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1 Unexpected water uptake under drought conditions and thinning treatments in young and

- 2 overstocked lodgepole pine (*Pinus contorta*) forests
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#### 10 Abstract:

- 11 As drought and prolonged water stress become more prevalent in dry regions under climate
- 12 change, understanding and preserving water resources has become the focal point of many
- 13 conversations. Forest regeneration after deforestation or disturbance can lead to over-populated
- 14 juvenile stands with high water demands and low water use efficiency. Forest thinning improves
- tree health, carbon storage, and water use while decreasing stand demands in arid and semi-arid
- 16 regions. However, little is known about the impacts of over-population on seasonal variation in
- 17 depth to water uptake nor the magnitude of the effect of growing season drought conditions on
- 18 water availability, and existing reports are highly variable by climatic region, species, and
- thinning intensity. In this study, stable isotope ratios of hydrogen ( $\delta^2$ H) and oxygen ( $\delta^{18}$ O) in
- 20 water collected from soil varying depths and from twigs of lodgepole pine (*Pinus contorta*)
- 21 under different degrees of thinning (control: 27,000 stems per ha; moderately thinned: 4,500
- stems per ha; heavily thinned: 1,100 stems per ha) over the growing season and analyzed using
- the MixSIAR Bayesian mixing model to calculate the relative contributions of different water
- 24 sources in the Okanagan Valley in the interior of British Columbia, Canada. We found that
- 25 lodgepole pine trees shift their depth to water uptake depending on water availability under
- drought conditions and rely more heavily on older precipitation events that percolate through the
- 27 soil profile when shallow soil water becomes less accessible. Interestingly, forest thinning did
- 28 not cause a significant change in depth to water uptake. Our results support other findings by
- 29 indicating that although lodgepole pines are drought tolerant and have dimorphic root systems,
- 30 they cannot shift from deep water sources when shallow water becomes more available at the
- 31 end of the growing season.
- 32 Keywords: *Pinus contorta*; stable water isotopes; forest thinning; water use strategies;
- 33 preferential water uptake; dual-isotope analysis; Bayesian isotope mixing model; soil water
- 34 uptake; transpiration; the interior of British Columbia



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#### 1. Introduction

As forests recover after harvesting, carbon and water demands change, and future climate projections of increased drought severity will further complicate biogeochemical cycling and carbon-water trade-offs (Giles-Hansen et al., 2021; Wang et al., 2019). Regenerating stands add further stress on ecosystems; for example light competition in dense juvenile stands increases stand water demands by driving vertical growth and stand leaf area (Liu et al., 2011a). To mitigate this stress, management strategies such as systemic thinning of high-density juvenile stands promotes forest regeneration while decreasing competition and providing remaining vegetation with increased light availability, rooting space, nutrient access, and space for horizontal branch growth (Giuggiola et al., 2016). Over a variety of forest ecosystems, reductions in stand density have been shown to increase light availability, tree water use, carbon storage, and water use efficiency, an indication of improved tree health, and to decrease stand water use, reducing the intensity of water stress under drought conditions (Belmonte et al., 2022; Fernandes et al., 2016; Giuggiola et al., 2016; Liu et al., 2011b; Manrique-Alba et al., 2020; Molina & del Campo, 2012; Park et al., 2018; Sohn et al., 2012, 2016; Wang et al., 2019). Because the primary goal of forest thinning is to decrease stand water use and increase productivity, literature reporting the effects of this management strategy often focuses on changes in carbon storage, tree growth, transpiration, and water use efficiency (Giuggiola et al., 2016; Manrique-Alba et al., 2020; Park et al., 2018; Sohn et al., 2016). However, few studies have reported sources of water use and their shifting in association with thinning treatments in overstocked naturally-regenerating forests, particularly under drought conditions.

Quantifying stand water use is imperative to predicting the future of water availability in our ecosystems. However, various studies indicate that trees do not always use the most recent precipitation, and that vegetation can utilize different sources of water at different soil depths depending on availability or stress (Dawson & Pate, 1996; Grossiord et al., 2017; Wang et al., 2017). Many studies also report the depth of water uptake of various species and the relationship between co-existing species and shared water sources (Andrews et al., 2012; Brinkmann et al., 2019; Grossiord et al., 2017; Langs et al., 2020; Liu et al., 2015; Maier et al., 2019; Meinzer et al., 2007; Sánchez-Pérez et al., 2008; Szymczak et al., 2020; Wang et al., 2017; Warren et al., 2005). In arid and semi-arid regions where water is the limiting factor, some species have adapted to derive water from various depths depending on seasonal water variability and have higher ecological plasticity and drought tolerance (Langs et al., 2020; Wang et al., 2017). Understanding where in the soil profile plants use water over prolonged dry periods and at different stand densities is essential in assessing the impact of forest thinning and the relative importance of different seasonal water sources under future climate conditions and shifts in water availability in arid regions (Evaristo et al., 2015; Prieto et al., 2012; Sohn et al., 2016).

Stable isotope ratios can be used as powerful natural tracers to identify distinct water sources 71 72 such as rainfall, snow, groundwater, and stream flow (Brinkmann et al., 2018; Lin & da S. L. Sternberg, 1993; Sprenger et al., 2017; Stumpp et al., 2018). The isotopic signature of 73 precipitation events is altered by elevation, temperature, and evaporative fractionation creating 74 75 distinctive layers within the soil profile (Kleine et al., 2020; Sprenger et al., 2017; Stumpp et al., 2018). More specifically, soil water reflects precipitation events as they infiltrate through the soil 76 77 layer with the influence of evaporative fractionation until mixing with older groundwater and 78 depleted isotopes creating individualized isotopic signatures throughout the soil profile (Andrews https://doi.org/10.5194/egusphere-2024-88 Preprint. Discussion started: 25 January 2024 © Author(s) 2024. CC BY 4.0 License.





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79 & Science, 2009; Brinkmann et al., 2018; Dawson & Pate, 1996; Sprenger et al., 2017; Stumpp 80 et al., 2018). The isotopic composition of plant water corresponds to the water uptake depth in the soil profile (Brinkmann et al., 2019; Langs et al., 2020; Meinzer et al., 2007; Stumpp et al., 81 82 2018; Wang et al., 2017). Due to these unique characteristics, stable water isotopes have been 83 used by researchers to assess sources of water use by plants and their possible shifts under 84 altered environmental conditions (Evaristo et al., 2015; Flanagan & Ehleringer, 1991; Meinzer et al., 2001; Stumpp et al., 2018). 85 Lodgepole pine (Pinus contorta Douglas) is an early successional montane conifer with a deep 86 tap root, fine roots in shallow layers, and advantageous rooting system which allow this species 87 to access water throughout the soil profile (Fahey & Knight, 1986; Halter & Chanway, 1993). 88 Depending on the species, root structures have two components, lateral roots to increase their 89 soil surface area and tap root to reach deeper soil water or groundwater when surface water is 90 limited. Some species have also adapted to have dimorphic rooting habits, or the ability to access 91 water from different depths in the soil profile depending on soil moisture content and water 92 availability making them more resilient to water scarcity or prolonged drought conditions 93 (Dawson & Pate, 1996; Meinzer et al., 2013). One study, comparing Douglas-fir (Pseudotsuga 94 95 menziesii (Mirb.) Franco and lodgepole pine in southern Alberta, found that lodgepole pines are able to minimize seasonal variations in stem water potential and that tap roots are deep enough to 96 97 access groundwater (Andrews et al., 2012). This finding is consistent with other literature that 98 lodgepole pines can access water from different depths depending on moisture availability and can access bound soil water when there is low water potential (Meinzer et al., 2007a; Warren et 99 100 al., 2005). The literature indicates that lodgepole pines can access water from different soil layers even under extreme or prolonged drought conditions, but little is known about the shifting of 101 102 water use under different stand densities as a result of thinning treatments and drought 103 conditions. In this study, we used the stable isotope ratios ( $\delta^2$ H and  $\delta^{18}$ O) of soil and xylem water to evaluate 104 105 how overpopulated stands and thinned stands use water over the growing season to further our understanding of the ecosystem-level impacts of thinning as a management strategy. We 106 107 hypothesized that lodgepole pine primarily relies on spring snowmelt but reductions in shallow source water during the growing season (along with the low soil water holding capacity) would 108 109 drive lodgepole pines to utilize deeper sources of water. Prolonged aridity was expected to push trees to depend on different water sources towards the end of the growing season. We also 110

hypothesized that overpopulated stands may be limited in their rooting depth and unable to

source waters, and determine if thinning affects tree water use and uptake strategies under

access deep soil water under extremely dry conditions, and that thinning can effectively mitigate these stresses. Through a detailed partitioning of tree water sources, we can better understand

how lodgepole pine uses water, estimate proportional dependence of lodgepole pine on specific

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Methods

drought conditions.

119 2.1. Study Site





- 120 The study was conducted in the Upper Penticton Creek experimental watershed (UPC) northeast
- of Penticton in the interior of British Columbia, Canada (49°39'34" N,119°24',34" W). The site
- 122 elevation is approximately 1675 m a.s.l. with steep, rocky terrain and a southern aspect (Wang et
- al., 2019). The Luvisolic soils were formed from granite; the texture is course sandy-loam and is
- well drained with a low water holding capacity (Hope, 2011; Winkler et al., 2021; Winkler &
- Moore, 2006). The biogeoclimatic region is the Engelmann Spruce-Subalpine Fir zone with cold,
- snowy conditions from November
- to early June and seasonal drought
- 128 conditions during the summer
- months, June to October (Coupe et
- 130 al., 1991; Wang et al., 2019). This
- 131 research site was initially
- established as a paired watershed
- experiment in the early 1980s to
- quantify the impact of forest
- harvesting on water resources
- 136 (Creed et al., 2014; Moore &
- Wondzell, 2005; Winkler et al.,
- 138 2021).
- 139 The juvenile thinning experiment
- began in 2016 when 16-year-old,
- evenly aged, regenerating lodgepole
- pine stands were thinned to different
- densities than a control (C: 27,000
- stem ha<sup>-1</sup>, T1: 4,500 stems ha<sup>-1</sup>, and T2: 1,100 stems ha<sup>-1</sup>) where C represents the control stands,

Figure 1 Watershed location and treatment plots of moderatly thinned

(T1), heavily thinned (T2), and the controlled (C) over-populated

stands across the three replicate blocks (Wang et al., 2019)

- 145 T1 represents the lightly thinned stands, and T2 represents the heavily thinned stands (Figure 1).
- The three treatments were repeated across three replicate blocks. Each block was 75 m long and
- 147 25 m in width with three 20 m<sup>2</sup> plots and 5 m between treatment plots. After the initial thinning,
- 148 all debris was left on site. The first two years' post-thinning results showed increased tree-level
- water use and decreased stand-level water use in the thinned stands (Wang et al., 2019). Wang et
- al. (2019) concluded that thinning positively influenced tree growth and water use and that
- 151 moderate and heavy thinning are effective management strategies for drought mitigation of
- lodgepole pine in the UPC watershed.
- 153 Climate stations (HOBO weather station, Onset Computer, Bourne MA, USA) were deployed
- across Block 1 treatments and have measured meteorological data since 2016 (ambient
- temperature, relative humidity (rH), wind speed, precipitation, and solar radiation) in 10-minute
- intervals. From this, we calculated daily vapor pressure deficit (VPD) as well as daily and
- monthly potential evapotranspiration (PET) using temperature fluxes, relative humidity, and
- precipitation (Flint & Childs, 1991; Russell, 1960; Streck, 2003). Recorded historical precipitation
- 159 (1997-2008) was acquired from a long-term climate station in a lodgepole pine forest in the 241
- experimental watershed (climate station P7) (Moore et al., 2021).
- Rainfall and temperature data from Block 1 was related to historical data to calculate the
- monthly dryness (PET/P), standardized precipitation index (SPI), and standardized precipitation
- evapotranspiration index (SPEI) (Beguería et al., 2014; Stagge et al., 2014; Wu et al., 2005). In





the middle of the growing season in 2021, four soil moisture probes (HOBO TEROS 11 Soil Moisture/Temp Probes) were deployed in each treatment in Block 1 to measure changes in soil moisture and temperature at 5 cm and 35 cm at 15-minute increments (n=12).

# 2.2 Sample collection

We sampled three trees per treatment across the three blocks and three in the mature plot (n = 30) four times over the 2021 growing season in approximately six-week intervals (June 11-12, July 21-22, September 10-11, and October 7-8) around noon to capture peak transpiration time. We used a pole pruner to cut a mid-canopy branch in the live crown. We peeled the bark off branch segments with no needle coverage to remove outer bark and phloem, placed them into a glass tube, sealed it with Parafilm wrap, covered it in aluminum foil, and set them in a cooler until the end of the day when they were transferred to a freezer at -18°C. During the last two sampling periods, some trees had red needles, likely an indication of dryness or higher temperatures from an early growing season heat dome that began in June.

Soil samples were collected horizontally from 40 cm soil pits randomly dug across each treatment plot at 5 and 35 cm depths from the surface. Large rocks were removed from the profile. Soils were then sealed in freezer seal bags and frozen until cryogenic distillation for water extraction. In the middle of the field season, 1 m pits were dug to sample the vertical profile in 20 cm intervals in each treatment of Block 2. From the vertical pit, samples were collected in 20 cm increments to determine the depth of tree water access. After samples were collected, the larger rocks and soils were used to fill the pits.

Precipitation samples were collected when available during field collection days. Snow from a late spring event was collected on June 11th and another snow event on October 11th. A rain event was collected on September 10th. Groundwater and stream samples were collected from the creek 241 watershed at the end of the growing season and beginning of the seasonal hydraulic recovery. Groundwater was collected using a hand pump. Groundwater and stream samples were collected at the end of the growing season as stream beds were dry and groundwater was inaccessible during the dry period. Once the well had been pumped and cleared, test tubes were rinsed with ground water three times before being filled. Precipitation, groundwater and stream samples were collected into test tubes, sealed with parafilm and foil, and stored in a fridge at 4°C. 

#### 2.3 Cryogenic extraction and isotopic analysis

Before extraction, samples were thawed, and weighed. For stable isotope analysis, water was extracted from stem and soil samples using cryogenic distillation (Orlowski et al., 2013; Pearcy et al., 2012). The test tube and branch sample segment of the line was immersed in liquid nitrogen for 10 minutes until frozen (Chillakuru, 2009). Soils were frozen for 45 minutes in a 500 mL round-bottom flask using a dry-ice and 95% ethanol mixture before pumping out the air. Frozen samples were pumped down to 60 mTorr, not disturbing the sample (Tsuruta et al., 2019). The vacuum-sealed extraction unit was detached from the pump and transferred to a boiling water bath; the extraction tube was submerged in liquid nitrogen. Branch samples were set to distill for 1 hour and soil samples for 2 hours or until the tubing was clear to ensure all





205 mobile and bound source water was extracted (Orlowski et al., 2013; Tsuruta et al., 2019; Vargas et al., 2017; West et al., 2006). Samples were also weighed after extraction and compared to 206 207 oven dried samples to ensure distillation was complete. Water extracted from branch and soil 208 samples accounted for 47.9±3.2% and 9±6% of mean sample weight. 209 All samples were pipetted and sealed into glass vials with screw tops and shipped to the University of California Davis Stable Isotope Facility (Davis, CA, USA) for analysis using 210 211 headspace gas equilibration on a GasBench-II device (Thermo-Finnigan, Breman, Germany) for <sup>18</sup>O and <sup>2</sup>H analysis. Precision was less than or equal to 2.0% for  $\delta^2$ H and 0.2% for  $\delta^{18}$ O. Results 212

were returned in the "delta" notation expressing the isotopic composition of each sample as a 213

ratio in parts per million over to a standardized range of reference waters calibrated against 214 215

IAEA reference waters and reported relative to VSMOW (Vienna-Standard Mean Ocean Water)

216 where:

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$$\delta(\%) = \left(\frac{R_{\text{Sample}}}{R_{\text{Standard}}} - 1\right)$$

Sample extract was situated in an isotope biplot and compared to the global meteoric waterline 218 (GMWL) along with a local meteoric waterline (OMWL) ( $\delta^2$ H = 6.6 ( $\delta^{18}$ O)-22.7) and local 219 evaporative line (LEL) ( $\delta^2$ H = 5 ( $\delta^{18}$ O) - 48.4) calculated for the Okanagan Valley by Wassenaar 220 221 et al. (2011).

222 To test the variance between thinning treatments, block replicates, dates collected, and soil 223 depth, we first tested the normality of the subsets using the Shapiro-Wilk test and found that all subgroups were approximately normally distributed. Repeated measures ANOVAs were used to 224 compare effects of date and treatment on  $\delta^2$ H and  $\delta^{18}$ O in branches, soils and groundwater to 225 determine if changes in lodgepole pine uptake patterns occur over time, if soil signatures vary 226 between different depths (0-100 cm and groundwater) and densities, and if thinning juvenile 227 stands changes seasonal shifts. All statistical analysis was conducted in R Studio (version 228 1.3.1073) using the appropriate tests to determine site distinctions and seasonal variability in 229 depth to uptake (RStudio Team, 2020). 230

# 2.4 MixSIAR model scenarios

Process-based models (PBM) with a Bayesian approach include integrating other processes or 232 existing information as priors allowing for a more informed approach than a simple linear model 233 (Ogle et al., 2014). To accurately partition potential lodgepole pine water sources, we used the 234 MixSIAR modeling package, a Bayesian mixing model (BMM) based on the Markov Chain 235 Monte Carlo method (MCMC) (Langs et al., 2020; Stock, 2013/2022, p. 201; Stock et al., 2018; 236 237 Wang et al., 2017; Wang et al., 2019). The MixSIAR modeling package was selected over the previous iterations of dual-isotope BMM (SIAR and Simmr) and other partitioning models 238 239 because of the accuracy in the analysis of covariates and the ability of the model to include source-specific uncertainties and discrimination factors (Stock et al., 2018; Wang et al., 2017). 240 We partitioned potential water sources for five different scenarios using a combination of single 241 and dual isotope approaches and different potential sources: scenario 1- single isotope  $\delta^{18}$ O two 242 243 sources 5 cm and 35 cm depth; scenario 2-single-isotope  $\delta^2$ H two sources 5 cm and 35 cm depth; scenario 3- dual-isotope two sources 5 cm and 35 cm depth; scenario 4- dual isotope three 244 sources 5 cm, 35 cm and 45-100 cm depth; scenario 5 – dual isotope three sources 5 cm, 35-100 245



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cm and groundwater; and scenario 6 - dual isotope four sources 5 cm, 35 cm, 45-100 cm and groundwater. In scenarios using deep soil water (35-100 cm depths), the isotopic composition was calculated as a weighted average between seasonally collected soil water from depth 35 and average soil water at depths collected in 10 cm intervals during the early growing season (n=38 per season). There were no source concentration dependencies, and the discrimination was set to zero for both isotopes in the analysis. The run length of the Markov chain Monte Carlo (MCMC) was set to 'normal' (chain length = 100,000; burn =50,000; thin = 50; chains = 3). The Gelman-Rubin and Geweke diagnostic tests included in the model package were used to determine convergence (Gelman-Rubin score < 1.01). Scenarios that did not converge were run again with a longer runtime (chain length: 300,000; burn: 200,000; thin: 100; chains = 3). No priors were used, so each water source was considered equally ( $\alpha = 1$ ).

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#### 3. Results

### 3.1. Meteorological droughts

The ambient temperature peaked in the moderately thinned plot (T1) on June 29th with a maximum temperature of 36.3°C in an abnormally hot and dry summer. Relative humidity (rH) and subsequently vapor pressure deficit (VPD) recorded in T1 showed the most variability and highest evaporative capacity during July. Atmospheric water vapor was higher in late September and October when precipitation was more frequent, and the watershed began to exhibit traits of hydrologic recovery. One indication of increased water availability was an increase soil moisture at 5 cm and 35 cm depths and more groundwater recharge in October.

267 Rainfall events recorded at a nearby long-term research station between June to October from

1997-2008 represented approximately 30.1% of annual precipitation (Winkler et al., 2021). Over the 2021 study period, there was 147.8 mm of rainfall, while the mean summer rainfall from 1997 to 2008 was 232.5 mm, and most of the rainfall occurred in the early growing season. SPI and SPEI were significantly lower in 2021 than the mean historical range (Figure 2). Although there was precipitation and the beginning

of hydraulic recovery in

283 October, drought conditions persisted. Drought conditions of 284 285 the study site reflected the

drought conditions of the region 286

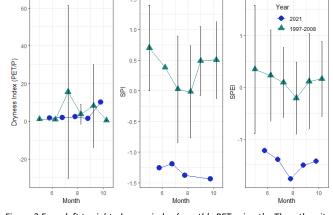


Figure 2 From left to right: dryness index (monthly PET using the Thornthwaite  $method\ divided\ by\ mean\ monthly\ precipitation),\ standard\ precipitation\ index$ (SPI) with a 3-month period, and standardized precipitation evapotranspiration index (SPEI) with a 3-month period.

287 as reported by the Agriculture and Agri-Food Canada from June to August 2021 in moving from severe (level 2 drought) to exceptional (level 4) before recovering in September (Canada, 2014: 288





https://agriculture.canada.ca/en/agricultural-production/weather/canadian-drought-monitor/drought-analysis).

### 3.2. Water Stable Isotopes

The biplot of sample isotopic composition shows the distribution and effect of fractionation on source water isotope ratios where the meteoric water line of samples collected during the 2021 field season produced a slope and intercept of 5.79 and -28.64 (R²=0.89), respectively; the slope was less steep than the one reported by Wassenaar et al. (2011) (OMWL) while the intercept was slightly more negative (Figure 3). Precipitation samples collected during the field season fell along the OMWL (Wassenaar et al., 2011). The  $\delta^2 H$  and  $\delta^{18} O$  of the June 11<sup>th</sup> rainfall event were -127.5‰ and -13.03‰, respectively. The September rainfall event was much more enriched with a  $\delta^2 H$  of -38.4‰ and  $\delta^{18} O$  of -2.89 (Figure 3). The snowfall collected on October 7th more closely resembled the lighter, colder, June precipitation event.

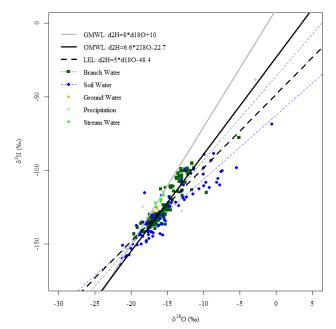


Figure 3 Isoscape biplot of  $\delta^{18}O$  and  $\delta^2H$  including precipitation, xylem, soil, stream, and groundwater from the 241-creek watershed thinning treatments during the 2021 growing season compared to the global meteoric waterline (GMWL), and local meteoric waterline for the Okanagan (OMWL) produced by Wassenaar et al. (2011).

#### 3.2.1. Soil Moisture and Seasonal Water Composition



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Soil moisture probes and percent soil water content from samples collected for isotopic analysis were compared between treatments and deployment depths. Water content of soil samples was highest in June (21.5% at 5 cm and 21.6% at 35 cm) because of high snow melt and early spring precipitation, while soils were driest in September (6.32% at 5 cm and 6.19% at 35 cm). Continuous soil moisture measurements showed that soil water began to increase in mid-September as precipitation became more frequent, daily solar radiation decreased, and water percolated into deeper soil layers. There were significant differences in the continuously measured soil moisture by depths, treatments, and month, respectively (5-35 cm) (Depth: Fvalue=3545.9, p <2e-16\*\*\*) (Treatment: F-value = 1883.3, p<2e-16\*\*\*) (Month: Fvalue=3359.8, p < 2e-16\*\*\*), but soil water content of samples for isotopic analysis only varied

313 significantly by month (August – October) (F-value = 22, p < 5.4e-9\*\*\*). 314

315 Soil isotopic results were broken into two datasets to analyze the variation in isotopic composition over time and between treatments, and then a profile of isotopic variance with depth 316 was constructed. Soil water  $\delta^2 H$  and  $\delta^{18} O$  varied significantly by depth ( $\delta^2 H$ : p=2.57e-6\*\*\*; 317  $\delta^{18}$ O: p = 2.45e-7\*\*\*).  $\delta^{2}$ H significantly varied monthly except between July and September and 318 September to October.  $\delta^{18}$ O also had significant change in water stable isotope composition by 319 320 month except when directly comparing July to October and September to October, then there

was no significant change in soil isotopic 321 322 composition. Despite variability in 323 continuous soil moisture by the treatments, there were no statistically significant 324 distinctions in the isotopic composition  $\delta^2$ H 325 or  $\delta^{18}$ O of soil water at either depth. Soil 326  $\delta^{18}$ O in June was -16.8±2.57‰, and  $\delta^{2}$ H 327 was -136.7±13.6% at 5 cm; at 35 cm depth, 328  $\delta^{18}$ O was -19.2±1.52‰, and  $\delta^{2}$ H was -329  $149.2\pm9.6\%$ . Both  $\delta^{18}O$  and  $\delta^{2}H$  increased 330 more during the growing season at 5 cm 331 depth and with more variability (Figure 4). 332

In October,  $\delta^{18}$ O at the 5 cm depth 333 decreased to -11.4 $\pm$ 2.58%, but  $\delta$ <sup>18</sup>O at 35 334 335 cm as well as  $\delta^2$ H at 5 and 35 cm remained enriched at -15.8±2.02‰, -101.1±12.4‰, 336

and -129.4±18.8‰, respectively. These 337 338 results suggest that soil isotopic

composition follows trends in precipitation 339

340 samples, being most enriched in

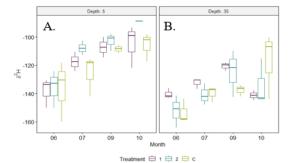
341 September, while the precipitation samples collected in June and October were much 342 more depleted. Shallow soil water (depth 343

5cm) varied more throughout the study than 344 deeper soil water. In October,  $\delta^{18}$ O in shallow 345

soils began decreasing again, indicating the 346

347 addition of less enrichment as water

348 availability began to increase.



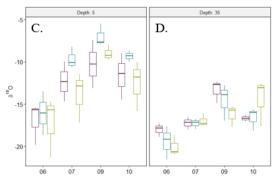


Figure 4 Soil water  $\delta^2$ H (top) and  $\delta^{18}$ O (bottom) at 5 (left) and 35 cm (right) depths collected repeatedly over the growing season from each treatment and block.





Both  $\delta^2 H$  and  $\delta^{18} O$  were higher in the shallow soils than deeper in the profile (Figure 4A and 4C). While there were significant differences in the  $\delta^2 H$  and  $\delta^{18} O$  of soil water by month ( $\delta^2 H$ : p=2.72e-5\*\*;  $\delta^{18} O$ : p=1.5e-5\*\*), there was no significant difference between treatments.

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Table 1 Depth profile of moisture content,  $\delta^{18}O$ , and  $\delta^{2}H$  including the mean and standard deviation across C, T1, and T2 in Block 2 as well as groundwater (GW) samples collected at the end of the growing season.

Depth	Treatment	Mean δ <sup>18</sup> O	Mean δ <sup>2</sup> H	SMC (%)
5	С	-17.23	-141.9	6.89
	T1	-10	-110.5	12.16
	T2	-9.66	-107.84	11.25
20	С	-0.61	-68.7	7.95
	T1	-17.96	-148.55	4.96
	T2	-16.24	-130.5	13.12
40	С	-16.62	-132.1	9.35
	T1	-18.7	-144.53	4.84
	T2	-18.15	-141.5	6.41
60	С	-18.36	-137.5	3
	T1	-17.04	-131.8	7.25
	T2	-20.32	-157.33	6.94
80	С	-19.31	-137.5	4.48
	T1	-17.89	-135.45	4.44
	T2	-20.11	-153.1	5.3
100	С	-19.31	-151.1	2.91
	T1	-17.64	-139.5	4.56
	T2	-18.66	-141.45	5.08
Groundwater	•	-16.8	-127.3	





From the isotopic soil profile, there were three significant groupings of isotopic composition (p<0.05): shallow soil water (5-20cm), deep soil water (35-100cm), and groundwater. Mean groundwater collected at the end of the growing season most closely resembled spring and fall snowfall events. The mean  $\delta^{18}$ O of groundwater was -  $16.82\pm0.34\%$  which resembles that in the soil profile but mean  $\delta^2$ H was slightly more depleted than soil water (n=4) (Table 1). This fractionation may be due to interactions with

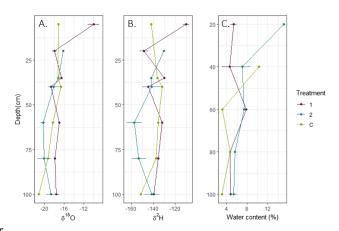


Figure 5 Vertical isotopic profiles and soil water content from treatments in Block 2 and samples collected in mid-July.

bound soil water and soils as the water infiltrates through the vadose zone. One extreme outlier of B1C at the 20 cm depth was removed; the high  $\delta^2 H$  and  $\delta^{18} O$  values were likely due to contamination or incomplete cryogenic distillation. The more negative values for both  $\delta^{18} O$  and  $\delta^2 H$  with soil depth indicate that snow melt is the main source of water to the deep unsaturated zone and that enriched summer precipitation is not infiltrating deeper soil layers (Figure 5).

## 3.2.2. Isotopic Variability in Branch Xylem Water

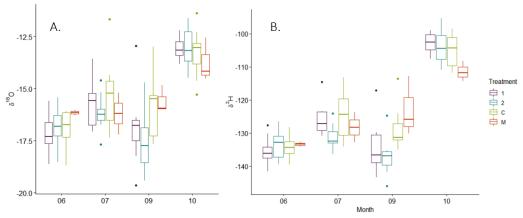


Figure 6 Branch A. $\delta18O$  and B. $\delta2H$  by month and treatment

There were no significant differences in both  $\delta^{18}O$  and  $\delta^{2}H$  of xylem water across blocks and thinning treatments; there was, however, significant variation over time ( $\delta^{18}O$ : F=24.8\*;  $\delta^{2}H$ : F = 146.6\*). More specifically,  $\delta^{18}O$  and  $\delta^{2}H$  of xylem water varied by month for all months collected except for between June and September and July and September (Figure 6). Because the isotopic composition of xylem water showed significant change over the growing season but





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did not follow the same seasonal trends as soil water, the trees were likely changing their primary water source within the soil profile.

### 3.3. Partitioning xylem source water and seasonal fluxes using MixSIAR

With a "normal" runtime (chain 385 length: 100,000; burn: 50,000; 386 thin: 50; chains: 3), scenarios 1, 387 388 2 and 6 approached the 389 Gelman-Rubin diagnostic, which indicates convergence 390 when the variable is less than 391 1.05 (Table S2). Scenarios 4 392 and 6 were rerun with the run 393 time set to "long" (chain length: 394 395 300,000; burn: 200,000; thin: 396 100; chains: 3). The Gelman-Rubin diagnostic variable for 397 398 scenario 4 was 120, and scenario 6 was 17, meaning 399 scenario 6 was closer to 400 401 convergence (>1.05). Results of 402 scenario 6 indicate that, in June, trees in each treatment acquired 403 the most water from the 5 cm 404 depth (C: 76%; T1: 77%; T2: 405 79%) (Figure 7). In July, 406 407 shallow soil water was still the 408 primary source for T1 and T2 at 47% and 61%, but C had 55% 409

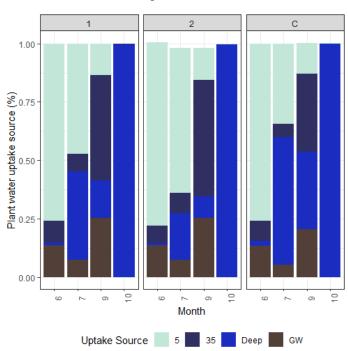


Figure 7 Partitioned relative contribution of different sources of water in the soil profile by the MixSIAR model of scenario 6 with long runtime.

water from 45-100 cm deep and only 33% from 5 cm below the surface. By September, all treatments acquired less than 15% of tree water from shallow soil. Lodgepole pine water use in treatments 1 and 2 was composed of approximately 48% and 54% from around 35 cm, and 72% of water in control stand trees was from 35-100 cm. By October, although SPEI results indicate more moisture and less evaporative demand, scenario six indicated that all three treatments had most water uptake from below 45 cm in the soil profile (Figure 7). Results of the MixSIAR model support findings of branch water stable isotope trends over the growing season where the branch water started with a mean  $\delta^{18}$ O and  $\delta^{2}$ H of -16.9±0.89% and -134.37±3.8% in June and was slightly more enriched in July. There was a shift to a more depleted source in September. And, Lodgepole pine water was the most enriched with heavy isotopes in October, like shallow soil water, with a mean  $\delta^{18}$ O and  $\delta^{2}$ H of -12.9±1.76% and -103.77±7.0%, respectively.

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### 4. Discussion

#### 4.1. Seasonal variability in soil water





- Soil water showed mixed gradient of older, more depleted, water molecules deeper in the profile
- 425 indicating that deep soil water mainly originates from spring snowmelt and that low intensity and
- less frequent summer precipitation events are evaporated out of the shallow soil layers before
- 427 they can recharge the unsaturated zone. The muted enrichment of  $\delta^{18}O$  around 35 cm depth in the
- soil indicates a mixing of the left-over enriched summer precipitation with older and lighter
- 429 water. Our results did not indicate that differences in soil exposure canopy coverage were
- 430 effective enough to significantly change the isotopic composition of soil water across treatments.
- 4.2. Seasonal lodgepole pine water use
- 432 Literature utilizing stable water isotopic analysis to determine plant preferential water uptake in
- arid regions indicates that vegetation can utilize precipitation despite the temporal origin
- (Andrews et al., 2012; Brinkmann et al., 2019; Ehleringer et al., 1991). Seasonal water
- 435 availability depends on precipitation, soil water holding capacity and drainage, and evaporative
- loss (Gibson & Edwards, 2002; Kleine et al., 2020; Stumpp et al., 2018). Based on the seasonal
- 437 shift in the isotopic composition of soil water 5 cm below the surface showed more enrichment
- 438 over the growing season than around 35 cm below the surface due to more evaporative
- 439 fractionation of the soil surface and a lack of heavy rainfall to drive precipitation deeper into the
- 440 soil profile. However, variability in branch isotopic composition did not follow the same trends.
- Our results indicate that lodgepole pines access water from multiple depths in the soil profile.
- 442 Regardless of depth and forest density, spring snowmelt is the main source for lodgepole pines as
- it infiltrates through the vadose zone.
- 444 The MixSIAR isotopic partitioning model results from each of the six scenarios also indicated a
- seasonal shift in uptake source. At the beginning of the growing season, when snow meltwater is
- 446 more available at shallow depths and beginning to infiltrate through the soils, lodgepole pines
- obtain most of their water likely from snow melt in shallow soils with small contributions from
- other potential sources (< 25% of June water uptake in all treatments). The mean  $\delta^{18}$ O and  $\delta^{2}$ H of
- 449 branch water from each treatment in September was more depleted than in July and a larger
- 450 proportion of tree water was from 35-100 cm deep in the soil profile as shallow soils were dry
- 451 from a lack of rainfall and surface soil evaporation. Local monitoring close to the study site
- 452 indicated that the depth to groundwater stayed 6.5 m below the surface from August through the
- 453 end of the study period. The continued use of deep soil water even during rewetting in late
- 454 September and October suggests that the drought conditions may have led to fine root mortality
- 455 or some other mechanistic restriction in the use of shallow soil water late in the growing season.
- 456 Our results indicate that lodgepole pine, like other pine species in arid regions, is flexible in its
- ability to access deep soil water and can change its depth to water uptake over time (Brinkmann
- et al., 2018; Grossiord et al., 2017; Kerhoulas et al., 2013; Kleine et al., 2020; Moreno-Gutiérrez
- 459 et al., 2011; Simonin et al., 2006; Sohn et al., 2014; Wang et al., 2021). Our results of depth to
- 460 water uptake by lodgepole pine support the reports of lodgepole pine's seasonal shift in depth to
- 461 water uptake in Alberta (Andrews et al., 2012). Tree species native to arid regions exhibit a
- 462 variety of adaptations to long-term drought stress and decreased water availability in the soil
- 463 profile such as deep tap roots, access to the water table, utilizing bound and mobile soil water,
- 464 fine root mortality, and hydraulic redistribution in ecosystems with low water holding capacity
- 465 (Amin et al., 2020; Brinkmann et al., 2018; Grossiord et al., 2017; Kerhoulas et al., 2013; Kleine





- 466 et al., 2020; Langs et al., 2020; Meinzer et al., 2007b; Prieto et al., 2012; Sohn et al., 2016; J.
- Wang et al., 2017, p. 201). 467
- 468 However, the literature is inconsistent across different biogeoclimatic regions and species
- regarding the effects of thinning on inter-tree competition or altered depth to water uptake with 469
- 470 tree density (Kerhoulas et al., 2013; Moreno-Gutiérrez et al., 2011; Sohn et al., 2016; Wang et
- 471 al., 2021). Our findings that there is no significant impact of forest thinning on depth to water
- 472 uptake. Despite stem density, seasonal shifts in depth to water uptake support results of a study
- 473 on the impacts of thinning intensity on 60-year-old *Pinus halepensis* Mill. in a semi-arid region
- 474 of Spain which concluded that forest thinning reduced competition for water resources but did
- 475 not alter water uptake patterns (Moreno-Gutiérrez et al., 2011). Another study on the impact of
- thinning *Pinus ponderosa* Dougl. on depth to water uptake concluded that water was consistently 476
- 477 more isotopically enriched in low-density stands potentially due to prolonged evaporative
- 478 fractionation in the soil profile, or that understory vegetation utilized more shallow water sources
- 479 (Kerhoulas et al., 2013). The impact of forest thinning on stand and understory water use is
- 480 highly variable and dependent on understory growth, canopy structure, water availability, when
- forest thinning is implemented, and the time since stem removal (Kerhoulas et al., 2013; 481
- 482 Moreno-Gutiérrez et al., 2011; Sohn et al., 2016). More research is needed to decern if lodgepole
- pine relies more on mobile or bound soil water, the extent of lodgepole pine rooting zones, what 483
- 484 biogeochemical factors cause seasonal shifts in water uptake, and if severe seasonal drought has
- 485 a lasting effect on water uptake strategies during hydrologic recovery (Simonin et al., 2007;
- Vargas et al., 2017). 486

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- 4.3. Impacts of the drought and implications for future climate conditions 487
- 488 The 2021 growing season was an abnormally hot and dry period for the interior of British
- 489 Columbia with severe to exceptional drought conditions. Wang et al. (2019) found that thinning
- improved water-use efficiency, drought tolerance, and drought recovery by decreasing stand 490
- density and improving carbon storage. Our results support the finding that lodgepole pine trees 491
- can adjust to prolonged water scarcity, and that over-populated stands may be more resilient than 492
- 493 the literature initially indicated. In fact, drought conditions over the study period likely
- 494 intensified the change in xylem water isotopic composition over the growing season. However,
- 495 the scope of this study did not include pre-drought seasonal water use patterns nor the impact of
- 496 forest density on depth to water uptake during drought recovery. Because lodgepole pine depth
- to water uptake changes during prolonged dry growing season conditions, the trees are more 497
- 498 reliant on winter snowpack and spring infiltration to recharge deeper source water below the
- 500 pinion pine (Pinus edulis Engelm.) investigated the simultaneous stress of increased heat and

evaporative front. One experiment on juniper (Juniperus monosperma (Engelm.) Sarg.) and

- decreased precipitation on depth to water uptake and found that extreme temperatures and 501
- decreased precipitation lead to less reversable embolism and more root death in surface soil 502
- 503 levels preventing trees from accessing shallow water sources if precipitation becomes more
- 504 available late in the growing season (Grossiord et al., 2017). It is becoming more imperative to
- 505 understand the climatic drivers of lodgepole pine water use and access as mean annual 506 temperatures continue to rise, the seasonal frequency and intensity of precipitation change, and
- drought conditions become more severe. This study indicates that severe seasonal dryness pushes 507
- 508 lodgepole pines to rely more on snowmelt while losing function in shallow roots. Decreased

Competing Interests:

None of the authors have competing interests.

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509 winter snowpack could lead to water scarcity in the late growing season if lodgepole pines are unable to access water during the rewetting period post-summer drought. 510 511 5.1 Conclusions 512 Lodgepole pine, across all treatments, was able to shift from shallow soil water at the beginning 513 of the growing season to deeper soil water as drought conditions progressed. The quick draining 514 and sun-exposed soils do not retain small summer precipitation events, and as a result, either due 515 to changes in water availability or limitations in rooting function, lodgepole pines shift to a more 516 readily available source in the soil profile (Aranda et al., 2012; Prieto et al., 2012). Our findings 517 support the literature that lodgepole pines are a drought-tolerant species with dimorphic rooting 518 systems making them more advantageous in their ability access water from varying depths in the 519 soil layer depending on water availability (Andrews et al., 2012; Liu et al., 2011). Despite the 520 ecological plasticity under extreme heat and low summer precipitation conditions, there was no 521 522 significant difference in depth to water use between the over-populated plots and thinned ones. Future climate projections indicate hotter growing seasons and less precipitation (Allen et al., 523 2010). Further investigation is needed to discern how lodgepole pines, under different stand 524 525 densities, use water during prolonged drought and drought recovery periods (Grossiord et al., 2017; Navarro-Cerrillo et al., 2019; Simonin et al., 2007; Sohn et al., 2016). However, from our 526 findings, during prolonged growing season, stand density does not alter tree depth to water 527 528 uptake, nor seasonal shifts in water sources. Lodgepole pines indicate a strong level of drought tolerance and ability to access water under extreme heat conditions. If summer precipitation 529 530 decreases, lodgepole pines have alternative strategies to access deeper soil water from spring 531 snowmelt in the interior of British Columbia. However, if snowpack and spring snowmelt begin to decrease, lodgepole pines will need to acclimate to these hydrological shifts. 532 533 Code and Data Availability: 534 535 The codes of the data analysis and plotting are available at https://github.com/emoryce/LodgepolePineWaterUseStrategies2021 and are available upon request (ece58@nau.edu) 536 537 Author Contributions: 538 539 EE conceived the idea as a part of their Master's research with AW, and performed the extractions with RG. Analysis was primarily conducted by EE with guidance from AW and RG. 540 541 All authors contributed to the manuscript. 542

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