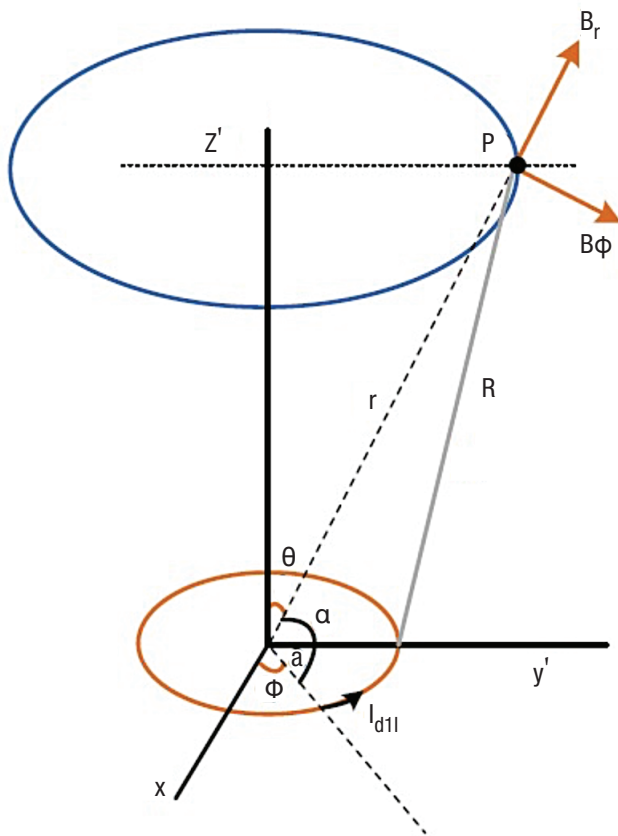
Fig. 12-5 shows the active front-end rectifier with power factor correction. In the AFER converter, only left leg of the active front-end rectifier is utilized and the right leg acts as a diode phase-leg. The AFER can work as a boost power factor correction circuit and is capable of boosting grid voltage's peak value up to 10 times (normally 2-3 times).

The AFER with PFC can also be interleaved for higher power rating. For high efficiency, low loss, and high switching frequency and reduced current ripple, it uses



12-5. Active front-end rectifier with power factor correction.

APT100MC120JCU2 SiC MOSFET phase-leg modules. The converter is operated based on the reference power to be delivered to the vehicle battery. Basically, depending on the battery reference current, a PI (proportional integral) controller is used to determine the reference current magnitude from the grid. A phase-locked-loop (PLL) system determines the grid voltage phase angle and the grid reference current is shaped accordingly. An outer PI controller uses the actual grid current and the internally generated reference ac current and determines the switching states. With the selected architecture, ORNL achieved a power factor of  $>98\%$  and



**12-6. Vector field analysis diagram (analytical construction) for coupling coil design.**

current total harmonic distortions (THDI) of <5%. In addition, because the AFER regulates the primary side DC link voltage, the battery current ripple is reduced to <10 A.

### Coupling Coil Design

Electromagnetic design of WPT coupling coils provides the most fundamental investigation into their performance. At ORNL, the WPT team developed couplers based on the magnetic vector potential at a field point due to current flowing in an ideal primary coil conductor. The potential at this field point is defined to lie at the location of the secondary coil. For a coil pair of radius  $a$ , assuming infinitesimal conductor radius, and having a coil to coil spacing  $z$ , then the radius vector from the primary coil origin to the field point becomes

$$r = \sqrt{a^2 + z^2}$$

(Fig. 12-6). The corresponding vector potential,  $A_\phi$ , for the case of  $N_1$  primary turns and  $I_1$  A yield a primary excitation of  $N_1 I_1$  amp-turns.

At the field point P, the magnetic vector potential is strongly dependent on primary coil radius, total current, the co-elevation angle  $\theta$ , and inverse with the square of the

separation distance,  $r$ . However, it is the flux density  $B(r, \theta)$ , and total flux  $\Phi$  at the secondary coil that is most relevant to WPT performance and is given as:

$$B(r, \theta) = \hat{r} \frac{\mu_0 N_1 I_1 a_1^2}{r^3} \cos \theta + \hat{\theta} \frac{\mu_0 N_1 I_1 a_1^2}{r^3} \sin \theta \quad (1)$$

### High Frequency Inverter

Load conditions; i.e., state-of-charge of the battery and coupling coefficient; i.e., vehicle coil to primary pad gap and any misalignment between transmit and receive coils determine the frequency response of the WPT system. The amount of power transferred to the secondary coil is governed by the switching frequency, duty cycle, and the input voltage of the inverter. This relationship can be expressed as:

$$U_1(t) = \frac{4U_{d0}}{\pi} \sin\left(d \frac{\pi}{2}\right) \cos(\omega t) \quad (2)$$

Where:


$U_{d0}$  = HF power inverter rail voltage

$d$  = pulse duty ratio

$\omega$  = angular frequency

Although the primary coil voltage can be controlled by the active front-end converter to vary the dc rail voltage  $U_{d0}$ , the ultimate objective is to dynamically change the switching frequency and the duty cycle in order to achieve the best operating conditions in terms of efficiency and power transfer. In the ORNL laboratory setting, the HF power inverter voltage was adjusted using a power supply. In a commercialized version of this WPT technology, a dedicated short-range communication (DSRC) link as shown in Fig. 12-4 would be needed. The transmitter side of the DSRC collects the measurement data such as battery voltage, battery current, and battery management system (BMS) messages needed for regulation. The grid-side receiver side of the DSRC channel receives this information for control purposes along with supporting primary side measurements. Then, a DSP-based embedded control system determines the switching frequency and the appropriate duty cycle according to the control law being used. The switching signals for the inverter IGBTs are generated by the DSP control algorithm and applied to the HF power inverter gate drives. The control system can also regulate the inverter power based on the reference power commands that can be received through the V2I communications from a smart grid compliant utility.

Portions of this article were prepared by the Oak Ridge National Laboratory, operated by the UT-Battelle for the U.S. Department of Energy under contract DE-AC05-00OR22725. Accordingly, the U.S. Government retains a

non-exclusive, royalty-free, license to publish from the contribution, or allow others to do so, for U.S. Government purposes. The research team included Omer C. Onar, Steven Campbell, Cliff White, Larry Seiber, Chester Coomer, Lixin Tang, Paul Chambon, Madhu Chinthavali, and John M. Miller. 

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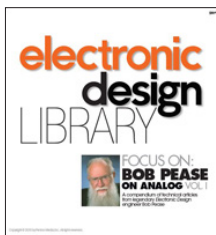
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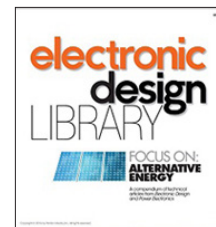
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CHAPTER 13:

# ENERGY

# HARVESTING

Energy harvesting is the process by which ambient energy is captured and converted into electricity for small autonomous devices, such as satellites, laptops and nodes in sensor networks without the need for battery power. Energy harvesting applications reach from vehicles to the smart grid.

With electronic circuits now capable of operating at microwatt levels, it is feasible to power them from non-traditional sources. This has led to energy harvesting, which provides the power to charge, supplement or replace batteries in systems where battery use is inconvenient, impractical, expensive or dangerous. It can also eliminate the need for wires to carry power or to transmit data. Energy harvesting can power smart wireless sensor networks to monitor and optimize complex industrial processes, remote field installations and building HVAC. In addition, otherwise wasted energy from industrial processes, solar panels, or internal combustion engines, can be harvested for useful purposes. A key component in energy harvesting is a power converter that can operate with ultralow voltage inputs.

Now that we have described why it is feasible and what it can do, how does energy harvesting actually work? Put simply, it is a process that:

- Captures minute amounts of energy
- Accumulates that energy
- Stores the energy
- Maintains the stored energy as a power source

Typical energy harvesting inputs include:

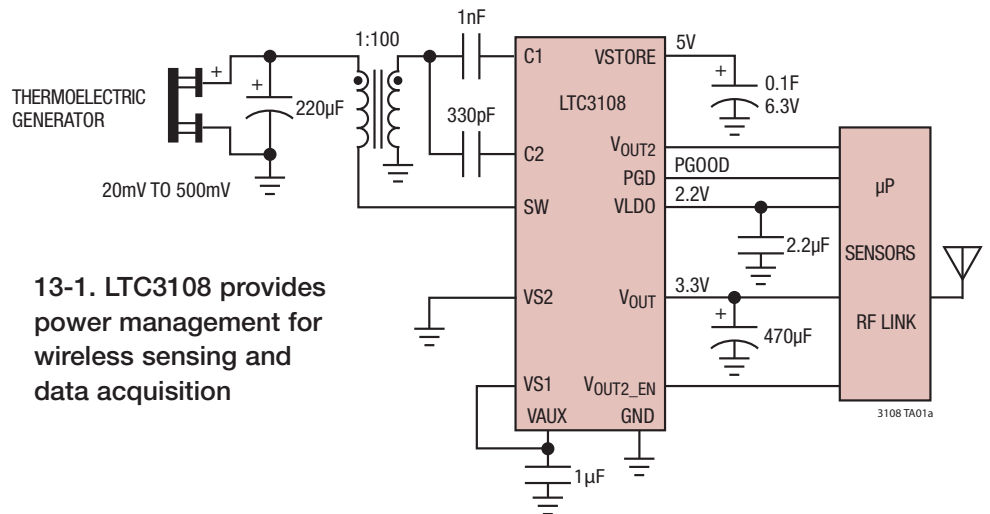
- Solar power
- Thermal energy
- Wind energy
- Salinity gradients
- Kinetic energy

Today, energy harvesters do not usually produce enough energy to perform

mechanical work, however they provide small amounts of power to support low-energy electronics. In most cases, the “fuel” for energy harvesters is naturally present and may be considered free. Using natural sources in remote areas for energy harvesting is an attractive alternative to inconvenient utility and battery power. These natural energy sources may be available maintenance-free for a lifetime. Energy harvesting can also be an alternative energy source that supplements the primary power source and enhances its reliability.

Energy harvesters are intended for applications requiring very low average power, but require periodic pulses of higher load current. For example, in many wireless sensor applications the circuitry is only powered to make measurements and transmit data periodically at a low duty cycle.

Energy harvesting is becoming more feasible today because of the increased efficiency of devices capable of capturing, storing, and producing electrical energy. This can be accomplished with the help of very efficient, very low-voltage input step-up converters. Also, improved low-voltage, high-efficiency microprocessors may allow them to become participants in energy harvesting systems.



**13-1. LTC3108 provides power management for wireless sensing and data acquisition**



## Energy Harvesting IC

Linear Technology's LTC3108, a highly integrated dc-dc converter is intended for energy harvesting. It can harvest and manage surplus energy from extremely low-input voltage sources such as TEG (thermoelectric generators), thermopiles, and small solar cells.

The circuit in Fig. 13-1 uses a small step-up transformer to boost the input voltage to an LTC3108 that provides a complete power-management solution for wireless sensing and data acquisition. It can harvest small temperature differences and generate system power instead of using traditional battery power. The LTC3108 is available in a small, thermally enhanced 12-lead (4mm × 3mm) DFN and a 16-lead SSOP packages.

The LTC3108 utilizes a MOSFET switch to form a resonant step-up oscillator using an external step-up transformer and a small coupling capacitor. This allows it to boost input voltages as low as 20mV, high enough to provide multiple regulated output voltages for powering other circuits. The frequency of oscillation is determined by the inductance of the transformer secondary winding and is typically in the range of 20kHz to 200kHz. For input voltages as low as 20mV, a primary-secondary turns ratio of about 1:100 is recommended. For higher input voltages, this ratio can be lower.

The ac voltage produced on the secondary winding of the transformer is boosted and rectified using an external-charge pump capacitor (from the secondary winding to pin C1) and the rectifiers internal to the LTC3108. The rectifier circuit feeds current into the VAUX pin, providing charge to the external VAUX capacitor and the other outputs.

## LDO Output

A 2.2V LDO can support a low-power processor or other low-power ICs. The LDO is powered by the higher value of either VAUX or  $V_{OUT}$ . This enables it to become active as soon as VAUX has charged to 2.3V, while the  $V_{OUT}$  storage capacitor is still charging. In the event of a step load on the LDO output, current can come from the main  $V_{OUT}$  capacitor if VAUX drops below  $V_{OUT}$ . The LDO requires a 1 $\mu$ F ceramic capacitor for stability. Larger capacitor values can be used without limitation, but will increase the time it takes for all the outputs to charge up. The LDO output is current limited to 4mA typical.

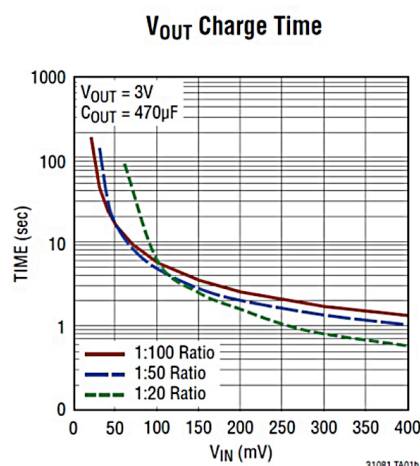
For pulsed-load applications, size the  $V_{OUT}$  capacitor to provide the necessary current for a pulse on load. The capacitor's value will be dictated by the load current, duration of the load pulse, and the voltage droop the circuit can tolerate. The capacitor must be rated for whatever voltage has been selected for  $V_{OUT}$  by VS1 and VS2 (Table 13-1).

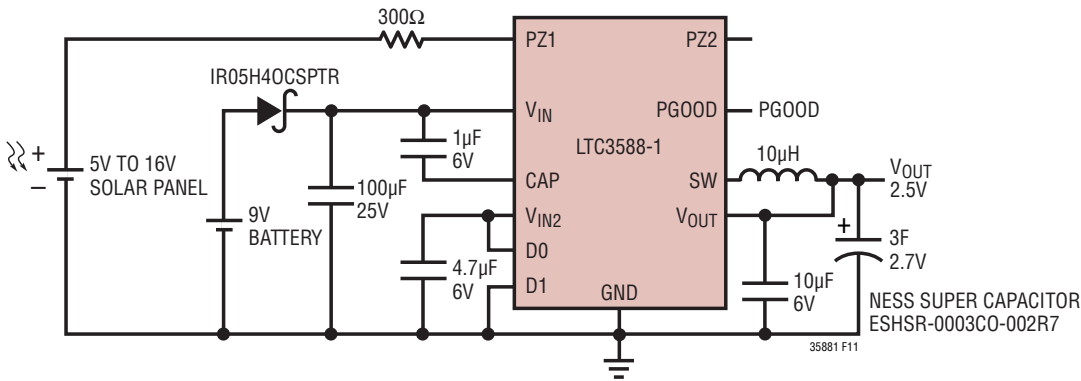
| VS2  | VS1  | $V_{OUT}$ |
|------|------|-----------|
| GND  | GND  | 2.35      |
| GND  | VAUX | 3.3       |
| VAUX | GND  | 4.1       |
| VAUX | VAUX | 5         |

There must be enough energy available from the input voltage source for  $V_{OUT}$  to recharge the capacitor during the interval between load pulses. Reducing the duty cycle of the load pulse allows operation with less input energy. The VSTORE capacitor may be a very large value (thousands of microfarads or even Farads) to provide holdup at times when the input power may be lost. Note that this capacitor can charge all the way to 5.25V (regardless of the settings for  $V_{OUT}$ ), so ensure that the holdup capacitor has a working voltage rating of at least 5.5V at the temperature for which it will be used. Fig. 13-2 plots the time for voltage to build up to its final value for a given input voltage and the input transformer turns ratio. The LTC3108's extremely low quiescent current (<6 $\mu$ A) and high-efficiency design ensure the fastest possible charge times for the output reservoir capacitor.

As listed in Table 13-1 above, the main output is pin-selectable via VS1 and VS2 for one of four fixed voltages (2.35V, 3.3V, 4.1V, or 5V) to power a wireless transmitter or sensors. A second switched output can be enabled by the host to power devices that do not have a micropower shutdown capability. The addition of a storage capacitor provides continuous power even when the input energy source is unavailable.

A power-good comparator monitors  $V_{OUT}$ . The PGD pin is an open-drain output with a weak pull-up (1M $\Omega$ ) to the LDO voltage. Once  $V_{OUT}$  charges to within 7% of its regulated voltage, the PGOOD output goes high. If  $V_{OUT}$  drops more than 9% from its regulated voltage, PGD goes low. The PGD output is designed to drive a microprocessor or other chip I/O and is not intended to drive a higher current load such as an LED. Pulling PGOOD up externally to a voltage greater than VLDO will cause a small current to be sourced into VLDO. PGOOD can be pulled low in a wire-OR configuration with other circuitry.





**13-3. The LTC3588-1 employs a high efficiency buck converter to harvest solar energy and then convert it to a well-regulated output.**

$V_{OUT2}$  is an output that can be turned on and off by the host, using the  $V_{OUT2\_EN}$  pin. When enabled,  $V_{OUT2}$  is connected to  $V_{OUT}$  through a 1.3Ω P-channel MOSFET switch. This output, controlled by a host processor, can be used to power external circuits such as sensors and amplifiers, that do not have a low power sleep or shutdown capability.  $V_{OUT2}$  can be used to power these circuits only when they are needed.

**Piezoelectric Energy Harvesting**

Linear Technology’s LTC3588-1 is an ultralow quiescent current power supply for energy harvesting and/or low current step-down applications (Fig. 13-3). The IC interfaces directly to a piezoelectric or alternative ac power source, to rectify a voltage waveform and store harvested energy on an external capacitor, bleed off any excess power via an internal shunt regulator, and maintain a regulated output voltage by means of a nanopower high-efficiency synchronous buck regulator.

The LTC3588-1 has an internal full-wave bridge rectifier accessible via the differential PZ1 and PZ2 inputs that rectifies ac inputs such as those from a piezoelectric element. The rectified output is stored on a capacitor at the VIN pin and can be used as an energy reservoir for the buck converter. The low-loss bridge rectifier has a total drop of about 400mV with typical piezo generated currents (~10μA). The bridge is capable of carrying up to 50mA. One side of the bridge can be operated as a single-ended DC input. PZ1 and PZ2 should never be shorted together when the bridge is in use.

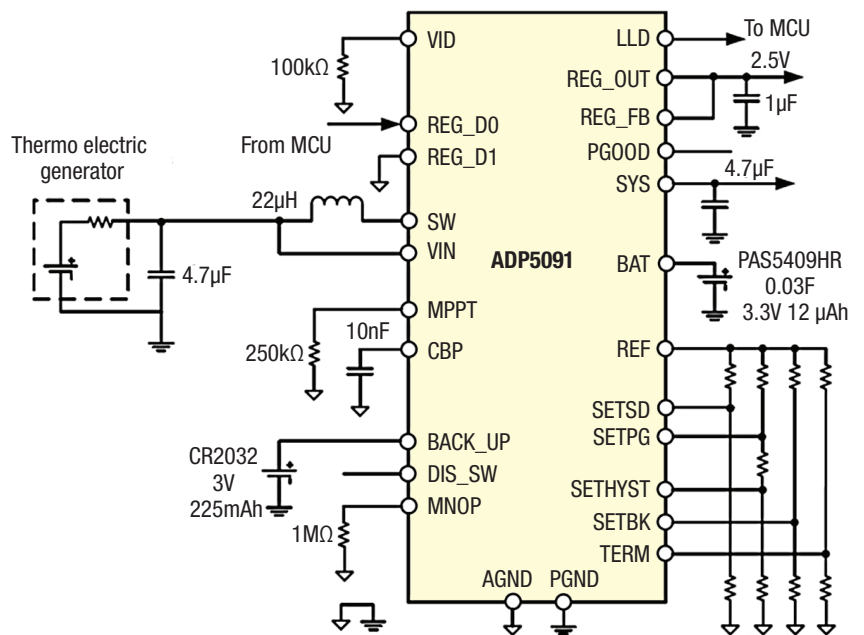
When the voltage on VIN rises above the UVLO rising threshold the buck converter is enabled and charge is transferred from the input capacitor to the output capacitor. A wide (~1V) UVLO hysteresis window is employed with a

lower threshold approximately 300mV above the selected regulated output voltage to prevent short cycling during buck power-up. When the input capacitor voltage is depleted below the UVLO falling threshold, the buck converter is disabled. Extremely low quiescent current (450nA typical) in UVLO allows energy to accumulate on the input capacitor in situations where energy must be harvested from low power sources.

You can configure the LTC3588-1 for use with dc sources such as a solar panel as shown in Fig. 13-3 by connecting them to one of the PZ1/PZ2 inputs. Connecting the source in this way prevents reverse current from flowing in each element. Current limiting resistors should be used to protect the PZ1 or PZ2 pins. This can be combined with a battery backup connected to VIN with a blocking diode.

Analog Devices’ ADP5091/92 is an intelligent integrated energy harvesting nano-powered management solution that converts dc power from PV cells or thermoelectric generators (Fig. 13-4).The IC charges storage elements such as rechargeable Li-Ion batteries, thin film batteries, super capacitors, or conventional capacitors, and powers up small electronic devices and battery-free systems.

The ADP5091/92 provides efficient conversion of the



**13-4. The ADP5091/92 provides efficient conversion of the harvested limited power from a 16 μW to 600 mW range with sub-μW operation losses.**

harvested limited power from a 16  $\mu\text{W}$  to 600 mW range with sub- $\mu\text{W}$  operation losses. With the internal cold-start circuit, the regulator can start operating at an input voltage as low as 380 mV. After a cold startup, the regulator is functional at an input voltage range of 80 mV to 3.3 V. You can program an additional 150mA regulated output with an external resistor divider or VID pin.

By sensing the input voltage, the control loop keeps the input voltage ripple in a fixed range to maintain stable dc-to-dc boost conversion. The OCV dynamic sensing mode and none-sensing mode both programming regulation points of the input voltage allow extraction of the highest possible energy from the harvester. A programmable minimum operation threshold (MINOP) enables boost shutdown during a low light condition. As a low light indicator for microprocessor, the LLD is the MIONP comparator output. In addition, the DIS\_SW pin can temporarily shut down the boost regulator and is RF transmission friendly.

The charging control function of ADP5091/92 protects rechargeable energy storage, which is achieved by monitoring the battery voltage with programmable charging termination voltage and shutdown discharging voltage. In addition, a programmable PGOOD flag with programmable hysteresis monitors the SYS voltage.

An optional primary cell battery can be connected and managed by an integrated power path management control block that is programmable to switch the power source from the energy harvester, rechargeable battery, and primary cell battery.

The ADP5091/92 is available in a 24-lead LFCSP and is rated for a  $-40^{\circ}\text{C}$  to  $+125^{\circ}\text{C}$  junction temperature range.



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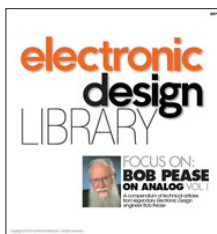
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# CHAPTER 14: CIRCUIT PROTECTION DEVICES

Several types of devices are employed in electronic systems to protect against voltage transients, power surges, and excessive voltage or current. Varistors is one of those devices that protect against excessive transient voltages by shunting the current created by the excessive voltage away from sensitive components.

A varistor's resistance varies with the applied voltage. It has a nonlinear, non-ohmic current-voltage characteristic similar to a diode, except that it has the same characteristic for both directions of traversing current. At low voltage it has a high electrical resistance that decreases as the voltage is raised. The most common type of varistor is the metal-oxide varistor (MOV). It is electrically equivalent to a network of back-to-back diode pairs, each pair in parallel with many other pairs.

When a small or moderate voltage is applied across an MOV's electrodes, only a tiny current flows, caused by reverse leakage through the diode junctions. When a large voltage is applied, the diode junction breaks down due to a combination of thermionic emission and electron tunneling, and a large current flows. The result of this behavior is a highly nonlinear current-voltage characteristic, in which the MOV has a high resistance at low voltages and a low resistance at high voltages.

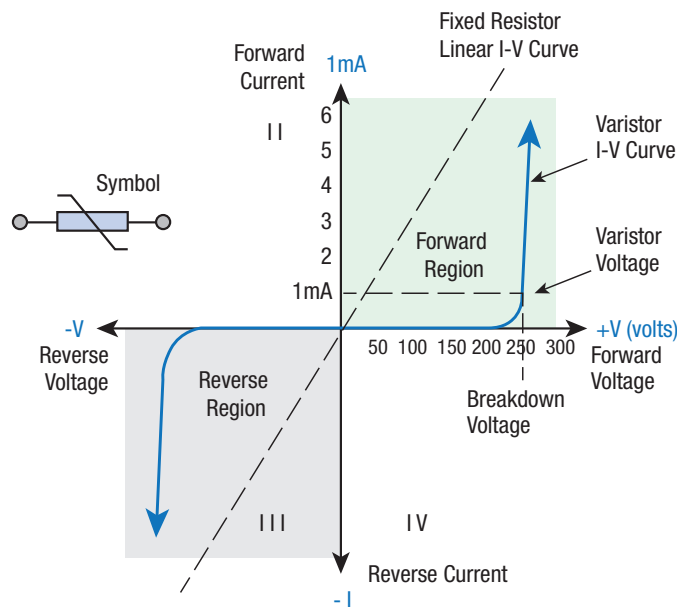
A varistor remains non-conductive as a shunt-mode device during normal operation when the voltage across it remains well below its "clamping voltage", thus varistors are typically used for suppressing line voltage surges.

Varistors will eventually fail from not

successfully limiting a very large surge from an event like a lightning strike, where the energy involved is many orders of magnitude greater than the varistor can handle. Follow-through current resulting from a strike may melt, burn, or even vaporize the varistor. This thermal runaway leads to the failure of dominant current paths under thermal stress when the energy in a transient pulse exceeds the manufacturer's "Absolute Maximum Ratings". You can reduce the probability of catastrophic failure by increasing the rating, either by using a single varistor of higher rating or by connecting more devices in parallel.

## MOV

The most common type of varistor is the metal-oxide varistor (MOV) that contains a ceramic mass of zinc oxide grains, in a matrix of other metal oxides sandwiched between two electrodes). The boundary between each grain and its neighbor forms a diode-like junction, which



14-1. Voltage vs. current for a typical MOV.



## An incorrectly specified MOV may allow frequent lower power swells to degrade its capacity.

allows current to flow in only one direction. When a small or moderate voltage is applied across the electrodes, only a tiny current flows, caused by reverse leakage through the diode junctions. When a large voltage is applied, the diode junction breaks down due to a combination of thermionic emission and electron tunneling, and a large current flows. The result of this behavior is a highly nonlinear current-voltage characteristic, in which the MOV has a high resistance at low voltages and a low resistance at high voltages. Fig. 14-1 shows the current vs. voltage of a typical MOV compared with an SiC diode.

As shown in Fig. 14-1, the varistor has symmetrical bi-directional characteristics. It operates in both directions (quadrant I and III) of a sinusoidal waveform in a manner similar to two zener diodes connected back-to-back. When not conducting, the I-V curve has a linear relationship as the current flowing through the varistor remains constant and low at only a few microamperes of “leakage.” This occurs because its high resistance acts as an open circuit and remains constant until the voltage across the varistor (either polarity) reaches its particular rated voltage.

An incorrectly specified MOV may allow frequent lower power swells to degrade its capacity. In this condition the varistor is not visibly damaged and outwardly appears functional, but no longer offers protection. Eventually, it proceeds into a shorted circuit condition as the energy discharges create a conductive channel through the oxides.

The main parameter affecting varistor life expectancy is its energy (Joule) rating. Increasing the energy rating raises the number of (defined maximum size) transient pulses that it can accommodate exponentially as well as the cumula-

tive sum of energy from clamping lesser pulses. As these pulses occur, the “clamping voltage” it provides during each event decreases, and a varistor is typically deemed to be functionally degraded when its “clamping voltage” has changed by 10%. Manufacturer’s life-expectancy charts relate current, severity and number of transients to make failure predictions based on the total energy dissipated over the life of the part.

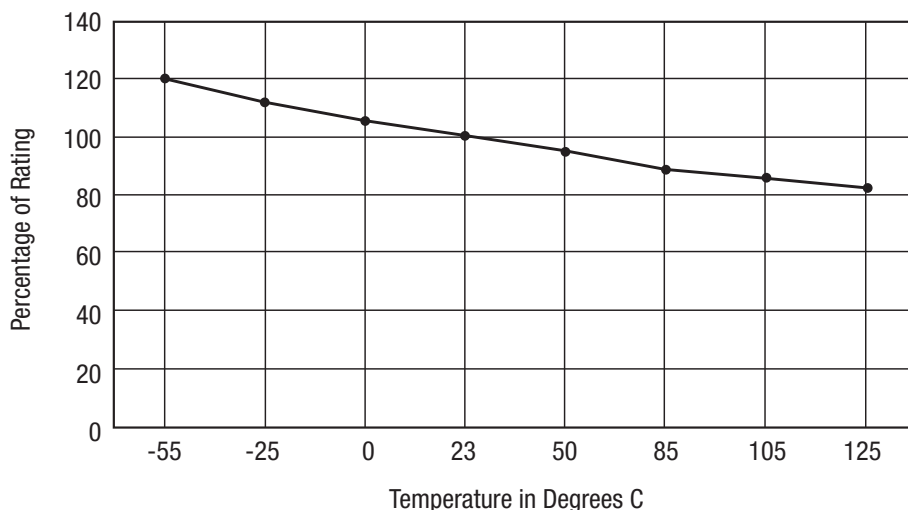
Let-through voltage specifies what spike voltage will cause the protective components inside a surge protector to divert unwanted energy from the protected line. A lower clamping voltage indicates better protection, but can sometimes result in a shorter life expectancy for the overall protective system. The lowest three levels of protection defined in the UL rating are 330 V, 400 V and 500 V. The standard let-through voltage for 120 V AC devices is 330 V.

This number of Joules defines how much energy an MOV-based surge protector can theoretically absorb in a single event, without failure. Counter-intuitively, a lower number may indicate longer life expectancy if the device can divert more energy elsewhere and thus absorb less energy. In other words, a protective device offering a lower clamping voltage while diverting the same surge current will cause more of the surge energy to be dissipated elsewhere in that current’s path. Better protectors exceed peak ratings of 1000 joules and 40,000 amperes.

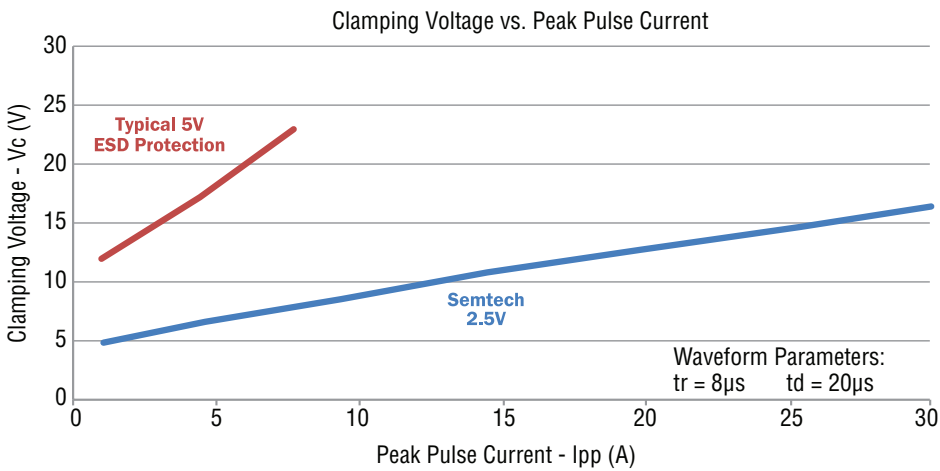
Usually a lower joule rating is undersized protection, since the total energy in harmful spikes can be significantly larger than this. However, if properly installed, for every joule absorbed by a protector, another 4 to 30 joules may be dissipated harmlessly into ground. An MOV-based

protector with a higher let-through voltage can receive a higher joule rating, even though it lets more surge energy through to the device to be protected.

The joule rating is a commonly quoted but very misleading parameter for comparing MOV-based surge protectors. A surge of any arbitrary ampere and voltage combination can occur in time, but surges commonly last only for nanoseconds to microseconds, and experimentally modeled surge energy has been far under 100 joules. Well-designed surge protectors should not rely on MOVs to absorb surge energy, but instead to survive the process of harmlessly redirecting it to ground. Generally, more joules means an MOV absorbs



14-2. Fuse derating curves.



14-3.  $\mu$ Clamp3321ZA clamping voltage vs. peak pulse current ( $t_p = 8/20\mu\text{s}$ ).

less energy while diverting even more into ground.

Some manufacturers commonly design higher joule-rated surge protectors by connecting multiple MOVs in parallel. Since individual MOVs have slightly different non-linear responses when exposed to the same overvoltage, any given MOV might be more sensitive than others. This can cause one MOV in a group to conduct more (called “current hogging”), leading to overuse and eventually premature failure of that component. If a single inline fuse is placed in series with the MOVs as a power-off safety feature, it will open and fail the surge protector even if remaining MOVs are intact. Thus, the effective surge energy absorption capacity of the entire system is dependent on the MOV with the lowest clamping voltage, and the additional MOVs do not provide any further benefit. This limitation can be surmounted by using carefully matched sets of MOVs, but this matching must be carefully coordinated with the original manufacturer of the MOV components.

Surge protectors don’t operate instantaneously; a slight delay exists. The longer the response time, the longer the connected equipment will be exposed to the surge. However, surges don’t happen instantly either. Surges usually take a few microseconds to reach their peak voltage, and a surge protector with a nanosecond response time would kick in fast enough to suppress the most damaging portion of the spike.

Therefore, response time under standard testing is not a useful measure of a surge protector’s ability when comparing MOV devices. All MOVs have response times measured in nanoseconds, while test waveforms usually used to design and calibrate surge protectors are all based on modeled waveforms of surges measured in microseconds. As a result, MOV-based protectors have no trouble producing impressive response-time specs.

Each standard defines different protector characteristics, test vectors, or operational purpose.

Frequently listed standards include:

- IEC 61643-1
- EN 61643-11 and 61643-21
- Telcordia Technologies Technical Reference TR-NWT-001011
- ANSI/IEEE C62.xx
- Underwriters Laboratories (UL) 1449

EN 62305 and ANSI/IEEE C62.xx define what spikes a protector might be expected to divert. EN 61643-11 and 61643-21 specify both the product’s performance and safety requirements.

In contrast, the IEC only writes standards and does not certify any particular product as meeting those standards. IEC Standards are used by members of the CB Scheme of international agreements to test and certify products for safety compliance.

None of those standards guarantee that a protector will provide proper protection in a given application. Each standard defines what a protector should do or might accomplish, based on standardized tests that may or may not correlate to conditions present in a particular real-world situation. A specialized engineering analysis may be needed to provide sufficient protection, especially in situations of high lightning risk.

The UL1449 (3rd Edition) standard for SPDs is a major rewrite of previous editions, and has also been accepted as an ANSI standard for the first time.

## Fuses

Selecting the right fuse is critical in electronic system designs. You can prevent catastrophic system failure with the proper fuse on the input of a device. In the event that internal circuits can no longer withstand an overload condition, the fuse will prevent fire or further damage to the board, the device, or neighboring components. Most equipment is protected from short circuits on their outputs by either circuit-sensing current limit and/or thermal overload circuits. Fuses are required to protect against a catastrophic component failure or if a component failure causes a short circuit on the inside of the device. Proper selection of an input fuse involves understanding and consideration of the following factors.

**Voltage rating** is based on the ac and/or dc circuit voltage into which they can be safely applied. A fuse installed in an ac circuit performs differently than when installed in a dc circuit. With ac circuits, the current is crossing the zero potential at 60 or 50 cycles a second. This helps in breaking the arc forms when the fuse element melts and creates a gap. In dc circuits, the voltage does not go to a

zero potential, making it more difficult to suppress the arc in the melting element's gap. Fuses are insensitive to voltage changes within their ratings so selecting the proper voltage rating is strictly a safety issue. Fuses can operate at any voltage below or equal to their rated voltage.

**Current rating** is determined by the maximum input current of a device. Typically, the maximum current consumption occurs at the maximum output load and the minimum input voltage. Although some devices are designed for constant current output regulation, most are designed as constant power devices. This means that as the input voltage drops, the input current must increase to uphold the constant output power. The magnitude of the input current can be determined from:

$$I_{\text{input\_max}} = \frac{P_{\text{out\_max}}}{V_{\text{in\_min}} \times E} \quad (1)$$

Where:

$P_{\text{out\_max}}$  = Maximum output power of the dc-dc converter

$V_{\text{in\_min}}$  = Minimum input voltage on the input pins of the dc-dc converter

$E$  = Efficiency of the device at  $P_{\text{out\_max}}$  and  $V_{\text{in\_min}}$

To prevent damage to device components, select a fuse current rating with a large enough current capability so that the fuse will not open under steady state conditions, yet will open during an abnormal (excessive) overload or short-circuit condition. Therefore, select a fuse to be 150% to 200% percent of the maximum steady state input current at maximum load and minimum line input voltage.

**Interrupting rating** is the maximum current at rated voltage the fuse can safely interrupt. This rating must exceed the maximum fault (short-circuit) current the circuit can produce. Interrupting ratings for ac and DC currents are different and the fuse data sheet should be consulted before selection.

**Temperature derating** is the ambient temperature exceeding the standard 23°C. The fuse current rating should be derated (a higher current rating with higher temperatures). Conversely, operating at an ambient temperature lower than the 23°C standard allows use of a lower current rating.

Fuse rating is determined by:

$$I_{\text{rated}} = \frac{I_{\text{input\_max}}}{K_{\text{temp}}} \quad (2)$$

Where:

$I_{\text{input\_max}}$  = the current determined from Equation 1 or

the device datasheet

$K_{\text{temp}}$  = the temperature derating factor determined from the derating factor (Fig. 14-2)

The lowest suitable fuse rating is obtained by rounding up the calculated value to the next higher current rating shown in the fuse datasheet.

**Melting integral**, termed melting  $I^2t$ , is the thermal energy required to melt a specific fuse element. The fuse element construction, materials and cross sectional area determine this value.

Peak inrush current is usually greater than the steady state current. Also, periodic inrush currents can be sufficiently powerful to warm the fuse element. Though not large enough to melt the element, it can still cause thermal stress to the element. Cyclical expansions and contractions of the fuse element can lead to mechanical fatigue and premature failure.

Calculate the melting  $I^2t$  values of the fuse for the condition where the product of the peak current squared and the time when the peak occurs is maximum. For example, the steady state current is maximum at low line so a transient load surge needs to be added to the low line current to establish the maximum peak current for an operating condition. But the inrush current is usually maximum at the highest input voltage. The fuse's melting  $I^2t$  must be evaluated at the condition with the highest calculated  $I^2t$  to ensure that the fuse will not open during these "normal" operating conditions.

Select a fuse with the minimum  $I^2t$  greater than the energy of the inrush current pulse. This ensures that the fuse will not cause a nuisance opening during transient conditions. For reliable system operation for the required number of turn-on cycles, meet the following condition:

$$I^2t_{\text{Fuse}} \geq I^2t_{\text{Pulse}} \times F_P \quad (3)$$

Where:

$I^2t_{\text{Pulse}}$  = Energy of a current pulse

$I^2t_{\text{Fuse}}$  = Melting integral of a fuse

$F_P$  = Pulse factor (dependent on fuse element construction)

$I^2t_{\text{Fuse}}$  can be found in fuse datasheets. Do not use the fuse's maximum melting integral in Equation 3, and use either the minimum or nominal melting integral of the fuse.

Other selection considerations include start-up (inrush) currents and transient load conditions. When a device is initially powered, the input bulk capacitors must be charged. For example, current flowing into the input terminals of a dc-dc converter is approximately  $I = V/R$  for typical power supplies with charge times less than 10 mS. When  $V$  is the input voltage change, and  $R$  is a combination of wiring resistance, your source's resistance under start-up, and the Equivalent Series Resistance (ESR) of the converter's input bulk capacitors.

Larger devices often use a large capacitor with very low ESR inside the converter. This inrush current can have a significant effect on the fuse's life. Size the fuse properly to allow these inrush current pulses to pass without nuisance openings or degrading the fuse element as discussed in melting integral.

To calculate current pulse energy, first determine the magnitude and duration of the current pulse. The most accurate way to determine parameters of a current pulse is to measure this current in the application under minimum and maximum voltage conditions.

## TVS

A transient voltage suppressor (TVS) reacts to sudden or momentary overvoltage conditions. One such common device used for this purpose is known as the transient voltage suppression diode that is simply a Zener diode designed to protect electronics device against overvoltage.

The characteristic of a TVS requires that it respond to overvoltages faster than other common overvoltage protection components such as varistors or gas discharge tubes. This makes TVS devices or components useful for protection against very fast and often damaging voltage spikes. These fast overvoltage spikes are present on all distribution networks and can be caused by either internal or external events, such as lightning or motor arcing.

Applications of transient voltage suppression diodes are used for unidirectional or bidirectional electrostatic discharge protection of transmission or data lines in electronic circuits. The level of energy in a transient overvoltage can be equated to energy measured in joules or related to electric current when devices are rated for various applications. These bursts of overvoltage can be measured with specialized electronic meters that can show power disturbances of thousands of volts amplitude that last for a few microseconds or less.

Semtech's  $\mu$ Clamp 3321ZA is a high-performance transient voltage suppression (TVS) for protecting low voltage interfaces (Fig. 14-3). The single-line  $\mu$ Clamp3321ZA offers a unique combination of features that protect increasingly sensitive interfaces.

The  $\mu$ Clamp3321ZA delivers optimal transient protection for safeguarding devices operating at 3.3V. It features low dynamic resistance, which provides fast response to transients, as well as low-clamping voltage to protect devices from damage. It is housed in an ultra-small, fully encapsulated package, which provides a more robust solution than conventional CSP (Chip Scale Package) solutions. In addition,



**14-4. FLAT GDT has a flat package and horizontal circuitry design that meets the need for more sensitive overvoltage protection requirements in high-density and space-restricted applications.**

tion, the  $\mu$ Clamp3321ZA extends the battery life of end-user applications by providing low reverse leakage current of  $<1\text{nA}$  (typical).

These TVS diodes protect sensitive electronics from damage or latch-up due to ESD. They replace 0201 size multilayer varistors (MLVs) in portable applications such as cell phones, notebook computers, and other portable electronics. They feature large cross-sectional area junctions for conducting high transient currents. This device offers desirable characteristics for board level protection including fast response time, low operating and clamping voltage, and no device degradation.

It features extremely good ESD protection characteristics highlighted by low typical dynamic resistance of  $0.33\ \Omega$ , low peak ESD clamping voltage, and high ESD withstand voltage ( $\pm 15\text{kV}$  contact per IEC 61000-4-2). Low maximum capacitance ( $5\text{pF}$  at  $V_R=0\text{V}$ ) minimizes loading on sensitive circuits. Each device will protect one data line operating at 3.3 V.

$\mu$ Clamp3321ZA is in a 2-pin SLP0603P2X3F package. It measures  $0.6 \times 0.3\ \text{mm}$  with a nominal height of only 0.25mm. Leads are finished with NiAu. The small package provides flexibility to protect single lines in applications where arrays are not practical. The combination of small size and high ESD surge capability makes them ideal for use in portable applications such as cellular phones, digital cameras, and tablet PCs. Fig. 14-3 shows clamping voltage vs. peak pulse current ( $t_p = 8/20\ \mu\text{s}$ ).

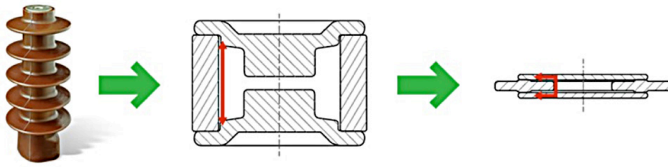
### Among its features:

- Transient protection for high-speed signal lines: IEC 61000-4-2 (ESD)  $\pm 17\text{kV}$  (air),  $\pm 15\text{kV}$  (contact)
- 3.3V working-voltage protection for low-voltage interfaces
- Low ESD clamping voltage of 10.5V at 8kV
- Ultra-small package size:  $0.6\text{mm} \times 0.3\text{mm}$  with nominal height of only 0.25mm
- Low typical dynamic resistance:  $0.33\ \Omega$
- Pb Free and RoHS/WEEE compliant

## GDT

A new gas discharge tube (GDT) from Bourns provides a breakthrough flat package design with a volume and





**14-5. FLAT GDT design is patterned after a high-voltage insulator, employing “wrinkled” insulating pathways.**

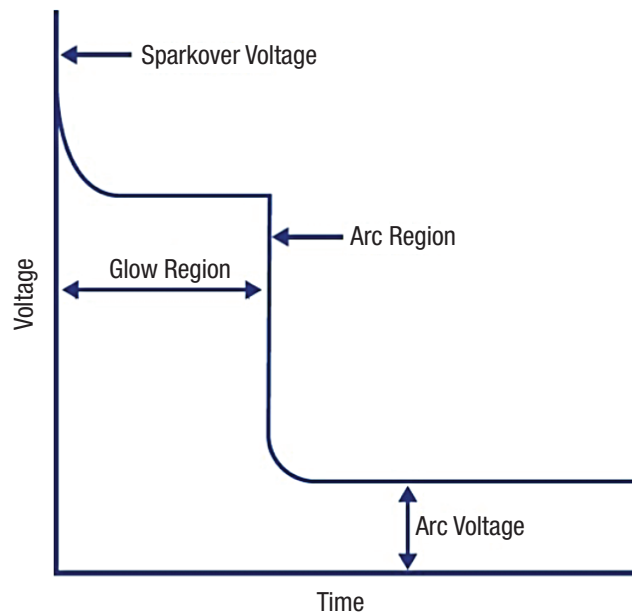
space-saving circuit protection design. The two-electrode Model 2017 Series FLAT GDT has a flat package and horizontal circuitry design that meets the need for more sensitive overvoltage protection requirements in high density and space-restricted applications (Fig. 14-4). This new series delivers a 75% savings in volume compared to a standard 8 mm Bourns GDT. **Typical applications for the new GDT include:**

- Telecom CPE
- Industrial communications
- Surge protective devices
- High-density PCB assemblies

The FLAT GDT is consistent with electronic systems that continue to require higher density and performance in smaller packages. However, as designs become increasing smaller, they are more susceptible to damage from transients such as lightning and high voltage surges. Therefore, circuit-protection technology must follow with smaller, more robust devices. GDTs are a popular circuit-protection solution because of their low capacitance, low leakage characteristics, and high surge-current handling capability.

Traditional high current GDTs are cylindrically shaped devices in an 8 × 6 mm size. These dimensions are critical to effectively handling surge energy and maintain electrical isolation. Their diameter and thermal mass provide much of

**14-6. Voltage vs. time plot for the FLAT GDT.**



the current handling capabilities for the GDT. Unfortunately, the larger conventional GDTs offer reliable overvoltage protection, at the cost of valuable PCB space.

Besides reducing height and overall volume, Bourns' new FLAT GDT technology is able to maintain the device's robust isolation and current-handling overvoltage protection capabilities. This new compact GDT offers superior surge current ratings, low leakage, and insertion loss with constant capacitance regardless of voltage. These devices also do not impact signal or system operation due to their voltage limiting capabilities optimized for long-term reliability and performance to satisfy the needs of today's more complex electronics equipment.

The FLAT GDT design is patterned after a high voltage insulator, as shown in Fig. 14-5. Similarly, the new GDT design employs “wrinkled” insulating pathways while maintaining the internal gap of the GDT. This allows the GDT to be compressed in the axial direction, reducing its overall volume/size.

The Model 2017 Series is an ITU K.12 Class III GDT device rated at 10 kA on an 8/2μs waveform. The series features DC breakdown voltages ranging from 90 to 500 V. Maximizing its design flexibility, the FLAT GDT offers multiple mounting options including bottom-side PCB, and is available in horizontal and vertical surface mount versions as well as a leadless design for cartridge or clamp fit applications. The Model 2017 FLAT GDT is RoHS compliant.

Fig. 14-6 shows the voltage vs. time plot for the GDT. ⚡

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2. [Brian Ahearne, Next-Generation Resettable Fuses](#)

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3. [Andy Morrish, Limitations of Traditional Circuit Protection for High Energy Surge Threats](#), [powerelectronics.com](#), January 2013.

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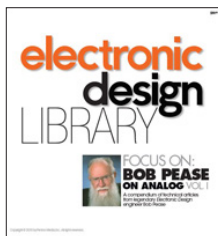
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## CHAPTER 15:

# PHOTOVOLTAIC SYSTEMS

A photovoltaic cell converts light energy directly into electricity by the photovoltaic effect, which is a physical and chemical phenomenon. It is defined as a device whose electrical characteristics, such as current, voltage, or resistance, vary when exposed to light. Solar cells are the building blocks of photovoltaic modules, known as solar panels.

Multiple solar cells in an integrated group, all oriented in one plane, constitute a solar photovoltaic panel or solar photovoltaic module. Photovoltaic modules often have a sheet of glass on the sun-facing side, allowing light to pass while protecting the semiconductor wafers. Solar cells are usually connected in series in modules, creating an additive voltage. Connecting cells in parallel yields a higher current; however, problems such as shadow effects can shut down the weaker (less illuminated) parallel string (a number of series-connected cells) causing substantial power loss and possible damage because of the reverse bias applied to the shadowed cells by their illuminated partners. Strings of series cells are usually handled independently and not connected in parallel, though (as of 2014) individual power boxes are often supplied for each module, and are connected in parallel. Although modules can be interconnected to create an array with the desired peak dc voltage and loading current capacity, using independent MPPTs (maximum power point trackers) is preferable. Otherwise, shunt diodes can reduce shadowing power loss in arrays with series/parallel connected cells.

Adjusting for inflation, it cost \$96 per watt for a solar module in the mid-1970s. Process improvements and a very large boost in production have brought that figure down 99%, to 68 cents per watt in 2016, according to data from Bloomberg New Energy Finance. Swanson's Law is an observation similar to Moore's Law that states that solar cell prices fall 20% for every doubling of industry capacity.

During the 1990s, polysilicon ("poly") cells became

increasingly popular. These cells offer less efficiency than their mono-silicon ("mono") counterparts, but they are grown in large vats that reduce cost. By the mid-2000s, poly was dominant in the low-cost panel market, but more recently the mono returned to widespread use.

Manufacturers of wafer-based cells responded to high silicon prices in 2004-08 with rapid reductions in silicon consumption. Current cells use 8-9 grams (0.28-0.32 oz) of silicon per watt of power generation, with wafer thicknesses in the neighborhood of 200 microns.

**The overall efficiency is the product of these individual metrics, which are:**

- Charge carrier separation efficiency
- Reflectance efficiency
- Thermodynamic efficiency
- Conductive efficiency

A solar cell has a voltage-dependent efficiency curve, temperature coefficients, and allowable shadow angles.

**Due to the difficulty in measuring these parameters directly, other parameters are substituted:**

- Thermodynamic efficiency
- Quantum efficiency
- Integrated quantum efficiency
- $V_{OC}$  ratio
- Fill factor

Reflectance losses are a portion of quantum efficiency under "external quantum efficiency." Recombination losses make up another portion of quantum efficiency,  $V_{OC}$  ratio, and fill factor. Resistive losses are predominantly categorized under fill factor, but also make up minor portions of quantum efficiency,  $V_{OC}$  ratio, "OC" is open circuit.

The Fill Factor is the ratio of the actual maximum obtainable power to the product of the open circuit voltage and short circuit current. This is a key parameter in evaluating performance. In 2009, typical commercial solar cells had a Fill Factor > 0.70. Grade B cells were usually between 0.4 to 0.7. Cells with a high Fill Factor have a low equivalent

series resistance and a high equivalent shunt resistance, so less of the current produced by the cell is dissipated in internal losses.

Single p–n junction crystalline silicon devices are now approaching the theoretical limiting power efficiency of 33.7%, noted as the Shockley–Queisser limit in 1961. In the extreme, with an infinite number of layers, the corresponding limit is 86% using concentrated sunlight.

For triple-junction thin-film solar cells, the world record is 13.6%, set in June 2015.

Solar cells can be classified into first-, second-, and third-generation cells.

- First-generation cells—also called conventional, traditional, or wafer-based cells—are made of crystalline silicon, the commercially predominant PV technology that includes materials such as polysilicon and monocrystalline silicon.
- Second-generation cells are thin-film solar cells that include amorphous silicon, CdTe, and CIGS cells and are commercially significant in utility-scale photovoltaic power stations, building integrated photovoltaics or in small stand-alone power system.
- Third-generation solar cells include a number of thin-film technologies often described as emerging photovoltaics—most of them have not yet been commercially applied and are still in the research or development phase. Many use organic materials, often organometallic compounds as well as inorganic substances. Despite the fact that their efficiencies had been low and the stability of the absorber material was often too short for commercial applications, there is a lot of research invested into these technologies as they promise to achieve the goal of producing low-cost, high-efficiency solar cells.

By far, the most prevalent bulk material for solar cells is crystalline silicon (c-Si), also known as “solar grade silicon.” Bulk silicon is separated into multiple categories according to crystallinity and crystal size in the resulting ingot, ribbon, or wafer. These cells are entirely based around the concept of a p-n junction. Solar cells made of c-Si are made from wafers between 160 to 240 micrometers thick.

Monocrystalline silicon (mono-Si) solar cells are more efficient and more expensive than most other types of cells. The corners of the cells look clipped, like an octagon, because the wafer material is cut from cylindrical ingots that are typically grown by the Czochralski process. Solar panels using mono-Si cells display a distinctive pattern of small white diamonds.

Epitaxial wafers can be grown on a monocrystalline silicon “seed” wafer by atmospheric-pressure CVD in a high-throughput inline process, and then detached as self-supporting wafers of some standard thickness (e.g., 250  $\mu\text{m}$ ) that can be manipulated by hand, and directly

substituted for wafer cells cut from monocrystalline silicon ingots. Solar cells made with this technique can have efficiencies approaching those of wafer-cut cells, but at appreciably lower cost.

Polycrystalline silicon, or multi-crystalline silicon (multi-Si) cells are made from cast square ingots—large blocks of molten silicon carefully cooled and solidified. They consist of small crystals giving the material its typical metal flake effect. Polysilicon cells are the most common type used in photovoltaics and are less expensive, but also less efficient, than those made from monocrystalline silicon.

Ribbon silicon is a type of polycrystalline silicon—it is formed by drawing flat thin films from molten silicon and results in a polycrystalline structure. These cells are cheaper to make than multi-Si, due to a great reduction in silicon waste, as this approach does not require sawing from ingots. However, they are also less efficient.

Thin-film solar-cell technologies reduce the amount of active material in a cell. Most designs sandwich active material between two panes of glass. Since silicon solar panels only use one pane of glass, thin film panels are approximately twice as heavy as crystalline silicon panels, although they have a smaller ecological impact (determined from life-cycle analysis). The majority of film panels have 2–3 percentage points lower conversion efficiencies than crystalline silicon. Cadmium telluride (CdTe), copper indium gallium selenide (CIGS), and amorphous silicon (a-Si) are three thin-film technologies often used for outdoor applications. Thin-film solar cells are increasing due to it being silent, renewable, and solar energy being the most abundant energy source on Earth.

Cadmium telluride is the only thin-film material so far to rival crystalline silicon in cost/watt. However, cadmium is highly toxic and tellurium (anion: “telluride”) supplies are limited. The cadmium present in the cells would be toxic if released. However, release is impossible during normal operation of the cells and is unlikely during fires in residential roofs. A square meter of CdTe contains approximately the same amount of Cd as a single C cell nickel-cadmium battery, in a more stable and less soluble form.

Copper indium gallium selenide (CIGS) is a direct band gap material. It has the highest efficiency (~20%) among all commercially significant thin film materials. Traditional methods of fabrication involve vacuum processes including co-evaporation and sputtering. Recent developments attempt to lower the cost by using non-vacuum solution processes.

Silicon thin-film cells are mainly deposited by chemical vapor deposition (typically plasma-enhanced, PE-CVD) from silane gas and hydrogen gas. Depending on the deposition parameters, this can yield amorphous silicon (a-Si or a-Si:H), polycrystalline silicon, or nanocrystalline sili-



con (nc-Si or nc-Si:H), also called microcrystalline silicon.

Amorphous silicon is the most well-developed thin film technology to-date. An amorphous silicon (a-Si) solar cell is made of non-crystalline or microcrystalline silicon. Amorphous silicon has a higher bandgap (1.7 eV) than crystalline silicon (c-Si) (1.1 eV), which means it absorbs the visible part of the solar spectrum more strongly than the higher-power density infrared portion of the spectrum. The production of a-Si thin-film solar cells uses glass as a substrate and deposits a very thin layer of silicon by plasma-enhanced chemical vapor deposition (PECVD).

Protocrystalline silicon with a low-volume fraction of nanocrystalline silicon is optimal for high open-circuit voltage. Nc-Si has about the same bandgap as c-Si and nc-Si and a-Si can advantageously be combined in thin layers, creating a layered cell called a tandem cell. The top cell in a-Si absorbs the visible light and leaves the infrared part of the spectrum for the bottom cell in nc-Si.

Gallium arsenide (GaAs) is also used for single-crystalline thin film solar cells. Although GaAs cells are very expensive, they hold the world's record in efficiency for a single-junction solar cell at 28.8%. GaAs is more commonly used in multi-junction photovoltaic cells for concentrated photovoltaics (CPV, HCPV) and for solar panels on spacecrafts, as the industry favors efficiency over cost for space-based solar power.

PV cells come in many sizes and shapes, from smaller than a postage stamp to several inches across. They are often connected together to form PV modules that may be up to several feet long and a few feet wide.

Modules, in turn, can be combined and connected to form PV arrays of different sizes and power output. The modules of the array make up the major part of a PV system, which can also include electrical connections, mounting hardware, power-conditioning equipment, and batteries that store solar energy for use when the sun is not shining.

PV Arrays are made up of PV modules, which are environmentally sealed collections of PV Cells. The most common PV module is 5 to 25 square feet in size and weighing about 3 to 4 lb/sq. ft. Often sets of four or more smaller modules are framed or attached together by struts in what is called a panel. This panel is typically around 19 to 35 square feet in area for ease of handling on a roof. This allows some assembly and wiring functions to be done on the ground if called for by the installation instructions.

When light shines on a PV cell, it may be reflected, absorbed, or pass right through. But only the absorbed light generates electricity. The energy of the absorbed light is transferred to electrons in the atoms of the PV cell semiconductor material. With their newfound energy, these electrons escape from their normal positions in the atoms and become part of the electrical flow, or current, in an electri-

cal circuit. A special electrical property of the PV cell—what is called a “built-in electric field”—provides the force, or voltage, needed to drive the current through an external load, such as a light bulb.

## Solar Modules

The heart of a photovoltaic system is the solar module. Many photovoltaic cells are wired together by the manufacturer to produce a solar module. When installed at a site, solar modules are wired together in series to form strings. Strings of modules are connected in parallel to form an array.

**Module Types**—Rigid flat-framed modules are currently most common and most of these are composed of silicon. Silicon cells have atomic structures that are single-crystalline (mono-crystalline), poly-crystalline (multi-crystalline), or amorphous (thin film silicon). Other cell materials used in solar modules are cadmium telluride (CdTe, commonly pronounced “CadTel”) and copper indium diselenide (CIS). Some modules are manufactured using combinations of these materials. An example is a thin film of amorphous silicon deposited onto a substrate of single-crystalline silicon.

In 2005, approximately 90% of modules sold in the United States were composed of crystalline silicon, either single-crystalline or poly-crystalline. The market share of crystalline silicon is down from previous years, however, and continues to drop as sales of amorphous silicon, CdTe and CIS modules are growing.

**Rated Power**—Grid-connected residential PV systems use modules with rated power output ranging from 100 to 300 watts. Modules as small as 10 watts are used for other applications. Rated power is the maximum power the panel can produce with 1,000 watts of sunlight per square meter at a module temperature of 25°C or 77°F in still air. Actual conditions will rarely match rated conditions and so actual power output will almost always be less.

**PV System Voltage**—Modern systems without batteries are typically wired to provide from 235V to 600V. In battery-based systems, the trend is also toward use of higher array voltages, although many charge controllers still require lower voltages of 12V, 24V, or 48V to match the voltage of the battery string.

**Using Manufacturer's Product Information to Compare Modules**—Since module costs and efficiencies continue to change as technology and manufacturing methods improve, it is difficult to provide general recommendations that will be true into the future regarding, for example, which type of module is cheapest or the best overall choice. It is best to make comparisons based on current information provided by manufacturers, combined with the specific requirements of your application.

Two figures that are useful in comparing modules are:

- Price per watt
- Rated power output per area (or efficiency)

When looking through a manufacturer's catalog of solar modules, you will often find the rated power, the overall dimensions of the module, and its price. Find the cost per watt by dividing the module's price by its rated output in watts. Find the watts per area, by dividing its rated output by its area.

**Module Cost per Watt**—As a general rule, thin film modules have lower costs than crystalline silicon modules for modules of similar powers.

**Module Efficiency (Watts per Area)**—Modules with higher efficiency will have a higher ratio of watts to area. The higher the efficiency, the smaller the area (i.e., fewer modules) will be required to

achieve the same power output of an array. Installation and racking costs will be less with more efficient modules, but this must be weighed against the higher cost of the modules. Amorphous silicon, thin-film CdTe, and CIS modules have rated efficiencies that are lower than crystalline silicon modules, but improvements in efficiency continue.

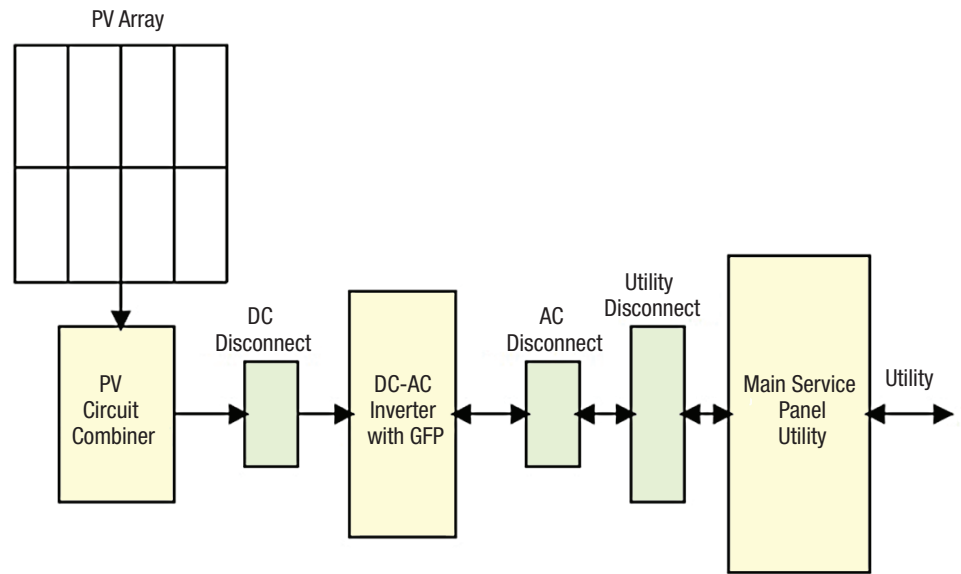
Arrays are most commonly mounted on roofs or on steel poles set in concrete. In certain applications, they may be mounted at ground level or on building walls. Solar modules can also be mounted to serve as part or all of a shade structure such as a patio cover. On roof-mounted systems, the PV array is typically mounted on fixed racks, parallel to the roof for aesthetic reasons and stood off several inches above the roof surface to allow airflow that will keep them as cool as practical.

**Adjustability**—The tilt of sloped rooftop arrays is usually not changed, since this is inconvenient in many cases and sometimes dangerous. However, many mounting racks are adjustable, allowing resetting of the angle of the PV modules seasonally.

**Tracking**—Pole-mounted PV arrays can incorporate tracking devices that allow the array to automatically follow the sun. Tracked PV arrays can increase the system's daily energy output by 25% to 40%. Despite the increased power output, tracking systems usually are not justified by the increased cost and complexity of the system.

Photovoltaic system types can be broadly classified by answers to the following questions:

- Will it be connected to the utility's transmission grid?
- Will it produce alternating current (ac) or direct current (dc) electricity, or both?
- Will it have battery backup?



**15-1. Grid-interactive with no battery backup only operates when the utility is available.**

- Will it have backup by a diesel, gasoline, or propane generator set?

Grid-connected, or grid-intertied systems, generate approximately the same quality of alternating current (ac) electricity as is provided by your utility. The energy generated by a grid-connected system is used first to power the ac electrical needs of the home or business. Any surplus power that is generated is fed or “pushed” onto the electric utility's transmission grid. Any of the building's power requirements that are not met by the PV system are powered by the transmission grid. In this way, the grid can be thought of as a virtual battery bank for the building.

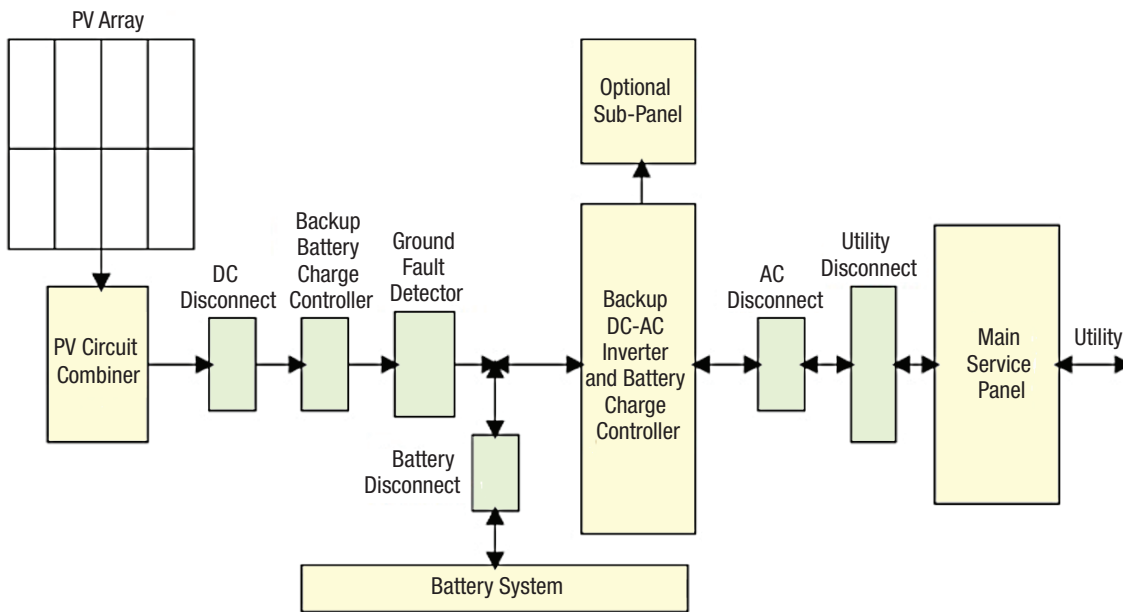
**Common System Types**—Most new PV systems being installed in the United States are grid-connected residential systems without battery backup. Many grid-connected ac systems are also being installed in commercial or public facilities.

There are two main types of grid-connected systems, although others exist:

- Grid-connected ac system with no battery or generator backup
- Grid-connected ac system with battery backup

Example configurations of systems with and without batteries are shown in Fig. 15-1 and Fig. 15-2. Note there are common variations on the configurations shown, although the essential functions and general arrangement are similar.

**Is a Battery Bank Really Needed?**—The simplest, most reliable, and least expensive configuration does not have battery backup. Without batteries, a grid-connected PV system will shut down when a utility power outage occurs. Battery backup maintains power to some or all of the electric equipment, such as lighting, refrigeration, or fans, even



### 15-2. PV system includes battery backup to continue operation if the utility goes out.

when a utility power outage occurs. A grid-connected system may also have generator backup if the facility cannot tolerate power outages.

As an example of a variation on the configuration shown in Fig. 15-1, the inverter has been shown in an interior location, while very often it is installed outside. If located outside, there may not be a need for a separate array disconnect and inverter dc disconnect, as a single dc disconnect can serve both functions. In Fig. 15-2, system meter functions are often included in charge controllers and so a separate system meter may not be required.

With battery backup, power outages may not even be noticed. However, adding batteries to a system comes with several disadvantages that must be weighed against the advantage of power backup. **These disadvantages are:**

- Batteries consume energy during charging and discharging, reducing the efficiency and output of the PV system by about 10% for lead-acid batteries.
- Batteries increase the complexity of the system. Both first cost and installation costs are increased.
- Most lower-cost batteries require maintenance.
- Batteries will usually need to be replaced before other parts of the system and at considerable expense

The ac energy output of a solar array is the electrical ac energy delivered to the grid at the point of connection of the grid-connect inverter to the grid. **The output of the solar array is affected by:**

- Average solar radiation data for selected tilt angle and orientation
- Manufacturing tolerance of modules
- Temperature effects on the modules

- Effects of dirt on the modules
- System losses (e.g., power loss in cable)
- Inverter efficiency

**Several parameters must be derated:**

1. Derating due to manufacturer's output tolerance
2. Derating due to dirt
3. Derating due to temperature

The output of a PV module is specified in watts and with a manufacturing tolerance based on a cell temperature of 25°C. Historically the manufacturing tolerance has been  $\pm 5\%$ , but in recent years

the tolerance dropped to about  $\pm 3\%$ . A buildup of dirt on the surface of the PV module can reduce its output. So an acceptable derating might be 5% for dirt and the manufacturers' tolerances.

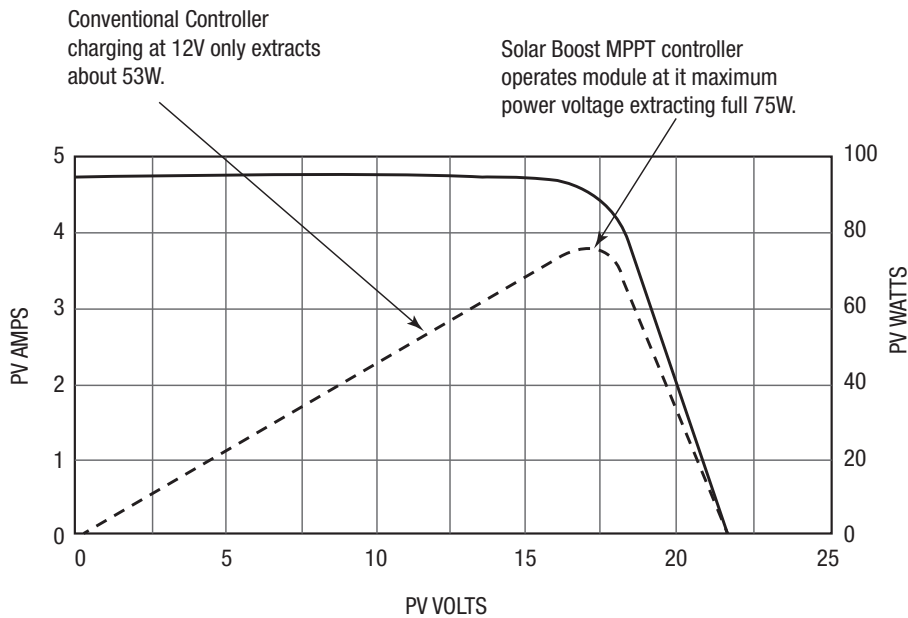
Solar-module output power decreases above 25°C and increases below 25°C minimum. Temperature derating is based on an ambient temperature of 25°C. Monocrystalline modules typically have a temperature coefficient of  $-0.45\%/^{\circ}\text{C}$ . Polycrystalline modules typically have a temperature coefficient of  $-0.5\%/^{\circ}\text{C}$ . Thin-film modules have a temperature coefficient, typically around to  $-0.25\%/^{\circ}\text{C}$ .

The dc energy output of the solar array will be further reduced by the power loss (voltage drop) in the dc cable connecting the solar array to the grid connect inverter. The dc energy delivered to the input of the inverter will be further reduced by the power/energy loss in the inverter. The ac energy output of the inverter will be further reduced by the power loss in the ac cable connecting the inverter to the grid, say switchboard where it is connected.

## NEC

**In the United States, the relevant codes and standards include:**

- Electrical Codes-National Electrical Code (NEC) Article 690: Solar
- Photovoltaic Systems and NFPA 70
- Uniform Solar Energy Code
- Building Codes- ICC, ASCE 7
- UL Standard 1701; Flat Plat Photovoltaic Modules and Panels
- IEEE 1547, Standards for Interconnecting distributed



### 15-3. Solar inverters use maximum power point tracking (MPPT) to get the maximum possible power from the PV array.

Resources with Electric Power Systems

- UL Standard 1741, Standard for Inverter, converters, Controllers and Interconnection System Equipment for use with Distributed Energy Resources

#### PV inverters

PV inverters convert the PV panel's dc output into a utility-frequency ac that can be fed into a commercial electrical grid or used by a local, off-grid electrical network. It, allows use of ordinary ac-powered equipment. Solar inverters have special functions adapted for use with photovoltaic arrays, including maximum power-point tracking and anti-islanding protection.

Solar inverters use maximum power-point tracking (MPPT) to get the maximum possible power from the PV array (Fig. 15-3). Solar cells have a complex relationship between solar irradiation, temperature, and total resistance that produces a nonlinear output efficiency. The MPPT system samples the output of the cells and determines a resistance (load) that obtains maximum power for any given environmental conditions.

The fill factor (FF) is a parameter which, in conjunction with the open-circuit voltage ( $V_{OC}$ ) and short circuit current ( $I_{SC}$ ) of the panel, determines the maximum power from a solar cell. Fill factor is defined as the ratio of the maximum power from the solar cell to the product of  $V_{OC}$  and  $I_{SC}$ .

#### ADSP-CM41x

Analog Devices' ADSP-CM41x power-conversion platform employs a series of mixed-signal control processors

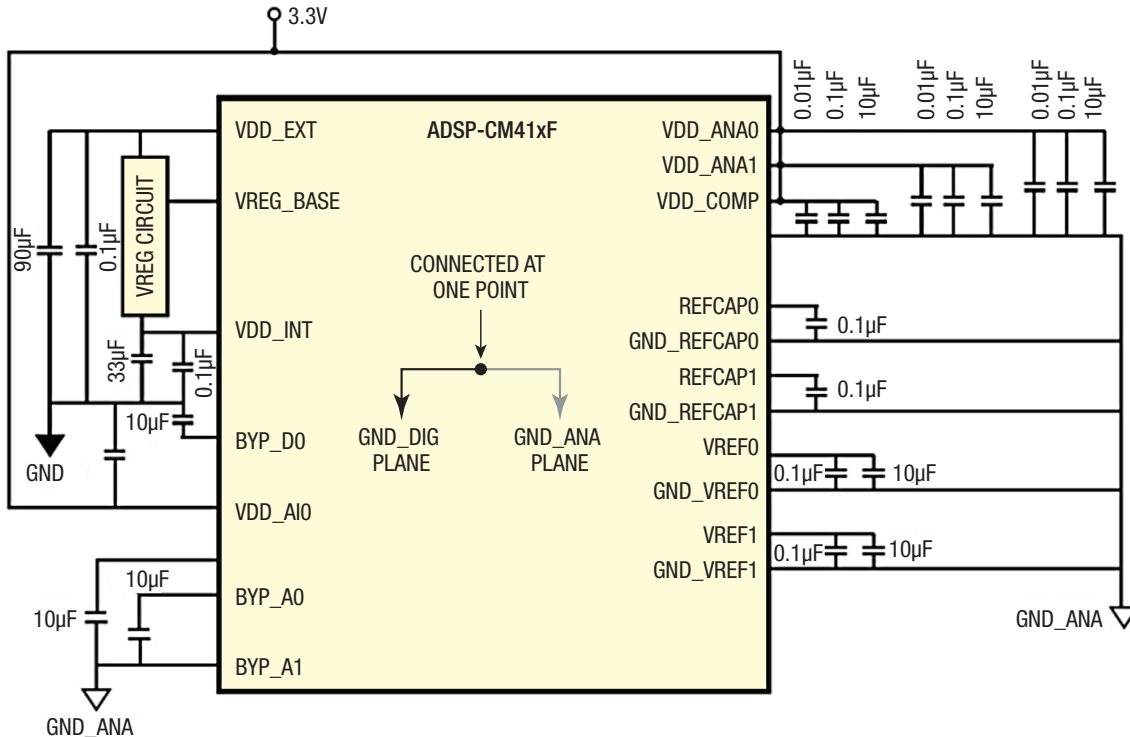
intended to simplify system design, lower cost, and improve efficiency and safety in solar, energy storage, and electric vehicle infrastructure (Fig. 15-4). Solar panels and battery systems have a need for inverter technologies to drive the next wave of efficiencies in solar energy. Although disruptive new inverter designs are making improvements in size, weight, and cost reductions, they require further advances in digital processing to unlock their full potential. The ADSP-CM41x control processors represent a breakthrough in power conversion design, with an unmatched level of hardware integration specifically tailored to solar and other emerging energy applications. By alleviating the need for complex external circuitry, the ADSP-CM41x control processors deliver design time and cost reductions, safety improvements, and the precision gains intended to maximize the impact of new inverter designs.

Central to the ADSP-CM41x design is its breakthrough "dual independent core" safety concept, which enables the integration of safety redundancy and functions into a single chip. This first-ever architecture saves considerable development time and system cost by eliminating the need for an external supervisory element, which is the current standard. Equally important is the on-board integration of optimized hardware accelerators, designed to offload work from the processor core and boost the processing power available for core functions. Additionally, the device's on-board arc fault detection simplifies design, and enhances safety by using intelligent decision making to improve reliability and accuracy.

Building upon an already state-of-the-art power-conversion platform, the ADSP-CM41x establishes a new benchmark in ARM core processing and analog precision, adding to the industry performance established by the ADSP-CM40x power conversion series. The ADSP-CM41x series seamlessly integrates with other critical signal-chain components, including the AD740x sigma delta-based A/D converter, which replaces larger, more expensive sensor modules to reduce system cost and improve isolated current measurement. Also included in the platform is the ADuM413x series of isolated gate drivers featuring iCoupler isolation technology that enables faster switching to further increase system efficiency.

The ADSP-CM41xF family of mixed-signal control processors is based on the ARM Cortex-M4™ processor core with floating point unit operating at frequencies up to 240 MHz, and the ARM Cortex-M0™ processor core operating





**15-4. ADSP-CM41x (Analog Devices) power conversion platform employs a series of mixed-signal control processors intended to simplify system design, lower cost, and improve efficiency and safety in solar, energy storage, and electric vehicle infrastructure.**

at frequencies up to 100 MHz. The processors integrate up to 160K Bytes of SRAM memory with ECC, up to 1M Byte of flash memory with ECC, accelerators and peripherals optimized for motor control and photovoltaic inverter control, and an analog module consisting of up to two 16-bit SAR-type ADCs, one 14-bit ADC and one 12-bit DAC. The ADSP-CM41xF family operates from a single voltage supply, generating its own internal voltage supplies using internal voltage regulators and an external pass transistor.

By integrating a rich set of industry-leading system peripherals and memory, the ADSP-CM41xF mixed-signal control processors are the platform of choice for next-generation applications that require RISC programmability and leading-edge signal processing in one integrated package.

### Micro-Inverter

A micro-inverter converts dc from a single solar module to ac. The output from several micro-inverters is combined and often fed to the electrical grid. Micro-inverters contrast with conventional string and central solar inverters, which are connected to multiple solar modules or panels of the PV system.

Micro-inverters' main advantage is that small amounts of shading, debris, or snow lines on any one solar module, or even a complete module failure, do not disproportionately

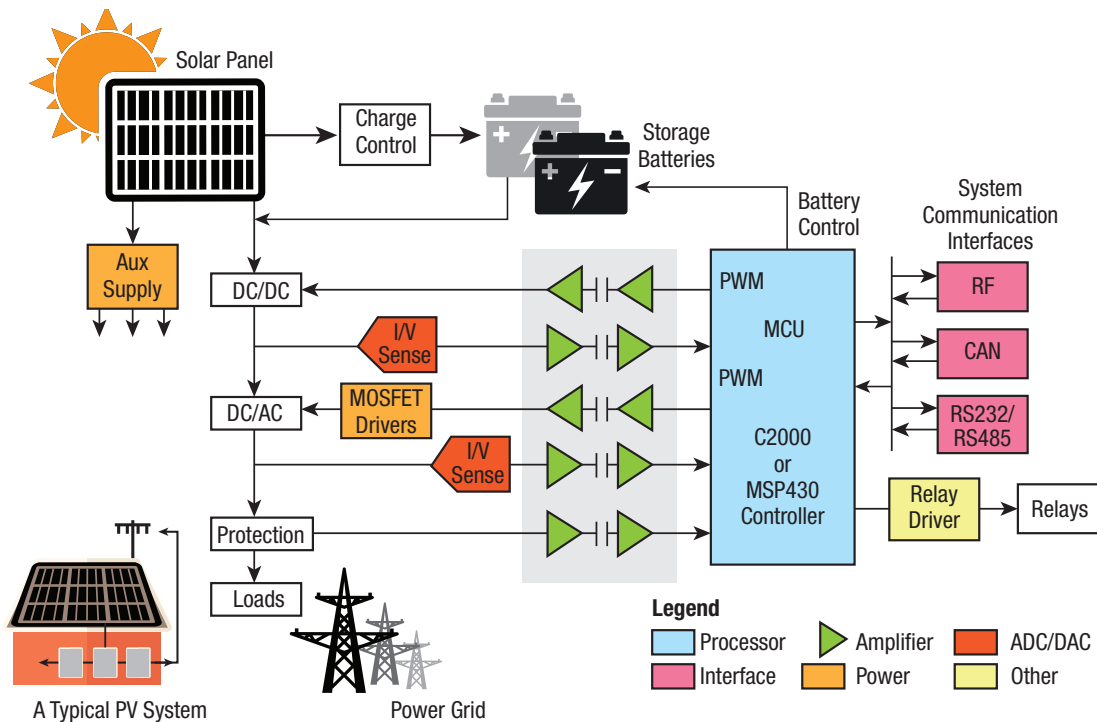
reduce the output of the entire array. Each micro-inverter harvests optimum power by performing maximum power-point tracking for its connected module. Simplicity in system design, simplified stock management, and added safety are other factors introduced with the micro-inverter solution.

The primary disadvantages of a micro-inverter include a higher initial equipment cost per peak watt than the equivalent power of a central inverter, and increased installation time since each inverter needs to be installed adjacent to a panel (usually on a roof).

This also makes them harder to maintain and more costly to remove and replace (O&M). Some manufacturers have addressed these issues with panels with built-in micro-inverters.

The main problem with the "string inverter" approach is the string of panels acts as if it were a single larger panel with a max current rating equivalent to the poorest performer in the string. For example, if one panel in a string has 5% higher resistance due to a minor manufacturing defect, the entire string suffers a 5% performance loss. This situation is dynamic. If a panel is shaded its output drops dramatically, affecting the output of the string, even if the other panels are not shaded. Even slight changes in orientation can cause output loss in this fashion. However, this effect is not entirely accurate and ignores the complex interaction between modern string inverter maximum power point tracking and even module bypass diodes.

Efficiency of a panel's output is strongly affected by the load the inverter places on it. To maximize production, inverters use a technique called maximum power point tracking (MPPT) to ensure optimal energy harvest by adjusting the applied load. However, the same issues that cause output to vary from panel to panel affect the proper load that the MPPT system should apply. If a single panel operates at a different point, a string inverter can only see the overall change, and moves the MPPT point to match. This results



**15-5. Conventional power converter architecture includes a solar inverter, which accepts the low dc output voltage from a photovoltaic array and produces an ac line voltage.**

in not just losses from the shadowed panel, but the other panels, too. Shading of as little as 9% of the surface of an array can, in some circumstances, reduce systemwide power as much as 54%. However, as stated above, these yearly yield losses are relatively small and newer technologies allow some string inverters to significantly reduce the effects of partial shading.

Other challenges associated with centralized inverters include the space required to locate the device, as well as heat dissipation requirements. Large central inverters are typically actively cooled. Cooling fans make noise, so location of the inverter relative to offices and occupied areas must be considered. And because cooling fans have moving parts, dirt, dust, and moisture can negatively affect their performance over time. String inverters are quieter but might produce a humming noise in late afternoon when inverter power is low.

### Grid-Tie Inverter

A grid-tie inverter is a power inverter that converts dc into ac with an ability to synchronize its interface with a utility line. Its applications are converting dc sources such as solar panels or small wind turbines into ac for tying with the grid. Fig. 15-5 shows a diagram of a grid-tie inverter installation.

The grid-tie inverter (GTI) must synchronize its fre-

quency with that of the grid (50 or 60 Hz) using a local oscillator and limit the voltage to no higher than the grid voltage. A high-quality modern GTI has a fixed-unity power factor, which means its output voltage and current are perfectly lined up, and its phase angle is within 1 deg. of the ac power grid. The inverter has an on-board computer that senses the current AC grid waveform, and outputs a voltage to correspond with the grid. However, supplying reactive power to the grid might be necessary to keep the voltage in the local grid inside allowed limitations. Otherwise, in a grid segment with considerable power from renewable sources, voltage

levels might rise too much at times of high production.

Grid-tie inverters must quickly disconnect from the grid if the utility grid goes down. This is an NEC requirement that ensures that in the event of a blackout, the grid tie inverter will shut down to prevent the energy it transfers from harming any line workers who are sent to fix the power grid.

Technologies available to grid-tie inverters include newer high-frequency transformers, conventional low-frequency transformers, or they may operate without transformers altogether. Instead of converting direct current directly to 120 or 240 volts ac, high-frequency transformers employ a computerized multi-step process that involves converting the power to high-frequency ac and then back to dc and then to the final ac output voltage.

Most grid-tie inverters include a maximum power point tracker on the input side that enables the inverter to extract a maximum amount of power from its intended power source. Since MPPT algorithms differ for solar panels and wind turbines, specially made inverters for each of these power sources are available.

A charge controller may be used to power dc equipment with solar panels. The charge controller provides a regulated dc output and stores excess energy in a battery as well as monitoring the battery voltage to prevent under/overcharging. More expensive units also perform maximum power point tracking.

Inverter manufacturer datasheets generally include the following information:

- **Rated output power in watts or kilowatts:** some inverters may provide an output rating for different output voltages.
- **Output voltage(s):** indicates to which utility voltages the inverter can connect and may also produce three phase power.
- **Peak efficiency:** represents the highest efficiency that the inverter can achieve.
- **CEC weighted efficiency:** this efficiency is published by the California Energy Commission on its GoSolar website. This is an average efficiency and is a better representation of the inverter's operating profile.
- **Maximum input current:** maximum dc the inverter can use.
- **Maximum output current:** The maximum continuous ac that the inverter will supply.
- **Peak power tracking voltage:** dc voltage range in which the inverter's maximum point power tracker will operate.
- **Start voltage:** indicates the minimum dc voltage that is required in order for the inverter to turn on and begin operation.
- **IPxx rating:** the Ingress Protection rating or IP Code classifies and rates the level of protection provided against the ingress of solid foreign objects (first digit) or water (second digit), a higher digit means greater protection. In the United States, the NEMA enclosure type is used similarly to the international rating.

Balance of system equipment (BOS) includes mounting systems and wiring systems used to integrate the solar modules into the structural and electrical systems of the home. The wiring systems include disconnects for the dc

and ac sides of the inverter, ground-fault protection, and overcurrent protection for the solar modules. Most systems include a combiner board of some kind since most modules require fusing for each module source circuit. Some inverters include this fusing and combining function within the inverter enclosure. ⏻

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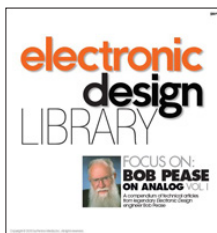
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## CHAPTER 16:

# WIND POWER

**W**ind power, as an alternative to burning fossil fuels, is plentiful, renewable, widely distributed, clean, produces no greenhouse gas emissions during operation, and uses little land. The net effects on the environment are far less problematic than those of nonrenewable power sources.

Wind power is very consistent from year to year, but has significant variation over shorter time scales. It is therefore used in conjunction with other electric power sources to give a reliable supply. As the proportion of wind power in a region increases, a need to upgrade the grid, and a lowered ability to supplant conventional production can occur.

Wind farms consist of many individual wind turbines connected to the electric power transmission network (Fig. 16-1). Onshore wind is an inexpensive source of electricity, competitive with or in many places cheaper than coal or gas plants. Offshore wind is steadier and stronger than on

land, and offshore farms have less visual impact, but construction and maintenance costs are considerably higher. Small onshore wind farms can feed some energy into the grid or provide electricity to isolated off-grid locations.

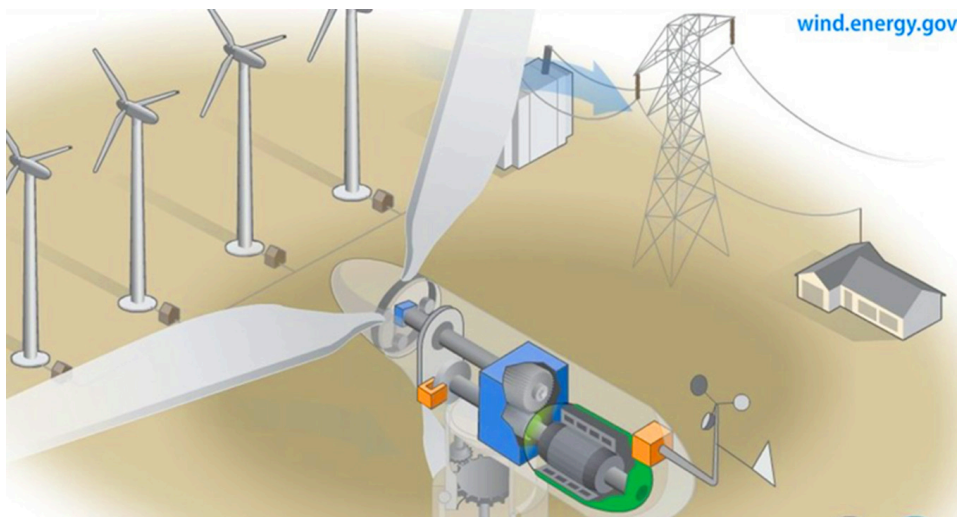
Wind turbines (Fig. 16-2) operate on a simple principle. The energy in the wind turns two or three propeller-like blades around a rotor. The rotor is connected to the main shaft, which spins a generator to create electricity. The wind turns the blades, which spin a shaft, which connects to a generator and makes electricity.

A typical electrical circuit for a wind-turbine installation includes generator, storage batteries, and charge controller. The ac output normally goes to a local transformer station (that collects all the turbines' outputs), it is then transformed to a higher voltage and transmitted via a cable or overhead line to an infeed point (another transformer station), where the connection to the normal power grid is made. When being connected to the grid, this voltage must be synchronized with the utility grid.



16-1. Typical wind farm.





**16-2. Typical wind turbine.**

Wind is actually a form of solar energy and is a result of the uneven heating of the atmosphere by the sun, the irregularities of the earth's surface, and the rotation of the earth. Wind flow patterns and speeds vary greatly across the United States and are modified by bodies of water, vegetation, and differences in terrain. Humans use this wind flow, or motion energy, for many purposes: sailing, flying a kite, and even generating electricity.

Horizontal-axis wind turbines typically either have two or three blades. These three-bladed wind turbines are operated "upwind," with the blades facing into the wind.

Utility-scale turbines range in size from 100 kilowatts to as large as several megawatts. Larger wind turbines are more cost-effective and are grouped together into wind farms, which provide bulk power to the electrical grid. In recent years, there has been an increase in large offshore wind installations in order to harness the huge potential that wind energy offers off the coasts of the U.S.

Single small turbines, below 100 kilowatts, are used for homes, telecommunications dishes, or water pumping. Small turbines are sometimes used in connection with diesel generators, batteries, and photovoltaic systems. These systems are called hybrid wind systems and are typically used in remote, off-grid locations, where a connection to the utility grid is not available.

Today, most turbines use variable speed generators combined with partial- or full-scale power converter between the turbine generator and the collector system, which generally have more desirable properties for grid interconnection and have low-voltage ride-through capabilities. Modern concepts use either doubly fed machines with partial-scale converters or squirrel-cage induction generators or synchronous generators (both permanently and electrically excited) with full-scale converters.

Power-management techniques such as having excess capacity, geographically distributed turbines, dispatchable backing sources, sufficient hydroelectric power, exporting and importing power to neighboring areas, using vehicle-to-grid strategies or reducing demand when wind production is low, can in many cases overcome these problems. In addition, weather forecasting permits the electricity network to be readied for the predictable variations in production that occur.

In a wind farm, individual turbines are interconnected with a medium voltage (often 34.5 kV), power-collection system, and communications network. At a substation, this medium-voltage

electric current is increased in voltage with a transformer for connection to the high-voltage electric power transmission system.

One of the biggest current challenges to wind-power-grid integration in the United States is the necessity of developing new transmission lines to carry power from wind farms, usually in remote, lowly populated states in the middle of the country due to availability of wind, to high-load locations, usually on the coasts where population density is higher. The current transmission lines in remote locations were not designed for the transport of large amounts of energy. As transmission lines become longer, the losses associated with power transmission increase, as modes of losses at lower lengths are exacerbated and new modes of losses are no longer negligible as the length is increased, making it harder to transport large loads over large distances.

However, resistance from state and local governments makes it difficult to construct new transmission lines. Multi-state power-transmission projects are discouraged by states with cheap electricity rates for fear that exporting their cheap power will lead to increased rates. A 2005 energy law gave the Energy Department authority to approve transmission projects states refused to act on, but after an attempt to use this authority, the Senate declared the department was being overly aggressive in doing so. Another problem is that wind companies find out after the fact that the transmission capacity of a new farm is below the generation capacity, largely because federal utility rules to encourage renewable energy installation allow feeder lines to meet only minimum standards. These are important issues that need to be solved, as when the transmission capacity does not meet the generation capacity, wind farms are forced to produce below their full potential or stop run-



**16-3. Offshore wind farm.**

ning all together, in a process known as curtailment. While this leads to potential renewable generation left untapped, it prevents possible grid overload or risk to reliable service.

### Offshore Wind Power

The near-term technology is still immature, which is an obstacle to offshore wind development (Fig. 16-3). High cost of wind energy can, in part, be addressed directly with technology innovations that increase reliability and energy output and lower system capital expenses. The current technology limits the domain for offshore machines to shallow-water sites at a cost premium that is reflective of the industry's early state. New technology is needed to lower costs, increase reliability and energy production, solve regional deployment issues, expand the resource area, develop infrastructure and manufacturing facilities, and mitigate known environmental impacts. Because of the high up-front investment costs required to explore new technology innovation and the long timeline that is usually required to reap the full benefits of high-risk game-changing innovations, many companies may not be motivated to invest in

R&D for offshore wind.

Shallow water is defined in this study as between 0 m and 30 m. This definition captures the water depth of most of the projects installed today, as well as the bulk of industry experience. Transitional depths range between 30 m and 60 m. Beyond 60 m in depth, several floating concepts derived from the oil and gas industry have been developed.

As a caution, note that the above-water depth bands for shallow, transitional, and deep water are specific to offshore wind turbines and are not derived from the oil and gas vocabulary, where deep water can mean 2,000 m or more. In addition, these depth bands only approximate the break points for the three technologies, but not enough experience exists to know if they are chosen accurately. They serve as good guides, though, for estimating the resource and the need to develop new solutions.

As water depth increases, the cost of offshore substructures is likely to increase because of the added complexity of design, fabrication, and installation, as well as the additional materials needed below the waterline. Rising costs resulting from water depth may appear in stages as technology limits are reached. Industry trends indicate that technology solutions might be able to mitigate these jumps for the specific site characteristics as

the industry gains experience.

A transmission line is required to bring the generated power to (often remote) markets. For an off-shore plant, this may require a submarine cable. Construction of a new high-voltage line may be too costly for the wind resource alone, but wind sites may take advantage of lines installed for conventionally fueled generation.

### Capacity Factor

Since wind speed is not constant, a wind farm's annual energy production is never as much as the sum of the generator nameplate ratings multiplied by the total hours in a year. The ratio of actual productivity in a year to this theoretical maximum is called the capacity factor. Typical capacity factors are 15% to 50%; values at the upper end of the range are achieved in favorable sites and are due to wind turbine design improvements.

Unlike fueled generating plants, the capacity factor is affected by several parameters, including the variability of the wind at the site and the size of the generator relative to the turbine's swept area. A small generator would be

cheaper and achieve a higher capacity factor but would produce less electricity (and thus less profit) in high winds. Conversely, a large generator would cost more but generate little extra power and, depending on the type, may stall out at low wind speed. Thus an optimum capacity factor of around 40% to 50% would be aimed for.

## Penetration

Wind energy penetration refers to the fraction of energy produced by wind compared with the total generation. There is no generally accepted maximum level of wind penetration. The limit for a particular grid will depend on the existing generating plants, pricing mechanisms, capacity for energy storage, demand management, and other factors. An interconnected electricity grid will already include reserve generating and transmission capacity to allow for equipment failures. This reserve capacity can also serve to compensate for the varying power generation produced by wind stations. Studies have indicated that 20% of the total annual electrical energy consumption may be incorporated with minimal difficulty. These studies have been for locations with geographically dispersed wind farms, some degree of dispatchable energy or hydropower with storage capacity, demand management, and interconnected to a large grid area enabling the export of electricity when needed. Beyond the 20% level, there are few technical limits, but the economic implications become more significant. Electrical utilities continue to study the effects of large scale penetration of wind generation on system stability and economics.

A wind energy penetration figure can be specified for different durations of time, but is often quoted annually. To obtain 100% from wind annually requires substantial long-term storage or substantial interconnection to other systems which may already have substantial storage. On a monthly, weekly, daily, or hourly basis—or less—wind might supply as much as or more than 100% of current use, with the rest stored or exported. Seasonal industry might then take advantage of high wind and low usage times such as at night when wind output can exceed normal demand. Such industry might include production of silicon, aluminum, steel, or of natural gas, and hydrogen, and using future long term storage to facilitate 100% energy from variable renewable energy.

## Variability

Electricity generated from wind power can be highly variable at several different timescales: hourly, daily, or seasonally. Annual variation also exists, but is not as significant. Because instantaneous electrical generation and consumption must remain in balance to maintain grid stability, this variability can present substantial challenges

to incorporating large amounts of wind power into a grid system. Intermittency and the non-dispatchable nature of wind energy production can raise costs for regulation, incremental operating reserve, and (at high penetration levels) could require an increase in the already existing energy demand management, load shedding, storage solutions or system interconnection with HVDC cables.

Wind power is variable, and during low wind periods it must be replaced by other power sources. Transmission networks presently cope with outages of other generation plants and daily changes in electrical demand, but the variability of intermittent power sources such as wind power, are unlike those of conventional power generation plants, which, when scheduled to be operating, may be able to deliver their nameplate capacity around 95% of the time.

Presently, grid systems with large wind penetration require a small increase in the frequency of usage of natural gas spinning reserve power plants to prevent a loss of electricity in the event that conditions are not favorable for power production from the wind. At lower wind-power-grid penetration, this is less of an issue. Conversely, on particularly windy days, even with penetration levels of 16%, wind-power generation can surpass all other electricity sources in a country.

The combination of diversifying variable renewables by type and location, forecasting their variation, and integrating them with dispatchable renewables, flexible fueled generators, and demand response can create a power system that has the potential to meet power supply needs reliably. Integrating ever-higher levels of renewables is being successfully demonstrated in the real world.

## High-Altitude Wind Power


Considering all costs, airborne wind energy could be the world's cheapest energy source. (Possible exceptions are limited hydro sources and limited situations where surface-based wind turbines may be the most economic for supplying relatively local needs.)

High-energy winds are at altitudes high above us, not just at a few hundred feet where they can be tapped by tower-based turbine rotors. Airborne Wind Energy technologies will employ tethered wind energy capture devices that “fly” to these altitudes where wind power is much greater than it is at ground level.

There are several groups developing Airborne Wind Energy (AWE) technologies intended for use up to 2,000 ft. above ground level (AGL) and others intended for use at altitudes greater than 2,000 ft. AGL. Some technologies might be able to bridge this segmentation, but not always in the exact incarnations for above and below that altitude. The 2,000 ft. was chosen because that is the altitude above which the FAA is not currently interested in approving what



it considers to be “obstructions.” AWE technologies can be flown higher outside the 12 nautical mile limit off the coast into international airspace, but still in the U.S. “economic zone.”

Still to be demonstrated is an efficient approach for transmitting power from a high-altitude wind source to the ground where it can be used. The voltage across the connecting cable would be too high using conventional methods. 

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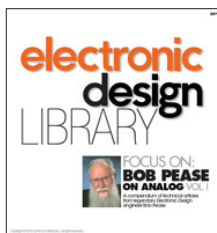
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## CHAPTER 17: ENERGY STORAGE

The book *Solar Energy Storage* by Brent Sorensen notes that “electricity generated from intermittent sources requires efficient advanced electricity storage systems (ESSs). Electrical energy storage refers to the process of converting electrical energy from a power network into a form that can be stored and then converted to electrical energy when needed. Such a process enables electricity to be produced at times of either low demand or low generation cost, or from intermittent renewable energy sources, and to be used at times of high demand, high generation cost, or when no other generation means is available.”

Sorensen notes that energy storage is crucial for increasing the share of energy from renewable energy source (RES) generators. This especially applies to energy from the sun and wind, which is characterized by intermittence. However, the problem with some of the present energy storage technologies is that they can be stored for a relatively small amount of energy, and in that sense a relatively short period of time to balance intermittent energy from RES generators (i.e., hourly, daily up to a maximum weekly value).

Energy storage materially improves the stability and predictability of renewable energy. It allows higher penetration of renewables for distributed (commercial and industrial), micro-grid, and utility-scale applications where higher electricity prices, unstable grids, and lower regulatory hurdles provide a needed storage environment. Combining batteries with renewable energy can reduce diesel consumption by 75% and

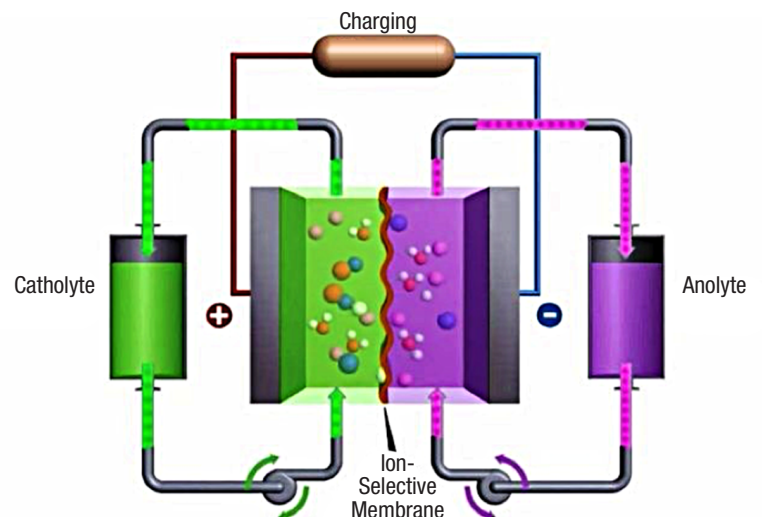
reduces the cost of electricity by 40% to 50% over diesel generation alone.

### Flow-Battery Energy-Storage System

ViZn Energy Systems Inc. (ViZn) has bolstered its proprietary flow-battery technology to enhance the capabilities, reliability, and lifetime of all next-generation flow-battery systems for multi-megawatt energy storage applications. Designated Vanguard II, the battery stack further expands the operating range of ViZn’s systems by enhancing the fast switching and high power cycling capabilities required for many applications, further maximizing ROI and providing industry-leading payback periods.

The Vanguard II battery stack has demonstrated 20% greater capacity and is immune to cycle-life degradation, providing more headroom to handle spikes in power requirements for demanding and unpredictable applications on both sides of the meter. All ViZn flow batteries incorporate the new Vanguard II stack control technology, which eliminates life-limiting issues such as dendrite growth, simplifies cell balancing, and removes thermal and electrolyte

**17-1. Simplified flow-battery system employed by ViZn. Pumps on the right and left bottom keep the zinc-iron electrolyte flowing.**





### 17-2. ViZn flow battery in 20'L x 8'W x 9'6"H enclosure

ViZn's zinc-iron redox storage technology provides large-scale energy storage. A modular unit is a 20- or 40-foot shipping container that can be combined and scaled to provide storage solutions for projects ranging from 100 kW to 100 MW. This technology provides safety, simplicity, and the use of abundant core non-toxic raw materials to achieve a 20-year expected life. Fig. 17-2 shows a typical ViZn flow battery package and Fig. 17-3 shows the internal construction. Table 17-1 lists the characteristics of a five-stack, Z20-5 battery.

breakdown issues associated with high frequency power switching. This unique multi-use capability is necessary for frequency regulation and other high power applications while adding value to longer duration storage. All of ViZn's systems are scalable, adding value for even the largest utility requirements. By interconnecting multiple units, both power and energy capabilities can be increased to offer utilities, as well as commercial and industrial customers the optimal fit for any size project.

All of ViZn's systems utilize the inherently safe, non-toxic, non-explosive zinc-iron electrolyte as shown in the simplified system in Fig. 17-1. The ViZn battery is a redox type, which is a contraction of the terms reduction and oxidation. Redox flow batteries usually employ two electrolytes, acting as liquid energy carriers that are pumped simultaneously through the two half-cells of the reaction cell separated by a membrane. On charging, the electrical energy supplied causes a chemical reduction reaction in one electrolyte and an oxidation reaction in the other. The ion-selective membrane between the half-cells prevents the electrolytes from mixing but allows selected ions to pass through to complete the redox reaction. On discharge, the chemical energy contained in the electrolyte is released in the reverse reaction and electrical energy can be drawn from the electrodes. When in use, the electrolytes are continuously pumped in a circuit between reactor and storage tanks.

High-power flow batteries use multiple stacks of cells. The size and number of electrodes in the cell stacks is fixed and determines the system's power rating. An advantage of this system is that it provides electrical storage capacity, limited by the capacity of the electrolyte storage reservoirs. Facilitating thermal management is use of the electrolytes as the thermal working fluids as they are pumped through the cells.

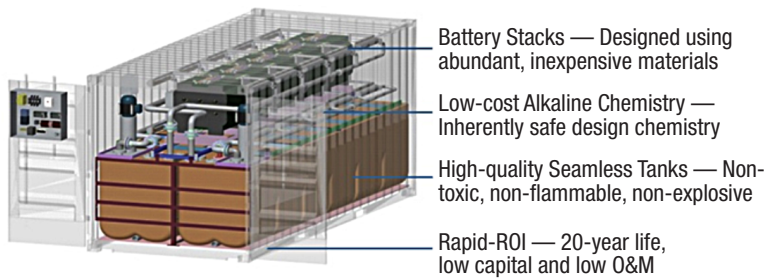
Flow batteries are typically sized for power by the physical dimensions of the electrodes. The next-generation flow batteries have greatly increased the ability to deliver power while maintaining the long duration energy capacity.

### Battery Stores Wind or Solar Power

Renewable energy power systems can take advantage of Axion's proprietary PbC battery technology for short-term power storage. In a solar installation, the solar panels charge the batteries whose output powers a dc-to-ac inverter that provides a tightly controlled frequency and

TABLE 17-1. Z20-5 ENERGY STORAGE UNIT (ESU) SPECIFICATIONS (5 STACKS)

| Parameter  | Description                                 |
|--|---|
| Exterior dimensions                                | 20'L x 8'W x 9'6"H                          |
| ESU weight dry/wet                                 | 18,000/50,000 lbs.                          |
| Nominal power                                      | 28kW  |
| Energy at nominal power                            | 160kWh                                      |
| Duration at nominal power                          | 5.65 hrs                                    |
| Maximum power                                      | 80kW  |
| Energy at max. power (continuous charge/discharge) | 125 kWh (160kWh at nominal power)           |
| Duration of max. power                             | 1.5 hrs                                     |
| Duty cycle up to 2x power = continuous             | Duty cycle at 2.8x power = 25%              |
| Nominal dc voltage range (min/max)                 | 40/60 VDC                                   |
| Nominal dc current range                           | 470 – 700A                                  |
| Max. dc current range                              | 1,320 – 2,000A                              |
| ESU efficiency                                     | 74% at nominal power                        |
| Stack efficiency                                   | 90% at nominal power, 80% at max. power     |
| Auxiliary power required                           | 208 VAC, 60Hz, 3-phase                      |
| Communication                                      | USB, 485, Modbus Ethernet                   |
| Humidity   | 5 – 95% (non-condensing)                    |
| Safety/regulatory                                  | Designed using industry-standard guidelines |



### 17-3. Internal construction of a ViZn flow battery.

the electrodes

- Developing proprietary designs and manufacturing techniques for electrode assemblies
- Fabricating a series of material and design evaluation prototypes ranging from single-cell to multi-cell batteries.

amplitude output to the utility grid. Compared with conventional lead-acid batteries, **PbC batteries used with a solar or wind power system offer:**

- Faster recharge rates
- Greater charge acceptance
- Longer cycle lives in deep discharge applications
- Minimal maintenance

Shown in Fig. 17-4, the Axion battery is a multi-celled asymmetrically supercapacitive lead-acid-carbon hybrid battery. Like the conventional lead-acid battery, the Axion battery consists of a series of cells. Within its individual cells, however, construction is more complex. Negative electrodes in conventional lead-acid batteries have simple sponge lead plates. In contrast, the Axion's negative electrodes are five-layer structures consisting of a carbon electrode, corrosion barrier, current collector, second corrosion barrier, and second carbon electrode. These electrode structures are sandwiched together with conventional separators and positive electrodes and its cells are connected in series. The battery is filled with an acid electrolyte and is completely sealed.

Since 2004, laboratory prototypes of Axion's PbC batteries have undergone extensive testing. The test protocol involved a complete charge-discharge cycle every seven hours to a 100% depth of discharge. During testing, laboratory prototypes withstood more than 2,500 cycles before failure. In comparison, most conventional lead-acid batteries intended for deep discharge applications are only able to survive 400 to 600 cycles under similar operating conditions.

More than eight years were devoted to R&D on various aspects of this technology. **Work focused on optimizing the design, including:**

- Characterizing baseline performance
- Developing proprietary treatment processes for the activated carbon used in

Conventional lead-acid batteries consist of two electrodes: a positive electrode made of lead dioxide ( $\text{PbO}_2$ ) and a negative electrode made of sponge lead (Pb). Both the lead dioxide and sponge lead materials are pasted onto lead grids with conventional separators placed between cells that are connected in series.

The PbC battery is a hybrid device that uses the standard lead acid battery positive electrode and a supercapacitor negative electrode made of activated carbon. The specific type of activated carbon has an extremely high surface area ( $1500 \text{ m}^2/\text{g}$ ) and has been specifically formulated for use in electrochemical applications. During charge and discharge, the positive electrode undergoes the same chemical reaction that occurs in a conventional lead acid battery, i.e., lead dioxide reacts with acid and sulphate ions to form lead sulphate and water. The main difference in the PbC battery is the replacement of the lead negative electrode with an activated carbon electrode that does not undergo a chemical reaction at all. Instead, the very high surface area activated carbon electrode stores the protons ( $\text{H}^+$ ) from the acid in a layer on the surface of the electrode.

With conventional lead-acid batteries, the concentration of acid changes from being very concentrated in the charged state to somewhat diluted in the discharged state as the acid is converted to water. In contrast, the PbC battery stores  $\text{H}^+$  in the negative electrode in the fully charged

### 17-4. Cross-section of a PbC battery.

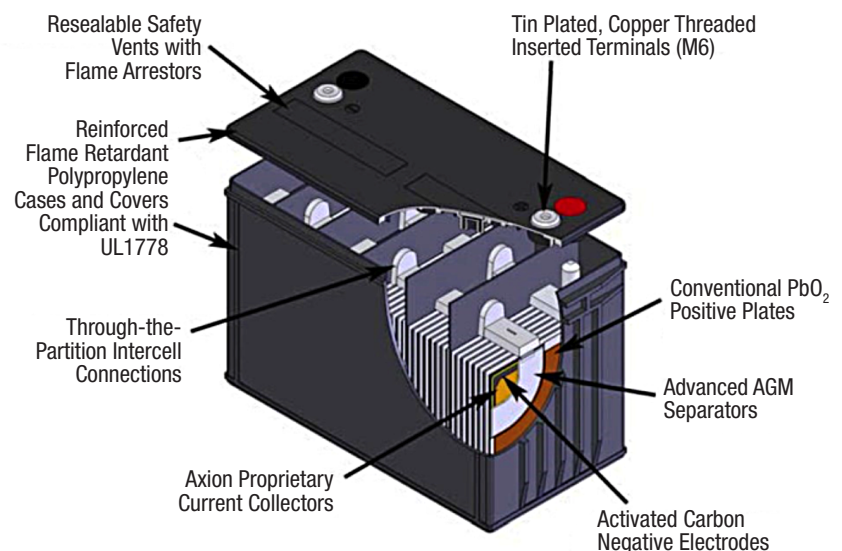




TABLE 17-2. DESIGN FEATURES OF AXION'S PBC TECHNOLOGY

| Feature             | Remarks   |
|---------------------|---|
| Rapid recharge      | Well-suited to capture the intermittent power generated by renewable energy producers, resulting in improved performance, productivity, reliability, and profitability.   |
| Heavy-duty design   | Batteries for renewable energy designed and built for maximum energy storage and very long useful lives.  |
| Minimal maintenance | Sealed unit and requires virtually no maintenance, resulting in low operating costs.  |
| Sustainability      | You can recycle existing lead-acid batteries, allowing the lead, plastic, and acid to be reused in the new PbC batteries.   |
| Manufacturability   | Designed to be manufactured in any of the dozens of existing lead-acid battery facilities in the U.S. as well as the hundreds of others worldwide. This eliminates the need for hundreds of millions of dollars in capital to build new advanced battery facilities in the U.S. Therefore, existing equipment can be utilized and it will not require new investments to manufacture the batteries. |

utility network, one of the nation's largest power transmission organization serving more than 58 million people in all or parts of 13 states. Set up as a demand response and frequency regulation asset, Axion developed and tweaked a working model in a real-world setting utilizing the PowerCube's ability to participate 24/7 in the PJM frequency regulation application.

Following the initial installation, Axion received a follow-on order for four more PowerCube energy storage systems. The Cubes will provide storage for energy created by a commercial solar panel system and provide power storage and frequency regulation on the PJM grid. In addition, ancillary circuits provide seamless switching between the output of the solar-inverter and the utility's generators. The new order includes batteries, racks, wiring, a data

communication system, and the electronics coordination needed to outfit and install the PowerCubes. Each of the PowerCubes will be tied to solar arrays that produce between 500kW and 700kW and the PowerCubes will each provide 500kW (both 500kW up and 500kW down) for frequency regulation.

#### 17-5. PowerCube with PbC batteries providing 1MW for 30 minutes.



state, which moves to the positive electrode during discharge where they are neutralized to form water. The result is reduced acid concentration swings from the charged to discharged state, reducing grid corrosion on the positive electrode, leading to longer positive electrode life.

The rapid recharge and deep discharge capacity of the PbC battery are well-suited for intermittent power sources like wind and solar. When coupled with the ability to deliver longer cycle lives with minimal maintenance, grid connected systems based on our PbC technology will offer a greater total number of useful cycle lives. If battery cost per charge/discharge cycle is low enough, peak shaving and grid buffering for traditional utilities may also be cost effective. Table 17-2 lists the PbC battery's design features.

Axion has also developed the PowerCube, a mobile energy storage system using multiple PbC batteries that can be configured to deliver up to 1 MW for 30 minutes or 100 kW for 10 hours (Fig. 17- 5).

### Solar Installation

For more than two and a half years, Axion's 500kW PowerCubes have been connected into the PJM grid

### Li-ion Batteries Compete

According to Marianne Boust, principal analyst, IHS Technology, massive cost reductions have permitted Li-ion technology to surpass lead-acid batteries in energy storage. Li-ion is even challenging sodium sulfur and flow for long-duration storage. Li-ion is also gaining traction in the grid-scale market for longer duration, which has been historically dominated by sodium sulfur and flow batteries. However, flow-battery manufacturers are scaling up their ambitions and betting on superior lifetime of flow-battery technology. While equipment costs keep falling, policies favorable to energy storage are being implemented in a larger number of countries, driving up new demand in the power sector. ⏻

#### Related Articles

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2. Sam Davis, *Organic Mega Flow Battery Promises Breakthrough For Renewable Energy*, [powerelectronics.com](http://powerelectronics.com), February 2014.
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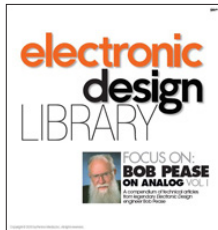
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## CHAPTER 18: ELECTRONIC LIGHTING

Electronic lighting using LEDs is one of today's most rapidly evolving technologies. The major reason for this growth is the development of white LEDs whose efficiency keeps increasing. By 2020, the U.S. Department of Energy (DoE) estimates that commercial LED lighting efficiency will be as high as 258 lumens per watt, or two and a half times as efficient as today's fluorescent lamps, resulting in 90% energy savings. By then, the DoE predicts the cost of LEDs will fall by 80% and global penetration will be 60%.

With an average lifespan of over 100,000 hours, LEDs last more than 10 times longer than any other light source. However, LED lifetimes are rated differently than incandescent lights that fail when the filament breaks. One definition for an LED's typical lifetime is the average number of hours until light falls to 70% of initial brightness.

The commercial LED marketplace calls for low-cost lighting, which requires minimal cost components. LEDs operate with a dc voltage so the incoming ac must be rectified and reduced to a low voltage for the LED driver. The LED power circuit can be non-isolated so it may not need an input transformer. However, there is one component that must be chosen carefully, the electrolytic or tantalum capacitor used in the rectifier circuit. Although the LED may have a long lifespan, the capacitor's lifetime will probably be shorter. Electrolytic capacitors have a tendency of drying out and failing. Therefore, the manufacturer must minimize

the capacitor's cost while selecting a capacitor with a long lifespan.

Heating is an important design issue for LEDs and other lighting devices. An incandescent bulb gives off 90% of its energy as heat, and a compact fluorescent bulb wastes 80% as heat. LEDs don't heat as much as other light sources,

but it is an issue that has required various heat-control techniques whose cost doesn't have a major impact on overall cost.

### White LEDs

AlGaInP is one of the two types of LED materials now used for lighting systems. The other is indium gallium nitride (InGaN). Slight changes in the composition of these alloys changes the color of the emitted light. AlGaInP produces red, orange, and yellow LEDs. InGaN produces green, blue, and white LEDs.

Emergence of AlGaInP and InGaN materials for LEDs made commercial LED lighting feasible. These two materials allowed white light to be produced by mixing LEDs from different parts of the lighting spectrum.

Now, there are two approaches to creating white light. One is to mix the light from several colored LEDs to create a spectral power distribution that appears white. By locating red, green, and blue LEDs adjacent to one another, and properly mixing the amount of their output, the resulting light appears white.

Another approach to generating white light is to use phosphors for a short-wavelength LED. When illuminated by a blue LED light, one phosphor emits yellow light with



**18-1. A new LED bulb from Cree is rated at 460 lumens for the 40-watt replacement and 815 lumens for the 60-watt replacement. Its two versions have a soft white (2700K) and daylight (5000K) color temperature.**

a broad spectral power distribution. The remaining blue light, when mixed with the yellow light, results in white light. Additional phosphors are being developed to produce soft white and bright white lighting.

**Improved LED Bulb**

Cree Inc. has introduced what it considers a better LED bulb, delivering an even better light with better performance, a longer life, and more energy savings (Fig. 18-1). The new Cree LED bulb is built to deliver true LED performance in color quality, light output, and dimming. It has an improved longer lifetime of over 27 years (30,000 hours), lasting as much as six times longer than some LED bulbs. Its proven 4Flow Filament Design, which ensures that it looks and lights like a traditional incandescent. The new bulb also provides consumers with a higher color rendering index of 83 to better display colors, true ENERGY STAR-compliant omnidirectional distribution for all-around light, and is fully dimmable with most standard dimmers and suitable for enclosed fixtures.

The new LED Bulb delivers 460 lumens for the 40-watt replacement and 815 lumens for the 60-watt replacement in soft white (2700K) and daylight (5000K) color temperatures inside a durable, shatterproof housing, and consumes up

to 85 percent less energy during its lifetime. The new Cree LED bulb has achieved the ENERGY STAR certification by meeting all the high-performance requirements.

**LED Drivers**

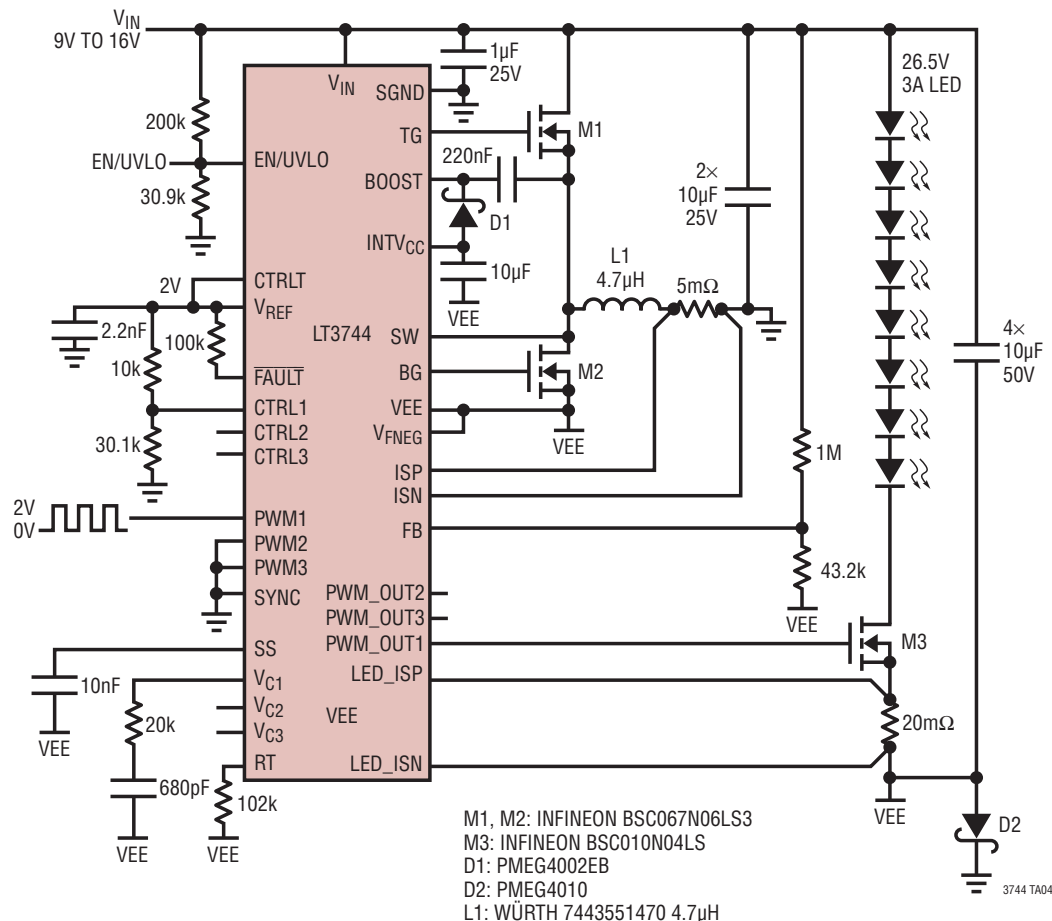
Today's drivers operate in either constant current and constant voltage modes, with a number of variations. Constant current drivers usually tend to be used when one driver is required per light. The current will remain the same, regardless of the number of LEDs. Constant voltage drivers are best for applications where the user requires flexibility with the number of LEDs connected to one power supply. As lamps are added, the current will increase to the maximum limit.

The LT3744 from Linear Technology is a synchronous step-down dc/dc converter that delivers constant current to drive high-current LEDs (Fig. 18-2). The LT3744 uses two external switching MOSFETs, delivering up to 20A (80W) of continuous LED current from a nominal 12V input. In pulsed LED applications, it can deliver up to 40A of LED current or 160 watts from a 12V input. Delivering efficiencies as high as 95%, it can eliminate the need for external heat sinking.

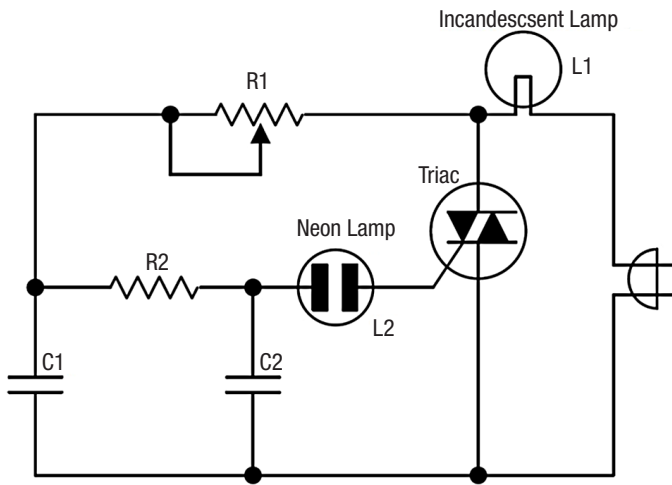
The LT3744's peak current mode controller maintains ±3% LED current regulation over a wide voltage range from

VEE to VIN. By allowing VEE to float to negative voltages, several LEDs can be driven from a single Li-Ion battery with a simple, single step-down output stage. Additionally, this enables a unique inverting step-down topology that allows a single common anode heat sink to be used for RGB LEDs. A frequency-adjust pin allows the user to program the frequency between 100 kHz and 1 MHz, optimizing efficiency while minimizing external component size. Combined with its 5mm × 6mm QFN package, the LT3744 offers a very compact 80-watt LED driver solution.

The LT3744 provides both PWM dimming and CTRL dimming, which offer 3,000:1 dimming capability for four LED current levels, ideal for color mixing applications, such as those required in DLP projectors. Similarly, its unique

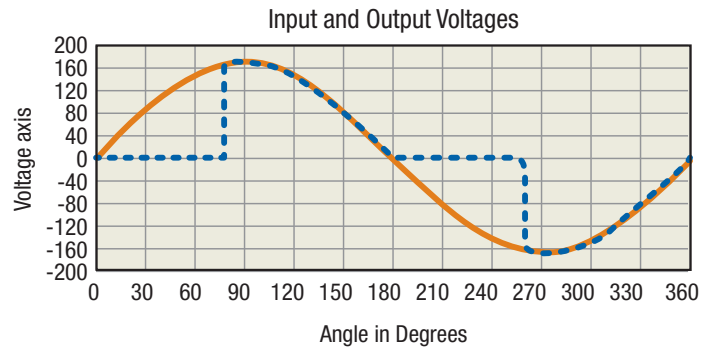


18-2. The LT3744 is burst-mode 3A LED driver with 98% efficiency.



18-3. Triac dimmer circuit for an incandescent lamp.

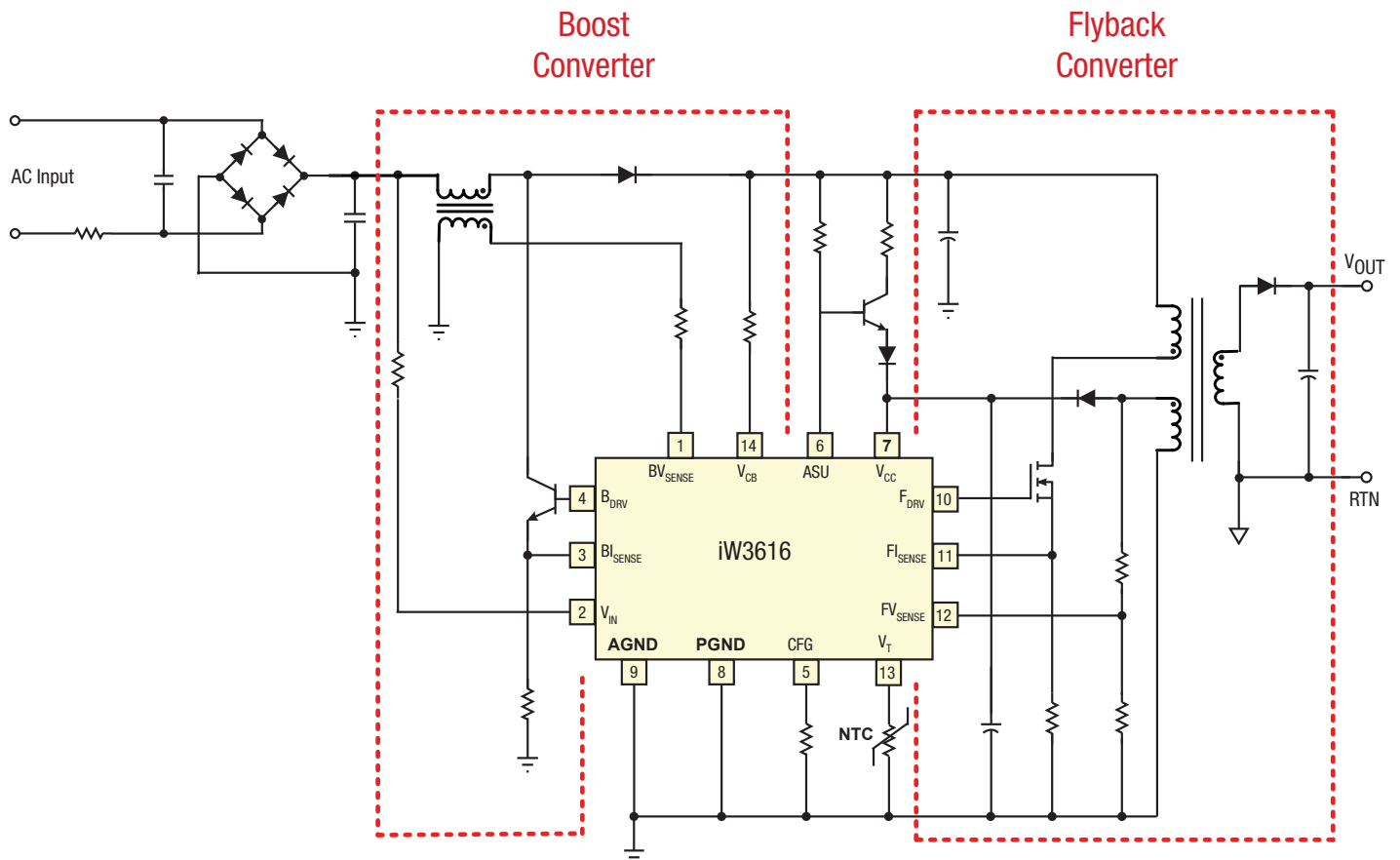
topology enables it to transition between two regulated LED currents in less than 2 $\mu$ sec, enabling more accurate color mixing in RGB applications. LED current accuracy of  $\pm 3\%$  is maintained to offer the most accurate brightness of light emitted from the LED. Additional features include output voltage regulation and open-LED and shorted-LED protection, open-drain output fault flag, frequency synchroniza-



18-4. For the triac-based incandescent trimmer, the blue line indicates the switching of the triac.

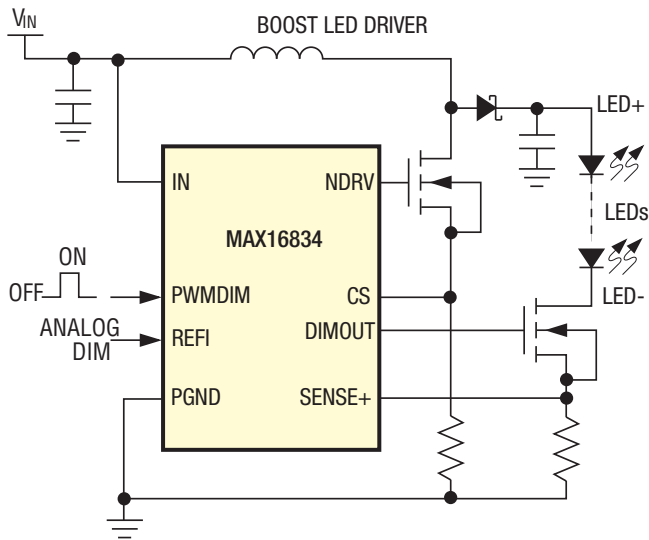
tion, and thermal shutdown.

With so many dimmers being used with incandescent lamps, several companies have shown conversion circuits that replace the incandescent with an LED. A typical triac lamp dimmer circuit for an incandescent lamp consists of the circuit in Fig. 18-3. The triac can conduct in either direction so it cuts both halves of the ac sine wave input, as shown in Fig. 18-4. Varying potentiometer (R1) varies the amount of the ac waveform that is cut, which varies the incandescent lamp brightness.



18-5. iW3616 control circuit converts a dimmer from an incandescent to an LED lamp.





**18-6. Maxim’s MAX16834 current-mode, high-brightness LED (HB LED) driver.**

An incandescent lamp is resistive so the voltage and current through the lamp have a linear relationship. Due to the thermal inertia of the incandescent lamp’s filament, the pulsed input voltage of Fig. 18-4 does not cause the light output to flicker. In contrast, the LED is a nonlinear load in which lamp current and voltage depend on the diode-like performance of an LED. Furthermore, the LED responds much faster than an incandescent lamp to changes in applied voltage. Thus, the 120 Hz triac drive voltage can appear as flicker using an LED, unless a “smart” LED driver modifies the triac output to eliminate flicker.

Among the new “smart” LED drivers intended for use with existing triac dimmers are iWatt’s iW3616 and iW3617 from iWatt (Fig. 18-5), now part of Dialog Semiconductor. They are two-stage ac/dc power-supply controllers optimized for dimmable LED luminaires. The iW3616 is rated at 12W and the iW3617 has a 25W rating. Both controllers are compliant with the IEC61000-3-2 standard for electromagnetic compatibility (EMC). Its proprietary Flickerless technology automatically detects the dimmer type and phase, providing compatibility with analog and digital dimmers.

Most LEDs can be dimmed using pulse width modulation (PWM). Incandescent lamps have thermal inertia that allows a relatively low PWM frequency to avoid visual flicker during dimming. In contrast, LEDs light up very quickly and require a higher frequency PWM to avoid flicker. There has been some concern that dimming light causes a loss of energy. However, the opposite is true. Driver efficiency may be reduced slightly during

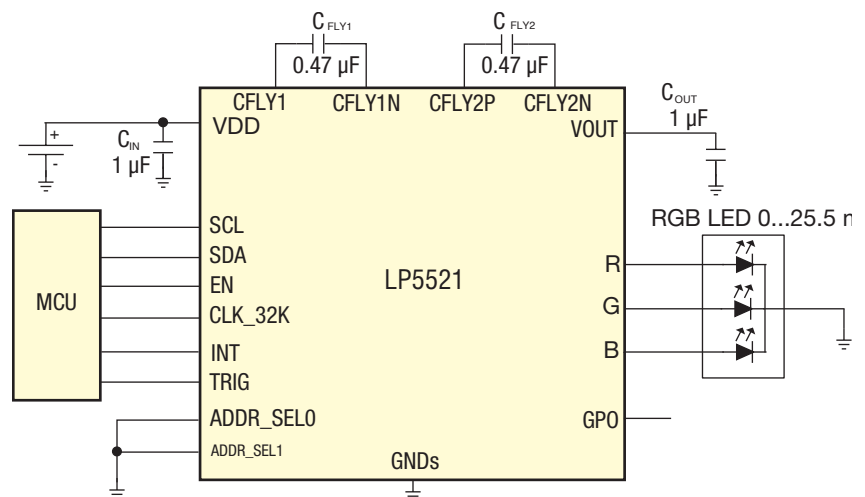
dimming, but it can also save significant energy. Plus, dimming lights to half power will save overall significant energy and actually extend LED lifespan.

The MAX16834 from Maxim Integrated is a current-mode, high-brightness LED (HB LED) driver designed to control a single-string LED current regulator with two external n-channel MOSFETs (Fig. 18-6). The MAX16834 integrates all the building blocks necessary to implement a fixed-frequency HB LED driver with wide-range dimming control. The MAX16834 allows implementation of different converter topologies such as SEPIC, boost, boost-buck, or high-side buck current regulator.

The MAX16834 features a constant-frequency, peak-current-mode control with programmable slope compensation to control the duty cycle of the PWM controller. A dimming driver offers a wide-range dimming control for the external n-channel MOSFET in series with the LED string. In addition to PWM dimming, the MAX16834 allows for analog dimming of LED current.

The MAX16834 switching frequency (100kHz to 1MHz) is adjustable using a single resistor from RT/SYNC. The MAX16834 disables the internal oscillator and synchronizes if an external clock is applied to RT/SYNC. The switching MOSFET driver sinks and sources up to 3A, making it suitable for high-power MOSFETs driving in HB LED applications, and the dimming control allows for wide PWM dimming at frequencies up to 20kHz. The MAX16834 is suitable for boost and boost-buck LED drivers.

The MAX16834 alone operates over a 4.75V to 28V input supply range. With a voltage clamp that limits the IN pin voltage to less than 28V, it can operate in boost configuration for input voltages greater than 28V. Additional features include external enable/disable input, an on-chip oscillator, fault indicator output (FLT) for LED open/short or overtemperature conditions, and an overvoltage protection circuit



**18-7. Texas Instruments’ LP5521 is a three-channel LED driver designed to produce a variety of lighting effects.**

for true differential overvoltage protection.

Texas Instruments' LP5521 is a three-channel LED driver designed to produce variety of lighting effects for mobile devices (Fig. 18-7). A high-efficiency charge pump enables LED driving over full Li-Ion battery voltage range. The device has a program memory for creating variety of lighting sequences. When program memory has been loaded, the LP5521 can operate autonomously without processor control allowing power savings.

The device maintains excellent efficiency over a wide operating range by automatically selecting proper charge pump gain based on LED forward voltage requirements. The LP5521 is able to automatically enter power-save mode, when LED outputs are not active and thus lowering current consumption.

Three independent LED channels have accurate programmable current sources and PWM control. Each channel has program memory for creating desired lighting sequences with PWM control.

The LP5521 has a flexible digital interface. A trigger I/O and 32-kHz clock input allow synchronization between multiple devices. Interrupt output can be used to notify the processor when LED sequence has ended. LP5521 has four pin-selectable I2C-compatible addresses. This allows connecting up to four parallel devices in one I2C-compatible bus. GPO and INT pins can be used as digital control

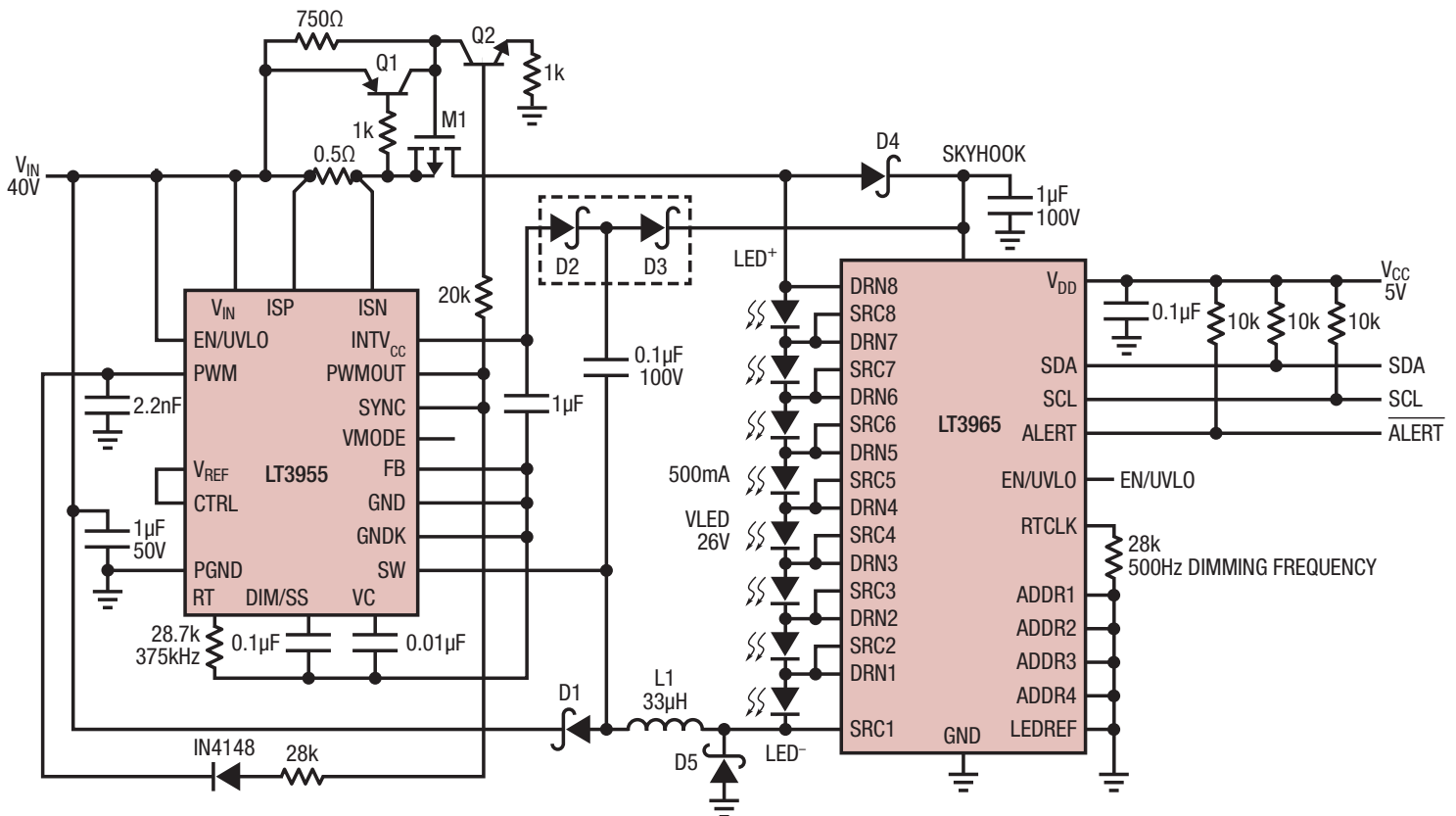
pins for other devices. The LP5521 requires only four small and low-cost ceramic capacitors.

Comprehensive application tools are available, including command compiler for easy LED sequence programming.

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18-8. LT3965 with an external constant current LED driver (LT3955).



**18-9. Mean Well's NPF-40D series, a single-output, waterproof switching power supply.**

dresses. This allows connecting up to four parallel devices in one I<sup>2</sup>C compatible bus. GPO and INT pins can be used as a digital control pin for other devices.

The LP5521 requires only four small and low-cost ceramic capacitors. Comprehensive application tools are available, including command compiler for easy LED sequence programming.

### Eight-Switch Matrix IC Controls On/Off/Dimming and Diagnostics of LED Array

The LT3965 from Linear Technology is an LED bypass switching IC that contains a floating matrix of eight 17V/330m $\Omega$  NMOS switches (Fig. 18-8). You can connect the eight switches in parallel and/or in series to bypass current around any one of LEDs in a string. When interfaced with an external constant current driver IC, the combination can control dimming and diagnostics for up to eight individual LEDs or LED segments. An I<sup>2</sup>C serial interface in the switch matrix provides the ability to control individual LEDs.

Fig. 18-8 shows the LT3965 with an external constant current LED driver (LT3955). **You can independently program each of the eight channels to control each LED in the string in four different ways:**

- Constant LED on
- Constant LED off
- LED Dimming without fade transition
- LED Dimming with fade transition

The LT3965 operates over the VDD input supply range of 2.7V to 5.5V and V<sub>IN</sub> range of 8V to 60V. A -40°C to 85°C junction temperature version, LT3965EFE, is housed in a 28-lead TSSOP thermally enhanced package that ensures a compact footprint for matrix dimming applications. An industrial temperature version, the LT3965IFE, guarantees operation from a -40°C to 125°C operating junction temperature range.

Typical applications include automotive matrix LED headlights, industrial lighting and large LED display lighting. The I<sup>2</sup>C serial interface enables digital programming with 256:1 dimming ratios with or without the 11-bit resolution fade transition between the dimming states. Each switch can control and monitor a single LED or a segment of up to 16V of series-connected LEDs. The LT3965's 8V to 60V input voltage range can accommodate a wide range of LED drivers commonly used in automotive and industrial applications.

### LED Power Supplies

The emerging field of electronic lighting has produced families of power supplies specifically for LEDs. For example, Mean Well has introduced the NPF-40D series, a single-output, waterproof switching power supply (Fig. 18-9). Along with its LED driving function, the supply has built-in 3-in-1 dimming (0 to 10VDC, PWM signal, or resistance), which simplifies brightness adjustment that allows light reduction and energy conservation. The entire series offers universal input range from 90VAC to 305 VAC and incorporates a PFC function. The enclosure is in a 94V-0 flame-retardant case. The interior is fully potted with silicone that enhances heat dissipation and allows the supply to meet the anti-vibration demand up to 5G. It also conforms to IP67 level, enabling the NPF-40D to be used in a very dusty and humid, harsh environment.

The supply has up to 90% efficiency and no load power consumption below 0.5W. It has protection for short circuit, overcurrent, overvoltage, and overtemperature. It can satisfy the energy-saving demand for the new generation of LED lighting. A double-insulation weather-resistant input cable allows users to install various types of lighting systems. The entire series can operate from -40°C to 70°C and comply with the relevant global lighting safety certification.



**18-10. LED Test System**

users to install various types of lighting systems. The entire series can operate from -40°C to 70°C and comply with the relevant global lighting safety certification.


### LED Testing

Because of their production processes, LEDs cannot be manufactured with 100% consistent optical properties. Brightness and color can vary substantially from component to component even in the same production batch. This is why LEDs have to be tested during production and in their final application. Comprehensive optical characterization is also essential during research and development of LEDs and for LED-based products. Tests are required to determine luminous intensity, luminous flux, color, spectrum, and spatial radiation pattern of LEDs.

The Instrument Systems' LED Tester (Fig. 18-10) is a

turnkey test system based on the company's CAS 140CT CCD Array Spectrometer, Keithley Series 2400/2600 Sourcemeter, and a control PC combined with tester software developed in-house. The interplay between all the components has been optimized for the tough conditions of continuous application in production environments.

This LED Tester can measure critical measuring parameters, e.g., color coordinates of white LEDs, extremely precisely and reproducibly. All calibrations are based on the PTB and NIST national reference standards. The Keithley 2600 delivers fast current supply to the LEDs and in this way permits short measurement times.

The tester software comprises a user-friendly interface with a multitude of functions. You can select different results and structure their display on the monitor to suit the application. The hardware setup is provided with an entering page in order to configure parameters and settings for each application. 

**Related Articles**

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2. [Sam Davis, Intelligent LED Driver Employs Ledotron Digital Dimming, powerelectronics.com, February 2014.](#)
3. [Koenraad Rutgers, Overcoming Reliability Challenges in LED Streetlight Applications, powerelectronics.com, August 2013.](#)
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7. [Cathal Sheehan, Design Efficient Thermal Management for Added Reliability in LED Apps, powerelectronics.com, June 2011.](#)
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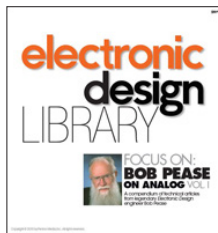
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CHAPTER 19:

# MOTION-SYSTEM

# POWER

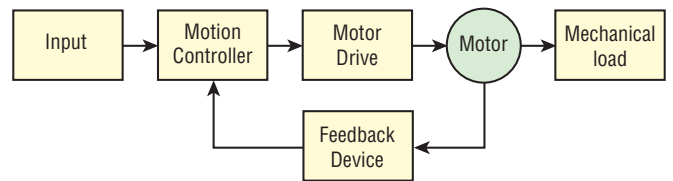
# MANAGEMENT

The key component in a motion system is the motor because it determines the design of the associated motion controller as well as the motor drive. From a power-management viewpoint, the important motion-system design considerations are providing the appropriate control signals as well as the required drive power for the specific motor. Each motion controller is unique for a specific motor. Fig. 19-1 shows the typical motion system that includes a motion controller and a motor drive.

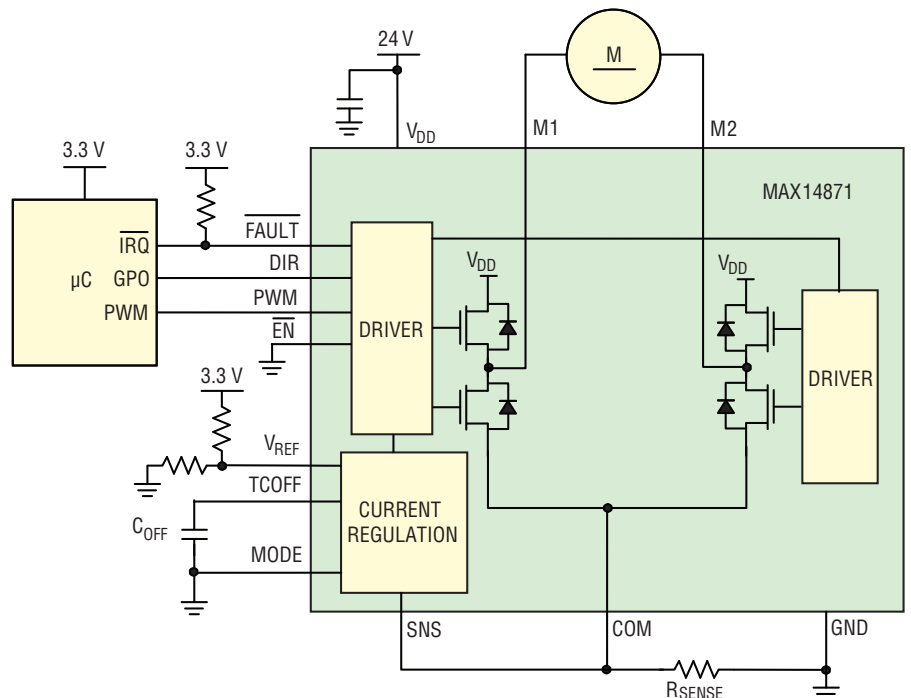
An electric motor is an electrical machine that converts electrical energy into mechanical energy. In normal motorizing mode, most electric motors operate through the interaction between an electric motor's magnetic field and winding currents to generate force within the motor.

Electric motors are used to produce linear or rotary force (torque). That is, the motion controller controls the motor's rotary speed or its linear position. You can convert rotating motion to linear motion using mechanical components or use a linear motor to provide linear motion by itself.

The motor's moving part is the rotor that turns the shaft to deliver mechanical power. The stator is the stationary part of the motor's electromagnetic circuit and usually consists of either windings or permanent magnets. Windings are wires that



19-1. Typical motion system.



19-2. Maxim's MAX14871 dc motor driver provides a low-power and simple solution for driving and controlling brushed motors with voltages between 4.5V and 36V.

are laid in coils, usually wrapped around a laminated soft iron magnetic core to form magnetic poles when energized by current.

In a brushed motor, a commutator switches the input of most dc machines and certain ac machines consisting of slip-ring segments insulated from each other and from the electric motor's shaft. The motor's armature current is supplied through the stationary brushes in contact with the revolving commutator, which causes a current reversal and applies power to the machine in an optimal manner as the rotor rotates from pole to pole. In absence of such current reversal, the motor would brake to a stop.

The MAX14871 dc motor driver provides a low-power and simple solution for driving and controlling brushed motors with voltages between 4.5V and 36V. Very low driver on resistance reduces power during dissipation (Fig. 19-2).

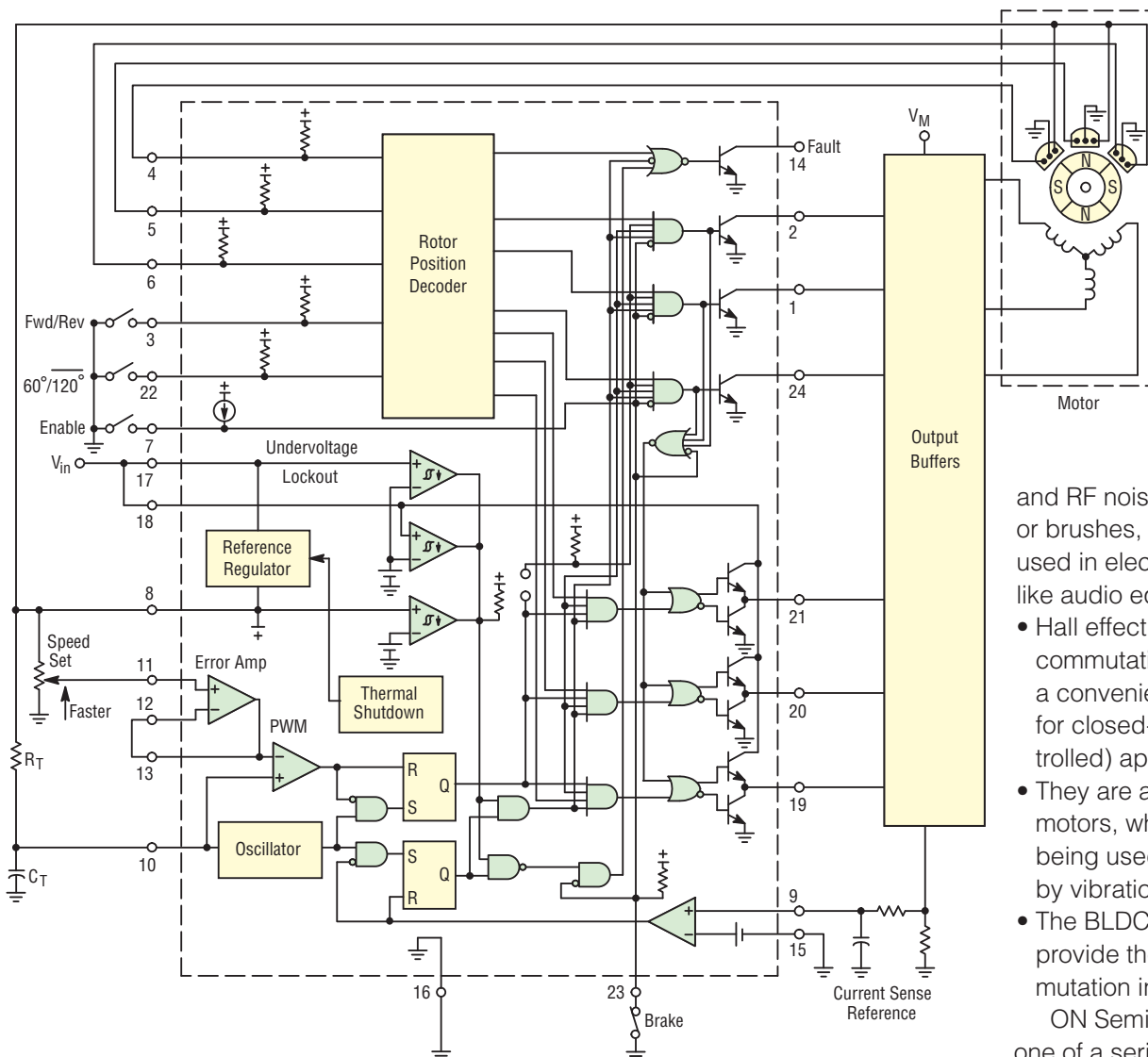
The MAX14871 features a charge-pump-less design for

reduced external components and low supply current. Integrated current regulation allows user-defined peak startup motor currents and requires minimal external components.

The MAX14871 includes three modes of current regulation: fast decay, slow decay, and 25% current ripple modes. Current regulation based on 25% ripple simplifies the design and enables regulation independent of motor characteristics. A separate voltage sense input (SNS) reduces current-sensing errors due to parasitic trace resistance.

The term "electronic commutator" is usually associated with self-commutated brushless dc motor and switched reluctance motor applications. Some problems with the brushed dc motor are eliminated in the brushless dc (BLDC) design. In this motor, the mechanical "rotating switch" or commutator is replaced by an external electronic switch synchronized to the rotor's position. BLDC motors are typically 85% to 90% efficient or more.

### 19-3. ON Semiconductor MC33035 brushless dc motor controller.



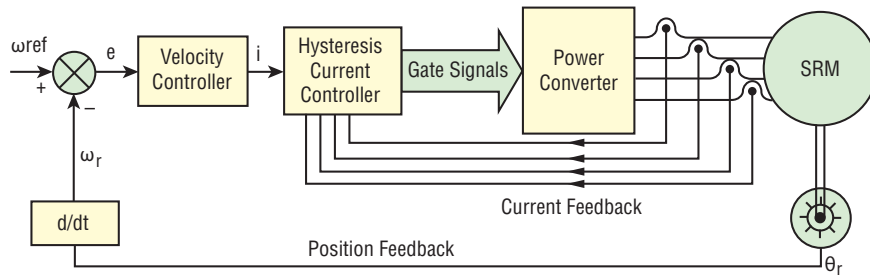
BLDC motors are commonly used where precise speed control is necessary. They have several advantages over conventional motors:

- Without a commutator to wear out, the life of a BLDC motor can be significantly longer compared to a dc motor using brushes and a commutator. Commutation also tends to generate electrical and RF noise.

Without a commutator or brushes, a BLDC motor may be used in electrically sensitive devices like audio equipment or computers.

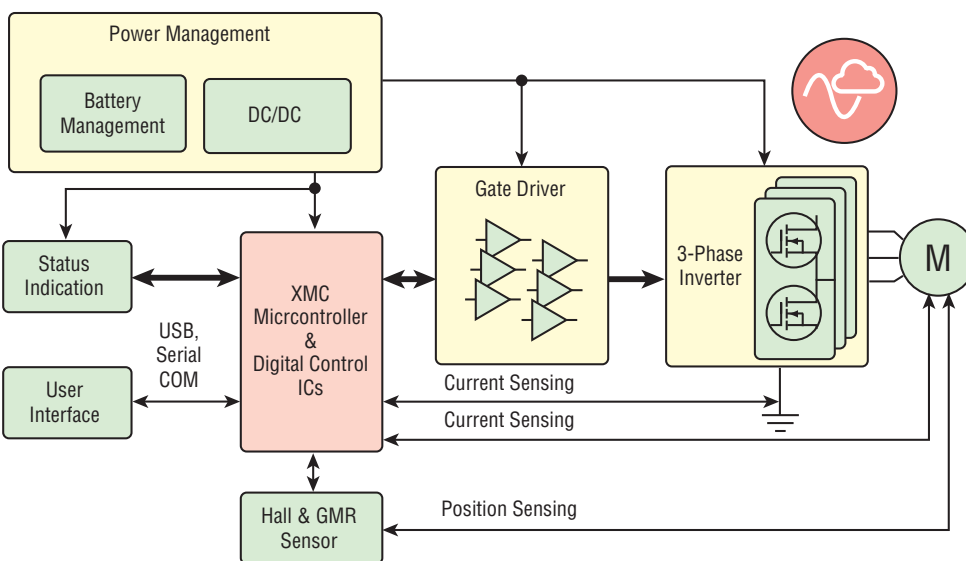
- Hall effect sensors provide the commutation and can also provide a convenient tachometer signal for closed-loop control (servo-controlled) applications.
- They are also acoustically quiet motors, which is an advantage if being used in equipment affected by vibrations.
- The BLDC motion controller must provide the proper electronic commutation interface.

ON Semiconductor's MC33035 is one of a series of high performance



**19-4. Control system for an SRM senses angular position, which is sent to the controller. Deriving the position in the time domain allows computing the angular speed of the rotor. The controller compares the actual speed with the reference value and calculates the error signal for the hysteresis comparator. Each phase is supplied within a certain rotor position range in order to maximize the developed torque. The hysteresis controllers send the gate signals to the power switches of the converter.**

monolithic DC brushless motor controllers (Fig. 19-3). It contains all of the functions required to implement a full-featured, open loop, three or four phase motor control system. In addition, the controller can be made to operate DC brush motors. Constructed with Bipolar Analog technology, it offers a high degree of performance and ruggedness in hostile industrial environments. The MC33035 contains a rotor position decoder for proper commutation sequencing, a temperature compensated reference capable of supplying a sensor power, a frequency programmable sawtooth oscillator, a fully accessible error amplifier, a pulse width modulator comparator, three open collector top drive outputs, and three high current totem pole bottom driver outputs ideally suited for driving power MOSFETs.



**19-5. IRMCF143S from International Rectifier is a high-performance flash-based motion-control IC designed primarily for position servo applications based on an incremental encoder.**

Included in the MC33035 are protective features consisting of undervoltage lock-out, cycle-by-cycle current limiting with a selectable time delayed latched shutdown mode, internal thermal shutdown, and a unique fault output that can easily be interfaced to a microprocessor controller.

Typical motor control functions include open loop speed control, forward or reverse rotation, run enable, and dynamic braking. In addition, the MC33035 has a 60°/120° select pin that configures the rotor position decoder for either 60° or 120° sensor electrical phasing inputs.

### Switched Reluctance Motor (SRM)

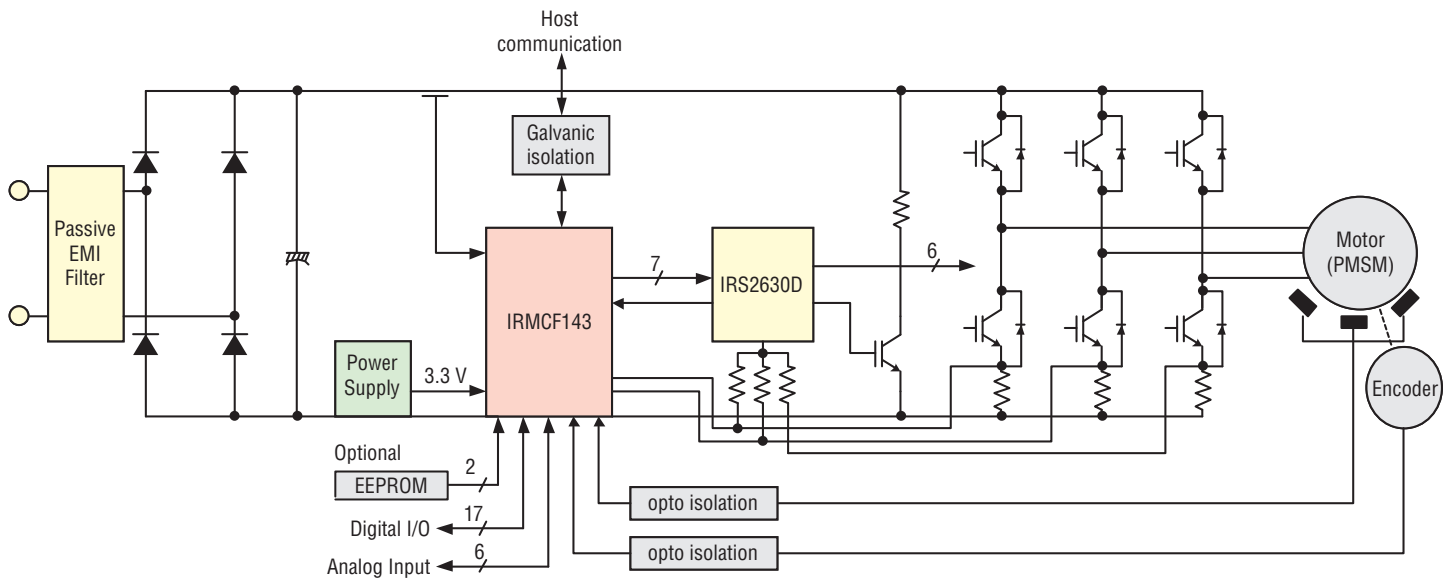
The SRM has no brushes or permanent magnets, and the rotor has no electric currents (Fig. 19-4). Instead, torque comes from a slight misalignment of poles on the rotor with poles on the stator. The rotor aligns itself with the magnetic field of the stator, while the stator field stator windings are sequentially energized to rotate the stator field.

The magnetic flux created by the field windings follows the path of least magnetic reluctance, meaning the flux will flow through poles of the rotor that are closest to the energized poles of the stator, thereby magnetizing those poles of the rotor and creating torque. As the rotor turns, different windings will be energized, keeping the rotor turning. The SRM motion controller must provide the appropriate signals.

### Induction Motor

An induction motor is an asynchronous ac motor where power is transferred to the rotor by electromagnetic induction, much like transformer action. An induction motor resembles a rotating transformer, because the stator (stationary part) is essentially the primary side of the transformer and the rotor (rotating part) is the secondary side. Polyphase induction motors are widely used in industry. Fig. 19-4 shows a microcontroller-based induction motor drive.

Currents induced into this winding provide the rotor magnetic field. The shape of the rotor bars determines the speed-torque characteristics. At low speeds, the current induced in the



**19-6. Texas Instruments' DRV8811 is a motor microstepping motor driver with two H-bridge drivers, as well as microstepping indexer logic to control a stepper motor.**

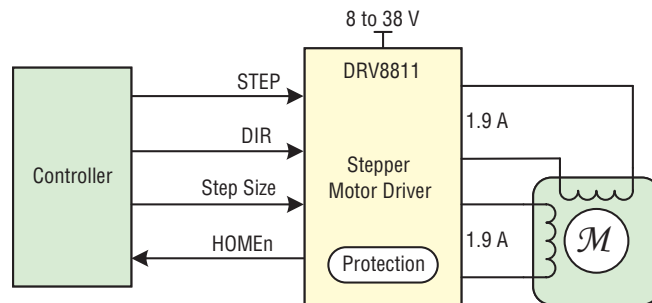
squirrel cage is nearly at line frequency and tends to be in the outer parts of the rotor cage. As the motor accelerates, the slip frequency becomes lower, and more current is in the interior of the winding. By shaping the bars to change the resistance of the winding portions in the interior and outer parts of the cage, effectively a variable resistance is inserted in the rotor circuit. However, the majority of such motors have uniform bars.

**Servo Motor**

A servomotor is a motor, very often sold as a complete module, used within a position-control or speed-control feedback control system mainly to control valves, such as motor-operated control valves (Fig. 19-5). Servomotors are used in applications such as machine tools, pen plotters, and other process systems. Motors intended for use in a servomechanism must have well-documented characteristics for speed, torque, and power. The speed vs. torque curve is quite important and is high ratio for a servo motor. Dynamic response characteristics such as winding inductance and rotor inertia are also important; these factors limit the overall performance of the servomechanism loop. Large, powerful, but slow-responding servo loops may use conventional ac or dc motors and drive systems

with position or speed feedback on the motor. As dynamic response requirements increase, more specialized motor designs such as coreless motors are used. AC motors' superior power density and acceleration characteristics compared to that of dc motors tends to favor PM synchronous, BLDC, induction, and SRM drive applications.

A servo system differs from some stepper motor applications in that the position feedback is continuous while the motor is running; a stepper system relies on the motor not to "miss steps" for short-term accuracy, although a stepper system may include a "home" switch or other element to provide long-term stability of control. For instance, when a typical dot matrix computer printer starts up, its controller makes the print-head stepper motor drive to its left-hand limit, where a position sensor defines home position and stops stepping. As long as power is on, a bidirectional counter in the printer's microprocessor keeps track of print-head position.



**19-7. Texas Instruments' DRV8811 is a motor microstepping motor driver with two H-bridge drivers, as well as microstepping indexer logic to control a stepper motor.**

**Stepper Motor**

Stepper motors are a type of motor frequently used when precise rotations are required. In a stepper motor, an internal rotor containing permanent magnets or a magnetically soft rotor with salient poles is controlled by a set of external magnets that are switched electronically. A stepper motor may also be thought of as a




cross between a dc electric motor and a rotary solenoid. As each coil is energized in turn, the rotor aligns itself with the magnetic field produced by the energized field winding. Unlike a synchronous motor, in its application, the stepper motor may not rotate continuously; instead, it “steps”—starts and then quickly stops again—from one position to the next as field windings are energized and de-energized in sequence. Depending on the sequence, the rotor may turn forward or backward, and it may change direction, stop, speed up, or slow down arbitrarily at any time.

Simple stepper motor drivers entirely energize or entirely de-energize the field windings, leading the rotor to “cog” to a limited number of positions; more sophisticated drivers can proportionally control the power to the field windings, allowing the rotors to position between the cog points and thereby rotate extremely smoothly. This mode of operation is often called “microstepping” (Fig. 19-6). Computer controlled stepper motors are one of the most versatile forms of positioning systems, particularly when part of a digital servo-controlled system.

### Piezoelectric motor

A piezoelectric motor or piezo motor is a type of electric motor based upon the change in shape of a piezoelectric material when an electric field is applied. Piezoelectric motors make use of the converse piezoelectric effect whereby the material produces acoustic or ultrasonic vibrations in order to produce a linear or rotary motion. In

one mechanism, the elongation in a single plane is used to make a series stretches and position holds, similar to the way a caterpillar moves. 

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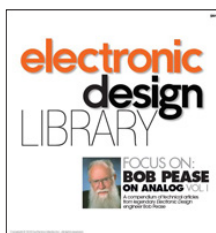
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## CHAPTER 20:

# COMPONENTS AND METHODS FOR CURRENT MEASUREMENT

*Current measurement components and methods must provide an accurate output signal as well as preventing damage to the associated printed circuit board.*

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**Bryan Yarborough | Power Electronics**

Current sensing is used to perform two essential circuit functions. First, it is used to measure “how much” current is flowing in a circuit, which may be used to make decisions about turning off peripheral loads to conserve power or to return operation to normal limits. A second function is to determine when it is a “too much” or a fault condition. If current exceeds safe limits, a software or hardware interlock condition is met and provides a signal to turn off the application, perhaps a motor in a stalled condition or short circuit. It is essential to choose the appropriate technology with the necessary robustness to properly withstand the extreme conditions that can exist during a fault.

A signal to indicate the “how much” condition and the “too much” condition is available in a variety of measurement methods:

1. Resistive (Direct)
  - a. Current Sense Resistors
  - b. Inductor dc resistance
2. Magnetic (Indirect)
  - a. Current Transformer
  - b. Rogowski Coil
- c. Hall Effect Device
3. Transistor (Direct)
  - a.  $R_{DS(ON)}$
  - b. Ratio-metric

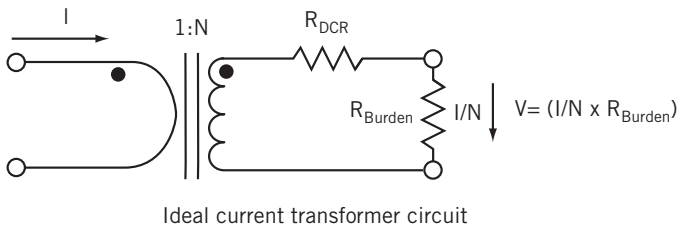
Each method has advantages for current measurement, but also comes with tradeoffs that can be critical to the end reliability of the application. They can also be classified into two main categories of measurement methods; direct or indirect. The direct method means that it is connected directly in the circuit being measured and that the measurement components are exposed to the line voltage, whereas the indirect method provides isolation that may be necessary for design safety.

## Current Sense Resistor

The resistor is a direct method of current measurement that has the benefit of simplicity and linearity. The current sense resistor is placed in line with the current being measured and the resultant current flow causes a small amount of power to be converted into heat. This power conversion is what provides the voltage signal. Other than the favorable characteristics of simplicity and linearity, the current sense resistor is a cost-effective solution with stable Temperature Coefficient of Resistance (TCR) of  $< 100 \text{ ppm}/^\circ\text{C}$  or  $0.01\% /^\circ\text{C}$  and does not suffer the potential of avalanche multiplication or thermal runaway. Additionally, the existence of low resistance ( $< 1 \text{ m}\Omega$  is available) metal alloy current sense products offer superior surge performance for reliable protection during short circuit and overcurrent events.

## Inductor DC Resistance

The dc resistance of an inductor can also be used to provide a resistive current measurement. This method is considered “lossless” because of the low resistance value of the copper, typically  $< 1 \text{ m}\Omega$  and because it is providing a secondary use of an existing component. In higher current applications; a 30 amp current would provide a 30 mV signal for a  $1 \text{ m}\Omega$  resistance value. This method has two draw-



**20-1. In the ideal current transformer ac current passes through the copper wind-ings with very little resistive losses.**

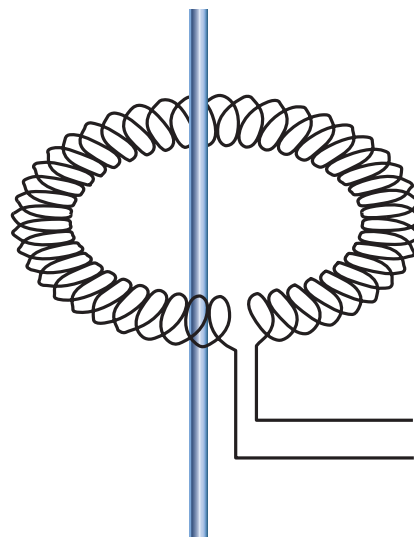
backs; first copper has a high TCR (temperature coefficient of resistivity) of approximately 3900 ppm, which causes the resistance value to increase by 39% for a 100°C rise above room temperature. Because of this high TCR, the temperature must be monitored and compensated to provide an acceptable current measurement. The second drawback is the variance in the resistance of the copper due to dimensional changes that occur due to the conductor being wider or thinner from one lot to the next.

### Current Transformer

A current transformer's three key advantages are that it provides isolation from the line voltage, provides lossless current measurement, and the signal voltage can be large providing a measure of noise immunity. This indirect current measurement method requires a changing current, such as an AC, transient current, or switched DC; to provide a changing magnetic field that is magnetically coupled into the secondary windings (Fig. 20-1). The secondary measurement voltage can be scaled according to the turns ratio between the primary and secondary windings. This measurement method is considered “lossless” because the circuit current passes through the copper windings with very little resistive losses. However, a small amount of power is lost due to transformer losses from the burden resistor, core losses, and primary and secondary dc resistance.

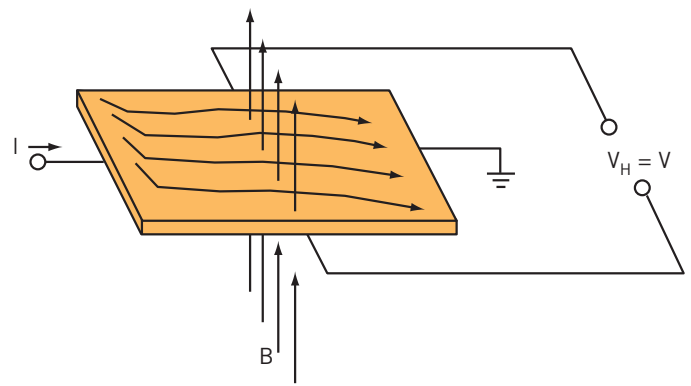
### Rogowski Coil

The Rogowski coil is similar to a current transformer in that a voltage is induced into a secondary coil that is proportional to the current flow through an isolated conductor. The exception is that the Rogowski coil (Fig. 20-2) is an air core design as opposed to the current transformer that relies upon a high permeability core, such as a laminated steel, to magnetically couple to a secondary winding. The air core design has a lower inductance providing a faster signal



Rogowski Coil

**20-2. Rogowski coil is an air core design that has a lower inductance providing a faster response.**



Hall effect principle, magnetic field present

**20-3. Hall-Effect devices are capable of measuring large currents.**

response and very linear signal voltage. Because of its design, it is often used as a temporary current measurement method on existing wiring such as a handheld meter. This could be considered a lower cost alternate to the current transformer.

### Hall Effect

When a current carrying conductor is placed in a magnetic field, as shown in Fig. 20-3, a difference in potential occurs perpendicular to the magnetic field and the direction of current flow. This potential is proportional to the magnitude of the current flow. When there is no magnetic field and current flow exists, then there is no difference in potential. However, when a magnetic field and current flow exists

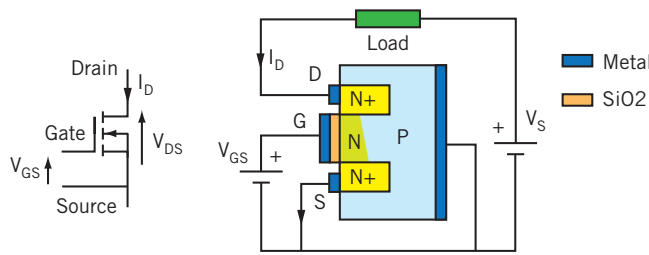
the charges interact with the magnetic field, causing the current distribution to change, which creates the Hall voltage.

The advantage of Hall effect devices is that they are capable of measuring large currents with low power dissipation. However, there are numerous drawbacks that can limit their use, including non-linear temperature drift requiring compensation, limited bandwidth, low range current detection requires a large offset voltage that can lead to error, susceptibility to external magnetic fields, and high cost.

### Transistors

Transistors are considered a “lossless” overcurrent detection method since they are standard control components to the circuit design and no further resistance or power dissipating devices are required to provide a control signal. Transistor datasheets provide the on-resistance for

the drain-to-source,  $\{R_{DS(ON)}\}$ , with a typical resistance in the  $m\Omega$  range for power MOSFETs (Fig. 20-4). This resistance comprises several components that begin with the leads connecting to the semiconductor die through the resistance that makes up the numerous channel characteristics. Based on this information, the current passing through the MOSFET



**20-4. Power MOSFET's on-resistance provides current sensing capability.**

can be determined by  $I_{Load} = V_{RDS(ON)} / R_{DS(ON)}$ .

Each constituent of the  $R_{DS(ON)}$  contributes to measurement error that is due to minor variations in the resistances of the interface regions and TCR effects. The TCR effects can be partially compensated by measuring temperature and correcting the measured voltage with anticipated change in resistance due to temperature. Often times, the TCR for MOSFETs can be as large as 4000 ppm /°C, which is equivalent to a 40% change in resistance for 100°C rise. Generally, this method provides a signal with approximately 10% to 20% accuracy. Depending on the accuracy requirements, this may be an acceptable range for providing overcurrent protection.

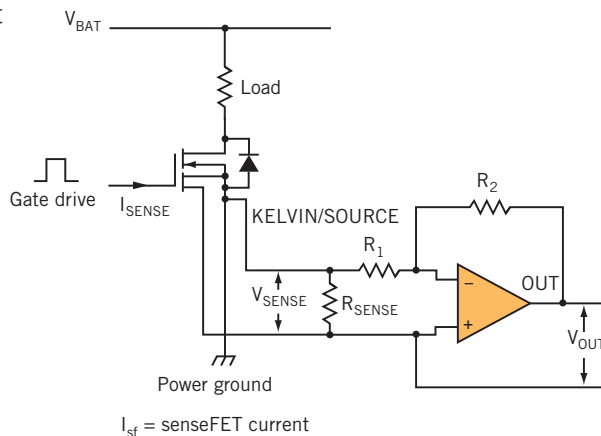
**Ratiometric Current Sense MOSFETs**

The MOSFET consists of thousands of parallel transistor cells that reduce the on-resistance. The current sensing MOSFET shown in Fig. 20-5 uses a small portion of the parallel cells and connects to the common Gate and Drain, but separate Source. This creates a second isolated transistor; a “sense” transistor. When the transistor is turned on, the current through the sense transistor will be a ratio comparable to the main current through the other cells.

Depending on the transistor product, the accuracy tolerance range can vary from as low as 5% or as wide as 15%-20%. This is not suitable for current control applications that typically require 1% measurement accuracy, but is intended for overcurrent and short circuit protection.

**Resistor Technology Benefits**

Thin film is not typically used for current sense applications, but is included in this discussion to provide breadth to the topic. Generally these resistive products are for precision applications because of the resistive layer ranges from 0.000001 in. to 0.000004 in. thick.



**20-5. SenseFET uses a small portion of its parallel MOSFET cells to sense current.**

They are quite surge-tolerant in the appropriate application, but are not designed for the high currents typically associated with the applications mentioned here.

Thick film, typically 0.0005 to 0.002 in. thick, is nearly 100 times thicker than thin Film. The increased thickness equates to a greater mass that is better able to carry the relatively high currents and dissipate the heat

across the substrate, as well as better able to manage transients. Another advantage of the thick film products is the flexibility to request standard resistance values because of the process efficiency of laser trimming. The tradeoff of thick film is that these products are not as capable of the very tight tolerances of thin film products.

Foil technology has a larger cross section still and is a uniform resistive alloy, which is different from the thick-film technology that employs resistive materials suspended in a glass matrix. By comparison, the foils tend to withstand larger surge transients as compared to the previous versions. The principle advantage with this technology is the low range ohmic values with low TCRs.

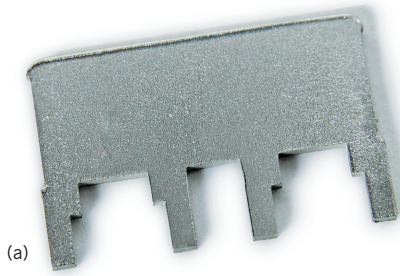
Bulk alloy resistor technology has the greatest surge tolerance because of its large current carrying mass. It is available in resistance values as low as 0.0005  $\Omega$  with low TCR. Bulk alloy tends to be the best choice for high current power supplies, or for where fault conditions can result in extreme currents. These products do not have as wide of a resistance offering as the Thick Film products, because the resistor alloy has limited resistivities to reach high range values, as well as needing the mechanical strength to tolerate process handling.

**Product-Specific Features**

High-current applications require the resistance value to be very low to minimize power losses and yet provide the necessary signal level to provide a voltage signal high enough to exceed noise levels. These low ohmic values often times need a four-terminal connection to reduce errors that may result due to the contact resistance that occurs when the part is mounted to the board.

The CSL (Fig. 20-6a) offers four terminals by design, but other standard surface-mount devices

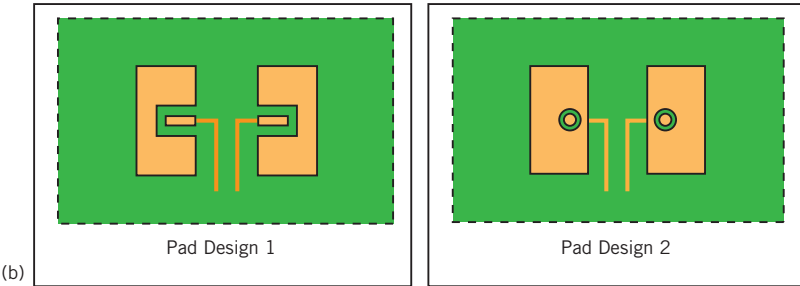




20-6a. Shows a four-terminal resistor for current sense applications.

(a)

20-6b. Two pad designs, (1) with isolated pad regions, and (2) with a plated through-hole.

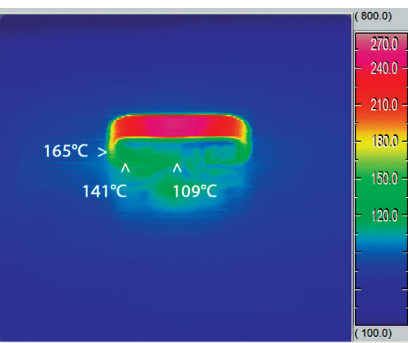


(b)

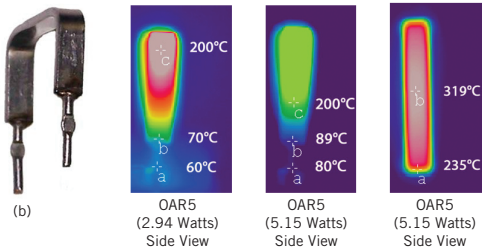
can benefit from a four-terminal pad design. These parts offer physically separated connection points for current and voltage, which reduces contact related measurement error. In the case of the CSL, the current will flow through the inner pins and voltage is sensed on the outer pins and is recommended for best accuracy with the LRF3W to be configured as a cross flow arrangement with current on diametrically opposing corners (e.g., pin 2 to pin 3).

The pad layout (Fig. 20-6b) creates separate regions for measuring signal voltage from the current carrying portion, which reduces error. Pad Design 1 illustrates one method that creates an isolated pad region within the pad layout, but this design may reduce the pad area below necessary limits to carry high currents through the copper trace. Pad Design 2 uses a plated through-hole to connect under the pad

and connects to an internal or outer trace for measurement; this maximizes the pad space to carry current to the resistor. The contact point places the signal line as close to the current channel as possible; minimizing measurement error.



(a)



(b)

20-7. Thermal images illustrating the isolation performance of the OAR and OARS product.

### Thermal Isolation

The OARS (Open Air Resistor Surface Mount) is a unique design that elevates the hot spot of the resistive material well above the circuit-board material. This places the hottest region of the part into the available airstream, which dissipates the maximum amount of heat energy to the air instead of the PCB.

This provides two key advantages for thermal design, which affects the PCB material and the other neighboring power or semiconductor components. Typical FR4 PCB material is only rated to 130°C; a power resistor that is traditionally against the board could cause damage to the material during power excursions or reduces the upper temperature performance limits of the circuit. An elevated current sense prevents damage to the circuit material and permits the solder joint to run cooler. The second benefit by dissipating the heat to the air instead of the PCB is

the improved performance of nearby heat-affected devices. These effects may include lifetime rating, power handling, luminous output, accuracy, and reliability.

The thermal images shown in Fig. 20-7 help illustrate the isolation performance of the OAR and OARS products. These tests were conducted on FR4 board material with no ambient airflow; airflow would improve system thermal performance. Observe the temperature of the solder joint with respect to the hot spot. These temperatures are based on reaching thermal equilibrium, however in the application these results may be extended to be considered as the thermal performance characteristics for an overcurrent protection condition. The FR4 will not exceed its temperature rating, though extreme circuit conditions exist.

### Solder Joint Stress

The OARS resistive product family's elevated and curved construction permits the resistor to flex. This construction reduces the stress generated by differences in thermal expansion coefficients between the heat producing metal and the dissipating circuit board material. Surface-mount components that are flat and parallel to the circuit board will apply shear forces to the solder joint that can lead to failure

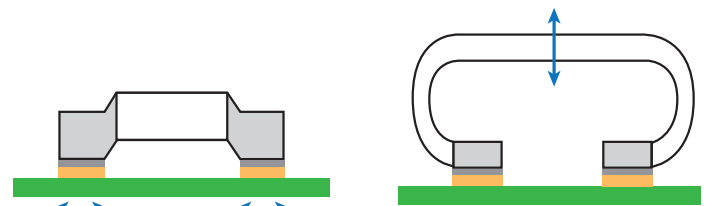
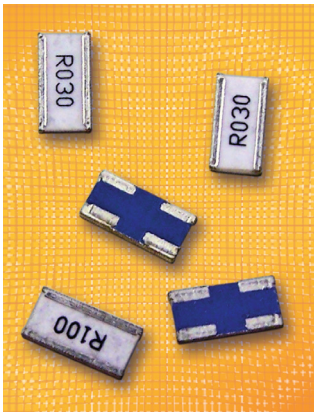


Figure 20-8. Differences in the thermal expansion coefficient between the metal materials of the current sense resistor and the circuit board materials dissipate forces on each part.

Figure 20-9. LRF3W from TT electronics uses side terminations to achieve a 3W rating.



or changes in performance. In high thermal cycling applications, the OARS has been preferred to other similar all-metal construction parts because of this flexibility feature (Fig. 20-8).

The LRF3W (Fig. 20-9) from TT electronics provides several design benefits derived from its 1225 aspect ratio with the termination along the long side of the component. The side termination extends the power rating to 3 Watts, eliminating the need to reduce the circuit traces as required by the traditional 2512 footprint. It also reduces solder joint stresses due to differences in the temperature coefficient of expansion between the ceramic and PCB material. The 1225 aspect ratio reduces the distance between the center / hot spot region of the part and the thermally dissipative circuit board material. This permits a high 3W rating and lessens the stress on the solder joint due to the differences in the temperature coefficients of expansion between the ceramic substrate and the thermal mass of the printed circuit board material.

Table 20-1 compares the current measurement methods. 

**Related Articles**

- 1. Timothy Hegarty, *3D-Integrated MOSFETs with Ultra-Low DCR Inductor Provide High-Efficiency DC/DC Regulator*,

| TABLE 20-1. COMPARISON OF CURRENT MEASUREMENT METHOD |          |           |                       |          |          |          |
|--|----------|-----------|-----------------------|----------|----------|----------|
| Measurement Method                                   | Accuracy | Isolation | EMI (Tamp Resistance) | Robust   | Size     | Cost     |
| <b>Resistive (Direct)</b>                            |          |           |                       |          |          |          |
| Sense Resistor                                       | High     | No        | High                  | High     | Small    | Low      |
| Inductor DC resistance                               | Low      | No        | Moderate              | High     | Small    | Low      |
| <b>Transistor (Direct)</b>                           |          |           |                       |          |          |          |
| RDSon  | Low      | No        | Moderate              | Moderate | Small    | Low      |
| Ratio Metric   | Moderate | No        | Moderate              | Moderate | Small    | Moderate |
| <b>Magnetic (Indirect)</b>                           |          |           |                       |          |          |          |
| Current Transformer                                  | High     | Yes       | Moderate              | High     | Large    | Moderate |
| Rogowski Coil  | High     | Yes       | Moderate              | High     | Large    | Moderate |
| Hall Effect  | High     | Yes       | High                  | Moderate | Moderate | High     |

*powerelectronics.com, November 2013.*

2. Hong Lei Chen, *Protect IGBTs by Sensing Current Using Optical Isolation Amplifiers*, *powerelectronics.com, April 2012.*

3. Jerry Steele, *Current Sensing For Server Power Monitoring: MOSFET Or Shunt?*, *powerelectronics.com, July 2011.*

4. Sam Davis, *2-Terminal Current Source Boasts High Accuracy, Programmability and Stability*, *powerelectronics.com, May 2009.*

5. Alfredo H. Saab, *Current-Sense Amplifier Doubles as High-CM IA*, *powerelectronics.com, November 2008.*

6. Tom Morris, *Current-Sense Resistors Heed Call for More Power*, *powerelectronics.com, September 2008.*

7. Maurizio Gavardon, *Improving High-Side Current Measurements*, *powerelectronics.com, August 2008.*

8. Alfredo H. Saab, *Extend Range of Current-Sense Amplifiers*, *powerelectronics.com, May 2008.*

9. Donna Schaefer, *Copper Alloy Inductors Stabilize Current Sensing*, *powerelectronics.com, April 2008.*

10. Alfredo H. Saab, *Current-Sense Amp Offers Four-Quadrant Operation*, *powerelectronics.com, February 2008.*

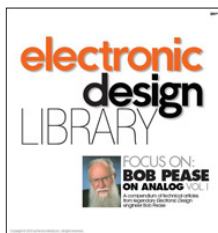
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## CHAPTER 21:

# THERMOELECTRIC GENERATORS

A thermoelectric generator, TEG, is a solid-state device that converts heat directly into electrical energy through a phenomenon called the Seebeck effect. Thermoelectric generators consist of three major components: thermoelectric materials, thermoelectric modules, and thermoelectric systems that interface with the heat source.

Thermoelectric materials generate power directly from heat by converting temperature differences into a dc voltage. To be good thermoelectric materials these materials must have both high electrical conductivity and low thermal conductivity. Having low thermal conductivity ensures that when one side is made hot, the other side stays cold, which helps to generate a large voltage while in a temperature gradient.

The typical efficiency of TEGs is around 5% to 8%. Older devices used bimetallic junctions and were bulky. More recent devices use highly doped semiconductors made from bismuth telluride ( $\text{Bi}_2\text{Te}_3$ ), lead telluride (PbTe), calcium manganese oxide ( $\text{Ca}_2\text{Mn}_3\text{O}_8$ ), or combinations thereof, depending on temperature. Maximizing the efficiency (or, conversely, the total power output) of requires trade-offs between total heat flow through the thermoelectric modules and maximizing the temperature gradient across them. The design of heat-exchanger technologies to accomplish this is one of the most important aspects of engineering of a thermoelectric generator.

Three semiconductors are known to have both low thermal conductivity and high power factor:

- Low temperature materials (up to around 450K): alloys based on Bismuth (Bi) in combinations with Antimony (Sb), Tellurium (Te), or Selenium (Se).
- Intermediate temperature (up to 850K): such as materials based on alloys of Lead (Pb).
- Highest-temperatures material (up to 1300K): materials fabricated from silicon germanium (SiGe) alloys.

Although these materials still remain the cornerstone for commercial and practical applications in thermoelectric power generation, significant advances have been made in synthesizing new materials and fabricating material structures with improved thermoelectric performance. Recent research has focused on improving the material's figure-of-merit ( $zT$ ), and hence the conversion efficiency, by reducing the lattice thermal conductivity.

Researchers are trying to develop new thermoelectric materials for power generation by improving the figure-of-merit  $zT$ . One example of these materials is the semiconductor compound  $\beta\text{-Zn}_4\text{Sb}_3$ , which possesses an exceptionally low thermal conductivity and exhibits a maximum  $zT$  of 1.3 at a temperature of 670K. This material is also relatively inexpensive and stable up to this temperature in a vacuum, and can be a good alternative in the temperature range between materials based on  $\text{Bi}_2\text{Te}_3$  and PbTe.

Besides improving the figure-of-merit, there is increasing focus to develop new materials by increasing the electrical power output, decreasing cost and developing environmentally friendly materials. For example, when the fuel cost is low or almost free, such as in waste-heat recovery, then the cost per watt is only determined by the power per unit area and the operating period. As a result, it has initiated a search for materials with high power output rather than conversion efficiency. For example, the rare earth compound  $\text{YbAl}_3$  has a low figure-of-merit, but it has a power output of at least double that of any other material, and can operate over the temperature range of a waste-heat source.

Many challenges are confronted when designing a reliable TEG system that operates at high temperatures. Achieving high efficiency in the system requires extensive engineering design in order to balance between the heat flow through the modules and maximizing the temperature gradient across them. To do this, designing heat-exchanger technologies in the system is one of the most important as-

pects of TEG engineering. In addition, the system must minimize the thermal losses due to the interfaces between materials at several places. Another challenging constraint is avoiding large pressure drops between the heating and cooling sources.

When selecting materials for thermoelectric generation, a number of other factors need to be considered. During operation, ideally the thermoelectric generator has a large temperature gradient across it. Thermal expansion will then introduce stress in the device, which may cause fracture of the thermoelectric legs, or separation from the coupling material. The mechanical properties of the materials must be considered and the coefficient of thermal expansion of the n- and p-type material must be matched reasonably well.

Thermoelectric generators can be applied in a variety of applications. Frequently, thermoelectric generators are used for low-power remote applications or where bulkier but more efficient heat engines such as Stirling engines would not be possible. Unlike heat engines, the solid-state electrical components typically used to perform thermal to electric energy conversion have no moving parts. The thermal to electric energy conversion can be performed using components that require no maintenance, have inherently high reliability, and can be used to construct generators with long service-free lifetimes. This makes thermoelectric generators well suited for equipment with low to modest power needs in remote uninhabited or inaccessible locations such as mountaintops, the vacuum of space, or the deep ocean.

Besides low efficiency and high cost, two general problems exist in such devices: high output resistance and adverse thermal characteristics.

- High output resistance. In order to get a significant output voltage, a very high Seebeck coefficient is needed (high  $V/^{\circ}C$ ). A common approach is to place many thermo-elements in series, causing the effective output resistance of a generator to be very high ( $>10\Omega$ ). Thus, power is only efficiently transferred to loads with high resistance; power is otherwise lost across the output resistance. This problem is solved in some commercial devices by putting more elements in parallel and fewer in series.
- Adverse thermal characteristics. Because low thermal conductivity is required for a good thermoelectric generator, this can severely dampen the heat dissipation of such a device (i.e., thermoelectric generators serve as poor heat sinks). They are only economical when a high temperature ( $>200^{\circ}C$ ) can be used and when only small



**21-1. TG8-1.0 Thermoelectric Module from GMZ.**

amounts of power (a few watts) are needed.

Most thermoelectric generator module manufacturing companies use many thermoelectric couples that are sandwiched between two pieces of non-electrically conductive materials.

It is also necessary for this material to be thermally conductive to ensure a good heat transfer; usually two thin ceramic wafers are used to form what is called a “thermoelectric module.”

Each module can contain dozens of pairs of thermoelectric couples called thermoelectric generator modules, TEC modules, and sometimes Peltier or Seebeck modules, which simply denotes whether they are being used to generate electricity (Seebeck) or produce heat or cold (Peltier). Functionally there is no difference between the two. They both are capable of producing heat and cold or generating electricity, depending on whether heat is applied or an electrical current.

There are differences in performance between various modules depending on what they were manufactured for. For example, if a module is being manufactured for use in a 12-volt dc automotive cooler, the thermoelectric couples will be of a thicker gauge and so will the wire connecting the modules to the 12-volt dc power source. In most cases, the module itself is quite large. This is simply because the module will be conducting a heavy load of current and will need to be able to handle the load. Although these type modules can be used to produce electricity, they are not well suited for the task because they have a high internal resistance (lowering output) and lower temperature solder that may melt if used for Seebeck purposes. This means the electrical connection may fail when the higher heat needed to produce significant amounts of electricity is applied to the module.

### GMZ-Energy

GMZ-Energy’s TG16-1.0 thermoelectric module is capable of producing twice the power of the company’s first product, the TG8 (Fig. 21-1). The highly efficient TG16-1.0 directly converts waste heat into usable electricity and is well suited for extremely high-temperature environments, such as those in boilers and furnaces.

By doubling the power density, GMZ’s new module substantially increases performance while maintaining a minimal footprint. The TG16-1.0 will augment the TG8, enabling dramatic efficiency improvements and new functionalities in products requiring high power density. Now, with two product offerings, GMZ is capable of providing a solution to even more OEM partners around the world.



GMZ Energy's proprietary platform technology enables low-cost manufacturing of bulk thermoelectric materials. The company's patented nano-structuring process reduces thermal conductivity while maintaining electrical conductivity, enhancing the performance ("figure of merit,"  $zT$ ) by 30% to 60% across multiple classes of thermoelectric materials, including bismuth telluride, lead telluride, skutterudites, silicon germanium, and half-Heusler materials.

The company has recently applied its nano-structuring process to half-Heusler materials, yielding a unique combination of high performance, high strength and low cost. GMZ's proprietary method of bulk manufacturing TE materials of less than 1 micron in size is more cost-effective than known nanowire or thin-film manufacturing methods for temperatures of 550°C to 650°C on the hot side and 100°C on the cold side.

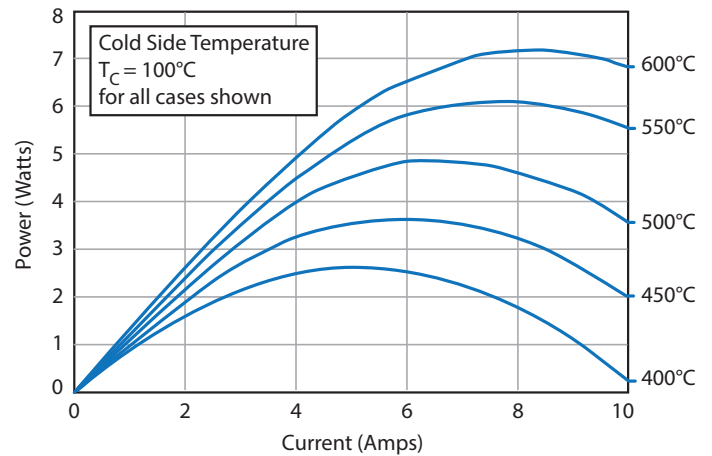
A demonstration of the TEG's ability to convert a vehicle's waste heat into electricity was performed for the Army's TARDEC (Tank Automotive Research, Development and Engineering Center) program. For that program, GMZ Energy successfully demonstrated a 1,000W TEG designed for diesel engine exhaust heat recapture. The company integrated five 200W TEGs into a single 1,000W diesel engine solution that directly converts exhaust waste heat into electrical energy, which increases fuel efficiency and lowers overall costs.

The GMZ TEGs demonstrated continuous output power with no degradation in performance over the test period. To simulate vehicle performance, the unit was tested by connecting directly to the exhaust of a 15-liter V8 diesel engine inside an engine test cell. At approximately 80 liters (2.8 ft<sup>3</sup>), GMZ's TEG was less than one-third of the TARDEC program's specified size requirement.

The operating temperature range of a TEG depends on the materials employed. For example, a bismuth-tellurium system is suitable for relatively low temperature operation (room temperature to 200 °C), whereas silicon-germanium alloys work best for high-temperature applications (>800°C). For moderate temperature ( $T = 500^{\circ}\text{C}$  to  $800^{\circ}\text{C}$ ) heat sources such as a vehicle's exhaust and industrial waste heat, half-Heusler types are the material of choice.

The GMZ TEGs demonstrated continuous output power with no degradation in performance over the test period. To simulate vehicle performance, the unit was tested by connecting directly to the exhaust of a 15-liter V8 diesel engine inside an engine test cell. At approximately 80 liters (2.8 ft<sup>3</sup>), GMZ's TEG was less than one-third of the TARDEC program's specified size requirement.

With this demonstration, GMZ successfully reached an important milestone in the \$1.5 million vehicle-efficiency program sponsored by TARDEC and administered by the U.S. Department of Energy (DOE). With battlefield fuel



**21-2. TG8-1.0 power output as a function of temperature and output current.**

costs ranging from \$40 to \$800 per gallon, the U.S. military is especially interested in thermoelectric technologies, which are physically robust, have long service lives, and require no maintenance due to their solid-state design.

GMZ's patented half-Heusler material is uniquely well suited for military applications. The 1000W TEG features enhanced mechanical integrity and high-temperature stability thanks to a patented nano-structuring approach. GMZ's TEG also enables silent generation, muffles engine noise, and reduces thermal structure. Half-Heusler is environmentally friendly and mechanically and thermally robust, although cost may be an eventual issue.

The TARDEC TEG incorporates GMZ's TG8-1.0 modules, which are the first commercially available modules capable of delivering power densities greater than one Watt/cm<sup>2</sup> while operating at 600°C. Fig. 21-2 shows the power output of a TG8-1.0 module as a function of current and temperature. The TARDEC 1000W TEG consists of 400 TG8-1.0 modules with associated cold-side and hot-side heat exchangers and manifolds. GMZ did the engineering and CFD simulation to project performance. The technology's uniqueness is its ability to operate at high-temperature gradients (high  $\Delta T$ ), which allows the extraction of more power per unit area of the TEG modules.

The next phase of this program will be testing in a Bradley Fighting Vehicle. Besides saving money and adding silent-power functionality for the U.S. military, this TEG can increase fuel efficiency for most gasoline and diesel engines. This low-cost TEG technology fits into a broad array of commercial markets, including long-haul trucking, heavy equipment, and light automotive.

Due to the high currents involved, GMZ usually employs series connections to maximize voltage and minimize current as much as possible as well as to minimize  $I^2R$  losses. Because diesel exhaust is less than 600°C and the module

hot-side temperature is even lower than the flow temperature, the modules do not give their full power output the way they do in other applications. However, even with the derating to account for the lower hot-side temperature, the economics of incorporating these systems is very compelling with payback times typically less than 12 to 24 months.

A high  $\Delta T$  capability can result in higher efficiency in some cases. However, what really matters is the \$/Watt. When the input energy is free, the cost of the output energy is driven entirely by the cost of the generator. GMZ designed the system to minimize the \$/W in order to maximize their utility to the largest possible set of prospective users. Because any thermoelectric material generates more power with higher  $\Delta T$ , GMZ focused on half-Heusler material systems, which have very high temperature capability. GMZ modules are rated for 600°C continuous hot-side capability with 700°C intermittent. This maximizes power per device, which minimizes the \$/W.

In volume production, GMZ expects its TEG systems to be below \$1/W.

GMZ Energy's proprietary platform technology enables low-cost manufacturing of bulk thermoelectric materials. The company's nano-structuring process reduces thermal conductivity while maintaining electrical conductivity, enhancing the performance (figure of merit,  $zT$ ) by 30% to 60% across multiple classes of thermoelectric materials, including bismuth telluride, lead telluride, skutterudites, silicon germanium, and half-Heusler materials.

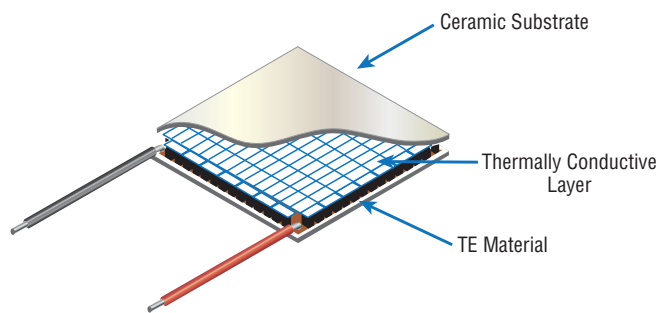
Compared to thin-film and nanowire materials, GMZ's nano-structured bulk materials have superior mechanical integrity and high-temperature (20°C-800°C) thermal stability. GMZ's TEG materials and processes also allow direct bonding to interconnect without the need for metallization, which lowers costs and increases module durability and life cycle. This enables the module to provide consistent energy over long-term cycling, even in the most challenging environments.

The 1000W TEG is composed of 400 TG8 modules with associated cold-side and hot-side heat exchangers and manifolds. GMZ did the engineering and CFD simulation to project the performance. GMZ's uniqueness is its ability to operate at high-temperature gradients (high  $\Delta T$ ), which allows the extraction of more power unit area of its TE modules.

The 1000W test unit included 400 modules. In general, GMZ tries to do series connections (maximize voltage and

minimize current) as much as possible in order to minimize  $I^2R$  losses due to the high currents involved. Because diesel exhaust is less than 600°C and the module hot-side temperature is even lower than the flow temperature, the modules do not give their full power output the way they do in applications like self-powered boilers. However, even with the derating to account for the lower hot-side temperature, the economics of incorporating these systems is very compelling with payback times typically less than 12 to 24 months.

High  $\Delta T$  capability of the TG8-1.0 can result in higher efficiency in some cases. However, what really matters is the \$/Watt. When the input energy is free, the cost of the output energy is driven entirely by the cost of the generator. The system is designed to minimize the \$/W in order to maximize the largest possible set of prospective users. Because any thermoelectric material generates more power with higher  $\Delta T$ , GMZ has focused on half-Heusler material systems that have very high temperature capability. Modules are rated for 600°C continuous hot-side capability with 700°C intermittent. This maximizes the power per device and minimizes \$/W. In volume production, GMZ expects to sell its TEG systems at or below \$1/W.



**21-3. Laird's PCS series of thermoelectric modules are intended for thermal cycling applications.**

### Test and Measurement


In certain applications, thermoelectric modules (TEMs) are typically used to achieve the rapid temperature changes. The advantages of thermoelectric modules over other types of thermal cycling devices are precise temperature control, compactness, faster temperature ramp rates, and efficiency.

The PC Series TEMs from Laird are proven to perform for more than 800,000 temperature cycles and can operate in temperatures up to 120°C. This exceeds the requirements for certain applications and provides a lower total cost of ownership.

These TEMs are constructed with multiple layers between the ceramic substrates, copper buss bars, and semiconductor couples (Fig. 21-3). To reduce thermally induced stress, a flexible and thermally conductive "soft layer" is inserted between the cold-side ceramic substrate and copper buss bars. The integration of the polymer into the thermoelectric modules absorbs the mechanically induced stresses caused by rapid temperature cycling. As a result, the stress induced on the semiconductor couples and solder joints is significantly reduced, extending the overall operational life of TEM.

Thermal cycling exposes TEMs to mechanical stresses as the module contracts and expands from repeated cooling and heating cycles. The high-temperature diffusion of impurities and mechanical stresses over time significantly reduces the operational life of a standard TEM. The PC Series is designed to handle hundreds of thousands of thermal cycles with minimal degradation.

**Among its features:**

- Designed to pass rigorous testing
- Robust construction developed for thermal cycling applications
- 800K+ thermal-cycle operating life
- Superior temperature control stability
- RoHS compliant 

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1. [PET, High-Power-Thermoelectric-Module, powerelectronics.com, December 2014.](#)
2. [PET, Harvesting Unused Energy with Flat Thermoelectrics, powerelectronics.com, January 2013.](#)

3. [PET, Outdoor Thermoelectric Cooler Series, powerelectronics.com, March 2013.](#)
4. [PET, Thin-Film Thermoelectric Modules, powerelectronics.com, February 2013.](#)
5. [PET, Thermoelectric Power Generators for Energy Harvesting, powerelectronics.com, February 2013.](#)
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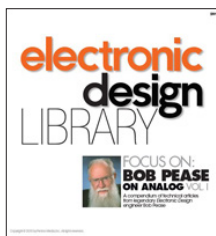
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## CHAPTER 22:

# FUEL CELLS

Fuel cells convert the chemical energy from a fuel into electricity through a chemical reaction of positively charged hydrogen ions with oxygen or another oxidizing agent. They differ from batteries because they require a continuous source of fuel and oxygen or air to sustain the chemical reaction. Fuel cells produce electricity continuously as long as these inputs are supplied. In a battery, the chemicals present in the battery react with each other to generate a voltage. They are used for primary and backup power for commercial, industrial, and residential buildings and in remote or inaccessible areas. They can also be used to power vehicles, including forklifts, automobiles, buses, boats, motorcycles, and submarines.

All fuel cells consist of an anode, cathode, and electrolyte that allows positively charged hydrogen ions (or protons) to move between the two sides of the fuel cell. The anode and cathode contain catalysts that cause the fuel to undergo oxidation reactions that generate positively charged hydrogen ions and electrons. The hydrogen ions are drawn through the electrolyte after the reaction. At the same time, electrons are drawn from the anode to the cathode through an external circuit, producing direct current electricity. At the cathode, hydrogen ions, electrons, and oxygen react to form water.

Individual fuel cells produce relatively small electrical potentials, about 0.7 V, so cells are “stacked,” or placed in series, to create sufficient voltage to meet an application’s requirements. Besides electricity, fuel cells produce water, heat, and, depending on the fuel source, very small amounts of nitrogen dioxide and other emissions. The energy efficiency of a fuel cell is generally between 40% to 60%, or up to 85% efficient in cogeneration if waste heat is captured for use. The most widely used types are:

- Proton Exchange Membrane Fuel Cells (PEMFC)
- Solid Oxide Fuel Cells (SOFC)

## Fuel-Cell Applications

**Automobiles**—As of 2015, two fuel-cell vehicles have

been introduced for commercial lease and sale in limited quantities: the Toyota Mirai and the Hyundai ix35 FCEV. Additional demonstration models include the Honda FCX Clarity and Mercedes-Benz F-Cell. General Motors and its partners estimated that per mile traveled, a fuel-cell electric vehicle running on compressed gaseous hydrogen produced from natural gas could use about 40% less energy and emit 45% less greenhouse gases than an internal combustion vehicle. A lead engineer from the Department of Energy whose team is testing fuel-cell cars said in 2011 that the potential appeal is that “these are full-function vehicles with no limitations on range or refueling rate so they are a direct replacement for any vehicle.”

**Forklifts**—Fuel-cell forklifts lift and transport materials. In 2013, there were over 4,000 fuel-cell forklifts used in material handling in the United States. Most companies in Europe and the U.S. do not use petroleum-powered forklifts, as these vehicles work indoors where emissions must be controlled and instead use electric forklifts. Fuel-cell-powered forklifts can provide benefits over battery-powered forklifts as they can work for a full eight-hour shift on a single tank of hydrogen and can be refueled in three minutes. Fuel-cell-powered forklifts can be used in refrigerated warehouses, because lower temperatures do not degrade their performance.

**Motorcycles and bicycles**—In 2005, a British manufacturer of hydrogen-powered fuel cells, Intelligent Energy (IE), produced the first working hydrogen-run motorcycle called the ENV (Emission Neutral Vehicle). The motorcycle holds enough fuel to run for four hours, and to travel 160 km (100 mi) in an urban area, at a top speed of 80 km/h (50 mph).

**Airplanes**—Boeing researchers and industry partners throughout Europe conducted experimental flight tests in February 2008 of a manned airplane powered only by a fuel cell and lightweight batteries. The fuel-cell demonstrator airplane, as it was called, used a (PEM) fuel-cell/lithium-ion battery hybrid system to power an electric motor, which was coupled to a conventional propeller. In 2003, the world’s first propeller-driven airplane to be powered entirely



by a fuel cell was flown. The fuel cell was a stack design that allowed it to be integrated with the plane's aerodynamic surfaces.

**UAVs**—A Horizon fuel-cell UAV set the record distance flown for a small UAV in 2007. The military is interested in this application because of its low noise, low thermal signature, and ability to attain high altitude. In 2009, the Naval Research Laboratory's (NRL's) Ion Tiger utilized a hydrogen-powered fuel cell and flew for 23 hours and 17 minutes. Fuel cells are also in use to provide auxiliary power in aircraft, replacing fossil-fuel generators that were previously used to start the engines and power on-board electrical needs.

**Boats**—The HYDRA fuel-cell boat used an AFC system with 6.5 kW net output. Iceland committed to converting its vast fishing fleet to use fuel cells to provide auxiliary power and, eventually, to provide primary power in its boats. Amsterdam recently introduced its first fuel-cell-powered boat that ferries people around the city's canals.

**Submarines**—German and Italian submarines use fuel cells to remain submerged for weeks without the need to surface. The U212A is a non-nuclear submarine developed by German naval shipyard Howaldtswerke Deutsche Werft. The system consists of nine PEM fuel cells, providing between 30 kW and 50 kW each. The ship is silent, giving it an advantage in the detection of other submarines.

**Portable Power Systems**—Fuel cells can be used in the leisure sector (i.e., RVs, cabins, marine), the industrial sector (i.e., power for remote locations including gas/oil wellsites, communication towers, security, weather stations), and in the military sector. SFC Energy is a German manufacturer of direct methanol fuel cells for a variety of portable power systems. Ensol Systems Inc. is an integrator of portable power systems, using the SFC Energy DMFC.

The most important design features in a fuel cell are

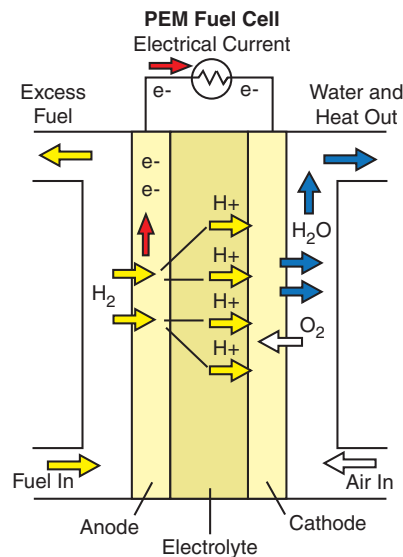
- The electrolyte substance. The electrolyte substance usually defines the type of fuel cell.
- The fuel that is used. The most common fuel is hydrogen.
- The anode catalyst breaks down the fuel into electrons and ions. The anode catalyst is usually made up of very fine platinum powder.
- The cathode catalyst turns the ions into waste chemicals like water or carbon dioxide. The cathode catalyst is often made up of nickel, but it can also be a nanomaterial-based catalyst.

A typical fuel cell produces a voltage from 0.6 V to 0.7 V at full-rated load. Voltage decreases as current increases, due to several factors:

- Activation loss.
- Ohmic loss (voltage drop due to resistance of the cell components and interconnections).
- Mass transport loss (depletion of reactants at catalyst sites under high loads, causing rapid loss of voltage).

To deliver the desired amount of energy, the fuel cells can be combined in series to yield higher voltage, and in

parallel to allow a higher current to be supplied. Such a design is called a "fuel-cell stack." The cell surface area can also be increased, to allow higher current from each cell. Within the stack, reactant gases must be distributed uniformly over each of the cells to maximize the power output.



**22-1. Proton exchange membrane fuel cell**

## Proton Exchange Membrane Fuel Cells (PEMFCs)

In the archetypical hydrogen-oxide proton-exchange membrane fuel-cell design, a proton-conducting polymer membrane contains the electrolyte solution that separates the anode and cathode sides (Fig. 22-1).

On the anode side, hydrogen diffuses to the anode catalyst where it later dissociates into protons and electrons.

These protons often react with oxidants,

causing them to become what are commonly referred to as multi-facilitated proton membranes. The protons are conducted through the membrane to the cathode, but the electrons are forced to travel in an external circuit (supplying power) because the membrane is electrically insulating. On the cathode catalyst, oxygen molecules react with the electrons (which have traveled through the external circuit) and protons to form water.

The materials used for different parts of the fuel cells differ by type. The bipolar plates may be made of different types of materials, such as, metal, coated metal, graphite, flexible graphite, C-C composite, carbon-polymer composites, etc. The membrane electrode assembly (MEA) is referred as the heart of the PEMFC and is usually made of a proton exchange membrane sandwiched between two catalyst-coated carbon papers. Platinum and/or similar type of noble metals are usually used as the catalyst for PEMFC. The electrolyte could be a polymer membrane.

## Phosphoric Acid Fuel Cell (PAFC)

In these cells, phosphoric acid is used as a non-con-

ductive electrolyte to pass positive hydrogen ions from the anode to the cathode. These cells commonly work in temperatures of 150°C to 200°C. This high temperature will cause heat and energy loss if the heat is not removed and used properly. This heat can be used to produce steam for air-conditioning systems or any other thermal energy-consuming system. Using this heat in cogeneration can enhance the efficiency of phosphoric acid fuel cells from 40% to 50% up to about 80%. Phosphoric acid, the electrolyte used in PAFCs, is a non-conductive liquid acid that forces electrons to travel from anode to cathode through an external electrical circuit. Since the hydrogen ion production rate on the anode is small, platinum is used as a catalyst to increase this ionization rate. A key disadvantage of these cells is the use of an acidic electrolyte. This increases the corrosion or oxidation of components exposed to phosphoric acid.

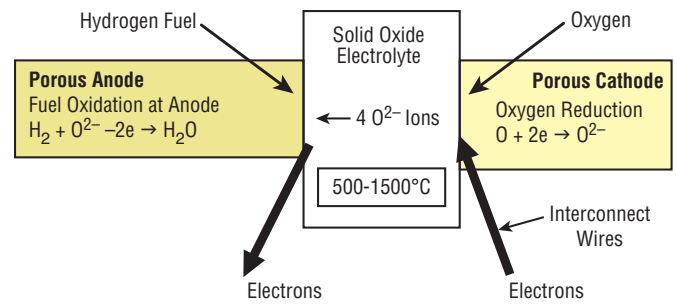
### Solid Oxide Fuel Cells

Solid oxide fuel cells (SOFCs) use a solid material, most commonly a ceramic material called yttria-stabilized zirconia (YSZ), as the electrolyte (Fig. 22-2). Because SOFCs are made entirely of solid materials, they are not limited to the flat-plane configuration of other types of fuel cells and are often designed as rolled tubes. They require high operating temperatures (800°C-1,000°C) and can be run on a variety of fuels including natural gas.

SOFCs are unique in that negatively charged oxygen ions travel from the cathode (positive side of the fuel cell) to the anode (negative side of the fuel cell) instead of positively charged hydrogen ions traveling from the anode to the cathode, as is the case in all other types of fuel cells. Oxygen gas is fed through the cathode, where it absorbs electrons to create oxygen ions. The oxygen ions then travel through the electrolyte to react with hydrogen gas at the anode. The reaction at the anode produces electricity and water as byproducts. Carbon dioxide may also be a byproduct depending on the fuel, but the carbon emissions from an SOFC system are less than those from a fossil-fuel combustion plant.

SOFC systems can run on fuels other than pure hydrogen gas. However, since hydrogen is necessary for the reactions listed above, the fuel selected must contain hydrogen atoms. For the fuel cell to operate, the fuel must be converted into pure hydrogen gas. SOFCs are capable of internally reforming light hydrocarbons such as methane (natural gas), propane, and butane. These fuel cells are at an early stage of development.

Challenges exist in SOFC systems due to their high operating temperatures. One such challenge is the potential for carbon dust to build up on the anode, which slows down the internal reforming process. Research to address



22-2. Solid oxide fuel cell.

this “carbon coking” issue at the University of Pennsylvania has shown that the use of copper-based cermet (heat-resistant materials made of ceramic and metal) can reduce coking and the loss of performance. Another disadvantage of SOFC systems is slow startup time, making SOFCs less useful for mobile applications. Despite these disadvantages, a high operating temperature provides an advantage by removing the need for a precious metal catalyst like platinum, thereby reducing cost. Additionally, waste heat from SOFC systems may be captured and reused, increasing the theoretical overall efficiency to as high as 80% to 85%.

The high operating temperature is largely due to the physical properties of the YSZ electrolyte. As temperature decreases, so does the ionic conductivity of YSZ. Therefore, to obtain optimum performance of the fuel cell, a high operating temperature is required. According to its website, Ceres Power, a UK-based SOFC fuel-cell manufacturer, has developed a method of reducing the operating temperature of their SOFC system to 500°C to 600°C. They replaced the commonly used YSZ electrolyte with a CGO (cerium gadolinium oxide) electrolyte. The lower operating temperature allows Ceres Power to use stainless steel instead of ceramic as the cell substrate, which reduces cost and startup time of the system.

### Theoretical Maximum Efficiency

The energy efficiency of a system or device that converts energy is measured by the ratio of the amount of useful energy put out by the system (output energy) to the total amount of energy that is put in (input energy) or by useful output energy as a percentage of the total input energy. In the case of fuel cells, useful output energy is measured in electrical energy produced by the system. Input energy is the energy stored in the fuel. According to the U.S. Department of Energy, fuel cells are generally between 40% to 60% energy-efficient. This is higher than some other systems for energy generation. For example, the typical internal combustion engine of a car is about 25% energy-efficient. In combined heat and power (CHP) systems, the heat produced by the fuel cell is captured and put to use, increasing the efficiency of the system to up to

85%–90%.

The theoretical maximum efficiency of any type of power generation system is never reached in practice, and it does not consider other steps in power generation, such as production, transportation, and storage of fuel and conversion of the electricity into mechanical power. However, this calculation allows the comparison of different types of power generation. The maximum theoretical energy efficiency of a fuel cell is 83%, operating at low power density and using pure hydrogen and oxygen as reactants (assuming no heat recapture). According to the World Energy Council, this compares with a maximum theoretical efficiency of 58% for internal combustion engines. While these efficiencies are not approached in most real-world applications, high-temperature fuel cells (solid oxide fuel cells or molten carbonate fuel cells) can theoretically be combined with gas turbines to allow stationary fuel cells to come closer to the theoretical limit. A gas turbine would capture heat from the fuel cell and turn it into mechanical energy to increase the fuel cell's operational efficiency. This solution has been predicted to increase total efficiency to as much as 80%.

Solid-oxide fuel cells produce exothermic heat from the recombination of the oxygen and hydrogen. The ceramic can run as hot as 800°C. This heat can be captured and used to heat water in a micro combined heat and power (m-CHP) application. When the heat is captured, total efficiency can reach 80% to 90% at the unit, but does not consider production and distribution losses. CHP units are being developed today for the European home market.

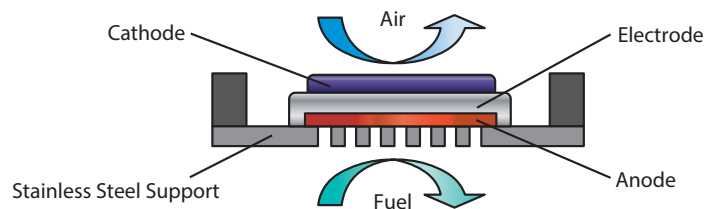
## Power

Stationary fuel cells are used for commercial, industrial, and residential primary and backup power generation. Fuel cells are very useful as power sources in remote locations, such as spacecraft, remote weather stations, large parks, communications centers, rural locations including research stations, and in certain military applications. A fuel-cell system running on hydrogen can be compact and lightweight, and has no major moving parts. Because fuel cells have no moving parts and do not involve combustion, in ideal conditions they can achieve up to 99.9999% reliability. This equates to less than one minute of downtime in a six-year period.

Since fuel-cell electrolyzer systems do not store fuel in themselves, but rather rely on external storage units, they

can be successfully applied in large-scale energy storage, rural areas being one example. There are many different types of stationary fuel cells so efficiencies vary, but most are between 40% and 60% energy-efficient. However, when the fuel cell's waste heat is used to heat a building in a cogeneration system, this efficiency can increase to 85%. This is significantly more efficient than traditional coal power plants, which are only about one third energy-efficient. Assuming production at scale, fuel cells could save 20% to 40% on energy costs when used in cogeneration systems. Fuel cells are also much cleaner than traditional power generation; a fuel-cell power plant using natural gas as a hydrogen source would create less than one ounce of pollution (other than CO<sub>2</sub>) for every 1,000 kW·h produced, compared to 25 pounds of pollutants generated by conventional combustion systems. Fuel cells also produce 97% less nitrogen oxide emissions than conventional coal-fired power plants.

## Steel Cell



### 22-3. Ceres Steel Cell combines unique technology, durable low-cost materials, and existing manufacturing processes.

tions in multiple markets.

The patented Ceres steel cell (Fig. 22-3) is a combination of unique technology, durable low-cost materials, and existing manufacturing processes. The steel cell is unique because it operates at temperatures of 500° C to 600°C, allowing use of low-cost steel and abundant ceramics with cost-effective mass manufacturing, at the same time as delivering high performance.

The steel cell is made by screen printing layers of ceramic ink onto a drilled sheet of steel. Achieving these high-quality ceramic layers at low temperature on steel is protected through extensive registered intellectual property and know-how. Exclusive to Ceres is the use of Ceria in the anode and electrolyte. Ceria is as abundant as copper and is used industrially for dyeing glass, self-cleaning ovens, and catalytic converters in cars. Steel needs no introduction as the backbone of modern life, used in 75% of household applications.

This steel-cell technique is a very efficient way of generating power from gas and can use the existing gas

Ceres Power Holdings Plc's steel-cell technology uses the existing infrastructure of natural gas mains and is manufactured using commodity materials such as steel and standard processes already used in the photovoltaic industry, meaning that it can be mass produced at an affordable price for domestic, business, and other applica-





**22-4. The Ballard Power Systems FCgen-1020ACS has no moving parts and high efficiency, producing clean dc power with a low thermal and acoustic signature.**

infrastructure. Overall efficiency of fossil-fuel use can be improved from around 35% to 40% up to 80% to 90%. This means that regular users could reduce the carbon footprint of their home by 30% and even more for the modern always-on business. The combination of these factors makes the steel cell an efficient, cost-effective, and cleaner way of giving people control over their energy supply.

A fuel cell is the most efficient way of converting fuel energy into electricity. It doesn't matter whether the fuel is natural gas or hydrogen. Fuel cells convert fuel and air directly into power and heat in a chemical reaction. This makes the process efficient, reliable, and quiet.

Fuel passes over the anode side and air passes over the cathode. Sandwiched between the anode and cathode is the very thin electrolyte layer. An external circuit connects the anode to the cathode and provides the mechanism to take power from the fuel cell to power electrical devices.

A single cell can power a low-energy light bulb. Approximately 100 cells are combined to create a stack. One stack could supply up to 90% of a home's electricity needs and all of its hot water. The steel cell is completely scalable; 200 stacks can supply a large office, apartment block, or supermarket.

### FCgen-1020ACS

Ballard Power Systems offers an air-cooled, scalable proton exchange membrane fuel-cell stack suitable for a wide range of light-duty applications where durability, reliability, and a simplified balance of plant are key requirements.

The FCgen-1020ACS fuel cell (Fig. 22-4) has been engi-

neered to incorporate advanced open cathode technology and state of the art self-humidifying membrane electrode assemblies. These features completely eliminate the need for humidification systems and simplify system integration. The result is a simple, low-cost design delivering reliable operation over a wide range of challenging conditions.

With no moving parts and high efficiency, the FCgen-1020ACS produces clean dc power with a low thermal and acoustic signature. The FCgen-1020ACS stack can be scaled to meet power requirements from 450W to 3kW and integrated into various end-user applications. The FCgen-1020ACS fuel-cell product is available in a number of cell-configuration options.

### Delphi Solid Oxide Fuel-Cell StackEL CELLS

Delphi's Solid Oxide Fuel Cell (SOFC) technology is commercially ready for a wide range of high-volume stationary power-generation and transportation-industry applications. Delphi's innovative fuel cell is robust, fuel-flexible, and highly efficient (Fig. 22-5). A single Delphi Gen 4 SOFC Stack can provide 9 kW of electrical power and it features a modular design, ideal for integration into large power plants.

Delphi has developed two stack sizes that can be implemented into a stationary or transportation application. They provide increased efficiency and reliability while decreasing emissions when compared to conventional technology. Delphi's low-cost solid oxide fuel-cell stacks are designed for high-volume manufacturing. Processes are developed and critical suppliers have been identified for all components.

Delphi's fuel-cell technology can operate with natural gas, hydrogen, gasoline, diesel fuel, bio fuels, or other hydrocarbon fuels. The fuel is converted directly to electrical energy without thermal-mechanical conversion. Therefore, potential efficiency is not limited by the Carnot Cycle and



**22-5. Delphi's two stack sizes can be implemented into a stationary or transportation application. Compared to conventional technology, they provide increased efficiency and reliability while decreasing emissions.**



the fuel cell can achieve higher efficiency than internal combustion engines and other conventional power sources. Additional benefits to the fuel-cell technology are the reduction in operating noise and a low level of emissions.

Among other benefits:

- High quality, reliable power:
- Delphi Gen 4 Stack produces up to 9 kW
- Delphi Gen 3 Stack produces 1.5 kW
- Optimum cell sizes (active area) for a range of packaging requirements
- 403cm<sup>3</sup> with the Delphi Gen 4 Stack
- 105cm<sup>3</sup> with the Delphi Gen 3 Stack
- Power density at 500mW/cm<sup>2</sup>
- Stable cell performance
- Equivalent of 40,000 hours of operation
- Delphi has produced more than 30,000 fuel cells
- Thermal Cycle Capability is >200
- High mechanical robustness, achieving the equivalent of 3 million miles in a vibration test schedule. ⏻

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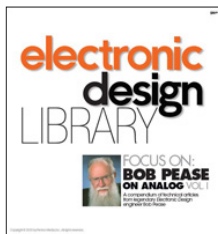
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## CHAPTER 23:

# POWER MANAGEMENT OF TRANSPORTATION SYSTEMS

**P**ower management plays a vital role in transportation systems that travel on land, air, and sea. Regardless of the particular application or technology, power management regulates, controls, and distributes power throughout that system.

Land transportation includes the automotive industry, whose requirements are specific and different from most industrial and commercial applications. Some of these power-management requirements are similar to the general requirements for power supplies, whereas some are exclusive to the automotive industry: reverse polarity protection, load dump, alternator overvoltage, peak current, water resistance, and vibration. **The main issues for electronic equipment in the automotive industry are:**

- Cost
- Reliability
- Electromagnetic compatibility (EMC).

The requirements for the automotive industry are much closer to military requirements, but the cost must be significantly lower. List 23-1 lists the main requirement and conditions of electronic equipment for the automotive industry.

## Semiconductors

At the heart of an automotive power converter are the power semiconductors. Clearly, the main types of power semiconductors used in the automotive industry are MOSFETs, IGBTs, and bipolar transistors. For automotive applications, it is preferable to use semiconductors with a gate threshold voltage of 2-4V or higher. With a lower gate threshold, semiconductor reliability will be reduced or the cost of the gate drive will increase significantly. Consider semiconductors with logic level threshold or GaN type

devices, although GaN has the additional penalty of higher cost. For low voltage, below 200V, obviously a MOSFET is straightforward to use. For high voltage, there is a choice: MOSFETs, IGBTs, bipolar transistors, or a combination thereof.

During the last 15 years the EMI requirements for electronic units for automotive applications has become more stringent, from CISPR25 class 2 to class 4. The main reason is the demand for compatibility. A contemporary vehicle has many electronics units on board and the tendency is for these numbers to grow. Each unit needs to operate without interfering with other units on the vehicle. The next point about EMI is the slew rate of voltage. This slew rate shouldn't be higher than  $2500\text{V}/\mu\text{S}$ , and it's better when it is  $1500\text{V}/\mu\text{S}$  or less. In this case, EMI will be reduced, reliability will be increased, and the cost of the EMI filter itself and shielding will be reduced.

Troubleshooting is included in the cost of the product. If the product is more reliable, it results in a cost reduction of the product for the customer. In the automotive industry, this requirement is much stronger because of the price of troubleshooting is much higher than in the industrial and commercial markets. That's why more reliable design is preferable.

### List 23-1. Requirements and Conditions for Automotive Electronic Equipment

1. Sources: battery and alternator
2. Load dump overvoltage from alternator
3. Reverse polarity protection
4. Jump-start stresses
5. High efficiency under light load and low consumption at idle and key off



**23-1. Tesla predicts that its Roadster 3.0 will have a 400-mile driving range.**

6. Peak currents up to 2900A at 12V
7. Overvoltage spikes to 800V
8. Electromagnetic compatibility
9. CAN-bus communication capability
10. Life time, reliability
11. Mechanical challenges:
  - Water resistance and vibration
12. Operational temperature  $-40^{\circ}\text{C}$  to  $+110^{\circ}\text{C}$
13. Development cycle-time pressures

### Roadster Strives for 400-Mile Range

EV pioneer Tesla Motors will employ several improvements that could achieve a 40% to 50% improvement on range between the original Roadster and the new Roadster 3.0 (Fig. 23-1). There is a set of speeds and driving conditions where the company is confident it can drive the Roadster 3.0 over 400 miles on a single charge.

The company notes that battery technology has had continued steady improvement in recent years, as it has also had in optimizing total vehicle efficiency through Model S development. The company has wanted to apply the experience gained from its first vehicle, and are going to do that with the prototype Roadster 3.0 package. It consists of three main improvement areas.

The original Roadster battery was the very first lithium ion battery put into production in any vehicle. It was state of the art in 2008, but cell technology has improved substantially since then. It has identified a new cell that has 31% more energy than the original Roadster cell. Using this new cell, it has created a battery pack that delivers roughly 70kWh in the same package as the original battery.

### Aerodynamics

The original Roadster had a drag coefficient ( $C_d$ ) of 0.36. Using modern computational methods it expects to make a 15% improvement, dropping the total  $C_d$  down to 0.31 with a retrofit aero kit.

The original Roadster tires have a rolling resistance coefficient ( $C_{rr}$ ) of 11.0 kg/ton. New tires for the Roadster

3.0 have a  $C_{rr}$  of roughly 8.9 kg/ton, about a 20% improvement. It is also making improvements in the wheel bearings and residual brake drag that further reduce overall rolling resistance of the car.

Appointments for upgrading Roadsters will be taken this spring once the new battery pack finishes safety validation. Tesla is confident that this will not be the last update the Roadster will receive in the many years to come.

In addition, CEO Elon Musk said, "We are actually working on a charger that automatically moves out from the wall and connects like a solid metal snake. This can be used with all existing Model S cars, not just future ones."

Last year, Tesla produced more than 22,000 cars; this year it is on track to build about 35,000. By the end of 2015, it will have increased production by another 50%. With Model X on the horizon, Dual Motor Model S now in production, and increasing global demand, the company decided to temporarily pause production in order to increase capacity at the Tesla Factory in Fremont, Calif.

**During the pause in production, the company:**

- Upgraded the assembly line
- Added capacity to the body shop
- Enhanced powertrain assembly
- Revamped facilities for its employees.

The result of this retooling phase, which complemented ongoing upgrade work, is a much-expanded operation that allows the company to produce more cars, faster, while increasing automation and providing a more inviting work environment.

Musk said that the most dramatic changes are to be found in general assembly, where Tesla eliminated a lot of overhead steel and mechanical structures in favor of advanced robots that can lift and maneuver entire cars with optimum precision while taking up less room. Soon, these new robots will even be able to install battery packs in the cars, relieving humans of the most labor-intensive operation in the factory and reducing installation time from four to two minutes.

Alongside the robots, Tesla created a more efficient floor plan with significantly more automation. In addition, the cars now move down the assembly line as associates work on them, enabling a streamlined and more consistent workflow. The line is now running at about 1,000 cars a week with the potential for significantly more with minor adjustments.

In the powertrain department, Tesla added conveyors and advanced robots that have given them the capacity to process 1 million battery cells per day, up from 800,000. In body-in-white, they've added new welding equipment and improved production uptime by 5% to 10%, thanks in part to a 13-car buffer that guards against bottlenecks. Also added are 24 new tire and export docks to the perimeter of



**23-2. An autonomous Volvo lets the “driver” relax.**

the main building, increasing the speed with which it can deliver cars overseas.

As well as making the plant brighter by installing skylights, replacing fluorescent lights with energy-saving LED lamps, and painting previously gray walls and floors a bright white, they’ve added a few novel touches. For instance, they wrapped several pillars with climbing plants to add some greenery to the surroundings. They had a comic artist depict the manufacturing process in a series of illustrations, which were printed on the glass walls enclosing some of the robots. And they’ve added a wall of framed photos showing the factory building in different guises over its 54-year existence.

### Sit Back and Enjoy the Ride .... to Work

At the 2016 Consumer Electronics Show (CES), Volvo revealed that it is developing intelligent, high-bandwidth streaming capabilities with its technology partner, Ericsson, that will ensure drivers and passengers get the most out of their time traveling in an autonomous Volvo (Fig. 23-2). Power management is an important design consideration for autonomous cars because of the different voltages necessary for sensors and mechanical controls.

Volvo recently unveiled its design vision for fully autonomous cars with Concept 26. Now it is actively working on future solutions to deliver the best user experience in fully autonomous mode. Imagine a highway full of autonomous cars with their occupants sitting back watching their favorite TV shows in high definition. “This new way of commuting will demand new technology, and a much broader bandwidth to ensure a smooth and enjoyable experience,” said Anders Tylman, general manager, Volvo Monitoring & Concept Center at Volvo Car Group.

Volvo Cars’ ongoing research into autonomous driving has confirmed what we all know—that the daily commute is taking the joy out of driving. It is during the commute and on long-haul motorway trips that people are most willing to

delegate the act of driving to their car.

With this in mind, Volvo has developed Concept 26, named to reflect the average daily commute to work of 26 minutes—time that could be spent doing something more meaningful than sitting in stop-and-go traffic. Volvo has set out to bring choice and freedom back to the driver; to enjoy the driving experience when they want to, or to delegate driving to the car when they want to do something else.

By learning the most common routes and times of travel and understanding media preferences, future Volvo cars will be able to provide one-click navigation and a customized preference based list of potential media—allowing

customers to choose routes and select content tailored to the amount of autonomous time that is available during their commute.

“With our future autonomous drive technology, we will provide people with the freedom to choose the way they would like to commute and the content they would like to experience,” said Tylman.

### Drive, Create, Relax

“It’s all about people,” said Robin Page, vice president of Interior Design at Volvo Cars.

“Our research clearly shows that some people will want to use their commuting time creatively when they have full autonomous drive available, while others will want to just sit back and relax, watch online media, or listen to music. Autonomous drive will make all of this possible. This is what Concept 26 has captured by reimagining the entire car experience.”

Concept 26 is based around an all-new patented seat design that actively cradles the driver during the transformation phase into one of the three modes: Drive, Create or Relax. With these three modes, the concept creates a new autonomous drive innovation platform that can adapt to new needs and technologies over time.

When the driver wishes to delegate driving to the car the steering wheel retracts, the seat reclines, and a large display emerges from the dashboard, allowing the driver to enjoy the time spent in the car as they like. Concept 26 embraces the need for radical change of the basic design of car interiors and provides a space that can be used as the driver/passenger wishes.

Concept 26 opens up a new paradigm of possibilities in the car—from entertainment to service provision and beyond, using the technology that is now a natural part of our everyday lives. It also signals the huge potential for new business opportunities and high-tech collaborations that autonomous drive will bring.



“We have gone to great lengths to understand the challenges and opportunities that autonomous cars will bring to people in coming years, and our flexible approach to engineering and design, enabled by our new Scalable Product Architecture, means that we can readily bring this from concept to reality,” said Dr. Peter Mertens, senior vice president, research and development at Volvo Car Group.

Volvo Cars’ ongoing Drive Me research project, which will see an extended fleet of fully autonomous cars driving real customers on the roads of Gothenburg, Sweden, in 2017 is further proof that Volvo is a leader in autonomous drive technology, building firmly on its foundation of safety.

“Volvo Cars is among the first to address the subject of self-driving cars and liability. We firmly believe that car makers should take full responsibility for the actions of the car when it is driving in full autonomous mode. If a manufacturer does not accept liability, it clearly implies that they are not confident about their autonomous drive technology,” said Mertens.

### Taking Flight Powered by the Sun

Air transportation today includes Solar Impulse, the solar-powered airplane that flew across the U.S. and landed in New York’s Kennedy airport. It includes high-tech and innovative power electronics subsystems, including solar panels, batteries, and motors.

Solar Impulse is a one-of-a-kind aircraft whose technology highlights the exclusive use of sun power for a trans-continental flight (Fig. 23-3). “Our airplane is not designed to carry passengers, but to carry a message,” said pilot Bertrand Piccard. Pilot Andre Borschberg noted that “from the very start of the project we understood that our primary goal was to save energy.”

The first Solar Impulse from San Francisco, and made stops in Phoenix, Dallas, St. Louis, Cincinnati, and Washington, D.C., before landing in New York City in May 2013. The 3,511-mile journey took 105 hours and 41 minutes in the air. Average speed was 33.14 mph. Piccard and Borschberg alternated piloting the airplane.

The U.S. flight employed version HB-SIA of the Solar Impulse; actually a prototype of what is envisioned as the forerunner of an airplane that will circumnavigate the world, the HB-SIB (Solar 2), whose construction began in 2011. The HB-SIB will have a larger cockpit that will allow the pilot to fully recline during flights lasting four to five days. It will have an increased payload, its electrical circuits will be isolated to enable flights in rain, and system redundancy will improve reliability. Its advanced avionics will allow trans-oceanic travel. Wingspan of the HB-SIB is 262.5 ft. compared to 208 ft. for the HB-SIA.

Solar Impulse HB-SIB required development of new materials and new construction methods. For example, Solvay



**23-3. The wing of the HB-SIA is filled with solar cells used to charge the batteries that power the motors and cockpit electronic systems.**

has invented electrolytes that increase the batteries energy density. Bayer Material Sciences is allowing the project to make use of its nanotechnologies. The fuselage is using carbon fibers that weigh less than any previously seen. The carbon fiber sheets are only 25g/m<sup>2</sup>, which is three times lighter than paper. By using carbon fiber construction, the aircraft will weigh about the same as an average automobile.

The aircraft will undergo the same structural strength and vibration testing as the HB-SIA. Flight testing was done in 2014, and a round-the-world flight began in 2015.

### Solar Panels

There were about 12,000 solar cells on the HB-SIA’s wings and horizontal stabilizer. The HB-SIB will have 15,000 of them. This is more impressive than it appears because the panel-building process is all handmade. Plus, the cells are 150 microns thick and rated at 45kW, peak power. Cells were selected for their lightness, flexibility, and efficiency, which is 22%. SunPower Corp. provides the cells, which are then meticulously put together one by one. The process begins when a new batch of solar cells arrives, then are tested three times to verify their output voltage.

After 70 healthy cells are tested and accepted, they are strung together in series, providing 300 V. Following this is a layering process that places a plastic resin under a glass foil, and so forth, eventually laminating the strings. The “sandwich” is then cooked at 95°C for seven hours before being placed on a mold that bends the cells into the desired shape, slightly rounded for the wings. Care is taken to ensure that nothing falls on the panels during the curing process. Any microscopic piece of hair, dust, or an insect could potentially cause a failure, rendering the panel unusable. It takes 10 to 15 hours to make a panel and 48

are needed for the HB-SIB.

A thin fluorine copolymer film protects the solar cells. These cells are brittle and have no mechanical resistance, but when covered with this film, they can be molded into the wing curvature without breaking. The resin is UV-resistant, waterproof, and only 17 microns thin.

## Batteries

Solar panels charge the batteries many times during a typical long flight. Because they are part of the airframe, their weight is critical. Plus, their efficiency and lifespan impact the success of the mission. A set of unique batteries will be employed in the HB-SIB. Made by the Korean producer Kokam, they required extensive research to push their performance limits. The key lies in the complex chemical formula that has improved that battery's oxidation issue, because they age faster and lose efficiency when oxidized. This technology is two years ahead of the industry, but it is the most that can be disclosed at this time. Ameliorating this usual aging process allows Solar Impulse to have batteries able to guarantee 2,000 flight hours for the HB-SIB, compared with only 500 for the HB-SIA. Energy density of the HB-SIA batteries is 260kW/kg (348 HP).

Each of the battery cells is a little different from the other. These cells do not like stress, and extremely hot or cold temperatures impact their performance. Some days a cell is more efficient than others because of certain parameters that were applied the day before. Lithium-polymer can be charged up to 4.35V, but that doesn't necessarily mean that on Friday it will exploit its full potential as it did on Thursday. Battery output voltage depends on the temperature conditions as well as the type of charge and discharge.

To ensure that lithium-polymer cells are fit for the aircraft, they must undergo numerous tests. These tests check the cell's behavior in extreme temperatures, how much energy they can store, and for how long. Tests are also done for a better understanding of their reaction to different situations. The challenge is to find the optimum balance between usable lifespan and energy, which depends on temperature, cell voltage, and current. For example, it was found that keeping a constant temperature of 25°C inside the motor gondolas provides the ideal environment for optimized battery efficiency.

Solar Impulse uses Etel torque motors. Torque motor quality operating in extreme environmental conditions is an important characteristic. Performance must be maintained optimal and constant during non-stop flights for many hours. In addition, motor efficiency must be high to maximize use of precious solar/battery energy. These motors can reach efficiencies of 96%, and they weigh less than other types of motors.

The power plant for the HB-SIA consists of four torque

motors, each of which is powered by 21 kWh (300 V) lithium-polymer batteries, providing 7.5kW (10 HP) for twin-bladed propellers. Batteries associated with each of the four motors are housed in gondolas under the wing. The gondola also includes a power-management subsystem that controls charge/discharge and temperature. Thermal insulation conserves the heat radiated by the batteries to keep them functioning at very low temperatures encountered at high altitudes. Each motor is fitted with a reducer that limits propeller rotation to a 3.5 meter diameter within the range of 200 to 4,000 rpm.

Over an ideal 24-hour cycle, HB-SIA motors delivered a combined average of about 8 HP (6 kW). That's roughly the power used by the Wright Brothers aircraft in 1903. The HB-SIB will employ more powerful motors and batteries.

## Flight Instruments

The cockpit's electronic instruments have three main functions:

- Monitor the power supplied by solar panels to the motors and batteries.
- Communicate to the pilot the necessary information for controlling the airplane.
- Provide real-time information to the mission team that is monitoring the aircraft's flight path and behavior from the ground.

A revolutionary new instrument was developed for the HB-SIA with collaboration from Omega and Claude Nicollier, who heads the Solar Impulse test flight team. Its primary function is to inform the pilot within an accuracy of one degree, the bank angle of the aircraft (the turn or change of direction when it banks or inclines) must be below five degrees. For this reason, the Omega instrument is connected to gyroscopes that are used to provide stability or maintain a fixed orientation.

Another key function of the Omega instrument is to inform the pilot of his real flight direction. This is important because the HB-SIA's huge wingspan, combined with lightness, makes it very sensitive to air movements, especially crosswinds, which can cause it to drift. LEDs on the front panel indicate the flight direction to one degree. This also helps align the aircraft in the axis of the runway for landing.

On-board electronics have been optimized to combine lightness and maximum efficiency. In flight, the electronic systems undergo significant temperature variations between low and high altitudes; this must not affect their performance. Therefore, prototype circuits and devices have been destruction-tested with the test results used directly in the manufacturing process of the final systems.

Due to the repair work to the aircraft's main spar, Solar Impulse 2's circumnavigation of the earth was delayed from 2012 to 2015. The aircraft was delivered to Masdar in Abu



**23-4. Elektra One has a maximum power of 16-20 kW, and range is more than 400 km (249 miles) with a flight time of up to more than three hours.**

Dhabi for the World Future Energy Summit in late January 2015, and it began the journey on March 9, 2015. It was scheduled to return to the same location in August 2016. A mission-control center for the circumnavigation was established in Monaco, utilizing satellite links to gather real-time flight telemetry and remain in constant contact with the aircraft and the support team.

The route being followed by Solar Impulse 2 is entirely in the northern hemisphere; its closest approach to the equator was expected to be a flyby of Honolulu at 21.3° N. Twelve stops were originally planned to allow the alternation and rest periods of pilots Borschberg and Piccard, and to ensure good weather conditions for each take-off and landing site along the route. For most of its time airborne, Solar Impulse 2 has been cruising at a ground speed of between 50 and 100 kilometers per hour—usually at the slower end of that range at night to save power. The legs of the flight crossing the Pacific and Atlantic oceans are the longest stages of the circumnavigation, and were each expected to take about five days. On multi-day flights, the pilots take 20-minute naps and use Yoga or other exercises to promote blood flow and maintain alertness.

By the end of May 2015, the plane had traversed Asia. It made an unscheduled stop in Japan to await favorable weather over the Pacific, increasing the expected number of legs of the journey to 13. The aircraft began the

flight from Japan to Hawaii on June 28, 2015 (June 29, Japan local time). With Borschberg in the cockpit, it reached Hawaii on July 3, setting new records for the world's longest solar-powered flight both by time (117 hours, 52 minutes) and distance (7,212 km; 4,481 mi). The flight's duration was also a record for longest solo flight, by time, for any aircraft. During that leg, however, the plane's batteries were damaged by overheating caused by being packed in too much insulation. New parts had to be ordered, and as it was late in the season, the plane was grounded in Hawaii, and the U.S. Department of Transportation is storing the aircraft in a hangar at Kalaeloa Airport on Oahu.

New batteries have been made and were installed in the plane in the early weeks of 2016. Test flights began in February, and the circumnavigation resumed in April 2016, when northern hemisphere days lengthen enough to permit multi-day solar-powered flights.

### Electric Aircraft

For several years, all-electric power assisted gliders and hang gliders have been available. Advantages of electric aircraft include improved maneuverability due to the greater torque from electric motors, increased safety due to decreased chance of mechanical failure, less risk of explosion or fire in the event of a collision, and less noise. There will be environmental and cost benefits associated with the elimination of consumption of fossil fuels and resultant emissions.

Electric aircraft are available from several sources worldwide. As with on-road vehicles, the major problem with electric aircraft is range—the best of both being 160 to 400 km (about 100 to 250 miles) in a practical manned configuration. Following are descriptions of these aircraft.

The battery and solar-panel powered Elektra One (Fig.

23-4) is built of lightweight fiber composite structures. Maximum power is 16-20 kW, and range is more than 400 km (249 miles) with a flight time of up to more than three hours. Its wingspan is 8.6m, and it can carry up to a 90kg payload.

Cri-Cri (Fig. 23-5) uses composite materials instead of metal to reduce overall weight and make room for the high-energy-density lithium batteries that provide power to four brushless electric motors—two mounted back-to-back on nose pods on each side—with counter-rotating propellers. Projected perfor-



**23-5. Cri-Cri uses composite materials instead of metal to reduce overall weight and make room for the high-energy-density lithium batteries that provide power to four brushless electric motors—two mounted back-to-back on nose pods on each side—with counter-rotating propellers.**



mance is 30 minutes of cruise flight at 110 km/h (68 mph); 15 minutes of full aerobatics at up to 250 km/h (155 mph); and a climb rate of approximately 5.3 m/sec (1,020 fpm).

Yuneec's E430 (Fig. 23-6) is a two-seat, V-tailed, composite aircraft with a high-aspect ratio wing. Take-off speed is 40 mph, cruise speed is 60 mph, and max speed is 95 mph. The company claims that the battery packs have an expected lifespan of 1,500 hours and cost \$7,000 each, with the aircraft carrying three to five battery packs, giving two to two and half hours endurance. The batteries can be recharged in three to four hours from a 220V outlet.

Sonex is the prototype of a Waix E-Flight Electric-powered plane resulting from a partnership between Sonex Aircraft LLC and AeroConversions. This electric plane (Fig. 23-7) will use a dc cobalt motor, controller, and highly efficient battery and charging system. The emphasis will be placed solely on power-plant research and development to develop an efficient sturdy and efficient power system.

The Puffin is a vertical-takeoff and landing aircraft, taking off like a helicopter and flying like a plane. Just 60 horsepower gets pilot and craft airborne. It is 12 feet high with a 13.5-foot wingspan and its rotors are nearly 7.5 feet in diameter. Rather than tilting the rotors forward for horizontal flight, the whole craft, cockpit and all, pitches forward, so the pilot flies from a prone position. During takeoff and landing, the tail splits into four legs that serve as landing gear, and flaps on the wings deploy to keep the aircraft stable as it lifts and descends. It can cruise at 150 miles per hour and sprint at more like 300 miles per hour. Its range is 50 miles, which is related to its battery density. Using carbon composite construction, the Puffin weighs less than 400 pounds including the lithium phosphate batteries. Fig. 23-8 shows the Puffin parked in its vertical position and Fig. 23-9 shows the Puffin in flight.

### Battery-Powered Unmanned Aircraft

AeroVironment's Puma AE (All Environment) is a small unmanned aircraft intended for land-based and maritime operations (Fig. 23-10). Capable of landing in the water or on land, the Puma AE empowers the operator with operational flexibility.

The Puma AE is durable with a reinforced fuselage construction, portable for ease of mobility, and requires no auxiliary equipment for launch or recovery operations. The system is quiet to avoid detection and operates autonomously, providing persistent intelligence, surveillance, reconnaissance, and targeting data (ISRT).

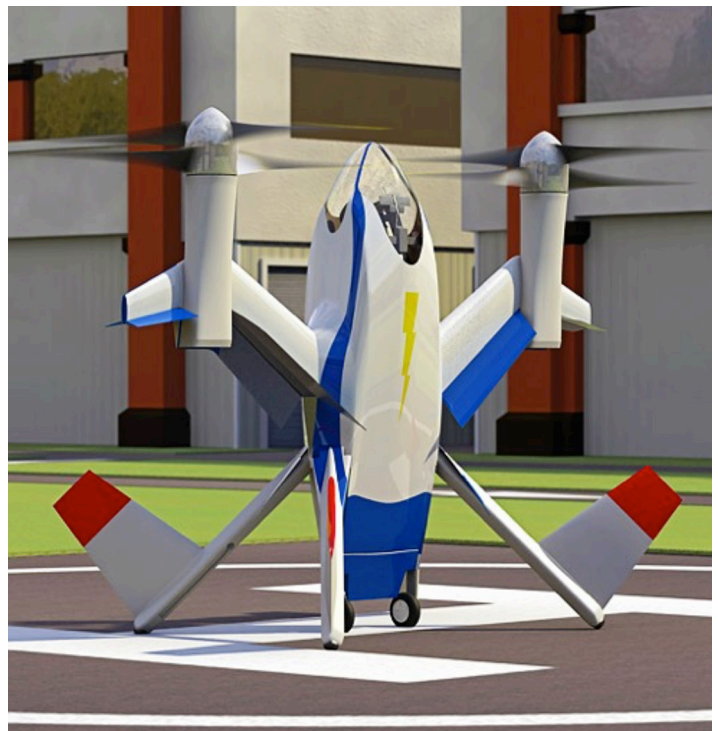
The Puma AE delivers 3.5-plus hours of flight endurance, with versatile smart-battery options to support diverse mission requirements. Its powerful propulsion system and aerodynamic design make it efficient and easy to launch, especially in high altitudes and hotter climates. A



23-6. The E430 uses three lithium polymer battery packs that allow it to fly for two hours in an “optimum cruise” with two people on board.



23-7. The Sonex electric airplane will use a dc cobalt motor, controller, and highly efficient battery and charging system. It will emphasize power-plant research and development.



23-8. The Puffin is a vertical-takeoff and landing aircraft, taking off like a helicopter and flying like a plane. It uses carbon composite construction and weighs less than 400 pounds, including the lithium phosphate batteries. It is shown parked in its vertical position.





**23-9. Puffin in flight showing the pilot in the prone position.**

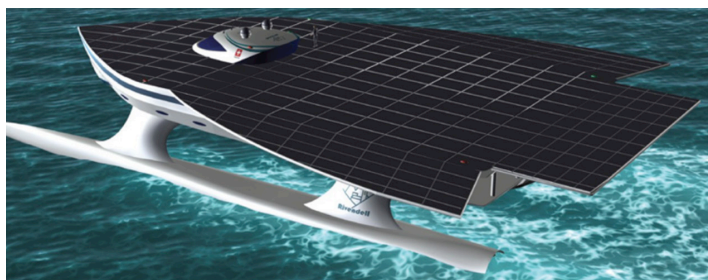
plug-and-play power adapter is provided for easy integration of future extended endurance options, such as, solar and fuel cell solutions.

It carries both an electro-optical (EO) and infrared (IR) camera plus illuminator on a lightweight mechanical gimbaled payload, allowing the operator to keep “eyes on target.” For increased payload capacity, an optional under-wing Transit Bay is available for easy integration of third-party payloads such as communications relay, geo locations, or laser marker to meet the diverse needs of military or civilian applications.

The precision navigation system with secondary GPS provides greater positional accuracy and reliability of the Puma AE. The UAV is operated from AeroVironment’s battle-proven ground control station (GCS) with a communications range of 15 km. The GCS allows the operator to control the aircraft manually or program it for GPS-based



**23-10. AeroVironment’s Puma battery-powered unmanned aircraft.**



autonomous navigation.

### Solar-Powered Ship Travels the World

The MS Tûranor PlanetSolar, the world’s largest solar-powered boat (Fig. 23-11), was the first boat to circumnavigate the world powered exclusively using solar energy. It docked on May 4, 2012, after sailing for 584 days and traveling over 60,000 km.

This initial version of the solar boat is a 31-meter three-hull catamaran. Its deck has over 500 square meters of 18.8% efficient solar panels rated 93 kW. Panels charge 648 series and parallel connected lithium-ion batteries that produce 388 V and 2910 Ah. The batteries power two 10kW (maximum), 1000 rpm permanent magnet synchronous motors in each hull. The boat’s shape allows it to reach speeds up to 14 knots. Its carbon-fiber composite hull was model tested in wind tunnels and was tank tested to determine its hydrodynamics and aerodynamics. Construction cost was 12.5 million euros. Its name, derived from J.R.R. Tolkien’s novel *The Lord of the Rings*, translates to “The Power of the Sun.”

Its two years of solar circumnavigation were instructive for PlanetSolar and led to an initial assessment of the vessel’s performance. This assessment indicated where optimizations were needed to make the boat more efficient and maneuverable. These improvements will expand and diversify the boat’s applications and uses; notably, enabling it to navigate to the northernmost part of the Atlantic, near the Arctic, for the first time.

The ship recently went to sea with a team of scientists who will monitor the air and water of the Atlantic Ocean’s Gulf Stream, a current that influences the climates of North America’s east coast and Europe’s west. The team of scientists will monitor ocean phenomena such as eddies and whirlpools that, in the right environment, help to power the so-called ocean conveyor belt that drives circulation in the oceans.

This new four-month scientific expedition will take the Tûranor out of the Mediterranean headed toward Miami, Fla., at the southwestern tip of the Gulf Stream. From there, physicists, biologists, and climatologists from the University of Geneva, led by Professor Martin Beniston, director of the Institute of Environmental Sciences at the university, will begin continuous monitoring of the air and water in a project dubbed PlanetSolar Deep Water.

Built in Kiel, Germany, the catamaran runs exclusively on solar energy. After two years of design and construction, PlanetSolar was responsible for many technological advances, notably in the domain of composite material

**23-11. MS Tûranor PlanetSolar, the world’s largest solar-powered boat.**

manufacturing and solar energy storage.

In preparation for its 2013 expedition, the MS *Tûranor PlanetSolar* underwent major maintenance upgrades. Among the extensive maintenance tasks and optimizations carried out, most notable was the cabin refurbishment, the creation of a walkway on the solar bridge, an increase in water tank capacity, and improvement to the rudder. The most significant optimization was a change to the propulsion system—replacing the surface propellers with a completely immersed system.

This project is under the direction of the University of Geneva, Switzerland. Founded in 1559, the University of Geneva (UNIGE) ranks among the top 100 universities in the world. UNIGE welcomes approximately 16,000 students each year to its eight colleges, dealing with the essential domains of science, medicine, literature, economic and social sciences, law, theology, psychology, education, translation, and interpretation sciences.

### U.S. Navy's DDG 1000 Incorporates an Integrated Power System

The future USS Zumwalt (DDG 1000) has begun sea trials in the Atlantic Ocean (Fig. 23-12). The largest destroyer ever built for the U.S. Navy and the first of three Zumwalt-class destroyers, DDG 1000 will be the first U.S. Navy surface combatant to employ an integrated power system (IPS) to generate the total ship electric power requirements, then distribute and convert it for all ship loads from common gas turbine generators. This power flexibility allows for potentially significant energy savings and is well suited to enable future high-energy weapons and sensors. The IPS is a unique design integrating a power system with fight-through power and allowing automatic reconfiguration if there is damage to the power distribution system.

### Integrated Power System (IPS)

An integrated power system is an all-electric architecture that provides electric power to the total ship (propulsion and ship service) with an integrated plant. IPS enables a ship's electrical loads, such as pumps and lighting, to be powered from the same electrical source as the propulsion system (e.g., electric drive). This eliminates the need for separate power-generation capabilities for these loads. In commercial applications, this is known as the "power station" concept.

**Anticipated benefits of IPS include:**

- Fewer prime movers: Usually allows a reduction from a total of seven to five prime movers in the traditional gas-turbine surface combatant.
- Reduced costs of ownership: Results in significant fuel savings (15%-19% in a typical gas-turbine combatant). Fewer engines installed results in less maintenance and



**23-12. The U. S. Navy's DDG-1000 has an integrated power system with an all-electric architecture that provides electric power to the total ship (propulsion and ship service) with an integrated plant.**

managing.

- Naval architectural flexibility: Provides flexibility in locating prime movers, allowing space previously used for uptakes to be put to better use.
- Improved survivability and stealth: Quiet propulsion motors can better meet current acoustic requirements. Smaller main machinery spaces allow for improved damage control.
- Improved warfighting: Integrated power makes large amounts of power available throughout the life of the ship. This power can be reallocated to accommodate future combat systems. Advances in power conversion are making it possible to provide uninterrupted power, advanced fault isolation, and fight-through capabilities beyond what is currently available.

In a typical mechanical-drive propulsion system, the propulsion prime movers are connected to long shafts running through the ship to large reduction gears that rotate the ship's propellers. With electric drive, the prime movers rotate electric generators that are connected through cabling to motor drives and electric motors that rotate a ship's propellers. Electricity is the medium for transmitting the energy of the prime mover. It enables "cross connecting" of any available prime mover/generator combination by breaking the physical link between the power-generation and power-utilization components.

IPS provides for all of a ship's electrical needs, including propulsion and ship service loads. Traditional electric drives only provide for propulsion. They do not include power for ship service loads.

The Navy has used electric drive in many ships, including early aircraft carriers, a number of ships during World War II, and many of the current inventory of smaller auxiliary ships. In fact, the Navy is leveraging as much as possible from the cruise-ship industry, where nearly all new ships employ integrated electric systems. What is new and

significant is the application of these concepts in a fully electrically integrated (no mechanical takeoffs for power) power system on a surface combatant. These ships have higher speed and lower noise requirements than any of the other ships, as well as large combat systems to support. Commercial cruise ships would be too big and too noisy for a surface combatant, and do not have a power-system architecture to let them survive damage and continue to fight.

## Training Required


The new crew trained on components including main and auxiliary turbine generators, propulsion motors and drives, dynamic braking resistors, auxiliary control panels, and high-voltage switchboards. They also spent time working with harmonic filters, neutral ground resistors, the Integrated Fight-Through Power System (IFTP), power conversion modules, and an emergency diesel generator.

Equipment operation was conducted at the local control level, as well as the remote supervisory Engineering Control System (ECS). The ECS system provides a significant advancement in machinery control with automation for system transitions and power management to support the reduced manning concept for the DDG 1000.

DDG 1000's power allocation flexibility allows for potentially significant energy savings and is well-suited to enable future high-energy weapons and sensors.

Its wave-piercing Tumblehome ship design has provided a wide array of advancements. The composite superstructure significantly reduces cross-section and acoustic output, making the ship harder to detect by enemies at sea. The design also allows for optimal manning with a standard crew size of 158 sailors (including air detachment), thereby

decreasing lifecycle operations and support costs.

DDG 1000 will employ active and passive sensors and a Multi-Function Radar (MFR) capable of conducting area air surveillance, including over-land, throughout the extremely difficult and cluttered sea-land interface. 

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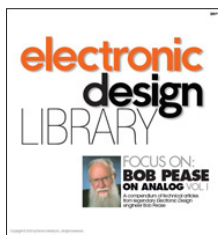
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## CHAPTER 24:

# POWER-MANAGEMENT TEST AND MEASUREMENT

**P**ower management involves test and measurement in three different levels:

- System
- Subsystem
- Component

At the system level, there are ac and dc power analyzers. At the subsystem level, a considerable amount of test and measurement systems can ensure a power supply is working properly. Testing at the component level mostly involves the power semiconductors employed in the system.

## AC Power Glossary

RMS (Root mean squared value) is the most commonly used and useful means of specifying the value of both ac voltage and current. The RMS value of an ac waveform indicates the level of power that is available from that waveform, which is one of the most important attributes of any ac source.

$$\text{RMS value} = \text{Peak Value}/\sqrt{2}$$

**Crest Factor** is the relationship between peak and RMS.

$$\text{Crest Factor} = \text{Peak Value}/\text{RMS Value}$$

For a sinusoid: Crest factor =  $\sqrt{2}$

Because the output current of a switch-mode power supply is not sinusoidal, the crest factor of the current waveform can be much greater than  $\sqrt{2}$

**Average Value** can only have real meaning over one half cycle of a symmetrical waveform.

$$\text{Average Value} = \frac{\text{Area enclosed by one half cycle}}{\text{Length of base over one half cycle}}$$

**Real Power** is the power available to the load to do real work and is given in Watts. With a pure resistive load, you

can multiply the RMS voltage and RMS current to get real power.

**Apparent Power** equals the product of the RMS voltage and RMS current.

$$\text{Apparent Power} = V_{\text{RMS}} \cdot I_{\text{RMS}}$$

**Reactive Power** is the vector difference between Apparent Power and Real Power and is measured in Volt-Amperes (VA). This is correct for sinusoidal waveforms.

**Power Factor** for sinusoidal voltage and current waveforms is the cosine of the phase angle ( $\theta$ ) between the voltage and current waveforms.

$$\text{Power Factor} = \text{Real Power}/\text{Apparent Power}$$

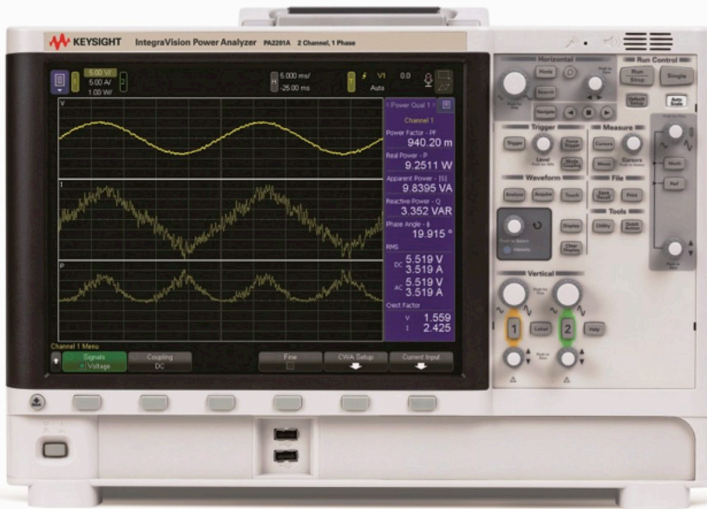
**Harmonic Distortion** on an ac powerline is a non-sinusoidal current waveform that consists of a fundamental component at the supply frequency plus a series of harmonic frequencies that are integral multiples of the fundamental frequency (Fourier Analysis).

**Total Harmonic Distortion (THD)** is a figure of merit that quantifies the level of harmonics in non-sinusoidal ac voltage or current waveforms.

## System: AC and DC Power Analyzers

Power analyzers accurately measure electrical power characteristics of devices that generate, convert, or consume electricity. There are now two types of power analyzers: ac and dc. A dc power analyzer allows engineers to gather and configure multiple instruments to complete dc sourcing and measurement tasks. AC power analyzers provide precise measurements of true power (watts), power factor, harmonics and efficiency in power-conversion equipment. Reliable ac power analyzers enable engineers to minimize energy loss due to distorted, transient waveforms





**24-1. The Keysight IntegraVision ac power analyzer provides oscilloscope-like ability and ac power analysis.**

in power electronics such as inverters, motors, lighting circuits, and power supplies.

Engineers working on electronic power-conversion systems need high-accuracy measurements to identify and characterize incremental efficiency improvements. Precision ac power analyzers offer high accuracy and ease of connection to the DUT, making them ideal for steady-state measurements of power consumption, efficiency, and power quality. For these measurements, the accuracy of the power analyzer gives R&D engineers the measurement integrity they need. With floating inputs and directly connected measurements, precision power analyzers make it easy for engineers to connect to their DUTs.

Traditionally, only oscilloscopes offer the single-shot measurement capability necessary for dynamic measurements during functional test. Furthermore, by offering a visual picture of what is happening, oscilloscopes allow engineers to gain insight into their DUTs and to identify issues. However, their lower accuracy means that making critical efficiency measurements on high-efficiency converters may be impossible. Because oscilloscopes have ground-referenced, non-isolated front ends, probes are required for floating and current measurements. Probes further reduce measurement accuracy and make oscilloscopes harder to connect to the DUT for high-accuracy, power-related measurements.

R&D engineers may have to switch between these two instruments depending on the type of measurement they need to make. They would use a power analyzer to make accurate measurements and an oscilloscope to visualize repetitive and single-shot events such as turn-on and occurrences of transients.

Keysight's PA2000 Series ac power analyzer provides

oscilloscope-like ability and ac power analysis (Fig. 24-1). It supports the capture of voltage, current, and power waveforms over specific periods of time, with measurements made based on cursors placed on the captured waveforms. This helps in examining transient phenomena and in the design of periodically controlled equipment. To ensure that the DUT complies with energy standards, for instance, it is vital to measure power consumption across a range of different modes from sleep to full activity—and all the transient states in between.

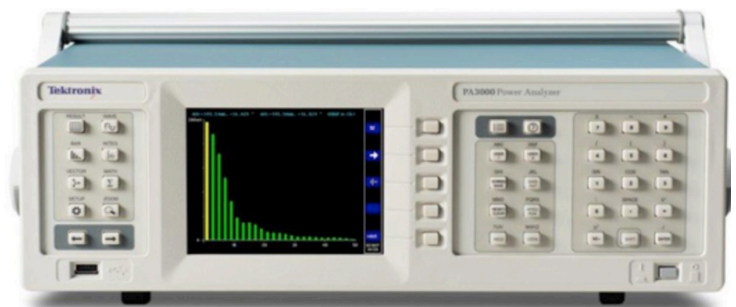
Abnormal phenomena can often be hard to isolate, disappearing from the screen almost as soon as they appear. Like a traditional oscilloscope, you can set up the PA2000 ac power analyzer to make single-shot measurements to capture and measure transient phenomena, including in-rush, cycle dropouts, blackouts/brownouts, and other line disturbances.

Power analyzers use mathematical transformations to analyze signals. For precision, the measurement window cannot have any discontinuities or gaps. Continuous Whole-Cycle Analysis (CWA) used by the PA2000 Series is a gapless measurement technique that always performs measurements on a positive integer number of signal cycles.

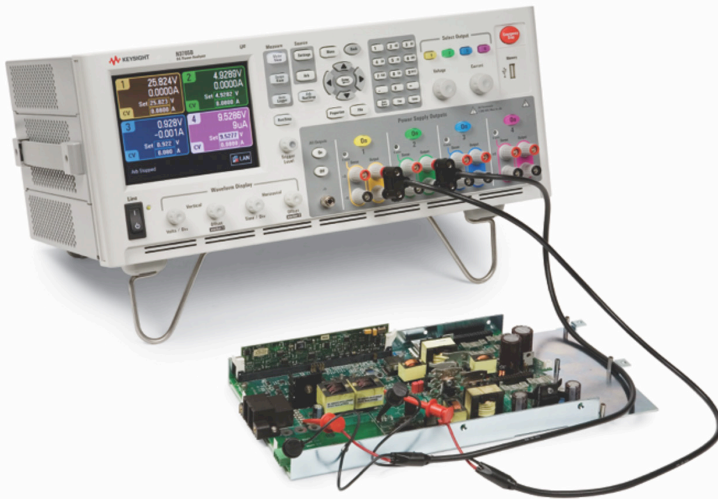
Tektronix' PA3000 is a one- to four-channel power analyzer optimized for testing single- and multi-phase, high-efficiency power-conversion products and designs (Fig. 24-2). Use it to quickly visualize, analyze, and document power efficiency, energy consumption, and electrical performance to the latest regional and international standards, including Level VI, EnergyStar, CEC, IEC 62301, CQC-3146, and more.

### Key features

- One to four channels support single- and three-phase applications
- 10 mW standby power measurement
- 1 MHz bandwidth



**24-2. The Tektronix PA3000 is a one- to four-channel power analyzer optimized for testing single- and multi-phase, high-efficiency power-conversion products and designs.**



**24-3. Keysight's N6705 DC Power Analyzer provides insights into the DUT's power consumption in minutes without writing a single line of code.**

- 1 MS/s sampling rate
- 16 bit A/D
- Harmonic analysis to 100th order  $\pm 0.04\%$  basic voltage and current accuracy
- Measurements to 30 Arms and 600 Vrms Cat II (2000 Vpk)
- USB and LAN interfaces standard (GPIB option)
- Free PWRVIEW software
- Full color graphical display for intuitive readouts of measured values, waveforms, harmonics, and energy integration plots.

#### The essential power-measurement tool for R&D and validation

- High accuracy supports testing to Level VI efficiency standards for external ac/dc power supplies
- Dedicated energy consumption testing in integration mode for standards like Energy Star and CEC
- Complete solution for full compliance testing to IEC 62301 standby power requirements
- 1 MHz bandwidth supports the LED module energy certification requirements of CQC-3146 as well as harmonic analysis of designs with higher fundamental frequencies
- More than 50 standard measurement functions, including harmonics, frequency, and star-delta computation
- Multiple analog and digital inputs for sensor data such as thermocouples, speeds sensors, and torques sensors.
- Built-in  $\pm 15$  V supplies for external transducers to support high-current applications.

#### Applications

- AC/DC power supplies and LED drivers
- Appliances and consumer electronics
- UPS systems, inverters, and dc/ac conversion systems

- Wireless battery charging
- Three phase motors and drives.

Keysight's N6705 DC Power Analyzer represents an entirely new instrument category for R&D engineers (Fig. 24-3). It provides productivity gains when sourcing and measuring dc voltage and current into a DUT. Using the N6705 DC Power Analyzer, R&D engineers can gain insights into the DUT's power consumption in minutes without writing a single line of code. It provides an easy-to-use interface, with all sourcing and measuring functions available from the front panel.

When executing these complex tasks, which can involve simultaneously connecting to and physically interacting with multiple test instruments, the risk of error increases. In response, R&D engineers may choose to automate tests that are too complex to do manually. Unfortunately, while automating tasks reduces human error, writing and debugging programs adds more work to already overloaded R&D engineers.

The N6705 DC power analyzer makes measurement tasks easy, right from the front panel:

- Set up and view critical turn-on/turn-off sequences.
- Measure and display voltage, current versus time to visualize power into the DUT.
- Control dc bias supply ramp-up/down rates.
- Generate dc bias supply transients and disturbances (arbs).
- Log data for seconds, minutes, hours, or even days to see current/power consumption or capture anomalies.
- Save data and screen shots to internal storage or external USB memory devices.
- Save and name your setup and tests for easy reuse.
- Share setups with colleagues.

The Keysight 14585A control and analysis software is a companion PC application that lets you control any of the N6700 family's dc power modules when installed in up to four N6705 mainframes from a single PC control screen. This software provides improved data visualization and data management.

#### Subsystem: Power Supply Testing Test Probes

Designing a power supply requires the testing of various parameters to ensure its proper operation and that it meets system specifications. In addition, some of the same measurements must be employed in troubleshooting a defective power supply. In most cases, these measurements must be made without influencing the performance of the circuit under test.

Along with a vector network analyzer (VNA) and the appropriate probes, you can measure power supply parameters, non-invasively. The probes work for regulators, POLs, converters, and voltage references.



**24-4. Picotest's 1-Port probe is used to make an output impedance measurement of a voltage reference.**

Picotest probes are referred to as PDN probes because they can measure low and ultra-low impedances found in Power Distribution Networks. PDN probes are 50Ω passive transmission-line probes. Their small and lightweight probe heads are intended for easy handling and high-performance measurement. The small form-factor probe tip and variable pitch ground lead allow designers to easily browse different device outputs, component footprints, and test points. There are two types of probes, the 1-port (Fig. 24-4) and 2-port (Fig. 24-5).

Transmission line probes are a special class of passive probe that use a precision transmission line to replace the high-impedance probe cable, found in a traditional passive probe. The probe characteristic impedance matches the 50Ω impedance of a VNA or oscilloscope's input. This reduces the input capacitance to a fraction of a picofarad, minimizing the loading of sensitive outputs with high-frequency signals.

Input impedance of the Picotest probes remain nearly constant over their entire frequency range, whereas a traditional  $\div 10$  passive probe has a high input impedance at dc and drops rapidly with frequency, passing below the input impedance of a transmission line probe at <100MHz.

Transmission-line probes are useful in applications that produce fast-rising, narrow pulses with amplitudes that exceed the dynamic range of active probes. They also tend to have less parasitic effects on frequency response and so they are ideal for measuring impedance. By providing a simple, elegant, and flexible solution to probing high-frequency signals, Picotest's 1- and 2-Port probes preserve signal fidelity and allow high-bandwidth test equipment to properly measure circuit characteristics.

The PDN probes have a wide dynamic range and can measure levels up to 5 V (RMS) without distortion. Their low inherent noise enables measurement of small signal levels. The comprehensive accessory set allows these probes to be connected to a wide variety of DUTs without impairing their very high bandwidth, though the length of the ground lead should be kept as short as possible.

High-speed applications put pressure on the measurement of power-supply buses to unprecedented frequencies. As an example, the measurement of PDN impedance for FPGAs, ASICs, and high-speed digital devices generally requires the measurement of impedance levels in the milliohm scale at frequencies exceeding 1GHz. Measuring the high-speed step-load response in power systems using 2-ports is difficult because of the need to connect two 50Ω transmission lines to the output capacitor. Compounding this difficult task is that these measurements often need to be made on very small circuits such as cell phones, solid-state disk drives, and computer tablets, etc.

These PDN probes are bi-directional. They can be used like a traditional probe to record signals or used to inject stimulus. For example, you can use a 1-port probe to inject a wide-band signal into your power rails in order to look for power-plane resonances or troubleshoot EMI problems. The 2-port probe can be used to transmit a stepped-load current pulse through one port, while measuring the voltage response from the other port, simultaneously.

These probes alleviate many physical testing challenges associated with traditional probes while maintaining precision 50Ω characteristics for a wide variety of measurements.

**Among the important power-supply functional measurements are:**

- Control loop stability
- Power supply rejection ratio (PSRR)
- Ripple and noise
- Bandwidth
- Rise time
- Output impedance.

## Electronic Loads



**24-5. The Picotest 2-port probe.**





**24-6. Chroma System Solutions' 63200 electronic load.**

An electronic load is a test instrument that emulates dc or ac resistance loads normally required to perform functional tests of batteries, power supplies, or solar cells. Programmability allows tests like load regulation, battery-discharge curve measurement, and transient tests can be fully automated and load changes for these tests can be made without introducing switching transient that might change the measurement or operation of the power source under test.

The electronic load electrically “looks like” a programmable resistor. These most commonly use one transistor/FET, or an array of parallel connected transistors/FETs for more current handling, to act as a variable resistor. Internal circuitry in the equipment monitors the actual current through the transistor/FET, compares it to a user-programmed desired current, and through an error amplifier changes the drive voltage to the transistor/FET to dynamically change its resistance. This negative feedback results in the actual current always matching the programmed desired current, regardless of other changes in the supplied voltage or other variables. Most commercial dc loads are equipped with microprocessor front-end circuits that allow the user to not only program a desired current through the load (constant current, or CC), but the user can alternatively program the load to have a constant resistance (CR) or constant power dissipation (CP).

Chroma System Solutions' 63200A (Fig. 24-6) is a high-power electronic load intended for testing power-conversion products including ac/dc and server power supplies, dc/dc converters, EV batteries, automotive charging stations, and other power electronics components. Built-in digital signal microprocessors (200MHz) provide optimal speed and control performance. These loads can be synchronously paralleled and dynamically synchronized for generating complex multi-channel transient profiles. The 300% peak overpower capability provides extra headroom for fault-condition simulations in automotive batteries and fuel cells.

Advantages of the 63200A series include ultra-high density power (6kW@4U), built-in digital microprocessors, master/slave parallel control, sine wave dynamic loading, and an industry leading measurement accuracy achieving 0.015%+0.015% F.S., 0.04%+0.04% F.S., and 0.1%+0.1% F.S. accuracy for voltage, current, and power measurement, respectively.

The front panel includes iconic function selectors via rotary knob or arrow keys and a vacuum fluorescent display. For pain-free viewing and access, the entire front panel tilts upward on 7U, 10U, and 13U models. Operation can be achieved by the front panel or from a remote workstation via standard USB or optional Ethernet and GPIB interfaces.

The 63200A series is complete with 150V, 600V, and 1200V voltage ranges and 3kW to 24kW power ranges. The maximum current of a single unit is 2000A and up to 480kW when paralleled. These high-power dc electronic loads are designed for testing server power supplies, A/D power supplies, batteries and energy storage systems, EV/EVSE, solar, and other power electronics.

## Components: Power Semiconductors

Typical power semiconductor data sheets usually describe device behavior, but only over a limited range of operating conditions. This makes the operating conditions even more complicated because improved power MOSFETs and IGBTs can now operate at several hundred volts and hundreds of amps. This challenges the designer to obtain his/her own accurate power device measurement data over a broader range of operating conditions than those provided in data sheets.

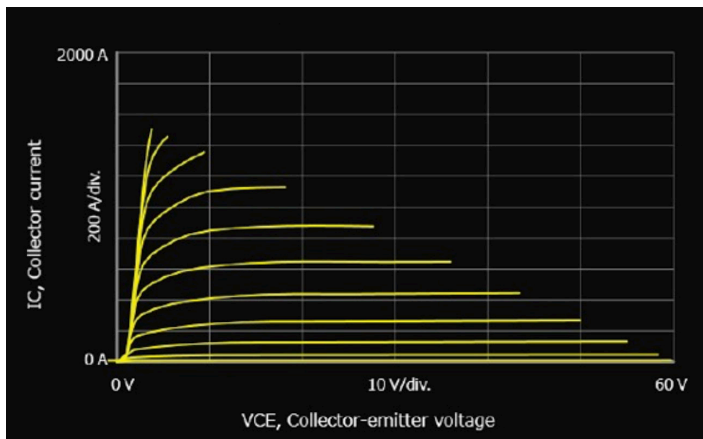
**Test measurements necessary to support the choice of the optimum power MOSFET in terms of power dissipation, reliability and efficiency, include:**

- Static characterization (breakdown,  $R_{DS(ON)}$ , leakage, etc.)
- Characterization based on bias and temperature conditions experienced by devices in the actual end item system
- Drain (collector) voltage dependency of FET junction capacitances
- Gate charge characteristics
- Power losses.

For best results, use a test instrument that provides wide current and voltage measurements. To minimize device heating, testing the power semiconductor at high currents must be done using a series of short pulses. Fig. 24-7 shows a typical plot of  $I_C$  vs.  $V_{CE}$  and Fig. 24-8 shows the breakdown voltage characteristics of a power MOSFET.

Although no current should flow in the off-state of high-power applications, there can often be small leakage currents. This means that even sub-nanoamp current measurements on the power devices may be necessary to

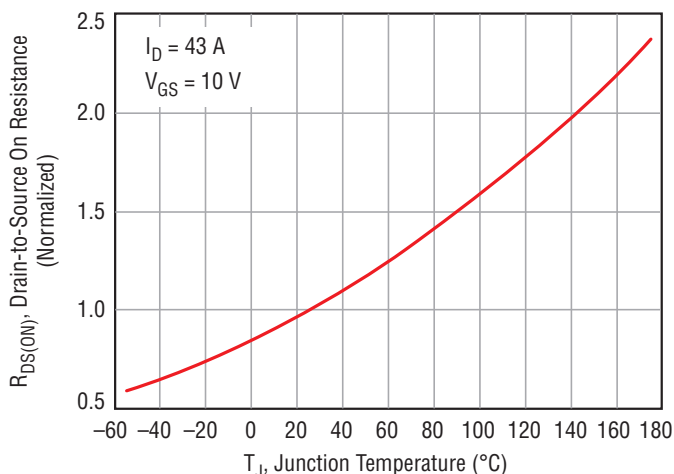




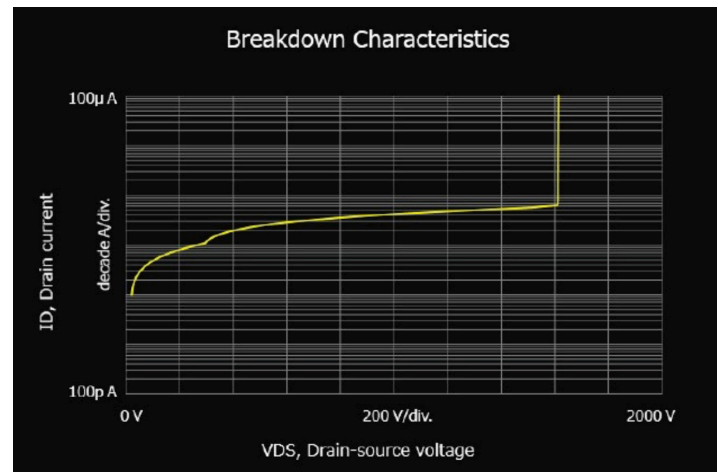
24-7. Typical plot of  $I_C$  vs.  $V_{CE}$  presented on a Keysight B1506A.

guarantee the energy efficiency of the end product. Understanding the maximum current when a device is on and the leakage current when a device is off is important because the test instrument must be able to measure currents as low as picoamps and voltages as low as microvolts as well as much higher operating currents and voltages.

Besides measuring general device characteristics over temperature, the designer may also want to screen various devices because they can look fine at room temperature, but be out of spec at a low or high temperature. Unfortunately, temperature characterization of power devices is not easy. Special measurement techniques must be used because these tests require a thermal test chamber whose temperature can take a long time to stabilize, and long cables leading from the chamber to the test equipment can create resistive and inductive oscillation problems. And, some applications may require performing device tests at temperature extremes, such as  $-50^{\circ}\text{C}$  to  $250^{\circ}\text{C}$ . Fig. 24-9 is an example of temperature dependence of a power MOSFET that shows the variation of on-resistance



24-9. Variation of on-resistance ( $R_{DS(ON)}$ ) vs. temperature presented on a Keysight B1506A.



24-8. Breakdown characteristics of a power MOSFET presented on a Keysight B1506A.

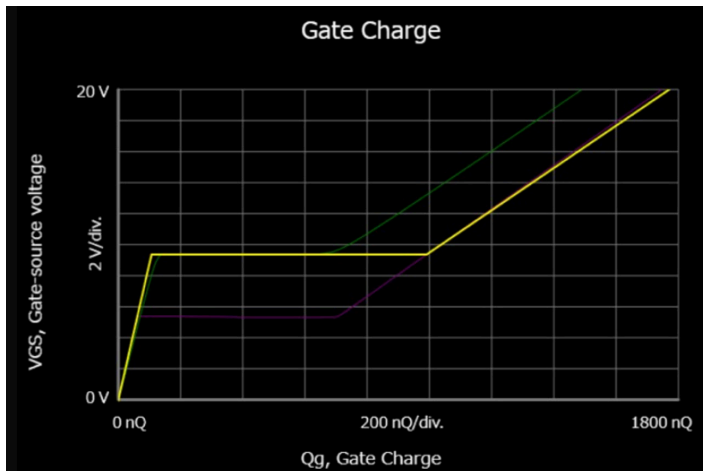
vs. temperature.

For all levels of current and voltage, it is important to obtain accurate and reliable measurement results. The larger the measurement error is in device evaluation, the larger the negative impact it has on circuit operating margins and peripheral circuit design. However, test data taken using traditional power device measurement equipment (such as curve tracers) is suspect in terms of measurement accuracy and reliability. Therefore, many circuit designers only use curve tracers to verify gross device functionality, and take the numerical measurement results as only a rough indication of device behavior. Obviously, when the need arises to compare the characteristics of multiple devices or to verify whether a device meets a manufacturer's specifications, an approximate device characterization data is not meaningful.

A key parameter to determine from testing is total gate charge ( $Q_G$ ), which is the total amount of charge necessary to turn on a power MOSFET. It is an extremely important parameter when estimating the driving loss during circuit operation. The driving loss consists of the product of  $Q_G$ , the gate voltage ( $V_G$ ), and the switching frequency. Accurate  $Q_G$  evaluation allows precise driving loss calculation as well as optimized design of the driving circuit. Fig. 24-10 shows a plot of  $V_{GS}$  (gate-source voltage) vs.  $Q_G$  for a super junction MOSFET.

$Q_G$  also provides other useful information to help with switching operation analysis. For example, when a circuit does not meeting performance expectations, examining the  $Q_G$  curve can offer valuable insights that identify the source of the problem.

Because  $Q_G$  varies with the output voltage and current, it should be evaluated under in-circuit bias conditions. The  $Q_G$  characteristics shown on a device data sheet only provides an approximation of the value of  $Q_G$  during actual circuit operation. Therefore, a designer must be able to ac-



**24-10.**  $V_{GS}$  (gate-source voltage) of a super junction MOSFET that indicates QG presented on a Keysight B1506A.

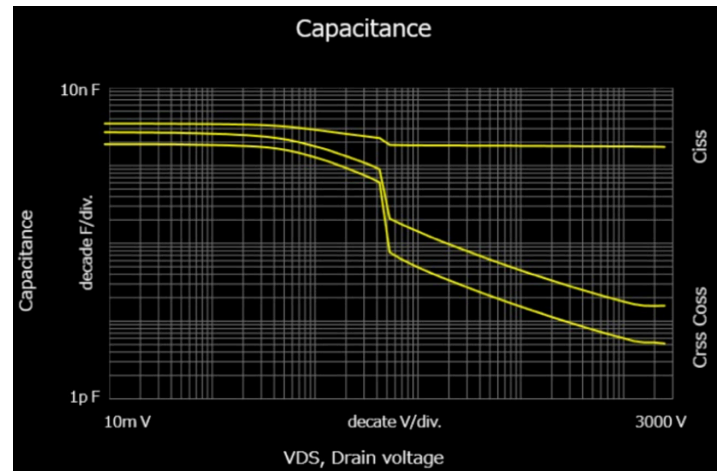
curately evaluate  $Q_G$  for both low voltage and high voltage power devices.

Besides driving loss, the designer may want to calculate conduction loss and switching loss. The  $Q_G$  curve can also be interpreted as a representation of the non-linearity of a power device's stray capacitances. This makes it possible to calculate switching parameters and switching loss using known equations that combine the gate resistance, the resistance in series to the gate, and the switching frequency. The test instrument should have the ability to accurately measure on-resistance,  $R_{DS(ON)}$ , and peak current, and also calculate conduction loss. These calculations should be performed automatically by the test instrument for a given frequency.

Understanding the input, output, and reverse return capacitances of three terminal devices (such as MOSFETs or IGBTs) is important, because these parameters dictate the switching speed and the switching loss when operating at high frequency. However, accurately measuring these parameters is not easy or straightforward. To make an accurate measurement, the capacitance between two terminals of a three terminal device, the other terminal needs to be appropriately configured.

It would be helpful if the test instrument automatically and accurately measures FET capacitance values ( $C_{ISS}$ ,  $C_{OSS}$ ,  $C_{RSS}$ ). This involves using all the resistors, capacitors, and protection circuits necessary to make high-voltage capacitance measurements. Fig. 24-11 shows a typical presentation of these capacitance values.

An applied dc voltage causes a power MOSFET's depletion region to modulate, which in turn causes the junction capacitance to vary with voltage. The drain or collector terminal of a power MOSFET is often exposed to high voltages when it is off, which determines the value of its junction



**24-11.**  $C_{ISS}$ ,  $C_{OSS}$ , and  $C_{RSS}$  vs. drain voltage presented on a Keysight B1506A.

capacitances at the moment it turns on. Therefore, understanding how device capacitance changes with applied voltage is very important for designers. Knowing the true value of device capacitance at a specific voltage and the calculated voltage the device will experience in a circuit allows selection of a power MOSFET with the lowest loss. To produce reliable capacitance measurements, the power MOSFET capacitance measurement needs to be performed at relatively high frequencies.

Gate resistance is an important parameter for circuit designers because it influences device operation speed and switching loss. The tester should be able to measure the device gate resistance ( $R_G$ ) when it performs a capacitance measurement, which eliminates the need for additional complicated data analysis.

Circuit simulators such as SPICE are an essential tool for designers. Accurately simulating the performance of a circuit can result in significant cost savings through reduced development cycles and prototyping. Therefore, it would be helpful if the test instrument can precisely model  $C_{RSS}$ ,  $C_{ISS}$  and  $R_G$ . These device parameters are essential for accurate power circuit simulations.

Tektronix/Keithley's 2600-PCT-xB is intended for characterization of MOSFETs, IGBTs, diodes, and other high-power devices (Fig. 24-12). This instrument provides device-level characterization that includes breakdown voltage, on-state current, and capacitance measurements. This high-power Parametric Curve Trace supports the full spectrum of device types and test parameters. This Parametric Curve Trace configurations include everything necessary for the characterization engineer to develop a complete test system quickly. ACS Basic Edition software provides complete device characterization, including both real-time trace mode for quickly checking fundamental device parameters like

breakdown voltage and full parametric mode for extracting precise device parameters. ACS Basic Edition goes beyond traditional curve tracer interfaces by offering a broad array of sample libraries. Users have complete control of all test resources, allowing the creation of more advanced tests than previously possible on a curve tracer.

The measurement channels consist of Tektronix/Keithley's SourceMeter Source Measure Unit (SMU) Instruments and an optional Multi-frequency capacitance-voltage (C-V) meter. The dynamic range and accuracy of these instruments is orders of magnitude beyond what a traditional curve tracer could offer.

To achieve this performance, there is a complete set of precision cables to connect the instrumentation to either Tektronix/Keithley's Model 8010 High Power Device Test Fixture for package part testing, or the Model 8020 High Power Interface Panel for wafer-level testing. For the high-voltage channel, custom triax cables provide a guarded pathway that enables fast settling and very low currents, even at the full 3kV. For the high-current channel, special low-inductance cables provide fast rise-time pulses to minimize self-heating effects.

High-voltage capacitance-voltage (C-V) testing device capacitance versus dc voltage is becoming more and more important. For these tests, there is the Model PCT-CVU Multi-frequency capacitance-voltage meter. When combined with the optional 200V or 3kV bias tees, capacitance vs. voltage can be measured on two-, three-, or four-terminal devices. Capacitances from pF to 100nF can be measured, with test frequencies from 10kHz to 2MHz. ACS

Basic Edition software provides over 60 canned tests for C-V including MOSFET Ciss, Coss, Crss, Cgd, Cgs, Cds,

and a full suite of other devices such as BJTs and diodes. Users have complete control to develop their own test algorithms in ACS Basic Edition.

### Testing Wide-Bandgap Devices

Among the new characterizing challenges for these new power devices is testing at both low and high current levels. It may be necessary to use special test cables to provide sufficient noise immunity at the low currents. In addition, the existing gallium nitride (GaN) and silicon carbide (SiC) semiconductors will require unique test fixtures because they are housed in different packages. Another complication is that some GaN are depletion-mode devices that are normally on so they include an integrated driver circuit to keep the device off. In contrast, EPC's GaN is enhancement mode that is normally off so it doesn't need an integrated driver. Cree's SiC devices would need an entirely different type of test fixture.

GaN and SiC power semiconductors need unique test equipment to accommodate them. SiC and GaN have higher power density, smaller size, better high-temperature performance, higher frequency response, and lower ON resistance than their silicon counterparts. Plus, these devices have lower leakage than silicon, so there is a need for sourcing higher test voltages, as well as appropriate low current-measurement sensitivity. For example, SiC leakages are more than two orders of magnitude lower than similarly rated silicon devices, so they require current measurements in the single microamps range.

Dynamic testing of devices switching kilowatts in microseconds requires advanced driver circuits and very well designed interconnect and layout of tester electronics as well as device-under-test sockets and contactors. Safe and reliable results requires tight design for creepage and clearances. Also, very fast, high power transition times requires attention to ground noise and EMI.

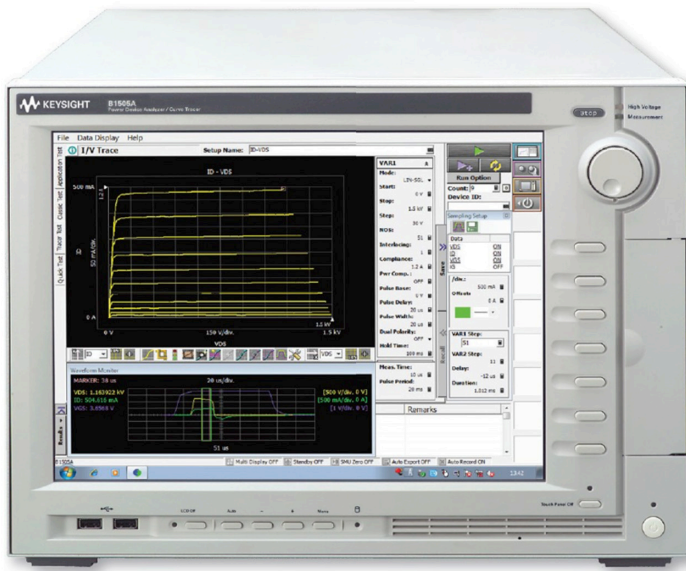
Voltage and current requirements for GaN and SiC devices require the handling of breakdown voltages up to 3000 V or even higher, more than 100 A, and junction capacitances for dc biases up to 3000 V. The tester must be able to withstand high SiC and GaN voltages and fast switching speeds. For best results, it is crucial to test these devices at their specified voltage, current and power rating.

One test equipment for use with GaN and SiC transistors is Tektronix/Keithley's Parametric Curve Tracer, specifically designed for high voltage and current characterization of high-power semiconductors. To meet the



24-12. Tektronix/Keithley's 2600-PCT-xB is intended for characterization of MOSFETS, IGBTs, diodes, and other high-power devices.





**24-13. Keysight's B1505A is a power-device analyzer/curve tracer for high-power semiconductors.**

requirements of the new generation of high-power semiconductors, Keysight has enhanced its B1505A Power Device Analyzer/Curve Tracer to significantly increase its voltage and current range, allowing it to test GaN and SiC transistors (Fig. 24-13).

The enhanced B1505A Power Device Analyzer/Curve Tracer can measure a device's characteristics from sub-pA up to 10 kV/1500 A, with a fast pulse down to 10 microseconds. Keysight also introduced the B1505AP, a preconfigured version of the B1505A that includes all modules, cables, and accessories necessary to ensure a quick startup. Both the enhanced B1505A and new B1505AP are intended for power-device researchers and manufacturers performing power-device characterization and failure analysis. These instruments can also be used for incoming device inspection and failure analysis.

**Other features of the B1505A include:**

- All-in-one solution for power-device characterization up to 1500 A & 10 kV
- Medium current measurement with high voltage bias (e.g., 500 mA at 1200 V)
- $\mu\Omega$  on-resistance measurement capability
- Accurate, sub-picoamp level, current measurement at high-voltage bias
- Capacitance measurement at up to  $\pm 3$  kV DC bias
- 10 $\mu$ s high power-pulse measurement
- Temperature measurement capability
- High voltage/high current fast switch option for GaN current collapse effect characterization
- Automatically switch between high-voltage and high-current measurements without re-cabling

- Standard test fixtures with safety interlock for packaged power device testing
- Tested and supported high-power 200 A on-wafer testing
- MS Windows-based EasyEXPERT software simplifies data management and data analysis
- 10 module slots
- Support for high-power devices with up to six connection pins.

A curve tracer, such as the B1505A, produces I-V (current-voltage) curves that provide a good assessment of device behavior. Therefore, a curve tracer is the ideal instrument to determine the characteristics of these high-power, discrete semiconductors. Characterization of SiC and GaN devices requires an appropriate curve tracer with high breakdown voltage measurement capability as well as the ability to measure leakage currents at high voltage biases.

A GaN and SiC curve tracer needs a switch to automatically change the measurement resource between high voltage and high current. Otherwise, the operator must change measurement equipment connections to the device-under-test manually, which can cause trouble.

For best reliability results, I-V characteristics near the safe operating area (SOA) are an important measurement for GaN and SiC devices. When a high voltage is applied to a device, its impedance can change rapidly and apply medium to high current through the device. Using a narrow test pulse (less than 50 $\mu$ s) prevents device self-heating that could impact accurate characterization.

When originally introduced, curve tracers were analog instruments that employed a CRT (cathode ray tube) display. Today, curve tracers have become digitized and use flat-panel LCDs to present data. Digital techniques now provide the ability to store measurement data and retrieve it.

Older test equipment is not adequate for GaN and SiC because leakage current and breakdown voltage determine basic GaN and SiC behavior. Older test equipment, typically limited to the  $\mu$ A level, lacks sufficient resolution and accuracy for leakage characterization. And, if the breakdown voltage is more than 3kV, older instruments can't even measure it. Companies have had to design and build a custom test system to measure ultra-high voltage. However, these may have safety and traceability issues.

GaN and SiC on-resistance is now as low as a few milliohms and continues to decrease, which causes measurement accuracy problems. Accurate measurement of on-resistance requires precise constant current applied to the device using an accurate voltmeter with a full Kelvin connection. Older-generation curve tracers don't have current source capability, which makes it difficult to accurately perform characterization.

A current source mode can aid in accurately measuring GaN and SiC on-resistance. This requires continuous



monitoring and adjustments for the internal feedback circuit used by the current source. This way, set current flows regardless of contact resistance variations and you can measure on-resistance very accurately. In contrast, a voltage source mode for the on-resistance test involves immeasurable contact resistance that causes a voltage drop and degrades the measurement. Pulsed current testing can help control the effects of device self-heating.

Test instruments can make on-wafer measurements. On-wafer measurements require extension cables to connect the test equipment and prober. In this case, the drive voltage has to be high enough to overcome the IR voltage drop in the extension cable. The GaN or SiC drain or collector supply voltage must have enough margin even when IR voltage drop is high due to high current. To ensure sufficient drive-supply voltage requires a special extension cable with low residual resistance. High-voltage testing at the wafer level requires a properly designed safety system to prevent the user from exposure to the high voltage. Interlock mechanisms between the prober and the instruments must be used to protect the user.

A proper test-fixture solution is extremely important, both to insure safety (due to the high voltages and currents used) and to support the wide variety of power-device package types. Fig. 24-14 shows the Keysight B1506A with a test fixture for a device under test. A previous limitation of curve tracers was that some power devices could not be evaluated due to their size, or it was necessary to jury-rig an adapter in order to test the device. Therefore, you need a test fixture that can accept a wide variety of devices, regardless of their size or shape so the test-fixture adapter must be customizable. In addition, the test fixture should have a built-in interlock mechanism to ensure that high voltages and currents do not endanger the device-under-test or the test operator.

One complication is that the existing GaN and SiC semiconductors require unique test fixtures because they may be housed in different packages. Plus, some of the GaN devices are enhanced mode and others are depletion mode with integrated driver circuits. Existing SiC devices now use industry-standard packages, but as their portfolio grows, newer packages will certainly have to be optimized for high-



**24-14. Keysight's B1506A is a power-device analyzer/curve tracer for high-power semiconductors.**

speed, high-power switching.

Safety is a concern when configuring any high-voltage test system. Typically, the test systems require safety interlocks, double grounds, and other safety features to protect operators and sensitive system instrumentation. In Europe, it requires test and measurement equipment to demonstrate safety by complying with EN61010-1. Internationally it is IEC61010-1 and in the United States it is UL61010-1.

### Making Low-Current Measurements in Electronic Systems

In the previous section we were concerned about high current and high voltage. We'll move to the extreme with an application note (from Tektronix) that describes how to make accurate measurement of low currents using a Precision Measurement DC Power Supply. The application note is *Making Low Current Measurements with a Series 2280S Precision Measurement DC Power Supply*.

Minimizing the power consumption of integrated circuits and electronic sub-assemblies is a major focus for manufacturers of these components. This means minimizing the current drawn by all integrated circuits and electronic subassemblies.

In the past, power consumption could be measured with a standard digital multimeter (DMM). Today, devices can have operating currents as low as microamps or less and require more sophisticated measurement equipment.

One way to measure low current on a low-power device under test (DUT) is to use a power supply with a precision DMM in series with the DUT, as shown in Fig. 24-15. With a quality 6½-digit DMM, accurate current measurements can be made at microamp levels. Although this method can provide accurate measurement of current through the device, it can also introduce problems due to the loading of the DMM.

Even though the voltage at the output of the power supply may be at the programmed value, the voltage at the DUT is actually lower than the programmed value due to the loading of the DMM. Rather than the programmed voltage, the voltage seen at the DUT's terminals is equal to the programmed voltage minus the voltage across the DMM ( $V_{DUT} = V_{SET} - V_{DMM}$ ). If this voltage drop is not accounted for and the user assumes the voltage at the device is equal to the programmed voltage, then power and resistance measure-

ments will have significant error because the voltage used to calculate them will be higher than the value at the DUT. This drop in voltage can also cause problems when testing the device at voltages near the minimum operating voltage. If the loading of the DMM is too great, the voltage seen at the device may be below the minimum operating voltage and the device will fail to operate correctly, resulting in incorrect measurements.

This drop in voltage could be compensated for by outputting a higher voltage at the power supply so the desired voltage appears at the DUT. However, the loading of the DMM varies with the amount of current flowing, so compensation is difficult. A second DMM could be used to measure the voltage at the device directly, but this not only adds cost and complexity to the test system by introducing yet another piece of equipment, it can also create a significant error source for low-current measurements. The DMM adds additional load to the test circuit, resulting in currents higher than those actually flowing through the device.

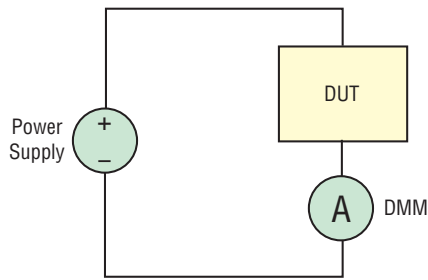
### Using a Precision-Measurement Power Supply

A better way to measure the current is to use a precision-measurement power supply. The current through a device can be measured with the same precision as a high-quality 6½-digit DMM, but it can be done more simply and more accurately as well. Testing is simplified because only a single instrument is required to test the device.

With only a single instrument, testing can begin sooner because there is less equipment to set up. Automating the measurement is simpler as well with only one instrument to program. This eliminates the need to synchronize multiple instruments and allows the test engineer to focus on making the measurement.

Precision-measurement power supplies are capable of measuring both the current and voltage applied to the device. Current is measured internally, so it places no loading on the test circuit like a series DMM would. This results in the voltage at the device being equal to the programmed voltage.

For even greater accuracy, voltage can be sensed directly at the device using remote sense leads placed at the device terminals, allowing the precision-measurement power supply to compensate automatically for any voltage drops across the test leads that supply current to the device. These sense leads have very high input impedance, so they place virtually zero load on the test circuit. Using these features, precision-measurement power supplies are capable of performing very accurate characterization of



**24-15. Measuring low current on a DUT using a power supply with a precision DMM in series with the DUT.**

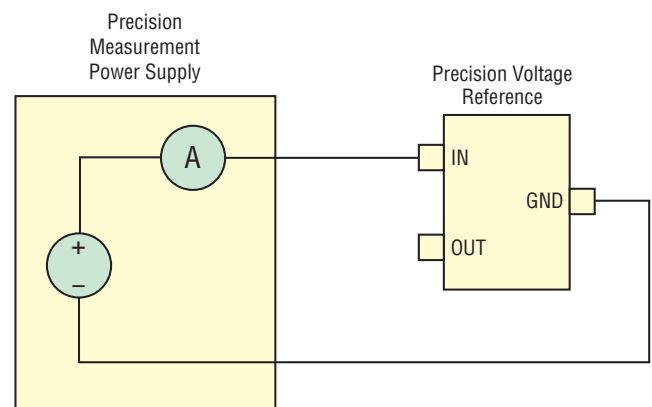
devices at any current level. By containing all of this capability in one instrument, precision-measurement power supplies greatly decrease test system complexity as well as cost.

Precision-measurement power supplies reduce the amount of equipment necessary to make a current measurement on a device. In this example, the following equipment is used as illustrated in Fig 24-16 and 24-17. Test connections are extremely simple as only two test leads, HI and LO, are required for making connections to the DUT. Remote voltage sensing is not required for accurate voltage measurements because the currents are very low, so they won't produce significant voltage drop in the test leads. Shielded cabling is recommended to reduce noise. If the test circuit is grounded, grounding should be at a single point to avoid measurement error due to ground current loops.

You can configure a Series 2280S Precision Measurement DC Power Supply to measure the current consumption of a precision voltage reference when nothing is connected to the reference's output. This quiescent supply current of the voltage reference device being measured is specified in its datasheet at a typical current level of only 31µA and a 35µA maximum level. To make this measurement, the instrument will be configured for maximum precision and accuracy.

To make highly accurate current measurements in the low micro-amps range, the Series 2280S Precision Measurement DC Power Supply must be configured for maximum precision.

For accurate current measurements in the low micro-amps range, the Series 2280S Precision Measurement DC Power Supply should be configured for maximum precision.



**24-16. Precision-measurement power supplies reduce the amount of equipment necessary to make a low-current measurement on a device.**

Use the front panel to configure the instrument from with the following steps:

- Set the instrument to 6½ digits of resolution.
- Turn Auto Zero On, which will automatically measure an internal reference to zero the instrument for each triggered measurement, resulting in measurements with greater accuracy.
- Set the NPLC value to 15 (12 for 50Hz power systems), the maximum measurement aperture time. This increases both measurement resolution and accuracy.
- Turn the averaging filter on, so the instrument will return readings that are the average of several measurements. Averaging measurements causes the readings to be more stable, enabling greater precision.
- Turn the Filter State on and set the filter count to 10. Filter count can be increased all the way up to 100 for even more stable readings.
- Set the Sample Count to 10 to match the filter count, which will fill the averaging filter with 10 back-to-back readings with very little time between readings.
- For low-current measurements, it is necessary to allow time for currents in the test system to settle to their final values before making measurements in order to obtain accurate results. By setting a source delay, measurements can be delayed long enough for the currents to settle.
- Set the Source Delay long enough to give the current time to settle. Although 10ms is adequate for most microamp level measurements, a longer source delay may be necessary if the DUT has a lot of input capacitance or there is an external filter capacitor on the fixture. ⏻

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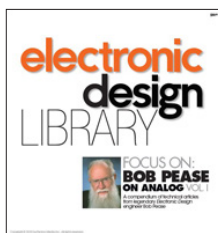
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## CHAPTER 25:

# DATA-CENTER POWER MANAGEMENT

**Bob Conner**  
CEO and Co-Founder, Sarda Technologies  
[www.sardatech.com](http://www.sardatech.com)

## Why More-Than-Moore Power Management Is Required to Keep Up With Exponential Growth in ICT Data Consumption

Significant gains in energy efficiency are required to keep up with the exponential growth in the data consumption of Information and Communications Technology (ICT) systems: end-user devices, networks, and data centers. Moore's Law scaling (monolithic integration in silicon) is the historical technology driver, but it no longer achieves the required gains. Fortunately, a new power-management technology has emerged. It achieves More-than-Moore scaling by integrating different components and materials to increase functional diversity and parallelism. This technology can improve voltage regulator power density, response time and granularity by an order-of-magnitude to reduce the ICT system energy consumption by 30% or more. This paper explains why a Heterogeneously Integrated Power Stage (HIPS) enables power management scaling to keep up with the rising demands on data centers and network systems.

## Exponential Growth in Data Consumption

The digital universe—the data we create and copy annually—will grow 10x, from 4.4 zettabytes to 44ZB, from 2013 to 2020. The forecast for 2020 compared to 2014 expects many more Internet users (3.9 billion versus 2.8 billion), more connected devices (24.4 billion versus 14.2 billion), faster average broadband speeds (42.5Mbps versus 20.3Mbps) and more video streaming (80% versus 67% of traffic). Most people will use a tablet or smartphone for all online activities by 2018. Mobile data traffic is increasing 10x from 3.3 exabytes per month in 2014 to 30.5EB/month in 2020. Many websites (e.g., Facebook) require an order of magnitude more power to build a web page than to deliver it.

## ICT Energy Consumption

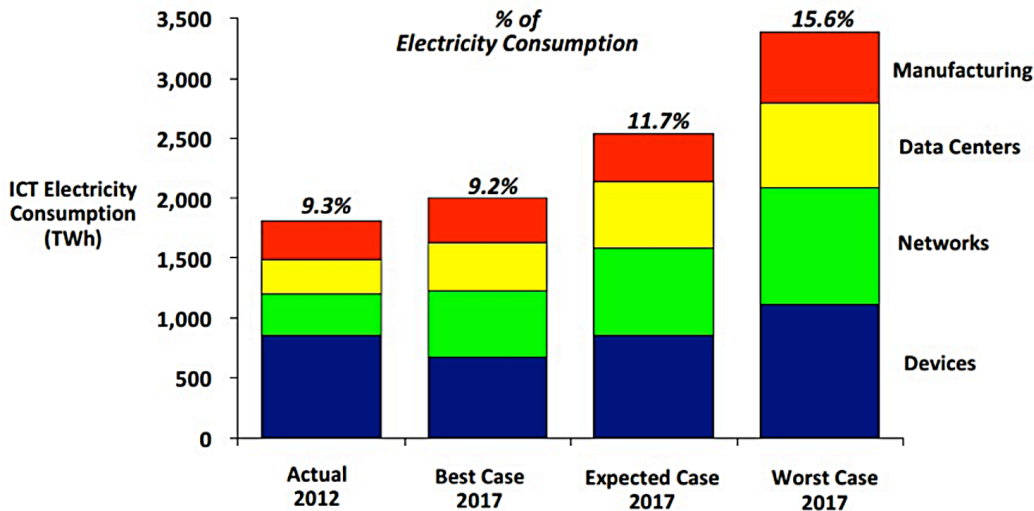
According to one study, ICT systems in 2012 consumed 920 terawatt-hours of power, which is 4.7% of global electricity consumption. That power requires the equivalent of 300 coal plants and emits 2 trillion pounds of CO<sub>2</sub>-equivalent greenhouse-gas emissions.

A second study forecasts that improvements in energy efficiency will slow the growth in ICT electricity consumption from the historical 6.7% per year to 3.8% per year due to the following:

- Each new generation of ICT systems and components is more energy[efficient]. For example, improvements in optical components enable an order-of-magnitude increase in data rates of optical modules within roughly the same power envelope.
- Usage is shifting from energy-intensive TVs and conventional desktop PCs to energy-efficient smartphones, ultrathin notebooks, tablets, and fanless all-in-one desktop PCs. In 2011, the average annual electricity consumption was just 5.5 kilowatt-hours for smartphones and 16kWh for tablets, compared to 219kWh for PCs.
- Increasingly, networks and data centers will be optimized for energy efficiency rather than capacity. One example of an industry initiative is Greentouch, whose mission is to deliver the architecture, specifications, and roadmap to increase network energy efficiency by 1,000x compared to 2010 levels.

However, a third study estimates that ICT systems' percentage of global electricity consumption was 9.3% in 2012 and will grow in 2017 to 9.2%, 11.7%, or 15.6% according to three different growth scenarios, as Fig. 25-1 shows. Data-center operating costs (OPEX) are beginning to exceed capital expenditures (CAPEX) in the total cost of ownership. As applications and data move into the cloud, energy consumption is shifting from client devices to networks and data-center infrastructure. This shift highlights the need to increase the energy efficiency of data centers and networks.



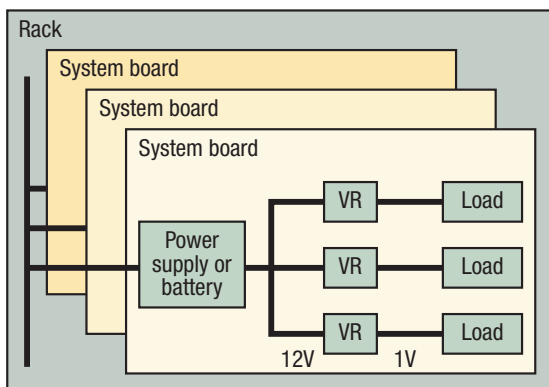


25-1. ICT Electricity Consumption Forecasts.

## Moore's Law Scaling

Moore's Law (as revised by Gordon Moore in 1975) predicts that the number of transistors per chip can double every 24 months. Although the industry is now slipping behind this rapid pace, each new process-technology generation still provides significant gains. State-of-the-art processors used 22–28nm CMOS in 2013 and are advancing to 10–14nm in 2018 and 7–10nm in 2020. The increases in transistor density and processor shipments indicate that the number of processor transistors is scaling pretty well with data consumption. Processors continue to innovate, significantly increasing their compute density, data rates, and performance per watt. For example, 97% of mobile processors will use 64-bit cores in 2018, versus 15% in 2014. In addition, 93% will use 4–8 cores in 2018, versus 43% in 2014. A growing number of these processors support UltraHD (4K) video.

However, Moore's Law has hit some painful limits. Costs keep rising, with new fabs costing upwards of \$10 billion. Designing a new high-performance processor using the latest technology costs more than \$100 million. In the past,



25-2. Many Voltage Regulators (POLs) per System.

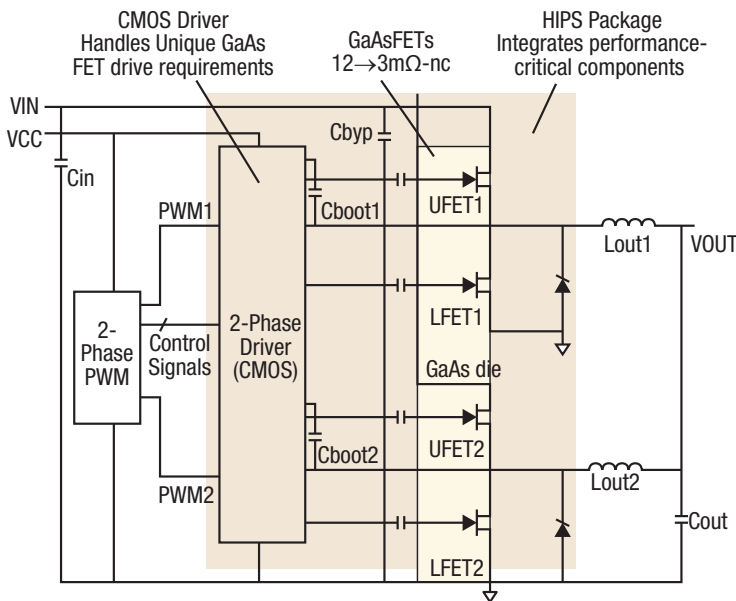
designers lowered the operating voltage to keep power consumption constant while doubling the transistor density (described as Dennard scaling). But this practice no longer works, because physical laws prevent designers from lowering the operating voltage much further below 1V. A direct result of this is that the amount of “dark silicon”—the processor cores that must be powered down at any given time to meet the chip's power budget—is rising with transistor density.

Energy efficiency is the new fundamental limiter of processor performance. Increasing it requires:

- Large-scale parallelism with discrete dynamic voltage and frequency scaling (DVFS)
- Near-threshold voltage operation
- Proactive fine-grain power and energy management.

DVFS significantly reduces power consumption (up to 100x) by dynamically adjusting the operating voltage to its optimal level, which varies according to the software workload and operating temperature. It gets more effective by increasing the response time of the voltage regulator (VR) and the number of voltage levels. To keep up with greater transistor densities, VRs require significant improvements in the following:

- **Response time:** To quickly change the processor's operating voltage, which typically varies from 1.0–1.5V for peak performance to 0.3–0.6V for low-power idling from a 12V supply used in data-center and network systems. (Low-power idling is preferred over power gating to minimize latency issues.) Fast response is required to provide energy proportionality—power consumption that scales with workloads. Fast response also realizes the benefits of the new adaptive voltage scaling (AVS) standard and software-defined power architecture.
- **Power density:** To decrease size, because supplying higher current requires additional VRs (Voltage Regulator). The processor's operating voltage is no longer scaling with transistor density, so the VR-supplied current is increasing. Today, each system board needs 10 to 100 or more VRs to supply 100A to 1,000A or more (e.g., up to 20,000A for a high-end server card). Each rack in a data center or network system has many system boards (Fig. 25-2).
- **Granularity:** To support the massive parallelism of many small energy-efficient elements (e.g., many heterogeneous processor cores, micro-server cards, small-cell base stations, etc.). Benefits include more integration and



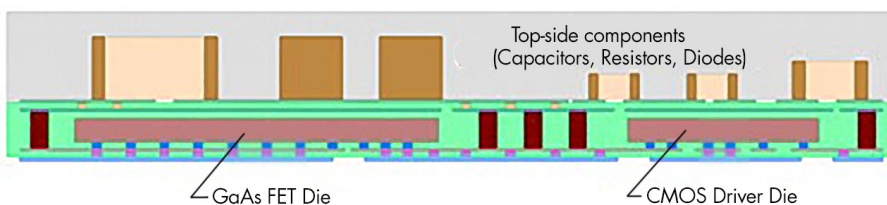
25-3. HIPS Module has two independent outputs or a two-phase input.

specialization, reduced thermal loads, and better dynamic resource allocation, thereby providing high efficiency at low to medium loads. Many ICT systems will increasingly spend a large percentage of their time operating in low-power standby modes.

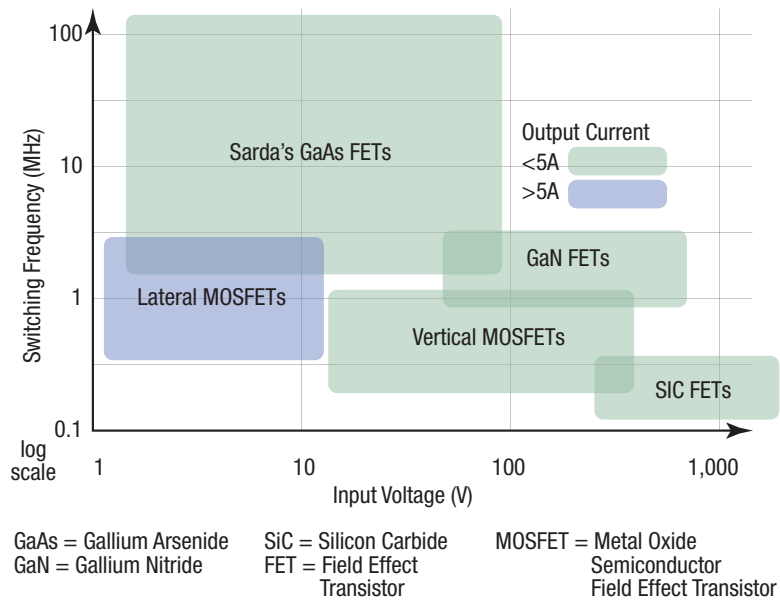
Moore's Law scaling does not help the incumbent VR technologies, because they use many discrete components, such as controllers, MOSFETs, inductors, and capacitors. Due to MOSFETs' high switching-power losses, today's 12V, 10–30A VRs operate at a low (1.0MHz or less) switching frequency. This requires bulky inductors and capacitors, and they consume a large percentage of board space (~40%). They also suffer from a slow response time. MOSFETs are an aging 35-year-old technology and are hitting a performance asymptote. Moore's Law scaling does not help MOSFETs, either, because they must withstand high voltage on the drain (e.g., 18–20V for 12V input). There are a finite number of well-known techniques for improving MOSFET performance, most of which have already been exercised.

**More-than-Moore Scaling**

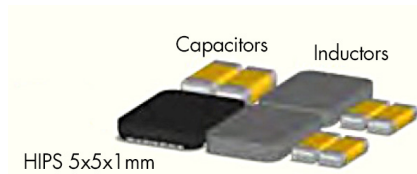
More-than-Moore scaling requires heterogeneous Integration, the integration of separately manufactured



25-4. Cross-section of the HIPS module.



25-5. Comparison of the switching characteristics of GaAs, GaN, SiC, and Silicon MOSFETs



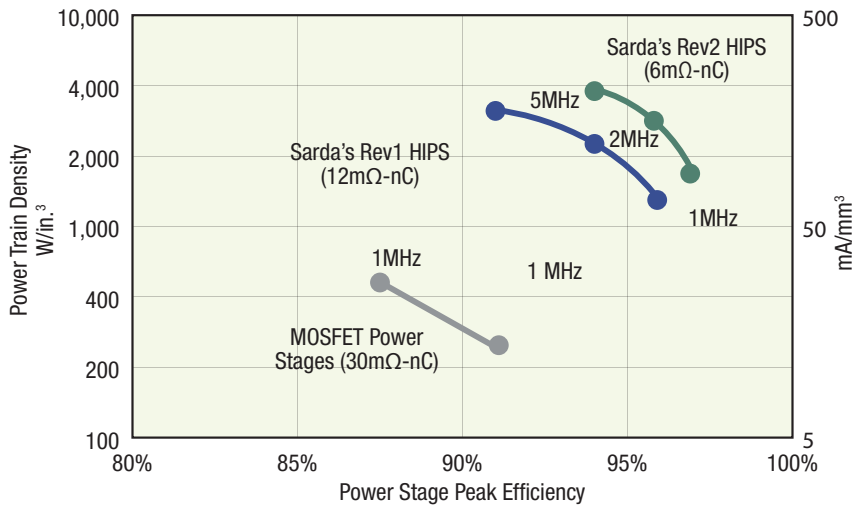
25-6. HIPS package contains passive and active components.

components into a higher level assembly that in the aggregate provides enhanced functionality and improved operating characteristics. An example is the Heterogeneously Integrated Power Stage (HIPS) shown in Fig. 25-3. Its cross-section is in Fig. 25-4.

One of the important design decisions for the HIPS was selection of the optimum technology for the regulator's power switch. This determination was aided by a comparison of the characteristics of GaAs, GaN, SiC, and silicon MOSFETs power switches, as shown in Fig. 25-5. This figure shows that GaAs FETs offer benefits over the other switches for voltage regulators operating with up to a 100V DC supply. Table 25-1 compiles the characteristics of GaAs, GaN, silicon MOSFET power switches.

The HIPS module uses the optimum technology for each function:

- Gallium arsenide (GaAs) for the field-effect transistors (FETs).
- CMOS for drivers, protection, and control, handling the GaAs FETs' unique requirements.
- 3D packaging using embedded die-in-substrate technology to integrate in a 5mm x 5mm x 1mm QFN package the GaAs die, CMOS driver die, and passive components



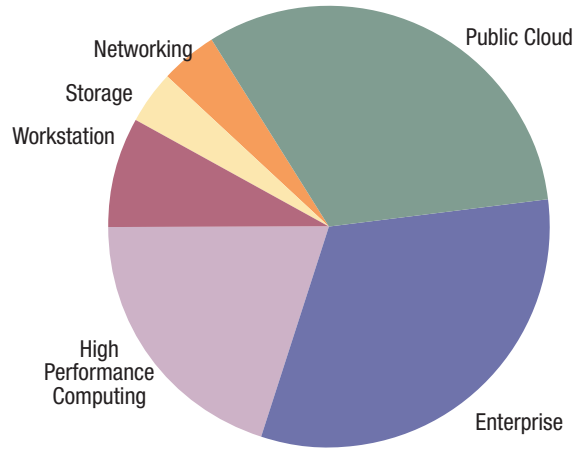
**25-7. Comparison of the performance of a typical MOSFET output stage and the HIPS, Rev1 and Rev2. The Figure of Merit for Rev2 HIPS is 6mΩ-nC vs. 30mΩ-nC for a typical MOSFET output stage. These results are for a 12Vin and 1.2Vout power stage.**

required to minimize parasitics for the high switching frequency (Fig. 25-6).

An HIPS module is an evolutionary leap over the Driver-MOSFET (DrMOS) integrated power stage module. It replaces the MOSFET dies with a GaAs die, reducing packaging parasitics and integrating performance-critical components in a very small package. GaAs FETs have much lower switching-power loss than MOSFETs due to their superior intrinsic material properties: 5x higher electron mobility (8,500 versus 1,400 cm<sup>2</sup>/Vs), 5x lower on-resistance x gate charge (FOM), and no body diode (which elim-

inates reverse recovery loss). GaAs FETs also are more reliable, because they have no gate oxide, higher activation energy, higher bandgap, and the primary failure mechanism (sinking gates) is self-limiting.

The HIPS module can increase the voltage regulator switching frequency by 10x or more. Its switching frequency range of 2 to 5MHz reduces the size of the output capacitors and inductors while reducing the transient response time. An HIPS can readily be used in industry-standard synchronous buck converters with complementary components (PWM controllers and inductors) from third-party suppliers.



**25-8. \$10.3 Billion Market for Processors Used in Data Centers in 2018 (Source: The Linley Group)**

No new architectures, materials, or components are required. A typical use for the HIPS is in point-of-load (POL) converters.

HIPS also increases the power density and granularity (providing more than one output) for board-mounted voltage regulators. The HIPS uniquely enables Package-Integrated Voltage Regulators (PIVRs) that integrate many fast, small VRs in the processor package as close as possible to the processor die without increasing its cost or heat dissipation.

HIPS modules enable many fast, small VRs that can reduce the energy consumption of ICT systems by 30% or more through fine-grain power management of multi-core processors, energy

| TABLE 25-1. DETAILED CHARACTERISTICS OF POTENTIAL POWER SWITCHES FOR THE HIPS MODULE |                     |           |                |                  |   |
|--|---------------------|-----------|----------------|------------------|---|
| Parameter  | Units               | GaAs FETs | GaN-on Si FETs | Vertical MOSFETs | GaAs Benefits   |
| Electron Mobility  | cm <sup>2</sup> /Vs | 8,500     | 1,800          | 1,400            | Faster switching  |
| R <sub>DS(ON)</sub> x Q <sub>G</sub> FOM   | mΩ-nC               | 12→3      | 20-30          | 20-60            | Lower switching loss  |
| FET Switch Speed   | nS                  | <1        | 1-2            | 2-5              |   |
| Body Diode   |                     | No        | No             | Yes              |   |
| Loop Inductance  | nH                  | ~0.2      | ~0.4           | 1-2              |   |
| FET Structure  |                     | Lateral   | Lateral        | Vertical         | UFET/LFET monolithic integration<br>• Reduced parasitics<br>• Multiple phases |
| Bandgap  | eV                  | 1.4       | 3.4            | 1.1              | Higher junction temperature   |
| Activation Energy  | eV                  | 2.5       | 1.1-2.5        | 0.3-1.2          | Higher reliability  |
| Gate Oxide?  |                     | No        | No             | Yes              |   |
| 2014 Market Size   |                     | \$6B      | \$0.015B       | \$13B            | Leverages proven manufacturing  |

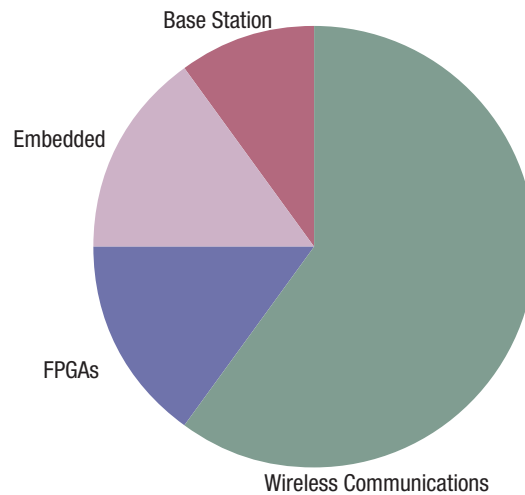
proportionality and reduced cooling requirements. Fig. 25-7 compares the characteristics of a typical power MOSFET output stage with the first and second versions of the HIPS.

Samples of the HIPS were available in 2016 with full production scheduled for 2017.

Since VR-supplied current scales roughly with processor performance and price, we can check the processor market to estimate the HIPS market size. The market for processors, application-specific standard products (ASSPs), and FPGAs in data centers and network systems, the initial target markets for HIPS, is forecast to grow to \$21.9 billion in 2018:

- \$10.3 billion for server processors, most of which are used in data centers (Fig. 25-8). Captive processors, such as IBM's Power and Oracle's SPARC, are excluded.
- \$11.6 billion for processors, ASSPs, and FPGAs used in voice and data networks (Fig. 25-9). Communications semiconductors include components for Ethernet, broadband infrastructure, customer premise equipment, home/access networking, network processors (NPU), transport (Sonet/SDH, OTN), PCI Express, RapidIO, and network search engines.

Assuming it's approximately 5% of the processor content, the HIPS content for these systems is approximately \$1.1 billion. HIPS modules also improve energy efficiency



**25-9. \$11.6 Billion Market for Processors, ASSPs, and FPGAs Used in Voice and Data Networks in 2018 (Source: The Linley Group)**

and, hence, the performance of ultrathin, fanless all-in-one desktop PCs, notebooks, tablets, and smartphones within a very challenging thermal envelope—a small, thin case that must remain cool enough to touch.

The GaAs industry today makes products for RF and microwave communications. In 2013, the industry produced 29.3 million square inches of GaAs, which is equivalent to 100,000 GaAs 150mm wafers per month. GaAs industry revenue exceeded \$6 billion in 2014. Hence, the GaAs industry has ample well-proven, high-volume manufacturing capacity for producing HIPS modules. ⏻

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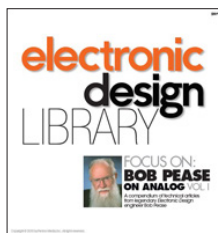
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