

— **§101 / Patent-Eligibility (PE)** — **PART I**
Only for CIs ('nonrefined') Claimed Inventions' or also for ETCIs ('refined') Emerging Technology CIs)?^{1.a)}
Targeting SARS CoV-2's vaccines.

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An ETCI's virus is a game changer of classic SPL by its ' $IEM \geq 0, X, Y$ **Set-of-Execution-Tracks**', (abbr. (ETCI) **SET**).

An (ETCI)SET is defined by a pair $(X \in ETCI, Y \in its Y), \forall P \leq IEM \geq 0, X, Y P_{max} \in \{\mathbb{N} \cup \infty\}$, & $\forall P, IEM, X, Y$ tuples $::= \langle P, IEM \geq 0, X, Y (B, E) \rangle ::=$
 $::= \{ \langle P, IEM \geq 0, X, Y B^{(egin)}$ is a wild type (un)modified mRNA, $E^{(nd)}$ is a DNA sequence totally neutralizing this $P, IEM \geq 0, X, Y MUT \rangle \}$.

Box A: The DNA $K^{(nowledge)}$ $R^{(epresentation)}$ 2.c) of the '**mutation**' meaning of the VIR^X & VAC^Y preserves \forall ETCI all its properties by the Supreme Court's (refined) SPL-Framework 2.b) – by it publicly & repeatedly required. If the SET \nexists yet in $mRNA$ R&D, it seems adjustable to an \in [633-638].

This definition (without IEM [626]) trivially implies: \nexists pair $(X, Y) \notin$ (ETCI)SET. Thus for no P there is no Y ranging outside of Y alias SET⁹⁾. This dramatically reduces the number of base pairs of specifications of PE[626] ETCI's potential vaccines[626] in DNAKR to test for \exists in DNA(R+D). I.e.: \forall ETs anytime the set of MUTs are predictable.

^{*}) I admire K. Kariko, U. Sahin, T. Schlake, et al – for $mRNA$ innovations (e.g. 633-638) by Berkeley-/Kant-/Einstein-/Parnas-/.../Watson+Crick-style.

1a This mail is not in textbook style – it is a summary of several preceding FSTP mails!^{b)}

A VIR (us), MUT (ation), & $MUTVAR$ (iant) are in classic SPL '**non-PE, non-patent-eligible**' – as only 'man-discovered', but not 'man-makeable'. VAC (cines) & $VACVAR$ (iants) are **PE** or **PE**. These qualities are caused '**intern/extern**' to these notions (IEM virus, $IEM X$, $IEM ETCI$, ...) ^{2.a)}, in 'Framework SPL' called '**Natural Phenomena**' or '**Abstract Ideas**', & initially being of metaPhysics (i.e. not fully definable), yet rational as soon as tied into an ETCI[622]. Kant already recognized their indispensability of Maths.

The US Supreme Court noticed – first worldwide – that patenting an **ETCI** indispensably requires a notional refinement of the classic US Substantive Patent Law ('SPL'), called '(SPL-)Framework'. From this Supreme Court's SPL **specification**, the USPTO & CAFC derived another SPL – **allegedly** ^{f)} of the same meaning & ^{g)} easier to grasp than the Supreme Court's one.

The SET is the finite graph of all potential 'execution tracks'^[182,626] of a human genome, of which ^{h)} only a single track ' Y ' is used per ETCI's P-interval, whereby ⁱ⁾ its number of combinations per track & per P is the same (and huge, unless minimized^[626]).

Yet, this CAFC/USPTO SPL-refinement is hopelessly wrong^{f)}. Both of them totally scarred^{f)} the brilliant – but non-trivial – Supreme Court's SPL-Framework: They both thus put the patent community into dissenting kinds of 'SPL-refinement guessing modes'^[359,360,376,.....], confirming the massive distortion^{f)} of Supreme Court's rational SPL-requirements^{2.d)}. Instead of rationalizing this Supreme Court's refined SPL interpretation – based on its *KSR/Bilski/Mayo/Myriad/Biosig/Alice*^{2.d)} decisions' requirements, for which the Supreme Court publicly & explicitly asked – CAFC, USPTO, ... stuck with their absurdities^{f)}.

I.e.: Only the scientific interpretation of the Supreme Court's SPL-Framework enables & enforces consensus about PE.

b) The foundation/axiomatics of Mathematics – & this mail – is based on totally rational ^{h)}Philosophy, ^{h)}on top of it materialistic & idealistic Physics, and ^{h)} today's materialistic & idealistic technicities & SPL qualifications – **BUT NO ^{MB}HANDICRAFT** (following the above styles).

This focuses him, in reading ^{MB}patent(application)s, on their ^{h)}abstracts, claims, ^{MB}basics, & scientific 'coercive detention' [**BOX B**], & hence ^{h)} basing this mail's results solely on Maths and its rational philosophic foundations – just as Maths, i.e. \nexists any Maths not based on rational philosophic ^{c)}.

c) Thus, this mail models **mRNA strategies for specifying vaccines against $IEM \geq 0$ VIRs, e.g. SARS CoV-2**. Hitherto, a model for $IEM \geq 0$ ETCI patent(app)s does not exist. G. Berkeley & I. Kant (17th/18th century) adumbrated a '**rational^[577] invention^[622]**' – being necessarily 'idealistic' additional to its being 'materialistic', as its properties are vague/imprecise/incomplete/instable/... /non-definable exactly.

In the industrial 18th-20th centuries, due to their huge number of materialistic inventions needing only the classic SPL for their PE[182], this adumbration got forgotten. But with the advent of overwhelming ETCIs, the Supreme Court indispensably had to rediscover the substance of this '**rational cognition**' model[182] for enabling rational IEM ETCIs. Though such a model is not noticed – as not explicitly stated by the Supreme Court's SPL framework – it yet therein is logically implied, esp. its rational notion ' IEM PE'. This in turn by '**FSTPtech**' enables **automatically** claiming an IEM ETCI / testing its IEM PE / ...

d NOTE 1: An IEM ETCI's rationalization / mathematization (i.e. its scientization) is in classic SPL totally impossible ^{e)}

e Any rational PE problem solution is by definition a '**(pre)Rational, (p)Rat**' notion^[621ftn3.a),622], i.e. an ISL sentence, i.e. an \in of a rational ontology (= set of non-redundant '**relations**^[326]', here an '**red ISL^[372] sentence**', i.e. not '**truly-mPhys::=(mPhys \wedge pRat)**').

Thus, it is impossible to rationally (i.e. uniquely) resolve a PE problem defined by an ETCI^{2.b)}, which is not (equivalent to) a compound notion of a (p)Rat (\supseteq Rat) ontology, i.e. is not a PE problem. An ETCI's rational §101-problem solution (i.e. of only notions being \in s of a (p)Rat ontology, e.g. its **natural phenomena** and/or **abstract ideas**^[622]) is irresolvable in classic SPL – as it is too coarse.

f As CAFC & USPTO unisono until recently publicly claimed the Supreme Court's SPL reinterpretation were allegedly incomprehensible, the author contacted them for clarifying the indispensably correct interpretation. All in vain. Thus, many CAFC / USPTO decisions about ETCIs – esp. DNA patent(application)s – contradict the Constitution, as contradicting the Supreme Court's requirements. ^{2.e)} Namely:

- Both authorities **ignore** 2 fundamentals of the Supreme Court's (p)Rat PE requirements, for adjusting SPL to the needs of ETCIs: **+**stated by its *Alice* decision's PE specification for an ETCI passing it^[622], esp. for assessing their **+**"R&D minimal invasivity" & "**limited preemptivity, 'PR'**".
- As to the Supreme Court's 5 additional pRat^{d)} requirements that define its SPL-framework's meaning – "**application of ^{nPE}TT0**", "**directed to ...**", "**transform the nature of the claim**", "**significantly more**", "**inventive concept**" – the same happened or both authorities **truly-mPhys** reasoned by these 5 notions^[619,622ftn2.b)], thus directly contradicting the Supreme Court's requirements, i.e. the US Constitution.

I.e.: The CAFC (& USPTO) ignored or falsified all 7 key requirements of the Supreme Court's SPL framework & PE specification – not to speak of the logically/implicitly Supreme Court's SPL framework required notions **virus & internal/external mutation** (detailed in^{2.b)}).

g I.e., any ETCI's (P+1)-VIR-interval expands any P-tuple (not ^{MB}dead) into a potentially large but bounded number of (P+1)- DNA sequ- This enables testing all feasible (B,E)-combinations – today not (yet) automatically determinable.

The Supreme Court's SPL-Framework & MB's mRNA based PE-Test for MUTing – i.e. recursive ('rec') – ETCIs comes with intricacies as to their 'IEM'-parameters: Their in-/external-values namely play 2 different roles – in one enable the (in)formal correctness of the Supreme Court's CBN at issue by test1)-3), in the other one the same as to CBN's properties by test4)-7).

<p>CBN(ETCI)'s Claim Interpretation, CI <input ::= CBN(ETCI). Test of basic requirements' correctness in 1. loop informal & in 2. loop formal></p> <p>1) if [CBN(ETCI)::= $\prod_{1 \leq n \leq N} crCn$::= $RT^S E-crCS^{[622]}$::= $\prod_{1 \leq n \leq N} \prod_{1 \leq k \leq Kn} E-crCkn$ is correct & definite & independent]</p> <p>2) if [E-inCkn, $\forall 1 \leq k \leq Kn \wedge 1 \leq n \leq N$ are ex- or implicitly lawfully disclosed]</p> <p>3) if [E-crCkn, $\forall 1 \leq k \leq Kn \wedge 1 \leq n \leq N$ are ex- or implicitly rationally specified]</p> <p><output CI(ETCI) is in 1. loop informally of structural correctness & as in 2. one mathematical></p>	<p>begin:</p> <p>then go on</p> <p>then go on</p> <p>then go on</p> <p>stop.</p>
<p>Incredibly: Several CAFC §101-decisions are based on its erroneous assumption that the ETCI at stake would meet these 3 (Supreme Court) requirements! [182]</p>	
<p>CBN(ETCI)'s Claim Construction, CC: <input ::= CI(ETCI) is in 1. loop informally of structural correctness & as in 2. one></p> <p>4) if [$\exists E-xcrC^{\#1} \in E-crCS^{T0}$ [622] i.e.: 'ETCI comprises an ${}^nPE T0 ::= E-crCS^{T0}$'</p> <p>5) if [$(\exists E-crC^{\#1} \in E-crCS^{ETCI \setminus T0}) \wedge H (\exists E-crC^{\#1} \in E-crCS^{T0})$ i.e.: 'ETCI's application uses $T0$ hierarchically']</p> <p>6) if [$\exists RatE-crC^{\#1} \in E-crCS^{ETCI \setminus T0} ; RatE-crC^{\#1} \rightarrow E-crCS^{T0}$ i.e.: 'ETCI is significantly more than $T0^d$']</p> <p>7) if [$\{ \{ E-xcrC^{\#1} \} = \{ \{ E-crC^{\#1}, E-crC^{\#1} \} \} = \{ \{ RatE-crC^{\#1} \} \} = 1$ i.e.: 'ETCI is mRNA(R,D)-mini-invasive & ${}^nPR(eemptive)$']</p> <p><output ${}^nCC(ETCI)$ is correctly proven PE in 1. loop informally & in 2. one mathematically></p>	<p>begin:</p> <p>then go on</p> <p>then go on</p> <p>then go on</p> <p>then go on</p> <p>stop.</p>

Box B: Thereby holds: test1)-3) are shared by §§112 & 101 and may imply IEM>0. For ETCIs, the Supreme Court implicitly requires unique properties – in classic SPL impossible^{1.d}), as there this uniqueness is lacking (due to any ETCI being model based). This is trivially evidenced by e.g. test6) – just as for test4)/5) & test7). 2.a)

In test4) the **x** means: 'is-of-preRat-transformation'^[622,621]; in 5) the **H** means: 'is-of-halt-in-hierarchy'^[278]; in 6) \rightarrow means: 'It is-not-an-ISL-ComBiNation, CBN'^[372] of E-crCS^{T0}; in test7) it means: ETCI 'is-of •minimal-invasivity-into-the-freedom-of-reseach & •non-preemptivity'. [626]

All in all: The Supreme Court's SPL-Framework \forall ETCIs implies its indispensable hard scientificity, which CAFC & USPTO grossly misunderstand^{1.f),2.b)} & hence replace it by their incomprehensible/inconsistent/ETCIs-patenting-incapable interpretation – that consequently contradicts the Supreme Court's required notional SPL-refinement.

^{2a} Of the 145 replies to the USPTO's invitation (dated 08.07.2021, for Congress's^[640,641] §101-PE dilemma) only 13 mention the notions 'virus / mutation', none the notion 'internal / external cause of MUT, IEM' – although by the Supreme Court's SPL-framework logically implied^[182].

These 13 replies still contradict the Supreme Court required SPL framework, due to CAFC's gross SPL framework misinterpretation^{1.g)}, thus rendering the SPL framework truly-mPhys^{1.d)}, i.e. incomprehensible^{1.d)}. By the same reason, none of these 145 replies recognized the automatableness of correctly drafting ETCIs' patent claims^[e.g.46] & of totally robustly PE-testing them – which likely had unfolded the Supreme Court's brilliance of §101 interpretation (not thought of at its creation).

These 3 notions are implicitly but logically required by the Supreme Court's 'Framework' alias §101/PE interpretation – yet unnoticed!

b Resolving the PE-problem rationally/logically by the CAFC's PE-definition is impossible, as shown in^{d)}. Alice defines 'patent-eligibility, PE' specification^{d)}, (Legend Box B) esp. on its page 7, its rest, & by its context in *KSR/Bilski/Mayo/Myriad/Biosig* – as transforming an **1.)** PE teaching 'T0' into a **2.)** true application 'A' of it, enabled by a **3.)** T0 independent inventive concept 'IC', comprised by or separated from A.

NOTE 2: Alice defines "ETCI" as <an nPE teaching T0, with its true application by A, & its T0-independent inventive concept IC>.

Most CAFC's/USPTO's created PE problems are caused by its/their much tighter notion of PE than the Supreme Court's one.

c An MB \forall SPL-ETCI uses in its PE test its ' ${}^nDNA \forall$ SPL-canonical' KR (**Box B**) – or their refined ' nDNA geometric' KR, or t its further refined KRs (see **Box A**), e.g. further refined ' nDNA chemical' KRs,, whereby most of these KR refinements usually are still unknown^[626].

The morphology & phenomenology of any ETCI is the same in all its KRs – holding also in any IEM sensitive isomorphism based on refining 1 or both KRs (no matter whether it is known or not) and for any 2 neighboring PIs (in any loop comparison). [626]

d NOTE 3: This ftn shows, •why test4)-7) are indispensable for guaranteeing that the FSTP-/PE-Test avoids delivering (irrationality) faults^[182]. No test4): 'Then ETCI is determined PE, otherwise it. (TEPoi)' comprises no natural phenomenon concept^[622].

" test5): TEPoi's alleged T0-application is none (by trivial reasons, e.g. as its application is not hierarchically using T0)^[622].

" test6): TEPoi is construable in T0^[372] – i.e. $RatE-crC^{\#1}$ does not add another dimension to T0, i.e. transform T0 into significantly more than T0.

" test7): TEPoi is \rightarrow too fast preemptive and/or \rightarrow by definition not minimally invasive into the realm of R&D's freedom^[626], as trivial or too volumin¹, •and passing test1)-7) is additionally necessary for IEM>0^[626,182].

\forall FSTP-mails reflect ongoing research (holding until^[182]) & hence work with slightly changing notions/layouts/....; e.g., $\forall E-crCs$ pre-/postfixed by indices, e.g. $\#...^o...^*$, are $\in E-crCS$. Thus, any PE ETCI is by its PE definition passing test4)-7) – here in SPLcanKR.

e At the NY FCBA 04.10.2017, Bench & Bar meeting^[432], the quoted CAFC judges unisono declared twice their aversion to rationality's clarity of thought. Their statements are here not repeated, as the organizer at the beginning of the event promised. Yet, their 2 comments on my 2 short statements about my scientific research of the Supreme Court's line of SPL-framework are telling much. Namely: •'... this may be meritorious elsewhere, but has nothing to do with jurisdiction' & •'nobody cares for rationality in legal decision making'.

Hence, CAFC's gross misinterpretation^{1.g)} of Supreme Court's required SPL-Framework is not surprising.

Partial Reference-List of FSTP-Emails⁺⁾

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[495] S. Schindler, B. Wittig: 'UC's vs Broad/MIT/Harvard's CRISP...R Patents', publ. 30.01.2019+	[635] U. Sahin: 'COVID-19 vacc. BNT162b1 elicits human antibody & TH1 T cell resp.', NATURE, 22.10.2020+
[500] S. Schindler: 'Andrei Iancu's § 101 Challenge, 14.11.2018	[636] T. Schlaake & A. Thess: 'Modif. RNA with Decr. Immunostim. Props.', CUREVAC, US Pat. Appl. 2021/...124 A1+
[619] S. Schindler: 'The Meaning of Any ETCI's Appl., its 'Inventive Con.', and, publ. 12.05.2020 ¹	[637] G. Claramella et al.: 'Nucleic Acid Vaccines', MODERNA TX, US Pat. Appl. 2021/... 467 A1+
[621] S. Schindler: 'Patent Business – Before Shake-up', pub. 2017 & lim. publ. 04.04.2021+	[638] ? Langedijk: 'Comp. & Meth. for Preventing & Treating SARS-COV-2', US Pat. Appl. 2021/...170+
[622] S. Schindler: 'The CAFC's ETCI Precedents is of Meta-Physical SPL ...', publ. XXXY.2022+	[639] K. Beguir, U. Sahin, et al.: 'Early Comp. Detec. of Potent. Hi Risk ...', InstaDeep, 27.12.2021+
[625] S. Schindler et al.: 'Generati math. mRNA Vacones. PART II', to be publ. in Q4/2022, too.	[640] T. Tilly & T. Cotton: 'Letter to USPTO', 22.03.2021+
[628] S. Schindler: this mail PART I, publ. 15.10.2022+	[641] T. Tilly:questions as to §101
[631] S. Schindler: 'An Easy Question About § 101', publ. 30.05.2021+	

+) The complete Reference-List is on www.FSTP-expert-system.com