

## Research Article

# Serum and Cerebrospinal Fluid Testing in Optic Neuropathy Patients with Malignant Tumors

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Purpose. To evaluate the value of serum and cerebrospinal fluid (CSF) testing in optic neuropathy (ON) patients with malignant tumors. Methods. Fourteen patients clinically diagnosed as ON with malignant tumors but without intracranial or orbital mass in MRI were included in this study. Detailed medical records including medical history, complete ophthalmic examination, colour fundus photography, visual field test, orbital MRI examination, serum and CSF testing data were collected and analyzed. The diagnosis of paraneoplastic optic neuropathy (PON) based on the 2004 recommended criteria of the paraneoplastic syndrome-Euronetwork consortium for paraneoplastic neurological disorders, and current adaption for neuropathies. All patients underwent serum tests for pathogens and autoantibodies including antinuclear antibodies, anticardiolipin antibodies, antineutrophil cytoplasmic antibodies, AQP4-Ab and MOG-Ab, as well as CSF tests for malignant cells under microscope. Serum paraneoplastic antibodies were detected in PON patients. Monkey cerebellar tissue-based assay was used to detect unknown serum anti-neuron antibodies in PON patients with negative paraneoplastic antibody testing results. Results. Fourteen ON patients were classified as four groups based on their clinical and MRI characteristics, as well as serum and CSF testing results: [1] definite PON, 6 cases (11 eyes); [2] possible PON, 3 case (5 eyes); [3] meningeal carcinomatosis-associated optic neuropathy (MCON), 4 cases (6 eyes); [4] infiltrative optic neuropathy (ION), 2 cases (2 eyes). Malignant cells were found under microscope in CSF samples from MCON and ION patients, contrast to no malignant cells in CSF samples from PON cases. All 14 ON patients with malignant tumors showed negative results in serum tests for pathogens and autoantibodies. Serum paraneoplastic antibodies were tested in PON patients, anti- CV2, anti-Yo, and anti- amphiphysin were detected positive in 2, 1, and 1 case, respectively, in definite PON group, whereas no serum paraneoplastic antibody detected in possible PON group. Two unknown serum antineuronal antibodies (an anti- Purkinje cell antibody and an anti-granular cell antibody) were detected using monkey cerebellar tissue-based assay in 2 of 5 PON patients with negative paraneoplastic antibody test results. Conclusions. Serum and CSF tests are of great importance in differentiating different subtypes of ON with malignant tumors. Current diagnosis of PON still depends on combination of clinical and MRI manifestations, as well as serum and CSF tests. Tissue-based assay may help to detect new biomarkers for ON etiology and diagnosis.

## 1. Introduction

Optic neuropathy (ON) such as papilloedema secondary to intracranial metastasis is not uncommon in patients with malignant tumors, however, other optic neuropathies such as paraneoplastic optic neuropathy (PON), infiltrative optic neuropathy, and demyelinating optic neuritis, are rare in malignant tumor patients, and their early diagnosis is quite challenging in clinical practice [1-4].

PON, also called paraneoplastic optic neuritis, is a rare but blindness-causing inflammatory disease [1-5]. PON is believed to be caused by the immune-mediated cross-

reaction between the malignant tumor and the retina and (or) optic nerve which share same antigens, rather than by an infiltration or metastasis of a malignant tumor. PON should be considered as a possible diagnosis in any cancer patient with optic disc edema and subacute bilateral visual loss, especially when there is no evidence of intracranial or orbital metastasis [1–3].

However, it is really difficult and challenging to distinguish PON from other optic neuropathies including infiltrative optic neuropathy (ION), meningeal carcinomatosisassociated optic neuropathy (MCON), and demyelinating optic neuritis in malignant tumor cases because of many overlapping clinical manifestations [1–6]. We herein evaluated the serum and cerebrospinal fluid (CSF) testing in ON patients with malignant tumors but without intracranial or orbital mass in MRI examination.

## 2. Materials and Methods

2.1. Patients. Fourteen patients clinically diagnosed as ON with malignant tumors but without intracranial or orbital mass in MRI from May, 2017 to November, 2021 in Second Affiliated Hospital of Zhejiang University School of Medicine and Shijiazhuang People's Hospital were included in this study. Detailed medical records including medical history, complete ophthalmic examination, colour fundus photography, visual field test, orbital or cranial MRI examination, serum and CSF testing data were collected and analyzed. This study was conducted according to the tenets of the Declaration of Helsinki. Informed consents were obtained from all patients. Institutional review board approvals were obtained from Second Affiliated Hospital of Zhejiang University School of Medicine and Shijiazhuang People's Hospital.

The inclusion criteria were as follows: (1) definite ON diagnosis based on the typical ophthalmic manifestations: (a) acute or subacute visual loss, or blurred vision, (b) swollen optic disc, or occasionally normal optic disc, (c) exclusion of severe cataract, glaucoma, and toxic, compressive, traumatic, or hereditary optic neuropathy; (2) at least one malignant tumor diagnosed before, during or after ON occurrence; (3) serum autoantibody tests including IgM or IgG antibodies to pathogens, antinuclear antibodies, anticardiolipin antibodies, antineutrophil cytoplasmic antibodies, aquaporin 4-IgG antibody (AQP4-Ab), and myelin oligodendrocyte glycoprotein-IgG antibody tests in suspected PON patients; (5) at least 6 months follow-up.

The exclusion criteria were: (1) positive serum IgM or IgG antibodies of pathogens including treponema, mycobacterium tuberculosis, herpes viruses, hepatitis viruses, or HIV, which indicating infectious ON; (2) positive serum autoantibodies including antinuclear antibodies, anticardiolipin antibodies, antineutrophil cytoplasmic antibodies, and instant excellent therapeutic response to steroid which supporting a diagnosis of typical inflammation-related ON; (3) concurrent uveitis or retinopathy probably not related to paraneoplastic syndrome; (4) one or more intracranial or orbital mass in MRI.

The diagnosis of PON was based on the 2004 recommended criteria of the paraneoplastic syndrome (PNS)-Euronetwork consortium for paraneoplastic neurological disorders, and the adaption for neuropathies suggested by Antoine et al. Briefly, definite PON was diagnosed based on (1) a direct pathogenic link between the tumor and ON was demonstrated, with or without positive serum paraneoplastic antibodies; (2) well-established PNS but no identified paraneoplastic antibodies, (3) ON unequivocally improved by tumor treatment provided that it has no spontaneous tendency to recovery. Any other ON occurring within 2 years of a cancer was a possible paraneoplastic disorder [1, 2]. ION was defined as optic nerve infiltration by metastatic malignant tumors such as leukemia and lymphoma, or inflammation near optic nerve. MCON was defined as swollen optic disc secondary to meningeal metastasis of malignant tumors such as leukemia, lymphoma, lung and breast cancer. ION can occur alone or accompanied by MCON.

2.2. Ophthalmic Examination. All patients underwent best corrected visual acuity (BCVA), complete ophthalmic examination, colour fundus photography, and visual field test was tested using a Snellen chart. Colour fundus photography was taken using Canon CX-1 (Canon Company, Japan), visual field was tested using 30 program for Octopus 900 (HAAG-STREIT Diagnostics, Swissland) perimeter, low vision program was used for patients with BCVA lower than 20/200, but better than hand motion.

2.3. Orbital/Cranial MRI Examination. At presentation, all patients underwent orbital or cranial MRI examination using T2-weighted imaging sequence with fat suppression and fluid attenuated inversion recovery sequence, and T1-weighted imaging sequence with fat suppression sequence and gadolinium-enhancement.

2.4. Serum and CSF Testing. All patients underwent serum tests including T-spot test, serum IgM or IgG antibodies to pathogens including treponema, mycobacterium tuberculosis, herpes viruses, hepatitis viruses, and HIV, antinuclear antibodies including antinuclear antibody, antidouble-stranded DNA, anti-Sjogren syndrome A or Sjogren syndrome B, anticardiolipin antibodies, antineutrophil cytoplasmic antibodies, serum AQP4-Ab and MOG-Ab tested using cell-based assay. Serum paraneoplastic antibodies were detected using different test panels commercially available predominantly including anti-Hu, anti-Yo, anti-Ri, anti-CV2/CRMP5, anti-Ma2/TA, anti-amphiphysin, anti-Tr, anti- Zic4, and anti-recoverin, in PON patients using indirect immunofluorescence testing and western blotting. Monkey cerebellar tissue -based assay was used to detect unknown serum antineuronal antibodies in PON patients with negative paraneoplastic antibody test results. CSF tests for malignant cells under microscope were performed in all patients.

### 3. Results

3.1. Clinical and MRI Characteristics of ON Patients with Malignant Tumors. Table 1 showed the clinical and MRI characteristics, as well as serum and CSF testing results of

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Follow-up (months)	5	11	10	14			9	c	ø	49	L	cc		70	16	н Н	CT	Q
Malignant tumor type	ייסטוניס אמוו [	nung cancer	Lung cancer	Lung cancer		1	Lung cancer		Frostate cancer	Ovarian cancer		Lastric cancer	,	Lung cancer	Lung cancer	Contrain consistent	Dasuic cancer	Lung cancer
ON type	NODP	nr.Oiv	NOdp		dPON		NOAP	NOdt	aron	NOdb		pPON		pPON	pPON	NOON	MICON	MCON CSS
PA in serum test	SN S	ON	Anti- Amphiphysin		Anti-CV2		Anti-CV2	- 11 - 11 - 1	01-IIIA	No		NO	;	No	No	× IA	N.A.	N.A.
MCs in CSF test	N	0N	No		No		No	Ĩ	NO	No		NO	;	No	No	Voo	I CS	Yes
Orbital/cranial MRI	Optic nerve	and enhancement	Normal	Optic nerve	enlargement and enhancement	Optic nerve	enlargement and enhancement	Optic nerve	entargement and enhancement	Normal	TA	Normal	;	Normal	Optic nerve enlargement and enhancement	Normal optic nerve, focal	meningeal enhancement	Enlarged optic nerve sheath space with sheath enhancement, enlarged cavernous sinus with
Visual field	Tunnel vision	Arcuate scotoma	Generalized depression	Generalized	depression	Ring scotoma	Tunnel vision	Generalized depression	Tunnel vision	Peripheral defect	Altitudinal hemiscotosis	Large cental scotoma	Generalized	depression	Generalized depression		INUILIIAI	Normal
Ophthalmic examination	Swollen disc	Swollen disc	Normal disc	=	Swollen disc	Normal disc	Swollen disc	Swollen disc	Swollen disc	Swollen disc	Swollen disc	Swollen disc	: : :	Swollen disc	Swollen disc	Sumline ding		Swollen disc and lateral rectus
'A Final	20/ 25	20/ 20	NLP	20/ 60	20/ 100	20/ 200	NLP	20/ 125	20/ 40	20/ 32	20/ 40	20/ 32	20/ 32	20/ 200	20/ 125	20/ 20	20/ 20	20/ 20
BCVA Initial Final	20/ 100	20/40	CF HM	20/ 200	CF	20/25	20/ 400	Ш	20/60	20/60	20/ 200	20/ 100	20/ 100	CF	CF	20/20	20/20	20/20
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## Disease Markers

	Sex	Age	Eye	No. Sex Age Eye Initial Final	A inal	Ophthalmic examination	Visual field	Orbital/cranial MRI	MCs in CSF test	PA in serum test	ON type	Malignant tumor type	Follow-up (months)
2	ц	57	ы	R 20/50	20/ 40	Swollen disc	Mormal	Enlarged optic nerve sheath space with sheath enhancement, enlarged	Ves	A M	MCON	MCON Nasopharyngeal	48
	4	ò	Г	20/40	20/ 32	Enlarged SOV		cavernous sinus with meningeal enhancement	571		CSS	carcinoma	04
	;	;	Ц	L 20/20 <sup>2</sup>	20/ 20	Swollen disc	Normal	Enlarged optic nerve sheath space with sheath enhancement, focal meningeal enhancement	Yes	N.A.	MCON	-	
$\sim$	13 M 37	37	R	R 20/40 NLP	ALP	Swollen disc CRAO	N.A.	Optic nerve enlargement with sheath enhancement, focal meningeal enhancement	Yes	N.A.	ION	Leukemia	<del>3</del> 0
<del>, 1</del>	М	49	Ч	NLP N	AIN	14 M 49 R NLP NLP Swollen disc CRAO	N.A.	Optic nerve enlargement with sheath enhancement	Yes	N.A.	NOI	Lymphoma	15
V.A	: best tion;	COLP: 1 NLP: 1	cted v no lig	risual acuit tht percept	ty; R: 1 tion; P	ight; L: left; MCs: r ON: paraneoplastic	malignant cells; CSI optic neuropathy;	BCVA: best corrected visual acuity; R: right; L: left; MCs: malignant cells; CSF: cerebrospinal fluid; PA: paraneoplastic antibody; ON: optic neuropathy; CF: counting fingers; HM: hand motion; LP: light perception; PON: paraneoplastic optic neuropathy; dPON: definite PON; pPON: possible PON; MCON: meningeal carcinomatosis-associated optic neuropathy; CSS: cavernous	antibody; ON: op )N; MCON: meni	otic neuropathy, ingeal carcinom	; CF: countir natosis-associ	ng fingers; HM: hand iated optic neuropathy	motion; LP: l' ; CSS: cavern

Continued.
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TABLE

Disease Markers

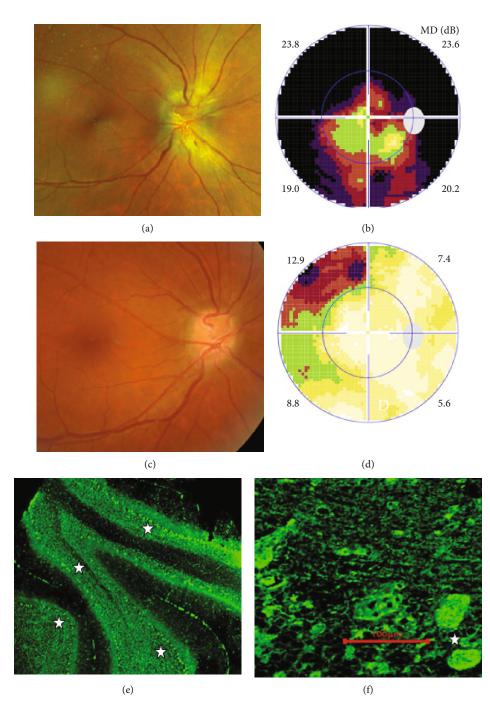
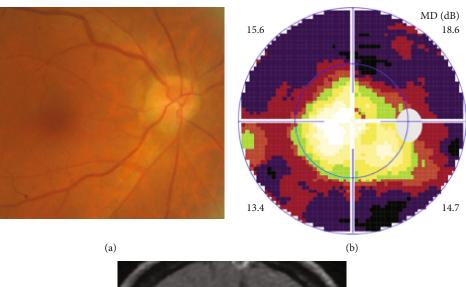


FIGURE 1: Ophthalmic examination and tissue-based assay of definite paraneoplastic optic neuropathy with lung cancer in Case1. Fundus photograph showed swollen optic disc (a), visual field test showed tunnel vision (b) in the right eye. 2 months after chemotherapy, the edema of optic disc greatly resolved (c) and only small peripheral visual field loss left (d). Unknown serum antineuronal antibody bound to monkey cerebellar Purkinje cells (star, E, F) was detected by tissue-based assay in this patient whose paraneoplastic antibody test result was negative.

fourteen ON patients (24 eyes) with malignant tumors but without intracranial or orbital mass in MRI, including nine males and five females. The mean age was 55.6 (range from 37 to 64) years, mean follow-up was 22.5 (range from 6 to 55) months. The concurrent malignant tumors included lung cancer, gastric cancer, prostate cancer, ovarian cancer, nasopharyngeal carcinoma, leukemia, and lymphoma in 7, 2, 1, 1, 1, 1, 1case, respectively. Malignant tumours were

treated with at least one of the following: surgery, chemotherapy, radiotherapy, and targeted therapy with biologic agents. Eleven patients with malignant tumors were cured or kept stable at last follow-up, whereas three cases (Case 3, 4, 5) died of relapsed or metastasized malignant tumors.

Fourteen ON patients were classified as four groups based on their clinical and MRI characteristics, as well as serum and CSF testing results: (1) definite PON, 6 cases





(c)

FIGURE 2: Ophthalmic and MRI examination of definite paraneoplastic optic neuropathy with gastric cancer in Case 4. Fundus photograph showed normal optic disc (a), visual field test showed ring scotoma (b), MRI showed optic nerve enlargement with sheath enhancement in both eyes, more evident in the lfet eye (c).

(11 eyes); (2) possible PON, 3 case (5 eyes); (3) MCON, 4 cases (6 eyes); (4) ION, 2 cases (2 eyes) (Table 1). Nine ON patients (Case 1 to Case 9) were classified as PON based on the direct pathogenic link between the tumor and neuropathy, and ON totally or partially improved after tumor treatment during long-term observation. However, 3 of above 9 PON patients (Case 7 to Case 9) underwent concurrent short-term steroid pulse therapy continued by tapered oral steroid when their ON occurred. More over, these 3 cases were negative for serum paraneoplastic antibody tests. Hence, they were classified as possible PON.

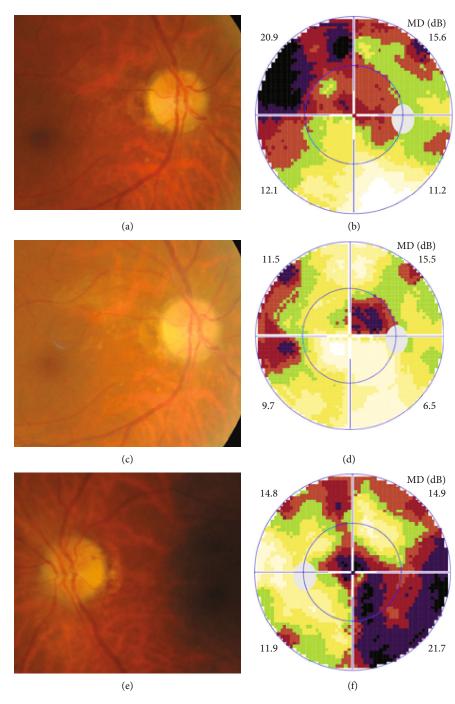
Definite PON presented as subacute (9/11) or acute (2/11) visual loss, mostly bilaterally invovled (5/6). The median of BCVA was 20/200 (range from hand motion to 20/25) and 20/100 (range from no light perception to 20/20) at presentation and final follow-up, respectively. Seven eyes showed visual improvement whereas 4 eyes visual deterioration at last follow-up. Definite PON predominantly appeared as swollen

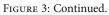
optic disc (9/11), normal optic disc (2/11) was rare in definite PON patients. Visual field test revealed generalized depression, tunnel vision, ring scotoma, arcuate scotoma, and peripheral defect in 5, 3, 1, 1, and 1 eye, respectively (Figures 1, 2). MRI showed optic nerve enlargement with gadolinium enhancement in 8 eyes, whereas normal MRI in the other 3 eyes (Figure 2). Three of 6 definite PON patients were reported dead at last telephone follow-up.

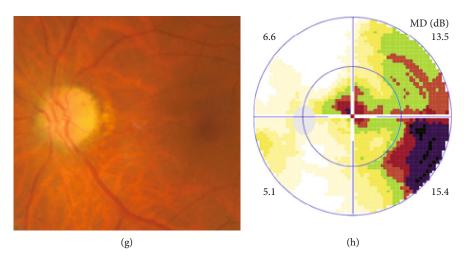
Possible PON also presented as subacute (4/5) or acute (1/5) visual loss, also mostly bilaterally invovled (2/3). All possible PON appeared as swollen optic disc, visual field test revealed generalized depression, altitudinal hemiscotosis, and large cental scotoma in 3, 1, and 1 eye, respectively. MRI showed optic nerve enlargement with gadolinium enhancement in one eye, whereas normal MRI in the other 4 eyes (Figure 3). (Table 1).

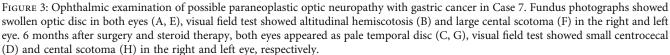
MCON (4 cases, 6 eyes) generally presented as slightly blurred vision, although BCVA and visual field test results

## Disease Markers









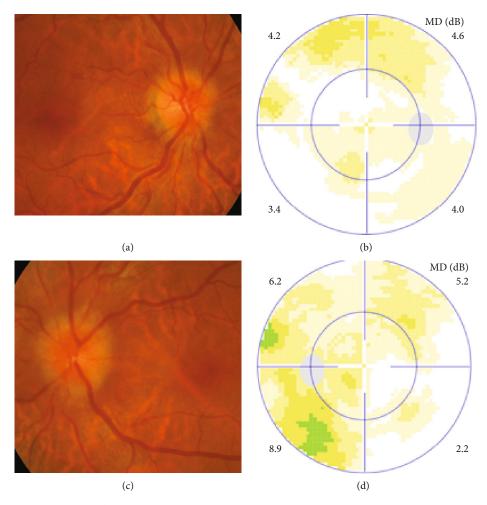
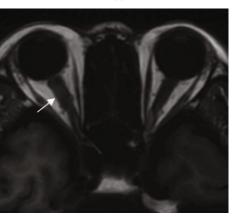


FIGURE 4: Ophthalmic examination of meningeal carcinomatosis-associated optic neuropathy with gastric cancer in Case10. Fundus photographs showed swollen optic disc (A, C), visual field test was normal (B, D) in both eyes.

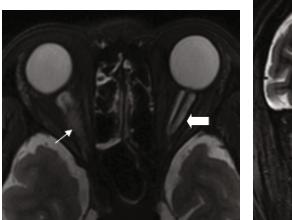
are usually normal. Patients with MCON (Case 10 to Case 13) all appeared as swollen optic disc (Figures 4, 5), whereas diplopia due to lateral rectus palsy and enlarged superior ophthalmic vein in B type ultrasound were found in Case 11, and Case 12, respectively. Malignant cell-related cavernous sinus syndrome was found in



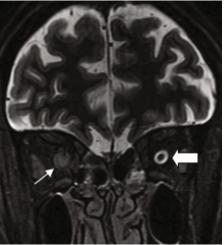
(a) MD (dB) 2.8 6.2 (c)



(d)



(e)



(f)

FIGURE 5: Continued.

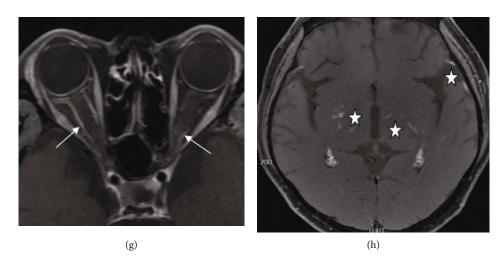


FIGURE 5: Ophthalmic and MRI examination of infiltrative optic neuropathy (right eye) and meningeal carcinomatosis-associated optic neuropathy (left eye) with leukemia in Case 13. Fundus photographs showed moderate swollen optic disc and posterior retinal edema with macular cherry red in the right eye (A), and mild swollen optic disc in the left eye (B). Visual field test was normal in the left eye (C). MRI showed enlarged optic nerve (short arrow, D, E, F) with sheath enhancement (long arrow, G) in the right eye, enlarged optic nerve sheath space (arrowhead, E, F) with sheath enhancement (long arrow, G) in the left eye. Focal linear enhancement of the meninge, and spotty and linear lesions with enhancement in basal ganglia (star, H) which supporting meningeal carcinomatosis found in T1-weighted MRI with contrast enhancement.

two cases (Case 11, 12). MRI revealed normal, enlarged optic nerve sheath space and sheath enhancement with or without enlarged cavernous sinus in 2, 3, 1 eye, respectively (Figure 5). However, focal linear enhancement of the meninge which indicating meningeal carcinomatosis was found in T1-weighted MRI with contrast enhancement in all MCON cases (Figure 5).

ION usually presented as subacute progressive visual loss starting from peripheral visual defect, but acute visual loss could also occur when central retinal artery occlusion secondary to ION developed. Patients with ION (Case 13and Case 14) both manifested swollen optic disc, and diffuse posterior retinal edema and cherry-red macular when central retinal artery occlusion occurred. Optic nerve enlargement with sheath enhancement were typical MRI finding of ION (Figure 5).

3.2. Serum and CSF Tests of ON Patients with Malignant Tumors. Malignant cells consistent to their primary tumors were found under microscope in CSF samples from MCON and ION patients, although negative results might appear in the first CSF testing. Whereas there was no malignant cells found in CSF samples from PON cases.

All 14 ON patients with malignant tumors included in this study showed negative results in serum tests for pathogens and autoantibodies including antinuclear antibodies, anticardiolipin antibodies, antineutrophil cytoplasmic antibodies, AQP4-Ab and MOG-Ab. Serum paraneoplastic antibodies were tested in PON patients, anti- CV2, anti-Yo, and anti- amphiphysin were detected positive in 2, 1, and 1 case, respectively, in definite PON group, whereas no serum paraneoplastic antibodies detected in possible PON group.

Two unknown serum antineuronal antibodies (an anti-Purkinje cell antibody in Case 1, and an anti-granular cell antibody in Case 8) were detected by monkey cerebellar tissue-based assay in 2 of 5 PON patients with negative paraneoplastic antibody test results (Figure 1).

## 4. Discussion

Ophthalmic involvement including PON and cancer associated retinopathy is common in PNS, even presenting as the initial clinical manifestation of PNS in some cases with malignant tumors [1-4, 7-8]. However, many other optic neuropathies should be excluded before PON was diagnosed in malignant tumor patients with optic nerve involved, which including ischemic anterior ON, papilloedema due to intracranial metastasis, MCON, ION, compressive ON, toxic or malnutritional ON, and demyelinating optic neuritis [1-4, 6-8]. Therefore, early diagnosis of PON is challenging in clinical practice.

Anterior ischemic ON was characterized by mild to moderate visual loss, swollen optic disc spontaneously resolving in 6 to 8 weeks, persistent quadrant or altitudinal hemiscotosis in visual field test, and normal MRI, which could easily differentiated from PON. Toxic or malnutritional ON occurred only after chemotherapy, which could be relieved when chemotherapy stopped.

Papilloedema and compressive ON caused by intracranial or orbital metastasis of malignant tumors could be diagnosed based on one or mass found in MRI. However, earlystaged MCON might present as mere swollen disc with no visual defect and normal MRI, at this instance, CSF test for malignant cells was crucial for its diagnosis. Unfortunately, several tests were needed in some cases to find malignant cells in CSF samples.

It was challenging to differentiate PON from ION and demyelinating optic neuritis, because they shared many similar ophthalmic manifestations including acute or subacute visual loss, central or peripheral visual field defect, and optic nerve enlargement with enhancement in MRI [1–6]. CSF test for malignant cells was crucial to exclude ION, and serum autoantibody tests for AQP4-Ab and MOG-Ab were important to exclude AQP4-Ab positive optic neuritis and MOG-Ab related optic neuritis, which was further supported by our results in this study [1–9].

Currently, there still lacks of generally acknowledged criteria for PON diagnosis. The PNS Euronetwork defined six antibodies (anti-Hu, Yo, CV2/CRMP-5, Ri, Ma2 and amphiphysin) as "well characterized onconeural antibodies", and five antibodies (anti-Tr, ANNA3, PCA2, Zic4, and mGluR1) as "partially characterised onconeural antibodies", which could confirm or support the diagnosis of PNS [1-3, 10-16]. However, the seropositivity of paraneoplastic antibodies in PON patients is still under evaluation due to lack of enough volume of PON patients [1-4, 8, 10-16]. In our study, serum paraneoplastic antibodies were detected in only 44.4% (4/9) PON patients. The explanation may exist as follows: (1) presently, there is no generally acknowledged technique standards used in serum paraneoplastic antibody testing, seropositivity of paraneoplastic antibodies may differ between agencies supplying commercial testing; (2) maybe there exist other paraneoplastic antibodies responsible for PON still not recognized in laboratory or clinical practice, or tested in paraneoplastic antibody assay kits currently commercially available [17]. Therefore, critical analysis of the causation between the malignant tumor and ON is still of great importance in the diagnosis of PON.

In this study, two unknown serum antineuronal antibodies (an anti-Purkinje cell antibody and an anti-granular cell antibody) were detected by monkey cerebellar tissuebased assay in 2 of 5 PON patients with negative paraneoplastic antibody test results, indicating tissue-based assay may help to detect current or new biomarkers for ON etiology and diagnosis.

There are some limitations in our study. Firstly, the sample size is small, which is common in PNS studies, since PON and PNS are rare in clinical practice. Secondly, the structural characteristics and physiochemical features of two serum anti- neuron antibodies detected by tissue-based assay in PON patients with negative paraneoplastic antibodies test results are still unknown, more workup needs to be done for further understanding the value of these new biomarkers in etiology and diagnosis of optic neuropathies.

## Data Availability

The data used to support the findings of this study are included within the article.

## **Conflicts of Interest**

The authors declare that they have no competing interests.

## Acknowledgments

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