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# Organizational Sets, Populations and Fields: Evolving Board Interlocks and Environmental NGOs

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# **Organizational Sets, Populations and Fields: Evolving Board Interlocks and Environmental NGOs**

## Abstract

This paper redirects the study of heterogeneity in field-level studies. Through an empirical examination of board interlocks between non-governmental organizations (NGOs) and corporations and foundations, this paper analyzes changes at three levels – the *organizational field, population* and *set*. Our study finds that nearly half of the NGOs in our sample have no interlocks with corporations and foundations, and that there is a strong presence of corporate ties with the remaining NGOs. Between 2000 and 2005, we find that NGO ties with foundations and other NGOs are increasing in number and density, and that the field is showing increasing centralization of a small number of NGOs. We propose that attention to these micro-levels of the organizational population and set provides a more nuanced understanding of how change occurs at the macro level levels of the organizational field.

#### **INTRODUCTION**

In recent decades, non-governmental organizations (NGOs) have played an increasingly influential role in the definition and alteration of market and policy domains (Waddell, 2005; Brugmann and Prahalad, 2007; Detomasi, 2007). This activity has garnered growing attention in both the policy (e.g. Banuri and Najam, 2002) and academic literature (e.g. Powell and Steinberg, 2006). Of particular note has been the growing collaboration between NGOs and various types of organizational actors (Selsky and Parker, 2005; Warner and Sullivan, 2004; Detomasi, 2007) including corporations (Westley and Vredenburg, 1991; de Bruijn and Tucker, 2002; Rondinelli and London, 2003; Pearce and Doh, 2005, Galaskiewicz and Sinclair-Colman, 2006) and foundations (Brulle and Jenkins, 2005; Westhues and Einwiller, 2006; Prewitt, 2006). These collaborations can take many forms, including philanthropic (giving money to NGOs), strategic (event sponsorships and donations of products/equipment), commercial (causerelated marketing, licensing of names and logos, and scientific collaborations) or political (policy-marketing and lobbying) (Galaskiewicz and Sinclair-Colman, 2006).

What we find of interest among these types of collaboration is the extent to which they exert regulative, normative or cognitive influence (DiMaggio and Powell, 1991; Scott, 2001; Hoffman and Ventresca, 2002) on the behavior, agenda setting and mission of organizations in the NGO community (Scott and Davis, 2007; Minkoff and Powell, 2006). In this paper, we study the channels of information flows (Davis, 1991) by which this process takes place by tracking board interlocks (Burt, 1983; Pfeffer, 1987; Mizruchi, 1996; Davis, 1996) between environmental NGOs and corporations or foundations. Using

the tools of social network analysis (Wasserman and Faust, 1994; Borgatti, Everett and Freeman, 2002), we show how these interaction patterns can be articulated at various levels of granularity and demonstrate how they change over time – between 2000 and 2005.

In so doing, we add to the emergent and as yet incomplete empirical research on governance structures within the NGO literature (Ostrower and Stone, 2006). Further, this paper extends models of field level dynamics within the institutional literature (Scott, 2001; DiMaggio and Powell, 1991). Moving beyond the "master hypothesis" within institutional theory of isomorphism and stasis (Hoffman and Ventresca, 2002), this paper examines a richly developed conception of the organizational field as complex, heterogeneous, multi-layered and dynamic (Hirsch and Lounsbury, 1997). Anchored on early notions that the field is a community of organizations "whose participants interact more frequently and fatefully with one another" (Scott, 1995: 56), this paper presents fields as "relational spaces" (Wooten, 2006); domains that provide organizations with the opportunity to involve themselves with one another in an effort to develop collective understandings regarding matters that are consequential for on-going activities (Wooten and Hoffman, 2007). Formed around "issues" of importance (Hoffman, 1999) and open channels of dialogue, disparate organizations involve themselves in richly contextualized and diverse environments where dialogue takes place at multiple levels.

To conceptualize these multiple levels, this paper reintroduces the concepts of the organization set and organizational population (Scott, 1998) to explain the complexity of

field-level interaction; who is engaged within them, how they are configured and how they change. In the end, this paper examines the micro-level patterns of field level engagement to provide a more sophisticated explanation about how macro-level changes in field structure can be understood (DiMaggio and Powell, 1983; DiMaggio, 1995).

#### **ORGANIZATIONAL FIELDS**

The central organizing unit for this paper is the organizational field, "a community of organizations that partakes of a common meaning system and whose participants interact more frequently and fatefully with one another than with actors outside the field" (Scott, 1995: 56). It may include constituents such as the government, critical exchange partners, sources of funding, professional and trade associations, special interest groups, and the general public — any constituent which imposes a coercive, normative or mimetic influence on the organization (DiMaggio and Powell, 1991; Scott, 1991). For early neo-institutional theory (Meyer and Rowan, 1977; DiMaggio and Powell, 1983; Powell and DiMaggio, 1991; Scott, 1991), the organizational field was a domain in which unified or monolithic institutional forces created isomorphism – uniform organizational responses (DiMaggio and Powell, 1983). Individual actors conformed to these forces for reasons of legitimacy, such that the drive for collective rationality ultimately led to homogeneity in the aggregate. Diversity of organizational types was deemphasized.

But critics of this line of research (e.g. Hirsch, 1997; Hirsch and Lounsbury, 1997) argued that the literature placed too much emphasis on stability and inertia as its central defining characteristics (DiMaggio, 1995; Greenwood and Hinings, 1996). Rather than

exploring the homogeneity of organizational populations, they argued, attention should focus on the processes that may or may not create this outcome. They called for efforts to "end the family quarrel," resurrecting agency, politics and change from the earlier traditions of macro-organizational literature (e.g. Selznick, 1957) and bringing them "back" into the institutional literature (DiMaggio, 1988; Brint and Karabel, 1991; Hirsch and Lounsbury, 1997). In all, these criticisms were aimed at redressing the oversocialized view that depicts recipients of field level influence as a homogenous collection of organizational actors, each behaving according to a social script designed by the social environment (Granovetter, 1985).

As a result, more recent research has treated the organizational field as a center of common channels of interaction and dialogue. Fields bring together various constituents with disparate purposes (Hoffman and Ventresca, 2002). Rather than locales of isomorphic dialogue (DiMaggio and Powell, 1983), they are highly contested "field[s] of struggles" (Bourdieu and Wacquant, 1992) or "arenas of power relations" (Brint and Karabel, 1991: 355) where disparate organizations involve themselves with one another in an effort to develop collective understandings regarding matters that are consequential for organizational and field level activities.

Diverse constituents are often armed with opposing perspectives rather than a common rhetoric. Constituents act with self-interest and agency (Covaleski and Dirsmith, 1988; DiMaggio, 1988; Perrow, 1986), able to respond strategically to institutional pressures (Oliver, 1991) or act as "institutional entrepreneurs" (DiMaggio, 1988; Fligstein, 1997;

Zucker, 1988; Lawrence, 1999) by seeking to shape the discourse, norms and structures in ways that match their individual interests and objectives (Maguire, Hardy and Lawrence, 2004). Defining the field in terms of contestation and debate has introduced notions of change, organizational self-interests and most importantly for this paper, diversity within field structures (Covaleski and Dirsmith, 1988; DiMaggio, 1988; Perrow, 1986). But, in conceptualizing this diversity, new issues emerge around field boundaries.

### **Defining Boundaries of the Field**

"Boundary defining processes are among the more important subjects confronting organizational theorists" (Scott and Davis, 2007: 251). Attention to field-level boundaries is an overlooked aspect of institutional analysis; one of many that have allowed the repeated claims that institutional arguments reduce to "isomorphism." From DiMaggio and Powell's classic 1983 statement and since, there have been underlying concerns for power and the social structuring of fields, identity categories and segments, and the imagery of social network structures, flows of information, and resource contingencies. More explicit attention to field-level boundaries is central to defining the field as a more heterogeneous domain of contestation and debate, and to analyzing institutional change.

In heterogeneous fields of debate, attention must be given to the smaller clusters of debate within the broader field. In the past, classifications of such field level clusters have generally been ill-defined, often resting on simplifications of field level membership through a distillation of the number of participants, or simple classifications such as the government, critical exchange partners, sources of funding, professional and trade associations, special interest groups, and the general public (DiMaggio and Powell, 1991;

Scott, 1991). Many of these a priori classifications may be less meaningful attributions than the organization's role, purpose and interests within field level dialogues. Instead, the presence of field level structures should be analytically detected, not through the tangible aspects of organizational forms, but through an increase in the information load which they share, and; the development of a mutual awareness that they are involved in a common debate (DiMaggio, 1983).

The field forms around "issues" which bring together various field constituents with disparate purposes (Hoffman, 1999). As such, the field becomes a "relational space" (Wooten, 2006), developed around communication channels that allow members to make sense (Isabella, 1990; Thomas, Clark, and Gioia, 1993; Gioia and Thomas, 1996) of turbulent and uncertain "problem domains" (Trist, 1983). These are issues or events that are too extensive and multi-faceted to be addressed by any one organization, but instead require some collective form of engagement to both understand and respond (Emery and Trist, 1965). Disruptive events such as the threat of hostile a takeover (Davis, 1991), regulatory changes (Edelman, 1992), or environmental catastrophes (Hoffman and Ocasio, 2001) create contradictions within the environment (Seo and Creed, 2002) and force organizations to (re)analyze their surroundings. Fields serve as the sites in which organizations come together to do this sense-making work. Issues and problem domains become the central units around which the field coalesces. But not all issues engage the entire field in debate. Some issues can become central units around which smaller clusters within the field coalesce.

#### A Multi-Layered Conception of the Field

We contend that predefined organizational categories (such as Fortune 500 firms, nonprofit organizations, or liberal arts colleges) do not accurately represent essential constituencies of an organizational field. Instead, we posit that field level structures and constituencies emerge from issues, drawing linkages that may not have been previously present. Issues differentiate among various types of actors that are engaged within field level debate and influence the form of that engagement. Organizations may make claims about being or not being part of such field level activities, but their membership is defined through social interaction patterns around issues of relevance.

By defining the bounds of field level membership in this way, this paper will include a larger number of organizations than typically found in institutional analyses. This increased scale requires a new and more finely grained structure and nomenclature for delineating the levels on which field-level debate occurs. Toward that end, this paper (re)introduces two concepts to give greater clarity to the types of field level interaction: the organization set and the organizational population. Each of these constructs is presented as a concept nested within the organizational field.

**The organization set** is the smallest cluster of field level activity. It has roots that date back to early organizational analysis (Blau and Scott, 1962; Evan, 1966) and builds upon the notion that a given organization does not perform a unitary role, but rather is associated in a variety of relations with other organizations (e.g. suppliers, customers etc.). Central to this concept is "that it views the environment from the standpoint of a

specific (focal) organization" (Scott, 1998: 125). This paper develops the organization set as centered on a focal organization, (Levine and White, 1961; Thompson, 1967). Much like the concept of the "ego-network" in network analysis (Wasserman and Faust, 1994), its direct cluster of relations has theoretical and empirical importance for information and resource flows.

**The organizational population** represents the intermediate type of field level activity, broader than the set but more tightly linked than the field. The concept "identifies aggregates of organizations that are alike in some respect" (Scott, 1998: 125). The ways in which organizations are "alike" can vary and multiple populations in the field can overlap and interpenetrate. Hannan and Freeman (1977) noted that genetic structure defines commonality among biological species and that some sort of a similar organizational analogue such as a "blueprint for organizational action, for transforming inputs into outputs" was in order (1977: 935). McKelvey (1982) suggested that organizations in a population share a common technical core. Ultimately, organizations within a population "share similar interests and may, under appropriate circumstances, band together to protect them" (Scott and Davis, 2007: 117). This paper presents the organizational population as a cluster that shares common forms of dialogue around specific issues and interests that are more narrowly defined that those in broader fieldlevel debates. While there are many ways in which "alikeness" can be defined to articulate populations, this paper will use two: organizational type (NGO, corporation and foundation) and organizational attributes (issue keywords for NGOs, SIC codes for corporations and legal status for foundations).

The organizational field then becomes the overall domain in which organization sets and populations are nested. At the level of the field, sets and populations accumulate and overlap to form an aggregate "community of organizations that partake in a common meaning system and whose participants interact more frequently and fatefully with one another than with actors outside the field" (Scott, 1995: 56) and represent a recognized area of institutional life (DiMaggio and Powell, 1983). Using this multi-layered structure, this paper challenges typical notions of field level contest, offering more developed, empirically-grounded evidence of who is engaged in field level debates and how the debates and the linkages among the actors themselves are configured.

#### **EMPIRICAL CONTEXT**

To examine these multiple arenas of field level interaction, this paper studies a sample set of NGOs focused on environmental issues and the ties they have with corporations and foundations. What may be alternatively referred to as a social movement industry (McCarthy and Zald, 1977; Strang and Soule, 1998; Campbell, 2005) or an organizational field, this is an interesting empirical domain for study as the environmental debate often takes multiple and overlapping issue frames (e.g. ecosystem protection, diversity loss, climate change, energy efficiency, ozone depletion, and many others). Each of these frames draws in differing and interconnected constituencies.

#### **The Environmental Movement**

In the aggregate, the constituencies around environmental issues are often less welldefined than that of some other policy issues with strong social movement stakeholders. Membership in the environmental movement is indeterminate (Beck, 1992; Egri and Pinfield, 1994). Whereas other public issues (such as minority or women's movements) have a more clearly-specified constituency, environmentalism has no single demographic or well-structured political constituency, neither among proponents nor opponents of particular environmental policy initiatives. In fact, opposition to environmentalism on the grounds of threatened material interests or aversion to state intervention would be easier to explain than environmental advocacy (Buttel, 1992). A high quality environment tends to be a public good, which when achieved cannot be denied to others, even to those who resist environmental reforms.

As such, the field-level actors that engage on environmental issues are extremely diverse and heterogeneous. To some in fact, the term "environmentalist" serves as a misnomer, lumping many organizations with varied interests into one category.

"The term 'environmentalist' was not chosen by the individuals so described. It was seized upon by members of the popular press as a means of labeling a newly prominent segment of society. . . not only have the labelers forced an artificial association on a very diverse group of individuals, but they have also given a terse public statement of what 'those people' are presumed to want. Environmentalists want environment — obviously. But this may be entirely wrong, a possibility that few environmentalists have contemplated even though many have lamented the term itself." (Evernden, 1985: 125).

In defining the constellation of NGOs related to the environment, 6,493 organizations identified themselves as environmental groups in 2005 (Gale Research, 2005). And,

while they share common attributes regarding the issue of the environment, they differ in how that issue is operationalized or framed, with implications for the goals they strive for and the location of their supporters within the social structure (Zald and McCarthy, 1987). For example, some NGOs seek completely non-confrontational means to achieve their goals of protecting ecosystems for conservation purposes (e.g. The Nature Conservancy). Some NGOs seek to protect these habitats for the purposes of sport (e.g. Trout Unlimited, Ducks Unlimited). Some are staffed with lawyers and scientists and work within existing institutions to bring about corporate and social change (e.g. the Natural Resources Defense Council, Environmental Defense). Others prefer to remain outside those institutions, relying on less professionally oriented staff and working in a more confrontational style (e.g. Greenpeace USA). Still others prefer to engage in acts of sabotage and deliberate violation of the law, leading government agencies to label them terrorist groups (e.g. Earth First!, the Earth Liberation Front).

The indeterminate nature of the constellation of environmental NGOs and the environmental policy issues and solutions they engage also means that they attract a wide range of other field-level participants, including employee groups, labor unions, community groups, consumers, environmental activists, investors, insurers, the government, industry competitors, internal managers (Morrison, 1991; Hoffman, 2000; Brulle, 2000) and religious groups (Rockefeller and Elder, 1992).

Most notable for this paper is the evolving engagement between NGOs and corporations and foundations. While such interaction is not new – philanthropic giving between

businesses and NGOs began in the nineteenth century with the U.S. Congress allowing a federal income tax deduction for such activity in 1953 (Galskiewicz and Sinclair-Colman, 2006) – the form of this collaboration became more strategic, commercial and political in the 1990s (Galaskiewicz and Sinclair Colman, 2006). At that time, more structured alliances between environmental NGOs and corporations (Westley and Vredenburg, 1991; Rondinelli and London, 2003; Orti, 1995) and between foundations and environmental NGOs (Parker and Selsky, 2004; Brulle and Jenkins, 2005) began to take shape.

Studies have shown that the consequences of such alliances can be multiple and complicated for both parties to the alliance. While very little has been written about the related issues of NGO/foundation alliances, corporate/NGO alliances have a growing literature. On the one side, corporations make concessions to adopt more environmentally beneficial practices (Esty and Winston, 2006). On the other, NGOs begin to emulate the strategies, management style and goals of their for-profit partner (Galaskiewicz and Sinclair-Colman, 2006), often creating clashes between the differing cultures and purposes of the alliance partners (Powell and Owen-Smith, 1998; Bowie, 1994). This can ultimately lead to mission drift (Young, 2001) where the NGO "loses sight of its tax exempt purpose and focuses on commercial activities and cost saving [or profit enhancing] measures" to the exclusion of its community oriented purpose (Galaskiewicz and Sinclair-Colman, 2006).

#### **NGO Board Interlocks**

In this paper, we look more deeply at the patterns of these types of interactions using board interlocks as channels of institutional influence within organizational fields. Boards are charged with the "ultimate responsibility for the non-profit organizations that they oversee" and serve as an important channel for "connecting individual institutions to their larger context" (Ostrower and Stone, 2006: 612). Correspondingly, board interlocks are mechanisms for gaining access to critical resources such as information and, of particular importance to NGOs, funding "both because individual board members will influence their corporations' giving and because the closer connections they have to others will also raise overall giving levels" (Marquis, Glynn, and Davis, 2007). But such access creates "difficulties of juggling fidelity to a mission with achieving fiscal stability" (Minkoff and Powell, 2006: 592). An NGO's action set may become constrained, leading it to take on "second-best" environmental projects in terms of its environmental values to satisfy its funders. As a result, studies have found "mission deflection" as organizations seek to satisfy the interests of key benefactors (Scott, 1967). Board interlocks thus become mechanisms for cooptation by incorporating "representatives of external groups into the decision-making or advisory structure of an organization" (Scott and Davis, 2007: 235).

#### METHODOLOGY

In this study, we utilize patterns of board interlocks as a proxy for institutional channels of influence that take place within organizational fields, populations and sets. More specifically, we use these patterns to study (a) board interlocks between NGOs and corporations and foundations, (b) the field, population, and set levels on which they take

place, (c) the character of such interactions based on attributes of the players involved and (d) how those patterns of interaction changed between the years 2000 and 2005.

# **Data Collection**

**Initial NGO sample set.** Our initial NGO sample was gathered from the 6,493 environmental organizations that identified themselves as environmental groups in the *Encyclopedia of Associations* (Gale Research, 2005). From this list, we selected a subset of the largest national and international environmental groups (those with budgets over \$1 million). We removed trade organizations, professional organizations and those that did not have a board of directors (such as intergovernmental panels) resulting in an NGO sample of 55 organizations. These groups ranged in size from 100 members to 1.2 million (average 136,000), in budget from \$1 million to \$245 billion (average \$18.5 million) and in date of formation from 1875 to 1995 (average 1958). Overall, while the sample is biased towards large national and international groups, it will allow the development of our analysis of multiple levels of field level engagement.

**Board member data.** Lists of the boards of directors for these NGOs were generated from a combination of sources. The bulk of the data was derived from IRS 990 forms filed for the 2000 and 2005 tax years. In cases where the forms were unavailable, the NGOs were contacted directly and asked to provide this information, or in some cases the necessary historical data was available on the NGO's website. We were unable to collect the 2000 board data for one organization - N8 - and therefore were forced to exclude it from our sample. This resulted in a sample set of 54 NGOs (see Appendix) with 1336 directors in the year 2000 and 1526 directors in 2005 (a 14% increase).

We then cross-referenced this list of NGO directors with the 2003 board membership of public U.S. companies found in *Compact Disclosure*<sup>®</sup>, a database that provides access to SEC-filed financial and other information contained within Annual Reports, Proxy Statements, and 10-K/20-F filings for over 12,000 companies.<sup>1</sup> We chose the year 2003 as the middle of the two NGO data sets, both because the size of corporate boards remained relatively stable over this time period and the tenure of a board member averages roughly six to nine years (Hermalin and Weisbach, 1988; Kosnick, 1990). According to *Compact Disclosure*<sup>®</sup>, these 12,000 corporations had 38,850 directors in 2003.

Lastly, we generated a list of foundations that had donated more than \$100,000 in any given year between 1999 and 2004 to any of the 54 NGOs on our list through *GuideStar*<sup>®</sup>, a database that compiles financial information from the IRS Business Master File of exempt organizations and IRS Forms 990, 990-EZ, and 990-PF (Philanthropic Research, Inc., 2007). This resulted in a list of 309 foundations. The list of board members for each of these foundations was generated from their websites, annual reports and IRS 990 forms for the year 2003 (to match the year of our corporate board data set). This resulted in a sample set of 2,233 foundation directors. In order to better identify individual board members, we also collected organizational or professional affiliations if this information was listed in the IRS 990 forms or on the websites.

<sup>&</sup>lt;sup>1</sup> We wish to thank Jerry Davis for providing us with a cleaned version of this dataset.

**Identifying interlocks.** To determine the interlocks between the NGO, corporate and foundation board sets, we designed an algorithm to identify possible matches based initially on last name and first initial. We then undertook an extensive internet search for each possible match looking for corporate, foundation or NGO biographies or news stories that conclusively demonstrated that this particular person served on the boards of the organizations in question. Only those board members who could be conclusively identified in this manner were included in our sample. This resulted in a data set consisting of 422 individual board members that served on both an NGO and corporate and/or foundation board in our 2000 and 2005 datasets (roughly 30 % of the NGO board member sample).

Attribute data. To assign attributes to delineate NGO mission and focus, we used keywords assigned by the *Encyclopedia of Associations* (Gale Research, 2005). The editorial staff of the *Encyclopedia* assigns keywords to each organization based on their assessment of the overall objectives and goals of the organization and the subject code(s) under which users would expect to find the organization. Within the sample set of this study, 28 total keywords were identified by members (with a range of 1 to 5 keywords per NGO). Keywords included: "agriculture," "bird," "conservation," "deer," "education," "energy," "environmental protection," "fish," "forestry," "health," "international development," "law," "marine biology," "natural resources," "nuclear weapons," "paper," "parks and recreation," "pollution control," "primates," "rain forests," "rangeland," "tropical studies," "water," "wetlands," "wildlife," "wood,"

and "world affairs." We also added one additional keyword – "sporting groups" – to identify those groups focused on fishing or hunting.

For attributes of each of the corporations in our sample, we identified the 2-digit standard industrial classification (SIC) Code using the *Hoover*<sup>®</sup> database and then assigned broader industry classifications used by the Bureau of Economic Analysis.<sup>2</sup>

For attributes of each of the foundations in our sample, we identified their foundation type (independent, corporate, community or operating) using *Foundation Directory Online*<sup>®</sup>, a database of over 230,000 IRS 990s for private foundations, community foundations, and grant-making public charities.

### **Data Analysis**

For our network analysis, we made use of two social network analysis software packages: UCINET<sup>®</sup> (for quantitative analysis) and NetDraw<sup>®</sup> (for visualization) (Borgatti, Everett and Freeman, 2002). In this network dataset, the "nodes" were the individual NGOs, corporations and foundations. The "ties" were the individual board directors that shared membership between two or more boards. We treated these ties as non-directional, assuming that the form of influence was between organizations and not just from one organization to another. Further, we dichotomized the data to remove duplicate ties for the same pair of organizations. While multiple ties are important for understanding the level or strength of the ties between organizations, dichomization allowed us to work with a non-valued network set and create network and node specific data that focused on

<sup>&</sup>lt;sup>2</sup> See <u>http://www.bea.gov/regional/gsp/readmeSIC.cfm</u> for the classification scheme of the Bureau of Economic Analysis, U.S. Department of Commerce.

discrete ties between and among organizations. In order to present this data in the most objective way possible, we deliberately used organization codes and not names so as not to bias our analysis.

We examined the network data set at three levels. First, we examined the network of the *organizational field* by including all data in our analysis. We examined *organization sets* by focusing on the ego-networks of individual NGOs using the egonet function in NetDraw<sup>®</sup>. Finally, we examined *organizational populations* by focusing on the networks formed around NGOs with common keyword interests. Our key variables are described below.

For each network, we studied four key variables. **Density** is the ratio of the number of actual ties in a network to the maximum possible. Values can range from zero (no ties present) to one (all possible ties are present).<sup>3</sup> **Centralization** (similar to hierarchization) is the difference between the number of links for each node divided by the maximum possible sum of differences. A centralized network will have much of its links dispersed around one or a few nodes, while a decentralized network is one in which there is little variation between the number of links each node possesses. A centralization value of one means that one node completely dominates the network. The average **distance** (also called the geodesic) of a network is a measure of the average shortest path between nodes (measured as an integer of the number of nodes one must pass through to get from node

<sup>3</sup> Density =  $\frac{\# \text{ of actual ties}}{\# \text{ of possible ties}}$  =  $\frac{\text{ total ties}}{[g^*(g-1)]/2}$ 

Where g is the number of actors (or nodes).

 $n_i$  to  $n_j$ ). Heterogeneity is a measure of the diversity of types of nodes in a given network based on network attributes such as those listed below.

For each node, we studied four key variables, each focused on some aspect of the importance or centrality of a node to the network. **Degree** is the actual count of the number of ties connecting a node to other actors in the network. (The ego-network is a map of a node's degree – all of a node's direct contacts.) **Closeness** is the mean geodesic (e.g., the shortest path) between a node and all other nodes reachable from it. Closeness can be regarded as a measure of how long it will take information to spread from a given node to others in the network. **Betweenness** is the extent to which a node is directly connected only to those other nodes that are not directly connected to each other. Therefore, it's the number of nodes that a node is connected to indirectly through its direct links. Finally, the **eigenvector** is a measure of the importance of a node in a network. It assigns relative scores to all nodes in the network based on the principle that connections to high-scoring nodes (on degree, closeness and betweenness) contribute more to the score of the node in question than an equal number of connections to low-scoring nodes. For example, Google's Page Rank is a variant of the eigenvector measure.

#### RESULTS

#### **Organizational Field**

Using the aggregate network data as a depiction of the organizational field, we found an interconnected constellation of actors: 54 NGOs, 425 corporations, and 156 foundations sharing communication ties through 422 common board members for the combined years

2000 and 2005 (361 common board members in 2000 and 383 common board members in 2005). The makeup of these organizations, based on attribute data shown in table 1, shows that the NGOs in the sample were heavily weighted towards issues of conservation, wildlife and environment. The corporations in the sample were heavily weighted towards manufacturing, finance, insurance and real estate, services and transportation and utilities. The foundations were heavily weighted towards individual organizations. (We note a disproportionate representation of corporate and community foundations compared to national averages. Corporate foundations made up 14% and 13% of the ties in 2000 and 2005 compared to their comprising 4% of foundations overall (in 2000); community foundations made up11% in both years compared to 1% national average (Lawrence, Atienza and Marino, 2003).

Insert Table 1 about here

A comparison of the 2000 and 2005 data (see figure 1) shows multiple constructs by which the field is growing more interconnected. First, the overall number of board level ties increased by 3.49%.<sup>4</sup> Second, mean degree, closeness, betweenness and eigenvector all increased, suggesting an increase in ties between nodes. Similarly, the average distance between reachable pairs decreased, suggesting that the nodes are becoming more closely tied. But this increase in field level connections is not uniform or homogenous. Centralization within the field increased by 54% suggesting that there are certain areas within the field where clustering among organizations is growing more acute. To get a

<sup>&</sup>lt;sup>4</sup> The average number of boards per director decreased negligibly from 2.68 in 2000 to 2.64 in 2005, with the maximum number of boards per director at 11 (2000) and 12 (2005) and the median remaining constant at 2.

better sense of what is happening, we must look deeper at the organizational populations and sets.

Insert Figure 1 about here

# **Organizational Populations**

There are a number of ways that we can conceptualize the many organizational populations within the field: that is, "aggregates of organizations that are alike in some respect" (Scott, 1998: 125). We use two definitions in this paper.

First, we start with the most basic form of the constituents present and the domains in which they interact. With this conceptualization, we can think of the field forming at the intersection of common channels of dialogue and discussion among our three populations: NGOs, corporations and foundations, as shown in figure 2. Within this figure, we can graphically observe the four NGO related domains of engagement that are of most interest to us in this study. In domain "A" we find a population of NGOs that were isolates<sup>5</sup> and having ties only with other NGOs<sup>6</sup>. In domains B, C, and D, we find varying types of engagement among NGOs, foundations and corporations.

Insert Figure 2 about here

<sup>&</sup>lt;sup>5</sup> 33.33% in 2000 and 35.19% in 2005. <sup>6</sup> 7.41% in 2000 and 12.96% in 2005.

Looking more specifically at the types of the changing tie patterns in these populations, table 2 shows that NGOs are becoming more interconnected with other members of the field -- an 18.58% increase. Given our methodology, we would not expect significant increases in corporate or foundation ties as we were not analyzing interconnections between and among these two sets of actors.

Insert Table 2 about here

Table 3 demonstrates this increase in NGO ties, which manifests itself primarily in a 44.44% increase in ties between NGOs and a 25.49% increase in ties between NGOs and foundations. Similarly, the density of ties between NGOs increased by 44.44% and the corresponding measure between NGOs and foundations increased by 23.05%. A modest 4.00% increase in ties between NGOs and corporations was also detected (N to C tie density increased by 4.90%).

Insert Table 3 about here

Second, we also identified organizational populations based on keywords for NGO focus. We treated these keywords as issues critical to the interests of field level actors, and thus more likely to draw in certain types of actors for dialogue and debate. Shown in table 4, we find variations in the makeup of these issue populations. For example, the population centered on sporting groups was the least connected to corporations and foundations; and was more likely to be an isolate than other populations. Conversely, the population centered on natural resources was the most connected to corporations and foundations. The population around the issue of wildlife showed the most dramatic decline in corporate and foundation engagement while the population around the issues of natural resources and environment showed the largest increase in corporations and foundations per NGO. The population around conservation showed a decline in corporate ties, but the highest increase in foundation ties. We can think of these changes as shifts in the localized channels of influence of through which NGOs, corporations and foundations interact regarding key issues within the larger organizational field.

We also note that certain types of companies and foundations engaged certain population issues or avoided others. Compared to the field level average, manufacturing companies were more heavily involved in the environment, water and pollution populations. Forest related manufacturing did not engage with the populations of sporting groups, water, education and pollution. Compared to field level averages, community foundations were more present in populations around natural resources than other issues. Corporate foundations were more heavily involved with populations around the issues of environment and natural resources. Thus, these characteristics serve as indicators of the types of debates that are taking place within the organizational field and the types of influence that may be present to shape these debates. But, to understand the changes that are occurring around these populations more deeply, we must also investigate the organizational sets that exist within them.

Insert Table 4 about here

# **Organization Sets**

At the level of the organization set, we can see the micro-level activity of field level participants by analyzing the ego-networks of individual NGOs. In particular, we were interested in several variables for determining the centrality of certain NGOs and how those characteristics changed between 2000 and 2005. Shown in table 5, we found that NGO degrees ranged from zero ties (isolates) to as many as 43 ties (heavily linked to others within the field).<sup>7</sup> Changes in degree centrality between 2000 and 2005 were observed both positively (e.g. N34 moving from 28 to 43) and negatively (e.g. N24 moving from 23 to 9).

A second measure of centrality, the eigenvector, identifies the NGOs that were more effectively linked to others within the field, and therefore more central to the network. Looking at the normalized eigenvector centrality data for the organization sets in Table 4 shows that the community of NGOs is highly centralized around a small number of centrally dominant actors <sup>8</sup>: N32 was singularly most central in 2000. In 2005, both N32 and N38 shared that centrality dominance. These measures of eigenvector centrality were orders of magnitude higher than the next highest values and vastly higher than the NGO averages.

<sup>&</sup>lt;sup>7</sup> Within these "ego networks" (Scott and Davis, 2007) we found NGOs that ranged from having ties with only one type of organization (N, C or F), to two types, to having ties with all three.

<sup>&</sup>lt;sup>8</sup> Which corroborates the increased centralization detected at the field level in figure 1.

We can think of these NGOs, due to their centrality as playing the role of a "bridge" (Brown, 1991; Westley and Vredenburg, 1991; Sharma, Vredenburg and Westley, 1994; Lawrence and Hardy, 1999; Garcia and Vredenburg, 2003), a "pipe" (Scott and Davis, 2007) or a "portal" where influences from other populations within the field – corporations and foundations—can exert their influence on the NGOs and visa versa. These portals can expand –as in the case of N38—or contract –as in the case of N24.<sup>9</sup>

Insert Table 5 about here

Playing the role of a portal can be accomplished in more or less efficient ways. An NGO has limited resources with which to create ties with other organizations. By choosing those ties carefully so as to link with more organizations through extended ties, an NGO can maximize its centrality. For instance, from 2000 to 2005 N38 efficiently increased its centrality by increasing its degree by 68% and increasing its eigenvector by 428%. Conversely, N15 increased its degree by 8.70% but decreased its eigenvector centrality by 54.57%.

Shifting attention from the NGOs in the field to the other actor types, tables 6a and 6b show the most central corporations and foundations (respectively) within the field by degree and normalized eigenvector. In the aggregate, corporations show much higher average and maximum eigenvector centrality than foundations. But, consistent with the finding that N to C ties grew only modestly and N to F ties grew significantly, the

<sup>&</sup>lt;sup>9</sup> N24's eigenvector centrality decreased by 93%, becoming one of the least central NGOs in the field in 2005.

average eigenvector centrality of corporations also grew only 6.5% while that of foundations grew 127%. Foundations, whose centrality is lower than the other two actor types, are growing in prominence and position as central actors. Similarly, we can see that the most central corporations remained fairly constant from 2000 to 2005<sup>10</sup> while the most central foundations showed large variation. The central foundation players are in flux while the central corporations are more stable.

Insert Tables 6a and 6b about here

### DISCUSSION

Empirical and theoretical gaps exist in the study of governance structures of NGOs (Ostrower and Stone, 2006). The largest study of NGO boards to date looks only at gender, race, ethnicity and age (National Center for Nonprofit Boards, 2000). This study introduces demographic considerations for NGO board interlocks with corporations and foundations and shows how they are changing over time. Our results reveal a complex network of interactions among NGOs, corporations and foundations. Specifically, we find direct board interlocks among the largest 54 environmental NGOs with 425 corporations and 156 foundations. These NGOs have a predominant focus on issues related to conservation (87%); the corporations are focused in the SIC categories of manufacturing (27%), finance, insurance and real estate (18%), general services (14%) and transportation and utilities (13%); and the foundations are predominantly are legally

<sup>&</sup>lt;sup>10</sup> Two actors stand out in table 6a for having high degrees and low eigenvectors: C244 and C83 (eigenvectors of 1.642 and 0.351 respectively). This suggests that these two corporations were not developing their network ties strategically.

classified as individual based organizations (75%) but we also find that corporate foundations are represented at a rate more than three times the national average (14%) and community foundations are represented more than eleven times the national average (11%).

The longitudinal data demonstrate that the ties between NGOs and these organizations are growing between 2000 and 2005. In particular, we observe a strengthening of ties among NGOs and each other and also among NGOs and foundations. Corporations remained an on-going and powerful presence in the governance of NGOs, but their tie patterns did not grow as rapidly as those for foundations.

But the data also show a split in the NGO community on these results. Forty-one percent (2000) and 48% (2005) of our NGO sample maintained no ties to corporations and foundations through their boards. We also found that certain issue populations were more likely to avoid such ties. In particular, the population around the issue of sporting groups was the most disconnected from the field of corporations and foundations vis-à-vis their board ties. Conversely, the population centered on natural resources was the most connected to corporations and foundations and the population around the issue of wildlife showed the most dramatic shift, with corporate and foundation ties dropping off precipitously between 2000 and 2005.

Finally, we studied the organization sets of each NGO and found that the Wilderness Society (N32, 2005 budget of \$17 million) was the central NGO in 2000 and both the

Wilderness Society and the World Wildlife Fund (N38, 2005 budget of \$60 million) shared that centrality in 2005. This centrality in terms of both degree and eigenvector suggests that these two organizations act as "portals" through which the institutional influence of corporations and foundations can be channeled into field level dialogues. This portal can allow the flow of resources such as money as well as information. Using least squares regression, we found a correlation between the number of corporate and foundation ties and the size of an NGO's budget in both 2000 (p<0.001) and 2005 (p < 0.01). This effect was much stronger for corporate ties than for foundation ties. We were surprised to find that the most central corporations within the field were an array of actors with less than familiar names - Seagate Technology, Gemplus International, Denbury Resources, Paradyne Networks Services, Ducati Motor Holdings, Oxford Healthplans, Costar Group, Continental Airlines and Raynair Holdings. We were not surprised at the familiar names of the list of most central foundations: Dodge, Heinz, Duke, Rockefeller, MacArthur, Packard, Tisch, Ford, Hearst, Wilson, Catto and Citigroup.

### The Strategic Aspects of Board Development

We decided to discuss our results with senior managers in several NGOs, those involved with board selection and operation. We found that some of these organizations made a conscious choice not to include corporate or foundation employees on their boards. For some, such an inclusion would amount to a conflict of interest. One stated categorically that her organization (N32, the Wilderness Society) avoids accepting any notable amount of corporate money and finds that foundations prefer to keep an arm's length from NGOs they may fund. Another manager described how his organization recently accepted the

presence of corporate board members only after exhaustive and anguished debate in meetings. Many within the organization felt that their inclusion would be some form of sell-out or leave the organization open to the cooptation of its mission. This tension highlights the significance of board membership for mission and agenda setting within NGOs.

But, this study looked beyond board member employment affiliation to consider board member ties. This was something that seemed to create less tension for organizations. For example, the Wilderness Society representative pointed out that the selection process seeks out individuals with a strong philanthropic history regardless of affiliation (and friends with similar histories). She admitted that by definition, this drew in people with some form of ties to corporations. In particular, she pointed out that a significant number of the interlocks her organization shares with corporations (after we showed her the data) occur through one or two board members. Indeed, our data show that one board member had ties with this NGO and ten companies (depicted by the flat clusters to the left of the central node in figure 3).

Insert Figure 3 about here

The Nature Conservancy (N24, the largest 2005 budget of any NGO: \$245 million) reduced its board member ties from 23 to 9 over the period of our study, which contracted its organization set, resulting in a contracting portal of institutional influence on the field over this period. In discussing this changing role in the movement with

managers at TNC, one of the primary explanations was that the change was the product of increased scrutiny in the wake of several high profile corporate governance scandals where the NGO was accused of being too closely connected with corporations (Ottaway and Stephens, 2003). This resulted in a decision to develop a detailed conflict of interest policy, reduce some obvious links to corporations on the board and, as a result, reduce the overall board size from 38 to 21. The NGO had not historically pursued foundation interlocks at the board level, fearing similar perceptions of conflict of interest.

The decision to reduce corporate ties did not come without a cost. Our contact at TNC noted that the current board has less influence and in particular, less convening power than before. As a result, the NGO is again searching for high status corporate board members that have been vocal in their commitment to environmental causes in order to increase the board's convening power. Second, TNC is looking to add some globally high status policy actors to increase its influence within world governments. The NGO views itself as bridging organization and stresses that an influential and connected board is necessary to pursue this role effectively.

Finally, we were interested in gaining greater insights as to why the population of sporting groups would be less tied to corporations and foundations than other populations. We discussed this with a former executive at Trout Unlimited (N33, 2005 budget of \$10,000,000) and his explanation focused on history and social connections. Sporting groups have formed historically at the grass roots level, with boards traditionally comprised of volunteers that moved up the ladder. The focus of these groups remained

local. In the early 1990s, many of these groups faced fiscal challenges and recognized that they needed a more sophisticated way to raise money. They decided to make the boards more professional. Today, TU has a board made up of "grass-roots trustees" that were elected from the ranks of the volunteers and "at-large trustees" that were officially nominated by the board. And, like the Wilderness Society, members of this latter group were sought out for their philanthropic history and were often located through the social ties of existing board members. And like The Nature Conservancy, TU has begun to search for board members that have some past experience with government to increase their influence in lobbying activities.

While our study did not measure the outcomes of board interlocks directly – that is, the normative and cognitive influence they create – these recent efforts to use boards to influence specific political domains suggests that board interlocks are a reasonable proxy for such influence. Many studies have identified the relation between board interlocks and the diffusions of specific strategies within corporate communities (Davis, 1991; Davis and Greve, 1997; Davis and Mizruchi, 1999). Boards may cause similar influences in NGO communities.

# **Theoretical Implications**

By examining a social movement industry (McCarthy and Zald, 1977; Strang and Soule, 1998; Campbell, 2005) from the perspective of field level dynamics, this study was able to make needed contributions to conceptualizing organizational fields as contested, heterogeneous and dynamic. To capture this heterogeneity of the field, we offer a

nomenclature for delineating the multiple levels on which field level participants engage: the organizational field, organizational population and organization set.

Our study does not deviate from accepted notions of the field. Consistent with Scott (2001), we define the field as a community of organizations that partake in a common meaning system and whose participants interact more frequently and fatefully with one another than with actors outside the field. And consistent with DiMaggio and Powell (1983), we define the field as those organizations that in the aggregate represent a recognized area of institutional life. The field is noted by an increase in the extent to which certain organizations interact; an increase in the information load which they share, and; the development of a mutual awareness that they are involved in a common debate (DiMaggio, 1983).

But while these definitions treat the field as a collective of organizations, they also present an underlying notion that represents a field as a locale in which organizations relate to or involve themselves with one another. Fields are not containers for the community of organizations, but instead are richly contextualized "relational spaces" (Wooten, 2006) that provide organizations with the opportunity to involve themselves with one another in an effort to develop collective understandings regarding matters that are consequential for organizational and field level activities. Capturing this complexity is the goal of this paper.

White suggests we "think of the institutional field, not as some tidy atom or embracing world, but rather as complex striations, long strings rotating as in a polymer goo, or in a mineral before it hardens" (1992: 127). This is hardly a useful construct for measurement, but it highlights the complexity and amorphous nature of the organizational field we are trying to capture. The field is a contested domain where constituents engage in "a war or, if one prefers, a distribution of the specific capital which, accumulated in the course of previous wars, orients future strategies" (Calhoun, 1993: 86). Fields are robust articulations of network populations (Baker, 1990; Burt, 1992; Granovetter, 1985) that invoke story sets across disparate members of the field. They are highly complex spaces, where field members need to reconcile contradictory institutional arrangements. Organizational fields are connected to and embedded within other and conflicting institutional systems (Seo and Creed, 2002).

In this study, we provide a more nuanced appreciation for these interconnected systems, and a desire to understand how patterns of dialogue take place at both micro and macro levels. Through such an appreciation, we can develop a better understanding of how change occurs over time. At the field level, we find a constellation of actors with a broadly common issue that brings them together for mutual dialogue. The overarching debate over the environment encompasses many issues with a diverse set of constituents. Consequently, within the larger the field, smaller locales of debate form around more tightly defined issues. To capture this level of engagement, we (re)introduce the notion of the organizational population. We identified populations both in demographic terms of organization type and keyword attributes. We find that these populations bring together

varied constituents for debate and discussion on similar but distinct issues of relevance. These populations will expand (such as the population surrounding the issues of natural resources or environment) or contract (such as the population around the issue of wildlife) with differing levels of engagement across the organizational field.

We also find that the interconnections between standard population labels such as NGO, corporation and foundation lead to more hybrid field level descriptions, where certain actors engage in population level debate and others do not. It is significant that some NGOs are isolates while others engage with corporations and foundations. Similarly it is significant that some corporations engage NGOs and foundations while others do not. In the end, certain NGOs, corporations, and foundations may have more in common with those within its issue-based populations than with those that share its organization type. The terms "environmentalist" or "corporation" may serve as misnomers, lumping many organizations or clusters of organizations with varied interests into one category. This has been empirically illustrated in recent corporate actions related to climate change, with some companies taking proactive action to reduce greenhouse gas emissions and calling for federal regulation, while other companies resent these actions and deride others for taking them (Murray, 2005; *Wall Street Journal*, 2007). Theoretically, this distinction is a highly relevant point for reexamining field level boundaries.

Going further, we find small constellations of actors that share very tightly defined common meaning systems. To capture this level of engagement, we (re)introduce the notion of the organization set which calls attention to the idea that a single role-set like

NGO, corporation and foundation is actually a cluster of relations (Merton, 1957). Only by attending to these overlooked structures of the field can we gain a greater understanding of the interests, activities, resources and information flows among field level constituents. Like "long strings rotating ... in a mineral before it hardens" (White, 1992: 127), we can observe the genesis of change within the micro-structure of organizational fields. But, unlike White's colorful description, the field does not generally "harden," particularly in evolving and contested domains.

Organizations play differing roles in the change processes that occur at the broader field level. Conceptualizing the field as a relational space dictates that we take a closer look at the way in which actors relate to one another, especially the roles that certain members adopt to advance the field. Lawrence and Suddaby (2005) offer one typology of the different types of activities that actors engage in to create, maintain, and disrupt institutions. With greater focus on the different types of activities that actors perform within the field comes a need for a language to articulate these distinct institutional roles. General terminology like buyer, supplier, or regulatory agency will no longer provide a sufficient explanation of the role organizations adopt or the work they perform within the field. Labeling organizational roles will provide deeper clarity on the individual and collective dynamics by which rationality is defined and understood (DiMaggio, 1995).

In this study, we identified NGOs as "portals" through which the institutional influence of corporations and foundations could pass and through which the NGOs themselves could exert influence on the corporations and foundations to which they were linked. The World Wildlife Fund (N38), for example, has an expanding organization set, representing a growing portal through which this NGO can exert its influence on the broader field and through which corporations and foundations can influence the agenda and mission of this NGO. As discussed earlier, the Nature Conservancy had a contracting organization set, representing a closing portal through which institutional influence can enter the field. Changes such as these are critical for understanding how institutional influences among populations within a field take place. It is equally important for understanding the processes that allow a practice to take hold and become "entrenched" within that organizational field (Zeitz, Mittal, and McAulay, 1999). By observing the changing patterns of dialogue at the micro-structures of the field –the set or population– we can better understand the genesis of change processes within broader field structures. Like a crystalline forming around a spec of material, field change processes form around a change in the micro-structures of the field.

#### **Limitations and Future Directions**

This study has several weaknesses and exposes further questions which serve to guide future research. First, we studied interlocking board members as a channel of institutional influence. We did not study the outcomes of such channels; the regulative, normative, and cognitive influence within organizational populations. Further study will examine how various populations within the field differ in managerial practices, strategies, agenda setting and mission as a result of the populations we have identified.

Next, we studied only the presence or absence of ties and did not delve into the nature or attributes of the ties themselves. A future area of research is to explore the attributes of particular actors (in this case board members), the directionality of their influence and the role that these different actors play in extending and influencing patterns of dialogue within these organization sets, organizational populations, and by extension, across the organizational field.

Further, we have only defined the centrality of organizational types based on eigenvectors, or the structural holes (Burt, 1992) they occupy (or do not occupy) within the field. Further study should examine the varying types of structural positioning that players occupy (e.g. bridging, enabling, limiting, etc.). This could include an examination of the perceptions of other field level actors as to the role they and others play. Labeling each organization in this manner will provide a deeper clarity on the collective understanding held by each field member regarding which actors perform what roles within the field. Just as organizational members can reduce uncertainty by engaging in field level dynamics, they can also reduce uncertainty by developing agreement about the responsibilities that come with organizational roles and a corresponding understanding of what type of work each field member is responsible for given their role within the field.

Additionally, we found it very interesting that NGOs still have the most ties with corporations, but these ties are not growing as significantly as those with foundations or between NGOs. This may refute claims that the environmental movement is being increasingly co-opted by the private sector. A future area of study would be to take the

analysis further back in time to see "when" the growth of N to C board interlocks began and grew most rapidly. This was our original intention but found it tremendously difficult to reliably identify NGO board membership prior to 2000. It is possible that corporations had already co-opted NGOs by 2000. It is also possible that NGOs are responding to this cooptation by increasing the number of N to N affiliated board members to offset the influence of firms. It is worth considering that the large increase in N to N board ties is a strategic move. Given that the largest increase in ties was found in N to N relations, some strategic buffering may be occurring within NGOs to both increase centrality and buffer themselves from other types of influences. Our interview data seem to support this story.

#### CONCLUSION

Recent discussions in institutional theory have followed two important directions, each of which is addressed in this paper. First, recent attention to the role of the institutional entrepreneur (Lawrence, 1999; Beckert, 1999; Lounsbury and Glynn, 2001; Maguire, Hardy, and Lawrence, 2004) has emphasized that these change agents do not act alone or in isolation. Individual agents form political networks and coalitions to act as "important motors of institution-building, deinstitutionalization, and reinstitutionalization in organizational fields" (Rao, Monin and Durand, 2003: 796). This conception provides a bridge between institutional theory and social movement theory (Davis, McAdam, Scott and Zald, 2005), focusing attention on the ability of social movements to give rise to new organizational fields and change the demography of existing organization fields (Rao, Morrill, and Zald, 2000). This paper seeks to give greater appreciation to the new types of

bridges that are formed between traditional social movement actors (e.g. NGOs) and the corporations and foundations that assist them in achieving their goals.

Secondly, this paper follows a recent trend in organizational theory to move away from being paradigm driven research to being problem driven (Davis and Marquis, 2005). This work addresses an important area of changing organizational interaction within increasingly globalized and complex social and economic domains. It investigates fields as sites where problems of organizing are debated among disparate actors in new forms of alliances. As such, it adds to the notion that the field remains integral to understanding how organizations construct solutions to the problems of the twenty-first century (Biggart and Lutzenhiser, 2007). This moves beyond notions of institutions as barriers, as always taken-for-granted and as leading towards isomorphism. Instead, it refocuses on field level dynamics, collective rationality within these fields and the behavior of individual organizations as integral parts of these processes.

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# <sup>11</sup> Among reachable pairs. <sup>12</sup> Normalized.

Figure 2 The Field as an Intersecting Domain of Organizational Populations



Foundations

Corporations

	2000	2005
Α	40.74%	48.15%
В	7.41%	11.11%
С	25.93%	27.78%
D	25.93%	12.96%
Total	100%	100%



Figure 3 N32 (The Wilderness Society) Organization Sets, 2000 and 2005

NGO Keyword	2000	2005
Conservation	87%	87%
Wildlife	39%	39%
Environment	28%	28%
Natural_Resources	19%	19%
Sporting Groups	18%	18%
Water	13%	13%
Education	13%	13%
Pollution	11%	11%
Forestry	7%	7%
International Development	6%	6%
Total Number	54	54

# Table 1Organizational Field Data,NGO, Corporate and Foundation Type, 2000 and 2005

Industry Breakdown (SIC code)	2000	2005
Manufacturing (20-23, 31-39)	27%	27%
Finance, Insurance & Real Estate (60-67)	18%	19%
Services (70-88)	14%	15%
Transportation & Utilities (40-49)	13%	13%
Manufacturing Chemical Related (28-30)	8%	8%
Manufacturing Forest Related (24-27)	6%	6%
Retail Trade (52-59)	6%	6%
Mining (10-14)	3%	3%
Wholesale Trade (50-51)	3%	3%
Agriculture Forest & Fishing (01-09)	0.50%	0.50%
Construction (15-17)	0.50%	0.50%
Total Number	414	412

Foundation Breakdown	2000	2005
Individual	74%	75%
Corporate	14%	13%
Community	11%	11%
Total Number	149	151

		2000		2005			
	Corporations	Foundations	NGOs	Corporations	Foundations	NGOs	
Total org.	414	149	54	412	151	54	
Total ties	1226	449	273	1230	462	324	
Max ties/org.	13	14	29	14	15	43	
Min ties/org.	1	1	0	1	1	0	
Ave ties/org.	2.96	3.01	5.06	2.99	3.06	6.00	
Mean ties/org.	2	2	2	2	2	2	

# Table 2Organizational Field Data,Aggregate Field Membership, 2000 and 2005

Table 3Organizational Field Data,Organization, Tie and Density Measures, 2000 and 2005

		2000			2005		Pe	Percent Change			
	# orgs	# of ties	density	# orgs	# of ties	density	# orgs	# of ties	density		
N to N	54	72	2.52%	54	104	3.63%	0.00%	44.44%	44.44%		
N to F	203	102	0.25%	205	128	0.31%	0.54%	25.49%	23.05%		
N to C	468	300	0.14%	466	312	0.14%	-0.67%	4.00%	4.90%		
C to F	563	676	0.21%	563	676	0.21%	0.00%	0.00%	0.00%		
C to C	414	738	0.43%	412	736	0.43%	-0.48%	-0.27%	0.70%		
F to F	149	60	0.27%	151	60	0.26%	1.34%	0.00%	-2.64%		

*Where N*=*NGO*, *C*=*corporation and F*=*foundation* 

	Conser (47 N	rvation (GOs)	Wildlif NG	e (21 Os)	Enviro (15 N	onment GOs)	Nat Resour NG	ural ces (10 Os)	Sporting (8 N	g Groups GOs)	Water NG	(7 Os)
CORPORATIONS	2000	2005	2000	2005	2000	2005	2000	2005	2000	2005	2000	2005
Manufacturing (20-23, 31-39)	23.58%	26.92%	18.03%	50.00%	32.43%	38.64%	21.43%	26.32%	14.29%	33.33%	38.46%	38.46%
Finance, Insurance & Real Estate (60-67)	11.38%	11.54%	9.84%	0.00%	10.81%	9.09%	14.29%	5.26%	14.29%	16.67%	15.38%	7.69%
Services (70-88)	15.45%	17.31%	21.31%	0.00%	16.22%	22.73%	10.71%	7.89%	14.29%	16.67%	7.69%	7.69%
Transportation and Utilities (40-49)	17.07%	11.54%	18.03%	0.00%	13.51%	6.82%	14.29%	18.42%	28.57%	0.00%	7.69%	7.69%
Manufacturing, Chemical Related (28-30)	13.01%	9.62%	13.11%	0.00%	8.11%	6.82%	10.71%	7.89%	14.29%	33.33%	7.69%	23.08%
Manufacturing, Forest Related (24-27)	5.69%	5.77%	4.92%	0.00%	5.41%	2.27%	3.57%	10.53%	0.00%	0.00%	0.00%	0.00%
Retail Trade (52-59)	4.07%	8.65%	3.28%	50.00%	5.41%	11.36%	7.14%	7.89%	0.00%	0.00%	0.00%	7.69%
Mining (10-14)	4.88%	5.77%	8.20%	0.00%	2.70%	2.27%	10.71%	10.53%	0.00%	0.00%	0.00%	7.69%
Wholesale Trade (50-51)	4.07%	1.92%	3.28%	0.00%	5.41%	0.00%	7.14%	5.26%	14.29%	0.00%	15.38%	0.00%
Agriculture, Forest and Fishing (01-09)	0.81%	0.96%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Construction (15-17)	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%
Total Number of Corporations	123	104	61	2	37	44	28	38	7	6	13	13
Corporations/NGO	2.62	2.21	2.90	0.10	2.47	2.93	2.80	3.80	0.88	0.75	1.86	1.86
FOUNDATIONS												
Individual	88.89%	84.44%	100.00%	100.00%	86.67%	78.95%	81.00%	73.68%	0.00%	100.00%	100.00%	100.00%
Corporate	5.56%	11.11%	0.00%	0.00%	13.33%	15.79%	6.00%	15.79%	0.00%	0.00%	0.00%	0.00%
Community	5.56%	4.44%	0.00%	0.00%	0.00%	5.26%	13.00%	10.53%	0.00%	0.00%	0.00%	0.00%
Total Number of Foundations	32	45	19	1	15	19	16	19	0	3	2	4
Foundations/NGO	0.68	0.96	0.90	0.05	1.00	1.27	1.60	1.90	0.00	0.38	0.29	0.57

Table 4			
<b>Organizational Population Data,</b>	2000	and	2005*

Isolates29.79%34.04%19.05%28.57%\* Only the keyword populations with more than 6 NGOs are listed.

53.33% 40.00%

50.00% 50.00% 62.50%

75.00%

28.57%

42.86%

			200	0			2005			
		Туре	Close-	Between-	Eigen-		Туре	Close-	Between-	Eigen-
		of	ness	ness	vector		of	ness	ness	vector
	Degree	Ties	(norm)	(norm)	(norm)	Degree	Ties	(norm)	(norm)	(norm)
N1	7	NC	0.486	3.88	5.23	6	NCF	0.518	1.596	4.636
N2	2	CF	0.169	0.004	0	3	NF	0.517	1.794	0.514
N3	3	С	0.483	0	0.023	6	NC	0.519	0.279	5.775
N4	3	NC	0.479	0.66	0	5	NC	0.518	0.587	0.691
N5	2	NC	0.483	0	0.016	1	C	0.516	0	0.689
N6	1	N	0.167	0	0	1	N	0.168	0	0
N7	1	N	0.485	0	0.436	2	NF	0.518	0.455	0.383
N9	29	NCF	0.487	15.949	2.075	36	NCF	0.519	9.964	4.978
N10	9	NC	0.485	1.618	5.83	6	N	0.519	2.039	5.274
N11	6	NC	0.486	1.668	0.967	5	NC	0.519	1.343	0.898
N12	2	С	0.168	0	0	3	NF	0.518	0.673	1.087
N13	1	F	0.167	0	0	1	N	0.517	0	0.538
N14	9	NCF	0.484	2.646	0.138	10	NCF	0.519	1.852	8.492
N15	23	NCF	0.486	8.089	13.695	25	NCF	0.519	9.381	6.221
N16	1	N	0.167	0	0	1	N	0.168	0	0
N17	4	CF	0.478	0.66	0	1	F	0.51	0	0
N18			isola	ite		1	N	0.517	0	0.506
N19	3	NC	0.484	0.44	0.097	1	C	0.168	0	0
N20	1	С	0.167	0	0	1	N	0.517	0	0.057
N21	7	NCF	0.485	1.254	5.946	6	N	0.519	1.69	5.843
N22	6	NCF	0.485	2.995	0.22	9	NC	0.518	2.864	0.731
N23	16	NCF	0.486	5.142	1.207	20	NCF	0.52	8.385	4.392
N24	23	NCF	0.486	7.325	1.262	9	CF	0.516	1.094	0.089
N25	1	С	0.167	0	0			isola	ite	
N26	1	С	0.167	0	0	2	NC	0.518	0.228	0.264
N27	1	N	0.484	0	0.571	7	NCF	0.518	1.488	0.619
N28	1	F	0.167	0	0			isola	ite	
N29	2	CF	0.48	0.221	0	4	NF	0.518	0.918	0.654
N30	5	NC	0.486	0.221	0.848	9	NCF	0.519	3.882	6.956
N31			isola	ite		2	CF	0.168	0.001	0
N32	20	NCF	0.486	4.324	47.376	22	NCF	0.519	3.047	43.211
N33	5	NC	0.485	0.878	0.535	13	NCF	0.519	1.846	5.864
N34	28	NCF	0.487	16.678	1.768	43	NCF	0.52	18.507	3.031
N35	3	NC	0.484	0.221	0.652			isola	ite	
N36	2	NF	0.478	0.221	0	7	NCF	0.518	2.714	0.45
N37	19	NCF	0.486	9.778	4.455	16	NCF	0.519	8.271	6.43
N38	22	NCF	0.486	5.088	9.612	37	NCF	0.519	9.164	50.782
N39	4	NF	0.485	0.371	1.522	3	NF	0.518	0	0.888
N40-										
55	5.04		150ld	1 (7)	1 025	6.00		isola	1740	2 166
AVE.	5.00		0.270	1.0/3	1.733	0.00		0.510	1./42	J.100

Table 5Organization Set Data, NGOs, 2000 and 2005

	2000		2005		2000		2005
	Eigenvector (normalized)		Eigenvector (normalized)		Degree		Degree
C339	43.273	C339	38.431	C339	13	C339	14
				C244	11	C244	11
C170	40.948	C170	37.261	C170	10	C170	11
C114	40.948	C114	37.261	C114	10	C114	11
C289	40.948	C289	37.261	C289	10	C289	11
C127	40.948	C127	37.261	C127	10	C127	11
C288	40.948	C288	37.261	C288	10	C288	11
C100	40.948	C100	37.261	C100	10	C100	11
C312	40.948	C312	37.261	C312	10	C312	11
C95	40.948	C95	37.261	C95	10	C95	11
C331	40.948	C331	37.261	C331	10	C331	11
				C83	10		
Average C	1.244		1.325		2.96		2.985

Table 6aOrganization Set Data, Corporations, 2000 and 2005

Table 6b
Organization Set Data, Foundations, 2000 and 2005

	2000		2005		2000	]	2005
	Eigenvector (normalized)		Eigenvector (normalized)		Degree		Degree
F45	6.31	F45	8.481	F131	14	F131	15
F77	1.887	F113	8.229	F98	12	F98	11
F75	1.814	F60	5.693	F62	11	F62	11
F76	1.703	F139	5.46	F113	10	F113	11
F50	1.59	F86	4.9	F143	9	F143	9
F60	1.569	F119	4.881	F74	8	F74	8
F26	1.391	F108	4.854	F109	8	F75	8
F155	1.341	F128	4.395	F75	8	F60	8
F23	1.341	F148	4.395	F74	8	9 NGOs <sup>13</sup>	7
F139	1.277	F22	3.768	F60	8		
average F	0.233		0.529		3.01		3.059

<sup>&</sup>lt;sup>13</sup> F106, F100, F45, F89, F106, F34, F50, F109, F142 each had 7 ties.

#### **Appendix: Sample Set Coding Scheme**

#### **Environmental NGOs**

N1 African Wildlife Foundation

- N2 American Forests
- N3 American Rivers
- N4 Bat Conservation International
- N5 Center for Clean Air Policy
- N6 Clean Water Action Coalition for Environmentally Responsible
- N7 Economies
- N8 Coastal Conservation Association (removed)
- N9 Conservation International USA
- N10 Defenders of Wildlife
- N11 Dian Fossey Gorilla Fund International
- N12 Ducks Unlimited
- N13 Ecological Society of America
- N14 Environmental and Energy Study Institute
- N15 Environmental Defense
- N16 Friends of the Earth
- N17 Greater Yellowstone Coalition
- N18 Greenpeace USA
- Jane Goodall Institute for Wildlife Research, N19 Education, And Conservation
- N20 Land Trust Alliance
- N21 League of Conservation Voters
- N22 National Audubon Society
- N23 Natural Resources Defense Council
- N24 Nature Conservancy
- N25 Pheasants Forever
- N26 Rainforest Alliance
- N27 RARE
- 1127 Turite

#### Corporations

- C1 21st Century Insurance Group
- C2 3d Systems Corp
- C3 Aaron Rents Inc
- C4 Abercrombie & Fitch Co De
- C5 Abn Amro Holding Nv
- C6 Advanta Corp
- C7 Advent Software Inc
- C8 AES Corp C9 Aetna Inc Pa
- C9 Aetna Inc Pa C10 Agilent Technolo
- C10 Agilent Technologies Inc
- C11 Airgas Inc C12 Alcoa Inc
- C12 Alcoa life
- C13 Alexander & Baldwin Inc
- C14 Alleghany Corp
- C15 Allegheny Technologies Inc
- C16 Altria Group Inc
- C17 Aluminum Corp Of China Ltd
- C18 Amazon Com Inc
- C19 Amerada Hess Corp
- C20 American Express Co
- C21 American International Group Inc
- C22 Ampco Pittsburgh Corp

- N28 River Network
- N29 Scenic Hudson
- N30 Student Conservation Association
- N31 The Land Institute
- N32 The Wilderness Society
- N33 Trout Unlimited
- N34 Wildlife Conservation Society
- N35 Wildlife Habitat Council
- N36 Wildlife Trust
- N37 World Resources Institute
- N38 World Wildlife Fund
- N39 Worldwatch Institute
- N40 Center for Ecoliteracy
- N41 Center for Health, Environment And Justice
- N42 Community Environmental Council
- N43 Delta Waterfowl Foundation
- N44 Earth Island Institute
- N45 Fauna And Flora International
- N46 Fish America Foundation
- N47 Forest Guild
- N48 Global Warming International Center
- N49 Izaak Walton League
- N50 National Wildlife Federation
- N51 Rainforest Action Network
- N52 Sierra Club
- N53 Soil and Water Conservancy Society
- N54 Whitetails Unlimited
- N55 Wildlife Forever
- C212 ITT Educational Services Inc
- C213 ITT Industries Inc
- C214 J P Morgan Chase & Co
- C215 John H Harland Co
- C216 John Hancock Financial Services Inc
- C217 Johnson Outdoors Inc
- C218 Juniper Networks Inc
- C219 Kansas City Southern
- C220 Kellogg Co
- C221 Kerr Mcgee Corp
- C222 Keynote Systems Inc
- C223 Kforce Inc
- C224 Kinder Morgan Inc New
- C225 Korn Ferry International
- C226 Kroll Inc

C231

C232

C233

61

- C227 La Z Boy Inc
- C228 Lance Inc
- C229 Leapfrog Enterprises Inc

Leggett & Platt Inc

Leucadia National Corp

Level 3 Communications Inc

C230 Legg Mason Inc

C23 AMR Corp C24 Anteon International Corp C25 Anthem Inc C26 Ap Pharma Inc C27 Applied Materials Inc C28 Aquantive Inc C29 Aramark Corp New C30 Avery Dennison Corp C31 Avon Products Inc C32 Bank Of New York Co Inc C33 Bank One Corp C34 Banknorth Group Inc Me C35 Bassett Furniture Industries Inc C36 Becton Dickinson & Co C37 **Biocryst Pharmaceuticals Inc** C38 Biogen Inc C39 Bioreliance Corp C40 **BKF** Capital Group Inc C41 Blockbuster Inc C42 Blount International Inc C43 Blue Rhino Corp C44 Boeing Co C45 Boise Cascade Corp C46 Borgwarner Inc C47 Boston Scientific Corp C48 Bowne & Co Inc C49 Briggs & Stratton Corp C50 Brinker International Inc C51 Bristol Myers Squibb Co C52 Brookfield Properties Corp C53 C2 Inc C54 Cable & Wireless Plc C55 California Water Service Group C56 Cambrex Corp C57 Cantel Medical Corp C58 Capital Southwest Corp C59 Carmike Cinemas Inc C60 Catalina Marketing Corp C61 Catalytica Energy Systems Inc C62 Cell Genesys Inc C63 Cellstar Corp C64 Cemex Sa De Cv C65 Centex Construction Products Inc C66 Central Pacific Financial Corp C67 Chemical Financial Corp C68 Chesapeake Corp C69 Chicago Mercantile Exchange Holdings Inc C70 China Unicom Ltd C71 Chiquita Brands International Inc C72 Chiron Corp C73 Chittenden Corp C74 Church & Dwight Co Inc C75 Cigna Corp C76 Cinergy Corp C77 Cisco Systems Inc C78 Cit Group Inc New C79 Clorox Co

C234 Lexicon Genetics Inc C235 Liberty Media Corp New C236 Limited Brands Inc C237 Lincoln National Corp C238 Liz Claiborne Inc C239 Loews Corp C240 Louisiana Pacific Corp C241 Lowrance Electronics Inc C242 Macromedia Inc C243 Manugistics Group Inc C244 Marriott International Inc New C245 Marsh & Mclennan Cos Inc C246 Marshall & Ilsley Corp C247 Martha Stewart Living Omnimedia Inc C248 Maxcor Financial Group Inc C249 Mbia Inc Mcclatchy Co Mcgraw Hill Cos Inc Meadwestvaco Corp Medcath Corp Medicis Pharmaceutical Corp Medtronic Inc Mellon Financial Corp Merck & Co Inc Mgic Investment Corp Mgm Mirage Mgp Ingredients Inc C261 Michael S Stores Inc C262 Midwest Express Holdings Inc Mirant Corp Modine Manufacturing Co Nacco Industries Inc Nashua Corp C267 Navigant Consulting Inc NCR Corp C269 Neogen Corp C270 Netro Corp Neuberger Berman Inc C272 Nextel Communications Inc New C273 Nicor Inc C274 Nordstrom Inc Norfolk Southern Corp Northrop Grumman Corp New C277 Northwest Airlines Corp New Nstar Nucor Corp Nvidia Corp

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- C281 Oakley Inc
- C282 Office Depot Inc
- C283 Oil States International Inc
- C284 Olin Corp
- C285 Oracle Corp De
- C286 Oshkosh Truck Corp
- C287 Owens & Minor Inc New
- C288 Oxford Health Plans Inc
- C289 Paradyne Networks Inc
- C290 Payless Shoesource Inc New

C80 CNA Financial Corp C81 **CNET** Networks Inc C82 Coach Inc C83 Coca Cola Co C84 Coca Cola Enterprises Inc C85 Coca Cola Femsa Sa De Cv C86 Comcast Corp New C87 Comerica Inc C88 Computer Associates International Inc C89 Conagra Foods Inc C90 Concord Communications Inc C91 Concorde Career Colleges Inc C92 Conocophillips C93 Consol Energy Inc C94 Consolidated Edison Inc C95 Continental Airlines Inc C96 Convera Corp C97 Convergys Corp C98 Copper Mountain Networks Inc C99 Corning Inc C100 Costar Group Inc C101 Crane Co C102 Crawford & Co C103 Crown Castle International Corp C104 Crown Media Holdings Inc C105 CSX Corp C106 Ct Communications Inc C107 Cummins Inc C108 Dana Corp C109 Danaher Corp C110 Datawatch Corp C111 Deere & Co C112 Delphi Financial Group Inc C113 Delta Air Lines Inc C114 Denbury Resources Inc C115 Devry Inc C116 Diamond Offshore Drilling Inc C117 Diebold Inc C118 Digitas Inc C119 Ditech Communications Corp C120 Dominion Resources Inc Va C121 Doral Financial Corp C122 Dow Chemical Co DPL Inc C123 C124 DGE Inc C125 Drugstore Com Inc C126 DTE Energy Co C127 Ducati Motor Holding Spa C128 Ducommun Inc C129 Dun & Bradstreet Corp De New C130 E I Du Pont De Nemours & Co C131 Eastman Kodak Co C132 Ecollege Com C133 Edison International C134 El Paso Corp C135 Electronic Data Systems Corp C136 Eli Lilly & Co

- C291 Peabody Energy Corp
- C292 Penn Virginia Corp
- C293 Pepco Holdings Inc
- C294 Pepsi Bottling Group Inc
- C295 Pepsico Inc
- C296 Pharmacyclics Inc
- C297 Philadelphia Consolidated Holding Corp
- C298 Phillips Van Heusen Corp
- C299 Photronics Inc
- C300 Pinnacle West Capital Corp
- C301 Pitney Bowes Inc
- C302 Pixar
- C303 Playtex Products Inc C304 Pnc Financial Services Group Inc
- C305
- Pogo Producing Co
- C306 Polo Ralph Lauren Corp
- C307 Polycom Inc
- C308 Powerwave Technologies Inc
- C309 Priceline Com Inc
- C310 Pricesmart Inc
- C311 Procter & Gamble Co
- C312 Proquest Co
- C313 Protective Life Corp
- C314 Proton Energy Systems Inc
- C315 Prudential Financial Inc
- **Oualcomm** Inc C316
- C317 Questar Corp
- C318 R R Donnelley & Sons Co
- C319 Raven Industries Inc
- C320 Rayonier Inc
- C321 Reader S Digest Association Inc
- C322 Rohm & Haas Co
- C323 Rollins Inc
- C324 Roper Industries Inc
- C325 Ross Stores Inc
- C326 Royal Caribbean Cruises Ltd
- C327 Royal Dutch Petroleum Co
- C328 Rpc Inc
- C329 Ruddick Corp
- C330 Russell Corp
- C331 Ryanair Holdings Plc
- C332 Saks Inc
- C333 Sanders Morris Harris Group Inc
- C334 Savient Pharmaceuticals Inc
- C335 Schering Plough Corp
- C336 Schlumberger Ltd
- C337 Scs Transportation Inc
- C338 Seabulk International Inc
- Seagate Technology New C339
- C340 Seminis Inc
- C341 Sensytech Inc
- C342 Sequa Corp
- C343 Siebel Systems Inc
- C344 Skywest Inc
- C345 Snap On Inc
- C346 Solutia Inc
- C347 Sonic Corp

C137	Energizer Holdings Inc
C138	Entercom Communications Corp
C139	Enzon Pharmaceuticals Inc
C140	Eog Resources Inc
C141	Equifax Inc
C142	Equitable Resources Inc
C143	Ethan Allen Interiors Inc
C144	Ethyl Corp
C145	Expedia Inc
C146	Expressjet Holdings Inc
C147	Exult Inc
C148	Fairchild Corp
C149	Fairmont Hotels & Resorts Inc
C150	Family Dollar Stores Inc
C151	Fannie Mae
C152	Firepond Inc
C153	First Charter Corp
C154	First Data Corp
C155	First Investors Financial Svcs Group Inc
C156	First Republic Bank
C157	Firstfed Financial Corp
C158	Fisery Inc
C159	Fleetboston Financial Corp
C160	Fuel Corp Va
C161	Foamex International Inc
C162	Fomento Economico Mexicano Sa De Cy New
C163	Ford Motor Co
C164	Fortune Brands Inc
C165	Franklin Resources Inc
C166	Gabelli Asset Management Inc
C167	Gannett Co Inc
C168	Gap Inc
C169	Gartner Inc
C170	Gemplus International Sa
C171	General Electric Co
C172	General Motors Corp
C173	Gentiva Health Services Inc
C174	Genuine Parts Co
C175	Georgia Pacific Corp
C176	Gilead Sciences Inc
C177	Globalsantafe Corp
C178	Goldman Sachs Group Inc
C179	Goodrich Corp
C180	Graco Inc
C181	Granite Construction Inc
C182	Graphic Packaging International Corp
C183	Great Plains Energy Inc
C184	Greater Bay Bancorp
C185	Griffin Land & Nurseries Inc
C186	Guidant Corp
C187	H & R Block Inc
C188	H B Fuller Co
C189	H J Heinz Co
C190	Handleman Co
C191	Harte Hanks Inc
C192	Hartford Financial Services Group Inc
C102	TT 1 T

- C348 Sonoco Products Co
- C349 Sony Corp
- C350 Sotheby S Holdings Inc
- C351 Soundview Technology Group Inc
- C352 Southwall Technologies Inc
- C353 Southwest Bancorporation Of Texas Inc
- C354 Southwest Water Co
- C355 Speechworks International Inc
- C356 Staples Inc
- C357 Starbucks Corp
- C358 Steelcase Inc
- C359 Sun Microsystems Inc
- C360 Sunoco Inc
- C361 Synovus Financial Corp
- C362 Sysco Corp
- T Rowe Price Group Inc C363
- C364 Take Two Interactive Software Inc
- C365 Tarragon Realty Investors Inc
- C366 Teledyne Technologies Inc
- C367 Teletech Holdings Inc
- C368 Tellabs Inc
- C369 Temple Inland Inc
- C370 Tenet Healthcare Corp
- C371 Tennant Co
- C372 Texas Industries Inc
- C373 Texas Regional Bancshares Inc
- C374 Tibco Software Inc
- C375 Tiffany & Co
- C376 Timken Co
- C377 Tommy Hilfiger Corp
- C378 Topps Co Inc
- C379 Transatlantic Holdings Inc
- C380 Tribune Co
- C381 Trigon Healthcare Inc
- C382 Trinity Industries Inc
- C383 Trust Co Of New Jersey
- C384 Tyco International Ltd Bermuda
- C385 Union Pacific Corp
- C386 Unionbancal Corp
- C387 United Technologies Corp
- C388 Unitedhealth Group Inc
- C389 Universal Display Corp Pa
- C390 Univision Communications Inc
- C391 URS Corp
- C392 USG Corp
- C393 Vail Resorts Inc
- C394 Valmont Industries Inc
- C395 Varco International Inc De
- C396 Varian Medical Systems Inc
- Veritas Software Corp New C397
- C398 Verizon Communications Inc
- C399 Viacom Inc
- C400
- C401 Wachovia Corp New
- C402 Wal Mart Stores Inc
- C403 Walt Disney Co New
- C404 Washington Mutual Inc

C193 Hasbro Inc

- Visteon Corp

- C194 Hawaiian Electric Industries Inc C195 Hearst Argyle Television Inc C196 Hershey Foods Corp C197 Hexcel Corp C198 Honeywell International Inc C199 Hughes Electronics Corp C200 Hughes Supply Inc C201 Illinois Tool Works Inc C202 Impac Medical Systems Inc C203 Insight Communications Co Inc C204 Interactive Data Corp New C205 Interactivecorp C206 Intergroup Corp C207 International Business Machines Corp C208 International Flavors & Fragrances Inc
- C209 International Speedway Corp
- C210 Ionics Inc
- C211 Irwin Financial Corp

#### **Foundations**

F1	Ahmanson Foundation, The	F79	Hewlett Foundation, William And Flora, The
F2	Alcoa Foundation	F80	Hilfiger Family Foundation, Inc., The
F3	Aria Foundation, Inc.	F81	Houston Endowment Inc.
F4	Atlantic Foundation Of New York, The	F82	Irvine Foundation, James Jane Goodall Institute For Wildlife Research
F5	Bank Of America Foundation, Inc.	F83	Education, And Conservation
F6	Bauman Family Foundation, Inc.	F84	John Merck Fund
F7	Beldon Fund	F85	Johnson Fund, Edward C.
F8	Blank Family Foundation, Arthur M., The	F86	Johnson Fund, Inc., Sc
F9	Bobolink Foundation, The	F87	Joyce Foundation, The
F10	Bodman Foundation, The	F88	Kansas City Community Foundation, Greater
F11	Boston Foundation, Inc.	F89	Kellogg Foundation, W. K.
F12	Bradley Foundation, Inc., Lynde & Harry, The	F90	Kiewit Foundation, Peter
F13	Bradley-Turner Foundation, Inc.	F91	Kirby Foundation, Inc., F. M.
F14	Brainerd Foundation, The	F92	Knafel Family Foundation
F15	Bristol-Myers Squibb Foundation, Inc., The	F93	Kresge Foundation, The
F16	Brown Foundation	F94	Libra Foundation
F17	Bush Foundation	F95	Lincoln Financial Group Foundation
F18	Cain Foundation, Gordon And Mary, The	F96	Luce Foundation, Henry
F19	California Endowment, The	F97	Lucent Technologies Foundation
F20	California Wellness Foundation, The	F98	Macarthur Foundation, John D. And Catherine T. McCormick Foundation, Chauncey And Marion
F21	Campbell Foundation, J. Bulow	F99	Deering
F22	Cary Charitable Trust, Mary Flagler	F100	Mellon Foundation, Andrew W.
F23	Catto Charitable Foundation	F101	Mellon Foundation, Richard King
F24	Chartwell Charitable Foundation	F102	Milwaukee Foundation, Greater
F25	Christensen Fund, The	F103	Minneapolis Foundation, The
F26	Citigroup Foundation	F104	Mobil Foundation
F27	Clark Foundation, The	F105	Moore Family Foundation
F28	Coca-Cola Foundation, Inc., The	F106	Moore Foundation, Gordon And Betty
F29	Columbia Foundation Columbus Foundation And Affiliated Organizations,	F107	Mott Foundation, Charles Stewart
F30	The	F108	New York Community Trust, The
F31	Communities Foundation Of Texas, Inc. Community Foundation For The National Capital	F109	Noble Foundation, Edward John
F32	Region, The	F110	Northeast Utilities Foundation, Inc.
F33	Community Foundation Of Greater Birmingham,	F111	Olin Foundation, Spencer T. And Ann W.

- C405 Washington Post Co
- C406 Water Pik Technologies Inc
- C407 Wausau Mosinee Paper Corp
- C408 Wellchoice Inc
- C409 Wells Fargo & Co New
- C410 Westamerica Bancorporation
- C411 Weyco Group Inc
- C412 Weyerhaeuser Co
- C413 Whirlpool Corp
- C414 Wiltel Communications Group Inc

- C415 Wind River Systems Inc
- C416 Wisconsin Energy Corp
- C417 Wm Wrigley Jr Co
- C418 Wyeth
- C419 Xerox Corp
- C420 Yahoo Inc
- C421 Zimmer Holdings Inc
- C422 Zions Bancorporation
- C423 Zymogenetics Inc

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	The	
F34	Community Foundation Serving Richmond & Central Virginia, The	F
F35	Community Foundation Silicon Valley	FI
F36	Compton Foundation, Inc.	FI
F37	Cox Foundation Of Georgia, Inc., James M., The	FI
F38	Cunningham Foundation, Inc., Laura Moore	Fl
F39	Danforth Foundation, The	F
F40	Davis Foundation, Shelby Cullom	F
F41	Delta Waterfowl Foundation	F
F42	Denkers Family Foundation, Stephen G. & Susan E.	F
F43	Disney Company Foundation, Walt, The	FI
F44	Dodge Foundation, Cleveland H.	FI
F45	Dodge Foundation, Inc., Geraldine R.	FI
F46	Donnelley Foundation, Gaylord And Dorothy	FI
F47	Donner Foundation, Inc., William H., The	FI
F48	Dow Chemical Company Foundation	FI
F49	Dow Foundation, Herbert H. And Grace A., The	FI
F50	Duke Charitable Foundation, Doris	FI
F51	Dyson Foundation	FI
F52	Eccles Charitable Foundation, Willard L. Eccles Foundation, George S. And Dolores Dore,	F
F53	The	Fl
F54	Ellis Foundation, Joseph H. & Barbara I.	Fl
F55	Energy Foundation	Fl
F56	Engelhard Foundation, Charles, The	Fl
F57	Exxonmobil Foundation	Fl
F58	Fidelity Foundation	Fl
F59	Flora Family Foundation	Fl
F60	Ford Foundation, The	F
F61	Ford Motor Company Fund	F
F62	Foundation For The Carolinas	Fl
F63	Fund For New Jersey, The	Fl
F64	Gates Foundation, Bill & Melinda	F
F65	Gerbode Foundation, Wallace Alexander	F
F66	Gerstacker Foundation, Rollin M.	Fl
F67	Gimbel Foundation, Inc., Bernard F. And Alva B.	F
F68	Goldman Fund, Richard & Rhoda	Fl
F69	Goldsmith Foundation, Horace W.	Fl
F70	Grand Victoria Foundation	Fl
F71	Halsell Foundation, Ewing, The	Fl
F72	Harriman Foundation, Gladys And Roland	FI
F73	Hawaii Community Foundation	FI
F74	Hearst Foundation, William Randolph	Fl
F75	Heinz Endowment, Howard	Fl
F76	Heinz Endowment, Vira I.	F1

112 Overbrook Foundation 113 Packard Foundation, David And Lucille, The 114 Parsons Foundation, Mary Morton, The 115 Pattee Foundation, Inc., The 116 Peninsula Community Foundation 117 Penn Foundation, William 118 Pew Charitable Trusts, The 119 Phipps Foundation, Howard 120 Pittsburgh Foundation 121 Pritzker Foundation 122 Procter & Gamble Fund, The 123 Prospect Hill Foundation, Inc., The 124 Public Welfare Foundation, Inc. 125 Rasmussen Foundation, V. Kann 126 Resnick Family Foundation 127 Richardson Foundation, Inc., Smith 128 Richardson Foundation, Sid W. 129 Robertson Foundation 130 Rockefeller Brothers Fund, Inc. 131 Rockefeller Foundation 132 San Diego Foundation, The 133 San Francisco Foundation, The 134 Schiff Foundation, The 135 Schwartz Foundation, Marvin And Donna, The 136 Shell Oil Company Foundation 137 Starr Foundation, The 138 Steinhardt Foundation, Judy And Michael, The 139 Summit Charitable Foundation 140 **Tellabs** Foundation 141 Tiffany & Co. Foundation, The 142 Tinker Foundation, Inc., The 143 **Tisch Foundation** 144 Tishman Fund, Inc., John & Daniel 145 Town Creek Foundation Towsley Foundation, Harry A. And Margaret D., 146 The 147 UMB Financial Corp 148 Vidda Foundation, The 149 Wallace Research Foundation, The 150 Walton Family Foundation, Inc. 151 Warwick Foundation Of Bucks County, The

- 152 Waterfowl Research Foundation, Inc.
- 153 Wege Foundation
- 154 Weingart Foundation F155
- Wilson Charitable Trust, Robert W.
- F156 Woodruff Foundation, Inc., Robert W.

- - F77 Heinz Family Foundation
  - F78 Hess Foundation, Inc.