



PERFORMANCE AND TUNING GUIDE | PUBLIC
SAP Adaptive Server Enterprise 16.0 SP03
Document Version: 1.0 – 2020-03-04

Performance and Tuning Series: Monitoring with `sp_sysmon`

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1 Introduction to sp_sysmon

sp_sysmon provides a detailed report of the activity on your system, and provides you with a number of ways to specify the type of information you want to receive, the time interval during which data for the report will be collected, and other options that determine how the report is generated.

1.1 Using sp_sysmon

The sp_sysmon report consists of a number of separate sections. You can run sp_sysmon to generate the complete report or only one of the individual sections. You can also specify a time interval over which the report should run or execute the stored procedure yourself at the beginning and end of the desired time period.

The syntax for sp_sysmon is:

```
sp_sysmon {'begin_sample' | {'end_sample' | '<interval>'}[, section]]
[, <applmon>=
  {'appl_only' | 'appl_and_login' | 'no_appl' | 'noclear' | 'clear'}
[, <filter>={<cache_wizard_filter_value> | 'noclear' | 'clear'}
[, <dumpcounters>={'y' | 'n' | 'noclear' | 'clear' | NULL}
[, <option>={'noclear' | 'clear' | NULL}]]]]
```

sp_sysmon reports monitoring data only from the sample period. Make sure you make decisions about tuning based on representative data. For example, to tune spinlocks, base decisions on data from a peak utilization report. However, base a decision to decrease the number of engines on a number of samples representing typical and peak workloads.

The data for the report is collected from a set of monitor counters that are maintained by SAP® Adaptive Server® Enterprise. These counters are also used by other applications. By default, sp_sysmon does not clear these counters when it is invoked, allowing you to run multiple iterations of sp_sysmon, and preventing sp_sysmon from interfering with applications that use the monitoring tables or other applications that use the monitoring counters.

Use the clear parameter only when you are running sp_sysmon with the begin_sample or end_sample parameters. sp_sysmon clears the monitor counters automatically when you specify the begin_sample parameter. sp_sysmon exits with an error if you include the begin_sample and end_sample parameter. Clearing the counters can interfere with other applications using the counters, causing the data they report to be invalid.

⚠ Caution

To control whether sp_sysmon clears the counters, specify the noclear option. The noclear parameter is enabled by default when you specify a sample interval. When you specify the noclear parameter, sp_sysmon does not clear the counters, which allows sp_sysmon to run at the same time as other sp_sysmon sessions. By default, noclear is enabled when you run sp_sysmon using a sample interval, and disabled when you run sp_sysmon using the <begin_> and <end_sample> parameters. Consequently,

specifying the `clear` parameter, or running `sp_sysmon` with the `begin_sample` parameter may impact on other `sp_sysmon` sessions and other applications using the monitoring tables

`sp_sysmon` contributes approximately 5 to 7 percent overhead while it runs on a single CPU server, and more on multiprocessor servers (the percentages may be different for your site). The amount of overhead increases with the number of CPUs. `sp_sysmon noclear` uses the same internal counters. When not run with the option, `sp_sysmon` resets these counters to 0.

There are counters shared between `sp_sysmon` and the monitoring tables. Executing `sp_sysmon...clear` may cause data reported by other, concurrently run `sp_sysmon` sessions to be incorrect..

The performance tuning tips for `sp_sysmon` are based on the sampling interval you supply with `sp_sysmon`. Review the recommendations thoroughly, based on your system requirements, before you incorporate them in your production system. You should set up a test area with your data, and test any changes before you implement any of the recommendations.

Since `sp_sysmon` provides a snapshot view of the system, you may need to reconsider recommendations when the workload changes.

i Note

You cannot run `sp_sysmon` on an SAP ASE server with a `tempdb` that is the default size. Increase the size of `tempdb` by at least 2MB so that the SAP ASE server does not run out of log space on the temporary databases.

Related Information

[Using the noclear Option \[page 10\]](#)

1.1.1 When to Run sp_sysmon

You can run `sp_sysmon` before and after tuning SAP ASE configuration parameters to gather data for comparison. This data gives you a basis for performance tuning and lets you observe the results of configuration changes.

Use `sp_sysmon` when the system exhibits behavior you want to investigate. For example, to find out how the system behaves under typically loaded conditions, run `sp_sysmon` when conditions are normal and typically loaded. For example, consider whether it makes sense to run `sp_sysmon` for 10 minutes starting at 7:00 p.m., which is before batch jobs begin and after most of the day's OLTP users have left the site. Instead, run `sp_sysmon` during the normal OLTP load and during batch jobs.

In many tests, it is best to start the applications first, and start `sp_sysmon` when the caches are likely to have reached a steady state. If you are trying to measure capacity, be sure that the amount of work you give the server keeps it busy for the duration of the test.

Many of the statistics, especially those that measure data per second, can look extremely low if the server is idle during part of the sample interval.

In general, `sp_sysmon` produces valuable information when you use it:

- Before and after cache or pool configuration changes
- Before and after any `sp_configure` changes that may effect performance (for example, changes to memory sizes, caches, or disk I/O related options)
- Before and after the addition of new queries to your application mix
- Before and after an increase or decrease in the number of SAP ASE engines
- When adding new disk devices and assigning objects
- During peak periods, to look for contention or bottlenecks
- During stress tests, to evaluate an SAP ASE configuration for a maximum expected application load
- When performance seems slow or behaves abnormally

You may also find `sp_sysmon` to be helpful during query or application development. For example, when you are:

- Working with indexes and updates to see if certain updates reported as `deferred_varcol` are resulting in direct versus deferred updates
- Checking the caching behavior of particular queries or a mix of queries
- Tuning the parameters and cache configuration for parallel index creation

1.2 Invoking `sp_sysmon`

`sp_sysmon` requires you to set `enable_monitoring` to `true` (enabled), and that the user have the `mon_role` role.

For example:

```
sp_configure 'enable monitoring', 1
grant role mon_role to sa
```

Use `sp_sysmon` with:

- A fixed time interval to provide a sample for a specified number of minutes
- The `begin_sample` and `end_sample` parameters to start and stop sampling manually

Tailor the output to provide the information you need. You can:

- Print the entire report.
- Print only one section of the report, such as “Cache Management” or “Lock Management.”
- Include application-level detailed reporting for named applications (such as `isql`, `bcp`, or any named application) and for combinations of named applications and user names. The default is to omit this section.

1.2.1 Fixed-Time Intervals

To invoke `sp_sysmon`, use `isql` to execute this command.

```
sp_sysmon <interval> [<, section> [, <applmon>]]
```

`<interval>` must be in the form "hh:mm:ss". For example, to run `sp_sysmon` for 10 minutes, use:

```
sp_sysmon "00:10:00"
```

Related Information

[Specifying the Application Detail Parameter \[page 10\]](#)

1.2.2 Using `begin_sample` and `end_sample`

Use the `begin_sample` and `end_sample` parameters to invoke `sp_sysmon` to start sampling, issue queries, and end the sample and print the results at any point in time.

For example:

```
sp_sysmon begin_sample
execute proc1
execute proc2
select sum(total_sales) from titles
sp_sysmon end_sample
```

i Note

On systems with many CPUs and high activity, counters can overflow if the sample period is too long.

If you see negative numbers in `sp_sysmon` output, reduce the sample time.

1.2.3 Specifying Report Sections for Output

To print a single section of the report, use one of the values listed in in this table for the `<section>` parameter.

Report Section	Parameter
Application Management	<code>apmgmt</code>
Cache Wizard	<code>cache wizard</code>

Report Section	Parameter
Data Cache Management	dcache
Disk I/O Management	diskio
ESP Management	esp
Housekeeper Task Activity	housekeeper
Index Management	indexmgmt
Kernel Utilization	kernel
Lock Management	locks
Memory Management	memory
Metadata Cache Management	mdcache*
Monitor Access to Executing SQL	monaccess
Network I/O Management	netio
Parallel Query Management	parallel
Procedure Cache Management	pcache
Recovery Management	recovery
RepAgent	repagent
Task Management	taskmgmt
Transaction Management	xactmgmt
Transaction Profile	xactsum
Worker Process Management	wpm

You can also obtain most of the information available through `sp_sysmon mdcache` report using `sp_monitorconfig`. See the *Reference Manual: Procedures*.

1.2.4 Specifying the Application Detail Parameter

If you specify the `<applmon>` parameter to `sp_sysmon`, the report includes detailed information by application, or by application and login name.

This parameter is valid only when you print the entire report or when you specify `apppgmt` for the `section` parameter. If you specify the application detail parameter and request any other section of the report, the application detail parameter is ignored.

The third parameter must be one of the following:

Parameter	Information Reported
<code>appl_only</code>	CPU, I/O, priority changes, and resource limit violations by application name.
<code>appl_and_login</code>	CPU, I/O, priority changes, and resource limit violations by application name and login name. Can be used with all sections.
<code>no_appl</code>	Skips the application and login section of the report. This is the default.

This example runs `sp_sysmon` for 5 minutes and prints the "Application Management" section, including the application and login detail report:

```
sp_sysmon "00:05:00", appgmt, appl_and_login
```

Related Information

[Per Application or Per Application and Login \[page 55\]](#)

1.2.5 Using the noclear Option

By default, `sp_sysmon` does not clear the monitor counters that are used as source data for the report. If other applications, or instances of `sp_sysmon`, are running while `sp_sysmon` is executing, clearing the counters may cause the data that they report to be invalid.

i Note

The primary purpose of the `noclear` parameter is to provide backward compatibility; earlier versions of `sp_sysmon` cleared monitor counters by default.

`sp_sysmon` creates a temporary table in which it stores initial counter values. In most cases, the impact of this activity on the duration of the `sp_sysmon` session is negligible.

You can also run multiple concurrent sessions of `sp_sysmon` reports when you do not specify the `noclear` parameter. Consider whether other running system monitoring applications use the monitor counters. If they do, avoid using the `clear` parameter or running `sp_sysmon` with the `begin_sample` parameter.

When you execute `sp_sysmon 'end_sample'` from a session that is different from the one on which you executed `'begin_sample'`, `sp_sysmon` does not decrement the monitor counter usage count, and the SAP ASE server returns error message number 19374 to the client (because the monitor counters can only be disabled by a connection or session that has enabled them). This means that, if an application enables the monitor counters and does not disable them before logging out, the usage count continues to reflect the same number of users as it did before the application logged out.

1.2.6 Using the dumpcounters Parameter

The `dumpcounters` parameter returns the contents of the `master..sysmonitors` table as a result set (after returning the requested report sections). `sp_sysmon` parameters are positional, so you must include the appropriate number of parameters before the `<dumpcounters>` parameter, even if they are NULL.

The output from `sp_sysmon ... <dumpcounters>` is extensive; you may want to redirect it to a file for review.

This example includes the `<dumpcounters>` parameter (indicated by the 'y' at the end of the command):

```
sp_sysmon '00:0:10', kernel, "no_appl", "NULL", y
```

```
Kernel Utilization
-----
Engine Utilization (Tick %)   User Busy   System Busy   I/O Busy   Idle
-----
ThreadPool : syb_default_pool
Engine 0                       0.0 %      0.0 %        1.0 %      99.0 %
Average Runnable Tasks       1 min      5 min        15 min     % of total
-----
ThreadPool : syb_default_pool
Global Queue                   0.0         0.0          0.0        0.0 %
Engine 0                       0.0         0.0          0.0        100.0 %
-----
Server Summary Total           0.0         0.0          0.0        0.0
Average                         0.0         0.0          0.0        0.0
. . .
===== Start of Raw Monitor Counter Output =====
field_name      group_name     field_id     value
-----
brespasses      access         0            1
xacts           access         15           11
locklastpage    access         59           22230
lockfirstpage   access         61            3
. . .
logrec_scanned  xls           49           93
logrec_filtered xls           50           93
(3241 rows affected)
```

1.2.7 Redirecting Output to a File

A full `sp_sysmon` report contains hundreds of lines of output. Use `isql` input and output redirect flags to save the output to a file.

For example, if you create a file named `sysmon_in` that contains this command to run `sp_sysmon` for 10 seconds:

```
sp_sysmon '00:00:10'  
go
```

Use the `isql -o` parameter to pipe the output to a file named `sysmon_out`:

```
$SYBASE/$SYBASE_OCS/bin/isql -Usa -P -Sbig_server -isysmon_in -osysmon_out
```

See *Utility Guide* for more information on `isql`.

2 Monitoring Performance with `sp_sysmon`

This section describes `sp_sysmon` output, including suggestions for interpreting `sp_sysmon` output and deducing possible implications.

`sp_sysmon` output is most valuable when you understand your SAP ASE environment and its applications.

2.1 How to Use the Reports

`sp_sysmon` can give you information about SAP ASE system behavior before and after tuning. Study the entire report to understand the full impact of the changes you make.

Sometimes removing one performance bottleneck reveals another. Similarly, tuning efforts might improve performance in one area while actually causing performance degradation in another area.

In addition to pointing out areas for tuning work, `sp_sysmon` output is valuable in determining when further tuning will not pay off in additional performance gains. It is just as important to know when to stop tuning SAP ASE, or when the problem resides elsewhere, as it is to know what to tune.

A single `sp_sysmon` run presents resource utilization during the specific time interval. Make sure that the time intervals you use clearly represent the workload and situation for which you are tuning Adaptive Server.

Other information can contribute to interpreting `sp_sysmon` output:

- Information on the configuration parameters in use, from `sp_configure` or the configuration file
- Information on the cache configuration and cache bindings, from `sp_cacheconfig` and `sp_helpcache`
- Information on disk devices, segments, and the objects stored on them

2.1.1 Header Information

The `sp_sysmon` header includes general information about the current `sp_sysmon` run, including the version of SAP ASE, the date you ran `sp_sysmon`, start and end times, the length of the sample, and whether you named the server.

The header indicates what mode you ran `sp_sysmon` (whether you included `noclear` option), and when the counters were last cleared.

The header indicates whether you need to set any configuration parameters to gather monitor table information, and if you need to grant the `mon_role` role to the system administrator.

Related Information

[Using sp_sysmon \[page 5\]](#)

2.1.2 Reading Output

`sp_sysmon` displays performance statistics in a consistent tabular format.

For example, in an SMP environment running seven SAP ASE server engines, the output looks similar to:

Engine Utilization (Tick %)	CPU Busy	I/O Busy	Idle
Engine 0	68.7 %	2.5 %	28.8 %
Engine 1	61.9 %	3.3 %	34.8 %
Engine 2	67.0 %	2.4 %	30.6 %
Engine 3	69.0 %	3.8 %	27.2 %
Engine 4	60.2 %	2.7 %	37.2 %
Engine 5	55.7 %	3.2 %	41.1 %
Engine 6	53.8 %	3.2 %	43.0 %
Summary			
Total	436.3 %	21.1 %	242.6 %
Average	62.3 %	3.0 %	34.7 %

2.1.2.1 Rows

Most rows represent a specific type of activity or event, such as acquiring a lock or executing a stored procedure.

When the data is related to CPUs, the rows show performance information for each SAP ASE engine in the SMP environment. Often, when there are groups of related rows, the last row is a summary of totals and an average.

The `sp_sysmon` report indents some rows to show that one category is a subcategory of another. In the following example, ELC, With Spinlock Held, W/o Spinlock Held, and Found in Wash are subcategories of Cache Hits, which is a subcategory of Cache Searches:

Cache Searches	per sec	per xact	count	% of total
Cache Hits	1459395.3	1669.3	176586835	98.1 %
ELC	0.0	0.0	0	0.0 %
With Spinlock Held	30168.4	34.2	3620177	2.0 %
W/o Spinlock Held	1429476.4	1664.4	172966658	96.0 %
Found in Wash	0.0	0.0	0	0.0 %
Cache Misses	28752.0	32.9	3478987	1.9 %
-----	-----	-----	-----	-----
Total Cache Searches	1488147.3	1702.2	180065822	

Many rows are not printed when the "count" value is 0.

2.1.2.2 Columns in Output

Unless otherwise stated, the columns in the examples of this section represent these performance statistics.

- “per sec” – average per second during sampling interval.
- “per xact” – average per committed transaction during sampling interval.
- “count” – total number during the sample interval.
- “% of total” – varies, depending on context, as explained for each occurrence.

2.1.3 Interpreting the Data

When tuning SAP ASE server, the fundamental measures of success appear as increases in throughput and reductions in application response time. Unfortunately, tuning SAP ASE cannot be reduced to printing these two values.

In most cases, your tuning efforts must take an iterative approach, involving a comprehensive overview of SAP ASE activity, careful tuning and analysis of queries and applications, and monitoring locking and access on an object-by-object basis.

2.1.3.1 Per-Second and Per-Transaction Data

Weigh the importance of per-second and per-transaction data on the environment and the category you are measuring. The per-transaction data is generally more meaningful in benchmarks or in test environments where the workload is well-defined.

It is likely that you will find per-transaction data more meaningful for comparing test data than per-second data alone because, in a benchmark test environment, there is usually a well-defined number of transactions, making comparison straightforward. Per-transaction data is also useful for determining the validity of percentage results.

You may find it more useful to look at the per-second averages when you analyze workloads involving a large number of queries not executed inside a transaction (for example, `select` statements). The per-second analysis allows you to compare the tuning that affects these queries.

2.1.3.2 Percent of Total and Count Data

The meaning of the “% of total” data varies, depending on the context of the event and the totals for the category.

i Note

When interpreting percentages, keep in mind that they are often useful for understanding general trends, but can be misleading when taken in isolation. For example, 50 percent of 200 events is much more meaningful than 50 percent of two events.

The “count” data is the total number of events that occurred during the sample interval. You can use count data to determine the validity of percentage results.

2.1.3.3 Per Engine Data

In most cases, per-engine data for a category shows a fairly even balance of activity across all engines.

Two exceptions are:

- If you have fewer processes than CPUs, some of the engines will show no activity.
- If most processes are performing fairly uniform activity, such as simple inserts and short selects, and one process performs some I/O-intensive operation such as a large bulk copy, you will see unbalanced network and disk I/O.

2.1.3.4 Total or Summary Data

Summary rows provide an overview of SAP ASE engine activity by reporting totals and averages.

i Note

Be careful when interpreting averages because they can give false impressions of true results when the data is skewed. For example, if one SAP ASE engine is working 98 percent of the time and another is working 2 percent of the time, a 49 percent average is misleading.

2.2 Cache Wizard

`Cache Wizard` can help you monitor and configure caches for optimal performance.

`sp_sysmon cache wizard` requires that you enable the `object lockwait timing` configuration parameter.

`Cache Wizard` allows you to identify:

- Hot objects (objects that are often accessed). The output is ranked by the number of logical reads in a named cache or default data cache.
- Spinlock contention on the cache.
- The usage of the cache and buffer pools.
- The percentage of hits at a cache, buffer pool, and object level.
- The effectiveness of large I/O.
- The effectiveness of asynchronous prefetch (APF).
- The cache occupancy by the various objects.

The `Cache Wizard` section appears in `sp_sysmon` output only when you specify the `cache wizard` in `sp_sysmon` syntax. You can include two parameters with `Cache Wizard`, `topN` and `filter`. `sp_sysmon` does

not include other sections (Kernel Utilization, Worker Process Management, and so on) when you include `cache wizard` in the syntax.

`sp_syntax` includes a recommendation section at the end of its printout.

2.2.1 Cache Wizard Syntax

To obtain output from the cache wizard, use this syntax.

```
sp_sysmon begin_sample
sp_sysmon { <end_sample> | <interval> }
[, 'cache wizard' [, top_N [, filter] ] ]
```

Where:

- `top_N` – `varchar` datatype that limits the list of objects reported in the Object Section based on the ranking criteria for the number of logical reads in the specified interval (as displayed in the `LR/sec` column).
The order of ranking is ascending or descending, based on whether the specified value is a positive or negative integer. Obtain the entire list of objects occupying the cache at the end of the interval by specifying a value of 0 (zero). The default value is 10.
- `filter` – `varchar` datatype that allows you to specify a pattern for the caches included in the report. For example, if you specify `filter` as `default data cache`, the report will contain only information about the default data cache. If you specify a `filter` value of `emp%`, the output includes information on all caches with a name matching this pattern.
To display output for all caches, leave `filter` blank. The `default data cache` appears first, and is followed by the other caches in alphabetical order.

Example

This example runs the `Cache Wizard` against the default data cache for a 5-minute interval, showing the top 15 objects in the cache:

```
sp_sysmon '00:05:00','cache wizard','15','default data cache'
```

Related Information

[Understand the Cache Wizard Output \[page 18\]](#)

2.2.2 Prepare to Run the Cache Wizard

`sp_sysmon` retrieves the information for the Cache Wizard from monitoring tables and monitor counters.

See *Performance and Tuning Series: Monitoring Tables* for more information.

The Cache Wizard report requires the following configuration parameters to be enabled. If these configuration parameters are not enabled, `sp_sysmon` automatically enables them at the beginning of the interval and disables them at the end (all these options are dynamic):

- `enable monitoring` – set to 1
- `per object statistics active` – set to 1
- `object lockwait timing` – set to 1

See *Reference Manual: Configuration Parameters*.

2.2.3 Understand the Cache Wizard Output

Cache Wizard output contains three main sections for each cache, followed by a recommendation section and a legend section at the end of the report.

- Cache section – provides summary statistics for a specific cache:

```
default data cache
-----
Run Size           : 100.00Mb  Usage%             : 2.86
LR/sec            : 41.10    PR/sec:           : 22.57  Hit%:45.9
Cache Partitions  : 4        Spinlock Contention% : 0.00
```

- Usage% – each time a set of pages is brought into the cache, SAP ASE tracks whether that page is referenced (used). When the page is removed from the cache, this count is reduced. Usage% is the current usage of the cache as a percentage of the cache size.
 - LR/sec (logical reads per second) – a logical read is any read from the cache (a hit) or a disk read (a miss). LR/sec is the number of logical reads in the cache during the interval divided by the length of the sample interval.
 - PR/sec (physical reads per second) – a physical read is a read from disk (miss). PR/sec is the number of physical reads in the cache during the interval divided by the sample interval.
 - Hit% – ratio of hits to total cache reads, such as the ratio of (LR/sec minus PR/sec) to LR/sec.
- Buffer pool section – breaks down the cache section statistics into the various buffer pools in the cache:

```
Buffer Pool Information
-----
IO Size Wash Size Run Size APF% LR/sec PR/sec Hit% APF-Eff% Usage%
-----
4 Kb 3276 Kb 16.00 Mb 10.00 0.47 0.13 71.43 n/a 0.20
2 Kb 17200 Kb 84.00 Mb 10.00 40.63 22.43 44.79 n/a 3.37
```

- APF-Eff% – number of pages asynchronous prefetch uses, and the total number of pages asynchronous prefetch brings in.
- Usage% – tracks whether a page brought into the buffer pool is referenced or not, providing the ratio of the pages referenced in the buffer pool to the run size of the buffer pool.

- Object section – reports statistics on the objects occupying the cache at the end of the interval. Use the `topN` parameter to limit the size of this section. Objects display in the ascending order of PR/sec:

```
Object Statistics
-----
```

Object	LR/sec	PR/sec	Hit%	Obj_Cached%	Cache_Occp%
empdb.dbo.t1	0.57	0.30	47.06	56.25	0.02
empdb.dbo.t2	0.30	0.30	0.00	56.25	0.02
empdb.dbo.t3	0.30	0.30	0.00	56.25	0.02

```
-----
Object                               Obj Size  Size in Cache
-----
empdb.dbo.t1                          32 Kb     18 Kb
empdb.dbo.t2                          32 Kb     18 Kb
empdb.dbo.t3                          32 Kb     18 Kb
```

- Recommendations section – gives a set of recommendations, where applicable, based on the data collected in the sample interval:

```
The various recommendations are as follows:
Usage% for 'default data cache' is low (< 5%)
Usage% for '4k buffer pool in cache:default data cache' is low (< 5%)
Consider using Named Caches or creating more cache partitions for
'default data cache' or both
Consider increasing the 'wash size' of the 2k pool for 'default data cache'
Consider adding a large I/O pool for 'default data cache'
```

- Legend section – explains the various terms used in the output. Some of the terms from the output are explained here in greater detail.

2.2.4 Sample Output of Cache Wizard

This sample shows output for the Cache Wizard.

```
sp_sysmon '00:00:30', 'cache wizard'
=====
Cache Wizard
=====
default data cache
-----
Run Size      : 100.00 Mb  Usage%        :      2.86
LR/sec       : 41.10    PR/sec        : 22.57  Hit%: 45.09
Cache Partitions: 4    Spinlock Contention%: 0.00
Buffer Pool Information
-----
IO Size Wash Size  Run Size  APF%  LR/sec  PR/sec  Hit%  APF-Eff% Usage%
-----
4 Kb    3276 Kb    16.00 Mb  10.00  0.47   0.13   71.43  n/a    0.20
2 Kb    17200 Kb    84.00 Mb  10.00  40.63  22.43  44.79  n/a    3.37
(1 row affected)
Object Statistics
-----
```

Object	LR/sec	PR/sec	Hit%	Obj_Cached%	Cache_Occp%
tempdb.dbo.t1	0.57	0.30	47.06	56.25	0.02
tempdb.dbo.t2	0.30	0.30	0.00	56.25	0.02
tempdb.dbo.t3	0.30	0.30	0.00	56.25	0.02
tempdb.dbo.t4	0.30	0.30	0.00	56.25	0.02
tempdb.dbo.t5	0.30	0.30	0.00	56.25	0.02
tempdb.dbo.t6	0.30	0.30	0.00	56.25	0.02

```

tempdb.dbo.t8          0.30    0.30    0.00    56.25    0.02
tempdb.dbo.t7          0.57    0.20   64.71    62.50    0.02
tempdb.dbo.tempcachedobjstats  3.63    0.00  100.00    50.00    0.01
tempdb.dbo.tempobjstats  0.47    0.00  100.00    25.00    0.00
-----
Object                Obj Size    Size in Cache
-----
tempdb.dbo.t1          32 Kb      18 Kb
tempdb.dbo.t2          32 Kb      18 Kb
tempdb.dbo.t3          32 Kb      18 Kb
tempdb.dbo.t4          32 Kb      18 Kb
tempdb.dbo.t5          32 Kb      18 Kb
tempdb.dbo.t6          32 Kb      18 Kb
tempdb.dbo.t8          32 Kb      18 Kb
tempdb.dbo.t7          32 Kb      20 Kb
tempdb.dbo.tempcachedobjstats  16 Kb      8 Kb
tempdb.dbo.tempobjstats  16 Kb      4 Kb
-----
company_cache
-----
Run Size              :    1.00 Mb    Usage%              :    0.39
LR/sec               :    0.07      PR/sec              :    0.07    Hit%:    0.00
Cache Partitions:    1      Spinlock Contention%:    0.00
Buffer Pool Information
-----
IO Size  Wash Size  Run Size  APF%  LR/sec  PR/sec  Hit%  APF-Eff%  Usage%
-----
2 Kb     204 Kb     1.00 Mb  10.00  0.07   0.07   0.00   n/a       0.39
Object Statistics
-----
Object                LR/sec  PR/sec  Hit%  Obj_Cached%  Cache_Occp%
-----
empdb.dbo.history     0.07   0.07   0.00   25.00        0.39
Object                Obj Size    Size in Cache
-----
empdb.dbo.history     16 Kb      4 Kb
-----
companydb_cache
-----
Run Size              :    5.00 Mb    Usage%              :   100.00
LR/sec               :   380.97    PR/sec              :    56.67    Hit%:   85.13
Cache Partitions:    1      Spinlock Contention%:    0.00
Buffer Pool Information
-----
IO Size  Wash Size  Run Size  APF%  LR/sec  PR/sec  Hit%  APF-Eff%  Usage%
-----
2 Kb     1024 Kb     5.00 Mb  10.00 380.97  56.67  85.13  98.42    100.00
Object Statistics
-----
Object                LR/sec  PR/sec  Hit%  Obj_Cached%  Cache_Occp%
-----
company_db.dbo.emp_projects  41.07  22.80  44.48   19.64        9.45
company_db.dbo.dept_det     93.03  20.67  77.79   99.08       54.53
company_db.dbo.emp_perf    116.70  2.63  97.74   97.77       34.18
company_db.dbo.dept_locs     0.43   0.17  61.54   50.00        0.16
Object                Obj Size    Size in Cache
-----
company_db.dbo.emp_projects  2464 Kb    484 Kb
company_db.dbo.dept_det     2818 Kb    2792 Kb
company_db.dbo.emp_perf    1790 Kb    1750 Kb
company_db.dbo.dept_locs     16 Kb      8 Kb
TUNING RECOMMENDATIONS
-----
Usage% for 'default data cache' is low (< 5%)
Usage% for 4k buffer pool in cache:default data cache is low (< 5%)
Usage% for 2k buffer pool in cache:default data cache is low (< 5%)
Usage% for 'company_cache' is low (< 5%)
Usage% for 2k buffer pool in cache:company_cache is low (< 5%)
Consider adding a large I/O pool for 'companydb_cache'

```

LEGEND

LR/sec - number of logical reads per second, i.e. sum of cache & disk reads
PR/sec - number of physical reads per second i.e. disk reads
Run Size - size of cache or buffer pool in Kilobytes
Cache Partitions - number of cache partitions
Spinlock Contention% - Percentage spinlock contention for the cache
Hit% - ratio of hits to total searches
Usage% - ratio of pages referenced to Run Size
Wash Size - wash size of buffer pool in Kilobytes
APF% - asynchronous prefetch % for this buffer pool
APF-Eff% - Ratio of buffers found in cache and brought in because
 of APF to the number of APF disk reads performed
Object - combination of db, owner, object and index name
Obj Size - size of the object in Kilobytes
Size in Cache - size occupied in cache in Kilobytes at the end of sample
Obj_Cached% - Ratio of 'Size in Cache' to 'Obj Size'
Cache_Occp% - Ratio of 'Size in Cache' to 'Run Size' of cache

2.3 Kernel Utilization

Kernel Utilization reports SAP ASE activities on how busy SAP ASE server engines were during the time the CPU was available to SAP ASE, how often the CPU yielded to the operating system, the number of times that the engines checked for network and disk I/O, and the average number of I/Os the engines found waiting at each check.

The Kernel Utilization section reports different information depending on whether you are running SAP ASE in threaded mode or process mode.

2.3.1 Kernel Utilization in Threaded Mode

This sample shows `sp_sysmon` output for Kernel Utilization in an environment with one thread pool, `syb_default_pool`, and three SAP ASE engines.

Kernel Utilization

Engine Utilization (Tick %)	User Busy	System Busy	I/O Busy	Idle

ThreadPool : syb_default_pool				
Engine 0	8.7 %	0.8 %	31.1 %	59.5 %
Engine 1	8.0 %	0.1 %	33.2 %	58.7 %
Engine 2	8.8 %	0.3 %	32.7 %	58.2 %
Engine 3	15.0 %	0.3 %	32.6 %	52.0 %

Server Summary				
Total	40.4 %	1.6 %	129.6 %	228.4 %
Average	10.1 %	0.4 %	32.4 %	57.1 %
Average Runnable Tasks	1 min	5 min	15 min	% of total

ThreadPool : syb_default_pool				
Global Queue	0.0	0.0	0.0	0.0 %
Engine 0	0.3	0.2	0.1	61.7 %
Engine 1	0.0	0.0	0.0	0.7 %
Engine 2	0.1	0.1	0.0	17.3 %

Pool Summary	Total	0.5	0.3	0.1	
	Average	0.1	0.1	0.0	

Server Summary	Total	0.5	0.3	0.1	
	Average	0.1	0.1	0.0	
CPU Yields by Engine		per sec	per xact	count	% of total

ThreadPool : syb_default_pool					
Engine 0					
	Full Sleeps	20.4	13.8	2442	4.2 %
	Interrupted Sleeps	81.7	55.4	9800	16.7 %
Engine 1					
	Full Sleeps	22.8	15.5	2740	4.7 %
	Interrupted Sleeps	89.1	60.4	10697	18.3 %
Engine 2					
	Full Sleeps	19.9	13.5	2390	4.1 %
	Interrupted Sleeps	93.4	63.3	11202	19.1 %
Engine 3					
	Full Sleeps	17.8	12.1	2133	3.6 %
	Interrupted Sleeps	142.6	96.7	17113	29.2 %

Pool Summary		487.6	330.6	58517	

Total CPU Yields		487.6	330.6	58517	
Thread Utilization (OS %)		User Busy	System Busy	Idle	

ThreadPool : syb_blocking_pool : no activity during sample					
ThreadPool : syb_default_pool					
	Thread 6 (Engine 0)	0.0 %	0.0 %	100.0 %	
	Thread 7 (Engine 1)	0.2 %	0.0 %	99.8 %	
	Thread 8 (Engine 2)	0.0 %	0.0 %	100.0 %	

Pool Summary	Total	0.2 %	0.0 %	299.9 %	
	Average	0.1 %	0.0 %	99.9 %	

ThreadPool : syb_system_pool					
	Thread 10 (NetController)	0.1 %	0.0 %	99.9 %	

Pool Summary	Total	1.1 %	0.0 %	400.0 %	
	Average	0.0 %	0.0 %	100.0 %	

Server Summary	Total	0.2 %	0.0 %	1099.8 %	
	Average	0.0 %	0.0 %	100.0 %	

SAP ASE threads are consuming 0.0 CPU units.					
Throughput (committed xacts per CPU unit) : 275.0					
Page Faults at OS		per sec	per xact	count	% of total

	Minor Faults	0.6	0.4	69	100.0 %
	Major Faults	0.0	0.0	0	0.0 %

Total Page Faults		0.6	0.4	69	100.0 %
Context Switches at OS		per sec	per xact	count	% of total

ThreadPool : syb_blocking_pool					
	Voluntary	0.0	0.0	0	0.0 %
	Non-Voluntary	0.0	0.0	0	0.0 %
ThreadPool : syb_default_pool					
	Voluntary	43.6	130.7	1307	56.3 %
	Non-Voluntary	0.2	0.6	6	0.3 %
ThreadPool : syb_system_pool					
	Voluntary	33.7	101.0	1010	43.5 %
	Non-Voluntary	0.0	0.0	0	0.0 %

Total Context Switches		77.4	232.3	2323	100.0 %
CtlibController Activity		per sec	per xact	count	% of total

	Polls	1.0	0.7	120	n/a
	Polls Returning Events	0.0	0.0	0	0.0 %
DiskController Activity		per sec	per xact	count	% of total

-----	-----	-----	-----	-----
Polls	35019.1	23741.8	4202292	n/a
Polls Returning Events	351.2	238.1	42145	1.0 %
Polls Returning Max Events	0.0	0.0	0	0.0 %
Total Events	374.9	254.1	44984	n/a
Events Per Poll	n/a	n/a	0.011	n/a
NetController Activity	per sec	per xact	count	% of total
-----	-----	-----	-----	-----
Polls	7919.5	5369.1	950338	n/a
Polls Returning Events	2537.8	1720.5	304536	32.0 %
Polls Returning Max Events	0.0	0.0	0	0.0 %
Total Events	2537.8	1720.5	304536	n/a
Events Per Poll	n/a	n/a	0.320	n/a
Blocking Call Activity	per sec	per xact	count	% of total
-----	-----	-----	-----	-----
Total Requests	0.0	0.0	0	n/a
Bucketpool Activity				
-----	-----	-----	-----	-----

Bucketpool Name	BucketSize	Allocs/s	AllocsMissed/s
-----	-----	-----	-----
Encrypted Columns Frag	32	137.6	0.0
Encrypted Columns Frag	64	85.3	0.0
Encrypted Columns Frag	96	0.0	0.0
Encrypted Columns Frag	128	12.0	0.0
Encrypted Columns Frag	160	8.0	0.0
Encrypted Columns Frag	192	4.0	0.0
Encrypted Columns Frag	224	8.2	0.0
Encrypted Columns Frag	256	0.0	0.0
Encrypted Columns Frag	288	0.0	0.0
Encrypted Columns Frag	320	0.0	0.0
Encrypted Columns Frag	352	0.0	0.0
LFB memory pool	32	0.0	0.0
LFB memory pool	64	0.0	0.0
LFB memory pool	96	0.0	0.0
LFB memory pool	128	0.0	0.0
LFB memory pool	160	0.0	0.0
LFB memory pool	192	0.0	0.0
LFB memory pool	224	0.0	0.0
LFB memory pool	256	0.0	0.0
LFB memory pool	288	0.0	0.0
LFB memory pool	320	0.0	0.0
LFB memory pool	352	0.0	0.0
LFB memory pool	384	0.0	0.0
LFB memory pool	416	0.0	0.0
LFB memory pool	448	0.0	0.0
LFB memory pool	480	0.0	0.0
LFB memory pool	512	0.0	0.0
LFB memory pool	544	0.0	0.0
LFB memory pool	576	0.0	0.0
LFB memory pool	608	0.0	0.0
LFB memory pool	640	0.0	0.0
Network Buffers	32	2416.9	0.0
Network Buffers	64	789.0	0.0
Network Buffers	96	250.9	0.0
Network Buffers	128	1147.5	0.0
Network Buffers	160	856.5	0.0
Network Buffers	192	71.7	0.0
Network Buffers	224	59.7	0.0
Network Buffers	256	71.7	0.0
Network Buffers	288	10536.5	0.0
Network Buffers	320	0.0	0.0
Network Buffers	352	35.8	0.0
-----	-----	-----	-----

2.3.1.1 Engine Utilization (Tick %)

Engine Utilization (Tick %) reports the percentage of time the SAP ASE kernel is busy executing tasks on each SAP ASE server engine (rather than time spent idle) using an internal measurement (the "ticks").

The output is grouped according to thread pools. `Server Summary` shows the total time for all pools.

Engine Utilization (Tick %) can help you decide whether there are too many or too few SAP ASE server engines.

The values reported on the Engine Utilization (Tick %) report may differ from the CPU usage values reported by operating system tools. When SAP ASE has no tasks to process, it enters a loop, looking for work before going to sleep. The `alter thread pool ... idle timeout` parameter controls the amount of time, in microseconds, Adaptive Server engines spend looping as they look for a runnable task before yielding the CPU.

To reduce the time that SAP ASE spends checking for runnable tasks, lower the value for the `idle timeout` thread pool parameter. However, decreasing the value for `idle timeout` can increase latency, decreasing performance.

When `sp_sysmon` samples the counters (by default, every 100 milliseconds), each engine indicates what it is currently doing. `sp_sysmon` reports how busy engines are, distinguishing between user and system tasks:

- "User Busy" – user tasks, for example, user connections.
- "System Busy" – internal tasks, for example, the housekeeper.

For example, if executing a task, the engine reports "CPU Busy"; or, if idling, the engine reports "Idle". Engines are counted as "I/O Busy" if SAP ASE has any I/O outstanding and the engine is idle. If there is one I/O outstanding and three engines sitting idle, each engine is counted as "I/O Busy".

By checking `sp_sysmon` output for problems and tuning to alleviate contention, response time can remain high even when the engines report they are busy 80 to 90 percent of the time. If values are consistently very high (more than 90 percent), it is likely that response time and throughput could benefit from an additional engine.

The Engine Utilization (Tick %) values are averaged over the sample interval, so very high averages indicate that engines may be 100 percent busy during part of the interval. If the server experiences high CPU utilization, check for spinlock contention. In-memory table scans also increase CPU usage, which you can decrease by tuning queries appropriately.

When engine utilization is extremely high, the `housekeeper` wash task writes few or no pages to disk (since it runs only during idle CPU cycles.) This means that a checkpoint finds many pages that need to be written to disk, and the checkpoint process, a large batch job, or a database dump is likely to send CPU usage to 100 percent for a period of time, causing a perceptible decrease in response time.

If Engine Utilization (Tick %) percentages are consistently high, and you want to improve response time and throughput by adding SAP ASE server engines, check for increased resource contention in other areas after adding each engine.

In an environment where SAP ASE is serving a large number of users, performance is usually fairly evenly distributed across engines. However, when there are more engines than tasks, you may see some engines with a large percentage of utilization, and other engines may be idle. For example:

Engine Utilization (Tick %)	User Busy	System Busy	I/O Busy	Idle
ThreadPool : Marketing_pool				
Engine 3	78.0 %	4.5 %	3.4 %	18.0 %

ThreadPool : syb_default_pool				
Engine 0	8.7 %	.8 %	1.3 %	94.8 %
Engine 1	87.0 %	5.2 %	3.5 %	19.4 %
Engine 2	65.7 %	3.7 %	3.7 %	12.8 %

In an SMP environment, tasks have soft affinity to engines. Without other activity (such as lock contention) that might place a task in the global run queue, the task continues to run on the same engine.

2.3.1.2 Average Runnable Tasks

`Average Runnable Tasks` uses the runnable task averages from the `monSysLoad` monitoring table to provide 1-, 5-, and 15-minute averages of runnable tasks.

All running (and runnable) tasks on engines are included in this average. The minute intervals are fixed and do not vary with your configured `sp_sysmon` sample time.

The number of runnable tasks provides an excellent way to measure how busy the SAP ASE server is. For example, a server with an average `Engine Utilization` of 90 percent and a high number of runnable tasks is more likely to benefit from additional engines than a server with an average of 90 percent and a low number of runnable tasks.

Comparing the 1, 5, and 15 minute samples can help you determine if the load on the server is increasing, remaining stable, or decreasing.

2.3.1.3 CPU Yields by Engine

`CPU Yields by Engine` reports the number of times each SAP ASE server engine yielded to the operating system.

When an engine yields, it goes to sleep for a brief period of time. If there are no runnable tasks found when it wakes up, it goes to sleep again. The output is arranged according to thread pools and the engines associated with them. For each engine, `sp_sysmon` reports:

- Full Sleeps – the engine slept for its full sleep interval (that is, it did not receive a runnable task while it was sleeping). A full sleep most likely leads to another sleep. However, full sleeps do not contribute to latency.
- Interrupted Sleeps – the engine was prematurely woken from its sleep by a runnable task. An interrupted sleep most likely leads to a scheduled task. Interrupted sleeps may contribute to latency.

“% of total” data is the percentage of times an engine yielded as a percentage of the combined yields for all engines.

`Total CPU Yields` reports the combined data over all engines.

When an engine is not busy, it yields to the CPU after a period of time related to the `idle timeout` parameter.

- Engine Utilization Low/CPU Yields Low – a higher value for the `I/O Busy%` column than for the `CPU Busy %` column indicates a large amount of pending I/O. To solve bottlenecks in I/O processing, look for changes you can make at the operating system level.
- Engine Utilization Low/CPU Yields High – engine is inactive.

- Engine Utilization High/CPU Yields Low – Adaptive Server is very busy and has jobs to run. Adding engines is likely to help performance. This value should also correlate with higher values for “Average Runnable Tasks.”
- Engine Utilization High/CPU Yields High – this should be the case when a normal number of user connections are running simple queries. Adding engines is unlikely to help, unless “Engine Utilization” is very high, or “Average Runnable” tasks is high.
- Most engine yields should be “full sleeps.” Overall latency may be increasing if “interrupted sleeps” account for more than 20 percent of total engine yields. To reduce latency, consider increasing the value for `idle timeout`. However, this adds to the CPU usage.

See `alter thread pool` in the *Reference Manual: Commands*.

2.3.1.4 Thread Utilization (OS %)

`Thread Utilization (OS%)` displays how much time each SAP ASE thread is consuming at the operating system level. This is the portion of time the thread was actually on a CPU.

`Pool Summary` indicates the percentage of thread use across thread pools. `Server Summary` describes the percentage of thread use across SAP ASE.

`User time` reports the percentage of time during the `sp_sysmon` sample interval the thread was executing code in the user space (that is, running in SAP ASE). “System time” reports the percentage of time during the `sp_sysmon` sample interval the thread was executing code in system space (that is, running in the operating system kernel). This distinction is unrelated to “User Busy” and “System Busy” reported in the `Engine Utilization (Tick %)` section.

Note

`sp_sysmon` may show some threads with more than 100 percent utilization because of the way SAP ASE collects data.

When examining the `Thread Utilization (OS%)` output, consider:

- The value for `Thread Utilization (OS%)` should be higher than the value for `Engine Utilization (Tick %)`. The difference between them is greatest when `idle timeout` is set to a high value and the workload is intermittent. For example, an engine that is 100 percent idle but has an `idle timeout` of -1 shows 0 percent engine utilization but 100 percent thread utilization.
- A value for `Engine Utilization (Tick %)` that is greater than the value for `Thread Utilization (OS %)` may indicate that the host is low on CPU resources. This generally indicates that the engine did not receive as much CPU time as needed, which can lead to significant performance degradations. The loss of performance may be severe if spinlock contention is also involved.
- High `Thread Utilization (OS%)` values for disk or network tasks may indicate that the system requires an additional disk or network task. For example, if the network task thread is 80 percent busy but the engine utilization is low, then a saturated network task is likely starving the engine for work. Adding a network task with `sp_configure "number of network tasks"` may alleviate the situation.

At the end of `Thread Utilization (OS%)`, `sp_sysmon` reports how many CPU units Adaptive Server threads are consuming, and the throughput of committed transactions per CPU. For example:

```
Adaptive Server threads are consuming 5.6 CPU units.
```

```
Throughput is 8238.0 committed xacts per CPU unit.
```

You can compare the number of CPU units consumed to the physical hardware available to SAP ASE. For example, if your system has 8 cores and 2 threads per core, it has a total of 16 available CPU units. If SAP ASE is utilizing 6 CPU units, the host can perform additional work. However, if SAP ASE is utilizing 14 CPU units, there is little capacity left and the subcore threads are heavily utilized.

2.3.1.5 Page Faults at OS

`Page Faults at OS` reports the number of major and minor page faults, and a summary of all page faults; `sp_sysmon` prints this section only if there are reported page faults.

i Note

`Page Faults at OS` does not appear for Windows.

- Minor Faults – occur when SAP ASE needed a memory page that was not marked as available in the memory management unit, but was available in physical memory.
- Major Faults – occur when SAP ASE needed a memory page that was unavailable in physical memory and had to retrieve the page from disk.

Both minor and major page faults indicate a potential shortage of physical memory. Major page faults carry a far greater performance penalty than minor page faults. Major page faults indicate that you have set the SAP ASE memory configuration too high for the host, or that other processes (such as a file system cache) are using the physical pages SAP ASE expects.

2.3.1.6 Context Switches at OS

`Context Switches at OS` reports the number of voluntary and involuntary context switches SAP ASE threads perform at the operating system level; `sp_sysmon` prints this section only if there are reported context switches, and only if the operating system supports collecting the data.

i Note

`Context Switches at OS` does not appear for Windows, Red Hat 5, or SLES 11.

SAP ASE may perform `Voluntary` context switches for a number of reasons, for example an I/O poll or an engine sleep. The number of context switches depends on the workload. `Non-Voluntary` context switches occur when the operating system removes an SAP ASE thread from the CPU without the thread requesting the removal (for example, when the operating system preempts the thread).

`Non-Voluntary` context switches may indicate that the host is low on CPU resources, and that SAP ASE performance is likely to be severely impacted. You should expect a low number of nonvoluntary context switches, which does not represent a problem.

This is sample output from a system that is not overconfigured. The number of Non-Voluntary context switches is very low:

Context Switches at OS	per sec	per xact	count	% of total
Voluntary	278.7	278.7	2787	99.7 %
Non-Voluntary	0.9	0.9	9	0.3 %
Total Context Switches	279.6	279.6	2796	100.0 %

This is sample output from an overloaded machine with a very high level of Non-Voluntary context switching:

Context Switches at OS	per sec	per xact	count	% of total
Voluntary	2683.7	16370.4	163704	18.4 %
Non-Voluntary	11893.0	72547.4	725474	81.6 %
Total Context Switches	14576.7	88917.8	889178	100.0 %

To improve this situation, decrease the number of engines, remove non-SAP ASE workload from the host, add additional CPUs, or migrate to a host that has additional processing power.

2.3.1.7 CtlLibController, DiskController, and NetController Activity

CtlLibController Activity, DiskController Activity, and NetController Activity describe how often SAP ASE checks these controller events.

Activity	Description
CtlLibController Activity	Describes how often SAP ASE checks for Client Library (CTLIB) controller events (indicated in the Polls row), and how many times the Client Library returns an event (in the Polls Returning Events row).
DiskController Activity	Describes how often SAP ASE checks for disk controller events (indicated in the Polls row), and how many times the disk returns an event (in the Polls Returning Events row).
NetController Activity	Describes how often SAP ASE checks for network controller events (indicated in the Polls row), and how many times the network returns an event (in the Polls Returning Events row).

These sections include these rows:

- Polls – number of times this controller polled the operating system for a completion.
- Polls Returning Events – number of times this controller polled the operating system and received at least one event.
- Polls Returning Max Events – number of times the operating system poll returned the maximum number of events.
- Total Events – total number of I/O events returned.

- Events Per Poll – average events returned in each poll.

If you configure multiple disk or network tasks, the data that appears is the aggregate of all tasks for that controller.

Consider adding disk or network tasks if:

- “Polls Returning Max Events” is greater than zero.
- The value for “Events per Poll” is greater than three.

However, review the values for `Thread Utilization` for the controllers and the overall system load before you add additional disk or network tasks.

2.3.1.8 Blocking Call Activity

`Blocking Call Activity` reports on blocking call tasks (that is, requests to `syb_blocking_pool`). Consider resizing the `syb_blocking_pool` if there are a high percentage of queued requests or a significant amount of wait time.

2.3.2 Kernel Utilization in Process Mode

`Kernel Utilization` in process mode reports SAP ASE activities on how busy SAP ASE engines were during the time the CPU was available to SAP ASE, how often the CPU yielded to the operating system, the number of times that the engines checked for network and disk I/O, and the average number of I/Os the engines found waiting at each check.

This sample shows `sp_sysmon` output for `Kernel Utilization` in an environment with eight SAP ASE engines.

```

Kernel Utilization
-----
Your Runnable Process Search Count is set to 2000
and I/O Polling Process Count is set to 10
Engine Busy Utilization      CPU Busy      I/O Busy      Idle
-----
Engine 0                      3.3 %        13.7 %        83.0 %
Engine 1                      2.4 %         9.2 %        88.4 %
Engine 2                      4.9 %        19.9 %        75.2 %
Engine 3                       .8 %        19.1 %        76.1 %
Engine 4                      2.8 %         7.0 %        90.2 %
Engine 5                      1.9 %         7.3 %        90.8 %
Engine 6                      2.5 %         7.6 %        89.9 %
Engine 7                      2.0 %         8.1 %        89.9 %
-----
Summary                        Total          24.6 %        91.9 %        683.5 %
Average                        3.1 %         11.5 %        85.4 %
CPU Yields by Engine          per sec      per xact      count      % of total
-----
Engine 0                      31.5         0.0          2802        11.6 %
Engine 1                      38.1         0.0          3388        14.0 %
Engine 2                      21.8         0.0          1936         8.0 %
Engine 3                      30.2         0.0          2689        11.1 %
Engine 4                      37.9         0.0          3372        13.9 %

```

Engine 5	38.4	0.0	3421	14.1 %
Engine 6	36.1	0.0	3217	13.3 %
Engine 7	38.4	0.0	3420	14.1 %

Total CPU Yields	272.4	0.2	24245	
Network Checks				
Non-Blocking	434722.4	366.8	38690292	99.9 %
Blocking	272.4	0.2	24247	0.1 %

Total Network I/O Checks	434994.8	367.1	38714539	
Avg Net I/Os per Check	n/a	n/a	0.00003	n/a
Disk I/O Checks				
Total Disk I/O Checks	435855.6	367.8	38791149	n/a
Checks Returning I/O	125358.1	105.8	11156875	28.8 %
Avg Disk I/Os Returned	n/a	n/a	0.00313	n/a
Bucketpool Activity				

Bucketpool Name	BucketSize	Allocs/s	AllocsMissed/s

Encrypted Columns Frag	32	137.6	0.0
Encrypted Columns Frag	64	85.3	0.0
Encrypted Columns Frag	96	0.0	0.0
Encrypted Columns Frag	128	12.0	0.0
Encrypted Columns Frag	160	8.0	0.0
Encrypted Columns Frag	192	4.0	0.0
Encrypted Columns Frag	224	8.2	0.0
Encrypted Columns Frag	256	0.0	0.0
Encrypted Columns Frag	288	0.0	0.0
Encrypted Columns Frag	320	0.0	0.0
Encrypted Columns Frag	352	0.0	0.0
LFB memory pool	32	0.0	0.0
LFB memory pool	64	0.0	0.0
LFB memory pool	96	0.0	0.0
LFB memory pool	128	0.0	0.0
LFB memory pool	160	0.0	0.0
LFB memory pool	192	0.0	0.0
LFB memory pool	224	0.0	0.0
LFB memory pool	256	0.0	0.0
LFB memory pool	288	0.0	0.0
LFB memory pool	320	0.0	0.0
LFB memory pool	352	0.0	0.0
LFB memory pool	384	0.0	0.0
LFB memory pool	416	0.0	0.0
LFB memory pool	448	0.0	0.0
LFB memory pool	480	0.0	0.0
LFB memory pool	512	0.0	0.0
LFB memory pool	544	0.0	0.0
LFB memory pool	576	0.0	0.0
LFB memory pool	608	0.0	0.0
LFB memory pool	640	0.0	0.0
Network Buffers	32	2416.9	0.0
Network Buffers	64	789.0	0.0
Network Buffers	96	250.9	0.0
Network Buffers	128	1147.5	0.0
Network Buffers	160	856.5	0.0
Network Buffers	192	71.7	0.0
Network Buffers	224	59.7	0.0
Network Buffers	256	71.7	0.0
Network Buffers	288	10536.5	0.0
Network Buffers	320	0.0	0.0
Network Buffers	352	35.8	0.0

2.3.2.1 Engine Busy Utilization

`Engine Busy Utilization` reports the percentage of time the SAP ASE kernel is busy executing tasks on each SAP ASE engine (rather than time spent idle). The summary row gives the total and the average active time for all engines combined.

The values reported on the `Engine Busy Utilization` report may differ from the CPU usage values reported by operating system tools. When SAP ASE has no tasks to process, it enters a loop that regularly checks for network I/O, completed disk I/Os, and tasks in the run queue.

One measurement that cannot be made from inside an SAP ASE server is the percentage of time that SAP ASE had control of the CPU versus the time the CPU was in use by the operating system. The operating system provides CPU time to SAP ASE. `sp_sysmon` reports how SAP ASE uses the time the operating system provides. You should always use `sp_sysmon` in conjunction with the operating system's monitoring tools to get readings for both systems.

Check your operating system documentation for the correct commands.

To reduce the time that SAP ASE spends checking for I/O while idle, lower the `sp_configure` parameter `runnable process search count`. This parameter specifies the number of times an SAP ASE engine loops looking for a runnable task before yielding the CPU. However, decreasing the value for `runnable process search count` can increase latency, decreasing performance.

`Engine Busy Utilization` measures how busy SAP ASE engines were during the CPU time they were given. If the engine is available to SAP ASE for 80 percent of a 10-minute sample interval, and `Engine Busy Utilization` was 90 percent, it means that SAP ASE was busy for 7 minutes and 12 seconds and was idle for 48 seconds.

When `sp_sysmon` samples the counters (by default, every 100 milliseconds), each engine indicates what it is currently doing. For example, if it is executing a task, it reports that it is "`CPU Busy`"; if it is idling, it reports that it is "`Idle`"; if it is idling and has at least one pending asynchronous disk IO, it reports "`IO Busy`".

`Engine Busy Utilization` can help you decide whether there are too many or too few SAP ASE engines. SAP ASE high scalability is due to tunable mechanisms that avoid resource contention.

By checking `sp_sysmon` output for problems and tuning to alleviate contention, response time can remain high even at `Engine Busy Utilization` values in the 80 to 90 percent range. If values are consistently very high (more than 90 percent), it is likely that response time and throughput could benefit from an additional engine.

The `Engine Busy Utilization` values are averages over the sample interval, so very high averages indicate that engines may be 80 percent busy during part of the interval. Spinlock contention increases CPU usage, and should be checked if the server experiences high CPU utilization. In-memory table scans also increase CPU usage, which you can decrease by tuning queries appropriately.

When engine utilization is extremely high, the `housekeeper` wash task writes few or no pages out to disk (since it runs only during idle CPU cycles.) This means that a checkpoint finds many pages that need to be written to disk, and the checkpoint process, a large batch job, or a database dump is likely to send CPU usage to 100 percent for a period of time, causing a perceptible dip in response time.

If the `Engine Busy Utilization` percentages are consistently high, and you want to improve response time and throughput by adding SAP ASE engines, check for increased resource contention in other areas after adding each engine.

In an environment where SAP ASE is serving a large number of users, performance is usually fairly evenly distributed across engines. However, when there are more engines than tasks, you may see some engines with a large percentage of utilization, and other engines may be idle. For example:

Engine Busy Utilization	CPU Busy	I/O Busy	Idle	
Engine 0	68.7 %	2.5 %	28.8 %	
Engine 1	61.9 %	3.3 %	34.8 %	
Engine 2	67.0 %	2.4 %	30.6 %	
Engine 3	69.0 %	3.8 %	27.2 %	
Engine 4	60.2 %	2.7 %	37.2 %	
Engine 5	55.7 %	3.2 %	41.1 %	
Engine 6	53.8 %	3.2 %	43.0 %	
Summary	Total	436.3 %	21.1 %	242.6 %
Average		62.3 %	3.0 %	34.7 %

In an SMP environment, tasks have soft affinity to engines. Without other activity (such as lock contention) that could cause a task to be placed in the global run cue, the task continues to run on the same engine.

2.3.2.2 CPU Yields by Engine

`CPU Yields by Engine` reports the number of times each SAP ASE engine yielded to the operating system. “% of total” data is the percentage of times an engine yielded as a percentage of the combined yields for all engines.

`Total CPU Yields` reports the combined data over all engines. However, an engine with one or more pending asynchronous disk I/Os does not yield, even when `runnable process search count` is exhausted.

If the `Engine Busy Utilization` data indicates low engine utilization, use `CPU Yields by Engine` to determine whether the `Engine Busy Utilization` data reflects a truly inactive engine or one that is frequently starved out of the CPU by the operating system.

When an engine is not busy, it yields to the CPU after a period of time related to the `runnable process search count` parameter. A high value for `CPU Yields by Engine` indicates that the engine yielded voluntarily.

`runnable process search count` **Parameter**

Description

Engine Busy Low/CPU Yields Low	Higher value for the I/O Busy% column than for the CPU Busy% column indicates a large amount of pending I/O. Look for changes you can make at the operating system level to solve bottlenecks in I/O processing. If the SAP ASE system CPU time is too high (based on operating system output), decrease the value for <code>runnable process search count</code> .
Engine Busy Low/CPU Yields High	Engine is inactive.
Engine Busy High/CPU Yields Low	SAP ASE server is very busy and has jobs to run. Adding engines will probably help performance.

runnable process search

count **Parameter**

Description

Engine Busy High/CPU Yields High	This should be the case when a normal number of user connections running simple queries. It is likely that lowering runnable process search count will have little or no effect. Adding engines is also unlikely to help, unless Engine Busy Utilization is very high.
----------------------------------	--

For example:

CPU Yields by Engine	per sec	per xact	count	% of total
Engine 0	73.5	1.8	44087	26.2 %
Engine 1	40.4	1.0	24224	14.4 %
Engine 2	23.7	0.6	14208	8.4 %
Engine 3	36.2	0.9	21746	12.9 %
Engine 4	38.7	1.0	23207	13.8 %
Engine 5	41.3	1.0	24760	14.7 %
Engine 6	26.8	0.7	16108	9.6 %
Total CPU Yields	280.6	6.9	168340	

See *Reference Manual: Configuration Parameters > runnable process search count*.

2.3.2.3 Network Checks

Network Checks reports information about blocking and non-blocking network I/O checks, the total number of I/O checks for the interval, and the average number of network I/Os per network check.

SAP ASE has two ways to check for network I/O: blocking and non-blocking modes.

Network Checks				
Non-Blocking	166371.5	4098.3	99822899	99.8 %
Blocking	279.0	6.9	167388	0.2 %
Total Network I/O Checks	166650.5	4105.2	99990287	
Avg Net I/Os per Check	n/a	n/a	0.00476	n/a

2.3.2.3.1 Non-Blocking

`Non-Blocking` reports the number of times SAP ASE performed non-blocking network checks. With non-blocking network I/O checks, an engine checks the network for I/O and continues processing, whether or not it found I/O waiting (this is how an engine typically checks for network I/O.)

2.3.2.3.2 Blocking

`Blocking` reports the number of times SAP ASE performed blocking network checks. This is how an engine yields CPU to the operating system, and should be equal to the number of yields (it may differ because of timing issues).

After an engine completes all runnable tasks in the queue, the engine loops for a short time, polling the network and checking for the next client request. If after a certain number of loops (determined by the `sp_configure` parameter, `runnable process search count`) the engine finds no runnable tasks, and there is no pending asynchronous disk I/O, the engine performs a blocking network I/O poll, yielding its CPU to the operating system. At this point, the engine is said to be sleeping.

A sleeping engine wakes up once per clock tick to perform routine housekeeping tasks and check for runnable tasks. If there are no runnable tasks, the engine performs another blocking network check and goes back to sleep.

SAP ASE may wake a sleeping engine before the next clock tick if a different engine completes some network I/O. Once awake, the engine performs post-processing I/O work, typically resulting in a task becoming runnable (this task is unlikely to yield again until the I/O work has been processed).

2.3.2.3.3 Total Network I/O Checks

`Total Network I/O Checks` reports the number of times an engine polls for incoming and outgoing packets. This category is helpful when you use it with `CPU Yields by Engine`.

When an engine is idle, it loops while checking for network packets. If `Network Checks` is low and `CPU Yields by Engine` is high, the engine could be yielding too often and not checking the network frequently enough. If the system can afford the overhead, it might be acceptable to yield less often.

An engine loops the number of times you have defined with `runnable process search count` times before yielding (unless the engine has at least one pending disk I/O). Consequently, an engine that yields too often may indicate that performance is suffering because `runnable process search count` is set too low.

2.3.2.3.4 Average Network I/Os per Check

Average Network I/Os per Check reports the average number of network I/Os (sends and receives) per check for all SAP ASE engine checks that took place during the sample interval.

The `sp_configure` parameter `io polling process count` specifies the maximum number of processes that SAP ASE runs before the scheduler checks for disk and network I/O completions. Tuning `io polling process count` affects both the response time and throughput of Adaptive Server.

See *Reference Manual: Configuration Parameters*.

If SAP ASE engines check frequently, but retrieve network I/O infrequently, you can try reducing the frequency for network I/O checking.

2.3.2.4 Disk I/O Checks

Disk I/O Checks reports the total number of disk I/O checks and the number of checks returning I/O.

Disk I/O Checks				
Total Disk I/O Checks	171839.7	4233.0	103103833	n/a
Checks Returning I/O	31783.1	782.9	19069887	18.5 %
Avg Disk I/Os Returned	n/a	n/a	0.01722	n/a

- Checks Returning I/O – number of actual checks.
- Avg Disk I/Os Returned – percentage of Checks Returning I/O that resulted in one or more completed I/Os.

2.3.2.5 Total Disk I/O Checks

Total Disk I/O Checks reports the number of times engines entered a routine to check for disk I/O. The `io polling process count` configuration parameter controls the frequency of this check.

When a task needs to perform I/O, the SAP ASE engine running the task immediately issues an I/O request and puts the task to sleep, waiting for the I/O to complete. The engine may process other tasks, but continues to check for completed I/Os. When the engine finds completed I/Os, it moves the task from the sleep queue to the run queue.

2.3.2.5.1 Checks Returning I/O

Checks Returning I/O returns the number of times an I/O was outstanding when the disk check routine was entered.

SAP ASE engines poll for network I/O when the value for "io polling process count" tasks have been run within one tick of the server or during the "idle loops". However, the engine does not poll for Disk IO unless there is at least one pending Disk IO. "Checks Returning IO" indicates the number of times the

engine intends to check for a disk IO and the number of times Adaptive Server intended to check and there was at least one pending disk IO.

For example, if an engine checks for expected I/O 100,000 times, this average indicates the percentage of time that there actually was I/O pending. If, of those 100,000 checks, I/O was completed 10,000 times, then 10 percent of the checks were effective, and the other 90 percent were overhead.

However, you should also check the average number of I/Os returned per check, and how busy the engines were during the sample interval. If the sample includes idle time, or the I/O traffic is sporadic, it is possible that a high percentage of the checks were returning I/O during the busy period.

If the results in this category seem low or high, you can configure `i/o polling process count` to increase or decrease the frequency of the checks.

See *Reference Manual: Configuration Parameters*.

2.3.2.5.2 Average Disk I/Os Returned

Average Disk I/Os Returned displays the percentage of Checks Returning I/O that result in one or more completed I/Os.

Increasing the amount of time that SAP ASE engines wait between checks may result in better throughput because SAP ASE engines can spend more time processing if they spend less time checking for I/O. However, you should verify this for your environment. If the value for I/O Busy% is high, then SAP ASE should perform more frequent checks to pick up I/Os as soon as they are completed. The `i/o polling process count` configuration parameter controls how often SAP ASE performs this check.

See *Reference Manual: Configuration Parameters*.

2.3.3 Bucketpool Activity

Bucketpool Activity displays bucket fragment manager activity. Bucket pool activity is recorded in threaded mode and process mode.

For each bucket pool, it reports:

- Name of the bucket pool. `Network Buffers`, `Encrypted Column Frag`, and `LFB memory pool` are configured bucketed pools.
- `BucketSize` - Size of bucket pool (in bytes - multiples of 32)
- `Allocs/s` - Total number of successful and unsuccessful allocation requests made to a bucket pool per second.
- `AllocsMissed/s` - Number of times per second the allocation was missed due to being performed by another engine.

A sample output for Bucketpool Activity looks like:

```
Bucketpool Activity
```

```
-----
```

Bucketpool Name	BucketSize	Allocs/s	AllocsMissed/s
-----------------	------------	----------	----------------

Encrypted Columns Frag	32	137.6	0.0
Encrypted Columns Frag	64	85.3	0.0
Encrypted Columns Frag	96	0.0	0.0
Encrypted Columns Frag	128	12.0	0.0
Encrypted Columns Frag	160	8.0	0.0
Encrypted Columns Frag	192	4.0	0.0
Encrypted Columns Frag	224	8.2	0.0
Encrypted Columns Frag	256	0.0	0.0
Encrypted Columns Frag	288	0.0	0.0
Encrypted Columns Frag	320	0.0	0.0
Encrypted Columns Frag	352	0.0	0.0
LFB memory pool	32	0.0	0.0
LFB memory pool	64	0.0	0.0
LFB memory pool	96	0.0	0.0
LFB memory pool	128	0.0	0.0
LFB memory pool	160	0.0	0.0
LFB memory pool	192	0.0	0.0
LFB memory pool	224	0.0	0.0
LFB memory pool	256	0.0	0.0
LFB memory pool	288	0.0	0.0
LFB memory pool	320	0.0	0.0
LFB memory pool	352	0.0	0.0
LFB memory pool	384	0.0	0.0
LFB memory pool	416	0.0	0.0
LFB memory pool	448	0.0	0.0
LFB memory pool	480	0.0	0.0
LFB memory pool	512	0.0	0.0
LFB memory pool	544	0.0	0.0
LFB memory pool	576	0.0	0.0
LFB memory pool	608	0.0	0.0
LFB memory pool	640	0.0	0.0
Network Buffers	32	2416.9	0.0
Network Buffers	64	789.0	0.0
Network Buffers	96	250.9	0.0
Network Buffers	128	1147.5	0.0
Network Buffers	160	856.5	0.0
Network Buffers	192	71.7	0.0
Network Buffers	224	59.7	0.0
Network Buffers	256	71.7	0.0
Network Buffers	288	10536.5	0.0
Network Buffers	320	0.0	0.0
Network Buffers	352	35.8	0.0

You can modify bucket pool configuration with the guidance of SAP support.

2.4 Worker Process Management

Worker Process Management reports the use of worker processes, including the number of worker process requests that were granted and denied and the success and failure of memory requests for worker processes.

Analyze this output with that of *Parallel Query Management*:

Worker Process Management				
	per sec	per xact	count	% of total
Worker Process Requests				
Requests Granted	0.1	0.4	23	100.0 %
Requests Denied	0.0	0.0	0	0.0 %

Total Requests	0.1	0.4	23	
Requests Terminated	0.0	0.0	0	0.0 %
Worker Process Usage				
Total Used	0.2	1.1	69	n/a
Max Ever Used During Sample	0.0	0.1	9	n/a
Memory Requests for Worker Processes				
Succeeded	2.2	10.1	646	100.0 %
Failed	0.0	0.0	0	0.0 %
Total Requests	2.2	10.1	646	
Avg Mem Ever Used by a WP (in bytes)	n/a	n/a	1208.9	n/a

Related Information

[Parallel Query Management \[page 40\]](#)

2.4.1 Worker Process Requests

`Worker Process Requests` reports requests for worker processes and worker process memory. A parallel query may make multiple requests for worker processes.

For example, a parallel query that requires a sort may make one request for accessing data and a second for parallel sort.

The `Requests Granted` and `Requests Denied` rows show how many requests were granted and how many requests were denied due to a lack of available worker processes at execution time.

`Requests Terminated` reports the number of times a request was terminated by user action, such as pressing `Ctrl-c`, that cancelled the query.

Related Information

[Parallel Query Usage \[page 40\]](#)

2.4.2 Worker Process Usage

`Worker Process Usage` reports the total number of worker processes used during the sample interval. `Max Ever Used During Sample` reports the highest number in use at any time during `sp_sysmon`'s sampling period.

You can use `Max Ever Used During Sample` to set the configuration parameter `number of worker processes`.

2.4.3 Memory Requests for Worker Processes

`Memory Requests for Worker Processes` reports the number of requests made for memory allocations for worker processes, how many of those requests succeeded and how many failed. Memory for worker processes is allocated from a memory pool configured with the parameter `memory per worker process`.

If “Failed” is a nonzero value, you may need to increase the value of `memory per worker process`.

2.4.4 Avg Mem Ever Used By a WP

`Avg Mem Ever Used By a WP` reports the maximum average memory used by all active worker processes at any time during the sample interval.

Each worker process requires memory, principally for exchanging coordination messages. This memory is allocated by SAP ASE from the global memory pool.

The size of the pool is determined by multiplying the two configuration parameters, `number of worker processes` and `memory per worker process`.

If `number of worker processes` is set to 50, and `memory per worker process` is set to the default value of 1024 bytes, 50K is available in the pool. Increasing `memory per worker process` to 2048 bytes would require 50K of additional memory.

At start-up, static structures are created for each worker process. While worker processes are in use, additional memory is allocated from the pool as needed, and deallocated when not needed. The average value printed is the average for all static and dynamically memory allocated for all worker processes, divided by the number of worker processes actually in use during the sample interval.

If a large number of worker processes are configured, but only a few are in use during the sample interval, the value printed may be inflated, due to averaging in the static memory for unused processes.

If `Avg Mem Ever Used By a WP` is close to the value set by `memory per worker process` and the number of worker processes in `Max Ever Used During Sample` is close to the number configured, you may want to increase the value of the parameter.

If a worker process needs memory from the pool, and no memory is available, the process prints an error message and exits.

i Note

For most parallel query processing, the default value of 1024 is more than adequate.

The exception is `dbcc checkstorage`, which can use up 1792 bytes if only one worker process is configured. If you are using `dbcc checkstorage`, and `number of worker processes` is set to 1, you may want to increase `memory per worker process`.

2.5 Parallel Query Management

Parallel Query Management reports on the execution of parallel queries. It reports the total number of parallel queries, the number of times the number of worker processes was adjusted at runtime, and the granting of locks during merges and sorts.

A sample output of Parallel Query Management looks like:

```

Parallel Query Management
-----
Parallel Query Usage          per sec    per xact    count    % of total
-----
Total Parallel Queries        0.1        0.3         19        n/a
WP Adjustments Made
Due to WP Limit                0.0        0.0          0         0.0 %
Due to No WPs                  0.0        0.0          0         0.0 %
Merge Lock Requests          per sec    per xact    count    % of total
-----
Network Buffer Merge Locks
Granted with no wait          1.1        5.0         320       21.3 %
Granted after wait            3.9       18.4       1179      78.7 %
Result Buffer Merge Locks
Granted with no wait          0.0        0.0          0         0.0 %
Granted after wait            0.0        0.0          0         0.0 %
Work Table Merge Locks
  Granted with no wait        0.0        0.0          0         0.0 %
  Granted after wait          0.0        0.0          0         0.0 %
-----
Total # of Requests            5.0       23.4       1499
Sort Buffer Waits              per sec    per xact    count    % of total
-----
Producer Waits                0.0        0.1          8        100.0 %
Consumer Waits                0.0        0.0          0         0.0 %
-----
Total # of Waits              0.0        0.1          8

```

2.5.1 Parallel Query Usage

Parallel Query Usage reports the total number of queries eligible to be run in parallel.

The optimizer determines the best plan, deciding whether a query should be run serially or in parallel and how many worker processes should be used for parallel queries.

WP Adjustments Made reports how many times the number of worker processes recommended by the optimizer had to be adjusted at runtime. Two possible causes are reported:

- **Due to WP Limit** – indicates the number of times the number of worker processes for a cached query plan was adjusted due to a session-level limit set with `set parallel_degree` or `set scan_parallel_degree`.
If `Due to WP Limit` is a nonzero value, look for applications that set session-level limits.
- **Due to No WPs** – indicates the number of requests for which the number of worker processes was reduced due to lack of available worker processes. These queries may run in serial, or they may run in parallel with fewer worker processes than recommended by the optimizer. It could mean that queries are running with poorly optimized plans.

If `Due to No WPs` is a nonzero value, and the sample was taken at a time of typical load on your system, you may want to increase the `number of worker processes` configuration parameter or set session-level limits for some queries.

Running `sp_showplan` on the `fid` (family ID) of a login using an adjusted plan shows only the cached plan, not the adjusted plan.

If the login is running an adjusted plan, `sp_who` shows a different number of worker processes for the `fid` than the number indicated by `sp_showplan` results.

2.5.2 Merge Lock Requests

`Merge Lock Requests` reports the number of parallel merge lock requests that were made, how many were granted immediately, and how many had to wait for each type of merge

. The three merge types are:

- `Network Buffer Merge Locks` – reports contention for the network buffers that return results to clients.
- `Result Buffer Merge Locks` – reports contention for the result buffers used to process results for ungrouped aggregates and nonsorted, nonaggregate variable assignment results.
- `Work Table Merge Locks` – reports contention for locks while results from worktables were being merged.

“Total # of Requests” prints the total of the three types of merge requests.

2.5.3 Sort Buffer Waits

`Sort Buffer Waits` reports contention for the sort buffers used for parallel sorts.

Parallel sort buffers are used by:

- Producers – the worker processes returning rows from parallel scans.
- Consumers – the worker processes performing the parallel sort.

If the number of waits is high, you can configure `number of sort buffers` to a higher value.

See *Using Statistics to Improve Performance in Performance and Tuning: Query Processing and Abstract Plans* for guidelines.

2.6 Task Management

`Task Management` provides information on opened connections, task context switches by engine, and task context switches by cause.

`Task Management` identifies why a task stopped running; that is, the report highlights resource shortages and contention.

A sample output of Task Management looks like:

Task Management	per sec	per xact	count	% of total	
Connections Opened		0.2	0.0	154	n/a
Task Context Switches by Engine					
Engine 0	269.9	3.4	240477	7.3 %	
Engine 1	209.4	2.7	186574	5.7 %	
Engine 2	198.4	2.5	176730	5.4 %	
Engine 3	198.5	2.5	176859	5.4 %	
Engine 4	278.4	3.5	248054	7.5 %	
Engine 5	187.1	2.4	166697	5.1 %	
Engine 6	202.7	2.6	180640	5.5 %	
Engine 7	312.2	4.0	278129	8.5 %	
Engine 8	215.7	2.7	192198	5.8 %	
Engine 9	260.3	3.3	231940	7.1 %	
Engine 10	235.1	3.0	209447	6.4 %	
Engine 11	409.2	5.2	364604	11.1 %	
Engine 12	225.8	2.9	201166	6.1 %	
Engine 13	484.9	6.1	432020	13.1 %	
Total Task Switches:	3687.5	46.7	3285535		
Task Context Switches Due To:					
Voluntary Yields	860.8	10.9	766929	23.3 %	
Cache Search Misses	852.0	10.8	759122	23.1 %	
System Disk Writes	12.7	0.2	11353	0.3 %	
Exceeding I/O batch size	184.7	2.3	164550	5.0 %	
Logical Lock Contention	0.3	0.0	258	0.0 %	
Address Lock Contention	0.0	0.0	12	0.0 %	
Latch Contention	0.7	0.0	587	0.0 %	
Log Semaphore Contention	31.1	0.4	27737	0.8 %	
PLC Lock Contention	0.6	0.0	577	0.0 %	
Group Commit Sleeps	6.9	0.1	6122	0.2 %	
Last Log Page Writes	71.4	0.9	63619	1.9 %	
Modify Conflicts	19.9	0.3	17728	0.5 %	
I/O Device Contention	0.0	0.0	0	0.0 %	
Network Packet Received	152.1	1.9	135483	4.1 %	
Network Packet Sent	643.7	8.1	573528	17.5 %	
Network services	127.8	2.3	7564	8.9 %	
Other Causes	850.7	10.8	757930	23.1 %	

2.6.1 Connections Opened

Connections Opened reports the number of connections opened to an SAP ASE server, and includes any type of connection, such as client connections and remote procedure calls. It counts only connections started during the sample interval; connections established before the interval started are not counted, although they may be active and using resources.

Connections Opened provides a general understanding of the SAP ASE environment and the workload during the interval. This data can also be useful for understanding application behavior—it can help determine if applications repeatedly open and close connections or perform multiple transactions per connection.

Related Information

[Transaction Profile \[page 58\]](#)

2.6.2 Task Context Switches by Engine

For `Task Context Switches by Engine`, each process running on SAP ASE has its own “context,” or environment, which includes information about the current database, client character set, currently running query, and so on. A “context switch” occurs when SAP ASE is running one client or system process, but must switch to a different client or system process, and this switch involves changing the context under which the engine is running.

`Task Context Switches by Engine` reports the number of times each SAP ASE engine switched context from one user task to another. “% of total” reports the percentage of engine task switches for each SAP ASE engine as a percentage of the total number of task switches for all Adaptive Server engines combined.

`Total Task Switches` summarizes task-switch activity for all engines on SMP servers. You can use `Total Task Switches` to observe the effect of reconfigurations. You might reconfigure a cache or add memory if tasks appear to block on cache search misses and to be switched out often. Then, check the data to see if tasks tend to be switched out more or less often.

2.6.3 Task Context Switches Due To

`Task Context Switches Due To` reports the number of times SAP ASE switched context for a number of common reasons. “% of total” reports the percentage of times the context switch occurred as a percentage of the total number of task context switches for all SAP ASE engines combined.

`Task Context Switches Due To` provides an overview of the reasons that tasks were switched off engines. You can investigate the possible performance problems shown in this section by checking other `sp_sysmon` output, as indicated in the sections that describe the causes.

For example, if most of the task switches are caused by physical I/O, try minimizing physical I/O by adding more memory or reconfiguring caches. However, if lock contention causes most of the task switches, check the locking section of your report.

Related Information

[Lock Management \[page 83\]](#)

2.6.3.1 Voluntary Yields

`Voluntary Yields` reports the number of times a task completed without yielding. A task that completes yields is indicated in the `Network Packet Sent` column. A high number of voluntary yields indicates that there is little contention.

The configuration parameter `time slice` sets the amount of time that a process can run. A CPU-intensive task that does not switch out due to other causes yields the CPU at certain “yield points” in the code, to allow

other processes a turn on the CPU. See *Allotted Slices Exhausted* to identify whether tasks are exhausting their time quota.

See *Using Engines and CPUs in Performance and Tuning Series: Basics* for more information.

Related Information

[Allotted Slices Exhausted \[page 54\]](#)

2.6.3.2 Cache Search Misses

`Cache Search Misses` reports the number of times a task was switched out because a required page was not in cache and had to be read from disk. For data and index pages, the task is switched out while the physical read is performed.

See *Data Cache Management* for information about the cache-related parts of the `sp_sysmon` output.

Related Information

[Data Cache Management \[page 92\]](#)

2.6.3.3 Exceeding I/O Batch Size

`Exceeding I/O Batch Size` reports the number of times an I/O-intensive task was switched from an engine because SAP ASE exceeded a I/O batch limit. However, `Exceeding I/O Batch Size` reports only the context switches from nonlog I/O batches.

SAP ASE paces disk writes to keep from flooding the disk I/O subsystems during operations that perform large amounts of I/O. For example, the checkpoint task writes a large number of data pages to the disk. SAP ASE switches off the checkpoint task so it sleeps until the batch of writes completes. Checkpoint then wakes and issues another batch.

Configure batch sizes—both nonlog I/O and log I/O—using the `i/o batch size` parameter.

By default, the number of writes per batch is set to 100. You may want to increase the number of writes per batch if:

- You have a high-throughput, high-transaction environment with a large data cache.
- Your system is not I/O-bound.

2.6.3.4 System Disk Writes

`System Disk Writes` reports the number of times a task was switched out for various reasons.

Including:

- Exceeded the log I/O batch size (10 pages)
- Needed to perform a disk write
- Needed to access a page that was being written by another process, such as the housekeeper or the checkpoint process

System disk writes include waits spent by tasks to complete writes. Data pages may wait for writes they issue, or wait for writes issued by other tasks. Log page waits include only writes issued by the same task. The waits for I/O to complete on a log page for which the write was issued by another task is counted in `Group Commit Sleeps`.

Most SAP ASE writes happen asynchronously, but processes sleep during writes for page splits, recovery, and OAM page writes. If `System Disk Writes` seems high, check the value for page splits to see if the problem is caused by data page and index page splits. You cannot affect this value by tuning SAP ASE if the high value for system disk writes is not caused by page splitting.

Related Information

[Page Splits \[page 75\]](#)

2.6.3.5 Logical Lock Contention

`Logical Lock Contention` reports the number of times a task was switched out due to contention for locks on tables, data pages, or data rows.

Investigate lock contention problems by checking the transaction detail and lock management sections of the report.

- See [Transaction Detail \[page 60\]](#) and [Lock Management \[page 83\]](#).
- Check to see if your queries are performing deferred and direct expensive updates, which can cause additional index locks. See [Updates \[page 63\]](#).
- Use `sp_object_stats` to report information on a per-object basis.

For additional help on locks and lock contention, see *Performance and Tuning Series: Locking and Concurrency Control*:

- *Types of Locks* – provides information about types of locks to use at server or query level.
- *Locking Configuration and Tuning* – provides information about reducing lock contention.
- *Introduction to Locking* – provides information on indexes and query tuning. In particular, use indexes to ensure that updates and deletes do not lead to table scans and exclusive table locks.

Related Information

[Transaction Detail \[page 60\]](#)

[Lock Management \[page 83\]](#)

[Updates \[page 63\]](#)

2.6.3.6 Address Lock Contention

`Address Lock Contention` reports the number of times a task was switched out because of address locks.

SAP ASE acquires address locks on the index pages of allpages-locked tables. These locks block access to data pages. For example, an exclusive address lock on an index page blocks another system process ID (spid) from reading that page and following that index to the data. However, tasks can still use other access methods to the data.) Also, when a leaf-level index page is exclusively locked, no modifications can be done to any data pointed to by the index rows on the locked page, since the index rows may need to be modified as well.

2.6.3.7 Latch Contention

`Latch Contention` reports the number of times a task was switched out because it needed to wait for a latch.

If your user tables use only allpages-locking, latch contention is taking place either on a data-only-locked system table or on allocation pages.

If your applications use data-only-locking, the contention reported here includes all waits for latches, including those on index pages and OAM pages as well as allocation pages.

2.6.3.7.1 Reducing Contention During Page Allocation

In SMP environments where inserts and expanding updates are extremely high, so that page allocations take place very frequently, contention for the allocation page latch can reduce performance. Normally, SAP ASE allocates new pages for an object on an allocation unit that is already in use by the object and is known to have free space.

For each object, SAP ASE tracks this allocation page number as a hint for any tasks that need to allocate a page for that object. When more than one task at a time needs to allocate a page on the same allocation unit, the second and subsequent tasks block on the latch on the allocation page.

You can specify a “greedy allocation” scheme, so that SAP ASE keeps a list of eight allocation hints for page allocations for a table.

This command enables greedy allocation for the `salesdetail` table in database 6:

```
dbcc tune(des_greedyalloc, 6, salesdetail, "on")
```

To turn it off, use:

```
dbcc tune(des_greedyalloc, 6, salesdetail, "off")
```

The effects of `dbcc tune(des_greedyalloc)` are not persistent, so you must reissue the commands after you restart SAP ASE.

Use `dbcc tune(des_greedyalloc)` only if all of the following are true:

- You have multiple engines. This command is rarely useful with fewer than four engines.
- A large number of pages are being allocated for the object. You can use `sp_spaceused` or `optdiag` to track the number of pages.
- The latch contention counter shows contention.

Greedy allocation is more useful when tables are assigned to their own segments. If you enable greedy allocation for several tables on the same segment, the same allocation hint could be used for more than one table.

Greedy allocation is not allowed in the `master` and `tempdb` databases, and is not allowed on system tables. Greedy page allocation is not applicable to partitioned tables.

The hints are allocation pages which potentially have free space. The maximum number of hints maintained is 16.

2.6.3.8 Physical, Logical, and Object Lock Transition

Physical, logical, and object lock transitions identify context switches related to various locks.

- `Physical Lock Transition` – identify context switches related to acquiring physical locks.
- `Logical Lock Transition` – identify context switches related to acquiring cluster logical locks
- `Object Lock Transition` – identify context switches related to acquiring object locks

For these three rows, `% total` represents a collective number of context switches caused by cluster messaging. Higher values for `% total` for this context indicates high cluster message activity. Compare this number with `Number of Cluster Lock Requests` to determine the number of cluster messages per lock request. Query the `monSysWaits` monitoring table for more information about context switches.

Assess your application partitioning if a lock requests creates too many context switches. A higher number of context switches indicates additional requirements for the cluster message buffer. `monCIPC` may have high values for `ReceiveCount` and `TransmitCount` if there is a shortage of CIPC message buffers. Increase the size of the CIPC message buffer to resolve this issue.

2.6.3.9 Log Semaphore Contention

A semaphore is a simple internal locking mechanism that prevents a second task from accessing the data structure currently in use. SAP ASE uses semaphores to protect the user log caches, since more than one process can access the records of a ULC and force a flush.

`Log Semaphore Contention` reports the number of times a task was switched out because it needed to acquire the transaction log semaphore held by another task. This applies to SMP systems only.

If log semaphore contention is high, see *Transaction Management*.

Check disk queuing on the disk used by the transaction log. See *Disk I/O Management*.

Also see *Engine Utilization (Tick %)*. If engine utilization reports a low value, and response time is within acceptable limits, consider reducing the number of engines. Running with fewer engines reduces contention by decreasing the number of tasks trying to access the log simultaneously.

High log semaphore contention usually points to issues with logging or the I/O subsystem. However, even a well-tuned system can have high contention on the log semaphore in a OLTP environment that uses high throughput.

Related Information

[Transaction Management \[page 64\]](#)

[Disk I/O Management \[page 107\]](#)

[Engine Utilization \(Tick %\) \[page 24\]](#)

2.6.3.10 PLC Lock Contention

`PLC Lock Contention` reports contention for a lock on a user log cache.

2.6.3.11 Group Commit Sleeps

`Group Commit Sleeps` reports the number of times a task performed a transaction commit and was put to sleep until the log was written to disk by another task.

When a task commits, its log records are flushed from its user log cache to the current page of the transaction log in cache. If the log page (or pages, if a large log I/O size is configured) is not full, the task is switched out and placed on the end of the run queue. The log write for the page is performed when:

- Another process fills the log pages, and flushes the log. This is presented as a Group Commit Sleep or as I/O Pacing.
- When the task reaches the head of the run queue, and no other process has flushed the log page. This is presented as a Last Log Page Write.

In high throughput environments, a large log I/O size helps prevent problems in disk queuing on the log device. A high percentage of group commit sleeps should not be regarded as a problem.

2.6.3.12 Last Log Page Writes

`Last Log Page Writes` reports the number of times a task was switched out because it was put to sleep while writing the last log page.

The task switched out because it was responsible for writing the last log page, as opposed to sleeping while waiting for some other task to write the log page, as described in *Group Commit Sleeps*.

If this value is high, review *Avg # Writes Per Log Page* to determine whether SAP ASE is repeatedly writing the same last page to the log. If the log I/O size is greater than 2K, reducing the log I/O size might reduce the number of unneeded log writes.

See the "[Optimizing Transaction Performance in Adaptive Server Enterprise 12.5](#)" white paper (enter "Optimizing Transaction Performance" in the search field on the Sybase home page).

Related Information

[Group Commit Sleeps \[page 48\]](#)

[Avg # Writes per Log Page \[page 72\]](#)

2.6.3.13 Modify Conflicts

`Modify Conflicts` reports the number of times that a task tried to get exclusive access to a page that was held by another task under a special lightweight protection mechanism.

For certain operations, SAP ASE uses a lightweight protection mechanism to gain exclusive access to a page without using actual page locks (for example, access to some system tables and dirty reads). These processes require exclusive access to the page, even though they do not modify it.

2.6.3.14 I/O Device Contention

`I/O Device Contention` reports the number of times a task was put to sleep while waiting for a semaphore for a particular device.

When a task needs to perform physical I/O, SAP ASE fills out the I/O structure and links it to a per-engine I/O queue. If two SAP ASE engines request an I/O structure from the same device at the same time, one of them sleeps while it waits for the semaphore.

Device contention occurs only when you have enabled disk mirroring (the default is "disabled") or when I/Os are delayed. For more information about delayed I/Os, see *Disk I/O Management*.

If there is significant contention for I/O device semaphores, try reducing it by redistributing the tables across devices or by adding devices and moving tables and indexes to them.

Related Information

[Disk I/O Management \[page 107\]](#)

2.6.3.15 Network Packet Received

When task switching is reported by `Network Packet Received`, the task switch is due to one of two causes.

- A task received part of a multipacket batch and was switched out waiting for the client to send the next packet of the batch, or
- A task completely finished processing the current batch and was put into a receive sleep state while waiting to receive the next command or packet from the client. This is the most common reason for task context switches due to `Network Packet Received`.

If `Network Packet Received` is high, see *Network I/O Management*. You can configure the network packet size for all connections or allow certain connections, to log in using larger packet sizes.

See *Introduction to the Basics in Performance and Tuning Series: Basics* for more information about network packet sizes.

See *Reference Manual: Configuration Parameters*.

Related Information

[Network I/O Management \[page 111\]](#)

2.6.3.16 Network Packet Sent

`Network Packet Sent` reports the number of times a task went into a send sleep state while waiting for the network to send each packet to the client.

The network model determines that there can be only one outstanding packet per connection at any point in time. A task can send a network packet without posting a send request to the network IO task, avoiding a task context switch.

If there is a lot of data to send, and the task is sending many small packets (512 bytes per packet), the task could end up sleeping a number of times. The data packet size is configurable, and different clients can request different packet sizes. When a query completes, this action is included in the `Network Packet Sent` column.

See *Networks and Performance in Performance and Tuning Series: Basics* for more information about network packets.

If `Network Packet Sent` is a major cause of task switching, see *Network I/O Management*.

Related Information

[Network I/O Management \[page 111\]](#)

2.6.3.17 Network Services

`Network Services` measures the number of context switches that are caused by sleeping on network operations (other than the `send` and `receive` operations).

2.6.3.18 Other Causes

`Other Causes` reports the number of tasks switched out for any reasons not described above (its value is reduced by the number of the number of waits caused by `Network Services`). In a well-tuned server, this value may rise as tunable sources of task switching are reduced.

2.7 Application Management

`Application Management` reports execution statistics for user tasks, and is useful if you use resource limits, or if you plan to tune applications by setting execution attributes and assigning engine affinity.

Before making any adjustments to applications, logins, or stored procedures, run `sp_sysmon` during periods of typical load, and familiarize yourself with the statistics in this section.

For related background information, see *Performance and Tuning Series: Basics*.

If you request information about specific tasks using the third `sp_sysmon` parameter (`applmon`), `sp_sysmon` output gives statistics specific to each application individually, in addition to summary information.

You can choose to display the detailed application information in one of two ways:

- Application and login information (using the `sp_sysmon` parameter `appl_and_login`) – `sp_sysmon` prints a separate section for each login and the applications it is executing.
- Application information only (using the `sp_sysmon` parameter, `appl_only`) – `sp_sysmon` prints a section for each application, which combines data for all of the logins that are executing it.

For example, if 10 users are logged in with `isql`, and 5 users are logged in with an application called `sales_reports`, requesting “application and login” information prints 15 detail sections. Requesting “application only” information prints 2 detail sections, one summarizing the activity of all `isql` users, and the other summarizing the activity of the `sales_reports` users.

You can use `appl_and_login` with all sections of `sp_sysmon`. For example:

```
sp_sysmon "00:05:00", @applmon=appl_and_login
```

A sample output of Application Management looks like:

```
Application Management
-----
Application Statistics Summary (All Applications)
-----
Priority Changes                per sec      per xact      count      % of total
-----
To High Priority                3.4          0.1           2058       18.6 %
To Medium Priority              9.6          0.2           5774       52.3 %
To Low Priority                  5.4          0.1           3217       29.1 %
-----
Total Priority Changes          18.4          0.5           11049
Allotted Slices Exhausted      per sec      per xact      count      % of total
-----
High Priority                   0.0          0.0           0           0.0 %
Medium Priority                 13.8         0.3           8251       100.0 %
Low Priority                     0.0          0.0           0           0.0 %
-----
Total Slices Exhausted         13.8          0.3           8251
Skipped Tasks By Engine        per sec      per xact      count      % of total
-----
Total Engine Skips              0.0          0.0           0           n/a
Engine Scope Changes            0.0          0.0           0           n/a
```

This example shows output for application and login; only the information for one application and login is included. The first line identifies the application name (before the arrow) and the login name (after the arrow).

```
-----
Application->Login:  ctisql->adonis
-----
Application Activity          per sec      per xact      count      % of total
-----
CPU Busy                      0.1          0.0           27          2.8 %
I/O Busy                      1.3          0.1           461         47.3 %
Idle                          1.4          0.2           486         49.9 %
Number of Times Scheduled      1.7          0.2           597         n/a
-----
Application Priority Changes  per sec      per xact      count      % of total
-----
To High Priority               0.2          0.0           72          50.0 %
  To Medium Priority           0.2          0.0           72          50.0 %
  To Low Priority               0.0          0.0           0           0.0 %
-----
Total Priority Changes         0.4          0.0           144
Application I/Os Completed    per sec      per xact      count      % of total
-----
Disk I/Os Completed           0.6          0.1           220         53.9 %
Network I/Os Completed        0.5          0.1           188         46.1 %
-----
Total I/Os Completed          1.1          0.1           408
Resource Limits Violated      per sec      per xact      count      % of total
-----
IO Limit Violations
  Estimated                    0.0          0.0           0           0.0 %
  Actual                       0.1          4.0           4           50.0 %
Time Limit Violations
  Batch                        0.0          0.0           0           0.0 %
  Xact                         0.0          0.0           0           0.0 %
RowCount Limit Violations     0.1          4.0           4           50.0 %
```

Total Limits Violated	0.1	8.0	8
-----------------------	-----	-----	---

Related Information

[Specifying the Application Detail Parameter \[page 10\]](#)

2.7.1 Application Statistics Summary (All Applications)

The `sp_sysmon` statistics in the summary section can help you determine whether there are any anomalies in resource utilization. If there are, you can investigate further using the detailed report.

Applications statistics show:

- Whether tasks are switching back and forth between different priority levels
- Whether the assigned time that tasks are allowed to run is appropriate
- Whether tasks to which you have assigned low priority are starved for CPU time
- Whether engine bindings with respect to load balancing is correct

`Application Statistics Summary` includes data for system tasks as well as for user tasks. If the summary report indicates a resource issue, but you do not see supporting evidence in the application or application and login information, investigate the `sp_sysmon` kernel section of the report (*Kernel Utilization*).

Related Information

[Kernel Utilization \[page 21\]](#)

2.7.1.1 Priority Changes

`Priority Changes` reports the priority changes that took place for all user tasks in each priority run queue during the sample interval. It is normal to see some priority switching due to system-related activity.

For example, such priority switching occurs when:

- A task sleeps while waiting on a lock – SAP ASE temporarily raises the task's priority.
- A housekeeper task sleeps – SAP ASE raises the priority to medium when the housekeeper wash and housekeeper chores task wake up, and changes them back to low priority when they go back to sleep.
- A task executes a stored procedure – the task assumes the priority of the stored procedure and resumes its previous priority level after executing the procedure.

If you are using logical process management and there are a high number of priority changes compared to steady state values, it may indicate that an application, or a user task related to that application, is changing

priorities frequently. Check priority change data for individual applications. Verify that applications and logins are behaving as you expect.

If you determine that a high-priority change rate is not due to an application or to related tasks, then it is likely due to system activity.

2.7.1.1.1 Total Priority Changes

`Total Priority Changes` reports the total number of priority changes during the sample period. This section gives you a quick way to determine if there are a high number of run queue priority changes occurring.

2.7.1.2 Allotted Slices Exhausted

`Allotted Slices Exhausted` reports the number of times user tasks in each run queue exceeded the time allotted for execution.

Once a user task gains access to an engine, the task is allowed to execute for a given period of time. If the task has not yielded the engine before the time is exhausted, Adaptive Server requires it to yield as soon as possible without holding critical resources. After yielding, the task is returned to the run queue.

`Allocated Slices Exhausted` helps you to determine whether there are CPU-intensive applications for which you should tune execution attributes or engine associations. If these numbers are high, it indicates that an application is CPU intensive. Application-level information can help you figure out which application to tune. Some tasks, especially those which perform large sort operations, are CPU intensive.

Use `Allocated Slices Exhausted` to assess new hardware requirements. Faster CPUs are typically beneficial, and the more exhausted timeslices you encounter, the more your site will benefit from upgrading to faster CPUs.

2.7.1.3 Skipped Tasks By Engine

`Skipped Tasks By Engine` reports the number of times engines skipped a user task at the head of a run queue.

This happens when the task at the head of the run queue has affinity to an engine group and was bypassed in the queue by an engine that is not part of the engine group.

The value is affected by configuring engine groups and engine group bindings. A high number in this category might be acceptable if low priority tasks are bypassed for more critical tasks. It is possible that an engine group is bound so that a task that is ready to run might not be able to find a compatible engine. In this case, a task might wait to execute while an engine sits idle. Investigate engine groups and how they are bound, and check load balancing.

2.7.1.4 Engine Scope Changes

`Engine Scope Changes` reports the number of times a user changed the engine group binding of any user task during the sample interval.

2.7.2 Per Application or Per Application and Login

This section gives detailed information about system resources used by particular application and login tasks, or by all users of each application.

2.7.2.1 Application Activity

`Application Activity` helps you to determine whether an application is I/O intensive or CPU intensive. It reports how much time all user tasks in the application spend executing, doing I/O, or being idle. It also reports the number of times a task is scheduled and chosen to run.

- `CPU Busy` – reports the number of clock ticks during which the user task was executing during the sample interval. When the numbers in this category are high, it indicates a CPU-bound application. If this is a problem, you may want to consider engine binding.
- `I/O Busy` – reports the number of clock ticks during which the user task was performing I/O during the sample interval. If the numbers in this category are high, it indicates an I/O-intensive process. If idle time is also high, the application could be I/O bound.
The application might achieve better throughput if you assign it a higher priority, bind it to a lightly loaded engine or engine group, or partition the application's data onto multiple devices.
- `Idle` – reports the number of clock ticks during which the user task was idle during the sample interval.
- `Number of Times Scheduled` – reports the number of times a user task is scheduled and chosen to run on an engine. This data can help you determine whether an application has sufficient resources. If this number is low for a task that normally requires substantial CPU time, it may indicate insufficient resources. Consider changing priority in a loaded system with sufficient engine resources.

2.7.2.2 Application Priority Changes

`Application Priority Changes` reports the number times this application had its priority changed during the sample interval.

When the `Application Management` category indicates a problem, use this section to pinpoint the source.

2.7.2.3 Application I/Os Completed

`Application I/Os Completed` reports the disk and network I/Os completed by this application during the sample interval.

This category indicates the total number of disk and network I/Os completed.

If you suspect a problem with I/O completion, see *Disk I/O Management* and *Network I/O Management*.

Related Information

[Disk I/O Management \[page 107\]](#)

[Network I/O Management \[page 111\]](#)

2.7.2.4 Resource Limits Violated

`Resource Limits Violated` reports the number and types of violations.

- I/O Limit Violations—Estimated and Actual
- Time Limits—Batch and Transaction
- RowCount Limit Violations
- Total Limits Violated

If no limits are exceeded during the sample period, only the total line is printed.

2.8 ESP Management

`ESP Management` reports on the use of extended stored procedures.

A sample output of `ESP Management` looks like:

=====	per sec	per xact	count	% of total
ESP Management				
-----	-----	-----	-----	-----
ESP Requests	0.0	0.0	0	n/a

- `ESP Requests` – reports the number extended stored procedure calls during the sample interval.
- `Avg. Time to Execute an ESP` – reports the average length of time for all extended stored procedures executed during the sample interval.

2.9 Housekeeper Task Activity

Housekeeper Task Activity reports on housekeeper tasks.

If the configuration parameter `housekeeper free write percent` is set to 0, the housekeeper task `HK WASH` does not run. Enable space reclamation by setting `enable housekeeper GC` to a value between 1 and 5. Disable space reclamation by setting `enable housekeeper GC` to 0.

A sample output of `Housekeeper Task Activity` looks like:

```

=====
Housekeeper Task Activity
-----

```

	per sec	per xact	count	% of total
	-----	-----	-----	-----
Buffer Cache Washes				
Clean	228.0	5.6	136828	100.0 %
Dirty	0.0	0.0	12	0.0 %
	-----	-----	-----	
Total Washes	228.1	5.6	136840	
Garbage Collections	1.3	0.0	791	n/a
Pages Processed in GC	0.0	0.0	0	n/a
Statistics Updates	12.0	0.3	7196	n/a

- `Buffer Cache Washes` – reports:
 - The number of buffers examined by the housekeeper wash task
 - The number of buffers that were found clean
 - The number of buffers that were found dirty

A buffer is considered “dirty” when the data page it represents in a data cache includes changes that Adaptive Server has not written to disk. A buffer is considered “clean” when the data page it represents is a copy of the data on disk.

The number of dirty buffers includes those already in I/O due to writes being started at the wash marker.

The `Recovery Management` section of `sp_sysmon` reports how many times the housekeeper wash task was able to write all dirty buffers for a database.

- `Garbage Collections` – reports the number times the housekeeper garbage collection task checked to determine whether there were committed deletes that indicated that there was space that could be reclaimed on data pages.
- `Pages Processed in GC` – reports the number pages where the housekeeper garbage collection task succeeded in reclaiming unused space on a page of a data-only-locked table.
- `Statistics Updates` – reports the number times the housekeeper chores task checked to see if statistics needed to be written.

For more information, see:

- *Using Engines and CPUs in Performance and Tuning: Basics*
- *Diagnosing System Problems in System Administration Guide, Volume One*

Related Information

[Recovery Management \[page 104\]](#)

2.10 Monitor Access to Executing SQL

Monitor Access to Executing SQL reports the number of overflows in SQL batch text buffers and the maximum size of SQL batch text sent during the sample interval, and contention that occurs when `sp_showplan` accesses query plans.

Note

Querying monitoring tables (for example, `monProcessSQLText` and `monSysSQLText`) does not update these counters

A sample output of Monitor Access to Executing SQL looks like:

```

Monitor Access to Executing SQL
-----

```

	per sec	per xact	count	% of total
Waits on Execution Plans	0.0	0.0	0	n/a
Number of SQL Text Overflows	8.6	.2	5138	n/a
Maximum SQL Text Requested (since beginning of sample)	n/a	n/a	588307	n/a

- **Waits on Execution Plans** – reports the number times that a process attempting to use `sp_showplan` had to wait to acquire read access to the query plan. Query plans may be unavailable if `sp_showplan` is run before the compiled plan is completed or after the query plan finished executing. In these cases, Adaptive Server tries to access the plan three times and then returns a message to the user.
- **Number of SQL Text Overflows** – reports the number times that SQL batch text exceeded the text buffer size.
- **Maximum SQL Text Requested** – reports the maximum size of a batch of SQL text since the sample interval began. You can use this value to set the configuration parameter `max SQL text monitored`. See *Diagnosing System Problems in System Administration Guide: Volume 1*.

2.11 Transaction Profile

Transaction Profile reports on data modifications by type of command and table locking scheme.

A sample output of Transaction Profile looks like:

```

=====
Transaction Profile
-----

```

Transaction Summary	per sec	per xact	count	% of total
Committed Xacts	40.6	n/a	24357	n/a
Transaction Detail	per sec	per xact	count	% of total
Inserts				
Fully Logged				
APL Heap Table	0.0	0.0	0	0.0 %
APL Clustered Table	0.0	0.0	0	0.0 %
Data Only Lock Table	1337.3	906.7	160479	100.0 %

Fast Bulk Insert	0.0	0.0	0	0.0 %
Minimally Logged				
APL Heap Table	0.0	0.0	0	0.0 %
APL Clustered Table	0.0	0.0	0	0.0 %
Data Only Lock Table	0.0	0.0	0	0.0 %
-----	-----	-----	-----	-----
Total Rows Inserted	1337.3	906.7	160479	100.0 %
Updates				
Total Rows Updated	0.0	0.0	0	n/a
-----	-----	-----	-----	-----
Total Rows Updated	0.0	0.0	0	0.0 %
Data Only Locked Updates				
Total Rows Updated	0.0	0.0	0	n/a
-----	-----	-----	-----	-----
Total DOL Rows Updated	0.0	0.0	0	0.0 %
Deletes				
Fully Logged				
APL Deferred	0.0	0.0	0	0.0 %
APL Direct	0.0	0.0	0	0.0 %
DOL	0.3	0.2	36	100.0 %
Minimally Logged				
APL Direct	0.0	0.0	0	0.0 %
DOL	0.0	0.0	0	0.0 %
-----	-----	-----	-----	-----
Total Rows Deleted	0.3	0.2	36	0.0 %
=====	=====	=====	=====	=====
Total Rows Affected	1337.6	906.9	160515	
=====	=====	=====	=====	=====

2.11.1 Transaction Summary

Transaction Summary reports committed transactions. Committed Xacts reports the number of transactions committed during the sample interval.

The transactions counted include implicit and explicit, chained (ANSI-style), or unchained:

- An implicit transaction executes data modification commands such as `insert`, `update`, or `delete`. If you do not specify a `begin transaction` statement, SAP ASE interprets every operation as a separate transaction; an explicit `commit transaction` statement is not required. For example, the following is counted as three transactions.

```
1> insert ...
2> go
1> insert ...
2> go
1> insert ...
2> go
```

- An explicit transaction encloses data modification commands within `begin transaction` and `commit transaction` statements and counts the number of transactions by the number of `commit` statements. For example, the following set of statements is counted as one transaction:

```
1> begin transaction
2> insert ...
3> insert ...
4> insert ...
5> commit transaction
6> go
```

- In the ANSI transaction model, any `select` or data modification command starts a transaction, but a `commit transaction` statement must complete the transaction. `sp_sysmon` counts the number of transactions by the number of `commit transaction` statements. For example, the following set of statements is counted as one transaction:

```
1> insert ...
2> insert ...
3> insert ...
4> commit transaction
5> go
```

If there were transactions that started before the sample interval began and completed during the interval, `Committed Xacts` reports a larger number of transactions than the number that started and completed during the sample interval. If transactions do not complete during the interval, “Total # of Xacts” does not include them. In the following figure, both T1 and T2 are counted, but T3 is not.

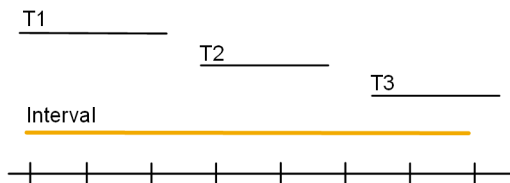


Figure 1: How transactions are counted

2.11.1.1 How to Count Multidatabase Transactions

Multidatabase transactions are also counted. For example, a transaction that modifies three databases counts as three transactions.

Multidatabase transactions incur more overhead than single database transactions: they require more log records and more user log cache (ULC) flushes, and they involve two-phase commit between the databases.

You can improve performance by reducing the number of multidatabase transactions whenever possible.

2.11.2 Transaction Detail

`Transaction Detail` provides statistical detail about data modification operations by type. The work performed by rolled back transactions is included in the output below, although the transaction is not counted in the number of transactions.

For the `Total Rows` for inserts, updates, and deletes, the “% of total” column reports the percentage of the transaction type as a percentage of all transactions.

See *How Update Operations are Performed in Performance and Tuning Series: Query Processing and Abstract Plans* for more information on deferred and direct inserts, updates, and deletes.

In the output for this section, APL indicates allpages-locked tables and DOL indicates data-only-locked tables.

2.11.3 Inserts

`Inserts` provides detailed information about the types of inserts taking place on heap tables (including partitioned heap tables), clustered tables, and all inserts as a percentage of all `insert`, `update`, and `delete` operations.

“Inserts” displays the number of inserts performed on:

- Allpages-locked heap tables
- Allpages-locked tables with clustered indexes
- Data-only locked tables

Insert statistics do not include fast bulk copy inserts, because those are written directly to the data pages and to disk without the normal insert and logging mechanisms.

2.11.3.1 Fully and Minimally Logged

`Fully` and `Minimally Logged` reports on `Fully Logged`, for fully logged operations, and `Minimally Logged`, for minimally logged operations. Occasionally items are in the `Fully Logged` subsection but not in the `Minimally Logged` because the item is not applicable to minimal logging.

2.11.3.1.1 APL Heap Tables

`APL Heap Tables` reports the number row inserts that took place on allpages-locked heap tables—all tables that do not have a clustered index.

This includes:

- Partitioned heap tables
- Unpartitioned heap tables
- Slow bulk copy inserts into heap tables
- `select into` commands
- Inserts into worktables. This is the most common case unless the application heavily uses APL tables without clustered indexes.

The “% of total” column shows the percentage of row inserts into heap tables as a percentage of the total number of inserts.

If there are a large number of inserts to heap tables, determine if these inserts are generating contention.

Check the `sp_sysmon` report for data on last page locks on heaps in *Lock Detail*. If there appears to be a contention problem, you can query the monitoring tables to determine which tables are involved.

In many cases, creating a clustered index that randomizes insert activity solves the performance problems for heaps. In other cases, you might need to establish partitions on an unpartitioned table or increase the number of partitions on a partitioned table.

Related Information

[Lock Detail \[page 86\]](#)

2.11.3.1.2 APL Clustered Table

`APL Clustered Table` reports the number row inserts to allpages-locked tables with clustered indexes. The “% of total” column shows the percentage of row inserts to tables with clustered indexes as a percentage of the total number of rows inserted.

Inserts into allpages-locked clustered tables can lead to page splitting.

SAP ASE may use worktables with clustered indexes to perform vector aggregates (for example, `group by`), although a hash-based algorithm is more common for SAP ASE release 15.0 and later.

Related Information

[RID Upd from Clust Split \[page 75\]](#)

[Page Splits \[page 75\]](#)

2.11.3.1.3 Data Only Lock Table

`Data Only Lock Table` reports the number inserts for all data-only-locked tables. The “% of total” column shows the percentage of inserts to data-only-locked tables as a percentage of all inserts.

2.11.3.1.4 Fast Bulk Insert

`Fast Bulk Insert` reports the number inserts by a partially-logged fast `bcp`.

2.11.3.2 Total Rows Inserted

`Total Rows Inserted` reports all row inserts to all tables.

It gives the average number of all inserts per second, the average number of all inserts per transaction, and the total number of inserts. “% of total” shows the percentage of rows inserted compared to the total number of rows affected by data modification operations.

2.11.4 Updates and Update Detail Sections

Updates has two sections: Updates and Data Only Locked Updates, and includes reports on Fully Logged for fully logged operations, and Minimally Logged for minimally logged operations.

Occasionally, items are in the Fully Logged subsection but not in the Minimally Logged because the item is not applicable to minimal logging.

2.11.4.1 Updates

Updates reports the number deferred and direct row updates. The “% of total” column reports the percentage of each type of update as a percentage of the total number of row updates.

sp_sysmon reports the following types of updates:

- APL Deferred
- APL Direct In-place
- APL Direct Cheap
- APL Direct Expensive
- DOL Deferred
- DOL Direct

“APL Direct Cheap” in the Fully Logged section indicates the number of fully logged all-pages-locked direct updates. “APL Direct Cheap” in the Minimally Logged section, refers to the number of minimally-logged all-pages-locked direct updates that occurred.

Direct updates incur less overhead than deferred updates and are generally faster because they limit the number of log scans, reduce locking, save traversal of index B-trees (reducing lock contention), and can save I/O because Adaptive Server does not have to prefetch pages to perform modification based on log records.

For a description of update types, see *Understanding Query Processing in Performance and Tuning Series: Query Processing and Abstract Plans*.

2.11.4.1.1 Total Rows Updated

Total Rows Updated reports all deferred and direct updates combined. The “% of total” columns shows the percentage of rows updated, based on all rows modified.

2.11.4.2 Data-Only-Locked Updates

Data-Only-Locked Updates reports more detail on updates to data-only-locked tables.

- DOL Replace – the update did not change the length of the row; some or all of the row was changed, resulting in the same row length.

- DOL Shrink – the update shortened the row, leaving noncontiguous empty space on the page to be collected during space reclamation.
- DOL Cheap Expand – the row grew in length; it was the last row on the page, so expanding the length of the row did not require moving other rows on the page.
- DOL Expensive Expand – the row grew in length and required movement of other rows on the page.
- DOL Expand and Forward – the row grew in length, and did not fit on the page. The row was forwarded to a new location.
- DOL Fwd Row Returned – the update affected a forwarded row; the row fit on the page at its original location and was returned to that page.

Includes reports on `Fully Logged`, for fully logged operations, and `Minimally Logged`, for minimally logged operations. Occasionally items are in the `Fully Logged` subsection but not in the `Minimally Logged` because the item is not applicable to minimal logging.

The total reported in “Total DOL Rows Updated” is not included in the “Total Rows Affected” sum at the end of the section, since the updates in this group provide a different breakdown of the updates already reported in “DOL Deferred” and “DOL Direct.”

2.11.5 Deletes

`Deletes` has two sections, `Fully` and `Minimally Logged` and `Total Rows Deleted`.

- `Fully` and `Minimally Logged` – reports on `Fully Logged`, for fully logged operations, and `Minimally Logged`, for minimally logged operations. Occasionally items are in the `Fully Logged` subsection but not in the `Minimally Logged` because the item is not applicable to minimal logging.
- `Total Rows Deleted` – reports all deferred and direct deletes combined. The “% of total” column reports the percentage of deleted rows as compared to all rows inserted, updated, or deleted.

2.12 Transaction Management

`Transaction Management` reports transaction management activities, including user log cache (ULC) flushes to transaction logs, ULC log records, ULC semaphore requests, log semaphore requests, transaction log writes, and transaction log allocations.

A sample output of `Transaction Management` looks like:

```

Transaction Management
-----
  ULC Flashes to Xact Log      per sec      per xact      count  % of total
-----
Any Logging Mode DMLs
  by End Transaction          1.6           1.1          189     1.0 %
  by Change of Database       0.0           0.0           4     0.0 %
  by Unpin                    0.0           0.0           2     0.0 %
  by Log markers              0.0           0.0           0     0.0 %
Fully Logged DMLs
  by Full ULC                 145.5         98.6        17458    95.9 %
  by Single Log Record        4.5           3.1           544     3.0 %
Minimally Logged DMLs
  
```


by Full ULC	0.0	0.0	0	0.0 %
by Single Log Record	0.0	0.0	0	0.0 %
by Start of Sub-Command	0.0	0.0	0	0.0 %
by End of Sub-Command	0.0	0.0	0	0.0 %

Total ULC Flushes	151.6	102.8	18197	
ULC Flushes Skipped	per sec	per xact	count	% of total

Fully Logged DMLs				
by ULC Discards	0.2	0.1	21	100 %
Minimally Logged DMLs				
by ULC Discards	0.0	0.0	0	0.0 %

Total ULC Flushes Skips	0.2	0.1	21	
ULC Log Records	per sec	per xact	count	% of total

Fully Logged DMLs	6662.3	4516.8	799476	100.0
Minimally Logged DMLs	0.0	0.0	0	0.0

Total ULC Log Records	6662.3	4516.8	799476	
Max ULC Size During Sample				

Fully Logged DMLs	n/a	n/a	16384	n/a
Minimally Logged DMLs	n/a	n/a	0	n/a
ML-DMLs Sub-Command Scans	per sec	per xact	count	% of total

Total Sub-Command Scans	0.0	0.0	0	n/a
ML-DMLs ULC Efficiency	per sec	per xact	count	% of total

Total ML-DML Sub-Commands	0.0	0.0	0	n/a
ULC Semaphore Requests				
Granted	13727.0	9306.4	1647239	100.0 %
Waited	0.0	0.0	0	0.0 %

Total ULC Semaphore Req	13727.0	9306.4	1647239	
Log Semaphore Requests				
Granted	184.0	124.7	22076	100.0 %
Local Waited	0.0	0.0	0	0.0 %
Global Waited	0.0	0.0	0	0.0 %

Total Log Semaphore Req	184.0	124.7	22076	
Transaction Log Writes	167.5	113.6	20102	n/a
Transaction Log Alloc	167.4	113.5	20092	n/a
Avg # Writes per Log Page	n/a	n/a	1.00050	n/a
Tuning Recommendations for Transaction Management				

- Consider increasing the 'user log cache size' configuration parameter.				

2.12.1 ULC Flushes to Xact Log

ULC Flushes to Xact Log reports the total number of times that user log caches (ULCs) were flushed to a transaction log.

The “% of total” column reports the percentage of times the type of flush took place, for each category, as a percentage of the total number of ULC flushes. This category can help you identify areas in the application that cause problems with ULC flushes.

There is one ULC for each configured user connection. SAP ASE uses ULCs to buffer transaction log records. On both SMP and single-processor systems, this helps reduce transaction log I/O. For SMP systems, it reduces the contention on the current page of the transaction log.

You can configure ULC size with the configuration parameter `user log cache size`.

See *Reference Manual: Configuration Parameters*.

ULC flushes are caused by the following activities:

- "by Full ULC" – a process's ULC becomes full.
- "by End Transaction" – a transaction ended (`rollback` or `commit`, either implicit or explicit).
- "by Change of Database" – a transaction modified an object in a different database (a multidatabase transaction).
- "by System Log Record" – a system transaction (such as an OAM page allocation) occurred within the user transaction.
- "by Unpin" – two transactions are trying to update the same page of a DOL table.
- "by Log markers" – there are no permanent log markers available.
- "by No Free Plcblock" – there are no plc blocks available.
- "by Start of Sub-Command" or "by End of Sub-Command" – the ULC needed to be flushed at the start or the end of a subcommand of a minimally logged DML.
- "by Other" – any other reason, including needing to write to disk.

When one of these activities causes a ULC flush, SAP ASE copies all log records from the user log cache to the database transaction log.

"Total ULC Flushes" reports the total number of all ULC flushes that took place during the sample interval.

2.12.1.1 Any Logging Mode DMLs

Any Logging Mode DMLs includes five subcategories.

Subcategory	Description
<code>by End Transaction</code>	A high value for "by End Transaction" indicates a healthy number of short, simple transactions.
<code>by Change of Database</code>	The ULC is flushed every time there is a database change. If this value is high, consider decreasing the size of the ULC if it is greater than 2K.

Subcategory	Description
by Unpin	<p>“Unpinning” occurs when two transactions attempt to update the same data page, causing the second transaction to flush the first transaction’s user log cache, unpinning the data page from the first transaction’s ULC.</p> <p>SAP ASE typically uses row-level locking for increased concurrency, since both transactions are trying to update the same page.</p> <p>Unpinning occurs when:</p> <ol style="list-style-type: none"> Transaction T1 updates row R1. Adaptive Server logs the update in P1’s ULC, ensuring that this data page is pinned to T1’s ULC. When T2 tries to update a different row on page P1, it finds that this page is pinned to T1’s ULC. To update the page, T2 flushes T1’s ULC, unpinning the data page. <p>To reduce the number of unpins:</p> <ul style="list-style-type: none"> If possible, redesign the application so that it directly requests different data pages. If row locking is inevitable, spread the data to more data pages with <code>sp_chgattribute max_rows_per_page</code>.
by Log markers	<p>A high value for <code>by Log markers</code> indicates that SAP ASE is flushing the ULCs because of permanent log marker scans (a permanent log marker scan indicate that <code>syslogs</code> includes the log record). SAP ASE uses the log records for operations like executing triggers, rollbacks, aborts, and so on. When SAP ASE needs a permanent log marker but does not have one, it flushes the ULC to create a new log marker.</p> <p>When <code>by Log markers</code> displays a large percentage of ULC flushes, you may need to reduce the number of unnecessary or redundant triggers, rollbacks, or aborts.</p>
by No Free Plcblock	<p>A high value for <code>by No Free Plcblock</code> indicates that all plc blocks of the current transaction’s ULC are already queued in the global queue due to buffer unpinning. Since no additional free plc blocks are available in the current ULC, plc blocks from the global queue must be flushed to <code>syslogs</code> until a plc block from the current ULC is freed. <code>by No Free Plcblock</code> indicates the number of these flushes that occurred.</p> <p>Increase the value for the <code>user log cache size</code> configuration parameter when <code>by No Free Plcblock</code> is a high value.</p>
by System Log Record and By Other	<p>If either of these values is higher than approximately 20 percent, and the size of your ULC is more than 2048, consider reducing the ULC size.</p> <p>Check sections of your <code>sp_sysmon</code> report that relate to log activity:</p> <ul style="list-style-type: none"> Contention for semaphore on the user log caches (SMP only); see <i>ULC Semaphore Requests</i> Contention for the log semaphore. (SMP only); see <i>ULC Semaphore Requests</i> The number of transaction log writes; see <i>Transaction Log Writes</i>

Related Information

[ULC Semaphore Requests \[page 71\]](#)

[Log Semaphore Requests \[page 71\]](#)

[Transaction Log Writes \[page 72\]](#)

2.12.1.2 Fully Logged DMLs

Fully Logged DMLs includes two subcategories: `by Full ULC` and `by Single Log Record`.

- `by Full ULC` – a high value for `by Full ULC` indicates that SAP ASE is flushing the ULCs more than once per transaction, negating some performance benefits of user log caches. If the “% of total” value for `by Full ULC` is greater than 20 percent, consider increasing the size of the user log cache size parameter.
Increasing the ULC size increases the amount of memory required for each user connection, so you do not want to configure the ULC size to suit a small percentage of large transactions.
- `by Single Log Record` – single log records cannot live in the ULC, and therefore must cause a ULC flush.

2.12.1.3 Minimally Logged DMLs

If the values of any of the `ULC Flushes to Xact Log` for Minimally Logged DMLs are high, the ULC cannot fully contain the log records for each subcommand that makes up the minimally logged DML. In this case, SAP ASE performance does not fully benefit from minimal logging because the log records cannot be discarded.

Increase the size of the `user log cache size` configuration parameter, then re-run `sp_sysmon` to see if the values for `ULC Flushes to Xact Log` have decreased. A smaller number should indicate that the ULC no longer needs to be flushed to `syslogs`.

- `by Full ULC` – indicates that a single subcommand of a minimally-logged DML has logged more log records than can be accommodated within the ULC and must be flushed to `syslogs`. Minimal logging is only effective if all the log records of a subcommand can be logged within a single ULC. When the subcommand completes and all its log records fit in the ULC, the log records are discarded and are not flushed to `syslogs`.
- `by Single Log Record` – single log records cannot live in the ULC, and therefore must cause a ULC flush.
- `by Start of Sub-Command` – log records from a fully-logged command executed in the same transaction as a minimally logged DML had to be flushed from the ULC to `syslogs` before the minimally logged DML was able to start.
- `by End of Sub-Command` – a single sub-command of a minimally logged DML had to be logged because of a previously full ULC, single log record, and so on. The ULC required flushing at the end of the sub-command to preserve all log records of the subcommand.

2.12.2 Total ULC Flushes

`Total ULC Flushes` reports the total number of ULC flushes during the sample interval.

2.12.3 ULC Flushes Skipped

`ULC Flushes Skipped` reports the number user log cache flushes that SAP ASE skips.

- `Fully Logged DMLs` – If all log records for the transaction fit in the ULC when you execute fully logged DMLs, Adaptive Server can discard all log records. Higher values for `Fully Logged DMLs` indicate better performance.
- `Minimally Logged DMLs` – reports the number times the log records in the ULC could be discarded because all log records for a subcommand of the minimally logged DML fit in the ULC. Higher values for `Minimally Logged DMLs` indicate better performance.
- `Total ULC Flushes Skips` – reports all user log cache flushes combined. The total is per column.

2.12.4 ULC Log Records

`ULC Log Records` provides an average number of log records per transaction. It is useful in benchmarking or in controlled development environments to determine the number of log records written to ULCs per transaction.

Many transactions, such as those that affect several indexes or deferred updates or deletes, require several log records for a single data modification. Queries that modify a large number of rows use one or more records for each row.

If this data is unusual, study the data in the next section, and look at your application for long-running transactions and for transactions that modify large numbers of rows.

- `Fully Logged DMLs` – indicates the number of log records per transaction from fully logged DMLs.
- `Minimally Logged DMLs` – indicates the number of log records per transaction from minimally logged DMLs.
- `Total ULC Log Records` – reports all user log cache log records combined. The total is per column.

Related Information

[Max ULC Size During Sample \[page 70\]](#)

2.12.5 Max ULC Size During Sample

`Max ULC Size During Sample` reports the value in the “count” column is the maximum number of bytes used in any ULC, across all ULCs. This data can help you determine if ULC size is correctly configured.

Since SAP ASE flushes the ULC when a transaction completes, any unused memory allocated to the ULCs is wasted. If the value in the “count” column is consistently less than the defined value for the `user log cache size` configuration parameter, reduce `user log cache size` to the value in the “count” column (but no smaller than 2048 bytes).

When `Max ULC Size During Sample` equals the user log cache size, check the number of flushes due to transactions that fill the user log cache (see the information for `by Full ULC` in *Minimally Logged DMLs*). If the number of times that logs were flushed due to a full ULC is more than 20 percent, consider increasing the `user log cache size` configuration parameter.

See *Reference Manual: Configuration Parameters*.

- `Fully Logged DMLs` – reports the maximum number of bytes used for any user log cache for fully logged DMLs.
- `Minimally Logged DMLs` – reports the maximum number of bytes used for any user log cache for minimally logged DMLs.

Related Information

[Minimally Logged DMLs \[page 68\]](#)

2.12.6 ML-DMLs Sub-Command Scans

When executing some minimally logged commands, SAP ASE must scan the log records for subcommands. The value for `ML-DMLs Sub-Command Scans` refers to the number of scans SAP ASE performed.

- `ULC scans` – the scan could be performed entirely within the ULC because it contained all log records to be scanned.
- `syslogs Scans` – the scan had to be performed using `syslogs` because the ULC no longer contained the log records to be scanned.
- `Total Sub-Command Scans` – totals the number of ULC and `syslogs` scans.

2.12.7 ML-DMLs ULC Efficiency

`ML-DMLs ULC Efficiency` includes `Discarded Sub-Commands` and `Logged Sub-Commands`.

- `Discarded Sub-Commands` – reports the number times SAP ASE could discard the log records from a subcommand of a minimally logged DML (that is, the log records were not flushed from the ULC to `syslogs`).

The higher the number for `Discarded Sub-Commands`, the more efficiently Adaptive Server is performing minimally logged DMLs because the amount of logging is minimized.

- `Logged Sub-Commands` – reports the number times Adaptive Server could not discard the log records from a subcommand of a minimally logged DML (that is, the log records in the ULC needed to be flushed to `syslogs`).

2.12.8 Total ML-DML Sub-Commands

Total for `Discarded Sub-Commands` and `Logged Sub-Commands`.

2.12.9 ULC Semaphore Requests

`ULC Semaphore Requests` reports the number times a user task was immediately granted a semaphore or had to wait for it. “% of total” shows the percentage of tasks granted semaphores and the percentage of tasks that waited for semaphores as a percentage of the total number of ULC semaphore requests. This is relevant only in SMP environments.

`ULC Semaphore Requests` provides the following information:

- `Granted` – the number of times a task was granted a ULC semaphore immediately upon request. There was no contention for the ULC.
- `Local Waited` – the number of times a task tried to write to ULCs and encountered semaphore contention.
- `Total ULC Semaphore Requests` – the total number of ULC semaphore requests that took place during the interval, including requests that were granted or had to wait.

2.12.10 Log Semaphore Requests

`Log Semaphore Requests` reports of contention for the log semaphore that protects the current page of the transaction log in cache. This data is meaningful for SMP environments only.

This category provides the following information:

- `Granted` – number of times a task was granted the log semaphore immediately after it was requested. “% of total” reports the percentage of immediately granted requests as a percentage of the total number of log semaphore requests.
- `Local Waited` – number of times a task that tried to flush its ULC had to wait for the log semaphore because it was already acquired by another task. “% of total” reports the percentage of tasks that had to wait for a log semaphore as a percentage of the total number of log semaphore requests.
- `Global Waited` – number of times in a a shared disk cluster that a task that tried to flush its ULC had to wait for the log semaphore because another task had already acquired it on this or another node.
- `Total Log Semaphore Requests` – total number of times tasks requested a log semaphore, including those granted immediately and those for which the task had to wait.

2.12.11 Transaction Log Writes

`Transaction Log Writes` reports the total number of times Adaptive Server wrote a transaction log page to disk. Transaction log pages are written to disk when a transaction commits (after a wait for a group commit sleep) or when the current log pages become full.

2.12.12 Transaction Log Allocations

`Transaction Log Allocations` reports the number times additional pages were allocated to the transaction log. This data is useful for comparing to other data in this section and for tracking the rate of transaction log growth.

2.12.13 Avg # Writes per Log Page

`Avg # Writes per Log Page` reports the average number of times each log page was written to disk. The value is reported in the "count" column.

In high throughput applications, this number should be as low as possible. If the transaction log uses 2K I/O, the lowest possible value is 1; with 4K log I/O, the lowest possible value is .5, since one log I/O can write 2 log pages.

In low throughput applications, the number will be significantly higher. In very low throughput environments, it may be as high as one write per completed transaction.

2.12.14 Tuning Recommendations for Transaction Management

`sp_sysmon` describes any tuning it recommends based on the results of the `Transaction Management` section.

2.13 Index Management

`Index Management` reports index management activity, including nonclustered maintenance, page splits, and index shrinks.

A sample output of `Index Management` looks like:

```
Index Management
-----
Nonclustered Maintenance      per sec      per xact      count      % of total
```


Ins/Upd Requiring Maint	1996.3	25.3	1778674	n/a
# of NC Ndx Maint	694.9	8.8	619122	n/a
Avg NC Ndx Maint / Op	n/a	n/a	0.34808	n/a
Deletes Requiring Maint	1922.5	24.3	1712946	n/a
# of NC Ndx Maint	621.1	7.9	553387	n/a
Avg NC Ndx Maint / Op	n/a	n/a	0.32306	n/a
RID Upd from Clust Split	0.0	0.0	0	n/a
# of NC Ndx Maint	0.0	0.0	0	n/a
Upd/Del DOL Req Maint	9.0	0.1	7989	n/a
# of DOL Ndx Maint	9.2	0.1	8238	n/a
Avg DOL Ndx Maint / Op	n/a	n/a	1.03117	n/a
Page Splits	11.7	0.1	10452	n/a
Retries	0.0	0.0	0	0.0 %
Deadlocks	0.0	0.0	0	0.0 %
Add Index Level	0.0	0.0	0	0.0 %
Page Shrinks	0.1	0.0	124	n/a
Deadlocks	%			
Deadlock Retries Exceeded	0.0	0.0	0	0.0 %
Index Scans	per sec	per xact	count	% of total
Ascending Scans	69751.6	883.1	62148709	29.3 %
DOL Ascending Scans	168653.5	2135.3	150270303	70.7 %
Descending Scans	8.8	0.1	7884	0.0 %
DOL Descending Scans	23.9	0.3	21271	0.0 %
Total Scans	238437.9	3018.8	212448167	

2.13.1 Nonclustered Maintenance

Nonclustered Maintenance reports the number of operations that required, or potentially required, maintenance to one or more indexes; that is, it reports the number of operations for which SAP ASE had to at least check to determine whether it was necessary to update the index. The output also gives the number of indexes that were updated and the average number of indexes maintained per operation.

In tables with clustered indexes and one or more nonclustered indexes, all inserts, all deletes, some update operations, and any data page splits require changes to the nonclustered indexes. High values for index maintenance indicate that you should assess the impact of maintaining indexes on SAP ASE server performance. While indexes speed the retrieval of data, maintaining indexes slows data modification. Maintenance requires additional processing, additional I/O, and additional locking of index pages.

Other `sp_sysmon` output that is relevant to assessing nonclustered indexes is:

- Information on total updates, inserts and deletes, and the number and type of page splits. See *Transaction Detail*, and *Page Splits*.
- Information on lock contention. See *Lock Detail*.
- Information on address lock contention. See *Address Lock Contention* and *Exclusive Address and Shared Address*.

For example, you can compare the number of inserts that took place with the number of maintenance operations that resulted. If a relatively high number of maintenance operations, page splits, and retries occurred, consider the usefulness of indexes in your applications.

See *Indexes in Performance and Tuning Series: Locking and Concurrency Control* for more information.

Related Information

[Transaction Detail \[page 60\]](#)

[Page Splits \[page 75\]](#)

[Lock Detail \[page 86\]](#)

[Address Lock Contention \[page 46\]](#)

[Exclusive Address and Shared Address \[page 87\]](#)

2.13.1.1 Ins/Upd Requiring Maint

The data in the `Ins/Upd Requiring Maint` section gives information about how insert and update operations affect indexes on allpages-locked tables.

For example, an insert to a clustered table with three nonclustered indexes requires updates to all three indexes, so the average number of operations that resulted in maintenance to nonclustered indexes is three.

However, an update to the same table may require only one maintenance operation—to the index for which key value was changed.

- `Ins/Upd Requiring Maint` – reports the number of insert and update operations to a table with indexes that potentially required modifications to one or more indexes.
- `# of NC Ndx Maint` – reports the number of nonclustered indexes that required maintenance as a result of insert and update operations.
- `Avg NC Ndx Maint/Op` – reports the average number of nonclustered indexes per insert or update operation that required maintenance.

For data-only-locked tables, inserts are reported in `Ins/Upd Requiring Maint`, and deletes and inserts are reported in `Upd/Del DOL Req Maint`.

2.13.1.2 Deletes Requiring Maintenance

The data in the `Deletes Requiring Maintenance` section gives information about how delete operations affected indexes on allpages-locked tables.

- `Deletes Requiring Maint` – reports the number of delete operations that potentially required modification to one or more indexes.
- `# of NC Ndx Maint` – reports the number of nonclustered indexes that required maintenance as a result of delete operations.
- `Avg NC Ndx Maint/Op` – reports the average number of nonclustered indexes per delete operation that required maintenance.

Related Information

[Deletes \[page 64\]](#)

2.13.1.3 RID Upd from Clust Split

`RID Upd from Clust Split` reports index maintenance activity caused by page splits in allpages-locked tables with clustered indexes.

These splits require updating the nonclustered indexes for all of the rows that move to the new data page.

- `RID Upd from Clust Split` – reports the total number of page splits that required maintenance of a nonclustered index.
- `# of NC Ndx Maint` – reports the number of nonclustered rows that required maintenance as a result of row ID update operations.
- `Avg NC Ndx Maint/Op` – reports the average number of nonclustered indexes entries that were updated for each page split.

2.13.1.4 Upd/Del DOL Req Maint

The data in the `Upd/Del DOL Req Maint` section gives information about how updates and deletes affected indexes on data-only-locked tables.

- `Upd/Del DOL Req Maint` reports the number of update and delete operations that potentially required modification to one or more indexes.
- `# of DOL Ndx Main` reports the number of indexes that required maintenance as a result of update or delete operations.
- `Avg DOL Ndx Maint/Op` reports the average number of indexes per update or delete operation that required maintenance.

2.13.2 Page Splits

`Page Splits` reports the number page splits for data pages, clustered index pages, or nonclustered index pages that occurred because there was not enough room for a new row.

When a data row is inserted into an allpages-locked table with a clustered index, the row must be placed in physical order according to the key value. Index rows must also be placed in physical order on the pages. If there is not enough room on a page for a new row, the SAP ASE server splits the page, allocates a new page, and moves some rows to the new page. Page splitting incurs overhead because it updates the parent index page and the page pointers on the adjoining pages and adds lock contention. For clustered indexes, page splitting also updates all nonclustered indexes that point to the rows on the new page.

See *Indexes in Performance and Tuning Series: Locking and Concurrency Control* for more information.

2.13.2.1 Reduce Page Splits for Ascending Key Inserts

If `Page Splits` is high and your application is inserting values into an allpages-locked table with a clustered index on a compound key, you may be able to reduce the number of page splits through a special optimization that changes the page split point for these indexes.

The special optimization is designed to reduce page splitting and to result in more completely filled data pages. This affects only clustered indexes with compound keys, where the first key is already in use in the table, and the second column is based on an increasing value.

2.13.2.2 Default Data Page Splitting

Figures explain how default data page splitting works.

The table `sales` has a clustered index on `store_id, customer_id`. There are three stores (A, B, and C). Each store adds customer records in ascending numerical order. The table contains rows for the key values A,1; A,2; A,3; B,1; B,2; C,1; C,2; and C,3, and each page holds four rows, as shown in the figure:

Page 1007			Page 1009		
A	1	...	B	2	...
A	2	...	C	1	...
A	3	...	C	2	...
B	1	...	C	3	...

Using the normal page-splitting mechanism, inserting "A,4" results in allocating a new page and moving half of the rows to it, and inserting the new row in place, as shown in the figure:

Page 1007			Page 1129			Page 1009		
A	1	...	A	3	...	B	2	...
A	2	...	A	4	...	C	1	...
			B	1	...	C	2	...
						C	3	...

When "A,5" is inserted, no split is needed, but when "A,6" is inserted, another split takes place, as shown in the figure:

Page 1007			Page 1129			Page 1134			Page 1009		
A	1	...	A	3	...	A	5	...	B	2	...
A	2	...	A	4	...	A	6	...	C	1	...
						B	1	...	C	2	...
									C	3	...

Adding "A,7" and "A,8" results in yet another page split, as shown in the figure:

Page 1007			Page 1129			Page 1134			Page 1037			Page 1009		
A	1	...	A	3	...	A	5	...	A	7	...	B	2	...
A	2	...	A	4	...	A	6	...	A	8	...	C	1	...
									B	1	...	C	2	...
												C	3	...

For more information about data page splits, see *How Indexes Work* in the *Performance and Tuning: Basics*

2.13.2.3 Effects of Ascending Inserts

You can set ascending inserts mode for a table, so that pages are split at the point of the inserted row rather than in the middle of the page.

Starting from the original table shown in *Clustered Table Before Inserts*, the insertion of "A,4" results in a split at the insertion point, with the remaining rows on the page moving to a newly allocated page, as shown in the figure:

Page 1007			Page 1129			Page 1009		
A	1	...	B	1	...	B	2	...
A	2	...				C	1	...
A	3	...				C	2	...
A	4	...				C	3	...

Inserting "A,5" causes a new page to be allocated, as shown in the figure:

Page 1007			Page 1134			Page 1129			Page 1009		
A	1	...	A	5	...	B	1	...	B	2	...
A	2	...							C	1	...
A	3	...							C	2	...
A	4	...							C	3	...

Adding "A,6", "A,7", and "A,8" fills the new page, as shown in the figure:

Page 1007			Page 1134			Page 1129			Page 1009		
A	1	...	A	5	...	B	1	...	B	2	...
A	2	...	A	6	...				C	1	...
A	3	...	A	7	...				C	2	...
A	4	...	A	8	...				C	3	...

2.13.2.4 Setting Ascending Inserts Mode for a Table

Use these commands to update the `status2` bit of `sysindexes`.

Context

If tables sometimes experience random inserts and have more ordered inserts during batch jobs, enable `dbcc tune (ascinserts)` only for the period during which the batch job runs.

Procedure

- To turn on ascending insert mode for the `sales` table, use:

```
dbcc tune (ascinserts, 1, "sales")
```

- To turn ascending insert mode off, use:

```
dbcc tune (ascinserts, 0, "sales")
```

2.13.2.5 Retries and Deadlocks

`Retries and Deadlocks` reports the number index page splits and shrinks that resulted in deadlocks. SAP ASE has a mechanism called deadlock retries that attempts to avoid transaction rollbacks caused by index page deadlocks. "Retries" reports the number of times the SAP ASE server used this mechanism.

Deadlocks on index pages take place when each of two transactions needs to acquire locks held by the other transaction. On data pages, deadlocks result in choosing one process (the one with the least accumulated CPU time) as a deadlock victim and rolling back the process.

By the time an index deadlock takes place, the transaction has already updated the data page and is holding data page locks, so rolling back the transaction causes overhead.

In a large percentage of index deadlocks caused by page splits and shrinks, both transactions can succeed by dropping one set of index locks, and restarting the index scan. The index locks for one of the processes are released (locks on the data pages are still held), and Adaptive Server tries the index scan again, traversing the index from the root page of the index.

Usually, by the time the scan reaches the index page that needs to be split, the other transaction has completed, and no deadlock takes place. By default, any index deadlock that is due to a page split or shrink is retried up to five times before the transaction is considered deadlocked and is rolled back.

For information on changing the default value for the number of deadlock retries with `sp_configure "deadlock retries"`, see *Reference Manual: Configuration Parameters*.

The deadlock retries mechanism causes the locks on data pages to be held slightly longer than usual and causes increased locking and overhead. However, the mechanism reduces the number of transactions that are rolled back due to deadlocks. The default setting provides a reasonable compromise between the overhead of holding data page locks longer and the overhead of rolling back transactions that have to be reissued.

A high number of index deadlocks and deadlock retries indicates high contention in a small area of the index B-tree.

If your application encounters a high number of deadlock retries, reduce page splits using `fillfactor` when you re-create the index.

See *Indexes* in *Performance and Tuning Series: Locking and Concurrency Control* for more information.

2.13.2.6 Add Index Level

`Add Index Level` reports the number times a new index level was added.

This happens infrequently, so expect to see result values of 0 most of the time. The count could have a value of 1 or 2 if your sample includes inserts into an empty table or into a small table with indexes.

2.13.3 Page Shrinks

`Page Shrinks` report the number times that deleting index rows caused the index to shrink off a page.

Shrinks incur overhead due to locking in the index and the need to update pointers on adjacent pages. Repeated “count” values greater than 0 indicate there may be many pages in the index with fairly small numbers of rows per page due to delete and update operations. If there are a high number of shrinks, consider rebuilding the indexes.

2.13.4 Index Scans

`Index Scans` report forward and backward scans by lock scheme.

- Ascending Scans – reports the number of forward scans on allpages-locked tables.
- DOL Ascending Scans – reports the number of forward scans on data-only-locked tables.
- Descending Scans – reports the number of backward scans on allpages-locked tables.
- DOL Descending Scans – reports the number of backward scans on data-only-locked tables.

For more information on forward and backward scans, see *Indexes in Performance and Tuning Series: Locking and Concurrency Control*.

2.14 Metadata Cache Management

`Metadata Cache Management` reports the use of the metadata caches that store information about the three types of metadata: objects, indexes, and databases.

`Metadata Cache Management` also reports the number of object, index, and database descriptors that were active during the sample interval, and the maximum number of descriptors used since the server was last started. It also reports spinlock contention for the object and index metadata caches.

A sample output for `Metadata Cache Management` looks like:

Metadata Cache Management				

Metadata Cache Summary	per sec	per xact	count	% of total
-----	-----	-----	-----	-----
Open Object Usage				
Active	n/a	n/a	4604	n/a

Max Ever Used Since Boot	n/a	n/a	4612	n/a
Free	n/a	n/a	25396	n/a
Reuse Requests				
Succeeded	n/a	n/a	0	n/a
Failed	n/a	n/a	0	n/a
Open Index Usage				
Active	n/a	n/a	2747	n/a
Max Ever Used Since Boot	n/a	n/a	2753	n/a
Free	n/a	n/a	2253	n/a
Reuse Requests				
Succeeded	n/a	n/a	0	n/a
Failed	n/a	n/a	0	n/a
Open Partition Usage				
Active	n/a	n/a	2747	n/a
Max Ever Used Since Boot	n/a	n/a	2753	n/a
Free	n/a	n/a	2253	n/a
Reuse Requests				
Succeeded	n/a	n/a	0	n/a
Failed	n/a	n/a	0	n/a
Open Database Usage				
Active	n/a	n/a	32	n/a
Max Ever Used Since Boot	n/a	n/a	32	n/a
Free	n/a	n/a	18	n/a
Reuse Requests				
Succeeded	n/a	n/a	0	n/a
Failed	n/a	n/a	0	n/a
Object Manager Spinlock Contention	n/a	n/a	n/a	1.3 %
Object Spinlock Contention	n/a	n/a	n/a	0.0
Index Spinlock Contention	n/a	n/a	n/a	0.0 %
Index Hash Spinlock Contention	n/a	n/a	n/a	0.0
Partition Spinlock Contention	n/a	n/a	n/a	0.0 %
Partition Hash Spinlock Contention	n/a	n/a	n/a	0.0 %

2.14.1 Open Object, Index, Partition, and Database Usage

Each of these sections contains the same information for the three types of metadata caches.

The output provides this information:

- `Active` – reports the number of objects, indexes, or databases that were active during the sample interval.
- `Max Ever Used Since Boot` – reports the maximum number of descriptors used since the last restart of an SAP ASE server.
- `Free` – reports the number of free descriptors in the cache.
- `Reuse Requests` – reports the number of times that the cache had to be searched for reusable descriptors:
 - “Failed” means that all descriptors in cache were in use and that the client issuing the request received an error message.
 - “Succeeded” means the request found a reusable descriptor in cache. Even though “Succeeded” means that the client did not get an error message, Adaptive Server is doing extra work to locate reusable descriptors in the cache and to read metadata information from disk.

You can use this information to set the configuration parameters `number of open indexes`, `number of open objects`, and `number of open databases`:

<code>sp_sysmon</code> Output	Action
Large number of "Free" descriptors	Set parameter lower
Very few "Free" descriptors	Set parameter higher
"Reuse Requests Succeeded" nonzero	Set parameter higher
"Reuse Requests Failed" nonzero	Set parameter higher

2.14.2 Descriptors Immediately Discarded

`Descriptors Immediately Discarded` reports the number all descriptors immediately discarded.

A number of configuration parameters determine the number of descriptors an SAP ASE server uses (for example, `o/s file descriptors` and `txn to pss ratio`).

See *Reference Manual: Configuration Parameters*.

2.14.3 Object Manager Spinlock Contention

`Object Manager Spinlock Contention` is a server-wide spinlock used to manage internal states of the object descriptor.

If the contention on this spinlock is greater than 10 percent, use `dbcc tune(des_bind)` to reduce contention and improve the server's scalability. See `dbcc tune(des_bind)` in the *Adaptive Server Enterprise Reference Manual*.

2.14.4 Object and Index Spinlock Contention

`Object and Index Spinlock Contention` reports on spinlock contention on the object descriptor and index descriptor caches.

Use this information to tune the configuration parameters `open object spinlock ratio` and `open index spinlock ratio`. If the reported contention is more than 3 percent, decrease the value of the corresponding parameter to lower the number of objects or indexes protected by a single spinlock.

2.14.5 Index Hash Spinlock Contention

`Index Hash Spinlock Contention` reports contention for the spinlock on the index metadata cache hash table. You can use this information to tune the `open index hash spinlock ratio` configuration parameter. If the reported contention is greater than 3 percent, decrease the value of the parameter.

2.14.5.1 Using `sp_monitorconfig` to Find Metadata Cache Usage Statistics

`sp_monitorconfig` displays metadata cache usage statistics on certain shared server resources.

`sp_monitorconfig` includes:

- The number of databases, objects, and indexes that can be open at any one time
- The number of auxiliary scan descriptors used by referential integrity queries
- The number of free and active descriptors
- The percentage of active descriptors
- The maximum number of descriptors used since the server was last started
- The current size of the procedure cache and the amount actually used

For example, suppose the `number of open indexes` configuration parameter is 500. During a peak period, you can run `sp_monitorconfig` to get an accurate reading of the actual metadata cache usage for index descriptors:

```
1> sp_monitorconfig "number of open indexes"
```

```
Usage information at date and time: Apr 22 2002  2:49PM.
Name                               Num_free  Num_active  Pct_act  Max_Used
Reuse_cnt   Instance_Name
-----
number of open          217         283      56.60     300
0                               NULL
```

In this report, the maximum number of open indexes used since the server was last started is 300, even though an SAP ASE server is configured for 500. Therefore, you can reset the `number of open indexes` configuration parameter to 330, to accommodate the 300 maximum used index descriptors, plus space for 10 percent more.

You can also determine the current size of the procedure cache with `sp_monitorconfig 'procedure cache size,'` which describes the amount of space in the procedure cache and the most space it has ever actually used. For example, the procedure cache in the following server is configured for 20,000 pages:

```
1> sp_configure "procedure cache size"
```

```
option_name                       config_value  run_value
-----
procedure cache size                20000        3271
```

However, when you run `sp_monitorconfig "procedure cache size"`, you find that the most the procedure cache has ever used is 14241 pages, which means you can save memory by lowering the run value of the procedure cache:

```
1> sp_monitorconfig "procedure cache size"
```

```
Usage information at date and time: Apr 22 2002 2:49PM.
Name           Num_free   Num_active  Pct_act   Max_Used
  Reuse_cnt   Instance_Name
-----
procedure cache      5878      14122     70.61     14241
                   0          NULL
```

2.14.6 Partition Spinlock Contention

Partition Spinlock Contention indicates the amount of spinlock contention for partitions.

Reduce partition spinlock contention by dividing the cache into additional partitions.

See *Memory User and Performance* in the *System Administration Guide, Volume 2* and *Locking Configuration and Tuning* in the *Performance and Tuning Series: Locking and Concurrency Control*.

2.14.7 Partition Hash Spinlock Contention

Partition Hash Spinlock Contention indicates the amount of hash spinlock contention for partitions.

See *Memory User and Performance* in the *System Administration Guide, Volume 2* and *Locking Configuration and Tuning* in the *Performance and Tuning Series: Locking and Concurrency Control*.

2.15 Lock Management

Lock Management reports locks, deadlocks, lock promotions, and lock contention.

A sample output for Lock Management looks like:

```
Lock Management
-----
Lock Summary           per sec      per xact      count      % of total
-----
Total Lock Requests    279236.5      6878.6      167541893      n/a
Avg Lock Contention    1.2           0.0          691           0.0 %
Cluster Locks Retained 0.0           0.0           0             0.0 %
Deadlock Percentage    0.0           0.0           0             0.0 %
Lock Detail           per sec      per xact      count      % of total
-----
Table Lock Hashtable
Lookups                9571.4        235.8        5742818        n/a
```

Avg Chain Length	n/a	n/a	0.00981	n/a
Spinlock Contention	n/a	n/a	n/a	0.2 %
Exclusive Table				
Granted	14.3	0.4	8580	100.0 %
Waited	0.0	0.0	0	0.0 %
-----	-----	-----	-----	-----
Total EX-Table Requests	14.3	0.4	8580	0.0 %
Shared Table				
Total SH-Table Requests	0.0	0.0	0	n/a
Exclusive Intent				
Granted	139.7	3.4	83793	100.0 %
Waited	0.0	0.0	0	0.0 %
-----	-----	-----	-----	-----
Total EX-Intent Requests	139.7	3.4	83793	0.1 %
Shared Intent				
Granted	9412.9	231.9	5647759	100.0 %
Waited	0.0	0.0	0	0.0 %
-----	-----	-----	-----	-----
Total SH-Intent Requests	9412.9	231.9	5647759	3.4 %
Page & Row Lock HashTable				
Lookups	173637.2	4277.3	104182335	n/a
Avg Chain Length	n/a	n/a	0.01387	n/a
Spinlock Contention	n/a	n/a	n/a	0.4 %
Exclusive Page				
Granted	306.5	7.6	183911	100.0 %
Waited	0.0	0.0	2	0.0 %
-----	-----	-----	-----	-----
Total EX-Page Requests	306.5	7.6	183913	0.1 %
Update Page				
Granted	19052.6	469.3	11431583	100.0 %
Waited	0.1	0.0	60	0.0 %
-----	-----	-----	-----	-----
Total UP-Page Requests	19052.7	469.3	11431643	6.8 %
Shared Page				
Granted	63587.2	1566.4	38152347	100.0 %
Waited	0.0	0.0	0	0.0 %
-----	-----	-----	-----	-----
Total SH-Page Requests	63587.2	1566.4	38152347	22.8 %
Exclusive Row				
Granted	33.9	0.8	20326	100.0 %
Waited	0.0	0.0	0	0.0 %
-----	-----	-----	-----	-----
Total EX-Row Requests	33.9	0.8	20326	0.0 %
Update Row				
Granted	10.1	0.2	6030	98.2 %
Waited	0.2	0.0	108	1.8 %
-----	-----	-----	-----	-----
Total UP-Row Requests	10.2	0.3	6138	0.0 %
Shared Row				
Granted	88431.3	2178.4	53058781	100.0 %
Waited	0.0	0.0	0	0.0 %
-----	-----	-----	-----	-----
Total SH-Row Requests	88431.3	2178.4	53058781	31.7 %
Next-Key				
Total Next-Key Requests	0.0	0.0	0	n/a
Address Lock Hashtable				
Lookups	98247.7	2420.2	58948613	n/a
Avg Chain Length	n/a	n/a	0.00019	n/a
Spinlock Contention	n/a	n/a	n/a	0.5 %
Exclusive Address				
Granted	2758.9	68.0	1655359	100.0 %
Waited	0.6	0.0	380	0.0 %
-----	-----	-----	-----	-----
Total EX-Address Requests	2759.6	68.0	1655739	1.0 %
Shared Address				
Granted	95487.9	2352.2	57292733	100.0 %
Waited	0.2	0.0	141	0.0 %
-----	-----	-----	-----	-----

Total SH-Address Requests	95488.1	2352.2	57292874	34.2 %
Last Page Locks on Heaps				
Granted	799.4	19.7	479637	100.0 %
Waited	0.0	0.0	0	0.0 %
-----	-----	-----	-----	-----
Total Last Pg Locks	799.4	19.7	479637	100.0 %
Deadlocks by Lock Type	per sec	per xact	count	% of total
-----	-----	-----	-----	-----
Total Deadlocks	0.0	0.0	0	n/a
Deadlock Detection				
Deadlock Searches	0.0	0.0	19	n/a
Searches Skipped	0.0	0.0	0	0.0 %
Avg Deadlocks per Search	n/a	n/a	0.00000	n/a
Lock Promotions				
Total Lock Promotions	0.0	0.0	0	n/a
Lock Timeouts by Lock Type	per sec	per xact	count	% of total
-----	-----	-----	-----	-----
Total Timeouts	0.0	0.0	0	n/a
Cluster Lock Summary	per sec	per xact	count	% of total
-----	-----	-----	-----	-----
Physical Locks Summary	per sec	per xact	count	% of total
-----	-----	-----	-----	-----
No physical locks are acquired				
Logical Locks Summary	per sec	per xact	count	% of total
-----	-----	-----	-----	-----
Object Locks Summary	per sec	per xact	count	% of total
-----	-----	-----	-----	-----

2.15.1 Sample Output

Sample output reports detail rows even if there were no occurrences of them during the sample interval; for example, “Deadlocks by Lock Type” in the sample output.

2.15.2 Lock Summary

Lock Summary provides overview statistics about lock activity that took place during the sample interval.

- **Total Lock Requests** – reports the total number of lock requests.
- **Avg Lock Contention** – reports the average number of times there was lock contention as a percentage of the total number of lock requests.
This value is insufficient in determining how severe the lock contention is. To determine the severity of the lock contention, query the `monSysWaits`, `monProcessWaits`, and `monLocks` monitoring tables, or use `sp_object_stats`. For more information, see *Performance and Tuning Series: Monitoring Tables*. See the *Adaptive Server Reference Manual: Procedures* for more information about `sp_object_stats`. See *Performance and Tuning Series: Locking and Concurrency Control* for more information on tuning locking behavior.
- **Cluster Locks Retained** – reports the number of cluster locks retained. A high number indicates a well-partitioned application and that most of the cluster locks are already granted to the current instance. A lower value may indicate that you should reassess the object’s schema and workload pattern to reduce conflicting data access across multiple instances.
`monCLMobjectActivity` includes values for cluster-level lock activity for each object. These values are helpful for making locking decisions at the object level.

- `Deadlock Percentage` – reports the percentage of deadlocks as a percentage of the total number lock requests. If this value is high, see `Deadlocks by Lock Type`.

Related Information

[Deadlocks by Lock Type \[page 88\]](#)

2.15.3 Lock Detail

`Lock Detail` provides information that helps you determine whether an application is causing a lock contention or deadlock-related problem.

Lock contention can have a large impact on SAP ASE performance. Table locks generate more lock contention than page or row locks because no other tasks can access a table while there is an exclusive table lock on it, and, if a task requires an exclusive table lock, it must wait until all shared locks are released. If lock contention is high, run `sp_object_stats` to help pinpoint the tables involved.

`Lock Detail` reports locks by type:

- `Granted` – the number of times that each lock type was immediately granted to the application.
- `Waited` – the number of times that each lock type waited a particular lock type.
- `Lookups`
- `Avg Hash Chain Length` – the average number of locks per hash bucket during the sample interval.
- `Spinlock Contention` – number of times an engine encountered spinlock contention.

`Lock Detail` reports on the values for `Granted` and `Waited` for these lock types:

- Exclusive Table
- Exclusive Intent
- Shared Intent
- Exclusive Page
- Exclusive Row
- Update Row
- Shared Row
- Shared Address
- Last Page Locks on Heaps

`Lock Detail` reports on `Avg Hash Chain Length`, `Lookups`, and `Spinlock Contention` for these lock types:

- Shared Table
- Page & Row Lock HashTable
- Update Page
- Shared Page
- Next-Key
- Address Lock Hashtable

You can configure the size of the lock hash table using `lock_hashtable_size`. If the average number of locks per hash chain is more than four, consider increasing the size of the hash table.

The “% of total” column displays the percentage of the specific lock type that was granted or had to wait with respect to the total number of lock requests.

Large inserts with bulk copy are an exception to this guideline. Lock hash chain lengths may be longer during large bulk copies.

See *Locking Configuration and Tuning* in *Performance and Tuning Series: Locking and Concurrency Control*, and *Reference Manual: Configuration Parameters*.

2.15.3.1 Exclusive Address and Shared Address

`Exclusive Address` and `Shared Address` reports the number times address locks were immediately granted or the number of times the task had to wait for the lock.

Address locks are held on index pages of allpages-locked tables. Address locks can have serious impact, since a lock on an index page blocks access to all data pages pointed to by the index page.

2.15.3.2 Last Page Locks on Heaps

`Last Page Locks on Heaps` reports locking attempts on the last page of a partitioned or unpartitioned heap table. It only reports on allpages-locked tables.

This information can indicate whether there are tables in the system that would benefit from using data-only-locking from partitioning, or from increasing the number of partitions. Adding a clustered index that distributes inserts randomly across the data pages may also help. If you know that one or more tables is experiencing a problem with contention for the last page, the monitoring tables can help determine which table is experiencing the problem. See the *Performance and Tuning Series: Monitoring Tables*.

See *Controlling Physical Data Placement* in *Performance and Tuning Series: Physical Database Tuning* for information on how partitions can help solve the problem of last-page locking on unpartitioned heap tables.

2.15.3.3 Table Lock Hashtable

`Table Lock Hashtable` reports the number times the lock hash table was searched for a lock on a page, row, or table.

`Table Lock Hashtable` reports on:

- Lookups
- Avg Chain Length
- Spinlock Contention

Configure the size of the lock hash table with the configuration parameter `lock hashtable size`. If the average number of locks per hash chain is more than four, consider increasing the size of the hash table.

See *Locking Configuration and Tuning in Performance and Tuning Series: Locking and Concurrency Control* for more information.

2.15.4 Deadlocks by Lock Type

`Deadlocks by Lock Type` reports the number specific types of deadlocks. “% of total” gives the number of each deadlock type as a percentage of the total number of deadlocks.

Deadlocks may occur when many transactions execute at the same time in the same database. They become more common as the lock contention increases between the transactions.

This category reports data for these deadlock types:

- Exclusive Table
- Shared Table
- Exclusive Intent
- Shared Intent
- Exclusive Page
- Update Page
- Shared Page
- Exclusive Row
- Update Row
- Shared Row
- Shared Next-Key
- Exclusive Address
- Shared Address
- Others

“Total Deadlocks” summarizes the data for all lock types.

As in the example for this section, if there are no deadlocks, `sp_sysmon` does not display any detail information, it only prints the “Total Deadlocks” row with zero values.

To pinpoint where deadlocks occur, perform one or both of:

- Use `sp_object_stats`. See *Locking Configuration and Tuning in Performance and Tuning Series: Locking and Concurrency Control* for more information.
- Enable printing of detailed deadlock information to the log. See *Locking Reports in Performance and Tuning Series: Locking and Concurrency Control* for more information.

2.15.5 Deadlock Detection

`Deadlock Detection` reports the number deadlock searches that found deadlocks and the number of deadlock searches that were skipped during the sample interval.

See *Locking Reports in Performance and Tuning Series: Locking and Concurrency Control* for more information.

2.15.5.1 Deadlock Searches

`Deadlock Searches` reports the number times that an SAP ASE server initiated a deadlock search during the sample interval.

Deadlock checking is time-consuming overhead for applications that experience no deadlocks or very low levels of deadlocking. You can use this data with `Average Deadlocks Per Search` to determine if the SAP ASE server is checking for deadlocks too frequently.

Related Information

[Average Deadlocks Per Search \[page 89\]](#)

2.15.5.2 Searches Skipped

`Searches Skipped` reports the number times that a task started to perform deadlock checking, but found deadlock checking in progress and skipped its check. “% of total” reports the percentage of deadlock searches that were skipped as a percentage of the total number of searches.

When a process is blocked by lock contention, it waits for an interval of time set by the configuration parameter `deadlock checking period`. When this period elapses, the process starts deadlock checking. If a search is already in progress, the process skips the search.

If you see some number of searches skipped, but some of the searches are finding deadlocks, increase the parameter slightly. If you see a lot of searches skipped, and no deadlocks, or very few, increase the parameter by a larger amount.

See *Reference Manual: Configuration Parameters*.

2.15.5.3 Average Deadlocks Per Search

`Average Deadlocks per Search` reports the average number of deadlocks found per search, measuring whether an SAP ASE server is checking for deadlocks too frequently.

If your applications rarely deadlock, adjust the frequency with which tasks search for deadlocks by increasing the value of the `deadlock checking period` configuration parameter.

See *Reference Manual: Configuration Parameters*.

2.15.6 Lock Promotions

`Lock Promotions` reports the number times that the following escalations took place.

- `Ex-Page to Ex-Table` – exclusive page to exclusive table.
- `Sh-Page to Sh-Table` – shared page to shared table.
- `Ex-Row to Ex-Table` – exclusive row to exclusive table.
- `Sh-Row to Sh-Table` – shared row to shared table.
- `Sh-Next-Key to Sh-Table` – shared next-key to shared table.

`Total Lock Promotions` reports the average number of lock promotion types combined per second and per transaction.

If no lock promotions took place during the sample interval, only the total row is printed.

If there are no lock promotions, `sp_sysmon` does not display the detail information.

`Lock Promotions` data can:

- Help you to detect whether lock promotion in your application is a cause of lock contention and deadlocks
- Be used before and after tuning lock promotion variables to determine the effectiveness of the values.

Look at the `Granted` and `Waited` data for signs of contention. If lock contention is high and lock promotion is frequent, consider changing the lock promotion thresholds for the tables involved.

You can configure the lock promotion threshold either server-wide or for individual tables.

See *Reference Manual: Configuration Parameters*.

2.15.7 Lock Time-out Information

`Lock Time-out Information` reports the number times a task was waiting for a lock and the transaction was rolled back due to a session-level or server-level lock timeout.

The detail rows that show the lock types are printed only if lock timeouts occurred during the sample period. If no lock time-outs occurred, “Total Lock Time-outs” is displayed with all values equal to 0.

For more information on lock time-outs, see *Using Locking Commands* in *Performance and Tuning Series: Locking and Concurrency Control*.

2.15.8 Cluster Lock Summary

`sp_sysmon` reports on the following activities for cluster locks.

- `Lock Garbage Collection` – number of times lock garbage collection ran. A large number of garbage collections per second indicates the cluster has an insufficient number of locks.

- **Targeted Collection Success** – number of times `Lock Garbage Collection` was able to successfully reclaim the targeted number of locks. The Cluster Edition determines the target number for locks internally, and is not a configurable parameter. A low percentage of successes indicates the cluster has an insufficient number of locks or an insufficient amount of CIPC message space available. The low percentage of successes indicates an insufficient number of unused locks to successfully reach the targeted collection amount. For example, if a SAP ASE server has 1000 locks and 900 are in use, it would not be able to reach the targeted collection amount, resulting in a low percentage of successes. Another possibility for the low percentage of successes is insufficient number of CIPC messages, causing delays that prevent the garbage collection process from reaching the targeted collection amount. To determine if insufficient CIPC messages are causing the low percentage of successes, check the value for `Max_Used` with:

```
sp_monitorconfig "CIPC regular message pool size"
```

```
Usage information at date and time: Feb  8 2012  8:39PM.
```

Name	Num_free	Num_active	Pct_act	Max_Used
CIPC regular message pool	32602	166	0.51	293

If `Max_Used` is within 20 percent of the pool size (which is equal to `Num_free + Num_active`), increase the value for CIPC regular message pool size. If `Max_Used` is not within 20 percent, increase the value for number of locks.

- **Cluster Lock Requests** – number of user physical, logical, or object lock requests that cannot be granted because of retention and require cluster communication. A high value indicates a lot of cluster traffic.
- **Local Master** – number of physical, logical, or object locks that have a local master. A higher value indicates ideal lock, possibly indicating smaller overhead while serving cluster lock requests. If the Cluster Edition cannot find the local master:
 - You may need to reassess your application partitioning.
 - A single instance has too great a load, which is leading to load balancing of master to remote instance. Consider changing your workload pattern and schema (such as partitioning your data).
- **Lock Granted** – number of cluster lock requests that wait for a remote instance that owns the cluster lock with no conflicting task ownership. A high value for `Lock Granted` indicates conflicting lock requests from multiple instances in cluster. Reassess your application partitioning.
- **Lock Waited** – number of cluster lock requests that wait for a remote instance that owns the cluster lock with conflicting task ownership. A high value for `Lock Waited` indicates lock level contention: two tasks on different instances are attempting to acquire the same lock and are in conflict. To rectify, reassess your application partitioning.
- **Downgrade Req Recv** – displays the number of downgrade requests sent by a remote instance when it asks for a lock that is owned by the local instance.

2.16 Data Cache Management

`sp_sysmon` reports summary statistics for all caches, followed by statistics for each named cache.

`sp_sysmon` reports these activities for the default data cache and for each named cache:

- Spinlock contention
- Utilization
- Cache searches, including hits and misses
- Pool turnover for all configured pools
- Buffer wash behavior, including buffers passed clean, buffers already in I/O, and buffers washed dirty
- Prefetch requests performed and denied
- Dirty read page requests

Use `sp_cacheconfig` and `sp_helpcache` output to help analyze the data from this section of the report.

`sp_cacheconfig` provides information about caches and pools, and `sp_helpcache` provides information about objects bound to caches.

See *Configuring Data Caches* in *System Administration Guide: Volume 2* for information on how to use these system procedures.

See *Memory Use and Performance* in *Performance and Tuning Series: Basics* for more information on performance issues and named caches.

2.16.1 Sample Output of Data Cache Management Categories

This sample shows `sp_sysmon` output for the Data Cache Management categories.

The first block of data, `Cache Statistics Summary`, includes information for all caches. `sp_sysmon` reports a separate block of data for each cache. These blocks are identified by the cache name. The sample output shown here includes only the default data cache, although there were more caches configured during the interval.

```

Data Cache Management
-----
Cache Statistics Summary (All Caches)
-----

```

	per sec	per xact	count	% of total
Cache Search Summary				
Total Cache Hits	1369.3	5868.3	41078	100.0 %
Total Cache Misses	0.2	0.9	6	0.0 %

Total Cache Searches	1369.5	5869.1	41084	
Cache Turnover				
Buffers Grabbed	0.5	2.1	15	n/a
Buffers Grabbed Dirty	0.0	0.0	0	0.0 %
Cache Strategy Summary				
Cached (LRU) Buffers	76.3	327.1	2290	99.9 %
Discarded (MRU) Buffers	0.1	0.3	2	0.1 %
Large I/O Usage				
Large I/O Effectiveness	0.0	0.0	0	n/a
Pages by Lrg I/O Cached	0.0	0.0	0	n/a

Asynchronous Prefetch Activity				
	per sec	per xact	count	% of total
APFs Issued	0.1	0.6	4	100.0 %
APFs Denied Due To				
APF I/O Overloads	0.0	0.0	0	0.0 %
APF Limit Overloads	0.0	0.0	0	0.0 %
APF Reused Overloads	0.0	0.0	0	0.0 %
APF Buffers Found in Cache				
With Spinlock Held	0.0	0.0	0	0.0 %
W/o Spinlock Held	0.0	0.0	0	0.0 %

Total APFs Requested	0.1	0.6	4	
Other Asynchronous Prefetch Statistics				
APFs Used	0.1	0.6	4	n/a
APF Waits for I/O	0.0	0.0	0	n/a
APF Discards	0.0	0.0	0	n/a
Dirty Read Behavior				
Page Requests	0.0	0.0	0	n/a

Cache: default data cache				
	per sec	per xact	count	% of total

Spinlock Contention	n/a	n/a	n/a	0.0 %
Utilization	n/a	n/a	n/a	100.0 %
Cache Searches				
Cache Hits	1369.3	5868.3	41078	100.0 %
Found in Wash	0.0	0.0	0	0.0 %
ELC	0.0	0.0	0	0.0 %
w/o spinlockheld	1321.5	5663.7	39646	96.5 %
with spinlockheld	47.7	204.6	1432	3.5 %
Cache Misses	0.2	0.9	6	0.0 %

Total Cache Searches	1369.5	5869.1	41084	
Pool Turnover				
2 Kb Pool				
LRU Buffer Grab	0.5	2.1	15	100.0 %
Grabbed Locked Buffer	0.0	0.0	0	0.0 %
Grabbed Dirty	0.0	0.0	0	0.0 %

Total Cache Turnover	0.5	2.1	15	
Cluster Cache Behavior				
No physical locks are acquired on buffers in this cache				
Buffer Wash Behavior				
Statistics Not Available - No Buffers Entered Wash Section Yet				
Cache Strategy				
Cached (LRU) Buffers	76.3	327.1	2290	99.9 %
Discarded (MRU) Buffers	0.1	0.3	2	0.1 %
Large I/O Usage				
Total Large I/O Requests	0.0	0.0	0	n/a
Large I/O Detail				
No Large Pool(s) In This Cache				
Dirty Read Behavior				
Page Requests	0.0	0.0	0	n/a
Tuning Recommendations for Data cache : default data cache				

- Consider adding a large I/O pool for this cache.				

Cache: sy_resol_cache				
	per sec	per xact	count	% of total

Spinlock Contention	n/a	n/a	n/a	0.0 %
Utilization	n/a	n/a	n/a	0.0 %
Cache Searches				
Total Cache Searches	0.0	0.0	0	n/a

Total Cache Searches	0.0	0.0	0	
Pool Turnover	0.0	0.0	0	n/a
Cluster Cache Behavior				
No physical locks are acquired on buffers in this cache				

Buffer Wash Behavior				
Statistics Not Available - No Buffers Entered Wash Section Yet				
Cache Strategy				
Statistics Not Available - No Buffers Displaced Yet				
Large I/O Usage				
Total Large I/O Requests	0.0	0.0	0	n/a
Large I/O Detail				
No Large Pool(s) In This Cache				
Dirty Read Behavior				
Page Requests	0.0	0.0	0	n/a

2.16.2 Cache Statistics Summary (All Caches)

This section summarizes behavior for the default data cache and all named data caches combined.

Corresponding information is printed for each data cache.

Related Information

[Cache Management by Cache \[page 98\]](#)

2.16.2.1 Cache Search Summary

Cache Search Summary provides summary information about cache hits and misses. Use this data as an overview of how effective cache design is. A high number of cache misses indicates that you should investigate statistics for each cache.

- **Total Cache Hits** – reports the number of times that a needed page was found in any cache. “% of total” reports the percentage of cache hits as a percentage of the total number of cache searches.
- **Total Cache Misses** – reports the number of times that a needed page was not found in a cache and had to be read from disk. “% of total” reports the percentage of times that the buffer was not found in the cache as a percentage of all cache searches.
- **Total Cache Searches** – reports the total number of cache searches, including hits and misses for all caches combined.

2.16.2.2 Cache Turnover

Cache Turnover provides a summary of cache turnover.

- **Buffers Grabbed** – reports the number of buffers that were replaced in all of the caches. The “count” column represents the number of times that Adaptive Server fetched a buffer from the LRU end of the cache, replacing a database page. If the server was recently restarted, so that the buffers are empty, reading a page into an empty buffer is not counted here.

- `Buffers Grabbed Dirty` – reports the number of times that fetching a buffer found a dirty page at the LRU end of the cache and had to wait while the buffer was written to disk. If this value is nonzero, find out which caches are affected and disk IO are performing adequately and increase the pool wash size. This represents a serious performance hit.

2.16.2.3 Cache Strategy Summary

`Cache Strategy Summary` provides a summary of the caching strategy used.

- `Cached (LRU) Buffers` – reports the total number of buffers placed at the head of the most-recently used and last-recently used (MRU, LRU) chain in all caches.
- `Discarded (MRU) Buffers` – reports the total number of buffers in all caches following the fetch-and-discard strategy—the buffers placed at the wash marker.

2.16.2.4 Large I/O Usage

`Large I/O Usage` provides summary information about the large I/O requests in all caches. If `Large I/Os Denied` is high, investigate individual caches to determine the cause.

- `Large I/Os Performed` – measures the number of times that the requested large I/O was performed. “% of total” is the percentage of large I/O requests performed as a percentage of the total number of I/O requests made.
- `Large I/Os Denied` – reports the number of times that large I/O could not be performed. “% of total” reports the percentage of large I/O requests denied as a percentage of the total number of requests made.
- `Total Large I/O Requests` – reports the number of all large I/O requests (both granted and denied) for all caches.

2.16.2.5 Large I/O Effectiveness

`Large I/O Effectiveness` helps determine the performance benefits of large I/O, comparing the number of pages that were brought into cache by a large I/O to the number of pages actually referenced while in the cache. If the percentage for `Pages by Lrg I/O Used` is low, few of the pages brought into cache are being accessed by queries.

Investigate the individual caches to determine the source of the problem. Use `optdiag` to check the value for `Large I/O Efficiency` for each table and index.

- `Pages by Lrg I/O Cached` – reports the number of pages brought into all caches by all large I/O operations that took place during the sample interval. Low percentages could indicate one of:
 - Allocation fragmentation in the table’s storage
 - Inappropriate caching strategy
- `Pages by Lrg I/O Used` – reports the total number of pages that were used after being brought into cache by large I/O. `sp_sysmon` does not print output for this category if there were no `Pages by Lrg I/O Cached`.

2.16.2.6 Asynchronous Prefetch Activity Report

`Asynchronous Prefetch Activity Report` reports asynchronous prefetch activity for all caches.

For information on asynchronous prefetch for each database device, see *Disk I/O Management*. For information about asynchronous prefetch, see *Tuning Asynchronous Prefetch* in *Performance and Tuning Series: Basics*.

- `Total APFs Requested` – reports the total number of pages eligible to be prefetched, that is, the sum of the look-ahead set sizes of all queries issued during the sample interval. Other rows in `Asynchronous Prefetch Activity` provide detailed information about:
 - The pages that were prefetched (“APFs Issued”)
 - The reasons that prefetch was denied
 - The page was found in the cache
- `APFs Issued` – reports the number asynchronous prefetch requests issued by the system during the sample interval.
- `APFs Denied Due To` – reports the reasons that APFs were not issued:
 - `APF I/O Overloads` reports the number of times APF usage was denied because of a lack of disk I/O structures or because of disk semaphore contention.
If this number is high, check the following information in `Disk I/O Management`:
 - The value of the `disk i/o structures` configuration parameter. See `Disk I/O Structures in I/Os Delayed By`.
 - Values for contention for device semaphores for each database device to determine the source of the problem. See `Mirror Semaphore Granted and Waited`.If the problem is due to a shortage of disk I/O structures, set the configuration parameter higher, and repeat your tests. If the problem is due to high disk semaphore contention, examine the physical placement of the objects where high I/O takes place.
 - `APF Limit Overloads` indicates that the percentage of buffer pools that can be used for asynchronous prefetch was exceeded. This limit is set for the server as a whole by the `global async prefetch limit` configuration parameter. Use `sp_poolconfig` to tune each pool individually.
 - `APF Reused Overloads` indicates that APF usage was denied due to a kinked page chain or because the buffers brought in by APF were swapped out before they could be accessed.
Ideally, consecutive pages contain consecutive rows. However, if the page chain jumped from its present position to a distant piece of disk for a few pages then jumped back to its original position, the page is considered “kinked”. For example, a page chain that uses pages 1, 2, 396, 397, 3, 4 is kinked.
- `APF Buffers Found in Cache` – reports the number buffers from APF look-ahead sets that were found in the data cache during the sample interval. Asynchronous prefetch tries to find a page to read in the data cache using a quick scan, without holding the cache spinlock. If that does not succeed, APF then performs a thorough scan holding the spinlock.

Related Information

[Disk I/O Management \[page 107\]](#)

[I/Os Delayed By \[page 108\]](#)

[Mirror Semaphore Granted and Waited \[page 110\]](#)

2.16.2.7 Other Asynchronous Prefetch Statistics

`Other Asynchronous Prefetch Statistics` reports three additional asynchronous prefetch statistics.

- `APFs Used` – reports the number of pages that were brought into the cache by asynchronous prefetch and used during the sample interval. The pages counted for this report may have been brought into cache during the sample interval or by asynchronous prefetch requests that were issued before the sample interval started.
- `APF Waits for I/O` – reports the number of times a process had to wait for an asynchronous prefetch to complete. This indicates that the prefetch was not issued early enough for the pages to be in cache before the query needed them. It is reasonable to expect some percentage of “APF Waits.” Some reasons that tasks may have to wait are:
 - The first asynchronous prefetch request for a query is generally included in “APF Waits.”
 - Each time a sequential scan moves to a new allocation unit and issues prefetch requests, the query must wait until the first I/O completes.
 - Each time a nonclustered index scan finds a set of qualified rows and issues prefetch requests for the pages, it must wait for the first pages to be returned.

Other factors that can affect `APF Waits for I/O` are the amount of processing that needs to be done on each page, and the speed of the I/O subsystem.

- `APF Discards` – indicates the number of pages that were read in by asynchronous prefetch and discarded before they were used. A high value for `APFs Discards` may indicate that increasing the size of the buffer pools could help performance, or it may indicate that APF is bringing pages into cache that are not needed by the query.

2.16.2.8 Dirty Read Behavior

`Dirty Read Behavior` provides information to help you analyze how dirty reads (isolation level 0 reads) affect the system.

- `Page Requests` – reports the average number of pages that were requested at isolation level 0. The “% of total” column reports the percentage of dirty reads with respect to the total number of page reads. Dirty read page requests incur high overhead if they lead to many dirty read restarts.
- `Dirty Read Re-starts` – reports the number dirty read restarts that took place. This category is reported only for the server as a whole, and not for individual caches. `sp_sysmon` does not print output for this category if there were no `Dirty Read Page Requests`.

A dirty read restart occurs when a dirty read is active on a page and another process makes changes to the page that cause the page to be deallocated. The scan for the level 0 must be restarted.

The “% of total” output is the percentage of dirty read restarts done with isolation level 0 as a percentage of the total number of page reads.

If these values are high, you might take steps to reduce them through application modifications, because overhead associated with dirty reads and resulting restarts is very expensive. Most applications should avoid restarts because of the large overhead they incur.

2.16.3 Cache Management by Cache

`Cache Management by Cache` reports cache utilization for each active cache on the server. The sample output shows results for the default data cache.

2.16.3.1 Cache Spinlock Contention

`Cache Spinlock Contention` reports the number times an engine encountered spinlock contention on the cache, and had to wait, as a percentage of the total spinlock requests for that cache. This is meaningful only in SMP environments.

When a user task makes any changes to a cache, a spinlock denies all other tasks access to the cache while the changes are being made. Although spinlocks are held for extremely brief durations, they can slow performance in multiprocessor systems with high transaction rates. If spinlock contention is more than 10 percent, consider using named caches or adding cache partitions.

See *Memory Use and Performance in Performance and Tuning: Basics* for information on adding caches.

2.16.3.2 Utilization

`Utilization` reports the percentage of searches using this cache as a percentage of searches across all caches. You can compare this value for each cache to determine if there are caches that are over- or under-utilized.

If you decide that a cache is not well utilized, you can:

- Change the cache bindings to balance utilization. For more information, see *Memory Use and Performance in Performance and Tuning: Basics*.
- Resize the cache to correspond more appropriately to its utilization. For more information, see *Configuring Data Caches in System Administration Guide: Volume 2*.

2.16.3.3 Cache Search, Hit, and Miss Information

`Cache Search, Hit, and Miss Information` reports the number hits and misses and the total number of searches for this cache.

Cache hits are roughly comparable to the logical reads values reported by `statistics io`; cache misses are roughly equivalent to physical reads. `sp_sysmon` always reports values that are higher than those shown by `statistics io`, since `sp_sysmon` also reports the I/O for system tables, log pages, OAM pages, and other system overhead.

Interpreting cache hit data requires that you understand how applications use each cache. In caches that are created to hold specific objects such as indexes or lookup tables, cache hit ratios may reach 100 percent. In caches used for random point queries on huge tables, cache hit ratios may be quite low but still represent effective cache use.

Cache hits and misses can also help you to determine if adding more memory would improve performance. For example, if `Cache Hits` is high, adding memory probably would not help much.

- `Cache Hits` – reports the number times that a needed page was found in the data cache. “% of total” reports the percentage of cache hits compared to the total number of cache searches. `Cache Hits` counter includes three subcategories:
 - `ELC` – the number of cache hits through the engine local cache.
 - `With Spinlock Held` – the number of cache hits from the buffer searches performed by taking cache spinlock.
 - `W/o Spinlock Held` – the number of cache hits from the buffer searches performed without cache spinlock.
- `Found in Wash` – reports the number times that the needed page was found in the wash section of the cache. “% of total” reports the percentage of times that the buffer was found in the wash area as a percentage of the total number of hits. If the data indicates a large percentage of cache hits found in the wash section, it may mean the wash area is too big. However, a large number of hits is not a problem for caches that are read-only or that have a low number of writes.
A large wash section might lead to increased physical I/O because SAP ASE initiates a write on all dirty pages as they cross the wash marker. If a page in the wash area is written to disk, and is then updated a second time, I/O has been wasted. Check to see whether a large number of buffers are being written at the wash marker. See `Buffer Wash Behavior` for more information.
If queries on tables in the cache use a “fetch-and-discard” strategy for a non-APF I/O, the first cache hit for a page finds it in the wash. The buffer is moved to the MRU end of the chain, so a second cache hit soon after the first cache hit will find the buffer still outside the wash area. See `Cache Strategy` for information.
You can change the wash size. If you make the wash size smaller, run `sp_sysmon` again under fully loaded conditions and check the output for “Grabbed Dirty” values greater than 0. See `Cache Turnover`. See .
- `Cache Misses` – reports the number times that a needed page was not found in the cache and had to be read from disk. “% of total” is the percentage of times that the buffer was not found in the cache as a percentage of the total searches.
- `Total Cache Searches` – summarizes cache search activity. The `Found in Wash` data is a subcategory of the `Cache Hits` number and is not used in the summary calculation.

Related Information

[Buffer Wash Behavior \[page 101\]](#)

[Cache Strategy \[page 101\]](#)

[Cache Turnover \[page 94\]](#)

2.16.3.4 Pool Turnover

`Pool Turnover` reports the number times that a buffer was replaced from each pool in a cache.

Each cache can have up to 4 pools, with I/O sizes of 2K, 4K, 8K, and 16K. If there is any `Pool Turnover`, `sp_sysmon` prints the `LRU Buffer Grab` and `Grabbed Dirty` information for each pool that is configured

and a total turnover figure for the entire cache. If there is no `Pool Turnover`, `sp_sysmon` prints zeros for `Total Cache Turnover`.

This information helps you to determine if the pools and cache are the right size.

- `LRU Buffer Grab` – is incremented only when a page is replaced by another page. If you have recently restarted the SAP ASE server, or if you have just unbound and rebound the object or database to the cache, turnover does not count reading pages into empty buffers.

If memory pools are too small for the throughput, you may see high turnover in the pools, reduced cache hit rates, and increased I/O rates. If turnover is high in some pools and low in other pools, you might want to move space from the less active pool to the more active pool, especially if it can improve the cache-hit ratio.

If the pool has 1000 buffers, and the SAP ASE server is replacing 100 buffers every second, 10 percent of the buffers are being turned over every second. This might be an indication that the buffers do not remain in cache for long enough for the objects using that cache.

- `Grabbed Dirty` – provides statistics for the number of dirty buffers that reached the LRU before they could be written to disk. When the SAP ASE server needs to grab a buffer from the LRU end of the cache to fetch a page from disk, and finds a dirty buffer instead of a clean one, it must wait for I/O on the dirty buffer to complete. “% of total” reports the percentage of buffers grabbed dirty as a percentage of the total number of buffers grabbed.

A nonzero value for `Grabbed Dirty` indicates that the wash area of the pool is too small for the throughput in the pool. Remedial actions depend on the pool configuration and usage:

- If the pool is very small and has high turnover, consider increasing the size of the pool and the wash area.
- If the pool is large, and it is used for a large number of data modification operations, increase the size of the wash area.
- If several objects use the cache, moving some of them to another cache could help.
- If the cache is being used by `create index`, the high I/O rate can cause dirty buffer grabs, especially in a small (16K) pool. In these cases, set the wash size for the pool as high as possible, to 80 percent of the buffers in the pool.
- If the cache is partitioned, reduce the number of partitions.
- Check query plans and I/O statistics for objects that use the cache for queries that perform a lot of physical I/O in the pool. Tune queries, if possible, by adding indexes.

Check the “per second” values for `Buffers Already in I/O` and `Buffers Washed Dirty in Buffer Wash Behavior`. The wash area should be large enough to allow I/O to be completed on dirty buffers before they reach the LRU. The time required to complete the I/O depends on the actual number of physical writes per second achieved by your disk drives.

Also check `Disk I/O Management` to see if I/O contention is slowing disk writes.

Also, it might help to increase the value of the `housekeeper free write percent` configuration parameter. See *System Administration Guide: Volume 1*.

- `Total Cache Turnover` – provides the total number of buffers grabbed in all pools in the cache.

Related Information

[Buffer Wash Behavior \[page 101\]](#)

[Disk I/O Management \[page 107\]](#)

2.16.3.5 Cluster Cache Behavior

`sp_sysmon` describes any locks acquired for buffers for a clustered environment.

2.16.3.6 Buffer Wash Behavior

`Buffer Wash Behavior` reports information about the state of buffers when they reach the pool's wash marker.

When a buffer reaches the wash marker, it is in one of three states:

- `Buffers Passed Clean` – reports the number of buffers that were clean when they passed the wash marker. The buffer was not changed while it was in the cache, or it was changed, and has already been written to disk by the housekeeper or a checkpoint. “% of total” reports the percentage of buffers passed clean as a percentage of the total number of buffers that passed the wash marker.
- `Buffers Already in I/O` – reports the number of times that I/O was already active on a buffer when it entered the wash area. The page was modified while in the cache. The housekeeper or a checkpoint has started I/O on the page, but the I/O has not completed. “% of total” reports the percentage of buffers already in I/O as a percentage of the total number of buffers that entered the wash area.
- `Buffers Washed Dirty` – reports the number of times that a buffer entered the wash area dirty and not already in I/O. The buffer was changed while in the cache and has not been written to disk. An asynchronous I/O is started on the page as it passes the wash marker. “% of total” reports the percentage of buffers washed dirty as a percentage of the total number of buffers that entered the wash area.

If no buffers pass the wash marker during the sample interval, `sp_sysmon` prints:

```
Statistics Not Available - No Buffers Entered Wash Section Yet!
```

2.16.3.7 Cache Strategy

`Cache Strategy` reports the number buffers placed in cache following the fetch-and-discard (MRU) or normal (LRU) caching strategies.

- `Cached (LRU) Buffers` – reports the number of buffers that used normal cache strategy and were placed at the MRU end of the cache. This includes all buffers read directly from disk and placed at the MRU end, and all buffers that were found in cache. At the completion of the logical I/O, the buffer was placed at the MRU end of the cache.
- `Discarded (MRU) Buffers` – reports the number of buffers that were placed at the wash marker, using the fetch-and-discard strategy.

If you expect an entire table to be cached, but you see a high value for `Discarded (MRU) Buffers`, use `showplan` to see if the optimizer is generating the fetch-and-discard strategy when it should be using the normal cache strategy.

See *Using showplan in Performance and Tuning Series: Query Processing and Abstract Plans* for more information.

2.16.3.8 Large I/O Usage

`Large I/O Usage` provides data about Adaptive Server prefetch requests for large I/O. It reports statistics on the numbers of large I/O requests performed and denied.

- `Large I/Os Performed` – measures the number of times that a requested large I/O was performed. “% of total” reports the percentage of large I/O requests performed as a percentage of the total number of requests made.
`sp_sysmon` displays this section only if SAP ASE performs large I/Os in the cache.
- `Large I/Os Denied` – reports the number times that large I/O could not be performed. “% of total” reports the percentage of large I/O requests denied as a percentage of the total number of requests made. Adaptive Server cannot perform large I/O:
 - If any page in a buffer already resides in another pool.
 - When there are no buffers available in the requested pool.
 - On the first extent of an allocation unit, since it contains the allocation page, which is always read into the 2K pool.

If a high percentage of large I/Os were denied, the use of the larger pools might not be as effective as it could be. If a cache contains a large I/O pool, and queries perform both 2K and 16K I/O on the same objects, there will always be some percentage of large I/Os that cannot be performed because pages are in the 2K pool.

If more than half of the large I/Os were denied, and you are using 16K I/O, try moving all of the space from the 16K pool to the 8K pool. Re-run the test to see if total I/O is reduced. When a 16K I/O is denied, SAP ASE does not check for 8K or 4K pools, but uses the 2K pool.

Use information from this category and “Pool Turnover” to help judge the correct size for pools.

`sp_sysmon` displays this section only if SAP ASE performs large I/Os in the cache.

- `Total large I/O Requests` – provides summary statistics for large I/Os performed and denied.

2.16.3.9 Large I/O Detail

`Large I/O Detail` provides summary information for each pool individually. It contains a block of information for each 4K, 8K, or 16K pool configured in cache. It prints the pages brought in (“Pages Cached”) and pages referenced (“Pages Used”) for each I/O size that is configured.

For example, if a query performs a 16K I/O and reads a single data page, the “Pages Cached” value is 8, and “Pages Used” value is 1.

- `Pages by Lrg I/O Cached` – prints the total number of pages read into the cache.
- `Pages by Lrg I/O Used` – reports the number of pages used by a query while in cache.

2.16.3.10 Dirty Read Behavior

`Dirty Read Behavior` reports the average number of pages requested at isolation level 0.

The “% of total” output for `Dirty Read Page Requests` shows the percentage of dirty reads with respect to the total number of page reads.

2.17 Procedure Cache Management

Procedure Cache Management displays the number of times stored procedures are recompiled; the phase that triggered the recompilations, such as execution time and recompilation; and the cause of recompilation, such as table missing or permissions change.

A sample output of Procedure Cache Management looks like:

Procedure Cache Management	per sec	per xact	count	% of total
Procedure Requests	14.2	0.3	8499	n/a
Procedure Reads from Disk	0.0	0.0	27	0.6%
Procedure Writes to Disk	0.0	0.0	0	0.0%
Procedure Removals	0.0	0.0	0	n/a
Procedure Recompilations	0.0	0.0	0	n/a
SQL Statement Cache:				
Statements Cached	0.6	2.7	171	n/a
Statements Found in Cache	2.0	9.5	1529	n/a
Statements Not Found	0.6	2.7	171	n/a
Statements Dropped	0.2	0.8	52	n/a
Statements Restored	0.0	0.0	0	n/a
Statements Not Cached	0.0	0.0	0	n/a

The procedure cache contains most execution plans for requested procedures. In this example, the procedure cache reads only 0.6 percent from disk.

This system's statement cache is effective, since the value for `Statements Found in Cache` is 611. This value is much higher than the values for `Statements Not Found` and `Statements Cached`, so the reuse rate of statements is high.

- `Procedure Requests` – reports the number times stored procedures were executed. When a procedure is executed, either:
 - An idle copy of the query plan is in memory, so it is copied and used or,
 - No copy of the procedure is in memory, or all copies of the plan in memory are in use, so the procedure must be read from disk.
- `Procedure Reads from Disk` – reports the number times idle copies were not available and the SAP ASE server had to read procedures from disk (that is, Adaptive Server could not copy what was already in cache).
“% of total” reports the percentage of procedure reads from disk as a percentage of the total number of procedure requests. A relatively high percentage may indicate that the procedure cache is too small.
- `Procedure Writes to Disk` – reports the number procedures created during the interval. This can be significant if application programs generate stored procedures.
- `Procedure Removals` – reports the number times that a procedure aged out of cache.
- `Procedure Recompilations` – reports the number times stored procedures were recompiled.
- `SQL Statement Cache` – reports the number statements during the interval that were cached, found in cache, not found, dropped, restored, and not cached.

A sample output of SQL Statement Cache looks like:

SQL Statement Cache:				
Statements Cached	0.6	2.7	171	n/a
Statements Found in Cache	2.0	9.5	611	n/a
Statements Not Found	0.6	2.7	171	n/a
Statements Dropped	0.2	0.8	52	n/a
Statements Restored	0.0	0.0	0	n/a

Statements Not Cached	0.0	0.0	0	n/a
-----------------------	-----	-----	---	-----

- `Statements Cached` – number of statements added to the statement cache during the sample period.
- `Statements Found in Cache` – number of statements found in the statement cache. This value represents the number of times Adaptive Server used the statement cache to avoid recompiling a statement.
- `Statements Not Found` – number of times SAP ASE looked for, but did not find, a statement in the statement cache.
- `Statements Dropped` – number of times SAP ASE removed a statement already in the statement cache to make room for a new statement being cached.
- `Statements Restored` – number of query plans restored after an existing plan was invalidated by a schema change to an object referenced by the statement.
- `Statements Not Cached` – number of statements the SAP ASE server compiled, but did not enter, into the statement cache. If you have set `statement cache size` to 0 (disabling the statement cache), `Statements Not Cached` is the number of statements that the SAP ASE server could have cached.

2.18 Memory Management

`Memory Management` reports the number pages allocated and deallocated during the sample interval.

A sample output of `Memory Management` looks like:

Memory Management	per sec	per xact	count	% of total
Pages Allocated	2565.7	63.2	1539423	n/a
Pages Released	2565.7	63.2	1539423	n/a

- `Pages Allocated` reports the number times that a new page was allocated in memory.
- `Pages Released` reports the number times that a page was freed.

2.19 Recovery Management

The data from `Recovery Management` indicates the number of checkpoints caused by the normal checkpoint process, the number of checkpoints initiated by the housekeeper task, and the average length of time for each type. Use information to correctly set the recovery and housekeeper parameters.

A sample output of `Recovery Management` looks like:

```

=====
Recovery Management
-----
Checkpoints
-----
# of Normal Checkpoints      0.2      0.0      106      100.0 %
# of Free Checkpoints        0.0      0.0       0       0.0 %

```



```

-----
Total Checkpoints                0.2          0.0          106
Average Time per Normal Chkpt

```

2.19.1 Checkpoints

Checkpoints write dirty pages—that is, pages that have been modified in memory, but not written to disk—to the database device.

The SAP ASE automatic (normal) checkpoint mechanism works to maintain a minimum recovery interval. By tracking the number of log records in the transaction log since the last checkpoint was performed, the mechanism estimates whether the time required to recover the transactions exceeds the recovery interval. If so, the checkpoint process scans all data caches and writes out all changed data pages.

When the SAP ASE server has no user tasks to process, the housekeeper wash task begins writing dirty buffers to disk. These writes are done during the server's idle cycles, so they are known as "free writes." They result in improved CPU usage and a decreased need for buffer washing during transaction processing.

- `# of Normal Checkpoints` – reports the number checkpoints performed by the normal checkpoint process.
If the normal checkpoint is doing most of the work, especially if the time required for the checkout is lengthy, it might make sense to increase the number of writes performed by the housekeeper wash task.
- `# of Free Checkpoints` – reports the number checkpoints performed by the housekeeper wash task. The housekeeper wash task performs checkpoints only when it has cleared all dirty pages from all configured caches.
If the housekeeper wash task finishes writing all dirty pages in all caches to disk, it checks the number of rows in the transaction log since the last checkpoint. If there are more than 100 log records, it issues a checkpoint. This is called a "free checkpoint" because it requires very little overhead. In addition, it reduces future overhead for normal checkpoints.
You can use the `housekeeper free write percent` parameter to configure the maximum percentage by which the housekeeper wash task can increase database writes.
- `Total Checkpoints` – reports the combined number of normal and free checkpoints that occurred during the sample interval.

See *Reference Manual: Configuration Parameters*.

2.19.2 Average Time Per Normal Checkpoint

Average Time per Normal Checkpoint reports the average time that normal checkpoints lasted.

2.19.3 Average Time Per Free Checkpoint

Average Time per Free Checkpoint reports the average time that free (or housekeeper) checkpoints lasted.

2.19.4 Increasing the Housekeeper Batch Limit

The housekeeper wash task has a built-in batch limit to avoid overloading disk I/O for individual devices.

By default, the batch size for housekeeper writes is 3. As soon as the housekeeper detects that it has issued three I/Os to a single device, it stops processing in the current buffer pool and begins checking for dirty pages in another pool. If the writes from the next pool are assigned to the same device, it moves on to another pool. Once the housekeeper has checked all of the pools, the housekeeper waits until the last I/O it has issued has completed, and then begins the cycle again.

The default batch limit is designed to provide good device I/O characteristics for slow disks. You may get better performance by increasing the batch size for fast disk drives. Set this limit globally for all devices on the server or to different values for disks with different speeds. You must reset the limits each time the SAP ASE server is restarted.

This command sets the batch size to 10 for a single device, using the virtual device number from `sysdevices`:

```
dbcc tune(deviochar, 8, "10")
```

To see the device number, use `sp_helpdevice`, or:

```
select name, vdevno  
from sysdevices
```

To change the housekeeper's batch size for all devices on the server, use -1 in place of a device number:

```
dbcc tune(deviochar, -1, "5")
```

For very fast drives, setting the batch size as high as 50 has yielded performance improvements during testing.

You may want to try setting the batch size higher if:

- The average time for normal checkpoints is high.
- There are no problems with exceeding I/O configuration limits or contention on the semaphores for the devices.

2.20 Disk I/O Management

Disk I/O Management reports on disk I/O. It provides an overview of disk I/O activity for the server as a whole and reports on reads, writes, and semaphore contention for each logical device.

A sample output of Disk I/O Management looks like:

```

Disk I/O Management
-----
Max Outstanding I/Os          per sec      per xact      count      % of total
-----
Server                        n/a          n/a           527        n/a
Engine 0                      n/a          n/a           429        n/a
Engine 1                      n/a          n/a           448        n/a
Engine 2                      n/a          n/a           457        n/a
Engine 3                      n/a          n/a           508        n/a
Engine 4                      n/a          n/a           526        n/a
Engine 5                      n/a          n/a           416        n/a
Engine 6                      n/a          n/a           425        n/a
I/Os Delayed by
Disk I/O Structures          n/a          n/a            0         n/a
Server Config Limit         n/a          n/a            0         n/a
Engine Config Limit         n/a          n/a            0         n/a
Operating System Limit      n/a          n/a            0         n/a
Total Requested Disk I/Os    547.2        13.5          328339
Completed Disk I/O's
Asynchronous I/O's
Engine 0                      135.0        3.3           81017      24.7 %
Engine 1                      70.3         1.7           42172      12.8 %
Engine 2                      50.1         1.2           30083       9.2 %
Engine 3                      68.0         1.7           40789      12.4 %
Engine 4                      72.5         1.8           43473      13.2 %
Engine 5                      81.2         2.0           48749      14.8 %
Engine 6                      70.1         1.7           42070      12.8 %
Synchronous I/O's
Total Completed I/Os         0.0          0.0            0          n/a
-----
Total Completed I/Os         547.3        13.5          328353
Device Activity Detail
-----
Device:
/dev/sap/c1t0d0s0r
master
-----
Reads
APF                          0.0          0.0            2           0.2 %
Non-APF                      0.3          0.0           154         17.5 %
Writes                       1.2          0.0           723         82.3 %
-----
Total I/Os                   1.5          0.0           879         0.3 %
-----
Device:
/dev/sap/c1t0d0s1r
dev01
-----
Reads
APF                          1.0          0.0            584         0.5 %
Non-APF                      8.5          0.2           5075        4.3 %
Writes                      185.6        4.6          111355      95.2 %
-----
Total I/Os                   195.0        4.8          117014      35.6 %
-----
Device:
/dev/sap/c1t0d0s3r
dev02
-----
per sec      per xact      count      % of total
-----

```

Reads				
APF	0.0	0.0	1	0.5 %
Non-APF	0.0	0.0	5	2.4 %
Writes	0.3	0.0	204	97.1 %
Total I/Os	0.4	0.0	210	0.1%

2.20.1 Maximum Outstanding I/Os

Maximum number of I/Os pending for Adaptive Server as a whole (the first line), and for each SAP ASE server engine at any point during the sample interval.

This information can help configure I/O parameters at the server or operating system level if any of the “I/Os Delayed By” values are nonzero.

2.20.2 I/Os Delayed By

When the system experiences an I/O delay problem, it is likely that I/O is blocked by one or more SAP ASE server or operating system limits.

Most operating systems have a kernel parameter that limits the number of asynchronous I/Os that can take place.

- **Disk I/O Structures** – reports the number I/Os delayed by reaching the limit on disk I/O structures. When the SAP ASE server exceeds the number of available disk I/O control blocks, I/O is delayed because the SAP ASE server requires that tasks get a disk I/O control block before initiating an I/O request. If the result is a nonzero value, try increasing the number of available disk I/O control blocks by increasing the configuration parameter `disk i/o structures`.
- **Server Configuration Limit** – Adaptive Server can exceed its limit for the number of asynchronous disk I/O requests that can be outstanding for the entire Adaptive Server at one time. You can raise this limit using the `max async i/os per server` configuration parameter.
- **Engine Configuration Limit** – an engine can exceed its limit for outstanding asynchronous disk I/O requests. You can change this limit with the `max async i/os per engine` configuration parameter.
- **Operating System Limit** – reports the number times the operating system limit on outstanding asynchronous I/Os was exceeded during the sample interval. The operating system kernel limits the maximum number of asynchronous I/Os that either a process or the entire system can have pending at any one time.
See *Reference Manual: Configuration Parameters* and the *System Administration Guide: Volume 1*. Also see your operating system documentation.

2.20.3 Requested and Completed Disk I/Os

This data shows the total number of disk I/Os requested and the number and percentage of I/Os completed by each SAP ASE server engine.

Total Requested Disk I/Os and Total Completed I/Os should be the same or very close. These values vary greatly if requested I/Os are not completing due to saturation.

The value for requested I/Os includes all requests initiated during the sample interval, and it is possible that some of them completed after the sample interval ended. These I/Os are not included in Total Completed I/Os, and cause the percentage to be less than 100, when there are no saturation problems.

The reverse is also true. If I/O requests were made before the sample interval began and they completed during the period, you see a value for “% of total” for Total Completed I/Os that is more than 100 percent.

If the data indicates a large number of requested disk I/Os and a smaller number of completed disk I/Os, there could be a bottleneck in the operating system that is delaying I/Os.

- Total Requested Disk I/Os – reports the number times that the SAP ASE server requested disk I/Os.
- Completed Disk I/Os – reports the number times that each engine completed I/O. “% of total” reports the percentage of times each engine completed I/Os as a percentage of the total number of I/Os completed by all SAP ASE server engines combined.

In threaded mode, SAP ASE does not print the completed disk I/Os on a per-engine basis. Instead, it prints a server-wide summary:

```

Disk I/O Management
-----
Max Outstanding I/Os      per sec      per xact      count      % of total
-----
Server                    n/a          n/a           17         n/a
Engine 0                  n/a          n/a           5          n/a
Engine 1                  n/a          n/a           5          n/a
Engine 2                  n/a          n/a           17         n/a
I/Os Delayed by
Disk I/O Structures       n/a          n/a           0          n/a
Server Config Limit       n/a          n/a           0          n/a
Engine Config Limit       n/a          n/a           0          n/a
Operating System Limit    n/a          n/a           20         n/a
Total Requested Disk I/Os 12.6         104.3         417
Completed Disk I/O's
Asynchronous I/O's
  Total Completed I/Os    12.6         104.3         41         100.0 %
Synchronous I/O's
  Total Completed I/Os    0.0          0.0           0          n/a
-----
Total Completed I/Os      12.6         104.3         417
  
```

You can also use this information to determine whether the operating system can keep pace with the disk I/O requests made by all of the engines.

2.20.4 Device Activity Detail

`Device Activity Detail` reports activity on each logical device. It is useful for checking that I/O is well balanced across the database devices and for finding a device that might be delaying I/O.

For example, if the “Task Context Switches Due To” data indicates a heavy amount of device contention, use `Device Activity Detail` to figure out which devices are causing the problem.

This section prints the following information about I/O for each data device on the server:

- The logical and physical device names
- The number of reads and writes and the total number of I/Os
- The number of device semaphore requests immediately granted on the device and the number of times a process had to wait for a device semaphore

2.20.4.1 Reads and Writes

`Reads and Writes` reports the number times that reads or writes to a device took place. “Reads” reports the number of pages that were read by asynchronous prefetch and those brought into cache by other I/O activity.

The “% of total” column reports the percentage of reads or writes as a percentage of the total number of I/Os to the device.

2.20.4.2 Total I/Os

`Total I/Os` reports the combined number of reads and writes to a device.

The “% of total” column is the percentage of combined reads and writes for each named device as a percentage of the number of reads and writes that went to all devices.

You can use this information to check I/O distribution patterns over the disks and to make object placement decisions that can help balance disk I/O across devices. For example, the data may indicate some disks are more heavily used than others. If you see that a large percentage of all I/O went to a specific named device, you can investigate the tables residing on the device and then determine how to remedy the problem.

See *Controlling Physical Data Placement in Performance and Tuning Series: Physical Database Tuning*.

2.20.4.3 Mirror Semaphore Granted and Waited

`Mirror Semaphore Granted and Waited` reports the number times that a request for a mirror semaphore was granted immediately and the number of times the semaphore was busy and the task had to wait for the semaphore to be released.

The “% of total” column is the percentage of times the device the semaphore was granted (or the task had to wait) as a percentage of the total number of mirror semaphores requested. This data is meaningful only in SMP environments.

SAP ASE uses the semaphore only when either disk mirroring is enabled or when disk I/Os are delayed. Disk mirroring is enabled when you change the configuration parameter from its “disabled” default.

A large percentage of I/O requests that waited could indicate a semaphore contention issue. You may want to try redistributing the data on the physical devices.

2.21 Network I/O Management

Network I/O Management reports network activities for each Adaptive Server engine

- Total requested network I/Os
- Network I/Os delayed
- Total tabular data stream (TDS) packets and bytes received and sent
- Average size of packets received and sent

This data is broken down by engine, because each engine does its own network I/O. Imbalances are usually caused by one of the following condition:

- There are more engines than tasks, so the engines with no work to perform report no I/O, or
- Most tasks are sending and receiving short packets, but another task is performing heavy I/O, such as a bulk copy.

A sample output for Network I/O Management looks like:

```

Network I/O Management
-----
Network I/O Requests          per sec      per xact      count % of total
-----
Total Network I/O Requests    1384.2        938.4        166099      n/a
Network I/Os Delayed          0.0           0.0           0           0.0 %
Network Receive Activity
-----
Total TDS Packets Rec'd       1380.7        936.1        165684
Total Bytes Rec'd             2267752.3    1537459.1    272130277
Avg Bytes Rec'd per Packet    n/a           n/a           1642
Network Send Activity
-----
Total TDS Packets Sent        1339.5        908.2        160743
Total Bytes Sent              56194.2      38097.8      6743306
Avg Bytes Sent per Packet     n/a           n/a           41
  
```

- **Total Network I/Os Requests** – reports the total number of packets received and sent. If you know how many packets per second the network can handle, you can determine whether the SAP ASE server is challenging the network bandwidth. The issues are the same whether the I/O is inbound or outbound. If the SAP ASE server receives a command that is larger than the packet size, it waits to begin processing until it receives the full command. Therefore, commands that require more than one packet are slower to execute and take up more I/O resources. If the average bytes per packet is near the default packet size configured for your server, you may want to configure larger packet sizes for some connections. You can configure the network packet size for all connections or allow certain connections to log in using larger packet sizes. See *Networks and Performance* in the *Performance and Tuning Series: Basics*.
- **Network I/Os Delayed** – reports the number times I/O was delayed. If this number is consistently nonzero, consult with your network administrator.

- `Total TDS Packets Received` – reports the number TDS packets received per engine. `Total TDS Packets Received` reports the number of packets received during the sample interval.
- `Total Bytes Received` – reports the number of bytes received per engine. `Total Bytes Received` reports the total number of bytes received during the sample interval.
- `Average Bytes Received per Packet` – reports the average number of bytes for all packets received during the sample interval.
- `Total TDS Packets Sent` – reports the number packets sent by each engine, and a total for the server as a whole.
- `Total Bytes Sent` – reports the number bytes sent by each Adaptive Server engine, and by the server as a whole, during the sample interval.
- `Average Bytes Sent per Packet` – reports the average number of bytes for all packets sent during the sample interval.

2.22 Replication Agent

Replication Agent displays activity specific to Replication Agent for all databases configured to use a Replication Agent.

A sample output of Replication Agent looks like:

```

Replication Agent
-----
Replication Agent: primdb
Replication Server: MRP_REPSRVR1

```

	per sec	per xact	count	% of total
Log Scan Summary				
Log Records Scanned	n/a	n/a	710273	n/a
Log Records Processed	n/a	n/a	127098	n/a
Number of Log Scans	n/a	n/a	0	n/a
Amount of Time for Log Scans (ms)	n/a	n/a	19868	n/a
Longest Time for Log Scan (ms)	n/a	n/a	19868	n/a
Average Time per Log Scan (ms)	n/a	n/a	0.0	n/a
Log Scan Activity				
Updates	n/a	n/a	0	n/a
Inserts	n/a	n/a	66380	n/a
Deletes	n/a	n/a	0	n/a
Store Procedures	n/a	n/a	2	n/a
DDL Log Records	n/a	n/a	0	n/a
Writetext Log Records	n/a	n/a	0	n/a
Text/Image Log Records	n/a	n/a	60420	n/a
CLRs	n/a	n/a	0	n/a
Checkpoints Processed	n/a	n/a	4	n/a
SQL Statements Processed	n/a	n/a	0	n/a
Transaction Activity				
Opened	n/a	n/a	140	n/a
Committed	n/a	n/a	141	n/a
Aborted	n/a	n/a	1	n/a
Prepared	n/a	n/a	1	n/a
Delayed Commit	n/a	n/a	0	n/a
Maintenance User	n/a	n/a	4	n/a
Log Extension Wait				
Count	n/a	n/a	2	n/a
Amount of time (ms)	n/a	n/a	14346	n/a
Longest Wait (ms)	n/a	n/a	14346	n/a
Average Time (ms)	n/a	n/a	7173.0	n/a

Schema Cache				
Usage				
Max Ever Used	n/a	n/a	4	n/a
Schemas reused	n/a	n/a	0	n/a
Forward Schema Lookups				
Count	n/a	n/a	0	n/a
Total Wait (ms)	n/a	n/a	0	n/a
Longest Wait (ms)	n/a	n/a	0	n/a
Average Time (ms)	n/a	n/a	0.0	n/a
Backward Schema Lookups				
Count	n/a	n/a	0	n/a
Total Wait (ms)	n/a	n/a	0	n/a
Longest Wait (ms)	n/a	n/a	0	n/a
Average Time (ms)	n/a	n/a	0.0	n/a
Truncation Point Movement				
Moved	n/a	n/a	0	n/a
Gotten from RS	n/a	n/a	0	n/a
Connections to Replication Server				
Success	n/a	n/a	0	n/a
Failed	n/a	n/a	0	n/a
Network Packet Information				
Packets Sent	n/a	n/a	39429	n/a
Full Packets Sent	n/a	n/a	4381	n/a
Largest Packet	n/a	n/a	0	n/a
Amount of Bytes Sent	n/a	n/a	73240036	n/a
Average Packet	n/a	n/a	1857.5	n/a
I/O Wait from RS				
Count	n/a	n/a	48191	n/a
Amount of Time (ms)	n/a	n/a	58995	n/a
Longest Wait (ms)	n/a	n/a	17	n/a
Average Wait (ms)	n/a	n/a	1.2	n/a

Subcategory	Description
Log Scan Summary	<p>Summary of the log activity to Replication Server. Replication Agent scans the transaction log of the database in which it is running, and sends the scanned information to Replication Server.</p> <p>The transaction log of the primary database contains modifications that Replication Agent does not process because they belong to objects not marked for replication.</p>
Log Records Scanned	reports the total number of log records the Replication Agent scanned.
Log Records Processed	Reports the total number of log records sent to the Replication Server because they contained objects marked for replication.
Number of Log Scans	Total number of times Rep Agent scanned the log.
Amount of Time for Log Scans	Amount of time, in milliseconds, Rep Agent spent scanning the log.
Longest Time for Log Scan	Longest amount of time, in milliseconds, Rep Agent spent on a log scan.
Average Time per Log Scan	The average amount of time, in milliseconds, Rep Agent spent on a log scan.

Subcategory	Description
Log Scan Activity	<p data-bbox="585 371 943 394">Reports these activities from the log:</p> <ul data-bbox="596 421 1394 1099" style="list-style-type: none"> <li data-bbox="596 421 1054 443">• <code>Updates</code> – number of updates processed. <li data-bbox="596 461 1038 483">• <code>Inserts</code> – number of inserts processed. <li data-bbox="596 501 1046 524">• <code>Deletes</code> – number of deletes processed. <li data-bbox="596 542 1378 607">• <code>Store Procedures</code> – number of executed stored procedures marked for replication. <li data-bbox="596 624 1394 786">• <code>DDL Log Records</code> – number of log records containing Data Definition Language (DDL) to be replicated. This value may not correspond to the number of DDL commands executed in the primary database because a single DDL command executed on the primary database may result in more than one DDL command log record. <li data-bbox="596 804 1378 869">• <code>Writetext Log Records</code> – number of log records processed that were generated by <code>writetext</code>. <li data-bbox="596 887 1362 974">• <code>Text/Image Log Records</code> – number of Data Manipulation Language (DML) log records for a table with <code>text</code> or <code>image</code> data marked for replication. <li data-bbox="596 992 1275 1014">• <code>CLRs</code> – number of Compensation Log Records (CLRs) processed. <li data-bbox="596 1032 1251 1055">• <code>Checkpoints Processed</code> – number of checkpoints taken. <li data-bbox="596 1072 1378 1095">• <code>SQL Statements Processed</code> – number of SQL statements processed.
Transaction Activity	<p data-bbox="585 1140 815 1162">reports these activities:</p> <ul data-bbox="596 1189 1370 1491" style="list-style-type: none"> <li data-bbox="596 1189 1370 1254">• <code>Opened</code> – number of <code>begin transaction</code> commands found in the primary log. <li data-bbox="596 1272 1131 1294">• <code>Committed</code> – number of committed transactions. <li data-bbox="596 1312 1070 1335">• <code>Aborted</code> – number of aborted transactions. <li data-bbox="596 1352 1219 1375">• <code>Prepared</code> – number of transactions in the “prepare” state. <li data-bbox="596 1393 1294 1415">• <code>Delayed Commit</code> – number of <code>delayed commit</code> transactions <li data-bbox="596 1433 1334 1491">• <code>Maintenance User</code> – number of transactions the Maintenance user opened.

Subcategory	Description
Log Extension Wait	<p>When the Replication Agent reaches the end of the transaction log during normal processing, it must wait for Adaptive Server to extend the log (so the primary database resumes activity).</p> <p>Log Extension Wait reports on these activities:</p> <ul style="list-style-type: none"> • <code>Count</code> – number of times Replication Agent waited for Adaptive Server to extend the log. • <code>Amount of time</code> – length of time, in milliseconds, Replication Agent waited for Adaptive Server to extend the log. • <code>Longest Wait</code> – longest length of time, in milliseconds, Replication Agent had to wait for Adaptive Server to extend the log. • <code>Average Time</code> – average length of time, in milliseconds, Replication Agent waited for Adaptive Server to extend the log.
Schema Cache	<p>Schema Cache reports these activities. Usage:</p> <ul style="list-style-type: none"> • <code>Max Ever Used</code> – maximum number of schema in the schema cache. • <code>Schemas Reused</code> – number of schema reused during processing. <p>Forward Schema Lookups:</p> <ul style="list-style-type: none"> • <code>Forward Schema</code> – occasionally, Replication Agent must perform a forward scan on the primary transaction log looking for object schema changes. • <code>Count</code> – number of times Replication Agent performed a forward scan. • <code>Total Wait</code> – length of time, in milliseconds, Replication Agent spent performing forward scans. • <code>Longest Wait</code> – longest length of time, in milliseconds, Replication Agent spent performing a forward scan. • <code>Average Time</code> – average length of time, in milliseconds, Replication Agent spent performing forward scans.
Backward Schema	<p>When Adaptive Server performs DDL inside a transaction, Replication Agent must perform a backward scan in the primary transaction log. Backward Schema reports on these activities:</p> <ul style="list-style-type: none"> • <code>Count</code> – number of times Replication Agent performed a backward scan. • <code>Total Wait</code> – length of time, in milliseconds, Replication Agent spent performing backward scans. • <code>Longest Wait</code> – longest length of time, in milliseconds, Replication Agent spent performing a backward scan. • <code>Average Time</code> – average length of time, in milliseconds, Replication Agent spent performing backward scans.



Subcategory	Description
Truncation Point Movement	Truncation Point Movement reports on these activities: <ul style="list-style-type: none"> • <code>Moved</code> – number of times Replication Agent moved the secondary truncation point on the primary database. • <code>Gotten from RS</code> – number of times Replication Agent queried Replication Server for a new secondary truncation point.
Connections to Replication Server	Connections to Replication Server reports on these activities: <ul style="list-style-type: none"> • <code>Success</code> – number of successful connections to Replication Server. • <code>Failed</code> – number of failed connections to Replication Server.
Network Packet Information	Network Packet Information reports on these activities: <ul style="list-style-type: none"> • <code>Packets Sent</code> – number of packets sent to Replication Server. • <code>Full Packets Sent</code> – number of full packets sent to Replication Server. • <code>Largest Packet</code> – largest packet sent to Replication Server. • <code>Amount of Bytes Sent</code> – number of bytes sent to Replication Server. • <code>Average Packet</code> – average packet size.
I/O Wait from RS	I/O Wait from RS reports: <ul style="list-style-type: none"> • <code>Count</code> – number of times Replication Agent issued a <code>ct_sendpassthru</code> to Replication Server. • <code>Amount of Time</code> – length of time, in milliseconds, Replication Agent spent processing results from Replication Server. • <code>Longest Wait</code> – longest elapsed time, in milliseconds, the Replication Agent spent processing results. • <code>Average Wait</code> – average length of time, in milliseconds, Replication Agent spent processing results

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