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# Morphology, molecular phylogeny and toxinology of *Coolia* and *Prorocentrum* strains isolated from the tropical South Western Atlantic Ocean

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Abstract: The morphology, molecular phylogeny and toxinology of two Coolia and one Prorocentrum dinoflagellate strains from Brazil were characterized. They matched with Coolia malayensis and Coolia tropicalis morphotypes, while the Prorocentrum strain fitted well with the morphology of Prorocentrum emarginatum. Complementary identification by molecular analyses was carried out based on LSU and ITS-5.8S rDNA. Phylogenetic analyses of *Coolia* strains (D1/ D2 region, LSU rDNA), showed that C. malayensis (strain UFBA044) segregated together with sequences of this species from other parts of the world, but diverged earlier in a separate branch to sequences from São Paulo (Brazil) or Caribbean areas. Coolia tropicalis (strain UFBA055) grouped with other sequences of this species, in a subclade with an isolate from Belize, closer to a subgroup including isolates from Thailand, Australia and Hong Kong. Phylogenetic analyses (ITS-5.8S rDNA) of P. emarginatum (strain UFBA033) grouped together with another sequence of this species from China Sea. Diarrhetic shellfish poisoning toxins (OA, DTXs and PTX2) were not detected in

\*Corresponding author: Maria Cristina de Queiroz Mendes, Universidade Federal da Bahia, Instituto de Biologia, Programa de Pós-Graduação em Ecologia e Biomonitoramento (ECOBIO), Av. Barão Jeremoabo, Campus Ondina, Salvador, BA 40170-115, Brasil, e-mail: cristinaqmendes@gmail.com. *P. emarginatum* by mass spectrometry analyses. However, hemolytic assays in *P. emarginatum* and both *Coolia* strains in this study showed positive results.

**Keywords:** *Coolia malayensis; Coolia tropicalis;* hemolytic assay; LC-HRMS; *Prorocentrum emarginatum*.

## Introduction

Epibenthic dinoflagellate communities harbor potentially harmful species that attracted great interest in the last decades due to their apparent expansion from tropical/ subtropical areas to temperate latitudes (Berdalet et al. 2017). Some of these organisms develop harmful proliferations in coastal areas associated with deleterious effects on marine animals and humans, like cutaneous irritations by skin contact with water or respiratory distress by inhalation of aerosolized toxins (Leaw et al. 2016). These blooms can cause important economic losses, in particular to the industries of aquaculture, recreation, and tourism (Hallegraeff 2010, Migliaccio et al. 2016).

Species of the genera *Prorocentrum* Ehrenberg and *Coolia* Meunier are commonly found in epibenthic dinoflagellate communities and co-exist with other potentially toxic benthic dinoflagellates from the genera *Ostreopsis* J. Schmidt and *Gambierdiscus* Adachi *et* Fukuyo (e.g. Leaw et al. 2016).

Toxic effects have been observed in some *Coolia* species by biological assays on mice, fish, invertebrates or hemolytical assays (Holmes et al. 1995, Leung et al. 2017). In 1995, a possible analog of yessotoxin, named cooliatoxin, was identified in a strain initially identified as *Coolia monotis* Meunier from Australia (Holmes et al. 1995) and later as *Coolia tropicalis* Faust (Mohammad-Noor et al. 2013). Some progress has been made since then, but their chemical structure has not been described yet. Recently, five other potential analogs of yessotoxin have been identified in *Coolia malayensis* Leaw, P.-T. Lim *et* Usup from Japan (Wakeman et al. 2015).

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Species of Prorocentrum are widely distributed from tropical to temperate/cold waters, comprising both pelagic and benthic species. Currently, among the 80 accepted Prorocentrum taxa, 30 are benthic and, within them, 10 are potentially toxic (Hoppenrath et al. 2014, Nascimento et al. 2017). The morphological differences among benthic Prorocentrum species are sometimes very subtle but some subgroups can be made. One of these subgroups is formed by Prorocentrum emarginatum Fukuyo, Prorocentrum sculptile Faust and Prorocentrum fukuyoi Murray et Nagahama. The morphological features that distinguish these species from each other have not yet been well clarified. On the other hand, species of Prorocentrum have been found to contain genetic diversity among strains and species. Hence, it is necessary to carry out more morphological and molecular studies on isolates from different locations worldwide (Hoppenrath et al. 2014).

Dinoflagellates of the *Prorocentrum* genus are known producers of okadaic acid (OA) and dinophysistoxins (DTXs; Glibert et al. 2012). They produce lipid-soluble esters called "OA diol esters" as well and water-soluble derivatives of OA and DTX1 diol esters such as DTX4, DTX5a, -b, -c or DTX6 (Torgersen et al. 2008). In addition to those compounds involved in diarrhetic shellfish poisoning (DSP) events, other active and complex metabolites such as prorocentrolides, borbotoxins or spiroprorocentrimine have been also isolated from *Prorocentrum* spp. (Hoppenrath et al. 2014).

There are few studies about epibenthic dinoflagellates in Brazil. Those available were done in the southeast region of the country and focused mainly on the genus *Ostreopsis* and its relation with toxic blooms (Nascimento et al. 2008), besides a recent study on a *Ostreopsis* strain from the northeast region by Mendes et al. (2017). Other studies are available for *Prorocentrum lima* (Ehrenberg) F. Stein and *Coolia malayensis* and were carried out with isolates from Rio de Janeiro State (Nascimento et al. 2016) and São Paulo State (Gómez et al. 2016), respectively, close to the Tropic of Capricorn where *Prorocentrum emarginatum* was also reported although without any morphological or genetic information (Villac and Tenenbaum 2010). The present study reports the molecular, morphological and toxinological characterization of two isolates of *Coolia (C. malayensis* and *C. tropicalis)* and one of *Prorocentrum (P. emarginatum)* from a tropical area (latitude 13°S) of the South Western Atlantic Ocean.

### Materials and methods

#### Sampling, strain isolation and culturing

Two strains (UFBA044 and UFBA055) of Coolia and one (UFBA033) of Prorocentrum were isolated from a mixture of macroalgae collected from a reef plateau located at Bahia in the northeast of Brazil (Table 1, Figure 1). The macroalgal samples were hand-collected and carefully introduced into plastic bags, and vigorously shaken for 2 min to detach the epiphytic cells associated with the macroalgae. Cells of Coolia and Prorocentrum were isolated from the epiphytic suspension using a micropipette under an inverted microscope (trinocular TS100, Nikon, Japan). Isolated cells were kept initially in a 20-well culture plate and then transferred to a 10-ml glass tube with f/2 medium (Guillard and Morton 2004). Monospecific cultures of Coolia and Prorocentrum strains were established and maintained in a temperature-controlled cabinet at  $25\pm2^{\circ}$ C, with a light:dark cycle of 12 h:12 h at an irradiance of ~60  $\mu$ mol photon m<sup>-2</sup> s<sup>-1</sup> provided by coolwhite fluorescent tubes.

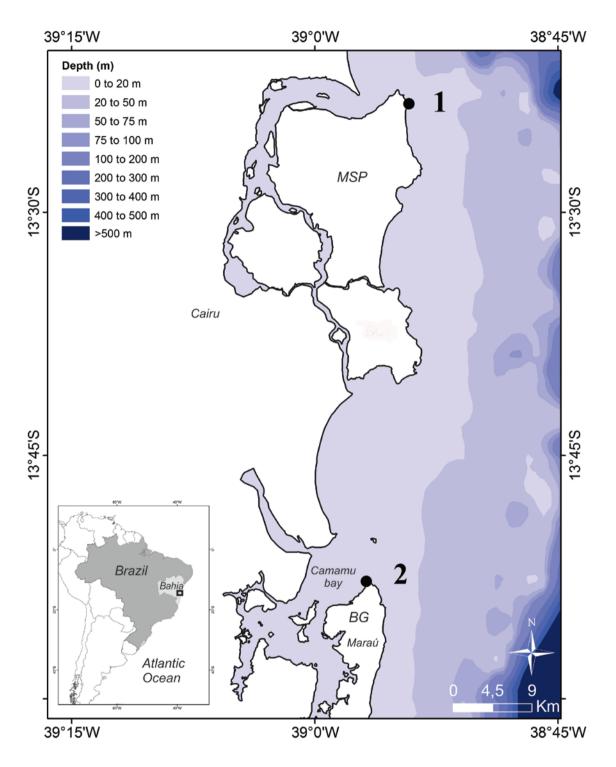
The dinoflagellate strains originated from algal cultures kept in the Laboratório de Algas Marinhas-LAMAR/IB/UFBA, Brazil and were incorporated later into the Culture Collection of the Oceanography Center of Vigo (CCVIEO, http://www.vgohab.es/). The strain UFBA033 was lost during the experiment.

#### Light and epifluorescence microscopy

Light microscopy (LM) observations were carried out on living or formalin-fixed cells using a Leica DMLA light microscope (Leica Microsystems GmbH, Wetzlar,

 Table 1:
 Sampling data for Prorocentrum emarginatum (strain UFBA033), Coolia malayensis (UFBA044) and C. tropicalis (UFBA055) collected from coastal areas of Bahia State, Brazil.

Strain code	Sampling site	Latitude	Longitude	Sampling date
UFBA033	4ª Praia, Morro São Paulo, Cairu, Bahia	13°23'19.1″S	38°54′11.4″W	2 Dec. 2013
UFBA044	Pronta do Mutá beach, Barra Grande, Marau, Bahia	13°52'46″S	38°56′50″W	18 March 2014
UFBA055	4ª Praia, Morro São Paulo, Cairu, Bahia	13°23'19.1″S	38°54′11.4″W	23 Sept. 2014



**Figure 1:** Map showing the bathymetry of the two main sampling sites off the Brazilian Bahia State coast. (1) 4<sup>ª</sup> Praia, Morro de São Paulo (MSP), Cairu; (2) Ponta do Mutá beach – Barra Grande (BG), Marau.

Germany) at the Centro Oceanográfico de Vigo/IEO, Spain. The microscope was equipped with differential interference contrast optics and epifluorescence using calcofluor at 0.15 mg ml<sup>-1</sup> to visualize thecal plates (Fritz and Triemer 1985). The microscope had a UV lamp with both UV and blue excitation filters and a digital camera (Axiocam HRc, Carl Zeiss, Jena, Germany) with capture software (Zen image acquisition and analysis, Carl Zeiss, Germany). An Olympus microscope (Olympus<sup>®</sup> trinocular CX31RTS5, Tokyo, Japan) was also available for analyses; the microscope was equipped with a digital camera (QImaging GO-3, QImaging, Sydney, Australia) and the QCapture Pro image-capture program (QImaging), and the analyses were done at the Laboratório de Algas Marinhas-LAMAR/ IB/UFBA, Brazil. Cells were measured using images obtained as described above (LM), at a magnification of 200× or 400×, both on living and formalin-fixed cells in order to obtain the length (L) and the width (W).

#### Scanning electron microscopy (SEM)

Cells were concentrated using a 20-µm mesh, washed twice with culture medium and the pellet was maintained in an ethanol/culture medium solution (1:1) for 30 min for removal of the outermost membrane (Truby 1997). Then the cells were washed with culture medium and fixed with 2% glutaraldehyde solution for 24 h. Cells were then mounted on a coverslip and dehydrated in an ethanol series (30, 50, 70, 90 and 100%). Finally, cells were dried with a Leica EM CPD030 Critical Point Dryer (Leica Microsystems, Germany) and mounted on aluminum stubs. Stubs were then coated with a 2–3-nm gold layer (Denton Vaccum LLC Desk IV) and observed using a scanning electron microscope (JEOL JSM 6390LV SEM, JEOL Ltd., Tokyo, Japan) at the Electron Microscopy Platform of the Gonçalo Moniz Institute/FIOCRUZ/Bahia, Brazil.

The Kofoid tabulation nomenclature system was used for *Coolia* species, as modified by Besada et al. (1982) with plate formula of Po, 4', 6", 6c, ?s, 5"'', 2"'', whereas the terminology in Hoppenrath et al. (2014) was used for *Prorocentrum*.

### Molecular analysis: polymerase chain reaction (PCR), amplification, DNA sequencing and phylogenetic analysis

The D1/D2 domains of the LSU rRNA gene of strains UFBA044 (*Coolia malayensis*) and UFBA055 (*Coolia tropicalis*) were amplified from single cells using the pair of primers D1R/D2C (5'-ACCCGCTGAATTTAAGCATA-3'/5'-ACGAACGATTTGCACGTCAG-3'; Lenaers et al. 1989). The amplification reaction mixture (25  $\mu$ l) contained 2.5  $\mu$ l reaction buffer, 2 mM MgCl<sub>2</sub>, 0.25 pmol of each primer, 2 mM dNTPs, 0.65 units Taq DNA polymerase (Qiagen, CA, USA; Hilden, Germany) and 2  $\mu$ l of Milli-Q water containing a single cell. The LSU rRNA gene was amplified in an Eppendorf Mastercycler EP5345 (Eppendorf AG, New York, NY, USA) under the following conditions:

initial denaturation at 95°C for 1 min, followed by 40 cycles of denaturation at 54°C for 1 min, annealing at 72°C for 3 min, extension at 72°C for 3 min, and a final extension cycle at 72°C for 10 min. A 10- $\mu$ l aliquot of each PCR reaction was checked by agarose gel electrophoresis (1% TAE, 50 V) and SYBR Safe DNA gel staining (Invitrogen, Carlsbad, CA, USA). The PCR products were purified with ExoSAP–IT (USB Corp., Cleveland, OH, USA), sequenced using Big Dye Terminator v3.1 Reaction Cycle Sequencing kit (Applied Biosystems, Foster City, CA, USA) and migrated in an AB 3130 Sequencer (Applied Biosystems, Foster City, CA, USA) at the C.A.C.T.I. sequencing facilities (Centro de Apoyo Científico Tecnolóxico á Investigación, Universidade de Vigo, Spain).

For the ITS1-5.8S-ITS2, DNA was extracted from a pellet from 5 ml of culture in exponential phase from the Prorocentrum emarginatum strain UFBA033 harvested by centrifugation, followed by extraction using a NucleoSpin® Plant II extraction kit according to the manufacturer's protocol (Macherey-Nagel GmbH & Co. KG, Düren, Germany). The ITS1/ITS2 (including 5.8S gene) rDNA fragment was amplified using primers ITSA (5'-GTAACAAGGTHTCCG-TAGGT-3') and ITSB (5'-AKATGCTTAARTTCAGCRGG-3') (Sato et al. 2011). Genomic DNA was amplified in a PCR solution containing 1X Colorless GoTag® Flexi buffer (Promega, Madison, WI, USA), 1.25 mM MgCl<sub>2</sub> (Promega), 0.8 pmol of each primer, 0.16 mM dNTP (Thermo Scientific Inc., Waltham, MA, USA), 1 U GoTag<sup>®</sup> DNA polymerase (Promega), 0.2 µg of Bovine Serum Albumin (New England Biolabs Inc., Ipswich, MA, USA), 15 ng of DNA and Milli-Q water to complete 25 µl of solution.

The PCR conditions were as follows: initial denaturation at 94°C for 5 min, followed by 40 cycles of denaturation at 94°C for 1 min, annealing at 45°C for 1 min, an extension at 72°C for 1 min and a final extension at 72°C for 5 min. The PCR products were purified and sequenced by Macrogen (Seoul, Korea), in both directions, using the PCR primers. The LSU rDNA and ITS and sequences obtained in this study were deposited in GenBank (see Tables 2 and 3, respectively).

#### **Phylogenetic analysis**

LSU and ITS rDNA sequences were aligned using Bioedit v. 7.2.5 (Hall 1999). The alignment of LSU rDNA sequences of Brazilian strains of *Coolia* (UFBA044 and UFBA055, with 309 and 304 base pairs (bp), respectively), included 37 *Coolia* sequences retrieved from GenBank (Table 2) and two *Ostreopsis* sequences, *O. siamensis* J. Schmidt (FN256430) and *O.* cf. *ovata* Fukuyo (JX065588) as outgroups.

Strain code	Species	GenBank	Strain code	Species	GenBank
VG0786	C. canariensis	AM902737	Com.5	C. monotis	KJ781414
VG0787	C. canariensis	AM902738	CCMP2582	C. monotis	KP172277
CcanLSU1	C. cf. canariensis	KP145681	Cp1208-1	C. palmyrensis	KP172270
VG0775	C. cf. canariensis	AM902739	Copa11506-2	C. palmyrensis	KU058189
SKLMP-W075	C. cf. canariensis	KX589159	SKLMP-S017	C. palmyrensis	KX589146
SKLMP-W100	C. cf. canariensis	KX589160	SKLMP-S018	C. palmyrensis	KX589147
UFBA044	C. malayensis	KY912159	SKLMP-W085	C. palmyrensis	KX589148
CAWD39	C. malayensis	U02258	Cs1303-1-	C. santacroce	KP172271
CM0607-1	C. malayensis	KP172278	Cos1503GA	C. santacroce	KT288059
CCMP1345	C. malayensis	AM902743	Cos1503-2	C. santacroce	KT288058
Cm1303-1	C. malayensis	KP172269	Cos1503-1	C. santacroce	KT288057
K-0972	C. malayensis	JX896690	UFBA055	C. tropicalis	KY912160
L31	C. malayensis	KM259618	SKLMP-S002	C. tropicalis	KX589144
NQAIF35	C. malayensis	HQ897274	SKLMP S003	C. tropicalis	KX589145
25	C. malayensis	KU746834	CT1C1	C. tropicalis	AB908159
52	C. malayensis	KU746832	K-1156	C. tropicalis	JX896691
74	C. malayensis	KU746833	CCMP1744	C. tropicalis	AM902741
Dn24EHU	C. monotis	HQ414218	NQAIF90	Coolia sp.ª	HQ897276
CCMP304	C. monotis	KP172276	RCC1518	Coolia sp.	KT860564
RIK24	C. monotis	AM902749			

**Table 2:** List of Coolia strains used for phylogenetic reconstruction and their GenBank accession numbers.

In bold, strains used in this study.

<sup>a</sup>Currently identified as *C. tropicalis* (Leung et al. 2017).

Table 3: List of Prorocentrum strains used for phylogenetic reconstruction and their GenBank accession numbers.

Strain code	Species	GenBank	Strain code	Species	GenBank
CCMP1724	P. arabianumª	EU927555	TI0124	P. lima	KY010236
VG0776	P. arenarium	EU244470	TI0175c	P. lima	KY010235
CCMP1260	P. balticum	EU927547	TI0177c	P. lima	KY010237
CCMP1787	P. balticum	EU927548	PA	P. lima	EU927487
BPHV-1	P. belizeanum⁵	JQ638934	4V	P. lima	EU244474
UFBA064	P. caipirignum	KY039500	CCMP686	P. lima	EU927505
CCMP1768	P. compressum	EU927558	USMA-4	P. lima	AB189759
DS4E11	P. concavum	KY010228	TI0139	P. maculosum <sup>c</sup>	KY010240
DS4F8	P. concavum	KY010229	TI0102	P. maculosum <sup>c</sup>	KY010239
RCC848	P. dentatum	FJ823581	CCMP687	P. mexicanum	EU927554
	P. donghaiense	HQ833324	CCMP1591	P. micans	EU927527
	P. donghaiense	AY465115	CCAP1136/15	P. micans	EU927531
Dn208EHU	P. elegans	KF835602	CCAP1136/17	P. micans	EU927532
UFBA033	P. emarginatum	KY912158	CCAP1136/18	P. micans	EU927533
X2P3	P. emarginatum	KY010245	CCMP2812	P. minimum	EU927544
NG2	P. fukuyoi	KY010249	CCAP1136/16	P. minimum	EU927545
CCMP2804	P. hoffmannianum	KF885224	RCC291	P. minimum	EU927546
CCMP683	P. hoffmannianum	KF885225	TI097	P. panamense	KY010244
CCMP2794	P. koreanum	KP711354	PXHV-1	P. rhathymum	JQ638938
TI0153	P. koreanum	KY010231	PR1VS	P. rostratum	EU244471
LMBEV9	P. koreanum	KP711351		P. texanum	JQ390505
TI063	P. koreanum	KY010230	PT2V	P. triestinum	EU244468
VG0880	P. levis	FJ489615	VG0672	P. triestinum	EU244469
USSP-S18	P. lima	AB189752	CCMP700	P. triestinum	EU927549
BM-U2-D5	P. lima	AB189755			

In bold, strain used in this study.

Currently reported as: <sup>a</sup>*P. concavum* (Gómez 2005); <sup>b</sup>*P. hoffmannianum* species complex (Herrera-Sepúlveda et al. 2015); <sup>c</sup>*P. caipirignum* (Nascimento et al. 2017).

Net average genetic distances  $(dA = d_{XY} - (d_X + d_Y)/2)$ , where  $d_{XY}$  is the average distance between groups X and Y, and  $d_X$  and  $d_Y$  are the mean within-group distances (Nei 1987), were calculated between *Coolia* clades for the original alignment using MEGA 7 software.

The best nucleotide substitution model was selected using the model selection tool in MEGA 7 software (Kumar et al. 2016), based on maximum likelihood (ML) as the statistical method. This procedure evaluates the fit of 24 different nucleotide substitution models to the data and those with the lowest Bayesian information criterion (BIC) scores are considered to best describe the substitution pattern. The TN93 model with invariant sites (I=0.26) was selected and the robustness of the ML tree topology was evaluated using bootstrap with 1000 replications (Tamura and Nei 1993).

Regarding ITS rDNA of the Brazilian *Prorocentrum* UFBA033 strain, the alignment included 48 *Prorocentrum* sequences retrieved from Genbank and two *Alexandrium* sequences, *A. minutum* Halim (KX599348) and *A. tamiyavanichi* Balech (AF145224) as outgroups (Table 3). The final alignment included 491 bp. Model selection of ML was performed as above and a Kimura 2-parameter model (Kimura 1980), with gamma distribution ( $\gamma$ =0.65), was chosen in MEGA 7. The phylogenetic relationships were also determined using Bayesian phylogenetic inference and, in this case, the substitution models were obtained by sampling across the entire general time reversible (GTR) model space following the procedure described in Mr. Bayes v3.2 manual.

Bayesian trees were performed with Mr. Bayes v3.2 and the program parameters were statefreqpr=dirichlet (1,1,1,1), nst=mixed, rates=gamma (Huelsenbeck and Ronquist 2001). The phylogenetic analyses involved two parallel analyses, each with four chains. Starting trees for each chain were selected randomly using the default values for the Mr. Bayes program. The corresponding number of unique site patterns for LSU and SSU alignments were 259 and 466, respectively. The number of generations used in these analyses was 2,000,000. Posterior probabilities were calculated from every 100th tree sampled after log-likelihood stabilization ("burn-in" phase). All final split frequencies were <0.03.

#### Toxin analysis

Toxic activity was evaluated by hemolytic assay for strains UFBA033, UFBA044 and UFBA055. UFBA033 strain was also analyzed by LC-HRMS to confirm the identity of toxins involved in its toxic activity. Cultures in exponential phase for all three strains were processed for analyses: 480 ml of *Prorocentrum emarginatum* (UFBA033) containing

 $7.9 \times 10^5$  cells ml<sup>-1</sup>, 430 ml of *Coolia malavensis* containing  $1.1 \times 10^6$  cells ml<sup>-1</sup> and 370 ml of *Coolia tropicalis* containing  $1.1 \times 10^6$  cells ml<sup>-1</sup>. Firstly, an aliquot of 1.5 ml from the culture was fixed with Lugol and cells were counted using a Sedgewick-Rafter counting slide in LM using a Zeiss Invertoscop D (Carl Zeiss Ag, Germany). The remaining culture volumes were filtered through 47-mm glass microfiber filters (MFV4, C de-Parmer Instrument, Filter-Lab, USA) and maintained at -20°C with MeOH until further analyses. Then, the cells in the filters were re-suspended in MeOH and disrupted by sonication using a 4710 probe of the Ultrasonic Homogenizer (Cole-Palmer, Chicago, IL, USA). Next, cells were decanted by centrifugation at 5411g for 10 min at 10°C using a Sigma 3–16 KL centrifuge. The supernatants were removed, and pellets were extracted again with MeOH, followed by homogenization in vortex and centrifugation following the same procedure as previously mentioned. Both supernatants were combined and the total volume (3 ml) was stored at -20°C until its analysis by LC-HRMS and hemolytic assays. The toxic activity of dinoflagellate extracts UFBA033, UFBA044 and UFBA055 was checked by delayed hemolysis of sheep erythrocytes following Riobó et al. (2008).

# Liquid chromatography-high resolution mass spectrometry (LC-HRMS)

Only UFBA033 extract was analyzed by LC-HRMS. Mass spectrometry analyses were performed using a Thermo Scientific Dionex LC coupled to an Exactive mass spectrometer, equipped with an Orbitrap mass analyzer. The instrument was mass calibrated for positive and negative modes, and the capillary and tube lens voltages were also optimized, using the automated script within the Exactive acquisition software in both cases. High resolution mass spectrometry experiments were carried out in positive and negative modes, with and without complete ion fragmentation (AIF) (HCD 35 eV). The mass range for both of them, full mass spectrometry and AIF mode, ranged from 100 to 1500 m/z with a resolving power at 70,000.

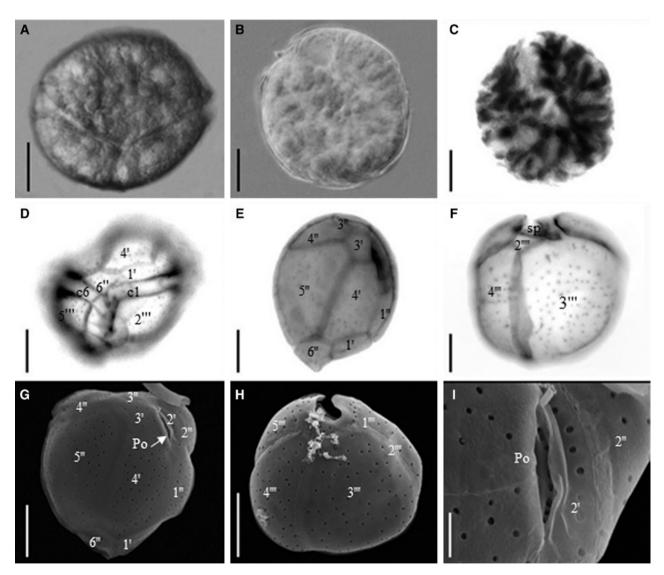
The following conditions were used: a GeminiNX C18 column, 3.5  $\mu$ m 50×2.10 mm (Phenomenex) was kept at 40°C and eluted at 0.4 ml min<sup>-1</sup> with 0.05% ammonia (eluent A) and 95:5 (acetonitrile:0.05% ammonia) (eluent B). The following gradient elution was used: 25% B at t=0, 25% B at t=1.5, 95% B at t=7.5, 95% B at t=9.5, 25% B at t=12.5, and hold for 1.5 min. For toxin identification, an analytical standard mix solution in methanol was used; the mix contained 20 ng ml<sup>-1</sup> of each of the following toxins: OA, DTX2, DTX1 and pectenotoxin-2 (PTX2).

## Results

### Morphology

Cells of *Coolia malayensis* (strain UBFA044) were spherical in ventral (Figure 2A) and dorso-ventral (DV) view (Figure 2B), varying from 26.7 to 38.8  $\mu$ m (33.6±3.4; SD, n=27) in length and from 25.6 to 37.5  $\mu$ m (32.1±SD 3.3) in DV width. In ventral view, the cells showed an equatorial

cingulum, plate c1 between plates 1' and 2''' and plate c6 between plates 6'' and 5''' (Figure 2D). Thecal plates were covered by rounded pores distributed throughout the cell (Figure 2H, I). The Po was relatively straight and measured 6.0  $\mu$ m in length (Figure 2I). The first apical plate (1') was oblong, while the third (3') and the fourth (4') apical plates were pentagonal and hexagonal, respectively (Figure 2G). The third postcingular plate (3''') was larger than the fourth plate (4'''; Figure 2F).

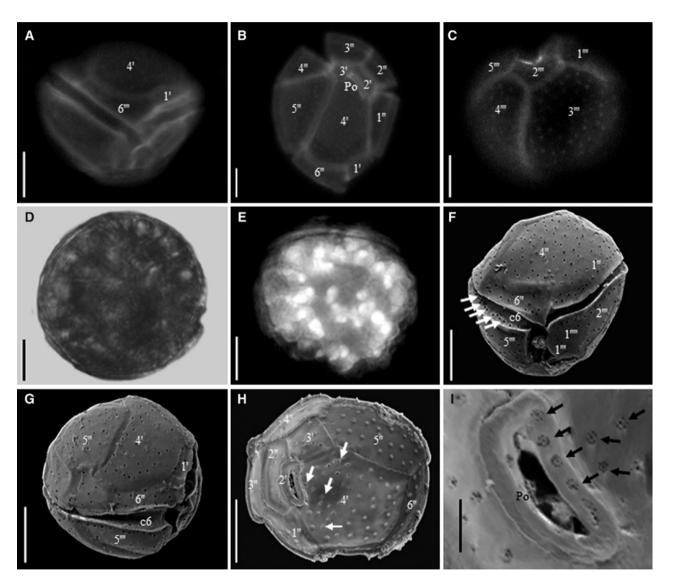


#### Figure 2: Coolia malayensis (UFBA044): LM and SEM images.

(A) Cell in ventral view in LM. (B) Cell in dorso-ventral view in LM. (C) Cell showing details of the chloroplasts in epifluorescence. (D–F) Cells stained with calcofluor in epifluorescence. (D) Cell in ventral view showing the cingular plates c1 and c6, the apical plates 1' and 4', the precingular plate 6'' and the postcingular plates 2''' and 5'''. (E) Cell in apical view showing the apical plates 1', 3' and 4', and the precingular plates 1'', 3''-6''. (F) Cell in antapical view showing the sulcal posterior plate (sp), the antapical plate (2''') and the postcingular plates 3''' and 4'''. (G–I) Cells in SEM images. (G) Cell in apical view showing the apical pore plate (Po), the apical plates 1''-4' and the precingular plates 1''-6''. (H) Cell in dorsal view showing the postcingular plates 1'''-5'''. (I) Details of the apical pore plate (Po) with the apical plate 2' and the precingular plate 2''. Scale bars = 10  $\mu$ m (Figure A–H); 2  $\mu$ m (Figure I).

In *Coolia tropicalis* (strain UFBA055), the cells were spherical (Figure 3A, C, D, F, G). Cell size varied from 32.5 to 46.3  $\mu$ m (37.7 ± SD 4; n = 20) in length and from 28.8 to 43.8  $\mu$ m (35.9 ± SD 3.5; n = 20) in DV width. In ventral view, the cells showed an equatorial cingulum with the plate c6 between plates 6" and 5"' (Figure 3F). Thecal plates were covered by rounded pores distributed throughout the cell (Figure 3G) and in the cingulum (Figure 3F, arrows), and were rosette-shaped when

observed from the inner side of the theca (Figure 3H, I, arrows). The Po was slightly curved and measured 4.9  $\mu$ m in length (Figure 3I). The fourth apical plate (4') was enlarged towards the ventral margin and it was the largest of the epitheca (Figure 3B). Plates 4' and 5" showed nearly similar size in apical view (Figure 3B, H), with plate 4' sometimes slightly larger than 5" in lateral ventral view (Figure 3G). The sixth precingular plate (6") was approximately three times wider than long





(A–C) Cells stained with calcofluor in epifluorescence. (A) Cell in ventral view showing the apical plates 1' and 4', and the postcingular plate 6'''. (B) Cell in apical view showing the apical pore plate (Po), the apical plates 1''-4' and the precingular plates 1''-6''. (C) Cell in dorsal view showing the postcingular plates 1'''-5'''. (D) Cell in dorso-ventral view in LM. (E) Cell showing details of the chloroplasts in epifluorescence. (F–I) Cell in SEM images. (F) Cell in apical view showing the cingular plate c6, the precingular plates 1'', 4'' and 6'', the postcingular plates 1''', 2''' and 5''', and the antapical plate (1'''); arrows indicate row of rounded pores in the cingular plate 5'''. (H) Internal face of cell in apical view showing the apical plates 1' and 4', the precingular plates 5'' and 6'', and the postcingular plate 5'''. (H) Internal face of cell in apical view showing the apical plates 2'-4' and the precingular plates 1''-6''; arrows indicate pores with rosette-shaped poroids. (I) Details of the apical pore plate (Po); arrows indicate details of the pores with rosette-shaped poroids. Scale bars = 10  $\mu$ m (Figure A–H); 2  $\mu$ m (Figure I).

(Figure 3A, B, F). The third (3'') and the fourth (4''') plates were almost equal in size (Figure 3C).

Cells of Prorocentrum emarginatum (strain UFBA033) were broadly oval and asymmetric in valve view (Figure 4A). Cell dimensions varied from 31.7 to 41.7 µm  $(37.9 \pm SD \ 2.8; n = 23)$  in length and from 28.3 to 36.7 µm  $(32.5 \pm SD 1.9; n = 23)$  in DV width. Cell binary division was observed inside a hyaline mucilaginous division cyst with three (Figure 4B), five or two cells (Figure 4C). The thecal surface was foveate with radial rows or double rows of pores perpendicular to the periphery. The central area of the valve was without pores (Figure 5A, B). The intercalary band appears finely striated with close rows of minute marginal pores on both sides (Figure 5C, D). The anterior end of the right valve was deeply indented (Figure 5D). The periflagellar area was narrowly V-shaped and nine platelets were observed, with plate 6 in two parts, 6a and 6b (Figure 5E, F). There was a wing-shaped spine bordering platelet 1 on the dorsal side (Figure 5E).

# Molecular analysis: LSU and ITS-5.8S rDNA regions

The LSU rDNA sequences confirmed the previous morphological identification of UFBA044 as *Coolia malayensis* and of UFBA055 as *Coolia tropicalis*, while ITS-5.8S rDNA region also confirmed UFBA033 as *Prorocentrum emarginatum*. Data on sequences and GenBank accession numbers are shown in Tables 2 and 3. *Coolia malayensis* (UFBA044), the strain from Bahia, Brazil, segregated with other sequences of this species with strong bootstrap support (100%) and posterior probability values of 1 (Figure 6) but, in our phylogeny, diverged earlier than other C. malayensis sequences. Coolia malayensis from São Paulo, Brazil (KU746832, KU746833) clustered with sequences from the Virgin Islands (KP172269), North Carolina (KP172278) and New Zealand (KM259618), and formed a subgroup with low bootstrap support (<50%) and posterior probability (<0.5). A third subgroup included strains from other parts of the world, including Caribbean areas, with low bootstrap support (<50%) and low posterior probability (<0.5), as well as strain K0972 isolated near the type locality of C. malayensis (Figure 6). Net genetic distance within C. malayensis sequences (excluding UFBA044) was 0.004 (p-distance) and 0.005 between these and the C. malayensis UFBA044 strain. The latter value was much lower than net genetic distances calculated between Coolia clades/species (0.107-0.415).

*Coolia tropicalis* (UFBA055), from Bahia, Brazil, grouped with other sequences of this species (Figure 6) with a strong bootstrap support (100%) and posterior probability values (1). In this analysis, two subclades were observed, one with a sequence from Malaysia (JX896691) and a second with slightly divergent subgroups with high support (91%) and low posterior probability values (<0.5). The clade of *C. tropicalis* also showed a certain degree of intraspecific divergence (0.023, *p*-distance). The Brazilian *C. tropicalis* strain grouped with a sequence from Belize (AM902741) and was closer to the subclade formed by sequences from Thailand (AB908159) and Australia (HQ897276), both as *Coolia* sp., than the subclade formed by Hong Kong (KX589144 and KX589145) and

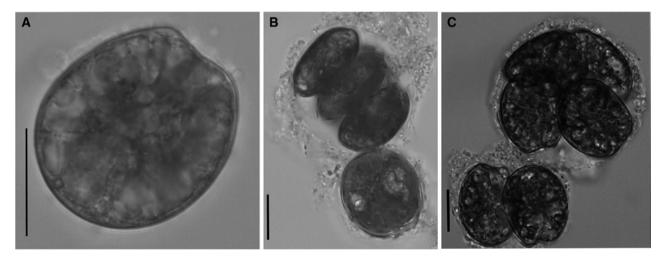


Figure 4: Prorocentrum emarginatum strain in LM.

(A) Solitary vegetative cell. (B) Division cyst with three cells in hyaline mucilage. (C) Two division cysts, one with five and the other with two cells in hyaline mucilage. Scale bar  $= 20 \ \mu m$ .

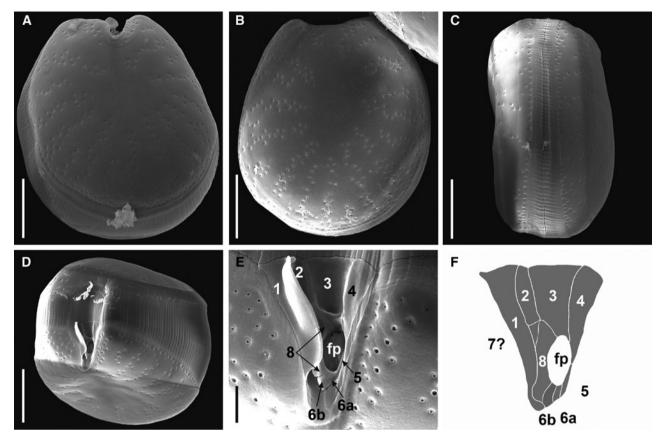


Figure 5: Prorocentrum emarginatum strain in SEM.

(A) Right valve. (B) Left valve. (C) Cell in lateral view showing the intercalary band transversely striated. (D) Cell in an anterior end view showing the striated intercalary band. (E) Periflagellar area showing the 1-6a, 6b and 8 platelet arrangement around the flagellar pore (fp). (F) Schematic representation of the 8-platelet arrangement around the flagellar pore (fp). Scale bars = 10  $\mu$ m (Figure A–D); 2  $\mu$ m (Figure E).

Malaysia (JX896691) sequences that emerged as a separate subclade.

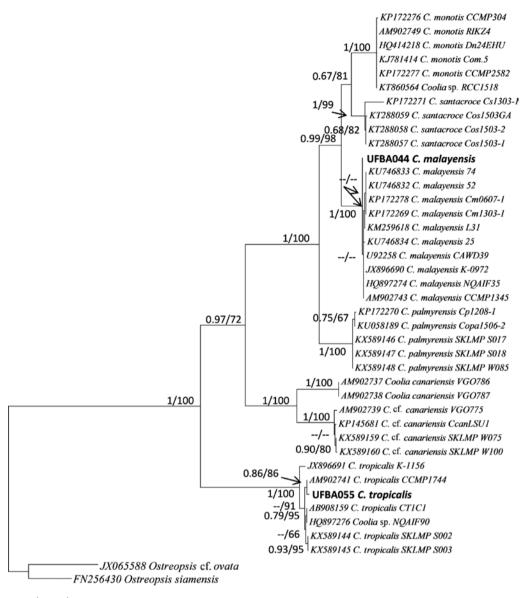
The phylogenetic results based on ITS-1/5.8S/ITS-2 regions were in agreement with other phylogenetic studies of Prorocentrum which showed asymmetrical and symmetrical species of the genus split into two separate main clades (Figure 7; Boopathi et al. 2015). One clade included asymmetrical species with variable valve morphology, which were represented by P. balticum (Lohmann) Loeblich III, P. compressum (Bailey) Abé ex Dodge, P. dentatum F. Stein, P. donghaiense D. Lu, P. elegans Faust, P. emarginatum, P. fukuyoi, P. koreanum Han, Cho et Wang, P. mexicanum Osorio-Tafall, P. micans Ehrenberg, P. minimum (Pavillard) Schiller, P. panamense Grzebyk, Sako et Berland, P. rhathymum Loeblich III, Sherley et Schmidt, P. rostratum F. Stein, P. texanum Henrichs, Steidinger, Scott et Campbell and P. triestinum Schiller. These species were clustered together with high bootstrap support (91%) and low posterior probability (<0.5) and, exceptionally, with symmetrical species (P. concavum Fukuyo) with moderate bootstrap support

(68%) and low posterior probability (<0.5). The other main clade included symmetrical species (*P. arenarium* Faust, *P. leve* Faust, Kibler, Vandersea, Tester *et* Litaker, *P. lima, P. caipirignum* Fraga, Menezes *et* Nascimento and the *P. hoffmannianum/belizeanum* complex) supported with high bootstrap (98%) and high posterior probability values (0.99).

The *Prorocentrum emarginatum* strain (UFBA033) was grouped with the asymmetrical species clade and formed a subclade with another *P. emarginatum* sequence (KY010245) from the South China Sea, with a strong bootstrap support (100%) and a strong posterior probability (1), together with *Prorocentrum fukuyoi* with moderate bootstrap (78%) and high posterior probability.

# Toxin analysis: hemolytic assay and LC-HRMS

Results from hemolytic assays revealed that all tested strains of *Prorocentrum emarginatum*, *Coolia malayensis* and *Coolia* 



0.10

**Figure 6:** LSU phylogeny (D1/D2 regions) showing the relationship between *Coolia malayensis* (UFBA044) and *C. tropicalis* (UFBA055) (in bold) and other *Coolia* species.

Supports at internal nodes are bootstrap values obtained by the maximum likelihood method and Bayesian inference. Hyphens indicate low bootstrap values (<50%) and posterior probability <0.5.

*tropicalis* caused the lysis of erythrocytes. Lipophilic toxins (OA, DTX2, DTX1 and PTX2) were not detected by LC-HRMS analyses in the *P. emarginatum* strain UFBA033.

## Discussion

The morphospecies *Coolia malayensis*, *Coolia tropicalis* and *Prorocentrum emarginatum* were identified in the studied area. The taxonomic characterization of these morphospecies was confirmed by genetic sequencing.

The distinction between *Coolia* species based on morphology has been a matter of debate (Laza-Martinez et al. 2011, Mohammad-Noor et al. 2013, Leaw et al. 2016). However, it has been pointed out that the sizes and shapes of the fourth apical (4') and sixth precingular (6") plates are consistent with molecular data and these have been used to circumscribe the species of the genus, in particular, the width: length ratio of the 6" plate (Leaw et al. 2016). Phenotypic plasticity in dinoflagellates has been frequently reported due to environmental factors and to the occurrence of cryptic species. The delimitation of

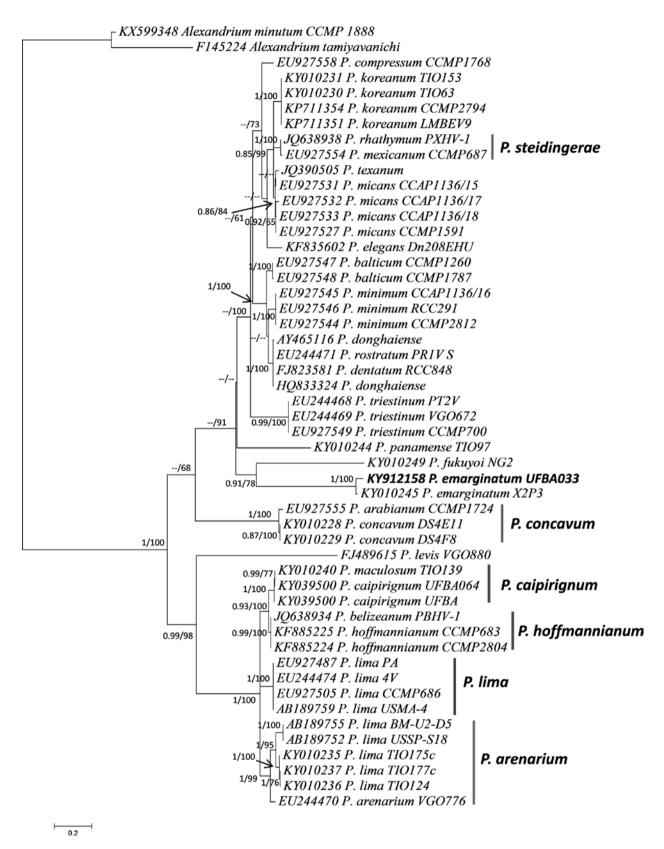


Figure 7: ITS phylogeny (ITS-1/5.8S/ITS-2) showing the relationship between *Prorocentrum emarginatum* strain (UFBA033) (in bold) and other *Prorocentrum* species.

Supports at internal nodes are bootstrap values obtained by the maximum likelihood method and Bayesian inference. Hyphens indicate bootstrap values <50% and posterior probability <0.5.

*Coolia* species based only on morphology can be problematic because of the small differences between some of them, their phenotypic plasticity and the possible occurrence of cryptic species (Mohammad-Noor et al. 2013, Leaw et al. 2016, Leung et al. 2017). In addition, clear divergences have been observed between *Coolia* clades and also within them (Leaw et al. 2016).

Regarding Coolia malayensis, some authors consider it to be synonymous with Coolia monotis (Hoppenrath et al. 2014), while others consider it to be a distinct species (Mohammad-Nor et al. 2013, Gómez et al. 2016, Leaw et al. 2016), supported by their different geographical distributions: tropical to warm-temperate for C. malavensis and temperate for C. monotis. Coolia malayensis was first described from Malaysian waters, of the South China Sea by Leaw et al. (2016). They recognized significant differences between the new species and *C. monotis*; however, they indicated that the two species were distinguished by the postcingular plate 3" being the largest plate of the hypotheca in C. malayensis, whereas in C. monotis plate 3" had almost the same size as plate 4". Likewise, Leaw et al. (2016) pointed out that the shape of plate 3' differed between the species, being quadrangular in C. malayensis and pentagonal in C. monotis.

The Bahia strain UFBA044 was identified as Coolia malayensis (Leaw et al. 2016) and differed from the other Brazilian isolates described by Gómez et al. (2016) by the dimensions of plate 3". In the Bahia strain, plate 3" was the largest of the hypothecal plates, while in Gómez et al. (2016) plate 3<sup>'''</sup> showed almost the same size as plate 4<sup>'''</sup>. The findings of Gómez et al. (2016) matched with some specimens described from Korean isolates by Jeong et al. (2012) who reported some specimens of C. malayensis with similar sizes for plates 3" and 4", in addition to specimens with plate 3" larger than plate 4" as observed in our study. The molecular results supported the use of the size of the third postcingular plate (3'''), that is the largest plate in the hypotheca, as a diacritical feature in the circumscription of the Bahia strain of C. malayensis. The validity of this character has been claimed because it is present in a great number of genetically distinct C. malayensis isolates from tropical to warm subtropical regions in both Atlantic and Pacific Oceans (Jeong et al. 2012, Wakeman et al. 2015, Leaw et al. 2016).

Strain UFBA055 showed morphological features in agreement with the original description of *Coolia tropicalis* (Mohammad-Noor et al. 2013). The strain identified as *C. tropicalis* differed from the *Coolia malayensis* strain, mainly in the dimension of the precingular plate 6" (three times wider than long) and in the shapes of the apical plate 4', which were hexagonal and pentagonal, respectively.

Moreover, in *C. tropicalis*, plate 4' widening towards the ventral side of the cell was the largest plate of the epitheca. This feature is shared with *Coolia canariensis* Fraga and *Coolia areolata* Ten-Hage, Turquet, Quod *et* Couté. However, *C. canariensis* and *C. areolata* exhibit theca areolates whereas *C. tropicalis* has a smooth theca (Jeong et al. 2012, Leaw et al. 2016).

The results of our LSU rDNA phylogeny corroborated previous studies that proposed *Coolia malayensis*, *Coolia monotis*, *Coolia santacroce* Karafas, Tomas *et* York, *Coolia palmirensis* Karafas, Tomas *et* York, *Coolia tropicalis* and *Coolia canariensis* to be monophyletic lineages representing distinct species (Jeong et al. 2012, Mohammad-Nor et al. 2013, Leaw et al. 2016). The results of this LSU rDNA phylogeny also supported the hypothesis that *C. malayensis* has a circumtropical distribution and suggests that so far there is no overlap yet with the geographical area occupied by *C. monotis* (Gómez et al. 2016).

The clade of *Coolia malayensis* showed intraspecific variability with the new Brazilian sequence of *C. malayensis* (UFBA044), diverging earlier than the other strains. However, the genetic distances within this clade were much lower than net genetic distances between clades. No significant differences were observed between the isolates from the South and the North Atlantic Ocean, Oceania and Asian areas, as indicated in previous studies (Gómez et al. 2016, Leaw et al. 2016).

The molecular phylogeny of the Brazilian strain of Coolia tropicalis also corroborated that the hexagonal shape of the apical plate 4' widening towards the ventral side of the cell and the dimension of the postcingular plate 6" are good features separating C. tropicalis from Coolia malayensis. Additionally, the molecular phylogeny corroborated the validity of the thecal surface ornamentation for separating C. tropicalis from Coolia canariensis (there are no available genetic sequences for Coolia areo*lata*). These results are in agreement with previous studies (Jeong et al. 2012). The phylogenetic analysis indicated that C. tropicalis and C. canariensis diverged before separating from Coolia monotis and C. canariensis, and that C. tropicalis originated in a tropical area, sharing a similar geographical distribution with C. malayensis, as pointed by Leaw et al. (2016). This analysis showed no distinguishable geographical traits, such as in the case of C. malayensis. However, the number of sequences for this species is still insufficient to confirm this proposition.

The Bahia strain fitted well with the morphology of *Prorocentrum emarginatum* according to the original description of Fukuyo (1981); the platelet formula was close to that described by Hoppenrath et al. (2014). However, in this material, eight platelets have been observed in the

periflagellar area (1, 2, 3, 4, 5, 6a, 6b, 8) and apparently, platelet 7 was hidden by the wing-shaped spine bordering platelet 1. *Prorocentrum emarginatum* is very close to *Prorocentrum fukuyoi*. Both species have a collar or flange along the right boundary of the apical region and also have three large plates in a row at the anterior end of the apical region (Murray et al. 2007). However, the strain in this study showed cells larger than *P. fukuyoi* (18–30 µm). Also, the pore pattern of this material was more regularly radiating from the center, being closer to *P. emarginatum* than to *P. fukuyoi*.

The molecular phylogenetic results based on ITS rDNA regions showed a moderate support for the branching pattern between *Prorocentrum emarginatum* and *Prorocentrum fukuyoi*. Indeed, the morphological differentiation between the two species is difficult, and the cell width and the distribution of the pore pattern may be valuable diacritical characters in separating these species. More accurate studies of morphology are needed to validate these characters as well as others indicated in other studies (Murray et al. 2007, Hoppenrath et al. 2014, Luo et al. 2017). Moreover, the possible occurrence of morphologically cryptic or semicryptic species has been shown in *P. emarginatum, P. fukuyoi* and related species (Laza-Martinez et al. 2011).

In the ITS phylogeny, the Bahia strain of Prorocentrum emarginatum matched a sequence of P. emarginatum from China (Luo et al. 2017). However, in Luo et al. (2017), P. emarginatum segregated together with Prorocentrum fukuyoi (KY010249), before other symmetrical and asymmetrical Prorocentrum sequences, whereas in the present work P. fukuyoi (KY010249), P. emarginatum (KY010245) and the Brazilian strain (KY912158), diverged after the *P. concavum* clade but within the group including other asymmetrical species. Although more accurate studies on the group are needed, including a larger number of sequences analyzed using multiple markers, the high support value between the Brazilian material and the Chinese isolate led us to consider both sequences as P. emarginatum. In general, species are often phenotypically distinct as they evolve separately and/or may diverge from one another depending on their adaptation to particular niches. Although these phenotypic differences may serve as relevant evidence for species delimitation, it is necessary to consider that morphological and ecological divergence, as well as reproductive isolation, have not vet developed. In these cases, delimitation of species based on phenotypic data is problematic, particularly in newly divergent species and morphologically simple taxonomic groups, such as many algae (Leliaert and De Clerck 2017).

Okadaic acid (OA) or its derivates were not detected in *Prorocentrum emarginatum* (UFBA033) but the results from the hemolytic assay revealed that the species produces some hemolytic compound which causes erythrocyte lysis. Aligizaki et al. (2009) reported no toxicity of coastal Greek strains of *P. emarginatum* using protein phosphatase type 2A inhibition assay (PP2AIA) and *Artemia salina* bioassay. There are few toxicity analyses related to this species, so it is necessary to carry out detailed studies to identify new compounds produced by *P. emarginatum*.

Biotoxins and bioactive compounds produced by *Coolia* species have not been well characterized. However, the toxicity has been demonstrated in some species by biological assays on mice, fish and invertebrates, or hemolytic assays (Leung et al. 2017). Herein, the hemolytic activity detected in *Coolia tropicalis* (UFBA055) and *Coolia malayensis* (UFBA044) strains highlights the potential risks of this group of harmful dinoflagellates in Brazilian waters. More studies are needed to identify toxic compounds in the two *Coolia* strains, to elucidate the hemolytic activity detected in *Prorocentrum emarginatum* (UFBA033) strains, and to identify possibly new compounds that may explain this activity.

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