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Ostracodes and Gastropods of the Waucobi Lake Beds: Significance for Pliocene  
Environmental and Climate Conditions in the Eastern Sierra Nevada, California

A Thesis submitted in partial satisfaction  
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in

Geological Sciences

by

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## DEDICATION

This thesis is dedicated to my family—for their patience and generous support. I cannot thank you enough for believing in my academic ability. Thank you.

## ABSTRACT OF THE THESIS

Ostracodes and Gastropods of the Waucobi Lake Beds: Significance for Pliocene Environmental and Climate Conditions in the Eastern Sierra Nevada, California

by

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Master of Science, Graduate Program in Geological Sciences  
University of California, Riverside, March 2012  
Dr. Mary L. Droser, Chairperson

The fossil record of lake strata typically provide a high resolution record of past ecosystems and environmental conditions. The faunal records of the Pliocene Waucobi Lake beds provide such an opportunity to interpret environmental and climate conditions for the eastern Sierra Nevada. These lacustrine sediments cropping out in Soldier Canyon, Owens Valley, Inyo County, CA are composed primarily of clay beds with several freshwater species of ostracodes and gastropods. This presence and absence of key taxa and the relative abundance of various gastropod and ostracode taxa for the Sierra Nevada during the late Pliocene demonstrate that there were changes in salinity in the Waucobi Lake associated with an open- and closed-basin lake system, driven by the Sierra Nevada rainshadow climate control. Lake beds containing gastropods were interpreted as freshwater events. In this study two sections were stratigraphically analyzed for fossil ostracode and gastropod variability. The high resolution sampling revealed the presence of ostracode assemblages suggests interruptions of saline conditions occurred more frequently than previous reported.

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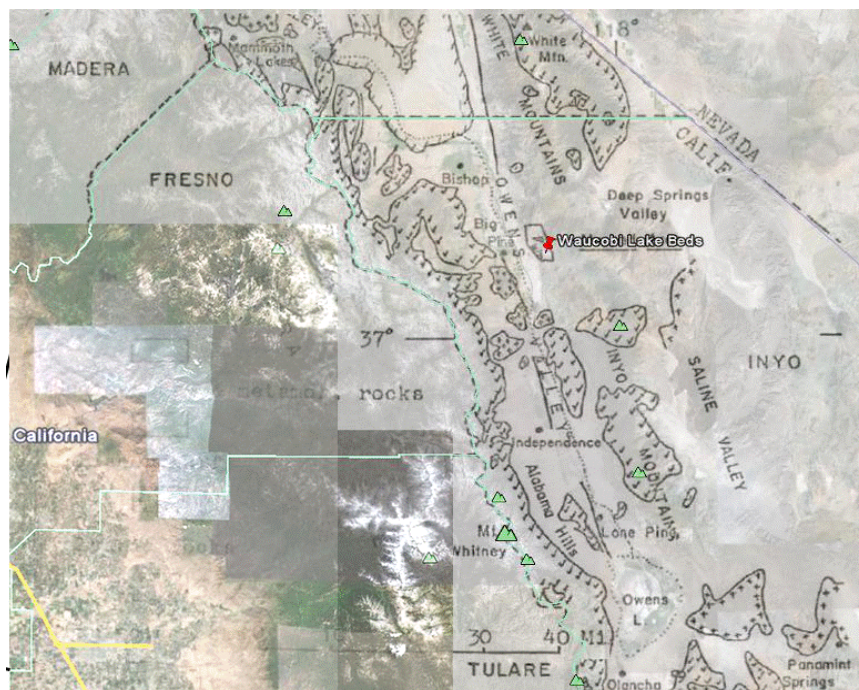
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## Introduction

The Waucobi beds (also referred to as the Waucoba beds, Bachman, 1974) are late Pliocene lacustrine deposits cropping out as hills at the base of the western White-Inyo Mountains, in eastern California and ~ 24 kilometers west of the Sierra Nevada (Fig. 1 and 2, Bachman, 1974; Walcott, 1897; Luddecke et al., 1997; Hay, 1964). Several rhyolite tuffs interbedded with the lake sediments constrain the upper age between 2.3 - 2.8 Ma (Sarna-Wojcicki et al., 2005; Luddecke et al., 1998; Hay, 1964, 1966). The beds were first described by Walcott (1897) followed by Knof et al. (1918), Hay (1964), and later thoroughly investigated by Bachman (1974) in relation to the uplift of the White-Inyo Mountains.



**Figure 1. Locality of the Pliocene Waucobi lake beds in relation to surrounding cities and geo-topography (adapted from Bachman, 1974).**

Previous studies of the Waucobi lake beds established one to ten meter alternating episodes of saline/alkaline (closed lake) and freshwater conditions (open lake)

based on the presence of zeolites, evaporates, and fossil freshwater gastropods (Bachman, 1974; Hay, 1964). Using silt and tuff deposits, Hay (1964) reconstructed the Waucobi lake system, describing two lake phases that fluctuated in size and salinity: 1) an extensive shallow freshwater lake system and 2) a restricted small saline lake. Hay (1964) provided no explanation for environmental conditions related to the salinity phases. Bachman (1974) reasoned the alternating phases were due to the presence or



absence of overflow outlets from the lake, but did not relate it to evaporation or precipitation rates due to possible climate forcings, or tectonic activity that changed the basin settings.

**Figure 2. Waucobi Lake sediments (foreground) with the Sierra Nevada to the west.**

Lake sediments archive a record of changing past depositional conditions and environments. The lithological record in lake basins archives different levels of lake variation (Carroll et al., 1999; Fritz, 1996; Cohen, 2003; Migowski et al., 2006, Willman, 1985). A relationship between climate change, environment response, and depositional processes can be resolved in lake beds with high resolution (mm-cm) analyses (Brauer et al., 1999). Paleolake studies with 1 to 2 mm sample resolutions have reconstructed saline lake phases, record small variations in evaporation and precipitation, their effect on the physiochemical conditions, and changes in lake levels including highstands and lowstands (Rodó et al., 2002; Bonnefille et al., 2004; Allen et al., 2000; Moberg et al.,

2005; Abbott, 1997; Battarbee, 2000). High resolution data are also useful for reconstructing quantitative large-scale temperature changes (Jones et al., 1998; 2004).

The fossil record in lakes is also considerably useful for interpretations of environmental conditions as well as providing age constraints (Eardley et al., 1960). High resolution studies using the biotic component of lake sediments have shown to capture more subtle variation in lake conditions (Fritz, 1996) and climate changes on a millennial-scale to submillennial scale (Lotter et al., 1992, Wick et al., 2003). Fossils provide high temporal resolution by recording short-lived, less extreme fluctuations in the limnological environment (Palacios-Fest, 1993; Fritz, 1996, Eardley et al., 1960) because these taxa are restricted to the aquatic environment (Willman, 1985). In small lakes, population size is small, and there is less reworking and redeposition of sediments (Willman, 1985). Fritz (1996) asserts that fossils in lacustrine sediments provide a record that is more influenced by climate change, whereas sedimentological records of lakes record more complex interaction between the climate, hydrologic basin, and indirect climate influences of lake levels.

Bachman (1974) described the sedimentology and stratigraphy of the Waucobi lake beds. However, fossils from the beds have only anecdotally been included in any previous study of the Waucobi beds. This study evaluates the fossil ostracode and gastropod record and is used to test whether a high resolution signal of alternating lake phases can be resolved and if so, to test if a climate signal can be interpreted for the eastern Sierra Nevada region. While three species of gastropods were previously reported; ostracodes were discovered for the first time in this study.

This study will establish a baseline for environmental conditions in the absence of Sierra glaciation to better interpret terrestrial climate records following the late

Pliocene and to examine the variability tied to climate conditions in paleo-Owens Valley. Current impacts of recent and future climate changes require a baseline to measure the magnitude of observed or predicted changes (Hoffert et al., 1991; Fritz, 1996). Short stratigraphic sections were sampled at cm-scale resolution for fossil ostracodes and gastropods and taxonomic identification required. The identification of specific species yield information on general ecological habitat, salinity ranges, solute composition, and temperature ranges of the water they inhabit (Curry, 1999).

## **Background**

### ***Fossils of the Waucobi Lake beds***

Walcott (1897) and Bachman (1974) reported three fossils gastropod species from the Waucobi beds. Three additional species were found in this study. Fossil ostracodes were not previously reported, but they are abundant. Additionally fossil algae are present.

### ***Ostracodes as proxies for past conditions***

Ostracodes are microscopic bivalved crustaceans that develop via molting and whose shells, composed of low magnesium-calcite, (Chivas et al., 1995; Deckker, 1988; Engstrom et al., 1991; Ikeya, Holmes, 2002; 2005, Frenzel et al., 2005; van der Meeren et al., 2010) reflect the lake water chemistry (Chivas et al., 1986; Engstrom et al., 1991). Ostracode shells are commonly found as fossils in sediments and sedimentary rocks (Benson, 1981; Delmore, 2001; Frenzel et al., 2005; Ikeya, 2005, van der Meeren et al., 2011). The reconstruction of aquatic environments from ostracode shells can be

used for qualitative or quantitative changes in the terrestrial environment and infer climate influences (Fritz, 1996; Klkyliglu, 2003, 2005).

They are often used as a proxy for both marine and non-marine setting for past environmental and climate conditions (Ikeya, 2005) because they require specific physical and hydrochemical conditions, and are highly responsive to changes reflected in their biogeographical distribution (Neale, 1983; Park et al., 2003; Cohen, 2003; Holmes, 2002; Klkyliglu, 2004, 2005, 2006). Ostracodes are a strong proxy for saline waters because they are sensitive to varying lake conditions (Neale, J.W., 1983; Carbonel et al., 1988; De Deckker, P., 1981; Delmore, 1989; Engstrom et al., 1991, Williams et al., 2001) because the group spans the entire salinity range from freshwater to marine conditions (Frenzel et al., 2005).

Ostracode as individual species and assemblages are used as a biochemical proxy for the ionic concentration and composition as these are the major factors that determine abundance and distribution of non-marine ostracodes (Forester, 1986; Curry, 1999; Ikeya, 2005, Frenzel et al., 2005). Ostracodes provide a list of hydrochemical indexes of lake waters: pH, ionic composition, temperature, and total dissolved oxygen (Delorme, 1969, 1989; Carbonel et al., 1988; Forester, 1986; De Deckker, 1988; Holmes, 1992; Klkyliglu, 2004; Palacios-Fest, 1993; Frenzel et al., 2005; Mezquita et al., 2005).

The primary proxy used in this study is the salinity tolerance ranges of ostracodes as an index for past lake conditions. pH tolerances of some species can indicate whether a lake is in a closed or open hydrologic basin (Delmore, 1989). The hydrochemical limits of ostracodes can also indicate general limnological conditions: hyperhaline, euhaline, mixohaline, polyhaline, mesohaline, oligohaline, limnetic (Pfaff, 2004; Deckker, 1988). In saline lakes ostracode distribution is correlated with both ionic concentration and

composition, in which different species indicate different water chemistry, such as calcium-enriched waters versus carbonate-enriched (Fritz, 1996).

Ostracodes distribution and assemblage composition, however, is not a simple linear relationship. Biotic factors such as aquatic vegetation, competition, predation, parasitism, and predation also affect their distributions (Delorme, 1969; Mezquita et al., 2005). Additionally physical factors such as currents, flow energy, and permanence of the water body are additional variables. In reconstructing past lake conditions from species composition of ostracodes, ionic composition and concentration are suggested to be most significant variables determining species distribution (Delmore, 1969; Carbonel et al., 1983; Forester et al., 1985; Forester, 1986; De Deckker et al. 1988; Smith, 1993; Curry, 1999), however additional variable that can influence species distribution must be kept in mind (Herbst, 2001, Mezquita, et al., 2005).

#### *Gastropods as proxies for past conditions*

Using gastropod fossils as a climate proxy is more complicated as their distribution does not fall on a uniform continuum because physiochemical parameters including water temperature, current velocity, substrate composition, water depth, aquatic vegetation, competition, predation and permanence of the body of water create a myriad of varied environments that result in varied assemblages (Lodge et al., 1987; Sharpe et al., 2007; Costil et al., 1996). The numerous ecological parameters affecting their distribution are nonetheless able to indicate general environmental conditions. The physical parameters of depth and predator biomass have successfully predicted species composition in modern ecological studies (Turner et al., 2009).



Important chemical parameters that determine ostracode distribution are primary productivity, solute composition ( $\text{HCO}_3$  and Ca content in particular), pH and alkalinity (Aho, 1984; Sharpe et al., 2002, 2007). Water hardness and pH are important factors in determining gastropod distribution because these factors correlate with relative abundance patterns and gastropod densities (Brown, 2001). Low pH values limit calcium availability, and gastropod shell development at a regional scale (Lodge et al., 1987). Shell development requires water with adequate calcium at or above 5 mg/L  $\text{CaCO}_3$  (Brown, 2001). Solute composition is another critical chemical factor (Sharpe et al., 2007). The total dissolved solid (TDS) range of non-marine aquatic gastropods may not be directly related to the distribution of species; but it does relate to the changes in kind and amount of ions in the solute composition. Key anions to gastropod distribution are  $\text{HCO}_3$  ( $\text{CO}_3$ ) and calcium (Sharpe et al., 2007).

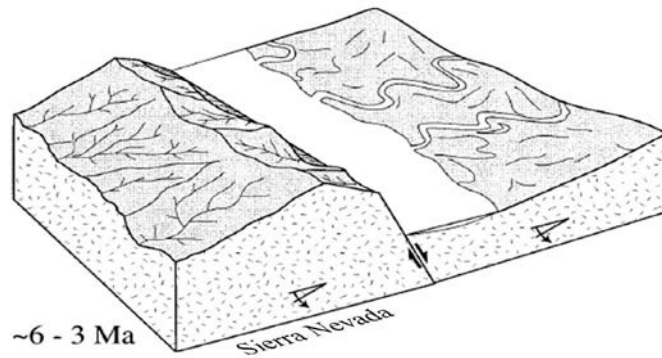
Species richness (SR) for modern freshwater gastropod assemblages provides varies ecological aspects (i.e. calcium, pH, total alkalinity, humic substances, and lake surface area) of physiochemical environment. Species richness for present freshwater gastropod has a positive correlation to comparative water body size (Aho, 1978; Browne, 1981) with lakes and rivers showing a higher SR value than ponds and creeks (Pip, 1987). Such a correlation might have application to paleo-communities of freshwater gastropods.

### ***Geological Setting***

Owens Valley is bounded by the Sierra Nevada on the west and the White Mountains on the east, both ranges having summit altitudes >4000 m (Bierman et al., 1991; Luddecke et al., 1998; Ernst et al., 2003). The Pliocene Waucobi lake basin was

located on the east side of present Owens Valley, as evidenced by ancient shorelines (Bachman, 1974). The Waucobi beds predate the uplift of the White-Inyo (Bachman, 1974). From mid- to late Pliocene, the Owens River drainage feeding Waucobi Lake likely resided in a broad, moderately elevated, low relief plateau that drained to the Pacific Ocean, Great Basin, and Mojave and Colorado drainages, and responsible for the modern linear deep valleys seen today in Owens Valley (Phillips, 2008). The beds were exposed by tectonic uplift of the White-Inyo Mountains (Luddecke et al., 1998) and eroded and dissected during episodes of alluvial fan formation and by modern streams (Bachman, 1974). The aerial extent of the exposure is 8 km by 4 km. The exposed lake beds are ~91 m thick, dipping westward at an angle of 6°. The base of the Waucobi beds is not exposed (Bachman, 1974).

The water source for the Pliocene lake came from the Sierra Nevada (Bachman, 1974). Sediments of the lake basin have a Sierra Nevada source (Bachman, 1978). Waucobi sediments are evidence that low-topographic relief existed between the valley and Sierra Nevada (Lueddecke et al., 1997). There was a rainshadow in the Pliocene at the time of Waucobi Lake, though perhaps as a less effective barrier to eastbound storms (Orme, 2008).



**Figure. 3 Block model of the tectonic stage of the Sierra Nevada and Owens Valley at the latitude of the town of Bishop before the uplift of the White-Inyo Mountains (Adapted from Lueddecke, 1997).**

### *Location*

The Waucobi lake beds are a prominent sedimentary deposit along the west face of the White-Inyo Mountains (Trowbridge, 1911). The beds in Soldier Canyon are located 80 north of Owens Lake and 5 km northeast of Big Pine, Owens Valley, Inyo County, California. The beds outcrop as hills 4.8 km east of highway 395 on California 168. The measured sections in this study are found at the GPS coordinates of: 37 10 34N, 118 11 33W (base of section); 37 10 35N, 118 11 (top of section).

### *Tectonic Basin*

Syn depositional faulting of the lake beds resulted in the 6° dip to the west during and after Waucobi Lake (Luddecke et al., 1998). The lake likely formed in a depression due to extension basin and range tectonism that became active at 6 to 3 Ma in the region (Burn et al., 2009; Phillips, 2008; Thompson, 1991) in what was a previously unbroken Sierra Nevada-White-Inyo block (Bachman, 1978). Extensional breakup just east of paleo-Owens River drainage began in the mid-Pliocene (Phillips, 2008). Tectonic activity reactivated Miocene faults, resulting in a north-south trending valley (proto-

Owens Valley) and the opening of basins by separating detachment structures (Phillips, 2008).

The White-Inyo Mountain fault is suggested to have first developed 3Ma (Luddecke et al., 1997). The White-Inyo Mountain fault intersects two other major faults: the Owens Valley fault and the Deep Springs fault (Jager et al., 2002). The Waucobi sediments were deposited a tectonically active basin similar to Owens Lake. Range-front faulting reconfigured block tilting of the White-Inyo Mountains and Owens Valley. There was also a shift in tilt polarity (Luddecke et al., 1997) as well as mafic volcanism (Thompson, 1991). This range-front faulting rotated the lake beds' original position to the west relative to Owens Valley (Bachman, 1974; Orme, 2008; Luddecke et al., 1998). Evidence for a westward shift of the Waucobi lake basin is based on the movement of the lake depocenter, movement of shorelines, and detritus from the Sierra Nevada and the White-Inyo Mountains as the White-Inyo Mountains were uplifted and Owens Valley was depressed (Bachman, 1978).

The landscape development of Owens River basin is only partially understood. Present Owens Lake and Waucobi lake beds lie in the same structural basin, and received runoff from the Sierra Nevada (Hay, 1964). Source rocks for the two lakes were almost the same. Hence the waters of the two lakes should have similar hydrochemistry with respect to dissolved ions (Hay, 1964). Climate controlled lake parameters were also similar. According to Hay (1964), the hydrochemistry was very likely alkaline and rich in dissolved sodium carbonate and bicarbonate during the saline lake phase of the Waucobi beds, similar to Owens Lake (Hay, 1964). Carbonate enriched lakes are common to lakes groundwater discharge area of the U.S. and Mexico with pH ranges of ~9.6-10.5

(Delmore, 2001) which is higher than the average pH lake range of ~6-9 (Bronmark et al., 2005; Delmore, 2001).

The Waucobi is located within the rainshadow of the Sierra Nevada, an intense rainshadow for the region east of the range (Bierman et al., 1991). The rainshadow created by the uplift of the Sierra Nevada influences the amount of precipitation that reaches the eastern Sierra Nevada region (Thompson, 1991). The cold north Pacific oceanographic conditions strongly influence precipitation patterns, resulting in arid condition for the leeward side. Cold waters off the Pacific tend to produce low convecting layers (Minnich, personal communication, 2012). These factors contribute to the arid conditions for the eastern Sierra Nevada region.

There is no consensus on the timing the Sierra Nevada uplift and its affect on Cenozoic climate in the western United States (Poage et al., 2002; Phillips, 2008; Hay et al., 2002; Figueroa et al., 2010). The long-standing view is that the Sierra Nevada topographic development primarily occurred at 10 Ma with a mean elevation comparable with the modern range (Mulch, 2008; Pelletier, 2007; House et al., 1998, 2001; Small et al., 1995). The Sierra Nevada may in fact have lost elevation in the Late Cenozoic (Poage et al., 2002). The alternate view is that the Sierra Nevada was not a major topographic feature until 5-3 Ma (Ducea et al., 1998; Farmer et al., 2002; Molnar, 2004; Zandt, 2004; Huber, 1981; Unruh, 1991; Dalrymple, 1964; Wakabayashi et al., 2001; Axelrod, 1962; Jones et al., 2004). Thompson (1991) estimated elevation of the Sierra Nevada crest is at 2100 m at 3Ma, while Orme (2008) suggest it was possibly 1000 m lower. The fossil plant record supports the idea that tectonic activity contributed to the rapid rise of the Sierra Nevada beginning in the late Miocene into the Pliocene (Minnich,

2007). Late Tertiary paleobotanical data support the idea of developed arid conditions in the Great Basin (Axelrod, 1948; Jones, 2004).

### *Age constraints*

Overlying alluvial deposits, consisting of pebble, cobble, and boulder conglomerates with angular to sub-rounded clasts, referred to as fanglomerates (alluvial fan gravels) by Bachman (1974) unconformably cap the lake beds. Ashes from the White-Inyo Mountain range front are widespread and easily identifiable stratigraphic markers (Lueddecke et al., 1997). Lueddecke et al. (1997) found an ash within the fanglomerate sequence that is similar to an ash in Black Canyon and correlated the two. High-precision  $^{40}\text{Ar}/^{39}\text{Ar}$  radioactive age dating yielded an age of  $2.82 \text{ Ma} \pm 0.02 \text{ Ma}$  for the Black Canyon ash (Lueddecke et al., 1997). Based on the correlation, this ash in the fanglomerates overlying the lacustrine deposits sets the upper minimal age constraint for the lake beds at  $2.8 \text{ Ma} \pm 0.02 \text{ Ma}$ .

However, younger inferred ages from tephra correlation are reported by Bachman (1978), Izett (1981), and Sarna-Wojcicki et al. (2005) and place the Waucobi beds closer to  $\sim 2.1 \text{ Ma}$ . This discrepancy is a result of numerous correlations of different ashes from the eastern Sierra Nevada region to ashes within the Waucobi sediments. A bed in the Waucobi sediments identified as containing the Taylor Canyon-C tephra by Izett (1981) yielded an age of approximately  $2.1 \pm 0.02 \text{ Ma}$  dated by K/Ar. Feldspar crystals from upper portion of the Waucobi lake beds dated at  $2.3 \text{ Ma}$  with K/Ar (Hay, 1966; Thompson, 1991; Bachman, 1978). Using electron-microprobe analysis (EMA) of glass from Waucobi tephra layers, Sarna-Wojcicki et al. (2005) correlated Blind Spring Valley ashes dated at  $2.2 \text{ Ma}$  to two samples of Waucobi tuffs. Using instrumental

neutron activation analysis (INAA) the same samples correlated to two other tuffs: Emigrant Pass dated at 2.1 Ma and lower tuffs of Glass Mountain dated at 1.98 Ma, while two samples did not match any tephra (Sarna-Wojcicki et al., 2005). Two other ash samples from Waucobi beds examined by Sarna-Wojcicki et al. (2005) correlated best to Willow Wash ash dated at 2.84 to 2.57 Ma.

The tephrochronology method in Sarna-Wojcicki et al. (2005) does not date actual material from the Waucobi beds; no study to date use ash directly from the Waucobi Lake beds to obtain a radioactive date. All these studies precision relies in the accuracy of correlations between ashes found throughout the region. Although there are other papers that yield younger dates besides Sarna-Wojcicki et al. (2005), the correlations have less agreement in matching the ashes from the Waucobi beds. The most consistent age currently, hence, for Waucobi beds is at this time here reported as 2.3 - 2.8 Ma.

### ***Sedimentological Setting***

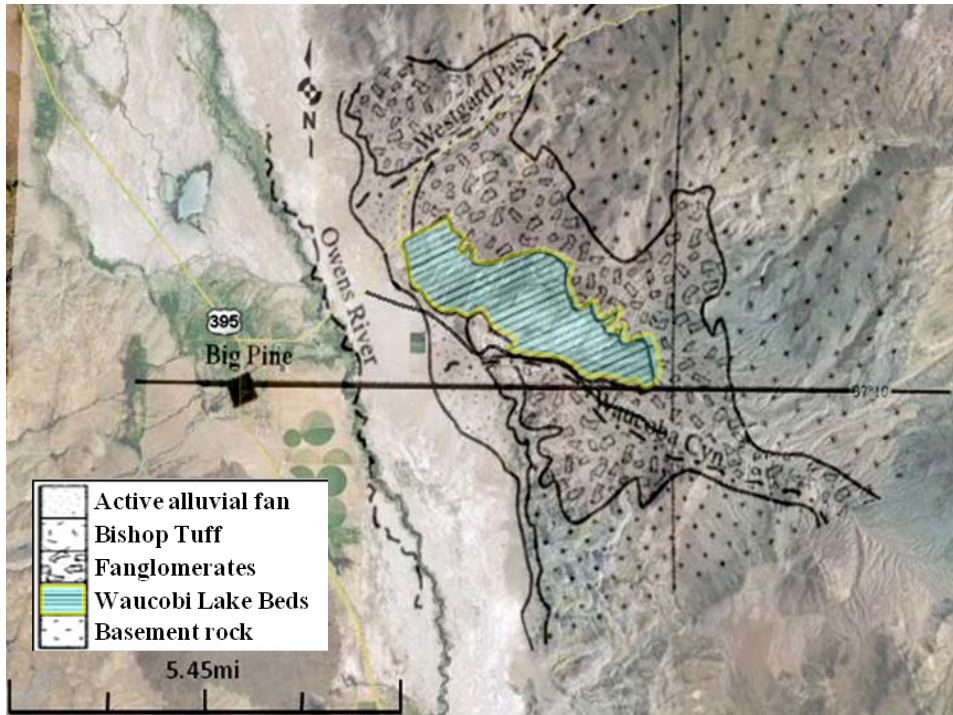
The strata of the Waucobi lake beds are primarily fine calcareous and argillaceous deposits (Walcott, 1897), alternating in color between light white/gray to buff/brown. Near the steeper slope of the White-Inyo Mountains, the sediments become coarser and coarser, and brown arenaceous beds predominate over the light gray sediments as ½ -16 cm beds of unlithified mudstone, claystone, sandstone, tuff, and cinder beds (Bachman, 1974) with about half a dozen rhyolite tuffs 1 to 30 cm thick scattered throughout the layers (Hay, 1965; Sarna-Wojcicki, 2005) that have not been dated.

Evaporites in the Waucobi beds recognized by Bachman (1974) include gypsum, halite, polhalite, sylvite, and trona. Gypsum is readily found as a secondary feature within the lake beds. Calcite was the only cementing agent (Bachman, 1974). A sharp conglomerate contact unconformably overlies the beds, marking an abrupt end of lacustrine conditions. The conglomerate layer is formed of fragments of arenaceous limestone, siliceous shale, and quartzite that have eroded from the White-Inyo mountain slopes (Walcott, 1897).

The lake beds share similar characteristics to Lake Bonneville and Lake Lahontan basins (Walcott, 1897). Bottom coarse material was washed from the mountains to near the shoreline with the finer sand and silt carried farther out and deposited with the calcareous sediment and shell remains (Walcott, 1897). Kodama et al. (1978) interpreted some lake sediment to be derived from glacial activity, but no other studies confirm this finding.

The tuffs varied from pumice lapill tuffs to massive, silty, fine-grained vitric tuffs (Sarna-Wojcicki et al., 2005). Evenly bedded unaltered tuffs and gastropod fossils were assigned to represent a large freshwater lake phase (Hay, 1965). In the saline phase, the rhyolite tuff was altered to the zeolites phillipsite and clinoptilolite (Hay, 1965). The alternating saline and freshwater conditions described by Bachman (1974) occur on a low resolution stratigraphic on the meter scale. The average facies thickness described by Bachman (1974) for the area in this study was 11 meters. The beds containing fossils are primarily claystone with minor amounts of siltstone and unlithified sandstone.

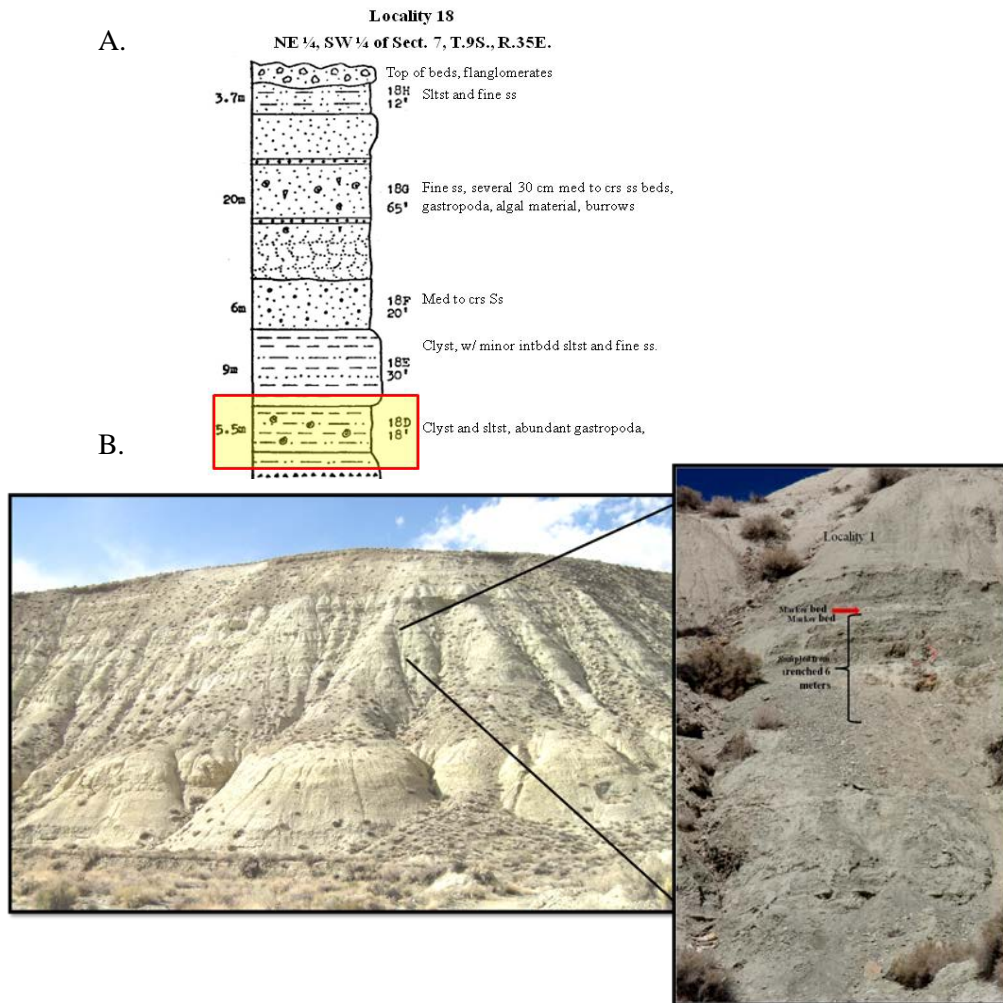




**Figure 4. The Waucobi lake beds and generalized geologic units (adapted from Bachman, 1974).**

### **Methods & Materials**

Samples were collected from the Waucobi lake beds from two sites. Locality 18 of Bachman (1974), renamed WB-B18, was chosen as a sampling site because of the presence of a 5.5 m section described as having abundant gastropods (Figure 5). The sampling interval was found by locating locality 18 and measuring down 39 m from the fanglomerates capping the lake beds. Sampling started from a distinct marker bed characterized by light buff very coarse grain sand lithology. The sites were trenched inward from 0.25 m to 0.6 m until weathered sediments and modern roots were no longer visible. Both sections were measured stratigraphically described, and photographed.



**Figure 5. A. WB-B18 described and measured by Bachman, 1974 highlighting the 5.5 m interval sampled for gastropod fossils (Adapted from Bachman, 1974). B. Sampled section WB-J1.**

*Sedimentary columns*

For each section, sedimentary columns were constructed to record the ostracodes and gastropod content in relation to the sedimentology (e.g. sorting, color, texture, grain size, etc.) and lithology (e.g. bedding, evaporate content, grading, other sedimentary structures, lithological percentage, etc.) for both sites. Lithology, grain size and shape, unweathered color, sedimentary structures, fossil content were used to identify preliminary facies in the field.

### ***Field Sampling Methods***

The beds with gastropods and ostracodes were traced laterally 3 m each way to ensure sampling represented the bed's fossil content. The samples were collected in ~ 4 liter bags at cm intervals based on visible original bedding planes, 3- 5 cm for fossil-containing beds, and 5-10 cm for lithology samples absent of fossils for a total of ~3 m for site WB-B18 and ~5 m for the second site WB-J1. Bulk lithology samples of ~3 liters were collected for fossils-containing beds, totaling ~3 m over the ~5 m interval at WB-J1 and ~1 m for the ~3 m interval at WB-B18. The sites were directly across from each other, separated by an eroded channel 15-20 m in width with an intermittent dry stream bed. In several instances additional sub-samples were taken between 3- 5 cm fossil-bearing beds that appeared as a different facies by a change in color, lithology, and fossil content.

### ***Fossil Extraction***

In the laboratory, gastropod and ostracode specimens were extracted using various methods, depending on the lithologic composition of the bulk sample. Fossils within clay and sand beds were extracted by softening the clay by spraying the sample's surface with water without saturating it. The soften sample was then pulled apart along planes of separation the water created upon infiltration. Sample of harder well-cemented clays were soaked in water to create infiltration water fractures. Molds, cast, and original shell material were picked by partially etching out the shell with dental tools. Partial extraction from the cement around the sample kept the gastropod shells from fragmenting. A small percentage of gastropod shells weathered out unbroken with sufficient exposure for identification. The ostracodes were extracted with fine-tip

brushes and water. The number of gastropods and ostracode shells counted varied for each bulk sample.

### ***Fossils Identification***

Fossil gastropods were identified by comparing images with the *Freshwater Gastropods of North America* (FWGNA) guide (<http://www.fwgna.org/>) and the *Field Guide to the Freshwater Mollusks of Colorado* (Harrold, 2010) and from Pliocene to recent mollusks sources (Burch, 1989; Hershler, 1996, 1999; Wootton, 1974; Hovingh, 2004; Baker, 1922; Pilsbry, 1891; Henderson et al., 1917; Taylor, 2002, 2003; Hubbard et al., 1985, Beauchamp, 1981, 1986, 1988; Sterki, 1920; Harman et al., 1970; Thompson, 2004; Wethington, 2004). Ostracode fossils were identified with a table made of images of the carapaces from various literature sources (Palacios-Fest, 2009; Forester, 1985; Kiss, 2007; Delorme, 1967, 1978, 1989; Dennison-Budak, 2010; Stout, 1975; Curry, 1999; Bhatia, 1971; Bright et al., 2011; Gutentag et al., 1962; Wilkinson et al., 2008; Prámparo, 2005; Pérez, 2010; Nagorskaya et al., 2005; Smith, 1993; Burke, 1987; Scharf, 1998; Holmes, 1992; Pieri et al., 2009; Alvarez-Zarikian et al., 2008; Mischke, 2010; Hanai, 1988; Kienast et al., 2011; Staplin, 1963; Bunbury et al., 2009). Guides used for identification include the UCL Microfossil Image Recovery and Circulation for Learning and Education (MIRACLE) website, and the North America Freshwater Ostracode database. Ostracode specimens were photographed with a Lecia microscope, using Lecia software to enhance the image, and submitted to Dr. Manuel Palasio-Fest from Terra Nostra Earth Sciences Research, to confirm identification. Unidentifiable fossils were not included in the final dataset for analysis.

## **Analysis**

### *Relative Abundance*

Fossil counts were normalized to relative abundance. Relative abundance was graphed in stratigraphic context for each measured section to create a signal of salinity and freshwater conditions for the lake beds. Presence/absence logs were used to view species distribution through the sampled intervals. Species abundances were further normalized by processing the same amount of bulk sample for each bed.

### *Clustering Method*

The statistical program Paleontological Statistics (PAST) ver. 2.10 was used to cluster ostracode and gastropod into assemblages and generate rarefaction curves to determine whether sampling was sufficient. The cluster analysis was performed using Ward's method to determine the number of major groups because the method tends to yield fewer clusters than other clustering methods (Holland, 2006). The large dataset generated in this study would result in numerous small groups with less diversity using other clustering methods, making patterns less obvious and clusters less manageable. Fewer clusters yield a lower degree of similarity between species in the assemblages, and higher SR values. Four major clusters from relative abundance were generated, in which only clusters greater than two taxa were considered. The fourth cluster was divided into two sub-clusters, totaling six ostracode assemblages.

### *Species Diversity Calculation*

The Shannon-Weiner index (H) equation  $H' = \frac{N \ln N - \sum (n_i \ln n_i)}{N}$

was used to calculate species diversity. Charts of physical and chemical ecological information for identified gastropod and ostracode species were created to compare and constrain environmental conditions between species.

#### *XRD Analysis*

Analysis using Rigaku Ultima IV X-ray Diffractometer (XRD) was performed to confirm the presence of evaporative and/or zeolites in the sediment. Ten samples analyzed were from section WB-J1.

### **Results**

#### *Lithology*

Clay, silt, and sand characterize the fossil-rich sampled sections. The sections are primarily composed of well-bedded clay with less than twenty beds ranging from 10 to 0.5 cm in thickness of sand and silt interbedded within the clay (Figure 6). Evaporites occur in the clay at the upper section of WB-J1. The clay occurs as thick- to medium-bedded planar light gray absent of discernible sedimentary structures. Sand beds, 0.5 cm to 10 cm on thickness, range from well-sorted medium to very coarse planar gray and brown thin beds with iron oxidized grains and are prevalent throughout the lower portion of the WB-J1 section and throughout the WB-B18 section. The silt beds are characterized as gray planar thin-bedded, 3 cm or less, beds absent of discernible sedimentary structures that interfinger the clay beds. Lenses of secondary gypsum occur throughout both sections. An 8 cm very coarse, well-cemented gray silty sand bed occurs 11 cm from the bottom of WB-J1. Just stratigraphically above is an unconsolidated grayish white ash layer. The clay grades into the sand beds on several occasions.

With ten powdered samples of clay and sandy clay from the upper portion of section WB-J1, XRD analysis confirmed the presence of evaporates and zeolite in the beds. The samples analyzed were absent of fossil gastropods and ostracodes. Evaporates confirmed being present include polyhalite, sylvite, and trona. XRD analysis confirmed the presence of the zeolite erionite.

### *Depositional Environment*

Both sections can be generally characterized as lacustrine mixed with some minor fluvial deposits. The most common lithofacies is thin-bedded planar clay. The clay beds represent a well-developed lacustrine sequence consisting of ostracode and gastropod fossils from species that occupy both fluvial and lacustrine habitats. Results from this study indicate an extensive and well-developed open lacustrine sequence for the clay beds, indicating deep calm water near or at the depocenter of a lake. Concentrations of broken gastropod shells in the clay represent transport indicating a change to a fluvial environment or storm events flushing in the material.

Minor lithofacies include thinly bedded sandstone and siltstone, at times interfingering the clay beds. The sand and silt beds indicate a marginal lacustrine environment receiving river or stream discharge. In section WB-J1, sands overly clay beds and in both sections ostracode fossils are found in the sands and silts; it appears as though the sands represent a coarser facies being deposited contemporaneously with finer-grain deposits of silt and clay. Changes from clay to sand beds likely represent a change to a marginal lacustrine setting rather than a fluvial environment. The lack of sedimentary structures, such as cross-bedding or ripples, in the sand beds further argue against a fluvial environment. The sand beds are more suggestive of lacustrine deposition

by their planar thinly-bedded well sorted characteristics. The silt beds are also interpreted as marginal lacustrine deposits for the same reason.

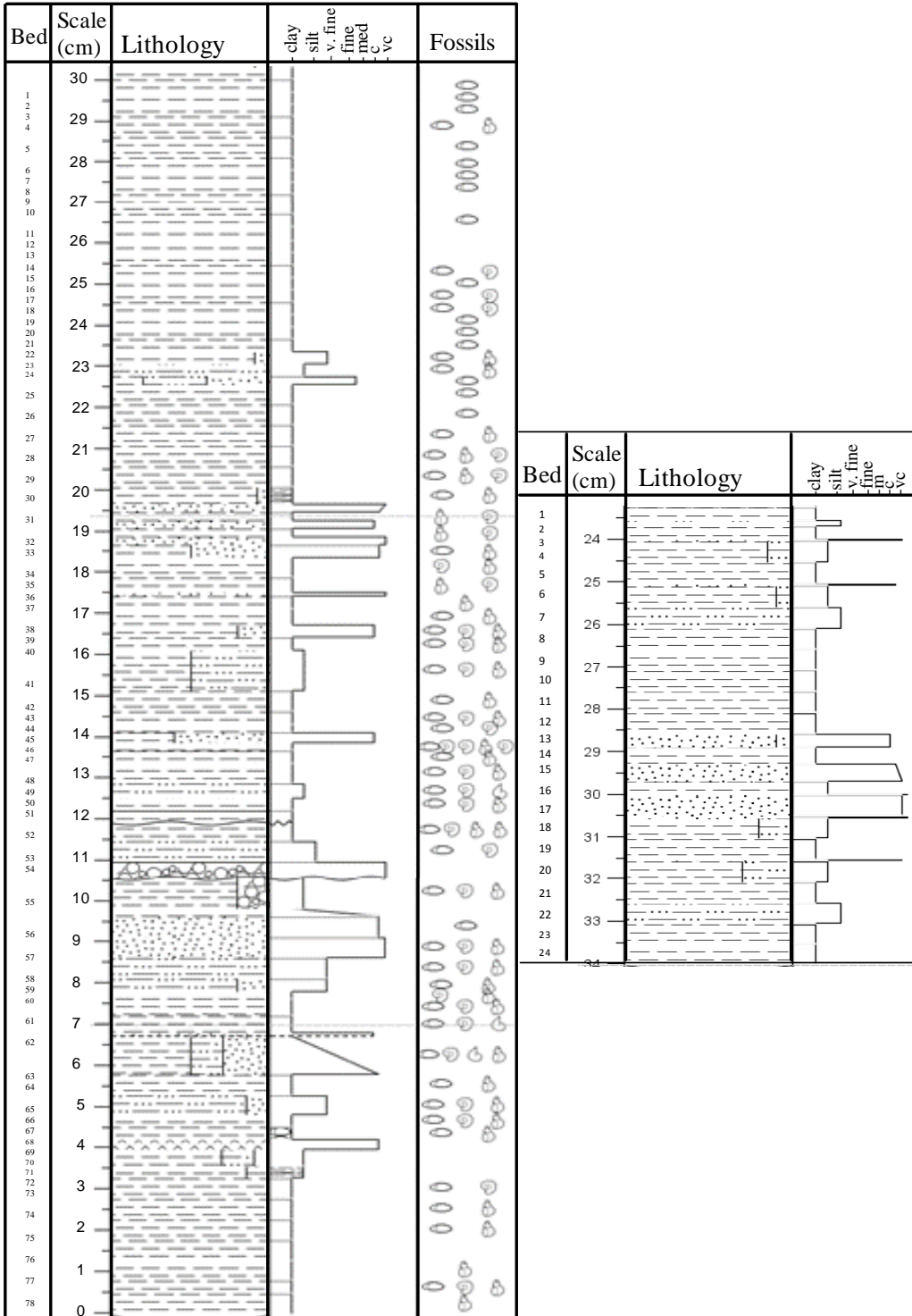


Figure 6. Lithological logs for site WB-J1(right) and WB-B18 (left).



### ***Calculated Temporal Scale***

Ash beds correlated from to ashes within the Waucobi beds constraint the upper age of the beds at 2.3 to 2.8 Ma. Based on the average sedimentation rate for Owens Lake of about 40 cm/kyr (Bischoff et al., 1998), the estimated temporal resolution for the Waucobi beds is approximately  $7.5 \pm 0.5$  ky for the 3m WB-J1 sections. Table 1 gives the temporal resolution for section WB-J1 and the total thickness of the exposed beds. The estimated time represented resolution for the total thickness of 90 m for the Waucobi beds (Bachman, 1974), is 2,250 kyr.

**Table 1. Temporal scale estimations based on thickness and depth from top.**

	<b>Thickness (m)</b>	<b>Depth from top (flanglomerate cap)</b>	<b>Average sedimentation rate of Owens Lake</b>	<b>Temporal Resolution</b>
<b>Section WB-J1</b>	<b>3 m</b>	<b>~30 m</b>	40 cm/kyr	7, 500 years
<b>Section WB-B18</b>	<b>1.5 m</b>	<b>~32 m</b>	40 cm/kyr	3,750 years
<b>Entire Waucobi beds</b>	<b>90 m*</b>	<b>NA</b>	40 cm/kyr	2,250,000 years

\*Base of the Waucobi beds is not exposed, does not represent total thickness.

### ***Paleontology***

Nearly eighteen thousand specimens were collected and identified from bulk samples. Three fossil groups were found: ostracodes, gastropods, and algae. A total of twenty seven species occur, six gastropods and twenty one ostracodes. Fossil algal material occurs throughout in every bed but was not used because it was beyond the scope of the project. Hay (1964) reported the presence of bivalves in the Waucobi beds but none were readily identifiable in this study. More bulk samples were collected from section WB-J1 than section WB-B18; results will be reported with an emphasis to WB-J1. The stratigraphic section from WB-J1 includes approximately 2 m more of section with fossils than WB-B18.

### *Taphonomy*

Paleoecological interpretations require understanding how taphonomy has modified the remains of organism (Cohen, 1989). Although studies have established interpretation can still be made from the fossil assemblages that have undergone taphonomic processes of biostratinomy and diagenesis, it affects degree of resolution in paleoecological reconstructions (Izuka et al., 1986).

### *Biostratinomy*

For the paleoenvironmental reconstruction of ancient lakes using assemblages of lacustrine fossils, it is important to know if horizons were formed primarily through transported shell accumulation, through biologic concentration mechanisms, or concentrations lags of autochthonous shell material (Cohen, 1989). Biostratinomic processes affected the distribution of major taxa, in varying amounts in the two sections. In general, the relationship is beds with coarse sediment, in particular sand, resulted in low species diversity and specimen counts. Fossils preserved in sand showed the poorest preservation and sandy beds typically yielded few or no fossils, with slight increase in species counts when sand beds were mixed with silt and clay. Sandy beds, thus, yielded the least diverse assemblages and are also likely the artifact of biostratinomic processes.

Beds prominently composed of silt were similar to the sandy beds in yielding low fossil counts and species diversity. Fossil counts increased moderately when mixed with clay. The clay beds produced the most diversity and highest absolute abundances of fossils and the fossils were the best preserved with the least evidence of breakage as a result of biostratinomic processes. Although the sandy beds yielded lower counts and diversity, sand was a minor constituent of the overall lithology and did not affect the data.

The variation of ostracode and gastropod fossil distribution was recorded in the clay beds, the bulk of the lithology, which produced large N values.

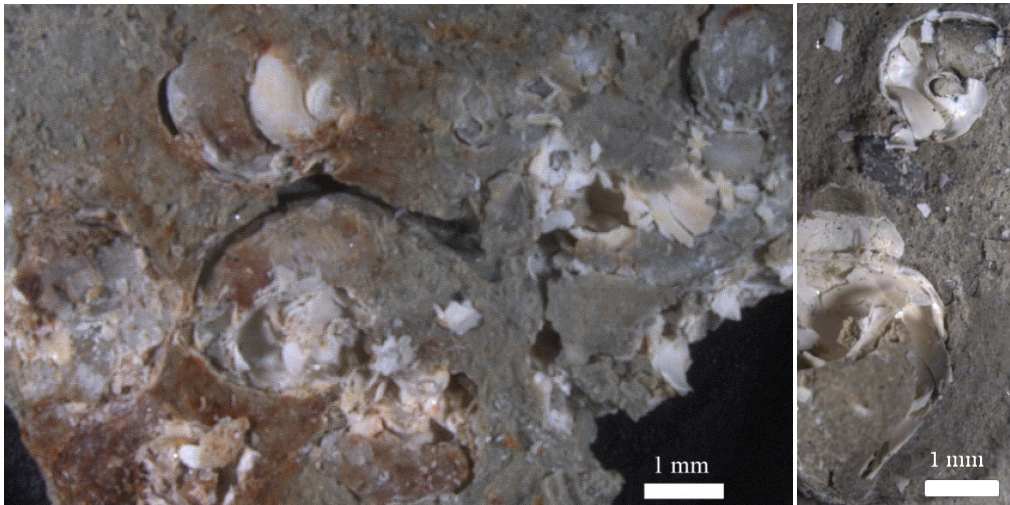
Too fragile to extract whole, gastropod shells did not exhibit fragmentation from transport. In a few beds, the gastropods appeared as clusters of high shell concentrations in which shells were overlying each other (Figure 7). Some ostracodes shells did show evidence of transport and accumulation with shells uniformly disarticulated. The abundance and wide distribution of gastropod *Valvata sincera* in the Waucobi beds is likely due to its higher chance for preservation. It had the ability to adapt to fluctuations in the trophic state of the habitat because it is both a nektonic and benthic species (Mezquita et al., 1999). It tends to inhabit permanent aquatic and relatively large deep lakes. *V. sincera* extant relatives live in cold water enriched with calcium, in large oligotrophic lakes in depths greater than 2 m (MA Natural heritage endangered species program, 2008). Deep lakes likely allow for high shell preservation and can further account for its abundance in the Waucobi sediments. In well-preserved ostracode fossil beds, disarticulated valves were smooth, and found in random orientation, supporting little or no transport.

Variation in valves sizes belonging to individual species that contain an entire or incomplete life assemblage can indicate whether or not a sample has undergone sorting or reworking (De Deckker, 1988). Most of the ostracodes beds consist of a mix of juveniles and adult valves from different species. These same beds of mixed assemblage of species from different life stages yielded high species counts, suggesting the assemblages closely represent the live assemblages.

### *Diagenesis*

Diagenesis includes the processes of altering the original specimen, visible as surfaces that are pitted, dissolved, or recrystallized (Bennett et al., 2011). Ostracode fossils show the best preservation, preserved as disarticulate isolated valves. Preservation was poor for most gastropod fossils. Preservation varies from no original shell material, fragile molds and casts, to full and intact shells. Taphonomic effects caused the gastropod fossil shells to be susceptible to fragmentation, and difficult to extract from the clay matrix without causing fragmentation. The effects of diagenesis altered the colors of some fossils. In some cases parts of the ostracode valve edge was fragmented and the deteriorated overall shape of the carapace, allowing identification to only genus level.

Although original shell material was present, many gastropods and ostracodes were preserved as molds and casts. Molds lacking morphological features and fragmented shells were identified by genus level, but not included in the dataset.



**Figure 7. Fragmented gastropod shell clusters from bed 40.**

## ***Ostracodes***

Ostracodes are abundant at both sites with twenty one species from eleven genera, all extant. Nine species are freshwater, eight cosmopolitan, and four high salinity tolerant species (Table 3). Most ostracodes are found in modern ponds and lakes that can be viewed as belonging to a transitional system, i.e., falling on a continuum from shallow to deep water (Delorme, 1989). Ostracode species can be classified as either cosmopolitan species with wide environmental tolerances, or indicator species with limited geophysiochemical distributions (Fernandes-Martins et al., 2010; Klkylođlu 2004). Although ostracodes in general constrain the ecological parameters of their aquatic habitat, indicator species are critical to paleoreconstructions. Most species have a more limited distribution than would be predicted by their physiological tolerances (Lampert et al., 1997), and the presence of indicator species constrain parameters further, yielding a less generalized interpretation of the paleosalinity of their aquatic environment. Specifically, saline-lake conditions are indicated by limnocytherid ostracode species, and absence or near absence of gastropods (Kowalewska et al., 1998). The limnocytherid ostracodes in this study are *Limnocythere ceriotuberosa* and *Limnocythere inopinata*.

## ***Ostracode Distribution and Abundance***

Freshwater and cosmopolitan species are the most commonly distributed ostracodes in both sections. The two sections primarily contain cosmopolitan and freshwater ostracodes. *Darwinula stevesoni*, *Fabaeformiscandona acuminata*, *Candona caudata*, and *Candona candida* are the most abundant species and are the most widely distributed in the sampled interval of section WB-J1. *D. stevesoni* and *C. caudata* are cosmopolitan, and *F. acuminata* and *C. candida* are freshwater-indicators. *D.*

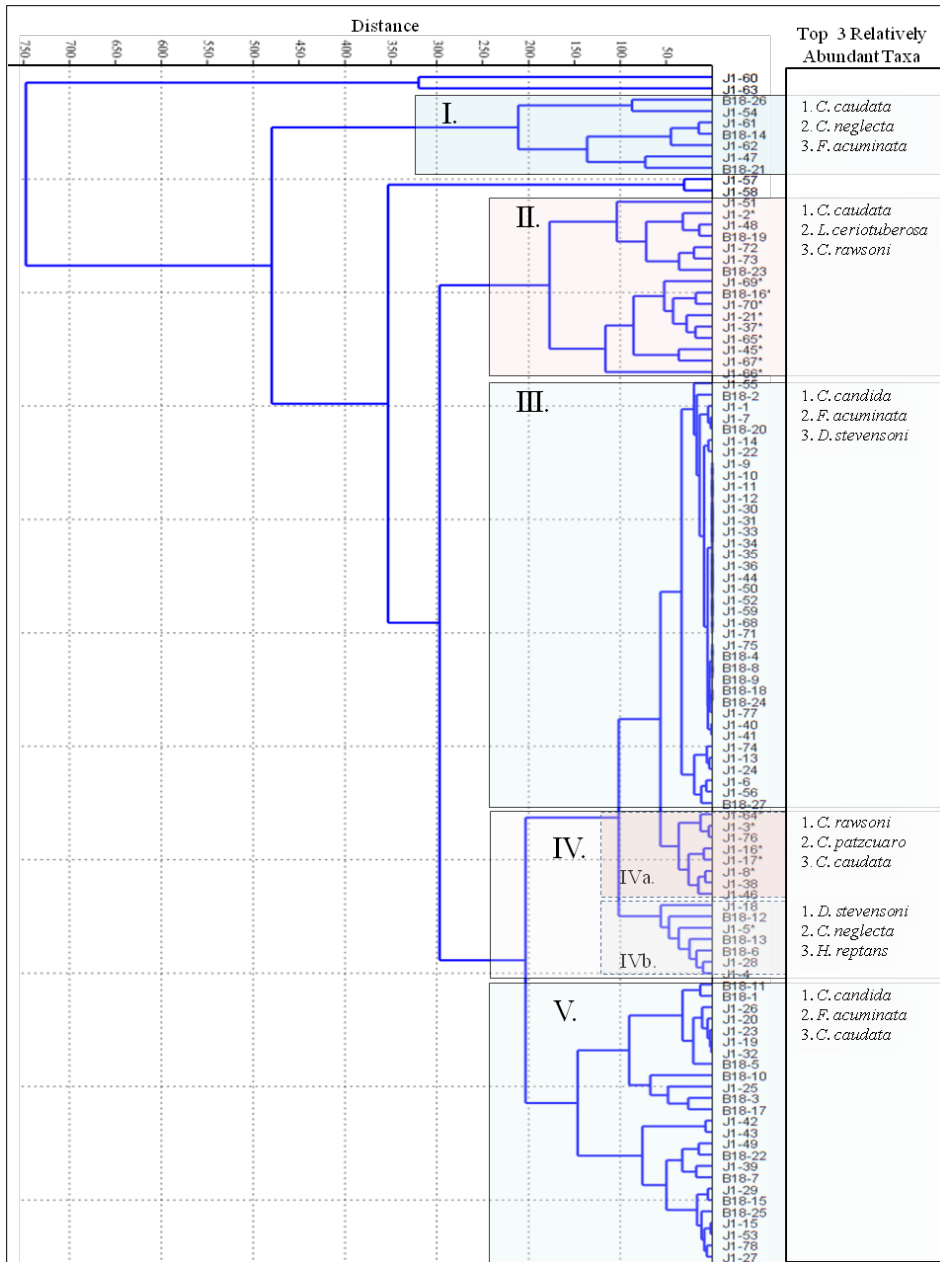
*stevesoni* is the most stratigraphically distributed taxa, commonly occurring with *F. acuminata*. *D. stevesoni* and *F. acuminata* stratigraphic distribution is greatest over three intervals, reaching their maximum distribution in lower part of the section for approximately 60 cm (Figure 9). For the other section, WB-B18, *F. acuminata* and *C. candida* are the most widely distributed stratigraphically and are the most abundant (Figure 10).

For the section of WB-J1, the saline-tolerant ostracodes occur with cosmopolitan ostracode over short stratigraphic intervals as eight discrete intervals spanning from 2 to 8 cm stratigraphically. Several discrete beds preserving saline-tolerant species occur with freshwater gastropods. Included in the saline-tolerant assemblage are *Fabaeformiscandona acuminata*, *Darwinula stevensoni*, and *Candona caudata*. *F. acuminata* has a significantly lower salinity/TDS tolerance. *Limnocythere ceriotuberosa* occur each time as the most abundant or second most abundant species in the interval. *Candona rawsoni* also commonly occurs; it is the most saline-tolerant abundant species, and *L. ceriotuberosa* is present as the second or third abundant and occurs each time in the eight intervals of saline-tolerant species assemblages. The most abundant salinity tolerant ostracodes are *Cyprideis beaconensis* and *L. ceriotuberosa*. These two species with the highest salinity tolerances were the relatively least abundant; however it does not curtail their significance.

#### *Ostracodes Assemblages*

Based on the species total abundance in the beds, six ostracode assemblages were identified with cluster analysis. Of the six assemblages, two assemblages contain taxa with high salinity tolerances. The saline assemblages are characterized by *Limnocythere*

*ceriotuberosa* for Assemblage II and by *Candona rawsoni* for Assemblage IVa (Figure 9). The remaining assemblages are dominated by cosmopolitan and freshwater taxa: Assemblage I by *Fabaeformiscandona acuminata*, Assemblage III by *Candona candida*, Assemblage IVb by *Darwinula stevensoni*, and Assemblage V by *F. acuminata* and *Candona candida*. The ostracode fossil assemblages occur in two patterns: (1) without gastropods, and (2) when gastropod *Valvata sincera* is not the most abundant species. The entire taxa composition for each assemblage is listed in Table 4.



**Figure 8.** Dendrogram of the cluster analysis of the beds showing the similarity level between ostracode assemblages using Wards method in PAST, in which distance from the lower values represent less similarity between the clustered taxa. I, II, III, IV, V refer to major species groups and IVa and IVb represent sub-groups of ostracodes. The three most relatively abundance species are shown on the right.



**Table 2. List of taxa comprising the assemblage generated from cluster analysis.**

Assemblage I	Assemblage II	Assemblage III	Assemblage IVa	Assemblage IVb	Assemblage V
<i>C. acuta</i>	<i>C. glaucus</i>	<i>C. caudata</i>	<i>L. ceriotuberosa</i>	<i>C. beaconensis</i>	<i>C. rawsoni</i>
<i>C. caudata</i>	<i>C. beaconensis</i>	<i>C. neglecta</i>	<i>C. patzcuaro</i>	<i>C. patzcuaro</i>	<i>C. acuta</i>
<i>C. neglecta</i>	<i>L. ceriotuberosa</i>	<i>D. stvensoni</i>	<i>C. rawsoni</i>	<i>C. rawsoni</i>	<i>Ilyocypris sp.</i>
<i>H. incongruens</i>	<i>C. patzcuaro</i>	<i>H. incongruens</i>	<i>C. caudata</i>	<i>C. caudata</i>	<i>C. caudata</i>
<i>F. wegelini</i>	<i>C. rawsoni</i>	<i>E. meadensis</i>	<i>C. neglecta</i>	<i>C. neglecta</i>	<i>C. neglecta</i>
<i>Candona sp.</i>	<i>C. acuta</i>	<i>F. acuminata</i>	<i>P. unicaudata</i>	<i>D. stvensoni</i>	<i>D. stvensoni</i>
<i>C. candida</i>	<i>Ilyocypris sp.</i>	<i>C. lacustris</i>	<i>D. stvensoni</i>	<i>H. incongruens</i>	<i>H. incongruens</i>
<i>D. stvensoni</i>	<i>C. caudata</i>	<i>C. candida</i>		<i>C. ophthalmica</i>	<i>C. ophthalmica</i>
<i>F. acuminata</i>	<i>D. stvensoni</i>			<i>H. reptans</i>	<i>E. meadensis</i>
	<i>H. incongruens</i>				<i>F. acuminata</i>
	<i>H. reptans</i>				<i>C. candida</i>
	<i>F. acuminata</i>				<i>Candona sp.</i>
	<i>Limnocythere sp.</i>				

The five major clusters generated using PAST, do not tease out the effects of taphonomy because it was based on species occurrence in each bed. One way to work around effects of taphonomy is to deduce what species coexist based on the community composition of living relatives (Keen, 1977). Fossil assemblages grouped together based on co-existence of taxa in beds are assumed to have had similar environmental requirements and species not belonging to such an assemblage indicate reworking. A few beds showed evidence of reworking. Assemblages, such as those in a 2 cm interval at 135 cm of WB-J1, have one or two ostracode species that have conflicting environmental conditions with the ostracode assemblage.

The WB-J1 section has more freshwater and cosmopolitan assemblages spanning a greater proportion of the section than saline-tolerant assemblages. The saline-tolerant ostracode assemblages in section WB-J1 span eight short intervals from 2 to 8 cm stratigraphically. The saline intervals consist of the saline-tolerant ostracode from Assemblage II for most of the section starting from the bottom. *Limnocythere*

*ceriotuberosa* is the most abundant or second most abundant species in this assemblage. The second saline-tolerant ostracode assemblage, IVa, occurs in the upper portion of the section replacing the previous Assemblage II. *Candona rawsoni* is the most abundant species in Assemblage II, although *L. ceriotuberosa* is also present. The saline-tolerant ostracodes assemblages show a strong co-occurrence with the cosmopolitan ostracodes *C. caudata* and *D. stvensoni*.

#### *Ostracode Assemblages: Species Richness & Diversity*

For both section WB-J1 and WB-B18, the highest diversity beds consist of ostracodes from Assemblage II and IVa, which consist of high saline-tolerant species that are followed by beds with low diversity of freshwater ostracodes. In section WB-J1 ostracode richness is low in beds 39, 38, 37 over 12 cm when gastropod richness is at maximum. Species richness reaches a maximum of nine species at 45 cm and is represented by two types of assemblages with high salinity tolerances. The freshwater and cosmopolitan ostracode assemblages reach a richness of eight species in the upper portion at 233 cm. *Fabaeformiscandona acuminata* distribution dominates the intervals of the freshwater ostracodes assemblages. Diversity declines to minimum levels when the dominant ostracodes *Darwinula stvensoni* and *F. acuminata* are the most relatively abundant of the assemblage.

#### *Ostracode salinity signal*

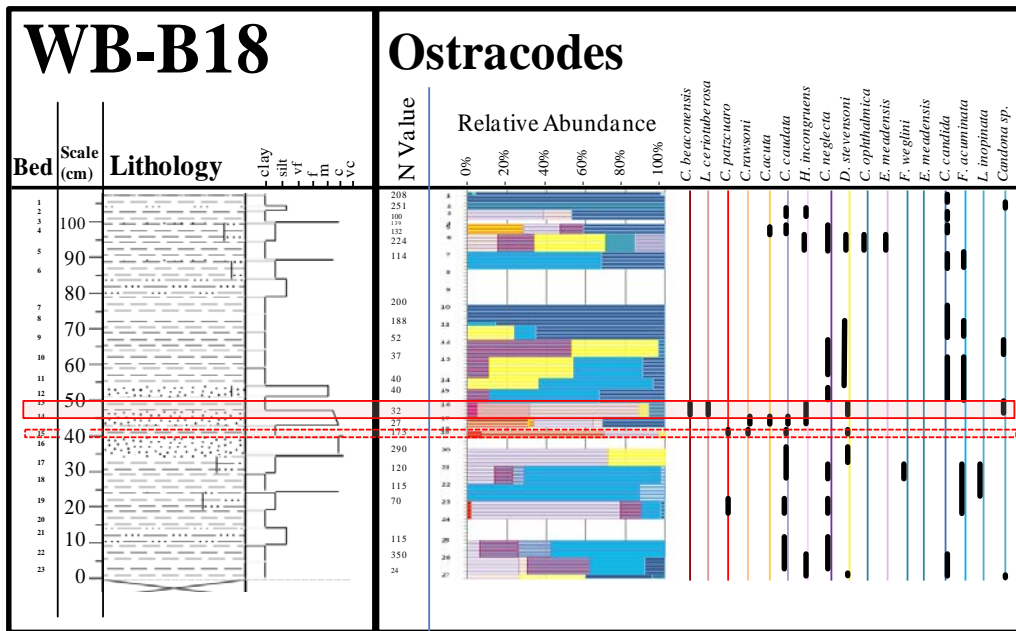
*Candona rawsoni*, *Cyprideis beaconensis*, and *Limnocythere ceriotuberosa* assemblages commonly occur in the both section of the Waucobi beds, indicating saline to very saline lake conditions. Based on occurrence of *C. beaconensis*, *L. ceriotuberosa*,

and *Cyprinotus glaucus* suggest ion composition of water types II or III. Water type is defined by a high or low Ca/alk value between three major solute types: (I) dilute waters dominated by Ca and alk or in which those solutes are common; (II) waters in which alk is enriched relative to Ca, and (III) waters Ca-enriched relative to alk. Type I is associated to freshwater and types II and III are commonly associated to saline water (Jayko et al., 2008).

*C. beaconensis*, a littoral lacustrine species, occurs today in ground-water fed marshes and littoral zone of lakes. In the Waucobi sediments, the dominance of *C. beaconensis* coexisting with *C. rawsoni* likely indicates a marsh, or saline to brackish water at the margins characterized by high benthic productivity (Kowalewska et al., 1998). The optimum salinity for *C. rawsoni* was established by Engstrom et al. (1991) reported to be 1-10‰. *C. rawsoni* indicates high benthic productivity as evidenced in modern marshes and eutrophic lakes having high ostracode abundance and diversity (Kowalewska et al., 1998). Eutrophic lakes in the Great Basin are characterized by high ostracode abundance and diversity (Kowalewska et al., 1998).

The relative abundance and wide distribution of *Candona candida*, *Darwinula stevesoni*, and *Fabaeformiscandona acuminata* are characteristic of freshwater conditions and low salinity with a maximum salinity tolerance of 2,000 ppm. Waucobi Lake was composed of ionically freshwater rather than saline. The anion composition based the presences of *D. stevesoni* suggest the water of Type I: Ca, Mg, K, Na, Cl, SO<sub>4</sub>, HCO<sub>3</sub> (CO<sub>3</sub>).

In section WB-J1, five salinity intervals do not have gastropod fossils, but three events occur with gastropods, including *Valvata sincera*. The salinity events are short-lived stratigraphically relative to the phases of longer-lived freshwater conditions.



- C. glaucus*
 *L. ceriotuberosa*
 *C. acuta*
 *C. caudata*
 *E. meadensis*
 *F. acuminata*
 *Limnocythere sp.*
- P. smaragdina*
 *C. patzcuaro*
 *Ilyocypris sp.*
 *C. neglecta*
 *C. ophthalmica*
 *C. candida*
 *F. wegelini*
- C. beaconnensis*
 *C. rawsoni*
 *H. incongruens*
 *D. stevensoni*
 *H. reptans*
 *Candona sp.*
 *C. lacustris*

**Figure 9. Intervals highlighted in red bar the occurrence saline-tolerant ostracodes assemblages for section WB-B18.**

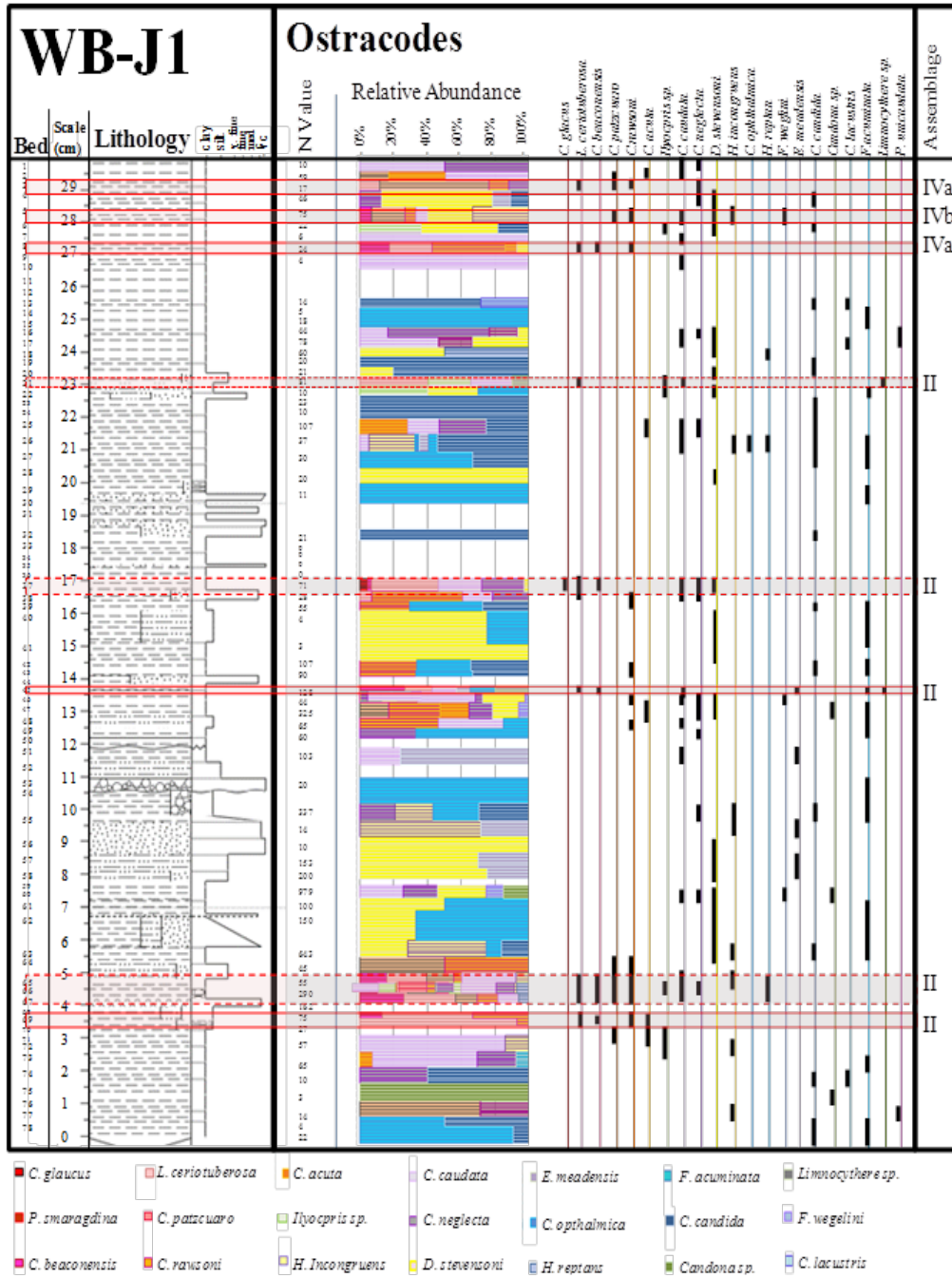


Figure 10. Ostracode distribution highlighting in red bar the saline-tolerant assemblages for section WB-J1.

**Table 3. Ostracode species identified from Waucobi beds listed with physical and hydrochemical parameters of their ecological habitat with an emphasis on salinity tolerances.**

Species	Physical Environment & Conditions	Salinity/TDS Index	pH	Temp. (°C)	Water Chemistry
<i>Candona acuta</i> Hoff, 1942	Lakes <sup>12</sup> , temporary & running water <sup>23</sup> , sluggish parts of streams <sup>3</sup> , edges of small usually temporary streams with clear cool flowing water <sup>60</sup>	100 - 4,000 ppm <sup>1</sup> 150 - 1,500 mg/L <sup>40</sup> 50 - 5,000 mg/L <sup>3c</sup> 150 - 1,500 mg/L <sup>5</sup>	6 - 9 <sup>3</sup>	13 - 32 <sup>1</sup>	Type I- Ca, Mg, K, Na, Cl, SO <sub>4</sub> , HCO <sub>3</sub> (CO <sub>3</sub> ) Type II: Ca-rich/HCO <sub>3</sub> -depleted, dominated by SO <sub>4</sub> , Cl, K, Type III: Ca-depleted/HCO <sub>3</sub> -rich, dominated by SO <sub>4</sub> , Cl, K <sup>1</sup>
<i>Candona candida</i> O.F. Müller, 1776	Euryplastic for pH, titanoeuryplastic, ligothemophilic, & oligohalophilic species...not tolerant of water > 18°C <sup>8</sup> , ponds, springs <sup>9,8</sup> , bog, canal, brook, oxbow lake, river, reservoir <sup>9</sup> , streams, lakes, both still & turbulent at various water depths <sup>8</sup> , peat bogs, acidic moorland tarn, brackish waters, silt-bottomed springs <sup>19</sup> , shallow part of ponds, lakes, & streams <sup>3</sup>	100 - 4,000 ppm <sup>1</sup> 20 - 500 mg/L <sup>40</sup> <5300 <sup>8</sup> 10 - 900 mg/L <sup>3c</sup>	5 - 7.9 <sup>2,8</sup> 5.4-13 <sup>3</sup> 5.4 - 13 <sup>19</sup> 7 - 9 <sup>39</sup> 4.6-13 <sup>57</sup>	17-18 <sup>2</sup>	Electric Conductivity: 674-680 <sup>2</sup> Found in low E. Conductivity: 134 cm/μS <sup>18</sup> Dissolved Oxygen content: 2.55-4.56 <sup>2</sup> , ~4 l/mg <sup>18</sup>
<i>Candona caudata</i> Kauffman, 1900	Oxbow lake, pond, reservoir <sup>9</sup> , streams and at considerable depth in lakes <sup>3a</sup> , permanent waters, lakes with some current action, streams <sup>28</sup> , lake, ponds <sup>1</sup>	100 - 4,000 ppm <sup>1</sup> 10 - 5,000 mg/L <sup>1a</sup> 20 - 2,000 <sup>3</sup> 70 - 5,000 mg/L <sup>5</sup>	5.3 - 9.1 <sup>3</sup>	13 - 32 <sup>1</sup> 2 - 32 <sup>1</sup>	Electric conductivity: 450-1400 cm/μS <sup>20</sup> Type I- Ca, Mg, K, Na, Cl, SO <sub>4</sub> , HCO <sub>3</sub> (CO <sub>3</sub> ) Type II: Ca-rich/HCO <sub>3</sub> -depleted, dominated by SO <sub>4</sub> , Cl, K Type III: Ca-depleted/HCO <sub>3</sub> -rich, dominated by SO <sub>4</sub> , Cl, K <sup>1</sup>
<i>Candona neglecta</i> Sars, 1887	Euryoecious <sup>19a</sup> , benthic species found in brackish waters <sup>42</sup> , bogs, oxbow lakes, ponds, reservoir, temporary waters <sup>9</sup> , springs, brooks and ponds connected to springs, & lakes <sup>59</sup>	0 - 15,000 ppm <sup>15</sup> 500 - 16,000 <sup>8</sup> Optim: 260 ppm <sup>36</sup>	7.13 - 7.54 <sup>36</sup> 7.23-7.81 <sup>2</sup> Optim: 7.4 <sup>36</sup> -7.8 <sup>18</sup>	3.7-27.9 <sup>19a</sup> 3.7-7.81 <sup>2</sup>	Dissolved Oxygen content: 2.55-4.56 Water depth: 70-82 Electric Conductivity: 488-652 <sup>2</sup> , optimum: 556.2 <sup>36</sup> Tolerant of water with a low oxygenation <sup>51a</sup>
<i>Candona rawsoni</i> Tressler, 1957	Eurytopic <sup>10</sup> & benthic species of closed basin lakes <sup>21</sup> , open water, both permanent & temporary <sup>4</sup> , shallow lakes (up to 20m deep) & permanent rivers <sup>28</sup> , indicator species of increasing ionic concentration <sup>22</sup> , permanent & temporary bodies of water <sup>3a</sup> , hypolimnion of temperate lakes <sup>11</sup>	100 - 4,000 mg/L <sup>40</sup> 50-12,000mg/L <sup>3c</sup> 200 - 4,000 mg/L <sup>15</sup> 141-42,770ppm <sup>3d</sup>	7 - 12 <sup>3b</sup>	2.6 - 18.9 <sup>5</sup> 5.5-30.2 <sup>3d</sup>	Found in both in bicarbonate-enriched and bicarbonate-depleted sulfate-dominated waters <sup>10</sup>
<i>Candona patzcuaro</i> Tressler, 1954	Benthic <sup>26</sup> , springs <sup>1,25</sup> , euryhaline waters <sup>25</sup> , prefer deeper, openwater <sup>26</sup> , ephemeral ponds <sup>27</sup> , semi-arid regions <sup>3a</sup> , streams, lakes I, prairie potholes, southwestern playas, wetlands <sup>29</sup> , ponds <sup>1a</sup>	100 - 5,000ppm <sup>1</sup> 200 - 4,000 mg/L <sup>40</sup> 200 - 5,000 mg/L <sup>1a</sup>		13 - 32 <sup>1</sup>	Type I- Ca, Mg, K, Na, Cl, SO <sub>4</sub> , HCO <sub>3</sub> (CO <sub>3</sub> ) Type II: Ca-rich/HCO <sub>3</sub> -depleted, dominated by SO <sub>4</sub> , Cl, K Type III: Ca-depleted/HCO <sub>3</sub> -rich, dominated by SO <sub>4</sub> , Cl, K <sup>1</sup>
<i>Cypria ophthalmica</i> Jurme, 1820	Eurytopic species <sup>47</sup> , active swimmer found in temporary & permanent still waters of shallow parts of lakes, ponds, ditches & bogs where current action is negligible, oligohaline waters <sup>7</sup>	100 - 1,000 ppm <sup>1</sup> 0 - 6,000 ppm <sup>15</sup> 10 - 2,000 <sup>3</sup> 15 - 1,500 mg/L <sup>15</sup>	5.7-8.15 <sup>2</sup> 5.2-13 <sup>3</sup> 5-13 <sup>57</sup>	13 - 32 <sup>1</sup>	Type I- Ca, Mg, K, Na, Cl, SO <sub>4</sub> , HCO <sub>3</sub> (CO <sub>3</sub> ) <sup>1</sup> Water depth: 2-102, conductivity: 405-1012, Dissolved oxygen: 0.11-10.122 Found in high salinity (1.3‰) & low pH(5) waters <sup>47</sup>

Species	Physical Environment & Conditions	Salinity/TDS Index	pH	Temp. (°C)	Water Chemistry
<i>Cyprideis beaumontis</i> Leroy, 1943	Lives in algal mats, in the sediments <sup>35</sup> , estuaries <sup>37,12</sup> , spring fed, permanent waters <sup>12</sup> , saline-brackish waters at the margin of the Sallott Sea <sup>34</sup> , shallow water among algae bloom, salt marshes, lakes, marshes <sup>37</sup> , swims up the water column between aquatic plants <sup>35</sup>	100 - 10,000 ppm <sup>1</sup> 3,000 - 4,000 mg/L <sup>40</sup>	7.5 - 8 <sup>38</sup>	13 -32 <sup>1</sup>	Type I- Ca, Mg, K, Na, Cl, SO <sub>4</sub> , HCO <sub>3</sub> (CO <sub>3</sub> ) Type II: Ca-rich/HCO <sub>3</sub> -depleted, dominated by SO <sub>4</sub> , Cl, K Type III: Ca-depleted/HCO <sub>3</sub> -rich, dominated by SO <sub>4</sub> , Cl, K <sup>1</sup>
<i>Cyprideis torosa</i> Jones, 1850	Brackish pond, salt marsh, lives on a various substrates including muds, sandy muds, sands & weeds; wide tolerance to temperature & shows preference for shallow waters of less than 30 m <sup>6,8</sup>	400 - 150,000 mg/L <sup>15</sup> 500 - 150,000 ppm <sup>44</sup>			Bicarbonate-poor waters <sup>44</sup> Electric Conductivity: 2 - 5 mS/cm <sup>56</sup>
<i>Cyprinotus glaucus</i> Furtos, 1953	Eurythermic species <sup>1a</sup> , found in bicarbonate-enriched sulfate-dominated waters <sup>10</sup> ; lakes, ponds, streams <sup>1a</sup>	200 - 12,000 ppm <sup>40</sup> 20 - 20,000 mg/L <sup>3</sup> 10 - 10,000 mg/L <sup>1a</sup>	6.5 - 10 <sup>3</sup>	18 -32 <sup>1</sup>	Type I- Ca, Mg, K, Na, Cl, SO <sub>4</sub> , HCO <sub>3</sub> (CO <sub>3</sub> ) Type II: Ca-enriched/HCO <sub>3</sub> -depleted, dominated by Cl, K <sup>1</sup>
<i>Cytherissa lacustris</i> G.O. Sars, 1863	Limnetic species, permanent water <sup>41</sup> , deep lakes <sup>50</sup> , oligo-mesotrophic lakes <sup>8</sup> , deep, well oxygenated, cold-water lake <sup>22</sup> , common in up to 200m deep in boreal forest <sup>28</sup> , cool, dilute lakes that have bottom waters unsaturated with respect to calcite <sup>41</sup> , hypolimnion of temperate lakes <sup>11</sup>	250 mg/L <sup>3</sup> 100-10,000 ppm <sup>1</sup> 10-200 mg/L <sup>3</sup> < 300 mg/L <sup>41</sup>	6.5 - 8.9 <sup>3</sup>	13 -32 <sup>1</sup> Min: 4 <sup>11</sup>	Type I- Ca, Mg, K, Na, Cl, SO <sub>4</sub> , HCO <sub>3</sub> (CO <sub>3</sub> ) Type II: Ca-rich/HCO <sub>3</sub> -depleted, dominated by Cl, K Type III: Ca-depleted/HCO <sub>3</sub> -rich, dominated by Cl, K <sup>1</sup>
<i>Darwinula stevensoni</i> Brady and Robison, 1885	eurythermal & euryhaline species <sup>16</sup> , lake <sup>6,1,8</sup> , ponds <sup>1,8</sup> , rivers, slow running streams, & tolerate salinities in the mesohaline range <sup>8</sup> , littoral & sublittoral zone <sup>48</sup> , well-oxygenated waters, muddy & sandy substrates, marshes <sup>18</sup>	100 - 2,000 mg/L <sup>1</sup> 0 - 1500 ppm <sup>15</sup> 800 - 3000 mg/L <sup>18,19</sup>	7.34-9.04 <sup>17</sup> 7.4-8.9 <sup>16</sup> 8.85 - 9.15 <sup>18</sup> 6-9.27 <sup>57</sup>	13 -32 <sup>1</sup>	Type I- Ca, Mg, K, Na, Cl, SO <sub>4</sub> , HCO <sub>3</sub> (CO <sub>3</sub> ) <sup>1</sup> Dissolved Oxygen: 6.46-16.478 <sup>17</sup> , 2 - 14L/mg <sup>18</sup> Electric Conductivity: 346-540.8 <sup>17</sup> & 300 - 400 µS/cm <sup>18</sup>
<i>Eucypris meadensis</i> Gutentag & Benson, 1962	Springs <sup>32,1</sup> , streams, lakes <sup>1</sup> , freshwater <sup>4</sup>	200 - 800 ppm <sup>1</sup> 300 -1000 mg/L <sup>1a</sup>		0 -14 <sup>1</sup>	Type I- Ca, Mg, K, Na, Cl, SO <sub>4</sub> , HCO <sub>3</sub> (CO <sub>3</sub> ) <sup>1</sup>
<i>Fabaeformiscandona acuminata</i> Fischer, 1851	Oligohalophilic species <sup>39</sup> , oxbow lake, pond, river <sup>9</sup> , interstitial habitats <sup>9</sup>	400 - 12,00 mg/L <sup>40</sup> 0 - 300 mg/L <sup>5</sup>	7.5 - 9 <sup>39</sup>		Carbonate alkalinity/CA: 1-6 meq/L Electric Conductivity: 3.5-9 mS/cm <sup>39</sup>
<i>Fabaeformiscandona wegei</i> Danielop, 1980	Hypogean <sup>54</sup> , ecotonal dwelling species lives generally in the superficial alluvial sediments <sup>52</sup> , lakes, streams <sup>46</sup> , wells, springs, rivers, creeks, interstitial habitats <sup>51</sup> , groundwater habitats <sup>53</sup>	90 - 1,000 mg/L <sup>40</sup>		3.4 - 14.6 <sup>55</sup>	Water chemistry is of minor importance in determining stygobiotic occurrences at the regional scale <sup>54a</sup>
<i>Herpetocypris reptans</i> Baird, 1835	Muddy bottoms of lakes, ponds, streams with laminar flow <sup>19</sup> , shallow, slackwater habitat <sup>31</sup>	1,000 - 16,000 mg/L <sup>19</sup> 400 - 1,200 mg/L <sup>58</sup> 0 - 1,500 mg/L <sup>5</sup>	7.3 - 9 <sup>19,57</sup>		Conductivity: 134-619 cm/µS Dissolved Oxygen: 3.8-7.6 mg/L Mg/Ca: 0.4-0.56

Species	Physical Environment & Conditions	Salinity/TDS Index	pH	Temp. (°C)	Water Chemistry
<i>Heterocypris incongruens</i> Ramdohr, 1808	Euryoecious species <sup>36</sup> found in temporary ponds <sup>33</sup> , shallow dwelling, temporary pond or shore zone at ~0.3m depth, tolerant of very low oxygen conditions <sup>36</sup>	Upward of 4000 mg/L <sup>43</sup> 2400 - 4400 mg/L <sup>19a</sup>	6 - 9.8 <sup>36</sup> 8 - 12.8 <sup>19a</sup>	6 - 29 <sup>36</sup>	Electric Conductivity: 56 - 2580 µS/cm <sup>19a</sup> Dissolved Oxygen: 0.4 - 14.5 mg/L <sup>19a</sup> , 1.3-4.3mg/L <sup>36</sup>
<i>Ilyocypris bradyi</i> Sars, 1890	Oligohaline waters, slow flowing rivers, streams, ponds, lakes, prefers water bodies fed by springs,...suggested when present in lakes probably fed by spring water <sup>8</sup> , streamflow indicator <sup>1a</sup>	100 - 4,000 ppm <sup>1a</sup> 300 - 4,500ppm <sup>45</sup> 50 - 6,000 mg/L <sup>3,58</sup>	7 - 9.8 <sup>3</sup>	13 - 32 <sup>1</sup>	Type I-Ca, Mg, K, Na, Cl, SO <sub>4</sub> , HCO <sub>3</sub> (CO <sub>3</sub> ) Type II: Ca-rich/HCO <sub>3</sub> -depleted, dominated by SO <sub>4</sub> , Mg <sup>1</sup> Mg/Ca: 0.75 - 1.05
<i>Limnocythere certouberosa</i> Delorme, 1967	Permanent lakes in shore zone ~0.9m depth <sup>4</sup> , cold, fresh to saline, high alkali/Ca solute dominated waters, a filling or through-flowing lake that is not losing much water to evaporation <sup>14</sup>	500 - 10,000 ppm <sup>1</sup> 30 - 300 mg/L <sup>13</sup> 74 - 2,780 mg/L <sup>3</sup> 70 - 5,000 mg/L <sup>3</sup>	7.3 - 9.5 <sup>3b</sup>	4 - 32 <sup>1</sup>	Type I-Ca, Mg, K, Na, Cl, SO <sub>4</sub> , HCO <sub>3</sub> (CO <sub>3</sub> ) Type III: Ca-depleted/HCO <sub>3</sub> -enriched, dominated by CO <sub>3</sub> , Cl, Mg <sup>1</sup>
<i>Limnocythere inopinata</i> Baird, 1843	Small ponds, slow streams & rivers, large lakes, restricted to very shallow, littoral conditions <sup>8,3</sup> , streams <sup>3a</sup> , interstitial water, salt lakes, small ponds, alpine lakes, the Baltic Sea <sup>18</sup> , freshwater to oligo-mesohaline	150 - 400 mg/L <sup>40</sup> 0 - 2500 ppm <sup>14</sup> upward of 40g/L <sup>43</sup>	8.16-8.97 <sup>18</sup>	22-28 <sup>18</sup>	Dissolved Oxygen content: 6.37-8.23, Electric conductivity: 270.31-336 mg/L <sup>18</sup>



## ***Gastropods***

Six extant species of fossil gastropods (five families) were recovered from Waucobi lake beds: *Valvata sincera*, *Planorbis parvus*, *Paludina integra*, *Amnicola limosa*, *Aplexa elongata*, and *Radix* sp cf., Table 1. The most relatively abundant taxa are *V. sincera*, *P. parvus*, and *P. integra* (Figure 10). The gastropods identified in previous Waucobi studies are *P. integra* by Bachman (1974) as *Cincinnatiensis* Anthony, and *V. sincera* and *P. parvus* by Walcott (1897).

Gastropods not identified in previous studies are *Amnicola limosa*, *Aplexa elongata*, and *Radix* sp cf. Five of the six gastropod species have since been renamed at one point. *Cincinnatiensis* had been renamed several times and both *Paludina integra* (Hersler, 1996) and *P. integra* are in use in current literature for this species. It is referred here as *P. integra*. Walcott (1897) noted the possible presence of gastropods from the genera *Amnicola* and *Pampholyx*. This study confirms the presence of genus *Amnicola*.

**Table 4. Pliocene gastropod species identified from Waucobi beds listing their physical and chemical environments from modern ecological studies**

Family	Species Name	Physical Habitat	Chemical Environment
Hydrobiidae	<i>Ammicola limosa</i> Say, 1817	Creek, river, slow-moving, shallow creek with a silty bottom and bullrushes present <sup>3</sup> , eutrophic lake <sup>6</sup> , slow-moving rivers and swamps of the coastal plain <sup>8</sup> , sensitive to water hardness and pH <sup>8</sup>	pH: 7.2-8 <sup>1</sup> Water conductivity: 0-750 $\mu\text{mhos}^1$ Water hardness: 0-320 mg $\text{CaCO}_3/\text{l}^1$ sensitive to water hardness and pH <sup>8</sup>
Hydrobiidae	<i>Paludina integra</i> Say, 1821	Littoral zone, creek, river, lakes esp. rivers, variety of substrate from soft ooze to sand with or without vegetation <sup>1</sup>	Hard-water marl lakes <sup>9</sup>
Valvatidae	<i>Valvata sincera</i> Say 1824	Deep lakes, streams, ditches, muddy to sandy muddy substrates, oligotrophic & mesotrophic lakes, associated with submerged and rooted vegetation, deep lakes <sup>10</sup>	pH: 7.6 or greater*
Planorbidae	<i>Planorbis parvus</i> Say, 1817	Habitats with abundant vegetation, rocks, sticks, lives in standing water, flowing bodies of water with mud substrate, muddy banks of large lakes <sup>5</sup>	Limited to calcium-rich waters <sup>2</sup>
Physidae	<i>Aplexa elongata</i> Say, 1821	Swamps <sup>14</sup> , marshes, weedy ditches, edges of intermittent ponds, slow-moving streams, wooded ponds with rich detritus food base <sup>2</sup> , temporary wood pools or ditches, sometimes found in extremely shallow streams, found mostly floating at the surface in pairs when their habitat is inundated <sup>6</sup> , common to creeks & ponds, and springs, sometimes large permanent lakes and rivers <sup>12,13</sup>	One live specimen found at pH: 7.8 Water conductivity of 0.82 mS <sup>13</sup>
Lymnaeidae	<i>Radix sp.</i> cf	Lakes, genus <i>Radix</i> live in peaty waters on muddy, or stony, ground near the bank of rivers, lakes, and smaller water bodies <sup>11</sup>	Freshwater to high salinity <sup>4</sup>

Given physiochemical parameters from global records: <sup>1</sup>Mackie et al., 1989, 1983; <sup>2</sup>Brown, 1979, 1997; <sup>3</sup>Nelson, 2010; <sup>4</sup>Piscart et al. 2005; <sup>5</sup>von Oheimb et al., 2007; <sup>6</sup>Wethington, 2004; <sup>8</sup>Shaw et al., 1989, 1990; <sup>9</sup>Owen et al. 1983; <sup>10</sup>Regan et al., 2005; <sup>11</sup>Moser et al., 2009; <sup>12</sup>Clark, 1981; <sup>13</sup>Prescott, 2004; Strong et al., 2007.

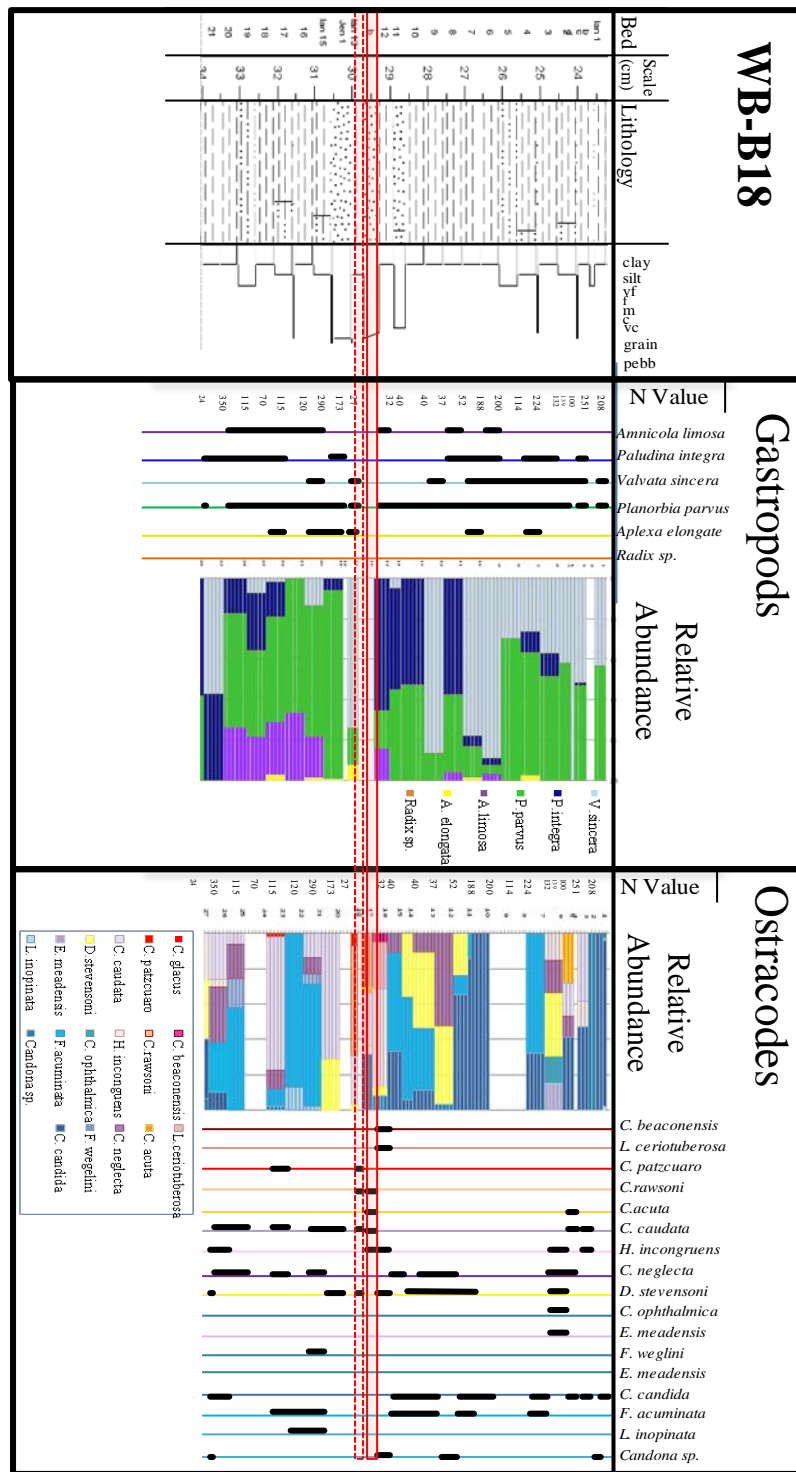


Figure 11. Stratigraphic column of WB-B18 plotted with distribution and relative abundance of fossil gastropods and ostracodes through a ~3m interval showing two events in which the ostracode assemblage suggest high salinity conditions.

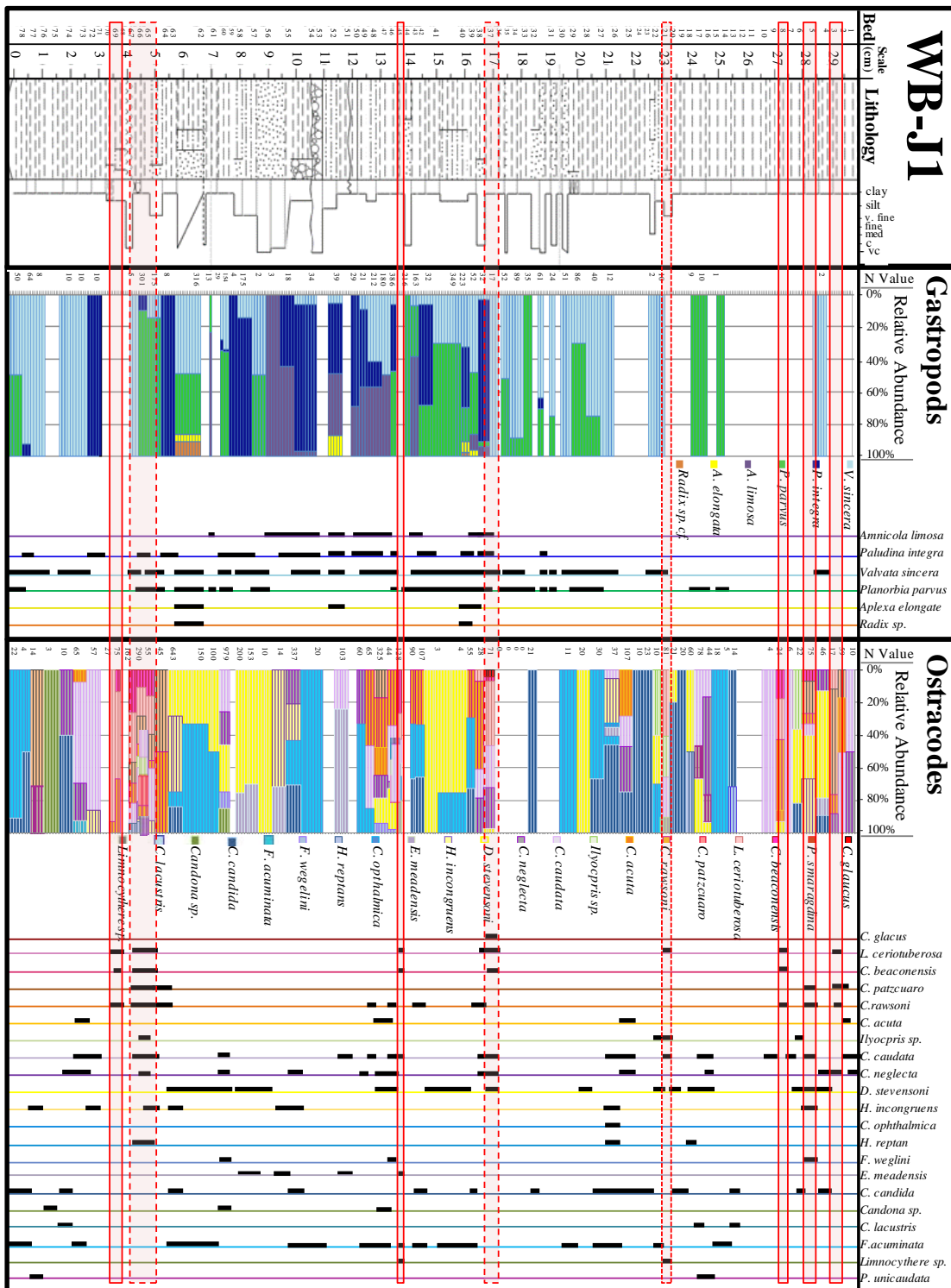


Figure 12. Stratigraphic columns of WB-J1 locality plotted with distribution and relative abundance of fossil gastropods and ostracodes through an ~3m interval highlight saline events in red bars.

### *Gastropod Distribution and Abundance*

Gastropod fossils were not analyzed as clusters because species richness was too low to provide the resolution needed to constrain interspecific physiohydrochemical environment for the species. In general for both sections, *Valvata sincera* is the most stratigraphically distributed species. Its stratigraphic distribution is most prevalent in the upper and lower portion of the sections for both sites. When it is not common, it is replaced by either *Paludina integra*, *Planorbis parvus*, or both. For section WB-J1, *V. sincera* is the most stratigraphically distributed species, comprising ~38% of the gastropod species, but not the most relatively abundant (Figure 12). It occurs in the presence and absence of ostracodes in both sections, occurring most often with ostracodes *Darwinula stevensoni* and *Fabaeformiscandona acuminata*. In section WB-B18, it occurs with ostracodes *F. acuminata* and *Candona candida*.

*Planorbis parvus* occurs as the species with the highest relative abundance with a total sampling N value of 2,839, comprising 43% of all the gastropod fossils. In section WB-J1, *P. parvus* is the dominant relative abundant species. *P. parvus* is the second most stratigraphically distributed species for both sections. In section WB-J1, it replaces *Valvata sincera* as the dominant species for the first time stratigraphically 25 cm. It is the most distributed over 60 cm at 110 cm of WB-J1. It dominates a second interval of 25cm as the most abundant species 140 cm. The upper 5 cm of this interval overlaps a high saline-tolerant assemblage of ostracodes that includes *Limnocythere ceriotuberosa* and *Cyprideis beaconensis* (Figure 10). Three additional examples occur in which *P. parvus* dominates in relative abundance over an interval: 25 cm, 10 cm, 9 cm at about 135cm, 170cm, and 240cm of the WB-J1 section. At 168 cm, *P. parvus* does not

reappear for the remaining section. It occurs with the ostracodes *Fabaeformiscandona acuminata* and *C. candida*.

*Paludina integra* and *Amnicola limosa* together comprise 23% of the gastropod fossils. *P. integra* is the third most stratigraphically distributed species. *A. limosa* is the fourth most stratigraphically distributed species. *A. limosa* and *P. integra* first appears at 165 cm for WB-J1. For site WB-B18, *A. limosa* occurs for the first time at 30 cm and *P. integra* occurs for the first time at 100 cm. *A. limosa* and *P. integra* show a high occurrence of co-occurrence and replacement of *V. sincera* as the dominant species at 40 cm of WB-J1. For WB-J1 *P. integra* is the relatively abundant dominant species over three intervals: 6, 13, and 4 cm at 75 cm and 100 cm, and 164 cm of the WB-J1 section.

The rarest gastropods are *Radix* sp. cf. and *Aplexa elongata*, in beds with high sampling N values greater than 220 for WB-J1 section. *A. elongata* appears at 110 and 56 cm, for 5 cm and 9 cm. *Radix* sp. is the least stratigraphically distributed species and found in only the section of WB-J1. *Radix* sp. cf. occurs twice in an interval with high species richness in the lower portion of the section 55 cm of WB-J1, and does not reappear for the remainder of the section (Figure 9). Although *Radix* sp. occurs in 20 cm of the 3 m interval of the WB-J1 section, they have 50% co-occurrence for WB-J1. *Radix* sp. was the only species not found in both localities. Diversity changes between beds may represent species density (Gotelli, 2001; Simpson 1964).

#### *Gastropod Species Richness and Diversity*

Low species richness, evenness, and diversity generally characterize the Waucobi beds. Five identified species were found for the gastropod fossils for the section of WB-J1 and four for the section of WB-B18. *Radix* sp. cf. was not found at site WB-B18.

Low species richness can be a product of low rates of colonization, sampling bias, ecological disturbance, or a combination of the three. Species richness averages two species per bed for half of the section of WB-J1 and one species per bed for approximately a fourth of the same section. Species richness for the WB-J1 section is highest at four and five species for five beds: 62 at 68 cm for 9 cm, 51 at 118 cm for 5 cm, 39 at 163 cm for 3 cm, 38 at 166 cm for 3 cm, and 37 at 170 cm for 4 cm. Species richness averages higher for the WB-B18 section with three species per bed for 40% of the section. Using the Shannon-Weiner index (H) to calculate species diversity with a H index of 1.3 for the section of WB-J1 and a H index of 0.87 for the section of WB-B18.

### ***Salinity Intervals***

The high salinity tolerating ostracode assemblages appear as short spans over the sections, marking end of long phases of freshwater intervals indicated by the dominance of gastropod *Valvata sincera*, often occurring with the freshwater ostracode *Fabaeformiscandona acuminata*. In section WB-J1, there are eight salinity events recorded in this interval of Waucobi lake sediments as evidenced by high salinity-tolerant ostracode assemblages, the last three might have been one long-lived saline phase briefly interpreted by a freshwater incursion. Three of the saline events include freshwater gastropod fossils, while the other five events occurred in the absence of any gastropods. The three events co-occur with gastropods occur with *Planorbis parvus* and *Paludina integra*, in the absence of the most abundant gastropod *V. sincera*.

The first five saline intervals in Figure 7 are characterized by Assemblage II ostracodes and the last three by Assemblage IVa ostracodes. The dominant species varies for each saline interval. The presence of *L. ceriotuberosa* in Assemblage II likely

indicates a closed-lake in semi-arid to arid climate with varied seasonal temperatures and water chemistry (Forester, 1991). In addition with the presence of *C. glaucus*, *C. beaconensis*, *C. patzcuaro*, and *C. rawsoni*, lake conditions were highly likely saline during these intervals. Half of the assemblage consists of ostracodes that have a high salinity tolerance, ranging from 200 up to 110,000 ppm, Table 3. Assemblage IVa in addition to *C. rawsoni* contains *C. patzcuaro* and *L. ceriotuberosa*, representing a salinity range between 100 to 10,000 ppm. All three species occur together at the top of the section 270 from the bottom (Figure 8) over 3 cm.

## **Discussion**

Based on the sedimentation rates of Owens Lake, the estimated time represented for the 3m sections is approximately  $7.5 \pm 0.5$  ky each. The estimated temporal resolution for the total thickness of the beds for 90m (Bachman, 1974) represents ~2,250 ky.

The variation seen in the sections as intervals of freshwater and saline biotic assemblages indicates two significantly different water chemistries have alternately dominated Waucobi Lake. The Waucobi Lake fluctuated between saline and fresh. The transition to saline conditions may reflect the shift from an open- to closed-basin lake. The processes governing an open- or closed-basin lake are moisture availability, which is a primary control on lake levels (Fritz, 1996; Laird et al., 1996; Battarbee, 2000) and hydrochemistry. In closed-basin lakes, lake level decreased below an outlet threshold, showing a rapid salinity change. The rapid shift in salinity indicates a climate changing slowly (Kennedy, 1994).



### ***Open-basin Lake***

An open-basin lake is suggested by the freshwater gastropods and freshwater ostracodes assemblages indicative of freshwater conditions. Modern habitats of the gastropod species found in this study all inhabit freshwater environments. Based on the wide occurrence and distribution of the ostracode *Fabaeformiscandona acuminata* and gastropod *Valvata sincera*, Waucobi was a large deep fresh lake at times, likely overflowing and above sill level. The interval with the most distributed and diversity of freshwater gastropod species at 156 of section WB-J1 for 10cm strongly suggest a large lake. Aquatic organisms are known to respond to the climate parameters of temperature in topographically open-basin lakes (Fritz, 1996). The jet stream produces variable precipitation patterns and winter storms provide moisture via precipitation and runoff to produce such a lake, implying a direct climate control on an open-basin lake.

### ***Closed-basin Lake***

The saline-tolerant assemblages are strong indications that Waucobi Lake was saline at time. Ostracode distribution is strongly influenced by the ionic composition of the aquatic habitat. Salinity of the lake water is a function of ionic composition (Fritz, 1996; Frenzel et al., 2005). Lake-water salinity is controlled by the hydrologic cycle mass balance (Fritz et. al, 1999, Laird, 1996). Changes to the hydrologic cycle mass change include, evaporation, shift in groundwater source, river and stream diversion, basin composition, and amount of inflow unrelated or indirectly related to climate and that affect lake level (Whitehead et al., 1961; Battarabee, 2000; Bradbury et al., 1989; Smith, 1991). Rapid lake-level changes cause changes in salinity concentrations (Fritz, 1996).

For the case of the Waucobi beds, the saline phase can be explained in term of climate. Saline-tolerant ostracodes and the near or complete absence of gastropods could be tied to a close-basin phase, which would yield the high possibility it was driven by an arid climate. Closed-basin lakes tend to be more responsive to climate controls. Ionic composition of lakes in closed basin varies predictably depending on initial conditions of geologic setting, hydrology, and concentration of evaporatives (Fritz, 1996). The waters from modern closed-basin lakes of California (e.g. Mono Lake) generally have similar chemical composition of high ionic concentrations (Battarbee, 2000) but wide ranges in concentrations (Whitehead et al., 1961). Salinity and lake level in closed basin are also influenced by short-term climate fluctuations (Carroll et al., 1999). A topographically closed-basin lake responds more rapidly to changes in the balance of precipitation-evaporation processes than an open system. Closed-basin lakes are found in semi-arid and arid regions in which evaporation is the main control on output with little to no surface or groundwater flow (Battarbee, 2000). Evaporation can result in lake salinity variations recorded geochemically and biologically in sediments and resident organisms (Battarbee, 2000; Engstrom, 1991).

The phases in which saline ostracode assemblages occur can be the result of watersheds receiving less input than output, lakes drop below sill level with mineral precipitation, clay-mineral exchange, and/or redox reaction governing the solute composition of natural waters, increased temperature, and/or deficit precipitation throughout the year (Jayko et al., 2008; Ahrens, 2011). Salinity change may be much larger when output is governed by evaporation rather than groundwater seepage (Sanford et al., 1991; Fritz, 1996). Salinity processes are additionally governed by decreased moisture availability because of tectonics shifts in the hydrologic basin or presence of a

rainshadow, increased weathering of rock increasing concentration of ions via tectonic uplift (Jayko et al., 2008).

### ***Geomorphic Basin Controls***

A lake system responds to a basin's topography and hydrology. A lake can be in a topographically closed-basin, yet hydrographically open, and conversely in a topographically open-basin (Curry et al., 2010). Basin controls, such as threshold levels, also an important role in the ionic composition of a lake, but not often lake with high salinity concentration. Threshold dynamics are extremely influential on lake dynamics in open basins that often host freshwater lakes (Last et al., 2001).

Hay (1964) inferred Waucobi Lake was closed at times because the Waucobi beds are the deposits of a lake with influent streams. Influent streams are feed by groundwater because water levels are higher in the stream than an adjacent aquifer (Bear et al., 2010), and often associated to closed lake systems. Closed lake systems are influenced by climate conditions.

At this time there is no discrete geomorphic evidence at this time to attribute the fluctuation to physical changes in the basin by tectonic activity. The faulting along White Inyo Fault and the uplift of the White-Inyo Mountains altered the proximate landscape after the lake was established. The fanglomerates capping the beds were deposited from the uplift of the mountains (Luddecke, 1997). Paleotopography of Waucobi is not consistent with active faulting before or during deposition of the lake sediment. No discrete evidence of tectonic evidence can explain the variation in the data. In general, lakes form in depressions in relatively flat surrounding topography. Extreme changes such as tectonic activity would change the basin morphology. Changing the

threshold would change a lake to another state that change would be relatively longer-lived, not producing a fluctuating signal seen in the data. Further the resolution of the data does not geomorphic changes—the short lived phases especially.

The uplift of the White-Inyo suggests changes from low relief topography to high relief. The uplift was after the existence of the Waucobi Lake. Further this magnitude of change would have likely caused the demise of a lake, altering the low-relief topography associated to lakes. An modern examples of drastic tectonic changes is Lake Manix of Death Valley.

#### *Climate Influence of the Sierra Nevada*

The rainshadow of the Sierra Nevada is an effective barrier and direct climate control on producing arid condition. The saline-tolerant ostracodes assemblages of the Waucobi beds support the onset of regional aridity for the late Pliocene. Aridity, as product of the Sierra Nevada rainshadow, drives the fluctuation in the changes from freshwater phases to brief saline phases, recorded as intervals of freshwater gastropod and freshwater ostracode assemblages, and brief intervals of saline-tolerant ostracode assemblages. In general, the transition from a wet tropical Miocene climate in the Great Basin shifted to arid condition.

An arid climate driven by the Sierra Nevada rainshadow can explain the variation in the data. The San Bernardino and San Gabriel Mountains, and the Owen Cascades demonstrate the effectiveness of a rainshadow influencing climate for the region. Modern analogs at south latitudes of about N33° the San Bernardino (elevation range 700 to 3500m) and San Gabriel Mountains ranges with moderate elevation produce intense rainshadows on the leeward side. The Oregon Cascades at about latitudes N45°, with an

elevation range of 1200 to 3000 m have a rainshadow that results in a dry and arid climate leeward juxtaposed the moist windward side with substantial precipitation (90 cm average annual precipitation).

Besides being a powerful rainshadow, the Sierra Nevada was the moisture source for the basin of the Waucobi beds that fed the river system, and therefore the lake represented by the Waucobi beds. The gastropod assemblages suggest the hydrologic basin configuration for Waucobi Lake had a large river or other surface-water input (i.e. streams, springs). White-Inyo Mountains were not a moisture source at the time because uplift was after the deposition of the lake sediments. At best, any moisture source east of the Sierra Nevada were regional springs, suggested by the presence of freshwater gastropod *Aplexa elongata* that is not common to lakes rather springs and streams (Nelson et al., 2010; Moser et al., 2009) and freshwater ostracodes *Ilyocypris bradyi*, *Eucypris meadensis*, *Herpetocypris reptans*, *Candona candida*, and *Candona neglecta* that inhabit various habitats that include springs.

The moisture source for the Sierra Nevada is the cold Pacific Ocean that has been producing stable columns with low convection. Cold Pacific coastal waters off of California were established through the Tertiary (Zachos, 2001). In the Pliocene global changes that affected the Sierra Nevada regions were a cooling climate resulting in changes in the ocean circulation, heat transfer patterns for the Pacific and Atlantic oceans, the atmospheric pressure gradient and wind regimes (Cane et al., 2001; Molnar, 2002; Lunt et al., 2007; Haug et al., 1998; Prange et al., 2004). Before in the early Tertiary upwelling deep ocean waters produced high convecting clouds that would made a rainshadow insignificant. After the Sierra Nevada established height and the ocean further cooled producing low convecting layers with less moisture.

Although the Sierra Nevada may not have reached modern elevation, it still was an effective barrier to precipitation brought in by the jet stream because most moisture is concentrated at the bottom on the air column and descending air decreases rainfall for land that is further inland. This is governed by Claius-Clapeyron relation and atmospheric lapse rates. Low convecting clouds makes a rainshadow at elevation heights averaging <2000 m effective because of a first principle of physics, the Clausiun Clayien relationship that determines the atmospheric water content over large ocean regions. The Clausiun Clayien equation specializes in the case of a gas at low temperatures and pressures, gives the relationship between the latent heat associated with a transition from liquid to vapor phase, and is the single most important equation in cloud physics. Wentz et al. (2000) found there is “a strong association between sea surface temperature, lower-atmospheric air temperature and total column water-vapour content (Makarieva et al., 2004). This rate is more pronounced as air becomes more stable.

#### ***Arid Conditions Supported by the Paleo-botanical Pliocene Record***

Independent paleobotanical data supports the onset of aridity during the late Pliocene. The flora shifted from deciduous and tropical plants to pinons and chaparral-like plants. Terrestrial records by Axelrod 1948 and Wolfe (1997) suggest arid conditions occurred in the late Miocene in the Great Basin. Late tertiary paleobotanical data support the idea of developed arid conditions in the Great Basin (Axelrod, 1948; Jones, 2004).

The plant record supports the idea that tectonic activity contributed to the rapid rise of the Sierra Nevada beginning in the late Miocene into the Pliocene. In the Miocene subtropical chaparral, oak-laurel floras, and pinyon-juniper woodlands existed in the Sierra Nevada and Great Basin. In the central range of the Sierra Nevada there is

evidence that mixed-conifer forests were present in the Pliocene (Minnich, 2007). The flora indicative of arid conditions could be related to either the subsidence of the Great Basin or uplift of the Sierra Nevada in the Mio-Pliocene (Minnich, 2007). The Sierra Nevada is suggested to be at an elevation that allowed for a rainshadow and thus influenced the growth of a rich deciduous forest with abundant evergreen dicots (Axelrod, 1948, Minnich, 2007). The rainshadow created by the Sierra Nevada uplift replaced montane conifers with pinyon-juniper-chapparral woodlands. The climatological impact of the Sierra rainshadow over the western Great Basin demonstrates a complex relationship between late Tertiary climate change and floral evolution in the Great Basin (Jones, 2004).

## **Conclusion**

Sedimentological lake records can be interpreted in terms of either direct or indirect climatic influences on lake dynamics (Battarbee, 2000), as a shift in the hydrologic basin (Currey, 1990), and whether a close- or open-basin persisted (Fritz, 1996). In all cases, a lake system's response to climatic change is mediated by the hydrologic basin. Variables indirectly controlled by climate are nutrient and ionic concentration, a critical role affecting the biotic component of a lake (Fritz, 1996). These parameters are governed by dynamics of the hydrologic basin, such as erosion rates, basin composition, and basin accommodation rate and climate.

The fossil record of Waucobi lake strata reveals a pattern of change of the community composition of ostracode and gastropod assemblages in both sections. Variability of the distribution of fossil ostracode and gastropod assemblages indicates

that lake conditions alternated between saline and freshwater conditions. The processes responsible for assemblage composition are strongly governed by the solute environment.

The interplay of the basin and climate influences are responsible for fluctuated between a freshwater to saline lake in Waucobi Lake. The interpretation is not simple and involves complex interaction with the climate, dynamics of the hydrologic basin, and the response of the biotic community. The relationship of climate on terrestrial ecosystems, on surface, and subsurface hydrology affect a lake's hydrochemistry, influencing the structure and function of aquatic communities and the rainshadow of the Sierra Nevada is the best explanation for the Waucobi lake beds record.

Lake conditions and the variability of the fossils in the sediment of Waucobi are assumed to likely be driven by fluvial input derived from the Sierra Nevada and the Sierra Nevada rainshadow effect. If arid periods are assumed to be recorded by saline ostracodes, a more arid climate state on some millennial scale climate change might have occurred. Arid intervals are relatively brief (~100 yrs). In summary, the biotic fossil assemblages of ostracodes and gastropods support brief events in which the lake was either below sill, not overflowing, or rainfall did not exceed evaporation, or a combination of the three factors. In conclusion, the rainshadow controlled the phases of open- and closed-basin shifts.



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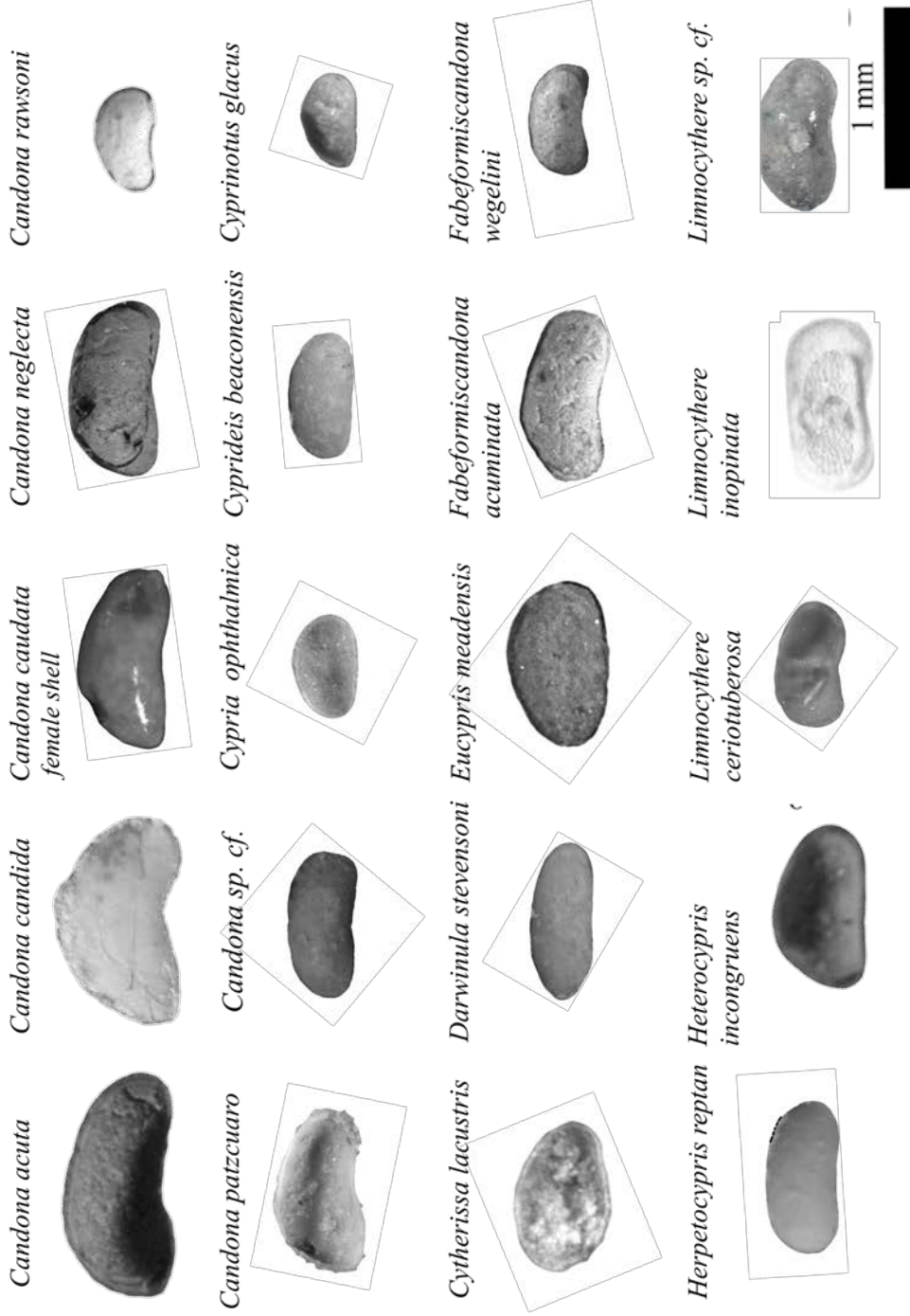
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Appendix

Plate 1. Ostracode Species



## Plate 2 Gastropods Species

*Valvata sincera*



*Paludina integra*



*Planorbis parvus*



*Aminicola limosa*

