



PUBLIC

SAP Advanced Track and Trace for Pharmaceuticals on SAP S/4HANA

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Product Assistance

Release 2310

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1 SAP Advanced Track and Trace for Pharmaceuticals on SAP S/4HANA

Product Information

Product	SAP Advanced Track and Trace for Pharmaceuticals on SAP S/4HANA
Release	2310
Based on	SAP S/4HANA Foundation 2022 SAP Application Interface Framework For the relevant releases, see the installation notes and the Administrator's Guide for SAP Advanced Track and Trace for Pharmaceuticals on S/4HANA on the SAP Help Portal at http://help.sap.com/attps4 .
Documentation Published	October 2023

Use

SAP Advanced Track and Trace for Pharmaceuticals on SAP S/4HANA supports pharmaceutical companies to comply with country-specific legal requirements on serialization, tracking and tracing, and regulatory reporting of pharmaceutical products. In addition, you can use the solution to facilitate data exchange with packaging lines, supply chain partners (for example Third Party Logistics (3PL), Contract Manufacturing Organizations (CMO)) and warehouse applications.

i Note

This solution with enhanced maintenance and additional capabilities uses the SAP S/4HANA technology stack (formerly named SAP S/4HANA foundation), but it is not deployable on SAP S/4HANA.

i Note

In this document, the edition SAP Advanced Track and Trace for Pharmaceuticals on SAP S/4HANA is referred to as SAP Advanced Track and Trace for Pharmaceuticals.

Features

- Report serial number events to authorities and business partners, enabling compliance to international legislation
- Integrate with ERP, warehouse management systems and packaging lines
- Capture serial numbers from packaging lines and warehouse systems and store serial number events centrally
- Track and Trace serial number of medicinal sales units and their aggregations
- Track batches and their serial number relation
- Globally manage number ranges and randomized or sequential serial number lists
- Browse and effect internal reporting on the usage and distribution of serial numbers globally
- Authenticate batches and serialized trade items

The solution also supports country-specific legislations which give special requirements on serial number management with definition (length, character set), randomization, data capturing, data exchange with supply chain partners, reporting, and uniqueness.

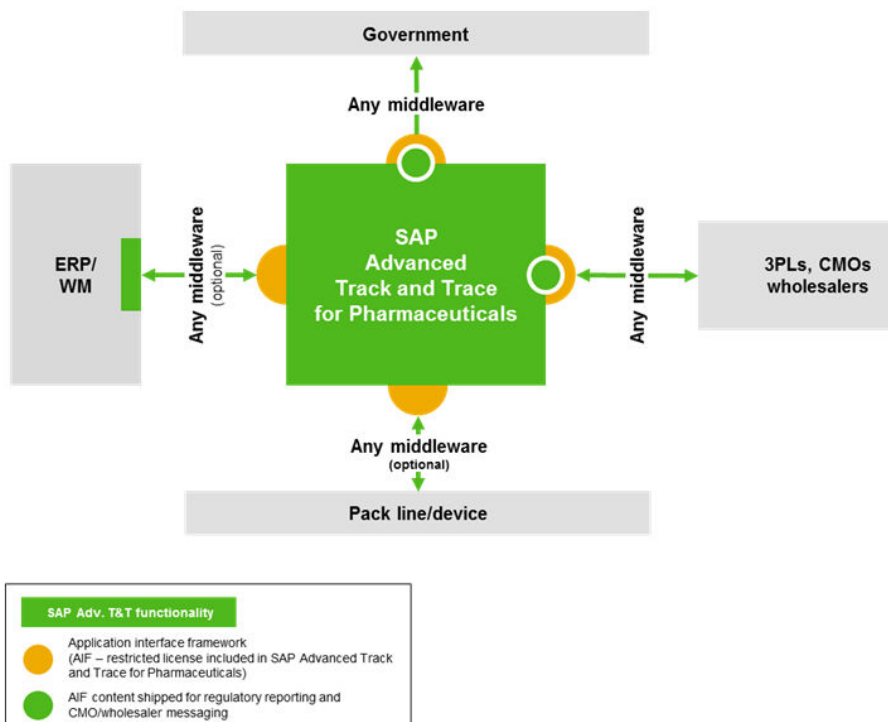
Implementation Considerations

SAP Advanced Track and Trace for Pharmaceuticals is based on SAP NetWeaver and SAP Application Interface Framework technologies. You can implement SAP Advanced Track and Trace for Pharmaceuticals as a stand-alone solution on any SAP NetWeaver system.

Optionally, you can implement the ECC Add-On for SAP Advanced track and Trace, based on SAP ERP. The ECC Add-On handles master data integration, transactional data integration and WM warehouse integration. For more information, see [ECC Add-on for SAP Advanced Track and Trace for Pharmaceuticals \[page 226\]](#) in this document.

For more information on how to install, configure, and use SAP Advanced Track and Trace for Pharmaceuticals, see SAP Help Portal at <http://help.sap.com/attp> .

The following figure illustrates the technical architecture of SAP Advanced Track and Trace for Pharmaceuticals.



2 SAP Advanced Track and Trace for Pharmaceuticals Core Component

2.1 SAP Advanced Track and Trace for Pharmaceuticals Data Cockpit

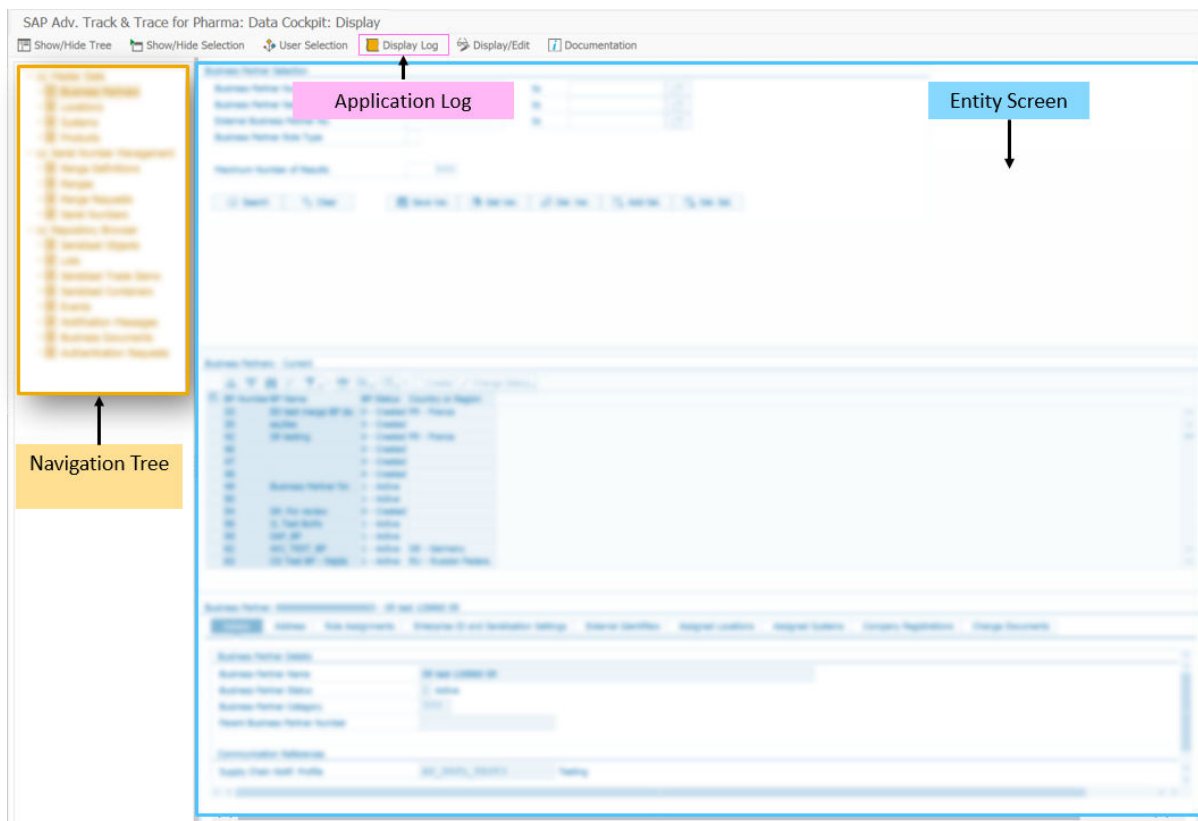
Definition

With the SAP Advanced Track and Trace for Pharmaceuticals Data Cockpit, you can search, display, and manipulate all the solution relevant entities under **Master Data**, **Serial Number Management** and **Repository Browser**. In one view, the cockpit offers the possibility of context-based navigation. In other words, you can easily navigate from one entity screen to another related entity screen.

Structure

You can access the cockpit by navigating to [SAP User Menu](#) > [Advanced Track and Trace](#) > [Repository Data Management](#) > [Advanced Track and Trace Data Cockpit](#) . Alternatively, you can use transaction `/STTP/COCKPIT`.

Elements of the Data Cockpit



Data Cockpit

The main areas of the Data Cockpit are the navigation tree, the entity screen and the application log popup.

Navigation Tree

From the navigation tree you can navigate to the different entity screens.

Entity Screen

The entity screen enables search, display and maintenance of all entities.

Application Log

The log is displayed in a popup. It lists all messages that have been issued by the backend system and logs the findings detected by the backend system or the solution screens and posts it to the application log. It shows the successfully executed actions and errors if any.

i Note

Another independent transaction is available in this solution, called [Application Log](#). Only the administrator is authorized to use this transaction. For more information, refer to the [Application Log](#).

Actions in the Data Cockpit

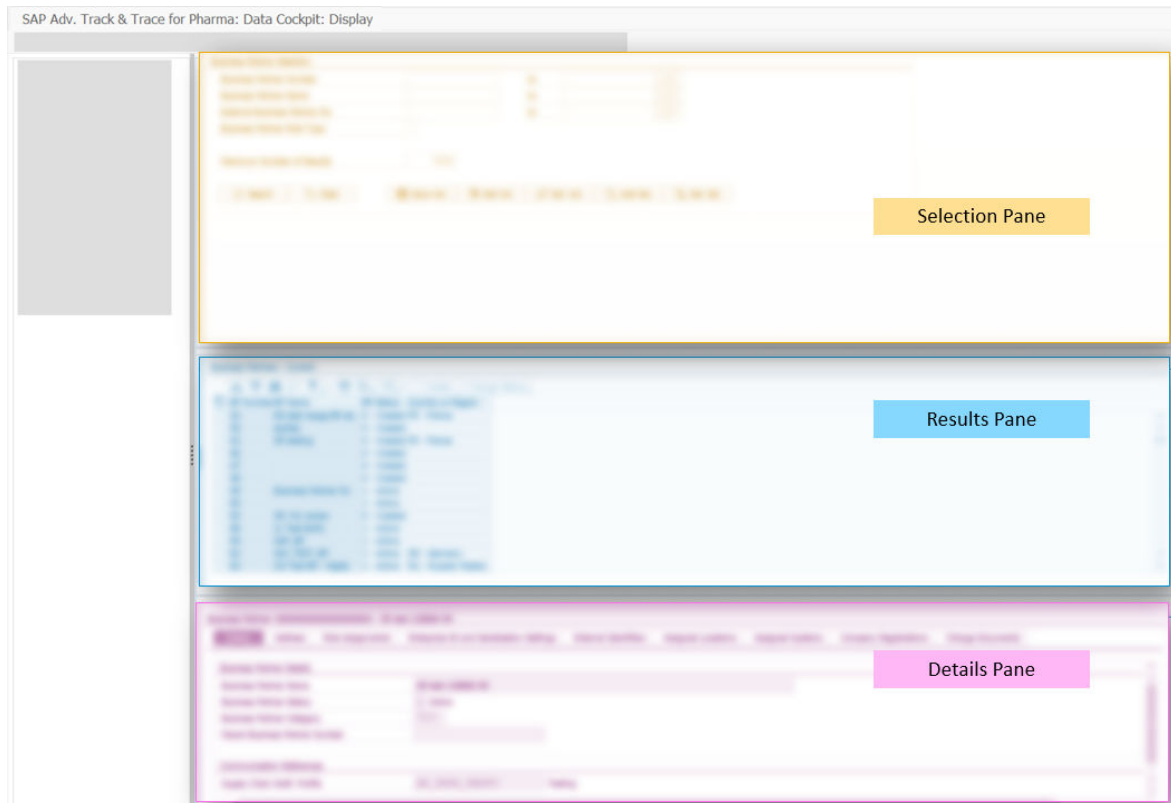
- Show/Hide Tree: The left pane on the cockpit screen displays the navigation tree. You can choose to show or hide this navigation tree.
- Show/Hide Selection: Use this option to show or hide the selection pane.
- Display Log: Use this button to launch the popup with the application log display.

Display/Edit: Use this button to toggle between display mode and edit mode of the cockpit.

i Note

You can edit the attributes of only one entity at a time.

Elements of the Entity Screen



Entity screen

Each entity screen is divided into three sections – selection pane, results pane, and details pane.

i Note

The Serial Number entity screen has only two sections – selection pane and results pane.

Selection Pane

This pane displays various parameter fields of the entity and helps you search for the required item.

- Search: Use this to search for all possible results based on the values specified in the selection parameters.
- Clear: Use this to clear the values from all the selection fields.
- Add Selection: Use this to add more selection parameters to the selection pane.
- Delete Selection: Use this to remove selection parameters from the selection pane.
- Save Variant: Use this to save a parameter selection as a variant. This helps you to avoid recreating the selection variant.
- Get Variant: Saved variants are available for selection in this catalog even after you exit and re-enter the cockpit.

- Delete Variant: Use this to delete a saved variant.

Results Pane

When you specify a value for any of the parameters in the selection pane, this list displays a set of results which fit the specified value. This is a standard ALV screen where you can create, sort, print, export and so on. In addition, each entity screen offers specific options to create and delete attributes and even change the status of an attribute.

Details Pane

This pane displays the details of the item selected in the result list. Apart from the Details and Administrative tabs, this pane includes various inter-related tabs. The tabs vary based on the entity you are working in. However, you need not go to the navigation tree to navigate to other entities. The inter-related tabs in each entity allow you to navigate to other related entities. For more detailed information on each tab of the details pane, see the individual entity topics.

2.1.1 Master Data

Definition

Typically, master data is maintained in an external system and is then integrated with SAP Advanced Track and Trace for Pharmaceuticals system. However, this solution also allows you to locally maintain the master data objects.

Use

This section lists all the master data objects of SAP Advanced Track and Trace for Pharmaceuticals:

- [Business Partner \[page 11\]](#)
- [Location \[page 15\]](#)
- [Trade Item \[page 17\]](#)
- [System \[page 22\]](#)

2.1.1.1 Business Partner

Use

Business Partners are any trading partners with whom traceability data is being exchanged, for example, a CMO (contract manufacturing organization), CPO (contract packaging organization), wholesaler, Third-party Logistics (3PL) company.

Business Partners in SAP Advanced Track and Trace for Pharmaceuticals can have multiple roles reflecting the different business processes a partner participates in. In SAP ECC, there are separate master data objects

for these roles. For example, there is a customer for sales processes and a vendor for purchasing processes although physically this is the same organization having these roles with same physical attributes like Global Location Number (GLN).

When the Business Partner is integrated to SAP Advanced Track and Trace for Pharmaceuticals, the system checks whether the business partner already exists in this system. The system uses the GLN to check the existence of the Business Partner. If the Business Partner is found, the system adds a new role to the existing business partner. If not, the system creates a new business partner with a new role. However, every role can be assigned only once for a business partner.

When creating a Business Partner Role, it is mandatory to provide the *Role Type* and a *Role Number*. The *Business Partner Role Type* describes the type of the business partner role (for example, vendor or customer). The *Business Partner Role Number* identifies the role and typically reflects the externally known ID such as Customer number or Vendor number. One business partner role can have different externally known identifiers in different source systems. For each different external identifier, a new role variant is created.

In the Data Cockpit search result list (results pane), one line is displayed per role variant. In case a business partner has, for example, three roles and per role, it has two variants, then for this business partner six lines will be displayed. However all tabs of the details pane mostly refer to the business partner as a whole. So irrespective of which line belonging to one business partner number is selected, mostly the same content is displayed in the details pane. If data is changed, these changes are done for the business partner as a whole and not for a particular role variant.

A business partner can have a parent business partner, and with this a business partner hierarchy can be modeled. Currently the business partner hierarchy is not (yet) displayed as a hierarchy in the search result list of the data cockpit. If needed, the parent business partner can be displayed in the list via the standard ALV option *Change Layout*. A parent business partner can be set to status *Obsolete* only if all child business partners have status *Obsolete* as well. Currently, business partner hierarchies can be maintained locally in the solution only. It is not possible to integrate business partner hierarchies.

i Note

Business partner representing the own organization have to be modeled as business partner with role type *own organization*.

Features

Given below are the important attributes of a Business Partner:

- **Business Partner Number:** The business partner number is the unique identifier of a business partner within Advanced Track and Trace. This number is generated from an internal number range at creation and has no reference to externally known IDs of Business Partners.
- **Business Partner Role Type:** This describes the type of the business partner role (for example, Customer, Vendor/Supplier, Contract Manufacturer, Logistic Provider, Own Organization). It is mandatory to choose a type for every role added to a business partner.
- **Business Partner Role Number:** This is the external identifier of a business partner role and typically reflects the externally known ID of the role such as Customer number or Vendor number. It is unique only in the context of Business Partner Type and Logical System Group.
- **Business Partner Role Variant:** If a business partner has different external identifiers (Business Partner Role Number) for the same role, then, for every external identifier a new role variant is created.

- **Business Partner Status:** describes the current status of the Business Partner. The following values are supported:
 - Created
 - Active
 - Obsolete

You can use business partners actively in processes only if they are in active status. You cannot use business partners actively anymore if they are in obsolete status.
- **Global Location Number (GLN):** The global location number assigned to a business partner is used as an additional external identifier of the business partner. Typically, the GLN is used within EPCIS messages to identify physical locations (typically read point, business location, event source destination: source or destination location) and legal entities (business partners; typically event source destination: source or destination owning party). As the GLN assignment may change over time, historic GLN assignments are stored as well. When a GLN is assigned to a business partner, a formal check is executed to see whether the check digit is correct. The function [Check GLNs](#) does further consistency checks. Please regularly execute this function and resolve all errors that are reported.
- **Parent Business Partner:** Add the parent business partner in case of a business partner hierarchy.
- **Supply Chain Notification**
 - **Supply Chain Notification Profile:** Select one of the available profiles if you want this business partner to get an EPCIS message when shipping out goods. Supply Chain Notification Profile allows flexible control the content of the supply chain notification being sent to a specific business partner. The maintenance of Supply Chain Notification Profile can be accessed via Customizing ► [SAP Advanced Track and Trace](#) ► [Repository Customizing](#) ► [Reporting Customizing](#) ► [Supply Chain Partner Notification Customizing](#) ► [Transaction /STTP/C_NOT_PRFL - Define Supply Chain Notification Profile Settings](#) ►. Or via ► [SAP Menu](#) ► [Advanced Track and Trace](#) ► [Repository Customizing](#) ► [Reporting Customizing](#) ► [Supply Chain Partner Notification Customizing](#) ► [Transaction /STTP/C_SCN_PROFILE - Define Extended Supply Chain Notification Profile Settings](#) ►. In addition to this setting, you need to configure a rule with rule type SR_INFORM_SUCC (Supply Chain Partner: Inform Successor). For more information, see the Customizing documentation in the system: ► [SAP Advanced Track and Trace](#) ► [Rule Customizing](#) ►.
 - **Supply Chain Notification System:** This parameter is mandatory only if you specify the supply chain notification profile. You must add the system to which the EPCIS message has to be sent. Ensure this system has the connection parameters like communication type “web service call” and logical port maintained accordingly. For more information, see [System \[page 22\]](#).
 - **Send EPCIS Asynchronous Response :** This parameter is used to manage the sending of an EPCIS asynchronous response. If an EPCIS message is processed and the sender GLN is assigned to the current business partner, it's possible to send asynchronous response about the EPCIS processing. If you set this parameter to 'on', the system sends responses. If you set it as 'off', the system doesn't send responses.
- **Address:** Describes the address of the Business Partner. If the Business Partner is integrated from SAP ECC or an external system, even this data is integrated.
- **Company Prefix & SSCC Serialization**
 - This tab in the details pane contains a table with all global company prefixes (GCPs) which are owned by the business partner. GCPs can be added and removed here with actions [Assign](#) or [Unassign](#). When a GCP is unassigned from a business partner, then the GCP is not deleted - it is only unassigned from this business partner and still exists. If needed, the GCP now can be reassigned to this business

partner or another business partner. The final deletion of a GCP is also possible via the transaction / STTP/GCP_DELETE, which executes comprehensive checks prior to deletion.

- In case Serial Number Management for Serialized Shipping Container Code (SSCC) is done for a particular GCP, then additionally you will have to maintain the *Serialization Profile* and *Range Definition* for every GCP. For detailed information, see [Serialization Profile \[page 29\]](#) and [Range Definition \[page 33\]](#). When a range definition is assigned to a GCP of an active business partner, then from that moment on only this range definition may be used with the GCP, and no other.
- **Assigned Plants:** This tab in the details pane displays all the plant locations to which this Business Partner is assigned to; in other words, the plants listed here belong to the business partner. To modify this assignment, double-click on the plant in the *Details* tab to navigate to the *Locations* entity screen of this plant. In this screen you now can assign a different business partner to this plant if wished. For more information, see [Location \[page 15\]](#).
- **Assigned Systems:** This tab displays all the systems that are assigned to this Business Partner; in other words, the systems listed here belong to the business partner. To modify the system assignment, double click on the system in the *Details* tab to navigate to the *System* entity screen. In this screen, you can assign a different business partner to this system. For more information, see [System \[page 22\]](#).
- **Company Registrations:** This tab displays alternative company registrations or identifiers used in certain specific scenarios.

Example scenarios:

- For U.S. data exchange, you can maintain the state licenses here and if maintained they are also exchanged with other supply chain partners (for further details, see country-specific features for [United States of America \[page 197\]](#))
- For South Korea regulatory reporting, the company registrations can be used optionally to determine the tags *supplier registration number* or *receiver registration number*. For further details, see country-specific features for [South Korea \[page 190\]](#).
- Besides the usage in legal reporting, company registrations can be used during EPCIS inbound processing as alternative location or business partner identifiers. Instead of providing GLNs or SGLNs for tags like read point, business location, event source destination locations, or owning parties, alternative identifiers can also be used during inbound processing. The used alternative identifiers must be defined at the business partner and location. In addition, for the particular registration type, the prefix used within the message to identify the type must be maintained. The corresponding business partner or location must have a GLN/SGLN assigned, and if an alternative identifier is used within an EPCIS message, the corresponding GLN/SGLN is determined from the business partner / location and used for data creation. So internally the solution works based on GLNs and SGLNs but alternative identifiers can be used in EPCIS messages.

Example: A supply chain partner does not send EPCIS messages with GLNs, but uses DEA numbers instead. You need to maintain a business partner / location in your system and assign the GLN/SGLN that will be used for data processing. Besides this, you define a registration type in customizing (for example, **DEA**) and assign the prefix that will be used for data processing (for example, **DEA**). Then, you define the registration by using the created registration type **DEA** and entering the registration number (for example, **XX000007**), together with all other mandatory attributes (see below). As soon as the registration is valid, it can be used to receive and process EPCIS messages using these alternative identifiers (for example, a read point containing the content **DEA:XX000007** instead of the SGLN in EPC URI format).

You can display, change or delete company registrations.

- Registration type (mandatory): Enter a valid type or select using the value help.

i Note

Registration types can be defined through customizing transaction /STTP/MD_REGTYPE -
Company Registration Types

- Registration number (mandatory): This must be unique within a given validity period.
- Valid from (mandatory): Enter the start date of validity of company registration.
- Valid to (optional): Enter the end of validity.
- Time zone (mandatory): Enter the time zone relevant for validity.
- Country (optional): Choose the country of validity.
- Region (optional): Choose the region of validity.
- Deletion of company registrations is only possible as long as the valid from date is in the future. In case the valid from date is over then the entry cannot be deleted anymore but instead the valid to date has to be set.
- The same registration number can be reused by another business partner in case the validity period is not overlapping.

Activities

You can perform the following actions within the results pane

- Create a new Business Partner
- Create a new Business Partner role
- Merge the selected Business Partner roles with another Business Partner
- Delete the selected Business Partner role
- Change the status of existing Business Partner
- Check GLNs assigned to the Business Partners

More Information

[Integration of Customers and Vendor as Business Partners \[page 228\]](#)

2.1.1.2 Location

Use

Locations are used to model physical locations used during supply chain processing. In SAP Advanced Track and Trace for Pharmaceuticals, a location typically represents a plant or a storage location but it can also represent other entities such as storage bins or resources. A location can be hierarchical, for example, storage locations belong to plants and so on.

Typically, plants and storage locations from SAP ECC are integrated with Locations in SAP Advanced Track and Trace for Pharmaceuticals. However, you can maintain the locations locally or integrate them from an external system as well.

Features

Given below are the most important attributes of a Location:

- **Location Number:** External identifier of the location in SAP Advanced Track and Trace for Pharmaceuticals.
- **Location Name:** Use this field to provide a short description for the Location.
- **Location Type:** Describes the type of the Location. The following types are available:
 - Plant
 - Storage Location
 - Storage Area
 - Storage Bin
 - Distribution Center

Note

You can maintain additional Location Types in Customizing at ► [SPRO](#) ► [SAP Advanced Track and Trace for Pharmaceuticals](#) ► [System Parameters](#) ► [Location Types](#).

- **Status:** This field describes the status of the location. You can use locations actively in processes only if they are in active status. You cannot use locations actively anymore if they are in obsolete status.
- **Global Location Number (GLN) and GLN Extension:** The global location number and GLN Extension assigned to a location are used as an additional external identifier of the location. Typically the GLN together with the GLN Extension is used within EPCIS messages as part of the read point or business location SGLN and also to encode transactions. As the GLN and extension assignment may change over time, historic GLN assignments are stored as well. The function [Check GLNs](#) does further consistency checks. Please regularly execute this function and resolve all errors that are reported.
- **SGLN:** The SGLN is an encoded representation of GLN + GLN Extension in EPC URI format.
- **Location Business Partner Number:** This field displays the business partner to which this location belongs to. This assignment is needed, for example, during serial number validation at commissioning to find out whether the serial number request was triggered from the same business partner who requested the serial numbers.

A business partner can only be assigned to the top level location of a hierarchy and is inherited to all “child” locations of the hierarchy. Hence, for the lower level hierarchies, the business partner from the top level location is displayed and cannot be changed.
- **Parent Location Number:** Since Locations are displayed in a hierarchy, this field displays the next higher location in the hierarchy.
- **Address:** This tab displays the mailing address of the location and its geolocation. If the coordinates are provided, the option [Display on Map](#) becomes active and can be used to display the geolocation on a map in a separate window. The service provider of the map can be configured via customizing parameter `MAP_PROVIDER_REFKEY`. For more information on how to configure the map, see chapter [GUI-based Map Display for Geolocations](#) in the general configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <https://help.sap.com/attp>.

- **Alternative Location Identifiers:** This tab displays alternative identifiers that may be used to identify the location, for example, during EPCIS message inbound processing.

Instead of providing GLNs or SGLNs for tags like read point, business location, event source destination locations, or owning parties, alternative identifiers may also be used during inbound processing. The used alternative identifiers must be defined at the business partner and location. In addition, for the particular registration type, the prefix that is used within the message to identify the type must be maintained. The corresponding business partner or location must have a GLN/SGLN assigned. and if an alternative identifier is used within an EPCIS message, the corresponding GLN/SGLN is determined from the business partner / location and used for data creation. So, internally, the solution works based on GLNs and SGLNs, but alternative identifiers can be used in EPCIS messages.

Example: A supply chain partner does not send EPCIS messages with GLNs, but uses DEA numbers instead. You need to maintain a business partner/location in your system and assign the GLN/SGLN that shall be used for data processing. Besides this, you define a registration type in Customizing (for example, **DEA**) and assign the prefix that shall be used for data processing (for example, **DEA**). Then, you define the registration by using the created registration type **DEA**, and entering the registration number (for example, **XX000007**) together with all other mandatory attributes (see below). As soon as the registration is valid, it can be used to receive and process EPCIS messages using these alternative identifiers (for example, a read point containing the content **DEA:XX000007** instead of the SGLN in EPC URI format).

Activities

You can perform the following actions within the results pane

- Create a new Location
- Change the status of existing locations
- Check GLNs assigned to the locations

More Information

[Integration of Plants and Storage Locations as Locations \[page 229\]](#)

2.1.1.3 Trade Item

Use

A Trade Item keeps all common master data of Serialized Trade Items which are handled in supply chain processing. In SAP Advanced Track and Trace for Pharmaceuticals, Trade Item is identified by the Global Trade Item Number (GTIN).

Trade items are typically integrated from SAP ECC materials but they can also be maintained locally in the SAP advanced Track and Trace for Pharmaceuticals system and one can also integrate Trade Items from other non-SAP ERP systems.

One trade item can have multiple product variants. ERP systems like, for example, SAP ECC typically manage trade items as materials and the GTIN is assigned to one of the alternative UoMs of the material. A business user typically knows the material number better than the GTIN. Therefore the trade item in addition has a material reference to search and display the trade items for a particular material number. Now, the same GTIN may be assigned to two different materials in two different ERP systems, but still represent the same trade item. Whenever such a case happens and the same GTIN is integrated with another material reference, then a new trade item variant is created. So the trade item variant carries a different alternative material assignment for the same trade item (GTIN). With this approach the user can search and display trade items (GTINs) for their externally known material numbers. However the material assignment is really only used for this purpose. Serialized trade items solely use GTINs and there is no relation at all to the material.

Within the data cockpit search result list, for every trade item variant a separate line is displayed. So if a trade item has three material assignments, then it has three variants and three lines are displayed for the same trade item. However the tabs in the details area refer to the trade item as a whole and not to individual variants. The only screen area that reacts on the variant is the section *Variant Data* of the details tab. This means whenever you select a line for a trade item with multiple variants and whenever you do changes in the details area, you are changing the trade item as a whole and not just the variant.

Features

Given below are the important attributes of a Trade Item:

- **Global Trade Item Number:** GTIN is the primary key of the trade item. This is a 14 digit number which can be used by a company to uniquely identify all of its trade items. A GTIN consists of the Company Prefix, Item Reference and a calculated Check Digit.
- **Trade Item Description:** Use this field to provide a short description for the Trade Item.
- **Trade Item Status:** describes the current status of the Trade Item. The following values are supported:
 - Created
 - Active
 - Obsolete

i Note

Only Trade Items in active status can be used in active processes like, serial number request or commissioning of SGTINs.

- **Serialization Type:** This denotes if a trade item is relevant for serialization and the degree by which events have to be composed or tracked for this trade item. The following are the serialization types supported:
 - Lot Managed: Trade item is subject to lot level tracking. Only event messages with respect to LGTINs to be received or composed for such trade items.
 - Serialized (Publishing only): Trade item is serialized. The Commissioning are posted to repository and eventually regulatory reporting is triggered for commissioning if relevant. No further events are recorded and stored in repository.
 - Serialized & Traced: Trade item is serialized and all received events are recorded and stored in repository.
- **Unit of Measure of Trade Item:** This describes the UOM in which the trade item is measured.

- **National Trade Item Code and National Trade Item Code Type:** This field can be used to maintain the national code and the corresponding code type which is valid for the profile-relevant country. The combination of code and type must be unique.
- **Trade Item Registration Code:** This field can be used to maintain the registration code of a trade item.
- **Profile Relevant Country:** The profile-relevant country is a dedicated country within the list of country assignments of a trade item. The profile-relevant country is used both for determination of the serialization profile and also during regulatory reporting as a fallback if the country cannot be determined otherwise. The profile-relevant country can only be maintained on the details tab. It is displayed on the *Countries* tab but cannot be changed there. For further details, see [Serial Number Management for Trade Items \[page 21\]](#).
- **Serial Number Management Type:** The indicator *Trade Item Serial Number Management Type* defines whether this trade item is subject to serial number management in SAP Advanced Track and Trace for Pharmaceuticals. The attribute can have the following values:
 - *Initial:* Not defined/ Not serial number managed: In this case, it is not defined whether serial number management will be done for this trade item. The trade item can be activated with or without a serial number range definition assigned.
 - *X:* Internal serial number management: In this case, the trade item is subject to internal serial number management and a range definition with origin *Internal* will be created. *Internal* indicates that the serial numbers and ranges are owned and generated within this system. Activation of the trade item is only possible if a range definition with origin *Internal* is assigned to the trade item.
 - *E:* External serial number management: In this case, the trade item is subject to external serial number management and a range definition with origin *External* will be created. Activation of the trade item is only possible if a range definition with origin *External* is assigned to the trade item. You have to reference a customer that represents the source of the serial numbers to allow the automated creation of range definitions.
- **Business Partner(Customer) to provide Serial Number:** In case of serial number management type **external serial number management**, your company, for example, acts as contract manufacturer (CMO) for a Marketing Authorization Holder (MAH). Here, you do not generate the serial numbers within your system but you request the numbers from the MAH via serial number request. This attribute is to specify the business partner (customer), which is your MAH and which provides the serial numbers to you.
- **Serialization Profile:** Assign the serialization profile that shall be used for serial number management of the trade item. The serialization profile defines how the serial number is composed. For further details, see [Serial Number Management for Trade Items \[page 21\]](#).
- **Product Category:** The Product Category categorizes the product. Its main usage is to control the assignment to the correct range definition in case a serialization profile with uniqueness scope "by product category" is assigned to the trade item.
- **Range Definition for Serialization:** The Range Definition spans up the potentially available number space that can be used for serial number management of this trade item. In case a range definition is assigned to a trade item in status active this means that this trade item is subject to serial number management. For further details, see [Serial Number Management for Trade Items \[page 21\]](#)
- **Variant Data:** This section in the details tab displays the material variant information – Material Number, Product Variant, Base UOM of Material, and Logical System Group and conversion factors between UOM of trade item and base UOM of Material.
- **Countries:** This tab displays the countries assigned to the trade item. A trade item can have multiple country assignments. This means that the trade item can be traded within all of these countries. Use the actions in this tab to assign or unassign a country to the trade item. However, there can be only one profile relevant country assigned to a trade item. For every country you can assign a national code, a

national code type and registration code. The profile-relevant country is displayed on this tab only. Change of profile-relevant country is possible on the details tab.

- **Location Assignments:** This tab displays the locations assigned to the trade item. Use the actions in this tab to assign or unassign a location to the trade item.
- **System Assignments:** This tab displays all systems that are entitled to request serial numbers for this trade item. Use the actions in this tab to assign or unassign systems. All systems with the check box allow serial number request for all trade items selected are always displayed and cannot be removed.

→ Tip

All changes to trade items, in principle, are logged using change documents. The assignment of the trade item to the system technically is managed at system level. So changes to the assignment done on the trade item UI are not visible on the *Change Documents* tab of the trade item but only for the system. For more information, see [Master Data Change Logging \[page 26\]](#).

- **Time Dependent Attributes:** This tab allows you to add time dependent key or value attributes. Note:

i Note

There is no Customizing foreseen to define the time dependent property keys. SAP defines the keys as domain values to restrict the use cases and to ensure performant processing for the necessary use cases. The only use case supported as of now for time dependent attributes is to handle the assignment of National Code Versions, to a trade item in case of China Material Master Handling. For more details, see Country-specific Features for [China \[page 121\]](#).

- **Additional Attributes:** This tab allows you to add the Property Name or Property Value attributes to the trade item. Property names can be defined in customizing at [SPRO](#) > [SAP Implementation Guide](#) > [SAP Advanced Track and Trace for Pharmaceuticals](#) > [System Parameters](#) > [Product Property Names](#). SAP delivers a set of properties required for Regulatory Reporting for certain countries. You need to maintain these properties for trade items if regulatory reporting for these countries is considered. By using [Assign Country-Specific Attributes](#), you can assign all needed country attributes at once. Based on the assigned countries the relevant attributes for certain industry are determined and added. The default industry (for SAP Advanced Track and Trace for Pharmaceuticals) is defined in General Customizing (SAP Advanced Track and Trace for Pharmaceuticals), parameter INDUSTRY. Trade Item can overrule this industry using Additional Attribute GTIN_INDUSTRY. In case of Europe, the attributes are only added if at least one country is assigned to the trade item that is relevant for reporting to the European Hub

→ Tip

Country relevance for European Hub is maintained in Customizing.

By using [Check Country Reporting Attributes](#) you can check whether all attributes have been maintained correctly to ensure a successful regulatory reporting.

→ Tip

In General Customizing you can defined whether this check is executed on the activation of the trade item and whether check errors are only logged or whether they shall block the activation.

For more information, see the configuration guide, chapter [General Customizing \(ATTP\)](#) for parameter CHECK_RR_CNTRY_MSTR on the SAP Help Portal, at <http://help.sap.com/attp>.

For more information, see [Regulatory Reporting](#) and [Supply Chain Reporting](#) in the topic [Outbound Processing \[page 98\]](#).

Value help is available for [Additional Attributes](#). Some attributes may have empty lists where you can enter any value, while some, for example, GTIN_INDUSTRY , RR_EU_FORM , RR_IN_EXEMPT_CODE have value lists specified by a corresponding underlying data entity.

You can use BAdI /STTP/BADI_PROD_PROP_SHF to configure a specific value list population. You can access this BAdI via [SAP Menu](#) > [Repository Customizing](#) > [BAdI Customizing](#) > [Business Add-Ins](#) > [Master Data Management](#) > [BAdI: Search Help of Product Attribute Values](#) , or in Customizing under [SAP Advanced Track & Trace](#) > [Business Add-Ins](#) > [Master Data Management](#) > [BAdI: Search Help of Product Attribute Values](#) .

Activities

You can perform the following actions in the results pane:

- Create a new Trade Item
- Check Country Reporting Attributes (see **Additional Attributes** above)
- Change the status of a trade item
- Assign Attributes: You can select multiple trade items and then execute this function and assign certain attributes to all of them. In addition, you can trigger actions such as assign/create SNR range definition or activate trade item by selecting the respective checkboxes.
- Attachments: With this function you can upload attachments to a particular trade item.
- Range Definition Statistics: You can select a trade item and execute this function to show the statistics of the range definitions assigned to this trade item. Only active ranges are considered by the selection. The figures represent statistics of serial numbers either assigned to the selected GTIN, or not assigned to any GTIN and not assigned to any GCP.

Deletion of trade item is not possible within the data cockpit. Under certain conditions trade item versions can be deleted via transaction /STTP/DEL_TR_ITM_VAR. Please refer to the documentation within the transaction to learn about the conditions and restrictions.

More Information

[Enhancement of Material Maintenance \[page 230\]](#)

2.1.1.3.1 Serial Number Management for Trade Items

Use

During the process of Serial Number Management for a Trade Item, a Serialization Profile and a Range Definition have to be assigned to the Trade Item.

Process

- **Serialization Profile:** Within the trade item, a serialization profile can be determined automatically using Determine Profile for the maintained profile relevant country. The determination is done differently for the different serial number management types, as follows:
 - For serial number management type *<blank>* (not defined/not serial number managed) and *X* (internal serial number management), the serialization profile is determined for the maintained profile-relevant country from the serialization profile.
 - For serial number management type *E* (external serial number management) the serialization profile is determined via the combination of business partner and country from the serialization profile. With this, you can define an individual serialization profile for every business partner/country combination, if you are acting as a CMO for an MAH.
 - If more than one serialization profile is found, the system chooses the profile with the higher priority of country assignment. Alternatively, you can select the value in the field. The field then displays all the serialization profiles relevant for the profile-relevant country (serial number management types *<blank>* and *X*) or for profile-relevant country and business partner (serial number management type *E*). Note that you cannot assign serialization profiles that are not maintained for a particular country (or a business partner to country assignment for *External Serial Number Management Type*)
- **Range Definition:** After assigning the serialization profile, the range definition has to be added to the trade item. You can use the Assign/Create button. This is to comply with the definition of uniqueness scope in the serialization profile. Based on the uniqueness scope, the system determines whether a new range definition has to be created or an existing one can be reused. Alternatively, you can provide specific values in the appropriate fields to create a new range definition. Basically, every range definition that fits the attributes of the serialization profile can be assigned explicitly.

i Note

When you assign a new range definition, you can overrule the logic which is defined by the uniqueness scope of the serialization profile.

After the assignment of the range definition, the trade item is ready for serial number management. In case the serialization profile used for creation of the range definition has a range automation profile assigned then serial number entities are automatically prepared for productive use. Please see [Serial Number Management \[page 27\]](#) (especially [Serialization Profile \[page 29\]](#)) for more information. Trade items without assignment of range definition are not relevant for serial number management. You can use such trade items for data processing only.

2.1.1.4 System

Use

Systems are used for all communication with known external systems. Specifically, systems are used for exchange of serial number ranges and serial number lists. In addition, the receiver systems for Regulatory Reporting and Supply Chain communication have to be modeled as a system.

Systems play an important role in serial number management. Systems are assigned to ranges and once assigned they can request either ranges or lists from that range. If the system receives a serial number list,

the system is stored at every serial number provided to the system in order to allow verification later when receiving a commissioning event for this serial number. As this verification is done at the business partner level, it is mandatory for the systems used for serial number management to have a business partner assigned. If the system receives a range, then the system is stored at the range request to verify during commissioning whether the serial number received from the system is within a valid range request.

Please see [Outbound Processing \[page 98\]](#) for further details about Regulatory Reporting and Supply Chain Reporting.

i Note

Systems are not integrated from SAP ECC, but are primarily defined within SAP Advanced Track and Trace for Pharmaceuticals only.

Features

Given below are the important attributes of a System:

- **System Name:** Use this field to specify a name to identify the system.
- **System Description:** Use this field to give a description to the system.
- **System Type:** Use this field to define the system type. System types are predefined by SAP.

i Note

One such system type is `Advanced T&T Instance`. By selecting it, you declare another system as an SAP Advanced Track and Trace for Pharmaceuticals system within your own distributed system landscape. In a scenario where there is one central system within an SAP Advanced Track and Trace for Pharmaceuticals system landscape and in addition there can be 0 to n local systems. In such a scenario, all the data (customizing, master data, transactional data) is replicated between SAP Advanced Track and Trace for Pharmaceuticals systems within the landscape using RFC calls. When assigning the system type `Advanced T&T`, the communication type is therefore set to RFC call and the [Logical System](#) becomes a mandatory field

- **Business Partner of System:** The Business Partner of the system specifies to which Business Partner the system is assigned. This typically means that this system is owned by the respective Business Partner. If a system is configured to receive serial numbers, you have to maintain the Business Partner to enable validation later during commissioning of serial numbers. If the Business Partner is not maintained, then commissioning of Serialized Trade Items fails.
- **Serial number request parameter:**
 - **Allow Serial Number Request for all Trade Items:** Selecting this check box indicates that the system can request serial numbers for all trade items. Alternatively, instead of selecting this check box, the allowed trade items can be explicitly added on tab [Allowed Trade Items](#) and then the system is only entitled to request serial numbers for all explicitly added trade items.
 - **Allow SSCC Range Request:** Selecting this check box indicates that the system may request serial numbers for SSCCs.
 - **Maximum Serial Numbers per Request:** You can define the maximum number of serial numbers that this system will receive per request. If the system requests more than the number specified here, then the returned number is reduced to the maximum number.

- **Default Outstanding Numbers:** With defining outstanding numbers you can limit the total outstanding serial numbers a system can request. Outstanding numbers are the serial numbers requested by a requesting system for a particular trade item (GTIN) or SSCC (GCP) which are not reported back as commissioned or lost. Default outstanding numbers define the maximum outstanding numbers that will be taken as a default for this system. So this system in total may not have more outstanding serial numbers for a particular GTIN or GCP than defined. If with a serial number request, the maximum outstanding number is reached, the requested quantity is reduced in a way that the maximum outstanding numbers is not exceeded in total. For trade items, the maximum outstanding numbers can be defined at a more granular level per trade item on the tab *Allowed Trade Items*. If no outstanding numbers are defined, then the system may request infinite serial numbers.
- **Communication Settings:**
 - **Communication Type:** Use this field to define the kind of communication for data transfer between systems. You can choose either an RFC Call or a Web Service Call. In some cases the communication type is predefined, depending on the type of data exchanged with the system:
 - External systems are typically connected through web service connection
 - Local SAP Advanced Track and Trace for Pharmaceuticals instances always communicate through RFC
 - Systems for Regulatory Reporting are typically maintained with communication type **web service**. For more information, see [Integration of External Systems \[page 268\]](#).
 - **Default Communication Mode:** You can define whether default communication shall happen synchronously or asynchronously. This parameter is only taken into consideration if not defined within the context.
 - **External System Name:** This is the system name by which your system is known when connected to another system. Whenever you need to communicate with the other system, you use the name of this external system. The system owner of the other system needs to provide this external system name for the two systems to communicate.
 - **Serial Number Request Type:** The solution supports three types of serial number requests. For further details see [Serial Number Exchange \[page 48\]](#).
 - ATTP 1.0 – Synchronous
 - ATTP 2.0 – Synchronous
 - ATTP 2.0 - Asynchronous
 - **Communication Adapter:** Assigning communication adapter to the system will enable all outbound SOAP communication to be processed via an adapter processing logic instead of ATTP logic. You need to implement adapter processing logic in BAdI if you want to use it. You can specify new communication adapter using transaction /STTP/COM_PRFL and logical port for adapter using transaction /STTP/COM_PRFLA.
 - **RFC Settings:** Specify the setting only if you have selected the communication type as RFC Call.
 - **Logical System:** Specify a logical system ID of the connected system.
 - **Web Service Settings:** Specify these settings only if you have selected the communication type as a Web Service Call.
 - **Logical Port:** Specify the logical port of the connected system.
- **Allowed Trade Items:** In this tab, you maintain all trade items for which the system can request serial numbers. Note that this maintenance is only required if you have not selected the check box *Allow Serial Number Request for all Trade Items*. In addition, for each trade item you can define the maximum outstanding numbers that this system can have for a particular trade item. If not defined here the default outstanding numbers defined on the tab **Details** will be taken for this trade item.

- **Assigned Ranges:** This tab displays the ranges which are currently assigned to the system. The assignment is done in range management. From this tab, you can navigate to the [Range](#) screen to review the assigned Ranges.
- **Authorized GLNs:** This tab is currently only relevant for the feature [Requesting EPCIS Messages](#). Here you can maintain the GLN groups for which this particular system is authorized to request EPCIS messages in addition to the standard GLN authorizations derived via the business partner assigned to the system. For more information, see [Requesting EPCIS messages \[page 105\]](#) and the developer guide [SAP Advanced Track and Trace for Pharmaceuticals: Requesting EPCIS Messages](#) on the SAP Help Portal at <http://help.sap.com/attp>.

Activities

You can perform the following actions in the results pane:

- Create a new System
- Change the status of an existing system

2.1.1.5 Creating or Changing Master Data Entities

Create New Entity

1. Open [Advanced Track and Trace Data Cockpit](#).
2. Choose [Display/Edit](#) in the menu bar to be able to create or edit the attributes.
3. Choose [Create](#) [Master Data](#) and select the required entity. Alternatively, you can select an entity under the [Master Data](#) folder of the navigation tree in the Data Cockpit to open the entity screen.
4. To create a new entity object, choose the [Create](#) button in the result pane. In the new entity popup, provide the relevant inputs in all the fields.
5. Choose [Confirm](#) to save the new entity.

Change Attributes

1. To change an attribute, while in the [Edit Mode](#), make necessary modifications to the required attribute fields in the detail pane.
2. Choose [Save](#) in the tool bar.

2.1.1.6 Master Data Change Logging

Most attributes of all master data objects can be edited as long as in status *Created* or *Active*. Changes of master data objects are logged using change documents. Within the data cockpit, all changes that happen to an object are visualized on the tab *Change Documents*.

When searching for master data objects, by default the *Current State* of the object is displayed. Via additional selection *Local Date/Time Old State*, a master data object can also be displayed for a key date. Enter the date and time and then the master data object is displayed in the state it had in the past. On the tab *Change Documents* the change documents which are not respected for the display of the key date are marked with *Temporarily Revoked*. When displayed for a key date, the master data objects cannot be changed (even if the cockpit is in edit mode). The feature *Display for Key Date* is also used when navigating from transactional data to master data objects. For example, when navigating from a serialized trade item (SGTIN) to the trade item (GTIN), the trade item is displayed with the key date when the serialized trade item has been created in the system.

i Note

At the time of creation of an object in the system, the master data object state that is currently valid is used to create the transactional object

The following specifics/limitations apply to master data change logging:

- **Business Partners**
 - **Historic GLNs:** Whenever a GLN assignment to the business partner is changed, a change document is written. To enable performant navigation for historic transactional data, historic GLN assignments additionally are stored in a separate database table.
 - **Tab Assigned Plants:** Business partner to plant location assignments are managed at the location. Therefore the corresponding change documents are visible at the location only.
 - **Tab Assigned Systems:** Business partner to system assignments are managed in the system. Therefore the corresponding change documents are visible in the system only. Furthermore system assignments can only be displayed for the current state of the business partner. When you select via a key date, no system assignments can be shown for the old state of the business partner. If you want to verify whether a system has been assigned to a particular business partner in the past, then please evaluate the change documents of the system.
- **Locations**
 - **Historic GLNs:** Whenever a GLN assignment to the location is changed, a change document is written. To enable performant navigation for historic transactional data, historic GLN assignments are stored in addition in a separate database table.
- **Trade Items**
 - The following attributes cannot be changed anymore as soon as the trade item is in the status *Active*: *Trade Item Range Definition*, *Serial Number Management Type*, *Business Partner (Customer) to Provide Serial Number*, *Serialization Profile*. However, there is a professional user transaction `/STTP/REPL_RANGE_DEF`, where these attributes can be changed.

⚠ Caution

Please use this transaction with extreme care. Read the report documentation and disclaimers before using this transaction. Before doing any changes, the transaction executes a check and evaluates the risk whether the changes can create duplicate serial numbers. However, this check is just an indication and cannot cover all use cases. Therefore, the check cannot guarantee that no

duplicate serial numbers occur when exchanging the range definition. It is the responsibility of the user to ensure that no duplicate serial numbers can occur.

- **Tab Systems:** The trade item to system assignment belongs to the system, and technically is managed in the system. Therefore the corresponding change documents are visible in the system only. Furthermore trade item to system assignments can only be displayed for the current state of the trade item. When you select via a key date, then no trade item to system assignments can be shown for the old state of the trade item. If you want to verify whether a trade item has been assigned to a particular system in the past, then please evaluate the change documents of the system.

2.1.2 Serial Number Management

Use

Serial numbers ensure unique identification of objects like Serialized Containers (SSCCs) or Serialized Trade Items (SGTINs) within the supply chain.

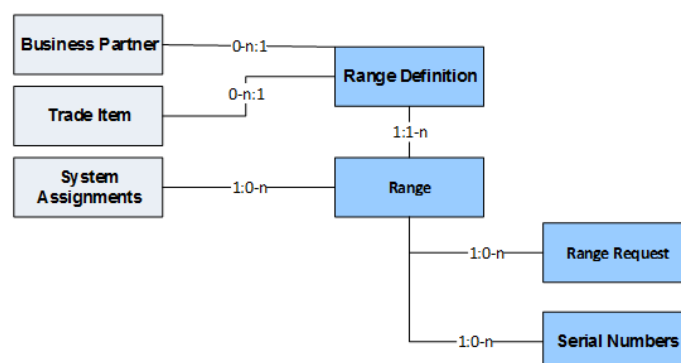
Serial number management handles and organizes definition, creation and distribution of number ranges and number lists and the required master data. This ensures that no duplicate serial numbers are used within the supply chain. Serial numbers ensure unique identification of objects like SSCCs or SGTINs within the supply chain.

Serial number consumers can be provided with number ranges or with number lists. In case a consumer gets a Range, then SAP Advanced Track and Trace for Pharmaceuticals assumes that the consumer ensures that the uniqueness and randomization requirements are considered. In case a consumer gets a serial number list, then Serial Number Management ensures that the uniqueness and randomization requirements are considered.

i Note

Serial number requirements may depend on country specific legislation especially in case of China or Brazil. Please refer to [Country-Specific Features \[page 112\]](#).

SAP Advanced Track and Trace for Pharmaceuticals Serial Number Management is based on the following entities:



Features

- The serialization profile defines how the serial number is composed.
- The range automation profile assigned to the serialization profile handles automated creation, activation and closing of serial number entities like range definition and ranges, both for internally managed and externally managed range definitions.
- Range Definitions define the space within which unique serial numbers can be generated.
- Ranges divide the range definitions into smaller segments that are to be used by different consumers (systems) like a packaging line or a CMO.
- Range Requests are ranges requested by consumers (systems) like a packaging line or a CMO.
- In case of list managed ranges every serial number is instantiated and can be tracked individually (including serial number status management).
- Unique randomization of serial numbers based on the specifications in the serialization profile like type, length, exclusion characters and so on.

More Information

[Serialization Profile \[page 29\]](#)

[Serial Number Status Management and Serial Number Usage Interface \[page 58\]](#)

2.1.2.1 Serialization Profile

The serialization profile is the blue print of the range definition and defines how serial numbers are composed. You can maintain the serialization profile in Customizing under [SAP Implementation Guide > Advanced Track and Trace > Repository Customizing > Serialization Settings > Maintain Serialization Profiles](#).

Serialization profile can only be used if it has country assignment (or business partner to country assignment for the external serial number management type). The use of the serialization profile for a country (or for business partner to country assignment) can be limited with help of unit of measure restrictions. If there is no unit of measure restriction, then the serialization profile can be used for any unit of measure. Besides the serialization profile and its country assignment (or business partner to country assignment for external serial number management type), the range automation profile including ranges to be blocked or ranges to be used can also be created here. For more information, see the documentation of the Customizing activity.

The profile contains the following attributes:

- **Profile key:** This is the external identifier of the serialization profile.
- **Serial Number Length:** Defines the length of the serial number; SAP Advanced Track and Trace for Pharmaceuticals supports serial number lengths between 1 and 40 digits.

Note

Most legislations foresee a serial number length of maximum 20 digits.

- **Serial Number Type:** Defines the allowed character set for the generation of serial numbers. Following types are supported
 - **Numeric:** Allows numbers from 0-9
 - **Alphanumeric (capital letters):** Allows numbers from 0-9 and the 26 capital characters from the Latin alphabet (A-Z). Further characters (for example, special Characters, punctuation marks, umlaut, and so on) are not supported.
 - **Alphanumeric (case sensitive):** Allows numbers from 0-9 and the 52 characters from the Latin alphabet (a-z and A-Z). Further characters (for example, special Characters, punctuation marks, umlaut, and so on) are not supported.
 - **Alphanumeric (case sensitive) with exclusion list:** Allows numbers from 0-9 and the 52 characters from the Latin alphabet (a-z and A-Z). Further characters (for example, special Characters, punctuation marks, umlaut, and so on) are not supported. Furthermore single characters can be removed which means that they will not be used for serial number generation. These single characters are defined in the [Excluded Characters](#) field of the serialization profile.
- **Excluded Characters:** This field is only relevant for Serial number type Alphanumeric (case sensitive) with exclusion characters. Please enter numbers 0-9 and characters a-z and A-Z which you want to exclude. Enter the characters without any separators like comma or semicolons.

- **Serial Number Randomization Mode:** Defines how the randomization of serial numbers is done. Following randomization modes are supported:
 - Non-randomized
 - Randomized 1:100
 - Randomized 1:1000
 - Randomized 1:10000
 - Combined Sequential number. + 3 Digit Randomized
 - Combined Sequential number. + 4 Digit Randomized
 - Manual Randomization
 - External Randomization

i Note

In case of external or manual randomization, the external service or function which creates the serial number needs to ensure compliance with the definition (length, type, and exclusion characters). SAP Advanced Track and Trace for Pharmaceuticals system will not check on import whether Serial number length or Serial number type (including exclusion characters) meets the definition. So in case of external or manual randomization, the fields Serial Number Length and Serial Number Type within SAP Advanced Track and Trace for Pharmaceuticals serialization profile are just for information purposes.

For more information about Randomization, see Serial Number Generation and Randomization in the topic [Serial Numbers \[page 45\]](#).

- **Uniqueness Scope:** The serial number uniqueness scope defines how unique a serial number is. The following uniqueness scopes are supported
 - By Trade item: Denotes that the serial numbers are unique within a trade item (GTIN). So two trade items can have the same serial number and they are only unique in combination with the GTIN.

i Note

If you would like to use the serialization profile to create range definitions with origin *External*, then SAP strongly recommends that you choose this uniqueness scope, as an automated serial number request to the MAH will be only be possible with this scope .

- By Product Category: Denotes that the serial numbers are unique within a group of trade items (GTINs). All trade items with the same serialization profile and the same product category will share the same range definition and therefore serial numbers will be unique across all those trade items.
- By country portfolio: Denotes that the serial numbers are unique within all trade items sold within a particular country. Two trade items sold within the same country cannot have the same serial number but, two trade items sold in two different countries can have the same serial number.
- Globally: Denotes that serial numbers are unique across the globe. So two trade items cannot have the same serial number and are globally unique.
- **Reuse Horizon:** Defines the number of years after which the serial numbers contained in a range definition version can be reused after closing of the same. Furthermore the reuse horizon implicitly defines the number of range definition versions that will be created (number of versions = reuse horizon +1). In case the reuse horizon is set to 0 then there will be only one range definition version and the numbers never expire and therefore can never be reused again.
- **Range Automation Profile:** With the assignment of a range automation profile to a serialization profile, the activation of serial number range definition and creation, activation/auto-closing of serial number ranges

can be automated. The range automation profile carries all necessary information for automation and is copied to the range definition during creation. The range automation profile is created and maintained in the same transaction as the serialization profile, via the node *Range Automation Settings* in the dialog structure. Depending on the serial number management type, different attributes of the range automation profile are taken into consideration when creating the range definition and ranges. This is as follows:

- For internally managed serial numbers (*Serial Number Management Type* = <blank> or X) and randomization mode other than *8-randomized manually* all attributes are taken into consideration.
- For internally managed serial numbers (*Serial Number Management Type* = <blank> or X) and randomization mode = *8-randomized manually* only *Default Alert Fill Level* and *Default Threshold* are considered. All other attributes and the *Ranges to be blocked* are neglected.

i Note

Randomization mode *8-randomized manually* is typically used for Chinese Serial Number management.

- For externally managed serial numbers (*Serial Number Management Type* = E) only the attributes *Default Alert Fill Level*, *Default Threshold* and *Default Lotsize* are considered and all other attributes and the *Ranges to be blocked* are neglected.
- **Country Assignment:** Trade items with internally managed serial numbers (*Serial Number Management Type* = <blank> or X) determine the serialization profile via the country assignment. Please maintain all countries for which this serialization profile shall be used, together with the priority.
- **Ext. Serno: BuPa-Ctry Assignment:** Trade items with externally managed serial numbers (*Serial Number Management Type* = E) determine the serialization profile via the business partner-country assignment. Please maintain all countries-business partner combinations for which this serialization profile will be used together with the priority.

2.1.2.1.1 Range Automation Profile and Automated Range Handling

Automated range handling is needed to handle the automated cutover from one range to another. With this feature it is possible to do the following:

- Automatically create initial serial number range definitions and ranges, both for internally managed serial numbers and also for externally managed serial numbers
- Close expired ranges
- Create the next range to be used in a mass processing.

A closed range is a prerequisite for archiving of serial numbers, which is very important to keep the serial number database table as lean as possible.

The range automation profile is the starting point for this process. It carries all necessary information for automated range handling.

The range automation profile consists of the range automation profile settings and the definition of ranges to be blocked or ranges to be used. The range automation profile is assigned to a serialization profile. The range automation settings of the range automation profile are copied to the range definition at its creation.

The range automation settings are used in the following cases:

- **At the initial creation of the range definition:** When a range definition is created and the serialization profile has a range automation profile assigned, then the range automation is executed along the following sub-cases:
 - **Standard Case Internal:** For internally managed serial numbers (*Serial Number Management Type* = *<blank>* or *X*) where the randomization mode is **not 8-randomized manually**, the range definition is created and activated, and all range automation settings are copied to the range definition. Furthermore, all ranges to be blocked, if provided, are created and set to status *Closed*. If the ranges to be used are provided, then all ranges are created and set to status *Created*. Finally, an initial range is created and activated based on the *Number of Digits for Auto Range* and the range parameters alert fill level, threshold and lot size are copied to the range. The range expiry date is calculated, and if required, the serial number generation is triggered. As a result, the range is to be used for serial number requests.
 - **Special case for Manual Randomization** (for example, serial number management for Chinese trade items): For internally managed serial numbers (*Serial Number Management Type* = *<blank>* or *X*) and where the randomization mode is *8-randomized manually* also range definition is created and activated but only *Default Alert Fill Level* and *Default Threshold* are copied to the range definition. Besides this, no ranges are auto-created because the ranges are created when uploading the serial numbers via a report. For more information see [China \[page 121\]](#) .
 - **Standard Case External:** For externally managed serial numbers (*Serial Number Management Type* = *E*) the range definition is created with range definition origin *1-External* and the origin system is determined from *Business Partner to Provide Serial Numbers* defined for the trade item

i Note

This process requires that the *Business Partner to Provide Serial Numbers* is maintained at the trade item level and further, the business partner must have a *notification system* assigned.

Further, the range definition is activated and here only the attributes *Default Alert Fill Level*, *Default Threshold* and *Default Lotsize* are copied to the range definition. Besides this, only the initial range is created and activated (which is a prerequisite for requesting serial numbers).

Finally, a serial number request is created in the system, which has been copied from the business partner to the range definition origin. This requires all relevant parameters to be maintained properly in the system on the tab *Communication Settings*: communication type, serial number request type, external system name and logical system or logical port. Of course, these settings must be aligned with your serial number provider. Furthermore, the automated serial number request only works if the uniqueness scope of the range definition is *0-by Product*.

- **By the report /STTP/SNR_RANGE_MASS:** This report “closes” expired ranges in two steps and therefore has the following options:
 - **Determine expired ranges, set flag number request blocked and auto create new:** This report option determines expired ranges (range expiry date is reached or the alert fill level is reached) and sets the flag *Number Request Blocked* and auto-creates a new range based on range automation settings stored in the range definition. As a result the range with flag *Number Request blocked* cannot be used for serial number requests anymore, but still can be used for commissioning. The new range now is used for upcoming serial number requests.
 - **Close expired ranges:** This option only selects active ranges with flag *Serial Number Request Blocked* and additionally the expiry date, as well as the defined offset in months, must be passed. For the selected ranges the range status will be set to closed and after closing neither serial number requests nor commissionings will be possible for this range. By setting the range status to closed, all serial numbers of this range can be archived which will keep the serial number database table as lean as possible.

For more information, see the report documentation.

Range Automation Settings Within a Range Automation Profile

- **Number of Digits for Auto Range:** The field defines how many digits can be used as a prefix for the auto range creation. Please carefully consider before using small numbers like 1 or 2 as the number of ranges will be very limited. If you, for example, define 1 and assign this range automation profile to a numeric serialization profile then you will only get 10 ranges in total. SAP recommends to define a higher number, for example, 3.
- **Range Expiry in Months:** This parameter defines how many months after the activation of a range, should the range be retired from active usage.
- **Default Alert Fill Level:** This is the alert level that is used as a default when auto-creating a new range.
- **Default Threshold:** This is the threshold that will be used as a default when auto-creating a new range.
- **Default Lot size:** This is the lot size that will be used as a default when auto-creating a new range.
- **Ranges to be Blocked:** This defines the prefixes for which ranges shall be blocked. If you, for example, define *0*, then one range with prefix *0* is created and it is set to status *Closed* so that it will never be used.
- **Ranges to be Used:** This defines the prefixes for which ranges shall be used. If you, for example, define *1*, then one range with prefix *1* is created and it is set to status *Created* (or *Active* if it is the first one in a range list) and is available for use. All other created ranges will be in status *Closed* and will never be used

⚠ Caution

Please ensure that you create range automation profiles that are compatible with the serialization profile to which the range automation profile is assigned to later on. There are certain issues that might lead to error messages during automated range creation, or even to a situation where the processing is stopped. See the following hints:

- Do not define the parameter *Number of Digits for Auto Range Creation* that is too large for the serialization profile. For example, you define *Number of Digits for Auto Range Creation* with 3. If you then assign the range automation profile to a serialization profile that has only 6 digits, and in addition a randomization scope of 1:10000 then the auto range will not contain any usable number, and therefore it cannot be created. The automated range creation then ends in an error that cannot be resolved, and the processing is stopped completely.
- Ranges to be blocked : Do not define prefixes for ranges to be blocked that will result in ranges that contain each other: for example, the prefix 1111 is contained in prefix 111. If you maintain this then the system first creates and blocks a range with prefix 111, and then the creation of range with prefix 1111 will fail. However, in this case the system will not stop processing because of this error, but will proceed with the next ranges to be blocked.

2.1.2.2 Range Definition

Use

The Range Definition spans up the potentially available number space that can be used for serial number management.

Besides the ID and the Version, the Range Definition contains the attributes from the Serialization Profile described above, a status, certain statistical attributes, and the expiry date. When you create a serial

number Range Definition, the system copies all relevant parameters of the Serialization Profile into the Range Definition. Hence, the Serialization Profile is relevant only until the range definition is created.

The Range Definition allows multiple versions in order to allow reuse of the contained serial numbers after a defined period after its deactivation. This is possible by specifying the Reuse Horizon attribute within the serialization profile. For more information, see [Reuse Serial Numbers \[page 37\]](#).

Integration

Trade Item and Range Definition

Trade Item related Range Definitions are typically created or assigned during the Trade Item maintenance. The serialization profile can be determined automatically using [Determine Profile](#). The determination is done differently for the different serial number management types, as follows:

- For serial number management type [<blank>](#) (not defined/not serial number managed) and [X](#) (internal serial number management) the serialization profile is determined for the maintained profile-relevant country from the serialization profile. If the serialization profile contains the uniqueness scope [By Product Category](#) then the product category must also be provided.
- For serial number management type [E](#) (external serial number management) the serialization profile is determined via the combination of business partner and country from the serialization profile. With this, you can define an individual serialization profile for every business partner / country combination if you are acting as a CMO for an MAH.
- If more than one serialization profile is found, the system chooses the profile with the higher priority of the country assignment. Alternatively, you can select the value in the field. The field then displays all the serialization profiles relevant for the profile-relevant country (serial number management types [<blank>](#) and [X](#)) or for profile-relevant country and business partner (serial number management type [E](#)). Note that you cannot assign serialization profiles that are not maintained for a particular country (or business partner to country assignment for [External Serial Number Management Type](#)).

Once the serialization profile is assigned (and if required the product category), you can execute the action [Assign / Create Range Definition](#) which determines the most suitable range definition for the trade item based on the uniqueness scope of the serialization profile.

- **Uniqueness Scope by Product (Trade item):** Serial numbers are unique for one trade item (GTIN). So two trade items can have the same serial number and they are only unique in combination with the GTIN. When using the action [Assign / Create Range Definition](#) then per trade item a new range definition is created.
- **Uniqueness Scope by Product Category:** All trade items with the same serialization profile and the same product category will share the same range definition and therefore serial numbers will be unique across all those trade items. When using the action [Assign / Create Range Definition](#) then it is determined whether there is already a range definition existing whose Range Definition Group equals the Product Category. If the Range Definition exists, it is assigned. If no such Range Definition exists then it is created and the Product Category of the trade item is copied to the field Range Definition Group of the Range Definition. The value help on the field range definition lists all range definitions which meet the serialization profile and the product category.
- **By Country Portfolio:** Serial numbers are unique within all trade items sold within a particular country. Two trade items sold within the same country cannot have the same serial number but two trade items sold in two different countries can have the same serial number. When using the action [Assign / Create Range Definition](#) then it is determined whether there is already a suitable Range Definition available. If yes this is assigned if not a new one is created.

- **Globally:** Serial numbers are unique across the globe. So two trade items cannot have the same serial number and are globally unique. When using the action [Assign / Create Range Definition](#) then it is determined if a suitable Range Definition is already available. If available, this is assigned, and if not a new one is created.

After assignment, the Range Definition is stored in the Trade Item master in SAP Advanced Track and Trace for Pharmaceuticals system. Based on this assignment, the Range Definition always recognizes which trade item or items source their serial numbers from it. This approach helps you to assign unique serial numbers per trade item or across trade items.

Since crypto code support has been added, a range definition can be defined to be crypto code relevant. This is required to allow requesting additional crypto codes for generated serial numbers. If the crypto code relevance is activated, certain settings are restricted. These are mainly the following:

- Ranges will be always list-managed as crypto codes are linked to the defined GTIN and serial number combinations.
- The assignment of systems to specific ranges is not possible as at the time of the crypto code request, the requesting system is not known and therefore crypto codes would possibly not be available if ranges are restricted to certain systems.
- Crypto codes will always be requested for the first active range for a specific GTIN.
- The functionality of automatic generation of serial numbers is not provided for crypto code-relevant range definitions, as the uniqueness of assigned GTINs is not guaranteed. In this case the value of the field [Default Lot Size](#) is cleared and deactivated.

SSCC Range Definition

SSCC Range Definitions are created per company prefix to ensure unique numbers for every company prefix. As the company prefix is defined in the Business Partner, the system triggers SSCC management from the Business Partner (on tab Company Prefix and SSCC Serialization) with a similar approach for Trade Item maintenance as described above for. Hence, you can assign a Serialization Profile for every company prefix maintained in Business Partner through the value help and create a Range Definition using the action [Create Range Definition for SSCC](#).

Features

Given below are the important attributes of a Range Definition:

- **Range Definition Name:** Use this field to provide a short description of a range definition.
- **Range Definition Version:** A Range Definition Version subdivides the potentially available serial number space into smaller parts, in order to enable reuse after the retention period has passed. You can create multiple versions for a range definition by using the [Create Version](#) option. Only two versions can be active at the same time during cut-over period from one version to another. If the system has used up a range definition version or it is beyond the expiry date, the version can have to be set to status [Version closed](#). You can close the range definition versions only if all underlying ranges are closed as well. When closing a range definition, the system recalculates the expiry date of the range definition and the new expiry date describes the expiry of the retention period of the serial numbers.
- **Serial Number from and to:** This field describes the space covered by a range definition version. Range Definition Status: status of the range definition. The following status values exist:
 - **Version Created:** After creation, the range definition version is in status [Created](#). In this status, you can prepare the range definition version for its later productive use but cannot use it productively.

- **Version Active:** Only active versions can be used productively. An active range definition is a prerequisite to activate underlying ranges.
- **Version Closed:** You can set a range definition version to status *Closed*. However, the prerequisite is to ensure all underlying ranges to be in status Closed.
- **Alert Status:** This field denotes alerts at a range definition level. The alert status is determined by the report `/STTP/SNR_LEVEL_CHECK` or transaction `/STTP/SNR_ALR_LEVEL`.
- **Maximum Serial Numbers:** This field denotes the total number of serial numbers that exist within the range definition version. The value indicates the total count of usable serial numbers based on the minimum and maximum serial number and the randomization settings.
- **Expiry Date:** The expiry date of the Range Definition Version has a different meaning dependent on the Range Definition Status
 - **Version Active:** the expiry date defines the planned end of the active usage of the range definition version. When the version is set to active the expiry date is set to current date + 1 year.
 - **Version Closed:** the expiry date defines the end of the retention period for the serial numbers contained within the version. After this date is passed a new version can be created covering the same serial numbers.
For more information, see [Reuse Serial Numbers \[page 37\]](#).
- **Range Definition Group:** The Range Definition Group ensures that all Trade Items that share the same Serialization Profile with uniqueness scope “by product category” and the same Product Category will get the same Range Definition assigned.
- **Range Definition Origin:** This field describes the origin of a range definition. If the origin is defined as internal, it means that the range definition is fully owned and managed by this SAP Advanced Track and Trace instance. If the origin is defined as `external`, then the range definition is managed externally by another system and the serial numbers have to be requested from that system. In this case, furthermore, a system has to be provided to which the serial number request will be addressed to. Ensure you maintain the attribute *External System Name* and specify the *Communication Type* and the serial number request type. The solution supports different serial number request types and dependent on the type chosen the communication type can be selected and either RFC settings need to be provided or web service settings need to be provided. For more information, see [Serial Number Exchange \[page 48\]](#).

Note

Important: The range definition origin only can be defined during creation of the range definition and cannot be changed anymore

- **Serialization Attributes:** This section displays the attributes from *Serialization Profile*, which have been copied to the Range Definition.
- **Range Automation Settings:** This section displays the attributes from the range automation profile that have been copied to the range definition version. All attributes can be changed if range automation settings are changed at a later point in time. For more information, see [Range Automation Profile and Automated Range Handling \[page 31\]](#).
- **Ranges:** This tab displays all ranges that have been created for a particular range definition version. Here you can also create or delete ranges.
- **Trade Item Assignments:** This tab displays the list of all trade items (GTINs) that use this range definition for serial number management.
- **Business Partner (GCP) Assignments:** This tab displays the list of all Business Partners and their GCPs that use the range definition for serial number management.
- **Change Documents:** Like for master data objects, the attributes of the range definition, which are typically changed by a user on the UI, are logged via change documents and can be displayed on tab [Change](#)

Documents. Attributes, which are changed by the system and not by a user are, however, not logged via change documents. For more information on the general behavior of change documents, see [Master Data Change Logging \[page 26\]](#).

Activities

You can perform the following actions in the results pane:

- Create a new Range Definition
- Create a new Range Definition Version
- Delete a Range Definition Version
- Change the status of Range Definition Version
- Reset the alert status of a Range Definition Version
- Range Definition Statistics: You can select a version of a range definition and execute this function to show the serial number statistics of the range definition. Only active ranges are considered by the selection.

2.1.2.2.1 Reuse Serial Numbers

Use

Serial Numbers assigned to a range definition can be reused once the range definition passes the expiry date specified in the Reuse Horizon attribute. This attribute defines after how many years a range definition version (and the contained serial numbers) can be reused again. If you want to restrict reuse of serial numbers, then you can set the reuse horizon value to 0. Thus, the Range Definition will have only one version which never expires (for example, expiry date 31.12.2099).

Furthermore, this attribute defines the number of range definition versions that will be created (number of versions is always equal to the value in reuse horizon field +1).

Process

- Initial creation of first version
 - When you create a range definition, the first version is created which only covers a part of the overall space (space divided by number of range definition versions). With the creation of a range definition version, the expiry date is set to today + 1 year. While in status *Version created*, the expiry date is given only as information.
- Activation of version
 - At activation of the version, the expiry date is updated again with today + 1 year and this date now defines the expiration date of the active usage of the range definition version.
- Close to expiry of active usage
 - Once an active version passes the expiry date, this version shall not be used actively anymore and the version shall be set to status version closed soon. You can use the report `Check and Set Alert`

status to run regularly, in order to determine range definitions that are close to expiry date and set an alert status. You can use this alert status to select the range definitions which require action.

- Creation of subsequent versions
 - As soon as a range definition version is nearing its expiry date, a new version needs to be created which covers the next portion of the overall space and which will become the next active version. You can activate this second version while the first version is still active in order to allow a seamless changeover between versions. The expiry date now denotes the expiry of the retention period of the serial numbers.

i Note

A maximum of two versions can be active in parallel.

- Setting version to closed
 - When setting the status to `version closed`, the expiry date is recalculated and updated with 'today + reuse horizon'. The expiry date now denotes the expiry of the retention period of the serial numbers.
- Reuse version
 - When the serial numbers have passed the expiry date of the retention period, a new range definition version can be created covering the space of the initial range definition and you can reuse the serial numbers.

2.1.2.3 Range

Use

Serial number ranges segment the overall available space into smaller portions within a range definition version. When you create a range definition version, there is always one initial range created, which covers the full space of the range definition version. Depending on the business context, you can further divide the ranges.

Features

Given below are the most important attributes of the Serial Number Range:

- **Range Definition Name and Version:** Every range subdivides a range definition version. Therefore, the range carries the context to the range definition and version.
- **Serial Number from and to:** This attribute describes the space covered by the range.
- **Range Status:** This attribute describes the status of the number range and also defines what operations are allowed with the respective range. The system automatically chooses the initial range status based on the context of the range definition and the source of the range to be created. Given below are scenarios to use the following status values:
 - **Created:** Once a range definition is created, a range is automatically created which in most cases has the status as created. In this status, you can prepare the range for its later productive use but cannot use it productively. Only in this status, you can split or merge ranges using options [Create Range](#) and [Delete Range](#). You can assign systems to the range which is allowed to request serial numbers from this range at a later stage in the process.

- Protected: Ranges in this status are protected against user manipulations because the manipulations of these ranges are done by the system only. The status protected is set by the system upon creation of the range in the following cases:
 - When ranges of range definitions have an origin value as *external* and range type value as *Range Managed*: In this scenario, an initial range in status protected is created. You can now send a range request to the original system. Once you receive the range, it is entered as a new range by splitting up the range that is in protected status. The received range is now in created status and you can now manipulate this range further as described above.
 - Ranges of range definitions with origin as *internal* and randomization mode *8-manual randomization*: In this scenario, the serial numbers can be uploaded from an external source through a report and splitting the ranges is handled by the system. This randomization mode is currently used in case of serial number management for China. While uploading the numbers, the protected range is split and an active range with a prefix is created and the numbers are uploaded to this new active range.
- Active: Ranges in status *active* are meant for productive use. Only now serial numbers and ranges can be requested from that range and commissioning can be done for serial numbers belonging to that range. Preparation activities like splitting and merging are not possible anymore. However, you can continue to generate serial numbers. You can also assign systems to the Range. As soon as flag *Number Request BlockedActive* and only commissioning will be allowed. This new flag controls the cutover from one range to another to allow closing of a range after a certain period has passed. For more information, see is set, no serial number request is possible anymore for a range in status *Range Automation Profile and Automated Range Handling [page 31]*.

i Note

When ranges of range definitions have an origin value as external and range type value as *List Managed*, then the ranges are created with status *active* is set, no serial covering the complete space. As their origin is external, you cannot subdivide these ranges. However, you can send serial number requests to the origin system. The serial numbers that are received in response to this request are inserted into the active range.

- Closed: You can set the status of a range as Closed if you do not want to use the range anymore. Apparently, no more serial number range or list requests are accepted from this Range and commissioning of distributed serial numbers is not possible anymore. You can close a Range only if all the underlying Range Requests are closed or if all the underlying serial numbers are either commissioned or declared as lost.
 - Force Closing: To close ranges with active sub entries, you can use the option *Force Closing*. Use this option only for ranges that are in *Active* or *Protected* status. This option sets all open requests to *Closed* and all individual serial numbers which are not yet in status commissioned or reported lost to *Reported lost*. By closing range requests, the system automatically considers all unused numbers as lost and updates the range statistics accordingly.
When using this option for a crypto code relevant range, all open crypto code requests for this serial number range are set to *Closed* and all crypto codes that are not retrieved are set to *Deleted* and *Confirmed*. All retrieved crypto codes are set to *Disposed* and are auto-confirmed if the Customizing parameter CC_AUTO_CNF_LOST is set up. Commissioned serial numbers and crypto codes will be left unchanged.
Additional UI menu points are implemented in transaction /STTP/SNR_CRYPTO - *Manage Crypto Codes*:
 - Close Crypto Code Buffer

- Set Request Obsolete (All items must be either *Created* or *Closed* for this function to be executed properly.)

Additionally, you have the option in transaction /STTP/SNR_CRYPTO - *Manage Crypto Codes* to manually close GTIN arrays. Migration Report /STTP/MIG205_CRY_POOL is introduced to remove the inconsistency between the existing data of the Crypto Code database table and Crypto Code Request Products database table.

This is important to be able to force the closing of crypto code relevant ranges with crypto code requests created before SAP Advanced Track and Trace for Pharmaceuticals 2.0, Feature Package 5.

⚠ Caution

Take care while using this option as you can revoke it only partially by activating the range again. Especially for crypto code relevant ranges, the serial numbers that are declared as lost cannot be activated anymore.

i Note

In case of ranges with range definition origin external the report /STTP/SNR_RANGE_RECONC Advanced Track & Trace: Range Reconciliation Report needs to be run regularly. Once ranges are closed this report ensures that the serial number usage statistics of the range are sent to the original system who provided the range or list request. The report uses the interface *Send / Receive* serial number usage information. For more information see [Serial Number Status Management and Serial Number Usage Interface \[page 58\]](#)

- **Range Expiry Date:** The range expiry date is calculated on the activation of a range, if the parameter *Range Expiry in Months* is maintained in the range definition version. The range expiry date indicates when this range will expire and will be retired. There is no hard logic implemented behind this expiry date, but this date is used by report /STTP/SNR_RANGE_MASS - *Mass handling of ranges (auto close and create)* to select ranges where either the flag *Number Request Blocked* shall be set, or where the status shall be changed to *Closed*. This date can be changed at any time on the UI for an active range. For more information see [Range Automation Profile and Automated Range Handling \[page 31\]](#).
- **Range Type:** This field denotes if the range manages range requests, serial numbers, or both. The Range Type also defines which kind of serial number request can be issued to the range. If the range is of type range managed, you can issue both range request and list request. If the range is of type list managed, you can issue only serial number list requests, as the range cannot return a serial number range. If the range is of type range and list managed, you can issue both range and list requests to the range. The Range Type is set automatically at creation in the following way
 - Ranges of Range Definitions with Origin as *Internal*:
 - The default range type for Numeric and Non-randomized Range Definitions is Range Managed. You can change the Range Type to Range & List managed.
 - The default range type for Alphanumeric and Non-randomized Range Definitions is *Range and List Managed*. You cannot change this range type.
 - The default range type for all Range Definitions dealing with randomized serial numbers (Randomization Modes 1-9) is List Managed. You cannot change this range type.
 - Ranges of Range Definitions with Origin as *External*:
 - The range type for Range Definitions that are set to Numeric and Non-randomized is Range Managed.
 - The range type for all other Range Definitions is always List Managed.

- The range type of Range Definitions with this Origin is always determined by the system and you cannot change it.
- **Origin indicator:** This displays the origin indicator of range definition. For more information, see [Range Definition \[page 33\]](#).
- **Number Request Blocked:** If this flag is set to true, then no serial number request is possible for an active range. Only commissionings will be accepted. This flag handles the phase out of a range as soon as the expiry date is reached. The flag is set by the report `/STTP/SNR_RANGE_MASS` - *Mass handling of ranges (auto close and create)*. For more information see [Range Automation Profile and Automated Range Handling \[page 31\]](#).
- **Statistics:** The following attributes are described in this section:
 - Max Numbers: This field describes the maximum number of serial numbers contained in the range.
 - Sent Numbers: This field describes the aggregated number of serial numbers requested by and sent to consumers (requesting systems.)
 - Commissioned Numbers: This field describes the aggregated number of serial numbers received as commissioning.
 - Numbers Lost: aggregated number of serial numbers reported back as lost.
 - Fill level in %: This field describes by what percentage an available range of numbers has been utilized so far. The calculation of this percentage is as follows:

$$\text{Fill level \%} = \text{sent numbers} / \text{max numbers} * 100\%$$
 - Alert Fill Level in %: This attribute defines at which fill level the alert status *Alert Fill Level Reached* of the range is set. Once the fill level reaches the alert fill level, then the alert status is set to Alert fill level reached. If a default alert fill level is defined for the range definition version, it is copied to the range at creation.
 - Alert Status: This attribute handles alerts at the range level. The alert status is determined either by the report `/STTP/SNR_LEVEL_CHECK` (transaction `/STTP/SNR_ALR_LEVEL`) or based on the *Alert Fill Level*.
- **Serial Number Generation and Request Parameter:** The following attributes are described in this section:
 - Serial Number Threshold: It specifies the threshold for numbers that are always available for list requests. If a default threshold is defined for the range definition version, it is copied to the range at creation. If the system detects that the current remaining available numbers, that are ready for a requesting system, is below the threshold value, the system triggers generation of new numbers. The quantity to be generated is defined by the Lot Size.
 You can generate serial number in three ways:
 - On user request through the UI
 - When the threshold has been reached, the generation is triggered automatically
 - Using the report `/STTP/SNR_GENERATE` for generation of Serial Numbers
 - Lotsize: This is the quantity used for both serial number generation and serial number request. For more information, see Serial Number Generation and Randomization in the topic [Serial Numbers \[page 45\]](#). If a default lot size is defined for the range definition version, it is copied to the range at creation.

i Note

An automatic generation of serial numbers based on threshold and lot size is only possible if the range is not marked as crypto code relevant.

By default, automatically generated serial numbers are not assigned to a specific GTIN. You can specify the GTIN if you generate serial numbers manually from the cockpit. Starting from release 3.0, it's possible

to automatically generate serial numbers with a pre-assigned GTIN. Automatic serial number generation supports pre-assignment only for those range definitions that are used by exactly one GTIN. To enable the pre-assignment, specify the property `GEN_PREASSIGNED_SNS` with value `x` in the *Additional Attributes* tab of the trade item or set the customizing parameter `GEN_PREASSIGNED_SNS` to true. (For more information, see the general configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <https://help.sap.com/attp>). These pre-assigned numbers can't be used for any GTIN other than the specified one.

- **Range Requests:** This tab displays all individual range requests that have been made for a particular Serial Number Range. This tab is only relevant in case the range is range managed or range and list managed and range requests have been made. You can navigate to the Range Request by double clicking on a Range Request. For more information, see [Range Request \[page 44\]](#).
- **Serial Number Usage:** This tab displays aggregated information about serial number list requests. The form area on top shows aggregated serial number information across all requests such as generated numbers, total numbers sent as list request, commissioned numbers from list managed numbers, numbers declared as lost from list managed numbers. The table below shows one line per system and GTIN (or GCP) and it contains aggregated information across all list requests made for this combination. By Double clicking on one line you navigate to Serial Numbers where all serial numbers are displayed valid for the line. This tab is only relevant in case the range is list managed or range and list managed and list requests have been made. For additional information, see [Serial Numbers \[page 45\]](#)
- **System Assignments:** This tab displays which systems can request serial numbers or ranges from this Range by explicitly assigning systems to this Range. If one or more systems are assigned to a Range, only the assigned systems can get serial numbers or ranges from this Range. Ranges without system assignment can be used by all systems which are authorized to request serial numbers or ranges for the particular trade item or for SSCCs. In case the linked range definition is crypto code relevant, the assignment of the systems will be rejected as the combination of system assignment with crypto codes is not supported.
- **Change Documents:** Like for master data objects, the attributes of the serial number range, which are typically changed by a user on the UI, are logged via change documents and can be displayed on the tab *Change Documents*. Attributes, which are changed by the system and not by a user are, however, not logged via change documents. For more information on the general behavior of change documents, see [Master Data Change Logging \[page 26\]](#).

i Note

System authorization is managed in the System entity screen by assigning the attribute Allowed Trade Items or by selecting the options Allow Serial Number Request for All Trade Items and Allow SSCC Range Request. For more details, see [System \[page 22\]](#).

Activities

You can perform the following actions in the results pane:

- **Navigate to Range Definition**
- **Create a new Range**
- **Delete a Range**
- **Change the status of range:** Triggers the status change for the selected ranges.

i Note

If open serial numbers or requests exist, a range cannot be closed normally. In this case, you need to choose 'forced closing'. A forced closing sets the status of all open serial numbers to lost. Any potentially issued serial numbers are not allowed to be commissioned any longer after that. In case of crypto code-relevant ranges, this process cannot be reverted, and all requested or retrieved crypto codes also become lost permanently.

- **Generate serial numbers:** Triggers online generation of serial numbers. Only relevant for range types list managed or range & list managed.
You may specify a GTIN when generating serial numbers. In that case, the generated serial numbers will only be usable for that specific GTIN. In case you do not specify a GTIN the serial number will be available for requests against any GTIN or GCP that has the same range definition assigned – the actual assignment to the GTIN or GCP will be made at time of the serial number request.

i Note

Also, from SAP Advanced Track and Trace for Pharmaceuticals 2.0, Feature Package 04, in case of a crypto code relevant GTIN, it is possible to order crypto codes automatically after generating serial numbers. This behavior is configured via customizing parameter AUTO_CRYPT_ORDER. See country-specific features for more information about crypto code handling.

- **Request Range:** Request a range from the external system that owns the serial numbers. Upon receiving the range, it is entered as a new range in status *created*. Now the new range can be used just like an own range. It can be split. Once activated, own systems can request ranges from this range and use them for example, for manufacturing. The action is only relevant in case the range definition origin is external and range type is *range managed*.
- **Request Serial Numbers:** Request a serial number list from the external system that owns the serial numbers. Upon receive of the list the serial numbers are imported as individual serial numbers in status *created*. Subsequently systems linked to the own systems can request these serial numbers and use them for example, for manufacturing. The action is only relevant in case of range definition origin *external* and range type *list managed*. A request of serial numbers from another system always requires that a GTIN or GCP is specified.
- **Reset Alert:** Reset the alert status of a range.

Specifics for Ranges With Origin *External*

- Ranges and Serial numbers, which are imported through a serial number request, carry a GCP or GTIN designation. They can only be used for the assigned GCP or GTIN, also when the range definition addresses multiple GCPs or GTINs.
- Range Reconciliation
 - The report `/STTP/SNR_RNG_RECONC` - *Range Reconciliation* triggers the reconciliation of external ranges that were received from an external system, and sends the serial number usage information to the origin system.
 - If a range is set to status *Closed*, the reconciliation with the origin SAP Advanced Track and Trace for Pharmaceuticals system is not triggered automatically, because then the status change could not be reverted if executed accidentally. So, the range reconciliation is done only via this report. If you do not want to manually execute this report, then you can schedule it to run regularly. For more information, see the report documentation.

2.1.2.4 Range Request

Use

Whenever a consumer (technically, the system owned by the consumer) sends a request for a Serial Number Range, it is reflected in the Serial Number Management as a Range Request. The Range Request documents every request from the consumer and it comprises of the following attributes:

- **Range Definition Name and Version**
- **Serial Number from and to:** The Serial Number Range that has been sent to the requesting system.
- **Range Request Status:** This attribute describes the status of the Range Request. There are two status values:
 - **Active / Assigned:** After creation of a Range Request, the status is Active / Assigned. This means that the system that requested this range can now actively use it for commissioning.
 - **Closed:** When a Range Request shall not be used for commissioning anymore, then you can change the status to Closed. When this status is set, the commissioning will not be possible anymore for serial numbers belonging to the Range of serial numbers of the Range Request.
- **System Name:** This attribute displays the name of the system that requested the range.
- **GTIN or Company Prefix:** A range is always requested either for a GCP or a GTIN. GTIN is provided when the range is requested for a Serialized Trade Item and the GCP is provided when the range is requested for a Serialized Container.
- **Statistics:** This section displays the following statistical information of the serial numbers that are part of this range request – Sent numbers, Commissioned numbers, Numbers lost, Serial Number commissioned and scrapped, and Fill Level.
- **Requested on:** Date and time at which the serial number request has been made Updated on: Date and time at which the last update was made to the range request (for example, last commissioning).

Activities

You can perform the following actions in the results pane:

- Create a new Range Request

i Note

This option is used to create a range request in exceptional scenarios on behalf of another system when the consumer system is unable to implement the service to request serial numbers. When executing the action, you have to specify the quantity of serial numbers, the requesting system, and the GTIN or the GCP.

- Change the status of range
- View related Range Definition
- View related Range

2.1.2.5 Serial Number

Use

Ranges of type List managed and Range and list managed can handle serial numbers. Every serial number created is a unique instance and can be followed individually throughout its life cycle. The serial number status denotes the current phase of the serial number in its life cycle.

Features

Given below are the most important attributes of a Serial Number:

- **Range Definition Name and Version**
- **Serial Number GTIN:** Trade item for which the serial number has been generated or requested.
- **GCP:** Global Company Prefix for which the serial number has been requested.
- **System:** This denotes the system that requested the serial number. A serial number in status created has no system assigned. Only after the serial number has been requested by and sent to an own system (status assigned / sent) the system is added to the serial number.
- **Serial Number Status:** The serial number supports following status values:
 - 0 – Created
 - 1 – Assigned/Send
 - 2 – Commissioned
 - 3 – Reported Lost
- **Generated on:** Date and time when the serial number was generated
- **Sent on:** Date and time when the serial number was sent to a consumer via a serial number list request.
- **Updated on:** Date and time when the last update was made to the serial number

Activities

You can perform the following actions in the results pane:

- Create a Serial Number List Request

i Note

This option is used in exceptional scenarios when the consumer system is unable to implement the service required to generate serial numbers. When executing the action you have to specify the quantity of serial numbers, the requesting system and the GTIN or the GCP. Furthermore, you have to specify a file share location where the CSV file containing the serial numbers shall be stored. After the download you can distribute the CSV file to the consumer e.g. by Email or other means.

- Change the status of a serial number: Individual serial numbers can be declared as lost. Select one or more lines in the result list and execute action change status *Report as lost*.

- View related serial number
- View related serial number

2.1.2.5.1 Creation of Serial Numbers

Use

The following are the steps involved in creation of serial numbers:

Process

- Once the serial number is generated, it is in the *Created* status. The generation time reflects the date and time of the number generation. GTIN, GCP, and System are by default not populated after generation of the number as the serial number is not dedicated for a specific GTIN or GCP yet. You may specify a GTIN to assign the generated numbers to a specific GTIN upon manual generation. In that case the serial numbers are always generated for the first active range that allows serial number requests.

i Note

Exception: In case of external range definitions (range definition origin = *external*) serial numbers are requested from an external system for a particular GTIN or GCP, and imported as serial numbers in this particular context with values in the GTIN or GCP field.

- After the system requests the serial number, the status of the number is *assigned/send*. Now the request time stamp displays with date and time of the request. Furthermore, the system, GTIN or GCP are also assigned, indicating that the serial number has been requested from a system and is meant for the GTIN or GCP.
- During commissioning, the system determines if the serial number was requested by the same business partner when compared to the one commissioning the serial number. Only if this check succeeds, the SGTIN can be commissioned using the serial number. In this case, the status of the serial number is updated to commissioned and the update time stamp reflects the date and time of the commissioning. The status *commissioned* is one of two final status values.
- The second final status value is *reported lost* which means that the serial number was lost during processing and will not be commissioned anymore. You can set this status in three ways:
 - As a serial number consumer, you can implement a service which is similar to the serial number request. In this service, you can declare individual serial numbers as lost which sets the status of previously requested numbers to *lost*.
 - The serial number with the status reported lost can be set explicitly in the cockpit for particular and individual serial numbers which are in status **assigned/send**.
 - The serial number with the status reported lost can be set through the option force closing at the range level. When executing this option, all numbers that are in the status created or *assigned/send* and which belong to the range are set to the status *reported lost*, in order to allow closure of the range.

⚠ Caution

Please take care while using this option as you can revoke it only partially by activating the range again. Note that the serial numbers declared as lost cannot be activated anymore.

2.1.2.5.2 Serial Number Generation and Randomization

Use

SAP Advanced Track and Trace for Pharmaceuticals can generate or randomize unique serial numbers based on two algorithms with different flavors. The generation considers the definitions specified in the serialization profile like the character set, length, exclusion characters, and so on. In case of alphanumeric serial numbers, the randomizer only supports generation and randomization of numbers 0-9 and characters a-z and A-Z.

Process

Serial Number Generation

Serial numbers are always pre-generated to allow fast responding serial number requests. The two most important parameters to trigger number generation are threshold and lotsize.

- The threshold defines the quantity of serial numbers that is always available for requests. When the threshold is reached by a request, the system triggers the serial number generation automatically.
- The lotsize defines the quantity of serial numbers that is generated in one generation run.

i Note

As of SAP Advanced Track and Trace for Pharmaceuticals 2.0, Feature Package 03, the automatic generation of serial numbers triggered based on the threshold applies the lotsize as many times as required to reach the threshold within one generation run. The same applies to the initial generation as part of the auto-range automation. This means that in cases where the threshold is substantially higher than the lotsize, the duration of the serial number generation takes longer. In case you have connected an external number generator that has limitations regarding the maximum number that can be generated in one call, you should consider implementing the appropriate logic within the respective BAdI. The report-based serial number generation continues to trigger the generation of exactly one lotsize if conditions for generation are met.

Randomization Mode

The following randomization modes are available:

- Non-randomized: no randomization; only sequential number management
 - Numeric ranges with this mode support only ranges. You can even request a serial number list from such a range, but internally this is always handled as a range request and hence individual serial numbers are not visible.

- Alphanumeric ranges with this mode support both ranges and serial numbers. In this case, serial numbers are not randomized but generated sequentially and added to the serial number list.
- Randomized 1:100: From a given range, numbers are randomly chosen in a way that the algorithm picks numbers from the complete range and in total, only 1:100 of the potentially possible numbers of the range are used. For example, if the range carries 10000 numbers, you can pick only 100 numbers from this range.
- Randomized 1:1000: From a given range, numbers are randomly chosen in a way that the algorithm picks numbers from the complete range and in total, only 1:1000 of the potentially possible numbers of the range are used. For example, if the range carries 10000 numbers, only 10 numbers can be picked from this range.
- Randomized 1:10000: From a given range, numbers are randomly chosen in a way that the algorithm picks numbers from the complete range and in total, only 1:10000 of the potentially possible numbers of the range are used. For example, if the range carries 10000 numbers, only 1 number can be picked from this range.
- Combined Sequential number. + 3 Digit Randomized: In this mode, the first part of the serial number is a sequential number and the last three digits are determined randomly. In comparison to the 1:x randomization given above, the whole range is not taken into consideration for randomization.
- Combined Sequential number. + 4 Digit Randomized: In this mode, the first part of the serial number is a sequential number and the last three digits are determined randomly. In comparison to the 1:x randomization given above, the whole range is not taken into consideration for randomization.
- Manual Randomization: Randomization of serial numbers is done externally and the serial numbers are uploaded through a report. This mode is specifically used for China regulatory reporting.
- External Randomization: You can use the BAdI / STTP / ES_SNR_EXT_RAND Advanced Track & Trace: External Randomization to enable call of external randomizer for complex randomization tasks that are not supported by the SAP Advanced track and Trace for Pharmaceuticals randomizer. For more details regarding this BAdI, see the Configuration guide at <http://help.sap.com/attp>.

2.1.2.6 Serial Number Exchange

SAP Advanced Track and Trace for Pharmaceuticals supports exchange of serial number lists and serial number ranges. It can play both the role of the serial number provider if the range definition origin is *internal*, and it can play the role of the serial number requestor if the range definition origin is *external*.

If you are the provider of the serial numbers with your SAP Advanced Track and Trace for Pharmaceuticals system, then the requestor may be for example, an internal packaging line (line or site server of packaging line), an external Contract Manufacturer (CMO) or maybe also a local SAP Advanced Track and Trace for Pharmaceuticals system if you have a distributed landscape setup.

If you are the requestor of the serial numbers with your SAP Advanced Track and Trace for Pharmaceuticals system, then either you are acting as a CMO and the provider may be a Market Authorization Holder (MAH), or you are requesting the serial numbers from a central SAP Advanced Track and Trace for Pharmaceuticals instance if you have a distributed landscape.

The provider of serial numbers needs to define one or more systems for every supply chain partner who will request serial numbers. The system is used to identify the requestor in the provider system. This system must be assigned to the business partner that represents the supply chain partner. Also own packaging lines must request serial numbers with a system that is assigned to a business partner which has a role "own organization". Without this assignment a later commissioning of objects using these serial numbers is not possible. At commissioning it is checked whether the system that requested the serial numbers belongs to the same business partner that sent the commissioning event.

For every system representing a requestor the provider can maintain two important parameters: *maximum serial numbers per request* and *maximum outstanding numbers*. With *maximum serial numbers per request* the provider can limit the number of serial numbers that this requestor system may request in one call. With *maximum outstanding numbers* the provider can limit the total numbers outstanding (difference between numbers requested and reported back as commissioned or reported as lost). The outstanding numbers are calculated within a BAdI. The calculation can be adapted or deactivated if wished. The maximum outstanding numbers can be defined for the system representing the requestor on tab details (default for SSCC request and Trade Items) and on tab allowed trade items per trade item.

The requestor of serial numbers also needs to define a system which will be used for the serial number request and assign this system to the range definition with origin *external* as the origin system. In this system, the communication settings must be maintained properly in order to connect to the serial number provider and also the desired protocol and interfaces must be specified there (further details below).

All serial number entities used and furthermore the systems, the trade items and business partners (GCP range definition) involved into serial number exchange must be in status *active*, for a successful serial number exchange both on provider side as well as on requestor side.

The solution supports the following serial number request types with specific interfaces:

- ATTP 1.0 – Synchronous
- ATTP 2.0 – Synchronous
- ATTP 2.0 – Asynchronous

The requestor decides which of the available types to use. If the requesting system is an SAP Advanced Track and Trace for Pharmaceuticals system, then the serial number request type must be selected in the system that is used for the serial number request.

The serial number requestor needs to set up serial number management in a compatible way with the provider. The requestor needs to have certain knowledge about the capabilities of the provider.

If a serial number range on the provider side is *list managed* than a requestor can only request a serial number list.

If a serial number range on the provider side is *range managed* then a requestor typically requests a range, but a list request is also possible (if the provider system is a SAP Advanced Track and Trace for Pharmaceuticals system).

If you are acting as a serial number requestor (for example, you are the contract manufacturer for a market authorization holder) and you set up a range definition with origin *External*, defined the parameters *Threshold* and *Lotsize* for the range definition, and your range definition is only used by exactly one GTIN/GCP, then a serial number list request or a range request is automatically triggered if the remaining serial numbers fall below the defined threshold. Alternatively, you can execute the transaction `/STTP/SNR_REQUEST - Request of serial numbers` (From the *SAP Menu*, go to **Advanced Track and Trace** **Repository Data Management** **Advanced Serial Number Mgmt.**). For more information, see the report documentation. All errors that occur during processing are logged in the AIF interface `SN_REQ_ALT` (Serial number request threshold alert) assigned to namespace `/STTPS`. For more information on the maintained default recipients and alert categories, see the chapter *AIF Error Handling* in the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

If you are dealing with range definitions with randomization scope *8-Manual Randomization* (typically used for Chinese trade items) then an automated serial number request is not possible because the process is mostly manual. So, for a range definition, which manages serial numbers for a Chinese trade item for example, the user needs to request new serial numbers on a web portal, download them, and finally upload them to SAP

Advanced Track and Trace for Pharmaceuticals. The only step which can be automated is that after every serial number request, it is checked whether the threshold of available serial numbers is reached. If it is reached, an alert is triggered in the AIF interface `SN_REQ_ALT` (Serial number request threshold alert) assigned to namespace `/STTPS`. Based on this alert, a user can be notified and so at least SAP Advanced Track and Trace for Pharmaceuticals will tell the user to initiate the manual procedure described above to request and upload new serial numbers. For more information on the maintained default recipients and alert categories, see the chapter *AIF Error Handling* in the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

If you need to use SOAP communication using different formats and WSDLs you can use communication adapter for serial number exchange. The adapter may use the same WSDL but add or adjust certain data or use a completely different WSDL and map the data accordingly. Adapter can be defined as an attribute of the system (in communication settings, only if communication type is Web Service). If you use adapter standard ATTP processing logic will be omitted. You need to implement adapter processing logic based on BAdI if you need to use it.

2.1.2.6.1 ATTP 1.0 – Synchronous

Here a distinction between serial number list request and serial number range request is done. So the requestor decides whether to request a serial number list or a serial number range and uses the respective interface.

On the provider side, both Web Services as well as Remote Function Modules (RFC) are supported.

If the requesting system is an SAP Advanced Track and Trace for Pharmaceuticals system then only web services are supported. In this case at the requestor side, the communication settings of the system used as origin system must be maintained as follows:

- Communication type: *web service call*
- External system name: The name by which your system is known in the providing system
- Serial number request type: *ATTP 1.0 Synchronous*
- Logical port: Enter the logical port used for serial number request to connect to the system of the serial number provider

2.1.2.6.1.1 Serial Number List Request

Here the requestor requests a serial number list and provides amongst others, the following parameters:

- System by which the requestor is identified in the provider system
- Desired quantity of serial numbers
- Either the GTIN if the serial numbers are meant for SGTINs, or the Global Company Prefix (GCP) in case the serial numbers are meant for SSCCs.

The provider executes the request and synchronously sends back the list of serial numbers.

On provider side, on a high level, the following steps are executed:

- Check whether Trade item of GTIN or Business Partner of GCP is active

- Check whether requesting system exists, if it is in status *active* and if it is authorized to request serial numbers for this GTIN or for SSCCs
- Determine range definition for GTIN or GCP and check that it is active
- Determine all active ranges for range definition and system. If no range found, determine all active ranges for range definition without system assignment (active range without system assignment valid for all authorized systems)
- Determine *Maximum Serial Numbers Per Request* for requesting system and check that the requested quantity is smaller or equal. If not the request quantity will be reduced accordingly.
- Determine *Maximum Outstanding Numbers* for requesting system, calculate currently outstanding numbers and ensure that *Maximum Outstanding Numbers* is greater than current outstanding numbers. If not the request quantity will be reduced accordingly.
- Per Range, the following is done:
 - Determine whether the list request can be fully provided from this range. If yes, then provide back the list from this range and exit processing.
 - In case of remaining list request quantity, go to the next ranges and try to get the remaining numbers there. Go on till full request quantity is reached.
 - In case none of the ranges can provide numbers, return with an error message.

2.1.2.6.1.2 Serial Number Range Request

Here the requestor requests a serial number range and provides amongst others the following parameters:

- The system by which the requestor is identified in the provider system
- The desired quantity of serial numbers
- Either the GTIN, if the serial numbers are meant for SGTINs, or the Global Company Prefix (GCP), if the serial numbers are meant for SSCCs.

The provider executes the request and synchronously sends back the range of serial numbers.

On the provider side, on a high level, the following steps are executed:

- Check whether Trade item of GTIN or Business Partner of GCP is active
- Check whether requesting system exists, is in status *active* and is authorized to request serial numbers for this GTIN or for SSCCs
- Determine range definition for GTIN or GCP and check that it is active
- Determine all active ranges for range definition and system. If no range found, determine all active ranges for range definition without system assignment (active range without system assignment valid for all authorized systems)
- Determine *Maximum Serial Numbers Per Request* for requesting system, and check that requested quantity is smaller or equal. If not the request quantity will be reduced accordingly.
- Determine *Maximum Outstanding Numbers* for requesting system, calculate currently outstanding numbers and ensure that *Maximum Outstanding Numbers* is greater than current outstanding numbers. If not the request quantity will be reduced accordingly.

→ Tip

The logic is encapsulated within a BAdI default implementation. The BAdI can be deactivated or the logic can be changed if wished.

- Per Range, the following is done:
 - Determine whether the request can be provided from this range (fully or partially). If full or partial quantity can be provided, then create the range request from this range and return range to the consumer.
 - If nothing can be provided, go to the next range.
 - In case none of the ranges can provide numbers, then return with an error message.

2.1.2.6.2 ATTP 2.0 – Synchronous

This is an additional synchronous serial number interface with the following capabilities:

- One interface capable of handling list and range requests:
 - Requestor can optionally specify whether list or range should be returned.
 - If not specified, then the provider side automatically determines whether to return a serial number list or range (dependent on the capability of the underlying range)
- In addition to the pure serial numbers or range, the requestor also can request readily encoded data either as GS1 element string (bracketed or unbracketed) or EPC URI format.
- If serial numbers for Trade items are requested, the requestor can also request for product types other than GTIN, for example, Chinese EDMC code or any other national code type known in the provider system.
- If serial numbers are requested for SSCCs, the requestor can request serial numbers or a range for a particular SSCC extension digit (first digit of SSCC in GS1 Element String, first digit of serial number)
 - Prerequisite: The provider must manually create and activate ranges with the extension digits as a prefix for the particular range definition.
- Available as RFC and Web Service both on requestor and provider side.

The processing logic is similar to the one described above in chapter [ATTP 1.0 – Synchronous \[page 50\]](#), only that there is no distinction between list and range request. For more information, see the configuration guide.

2.1.2.6.3 ATTP 2.0 – Asynchronous

This is the additional asynchronous serial number interface with the same capabilities as the synchronous interface described above in chapter [ATTP 2.0 – Synchronous \[page 52\]](#).

In addition, the following specifics for asynchronous communication apply:

- Callback address of asynchronous response:

Both for the asynchronous RFC as well as for the asynchronous web service, the callback address is not provided within the request message, but maintained at the system on the provider side either as a logical port or as an RFC connection.
- No protocol change:

The asynchronous response always must use the same communication type as the request. A protocol change is not allowed, and will result in an error. So if a request is done via RFC then the response also has to be done via RFC. So on provider side the communication settings must be maintained appropriately for the requestor system.

- Check that callback is sent back to the same caller:
The asynchronous response will always be done to the same caller and never to a different party's system. When a requestor creates an asynchronous serial number request, then the IP address of the caller is compared with the IP address of the logical port or the RFC connection maintained in the system of the requestor and the response is only sent if the IP address matches. Otherwise the request will result in an error.
- Asynchronous RFC:
All non-standard callback RFCs that shall be used as a response to an asynchronous Serial Number Request must be explicitly whitelisted. The standard SAP Advanced Track and Trace for Pharmaceuticals callback RFC is automatically whitelisted. For more information, see the configuration guide.

2.1.2.7 Asynchronous Serial Number Request Response via SAP Information Collaboration Hub

As an implementation of the Communication Adapter for SAP Information Collaboration Hub for Life Sciences, SAP Note [2652536](#) is provided to cover EPCIS message exchange as well as the process of asynchronous Serial Number Request Response.

2.1.2.8 Additional Functionalities for Advanced Serial Number Management

2.1.2.8.1 Check and Set Alert Level for Range Definitions and Ranges

Use

This report examines all active range definitions and ranges and determines whether an alert status needs to be set.

Through the selection parameter of the report, you can specify whether the alert status shall be determined for range definitions or ranges and you can define horizons which are considered to advance the alert setting in order to have reaction time.

Prerequisites

Run the following report: ► [SAP Menu](#) ► [SAP Advanced Track and Trace](#) ► [Repository Data Management](#) ► [Advanced Serial Number Management](#) ► [/STTP/SNR_ALR_LEVEL - Check and Set alert level for Namespace definitions and Ranges](#) ►.

Process

Set Alert Status for Range Definitions

- The range definition supports following alert status values: initial (no alert), close to expiry date.
- In order to determine the alert status for range definitions mark the respective checkbox and optionally provide the horizon before expiry in days.
- The report examines whether the expiry date of all active range definition version falls within the current date plus the horizon and if true sets the alert status of the range definition version to close to expiry date.

Set Alert Status for Ranges

- The range supports following alert status values: initial (no alert), Alert fill level reached and confirmation overdue.
- In order to determine the alert status mark the respective checkbox and optionally provide the horizon before expiry in days and/or the Days Offset since Last Activity.
- The alert status Alert fill level reached is not determined by this report but always calculated dynamically whenever the fill level is updated in the range (this typically happens with range- or list requests).
- The report deals with the determination of the alert status confirmation overdue which has the following flavors.
 - The report determines whether for active ranges the related range definition version is close to expiry date but also still active. Like for the range definition version above here also the horizon before expiry in days is considered for the determination and if the range definition falls into the horizon the alert status is set to confirmation overdue for the respective range.
 - The report determines whether ranges with long periods of inactivity still have open serial numbers to be confirmed. Here you need to specify the selection parameter Days Offset since Last Activity. Based on this parameter all active ranges with the last change date that lie outside this horizon are selected and it is determined whether these ranges still have serial numbers to be confirmed (sent numbers do not equal the sum of commissioned numbers and lost numbers) and if so the alert status is set to confirmation overdue.
 - In case the alert status is already set for a range then it is not overwritten by this report. In order to avoid this you need to process the existing alerts regularly and reset the status in order to allow to re-determine the status based on a new situation.

You can access the report at this path: ► [SAP Menu](#) ► [SAP Advanced Track and Trace](#) ► [Repository Data Management](#) ► [Advanced Serial Number Management](#) ► [/STTP/SNR_ALR_LEVEL - Check and Set alert level for Namespace definitions and Ranges](#) ►.

You can schedule the report in a regular cadence in order to ensure that the alert status is re-determined timely.

After the report has been executed you can select the range definitions and ranges in the data cockpit for a particular alert status. So you will only see those entities with an alert. You can process the range definition or range according to the alert status (for example, close a range definition version close to expiry date) and then manually reset the alert status via the action offered in the results plane.

2.1.2.8.2 Generation of Serial Numbers

Use

This report examines all active ranges with range type list managed and range and list managed and determines whether serial number generation has to be triggered for this range.

Process

Report Selection Parameter

- Trade item: if you specify one or more trade items then the respective range definitions are determined to which the trade item is assigned and from there all active ranges with correct range types are determined.
- Filter by threshold and distance to threshold in %:
 - If you do not select the checkbox filter by threshold then the threshold is not taken into consideration for the range determination. This as a consequence means that basically all ranges that meet the other selection or filter criteria will be considered for serial number generation.
 - If you select the checkbox filter by threshold then you also need to provide a positive value in field distance to threshold in %. By this it can be controlled that the number generation is started before reaching the threshold value. Remember that as soon as the threshold is reached serial number generation is triggered dynamically and this will result in system load during potentially critical timeframes. So by triggering the generation earlier via this report the generation can be moved into times when system load is low.
- Filter by range type and range: if you select the checkbox filter by range type you also need to specify the range types which shall be considered. Only the following two range types make sense: *list managed* and *range & list managed*.

Based on the selection parameter the report determines all relevant ranges where serial number generation shall be triggered and then generates the given lotsize of serial numbers. If no lotsize is defined at the range then no generation is triggered.

You can access the report at the following path: [SAP Menu](#) > [SAP Advanced Track and Trace](#) > [Repository Data Management](#) > [Advanced Serial Number Management](#) > [/STTP/SNR_GENERATE - Generation of Serial Numbers](#) 

You can schedule the report in a regular cadence in order to ensure and control that the serial number generation is executed during a time of the day when the system load is low.

2.1.2.8.3 Generation of Serial Numbers per Document Item

Use

SAP Advanced Track & Trace for Pharmaceuticals supports generation of serial numbers based on document items. Using the functionality, you can generate serial numbers for items of a business transaction (for example, based on a purchase order or a production order). The amount of serial numbers to be generated is determined from the confirmed quantity of the business transaction item.

Example

If the Production Order Item has a confirmed quantity of *10*, 10 serial numbers will be generated.

The serial numbers will be generated and pre-assigned to the GTIN of the business transaction item.

i Note

If the trade item is crypto code relevant and customizing parameter `AUTO_CRYPT_ORDER` is enabled in the general customizing, crypto codes will also be ordered automatically upon generation. For further details, see the general configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>. When multiple items are processed at once, the crypto code request can be combined or split. For more information, see chapter [Crypto Code Handling Report \[page 60\]](#).

Process

You can configure via Customizing parameter `AUTO_SNR_GEN_DOC_INT` that serial numbers are automatically generated on integrating business transaction documents. For more information, see the general configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

You can also use this feature directly on the Data Cockpit (transaction `/STTP/COCKPIT`) as follows:

1. In the *Business Transactions* section, search and select your document.
2. Navigate to the *Items* tab.
3. Select the document item for which the serial numbers should be generated.
4. Choose **► Additional Functions ► Generate Serial Numbers ►** to generate the serial numbers for the selected document item.

i Note

When crypto code requests are triggered from several business transaction items with the same GTINs, their quantities are aggregated into the same crypto code request because the order management station (OMS) doesn't accept duplicate GTINs in the emission order.

2.1.2.8.4 Move Serial Number List Entities to Shadow Table

The serial number list database table may become very large over time. In order to keep this database table lean, SAP recommends to archive serial numbers as early as possible (see [Mass Handling of Ranges \[page 57\]](#) and also [Archiving Serial Number Data \[page 266\]](#)). Archiving is only possible for ranges in status *closed*. This report `/STTP/SNR_MOVE - Move SNR List Entries to Shadow Table` is an additional option to reorganize the database table before closing the corresponding range. This report only works for list managed ranges with the following randomization modes: *0-non-randomized*, *5-combined sequential + 3 digit randomized*, *6-combined sequential + 4 digit randomized*. If this prerequisite is fulfilled then the report moves all serial numbers with a *final status* commissioned or reported lost to the shadow database table. For more information, see the report documentation.

2.1.2.8.5 Mass Handling of Ranges

The serial number list database table may become very large over time. In order to keep this database table lean SAP recommends to archive serial numbers as early as possible. Archiving is only possible for ranges in status *closed*. Therefore SAP recommends that you create small ranges and use them for a small timeframe, and close them regularly and then archive the serial numbers. Range automation and mass handling helps you to do this. You can define a range automaton profile and assign it to the respective serialization profile. In the range automation profile you can define number of digits used for auto range creation and also an expiry in months for the range. When this is maintained, then at creation of the range definition an initial range is created with the prefix and activated in a way that it can be readily used. This report `/STTP/SNR_RANGE_MASS - Mass handling of ranges (auto close and create)` now automates the ongoing management of ranges. Instead of manually closing ranges and creating and activating new ones, this report uses the range automation parameters to detect ranges to be closed via the expiry date of the fill level, and closes them in two stages. First the expired range is blocked for serial number request and a new range is created and activated using the range automation settings and then in a second step the expired range is set to status closed. For more information, see the report documentation and [Serialization Profile \[page 29\]](#).

2.1.2.8.6 Range Prefix Assignment

If you need to set up additional prefixes for serial number generation for an active range that still has a lot of serial numbers, it could be risky, or even impossible, to rework the range and its range definition. So, to set serial number prefixes for active ranges, you can use the report [Range Prefix Assignment](#) (transaction `/STTP/SNR_RANGE_PREF`).

However, wherever possible, you should use the usual range definition and range approach. Use this report only if a prefix must be added to active ranges that still has a lot of serial numbers.

After you create a prefix, subsequent serial numbers are generated starting with a prefix. The corresponding range and range definition statistics are then corrected automatically.

Currently, you can create only one prefix per range definition.

If you try to create another prefix in a range definition that already has a prefix, you will get an error message. However, you can still set unutilized serial numbers as lost despite such an error message, for example, by executing the report a second time.

Caution

- You can still manually generate serial numbers for ranges which do not intersect with a prefix scope. Therefore, you must decide whether to close such ranges via the Data Cockpit.
- Choosing option *Set Unutilized Ser.No. as Lost* will set all serial numbers for entered GTINs as *Lost*. This excludes serial numbers already starting with the prefix entered on selection screen. This can be done as a separate step. However, you will need to enter the same prefix as already created.
- Entering * as the GTIN can result in selecting a lot of data. It can also result in setting a lot of serial numbers as *Lost* if option *Set Unutilized Ser.No. as Lost* is chosen.

2.1.2.8.7 Serial Number Management Data Change Logging

Some attributes of range definitions and ranges have the characteristics of master data attributes. The other attributes have the characteristics of transactional data. Changes of master data attributes of range definitions and ranges are logged using change documents. Within the data cockpit, all changes of master data attributes of range definitions and ranges are visualized on the tab *Change Documents*. As not all changes of range definitions and ranges are logged, it is not possible to display old states of ranges definitions and ranges.

2.1.2.9 Serial Number Status Management and Usage Interface

Advanced Serial Number Management is keeping track of the status of the contained serial numbers both in case of range managed ranges and in case of list managed ranges. The interface *Send / Receive Serial Number Usage Information* enables the requestor of the serial numbers to report lost numbers back to the serial number provider so that the provider also will see correct statistics.

Range managed Ranges

In case of range managed ranges the range request carries a summary how many numbers have been requested, how many have been commissioned and how many numbers have been declared as lost.

- The numbers sent are determined when the range request is created.
- The numbers commissioned are increased in case a commissioning event is processed with one or more items with numbers from that range.
- The numbers lost can be provided through the interface [Send / Receive Serial Number Usage Information](#).

Once the sum of commissioned and lost numbers of a range request equals the sent numbers then the range request is fully executed and the status automatically is changed to closed.

However, there are cases where the sum of commissioned and lost numbers never will equal the sent numbers because e.g. the consumer is not able to implement the interface [Send / Receive Serial Number Usage Information](#) mentioned above. In such cases, the status of the range request can be changed to “closed” and with this the open quantity of serial numbers is declared as lost. After the status change no further commissionings can be done for the range request.

Serial number list managed Ranges

In case of list managed ranges every serial number carries its own status like [Created](#), [Assigned/Sent](#), [Commissioned](#) and [Reported Lost](#). The status is updated whenever the individual serial number is touched during processing.

- When it is generated then the status is set to [Created](#)
- When it has been requested within a list request by a system the status is changed to [Assigned/Sent](#)
- When the object is commissioned for that serial number the status is changed to [Commissioned](#)
- The status can be set to [Reported Lost](#) either manually for individual serial numbers within the results pane of serial numbers or the consumer can provide the numbers declared as lost through the interface [Send / Receive Serial Number Usage Information](#).

Send or Receive Serial Number Usage Information

The interface [Send / Receive Serial Number Usage Information](#) enables a status update for requested numbers or ranges especially to declare the numbers as lost. The interface can be used for range and list managed ranges. SAP Advanced Track and Trace is capable of sending and receiving data through the interface.

In case the system acts as the serial number requestor then the interface is triggered through the reconciliation report `/STTP/SNR_RANGE_RECONC` [SAP ATTP : Range Reconciliation Report](#) which considers and reconciles all closed ranges to the original system.

In case the system acts as a serial number provider then the consumer needs to implement the interface and the system can receive and process the usage information.

2.1.2.10 Crypto Code Management

2.1.2.10.1 Crypto Code Handling Report

You can access the report for crypto code handling `/STTP/SNR_CRYPTO - Manage Crypto Codes` via the *SAP Menu* under **▶ Advanced Track & Trace ▶ Repository Data Management ▶ Advanced Serial Number Mgmt. ▶**

Purpose

You use this report to view and manage crypto codes- for GTINs that are crypto code-relevant.

The report selects available crypto codes and displays a count depending on serial number crypto code status for the selected crypto code relevant GTINs.

This helps you to quickly check, for example, if there are enough crypto codes available for a GTIN, or if there are any crypto code requests that require an activity like retrieval, or confirmation.

Prerequisites

You have defined which of your trade items are crypto code relevant by doing one of the following:

- Additional property `RR_CRYPTO_RELEV` has been maintained with property value 'X'
- You have maintained the crypto code relevance for all trade items for a country via customizing parameter `SNR/RR_CRYPTO_RELEV`.

Note

In case you have maintained all product for a given country as crypto code relevant by default you can exclude a trade item from being considered crypto code relevant by maintaining the additional *property* `RR_CRYPTO_RELEV` with value '' (blank).

Selection

You can search using the following:

- GTIN
- Material Number

The corresponding value help result only display GTINs and materials that are crypto code relevant.

- Country Code

Additionally, you can filter data by selecting the following :

- *Select Only GTINs with Open Requests* : If this checkbox is selected, only those GTINs are selected that have at least one open crypto code request (status *Requested*).
- *Maximum Hits*: You can define the maximum number of result entries that shall be returned from a search operation.

To execute the selection, choose *Search*.

Output

The report lists crypto code statistics according to the selection criteria within an ALV grid (display only).

For all selected lines in this first ALV grid, details on the corresponding crypto code retrievals are displayed in a second ALV grid (display only). By default, only data corresponding to open retrievals is displayed. To display all retrievals for the selected scope from the first ALV list, choose *Display All Retrievals*.

The following crypto code statistics can be displayed per GTIN:

- Number of available serial numbers with crypto code
- Number of serial number crypto codes with open request
- Number of issued serial number crypto code
- Number of commissioned serial number crypto codes
- Number of disposed serial number crypto codes (column is hidden by default)
- Number of Deleted (utilized) Serial Number Crypto Codes
- Number of Deleted (non-utilized) Serial Number Crypto Codes

The confirmation indicator is not considered when crypto code statistics are calculated.

Crypto codes that are non-utilized can be deleted if the crypto code buffer was closed before retrieval or the crypto code request was set to status *Obsolete*. You can see the non-utilized crypto codes in [Crypto Code Statistics](#) in the column *Deleted (Non-Utilized) Crypto Codes* via the report [Manage Crypto Codes](#).

The following attributes of the crypto code retrieval and of the corresponding request can be displayed:

- Global Trade Item Number (GTIN)
- Crypto Code Request Timestamp*
- Crypto Code Emission Due Timestamp
- Crypto Code Retrieval Status
- Crypto Code Request Status*
- Crypto Code Requested Quantity
- Crypto Code Retrieved Quantity
- First Crypto Code Retrieval Timestamp*
- Last Crypto Code Retrieval Timestamp*
- Request Order Identifier (from OMS)*
- OMS ID*
- Crypto Code Request Internal Identifier*

* These attributes are by default hidden and can be display based on the ALV layout settings.

Activities

The following actions are possible for the selected GTINs in the first ALV grid:

- [Request Crypto Codes](#): A popup opens to let you define the amount of crypto codes to be requested for the selected GTINs. You can choose one of the following:
 - You can choose to request the same amount of crypto codes for all selected GTINs
 - You can choose to define an individual amount for each GTIN. In this case, you can edit the amount per GTIN in a dedicated ALV grid.

On execution, a request to the order management station for crypto code ordering is sent.

Crypto code requests are split or combined accordingly based on the following criteria:

- Industry
- Country of origin
- Business partner number

Furthermore, the amount of GTINs that can be sent in one request to the Order Management Station is restricted individually per industry as follows:

- Pharmaceuticals: 1 GTIN per request only

- Fashion: up to 10 GTINs per request
- Shoes: up to 10 GTINs per request
- Tobacco: up to 10 GTINs per request
- Tires: up to 10 GTINs per request
- Milk: up to 10 GTINs per request
- OMS simulator: up to 10 GTINs per request

You can also split the crypto code request by a maximum crypto code quantity per request, which you can set using the customizing parameter `CC_SIZE_REQUEST`.

It is assumed that the same business partners will have the same GCP, and so the same OMS system.

The following actions are possible in the second ALV grid:

- *Display All Retrievals / Display Open Retrievals*: By default, only data corresponding to open retrievals is displayed. Use this button to toggle between displaying all retrievals and only the open retrievals for the selected GTINs.
- *Additional Functions* – These actions can be only executed on a single retrieval.
 - *Retrieve Crypto Codes*: Sends request to OMS for crypto code retrieval.
 - *Check Order Status*: Checks order status and gets the information about number of available codes for retrieval. Also checks whether codes buffer is ready.
 - *Import Crypto Codes from File*: Import crypto codes from file that was generated after retrieval from OMS to the file.
 - *Confirm Used Crypto Codes*: Sends usage notification to OMS. This is a notification about which crypto codes were used.
 - *Retrigger Request*: Triggers failed emission crypto code request to OMS.
 - *Close Crypto Code Buffer*: Closes the GTIN array within the OMS.
 - *Set Request Obsolete*: Closes request and sets the status to *Obsolete* if no open request items exist.

i Note

As of SAP Advanced Track & Trace for Pharmaceuticals release 3.0, the option *Confirm Lost Crypto Codes* has been disabled.

Show in AIF: Starts the AIF error monitor (Transaction `/AIF/ERR`) with the AIF message GUID that is stored per request. This action can only be executed on a single retrieval.

The OMS ping method can be triggered automatically in background before all activities mentioned in this section. This ping includes getting the OMS and API versions that are shown in the application log. To trigger this ping activity, you have to set the customizing parameter `RR_RU_OMS_PING` in General Customizing. For more information, see chapter *General Customizing* in the configuration guide for Russia for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

2.1.2.10.2 Serial Number Request with Crypto Codes

Serial number request support crypto codes functionality. Crypto codes are mandatory addition to serial numbers for crypto code relevant trade items.

Crypto codes can only be retrieved based on generated serial numbers and linking them to a specific GTIN. Crypto codes need to be requested and retrieved before serial number requests.

Serial number requests for crypto code relevant GTINs must use the serial number request 2.0 (synchronous and asynchronous) with list request and readily encode setting set as GS1 element string (unbracketed). Crypto codes are issued as a part of encoded serial numbers using the existing service interfaces. The serial number request will only issue serial numbers with valid retrieved crypto codes for a GTIN that is considered crypto code relevant (that is, the availability of the serial number alone is insufficient for a successful serial number request).

i Note

If you execute the manual serial number request within the Data Cockpit to receive a CSV file for manual distribution, you need to remember to select the *Readily Encoded* indicator within the popup. In that case, the downloaded CSV file will contain the readily encoded list of code according to the encoding rules and data for that GTIN (that is, the actual content that needs to be printed as Data Matrix).

2.1.2.10.3 Automatic Crypto Code Request During Serial Number Generation

Crypto code requests can be triggered automatically upon serial number generation. In that case, the crypto codes are ordered in the background automatically. This feature is configurable via the customizing parameter `SNR/AUTO_CRYPTTO_ORDER`. For more information about this parameter, see the general configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

To trigger crypto code requests automatically, make sure that customizing parameter `SNR/AUTO_CRYPTTO_ORDER` is enabled in the customizing and call transaction `/STTP/COCKPIT` to generate serial numbers.

Use one of the following ways:

- Choose the additional function *Generate Numbers* within a range to generate serial numbers for a specific GTIN.
- Choose the additional function *Generate Serial Numbers* to generate serial numbers within an item of a business transaction (see chapter [Generation of Serial Numbers per Document Item \[page 56\]](#)).

As the serial numbers are generated for a specific GTIN, a crypto code order is sent automatically to the order management station. You can see the request details in the report transaction `/STTP/SNR_CRYPTTO` within the crypto code retrievals table of a selected GTIN.

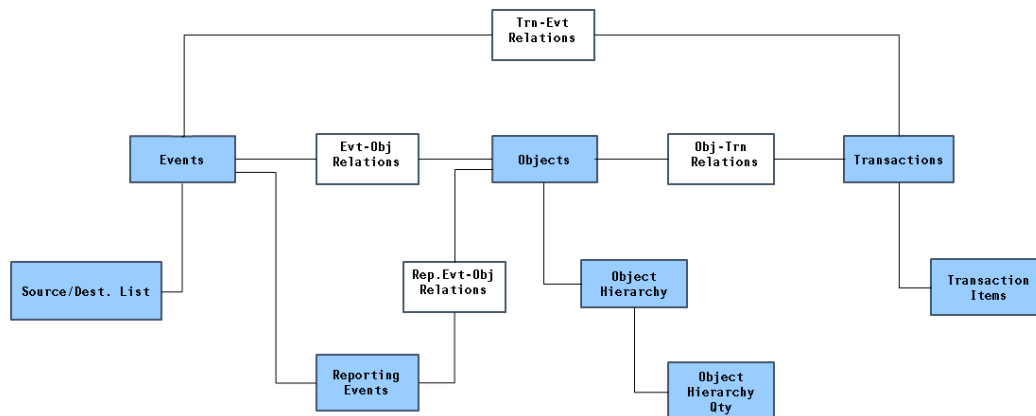
2.1.3 Repository Browser

Definition

The Repository Browser provides all the transactional object related entities of SAP Advanced Track and Trace for Pharmaceuticals.

Structure

The data model of SAP Advanced Track and Trace for Pharmaceuticals consists of the following core entities:



- Events
- Objects (of types Lots/Batches, Serialized Trade Items, and Serialized Containers)
- Transactions
- Reporting Events

These entities may have sub entities and they are inter-related with each other by entity relations. The following picture shows the simplified data model:

Objects

Objects are the heart of the solution and can represent either serialized or as well non-serialized entities.

Objects have the following common attributes:

- They have an identifier which can be encoded or decoded in different representations such as GS1 element string or EPC URI format.
- They have a status, logistic status, pack status, and disposition.
- Serialized items have a business location indicating the currently known location.
- They have relations to events that manipulated the objects.
- They can be part of a hierarchy.
- They can have relations to business transactions such as production orders or deliveries.
- They can have relations to reporting events.

Certain object attributes may be changed based on events received. The solution does not trail the history of such attributes within the object itself. The object only reflects the current state. However, you can reconstruct the attributes that can be changed through the event data. SAP Advanced Track and Trace for Pharmaceuticals

is able to store the current object hierarchy. In order to be able to reconstruct historic hierarchies, the object-event relationship carries a parent indicator.

More Information

- [Lots \[page 65\]](#)
- [Serialized Trade Items \[page 68\]](#)
- [Serialized Container \[page 69\]](#)
- [Events \[page 71\]](#)
- [Reporting Events \[page 73\]](#)
- [Business Transaction \[page 76\]](#)
- [Object Tracing and Event Processing \[page 84\]](#)

2.1.3.1 Lot

Use

Lot is also known as a Batch or LGTIN and is a non-serialized quantitative object with a quantity that is typically more than 1. A physical lot may be present at the same time in many different places with partial quantities and therefore cannot be uniquely identified.

A lot is identified by its GTIN and its lot number and you can convert it into different representations like GS1 element string (example –(01)03953720000003(10)BT290504) or EPC URI format (example – urn:epc:class:lgtn:395372.0000000.BT290504).

Features

In comparison to the other object types the lot has the following specific attributes:

- **GTIN**
- **Lot Number**
- **Expiry Date:** This field denotes the date when a serialized trade item or batch expires.
- **Manufacturing Date:** This field denotes the date when a batch, and therefore all serialized trade items belonging to that batch, has been produced.

i Note

Expiry date and Manufacturing date can be changed only as long as the lot is *not released* (disposition = non sellable other). In case a lot is *released* (disposition = active), manufacturing and expiry date cannot be updated anymore. In this case the lot based EPCIS event message will be processed without updating manufacturing and expiry date, and a warning message will be logged in the application log.

- **Produced Quantity:** This field describes how many units of a batch have been produced so far.
- **Lot Disposition Values:** As a lot is an object with a quantity more than 0, it typically occurs at many places and might have many different states at the same time. This leads to various generic GS1 disposition values that do not make much business sense. Hence, this field describes the current business condition of the object. You can change the values by using EPCIS events. The following three dispositions are supported for lots:
 - ▶ *active* ▶ corresponds to ECC batch status “unrestricted” (meaning: batch is released) ▶
 - ▶ *non-sellable other* ▶ corresponds to ECC batch status “restricted” (meaning batch is not released) ▶
 - ▶ *inactive* ▶ de-commissioned ▶

All other disposition values will be ignored during event processing for LGTINs. Disposition changes to a lot are recorded only if the event message carries no quantity in the quantity list, meaning the whole lot is addressed.
- **Lots without serialized items (lot level traced batches)**

The serialization type of trade item is *Lot Managed*. This means that in SAP Advanced Track and Trace for Pharmaceuticals, only the lot exists and no serialized items refer to the lot. This option has been implemented to support the requirements for the US DSCSA Lot level traceability. For more information, see [Country-specific Features \[page 112\]](#). Only in case of lots without serialized items, lot quantities can be aggregated into SSCCs and once this is done, the quantities can be tracked or traced through the SSCC. In this case, the aggregated lot quantities are visible in the object hierarchy both for the lot and also for the SSCC.
- **Lots with serialized items (serialized batches)**

The serialization type of batch is *Serialized* or *Serialized and Traced*. Besides the lot, serialized trade items exist that refer to the lot. Hence, every serialized trade item carries a reference to its respective lot. Therefore, for a particular lot, the related serialized trade items can be determined and displayed and counted as summary information.
- **Archive and Storage Information**

If the object is (partly) archived, additional fields can be displayed, which contain the storage status, the archive file name, and its offset. This data can be used to scan the corresponding archive.

Activities

You can perform the following actions in the selection screen:

- *Related Events*: navigate to the view events and select and display all events related to the serialized trade item.
- *Related Business Transactions*: navigate to the view Business Transactions and select and display all business transactions related to the serialized trade item.
- *Post Manual Event* : Enables you to compose and post different kinds of event messages.
- ▶ *Special Functions* ▶ *Trace Request* ▶

You can download inbound and outbound messages that are relevant for the related serialized trade items of the selected lots to a local PC or application server. You can also put all the files into a single ZIP archive and download it. You can also include archived files. You can select archived lots as well, but currently you cannot download the messages for its related serialized trade items.

More Information

2.1.3.1.1 Creation of Lots

Use

You can create the LGTIN object explicitly based on an EPCIS event for LGTIN classes or implicitly based on an EPCIS event for SGTIN instances referring to a batch.

Process

In general, there are 4 possibilities to create an LGTIN object within SAP Advanced Track and Trace for Pharmaceuticals repository system:

- Object Event, Action ADD, Quantity List (with or without quantity), ILMD (Instance Lot Master Data), Business Step – commissioning
- Object Event, Action ADD, Quantity List (with or without quantity), Extension Attributes for LOT, Business Step – commissioning
- Object Event, Action ADD, EPC List, ILMD, Business Step – commissioning
- Object Event, Action ADD, EPC List, Extension Attributes for LOT as reference for SGTINs, Business Step – commissioning

If the lot data is passed as part of an event with SGTIN objects (EPC list), an AutoCreate LGTIN event with the known batch attributes (all extension attributes matching the lot object attributes) is triggered. The disposition of an auto-created LGTIN will always be active.

i Note

Only one Instance Lot Master Data (ILMD) segment can be transferred within one event. The ILMD segment applies to all entries within the quantity list and the EPC list. This solution ignores ILMD for object types SSCC. If both ILMD and extension attributes are provided, the ILMD is considered and the extension attributes are ignored.

Within this repository capture framework, all LGTIN events other than the explicitly supported ones are ignored. In other words, the event is logged and a relation is created, but no updates on the LGTIN are made.

Lot Object Locations:

Within the SAP Advanced Track and Trace for Pharmaceuticals repository events which contain a Business Location, may potentially change the location of objects and thus affect the historic locations for LGTIN objects also. Therefore, objects events which may change location reference to related LGTIN objects, in addition to the objects included within the events.

2.1.3.2 Serialized Trade Items

Use

A Serialized Trade Item (SGTIN) typically represents a saleable and uniquely identifiable pharmaceutical product.

Serialized Trade Items are identified by GTIN and the serial number. It can be converted into different representations like GS1 element string (for example, (01)075012000000027(21)81502241000000000004) or EPC URI format (example, urn:epc:id:sgtin:750120.0000002.81502241000000000004).

SGTINs always have a quantity equal to 1 in its UOM and therefore can only be at one place and in one state at a given time. Therefore, SGTINs can be included within an object hierarchy. SGTINs typically carry a lot reference. In such a case, the lot for an SGTIN can be determined and displayed and the lot data such as expiry date or manufacturing date can be displayed together with the serialized item.

Features

In comparison to the other object types, the SGTIN has the following specific attributes:




- **GTIN**
- **Serial Number**
- **Trade Item Identifiers:** This section displays, for the selected SGTIN, the values of GTIN, Serial Number, GS1 element string, and the EPC ID URI.
- **Trade Item Data:** This section displays the Status, Pack Status, Logistic Status, and Disposition of the SGTIN.
- **Trade Item Business Location:** This field displays the currently known location of the serialized trade item.
- **Lot Data:** This section displays the lot which is referenced by the SGTIN.
- **Archive and Storage Information**
If the object is (partly) archived, additional fields can be displayed, which contain the storage status, the archive file name, and its offset. This data can be used to scan the corresponding archive.

Activities

You can execute the following actions from the selection screen:

- **Related Events:** Navigate to the view [Events](#) and select and display all events related to the serialized trade item.
- **Related Business Transactions:** Navigate to the view [Business Transactions](#) and select and display all business transactions related to the serialized trade item.
- **Post Manual Event :** Enables you to compose and post different kinds of event messages
- **► Special Functions ► Request Control ►:**
 - This function is only relevant if you have a distributed SAP Advanced Track and Trace for Pharmaceuticals landscape with a central instance, and one or more local instances. Through this

action, you can request control for a serialized trade item which is known in this system but is currently in status *2 – Visible (Read Only)*. This means that the change control for this object currently is in one of the other systems in your landscape, and no events can be received in your landscape.

- By executing this action, you can request control for this object from the other systems in your landscape and if the action succeeds, the status will change from *2 – Visible (Read Only)* to *1- Active*. As a consequence, the object can receive event messages in this SAP Advanced Track and Trace for Pharmaceuticals instance.
- You can request control in a central system from a local system and vice versa, and even from one local one to another local one via the connected central system.
-  *Special Functions*  *Trace Request* :
You can use this to download inbound and outbound messages relevant for the selected serialized trade items to a local PC or to an application server. You can also zip all files into a single file and download it. You can also include archived files.
- *Display in External System*
You can use this option to display the currently selected serialized trade item in an external system. If you have set the *General Customizing* parameter `ITM_EXT_BTN` (Component `GUI`) to *active* and have maintained the Customizing for *Maintain Object Integration and Scenarios* (transaction `/STTP/C_INT_OBJ`), the option *Display in External System* is available on the UI. For more information, see the Configuration Guide General for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

More Information

2.1.3.3 Serialized Containers

Use

A serialized shipping container code (SSCC) is a uniquely identifiable transport unit like a pallet or a carton. Serialized containers typically carry a hierarchy of lower level SSCCs and hierarchies of SGTINs. The top level SSCC of a packaging hierarchy is the object which is tracked and traced in the supply chain and all events captured with respect to the top level object are inferred to the child objects.

Serialized containers are identified by the GS1 element string which contains the GS1 global company prefix and the serial item reference. You can convert the SSCC into alternative representations such as the EPC URI.

Serialized containers always have a quantity equal to 1 and therefore can only be at one place and in one state at a given time. Therefore serialized containers can be included within an object hierarchy.

Features

In comparison to the other object types the SSCC has the following specific attributes:

- **Serialized Container Identifiers:** This section displays the GS1 Element String, EPC URI ID, Company Prefix, and Serial Reference (also known as Serial Number).
- **Serialized Container Data:** This section displays the Status, Pack Status, Logistic Status, and Disposition of the SSCC.
- **Serialized Container Business Location:** This section displays the GLN, Location EPC ID, and the Location Number attributes.
- **Archive and Storage Information:** If the object is (partly) archived, additional fields can be displayed, which contain the storage status, the archive file name, and its offset. This data can be used to scan the corresponding archive.

Activities

You can execute the following actions from the selection screen:

- **Related Events:** Navigate to the view *Events* and select and display all events related to the serialized container.
- **Related Business Transactions:** Navigate to the view *Business Transactions* and select and display all business transactions related to the serialized container.
- **Menu: Post Manual Event :** Enables you to compose and post different kinds of event messages.
- **► Special Functions ► Request Control ◄:**
 - This function is only relevant if you have a distributed SAP Advanced Track and Trace for Pharmaceuticals landscape with a central and one or more local instances. Through this action you can request control for a serialized container which is known in this system but is currently in status *2 – Visible (Read Only)*. This means that the change control for this object is currently in one of the other systems in your landscape, and no events can be received in your landscape.
 - By executing this action, you can request control for this object from the other systems in your landscape and if the action succeeds the status will change from *2 – Visible (Read Only)* to *1- Active*. As a consequence, the object can receive event messages in this SAP Advanced Track and Trace for Pharmaceuticals instance.
 - It is possible to request control in a central system from a local system and vice versa, and even from one local to another local one via the connected central system.

More Information

2.1.3.4 Events

Use

Events are the main entry point into SAP Advanced Track and Trace for Pharmaceuticals transactional data processing. Events trigger creation, update, and deletion of Objects and Transactions and their relations to each other. In other words, you can change the transactional data only through Events and through no other means. Furthermore, the solution only allows you to create an event but not change it. If an event contains wrong objects or has changed the wrong data, you can create another event or even a series of events to rectify the error.

SAP Advanced Track and Trace for Pharmaceuticals object and event model is oriented at the GS1 EPCIS Event data model. The primary data exchange format in inbound and outbound deliveries is EPCIS. SAP Advanced Track and Trace for Pharmaceuticals is capable of receiving PML events as well. They are, however, transformed into an EPCIS-like structure before passed to the event capture framework.

The following EPCIS event types are supported:

- Object Event
 - Create, change and delete (de-commission) objects
- Aggregation Event
 - Aggregate and disaggregate objects
- Transaction Event
 - Add and remove transaction relations to objects
- Transformation Event
 - Transformation Events in SAP Advanced Track and Trace for Pharmaceuticals can be used in two ways: One possibility is to use them according to the EPCIS specification for decommissioning an input list and commissioning an output list. They can also be used, by choosing a dedicated specific business step, to assign a new lot number to a set of objects.
 - The default usage for a Transformation Event is to track the decommissioning of a set of existing objects and the following commissioning of a set of new objects. The outputs of the Transformation are created out of the inputs.
 - A special use-case introduced for Transformation Events is the assignment of a new lot to SGTINs that already carry an assignment to another lot. For this, a special business step (sap_assigning_new_lot) has to be used.
 - Please see the configuration guide for further details on how to set up the solution to work with transformation events.

i Note

Currently, the event type Quantity Event (EPCIS 1.0 deprecated) is not supported

SAP Advanced Track and Trace for Pharmaceuticals is capable of receiving (capturing) and sending EPCIS events based on the above supported event types.

Events do not have an external identifier. Events are identified by the date and time when they occurred.

Features

The Event has the following attributes:

- **Event Type:** This field displays the type of event that has been triggered. The supported types are Object Event, Aggregation Event, Transaction Event and Transformation Event).
- **Event Action:** This field displays the action that was triggered by the event type. The event actions are Add, Delete, and Observe. Transformation Events do not possess an action.
- **Event Time (UTC) and Time zone Offset to UTC:** The time and date of events is always captured and displayed in UTC format.
- **Business Step** (according to GS1 Core Business Vocabulary): This field specifies the business context of an event.
- **Disposition** (according to GS1 Core Business Vocabulary): The disposition of an event specifies the business condition of the objects, subsequent to the event. The disposition is assumed to hold true until another event indicates a change of disposition. Intervening events that do not specify a disposition field have no effect on the presumed disposition of the object
- **Business Location:** The business location specifies the location of the objects, subsequent to the event. The business location is assumed to hold true until another event indicates a change of the business location. Intervening events that do not specify a business location have no effect on the presumed location of the object. This value must be provided in SGLN format.
- **Readpoint:** The read point specifies at which location the event happened or was recorded. According to GS1, a Read Point is a discretely recorded location that is meant to identify the most specific place at which an EPCIS event took place. This value must be provided in SGLN format.
- **Transformation ID:** An optional identifier that can be used for connecting several Transformation Events. If provided, this ID must be in CBV XFORM format. The transformation ID will be saved as a Business Transaction with a dedicated type.

Event Inference and Event – Object relation

Events carry a reference to all objects that participated at the event. Events are typically captured for the top object of a packaging hierarchy. During event processing, all child objects of the current hierarchy are determined and the event is inferred to all child objects. A native indicator at the event-object relationship, stores the information in the following ways:

- Native Indicator is true: Whether the particular object has directly participated at the event
- Native Indicator is false: Whether the event was inferred to the object

Events are also inter-related to the other entities:

- The Business Transactions tab can be used to display all transactions that participated in an event. For more details, see [Business Transaction \[page 76\]](#).
- The Reporting Events tab can be used to display all reporting events that have been created for a particular event. For more details, see [Reporting Events \[page 73\]](#).

Activities

You can perform the following actions in the selection screen:

- Display related business transactions

- Display related AIF Message
- Display/download related XML message
- Post Manual Event : Enables you to compose and post different kinds of event messages
- Trigger Rules
 - This opens a pop up to show which rules would be triggered and in which order, given the current rules framework setup (similar to transaction /STTP/SIMU_RULES - Rules Framework Simulation)
 - The event is used as an input for rules processing, therefore a reporting or another rule will be retriggered given the parameters of this event, the current master data and system settings.
 - This can be used for setting the current time stamps in reporting events or for restarting a reporting, if during the initial posting of the event, the master data was not maintained correctly or completely.

2.1.3.5 Reporting Events

Use

The reporting event is used to document Regulatory Reporting and Supply Chain Reporting. The reporting event represents one reporting message and carries all necessary information which is needed for administration and reviewing regulatory or supply chain messages. One event can be split into multiple reporting events in order to allow splitting of large event messages by batch or any other means which are required for legal reasons. Furthermore, the reporting event allows monitoring and handles approvals like batch release and manual approval. It allows re-triggering of messages in case of errors or acknowledging error messages that cannot be sent in their current state.

The reporting event carries a relation to the event that created it. It also carries a relation to all objects that are part of the reporting event message. In addition, the reporting event carries a reference to the corresponding AIF (Application Interface Framework) message and the XML file which is submitted through AIF. For more details, see Central Message Processing, Notification Monitoring and Error Resolution based on SAP Application Interface Framework (AIF) at <http://help.sap.com>, *Technology Framework* > *SAP Application Interface Framework*. The reporting event is created from a regulatory reporting rule or a supply chain reporting rule. While configuring BR, you can define if the reporting event has to be sent immediately to the receiver or if the message should be held back till the batch release or user approval. Once the reporting event is created, these parameters are copied from the rule to the reporting event. For more information on Rules customizing, go to Customizing, navigate to *Advanced Track and Trace* > *Repository Customizing* > *Rule Customizing*.

Features

The Reporting Event has the following attributes:

- **Created on:** Like the Event, the reporting event has no meaningful external identifier and instead the creation date and time is used as an identifier.
- **Sent Time:** Documents the date and time at which the reporting event was sent to the receiver.

- **Rule Type:** This field documents the rule type which was determined during rules processing and which actually triggered the creation of the reporting event. For more information, go to Customizing, navigate to [▶ Advanced Track and Trace ▶ Repository Customizing ▶ Rule Customizing ▶](#).
- **Status:** This field shows the status of the reporting event.
- **Country Group:** This field documents the country group which was determined during rules processing and which triggered the reporting event. For more information, go to Customizing, navigate to [▶ Advanced Track and Trace ▶ Repository Customizing ▶ Rule Customizing ▶](#).
- **Wait Batch Release:** If this option is set the reporting event is not sent to the receiver until the batch is released and furthermore implicitly the one separate reporting event per batch is created. The flag is set at creation of the reporting event and determined from reporting customizing. For more information, go to Customizing, navigate to [▶ Advanced Track and Trace ▶ Repository Customizing ▶ Reporting Customizing ▶](#).
- **Lot Number:** If the [Waiting for Batch Release](#) option is set, the relevant batch is referenced in the reporting event.
- **Wait User Approval:** If this option is set, the reporting event is not sent to the receiver until a user explicitly approves the reporting event in the data cockpit. The indicator is set at the creation of the reporting event and determined from reporting customizing. Besides this, this option is set for Russian regulatory reporting depending on the parameter [Signature Required](#). For more information, go to Customizing, navigate to [Define Reporting Rule Type Attributes](#) under [▶ Advanced Track and Trace ▶ Reporting Customizing ▶](#).
- **Approved by:** This field displays the user name of the person who has approved the release of a regulatory reporting notification message (only relevant in case the option [Wait User Approval](#) is set).
- **Approval Time:** This field displays the time when the user approved the release of a regulatory reporting notification message.
- The following attributes are only relevant for asynchronous response messages (for example, in case of communication with the European Hub):
 - **Response Status:** The response status displays an aggregated status across all asynchronous responses received for a reporting event.
 - **Expected Responses:** The attribute [expected responses](#) displays the overall number of asynchronous response messages that are expected to be received from the EU hub.
 - **Open Responses:** The attribute [open responses](#) shows the number of response messages still open.

→ Tip

You can search for reporting events with open responses by selecting the checkbox [Only Open Responses](#) in the search pane.

Activities

You can perform the following activities in the results pane:

- Display related events
- Display related AIF Message

- Display/download related Message File: Use *Message File* to view or download the message of the selected reporting event. The message can be processed either in XML format or in JSON format depending on the reporting event *Rule Type*.

i Note

For rule type BR_CRYPTO_COMM, some or all of the options *Display Message JSON*, *Download Message JSON*, *Display Response JSON*, *Download Response JSON* are disabled when the request or response is not stored in the AIF message for the marked reporting event.

- Approve/Approve and Send reporting event. You can find both options on the drop-down list *User Approval*. The actions can be executed on a single entry only, that is, you cannot select multiple rows. For reporting events marked as *Signature Required*, the signing process is triggered before the approval process. In this case a popup requesting a certificate and password is displayed. You can choose the certificate using the value help and you must maintain it for a user (transaction SU01). To omit manual input, you can maintain the certificate password and ID in the Safe Storage. The reporting event can only be approved if the signing is successful where signing is required. For more details on setting up and maintaining the certificate, see the configuration guide for Russia for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.
When you select the option *Approve and Send*, if the reporting event has been successfully approved either as part of a previous approval process or as part of the current *Approve and Send* process, an additional resend process is triggered. If the signature is not required, then the input of the user ID and Password is needed depending on the notification type and industry. In this case, a popup with these fields is displayed. If the user ID is stored in Safe Storage, the value is displayed in the popup. If user password is also saved in the Safe Storage, then the popup won't be displayed at all. For more details on Safe Storage, see the Configuration Guide for Russia for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.
- Resend a message. Generally, re-sending is not allowed for the reporting events in status *Not Sent: Mapping Error* and *Sent: Ok*. Starting from Release 3.0 Feature Package 01, re-sending is allowed for Russian and generic reporting for the reporting events in status *Sent: Ok*, but the response status is now checked. The response status should not be empty and should be one of the final statuses of the corresponding reporting.
For reporting events marked as *Signature Required*, a popup requesting certificate and password is displayed. Otherwise, it is checked if an authentication via user ID and password is needed. If needed, a popup with these fields is displayed. For more information, see the configuration guide for Russia for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.
- Acknowledge an error status.
- Check response status. You can find this in the drop-down list for *Special Functions*. Use this to check the response status for the Russian reporting system (OMS, MDLP, ISMT). For relevant reporting events, the response status is updated. For events that are not relevant, the corresponding message is provided in the status bar.
- Resend with current time. You can find this in the drop-down list for *Special Functions*. The functionality is like re-sending (as described previously) but replaces the initial processing time within the notification message with the current time. The functionality is only relevant for generic reporting and Russian reporting for pharmaceuticals.
- Send cancellation. You can find this in the drop-down list for *Special Functions*. Use this functionality to send a cancellation for notifications related to generic reporting. The sending of cancellation is only allowed for reporting events with rule type RR_GENERIC in status *Sent: Ok*. The external reference identifier must be assigned, and the response status must be one of the following:
 - *GRO1-ICH4LS: Functional and Technical Success*

- [GR02-ICH4LS: Functional Error in Receiving System](#)
- [GR03-ICH4LS: Technical Error in Receiving System](#)
- [GR06-ICH4LS: Information](#)

For reporting events that are not relevant, a corresponding message is provided in the status bar.

More Information

Regulatory Reporting and Supply Chain Reporting at [Outbound Processing \[page 98\]](#)

2.1.3.6 Business Transaction

Use

Business transaction documents (or business transactions) reflect business documents like production order, inbound or outbound delivery. They are stored as a separate entity within the SAP Advanced Track and Trace for Pharmaceuticals transaction data repository. You can use the option [Display in External System](#) for navigating to the selected document in an external system. For more information, see the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on SAP S/4HANA on the SAP Help Portal at <http://help.sap.com/attps4>.

Business Transaction documents can be created in two ways. They can be created explicitly via integration from ECC and furthermore they will be created implicitly "on the fly" in case they are referred within an EPCIS document and do not exist yet. For further details, see [Creation of Business Transaction \[page 80\]](#).

If business transaction documents are integrated from SAP ECC, then they also carry item information from SAP ECC. If the item was split in SAP ECC (can happen for outbound delivery) then the parent item is displayed above the split items.

Features

The Business Transaction Document has the following attributes

- **Transaction Code:** This is the external identifier for the business transaction. It is always shown in the encoded EPC URI format and contains the GLN and the document number. The transaction code is only unique together with the business transaction type.
- **Business Transaction Type:** This is the type of business transaction according to GS1 Core Business Vocabulary.
- **Document Status:** This describes the current status of the business transaction document. The following are the status values – created externally, initially integrated, closed or finished, deleted in source system, and replicated. For further details, see [Transaction Status Handling \[page 80\]](#).
- **GLN of Business Transaction:** This describes the Global Location number which is specifically used for authorization check.

In case a transaction is integrated from ECC, the following additional ERP attributes are relevant:

- **Document Number and Type:** This gives the number and type of the business transaction. Only when the number is combined with the document type and GLN or Logical System, it can clearly identify a single document.
- **Global Location Number (GLN):** identifies the GLN (plant level GLN) where the document originates from. The document number and the GLN are used to encode the business transaction code.
- **Logical System:** In case the business transaction document is integrated from an ECC system, the logical system id of that originating ECC system is documented here.
- **Transaction Summary Status:** This describes the cumulative status that the items of the corresponding business transaction document in the ERP system are in.
- **Ship from GLN, Sold from GLN, Ship to GLN, and Sold to GLN:** These fields give information about the GLN of the location of the shipment.
- **Customer Purchase Order Number, Customer Purchase Order Date:** These give information about the customer purchase order from the sales document.
- **Transaction Items:** This tab displays the items of the business transaction. Items are only provided in case the business transaction is integrated with ECC. Only those items are integrated from ECC to SAP Advanced Track and Trace for Pharmaceuticals which are relevant for serialization or lot management.
 - **Item Number:** This attribute denotes the document position or item used in the corresponding ECC business transaction document, for example, Inbound Delivery.
 - **Global Trade Identification Number (GTIN)**
 - **Transaction Item Status:** This attribute denotes the business transaction item status from ERP and shows the current status of a particular item in ERP. For further details, see [Transaction Status Handling \[page 80\]](#)
 - **Planned Quantity:** Reflects the actual planned quantity of the business transaction item in ECC.
 - **Confirmed Quantity:** Reflects the actual confirmed goods movement quantity of the business transaction item in ECC.
 - **Lot number:** Batch / lot number that is assigned to the item
 - In case of split items (can happen for outbound deliveries for example in case different batches are executed for one item) both the parent item as well as the split items are displayed. The parent only carries a planned quantity as long as the total planned quantity is not fully assigned to the split items.
 - **Customer Purchase Order Number, Customer Purchase Order Date:** These give information about the customer purchase order from items in the sales document.
 - Action [Compare Serialized Items](#) launches a popup that compares planned items and their confirmed item quantities with actual quantities in the repository system.
 - The main purpose of this function is to ensure that both ECC goods movement data, as well as serialized repository data are in sync and consistent.
 - This function compares all items and confirmed item quantities of the business transaction document with the actual serialized items that are currently assigned to the business transaction document (see also Transaction-Object relationship below).
 - **Confirmed quantity:** confirmed quantity that reflects goods movements posted in SAP ECC for this particular item
 - **Item count:** Counted number of serialized trade items that have a current relation to this business transaction document and which can be assigned to this item because both the GTIN and Lot are the same as in the planned item.
 - This popup can deal both with quantity deviations as well as structural differences.

- Quantity deviation: A quantity deviation exists for a given item, when it has an item count in the repository system that is more or less than the goods movement quantity in ECC. Quantity deviations are visible as Item difference.
- Structural difference: whenever a serialized trade item has a current relationship to a transactional document and either the GTIN and or the Lot does not match with any of the planned items, then this case is treated as an unplanned item and displayed with a special item id *XX...* in the popup. So in this case there are serialized trade items assigned to a business transaction document that do not match with any existing item. Therefore this indicates a significant structural deviation.
- *Generate Serial Numbers* can be selected from the drop-down list for *Additional Functions*. You can use this function to generate serial numbers for the GTIN of the selected serialized business transaction item in the quantity given in the *Planned Quantity* of this item. The following constraints are valid for the generation of serial numbers:
 - *Business Transaction*:
 - *Business Transaction Type*: This is defined by the customizing parameter CHECK_DOCTYPE_GEN. For more information, see the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>
 - *Status*: *Initially Integrated*
 - *Summary Status*: *Created* or *In Process*
 - *Serialized Business Transaction Item*:
 - *Status*: *Created* or *In Process*
 - *GTIN*:
 - *Status*: *Active*
 - *Serialization Type*: *Serialized* or *Serialized and Traced*
 - *Range Definition is assigned*
 - *Range Definition*:
 - *Status*: *Active*
 - *Origin*: *Internal*
 - *Range*:
 - *Status*: *Active*
 - *Range Type*: *Range & List managed* or *List managed*

The system generates serial numbers based on the underlying rules of the range definition assigned to the trade item.

The serial number generation logic selects the first range definition and the first range in it to generate serial numbers (they will be then assigned to this range). So, for this function, we recommend that you create only one active range definition version with one active range.

i Note

Not only serial numbers can be generated, but, if required, also crypto codes can directly be requested for those generated numbers. This behavior can be configured via customizing parameter AUTO_CRYPT_ORDER in the general customizing and will also be applied to the generation of serial numbers by business transaction

- *Commission Outstanding Serial Numbers* can be selected from the drop-down list for *Additional Functions*. You can use this function to commission serial numbers which were already issued based on the generation timestamp and GTIN of the Serialized Trade Item of the Business Transaction. The following constraints are valid for the commissioning of serial numbers:

- *Serialized Business Transaction Item:*
 - *Status: Created or In Process*
 - *Number of Requested Serial Numbers* must not be initial
- *Serial Numbers:*
 - *Status: Assigned / Sent*

The system posts commissioning events if available serial numbers matching with the corresponding selection criteria are found in the system.

To execute commissioning of outstanding serial numbers, the transaction `/STTP/SNR_AUTOCOMM` also can be used. It is advisable only to use this report if serial numbers were requested and not used, for example, in fashion scenarios.

The following selection options are possible:

- By document: In this case, the following fields must be filled:
 - *Document Type*
 - *Document Number*
 - *Document Year*
 - *Logical system*
 - *Document Item Number*
- By requesting system: In this case, the following fields must be filled:
 - *GS1 Global Trade Item Number*
 - *Requesting System*

Additionally, the following event data must be provided:

- BizLocation GLN with GLN extension
- Readpoint GLN with GLN extension

Transactions have relations to events and objects.

Transaction-Event Relationship

- This documents that a transaction participated in a particular event.
- As events can only be created and not updated, the transaction-event relationship is available permanently.

Transaction-Object Relationship

- This documents the transaction and the object that are currently related to each other.
- This relationship can be created and removed with the help of Events.

Example

- A transaction event with action *ADD* creates a business transaction relationship between the objects in the object list of the event and the business transaction. After the event, all objects carry this transaction relation.
- A transaction event with action *DELETE* removes the transaction relationship between the business transaction and the objects contained in the event.
- An object event with action *OBSERVE* that includes a business transaction reference confirms that the objects contained in the object list still belongs to a particular transaction. Even if this reference does not exist, it is created implicitly.

2.1.3.6.1 Creation of Business Transaction

Use

Business transactions can be created in two ways:

Process

- Explicit creation through ECC integration
 - The SAP Advanced Track and Trace for Pharmaceuticals add-on for ERP offers the option to integrate certain business transaction types. In this case these business transactions are integrated from ECC at creation (for example, deliveries) or release (for example, production, process order) of the business transaction. Furthermore the business transaction will be created with items and the processing status of the business transaction is integrated as well and reflected as business transaction item status and transaction summary status. For more details, see [Transactional Data Integration \[page 236\]](#) and [Transaction Status Handling \[page 80\]](#).
- Implicit creation of business transaction through EPCIS event:
 - If there is a business transaction reference within an EPCIS message and the business transaction has not yet reached the repository system, then the business transaction is created as an external business transaction together with the transaction relations.
 - In this case, the business transaction serves as an abstract linked object which can be used to search for objects or events but have no connection to an existing transaction within ERP.
 - Through this approach any supported business transaction type beyond the currently supported ECC transactions can be created and referenced within SAP Advanced Track and Trace for Pharmaceuticals.
 - The document status will always be created externally and the transaction summary status will always be Created as EPCIS only supports transaction references but does not handle transaction status information.
 - The ERP attributes mentioned above are not displayed completely.
 - The Business Transaction will not have items as EPCIS does not support transaction items.

2.1.3.6.2 Business Transaction Status Handling

Use

This topic explains how the different status fields like the document status, transaction summary status, and item status depend on each other. As mentioned above, the business transaction document status is relevant for all kinds of business transactions. In case of an externally created transaction, the document status is set to created externally at creation and never changed again.

The real status handling only takes place in case the business transaction is integrated with ECC. In this case, the document status reflects the overall status of the document. The business transaction summary status is an aggregated view of the item status values and the item status receives updates from ECC.

Process

Document Status

- Created externally: Only status used for external business transactions
- Initially Integrated: Initial status value for business transactions integrated from ECC
- Closed or Finished: Transaction summary status is closed
- Deleted in Source System: Set in case business transaction is deleted in ECC
- Replicated: Used in case of distributed repository systems to indicate that business transaction has been replicated; for details see, [Distributed Deployment \[page 214\]](#).

Transaction Summary Status

- Created: Initial status after creation of business transaction
- In Process: First item is in status *In Process*
- Closed: All items are in status *GM posted*
- Deleted: Is set in case all items have been removed

Item Status

- Created: Initial status after creation of item
- In Process: Partial confirmations on item level in ECC
- GM posted: Final confirmation done at item level in ECC

2.1.3.7 Authentication Requests

Use

The solution offers the capability to authenticate Serialized Trade Items (SGTINs) and Lots (LGTINs), and documents all successful and failed authentications as an authentication request.

The authentication request behaves a little differently depending on whether it is done internally or externally. The distinction between internal and external authentications is done via the system that must be provided with every request. If the system is assigned to a business partner which has a role *Own Organization*, then the Authentication Request is treated as internal. Otherwise it is treated as external.

Within one authentication request, one or more objects can be authenticated. For every object provided, the solution tries to decode the object code. The object code typically is submitted as GS1 element string and alternatively can be submitted in EPC URI format or any other format that the solution can decode (for example, Chinese EDMC code). Depending on the encoding, different information can be provided. If GS1 Element string is provided, then this string can contain further elements that will be also decoded and checked if provided:

- GTIN: Application identifier (01)
- Serial number: Application identifier (21)
- Lot number: Application identifier (10)
- Lot expiry date: Application identifier (17)
- Lot manufacturing date: Application identifier (11)

If the decoding is successful, the solution checks whether the object exists in the repository. Depending on the provided information, it is checked whether only the SGTIN exists (only 01+21), or whether only the LGTIN exists (only 01+10), or whether both SGTIN and LGTIN exist and if the LGTIN belongs to SGTIN (01+21+10). If the expiry date (17) or manufacturing date (11) are provided, then, in addition, it is checked whether these dates are correct.

Besides the existence check, the following additional checks are done for an SGTIN:

- Authentication with internal system
 - Object status must be other than *Invalidated*
 - Object disposition must be in list of *Allowed Dispositions for Internal Systems* (maintenance in transaction /STTP/AUTHENT_DISPO - *Allowed Dispositions*) -
- Authentication with external system
 - Object status must be other than *Invalidated*
 - Logistic status must be *Shipped* or *Sold*

→ Remember

An external authentication cannot be successful if the object still resides in own warehouse.

- Object disposition must be in list of *Allowed Dispositions for External Systems* (maintenance in transaction /STTP/AUTHENT_DISPO - *Allowed Dispositions*)

Optionally, the Authentication request can capture geo coordinates so that such authentications can be visualized on a map.

An Authentication request can be created via either Web Service, OData or RFC. You can use and leverage these interfaces to build applications that create Authentication requests. In addition to these interfaces, a mobile app "Mobile Authenticator" (see) and two test UIs are also provided to create and test Authentication requests (/STTP/AUTH_TEST *Authentication Request Test Report* and on ECC Side: /STTPEC/WHS_TOOLBOX - *WHS Toolbox Test Client*, see *Tab Auth Request*).

The authentication request check logic can be enhanced /adapted within BAdI /STTP/BADI_AUTH_REQ_CHECK_OBJ method CHECK_OBJECT.

Features

Authentication requests can be searched and displayed in the data cockpit. An Authentication request consists of the Authentication Request Header and the Authentication Request Items.

Authentication Request Header

- **Authentication time:** Date and time when the authentication request has been created.
- **System:** System that was used for authentication. To do an authentication the system must be in status *active*. Systems assigned to a business partner with role *own organization* are treated as internal system. All others as external system.
- **User Name:** User that created the authentication request.

- **Result of Request:** At authentication request header level you will find a summary result for all authentication items. The result options are the following:
 - 1 – Ok: All items successfully authenticated
 - 2 – Partial ok / not ok: Some items successfully authenticated, some items failed authentication
 - 3 – Not ok: All items failed authentication
- **Geolocation of Authentication Request:** Optionally, the geolocation can be provided within an authentication request. If the coordinates are provided, the option *Display on Map* becomes active and can be used to display the geolocation on a map in a separate window. The service provider of the map can be configured via customizing parameter `MAP_PROVIDER_REFKEY`. For further information on how to configure the map, see chapter *GUI based Map Display for Geolocations* in the general configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <https://help.sap.com/attp>.

Authentication Request Items: Tab Objects

- **Authentication Request Item:** Item ID of authentication request
- **Object code:** Object code provided for the authentication request item. The solution can authenticate different formats like for example, GS1 element string, EPC URI and Chinese EDMC format.
- **SGTIN:** Check result for SGTIN
 - If SGTIN provided (GTIN + Serial number): Ok or not ok
 - No SGTIN provided: N/A
- **LGTIN:** Check result for LGTIN
 - If lot number provided: Ok or not ok
 - No lot number provided: N/A
- **Expiry Date:** Check result for expiry date
 - If expiry date provided: Ok or not ok
 - No expiry date provided: N/A
- **Manufacturing Date:** Check result for manufacturing date
 - If manufacturing date provided: Ok or not ok
 - No manufacturing date provided: N/A
- **Result:** Check result for item
 - Summary of all relevant check results for SGTIN, LGTIN, expiry and manufacturing date
 - Result of additional SGTIN check (see above)
- **Action:** *Display Check Results*: Displays detailed check results in a popup for the selected item
- **Action:** *Display Serialized Trade Item*: Navigates to the serialized trade item
- **Action:** *Display Lot*: Navigates to the Lot

2.1.4 Extending the Data Cockpit UI

The data cockpit can be extended in the following areas with custom specific fields and screen elements:

- A custom search can be implemented.
- Custom fields can be displayed in the results pane.
- Custom fields and other customer-specific controls such as custom tables can be displayed in the details area on a specific tab.

For more information, see the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

2.2 Object Tracing and Event Processing

Use

SAP Advanced Track and Trace for Pharmaceuticals can receive and process EPCIS and PML event messages. One event message can contain one or more events.

An Event contained in an Event Message documents and traces an atomic business activity that happened to the objects contained in the event. An event therefore contains four main parts:

- When: represented by the time stamp of the event
- Where: represented by the read point and business location of the event
- What: represented by the combination of event type and action
- Why: represented by the business step and the disposition, which can be further accompanied by referenced business transactions

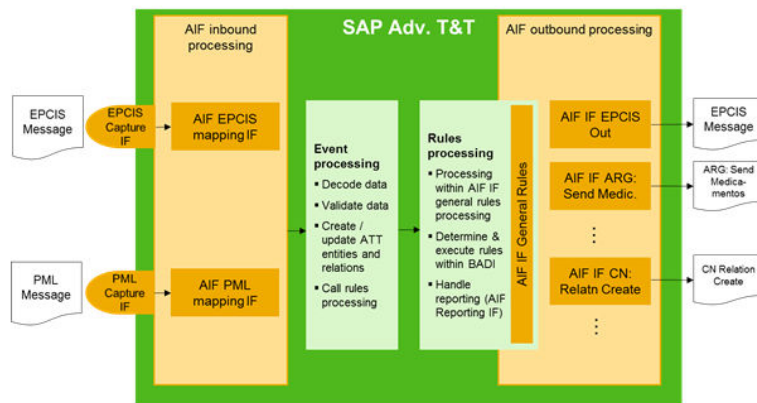
Features

EPCIS describes the following different event types that are to be used to trace events:

- Object Event (`Action = ADD, DELETE, OBSERVE`)
 - This event type helps to publish the existence or to end the lifecycle of a serialized object. In addition, you can use it to trace any observation to a serialized object. Transaction references can be attached but are not mandatory. The event generally applies to a list of EPCs or LGTINs. Extensions are possible at event header level. The extensions may also reference list of EPCs they apply to.
- Aggregation Event (`Action = ADD, DELETE, OBSERVE`)
 - This event type helps to publish the aggregation or disaggregation (pack / unpack) of serialized objects to or from a parent EPC. Transaction references can be attached but are not mandatory. The event generally applies to the packing or unpacking activity which references a number of EPCs or LGTINs being added to or removed from a hierarchy. Extensions are possible at event header level. The extensions may also reference list of EPCs they apply to.
- Transaction Event (`Action = ADD, DELETE, OBSERVE`)
 - This event type helps in adding or removing a transaction reference to or from an object. You can use this as an alternative to the object event to observe any activity that is executed with a serialized object. The event generally applies to a list of EPCs or LGTINs. Transaction reference is mandatory.
- Transformation Event (no Action)
 - This event type helps to transform input objects into output objects. You can use this to destroy a list of input objects while commissioning a list of output objects at the same time. The event generally applies to an input list and an output list of EPCs or LGTINs. An optional Transformation ID can connect several transformations. If a special dedicated business step is used, this event can be used instead to

assign a new lot to a list of objects. In this case, those objects need to be represented in the input and output list and the lists have to be identical.

2.2.1 Overview End to End Event Message Processing



The Inbound and Outbound processing of event messages is handled through SAP Application Interface Framework (AIF).

Within the AIF inbound processing, the AIF EPCIS mapping interface maps EPCIS messages to internal EPCIS-like structures. For every event contained in the message the SAP Advanced Track and Trace for Pharmaceuticals event processing is called. Similarly, in case of receiving a PML message the AIF PML mapping interface transforms the PML message to EPCIS like structures and also calls the event processing.

The event processing decodes the event and objects data, validates the data, creates or updates all the repository entities (objects, event, transactions) and also the relations between entities. This is followed by the triggering of the rules processing as a background task.

Within Rules Processing, all the relevant rules are determined and then are considered based on the rule sequence. The actual rule is processed within the AIF general rules interface by calling a BADI for every rule. In case of supply chain or regulatory reporting the reporting event is created here, the data is mapped to reporting message structures and another AIF outbound interface is called which enables sending and monitoring of outgoing reporting messages.

2.2.2 Inbound Processing

2.2.2.1 EPCIS Inbound Processing

Use

EPCIS inbound is possible through SOAP Service and OData Service.

Process

The AIF EPCIS mapping interface performs the following steps

1. It receives the EPCIS XML message.
2. It determines whether the message has to be processed sequentially in a queue or can be processed in parallel to other messages and assigns the message to the correct queue.
3. It sorts the events within the message based on the event time stamp. In case the time stamp is the same, the events are processed in the order within the event message.
4. It splits the message into its single events.
5. It maps the data from the external EPCIS XML to SAP internal EPCIS-like ABAP structures.
6. It calls event processing separately per event (see topic [Event Processing \[page 89\]](#)).
7. It controls the commit handling dependent on the message content and configuration or one of the two (either commit by event or by complete message. More details given below).

Further Information

- **Parallel Processing and Message Serialization**
 - You can configure if event messages shall be processed in parallel or sequentially in a queue which forces processing in the same sequence of the arrival message. When the processing of one message fails, in case of serialized processing, this message blocks the queue and all the subsequent messages wait until the blocking message is either removed or reprocessed successfully.
 - You can configure message serialization in the Customizing activity under **SPRO > SAP Implementation Guide > SAP Advanced Track and Trace > Message Processing > Sender Queue and Commit Settings**. Here you can define a sender and per sender, you can maintain whether messages from this sender shall be serialized. If nothing is maintained by default two event messages from a sender will be processed in parallel and independent from each other.
 - In addition to the customizing, the event message needs to contain the sender information. Therefore the EPCIS header can be enhanced with the following extension information

```
<EPCISHeader>
  <SAPHeaderExtension>
    <SAPQueueMessageSender>
      SENDER_OR_QUEUE_CONTENT
    </SAPQueueMessageSender>
  <SAPHeaderExtension>
```

</EPCISHeader>

- Serialized messages are visualized in the AIF message monitor as an aggregate below a tree node for the sender which defines the serialization queue. Messages visualized below undefined node are the ones processed in parallel.
- **Commit Handling**
 - Similar to the message processing, you can use the same customizing activity to configure if you want the messages from this sender to be committed for every message or if every event contained within the message to be committed separately.
 - In case of commit by message, even successfully processed events within the same message will be rolled back if any subsequent event fails.
 - In case of commit by event, the successfully processed events are persisted permanently within the repository and cannot be received again.
 - In both cases, the failure of an event will stop subsequent events within the same message to be processed.
- **Rollback Handling**
 - If the event is considered failed during inbound processing, all the non-committed changes are rolled back and the event is considered failed and the AIF inbound message is also considered failed.
 - No further events from the same message are processed.
 - In AIF, the message appears as failed. The failed inbound messages can be queried and displayed in the AIF monitor. You can review the application log messages and identify the errors. If applicable, you can restart the processing and only those parts of the message that have not been committed are restarted.
- **Extension Concept for EPCIS Inbound Processing**
 - SAP Advanced Track and Trace for Pharmaceuticals offers powerful extension capabilities to receive and process custom specific attributes at different levels of the EPCIS XML message.
 - In order to process enhanced EPCIS messages, it is not necessary to modify or enhance the definition of the EPCIS messages based on a custom WSDL file that has to be implemented by all senders of EPCIS messages. Instead, the EPCIS inbound processing only interprets the tags in the message it identifies. Unidentified tags are ignored during processing.
 - The existing SAP EPC Extensions and a new set of SAP Extensions are supported natively. For more information, see the configuration guide at <http://help.sap.com/attp>.
- **Usage of alternative business partner and location identifiers in EPCIS messages**
 - The solution allows usage of alternative business partner and location identifiers during EPCIS inbound processing.
 - Instead of providing GLNs or SGLNs for tags like read point, business location, event source destination locations or owning parties, alternative identifiers also may be used during inbound processing.
 - The used alternative identifiers must be defined at the business partner and location.
 - In addition, for the particular registration type the prefix that is used within the message to identify the type must be maintained.
 - The corresponding business partner or location must have a GLN/SGLN assigned, and in case an alternative identifier is used within an EPCIS message the corresponding GLN/SFGLN is determined from the business partner / location and used for data creation. So internally the solution works based on GLNs and SGLNs but alternative identifiers can be used in EPCIS messages.
 - Example
 - A supply chain partner does not send EPCIS messages with GLNs but uses DEA numbers instead.

- You need to maintain a business partner/location in your system and assign the GLN/SGLN that shall be used for data processing.
- Besides this you define a registration type in customizing (for example **DEA**) and assign the prefix that shall be used for data processing (for example **DEA**).
- Now define the registration by using the created registration type **DEA** and entering the registration number (for example **xx000007**) plus all other mandatory attributes (see below).
- As soon as the registration is valid, it can be used to receive and process EPCIS messages using these alternative identifiers (for example a read point containing the content **DEA:xx000007** instead of the SGLN in EPC URI format).
- For more information, see [Business Partner \[page 11\]](#) and [Location \[page 15\]](#).
- **Asynchronous EPCIS Response**
If the EPCIS message was sent using asynchronous SOAP service, it's possible to send an asynchronous response back. After inbound EPCIS processing, the system collects log messages and sends it back through SOAP service. The callback system is determined by the sender GLN provided within the EPCIS message header. The business partner assigned to this GLN has a parameter *Notification System*. The response will be sent to this system if responses are allowed by the business partner (you can configure this using the *Send EPCIS Responses* indicator in the business partner settings) and by the corresponding general Customizing parameter.

2.2.2.2 PML Inbound Processing

Use

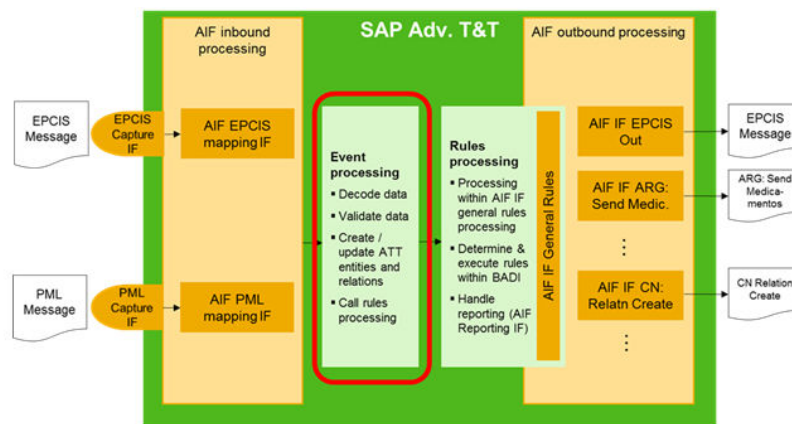
In this solution, the capturing and rules processing works based on EPCIS-like SAP structures and therefore the PML structures need to be transformed into EPCIS-like SAP structures prior to event capturing.

Process

With this approach, you can call the same event interfaces. You can use the PML Conversion customizing activity to define how a PML inbound message is transformed into an EPCIS event message. For more information, refer to the customizing documentation at [SPRO](#) > [SAP Implementation Guide](#) > [SAP Advanced Track and Trace](#) > [PML Conversion](#).

2.2.3 Event Processing

Use



Give below are the high-level steps of Events Processing triggered by the repository system:

Process

1. Decodes the event data.
2. Implements BAdI to change the decoded event data.
3. Decodes object data Validates activity; implements BAdI for validations
4. Checks if the event is the latest for all objects; if not: proceed with late event processing (see details below)
5. If configured and relevant: executes auto create or auto unpack and validates activity again.
6. Creates or updates the objects including hierarchies
7. Creates events; implements BAdI to manipulate specific event data
8. Creates event-object relations
9. Creates business transactions
10. Creates business transaction – object relations and business transaction – event relations

11. Saves the data
12. Implements BAdI after processing to change customer-specific data after event processing
13. Triggers Rules Processing

For more information regarding the BAdIs available in Event Processing, and regarding the possibility of adapting the the event processing through configuration (for example auto create, auto unpack, late event processing), see the configuration guide at <http://help.sap.com/attp>.

Auto Unpack

2.2.3.1 Additional Activities

2.2.3.1.1 SAP_Recall

This is a specific activity to allow sending a recall event. This will alter the status of the batch object to recalled. However it will not effect the status of the related serialized objects. The main usage for this activity so far is the batch recall within the EU reporting. You can leverage transaction `/STTP/EU_BTCH_RECALL` to initiate this activity while also triggering the corresponding notification to the EU Hub. For more information, see the report documentation of transaction `/STTP/EU_BTCH_RECALL`.

2.2.3.1.2 SAP_Assign_New_Lot

This is a rather extraordinary case, which allows you to assign a new lot to an SGTIN. It will trigger a transformation event during which the relation between the listed objects and the currently assigned batch will be altered to a new batch object. Within the triggering event it is required that the `inputEPCList` and the `outputEPCList` are identical, and that the new batch is declared within the object attributes section.

2.2.3.1.3 SAP_Pack_Nested

Within the processing of packing events, the standard validation within SAP Advanced Track & Trace for Pharmaceuticals checks whether the new parent object itself is packed into a parent object, and if this is the case, it raises an error and stops the event processing.

In most cases, this reflects the physical reality, as objects that are already packed, cannot be opened without damaging the outer packing and therefore the relation to the parent object. In some cases however it might be viable that, for example, a complete case is exchanged that is residing on the top layer of a palette. For such cases, the activity pack nested was introduced, as it allows you to pack into an existing hierarchy without the need of prior unpacking events.

2.2.3.1.4 SAP_Unpack_Nested

Within the processing of unpacking events, the standard validation within SAP Advanced Track & Trace for Pharmaceuticals checks whether the to-be-unpacked item has more than one parent object. If this is the case, it raises an error and stops the event processing.

In most cases, this reflects the physical reality, as objects which are packed into a deeper hierarchy structure cannot be unpacked without damaging the outer packing and therefore the relation to the parent object. In some cases however it might be viable that, for example, an item within the case, residing on the top layer of a palette is exchanged. In SAP Advanced Track & Trace for Pharmaceuticals this would not be possible without a prior unpacking of the parent object. For such cases, this activity was introduced as it allows you to unpack child objects without a need to unpack the corresponding layers of parent objects.

2.2.3.1.5 SAP_Activate

Within the EPCIS standard, it is not possible to activate a prior decommissioned object. In certain customer situations however there is a strong requirement to be able to reverse the decommissioning of a product, for example, in case of a mistake during manual work. This activity can be leveraged in such situations in order to set an object back into an active state. The prerequisites for sending an SAP_Activate is that the object was inactive. The destruction of an object via the corresponding business step "destroying" cannot be reversed.

2.2.3.2 Special Functions

2.2.3.2.1 Late Event Processing

The event processing logic by default checks whether the event is the latest one for the serialized objects in the object list of the event. If the event is not the latest one for at least one of the objects, then the event is treated as late event and the event processing follows a special logic. Processing late events is not trivial because of event inference. Event inference is an important feature from GS1 EPCIS event processing which deals with inferring an event, which has been recorded for a parent object of a hierarchy, down to all child objects contained in the current hierarchy. So if , for example, a shipping event has been recorded for an SSCC then this event is inferred to all child objects of the hierarchy. If now, for example, an late dis-aggregation event is coming in, removing certain objects from the SSCC hierarchy, the late event processing not only would have to deal with posting the aggregation event but also with rectification of inference of later events. In this case the shipping would not be valid for the objects disaggregated with the late dis-aggregation event. This is not at all trivial and therefore most of such complex use cases are not supported as of today. Instead simply the event is recorded without changing the objects for which the particular event is late.

Late events can be blocked from processing in general customizing per event type and event action by setting parameter `BLOCK_LATE_EVENT_OBJ`, `BLOCK_LATE_EVENT_AGG`, `BLOCK_LATE_EVENT_TRN` and optionally

the event action. If set to true then in case a late event is detected for any object contained in an event the event processing is stopped right away with an error. If the parameter is not maintained or false then the late event processing is executed and behaves differently for the different event types

- Late commissioning event (object event, action add)
 - Under certain conditions, a late commissioning event can be recorded for objects that already exist in the repository: object exists but no commissioning event recorded (auto creation without commissioning event configured, see section Auto Create below), commissioning event must be the first event for the particular object. Furthermore, late event processing must not be blocked.
 - Following settings need to be done in general Customizing (please find further information in the configuration guide):
 - Ensure that parameter `BLOCK_LATE_EVENT_OBJ` is NOT TRUE for action `ADD`
 - Parameter `LATE_OBJ_EVT_ADD` must be TRUE for the particular GLNs to enable posting of late object events
 - Prerequisites for auto creation without commissioning event
 - `AUTOCREATE_ITM` and `AUTOCREATE_SCC` must be TRUE for particular GLNs
 - `AUTOCREATE_EVT_EXT` and `AUTOCREATE_EVT_INT` must NOT be TRUE for the particular GLNs
- All other late events
 - In case late event detected and the processing is not blocked, the late event is processed.
 - All objects for which the event is the latest event, the event is processed properly.
 - All objects for which the event is not the latest event the event is recorded, however no object data is changed.
 - Furthermore the event-object relation carries value `L` in the attribute *Native Indicator* to indicate that this event is not the latest for this particular object.
 - Besides a warning is recorded in the application log if at least one object is detected for which this event is not the latest event.

2.2.3.2.2 Auto Create

With this feature, the solution provides the option to automatically create an object during event processing if it does not exist yet. During activity validation and event capturing, the system checks if *Auto Create* is active for a particular read point GLN of the event message. If it is active, the objects are auto-created.

You can define and control *Auto Create* separately for SSCC and SGTIN in the *General Customizing* under SAP Advanced Track and Trace for Pharmaceuticals. It can be either activated generally, or for certain GLN of read point of event message. If you wish to activate auto create, you can configure parameter `AUTOCREATE_ITM` and/or `AUTOCREATE_SCC` in *General Customizing*.

Furthermore auto create can be configured to either work with or without a commissioning event via general customizing parameter `AUTOCREATE_EVT_EXT` (for external events) or `AUTOCREATE_EVT_INT` (for inhouse events). If set to *true* then a commissioning event is created. If the parameter is false (default if not maintained at all) then the object will be auto created without a commissioning event. For more information, see the configuration guide at <http://help.sap.com/attp>.

2.2.3.2.3 Auto Unpack

This feature helps you to reduce the number of detailed aggregation events that need to be transmitted and captured to reflect the internal operations of non-owned warehouses for example, in case of third-party logistics. It allows to receive aggregation events reflecting the final pack hierarchy at the time of shipping from a place outside the own organization even if the last known hierarchy was different. The auto-unpack feature assumes that the hierarchy has changed in case an event is received that refers to an object which is still packed.

You can define and control Auto Unpack separately for SSCC and SGTIN in the *General Customizing* under SAP Advanced Track and Trace. It can be either generally activated or for certain GLN of read point of event message.

Auto Unpack for SGTIN allows following additional configurations:

- No Auto Unpack: The SGTINs are not auto-unpacked.
- Unpack complete parent: When this is activated, the complete parent SGTIN is automatically unpacked as soon as one item SGTIN is removed from it. The parent SGTIN ceases to exist after auto unpacking all items from it. However, auto unpack will only succeed if all outer packing levels can be auto unpacked as well till the outmost packing layer is reached and with this the SGTIN is unpacked from its complete hierarchy
 - In case the outer packing levels are also SGTINs then also here the outer parents are completely auto-unpacked and de-commissioned.
 - In case the outer packing levels are SSCCs then first it needs to be checked whether auto unpack is configured for SSCCs and which method is configured.
 - If auto unpack is not configured for SSCCs the complete auto unpack for all layers is not possible and the event processing will be stopped with an error.
 - If auto unpack is configured for SSCCs dependent on the method auto-unpack is executed according to the method documented below.

Auto Unpack for SSCCs allows the following additional configurations:

- No Auto Unpack: The SSCCs are not auto-unpacked
- Unpack Object only: When this is activated, individual objects can be unpacked from SSCC hierarchy without affecting the remaining SSCC hierarchy.
- Unpack Complete Parent: When this is activated, the complete immediate parent SSCC is automatically unpacked.
- Also here auto unpack will only succeed if all outer packing levels can be auto unpacked as well till the outmost packing layer is reached. As SSCCs can only be child of other SSCCs, auto unpack is simply executed iteratively level by level according to one of the methods above, till the outmost packing level is reached and the required SSCC is unpacked from its complete hierarchy.

i Note

For object type LGTIN or lot, the auto-unpacking option is not applicable as the individual unit of an LGTIN cannot be identified.

Besides the auto unpack, there is an additional option to unpack objects based on a rule you can set up for rule type BR_UNPACK_DECOM. If during rules processing this rule is determined, then the hierarchy of all objects contained in the event message is determined, and for all of them all SSCC layers are unpacked and decommissioned but the contained SGTIN hierarchy is kept intact. This rule can be used for example to

“flatten” the hierarchy at the time of shipping to an external location. For more information, refer to [Rules Processing \[page 95\]](#).

2.2.3.2.4 Auto Move

With this feature, you have the option to automatically move an object to the current location during event processing if the location check fails. During activity validation and event capturing, the system checks if *Auto Move* is active for a particular read point GLN of the event message. If it is active, the objects are automatically moved or 'auto moved'.

You can define and control *Auto Move* separately for SSCC and SGTIN in the General Customizing for SAP Advanced Track and Trace for Pharmaceuticals. It can be either activated generally, or for a certain GLN of a read point of the event message. If you wish to activate auto move, you can configure the parameter AUTOMOVE_ITM and/or AUTOMOVE_SCC in *General Customizing*.

Furthermore, auto move can be configured to either work with or without an explicit recording of an event via the *General Customizing* parameter AUTOMOVE_EVT_INT. If set to *true*, an event is created and recorded. If the parameter is false (this is the default setting if the parameter is not maintained), then the object will be auto moved without recording an event. For more information, see the general configuration guide at <http://help.sap.com/attp>.

i Note

For object type LGTIN or lot, the auto-move option is not applicable as the individual unit of an LGTIN cannot be identified.

2.2.3.2.5 Save EPCIS Master Data Attributes for Shipping Events

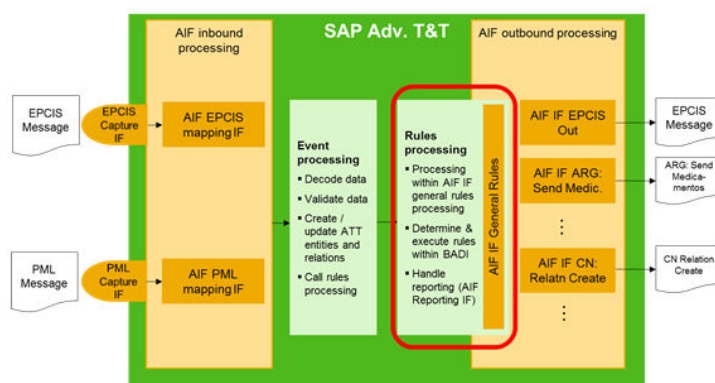
For supply chain partner notifications for shipping events, the solution can capture additional information about locations and products as EPCIS master data attributes in the EPCIS header (extension).

To capture the EPCIS master data attributes in the EPCIS header for shipping events (SCN scenario), enable the parameter CAP_EPCIS_SHIP_VOCAB. For more information, see the chapter EPCIS Inbound Processing in the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on SAP S/4HANA on the SAP Help Portal at <https://help.sap.com/attps4>.

You can search and filter DSCSA-related EPCIS messages using the report /STTP/REP_US_MESS_SEARCH (transaction /STTP/REP_US_MES_SRC) under [SAP Menu](#) > [Repository Data Management](#) > [Country-specific Functionality](#) > [United States of America](#). For more information, see chapter *Search EPCIS Messages in US DSCSA Context* in the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on SAP S/4HANA on the SAP Help Portal at <https://help.sap.com/attps4>.

2.2.4 Rules Processing

Use



The rules processing, on the one hand, is used for supply chain and regulatory reporting but it can also process business rules like “flatten hierarchy at shipping”, for example. Certain rule types are pre-delivered by SAP and can be used in the rules definition right away. In addition, custom specific rules can be defined and implemented.

Process

Rules are configured in customizing. The configuration consists of the following four steps

1. Review or define rule types (here you can review all pre-delivered rule types).
2. Define location groups.
3. Define country groups.
4. Define rules.

In addition, here is a simulation report that simulates which rules will be processed in which sequence for a particular event message context.

It is also possible to define and implement custom specific rules. On a high level the following three steps are necessary

1. Define custom specific Rule Type.
2. Create and implement new BAdI implementation for Rule Type and assign Rule Type as filter value.
3. Configure rules in customizing by using the new rule type.

For a detailed understanding of Rules Processing, see the Customizing documentation in the system and also the *Configuration Guide General* on the SAP Help Portal at <http://help.sap.com/attp>.

For further details on country-specific rules, see the corresponding configuration guide for the relevant country on the SAP Help Portal at <http://help.sap.com/attp>, and the chapter *Country-Specific Features* [page 112] at <http://help.sap.com/attp>.

2.2.4.1 List of Delivered Business Rule Types

The following business rule types are currently included in the solution :

BR_BATCH_DECOM	Business Rule: Decommission all Items of a Batch at Batch decommissioning
<hr/>	
This business rule type reacts on the decommissioning event of a Batch / Lot and if configured as a rule all serialized trade items (SGTINs) are detected which refer to the Batch / Lot that is decommissioned and also a decommissioning event for all SGTINs is composed and posted.	
<hr/>	
BR_BATCH_RELEASE	Business Rule: Send messages on hold after batch is released
<hr/>	
This business rule type determines reacts on a batch release event and checks whether for this particular batch there are currently reporting events waiting for the batch release, and if so the outbound communication is sent to the receiver. You can configure in transaction /STTP/CUST_REP_ATT - <i>Define Rule Type Settings for Reporting</i> via checkbox <i>Waiting for Batch Release</i> whether the outbound message for a particular rule type shall only be sent if the batch is released. So when a batch is not released when creating the reporting event, and the checkbox <i>Waiting for Batch Release</i> is selected then the reporting event is created but the message is not sent. When the batch release event is processed and this rule is configured appropriately, then the outbound communication is triggered.	
<hr/>	
BR_BATCH_DECOM_HIER	Business Rule: Deletes completes hierarchies for a decommissioned batch
<hr/>	
This rule determines all related objects for decommissioned batch, getting the whole hierarchy to the top level including parent SGTINs and SSCCs. If another GTIN is included in the hierarchy, we check whether lot number for them is the same as decommissioned batch. This is necessary in order to support more than one level of SGTINs. In case of inconsistent hierarchies (different lot numbers, branches with other GTINs, ...) the system stops processing of the rule. In case of a correct hierarchy, the system creates a new decommissioning event with all the collected items of the hierarchy including SGTINs, SSCCs, Lots with the same lot number.	
<hr/>	

BR_BATCH_SHIPMENT**Business Rule: Batch Shipment**

This rule can be triggered for a shipping event that was created for an LGTIN. The rule determines all related objects for batch shipment, getting the whole hierarchy including parent SGTINs and SSCCs. If another GTIN is included in the hierarchy, the system checks whether the lot number for them is the same as the shipped batch. This is necessary in order to support more than one level of SGTINs. In the case of inconsistent hierarchies (different lot numbers, ...) the system stops the processing of the rule. In the case of correct hierarchy, the system creates a new shipping event with all the collected items of the hierarchy including SGTINs, SSCCs, Lots with the same lot number and triggers SCP notification.

BR_CRYPTO_COMM**Business Rule: Commissioning for Crypto Codes**

This business rule is required in crypto code relevant markets. As of now this is only Russia. However, as this might change in the future, and since the underlying concept is based on a more generic framework, it is listed as a business rule. You can leverage this rule to declare that a crypto code was commissioned. In the case of Russia, this will trigger a utilization notification to the order management station (OMS), confirming the crypto codes with a status USED_FOR_PRODUCTION. This is a prerequisite to follow-up reporting notifications in Russia (to skip the crypto codes confirmation check for the corresponding notification, set up the customizing parameter RR_RU_SKIP_CC_CNFKCHK under REP application component). As an alternative to this rule, also the crypto code management UI can be leveraged to trigger the corresponding notification.

BR_CRYPTO_DECOMM**Business Rule: Decommission for Crypto Codes**

This business rule is required in crypto code relevant markets. As of now this is only Russia. However, as this might change in the future, and since the underlying concept is based on a more generic framework, it is listed as a business rule. You can leverage this rule in order to declare that a crypto code was decommissioned. In the case of Russia, this will trigger a dropout notification to the order management station (OMS), declaring the decommissioning of a crypto code. As a prerequisite, it is required that the utilization of a crypto code has been reported before.

BR_DISTR_DM**Business Rule: Replication of transactional data**

This business rule type is only relevant in case you have a distributed SAP Advanced Track and Trace for Pharmaceuticals landscape. If you have such a landscape, then you need to setup this rule in order to automatically distribute the transactional data between the systems.

BR_INTERN_RECEIVE**Bus. Rule: Automatically receive ship-for-internal-locations**

This business rule type can be used to automatically receive objects of shipments, if the sold-to location is classified as internal. The objects will be received at the *Sold-To* location of the shipment.

BR_UNPACK_DECOM**Business Rule: Unpack complete and decommission of hierarchy object**

This rule type determines the hierarchy of all objects contained in the event message, and for all of them all SSCC layers are unpacked and decommissioned but the contained SGTIN hierarchy is kept intact. This rule can be used for example to "flatten" the hierarchy at the time of shipping to an external location

2.2.4.2 Shared Delivery Scenario

You can use this feature to report a trade item to multiple dedicated countries. The country is taken not from the profile -relevant country of the trade item, but from the address data of the destination business partner. In

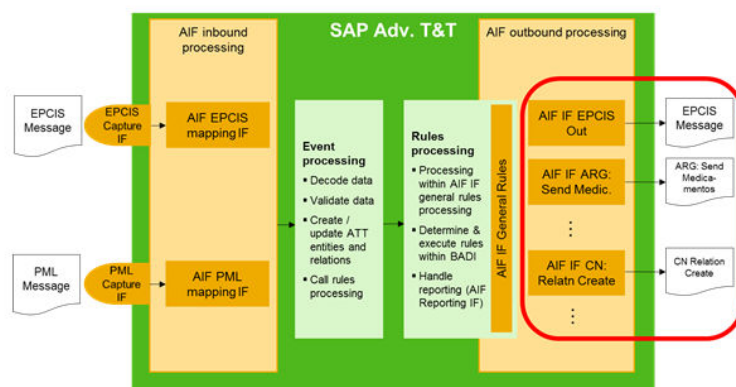
turn, the business partner is determined based on the destination GLN from the *Source/Destination* list of the event.

Do the following to enable the shared delivery scenario:

1. Add the additional attribute `BR_SHARED_PACK_REL` on the trade item level and set the value to true (X). This attribute indicates that the trade item is relevant for the scenario.
2. Configure the customizing parameter `SHARED_PACK_DELIVERY` with *Read Point* as an option. For more information regarding the parameter, see the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

2.2.5 Outbound Processing

Use



SAP Advanced Track and Trace for Pharmaceuticals is able to compose and send outbound messages in different formats to different kinds of receivers. These receivers typically are either a government server in case of regulatory reporting or another supply chain partner in case of supply chain reporting. The receivers typically are connected via Web Service but in exceptional cases (for example, China) also a file based exchange is supported.

Process

The outbound processing is triggered within the rules processing. In case of rules which trigger Supply Chain Reporting or Regulatory Reporting, a reporting event is created within the rules processing and the data is mapped to the outbound message structure.

Only now the outbound processing is triggered. Another AIF outbound interface is called to handle the actual sending of the outgoing messages. This approach enables dedicated monitoring of the outgoing reporting messages. Please find further details regarding supported country specific outbound messages in the topic [Country-specific Features \[page 112\]](#).

Regulatory Reporting and Supply Chain Reporting Framework

The Regulatory Reporting framework is embedded into the rules processing and outbound processing features of SAP Advanced Track and Trace for Pharmaceuticals. For every supported country, SAP delivers a set of rule types for regulatory or supply chain reporting which handle the creation of the respective reporting events and reporting messages. Based on the rule type, one can configure rules in Rules Customizing. Once such a rule is executed, one or more reporting events are created which document the relation between the triggering event and the objects contained in the reporting event. The reporting event also holds the link to the AIF message and the XML that contains the actual reporting message content. For more information, see [Reporting Events \[page 73\]](#).

The Regulatory Reporting Framework supports following features based on configuration settings for a particular rule type:

- **Ability to hold message until user approval:** If this setting is configured for a rule type, only the reporting event is created during rules processing, but no message is sent to the receiver. The message is only sent once the explicit approval is made. User approval can be given in the Reporting Event entity in the Data Cockpit.
- **Ability to hold message until batch release:** If this setting is configured for a rule type, the system checks during event processing if the related batch is released. If the batch is not released, the reporting event is created, but the message is not sent to the receiver. When a batch is released, the message will be sent if the rule for rule type `RR_BATCH_RELEASE` is configured.

For more information regarding supported regulatory reporting messages see [Country-specific Features \[page 112\]](#).

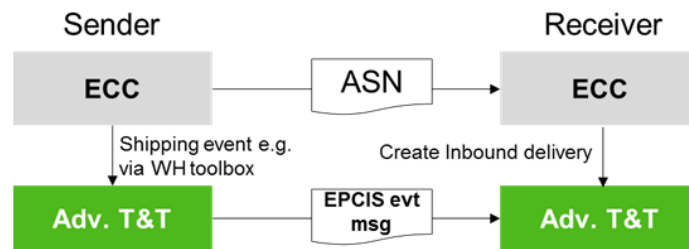
EPCIS Outbound

Besides the country-specific reporting, SAP Advanced Track and Trace for Pharmaceuticals also supports neutral EPCIS outbound message processing which can be used to inform a subsequent supply chain partner for example, about objects commissioned, aggregated (if appropriate) and shipped (if appropriate).

Sending an EPCIS outbound message is one of two general possibilities you have to provide your subsequent supply chain partners with serialization information.

- Possibility 1: Advanced Shipping Notification + EPCIS message
- Possibility 2: Enriched Advanced Shipping Notification (eASN)

This topic deals with the EPCIS outbound message of possibility 1. You can find more information on possibility 2 in chapter [Enriched Advanced Shipping Notification \(eASN\) \[page 249\]](#).



There are three different options to create an EPCIS outbound message:

- Option 1: EPCIS outbound message triggered by a shipping event, composed within a rule and sent as a Web Service directly to the receiving business partner.
- Option 2: EPCIS outbound message triggered by any object event, composed within a rule and stored to a file
- Option 3: EPCIS outbound message triggered and composed by a report and configurable outbound capabilities (file or web service)
- Option 4: EPCIS outbound message triggered by any object, aggregation or transaction event, composed within a rule and sent as a Web Service to the owner of the trade item
- Option 5: EPCIS outbound message triggered by any object event, composed within a rule and sent as a Web Service to the static endpoint

Option 1: EPCIS outbound message triggered by a shipping event, composed within a rule and sent as a Web Service directly to the receiving business partner.

The rule composes the EPCIS message, determines the receiving business partner and sends the EPCIS message as web service directly to the receiving business partner. This EPCIS outbound only can be triggered by a shipping event. It can be configured by creating rules that use rule type SR_INFORM_SUCC: [Supply Chain Partner: Inform Successor](#).

The resulting EPCIS outbound message will contain the following events:

- Commissioning events for all shipped objects: Serialized Trade Items, Serialized Containers, and Lots that are referred within the Serialized Trade Items
- Aggregation events to compose the shipped hierarchy
- Shipping Event declaring the shipment of the top level objects (typically pallet SSCCs)

i Note

Important notes and prerequisite:

- Only use this rule type to create rules for business step shipping as only in this context all relevant information is available.
- The receiving supply chain partner must be maintained as business partner and this business partner must have the notification format EPCIS assigned and a notification system must be maintained capable of receiving the web service.

Option 2: EPCIS outbound message triggered by any object event, composed within a rule and stored to a file

In this case the notification format is not determined from the receiving business partner but is always EPCIS by default. Therefore this rule can be triggered from any object event with action *ADD* or *OBSERVE* and even works based on an aggregation event with action *ADD* under certain conditions. This rule composes the EPCIS message and stores it in the mapped file share. It can be configured by creating rules that use rule type `SR_INFORM_SUCC_FILE`: *Supply Chain Partner: Save Successor Notification into File*.

The content of the EPCIS message depends on the triggering event and always contains commissioning events and optionally aggregation events and a shipping event dependent on the context.

- Commissioning events: Commissioning events for all objects determined for the object contained in the triggering event.
 - If the objects of triggering event are aggregated: commissioning for the objects contained in the triggering event plus commissioning for all child objects that belong to the hierarchy.
 - If the objects of triggering event are not aggregated: commissioning event for all objects contained in the triggering event.
- Aggregation events: Only if objects of triggering event are parent of hierarchy
 - If the objects of the triggering event are parent of hierarchy: aggregation events to compose the current hierarchy.
- Shipping event: Only in case the triggering event is a shipping event.

For more information regarding the file share setup, see the configuration guide at <http://help.sap.com/attp>.

Option 3: EPCIS outbound message triggered and composed by a report and configurable outbound capabilities (file or web service)

With this powerful report you can compose and send EPCIS outbound notifications. Objects for notifications and events are selected based on various selection criteria. You can also compose and post internal EPCIS receiving and shipping events.

The objects are selected based on different selection criteria. There are five ways to determine objects:

- Lots by GTINs: Select lots for a particular GTIN and manufacturing date within horizon, and determine serialized objects of this lot and exclude all objects that have a disposition to be excluded

- By individual Lot: Determine serialized objects for a particular lot and exclude all objects that have a disposition to be excluded
- By finished business transaction: Determine business transactions that have status closed/finished and last change date within horizon and determine all objects related to this business transaction and exclude all objects that have a disposition to be excluded
- By individual business transaction: Determine all objects related to this business transaction and exclude all objects that have a disposition to be excluded
- By top level Object: Determine all child objects for provided top level objects (SGTIN or SSCC). In case at least one object has a disposition to be excluded the processing is stopped immediately

If the objects to be reported are part of a hierarchy, and some of the objects in the hierarchy have a disposition that has to be excluded from reporting, then the complete hierarchy is not reported, with a corresponding error message.

Within processing option *Compose internal EPCIS event for objects* you can optionally compose and post internal shipping or receiving events for the selected objects. This might be especially helpful if you want to compose EPCIS outbound messages for partial quantities of a lot or transaction, and still want to ensure that every item only is contained once in an EPCIS message.

Example: You are producing large multi day batch sizes, and you are shipping out every evening a partial batch quantity to the supply chain partner. This you can achieve by object selection *by individual lot*. You enter the lot there and add disposition in transit to the list of dispositions to be excluded. Furthermore *select compose and post shipping event* and the wished option for the outbound event message. Now you can run this report every evening and it always will select all objects that have not been shipped out yet, and then compose a shipping event and the supply chain outbound message. So after the report is run, all items that are contained in the outbound message now have the disposition in transit and will not be selected for reporting again the next evening.

Within the processing option "compose supply chain outbound event message" you will have to choose one of the options:

- EPCIS to file: The EPCIS outbound message is composed and stored to the same fileshare as for the rule SR_INFORM_SUCC_FILE. For further details please see [Rules Processing \[page 95\]](#).
- Web Service: The EPCIS message is composed and sent via web service
Prerequisite: Notification system must be provided. For this system communication type web service must be defined and logical port must be maintained. In case you need to use custom format and WSDL communication adapter must be defined for notification system and corresponding BAdI with custom processing logic must be implemented.
- None: No outbound message composed. This option exists because you can also use this report only to trigger an internal event (for example, a shipping event) and then use a normal rule for outbound processing.

The Supply Chain notification can be composed as standard EPCIS message as well as with US-specific (US Lot and US Item) format extensions.

You can also include and exclude certain kinds of events from the final supply chain notification. The following options are available:

- Commissioning events
 - No Commissioning Events – all commissioning events are excluded
 - No Commissioning Events for Lots – commissioning events for corresponding LGTINs are excluded
 - Include All Commissioning Events – all corresponding commissioning events are included

- Decommissioning events
 - No Decommissioning Events – all decommissioning events are excluded
 - Include Decommissioning Events – corresponding decommissioning events are included
- Aggregation events
 - No Aggregation Events – all aggregation events are excluded
 - Virtual Aggregation Events (Current Hierarchy) – artificial aggregation events are created in correct sequence to reflect current hierarchy
 - Actual Aggregation Events (Complete History)– all corresponding aggregation events are included
- Shipping events
 - No Shipping Events – all shipping events are excluded
 - Last Shipping Event Only – only last shipping event is included
 - All Shipping and Receiving Events – all corresponding shipping and receiving events are included

You can split objects to be reported by assigned lot or by top level hierarchy object, and send a separate notification for each list of objects.

You can execute the report in simulation mode, meaning only the selection of objects, the composing of internal EPCIS events and the composing of supply chain notification will be performed, but without posting these to Capturing Framework and Application Interface Framework.

The report can be accessed via the *SAP Menu* ► *Advanced Track & Trace* ► *Repository Data Management* ► *Repository Data Management* ► *Transaction /STTP/CREATE_EPCIS - Select objects and trigger EPCIS notification* ►.

Alternatively the report can be accessed via transaction /STTP/CREATE_EPCIS - *Select objects and trigger EPCIS notification*.

For more information, see the report documentation.

Option 4: EPCIS outbound message triggered by any object, aggregation or transaction event, composed within a rule and sent as a Web Service to the owner of the trade item.

The rule composes the EPCIS message, determines the receiving business partner by owner GLN (additional attribute BR_OWNER_GLN should be maintained for affected trade items) and sends the EPCIS message as web service directly to the business partner. This EPCIS outbound can be triggered by object, aggregation or transaction event for standard EPCIS format. For US-DSCSA Item specific formats, the triggering event should be chosen in accordance with the GS1 US Healthcare implementation guidelines. US-DSCSA Lot formats are not supported.

The processing can be configured by creating rules that use rule type SR_INFORM_OWNER_EVT: *Supply Chain Reporting: Inform Owner*.

The resulting EPCIS outbound message will contain the closest copy of the triggering event. For US-DSCSA Item specific formats the outbound message is extended in accordance with GS1 US Healthcare implementation guidelines.

Option 5: EPCIS outbound message triggered by any object event, composed within a rule and sent as a Web Service to the static endpoint.

The rule composes the EPCIS message, determines the receiving business partner by the GLN maintained in General Customizing under parameter SR_INF_STAT_RCVR_GLN and sends the EPCIS message as web service directly to the endpoint. The outbound notification is composed in standard EPCIS format and can be triggered by any object event. Other event types are not supported.

The processing can be configured by creating rules that use rule type SR_INFORM_STATIC: [Supply Chain Reporting: Inform Partner \(Static\)](#).

The resulting EPCIS outbound message contains all affected SGTINs with the corresponding lot reference. Packing levels are not considered, SGTINs are grouped by GTIN+LOTNO combination and own EPCIS event is created for each group. Lot number (LOTNO), manufacturing date (DATMF) and expiry date (DATEX) information is included into SAP Extension section.

2.2.5.1 List of delivered Supply Chain Notification Formats

SR_INFORM_OWNER_EVT

Supply Chain Reporting: Inform Owner

See [Option 4: EPCIS outbound message triggered by any object, aggregation or transaction event, composed within a rule and sent as a Web Service to the owner of the trade item](#) in the previous chapter.

The rule composes the EPCIS message, determines the receiving business partner by owner GLN (additional attribute BR_OWNER_GLN should be maintained for affected trade items) and sends the EPCIS message as web service directly to the business partner. This EPCIS outbound can be triggered by object, aggregation or transaction event for standard EPCIS format. For US-DSCSA Item specific formats, the triggering event should be chosen in accordance with the GS1 US Healthcare implementation guidelines. US-DSCSA Lot formats are not supported.

SR_INFORM_STATIC

Supply Chain Reporting: Inform Partner (Static)

See [Option 5: EPCIS outbound message triggered by any object event, composed within a rule and sent as a Web Service to the static endpoint](#) in the previous chapter.

The rule composes the EPCIS message, determines the receiving business partner by the GLN maintained in General Customizing under parameter SR_INF_STAT_RCVR_GLN and sends the EPCIS message as web service directly to the endpoint. The outbound notification is composed in standard EPCIS format and can be triggered by any object event. Other event types are not supported

SR_INFORM_SUCC

Supply Chain Partner: Inform Successor

See [Option 1: EPCIS outbound message triggered by a shipping event, composed within a rule and sent as a Web Service directly to the receiving business partner](#) in the previous chapter.

The rule composes the EPCIS message, determines the receiving business partner and sends the EPCIS message as web service directly to the receiving business partner. This EPCIS outbound only can be triggered by a shipping event. It can be configured by creating rules that use rule type SR_INFORM_SUCC: Supply Chain Partner: Inform Successor.

See *Option 2: EPCIS outbound message triggered by any object event, composed within a rule and stored to a file* in the previous chapter.

In this case the notification format is not determined from the receiving business partner but is always EPCIS by default. Therefore this rule can be triggered from any object event with action ADD or OBSERVE and even works based on an aggregation event with action ADD under certain conditions. This rule composes the EPCIS message and stores it in the mapped file share. It can be configured by creating rules that use rule type SR_INFORM_SUCC_FILE: Supply Chain Partner: Save Successor Notification into File.

2.2.6 Requesting EPCIS messages

Besides the possibility of creating and pushing EPCIS messages to a receiver (see [Outbound Processing \[page 98\]](#)), SAP Advanced Track and Trace for Pharmaceuticals also offers the possibility for authorized systems to request (pull) EPCIS messages either via Remote Function Call (RFC) or via a web service. For more information, see *SAP Advanced Track and Trace for Pharmaceuticals: Requesting EPCIS Messages under Development* on the SAP Help Portal at <http://help.sap.com/attp>.

2.3 Additional Data Management Capabilities

2.3.1 Historical hierarchy

With this separate transaction, you can display the hierarchy an object was in at any given point in time.

You can enter the code for an object into the field and then either enter a date and time directly, or choose from a list of events for which the hierarchy should be displayed. If you choose an event, the hierarchy will be shown as it was after the event happened. The event date and time always is displayed in time zone UTC, whereas the date time entered explicitly refers to the user's time zone.

The hierarchy is shown as a tree. The tree nodes can be expanded or collapsed.

Currently the functionality is not enabled for lots.

You can access the historical hierarchy by navigating to *Historic Hierarchies* from the *SAP Menu*, under

► *Advanced Track and Trace* ► *Repository Data Management* ► *Repository Data Management* ►. Alternatively, you can use transaction /STTP/HISTHIER.

The historical hierarchy can be displayed also from the cockpit for a selected serialized trade item or serialized container. For this, select a serialized trade item or serialized container and a related event (detail screen) or


select an event and a related object (detail screen) and use select 'Historic Hierarchy' on the corresponding detail screen.

2.3.2 Lot Update and Reassignment Report


You can use the report *Lot Update and Reassignment Report* (transaction `/STTP/LOT_UPDATE`) to change the lot assignment of the corresponding serialized trade items, or to update the expiry date of an existing lot.

You can reassign all existing serialized trade items of one existing lot to another one. You also can create a new lot with the desired manufacturing and expiry dates, and reassign serialized trade items of the initial lot to the new one in one step. This lot reassignment is driven via the feature described in the chapter [SAP_Assign_New_Lot \[page 90\]](#).

Alternatively, you can update the expiry date of an existing lot.

You can access the report by navigating to the [SAP Menu](#) > [Advanced Track and Trace](#) > [Repository Data Management](#) > [Repository Data Management](#) > [Lot Update and Reassignment Report](#) .

2.3.3 Trace Request Report

You can use the report *Trace Request for Serialized Objects* (transaction `/STTP/TRACE_REQUEST`) to download inbound and outbound messages that are relevant for the related serialized trade items of the selected lots to a local PC or application server. You can also put all the files into a single ZIP archive and download it. You can also include archived files. You can select archived lots as well, but currently you cannot download the messages for its related serialized trade items. You can access the report by navigating to the [SAP Menu](#) > [Advanced Track and Trace](#) > [Repository Data Management](#) > [Repository Data Management](#) > [Trace Request for Serialized Objects](#) .

You can use the trace request functionality with the help of a new web service `/STTP/IIW_TRACE_REQUEST` that serves the same purpose and provides similar features.

2.4 Apps for SAP Advanced Track and Trace for Pharmaceuticals on SAP S/4HANA

SAP Advanced Track and Trace For Pharmaceuticals on SAP S/4HANA provides apps that you can use together with the standard application. These apps must be integrated into an existing SAP Fiori landscape. You can access the apps from the SAP Fiori launchpad.

The following apps can be used on desktop and mobile devices:

- Display Serialized Objects

- Display Events
- Display Business Transactions
- Display Object History
- Display Reporting Events
- Authenticate Serialized Objects
- Display Authentication Requests
- Post Manual EPCIS Event

Setup & Configuration

For setting up and configuring Fiori applications for SAP Advanced Track and Trace For Pharmaceuticals on SAP S/4HANA, ensure that you have read the corresponding information in the administration guide as well as configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on SAP S/4HANA on the SAP Help Portal at <http://help.sap.com/attps4>.

The results displayed depend on the authorization settings maintained in GLN Group customizing and/or roles. For more information about the authorizations in Fiori Apps, see the administrator guide for SAP Advanced Track and Trace for Pharmaceuticals on SAP S/4HANA on the SAP Help Portal at <http://help.sap.com/attps4>.

More Information

The SAP Fiori apps reference library has details about the content necessary for giving users access to an app on the SAP Fiori launchpad. The SAP Fiori apps reference library is available here: <https://fioriappslibrary.hana.ondemand.com>. 🖱️ .

A detailed explanation of the standard Fiori pages and elements can be found in the Fiori Design Guidelines on the SAP Help Portal at <https://help.sap.com/fiori>.

2.4.1 Display Serialized Objects

With this app, you can display serialized objects, including lots, serialized trade items and serialized containers with their status, location, related events and assigned business transactions and so on.

For more information about the serialized objects, see the chapter *Repository Browser*.

Key Features

You can use this app to do the following:

- Search and filter for serialized objects and view the details by clicking on the row.
- Search the archived serialized objects via the object code (EPC) filter and view the details.
- Scan the object code using a camera if your browser supports this functionality.
- Post EPCIS events to the selected serialized objects.
- Navigate to external systems that are defined in integration scenarios if object type matches.
- Navigate to the corresponding apps for events, business transactions, reporting events, and authorization requests related to a serialized object. You can also navigate to the object history app of a serialized object. For more information, see chapter *Display Object History*.

i Note

Regarding the archived serialized objects:

- They can only be queried via the *Object Code (EPC)* filter. Other filters do not impact the result.
- On the *Object* page, only those records that are not archived are displayed for the related events, business transactions, reporting events, and authorization requests. You can check the *Repository Browser* from the Data Cockpit for those archived data.

2.4.2 Display Events

With this app, you can search for events and check their detailed information.

For more information about the events, see the chapter [Repository Browser \[page 63\]](#).

Key Features

You can use this app to do the following:

- Search and filter for events and view the details by clicking on the row.
- Navigate to the detailed page of the relevant serialized objects, business transactions and reporting events.
- Navigate to the corresponding AIF message log of the event.
- View and download the EPCIS message file of the event.
- Trigger the applicable rules to the event.

2.4.3 Display Business Transactions

With this app, you can search for the business transactions and check their detailed information.

For more information about the business transactions, see the chapter [Repository Browser \[page 63\]](#).

Key Features

You can use this app to do the following:

- Search and filter for business transactions with various filter conditions and view the details by clicking on the row.
- Navigate to external systems that are defined in integration scenarios, if the business transaction type matches.
- Check the relevant events and serialized objects for the selected business transaction. Navigate to the corresponding app for further details.

i Note

The app will not present archived business transactions, you can find the archived business transaction information from the Data Cockpit.

2.4.4 Display Object History

With this app, you can search for serialized objects – including serialized trade items and serialized containers to display the related events and hierarchies of serialized object lifecycle.

Key Features

You can use this app to do the following:

- Search for serialized objects and view the related events and hierarchies. To see the details of the event and the historical hierarchy of a serialized object, click on that row.
- View basic data about the object as well as a chronological timeline of all events for this object.
- View the object hierarchy after a specific event by selecting an event from the timeline.

This app does not display all the information regarding the events. To see all event details, use the app [Display Events](#).

i Note

The archived serialized objects are not retrieved in the List Report.

2.4.5 Display Reporting Events

With this app, you can search for reporting events with their detailed information like processing status, approvals, responses, the message contents etc. and modify the reporting event with the actions that are provided in the detailed page.

For more information about the reporting events, see the relevant chapter in [Repository Browser](#).

Key Features

You can use this app to do the following:

- Search for reporting events with various filter conditions and view the detailed information by clicking on the row.
- Navigate to the detailed page of the relevant event and serialized objects.
- Navigate to the corresponding AIF message log of the reporting event.
- View and download the message file generated for the reporting event as well as the responses.
- Carry out actions such as approve reporting event, resend reporting event, acknowledge error etc. and view the processing result on UI.

i Note

In case signature is required, the actions for approving reporting events must only be carried out from the Data Cockpit.

2.4.6 Display Authentication Request

With this app, you can search for serialized objects and view all authentication requests made for the serialized object with the corresponding list of authentication details.

For more information about the authentication requests, see the relevant chapter in [Repository Browser](#).

Key Features

You can use this app to do the following:

- Search for serialized objects and view all authentication requests made for the serialized object and the authentication details, such as authentication request result, check result for the selected object, product name, expiry date, and the detailed check messages.
- Navigate from an authentication request to a serialized object by clicking on the object code.
- See the location on map, if the longitude and latitude of the authentication request are provided.

2.4.7 Authenticate Serialized Object

With this app, you can specify an object code or scan a QR code which can be a serialized trade item or a lot and trigger the authentication process to check whether the object code specified is valid or not.

Key Features

You can use this app to do the following:

- Enter or scan an object code (serialized trade item or lot but serialized container is not supported) and trigger the authentication process to check the result whether the object code is valid or not.
- The validation result as well as the product description and best before date will be presented in the page header.
- The detailed validation log will be presented in the page body.
- Each validation will generate an authentication request, you can go to the [Display Authentication Request](#) app to check the details.

2.4.8 Post Manual EPCIS Event

With this app, you can post manual EPCIS event for objects like serialized trade item, lot and serialized container with the help of predefined wizard steps and depending on the selected business step, different event data and available wizard steps are determined and shown. Then you can follow the wizard steps to maintain the required data and perform different operations accordingly in the Fiori App UI.

Key Features

You can use this app to do the following:

- Post manual EPCIS event for objects like serialized trade item, lot and serialized container with predefined wizard steps.
- After all required data is maintained, you can review the maintained data of different steps in one review page and correct the data of a specific step with the button Edit in the review page.
- After review is done, you can submit the event posting process and then you can see whether the event posting is successful or not after submission and a popover with a list of messages is shown in the bottom left of the Fiori App UI.

3 Country-Specific Features

SAP Advanced Track and Trace for Pharmaceuticals provides country specific functionality and content as part of the country versions provided. This includes amongst others country specific capabilities for regulatory reporting or supply chain reporting, master data and serial number handling and country specific serial number specifications. SAP Advanced Tack and Trace for Pharmaceuticals contains country specific functionality for the following countries:

- [Argentina \[page 112\]](#)
- [China \[page 121\]](#)
- [European Union \[page 125\]](#)
- [India \[page 142\]](#)
- [South Korea \[page 190\]](#)
- [Turkey \[page 195\]](#)
- [United States of America \[page 197\]](#)
- [Russia \[page 153\]](#)

3.1 Argentina

Use

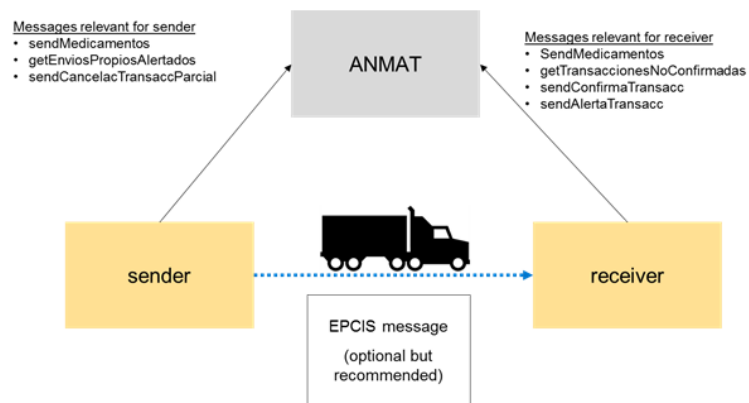
SAP Advanced Track and Trace for Pharmaceuticals supports data exchange with the Argentina ANMAT server.

The following messages are supported

sendMedicamentos	send medicaments
getEnviosPropiosAlertados	get alerted deliveries
sendCancelacTransaccParcial	cancel partial transaction
getTransaccionesNoConfirmadas	get non confirmed transactions
sendConfirmaTransacc	confirm transaction
sendAlertaTransacc	alert transaction

Integration

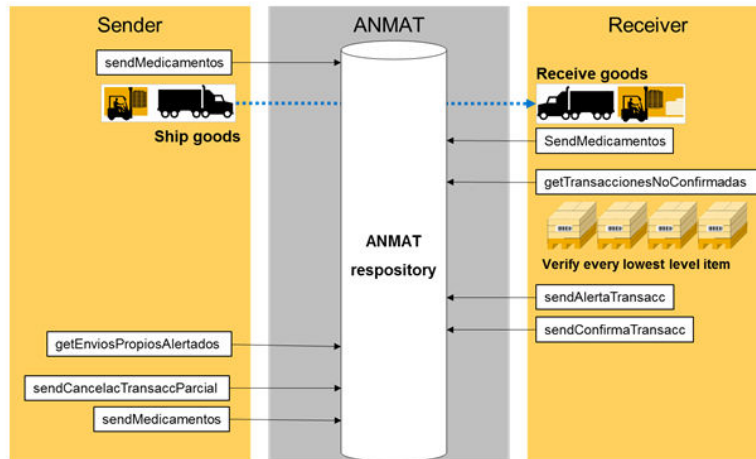
On a high level the message choreography looks as following:



Certain messages are relevant for the sender of the goods and other messages are relevant for the receiver of the goods.

Within SAP Advanced Tack and Trace for Pharmaceuticals regulatory reporting messages typically are triggered during event processing and handled within a rule in the rules framework.

In case of Argentina this is different. Here only the regulatory reporting message *sendMedicamentos* is handled by a rule and triggered from event processing. All the other messages are handled by dedicated transactions because first data needs to be queried from ANMAT server and then compared with data available in the repository and then based on a user decision the regulatory reporting can be triggered. So in this case the trigger is not an event message but a user decision.



More Information

[Regulatory Reporting Messages when Shipping Goods \[page 114\]](#)

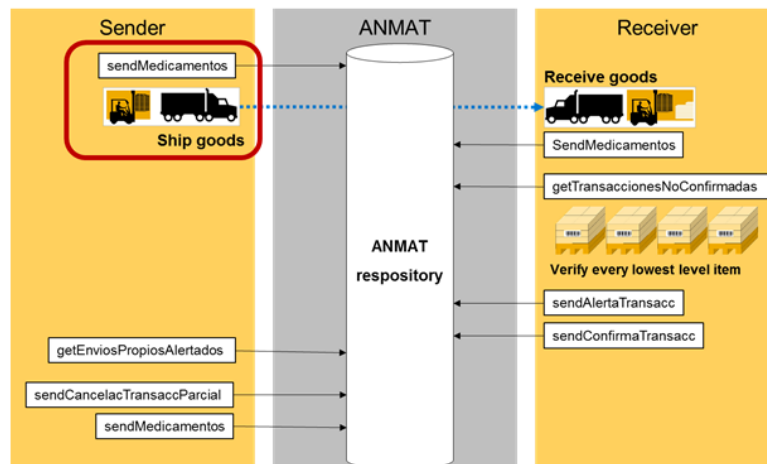
[Regulatory Reporting Messages when Receiving Goods \[page 119\]](#)

[Handle Alerted Shipments on Sender Side \[page 120\]](#)

3.1.1 Regulatory Reporting Messages when Shipping Goods

Use

When shipping goods to a subsequent supply chain partner then the message `sendMedicamentos` has to be sent to the ANMAT repository in order to notify ANMAT that the goods are shipped.



SAP Advanced Track and Trace for Pharmaceuticals provides the functionality within rule type RR_AR_SEND_MDC for which adequate rules have to be maintained in the rules definition customizing. For more details see ► [SPRO](#) ► [SAP Implementation Guide](#) ► [SAP Advanced Track and Trace](#) ► [Rules Customizing](#) ►.

The message `sendMedicamentos` only contains the lowest level SGTINs and does not contain hierarchies. Therefore it is strongly recommended to additionally send an EPCIS Message including the aggregations of the goods to the receiver of the goods in order to ease logistical processing for the receiver.

One of the specialties of the `sendMedicamentos` message is that it must contain one of around 500 different event IDs and the challenge is to determine this event ID out of the logistical business context.

More Information

[Determination of Event ID \[page 116\]](#)

3.1.1.1 Determination of Event ID

Use

The event ID determination is a two step process.

- First the event type is determined from customizing /STTP/CUST_AREVTYP - Define Event Types for Argentina Government
- Based on the sending and receiving business partner the event id can be determined from customizing /STTP/CUST_AREVT - Define Event ID for Argentina Government

i Note

The content of both tables is delivered as a BC set. You need to import the BC set in order to ensure that the event ID determination works.

Process

Determination of Event Types

Certain event types and IDs can be determined based on the existing event parameter.

- AR_008: DISTRIBUCION DEL PRODUCTO A UN ESLABON POSTERIOR
 - Business step: shipping, sender: business partner with Business Partner Role "Own Organization", receiver: another business partner within Argentina
- AR_033: RECEPCION DE PRODUCTO DESDE UN ESLABON ANTERIOR
 - Business step: receiving, sender: another business partner, receiver: business partner with Business Partner Role "Own Organization"
- AR_017: ENVIO TRASLADO ENTRE DEPOSITOS PROPIOS
 - Business step: shipping, sender: business partner with Business Partner Role "Own Organization", receiver: business partner with Business Partner Role "Own Organization"
- AR_042: RECEPCION TRASLADO ENTRE DEPOSITOS PROPIOS
 - Business step: receiving, sender: business partner with Business Partner Role "Own Organization", receiver: business partner with Business Partner Role "Own Organization"
- AR_023: PRODUCTO DESTINADO A EXPORTACION
 - Business step: shipping, sender: business partner with Business Partner Role "Own Organization", receiver: another business partner outside Argentina

In order to enable the determination of all event IDs you can provide the extension parameter RR_AR_EVENT_TYPE within the event extension of the EPCIS message. In this case please provide one of the following event types:

Event Type	Description
AR_001	CODIGO DETERIORADO/DESTRUIDO

Event Type	Description
AR_002	CONTRAMUESTRA DE RETENCION
AR_003	DESTRUCCION DE MEDICAMENTO POR PROHIBICION
AR_004	DESTRUCCION DE MEDICAMENTO POR VENCIMIENTO
AR_005	DESTRUCCION DE PRODUCTO DESTINADO A FINES DE INVESTIGACION
AR_006	DEVOLUCION DE PRODUCTO DESTINADO A FINES DE INVESTIGACION
AR_007	DISPENSACION DEL PRODUCTO AL PACIENTE
AR_008	DISTRIBUCION DEL PRODUCTO A UN ESLABON POSTERIOR
AR_009	ENVIO DE MERCADERIA EN CARACTER DE CUARENTENA
AR_010	ENVIO DE MERCADERIA POR DEVOLUCION EN CARACTER DE CUARENTENA
AR_011	ENVIO DE PRODUCTO DESTINADO A FINES DE INVESTIGACION
AR_012	ENVIO DE PRODUCTO EN CARACTER DEVOLUCION
AR_013	ENVIO DE PRODUCTO EN CARACTER DEVOLUCION POR PROHIBICION
AR_014	ENVIO DE PRODUCTO EN CARACTER DEVOLUCION POR VENCIMIENTO
AR_015	ENVIO PARA CONTROL DE CALIDAD
AR_016	ENVIO POR REPOSICION
AR_017	ENVIO TRASLADO ENTRE DEPOSITOS PROPIOS
AR_018	FINALIZAR EMPAQUE POR FRACCIONAMIENTO
AR_019	INICIO DE TRAZA
AR_020	LEVANTAMIENTO DE CUARENTENA
AR_021	MUESTRA MEDICA
AR_022	PRÉSTAMO POR URGENCIA
AR_023	PRODUCTO DESTINADO A EXPORTACION

Event Type	Description
AR_024	PRODUCTO DESTINADO A FINES DE INVESTIGACION
AR_025	PRODUCTO ROBADO/EXTRAVIADO
AR_026	PUESTA EN CONTRAMUESTRA DE RETENCION
AR_027	PUESTA EN CONTROL DE CALIDAD
AR_028	PUESTA EN CUARENTENA
AR_029	RECEPCION DE DEVOLUCION DE PRODUCTO DESTINADO A FINES DE INVESTIGACION
AR_030	RECEPCION DE MERCADERIA EN CARACTER DE CUARENTENA
AR_031	RECEPCION DE MERCADERIA POR DEVOLUCION EN CARACTER DE CUARENTENA
AR_032	RECEPCIÓN DE PRÉSTAMO POR URGENCIA
AR_033	RECEPCION DE PRODUCTO DESDE UN ESLABON ANTERIOR
AR_034	RECEPCION DE PRODUCTO DESTINADO A FINES DE INVESTIGACION
AR_035	RECEPCION DE PRODUCTO EN CARACTER DE DEVOLUCION
AR_036	RECEPCIÓN DE PRODUCTO EN CARÁCTER DE DEVOLUCIÓN DESDE EL EXTERIOR
AR_037	RECEPCION DE PRODUCTO EN CARACTER DE DEVOLUCION POR PROHIBICION
AR_038	RECEPCION DE PRODUCTO EN CARACTER DE DEVOLUCION POR VENCIMIENTO
AR_039	RECEPCIÓN DE REPOSICIÓN DE PRÉSTAMO POR URGENCIA
AR_040	RECEPCION EN CARACTER DE CONTRAMUESTRA DE RETENCION
AR_041	RECEPCION PARA CONTROL DE CALIDAD
AR_042	RECEPCION TRASLADO ENTRE DEPOSITOS PROPIOS
AR_043	REPOSICIÓN DE PRÉSTAMO POR URGENCIA

Event Type	Description
AR_044	RETIRO DE MUESTRA POR AUTORIDAD SANITARIA JURIS-DICCIONAL
AR_045	RETIRO DE MUESTRA POR AUTORIDAD SANITARIA. AN-MAT

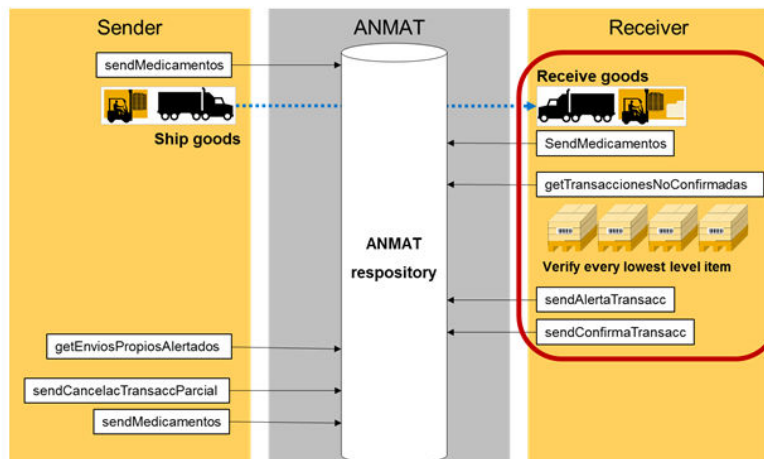
Determination of Event ID

Event IDs are determined from Event Type, the sending and the receiving business partners (source and destination owning party of the event message). All business partners who participate in the Supply Chain in Argentina therefore need the field business partner category maintained. You need to assign the following business partner categories to your business partners

BP Category	Description
ABFR	BOTIQUIN FARMACIA
ADIS	DISTRIBUIDORA
ADRO	DROGUERIA
AEAS	ESTABLECIMIENTO ASIST.
AEES	ESTABLECIMIENTO ESTATAL
AFAR	FARMACIA
ALMI	LAB. DE MEZCLA INTRAVENOSA
ALAB	LABORATORIO
A3PL	OPERADOR LOGISTICO
APAI	PAIS

3.1.2 Regulatory Reporting Messages when Receiving Goods

When receiving goods, the message choreography is more comprehensive.



Upon receiving the goods and the respective event message, one can configure the rules processing to send the regulatory reporting message `sendMedicamentos`.

Alternatively or in addition SAP Advanced Tack and Trace for Pharmaceuticals offers the transaction `/STTP/REP_AR_UNC_TR` Handle Unconfirmed Transaction, which enables to query not yet confirmed transactions (outbound delivery or invoice number of sender) from the ANMAT server and to compare it with the data that has been received (inbound delivery). The transaction lists all serialized items coming from the ANMAT server and proposes whether a confirmation shall be sent back to ANMAT for individual items (`SendConfirmaTransacc`) or whether individual items shall be alerted (`sendAlertaTransacc`). Per individual item, you can now decide whether to follow the proposal or not and you can now trigger sending the regulatory reporting messages `SendConfirmaTransacc` and `SendAlertaTransacc`. When doing so, a reporting event is created just like in any other case when regulatory reporting is executed.

3.1.3 Handle Alerted Shipments on Sender Side

On sender's side, periodically it needs to be checked whether one of the receivers alerted some of the goods shipped out. For this purpose, the transaction `/STTP/REP_AR_ALERT` to Handle Alerted Shipments is provided.

Based on this transaction the sender can query and display the items that have been alerted through `sendAlertaTransacc` by the receiver of the goods. In case there are any alerted items then the sender needs to investigate the reason why the item has been alerted and dependent on the root cause the

sender needs to notify the ANMAT repository with the adequate message, for example, the individual IDs can be cancelled directly from within the transaction by sending a Regulatory Reporting message `SendCancelacTransaccParcial`.

3.2 China

Use

The country specific functionality for China consists of the following features:

Features

- Handling of the Chinese Product Master
- Handling of Chinese Serial Numbers
- Regulatory Reporting for China

As of today the data exchange with China CFDA is file based and not service based. The China CFDA offers web pages or a windows application where requests can be made and then in a second step respective data can be uploaded or downloaded from an FTP server. The access to that server is authenticated by a hardware dongle.

China has a completely proprietary system of handling product master data or serial numbers which is not compatible per se with EPCIS. However, in SAP Advanced Track and Trace for Pharmaceuticals the data is setup in a way which allows compatibility with EPCIS and on this basis one can use EPCIS messages to exchange information between supply chain partners. In particular this has the following implications

- The Chinese product master is not an own entity in SAP Advanced Track and Trace for Pharmaceuticals but a trade item (key: GTIN) has to be created and the Chinese product master data is assigned to the trade item.
- The Chinese serial number (also China Electronic Drug Monitoring Code (EDMC)) is capable of uniquely identifying an object but in SAP Advanced Track and Trace for Pharmaceuticals, the Chinese serial number is treated just like any other serial number.
- Within SAP Advanced Track and Trace for Pharmaceuticals, Chinese serialized trade items are treated and encoded as SGTINs (GTIN + Serial Number).
 - However, Chinese serialized trade items can be decoded also by the EDMC only. So by scanning an EDMC barcode which only contains the Chinese serial number the serialized trade item can be decoded and identified.

i Note

The SAP Advanced Track and Trace for Pharmaceuticals decoder is capable of decoding GS1 element string, EPC URI and EDMC (conditions for identification: 20 digit, numeric, starts with 8).

More Information

[China Product Master \[page 122\]](#)

[China Serial Number \[page 123\]](#)

[Regulatory Reporting for China \[page 124\]](#)

3.2.1 China Product Master

Although China has a product master data system, the Chinese product master is treated as a trade item in SAP Advanced Track and Trace for Pharmaceuticals.

SAP Advanced Track and Trace for Pharmaceuticals offers the functionality to upload the Chinese Product Master information from a product master XML file to the trade item so that it can be used later on during operational processing like regulatory reporting.

There are two options to upload the product master file. One the one side a mass report pulls and processes all files dropped to a fileshare location mapped to the SAP system. On the other side there is a transaction that allows manual upload of files from local file share locations.

Prerequisites for Product Master Upload

The trade items that shall be enriched with the Chinese Product Master data must exist in SAP Advanced Track and Trace for Pharmaceuticals and per Trade Item the reservation code versions have to be maintained in the following manner on tab time dependent attributes

- Key: CN Value:
- Value: `<codeversion> <reservationcode>`, for example, 8812345
- Value: `<codeversion> <reservationcode> <_N>`, for non-specific resCodes only, for example, 8812345_1
- *Valid from* and *Valid to*

Upload through Mass Report /STTP/CN_PRD_IMPORT – Import Material Master Attributes

- One time setup: A fileshare needs to be configured where the product master files can be managed. Please refer to the Configuration guide for more information.
- The product master files to be uploaded need to be dropped into the upload folder
In case the above prerequisites are met then the report can be executed to upload the Chinese Product Attributes to the trade item. Please refer to the report documentation for more details.

Manual file upload via transaction /STTP/CN_PRD_IMP_LCL – Import Material Master Attributes

Use this transaction to manually select and upload Chinese product master files from a local file share.

The results of the upload can be seen in the Data Cockpit for the trade item on tab Additional attributes. After successful upload per reservation code version a new filter value is added and when selecting the appropriate filter the Chinese product master attributes can be seen.

Furthermore a cleanup report is provided that enables cleaning up old product data that is not needed anymore in the system. The cleanup report can be accessed with transaction /STTP/CN_CLEANUP Product Master and Serial Number Clean up. Please refer to the report documentation for further details.

3.2.2 China Serial Number

SAP Advanced Track and Trace for Pharmaceuticals offers the functionality to upload Chinese Serial Numbers from a serial number flat file to Serial Number Management, so that it can be used for operational processing.

There are two options to upload the serial number file. One the one side a mass report pulls and processes all files dropped to a fileshare location mapped to the SAP system. On the other side there is a transaction that allows manual upload of files from local file share locations.

Prerequisites for serial number upload

- The trade items have to be set up including the relevant Chinese Product Master data needs to be uploaded to the trade item.
- The trade item must be prepared for serial number management
 - It must have the profile relevant country “China” assigned.
 - It must have an appropriate serialization profile assigned (for example, SAP_CHINA)
 - A range definition must be created for the serialization profile
 - The product must be active
- Serial number management has to be set up
 - The range definition must be active

Upload through mass report STTP/CN_SNR_IMPORT – Import Serial Numbers

Prerequisites

- One time setup: A fileshare needs to be configured where the serial number files can be managed. Please refer to the Configuration guide for more information.

- The serial number files to be uploaded need to be dropped into the upload folder

In case the above prerequisites are met, you can execute the report `/STTP/CN_SNR_IMPORT` Import Serial Numbers to upload the Serial Numbers to Serial Number management. Please refer to the report documentation for more details.

Manual file upload through transaction `/STTP/CN_SNR_IMP_LCL` - Import Material Master Attributes

- Use this transaction to manually select and upload Chinese serial number files from a local file share.

Furthermore, a cleanup report is provided that enables cleaning up old serial numbers that are not supposed to be used anymore. You can access the cleanup report with transaction `/STTP/CN_CLEANUP` Product Master and Serial Number clean up. Please refer to the report documentation for further details.

3.2.3 Regulatory Reporting for China

The regulatory Reporting for China is also file based. So in contrast to reportings for other countries the outcome of the regulatory reporting is an xml file which is stored on a fileshare location and which then has to be uploaded in a separate step to the FTP server offered by CFDA.

The following messages are supported for Chinese Regulatory Reporting:

- Relation Create Message
- Sales Warehouse Out Message
- Purchase Warehouse In Message
- Code Replace Message
- Destroy Warehouse Out Message
- Return Warehouse In Message
- Check Warehouse Out Message

All messages except the code replace message are triggered within event processing and processed as rules during rules processing. As a prerequisite the respective rules have to be configured. A rule type is delivered for each of the supported messages which can be used to configure the rule. For more details please refer to rules processing.

The code replace message is triggered via transaction `/STTP/CN_CODE_REPL` Send Code Replace Message. Please refer to the documentation provided within the program.

3.2.4 Scenarios for Specific Drugs in China

In China there are specific setups which require a different handling of the products as well as the serial number. The specific cases which have been addressed as a part of the SAP Advanced Track & Trace for Pharmaceuticals delivery are as follows:

- Handling of non-specific resCodes that must be assigned to more than one GTIN
- Handling of 6 digit resCodes
- Handling of specific file name conventions

The following notes have been released to deliver the required underlying functionality and to provide details on how to set up such specific scenarios within your SAP Advanced Track & Trace for Pharmaceuticals system:

[2627649](#) 🇨🇳 China: handling of end-to-end processes for products with non-specific resCodes

[2660550](#) 🇨🇳 China SNR uploading: splitting serial numbers for products with non-specific resCodes

[2684394](#) 🇨🇳 China Reporting: handling files with long names

[2724677](#) 🇨🇳 China: handling processes for products with 6 digits resCodes

3.3 European Union

Legislation summary and background information

The European Union introduced anti-counterfeit legislation with the Falsified Medicines Directive (FMD) in 2011. With the release of the Delegated Regulation 2016/161 in February of 2016, the legislation became effective and the regulation has to be complied with by February 2019. The legislation covers serialization and legal reporting as well as the verification at the point-of-dispense.

The European track and trace system will consist of a couple of national repositories and the European Hub that serves as a central entry point and distributes submitted data to the national repositories. Members of the pharmaceutical supply chain can connect either to the European Hub or directly to one or more national systems. The European Hub is provided by the EMVO (European Medicine Verification Organization).

The European Union is introducing an Endpoint Verification System. In this setup, the market authorization holder will have to create a report for all prescription drugs introduced into the European supply chain. All units of these prescription drugs must be serialized using random serial numbers. Within the supply chain regulatory reports are only required for exceptional cases, for example, if a non-authorized wholesaler is handling the goods. Refer to the **Delegated Regulation** for details. At the point of dispense (for example, pharmacy or hospital) the medicament must be verified against the national repository and reported as sold. The system is called Endpoint Verification System because a communication with the European Hub / National Repositories only happens at the end points of the supply chain and not in-between.

3.3.1 Country Package European Union

The country specific functionality for the European Union in SAP Advanced Track and Trace for Pharmaceuticals consists of the following features to enable legal reporting through mostly asynchronous web services with the European Hub:

- Establishing connection with the European Hub
- Reporting of product master data
- Triggering of product withdrawal
- Reporting of sales packages (create and update)
- Updating of status of sales packages
- Triggering of recall of product batches
- Product Service Subscription and Alerting from Hub
- Verification of product packs
- General handling of Responses (Callbacks) from European Hub

The following services are not yet supported by the solution :

- Request a report and get a report from the European Hub

Remarks:

- The country package was specified based on the currently available technical documentation and the currently available implementation of the European Hub provided by EMVO and their providers.

i Note

The technical setup for the connectivity and messages exchange with the European Hub system contains several challenges. Therefore please ensure that you follow the configuration guide for European Union for the initial setup as well as for troubleshooting problems. For more information, see the configuration guide for European Union for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

3.3.1.1 Establishing Connection with the European Hub

The communication with the European Hub is realized through several synchronous web services in most cases.

In principle, there are different possibilities to establish connectivity with the European Hub. They are as follows:

- Direct point-to-point connection between the SAP Advanced Track and Trace for Pharmaceuticals System and the European Hub: This connectivity option is further described in the configuration guide and includes the general connection of the systems through a logical port, and the configuration and scheduling of a report that renews the security session token on a regular basis to retain the right to report to the European Hub.

- To connect the SAP Advanced Track and Trace for Pharmaceuticals System with the European Hub via middleware or a gateway solution: Connectivity needs to be handled within the implementation project in case of middleware or the gateway provider.
- To connect to the European Hub using SAP Information Collaboration Hub for Life Sciences, see the user assistance for SAP Information Collaboration Hub for Life Sciences on the SAP Help Portal at https://help.sap.com/viewer/p/SAP_INFO_HUB_FOR_LIFE_SCIENCES_MAIN.

3.3.1.2 Reporting of Product Master Data

As a prerequisite to report sales packages to the European Hub, the corresponding product master data needs to be uploaded beforehand using the asynchronous service *Process Product Master Data*. The message structure contains product related attributes and target market related attributes. For each target market, it is mandatory to report the Marketing Authorization Holder (either with identifier or with full address). Further, all wholesalers authorized to handle the trade item in this market must be reported as well (also either with identifier or with full address). All wholesalers reported to the European Hub can handle the trade item without verifying the serial numbers against the European Hub / National Repository. Wholesalers not reported must verify every single serial number they handle against the European Hub / National Repository for this trade item.

After submitting the message to the European Hub, the European Hub checks the master data and then distributes it to the national repositories and pushes up to three asynchronous web service response messages back to the submitter based on the processing state.

The following data needs to be maintained at the trade item in order to enable upload of product master data to the European Hub:

- **National Code:** Codes maintained in the field *National Code* for the profile relevant country, or on the tab *Countries* for additional countries, are uploaded as *NationalCode* for the particular target market. The reporting of the national code is currently optional.
- **Article 57 Code:** Codes maintained in the field *Registration Code* for the profile relevant country, or on tab *Countries* for additional countries, are uploaded as *Article57Code* for the particular target market. The reporting of the Article 57 code is currently optional.
- **Country assignment:** Define profile relevant country and further country assignments for all countries or markets in which the trade item shall be sold.
 - As a prerequisite the countries relevant for the EU Hub reporting need to be maintained in Customizing for */STTP/EU_HUB_COUNTRY* - *Define Countries for European Hub* from **SAP Menu** > *Advanced Track & Trace* > *Repository Customizing* > *Reporting Customizing* > *Europe Reporting Customizing* . Those country assignments of the trade item are reported to the European Hub only if they are maintained here.

Due to Brexit and as per the EU directive, Northern Ireland needs to comply with the Falsified Medicines Directive, though the rest of UK does not require this and is considered as out of the European Single Market. Therefore, companies are obligated to continue reporting serialization data for Northern Ireland but not for the rest of the UK.

To comply with EMVO approach of using the current system only for Northern Ireland, the mid-term approach enables the SAP Advanced Track and Trace for Pharmaceuticals solution to work with the *Trade Country* on ERP or SAP S/4HANA side (transaction */STTPEC/V_CTR_TR Maintain Trade Countries/Regions*) and the *Operational Country* on SAP Advanced Track and Trace for Pharmaceuticals side (maintained in */STTP/EU_HUB_COUNTRY*)

- If you integrate the trade items from SAP ECC then you can maintain country assignments (and also other serialization relevant data) in the new transaction `/STTPEC/TRD_ITM_SER` - *Maintain trade item serialization attributes*.

For more details, see [SAP S/4HANA and SAP ECC Add-on for SAP Advanced Track and Trace for Pharmaceuticals \[page 226\]](#).

- The following specific trade item attributes must be maintained for the trade item on the tab *Additional attributes*:
 - *Name* (RR_EU_NAME)
 - *Common Name* (RR_EU_COMMON_NAME)
 - *Form* (RR_EU_FORM),
 - *Strength* (RR_EU_STRENGTH),
 - *Pack Size* (RR_EU_PACK_SIZE),
 - *Pack Type* (RR_EU_PACK_TYPE)
 - *MAH Profile* (RR_EU_MAH_PROF)
 - *Wholesaler Profile* (RR_EU_WS_PROF)

→ Tip

You can use *Assign Country Specific Attributes* to assign all needed attributes at once. Furthermore, you can use *Check Country Reporting Attributes* to verify whether all country specific attributes have been maintained correctly.

Remarks

- Prerequisites for maintenance of Form and Pack Type : The permitted values must be maintained in Customizing (Transactions `/STTP/EU_PACK_TYPE` and `/STTP/EU_FORM`: From the *SAP Menu*, go to **▶ Advanced Track & Trace ▶ Repository Customizing ▶ Reporting Customizing ▶ Europe Reporting Customizing ▶**.
- MAH Profile (Marketing Authorization Holder Profile) / Wholesaler Profile:
 - The master data maintenance of Marketing Authorization Holder (MAH) and the list of wholesalers is not done individually for every trade item but within a profile that can be reused across multiple trade items.
 - You need to define and set up one or more profiles for your Marketing Authorization Holder Structures and your Wholesaler Structures in your company. You can now assign the MAH profile and the Wholesaler Profile to your trade item as additional attributes `RR_EU_MAH_PROF` and `RR_EU_WS_PROF`. During the reporting of Product Master Data, the MAH and list of wholesalers is determined from the profile.
 - The assignment of the MAH Profile and the Wholesaler Profile to the trade item is optional. If not assigned, the respective Default Profile will be used for the Product Master Data Reporting.
 - Both profiles are maintained in transaction `/STTP/EU_MAH_WS_PROF` - *Maintain MAH and Wholesaler Profile*. (From the *SAP Menu*, go to **▶ Advanced Track & Trace ▶ Repository Data Management ▶ Country-specific Functionality ▶ Europe ▶**).
 - MAH Profile: For each target market you must report Marketing Authorization Holder Information such as name (mandatory), and either the MAH identifier or the full address. In the profile, you assign the particular business partner which acts as the MAH for the respective country. The actual reporting relevant data is stored at the business partner. If you want to report the optional MAH ID you must maintain a company registration for registration type `SAP_EU_MAH` at the business partner. The MAH

name is derived from the attribute *Business Partner Name* and the address data from the business partner address.

- **Wholesaler Profile:** For each target market you must report a list of authorized wholesalers with information such as name (mandatory) and either wholesaler identifier or the full address. In the profile, you assign the particular business partner which acts as the wholesaler within the respective country. The actual reporting data is stored at the business partner. If you want to report the optional wholesaler ID you must maintain a company registration for the registration type *SAP_EU_WS* at the business partner. The wholesaler name is derived from the attribute *Business Partner Name* and the address data from the business partner address.

You have the following ways to upload master data to the European Hub:

- Automated sending of the trade item information to the EU Hub online upon changes to keep the trade item information between SAP Advanced Track & Trace for Pharmaceuticals and the EU Hub in sync. The online integration is triggered upon trade item changes if the trade item is active and if country-specific attributes are maintained properly. The automated upload of trade item master data can be configured via customizing parameter *RR_EU_PRD_MD_INT_ONL*. For more information, see the configuration guide for European Union at <https://help.sap.com/attp>.
- Transaction */STTP/EU_PRD_MD_MASS* - *Product Master Data Mass Reporting* handles the mass reporting to the European Hub. The report selects all not reported trade items with relevance for reporting to the European Hub, and reports them. You can restrict selection by providing selection criteria to the report. For more information, see the report documentation.

You can access this transaction via the SAP Menu under **Advanced Track & Trace** **Repository Data Management** **Country Specific Functionality** **Europe**.

- Transaction */STTP/EU_PRD_MD_DISP* - *Product Master Data Single Reporting* enables the selection and display of all trade items relevant for reporting to the European Hub together with their reporting status. Selected trade items can be reported via action *Create Product Master Data Reporting*. Via this transaction the trade item can be reported multiple times. Every reporting is reflected as a separate line in the result list for the same trade item.

You can access this transaction via the SAP Menu under **Advanced Track & Trace** **Repository Data Management** **Country Specific Functionality** **Europe**.

- **Selection Screen:** Enables selection of trade items based on the following different selection criteria:
 - GTIN
 - Trade item status: Defaulted with *active*
 - Reporting Status: Defaulted with *not reported*
 - Location
 - Countries
 - Product Category
- **Result List:** Displays result of trade item selection.
 - One table line either reflects an unreported trade item or if reported it reflects one reporting event of the trade item. As trade items can be reported multiple times, the same trade item may appear multiple times in the list, every line reflecting one reporting.
 - Most attributes of the result list are common trade item attributes. The following two attributes are specific to the master data reporting: *reporting status* and *reporting date and time Exp. Resp.* and *Open Resp.*.
 - Reporting status: The reporting status of a trade item is updated when reporting is submitted to EU hub but also with every asynchronous response from the EU Hub. The reporting statuses are as follows:

- *0001 Not Reported*: The trade item has not been reported yet to the EU Hub
- *0002 Reported*: The trade item has been reported but no asynchronous response received yet.
- *EU01 EU Hub: VALID*: The EU hub has checked the trade item and it is valid (no final status, more asynchronous responses expected)
- *EU02 EU Hub: INVALID*: The EU hub has checked the trade item and it is not valid (final status, no more asynchronous responses expected)
- *EU10 EU Hub: LOADED*: the EU hub has initiated the distribution and loaded the trade item to the national repositories (no final status, more asynchronous responses expected)
- *EU20 EU Hub: DISTRIBUTED*: The distribution of the trade item to the national systems was successful (final status, no more asynchronous responses expected)
- *EU21 EU Hub: DISTRIBUTION FAILED*: The distribution of the trade item to the national systems failed (final status, no more asynchronous responses expected)
- Reporting date and time: Date and time when reporting was triggered.
- Product Version: The specific product version that was provided within the response from the EU Hub. If 20161 is selected in the customizing or if the product was not yet reported via the 20181 or 20211 interface, this will be empty. The version can only be filled initially after the response from the EU Hub system.
- Exp. Resp.: Expected responses from the EU Hub
- Open Resp.: Still open responses for this specific reporting message
- Actions
 - *Show trade item*: Navigates to the trade item. If the trade item has not been reported yet, the current state of the trade item is displayed, if reporting happened the trade item is shown with key date *reporting date and time* and thus you see the state of the trade item it had when the reporting was created.
 - *Master Data Reporting*: Opens a drop down to select whether a new product version should be created or whether an existing version should be updated.
 - *Create Master Data Reporting*: Triggers the trade item reporting for all selected trade items. If needed, the same trade item can be reported multiple times via this transaction. The next reporting however only can be triggered as soon as the previous reporting reached a final status. For every reporting triggered a separate line is shown in the result list for this trade item.
 - *Update Master Data Reporting*: Triggers an update of the selected trade item version. If needed, the same trade item can be reported and updated multiple times via this transaction. The next reporting however only can be triggered as soon as the previous reporting reached a final status. Also, for every update a separate line is shown in the result list for this trade item, however the product version will remain the same.
 - *Update Master Data Version*: Triggers a popup window where you can enter the product version number to be updated. The Master Data Reporting Update will be sent for the entered Product Master Data version number. If the selected version doesn't exist, than it will be created in EU HUB.

i Note

Updating a product is only supported since interface version 20181 and 20211. If version 20161 is set within the Customizing, this functionality is not available and is therefore grayed out.

- Action *Messages AIF*: Navigates and displays the message in the AIF monitor.

- Action *Messages XML*: Displays the outgoing and also asynchronous response message XMLs.

Starting from SAP Advanced Track & Trace for Pharmaceuticals Release 2.0, Feature Package 3, you have a functionality to withdraw product master data from the European Hub. This functionality is only available if 20181 or 20211 has been selected as an interface version within the general customizing.

- Transaction /STTP/EU_PRD_WITHDR- *Product Withdrawal* enables the selection and display of all trade items relevant for reporting to the European Hub together with their reporting status. Selected trade items can be withdrawn via action *Withdraw Product*. As a product withdrawal is an irreversible action within ATTP, a pop-up will be displayed to enter the withdraw effective date and confirm this After selecting to withdraw a product
 - **Selection Screen:** Enables selection of trade items based on the following selection criteria:
 - GTIN
 - Trade item status: Defaulted with *active*
 - Reporting Status: Defaulted with *not reported*
 - Location
 - Countries
 - Product Category
 - **Result List:** Displays result of trade item selection.
 - One table line either reflects an unreported trade item or if reported it reflects one reporting event of the trade item. As trade items can be reported multiple times, the same trade item may appear multiple times in the list, every line reflecting one reporting.
 - Most attributes of the result list are common trade item attributes. The following attributes are specific to the master data withdrawal: *Reporting Status, Reporting Date and Time, Exp. Resp. and Open Resp.*
 - Reporting status: The reporting status of a trade item is updated when reporting is submitted to EU hub but also with every asynchronous response from the EU Hub. The reporting statuses are as follows:
 - *0001 Not Reported*: The trade item has not been reported yet to the EU Hub
 - *0002 Reported*: The trade item has been reported but no asynchronous response received yet.
 - *EU01 EU Hub: VALID*: The EU hub has checked the trade item and it is valid (no final status, more asynchronous responses expected)
 - *EU02 EU Hub: INVALID*: The EU hub has checked the trade item and it is not valid (final status, no more asynchronous responses expected)
 - *EU10 EU Hub: LOADED*: the EU hub has initiated the distribution and loaded the trade item to the national repositories (no final status, more asynchronous responses expected)
 - *EU20 EU Hub: DISTRIBUTED*: The distribution of the trade item to the national systems was successful (final status, no more asynchronous responses expected)
 - *EU21 EU Hub: DISTRIBUTION FAILED*: The distribution of the trade item to the national systems failed (final status, no more asynchronous responses expected)
 - Reporting date and time: Date and time when reporting was triggered.
 - Exp. Resp.: Expected responses from the EU Hub
 - Open Resp.: Still open responses for this specific reporting message
 - Actions
 - *Show trade item*: Navigates to the trade item. If the trade item has not been reported yet, the current state of the trade item is displayed, if reporting happened the trade item is shown with key

date *reporting date and time* and thus you see the state of the trade item it had when the reporting was created.

- Action *Withdraw Product*: Opens a pop-up to provide additional information and to confirm the withdrawal and for the selected trade items.
- Action *Messages AIF*: Navigates and displays the message in the AIF monitor.
- Action *Messages XML*: Displays the outgoing and also asynchronous response message XMLs.

You can access this transaction from the *SAP Menu* under **► Advanced Track & Trace ► Repository Data Management ► Country Specific Functionality ► Europe ►**.

Additional information

General customizing parameter RR_EU_DCT_OWN_GCP_PM

- By default, the Product Master Data reporting to the European Hub checks whether the GTIN reported is an own trade item, or a trade item that belongs to another company. The assumption is that a company only uploads product pack data for own trade items to the European hub. This check is done by verifying whether the GTIN is using a Global Company Prefix (GCP) that is assigned to a business partner which has a role of type *own organization*.
- With the parameter key RR_EU_DCT_OWN_GCP_PM you can deactivate this check which means a reporting to the European hub can be triggered also in case the GTIN is using a GCP of a business partner that is not marked as own organization.

Owner Global Location Number (GLN) concept using Additional Attribute BR_OWNER_GLN

- Starting with Feature Pack 3 of SAP Advanced Track & Trace for Pharmaceuticals, as an extension to the check against the Global Company Prefix (GCP), products can be marked with an Owner GLN as an additional attribute on GTIN level. Within the generation of the reporting events, the checking logic is extended to first look up whether an Owner GLN is provided and whether this GLN belongs to a location or business partner of the type *Own Organization*.
- If the parameter Owner GLN is not provided the checks will apply as before. This means that the GCP check can be activated and only products which fail the GCP check but should still be reported must be marked using this parameter. This reduces the amount of required manual maintenance to exceptional cases such as NTIN based products or products which have been acquired including GTIN.
- This can also be used for the negative case in which the GCP check is passed but the product should not be reported. In such a scenario the Owner GLN of the product must not belong to business partner or location of type *Own Organization*.

General customizing parameter RR_EU_INTERFACE_VER

- By default, interface version 20161 is selected. This is done to not disrupt existing customer processes. Without setting this parameter or with explicitly setting it to 20161, all reporting messages will be send using the 20161 interfaces and formats, therefore the product master data update as well as the withdrawal are not possible.
- If 20181 is entered, the corresponding outbound interfaces are selected and update or withdrawal processes are possible.
- If 20211 is entered, the corresponding outbound interfaces are selected and update or withdrawal processes are possible.

General customizing parameter RR_EU_PRD_MD_INT_ONL

- The customizing parameter is used for sending trade item information to the EU Hub online to keep the trade item information between SAP Advanced Track & Trace for Pharmaceuticals and the EU Hub

in sync. The online integration is triggered upon trade item changes if the trade item is active and if country-specific attributes are maintained properly.

3.3.1.3 Reporting of Sales Packages (Create)

The sales packages are reported via service *Process Product Pack Data*. Also this process is asynchronous, and also here after sending out the message to the European Hub, up to three asynchronous response web service calls can be received back from the European Hub to provide feedback regarding the status of the asynchronous processing within the EU Hub.

This service supports two methods: *Create* and *Update*. With *Create*, a new batch with new serialized trade items are reported to the EU Hub and with *Update*, the batch can be exchanged for existing serialized trade items. This chapter deals with the method *Create* and the chapter Reporting of Sales Packages (Update) with the method *Update*.

With one *Process Product Pack Data* service call, all serialized trade items for one particular batch can be reported. The message contains, for example, the product code (GTIN), the batch ID, the batch expiry date, the pack size (the same as in master data reporting), and a list of all serial numbers. Furthermore, manufacturer details must be provided such as name (mandatory) and either ID or the full address. The manufacturer details are derived from the business partner which represents the manufacturer. The business partner is determined via the read point GLN of the commissioning event of the serialized trade items to be reported. If you want to report a manufacturer ID, you need to maintain a company registration for the registration type `SAP_EU_MAN`. The substructure *Source Repack Buffer* is not relevant for the method *Create* (For more information on the usage, see [Reporting of Sales Packages \(Update\) \[page 136\]](#)).

The reporting is triggered via a rule. You can create rules for *Product Pack Data Reporting* by using rule type `RR_EU_PROD_PACK_DATA`.

This rule can be triggered from any event message that contains the object IDs to be reported, or their parents if the objects are aggregated.

For the European Union many market authorization holders may decide to go without aggregation and simply serialize the items only. In this case typically the objects are commissioned but no other events are captured. For example, no event is captured for a sales unit upon shipping when a complete shipper cases is being shipped. Therefore the reporting to the European Hub cannot be triggered based on a shipping event. Instead the following options may be considered:

- Trigger reporting with commissioning of objects
- Use transaction `/STTP/CREATE_EPCIS` - *Select objects and trigger EPCIS notification* to select objects for example, for a batch or a production order, and compose an internal shipping event that triggers the reporting. For more information, see [Outbound Processing \[page 98\]](#).

For every reporting of sales packages to the EU Hub, a reporting event is created and can be displayed in the data cockpit. In principle this reporting event behaves like any other reporting event. However, due to the asynchronous communication with the European Hub the following specifics apply:

- After successful submission of the web service message to the European Hub the status of the reporting event is set to *1 Sent ok*.
- The new attribute *response status* captures the status of the asynchronous responses from the EU hub. The following statuses apply for the reporting of sales packages:
 - *No response*: No response received from the EU Hub

- **EU01 EU Hub: VALID:** The message has been checked by the EU hub and it is valid (this is not a final status and further responses are expected)
- **EU02 EU Hub: INVALID:** The message has been checked by the EU hub and it is invalid (this is a final status and no further responses are expected)
- **EU20 EU Hub: DISTRIBUTED:** The message has been successfully distributed to all country repositories (this is a final status and no further responses are expected)
- **EU21 EU Hub: DISTRIBUTION FAILED:** The distribution to the country repositories failed (this is a final status and no further responses are expected)
- When you display the reporting event in the data cockpit or the Web UI, you will find two attributes to help you keep track of all the asynchronous responses. The attribute *Expected Responses* displays the overall number of asynchronous response messages that are expected to be received from the EU Hub. The attribute *Open Responses* shows you the number of response messages that are still open. On the selection screen, you can select the checkbox *Only Open Responses* to display reporting events for which asynchronous response messages are still expected (*Open Responses* >0).
- Via action *Message File* the asynchronous response messages from the EU Hub can be accessed. Especially in case of failed processing the messages contain further information regarding potential processing errors within the EU Hub.

Additional information

- Before reporting the sales packages to the European Hub, the product master data must be reported. The solution however cannot make a hard check whether the master data is reported because the company reporting the master data and the sales packages may be different. Please ensure process-wise that master data has been uploaded and distributed to the Hub before reporting sales packages. Otherwise the EU hub will not process your reporting message and return an error message.
- Starting with SAP Advanced Track & Trace for Pharmaceuticals 2.0 FP3 there is no longer a dependency for the reporting on the base unit of measure of a Trade Item. RR_SAP_EU_REPREL was introduced as a specific Additional Attribute which can be used to mark the GTINs relevant for reporting. It is important to assign this attribute to all GTINs belonging to the same material, the relevant unit of measure should be marked with an "X" whilst for the other GTINs the parameter should be set with an empty value.
- General customizing parameter RR_EU_PPD_MAX_OBJS
By default Product Pack Data Reporting messages are not split. In order to work with more manageable file sizes, a limit can be set via parameter RR_EU_PPD_MAX_OBJS. This limit will be applied to all Product Pack Data Reporting messages.

Caution

If a reporting message is split, there will be more single reporting messages and correspondingly more answers from the EU Hub. The SGTINs that have been part of one initial triggering event will therefore be part of different reporting messages.

- General customizing parameter RR_EU_DCT_OWN_GCP_PP
 - By default the *Product Pack Data Reporting* checks whether the GTIN reported is an own trade item or a trade item that belongs to another company. The assumption is that a company only uploads product pack data for own trade items to the European hub. This check is done by verifying whether the GTIN is using a Global Company Prefix (GCP) that is assigned to a business partner which has a role of type *own organization*.
 - With the parameter key RR_EU_DCT_OWN_GCP_PP you can deactivate this check, which means a reporting to the European hub can be triggered also in case the GTIN is using a GCP of a business partner that is not marked as *own organization*.

- General customizing parameter RR_EU_BATCH_EXP_00
 - The Product Pack Data Reporting sends the Batch information including the manufacturing date. This customizing parameter can be used to overwrite the day value within the manufacturing date with 00. This is the defined approach from European Hub side to mark products which do not have an explicit day within their expiry.

⚠ Caution

The barcode which is printed on the sales package contains the batch expiry. If the parameter RR_EU_BATCH_EXP_00 is set and a product is therefore reported using 00 as a day, a validation of this barcode will fail if the encoded expiry date on the actual sales package is different from the reported expiry within the Product Pack Data Reporting message. We therefore recommend checking whether the process integrity for reporting and validation remains before setting this parameter.

- Transaction /STTP/BATCH_REP lets you retrospectively upload SGTINs, for example, in the case of a new National Medicines Verification System (NMVS) becoming active, or simply as an initial load for the EU Hub. Batch Level Reporting enables the selection and display of certain lots based on the selection criteria. By choosing 'Create and Send Reporting Event' the reporting notification will be created for the SGTINs within the selected lot. To allow to report Product Pack Data notification for the selected lot, all Global Company Prefix (GCP) checks are deactivated.

Selection Screen:

The following reporting options let you influence the content of the reporting event:

- Notification type to define the reporting format (currently only Product Pack Data is available)
- Object status (active by default)
- Disposition to be excluded (can be leveraged to decide which of the objects should be reported). By default, the following dispositions are excluded for SGTINs:
 - Decommissioned
 - Destroyed

Lot selection enables selection of lots based on the following different selection criteria:

- GS1 GTIN
- Lot number
- Document number
- Document type

Lot Dispositions to be Excluded: By default, the following dispositions are excluded for the lot:

- "inactive"
- "recalled"
- "non_sellable_other"

Result List: Displays the result of lots selection.

Actions:

- Create and Send Reporting Event. The reporting messages are sent for selected lines via the standard interfaces through Application Interface Framework (AIF) and can also be displayed in the cockpit.

3.3.1.4 Reporting of Sales Packages (Update)

The EMVO Notification for pack data update supports the change of the batch number and also expiry date for all SGTINs that are already notified for a given batch. The batch itself is not distributed as an own object.

You can trigger the product Pack Data Update notification based on a GTIN and a batch via transaction / `STTP/RR_EU_BTCH_UPDATE`. The following options are supported:

- **Notify changed expiry date**
SAP Advanced Track and Trace for Pharmaceuticals system assumes that the relevant changes are already in the system. The logic searches for the last product pack data (update or create) reporting notification for the given LGTIN. If the latest reported expiry date differs from the current LGTIN expiry date, then system will compose a new product pack data update notification to indicate changes in batch data.
- **Change assigned batch**
The new batch must be specified in addition to the GTIN and source batch number already entered. The logic assumes that the new batch is already present within the system. The logic then selects all SGTINs linked to the source batch and generates a transformation event to transform the SGTINs from source batch to destination batch. In case of large batches the transformation event should be cut into packages of maximum of 250,000 SGTINs (Packsize calculation : $\text{Packsize} = \text{Roundup}(\text{Total quantity} / (\text{Total quantity} / 250k) + 1)$)).

After successful processing of the transformation events, the system composes the Product Pack data Update specifying the old batch and the new batch. In case the old batch and the new batch have different expiry dates, the old and new expiry date shall be included within the notification.

The reporting event links the source LGTIN as well as the target LGTIN to the reporting event as related objects. The resulting reporting event will be created for rule `RR_EU_PROD_BATCH_UPD`.

For all other details please see [Reporting of Sales Packages \(Create\) \[page 133\]](#).

3.3.1.5 Updating of Status of Sales Packages

When a sales package is reported to the European Hub via service [Process Product Pack Data](#), then the status of the item is always *ACTIVE* by default, and with this also valid for sales in a pharmacy, for example. The asynchronous service [Process Product Pack State Update](#) enables you to change the status of already reported items, to prevent unwanted sales and to declare an item as temporarily "not sellable" or to invalidate ("decommission") the item permanently in the repository. The service offers a couple of pack status types for different business processes. The European Hub in principle supports the following pack status types: *ACTIVE*, *DESTROYED*, *STOLEN*, *SAMPLE*, *FREE SAMPLE*, *SUPPLIED*, *LOCKED*, *EXPORTED*, *CHECKED-OUT*.

i Note

The product pack state *RECALLED* is triggered via a separate service (see [Triggering the Recall of Product Batches \[page 139\]](#)).

The reporting is triggered via a rule. You can create rules for [Process Product Pack State Update](#) by using rule type `RR_EU_PROD_PACK_UPD`.

In principle, this rule can be triggered from any event message that contains the object IDs to be reported or their parents if the objects are aggregated. Of course, it only makes sense to trigger this rule for events with

certain business steps. If you want to report a decommissioning of a former valid item to the EU Hub, configure a rule with business step *decommissioning*. If you want to report an undo of decommissioning to the EU Hub, configure a rule with business step *sap_activating*. If you want to report a destruction of an item, configure a rule with business step *destroying*. The rule checks whether the trade item to be reported is relevant for EU Hub reporting via the country assignment. If not relevant, the trade item will be excluded from reporting.

Update: With Note 2536653 a BAdi was provided to enable the setting of the other available statuses based on the content of the triggering EPCIS event. Additionally, an implementation of this Badi was provided within note 2652318 and the corresponding prerequisites.

Currently, SAP Advanced Track and Trace for Pharmaceuticals supports the automatic setting of pack status types for the following event messages:

- In case the triggering event has one of the SAP specific dispositions assigned:
 - If disposition "sap_eu_destroyed", the solution can trigger the service Process Product Pack State Update with new pack status "DESTROYED";
 - If disposition "sap_eu_sample", the solution can trigger the service Process Product Pack State Update with new pack status "SAMPLE";
 - If disposition "sap_eu_free_sample", the solution can trigger the service Process Product Pack State Update with new pack status "FREE SAMPLE";
 - If disposition "sap_eu_exported", the solution can trigger the service Process Product Pack State Update with new pack status "EXPORTED";
 - If disposition "sap_eu_supplied", the solution can trigger the service Process Product Pack State Update with new pack status "SUPPLIED";
 - If disposition "sap_eu_locked", the solution can trigger the service Process Product Pack State Update with new pack status "LOCKED";
 - If disposition "sap_eu_checked_out", the solution can trigger the service Process Product Pack State Update with new pack status "CHECKED_OUT";
 - If disposition "sap_stolen", the solution can trigger the service Process Product Pack State Update with new pack status "STOLEN".

→ Tip

This logic is encapsulated within a default implementation of the BAdI /STTP/BADI_EU_PPU_STATUS_SET and therefore can be changed if required.

- In case the triggering event has one of the non SAP specific dispositions assigned:
 - In case of a "decommissioning event", the solution can trigger the service Process Product Pack State Update with new pack status "LOCKED".
 - In case of a "destruction event", the solution can trigger the service Process Product Pack State Update with new pack status "DESTROYED".
 - Whenever a decommissioned object is activated again via SAP-specific business step "sap_activating", the solution can trigger the service Process Product Pack State Update with new pack status "ACTIVE".

All other status types are either not relevant for reporting a product pack state update message within SAP Advanced Track and Trace for Pharmaceuticals or they cannot be determined during rules processing because the EPCIS event messages do not contain the necessary context information to determine the respective business processes. A processing via a rule that is always triggered from an event message is not possible.

For every reporting of status update of sales packages to the EU Hub a reporting event is created and can be displayed in the data cockpit. In principle the reporting event for the European Union behaves like any other regulatory reporting event. However, due to the asynchronous communication with the European Hub the following specifics apply:

- After successful submission of the web service message to the European Hub, the status of the reporting event is *1 Sent ok*.
- The attribute *response status* captures the status of the asynchronous responses from the EU hub. Following statuses apply for the update of sales packages:
 - *No response*: No response received from the EU Hub
 - *EU31 EU Hub: SUCCESS*: The message has been processed by the EU hub and the status update was successful for all reported items (this is a final status and no further message is expected)
 - *EU32 EU Hub: FAIL*: The message has been processed by the EU hub and the status update failed for all reported items (this is a final status and no further message is expected)
 - *EU33 EU Hub: PARTIAL*: The message has been processed by the EU hub and the status update was successful for part of the reported items and failed for the rest of the reported items (this is a final status and no further message is expected)
- When you display the reporting event in the data cockpit or the web UI, you find two attributes that help you in keeping track of all the asynchronous responses. The attribute *Expected Responses* displays the overall number of asynchronous response messages that are expected to be received from the EU hub. The attribute *Open Responses* shows you the number of response messages that are still open. On the selection screen you can select the checkbox *Only Open Responses* to display reporting events for which asynchronous response messages are still expected (*Open Responses* >0).
- Via action *Message File* the asynchronous response messages from the EU hub can be accessed. Especially in case of failed processing, the messages contain further information regarding potential processing errors within the EU Hub.

Additional information

- General customizing parameter `RR_EU_CHECK_PPU_RESP`
 - This parameter is only relevant for the determination of the *Initial Product Pack Status* within the *Product Pack Data Update* message. Via setting this parameter it can be customized whether the response status of a reporting event should be relevant for this determination or not.
 - By default, response statuses are ignored for these purposes. If the parameter is set, only *Product Pack Data Update* messages with the corresponding response status defined within the allowed parameter values are valid for determining the *Initial Product Pack Status*.
 - By default, the *Product Pack Data Update* to the European hub does not check whether the GTIN reported is an own trade item or a trade item that belongs to another company. The assumption is that a company only uploads product pack data for own trade items to the European hub. This check is done by verifying whether the GTIN is using a Global Company Prefix (GCP) that is assigned to a business partner which has a role of type *own organization*.
- General customizing parameter `RR_EU_CHECK_PPD_SENT`
 - By default, the *Product Pack Data Update* is sent without explicitly checking whether all the included SGTINs have already been reported via a *Product Pack Data* message to the European hub. The parameter is used to customize whether *Product Pack Data Update* notification should be sent only if preceding *Product Pack Data* notification was sent for all reported serialized Trade Items.
 - Via the parameter values it can be configured to only check whether a *Product Pack Data* message has been sent or to additionally check whether the European hub response status is *DISTRIBUTED*.

3.3.1.6 Triggering the Recall of Product Batches

The purpose of the service *Process Product Batch Recall* is to trigger a batch recall to the EU hub and the underlying national systems for product batches that are already reported. Product batches are not reported explicitly through an own reporting message, but implicitly via the service *Process Product Pack Data*.

The batch recall however is not done on a product pack level (serialized trade item level) but for the complete batch via the service *Process Product Batch Recall*. In the case of multi-market packs, the batch recall can be triggered for certain markets only.

The batch recall is a complex business process within the EU hub, where the hub communicates back and forth with the national repositories and also with parallel distributors. After a Marketing Authorization Holder (MAH) requests the batch recall to the EU hub, and the EU hub will provide back multiple asynchronous response (call back) messages.

- Response messages for pre-checks done by the EU hub before initiating the batch recall to the national repositories.
 - Message 1 *Product Batch Recall Status*: One initial response message indicating whether the submitted batch recall request is valid in general.
 - Message 2 *Product Batch Recall Markets List*: If valid, the EU hub will send one response message confirming which of the requested markets the batch recall will be initiated for, and which ones it will not be initiated for.
- Response messages of the batch recall
 - Messages 3-n *Product Batch Recall Results*: One response message with the summary result from all parallel distributors that consumed this batch, and for each market one response message from the responsible national repository confirming the success or failure of the batch recall from this national system.

In SAP Advanced Track and Trace for Pharmaceuticals the product batch recall can be initiated via the transaction `/STTP/EU_BTCH_RECALL` - *Initiate and Report Batch Recall* (From the *SAP Menu*, go to **► Advanced Track & Trace ► Repository Data Management ► Country-specific Functionality ► Europe ►**). There you need to specify the Global Trade Item Identification Number (GTIN), the lot number and a recall information. In case of multi-market packs, you can recall the batch from dedicated markets by selecting *Recall From Dedicated Markets*. When executing the report, the solution first checks that the batch has been previously reported via *Process Product Pack Data* to the European Hub. If so the report composes a *recall event message* for the batch (LGTIN) and submits it to the event capturing. If the event processing succeeds and the batch (LGTIN) is declared as recalled within the repository, a reporting event is created for the batch (LGTIN), which then composes and sends service *Process Product Batch Recall* to the EU hub. If this service call succeeds, the batch recall is initiated and now the asynchronous response messages are received from the EU hub.

In principle, the reporting event for the European Union behaves like any other regulatory reporting event. However, due to the asynchronous communication with the European Hub the following specifics apply:

- After successful submission of the web service message to the European Hub, the status of the reporting event is *1 Sent ok*.
- When you display the reporting event in the data cockpit or the web UI, you will find two attributes which help you keep track of all the asynchronous responses. The attribute *Expected Responses* displays the overall number of asynchronous response messages which are expected to be received from the EU hub. The attribute *Open Responses* shows you the number of response messages that are still open. On the

selection screen, you can select the checkbox *Only Open Responses* to display the reporting events for which asynchronous response messages are still expected (*Open Responses* >0).

- The attribute *Response Status* captures the status of the asynchronous responses from the EU hub. The following statuses apply for the batch recall:
 - *No response*: Initial response status after submitting the message to the EU Hub. No response received from the EU Hub.
 - Response message *1 Product Batch Recall Status* leads to one of the following response status values:
 - *EU01* – Valid: The message has been checked by the EU Hub and is valid in principle.
 - *EU02* – Invalid: The message has been checked by the EU Hub and is not valid.
 - Response message *2 Product Batch Recall Markets List* leads to one of the following response status values:
 - *EU40* - EU Hub: Batch recall initiated for all requested markets
 - *EU41* - EU Hub: Batch recall initiated for part of the requested markets
 - *EU42* - EU Hub: Batch recall initiated for none of the requested markets
 - Response message *3-n Product Batch Recall Results* lead to one of the following response status values:
 - *EU33* - EU Hub: *PARTIAL*
 - As long as *Open Responses* >0, the response status is always partial
 - When all asynchronous responses are received (*Open Responses* = 0) the status remains partial, if the recall was successful in some of the markets and not successful in other markets.
 - So the response status *partial* needs to be interpreted in combination with the attribute *Open Responses* and slightly changes the semantics for *Open Responses* >0 and *Open Responses* = 0.
 - *EU31* - EU Hub: *SUCCESS*
 - The status *Success* is set only when all expected asynchronous responses are received (*Open Responses* = 0) and the recall was successful in all markets
 - *EU32* - EU Hub: *FAIL*
 - The status *Fail* is set only when all expected asynchronous responses are received (*Open Responses* = 0) and the recall was not successful in all markets
 - Via action *Message File*, the asynchronous response messages from the EU hub can be accessed. Especially in case of failed processing, the messages contain further information regarding potential processing errors within the EU Hub.

Additional information

General customizing parameter RR_EU_CHECK_PPD_RESP

3.3.1.7 Product Service Subscription and Alerting from Hub

The European Hub can send product related alerts to the connected parties (in the case of a batch recall, for example). To receive alerts, you first must register (subscribe) by triggering service *Process Product Service Subscription* and by providing a service address to which the alert will be provided.

With SAP Advanced Track and Trace for Pharmaceuticals, you can trigger the service *Process Product Service Subscription* by executing the transaction /STTP/EU_HUB_PSR - *EU Hub: Product Service Subscription Request*

(From the *SAP Menu*, go to ► *Advanced Track & Trace* ► *Repository Customizing* ► *Reporting Customizing* ► *Europe Reporting Customizing* ►). This transaction calls the service and submits the defined response URL to the EU Hub. For more information about the functionality and how to configure the response URL, see the report documentation, and the configuration guide for Europe at <http://help.sap.com/attp>.

Once you successfully subscribe, you can receive alerts from the European Hub. The EU hub submits an Alert Request service call to your SAP Advanced Track and Trace for Pharmaceuticals system. The alerts are received in AIF interface `EU_ALERT` (namespace `/STTVI`). You can use the AIF Alert handling capabilities to notify a user via all means allowed by AIF (for example, via SMS or email) for every alert request submitted from the EU hub. For more information see [Usage of AIF Error Handling and Alerting for SAP Advanced Track and Trace for Pharmaceuticals \[page 271\]](#).

3.3.1.8 Product Pack Verification

The European Hub allows the verification of one or more product packages. Starting with SAP Advanced Track and Trace for Pharmaceuticals 2.0, Feature Package 02, the verification against the EU Hub is supported.

Transaction `/STTP/EU_PACK_VERIFY` - *Product Pack Verification* is used as an entry point to trigger such reporting messages. Single objects can be added to a list and the complete list will be used as a basis for reporting.

SAP Advanced Track & Trace for Pharmaceuticals displays whether the entered code is known to the repository. For known content, additional information can be derived such as batch information and expiry date. For validating unknown objects, the complete barcode must be entered including the information about batch and expiry date, for example, (01)18803913900066(21)1000100570(10)TG_HU003-1(17)181208. Depending on whether these are objects from different batched products, or with different expiry dates, SAP Advanced Track & Trace for Pharmaceuticals will separate the input into one or several reporting messages.

3.3.1.9 General handling of Responses (Callbacks) from European Hub

Generally, the European Hub sends multiple responses (callbacks) for each reporting notification. The callbacks are processed in the background as follows:

1. The message is saved into AIF.
2. According to the correlation ID contained in the callback, a reporting event (or product reporting) is determined.
3. Default behavior: If the reporting event status is *Sent: OK*, then the callback message is processed, and the reporting status of the reporting event is determined and updated accordingly.
 1. To allow processing callbacks for reporting events, which are not in status *Sent: OK*, you can set the customizing parameter `RR_EU_IGNORE_EVT_ST` = *X*. Please note that callbacks for reporting events in status *Not Sent: System Error* will not be processed.
4. If the response from the EU HUB fails in the SAP Advanced Track and Trace for Pharmaceuticals system, you can reprocess it by choosing *Restart* in the transaction `/AIF/ERR`.

3.4 India

India is introducing a track and trace legislation which includes serialization and legal reporting. The introduction will be in different phases. The first phase is already in effect and deals with serialization and legal reporting for export drugs. The second phase is vague at this point in time and it is assumed that it deals with serialization and legal reporting of drugs for the Indian domestic market. The Indian government launched the Drugs Authentication and Verification Application (DAVA) portal for drug authentication and tracking and tracing of the drug supply. The regulatory reporting files must be uploaded to this portal.

The country specific functionality for India in SAP Advanced Track and Trace for Pharmaceuticals focuses on the first introduction phase and consists of the following features:

- Serialization and Aggregation of packaging levels defined by Indian Authorities: Primary, Secondary, Intermediate Secondary Level 2, Intermediate Secondary Level 3, and Tertiary packaging level
- Regulatory Reporting of products manufactured in India and exported to other countries consisting of the following elements
 - Product Details Reporting
 - Batch Details Reporting
 - Production Details Reporting
- The data exchange with the Indian DAVA portal is file based. The reporting functionality creates XML files which are stored on a mapped FileShare and which are then uploaded to the DAVA portal in a separate step.

Summary of Serialization and Bar code requirements

Pack Level	Description	Indicator Digit GTIN	Serialization Mandatory?	Bar code
Tertiary	Most outer packaging level, typically shipper pallet	5	Mandatory: SSCC	Homogenous 1: GTIN, Expiry, Batch 2: SSCC Heterogenous 1: SSCC India Bar code Mandatory! Plus: bar code of target country if different
Intermediate Secondary level 3	Outermost secondary	3	Optional: SGTIN	GTIN, Expiry, Batch, Serial (Exemption possible) Plus: bar code of target country if different

Pack Level	Description	Indicator Digit GTIN	Serialization Mandatory?	Bar code
Intermediate Secondary level 2	Second level of secondary	2	Optional: SGTIN	GTIN, Expiry, Batch, Serial (Exemption possible) Plus: bar code of target country if different
Secondary	Package containing the primary	1	Mandatory: SGTIN	GTIN, Expiry, Batch, Serial (Exemption possible) Plus: bar code of target country if different
Primary	Package in contact with drug	0	Optional: SGTIN (Unclear whether mandatory or optional)	Barcode exempted/optional for now: GTIN, Expiry, Batch, Serial (Exemption possible) Plus: bar code of target country if different

i Note

Remark on primary level: Bar code is exempted for now which implies that with this also serialization does not make sense and should be exempted as well. However, it is unclear whether primary needs to be serialized and reported nonetheless. However, the solution is capable of dealing with both scenarios – primary level being serialized and not.

3.4.1 Regulatory Reporting for India

Use

The regulatory reporting for India consists of the following individual reports, which are currently only valid for drugs exported from India:

- Product Details Reporting
- Batch Details Reporting
- Production Details Reporting

The Regulatory Reporting for India is file based. The output of the reporting are XML files which are stored on a FileShare location and which is mapped to the SAP system. In a separate step the files then have to be uploaded to the DAVA portal using a token or private key that needs to be installed on the hardware that communicates with the portal. As a prerequisite, a one-time registration on the portal must be made.

Please find more information how to setup and connect a file share to the SAP system in the configuration guide.

More Information

- [Product Details Reporting \[page 144\]](#)
- [Batch Details Reporting \[page 148\]](#)
- [Production Details Reporting \[page 150\]](#)

3.4.1.1 Product Details Reporting

Use

In India besides transactional data, the product master data too has to be reported to the DAVA portal. The result of the reporting is a product details XML file which is stored in a `FileShare` folder mapped to the SAP system. Although product detail reporting also belongs to the regulatory reporting, it is not handled as a reporting event within SAP Advanced Track and Trace. Instead, there is a separate transaction available that enables selection of the relevant trade items to be reported and also capable of displaying all reporting events that occurred. The reporting can be monitored within SAP Application Interface Framework through the interface `IN_PRDCT_D`.

The product details XML contains the product master data required by the DAVA portal in order to correctly understand and interpret the subsequent messages triggered by batch details and production details XML. In SAP Advanced Track and Trace, the Indian product master is represented by the GTIN in the base UOM.

The current scope of this feature is that the product details can be uploaded for all trade items manufactured in India and are meant for export. This basically means that all trade items are relevant which have a plant assigned that is located in India and a sales country assigned outside India.

Prerequisites for Product Details Upload:

- Trade items relevant to Indian drugs are available
- Master data has been enhanced by including some of the data to be stored as `additional attribute` and in addition by uploading a mandatory product image in the trade item master as described further below.

Once the above prerequisites are fulfilled, you can create and upload the Product Details XML.

More Information

- [Maintaining Additional Attributes and Uploading Product Image \[page 145\]](#)
- [Creating Product Details XML \[page 147\]](#)

3.4.1.1.1 Maintaining Additional Attributes and Uploading Product Image

Use

The following attributes have to be maintained on tab *Additional Attributes* for the trade item in base unit of measure:

Property Name	Property Value	Remarks
RR_IN_MANUFACT_CODE	Maintain 10 digit numeric India Manufacturer code Content: global company prefix plus suffix zeroes till 10 digits	Mandatory for product details reporting
RR_IN_PRODUCT_NAME	Maintain product brand name (Max char 50)	Optional for product details reporting. If not provided then "-" will be reported
RR_IN_GENERIC_NAME	Maintain generic name (Max char 100)	Optional for product details reporting. If not provided then "-" will be reported
RR_IN_COMPOSITION1	Composition 1-5 belong together. Property value can hold up to char 100. As composition can have up to 500 char you have to split a composition longer than char 100 into the separate fields and the content will be concatenated for reporting. You must fill compositions in right order. First 1, then 2,...	Optional for product details reporting. If not provided then "-" will be reported
RR_IN_COMPOSITION2	See above	See above
RR_IN_COMPOSITION3	See above	See above
RR_IN_COMPOSITION4	See above	See above
RR_IN_COMPOSITION5	See above	See above
RR_IN_SCHEDULED	Allowed values: Y or N Add Y if this is a schedule drug and N if this is a non-schedule drug	Mandatory for product details reporting
RR_IN_USAGE	Provide the dosage/usage of the drug (Max char 100)	Optional for product details reporting; if not provided then "-" will be reported.
RR_IN_REMARK	Product related remark (Max char 100)	Optional for product details reporting; if not provided then <blank> value will be reported.

Property Name	Property Value	Remarks
RR_IN_EXEMPT_CODE	<p>Allowed values</p> <p>E21: Consignments containing drugs/devices for export purposes to countries eligible for exemption from bar-coding as per rule 21 of “Drugs and Cosmetic Act” with specific notification number.</p> <p>EME: Consignment from the merchant exporter containing drugs/devices from multiple manufacturers</p> <p>ELL: Drugs/devices having bar coding as per importing countries bar-coding requirements.</p>	<p>Optional for production details reporting.</p> <p>In case valid exemption code is provided, then the exemption reporting will be created for production details (further details see Production Details Reporting [page 150]).</p>

Procedure

Uploading Product Image

A product image has to be uploaded to the trade item in base UoM.

1. In the [Advanced Track and Trace Data Cockpit](#), select the trade item in base UoM and click the button [Attachments](#). The [Attachment](#) popup appears.
2. In the popup, click [Create](#) and select the required product image.
3. Click [Upload](#) to upload the product image. **Note:** Ensure the Data Cockpit is in the edit mode to be able to upload attachments.
4. In the [Attachments](#) screen you must add the usage key RR_IN_IMG to the attachment as an indicator that this is the image relevant for product details reporting.

Use

When assigning the usage key, the file size is checked and an error message appears if the file is bigger than 3kb (according to DAVA, the Product Image must be smaller than 3 kb). Upon creation of the product details reporting file, the image is converted into the specified base64 format. In case more than one attachment with the usage key RR_IN_IMG is added, then the first attachment found by the system is used for reporting.

3.4.1.1.2 Creating Product Details XML

Use

You can create the Product Details XML in two ways – Explicit Creation and Implicit Creation.

Explicit Creation

A new report is available on the *SAP Easy Access* screen under ► *Advanced Track and Trace* ► *Repository Data Management* ► *Country-specific Functionality* ► *India* ► *Creation of Product Details XML* . Alternatively, you can use the transaction `/STTP/IN_PRD_DETAILS`. This screen allows the explicit creation of product details XML for the relevant trade items. The screen consists of a selection pane and a results pane.

Use the selection pane to retrieve the relevant trade items. However, the selection is restricted based on the following conditions to only retrieve the trade items relevant for reporting:

- Only GTINs in base UoM are relevant for selection
- Only trade items that are manufactured in India and are meant to be exported to other countries are relevant for selection

Process

When you choose *Create Product Details XML* button in the results pane, the function is triggered and the product XML files for all handed over trade items is created and stored in the mapped files share. An Indian product can be reported multiple times. Every reporting is reflected as a separate line in the report with its individual reporting time that documents date and time of reporting.

Activities

You can perform the following actions in the result pane:

- **Show Trade Items:** Select a single item and click this button. The screen navigates to ► *Advanced Track and Trace Data Cockpit* ► *Master Data* ► *Trade Items* , where you can view the trade item and its details.
- **Create Product Details XML:** You can create the XML file for multiple trade item selections.
- **Update Product -> Send Modification:** Product details reporting notification will be sent as modification
- **Update Product -> Send Withdrawal:** Product details reporting notification will be sent as withdrawal
- **Update Product -> Send Deletion:** Product details reporting notification will be sent as deletion
- **Update Product -> Send Re-Activation:** Product details reporting notification will be sent as re-activation
- **Message AIF:** Select one or more item and click this button. The screen navigates to the Application Interface Framework and displays the corresponding AIF messages.
- **Message XML:** Select a single item to view the XML message.

i Note

Ensure the trade items are in “Reported” status in order to view Message AIF and Message XML and “Active” status to create product details XML.

Implicit Creation

In case one of the subsequent messages is created (for example, batch details or production details), it is checked if the product details has already been created. If not, the product details XML is created “implicitly” on the fly. For more information about implicit creation, refer to [Batch Details Reporting \[page 148\]](#) or [Production Details Reporting \[page 150\]](#).

3.4.1.2 Batch Details Reporting

Use

The result of the batch details reporting is a reporting event and the batch details XML file which is attached to the reporting event and also stored in a fileshare folder mapped to the SAP system. The reporting can be monitored within SAP Application Interface Framework through the interface `IN_BATCH_D`.

The Batch Details XML contains information about the batch of drugs produced. The batch report is sent only once for every batch and contains the batch size (number of primary units). Note that you can report multiple batches in one reporting message. Similar to the Product Details XML, the Batch Details can contain data for only one manufacturer code.

You can create the Batch Details XML either explicitly or implicitly.

Checks for Triggering Batch Details XML:

Check if the Product Details XML is available for all contained trade items. If not, then create the XML file using the **Implicit Creation of Product Details** given in the topic [Creating Product Details XML \[page 147\]](#).

Explicit Creation

A reporting rule triggers the creation of explicit batch details based on an event message. The rule creates a reporting event with reference to the contained LGTINs (batches). To activate the rule, go to the [SAP Easy Access](#) screen and navigate to [Advanced Track and Trace > Repository Customizing > Rule Customizing > Define Rules](#). For more details on how to define rules, refer to [Rules Processing \[page 95\]](#). In case of a message split, multiple reporting events are created. The message processing is handled within an own AIF outbound interface.

For the explicit creation of batch details XML for the relevant trade items, you can use the report [Creation of Batch Details XML](#) from the [SAP Easy Access](#) screen under [Advanced Track and Trace > Repository Data Management > Country-specific Functionality > India](#). Alternatively, you can use the transaction `/STTP/IN_BATCH_D_GEN`.

Prerequisites for Explicit Creation

- The trade item of the LGTIN is meant for export (GTIN in base UOM of LGTIN has at least one country outside India assigned to it).

- **Important:** You must ensure that the rule is triggered only for finished and fully confirmed batches. Otherwise, the system reports the wrong batch size. In case this cannot be controlled, then it is recommended to trigger the batch details reporting implicitly through the production details reporting.

For explicit creation through the report, fill all required fields on the UI like GTIN, Lot Number and GLN of Reporting Event and choose *Execute*. The function is triggered and the batch XML file for corresponding LGTIN is created and stored in the mapped fileshare.

Implicit Creation

You can create batch details implicitly from the production details reporting rule in case the production details is created and the corresponding batch details have not yet been created.

Activities

You can perform the following actions in the result pane of *Reporting Events*:

- Search for the reporting event.
- **Message AIF:** Select one or more item and click this button. The screen navigate to the Application Interface Framework and displays the corresponding AIF messages.
- **Message File:** Use *Message File* to view or download the message of the selected reporting event. The message can be processed either in XML format or in JSON format depending on the reporting event *Rule Type*.

You can perform the following actions from the UI:

- Use Produced Quantity: Batch Details will be created with the produced quantity of the lot that used
- Use Actual Quantity: Batch Details will be created with the quantity that calculated by counting the corresponding items
- Use Planned Quantity from Production Order: Batch Details will be created with the planned quantity of corresponding production orders that used
- Send Batch Details Deletion: Batch details reporting notification will be sent as Deletion
- Simulation mode: Processing steps like data selection, composing of reporting notifications, are executed in simulation mode with full logging. The logic of files uploading is skipped.
- Save Application Log: Processing of saving application log

Further Information

Most reporting attributes can be determined automatically from the context. The following elements require further documentation:

- The batch size is calculated from the attribute “Produced Quantity” of the Lot (LGTIN) that corresponds to the trade item in base UoM (typically corresponds to secondary pack level). As the batch size must be reported in the UoM of the primary pack level, it is converted from base UoM to the UoM of the primary pack level.
- The unit price is left blank as of today as the reporting is optional and it is not clear which price to use.
- The attributes “Exemption Notification and Date” and “Country Code for which exemption is taken” are left blank as of today as the reporting is optional and furthermore the content is not clear.

- The status of the batch is defaulted with “A”.
- The status of the batch deletion is “D”.

3.4.1.3 Production Details Reporting

Use

The result of the production details reporting is a reporting event and the production details XML file which is attached to the reporting event and also stored in a FileShare folder mapped to the SAP system. The reporting can be monitored within SAP Application Interface Framework through the interface IN_PRDCN_D.

There are two categories of products in India, exempted and non-exempted products. Hence, the reporting for them is different. Production Details XML file contains the shipped and exported packaging hierarchy of SGTINs and SSCCs in case of non-exempted products and just the shipped SSCCs and some additional information for exempted products.

A reporting rule triggers the creation of the production details. The rule creates a reporting event with reference to the contained objects. To activate the rule, go to the [SAP Easy Access](#) screen and navigate to ► [Advanced Track and Trace](#) ► [Repository Customizing](#) ► [Rule Customizing](#) ► [Define Rules](#) ► For more details on how to create rules, refer to [Rules Processing \[page 95\]](#). Currently, the reporting is only relevant for tertiaries and their hierarchies which are produced in India and exported from India. Export can be assumed in the following cases:

- The triggering event has a destination location assigned (event source destination, SD category: destination, SD type: location) and the destination GLN is mapped to a location with an address outside India
- Alternatively, export can be assumed in case the triggering event has a document of type outbound delivery assigned and the destination location GLN of the outbound delivery is mapped to a location with an address outside India
- In case neither of the above is provided, then it cannot be determined if the event deals with export or not. In such a scenario, no reporting event is created and no reporting happens.

Checks for Triggering Production Details XML:

- Check if the Product Details XML is available for all contained trade items. If not, then create the XML file using the **Implicit Creation of Product Details** given in the topic [Product Details Reporting \[page 144\]](#).
- Check if the Batch Details XML is available for all contained batches. If not, then create the XML file using **Implicit Creation of Batch Details** given in the topic [Batch Details Reporting \[page 148\]](#)

Production Details XML for Non-exempted Products

The production details reporting XML for non-exempted products contains serialization information of all pack levels that are relevant for Indian Reporting. The hierarchy is composed dynamically dependent on which levels are actually serialized and aggregated.

- A valid hierarchy must contain at least one top level SSCC (representing the tertiary pack level) and one hierarchy level with secondary SGTINs (SGTINs with indicator digit 1).
- All other relevant levels are reported only if they are actually serialized.
 - If there are intermediate secondary level 3 SGTINs or intermediate secondary level 2 SGTINs between SSCC and secondary SGTIN they will be reported as well.

i Note

Please note that the intermediate level 3 secondary hierarchy requires intermediate level 2 hierarchy.

- If primary SGTINs are available below the secondary SGTIN, then they are reported as well. Secondary SGTINs with primary SGTINs are reported using upload type TSP (Production Details -Tertiary, Secondary ,Primary Production and packaging details data). If the secondary SGTINs contain no primary SGTINs then data upload type will be TNS (only Tertiary and Secondary details).
- If an event contains more than two tertiaries (SSCCs), the reporting hierarchy is grouped by tertiary SSCC within the XML file.
- For heterogeneous tertiaries (SSCC that contains more than one trade item), the reporting hierarchy is grouped by Indian Product Code within the XML file.
- The solution also allows additional SSCC levels below the Tertiary SSCC which are simply omitted from reporting.

Following cases lead to a split of the reporting message and also results in a separate reporting event created:

- If the triggering event contains both hierarchies of exempted or non-exempted products

i Note

Mixed hierarchies that contain both exempted and non-exempted products are not supported by the solution, because they cannot be reported.

- The triggering event contains hierarchies of trade items (products) which belong to a different manufacturer code.

Activities

You can perform the following actions in the result pane of the [Serialized Container](#) > [Reporting Events](#) tab:

- Search for the reporting event.
- **Message AIF:** Select one or more item and click this button. The screen navigates to the Application Interface Framework and displays the corresponding AIF messages.
- **Message XML:** Use this tab to view the XML message of the SSCC.

i Note

The data displayed in the Production Details XML differs depending upon the pack level and if the product is exempted or non-exempted.

Use

Production Details XML for Exempted Products:

In certain cases, bar coding and data upload of primary and secondary packs can be exempted. In such a scenario, the exporter is required to put a bar code on the Tertiary pack as per Indian standard and upload data

on the central DAVA portal using option Upload Type: "TER" (Tertiary Pack only). Bar coding at the Tertiary level packaging will be in addition to bar coding requirement as per importing country, if any. As of today, the exemption is possible in the following cases:

Case	Exemption Code
Consignments containing drugs or devices for export purposes to countries eligible for exemption from bar coding as per rule 21 of "Drugs and Cosmetic Act" with specific notification number.	E21
Merchant exporter's consignment containing drugs or devices from multiple manufacturers	EME
Drugs or devices having bar coding as per importing countries bar coding requirements.	ELL

Exemption codes must be maintained in Customizing table `/STTP/IN_EXEMPT_CODE` - Define Exemption Codes for Indian Government. You can maintain this Customizing via [India Reporting Customizing](#). Go to the [SAP Easy Access](#) screen under [Advanced Track and Trace](#) [Repository Customizing](#) [Reporting Customizing](#). Only those exceptions added in customizing can be used for reporting (positive list) irrespective of what has been added to the trade item.

In order to declare that a certain product is exempted from bar coding and reporting the property `RR_IN_EXEMPT_CODE` has to be maintained on tab [Additional Attributes](#) for the corresponding trade item (GTIN of Base UoM) and one of the valid exemption codes must be maintained there.

Mixed pallets with exempted and non-exempted products are not supported because the reporting files do not support this case. However the triggering event can contain pallets with exempt and other pallets with non-exempted products. In this case, separate reporting events are created and the reporting is split into different files.

Note

Important prerequisite: In order to determine that a hierarchy is exempted from production details reporting, it must be serialized and aggregated nonetheless. This is needed out of two reasons

- Secondary SGTIN is needed within the hierarchy to determine that the hierarchy is exempted from reporting. Without this SGTIN, it cannot be determined. Hierarchy is required to determine sub-item count which is needed for reporting.

3.4.1.3.1 Production Details Withdrawal Reporting

Use

The result of the production details withdrawal reporting is a reporting event and the production details withdrawal XML file that is attached to the reporting event and stored in a `fileshare` folder mapped to

the SAP system. The reporting can be monitored within SAP Application Interface Framework through the interface `IN_PRDCN_W`.

The Details XML file contains shipped SSCCs, file names of reported XML and reasons. You can create the production details withdrawal explicitly.

A new report is available on the *SAP Easy Access* screen under [▶ Advanced Track and Trace ▶ Repository Data Management ▶ Country-specific Functionality India Creation of Production Details Withdrawal XML ▶](#). Alternatively, you can use the transaction `/STTP/IN_PRDCN_WDRWL`. This report allows the explicit creation of production details withdrawal XML for the relevant SSCC.

Process

1. Enter the valid SSCC and choose *Validate and to object list*. The entry with the SSCC and fields *Filename* and *Reason* is added to object. Make sure the field *Filename* is not empty.
2. Select the entry from the object list and choose *Send Withdrawal*.

The function of uploading will be triggered, and the production withdrawal XML file will be created and stored in the mapped fileshare.

Activities

You can perform the following actions in the result pane:

- *Remove Object*: All selected entries will be removed from the object list
- *Erase All*: All entries will be removed from the object list

3.5 Russia

Use

On January 24, 2017, the Chairman of the Government of the Russian Federation, Dmitry Medvedev, signed a decree on conducting an experiment on marking drugs with control (identification) signs and monitoring the turnover of certain types of medicinal products for medical use. As a result of the experiment, a system for monitoring the movement of medicinal drugs use has been developed. Reporting to this system will become mandatory during 2018 for certain products and starting January 1st 2020, it will cover all available drugs within the Russian market.

SAP Advanced Track and Trace for Pharmaceuticals includes country-specific content mainly driven by the legislation within the respective country. This includes country-specific interfaces for communicating with governmental systems or supply chain partners, but it also includes certain additional master data or event properties, as well as the related customizing settings.

Given that the requirements for track & trace compliance in Russia rely on the same basis across all affected industries, SAP Advanced Track and Trace for Pharmaceuticals provides country content to not only support the pharmaceutical industry, but also other industries, including fashion, shoes, tires, tobacco and milk.

The country-specific functionality for Russia consists of the following features:

- Handling of Russia product and business partner master data
- Regulatory reporting for Russia
- UI for generating regulatory reporting message for Russia
- Crypto code handling for Russia

Specific Russia-compliance content is delivered with the SAP Advanced Track and Trace for Pharmaceuticals 3.0 for the following industries:

- Pharmaceuticals
- Fashion
- Shoes
- Tires
- Tobacco
- Milk

SAP Advanced Track and Trace for Pharmaceuticals is based on a generic EPCIS based data model. Therefore, it might also be used to support other industry cases. For Russia specifically, there are intentions to extend the scope to further industries with future releases.

3.5.1 Crypto Code Handling

Order Management Station (OMS) is a server application that provides a web interface for handling crypto code emission. The overall emission process of crypto code includes five key stages:

1. Create crypto code order
2. Get buffer status
3. Retrieve crypto codes by order
4. Utilization report
5. Send drop-out report

Steps *1-3* can be summed up as the crypto code retrieval process and are covered as a part of the serial number management in SAP Advanced Track & Trace for Pharmaceuticals.

Step *4* reflects the utilization of the emitted crypto codes, with the possibility to set usage type *USED_FOR_PRODUCTION*. For each crypto code, the utilization report must be sent to finalize its lifecycle.

Step *5* reflects the decommissioning of crypto code within the market. It can only be sent after the utilization report.

There are further interfaces available on the OMS system, for example, *aggregation report* or *get product catalog*. If they are not explicitly mentioned within the process steps below, they are not covered by the current release of SAP Advanced Track & Trace for Pharmaceuticals.

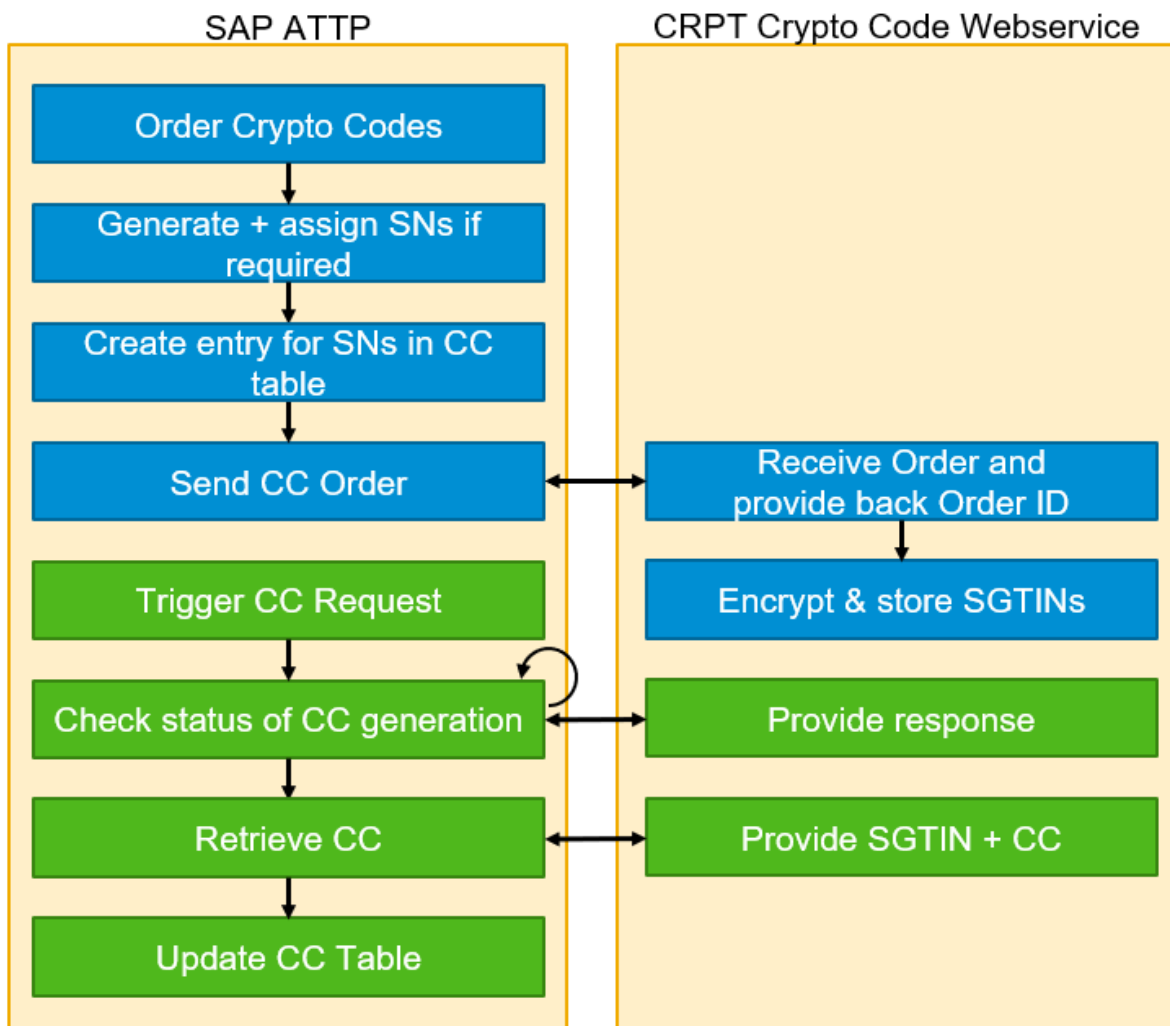
The following industries and versions are supported:

- Pharmaceuticals (Version 2.64)
- Tobacco (Version 2.67)
- Fashion (Version 2.83)
- Shoes (Version 2.83)
- Tires (Version 2.83)
- Milk (Version 2.97)

A BAdI concept is in place, which can be leveraged to implement custom enhancements, for example for covering other industries or specific interface versions that are not covered within the SAP Advanced Track & Trace for Pharmaceuticals standard solution.

3.5.1.1 Crypto Code Retrieval

The basic crypto code retrieval process is listed below and contains ordering (shown in blue in the following figure) and retrieving (shown in green in the following figure) as the two basic operations:



Request

1. For triggering the crypto code order, the following different approaches can be taken:
 - Transaction /STTP/SNR_CRYPTO lets the user select GTINs and order a specific amount of crypto codes. For detailed information on the report, see chapter [Crypto Code Handling Report \[page 60\]](#).
 - Automated triggering of a crypto code request: Customizing parameter AUTO_CRYPTO_ORDER can be enabled to automatically trigger the ordering of crypto codes whenever serial numbers are generated for a specific GTIN. The automatic ordering of crypto codes does not work for automatic range-based serial number generation based on threshold and lotsize settings.
2. Check available serial numbers on the range definition for this GTIN:
 - If the amount of serial numbers is sufficient, proceed with next step
 - If the amount of available serial numbers is not sufficient, new numbers are generated and assigned directly to the GTIN.
 - If the amount is sufficient, but the serial numbers are not yet assigned to the GTIN, the serial numbers are assigned.
3. Create an entry in crypto code table :
 - The serial numbers are written into the crypto code table /STTP/SNR_CRY and status is set to *Assigned*, no crypto code request ID is yet available.
4. Send crypto code order:
 - The previously assigned serial numbers are used as a part of the crypto code order message to the OMS.
 - The request is sent via a synchronous channel using HTTP Post. If the OMS system requires request data to be signed and the corresponding customizing for the relevant industry is switched on, the digital signature will be sent as well. For more details on setting up and maintaining the certificate, and usage of the customizing parameter RR_RU_OMS_SIGN_REQ see the configuration guide for Russia for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.
 - The answer contains the order ID as well as a time estimation on how long the crypto code generation process is expected to take.
 - Both values are stored within the crypto code table and the status of the crypto code is updated to *Ordered*.
5. The generation of the crypto codes has been initiated and is an ongoing process on the OMS side.

Retrieval

For triggering the crypto code retrieval, also the following different approaches can be taken:

1. Transaction /STTP/SNR_CRYPTO lets you select the corresponding order and retrieve crypto codes by selecting the corresponding function listed under *Additional Functions*. For detailed information on the report, see chapter [Crypto Code Handling Report \[page 60\]](#).
2. Transaction /STTP/SNR_CRYPTO_RET lets you retrieve requested crypto codes for a list of orders based on criteria GTIN and/or OMS ID.

The retrieval process is based on the following internal steps:

1. Check status of generation via Order ID
 - This is either done automatically as a part of the retrieve crypto code function or can be triggered explicitly by using the *Check Order Status* function within transaction /STTP/SNR_CRYPTO. The retrieve crypto code logic will only continue processing, if the response from the check is positive. Otherwise an error is raised and the retrieval is stopped.

2. Retrieve crypto codes

- A synchronous HTTP interface is called to retrieve crypto codes from the order management station using the OMS order ID and the GTIN.
- The response contains a list of codes including the unbracketed Data Matrix content including a block ID as well, which reflect the last crypto code retrieved for cases where crypto codes are to be retrieved in multiple portions.

i Note

The crypto codes that can be retrieved in one call are only the ones within the buffer of the order management station. This means that if a retrieval does not return the complete quantity of crypto codes ordered, subsequent retrievals will be required until the complete quantity is retrieved.

i Note

Only the asynchronous interface for *Received Serial Number* can store crypto codes for you to be able to restart AIF messages. If the message is successfully restarted or canceled, the crypto codes in the AIF structures are deleted.

3. Update serial numbers in crypto code table

- Retrieved crypto codes are decoded and the relevant parts according to the industry specifications are stored within the crypto code list table with the status *Retrieved*.
- When the complete quantity ordered is retrieved, the crypto code request is updated to status *Retrieved*.

4. Close Crypto Code Order

- A synchronous HTTP interface is called to close the crypto code emission order on the order management station using the last block ID, the OMS order ID and the GTIN.
- When the close crypto code order operation is successful, the crypto code request is updated to status *Closed*.

3.5.1.2 Crypto Code Confirmation

SAP Advanced Track & Trace for Pharmaceuticals lets you trigger the confirmation of a used (commissioned) crypto code by sending a utilization report to OMS in the following ways: :

1. Via Rule BR_CRYPTO_COMM

You can use the rules framework to automatically trigger the confirmation of the crypto codes in the background as soon as a corresponding EPCIS event is sent to SAP Advanced Track & Trace for Pharmaceuticals. Within the rule, certain checks are provided to ensure that the confirmation can be sent, including a check whether the codes are commissioned, and a check whether they have been reported already via the utilization report.

i Note

A confirmation that is not triggered by the rule on commissioning always considers the production as "own production" (vs "contract production"). We strongly recommend that you use the crypto code business rule for commissioning to confirm the usage of crypto codes.

2. Transaction to manage crypto codes /STTP/SNR_CRYPTO

You can use the transaction /STTP/SNR_CRYPTO to send the confirmation to the OMS for crypto codes that are marked as commissioned. To do so, select a request within the lower grid and choose the respective entry under *Additional Functions*.

i Note

For the checks that are also applied for triggering the confirmation via the UI, the quantity of reported objects can differ from the quantity of selected objects on the screen. For example, if a utilization report has been sent for some of the objects, they will not be included again. For more details on the crypto code management UI, see chapter [Crypto Code Management \[page 59\]](#).

Confirming crypto codes from the report also causes BR_CRYPTO_COMM reporting event to be created. It will have no event reference. It can be further used to track the confirmation of this crypto code package.

3. Transaction /STTP/SNR_CRYPTO_CNF to mass-confirm usage of crypto codes

With this report, all crypto codes that can be confirmed based on the status *commissioned* and that are not yet confirmed, will be collected and confirmed. As a third processing option, you can schedule this report as a background job without requiring any manual interaction, nor a corresponding rule setup. Scheduling this report, even though you are using one of the other processing options as well, might also be viable as a housekeeping tool. This report is available based on the SAP Note [2824351](#).

Confirming crypto codes from the report also causes BR_CRYPTO_COMM reporting event to be created. It will have no event reference. It can be further used to track confirmation of this crypto code package.

You have the option to not send those crypto codes that were already sent for confirmation .

BR_CRYPTO_COMM which was sent as *Sent OK* to OMS will always have *External Reference ID* populated with the OMS report ID.

The utilization report for crypto codes marked as *commissioned* will always be sent with status *Printed*.

For some OMS API versions in several industries, the utilization report cannot be sent from SAP Advanced Track & Trace for Pharmaceuticals, as crypto codes can be confirmed on the OMS side only. For those industries, SAP Advanced Track and Trace for Pharmaceuticals sets the crypto codes to confirmed automatically if the customizing parameter CC_AUTO_CNF_COMM is enabled . If the parameter is not enabled, an error will be raised. This applies for the following industries and OMS versions:

- Fashion OMS API version 2.83
- Shoes OMS API version 2.83
- Tires OMS API version 2.83

Crypto code confirmation that was triggered by the rule BR_CRYPTO_COMM can be resent using the *Resend* option for the corresponding reporting event in the cockpit. It ensures that crypto codes are not lost if there are any issues in the connectivity to the OMS.

i Note

This feature works for only confirmations triggered by the rule. This resend functionality is only possible for technical errors, and not in case of data consistency issues. This functionality will send exactly the same data as was created at the first failed attempt.

Additionally, the confirmation timestamp (UTC) is updated in the database as a result of confirmation.

As the last step of the confirmation process of used crypto codes, the crypto codes must be considered for deletion, because CRPT doesn't allow crypto codes to be stored after their usage.

This last step is triggered both from EPCIS commissioning event and after manual crypto code confirmation via the crypto code handling report (transaction /STTP/SNR_CRYPTO).

Depending on the Customizing setup of the parameter RR_CRYPTO_RETENTION, crypto codes will be deleted immediately if there is no record for RR_CRYPTO_RETENTION, or the record has the value *zero*.

If the retention period is between 1 and 255 days, the crypto codes will not be deleted immediately.

Instead you can delete them via the report /STTP/SNR_CRYPTO_DELETE (transaction /STTP/SNR_CRYPTO_DEL).

The report can be run either directly or scheduled as a background job. We recommend that you schedule it to run daily as a background job because the retention period is in days.

3.5.1.2.1 Check Response Status

You can check the response status for crypto code-related reporting events from the Data Cockpit.

For more details, see chapter [Check Response Status \[page 189\]](#).

3.5.1.2.2 Get Confirmation Report Status

You can use the transaction /STTP/SNR_CRYPTO_CST - [Get Confirmation Report Status](#) (mass mode) to get the confirmation status for reporting events related to crypto codes.

After crypto code confirmation, it is not immediately clear whether the confirmation report has been successfully processed or not. The report status is not evaluated immediately, and it can take some time until third party applications like OMS and MDLP/ISMT process this crypto code confirmation.

Therefore, this separate transaction processes the relevant BR_CRYPTO_COMM and BR_CRYPTO_DECOMM reporting events according to the selected date and time and rule type.

The status of the reporting event must be *1 - Sent OK*, and the status response must be neither *Processed* nor *Rejected*.

3.5.1.3 Declaring a Crypto Code as Disposed

With SAP Advanced Track and Trace for Pharmaceuticals 3.0, the feature for declaring crypto codes as *Lost* is disabled for both transactions /STTP/SNR_CRYPTO and /STTP/SNR_CRYPTO_CNF. This is because sending a utilization report with usage type *PRINTER_LOST* leads to crypto codes being considered by the OMS as activated, though they are actually lost and cannot be used.

3.5.1.4 Reporting a Decommissioning of Crypto Codes

The decommissioning of a crypto code requires a prior utilization report confirming that the crypto code has been applied. In SAP Advanced Track and Trace for Pharmaceuticals, the rule BR_CRYPTO_DECOMM can be configured to declare the decommissioning of a crypto code. The notification which is triggered is a dropout notification to the OMS.

The dropout notification requires a specific reason which must be part of the EPCIS event in order to be able to compose the OMS message. Apart from this reason there are also several industry-specific parameters required which can be found in the following chapters.

i Note

The feature of reporting the decommissioning of crypto codes is only relevant for the following industries and OMS API versions:

- Pharma 2.64
- Tobacco 2.67
- Milk 2.97

BR_CRYPTO_DECOMM which was sent as *Sent OK* to OMS will always have an external reference ID populated with the OMS report ID.

You can use transaction /STTP/SNR_CRYPTO_CST - [Get Confirmation Report Status](#) (mass mode) to get confirmation status of the dropout report. For more information, see chapter [Get Confirmation Report Status \[page 159\]](#).

3.5.1.5 Industry Specifics

The required messages for crypto code exchange have a common basis of parameters. In some messages however this parameter set is extended with industry-specific attributes. Details on these extensions are listed within the following subchapters. For more details on the required parameters per interface, see the official documentation available on the CRPT homepage.

3.5.1.5.1 Pharmaceuticals

Crypto Code Format

The crypto code for pharmaceuticals consists of a crypto key (4 characters) and the actual crypto code (44 characters).

It is included with application identifiers [91](#) and [92](#) within the data matrix to be printed on the secondary package.

The data matrix is composed based on GTIN, Serial Number (13 characters), crypto key and crypto code.

OMS Message Extensions

Crypto Code Order

Field	Title	Automation	Mandatory
ownerId	Owner ID	Yes, via Master Data	No
subjectId	Subject ID	Yes, via Master Data	Yes
freeCode	Free Code	Yes, via Master Data	No
PaymentType	Payment Type	Yes, via General Customizing (parameter key RR_RU_PAYMENT_TYPE)	No

Dropout Report

Field	Title	Automation	Mandatory
subjectId	<i>Subject ID</i>	Yes, via Master Data	Yes
originMessageId	<i>Request Id</i>	Yes, via technical tie	Yes
sourceDocDate	<i>Primary document number</i>	Yes, via Event Extension or Business Transaction	Yes
sourceDocNum	<i>Date of original document</i>	Yes, via Event Extension or Business Transaction	Yes

Utilization Report

Field	Title	Automation	Mandatory
expirationDate	<i>Expiration Date</i>	Yes, via SGTIN/Batch relation	Yes
orderType	<i>Order Type</i>	Yes, via Ownership concept	No
ownerId	<i>Owner Identifier</i>	Yes, via Owner_GLN	No
seriesNumber	<i>Series Number</i>	Yes, via SGTIN	Yes
subjectId	<i>Subject ID</i>	Yes, via Master Data	Yes
packingId	<i>The ID of the legal entity which performed the final packaging</i>	Yes, via Master Data	No
controlId	<i>The ID of the manufacturer who performed the quality control</i>	Yes, via Master Data	No

3.5.1.5.2 Tobacco

Crypto Code Format

The crypto code for tobacco only consists of the actual crypto code (7 characters). It is included with application identifier 93 within the data matrix to be printed on the sales pack. The data matrix is composed based on GTIN, serial number (7 characters), maximum retail price and check code. Depending on the type of product, the maximum retail price (application identifier 8004) must be included.

Within SAP Advanced Track and Trace for Pharmaceuticals the readily encoded data matrix will include the maximum retail price in case the corresponding additional property is maintained at GTIN level – if not maintained, the maximum retail price is not included in the data matrix.

i Note

The current version of marking for blocks/cartons as specified by CRPT for Russia is not supported by SAP Advanced Track and Trace for Pharmaceuticals as it does not comply with the GS1 encoding standard. As it does not contain the required application identifiers, the code cannot be encoded or decoded within SAP Advanced Track and Trace for Pharmaceuticals.

OMS Message Extensions

Crypto Code Order

Field	Title	Automation	Mandatory
factoryId	<i>Factory Identifier (GLN).</i>	Yes, via Master Data	Yes
factoryName	<i>Factory Name.</i>	Yes, via Master Data	No
factoryAddress	<i>Factory Address</i>	Yes, via Master Data	No
factoryCountry	<i>Factory Country.</i>	Yes, via Master Data	Yes
productionLineId	<i>Line Identifier.</i>	Yes, via Master Data	Yes
productCode	<i>Product Code.</i>	Yes, via Master Data	Yes
productDescription	<i>Product Description</i>	Yes, via Master Data	Yes
poNumber	<i>PO Number</i>	No, BADI only	No
expectedStartDate	<i>Expected Start Date</i>	No, BADI only	No
mrp	<i>Maximum retail price</i>	No, BADI only	No

Dropout Report

Field	Title	Automation	Mandatory
sourceDocDate	<i>Date of the dropout document.</i>	No, BADI only	No
sourceDocNum	<i>Dropout document</i>	No, BADI only	No
address	Address	Yes, via Master Data	Yes
withChild	<i>Write off all nested items</i>	Default, only directly affected objects, no child objects	Yes
participantId	<i>Taxpayer Identification Number.</i>	Yes, via Master Data	Yes
productionOrderId	<i>The ID of the production order</i>	No, BADI only	No
productionLineId	<i>Production Line Number</i>	Yes, via Master Data	No

Utilization Report

Field	Title	Automation	Mandatory
productionOrderId	<i>The ID of the production order</i>	No, BADI only	No
productionLineId	<i>Production Line Number</i>	Yes, via Master Data	No
brandcode	<i>Product Brand Name</i>	No, BADI only	No
sourceReportId	<i>Utilization report identifier of - APCS</i>	No, BADI only	No

i Note

There are further specific attributes required for the aggregation report. However as this report is not supported by the current release of SAP Advanced Track & Trace for Pharmaceuticals, the details are not listed here.

3.5.1.5.3 Fashion

Crypto Code Format

The crypto code consists of a crypto key (4 characters) and the actual crypto code (88 characters). It is included with application identifiers [91](#) and [92](#) within the data matrix to be printed on the sales package. The data matrix is composed based on GTIN, serial number (13 characters), 4 digit TN-VED code, crypto key and crypto code.

Within SAP Advanced Track and Trace for Pharmaceuticals, the readily encoded data matrix will include the TN VED code (application identifier [240](#)) as maintained via the additional product property RR_RU_TNVED_CODE for a GTIN.

OMS Message Extensions

Crypto Code Order

Field	Title	Automation	Mandatory
contactPerson	<i>Contact person</i>	No, BADI only	Yes
releaseMethodType	<i>Method of release of goods</i>	Yes, via Master Data, default "IMPORT"	Yes
createMethodType	<i>Create method type</i>	Default "SELF_MADE"	Yes
productionOrderId	<i>The Id of the production order</i>	No, BADI only	No

The extension of the object «OrderProduct»

Field	Title	Automation	Mandatory
exporterTaxpayerId	<i>INN of an exporter</i>	Yes, via Master Data	No (It is mandatory only for release method type <i>Crossborder</i>)
cisType	Crypto code type	Via Product Master data RR_RU_CIS_TYPE: UNIT (default) BUNDLE	Yes

3.5.1.5.4 Shoes

Crypto Code Format

The crypto code consists of a crypto key (4 characters) and the actual crypto code (88 characters). It is included with application identifiers [91](#) and [92](#) within the data matrix to be printed on the sales package. The

data matrix is composed based on the GTIN, the serial number (13 characters), 4 digit TN-VED code, crypto key and crypto code.

Within SAP Advanced Track and Trace for Pharmaceuticals, the readily encoded data matrix will include the TN VED code (application identifier [240](#)) as maintained via the additional product property RR_RU_TNVED_CODE for a GTIN.

OMS Message Extensions

Crypto Code Order

The extension of the object «Order»

Field	Title	Automation	Mandatory
contactPerson	<i>Contact person</i>	No, BADI only	Yes
releaseMethodType	<i>Method of release of goods</i>	Yes, via Master Data, default "IMPORT"	Yes
createMethodType	<i>Create method type</i>	Default "SELF_MADE"	Yes
productionOrderId	<i>The Id of the production order.</i>	No, BADI only	No

The extension of the object «OrderProduct»

Field	Title	Automation	Mandatory
exporterTaxpayerId	<i>INN of an exporter</i>	Yes, via Master Data	No (It is mandatory only for release method type <i>Crossborder</i>)

3.5.1.5.5 Tires

Crypto Code Format

The crypto code consists of a crypto key (4 characters) and the actual crypto code (88 characters). It is included with application identifiers [91](#) and [92](#) within the data matrix to be printed on the sales package. The data matrix is composed based on the GTIN, serial number (13 characters), 4 digit TN-VED code, crypto key and crypto code.

Within SAP Advanced Track and Trace for Pharmaceuticals, the readily encoded data matrix will include the TN VED code (application identifier 240) as maintained via the additional product property RR_RU_TNVED_CODE for a GTIN.

OMS Message Extensions

Crypto Code Order

Field	Title	Automation	Mandatory
contactPerson	<i>Contact person</i>	No, BADI only	YES
releaseMethodType	<i>Method of release of goods</i>	Yes, via Master Data, default "IMPORT"	Yes
createMethodType	<i>Create method type</i>	Default "SELF_MADE"	Yes
productionOrderId	<i>The Id of the production order.</i>	No, BADI only	No

The extension of the object «OrderProduct»

Field	Title	Automation	Mandatory
exporterTaxpayerId	<i>INN of an exporter</i>	Yes, via Master Data	No (it is mandatory only for release method type "Crossborder")

3.5.1.5.6 Milk

Crypto Code Format

The crypto code only consists of the actual crypto code (4 characters). It is included with application identifier 93 within the data matrix to be printed on the sales unit. The data matrix is composed based on GTIN, serial number (6 characters) and check code.

OMS Message Extensions

Crypto Code Order

Field	Title	Automation	Mandatory
contactPerson	<i>Contact person</i>	No, BAdI only	Yes
releaseMethodType	<i>Method of release of goods</i>	Yes, via Master Data, default "IMPORT"	Yes
createMethodType	<i>Create method type</i>	Default "SELF_MADE"	Yes
productionOrderId	<i>The Id of the production order.</i>	No, BAdI only	No
paymentType	<i>Payment type</i>	Yes, via General Customizing (parameter key RR_RU_PAYMENT_TYPE), default 2	No

The extension of the object «OrderProduct»

Field	Title	Automation	Mandatory
exporterTaxpayerId	<i>INN of an exporter</i>	Yes, via Master Data	No (It is mandatory only for release method type "Crossborder")
cisType	<i>Marking code type</i>	Yes, via Master Data	Yes

Utilization Report

Field	Title	Automation	Mandatory
expDate	Product expiration date (shelf life exceeding 72 hours)	Yes, via Master Data	No (either expDate or expDate72 has to be specified)
expDate72	<i>Product expiration date (shelf life under 72 hours)</i>	Yes, via Master Data"	No (either expDate or expDate72 has to be specified)

Field	Title	Automation	Mandatory
capacity	<i>Capacity, volume</i>	No, BAdI only	No
usedInProduction	<i>Indicator of MC use in production</i>	No, BAdI only, default 0	No
cisType	<i>cisType</i>	Yes, via Master Data	Yes

3.5.1.6 Crypto Code Periodic Deletion

You can use report /STTP/SNR_CRYPT0_DELETE (transaction /STTP/SNR_CRYPT0_DEL) to process crypto code requests for crypto code deletion or wiping (overwriting with zeroes).

After crypto codes are confirmed, they must be deleted in the system. If the retention period (between 1 and 255) is set up in Customizing, then the crypto codes will not be deleted or wiped immediately after confirmation.

You can then use the report to delete or wipe the crypto code. You can also schedule the report as a background job to run daily. The report will process those requests whose retention period has expired.

You can execute the report using the following options:

- GS1 Global Trade Item Number
- Order Management Station ID
- CC Request Internal Identifier

You can run the report in test mode.

You can enter the log level for the output log.

3.5.2 Regulatory Reporting

Concerning regulatory reporting requirements in Russia, the approaches taken within the different industries are significantly more diverse than within the OMS reporting. There is no common concept which is being extended, instead there is an entirely different format from a technical perspective and from a process perspective for the different industry systems MDLP (Pharmaceuticals), IS-MT (Fashion, Shoes, Tires and Milk) and MOTP (Tobacco).

3.5.2.1 MDLP Notifications for Pharmaceuticals

The following table has the message list for the current release of SAP Advanced Track & Trace for Pharmaceuticals. For details on the message content, as well as the retrieval logic for the particular parameters, see the document *SAP Advanced Track and Trace for Pharmaceuticals: Messages and Changes for MDLP Reporting* in the *Additional Information* section on the SAP Help Portal at <http://help.sap.com/attps4>.

List of available MDLP reporting notifications:

Message Number	Message Name	Technical Name
251	Refusal Sender	refusal_sender
252	Refusal Receiver	refusal_receiver
253	Change Information	change_information
254	Change Property	change_property
300	Transfer code to Custom	transfer_code_to_custom
311	Register end packing	register_end_packing
312	Register control samples	register_control_samples
313	Register product emission	register_product_emission
314	Move to Release	move_to_release
315	Return contract production	return_contract_production
321	Foreign emission	foreign_emission
331	Foreign shipment	foreign_shipment
332	Foreign import	foreign_import
333	Foreign import owner	foreign_import_owner
334	Custom move	custom_move
335	FTS data	fts_data
336	Import info	import_info
337	Return import	return_import
341	Receive importer	receive_importer
342	Release in Circulation	release_in_circulation

Message Number	Message Name	Technical Name
351	Change owner	change_owner
361	EEU shipment	eeu_shipment
362	EEU import	eeu_import
363	EEU release	eeu_release
381	Move owner	move_owner
391	Return to circulation	return_to_circulation
415	Move order	move_order
416	Receive order	receive_order
417	Move return	move_return
431	Move place	move_place
441	Move unregistered order	move_unregistered_order
442	Receive unregistered order	receive_unregistered_order
461	Move EEU	move_eeu
471	State dispatch	state_dispatch
481	Arbitration	arbitration
531	Health care	health_care
541	Move destruction	move_destruction
542	Destruction	Destruction
552	Withdrawal	Withdrawal
701	Accept	Accept
702	Posting	Posting
703	Posting Import	posting_import
705	Not on Balance	not_on_balance
911	Pack unit	unit_pack
912	Unpack unit	unit_unpack
913	Extract unit	unit_extract

Message Number	Message Name	Technical Name
914	Append unit	unit_append
915	Pack Multi	multi_pack

3.5.2.1.1 Object Filtering and Error Cases

In the following cases, the GTIN is considered as not relevant and the corresponding objects are filtered out:

- GTIN industry is **not** Pharmaceuticals (PH)
- GTIN is not *Serialized and Traced*
- GTIN country list doesn't contain Russia (RU).

The filtering concept is applied to messages mapping in the following way:

- Unpacked SGTINs that belong to GTINs that are not relevant are excluded.
- Empty SSCCs are excluded (an exception is when the SSCC is a parent object in the aggregation processes and the child objects are relevant).
- SSCCs that contain only GTINs that are not relevant are excluded.
- SSCCs that contain mixture of not relevant and relevant GTINs are reported in a normal way.
- All LGTINs are considered as not relevant and not included.
- If all objects are excluded, the message and the corresponding reporting event are not created, and a warning message is added to the log AIF interface `RULES_GEN`.

GTIN is considered as invalid and error is raised if the GTIN status is not *Active*.

Here, an 'all or nothing' approach is applied: if even one of the relevant GTINs is invalid, the message is not sent, and the reporting event is created in status *Mapping Error*.

3.5.2.1.2 Object Status Handling

When the status of the native as well as dependent objects is invalid, an error is raised for the specific group of notifications.

The following are the valid statuses:

- For the following messages, objects in any status are valid:
 - 552-withdrawal
 - 911-unit_pack
 - 912-unit_unpack
 - 913-unit_extract
 - 914-unit_append
- For message 915-multi_pack, objects in the following statuses are valid:

- *Active*
- *Read Only* (with dispositions *001-active*, *012-in_transit*).
- For messages 312-register_control_samples , 542-destruction, objects in the following statuses are valid:
 - *Read Only* (with any disposition except *001-active*);
 - *Invalidated*
- For other messages, objects in the following statuses are valid:
 - *Active*
 - *Read Only* (with dispositions *001-active*, *012-in_transit*, *032-returned*, *081-inspection*)

3.5.2.1.3 Check Response Status

You can check the response status for MDLP-related reporting events from the Data Cockpit.

For more information, see chapter [Check Response Status \[page 189\]](#).

3.5.2.1.4 Update Reporting Notification Response Status

You can use transaction `/STTP/RU_NOTIF_STAT` - *Update Reporting Notification Response Status* to get the document status.

For this, choose MDLP as the notification system type.

This transaction processes the relevant reporting events according to the date, time and rule type that you have selected. You can use this report to carry out the same checks as the *Check Response Status* option in the Data Cockpit, but as a mass operation.

You can schedule this report as a background job.

3.5.2.2 MOTP Notifications for Tobacco

MOTP reporting is not part of the delivered message scope for the current SAP Advanced Track & Trace for Pharmaceuticals release. While SAP is evaluating this for future releases, customers can use the existing rules framework to enable custom solutions. For more information, see the Configuration Guide General for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp> .

3.5.2.3 ISMT Notifications for Fashion, Shoes and Tires

With SAP Advanced Track & Trace for Pharmaceuticals 3.0, Feature Package 1, the following messages are delivered as a part of the ISMT message scope:

- Goods Import declaration
- Decommissioning declaration
- Packing Notification
- Unpacking Notification
- Introduce into circulation (RU)
- Cross-border trading
- Introduce goods cross-border
- Contract manufacturing in the Russian Federation
- Import with FTS
- Creating Sets

3.5.2.3.1 ISMT Import Notification

You can use the rule `RR_RU_ISMT_IMP` from the rules framework to trigger the corresponding reporting notification based on incoming EPCIS messages. As an output a JSON file is create and placed on the file share.

To trigger a Goods import notification, several additional parameters are required such as event properties and master data attributes. The following parameters are mandatory and need to be provided as a part of the goods import:

- `RR_RU_MARKING_TYPE` - Type of marking.
Possible values are as follows:
 - `PRODUCT_PACKAGE` - For consumer package
 - `PRODUCT` - For goods
 - `PRODUCT_LABEL` - For label of goods
- `RR_RU_DECL_DATE` - Date of the goods bill
- `RR_RU_DECL_NUM` - Number of the goods bill
- `RR_RU_CUSTOMS_CODE` - Code of the customs body
- `RR_RU_DECISION_CODE` - Code of the decision
- `RR_RU_TNVED_CODE` - A 10-digit FEACN code (Can be also specified via additional trade item attribute `RR_RU_TNVED_CODE`)
- `RR_RU_CERT_DOCUMENT` - Type of mandatory certification document.
Possible values are as follows:
 - `CONFORMITY_CERT` - Conformity certificate
 - `CONFORMITY_DECLAR` - Conformity declaration
- `RR_RU_CERT_DOC_NUM` - Number of the mandatory certification document
- `RR_RU_CERT_DOC_DATE` - Date of the mandatory certification document

Sample of the event attributes section within a triggering EPCIS file:

```
<evtAttributes>
  <RR_RU_MARKING_TYPE>PRODUCT</RR_RU_MARKING_TYPE>
  <RR_RU_REG_DATE>2019-03-21T00:03:00.000Z</RR_RU_REG_DATE>
  <RR_RU_DECL_DATE>2019-03-21T00:03:00.000Z</RR_RU_DECL_DATE>
  <RR_RU_DECL_NUM>10226010/090617/0003344</RR_RU_DECL_NUM>
```

```

<RR_RU_CUSTOMS_CODE>612398</RR_RU_CUSTOMS_CODE>
<RR_RU_DECISION_CODE>10</RR_RU_DECISION_CODE>
<RR_RU_TNVED_CODE>0101300000</RR_RU_TNVED_CODE>
<RR_RU_CERT_DOCUMENT> CONFORMITY_DECLAR</RR_RU_CERT_DOCUMENT>
<RR_RU_CERT_DOC_NUM>972093802</RR_RU_CERT_DOC_NUM>
<RR_RU_CERT_DOC_DATE> 2019-04-24T00:04:00.000Z</RR_RU_CERT_DOC_DATE>
</evtAttributes>

```

3.5.2.3.2 ISMT Notification: Decommissioning

You can use rule RR_RU_ISMT_DEC from the rules framework to trigger the corresponding reporting notification based on incoming EPCIS messages. As an output a JSON file is create and placed on the file share.

To trigger a decommissioning, several additional parameters are required such as event properties and master data attributes. The following parameters are mandatory and need to be provided as a part of the goods decommissioning:

Parameter Name	Short Description	Possible Values	Mandatory?
RR_RU_ACTION	Type of decommissioning	Type of decommissioning. Possible values: RETAIL – Retail, EEC_EXPORT – Export to EAEU countries, BEYOND_EEC_EXPORT – Export outside the EAEU countries, RETURN – Return to individual, REMOTE_SALE – Sample sales, remote selling method, DAMAGE_LOSS – Loss or damage, DESTRUCTION – Destruction, CONFISCATION – Confiscation, LIQUIDATION – Liquidation of an enterprise, ENTERPRISE_USE – Use for the company's own needs	Yes

Parameter Name	Short Description	Possible Values	Mandatory?
RR_RU_ACTION_DATE	Date of decommissioning		Yes

Parameter Name	Short Description	Possible Values	Mandatory?
RR_RU_DOC_TYPE	Document Type	<p>Document type.</p> <p>Depends on selected document action:</p> <p><i>Retail:</i></p> <p>RECEIPT</p> <p>SALES_RECEIPT</p> <p>OTHER – other type provided via attribute RR_RU_PRM_DOC_CUS_NM</p> <p><i>BEYOND_EEC_EXPORT:</i></p> <p>CUSTOMS_DECLARATION</p> <p><i>EEC_EXPORT:</i></p> <p>CONSIGNMENT_NOTE</p> <p>UTD</p> <p>OTHER – other type provided via attribute RR_RU_PRM_DOC_CUS_NM</p> <p><i>RETURN:</i></p> <p>OTHER – other type provided via attribute RR_RU_PRM_DOC_CUS_NM</p> <p><i>REMOTE_SALE:</i></p> <p>RECEIPT</p> <p>SALES_RECEIPT</p> <p>CONSIGNMENT_NOTE</p> <p>UTD</p> <p>OTHER – other type provided via attribute RR_RU_PRM_DOC_CUS_NM</p> <p><i>DAMAGE_LOSS:</i></p> <p>DESTRUCTION_ACT</p> <p>OTHER – other type provided via attribute RR_RU_PRM_DOC_CUS_NM</p>	Yes

Parameter Name	Short Description	Possible Values	Mandatory?
		<p><i>DESTRUCTION:</i></p> <p>DESTRUCTION</p> <p>OTHER – other type provided via attribute RR_RU_PRM_DOC_CUS_NM</p> <p><i>CONFISCATION:</i></p> <p>CONSIGNMENT_NOTE</p> <p>UTD</p> <p>OTHER – other type provided via attribute RR_RU_PRM_DOC_CUS_NM</p> <p><i>LIQUIDATION:</i></p> <p>CONSIGNMENT_NOTE</p> <p>UTD</p> <p>OTHER – other type provided via attribute RR_RU_PRM_DOC_CUS_NM</p> <p><i>ENTERPRISE_USE:</i></p> <p>DESTRUCTION_ACT</p> <p>OTHER – other type provided via attribute RR_RU_PRM_DOC_CUS_NM</p>	
RR_RU_DOC_NUM	Document Number		Yes
RR_RU_DOC_DATE	Document date		Yes
RR_RU_COST	Product Cost	Mandatory, if document type is receipt.	No
RR_RU_KKT_NUMBER	Control cash register number		No
RR_RU_PRM_DOC_TYPE	Primary document type		No
RR_RU_PRM_DOC_DATE	Primary document date		No
RR_RU_PRM_DOC_NUM	Primary document number		No

Parameter Name	Short Description	Possible Values	Mandatory?
RR_RU_PRM_DOC_CUS_NM	Primary document custom name. Mandatory in case for the event additional extension attribute RR_RU_DOC_TYPE the value 'OTHER' is provided.		No

Sample of the event attributes section within a triggering EPCIS file:

Sample Code

```
<evtAttributes>
  <RR_RU_ACTION>RETAIL</RR_RU_ACTION>
  <RR_RU_ACTION_DATE>2019-09-17T21:00:00.000Z</
RR_RU_ACTION_DATE>
  <RR_RU_DOC_TYPE>OTHER</RR_RU_DOC_TYPE>
  <RR_RU_DOC_NUM>12345678</RR_RU_DOC_NUM>
  <RR_RU_DOC_DATE>2019-09-17T21:00:00.000Z</RR_RU_DOC_DATE>
  <RR_RU_CIS>12345612345612345612345612345612345678</RR_RU_CIS>
  <RR_RU_KKT_NUMBER>test12345678</RR_RU_KKT_NUMBER>
  <RR_RU_PRM_DOC_TYPE>OTHER</RR_RU_PRM_DOC_TYPE>
  <RR_RU_PRM_DOC_NUM>123456789</RR_RU_PRM_DOC_NUM>
  <RR_RU_PRM_DOC_DATE>2019-09-17T21:00:00.000Z</
RR_RU_PRM_DOC_DATE>
  <RR_RU_PRM_DOC_CUS_NM>test_name</RR_RU_PRM_DOC_CUS_NM>
  <RR_RU_COST>1000</RR_RU_COST>
</evtAttributes>
```

3.5.2.3.3 ISMT Notification: Packing

You can use the rule RR_RU_ISMT_PACK from the rules framework to trigger the corresponding reporting notification based on incoming EPCIS messages. As an output a JSON file is create and placed on the file share.

To trigger a packing, several additional parameters are required, such as event properties. The following parameters are mandatory and need to be provided as a part of the notification:

- RR_RU_AGGR_TYPE - Aggregation type. Default value: AGGREGATION

Sample of the event attributes section within a triggering EPCIS file:

Sample Code

```
...
<evtAttributes>
  <RR_RU_AGGR_TYPE> AGGREGATION</RR_RU_AGGR_TYPE>
</evtAttributes>
```

3.5.2.3.4 ISMT Notification: Unpacking

You can use the rule `RR_RU_ISMT_UNPACK` from the rules framework to trigger the corresponding reporting notification based on incoming EPCIS messages. As output, a JSON file is created and placed on the file share.

3.5.2.3.5 ISMT Notification: Introduce into Circulation (RU)

You can use rule `RR_RU_ISMT_INTRO_RU` available in the rules framework to trigger the corresponding reporting notification based on incoming EPCIS messages. As an output, a JSON file is created and placed on the file share.

To trigger an *Introduce into Circulation* (RU) notification, several additional parameters are required, such as event properties. The following parameters are mandatory and need to be provided as a part of the notification:

Parameter Name	Short Description	Possible Values	Mandatory?
<code>RR_RU_CERT_DOCUMENT</code>	Code of the document on mandatory certification	Possible values: <code>CONFORMITY_CERTIFICATE</code> – certificate of conformity; <code>CONFORMITY_DECLARATION</code> – declaration of conformity	No
<code>RR_RU_CERT_DOC_NUM</code>	Number of the document on mandatory certification		No
<code>RR_RU_CERT_DOC_DATE</code>	Date of the document on mandatory certification	Format: YYYY-MM-DD;	No

Sample of the event attributes section in a triggering EPCIS file:

Sample Code

```
<evtAttributes>
  <RR_RU_CERT_DOCUMENT>CONFORMITY_CERTIFICATE</RR_RU_CERT_DOCUMENT>
  <RR_RU_CERT_DOC_DATE>2021-08-05</RR_RU_CERT_DOC_DATE>
  <RR_RU_CERT_DOC_NUM>123</RR_RU_CERT_DOC_NUM>
</evtAttributes>
```

3.5.2.3.6 ISMT Notification: Cross-Border Trading

You can use rule `RR_RU_ISMT_CROSS` available in the rules framework to trigger the corresponding reporting notification based on incoming EPCIS messages. As output, a JSON file is created and placed on the file share.

To trigger a *Cross-border Trading* notification, several additional parameters are required, such as event properties. The following parameters are mandatory and need to be provided as a part of the notification:

Parameter Name	Short Description	Possible Values	Mandatory?
RR_RU_CERT_DOCUMENT	Code of the document on mandatory certification	Possible values: CONFORMITY_CERTIFICATE – certificate of conformity; CONFORMITY_DECLARATION – declaration of conformity	No
RR_RU_CERT_DOC_NUM	Number of the document on mandatory certification		No
RR_RU_CERT_DOC_DATE	Date of the document on mandatory certification	Format: YYYY-MM-DD;	No
RR_RU_CTR_OKSM	Exporter country code	Numerical code (3 digits) from OKSM: 112 – Belarus; 417 – Kyrgyzstan; 398 – Kazakhstan; 051 – Armenia	Yes
RR_RU_EXP_NAME	Name of the exporter		Yes
RR_RU_IMP_DATE	Import date	Format: YYYY-MM-DD;	Yes
RR_RU_PRM_DOC_DATE	Date of the primary document confirming transfer of goods	Format: YYYY-MM-DD;	Yes
RR_RU_PRM_DOC_NUM	Number of the primary document confirming the transfer of goods		Yes
RR_RU_COST	Unit price	Value shall be specified in kopecks	No
RR_RU_VAT_VALUE	VAT amount	Value shall be specified in kopecks	No

Sample of the event attributes section in a triggering EPCIS file:

Sample Code

```
<evtAttributes>
  <RR_RU_CERT_DOCUMENT>CONFORMITY_CERTIFICATE</
  RR_RU_CERT_DOCUMENT>
```

```

<RR_RU_CERT_DOC_DATE>2021-08-05</RR_RU_CERT_DOC_DATE>
<RR_RU_CERT_DOC_NUM>123</RR_RU_CERT_DOC_NUM>
<RR_RU_VAT_VALUE>10</RR_RU_VAT_VALUE>
<RR_RU_COST>1000</RR_RU_COST>
<RR_RU_PRM_DOC_NUM>123</RR_RU_PRM_DOC_NUM>
<RR_RU_PRM_DOC_DATE>2021-08-05</RR_RU_PRM_DOC_DATE>
<RR_RU_IMP_DATE>2021-08-05</RR_RU_IMP_DATE>
<RR_RU_EXP_NAME>Good shoes</RR_RU_EXP_NAME>
<RR_RU_CTR_OKSM>417</RR_RU_CTR_OKSM>
</evtAttributes>

```

3.5.2.3.7 ISMT Notification: Introduce Goods Cross-Border

You can use rule RR_RU_ISMT_INTCROSS available in the rules framework to trigger the corresponding reporting notification based on incoming EPCIS messages. As output, a JSON file is created and placed on the file share.

To trigger a *Introduce Goods Cross-border* notification, several additional parameters are required, such as event properties. The following parameters are mandatory and need to be provided as a part of the notification:

Parameter Name	Short Description	Possible Values	Mandatory?
RR_RU_CERT_DOCUMENT	Code of the document on mandatory certification	Possible values: CONFORMITY_CERTIFICATE – certificate of conformity; CONFORMITY_DECLARATION – declaration of conformity	No
RR_RU_CERT_DOC_NUM	Number of the document on mandatory certification		No
RR_RU_CERT_DOC_DATE	Date of the document on mandatory certification	Format: YYYY-MM-DD;	No
RR_RU_CTR_OKSM	Exporter country code	Numerical code (3 digits) from OKSM: 112 – Belarus; 417 – Kyrgyzstan; 398 – Kazakhstan; 051 – Armenia	Yes
RR_RU_EXP_NAME	Name of the exporter		Yes
RR_RU_IMP_DATE	Import date	Format: YYYY-MM-DD;	Yes

Parameter Name	Short Description	Possible Values	Mandatory?
RR_RU_PRM_DOC_DATE	Date of the primary document confirming transfer of goods	Format: YYYY-MM-DD;	Yes
RR_RU_PRM_DOC_NUM	Number of the primary document confirming transfer of goods		Yes
RR_RU_COST	Unit price	Value shall be specified in ko-pecks	No
RR_RU_VAT_VALUE	VAT amount	Value shall be specified in ko-pecks	No

Sample of the event attributes section in a triggering EPCIS file:

Sample Code

```
<evtAttributes>
  <RR_RU_CERT_DOCUMENT>CONFORMITY_CERTIFICATE</RR_RU_CERT_DOCUMENT>
  <RR_RU_CERT_DOC_DATE>2021-08-05</RR_RU_CERT_DOC_DATE>
  <RR_RU_CERT_DOC_NUM>123</RR_RU_CERT_DOC_NUM>
  <RR_RU_VAT_VALUE>10</RR_RU_VAT_VALUE>
  <RR_RU_COST>1000</RR_RU_COST>
  <RR_RU_PRM_DOC_NUM>123</RR_RU_PRM_DOC_NUM>
  <RR_RU_PRM_DOC_DATE>2021-08-05</RR_RU_PRM_DOC_DATE>
  <RR_RU_IMP_DATE>2021-08-05</RR_RU_IMP_DATE>
  <RR_RU_EXP_NAME>Good shoes</RR_RU_EXP_NAME>
  <RR_RU_CTR_OKSM>417</RR_RU_CTR_OKSM>
</evtAttributes>
```

3.5.2.3.8 ISMT Notification: Contract Manufacturing in the Russian Federation

You can use rule RR_RU_ISMT_CONTR available in the rules framework to trigger the corresponding reporting notification based on incoming EPCIS messages. As output, a JSON file is created and placed on the file share.

To trigger a *Contract Manufacturing* in the Russian Federation notification, several additional parameters are required, such as event properties. The following parameters are mandatory and need to be provided as a part of the notification:

Parameter Name	Short Description	Possible Values	Mandatory?
RR_RU_CERT_DOCUMENT	Code of the document on mandatory certification	Possible values: CONFORMITY_CERTIFICATE – certificate of conformity; CONFORMITY_DECLARATION – declaration of conformity	No
RR_RU_CERT_DOC_NUM	Number of the document on mandatory certification		No
RR_RU_CERT_DOC_DATE	Date of the document on mandatory certification	Format: YYYY-MM-DD;	No
RR_RU_OWNER	“I am owner” attribute		Yes (No – if RR_RU_PRODUCER maintained)
RR_RU_PRODUCER	“I am manufacturer” attribute		Yes (No – if RR_RU_OWNER maintained)

Sample of the event attributes section in a triggering EPCIS file:

```

Sample Code
<evtAttributes>
  <RR_RU_CERT_DOCUMENT>CONFORMITY_CERTIFICATE</RR_RU_CERT_DOCUMENT>
  <RR_RU_CERT_DOC_DATE>2021-08-05</RR_RU_CERT_DOC_DATE>
  <RR_RU_CERT_DOC_NUM>123</RR_RU_CERT_DOC_NUM>
  <RR_RU_OWNER >X</RR_RU_OWNER >
</evtAttributes>

```

3.5.2.3.9 ISMT Notification: Import with FTS

You can use rule RR_RU_ISMT_IMP_FTS available in the rules framework to trigger the corresponding reporting notification based on incoming EPCIS messages. As output, a JSON file is created and placed on the file share.

To trigger an *Import with FTS* notification, several additional parameters are required, such as event properties. The following parameters are mandatory and need to be provided as a part of the notification:

Parameter Name	Short Description	Possible Values	Mandatory?
RR_RU_DECL_DATE	CD registration date	Format: YYYY-MM-DD.	Y
RR_RU_DECL_NUM	CD registration number	Format: XXXXXXXX/ XXXXXX/XXXXXXX (23 digits (8, 6 and 7) separated by "/")	Y

Sample of the event attributes section in a triggering EPCIS file:

```

Sample Code

...
<evtAttributes>
  <RR_RU_DECL_DATE>2021-08-05</RR_RU_DECL_DATE>
  <RR_RU_DECL_NUM>12345678/050821/1234567</RR_RU_DECL_NUM>
</evtAttributes>

```

3.5.2.3.10 ISMT Notification: Creating Sets

You can use rule RR_RU_ISMT_SETS available in the rules framework to trigger the corresponding reporting notification based on incoming EPCIS messages. As output, a JSON file is created and placed on the file share.

3.5.2.3.11 Check Response Status

You can check the response status for ISMT-related reporting events from the Data Cockpit.

For more information, see chapter [Check Response Status \[page 189\]](#).

3.5.2.3.12 Update Reporting Notification Response Status

You can use transaction /STTP/RU_NOTIF_STAT - *Update Reporting Notification Response Status* to get the document status.

For this, choose ISMT as the notification system type.

This transaction processes the relevant reporting events according to the date, time and rule type that you have selected. You can use this report to carry out the same checks as the *Check Response Status* option in the Data Cockpit, but as a mass operation.

You can schedule this report as a background job.

3.5.2.4 Manual Trigger for Russia Reporting

Some messages cannot be triggered based on an EPCIS event and must be triggered manually. The reason is that either certain additional information is required within these notifications that cannot be derived from any event or event related data, or that in common scenarios the trigger for these notifications is not based on a typical event.

3.5.2.4.1 Manual Notification UI for Pharmaceuticals

For manually triggering notifications the report /STTP/RR_RU_NOTIF_CREATE – Regulatory Reporting: Create Notification for Russia (Transaction /STTP/RU_CREATE_NTF) is included.

It enables the triggering of all reporting messages which are supported by SAP Advanced Track and Trace for Pharmaceuticals. It provides input help and prefilled content for certain fields, for example, for costs or subject ID.

Regulatory Reporting: Create Notification for Russia

Show/Hide Selection Screen Display Log Program Documentation

Object Selection

Object Selection 1 Lots by GTINs

GTIN to

Global Location No. + Extension

Manufacturing Date (Days in Past)

Object Dispositions to be Excluded to

Lot Dispositions to be Excluded to

Search Clear

Result

Create Notification Display Last Reporting AIF Message Display Last Reporting Message

ObjCode	GS1 GTIN	Short Text	Qty	NotifType
---------	----------	------------	-----	-----------

Example -Report Regulatory Reporting: Create Notification for Russia

The report provides the following options for the selection of data to be reported:

- Lots by GTINs
- By Individual Lots
- By Top Level Objects

- By SGTINs

The result of the selection presents the aggregation of all objects found by the last reporting message for the Russian government server. The reporting message can only be sent for non-packed objects (the object must be on the top of the hierarchy). If at least one of the objects that were selected to be reported has been aggregated, then the report will show the corresponding error messages.

To trigger the reporting notifications, select objects that are relevant for processing and choose "Create Notification". The following list of possible notification types is then proposed for the selection:

- 251 – Refusal Sender
Register refusal of drugs by sender
- 252 – Refusal Receiver
Register refusal of drugs by receiver
- 253 – Change Information
Register changing of information
- 254 – Change Property
Registration information about change
- 300 – Transfer code to Custom
Registration information about marking code transfer to customs warehouse
- 311 – End of Packing
Register end of packing of medicines.
- 312 – Control Samples
Register selection of samples of medicinal products
- 313 – Product Emission
Register the release of finished products by a Russian Manufacturer
- 314 – Move to Release
Register information on the shipment of drugs for the production of finished products
- 315 – Return contract production
Registration information about products return to contract manufacturer
- 321 – Foreign Emission
Register the release of finished goods outside the territory of the Russian Federation
- 331 – Foreign Shipment
Register shipment of medicines to the Russian Federation from the manufacturer's warehouse
- 332 – Foreign Import
Register import of medicines into the Russian Federation
- 333 – Foreign Import Consignment
Register information on the output of finished products outside the territory of the Russian Federation
- 334 – Custom Move
Register information on the movement of drugs between customs control zones
- 335 – FTS Data
Register information on customs declaration
- 336 – Import Info

Registration information about terms and conditions of import to the Russian Federation of medicines labeled in customs warehouse

- 337 – Return Import
Registration information on logical return of medicines previously imported into the Russian Federation
- 341 – Receive Importer
Register acceptance of medicinal products at the warehouse by the importer after release from the customs zone during import into the Russian Federation
- 342 – Release in Circulation
Register information on the introduction of drugs into circulation in the territory of the Russian Federation
- 351 – Change Owner
Register information about the change of ownership of drugs
- 361 – EEU Shipment
Register information on the shipment of drugs to the Russian Federation from the Eurasian Economic Union countries
- 362 – EEU Import
Register information on importing from the Eurasian Economic Union
- 363 – EEU Release
Register information on the shipment of drugs to the Russian Federation from the Eurasian Economic Union countries
- 381 – Move to Owner
Register Transfer of medicines to the owner
- 391 – Return to Circulation
Register information on the return of drugs to circulation
- 415 – Move Order
Register medicines shipment from the seller's warehouse
- 416 – Receive Order
Register medicines receiving to the buyer's warehouse
- 417 – Move Return
Register information on the return of the suspended drugs
- 431 – Move Place
Register information on the movement of drugs between different addresses of activity
- 441 – Move Unregistered Order
Register Medicines Dispatch to a non-registered participant
- 442 – Receive Unregistered Order
Register information on the acceptance of drugs that were previously shipped to an unregistered place to the warehouse
- 461 – Move EEU
Register information on the export of medicines from the territory of the Russian Federation to the territory of the Eurasian Economic Union Member State
- 471 – State Dispatch
Register information about the shipment of drugs to the new owner within the state security

- 481 – Arbitration
Registration information about arbitration / dismissal
- 531 – Health Care
Register information about the issuance of a medicinal product for the provision of medical care
- 541 – Move Destruction
Register transfer of medicinal products for destruction
- 542 – Destruction
Register destruction of medicines
- 552 Withdrawal
Withdrawal from the circulation of medicines
- 701-Accept
Register of information on acceptance
- 702-Posting
Registration of information on posting
- 703-Posting Import
Registration of information on posting (import)
- 705-Not on Balance
Registration of information on medicines not in stock
- 915- Multi Pack
Register of aggregation information in many tertiary (factory, transport) packages
- 915- Multi Pack by SGTIN
Register of aggregation information for packing type BY_SGTIN
- 915- Multi Pack by SSCC
Register of aggregation information for packing type BY_SSCC

After the selection of required notification types, you are navigated to the specific screen that contains data that should be filled for composing the corresponding reporting notification. Reporting notifications [331,332,441,541](#) require additional input on SGTIN/BATCH level.

i Note

Almost all Russian notifications are supposed to be sent only for SGTINs that have been already utilized. Crypto codes are set as confirmed only if the utilization report has been processed successfully. So crypto codes confirmation check is implemented to prevent the sending of notifications in case crypto codes are not confirmed yet. To skip this check for the corresponding notification number, set up the Customizing parameter `RR_RU_SKIP_CC_CNFCHK` under REP application component.

i Note

The reporting notifications usually reflect the main steps (for example, commissioning, packing, shipping) in certain business process. It's expected by the reporting system (MDLP, ISMT) that the subsequent notification comes only if the prior notifications are accepted. The check of prior notifications is available in the solution and can be deactivated by customizing parameter `RR_RU_SKIP_PRVREPCHK` under REP application component.

3.5.3 Common Functions

3.5.3.1 Check Response Status

You can check the response status of the reporting event related to a crypto code from *Reporting Events* on the Data Cockpit .

You can use the action ► *Special Function* ► *Check Response Status* ► for any relevant reporting event in *Edit* mode. However, the result of choosing *Check Response Status* for the reporting event may vary.

Currently, reporting events with notifications sent to the following Russian reporting systems are supported:

- OMS (BR_CRYPTO_COMM/BR_CRYPTO_DECOMM)
- MDLP(RR_RU_<notification type>_FL
- ISMT (RR_RU_ISMT_<notification type>)

The reporting event must also have the status *1 Sent OK*.

The external reference ID field must be populated with the UUID value received from OMS or MDLP or ISMT. This is the OMS report, or the MDLP or ISMT document ID.

Depending on the reporting system, there can be the following final statuses:

- For MDLP: PROCESSED and REJECTED,
- For ISMT: CHECKED_OK and CHECKED_NOT_OK,
- For OMS: PROCESSED and REJECTED

These statuses are always final, but you can also customize additional response statuses as successful. For more information, see the General configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

A reporting event in final status is not relevant for the check of response status, the request will not be sent to the Russian reporting system.

3.5.3.2 Resend with Current Time

You can find this action in the drop-down list of *Special Functions*. The functionality can be used to resend the notification message with a replacement of initial processing time by current time. The functionality is only relevant for reporting to MDLP.

3.6 South Korea

Use

South Korea is introducing a track and trace legislation which includes serialization and legal reporting.

All trade items handled in South Korea are relevant for legal reporting although only a subset is relevant for serialization. In case trade items are not subject to serialization then only batch level (Lot level) information is reported. In case trade items are serialized, then in addition serialization information is reported.

In general, the South Korean approach consists of a comprehensive data exchange across the complete supply chain and different ways of connecting to and exchanging data with the KPIS portal. From a conceptual standpoint, the reporting shall support different business processes like for example, cancellations, return handling, corrections, and so on.

SAP Advanced Track and Trace supports a limited set of the overall concept. The functionality focuses on the main business of pharmaceutical manufacturers and currently supports straight forward processes and manual data upload to the KPIS portal. The solution may be enhanced at a later point in time to add more scenarios, features, and functions.

The country-specific functionality for South Korea consists of the following features:

- Supply Details Reporting (S01) for the following scenarios:
 - Reporting of shipment of goods (supply class 1 - *release / ship*)
 - Reporting of returns for already shipped goods (supply class 2 - *return*)
 - Reporting of disposal (scrapping) for returned goods (supply class 3 - *discard*)
- The following are the main features of Supply Details Reporting:
 - Creation of Master Template raw data in SAP ECC and integration to SAP Advanced Track and Trace system via BAPI
 - Creation of Master Template and Sub Template CSV files within SAP Advanced Track and Trace system and storage on a mapped file share
 - Manual upload of CSV files to KPIS portal or automated upload via customer specific Interface server (with ESB adapter) pulling data from file share

The following functionality is not supported as of today:

- Supply Details Reporting (S01) for exceptional cases: supply classes, 4-modification and 5-cancellation
- Inbound processing of reporting files to receive transfer supply details message (R01) or return detail notification (R03)
- RFID tag data request (S04 & R04)
- Receipts (R02)
- Direct connection to KPIS through ESB adapter as part of SAP Advanced Track and Trace for Pharmaceuticals

More Information

[Master Template Raw Data Integration with ECC Add-on \[page 191\]](#)

3.6.1 Master Template Raw Data Integration with ECC Add-on

The ECC add-on for SAP Advanced Track and Trace for Pharmaceuticals is capable of composing and sending master template raw data to the Advanced Track and Trace system where it is stored in a separate database table and where it is used for consistent creation of master template and sub template.

The master template raw data integration is triggered in case a Goods Issue is posted for an outbound delivery and the outbound delivery is shipped to a business partner located in South Korea. Furthermore the integration also is triggered in case a Goods Receipt is created for a return delivery and the goods are returned from a location in South Korea.

In case of non-split outbound delivery items, the item itself is integrated. In case of split items, only the sub items are integrated.

Prerequisites to enable integration of outbound/return delivery items as master template raw data item:

- The materials in ERP must have the serialization type *1-Lot managed* or *3-Serialized and Traced* and must be integrated to SAP Advanced Track and Trace as trade items.
- The batches used in the items or sub items must be integrated to SAP Advanced Track and Trace as Lots (LGTINs).

In case the above prerequisites are not met, then no master template raw data is integrated to SAP Advanced Track and Trace and no reporting will be possible for those items.

The master template raw data integration determines as much data as possible from the outbound delivery/return delivery or the corresponding sales order/return delivery and defaults certain parameter that cannot be determined in a standard way and which can be redefined within a BAId if wished (please find more information in the configuration guide for South Korea). Following parameters are defaulted:

- `KR_CNTRCT_TYPE` (Contract type): Defaulted with parameter `KR_CNTRCT_TYPE` from *General Customizing*. If not maintained there then defaulted with 1 (private contract). For more information regarding this General Customizing parameter, see the configuration guide for Korea at <http://help.sap.com/attp>.
- `KR_SUPPLY_CLASS` (Supply Class): In case triggered from outbound delivery defaulted with 1 (*release/ship*) and in case triggered from a return delivery then defaulted with 2 (*return*).
- `KR_SUPPLY_TYPE` (Supply Type): Defaulted with parameter `KR_SUPPLY_TYPE` from *General Customizing*. If not maintained there, then defaulted with 6 (provision to pharmaceutical provider). For more information regarding this General Customizing parameter, see the configuration guide for Korea at <http://help.sap.com/attp>.
- `KR_SUPPLIER_TYPE` (Supplier Type): Defaulted with parameter `KR_SUPPLIER_TYPE` from *General Customizing*. If not maintained there, then defaulted with 1 (*Manufacturer*). For more information regarding this General Customizing parameter, see the configuration guide for Korea at <http://help.sap.com/attp>.
- `KR_RECVR_NAME` (Receiver Name): default initial as parameter is optional
- `KR_KD_CD_NAME` (KD code name): default initial as parameter is optional
- `KR_UNIT_PRICE` (unit price incl VAT): unit price calculated from sales order net price multiplied with tax determined from sales order condition

3.6.2 Regulatory Reporting for South Korea

Use

The regulatory reporting for South Korea supports the creation of the Supply Details Reporting (SO1) files.

The Supply Details reporting consists of two files – Master Template and Sub Template. These templates are created together within the SAP Advanced Track and Trace system.

The master template originates from the previously integrated master template raw data integration and contains information on trade item and batch level. The sub template contains serial numbers and aggregation information for the corresponding table line of the master template. In addition, the sub template contains optional RFID information. However: RFID is not supported by the solution. The sub template is filled only if the Trade Items are serialized. The Master and Sub Template are linked through the [Sequence Number](#).

The reporting files are created in CSV format and stored in a mapped FileShare folder. The message processing is handled within an own AIF outbound interface `KR_SUPPL_D` (Korea Supply Details Reporting).

You can trigger this report using:

- An event message triggering the South Korea Supply Details Reporting rule within the rules framework
- A mass report that processes all the non-reported master template raw data table entries
- A manual trigger for selected master template raw data items within master template raw data display transaction

Prerequisites for successful reporting:

- As the reporting can be triggered from different places and events and as data of different granularity levels is reported together comprehensive consistency checks are done before the reporting is created. Especially in case a trade item is serialized, both master template raw data information and serialized trade item information must be available and consistent (for example, same batch and quantities) in order to enable reporting. In case of any mismatch the reporting cannot be created.
- In order to allow the consistency checks and the data mapping for the reporting, all serialized trade items to be reported must have a document relation to the triggering document (typically an outbound delivery or a return delivery). Such a document relation can be created by e.g. posting a picking or shipping event with document reference for the Serialized Containers (SSCCs) containing the serialized trade items (SGTINs).
- The following attributes have to be maintained on [Additional Attributes](#) tab for the trade item to be reported:
 - `RR_KR_CONTAINER_SIZE`: maintain 8 digit numeric value for container size. Attribute is mandatory for Supply Details reporting and will be mapped to attribute #11 package total unit / 포장내총수량 (규격).
- Both the business partner shipping the goods and the business partner receiving the goods must be maintained as business partners in the system and the GLNs used in [sold from location](#) or [sold to location](#) of master template raw data entry must be assigned to the business partner. Furthermore, for all business partners one valid company registration must be maintained for country South Korea on [Company Registrations](#) tab.
 - Activities
 - **Registration Type**: Use an appropriate type, ideally create a dedicated type for South Korea Business Registration Number. For more details, see [Business Partner \[page 11\]](#) for more details.
 - **Registration ID**: Add the 10 digit numeric South Korean Business Registration Number issued by South Korean authorities.

- **Valid from:** Ensure that valid from date is over when the first reporting happens. Reporting will not be successful in case registration is not valid.
- **Valid to:** Enter valid to date if the registration id shall not be used anymore for reporting.
- **Country:** Add **KR** for South Korea.
- **Region:** Do not add any region.
- As an alternative, if you do not work with SAP ECC, the Remote Function Module `/STTP/KR_MSTR_TMPL_RAW_MAINT` is also capable of accepting the 10-digit supplier business registration number in field `sold_fr_loc` and the 10-digit receiver registration number in field `sold_to_loc` of importing table `IT_MSTR_TMPL_RAW_HEAD`. So if you are not working with SAP ECC, you can provide the registration numbers directly.

More Information

[Mass Supply Details Reporting \[page 193\]](#)

[Master Template Raw Data Display \[page 194\]](#)

[Trigger Event Message through Rules Framework \[page 195\]](#)

3.6.2.1 Mass Supply Details Reporting

Use

This report allows to select non-reported master template raw data table entries and to trigger mass reporting for them. Supply Details Mass Reporting can be accessed through the *SAP Easy Access* screen under **► Advanced Track and Trace ► Repository Data Management ► Country-specific Functionality ► South Korea ► Korea Mass Supply Details Reporting ►**. Alternatively, you can use the transaction `/STTP/KR_SPPL_DET_MS`.

i Note

You can trigger the creation of supply details reporting only for items that are in the status *Unprocessed*.

Activities

Execute the report and optionally use the provided selection parameter to generate the *Supply Details Reporting*.

3.6.2.2 Master Template Raw Data Display

Use

This transaction allows to search and display master template raw data entries that have been integrated from SAP ERP or another external system using the BAPI. Within this transaction, you can also create the supply details reporting for selected table lines.

You can access the Master Template Raw Data Display through the *SAP Easy Access* screen under ► *Advanced Track and Trace* ► *Repository Data Management* ► *Country-specific Functionality* ► *South Korea* ► *Korea Master Template Raw Data Display* ▾. Alternatively, you can use the transaction `/STTP/KR_MSTR_TMP_RW`.

Execute the transaction and optionally use the provided selection parameter. The results pane displays the items or sub-items of South Korean outbound deliveries. You can then select one or more non-reported line items and create a supply details report for those line items.

i Note

You can trigger the creation of supply details reporting only for items that are in the status *Unprocessed*.

Activities

You can perform the following activities in the result pane:

- *Create Supply Details Reporting*: Select one or more items and choose this button.
- *Message AIF*: Select one or more item in the reporting status Reported and choose this button. The screen navigates to the AIF interface and displays the corresponding AIF messages.
- *Message CSV*: Select one or more items in the reporting status Reported and choose one of the options from the drop down menu of the Message CSV button. This button includes a drop down menu with four options:
 - *Display Master Template CSV*: Use this option to display the South Korean Master Template document in a popup. Only within this popup the content of the Master Template is displayed with headers in the logon language of the user. In addition, the Korean headers are displayed in the first table line (can be hidden via action button Hide additional header). Both headers contain the reference numbers used within the KPIS specifications (Form 24-2, Sheet A) to allow visual mapping of the data. However: The final CSV only contains the Korean headers without the reference numbers as specified by KPIS.
 - *Download Master Template CSV*: Use this option to download a CSV file of the master template document. This version does not contain any headers but just the data as required by KPIS.
 - *Display Sub Template CSV*: Use this option to display the South Korean Sub Template document. Only within this popup the content of the Sub Template is displayed with headers in the logon language of the user. In addition, the Korean headers are displayed in the first table line (can be hidden via action button Hide additional header). Both headers contain the reference numbers used within the KPIS specifications (Form 24-2, Sheet A) to allow visual mapping of the data. However: The final CSV only contains the Korean headers without the reference numbers as specified by KPIS.
 - *Download Sub Template CSV*: Use this option to download the CSV file of the sub template document. This version does not contain any headers but just the data as required by KPIS.

3.6.2.3 Trigger Event Message through Rules Framework

Use

Another mode of creating the master and sub templates is by using a reporting rule that triggers the creation based on an event message. To activate this rule, go to the *SAP Easy Access* screen under ► *Advanced Track and Trace* ► *Repository Customizing* ► *Rule Customizing* ► *Define Rules* . For more details on how to define rules, refer to [Rules Processing \[page 95\]](#).

The rule type `RR_KR_SUPPLY_DET` handles both the reporting of shipments of goods and returns for already shipped goods and the rule type `RR_KR_DISPOSE` handles the reporting of scrap / disposal for returned goods.

If you wish to trigger reporting via rules then please create appropriate reporting rules using the rule types.

Specifics For Reporting Of Shipments Of Goods And Returns For Already Shipped Goods

The triggering event message must contain the same document used in the master template raw data as a document reference.

When processing the reporting rule, the master template raw data integration must already be processed before. The rule first checks if there are corresponding master template raw data entries available for the items to be reported. If they are not available, the processing is stopped. If this processing sequence cannot be guaranteed, the recommendation is to trigger the reporting through different means (either manually or through the mass report).

Specifics For Reporting Of Scrap/Disposal For Returned Goods

Reporting of scrap / disposal for returned goods only can be triggered via a rule, as there is no business document for scrapping that results in a master template entry, which can be used as a starting point for the mass report, or the master template raw data display described above. So please configure a rule for business step *decommissioning* using the rule type `RR_KR_DISPOSE` and appropriate location group and country group assignments. The rule first checks whether the triggering event really is an object event with action *Delete*. If not, the rule is not processed. Furthermore, the rule checks whether the reported items have been reported as returned before to KPIS.

3.7 Turkey

Use


SAP Advanced Track and Trace for Pharmaceuticals supports country specific regulatory reporting and communication with the two Turkish Systems ITS and PTS. ITS is the official Track and Trace system. It

operates on item level only (no aggregations). ITS is used to collect, track and trace all relevant supply chain events of the single saleable units in the supply chain.

In addition, Turkey offers a second system, called PTS. PTS offers the supply chain partner the possibility to exchange information about transferred hierarchies. Usage of PTS is optional.

Communication with ITS and PTS is done through web service.

i Note

With SAP Note [2722890](#)  *Reporting TR: New version of ITS Services*, the latest version of the ITS web services have been enabled. Additionally, the behavior within the production notification was adjusted. Ensure that this note is installed on your system before reporting messages are triggered.

More Information

[Regulatory Reporting to ITS \[page 196\]](#)

[Data Exchange with PTS \[page 196\]](#)

3.7.1 Regulatory Reporting to ITS

The following messages are supported for Regulatory Reporting to Turkish ITS

- Production notification
- Product purchase notification
- Deactivation notification
- Dispatch notification
- Dispatch cancel notification
- Exportation notification
- Product return notification
- Product turnover notification
- Product turnover cancellation notification

All messages are triggered within event processing and processed as rules during rules processing. As a prerequisite the respective rules have to be configured. A rule type is delivered for each of the supported messages which can be used to configure the rule. For more information please refer to Rules Processing.

3.7.2 Data Exchange with PTS

The information exchange with PTS is bi-directional. The sender of the goods sends a web message containing the hierarchy data to PTS and the receiver of the goods can query this information from PTS and use it to commission and aggregate the objects in the own repository before receiving them. On this basis the receiver of the goods can just receive the transport package (typically pallet) and declare all contents as received.

Sending data to PTS

Within SAP Advanced Track and Trace for Pharmaceuticals sending data to PTS is handled just like sending regulatory reporting messages to ITS. So also here sending is triggered within event processing and processed as rules during rule processing. As a prerequisite the respective rule has to be configured. The rule type Send package information to Turkey (PTS) is delivered which can be used to configure the rules accordingly. For more information, see [Rules Processing \[page 95\]](#).

Query PTS Transfer-IDs from PTS-Helper Service

SAP Advanced Track and Trace for Pharmaceuticals offers the transaction `/STTP/TR_TRNF_POLL` to query available Transfer IDs from PTS. When you execute the report, it queries the data from PTS-Helper Service and saves it in the database table `/STTP/TR_TRF_ID`.

Retrieve and Process PTS Transfer Packs

SAP Advanced Track and Trace for Pharmaceuticals offers the transaction `/STTP/TR_TRNF_RECEIV` to retrieve PTS Transfer Packs from PTS Webservice and to process them. You can use this to define a Transfer ID manually or let the transaction retrieve and process all open Transfer-IDs retrieved by transaction `/STTP/TR_TRNF_POLL` previously.

i Note

This transaction only supports the CMO scenario, which means it converts each PTS-Transfer pack into an EPCIS document containing commissioning and aggregation events. The created EPCIS document is posted into the system asynchronously.

Cleanup PTS Transfer-IDs from repository

SAP Advanced Track and Trace for Pharmaceuticals offers the transaction `/STTP/TR_TRNF_CLEAN` for cleaning up the database table `/STTP/TR_TRF_ID`. You can specify the status of entries that should be deleted (for example, delete all obsolete entries).

3.8 United States of America

Use

The Drug Supply Chain Security Act (DSCSA) of United States of America has various introduction phases.

The first phase is the Lot level tracking phase and begins 2015 and will last till 2023. Companies participating in the supply chain process have to exchange lot level information in the form of Transaction Information (TI), Transaction History (TH) and Transaction Statement (TS).

November 2017 manufacturers will have to start serializing their pharmaceutical packages but still the data exchange on lot level only is relevant.

Only by November 2023 the members of the supply chain will need to exchange data on serialized item level.

SAP Advanced Track and Trace for Pharmaceuticals plans to support this phased introduction of serialization.

As of today, SAP Advanced Track and Trace for Pharmaceuticals supports the Lot level EPCIS message exchange together with persisting lot like objects and events within the repository system. In addition item based EPCIS message exchange is supported.

The initial implementation is done based on GS1 US Healthcare implementation guideline 1.1. Starting with SAP Advanced Track & Trace for Pharmaceuticals Feature Package 2, additionally GS1 US Healthcare implementation guideline 1.2 and GS1 US Healthcare implementation guideline 1.3 are supported.

Lot and Item level ASNs are not supported.

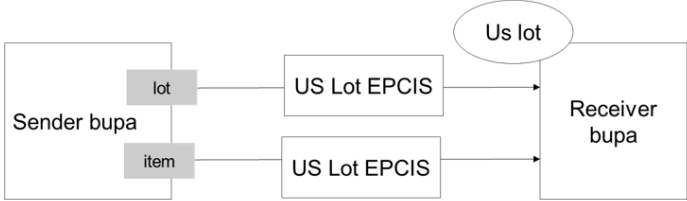
Specifics for Outbound Message Creation (Sending US Lot or Item Level EPCIS)

Both item and lot level EPCIS outbound message are triggered from the same rule `SR_INFORM_SUCC` (Supply Chain Partner Reporting: Inform Successor) within the rules processing. In case the rule is triggered, it first determines whether and which profile is maintained on the receiving business partner. As part of the profile maintenance, the following Notification Formats can be maintained for the receiving business partner:

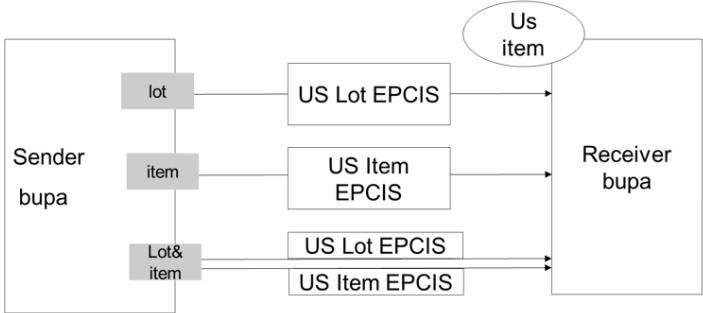
- EPCIS: outbound EPCIS message according to EPCIS 1.1 standard without any country specifics
- EPCIS with ILMD: outbound EPCIS message according to EPCIS 1.2 standard without any country specifics
- US Lot: US lot level EPCIS message according to GS1 U.S. Healthcare implementation guideline version 1.1
- US Lot 1.2: US lot level EPCIS message according to GS1 U.S. Healthcare implementation guideline version 1.2
- US Item: US item level EPCIS message according to GS1 U.S. Healthcare implementation guideline version 1.1
- US Item 1.2: US item level EPCIS message according to GS1 U.S. Healthcare implementation guideline version 1.2
- US Item 1.3: US item level EPCIS message according to GS1 U.S. Healthcare implementation guideline version 1.3

The receiving business partner is determined either through the event source / destination attribute destination owning party or through the sold to GLN of the outbound delivery assigned to the event which triggered the rule.

In case a receiving business partner has the Notification Format US lot, then this business partner gets US lot level EPCIS (Lot DSCSA) messages irrespective of whether the trade item is serialized or lot managed at the side of the sending business partner:



In case a receiving business partner has the Notification Format US Item then this business partner will get US item level EPCIS (Item DSCSA) messages in case the trade item is serialized. However in case the trade item is lot managed and not serialized then the receiving business partner will get US lot level EPCIS (Lot DSCSA) messages. In case the sending business partner is shipping a pallet with both serialized and lot level items then the receiving business partner will get two EPCIS messages, one containing the US lot level messages and one containing the US Item level messages.



Specifics for Inbound Message Processing (Receiving US Lot or Item Level EPCIS)

For inbound processing of the special U.S. tags the country content for U.S. has to be activated by adding the country US in the customizing Transaction /STTP/C_CTY_DM – [Country Content Activation](#).

More Information

[Lot DSCSA \[page 200\]](#)

[Item DSCSA \[page 202\]](#)

3.8.1 Lot DSCSA

Use

This feature provides an ability to send and receive EPCIS-Like Lot-Level message containing the Transaction Information, Transaction History, and Transaction Statement. The implementation supports GS1 U.S. Healthcare implementation guideline 1.1. and GS1 U.S. Healthcare implementation guideline 1.2.

Following types are supported:

Message from Manufacturer to First Wholesaler

Sender Side (Manufacturer)

- Prerequisites
 - LGTIN quantity must be aggregated to SSCCs to know quantity shipped
 - Shipping event for SSCCs
 - Further prerequisites given below:
- Outgoing lot level EPCIS message with following specific elements:
 - GS1USHC specific header and master data (including trade item and business partner master data for manufacturer and first wholesaler)
 - One object event with business step shipping for LGTIN (SSCCs are not contained in Message)
 - Event date: shipping date and time
 - Quantity list filled with LGTIN in EPC URI and quantity shipped
 - Source or Destination List
 - Source: GLN of own business partner of Manufacturer
 - Destination: GLN of receiving business partner (Wholesaler)

Receiver Side (First Wholesaler)

- Lot level EPCIS message is processed
 - LGTIN is created based on shipping event from manufacturer
 - Remark: as there is no inventory management done for LGTINs the quantity within the message is neglected. It is stored within the message XML though.

i Note

As there is no inventory management done for LGTINs, the quantity within the message is neglected. It is stored within the message XML though.

Message from First Wholesaler to Subsequent Business Partner in Supply Chain

Sender Side

Prerequisites

- LGTIN quantity must be aggregated to SSCCs to know quantity shipped
- Shipping event for SSCCs
Further prerequisites given below:

Outgoing lot level EPCIS message with following specific elements

- GS1USHC specific header and master data (including trade item and business partner master data. Business partner master data also contains the sending and receiving business partner and in addition all business partners that ever delivered or supplied the product batch and which are referred to in the transaction history below).
- Object events "n" with business step shipping for LGTIN (SSCCs are not contained in Message)
 - Transaction History: Object event 1-(n-1): shipping from supplying business partners to Wholesaler
 - Event date: 01.01.1970
 - Quantity list filled with LGTIN in EPC URI and quantity shipped
 - Source or Destination List
Source: GLN of supplying business partner
Destination: GLN of own business partner of wholesaler
 - Object event "n": shipping from Wholesaler to receiving business partner
 - Event date: shipping date and time
 - Quantity list filled with LGTIN in EPC URI and quantity shipped
 - Source or Destination list
Source: GLN of own business partner of wholesaler
Destination: GLN of receiving business partner

Receiver side (First Wholesaler)

- Lot level EPCIS message is processed
 - LGTIN is created based on shipping event from sender

i Note

As there is no inventory management done for LGTINs, the quantity within the message is neglected. It is stored within the message XML though.

Further Notes and Prerequisites

- The EPCIS Lot message can be configured by creating rules that use rule type `SR_INFORM_SUCC : Supply Chain Partner: Inform Successor`. Please use only this rule type for creating rules for business step shipping as all relevant information is available only in this context .
- Furthermore, please ensure that the shipping event contains a source and destination owning party.

- The sending supply chain partners must be maintained as business partner in the system and the address must be fully maintained.
- The receiving supply chain partner must be maintained as business partner in the system. The address must be fully maintained and this business partner must have the notification format US Lot assigned and a notification system must be maintained capable of receiving the web service.
- Furthermore, the following attributes have to be maintained at the trade item for a successful reporting:
 - Tab Details
 - National Trade Item Code
 - National Trade Item Code Type
 - Tab Additional Attributes

SC_US_STRENGTH	Supply Chain US HC: Strength
SC_US_MANUFACT_NAME	Supply Chain US HC: Manufacturer name
SC_US_DRUG_NAME	Supply Chain US HC: Drug name
SC_US_DOSAGE_FORM	Supply Chain US HC: Dosage form
SC_US_CONTAINER_SIZE	Supply Chain US HC: Container Size

More Information

For more information about Lot level tracking, see [Lot \[page 65\]](#).

3.8.2 Item DSCSA

This feature lets you send and receive EPCIS event messages at item level. The implementation is based on the following:

- GS1 U.S. Healthcare implementation guideline 1.1
- GS1 U.S. Healthcare implementation guideline 1.2
- GS1 U.S. Healthcare implementation guideline 1.3

Sender side: Compose and send an Item Level EPCIS event message that contains of the following elements:

- *N* commissioning events for all objects contained in the shipped hierarchy with following specifics:
 - The event time reflects the original time of the commissioning event, thus leading to a split of commissioning events in case the event time differs for same objects

- The <extension> tag contains an <ILMD> tag with GS1 U.S. Healthcare-specific trade item master data and lot data information. The trade item master data is derived from the trade item additional attributes (given below) and the lot information is derived from the lot assigned to the reported serialized trade items.
- **M** aggregation events that compose the shipped hierarchy with the following specifics:
 - The event time reflects the time of the shipping event. This is because the aggregation events of the shipped hierarchy are calculated as if the aggregation happened just before the shipping.
- One shipping event that contains the shipped objects with the following specifics:
 - GS1 U.S. Healthcare specific company master data for the source owning party (sold from business partner) and the destination owning party (sold to business partner)
 - GS1 U.S. Healthcare-specific source license list for source and target location states

In line with the GS1 U.S. Healthcare implementation guideline 1.2 and GS1 U.S. Healthcare implementation guideline 1.3, the trade item master data and the company master data have been moved from event extension to master data extension of EPCIS message header.

Receiver side: Process an incoming Item Level EPCIS message that contains the above mentioned U.S. Healthcare specifics:

- Commissioning events
 - The lot information of the `ILMD` tag is interpreted and the corresponding LGTIN is either created or just the reference between the serialized trade items (SGTINs) and the LGTIN is created.
 - The trade item master data information is not processed within standard event processing. However, the respective tags can be made available to the event processing, if required as a blob and processed there within one of the existing BAdIs.
- Aggregation events
 - No specifics
- Shipping events
 - The company master data and the source license list is not processed within standard event processing. However, the respective tags can be made available to the event processing, if required, as a blob and processed there within one of the existing BAdIs.

Further Notes and Prerequisites

- The EPCIS Item message can be configured by creating rules that use rule type `SR_INFORM_SUCC: Supply Chain Partner: Inform Successor`. Please only use this rule type for create rules for business step shipping as only in this context all relevant information is available
- Furthermore, please ensure that the shipping event contains a source and destination owning party.
- The sending supply chain partners must be maintained as business partner in the system and the address must be fully maintained. In case the business partner has state licenses assigned for the ship from location and the ship to location then these state licenses will be used as source license list during reporting.

- The receiving supply chain partner must be maintained as business partner in the system. The address must be fully maintained and this business partner must have the notification format `US Item` assigned and a notification system must be maintained capable of receiving the web service.
- Furthermore the following attributes have to be maintained at the trade item for a successful reporting:
 - Tab Details
 - National Trade Item Code
 - National Trade Item Code Type
 - Tab Additional Attributes

<code>SC_US_STRENGTH</code>	Supply Chain US HC: Strength
<code>SC_US_MANUFACT_NAME</code>	Supply Chain US HC: Manufacturer name
<code>SC_US_DRUG_NAME</code>	Supply Chain US HC: Drug name
<code>SC_US_DOSAGE_FORM</code>	Supply Chain US HC: Dosage form
<code>SC_US_CONTAINER_SIZE</code>	Supply Chain US HC: Container Size

3.8.3 Search EPCIS Messages in US DSCSA context

You can use the data management parameter `CAP_EPCIS_SHIP_VOCAB` (Component DM) in [General Customizing](#) to enable the capturing of EPCIS master data for shipping events.

You can search the stored DSCSA-related EPCIS messages using the report `/STTP/REP_US_MESS_SEARCH` (transaction `/STTP/REP_US_MES_SRC`) under [SAP Menu](#) > [Repository Data Management](#) > [Country-specific Functionality](#) > [United States of America](#).

You can search for EPCIS Messages using the following:

- Object Codes
- Product Information
- Location Information
- Sender or Receiver
- Processing Timestamp
- Shipping Event Timestamp.

You can use either object codes or a combination of the attributes as search criteria, as shown in the following table. You must specify the maximum number of hits.

Serialized Object:

Object Code (EPC)	Encoded Electronic Product Code (EPC) object identifier
-------------------	---

Attributes as search criteria:

Product Information

GS1 GTIN	GS1 Global Trade Item Number
Manufacturer name	Party Name for Trade Item Manufacturer
Product Name	Regulated Product Name
Dosage Form Type	Dosage Form Type
Strength Description	Strength Description
Net Content Description	Net Content Description
Serialized Item Count	Indicates the number of serialized trade items that exist in an EPCIS message.

Location Information

Source/Destination Category	Source/Destination Category
Source/Destination Type Number	Source/DestinationType Number
GLN	Global Location Number

GLN Extension	Global Location Number Extension
Location Name	Location Name
Street Name and House Number	Location Street Name and House Number
City	Location City
Country/Region Name	Location Country/Region Name
City postal code	Location City postal code
Country/Region Code	Location Country/Region Code

Communication

Sender or Receiver

Sender GLN	Global Location Number of the message sender
Sender GLN Extension	Global Location Number Extension of the message sender
Receiver GLN	Global Location Number of the message receiver
Receiver GLN Extension	Global Location Number Extension of the message receiver

Timestamps

Processing Date and Time	Processing time in user time zone
Shipping Event Date and Time	Event time in UTC

After executing the selection all messages matching the search criteria are displayed in the message tab.

Sender GLN	Global Location Number of the message sender
Sender GLN Extension	Global Location Number Extension of the message sender
Receiver GLN	Global Location Number of the message receiver
Receiver GLN Extension	Global Location Number Extension of the message receiver
Processing Time	Specifies the time which the event has been processed. The processing time is always shown in user time zone.
Shipping Event time	The Event time is always shown in UTC.

You can see all products contained in the selected message in the [Product](#) tab. You can see the number of serialized items per product under [Serialized Item Count](#).

GS1 GTIN	GS1 Global Trade Item Number
Manufacturer name	Party Name for Trade Item Manufacturer

Product Name	Regulated Product Name
Dosage Form Type	Dosage Form Type
Strength Description	Strength Description
Net Content Description	Net Content Description
Serialized Item Count	Indicates the number of serialized trade items that exist in an EPCIS message.

You can see the location-specific information in the [Location](#) tab.

Source/Destination Category	Source/Destination Category
Source/Destination Type Number	Source/DestinationType Number
GLN	Global Location Number
GLN Extension	Global Location Number Extension
Location Name	Location Name
Street Name and House Number	Location Street Name and House Number

City	Location City
Country/Region Name	Location Country/Region Name
City postal code	Location City postal code
Country/Region Code	Location Country/Region Code

You have the following options in the [Message](#) tab.

- [Display Message](#): Displays the XML of the currently selected EPCIS message.
- [Download Marked Messages](#): Download all selected messages as an XML file to a customized folder on the server.
- [Download All Messages](#): Executes the selection criteria of the application again without considering the maximum hits limit. The messages are downloaded as XML files to a customized folder on the server.

The name of the files is created based on the following template:

download date – download time -EVTTIME- event timestamp – count .XML

The logical folder where the files are downloaded can be customized with the general customizing parameter `/STTP/REP_US_DSCSA_FILE_PATH_O`. For more information, see the customizing documentation.

The download is executed in background. You can see the log file in the application log (Object `/STTP/` and Subobject `REP`).

4 Generic Reporting

Generic reporting in SAP Advanced Track and Trace for Pharmaceuticals lets you configure output content per country and market and send it to an endpoint provided by SAP Information Collaboration Hub for Life Sciences. Depending on the requirements from a third party, SAP Information Collaboration Hub for Life Sciences picks the data and maps it into the output document with a specified format to support, for example, regulatory reporting of certain country.

The data exchange with SAP Information Collaboration Hub for Life Sciences is triggered by a separate reporting UI. It can also be triggered by reporting rules called during the events processing. As a prerequisite you must configure the respective rules.

It is foreseen that additional scenario specific guides are delivered together with corresponding services provided by SAP Information Collaboration Hub for Life Sciences.

4.1 Reporting of Product Data

As a prerequisite to report product master data for some country, generic reporting rule variant should be configured accordingly, and the country should be maintained as country for product master data reporting. For configuration details, see the respective configuration guide for SAP Advanced Track and Trace for Pharmaceuticals provided on the SAP Help Portal at <http://help.sap.com/attp>.

- Transaction /STTP/GR_PRD_MD_REP – *Product Master Data Reporting* enables the selection and reporting of the products relevant for the generic reporting to the specified country.
The maximum number of products that should be reported in a single notification can be specified. In this case, all relevant products will be divided into several notifications of one generic rule variant.
A delay in seconds between the creation of notifications can be specified.
You can also activate the option to process the data asynchronously. Asynchronous processing does not allow the report to log all main steps of creation of the notifications. The result of such processing should be checked in the corresponding reporting event or in AIF log.
Via this transaction the trade item can be reported multiple times.
You can access this transaction via the SAP Menu under ► *Advanced Track & Trace* ► *Repository Data Management* ► *Generic Reporting* ◻.
 - *Selection Criteria: Product Selection*
 - GTIN
 - Location
 - Country
 - Product Category
 - *Selection Criteria: Processing options*
 - Number of Products per Notification
 - Send Notifications with Delay (Seconds)

- Send Notifications Asynchronously
 - Log Level
- Transaction `/STTP/GR_PRD_MD_DISP`- *Product Master Data Reporting Display* can be used for displaying trade items previously reported by transaction `/STTP/GR_PRD_MD_REP`.
You can access this transaction via the SAP Menu under **► Advanced Track & Trace ► Repository Data Management ► Generic Reporting ▾**.
- *Selection Criteria*: Product Reporting Information
 - GTIN
 - Location
 - Country
 - Product Category
 - Reported By
 - Reporting Date/Time From
 - Reporting Date/Time To
- *Result List*: Displays result of the selection
 - One table line reflects one reporting of the trade item. As trade items can be reported multiple times, the same trade item may appear multiple times in the list.
 - Most attributes of the result list are common trade item attributes. The following attributes are specific to the master data reporting: *Reporting Status, Reporting Date and Time*.
 - Reporting status: The reporting status of a trade item is updated when reporting is submitted to endpoint and with every asynchronous response from the receiver.
 - Reporting date and time: Date and time when reporting was triggered.
- *Actions*
 - *Show Trade Item*: Navigates to the trade item.
 - *Show Reporting Event*: Navigates to corresponding reporting event.

4.2 Generic Reporting Notification Status Check

You can use transaction `/STTP/GR_STAT_CHECK` - *Status Check Query Report* to check the actual status of the notification processing in the target system. Requests containing identifiers of notifications are sent for a status check.

You can specify the maximum number of identifiers that should be sent per request. In this case, the list of identifiers is divided into different requests for the same generic rule variant.

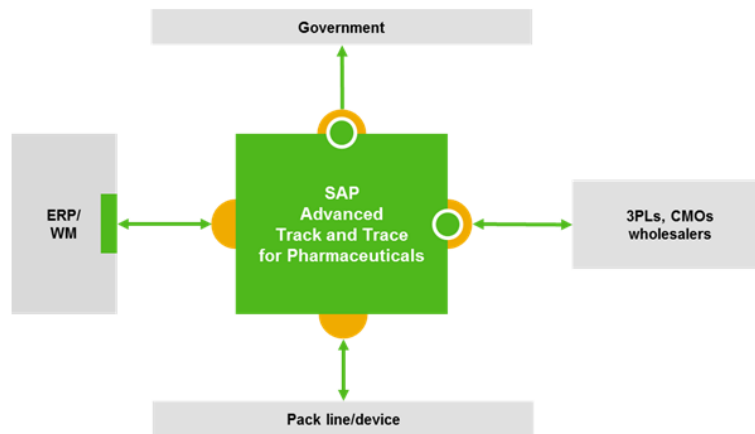
You can specify a delay in seconds between the sending of the requests.

You can access this transaction via the SAP Menu under **► Advanced Track & Trace ► Repository Data Management ► Generic Reporting ▾**.

5 Deployment Models

Definition

SAP Advanced Track and Trace for Pharmaceuticals supports different deployment models. The recommended deployment is to have one Central Repository System only and connect all external systems directly to this one central repository.



Use

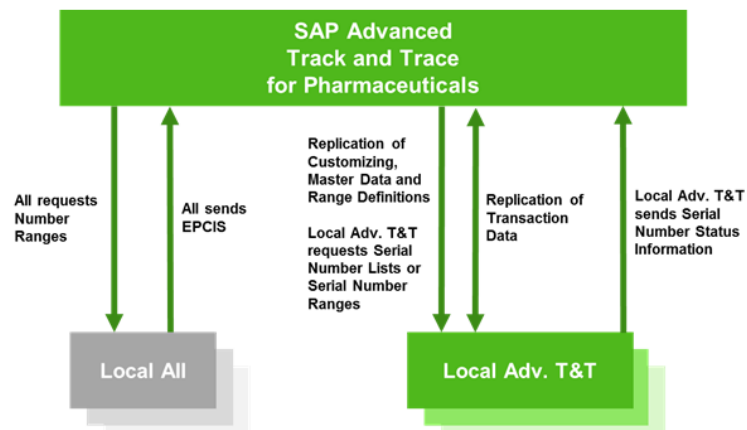
Besides this SAP Advanced Track and Trace for Pharmaceuticals supports the following alternative deployment models:

- Mixed deployment with one central SAP Advanced Track and Trace for Pharmaceuticals instance and one or more local Auto-ID Infrastructure (All) instances
- Distributed deployment of one central SAP Advanced Track and Trace for Pharmaceuticals instance and one or more local SAP Advanced Track and Trace for Pharmaceuticals instances

In case of mixed or distributed deployment the external systems can be connected either to the central SAP Advanced Track and Trace for Pharmaceuticals instance or to the local All instances or the local SAP Advanced Track and Trace for Pharmaceuticals instances dependent on where the actual execution takes place. Government reporting however can be done only from the central instance. The necessary transactional data therefore needs to be replicated to the central instance.

Integration

The integration between central SAP Advanced Track and Trace for Pharmaceuticals and local SAP Advanced Track and Trace for Pharmaceuticals instances is much more comprehensive compared to the integration with local Alls.



More Information

[Mixed Deployment \[page 214\]](#)

[Distributed Deployment \[page 214\]](#)

5.1 Mixed Deployment

Use

Mixed Deployment with One Central SAP Advanced Track and Trace for Pharmaceuticals Instance and One or More Local Auto-ID Infrastructure (All) Instances

Integration

The integration includes the following limited capabilities

- **Serial Number Ranges**
The local All instances can request serial number ranges from the Central SAP Advanced Track and Trace for Pharmaceuticals instance and handle them just like they were distributed from a central All/OER instance. From Central SAP Advanced Track and Trace for Pharmaceuticals point of view the Local All is treated as a consumer of serial numbers identified by a system ID. Therefore within the Central SAP Advanced Track and Trace for Pharmaceuticals a system needs to be created which represents the local All. Whenever the local All requests a number range it needs to do this request with the system id in order to map this range request to the local All.
- **EPCIS Event Messages**
All is able to create and publish EPCIS event messages based on rules to SAP OER. SAP Advanced Track and Trace for Pharmaceuticals is capable of processing EPCIS event messages.

5.2 Distributed Deployment

Use

Distributed deployment of one Central SAP Advanced Track and Trace for Pharmaceuticals Instance and one or more local SAP Advanced Track and Trace for Pharmaceuticals Instances

After setting up the landscape by defining your local instances, you have to replicate the Customizing and master data from the central to the local systems. You have to also configure the replication of transactional data in the rules maintenance in all your systems.

Now, within the local instances, you can start requesting serial numbers and ranges from the central instance, and use those numbers to respond to serial number requests issued to the local system (for example, those coming from a production line connected to the local system or from a CMO connected to the local system).

After production, the line can send EPCIS (or PML) messages to the local system where the messages are processed. Now further logistics events can be captured in the local system (for example, receiving, storing, picking, shipping). At the configured events (typically shipping), the data is replicated to the central instance via the replication rule. There, for example, regulatory reporting is triggered (if relevant). And if relevant, the data is replicated to other local systems if the ship to location is under the responsibility of another local

system. If there is an issue during replication of data, the replication message is introduced either in an outbound replication queue or an inbound replication queue (depending on the error type). Moreover, an error message is introduced into a dedicated AIF interface which can be used as a trigger to alert a user about the integration error.

Integration

The integration between the central SAP Advanced Track and Trace for Pharmaceuticals and local SAP Advanced Track and Trace for Pharmaceuticals instances is much more comprehensive compared to the integration with SAP Advanced Track and Trace for Pharmaceuticals and local AIs described in chapter [Mixed Deployment \[page 214\]](#).

A crucial part in data replication between central and local SAP Advanced Track and Trace for Pharmaceuticals instances is to define the responsibilities of the central and local instances. This assignment of responsibilities is done in the central SAP Advanced Track and Trace for Pharmaceuticals instance by assigning plant locations to the master data object system representing the local SAP Advanced Track and Trace for Pharmaceuticals instance. As a prerequisite, you have to define a master data object system for all local SAP Advanced Track and Trace for Pharmaceuticals instances. All plant locations known to the central instance and not assigned to a local instance are automatically under the responsibility of the central instance. You can define this in transaction `/STTP/DISTR_LANDSC` - *Local System Landscape Configuration*, where you can also trigger the replication of Customizing, master data and transactional data especially if you initially set up a new system constellation, or if you changed the responsibility between your local instances. If the responsibilities in the landscape did not change, then the normal reports for replication of Customizing and master data and the rule to replicate transactional data shall be used as described below. For more information, see the report documentation.

Furthermore, you can display and review the currently valid assignment of plant locations to local instances in transaction `/STTP/CSYST_LOC` - *Display Location Assignment to Local Systems*. Both transactions can be found in the SAP Menu, under **► Advanced Track and Trace ► Repository Customizing ► System Customizing ► Local Systems ►**.

The integration includes the following capabilities:

- [Replication of Customizing \[page 215\]](#)
- [Replication of Master Data \[page 216\]](#)
- [Replication of Transactional Data \[page 219\]](#)
- [Deployment of Central and Local Instances \[page 220\]](#)

5.2.1 Replication of Customizing

Central and Local Advanced Track and Trace instances must have an aligned customizing in order to allow seamless data exchange. Therefore the report `/STTP/DISTR_CUST` Replication of Customizing handles the replication of customizing from the Central SAP Advanced Track and Trace for Pharmaceuticals instance to the Local Instances. The customizing tables relevant for replication are documented in table `/STTP/REPL_CUST`. As it is a table with delivery class "S" (system table), the content will be delivered together with the solution.

5.2.2 Replication of Master Data

Integration

Master data which is needed in all SAP Advanced Track and Trace for Pharmaceuticals instances must be created in the Central Instance and then is replicated to the relevant Local Instances. Replication can be done either online or through a report. Furthermore, you can trigger replication of master data when setting up or changing your distribution landscape.

i Note

Online replication can be configured in general customizing. . For more information, see the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>. The report for master data replication can be accessed under `/STTP/DISTR_MD` *Replication of Master Data*.

If a master data object has been replicated from a central instance, it carries a *Replicated* indicator in the local system. Entities with the *Replicated* indicator set cannot be changed. In other words, master data typically is maintained and changed in the central system and then replicated to the relevant local instances where the data is read-only, and can be just used as is.

Within local instances master data can be created locally as well but it cannot be replicated to other instances. Master data can be only changed within the instance where it has been initially created (typically the central instance). Transactional data created for locally created master data objects is under the responsibility of the local SAP Advanced Track and Trace for Pharmaceuticals instance and will not be replicated to the central instance. This also means that such goods can never be exchanged with the central instance or other local instances. Moreover, no regulatory reporting can be done as this is only done from the central instance.

The following master data objects are replicated:

- Business Partners
- Locations
- Trade Items
- Systems

In case of issues during replication (for example inactive or system connection unavailable) the replication data is written into a replication queue either in the sending system (for example, no connection) or in the receiving system and alerts are created in AIF interfaces DISTR_IN or DISTR_OUT (both namespace /STTDI). The user responsible can be alerted via AIF capabilities and can resolve the root cause, and then restart the queue. For more information, see [Queue Mechanism for Replication \[page 221\]](#).

More Information

[Replication of Business Partner \[page 217\]](#)

[Replication of Locations \[page 218\]](#)

[Replication of Systems \[page 219\]](#)

[Replication of Trade Items \[page 218\]](#)

5.2.2.1 Replication of Business Partner

Use

Business Partners are replicated to the relevant Local Instances.

Process

By default all business partners within a Central SAP Advanced Track and Trace for Pharmaceuticals Instance are replicated to all Local Instances. You can filter the replication based on a BAdI.

In case of replication of active business partners, potentially assigned range definitions for SSCCs are additionally selected and replicated to the respective local SAP Advanced Track and Trace for Pharmaceuticals instance.

i Note

Replicated range definitions will carry the Range Definition Origin External. This means that within the Local Instance you cannot work with the range definition in the same way like in the central instance. The central instance is owning the range definition and basically it distributes data to the local range definition on request. So within the local range definition you will have to do either a serial number range request or a serial number list request to the central instance.

❁ Example

In case of range definitions for SSCCs, which are typically numeric and non-randomized, a range definition with origin external is created and in addition one range in status protected with range type range managed is created. Range type range managed means that this range is only capable of dealing with ranges and not with serial numbers. Status protected means that the range cannot be manipulated within this system. A protected range neither can be used productively nor it can be split etc. The only thing that can be done is requesting a range from the Central Instance. The Central Instance now provides a range which is added below the range definition by splitting the protected range and inserting the supplied range in status created. This range now can be manipulated (e.g. split, assign systems etc) and it can be set to active to be used productively.

In addition the Business Partner Replication also handles the replication of the Global Company Prefixes (GCPs). In case the Business Partner is integrated via the master data replication report then all GCPs are transferred. In case the Business Partner is integrated online then only the GCPs of the particular Business Partner are integrated.

i Note

Please run the master data integration report regularly for business partners to ensure that all needed GCPs are replicated to the Local Instances.

5.2.2.2 Replication of Locations

Use

Locations are replicated to the relevant Local Instances.

Process

As mentioned in the previous topic, a Local Instance is responsible for one or more plant level locations and their sub-locations. This definition is done by assigning one or more plant level locations to the Local Instance within the Customizing activity `/STTP/DISTR_LANDSC` - [Local System Landscape Configuration](#) and the current setup can be displayed via transaction `/STTP/CSYST_LOC` [Location Assignment to Local Systems](#).

By default all locations within a Central SAP Advanced Track and Trace for Pharmaceuticals Instance are replicated to all Local Instances. You can filter the replication based on a BAAdl.

5.2.2.3 Replication of Trade Items

Use

Trade items are replicated to the relevant Local Instances.

Process

As mentioned in the previous topic, a Local Instance is responsible for one or more plant level locations and their sub-locations. This definition is done by assigning one or more plant level locations to the local instance in the Customizing activity `/STTP/DISTR_LANDSC` - [Local System Landscape Configuration](#) and the current setup can be displayed via transaction `/STTP/CSYST_LOC` [Location Assignment to Local Systems](#).

By default all trade items within a Central SAP Advanced Track and Trace for Pharmaceuticals Instance are replicated to all Local Instances. You can filter the replication based on a BAAdl.

In case of replication of active trade items, potentially assigned range definitions are also replicated to the respective local instance .

i Note

Replicated range definitions will carry the Range Definition Origin *External*. This means that within the Local Instance you cannot work with the range definition in the same way like in the central instance. The central instance owns the range definition and basically it replicates data to the local range definition on request. So within the local range definition you will have to do either a range request or a list request to the central instance which depends on the range type of the underlying range created. In case of range type range

managed (only relevant in case range definitions which are numeric and non-randomized) a range request has to be made and in case of range type list managed a list request has to be made.

5.2.2.4 Replication of Systems

Use

The details of Replication of Systems are given below.

Process

By default all systems within a Central SAP Advanced Track and Trace for Pharmaceuticals Instance are replicated to all Local Instances. The only exception: the system corresponding to a Local Instance is not replicated to that Local Instance. You can filter the replication based on a BAdI.

5.2.3 Replication of Transactional Data

In case SAP Advanced Track and Trace for Pharmaceuticals is run within a distributed landscape, transactional data needs to be replicated between these instances in order to support cross location logistics processes.

At one point in time only one instance has control over a serialized object. Within this instance the object will have the status active and events can be received for these object. Other instances may know the object but will not accept any events for this object as the object status is visible (read only) there.

The control over an object instance is managed via the current business location of the object. Whenever an event is processed it is checked whether the business location of the object changed to a location which is managed by another instance and if so the object is replicated to the other instance and the responsibility to change the object is transferred as well to the other instance. This means that in the source instance the object status will change to visible (read only) and in the target instance the objects will be created in status active. When handing over responsibility from one local instance to another local instance this is always done via the central instance.

The data replication is triggered and managed via the rules framework just like for any other outbound communication. The rule type `BR_DISTR_DM` handles the replication. So the trigger for the replication always is an event.

In case of issues during replication (for example, master data object unknown or inactive or system connection unavailable), the sending system will switch the object status to 'read-only' and then enter the replication message into a *replication queue* either in the sending system (for example, no connection) or in the receiving system (for example, master data issue) and alerts are created in AIF interfaces `DISTR_IN` or `DISTR_OUT` (both namespace `/STTDI`). The user responsible can be alerted via AIF capabilities and now can resolve the root cause and then restart the queue. For more information, see [Queue Mechanism for Replication \[page 221\]](#).

When replicating objects, also the related transactions, Lots and relevant events are being replicated.

In case of commissioning events in the local SAP Advanced Track and Trace for Pharmaceuticals, the replication of serialized trade items is triggered from the local SAP Advanced Track and Trace for Pharmaceuticals system to the central SAP Advanced Track and Trace for Pharmaceuticals. Before corresponding objects are created in the central SAP Advanced Track and Trace for Pharmaceuticals, their serial numbers are commissioned as well. Then if BR_CRYPTO_COMM rule is configured, crypto codes are automatically confirmed to OMS and are overwritten with zeroes.

i Note

In a replication scenario, serial numbers are automatically commissioned in central SAP Advanced Track and Trace for Pharmaceuticals only on an initial commissioning event.

Transactions and their relation to objects are replicated for visibility reasons but the responsibility is never handed over to another instance. When replicated a transaction will always have status visible (read only). The update of transactions is be rejected in case the status of the transaction is visible (read-only). This also affects creating or removing relations to such transactions.

Lots are replicated for visibility reasons but the responsibility is never handed over to another instance. When replicated a lot always will have the status visible (read only). In case an event is received for an LGTIN object that is visible only, the event is accepted but no updates are made to the LGTIN object. An according warning is logged.

As mentioned above only relevant events are replicated by default. For the objects to be replicated all related events are determined. These events will be split into two categories:

- Mandatory events which are required to represent a consistent history. This includes the initial commissioning event, potentially decommissioning events (objects events with action ADD or DELETE) as well as aggregation events that change the hierarchy (aggregation events with action ADD or DELETE).
- Optional events which may be replicated additionally to represent a complete history. This includes all remaining events.

By default only mandatory events will be replicated. A BAAdl allows to add events from the group of optional events to the list of relevant events to be replicated.

For all events to be replicated all affected objects that are not included in the list of objects to be replicated are determined.

These additional objects will be replicated for information purposes in status visible (read only). This means that the control stays with the source system (no status change).

5.2.4 Deployment of Central and Local Instances

This topic explains the deployment of Central and Local SAP Advanced Track and Trace for Pharmaceutical instances. Basically this deployment is project work. For detailed steps to configure the systems, please refer to the configuration guide and the *Administrator's Guide with Upgrade Information* at <http://help.sap.com/attp>.

The most important cornerstones of the deployment are as follows:

- Systems and logical system groups
- Connect Central Instance and Local Instances through RFC
- In General Customizing declare the central instance as Central Instance (**SYSTEM_ROLE = C**) and the Local Instances as local ones (**SYSTEM_ROLE = L**).

- Define master data objects *System* in central SAP Advanced Track and Trace for Pharmaceuticals
 - Central and local systems with logical system as system name, system type SAP Advanced Track and Trace for Pharmaceuticals Instance, communication type RFC and logical system as logical system
- Define the location assignment to local instances within customizing transaction `/STTP/DISTR_LANDSC` - *Local System Landscape Configuration*.
 - If you are setting up a new local instance or if you are changing the plant location to system assignment within your landscape then please also make use of the options to replicate customizing and master data. In case there is affected transactional data which has to be replicated based on the new/changed setup then also choose the option to replicate transactional data.
 - For more information, see the report documentation.
 - The current setup can be displayed via transaction `/STTP/CSYST_LOC` *Location Assignment to Local Systems*.
- Setup rules processing in all instances to replicate transaction data by creating rules with rule type `BR_DISTR_DM`
 - Do not forget to do this also in local instances as transaction data will be replicated back not only for regulatory reporting, but also when moving goods from one plant location to another, with different responsible SAP Advanced Track and Trace for Pharmaceuticals instances.
- Follow the following steps to ensure consistent master data in your landscape:
 - Either configure master data to be replicated online in general Customizing by activating parameters `ONLINE_BUP`, `ONLINE_LOC`, `ONLINE_PROD`, `ONLINE_SNR_NSP` and `ONLINE_SYST` (For more information, see the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>).
 - Or if online replication is not activated, run transaction `/STTP/DISTR_MD` - *Replication of Master Data* whenever you are changing or adding master data, to ensure that all needed master data is consistently available in all systems of your landscape.
- Whenever you change Customizing, run transaction `/STTP/DISTR_CUST` - *Replication of Customizing* to ensure that all systems in your landscape are configured consistently, to ensure a seamless functioning and data exchange.

5.2.5 Queue Mechanism for Replication

In a distributed SAP Advanced Track and Trace for Pharmaceuticals landscape with a central and one or more local systems, the online replication of master data is triggered when configured in general Customizing.

The transactional data is replicated via replication rules that have to be configured in the rules maintenance. The replication logic takes care to make all relevant transactional data known in the other relevant systems of the landscape. It further controls the status of the replicated objects so that they are only active in exactly one instance at a time, and visible in all other instances.

Unfortunately, reality proved that during this asynchronous processing there occurred errors which resulted in a failure of the replication (for example, because the system connection between local and central instance was temporarily not available, or because master data was not consistently synchronized). So, although replication is supposed to happen, it is stopped due to an error. The replication is cancelled and, for transactional data, results in incorrect object status values within the distributed system landscape.

To properly deal with such error cases, *replication queues* have been introduced. Whenever an error happens during data replication, the replication message is introduced either into the outbound replication queue of

the sending system, or the inbound replication queue of the receiving system. Which queue the replication message is entered in depends on the error case. Errors which deal with connectivity or interface issues are typically stored in the outbound interface and errors which occur due to logical issues (for example, missing master data) are typically stored in the inbound interface.

During a replication process, replication messages are logged to AIF within own outbound/inbound interfaces. If the replication fails due to an error, in addition to entering the replication message to the replication queue, error messages are added to the respective AIF interface which can be used to notify a user about the replication error and a new entry in the replication queue. The user now can analyze and solve the issue that caused the replication failure and then restart or reprocess the queue.

The reprocessing can be triggered either from the AIF error monitor via action *Restart* or from the separate transaction *Replication Queue Monitor*. The *Replication Queue Monitor* can be launched from AIF via a custom function or directly via transaction `/STTP/DISTR_QUEUEE - Replication Queue Monitor` or can be accessed from the SAP Menu, under **► Advanced Track & Trace ► Repository Data Management ► Distributed Systems ▾**.

The existence of replication queues (outbound/inbound) for some target/source system will block future replication from/to the same system by creating new corresponding queues. During online replication of master data, such queues need to exist for the same objects as the ones subject to replication in order for the replication process to fail. During the online replication of transactional data, it is not checked whether specific objects stored in queues.

The Replication Queue Monitor allows restarting of a replication process for the stored data, as well as reverting by returning the control over an object instance (status *Active*) back to the source system.

In case of a replication failure, the complete RFC interface data is written as a serialized BLOB into queues for later reprocessing.

Use

The queues are stored within own tables: `/STTP/RFCQIN` for inbound and `/STTP/RFCQOUT` for outbound.

Outbound Queues are written in the source system for cases where the sending of replication data fails due to some technical connection or interface issue. Typically, this would be a network failure or logon failure of the RFC connection.

If the data has been transferred correctly (technically received), the local messages as well as the messages from the receiver are logged to AIF and no queue is written. If the data has **not** been transferred correctly (technical error), an outbound queue is written, and the local messages as well as a specific outbound error message are written to AIF. If an outbound queue entry with the same target system exists, the replication data is **not** processed, but added as a new outbound queue entry.

Inbound Queues are written in the target system for cases where the receiving and posting of replication data fails due to some logical issue. Typically, this would be an inconsistency in related business objects like missing GTIN or status of the same.

If the data has been posted correctly (logical checks successful without errors), the local messages are logged to AIF and no queue is written. If the data has NOT been posted correctly (for example, logical checks not successful and such errors exist) none of the changes are committed to the DB, an inbound queue is written and the local messages as well as a specific inbound error message is written to AIF. If an inbound queue entry

with the same source system exists (for master data contained objects are additionally checked), the data is NOT processed but added as a new inbound queue entry.

The *Replication Queue Monitor* can be called from the AIF error monitor transaction by calling AIF custom function for replication queue messages. In this case, the selection is executed based on the corresponding queue and the corresponding queue is displayed.

When calling the Replication Queue Monitor directly, you can filter based on the following:

- Queue direction (Inbound/Outbound)
- Source/Destination System (source for inbound /destination for outbound)
- Single Queue & Queue Internal ID (for selection and display of one specific queue)
- (Queue) Status – the possible values are as follows:
 - *1 - In Process*
 - *2 - Failed Logically*
 - *3 - Failed Technically*
 - *4 - Blocked*
 - *5 - Deleted*
- Date + Time Range (update timestamp of queue)
- Include Suspended, Include Deleted

Choose *Search* to execute the selection.

The report lists the queues selected according to the selection criteria within an ALV grid (display only).

Multi-selection on the ALV grid is enabled to allow users to mark and execute actions on multiple selected queues.

If actions are executed against multiple queues, the action against the queue data is executed in the sequence of their creation timestamp.

In case of reprocessing, the AIF message needs to be updated accordingly: the stored message is overwritten.

Following actions are possible for selected queues in the ALV grid:

- **List Objects:**
Lists the replication objects for the selected queue within an ALV grid (display only) in a separate popup. For queues with Replication Object Type DISTR_DM - Repository Objects, one can search for specific objects by filtering via the object code.
A list containing all replication objects corresponding to the selected queue can be exported (CSV format). This action can be only executed on a single queue.
- **Restart:** Dropdown menu entries *Restart Selected* and *Restart All*.
- **Restart Selected:** The payload data is read from the selected queues and the intended processing of the data is repeated (that is, RFC call or posting). In case of success, the queue is deleted from DB. In case of failure, the queue counter is updated and the status is set to the according failure status.
Restart can be executed if a queue is not suspended and has one of the following status values:
 - *1 - Failed Logically*
 - *2 - Failed Technically*
 - *3 - Blocked*The log entry in the queue is updated with the current messages for retrace purposes.
- **Restart all:** All inbound/outbound queues for the selected source/destination system (when nothing is entered, then all source/destination systems) are restarted (see **Restart Selected**). The processing logic

will detect any potential queue that has been added during processing, and also process such waiting queues.

- **Revert Selected:**

This action can be only executed for queues with Object Type DISTR_DM.

Upon replication start, the source system lost control over the replication objects (object status changes from 'active' to 'visible (read only)'). This change can be reverted here.

The payload data is read from the queue and a logic is triggered to revert the changes that were done in the source system due to the replication:

- Inbound queues: An RFC function on the source system is called to hand data back to the caller for reverting the changes in the source system that were done due to the replication call.
- Outbound queues: A logic is triggered to re-establish control of the replicated objects (native = control handover).

In case of success, the queue is deleted from DB. In case of failure the status is set to the relevant failure status.

Revert can be executed if a queue is not suspended and has one of the following status values:

- *1 - Failed Logically*
- *2 - Failed Technically*
- *3 - Blocked*

The log entry in the queue is updated with the current messages for retrace purposes.

- **Delete Selected:** The queue entry is marked as deleted, that is, status is set to *4 - Deleted*. Deleted queues do not block any other replication call.

Delete can be executed for all queue statuses except *4 - Deleted*.

No actions can be executed on queues marked as *Deleted*.

The log entry in the queue is not updated.

- **Suspend:** The queue is marked as non-blocking and subsequently does not block additional replication calls any more. This mechanism is only an emergency option in cases where additional data is urgently required for operations while the fix of errors in ongoing for the failed data. Suspend can be executed if a queue is not already suspended and has one of the following status values:

- *1 - Failed Logically*
- *2 - Failed Technically*
- *3 - Blocked*

No actions other than *Unsuspend* can be executed on suspended queues.

The log entry in the queue is not updated.

- **Unsuspend:** The queue is marked as blocking again and subsequently blocks all queues with creation time later than the creation time of this particular queue. This mechanism is needed to be able to process the queues, which were marked as suspended. *Unsuspend* can be executed if a queue is suspended.

The log entry in the queue is not updated.

- **Show in AIF:** Starts the AIF error monitor (TA /AIF/ERR) with the AIF message GUID that is stored within the queue. This action can only be executed on a single queue.

Locking Behavior

To prevent parallel processing of queues in different tasks, on selecting queues within the *Replication Queue Monitor*, both database tables */STTP/RFCQIN* and */STTP/RFCQOUT* will be locked, and unlocked again upon leaving the *Replication Queue Monitor*. Consequently, restarting a queue from within AIF is not possible if the AIF error monitor (TA /AIF/ERR) is called from within the *Replication Queue Monitor*.

Restarting queues from within AIF will lock the corresponding (inbound/outbound) database table and unlock when processing ends.

Replication Queue Cleanup

You can cleanup queues that are marked as deleted before x days via the transaction `/STTP/DISTR_QCLEANUP` - *Replication Queue Cleanup*, or via the *SAP Menu*, under [▶ Advanced Track & Trace ▶ Repository Data Management ▶ Distributed Systems ▶](#).

6 SAP S/4HANA and SAP ECC Add-on for SAP Advanced Track and Trace for Pharmaceuticals

Use

The SAP S/4 HANA and SAP ECC Add-On for SAP Advanced Track and Trace for Pharmaceuticals (ECC Add-on) handles the necessary ECC enhancements to ensure master data integration, transactional data integration and warehouse integration from SAP S/4HANA and SAP ECC to SAP Advanced Track and Trace for Pharmaceuticals. This add-on uses the standard enhancement capabilities of SAP S/4HANA and SAP ECC.

If you are using EWM and the EWM Add-On for SAP Advanced Track and Trace for Pharmaceuticals, then the master data objects and transactional objects are integrated to EWM as well to enable warehouse integration with the repository system in EWM. For more information, see [EWM Add-on for SAP Advanced Track and Trace for Pharmaceuticals \[page 253\]](#) and the configuration guide at <http://help.sap.com/attp>.

i Note

The features for the SAP S/4HANA Add-on are the same as for the ECC Add-on. If in sub-chapters or in other sections of the online help, a functionality contained in the ECC Add-on is mentioned, it can be assumed that the same functionality is also available within the add-on for SAP S/4HANA 1610 and 1709.

In case Industry Retail is active in your ECC system you can use article transactions MM41, MM42 and MM43 instead of generic material transactions MM01, MM02 and MM03 to maintain SAP Advanced Track and Trace for Pharmaceuticals specific fields. In this case tabs *Basic Data* and *Logistics: DC* *Work Scheduling DC* are used instead of *Basic Data 1* and *Work Scheduling*.

Mass update of SAP Advanced Track and Trace for Pharmaceuticals specific fields via transaction MM46 or ARTMAS IDoc is not supported.

Features

- Master Data maintenance and integration
 - Customers and Vendors are integrated as Business Partners
 - Plants and Storage locations are integrated as Locations
 - Material master is enhanced and Materials are integrated as Trade Items
 - Trade item serialization attributes can be maintained in a separate transaction
- Transactional Data Integration
 - Production orders and process orders are integrated
 - Inbound deliveries, outbound deliveries and return deliveries are integrated

- Batch is enhanced and Batches are integrated
- Warehouse Integration with Warehouse Toolbox and SAP Advanced Track and Trace for Pharmaceuticals functions
 - Warehouse business functions (activities) which include validation and buffering for a series of typical warehouse activities.
 - Compose and send EPCIS event message for warehouse activity
 - Warehouse comfort functions such as get contents, get hierarchy, serial number request
 - Warehouse test UI
 - RF demo implementation for inbound and outbound processing showcases potential usage of the toolbox
- eASN Handling
 - When shipping a delivery the serialized items and their hierarchies are queried from the repository system and an enriched ASN is composed that contains the items and the hierarchy
 - When receiving an eASN besides the creation of the inbound delivery also an EPCIS message to the repository system is composed and sent which contains all commissionings, aggregations and the shipping event.

More Information

[Master Data Maintenance and Integration \[page 227\]](#)

[Transactional Data Integration \[page 236\]](#)

[Warehouse Integration \[page 243\]](#)

[Enriched Advanced Shipping Notification \(eASN\) \[page 249\]](#)

6.1 Master Data Maintenance and Integration

Use

SAP Advanced Track and Trace for Pharmaceuticals needs master data in order to identify, interpret, and process transactional data. Typically, master data is integrated from an external system to SAP Advanced Track and Trace for Pharmaceuticals. In many cases this external system is a SAP ECC system. However, SAP Advanced Track and Trace for Pharmaceuticals offers open APIs which you can use to also connect non-SAP systems. Besides this you can also maintain master data locally in the repository system without integration from any external system.

In general, the integration from multiple logical systems is also supported for master data objects. Therefore, the Logical System Group parameter is stored at the respective master data entity. The external ID of a master data entity is only unique together with the logical system group.

If you are using EWM and the EWM Add-On for SAP Advanced Track and Trace for Pharmaceuticals, then the master data objects are integrated to EWM as well to enable warehouse integration with the repository system

in EWM. For more information, see [EWM Add-on for SAP Advanced Track and Trace for Pharmaceuticals \[page 253\]](#) and the configuration guide at <http://help.sap.com/attp>.

More Information

- [Integration of Customers and Vendor as Business Partners \[page 228\]](#)
- [Integration of Plants and Storage Locations as Locations \[page 229\]](#)
- [Enhancement of Material Maintenance \[page 230\]](#)

6.1.1 Integration of Customers and Vendor as Business Partners

Use

Integration from SAP ECC to the repository system is done through a batch report.

Integration

ECC vendors and customers are integrated to the repository system as Business Partners or Business Partner Roles. If a vendor and a customer share the same Global Location Number (GLN) in ECC, they would reflect in the repository system as one Business Partner with two roles – customer and vendor. Hence, only one Business Partner is created with two roles. If a customer or a vendor is not assigned with GLN or is assigned with different GLNs, they are integrated as independent Business Partners with the customer role and vendor role respectively.

i Note

You can merge the role of two business partners using the Merge Role feature in the Business Partner entity in the Data Cockpit.

Customers and vendors are not extended in ECC and are integrated in their current state. The integration is always a full update which means that for all selected business partners in ERP all attributes are integrated and overwritten in the repository system.

If you are using EWM and the EWM Add-On for SAP Advanced Track and Trace for Pharmaceuticals then the relevant business partners are also integrated to EWM via standard CIF. All relevant attributes are already integrated today (esp. the GLN assigned to the business partner).

- **Integration Report for Business Partners**

In the ECC system, you can access the integration report by navigating to [SPRO](#) > [SAP Implementation Guide](#) > [Advanced Track and Trace](#) > [Repository Integration](#) > [Business Partner Integration](#).

Alternatively, you can use the transaction `/STTPEC/INT_BUP` to access the report integration screen. The screen provides two report selection parameters:

- Vendor Selection
 - Business partner type for vendor selection
 - Account number of Vendor or Creditor
- Customer Selection
 - Business partner type for customer selection
 - Customer Number

To integrate only business partners which have GLN assigned select the appropriate checkbox on the screen.

To activate the business partners directly with the integration select the checkbox *Activate Business Partner*.

To enable vendor and customer selection based on the Business Partner Type, you must perform a customizing activity to map the vendor account group and customer account group to the Business Partner Type. For data selection, the vendor account groups and customer account groups are determined and used as criteria for data selection. For more information about the Customizing activity, refer to the Configuration guide.

6.1.2 Integration of Plants and Storage Locations as Locations

Use

You can integrate the Location entity in the repository system from SAP ECC through a batch report.

Integration

Plants and Storage Locations in the ECC system are integrated to the repository system as Locations of Types Plant and Storage Location. Storage Locations are created as child locations of the Plant Locations which are the parent locations.

Plants and storage locations are not extended in ECC and are integrated in their current state. The integration is always a full update, which means that for all the selected Plants and Storage Locations in the ECC system always all attributes are integrated and overwritten in the repository system.

- Integration Report for Plant and Storage Locations
 - In the ECC system, you can access the integration report by navigating to **Advanced Track and Trace** > **Repository Integration** > **Plant & Storage Loc. Integration**. Alternatively, you can use the transaction `/STTPEC/INT_LOC` to access the report integration interface. The screen provides two report selection parameters, Plants and Storage Locations.

To integrate only plants which have GLN assigned, select the appropriate checkbox on the screen.

To activate the Locations directly with the integration, select the checkbox *Activate Location*.

Whenever a plant is integrated to the repository system, during data collection, the ECC system checks if a GLN is assigned to the plant and only then it transfers the GLN together with the plant to the repository system.

i Note

Assignment of GLN to plant is done within transaction EANGLN

If you are using EWM and the EWM Add-On for SAP Advanced Track and Trace for Pharmaceuticals, then the plants are already integrated as locations via CIF today. For more information, see [EWM Add-on for SAP Advanced Track and Trace for Pharmaceuticals \[page 253\]](#).

6.1.3 Enhancement of Material Maintenance

Use

This topic explains the enhancement of Material Maintenance and Material Integration as Trade Items. As part of Material Master Integration, you need to create the Material Master data in the ECC system. This add-on provides enhancements to the Material Master Maintenance and enables the integration of the material masters as trade items.

If you are using EWM and the EWM Add-On for SAP Advanced Track and Trace for Pharmaceuticals then the material is also integrated to EWM. For more information, see [EWM Add-on for SAP Advanced Track and Trace for Pharmaceuticals \[page 253\]](#).

Features

Material Maintenance in ECC

This Add-on extends the existing material maintenance in ECC with serialization attributes needed by SAP Advanced Track and Trace for Pharmaceuticals. Most of the necessary trade item attributes can be maintained in ECC and integrated to SAP Advanced Track and Trace for Pharmaceuticals. The material master database tables and maintenance UIs have been extended for this purpose.

The primary trade item identifier in SAP Advanced Track and Trace for Pharmaceuticals is the Global Trade Identification Number (GTIN). Within the ECC material maintenance the GTIN is assigned on the tab [Units of Measure](#) (▶ [Material Maintenance](#) ▶ [Additional Data](#) ▶ [Units of Measure](#) ▶). On this tab the alternative units of measure for the material are defined and the packaging hierarchy is defined. As a trade item reflects a particular unit of measure in a packaging hierarchy the GTIN is assigned to the alternative UoM. If the serialization indicator is set, then this GTIN is integrated as a trade item to SAP Advanced Track and Trace for Pharmaceuticals. The material reference is also integrated but just as additional context information to enable for example, search of trade items by material number. It is possible to assign different GTINs to different alternative UoMs of a material and integrate all of them. In this case in SAP Advanced Track and Trace for Pharmaceuticals there will be different trade items with the same material reference. Under certain conditions the same GTIN can be assigned to different materials. After integration there will be one trade item with several trade item variants (each variant representing one material assignment).

i Note

Starting with SAP S/4HANA 1809 FPS01, the maintenance of the GTIN has been moved to [Additional EANs](#) under [Material Maintenance](#) [Additional Data](#). For more information, see SAP Note [2716224](#).

To enable seamless integration with SAP Advanced Track and Trace for Pharmaceuticals, the add-on provides the following enhancements:

- Material Master Database tables `MARA`, `MARC`, and `MARM` have been extended with serialization attributes
- Configurable sub-screens that you can activate in order to display the trade item serialization attributes in the classic material master UIs
- A new transaction to display and maintain trade item serialization attributes for a material
- Mass Maintenance application or transaction `MM17` to enable mass maintenance of the trade item serialization attributes

Material Master UI

The following are the material master and UI enhancements accessible via classic material master UIs (transactions `MM01`, `MM02` and `MM03`):

- Advanced Track and Trace Material Header Settings: These attributes are provided under the [Basic Data](#) tab view and are valid across all plants.
 - Here you can select the [Serialization Type](#) to define if a material is relevant for tracking and tracing. Apart from the [Serialization Type](#) values that exist in both ECC and the repository system, an additional entry is available in the ECC. This is the type not serialized. This is the default value for all new materials and denotes that the material is not relevant for tracking and tracing. All the other serialization types denote that the material is relevant for the repository system. However, the actual integration is triggered when you select the [Serialization](#) indicator. For more information, see section on Units of Measure below.
 - You can assign the [profile relevant country](#) which is needed in SAP Advanced Track and Trace for Pharmaceuticals to determine the serialization profile. Maintain this parameter if you want to use option [Assign Create Range Definition](#) during integration (for more information see section on Trade Item Integration).

→ Tip

It is possible to maintain additional country assignments in transaction `/STTPEC/TRD_ITM_SER - Maintain trade item serialization attributes`

- You can assign the [Product Category](#) which is needed in SAP Advanced Track and Trace for Pharmaceuticals to auto-determine the range definition for particular serialization profiles. Maintain this parameter in case you want to use option [Assign Create Range Definition](#) during integration. and if you are using serialization profiles that require the product category.
- The check box [Synchronization Active](#) indicates if the material is already integrated to the repository system.
- The attribute [Last Synchronized](#) displays the time and date of the last synchronization occurred between the ECC system and the repository system. After the first integration to the repository system, you cannot switch the [Serialization Type](#) to non-serialized anymore.
- Advanced Track and Trace Material Plant Settings: The [Serialized From](#) date attribute under the [Work Scheduling](#) tab view and is valid for one plant.

- This attribute denotes a date for every plant and this date determines whether a new batch for this material has to be treated as serialized. For more details see [Batch Corrections, Enhancements, and Integration \[page 240\]](#).

i Note

Always give a future date (today + 1 day) in the Serialized from date. This date can only be changed as long as it lies in the future. As soon as the date reaches the current date the field changes to read only and cannot be changed anymore.

- Units of Measure: The add-on provides extensions in [▶ Additional Data ▶ Units of Measure ▶](#) tab view. Here you can maintain the following serialization relevant attributes:
 - **GTIN:** The Global Trade Identification Number (GTIN) is the primary identifier of a trade item in SAP Advanced Track and Trace for Pharmaceuticals. The GTIN is a mandatory attribute if the UoM has to be integrated as a trade item (serialization indicator = true). After integration the GTIN cannot be changed or deleted anymore from the alternative UoM within this UI.
With SAP S/4HANA 1809 FPS01 or higher, you must use the fields *EAN/UPC* and *EAN cat.* and `/STTPEC/SER_GTIN` (Serialization Relevance Indicator (`/STTPEC/SER_GTIN`)) in [▶ Additional Data ▶ Additional EANs ▶](#) tab view to provide the GTIN, as the GTIN field in the MARM structure has been removed. For SAP Advanced Track and Trace for Pharmaceuticals to consider the value from these fields in the MEAN table as GTIN, the MEAN-EAN11 field is based on an additional indicator to mark an entry as relevant for serialization. This entry must reflect a valid GTIN14 format (as specified by GS1) to be used for SAP Advanced Track and Trace for Pharmaceuticals..
 - In certain cases, one GTIN can be assigned to different materials. However, this is possible only if all materials have exactly the same serialization relevant attributes.
 - Deletion of GTIN: Under certain conditions a GTIN can be deleted from the alternative UoM. Within the repository system the transaction `/STTP/DEL_TR_ITM_VAR` - *Delete Trade Item Variant* can handle the deletion of a trade item variant both in the repository system as well as in all connected SAP ECC systems. Only via this report a consistent cross-system deletion of the trade item variant and the assignment of the GTIN to the UoM of a material can be ensured. In exceptional cases the cross system deletion may not succeed and the user may decide to just do a local deletion of the variant in the repository system and do a separate cleanup in the connected ECC systems afterwards. Therefore (and only for this purpose) there is also a local deletion function in ECC: transaction `/STTPEC/DEL_GTIN` - *Locally Delete GTIN from Material*.

⚠ Caution

Use this transaction with extreme care. It just deletes the serialization attributes locally in ECC. After execution you can assign a new GTIN to a UoM that has been integrated to the repository system before, but if the deletion was not done in the repository system before, then the new GTIN cannot be integrated as the material still is assigned to another GTIN.

- **Serial Number Management Type:** Indicates that this trade item will be serial number managed in SAP Advanced Track and Trace for Pharmaceuticals. The attribute can have the following values:
 - *Initial:* Not defined/ not serial number managed. In this case, it is not defined whether serial number management shall be done for this trade item. The trade item can be activated with or without a serial number range definition assigned.
 - *X:* Internal serial number management. In this case, the trade item is subject to internal serial number management and a range definition with origin *Internal* will be created. *Internal* indicates that the serial numbers and ranges are owned and generated within this system. Activation of the trade item is only possible if a range definition with origin *internal* is assigned to the trade item.

- **E:** External serial number management. In this case the trade item is subject to external serial number management, and a range definition with origin *External* will be created. Activation of the trade item is only possible if a range definition with origin *External* is assigned to the trade item. You need to reference a customer that represents the source of the serial numbers to allow automated creation of range definitions..
- **Business Partner (Customer) to provide Serial Number:** In case of serial number management type *external serial number management*, your company, for example, acts as contract manufacturer (CMO) for a Marketing Authorization holder (MAH). In this scenario, you do not generate the serial numbers within your system but you request the numbers from the MAH via serial number request. This attribute is to specify the business partner (customer) which is your MAH and which provides the serial numbers to you (as a prerequisite this business partner must have a notification system assigned and this system must be connected to your MAH via web service or RFC . Also, an appropriate serial number request type must be defined and an external system name must be specified for the system).
- **National Code and Type:** Add national code and type as an additional alternative identifier of a trade item in the country defined as profile relevant country. The combination of national code and type must be unique. Duplicates are not allowed.

→ Tip

It is possible to maintain additional national codes for every additional country assignment in transaction /STTPEC/TRD_ITM_SER - *Maintain trade item serialization attributes*.

- **Registration Code:** Here you can assign a registration code issued by a particular country that can be used as an additional alternative identifier of the trade item.
- **Serialization Indicator:** The ECC system integrates only those UoMs for which the Serialization Indicator check box is selected.
- **UoM Synchronization Active:** This flag indicates that the particular UoM has been integrated as trade item to the repository system. In addition, this field handles the edit ability of the following fields: in case a UoM is integrated (flag = true) then the following fields cannot be changed anymore in ECC: *GTIN, Serial Number Management Type, Serialization Indicator*.

New Transaction to Display And Maintain Trade Item Serialization Attributes For a Material

Transaction /STTPEC/TRD_ITM_SER - *Maintain trade item serialization attributes* allows you to display and maintain trade item serialization attributes. Besides that, integration to SAP Advanced Track and Trace for Pharmaceuticals can be triggered for selected trade items.

The transaction consists of a search pane, a result list and a details pane with tabs that display the different serialization attributes:

- **Search pane**
 - You can search for materials by different search attributes such as material ID, GTIN, national code and certain status values.
- **Result list**
 - The result list displays one line per alternative UoM of a material. So you have both the material and also the alternative UoM in the context. Both the tabs in the details pane as well as the actions of the result list either refer to the material as a whole (*MARA*) or to the alternative UoM only (*MARM*)
 - Here, a mixture of standard *MARA* and *MARM* attributes is displayed plus the relevant serialization attributes

- **Display GTIN Usage:** When triggering this function, a popup is launched that displays the usage of the GTIN. The same GTIN can be assigned to different materials as long as certain conditions apply likefor example, same base UoM, same alternative UoM, same serialization attributes. In case you assign the GTIN to multiple materials then it may be necessary to synchronize the serialization attributes across all the materials that use the same GTIN in order to enable consistent integration with SAP Advanced Track and Trace for Pharmaceuticals. So within this popup, all materials are shown that use the same GTIN, and inconsistencies are highlighted. In case of inconsistencies, the user can trigger different actions to bring the system to a consistent state. When saving changes of a material within this transaction then this consistency check is also executed and the popup is launched actively in case inconsistencies are detected. Before saving the material the inconsistencies must be solved.
- **Action *Material*:** Navigates to the material. Navigation to **MM02** when in edit mode and to **MM03** when in change mode.
- **Action *Sync EAN/GTIN*:** Enables synchronization of GTIN with EAN/UPC or vice versa for the selected alternative UoM only.
- **Locking Behavior:** When in edit mode, the currently selected material is locked. When selecting another material the changes need to be saved before. So only one material is locked at the time. Exceptions: the action *Integrate Trade Items* needs to lock all materials selected and the popup *Display GTIN Usage* can trigger actions that also require locking of multiple materials.
- **Action *Integrate Trade Items*:** Triggers integration of the selected material to the repository system
- **Tab *Details***
 - On this tab you can manage the serialization attributes of the material header (**MARA**). The behavior is the same as described in section **Material Master UI**.
- **Tab *Plant Data***
 - On this tab you can display plant assignments for the selected material and you can maintain the attribute *Serialized From Date* for existing plant assignments (**MARC**). The behavior is the same as described in section **Material Master UI**.
- **Tab *Alt UoM Details***
 - On this tab you can display details for the alternative UoM and maintain all serialization attributes on this level. The behavior is the same as described in section **Material Master UI**.
- **Tab *UoM Countries***
 - On this tab you can maintain additional country assignments to the trade item and in addition you can assign country- specific national codes, national code types and registration code. The profile relevant country is also displayed here for information but it can neither be removed nor changed. The profile relevant country can be changed on tab **Details** and the national code for the profile relevant country can be changed on tab *Alt UoM details*
- **i Note**

Important: This tab is stored in a special database table that refers to the GTIN and not to the material. This means that the data maintained on this tab is shared across all materials that use the same GTIN. Therefore data can be maintained only as soon as a GTIN is assigned to the alternative UoM.
- **Tab *Additional Attributes***

On This tab, you can add the property name or property value attributes to the trade item. Property names can be defined in Customizing of the SAP Advanced Track & Trace for Pharmaceuticals System (not in the ECC system) under ► *SAP Advanced Track and Trace for Pharmaceuticals* ► *System Parameters* ► *Product Property Names* ►.

SAP delivers a set of properties required for regulatory reporting for certain countries. You need to maintain these properties for trade items if regulatory reporting for these countries is considered. By using [Assign Country-Specific Attributes](#), you can assign all required country attributes at once. Based on the assigned countries, the relevant attributes are determined and added. For Europe, the attributes are only added if at least one country is assigned to the trade item that is relevant for reporting to the European Hub.

i Note

Country relevance for European Hub is maintained in Customizing.

By using [Check Country Reporting Attributes](#), you can check whether all attributes have been maintained correctly to ensure a successful regulatory reporting

i Note

In General Customizing, you can define whether this check is executed on the activation of the trade item and whether check errors are only logged or whether they shall block the activation. For more information, see the chapter [General Customizing \(ATTP\)](#) for parameter CHECK_RR_CNTRY_MSTR in the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

For more information, see [Outbound Processing \[page 98\]](#) for [Regulatory Reporting and Supply Chain Reporting Framework](#).

i Note

There is no synchronization of additional attributes from the SAP Advanced Track & Trace for Pharmaceuticals repository instance to SAP ECC or SAP S/4HANA. If Additional Attributes are added on the SAP ECC side, they will overwrite the existing attributes on the repository instance.

Trade Item Integration

Materials can be integrated either online or through batch report.

Unlike the [Business Partner](#) and [Location](#) where all the selected objects are always transferred for integration, the material integration uses only the changed materials for integration. Therefore, while saving changes to a material, the system checks for differences in the attributes relevant for Serialization. If the system detects differences, the check box [Changed Since Integration](#) is set. Furthermore, you can use the Customizing activity /STTPEC/V_PMDCF - [Maintain Material Fields](#) to define additional fields of the material master that triggers the integration. For more information, see the configuration guide at <http://help.sap.com/attp>.

- Online Integration of Material to Repository System During Save
In case online integration for materials is activated, the system automatically triggers this type of integration if a material is changed and the integration relevance for the material is determined. However, this type of integration is only applicable for material changes.

i Note

The very first integration to SAP Advanced Track and Trace for Pharmaceuticals must always be triggered through the report. You can configure online integration using the General Customizing. Refer to the Configuration guide for more details.

- Integration from SAP ECC through Report

You can use the batch report (transaction /STTPEC/INT_MAT - *Material Master Integration*) which allows initial and delta integration of new or changed materials. *Delta Update* ensures the integration of only those materials for which the changed since integration is selected. *Full Update* ensures the integration of all materials which are relevant for serialization. Optionally you can trigger activation of trade items and assignment / creation of serial number entities (range definition and range) at integration. By maintaining all serialization attributes in the material master maintenance and by setting these two flags you may integrate the trade item, create and activate serial number entities and activate the trade item. As a result you may directly start requesting serial numbers and commission serialized items without any further manual interaction in the repository system. As a prerequisite you have to define a range automation profile and assign it to the serialization profile in the repository system. If you are dealing with externally managed serial number range definitions (serial number management type *E*), then, in addition, you need to ensure that *Business Partner to provide Serial Number* is maintained, and that in the repository system the notification system is assigned to this business partner, and for this system all relevant data to trigger a serial number request is maintained. For more information, see [Serialization Profile \[page 29\]](#). For more information, see the report documentation.

i Note

From SAP Advanced Track and Trace for Pharmaceuticals 2.0, Feature Package 4, when a material is integrated with an activated automatic range definition creation feature, and the material is crypto code-relevant, then the range definition is determined as crypto code-relevant too. That can be done via the corresponding configuration of the *General Customizing* in SAP Advanced Track and Trace for Pharmaceuticals. For more information about crypto code handling, see the chapter [Country-Specific Features \[page 112\]](#).

- Change pointers
If the material is relevant for integration according to the system settings, but an error occurs during the integration (for example, a connection issue) and integration is not performed, then to avoid data loss, the change pointers are saved in the table /STTPEC/MINTOUT.

6.2 Transactional Data Integration

Use

The transactional data integration handles the integration of business transaction documents such as production order, purchase order, process order, inbound delivery, outbound delivery and return delivery and furthermore it handles the integration of the batch from SAP ECC to SAP Advanced Track and Trace for Pharmaceuticals.

If you are using EWM and the EWM Add-On for SAP Advanced Track and Trace for Pharmaceuticals then the relevant inbound and outbound deliveries are also integrated to EWM and the delivery integration has been enhanced as part of the Add-On with additional attributes needed. No additional configuration is necessary. For more information see [EWM Add-on for SAP Advanced Track and Trace for Pharmaceuticals \[page 253\]](#).

More Information

[Integration of Transaction Documents \[page 237\]](#)

[Batch Enhancements and Integration \[page 240\]](#)

6.2.1 Integration of Production Orders, Process Orders, Purchase Order, Inbound Deliveries, Outbound Deliveries and Return Deliveries

Use

The ECC Add-on for SAP Advanced Track and Trace for Pharmaceuticals offers the option to integrate business transaction documents such as production order, purchase order, process order, inbound delivery, outbound delivery and return delivery.

The business transaction document will be created with items and the processing status of the business transaction document is integrated as well and reflected as business transaction item status and business transaction summary status. Besides this the following item attributes are also integrated:

- Planned quantity: Total quantity of an item planned for execution.
- Confirmed quantity: Actual item quantity which was posted as goods movement.
- Batch / Lot number: Batch / Lot number assigned to a business transaction document item.

In case of split items both the parent item as well as the split items are integrated. The parent only carries a planned quantity as long as the total planned quantity is not fully assigned to the split items (Remark: Item split can happen for outbound deliveries for example, in case different batches executed for one item).

Integration

General Behavior

- Within ECC Add-On
 - It can be configured in general customizing whether inbound deliveries, outbound deliveries, (return deliveries are special outbound deliveries), production orders, process orders and purchase orders shall be integrated (in general or per plant)
 - Furthermore it can be configured whether a business transaction document shall be integrated immediately (online) or only through integration report
 - The relevant business transaction document items and sub items are integrated as well.
 - Integration is triggered at creation/release of transaction and in case relevant business transaction data is changed.

❖ Example

- Deletion of transaction

- Deletion/addition of item
- Update item: e.g. because of change of planned quantity or assignment of batch
- Split of item
- Update confirmed item quantity at post goods movements

For more information, see the configuration guide at <http://help.sap.com/attp>.

- Within SAP Advanced Track and Trace for Pharmaceuticals:
 - When a business transaction first is integrated the document status is set to *initially integrated*
 - The business transaction type reflects the GS1 core business vocabulary (*prodorder* for production order, *recadv* for inbound delivery and *desadv* for outbound delivery and *rma* for a return delivery and *po* for purchase order)
 - The document type and document number reflect the document type and number known to ECC
 - The transaction summary status reflects the summary of all item status values.
 - You can configure whether for a business transaction document, serial numbers should be generated on integration. Serial numbers for the GTINs of the integrated serialized business transaction items in the quantity given in the *Planned Quantity* of each item are generated. You can further configure whether crypto codes should also be directly be requested for the generated numbers.
 - Business Transaction items contain the following information:
 - Item number
 - GTIN
 - Item status
 - Planned quantity and confirmed quantity
 - Batch/lot
 - Serial numbers: Number of serial numbers requested and the generation time.
- Change pointers

If the document is relevant for integration according to the system settings, but an error occurred during the integration (for example, a connection issue) and integration is not performed, then to avoid data loss the change pointers are saved in the table `/STTPEC/TINTOUT`. Also, check the queue in the qRFC Monitor.

Automated Serial Number Generation

On integrating the business transaction document, the automated serial number generation can be switched on via the customizing parameter `AUTO_SNR_GEN_DOC_INT`.

The following constraints are valid for the generation of serial numbers:

- *Business Transaction*:
 - Business Transaction Type: This is defined by the customizing parameter `CHECK_DOCTYPE_GEN`
 - Status: *Initially Integrated*
 - Summary Status: *Created* or *In Process*
- *Serialized Business Transaction Item*:
 - Status: *Created* or *In Process*
 - Number of serial numbers requested and generation time: initial (generation can only be triggered once)
- *GTIN*:
 - Status: *Active*
 - Serialization Type: *Serialized* or *Serialized and Traced*

- Range Definition is assigned
- *Range Definition:*
 - Status: *Active*
 - Origin: Internal
- *Range:*
 - Status: *Active*
 - Range Type: *Range & List managed* or *List managed*

The system generates serial numbers based on the underlying rules of the range definition assigned to the corresponding trade item.

The serial number generation logic selects the first range definition and the first range in it to generate serial numbers (they will be then assigned to this range). So, for this functionality, we recommend that you create only one active range definition version with one active range.

Not only serial numbers can be generated, but also crypto codes can directly be requested for those generated numbers if required. This behavior can be configured via the customizing parameter `AUTO_CRYPT_ORDER` in *General Customizing* and is also applied to the generation of serial numbers by business transaction integration.

Specifics for Delivery Integration

- Deliveries are integrated after creation
- By using parameter `TRN_GM_SEND` *Send Goods Movement Event* to SAP Advanced Track and Trace for Pharmaceuticals, it can be configured in general customizing whether action “post goods movement” inbound delivery, outbound delivery or return delivery shall compose and send a receiving or shipping event for all objects that are currently related to the transaction.
 - Post Goods Receipt for Inbound Delivery
 - Query all objects related to transaction from SAP Advanced Track and Trace for Pharmaceuticals
 - Compose Object Event with Action *Observe* and Business Step *Receiving*
 - EPC list as determined
 - Disposition: active
 - Read point/Business location: determined from plant (EANGLN)
 - Source/Destination: determined from inbound delivery
 - Post Goods Issue for Outbound Delivery
 - Query all objects related to transaction from SAP Advanced Track and Trace for Pharmaceuticals
 - Compose Object Event with Action *Observe* and Business Step *Shipping*
 - EPC list as determined
 - Disposition: in_transit
 - Read point: determined from plant (EANGLN)
 - Business location: empty
 - Source or Destination: determined from outbound delivery
 - Post Goods Receipt for Return Delivery
 - Query all objects related to transaction from SAP Advanced Track and Trace for Pharmaceuticals
 - Compose Object Event with Action *Observe* and Business Step *Receiving*
 - EPC list as determined
 - Disposition: active

- Read point/Business location: determined from plant (EANGLN)
- Source/Destination: determined from return delivery
- When reverting post goods issue of outbound delivery, post goods receipt of return delivery or post goods receipt of inbound delivery via transaction `VL09` - *Cancel Goods Issue for Delivery Note*, the solution composes and sends an opposite event message. To revert a shipping event, a receiving event is composed and sent, and vice versa.
- For more information, see the configuration guide at <http://help.sap.com/attp>.

Specifics Production or Process Order Integration

- The output material is integrated as item 1
- Integration is triggered only when releasing order

6.2.2 Batch Corrections, Enhancements, and Integration

Use

This topic is about Batch enhancements and integration from SAP ECC to SAP Advanced Track and Trace for Pharmaceuticals and to EWM.

Batch Enhancements

The batch data base tables in ECC are enhanced with following attributes

- Serialization type: denotes whether the batch is not serialized, lot managed, or serialized
- Synchronization time stamp: time when the last synchronization of the batch happened
- Synchronization active flag: if true the batch has been successfully integrated to the repository system

These additional attributes are not visible in the standard batch transactions in ECC but you can visualize them through report `/STTPEC/INT_BATCH` Batches Integration.

The serialization type of the batch is determined during creation of the batch and it is determined in two different ways:

- If the batch has a manufacturing date assigned then the serialization type of the batch is determined based on the manufacturing date and the serialized from date. If the manufacturing date is the same or later than the serialized from date the serialization type of the material master is copied to the batch. If not the serialization type of the batch is set to *Not Serialized*.
- If the batch has no manufacturing date assigned, then the serialization type of the batch is determined based on the creation date of the batch and the serialized from date. If the creation date is the same or later than the serialized from date the serialization type of the material master is copied to the batch. If not the serialization type of the batch is set to *Not Serialized*.
- This logic is encapsulated within a BAdI and can be changed if wished. For more information, see the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

The attributes synchronization time stamp and synchronization active flag are set after successful integration of the batch.

Batch Integration from SAP ECC to SAP Advanced Track and Trace for Pharmaceuticals

The Batch can be integrated as a lot (LGTIN) from SAP ECC to SAP Advanced Track and Trace for Pharmaceuticals.

- **Change pointers**

If the batch is relevant for integration according to the system settings, but an error occurred during the integration (for example, a connection issue) and integration is not performed, then, to avoid data loss – the change pointers are saved in the table `/STTPEC/BINTOUT`.

- **Batch Corrections**

A batch can only be integrated if it has no inconsistencies regarding the integration-relevant fields. You can use the report `/STTPEC/BATCH_MCH1_CORR` to identify discrepancies in the cross-plant batch management entries (MCH1), and to update the additional fields with the latest information from materials and plants. For more information, see the report documentation in the system.

- **Historical Batches**

Historical batches to be integrated already exist in the system and have just been activated, migrated, or otherwise transferred. A batch is historical if its manufacturing date lies before the start of serialization. The report `/STTPEC/BATCH_HIST_CORR` identifies historical batches in the MCH1 definitions that cannot be integrated, which are identified via missing or wrong `STTPEC` fields. For more information, see the report documentation in the system.

Prerequisites

- Customizing
 - In the General Customizing in ECC it has to be defined whether lot level batches or serialized batches shall be integrated to SAP Advanced Track and Trace for Pharmaceuticals. If neither of the two is active, then it is not possible to integrate batches
- ECC Material master:
 - Tab *Basic Data 1 (MARA)*: *Serialization Type* must be other than not serialized
 - Tab *Work Scheduling (MARC)*: *Serialized From* must be maintained
 - ECC material must be integrated to SAP Advanced Track and Trace for Pharmaceuticals
- Batch master
 - Batch master must be created for plant

The Batch integration is triggered online after a successful creation of a plant batch.

- An object event with action *ADD* is composed for the LGTIN and sent to SAP Advanced Track and Trace for Pharmaceuticals via the OData interface.
 - The disposition of the event is determined based on the batch status as following
 - Batch status *restricted* results in event disposition *non sellable other*
 - Batch status *non-restricted* results in event disposition event disposition *active*
 - Batch attributes like expiry date and manufacturing date are included within the SAP extensions (if data is set in ERP).
 - The quantity list of the event just carries the LGTIN in EPC URI format and no quantity. This indicates that the message addresses the complete Lot.

The batch integration is triggered online after a successful change of the plant batch

- An object event with action *OBSERVE* is composed for the LGTIN and sent to SAP Advanced Track and Trace for Pharmaceuticals via the OData interface.

- The quantity list of the event just carries the LGTIN in EPC URI format and no quantity. This indicates that the message addresses the complete Lot.
- The disposition depends on the status of the batch (see above).
- If maintained, batch attributes like expiry date and manufacturing date are included within the SAP extensions. Such attributes can only be updated in the repository system as long as the batch is *restricted* (disposition in repository system = *non sellable other*). In case the batch status is *unrestricted* (disposition in repository system = *active*), then change within the repository system is not possible, and these attributes will be neglected during event processing and a warning is logged. For further details, see [Lot \[page 65\]](#).

Integration of Produced Quantities for Batch

Produced quantities for a batch can be integrated from SAP ECC to SAP Advanced Track and Trace for Pharmaceuticals in order to allow comparisons with the serialized trade items (reconciliation of data).

Prerequisites

- Material and batch must be integrated to SAP Advanced Track and trace for Pharmaceuticals
- Production order
 - Batch reference must be maintained at production order

Whenever a goods receipt is posted in transaction MIGO with reference to the production order and the batch then an object event with Action *ADD* is composed for the LGTIN and sent to SAP Advanced Track and Trace for Pharmaceuticals through the OData interface.

- This time the quantity list carries the LGTIN and the quantity that has been confirmed in MIGO for the batch. (Remark: as soon as the quantity is defined, this means that the event message does not deal with the batch as a whole, but just with a partial quantity of the batch. As a consequence the event processing will ignore changes that refer to the lot as a whole. See below.)
- Furthermore the event carries a transaction reference to the production order.
- The disposition depends on the status of the batch (restricted vs non-restricted).
- Batch attributes like expiry date and manufacturing date are included within the SAP extensions if defined. However, in case of an event that carries a batch quantity, these attributes are only set in the repository system if they are initial and not defined yet. Changing the manufacturing or expiry date of an LGTIN is only possible in case the quantity list carries no quantity and with this addressing a partial quantity of the batch.

Batch Integration Report

In case the necessary prerequisites are not met then the online batch integration will fail. However, the batch still is marked as relevant for integration and the transaction `/STTPEC/INT_BATCH` Batches Integration enables you to select these batches and integrate them as soon as the prerequisites are met. Besides this you can also visualize already integrated batches. In addition to the standard batch attributes the report also visualizes the batch extension attributes such as serialization type, synchronization time stamp and synchronization active flag. For more information, see the documentation for the transaction.

Batch Integration from SAP ECC to EWM

If you are using EWM and the EWM Add-On for SAP Advanced Track and Trace for Pharmaceuticals, then you have to ensure that certain batch attributes needed for serialization are also replicated as batch characteristics in ECC as the standard way to handle batch attributes in EWM is via characteristics. For more information, see [EWM Add-on for SAP Advanced Track and Trace for Pharmaceuticals \[page 253\]](#).

6.3 Warehouse Integration

Use

In a typical business scenario, whenever a serialized item is moved into or out of a warehouse, one has to update not only the stock management, but also the serialization repository accordingly. In case those two worlds are updated separately this results in a high risk of data inconsistency.

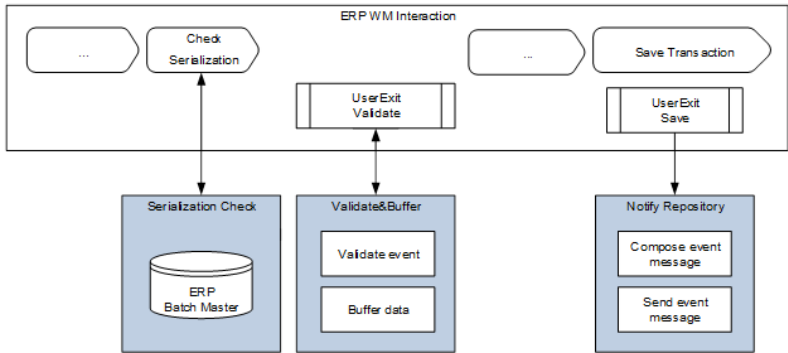
The Warehouse integration allows you to integrate warehouse stock with the serialization repository and with this to ensure cross data consistency.

SAP Advanced Track and Trace for Pharmaceuticals offers open interfaces for integration of any warehouse and an even more comfortable warehouse toolbox for SAP EWM as part of the ECC Add-On.

Typically warehouse environments are highly modified applications beyond the SAP standard. Because of this the warehouse integration follows a toolbox approach with numerous backend functions that can be used as needed and integrated with custom warehouse processes and screen sequences.

The fundamental paradigm of the warehouse integration is that the warehouse process is the leading process and the repository system is invoked if necessary. Only with this approach it can be assured that both serialized items and non-serialized items can be handled together.

The following picture depicts the general principle how the toolbox is used to create an end to end integrated business flow:



Structure

- Serialization check (synchronous)
 - Determine if the materials to be moved are serialized or not based on the serialization type available for every batch. For any kind of warehouse processes the following high level steps need to be followed:
 - If the materials are not serialized, the SAP Advanced Track and Trace for Pharmaceutical system must not be invoked into the warehouse scanning flow.
 - If the materials are serialized, the repository system must be invoked into the warehouse scanning flow which basically means that the serialized items must be scanned.
- Validate activity and buffer (synchronous)
 - After every scan, a fast online validation for the respective serialized item is done for the respective warehouse activity. The verification is done to the SAP Advanced track and Trace for Pharmaceutical system which provides immediate feedback informing if this warehouse activity is allowed or not.
 - If the activity is allowed for the item, it is buffered in the context of the activity waiting for the triggering of the surrounding warehouse processes.
 - If the warehouse process is cancelled, the buffer is released.
- Compose and send event (asynchronous)
 - If the warehouse process is saved, the toolbox reads the buffered data and composes the respective EPCIS event message (object event, aggregation event, transaction event) and sends the message to the repository system.

Activities

The warehouse toolbox supports the following warehouse activities or business functions:

- Inbound processing
 - Receive container
 - Receive document
 - Put away
- Internal processing
 - Pack (aggregate)
 - Unpack (dis-aggregate)
 - Commission objects
 - De-commission objects
 - Create or remove document relation
 - Count Hierarchy
 - Inspect item
 - Inspect Hierarchy
 - Aggregation Accuracy
 - Sample
 - Pack or unpack
 - LGTIN
 - Identify parent
 - Request Object Control
- Outbound processing

- Pick
- Pick to pallet
- Load container
- Ship container
- Ship document

For any kind of warehouse processes the following high levelThe toolbox uses open interfaces of SAP Advanced Track and Trace for Pharmaceuticals modeled as OData Services for validation and also for event receiving (Alternatively, the toolbox can be also connected via Remote Function Modules. To find out how to switch between OData and RFC, see chapters *Communication options between ECC warehouse toolbox and ATTP* and *Communication options between EWM warehouse toolbox and ATTP* in the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>). These interfaces can be used to connect non-SAP warehouses. In this case, a functionality similar to the toolbox needs to be developed within the non-sap warehouse to feed the interfaces.

Besides the warehouse toolbox backend functions a test UI is available which can be used to test the functionality of the warehouse toolbox. The test UI can be accessed through transaction `/STTRPC/` `WHS_TOOLBOX` Warehouse Activity Test Client. Although it looks like a highly integrated transaction the ECC objects are mocked away in this test UI so it can be used irrespective of whether the objects exist in ECC or not.

In addition to the test UI, an RF demo implementation is delivered that demos the usage of the toolbox and shows an exemplary implementation of orchestrated processes across WM and SAP Advanced Track and Trace for Pharmaceuticals. The following example processes are supported in the demo:

- Inbound processing
 - Unload Inbound Delivery
 - Putaway Transfer order
- Outbound processing
 - Pick Transfer order
 - Pack Delivery Pallet
 - Ship Delivery Pallets

More Information

[Warehouse Toolbox Activities \[page 245\]](#)

6.3.1 Warehouse Toolbox Activities

- [Inbound Processing Business Functions \[page 246\]](#)
- [Internal Processing Business Functions \[page 246\]](#)
- [Outbound Processing Business Functions \[page 248\]](#)

6.3.1.1 Inbound Processing Business Functions

Use

Given below are the Inbound Processing business functions:

Process

Receive Container

- This business function validates whether a particular SSCC or SGTIN can be received. In case of an SSCC it can be checked whether the SSCC corresponds to an HU with same ID if the HU is provided. Furthermore it can be checked whether the scanned items belong to a business transaction or alternatively the relation to a business transaction can be created. If all validations succeed the data is buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

Receive Document

- The business function determines all serialized items that are related to a document and validates whether the items can be received. If all validations succeed the data is buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

Put Away

- This business function validates whether a particular SSCC or SGTIN can be put away. In case of an SSCC it can be checked whether the SSCC corresponds to an HU with same ID if the HU is provided. If all validations succeed the data is buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

6.3.1.2 Internal Processing Business Functions

Use

Given below are the internal processing business functions:

Process

Pack (aggregate)

- This business function validates whether a child item can be packed into a parent item. In case the parent item is an SSCC it can be checked whether the SSCC corresponds to an HU with same ID if the HU is provided. Furthermore it can be checked whether the scanned items belong to a business transaction or alternatively the relation to a business transaction can be created. If all validations succeed the data is

buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

Unpack (dis-aggregate)

- This business function validates whether a child item can be unpacked into a parent item. In case the parent item is an SSCC it can be checked whether the SSCC corresponds to an HU with same ID if the HU is provided. If all validations succeed the data is buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

Commission Objects

- This business function validates whether a particular SSCC, SGTIN or LGTIN can be commissioned. In case of an SSCC it can be checked whether the SSCC corresponds to an HU with same ID if the HU is provided. In case the object id is not provided a serial number request is issued to the repository system and the object is commissioned with this serial number. If all validations succeed the data is buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

De-commission Objects

- This business function validates whether a particular SSCC, SGTIN or LGTIN can be de-commissioned. If all validations succeed the data is buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

Create or Remove Document Relation

- This business function validates whether a transaction relation can be created / removed for the SSCC or SGTIN. If all validations succeed the data is buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

Count Hierarchy

- This business function is a utility function that does not send an event message but it is a supporting function that can be used in counting processes e.g. during inventory count. The business background is that in case of inventory count the warehouse worker will have to count all unopened packages on a particular bin or storage location and instead of manually calculating the package content here the SSCC or SGTIN can be used to support the counting. So you can step by step provide all unopened SSCCs and SGTINs to the business function and the business function then will determine the complete hierarchy (all parents and childs) of the provided objects. All provided objects are marked as scanned and all child objects will be marked as derived. When providing a child object of an already provided parent object then an error message is issued as the parent is supposed to be unopened and thus the child cannot be scanned. Similarly when providing a parent object of an already provided object an error message is issued. The result of the business function is a big table that provides the complete hierarchy of all provided objects. The objects directly provided have the match indicator S (Scanned), the child objects of the directly provided objects have the match indicator D (derived) and all objects not provided do not have any match indicator which basically means that these objects are missing and further action is needed.

Inspect item

- This business function is a utility function that does not send an event message but it is a supporting function that can be used to identify an item (for example, SSCC or SGTIN). The function basically tries to decode the provided item and if it exists in the repository system the decoded information is returned.

Inspect Hierarchy

- This business function validates whether a child item belongs to a parent item. In case the parent item is an SSCC it can be checked whether the SSCC corresponds to an HU with same ID if the HU is provided.

If the percentage of child items is provided then the function validates whether the calculated amount of direct child items belongs to a parent item. The data is buffered even if the validation does not succeed. On saving, a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals. If the validation is not successful, the object event is sent for the top level object of the scanned hierarchy and the top level object of the hierarchy stored in the SAP Advanced Track & Trace for Pharmaceuticals repository.

Aggregation Accuracy Check

- This business function is a utility function that does not send an event message, but can be used to validate whether all SGTINs within the hierarchy are packed according to the data in the UoM. The data is buffered even if the validation does not succeed.

Sample

- This business function validates whether a particular SSCC or SGTIN can be used for sampling. If all validations succeed, the data is buffered. On saving, a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals. In the case of destructive sampling, the objects are de-commissioned.

Pack or Unpack LGTIN

- This business function validates whether a LGTIN quantity can be packed into / unpacked from a SSCC. For the parent it can be checked whether the SSCC corresponds to an HU with same ID if the HU is provided. For the LGTIN quantity and UOM to be packed/unpacked must be provided. If all validations succeed the data is buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

Identify Parent

- This business function is a utility function that does not send an event message but it is a supporting function that can be used to identify the parent object of an item.

Request Object Control

- This business function is a utility function that does not send an event message, but is a supporting function that can be used to request object control from other systems in a distributed system landscape with one central and one or more local SAP Advanced Track and Trace for Pharmaceuticals systems.

6.3.1.3 Outbound Processing Business Functions

Use

Given below are the Outbound Processing business functions

Process

Pick

- This business function validates whether a particular SSCC or SGTIN can be picked. In case the item is an SSCC it can be checked whether the SSCC corresponds to an HU with same ID if the HU is provided.

Furthermore it can be checked whether the scanned items belong to a business transaction or alternatively the relation to a business transaction can be created. If all validations succeed the data is buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

Pick to Pallet

- This business function validates whether a particular SSCC or SGTIN can be picked and packed onto a parentSSCC. For the parent it can be checked whether the SSCC corresponds to an HU with same ID if the HU is provided. Furthermore it can be checked whether the scanned items belong to a business transaction or alternatively the relation to a business transaction can be created. If all validations succeed the data is buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

Load Container

- This business function validates whether a particular SSCC or SGTIN can be loaded. In case the item is an SSCC it can be checked whether the SSCC corresponds to an HU with same ID if the HU is provided. Furthermore it can be checked whether the scanned items belong to a business transaction or alternatively the relation to a business transaction can be created. If all validations succeed the data is buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

Ship Container

- This business function validates whether a particular SSCC or SGTIN can be shipped. In case the item is an SSCC it can be checked whether the SSCC corresponds to an HU with same ID if the HU is provided. Furthermore it can be checked whether the scanned items belong to a business transaction or alternatively the relation to a business transaction can be created. If all validations succeed the data is buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

Ship Document

- The business function determines all serialized items that are related to a document and validates whether the items can be shipped. If all validations succeed the data is buffered. Upon save a corresponding EPCIS event is composed and sent to SAP Advanced Track and Trace for Pharmaceuticals.

6.4 Enriched Advanced Shipping Notification (eASN)

Definition

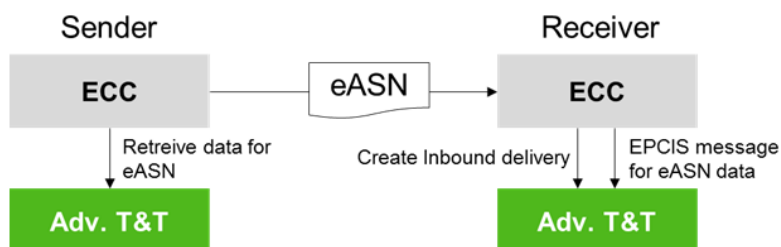
SAP Advanced Track and Trace for Pharmaceuticals offers two options to exchange serialized data with a subsequent supply chain partner

- Advanced Shipping Notification + EPCIS message
- Enriched Advanced Shipping Notification

This chapter deals with the enriched ASN of possibility 2. You can find more information on possibility 1 in the topic [Outbound Processing \[page 98\]](#).

The eASN is based on the new iDoc type */STTPEC/DELVRY05 - Advanced Track & Trace: Delivery Interface (enriched ASN)* which contains serialized information and aggregation information in addition to the existing ASN structures.

The processing of the eASN is tightly integrated into the outbound and inbound process in ECC.



More Information

[eASN Handling During Inbound Processing \[page 251\]](#)

[eASN Handling During Outbound Processing \[page 250\]](#)

6.4.1 eASN Handling During Outbound Processing

When shipping an outbound delivery the serialized items and their hierarchies are queried from the repository system and an enriched ASN is composed that contains the serialized items and the packing hierarchy. The query is done based on the relation to the outbound delivery. So as a prerequisite all items to be shipped must have an object-transaction relation which for example, can be created by picking the items with reference to the outbound delivery.

6.4.2 eASN Handling During Inbound Processing

When receiving an eASN besides the creation of the inbound delivery also an EPCIS message to the repository system is composed and sent with following events

- Commissioning events for all shipped objects: Serialized Trade Items, Serialized Containers, and Lots that are referred within the Serialized Trade Items
- Aggregation events to compose the shipped hierarchy
- Shipping Event declaring the shipment of all top level objects (typically pallet SSCCs)

6.4.3 Communication With a Remote Serialization Repository Instance

You can integrate master and transactional data from ERP to SAP Corporate Serialization using the existing functionality of SAP Advanced Track and Trace for Pharmaceuticals.

i Note

Though SAP Corporate Serialization supports several different industry standards, the existing functionality of SAP Advanced Track and Trace for Pharmaceuticals supports only the GS1 standard. For more information on how to install, configure, and use SAP Advanced Track and Trace for Pharmaceuticals, see the user assistance for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

This functionality can be used for the integration to SAP Corporate Serialization without additional enhancements. However, integration for SAP Corporate Serialization should be switched on by activation of the business function `/STTPEC/INT_CORS` in ERP.

Features

You have the following features:

- Master Data maintenance and integration
 - Customers and vendors are integrated as business partners.
 - Plants and storage locations are integrated as locations.
 - Material master is enhanced, and materials are integrated as products.
 - Product serialization attributes can be maintained in a separate transaction.
- Transactional Data Integration
 - Production orders and process orders are integrated as business documents.
 - Inbound deliveries, outbound deliveries and return deliveries are integrated as business documents.
 - Batch is enhanced and batches are integrated as lots.
- Warehouse integration with Warehouse Toolbox and SAP Corporate Serialization functions:

The warehouse toolbox is collection of functions that you can use for processes related to your warehouse activities.

- • Warehouse business functions (activities) including validation and buffering for a series of typical warehouse activities.

- Compose and send EPCIS event message for warehouse activity
- Warehouse comfort functions such as get contents, get hierarchy, serial number request
- Warehouse test UI
- RF demo implementation for inbound and outbound processing showcases potential usage of the toolbox

For more information, see the Configuration Guide for SAP Corporate Serialization on the SAP Help Portal at <http://help.sap.com/cors>.

7 EWM Add-on for SAP Advanced Track and Trace for Pharmaceuticals

The EWM Add-on for SAP Advanced Track and Trace for Pharmaceuticals handles the necessary enhancements to ensure warehouse integration between EWM and SAP Advanced Track and Trace for Pharmaceuticals. The Add-On follows the same principles as the warehouse integration in SAP ECC based on SAP Warehouse Management (WM).

Features

- Master data integration and enhancement
 - Master data integration is part of ECC Add-On
 - Integration of Customers and Vendors as Business Partner
 - Integration of Plants as Plant Locations
 - Integration of Materials as Products
- Transactional data integration and enhancement
 - Integration of inbound deliveries and outbound deliveries and enhancement of delivery
 - Integration of batch and enhancement of batch characteristics
- Warehouse Integration with Warehouse Toolbox and SAP Advanced Track and Trace for Pharmaceuticals functions
 - Warehouse business functions (activities) which include validation and buffering for a series of typical warehouse activities
 - Compose and send EPCIS event message for warehouse activity
 - Warehouse comfort functions such as [get contents](#), [get hierarchy](#), [serial number request](#)
 - Warehouse test UI enables testing of warehouse integration
 - RF demo implementation for picking of outbound delivery showcases potential usage of the toolbox

7.1 Master Data Integration and Enhancement

Certain master data needs to be present in EWM in order to enable standardized EPCIS based communication between EWM and SAP Advanced Track and Trace for Pharmaceuticals. EPCIS identifies trade items by globally unique GTIN and not by a proprietary material or product number. Similarly locations and business partners are identified via globally unique GLNs or SGLNs and not by proprietary location IDs or business partner IDs.

All master data objects in EWM already have the needed attributes. So this chapter mainly documents the measures and enhancements done for the integration from ECC via CIF.

Integration of Customers and Vendors as Business Partner

If you are using EWM and the EWM Add-On for SAP Advanced Track and Trace for Pharmaceuticals then the relevant business partners are also integrated from ECC to EWM via CIF. All relevant attributes are already

integrated today (especially the GLN assigned to the business partner which is needed for EPCIS based communication). For more information, see the configuration guide at <http://help.sap.com/attp>.

Integration of Plants as Plant Locations

If you are using EWM and the EWM Add-On for SAP Advanced Track and Trace for Pharmaceuticals, then the plants are integrated as locations from ECC to EWM via CIF. Dependent on your process configuration, it may be necessary to maintain a GLN for the plant location in EWM in order to enable communication between EWM and SAP Advanced Track and Trace via the warehouse toolbox. Unfortunately the plant GLN is not automatically transferred via CIF from ECC to EWM and needs to be maintained separately in EWM. For more information, see the configuration guide at <http://help.sap.com/attp>.

Integration of Materials as Products

In case you are using EWM and the EWM Add-On for SAP Advanced Track and Trace for Pharmaceuticals then the material is integrated from ECC to EWM.

EWM however needs the GTIN to communicate via EPCIS with SAP Advanced Track and Trace for Pharmaceuticals via the warehouse toolbox .

In EWM the GTIN is stored by default in field EAN/UPC of the product master (tab *Units of Measure*). This field by default is integrated from the EAN/UPC field of ECC MARM structure.

SAP Advanced Track and Trace for Pharmaceuticals however uses the new field GTIN of the MARM extension as the EAN/UPC field in ECC is not clearly typed as GTIN.

Therefore within the ECC Add-On the CIF integration has been enhanced to integrate the GTIN from the MARM extension structure instead of the EAN/UPC field of MARM structure.

Only if the GTIN is not filled, then the old logic is executed which takes field EAN/UPC of MARM structure.

You can deactivate/change this enhancement implementation if you wish. If you deactivate it then you have the following options :

- Within EWM you can manually maintain the GTINs
- Within ECC you can maintain the same GTIN both in field GTIN and in EAN/UPC. The new transaction / STTPEC/TRD_ITM_SER - *Maintain trade item serialization attributes* offers an action to synchronize the two fields. However, when following this option please consider that the EAN/UPC field in ECC cannot deal with leading zeroes. When you add a GTIN that starts with a zero, by default the zero will be removed and an inconsistent GTIN with too few digits will be integrated to EWM.

For more information, see the configuration guide at <http://help.sap.com/attp>.

7.2 Transactional Data Integration And Enhancement

Integration of Inbound Deliveries and Outbound Deliveries and Enhancement of Delivery

If you are using EWM and the EWM Add-On for SAP Advanced Track and Trace for Pharmaceuticals, then the relevant inbound and outbound deliveries are integrated from ECC to EWM.

To enable a standardized communication via EPCIS, the CIF interface and the delivery objects have been enhanced with the two attributes GLN and document year to enable encoding of business transaction ID in EPC URI format.

This integration just happens via standard delivery integration. No additional configuration is necessary.

Integration of Batch and Enhancement of Batch Characteristics

If you are using EWM and the EWM Add-On for SAP Advanced Track and Trace for Pharmaceuticals, then you have to ensure that certain batch attributes needed for serialization are also replicated as batch characteristics in ECC, as the standard way to handle batch attributes in EWM is via characteristics. Please find more information in the configuration guide at <http://help.sap.com/attp> on how to configure your solution in order to integrate the necessary attributes.

7.3 Warehouse Integration with Warehouse Toolbox and SAP Advanced Track and Trace for Pharmaceuticals functions

The warehouse integration with EWM follows exactly the same principles and paradigms like SAP WM. For more information, see [Warehouse Integration \[page 243\]](#).

The warehouse test UI can be accessed via transaction /STIPEW/WHS_TOOLBOX - *Warehouse Activity Test Client*.

RF demo implementation

- The following demo scenario is available as part of the RF demo implementation
 - Outbound processing: Picking
- The RF demo is embedded into the standard EWM RF framework and tries to follow standard user interaction principles of EWM
- Please find further information regarding the configuration of the RF demo in the configuration guide at <http://help.sap.com/attp>.

8 Operations Information

The following chapters cover topics that are related to the operation of SAP Advanced Track and Trace for Pharmaceuticals.

For general operations information, see the administrator's guide and configuration guides for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

i Note

SAP Note [2707616](#): *ATTP System Setup & Best Practices* is now available, which lists proposals and recommendations from SAP to help customers to set up and configure an SAP Advanced Track and Trace for Pharmaceuticals repository instance. We strongly encourage you to review this note to enable a proper system setup and prevent any issues from occurring.

For more information, see SAP Note [2707616](#).

Related Information

[Archiving of Data \[page 256\]](#)

[Integration of External Systems \[page 268\]](#)

[Usage of AIF Error Handling and Alerting for SAP Advanced Track and Trace for Pharmaceuticals \[page 271\]](#)

8.1 Archiving of Data

Data archiving is used to remove mass data from the database that is no longer required in the system but must be kept in a format that can be analyzed. For all archiving objects of SAP Advanced Track and Trace for Pharmaceuticals on SAP S/4HANA, the data archiving concept is based on the [Archive Development Kit \(ADK\)](#). For more information, see the user assistance for the relevant release of the ABAP Platform: Data Archiving in the ABAP Application System at https://help.sap.com/docs/ABAP_PLATFORM_NEW.

Additionally, Information Lifecycle Management (ILM) can be used for storage and destruction of expired archived data and files. For more information about SAP NetWeaver Information Lifecycle Management, see the user assistance available on SAP Help Portal at <http://help.sap.com>.

Make yourself acquainted with the principles and functions of Information Lifecycle Management in SAP application systems. Assistance of an ILM consultant is recommended to customize and make use of ILM in a correct way to build up the needs according to governance rules and regulations.

Archive business objects by using the respective archiving object. The following table shows the available archiving objects:

Archiving Object	Description
/STTP/OBJ	SAP ATTP: Archive Object Data
/STTP/EVT	SAP ATTP: Archive Event Data
/STTP/TRN	SAP ATTP: Archive Transaction Data
/STTP/REVT	SAP ATTP: Archive Report Event Data
/STTP/SNLI	SAP ATTP: Archive Serial Number Data

8.1.1 Archiving Object Data

Definition

The SAP Advanced Track and Trace objects are archived and deleted using archiving object /STTP/OBJ.

Before using the archiving object for the first time, you should check that the residence times for these objects are maintained in transaction SARA. Go to [▶ Customizing ▶ Residence Times of Objects ▶](#).

Further, the retention times can be entered in transaction SARA. Go to [▶ Customizing ▶ Retention Times of Objects ▶](#).

This is only necessary if ILM Retention Rules are expected to use the precalculated deletion date determined by SAP Advanced Track and Trace for Pharmaceuticals. Customarily, if ILM is switched on, the deletion date is calculated via ILM based on the rules defined in ILM Policies.

Structure

Table

When you use the archiving object /STTP/OBJ, data is archived from the following tables:

Table Name	Description and Purpose
/STTP/DM_OBJ	Objects
/STTP/DM_OBJ_HLT	Object Hierarchy LGTIN Quantity Data
/STTP/DM_OBJ_HRY	Object Hierarchy

Table Name	Description and Purpose
/STTP/DM_OBJ_IDS	Object Identifiers (EPC)
/STTP/DM_OBJ_ARC	Objects Additional Archiving Data
/STTP/DM_OBJ_ITM	Objects (Serialized Items)
/STTP/DM_OBJ_LOT	Objects Lot (Level Objects)
/STTP/DM_OBJ_SCC	Objects (Serialized Containers)
/STTP/DM_EVT_REL	Event Relations to Objects
/STTP/DM_TRN_REL	Transaction Relations to Objects
/STTP/REP_OBJ_RL	Object Relations to Reporting Events

Programs

The following programs are delivered for archiving object /STTP/OBJ.

Program	Function
/STTP/AR_DM_OBJ_PRE	Preprocessing Step of Archiving Process
/STTP/AR_DM_OBJ_WRI	Write Step of Archiving Process
/STTP/AR_DM_OBJ_DEL	Delete Step of Archiving Process
/STTP/AR_DM_OBJ_REL	Reload Data from Archive
/STTP/AR_DM_OBJ_REA	Read Data from Archive

Preprocessing Program

The preprocessing program checks the archivability of these objects. The following conditions are checked:

- Criteria relevant for objects of type Lot (Batch):
 - Not referenced in any item-type object which is not yet archived or selected for archiving
 - Modified Time < current date – customized “Lot Object Residence Time for Archiving” in days
OR
 - Expiration Date < current date – customized expiration date horizon
- Criteria relevant for objects of type Serialized Trade Item (SGTIN):
 - Modified Time < current date – customized “Item Object Residence Time for Archiving (Shipped)” in days
 - Logistic Status > 3 (shipped externally or sold or deactivated)
 - Not a parent in /STTP/DM_OBJ_HRY unless all child SAP Advanced Track and Trace for Pharmaceuticals objects are archived.

- All objects from object hierarchy (above and below) are archivable. This criterion allows only full hierarchy archiving within one archiving session (hierarchy split between different archiving sessions is not allowed).
OR
- Modified Time < current date – customized “Item Object Residence Time for Archiving (Not Shipped)” in days
- Logistic Status < 4 (not shipped)
- Items are not aggregated. Every object selected must have pack status 0 (unpacked) and must not have any child objects.
OR
- Item belongs to a Lot which Expiration Date < current date – customized “Horizon for Archiving of Expired Lots” in days
- Items are not aggregated. Every object selected must have pack status 0 (unpacked) and must not have any child objects.
- Criteria relevant for objects of type Serialized Container (SSCC):
 - Logistic Status > 3 (shipped externally or sold or deactivated)
 - Not a parent in /STTP/DM_OBJ_HRY unless all child SAP Advanced Track and Trace for Pharmaceuticals objects are archived.
 - All objects from the object hierarchy (above and below) are archivable. This criterion allows only full hierarchy archiving within one archiving session (hierarchy split between different archiving sessions is not allowed).
 - All objects will be considered as already archived if they are to be archived at the same archiving session.

To determine the conditions and time references of the entire hierarchy, the table /STTP/DM_OBJ_ARC was created and added to the Archiving Object /STTP/OBJ. If the ILM is switched on, the values from this table can be useful to create retention rules. The value determination follows the rules:

- In general, the following attributes are exposed to the ILM rules framework (or customer's own implementation) for deleting archive objects:
 - Country (condition)
 - Object Type (condition)
 - Creation Date (time reference)
 - Manufacturing Date (time reference)
 - Expiry Date (time reference)
 - Deletion Date (time reference)

The attributes are necessary for the [Write Step](#) to calculate the retention time and archive the objects grouped by conditions and the retention times.

- For objects that are **not** part of a pack hierarchy, the following determination rules apply:
 - For SSCCs, only the object creation date is set (date from UTC timestamp only)
 - For SGTINs, the object creation date and the profile relevant country from the GTIN are set. Additionally, the Manufacturing Date and Expiry Date of the referenced LGTIN are set.
 - For LGTINs, the object creation date and the profile relevant country from the GTIN are set. Additionally, the Manufacturing Date and Expiry Date of the LGTIN itself are set.
 - Object type of the corresponding object is set.
- For objects that are part of a pack hierarchy, the following determination rules apply:
 - All objects that are part of the hierarchy will get the same attribute values.

- For all dates the maximum date (latest) from all included objects is set.
- The country is determined based on the first detected GTIN. The reason is that, in case of mixed hierarchies containing multiple GTINs the likelihood is still high that they belong to the same country, as it is very rare that containers are packed or moved containing product units for different countries.
- If SSCCs contains SGTINs, object type Serialized Item is set, otherwise Serialized Container. For LGTIN Non-Serialized Quantity (Batch) is set.

Additionally, one more field `DELETION_DATE` was created in the table `/STTP/DM_OBJ_ARC` to calculate the end of the retention time based on the values from the customizing table `/STTP/AR_RTN_OBJ` (*ATT Archiving: Retention Time for Archiving Objects*). The customer must not necessarily maintain this customizing table, if he doesn't intend to make use of the field `DELETION_DATE`. On the other hand, customer can configure their own retention rules either in ILM or implement their own archive deletion reports.

The following logic is used for the determination of the Deletion Date:

- The starting time of the calculation is the expiration date of the hierarchy or the object. If it's not provided, then the manufacturing date of the hierarchy or the object is used. If even that is not provided, then the creation date of the hierarchy or the object is used.
- To calculate the deletion date, the retention time is added to the starting time after the offset is added.

The provided BAdI can be used to calculate `DELETION_DATE` anew, and so overrule the results of the rule described above.

Write Program

The write program supports the ADK interruption concept, that is, you can interrupt the write phase and continue it at a later point. For more information, see the SAP NetWeaver Library on SAP Help Portal at <http://help.sap.com/nw>. Open the product page for your SAP NetWeaver release and search for *Interrupting and Continuing Archiving Sessions*.

Without ILM, all instances could be even written to one file (if the size of a file is big enough) although the data may differ.

If ILM is in use and ILM Policies are maintained, it is possible to group archiving data in files according to matching time references and condition fields. For each file, a retention time is calculated based on the Policy Rules and saved together with it for destruction to be run upon it.

Delete Program

The standard variants `SAP&PROD` (production mode) and `SAP&TEST` (test mode) are delivered for the delete program. The delete program does not delete the related entries in the tables:

- `/STTP/DM_OBJ_IDS` (will be needed later on; status is set to ARCHIVED)
- `/STTP/DM_EVT_REL` (will be archived and deleted by archiving object `/STTP/EVT`)
- `/STTP/DM_TRN_REL` (will be archived and deleted by archiving object `/STTP/TRN`)
- `/STTP/REP_OBJ_RL` (will be archived and deleted by archiving object `/STTP/REVT`)

Reading Program

The reading program helps to read data from archive files. The program uses the URN object code or owner, product, and serial as a selection criterion for reading.

Reload Program

The reload program is designed to reload data from archive back to database. The program uses the URN object code as a selection criterion for reloading. If you select the checkbox *Include Lot Items*, the complete

lot is reloaded including underlying items. The program supports Test mode and Production mode as required by ADK concept. The reloading logic itself is supposed to be executed in the background task, therefore if the report is executed in the foreground, it will schedule a standard reloading background job automatically. The system can display the status of the scheduled job as well as application log from transaction SARA. The reload program reloads all the data (from all tables) linked to the selected object from archive.

- /STTP/DM_OBJ
- /STTP/DM_OBJ_HLT
- /STTP/DM_OBJ_HRY
- /STTP/DM_OBJ_IDS
- /STTP/DM_OBJ_ARC
- /STTP/DM_OBJ_ITM
- /STTP/DM_OBJ_LOT
- /STTP/DM_OBJ_SCC
- /STTP/DM_EVT_REL
- /STTP/DM_TRN_REL
- /STTP/REP_OBJ_RL

i Note

Parts of an archive file can be reloaded, but a partial reload of an archived object is not supported. The program distinguishes between the physical archive files and the stored objects within the archive files. This means that only the complete data set of the object can be reloaded and not parts of it. For example, if you reload a lot, then all archived parts are restored and not merely parts of it.

General

A compact log with information about the data processed is written in all the preprocessing, write, delete and reload runs. Alternatively, you can enable the output of a detailed log containing additional information.

Progress confirmation is output in the job log and in the dialog (status line) at regular intervals in all the preprocessing, write, delete and reload runs.

Enhancements

If necessary, it is possible to replace the criteria for the archivability of an object by implementing the BAdI /STTP/BADI_AR_DM_OBJECT.

8.1.2 Archiving Event Data

Definition

The events of SAP Advanced Track and Trace for Pharmaceuticals are archived and deleted using archiving object /STTP/EVT.

Before using the archiving object for the first time, you should check that the residence times for these events are maintained in transaction SARA. Go to [Customizing > Residence Times of Events](#).

Based on time fields like `CREATION_TIME`, `EVTTIME` own time references can be created and used in ILM retention time rules. For more information, see the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

Structure

Tables

When you use the archiving object `/STTP/EVT`, data is archived from the following tables:

Table Name	Description and Purpose
<code>/STTP/DM_EVT</code>	Events
<code>/STTP/DM_EVT_PRP</code>	Additional Event Properties
<code>/STTP/DM_EVT_REL</code>	Event Relations to Objects
<code>/STTP/DM_EVT_SDR</code>	Event Relation to Source/Destination

Programs

The following programs are delivered for archiving object `/STTP/EVT`.

Programs	Function
<code>/STTP/AR_DM_EVT_PRE</code>	Preprocessing Step of Archiving Process
<code>/STTP/AR_DM_EVT_WRI</code>	Write Step of Archiving Process
<code>/STTP/AR_DM_EVT_DEL</code>	Delete Step of Archiving Process

Preprocessing Program

The preprocessing program checks the archivability of these events. The following conditions are checked:

- `CREATION_TIME` < current date – customized residence time (days)
- Does not reference SAP Advanced Track and Trace for Pharmaceuticals objects that are not archived

Write Program

The write program supports the ADK interruption concept, that is, you can interrupt the write phase and continue it at a later point. For more information, see the SAP NetWeaver Library on SAP Help Portal at <http://help.sap.com/nw>. Open the product page for your SAP NetWeaver release and search for *Interrupting and Continuing Archiving Sessions*.

If ILM is in use and ILM Policies are maintained, it is possible to group archiving data in files according to matching time references and condition fields. For each file, a retention time is calculated based on the Policy Rules and saved together with it for destruction to be run upon it.

Deletion Program

The standard variants `SAP&PROD` (production mode) and `SAP&TEST` (test mode) are delivered for the delete program.

General

A compact log with information about the data processed is written in all the preprocessing, write and delete runs. Alternatively, you can enable the output of a detailed log containing additional information.

Progress confirmation is given as an output in the job log and in the dialog (status line) at regular intervals in all the preprocessing, write, and delete runs.

Enhancements

If necessary, it is possible to replace the criteria for the archivability of an event by implementing the BAdI `/STTP/BADI_AR_DM_EVENT`.

8.1.3 Archiving Business Transaction Data

Definition

The business transactions of SAP Advanced Track and Trace for Pharmaceuticals are archived and deleted using archiving object `/STTP/TRN`.

Before using the archiving object for the first time, you should check that the residence time for these business transactions is maintained in transaction `SARA`. Go to [► Customizing ► Residence Times of Transactions ►](#).

Based on time fields like `CREATION_TIME`, `MODIFIED_TIME` own time references can be created and used in ILM retention time rules. For more information, see the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

Structure

Tables

When you use the archiving object `/STTP/TRN`, data is archived from the following tables:

Table Name	Description and Purpose
<code>/STTP/DM_TRN</code>	Business Transactions
<code>/STTP/DM_TRN_EVT</code>	Business Transaction relations to ATT events
<code>/STTP/DM_TRN_ITM</code>	Business Transaction Items
<code>/STTP/DM_TRN_REL</code>	Business Transaction Relations to ATT objects

Programs

The following programs are delivered for archiving object `/STTP/TRN`.

Programs	Functions
/STTP/AR_DM_TRN_PRE	Preprocessing step of the archiving process
/STTP/AR_DM_TRN_WRI	Write step of the archiving process
/STTP/AR_DM_TRN_DEL	Delete step of the archiving process

Preprocessing Program

The preprocessing program checks the archivability of SAP Advanced Track and Trace for Pharmaceuticals business transactions. The following conditions are checked:

- MODIFIED_TIME < current date – customized residence time (days)
- Does not reference SAP Advanced Track and Trace for Pharmaceuticals objects that are not archived
- Does not reference SAP Advanced Track and Trace for Pharmaceuticals events that are not archived

Write Program

The write program supports the ADK interruption concept, that is, you can interrupt the write phase and continue it at a later point. For more information, see the SAP NetWeaver Library on SAP Help Portal at <http://help.sap.com/nw>. Open the product page for your SAP NetWeaver release and search for *Interrupting and Continuing Archiving Sessions*.

If ILM is in use and ILM Policies are maintained, it is possible to group archiving data in files according to matching time references and condition fields. For each file, a retention time is calculated based on the Policy Rules and saved together with it for destruction to be run upon it.

Deletion Program

The standard variants SAP&PROD (production mode) and SAP&TEST (test mode) are delivered for the delete program.

General

A compact log with information about the data processed is written in all the preprocessing, write and delete runs. Alternatively, you can enable the output of a detailed log containing additional information.

Progress confirmation is given as an output in the job log and in the dialog (status line) at regular intervals in all the preprocessing, write, and delete runs.

Enhancements

If necessary, it is possible to replace the criteria for the archivability of a business transaction by implementing the BAdI /STTP/BADI_AR_DM_TRANSACTION.

8.1.4 Archiving Reporting Event Data

Definition

The reporting events of SAP Advanced Track and Trace for Pharmaceuticals are archived and deleted using archiving object /STTP/REVT.

Before using the archiving object for the first time, you should check that the residence time for these business transactions is maintained in transaction SARA. Go to ► [Customizing](#) ► [Residence Times of Reporting Event](#) ►.

Based on time fields like `CREATION_TIME`, `MODIFIED_TIME` own time references can be created and used in ILM retention time rules. For more information, see the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

Structure

Tables

When you use the archiving object `/STTP/REVT`, data is archived from the following tables:

Table Name	Description and Purpose
<code>/STTP/REP_EVT</code>	Reporting Events
<code>/STTP/REP_OBJ_RL</code>	Object Relations of Reporting Events
<code>/STTP/REP_OBJ_RC</code>	Object Response Status of Reporting Events

Programs

The following programs are delivered for archiving object `/STTP/REVT`.

Program	Function
<code>/STTP/AR_REP_REVT_PRE</code>	Preprocessing Step of Archiving Process
<code>/STTP/AR_REP_REVT_WRI</code>	Write Step of Archiving Process
<code>/STTP/AR_REP_REVT_DEL</code>	Delete Step of Archiving Process

Preprocessing Program

The preprocessing program checks the archivability of the business transactions. The following conditions are checked:

- `MODIFIED_TIME` < current date – customized residence time (days)
- `STATUS_REP_EVT` = *sent ok* or *error acknowledged*
- Does not reference SAP Advanced Track and Trace for Pharmaceuticals objects that are not archived
- Referenced SAP Advanced Track and Trace for Pharmaceuticals event is already archived

Write Program

The write program supports the ADK interruption concept, that is, you can interrupt the write phase and continue it at a later point. For more information, see the SAP NetWeaver Library on SAP Help Portal at <http://help.sap.com/nw>. Open the product page for your SAP NetWeaver release and search for *Interrupting and Continuing Archiving Sessions*.

If ILM is in use and ILM Policies are maintained, it is possible to group archiving data in files according to matching time references and condition fields. For each file, a retention time is calculated based on the Policy Rules and saved together with it for destruction to be run upon it.

Deleting Program

The standard variants `SAP&PROD` (production mode) and `SAP&TEST` (test mode) are delivered for the delete program.

General

A compact log with information about the data processed is written in all the preprocessing, write and delete runs. Alternatively, you can enable the output of a detailed log containing additional information. Progress confirmation is output in the job log and in the dialog (status line) at regular intervals in all the preprocessing, write and delete runs.

Enhancements

If necessary, it is possible to replace the criteria for the archivability of a reporting event by implementing the BAdI `/STTP/BADI_AR_REP_EVENT`.

8.1.5 Archiving Serial Number Data

Definition

Serial numbers of SAP Advanced Track and Trace for Pharmaceuticals are archived and deleted using archiving object `/STTP/SNLI`.

Before using the archiving object for the first time, you should check that the residence time for these serial numbers is maintained in transaction `SARA`. Go to [► Customizing ► Residence Times of Serial Numbers ►](#).

Based on time fields like `TIME_GEN`, `TIME_REQ`, `TIME_UPD` own time references can be created and used in ILM retention time rules. For more information, see the configuration guide for SAP Advanced Track and Trace for Pharmaceuticals on the SAP Help Portal at <http://help.sap.com/attp>.

Structure

Tables

When you use the archiving object `/STTP/SNLI`, data is archived from the following tables

Table Name	Description and Purpose
<code>/STTP/SNR_LIST</code>	Advanced SNR Mgmt: Serial Number List
<code>/STTP/SNR_LIST_A</code>	Advanced SNR Mgmt: Serial Number Archive List
<code>/STTP/SNR_CRY</code>	SNR Mgmt: Serial Number Crypto Codes

Programs

The following programs are delivered for archiving object `/STTP/SNLI`.

Program	Function
<code>/STTP/AR_SNR_LIST_PRE</code>	Preprocessing Step of Archiving Process
<code>/STTP/AR_SNR_LIST_WRI</code>	Write Step of Archiving Process
<code>/STTP/AR_SNR_LIST_DEL</code>	Delete Step of Archiving Process

Preprocessing Program

The preprocessing program checks the archivability of the serial numbers. The following conditions are checked:

- Time of creation or last update (`TIME_GEN`, `TIME_UPD`) < current date – customized residence time (days)
- Serial number status (`/STTP/SNR_LIST-STATUS`) = *2 - Commissioned* or *3 - Reported Lost*
- Range status (`/STTP/SNR_POOL-STATUS`) = *3 - Closed*

Regarding crypto codes:

- If the serial number doesn't have a crypto code, the serial number will be archived – storage is set to *2* (to be archived).
- If the serial number has a crypto code which is confirmed and in status *Deleted*, both the serial number and crypto code will be archived – serial number storage is set to *2* (to be archived).
- If the serial number has a crypto code, but the crypto code is not confirmed or the status is not *Deleted*, both the serial number and the crypto code will not be written to the archive.

Write Program

The write program supports the ADK interruption concept, that is, you can interrupt the write phase and continue it at a later point. For more information, see the SAP NetWeaver Library on SAP Help Portal at <http://help.sap.com/nw>. Open the product page for your SAP NetWeaver release and search for *Interrupting and Continuing Archiving Sessions*.

If ILM is in use and ILM Policies are maintained, it is possible to group archiving data in files according to matching time references and condition fields. For each file, a retention time is calculated based on the Policy Rules and saved together with it for destruction to be run upon it.

Only serial numbers having storage *2* (to be archived) are archived. If serial number with storage *2* also has a crypto code, then the crypto code is archived as a sub-entity of the serial number.

Deletion Program

The standard variants SAP (production mode) and SAP (test mode) are delivered for the delete program.

General

A compact log with information about the data processed is written in all the preprocessing, write and delete runs. Alternatively, you can enable the output of a detailed log containing additional information.

Progress confirmation is output in the job log and in the dialog (status line) at regular intervals in all the preprocessing, write, and delete runs.

8.1.6 Storage in ILM System

If ILM is switched on, after you select an Archiving Object in the transaction SARA, the files created in the *Write* step can be moved to the ILM Store (by choosing *Storage System*).

8.1.7 Archive Destruction using ILM System

After retention time is exceeded, archiving files can be destructed through ILM. In this case the archiving files are physically deleted from the storage system.

Enhancements

If necessary, it is possible to delete data during destruction by implementing the BAdI `BADI_ILM_PRE_DESTR_FILE_ACTION`.

8.1.8 Destruction of Object Data

To delete the IDs from the table `/STTP/DM_OBJ_IDS`, the BAdI `BADI_ILM_PRE_DESTR_FILE_ACTION` with interface method `IF_BADI_ILM_PRE_DESTR_FILE_ACT~OPERATE_ON_DESTRUCTION` (Preparation for Clean Up Activity in a Remote System) is used. The implementation is done in enhancement implementation `/STTP/EH_ILM_PRE_DESTR_FILE_A`, Class `/STTP/CL_AR_ILM_PRE_DESTR_FILE`.

With an activated ILM system, this implementation deletes the entries that have not yet been deleted from the table `/STTP/DM_OBJ_IDS`, if the corresponding archive file content is deleted from the archive files in the file system.

8.2 Integration of External Systems

Definition

SAP Advanced Track and Trace for Pharmaceuticals can interface with many external systems. The following scenarios show how this solution integrates with various external systems:

Integration

Interfaces with ERP, SAP ECC or WHS Systems

This solution offers interfaces for Master Data integration, Transaction Data integration and SAP Advanced Track and Trace for Pharmaceuticals functions mainly used for warehouse integration. These interfaces can be

called from SAP ECC natively through the ECC Add-on for SAP Advanced Track and Trace for Pharmaceuticals. In addition, Third Party ECC and Warehouse Management systems can use the interfaces in order to integrate with this solution.

Master Data Integration

- These interfaces work based on RFC-based BAPIs to integrate Materials, Business Partners, and Locations. These BAPIs offer all entity attributes within their interface and hence you can create all master data entities externally.

Business Transaction Document Integration

- This interface is based on an RFC-based BAPI to integrate business transaction documents to SAP Advanced Track and Trace. The BAPI offers all attributes of the SAP Advanced Track and Trace Business Transaction. The ECC Add-on uses this interface to integrate production or process orders, inbound deliveries, outbound deliveries and return deliveries. Using the BAPI further document types (business transaction types) can be integrated as well in a custom implementation.

i Note

Batches are not integrated as master or transactional data through RFC but through EPCIS event containing LGTINs.

SAP Advanced Track and Trace for Pharmaceuticals Functions

- The ECC Add-on for SAP Advanced Track and Trace for Pharmaceuticals contains a toolbox to enable connection of the Warehouse functions to this solution. The Add-on contains comprehensive functionality in ECC and uses interfaces to SAP Advanced Track and Trace, which can be used by other systems as well. These interfaces are summarized as Advanced Track and Trace functions with the following main capabilities:
 - Warehouse activity validation: Validates if warehouse activity is allowed for serialized items
 - Warehouse functions: Provides functions such as Get contents, Get hierarchy and so on
 - eASN Support: Allows to query data from SAP Advanced Track and Trace for Pharmaceuticals to support composition of an enriched ASN containing all the serialized objects including their packing hierarchy.
 - Supports Serial number request
 - Supports Authentication Requests
 - Receives EPCIS event message

The repository system offers both RFC and OData Services for these Advanced Track and Trace functions. The ECC Add-on for SAP Advanced Track and Trace for Pharmaceuticals connects to the repository system via OData Services.

Interfaces with Government Systems

The communication with government systems typically is executed through SOAP service, especially for the country-specific functions of Turkey and Argentina. In case of China, there is a file based data exchange approach. For more details regarding the supported regulatory reporting messages, see [Country-Specific Features \[page 112\]](#).

Interfaces with Packaging Lines or Site- or Line Servers

Packaging lines, Site- or Line Servers can request serial number lists or ranges from SAP Advanced Track and Trace for Pharmaceuticals. Furthermore, there is a function to send serial number status information back from

the packaging line to this solution, which enables reconciliation especially if the serial numbers are never to be commissioned. The serial number interfaces are both available as SOAP service and as RFC. This solution is capable of receiving EPCIS event messages from packaging lines through SOAP service or alternatively OData. It can also receive PML messages either through SOAP service or http post.

Interfaces with Supply Chain Partners

Supply Chain partners typically connect through SOAP service. The following interfaces are supported for this scenario:

- Request serial number range
- Request serial number list
- Send serial number range
- Send serial number list
- Send serial number status information (for both list and range)
- Receive serial number status information (for both list and range)
- Send EPCIS outbound message to subsequent
- Supply Chain Partner (contains following events: commissioning, aggregation, shipping)
- Receive EPCIS message from preceding supply chain partner
- Receive PML message from preceding supply chain partner

i Note

Sending of PML message is not supported

Interface with Local All

Local Alls are connected through SOAP service to the SAP Advanced Track and Trace system.

The connection to All is limited to the following interfaces:

- Send serial number range to All
- Receive EPCIS messages from All

For more details, see [Deployment Models \[page 212\]](#).

Interface with Local Repository Systems

Central and local SAP Advanced Track and Trace systems are connected through an RFC connection. There are special interfaces available to connect a central SAP Advanced Track and Trace system with local systems that handle repository distribution, replication of transactional data, and serial number management. For more details, see [Deployment Models \[page 212\]](#).

i Note

For more information, see [Interfaces for the Connectivity of Packaging Lines with SAP Advanced Track and Trace for Pharmaceuticals](#) on the SAP Help Portal at <http://help.sap.com/attp>.

8.3 Usage of AIF Error Handling and Alerting for SAP Advanced Track and Trace for Pharmaceuticals

AIF Error Handling and Alerting in General

SAP Advanced Track and Trace for Pharmaceuticals uses AIF standard functionalities for error handling and alerting of interfaces. More information about AIF and the available possibilities for error handling and alerting can be found in the AIF Application Help at <http://help.sap.com/aif>.

8.3.1 Customizing of AIF Error Handling and Alerting in SAP Advanced Track and Trace for Pharmaceuticals

All available namespaces and assigned interfaces are predefined in SAP Advanced Track and Trace for Pharmaceuticals. As the settings for error handling and alerting are part of this Customizing these settings can't be changed on the customer system. Nevertheless SAP Advanced Track and Trace for Pharmaceuticals provides flexible capabilities to setup a customer-specific error handling and alerting.

Details about Error Handling and Alert Customizing

For all Interfaces a specific Standard Alert Receiver and a specific Alert Category is defined. The standard alert receiver enables customers to find specific alert receiver for each interface. The Alert Category provides the possibility to setup alert mails with interface specific content (for example, long texts). The provided alert categories can be changed by the customer using transaction `ALRTCATDEF`. Example texts for the alert category are provided within the Configuration Guide.

More information about the Customizing settings can be found in the Configuration Guide at <http://help.sap.com/attp>.

Specific Error Handling and Alerting for EPCIS Interface

Besides the previously mentioned Standard Alert Receiver for each interface, SAP Advanced Track and Trace for Pharmaceuticals provides the possibility to set up a sender-specific error/alert handling for the EPCIS interface. That means it is possible to define a customer specific alert recipient for each sender that is used within the EPCIS inbound message.

More information on how to set up this sender-specific error/alert handling can be found in the section *Usage of Sender Specific Alert Recipient Determination* under *Customer Specific Setup of Error Handling/Alerting* [page 271]

More information about the Customizing settings can be found in the Configuration Guide at <http://help.sap.com/attp>.

8.3.2 Customer Specific Setup of Error Handling/Alerting

Assign Users for Standard Alert Recipient Determination

The assignment of users to the standard alert recipient needs to be done if specific users should be informed about a situation (this could be an error or warning message) during the processing of an interface. The definition of standard alert recipients is already predefined in SAP Advanced Track and Trace for Pharmaceuticals. That means only the assignment of users to the alert recipient must be done on the customer system.

To assign different interfaces and their standard alert recipients to a specific user, the transaction `/AIF/RECIPIENTS` can be used:

- Enter the user as *Work Area*.
- On the next screen enter the Namespace of the standard alert recipient
- Enter the message type

Message Types

Message Type	Result
N None	Alerts will be raised for Message Types E and A
I Info	Alerts will be raised for Message Types I, S, W, E and A
S Success	Alerts will be raised for Message Types S, W, A and E
W Warning	Alerts will be raised for Message Types W, E and A
E Application Error	Alerts will be raised for Message Types E and A
A Technical Error	Alerts will be raised for Message Types E and A
'' Application Error or Technical Error	Alerts will be raised for Message Types E and A

- Set the flag *Overview* – this will control that the alert recipient is displayed within the AIF Interface Monitor

After you have saved the assignment of the Namespace / Alert Recipient to the user this user will receive alerts. These alerts are visible within the AIF Interface Monitor (Transaction `/AIF/IFMON`). For more information, see [Usage of the AIF Interface Monitor \[page 274\]](#). In case that the Mail Server is setup on your system and the user has an email address assigned an alert mail will be send to the user.

→ Tip

If you have trouble with the determination of the standard recipient, ensure that the AIF default recipient *ALL* is not used for all interfaces. To check that AIF Default Recipient *ALL* is used/not used, do the following:

- Call transaction SM30, enter view name `/AIF/V_ALRT_DET`
- Leave *Namespace*, *Interface* and *Version* empty and press *Enter*
- Confirm the next popup with *CONTINUE*
- If the following entry is displayed, the AIF Default recipient determination is active :

Checking AIF Default Recipient Determination

			Interface Specific Settings
Namespace	Interface	Version	Recipient for Alert
			ALL

- To use the standard alert recipient you have to delete the recipient *ALL*

Usage of Sender Specific Alert Recipient Determination

For the EPCIS Inbound Interface, SAP Advanced Track and Trace for Pharmaceuticals provides the possibility to set up the alert recipient determination based on the sender. This setup is an additional feature to control the alert recipient determination in a more specific way. That means, you can assign one or more users to the standard recipient of the EPCIS interface, but for a specific sender you can determine a specific alert recipient and assign specific user just to the alert recipient of this sender.

To set up the sender specific alert recipient, do the following:

- Create customer-specific namespace, for example, ZALERT. Call transaction `/AIF/CUST` and then go to Customizing for *Define Namespace* under **SAP Application Interface Framework > Interface Development**.
- Create customer-specific alert recipient for the created namespace ZALERT. Call transaction `/AIF/CUST` and then go to Customizing for *Define Namespace-Specific Features* under **SAP Application Interface Framework > Error Handling**. In this Customizing activity, navigate to *Define Recipients* to define the sender specific alert recipients. For example, AR_MUELLER, AR_SCHMITT.
- Then assign the sender-specific Alert Recipients to the Interface `/STTEI/EPCIS_MSG`. Call Transaction `/STTP/ART_INB_SENDCR` to maintain the following:

Namespace	Interface Name	Interface Version	Namespace Recipient	Recipient for Alert	Sender of Message
/STTEI	EPCIS_MSG	1	ZALERT	AR_MUELLER	PAR_MUELLER
/STTEI	EPCIS_MSG	1	ZALERT	AR_SCHMITT	SER_SCHMITT

→ Tip

For *Sender of Message*, the sender of the message should be entered with prefix 'SER_' for serialized processing, and prefix 'PAR_' for non-serialized processing.

With the above settings Recipient AR_SCHMITT is determined for serialized EPCIS messages from Sender SCHMITT. For non-serialized EPCIS messages from sender MUELLER alert recipient AR_MUELLER is determined.

For all other senders of EPCIS messages the default recipient `/STTP/AR_EPCIS_MSG` will be determined.

- Finally, assign a user to the sender-specific alert recipient. This can be done by calling transaction `/AIF/RECIPIENT`. Note that within `/AIF/RECIPIENT` the customer-specific namespace ZALERT must be used.

Usage of Message Specific Recipient Determination

Besides the above mentioned 'Standard Recipient Determination' and the 'Sender Specific Recipient Determination', SAP Advanced Track and Trace for Pharmaceuticals provides the possibility to determine a recipient based on specific messages posted during interfaces processing. Below you can see how this can be setup based on the message W276 – this message is a warning to inform the user that the event might not be the newest for some object.

Setting up Alerting for Message W276:

1. Call transaction `/STTP/ART_MSG` and enter the following values:

Setting up Alerting for Message W276

Namespace	Interface Name	Interface Version	Namespace Recipient	Recipient for Alert	Msg Type	Message Class	Message Number
/STTEI	EPCIS_MSG	1	ZALERT	AR_MSG	W	/STTP/ DM_MSG	276

→ Tip

Namespace ZALERT must be defined in advance. AR_MSG must be defined in customer specific namespace ZALERT.

2. Then assign a user to the sender alert recipient AR_MSG. Call transaction `/AIF/RECIPIENT`. Note that within `/AIF/RECIPIENT` the customer-specific namespace ZALERT must be used.
3. Before you use the message-specific recipient determination, check that the *General Customizing Table* contains correct settings for parameter `AIF_ALERT_MESSAGE`. For more information regarding this parameter see *General Customizing* in the Configuration Guide at <http://help.sap.com/attp>.

For more information about the customizing settings, see the configuration guide at <http://help.sap.com/attp>.

Customer Specific Alert Recipient Determination

If the above ways of determining alert recipients are not sufficient to fulfill your specific business requirements, the enhancement spot `/AIF/ALERT` provides the BAdI `/AIF/ALERT_DET_RECIPIENTS`. This BAdI lets you implement a customer-specific redetermination of alert recipients. For redetermination, it is required that at least one recipient has already been determined. If no recipient is found, the BAdI is not called.




8.3.3 Usage of the AIF Interface Monitor

The AIF Interface Monitor (transaction `/AIF/IFMON`) provides a user-specific overview about the interfaces and the alert recipients assigned to the user.

Recipients used in the alert recipient table, as well as the standard recipient, are displayed in the AIF Monitor if the flag *Overview* is set in transaction `/AIF/RECIPIENTS`. If the BAdI is used to add recipients in specific situations, this recipient will not be displayed in the AIF Monitor. For the EPCIS interface it is possible to display this recipient by adding the recipient to the *Recipient assignment table* with a sender that will never exist.

If an alert is raised, this status is indicated by an active alert icon.

In column *Mail* the user can set up the mail notification for each recipient. The following setup is possible:



Icon	Icon Text	Mail Notification
	No mail	The user will not get notifications via mail for this recipient
	Mail for next alert	The user will get notifications via mail for the next alert. That means the user will get a mail if an alert is raised. The user will not get any further mails until the existing alert is confirmed and a new alert is raised.
	Mail for every error	The user get notifications via mail for all alerts (also if there is an existing alert) with Message Type A or E.

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