

1 **Bryophyte-dominated biological soil crusts mitigate soil erosion**  
2 **in an early successional Chinese subtropical forest**

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4 Steffen Seitz<sup>1</sup>, Martin Nebel<sup>2,3</sup>, Philipp Goebes<sup>1</sup>, Kathrin Käppeler<sup>1</sup>, Karsten Schmidt<sup>1</sup>, Xuezheng  
5 Shi<sup>4</sup>, Zhengshan Song<sup>1,4</sup>, Carla L. Webber<sup>5</sup>, Bettina Weber<sup>6</sup>, Thomas Scholten<sup>1</sup>

6  
7 <sup>1</sup> Soil Science and Geomorphology, Department of Geosciences, University of Tübingen, Tübingen, 72070,  
8 Germany

9 <sup>2</sup> State Museum of Natural History, Stuttgart, 70191, Germany

10 <sup>3</sup> Nees Institute for Biodiversity of Plants, University of Bonn, Bonn, 53115, Germany

11 <sup>4</sup> State Key Laboratory of Soil and Sustainable Agriculture, Institute of Soil Science, Chinese Academy of Sciences,  
12 Nanjing, 210008, PR China

13 <sup>5</sup> Department of Geosciences, Federal University of Rio Grande do Sul, Porto Alegre, 90040-060, Brazil

14 <sup>6</sup> Multiphase Chemistry Department, Max Planck Institute for Chemistry, Mainz, 55128, Germany

15  
16 *Correspondence to:* Steffen Seitz ([steffen.seitz@uni-tuebingen.de](mailto:steffen.seitz@uni-tuebingen.de))

28 **Abstract.** This study investigated the development of biological soil crusts (biocrusts) in an early successional  
29 subtropical forest plantation and their impact on soil erosion. Within a biodiversity and ecosystem functioning  
30 experiment in Southeast China (BEF China), the effect of these biocrusts on sediment delivery and runoff was assessed  
31 within micro-scale runoff plots under natural rainfall and biocrust cover was surveyed over a five-year period.

32 Results showed that biocrusts occurred widely in the experimental forest ecosystem and developed from initial light  
33 cyanobacteria- and algae-dominated crusts to later-stage bryophyte-dominated crusts within only three years. Biocrust  
34 cover was still increasing after six years of tree growth. Within later stage crusts, 25 bryophyte species were  
35 determined. Surrounding vegetation cover and terrain attributes significantly influenced the development of biocrusts.  
36 Besides high crown cover and leaf area index, the development of biocrusts was favoured by low slope gradients,  
37 slope orientations towards the incident sunlight and the altitude of the research plots. Measurements showed that  
38 bryophyte-dominated biocrusts strongly decreased soil erosion being more effective than abiotic soil surface cover.  
39 Hence, their significant role to mitigate sediment delivery and runoff generation in mesic forest environments and  
40 their ability to quickly colonize soil surfaces after forest disturbance are of particular interest for soil erosion control  
41 in early stage forest plantations.

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## 56 **1 Introduction**

57 Biological soil crusts (hereinafter referred to as biocrusts) are a living soil cover, which plays significant functional  
58 roles in many environments (Weber et al., 2016). In initial ecosystems, communities of cyanobacteria, algae, fungi,  
59 lichens, bryophytes and bacteria in varying combinations are the first to colonize the substrate (Evans and Johansen,  
60 1999). Biocrusts are often dominated by one organism group, with cyanobacterial crusts being indicators for early  
61 stage crusts and drier conditions (Malam Issa et al., 1999; Malam Issa et al., 2007) and bryophyte-dominated crusts  
62 being indicators for later stage crusts and moister conditions (Colesie et al., 2016; Seppelt et al., 2016). Those highly  
63 specialized communities form a biological crust immediately on top or within the first millimetres of the soil surface  
64 (Büdel, 2005). Biocrusts preferably occur under harsh conditions of temperature or light, where vascular vegetation  
65 tends to be rare (Allen, 2010). Therefore, biocrusts are generally widespread under dryland conditions (Berkeley et  
66 al., 2005; Belnap, 2006; Büdel et al., 2009), whereas under mesic conditions they mostly occur as a successional stage  
67 after disturbance or in environments under regularly disturbed regimes (Büdel et al., 2014).

68 In direct competition with phanerogamic plants, biocrusts are generally in an inferior position and thus their  
69 development is limited under closed plant canopies or when leaf litter layers occur (Belnap et al., 2003a). This  
70 limitation is due to the competition for light (Malam Issa et al., 1999) and nutrients (Harper and Belnap, 2001).  
71 Disturbance of the phanerogamic vegetation layers, however, changes this competitive situation. Such disturbances  
72 can occur in forest ecosystems by natural treefall or human induced clear-cutting (Barnes and Spurr, 1998). Complete  
73 removal of a forest causes a harsh shift in vegetation development and creates a starting point for new vascular plant  
74 as well as biocrust communities (Bormann et al., 1968; Keenan and Kimmins, 1993; Beck et al., 2008). Biocrusts are  
75 able to quickly colonize natural clearances in tree layers (Belnap et al., 2003a) as well as gaps appearing after human  
76 disturbance (Dojani et al., 2011; Chiquoine et al., 2016). Generally, it can be stated that current knowledge on the  
77 relation between the development of biocrust cover and vascular plant cover leaves room for further research (Kleiner  
78 and Harper, 1977; Belnap et al., 2003b; Zhang et al., 2016). In particular, the development of biocrusts in early  
79 successional forest ecosystems has not been in focus of research so far and thus there are only few studies on this topic  
80 (Su et al., 2007; Zhang et al., 2016). Furthermore, descriptions of different biocrust types in mesic vegetation zones  
81 and investigations in southeast Asia are rare (Büdel, 2003; Bowker et al., 2016). We assume that biocrusts are also  
82 able to coexist in mesic subtropical forest environments shortly after deforestation, but their cover decreases with  
83 ongoing tree canopy closure and decreasing light intensity.

84 Functional roles of biocrusts have been investigated for decades, but less attention has been paid to their spatial  
85 distribution and characteristics (Allen, 2010). Biocrust cover varies across spatial scales (from centimetres to  
86 kilometres) and it could be shown that it depends not only on the surrounding vascular vegetation cover, but also on  
87 soils, geomorphology and (micro-)topography or terrain (Evans and Johansen, 1999; Ullmann and Büdel, 2003;  
88 Kidron et al., 2009; Bowker et al., 2016) in arid, semi-arid, temperate and boreal environments. Different biocrust  
89 distributions have been related to elevation and terrain-influenced microclimatic gradients (Kutiel et al., 1998),  
90 different geomorphic zones (Eldridge, 1999), varying aspects (George et al., 2000) and soil types (Bu et al., 2016).  
91 We assume that this is also true for mesic subtropical forest environments. To our knowledge, investigations on the

92 influence of small-scale (centimetres to metres) topographic variations on biocrust development are rare and further  
93 studies will help to understand the role of these small-scale factors (Garcia-Pichel and Belnap, 2003; Bu et al., 2016;  
94 Bowker et al., 2016). Furthermore, as the development of biocrusts is characterized by a high complexity and spatial  
95 heterogeneity with many micro-climatic and micro-environmental factors, it is of great significance to conduct  
96 comparative studies on the spatial distribution of biocrusts (Bu et al., 2013). This is particularly true for initial forest  
97 ecosystems (Weber et al., 2016).

98 Biocrusts were recognized to have a major influence on terrestrial ecosystems (Buscot and Varma, 2005; Belnap,  
99 2006) as they protect soil surfaces against erosive forces by both wind and water (Bowker et al., 2008; Zhao et al.,  
100 2014). They can absorb the kinetic energy of rain drops (splash effect), decrease shear forces and stabilize soil particles  
101 with protonemal mats and fine rhizoids and thus decrease particle detachment and enhance soil stability (Malam Issa  
102 et al., 2001; Warren, 2003; Belnap and Lange, 2003). Those effects differ with regard to soil texture, surface  
103 roughness, water repellency and finally different crust species and developmental stages (Warren, 2003; Belnap and  
104 Büdel, 2016). However, studies that directly relate different types of biocrust cover to rates of soil erosion are few  
105 (Allen, 2010). Furthermore, the influence of biocrusts on sediment delivery and runoff has mostly been investigated  
106 in arid and semi-arid climates and humid climates have been largely disregarded (Belnap and Lange, 2003; Weber et  
107 al., 2016). We assume that biocrusts are effectively counteracting soil losses in early successional subtropical forest  
108 plantations and thus may play a major functional role in soil erosion control in mesic areas under anthropogenic  
109 influence.

110 This study aims to investigate the development of biocrust cover in an early successional subtropical forest ecosystem  
111 after human disturbance and the impact of those biocrusts on soil erosion. Therefore, interrill erosion was measured  
112 with runoff plots and the occurrence, distribution and development of biocrusts was recorded. The study was  
113 conducted in an experimental forest plantation (BEF China), which aims to study biodiversity and ecosystem  
114 functioning relationships in southeast China (Yang et al., 2013; Bruelheide et al., 2014). During the study, the  
115 following hypotheses were addressed:

116 (1) Biocrusts are able to coexist in mesic early successional subtropical forest ecosystems, but crust cover decreases  
117 with ongoing canopy closure and decreasing light intensity.

118 (2) The development of biocrusts in mesic subtropical forests is not only influenced by the surrounding vegetation  
119 cover, but also by soil attributes which influence biocrust growth and terrain attributes which affect microclimatic  
120 conditions.

121 (3) Biocrusts mitigate interrill soil erosion in early successional subtropical forest plantations.

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## 123 **2 Material and methods**

### 124 **2.1 Study site and experimental design**

125 The study was carried out within the BEF China experiment (Bruehlheide et al., 2014) in Xingangshan, Jiangxi  
126 Province, PR China (29°06.450' N and 117°55.450' E). The experimental area is located in a mountainous landscape  
127 at an elevation of 100 m a.s.l. to 265 m a.s.l. with slopes from 15° to 41° (Scholten et al., 2017). The bedrock is non-  
128 calcareous slates weathered to saprolite and predominant soil types are Cambisols with Anthrosols in downslope  
129 positions and Gleysols in valleys (Scholten et al., 2017). The mean annual temperature is 17.4 °C and the annual  
130 precipitation is 1635 mm with about 50 % falling during May to August (Goebes et al., 2015). The climate is typical  
131 for summer monsoon subtropical regions. The potential natural vegetation of this region is a subtropical broadleaved  
132 forest with dominating evergreen species. It has been widely replaced by tree plantations of mostly *Cunninghamia*  
133 *lanceolata* for the purpose of commercial forestry in the 1980's (Bruehlheide et al., 2014). The experimental area  
134 (approx. 38 ha) is structured in 566 research plots (25.8 m × 25.8 m each) at two sites (A and B) and was clear-cut  
135 and replanted with 400 tree saplings per plot in different tree species mixtures in 2009 and 2010 (Yang et al., 2013).  
136 A selection of 34 research plots was used for this study (Seitz et al., 2016). Shrubs and coppices were weeded once a  
137 year from 2010 to 2012 to help the tree saplings grow, following common practice in forest plantations of this area.

## 138 2.2 Field methods

139 Biocrust cover was determined photogrammetrically in 70 selected micro-scale runoff plots (ROPs, 0.4 m × 0.4 m;  
140 Seitz et al., 2015) at five timesteps (November 2011, May 2012, May 2013, May 2014 and May 2015). Biocrusts were  
141 described in the field based on appearance, functional groups and species composition and biocrust types determined  
142 based on the dominating autotrophic component. During the rainy season in summer 2013, an extended survey linked  
143 to soil erosion measurements (see below) was conducted in five ROPs on 34 research plots each (170 ROPs in total,  
144 Table 1). At each ROP, perpendicular images were taken with a single lens reflex camera system (Canon 350D, Tokio,  
145 Japan) and processed with the grid quadrat method in GIMP 2.8 using a digital grid overlay with 100 subdivisions (cf.  
146 Belnap et al., 2001). Stone cover and biocrust cover were separated by hue distinction. A continuous leaf litter cover,  
147 which may impede analyses, was not present during measurements. Biocrusts were collected in 2013 and samples  
148 were dried at 40 °C (Dörrex drying unit, Netstal, Switzerland). The identification of species was carried out by  
149 morphological characteristics using a stereomicroscope (Leitz TS, Wetzlar, Germany), a transmitted-light microscope  
150 (Leitz Laborlux S, Wetzlar, Germany) and ultraviolet light. Bryophytes (dominating taxa in 2013) were determined  
151 to the species level, wherever possible and separated into mosses (Bischler-Causse, 1989; Moos flora of China: Gao  
152 et al., 1999; 2001; 2002; 2003; 2005; 2007; 2008; 2011) and liverworts (Zhu, 2006; Söderström et al., 2016 and Alfons  
153 Schäfer-Verwimp, personal communication). Comparisons were conducted with specimen hosted in the herbarium of  
154 the State Museum of Natural History in Stuttgart, Germany (Herbarium STU).

155 Sediment delivery and surface runoff were measured within 170 ROPs in summer 2013 (see above and Table 1). After  
156 four timesteps, 334 valid ROP measurements entered the analysis (for detailed information see Seitz et al., 2016).  
157 Sediment delivery was sampled, dried at 40 °C and weighed, whereas surface runoff and rainfall amount were  
158 measured in situ. At every ROP, crown cover and leaf area index (LAI) were measured with a fish-eye camera system  
159 (Nikon D100 with Nikon AF G DX 180°, Tokio, Japan) and calculated with HemiView V.8 (Delta-T devices,  
160 Cambridge, UK). Measurements of tree height and crown width were provided by Li et al. (2014) at research plot

161 scale (n=34). Tree species richness and tree composition resulted from the experimental setup of BEF China  
162 (Bruehlheide et al., 2014).

163 Soil attributes (Table 1) were determined for every research plot (n=34) using pooled samples from nine point  
164 measurements each. Soil pH was measured in KCl (WTW pH-meter with Sentix electrodes, Weilheim, Germany),  
165 bulk soil density was determined by the mass-per-volume method and total organic carbon (TOC) was measured using  
166 heat combustion (Elementar Vario EL III, Hanau, Germany). Soil organic matter (SOM) was calculated by multiplying  
167 TOC with the factor 2 (Pribyl, 2010).

### 168 2.3 Digital terrain analysis

169 Terrain attributes (Table 1) were derived from a digital elevation model (DEM, Scholten et al., 2017) at research plot  
170 scale (n=34). Attributes were the terrain ruggedness index (TRI, Riley et al., 1999) to describe the heterogeneity of  
171 the terrain, the Monte-Carlo based flow accumulation (MCCA, Behrens et al., 2008) to diagnose terrain driven water  
172 availability, altitude above sea level to address elevation effects and the eastness and the northness (Roberts, 1986) to  
173 describe plant related climatic conditions. Those terrain attributes cover major landscape features of the experimental  
174 area and were not correlated. Slope was additionally measured with an inclinometer at every ROP (n=170, see Seitz  
175 et al., 2016).

176

### 177 [ Table 1 ]

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### 179 2.4 Statistical methods

180 The temporal development of biocrust cover (1) from 2011 to 2015 was assessed at five timesteps within 70 ROPs  
181 (see above) by an analysis of variance (ANOVA) and Tukey's Honestly Significant Difference (HSD) test (n=350).

182 The influences of vegetation, soil and topographic attributes on biocrust cover (2) in 170 ROPs (see above) were  
183 assessed by linear mixed effects (LME) models (n=334). Crown cover, bulk soil density, SOM, pH, altitude, slope,  
184 MCCA, TRI, eastness, northness and tree species richness were fitted as fixed effects and biocrust cover as response  
185 variable. The attributes were tested with Pearson's correlation coefficient before fitting. LAI was fitted individually  
186 in exchange to crown cover due to multi-collinearity. Experimental site and research plot were fitted as random effects  
187 and hypotheses were tested with an ANOVA type 1 with Satterthwaite approximation for degrees of freedom.

188 The influences on soil erosion (3) were assessed by LME models with restricted maximum likelihood (n=334) and  
189 sediment delivery and surface runoff as response variables, respectively. Crown cover, slope, surface cover, SOM,  
190 rainfall amount and tree species richness were fitted as fixed effects. Surface cover was then split into surface cover  
191 by biocrusts and by stones, which entered the analysis as fixed conjoined factors. Precipitation events nested in plot,  
192 tree species composition, experimental site and ROP nested in plot were fitted as random effects. Attributes were not

193 correlated. The hypothesis was tested with an ANOVA type 1 with Satterthwaite approximation for degrees of  
194 freedom. Moreover, the Wilcoxon rank sum test was applied to test for differences between biocrust cover and stone  
195 cover on sediment delivery and surface runoff. Therefore, the dataset was split into data points where biocrust cover  
196 exceeded stone cover (n=281) and data points where stone cover exceeded biocrust cover (n=53).

197 All response variables were log-transformed before modelling. The dataset was tested for multi-collinearity and met  
198 all prerequisites to carry out ANOVAs. All analyses were performed with R 3.1.2 (R Core Team, 2014). LME  
199 modelling was conducted with “lmerTest” (Kuznetsova et al., 2014) and rank sum tests with “exactRankTests”  
200 (Hothorn and Hornik, 2015). Figures were designed with “ggplot2” (Wickham, 2009).

201

## 202 **3 Results**

### 203 3.1 Temporal development of biocrust cover

204 Biocrusts occurred in 94 % of all ROPs and their cover within ROPs ranged between 1 % and 88 % over the course  
205 of five years. The mean biocrust cover of all ROPs more than tripled from their installation in 2011 to the last  
206 measurement in 2015 (Fig. 1). The increases were significant from 2011 to 2015 and from 2012 to 2013, 2013 to 2014  
207 and 2014 to 2015 ( $p < 0.001$ ).

208

#### 209 [ Figure 1 ]

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211 Whereas a clear bryophyte-dominance of biocrusts was evident at the time of sampling in 2013, different successional  
212 stages were identified in the field and on ROP photos from 2011 to 2015 (Fig. 2). In 2011, a smooth, light  
213 cyanobacteria- and algae-dominated crust with few lichens and bryophytes indicated an earlier stage of biocrust  
214 development (Colesie et al., 2016). In 2013, 25 moss and liverwort species were classified (Table 2) and formed a  
215 bryophyte-dominated crust with some cyanobacteria, algae, lichens and micro-fungi still observed within ROPs. The  
216 same was true in 2015, but first evidence of vascular plants (*Selaginella* and *Poaceae*) indicated a further change in  
217 the vegetation cover of the soil surface.

218

#### 219 [ Figure 2 ]

220

#### 221 [ Table 2 ]

222

### 223 3.2 The influence of vegetation, soil and terrain on biocrust cover

224 The development of biocrust cover in 2013 was positively influenced by crown cover and LAI as attributes of the  
225 surrounding vegetation (Table 3). Furthermore, it was negatively affected by slope and northness and slightly  
226 positively affected by the altitude of the research plots as terrain attributes (Table 3). Further terrain attributes or any  
227 soil attributes did not affect the development of biocrust cover.

228

229 [ Table 3 ]

230

### 231 3.3 The impact of biocrust cover on soil erosion

232 The results indicate that biocrusts strongly affect soil erosion. ROPs with biocrust cover below 10 % showed a mean  
233 sediment delivery of 302 g m<sup>-2</sup> and a mean runoff volume of 39 L m<sup>-2</sup>, whereas ROPs with biocrust cover above 50 %  
234 showed a mean sediment delivery of 74 g m<sup>-2</sup> and a mean runoff volume of 29 L m<sup>-2</sup>. Both biocrust and stone cover,  
235 as well as total soil surface cover (comprising both biocrust and stone cover, p<0.001) negatively affected sediment  
236 delivery (Table 4). In addition, soil surface cover negatively affected surface runoff (p=0.003). However, only biocrust  
237 but not stone cover mediated the effect of runoff. Furthermore, crown cover, SOM and rainfall amount affected  
238 sediment delivery, whereas runoff was affected by crown cover and rainfall amount. ROPs with increased stone cover  
239 showed higher sediment delivery and surface runoff compared to those with increased biocrust cover (Fig. 3).

240

241 [ Table 4 ]

242

243 [ Figure 3 ]

244

## 245 4 Discussion

### 246 4.1 Temporal development of biocrust cover

247 Biocrusts were detected widely within the experiment and occupied a considerable area in the interspaces of the  
248 growing tree community. Thus, the first part of hypothesis 1, stating that biocrusts are able to coexist in mesic early  
249 successional subtropical forests, can be confirmed, as they successfully colonized the newly created habitats  
250 originating from the disturbance by forest clear-cutting and weeding (Bruehlheide et al., 2014). Although biocrusts  
251 have been mainly defined to occur in dryland regions (Weber et al., 2016), they can also appear as a transient feature



252 in mesic environments after major singular or repeated disturbance events (Büdel et al., 2014, Fischer et al., 2014). In  
253 the current study, deforestation provided a local arid microenvironment, which initiated early biocrust development.  
254 At this young stage of forest development, biocrusts were able to coexist with upcoming tree saplings and formed a  
255 pioneer vegetation on the soil surface (Langhans et al., 2009), which provides the basis for the growth of other plants  
256 by the input of carbon and nitrogen (West, 1990; Evans and Johansen, 1999). Biocrusts are known to facilitate the  
257 succession of vascular plants to more advanced stages (Bowker, 2007), but tree growth and thus crown cover can also  
258 lead to an advancement in biocrust development, e.g. due to the protection from direct sunlight (Zhao et al., 2010;  
259 Tinya and Ódor, 2016). The bryophyte-dominance of biocrusts in 2013 documented this development into a later and  
260 somewhat moister successional stage. Later-stage bryophytes have received comparatively little attention in forest  
261 understorey (Gilliam, 2007) and biocrust studies (Weber et al., 2016) and in Asia only 23 different species have been  
262 reported within biocrusts up to now (Seppelt et al., 2016). Thus, this study with 25 recorded moss and liverwort  
263 species, most of them being new records within Asian biocrusts (Burkhard Büdel, personal communication)  
264 substantially increases the knowledge on biocrusts of this region.

265 The extent of biocrusts was strongly increasing since 2012 i.e. three years after tree replantation and still gaining  
266 coverage in the sixth year after the experimental setup. Thus, the second part of hypothesis 1, stating that crust cover  
267 decreases with ongoing canopy closure, has to be rejected. Even if single trees were already up to 7.4 m high (Li et  
268 al., 2014) and LAI was up to 5.35 in 2013, biocrusts still remained coexisting within the early stage forest ecosystem.  
269 Furthermore, increasing crown cover and LAI seemed to foster the development of bryophyte-dominated biocrusts at  
270 this ecological stage. By the end of this study, there were indications that biocrust cover may start to be pushed back,  
271 as first vascular plants appeared in between. This is in line with existing literature, demonstrating that continuing tree  
272 growth will cause biocrust communities to adapt with an altered composition of moss and liverwort species (Eldridge  
273 and Tozer, 1997; Fenton and Frego, 2005; Goffinet and Shaw, 2009). It has been shown, that bryophytes switch from  
274 species favouring sunny habitats to more shade-tolerant species (Zhao et al., 2010; Müller et al., 2016). In addition,  
275 there might also be a reduction in bryophyte diversity due to shady conditions, where only a smaller number of species  
276 could prevail. In later stages, biocrust cover will be replaced by vascular vegetation (in light forests) or buried under  
277 persisting leaf litter (under darker conditions). In this context, the ecological roles of biocrusts in succession models  
278 and plant restoration are of interest (Hawkes, 2004; Bowker, 2007). In particular, biocrust succession in temperate  
279 climates has received limited scientific attention (Read et al., 2016). Furthermore, there are several projects under way  
280 to establish successful restoration techniques in arid and semi-arid environments (Rosentreter et al., 2003; Bowker,  
281 2007; Chiquoine et al., 2016; Condon and Pyke, 2016), which could be adapted to mesic environments. Nevertheless,  
282 it has to be stated that biocrust restoration might be dispensable in some mesic systems, as natural reestablishment  
283 appeared to be very fast in this study.

#### 284 4.2 The influence of vegetation, soil and terrain on biocrust cover

285 In the current study, the development of biocrusts was influenced by vegetation and terrain, but not by soil attributes.  
286 Thus, hypothesis 2, stating that the biocrust development is not only influenced by surrounding vegetation, but also  
287 by soil and terrain, can only partly be confirmed for this ecosystem. As demonstrated above, high crown cover and

288 LAI positively affected the development of biocrust cover in 2013. This increase in biocrust cover is likely caused by  
289 successional alteration of biocrusts towards bryophyte-dominance. Mosses and liverworts profit from humid  
290 conditions and a higher protection from light compared to cyanobacteria- or lichen-dominated crusts (Ponzetti and  
291 McCune, 2001; Marsh et al., 2006; Williams et al., 2013). The successional development of biocrusts within the BEF  
292 China experiment was faster than reported by Zhao et al. (2010) for Chinese grasslands (Loess Plateau), who claimed  
293 biocrusts from a 3-year old site as early successional and dominated by cyanobacteria. The recovery rate was also  
294 faster than described by Eldridge (1998) and Read et al. (2011) for semi-arid Australia, each one of the very few  
295 studies on biocrust recovery under woodland. In the study presented here, the rapid change in biocrust community  
296 composition is mainly linked to the growth rates of surrounding trees in this subtropical forest. As functions of  
297 biocrusts, such as erosion reduction, are species-dependent, the rapid change in species composition might also lead  
298 to considerable variations in functional responses. Further studies are required to investigate species changeover times  
299 in different environments and particularly in disturbed mesic ecosystems.

300 Furthermore, several terrain attributes affected biocrust cover. Slope was the most prominent of those factors, causing  
301 a considerable decline in biocrust cover with increasing slope. This finding was explained by their decreasing ability  
302 to fix themselves on the soil surface at high slope angles and thus their tendency to erode from the soil surface, when  
303 large surface water flows occur during rainfall events (Chamizo et al., 2013; Bu et al., 2016). Thus, the surface-  
304 protecting effect of biocrusts decreases at steep plantation sites and during heavy monsoon rainfall events, which  
305 frequently occur in the broader research area in Jiangxi Province, China (Yang et al., 2013; Goebes et al., 2015).  
306 Moreover, microclimatic factors played a role in the development of biocrusts. Northness showed a positive impact  
307 on biocrust cover and indicated that slope orientations towards the incident sunlight directly influence the biocrust  
308 development. This was also observed in other studies in arid and semi-arid areas (Bowker et al., 2002; Zaady et al.,  
309 2007). Furthermore, biocrust development depended on the altitude, which is probably also by affecting microclimatic  
310 conditions (Kutiel et al., 1998; Chamizo et al., 2016; Bu et al., 2016). Those microclimatic factors are additionally  
311 altered by the growing tree vegetation itself.

312 Interestingly, SOM and pH did not affect biocrust cover in this study, whereas generally, underlying substrates are a  
313 main factor for bryophyte development (Spitale, 2017) and soil attributes are known to strongly influence biocrust  
314 cover (Bowker et al., 2016). At the experimental area, increased organic matter contents and acidic conditions have  
315 been determined (Scholten et al., 2017), which favour the development of bryophyte-dominated biocrusts (Eldridge  
316 and Tozer, 1997; Seppelt et al., 2016). Nevertheless, the variation between the research plots was small and apparently  
317 not large enough to cause prominent differences in biocrust development. Comparisons between forest plantations on  
318 different substrates would help to clarify the influence of soil attributes on biocrust development in those environments  
319 and to assess their effect in a broader environmental context (Spitale, 2017).

#### 320 4.3 The impact of biocrust cover on soil erosion

321 Biocrust cover clearly mitigated interrill soil erosion in this early stage ecosystem and thus hypothesis 3 was  
322 confirmed. Sediment delivery was strongly reduced with increasing biocrust cover. For arid environments, e.g. Cantón

323 et al. (2011) and Maestre et al. (2011) showed that sediment delivery from soil surfaces covered with biocrusts  
324 decreases compared to bare soil surfaces with physical crusting (from 20 g m<sup>-2</sup> to <1 g m<sup>-2</sup> and 40 g m<sup>-2</sup> to <5 g m<sup>-2</sup>,  
325 respectively), both studies using micro-scale runoff plots (0.25 m<sup>2</sup>). The study presented here shows, that biocrusts  
326 fulfil this key ecosystem service also within a particular mesic habitat, even if their biomass and soil penetration depth  
327 is low compared to trees. This functional role is due to the fact that biocrusts attenuate the impact of raindrops on the  
328 soil surface and greatly improve its resistance against sediment detachment (Eldridge and Greene, 1994; Goebes et  
329 al., 2014; Zhao et al., 2014). Moreover, they have the ability to glue loose soil particles by polysaccharides extruded  
330 by cyanobacteria and green algae (Buscot and Varma, 2005). In the current study, protonemata and rhizoids of mosses  
331 and liverworts were observed to be most effective by weaving and thus fixing the first millimetres of the top soil, as  
332 also described by Bowker et al. (2008). *Pogonatum inflexum* and *Atrichum subserratum* are well known to have a  
333 positive effect on erosion control due to their sustained protonema system (Martin Nebel, personal observation).  
334 Furthermore, bryophytes increase the formation of humus, which in turn assists to bind primary particles into  
335 aggregates (Scheffer et al., 2010; Zhang et al., 2016).

336 Whereas a partial stone cover did not decrease surface runoff in this study, bryophyte-dominated biocrusts positively  
337 influenced the hydrological processes in the top soil layer regarding erosion control. Thus, they actively mitigated  
338 initial soil erosion compared to abiotic components such as stones and pebbles. Biocrusts have been frequently shown  
339 to influence hydrological processes such as surface runoff and infiltration rates (Cantón et al., 2011; Chamizo et al.,  
340 2012; Rodríguez-Caballero et al., 2013). Recently, Chamizo et al. (2016) showed that biocrusts decrease runoff  
341 generation at larger scale (>2 m<sup>2</sup>), but converse behaviour has also been found (Cantón et al., 2002; Maestre et al.,  
342 2011). Reducing effects on runoff are related to biocrusts species composition (Belnap and Lange, 2003) and later  
343 developmental biocrust stages with higher biomass levels provide more resistance to soil loss (Belnap and Büdel,  
344 2016). Especially bryophyte-dominated crusts have shown to enhance infiltration and reduce runoff due to their  
345 rhizome system, causing soil erosion rates to stay low (Warren, 2003; Yair et al., 2011). Also other field studies  
346 revealed that later stage biocrusts, containing both lichens and bryophytes, offer more protection against soil erosion  
347 than cyanobacterial crusts (Belnap and Gillette, 1997), as they provide higher infiltration potential (Kidron, 1995).  
348 Moreover, biocrusts dominated by bryophytes increase surface roughness and thus slow down runoff (Kidron et al.,  
349 1999; Rodríguez-Caballero et al., 2012). Finally, they also absorb water and provide comparably high water storage  
350 capacity (Warren, 2003; Belnap, 2006). For example, *Leucobryum juniperoideum*, which has been widely found in  
351 this study, is known for its water absorbing capacity (Martin Nebel, personal observation). Thus, the observed rapid  
352 change in biocrust composition from cyanobacteria to bryophyte dominance improved soil erosion control in this  
353 forest environment. This effect should be considered for the replantation of forests in regions endangered by soil  
354 erosion.

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## 358 **5 Conclusion**

359 This study investigated the development and distribution of biocrusts in an early stage subtropical forest plantation as  
360 well as their impact on interrill soil erosion after human disturbance. The following conclusions were obtained:

361 (1) Biocrusts occurred widely in this mesic early successional forest ecosystem in subtropical China and were already  
362 dominated by bryophytes after three years of tree growth (25 bryophyte species classified). After six years of  
363 continuing canopy closure, biocrust cover was still increasing. Further monitoring under closing tree canopy is of  
364 importance to detect changes in biocrust cover and species composition. As this study discusses a very particular  
365 subtropical forest environment, where trees were replanted after clear-cutting, results have to be viewed with this  
366 particular setup in mind. Further studies on biocrust development in different disturbed forest ecosystems appear to  
367 be of high interest.

368 (2) The surrounding vegetation and underlying terrain affected biocrust development, whereas soil attributes did not  
369 have an effect at this small experimental scale. Besides high crown cover and LAI, the development of biocrusts was  
370 favoured by low slope gradient, slope orientations towards the incident sunlight and altitude. Further research appears  
371 to be necessary to explain effects of terrain attributes such as aspect or elevation and effects of underlying soil and  
372 substrates.

373 (3) Soil surface cover of biocrusts largely affected soil erosion control in this early stage of the forest plantation.  
374 Bryophyte-dominated crusts showed erosion-reducing characteristics with regard to both sediment delivery and  
375 surface runoff. Furthermore, they were more effectively decreasing soil losses than abiotic soil surface covers. The  
376 erosion-reducing influence of bryophyte-dominated biocrusts and their rapid development from cyanobacteria-  
377 dominated crusts should be considered in management practices in early stage forest plantations. Further research is  
378 required on functional mechanisms of different biocrust and bryophyte species and their impact on soil erosion  
379 processes.

380

## 381 **Data availability**

382 Data are publicly accessible and archived at the BEF China data portal (<http://china.befdata.biow.uni-leipzig.de>).

383

## 384 **Author contribution**

385 Steffen Seitz and Thomas Scholten designed the experiment and Steffen Seitz, Zhengshan Song, Kathrin Käppeler  
386 and Carla L. Webber carried it out. Martin Nebel and Kathrin Käppeler classified biocrust types and determined  
387 bryophyte species. Steffen Seitz, Philipp Goebes and Karsten Schmidt performed the statistical models. Steffen Seitz,  
388 Xuezheng Shi and Bettina Weber prepared the manuscript with contributions from all co-authors. The authors declare  
389 that they have no conflict of interest.

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696 **Tables**697 **Table 1: Erosion, soil, soil cover, vegetation and terrain attributes in 170 runoff plots (ROPs) and on 34 research plots**  
698 **(with five ROps each) in Xingangshan, Jiangxi Province, PR China in 2013.**

	<i>Min</i>	<i>Mean</i>	<i>Max</i>
<i>Runoff plots (ROPs, four measured rainfall events, n=334)</i>			
Sediment delivery [g]	21.6	195.5	989.0
Surface runoff [ml]	3.1	40.3	111.8
Rainfall amount [ml]	25	94	178
<i>Runoff plots (ROPs in use, n=170)</i>			
Slope [°]	5	29	60
Soil cover [%]	0	19	62
- Biological soil crust cover [%]	0	24	62
- Stone cover [%]	0	4	42
Crown cover [%]	0.00	0.32	1.00
Leaf area index (LAI)	0.00	0.73	5.35
<i>Research plots (n=34)</i>			
Bulk soil density [g cm <sup>-2</sup> ]	0.83	0.98	1.12
Soil organic matter [%]	4.2	6.5	9.7
pH (KCl)	3.24	3.66	4.00
Altitude [m]	119	167	244
MCCA	0.98	2.07	3.81
TRI	0.72	2.39	3.86
Eastness	-0.86	0.09	0.99
Northness	-0.87	0.23	0.99

Tree height [m]	1.0	2.2	7.4
Crown width [m]	0.4	1.2	3.0

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699 **Soil cover: proportion of soil surface area covered by biocrusts or stones, crown cover: proportion of soil surface area**  
700 **covered by crowns of live trees, leaf area index: one-sided green leaf area per unit soil surface area, MCCA: Monte-Carlo**  
701 **based flow accumulation (Behrens), TRI: terrain ruggedness index (Riley), Eastness and Northness: state of being east or**  
702 **north (Roberts), tree height: distance from stem base to apical meristem, crown width: length of longest spread from edge**  
703 **to edge across the crown**

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726 **Table 2: Liverwort and moss species sampled in the BEF China experiment in Xingangshan, Jiangxi Province, PR China**  
 727 **in 2013.**

Family	Species	Author
<u>Liverworts</u>		
<i>Calypogeiaceae</i>	<i>Calypogeia fissa</i>	(L.) Raddi
<i>Conocephalaceae</i>	<i>Conocephallum salebrosum</i>	Szweyk., Buczk. et Odrzyk.
<i>Lophocoleaceae</i>	<i>Heteroscyphus zollingeri</i>	(Gottsche) Schiffn.
<i>Marchantiaceae</i>	<i>Marchantia emarginata</i>	Reinw., Blume et Nees
<i>Acrobolbaceae</i>	<i>Notoscyphus lutescens</i>	(Lehm. et Lindenb.) Mitt.
<u>Mosses</u>		
<i>Polytrichaceae</i>	<i>Atrichum subserratum</i>	(Harv. et Hook. f.) Mitt.
<i>Pottiaceae</i>	<i>Barbula unguiculata</i>	Hedw.
<i>Bryaceae</i>	<i>Bryum argenteum</i>	Hedw.
<i>Leucobryaceae</i>	<i>Campylopus atrovirens</i>	De Not.
<i>Dicranellaceae</i>	<i>Dicranella heteromalla</i>	(Hedw.) Schimp.
<i>Pottiaceae</i>	<i>Didymodon constrictus</i>	(Mitt.) K. Saito
<i>Pottiaceae</i>	<i>Didymodon ditrichoides</i>	(Broth.) X.J. Li et S. He
<i>Ditrichaceae</i>	<i>Ditrichum pallidum</i>	(Hedw.) Hampe
<i>Entodontaceae</i>	<i>Entodon spec.</i>	sterile
<i>Hypnaceae</i>	<i>Hypnum cupressiforme</i>	Hedw.
<i>Hypnaceae</i>	<i>Hypnum macrogynum</i>	Besch.
<i>Leucobryaceae</i>	<i>Leucobryum juniperoideum</i>	(Brid.) Müll. Hal.
<i>Bartramiaceae</i>	<i>Philonotis marchica</i>	(Hedw.) Brid.
<i>Bartramiaceae</i>	<i>Philonotis mollis</i>	(Dozy et Molk.) Mitt.
<i>Bartramiaceae</i>	<i>Philonotis roylei</i>	(Hook. f.) Mitt.
<i>Mniaceae</i>	<i>Plagiomnium acutum</i>	(Lindb.) T.J. Kop.
<i>Polytrichaceae</i>	<i>Pogonatum inflexum</i>	(Lindb.) Sande Lac.
<i>Thuidiaceae</i>	<i>Thuidium glaucinoides</i>	Broth.
<i>Mniaceae</i>	<i>Trachycystis microphylla</i>	(Dozy et Molk.) Lindb.
<i>Pottiaceae</i>	<i>Trichostomum crispulum</i>	Bruch

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736 **Table 3: Results of the final linear mixed effects (LME) model for vegetation, soil and terrain attributes on biological soil**  
 737 **crust cover in Xingangshan, Jiangxi Province, PR China in 2013 (\*\*\*: p < 0:001; \*\*: p < 0:01; \*: p < 0:05; .: p < 0:1; ns:**  
 738 **not significant; n=215).**

Biological soil crust cover				
	denDF	F	Pr	estim.
<i>Fixed effects</i>				
Crown cover	136	12.9	***	10.8
Bulk soil density	37	0.03	ns	3.65
SOM	39	1.11	ns	(-)0.95
pH (KCl)	38	2.47	ns	(-)16.7
Altitude	37	3.69	.	0.80
Slope	191	7.53	**	(-)2.72
MCCA	39	0.02	ns	0.33
TRI	38	0.04	ns	(-)0.40
Eastness	37	2.73	ns	(-)4.23
Northness	37	9.14	**	5.99
Tree species richness	38	1.22	ns	(-)0.27
<i>Random effects</i>		<i>SD</i>	<i>Variance</i>	
Site		<0.01	<0.01	
Plot		<0.01	<0.01	
<i>Vegetation attribute fitted in exchange to crown cover</i>				
Leaf area index	107	42.8	***	5.98

739 SOM: soil organic matter; MCCA: monte carlo based flow accumulation; TRI: topographic roughness index; denDF:  
 740 denominator degrees of freedom; F: F value; Pr: significance; estim.: estimates

741 **Table 4: Results of the final linear mixed effects (LME) models for sediment delivery and surface runoff with surface**  
 742 **cover split into biological soil crust cover and stone cover in Xingangshan, Jiangxi Province, PR China in 2013 (\*\*\*: p <**  
 743 **0:001; \*\*: p < 0:01; \*: p < 0:05; .: p < 0:1; ns: not significant; n=334).**

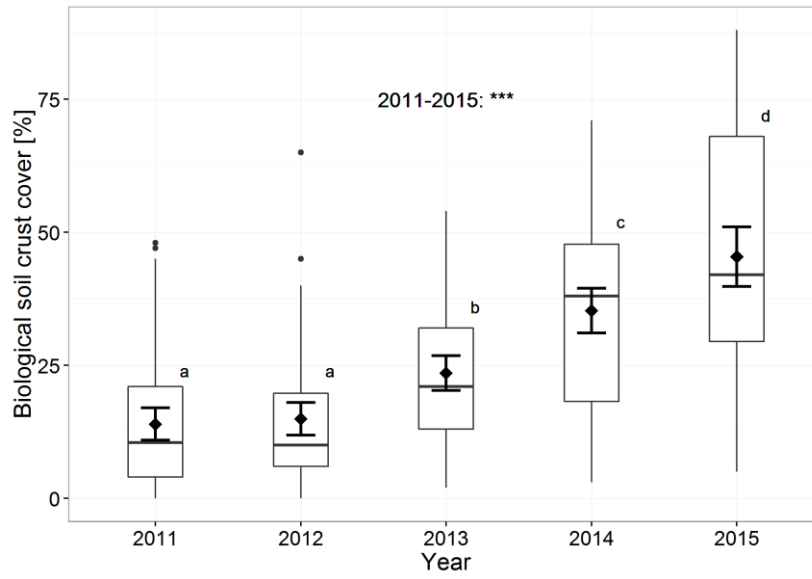
	Sediment delivery				Surface runoff				
	den DF	F	Pr	estim.	den DF	F	Pr	estim.	
<i>Fixed effects</i>									
Crown cover	130	6.53	*	(-)0.15	173	9.11	**	(-)0.14	
Slope	151	1.23	ns	0.06	168	2.25	ns	(-)0.06	
Surface cover									
- Biocrust	151	50.2	***	(-)0.38	159	8.11	**	(-)0.12	
- Stone	136	10.3	**	(-)0.19	188	1.66	ns	(-)0.06	
SOM	44	5.71	*	(-)0.08	72	2.43	ns	0.12	
Rainfall	95	5.46	*	(-)0.08	302	13.2	***	0.14	
Tree species richness	22	0.46	ns	0.05	68	0.11	ns	(-)0.03	
<i>SD</i>									
<i>Random effects</i>		<i>SD</i>	<i>Variance</i>						<i>Variance</i>
Precip. event : plot		0.199	0.040						0.537 0.288
Tree composition		0.292	0.085						0.000 0.000
Site		0.466	0.217						0.443 0.196
Plot : ROP		0.441	0.195						0.269 0.073

744 **SOM: soil organic matter; denDF: denominator degrees of freedom; F: F value; Pr: significance; estim.: estimates**

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747 **Figures**



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749 **Figure 1: The development of biological soil crust cover in runoff plots of the BEF China experiment from 2011 to 2015 in**  
750 **Xingangshan, Jiangxi Province, PR China (n=350). Horizontal lines within boxplot represent medians and diamonds**  
751 **represent means with standard error bars. Points signify outliers and small letters significant differences (p<0.001).**

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760 **Figure 2: Successional stages of biological soil crusts in two exemplary runoff plots (top row and bottom row, 0.4 m × 0.4**  
761 **m each) in 2011, 2013 and 2015 (from left to right) at the BEF China experiment in Xingangshan, Jiangxi Province, PR**  
762 **China.**

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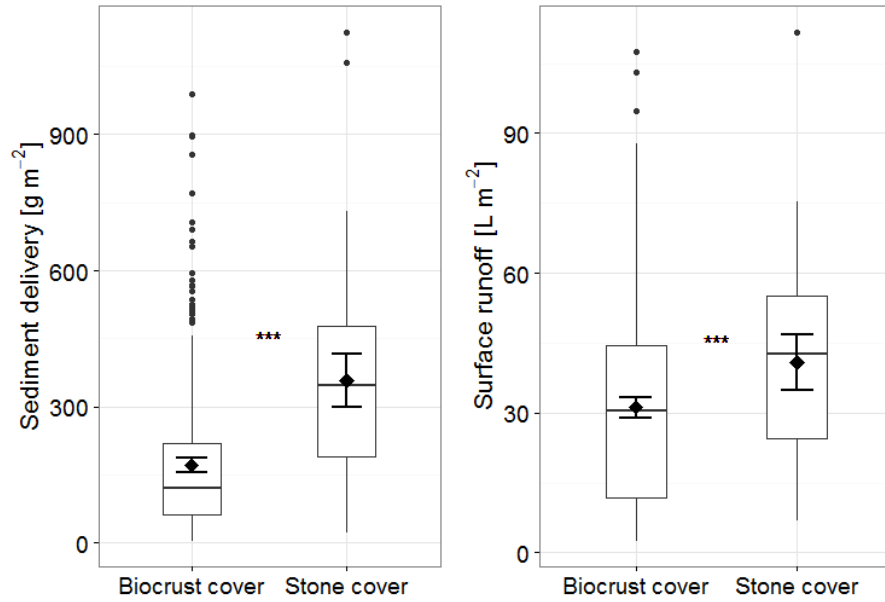
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771 **Figure 3: The influence of runoff plots dominated by biological soil crust cover (n=281) and stone cover (n=53) on**  
 772 **sediment delivery and surface runoff in Xingangshan, Jiangxi Province, PR China in 2013. Horizontal lines within box**  
 773 **plots represent median and diamonds represent mean with standard error bars.**