



Raman Data Analysis



GeoRaman 2012 International School

14th-16th of June 2012, Nancy

RAMAN SPECTROSCOPY APPLIED TO EARTH SCIENCES
AND CULTURAL HERITAGE

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Overview

- Data Acquisition and storage
 - Acquisition
 - Storage & organization (spectral libraries)
- Pre-processing of Raman spectra
 - Spike removal
 - Smoothing/denoising
 - Background
 - Normalization
 - Spectral and intensity (re-)calibration
- Chemometry methods for Raman spectroscopy
 - Multivariate calibration algorithms (MLR, PCA, PCR)
 - Classification methods
 - Cluster analysis

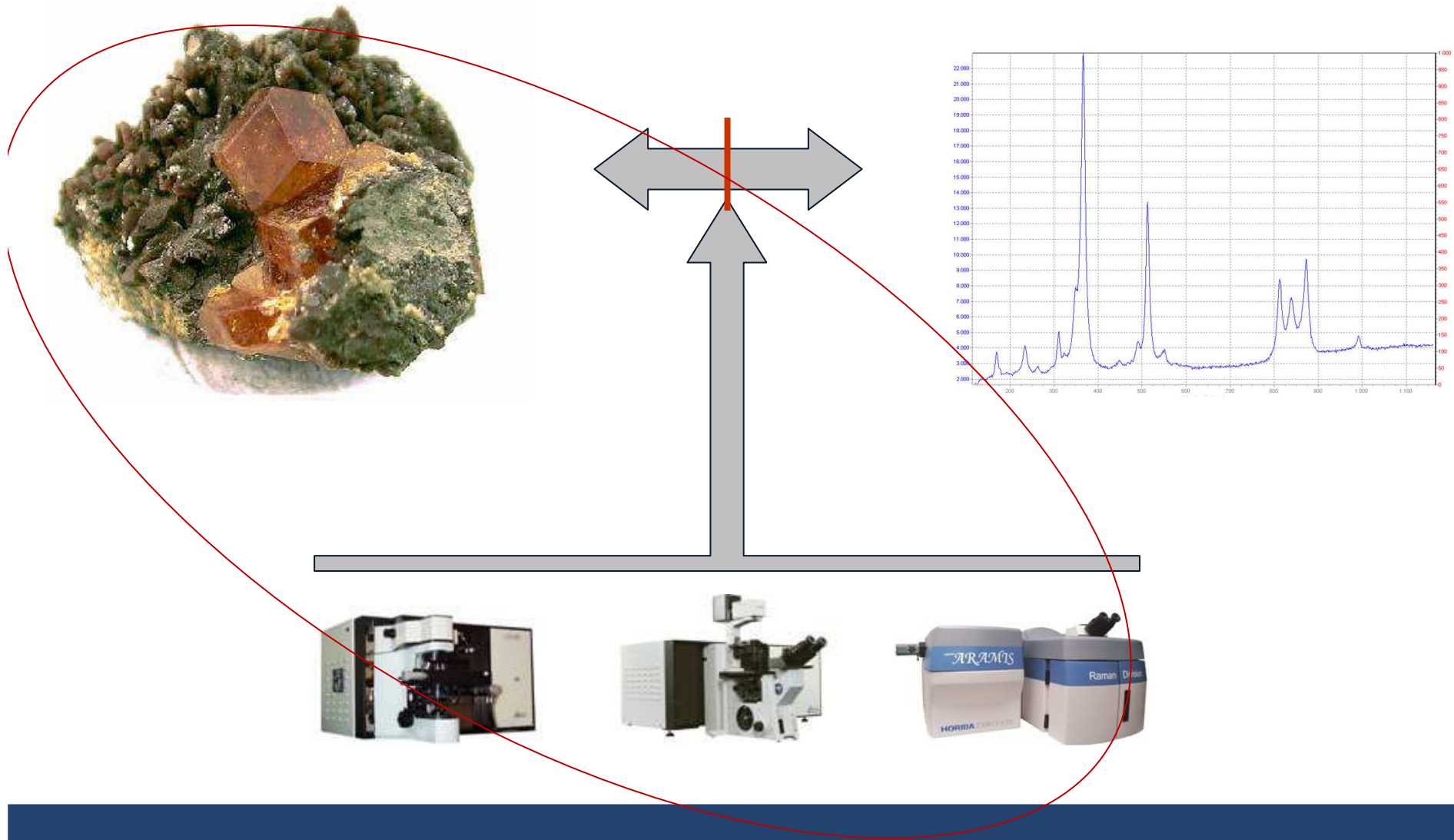


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Data Acquisition





Data Acquisition

Effort needed to control the data acquisition process depends on intended data usage.

Will all Raman data be measured on a single device?

Do I need to compare data with data measured on other devices? (degree of comparison?)

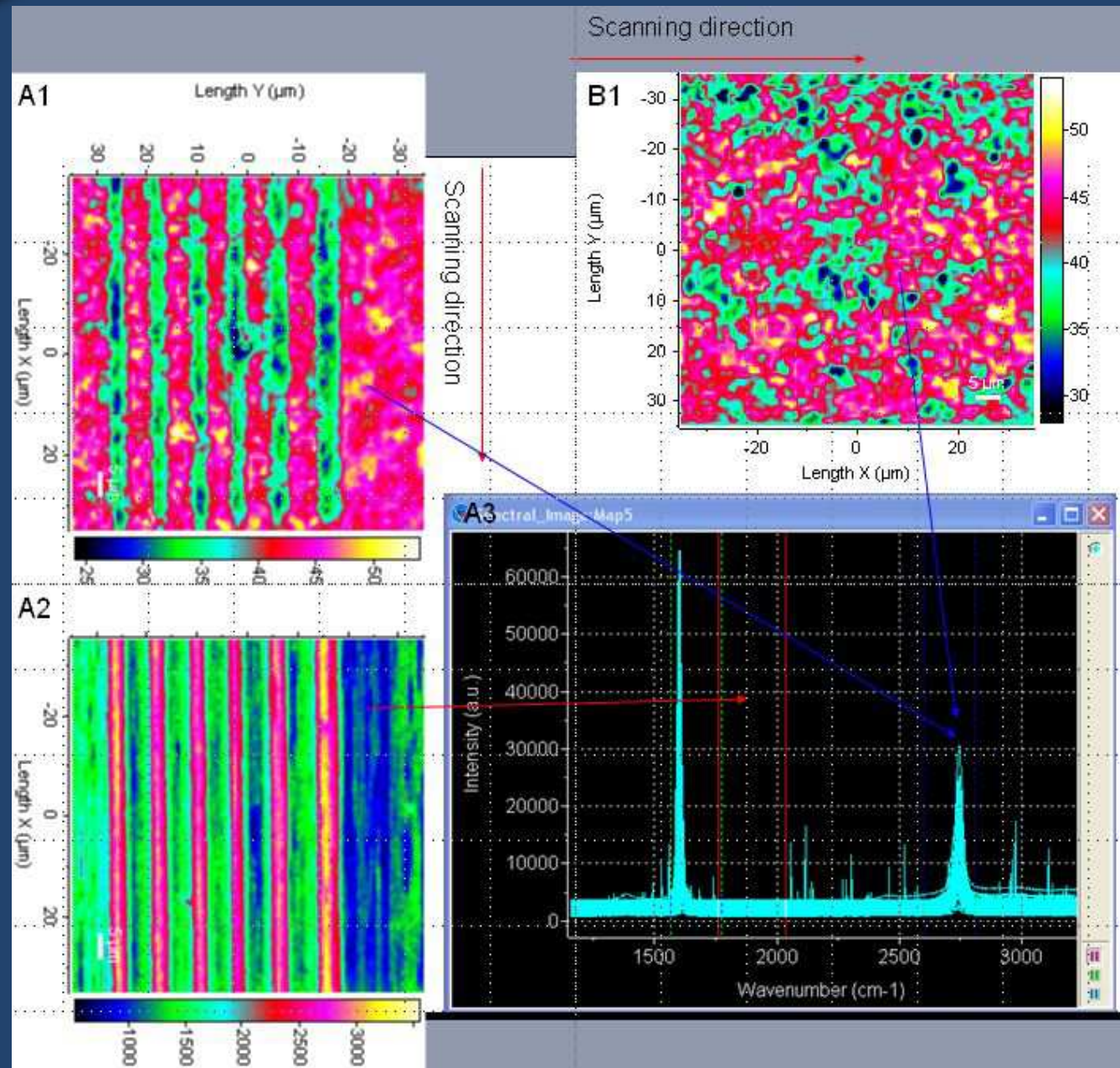
Is data meant for a spectral database or building a robust calibration model (chemometrics) ?

....

Facts:

- vast majority of Raman devices make use of multichannel detection (e.g. using CCDs) - pixel uniformity?
- modern Raman instruments are delivered with complex software packages
 - => degree of data preprocessing not always known (and/or understood) by the user
 - e.g. automatic calibration
 - spectral frames joining with offsets
 - spike removal
 - background subtraction, intensity corrections etc.
- spectral accuracy and stability changes (e.g. due to mechanical wear) - is your instrument still in specs?
- degraded spectral calibration by grating movement or environmental changes (lab temperature)
- instrument throughput characteristics might depend on light polarization (e.g. due to grating)
- crystal sample orientation
- laser wavelength might shift (e.g. diode laser)
- Raman band shape convoluted with the instrument function (Gauss, Triangular etc.)
- Variation in Raman spectra is induced by factors which are not always obvious

.....





Data organisation/storage

Different types of Raman data:

- simple spectrum (intensity vs. relative wavenumber)

- hyperspectral data (multiple dimensions: intensity, wavenumber, spatial coordinates, time)

Different file formats:

- proprietary file formats (instrument manufacturer)

- industry standard formats (GRAMS format - .spc, ascii format)

Information saved within a datafile:

- spectral (hyperspectral) data

- metadata (instrument configuration parameters, user comments, user defined parameters)

Data organization:

- File system (project directories) data links in

 - experiment log book

 - descriptive filename/directories

 - extra metadata items (file headers)



Data organisation/storage

Relational databases (make use of SQL engines capabilities - MS Access, MySQL, MSSQL)

Standard approach

- each object type and each relation has a dedicated table
- rigid object structure
- effective data access and searching

Entity-Attribute-Value (EAV) implementation

- object structure and relations are defined as metadata inside the database
- tables hold data split on their type (strings, integers, pictures etc.)
- complex maintenance and user interface
- complex constructs for searching and data mining
- effective data access and searching
- flexible object structure

Graph databases (free schema database) (tools: Neo4J, InfoGrid, HyperGraph, AllegroGraph etc.)

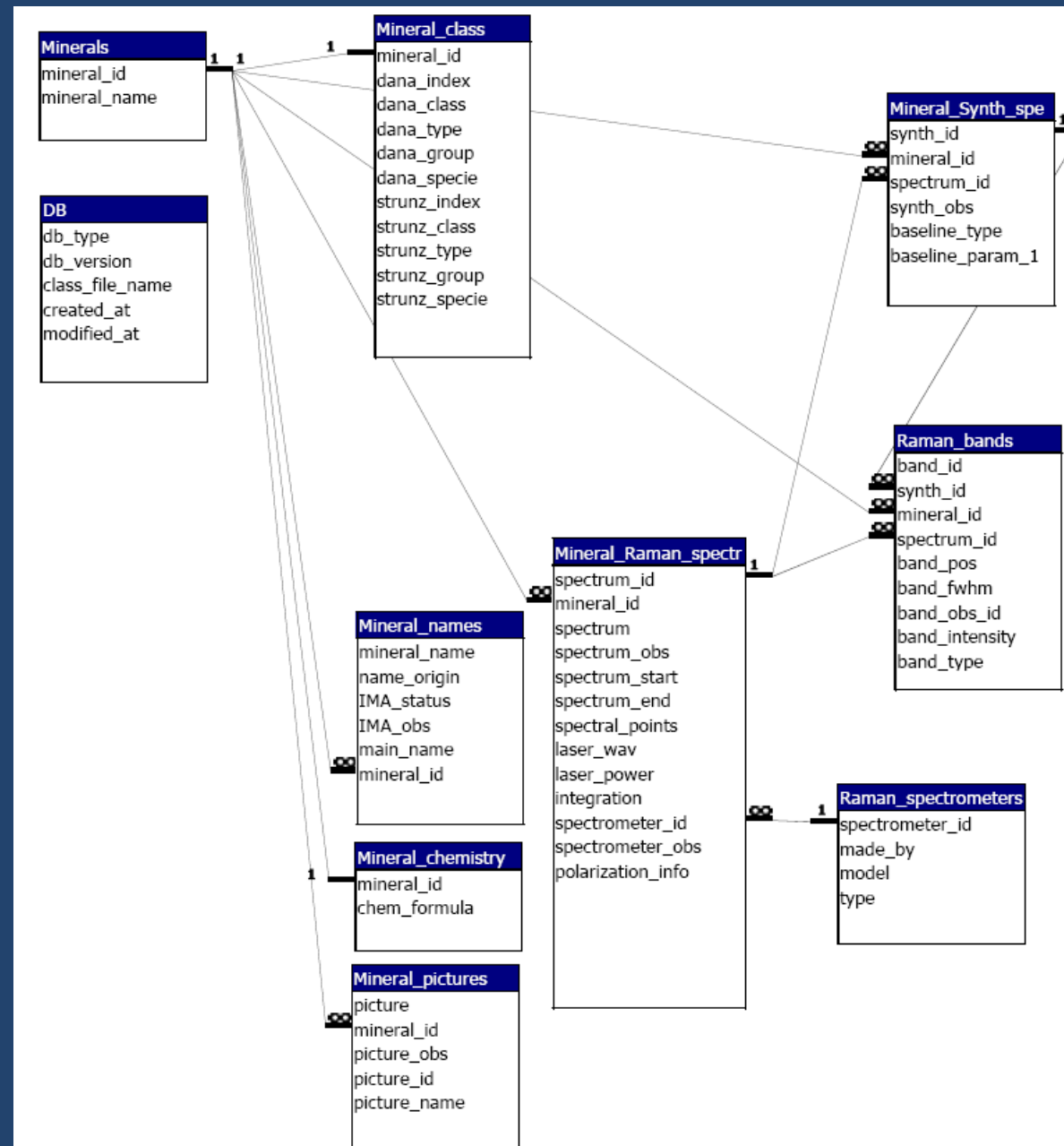
- nodes, properties and edges in a graph like structure
- not in use for now by spectroscopic applications
- used for bio and medical data, social networks (facebook @ co.)





Databases

Mineral centric



Desktop Raman Database - [gypsum]

Database Search Edit View Window Help

mineral_test_db.mdb

main name: gypsum
gypsum

View Mineral Found

Mineral name


- chalcedony
- chert
- chrysoprase
- citrine
- clevelandite
- cyrtolite
- davisonite
- demantoid
- dennisonite
- flint
- fluorapatite
- gypsum
- hornstone
- hyacinth
- jarcon
- jasper
- magnesite
- malacon
- melanite
- moss agate
- olivenite
- plasma
- prase

gypsum

Name\Class Phys\Chem Properties Raman spectra Christalography Observations

Mineral name	Main name	IMA status
gypsum	True	False
alabaster	False	False
satin spar	False	False
selenite	False	False

1 (out of 2 pictures)



Name origin: From the Greek, gyps meaning "burned" mineral.

IMA observations: N/A

Strunz Classification
VI/C.22-20
Class: VI. - Sulfates, Chromates, Molybdates and Tungstates
Type: C. - Water-bearing sulfates without unfamiliar anions. cations of medium size
Group: 22. - Cations of very big size
Specie: 20. - Gypsum

Dana Classification
29.6.3.1
Class: 29. - Hydrated Acid and Sulfates
Type: 6. - Hydrated Acid and Sulfates where $A X O_4 \cdot x(H_2O)$
Group: 3. -
Specie: 1. - Gypsum

6 cm bladed rosettes of gypsum. Locality unknown.
Photo by Dave Barthelmy

First Status Bar

Desktop Raman Database - [gypsum]

Database Search Edit Tools View Window Help

mineral_test_db.mdb

main name: gypsum
gypsum


View Mineral Found

gypsum

Name\Class Phys\Chem Properties Raman spectra Crystallography Observations

Mineral name	Main name	IMA status
gypsum	True	False
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selenite	False	False

1 (out of 2 pictures)



ites of gypsum. Locality unknown.
arthelmy

Edit classification for gypsum

Strunz Classification
VI/C.22-20

Class: VI -> Sulfates, Chromates, Molybdates and Tungstates

Type: C -> Water-bearing sulfates without unfamiliar anions. cations of medium size

Group: 22 -> Cations of very big size

- 11 Römerite - Ransomite series
- 12 Halotrichite group, Pickeringite - Bilinite
- 13 Mendozite - Kalinite series
- 14 Sodium alum - Zincovoltaite series
- 15 Krausite - Amarillite series
- 16 Kröhnkite - Goldichite series
- 18 Blödite - Konyaite series
- 19 Picromerite group
- 20 Polyhalite - Gorgeyite series
- 21 Cations of very big size
- 22 Cations of very big size

Type: 6 -> Hydrated Acid and Sulfates where A X04 · x(H2O)

Group: 3 ->

Specie: 1 -> Gypsum

29.6.3.1

Accept Cancel

First Status Bar

Desktop Raman Database - [zircon]

Database ▾ Search ▾ Edit ▾ View ▾ Window ▾ Help ▾

mineral_test_db.mdb

main name: zircon
 cyrtolite

View Mineral Found

Mineral name

- chalcedony
- chert
- chrysoprase
- citrine
- clevelandite
- cyrtolite
- davisonite
- demantoid
- dennisonite
- flint
- fluorapatite
- gypsum
- hornstone
- hyacinth
- jarcon
- jasper
- magnesite
- malacon
- melanite
- moss agate
- olivenerite
- plasma
- prase

zircon

Name\Class Phys\Chem Properties **Raman spectra** Crystallography Observations

Raman Spectrum

Spectrum observations: Spectrometer: Nothing special

Spectrum 2 out of 4 spectra

First Status Bar



Edit spectrum for <zircon>

Raman bands Obs. Baseline

Baseline
FWHH (spectral points): 42

Min: 5 Max: 100

Lock baseline NO baseline

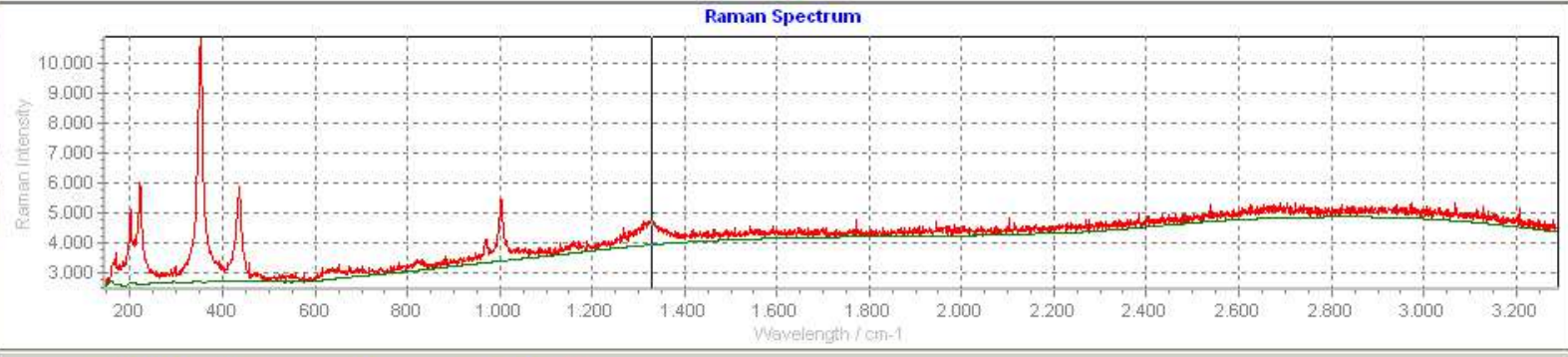
Spectrum Observations:

Raman bands: (6)

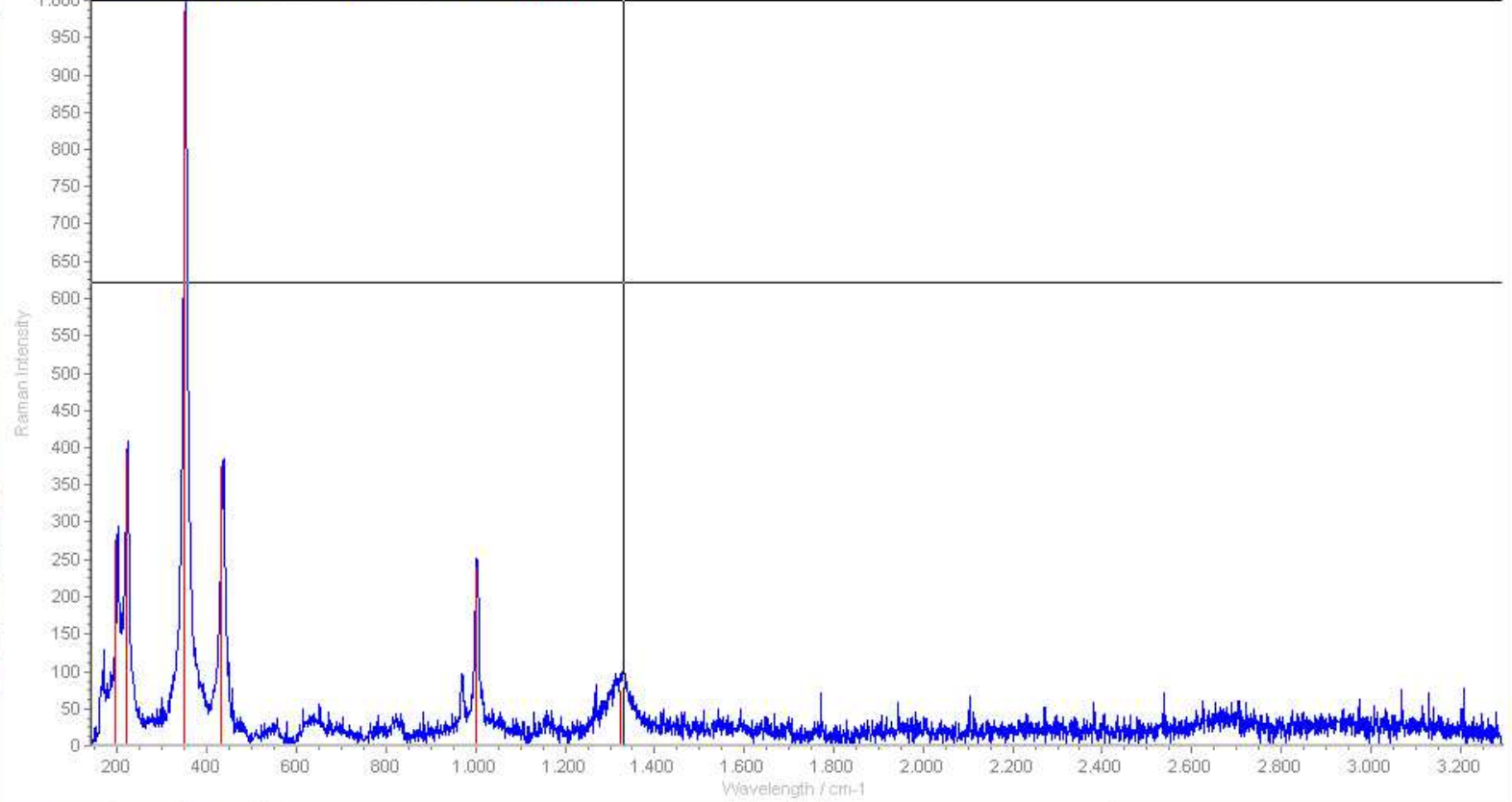
Position	Intensity	FWHM
200	276,17	8,67
221,71	392,89	10,99
352,44	984,38	11,23
436,02	375,08	12,38
1003,14	239,12	9,92
1326,25	72,89	36,56

No guess for: 221,71

Assign Details Manage



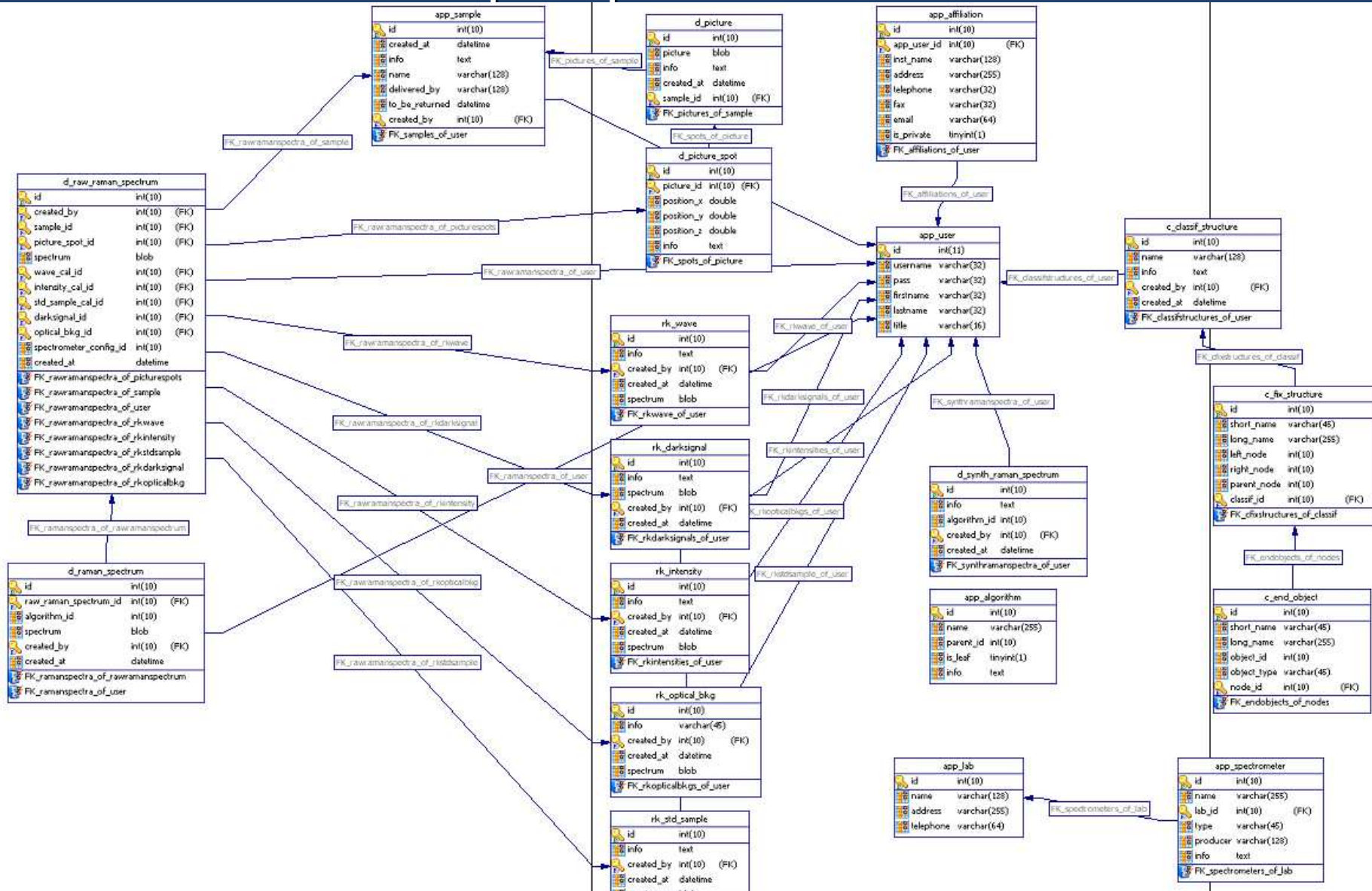
Synthetic Spectrum < 1 > out of 1 info



Cursor position X: 1.330,761 Y: 621,505 FWHH: 0,00 Zoom both panels at the same time

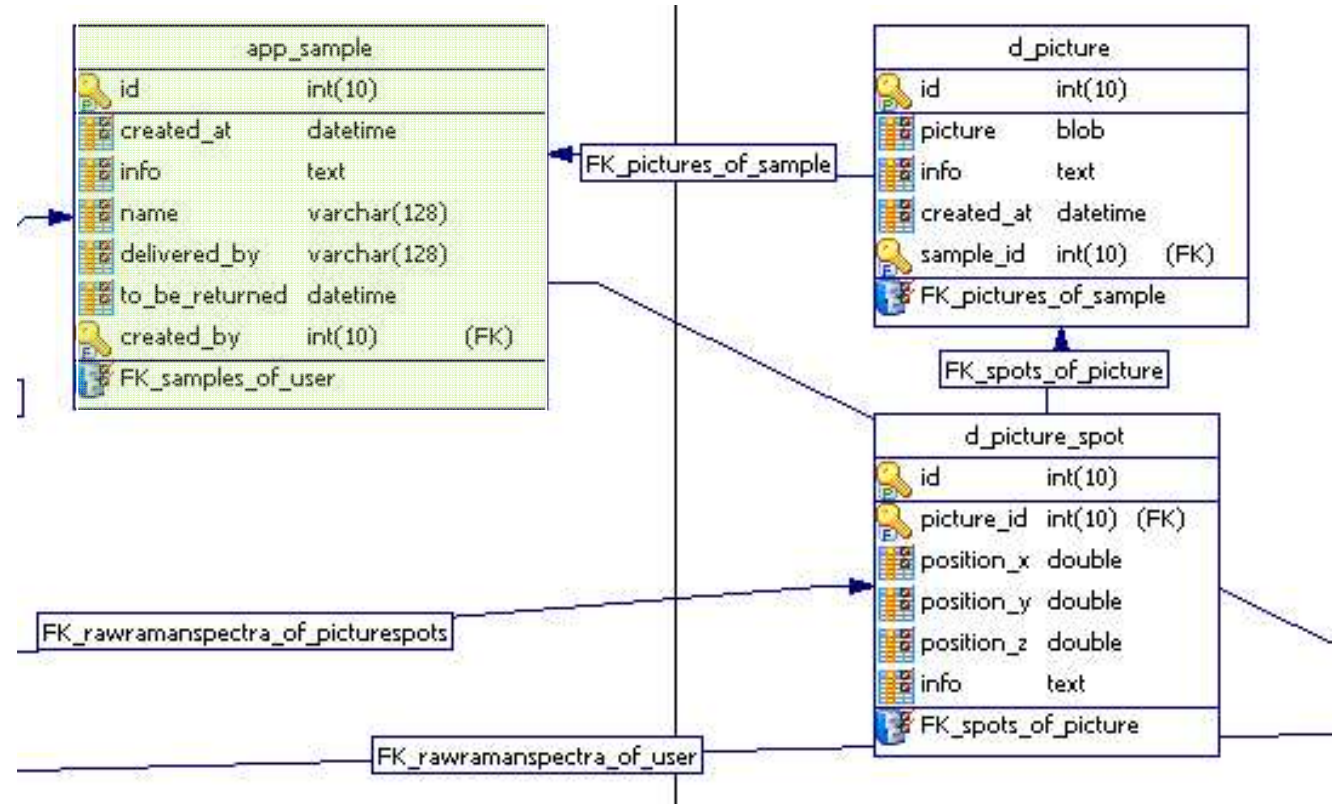
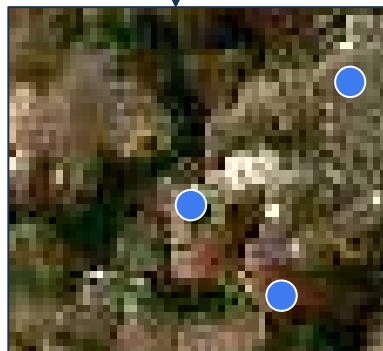


Databases – sample/spectrum centric





Databases – sample/spectrum centric



Row Raman spectrum

Detector dark-signal
(laser off)

Optical background
(laser on – no sample)

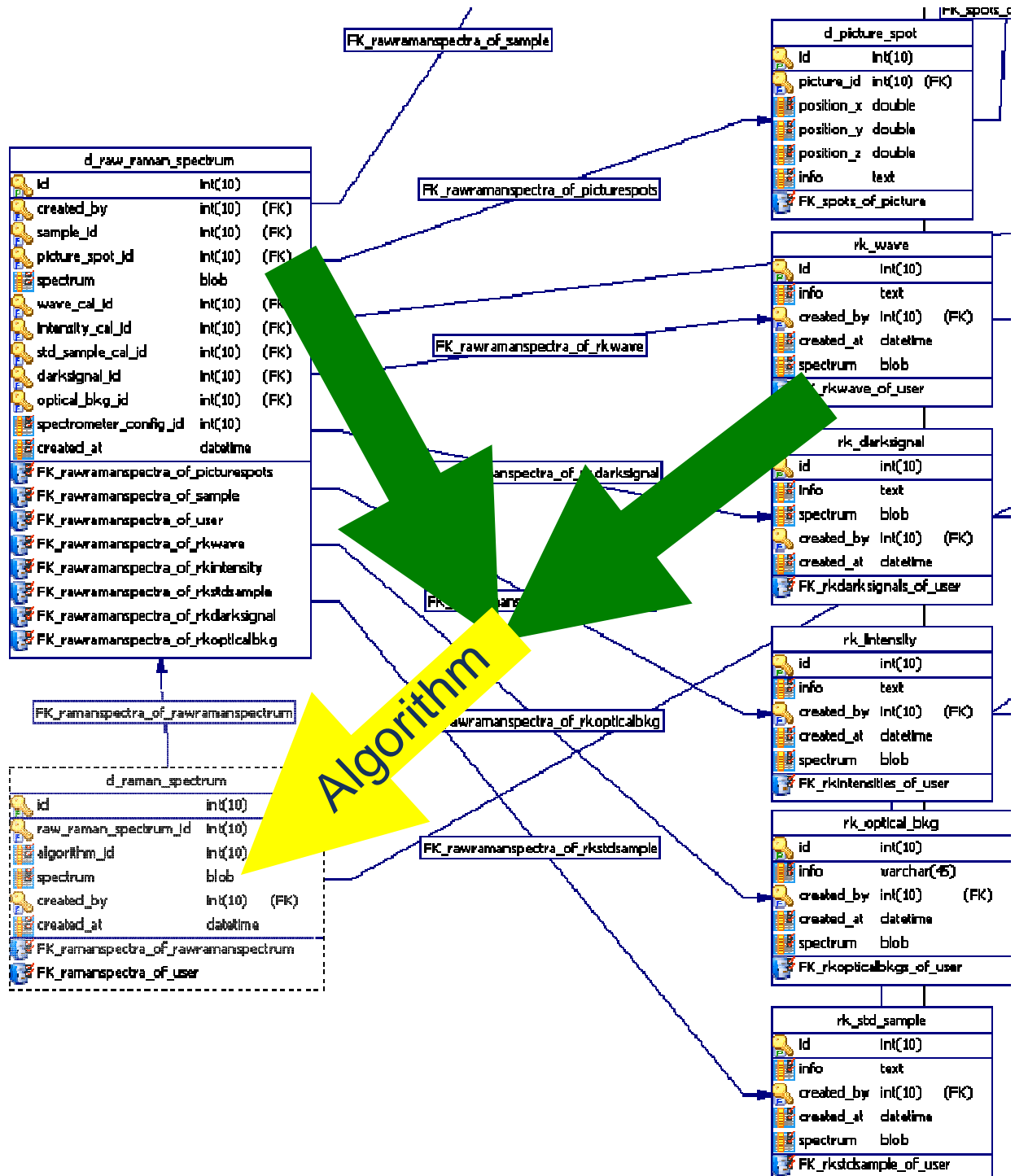
Wavelength calibration
(spectral lamps)

Intensity calibration
(white light lamp)

Standard sample
(NIST standards)

Raman spectrum

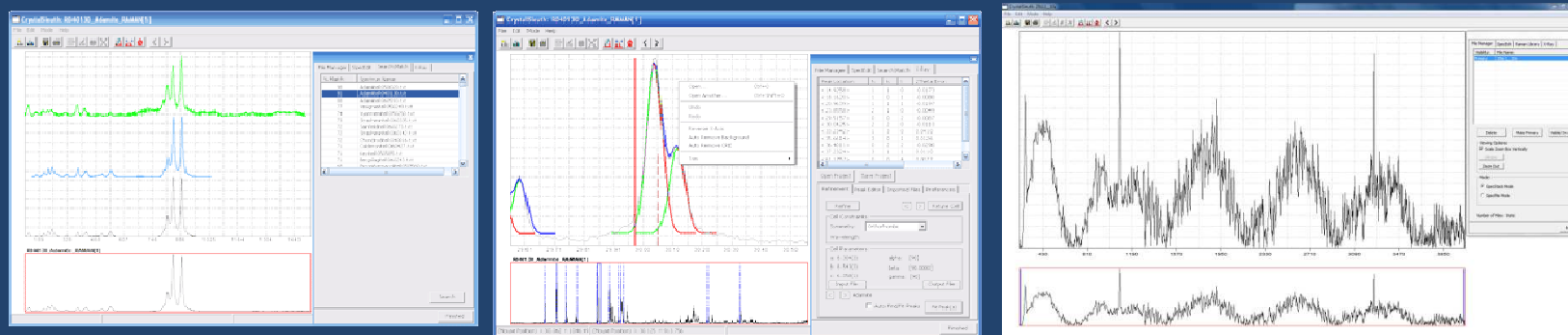
Algorithm





Free available Raman Spectra Databases

RRUFF Project (<http://rruff.info>) - more than 3000 different samples (more than 2000 minerals)
+ IR and X-Ray diffraction spectra. **CrystalSleuth** software for offline search



RAMIN – Raman Spectra Database of Minerals and Inorganic Materials is available at http://riodb.ibase.aist.go.jp/rasmin/E_index.htm and has 576 Raman spectra of minerals and 1022 of other inorganics as end of 2011.

Mineral Raman Database at University of Parma, Italy
<http://www.fis.unipr.it/phevix/ramandb.php> ~200 Raman spectra of minerals.

Some others smaller free accessible databases:

ColoRaman (<http://oldweb.ct.infn.it/~archo>) pigments,

Handbook of Minerals Raman Spectra (<http://www.ens-lyon.fr/LST/Raman>),

Minerals Raman spectra by Department of Earth Science in Siena (<http://www.dst.unisi.it/geofluids-lab>),

Database of SFMC (Société Française de Minéralogie et Cristallographie) specially dedicated to minerals (<http://www.obs.univ-bpclermont.fr/sfmc/ramandb2/index.html>).



Commercially available Raman Spectra Databases

Raman data libraries can be also purchased at instrument manufacturer (Horiba, Witec, Renishaw, Thermo Scientific, etc.) and are delivered also with most of the portable/hand-held Raman devices.

Other commercially available databases:

Fiveash Data Management Inc. (<http://www.fdmspectra.com>)

600 Raman spectra of organics, 250 of pharmaceuticals
6051 minerals spectra

Thermo Fisher Scientific Inc. (<http://ramansearch.com>)

over 16000 Raman spectra

Bio Rad (<http://www.bio-rad.com>)

4465 Raman spectra of polymers, inorganics and processing chemicals

Sigma-Aldrich (<http://www.sigmaaldrich.com>)

14033 FT-Raman spectra

S. T. Japan Europe GmbH (<http://www.stjapan-europe.de>)

8.694 searchable Raman spectra of polymers, food additives, food packaging, solvents, biochemicals, hydrocarbons, pesticides, dyes, pigments, pharmaceuticals, minerals and inorganics, etc.

why so little compared with other techniques (IR, XRD) ?



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Pre-processing of Raman spectra

Why?

- Scope: reduce or eliminate irrelevant, random and systematic variations in the data
 - e.g. - signal intensity variations linked to laser intensity
 - low SNR
 - high background (fluorescence)
 - spikes



Spike removal

Spikes:

- single events (mostly caused by cosmic rays) hitting the detector
- are always positive peaks of narrow bandwidth
- random position on CCD and random in time

Spike removal:

- multiple accumulations (eliminates the spike through comparison, robust summation etc.)
- mathematical
 - missing point polynomial filter
 - robust smoothing filter
 - moving window filter
 - wavelet transform methods
- reduction of spike events by special design of the instrument (Zhao, 2003)

Assumptions:

- spike band width much smaller than Raman band width
- Raman maps de-spiking: spatially adjacent spectra are similar



Smoothing

- Scope: Reduce the noise → Improvement of SNR
- Noise is random and it changes with a higher frequency than the Raman signals
- Methods:
 - Average
 - Moving Average
 - Moving Median
 - Moving Polynomial (Savitzky-Golay)
 - Fourier Filter

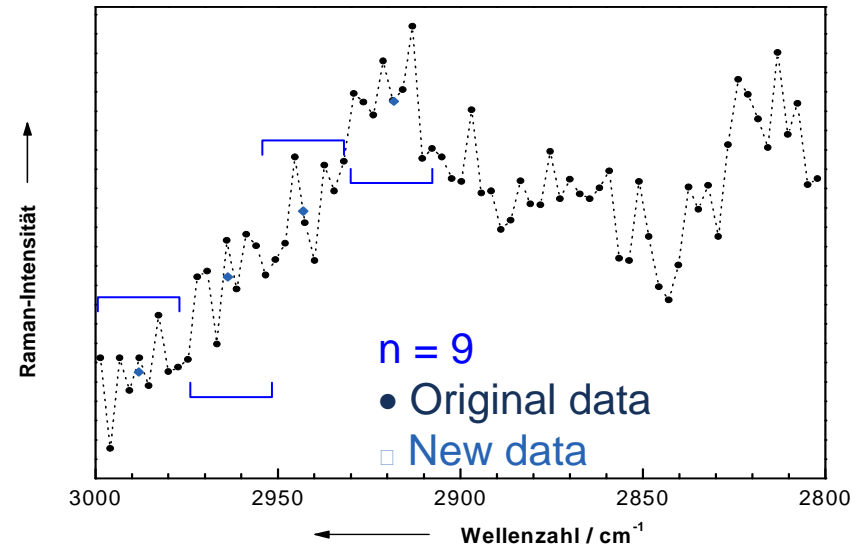
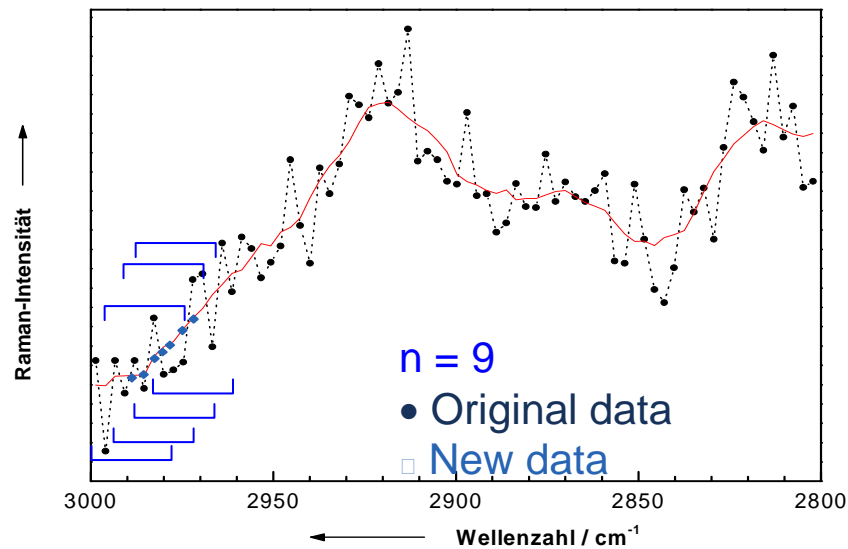
Works on intervals
of **n** points



Smoothing

Average

- reduces the data points
- information is lost

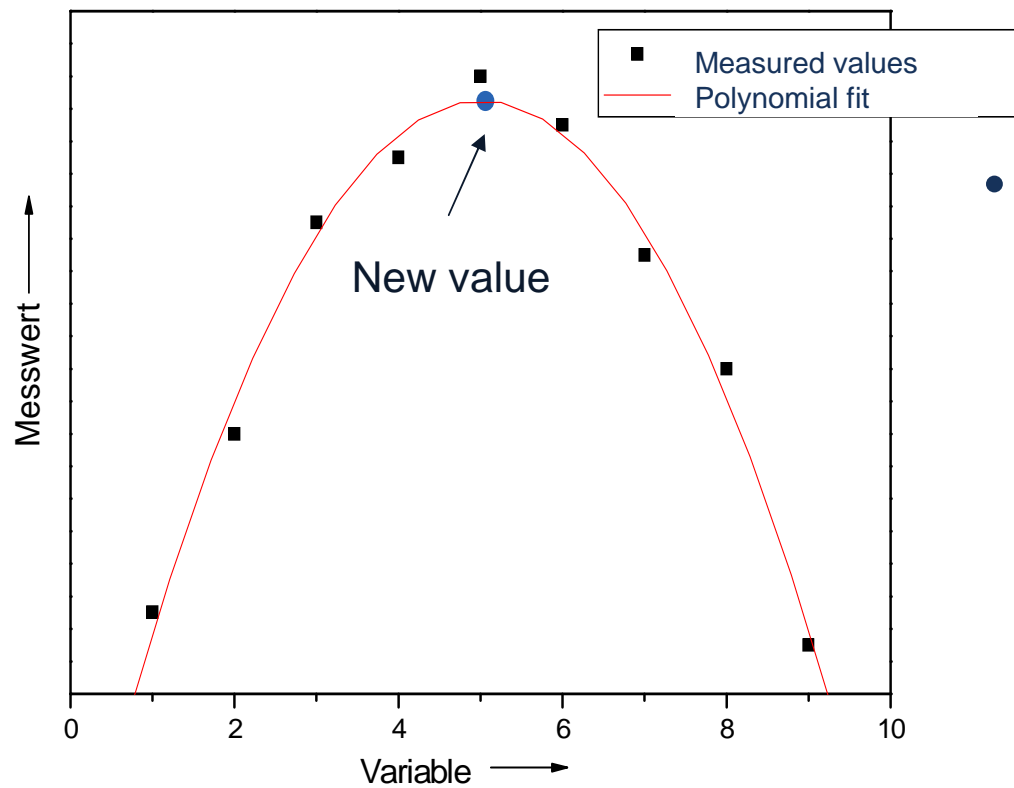


Moving Average

- data points number remains
- information loss increases with increasing moving window size
- 'end' effects



Smoothing



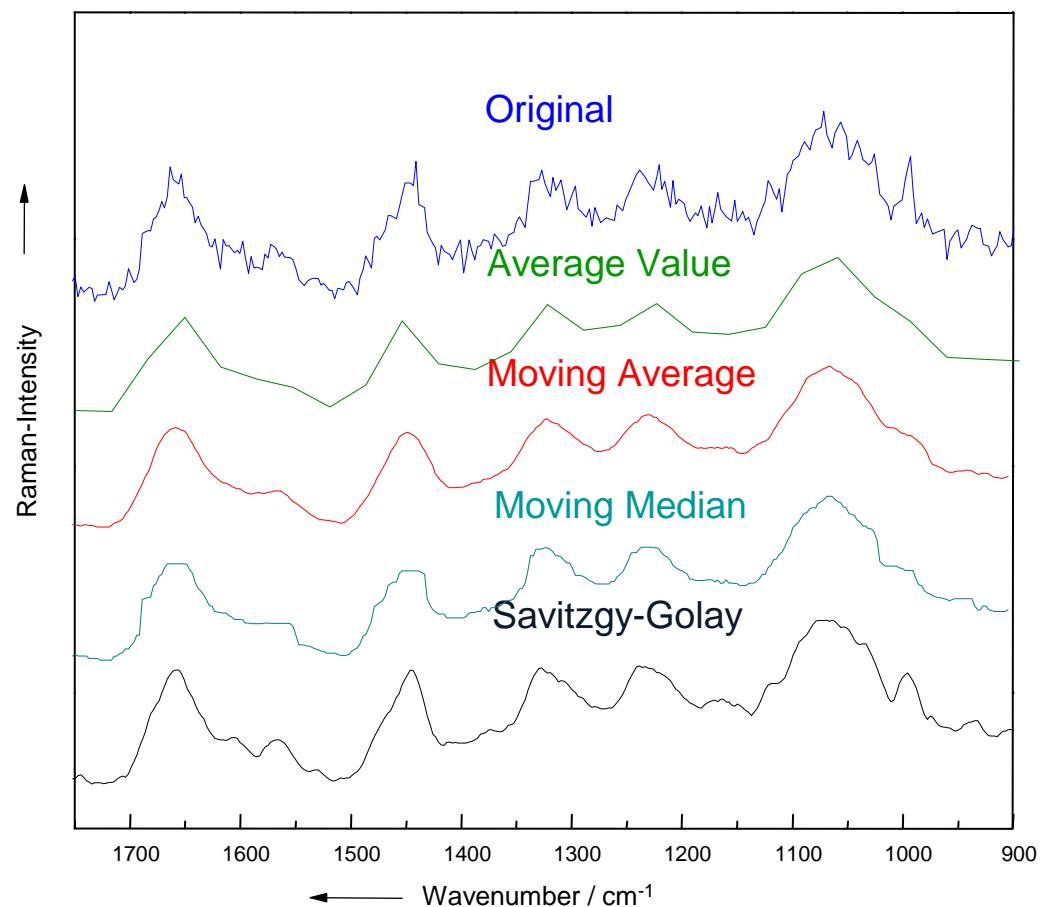
- Moving Polynomial (Savitzky-Golay):
 - Polynomial of small order is fitted through data points



Smoothing

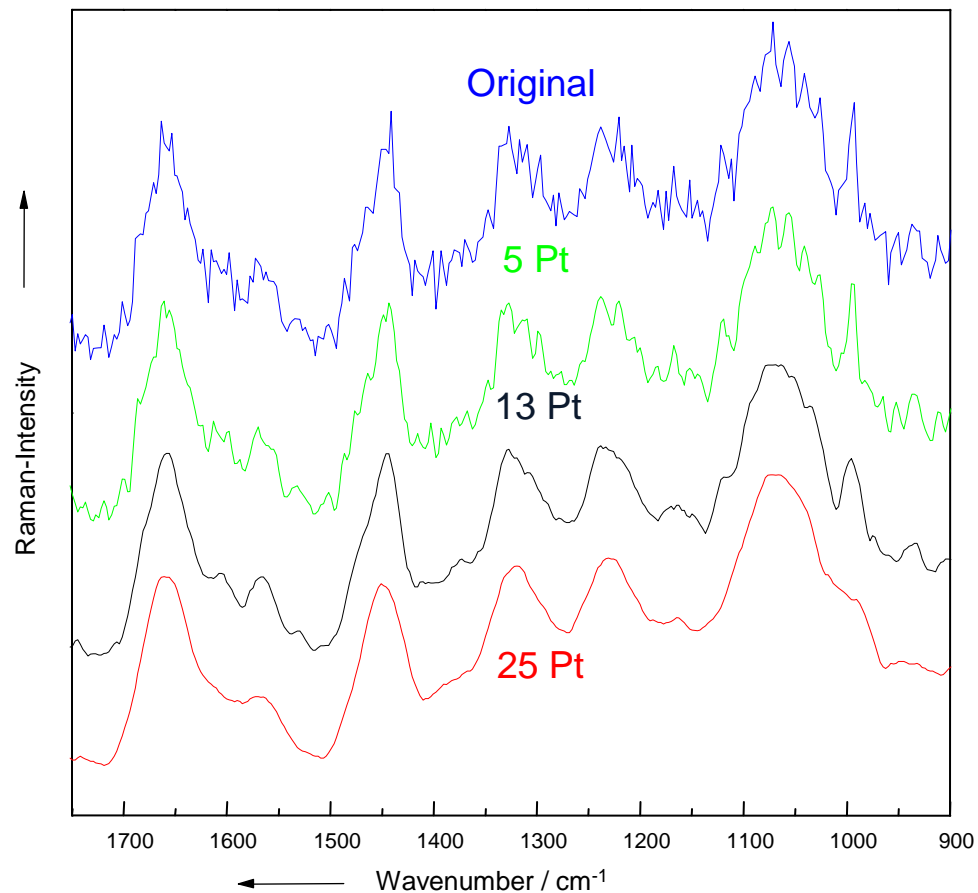
Window of 13 points used with different smoothing algorithms

If band shape is important then use Savitzky-Golay smoothing - it preserves the band shape better than all other methods





Smoothing



Different smoothing window sizes for Savitzky-Golay (Polynomial)

Width of Raman bands increases with smoothing window size



Smoothing

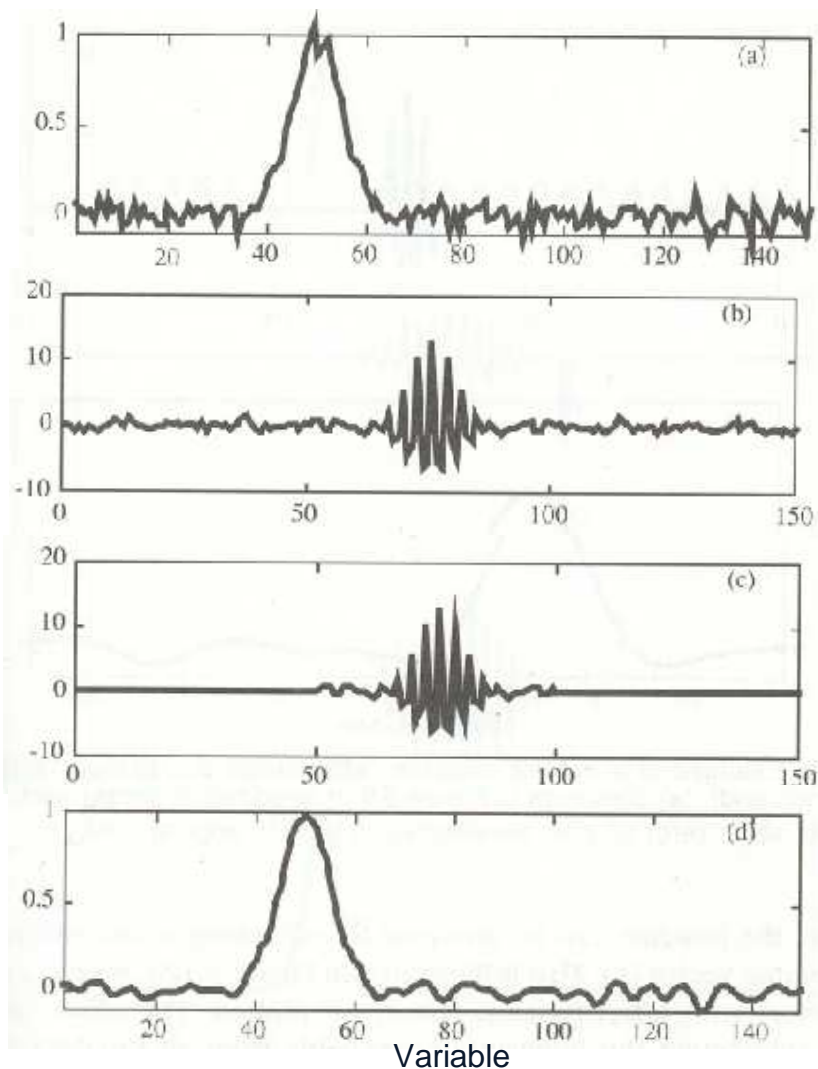
Spectrum is
Fourier-transformed

Transformed spectrum is
multiplied with a filtering
function (reduce or
eliminate the influence of
high frequency terms in FT,
e.g. Boxcar

Another FT
(back transformation)

Eliminating too many frequencies will lead to

- loses of spectral information
- enlargement of band widths

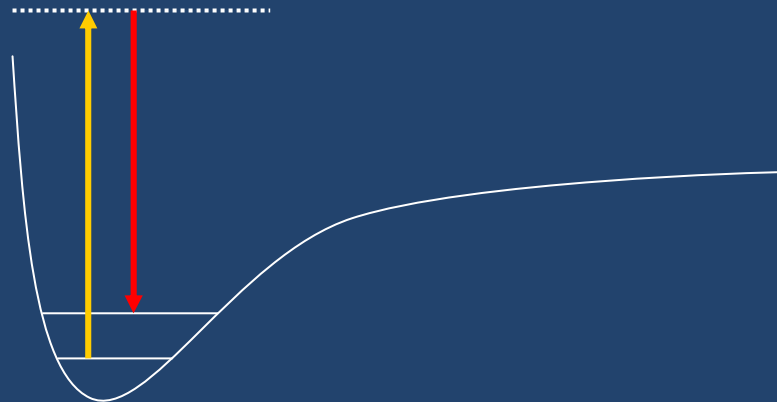


Images: K. R. Beebe, R. J. Pell, and M. B. Seasholtz, *Chemometrics: A Practical Guide* (John Wiley & Sons, New York, 1998).

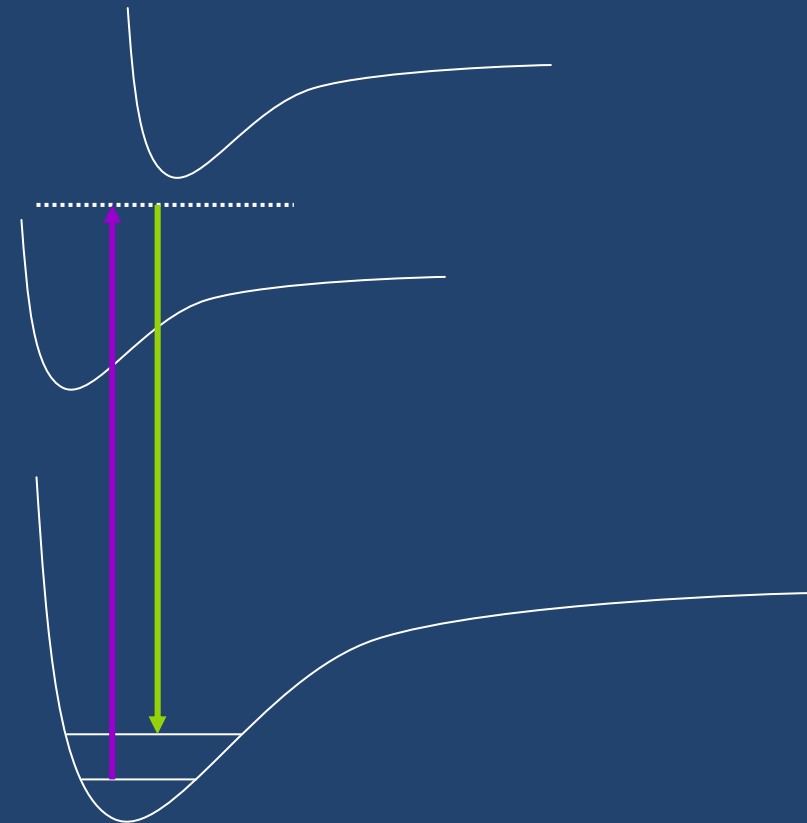


a) Chose appropriate excitation wavelength: near infrared or UV laser lines

Near
IR



UV





- b) For low-wavenumber modes look at anti-Stokes side since fluorescence starts in most cases only in the Stokes region
- c) Use nonlinear technique: coherent anti-Stokes Raman spectroscopy (CARS)
- d) Fluorescence quenching by means of surface enhanced Raman scattering (SERS)
- e) Bleaching
- f) Rejection of fluorescence by means of Shifted Excitation Raman Difference Spectroscopy (**SERDS**) (equivalent with recording a spectrum and shifting the same spectrum digitally with computer)

(see e.g. P.A. Mosier-Boss et al., Appl. Spectrosc. **49**, 630 (1995))

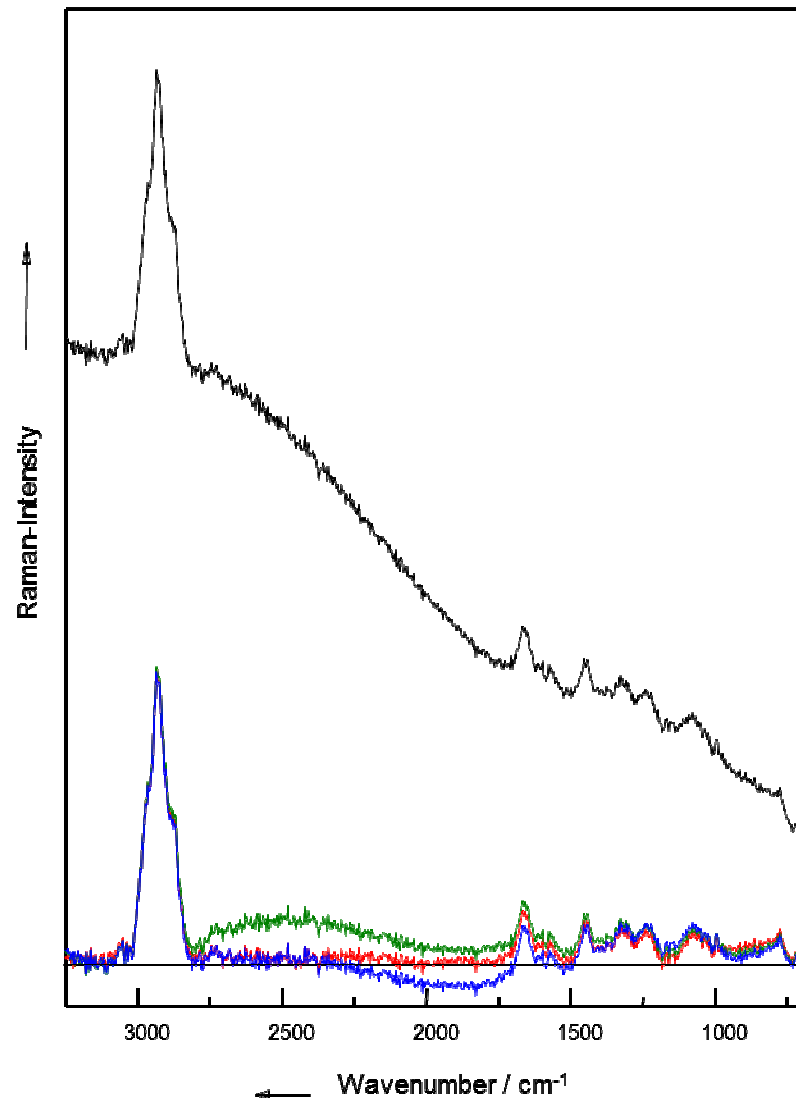


Background

- Background sources:
 - Fluorescence and other laser induced emissions (sample+instrument optics)
 - Environmental light sources
 - Instrument specific background (e.g. dark current)
- Methods of background correction:
 - Polynomial $r = \tilde{r} + \alpha + \beta x + \gamma x^2 + \dots$
 - Offset correction (Subtract a constant, α)
 - Subtract a line $\alpha + \beta x$
 - Approximate a polynomial through basis points $\alpha + \beta x + \gamma x^2 + \dots$
 - Derivate $r' = \tilde{r}' + 0 + \beta + \gamma x + \dots$
 - FFT with high pass filter
 - Wavelet transformation techniques
 - Free form baseline



Background – baseline support points



- Correct Baseline
- Wrong baselines:
 - Left-over background
 - Overfitting (negative values)

A baseline should not cut into Raman band signal strength



Background – Derivate

Advantages: Baseline support points are not needed

Spectrum: $r = (r_1, r_2, r_3, r_4, \dots)$

Methods:

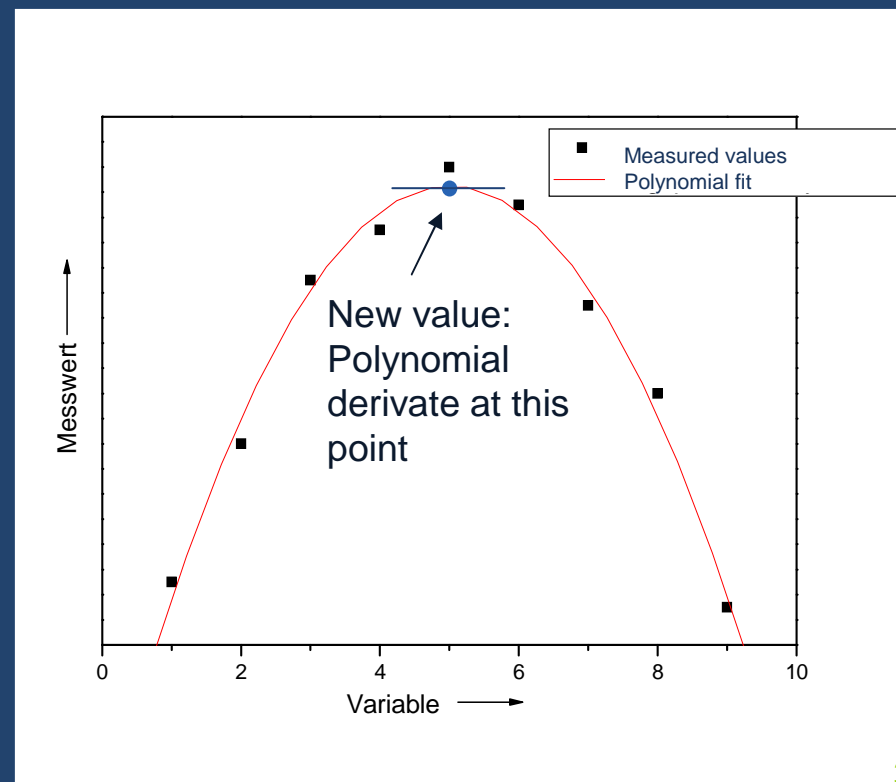
- Moving simple difference:

$$r' = (r_2 - r_1, r_3 - r_2, r_4 - r_3, \dots)$$

- Moving average difference:
 - window size, average values
 - e.g. window size 3:

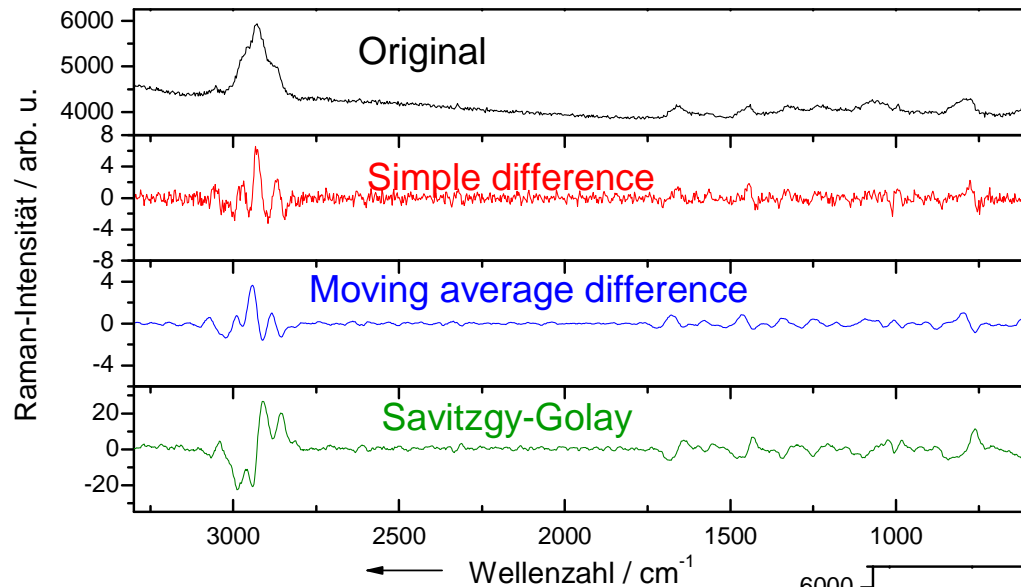
$$r' = \left(\frac{r_2 + r_3 + r_4}{3} - \frac{r_1 + r_2 + r_3}{3}, \dots \right)$$

- Savitzky-Golay:
 - Polynomial fitted in moving window
 - New value is the polynomial derivate on that point





Background – Derivate



1. Derivate

Simple Difference:

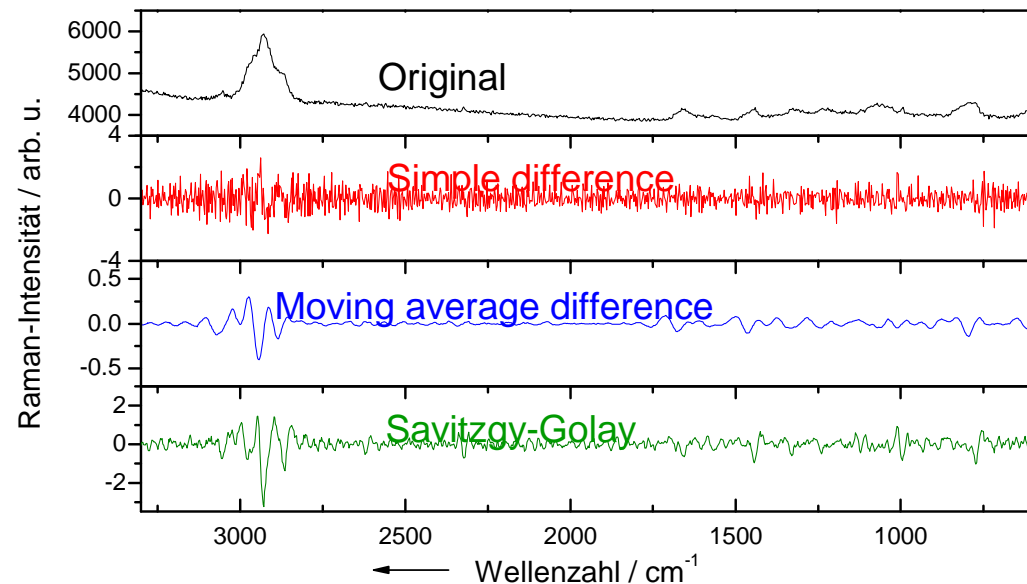
- SNR decrease

Moving average difference:

- smooth
- Bands are shifted

Savitzgy-Golay:

- smooth
- Band shape better preserved



2. Derivate

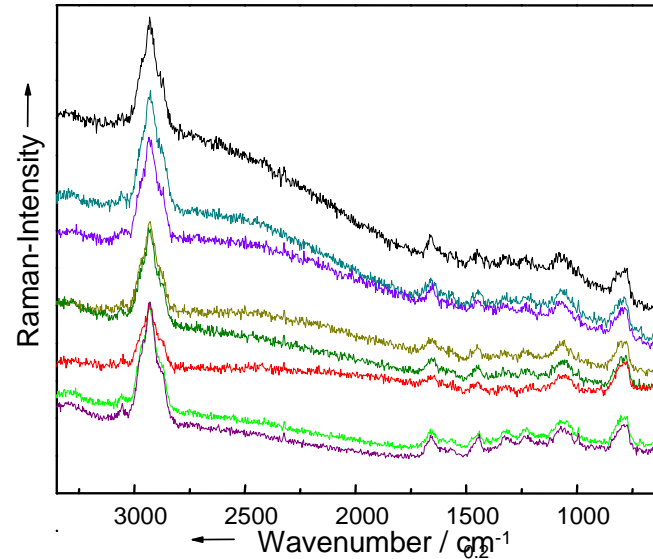


Normalization

- Eliminate systematic differences among measurements
 - Raman spectra show intensity differences because of e.g.:
 - Changing laser power
 - Differences in focusing depth
 - Sample volume differences
- For measuring concentrations with Raman based on calibration curves do not normalize your spectra on bands dependent on concentration!
- 2 Possible normalization methods: Vector normalization and Min-/Max-Normalization
- Perform baseline correction before normalization

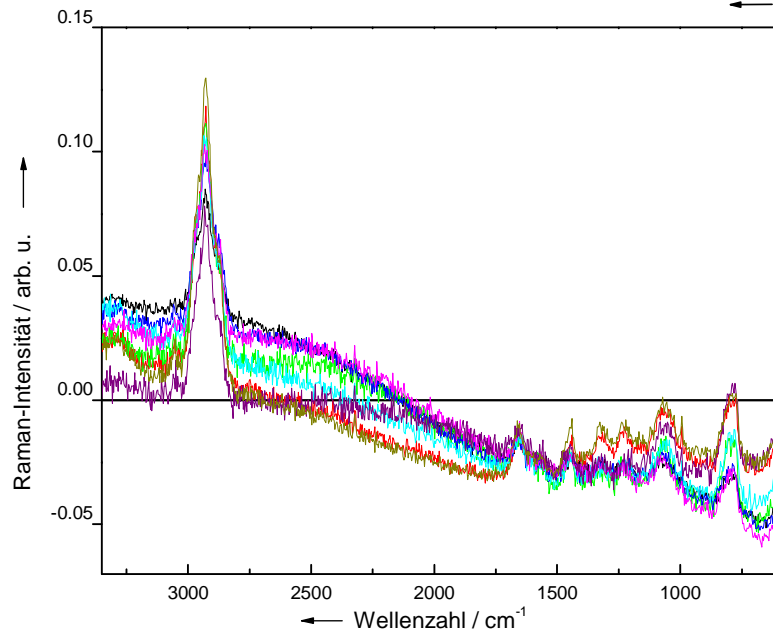


Normalization

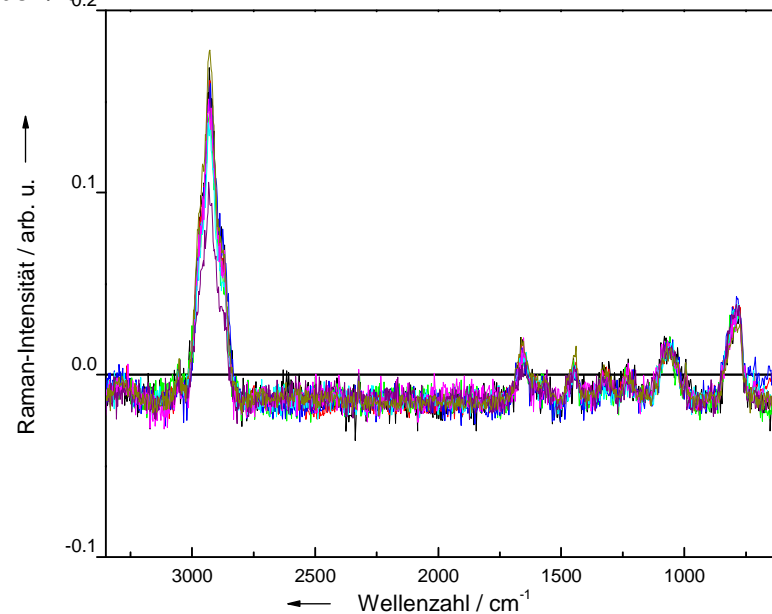


Raw data

Normalization without baseline correction



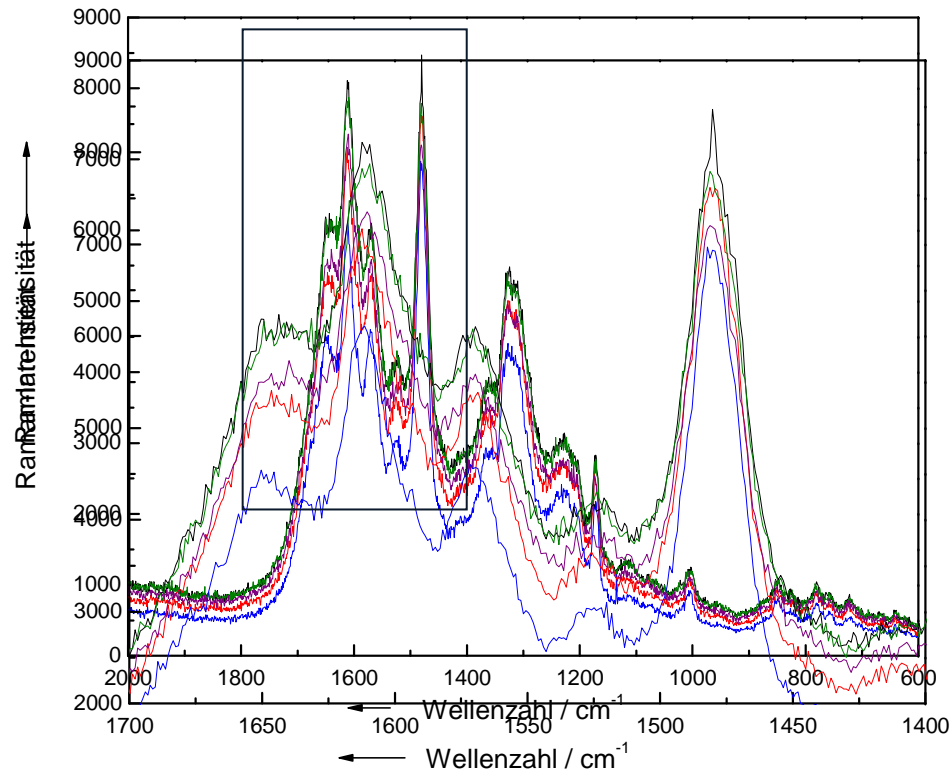
Normalization with baseline correction



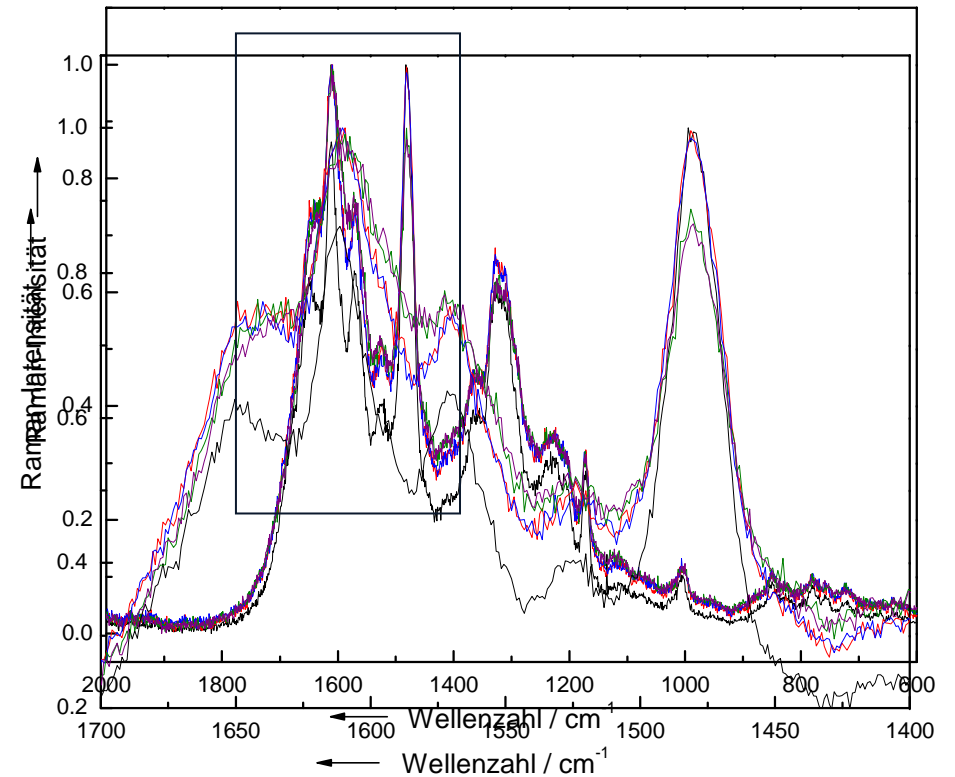


Normalization – Min/Max method

Original data



Normalized data



$$I_{norm} = \frac{I - I_{min}}{I_{max}}$$



Vector Normalization

- Calculates the average intensity value for all (chosen) wavenumber:
- Intensities will be centered:
- Centered intensities will be divided by the length of the spectrum (as vector)
- The new spectrum vector will have a length of 1.

$$a_m = \frac{\sum_k a(k)}{k}$$

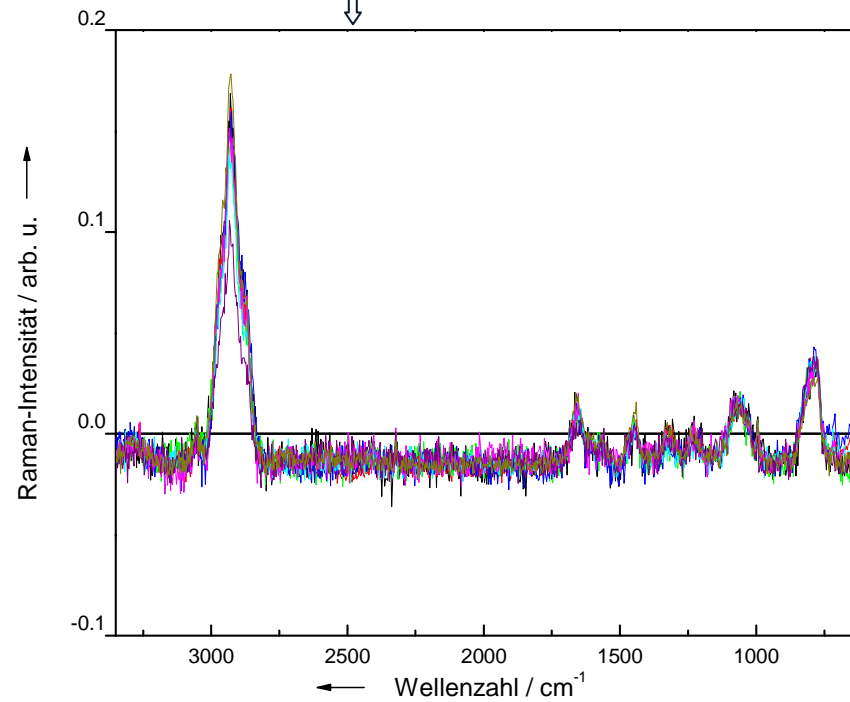
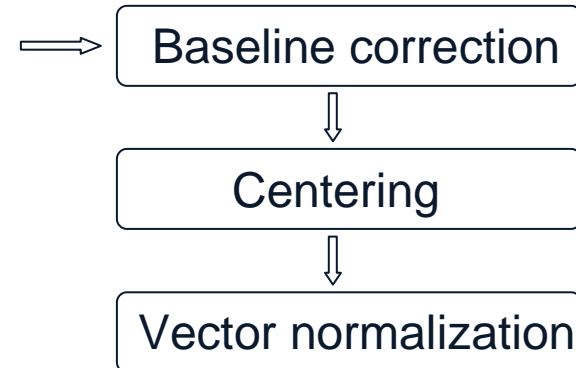
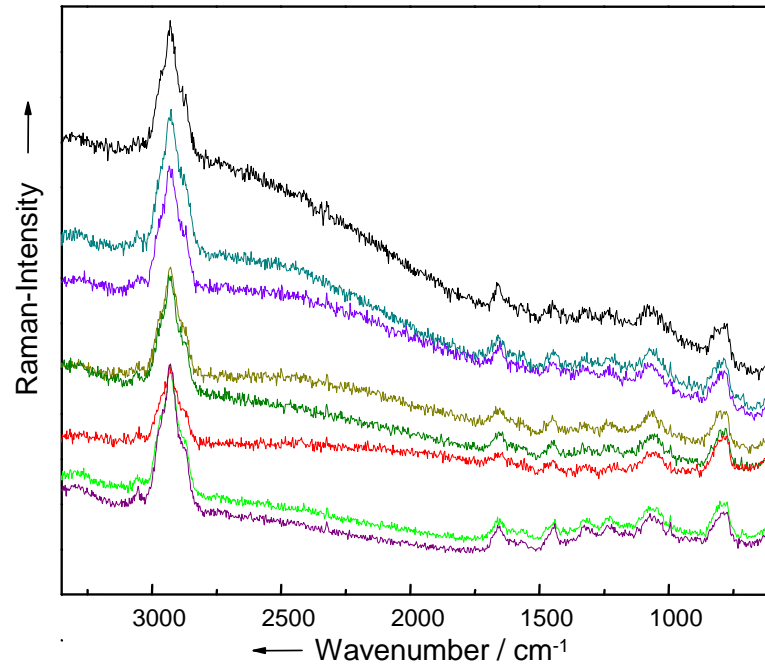
$$a'(k) = a(k) - a_m$$

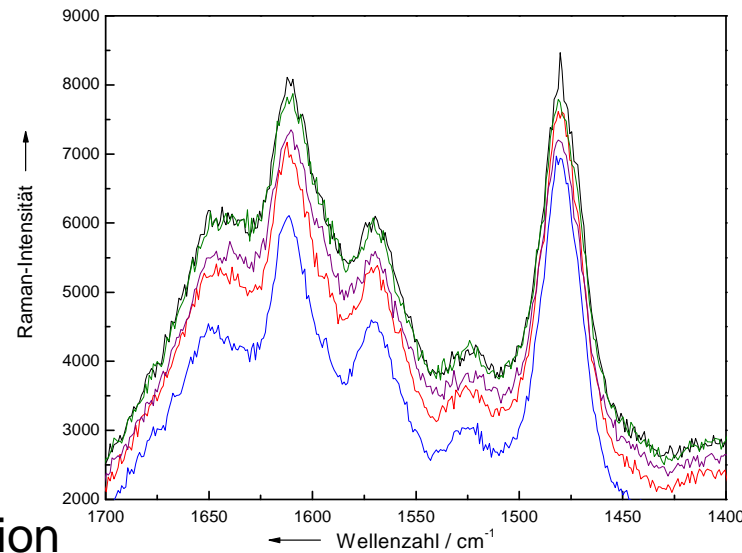
$$a''(k) = \frac{a'(k)}{\sqrt{\sum_k (a'(k))^2}}$$

$$\sum_k (a''(k))^2 = 1$$



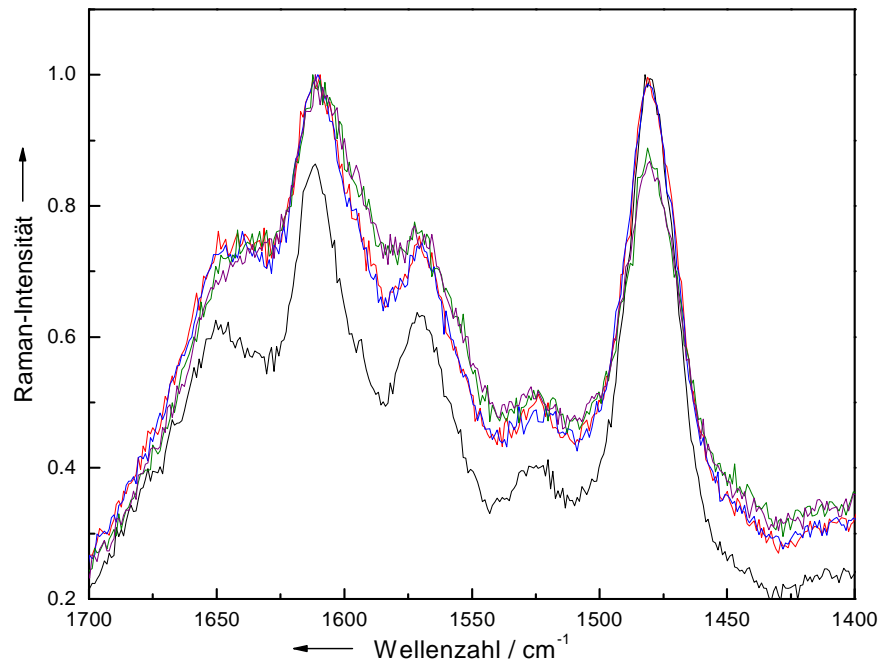
Vector Normalization



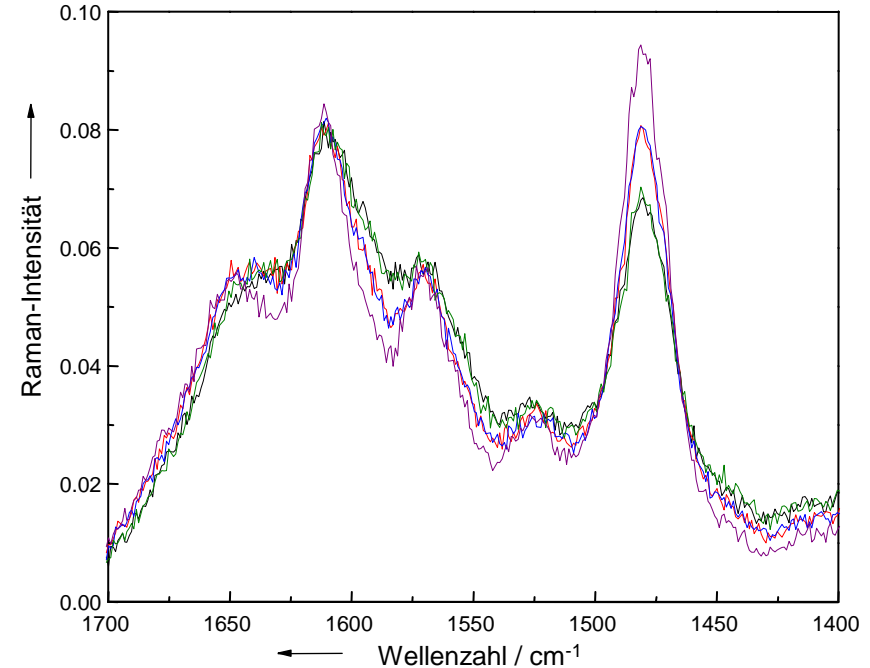


Original data

Min-/Max-Normalization

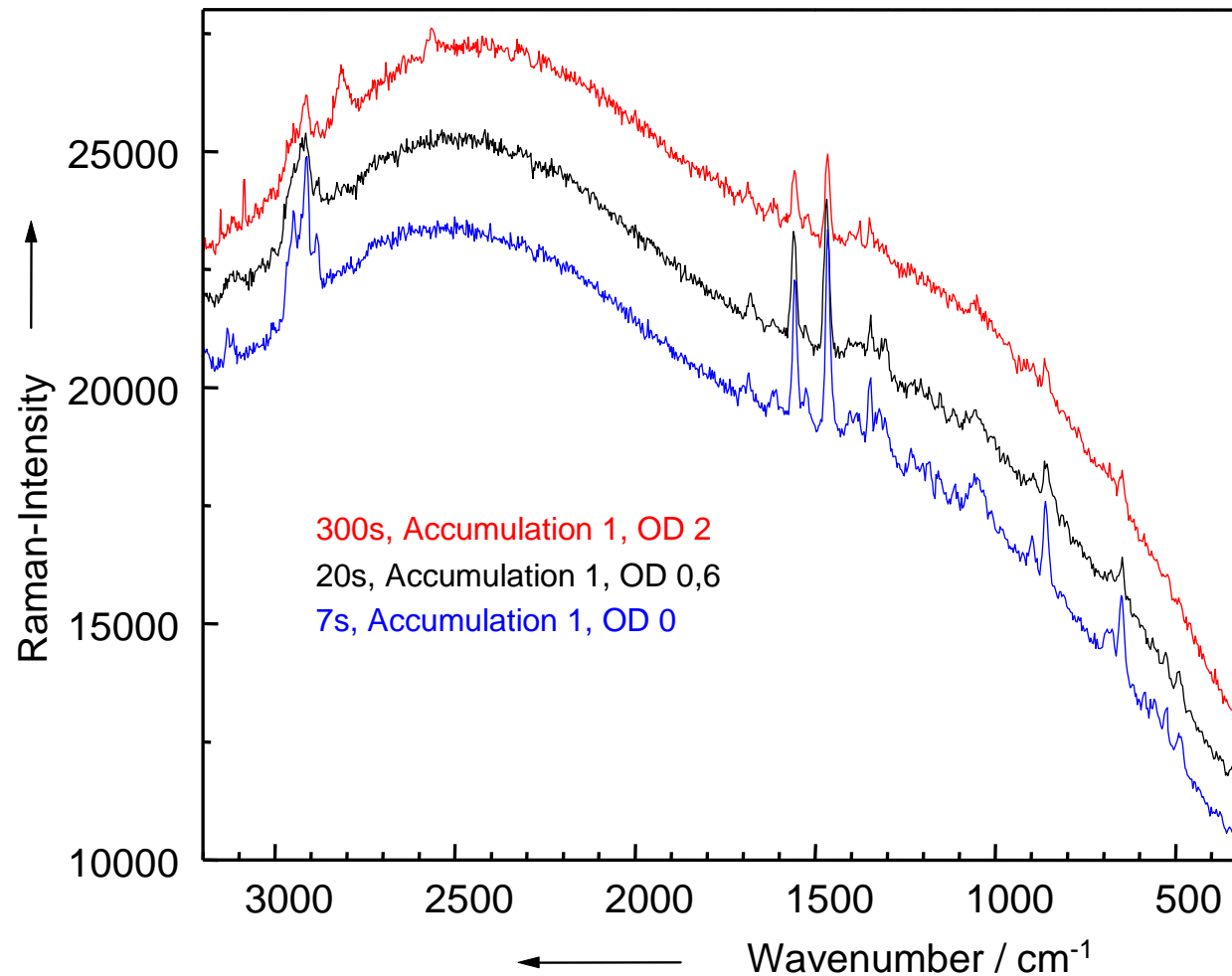


Vector normalization





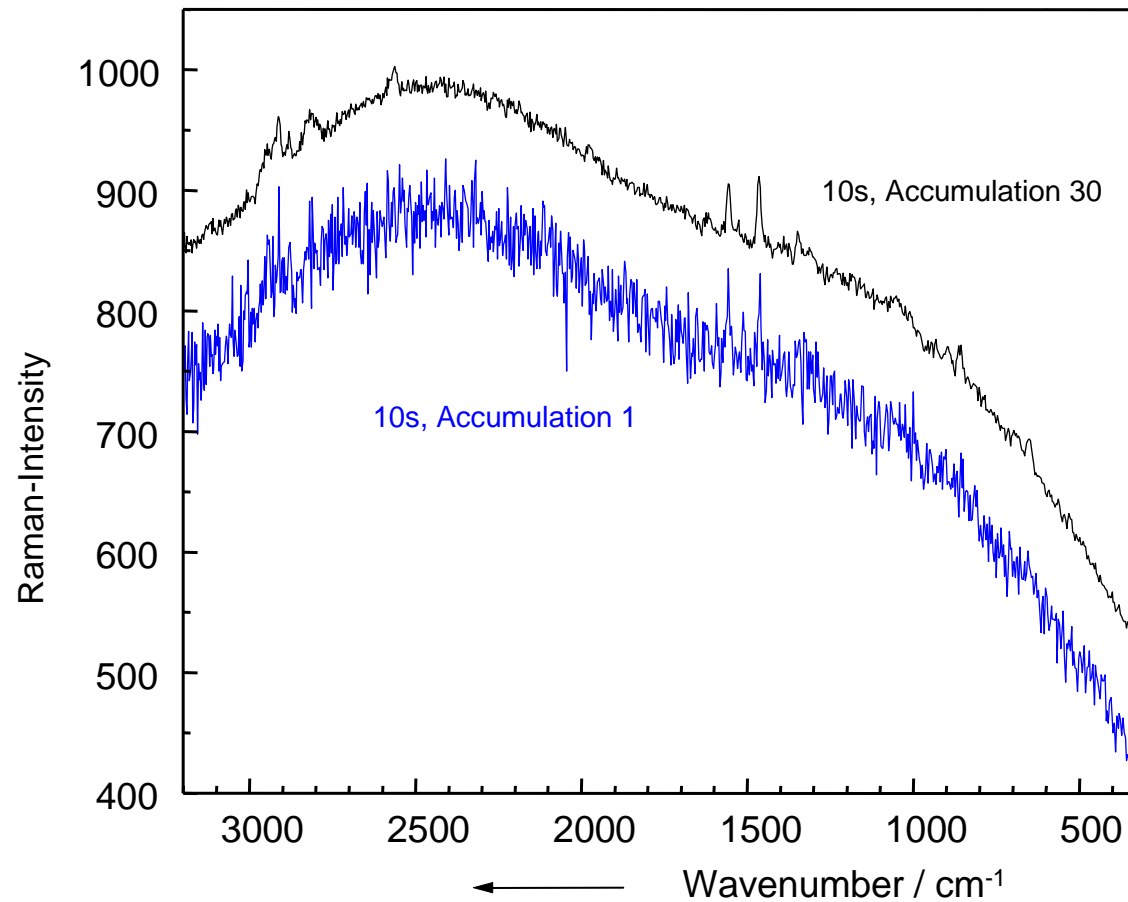
Higher laser power leads to better SNR as longer integration time.
But: photo degradation of the sample.



$$I_R \propto I_{\text{Laser}}$$



■ Improvement of SNR through multiple accumulations





Spectral and Intensity (re-)calibration

Spectral Calibration

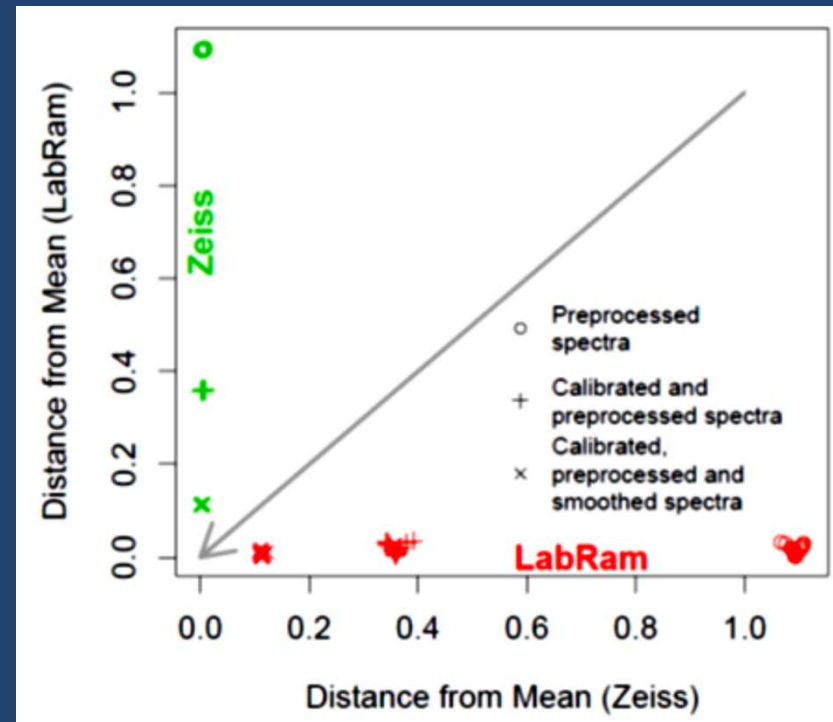
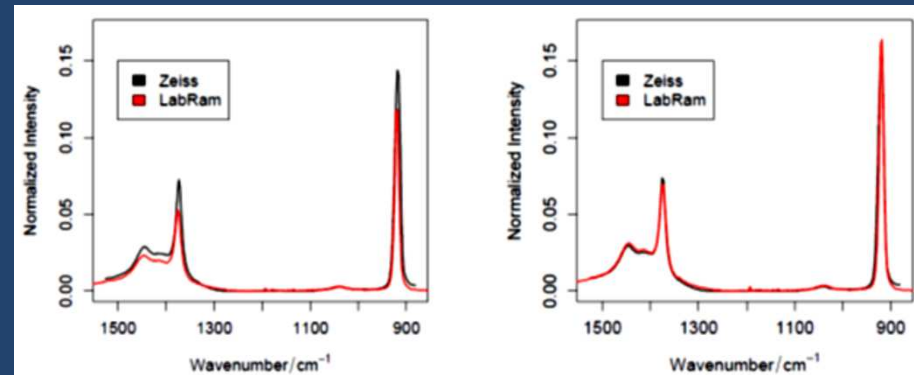
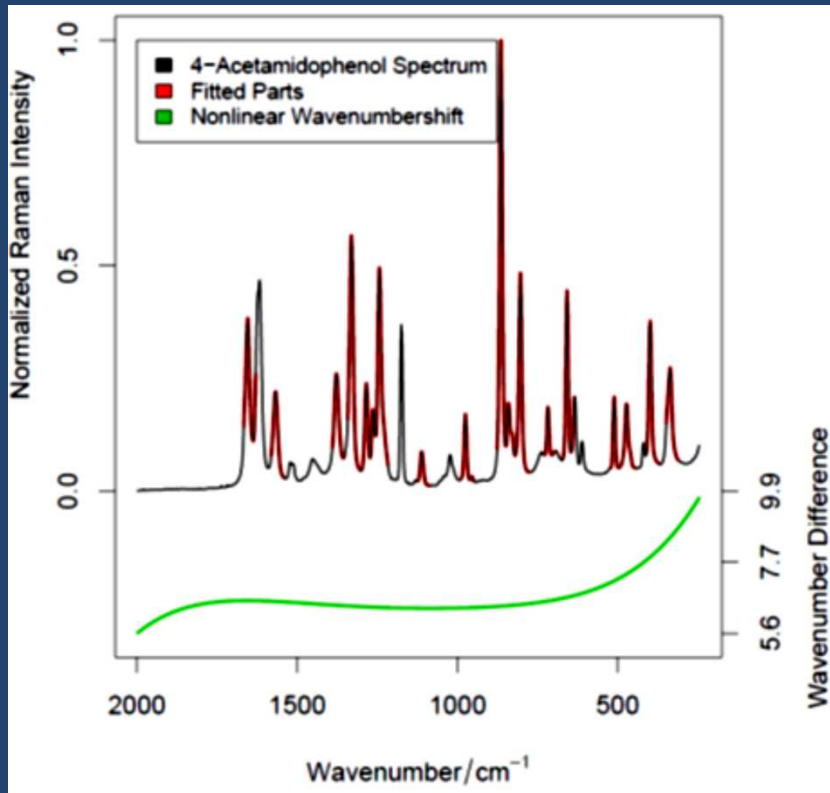
- Atomic emission lines (Ne, Hg, Ar, Kr lamps, plasma lines of the gas lasers)
- Shift calibration standards (indene, polystyren, paracetamol, naphtalene etc.)

Intensity Calibration

- Intensity calibrated lamps
- NIST fluorescence standards for Raman



Spectral and Intensity (re-)calibration



Instrument variation induced in Raman data can be reduced but very hard to completely eliminate

=> Spectrometer identification by analyzing the Raman spectra ☺



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- Pre-processing of Raman spectra
 - Spike removal
 - Smoothing/denoising
 - Background
 - Normalization
 - Spectral and intensity (re-)calibration
- Chemometry methods for Raman spectroscopy
 - Multivariate calibration algorithms (MLR, PCA, PCR)
 - Classification methods
 - Cluster analysis



Chemometrics

K. R. Beebe, R. J. Pell, and M. B. Seasholtz,
Chemometrics: A Practical Guide (John Wiley & Sons,
New York, 1998).

H. Martens and T. Naes, *Multivariate Calibration* (John
Wiley & Sons, Chichester, 1993).

R. Henrion and G. Henrion, *Multivariate Datenanalyse*
(Springer Verlag, Berlin, 1994).

J. Gasteiger and T. Engel, (Eds.) *Chemoinformatics*,
(Wiley-VCH, Weinheim, 2003).



1972 Svante Wold und Bruce R. Kowalski establish the new term „Chemometry“

1974 International Chemometrics Society

Definition:

“the chemical discipline that uses mathematical and statistical methods

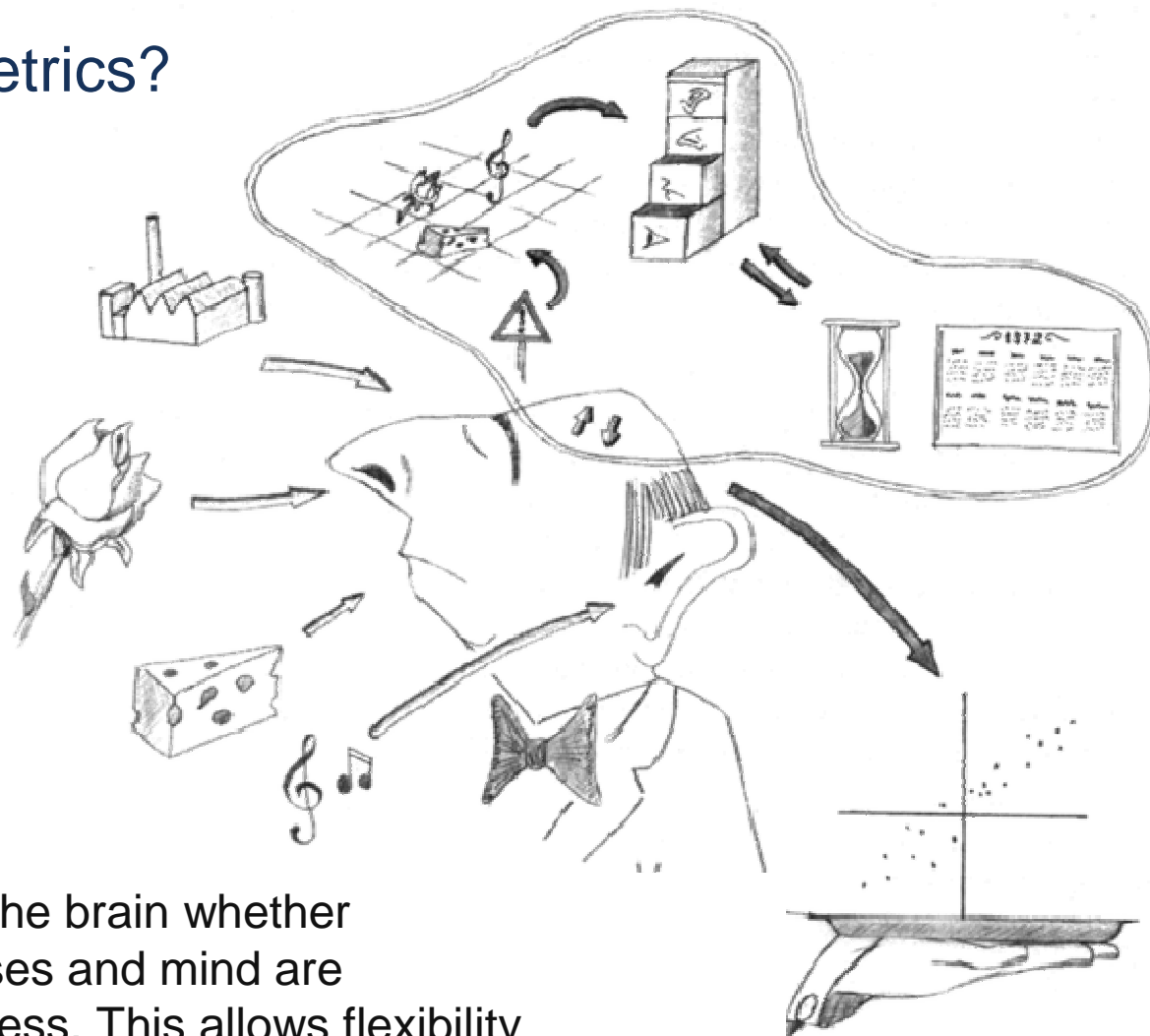
(a) to design or select optimal measurement procedures and experiments, and

(b) to provide maximum chemical information by analyzing chemical data.”

(Mathias Otto 1999 -'Chemometrics Statistics and Computer Application in Analytical Chemistry.')



Why use chemometrics?



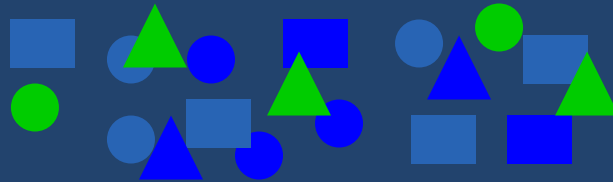
“It all comes together in the brain whether we like it or not, our senses and mind are part of the research process. This allows flexibility and creativity, but calls for checks against wishful thinking.”

H. Martens, M. Martens, 2001

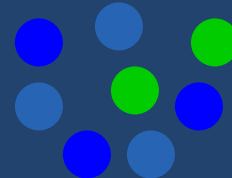


Chemometrics

- The task of sorting objects might seem sometimes trivial



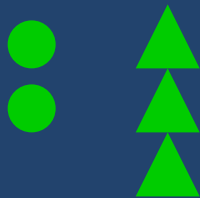
- Sort on shape:



- Color:

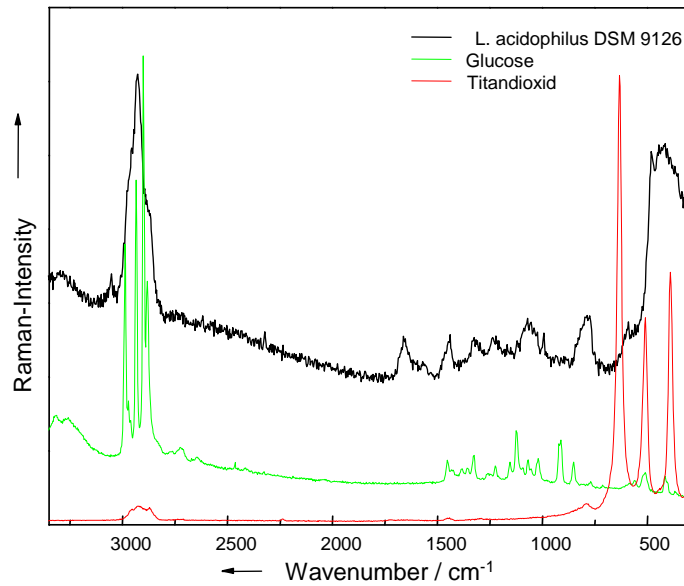


- Shape and color:

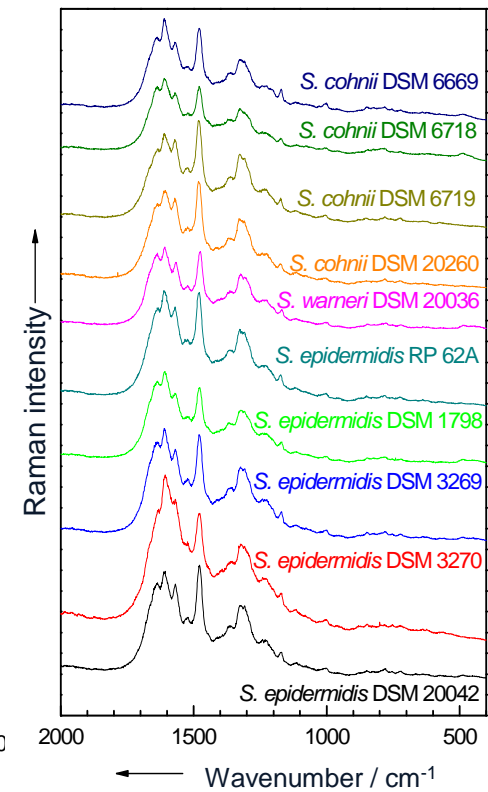
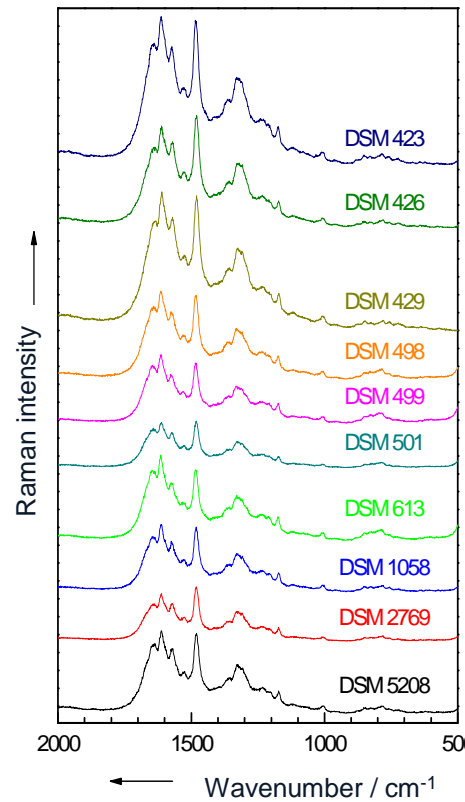




Chemometrics



For very different spectra the task is easy



For larger data set and more resembling data ?



Chemometric methods

Supervised methods (require a set of well characterized samples – calibration model)

Multiple Linear Regression (MLR)

Partial Least Square Regression (PLS)

Linear Discriminant Analysis (LDA)

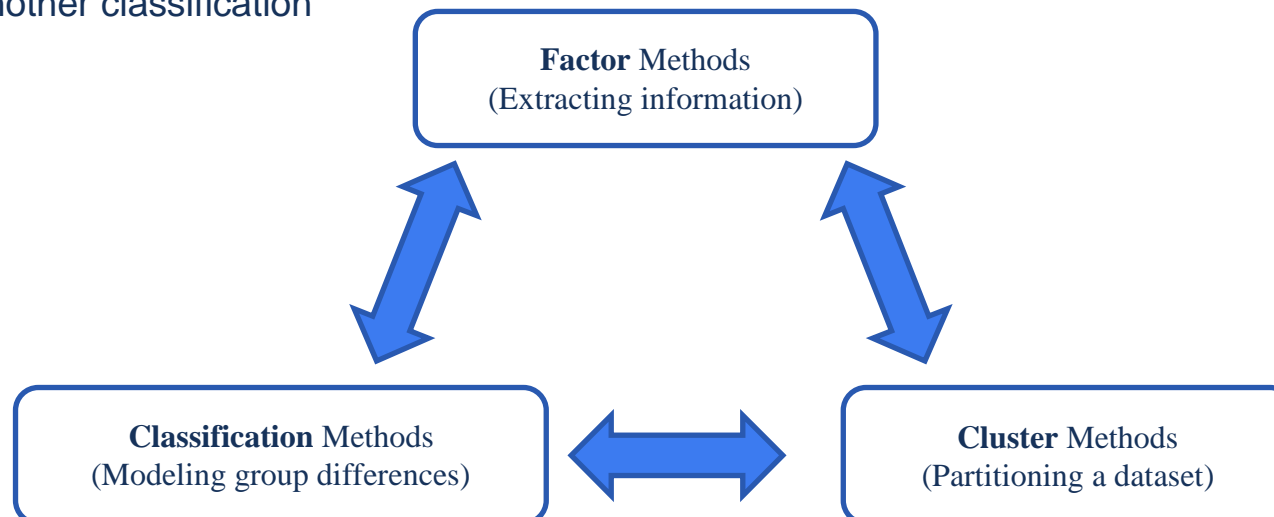
Unsupervised methods (do not require *a priori* knowledge on the samples)

Principal Component Analysis (PCA)

Cluster Analysis (CA)

Factor Analysis

Another classification





Chemometric methods

Multivariate calibration Algorithms - needs calibration model (validation)

Multiple Linear Regression (MLR) – simple regression extended to multiple var. (deconvolutions)

Principal Component Analysis (PCA) – unsupervised (reduce dimensionality to PC)

Principal Component Regression (PCR) – linear regression using PCA

Partial Least Squares Regression (PLS) – like PCR with other projection rules

...

Classification Methods

Linear Discriminant Analysis (LDA) – reduce dimensionality by preserving class discrimination

Gaussian Mixture Modeling (GMM) – weighted sums of gaussian distributions

Artificial Neuronal Network (ANN) – layered processing elements (neurons)

Support Vector Machines (SVM) – try to find the borders between two classes

...

Cluster Analysis – widely used for Raman image analyses (hyperspectral data in general)

Hierarchical Cluster Analysis (HCA) – cluster based on distances among spectra

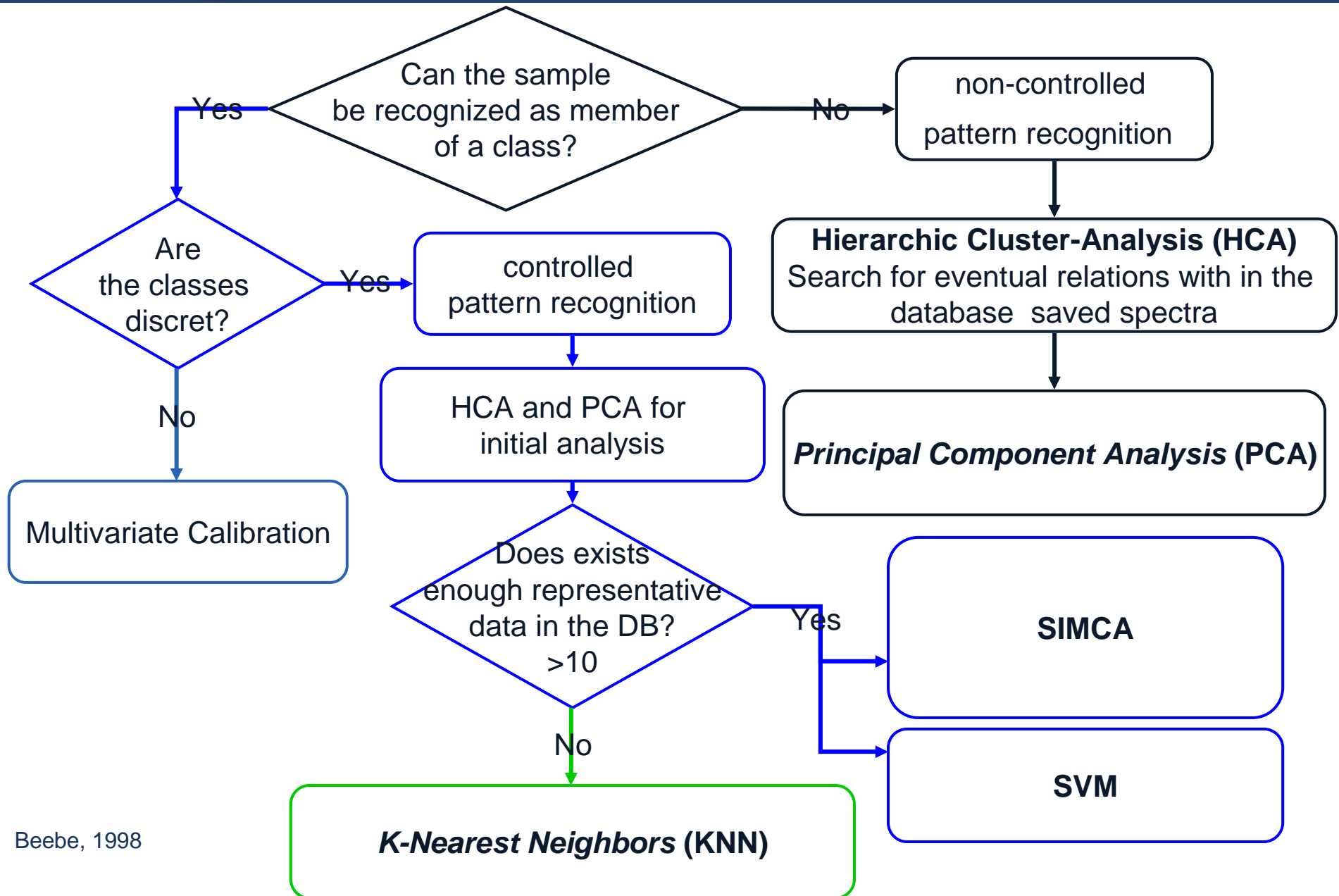
Fuzzy – c – means Cluster Analysis (c-means CA) – like HCA & k but with membership function

Fuzzy – k – means Cluster Analysis (k-means CA) – min D inside clusters and max between them

Gaussian Mixture Modeling (GMM)

...

+ nonlinear versions of each (SVM with kernel-trick ...)

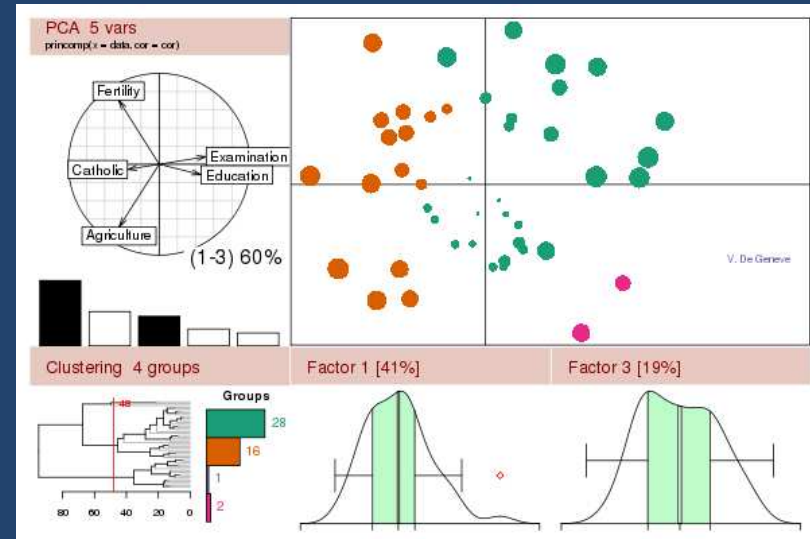




Chemometric tools

R (free open source statistics software)
<http://www.r-project.org/>

Unscrambler (PCA, PLS, MLR)
<http://www.camo.com/>

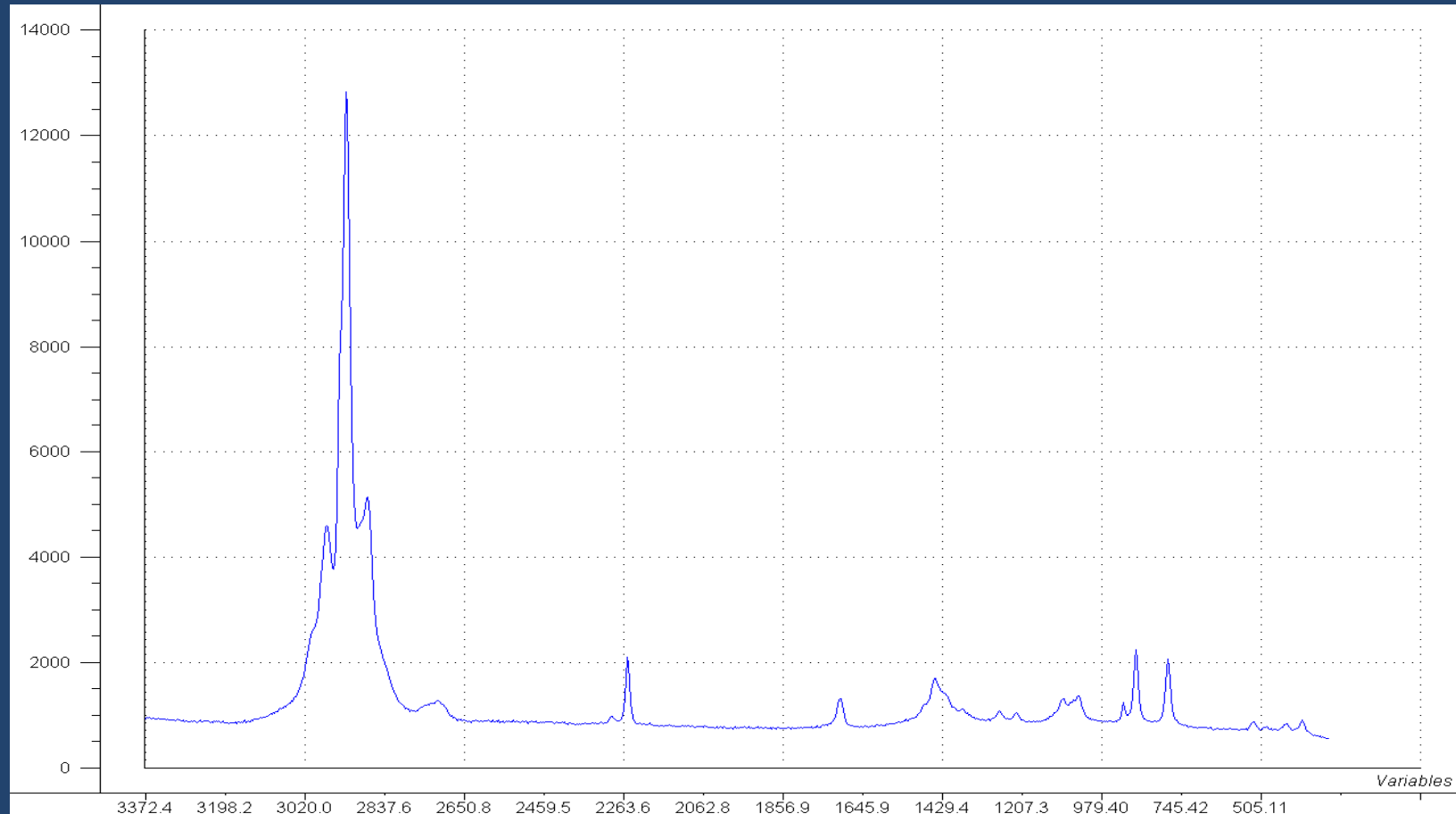


The image displays three sequential screenshots of the Unscrambler software's 'Prediction' dialog box. Each window shows the configuration for a specific prediction task.

- Left Window:** 'Sample Set' is set to 'unknown' with 6 samples. 'Model Name' is empty. 'Number of Components' is 2. 'Number of Pretreatments' is 0. 'Issue Warnings' is checked.
- Middle Window:** 'Variable Set' is set to 'Spectra' with 1010 variables. 'Model Name' is empty. 'Number of Components' is 2. 'Number of Pretreatments' is 0. 'Issue Warnings' is checked.
- Right Window:** 'Include Y-reference' is checked. 'Variable Set' is set to 'Acetonitril' with 1 variable. 'Model Name' is 'RESULT5_PLS1'. 'Number of Components' is 3. 'Number of Pretreatments' is 0. 'Issue Warnings' is checked. A small plot is visible in the bottom right corner.



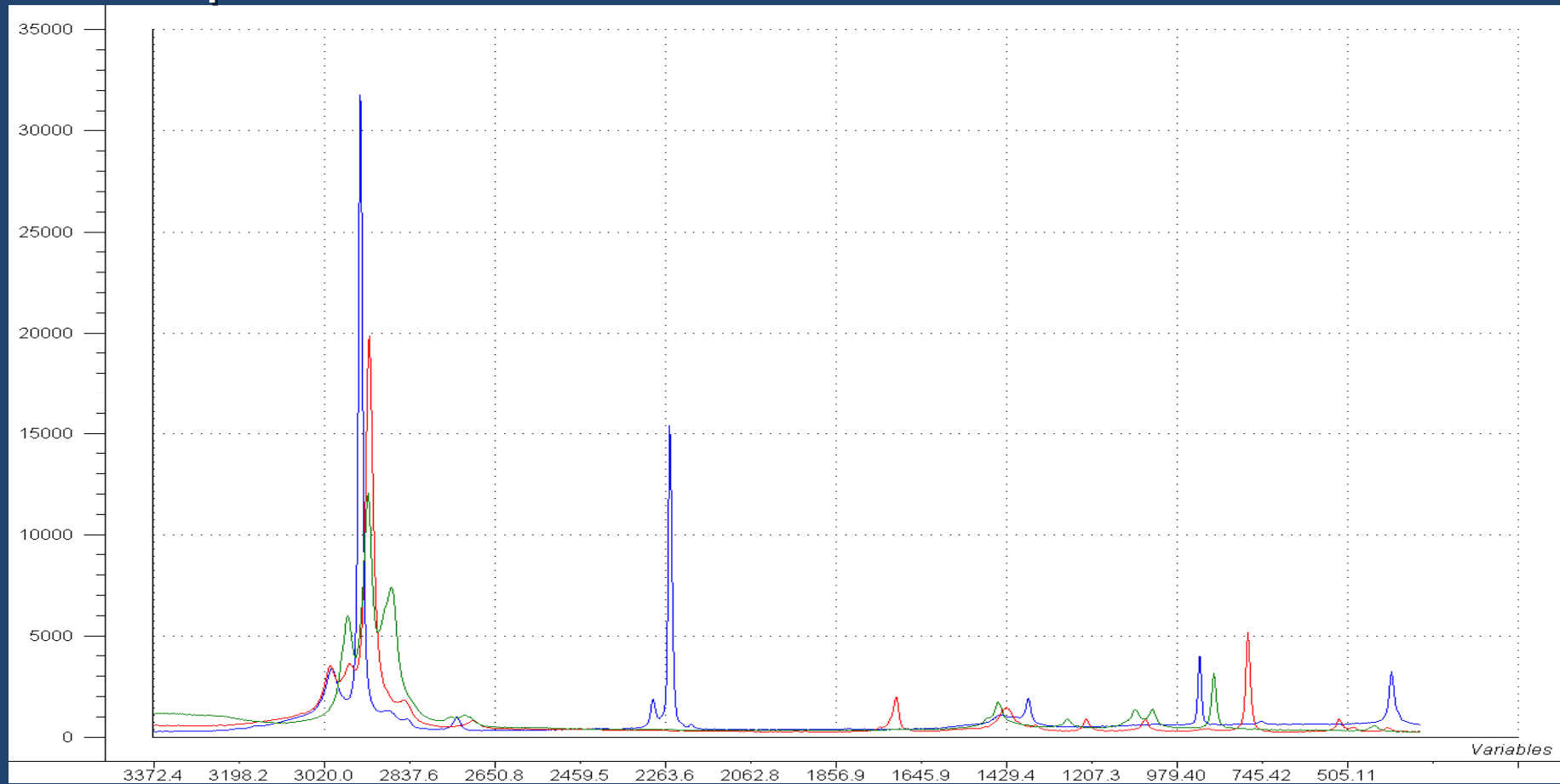
Example PCA



Raman spectra (3372 bis 298 cm^{-1})
How many components and which concentrations



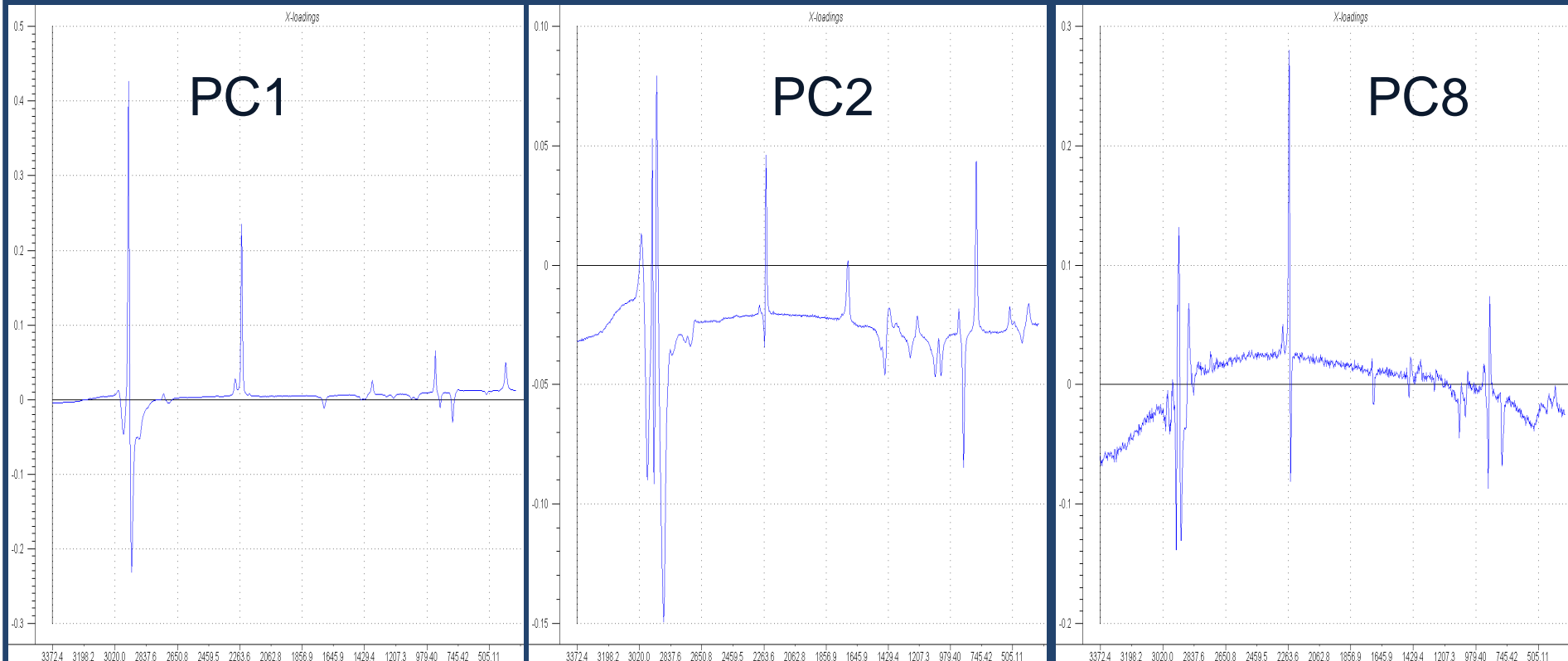
Example PCA



- A qualitative inspection, shows 3 components in the spectrum
 - Blue: Acetonitrile
 - Red: Acetone
 - Green: Ethanol

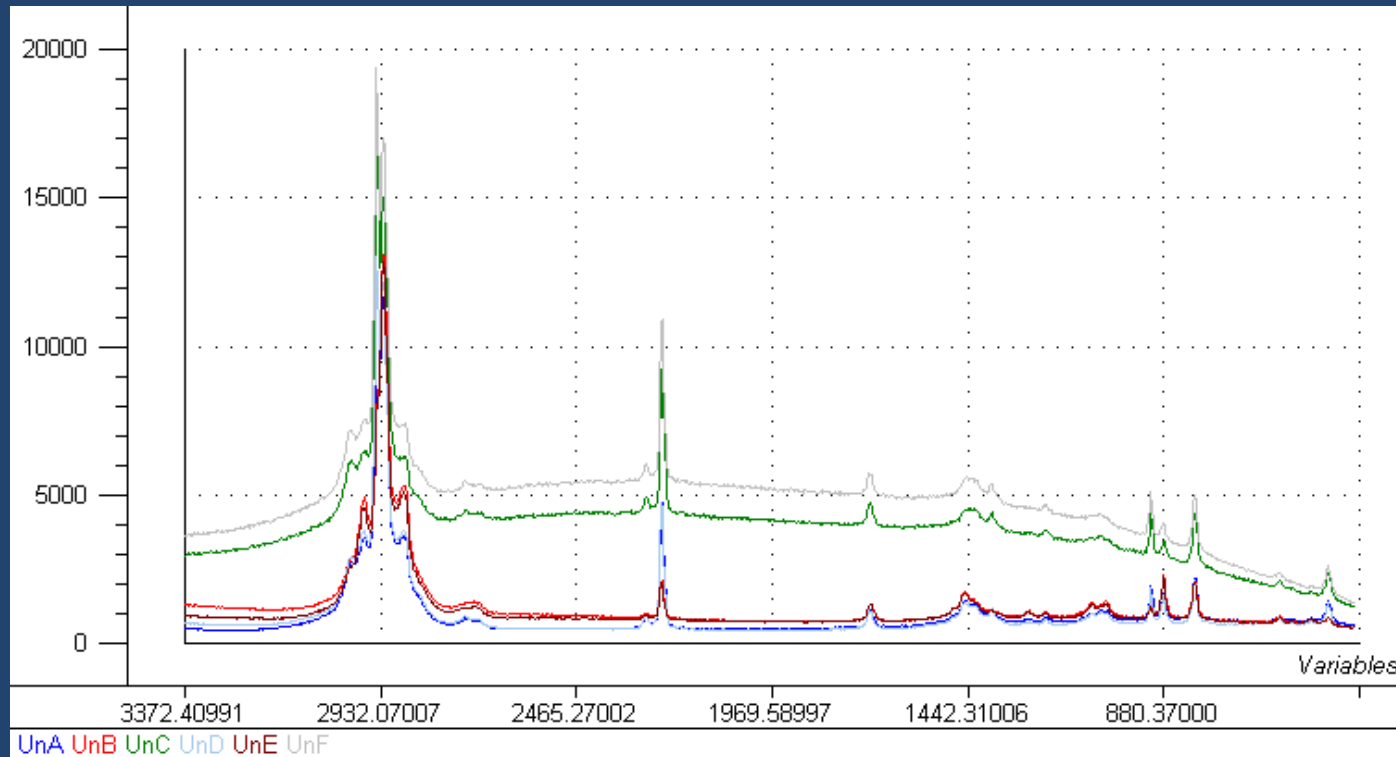


Initial tries - PCA

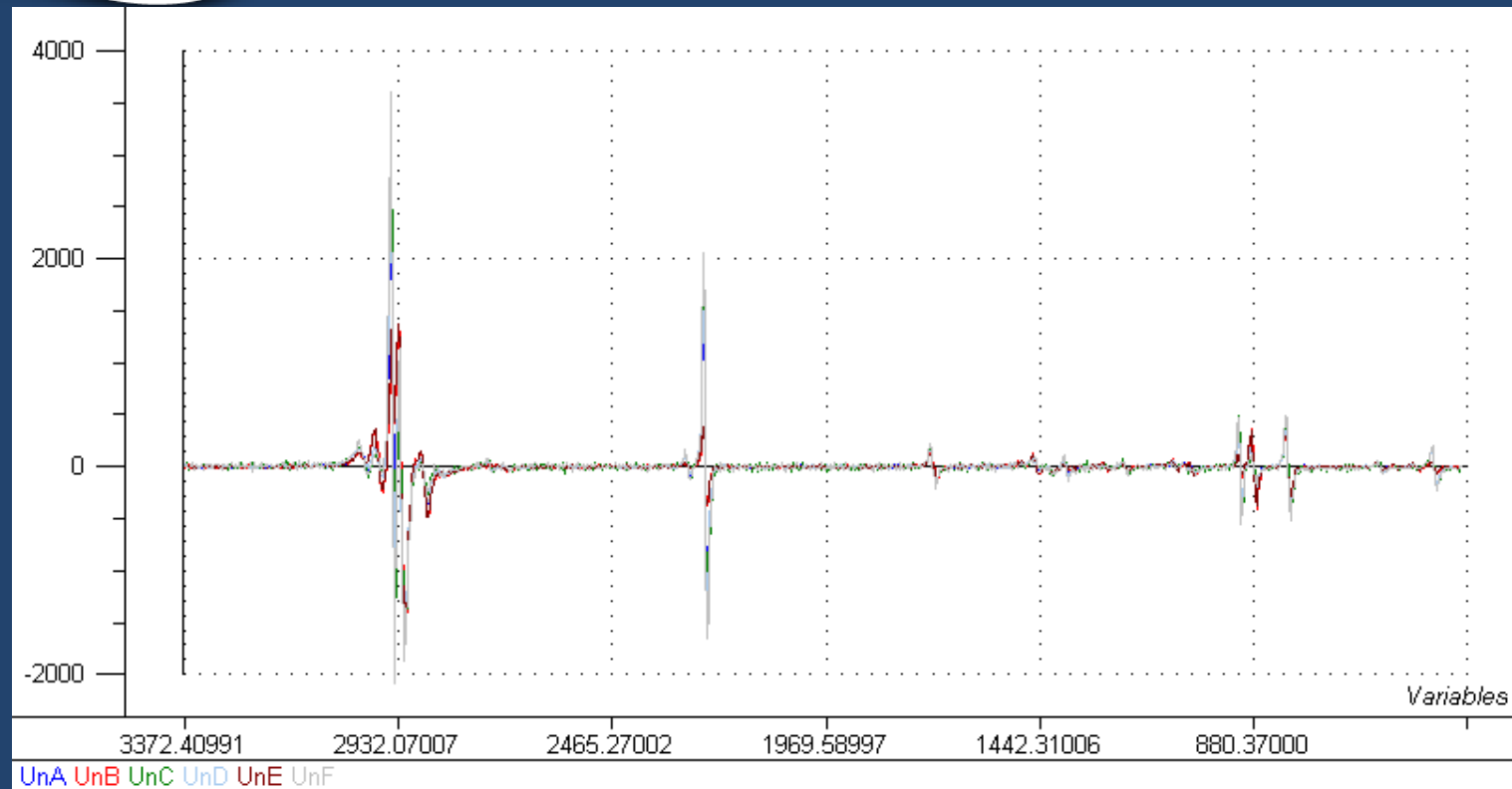


Die Factor loadings shows which spectral regions are important for a given PC:

- PC1: positiv: Acetonitrile
negativ: Acetone
- PC2: positiv: Acetone
negativ: Ethanol
- PC8: large noise component → for model not important



real concentration	PCR	PLS1	PLS2
Un A = 33.0 E	31.9 (4.3)	33.9 (3.6)	31.9 (4.3)
Un B = 11.0 E	12.1 (5.3)	12.2 (3.6)	12.1 (5.3)
Un C = 40.0 E	33.5 (34.9)	30.5 (36.1)	32.9 (34.8)
Un D = 33.0 E	32.1 (2.6)	32.2 (2.2)	32.1 (2.6)
Un E = 11.0 E	14.2 (5.1)	15.1 (3.9)	14.2 (5.1)
Un F = 40.0 E	34.2 (45.4)	28.5 (47.8)	33.3 (45.1)



real concentration	PCR	PLS1	PLS2
Un A = 33.0 E	30.1 (3.5)	29.9 (2.8)	29.2 (3.3)
Un B = 11.0 E	11.8 (3.7)	11.8 (2.6)	11.8 (2.8)
Un C = 40.0 E	36.5 (3.7)	36.5 (2.7)	35.4 (3.8)
Un D = 33.0 E	30.4 (3.8)	30.2 (2.4)	30.5 (2.7)
Un E = 11.0 E	11.5 (3.1)	11.5 (2.5)	11.8 (2.7)
Un F = 40.0 E	37.7 (4.7)	37.7 (3.1)	37.9 (3.2)