

**Bolide impact and long- and short term
environmental change across the
Cretaceous-Paleogene boundary**

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**Bolide impact and long- and short term
environmental change
across the Cretaceous-Paleogene boundary**

Bolide-inslag en lange- en korte termijn milieuveranderingen
over de Krijt-Paleogeengrens heen

(met een samenvatting in het Nederlands)

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“Ex Libro Lapidum Historia Mundi”

Walter Alvarez

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CHAPTER I

General Introduction and Synopsis

I. Rationale

The Cretaceous-Paleogene (K-Pg) boundary mass extinction, ~66 million years ago, was one of the most devastating events in the history of life, marking the end of the dinosaur era (Bambach, 2006). It is generally known as the last of the ‘big five’ mass extinctions in Earth’s history (see Fig. 1; Newell, 1963; Raup and Sepkoski, 1982; Benton, 1995) and is now widely acknowledged to be related to the global environmental consequences of the impact of an asteroid with a diameter of ~10 km, at present day Chicxulub, Mexico (Alvarez et al., 1980; Smit and Hertogen, 1980; Schulte et al, 2010). Evidence for this impact e.g. consists of a worldwide ejecta layer at the K-Pg boundary transition, characterized by anomalous concentrations of iridium and other platinum group elements, with nickel-rich spinel bearing microkrystites and shocked quartz (Smit, 1999; Schulte et al., 2010) and the discovery of a large impact structure on the Yucatan peninsula in Mexico (Hildebrand et al, 1991). After decades of research, international scientific focus shifted from the reality of such impacts to the effects of impacts on the global environmental system and ecological and biological recovery after such a major environmental crisis (e.g., Brinkhuis et al., 1998; Galeotti et al., 2004; Kring, 2007).

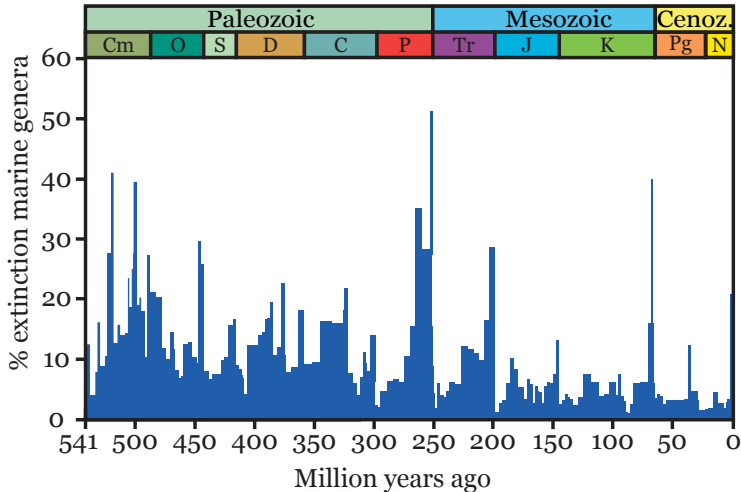


Figure 1

The genus extinction intensity, i.e. the fraction of marine genera that are present in each interval of time but do not exist in the following interval. The data are from Rohde and Muller (2005) and are based on the Raup and Sepkoski (1982). Courtesy of Robert A. Rohde.

An unique aspect of the K-Pg boundary is the timescale at which these events occurred. The K-Pg boundary catastrophe can be regarded as one of the most rapid events in the history of life. It likely involved a sequence of regional to global catastrophes, such as earthquakes, tsunamis, a so-called ‘fireball-stage’ with ensuing global wildfires, ozone layer destruction, severe acid rain and a global impact winter resulting from dust and sulphate aerosols that were ejected into the stratosphere (Kring, 2007). This impact dust was either directly emplaced in the stratosphere due to the force of the expanding impact-explosion plume (Melosh and Vickery, 1991), and/or transported by means of a hypothesized so-called ‘hypercanes’, super-hurricanes supposedly capable of injecting large amounts of aerosols in the stratosphere (Emanuel et al., 1995). All models predict a resulting

short-lived severe drop in global surface temperatures, the so-called 'impact winter'. The various scenarios suggest that this period, characterized by darkness and dramatic cooling, may have lasted anywhere between six months to more than a decade. Such a global impact winter would have perturbed the Cretaceous climate and likely represented a major stress factor for life on Earth. Therefore, it is expected to have been a key contributing element in the mass extinction at the K-Pg boundary

These short-lived catastrophes were followed likely by long-term consequences of the K-Pg boundary impact. CO₂ produced from Chicxulub target lithologies and the projectiles may be expected to have caused greenhouse warming once the dust, aerosols and soot particles settled (Kring, 2007), resulting in significant and rapid global climate change (Galeotti et al., 2004, Coxall et al., 2006). The crash of photosynthetic organisms at the K-Pg boundary and widespread destruction of vegetation likely slowed down drawdown of the CO₂ and, therefore, this post impact greenhouse phase may have lasted several tens of thousands of years at least (Brinkhuis et al., 1998; Kring, 2007).

Furthermore, the large-scale extinctions amongst primary producers must have caused rearrangements of the pelagic oceanic ecosystems, a major restructuring of global food webs and global carbon cycling (D'Hondt, 2005; Coxall et al., 2006). A collapse in the oceanic stable carbon isotope gradient between surface and bottom persisted for 1-3 million years (Zachos et al., 1989; Kump, 1991), likely reflecting a reduced/different carbon delivery to the ocean floor (D'Hondt et al., 1998; D'Hondt, 2005). It has been suggested that in the post-extinction ocean a smaller fraction of marine production sank to the deep waters (D'Hondt et al., 1998). This reduction in the organic flux to deep waters might be a consequence of the ecosystem reorganization that resulted from the mass extinction. A general absence of large pelagic grazers (such as macrozooplankton and fish) or a shift in dominance from grazers that create fecal pellets (fish) to grazers that do not (e.g., jellyfish) could have greatly reduced the packaging of biomass into the large particles that sank to the deep ocean (D'Hondt, 2005).

These global climatic and biotic effects of the K-Pg boundary bolide impact occurred superimposed on long-term background environmental changes unrelated to the impact event. Reconstructions of Cretaceous-Paleogene climates have resulted in a general picture of rather equable conditions, with a much reduced equator-to-pole temperature gradient than today. In these warm, equable climates, temperatures characteristic of the tropics extended into mid-latitudes and Polar regions experiencing temperate conditions (Huber et al., 1995; Clarke and Jenkyns, 1999; Huber et al., 2002; Donnadieu et al., 2006; Hay, 2008; Hollis et al., 2012; Hunter et al., 2013). One of the long-term (>100 kyr) climatic changes across the K-Pg boundary interval is climatic warming potentially related to volcanic outgassing during phases of extensive volcanism of the Deccan Traps Large Igneous Province in present-day India (Courtillet et al., 1986; Kucera and Malmgren, 1998; Olsson et al., 2001; Olsson et al., 2002). These eruptive phases are indicated by major shifts in osmium and strontium isotope records, consistent with increased basaltic weathering (Li and Keller, 1999; Olsson et al., 2002; Dessert et al., 2001).

The end-Cretaceous greenhouse world was characterized by high eustatic sea-levels, resulting in large epicontinental seas spreading on nearly all continents (Scotese et al., 2004; Müller et al., 2008; see Fig. 2). The late Maastrichtian to early Paleocene interval nevertheless appears to be marked by enigmatic long-term changes in relative sea level (Habib et al., 1992; Macleod and Keller, 1991; Adatte et al., 2002; Miller et al., 2005; Schulte et al., 2006; Kominz et al., 2008), resulting in varying expression and stratigraphic completeness of marginal marine K-Pg successions

(Macleod and Keller, 1991; Adatte et al., 2002), complicating accurate and complete portrayal of the climatic and biotic changes across the K-Pg boundary.

To understand the true extent of the K-Pg boundary impact-related environmental perturbations, the effects of the impact need to be disentangled from these ongoing, long-term environmental changes. However, although decades of K-Pg boundary studies have brought important information, studies detailed and quantified enough to elucidate possible impact-provoked global environmental change mechanisms, or to test various proposed aftermath scenarios are still lacking (Kring, 2007), as the documentation both impact-related as well as long-term environmental K-Pg changes is still scarce. Therefore, the three main goals of this thesis are to (1) document short-term (centennial/millennial) regional and global climatic, oceanographic and biotic changes following the K-Pg boundary impact, (2) document the ecological succession and long-term biotic recovery following the K-Pg boundary catastrophe and (3) present these changes in a context of long-term background environmental change.

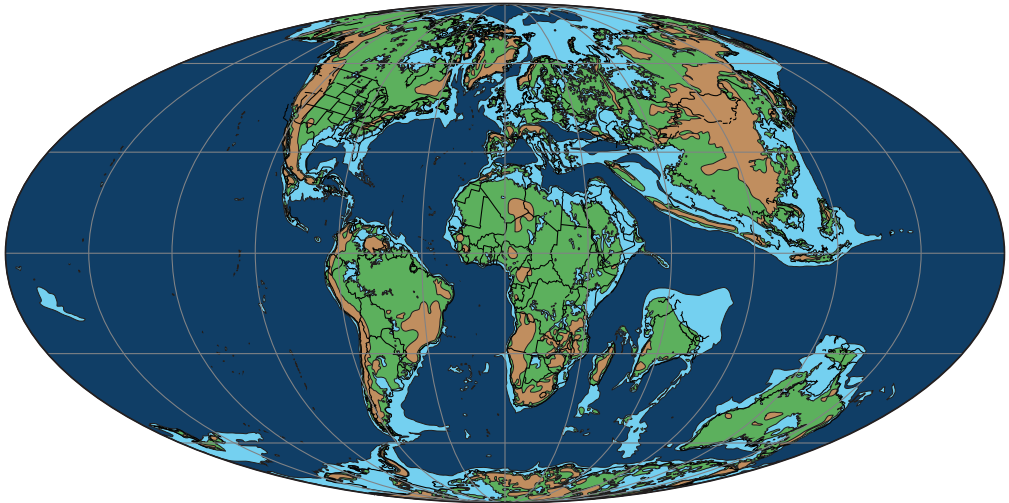


Figure 2

A paleogeographic reconstruction of the Earth during the latest Cretaceous-earliest Paleogene; modified after Scotese and Dreher (2012). Brown indicates plateaus and mountainous areas, green indicates lowlands, light blue indicates shallow waters and dark blue represents deeper, oceanic waters.

2. Approach

In the past decades, a variety of different biological and geochemical proxies have been applied in an attempt to further unravel the transient global changes and carbon cycle perturbation across the K-Pg boundary (Brinkhuis et al., 1998; Adatte et al., 2002a; Gardin, 2002; Hollis et al., 2003; Galeotti et al., 2004). Each of the applied proxies has its strengths as well as its weaknesses. Therefore, in this thesis a multi-proxy approach is applied, combining different tools and techniques.

While major extinctions amongst traditional proxy-carriers such as planktic foraminifera hamper accurate paleoenvironmental reconstructions across the K-Pg boundary, organic-walled cyst-producing dinoflagellates were hardly affected by the K-Pg crisis (Brinkhuis and Zachariasse, 1988). Brinkhuis et al. (1998) demonstrated that quantitative analysis of organic-walled cysts of

temperature-sensitive dinoflagellates may be applied in testing models of the environmental effects of the K-Pg impact. Records of migration of higher latitude taxa towards lower latitudes across the K-Pg boundary suggest that the K-Pg impact resulted in millennial scale oceanographic changes, likely related to a brief 'impact winter' phase. Yet, these migrations have so far not been confirmed by other studies and it is unknown how and if they might be related to climate fluctuations independent of the impact event. Furthermore, previous dinocyst studies suggest a subsequent increase in abundances of lower latitude dinoflagellate cysts just above the K-Pg boundary, interpreted to indicate >10 Kyr of marked climatic warming following the K-Pg boundary impact winter (Brinkhuis et al., 1998). Regionally, however, such signals may have been dampened by the longer termed effect of the initial cooling resulting in sustained declining surface and intermediate water temperatures as argued by Galeotti et al. (2004). Previous marine palynological studies also portray enigmatic sea level changes across the K-Pg boundary interval (e.g. Habib et al., 1992), showing conspicuous lowering of sea level across the boundary, and marked transgression shortly after, resulting in varying expression and stratigraphic completeness of marginal marine K-Pg successions (Macleod and Keller, 1991; Adatte et al., 2002), complicating accurate and complete portrayal of the climatic and biotic changes across the K-Pg boundary. However, the degree of stratigraphic completeness is now resolvable using combined dinocyst and planktic foraminiferal biostratigraphies.

From the above it becomes clear that quantitative marine palynology can serve to recognize and document K-Pg boundary environmental perturbations, as well as ongoing 'background' environmental change, including the global sea level history across K-Pg boundary. It is particularly effective when dealing with the critical, relatively nearshore settings, characterized by high accumulation rates, which likely yield most relevant information pertaining to e.g., sea level dynamics and surface salinity, temperature and productivity, and potential leads and lags between them. Therefore, in this thesis we focus on K-Pg boundary records in ancient shallow marine settings, as these are generally characterized by high sedimentation rates and therefore allow a high temporal resolution (e.g. Brinkhuis et al., 1998).

Similar to studies using stratigraphically expanded sections of the Paleocene-Eocene Thermal Maximum (Sluijs et al., 2008), (sub-)millennial scale ecological and climatological dynamics can be resolved employing sections across the K-Pg boundary interval. Yet, very few studies of this type have been carried out across the K-Pg boundary so far, leading to a poor global coverage. In addition, although dinocyst analysis can reveal distinct trends in environmental parameters, quantification of such trends and values is not possible using only palynology. Organic geochemical techniques can, however, provide valuable additional insights in biological and environmental changes across the K-Pg boundary (e.g. Yamamoto et al., 1996) and enable the quantification of these changes. Recently, a novel technique has been developed for reconstructing absolute mean annual sea surface temperature based on distributions of Glycerol Dialkyl Glycerol Tetraether (GDGT) lipids derived from pelagic archaea in the ocean: the TEX₈₆ index (an index of tetraethers consisting of 86 carbons; Schouten et al., 2002). This organic biomarker technique is based on the analysis of the distribution of archaeal tetraether membrane lipids in sediments (Schouten et al., 2002) and has been successfully applied in deep time (e.g. Jenkyns et al., 2004; Forster et al., 2007), notably when integrated with marine palynology (e.g., Sluijs et al., 2006; Van Helmond et al., 2013). Moreover, organic biomarker indices such as the Branched and Isoprenoid Tetraether (BIT) index can also be employed to reconstruct relative sea level changes (e.g., Menot et al., 2006; Sluijs et al., 2008). However, no high-resolution GDGT-based studies have previously been carried out across the K-Pg boundary. Combining palynological and organic geochemical analyses

can therefore substantially improve our understanding of short- and long term biological and environmental changes across the K-Pg boundary.

3. Synopsis

In this thesis we thus employ mainly ‘organic tools’, i.e., quantitative dinocyst analysis (marine palynology) and GDGT-based proxies to provide new insights into the long- and short term climatic and biotic effects of the bolide impact at the Cretaceous-Paleogene boundary.

Only by employing sedimentary records that are both stratigraphically expanded *and* stratigraphically complete, we can substantially improve our understanding of short-term biological and environmental changes across the K-Pg boundary and allow a detailed discrimination between impact-induced and other, long-term changes. It is essential to provide a truly global coverage of the environmental history across the impact horizon. In our quest finding suitable sites, we also focused on regions where K-Pg sites are rare, so far. Therefore, in **Chapter 2** a new K-Pg boundary locality is presented, providing a geochemical and paleontological characterization of a new, stratigraphically complete K-Pg boundary site in the Mudurnu-Göynük Basin in Turkey, representing a geographic region with poor coverage. This chapter discusses the calcareous nannofossil, planktic foraminiferal and organic-walled dinoflagellates cyst biostratigraphy of the K-Pg boundary and the classical geochemical K-Pg boundary markers; siderophile trace elements, including Ir and other platinum group elements (PGEs) and stable carbon isotopes.

In **Chapter 3** the short-term climatic effects of the K-Pg boundary impact are investigated using a key, stratigraphically expanded section at mid latitudes. In this chapter we are able to reconstruct sea surface temperature changes across the K-Pg boundary interval at an up to now unsurpassed temporal resolution, using TEX₈₆ paleothermometry of sediments from the Brazos River section, Texas, USA. We document a substantial (i.e. >7 degrees C) decline in sea surface temperature during the first months to decades following the impact event. We interpret this cold spell to reflect the first direct evidence for the effects of the formation of dust and aerosols by the impact and their injection in the stratosphere, blocking incoming solar radiation. This ‘impact winter’ was likely a major driver of mass extinction because of the resulting global decimation of marine and continental photosynthesis.

In **Chapter 4**, the short-term (millennial) biological consequences of the K-Pg boundary climate change are evaluated. We performed a high-resolution marine palynological study on a closely spaced sample set from the Elles section in Tunisia, in order to generate a paleoenvironmental and paleoclimatic record across the K-Pg boundary to allow verification and refinement of environmental changes earlier reported from the nearby El Kef K-Pg boundary Global Stratotype Section and Point (GSSP). The well-preserved and diverse dinocyst assemblages at Elles show strong fluctuations similar to the El Kef record. The dinocyst record from Elles, therefore, confirms the earlier recorded signals, showing regionally consistent rapid changes. These records show a mild, gradual cooling trend in the latest Maastrichtian and the onset of relative sea level fall. Within the immediate post-extinction interval, the first millennia following the impact, dinocyst assemblages reveal multiple incursions of higher-latitude dinocyst species, implying repeated pulses of cooling. These results signify that the earliest Danian climatic and environmental conditions were relatively unstable across the Tunisian shelf.

In **Chapter 5** the short- and long-term biological recovery following the K-Pg boundary catastrophe is further evaluated. In order to enable reconstructions of pre- and post-impact marine environmental conditions across the K-Pg boundary using microfossils, focus should be on those groups which did not experience extinction, like benthic foraminifera and organic-cyst

producing dinoflagellates (dinocysts). Therefore, in this chapter we integrate dinocyst and benthic foraminiferal records of the recently discovered, stratigraphically expanded Okçular and Göynük North sections in Northwestern Turkey to reveal how the K-Pg boundary biotic crisis affected surface and bottom conditions, in a Tethyan-wide context. Our results indicate that during the initial post-impact phase, the collapse of export productivity likely resulted in a recycling of nutrients in the photic zone. This caused lower nutrient availability on the sea floor and higher nutrient availability for the earliest Paleocene planktic community.

In **Chapter 6**, the evidence for a so-called ‘impact winter’ following the K-Pg impact is verified and presented in the context of long-term climate change. To arrive at this, we employed high resolution marine palynology and the TEX₈₆ sea surface temperature (SST) proxy on four stratigraphically expanded cores from the New Jersey Shelf, eastern USA, spanning the K-Pg boundary. This new record reveals long-term climate change related to Deccan Traps volcanism and confirms the brief impact winter phase immediately following the K-Pg impact.

In **Chapter 7**, the enigmatic long-term sea level changes across the K-Pg boundary interval are investigated. In this chapter, we compare sedimentological, palynological and organic geochemical records from some of the most well-known marginal marine K-Pg boundary sections worldwide: Elles El Kef (Tunisia), Stevns Klint (Denmark), New Jersey (USA), Brazos River (USA), Mid-Waipara River (New Zealand) and Bajada del Jagüel (Argentina). Collectively, these records point towards globally synchronous, long term sea level change. The evidence suggest that a synchronous global maximum flooding occurred in the latest Maastrichtian, followed by a relatively strong regression across the K-Pg boundary, reaching a lowstand in the early Danian. This episode is followed by a marked marine transgression globally. These long-term globally synchronous relative sea level changes are unrelated to the K-Pg impact. These fluctuations are matched by available benthic $\delta^{18}\text{O}$ records, suggesting a link between temperature and sea level.

In summary, this thesis provides a detailed image of the long-term environmental changes across the K-Pg boundary interval and of the superimposed, regional and global climatic and biotic effects of the K-Pg boundary bolide impact. This impact was one of the most devastating events in the history of life, resulting in a sequence of regional and global catastrophes, including tsunamis, a ‘fireball-stage’ and a subsequent global impact winter, resulting from dust and sulphate aerosols that were ejected into the atmosphere. This impact winter, characterized by darkness and cooling, likely was a major driver of mass extinction because of the resulting global decimation of photosynthesis. The extinctions resulted in decreased export of organic matter from the photic zone to the sea floor and more nutrients becoming available for the surviving phytoplankton groups, including dinoflagellates. The evolutionary recovery of phyto- and zooplankton communities took hundreds of thousands to a few million years, showing that the rapid and short-lived K-Pg boundary disaster had exceptionally long-lasting consequences. The impact and resulting extinctions caused a major perturbation of the global carbon cycle and significant global climate change. Long-term climate changes nevertheless differed substantially between sites due to differences in oceanographic settings. These climatic and biotic consequences of the K-Pg boundary impact occurred superimposed on a long-term sea level regression and global cooling trend unrelated to the impact.

CHAPTER II

Geochemical and paleontological characterization of a new K-Pg Boundary locality from the Northern branch of the Neo-Tethys: Mudurnu – Göynük Basin, NW Turkey

Abstract

A Cretaceous-Paleogene (K-Pg) succession is studied in detail in the Mudurnu-Göynük basin in northwestern Turkey. To characterize the K-Pg transition in this basin, two stratigraphic sections were measured and sampled at high resolution: the Okçular and the Göynük North sections. These sections were analysed for siderophile trace elements, including Ir and other platinum group elements (PGE: Ru, Rh, Pd, Ir, Pt), bulk stable carbon isotopes, calcareous nannofossils, planktic foraminifera and organic-walled dinoflagellate cysts (dinocysts). In this basin, the upper Maastrichtian consists of monotonous grey mudstones, mostly intercalated with turbidites and the basal Danian is characterised by grey mudstones, overlain by a rhythmic alternation of limestones and mudstones. The K-Pg boundary is marked by a thin, reddish ejecta layer, characterized by an enrichment of PGE and an abrupt negative shift in bulk carbonate $\delta^{13}\text{C}$. This ejecta layer is followed by 15 – 17 cm of thick darker, clayey mudstone, the so-called boundary clay. The upper Maastrichtian to lower Danian interval displays a succession of biostratigraphic events, such as the globally recognized spike of the dinocyst taxon *Manumiella druggii* in the Maastrichtian, followed by the extinction of Cretaceous planktic foraminifera at the K-Pg boundary, and a subsequent rapid succession of First Occurrences (FOs) of dinocysts, such as *Senoniasphaera inornata*, *Membranilarnacia? tenella* and *Damassadinium californicum* and planktic foraminifera, including *Parvularugoglobigerina eugubina* and *Subbotina triloculinoidea* in the lower Danian. Overall the sedimentological and paleontological data suggest that the studied sites in the Mudurnu-Göynük basin were deposited under normal marine conditions, likely in an outer neritic to upper bathyal environment. Our geochemical and biostratigraphic characterization of the K-Pg boundary transition in the Mudurnu-Göynük basin provides a new K-Pg boundary record in the Northern branch of the Neo-Tethys and allows a detailed comparison with K-Pg boundary sections worldwide.

I. Introduction

The Cretaceous-Paleogene (K-Pg) boundary, ~66 million years ago, is characterized by one of the largest mass extinction events in the Phanerozoic (Alroy, 2008; Bambach, 2006). This biotic transition has been known for more than a century (e.g. Phillips, 1860; Hancock, 1967) but the causes for the extinctions have been debated over for a long time. The discovery of anomalously high concentrations of iridium (Ir) and other platinum group elements (PGE) at the K-Pg boundary transition provided the first evidence for an extraterrestrial cause (Alvarez et al., 1980; Smit and Hertogen, 1980). Subsequently, a worldwide ejecta layer with Ni-rich spinel bearing microkrystites and shocked quartz was also found at the K-Pg boundary (Smit, 1999) and a large impact structure (Chicxulub) dating from the K-Pg transition was discovered on the Yucatan peninsula in Mexico (Hildebrand et al., 1991). Despite these multiple lines of evidence, alternative hypotheses for the cause of this mass extinction have been proposed over the last decades. Generally, these alternatives focus on the association of the K-Pg boundary either with multiple impacts and/or with large-scale volcanism during the latest Cretaceous; in the form of the Deccan Traps Large Igneous Province (Keller et al. 2012; Chenet et al., 2009).

Nowadays, there is broad consensus that the K-Pg boundary mass extinction event is related to the impact of a large extraterrestrial body at Chicxulub (Schulte et al., 2010). This impact was one of the most devastating events in the history of life, as it resulted in a sequence of regional and global catastrophs, such as earthquakes, tsunamis, a so-called 'fireball-stage' with ensuing global wildfires, ozone layer destruction, severe acid rain and a global impact winter resulting from dust and sulphate aerosols that were ejected into the atmosphere (Kring, 2007; Vellekoop et al., 2014). In addition, water and CO₂ were produced from Chicxulub target lithologies and the projectile, which could have caused greenhouse warming after the dust, aerosols and soot settled (Kring, 2007). The impact has also been suggested to have caused a major perturbation of the global carbon cycle and significant global climate change (Galeotti et al., 2004, Coxall et al., 2006; Vellekoop et al., 2014).

A unique aspect of the K-Pg boundary catastrophe is the timescale at which these events occurred. The K-Pg boundary catastrophe can be regarded as one of the most rapid events in the history of life. Although numerous studies provide evidence for the K-Pg boundary impact, its consequences for the global carbon cycle are still under debate (e.g. Coxall et al., 2006). Especially the fast, millennial-scale biotic and climatic responses to this rapid event are poorly understood. Only extensive study of the global sedimentary and fossil record can substantially improve our understanding of important biological and environmental changes across the K-Pg boundary, allowing a better discrimination between impact-induced and other, continuous changes.

In the past decades, a variety of different proxies has been applied in an attempt to further unravel the transient global changes and carbon cycle perturbation across the K-Pg boundary (Brinkhuis et al., 1998; Adatte et al., 2002a; Gardin, 2002; Hollis et al., 2003). Many of these studies were focused on specific regions with abundant sedimentary records of the K-Pg boundary transition. Two of these regions that have been studied intensively are Northern Europe, i.e. the 'Boreal' paleogeographic region (e.g. Denmark, The Netherlands, Poland), characterized by a temperate climate at the time of impact, and the Mediterranean, i.e. the Western Tethys (e.g. Tunisia, Southern Spain, Israel), characterized by a subtropical climate during the K-Pg. Several studies have shown that the K-Pg boundary event resulted in migrations of planktic and benthic biota between these regions, likely signifying strong climatic responses to the bolide impact (Brinkhuis et al., 1998; Galeotti and Coccioni, 2002). The exact extent of these migrations is nevertheless poorly understood because only few records are available from the transitional zone

between the 'Boreal' and Western Tethys paleogeographic regions. An example of a region that is within this transitional zone is the northern branch of the Neo-Tethys. Unfortunately, only few localities are available in this region and most of these are characterized by a condensed boundary interval or hiatus (e.g. Adatte et al., 2002b; Gedl, 2004; Egger et al., 2009), inhibiting high-resolution studies. This signifies the need for additional K-Pg boundary records in the northern branch of the Neo-Tethys. Amongst the potential regions for such new high-resolution records is the Mudurnu-Göynük Basin in the Central Sakarya Region, Turkey. Recently, a well-preserved K-Pg boundary transition was discovered in this basin, which is described for the first time in this paper.

2. Geological Setting

The study area is located in the Mudurnu – Göynük Basin in the Central Sakarya Region, Turkey (Fig. 1). Given its key location in reconstructing the regional geological history, and the presence of outcrops of both Paleo- and Neo-Tethys and continuous successions from the Jurassic to the Miocene, this region has attracted considerable attention since the 1930's (e.g. Foley, 1938; Stchepinsky, 1940; Şengör and Yılmaz, 1981; Göncüoğlu et al, 2000; Yılmaz et al, 2010). At the beginning of the Jurassic, rifting started on the Sakarya continent – the continent which was bound by the Intra-Pontid Ocean to the north and the Izmir-Ankara Ocean, i.e. the Northern Branch of the Neo-Tethys, to the south – and continued till the Upper Cretaceous (Şengör and Yılmaz, 1981; Saner, 1980). The area became a fore-arc basin in the Turonian – Santonian due to northward subduction of the northern branch of Neo-Tethys (Saner, 1980).

The sedimentary succession of the basin starts on pre-Jurassic metamorphic basement rocks with Lower Jurassic volcanic andvolcanoclastic deposits (Şengör and Yılmaz, 1981) and continues with mainly shelf and pelagic carbonates with occasional turbidites until the Late Cretaceous (Yılmaz, 2008; Yılmaz et al, 2010, Altiner et al, 1991; Fig. 1). In the Late Cretaceous-Danian most of the basin was characterized by slope and basinal deposits (the Yenipazar and Taraklı formations; Saner, 1980; Altiner et al, 1991; Yılmaz et al, 2010).

An Albian-Campanian age was assigned to the Yenipazar Formation (Saner, 1980). It comprises mainly pelagic carbonates within the Albian-Santonian interval, but also turbiditic-volcano-turbiditic successions in the Cenomanian-Campanian (Saner, 1980; Altiner et al, 1991; Yılmaz et al, 2010). The Yenipazar Formation gradually passes to the Taraklı Formation, which is dominated by mudstones with occasional turbiditic sandstones and thin limestone/marl beds. In the study area these deposits represent the late Campanian, Maastrichtian and Danian. The studied Okçular and Göynük North sections comprise the Yenipazar and Taraklı formations. Superimposed on the Taraklı Formation is the Selvipınar Formation, which is characterized by shallow marine/reefal limestones and usually interpreted to have a middle Paleocene age (Şeker and Kesgin, 1991; Ocakoglu et al, 2007; Ocakoglu et al, 2009).

Across the Mudurnu-Göynük basin, the Taraklı Formation displays a very typical succession of alternating mudstones and limestone beds, in particular in the basal 20 m of the Danian succession. This succession comprises the K-Pg boundary transition and can be traced across the entire basin, over more than 150 km. This succession was examined in detail in two stratigraphic sections; the Okçular section (40°23'20.74"N, 30°59'23.04"E), measuring a thickness of 720 cm, and the Göynük North section (40°24'40.84"N, 30°46'42.68"E), measuring a thickness of 400 cm.

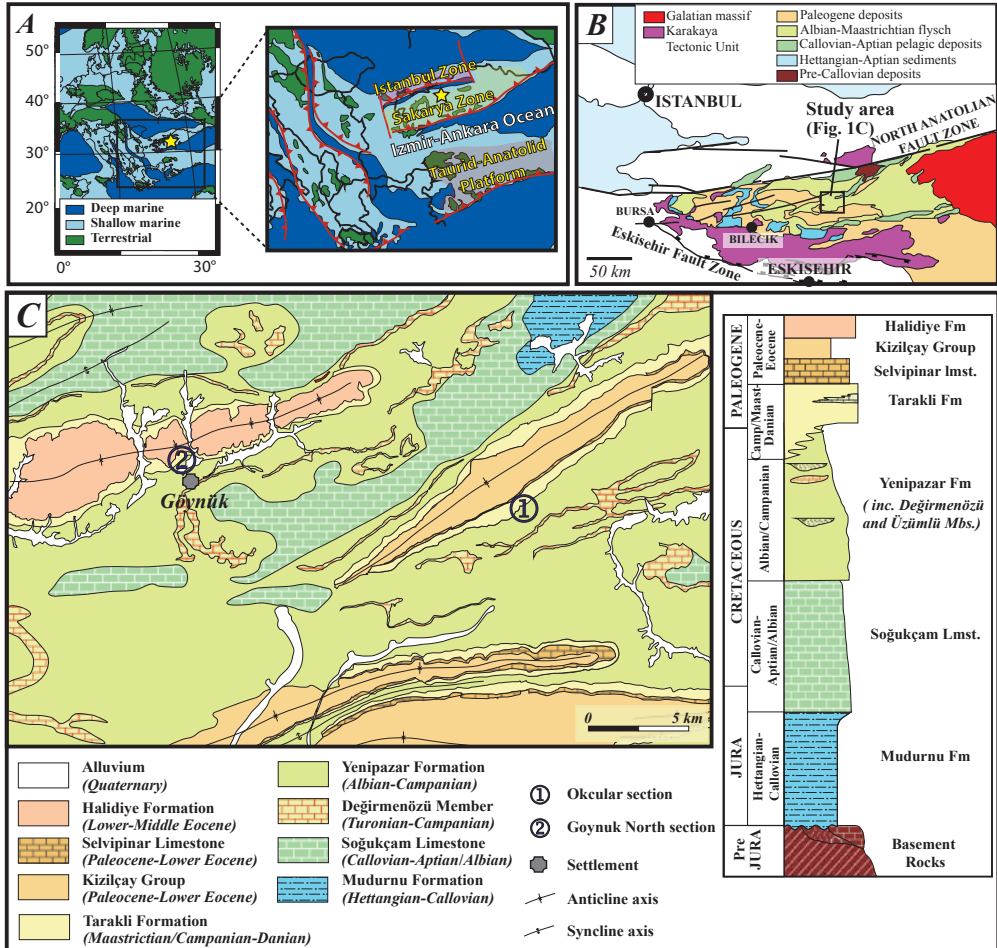


Figure 1

The genus extinction intensity, i.e. the fraction of marine genera that are present in each interval of time but do not exist in the following interval. The data are from Rohde and Muller (2005) and are based on the Raup and Sepkoski (1982). Courtesy of Robert A. Rohde.

3. Material and methods

For this study, three different sample sets have been used. The Okçular section was initially sampled in 2006 for a basic stratigraphic pilot study with a m-scale resolution on a wider time span and after the K-Pg boundary succession was identified in this section, this interval was subsequently logged and sampled in more detail (mostly in dm-scale) in 2010. To increase the sample resolution at Okçular and identify additional K-Pg boundary sections in the basin, a third field campaign was carried out in 2011, involving high-resolution sampling of the Okçular and Göynük North sections (mm to cm-scale). At both localities the K-Pg boundary interval was sampled at a 1-2 cm resolution to attain a high temporal resolution. At the Okçular section the interval from 100 cm below the K-Pg boundary to 160 cm above the K-Pg boundary was sampled continuously. The samples from both sections were split for micropaleontological, palynological, stable carbon

isotope and PGE analyses. For the age control of the Okçular and Göynük North sections different fossil groups were investigated. Low-resolution biostratigraphy using calcareous nannofossil and high-resolution biostratigraphy using planktic foraminifera and dinocysts were used to generate a detailed biostratigraphy for both sections. Distribution of samples positions throughout the studied sections is presented in the Figure 2.

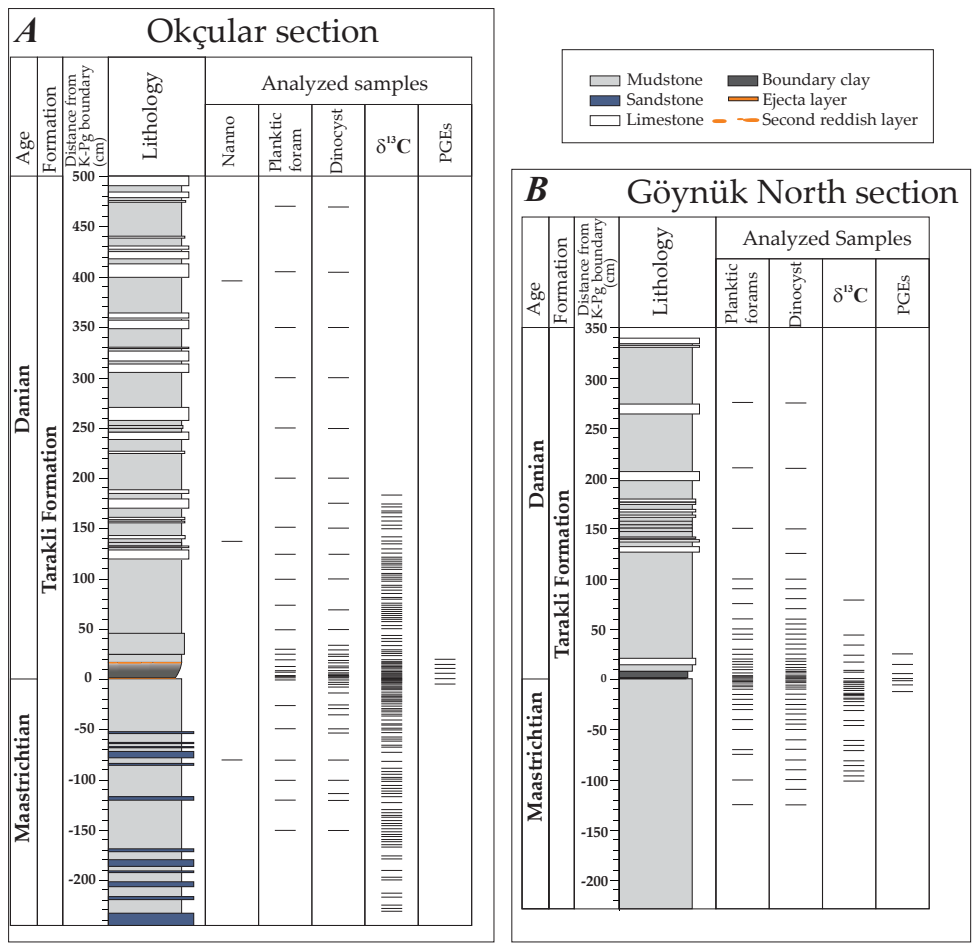


Figure 2. Lithology and sample positions for calcareous nannoplankton, planktic foraminifera, palynological, bulk carbon isotope and Platinum Group Elemental analyses on the Okçular and Göynük North sections.

The bulk carbonate carbon isotopic composition, determined for 411cm of the entire 720cm thick Okçular section was derived from 146 samples with a sample interval ranging between 1mm and 3cm. For the siderophile element determination, 10 samples were collected from the K-Pg boundary-bearing 80 cm of the Okçular section, but the PGE analysis focused on only 6 of these. The isotopic signature of the Göynük North Section is represented by fewer samples than the Okçular section. The 180 cm of the Göynük North section comprises 34 samples (with sample

spacing between 2 and 15 cm) for isotopic analysis while 7 samples were collected for siderophile element analysis of the 45 cm thick K-Pg boundary-bearing interval (Fig. 2).

3.1 Palynology sample preparation

A total of 48 samples from the Göynük North section and 45 samples from the Okçular section were processed following standard palynological processing techniques of the Laboratory of Palaeobotany and Palynology (Houben et al., 2011). Briefly, approximately 10 gram of each sample was crushed, oven dried (60°C), weighted and a known amount (10679, Standard Deviation 5%) of *Lycopodium clavatum* spores were added for quantification purposes. The samples were then treated with 10% HCl to remove carbonate components and 40% HF to dissolve the siliceous components. No heavy liquid separation or oxidation was employed. After each acid leaching step, samples were washed with water and centrifuged or settled for 24h and decanted. The residue was sieved over nylon mesh sieves of 250 µm and 15 µm and agglutinated particles or residue were broken up applying 5 minutes of ultrasound. From the residue of the 15-250 µm fraction, slides were made on well-mixed, representative fractions by mounting one droplet of homogenised residue and adding glycerine jelly. The mixture was homogenised and sealed. All slides are stored in the collection of the Laboratory of Palaeobotany and Palynology, Utrecht University.

For the present study, ~25-30 samples per site were studied for palynology. Palynomorphs were counted up to a minimum of 300 dinocysts. The taxonomy of dinocysts follows Fensome and Williams (2004), unless stated otherwise. A species list with taxonomic notes can be found in Supplementary Materials.

3.2 Planktic foraminifera preparation

The samples of the pilot study on the initial Okçular section were processed at the Middle East Technical University, whilst additional Göynük North and Okçular samples were processed at KU Leuven for foraminiferal studies, following standard micropaleontologic procedures. Rock samples were dried in a stove at 60°C for at least 24 hours. Depending on sample size, 4 to 60 grams of dry rock were soaked in a soda solution (50g/l Na₂SO₄). If necessary, the tenside Rewoquat was used to disintegrate strongly lithified samples. After disintegration, each sample was washed over 2 mm and 63-µm sieves. The dry residues were further sieved into three fractions: 63-125 µm, 125-630 µm and >630 µm. The two smaller fractions were intensively scanned for biostratigraphic marker taxa.

3.3 Calcareous nannofossil preparation

For the calcareous nannofossil pilot study on the Okçular section, the samples were processed at the Università degli Studi di Padova, Italy, following standard processing techniques for calcareous nannofossil analysis.

3.4 Siderophile elements

The samples were prepared following the procedures described in Goderis et al. (2013). All samples weighed between approximately 7 and 27 g, although sample masses of around 15 g were preferred to avoid nugget effects. The bulk rock samples were fragmented into smaller pieces, ground to powder with a corundum ball mill and thoroughly homogenized.

The concentrations of Cr, Co, and Ni were determined by ICP-MS, after acid digestion of approximately 100 mg of sample at Ghent University (Goderis et al., 2013). Each solution was measured twice and the mean concentrations are given in Supplementary Materials. Accuracy was assessed by analysis of certified reference materials BE-N (basalt; CRPG-CNRS, Nancy, France),

PM-S (microgabbro; CRPG-CNRS), DNC-1 (dolerite; United States Geological Survey, USGS), and WPR-1 (peridotite; Canadian Certified Reference Material Project, CCRMP).

The concentrations of the PGE and Au were determined via a nickel-sulfide (NiS) fire assay sample preparation technique combined with ICP-MS, following the procedure described in detail in Goderis et al. (2013). The preferred use of large sample masses and external calibration versus a calibration curve ensures good analytical accuracy and reproducibility, relatively low limits of detection and quantification and simultaneous measurement of all PGE (except Os that volatilizes during the procedure applied). All solutions (of ~10 ml) obtained after NiS fire assay pre-concentration were analyzed twice for their PGE content by ICP-MS on separate measuring days. Next to the reference material TDB-1 (diabase) and WPR-1 (altered peridotite) from the CCRMP (certified and recommended; Govindaraju, 1994; Meisel and Moser, 2004), a spinel-bearing serpentinite UB-N from the Vosges Mountains in France that is distributed by the CRPG-CNRS (Nancy, France) for major and trace element analysis but characterized for PGEs (Meisel and Moser, 2004) and a K-Pg boundary ejecta layer at Stevns Klint (SK10) containing 34.7 ± 1.2 ng/g Ir (1s uncertainty) determined by several international laboratories applying neutron activation analysis (NAA) were used for method validation. Calculated uncertainties and values determined for reference materials can be found in Goderis et al. (2013).

3.4 Stable isotopes

Stable carbon isotope analyses were conducted on bulk carbonate samples. The clean surfaces of rock slabs were drilled with a dentist drill to obtain ~250 µg of powdered sample. Measurements of these samples were performed in the stable isotope laboratory of the department of Earth and Life Sciences at the VU University Amsterdam. Samples were analysed on a Thermo Finnigan Delta+ mass spectrometer equipped with a GASBENCH II preparation device. Approximately 30 microgram of CaCO₃ sample, placed in a He-filled 10 ml exetainer vial was digested in concentrated H₃PO₄ at a temperature of 45 degrees Celsius. Subsequently the CO₂-He gas mixture was transported to the GASBENCH II by use of a He flow through a flushing needle system. In the GASBENCH, water was extracted from the gas, by use of NAFION tubing, and CO₂ was analysed in the mass spectrometer after separation of other gases in a GC column. Isotope values are reported as δ¹³C relative to V-PDB. The reproducibility of routinely analysed lab CaCO₃ standards is better than 0.1 per mille (1SD). Results are provided in Supplementary Materials.

4. Sedimentology

In the Mudurnu-Göynük Basin, the upper Maastrichtian and lower Danian are represented by two different lithological patterns. The upper Maastrichtian is typically characterized by grayish hemipelagic mudstones/siltstones, which are occasionally intercalated by thin turbiditic sandstone beds in the southeastern part of the basin. The turbiditic sandstones reach the K-Pg boundary, but do not occur in the Danian succession. The lower Danian is characterized by an interval of 30-50 m of rhythmic alternations of fine-grained limestones and carbonate-rich mudstones throughout the basin. In between these two distinct lithological packages, the K-Pg boundary (confirmed by the palaeontological and geochemical data given below) is marked by a 2-3 mm thick reddish clay layer (ejecta layer here after), which is typically overlain by 15 – 17 cm thick darker, clayey mudstone.

4.1 The Okçular section

The studied Okçular section covers 250 cm below and 470 cm above the reddish layer (Fig. 2). The 190 cm of upper Maastrichtian of the section is represented by an alternation of turbiditic

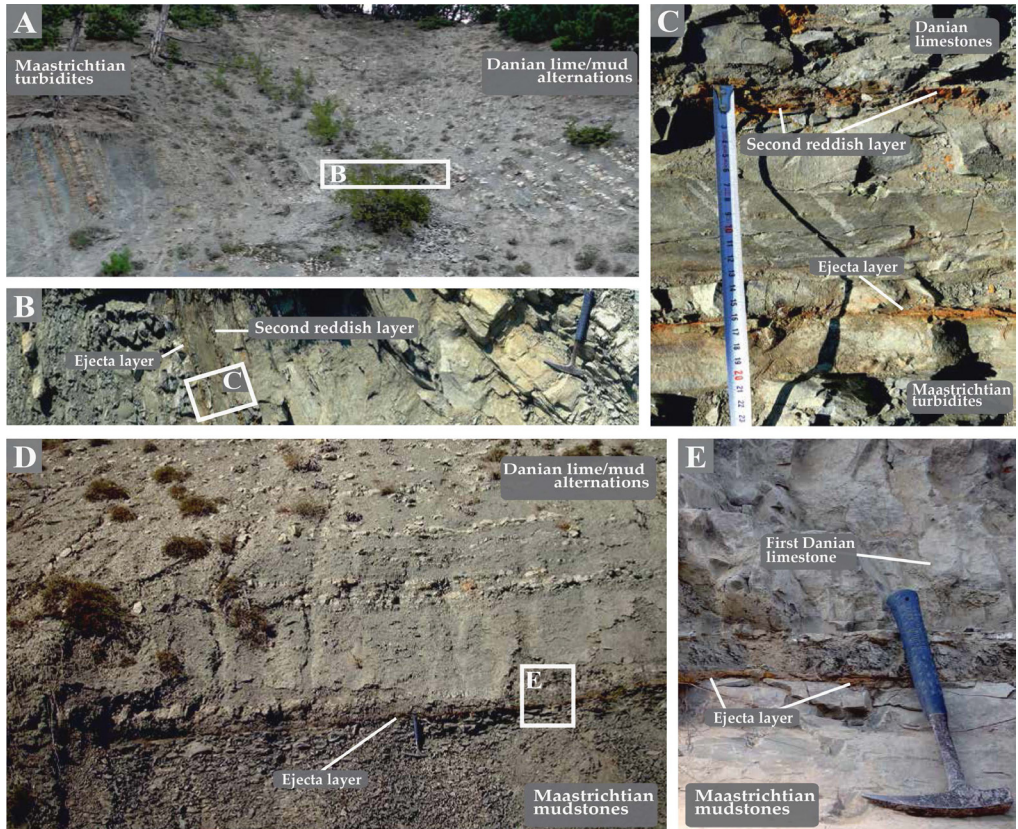


Figure 3.

Photographs of the outcrops of the K-Pg boundary interval. In the general (A, B) and detail views (C) of the K-Pg boundary in the Okçular section the ejecta layer is distinctive. The lowermost Danian is represented by darker clayey mudstone on top of a laterally continuous reddish ejecta layer, which, in Okçular section, is overlain by a second reddish layer (C). The ejecta layer at the Göynük North section (D). Lowermost Danian dark clay layers are overlain by lighter coloured mudstone with higher carbonate content (E) at the Göynük North section.

sandstones with grayish mudstones (Figs. 2 and 3A). The upper 55 cm of the Maastrichtian is devoid of sandy beds (Fig. 3B), although in an identical parallel section 400 m further west, turbidites occur up to 20 cm below the K-Pg boundary. The topmost Maastrichtian muds (up to 1 cm below the ejecta layer) contain well preserved complete aragonitic bivalves and ammonite fragments.

The lowermost Danian (basal 17 cm) is represented by darker clayey mudstone on top of the reddish ejecta layer. At 17 cm above the ejecta layer another reddish, iron-rich layer is present (Fig. 3C). This second layer also has a thickness of 2-3 mm, sharp bottom and top boundaries, but is slightly less continuous than the ejecta layer. The thickness of the dark clay layer in between two layers (17 cm) appears constant for at least 20 m at the outcrop.

The first Danian carbonate-rich mudstone bed, which is 16 cm thick, appears at 120 cm above the ejecta layer. From this level, the Okçular section continues with rhythmic limestone-mudstone alternations (Fig. 3A).

4.2 The Göynük North Section

The studied Göynük North section covers 125 cm below and 275 cm above the K-Pg Boundary (Fig. 2). Unlike the Okçular section in the eastern part of the Mudurnu – Göynük Basin, the Göynük North section does not contain distinctive turbiditic sandstone beds in the Maastrichtian. However, Maastrichtian mudstones are occasionally more silty, possibly the more distal equivalents of the turbidites in the Okçular section.

At the Göynük North section the K-Pg boundary is also represented by a laterally continuous 2-3 mm thick reddish ejecta layer (Figs. 3D and 3E). This thin ejecta layer is overlain by 16 – 17 cm of thick darker clay layer which becomes less argillaceous upwards, similar to the Okçular section. On top of the dark boundary clay, a lighter coloured mudstone with high carbonate content is present. This 6-7 cm thick limestone bed is a prominent feature in the field and can be traced throughout the area. This bed is overlain by approximately 1 meter of grey mudstones. Higher up in the section, a rhythmic alternation of limestones and mudstones appears, similar to the Okçular section.

5. Biostratigraphy

The initial biostratigraphic assessment of the longer Okçular section was based on a study using calcareous nannofossils and planktic foraminifera based on a low-resolution sampling (Ocakoglu et al., 2007, 2009; Acikalin 2011). The biostratigraphical framework was later improved through a high-resolution analyses of planktic foraminifera and organic-walled dinoflagellate cysts.

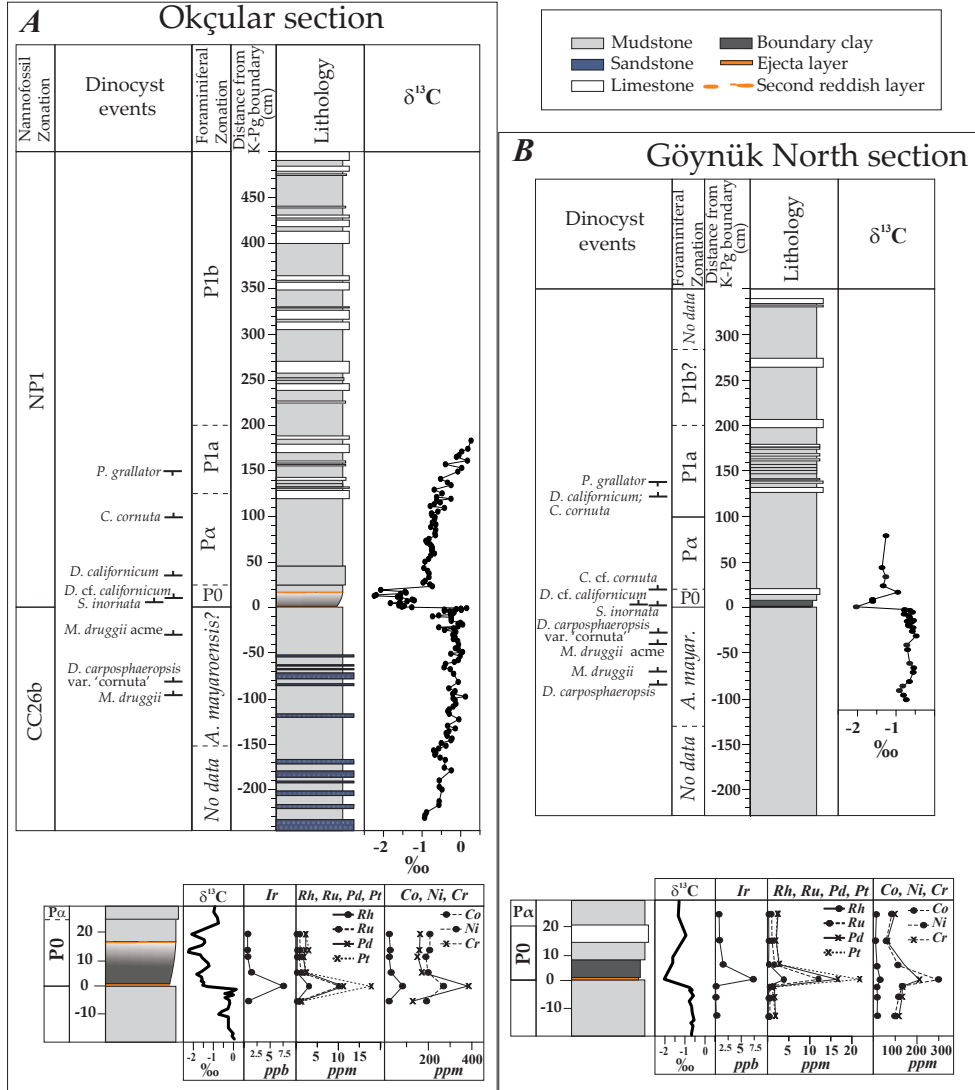
5.1 Calcareous nannofossils

Although only 3 of the samples of the low-resolution set fall with the interval studied herein, this assessment provided the first basic biostratigraphic framework for our age model (Fig. 4). The presence of the uppermost Maastrichtian markers *Micula murus* and *Micula prinsii* in samples below the boundary clay of the Okçular section (-270 cm and -80 cm) demonstrates that the basal part of the studied interval comprises the upper part of the *Micula murus* Zone (CC26b, Fig. 4A). Above the boundary clay at Okçular occurs a succession of Paleocene assemblages characteristic for Zone NP1, with the basal part of this zone dominated by inferred ‘disaster’ taxa, such as species of the calcareous dinoflagellate cyst genus *Thoracosphaera*. This bloom is considered characteristic for the lowermost Danian *Biantolithus sparsus* Zone (Perch Nielsen, 1981) and is recognized in the lowest sample above the boundary clay at Okçular, at ~1,38 m above the base of the clay. Above this is a succession of Paleocene taxa, such as *Neobiscutum romeinii* and *Cruciplacolithus primus*. Due to the limited number of samples in this pilot, the lowest occurrence of the first true Paleocene nannoplankton species (*Neobiscutum romeinii*) is difficult to assess. In the second sample above the boundary (~4 m above the base of the clay) *N. romeinii* is already present. The FAD of the small form of *Cruciplacolithus primus*, occurs at 630 cm above the base of the boundary clay, delineating the base of the *C. primus* Subzone.

5.2 Planktic foraminifera

Planktic foraminifera from the Okçular and Göynük North sections are common to abundant but not well preserved. In general, foraminifera from the Okçular section show a slightly better preservation than from the Göynük North section. Although in some samples dissolution is likely to have caused planktic foraminifera to be almost absent, in most studied samples biostratigraphic markers could be identified, enabling biostratigraphic analysis (Fig. 4). The lower Paleocene

biozonation scheme is based on Berggren et al. (1995), and the zonation by Caron (1985) is used for the upper Maastrichtian. Recorded marker taxa are shown in Plate I.



5.2.1 The Okçular Section

Only upper Maastrichtian planktic index foraminifer *R. fructifera* occurs between -150 cm and the base of the boundary clay. *Plummerita reicheli* (= *P. hantkeninoides*) is not observed in this interval. Although the preservation of planktic foraminifera is generally better at the Okçular site

than at the Göynük North site, in general, fewer planktic foraminifera are encountered at Okçular than at Göynük North. This may explain why relatively rare marker species such as *Abathomphalus mayaroensis* are not found at the Okçular site, despite better preservation. Up to 25 cm above the base of the boundary clay, hardly any planktic foraminifera are present, which may be the result of dissolution. Benthic foraminifera are present but not abundant in this zone and are severely weathered, which supports this assumption. Because no marker species can be recognized, this zone is tentatively assigned to Zone P0 (Fig. 4A). At 25 cm above the base of the boundary clay, very small specimens of *Parvularugoglobigerina eugubina* can be distinguished, marking the base of Zone P α at this depth. It should be noted however, that Zone P α may be present further down. *P. eugubina* (incl. *P. longiapertura*) is recognized in all investigated samples up to 100 cm above the base of the boundary clay. At 150 cm above the boundary neither *P. eugubina* nor *Subbotina triloculinoides* is observed, indicating Zone P1a. The boundary between Zone P α and Zone P1a is probably situated between 100 and 150 cm above the boundary (Fig. 4A). Attempts to refine this zonal boundary have failed however, as the material investigated in between these two levels was too consolidated to retrieve foraminifera. *Subbotina triloculinoides* occurs at 200 cm above the K-Pg boundary, marking the base of Zone P1b. This zone reaches at least up to 470 cm above the boundary. At this depth, *Guembelitra cretacea* is no longer observed, suggesting this level to be situated in the upper part of Zone P1b.

5.2.2 The Göynük North Section

Upper Maastrichtian planktic index foraminifera *Abathomphalus mayaroensis*, *Contusotruncana contusa* and *Racemiguembelina fruticosa* occur sporadically between -100 cm and the base of the boundary clay. Below -100 cm, no typical upper Maastrichtian markers are observed. The uppermost Maastrichtian marker *P. reicheli* is not observed in any of the samples. The transition across the K-Pg boundary is sharp. There is very little obvious reworking of Cretaceous material into the Paleocene. Common Cretaceous specimens occur at 1 cm above the base of the boundary clay but are very rare at 2 cm and higher above the boundary. Until 20 cm above the base of the boundary clay no *P. eugubina* could be observed, which indicates Zone P0. At 20 cm above the base of the boundary clay the first, strongly weathered *P. eugubina* (incl. *P. longiapertura*) specimens occur, indicating Zone P α (Berggren et al., 1995). Neither *S. triloculinoides* nor *P. eugubina* is observed in the interval between ~100 to ~200 cm above the boundary. Therefore, it is assumed that this zone represents Zone P1a (Fig. 4B). It should be noted however that the preservation of this part of the section is very poor, leaving little trace of wall texture or architectural features. Often only partial moulds or compressed shells are recognized. It is therefore not possible to assign any biozone with certainty to this part of the section.

5.3 Dinocysts

The palynological samples from the Mudurnu-Göynük basin yielded an abundance of well-preserved palynomorphs. The assemblages are dominated by dinocysts, with minor contributions of acritarchs, prasinophytes, organic foraminiferal linings and terrestrial palynomorphs (i.e. pollen and spores). Dinocyst ranges are provided in Figures 5 and 6 whereas recorded marker taxa and other common taxa are shown in Plate II.

5.3.1 The Okçular Section

The taxon *Disphaerogena carposphaeropsis*, which has its First Appearance Datum (FAD) at about 1 million years before the boundary (De Gracianski et al., 1998; Williams et al., 2004),

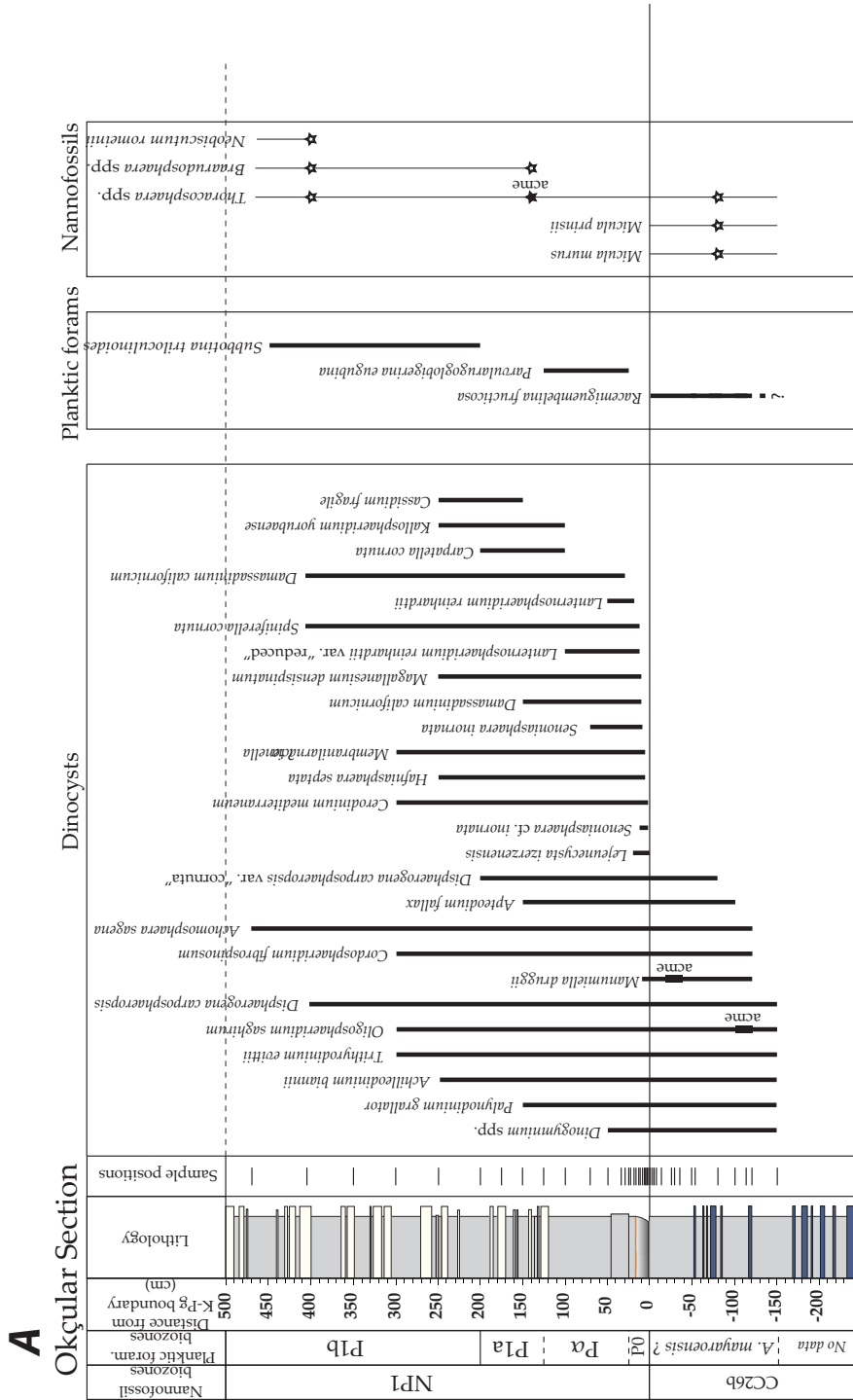


Figure 5. Stratigraphic distribution of selected dinocyst taxa and bioevents in the Okçular section. Planktic foraminiferal zones after Berggren et al., (1995) and Pardo et al. (1996); calcareous nannoplankton zones after Perch Nielsen (1981).

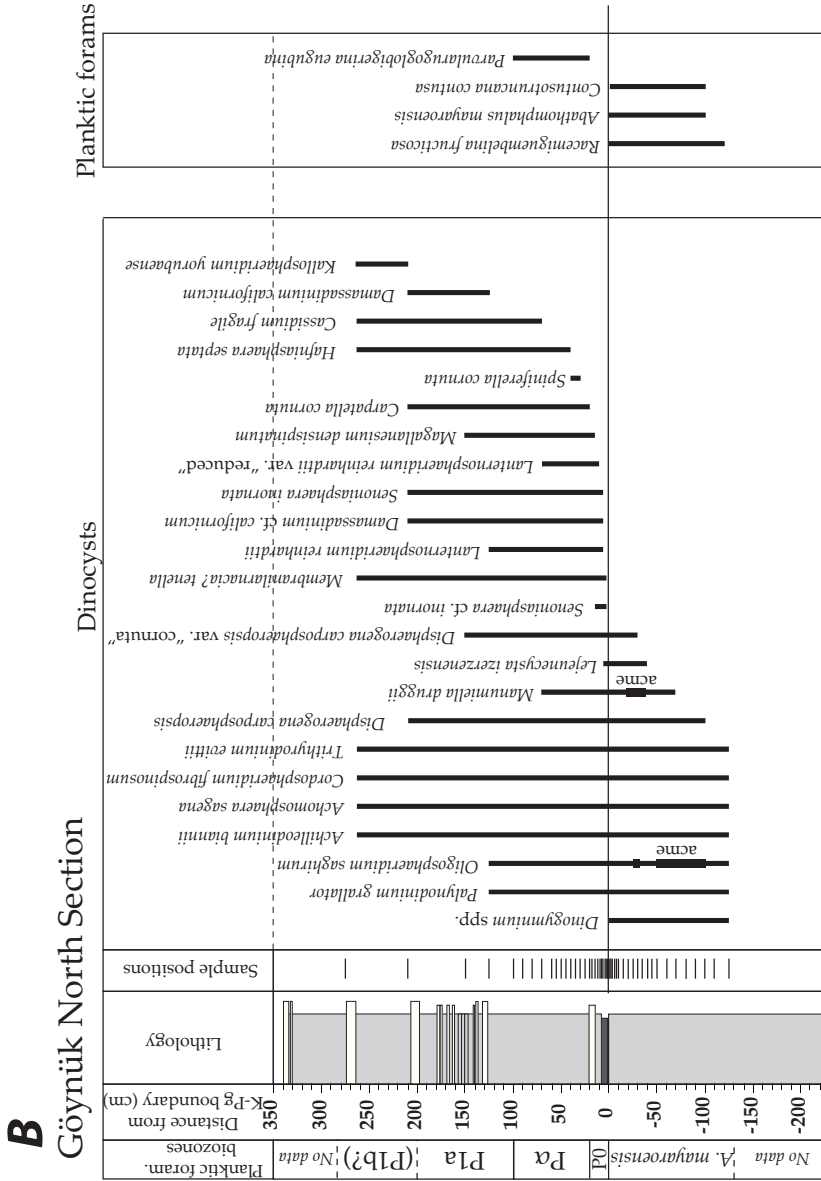


Figure 6. Stratigraphic distribution of selected dinocyst taxa and bioevents of the Göynük North section. Planktic foraminiferal zones after Berggren et al., (1995) and Pardo et al. (1996).

is common throughout the studied interval. *Disphaerogena carposphaeropsis* var. *cornuta*, a marker for the uppermost Maastrichtian and Danian (Vellekoop et al., 2014), is present in the upper 80 cm below K-Pg boundary (Fig. 5). *Manumiella druggii*, which has its FAD ~800 kyr before the boundary (Williams et al., 2004), is present in the upper 120 cm below the K-Pg boundary, occurring in an acme at ~30 cm below the boundary. The basal Danian is characterized by the First Occurrences (FOs) of *Senoniasphaera inornata* and *Cerodinium mediterraneum* at 2.5 cm above the boundary, the FOs of *Membranilarnacia? tenella* and *Hafniasphaera septata* at 4.5 cm above the boundary and the subsequent FOs of *Damassadinium cf. californicum*, *Damassadinium californicum* and *Lanternosphaeridium reinhardtii* at 9.5 cm, 12.5 cm and 29.5 cm above the base of the boundary, respectively (Fig. 5).

5.3.2 The Göynük North Section

At the Göynük North section, *D. carposphaeropsis* is encountered in the upper 100 cm below the K-Pg boundary. *D. carposphaeropsis* var. *cornuta*, has a FO at 30 cm below the boundary. The marker species *M. druggii* is present in the upper 70 cm below the boundary, with the characteristic acme peaking at 40 cm below the boundary (Fig. 6). The placement of these stratigraphic events suggests that compared to the Okçular section, the uppermost Maastrichtian is slightly more condensed at the Göynük North section, in line with the presence of intercalated turbidites at Okçular.

Similar to the Okçular section, the basal Danian of the Göynük North section is characterized by a succession of FOs of dinocyst marker taxa, with the FOs of *S. inornata* and *Membranilarnacia? tenella* at 3 cm above the boundary, the FOs of *D. cf. californicum* and *L. reinhardtii* at 6 cm above the boundary and the subsequent FOs of, *Carpatella cornuta*, *Hafniasphaera septata* and *D. californicum* at 20 cm, 40 cm and 125 cm above the base of the boundary, respectively (Fig. 6). These last three stratigraphic markers are nevertheless very rare at this section, so the FOs of these taxa are probably not reliable as stratigraphic indicators.

5.4 Other paleontological findings

The K-Pg boundary succession in the Mudurnu-Göynük basin is characterized by a relative low abundance of macrofossils. Across the K-Pg boundary interval at both sections, the monotonous mudstones occasionally comprise small bivalves and bivalve, gastropod and ammonite fragments. Below the boundary are very rare occurrences of large specimens of the echinoid *Echinocorys edbemi*. In the first meter above the K-Pg boundary, small specimens of the genus *Echinocorys* and other echinoid genera become slightly more abundant. The interval with limestone-mudstone alternations is characterized by the abundant occurrence of larger specimens of *E. edbemi* and at some horizons also by abundant burrows, attributed to a typical *Cruziana* ichnofacies.

5.5 Biostratigraphic synthesis

At both studied sections the Maastrichtian interval is characterized by global biostratigraphic markers for the uppermost Maastrichtian, such as the calcareous nannofossils *M. murus* and *M. prinsii*, the planktic foraminifera taxa *A. mayaroensis*, *C. contusa* and *R. fructifera* and the dinocyst taxon *Disphaerogena carposphaeropsis*. In addition, at both sites a bloom of the dinocyst marker taxon *Manumiella druggii* was observed. This spike of *Manumiella* is recognized in the uppermost Maastrichtian at Cretaceous-Paleogene sections worldwide (Habib and Saeedi, 2007).

Although the uppermost Maastrichtian planktic foraminiferal marker *P. reicheli*, indicative of latest Maastrichtian Biozone CF1 (Pardo et al., 1996), is not observed in any of the samples, our combined biostratigraphic assessment indicates that at the Okçular and Göynük North sections the

uppermost Maastrichtian is stratigraphically complete. While *P. reicheli* is commonly found in the upper Maastrichtian of the Tethyan realm, for instance in Tunisia (e.g. Speijer and van der Zwaan, 1996; Keller, 2004), Egypt (e.g. Speijer and van der Zwaan, 1996), Spain (Molina et al., 1996) and Israel (e.g. Adatte et al., 2005), its occurrence may be rare and or absent at other sites (e.g. Keller, 2004). *P. reicheli* is for example not found in Kazakhstan (Pardo et al., 1999). The absence of this taxon in the investigated samples may be caused by the rarity of the taxon at this location.

The Danian interval of the Okçular and Göynük North sections displays a succession of regional and global stratigraphic events, such as the FADs of dinocyst marker taxa *Senoniasphaera inornata*, *Membranilarnacia? tenella* and *Damassadinium cf. californicum* and the FADs of planktic foraminifera taxa such as *P. eugubina* and *S. triloculinoides*, allowing a precise zonation of this interval and confirming the placement of the K-Pg boundary at the reddish layer at the base of the dark clay layer encountered at the Göynük North and Okçular sections (see Figs. 4 and 5).

6. Geochemistry

6.1 Carbon isotopes

The Cretaceous – Paleogene transition at both sections is characterised by an abrupt negative shift in the bulk $\delta^{13}\text{C}$ curve just above the ejecta layer (see Fig. 4). At the Okçular section, the bulk carbon isotope curve is obtained from 146 samples (App. B.2). Typically $\delta^{13}\text{C}$ values range between -0.93‰ and 0.15‰ at pre-impact sediments and exhibit an abrupt shift from 0.15‰ to -1.6‰ at the ejecta layer. The $\delta^{13}\text{C}$ profile of the Okçular section stays in negative values between the ejecta layer and the second reddish layer. At the second reddish layer $\delta^{13}\text{C}$ values exhibit a further negative shift to -2.24‰ . After the second reddish layer $\delta^{13}\text{C}$ values return to pre-impact isotopic values.

The carbon isotope compositions of the selected 34 samples from the Göynük North Section range between 1.20‰ and 1.53‰ in the Cretaceous period. The isotope record exhibits an abrupt negative shift at the ejecta layer from 1.23‰ to -0.05‰ . The $\delta^{13}\text{C}$ values slightly recover to positive values and stay below 1‰ throughout the first 80 cm of the Danian.

6.2 Siderophile element signals

Enrichment of siderophile elements, and more specifically the platinum group elements (PGEs: Ru, Rh, Pd, Os, Ir and Pt; Fig. 4A), in the ejecta layer is one of the common features of most K-Pg Boundary sections sections (e.g., at Caravaca-Spain, Furlo-Italy, and Stevns Klint-Denmark). The siderophile elements are relatively rare in Earth's crust, as these elements partitioned into the core during planetary differentiation. Therefore, enrichment of those elements in roughly meteoritic ratios generally points towards an extraterrestrial source. In this study, the siderophile element contents were determined in respectively 6 and 7 samples across the K-Pg boundary at the Okçular and Göynük North sections. At both sites studied, all siderophile elements, including Cr, Co, and Ni, show an abrupt increase at the ejecta layer (Fig. 4, App. B.1).

At the Okçular section, the Ir concentration reaches up to 7.41 ppb in the ejecta layer, compared to ~ 0.5 ppb below and ~ 0.8 ppb above this level. This is fully in range of typical K-Pg boundary concentrations reported for other K-Pg sites in the Neo-Tethys region (e.g., Ir_{max} of 16.62 ppb at Caravaca (Spain), 2.33 ppb at Furlo (Italy), or 1.93 ppb at Siliana (Tunisia); Goderis et al., 2013). In the second reddish layer, the Ir concentration remains stable at background values (0.77 ppb), while Cr, Ni, Ru, Pt, and Pd exhibit a slight increase (App. B.1). Similar to the Okçular section, the Göynük North section is also characterised by an abrupt increase in all siderophile element contents at the ejecta layer, with an elevated Ir content of 7.23 ppb compared to Ir contents of ~ 0.3 ppb below and ~ 0.8 ppb above the boundary layer. A second enrichment

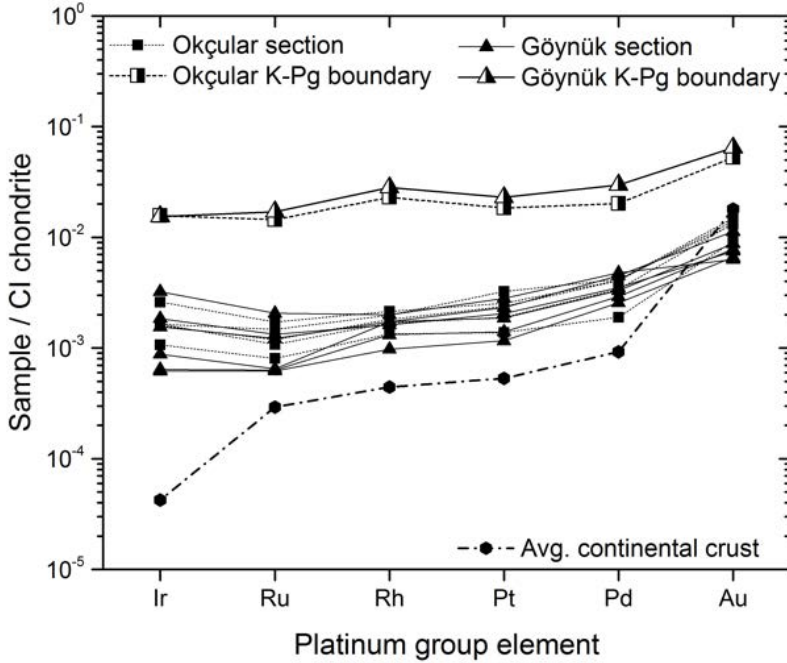


Figure 7.

CI-normalized logarithmic plot of PGE and Au concentrations across the Okçular and Göynük North K-Pg boundary sections compared to the average composition of the continental crust (Ir, Ru, Pt, Pd from Peucker-Ehrenbrink and Jahn, 2001; Rh and Au from Wedepohl, 1995). CI values from Tagle and Berlin (2008). Elements are plotted in order of decreasing condensation temperatures. Although the boundary clays of both sections clearly show the highest and most chondritic PGE concentrations, all section samples are elevated in PGE compared to the average continental crust, most markedly for Ir.

above the boundary clay was not observed. At both sections, the CI-type carbonaceous chondrite-normalized PGE pattern (Fig. 7) is relatively unfractionated, indicating the presence of a chondritic component, and comparable to the K-Pg boundary patterns observed for most distal sites worldwide (Goderis et al., 2013).

Although the boundary material shows a clear enrichment in siderophile element content of at least a factor 10 compared to the characterized samples directly above this layer at both Okçular and Göynük North, the Turkish pre- and post-impact deposits are considerably elevated compared to average continental crustal values (Ir = 0.02 – 0.10 ng/g; Goderis et al., 2013 and references therein), but also to background levels above and below the K – Pg interval at, for instance, Caravaca (Ir = 0.057 ng/g, 60 cm below the K – Pg level; Smit and Hertogen, 1980). Where continental crustal average 126-185 ppm for Cr, 24-29 ppm for Co, and 56-105 ppm for Ni (Goderis et al., 2013 and references therein), most Cr and Ni values reported for the Okçular background are above this range ($Cr_{background} = 100-212$ ppm, $Ni_{background} = 77-174$ ppm). This is not the case for Göynük ($Cr_{background} = 62-140$ ppm, $Ni_{background} = 56-132$ ppm), although the Ni values for the samples directly below the K-Pg boundary are slightly elevated compared to average

continental crustal values. On a plot of Cr versus Ir (Fig. 8), projectile-enriched impactites typically follow the mixing lines between Upper Continental Crust (UCC), Continental Crust (CC2) and chondrites (Goderis et al., 2013 and references therein). Samples from the Okçular and Göynük North sections clearly follow these mixing lines as expected, suggesting the addition of ~2 wt% of extraterrestrial material to the boundary clay. All Okçular section samples consistently exhibit high Cr concentrations, following the uppermost mixing trajectory between continental crust and chondrites.

Samples from the Göynük section show a wider range in Cr/Ir ratios. Considering that most samples follow the mixing lines, the observed element profiles at the Okçular and Göynük North sections are the result of element mobility during diagenesis, although a mafic-rich provenance area for the Okçular section is also suggested based on the Cr contents. Possibly, proximity to a mafic-rich sediment source area to the south (Acikalin, 2011) could have played a role in the observed compositional differences between Okçular and Göynük North.

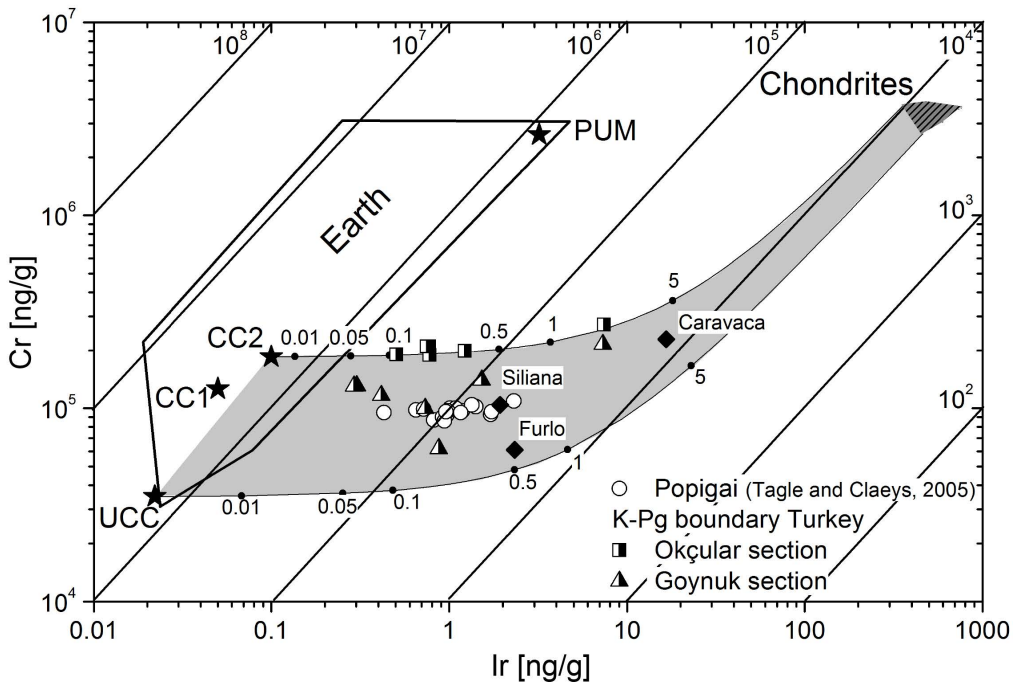


Figure 8.

Cr versus Ir concentrations of terrestrial target rocks compared to the composition of the Popigai impact melt rocks, K-Pg boundary clays from Caravaca (Spain), Furlo (Italy), and Siliana (Tunisia), in addition to the characterized K-Pg boundary clays in this study (Goderis et al., 2013 and references therein). The grey field indicates the most likely mixing trajectories between chondritic projectiles and common terrestrial targets. Numbers represent wt.% chondritic material on the mixing lines. The plot is based on Figs. 1 and 2 of Tagle and Hecht (2006). PUM = primitive upper mantle, MORB = mid-ocean ridge basalt, UCC = upper continental crust, CC = continental crust. The Okçular and Göynük North samples clearly follow the mixing line, suggesting an impactite origin.

7. Paleoenvironment

The Maastrichtian and Danian deposits of the Mudurnu-Göynük basin mainly consist of fine siliciclastics with relatively high carbonate content. The influx of siliciclastics suggests the presence of landmasses relatively close to the depositional site, likely from an uplifted accretionary prism related to the initiation of continental crust collision further south (Acikalin, 2011).

Our paleontological records can be used to reconstruct the late Cretaceous-early Paleocene depositional environment in the Göynük basin. Dinocysts assemblages can provide valuable information on environmental parameters such as coastal proximity, sea surface temperature and salinity (Sluijs et al., 2005). Overall, the palynological assemblages at Göynük North and Okçular are characterized by a high percentage of marine palynomorphs, generally >90%, indicating that full marine conditions prevailed. The most dominant group of dinocysts is the *Spiniferites-Achomosphaera* group, comprising all species of *Spiniferites* and the morphologically related genus *Achomosphaera*. In general this group makes up 40-50% of the assemblage (Fig. 9). *Spiniferites* and *Achomosphaera* are commonly considered indicative for a typical shelf environment (Sluijs et al., 2005). The low abundances (generally below 20%) of typical coastal taxa such as *Areoligera*, *Glaphyrocysta* and *Hystrichosphaeridium* suggest a relatively offshore, open marine setting. This interpretation is confirmed by the mostly deep shelf benthic foraminifera fauna and the common presence of the bathyal marker *Gavelinella beccariiformis* in the Maastrichtian part of the Göynük North section, suggesting a deposition at an outer neritic to upper bathyal environment, likely at a paleodepth of 200-400 m. This typical deep-water marker is absent in the Okçular section, suggesting that this section was deposited in a slightly shallower setting, as supported by the more common presence of aragonitic bivalves and ammonite fragments in the Okçular section. The relative monotonous sedimentation of mudstones and relative low abundance of macrofossils at both sites is in accordance with the suggested environment. The overall sedimentological and paleontological data demonstrate that the K-Pg boundary sites in the Mudurnu-Göynük basin were characterized by mixed siliciclastic-carbonate sedimentation under normal marine conditions.

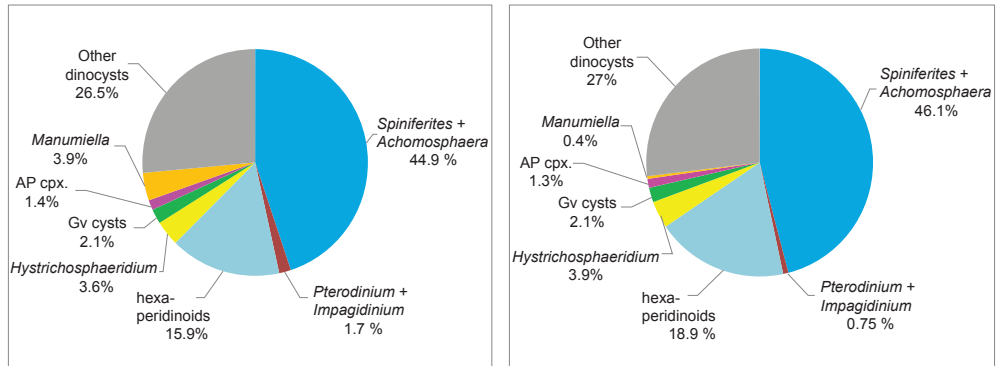


Figure 9.

Abundance distribution of the most abundant dinocyst groups of the Göynük North and Okçular sections. The dinocyst group [*Spiniferites* + *Achomosphaera*] includes all species within the genera *Spiniferites* and *Achomosphaera*. The dinocyst group [*Pterodinium* + *Impagidinium*] includes all species within the genera *Pterodinium* and *Impagidinium*. The dinocyst group [Hexa-peridinoids] includes all peridinioid taxa with a hexagonal archeopyle, except for species of the genera *Andalusiella* and *Palaeocystodinium*. The group [*Hystrichosphaeridium*] includes all species within the genus *Hystrichosphaeridium*. The dinocyst group [Gv cysts] includes all taxa with dorsoventrally compressed cysts. The group [AP cpx] includes all species of the genera *Andalusiella* and *Palaeocystodinium*. The group [*Manumiella*] includes all species of within the genus *Manumiella*. The group [Other dinocysts] includes all taxa that are not included in any of the previous groups.

8. Discussion

8.1 Origin of the second reddish layer at the Okçular section

The Cretaceous–Paleogene transition in the Okçular section exhibits two reddish layers separated by a 16–17 cm thick dark clay layer. The paleontological and geochemical analyses show that the first reddish layer marks the K–Pg boundary. Although the second reddish layer is absent in the Göynük North section, the lithological change from darker, clay rich mudstone to a less argillaceous lithology around +17 cm is considered as a correlatable surface of the second reddish layer of the Okçular section.

The K–Pg boundary in the Okçular section is marked by an abrupt increase in Ir content to ~7.4 ppb, which is significantly higher compared to local background and average continental crust values. Although Ir content tends to go back to lower values in the levels above the boundary, it does not entirely return to background levels in the samples between ejecta layer and the second reddish layer. In addition, slight enrichment of particular siderophile element contents (e.g., Ru, Pt, Co) is evident in the second layer (Fig. 4, App. B.1). Given the concentration differences between the two reddish layers, it is clear that these intervals are not of similar origin. The sharp bottom boundaries of both layers, the continuity of bedding, and the constant grain size in between two layers do not suggest sediment reworking at the Okçular section. These observations exclude the possibility that the second layer reflects a simple repetition of the ejecta layer through faulting and slumping. Rather, geochemical remobilisation and re-precipitation at the sedimentary transition from boundary clay to normal background hemipelagic carbonates appears a more likely cause for the second reddish layer.

8.2 Comparison of the Göynük North and Okçular with other sections

Our sedimentological, geochemical and biostratigraphic characterization of the K–Pg boundary interval in the Mudurnu–Göynük basin demonstrates that the studied sections comprise stratigraphically complete K–Pg boundary transitions. Furthermore, these new records allow a detailed comparison with K–Pg boundary sections worldwide. The sedimentological and paleontological records of the Okçular and Göynük North sections suggest that these sites are deposited in an outer neritic to upper bathyal environment. Therefore, the K–Pg boundary transition in this basin shows most similarities to other outer shelf and bathyal sites in the Tethys ocean, such as El Melah and El Kef in Tunisia or Caravaca and Agost in Spain (Molina et al. 1996; Adatte et al., 2002a, Fig. 10). All these sites are characterized by monotonous deposition of muds, interrupted by a dark boundary clay with a reddish ejecta layer at the base. Compared to the El Kef section, the Okçular and Göynük North sections appear to be more condensed (Fig. 10), suggesting that these sections might have been deposited in a different environmental setting, possibly more similar to the Caravaca and Agost sections in Spain (Lamolda et al., 2005). Interestingly, at various K–Pg boundary sites deposited in an outer neritic to upper bathyal environment, such as Caravaca in Spain and Bjala in Bulgaria, the boundary clay is overlain by a prominent limestone bed at the base of planktic foraminifera Zone Pa (Molina et al., 1996), a feature that is also prominent at the Göynük North section. This limestone bed is often characterized by an acme of *Thoracosphaera* and other calcareous dinoflagellate cysts, related to the initial resumption of carbonate production.

The palynological assemblages of the Okçular and Göynük North sections also have some similarities with those of the K–Pg boundary GSSP at El Kef, Tunisia and other records in the Western Tethys, but have significantly higher abundances of higher-latitude taxa. Dinocyst taxa that are typical for the ‘Boreal’ paleogeographic region, such as *Palynodinium grallator* (Schiøler

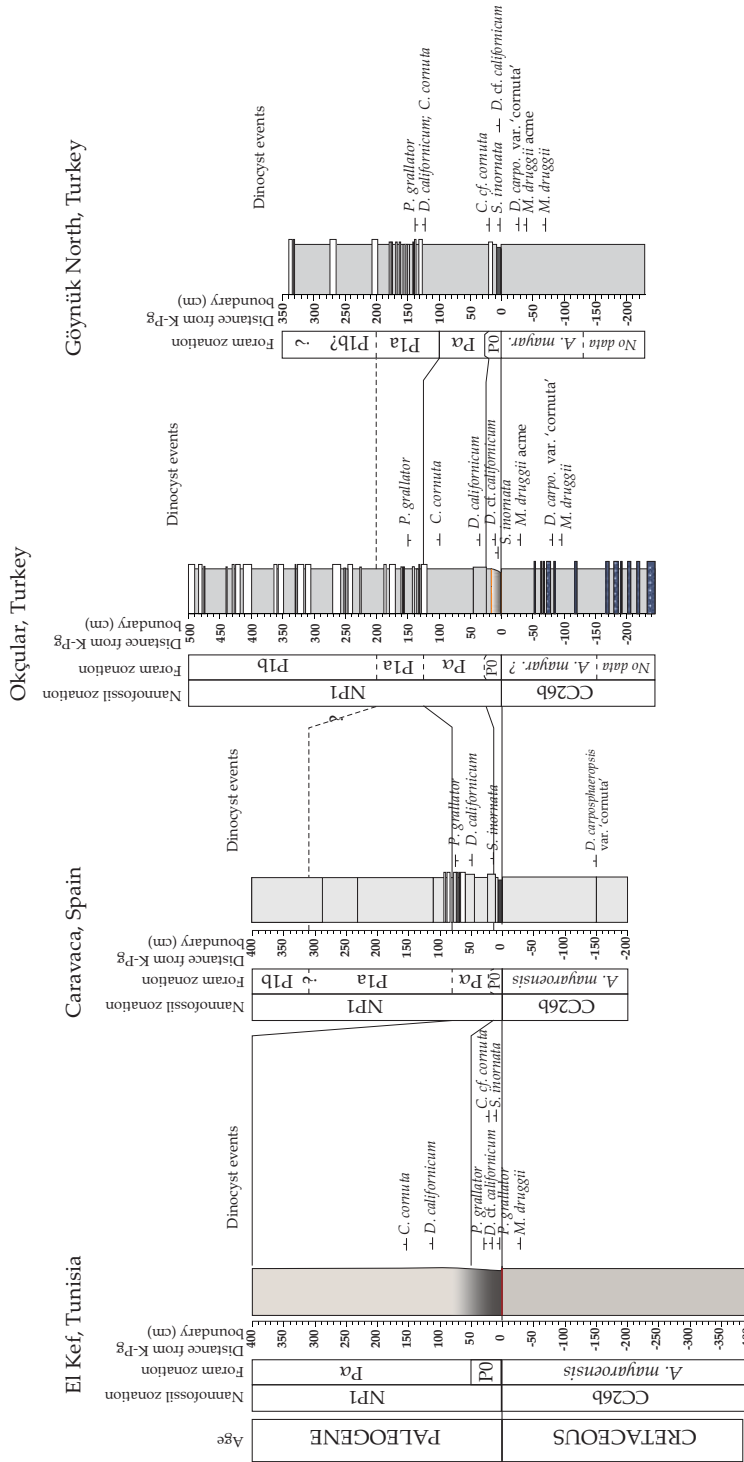


Figure 10.

A biostratigraphic correlation between the new K-Pg boundary sections from the Mudurnu –Göynük basin and two well-known K-Pg boundary sections in the Tethys: the K-Pg boundary stratotype section of El Kef, Tunisia and the Caravaca section, Spain. Zonation scheme and dinocyst FOs from El Kef are based on Brinkhuis and Zachariasse, 1988 and Brinkhuis et al. (1998), Zonation scheme and dinocyst FOs of Caravaca are based on Arenillas et al. (2004) and Brinkhuis et al. (1998).

and Wilson, 1993), are present throughout the studied interval. Clearly, the dinocyst assemblages of the Mudurnu-Göynük basin represent a transition between the 'Boreal', temperate region and the subtropical region of Western Tethys, comprising components of both assemblages. Hence, the K-Pg boundary sites in the Mudurnu-Göynük basin comprise an excellent record of the transitional zone between the 'Boreal' and Western Tethys paleogeographic regions. Therefore, the sedimentary records in this basin might provide essential insights in biotic migrations across the K-Pg boundary interval.

9. Conclusions

In this study a Cretaceous-Paleogene transition is studied in two detailed sections (Okçular and Göynük North sections), in the Mudurnu-Göynük Basin, in northwest Turkey. To characterise the K-Pg boundary, sedimentological observations were also carried out and the sections were analysed for bulk stable carbon isotope, siderophile trace elements, calcareous nannofossil, planktic foraminifera and organic-walled dinoflagellate cysts; In the study area the upper Maastrichtian typically comprises grey mudstones with intercalated turbidites, whereas Danian is characterised by grey mudstones and rhythmic alternation of limestones and mudstones. The K-Pg boundary is marked by a thin (2-3mm thick) reddish ejecta layer which is overlain by 15-17cm thick darker mudstone (boundary clay). Enrichment of platinum group elements and abrupt negative shift of $\delta^{13}\text{C}$ geochemically characterise the K-Pg boundary. The ejecta layer in the Okçular section exhibits high Ir concentration (7.41 ppb) and $\delta^{13}\text{C}$ values decrease to -1.6‰ from 0.15‰ pre-ejecta values. Similar to the K-Pg transition at the Göynük North section is represented by an elevated Ir concentration (7.23 ppb) and a negative $\delta^{13}\text{C}$ shift (from 1.23‰ to -0.05‰). In addition to Ir, all other studied siderophile elements also show an abrupt increase in the ejecta layer.

The position of the K-Pg boundary is confirmed by a biostratigraphic assessment. The globally recognised spike of the dinocyst taxon *Manumiella druggii* in the upper Maastrichtian, the extinction of Cretaceous planktic foraminifera at the ejecta layer, and a subsequent rapid succession of First Appearance Datums (FOs) of dinocysts, such as *Senoniasphaera inornata*, *Membranilarnacia? tenella* and *Damassadinium californicum* and planktic foraminifera, including *Parvularugoglobigerina eugubina* and *Subbotina triloculinoides* in the lower Danian, are some of the biostratigraphic events which confirm the position of the K-Pg boundary in the Okçular and Göynük North sections.

In the Okçular section a second reddish layer has been noted about 17cm above the ejecta layer and it is believed that geochemical remobilisation and re-precipitation at the sedimentary transition from boundary clay to normal background hemipelagic deposition is the main reason of its occurrence.

Our sedimentological, geochemical and biostratigraphic findings suggest that the studied sections are stratigraphically complete and are deposited in an outer neritic and upper bathyal environment. The palynological assemblages indicate that the Okçular and Göynük North sections represent a transition between the Boreal temperate region and the subtropical region of Western Tethys.

Plate I.

Plate with upper Maastrichtian and lower Paleocene planktic index foraminifera encountered in this study. 1a, b, c: *Parvularugoglobigerina eugubina* (Luterbacher and Premoli Silva) Okçular, 29-30 cm 2a, b, c: *Parvularugoglobigerina longiapertura* (Blow) Okçular, 49-50 cm 3a, b: *Subbotina triloculinoides* (Plummer) Okçular, 300 cm 4: *Guembelitria cretacea* (Cushman) Okçular, 99-100 cm 5a, b, c: *Abathomphalus mayaroensis* (Bolli) Göynük, -100 cm 6a, b, c: *Abathomphalus mayaroensis* (Bolli) Göynük, -50 cm 7a, b, c: *Contusotruncana contusa* (Cushman; emend. El-Naggar) Göynük, -100 cm 8: *Racemiguembelina fructicosa* (Egger) Okçular, -100 cm

Plate I

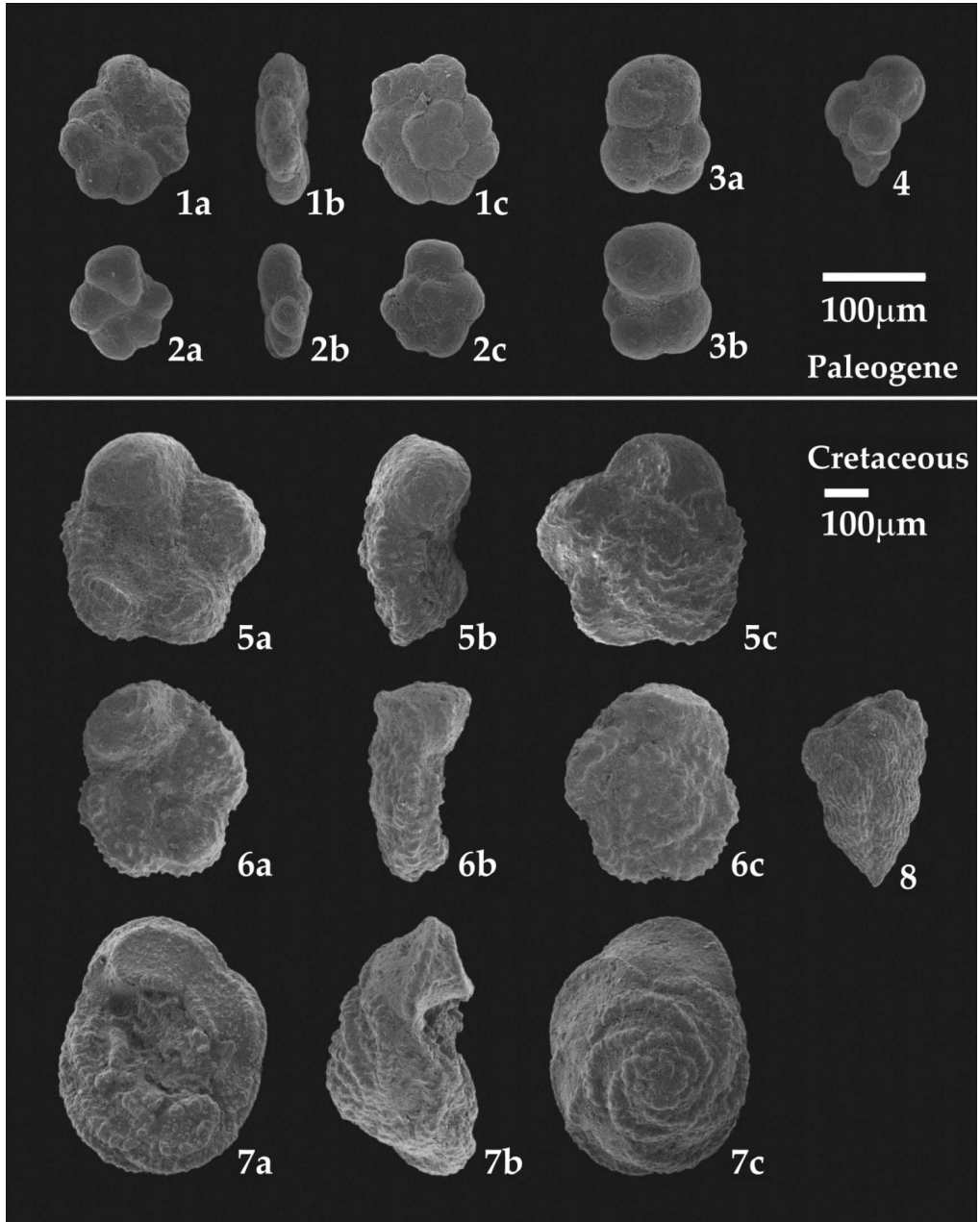
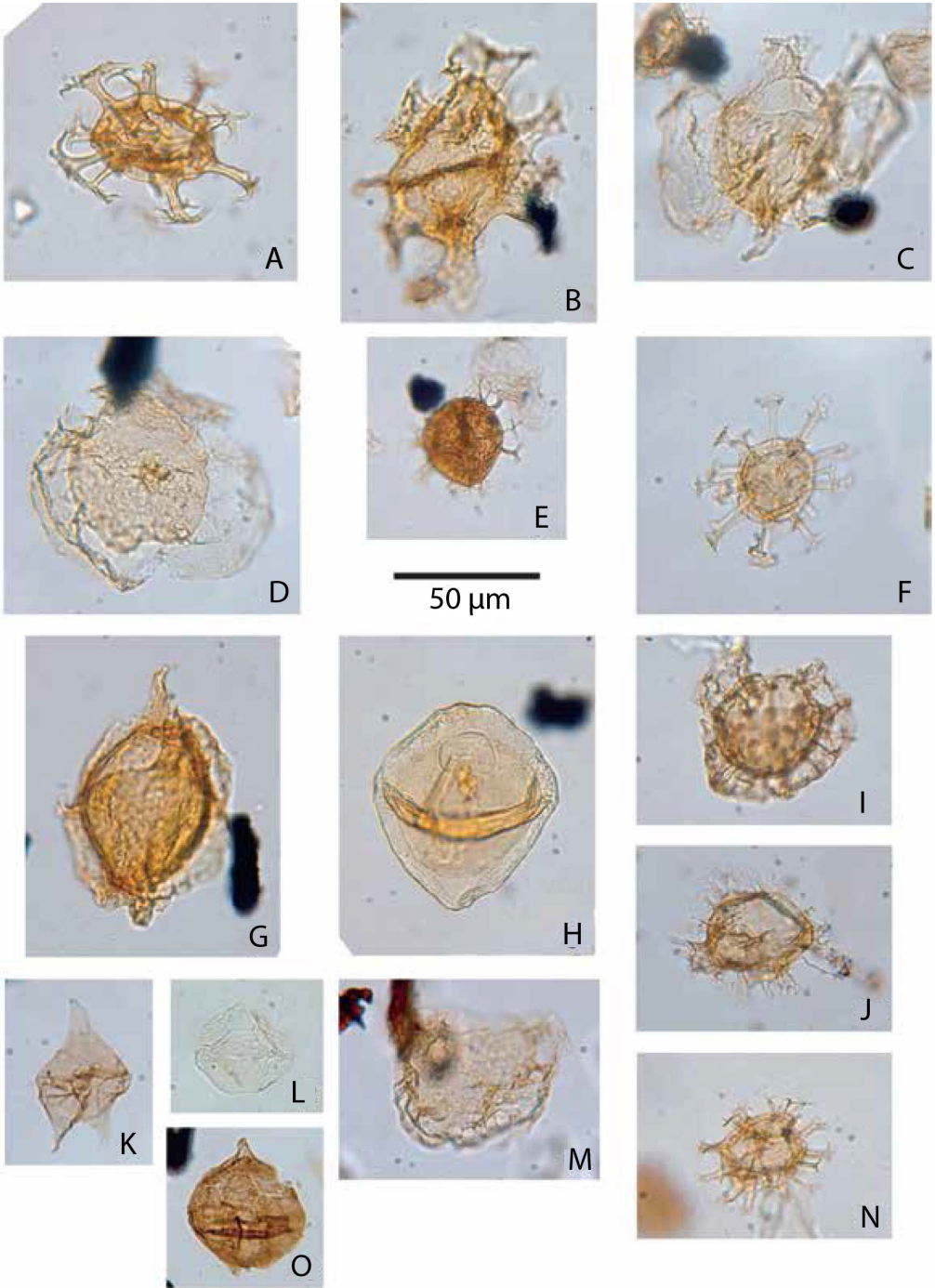


Plate II.

Plate with upper Maastrichtian and lower Paleocene dinocyst marker species and common taxa encountered in this study. A: *Achomosphaera ramulifera* (Deflandre, 1937) Evitt, 1963. Göynük North, 6 cm, slide 1, EF L39-3. Specimen in ventral view. B: *Damassadinium* cf. *californicum* (Drugg, 1967) Fensome et al., 1993. Okçular, 34-35 cm, slide 1, EF U28-3. Specimen in right lateral view. C: *Disphaerogena carposphaeropsis* var. *cornuta*. Göynük North, 100 cm, slide 1, EF E34-3. Specimen in dorsal view. D: *Glaphyrocysta perforata* Hultberg and Malmgren, 1985. Göynük North, 100 cm, slide 1, EF Q30-2. Specimen in dorsal view. Note the apical archeopyle and operculum in situ. E: *Hafniasphaera septata* (Cookson and Eisenack, 1967) Hansen, 1977. Okçular, 250 cm, slide 1, EF K33-1. F: *Hystriochosphaeridium tubiferum* (Ehrenberg, 1838) Deflandre, 1937. Göynük North, 100 cm, slide 1, EF U20-2. Specimen in ventral view. G: *Lanternosphaeridium reinhardtii* Moshkovitz and Habib, 1993. Okçular, 49-50 cm, slide 1, EF N36-2. Specimen in right lateral view. H: *Manumiella druggii* (Stover, 1974) Bujak and Davies, 1983. Göynük North, -40 cm, slide 1, EF R33-2. Specimen in dorsal view. I: *Membranilarnacia?* *tenella* Morgenroth, 1968. Göynük North, 275 cm, slide 1, EF Q21-4. J: *Palynodinium grallator* Gocht, 1970. Okçular, -120 cm, slide 1, EF G34-3. Specimen in ventral view. K: *Senegalinium bicavatum* Jain and Millepied, 1973. Göynük North, 100 cm, slide 1, EF N20-2. Specimen in ventral view. L: *Senegalinium laevigatum* (Malloy, 1972) Bujak and Davies, 1983. Göynük North, 80 cm, slide 1, EF O30-2. Specimen in dorsal view. M: *Senoniasphaera inornata* (Drugg, 1970) Stover and Evitt, 1978. Okçular, 9,5 cm, slide 1, EF L31-4. Specimen in dorsal view. N: *Spiniferites ramosus* (Ehrenberg 1838) Loeblich and Loeblich 1966. Göynük North, 6 cm, slide 1, EF K22-4. Specimen in antapical view. O: *Trithyrodinium evittii* Drugg, 1967. Okçular, 29-30 cm, slide 1, EF G31-1. Specimen in dorsal view.

Plate I



10. Supplementary materials

10.1: List of encountered dinocyst species and complexes

The generic allocation of taxa follows that cited in Fensome and Williams (2004) unless stated otherwise. Notes on certain taxa are provided.

Achilleodinium bianii Hultberg, 1985

Achomospaera ramulifera (Deflandre, 1937) Evitt, 1973 (Plate II A)

Achomospaera sagena Davey and Williams, 1966

Achomospaera spp. (pars)

Adnatospaeridium buccinum Hultberg, 1985

Alisocysta circumtabulata (Drugg, 1967) Stover and Evitt, 1978

Alisocysta spp. (pars)

Alterbidinium acutulium (Wilson, 1967) Lentin and Williams, 1985

Andalusiella dubia (Jain & Millepieid 1973) Lentin and Williams 1980

Andalusiella gabonensis (Stover & Evitt 1978) Wrenn and Hart 1988

Andalusiella mauthei Riegel, 1974

Andalusiella polymorpha (Malloy, 1972) Lentin and Williams, 1977

Apteodinium fallax (Morgenroth, 1968) Stover and Evitt, 1978

Areoligera coronata (Wetzel, 1933) Lejeune-Carpentier, 1938

Areoligera senonensis Lejeune-Carpentier, 1938

Areoligera spp. (pars)

Batiacasphaera rifensis Slimani 2008

Caligodinium amiculum Drugg, 1970

Cannospaeropsis utinensis O. Wetzel, 1932

Carpatella cornuta Grigorovich, 1969

Carpatella cf. *cornuta*. This morphotype differs from *Carpatella cornuta* s.s. in having a less thick wall. In this study it is regarded as a transitional form between the taxa *Cribroperidinium* sp. A of Brinkhuis and Schiøler, 1996 and

Carpatella cornuta s.s.

Carpatella septata Willumsen 2004

Cassidium fragile (Harris, 1965) Drugg, 1967

Cerodinium boloniense (Riegel, 1974) Lentin and Williams, 1989

Cerodinium diebelii subsp. *diebelii* (Alberti, 1959) Lentin and Williams, 1987

Cerodinium leptodermum (Vozzhennikova, 1963) Lentin and Williams, 1987

Cerodinium mediterraneum Slimani 2008

Cerodinium pannuceum (Stanley, 1965) Lentin and Williams, 1987

Cerodinium speciosum subsp. *speciosum* (Alberti, 1959) Lentin and Williams, 1987

Cerodinium striatum (Drugg, 1967) Lentin and Williams, 1987

Cerodinium spp. (pars)

Cladopyxidium saeptum (Morgenroth, 1968) Stover and Evitt, 1978

Cladopyxidium velatum Below, 1987

Cometodinium? *whitiei* (Deflandre and Courteville, 1939) Stover and Evitt, 1978 – presumed reworked

Cordospaeridium fibrospinosum Davey and Williams, 1966

Cordospaeridium fibrospinosum var. *cornuta*. This taxon is distinguished from *Cordospaeridium fibrospinosum* s.s. by the development of distinct apical and antapical horns. These typical forms appear to develop in the earliest Danian

- and have been described as "intermediate morphotype between *C. fibrospinosum* and *Damassadinium* spp of the *Cordosphaeridium fibrospinosum* Complex" by Brinkhuis and Sluijs 2009, plate 2 B
- Cordosphaeridium inodes* subsp. *inodes* (Klumpp, 1953) Eisenack, 1963
- Coronifera striolata* (Deflandre, 1937) Stover and Evitt, 1978
- Cribroperidinium?* *pyrum* (Drugg 1967) Stover and Evitt 1978
- Cribroperidinium septatum* (Hultberg 1985) Poulsen 1996
- Cribroperidinium wetzelii* (Lejeune-Carpentier, 1939) Helenes, 1984
- Cribroperidinium wilsonii* forma A of Slimani et al., 2011
- Cribroperidinium* sp. A of Brinkhuis & Schiøler 1996
- Damassadinium californicum* (Drugg 1967) Fensome et al. 1993
- Damassadinium* cf. *californicum*. This morphotype is distinguished from *Damassadinium californicum* s.s. by having a less broad process base. In this study it is regarded as a transitional form between the taxa *Cordosphaeridium fibrospinosum* var. *cornuta* and *Damassadinium californicum* s.s. These forms appear to evolve in the earliest Danian and can therefore be used as a marker species. (Plate II B)
- Deflandrea galeata* (Lejeune-Carpentier 1942) Lentin and Williams 1973
- Deflandrea tuberculata* Hultberg, 1985
- Diconodinium wilsonii* (*Diconodinium parvum* in Wilson, 1974)
- Dinogymnium acuminatum* Evitt et al., 1967
- Diphyes colligerum* (Deflandre and Cookson 1955) Cookson 1965
- Diphyes?* *recurvatum* May, 1980
- Disphaerogena carposphaeropsis* Wetzel 1933
- Disphaerogena* cf. *carposphaeropsis*. This taxon is distinguished from *Disphaerogena carposphaeropsis* s.s. in having a significantly more pronounced antapical horn. According to the emended diagnosis of Sarjeant, 1985, *Disphaerogena carposphaeropsis* s.s. is characterized by an apical horn that is always longer than the antapical horn, by a ratio varying between 1.2:1 to 3:1. In the uppermost Maastrichtian samples, specimen occur with an antapical horn that is as long as, or longer than the apical horn. Since this form first appears in the uppermost Maastrichtian (i.e. Vellekoop et al., 2014), it is used as a stratigraphic marker in the present study. Since this form clearly belongs to the species *D. carposphaeropsis*, but is characterized by an antapical horn similar to the taxon *Carpatella cornuta*, we used the informal name '*Disphaerogena carposphaeropsis* variety "cornuta" in study. (Plate II C)
- Druggidium meerenis* (Slimani and Louwye, 2011)
- Exochosphaeridium bifidum* (Clarke and Verdier, 1967) Clarke et al., 1968
- Exochosphaeridium phragmites* Davey et al., 1966
- Fibradinium annetorpense* Morgenroth, 1968
- Fibrocysta axialis* (Eisenack 1965) Stover and Evitt 1978
- Fibrocysta bipolaris* (Cookson and Eisenack 1965) Stover and Evitt 1978
- Fibrocysta licia* (Jain et al. 1975) Stover and Evitt 1978
- Fibrocysta* spp. (pars)
- Florentina ferox* (Deflandre, 1937) Duxbury, 1980
- Florentinia?* *flosculus* (*Euryosphaeridium fibratum* in Wilson, 1974)
- Florentinia mantellii* (Davey and Williams, 1966)
- Glaphyrocysta castelcasiensis* (Corradini 1973) Michoux and Soncini in Fauconner and Masure 2004
- Glaphyrocysta perforata* Hultberg and Malmgren 1985 (Plate II D)
- Glaphyrocysta retiintexta* (Cookson, 1965) Stover and Evitt, 1978
- Glaphyrocysta semitecta* (Bujak in Bujak et al. 1980) Lentin and Williams 1981
- Hafniasphaera septata* (Cookson and Eisenack, 1967) Hansen, 1977 (Plate II E)

- Heterosphaeridium?* *heteracanthum* (Deflandre and Cookson, 1955) Eisenack and Kjellström, 1971
- Hystrichodinium pulchrum* Deflandre, 1935. – presumed reworked
- Hystrichokolpoma bulbosum* (Ehrenberg, 1838)
- Hystrichosphaeridium recurvatum* (White 1842) Lejeune-Carpentier, 1940
- Hystrichosphaeridium tubiferum* (Ehrenberg, 1838) Deflandre, 1937 (Plate II F)
- Hystrichosphaeridium* spp. (pars)
- Hystrichosphaeropsis ovum* Deflandre 1935
- Hystrichostrogylon coninckii* Heilmann-Clausen in Thomsen and Heilmann-Clausen, 1985
- Impagidinium* sp. 1 of Thomsen & Heilmann-Clausen 1985, following Slimani et al., 2010
- Impagidinium* spp. (pars)
- Isabelidinium bakeri* (Deflandre and Cookson, 1955) Lentin and Williams 1977
- Kallosphaeridium yorubaense* Jan du Chêne and Adediran, 1985
- Lanternosphaeridium lanosum* Morgenroth, 1966
- Lanternosphaeridium reinhardtii* Moshkovitz and Habib, 1993 (Plate II G)
- Lanternosphaeridium reinhardtii* var. „reduced“. This form of *Lanternosphaeridium reinhardtii* is characterized by a pericyst that is sheathed close to the endocyst. The description is of this variety is already included in the original description of *Lanternosphaeridium reinhardtii* by Moshkovitz and Habib, 1993.
- Lejeuncysta globosa* Biffi and Grignani, 1983
- Lejeuncysta hyalina* (Gerlach 1961) Artzner and Dörhöfer, 1978
- Lejeuncysta izerzenensis* Slimani 2008
- Lejeuncysta* spp. (pars)
- Manumiella coronata* (Stover, 1974) Bujak and Davies, 1983
- Manumiella druggii* (Stover, 1974) Bujak and Davies, 1983, following Thorn et al., 2009 (Plate II H)
- Manumiella seelandica* (Lange 1969) Bujak and Davies 1983, following Thorn et al., 2009
- Membranilarnacia?* *tenella* Morgenroth, 1968 Plate II I)
- Membranilarnacia polycladiata* (*Membranilarnacia multifibrata* in Wilson, 1974), following Slimani et al., 2011
- Neonorthidium perforatum* Marheinecke, 1992
- Odontochitina operculata* (O.Wetzel, 1933) Deflandre and Cookson, 1955 – presumed reworked
- Oligosphaeridium complex* (White, 1842) Davey and Williams, 1966
- Oligosphaeridium saghirum* Slimani et al., 2012. (?Homotryblium sp. of Brinkhuis and Zachariasse, 1988, p. 183, pl. 6, fig. 6, *Oligosphaeridium* sp. cf. *Homotryblium* sp. of Brinkhuis and Zachariasse 1988 of Slimani et al., 2010 p. 115, pl. 10, fig. 10).
- Operculodinium centrocarpum* (Deflandre & Cookson 1955) Wall 1967
- Palaecystodinium australinum* (Cookson 1965) Lentin and Williams 1976
- Palaecystodinium golzowense* Alberti 1961
- Palaecystodinium* spp. (pars)
- Palaehystrichophora infusoroides* Deflandre 1935 – presumed reworked
- Palaeperidinium pyrophorum* (Ehrenberg, 1838) Sarjeant, 1967
- Palaotetradinium silicorum* Deflandre, 1936
- Palynodinium grallator* Gocht, 1970 (Plate II J)
- Palynodinium* cf. *grallator*. This morphotype differs from *Palynodinium grallator* s.s. in having less pronounced lateroventral protrusions
- Pervosphaeridium* spp.
- Phelodinium magnificum* (Stanley 1965) Stover and Evitt, 1978
- Phelodinium pentagonale* Corradini, 1973
- Pierceites pentagonus* (May 1980) Habib & Drugg 1987

- Pterodinium cingulatum* (O. Wetzel, 1933) Below, 1981
Pterodinium cretaceum Slimani, 2008
Pyxidinospis spp.
Raetiaedinium truncigerum (Deflandre, 1937) Kirsch, 1991
Renidinium gracile Hultberg and Malmgren 1985
Riculacysta amplexa Kirsch, 1991
Senegalinium bicavatum Jain and Millepied 1973 (Plate II K)
Senegalinium? dilwynense (Cookson and Eisenack 1965) Stover and Evitt 1978
Senegalinium laevigatum (Malloy 1972) Bujak and Davies 1983 (Plate II L)
Senegalinium microgranulatum (Stanley 1965) Stover and Evitt 1978
Senegalinium obscurum (Drugg 1967) Stover and Evitt 1978
Senoniasphaera inornata (Drugg 1970) Stover and Evitt 1978 (Plate II M)
Senoniasphaera cf. *inornata*. This morphotype differs from *Senoniasphaera inornata* s.s. in having a smaller size and thinner outer wall. In this study it is regarded as an early form of *Senoniasphaera inornata*.
Spinidinium densispinatum (Stanley 1965) following Sluijs et al., 2009
Spinidinium? pilatum (Stanley 1965) following Sluijs et al., 2009
Spiniferella cornuta (Gerlach, 1961)
Spiniferites pseudofurcatus (Klump, 1953) Sarjeant, 1970
Spiniferites ramosus (Ehrenberg 1838) Loeblich and Loeblich, 1966 (Plate II N)
Spiniferites spp. (pars)
Spongidinium delitense (Ehrenberg, 1838) Deflandre, 1936
Surculosphaeridium? longifurcatum (Firtion, 1952) Davey et al., 1966
Tanyosphaeridium xanthiopyxides (Wetzel 1933) Stover and Evitt, 1978
Thalassiphora? cf. *patula*. This taxon differs from *Thalassiphora patula* in having a periphragm that more or less surrounds the entire endophragm, instead of being closely oppressed at the dorsal site.
Thalassiphora? cf. *pelagica*. This taxon differs from *Thalassiphora pelagica* in having a periphragm that more or less surrounds the entire endophragm, instead of being closely oppressed at the dorsal site. In addition, the typical “hole” in the ventral site of the periphragm is missing.
Trabeculodinium quinquestrum Duxbury, 1980 following Mohammed et al., 2012.
Trichodinium castanea (Deflandre, 1935) Clarke and Verdier, 1967 – presumed reworked
Trithyrodinium evittii Drugg 1967 (Plate II O)
Turnhosphaera hypoflata (Yun, 1981) following Slimani et al., 2011
Xiphophoridium alatum (Cookson and Eisenack, 1962) – presumed reworked

10.2: Supplementary data for the geochemical results*10.2.1 SI Table 1*

Concentrations of Cr, Co, Ni, Ir, Ru, Pt, Rh, Pd and Au, for measured samples of the Okçular and Göynük North sections.

OKÇULAR									
Sample	Depth (cm)	Ir	Ru	Pt	Rh	Pd	Cr	Ni	Co
		(ppb)					(ppm)		
OK1140	19.5	0.751	0.853	2.274	0.245	2.291	209	164	22
OK1134*	13.5	0.774	1.058	3.108	0.267	2.349	210	161	27
OK1130	11	0.776	0.774	1.811	0.227	1.913	189	153	22
OK1124	5	1.228	1.233	2.429	0.289	2.303	199	174	27
OK1115	0	7.411	10.374	17.677	3.102	11.382	272	383	84
OK1105	-5.5	0.504	0.578	1.325	0.180	1.069	190	127	20
GÖYNÜK NORTH									
Sample	Depth (cm)	Ir	Ru	Pt	Rh	Pd	Cr	Ni	Co
		(ppb)					(ppm)		
GN +25	25	0.732	0.874	2.230	0.233	2.531	100	83	12
GN +15	15	0.873	0.950	1.969	0.218	1.972	62	56	9
GN +6	6	1.523	1.476	2.695	0.269	2.690	140	113	19
Goyruk KT	0.5	7.284	12.204	21.995	3.804	16.762	215	300	31
GN -2	-2	0.292	0.445	1.114	0.131	1.443	130	132	17
GN -6	-6	0.302	0.455	1.344	0.177	1.644	131	115	16
GN-13	-13	0.415	0.466	1.787	0.233	1.858	116	98	15

*This sample represents the second reddish layer in the Okçular section.

10.2.2 *SI Table 2* $\delta^{13}\text{C}$ data for *Okçular* and *Göynük North* sections

OKÇULAR SECTION								
Sample No	Depth (cm)	$\delta^{13}\text{C}$ (‰)	Sample No	Depth (cm)	$\delta^{13}\text{C}$ (‰)	Sample No	Depth (cm)	$\delta^{13}\text{C}$ (‰)
OK-1304	183,5	0,27	OK-1174	53,5	-0,83	OK-1094	-16,5	0,00
OK-1295	174,5	0,19	OK-1171	50,5	-0,93	OK-1093	-17,5	-0,07
OK-1292	171,5	0,03	OK-1164	43,5	-0,96	OK-1092	-18,5	0,05
OK-1288	167,5	-0,04	OK-1161	40,5	-0,86	OK-1090	-20,5	-0,07
OK-1286	165,5	-0,11	OK-1158	37,5	-0,82	OK-1089	-21,5	-0,57
OK-1282	161,5	0,17	OK-1154	33,5	-0,82	OK-1088	-22,5	-0,19
OK-1274	153,5	0,03	OK-1150	29,5	-0,92	OK-1086	-24,5	-0,42
OK-1270	149,5	-0,07	OK-1148	27,5	-0,97	OK-1084	-26,5	-0,13
OK-1262	141,5	-0,52	OK-1146	25,5	-0,80	OK-1081	-29,5	-0,20
OK-1258	137,5	-0,34	OK-1144	23,5	-0,73	OK-1080	-30,5	-0,19
OK-1255	134,5	-0,25	OK-1138	17,5	-1,45	OK-1078	-32,5	-0,15
OK-1250	129,5	-0,69	OK-1137	16,5	-1,42	OK-1077	-33,5	-0,16
OK-1246	125,5	-0,48	OK-1136	15,5	-1,55	OK-1076	-34,5	-0,19
OK-1242	121,5	-0,62	OK-1131	12	-1,64	OK-1074	-36,5	-0,08
OK-1240	119,5	-0,25	OK-1130	11	-1,56	OK-1070	-40,5	-0,06
OK-1238	117,5	-0,62	OK-1128	9	-1,26	OK-1066	-44,5	-0,14
OK-1236	115,5	-0,53	OK-1127	8	-1,20	OK-1065	-45,5	-0,06
OK-1234	113,5	-0,69	OK-1126	7	-1,39	OK-1062	-48,5	0,05
OK-1232	111,5	-0,79	OK-1125	6	-1,58	OK-1060	-50,5	-0,26
OK-1230	109,5	-0,42	OK-1124	5	-1,83	OK-1059	-53	-0,01
OK-1226	105,5	-0,59	OK-1123	4	-1,59	OK-1057	-57,5	-0,06
OK-1224	103,5	-0,76	OK-1122	3	-1,55	OK-1056	-59,5	-0,16
OK-1222	101,5	-0,74	OK-1121	2,5	-1,60	OK-1055	-61,5	-0,39
OK-1220	99,5	-0,67	OK-1120	2	-1,47	OK-1053	-65,5	-0,43
OK-1218	97,5	-0,68	OK-1118	1	-1,52	OK-1052	-67,5	-0,27
OK-1214	93,5	-0,73	OK-1117	0,5	-1,27	OK-1049	-72,5	-0,18
OK-1212	91,5	-0,65	OK-1114	-0,5	0,15	OK-1047	-81,5	-0,07
OK-1209	88,5	-0,78	OK-1113	-1	0,00	OK-1045	-88,5	-0,31
OK-1206	85,5	-0,65	OK-1112	-1,5	-0,11	OK-1044	-91,5	-0,15
OK-1202	81,5	-0,67	OK-1111	-2	-0,42	OK-1043	-94,5	-0,21
OK-1200	79,5	-0,65	OK-1110	-2,5	0,02	OK-1042	-97,5	0,12

OK-1196	75,5	-0,82		OK-1108	-3,5	-0,42	OK-1041	-99,5	-0,20
OK-1194	73,5	-0,90		OK-1107	-4	-0,28	OK-1040	-101,5	-0,15
OK-1192	71,5	-0,87		OK-1106	-4,5	-0,19	OK-1038	-105,5	-0,12
OK-1190	69,5	-0,79		OK-1105	-5,5	-0,17	OK-1037	-108,5	-0,19
OK-1188	67,5	-0,75		OK-1103	-7,5	-0,26	OK-1036	-111,5	-0,30
OK-1186	65,5	-0,73		OK-1102	-8,5	-0,57	OK-1035	-113,5	-0,33
OK-1184	63,5	-0,76		OK-1101	-9,5	-0,73	OK-1034	-116,5	-0,30
OK-1182	61,5	-0,74		OK-1100	-10,5	-0,24	OK-1032	-122,5	-0,05
OK-1180	59,5	-0,68		OK-1098	-12,5	-0,25	OK-1030	-129,5	-0,34
OK-1178	57,5	-0,78		OK-1096	-14,5	-0,24	OK-1029	-132,5	-0,14
OKÇULAR SECTION				GÖYNÜK NORTH SECTION					
Sample No	Depth (cm)	$\delta^{13}\text{C}$ (‰)		Sample No	Depth (cm)	$\delta^{13}\text{C}$ (‰)			
OK-1028	-135,5	-0,31		GN-55	80	0,74			
OK-1027	-137,5	-0,38		GN-48	45	0,63			
OK-1026	-140,5	-0,35		GN-46	35	0,73			
OK-1025	-143,5	-0,22		GN-44	25	0,66			
OK-1024	-145,5	-0,25		GN-42	18	1,05			
OK-1023	-148,5	-0,50		GN-39	10	0,38			
OK-1022	-151,5	-0,38		GN-39A	10	0,47			
OK-1021	-154,5	-0,57		GN-38	8	0,39			
OK-1020	-156,5	-0,70		GN-35	2	-0,05			
OK-1019	-159,5	-0,65		GN-33	-1	1,23			
OK-1018	-161,5	-0,67		GN-32	-2	1,23			
OK-1017	-164,5	-0,53		GN-32A	-2	1,36			
OK-1016	-167	-0,40		GN-31	-4	1,43			
OK-1014	-175,5	-0,42		GN-30A	-6	1,21			
OK-1013	-178,5	-0,24		GN-29	-8	1,34			
OK-1011	-189,5	-0,56		GN-27	-10	1,36			
OK-1009	-196,5	-0,56		GN-26	-13	1,46			
OK-1008	-199,5	-0,49		GN-25	-14	1,29			
OK-1006	-212,5	-0,56		GN-24	-15	1,40			
OK-1005	-216,5	-0,56		GN-23	-18	1,32			
OK-1003	-224,5	-0,89		GN-22	-19	1,34			
OK-1002	-227,5	-0,92		GN-21	-21	1,44			
OK-1001	-230,5	-0,94		GN-20	-25	1,43			
				GN-19	-30	1,53			

A NEW K-PG BOUNDARY LOCALITY IN THE MUDURNU-GÖYNÜK BASIN

			GN-17	-40	1,28			
			GN-16	-45	1,30			
			GN-13	-60	1,36			
			GN-12	-65	1,46			
			GN-11	-70	1,45			
			GN-9	-80	1,35			
			GN-8	-85	1,18			
			GN-7	-90	1,09			
			GN-6	-95	1,20			
			GN-5	-100	1,27			

CHAPTER III

Rapid short-term cooling following the Chicxulub impact at the Cretaceous-Paleogene boundary

Abstract

The mass extinction at the Cretaceous-Paleogene boundary, ~66 Million years ago, is thought to be caused by the impact of an asteroid at Chicxulub, present day Mexico. While the precise mechanisms that led to this mass extinction remain enigmatic, most postulated scenarios involve a short-lived global cooling, a so-called 'impact winter' phase. Here, we document a major decline in sea surface temperature during the first months to decades following the impact event, using TEX_{86} paleothermometry of sediments from the Brazos River section, Texas, USA. We interpret this cold spell to reflect the first direct evidence for the effects of the formation of dust and aerosols by the impact and their injection in the stratosphere, blocking incoming solar radiation. This 'impact winter' was likely a major driver of mass extinction because of the resulting global decimation of marine and continental photosynthesis.

I. Introduction

The Cretaceous-Paleogene (K-Pg) boundary mass extinction was one of the most devastating events in the Phanerozoic history of life both on land and in the oceans (Bambach, 2006; Alroy, 2008). It is widely acknowledged to be related to the impact of an asteroid with an estimated diameter of ~10 km at Chicxulub, Yucatan Peninsula, Mexico (Alvarez et al., 2008; Smit and Hertogen, 1980; Schulte et al., 2010). Impact-models suggest that the first hours after the impact were characterized by earthquakes and tsunamis, and the so-called ‘fireball-stage’, including an intense heat pulse resulting from the return flux of larger ejecta, in turn resulting in global wildfires (Robertson et al., 2004). Next, the dust and sulfur aerosols, originating from the anhydrite target rocks, are predicted to have partially blocked incoming solar radiation leading to an “impact winter” (Pope et al., 1997; Pierazzo et al., 1998), potentially further amplified by soot derived from burning of fossil organic matter in targeted sediments, a strong absorber of short-wave radiation (Harvey et al., 2008). This dark phase is proposed to have temporarily inhibited photosynthesis, causing a global collapse of terrestrial and marine food webs (Schulte et al., 2010; Kring, 2007).

Model simulations suggest that the amount of sunlight reaching Earth’s surface was potentially reduced to ~20% (Pope et al., 1997). This implies a ~ 170 W*m⁻² reduction in energy supply, that should have resulted in a severe but short-lived drop in global surface temperature (Kring, 2007; Pierazzo et al., 2003). The resulting enhanced contrast between relatively warm oceans and cold atmosphere likely fuelled large storms and hurricanes (Covey et al., 1994; Emanuel et al., 1995), increasing the residence time of dust in the atmosphere. In the months to decades following the impact, the atmosphere probably stabilized and dust began to rain down and accumulate in depositional settings. This included asteroid-derived trace elements, globally recognized as a peak in Platinum Group Elements (PGE; including Iridium) concentrations in complete marine and terrestrial successions (Claeys et al., 2002). Crucially, fossil evidence for this ‘impact winter’ scenario is still missing since this period of reduced solar radiation may only have lasted several months to decades (Pope et al., 1994; Pierazzo et al., 1998; Galeotti et al., 2004; Harvey et al., 2008), generally too short to be captured in the ancient sedimentary record. In case of the K-Pg boundary this is even more complicated because the traditional proxy-carriers for the surface ocean conditions, calcareous microfossils, experienced major extinction (Hull et al., 2011). Furthermore, diagenetic alteration is commonly noted in post extinction biotic carbonates, inhibiting accurate temperature reconstructions (Magaritz et al., 1992).

Among the few sections with potentially sufficient temporal resolution across the K-Pg boundary are the exceptionally well-preserved and well-studied outcrops exposed along the Brazos River between Waco and Hearne, Texas, USA (31° 7'53.59"N, 96°49'26.08"W; Fig. 1). In the Late Cretaceous and early Paleogene, the Brazos area was characterized by nearly continuous and predominantly siliciclastic sedimentation on the shallow shelf of the northern Gulf of Mexico, close to the entrance of the Western Interior Seaway (Davidoff, 1993; Kennedy et al., 1998), at estimated depths of 75 to 200 m (Bourgeois et al., 1988; Smit et al., 1996). The sedimentary successions in this region comprise the Maastrichtian Corsicana (Kemp Clay) Formation and the Paleocene basal and upper Littig members of the Kincaid Formation.

At Brazos River, the K-Pg boundary interval has been further subdivided in a series of the lithological units (Units A to J; Fig. 2) (Bourgeois et al., 1988; Smit et al., 1996). The upper Maastrichtian fossiliferous shales of the Corsicana Formation (Unit A) (Hansen et al., 1987; Smit et al., 1996) are overlain by the basal part of the Paleocene Kincaid Formation, consisting of a sequence of sandstone layers yielding multiple types of clasts and shell debris (Units B, C & D)

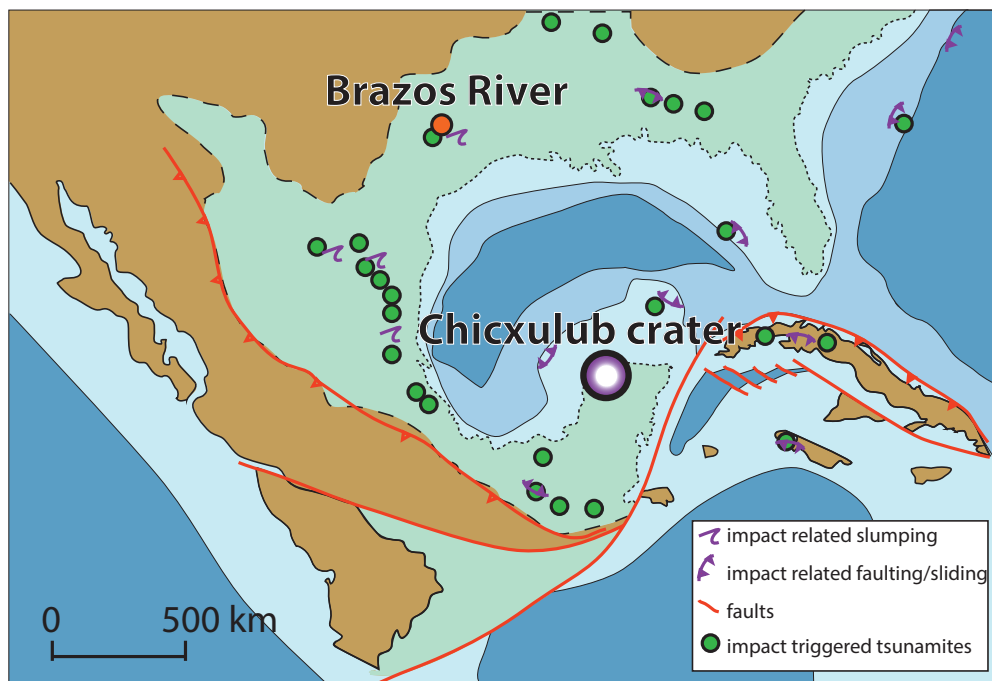


Figure 1.

A paleogeographic map of the Gulf of Mexico at the end of the Cretaceous. The Brazos-1 locality (red dot) and the Chicxulub crater are indicated, as well as other sites (green dots) with K-Pg impact-related tsunamites, slumping, faulting and sliding compiled in Smit et al (1996). Paleogeography based on Stéphan et al. (1990).

that have been interpreted as impact-triggered tsunami deposits (Smit and Romein, 1985; Hansen et al., 1987; Bourgeois et al., 1988; Smit et al., 1996; Schulte et al., 2006). The top of this sandstone complex comprises abundant burrows. The overlying complex of organic-rich silts and mudstones (Units E, F and G) include the Ir anomaly, consisting of scattered and smeared-out peaks of up to 2 ppb (Hansen et al., 1987; Smit et al., 1996) (Supplementary Information).

Traditionally, the age model of the Brazos River 1 (BR1) section is based on biostratigraphy, the Ir anomaly and the identification of impact-related tsunami beds (Fig. 3). Here, we update this age model with higher resolution planktic foraminifer and organic-walled dinoflagellate cyst (dinocyst) biostratigraphy (SI Materials and Methods Fig. 3). We also present a grain size record to further refine the temporal sequence of events following the impact. Finally, we generated TEX_{86} paleo-sea surface temperature (SST) proxy record to assess temperature changes across the K-Pg boundary at Brazos River. The TEX_{86} paleo-thermometer is based on glycerol dibiphytanyl glycerol tetraether (GDGT) lipids produced by marine Thaumarchaeota (Schouten et al., 2002). A full methodological description is available in SI Materials and Methods. Our study is the first to apply the TEX_{86} SST proxy to reconstruct very fast (decadal) changes in deep time. Critically, mesocosm and sediment trap studies have shown that in the modern ocean, the Thaumarchaeota that produce the GDGTs adjust their membrane lipids to ocean water temperatures within weeks (Wuchter et al., 2004; Wuchter et al., 2006). Furthermore, Thaumarchaeota have been shown to be

able to grow chemoautotrophically in complete darkness (Wuchter et al., 2004). Therefore, strong changes in SST over months to decades, as projected to have occurred during an “impact winter”, can be recorded using TEX₈₆.

2. Results and Discussion

The grain-size data show that the complex of Units E, F and G is fining upwards (see Fig. 2), suggesting that it was formed in a rapid depositional event (Smit et al., 1996). This material was probably initially deposited as the settling tail-end of the impact-induced tsunami/seiche (Bourgeois et al., 1988; Smit et al., 1996) and subsequently re-suspended during post-impact storms (Hansen et al., 1987; Hart et al., 2012). Such storms might have been triggered by the enhanced contrast between warm oceans and cold atmosphere during an impact winter (Covey et al., 1994). Supporting evidence for these storms has also been found in the Geulhemmerberg section in the Netherlands, where the lowermost Danian is characterized by an alternating sequence of shell hashes and clays, interpreted to be related to episodic storm wave activity alternated with unusually low energy conditions (Smit and Brinkhuis, 1996). At Brazos river, the rapid deposition of the complex of Units E, F and G would have occurred in the waning stages of such storms. Numerical model experiments have shown that the temperature contrast causing these storms lasted for less than a century (Galeotti et al., 2004). Therefore, we assume that Units E, F and G were deposited less than 100 years after the impact. The occurrence of the Ir anomaly within this complex confirms our estimation of the maximum duration of the deposition of Units E, F and G, since the settling down of PGE-bearing impact dust likely occurred on a similar timescale (Kring and Durda, 2002). Modern day examples of dust input by volcanic eruptions, burning oil wells and nuclear bomb testing resulted in settling times of months to years (Mackinnon et al., 1984; Hobbs and Radke, 1992; Oman et al., 2006; Robock et al., 2007). Various studies have indicated that with high-energy events such as nuclear explosions and asteroid impacts black carbon particles and aerosols are knocked into the upper stratosphere, where they quickly spread globally and produce a long lasting climate forcing (Robock et al., 2007). With these kind of events, particles and aerosols end up much higher than for example volcanic aerosols, which typically end up just above the tropopause (Oman et al., 2006). As a result, aerosols produced by large impacts will have a residence time that is considerably longer than those produced by for example volcanos and burning oil wells.

Some of these estimates do not include the time it takes for particles to sink from the sea surface to the seafloor, which significantly prolongs the settling time for the very fine fraction to which the impact-derived PGEs are believed to be associated (Claeys et al., 2002; Kring and Durda, 2002). Moreover, the proposed extraordinary large storms during the initial impact winter phase might have temporarily re-suspended material, both in the atmosphere as well as in the ocean, further delaying the deposition of PGE-bearing impact dust. As a result, previous studies have resulted in a wide range of different estimated settling times, ranging from <10 years up to >10 kyr, although these latter values seem improbable because mechanisms to keep particles suspended for such prolonged periods of time appear lacking (Kring and Durda, 2002). With estimated water depths of 75 to 200 m (Bourgeois et al., 1988; Smit et al., 1996), settling time of PGE-bearing impact dust will have been in the order of 1-100 years at the Brazos river site. The scattered nature of the Ir record, combined with the dinocyst assemblages, implies that the complex of Units E, F and G reflects a mixture of earliest Paleocene and reworked uppermost Maastrichtian materials.

Given the time required to deposit the Iridium on the sea floor and given the presence of burrows in the top of the underlying tsunami deposited sandstone Unit D, the rapid deposition of this mixture eventually took place years to decades after the impact. Therefore, although mixed, the

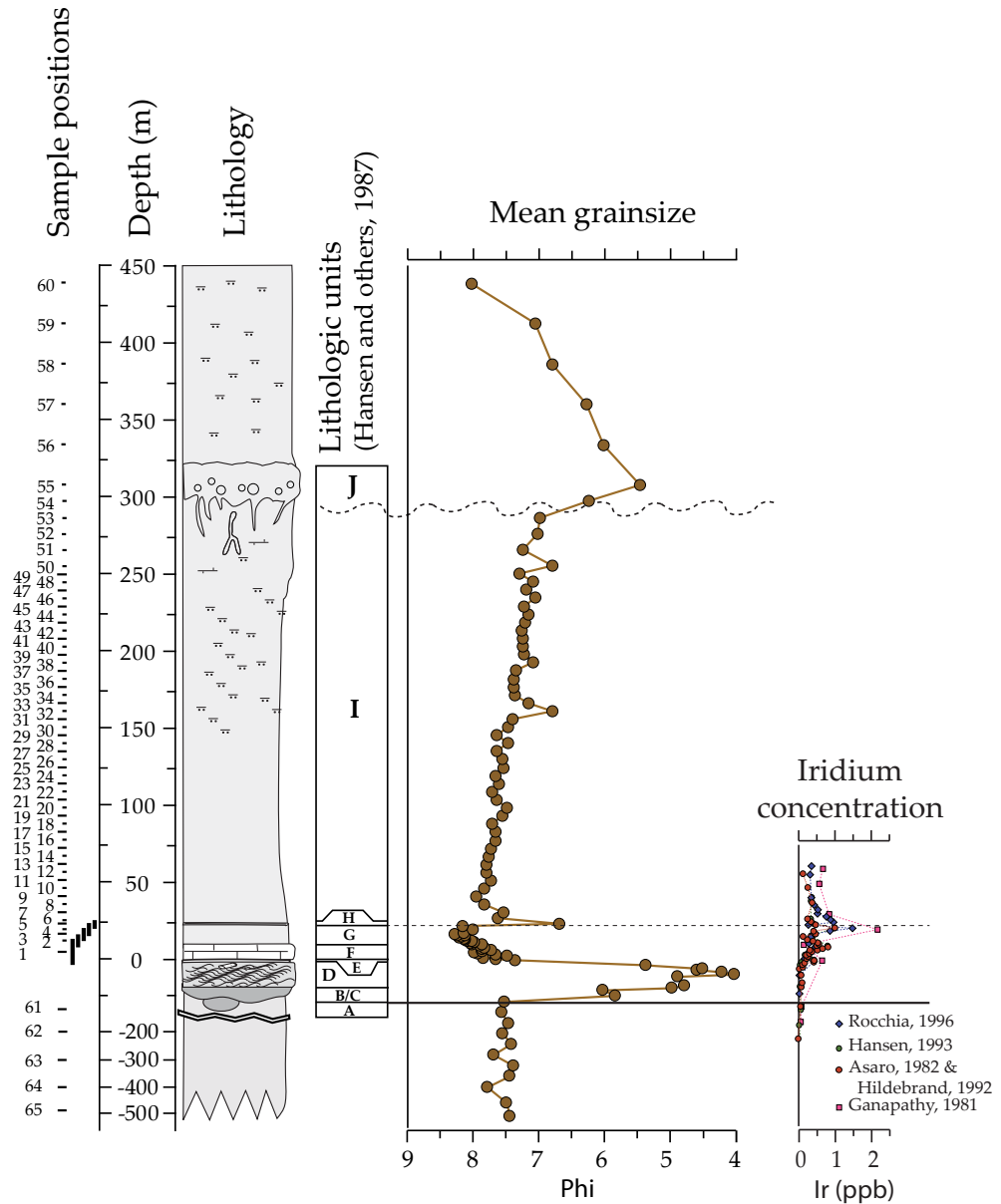


Figure 2.

Sample positions of the 1995 sample set, plotted with lithological units, mean grain size on the Krumbein phi (ϕ) scale and four different Iridium profiles, from (Ganapathy, 1981; Asaro et al., 1982; Hansen et al., 1993; Rocchia et al., 1996). Note the scale difference between the Maastrichtian interval and the Danian interval.

complex of Units E, F and G provides a unique insight in the environmental conditions in the first decades following the K-Pg boundary impact.

Our TEX_{86} proxy record can be subdivided in three phases/intervals (I-III; Fig. 3). Interval I shows that Uppermost Cretaceous SSTs were stable and high, with values of $\sim 30\text{-}31^\circ\text{C}$ using the calibration (Kim et al., 2010), in agreement with published proxy records and climate model simulations for the Upper Cretaceous (Pearson et al., 2001; Donnadieu et al., 2006). Within the tsunami deposits, TEX_{86} values cannot be used to reconstruct SST because of high concentrations

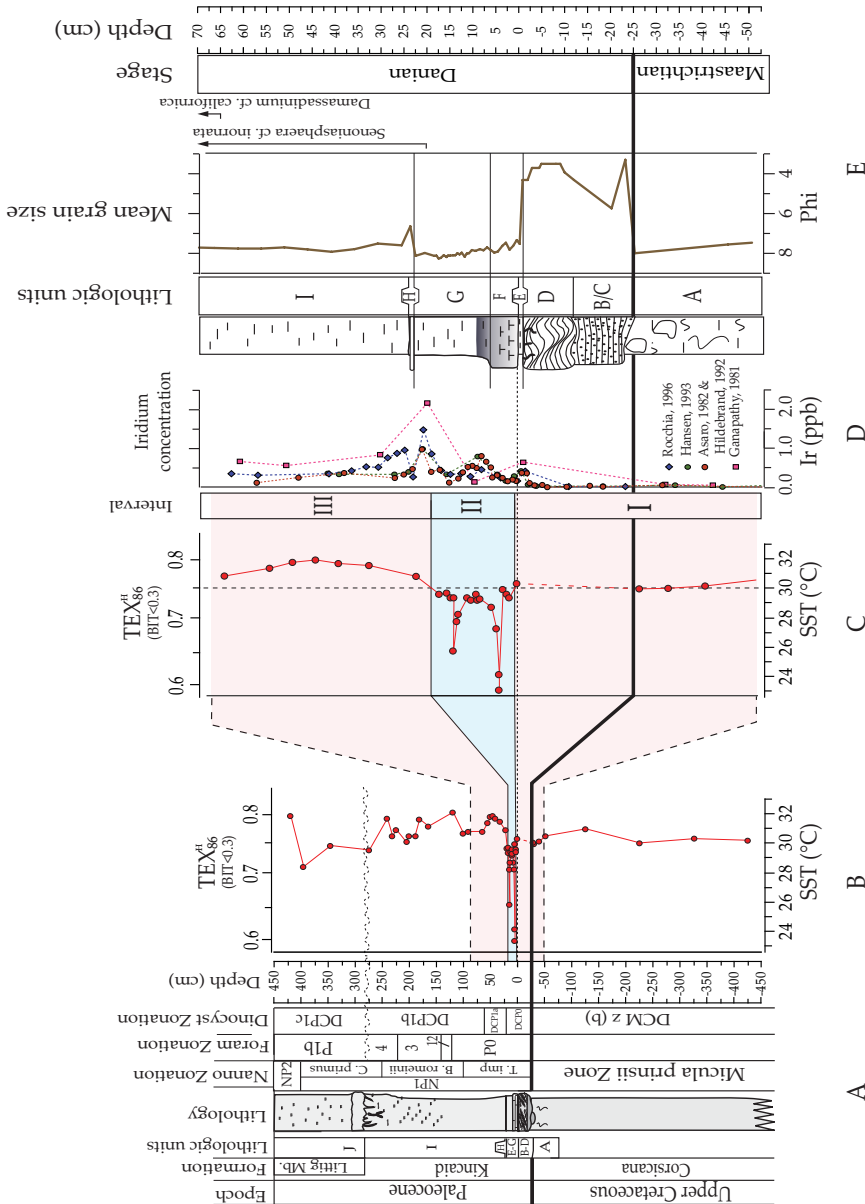


Figure 3. Age-model, and grain size results at the Brazos-1 section. (A) Lithostratigraphy and biostratigraphy, including calcareous nanofossil, planktic foraminifera and dinocyst biostratigraphy, at Brazos-1. (B) -based paleo SST reconstruction. (C) Zoom of the record across the K-Pg boundary interval. (D) Four different Ir records across the K-Pg boundary interval at the Brazos-1, from (Ganapathy, 1981; Asaro et al., 1982; Hansen et al., 1993; Rocchia et al., 1996; see Supplementary Information). (E) Lithology and grain size distribution on the Krumbein phi () scale across the K-Pg boundary interval and First Appearance Datums (FADs) of the first Danian dinocyst marker species.

of terrestrially derived GDGTs (Weijers et al., 2006) (Supplementary Figs. 7 and 8). However, in the section directly above the main tsunami deposits (Units E, F and G; interval II) a distinct cooling phase is recorded, with average SSTs up to 2 °C lower than pre-impact values and two significant drops of up to 7 °C below pre-impact values. In the subsequent Interval III, SSTs are generally 1-2 °C higher than those for the pre-impact deposits.

The most remarkable features in our data are the two prominent drops in SST in the post-impact, mixed tsunami- storm deposits. As indicated above, this interval, and likely also the GDGTs, represents a mixture of redeposited uppermost Maastrichtian and immediate post-impact materials that was eventually deposited within the settling tail of tsunami activity and in the waning stage of the post-impact storms. Variable amount of mixing of reworked uppermost Maastrichtian GDGT's with basal Paleocene post-impact GDGT's might explain the multiple peaks of both our TEX₈₆ record and the Ir profiles. The chaotic nature of the basal Paleocene TEX₈₆ record contrasts with relatively stable and warm uppermost Maastrichtian SSTs. Hence, the samples that yield the lowest SSTs probably represent a mixture of uppermost Maastrichtian and direct post-impact GDGTs with a relatively high abundance of post impact materials, causing substantially lower TEX₈₆ values. Considering the stable and warm uppermost Maastrichtian, the immediate post-impact SSTs must have been substantially lower. Since rapid deposition of the complex of Units E, F and G occurred within 100 years after the impact, the cooling recorded in these units likely happened in the first months to decades following the K-Pg impact. Our SST record thus provides the first evidence for a transient, global “impact winter” after the K-Pg boundary impact. The duration of this cold spell is in agreement with coupled ocean-atmosphere model results, suggesting that impact induced dust and aerosol loading will result in lower SSTs for several decades, even after most of the dust has been removed from the atmosphere (Luder et al., 2002). Our results of short-term cooling following the K-Pg asteroid impact are supported by a migration of cool, boreal dinoflagellate species into the sub-tropic Tethyan realm directly across the K-Pg boundary interval (Brinkhuis et al., 1998; Galeotti et al., 2004) and the ingress of boreal benthic foraminifera into the deeper parts of the Tethys Ocean, interpreted to reflect millennial time-scale changes in ocean circulation following the impact, attributed to a hypothesized short-term cooling of 1-10 years (Galeotti et al., 2004).

The global “impact winter”, characterized by darkness and a dramatic cooling of ocean surface waters, perturbed a relatively stable, warm latest Cretaceous climate (Pearson et al., 2001) and likely represented a major stress factor for life on Earth. Therefore, it is expected to have been a key contributory element in the mass extinction at the K-Pg boundary. Additionally, when the large amount of aerosols injected into the atmosphere rained out they might have resulted in acidification of the surface oceans (D'Hondt et al., 1994), a further stressor for surface dwelling organisms. The initial cooling was followed by a long-term warming trend (Brinkhuis et al., 1998), also observed in our TEX₈₆ record (Fig. 2), and in previously reported stable isotope analyses (Romein and Smit, 1981) that most likely is associated with greenhouse gasses released into the atmosphere from the vaporization of carbonate target rock, the mass-mortality and forest fires (Kring, 2007; Brinkhuis et al., 1998; Pierazzo et al., 1998; Harvey et al., 2008). Our study reveals a combination of environmental and climatological events that is compatible with the pattern of extinction of many biological clades, including most species of planktic foraminifera and many coccolithophorids, but also larger marine taxa like ammonites and marine reptiles, in addition to the dinosaurs and flying reptiles (Galeotti et al., 2004; Bambach, 2006; Alroy, 2008).

3. Materials and Methods

For TEX₈₆ analyses, freeze-dried, powdered samples (~10 g dry mass) were extracted with an accelerated solvent extractor using a 9:1 (v/v) dichloromethane (DCM):methanol solvent mixture. The obtained extracts were separated over an activated Al₂O₃ column, using 9:1 (v/v) hexane:DCM, ethyl acetate (100%), 95:5 (v/v) DCM:MeOH and 1:1 (v/v) DCM:methanol, into apolar, ethylacetate and tetraether and polar fractions, respectively. The tetraether fractions were analyzed by HPLC/APCI-MS (high-performance liquid chromatography/atmospheric pressure positive ion chemical ionization mass spectrometry) using an Agilent 1100 series LC/MSD SL. TEX₈₆ indices were calculated and converted into temperature estimates as described in Supplementary Information (SI Fig. 7-10).

For palynological analyses, oven-dried samples (~10-15 g dry mass) were spiked with *Lycopodium* spores to facilitate the calculation of absolute palynomorph abundances. Chemical processing comprised treatment with 10% HCl and 40% HF for carbonate and silica removal, respectively. Ultrasonication was used to disintegrate palynodebris. Residues were sieved over a 15- μ m mesh and mounted on microscope slides, which were analysed at x200 and x1000 magnification to a minimum of 200 dinocysts. A detailed, step-by-step processing protocol is given in Supplementary Information. Taxonomy used follows that cited in the Lentin and Williams Index of Fossil Dinoflagellates, 2004 (Fensome and Williams, 2004), unless stated otherwise. See Supplementary Information for taxonomical notes. All slides are stored in the collection of the Laboratory of Palaeobotany and Palynology, Utrecht University, the Netherlands.

For analyses of planktic foraminifera, standard micropaleontological techniques were applied (Supplementary Information).

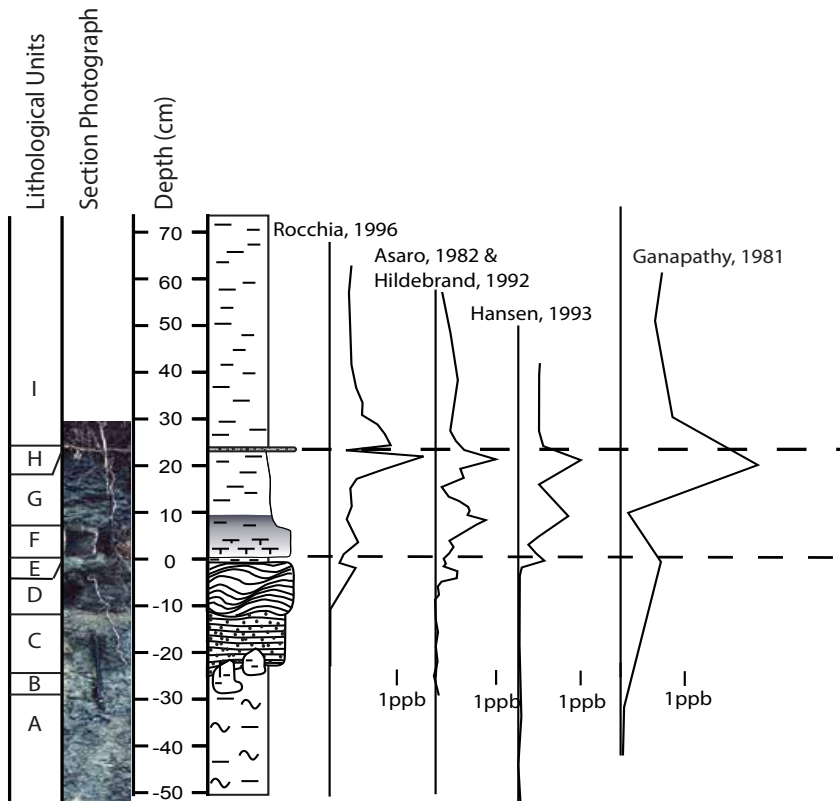
The grain size distribution was determined on a Fritsch A-22 laser particle sizer (Supplementary Information).

4. Supplementary Information

4.1 Materials

4.1.1 Geological setting

The K-Pg boundary deposits outcropping along the Brazos River between Waco and Hearne, Texas comprise an exceptionally well-preserved sedimentary succession (Ganapaty et al., 1981; Jiang and Gartner, 1986; Hansen et al., 1987; Bourgeois et al., 1988; Keller, 1989; Yancey, 1996; Smit et al., 1996; Heymann et al., 1998; Schulte et al., 2006). In most previous studies, the K-Pg boundary interval has been subdivided in a series of lithological units (Units A to J) first described in Hansen et al. (1987). The uppermost Maastrichtian Corsicana Formation consists of dark grey – brown mudstones, which are slightly laminated and include occasional shell hashes and other small mollusks. The top of the Corsicana Formation shows evidence of physical disruption with shell stringers and faint, plastically deformed clay clasts (Unit A). This soft-sediment deformation is most likely related to the force of the tsunami waves traversing the shelf. The basal coarse-grained part of the Paleocene Littig Member Unit B is scoured into Unit A and contains boulders and rip-up clasts. The Lower Littig Member consists of a distinct sequence of graded shell-hashes, cross-bedded sands and silts that is sometimes referred to as the K-Pg boundary “sandstone complex”



SI Figure 1.

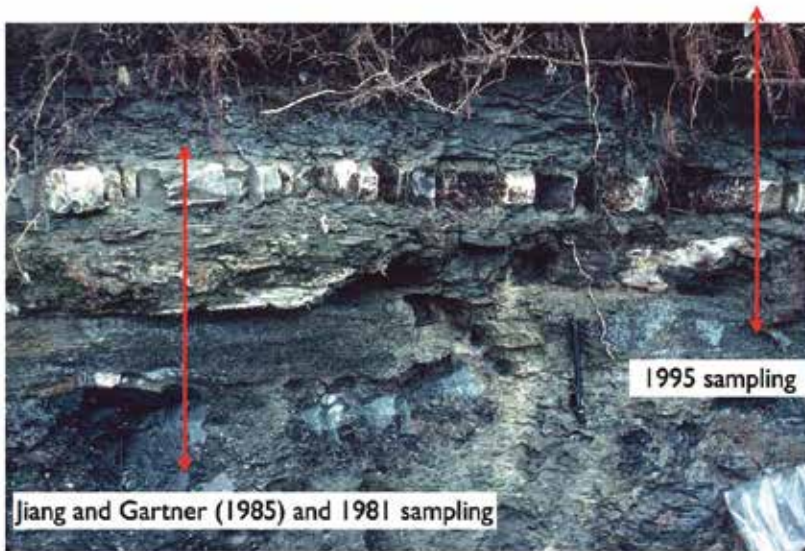
Compilation of published iridium profiles from the Brazos-1 section. These profiles can be directly compared, because they were sampled within a few m from each other.

(Units B-G) (Smit et al., 1996). The “sandstone complex” is further subdivided in a lower (B-D) and an upper complex (E-G). The lower complex is interpreted as tsunami deposits triggered by the Chicxulub impact on the Yucatan peninsula on present day Mexico (Hansen et al., 1987; Bourgeois et al., 1988; Hildebrand et al., 1991; Yancey, 1996; Smit et al., 1996;). Abundant altered impact spherules have been found in the lower B/C units (Smit et al., 1996), mixed in with backwashed local seafloor debris such as shell hash, fish-teeth, glauconite pellets and shallow-water foraminifera. Unit D consists of cross-bedded medium to fine-grained sands, displaying mainly climbing-ripple tracts from the tsunami backwash. The sequence C-E may repeat locally up to four times, reflecting backwash from several individual tsunami surges. The upper complex of the K-Pg boundary “sandstone complex” comprises Units E, F and G, grading from very fine sand, to silt to mudstone. The base of this complex consists of a 1-2 cm thick siltstone (Unit E), overlain by a silty limestone (Unit F, 8 cm thick), and a subsequent grey claystone (Unit G, 10 cm). This complex shows a normal gradation and has previously been interpreted to be deposited within a time span of 24 hours to weeks, as part of the settling phase of the tsunami/seiche complex deposited directly after the K-Pg boundary bolide impact (Smit et al., 1996) in the Gulf. However, the Iridium records already show elevated levels at the base of Unit E (Ganapathy et al., 1981; Asaro et al., 1982; Hansen et al., 1987; Rocchia et al., 1996; see SI Fig 1). Since it may take days to years to deposit the very fine-grained impact-derived Platinum Group Elements (PGEs) on the sea floor (Robin et al., 1991; Kring and Durda, 2002), the complex of Units E-G probably represents a rapid depositional event that may have occurred at least weeks after the K-Pg boundary tsunami, largely as the deposition of suspended material after the waning of the tsunami waves, combined with disturbances by the large storms and hurricanes that occurred in the decades following the K-Pg boundary impact (Emanuel et al., 1995; Hart et al., 2012) (see discussion under Section 3). The PGE-bearing, immediate post-impact sediments deposited directly on top of the tsunami deposit have probably been rapidly redeposited in the upper complex of Units E-G by these storms. This upper complex is overlain by a 5 cm thick, laminated sandy bed with small shells and thin clay flakes (Unit H), which may represent a storm lag deposit of the last great storm passing this site. Following this is Unit I, a ~2.5 m thick silty claystone that is herein interpreted as representing the resumption of normal marine shelf sedimentation at this site. This unit is unconformably overlain by a conglomerate of the Kincaid Formation, the upper Littig Member (Unit J). This member is composed of a 0.3-0.6 meter thick glauconitic sandy clay with grains, granules and pebbles, followed by a marly claystone bed which forms the top of the section.

4.1.2 *Sampling*

At Brazos River, the Paleocene stratigraphy differs considerably from outcrop to outcrop (Hansen et al., 1987), so first and last appearances and amount of reworking are difficult to compare between the various outcrops. The Brazos-1 outcrop (BR1), the first well-studied outcrop has been partially destroyed due to sampling excavations and currently inaccessible because of recent fluvial activity, which renders additional sampling difficult. Our analyses on organic-walled dinoflagellate cysts, biomarkers (TEX₈₆), planktic foraminifers and grain size were all performed on a sample set acquired in 1995, when the outcrop was still relatively well exposed and accessible. This sample set was taken within a few meters from the section where Hansen et al., (1987) and Jiang and Gartner (1986) obtained their samples of mollusks and calcareous nannofossils (SI Fig. 2), minimizing the stratigraphic differences between our and the earlier published biostratigraphic results.

In the extensive 1995 sampling performed at BR1, great care was taken to acquire unweathered rock samples, to limit possible contamination by for example modern soil microbiota. A closely



SI Figure 2.

Brazos-1 outcrop situation in 1981, when the outcrop was still easily accessible. See pen for scale.

spaced sample set was obtained, to attain a high temporal resolution. The stratigraphic position was measured from the base of the graded silty limestone, Unit F, since this level is sharp, well visible and constant throughout Brazos-1 and the other outcrops in the Brazos area. In total, about 100 samples were collected between 500 cm below the base of Unit F and 420 cm above the base of Unit F. The beds directly above the top of the sandstone (interval E-H) were sampled in large continuous blocks, which were slabbed in slices of 0.5 cm thickness. Unit I was sampled at 5 cm spacing in the first 2.5 m above the sandstone beds, the remainder to the Littig bed at 10cm and the top of the section at 25 cm intervals. The samples were split in aliquots for analysis of planktic and benthic foraminifers, organic-walled dinoflagellate cysts and TEX_{86} analysis. All samples were oven-dried at 60°C and stored at the VU University Amsterdam Faculty of Earth and Life Sciences sample storage, the Netherlands. A selection of these samples was used in the present study.

4.2 Methods

4.2.1 *Planktic foraminifera*

To construct a biostratigraphic framework for the section, 65 samples were weighed and washed over a nylon mesh of 63 μ m. A split of the >63 μ fraction was analyzed using a binocular at magnification of 125x and from the >124 μ fraction about 200-400 specimens were randomly picked and counted. The remaining residue was searched for rare specimens and species.

4.2.2 *Palynology*

Palynological processing followed the standardized quantitative methods used at the Laboratory of Palaeobotany and Palynology, Utrecht University, The Netherlands (Houben et al., 2011). Briefly, approximately 10 g of each sample was crushed, oven dried (60°C), weighed and a known amount (10679, 1 = 5%) of modern *Lycopodium clavatum* spores was added. The

samples were then treated with 10% HCl and subsequently with 40% HF to dissolve carbonate and siliceous components, respectively. The residue was sieved over nylon mesh sieves of 250 μm and 15 μm . From the residue of the 15–250 μm fraction, quantitative slides were made on well mixed representative fractions. In the present study, 84 samples were analyzed for palynology. Per sample, a minimum of 200 dinocysts was identified to the species level at $\sim 500\times$ magnification.

4.2.3 Organic geochemical analyses

Organic compounds were extracted from powdered and freeze-dried rock samples of approximately 10 g with dichloromethane (DCM)/methanol (MeOH) (9:1, v/v) using a DIONEX accelerated solvent extractor (ASE 200) at a temperature of 100°C and a pressure of 7.6×10^6 Pa. Excess solvent was removed by means of rotary evaporation under near vacuum. The total extracts were separated in 4 fractions over an activated Al_2O_3 column successively using hexane:dichloromethane (DCM) (9:1, v/v), ethyl acetate (100%), DCM:MeOH (95:5, v/v) and DCM:MeOH (1:1, v/v).

Following this, 250 ng of a C_{46} Glycerol Trialkyl Glycerol Tetraether internal standard (Huguet et al., 2006) was added to the DCM:MeOH (95:5, v/v) fraction for quantification purposes. This fraction was subsequently dried and redissolved in a hexane:isopropanol (99:1, v/v) solvent mixture and filtered using a 0.45 μm mesh, 4 mm diameter polytetrafluoroethylene (PTFE) filter prior to analysis. Samples were analyzed using high performance liquid chromatography/atmospheric pressure positive ion chemical ionization mass spectrometry (HPLC/APCI-MS).

HPLC/APCI-MS analyses were performed according to ref (Schouten et al., 2007), using an Agilent 1100 series LC/MSD SL and separation over a Prevail Cyano column (2.1 x 150 mm, 3 μm ; Alltech), maintained at 30°C. Glycerol Dibiphytanyl Glycerol Tetraethers (GDGTs) were eluted using the following gradient in the hexane:isopropanol mixture as follows; hexane:propanol (99:1, v/v) for 5 minutes, then a linear gradient to hexane:propanol (98.2:1.8, v/v) in 45 minutes, with a flow rate of 0.2 ml per minute. Selective ion monitoring was set to scan the 8 $[\text{M}+\text{H}]^+$ ions of the GDGTs. The TEX_{86} index values were calculated following ref (Schouten et al., 2002). Since we apply the TEX_{86} paleothermometer on samples from a mid-latitude site from a Cretaceous–Paleogene greenhouse world, unambiguously characterized by high sea surface temperatures (SSTs) ($>15^\circ\text{C}$), we applied the calibration from ref (Kim et al., 2010) to translate TEX_{86} index values to mean annual sea surface temperature:

$$\text{SST} = 68.4 \times \text{TEX}_{86}^{\text{H}} + 38.6$$

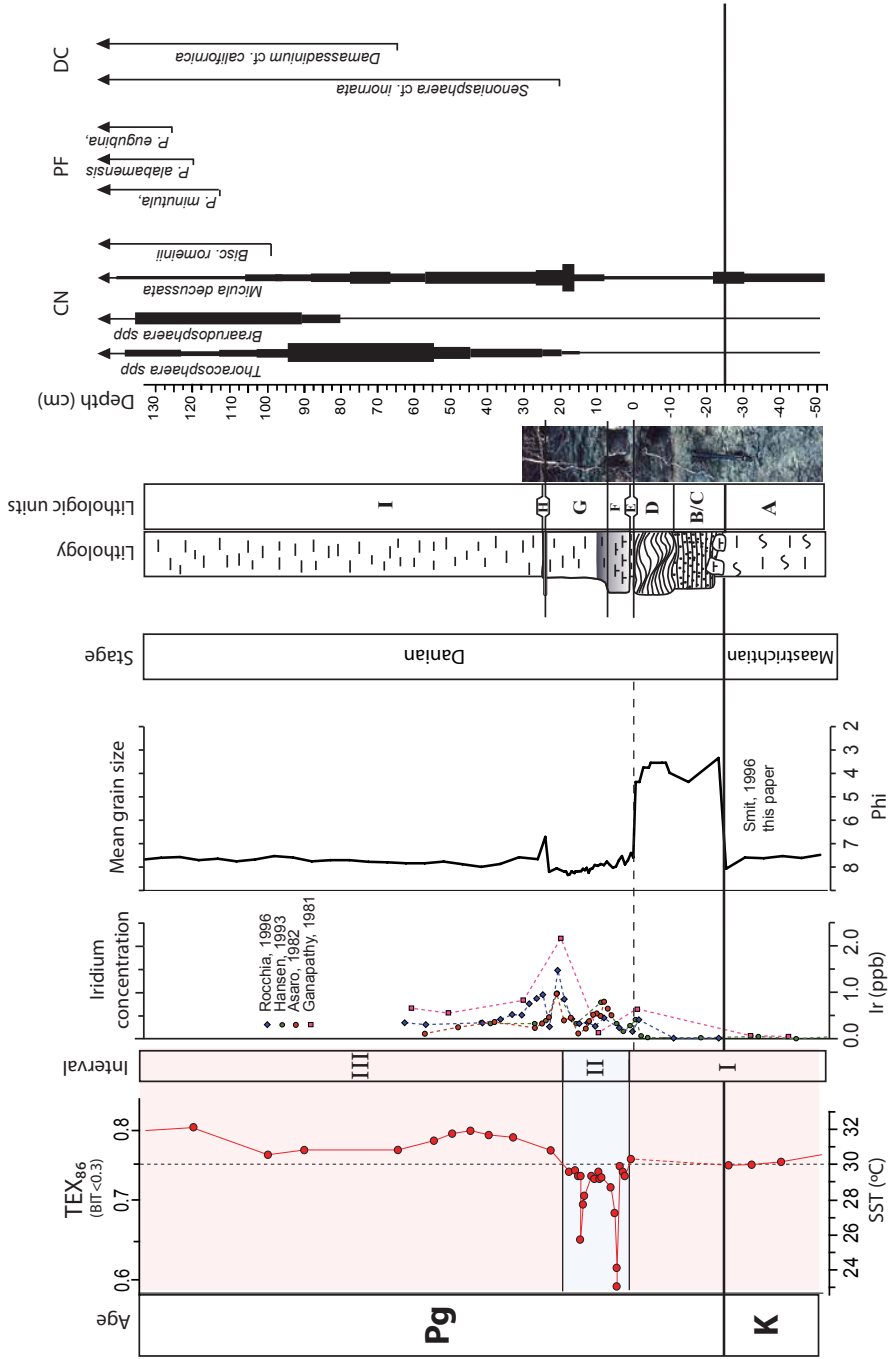
where

$$\text{TEX}_{86}^{\text{H}} = \log(\text{TEX}_{86})$$

and

$$\text{TEX}_{86} = \frac{[\text{GDGT} - 2] + [\text{GDGT} - 3] + [\text{Cren}']}{[\text{GDGT} - 1] + [\text{GDGT} - 2] + [\text{GDGT} - 3] + [\text{Cren}']}$$

where GDGT numbers refer to figure 1 in Kim et al. (2010).



SI Figure 3.

Biomarker ranges of the K-Pg interval of the Brazos-1 section, compared with the TEX₈₆ profile, iridium concentrations, grain size distribution on the Krumbain phi (ϕ) scale and lithology. The calcareous nannofossil (CN) markers are from Jiang and Gartner (1989). Planktic foraminiferal (PF) and dinocyst (DC) ranges are new data in this paper.

4.2.4 Grain size analysis

The grain size distribution of each sample was determined in the same manner as the 1994 sample set described in Smit et al. (1996). The grain size distributions were determined on a Fritsch A-22 laser particle sizer. Each sample was first dissolved in HCL to remove all calcareous fossil and authigenic carbonate material, and the insoluble residue subsequently treated with 20% H₂O₂ to remove e.g. authigenic phases like pyrite and organic material. The remaining residue was dissolved in water with added Na₂(P₂O₅) to prevent coagulation of clay particles during the analytical runs. The laser diffraction patterns were translated into a grain-size distribution according to the Fraunhofer model. In SI Fig. S3 the mean grain-size is drawn, showing the siliciclastic grain size of the tsunamigenic cross-bedded sands of units B-E, followed by the overall graded units F-I.

4.3 Age model

4.3.1 Iridium

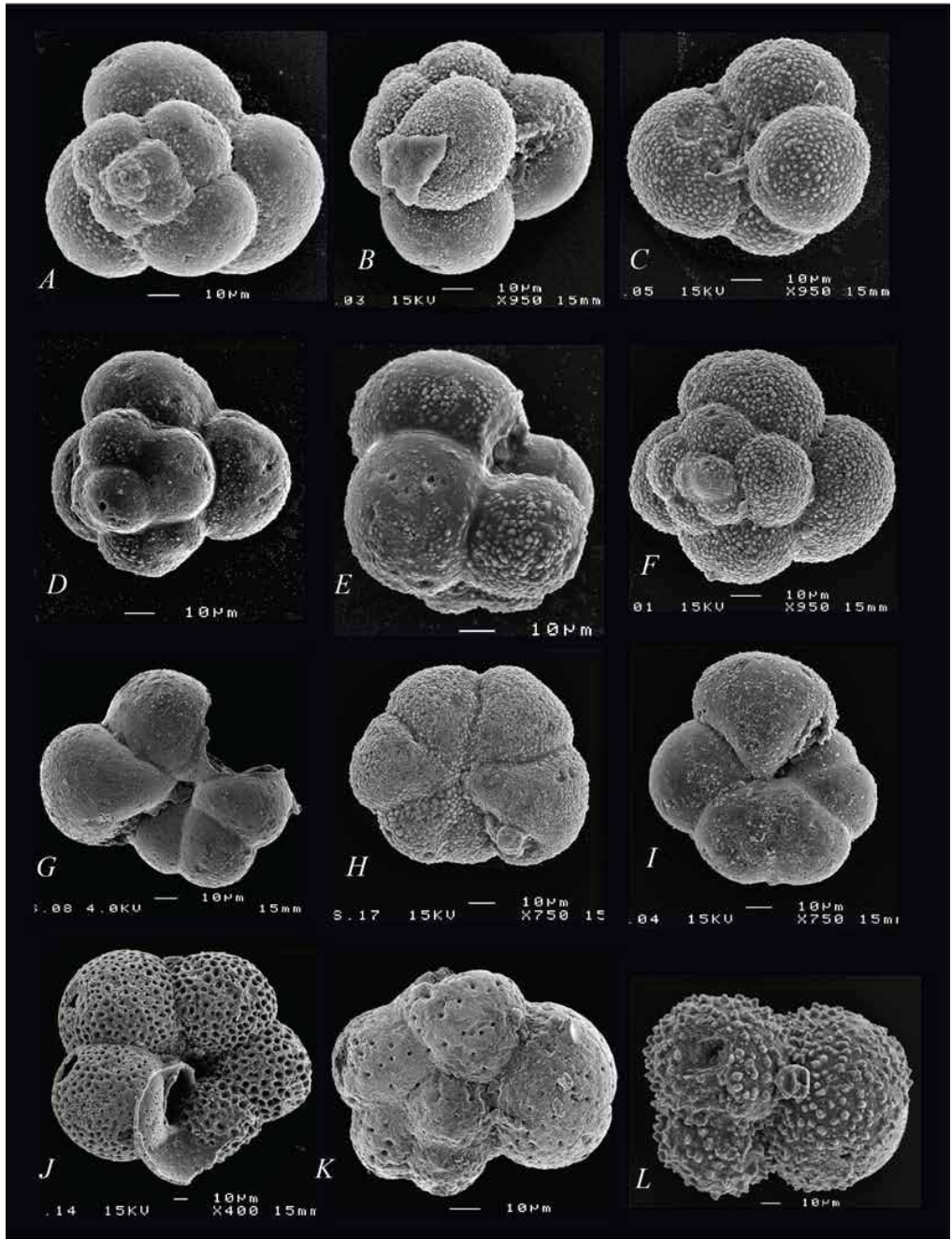
The high-resolution age model used in this study is based on the Ir-anomaly and calcareous nannoplankton, planktic foraminifer and organic-walled dinoflagellate cyst (dinocyst) biostratigraphy (see SI. Fig. 3). Various studies have been published on the Iridium anomalies at the sites in the Brazos River area (Ganapathy et al., 1981; Asaro et al., 1982; Hansen et al., 1993; Rocchia et al., 1996), showing a series of irregular peaks in lithological Units E, F and G. (SI Fig. 1). It is likely that the PGE bearing impact dust settled within months to years after the impact and was subsequently reworked in the lag deposits of post-impact storms (Robin et al., 1991; Kring and Durda, 2002), explaining the scattered and smeared out nature of the Iridium profile. Since the enhanced contrast between warm oceans and cold atmosphere, triggering the storms after the impact, likely lasted for less than a century (Galeotti et al., 2004), we assume that this is the maximum amount of time represented by units E, F and G.

4.3.2 Calcaerous nannofossils

Jiang and Gartner (1986) published detailed, semi-quantitative nannofossil data from a closely spaced sample set from the same Brazos-1 locality. Based on the lateral lithological continuity at this site we assume that their results can be tied in with our biostratigraphic data (see SI Fig. 3), although they did not specifically mention the limestone of unit F. They demonstrated that the top of the Corsicana Formation comprises the uppermost Maastrichtian *Micula murus* Zone and that the overlying Kincaid Formation contains a rapid succession of basal Paleocene assemblages, thereby recognizing that the contact between these two formations represents the K-Pg boundary. The basal meter of the Kincaid Fm, above the tsunami K-Pg boundary sandstone complex, is dominated by inferred 'disaster' taxa, such as species of the calcareous dinoflagellate cyst genus *Thoracosphaera* and the calcareous nannoplankton species *Braarudosphaera bigelowii*. Blooms of *Thoracosphaera* have been recorded in the earliest Paleocene at many different sites (Lottaroli and Catrullo, 2000; Gardin, 2002) and are considered a characteristic feature for the lowermost Danian. The first true Paleocene nannoplankton species (*Biscutum romeinii*) occurs ~1 meter above the base of the Kincaid Formation (see figure 3 of Jiang and Gartner, 1986).

4.3.3 Planktic foraminifera

The foraminiferal biozonation applied in this paper largely follows Olsson et al. (1999), with a few refinements of the basalmost Paleocene as already discussed in Smit (1982) (SI Figs. 3-5). The biostratigraphically important datum events of the earliest Paleocene species are discussed here (see taxonomic notes in Supplementary Information).



SI Figure 4. Plate.

Important planktic foraminiferal biomarkers from the Brazos-1 section (A-C) *Parvulorugoglobigerina minutula* Br95-29. (D-F) *P. alabamensis* D-E; Br95-29, F; Br95-29 (G-I) *P. eugubina*. (G) Br95-27, (H) Br95-31, (I) Br95-45. (J) *Morozovella pseudobulloides*, Br95-59 (K) *Eoglobigerina eobulloides*, Br95-47. (L) *Globocosa daubjergensis* Br95-46.

The Maastrichtian samples Br95-61/70 (See SI Table 1 for a sample list) contain well-preserved, moderately abundant Maastrichtian planktic foraminiferal assemblages. However, the larger species such as *G. contusa*, *G. stuarti*, *R. fructicosa* and *A. mayaroensis* are missing due to the shallow paleodepth of the Brazos sections. The planktic/benthic foraminifer ratio is around 30-50%, indicating outer shelf conditions, indicative of a water depth of 50-100m (Schulte et al., 2006).

The first Paleocene taxon, *P. minutula* [*G. extensa* sensu Olsson et al. (1999)], appears in Br95-23, followed by the First Appearance Datum (FAD) of *P. alabamensis* [*G. fringa* sensu Smit, (1982)] in sample Br95-24. *Chiloguembelina* sp. and *Woodringina* sp. appear in sample Br95-30. These taxa are initially very rare and do not show up in the counts of 300 random selected specimens. *P. eugubina* appears in sample Br95-26. This sequence of FAD's *P. minutula*-*P. alabamensis*-*P. eugubina* is remarkably similar to the expanded lowermost Paleocene section of el Kef in Tunisia (Olsson et al., 1999), and demonstrates that the zonation presented in SI Figure 5 is valid for extremely expanded sections for lower northern subtropical latitudes. In the majority of expanded and complete sections (such as Zumaya, Agost and Caravaca in Spain, and the Apennine sections in Italy, Bjala in Bulgaria) such successive first appearances cannot be distinguished, probably because of the homogenization by bioturbation. Biozone P0, -or *G. cretacea* zone- therefore attains a thickness in Brazos-1 of around 90 cm, all in background outer shelf mudstones, (Unit I) disregarding the combined thickness of 50 cm of the size-graded tsunami and storm-induced coarse-grained layers. (Units A-H).

Biozones planktic foraminifera			Biozones organic-walled dinoflagellate cysts							
Foram Datum events	This Paper	Olsson et al 1999	Smit 1982, 1985	Keller et al 1996	Dinocyst Datum events	This Paper	Hanssen, 1977	Brinkhuis and Zucharskas, 1988		
↙ S. uncinata	P2	P2	P1d	P1c	↙ S. delitense	DCP1d	D. mutabilis Zone	Daneae californica Zone		
↙ S. inconstans	P1c	P1c	P1c	P1b						
↙ S. triloculimoides	P1b	P1b								
↙ Pv. eugubina	P1a	P1a	P1a2	P1a1						
↙ S. pseudobulloides	Pa5	Pa								
↙ Pv. eugubina 'large'	Pa4		P0	P0						
↙ Eoglobigerina spp.	Pa3	P0							P0	
↙ Pv. eugubina	Pa2		P0	P0						
↙ Pv. alabamensis	Pa1	P0							P0	
↙ Pv. minutula	P0		P0	P0						
↙ mass-extinction planktic foraminifera	CF1	CF1			CF1	CF1	↙ C. cornuta, D. californicum	DCP1b	A. circumtabulata	
↙ P. hantkeninoides	CF2	Maastrichtian	CF2	CF2						
↙ P. hariaensis	CF3	A. mayaroensis	CF3	CF3	↙ D. cf. californicum	DCP1a				C. inornatum Subzone
↙ G. gansseri	CF4		CF4	CF4						
↙ A. mayaroensis	CF4		CF4	CF4	↙ S. cf. inornata	DCP0	H. cryptosessiculata	Unnamed Interval		
					----- K-Pg boundary -----					
					↙ D. carposphaeropsis	DCM-z	P. gallator Zone	Unnamed Interval		
					↙ D. carposphaeropsis var. cornuta				b	
					↙ D. carposphaeropsis	a				

SI Figure 5.

Foraminiferal and organic-walled dinoflagellate cyst zonal scheme used in this paper. The interval of the basal-most Danian is subdivided on the First Appearance Datums (FADs) of earliest Danian species, allowing for a comparison with other expanded sections, such as at El Kef, Tunisia.

4.3.4 Organic-walled dinoflagellate cysts

Various studies have shown that organic-walled cyst-producing dinoflagellates do not experience extinctions across the K-Pg boundary. In contrast, they display a rapid succession of qualitative and quantitative events within planktic foraminiferal zone P0 that provides the basis for a high-resolution biostratigraphy for the lowermost Danian (Moshkovitz and Habib, 1993; Brinkhuis et al., 1998). The most important biostratigraphical dinocyst dates for the earliest Danian are the first occurrences of, subsequently, *Senoniasphaera inornata*, *Damassadinium californicum* and *Carpatella cornuta* (Moshkovitz and Habib, 1993; Brinkhuis et al., 1998). Also typical for dinocyst development across the K-Pg boundary are morphological changes of representatives of the ‘fibrous cribroperidinioids’ [cf. Sluijs and Brinkhuis, 2009], such as *Cordosphaeridium* spp., *Disphaerogena* spp. and *Criboperidinium* spp.]. These changes involve the formation of distinct antapical and apical horns and in some genera strong variance of process types. The first signs of these morphological changes is found in *Disphaerogena carposphaeropsis* which forms an antapical and an apical horn and first occurs in the uppermost Maastrichtian in K-Pg boundary sections around the world (Moshkovitz and Habib, 1993; Prámparo and Papú, 2006).

Based on the lowermost to uppermost occurrences of stratigraphically important dinocyst species and forms, a dinocyst zonation can be produced for BR1 (see SI Figure 5). The uppermost Maastrichtian biostratigraphic marker *D. carposphaeropsis* with the typical apical and antapical horn (i.e. *Disphaerogena carposphaeropsis* var. *cornuta*) is found throughout the studied interval. The first lowermost Danian biostratigraphic marker *Senoniasphaera* cf. *inornata* is found just beneath lithological unit H, approximately 20 cm above the base of Unit F, in sample Br95-4b. This further substantiates that little time is represented by lithological units E, F and G. The stratigraphically important species *Senoniasphaera* cf. *inornata* differs slightly from *Senoniasphaera inornata* [For taxonomic notes on the dinocysts encountered in BR1, see Supplementary Information], which is found higher up in the section at the base of the Littig Member. The marker species *D.* cf. *californicum* first appears in Br95-14, 65 cm above the base of Unit F, the global biostratigraphic markers *D. californicum* and *C. cornuta* have their FAD at the base of the upper Littig members of the Kincaid Formation.

4.4 Constraints on the application of TEX₈₆

4.4.1 Introduction

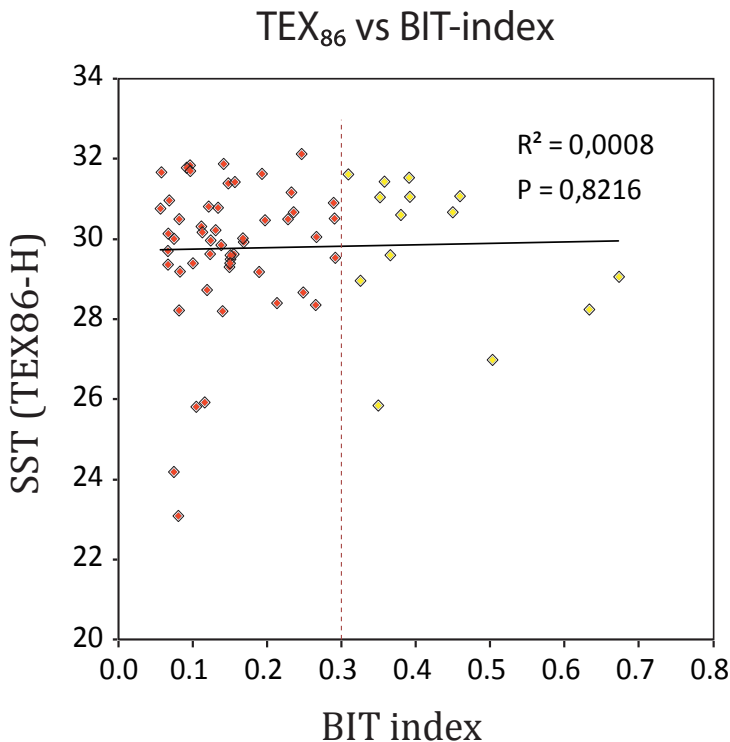
The TEX₈₆ SST proxy is based on the relative distribution of cyclopentane-containing isoprenoid GDGT lipids in the membranes of marine Thaumarchaeota (Schouten et al., 2002; Kim et al., 2010). These organisms have been shown to adjust the composition of these membrane lipids in response to changes in growth temperature (Wuchter et al., 2004). The distribution pattern is quantified as the so-called TEX₈₆ (Tetraether index of 86 carbon atoms). This index shows a strong correlation with SST and appears to be independent of the initial seawater chemistry (Schouten et al., 2002; Wuchter et al., 2004; Kim et al., 2008; Kim et al., 2010). Therefore, TEX₈₆ provides a means of reconstructing past mean annual average SSTs based on the tetraether membrane lipid composition preserved in sediments and sedimentary rocks (Schouten et al., 2002).

The TEX₈₆ palaeothermometer has been successfully applied on a variety of Neogene, Paleogene and Cretaceous sites by various laboratories (Schouten et al., 2003; Forster et al., 2007; Sluijs et al., 2007; Bijl et al., 2010; Littler et al., 2011; Seki et al., 2012). Although the absolute values of the reconstructed temperatures are still subject of discussion, particularly at temperatures beyond the modern core-top calibration, the trends in TEX₈₆ records are generally in good

agreement with other palaeothermometers ($\delta^{18}\text{O}$, Mg/Ca,) and reflect known climate events e.g. warming during the PETM and late Eocene cooling (Schouten et al., 2003; Forster et al., 2007; Sluijs et al., 2007; Hollis et al., 2009; Liu et al., 2009; Wade et al., 2012).

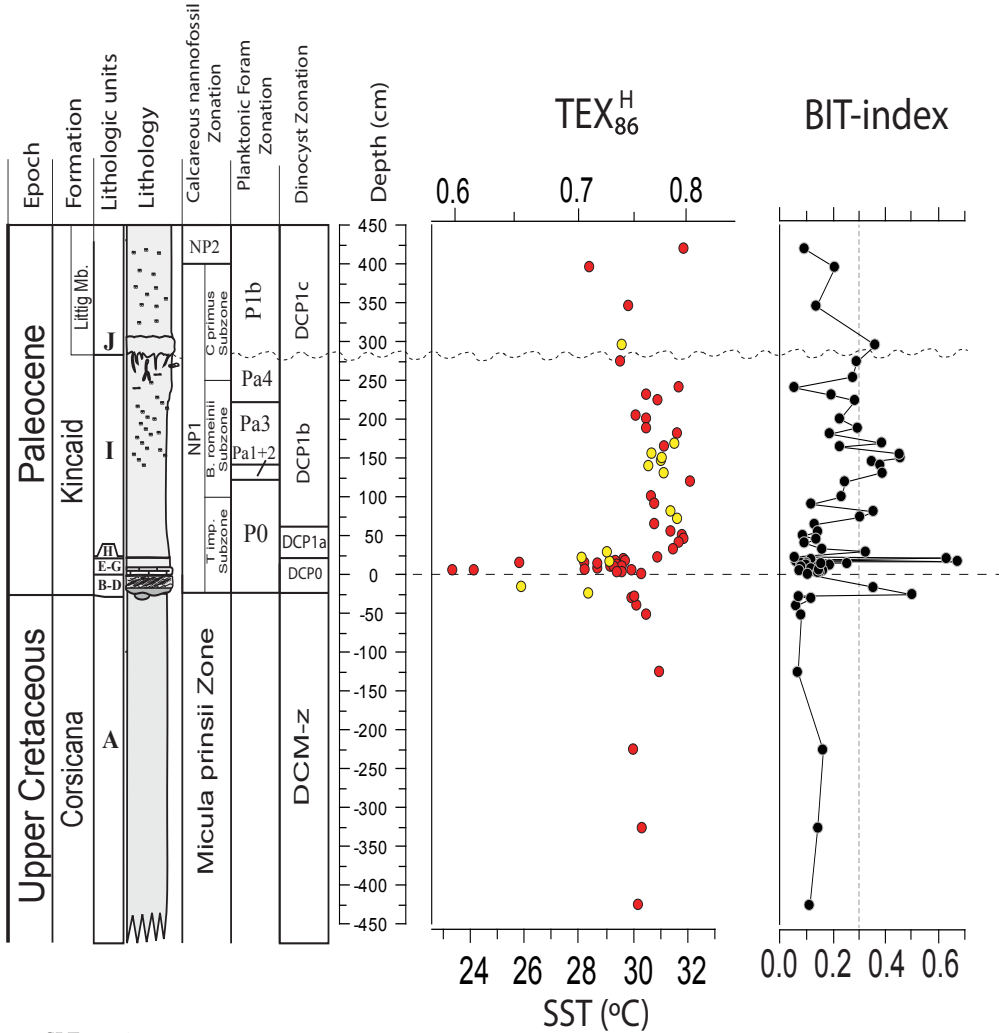
4.4.2 Potential biases on TEX_{86}

High concentrations of Soil Organic Matter (SOM) in sediments can cause a substantial bias in TEX_{86} -reconstructed sea surface temperatures (Weijers et al., 2006). In outcrop sections possible contamination with GDGTs derived from modern soil bacteria often further hampers the application of the TEX_{86} paleothermometer. The relative amount of SOM in sediments can be approximated based on the analysis of tetraether lipids, using the so-called Branched and Isoprenoid Tetraether (BIT) index (Hopmans et al., 2004). To identify whether our TEX_{86} record is biased by the input of SOM, we have calculated the BIT index for all our samples. In our record, there is no significant correlation between TEX_{86} and the BIT-index ($R^2=0.0008$, $P\text{-value}=0.822$, see SI Fig. S6), indicating that SOM in our samples did not bias our TEX_{86} record significantly. To nevertheless exclude all TEX_{86} -reconstructed sea surface temperatures possibly biased by high concentrations of terrestrial-derived GDGTs, we discarded samples with a BIT-index exceeding the recommended (Weijers et al., 2006) threshold of 0.3 (see Fig. SI Fig. 6). A striking aspect of



SI Figure 6.

TEX_{86} derived sea surface temperatures plotted against BIT-index values. This plot indicates that there is no statistically significant correlation between SST and BIT, signifying our TEX_{86} record is not significantly biased by soil organic matter in our samples. Nevertheless, all samples with a BIT-index exceeding the recommended threshold of 0.3 (red diamonds) are discarded following Weijers et al. (2006).



SI Figure 7.

TEX₈₆ record and BIT-index record of Brazos River. The recommended threshold of 0.3 of Weijers et al (2006) is indicated in the BIT-index graph. In the TEX₈₆ record, red dots indicate samples with a BIT-index below 0.3 and yellow dots indicate samples with a BIT-index value exceeding 0.3.

our BIT index record is the occurrence of prominent peaks of ~0.5 and ~0.65 in the tsunami-deposit and lithological unit H, respectively, superimposed on background values of ~ 0.1-0.2 (see SI Fig. 7). It is expected that backwash deposits of a tsunami comprise higher concentrations of terrestrial derived organic matter, potentially explaining this peak in the BIT record. Conversely, the larger grain size and higher porosity of these beds (Smit et al., 1996) makes them more prone to meteoric diagenesis and contamination with modern soil-derived organic material by percolating water. Hence, the peaks in the BIT record might also reflect input of modern soil-derived organic material.

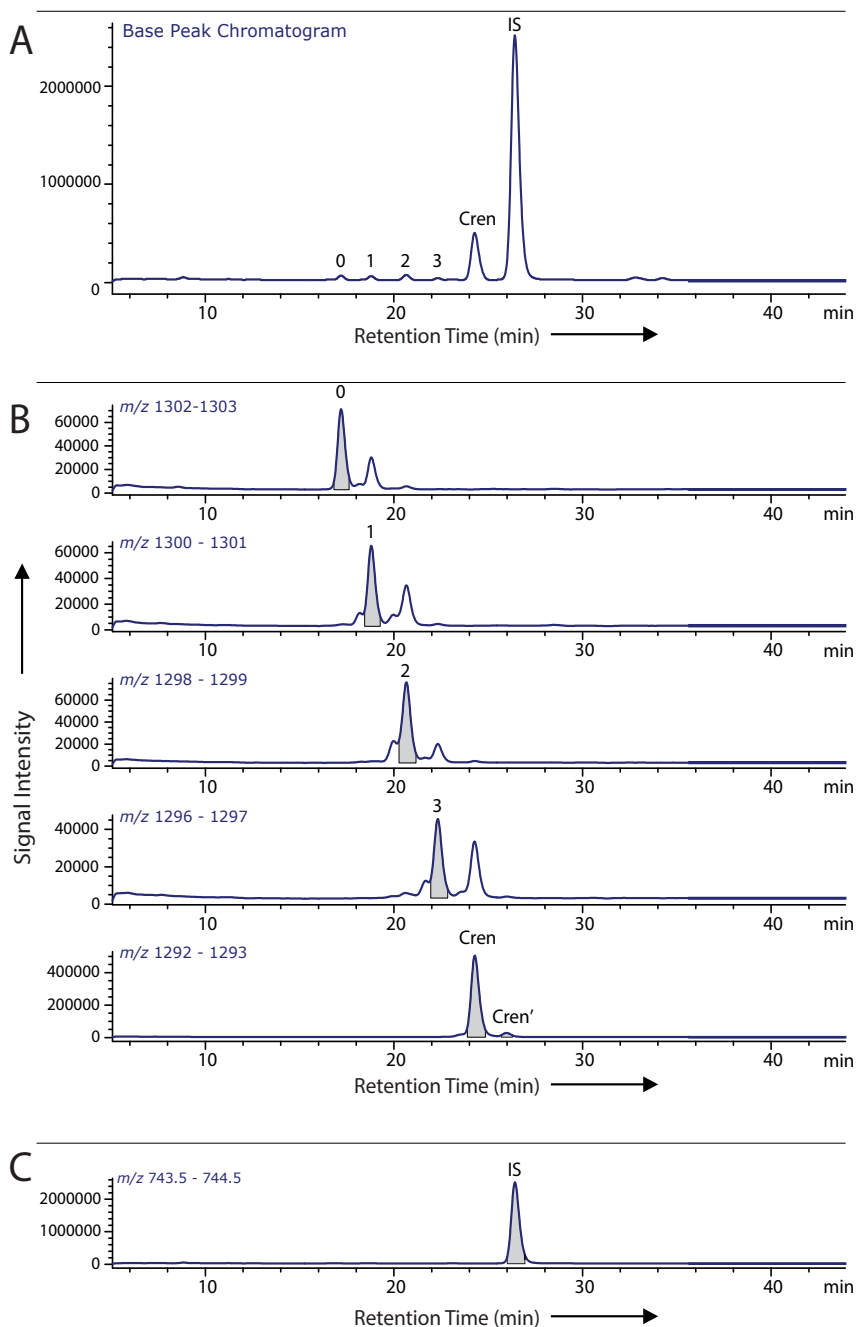
Another possible bias in TEX_{86} palaeothermometry can be introduced by the input of methanogenic and methanotrophic archaeal GDGTs, leading to erroneous SST reconstructions (Blaga et al., 2009; Weijers et al., 2011; Zhang et al., 2011). Potential contribution of methanogenic and methanotrophic archaeal GDGTs can be recognized using the ratio of GDGT-0/Crenarchaeol (Blaga et al., 2009) and the Methane Index (Zhang et al., 2011), respectively. In our study, the GDGT-0/Crenarchaeol ratio ranges between 0.08 – 1.01, well below the recommended threshold of 2.0 (Blaga et al., 2009), whereas the Methane Index ranges between 0.10-0.30, below the recommended threshold of 0.5 (Zhang et al., 2011). These values suggest that at BR1 there is little input of GDGTs derived from methanogenic or methanotrophic archaea.

4.4.3 Accuracy of TEX_{86} temperature estimates

In the samples analyzed for this study, concentrations of isoprenoidal GDGTs range from 0.2-2 ng/g dry-weight sediment. The overall chromatography of the GDGTs was good, with proper signal to noise ratios (see SI Fig. 8). To test the reproducibility of these signals, approximately 10% of the samples were extracted and analyzed in duplicate. Of the samples, all but one had reproducibility better than 0.25 °C (see SI Fig. 9). In one occasion (sample Br95-1G) a duplicate analysis resulted in a temperature difference of 1.1°C. However, we cannot exclude that this difference actually reflects original sediment heterogeneity. This particular sample originates from lithological Unit F, interpreted as a mixed storm-lag deposit. Therefore, it is likely that this rock sample consists of a non-homogeneous mixture of uppermost Maastrichtian material and immediate post-impact materials. Similar discrepancies have been documented in the Iridium analyses of this interval (see section 3.1).

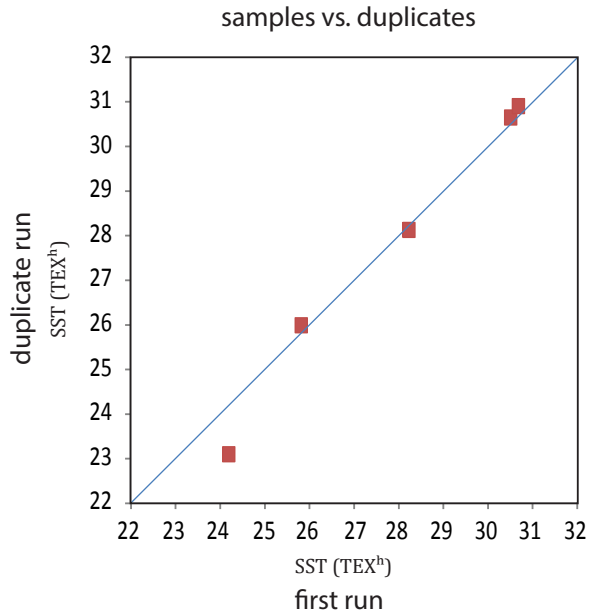
The calibration used in this study has a standard error of ± 2.5 °C (Kim et al., 2010). The reconstructed absolute temperatures should be interpreted with care, but here we are more concerned with trends i.e. changes in ocean temperature rather than absolute temperatures. While TEX_{86} is calibrated to SST (Schouten et al., 2002), various studies suggested TEX_{86} might sometimes reflect deeper water temperatures (Huguet et al., 2007; Lopes dos Santos et al., 2010). However, the studied section was deposited at a shallow, shelf depositional environment (Bourgeois et al., 1988; Smit et al., 1996), excluding the possibility that the recorded trends would significantly differ from trends in SSTs.

Other potential problems with our TEX_{86} record include possible changes in ecology of Thaumarchaeota, e.g. a switch in growing season from summer to winter (Schouten et al., 2013). However, in the K-Pg boundary greenhouse, subtropical sites such as BR1 likely experienced a much smaller seasonality (Steuber et al., 2005; Donnadiou et al., 2006). Therefore, possible temperature effects of changes in growing season will be limited at BR1. Instead, our data demonstrate that the post-impact world was characterized by cool conditions for decades.



SI Figure 8.

Partial HPLC/MS base peak chromatogram and mass chromatograms of a typical sample (95Br-59). Numbers refer to the GDGT numbers in equation 2.3. (A) Partial HPLC/MS base peak chromatogram. (B) Mass chromatograms of the GDGTs used in the determination of TEX_{86} . Integrated peak areas are indicated in grey. (C) Mass chromatogram of the Internal Standard (IS) added for quantification purposes.



SI Figure 9.

TEX₈₆ derived sea surface temperatures of samples processed in duplicate relative to their original values.

4.5 Taxonomic Notes

4.5.1 Taxonomic notes on planktic foraminifera

Parvulorugoglobigerina minutula (Luterbacher and Premoli Silva)

Smit (1982), described specimens from El Kef, Tunisia that are the oldest Paleocene planktic foraminifers as *Globigerina minutula*. This taxon has the typical smooth, microperforate wall textures as *P. alabamensis* and *P. eugubina* and is probably a derived taxon from *G. cretacea* (Olsson et al., 1999). However, we do not follow Olsson *et al.* (Olsson et al., 1999) in placing this taxon in *P. extensa* (Blow) as we regard, after study of the material of the type locality of *P. minutula* in Ceselli in the Apennines, *P. extensa* (sensu Olsson et al. (1999)) a junior synonym of *P. minutula*. Likewise, *Globoconusa conusa* (sensu Keller (1988b)) is a synonym of *P. minutula*.

Parvulorugoglobigerina alabamensis (Olsson 1999)

Smit (1977; 1982) described specimens of this taxon from Caravaca and el Kef as *Globigerina fringa*. However, Olsson et al. (1999) demonstrated that the original holotype specimens of *P. fringa* (subbotina) are from a small cancellate species, more similar to *Eoglobigerina spp.* but unlike the specimens considered earlier as *P. fringa*. These specimens are closely similar to *P. alabamensis* (Olsson et al., 1999), and we place them in that taxon.

4.5.2 *Taxonomic Notes on organic-walled dinoflagellate cysts*

Carpatella cf. *cornuta*

Discussion – This morphotype differs from *Carpatella cornuta* by having a less thick wall. In this study it is regarded as a transitional form between *Cribroperidinium* sp. A of Brinkhuis and Schioler, 1996 and *Carpatella cornuta* s.s.

Cordosphaeridium fibrospinosum var. *cornuta*

Discussion – This taxon differs from *Cordosphaeridium fibrospinosum* by the development of distinct apical and antapical horns.

Damassadinium cf. *californicum*

Discussion – This morphotype is distinguished from *Damassadinium californicum* by having a less broad process base. In this study, this morphotype is regarded as a predecessor for *Damassadinium californicum* s.s.

Disphaerogena carposphaeropsis var. *cornuta*

Discussion – This morphotype is distinguished from *Disphaerogena carposphaeropsis* by having formed an apical and antapical horn. This morphotype is very characteristic for the uppermost Maastrichtian and earliest Danian.

Senoniasphaera cf. *inornata*

Discussion – This morphotype differs from *Senoniasphaera inornata* by having a smaller size and thinner outer wall. In this study this morphotype is regarded as a predecessor for *Senoniasphaera inornata* s.s.

SI Table Chapter 3

SI Table S1. Sample list and results summary

Sample code	Distance from base Unit F	Lithological unit	Analyzed for			
			Grain size	Planktic foraminifera	Dinocysts	TEX ₈₆ & BIT-index
95BR 60	420.00	Littig Member	X	X		X
95BR 59	395.00	Littig Member	X	X	X	X
95BR 58	370.00	Littig Member	X	X	X	
95BR 57	345.00	Littig Member	X	X	X	X
95BR 56	320.00	Littig Member	X	X	X	
95BR 55	295.00	Littig Member	X	X	X	X
95BR 54	285.00	Unit I	X	X	X	
95BR 53	275.00	Unit I	X	X	X	X
95BR 52	265.00	Unit I	X	X	X	
95BR 51	255.00	Unit I	X	X	X	
95BR 50	245.00	Unit I	X	X	X	
95BR 49	240.00	Unit I	X	X	X	X
95BR 48	235.00	Unit I	X	X	X	
95BR 47	230.00	Unit I	X	X	X	X
smBR 27	225.00	Unit I			X	X
95BR 46	225.00	Unit I	X	X	X	
95BR 45	220.00	Unit I	X	X	X	
95BR 44	215.00	Unit I	X	X	X	
95BR 43	210.00	Unit I	X	X	X	
95BR 42	205.00	Unit I	X	X	X	X
95BR 41	200.00	Unit I	X	X	X	X
95BR 40	195.00	Unit I	X	X	X	
95BR 39	190.00	Unit I	X	X	X	X
95BR 38	185.00	Unit I	X	X	X	
95BR 37	180.00	Unit I	X	X	X	X
95BR 36	175.00	Unit I	X	X	X	
95BR 35	170.00	Unit I	X	X	X	X
95BR 34	165.00	Unit I	X	X	X	X
95BR 33	160.00	Unit I	X	X	X	
95BR 32	155.00	Unit I	X	X	X	X
95BR 31	150.00	Unit I	X	X	X	X
95BR 30	145.00	Unit I	X	X	X	X
95BR 29	140.00	Unit I	X	X	X	X
95BR 28	135.00	Unit I	X	X	X	
95BR 27	130.00	Unit I	X	X	X	X
95BR 26	125.00	Unit I	X	X	X	
95BR 25	120.00	Unit I	X	X	X	X

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95BR 24	115.00	Unit I	X	X	X	
95BR 23	110.00	Unit I	X	X	X	
95BR 22	105.00	Unit I	X	X	X	
95BR 21	100.00	Unit I	X	X	X	X
95BR 20	95.00	Unit I	X	X	X	X
95BR 19	90.00	Unit I	X	X	X	X
95BR 18	85.00	Unit I	X	X	X	
95BR 17	80.00	Unit I	X	X	X	X
sm BR 24	75.00	Unit I			X	X
95BR 16	75.00	Unit I	X	X	X	
95BR 15	70.00	Unit I	X	X	X	
95BR 14	65.00	Unit I	X	X	X	X
95BR 13	60.00	Unit I	X	X	X	
95BR 12	55.00	Unit I	X	X	X	X
95BR 11	50.00	Unit I	X	X	X	X
95BR 10	45.00	Unit I	X	X	X	X
95BR 9	40.00	Unit I	X	X	X	X
95BR 8	35.00	Unit I	X	X	X	X
sm BR82 233	33.00	Unit I			X	X
95BR 7	30.00	Unit I	X	X	X	
sm BR82 232	28.00	Unit H			X	X
95BR 6	27.00	Unit H	X	X	X	
95BR 5	23.00	Unit G	X	X	X	
95BR 5Bo	23.00	Unit G				X
95BR 4B	22.00	Unit G	X	X	X	
95BR 5On	21.00	Unit G				X
95BR 4A	20.00	Unit G	X	X	X	
BR2-4 -7.5	17.90	Unit G	X	X		X
BR2-4 -7	17.40	Unit G	X	X		X
BR2-4 -6.5	16.90	Unit G	X	X		
BR2 4 -6	16.40	Unit G	X	X		X
BR2-4 -5.5	15.90	Unit G	X	X		
BR2-4 -5	15.40	Unit G	X	X		X
sm BR 20	15.00	Unit G			X	X
95BR 3	15.00	Unit G			X	
BR2-4 -4.5	14.90	Unit G	X	X		
BR2-4 -4	14.40	Unit G	X	X		X
BR2-4 -3.5	13.90	Unit G	X	X		X
BR2-4 -3	13.40	Unit G	X	X		
BR2-4 -2.5	12.90	Unit G	X	X		
BR2-4 -2	12.40	Unit G	X	X		
95BR 2	12.00	Unit G			X	

RAPID SHORT-TERM COOLING FOLLOWING THE CHICXULUB IMPACT

BR2-4 -1.5	11.90	Unit G	X	X		X
BR2-4 -1	11.40	Unit G	X	X		X
BR2-4 -0.5	10.90	Unit G	X	X		X
95BR-1M	10.40	Unit F	X	X	X	X
95BR-1L	9.60	Unit F	X	X	X	X
95BR-1K	8.80	Unit F	X	X	X	
95BR-1J	8.00	Unit F	X	X	X	
95BR-1I	7.20	Unit F	X	X	X	X
95BR-1H	6.40	Unit F	X	X	X	X
95BR-1G	5.60	Unit F	X	X	X	X
95BR-1F	4.80	Unit F	X	X	X	X
95BR-1E	4.00	Unit F	X	X	X	X
95BR-1D	3.20	Unit F	X	X	X	X
95BR-1C	2.40	Unit F	X	X	X	
smBR828	2.00	Unit F			X	
95BR-1B	1.60	Unit F	X	X	X	X
95BR-1A	0.80	Unit F	X	X	X	
sm BR 11	-15.00	Event Bed Unit D			X	X
BR GSS	-20.00	Event Bed Unit C				X
smBR 8	-25.00	Event Bed Unit B			X	X
BR LM	-26.00	Shell hash				X
95BR 61	-30.00	Corsicana FM	X	X	X	
smBR 13	-32.00	Corsicana FM			X	X
smBR 12	-40.00	Corsicana FM			X	X
smBR 17	-52.50	Corsicana FM			X	X
95BR 62	-70.00	Corsicana FM	X	X	X	
95BR 63	-110.00	Corsicana FM	X	X	X	
smBR 3,04	-125.00	Corsicana FM			X	X
95BR 64	-150.00	Corsicana FM	X	X	X	
95BR 65	-190.00	Corsicana FM	X	X	X	
smBR 3,03	-225.00	Corsicana FM			X	X
95BR 66	-230.00	Corsicana FM	X	X	X	
95BR 67	-270.00	Corsicana FM	X	X	X	
95BR 68	-310.00	Corsicana FM	X	X	X	
smBR 3,02	-325.00	Corsicana FM			X	X
95BR 69	-350.00	Corsicana FM	X	X	X	
95BR 70	-390.00	Corsicana FM	X	X	X	
smBR 3,01	-425.00	Corsicana FM			X	X
95BR 72	-500.00	Corsicana FM	X	X	X	

SI Table S1. A list of samples used in our study, with the distance from the base of Unit F indicated. For each sample is indicated from which lithological unit it comes and which analyses have been performed on it.

CHAPTER IV

Palynological evidence for prolonged cooling along the Tunisian continental shelf following K-Pg boundary impact

Abstract

The Cretaceous–Paleogene (K–Pg) boundary mass extinction event is considered to be related to major global environmental changes related to a large extraterrestrial impact. Accurate reconstructions of climate change across this boundary, however, prove to be challenging, as traditional biotic environmental proxy-carriers such as planktic foraminifera and calcareous nannoplankton experienced major extinctions. In contrast, organic-walled cyst-producing marine dinoflagellates (dinocysts) survived the K–Pg mass-extinction relatively unscathed, making them ideally suited for reconstructing pre- and post-extinction marine conditions. So far, one of the best dinocyst records available is from the K–Pg boundary Global Stratotype Section and Point (GSSP) at El Kef (NW Tunisia). There, the dinocyst record across the boundary shows major fluctuations, likely reflecting strong responses to environmental changes. These fluctuations have so far not been confirmed by other studies. Therefore, in this study we performed a high-resolution marine palynological study on a closely spaced sample set from the Elles section, some 75 km south of El Kef, in order to generate a paleoenvironmental and paleoclimatic record across the K–Pg boundary to allow verification and refinement of earlier reported environmental changes. To better constrain the reconstructions based on qualitative biotic proxies we employed the quantitative sea surface temperature proxy TEX₈₆. Unfortunately, the TEX₈₆ proxy record of the studied sections is compromised because of post-depositional oxidation. However, the diverse dinocyst assemblages at Elles show strong fluctuations similar to the El Kef record, therefore confirming the earlier recorded signals, showing rapid, regionally consistent changes. These records imply that the latest Maastrichtian was characterized by a gradual cooling trend and the onset of relative sea level fall. Within the immediate post-extinction interval, dinocyst assemblages reveal multiple incursions of higher latitude dinocyst species implying repeated pulses of cooling. These results signify that the earliest Danian climatic and environmental conditions were relatively unstable across the Tunisian shelf.

I. Introduction

The Cretaceous – Paleogene (K-Pg) boundary (~65.5 Ma), is characterized by a major mass extinction event that has been widely attributed to the global environmental consequences of an impact of a large extraterrestrial body (Alvarez et al., 1980; Schulte et al., 2010). However, the precise killing mechanisms, and e.g. the distinct selectivity of the extinctions are still debated (e.g. D'Hondt, 2005) since there is much uncertainty about the precise climatic and ecological consequences of this impact. Numerical simulations of the global climatic effects of the K-Pg boundary impact predict a brief period of global cooling induced by sulphate aerosols blocking sun light – the so-called “impact winter” (Siggurdsson et al., 1992; Pope et al., 1997; Pierazzo et al., 2003; Vellekoop et al., 2014), followed by a period of greenhouse warming caused by CO₂ released into the atmosphere by the impact (Kring, 2007). Unfortunately, it has proven difficult to confirm these models, as major extinctions amongst traditional proxy-carriers, e.g. planktic foraminifera, hamper accurate paleoenvironmental reconstructions (Hull et al., 2011). Consequently, studies of sufficient detail to elucidate the possible global environmental consequences of the impact and related mechanisms, or to test various aftermath scenarios, are generally lacking (Kring, 2007).

One biotic proxy-record that holds great promise to shed light on the environmental conditions governing the ocean-climate system pre- and post-impact are organic-walled dinoflagellate cysts (dinocysts). Dinocysts can be used to reconstruct a wide range of environmental parameters, including temperature, salinity, and nutrients. Moreover, this group does not show accelerated rates of extinction across the K-Pg boundary (Brinkhuis and Leereveld, 1988, Brinkhuis and Zachariasse, 1988; Brinkhuis et al., 1998), making them ideally suited to qualitatively assess latest Maastrichtian to earliest Danian changes in palaeoenvironment, palaeoceanography and palaeoclimate. In addition, dinocyst do provide the highest possible biochronostratigraphic resolution across this interval (Habib et al., 1996; Brinkhuis et al., 1998).

Among the best sites to unravel these changes are the stratigraphically complete and expanded sections of the El Haria Formation in Tunisia, including the Global Boundary Stratotype Section and Point (GSSP) of the Danian Stage at El Kef (Molina et al, 2006). The El Kef section has already provided one of the most complete, stratigraphically expanded and well-preserved dinocyst records across the K-Pg boundary (Brinkhuis and Leereveld, 1988, Brinkhuis and Zachariasse, 1988, Brinkhuis et al., 1998). Interestingly, in contrast to model predictions, the dinocyst distribution patterns and benthic foraminifera at El Kef suggest multiple cooling pulses during the earliest Danian interval (Brinkhuis et al., 1998; Galeotti et al., 2004). Yet, these fluctuations have so far not been confirmed by other studies and it is unknown how and if they might be related to climate fluctuations independent of the impact event.

The expanded Elles I section, approximately 75 km south of El Kef, also comprises a complete K-Pg boundary succession and is presumed to be deposited in a more proximal shelfal setting (e.g., Adatte et al., 2002a). This section provides an opportunity to corroborate the signals earlier recorded at El Kef. We therefore performed a high-resolution marine palynological study on a closely spaced sample set from the Elles section, in order to generate a paleoenvironmental and paleoclimatic record across the K-Pg boundary to allow verification of earlier reported environmental changes from the nearby El Kef. In order to better constrain the reconstructions based on biotic environmental proxies we employ the biomarker-based sea surface temperature proxy TEX₈₆ (Schouten et al., 2002).

2. Geological Setting

This study focuses on the K-Pg boundary interval of the El Haria Formation in north-western Tunisia. This formation encompasses some of the most complete K-Pg boundary transitions currently known. Two sections were investigated in the current study; the K-Pg boundary GSSP at El Kef and the Elles I section. Today these sites are located at 35–36°N, but at Cretaceous–Paleogene times, their position was in the arid climate zone in the low latitudes, near the tropic of Cancer (Scotese, 2004). The Elles and El Kef sections are paleogeographically situated in the Tethys Ocean, on the African continental shelf (Fig. 1).

The El Kef K-Pg stratotype section is located 7 km west from the town of El Kef (36° 9'0.25"N, 8°38'38.76"E). It comprises the uppermost Maastrichtian Planktic Foraminifer *A. mayaroensis* and lowermost Danian Planktic Foraminifer Zones P0 to P1 of Berggren et al. (1995) (Arenillas et al., 2000), consisting of gray marls interrupted by a 3 mm rusty red clay layer overlain by a ~50 cm thick dark gray shale layer at the K-Pg boundary, the so-called boundary clay layer. This boundary clay layer grades upwards into marly shales. The El Kef section is interpreted to be deposited in an offshore, outer-neritic setting (e.g. Adatte et al., 2002a; Galeotti and Coccioni, 2002)

The Elles sections share many similarities with the K-Pg stratotype section at El Kef. They are located near the small settlement of Elles, 75 km from El Kef, in the Karma Valley (35°56'43.73"N, 9° 4'48.15"E), Tunisian Central Atlas (Karoui-Yaakoub et al., 2002). The K-Pg boundary transition is exposed at two tributaries of the Karma Valley. The exposures in the right valley fork have been called the Elles I section and the exposures in the left valley fork have been called the Elles II section (Adatte et al., 2002). In this study, we focus on the Elles I section since it is best documented (Adatte et al., 2002). It mainly consists of marly and clayey sediments (CaCO₃ content between 30% and 60%). Similar to El Kef, the sequence is interrupted at the K-Pg boundary by

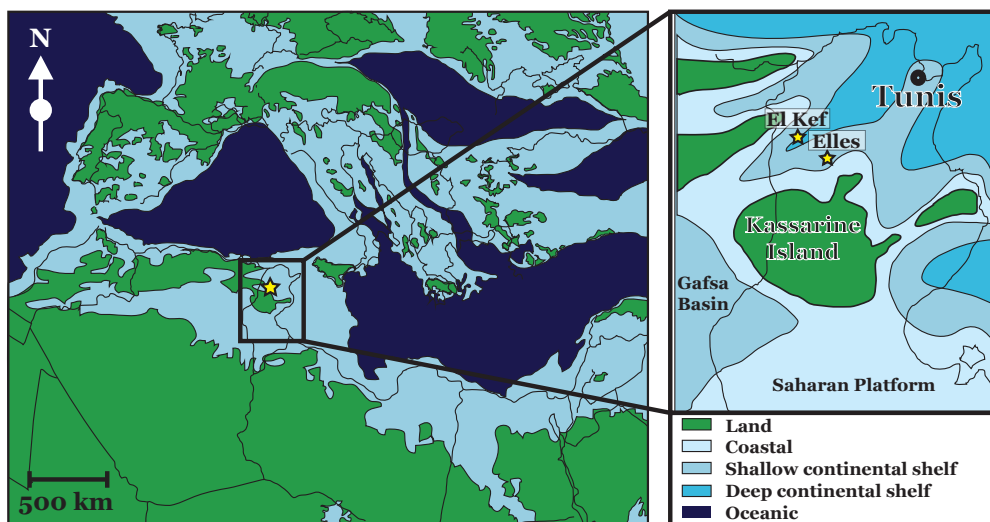


Figure 1

Late Cretaceous–Paleogene paleogeography of the Mediterranean region and a detailed paleogeographic setting of Tunisia, with the location of the Elles and El Kef sections. Paleogeographical reconstruction based on Scotese (2004) and Scotese and Dreher (2012).

a dark, ~50 cm thick carbonate depleted (<10%) interval, the boundary clay layer (Adatte et al., 2002a; Coccioni and Marsili, 2007). The K-Pg boundary is located at the base of the boundary clay layer, where a 3-4 mm-thick rusty red (Fe-oxide rich) layer occurs. The boundary clay layer contains global K-Pg boundary markers such as an anomalous concentration in Ir, Ni-rich spinels, and altered microtektites (e.g. Coccioni and Marsili, 2007).

The Elles sections are interpreted to be deposited in middle to outer shelf depths (at an estimated paleo-waterdepth of about ~150 m; Adatte et al., 2002a), a slightly more proximal position than the El Kef section, resulting in a generally higher terrigenous influx and hence a sedimentation rate exceeding that of the El Kef section (Abramovich and Keller, 2002; Adatte et al., 2002a; Stüben et al., 2002). Various authors have suggested that Maastrichtian sedimentation rates at Elles were about 3-4 cm/kyr (e.g. Adatte et al., 2002a; Stüben et al., 2003) and Danian sedimentation rates have been estimated to be between 2-4 cm/kyr (Galeotti et al., 2005).

Since the Elles section comprises a complete and expanded K-Pg boundary interval with a better exposure of the boundary than the K-Pg GSSP at El Kef, it has been proposed as parastratotype (e.g. Karoui-Yaakoub et al., 2002) and has been studied in great detail in the last decades, presenting an ideal section to verify the signals recorded at El Kef.

3. Biostratigraphy

The El Kef K-Pg boundary GSSP is one of the best studied K-Pg boundary section in the world and has a detailed planktic foraminifera, calcareous nannofossil and dinocyst biostratigraphy (Molina et al., 2006) allowing a precise zonation of the boundary interval.

The biostratigraphic framework for the Elles section is less detailed. Planktic foraminifera biostratigraphy allows the most detailed zonation of the studied interval, which extends from 5 m below to 4 m above the K-Pg boundary interval, covering the uppermost Maastrichtian and lowermost Danian (Karoui-Yaakoub et al., 2002; Coccioni and Marsili, 2007). According to Coccioni and Marsili (2007), the Cretaceous interval of the Elles section comprises the upper half of the *Plummerita hantkeninoides* (CF1) Zone of Pardo et al. (1996), which is equivalent to the uppermost part of the *Abathomphalus mayaroensis* Zone of Robaszynski and Caron (1995). The Danian interval of the Elles sections comprises the Planktic Foraminifer Zones P0 and P1a of Keller et al. (1995). The biostratigraphic records of the Elles section indicate that the K-Pg boundary is stratigraphically complete at this section.

Most previous studies on the Elles section use the planktic foraminifera zonation of Keller et al. (1995). In the latter, the boundary between Zones P0 and P1a is marked by the First Appearance Datums (FADs) of *Parvularugoglobigerina longiapertura* and/or *P. eugubina*. In contrast, Brinkhuis et al. (1998) and Galeotti et al. (2004) used the planktic foraminiferal zonation of Smit and Romein (1985) for their studies on the El Kef stratotype section. This zonation is different from that of Keller et al. (1995) (Fig. 2). The zonation of Smit and Romein (1985) uses the FAD of *P. minutula* as the P0/P1a boundary, the FO of *E. taurica* marks the P1a/P1b zonal boundary and the FAD of *P. pseudobulloides* forms the P1b/P1c boundary. In this zonation, the P1a zone is subdivided into 3 subzones: P1a1, P1a2, P1a3, which are defined by the FADs of *P. minutula*, *P. fringa* and *G. eugubina*, respectively.

The zonation of Smit and Romein (1985) allows a more detailed subdivision of the lowermost Danian and hence, in theory, allows more precise biostratigraphic dating of the record. However, in this zonation the P0/P1a zonal boundary is defined by the FAD of *Parvularugoglobigerina minutula* (referred to as "*Globocunosa alticunosa*" in Arenillas et al., 2004 and "*Parvularugoglobigerina extensa*" in Olsson et al., 1999), a species that has not been recorded at the Elles section (Karoui-Yaakoub et

	Foram Datum Events	This Paper (cf. Brinkhuis et al., 1998)		Smit and Romein, 1985		Olsson et al., 1999	Berggren et al., 1995		Karroui-Yaakoub 2002
Danian	<ul style="list-style-type: none"> ✦ <i>P. uncinata</i> ✦ <i>P. trinidadensis</i> ✦ <i>P. inconstans</i> ✦ <i>G. varianta</i> ✦ <i>S. triloculinooides</i> ✦ <i>P. eugubina</i> ✦ <i>P. pseudobulloides</i> ✦ <i>E. taurica</i> ✦ <i>P. eugubina / P. longiapertura</i> ✦ <i>P. fringa / P. alabamensis</i> ✦ <i>P. minutula / G. conusa</i> 	<i>P. uncinata</i>	P2	<i>G. uncinata</i>	P2	P2	P2		P1d
	<i>P. inconstans</i> (<i>P. trinidadensis</i>)	P1d	<i>G. inconstans</i> (<i>G. trinidadensis</i>)	P1d	P1c	P1c		P1c (2)	
	<i>P. pseudobull.</i>	P1c	<i>G. pseudobull.</i>	P1c	P1b	P1b		P1c (1)	
	<i>E. taurica</i>	P1b	<i>E. taurica</i>	P1b	P1a	P1a		P1b	
	<i>P. eugubina/ P. longiapertura</i>	P1a3	'Globigerina' eugubina	P1a	Pa	Pa	Pa	P1a (2)	
	<i>P. fringa = P. alabamensis</i>	P1a2	'Globigerina' fringa					P1a (1)	
	<i>P. minutula = G. conusa/P. excelsa</i>	P1a1	'Globigerina' minutula						
	<i>G. cretacea</i>	P0	<i>G. cretacea</i>	P0	P0	P0		P0	
	Maastrichtian		<i>A. mayaroensis</i>	UC17	<i>A. mayaroensis</i>	UC17	<i>A. mayaroensis</i>	<i>A. mayaroensis</i>	<i>P. hantkeninooides</i>
									<i>A. mayaroensis</i>

Figure 2

Planktic foraminiferal biostratigraphic zonation of the K-Pg boundary interval. First and Last Appearance Datums of important index species are indicated. The zonation applied here is similar to that used in Brinkhuis et al. (1998) and is here compared to the zonations of Smit and Romein (1985), Olsson et al. (1999), Berggren et al. (1995) and Karroui-Yaakoub et al. (2002).

al., 2002). Furthermore, in some cases the sample-resolution of studies on planktic foraminifera of the Elles section is too low to accurately determine the different subzonations of Smit and Romein (1985), as for example the FOs of *P. longiapertura* and *P. fringa* both occur in one sample, at 20-25 cm above the boundary, whereas according to Smit and Romein (1985), the FAD of *P. fringa* occurs slightly before that of *P. longiapertura*.

To allow the most precise biostratigraphic dating of inferred changes and enable accurate comparison, we apply a zonation similar to that used in Brinkhuis et al. (1998), which closely resembles that of Smit and Romein (1985) and Vellekoop et al. (2014) (see Fig. 2). Given that the zonal marker *P. minutula* was not recorded in Elles, the P0/P1a zonal boundary of Smit and Romein (1985) cannot be exactly identified. However, since the FAD of *P. minutula* is just before the FAD *P. fringa*, the P0/P1a zonal boundary of Smit and Romein (1985) must be very close to the FO of *P. fringa*, permitting a tentative placement of this zonal boundary in the Elles section, just below the FO of *P. fringa*.

4. Methods

4.1 Sampling

To develop a high-resolution dinocyst record across the K-Pg boundary, closely spaced samples were obtained from the Elles section. A total of 100 samples have been collected at 5-cm spaced intervals from the uppermost five meters of the Maastrichtian. We use splits from ten 50-cm-long continuous sections that have been obtained from the lowermost Danian part of the Elles section to form a composite core of 411 cm when depth is corrected by dip within individual sections.

These sections have been obtained by using a metal box hammered into a deeply dug trench (for a description of the sampling procedure, see Galeotti et al., 2005). This cored interval covers the interval calibrated against Planktic Foraminifer Zones P0 to P1c (Galeotti et al., 2005).

4.2 Palynological processing

In total, 236 samples from the Elles section were processed following standard palynological processing techniques. Briefly, approximately 4 g of each sample was crushed, oven dried (60 °C) and weighted, and a known amount (10679, error 5%) of *Lycopodium clavatum* spores was added. The samples were then treated with 10% HCl and 40% HF to dissolve carbonate and silicate minerals, respectively. No heavy liquid separation or oxidation was employed. After each acid step, samples were washed with water and centrifuged or settled for 24h and decanted. The residue was sieved over nylon mesh sieves of 250 µm and 10 µm and treated with ultrasound for 5 minutes to break up agglutinated particles of the residue. From the residue of the 10–250 µm fraction, quantitative slides are made on well mixed, representative fractions by mounting one droplet of homogenised residue and adding glycerine jelly. The mixture was homogenised and sealed. All slides are stored in the collection of the Laboratory of Palaeobotany and Palynology, Utrecht University.

For the present study, of ~60 of these samples (20 samples from the Maastrichtian interval and ~40 samples from the Danian interval), the palynomorphs were counted up to a minimum of 200 dinocysts. The taxonomy of dinocysts follows that cited in Fensome and Williams (2004). A species list can be found in Appendix 1.

4.3 Organic-walled dinoflagellate cysts

Dinocysts provide a powerful biostratigraphic tool and various high resolution studies have been performed across the K-Pg boundary (e.g. Moshkovitz and Habib, 1993; Brinkhuis and Schioler, 1996; Brinkhuis et al., 1998). In addition, they provide means to reconstruct changes in paleoproductivity and several other environmental parameters such as coastal proximity, sea surface temperature (SST) and salinity (SSS) using inferred ecological affinities (e.g., Sluijs et al., 2005). Brinkhuis and Biffi (1993) have demonstrated that the relative contribution of high/middle-latitude (cool-temperate) taxa vs. low-latitude/Tethyan (warm) taxa can be used to reconstruct SST trends in the Paleogene. This method has also been applied to infer paleo-temperature trends across the K-Pg boundary interval (Brinkhuis et al., 1998). In the latter study, a combination of two methods was used. First, apparent latitudinal preferences of taxa were identified by means of a literature study. Brinkhuis et al. (1998) argue that taxa like *Palynodinium grallator* and *Achilleodinium biannii* represent typical high-latitude influences, whereas for example *Senegalinium bicavatum* appears to be a low latitude taxon. However, although dinocysts have been demonstrated to be a useful tool in reconstructing the palaeoenvironment (e.g. Brinkhuis et al., 1998; Sluijs et al., 2005), the still relatively poor knowledge of ecological preferences of many, extinct species often hampers more detailed environmental reconstructions. In general, it may be helpful to use statistical methods such as Detrended Correspondence Analysis (DCA; Hill and Gauch, 1980) for this purpose. Versteegh and Zonneveld (1994) have shown that DCA is a useful tool to determine the ecological preferences of extinct dinoflagellate cyst species. Therefore, Brinkhuis et al. (1998) also used Detrended Correspondence Analysis (DCA) to identify temperature relationships within the dataset.

To allow a good comparison between the Elles and El Kef records, an approach similar to Brinkhuis et al. (1998) was used in the present study. To be able to accurately compare the dinocyst record of the present study with that of El Kef, the microscope slides used by Brinkhuis et al.

(1998), stored at the Laboratory of Palaeobotany and Palynology in Utrecht, Utrecht University, were re-examined to standardize the taxonomy used in these studies. For this taxonomic standardization, single-grain preparations of type-specimens of Brinkhuis et al. (1998) were also used.

In order to recognize the main environmental trends, DCA was carried out on a selected part of the data using PAST (Hammer et al., 2001), following procedures described in Brinkhuis et al. (1998). The species selected for this analysis are discussed in Appendix 3.

4.4 TEX₈₆ analyses

In an attempt to quantify the SST changes recorded by the dinocyst record, 40 aliquot samples were investigated for TEX₈₆ paleothermometry following standard procedures (Schouten et al., 2013). Briefly, organic compounds were extracted from powdered and freeze-dried rock samples of approximately 5 g with dichloromethane (DCM)/methanol (MeOH) (9:1, v/v) using a DIONEX accelerated solvent extractor (ASE 200). The total extracts were separated in 4 fractions over an activated Al₂O₃ column successively using hexane:dichloromethane (DCM) (9:1, v/v), ethyl acetate (100%), DCM:MeOH (95:5, v/v) and DCM:MeOH (1:1, v/v). Following this, 250 ng of a C₄₆ Glycerol Trialkyl Glycerol Tetraether internal standard (Huguet et al., 2006) was added to the DCM:MeOH (95:5, v/v) fraction for quantification purposes. Samples were analyzed using high performance liquid chromatography/atmospheric pressure positive ion chemical ionization mass spectrometry (HPLC/APCI-MS) according to Schouten et al. (2007). For a more detailed description of this technique and its application, see Schouten et al. (2002; 2013). The TEX₈₆ index values were calculated following Schouten et al. (2002).

5. Results

5.1 Comparison Elles and El Kef

In general, the El Haria Formation comprises rich, well to excellently preserved palynomorph assemblages, dominated by marine palynomorphs. All samples from the Elles section yielded palynological assemblages very similar to that at El Kef. Hence, the results from the Elles section can be used to verify the earlier reported signals at the El Kef section. Both records are dominated by marine palynomorphs (75-90% of the assemblage), with a dominance of the representatives of the genus *Spiniferites*, and the morphologically related genus *Achomosphaera*, and an overall relative high abundance of peridinioid dinocysts. Other categories of palynomorphs that were encountered include different types of acritarchs, foraminiferal linings, bisaccate pollen, angiosperm pollen, trilete spores and different genera of fresh- to brackish water algae (predominantly *Paralecaniella indentata*, *Botryococcus* sp. and *Tasmanites* sp.).

5.2 Biostratigraphy

The dinocyst records comprise several first and last occurrences of biostratigraphically important species (referred to as 'dinocyst events'). These include the First Appearance Datum (FAD) and Last Appearance Datum (LAD) of *P. grallator* and the FADs of *Senoniasphaera inornata*, *Damassadinium californicum*, *Carpatella cornuta* and *Laternosphaeridium reinhardtii*. (see Fig. 3).

The successive First Occurrences (FOs) of the dinocyst species *Senoniasphaera inornata*, *Damassadinium californicum* and *Carpatella cornuta* permit a detailed zonation of the most basal part of the Danian (Brinkhuis et al., 1998). The interval above this can be stratigraphically correlated

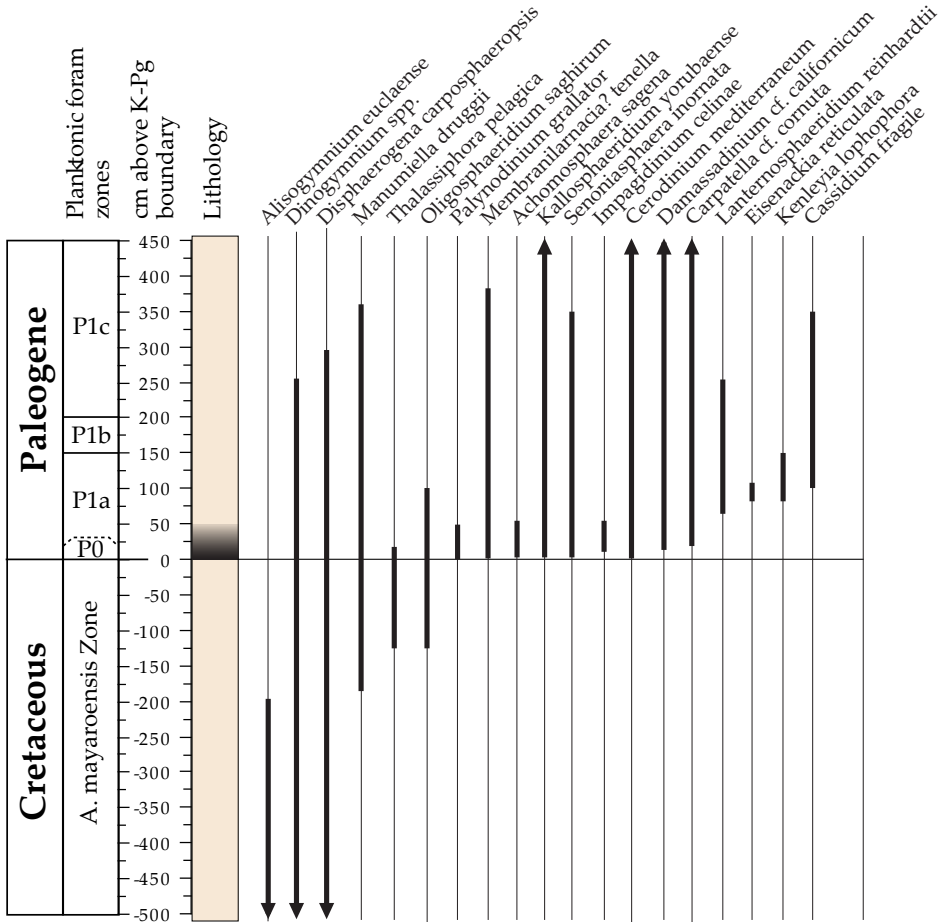


Figure 3

Stratigraphic ranges of selected dinoflagellate cyst taxa at the Elles section. Taxa included are considered important stratigraphic index species for the K-Pg boundary in previous studies (e.g. Moskovitz and Habib, 1993; Brinkhuis et al., 1998; Williams et al., 2004; Slimani et al., 2010) and have a Last Appearance Datum or First Appearance Datum within the studied interval.

using the FOs of the planktic foraminiferal species *P. longiapertura*, *E. fringa* and *G. eugubina*. The resulting biostratigraphic correlation between the Elles and El Kef sections is shown in Figure 4.

Although the interval calibrated against Zones P0 and P1a1 is of similar thickness, the interval calibrated against zones P1a2, P1a3, P1b and P1c is slightly more expanded at the Elles section. The higher sedimentation rates at Elles, earlier related to the more proximal setting of this site (Adatte et al., 2002a), is thus confirmed. The only major stratigraphical discrepancy between El Kef and Elles is the LO of *P. grallator*. At El Kef, this taxon has its LO at 25 cm above the boundary, whereas at Elles *P. grallator* appears to have a slightly longer range, as it occurs up to 50 cm above the boundary. Planktic foraminifera and dinocyst biostratigraphy shows that together, the El Haria K-Pg boundary sites can be regarded as the most expanded complete K-Pg boundary sites in the world.

In the past, the typical Late Cretaceous group *Dinogymnium* spp. and the morphologically related *Alisogymnium* spp. have been considered the single group of organic-walled cyst producing

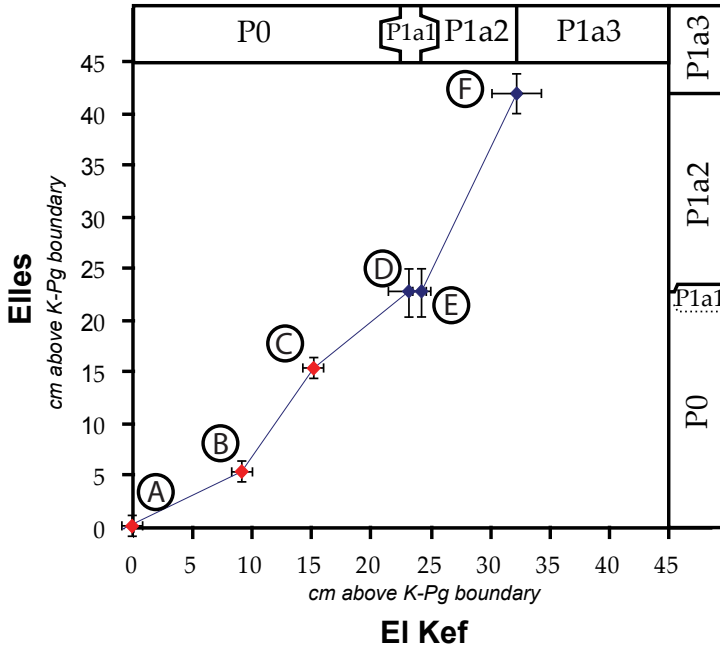


Figure 4

A correlation of the lowermost Danian biostratigraphic events in the El Kef and Elles sections. A) FO *P. grallator*; B) FO *S. cf. inornata*; C) FO *D. cf. californicum*; D) FO *P. minutula*; E) FO *P. fringa*; F) FO *P. eugubina*. The exact depths of the biostratigraphic events are indicated in Appendix 2.

dinoflagellate species to go extinct at the K-Pg boundary (Williams et al., 2004). At both Tunisian records, this group has rare occurrences in the late Maastrichtian, but also throughout the lower half of the Danian interval. This might be related to reworking, but since this phenomenon has also been recorded at various other K-Pg boundary sites (e.g., Brazos River, Braggs, Geulhemmerberg) (e.g. Brinkhuis and Schioler, 1996; Vellekoop et al., 2014), alternatively, the LAD of *Dinogymnium* spp. and *Alisogymnium* spp. may actually be slightly younger, occurring in the early Danian rather than precisely at the K-Pg boundary.

5.3 Elles section paleoecology

While dinocysts are the dominant palynomorphs throughout the studied interval, terrestrial elements and fresh- to brackish water algae show a small increase in abundance across the K-Pg boundary at the Elles section, reaching maximum values about 80-100 cm above the boundary. The ratio of terrestrial over marine palynomorphs (t/m) stays between 0 and 0.04 in the Maastrichtian and increases up to 0.11 in the lowermost Danian, to return to ~0.08 at the top of the studied interval. The relative distribution of the recorded categories of palynomorphs and the t/m ratio of the studied samples is plotted in Figure 5. Total palynomorph counts and concentrations are presented in Appendix 3.

The studied interval is characterized by diverse dinoflagellate cyst assemblages. In total, some 120 different dinocyst taxa were identified. An alphabetical species list of dinocyst taxa is provided in Appendix I. The most abundant dinocyst group in the record is the *Spiniferites ramosus* complex and the morphologically related genus *Achomosphaera* (~5–45% of the assemblage). Other abundant species are *Glaphyrocysta perforata*, *Senegalinium bicavatum* and *Pierceites pentagona*, all of which generally account for 1–25% of the assemblage. In the lower part of the section the typically outer neritic and normal marine dinocyst taxa, such as the *Spiniferites* group, are dominant and open marine, oceanic dinocyst taxa, such as the *Impagidinium* spp. and *Pyxidiniopsis* spp. are also relatively abundant. Members of the *Areoligera/Glaphyrocysta* group (considered indicative of a nearshore, shallow environment, cf. Sluijs et al. (2005) are most abundant above the K-Pg boundary.

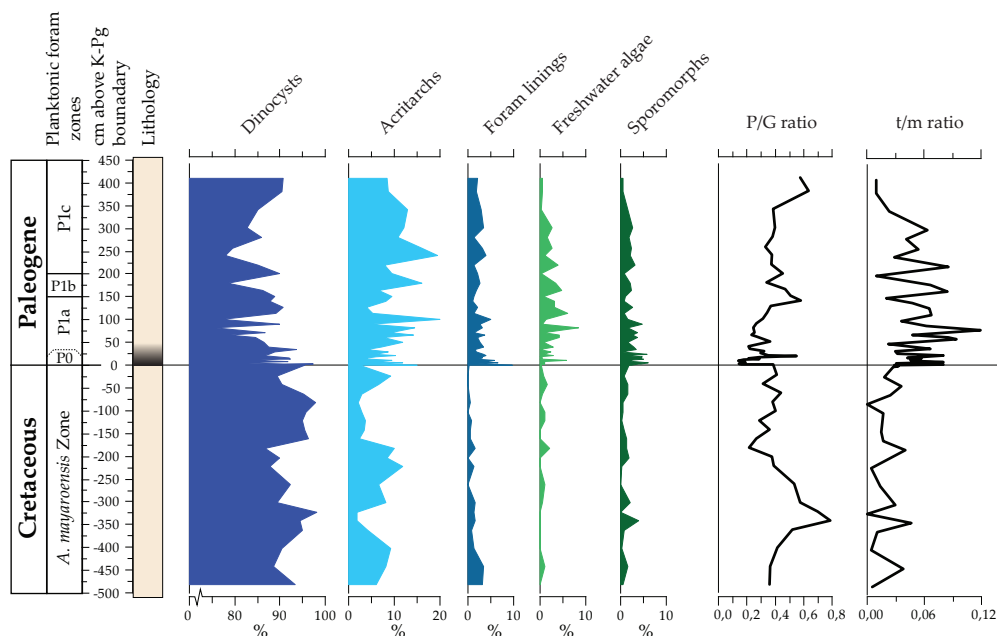


Figure 5

The relative distribution of the recorded categories of palynomorphs, the P/G ratio sensu Versteegh (1994) and the ratio of terrestrial over marine palynomorphs (t/m ratio).

Presumed heterotrophic peridinioid dinoflagellates (Sluijs et al., 2005) are very abundant in the Elles record (up to 80% of the assemblage). The P/G ratio, here defined as the ratio of peridinioid dinocysts over gonyaulacoid dinocysts sensu Versteegh (1994), varies between 0.22 and 0.79 during the Maastrichtian (with an average of 0.43), decreases sharply to 0.13 at the K-Pg boundary and subsequently increases to values similar those for the Maastrichtian (see Fig. 5). Strikingly similar to the El Kef record, at Elles the presumably opportunistic, heterotrophic group composed of the tropical genera *Andalusiella* and *Palaecystodinium* (referred to as the 'A-P complex') (Brinkhuis et al., 1998) rapidly increases in abundance approximately 10 cm above the boundary, peaking at 19 cm above the K-Pg boundary. At both sites this peak occurs in the upper part of Zone P0 (Brinkhuis et al., 1998). At this peak, the A-P complex makes up ~38% of the assemblage at Elles

and about 25% at El Kef. At both sites, the peak of the A-P complex results from an increase in absolute abundances, up to 2500 cysts/gram at Elles and up to 4000 cysts/gram at El Kef, (see Fig. 6). Once the A/P complex abundance decreases again, other peridinioid cysts once more become dominant and restore to pre-K-Pg boundary concentrations.

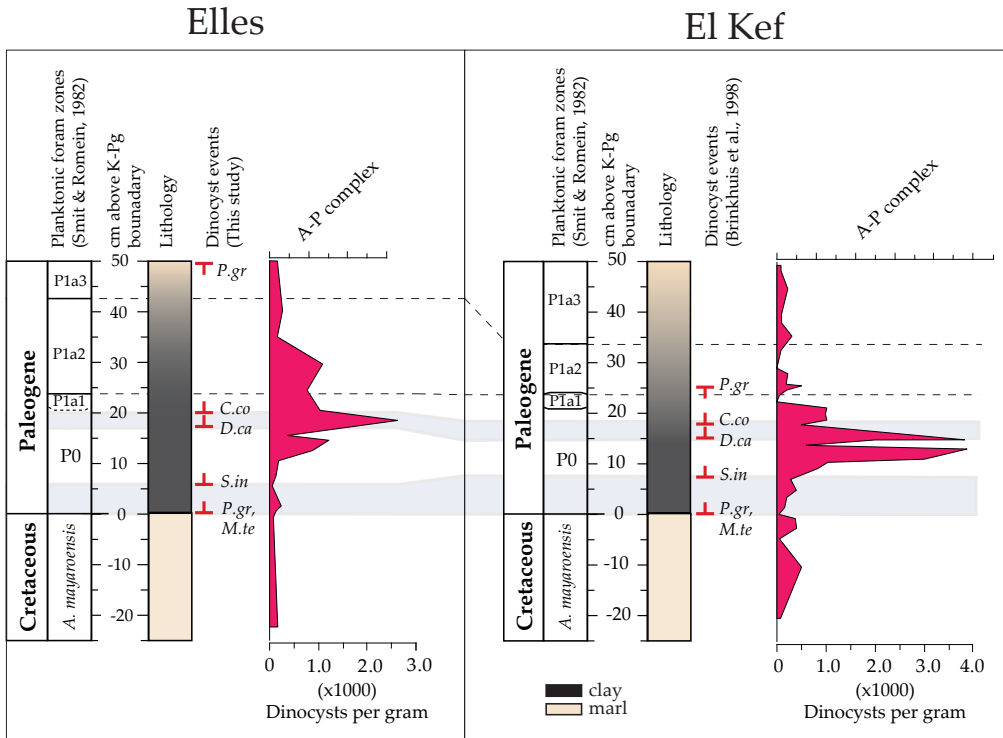


Figure 6

The absolute abundances of the *Andalusiella-Palaeocystodinium* complex (A-P complex) in cysts per gram at the boundary intervals of the Elles section and the El Kef section. Data of the El Kef section are from Brinkhuis et al. (1998), the data from the Elles section are from this study.

Similar to the El Kef K-Pg boundary stratotype, the assemblage at Elles is generally dominated by groups that are regarded either as cosmopolitan or to have low latitude affinities by Brinkhuis et al. (1998), such as the *Spiniferites ramosus* complex, *Pierceites pentagonia* and *Senegalinium bicavatum*. Crucially, at both sites the basalmost Danian is characterized by the influx of higher latitude taxa, most notably with the FOs of *P. grallator* and *Palaeoperidinium pyrophorum* and the increase in abundance of inferred higher-latitude species, *Cribroperidinium* sp. A of Brinkhuis and Schiøler (1996) and *Achilleodinium biannii*.

5.4 Statistical analysis

In order to recognize the main environmental trends such as temperature relationships within the dataset, a DCA was carried out on a selected part of the data, approximately following procedures described in Brinkhuis et al. (1998). For the DCA presented in this study, all species with less than 15 specimen in the total dataset of 20000 were omitted. A more detailed explanation

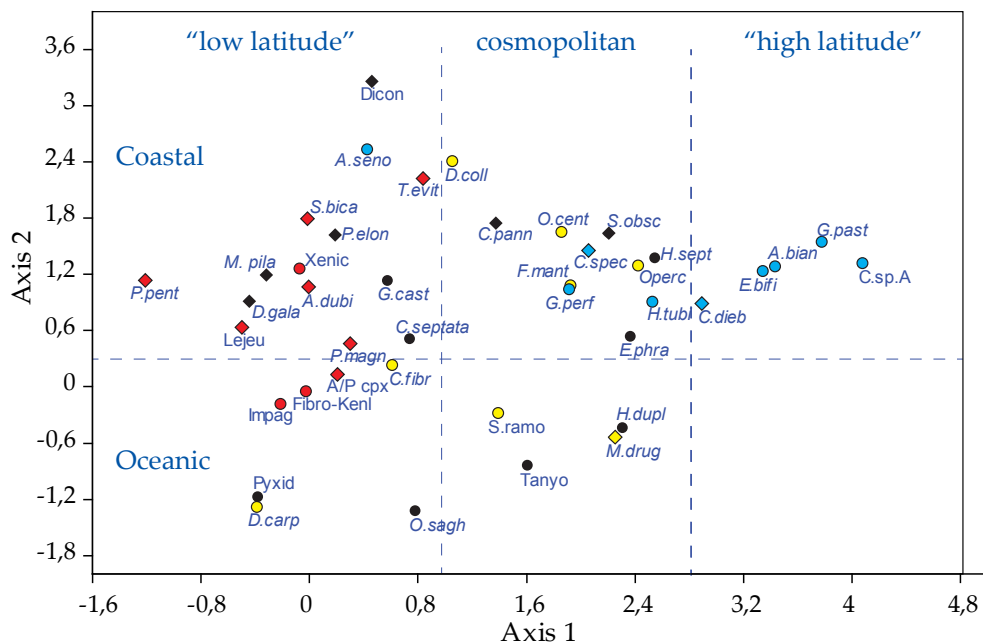


Figure 7

Results of the Detrended Correspondence Analysis (DCA) of the Elles section dataset.

(*A.bian* = *A. biannii*; *A.dubi* = *A. dubia*; A/P cpx = Andalusiella/Palaeocystodinium complex; *A.seno* = *A. senoniensis*; *C.dieb* = *C. diebelii*; *C.fibr* = *C. fibrosipinosum*; *C.pann* = *C. pannunceum*; *C.sept* = *C. septata*; *C.sp.A* = *C. sp. A*; *C.spec* = *C. speciosum*; *D.carp* = *D. carposphaeropsis*; *D.coll* = *D. colligerum*; *D.gale* = *D. galeata*; *D.wils* = *D. wilsonii*; *E.bifi* = *E. bifidum*; *E.phra* = *E. phragmites*; Fibro/Kenl = Fibrocysta/Kenleya spp.; *F.mant* = *F. mantellii*; *G.cast* = *G. castelcasiensis*; *G.past* = *G. pastielsii*; *G.perf* = *G. perforata*; *H.recu* = *H. recurvatum*; *H.sept* = *H. septata*; *H.tubi* = *H. tubiferum*; Impag = Impagidinium spp.; Lejeu = Lejeunecysta spp.; *M.drug* = *M. druggii*; *M.pila* = *M. pilatum*; *O.cent* = *O. centrocarpum*; *O.isra* = *O. israelianum*; *O.sagh* = *O. saghirum*; *P.magn* = *P. magnificum*; Pyxid = Pyxidiniopsis spp.; *Pelon* = *P. elongatum*; *S.bica* = *S. bicavatatum*; *S.obsc* = *S. obscurum*; *S.ramo* = *S. ramosus*; *T.evit* = *T. evittii*; *T.xant* = *T. xanthiopyxides*; *P.pent* = *P. pentagonia*; *Xenic* = *Xenicodinium* spp.). The average latitudinal preference based on the literature review of Brinkhuis et al. (1998) are indicated, with low latitude taxa indicated in red, high latitude taxa in blue and cosmopolitan taxa in yellow. Taxa with unknown latitudinal preferences are indicated in black. In the figure Gonyaulacoid dinocysts are indicated by circles, Peridinioid dinocysts are indicated by diamonds.

of the criteria used can be found in Appendix 3. The distribution of the selected taxa along the two most important DCA-axes is plotted in Figure 7. In the distribution of the taxa along the first axis (eigenvalue 0.175) two main clusters can be recognized, with several outliers on the right side of the graph. The assemblage appears to have a normal distribution along the second axis of the DCA (eigenvalue of 0.121), with most taxa clustering in the middle.

5.5 TEX₈₆

Most of the samples analyzed for TEX₈₆ contained traces of crenarchaeotal GDGT lipids, but their overall concentrations were only just above detection limit. Unfortunately, poor signal to noise ratios make temperature reconstructions based on these biomarkers less reliable. A further complication was that the sediments are characterized by relatively high BIT-index values (0.06-0.78) (see Table 1) The BIT-index is a proxy indicative for the input of soil-organic matter. Since sediments with high input of soil organic matter also receive a contribution of terrestrial isoprenoid

GDGTs, they may yield relatively unreliable SST estimates (Weijers et al., 2006). Therefore, also the high BIT-index values prevent to generate an accurate temperature record across the K-Pg boundary. The generally high BIT-index values are rather surprising given the relative offshore setting and the minor contribution of terrestrial components to the palynomorph assemblages. Perhaps these high BIT index values resulted from contamination by modern rather than representing original fossil soil organic matter. Alternatively, since marine GDGTs are relatively more labile than terrestrial GDGT (Lengger et al., 2014), the relatively high BIT-index values and the large variation throughout the record might be the result of post-depositional oxidation. Consequently, the resulting TEX₈₆ and BIT-index records are relatively chaotic and probably unreliable.

Table 1 GDGT analyses

Sample	Distance from K-Pg boundary (cm)	Yield (ng/g)	BIT-index
ELLES 10	405	n.a.	0.74
ELLES 9-34/35/36	373	n.a.	0.24
ELLES 8-2/3/4	302	0.6	0.38
ELLES 6-4/5	229	n.a.	0.42
ELLES 6-1/2/3	226	n.a.	0.41
ELLES 5-34	220	2.4	0.27
ELLES 4-18/19	146	1.1	0.23
ELLES 3-18	97	0.5	0.34
ELLES 2-38	66	1.9	0.56
ELLES 2-22	49	0.9	0.32
ELLES 2-16	43	1.1	0.43
ELLES 2-10/11	36	n.a.	0.26
ELLES 2-5/6	31	0.4	0.18
ELLES 1-21	23	1.7	0.30
EL T7	20	n.a.	0.78
ELLES 1-18/19	18	0.6	0.27
ELLES 1-13/14	13	1.2	0.17
ELLES 1-9	9	2.3	0.26
ELLES 1-5	5	1.2	0.16
ELLES 1-4	4	0.9	0.27
EL T2	1	n.a.	0.62
ELLES 1-1	1	2.1	0.29
EL IL	0	37.6	0.41
EL T	-1	0.6	0.06
EL -2	-2	n.a.	0.08
EL 2	-8	n.a.	0.15
EL 6	-28	2.5	0.12
EL 9-10	-48	0.5	0.08
EL 13	-63	n.a.	n.a.
EL 14/15	-70	1.9	0.30
EL 31	-153	0.6	0.17
EL 43/44	-215	n.a.	0.24
EL 48	-238	16.9	0.42
EL 62	-308	0.8	0.19
EL 72	-358	n.a.	0.14
EL 82/83	-410	n.a.	0.29
EL 88/89	-440	1.5	0.30
EL 92/93	-460	1.5	0.55
EL 96-100	-480	n.a.	0.69

Table with samples processed for GDGT analyses. Per sample, where available, the yield (in ng/g total GDGTs) and BIT-index are indicated. Samples with BIT-index above the recommended threshold of 0.3 (Weijers et al., 2006) are indicated in grey. Note the variable nature of the BIT-index record.

6. Discussion

6.1 Sea level trends

The palynological record of the Elles is very similar to that of El Kef. Consequently, the result of the DCA analysis of the Elles section also has a strong resemblance to that of Brinkhuis et al. (1998). In the DCA performed on the Elles dataset, most peridinioid cysts plot in the upper half of the graph, including typical peridinioid genera with hexagonal archeopyles such as *Senegalinium* and *Cerodinium*, which have been suggested to be indicative for freshwater input (Sluijs and Brinkhuis, 2009). This implies that the second axis of the DCA might correspond to nutrient and/or freshwater input. The fact that much of the inferred neritic gonyaulacoid genera, such as *Areoligera*, *Glaphyrocysta* and *Operculodinium* are also positioned in the upper half of the plot, whereas more oceanic genera such as *Impagidinium*, *Spiniferites* and *Pyxidinosopsis* plot in the lower half of the plot suggest that this axis presents an indication of coastal proximity, characterized by gradients in nutrient and/or salinity.

Therefore, the loadings of DCA-axis 2 and the palynological assemblages can be used to reconstruct relative sea level changes across the studied interval (Fig. 8). When the loadings of this axis across the studied interval are plotted they show a minimum at about 150 cm below the boundary, at which point the oceanic genus *Impagidinium* also has its highest abundances. These results indicate that more oceanic conditions prevailed in the latest Maastrichtian, allowing the tentative placement of a maximum flooding surface (mfs). The record shows a sea level regression from 150 cm below the boundary upwards, with a sea level lowstand between approximately 100 and 200 cm above the K-Pg boundary. Over this interval the *Areoligera*/*Glaphyrocysta* group, indicative of nearshore, shallow marine environments becomes dominant and the ratio of terrestrial over marine palynomorphs increases. Similarly, freshwater algae and inferred freshwater tolerant dinocysts also have their highest abundance in this interval, suggesting it was characterized by the closest coastal proximity. This allows a tentative placement of a sequence boundary (SB) somewhere in this interval. Several previous studies have suggested the presence of more than one SB in the interval above the K-Pg boundary, with sequence boundaries both at the base of Zone P1a as well as at the base of Zone P1b, which is compatible with the palynological record from the Elles section. In many shallower sections worldwide, this interval is marked by the presence of hiatuses (e.g. Adatte et al., 2002a).

From this interval upwards, DCA-axis 2 decreases, the normal marine *Spiniferites* group becomes dominant again and the *t/m* ratio decreases. This could be interpreted as a gradual sea level rise continuing to the top of the section. These reconstructed sea level changes are consistent with the general trend that is recorded from sections worldwide (MacLeod and Keller, 1991; Moshkovitz and Habib, 1993; Schulte and Spijer, 2009).

Interestingly, in the DCA-analyses of the Elles record, *Manumiella druggii* and the A-P complex, both peridinioid taxa, plot in the lower part, amongst more offshore taxa. Some authors have suggested that *Manumiella druggii* is indicative for shallow marine conditions, potentially even in low salinity environments (e.g. Hultberg., 1987), contradicting our interpretation of DCA-axis 2. Conversely, our results suggest that this presumably heterotrophic species can also occur in more open ocean conditions. Conspicuously, both *Manumiella druggii* and the A-P complex show blooms in our record. These peak occurrences likely resulted from other environmental or ecological changes and are therefore probably not controlled by coastal proximity.

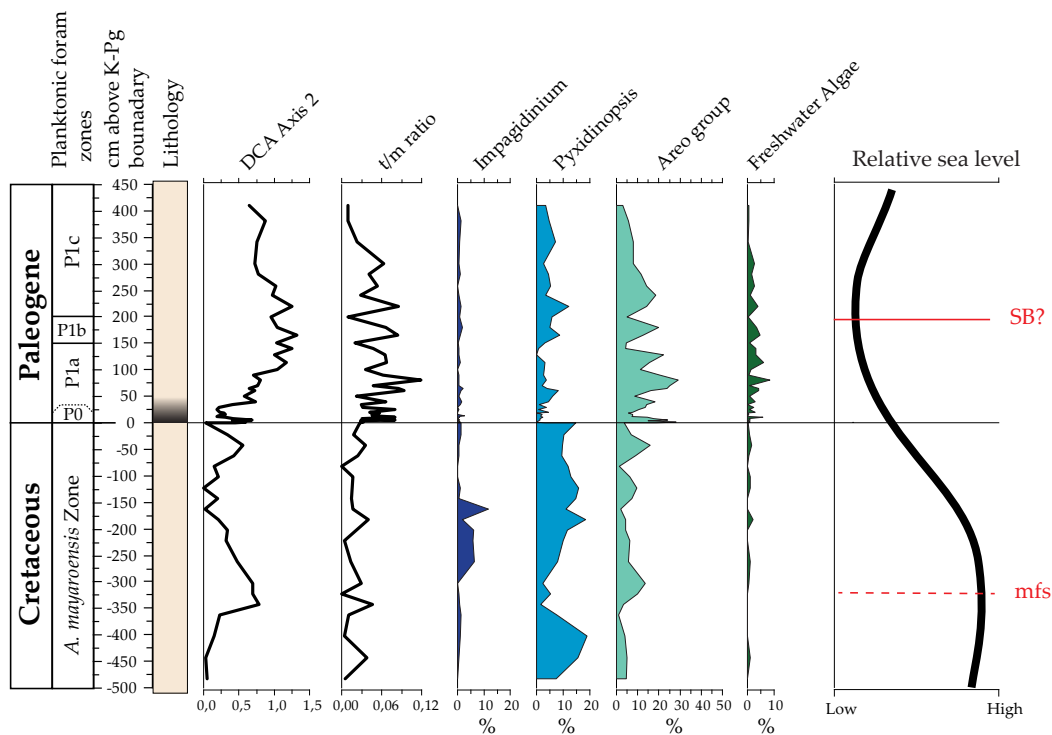


Figure 8

Overview of different indicators of coastal proximity over the studied interval, with the loadings on DCA-axis 2 and the ratio of terrestrial palynomorphs over marine palynomorphs (t/m ratio). The relative abundances of the Impagidinium group (including all species of *Impagidinium* and *Pterodinium*) and Pyxidinospis group (including all species belonging to *Pyxidinospis* and *Xenicodinium*) are interpreted as indicators for offshore conditions (following Crouch and Brinkhuis, 2005) and relative abundances of the Areoligera group (including all species with dorsally-ventrally compressed (Gv) cysts, mainly *Glaphyrocysta* and *Areoligera*) and presumed fresh- and brackish water algae as indicators for inshore conditions (following Sluijs et al., 2005). The sequence stratigraphic interpretation of the palynological data is indicated on the right hand side.

6.2 Climate change across the K-Pg boundary

In the DCA-analyses of the Elles record (Fig. 7), the main cluster on the left-hand side of the plot represents inferred low latitude species such as *Pierceites pentagonia*, *Senegalinium bicavatum* and the *Fibrocysta/Kenlyia* group. Inferred cosmopolitan taxa, such as *Spiniferites/Achomospaera* spp. and *Florentinia mantellii*, generally plot in the central cluster. The outliers on the right-hand side represent typical species included in the group of higher latitude taxa of Brinkhuis et al. (1998), such as *Cribroperidinium* sp. A of Brinkhuis and Schiøler (1996), *Achilleodinium biannii* and *Glaphyrocysta pastielsii*. This suggests that the first DCA-axis can be interpreted as a sea surface temperature gradient. The loadings on DCA-axis 1 through the studied interval are plotted in Figure 9.

Although the position of species in the DCA-plot of the Elles section dataset is quite similar to the position of species in the El Kef section DCA, there are some striking differences between these DCA-plots. One of these is that in the DCA of Brinkhuis et al. (1998) the first axis is determined

by two main outliers, the A-P complex and *Areoligera senoniensis*, whereas in the Elles record, these taxa plot on different places in the DCA analysis. In our analysis of Elles, both plot within the low latitude cluster and consequently, a different DCA axis is dominant. Also, several species that have been inferred to be high latitude taxa based on the literature review by Brinkhuis et al. (1998) do not show such a distribution in the DCA-analysis of the Elles section dataset, most notably *Glaphyrocysta perforata* and *Cerodinium speciosum*. Since these taxa plot amongst cosmopolitan taxa such as *Spiniferites ramosus*, *Operculodinium centrocarpum* and *Florentinia mantelli*, they possibly have a more cosmopolitan affinity. Of the taxa inferred to have a low-latitude affinity by Brinkhuis et al. (1998), most taxa show a similar distribution in the Elles dataset.

It is nevertheless possible to split the dinocyst assemblage into groups in a similar manner as Brinkhuis et al. (1998). Given the distribution along DCA-axis 1, we can divide the assemblage from right to left into: a high latitude group, a cosmopolitan group and a low latitude group, based on the literature review of Brinkhuis et al. (1998). A division criterion as indicated in Figure 7 can be used, in which most inferred high latitude species fall within the same group. The combined percentage of the “cold water” group that is created this way provides an indication of the contribution of higher latitude species to the total assemblage. The loading on DCA-axis 1 and the combined percentage of the cold water species group are two different ways to qualitatively reconstruct sea surface temperature changes across the studied interval, with the first portraying the trend in the data and the latter showing the intervals that SST likely was low enough for a significant ingression of “Boreal” taxa. The variation of this ratio through the studied interval are shown in Figure 9.

In general, the dinocyst records of the Elles and El Kef sections are dominated by cosmopolitan and typical low-latitude species, such as the *Spiniferites ramosus* complex, *Pierceites pentagonia* and *Senegalinium bicavatatum*. This confirms that the overall sea surface temperatures were likely relatively high in this region. At Elles, the upper Maastrichtian interval of 440 cm up to 220 cm below the K-Pg boundary is characterized by a dominance of these, and other typical low latitude species, such as *Pierceites pentagonia*, *Andalusiella polymorpha*, *Palaeocystodinium* cpx. and *Senegalinium bicavatatum*, resulting in high loadings on the first DCA-axis, revealing that this was likely the warmest interval. Above this, DCA-axis 1 reveals a general cooling trend up to the K-Pg boundary. Through this interval, inferred cosmopolitan species, such as the *Spiniferites ramosus* cpx, *Glaphyrocysta perforata* and *Florentinia mantelli* become more dominant. In the upper 80 cm of the Maastrichtian, the first inferred cold water species, such as *Cribopteridinium* sp. A of Brinkhuis and Schiøler (1996) and *Achilleodinium biannii*, make their appearance. The presence of *Manumiella druggii* in this interval (see Fig. 9) might also be in agreement with this cooling. In the Maastrichtian, *Manumiella* is a typical southern hemisphere high latitude genus (e.g. Elliot et al., 1994) and Habib and Saeedi (2007) suggested that the globally recorded *Manumiella* peak below the K-Pg boundary might be related to global cooling. Indeed, in the scatter plot of the DCA performed in the present study, this species plots close to other known high latitude taxa. The ingression of typical higher-latitude dinocyst species in the uppermost Maastrichtian has also been recorded at several other sites (e.g. Habib et al., 1996), consistent with a cooling interval in the latest Cretaceous. This general cooling trend is furthermore confirmed by faunal turnovers in for example planktic foraminifera (Abramovich and Keller, 2002) and calcareous nannofossil assemblages (Gardin, 2002) in the upper Maastrichtian.

At the K-Pg boundary, the El Haria Formation dinocyst assemblage changes dramatically. Our results confirm the rapid cooling pulse as recorded at the K-Pg boundary GSSP of El Kef. In the lowermost Danian (correlative to the base of Zone P0), several typical higher-latitude taxa make

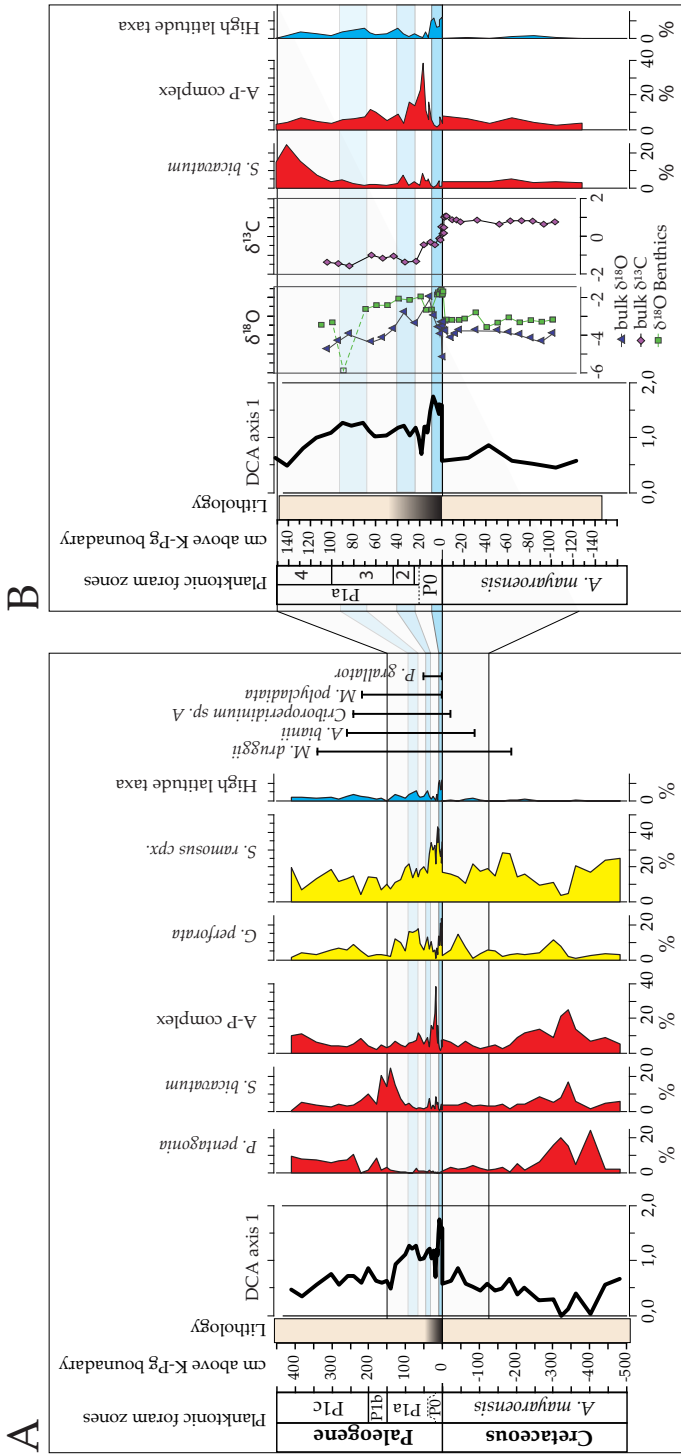


Figure 9

Overview of various temperature indicators across the K-Pg boundary interval at Elles. A) The loadings on DCA-axis 1, relative abundances of 3 different inferred low-latitude taxa, 2 inferred cosmopolitan taxa and all inferred high-latitude taxa combined and the ranges of several inferred high-latitude taxa. B) A detail of the record across the K-Pg boundary, with the loadings on DCA-axis 1 and relative abundances of inferred high-latitude taxa of the Elles section compared with $\delta^{18}\text{O}$ of bulk carbonate and benthic foraminifera (*Anomalinae acuta*) (Strüben et al., 2002), and bulk carbonate $\delta^{13}\text{C}$ of the parallel Elles II outcrop (Strüben et al., 2002).

their first appearance and other inferred higher-latitude species become more dominant. Depending on the age model used, this first cooling pulse appears to last between 1 and 5 kyr (Galeotti et al., 2004; Gradstein, 2012). The P/G ratio indicates that marine productivity rapidly recovers within 5 cm above the boundary. The first presumably heterotrophic dinocysts that become dominant are taxa of the *Andalusiella/Palaeocystodinium* complex (A-P Cpx.). In the interval calibrated against the upper part of Planktic Foraminifer Zone P0 this complex of heterotrophic dinocyst shows a bloom, with up to 4000 cysts per gram. Although the magnitude of this spike might be overestimated because sedimentation rates in the Danian are probably much lower than in the Maastrichtian because of decreased input of CaCO₃ (Stüben et al., 2002), it most likely still represents an actual bloom, as it starts and ends within the boundary clay, the interval with lower sedimentation rates. Therefore, changes in sedimentation rate did probably not influence this signal. This peak has been recorded at various sites in the Tethyan Ocean, for example in Tunisia and Spain (Brinkhuis et al., 1998), suggesting that this phenomenon is related to specific regional environmental conditions following the K-Pg boundary event. Eshet et al. (1994) identified high abundances of this A-P Cpx. as typical for a high productivity upwelling systems in the Tethyan Realm. Brinkhuis et al. (1998) describe this group as typical for high sea surface temperatures. This suggests that this group thrives in warm, relatively nutrient-rich settings. It is likely that the A-P Cpx is an opportunistic group that bloomed in the warm, nutrient rich conditions following the K-Pg boundary impact winter. Various other studies have recorded a variety of blooms of opportunistic groups occurring in the earliest Danian, taking advantage of access of nutrients and ecological space available (e.g. Gardin, 2002; Alegret and Thomas, 2009). This interval likely coincides with the episode of global warming following the K-Pg boundary, resulting from the release of greenhouse gases into the atmosphere (Kring et al., 2007; Vellekoop et al., 2014).

Subsequently, in the interval correlative to Zone P1a, the dinocyst record reveal less pronounced second and third cool water pulses (See Fig. 9). At each of these pulses, there is an ingress of the inferred higher latitude species, with every subsequent pulse appearing less pronounced than its predecessor, suggesting a kind of reverberation of the initial cooling pulse at the K-Pg boundary. The loadings on DCA-axis 1 reveal that these cooling pulses are distinct events that occur superimposed on the general cooling trend that commenced in the Maastrichtian. Also at the El Kef stratotype section a multitude of cooling pulses was recorded (Brinkhuis et al., 1998, Galeotti and Coccioni, 2002; Galeotti et al., 2004), showing an overall similar pattern. These cooling pulses are also identified in the bulk $\delta^{18}\text{O}$ record of the parallel Elles II section (Stüben et al., 2002; Fig. 9B) and suggest that the earliest Danian conditions were relatively unstable. Both at Elles and El Kef, this interval is also characterized by the ingresses of Boreal benthic foraminifera (Galeotti and Coccioni, 2002; Galeotti et al., 2004) and an increase in $\delta^{18}\text{O}$ values of benthic foraminifera (Stüben et al., 2002), suggesting that bottom and surface waters cooled simultaneously (See Fig. 9). Strikingly, while surface waters appear to be characterized by multiple cooling pulses, $\delta^{18}\text{O}$ values of benthic foraminifera indicate that the bottom waters remained cool over the entire interval. At approximately 100-120 cm above the boundary the benthic $\delta^{18}\text{O}$ values return to pre-impact values, above which point the dinocyst assemblage is again dominated by cosmopolitan and low latitude taxa and the bulk $\delta^{18}\text{O}$ has decreased to -5‰, slightly lower than pre-impact values. The low bulk $\delta^{18}\text{O}$ values and dominance of low latitude dinocyst taxa like *P. pentagonia*, *Senegalinium bicavatum* and *Thirithrodinium evittii* shows that planktic foraminiferal (sub)zones P1a4 and P1b are characterized by relatively warm conditions. In the basal part of Zone P1c, cosmopolitan dinocyst species increase in abundance, suggesting that peak warmth had reduced by this time and the system stabilized again.

6.3 Potential cause for cooling pulses

The ingressions of higher latitude taxa directly above the K-Pg boundary are likely a biological response to an 'impact winter' in the first years to decades following the Chixculub impact (Galeotti, et al., 2004; Vellekoop et al., 2014). However, in Tunisia this phase appears to have lasted more than 10 kyrs, much longer than the months to decades predicted by numerical models and indicated by TEX_{86} derived SST reconstructions at the Brazos River K-Pg boundary section (Vellekoop et al., 2014). In addition, the TEX_{86} record of Brazos River does not indicate any subsequent cooling pulses (Vellekoop et al., 2014). Both the prolonged duration of the cooling and its pulsating nature might be related to the presence of the North-Central Africa upwelling belt close to the studied sites (Parrish and Curtis, 1982; Huber and Sloan, 2001; Galeotti et al., 2004; Alsenz et al., 2013). A short impact winter, with a maximum duration of several decades, will have caused a rapid cooling of the global oceans, resulting in the formation of cold deep waters (See Fig. 10). When atmospheric and surface water temperatures rose again following the impact winter, this led to a temperature contrast between warm surface waters and cold, high density deep waters and, hence, a sharpening and strengthening of the main thermocline. Because vertical mixing is limited in such a condition, the large volume of cold, high density bottom waters will have persisted for time scales on the order of thousands of years (Galeotti et al., 2004), which is also evidenced by the $\delta^{18}\text{O}$ values of benthic foraminifera, indicating that bottom waters remained cool for thousands of years after the impact Stüben et al., 2002 (See Fig. 9). In an area influenced by upwelling, such as Tunisia, this will also result in cooler surface waters, as evidenced by bulk $\delta^{18}\text{O}$ and dinocysts (See Fig. 9). In such a situation, minor, local variations in upwelling-intensity are likely to result in large fluctuations in surface water temperatures. The bulk $\delta^{13}\text{C}$ record of the Elles II section shows slight shifts concurring with the cooling pulses, which might indeed be related to small variations in upwelling-intensity (See Figs. 9 & 10). With time, the cold bottom waters will have slowly dissipated due to vertical mixing, decreasing their influence on the surface waters, which subsequently slowly returned to pre-impact conditions.

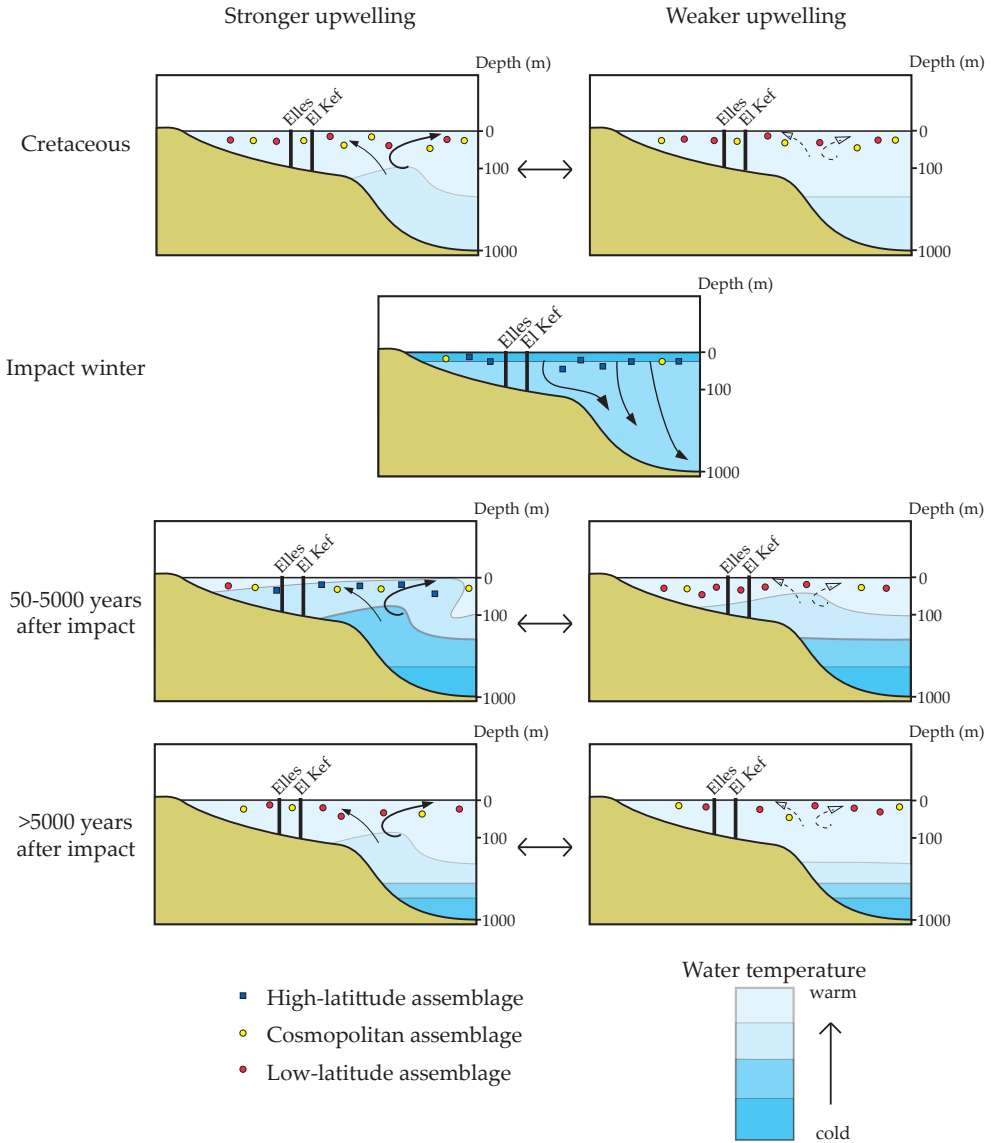


Figure 10

Conceptual model of the long term effects of an impact winter on a region influenced by upwelling. This situation along the Tunisian shelf is depicted for 4 different time intervals: late Maastrichtian; impact winter; the first thousands of years following the impact winter and >5000 years after the impact. For three of these time interval, the difference between stronger upwelling and weaker upwelling conditions is indicated and the consequences for the dinocyst assemblages.

7. Conclusions

Our study provides an overview of the palynological record of the K-Pg boundary interval of the El Haria Formation. The dinocyst assemblages of the Elles section are almost identical comparable to those of the El Kef K-Pg stratotype section. The El Haria assemblages are largely composed of species characteristic for an open marine shelf environment, and mainly includes typical cosmopolitan to warm water taxa. There is a clear sea level signal in the dinocyst record and the highest sea level appears to be reached at approximately -150cm. From there upwards, a general shallowing is evident, reaching a sea level lowstand above the K-Pg boundary. The dinocyst records of the El Haria Formation show several dinocyst events across the K-Pg boundary interval, likely indicating significant environmental perturbations. One of the most striking of these events is the ingression of higher latitude dinocyst species at the base of the boundary clay at both the El Kef and Elles section. This ingression is likely related to a pronounced cooling resulting from a so-called global 'impact winter'. Following this is the peak of the *Andalusiella-Palaeocystodinium* 'complex', similar to other sites across the Tethys. This peak can likely be explained as a bloom of opportunistic low latitude taxa immediately succeeding the K-Pg boundary global impact winter. In Tunisia, the lowermost Danian is characterized by a prolonged cooling phase with multiple cooling pulses, likely related to upwelling of the large volume of colder bottom waters produced during the global impact winter, dissipating over longer timescales.

8. Supplementary Information

8.1 Systematic palynology – List of encountered dinocyst species and complexes

The generic allocation of taxa follows that cited in Fensome and Williams (2004) unless stated otherwise. Notes on certain taxa are provided, including cases of a difference in taxonomic interpretation with Brinkhuis et al. (1998).

Achilleodinium bianii

Achomosphaera ramulifera

Achomosphaera sagera

Achomosphaera spp. (pars)

Adnatosphaeridium buccinum

Alisocysta circumtabulata

Alisocysta spp. (pars)

Alisogymnium euclaense

Andalusiella dubia

Andalusiella gabonensis (part of the Palaeocyst./A. polymorpha Cpx of Brinkhuis et al., 1998)

Andalusiella mauthei (part of the Palaeocyst./A. polymorpha Cpx of Brinkhuis et al., 1998)

Andalusiella polymorpha (part of the Palaeocyst./A. polymorpha Cpx of Brinkhuis et al., 1998)

Apteodinium fallax

Areoligera volata

Areoligera senonensis

Areoligera spp. (pars)

Batiacasphaera rifensis Slimani 2008 (*Batiacasphaera?* sp. in Brinkhuis et al., 1998)

Carpatella cf. *cornuta*. This morphotype differs from *Carpatella cornuta* s.s. in having a less thick wall. In this study it is regarded as a transitional form between the taxa *Cribroperidinium* sp. A of Brinkhuis and Schiøler, 1996 and *Carpatella cornuta* s.s.

Cassidium fragile

Cerodinium diebelii subsp. *diebelii*

Cerodinium mediterraneum Slimani 2008

Cerodinium pannuceum

Cerodinium speciosum subsp. *speciosum* (part of the *C. speciosum* cpx. of Brinkhuis et al., 1998)

Cerodinium striatum

Cerodinium spp. (pars)

Chatangiella spectabilis

Cladopyxidium paucireticulatum

Cometodinium? *whitei* – presumed reworked

Cordosphaeridosphaeridium inodes subsp. *inodes*

Cordosphaeridium fibrospinosum

Cordosphaeridium fibrospinosum var. *cornuta*. This taxon is distinguished from *Cordosphaeridium fibrospinosum* s.s. by the development of distinct apical and antapical horns. These typical forms appear to develop in the earliest Danian and have been described as "intermediate morphotype between *C. fibrospinosum* and *Damassadinium* spp of the *Cordosphaeridium fibrospinosum* Complex" by Brinkhuis and Sluijs 2009, plate 2 B

Coronifera striolata

Cribroperidinium? *pyrum*

Cribroperidinium septata (Acanthaulax? sp. of Brinkhuis et al., 1998)

Cribroperidinium sp. A of Brinkhuis & Schiøler 1996

Dapsilidinium? sp. 1

Damassadinium cf. *californicum*. This morphotype is distinguished from *Damassadinium californicum* s.s. by having a less broad process base. In this study it is regarded as a transitional form between the taxa *Cordosphaeridium fibrospinosum* var. *cornuta* and *Damassadinium californicum* s.s. These forms appear to evolve in the earliest Danian and can therefore be used as a marker species.

Deflandrea galeata

Deflandrea tuberculata

Diconodinium wilsonii. (*Diconidinium parvum* in Wilson, 1974, following Slimani et al., 2011)

Dinogymnium acuminatum

Diphyes colligerum

Disphaerogena carposphaeropsis

Disphaerogena carposphaeropsis var. *cornuta*. This taxon is distinguished from *Disphaerogena carposphaeropsis* s.s. in having a significantly more pronounced antapical horn. According to the emended diagnosis of Sarjeant, 1985, *Disphaerogena carposphaeropsis* s.s. is characterized by an apical horn that is always longer than the antapical horn, by a ratio varying between 1.2:1 to 3:1. In the uppermost Maastrichtian samples, specimen occur with an antapical horn that is as long or longer than the apical horn. Since this form first appears in the uppermost Maastrichtian, in the basal part of magnetochron C29r, it is used as a stratigraphic marker in the present study.

Eisenackia reticulata

Exochosphaeridium bifidum

Exochosphaeridium phragmites

Fibrocysta axialis

Fibrocysta bipolaris

Fibrocysta licia

Fibrocysta spp. (pars)

Florentina ferox

Florentinia mantellii

Glaphyrocysta castelcasiensis (Corradini 1973) Michoux and Soncini in Fauconnier and Masure, 2004

Glaphyrocysta pastielsii

Glaphyrocysta perforata

Glaphyrocysta retiintexta

Glaphyrocysta semitecta

Hafniasphaera septata

Heterosphaeridium? *beteracanthum*

Hystrihokolpoma bulbosum

Hystrichosphaeridium recurvatum (*Hystrichosphaeridium?* sp. of Brinkhuis et al., 1998)

Hystrichosphaeridium tubiferum

Hystrichosphaeropsis ovum

Hystrichostrogylon coninckii

Impagidinium celineae (Included in *Impagidinium* spp. undiff. in Brinkhuis et al., 1998)

Impagidinium spp. (pars) All other taxa assignable to *Impagidinium*

Isabelidinium bakeri

Kallosphaeridium yorubaense

- Kenleyia leptocerata*
Kenleyia lophophora
Kenleyia nuda
Kenleyia ssp. (pars)
Lanternosphaeridium reinhardtii
Lejeunecysta globosa
Lejeunecysta hyalina
Lejeunecysta izerzenensis Slimani 2008
Lejeunecysta spp. (pars)
Magallanesium pilatum
Manumiella coronata
Manumiella druggii (Stover, 1974) Bujak and Davies, 1983, following Thorn et al., 2009
*Membranilarnacia*² *tenella* (*Membranilarnacia* sp. of Brinkhuis et al., 1998)
Membranilarnacia polycladiata (*Membranilarnacia multifibrata* in Wilson, 1974), following Slimani et al., 2011
Neonorthidium perforatum
Oligosphaeridium saghirum Slimani et al., 2012. (?Homotryblium sp. of Brinkhuis and Zachariasse, 1988, p. 183, pl. 6, fig. 6, Oligosphaeridium sp. cf. Homotryblium sp. of Brinkhuis and Zachariasse 1988 of Slimani et al., 2010 p. 115, pl. 10, fig. 10).
Operculodinium centrocarpum (part of the *Operculodinium* spp. group of Brinkhuis et al., 1998)
Operculodinium israelianum (part of the *Operculodinium* spp. group of Brinkhuis et al., 1998)
Palaeocystodinium australinum (part of the *Palaeocyst./A. polymorpha* cpx. of Brinkhuis et al., 1998)
Palaeocystodinium golzowense (part of the *Palaeocyst./A. polymorpha* cpx. of Brinkhuis et al., 1998)
Palaeocystodinium spp. (pars) (part of the *Palaeocyst./A. polymorpha* cpx. of Brinkhuis et al., 1998)
Palaeoperidinium pyrophorum
Palaeotetradinium silicorum
Palynodinium grallator
Palynodinium cf. *grallator*. This morphotype differs from *Palynodinium grallator* s.s. in having less pronounced lateroventral protrusions (included in *P. grallator* in Brinkhuis et al., 1998)
Pervosphaeridium spp.
Phelodinium elongatum Slimani et al., 2010
Phelodinium magnificum
Pierceites pentagonus
Pterodinium cingulatum
Pyxidinopsis ardonensis
Pyxidinopsis spp. (pars)
Renidinium gracile
Riculacysta amplexa
Senegalinium bicaevatum
Senegalinium laevigatum (Malloy 1972) Bujak and Davies 1983 (*Senegalinium?* sp. of Brinkhuis et al., 1998)
Senegalinium obscurum
Senegalinium spp. (pars)

Senoniasphaera inornata

Senoniasphaera cf. *inornata*. This morphotype differs from *Senoniasphaera inornata* s.s. in having a smaller size and thinner outer wall. In this study it is regarded as an early form of *Senoniasphaera inornata*.

*Spiniferella cornuta**Spiniferites pseudofurcatus**Spiniferites ramosus**Spiniferites* spp. (pars)*Spongidinium delitense**Tanyosphaeridium xanthiopyxides**Tectatodinium rugulatum*

Thalassiphora cf. *pelagica*. This taxon differs from *Thalassiphora pelagica* in having a periphragm that more or less surrounds the entire endophragm, instead of being closely oppressed at the dorsal site. The typical “hole” in the ventral site of the periphragm is also missing. (included in *T. pelagica* cpx in Brinkhuis et al., 1998)

Trichodinium castanea – presumed reworked*Trithyrodinium evittii**Turbiosphaera filosa*

Xenicodinium spp. (includes *Xenicodinium* sp. A and *Xenicodinium* sp. B of Brinkhuis et al., 1998)

8.2 Biostratigraphic events

	El Kef	references	Elles	references
FO <i>P. pseudobulloides</i>	240 cm	1	200 cm	4
FO <i>Pv. eugubina</i>	30-35 cm	2	40-45 cm	3
FO <i>Gb. alabamensis</i>	25-26 cm	2	20-25 cm	3
LO <i>Palynodinium grallator</i>	~25 cm	2	50.5 cm	This study
FO <i>Pv. longiapertura</i>	~24 cm	interpolated	20-25 cm	3
FO <i>G. minutula</i>	23 cm	1	N.F.	
FO <i>Carpatella cornuta</i>	~16 cm	2	20.5 cm	This study
FO <i>Damassadinium californicum</i>	~16 cm	2	15.5 cm	This study
FO <i>Senoniasphaera inornata</i>	~10 cm	2	5.5 cm	This study
FO <i>Membranilarnacia tenella</i>	0 cm	2	0 cm	This study
FO <i>Palynodinium grallator</i>	0 cm	2	0 cm	This study
LO <i>A. mayaroensis</i>	0 cm	1	0 cm	3
LO <i>P. hantkeninoides</i>	0 cm	1	0 cm	3

1 = Smit in Brinkhuis et al., 1994; 2 = Brinkhuis et al., 1998; 3 = Karoui-Yaakoub et al., 2002; 4 = Coccioni and Marsili, 2007; N.F.= not found; Dinocyst events are colored blue.

8.3 Detrended Correspondence Analysis on the dinocyst assemblage

For their Detrended Correspondence Analysis (DCA), Brinkhuis et al. (1998) use a selected set of species. In order to generate a clear environmental signal, they strip the dataset of taxa with relative abundances less than 2% in any sample and of any so-called 'stratigraphical signals'.

When this arbitrary boundary of 2% was applied on the dataset from the Elles section, many taxa with environmental significance were omitted. To produce a better environmental signal and, more importantly, to produce a DCA plot with approximately the same species as Brinkhuis et al. (1998), a different boundary definition was looked for. Versteegh and Zonneveld (1994) use a different approach. They removed species with less than 30 specimens out of a dataset with 130000 specimen. Hence, they only take out species which form less than 0.023% of their dataset. Given that the dataset of the Elles section is not as extensive as the dataset from Versteegh and Zonneveld (there are ~20000 specimen in the dataset of Elles), this approach also seemed unsuitable.

Testing different boundary definitions, the definition with which the DCA produces the clearest results was determined. For the DCA presented in this study, all species with less than 15 specimen in the total dataset of 20000 were omitted.

To remove the 'stratigraphic signal', Brinkhuis et al. (1998) remove stratigraphic markers such as *Seniosphaera inornata*, *Damassadinium californicum* and *Carpatella cornuta* from the dataset. Unfortunately, this also includes two species that most likely signify an important environmental signal: *Palynodinium grallator* and *Membranilarnacia polycladiata*. These two inferred higher latitude species have their first occurrence at the base of the Danian in both El Kef and Elles. The ingression of these species might indicate a cooling of the photic zone at this interval. In order to see what their position on the DCA axis would be, the DCA performed twice, one time on a dataset with these two species excluded, one time with these two species *included*. This analysis showed when these taxa are included, they plot well within the higher-latitude group.

CHAPTER V

Cretaceous-Paleogene boundary environmental crisis and recovery in the Mudurnu-Göynük Basin, NW Turkey

Abstract

Studies over the past decades have demonstrated that the mass extinction associated with the Cretaceous-Paleogene (K-Pg) boundary (~66 Ma) is related to the short- and long-term environmental effects of a large extraterrestrial impact. These environmental effects are, however, still poorly understood. In order to enable reconstructions of pre- and post-impact marine environmental conditions across the K-Pg boundary using microfossils, focus should be on those groups which did not experience major extinction, like benthic foraminifera and organic-cyst producing dinoflagellates (dinocysts). However, although combining dinocyst and benthic foraminifera analyses could provide crucial insight into benthic-pelagic coupling, no attempts have been made to integrate these records along these lines. Furthermore, although several benthic foraminiferal and dinocyst records have been published from the southern margin of the Tethyan Realm, no such records exist from its northeastern margin. Therefore, here, we integrate dinocyst and benthic foraminiferal records of the recently discovered, stratigraphically expanded Okçular section in Northwestern Turkey to reveal how the K-Pg boundary biotic crisis affected surface and bottom conditions, in a Tethyan-wide context. Our record confirms the post-impact dominance of epibenthic taxa and shows an increase in inferred heterotrophic dinocysts in the earliest Danian. These results indicate that during the initial post-impact phase, the collapse of export productivity likely resulted in lower nutrient availability on the sea floor, but with more nutrients being available for the earliest Paleocene planktic community.

I. Introduction

It is now widely accepted that the Cretaceous-Paleogene (K-Pg) boundary (~66 Ma) is associated with an impact of a large extra-terrestrial body, at present day Chicxulub, Yucatan, Mexico. The short- and long-term environmental implications of this impact resulted in the extinction of a large number of biological clades (Sepkoski 1996). Based on the fossilized remains, paleontological records indicate that approximately 50% of marine genera went extinct across the K-Pg boundary. This episode thus represents one of the largest mass-extinction events in Earth history (Sepkoski 1996; D'Hondt, 2005). Apart from short-term global environmental consequences, such as an initial 'impact winter' phase, (Vellekoop et al., 2014), the event likely also had major long-term consequences, as e.g., CO₂ produced from Chicxulub target rocks and associated materials are thought to have caused greenhouse warming (Galeotti et al., 2004; Kring, 2007). In addition, the large-scale extinctions amongst primary producers must have caused a major restructuring of global food webs and global carbon cycling (D'Hondt, 2005; Coxall et al., 2006). A collapse in the oceanic stable carbon isotope gradient between surface and bottom persisted for hundreds of thousands to a few million years (Zachos et al., 1989; Kump, 1991). The breakdown of this gradient likely reflects a global collapse of export productivity (D'Hondt et al., 1998; Coxall et al., 2006). It is suggested that in the post-extinction ocean a smaller fraction of marine production sank to the deep waters (D'Hondt et al., 1998). This reduction in the organic flux to deep waters might be a consequence of the ecosystem reorganization that resulted from the mass extinction, since a general absence of large pelagic grazers (such as macrozooplankton and fish) or a shift in dominance from grazers that create fecal pellets (e.g. fish) to grazers that do not (e.g., jellyfish) could have greatly reduced the packaging of biomass into large particles that sink to the deep ocean (D'Hondt, 2005). Alternatively, some studies suggest that, especially in the deep-sea, the K-Pg boundary extinction might have resulted in a change in food source rather than a decrease in total nutrients on the sea floor, presenting a major stressor for the benthic community (Alegret and Thomas, 2009, 2013).

Although numerous studies have been performed to seek evidence of the K-Pg boundary impact globally, especially the millennial-scale biotic responses to this geologically instantaneous event are still poorly documented and understood. Crucially, many previous studies lack sufficient temporal resolution to reconstruct such millennial-scale environmental changes across the boundary interval (e.g. Alegret and Thomas, 2013). In addition, several microfossil groups commonly used for paleoenvironmental reconstructions, such as planktic foraminifera and calcareous nannoplankton, experienced major extinctions (Huber et al., 2002). Benthic foraminifera and organic-cyst producing dinoflagellates (dinocysts), on the other hand, are ideally suited to reconstruct changes in bottom and surface water conditions across the K-Pg boundary interval, as they show no significant extinction above background levels at the end of the Cretaceous (Brinkhuis and Zachariasse, 1988; Culver, 2003). Indeed, a few high resolution K-Pg boundary benthic foraminiferal and dinocyst records have been published, particularly from the southern shelfal margins of the Western Tethyan Ocean, from e.g. Tunisia, Israel, Egypt and Spain (Brinkhuis and Zachariasse, 1988; Eshet et al., 1992; Coccioni and Galeotti, 1994; Speijer and Van der Zwaan, 1996; Brinkhuis et al., 1998; Peryt et al., 2002; Alegret et al., 2003; see Figure 1). These records thus potentially provide a comprehensive, Tethyan ocean-wide portrayal of the surface and bottom water environmental changes across the K-Pg boundary. The benthic foraminiferal and dinocyst records from the southern margin of the Tethys do reveal indications for major, short-term changes in oceanography, including e.g., temperature, redox and trophic conditions across the K-Pg boundary (e.g. Brinkhuis

and Zachariasse, 1988; Speijer and Van der Zwaan, 1996; Brinkhuis et al., 1998). Especially quantitative benthic foraminiferal records show a strong response to the K-Pg boundary impact, generally portraying an abrupt benthic community impoverishment across the boundary. At many of these K-Pg boundary sites, after a short-lived proliferation of endobenthic forms, epibenthic forms dominate the initial post-impact ‘disaster’ phase (Culver, 2003). Since in general endobenthic forms are considered indicative for a high flux of organic matter to the seafloor and/or relatively low oxygen conditions and epibenthic forms for more oligotrophic environments (Peryt et al., 2002; Culver, 2003; Jorissen et al., 2007), the post-impact abundance of epibenthic forms is often explained as food starvation at the sea floor (Culver, 2003). Following this ‘disaster’ phase, most benthic foraminiferal records show a relatively long recovery phase, with endobenthic forms slowly returning as diversity starts to increase again (Alegret et al., 2003; Culver, 2003).

Combining quantitative dinocyst and benthic foraminifera analyses could provide crucial insight into benthic-pelagic coupling. Remarkably, no such attempts have effectively been made so far. In addition, although the southern shelfal margins of the Western Tethys Ocean are quite reasonably covered geographically, no such combined and high resolution records exist from the northern shelfal margins of the Western Tethyan Ocean as yet. To be able to provide a comprehensive, ocean wide portrayal of the surface and bottom water environmental changes across the K-Pg boundary and the coupling between benthic and pelagic systems, additional dinocyst and benthic foraminiferal records need to be produced from the northern margin of the Tethys.

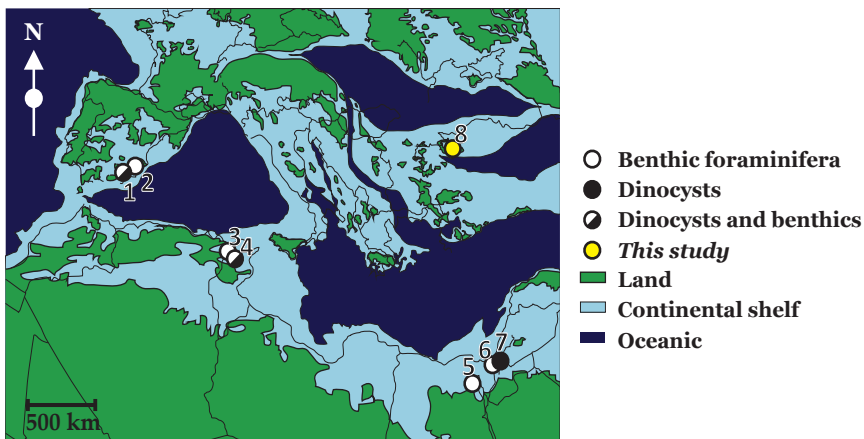


Figure 1.

The late Cretaceous-early Paleogene paleogeography of the Eastern Mediterranean after Scotese, (2004) and Scotese and Dreher (2012). Sites with high-resolution benthic foraminiferal and/or dinocyst records are indicated: 1) Caravaca, Spain (Keller, 1992; Cocconini and Galeotti, 1994; Brinkhuis et al., 1998); 2) Agost, Spain (Pardo et al., 1996; Alegret et al., 2003); 3) Ain Settara, Tunisia (Peryt et al., 2002); 4) El Kef, Tunisia (Brinkhuis and Zachariasse, 1988; Speijer and Van der Zwaan, 1996; Brinkhuis et al., 1998); 5) Gebel Duwi, Egypt (Speijer and Van der Zwaan, 1996); 6) Nahal Avdat, Israel (Speijer and Van der Zwaan, 1996); 7) Hor Harar, Israel (Eshet et al., 1992); 8) Okçular section, Turkey (this study).

Amongst the potential localities for new high-resolution K-Pg boundary benthic foraminiferal and dinocyst records from the northern margins of the Tethys is the Mudurnu-Göynük Basin in the Central Sakarya Region, Turkey. Recently, well-preserved, largely unstudied outcrops of ancient

continental shelf deposits spanning the K-Pg boundary were discovered in the Mudurnu-Göynük Basin; the Okçular section and the Göynük North section (Açikalin et al., 2014). Combining dinocyst and benthic foraminifera at this biostratigraphically well-constrained K-Pg boundary transition could provide crucial insight in changes in, and relationship between, surface and bottom water conditions in the Mudurnu-Göynük Basin. By integrating these records with previously generated bulk carbonate carbon isotope records of these sections (Açikalin et al., 2014), the recorded paleobiological changes can potentially be placed in the context of the K-Pg boundary pelagic crisis and collapse of export productivity and subsequent recovery in the earliest Paleocene in the entire Tethyan Ocean. Therefore, we here perform benthic foraminiferal analysis on the Okçular section and dinocyst analysis on the Okçular and Göynük North sections. The results are integrated with bulk $\delta^{13}\text{C}$ records of these sections and compared with those from sections around the former Tethyan Ocean.

2. Geological setting and age assessment

The Mudurnu-Göynük Basin is located in the Central Sakarya Region in Northwestern Turkey (See Fig. 1). In most of the parts of the Mudurnu-Göynük Basin the Late Cretaceous is represented by the slope and basinal deposits of the Yenipazar and Tarakli formations (Saner, 1980; Altiner, 1991). The Tarakli Formation straddles the Cretaceous-Paleogene boundary (Açikalin et al., 2014). In the eastern side of the basin, the upper Maastrichtian is characterized by an intercalation of mudstones and turbidites, whereas in the western side of the basin the turbidites are absent. In the Tarakli Fm, the K-Pg boundary is marked by a reddish ejecta layer at the base of a 15-20 cm thick boundary clay layer. Throughout the basin, the lower 30-50 m of the Danian is characterized by a rhythmic alternation of fine-grained limestones and limey mudstones (Açikalin et al., 2014).

The studied Okçular and Göynük North sections encompass the K-Pg boundary interval of the Tarakli Fm. During the latest Cretaceous-earliest Paleocene these sites were characterized by mixed siliciclastic-carbonate sedimentation in an outer neritic to upper bathyal environment (Açikalin et al., 2014). Both sections have been analyzed for siderophile trace elements, including Ir and other platinum group elements (PGEs), planktic foraminifera, calcareous nannofossils and dinocysts. Based on these results, a detailed biostratigraphy was obtained (Açikalin et al., 2014), allowing a confident age assessment of the boundary interval. The age model shows that both sections contain a chronostratigraphically complete K-Pg boundary interval. The studied interval ranges from the top part of the Maastrichtian *A. mayaroensis* Zone up to the basal part of the Danian planktic foraminiferal Zone P1b and covers globally occurring FOs of dinocyst marker taxa such as *Senoniasphaera inornata*, *Damassadinium californicum* and *Carpatela cornuta* (Açikalin et al., 2014).

3. Materials and methods

3.1 Sampling

For the present study, high-resolution (mm to cm-scale) sample sets were used that were acquired during 2 field campaigns, in 2010 and in 2011. For more detail on these sampling campaigns, see Açikalin et al. (2014). The samples from both sections were split for micropaleontological and palynological analyses. Samples from the Okçular section were analyzed for both benthic foraminifera and palynology. To verify the palynological results of the Okçular section, the K-Pg boundary transition of the nearby Göynük North section was subsequently also analyzed for palynology.

3.2 Foraminifera analysis

The Okçular samples were processed at KU Leuven for foraminiferal studies following standard micropaleontologic procedures. Rock samples were dried in a stove at 60°C for at least 24 hours. Depending on sample size, 4 to 60 grams of dry rock were soaked in a soda solution (50g/l Na₂SO₄). If necessary, the tenside Rewoquat was used to disintegrate strongly lithified samples. After disintegration, each sample was washed over 2 mm and 63-µm sieves. The dry residues were further sieved into three fractions: 63-125 µm, 125-630 µm and >630 µm. Representative aliquots of the >125 µm fraction, containing at least 300 benthic foraminiferal specimens, were obtained. Picked specimens from this size fraction were permanently stored in Plummer slides. Benthic foraminifera were identified using the taxonomy of Cushman (1946), Cushman (1951), Kellough (1965) and Berggren and Aubert (1975).

To recognize changes in assemblages, the main faunal associations were identified by means of cluster analysis, using Paired group (UPGMA) correlation distance. This cluster analysis allows the identification of 4 main benthic foraminiferal assemblages, Assemblages A to D (see Fig. 2).

Benthic foraminifera are commonly used as indicators for bottom water oxygenation and trophic conditions (e.g. Culver, 2003; Jorissen et al., 1995; Jorissen et al., 2007). It is generally assumed that particular foraminifera morphologies are characteristic for epibenthic habitats (rounded trochospiral, plano-convex trochospiral, biconvex trochospiral/planispiral, milioline and tubular morphotypes), whereas others are more characteristic for endobenthic habitats (rounded planispiral, flattened ovoid, tapered and cylindrical) (e.g. Peryt et al., 2002; Culver, 2003; Alegret et al., 2003). These forms are interpreted to reflect specific microhabitats in and on the sea floor. According to the TROX model of Jorissen et al. (1995), the main factors to explain the benthic foraminiferal microhabitats are food availability and oxygen concentration. In general, endobenthic forms are considered indicative for a higher flux of organic matter to the seafloor and/or relatively low oxygen conditions, while epibenthic forms are considered characteristic for more oligotrophic/oxygen rich environments (Peryt et al., 2002; Alegret et al., 2003; Jorissen et al., 2007).

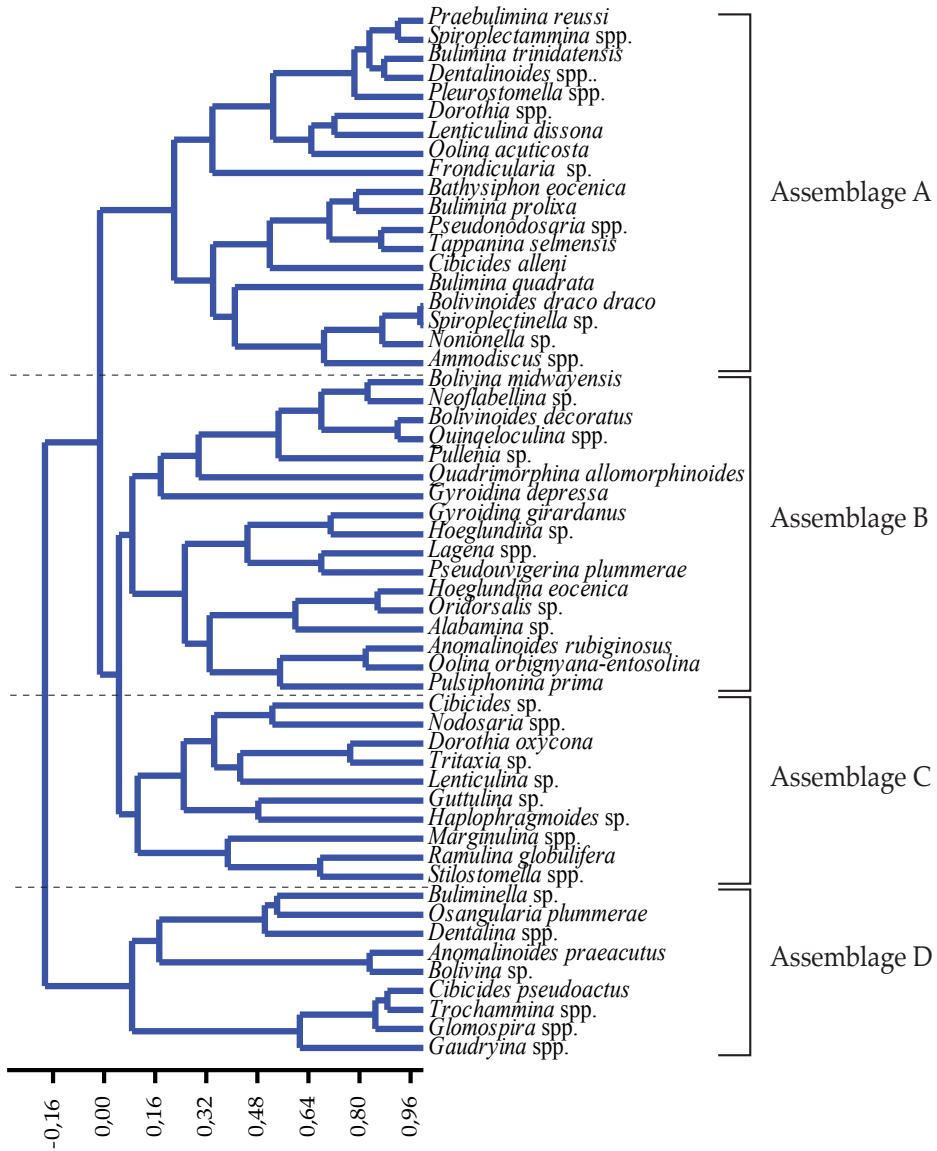


Figure 2.

A cluster analysis on the benthic foraminiferal data using paired group (UPGMA) correlation distance. This cluster analysis the identification of 4 main benthic foraminiferal assemblages, assemblages A to D.

3.3 Palynological analysis

In total, 48 samples from the Göynük North section and 45 samples from the Okcular section were processed following standard palynological processing techniques. Briefly, approximately 10 gram of each sample was crushed, oven dried (60°C), weighted and a known amount (10679, error 5%) of *Lycopodium clavatum* spores is added. The samples were then treated with 10% HCl

to remove carbonate components and 40% HF to dissolve the siliceous components. No heavy liquid separation or oxidation was employed. After each acid step, samples were washed with water and centrifuged or settled for 24h and decanted. The residue was sieved over nylon mesh sieves of 250 μm and 15 μm and were given 5 minutes ultrasound to break up agglutinated particles of the residue. From the residue of the 15-250 μm fraction, quantitative slides are made on well mixed, representative fractions by mounting one droplet of homogenized residue and adding glycerin jelly. The mixture was homogenized and sealed. All slides are stored in the collection of the Laboratory of Palaeobotany and Palynology, Utrecht University, The Netherlands.

For the present study, ~30 samples per site were studied for palynology. Slides were counted for marine (e.g., dinocysts) and terrestrial palynomorphs (e.g., pollen and spores) up to a minimum of 300 dinocyst specimen. The taxonomy of dinocysts follows that cited in Fensome and Williams (2004). For the systematic palynology, see Açıkalın et al. (2014).

To identify major changes in the dinocyst record, morphologically closely related taxa were grouped into complexes using a similar approach as Schioler et al. (1997) and in Sluijs and Brinkhuis (2009). In our study, the following morphological complexes were established: (1) the *Spiniferites* complex, combining all species of *Spiniferites* and the morphologically similar genus *Achomopsbaera*; (2) hexaperidinioids, lumping all Peridinioid cysts with a hexaform archeopyle; (3) *Manumiella* spp., grouping all species of *Manumiella*; (4) other dinocysts, which includes all other dinocyst taxa and indeterminate dinocysts.

4. Results

4.1 Benthic Foraminifera

The benthic foraminiferal record of the Okçular section is characterized by a major turnover across the K-Pg boundary (See Fig. 3). For the foraminiferal countings, see Appendix 1. To assess changes in diversity across the studied interval the Shannon diversity index (H) was calculated. Based on the diversity index, 4 intervals can be recognized in the benthic foraminiferal record of the Okçular section (see Fig. 3).

The first interval comprises the uppermost Maastrichtian and is characterized by relatively high diversity, dominated by Assemblage A. In this assemblage, the buliminids represent the most dominant group (16-26%). About 50-60% of the assemblage consists of inferred endobenthic taxa. The K-Pg boundary marks an abrupt benthic community impoverishment and the decimation of Assemblage A.

The second interval, characterized by low diversity, comprises the lowermost Danian, approximately correlative to planktic foraminiferal Zone P0. In this interval epibenthic forms are most abundant (70-90%). It is dominated by Assemblage B, encompassing successive peak occurrences of the taxa *Anomalinoidea praeacutus*, *Trochammina* spp., *Cibicidoides pseudoacutus* and *Osangularia plummerae* (See Appendix 1).

The third interval covers the interval approximately correlative to planktic foraminiferal Zone Pa. This interval is characterized by a recovery of the diversity, although the diversity is still lower than that of the top Maastrichtian. Assemblage B slowly decreases and Assemblage C, mainly represented by *Cibicides* sp., becomes abundant. Endobenthic forms recover and make up 30-40% of the total assemblage.

The fourth interval starts in the interval correlative to Planktic Foraminiferal Zone P1a. Here, the benthic community has recovered as the diversity is roughly back to pre-impact values. This interval is characterized by Assemblage D, a typical Paleocene Midway-type fauna (Berggren

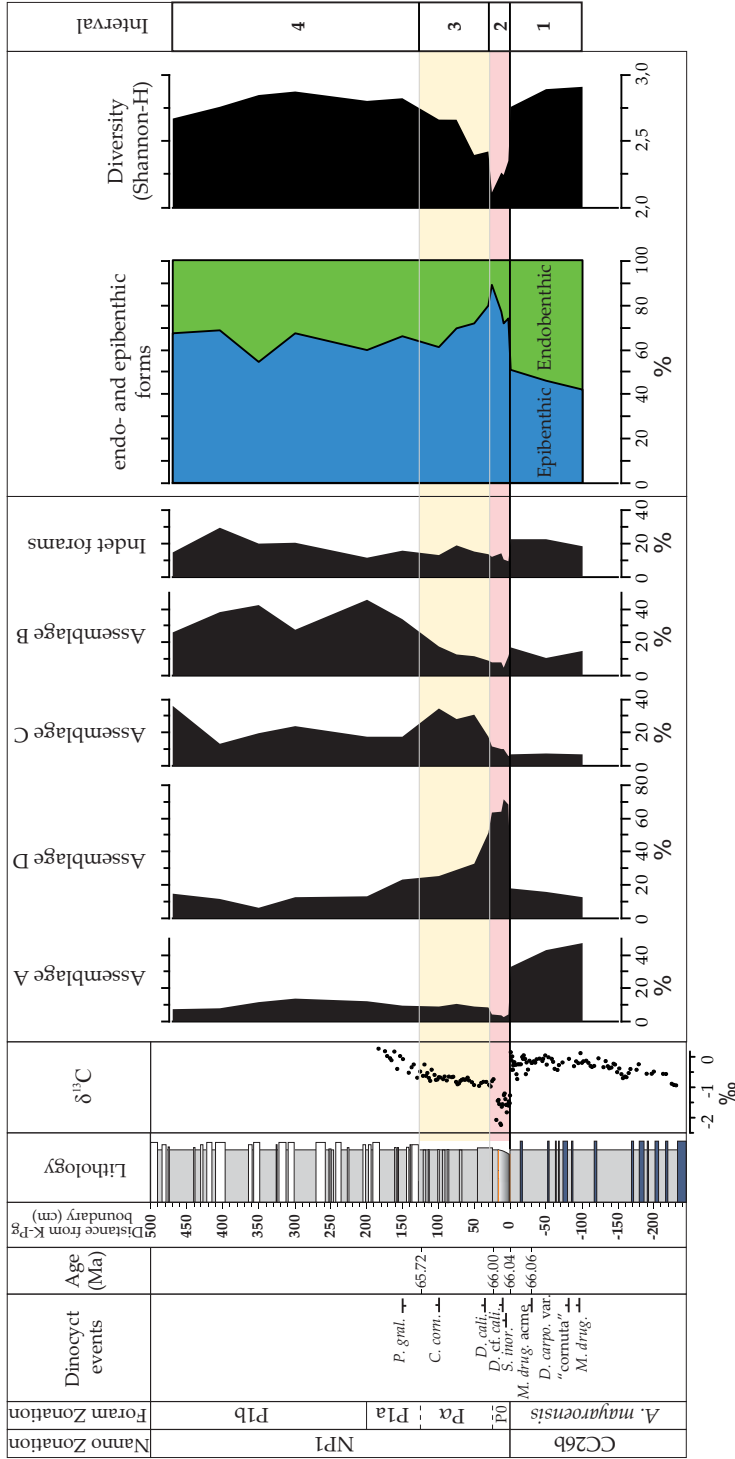


Figure 3. The benthic foraminiferal record of the Okçular section. The biostratigraphy and bulk stable carbon isotope record are from Açıkalın et al. (2014). *D. carp.* = *Disphaerogena carposphaeropsis*; *M. drug.* = *Manumietta druggi*; *D. carp.* var. “cornuta” = *Disphaerogena cf. carposphaeropsis*, “cornuta” variety; *M. Drug.* Acme = *Manumietta druggi* acme; *S. inor.* = *Senoniasphaera inornata*; *D. cf. cali.* = *Damassadinium cf. californicum*; *C. cf. corn.* = *Carpatella cf. cornuta*; *D. cali.* = *Damassadinium californicum*; *C. corn.* = *Carpatella cornuta*; *P. gal.* = *Palyodinium gallator*. Relative concentrations of the 4 main foraminiferal assemblages, relative abundances of epibenthic and endobenthic forms and the diversity (Shannon-H) are plotted. Based on the benthic foraminiferal record, 4 developmental stages can be identified.

and Aubert, 1975), with representatives such as *Anomalinooides praeacutus*, *Bolivina midwayensis*, *Cibicoides alleni* and *Osangularia plummerae*.

4.2 Palynology

The palynological records of the Okçular and Göynük North section are almost identical (See Figs. 4 and 5). Samples from both sites yielded an abundance of well-preserved palynomorphs, dominated by dinocysts and with minor contributions of acritarchs, prasinophytes, organic foraminiferal linings and terrestrial palynomorphs. The dinocyst associations of the Mudurnu-Göynük Basin are relatively diverse, including components characteristic for both the Tethyan and Boreal realms (Açikalin et al., 2014).

At both sections, the *Spiniferites* complex is consistently the most dominant, in general making up 40-50% of the total assemblage. Hexaperidinioids generally make up a relatively small component of the Maastrichtian assemblage (3-17%), but show an increase across the K-Pg boundary. In the boundary clay layer, correlative to planktic foraminiferal Zone P0, this group increases up to 35% of the assemblage. After an initial drop in relative abundance at the top of Zone P0, this group remain relatively abundant (15-30%) through Zone Pa, reaching a second maximum in the basal part of Zone P1a, after which it slowly decreases up to the top of the studied interval. Similar to other K-Pg boundary sites worldwide (Habib and Saeedi, 2007), *Manumiella* spp. show an episode of high relative abundances near the top of the Maastrichtian, some 30-40 cm below the K-Pg boundary. This high relative abundance coincides with a spike in the absolute abundance of total dinocysts, suggesting that this spike is not the result of the closed-sum effect but truly signifies a true bloom of *Manumiella* spp. The rest group 'other dinocysts' generally makes up 25-50% of the assemblage.

To rule out the possibility that the observed assemblage changes across the K-Pg boundary are the result of changes in total dinocyst production or preservation, we made an estimation the dinocyst accumulation rates, here defined as the preserved part of the cysts that accumulated per cm² sea floor per year.

To estimate the dinocyst accumulation rates, the dinocyst concentrations in cysts per gram were calculated. In the palynological records of the Mudurnu-Göynük Basin there is a strong increase in concentrations of dinocysts across the K-Pg boundary, from Maastrichtian abundances of ~1000 cysts/gram on average at Göynük North and ~1000-2000 cysts/gram at Okçular, to lowermost Danian values of up to ~6000 cysts/gram at Göynük North and up to 14000 cysts/gram at Okçular, representing a 6-7 fold increase across the boundary (Figs. 4 and 5). These high concentrations occur in the interval correlative to planktic foraminiferal Zones P0 and Pa, above which concentrations decrease again to ~1000-3000 cysts/gram in Göynük North and 2500-6500 cysts/gram in Okçular. Using the estimated sedimentation rates for the studied interval based on the biostratigraphic age model of Açikalin et al. (2014) and the estimated average density for the lithologies of the Taraklı Fm (2.5 g/cm³; Manger et al., 1963), the concentrations of dinocysts may be used to estimate the dinocyst accumulation rates (in cysts/cm²/year). The resulting records show that the estimated dinocyst accumulation rates do not change considerably across the boundary, implying that the change in absolute concentrations of dinocysts is mostly related to the decrease in sedimentation rates across the K-Pg boundary (Açikalin et al., 2014).

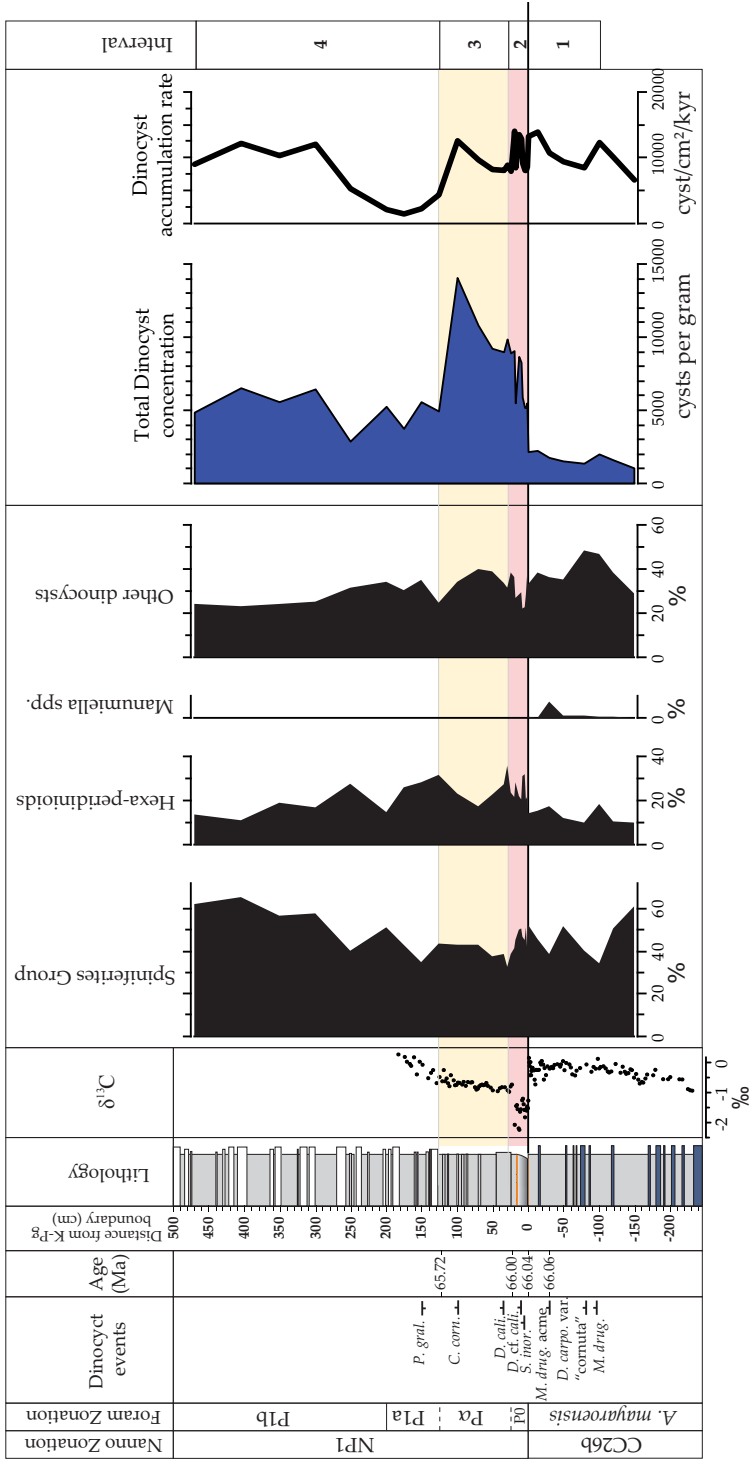


Figure 4. The organic-walled dinoflagellate cyst record of the Okçular section. The biostratigraphy and bulk stable carbon isotope record are from Açıkalın et al. (2014). For abbreviations, see Fig. 3. The 4 main dinocyst complexes, total dinocyst abundances (in cysts per gram dry sediment) and estimated dinocyst accumulation rates (in cysts/cm²/kyr) are plotted. The 4 stages based on the benthic foraminiferal record of this section are indicated.

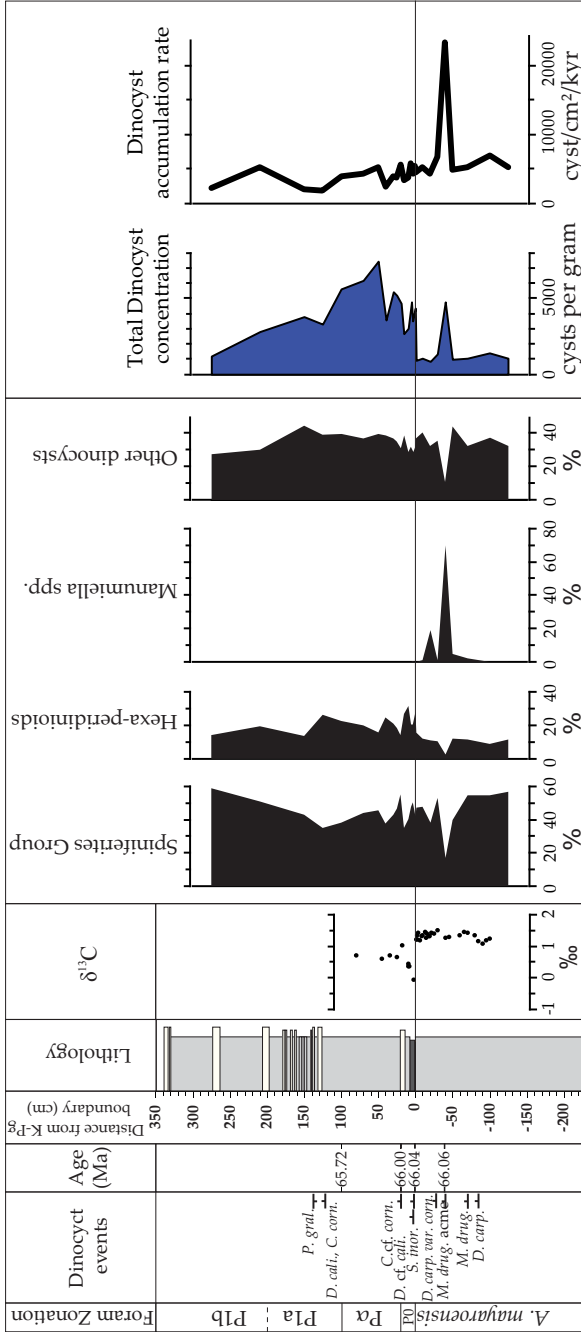


Figure 5. The organic-walled dinoflagellate cyst record of the Göynük North section. The biostratigraphy and bulk stable carbon isotope record are from Açikalin et al. (2014). For abbreviations, see Fig. 3. The 4 main dinocyst complexes, total dinocyst abundances (in cysts per gram dry sediment) and estimated dinocyst accumulation rates (in cyst/cm²/kyr) are plotted. Note that the spike in *Manumliella* spp. coincides with a large peak in the estimated dinocyst accumulation rate.

5. Discussion

5.1 Benthic foraminiferal turnover

The major turnover in the benthic community is largely comparable to earlier published benthic foraminiferal records from shelfal K-Pg boundary sites in the Tethyan Realm (Culver, 2003), with a highly diverse Maastrichtian fauna, an abrupt benthic community impoverishment at the K-Pg boundary, followed by a first recovery phase in the interval correlative to Zone P α and a final recovery in Zone P1a, returning to high diversity assemblages (Speijer and Van der Zwaan, 1996; Peryt et al., 2002; Culver, 2003). Although the specific taxa making up the foraminiferal assemblages differ per site, other Tethyan K-Pg boundary sites with faunas from outer neritic to upper bathyal depths generally portray a similar succession of assemblages (Speijer and Van der Zwaan, 1996; Peryt et al., 2002; Culver, 2003), involving the successive occurrences of (1) a typical high diversity assemblage in the Maastrichtian, (2) a low diversity 'disaster' assemblage following the K-Pg boundary impact, mostly characterized by epibenthic taxa, (3) a 'recovery' assemblage, characterized by an increasing diversity and returning endobenthic forms, and (4) a new, high diversity assemblage, often dominated by a Paleocene, Midway-type fauna, with both epi- and endobenthic forms present. Hence, based on the succession of benthic faunal assemblages, the Mudurnu-Göynük Basin K-Pg boundary interval can be characterized by four phases; the Maastrichtian or pre-impact phase, a disaster phase, a recovery phase and an early Paleocene phase (Fig. 3).

5.2 Environmental crisis at the K-Pg boundary

The palynological, benthic foraminiferal and bulk stable isotope records of the Mudurnu-Göynük Basin show major changes across the K-Pg boundary interval, portraying the environmental crisis at the K-Pg boundary and subsequent recovery during the earliest Paleocene (Figs. 3-5). The late Maastrichtian of the Mudurnu-Göynük Basin was characterized by normal outer neritic to upper bathyal conditions. The dominance of endobenthic taxa, including the presence of buliminids, suggests a relatively high flux of organic matter to the seafloor and resulting relatively low oxygen concentrations within the sediment pore waters (Jorissen et al., 2007). At the K-Pg boundary, the stable carbon isotope records reflect the pelagic crisis, i.e. the mass mortality amongst surface dwelling carbonate producers and the global collapse of export productivity (D'Hondt, 2005). Simultaneously, inferred endobenthic taxa almost disappear from the benthic community, indicating that also in the Mudurnu-Göynük Basin the K-Pg boundary marked a drastic decrease in food availability on the seafloor and, associated with this, a simultaneous increase in oxygen availability in sediment pore waters. These changes are likely caused by the major drop in food supply from the photic zone to the seafloor (Peryt et al., 2002; Culver, 2002), related to the major extinction amongst the dominant primary producers, calcareous nannoplankton, and larger pelagic grazers (such as macrozooplankton and fish) (D'Hondt et al., 1998; D'Hondt, 2005). The disappearance of these groups likely led to a strong reduction in the production of larger fecal pellets, which in turn decreased the portion of biomass transported to the sea floor (D'Hondt, 2005). Organic-walled cyst producing dinoflagellates, on the other hand, did not suffer extinctions and must have become a more important component in the earliest Paleocene phytoplankton community (Brinkhuis and Zachariasse, 1988; Brinkhuis et al., 1998). Hence, both the composition of food supplied from the photic zone, as well as the way it was transported down, likely changed significantly across the K-Pg boundary, both these changes presented a major stress factor for the benthic community (D'Hondt, 2005; Alegret and Thomas, 2009). In the benthic foraminiferal record of the Okçular section, this interval of major stress is represented by the 'disaster' phase.

Also in the Mudurnu-Göynük Basin, the dinocyst record does not show major extinctions across the K-Pg boundary interval. In contrast, in the 'disaster' phase, approximately correlative to foraminiferal Zone P0, hexaperidinioids show an increase in relative abundance (Figs. 4 and 5). Similar changes have also been observed at other sections in the Tethys Realm, such as in Spain and across the Tunisian continental shelf, where the earliest Danian is characterized by blooms of hexaperidinioids (Brinkhuis et al., 1998; Chapter 4 of this thesis; see Fig. 6). At El Kef, the Global Stratotype Section and Point (GSSP) of the K-Pg boundary, the post-impact dominance of hexaperidinioids is nonetheless shorter-lived and less pronounced. This difference in expression might be related to small differences in paleogeographical and paleoceanographical settings between sites. In addition, the boundary interval at El Kef is stratigraphically considerably more expanded than in the Mudurnu-Göynük Basin. Therefore, the dinocyst record at El Kef more clearly portrays the large, short-term variability in the earliest Paleocene.

Although the exact expression clearly differs per site, an increase in abundance of hexaperidinioids in the early Paleocene appears to be common feature of all shelfal sites in the Tethyan Realm. Based on statistical correlations between palynological records and other palaeoproxies, it has been suggested that this inferred heterotrophic group flourished best under high-nutrient conditions (Brinkhuis et al., 1998; Sluijs and Brinkhuis, 2009). Therefore, the recorded increase in hexaperidinioids across the K-Pg boundary might be interpreted as an increase in nutrient availability in the photic zone in the first millennia following the impact. Hence, the combined dinocyst records from the Tethyan Realm suggest that, at least Tethyan-ocean wide, the earliest Paleocene shelves were characterized by an increase in nutrient availability in the photic zone, whereas coeval benthic foraminiferal records indicate a major decrease, or change, in nutrient supply to the seafloor. This inverse change in nutrient availability suggests a causal link. The replacement of larger fecal pellet producing pelagic grazers by smaller grazers, such as, amongst others, heterotrophic dinocysts, will have greatly reduced the transported of biomass produced in the photic zone down to the sea floor (D'Hondt, 2005). The decrease in transport of biomass from the photic zone to the seafloor could have resulted in high rates of nutrient recycling in the upper water column (D'Hondt, 2005). As a result, more nutrients would have been available for the earliest Paleocene phytoplankton community, in the Mudurnu-Göynük Basin indicated by the higher abundance of hexaperidinioids.

5.3 Long term recovery

Following the initial 'disaster' phase, the hexaperidinioid cysts decreased in abundance and the abundance of endobenthic benthic forms started to increase again, as the recovery of the benthic community was initiated. This recovery phase is approximately correlative to Zone Pa, which, according the Geologic Time Scale (GTS) of Vandenberghe et al. (2012), represents approximately 280 kyr. During this phase the diversity of the benthic foraminiferal community has not yet fully recovered and also the carbon isotope records remain well below pre-impact values (Figs. 3-5). This indicates that the rapid and short-lived K-Pg boundary disaster was followed by a relatively long recovery phase. Various previous studies have suggested that this long recovery time for the benthic community could be related to the long-term evolutionary recovery of the pelagic community (D'Hondt, 2005; Coxall et al., 2006).

Interestingly, in the Mudurnu-Göynük Basin, the transition between the recovery phase and the early Paleocene phase marks the onset of the rhythmic alternation of fine-grained limestones and limey mudstones that characterize the lower 30-50 m of the Danian in this basin (Figs. 3-5). The age models of the Okçular and Göynük North sections (Açikalin et al., 2014), suggest that

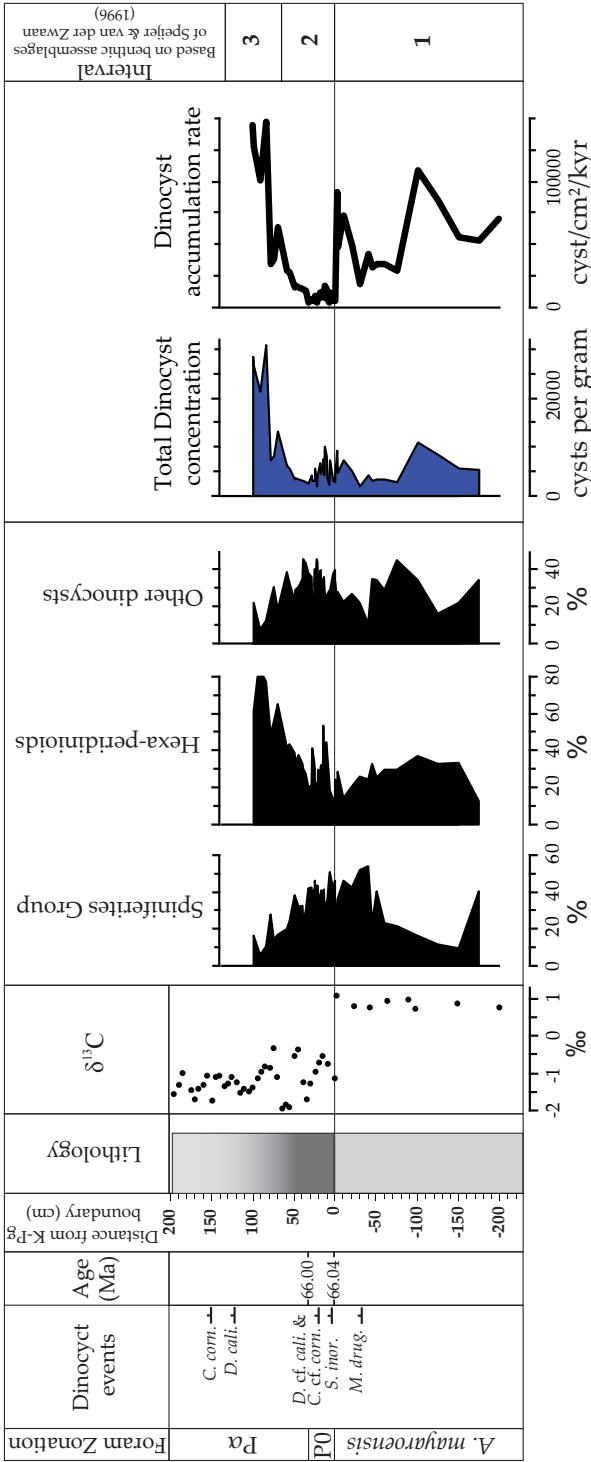


Figure 6.

The organic-walled dinoflagellate cyst record of El Kef section, Tunisia. The bulk stable carbon isotope record is from Keller and Lindinger, 1989; the biostratigraphy is from Brinkhuis et al. (1998), Speijer and Van der Zwaan (1996) and Molina et al. (2006). Palynological data is from Brinkhuis et al. (1998). For abbreviations, see Fig. 3. The 4 main dinocyst complexes, total dinocyst abundances (in cysts per gram dry sediment) and estimated dinocyst accumulation rates (in cyst/cm²/kyr) are plotted. Speijer and Van der Zwaan (1996) split the benthic assemblage in 6 Stratigraphic Assemblages (SA1-6). SA1-3 roughly correspond to Assemblage A of the Okfalar section; SA5 roughly corresponds to Assemblage D of the Okfalar section and SA4 roughly corresponds to Assemblage C of the Okfalar section. Based on these assemblages, we can subdivide the benthic records in developmental stages in a similar way to the Okfalar record.

Paleocene sedimentation rates are lower than those in the Maastrichtian. Hence, the appearance of limestones in the early Paleocene is most likely the result of a decrease in input of siliciclastics, resulting in higher relative concentrations of carbonate. Similar trends have also been recorded at various other K-Pg boundary successions, amongst others at the Zumaya section in Spain (ten Kate and Sprenger, 1993). Also there, the lower 50 meters of the Danian, representing the first 3-4 million years of the Paleocene (Dinarès-Turell et al., 2003), are characterized by higher carbonate concentrations (ten Kate and Sprenger, 1993). At Zumaya, Paleocene sedimentation rates are less than half those of the Maastrichtian (ten Kate and Sprenger, 1993). These results suggests that at both localities, the first 3-4 million years of the Paleocene were characterized by a lower input of siliciclastics. This change in the sedimentological regime occurred directly following the K-Pg boundary crisis and recovery, suggesting a causal relationship. Therefore, this change in sedimentological regime might constitute a long-term consequence of the Chicxulub impact.

6. Conclusions

The marine palynological, benthic foraminiferal and bulk stable isotope records of the Turkish sections reveal major changes across the K-Pg boundary interval, portraying the environmental crisis at the K-Pg boundary and subsequent recovery in the earliest Paleocene. Based on the succession of benthic faunal assemblages, four intervals can be recognized in K-Pg boundary interval of the Mudurnu-Göynük Basin; the Maastrichtian or pre-impact phase, a disaster phase, a recovery phase and an early Paleocene phase. This pattern has been recorded from sections all along the former Tethyan shelves, and suggest an ocean-wide phenomenon. The Tethyan Maastrichtian K-Pg boundary sites were characterized by stable neritic to upper bathyal conditions. In the initial disaster phase, the collapse of export productivity and changes in surface to bottom transport related to the ecosystem collapse likely resulted in lower nutrient availability on the sea floor, leading to an abrupt benthic community impoverishment. As the downward transport of nutrients was slowed down, and recycling in upper layers increased, more nutrients became available for the earliest Paleocene phytoplankton community. The rapid and short-lived K-Pg boundary disaster phase was followed by a relatively long (>250 kyr) recovery phase.

CHAPTER VI

End Cretaceous bolide impact induced sea surface temperature changes along the New Jersey Shelf, eastern USA

Abstract

It has become widely accepted that the Cretaceous – Paleogene (K-Pg) boundary mass extinction (~66Ma) is related to the environmental consequences of an impact of a large extraterrestrial body. This impact likely invoked exceptionally rapid and profound global climate change, which occurred superimposed on ongoing, long-term environmental changes. The interplay between impact-related and long-term environmental changes is, however, still poorly documented. In a recent study, we showed evidence for rapid short-term cooling following the K-Pg impact, confirming for the first time the hypothesis of a so-called ‘impact winter’ invoked by dust and aerosols produced by the impact. To verify the record from Brazos River and to reveal, long-term climate change, we performed a high resolution marine palynological and organic geochemical study on four stratigraphically expanded and complete cores from the New Jersey Shelf, eastern USA, spanning the K-Pg boundary, using the TEX₈₆ sea surface temperature (SST) proxy. Indeed, our new record confirms the brief cooler episode immediately following the K-Pg impact. This impact winter occurred superimposed on a long-term cooling trend that followed a warming phase related to Deccan Traps outpouring of greenhouse gases.

I. Introduction

The Cretaceous-Paleogene (K-Pg) boundary mass extinction (~66 Ma) was one of the most devastating events in the history of life, marking the end of the dinosaur era (Bambach, 2006). It is by now widely acknowledged to be related to the global environmental consequences of the impact of an asteroid with a diameter of ~10 km, at Chicxulub, Mexico (e.g., Schulte et al, 2010). The impact likely caused a major perturbation of the global carbon cycle and rapid and profound global climate change (e.g., Kring, 2007; Vellekoop et al., 2014), occurring superimposed on long-term ongoing environmental changes. One of the most notable of these long-term changes is for example an episode of climatic warming between approximately 400 to 20 kyr prior to the K-Pg boundary (Kucera and Malmgren, 1998; Olsson et al., 2001; Olsson et al., 2002). This episode of climatic warming coincided with a major shift in Osmium and Strontium isotope records, consistent with increased basaltic weathering (Li and Keller, 1999; Olsson et al., 2002; Dessert et al., 2001), indicating that it is likely related to volcanic outgassing, possibly reflecting a phase of extensive volcanism of the Deccan Traps Large Igneous Province in present-day India (Courtillot et al., 1986). To understand the true extent of the K-Pg boundary impact related perturbations, the effects of the impact need to be disentangled from such long-term, ongoing environmental changes. Documentation of impact-related as well as long-term environmental K-Pg changes is still scarce. In part this is the result of records lacking sufficient temporal resolution, but also e.g. because biotic environmental proxy-carriers traditionally used to reconstruct environmental change, such as planktic foraminifera and calcareous nannoplankton, experienced major extinctions and are rare to absent in the earliest Paleocene (e.g. Huber et al., 2002). In contrast, however, the organic biomarker-based Sea Surface Temperature (SST) proxy TEX₈₆ (Schouten et al., 2013) can be used across the K-Pg boundary (Vellekoop et al., 2014), potentially providing insight in climate change across this interval. In a recent study, we, for the first time, showed that the TEX₈₆ based SST record of Brazos River (USA) indeed shows evidence of rapid short-term cooling following the Chicxulub impact, presumably reflecting the often presumed so-called 'impact winter' (Vellekoop et al., 2014), but this 'cold spike' has so far not been confirmed by other studies. To be able to verify the results from Brazos River and place them in a context of longer termed environmental changes requires the examination of additional marine K-Pg boundary records with good preservation of organic matter, which are stratigraphically complete, and sufficiently expanded enough to allow detecting relatively fast, transient changes, and chronostratigraphically long ranging enough to show long term trends. The New Jersey Shelf K-Pg sections, characterized by clayey glauconitic sands deposited in a coastal, shallow marine setting (Miller et al., 2010), do provide such potentially suitable sites. In effect, in 2008 and 2009, four new, shallow (<25m) cores were drilled across these K-Pg boundary deposits in New Jersey (Miller et al., 2010), presenting near ideal records that may be used to unravel both the long term climate changes in the latest Maastrichtian – earliest Paleocene interval, as well as the impact-related perturbations. In general, in these coastal, shallow marine sections it is difficult to acquire sufficient biostratigraphic age control, since global marker taxa of e.g., planktic foraminifera and calcareous nannoplankton are often missing or sparse in such settings (e.g. Elliot et al., 1994). However, organic-walled dinoflagellate cyst (dinocyst), abundantly present in these deposits, have been proven to be reliable chronobiostratigraphic tools, especially at such ancient shallow marine sites (Brinkhuis and Schioler, 1996; Brinkhuis et al., 1998; Vellekoop et al., 2014). Hence, here, by combining high resolution foraminiferal and dinocyst biostratigraphy with the TEX₈₆ sea surface temperature proxy we here aim to quantitatively portray long and short term changes across the K-Pg boundary.

2. Materials and methods

2.1 Sampling

For this study, 4 ‘shallow’ (<25m) drillcores from the ancient New Jersey Shelf were investigated: Meirs Farm, Search Farm, Fort Monmouth 1 and Fort Monmouth 3 (see Fig. 1 for locations). These cores were retrieved in 2008 and 2009 from 3 different localities across New Jersey

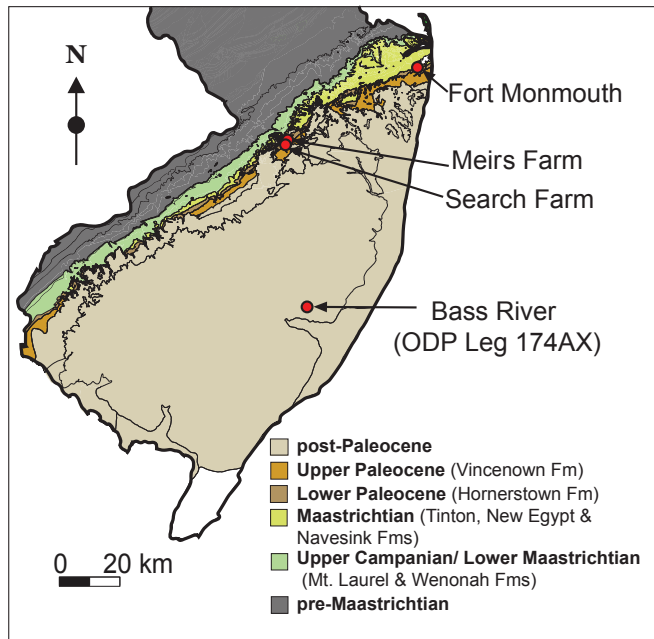


Figure 1

Locations of the Bass River, Meirs Farm, Search Farm and Fort Monmouth cores, with location map showing outcropping Maastrichtian and Paleocene formations.

(Fig. 1).

In the studied deposits, the Maastrichtian is characterized by the greyish, bioturbated clayey glauconitic sands of the New Egypt Formation, superimposed by the heavily bioturbated clayey glauconitic sands of the Danian Hornerstown Formation (Miller et al., 2010). The K-Pg boundary is marked by a 3-5 cm thick layer of white clay rip-up clasts, containing uppermost Cretaceous foraminifera and dinocysts. This distinctive layer has been interpreted as deposited by a tsunami, triggered by slope failure on the US east coast due to earthquakes generated by the Chicxulub impact (Olsson et al., 2002; Miller et al., 2010). In the Meirs Farm core the clast layer is overlain by grey, burrowed glauconitic sands, with above this a transition to green, heavily bioturbated glauconitic sands. In the Search Farm and Fort Monmouth 3 cores, the K-Pg boundary is directly overlain by the green glauconitic sands (See Fig. 2; see Supplementary Information). For precise locations and a more detailed description of these cores, lithologies, including adjacent outcrops, see Miller et al. (2010). These cores were sampled and processed for palynology, planktic foraminifera

and iridium to generate an age model and for organic geochemistry to quantitatively portray long and short term SST changes across the K-Pg boundary.

2.2 Organic-walled dinoflagellate cysts

In total, 88 samples were processed for palynological analyses following standard palynological preparation techniques of the Laboratory of Palynology and Palaeobotany (see Vellekoop et al., 2014 for a more detailed description). All slides are stored in the collection of the Laboratory of Palaeobotany and Palynology, Utrecht University. For each sample, dinocysts were counted up to a minimum of 200 specimens. The taxonomy of dinocysts follows that cited in Fensome and Williams (2004), unless stated otherwise. A species list can be found in the taxonomic section (Supplementary Information).

2.3 Planktic foraminifera

The Fort Monmouth 1 and 3 cores were analyzed for planktic foraminifera. 26 samples were disintegrated using Calgon solution (5.5 g of sodium metaphosphate per one liter of water) and washed with tap water through a 63- μm sieve. After being dried in an oven at 40°C, samples were sieved through 250, 150, 125, and 63 μm sieves. Representatives of individual species were picked from the various size fractions and stored in slides. Planktic foraminiferal identifications follow the taxonomy of Premoli Silva and Verga (2004) for the Cretaceous and Olsson et al. (1999) for the Paleocene.

2.4 Iridium analysis

Iridium analyses have previously been performed on the K-Pg boundary interval of the Meirs Farm and Search Farm cores, showing an Ir anomaly characteristic for the K-Pg boundary layer (Miller et al., 2010). The lithology suggests that at the Fort Monmouth 3 core the K-Pg boundary layer has been eroded away (see Supplementary Information). To confirm this, we analyzed 16 additional samples from the K-Pg boundary interval of the Fort Monmouth 3 core for Ir. Concentrations of Ir were measured with high sensitivity Sector Field Inductively Coupled Plasma Mass Spectrometry at the Department of Marine and Coastal Sciences, Rutgers University with detection limits of 0.01 ppb. For the ICP-MS measurements preconcentration of Ir from sediments were done by NiS fire-assay technique modified after Ravizza and Pyle (1997). In this method 1-1.5 g of sample is ground to a powder and homogenized, then mixed with NiS (2:1 ratio of Ni:S), borax (2:1 ratio of borax to sediment mass) and ^{191}Ir enriched isotope spike prepared in 6.2N HCl. This mixture is then heated to 1000°C for 75 minutes to allow fusion. After fusion process, beads obtained are dissolved on 190- 200°C hot plates, filtered and filters are digested.

2.5 Organic geochemical analysis

In total, 72 aliquot samples were investigated for the determination of TEX_{86} and BIT indices following standard procedures. Briefly, we extracted glycerol dialkyl glycerol tetraethers (GDGTs) using organic solvents and quantified the various GDGTs using high performance liquid chromatography/atmospheric pressure positive ion chemical ionization mass spectrometry (HPLC/APCI-MS). Since we apply the TEX_{86} paleothermometer on samples from a mid-latitude site from a Cretaceous-Paleogene greenhouse world, unambiguously characterized by high Sea Surface Temperatures (SSTs) (>15°C), we applied the calibration from Kim et al. (2010) to calculate mean annual sea surface temperature. The branched and isoprenoid tetraether (BIT) index, indicative for the relative input of soil-derived organic matter, was calculated to following Hopmans et al. (2004).

Samples with BIT index values of >0.4 were discarded, since this may indicate elevated input of isoprenoid GDGTs from soil organic matter, obscuring TEX_{86} paleothermometry (Weijers et al., 2006). Five of the analyzed samples were run in duplicate, the reproducibility is better than $0.5\text{ }^{\circ}\text{C}$, and in most cases better than $0.25\text{ }^{\circ}\text{C}$. The residual standard error for the calibration model is $2.5\text{ }^{\circ}\text{C}$ (Kim et al., 2010).

3. Results and discussion

3.1 Age model and correlation

The age model of the studied cores is based on the presence of impact derived iridium (Miller et al., 2010 and this study) and planktic foraminifera and dinocyst biostratigraphies (this study; see Fig. 2 and Supplementary Information). In addition, the appearance a distinct level with abundant echinoid fecal pellets was used as a distinctive marker for the lowermost Danian in New Jersey (Miller et al., 2010)

Dinocyst biostratigraphy allows an excellent age control for both the Maastrichtian and Danian parts of the cores. Of the four studied cores, the Meirs Farm core ranges furthest down into the Maastrichtian. This core comprises the First Occurrences (FOs) of the dinocyst marker taxa *Palynodinium grallator* and *Disphaerogena carposphaeropsis*, which have their First Appearance Datum (FAD) at $\sim 67\text{ Ma}$ (De Gracianski et al 1998; Williams et al., 2004). In the earliest Danian interval, the characteristic early Danian marker taxa *Senoniasphaera inornata*, *Damassadinium californicum* and *Carpatella cornuta* are successively encountered (Fig. 2), with respective FADs at 66.00, 65.75 and 65.7 Ma (Brinkhuis et al., 1998; Williams et al., 2004).

Carbonate preservation is poor for the Maastrichtian interval of our cores. The Meirs Farm 1 and Search Farm 1 cores were barren in terms of planktic foraminifera, but the Fort Monmouth 1 and 3 cores comprised sufficient foraminifera to allow us to also construct planktic foraminiferal biostratigraphy (see Supplementary Information). Planktic foraminiferal analysis indicates the presence of zones P0/Pa, P1a, P1b and P1c (Olsson et al., 1999). Therefore, our combined records indicate that the resulting composite section ranges in age from approximately 1.1 million years before the K-Pg boundary up to approximately 2.5 million years after the boundary, using the absolute ages derived from Gradstein et al. (2012).

3.2 Latest Maastrichtian climate change

The composite of the four cores allows highly detailed TEX_{86} SST records to be constructed for this interval. The combined TEX_{86} records show consistent changes in SST across the studied interval (Fig. 3). The lowermost section, approximately representing the interval 67.1-66.9 Ma, is characterized by stable SSTs of $25.5\text{-}26.0\text{ }^{\circ}\text{C}$. This interval is followed by a cooling to $\sim 24\text{-}25\text{ }^{\circ}\text{C}$ at $\sim 66.8\text{ Ma}$ and a subsequent steady warming until approximately 400-500 kyr before the K-Pg boundary. There, our TEX_{86} record show a strong warming of $\sim 3^{\circ}$ to about $29.0\text{ }^{\circ}\text{C}$. This warm phase lasts until 100-50 kyr before the K-Pg boundary. From there onwards the record shows a gradual cooling, straddling the K-Pg boundary. These results are consistent between all studied cores and are highly comparable to the previously reported $\delta^{18}\text{O}$ temperature records from the nearby Bass River core (Olsson et al., 2001, Olsson et al., 2002; see Supplementary Information). Based on a coinciding global shift in Osmium and Strontium isotope records, previous studies on the New Jersey shelf have related this warming phase to a significant outpouring phase of the Deccan Traps Large Igneous Province (Vonhof and Smit, 1997; Dessert et al., 2001; Olsson et al., 2002), starting near the base of Subchron C29R (Courtilot et al., 1986; Hansen et al., 1996; Ravizza and Peucker-Ehrenbrink, 2003). This episode of Deccan Traps eruptions led to global

greenhouse warming, which is well-documented for example by poleward migration of warm-water planktic foraminifera and subtropical vegetation (Olsson et al., 2001; Wilf et al., 2003).

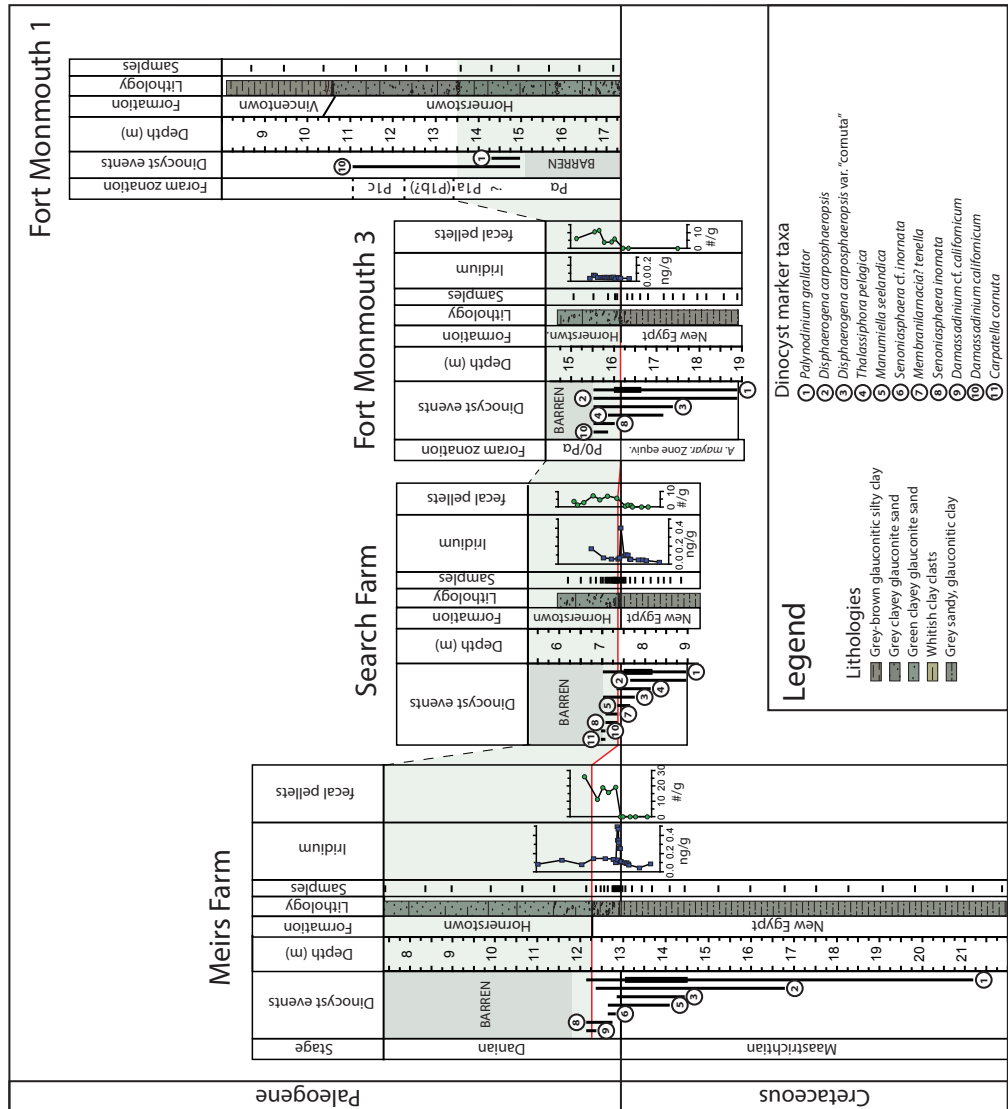


Figure 2

Stratigraphic correlation between the Meirs Farm, Search Farm, Fort Monmouth 3 and Fort Monmouth 1 cores. The ranges stratigraphic marker taxa of organic-walled dinoflagellate cyst are indicated for each core. Iridium and fecal pellet concentrations of the Meirs Farm and Search Farm cores are from Miller et al., 2010. In all cores, the basal part of the Hornerstown Formation is characterized by an interval of green, intensely burrowed glauconitic sand (shaded green in this figure). Preservation of organic material is relatively poor in this interval. The basis of this green glauconitic sand member appears erosive (red line).

New Jersey Composite core

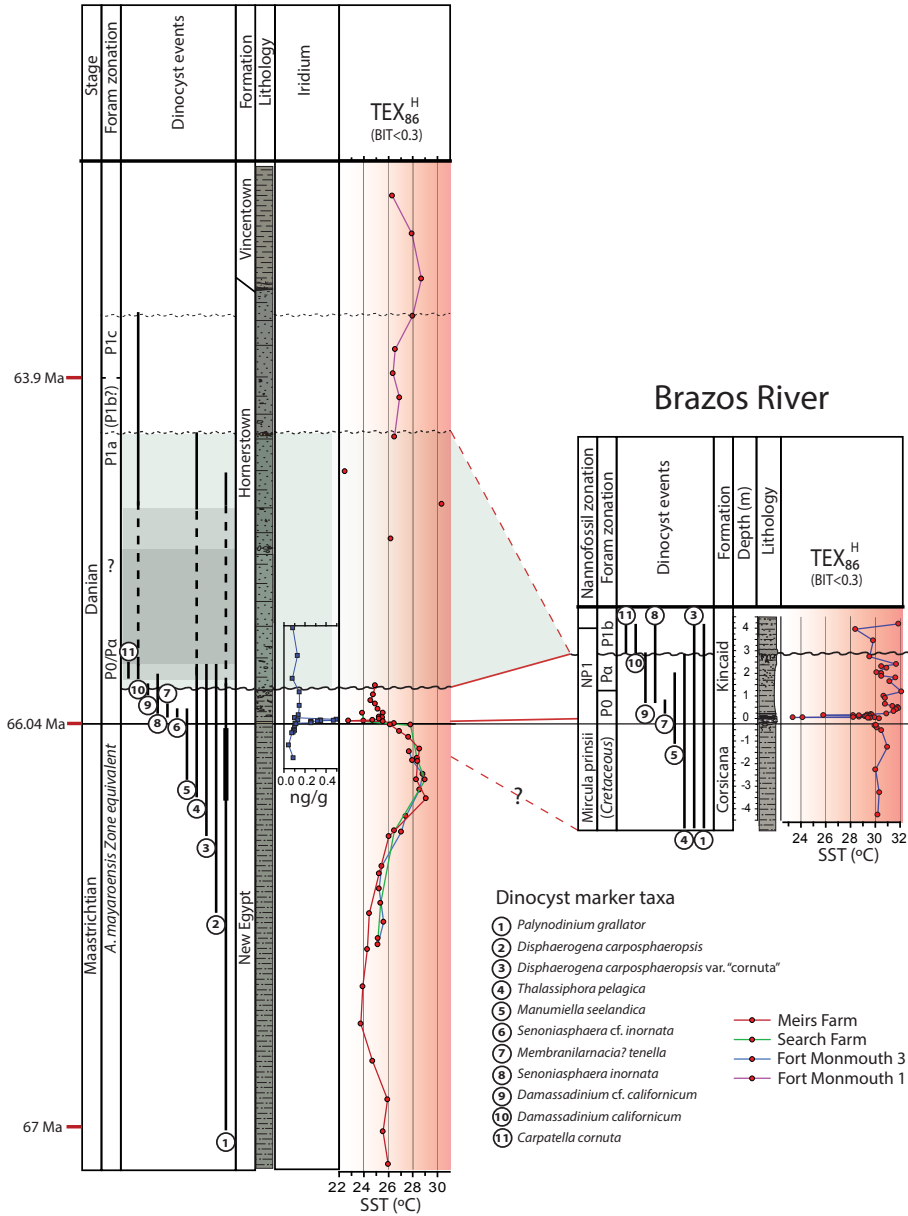
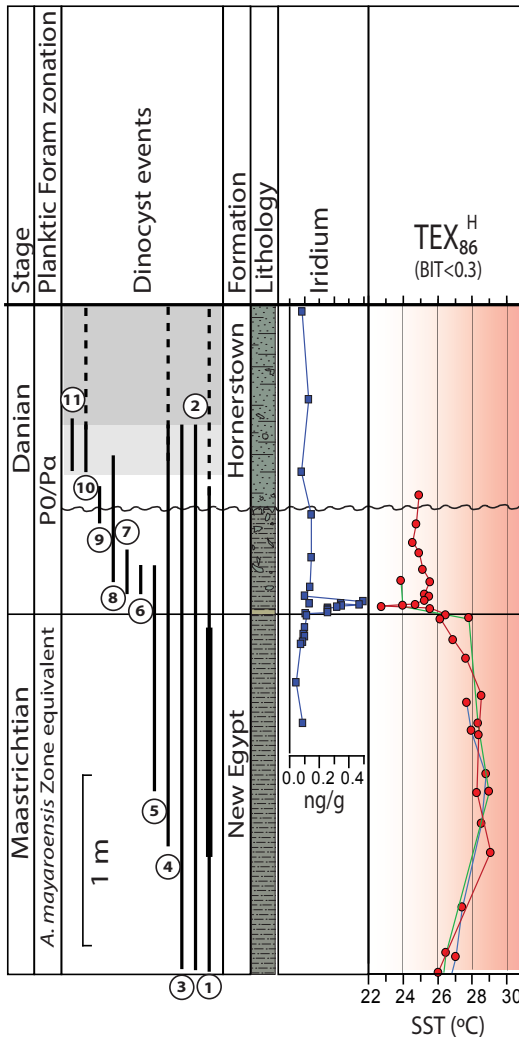


Figure 3

A composite of the Meirs Farm, Search Farm, Fort Monmouth 1 and Fort Monmouth 3 cores, with the TEX_{86}^H Sea Surface Temperature (SST) records of these cores, correlated to the TEX_{86}^H SST record of Brazos River (Vellekoop et al., 2014). The iridium record is from the Meirs Farm core (Miller et al., 2010). The New Jersey composite and the Brazos River record are on a scale of 1:2. The correlation is based on planktic foraminiferal zonation and ranges of dinocyst marker taxa. For further information on the construction of the New Jersey composite, and on the correlation to the Brazos River record, see Supplementary Information.


Figure 4

A detailed depiction of the K-Pg boundary interval of the composite of the Meirs Farm, Search Farm and Fort Monmouth 3 cores, with the TEX_{86} Sea Surface Temperature (SST) records of the these cores. For the numbers of the dinocyst species, see Fig. 2. The iridium record is from the Meirs Farm core (Miller et al., 2010).

3.3 K-Pg Impact winter and early Paleocene climates

Immediately above the K-Pg boundary, the composite record shows a short-lived (<5 kyr), distinct cooling event of some $\sim 2.5^\circ$, superimposed on the general cooling trend. This short cooling phase likely reflects the brief ‘impact winter’ phase, confirming the earlier reported record from Brazos River, USA (Vellekoop et al., 2014). Like at Brazos River, the impact winter phase at the New Jersey Shelf is recorded directly *below* the iridium anomaly (see Figure 4), indicating that the cool phase ended when the impact-derived dust settled. In contrast with the record of Brazos River, which shows relative warm temperatures directly following the impact winter, our TEX_{86} record shows that at the New Jersey shelf slightly cooler conditions persisted after the impact winter, with average temperatures of ca. 25°C . The relative stable, cooler temperatures recorded in this interval might be resulting from the intense bioturbation in this interval, smoothing out potential high frequency changes. This could cause the signal of a short warming phase to be overprinted by that of the long-term cooling. Alternatively, the ancient New Jersey shelf might be influenced the

upwelling of cold water formed during an impact winter, similar to Tunisian K-Pg boundary sites (Chapter 4 of this thesis).

The preservation of organic matter is poorer in the bioturbated green glauconitic sand of basal part of the Hornerstown formation, resulting in less reliable TEX₈₆ results. The interval above this, correlative to planktic foraminiferal zones P1a-P1c, shows stable SSTs at approximately 26.5 °C. The relatively stable Danian temperatures documented here are in agreement with reconstructed long-term global trends for this time interval (e.g. Westerhold et al., 2011).

4. Summary and conclusions

Here, we are able to record both long-term environmental changes across the Cretaceous-Paleogene boundary interval as well as short-term changes related to the K-Pg boundary impact. Our TEX₈₆ SST record portrays the latest Cretaceous warming event, related to massive volcanism of the Deccan Traps, subsequent cooling across the K-Pg boundary and a short, transient 'impact winter' phase, thereby confirming the 'impact winter' earlier reported at Brazos River. This impact winter was characterized by rapid, short-term cooling, caused by sulphate aerosols and dust and soot particles ejected into the atmosphere by the impact. The K-Pg boundary perturbations are followed by relative stable temperatures in the Paleocene. These results indicate that the latest Cretaceous warming event and the K-Pg boundary impact are two separate events with distinctly different signatures in the geological record.

5. Supplementary Information

5.1 Geological setting

The geology of the New Jersey coastal plain is characterized by Cretaceous, Paleogene and Neogene sediments deposited on the shelf of the western North Atlantic passive margin (Miller et al., 1998). The New Jersey shelf comprises a near-continuous succession of mostly shallow marine deposits, ranging from the mid-Cretaceous up to the Miocene, with formations of different ages outcropping throughout the coastal plain (Miller et al., 1998). This succession has attained considerable attention, for example in terms of macrofossil studies (e.g. Landman et al., 2004), long-term sea level reconstructions (e.g. Miller et al., 2005) and climate reconstructions (e.g. Sluijs et al., 2007; Van Helmond et al., 2013), involving studies on both outcrops and core material, both offshore (e.g. ODP Leg 150, ODP Leg 174A) as well as onshore (e.g. ODP Leg 150X, ODP Leg 174AX).

5.2 Drilling the K-Pg boundary

In addition to these ODP legs, in fall 2008 Rutgers University commissioned the drilling of 14 additional, shallow (<25m) holes at 7 sites, to investigate the nature of the Cretaceous-Paleogene boundary in New Jersey (Miller et al., 2010). Drilling was done by United States Geological Survey (USGS) drillers, using a truck mounted Multi-twin G-30 Drill (“Sonic Metaprobe”). Of these cores, 4 were used for the present study; the Meirs Farm 1 (40°06′15.48″ N, 74°31′37.48″ W), Search Farm 1 (40°05′29.20″ N, 74°32′16.10″ W), Fort Monmouth 1 (40°18′35.09″ N, 74°02′34.17″ W) and Fort Monmouth 3 (40°18′37.18″ N, 74°02′46.25″ W) cores.

5.3 Lithology of the K-Pg boundary interval

At the New Jersey coastal plain, Maastrichtian and Paleocene deposits encompass 6 formations: the Navesink, New Egypt, Red Bank, Tinton, Hornerstown and Vincentown formations. The lower Maastrichtian Navesink Formation consists of burrow-mottled, glauconitic, clayey sand to sandy clays and the upper Maastrichtian Red bank and Tinton formations consist of micaceous, silty, fine grained, feldspathic quartz sand and glauconitic quartz sand, respectively, interpreted to be deposited in an inner shelf environment (Olsson, 1987; Landman et al., 2004). The upper Maastrichtian New Egypt Formation, consisting of dark gray, glauconitic, clayey sand to sandy clay, is the down-dip equivalent of the Red Bank and Tinton formations, representing a deeper-water facies of these units (Olsson, 1987; Landman et al., 2004). In all 4 cores selected for the current study, the uppermost Maastrichtian is represented by this deeper-water facies. Both the Tinton Formation and New Egypt Formation are unconformably overlain by the lower Paleocene Hornerstown Formation, consisting of green, burrow-mottled, glauconitic clayey sand to micaceous, quartzose, glauconite sand (Olsson, 1987; Landman et al., 2004). The abundant glauconite results in a dark greenish color, giving the formation its informal name of “Greensand Marl” (Landman et al., 2004). At most localities on the New Jersey coastal plain the contact between the Tinton/New Egypt formations and Hornerstown Formation marks the K-Pg boundary. In New Jersey records that are stratigraphically more complete, the K-Pg boundary is marked by a 3-5 cm thick layer of white clay rip-up clasts, containing uppermost Cretaceous foraminifera and dinocysts (Olsson et al., 2002; Miller et al., 2010), with, even further downdip, such as at Bass River (Ocean Drilling Program Leg 174AX), also a spherule bed (Olsson et al., 1997). The distinctive white clay clast layer has been interpreted as deposited by a tsunami, triggered by slope failure on the US east coast due to earthquakes generated by the Chicxulub impact (Olsson et al., 2002; Miller et al., 2010). In the

Meirs Farm core, the clay clast layer is overlain by ~60 of greyish, bioturbated clayey glauconitic sand, similar to that of the underlying New Egypt Formation, with above this the unconformable contact with the green, heavily bioturbated glauconitic sands of the Hornerstown Formation. Therefore, in this core the K-Pg boundary is still within the New Egypt formation, and is placed ~60 below the contact between the New Egypt and Hornerstown formations. In the Search Farm and Fort Monmouth 3 cores, the K-Pg boundary is directly overlain by the green glauconitic sands of the Hornerstown Formation. Hence, at these cores, the top of the New Egypt formation has been eroded away, up to the K-Pg boundary. In the Search Farm core, the remnants of the white clay clast layer are still visible at the highly burrowed, unconformable contact between the New Egypt and Hornerstown formations, whereas at the Fort Monmouth 3 core, no white clay clasts were observed, suggesting that there, the boundary layer was completely eroded away before the deposition of the Hornerstown Formation. The basal part of the Fort Monmouth 1 core consists of the Hornerstown Formation, so in that core, the K-Pg boundary was not reached.

Across the New Jersey coastal plain, the Hornerstown varies in thickness, from 1.5 m up to >10m. In the Fort Monmouth 1 core we note 2-3 possible sequence boundaries in the Hornerstown Formation, at ~15.8 meters below land surface (mbls), ~13.5 mbls and possibly at ~11.1 mbls.

The contact between the Hornerstown Formation and the overlying upper Paleocene Vincentown Formation is gradational. The Vincentown Formation consists of slightly glauconitic silty clays.

5.4 Preservation

The four studied cores were sampled in high resolution (see Tables 1-4) and analyzed for organic-walled dinoflagellate cysts, planktic foraminifera and TEX₈₆ paleothermometry (See SI Tables 1-4). Samples from the New Egypt Formation are generally characterized by excellent preservation of organic material (dinocysts and GDGTs). The basal part of the Hornerstown Formation, consisting of green, intensely burrowed glauconitic sands, is, on the other hand, characterized by poor preservation of organic material, obscuring application of the organic biomarker-based TEX₈₆ paleothermometry and palynological analyses in this interval.

The Meirs Farm and Search Farm cores are characterized by poor carbonate preservation, not permitting planktic foram biostratigraphy. The Fort Monmouth 1 and 3 cores comprised sufficient foraminifera to allow planktic foraminiferal biostratigraphy.

5.5 Taxonomy

The taxonomy of dinocysts follows that cited in Fensome and Williams (2004). A few divergent opinions, however, are listed below.

Damassadinium cf. californicum (Drugg 1967) Fensome et al. 1993

Remarks: This species of *Damassadinium* occurs in Planktic Foraminiferal Zones P0 and Pa, and shows resemblances to *D. californicum*, but distinguished from it by having a less broad process base. In this study, this morphotype is regarded as a predecessor for *Damassadinium californicum* s.s.

Disphaerogena carposphaeropsis var. *cornuta* nov. var.

Remarks: This variety of *Disphaerogena carposphaeropsis* occurs in in the uppermost Maastrichtian and lower Danian, with its first occurrence roughly at the base of the M. prinssii Zone. This variety closely resembles *D. carposphaeropsis* s.s., but is distinguished from it by having formed large antapical horn. According to the emended diagnosis of Sarjeant, 1985, *Disphaerogena*

carposphaeropsis s.s is characterized by an apical horn that is always longer than the antapical horn, by a ratio varying between 1.2:1 to 3:1. In the uppermost Maastrichtian samples, specimen occur with an antapical horn that is as long or longer than the apical horn. Since this form first appears in the uppermost Maastrichtian (i.e. Vellekoop et al., 2014), it is used as a stratigraphic marker in the present study. Since this form clearly belongs to the species *D. carposphaeropsis*, but is characterized by an antapical horn similar to the taxon *Carpatella cornuta*, we used the informal name ‘*Disphaerogena carposphaeropsis* variety “cornuta” in study, after its characteristic horns.

Senoniasphaera cf. *inornata* (Drugg 1970) Stover and Evitt 1978

Remarks: This species of *Senoniasphaera* occurs at the base of Planktic Foraminiferal Zones P0, and shows resemblance to *S. inornata*. It is distinguished from it by having a smaller size and thinner outer wall. In this study this morphotype is regarded as a predecessor for *Senoniasphaera inornata* s.s.

Planktic foraminifera identifications follow the taxonomy of Premoli Silva and Verga (2004) for the Cretaceous and Olsson et al. (1999) for the Paleocene.

5.6 Age model

5.6.1 Fecal pellets and iridium

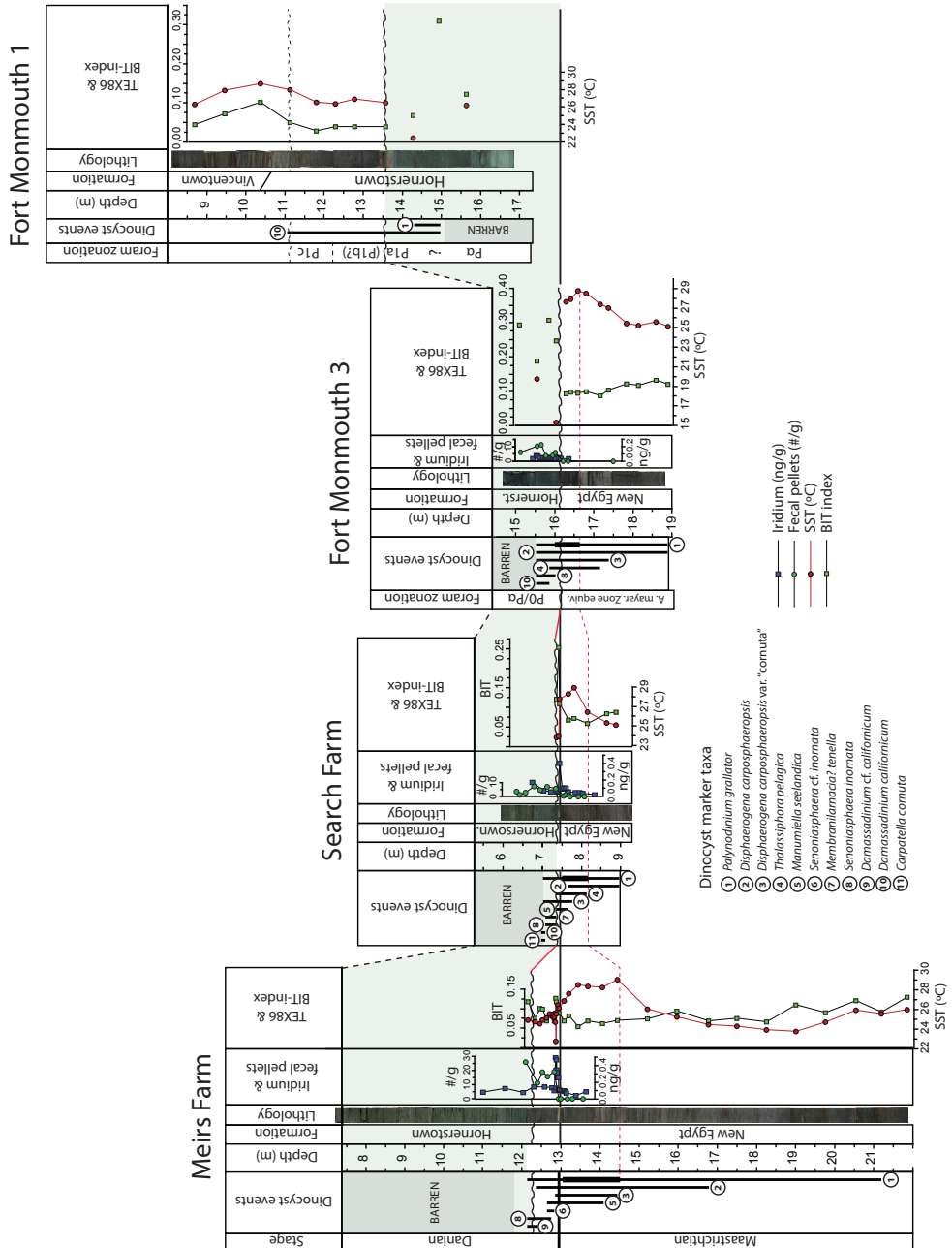
The age model of the studied cores is based on the presence of impact derived iridium (Miller et al., 2010 and this study) and planktic foraminiferal and dinocyst biostratigraphy (this study; see SI Figure 1). In addition, the appearance a distinct level with abundant echinoid fecal pellets can be used as a distinctive marker for the lowermost Danian in New Jersey (Miller et al., 2010). The abundant presence of epifaunal echinoid fecal pellets in this interval is likely related to the dramatic decrease in export production following the K-Pg boundary extinction event, which resulted in increased benthic bulldozing (Miller et al., 2010).

Our iridium analysis of the Fort Monmouth 3 core shows that no iridium anomaly is present, confirming that there, the K-Pg boundary layer has been eroded away before the deposition of the Hornerstown Formation.

5.6.2 Dinocyst biostratigraphy

Dinocyst biostratigraphy allows a strong age control for both the Maastrichtian and Danian. See SI Tables 5-8 for an overview of ranges of dinocyst marker taxa. Of the four studied cores, the Meirs Farm core ranges furthest down into the Maastrichtian. This core comprises the First Occurrences (FOs) of the dinocyst marker taxa *Palynodinium grallator* and *Disphaerogena carposphaeropsis*, which have their First Appearance Datum (FAD) at approximately 67 Ma (De Gracianski et al 1998; Williams et al., 2004). In the upper Maastrichtian of the Meirs Farm, Search Farm and Fort Monmouth 3 cores, the FO’s of *Manumiella seelandica* and *Thalassiphora pelagica* are observed. In addition, these records show an acme of the marker taxa *P. grallator*. This acme is very characteristic for the topmost Maastrichtian (*T. pelagica* Subzone as described by Hanssen (1977) and Schiøler and Wilson (1993) for the North Sea basin) of the boreal realm. It is also recognized in for example the North Sea wells (Schiøler and Wilson, 1993) and Southern Sweden (Hultberg and Malmgren, 1987).

The Danian interval of the studied cores shows a succession of dinocyst marker taxa. The Meirs Farm core includes the FOs of the lowermost Danian markers *Senoniasphaera inornata* and *Membranilarnacia? tenella* and the FO of the marker taxon *Damassadinium* cf. *californicum*.



SI Figure 1

Stratigraphic correlation between the Meirs Farm, Search Farm, Fort Monmouth 3 and Fort Monmouth 1 cores. The ranges stratigraphic marker taxa of organic-walled dinoflagellate cyst are indicated per core. Iridium and fecal pellet concentrations of the Meirs Farm and Search Farm cores are from Miller et al., 2010. In all cores, the basal part of the Hornerstown Formation is characterized by an interval of green, intensely burrowed glauconitic sand (shaded green in this figure). The basis of this green glauconitic sand member appears erosive (red line). TEX₈₆ and BIT-index records are presented per core.

In the Meirs Farm, Search Farm and Fort Monmouth cores, the basal part of the Hornerstown Formation comprises the FOs characteristic early Danian marker taxa *Damassadinium californicum* and *Carpatella cornuta* (SI Fig. 1). These results show that at the Meirs Farm core the K-Pg boundary interval is most complete, whereas the boundary interval at the Search Farm and Fort Monmouth 3 cores is characterized by a small hiatus.

The basal part of the Fort Monmouth 1 core was barren for dinocysts, but the upper part of the Hornerstown Formation comprises a typical Paleocene assemblage, characterized by taxa such as *Palaeoperidinium pyrophorum*, *Hystriospheraidium tubiferum* and *Areoligera senonensis*. This interval furthermore comprises the Last Occurrences (LO) of the marker taxa *Palynodinium grallator* and *Damassadinium californicum*, which have their Last Appearance Datums (LAD) at 65.1 Ma and 61 Ma, respectively (Heilmann-Clausen, 1985; Brinkhuis et al., 1998).

5.6.3 Planktic foraminiferal biostratigraphy

The Meirs Farm 1 and Search Farm 1 cores were barren in terms of planktic foraminifera, but the Fort Monmouth 1 and 3 cores were productive, allowing us to construct planktic foraminiferal biostratigraphy. See SI Tables 9 and 10 for an overview of ranges of planktic foraminiferal marker taxa. The Maastrichtian interval is dominated by typical shallow marine Cretaceous planktic taxa like *Heterohelix globulosa*, *H. navarroensis*, *Guembelitra cretacea*, *Globigerinelloides messinae*, and *G. praehillensis*. There are no keeled species present in the samples and uncoiled forms are more abundant than coiled forms. Since keeled taxa were not encountered, the keeled uppermost Maastrichtian marker taxon *Abathomphalus mayaroensis* is also absent. This planktic species likely developed its adult morphology in the deeper part of the water column and is therefore probably not present at these sites characterized by very shallow paleodepths (Miller et al., 1998). The presence of other planktic foraminifera and dinocyst taxa characteristic for the uppermost Maastrichtian, such as *G. messinae*, *D. carposphaeropsis* and *P. grallator* nevertheless indicate that the studied part of the New Egypt Formation is equivalent to the *A. mayaroensis* Zone, allowing us to tentatively assign this interval, using an approach similar to Miller et al. (1998).

In the Fort Monmouth 3 core, foraminifera are very scarce above the K-Pg boundary, with only rare specimens of *Heterohelix* present. At 15.1 mbls, the assemblage is dominated by *G. cretacea*. This survivor species of the K-Pg mass extinction commonly forms an acme in the earliest Danian (Brinkhuis and Zachariasse, 1988). In this sample the Cretaceous taxa *H. globulosa*, *H. navarroensis*, and *Globigerinelloides* spp. are also present. The high abundance of *G. cretacea*, the survivor species of the K-Pg mass extinction commonly forming an acme zone in the early Danian, suggests that these Cretaceous forms represent reworked specimen. These analyses suggest that at the Fort Monmouth 3 core, the basal part of the Hornerstown Formation is correlative to planktic foraminiferal zones P0 or Pa (Olsson, 1999)

At the Fort Monmouth 1 core, the oldest productive sample (17.4 mbls) contains the early Danian planktic foraminifera *Globoconusa daubjergensis* and *Woodringina claytonensis*, indicating that the K-Pg boundary is located below this level. In the intensely bioturbated lowermost Danian, the survivor species *Guembelitra cretacea* concurs with these newly evolved Danian species. Since the FOs of both *G. daubjergensis* and *W. claytonensis* characterize the base of planktic foraminiferal Zone Pa (Olsson, 1999), we assign the basal part of the Fort Monmouth core to this zone. Carbonate preservation is poor between ~15.5 mbls and ~14.0 mbls, making it difficult to assign this interval to a Planktic Foraminiferal Zone. With the presence of typical marker taxa such as *Parasubbotina pseudobulloides*, *Eoglobigerina eobulloides*, *Globanomalina planocompressa* and *E. edita*, the overlying interval, between 13.7 – 13.5 mbls, is assigned to Zone P1a. We tentatively place the P1a/P1b zonal

boundary at the sequence boundary observed at approximately 13.5 mbls. Above this, the marker taxon *Subbotina triloculinoides* appears. The interval between 12.2 and 10.7 mbls is defined as Zone P1c and is characterized by *Globanomalina compressa*, *Praemurica inconstans*, and *Parasubbotina varianta*.

In conclusion, our planktic foraminiferal analysis indicates the presence of planktic foram zones P0/Pa, P1a, P1b and P1c. Therefore, our records range from approximately 1.1 million years before the K-Pg boundary up to approximately 5 million years after the boundary (Gradstein et al., 2012). With these four cores combined, our palynological and TEX₈₆ SST records cover this interval.

5.7 Correlation of the cores

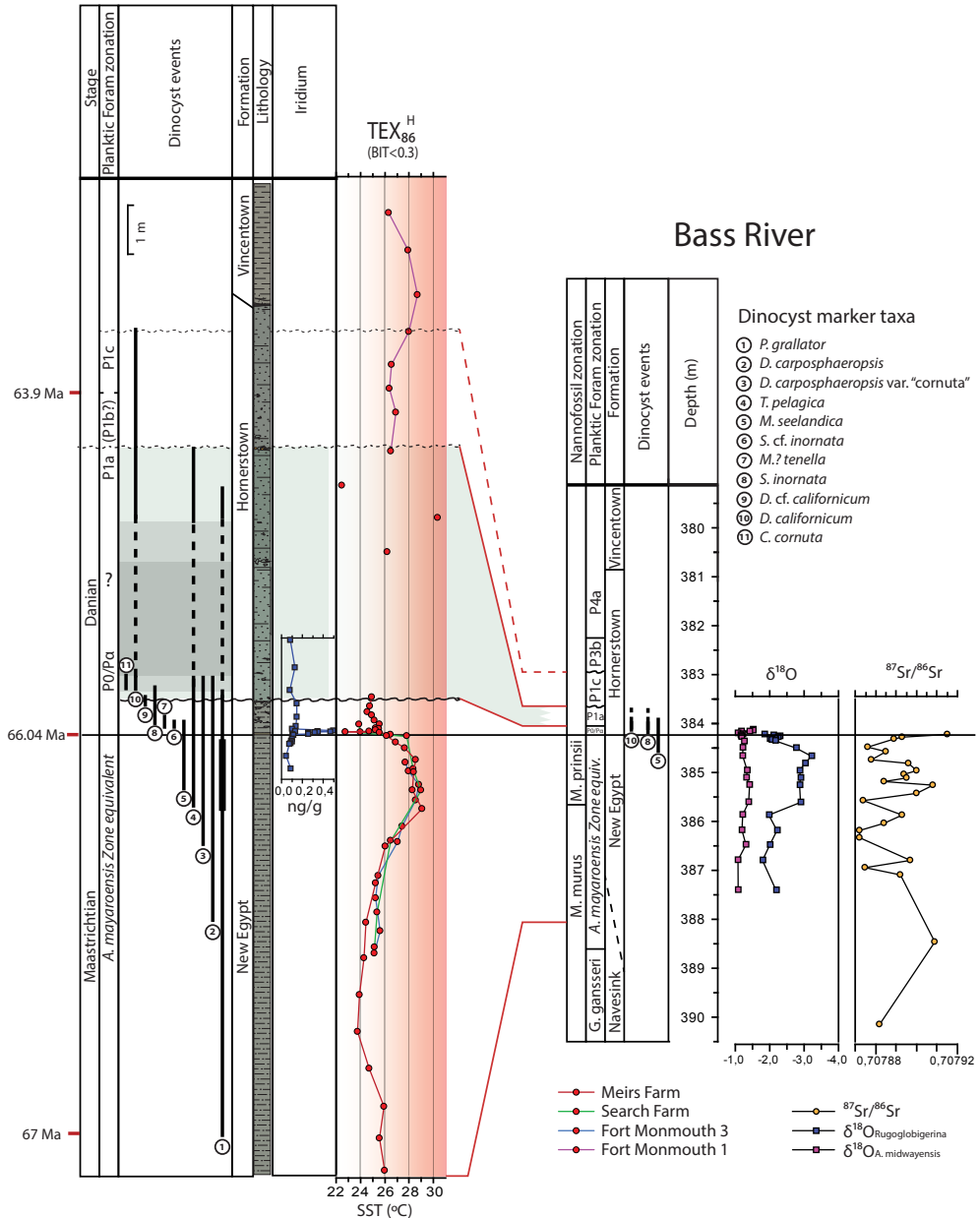
Our detailed litho- and biostratigraphy allows a correlation between the four studied cores (see SI Fig. 1). The Meirs Farm core clearly ranges furthest down into the Maastrichtian, comprising the FOs of *P. grallator* and *D. carposphaeropsis*. These taxa are already present at the base of the Search Farm and Fort Monmouth 3 cores. The top part of the New Egypt Formation is equivalent to the *A. mayaroensis* Zone and is characterized by the FOs of *D. carposphaeropsis* var. “cornuta”, *T. pelagica* and *M. seelandica* and by the acme of *P. grallator*. These bio-events can be used to correlate the uppermost Maastrichtian interval between the cores. Based on this correlation it is evident that the topmost Maastrichtian is slightly more condensed at the Search Farm and Fort Monmouth 3 cores.

The distinctive white clay clast layer marking the K-Pg boundary is best preserved in the Meirs Farm core. There it is 3-5 cm thick and approximately 60 cm below the top of the New Egypt Formation. This top part of the New Egypt Fm comprises the subsequent FOs of the characteristic lowermost Danian dinocyst marker taxa *S. cf. inornata*, *M.? tenella*, *S. inornata*, *D. cf. californicum*, suggesting that this interval is equivalent to Planktic Foraminiferal Zone P0 and the basal part of Zone Pa (Vellekoop et al., 2014).

The lithology, biostratigraphy and iridium analyses indicate that this top part of the New Egypt Fm has been eroded away at the Search Farm and Fort Monmouth 3 cores. There, the K-Pg boundary is marked by the base of the Hornerstown Fm, which comprises the FO's of the Danian dinocyst marker taxa *D. californicum* and *C. cornuta* and the Danian planktic foraminiferal marker taxa *G. daubjergensis* and *W. claytonensis*, indicating that this base is equivalent to Planktic Foraminiferal Zone Pa. At the highly burrowed, unconformable contact between the New Egypt and Hornerstown Fms of the Search Farm core, the remnants of the white clay clast layer are visible and a small iridium anomaly was encountered. At the Fort Monmouth 3 core, no iridium anomaly or white clay clasts were encountered at the contact between the New Egypt and Hornerstown Fms. This indicates that at Fort Monmouth the K-Pg boundary has been eroded away completely. Based on the position of the acme of *P. grallator*, the top ~50 cm of the Maastrichtian have probably also been eroded away at this core.

Although the contact between the New Egypt and Hornerstown formations was not encountered at the Fort Monmouth 1 core, the base of this core is probably relatively close to the K-Pg boundary. The encountered sequence boundaries at ~13.5 mbls, likely marking the P1a-P1b zonal boundary, and possibly at ~11.1 mbls, marking the top of the Zone P1c, can be correlated to the Bass River core (Ocean Drilling Program Leg 174AX; Miller et al., 1998 (See SI Figure 2)). The sequence boundary at ~13.5 mbls marks the top of the basal, dark green part of the Hornerstown Fm. This top was not encountered at the other 3 cores, so an exact correlation is not possible. The proximity between the Fort Monmouth 1 and 3 cores nevertheless allows a tentative correlation between these cores. The Fort Monmouth 3 core can in turn be correlated to the Search Farm and

New Jersey Composite core



SI Figure 2

A composite of the Meirs Farm, Search Farm, Fort Monmouth 1 and Fort Monmouth 3 cores, with the TEX_{86}^H Sea Surface Temperature (SST) records of these cores, correlated to ODP Leg 174AX (Bass River; Miller et al., 1998). The iridium record is from the Meirs Farm core (Miller et al., 2010). The New Jersey composite and Bass River core are to scale. The correlation is based on lithology, planktic foraminiferal zonation and ranges of dinocyst marker taxa. The $^{87}Sr/^{86}Sr$, $\delta^{18}O_{Rugoglobigerina}$ and $\delta^{18}O_{A. midwayensis}$ records of Bass River are from Olsson et al. (2002).

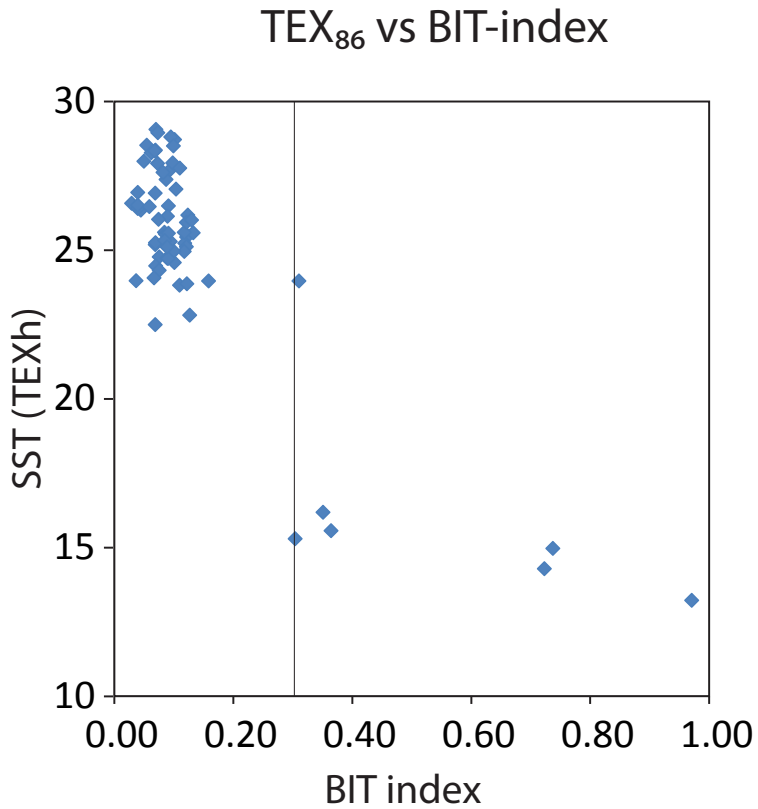
Meirs Farm cores. With these correlation possibilities, a composite can be constructed using the four studied cores (SI Fig. 1).

5.8 TEX₈₆ paleothermometry

In the samples analyzed for this study, concentrations of isoprenoidal GDGTs range from 40-150 ng/g dry-weight sediment. The overall chromatography of the GDGTs was excellent, with proper signal to noise ratios. To test the reproducibility of these signals, 5 of the samples were extracted and analyzed in duplicate, the reproducibility is better than 0.5 °C, and in most cases better than 0.25 °C.

The calibration used in this study has a standard error of ± 2.5 °C (Kim et al., 2010), which should be considered as a systematic error. The reconstructed absolute temperatures should, therefore, be interpreted with care, but here we are more concerned with trends i.e. changes in SST rather than absolute temperatures. While TEX₈₆ is calibrated to SST (Schouten et al., 2002), various studies suggested TEX₈₆ might sometimes reflect deeper water temperatures (e.g. Huguet et al., 2007; Lopes dos Santos et al., 2010). However, the studied sedimentary succession was deposited at a shallow, shelf depositional environment (Miller et al., 2010), excluding the possibility that the recorded trends would significantly differ from trends in SST.

High concentrations of Soil Organic Matter (SOM) in sediments can cause a substantial bias in TEX₈₆-reconstructed sea surface temperatures (Weijers et al., 2006). The relative amount of SOM in sediments can be approximated based on the analysis of tetraether lipids, using the so-called Branched and Isoprenoid Tetraether (BIT) index (Hopmans et al., 2004). To identify whether our TEX₈₆ record is biased by the input of SOM, we have calculated the BIT index for all our samples. To exclude all TEX₈₆-reconstructed sea surface temperatures possibly biased by high concentrations of terrestrial-derived GDGTs, we discarded samples with a BIT-index exceeding the recommended (Weijers et al., 2006) threshold of 0.3 (7 out of 72 analyzed samples; see SI Figure 3).



SI Figure 3

TEX₈₆ derived sea surface temperatures of all analyzed samples plotted against BIT-index values. This plot indicates that there is correlation between SST and BIT, signifying our TEX₈₆ record is not significantly biased by soil organic matter in our samples. Nevertheless, all samples with a BIT-index exceeding the recommended threshold of 0.3 are discarded, following Weijers et al. (2006).

SI Tables Chapter 6

SI Table 1

MEIRS FARM					
Depth interval (ft)	Depth midpoint (ft)	Depth midpoint (cm)	Palynology	TEX86	Comments
24.0 – 24.1	24.05	733.0	X		Poor organic matter preservation
27.3 – 27.4	27.35	833.6	X		Poor organic matter preservation
29.4 – 29.5	29.45	897.6	X		Poor organic matter preservation
32.4 – 32.5	32.45	989.1	X		Poor organic matter preservation
34.9 – 35.0	34.95	1065.3	X		Poor organic matter preservation
37.4 – 37.5	37.45	1141.5	X	X	Poor organic matter preservation
39.9 – 40.0	39.95	1217.7	X	X	
40.5 – 40.6	40.55	1236.0	X	X	
40.9 – 41.0	40.95	1248.2	X	X	
41.1 – 41.2	41.15	1254.3	X	X	
41.45 – 41.5	41.475	1264.2	X	X	
41.7 – 41.8	41.75	1272.5	X	X	
42.0 – 42.05	42.025	1280.9	X	X	
42.05 – 42.10	42.075	1282.4	X	X	
42.12 – 42.18	42.15	1284.7	X	X	
42.2 – 42.25	42.225	1287.0	X	X	
42.3 – 42.35	42.325	1290.1	X	X	
42.25 – 42.31	42.28	1288.7		X	To little material for palynology
42.4 – 42.5	42.45	1293.9	X	X	
42.5 – 42.6	42.55	1296.9	X	X	
42.95 – 43.0	42.975	1309.9	X	X	
43.3 – 43.4	43.35	1321.3	X	X	
44.1 – 44.2	44.15	1345.7	X	X	
44.9 – 45.0	44.95	1370.1	X	X	
46.18 – 46.22	46.2	1408.2	X	X	
47.4 – 47.5	47.45	1446.3	X	X	
49.9 – 49.95	49.975	1523.2	X	X	
52.4 – 52.5	52.45	1598.7	X	X	
55.05 – 55.1	55.075	1678.7	X	X	
57.4 – 57.5	57.45	1751.1	X	X	
59.9 – 59.95	59.925	1826.5	X	X	
62.5 – 62.6	62.55	1906.5	X	X	
64.95 – 65.0	64.975	1980.4	X	X	
67.4 – 67.5	67.45	2055.9	X	X	
69.55 – 69.6	69.575	2120.6	X	X	

71.7 – 71.8	71.75	2186.9	X	X	

SI Table 2

SEARCH FARM					
Depth interval (ft)	Depth midpoint (ft)	Depth midpoint (cm)	Palynology	TEX86	Comments
20.3 – 20.4	20.35	620.3	X	X	Poor organic matter preservation
21.3 – 21.4	21.35	650.7	X	X	Poor organic matter preservation
23.3 – 23.4	23.35	711.7	X	X	
24.0 – 24.1	24.05	733.0	X	X	
24.2 – 24.25	24.225	738.4	X	X	
24.3 – 24.35	24.325	741.4	X	X	
24.55 – 24.6	24.575	749.0	X		To little material to analyze for OG
24.85 – 24.8	24.875	758.2	X		
25.0 – 25.1	25.05	763.5	X	X	
25.5 – 25.6	25.55	778.8	X	X	
26.6 – 26.7	26.65	812.3	X	X	
28.2 – 28.3	28.25	861.1	X	X	
29.0 – 29.1	29.05	885.4	X	X	

SI Table 3

FORT MONMOUTH 1						
Depth interval (ft)	Depth midpoint (ft)	Depth midpoint (cm)	Palynology	TEX86	Forams	Comments
3.5 – 3.6	3,55	108,2	X			Poor organic matter preservation
6.3 – 6.4	6,35	193,5	X	X		Poor organic matter preservation
8.5 – 8.6	8,55	260,6	X			Poor organic matter preservation
11.5 – 11.6	11,55	352,0	X			
13.5 – 13.6	13,55	413,0	X			
16.0 – 16.1	16,05	489,2	X			
19.0 – 19.1	19,05	580,6	X	X		
21.0 – 21.1	21,05	641,6	X			
24.0 – 24.1	24,05	733,0	X			
26.0 – 26.1	26,05	794,0	X	X		
28.5 – 28.6	28,55	870,2	X	X		
31.0 – 31.1	31,05	946,4	X	X		

32.0 – 32.1	32,05	976,9		X		
34.0 – 34.1	34,05	1037,8	X	X		
	35cc	1066,8			X	Core catcher
36.0 – 36.1	36,05	1098,8			X	
36.5 – 36.6	36,55	1114,0	X	X		
38.7 – 38.8	38,75	1181,1	X	X		
39.5 – 39.6	39,55	1205,5			X	
	40cc	1219,2			X	Core catcher
40.3 – 40.4	40,35	1229,9	X	X		
41.9 – 42.0	41,95	1278,6	X	X		
42.6 – 42.7	42,65	1399,0			X	
	43cc	1310,6			X	Core catcher
44.5 – 44.6	44,55	1357,9	X	X		
44.6 – 44.7	44,65	1360,9			X	
	45cc	1371,6			X	Core catcher
46.8 – 46.9	46,85	1428,0	X	X		
47.2 – 47.3	47,25	1440,2			X	
49.0 – 49.1	49,05	1495,0	X	X		
	50cc	1524,0			X	Core catcher
51.3 – 51.4	51,35	1565,1	X	X		
52.3 – 52.4	52,35	1595,6			X	
53.6 – 53.7	53,65	1635,3	X	X		Poor organic matter preservation
	55cc	1676,4			X	Core catcher
56.2 – 56.3	56,25	1714,5	X	X		Poor organic matter preservation
	57cc	1737,4			X	Core catcher

SI Table 4

FORT MONMOUTH 3							
Depth (ft)	Depth midpoint (ft)	Depth midpoint (cm)	Paly-nology	TEX86	Forams	Iridium	Comments
49.5 – 49.6	49.55	1510.3	X	X			Poor organic matter preservation
49.6 – 49.7	49.65	1513.3			X		
50.6 – 50.7	50.65	1543.8				X	
50.9 – 51.0	50.95	1553.0				X	
51.00 – 51.05	51.025	1555.2			X		
51.0 – 51.1	51.05	1556.0	X	X		X	
51.2 – 51.3	51.25	1562.1				X	
51.35 – 51.40	51.375	1565.9			X		
51.45 – 51.55	51.5	1569.7				X	
51.60 – 51.65	51.625	1573.5			X		
51.6 – 51.7	51.65	1574.3				X	
51.7 – 51.8	51.75	1577.3				X	
51.75 – 51.80	51.775	1578.1			X		
51.85 – 51.95	51.9	1581.9				X	
52.0 – 52.1	52.05	1586.5	X	X		X	
52.15 – 52.25	52.2	1591.1				X	
52.25 – 52.35	52.3	1594.1				X	
52.3 – 52.4	52.35	1595.6			X		
52.4 – 52.5	52.45	1598.7				X	
52.55 – 52.60	52.575	1602.5			X		
52.55 – 52.65	52.6	1603.2				X	
52.60 – 52.65	52.625	1604.0			X		
52.6 – 52.7	52.65	1604.8	X	X			
52.80 – 52.85	52.825	1610.1			X		
52.8 – 52.9	52.85	1610.9				X	
52.85 – 52.9	52.875	1611.6	X				
52.95 – 53.00	52.975	1614.7			X		
52.95 – 53.05	53.0	1615.4				X	
53.2 – 53.3	53.25	1623.0			X		
53.4 – 53.5	53.45	1629.2	X	X			
53.6 – 53.7	53.65	1635.3			X	X	
53.8 – 53.9	53.85	1641.3	X	X			
54.4 – 54.5	54.55	1662.7	X	X			
55.1 – 55.2	55.15	1681.0	X	X			
56.3 – 56.4	56.35	1717.5	X	X			
57.00 – 57.10	57.05	1738.9	X	X			

57.4 – 57.5	57.45	1751.1			X		
58.5 58.6	58.55	1784.6	X	X			
59.5 – 59.6	59.55	1815.1	X	X			
61.00 – 61.10	61.05	1860.8	X	X			
62.00 – 62.10	62.05	1891.3	X	X			

SI Table 5

MEIRS FARM										
Depth (ft)	Depth midpoint (ft)	Depth midpoint (cm)	<i>P. gallator</i>	<i>D. carposphaeropsis</i>	<i>D. carpo. "cornuta"</i>	<i>M. seelandica</i>	<i>M.? tenella</i>	<i>S. cf. inornata</i>	<i>S. inornata</i>	<i>D. cf. californicum</i>
24.0 – 24.1	24.05	733.0								
27.3 – 27.4	27.35	833.6								
29.4 – 29.5	29.45	897.6								
32.4 – 32.5	32.45	989.1								
34.9 – 35.0	34.95	1065.3								
37.4 – 37.5	37.45	1141.5								
39.9 – 40.0	39.95	1217.7	X						X	X
40.5 – 40.6	40.55	1236.0	X	X					X	X
40.9 – 41.0	40.95	1248.2	X						X	
41.1 – 41.2	41.15	1254.3	X						X	
41.45 – 41.5	41.475	1264.2	X			X		X	X	
41.7 – 41.8	41.75	1272.5	X						X	
42.0 – 42.05	42.025	1280.9	X	X		X	X			
42.05 – 42.10	42.075	1282.4	X	X			X			
42.12 – 42.18	42.15	1284.7	X	X	X			X		
42.2 – 42.25	42.225	1287.0	X	X			X			
42.3 – 42.35	42.325	1290.1	X							
42.4 – 42.5	42.45	1293.9	X	X		X				
42.5 – 42.6	42.55	1296.9	XX	X	X	X				
42.95 – 43.0	42.975	1309.9	XX	X		X				
43.3 – 43.4	43.35	1321.3	XX	X	X					
44.1 – 44.2	44.15	1345.7	XX	X	X	X				
44.9 – 45.0	44.95	1370.1	XX	X	X	X				
46.18 – 46.22	46.2	1408.2	XX	X		X				
47.4 – 47.5	47.45	1446.3	XX	X	X					
49.9 – 49.95	49.975	1523.2	X	X						
52.4 – 52.5	52.45	1598.7	X							
55.05 – 55.1	55.075	1678.7	X	X						
57.4 – 57.5	57.45	1751.1	X							
59.9 – 59.95	59.925	1826.5	X							
62.5 – 62.6	62.55	1906.5	X							
64.95 – 65.0	64.975	1980.4	X							
67.4 – 67.5	67.45	2055.9	X							
69.55 – 69.6	69.575	2120.6	X							
71.7 – 71.8	71.75	2186.9								

SI Table 6

SEARCH FARM			<i>P. gallator</i>	<i>D. carposphaeropsis</i>	<i>T. pelagica</i>	<i>D. carpo. "cornuta"</i>	<i>M. seelandica</i>	<i>M. z tenella</i>	<i>S. inornata</i>	<i>D. californicum</i>	<i>C. cornuta</i>
Depth interval (ft)	Depth midpoint (ft)	Depth midpoint (cm)									
20.3 – 20.4	20.35	620.3									
21.3 – 21.4	21.35	650.7									
23.3 – 23.4	23.35	711.7	X			X			X	X	X
24.0 – 24.1	24.05	733.0	X		X	X		X	X		
24.2 – 24.25	24.225	738.4	X		X		X				
24.3 – 24.35	24.325	741.4	X		X	X					
24.55 – 24.6	24.575	749.0	XX		X	X	X				
24.85 – 24.8	24.875	758.2	XX		X	X					
25.0 – 25.1	25.05	763.5	XX	X	X	X	X				
25.5 – 25.6	25.55	778.8	XX		X	X					
26.6 – 26.7	26.65	812.3	XX	X	X						
28.2 – 28.3	28.25	861.1	X								
29.0 – 29.1	29.05	885.4	X	X							

SI Table 7

FORT MONMOUTH 1							
Depth interval (ft)	Depth midpoint (ft)	Depth midpoint (cm)	<i>P. grallator</i>	<i>T. pelagica</i>	<i>D. californicum</i>	<i>P. pyrophorum</i>	
3.5 – 3.6	3,55	108,2					
6.3 – 6.4	6,35	193,5					
8.5 – 8.6	8,55	260,6					
11.5 – 11.6	11,55	352,0					
13.5 – 13.6	13,55	413,0					
16.0 – 16.1	16,05	489,2					
19.0 – 19.1	19,05	580,6					
21.0 – 21.1	21,05	641,6					
24.0 – 24.1	24,05	733,0					
26.0 – 26.1	26,05	794,0				X	
28.5 – 28.6	28,55	870,2				X	
31.0 – 31.1	31,05	946,4				X	
34.0 – 34.1	34,05	1037,8					
36.5 – 36.6	36,55	1114,0			X	X	
38.7 – 38.8	38,75	1181,1			X	X	
40.3 – 40.4	40,35	1229,9				X	
41.9 – 42.0	41,95	1278,6				X	
44.5 – 44.6	44,55	1357,9		X	X	X	
46.8 – 46.9	46,85	1428,0	X	X	X	X	
49.0 – 49.1	49,05	1495,0					
51.3 – 51.4	51,35	1565,1					
53.6 – 53.7	53,65	1635,3					
56.2 – 56.3	56,25	1714,5					

SI Table 8

FORT MONMOUTH 3									
Depth interval (ft)	Depth midpoint (ft)	Depth midpoint (cm)	<i>P. grillator</i>	<i>D. carposphaeropsis</i>	<i>D. carpo. "cornuta"</i>	<i>T. pelagica</i>	<i>S. inornata</i>	<i>D. californicum</i>	
49.5 – 49.6	49.55	1510.3							
51.0 – 51.1	51.05	1556.0	X	X	X		X	X	
52.0 – 52.1	52.05	1586.5	X	X	X			X	
52.6 – 52.7	52.65	1604.8	XX	X	X	X	X		
52.85 – 52.9	52.875	1611.6	XX	X	X	X			
53.4 – 53.5	53.45	1629.2	XX	X	X				
53.8 – 53.9	53.85	1641.3	XX	X	X				
54.4 – 54.5	54.55	1662.7	X	X	X	X			
55.1 – 55.2	55.15	1681.0	X			X			
56.3 – 56.4	56.35	1717.5	X	X		X			
57.00 – 57.10	57.05	1738.9	X	X	X				
58.5 58.6	58.55	1784.6	X						
59.5 – 59.6	59.55	1815.1	X						
61.00 – 61.10	61.05	1860.8	X						
62.00 – 62.10	62.05	1891.3	X	X					

SI Table 9

FORT MONMOUTH 1																	
Depth interval (ft)	Depth midpoint (ft)	Depth midpoint (cm)	<i>Eoglobigerina edita</i>	<i>Eoglobigerina eobulloides</i>	<i>Globocornusa daubjergensis</i>	<i>Globanomalina compressa</i>	<i>Globanomalina</i>	<i>Guembelitra cretacea</i>	<i>Parasubbotina</i>	<i>Parasubbotina varianta</i>	<i>Praemurica inconstans</i>	<i>Praemurica</i>	<i>Subbotina trilobulinoides</i>	<i>Subbotina trivialis</i>	<i>Woodringia claytonensis</i>	<i>Prepararotalia cretacea</i>	
	35cc	1066,8			X	X			X	X		X	X				
36.0 – 36.1	36.05	1098,8			X	X			X	X	X	X	X				
39.5 – 39.6	39.55	1205,5	X		X	X	X		X	X	X	X	X				
	40cc	1219,2			X	X	X		X				X	X			
42.6 – 42.7	42.65	1399,0			X		X		X				X				
	43cc	1310,6	X		X		X		X								
44.6 – 44.7	44.65	1360,9		X	X												
	45cc	1371,6			X		X	X	X							X	
47.2 – 47.3	47.25	1440,2															
	50cc	1524,0			X											X	
52.3 – 52.4	52.35	1595,6			X											X	
	55cc	1676,4						X									
	57cc	1737,4			X			X						X			

SI Table 10

FORT MONMOUTH 3													
Depth interval (ft)	Depth midpoint (ft)	Depth midpoint (cm)	<i>G. prairiehillensis</i>	<i>G. messinae</i>	<i>G. cretacea</i>	<i>H. navarroensis</i>	<i>H. globulosa</i>	<i>H. holmdelensis</i>	<i>Heterohelix</i> sp.	<i>H. planata</i> (?)	<i>Globigerinelloides</i> sp.		COMMENTS
49.6 - 49.7	49.65	1513.3			XX	X	X			X	X		G. cretacea is abundant
51.00 - 51.05	51.025	1555.2											No forams
51.35 - 51.40	51.375	1565.9							X				Forams rare
51.60 - 51.65	51.625	1573.5											No forams
51.75 - 51.80	51.775	1578.1											No forams
52.3 - 52.4	52.35	1595.6			X	X	X						
52.55 - 52.60	52.575	1602.5											No forams
52.60 - 52.65	52.625	1604.0											No forams
52.80 - 52.85	52.825	1610.1											No forams
52.95 - 53.00	52.975	1614.7											No forams
53.2 - 53.3	53.25	1623.0			X		X	X					
53.6 - 53.7	53.65	1635.3					X						Forams rare
57.4 - 57.5	57.45	1751.1	X	X	X	X	X						

CHAPTER VII

Reconstructing sea level change across the Cretaceous-Paleogene boundary interval

Abstract

The Cretaceous-Paleogene (K-Pg) boundary impact invoked exceptionally rapid and profound global climate change, which occurred superimposed on ongoing, long-term environmental changes. Several studies have shown that besides long-term global temperature changes, the late Maastrichtian to early Paleocene was also marked by long-term changes in relative sea level. Although many K-Pg boundary records worldwide show evidence for relative sea level changes across this interval, it is still unclear if these recorded sea level changes are globally synchronous, and, if so, what could have caused them. It is therefore crucial to accurately date, portray, correlate and compare sea level changes in suitable, stratigraphically complete Maastrichtian and Danian shallow marine successions on different continents and in different ocean basins, worldwide. Here we compare sedimentological, palynological and organic geochemical records from some of the most well-known marginal marine K-Pg boundary sections worldwide: Elles (Tunisia), Stevns Klint (Denmark), New Jersey (USA), Brazos River (USA), Mid-Waipara River (New Zealand) and Bajada del Jaguél (Argentina). The records suggest that indeed, a quasi-synchronous global maximum flooding occurred in the latest Maastrichtian, followed by a relatively strong regression across the K-Pg boundary, reaching a lowstand in the early Danian. This episode is followed by a marked marine transgression globally. These long-term globally synchronous relative sea level changes are in the order of tens of meters, occurring on a timescale of hundreds of thousands of years and are unrelated to the K-Pg impact. The mechanism(s) underlying these global sea level variations remain(s) enigmatic, but the fluctuations are matched by benthic $\delta^{18}\text{O}$ and TEX_{86} records, suggesting a link between temperature and sea level. Possibly, such long-term sea level changes might be related to the presence of small Antarctic ice sheets and/or to Deccan Traps outpouring of greenhouse gasses.

1. Introduction

It is by now widely accepted that the Cretaceous – Paleogene (K-Pg) boundary mass extinction (~66Ma) is related to the global environmental consequences of an impact of a large extraterrestrial body (Schulte et al., 2010). This impact invoked exceptionally rapid and profound global climate change, which occurred superimposed on ongoing, long-term environmental changes (Kring, 2007; Galeotti et al., 2004; Vellekoop et al., 2014; Chapter 3-6 of this thesis). In recent studies, we have documented the long- and short-term history of K-Pg sea surface temperature changes, unequivocally showing that indeed, there was an abrupt, impact-invoked cooling in the very earliest Paleocene (Vellekoop et al., 2014; Chapter 3 and 6 of this thesis). This short-lived cooler episode occurred superimposed on a latest Maastrichtian cooling trend (Chapter 6, this thesis). High resolution reconstructions of the short- and long-term environmental changes across the K-Pg boundary interval are largely based on land-based ancient shallow marine sections, as these are generally characterized by higher sedimentation rates and therefore allow a high temporal resolution (e.g. Brinkhuis et al., 1998; Vellekoop et al., 2014). The expression and stratigraphic completeness of these marginal marine K-Pg successions is typically highly variable (Macleod and Keller, 1991; Adatte et al., 2002a), complicating accurate dating and complete portrayal of the climatic and biotic changes across the K-Pg boundary. Some studies have shown that at least regionally, the late Maastrichtian to early Paleocene was also marked by relatively long-term changes in relative sea level, on timescales in the order of hundreds of thousands of years (Macleod and Keller, 1991; Adatte et al., 2002a; Habib et al., 1992; Miller et al., 2005; Schulte et al., 2006; Kominz et al., 2008). While such changes have been documented on a regional scale, it unclear how these, if at all, were acting on a global scale. Furthermore, it is also, as yet, unclear whether the impact-invoked short-term environmental changes affected regional or global sea level as well. In part, this uncertainty is due to the lack of sufficient temporal resolution in age dating and correlation. In addition, only a few of the many K-Pg sections globally are suitable for an accurate detection of sea level change, since most sites are either positioned too far inshore, and marked by one of more hiatuses, or too far offshore, i.e. to ‘oceanic’, in nature to detect an unequivocal signature of sea level change.

In order to determine if the inferred sea level changes are globally synchronous, and to provide clues regarding underlying mechanisms, it is crucial to accurately date, portray, correlate and compare sea level changes in Maastrichtian and Danian shallow marine successions on different continents and in different ocean basins worldwide. Here we compile sedimentological, palynological and organic geochemical records from some of the most well-known marginal marine K-Pg boundary sections worldwide: Elles (Tunisia), Stevns Klint (Denmark), New Jersey (USA), Brazos River (USA), Mid-Waipara River (New Zealand) and Bajada del Jaguël (Argentina) (Fig. 1), to elucidate long-term, global sea level histories, and determine their synchronicity.

2. Sea level indicators

At relatively shallow marine settings a rise or fall in relative sea level usually results in a change in coastal proximity. Across shelves, physical and chemical parameters, such as wave and current energy, salinity, oxygen availability, and nutrient loading typically change in relation to coastal proximity. Therefore, in marginal marine settings, sea level variations usually result in strong changes in these parameters. As a result, such variations can generally be recognized in the lithology/sedimentology, with marked surfaces related to changes in sedimentological, biological

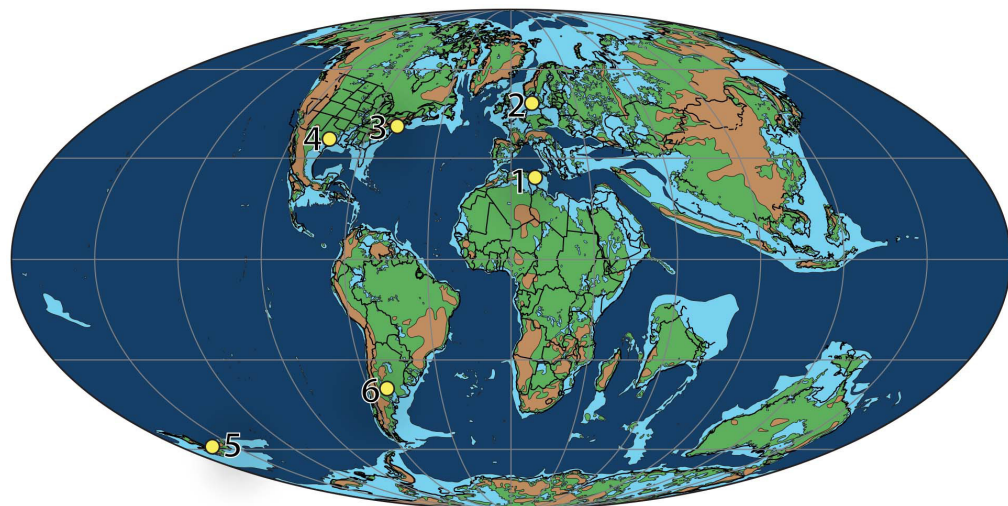


Figure 1

Location of the studied sites within a paleogeographic reconstruction of the Earth around the K-Pg boundary; modified after Scotese and Dreher (2012). Brown indicates plateaus and mountainous areas, green indicates lowlands, light blue indicates shallow waters and dark blue deeper, oceanic waters. Numbers refer to the following sites: site 1: Elles El Kef, Tunisia, Northern Africa; site 2: Stevns Klint, Denmark, Northern Europe; site 3: Meirs Farm, New Jersey, USA, North America; site 4: Brazos River, Texas, USA, North America; site 5: mid-Waipara River, New Zealand, Southwestern Pacific; site 6: Bajada del Jaguél, Argentina, South America.

and geochemical regimes, and can be employed as indicators for shifts towards more inshore or offshore conditions (sequence stratigraphy).

Several biological groups are commonly used to reconstruct changes in coastal proximity, such as planktic and benthic foraminifera, and organic-walled dinoflagellate cysts (dinocysts). Where planktic and benthic foraminifera show a major faunal turnover across the K-Pg boundary interval (Speijer and van der Zwaan, 1996; Culver, 2003), related to the extinctions and to changes in export productivity (D'Hondt, 2005), dinocysts do not (e.g., Brinkhuis and Zachariasse, 1988). This makes this group most qualified to reconstruct changes in relative sea level across this interval, notably in marginal marine settings, where organic-walled cyst producing dinoflagellates are most abundant (Sluijs et al., 2005; Sluijs et al., 2008b). Several dinocyst studies have indeed revealed apparent sea level variations in the Maastrichtian and Danian (e.g. Brinkhuis and Zachariasse, 1988; Moskovitch and Habib, 1993), with successive changes in typical coastal groups of dinocyst taxa.

Palynological assemblages from a coastal setting comprise both terrestrial and marine components. In such settings, the amount of terrestrially-derived material usually broadly co-varies with coastal proximity. Hence, variations in coastal proximity can also be assessed employing the absolute and relative abundance of terrestrially derived palynomorphs (pollen and spores) (Sluijs et al., 2008b), but also the contribution of terrestrially derived organic biomarkers (Ménot-Combes et al. 2006), e.g. expressed as the BIT index (Hopmans et al., 2004). This index is based on the relative contribution of organic membrane lipids derived from bacteria that are mostly living in non-marine environments such as soils, lakes and rivers (Hopmans et al., 2004; Sinninghe Damsté et al., 2009; De Jonge et al., 2014).

In addition, where available, other proxy records indicative for coastal proximity are also used, notably grain size. Larger grain sizes are considered indicative for higher wave and/or current energy. Since, in coastal settings, coastal proximity is generally positively correlated with wave and current energy, changes in grain size can potentially be used indications for relative sea level changes.

3. Sites and samples

3.1 El Kef and Elles, Tunisia

The El Kef section in Tunisia, the Global Stratotype Section and Point (GSSP) of the K-Pg boundary, provides one of the most complete and stratigraphically expanded palynological K-Pg boundary records known (Brinkhuis and Zachariasse, 1988; Brinkhuis et al., 1998). However, given the interpreted outer neritic setting of El Kef (Adatte et al., 2002a) relatively small changes in coastal proximity might be relatively difficult to record. The nearby Elles section, also NW Tunisia, is very similar to the K-Pg boundary GSSP at El Kef (Chapter 4 of this thesis), but is interpreted to be deposited in a slightly more proximal setting, at middle neritic depths (Adatte et al., 2002a) and is therefore even better suited to also record relative small changes in coastal proximity.

The K-Pg boundary occurs within the El Haria Formation. This formation mainly consists of marly and clayey sediments, that are interrupted at the K-Pg boundary by a dark, ~50 cm thick carbonate depleted interval, the so-called boundary clay layer. This boundary clay layer grades upwards into marly shales. The Elles section has a detailed planktic foraminifera, calcareous nannofossil and dinocyst biostratigraphy allowing a precise zonation of the boundary interval (Gardin, 2002; Karoui-Yaakoub et al., 2002; Chapter 4 of this thesis). The studied interval (from ~ 5 m below to ~4m above the boundary) comprises the uppermost part of the uppermost Maastrichtian *A. mayaroensis* (foraminiferal) Zone. With estimated Maastrichtian sedimentation rates of 3-4 cm/kyr (Adatte et al., 2002a; Stüben et al., 2003), it represents the last ~170-125 kyr of the Maastrichtian. The Danian part of the section comprise foraminiferal zones P0 to P α .

For the present study, we use the extensive dataset of Chapter 4 of this thesis, comprising ~60 palynological data points.

3.2 Stevns Klint, Denmark

The Stevns Klint section, a 14.5 km long coastal cliff south of Copenhagen, Denmark, is a classical K-Pg boundary locality (Surlyk et al., 2006). It shows one of the clearest lithological responses to the sea level changes across the K-Pg boundary interval (Surlyk, 1997). The paleo water depth is estimated to have been in the region of 50 – 150 m (Hart et al., 2004). For this study, we focus on the classical Højerup locality. The Maastrichtian is represented by the chalks of the Tor Formation. The Tor Fm is subdivided in the gently wavy, almost horizontally bedded, benthos-poor chalk of the Sigerslev Mb and the small, asymmetrical bryozoan mounds of the Højerup Mb. The contact between these members is marked by an incipient nodular hardground above a prominent flint layer, 2-5 m below the K-Pg boundary. At Stevns Klint, the K-Pg boundary marks the boundary between the Tor Fm and the overlying Rødvig Formation, which represents foraminiferal Zones P0 and P α . At Stevns Klint, the 10 cm Fiskeler K-Pg boundary clay is overlain by the heavily burrowed, micritic *Cerithium* Limestone Member. The *Cerithium* Limestone Mb is truncated by a prominent erosion surface at the base of the overlying bryozoan mounds of the Stevns Klint Formation (Surlyk et al., 2006). The base of the Stevns Klint Fm is correlative to foraminifer Zone P1b.

The Stevns Klint locality is one most well-studied K-Pg boundary sections in the world and many palynological studies have been performed on this section (Hansen, 1977; Hultberg, 1986;

Damassa, 1988, Brinkhuis et al., 1998). For the present study we analyzed the same sample set as Brinkhuis et al. (1998), comprising 15 samples from the interval between 50 cm below the K-Pg boundary to 25 cm above it (*Cerithium* limestone). This sample set was further expanded with 7 additional samples, reaching up to ~7.2 m below the K-Pg boundary.

3.3 New Jersey, USA

At the New Jersey coastal plain, the K-Pg boundary succession is represented by the shallow marine, clayey, glauconitic sands of the New Egypt and Hornerstown formations (Miller et al., 1998; Chapter 6 of this thesis). In 2008 Rutgers University commissioned the drilling of a series of shallow (<25m) holes to investigate the nature of the Cretaceous-Paleogene boundary in New Jersey (Miller et al., 2010). In Chapter 6 of this thesis a composite of 4 of these cores is presented. In New Jersey, the upper Maastrichtian New Egypt Formation, consisting of dark gray, glauconitic, clayey sand to sandy clay, is unconformably overlain by the lower Paleocene Hornerstown Formation, consisting of green, burrow-mottled, glauconitic clayey sand to micaceous, quartzose, glauconite sand (Olsson, 1987; Landman et al., 2004). In New Jersey records that are stratigraphically most complete, the K-Pg boundary is marked by a 3-5 cm thick layer of white clay rip-up clasts, approximately 60 cm below the unconformable contact with the green, heavily bioturbated glauconitic sands of the Hornerstown Formation. The Hornerstown Fm is correlative to the interval from top part of foraminiferal Zone P α up to the top of foraminiferal Zone P1a (Chapter 6 of this thesis). In slightly more proximal cores the white clay clast layer is absent and the contact between the New Egypt and the Hornerstown formations marks the K-Pg boundary (Chapter 6 of this thesis). Hence, there the top of the New Egypt Formation has been eroded away.

For the present study, we analyzed the sample set of the Meirs Farm core (Chapter 6 of this thesis). This sample set consists of 29 palynological samples and 30 BIT index values.

3.4 Brazos River, USA

The Brazos River section, between Waco and Hearne, Texas (USA) comprises an exceptionally well-preserved K-Pg boundary succession (Vellekoop et al., 2014). This section has attracted considerable attention over the past decades and it has been studied for sedimentology, (macro) paleontology, nannofossils, planktic and benthic foraminifera, dinocysts and organic and inorganic geochemistry (Jiang and Gartner, 1986; Hansen et al., 1993; Smit et al., 1996; Vellekoop et al., 2014), resulting in a robust and detailed age control. In the Late Cretaceous and early Paleogene, the Brazos area was characterized by nearly continuous and predominantly siliciclastic sedimentation on the shallow shelf of the northern Gulf of Mexico at estimated depths of 75 to 200 m (Bourgeois et al., 1988, Smit et al., 1996). The sedimentary successions in this region comprise the Maastrichtian fossiliferous shales of the Corsicana (Kemp Clay) Formation and the Paleocene basal and upper Littig members of the Kincaid Formation. The basal Littig Mb, consisting of a sequence of sandstone layers yielding multiple types of clasts and shell debris has been interpreted as impact-triggered tsunami deposits (Bourgeois et al., 1988; Smit et al., 1996), overlain by post-impact storm deposits (Vellekoop et al., 2014). Above this inferred tsunami-storm deposit are the silty clays of the Kincaid Fm. This basal part of the Danian is correlative to foraminiferal zones P0 and P α . This unit is, in turn, unconformably overlain by a conglomerate of the Kincaid Formation, the upper Littig Member. This member is composed of a 0.3-0.6 meter thick glauconitic sandy clay with grains, granules and pebbles, followed by a marly claystone bed, which forms the top of the section. The base of the upper Littig Mb is correlative to foraminiferal Zone P1b, so at Brazos, the interval correlative to foraminiferal Zone P1a is represented by a hiatus (Vellekoop et al., 2014).

The study of Vellekoop et al. (2014) provides a BIT-index record of the Brazos River section. For the present study we studied the palynological sample set of Vellekoop et al. (2014), comprising 88 samples. In addition to the palynological and organic geochemical record, at the Brazos River section the grainsize record of Vellekoop et al. (2014) also provides information concerning potential sea level changes. In Vellekoop et al. (2014), the siliciclastic grain size (on the Krumbein phi (ϕ) scale) were determined on a Fritsch A-22 laser particle sizer.

3.5 Mid-Waipara, New Zealand

The mid-Waipara River section is the stratigraphically most complete known neritic K-Pg boundary record in the South Pacific. The section is well-studied and has a good chronobiostratigraphic age control, based on planktic foraminifera, radiolarians and dinocysts (Hollis and Strong, 2003; Willumsen, 2012). The K-Pg boundary interval is represented by the glauconitic sandstones of the Conway Formation and the glauconitic siltstones of the Loburn Mudstone. The Maastrichtian mud-rich, calcareous glauconitic sandstones are overlain by Danian largely non-calcareous, glauconitic sandstones of the uppermost Conway Fm. The K-Pg boundary is represented by an irregular, 1 cm thick, iron-stained interval. The boundary interval is characterized by intense bioturbation. At about 4 m above the boundary, the Conway Fm is conformably overlain by the Loburn Mudstone.

The mid-Waipara River section has been studied in high resolution for palynology (Willumsen, 2003; Willumsen, 2012), providing an extensive dataset for the present study. For the our study we focus on the interval from ~1m below the boundary up to ~8 m above the boundary, comprising 26 palynological datapoints.

3.6 Bajada del Jaguël, Argentina

The Bajada del Jaguël section is located in the Neuquén Basin (Argentina). In the late Cretaceous-early Paleocene, this basin encompassed a shallow epicontinental sea that was connected to the Atlantic Ocean to the east (Barrio, 1990). The Maastrichtian-Danian succession is represented by the homogenous, heavily burrowed silty claystones of the Jaguël Formation (Scasso et al., 2005). The lower 10-15 meters of the section consists of clays, whereas in the upper 5-10m below the K-Pg boundary the sediments gradually become more silty. The K-Pg boundary is marked by a 15-25 cm thick, coarse-grained sandstone bed containing rip-up clasts, plagioclase grains, broken shells and shark teeth. This sandstone bed has been interpreted as a tsunami deposit related to the Chicxulub impact (Scasso et al., 2005). At approximately 2 m above the K-Pg boundary sandstone is a possible erosional surface.

The shallow and restricted setting of the Bajada del Jaguël section means that both nannofossil and planktic foraminiferal biostratigraphy is problematic at this site, because most important marker taxa are missing (Keller et al., 2007). However, dinocysts are very abundant in the Jaguël Fm (Papu et al., 1999) and are a powerful stratigraphic tool in such an environmental setting (Sluijs et al., 2005).

For the present study we collected 30 samples from 25m below the boundary up to 10 m above the boundary. All samples were analyzed for palynology and 24 samples for organic geochemistry.

4. Methods

4.1 Palynological processing

Palynological processing was performed using standard palynological preparation techniques of the Laboratory of Palynology and Palaeobotany (see Vellekoop et al., 2014 for a more detailed

description). All slides are stored in the collection of the Laboratory of Palaeobotany and Palynology, Utrecht University. For each sample, dinocysts were counted up to a minimum of 200 specimens. The taxonomy of dinocysts follows that cited in Fensome and Williams (2004), unless stated otherwise.

4.2 Dinocysts and sea level

In general, marine dinoflagellates show a strong response to changes in physical and chemical parameters of sea and ocean surface waters. Many species are precisely adapted to specific surface water conditions, but are also indirectly dependent of water depth, in order to successfully complete their lifecycle, involving a hypnozygotic benthic resting stage, the cyst. Marine dinocyst assemblages therefore generally express a strong proximal–distal signal (Brinkhuis, 1994; Sluijs et al., 2005; Pross and Brinkhuis, 2005). Since dinocyst assemblages reflect (at least a part of) these dinoflagellate assemblages, they have successfully been used to reconstruct ancient changes in coastal proximity. In the past, various methods have been used to reconstruct relative sea level changes based on dinocyst assemblages (for an overview, see Sluijs et al., 2005). Based on empirical data, statistical correlations and the modern inshore–offshore distribution pattern of dinocysts, including taxa that occur since early Cretaceous times, environmental preferences for fossil dinocyst taxa are inferred (Sluijs et al., 2005; Sluijs et al., 2008b; Sluijs and Brinkhuis, 2009).

Here we apply inferred environmental preferences for Cretaceous–Paleogene dinocyst taxa, or groups of morphologically related taxa, to reconstruct changes in proximity to the coast. In general, nutrient loading, (changes in) salinity and other environmental stressors such as wave and current energy, are all positively correlated with coastal proximity. Therefore, dinocyst taxa indicative of high nutrient availability, hyper- or hyposaline conditions, or other forms of environmental stress represent the inshore component of the assemblage, whereas dinocyst taxa indicative for more stable, normal marine and oligotrophic conditions represent the offshore component of the assemblage.

Cretaceous–Paleogene dinocyst assemblages are mostly constituted by two types of dinocysts: Gonyaulacales and Perdiniales. Notably Perininoid (P) cysts with a hexaform archeopyle are inferred to be indicative of low salinities and high nutrient availability (Sluijs and Brinkhuis, 2009). Since in a coastal setting lower salinities and high nutrient availability are typical for more inshore conditions, we use changes in the relative contribution of hexaperidinoïd cysts as indicative for relative sea level changes.

Of the Cretaceous–Paleogene Gonyaulacoid dinocyst taxa, representatives of the *Areoligera* complex (cpx) and the *Hystriosphæridium* cpx are generally most abundant in inner neritic environments (Schioler et al., 1997; Sluijs and Brinkhuis, 2009). It has been suggested that these groups are better able to tolerate stressed environments and are therefore best adapted for extremely marginal marine settings (Schioler et al., 1997). In our study we group the *Areoligera* cpx and *Hystriosphæridium* cpx into a ‘coastal taxa’ group, broadly indicative of inshore conditions. All other Gonyaulacoid dinocyst taxa are grouped in the ‘normal marine’ group, indicative of more offshore conditions. We use the NM/C index (Normal Marine/(Normal Marine + Coastal)) to quantify the abundances of these two groups, with the assumption that low NM/C represents a high coastal proximity, while high NM/C represents a low coastal proximity.

4.3 BIT index

Organic geochemical analysis followed standard processing techniques (Schouten et al., 2007; 2013). Briefly, we extracted glycerol dialkyl glycerol tetraethers (GDGTs) using organic solvents

and quantified the various GDGTs using high performance liquid chromatography/atmospheric pressure positive ion chemical ionization mass spectrometry (HPLC/APCI-MS). The branched and isoprenoid tetraether (BIT) index, indicative for the relative input of terrestrially-derived organic matter, was calculated following Hopmans et al., (2004).

5. Results and discussion

5.1 Elles, Tunisia

Hexaperidinioids are relatively abundant in the Elles section record (10-80%), consistent with a high productivity setting. The NM/C index shows a generally decreasing trend in the Maastrichtian and earliest Danian. This suggest that this interval represents a sea level regression, corresponding to a Highstand Systems Tract (HST). Between 5 and 2.5 meters below the boundary, hexaperidinioids become very abundant, peaking at ~80% of the assemblage at 4.5 m below the K-Pg boundary. In the same interval, terrestrial palynomorphs remain rare (Fig. 2). The increase in abundance of hexaperidinioids suggests an increase in nutrient availability and/or lower salinities, perhaps related to a relative sea level lowstand. However, the Elles section is located in, or close to, an upwelling region (Parrish and Curtis, 1982; Alsenz et al., 2013; Chapter 4 of this thesis) and since the peak is short-lived and terrestrial palynomorphs do not show a signal corresponding to an increase in coastal proximity, the recorded increase in nutrient availability might be related to enhanced upwelling instead of a change in relative sea level.

At the base of the boundary clay terrestrial palynomorphs show a marked increase, but since this short-lived peak directly follows the K-Pg boundary impact, it is more likely related to the biological crisis at the boundary, rather than of any changes in coastal proximity. The global decimation of terrestrial plant life will have resulted in a short-lived increase in soil erosion, increasing transport of plant material to the sea (Mizukami et al., 2013). In the lowermost Danian, correlative to foraminiferal zones P0 to P α , terrestrial palynomorphs become slightly more abundance (max. 10% of the total assemblage). In the same interval, the NM/C index reaches lowest values (0.6-0.7). In foraminiferal Zone P α also the hexaperidinioids are abundant. These results suggests that at the Elles section, the interval correlative to foraminiferal Zone P α represents the shallowest facies, and hence a Lowstand Systems Tract (LST). In the interval correlative to Foraminiferal Zone P α , hexaperidinioids remain abundant, but terrestrial palynomorphs and coastal dinocyst taxa appear to decrease in abundance at the top of the studied interval, suggesting a possible marine transgression. The sea level interpretation provided here is further corroborated by the changes in dinocyst assemblages across the studied interval, presented in Chapter 4 of this thesis.

5.2 Stevns Klint, Denmark

Terrestrial palynomorphs are most abundant at the base of the studied interval, ~7m below the K-Pg boundary, decreasing in abundance across the Sigerslev Mb. In this member, the NM/C index is approximately 0.5-0.7. At the top of the Sigerslev Mb, marked by the nodular hardground approximately 2.5m below the K-Pg boundary, terrestrial palynomorphs reach a minimum, while the NM/C index increase to >0.75. These results suggest that the nodular hardground represents a maximum flooding surface (MFS) (Fig. 3-4).

In the overlying Højerup Mb, terrestrial palynomorphs remain low and the NM/C index remains high. These results signify that the Højerup Mb represents a relatively high sea level. The sedimentological/lithological transition, from the gently wavy, almost horizontally bedded, benthos-poor chalk of the Sigerslev Mb to the small, assymetrical bryozoan mounds of the Højerup Mb

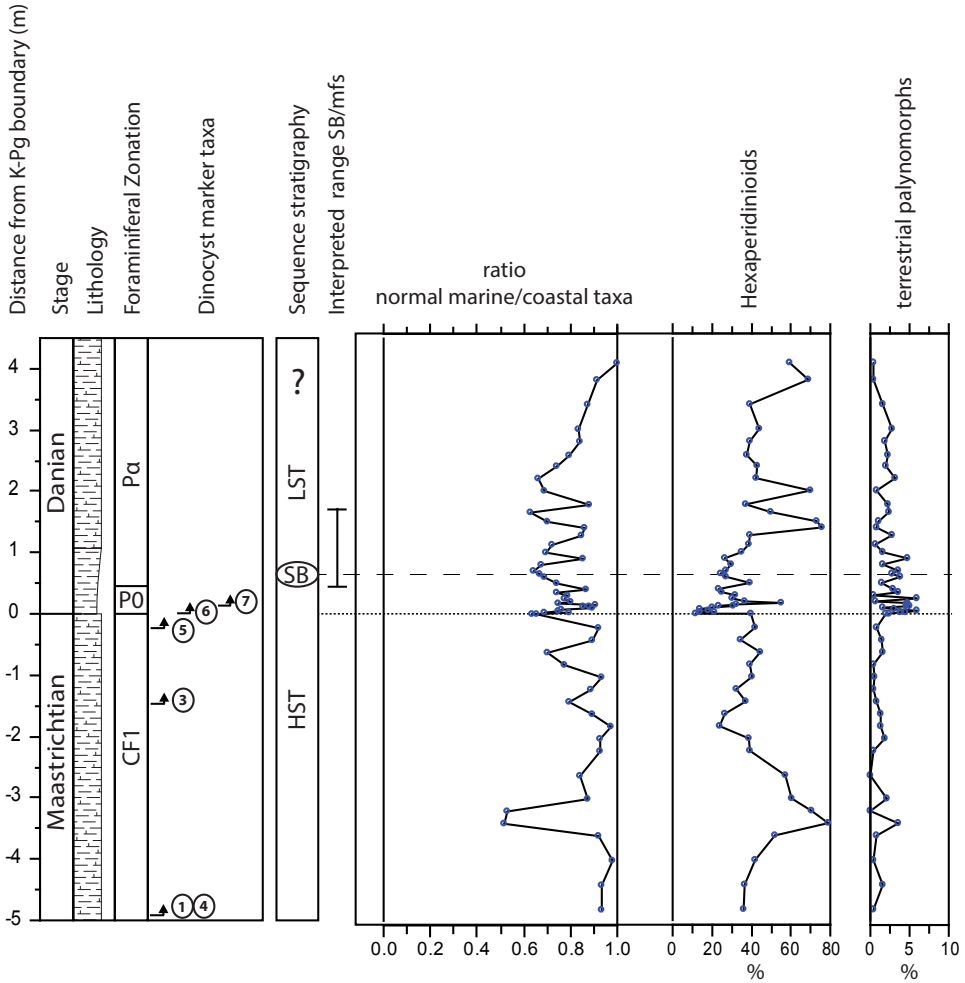


Figure 2

Elles El Kef, Tunisia: Foraminiferal zonation from Karoui-Yaakoub et al. (2002), dinocyst marker taxa from Chapter 4 of this theses. Normal Marine/Coastal taxa ratio and percentages of Hexaperidinioid DC and terrestrial palynomorphs across the K-Pg boundary interval. HST, Highstand Systems Tract; SB, Sequence Boundary; FSST, Falling Stage Systems Tract; LST, Lowstand Systems Tract. Numbers of dinocyst events refer to the following events: 1: FO *Disphaerogena carposphaeropsis*; 2: FO *Hystriocholpoma bulbosum*; 3: FO *Manumiella seelandica*; 4: FO *Disphaerogena carposphaeropsis* var. *cornuta*; 5: base *M. seelandica* acme; 6: FO *Senoniasphaera inornata*; 7: FO *Damassadinium* cf. *californicum*; 8: FO *D. californicum*; 9: FO *Carpatella cornuta*; 10: LO *Palynodinium grallator*. The best-estimate range within which the interpreted SB falls is indicated in the figure.

nevertheless suggests that the Højerup Mb represents a shallowing facies (Surlyk, 1997), likely a HST, reaching a Sequence Boundary (SB) in the lowermost Danian. Similar to the Elles section, the base of the boundary clay is characterized by a peak in terrestrial palynomorphs, likely related to the K-Pg boundary catastrophe.

At Stevns Klint, the lower Danian succession is characterized by a hiatus, roughly spanning foraminiferal Zone P1a. This hiatus most likely represents an erosional event during a sea level

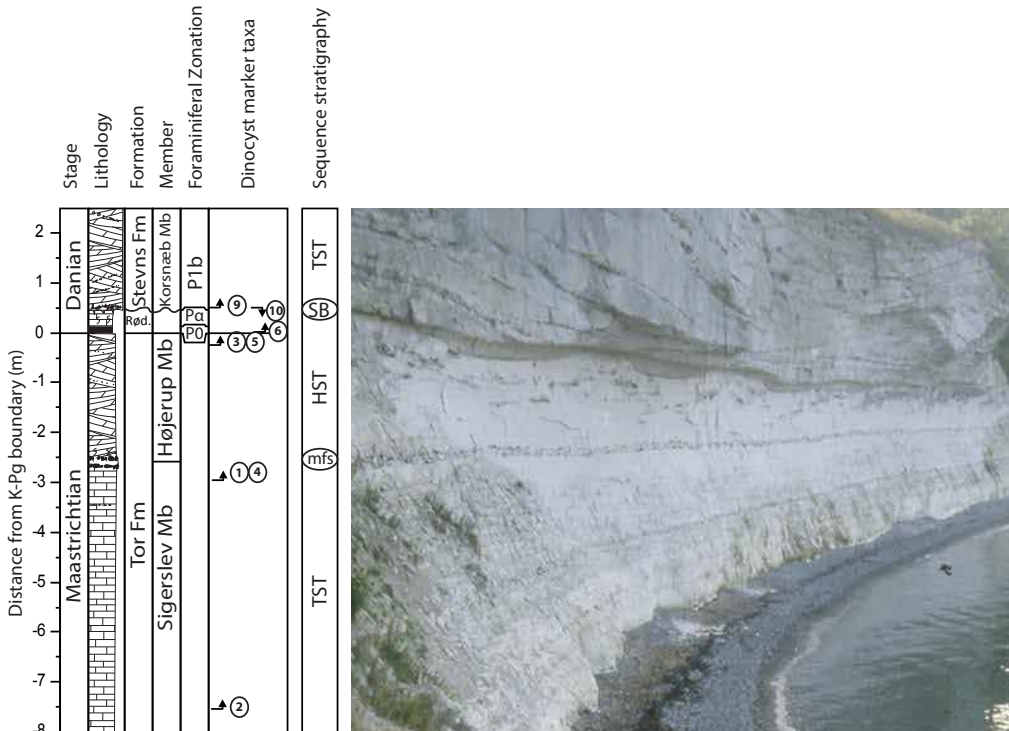


Figure 3

Stevens Klint, Denmark: Foraminiferal zonation from Stenestad (1976) and Rasmussen et al. (2005). Photo: courtesy of Jan Smit, VU University Amsterdam. TST, Transgressive Systems Tract; MFS, Maximum Flooding Surface. For the numbers of dinocyst events, see Figure 2.

lowstand. Although our palynological record does not range into the overlying Stevns Klint Fm, the lithology of the succession suggests that the erosive contact between the Rødvig Fm and the Stevns Klint Fm represents the Transgressive Surface (TS) at the base of the TST. The sea level interpretation provided here is further supported by sedimentological changes in the Danish Basin (Surlyk, 1997).

5.3 New Jersey, USA

In comparison with the middle- to outer neritic Stevns Klint and Elles sections, the sedimentary succession of the New Jersey composite core studied herein represents a shallower marine, inner- to middle neritic facies, indicated by the coarser grainsizes and abundance of coastal dinocyst taxa. Although these sites are interpreted to be deposited in a shallow coastal setting, the input of non-marine matter is still relatively low.

In the upper 9 m of the Maastrichtian, the equivalent of the *A. mayaroensis* Zone (Chapter 6 of this thesis), the BIT-index steadily decreases, from 0.13 to 0.05 (Fig. 5). In the upper 3 m of the Maastrichtian, the hexaperidinioids decrease from ~30% of the assemblage to <5% and in the last 2 m, terrestrial palynomorphs also show lower values, from 15-25% to ~10%. These results suggests decreasing coastal proximity, i.e. a marine transgression in the late Maastrichtian, indicative of a TST. In the last 2-3 meters of the Maastrichtian, the BIT-index and relative abundances of

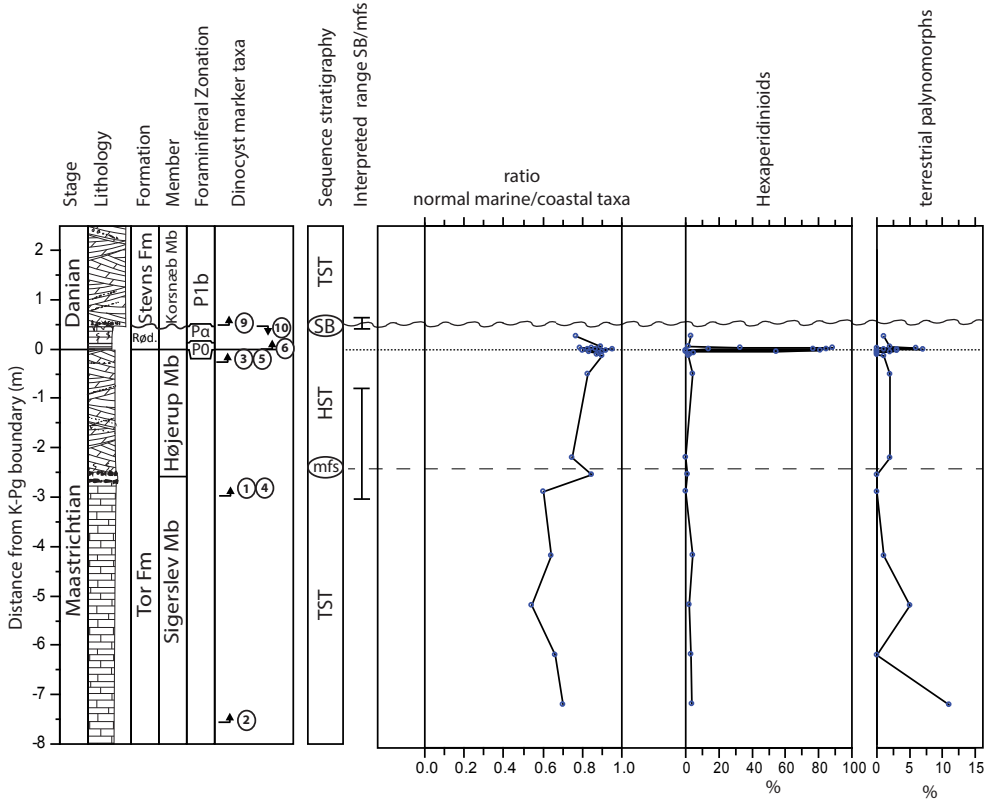


Figure 4
 Stevns Klint, Denmark: Normal Marine/Coastal taxa ratio and percentages of Hexaperidinioid DC and terrestrial palynomorphs across the K-Pg boundary interval. For the numbers of dinocyst events, see Figure 2. The best-estimate ranges within which the interpreted MFS and SB fall are indicated in the figure.

terrestrial palynomorphs and hexaperidinioids reach their lowest values, indicating a mfs (Fig. 5). The NM/C index reaches highest values earlier than the BIT-index, terrestrial palynomorph and hexaperidinioid minimum, hampering the precise placement of the mfs.

Across the K-Pg boundary, the BIT-index rapidly increases and hexaperidinioids increase in abundance (Fig. 5), suggestive of a marine regression, corresponding to a HST. Above the unconformable, heavily burrowed contact with the lower Paleocene Hornerstown Formation, both palynomorphs and GDGTs are poorly preserved. This unconformable contact most likely represents lowstand conditions.

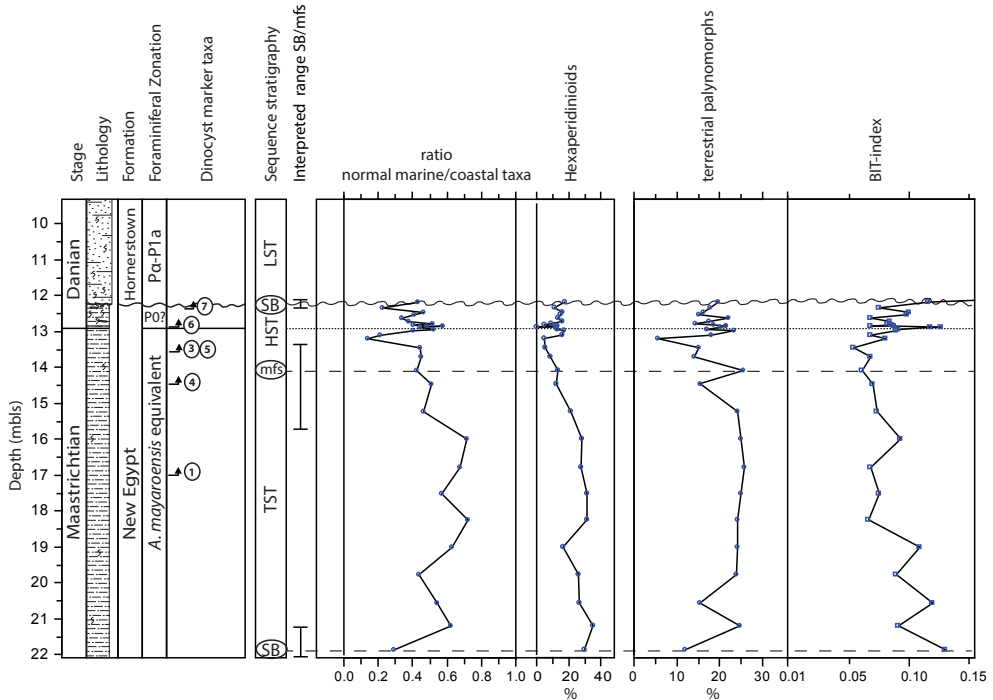


Figure 5

Meirs Farm, New Jersey: Foraminiferal zonation; dinocyst marker taxa and BIT-index data from Chapter 6 of this thesis. Normal Marine/Coastal taxa ratio and percentages of Hexaperidinioid DC and terrestrial palynomorphs and BIT-index data across the K-Pg boundary interval. For the numbers of dinocyst events, see Figure 2. The best-estimate ranges within which the interpreted MFS and SB fall are indicated in the figure.

5.4 Brazos River, USA

The Brazos River palynological and geochemical record is rather complex, mostly because of the tsunami- and post-impact storm deposits overprinting background signals. In addition to the palynological and BIT index record, at the Brazos River section the siliciclastic grain size record of Vellekoop et al. (2014) also provides information concerning potential sea level changes.

At Brazos, the latest Maastrichtian is characterized by relatively low contribution of non-marine material (BIT-index values <0.17 and a low contribution of terrestrial palynomorphs $<10\%$; Fig. 6), whereas the NM/C index and grain size are rather stable. These results suggest that at Brazos, the uppermost Maastrichtian represents a stable relative sea level, likely corresponding to a HST. The tsunami and post-impact storm deposits are characterized by higher BIT-index values and relatively high contributions of terrestrial palynomorphs, likely representing terrestrial material transported from the coast by the tsunami backwash. Above the K-Pg boundary, in the interval correlative to foraminiferal zones P0 and Pa, hexaperidinioids become slightly more abundant (10–25% of the assemblage) and BIT-index values rise. Across the same interval, the grain size shows a steady increase up to the upper Littig Member. This suggests that this interval represents a late HST or FSST. On the other hand, the NM/C index slightly increases over this interval, which would be indicative of a marine transgression.

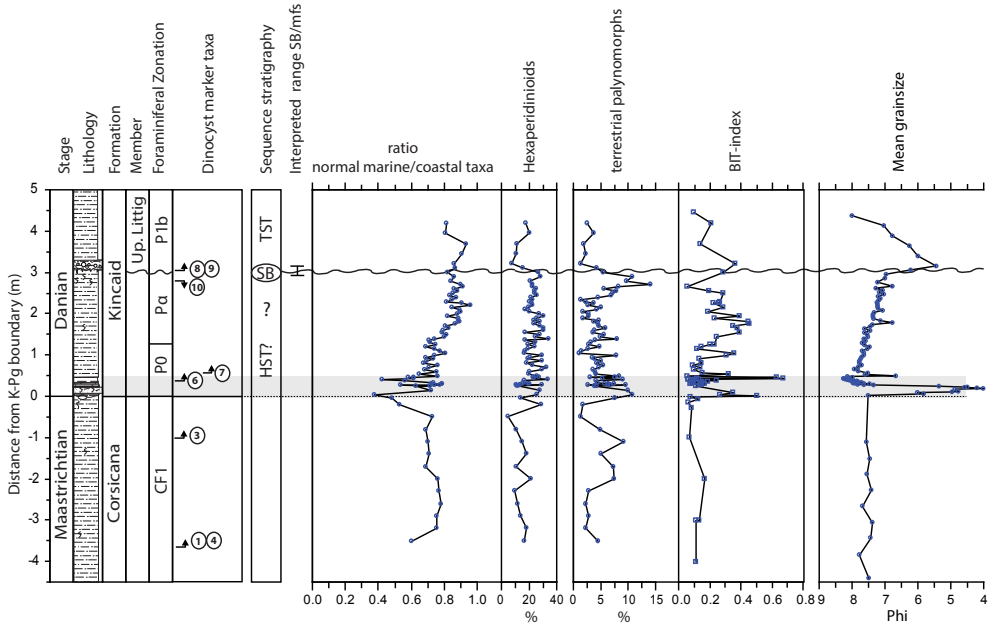


Figure 6
 Brazos River, Texas: Foraminiferal zonation, dinocyst marker taxa and BIT-index data from Vellekoop et al. (2014). Normal Marine/Coastal taxa ratio and percentages of Hexaperidinioid DC and terrestrial palynomorphs and BIT-index data across the K-Pg boundary interval. For the numbers of dinocyst events, see Figure 2. Grainsize data from Vellekoop et al. (2014). The grey band indicates the interval with tsunami- and post-impact storm-deposits. The best-estimate range within which the interpreted SB falls is indicated in the figure.

The hiatus representing the interval correlative to foraminiferal Zone P1a likely signifies an erosional event, corresponding to a LST. Therefore, the interval below this hiatus most likely represents a regression. This could mean that, at Brazos, the NM/C index responds to environmental parameters that are not related to coastal proximity. The overlying upper Littig Member is characterized by a decrease in hexaperidinioids, the BIT-index and of the grainsize, suggesting that this member represents the base of a TST (Fig. 6).

5.5 Mid-Waipara, New Zealand

Across the K-Pg boundary at mid-Waipara River, hexaperidinioid taxa increase in abundance and terrestrial palynomorphs become more abundant (Fig. 7). The interval correlative to foraminiferal zones P0 and P α , i.e. the lowermost Danian, is characterized by a strong decrease the NM/C index. At ~1 m above the K-Pg boundary, terrestrial palynomorphs are most abundant and the NM/C index is lowest. This suggest that at mid-Waipara, the K-Pg boundary transition is characterized by an increase in coastal proximity, representing a HST, with a SB in the interval representing P α to P1a. Above this, hexaperidinioids the NM/C index increases and terrestrial palynomorphs decrease in abundance, suggesting a marine transgression. This transgression marks the transition to the Loburn Fm (Fig. 7).

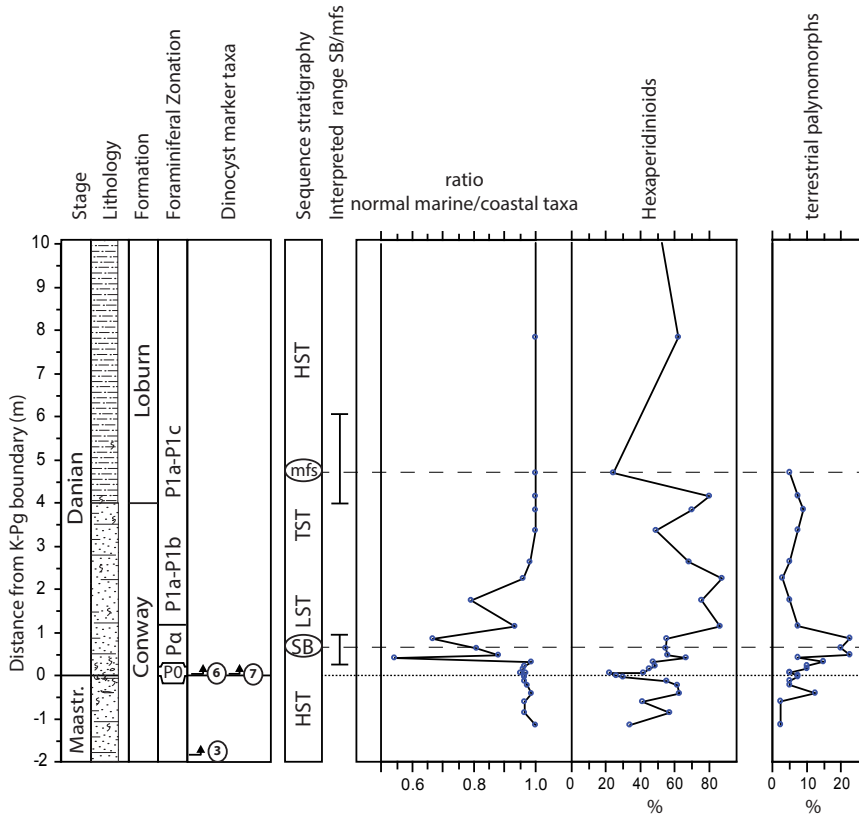


Figure 7

Mid-Waipara River, New Zealand: Foraminiferal zonation and dinocyst marker taxa from Willumsen et al. (2012), palynological data from Willumsen (2003). Normal Marine/Coastal taxa ratio and percentages of Hexaperidinioid DC and terrestrial palynomorphs across the K-Pg boundary interval. For the numbers of dinocyst events, see Figure 2. The best-estimate ranges within which the interpreted MFS and SB fall are indicated in the figure.

5.6 Bajada del Jaguél, Argentina

The palynological record of the Bajada del Jaguél section is dominated by normal marine dinocyst taxa and hexaperidinioids, suggesting a depositional environment characterized by high nutrient availability and/or salinity changes. The lower 15 m of the studied interval are characterized by a decrease in abundance of hexaperidinioids, decreasing BIT-index values and decreasing abundances of terrestrial palynomorphs (Fig. 8), suggesting a marine transgression. Between 15 and 5 m below the boundary, the NM/C index also shows an increasing trend, confirming the transgression. Between 8 and 4 m below the K-Pg boundary, the NM/C index is highest and hexaperidinioids are relatively low in abundance (~20-40% of the assemblage). At ~4m below the boundary, BIT-index values are lowest (0.09; Fig. 8), suggesting maximum flooding. From ~4-5 m below the boundary, hexaperidinioids, terrestrial palynomorphs and the BIT-index show an increasing trend, while the NM/C index shows lower values, indicating increasing coastal influences. The gradual change to more silty (i.e. coarser) sediment is in agreement with this. These results suggest that at the Bajada del Jaguél, the K-Pg boundary interval represents a HST. At 1-1.5

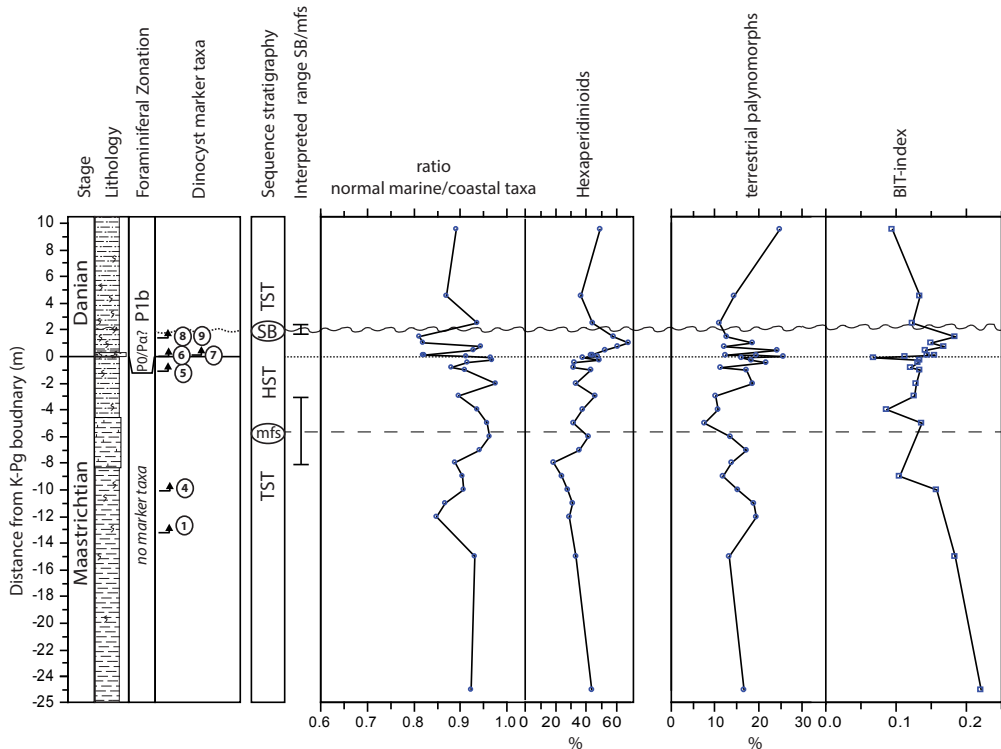


Figure 8

Bajada del Jaguél, Argentina: Foraminiferal zonation after Keller et al. (2007). Palynological and organic geochemical data from this study. Normal Marine/Coastal taxa ratio and percentages of Hexaperidinioid DC and terrestrial palynomorphs and BIT-index data across the K-Pg boundary interval. For the numbers of dinocyst events, see Figure 2. The best-estimate ranges within which the interpreted MFS and SB fall are indicated in the figure.

m above the boundary, hexaperidinioids and the BIT-index peak, indicative of a SB. Above the possible erosional surface at ~2m above the boundary, BIT-index values drop, hexaperidinioids decrease in abundance and normal marine dinocyst taxa recover. This suggests that the surface at ~2m above the boundary represents a Transgressive Surface (TS) and the overlying interval a TST (Fig. 8).

5.7 K-Pg dinocyst chronostratigraphy

Apart from reconstructing changes in coastal proximity, dinocysts are also very useful as a biostratigraphic tool. Notably across the K-Pg boundary interval, dinocyst biostratigraphy allows a very high resolution stratigraphic zonation (Brinkhuis et al., 1998; Vellekoop et al., 2014). The combined dinocyst records generated in this study show a distinct succession of global First and Last Occurrences (FO respectively LO) of dinocyst index taxa (Figs. 2-8). Based on the now established, robust ages of these dinocyst events, e.g., employing also earlier work of Brinkhuis et al. (1998), Williams et al. (2004) and Vellekoop et al. (2014), a very high resolution, global biochronology across the K-Pg boundary may now be established (Fig. 9).

5.8 Global sea level changes across the K-Pg boundary

Although local expressions of sea level variations can differ substantially between sites and basins, the sequence stratigraphic interpretations of the studied lithological, sedimentological, palynological and organic geochemical records are very consistent. Based on planktic foraminiferal and dinocyst biochronology, the sites can now be highly accurately stratigraphically correlated (Fig. 9). Using best-estimate, absolute ages of biostratigraphic events, defined at chronostratigraphically well-constrained sections such as the El Kef K-Pg boundary GSSP, the reconstructed sea level changes can be tied to an absolute timescale (Fig. 9). The results show that at the six different sites, across five different continents, the records clearly demonstrate a globally quasi-isochronous maximum flooding occurring some ~250 kyrs before the end of the Maastrichtian, followed by a relatively strong regression across the K-Pg boundary. In all studied records, this long-term regressive trend continues across the boundary, in the interval correlative to foraminiferal Zone P0, reaching an ultimate lowstand in the interval correlative to foraminiferal zones P α to P1a. Typically, in most of the more proximal sites, this lowstand is represented by a distinct hiatus (Adatte et al., 2002a; Abramovich et al., 2002; Macleod and Keller, 1991). Furthermore, the interval correlative to the basal part of foraminiferal Zone P1b, 0.75-1 Myr after the K-Pg boundary, is characterized by a marked, strong marine transgression, a distinctive feature in many K-Pg boundary sections worldwide. Collectively, these results suggest that the recorded sea level changes are indeed global in nature.

Although our records clearly indicate the globally quasi-synchronous nature of these long-term sea level changes, it is difficult to accurately constrain the amplitude of these changes. The few available paleodepth estimates of the sites investigated in this study widely diverge (e.g. Bourgeois et al., 1988; Smit et al., 1996), as estimating absolute water depth remains problematic. Since estimates of palaeodepth differ per study and per site, the estimated amplitude of sea level changes also greatly varies. However, the fact that sea level changes are recorded in the sedimentological and biological records of middle to outer neritic sites suggest that they are of considerable magnitude, i.e. in the order of some tens of meters (>10m).

5.9 Mechanisms for global sea level changes

When the K-Pg sea level trends are compared to available temperature proxy records across this interval, a correlation is immediately apparent. In both bottom water temperature records (benthic foraminiferal $\delta^{18}\text{O}$; Westerhold et al., 2011) and surface water temperature records from a mid-latitude setting (planktic foraminiferal $\delta^{18}\text{O}$ and TEX₈₆; Olsson et al., 2002 and Chapter 6 of this thesis) relative warm temperatures appear to coincide with sea level maxima (Fig. 10).

This observation suggests a relationship between sea level and temperature. Thermal expansion of ocean water alone however appears insufficient to explain the inferred magnitude of the sea level changes, as the temperature-density relationship of seawater ($1.9 \times 10^{-2} \text{ \%volume}/^\circ\text{C}$) requires unreasonable temperature changes (tens of degrees K) to explain tens of meters of sea level change.

Discussion exists on whether the Late Cretaceous-Paleocene greenhouse world had continental ice sheets of a size that could be responsible for glacioeustatic changes of tens of meters (DeConto and Pollard, 2003; Miller et al., 2005). Coupled climate-ice sheet modelling suggests that ice sheets with sufficient volume could have existed in alpine Antarctic regions in the Cretaceous-Paleogene greenhouse world (DeConto and Pollard, 2003). With varying atmospheric CO₂ and orbital parameters, these ice sheets could have been responsible for sea level variations in the order of tens of meters. Supporting evidence for such a notion is however lacking.

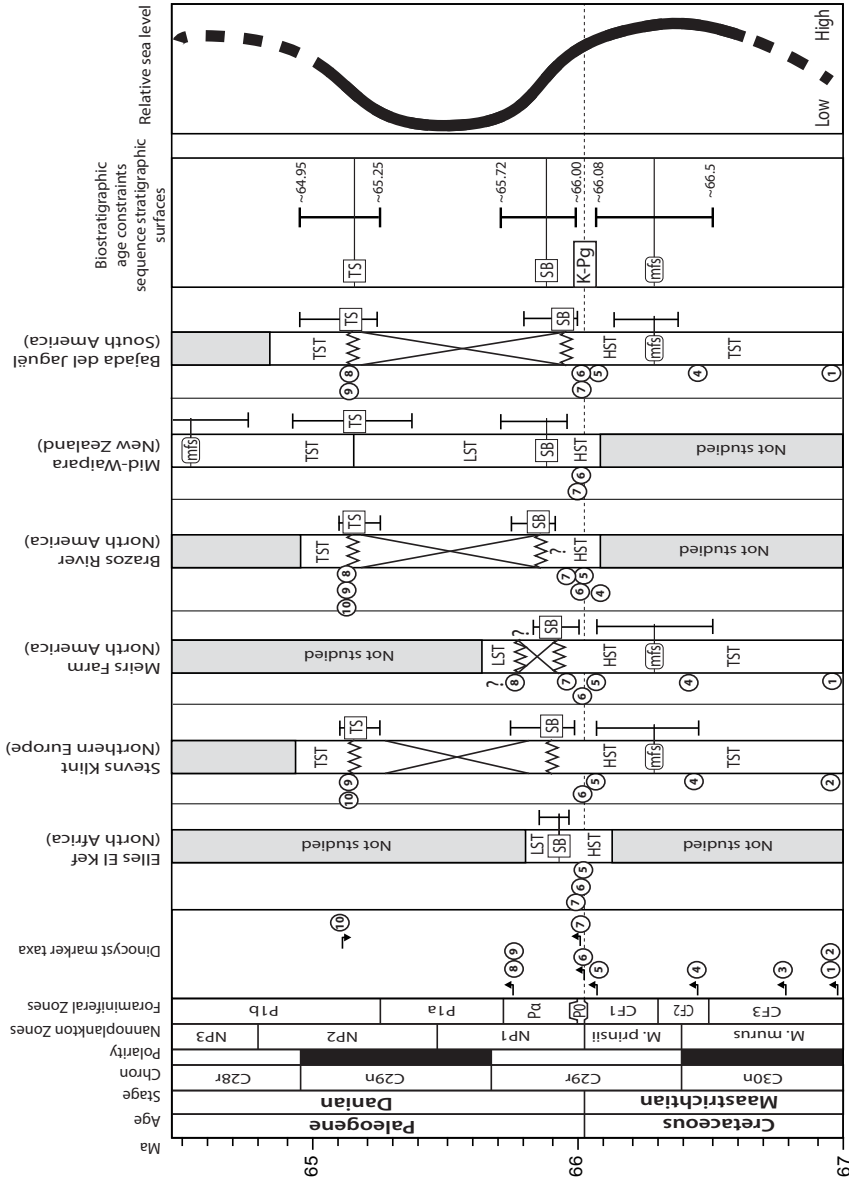


Figure 9
 Compilation of latest Maastrichtian and early Danian sequence stratigraphies; biostratigraphic age constraints on sequence stratigraphic surfaces and interpreted global relative sea level changes. Absolute ages of magnetic reversals and biostratigraphic zonation following Gradstein et al. (2012). Ages of dinocyst events following Brinkhuis et al. (1998), Williams et al. (2004) and Vellekoop et al. (2014). For the numbers of dinocyst events, see Figure 2. Per site, the observed dinocyst stratigraphic events are indicated at their respective levels.

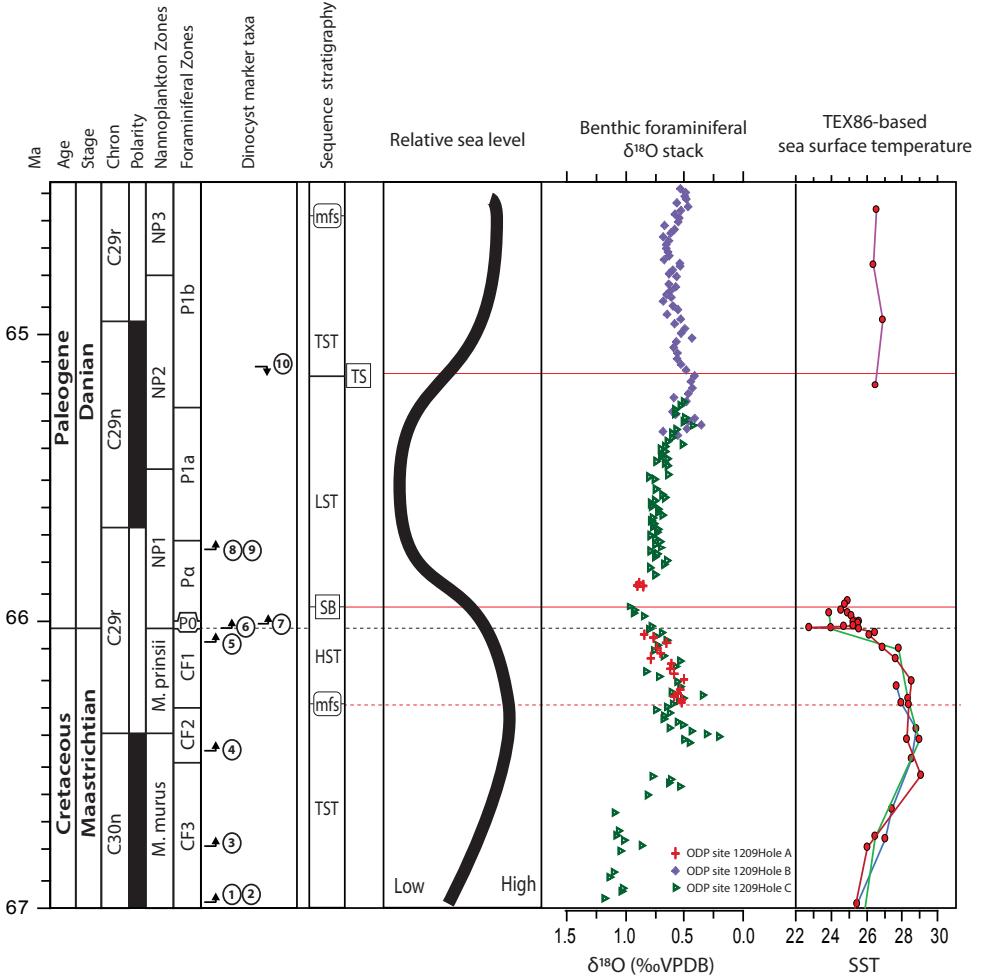


Figure 10

Comparison of latest Maastrichtian and early Danian sequence stratigraphy; interpreted global relative sea level changes and bottom and surface water temperature proxy records across this interval. Benthic foraminiferal $\delta^{18}\text{O}$ stack from ODP site 1209 (Westerhold et al., 2011) and TEX₈₆ stack from New Jersey (Chapter 6 of this thesis). The age model of ODP site 1209 is adapted to the age model of the present study. For the numbers of dinocyst events, see Figure 9.

The timing of the global latest Maastrichtian and subsequent early Danian transgression approximately correlate to the two largest outpouring phases of the Deccan Traps Large Igneous Province (LIP) in modern day India, respectively (Chenet et al., 2007; Keller et al., 2011). This may be taken to suggest a potential relationship to the global sea level variations as well. Rapid submarine uplift during outpouring phases could have decreased the total volume of the global ocean basins, potentially leading to sea level rises in the order of several meters (Müller et al., 2008). Since some of the strongest warming phases in the late Maastrichtian and Danian correlate to the outpouring phases of this LIP (Olsson et al., 2002; Keller et al., 2011; Chapter 6 of this thesis),

climate change related to the Deccan Traps might also play a significant role in explaining sea level change.

With all these different possible mechanisms in play, it remains difficult to identify the principal causes for the long term sea level variations. An interplay between different mechanisms seems very likely. If small Antarctic ice sheets were present, Deccan Traps outpouring of greenhouse gasses will not only have caused a decrease of the total volume of the global ocean basins, but the related global warming will also have resulted in the thermal expansion of ocean water and partial melting of ice sheets. The combination of these effects might be responsible for the observed sea level highs. The quiescence of the Deccan Traps and the build-up of small ice sheets will in turn have caused the observed regression across the K-Pg boundary.

6. Conclusions

Palynological, lithological and organic biomarker records show that geographically widely separated sections from five different continents, across both hemispheres, show concurring changes in coastal proximity across the Cretaceous-Paleogene interval. Collectively, the evidence points towards globally synchronous, long term (~1 Myr) sea level change. The records indicate that the late Maastrichtian was characterized by maximum flooding, followed by a regression in the latest Maastrichtian and across the K-Pg boundary. A sea level lowstand is recorded in the earliest Danian in sections worldwide.

The mechanism(s) underlying these global sea level variations remain(s) enigmatic. An interplay between different mechanisms may be considered. For example, if relatively small Antarctic ice sheets were present around this time, they could have been effected by the global warming potentially caused by Deccan Traps outpouring of greenhouse gasses. In such a situation, the outpouring of the Deccan Traps will not only have caused a decrease of the total volume of the global ocean basins and the thermal expansion of ocean water, but will also have resulted in partial melting of these ice sheets. The combination of these effects might be responsible for the observed sea level highs. In such a setting, the strong regression across the K-Pg boundary will have been related to the quiescence of the Deccan Traps and the coinciding build-up of small Antarctic ice sheets.

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Nederlandse samenvatting

Ongeveer 66 miljoen jaar geleden, op de grens tussen de geologische tijdperken Krijt en Paleogeen, vond een massa-uitsterving plaats, een van de meest catastrofale gebeurtenissen in de geschiedenis van het leven. Deze gebeurtenis betekende het einde van het tijdperk van de Dinosauriërs. Naast de Dinosauriërs stierven ook vele andere planten en dieren uit, uiteindelijk ongeveer de helft van alle soorten op Aarde. De catastrofe op de Krijt-Paleogeengrens (afgekort als de K-Pg-grens) staat bekend als een van de 'grote vijf' massa-extincties die de geschiedenis van de Aarde kenmerken. Het is tegenwoordig algemeen geaccepteerd dat dit massale uitsterven werd veroorzaakt door de gevolgen van de inslag van een asteroïde met een diameter van ongeveer 10 km, op het huidige schiereiland Yucatan in Mexico. Bewijzen voor deze inslag bestaan onder andere uit de grote inslagkrater op Yucatan en een wereldwijde sedimentlaag met materiaal dat bij de inslag is vrijgekomen, een zogenaamde *ejectalaag*. Deze ejectalaag wordt gekenmerkt door uitzonderlijk hoge concentraties van iridium en andere elementen uit afkomstig van de asteroïde en door andere bewijzen voor een kosmische inslag, zoals zogenaamde *microkrystieten* en door de inslag 'geschokte' kwartskorrels.

Na decennia van onderzoek begint de internationale wetenschappelijke focus langzamerhand te verschuiven van de vraag óf zulke inslagen inderdaad kunnen plaatsvinden, naar de vraag wat de gevolgen van zulke inslagen voor ecosystemen wereldwijd kunnen zijn en hoe het ecologische en biologische herstel verloopt na dergelijke grote milieuverstoringen. Een uniek aspect van de K-Pg-grens is de tijdschaal waarop deze verstoringen plaatsvonden. De catastrofe op de K-Pg-grens kan worden gezien als één van de meest abrupte gebeurtenissen uit de geschiedenis van het leven.

De inslag leidde tot een opeenvolging van regionale en wereldwijde catastrofes, waaronder aardbevingen, tsunami's, een zogenaamde 'vuurbalfase' met wereldwijde bosbranden, afbraak van de ozonlaag, zure regen en een wereldwijde 'inslagwinter' als gevolg van stof en roetdeeltjes die door de inslag in de stratosfeer werden geschoten. Dit stof werd deels rechtstreeks in de stratosfeer gebracht door de kracht van de inslag, maar waarschijnlijk ook door hypothetische super-orkanen, zogenaamde 'hypercanes'. Inslagmodellen voorspellen dat het stof en roet in de stratosfeer het zonlicht geheel of gedeeltelijk blokkeerde, wat leidde tot een kortstondige, maar sterke daling van de temperatuur op aarde, een zogenaamde 'inslagwinter'. Verschillende scenario's suggereren dat deze periode, gekenmerkt door zowel duisternis als sterke afkoeling, een duur had van tussen de zes maanden tot meer dan een decennium. Een dergelijke inslagwinter zou een ongekend grote milieuverandering betekend hebben en daarom buitengewoon stressvol zijn geweest voor het leven op Aarde. Het valt daarom te verwachten dat deze kortstondige periode een van de belangrijkste oorzaken is geweest voor het massale uitsterven op de Krijt-Paleogeengrens.

De initiële, kortstondige catastrofes werden waarschijnlijk opgevolgd door de langetermijngevolgen van de inslag op de K-Pg-grens. Koolstofdioxide (CO₂) dat vrijkwam uit de bij de inslag getroffen gesteentes zorgde waarschijnlijk voor een verstrekt broeikas-effect nadat het stof en roet uit de atmosfeer waren neergeregend. Uiteindelijk zal dit tot sterke, wereldwijde klimaatverandering geleid hebben. Door het uitsterven van planktongroepen op de K-Pg-grens en de wijdverbreide vernietiging van vegetatie werd het CO₂ waarschijnlijk minder snel weer afgevangen. Het versterkte broeikas-effect, zoals dat na de inslag ontstond, bleef daardoor waarschijnlijk duizenden tot tienduizenden jaren in stand.

Hier bovenop moet het grootschalige uitsterven onder primaire producenten (planten, plankton en algen) geleid hebben tot herstructureringen van voedselwebben en de korte- en lange koolstofcyclus. Het verdwijnen van het verschil in koolstofisotopenwaardes tussen het oppervlaktewater van de oceanen en de zeebodem, zoals plaatsvond op de K-Pg grens, wordt geïnterpreteerd als een beperking of verandering van het transport van organisch materiaal naar de zeebodem. Het uitsterven van grotere in het zeewater levende grazers (zoals macrozoöplankton en vissen), of een verschuiving van dominantie van grazers die zogeheten *fecal pellets* (kleine 'keutels') maken (bijvoorbeeld vissen) naar grazers die dat niet doen (bijvoorbeeld kwallen) zou het samenklonteren van biomassa kunnen hebben doen afnemen. Hierdoor zouden uiteindelijk minder snel deeltjes gevormd kunnen worden die groot genoeg zijn om naar de zeebodem te zinken. Deze situatie hield de eerste 1-3 miljoen na de inslag stand.

De wereldwijde klimatologische en biologische gevolgen van de inslag op de K-Pg-grens vonden plaats bovenop 'achtergrond'-milieuveranderingen over langere tijdschalen, die niet gerelateerd waren aan de inslag. Eén van de klimaatveranderingen die mogelijk over langere tijdschalen plaatsvonden over het K-Pg-interval is de veronderstelde opwarming van de Aarde ten gevolge van de uitstoot van broeikasgassen tijdens uitvloeiingsfasen van de vulkanen van de Deccan Traps, in hedendaags India, een zogenaemde *large igneous province*. De eruptieve fasen van de Deccan Traps zijn herkenbaar aan grote verschuivingen in de isotopenwaardes van osmium en strontium, wat gelinkt wordt aan een toename van de verwerking van basalten.

De broeikaswereld aan het einde van het Krijt werd gekenmerkt door een wereldwijd hoge zeespiegel, wat resulteerde in de verbreiding van grote, ondiepe zeeën op bijna alle continenten. Desondanks lijken het laat-Krijt en vroege Paleogeen gekenmerkt door raadselachtige zeespiegelveranderingen over langere tijdschalen. Hierdoor varieert de expressie en stratigrafische volledigheid van ondiep-mariene afzettingen van de K-Pg-grens, wat complete en accurate reconstructie van klimatologische en biologische veranderingen over de K-Pg-grens bemoeilijkt.

Om de ware schaal van de consequenties van de K-Pg-grens inslag te doorgronden zullen de gevolgen van de inslag moeten worden onderscheiden van de langetermijnmilieuveranderingen. 'op de achtergrond'. Echter, hoewel decennia aan onderzoek aan de K-Pg-grens tot veel nieuwe inzichten hebben geleid, ontbreken nog steeds studies die gedetailleerd en gekwantificeerd genoeg zijn om eventuele wereldwijde milieuveranderingen ten gevolge van de inslag te achterhalen. Daarom zijn de drie belangrijkste doelen van dit proefschrift (1) het documenteren van regionale en wereldwijde klimatologische, oceanografische en biologische gevolgen van inslag op de K-Pg-grens, in het bijzonder de veranderingen over korte tijdschalen (10-1000 jaar), (2) het documenteren van de ecologische opeenvolging en het biologisch herstel op de lange termijn na de K-Pg-catastrofe en (3) het plaatsen van deze veranderingen in een context van milieuveranderingen die op de achtergrond, over langere tijdschalen plaatsvonden.

In de afgelopen decennia zijn uiteenlopende biologische en geochemische technieken gebruikt in een poging om de kortstondige globale veranderingen en verstoringen van de koolstofcyclus over de K-Pg grens heen te ontrafelen. De gebruikte technieken hebben elk hun krachten en zwaktes. Daarom wordt in dit proefschrift een *multi-proxy* aanpak toegepast, waarbij verschillende *tools* en technieken gecombineerd worden.

Veel van de organismen die traditioneel gebruikt worden voor palaeomilieu-reconstructies, zoals planktische foraminiferen, werden op de K-Pg-grens zwaar getroffen, waardoor accurate reconstructies over dit tijdsinterval problematisch zijn. De dinoflagellaten, een in de zee levende planktongroep, werden echter nauwelijks getroffen door de K-Pg-crisis. Brinkhuis et al. (1998)

hebben aangetoond dat kwantitatieve analyse van de door dinoflagellaten gemaakte cysten gebruikt kan worden om hypotheses omtrent de effecten van de K-Pg-inslag te testen. De migratie van dinoflagellaten kenmerkend voor hogere breedtegraden richting de tropen, zoals over de K-Pg-grens heen plaatsvond, suggereert dat de K-Pg-inslag resulteerde in oceanografische veranderingen over millennia, waarschijnlijk gerelateerd aan een korte 'inslagwinter'-fase. Deze migraties zijn tot nu toe echter nog niet bevestigd door andere studies en het is onduidelijk of, en zo ja hoe ze gerelateerd zijn aan klimaatveranderingen onafhankelijk van de inslag. Daarnaast suggereren voorgaande studies dat deze eerste fase juist werd opgevolgd door een toename in concentraties van tropische dinoflagellaten. Deze toename wordt geïnterpreteerd als een tienduizenden jaren durende opwarming van het klimaat, volgend op de inslagwinter. Regionaal kunnen dit soort signalen echter getemperd worden door langetermijngevolgen van de afkoeling van oppervlakte en dieper oceanwater gedurende de inslagwinter.

Vorige studies gebaseerd op de organische cysten van dinoflagellaten, zogenaamde *dinocysten*, geven ook raadselachtige zeespiegelveranderingen weer gedurende het tijdinterval van de K-Pg-grens. Hoewel deze zeespiegelveranderingen een accurate reconstructie van klimatologische en biologische veranderingen over de K-Pg-grens heen bemoeilijken kan de mate van stratigrafische volledigheid worden uitgezocht door biostratigrafie op basis van dinocysten en planktische foraminiferen te combineren.

Hieruit kunnen wij concluderen dat de kwantitatieve studie van dinocysten, ook wel mariene palynologie genoemd, gebruikt kan worden om zowel milieuveranderingen op de K-Pg-grens alsmede ook 'achtergrond'-milieuveranderingen, zoals de globale zeespiegelhistorie, te documenteren. Deze *tool* is vooral zeer effectief wanneer toegepast op relatief kustnabije afzettingen. Dergelijke milieus worden gekenmerkt door hoge sedimentatiesnelheden, waardoor relevante informatie over bijvoorbeeld zeespiegel, productiviteit en het zoutgehalte en temperatuur van het zeewater het duidelijkste gereconstrueerd kan worden. Daarnaast kunnen met de hoge temporale resolutie die op deze manier verkregen wordt *leads and lags* tussen deze parameters herkend worden. Daarom wordt in dit proefschrift de focus gelegd op dergelijke, ondiep mariene sedimentaire afzettingen van de K-Pg-grens.

Net als bij soortgelijke studies op stratigrafisch uitgebreide afzettingen van het Paleoceen-Eoceen Thermisch Maximum kunnen op deze manier ecologische en klimatologische veranderingen over tijdschalen van millennia worden gereconstrueerd over de Krijt-Paleoceengrens. Tot nu toe hebben er echter heel weinig van dergelijke studies plaatsgevonden over de K-Pg-grens, resulterend in een beperkte geografische dekkingsgraad.

Daarnaast, hoewel met behulp van de analyse van dinocysten duidelijke trends in parameters als zoutgehalte en temperatuur van het zeewater onderscheiden kunnen worden, is de kwantificatie van dergelijke trends niet mogelijk met alleen palynologie. Naast mariene palynologie kunnen organisch-geochemische technieken extra inzichten verschaffen in de biologische- en milieuveranderingen over de K-Pg-grens heen. Belangrijker nog, met behulp van deze *toolbox* kunnen deze veranderingen ook gekwantificeerd worden. In de afgelopen jaren is onder andere een nieuwe techniek ontwikkeld om de absolute temperatuur van oppervlaktezeewater te reconstrueren aan de hand van vetten, zogeheten GDGTs, afkomstig van de celwanden van in zeewater levende archaea (microben): de TEX₈₆ index. Deze 'paleo-thermometer' is gebaseerd op de analyse van de distributies van deze vetten in mariene sedimenten. Deze techniek is bijzonder succesvol in combinatie met mariene palynologie en is reeds succesvol toegepast in *deep time*. Daarnaast kunnen ander organische biomarker technieken op basis van GDGTs, zoals de *Brachid and Isoprenoid Tetraether* (BIT) index, ook toegepast worden om relatieve zeespiegelveranderingen te

reconstrueren. Tot nu toe zijn er echter nog geen hoge-resolutie studies op de K-Pg-grens geweest die waren gebaseerd op GDGTs. Daarom kan de combinatie van palynologische en organisch geochemische analyses gebruikt worden om de inzichten in zowel de kortstondige als langetermijn biologische en klimatologische veranderingen over de K-Pg-grens heen te vergroten.

In dit proefschrift zullen dus hoofdzakelijk ‘*organic tools*’, zoals kwantitatieve dinocysten analyse (mariene palynologie) en op GDGTs gebaseerde technieken, gebruikt worden op nieuwe inzichten te verkrijgen in de klimatologische en biologische gevolgen van de inslag op de Krijt-Paleogeengrens, zowel op de korte- als lange termijn.

Alleen door te richten op sedimentaire archieven die gekenmerkt worden door hoge sedimentatiesnelheden maar toch stratigrafisch compleet zijn kunnen we de biologische- en milieuveranderingen over zeer korte tijdschalen achterhalen en daarmee het onderscheid maken tussen ‘achtergrond’-milieuveranderingen over langere tijdschalen en die ten gevolge van de inslag.

Het is bovendien essentieel om een werkelijk globaal beeld te vormen van de milieuveranderingen rond de inslag. In onze poging om bruikbare locaties te vinden hebben we ons daarom ook gericht op regio’s waar tot nu toe weinig K-Pg locaties zijn.

Daarom wordt in **Hoofdstuk 2** een nieuwe K-Pg-grens lokaliteit gepresenteerd, waarbij een geochemische en paleontologische kenschets van een nieuwe, stratigrafisch complete K-Pg-grens afzetting in het Turkse Mudurnu-Göynük bekken wordt verschaft.

Dit hoofdstuk behandelt de kalk-nannofossiel-, planktische foraminifeer- en dinocysten-biostratigrafie van de K-Pg-grens, stabiele koolstofisotopen en de klassieke geochemische merkers van de K-Pg-grens: siderofiele sporenelementen, waaronder iridium en andere elementen afkomstig van de asteroïde.

In **Hoofdstuk 3** worden de klimatologische gevolgen van de inslag op de K-Pg-grens over zeer korte tijdschalen (<1000 kyr) onderzocht met behulp van een belangrijke, stratigrafisch uitgebreide sectie gesitueerd in de midden-breedtegraden.

In dit hoofdstuk zijn we in staat om veranderingen van de temperatuur van het zeewateroppervlakte over de K-Pg-grens heen te reconstrueren op een tot nu toe onovertroffen temporale resolutie, met behulp van de TEX₈₆ paleo-thermometer, toegepast op de sedimenten van de Brazos River sectie in Texas, in de Verenigde Staten. Wij documenteren een substantiële (i.e. >7 graden C) afname in de temperatuur van het oppervlaktezeewater tijdens de eerste maanden tot decennia na de inslag. We interpreteren deze koudegolf als het eerste rechtstreekste bewijs voor de effecten van de vorming van stof en roet bij de inslag en van hun injectie in de stratosfeer, waardoor inkomend zonlicht werd geblokkeerd. Deze inslagwinter was waarschijnlijk een belangrijke oorzaak van de massa-extinctie, omdat fotosynthese ineens stortte, zowel op land als in de zee.

In **Hoofdstuk 4** worden de biologische consequenties van klimaatverandering over korte tijdschalen op de K-Pg-grens geëvalueerd. We hebben een hoge-resolutie mariene palynologische studie uitgevoerd op een nauw gespatieerde set monsters van de Elles-sectie in Tunesië. Het doel was om een reconstructie te maken van het paleomilieu en paleoklimaat rond de K-Pg-grens, om de eerder op de nabijgelegen El Kef-sectie (de *Global Stratotype Section and Point* van de K-Pg-grens) gereconstrueerde milieuveranderingen te verifiëren en verfijnen.

De diverse en goed gepreserveerde dinocysten assemblages van Elles laten sterke fluctuaties zien, gelijkend op die van El Kef. Daarmee bevestigt deze record de eerder gereconstrueerde signalen, duidend op snelle, regionaal consistente milieuveranderingen. Tezamen tonen de dinocyst records van Tunesië aan dat het laatste deel van het Krijt werd gekenmerkt door een graduele afkoeling, die samenging met het begin van een relatieve zeespiegeldaling. De dinocyst-assemblages

onthullen meerdere invasies van dinocyst-soorten kenmerkend voor hogere breedtegraden in het tijdsinterval onmiddellijk na de extinctie, de eerste duizenden jaren na de inslag. Dit wordt geïnterpreteerd als herhaalde afkoelingspulsen. Deze resultaten suggereren dat het klimaat en milieu van het vroegste deel van het Paleogeen onstabiel was op het continentaal plat van Tunesië.

In **Hoofdstuk 5** wordt het biologisch herstel op korte- en lange termijn volgend op de K-Pg-grens catastrofe verder geëvalueerd.

Om reconstructies van mariene milieus over het K-Pg-interval heen, dus voor en na de inslag, mogelijk te maken moet de focus liggen op biologische groepen die geen noemenswaardige extinctie ondervonden door de inslag, zoals benthische foraminifera en dinoflagellaten. Daarom integreren we in dit hoofdstuk analyse van dinocysten en benthische foraminifera van de recentelijk ontdekte, stratigrafisch complete Okçular en Göynük North secties in noordwest Turkije, met als doel uit te zoeken hoe de biologische crisis op de K-Pg-grens in de Tethys Oceaan zowel het oppervlaktewater als de bodem van de zee beïnvloedde.

Onze resultaten tonen aan dat gedurende de initiële fase na de inslag de ineenstorting van *export productivity* (het transport van biomassa van het oppervlaktewater naar de zeebodem) resulteerde in de toename van de recycling van nutriënten in het oppervlaktewater. Dit zorgde voor een beperktere beschikbaarheid van voedingsstoffen op de zeebodem, terwijl meer voedingsstoffen beschikbaar waren voor de aan het zeeoppervlak levende organismen van het vroegste Paleogeen.

In **Hoofdstuk 6** worden de bewijzen voor een zogenaamde 'inslagwinter' na de inslag op de K-Pg-grens geverifieerd en gepresenteerd in een bredere context van klimaatverandering over langere tijdschalen.

Met dit doel hebben we gebruik gemaakt van hoge resolutie mariene palynologie en de TEX₈₈ methode om de temperatuur van het oppervlaktezeewater te reconstrueren. Deze technieken werden toegepast op 4 stratigrafisch uitgebreide boorkernen, genomen op het voormalige continentaal plat van New Jersey, in het oosten van de VS. Deze boorkernen omvatten het K-Pg-grensinterval. Dit nieuwe archief geeft klimaatverandering op een langere tijdschaal weer, onder andere ten gevolge van het vulkanisme van de Deccan Traps, en bevestigt de korte inslagwinterfase direct na de K-Pg inslag.

In **Hoofdstuk 7** worden de raadselachtige zeespiegelveranderingen over het K-Pg-grensinterval heen onderzocht.

In dit hoofdstuk vergelijken wij sedimentologische, palynologische en organisch-geochemische records van enkele van de meest bekende marginaal-mariene K-Pg-grenssecties wereldwijd: Elles El Kef (Tunesië), Stevns Klint (Denemarken), New Jersey (de VS), Brazos River (de VS), Mid-Waipara River (Nieuw Zeeland) en Bajada del Jaguël (Argentinië).

Gezamenlijk wijzen deze records richting globaal synchrone zeespiegelveranderingen over het K-Pg-interval. De bewijzen suggereren dat het hoogste zeespiegel wereldwijd werd bereikt in het laatste deel van het Krijt, gevolg door een relatief sterke zeespiegeldaling over de K-Pg-grens heen, om uiteindelijk het laagste zeespiegel te bereiken in het vroegste Paleogeen. Deze episode werd globaal gevolgd door een duidelijke zeespiegelstijging

Deze globaal synchrone relatieve zeespiegelveranderingen zijn niet gerelateerd aan de inslag op de K-Pg-grens. De fluctuaties lijken synchroon te lopen met zuurstofisotopencurves van benthische foraminiferen en TEX₈₆ curves, wat een koppeling tussen temperatuur en zeespiegel suggereert.

Samenvattend levert dit proefschrift een gedetailleerde weergave van de milieuveranderingen over langere tijdschalen over het K-Pg-grensinterval en van de regionale en globale klimatologische en biotische effecten van de inslag op de K-Pg-grens. Deze inslag was een van de meest catastrofale

gebeurtenissen uit de geschiedenis van het leven, resulterend in een opeenvolging van regionale en globale rampen, waaronder tsunami's, een 'vuurbalfase' en een daaropvolgende globale inslagwinter, als gevolg van stof en roet dat de atmosfeer in werd geschoten. Deze inslagwinter, gekenmerkt door duisternis en afkoeling, was waarschijnlijk een van de belangrijkste oorzaken van de massa-extinctie, omdat het resulteerde in de globale ineenstorting van fotosynthese.

De extincties leidden tot een afname van het transport van organisch materiaal van het oppervlaktewater naar de zeebodem, waardoor meer voedingsstoffen beschikbaar bleven voor de overlevende planktongroepen, waaronder dinoflagellaten. Het evolutionaire herstel van de planktongemeenschappen kostte honderdduizenden tot enkele miljoenen jaren, waaruit blijkt dat de snelle en kortstondige catastrofe op de K-Pg-grens bijzonder langdurige gevolgen had. De inslag en resulterende extincties veroorzaakten dus een grote verstoring van de globale koolstofcyclus en aanzienlijke globale klimaatverandering. De klimaatverandering over langere tijdschalen verschilde echter substantieel tussen verschillende locaties, bijvoorbeeld door onderlinge verschillen in oceanografische setting.

Deze klimatologische en biologische gevolgen van de K-Pg-inslag vonden plaats bovenop milieuveranderingen die over langere tijdschalen over dit tijdsinterval plaatsvonden, zoals een mondiale zeespiegeldaling en graduele afkoeling.

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Curriculum Vitae

Johan Vellekoop werd geboren op 4 mei 1987 in Smilde. In 2005 behaalde hij zijn VWO diploma op de Christelijke School Vincent Van Gogh in Assen en begon datzelfde jaar met de studie Aardwetenschappen aan de Universiteit van Utrecht. In het tweede jaar volgde hij het Bachelor track Biogeology, dat hij afsloot met een bacheloronderzoek bij de leerstoelgroep Palaeoecologie, van de Universiteit Utrecht. Zijn bacheloronderzoek, naar dinocystenassociaties uit het Albiaan van Hannover, Duitsland, werd begeleid door Henk Brinkhuis. In 2008 startte Johan de Master Biogeology aan de Universiteit, waarin hij in april 2009 zijn afstudeerscriptie begon bij Henk Brinkhuis. Hierbij reconstrueerde hij aan de hand van assemblages van dinoflagellatencysten paleomilieuveranderingen in Tunesië ten gevolge van de inslag op de Krijt-Paleogeengrens. In augustus 2009 ging hij naar Nieuw Zeeland voor een tweede onderzoeksproject, onder begeleiding van Chris Hollis van GNS Science en Jan Smit van de Vrije Universiteit van Amsterdam en in samenwerking met de leerstoelgroep Biomarine Sciences van de Universiteit Utrecht. Voor dit onderzoeksproject deed hij veldwerk aan verschillende Nieuw-Zeelandse ontsluitingen van de Krijt-Paleogeengrens en bestudeerde hij assemblages van dinoflagellatencysten van enkele van deze ontsluitingen, zowel voor stratigrafische doeleinden als voor de reconstructie van paleomilieuveranderingen. Na het behalen van zijn MSc. in 2010 begon hij in september dat jaar zijn promotieonderzoek bij de leerstoelgroep Biomarine Sciences, onder begeleiding van Henk Brinkhuis, Jaap Sinninghe Damsté, Appy Sluijs en Bas van de Schootbrugge. De resultaten van zijn promotieonderzoek zijn beschreven in dit proefschrift.

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