



1 **Throughfall spatial patterns translate into spatial patterns of soil moisture dynamics – empirical evidence**

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9  
10 **Abstract**

11 Throughfall heterogeneity induced by the redistribution of precipitation in vegetation canopies has repeatedly been  
12 hypothesized to affect the variation of soil water content and runoff behavior, especially in forests. However, we  
13 are not aware of any observational study relating the spatial variation of soil water content directly to net  
14 precipitation to confirm modelling hypotheses. Here, we investigate whether throughfall patterns affect the spatial  
15 heterogeneity of soil water response in the main rooting zone. We assessed rainfall, throughfall and soil water  
16 contents (two depths: 7.5 cm and 27.5 cm) on a 1-ha temperate mixed beech forest plot in Germany 2015 - 2016  
17 during the growing seasons in independent high-resolution stratified random designs. Because throughfall and soil  
18 water content cannot be measured at the same location, we used kriging to derive the throughfall values at the  
19 locations where soil water content was measured. We first explore the spatial variation and temporal stability of  
20 throughfall and soil water patterns, and next evaluate the effects of input (throughfall), soil properties (field  
21 capacity and air capacity), and vegetation parameters (canopy cover and distance to the next tree) on soil water  
22 content and dynamics.

23 Throughfall spatial patterns were related to canopy density. Although spatial auto-correlation decreased with  
24 increasing event sizes, temporally stable throughfall patterns emerged, leading to reoccurring high and lower  
25 input locations across precipitation events. A linear mixed effect model analysis showed, that soil water content  
26 patterns were only poorly linked to throughfall spatial patterns, and it was rather shaped by unidentified but time  
27 constant factors.

28 Instead of soil water content itself, the patterns of its increase after rainfall corresponded more closely to  
29 throughfall patterns, in that more water was stored in the soil where throughfall was elevated. Furthermore, soil  
30 moisture patterns themselves enhanced or decreased water storage in the soil, and probably fast drainage and  
31 runoff components. Locations with low topsoil water content tended to store less of the input water, indicating  
32 preferential flow. In contrast in subsoil, locations with high water content stored less water. Also, distance to the  
33 next tree and air capacity modified how much water was retained in soil storage.

34 In this comprehensive study we show that throughfall patterns imprint less on soil water contents and more on soil  
35 water dynamics shortly after rainfall events, therefore only partly confirming previous modelling with data. Our  
36 findings highlight at the same time systematic patterns of times and locations where the capacity to store water is  
37 reduced and water probably conducted quickly to greater depth. Our results indicate that not soil moisture patterns  
38 but rather percolation may depend on small scale spatial heterogeneity of canopy input patterns.

39  
40 **Keywords:** throughfall, mixed beech forest, soil water content increase, temporal variation, spatial variation,  
41 pattern

42



## 43 1. Introduction

44

45 Over the past decades, there has been a raised interest on how water input at the soil surface is affected by  
46 vegetation canopies to understand and predict hydrological processes related to vegetation structure and land use  
47 change (Western et al., 2004; Savenije, 2004; Murray, 2014; Guswa et al., 2020; Oda et al., 2021). Due to  
48 interception losses, the water arriving below the canopy is a smaller amount compared to above (Horton, 1919  
49 and references therein; Carlyle-Moses and Gash, 2011) with implications for the soil water balance (Durocher,  
50 1990; Bouten et al., 1992; Schume et al., 2003; Klos et al., 2014; Metzger et al., 2017) and overall water budget  
51 at the catchment scale (Brown et al., 2005; Oda et al., 2021).

52

53 Next to interception loss, the contact of precipitation with the vegetation canopy causes spatial redistribution of  
54 the incoming water. This leads to characteristic spatial heterogeneity of the dripping (throughfall) and flowing  
55 (stemflow) below canopy precipitation, locally causing enhanced water input to the soil surface. For example,  
56 hotspots by dripping points (enhanced water flow from peculiarities in the canopy, Falkengren-Grerup, 1989;  
57 Keim et al., 2005; Staelens et al., 2006; Voss et al., 2016) and stemflow hotspots (Levia and Germer, 2015;  
58 Carlyle-Moses et al., 2018) are well-documented. The available research suggests that both throughfall patterns  
59 and stemflow spatial distributions are reoccurring (Keim et al., 2005; Staelens et al., 2006; Zimmermann et al.,  
60 2008; Wullaert et al., 2009; Guswa and Spence, 2012; Metzger et al., 2017; Van Stan et al., 2020).

61

62 The observed persistence of spatial patterns of below canopy precipitation has created a strong expectation that  
63 those affect patterns of soil water content (Schume et al., 2003; Wullaert et al., 2009; Rosenbaum et al., 2012;  
64 Zehe et al., 2010) and hotspots of percolation or preferential flow (Bouten et al., 1992; Schume et al., 2003;  
65 Blume et al., 2009; Bachmair et al., 2012) in forests soils. Yet, this is only partly confirmed with observations:  
66 For stemflow affected locations, soil moisture microsites have repeatedly been demonstrated (Pressland, 1976;  
67 Durocher, 1990; Liang et al., 2007; Germer, 2013; Metzger et al., 2021). Stemflow can create substantial  
68 funneling of water to the forest floor and water availability on the forest floor can be locally enhanced 10 to 100  
69 times (Levia and Germer, 2015; Carlyle-Moses et al., 2018; Metzger et al., 2021).

70

71 While for stemflow the belowground consequences of input hotspots have been repeatedly confirmed, much less  
72 research is available about the role of the less pronounced, but still spatially persistent pattern of throughfall for  
73 soil water dynamics. Modelling suggested that throughfall patterns influence the root zone soil moisture pattern  
74 (Coenders-Gerrits et al., 2013; Guswa, 2012). However, soil moisture patterns are also influenced by several  
75 other factors creating substantial heterogeneity such as heterogeneity of soil properties, local micro-topography,  
76 litter thickness or root water uptake (Bouten et al., 1992; Schume et al., 2003; Schwärzel et al., 2009; Gerrits and  
77 Savenije, 2011; Rosenbaum et al., 2012; Liang et al., 2017; Molina et al., 2019), and those are typically not fully  
78 captured in virtual experiments. In contrast, observation studies found that throughfall and root zone soil  
79 moisture were not (Shachnovich et al., 2008; Rodrigues et al., 2022) or only occasionally (Metzger et al., 2017)  
80 or weakly (Molina et al., 2019) related. On the other hand, Klos et al. (2014) found a relation below the rooting  
81 zone by strategically sampling at high and low throughfall positions, and several authors found indirect evidence  
82 by interpreting the change of spatial variation in soil water content (Zehe et al., 2010; Rosenbaum et al., 2012;  
83 Metzger et al., 2017) after precipitation events.



84

85 In light of the substantial heterogeneity of other influencing factors, one of the reasons for the limited direct  
86 observational evidence of the effect of throughfall on soil water content maybe the lack of studies investigating  
87 the relation between below canopy precipitation and soil water patterns in a dedicated and coordinated fashion.  
88 The characterization of spatial patterns, such as those of throughfall, requires a large number of samplers  
89 (Kimmins, 1973; Lloyd and Marques, 1988; Zimmermann et al., 2010; Van Stan et al., 2020), and the same is  
90 true for below ground observations. Furthermore, a fundamental challenge is that soil water input and soil water  
91 content cannot be assessed at the same location, since the throughfall measurements disturb the infiltration into  
92 the soil. The objective of this study is therefore to compare the patterns of soil water content, soil properties and  
93 throughfall using a dedicated spatially highly resolved sampling design to reveal whether input, next tree  
94 distance or soil properties affect spatial variation in soil water content and soil water response. We used  
95 independent designs for above and below ground observations and applied kriging to derive the throughfall  
96 values at the locations where soil water content was measured. The aims of the study were to a) to explore  
97 spatial heterogeneity and temporal stability of throughfall and soil water content and b) evaluate the influence of  
98 soil properties (field capacity and macroporosity), vegetation parameters (canopy cover, next tree distance) and  
99 input variation (throughfall) on the variation of soil water content and soil water content increase after  
100 precipitation.

101

## 102 **2. Methods**

103

### 104 **2.1 Study area**

105 The study was carried out in the Hainich Critical Zone Exploratory (CZE Hainich, see Küsel et al. 2016), run by  
106 the Collaborative Research Centre “AquaDiva”. The site is located in Central Germany, in the Hainich National  
107 Park in an unmanaged beech dominated forest. Mean annual temperature are around 7.5 to 9.5 °C, depending on  
108 the position of the small mountain, and the total annual precipitation drops from 900 to less than 600 mm from  
109 ridge to valley (Küsel et al., 2016). The monitoring site as well as measurements of precipitation and soil moisture  
110 has been described in Metzger et al. (2017), the important parts are repeated here for completeness. The site covers  
111 an area of 1 ha and is situated at 365 m a.s.l. The study area contains of 581 tree individuals (diameter breast  
112 height  $\geq 5$  cm), representing a heterogeneous age structure. The soils in this area are dominantly luvisols (Schrumpf  
113 et al., 2014; Kohlhepp et al., 2017). The species assemblages consists of 70% European beech trees (*Fagus*  
114 *sylvatica*), as well as Sycamore maple (*Acer pseudoplatanus*), European ash (*Fraxinus excelsior*), European  
115 hornbeam (*Carpinus betulus*), Large-leaved linden (*Tilia platyphyllos*), Norway maple (*Acer platanoides*) and  
116 Scots elm (*Ulmus glabra*). The weathered bedrock is at 15 to 87 cm depth (median depth 37 cm). More details on  
117 the research site are given in Metzger et al. (2017).

118

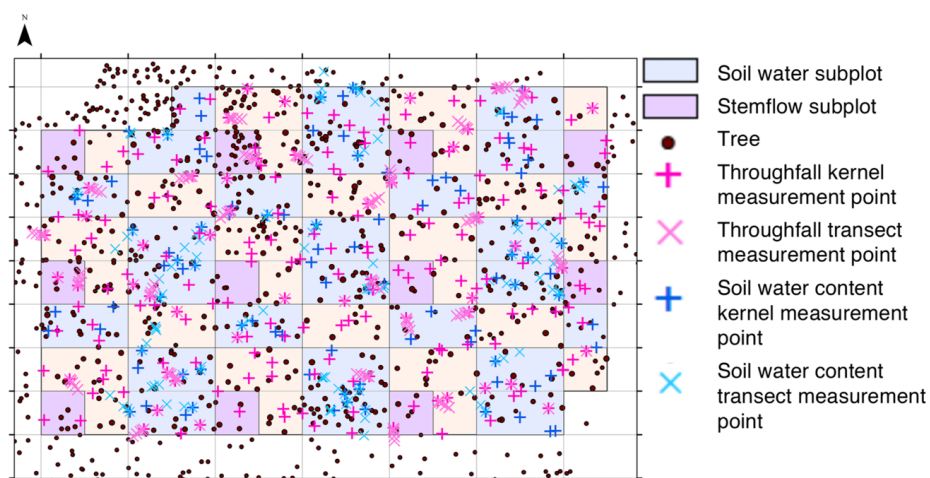
### 119 **2.2 Precipitation measurements and processing**

120 The precipitation sampling follows the same procedure as given in Metzger et al. (2017). Gross precipitation ( $P_g$ )  
121 and throughfall ( $P_{TF}$ ) were measured manually using gauges on a per-event basis in spring 2014, 2015, 2016. The  
122 current analysis covers the period from June 18 to July 28 2015 and May 31 to July 14 2016. The installed  
123 throughfall collectors consist of circular funnels (diameter = 12 cm), the opening of which is placed about 37 cm  
124 above the ground surface. A table tennis ball is placed in the opening of the funnel to minimized evaporation.



125 Throughfall collectors were arranged in a stratified sampling design (Zimmermann et al., 2016). For this, the 1 ha  
 126 plot was divided into 100 subplots each 10 m x 10 m (Figure 1) and equipped with two randomly located  
 127 throughfall samplers. Of those, we selected 50 point randomly and added another sampler in direct vicinity (0.1 m  
 128 distance) creating a “short transect”. Furthermore, to 25 randomly selected short transects we added four more  
 129 samplers at 0.5, 1, 2, and 3 m from the first to form “long transects”. The direction of all transects was also  
 130 randomly chosen. In total we sampled  $n = 350$  throughfall positions.

131 Sampling started 2 h after the end of rainfall by collecting the volume of all sampling containers using graduated  
 132 cylinders. Gross precipitation was measured at an adjacent (distance 250 m) open grassland using five funnels of  
 133 the same type as the throughfall collectors.



134  
 135 Fig. 1: Experimental set-up in the 1-ha forest plot subdivided by a 10 m x 10 m grid yielding 100 subplots.  
 136 Positions of the throughfall samplers (pink crosses) and 49 soil water content subplots (blue) measured in a  
 137 stratified random design with transects (see material and methods for more details, Figure from Metzger et al.,  
 138 2017).  
 139

140 To allow comparison of spatial pattern between events, we calculated a normalized spatial deviation of each  
 141 measurement ( $\delta P_{TF,i}$ ) similarly to Vachaud et al. (1985). Since throughfall is not always normally distributed in  
 142 space, we used the median ( $\hat{P}_{TF}$ ) instead of the arithmetic mean for normalization, as already done by Zimmermann  
 143 et al. (2008) and Wullert et al. (2009) as follows  
 144

$$\delta P_{TF,i} = \frac{P_{TF,i} - \hat{P}_{TF}}{\hat{P}_{TF}} \quad (1)$$

145 where  $\delta P_{TF,i}$  represents the normalized value of the spatially distributed measurements of throughfall ( $P_{TF,i}$ ) at  
 146 locations  $i$  for a specific event, and  $\hat{P}_{TF}$  the spatial median for that event. To investigate the temporal persistence  
 147 of the spatial pattern of throughfall we derived temporal stability plots (Zimmermann et al., 2008; Wullaert et al.,  
 148 2009) by ranking the normalized throughfall from minimum to maximum. Additionally, we calculated Spearman  
 149 rank correlation coefficients between observations of different events, where high correlations indicate strong



150 persistence (or temporal stability) of the throughfall pattern. We paired all events falling into a given rain event  
151 class according the Metzger et al. (2017): small ( $P_g \leq 3$  mm); medium ( $3 \text{ mm} < P_g \leq 10$  mm), large ( $P_g > 10$  mm).  
152 To relate the general precipitation and soil moisture conditions during the observation period to the average  
153 climate, we compared them with precipitation data from a nearby gauge (Mühlhausen- Windeberg, 20 km to the  
154 northeast) of the German Weather Service (DWD climate data centre, [www.dwd.de/cdc](http://www.dwd.de/cdc), ID 5593).  
155

### 156 2.3 Soil water content measurements

157 The soil water measurements were first described in Metzger et al. (2017). Volumetric soil water content was  
158 monitored using a wireless sensor network (SoilNet, Bogena et al. (2010)) equipped with SMT100 frequency  
159 domain sensors (Truebner GmbH, Neustadt, Germany). Overall 210 soil water content measurement points were  
160 distributed in a stratified random design in the blue subplots shown in Figure 1: Within each blue subplot, two  
161 sampling points were placed randomly. Additionally, to a subset of 24 randomly selected points, transects were  
162 added with three additional measurement points (at 0.1, 2.0, and 6.0 m from the position). Furthermore, 40  
163 locations were added as transects near tree stems. At each soil moisture measurement location, sensors were  
164 installed in two depth, e.g topsoil 7.5 cm and subsoil 27.5 cm depth. For this analysis we used the data collected  
165 during the throughfall measurement campaigns from June 18 to July 28 2015 and May 31 to July 14 2016. At each  
166 locations, we used soil moisture measurements an hour preceding the observed rain event ( $\theta_{pre,i}$ ) to characterize  
167 soil moisture and its pattern in the drained state and the maximum soil water content induced by the rain event  
168 ( $\theta_{post,i}$ ) to characterize the post event state. We also assessed the soil water content response by calculating the  
169 change of soil water content ( $\Delta\theta_i$ ) for each event and each location with  
170

$$\Delta\theta_i = \theta_{post,i} - \theta_{pre,i} \quad (2)$$

171 where positive values of  $\Delta\theta_i$  indicate water content increase.

172 Equivalently to throughfall, we calculated the median soil water contents ( $\hat{\theta}_{pre}$ ,  $\hat{\theta}_{post}$ ) as well the relative  
173 deviations ( $\delta\theta_{pre,i}$ ,  $\delta\theta_{post,i}$ ), indicating the spatial pattern of soil water content according to Equation 1. Using the  
174 normalized values of soil water content and throughfall next to the medians in the statistical models (see below)  
175 allowed us to differentiate between spatial patterns and temporal variation across events.  
176

### 177 2.4 Canopy and soil property measurements

178 At the time of soil sensor installation, undisturbed soil samples were collected using metal ring cylinders with a  
179 volume of  $100 \text{ cm}^3$ . The distance between the sensor position and the soil sample collection was approximately  
180 0.5 m. Soil properties were treated as if they were measured directly at the soil sensor location  $i$ . In order to  
181 determine field capacity ( $\theta_{fc,i}$ ), the samples were first saturated and next let drain in a sand box with a hanging  
182 water column imposing a pressure of  $-60$  hPa for 72 hours and weighed. The soil cores were subsequently dried  
183 for 24 h at  $105^\circ \text{ C}$  and weighed again to obtain the dry weight  $m_{dry,i}$ . The volumetric water content at field  
184 capacity ( $\theta_{fc,i}$ ) was derived from the weight difference of the sample at  $-60$  hPa and the dried one, while  
185 assuming a density of water of  $D_w = 1 \text{ g cm}^{-3}$ . Bulk density ( $D_{bd,i}$ ) was calculated from soil dry weight and  
186 volume. Soil apparent porosity ( $\phi_i$ ) was calculated from the bulk density and assuming a constant density of the  
187 soil mineral component ( $D_m = 2.66 \text{ g cm}^{-3}$ )



$$\varphi_i = 1 - \frac{D_{bd,i}}{D_m} \quad (3)$$

188 Air capacity ( $\theta_{AC,i}$ , also called air-filled porosity) was then determined as

$$\theta_{AC,i} = 1 - \theta_{FC,i} \quad (4)$$

189 To characterize the canopy density, we counted the number of branches (canopy cover) above the throughfall  
190 samplers in 2014. This data was however not available for soil water measurement locations.

191

## 192 2.5 Statistical Analysis

193 All statistical analysis were processed with R 3.2.3 (Core Team 2016). For the geostatistical analysis (detailed  
194 below) we used the the packages *geor* (Ribeiro Jr and Diggle, 2001), *georob* (Papritz and Schwierz, 2020) and  
195 *gstat* (Pebesma, 2004; Gräler et al., 2016). Linear mixed effects models were implemented using the package *lme4*  
196 (Bates et al., 2015) and *lmerTest* (Kuznetsova et al., 2017). The variance explained by fixed and random factors  
197 (conditional  $R^2$ ) and by only fixed effects (marginal  $R^2$ , Nakagawa and Schielzeth (2013)) for the final model were  
198 calculated with the *MuMIn* package (Barton, 2020).

199

### 200 2.5.1 Geostatistical estimation of throughfall

201 Throughfall was estimated at the soil water content measurement locations by kriging. The overall procedure for  
202 obtaining the variograms closely follows Zimmermann et al. (2016) with some adaptations taken from Voss et al.  
203 (2016). Important steps and decisions of the exploratory data and geostatistical analysis are shown in Figure S1.

204

205 1. *Exploratory Analysis-Test for trends and underlying asymmetry.* First, we determined the skewness using the  
206 octile skew. The octile skew of none of the throughfall events was larger than 0.2 or smaller -0.2 and we therefore  
207 did not transform the data. If a spatial trend existed ( $p \leq 0.150$ ), we used the residuals of the spatial regression  
208 model for the coordinates  $x$  and/or  $y$  instead of the real data in the following.

209

210 2. *Variogram estimation by the method-of-moments (MoM).* We calculated the empirical throughfall variogram  
211 using both non-robust and robust estimators (Matheron, 1962; Cressie and Hawkins, 1980; Dowd, 1984; Genton,  
212 1998) using the *sample.variogram* function in the package *georob* in R. For throughfall we chose lags centered at  
213 0.125, 0.375 and 0.75, followed by a step size of 1 m up to 50 m). Next, we obtained a provisional variogram,  
214 which serves for spatial outlier detection in step 3. For this, we fitted three models to the experimental variogram  
215 (spherical, exponential and pure nugget) using *fit.variogram.model* function in the package *gstat* and chose the  
216 model with the lowest Residual Sum of Squares. Then we assessed the fitted model by leave-one-out cross  
217 validation. Based on this we calculated the normalized kriging error ( $\Theta_i$ ) (Lark, 2000) and compared the  
218 variograms from all mentioned estimators using the estimator with a median of  $\Theta$  nearest to 0.455 (Zimmermann  
219 et al., 2008).

220

221 3. *Identification and spatial outlier removal.* Before final variogram estimation using residual maximum likelihood  
222 (REML) in step 4, outliers were removed based on kriging and cross validation using the provisional variogram  
223 obtained in step 2. For identifying a spatial outlier at location  $i$  we used the standardized error of cross validation  
224  $\varepsilon_{s,i}$  (Bárdossy and Kundzewicz, 1990, Lark, 2002). To classify an outlier we used the  $Z$ -statistics. Sampled points  
225 with  $\varepsilon_{s,i} < -2.576$  ( $\alpha/2 = 0.005$ ) were removed (Zimmermann et al., 2016).



226

227 4. *Variogram estimation by residual maximum likelihood (REML)*. After outlier removal, we applied REML to fit  
228 the theoretical model including spatial trend if necessary, using the *likfit* function in the package *geoR*. We used  
229 the initial estimates from the provisional variogram (step 2) for the parameters sill, nugget and range. The range  
230 relates to the distance over which the observations are still spatially correlated. In the following, we will use the  
231 term correlation length to refer to the effective range, e.g. the distance at which the variogram approaches the sill  
232 to 95%. For example, a high effective range indicates a high spatial correlation between the throughfall collectors.  
233 We checked the reliability of the final model with the statistic  $\Theta_i$  (see above).

234

235 5. *Kriging*. Using the final variogram from step 4, we applied ordinary kriging to predict throughfall values at the  
236 soil water content measurement locations. Locations where the kriging variance exceeded 95% of the spatial  
237 variance were removed from further analysis.

238

### 239 2.5.2 The coefficient of quartile variation (CQV)

240 We used quantile based statistical metrics for descriptive statistics and correlation since throughfall and soil  
241 moisture patterns are commonly skewed (Famiglietti et al., 1998; Zimmermann and Zimmermann, 2014), and  
242 throughfall typically includes extreme values due to dripping points (Falkengren-Grerup, 1989; Keim et al., 2005;  
243 Staelens et al., 2006; Voss et al., 2016). For the coefficient of variation, we used the quartile variation coefficient  
244 (CQV) (Bonett, 2006) as alternative to the coefficient of variation:

$$CQV = \frac{Q3 - Q1}{Q3 + Q1}$$

245 where Q1 and Q3 represent first and third quartiles. Like the classical coefficient of variation, the CQV is  
246 dimensionless statistical measure that describes the relative degree of scattering of the sample.

247

### 248 2.5.3 Linear mixed effects models calculation

249 We applied linear mixed effect models (LME) with repeat-measurement structure to evaluate the influence of  
250 potential drivers explaining soil water content or soil water content increase. We present results on the following  
251 dependent variables: Spatial pattern of pre-event ( $\delta\theta_{pre}$ ), and post-event ( $\delta\theta_{post}$ ) soil water content as well as soil  
252 water content increase ( $\Delta\theta$ ).

253 The independent variables (fixed effects) for  $\delta\theta_{pre}$  were: Gross precipitation ( $P_g$ ), nearest tree distance ( $d_{tree}$ ), air  
254 capacity ( $\theta_{AC}$ ), field capacity ( $\theta_{FC}$ ), throughfall of the preceding event ( $P_{TFpre}$ ). The independent variables (fixed  
255 effects) for  $\Delta\theta$  and  $\delta\theta_{post}$  were: Gross precipitation ( $P_g$ ), spatial median of soil pre-event water content ( $\hat{\theta}_{pre}$ ),  
256 spatial pattern of soil pre-event water content ( $\delta\theta_{pre}$ ), nearest tree distance ( $d_{tree}$ ), air capacity ( $\theta_{AC}$ ), field capacity  
257 ( $\theta_{FC}$ ), spatial median of throughfall ( $\hat{P}_{TF}$ ) and spatial pattern of throughfall ( $\delta P_{TF}$ ). Year, day of year and sensor  
258 position were implemented as random effects accounting for repeated measurements. To avoid model over-fitting  
259 it is important that there are no strong correlations between the explanatory variables (Graham, 2003). To detect  
260 multi-collinearity and to avoid potentially spurious models we calculated Spearman rank correlation coefficients  
261 ( $\rho$ ) for all pairs of predictors (Table S1). Before the analysis we removed one of a pair of highly correlated  
262 predictors: Gross precipitation ( $P_g$ , strong correlation with  $\hat{P}_{TF}$ ) and field capacity ( $\theta_{FC}$ , strong correlation with  
263  $\theta_{AC}$ ). All predictor variables were normalized. To obtain the minimal adequate models for the response variables,





264 we started with the maximum model and removed stepwise all non-significant terms based on the Akaike  
265 Information Criterion (AIC). Main effects included in significant interactions were retained in the model.

266

### 267 **3. Results**

#### 268 **3.1 Precipitation, throughfall and soil water content pattern**

269 The summer rainfall (May to October) for the last 30 years (1986 – 2016) shows an average of 352 mm  
270 (Mühlhausen-Windeberg). During the two summer periods of this study (2015 and 2016), the annual rainfall was  
271 below the long-term mean (276 and 303 mm, respectively). However, the summer 2015 were the third driest of  
272 the last 30 years (Metzger et al., 2017). The final winter months of 2014 were the driest and the hydrological year  
273 2014/2015 the second driest of the 30 years period. The hydrological year 2015/2016 and the final winter months  
274 of 2015 received average precipitation.

275 Descriptive statistics of throughfall and soil water content (topsoil and subsoil) are given in Table 1. We observed  
276 14 rainfall events in 2015 and ten in 2016. The gross precipitation ranged between 1.6 and 35.2 mm, with three  
277 small, six medium and five large in 2015, and one medium and nine large events in 2016. For both years, soil  
278 water content increased with soil depth (Table 1). The soil water content increase (difference between post-event  
279 and pre-event soil water content;  $\Delta\theta$ ) was always higher in the topsoil compared to the subsoil. For smaller rainfall  
280 events, an increase in soil water content was mainly limited to the topsoil, and only following larger rainfall event,  
281 in both soil depths.

#### 282 **3.2 Spatial pattern of throughfall**

283 The model parameters fitted to the semi-variograms in the separate steps indicated in Section 2.5.1 are shown in  
284 Table S2-4 and correlation lengths (effective range) of the final variograms (step 4, Table S4) are shown in Figure  
285 2. Throughfall correlation lengths decreased with increasing event size from on average 6.2 m for large events to  
286 7.5 m for medium and 9.5 m for small events. In comparison, canopy density correlation length was 7.5 m, i.e.  
287 similar to medium events. Throughfall and canopy density had a small nugget and a strong spatial dependence  
288 (nugget/sill ratio < 25%) for all events (Table S4). For both years, throughfall decreased significantly with  
289 increasing canopy density (Table S5), although most of the variance for spatial patterns of throughfall was related  
290 to unknown random effects.

291 The spatial variation of throughfall (inter-quartile range) increased with event throughfall, but the coefficient of  
292 quartile variation (CQV), which normalizes by event size, decreased (Table 1). The high Spearman rank correlation  
293 coefficient indicates a strong similarity of the spatial distribution of throughfall between individual events of the  
294 same size class (Figure 3). Thus, throughfall produced persistent wet and dry spots, also confirmed by time stability  
295 plots (Figure S2).

296 Soil water content spatial variation coefficients (CQV) decreased with increasing soil water content (expressed as  
297 the spatial mean) and consequently with increasing soil depth (Table 1, Figure S3). In the topsoil, the relation was  
298 more concave for post-event soil water content (Figure S3) compared to pre-event soil water content, indicating  
299 that the event response enhanced soil water content variation especially in drier (summer) conditions in topsoil.  
300 However, the by far highest CQV were observed for the increase in soil water content after rain ( $\Delta\theta$ ).

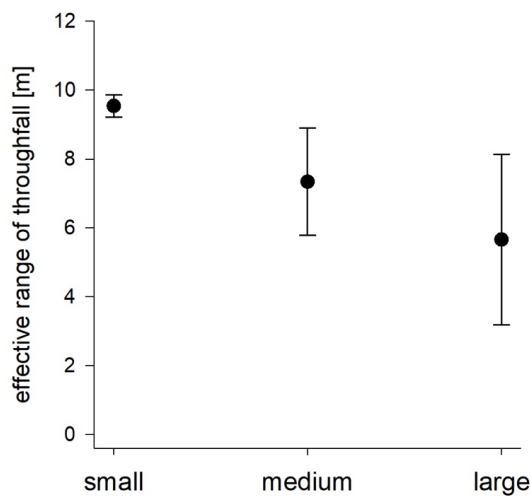
301





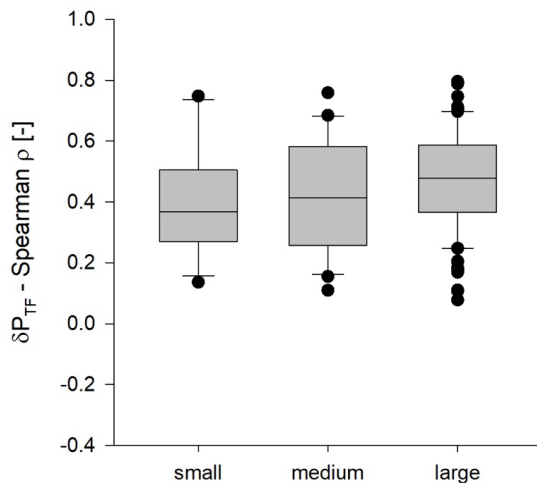
**Table 1:** Overview of observed rainfall event properties. Event date, gross precipitation ( $P_g$ ), spatial statistics of throughfall ( $P_{TF}$ ), soil water content before ( $\theta_{pre}$ ) and after ( $\theta_{post}$ ) the rain event, as well as the soil water content increase ( $\Delta\theta$ ) in topsoil and subsoil: spatial median (med), coefficient of quartile variation (CQV), interquartile range (IQR), and effective range (Range).

Date	Precipitation					Topsoil water content					Subsoil water content												
	$P_g$ mm	Event size	med mm	CQV	$P_{TF}$ IQR mm	Range m	med Vol.-%	$\theta_{pre}$	CQV	$\Delta\theta$ med Vol.-%	med Vol.-%	$\theta_{post}$	CQV	$\Delta\theta$ med Vol.-%	med Vol.-%	$\theta_{pre}$	CQV	$\Delta\theta$ med Vol.-%	med Vol.-%	$\theta_{post}$	CQV	$\Delta\theta$ med Vol.-%	
21.07.2015	1.6	small	0.6	0.29	0.4	9.6	21	0.16	0.17	0.08	2.6	36	0.10	0.10	36	0.10	0.10	-0.04	-3.34	36	0.10	0.00	-3.34
20.06.2015	2.1	small	0.4	0.60	0.5	9.8	19	0.15	0.15	0.00	5.0	30	0.13	0.13	30	0.13	0.13	0.30	0.27	30	0.13	0.30	0.27
30.05.2015	2.8	small	1.7	0.21	0.7	9.2	27	0.14	0.14	0.03	1.0	37	0.11	0.11	38	0.11	0.00	-1.00	-1.00	37	0.11	0.00	-1.00
18.06.2015	3.3	medium	1.8	0.28	1.0	5.8	19	0.15	0.16	0.03	1.0	31	0.13	0.13	31	0.13	0.00	-1.47	-1.47	31	0.13	0.00	-1.47
13.07.2015	3.3	medium	1.9	0.22	0.8	8.6	17	0.14	0.14	-0.02	41.0	27	0.14	0.14	27	0.14	0.00	-	-	27	0.14	0.00	-
02.06.2015	3.7	medium	1.8	0.25	0.9	8.0	27	0.15	0.15	0.00	3.0	37	0.12	0.12	37	0.12	0.00	-	-	37	0.12	0.00	-
13.05.2015	4.1	medium	2.7	0.19	1.0	7.6	34	0.11	0.11	0.00	0.89	41	0.08	0.08	41	0.08	0.00	-1.00	-1.00	41	0.08	0.00	-1.00
11.07.2015	4.6	medium	2.7	0.13	0.7	8.9	17	0.14	0.13	0.13	1.00	27	0.14	0.14	28	0.14	0.72	0.32	0.32	28	0.14	0.72	0.32
25.07.2015	5.7	medium	3.9	0.14	1.1	4.6	19	0.13	0.14	0.41	0.98	33	0.11	0.11	33	0.11	0.00	-3.00	-3.00	33	0.11	0.00	-3.00
15.07.2015	10.5	large	6.6	0.18	2.4	5.9	17	0.14	0.17	1.5	0.76	27	0.14	0.14	28	0.14	0.33	0.65	0.65	28	0.14	0.33	0.65
08.07.2015	13.3	large	9.4	0.08	1.50	4.8	17	0.14	0.15	2.0	0.78	28	0.13	0.13	29	0.13	0.28	0.87	0.87	29	0.13	0.28	0.87
28.07.2015	20.1	large	13.7	0.16	4.4	7.5	19	0.13	0.21	4.1	0.57	32	0.12	0.12	35	0.12	2.60	0.71	0.71	35	0.12	2.60	0.71
24.06.2015	23.0	large	14.2	0.15	4.4	7.0	19	0.15	0.21	5.2	0.66	30	0.13	0.13	31	0.13	0.27	0.86	0.86	31	0.13	0.27	0.86
20.07.2015	35.2	large	29.2	0.06	3.5	5.9	16	0.15	0.19	6.4	0.56	27	0.14	0.14	33	0.14	5.43	0.65	0.65	33	0.14	5.43	0.65
28.06.2016	5.3	medium	2.6	0.25	1.3	7.8	26	0.13	0.14	0.00	-1.00	35	0.11	0.11	35	0.11	0.00	-1.00	-1.00	35	0.11	0.00	-1.00
21.06.2016	13.7	large	10.1	0.13	2.6	8.9	34	0.10	0.09	3.90	0.23	39	0.09	0.09	42	0.09	1.56	0.53	0.53	42	0.09	1.56	0.53
06.06.2016	16.9	large	14.9	0.09	2.8	3.0	34	0.09	0.09	4.33	0.31	41	0.09	0.09	43	0.08	1.58	0.43	0.43	43	0.08	1.58	0.43
02.08.2016	19.6	large	13.7	0.11	3.1	5.7	20	0.13	0.19	2.17	0.81	30	0.13	0.13	31	0.13	0.12	0.99	0.99	31	0.13	0.12	0.99
04.07.2016	19.8	large	11.9	0.14	3.4	9.5	23	0.14	0.16	1.60	0.83	32	0.11	0.11	33	0.11	0.01	1.51	1.51	33	0.11	0.01	1.51
25.05.2016	20.8	large	13.3	0.11	3.1	6.5	26	0.12	0.15	5.77	0.50	37	0.11	0.11	39	0.11	0.74	0.96	0.96	39	0.11	0.74	0.96
16.06.2016	23.2	large	15.2	0.11	3.3	7.3	35	0.12	0.10	2.21	0.27	40	0.09	0.09	10	0.09	0.01	5.84	5.84	10	0.09	0.01	5.84
14.07.2016	24.1	large	20.0	0.10	4.0	5.0	22	0.17	0.20	0.99	0.89	39	0.09	0.09	42	0.09	2.81	0.50	0.50	42	0.09	2.81	0.50
31.05.2016	25.0	large	21.0	0.11	4.4	4.6	30	0.12	0.09	8.05	0.21	39	0.09	0.09	43	0.09	3.98	0.38	0.38	43	0.09	3.98	0.38
25.07.2016	33.5	large	25.6	0.13	6.6	3.5	22	0.15	0.18	0.42	0.96	33	0.13	0.13	35	0.13	1.34	0.48	0.48	35	0.13	1.34	0.48
2.2	small	0.9	0.4	0.54	9.5	22	0.15	0.15	0.15	0.04	2.87	34	0.11	0.11	35	0.11	0.09	-1.36	-1.36	35	0.11	0.09	-1.36
4.3	medium	2.5	0.2	0.95	7.3	23	0.15	0.15	0.15	0.2	6.67	33	0.11	0.11	33	0.11	0.11	-1.23	-1.23	33	0.11	0.11	-1.23
20.3	large	14.8	0.1	3.54	5.6	23	0.13	0.13	0.15	3.27	0.62	34	0.11	0.11	36	0.11	1.40	0.82	0.82	36	0.11	1.40	0.82



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Fig. 2: Comparison of the correlation length, given as effective range, derived from the throughfall variogram calculated for small ( $P_g < 3$  mm), medium ( $3 \text{ mm} < P_g < 10$  mm), large ( $P_g > 10$  mm) events.



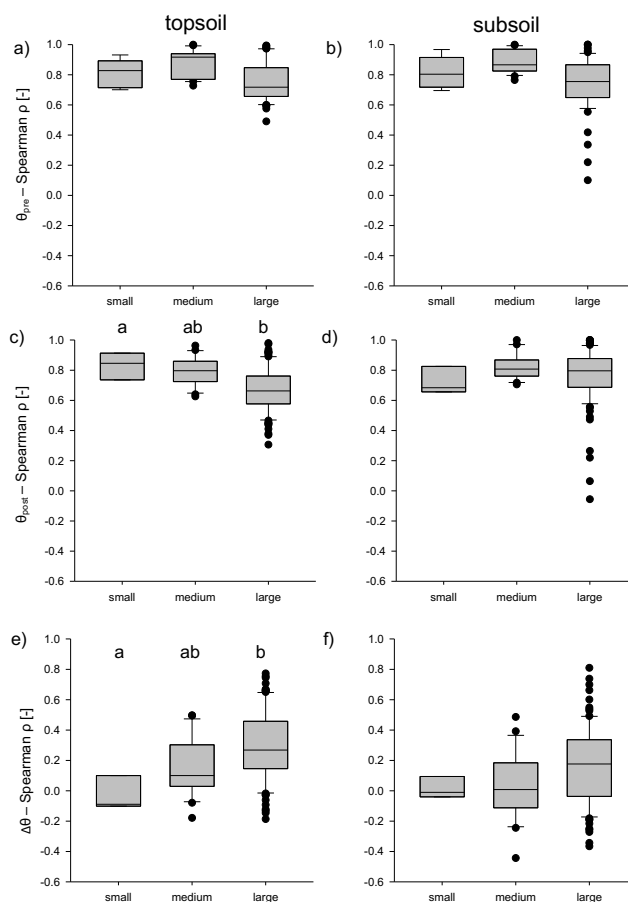
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Fig. 3: Temporal stability of the spatial throughfall patterns. Shown are the pairwise correlation coefficients (Spearman) between throughfall (normalized deviation from the plot median ( $\delta P_{TF}$ )) from different precipitation events, grouped by event size class (small ( $n=11$ ), medium ( $n=21$ ), large ( $n=91$ ) events).



318 The pairwise correlation coefficients indicating the temporal stability of the spatial patterns were high for pre-  
 319 event (drained) soil water content ( $\theta_{pre}$ ) both in topsoil (Figure 4a) and subsoil (Figure 4b) with  $\rho \approx 0.78$ . For post-  
 320 event soil water content ( $\theta_{post}$ ) they were significantly lower in the topsoil ( $\rho = 0.70$ , Figure 4c) than subsoil  
 321 ( $\rho = 0.77$ , Figure 4d) (Mann-Whitney-U Test:  $Z = -3.15$ ,  $p = 0.002$ ). In the topsoil they decreased with increasing  
 322 event size, revealing patterns were less similar after large precipitation events (Figure 4a,c). In contrast, patterns  
 323 in soil water content increase after rain events ( $\Delta\theta$ ) were much more weakly correlated with each other (Figure  
 324 4e,f). However, the similarity of the patterns increased with event size especially in topsoil (Figure 4e), confirming  
 325 reoccurring wetting patterns especially following larger events.

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327  
 328 **Fig. 4:** Temporal autocorrelation of spatial patterns of pre- and post-event soil water content and increase of soil  
 329 water content after rainfall calculated as pairwise correlation coefficients (Spearman  $\rho$ ) between all of the  
 330 different precipitation events within the event size class (small ( $n = 3$ ), medium ( $n = 21$ ), large ( $n = 91$ )). **(top)**  
 331 pre-event soil water content ( $\theta_{pre}$ ); **(middle)** post-event soil water content ( $\theta_{post}$ ); **(bottom)** increase of soil water  
 332 content ( $\Delta\theta$ ); **(left)** topsoil; **(right)** subsoil. The differences between the events were examined using the Duncan  
 333 post hoc test of a one-way ANOVA. Letters on the top of bars indicate significant difference ( $p \leq 0.05$ ) between  
 334 the groups.



335 **3.3 Factors influencing soil water spatial distribution**

336 **3.3.1 Soil water content**

337 In order to identify the basic drivers for the patterns of soil water content in the drained state ( $\delta\theta_{pre}$ ), we used mixed  
 338 effects model selection. The resulting best models for top- and subsoil are given in Table 2. The variance explained  
 339 by fixed effects (marginal  $R^2$ ) was low, whereas the variance explained by fixed and random effects together  
 340 (conditional  $R^2$ ) was high. The model for the subsoil showed an even higher marginal  $R^2$  compared to the topsoil,  
 341 and a somewhat higher influence of fixed effects. The most important effect identified for topsoil and subsoil was  
 342 air capacity, with lower soil water content ( $\delta\theta_{pre}$ ) related to locations of higher air capacity (Table 2). In the topsoil  
 343 also the throughfall of the preceding precipitation event slightly affected the soil moisture pattern. The results for  
 344 the soil water content itself in the drained state ( $\theta_{pre}$ ) are similar to those of  $\delta\theta_{pre}$ , except that fixed effects explain  
 345 even less variation (Table S6).

346 **Table 2:** Factors affecting pre-event soil water content patterns ( $\delta\theta_{pre}$ ) in topsoil and subsoil. Results for the best  
 347 linear mixed effects model. Significant effects are highlighted in bold.

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	topsoil		subsoil	
<i>Explained variation</i>				
$R^2$ Full model	0.818		0.822	
$R^2$ Fixed	0.035		0.143	
$R^2$ Random	0.783		0.679	
	t-value	p-value	t-value	p-value
<i>Fixed effects</i>				
<b>Air capacity, <math>\theta_{AC}</math></b>	-2.5	<b>0.013</b>	-3.7	<b>&lt;0.001</b>
<b>Throughfall of previous event, <math>P_{TF, prev}</math></b>	2.3	<b>0.039</b>	-1.5	0.161
Tree distance, $d_{tree}$	-0.8	0.426	1.8	0.065
<i>Interactions</i>				
<b><math>P_{TF, prev} \times \theta_{AC}</math></b>	-	-	-2.7	<b>0.007</b>
<b><math>P_{TF, prev} \times d_{tree}</math></b>	-2.0	<b>0.047</b>	-	-
$\theta_{AC} \times d_{tree}$	-	-	1.9	0.057

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350 The results of the best linear mixed effects model relating soil water content after a precipitation event to potential  
 351 drivers is given in Table 3. The spatial pattern of soil water content before the rain event ( $\delta\theta_{pre}$ ) was the major  
 352 control on either absolute values of spatially distributed soil water content after the rain event ( $\theta_{post}$ , Table 3) or its  
 353 spatial pattern ( $\delta\theta_{post}$ , Table S8). Other fixed ( $\beta_{TF}$ ,  $\delta P_{TF}$ ,  $\theta_{pre}$ ,  $\theta_{AC}$ ,  $d_{tree}$ ) and random effects explained only a very  
 354 small part of the variation.

355



**Table 3:** Factors influencing soil water content after a precipitation event ( $\theta_{\text{post}}$ ). Results for the best linear mixed effects model including all data (left columns) and grouped by event size (small, medium and large, right columns). Significant effects are shown in bold and effects that were significant in both soil depth (based on all events) are highlighted in grey. Variables are scaled such that the t-value indicates the effect strength. Pseudo  $R^2$  values are given separately for fixed and random effects.

	topsoil						subsoil										
	All events		Small events		Medium events		Large event		All events		Small events		Medium events		Large events		
	t-value	p-value	t-value	p-value	t-value	p-value	t-value	p-value	t-value	p-value	t-value	p-value	t-value	p-value	t-value	p-value	
<b>Fixed effects</b>																	
Median event throughfall, $\hat{P}_{\text{TF}}$	2.2	<b>0.035</b>	4.1	<b>&lt;0.001</b>	2.8	<b>0.007</b>	1.2	0.238	-0.6	0.494	-	-	-3.0	<b>0.003</b>	-1.6	0.141	
Spatial throughfall pattern, $\delta P_{\text{TF},i}$	1.8	0.072	-	-	2.0	0.051	1.9	0.062	3.0	<b>0.003</b>	-	-	0.8	0.408	3.0	<b>0.003</b>	
Initial median soil water content, $\theta_{\text{pre}}$	-1.7	0.106	-4.0	<b>&lt;0.001</b>	-2.3	<b>0.038</b>	-2.5	<b>0.024</b>	-1.4	0.184	-	-	-1.2	0.211	0.6	0.582	
Spatial pattern of initial soil water content, $\delta\theta_{\text{pre},i}$	76.4	<b>&lt;0.001</b>	200.0	<b>&lt;0.001</b>	97.2	<b>&lt;0.001</b>	39.2	<b>&lt;0.001</b>	98.4	<b>&lt;0.001</b>	41.4	<b>&lt;0.001</b>	79.2	<b>&lt;0.001</b>	59.7	<b>&lt;0.001</b>	
Tree Distance, $d_{\text{tree}}$	1.8	0.081	-	-	-	-	0.9	0.394	-	-	-	-	-	-	0.7	0.498	
Air capacity, $\theta_{\text{AC},j}$	-	-	-	-	-2.5	<b>0.013</b>	-	-	-2.1	<b>0.042</b>	-	-	-	-	-2.2	<b>0.035</b>	
<b>Interactions</b>																	
$\hat{P}_{\text{TF}} \times \delta P_{\text{TF},i}$	2.3	<b>0.019</b>	-	-	-	-	-	-	2.0	<b>0.048</b>	-	-	2.0	<b>0.043</b>	-	-	
$\hat{P}_{\text{TF}} \times d_{\text{tree},i}$	-1.5	0.129	-	-	-	-	-2.4	<b>0.015</b>	-	-	-	-	-	-	-2.2	<b>0.026</b>	
$\hat{P}_{\text{TF}} \times \theta_{\text{pre}}$	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
$\hat{P}_{\text{TF}} \times \delta\theta_{\text{pre},i}$	-9.7	<b>&lt;0.001</b>	-2.5	<b>0.011</b>	-	-	-2.2	<b>0.027</b>	-2.1	<b>0.032</b>	-	-	-5.7	<b>&lt;0.001</b>	-2.6	<b>0.010</b>	
$\hat{P}_{\text{TF}} \times \theta_{\text{AC},j}$	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	
$\theta_{\text{AC},j} \times \delta P_{\text{TF},i}$	-	-	-	-	-	-	-	-	-3.4	<b>&lt;0.001</b>	-	-	-	-	-3.7	<b>&lt;0.001</b>	
$\theta_{\text{AC},j} \times d_{\text{tree}}$	-	-	-	-	2.3	<b>0.021</b>	-	-	-	-	-	-	-	-	-	-	
$\theta_{\text{AC},j} \times \delta\theta_{\text{pre},i}$	-	-	-	-	-	-	-	-	2.9	<b>0.003</b>	-	-	-	-	3.6	<b>&lt;0.001</b>	
$\theta_{\text{pre}} \times \delta P_{\text{TF},i}$	-2.0	<b>0.019</b>	-	-	-	-	-	-	-2.8	<b>0.004</b>	-	-	-	-	-	-	
$\theta_{\text{pre}} \times d_{\text{tree}}$	-	-	-	-	2.2	<b>0.025</b>	-	-	-	-	-	-	-	-	-	-	
$\theta_{\text{pre}} \times \delta\theta_{\text{pre},i}$	3.2	<b>0.002</b>	-	-	-	-	3.5	<b>&lt;0.001</b>	-	-	-	-	1.7	0.082	-3.6	<b>&lt;0.001</b>	
$\delta\theta_{\text{pre},i} \times \delta P_{\text{TF},i}$	-2.0	<b>0.019</b>	-	-	-	-	-	-	-2.8	<b>0.004</b>	-	-	-	-	-	-	



358 **3.3.2 Soil water response ( $\Delta\theta$ )**

359 The models for explaining the soil water content increase ( $\Delta\theta$ ), i.e. how much water was locally stored in the soil  
360 after rain, are shown in Table 4. In general, a detectable ( $> 1\%$ ) change of  $\Delta\theta$  was limited to large rainfall events  
361 (Table 1). The spatial patterns responded to several drivers (fixed effects) in the final model. There, the variance  
362 explained by fixed effects (marginal  $R^2$ ) was generally higher for subsoil compared to topsoil, it typically increased  
363 with event size and was highest for the models including all event sizes (Table 4). In the following we therefore  
364 focus on the effects emerging from those latter models, that is the ones including all events, while the results for  
365 the individual event size classes are used only for more detailed interpretation. The grey shaded lines highlight the  
366 significant relations that occurred both in top- and subsoil.

367 Overall, local soil water content increase ( $\Delta\theta$ ) depended not only on event median throughfall ( $\hat{P}_{TF}$ ), but also on  
368 the spatial pattern of throughfall ( $\delta P_{TF}$ ) and spatial patterns of initial or pre-event soil moisture ( $\delta\theta_{pre}$ ). Nearly all  
369 main effects are also included in interactions, meaning that likely a third variable influenced the relationship  
370 between an independent and dependent variable. For example, locally elevated throughfall enhanced the soil water  
371 increase (Table 4), but more so with increasing event size (interaction  $\hat{P}_{TF} \times \delta P_{TF}$ , visualized in Figure 5 a and b).

372 Spatial patterns of pre-event (or initial or drained) soil water content ( $\delta\theta_{pre}$ ) notably affected top- and subsoil  
373 differently, making it the only factor yielding opposite effects on soil water content increase in different soil depths.  
374 In topsoil, drier locations stored less water per event than moister spots (positive t-value), whereas in subsoil, the  
375 opposite was the case (negative t-value). The influence of pre-event soil moisture patterns increased with event  
376 size (interaction  $\hat{P}_{TF} \times \delta\theta_{pre}$ ). Note that the slope of the interaction (represented by the sign of the t-value) changes  
377 with overall soil water conditions consistently in both depths (Table 4, interaction  $\hat{\theta}_{pre} \times \delta\theta_{pre}$ , visualized in Figure  
378 6a): Locally drier soil increased soil water storage in wet, but decreased it in dry times.

379 Additional factors affecting the soil water response in top soil were related to the distance to the next tree. Locations  
380 near trees reacted stronger to event precipitation than those further away (interactions  $\hat{P}_{TF} \times d_{tree}$ ), but only in  
381 overall moister soil conditions (Table 4, interaction  $\hat{\theta}_{pre} \times d_{tree}$ ). In the subsoil higher air capacity ( $\theta_{AC}$ ),  
382 representing the higher macropore volume, dampened the soil water response (Table 4, negative t-value), and more  
383 so when or where throughfall was high (interactions  $\hat{P}_{TF} \times \theta_{AC}$  and  $\theta_{AC} \times \delta P_{TF}$ ) as well as in drier locations  
384 (interaction  $\theta_{AC} \times \delta\theta_{pre}$ ).

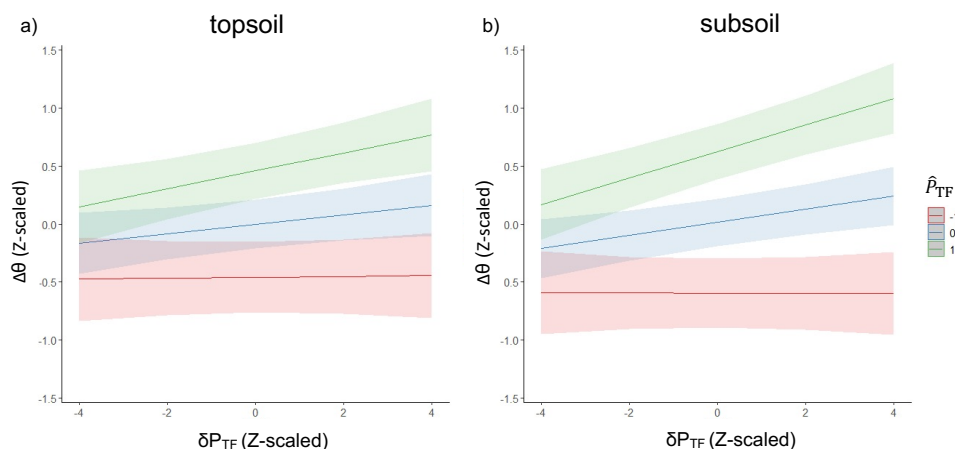
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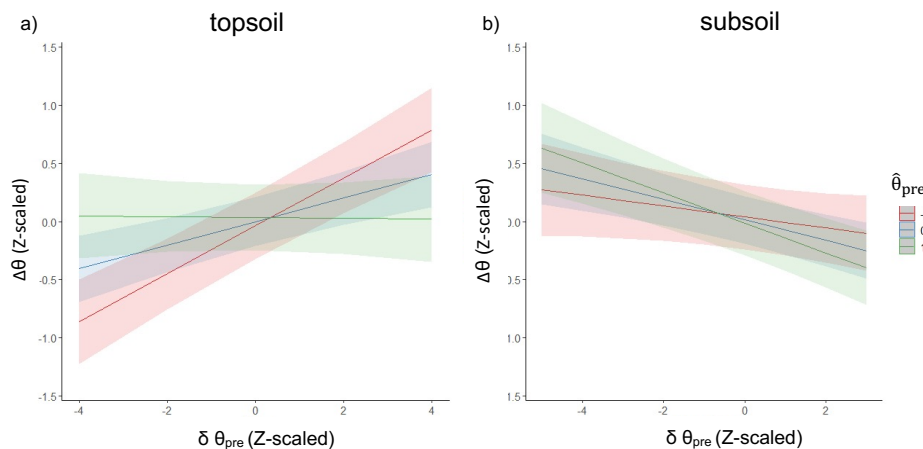
**Table 4:** Factors influencing local soil water content response after rainfall ( $\Delta\theta_i$ , i.e. difference between soil water content after and before each event). Results for the best linear mixed effects model including all data (left columns), and grouped according to event size (small, medium and large, right columns). Significant effects are shown in bold and factors with significant effects in both depth (based on all all events) are highlighted in grey. Variables are scaled such that the t-value indicates the effect strength. Pseudo  $R^2$  values are given separated for fixed and random effects.

	topsoil						subsoil									
	All events		Small events		Medium events		Large event		All events		Small events		Medium events		Large events	
	t-value	p-value	t-value	p-value	t-value	p-value	t-value	p-value	t-value	p-value	t-value	p-value	t-value	p-value	t-value	p-value
Full model $R^2$	0.57	<b>&lt;0.001</b>	-	-	3.5	0.014	1.3	0.215	6.8	<b>&lt;0.001</b>	3.4	<b>&lt;0.001</b>	-	-	4.1	0.001
Fixed effects $R^2$	0.25	0.044	-	-	2.2	0.030	2.2	0.031	3.0	0.003	-	-	-	-	3.3	<b>&lt;0.001</b>
Random effects $R^2$	0.32	0.712	0.4	0.712	5.6	<b>&lt;0.001</b>	0.4	0.712	-0.3	0.787	-10.9	<b>&lt;0.001</b>	-	-	0.2	0.843
<b>Fixed effects</b>																
Median event throughfall, $\hat{\rho}_{TF}$	5.1	<b>&lt;0.001</b>	-	-	3.5	0.014	1.3	0.215	6.8	<b>&lt;0.001</b>	3.4	<b>&lt;0.001</b>	-	-	4.1	0.001
Spatial throughfall pattern, $\delta P_{TF,i}$	2.0	0.044	-	-	2.2	0.030	2.2	0.031	3.0	0.003	-	-	-	-	3.3	<b>&lt;0.001</b>
Initial median soil water content, $\theta_{pre}$	0.4	0.712	5.6	<b>&lt;0.001</b>	1.3	0.241	0.9	0.382	-0.3	0.787	-10.9	<b>&lt;0.001</b>	-	-	0.2	0.843
Spatial pattern of initial soil water content, $\delta\theta_{pre,i}$	4.2	<b>&lt;0.001</b>	-	-	-	-	3.3	0.001	-3.9	<b>&lt;0.001</b>	-2.4	0.017	-	-	-4.3	<b>&lt;0.001</b>
Tree Distance, $d_{tree}$	1.3	0.211	-	-	2.9	0.004	0.6	0.569	-	-	-	-	1.5	0.144	-	-
Air capacity, $\theta_{AC,i}$	-	-	-	-	-0.8	0.398	-	-	-2.4	0.016	-1.4	0.173	-1.0	0.337	-2.8	0.007
<b>Interactions</b>																
$\hat{\rho}_{TF} \times \delta P_{TF,i}$	2.4	0.016	-	-	-	-	-	-	3.7	<b>&lt;0.001</b>	-	-	-	-	-	-
$\hat{\rho}_{TF} \times d_{tree,i}$	-2.3	0.020	-	-	-	-	-2.8	0.005	-	-	-	-	2.5	0.012	-	-
$\hat{\rho}_{TF} \times \theta_{pre}$	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
$\hat{\rho}_{TF} \times \delta\theta_{pre,i}$	4.5	<b>&lt;0.001</b>	-	-	-	-	-	-	-5.3	<b>&lt;0.001</b>	3.3	<b>&lt;0.001</b>	-	-	-3.3	<b>&lt;0.001</b>
$\hat{\rho}_{TF} \times \theta_{AC,i}$	-	-	-	-	-2.9	0.003	-	-	-3.3	0.001	2.6	0.011	2.6	0.009	-	-
$\theta_{AC,i} \times \delta P_{TF,i}$	-	-	-	-	-	-	-	-	-4.0	<b>&lt;0.001</b>	-	-	-	-	-3.8	<b>&lt;0.001</b>
$\theta_{AC,i} \times d_{tree}$	-	-	-	-	-2.6	0.012	-	-	-	-	-	-	1.9	0.061	-	-
$\theta_{AC,i} \times \delta\theta_{pre,i}$	-	-	-	-	-	-	-	-	3.7	<b>&lt;0.001</b>	-	-	-	-	3.5	<b>&lt;0.001</b>
$\theta_{pre} \times \delta P_{TF,i}$	-	-	-	-	2.1	0.034	-2.1	0.033	-	-	-	-	-	-	-	-
$\theta_{pre} \times d_{tree}$	2.1	0.032	-	-	2.4	0.018	-	-	-2.5	0.011	-2.3	0.020	-	-	-2.6	0.011
$\theta_{pre} \times \delta\theta_{pre,i}$	-6.5	<b>&lt;0.001</b>	-	-	-	-	-7.3	<b>&lt;0.001</b>	-2.4	0.016	-	-	-	-	-2.0	0.048
$\delta\theta_{pre,i} \times \delta P_{TF,i}$	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-





389  
 390 **Fig. 5:** Influence of the spatial pattern of throughfall ( $\delta P_{TF}$ ) and on the soil water content response ( $\Delta\theta$ ), grouped  
 391 by the event size (given as mean of throughfall,  $\hat{P}_{TF}$ ) for **(left)** topsoil and **(right)** subsoil. Note that all values are  
 392 z-scaled, and therefore centered around zero. For example, the red line highlights events of below average  
 393 throughfall (small events). There, the spatial pattern of soil water content response depends little on that of  
 394 throughfall (small events). A stronger influence is seen for the above average (larger) events marked in green.  
 395



396  
 397 **Fig 6.** Interaction between the local soil water response to rain event ( $\Delta\theta$ ) and the local pre-event soil water  
 398 content pattern ( $\delta\theta_{pre}$ ) grouped by the pre-event spatial average soil moisture conditions (spatial median soil  
 399 water content,  $\hat{\theta}_{pre}$ ) for **(left)** topsoil and **(right)** subsoil. For example, the green line shows that in overall moist  
 400 conditions (e.g. early spring), soil water content increase is dampened in moister locations (high values of  $\delta\theta_{pre}$ )  
 401 and more so on the subsoil. Dampening also takes place in drier locations in dry summer conditions in topsoil  
 402 (red line). Note: grouping according to soil water content ( $\hat{\theta}_{pre}$ ) was done separately for topsoil and subsoil,  
 403 while absolute values in subsoil water content are always higher than in topsoil. Therefore, the shift in slopes  
 404 from positive to negative with soil moisture conditions is not only within but also between soil depth.  
 405



## 406 **4. Discussion**

### 407 **4.1 Strengths and weaknesses of the approach**

408 In this analysis we used extensive spatial data of canopy cover, throughfall and soil water content in order to assess  
409 the role of canopy processes on below-ground soil water response to precipitation. For this, we measured  
410 precipitation and soil water content at different locations in order to avoid disturbance of soil water dynamics by  
411 the precipitation measurement and providing independent random measurement designs. To be able to relate  
412 observations at different locations, we used geostatistical methods to predict throughfall values at locations where  
413 soil water content was measured. Because the throughfall prediction can be based on an extensive dataset of 350  
414 points, it allows reliable variogram estimations (Voss et al., 2016). Throughfall showed strong spatial  
415 autocorrelation which was reflected by nugget-to-still ratios much lower than 25% for all event sizes (Table S3).  
416 However, spatial correlation patterns depended on event size in that the correlation length decreased with  
417 increasing event rainfall. This decreased in larger events the range within which throughfall could be predicted  
418 and increased the number of locations with high kriging variance, that were removed from the analysis. As a result,  
419 this decreased the sample size for large compared to small and medium sized events. Regardless, for all sampled  
420 events, we could still rely on datasets of 59 points on average. Additionally, kriging predictions tend to be smoother  
421 compared to the actual data. However, the predicted values show approximately the same median and spatial  
422 variance as the measured data, indicating that the real variation was still maintained after the prediction procedure.  
423 Unfortunately, there is no perfect way to relate measurements obtained at different locations to each other.  
424 However, the combination of a large sample size of throughfall and variogram estimation by residual maximum  
425 likelihood (REML) seems to be a suitable way forward for interpolating the aboveground data to the belowground  
426 locations (Lark, 2000; Voss et al., 2016). Altogether, this provides a good basis to comparing above- and  
427 belowground measurements.

428 In our analysis we quantified only throughfall input and omit the role of stemflow, which may play a role in  
429 locations near stems. Extrapolating stemflow input to soil moisture locations entails more prediction steps  
430 compared to throughfall. Spatial variation of stemflow depends on the one hand on species, tree and canopy size,  
431 neighborhood and individual morphology of the trees (Bellot and Escarre, 1998; Fan et al., 2015b; Levia et al.,  
432 2014; Levia and Germer, 2015; Van Stan et al., 2016; Metzger et al., 2019; Magliano et al., 2019) and on the other  
433 hand on precipitation intensity and soil conditions determining the infiltration area (Herwitz, 1986; Carlyle-Moses  
434 et al., 2018; Metzger et al., 2021). Such a prediction would not only introduce a great deal of uncertainty, but also  
435 deviate from the main purpose of this study, which is to evaluate the role of throughfall heterogeneity. Therefore,  
436 in the model analysis, microsites near stems were accounted for by including distance to the stem as fixed effect  
437 in the model. This takes into account to some extent the potential influence of stemflow in the interpretation.

### 438 **4.2 General patterns of throughfall (temporal and spatial)**

439 In agreement with previous studies, throughfall patterns of large events show lower coefficients of variation  
440 compared to smaller ones (Aussenac, 1970; Loustau et al., 1992; Llorens et al., 1997; Su et al., 2019; Metzger et  
441 al., 2017; Carlyle-Moses, 2004; Staelens et al., 2008; Van Stan et al., 2020). Several other studies have suggested  
442 that throughfall spatial variation depend next to canopy characteristics also on precipitation amount (Loustau et  
443 al., 1992; Carlyle-Moses, 2004; Keim et al., 2005; Park and Cameron, 2008; Hsueh et al., 2016; Zimmermann et  
444 al., 2009). Similarly, at our site for all event size classes, canopy cover was a significant driver of throughfall  
445 spatial distribution, although a small one compared to the random effects. The correlation length (effective range)  
446 of throughfall decreased with increasing event size and corresponded for medium events roughly to that of canopy



447 cover. The change of spatial pattern with event size illustrates that not only canopy storage per se, but also other  
448 processes like turbulence, wind shadows, the arrangement of canopy gaps, or the formation of canopy dripping  
449 points can add persistent spatial organization to below-canopy precipitation (Carlyle-Moses, 2004; Keim et al.,  
450 2005; Park and Cameron, 2008; Staelens et al., 2008; Zimmermann et al., 2008; Wullaert et al., 2009; Li et al.,  
451 2016; Van Stan et al., 2020). In other words, not only canopy density, but also other canopy features probably  
452 affect throughfall distribution (Park and Cameron, 2008; Zimmermann et al., 2009). Overall, and despite the slight  
453 changes in throughfall correlation lengths for different events size classes, throughfall patterns were temporally  
454 stable, indicating the existence of permanent hot and cold spots of throughfall, and those were consistent across  
455 small, medium and large events. This is in line with several previous studies stating temporal stability of  
456 throughfall patterns (Keim et al., 2005; Staelens et al., 2006; Wullaert et al., 2009; Zimmermann et al., 2009;  
457 Fathizadeh et al., 2014; Fan et al., 2015b; Metzger et al., 2017; Molina et al., 2019; Zhu et al., 2021; Rodrigues et  
458 al., 2022) even over several years (Wullaert et al., 2009; Rodrigues et al., 2022), although phenology and canopy  
459 development have also been observed to deteriorate spatial stability (Zimmermann et al., 2008; Fathizadeh et al.,  
460 2014). Furthermore, although spatial variation coefficients are smaller in large compared to small events, absolute  
461 values vary much more in large events such that they have arguably a higher potential to induce spatial patterns in  
462 soil water content or dynamics.

### 463 **4.3 General soil water content patterns and potential drivers**

464 Mean soil water contents were generally lower in the topsoil compared to the subsoil. At our site, the shallow soil  
465 is underlain by undulating weathered calcareous bedrock (Kohlhepp et al., 2017) of low hydraulic conductivity,  
466 and may locally be broken through by tree roots. While the topsoil is well-drained (i.e. saturated to field capacity  
467 in winter and much lower in summer), the deeper and finer textured soil layer (Metzger et al., 2021) is influenced  
468 by the much less conductive regolith and generally moister soil water content which very occasionally exceeds  
469 field capacity in winter (Metzger et al., 2017).

470 Much in agreement with previous studies in humid regions (Brocca et al., 2007; Korres et al., 2015; Rosenbaum  
471 et al., 2012; Metzger et al., 2017), spatial variation of soil water content increased in both top- and subsoil in drier  
472 summer soil conditions. In an earlier study at the same site a strong but short-lived increase of spatial variation of  
473 topsoil water content in summer was related to precipitation events (Metzger et al., 2017). Regardless, we found  
474 that the main controlling factor of post-event soil water content was the spatial pattern of pre-event soil water  
475 content, while average throughfall and spatial pattern of throughfall, tree distance and air capacity were additional,  
476 but much less important drivers. In other words, while soil water content variation increases strongly after events,  
477 this variation can only in very limited fashion be traced back to input patterns. This may in part be due to the small  
478 inputs of water compared to the overall soil water storage, leading to a strong memory effect of the pre-event soil  
479 water conditions on the post event patterns. Furthermore, preferential flow already taking place during the event  
480 itself can blur the throughfall pattern within the soil storage (see below).

481 Soil water content spatial patterns in drained state in turn were strongly driven by random effects. Those are factors  
482 that were not described by the measurements, but are temporally stable. Those so called local soil conditions are  
483 potentially related to soil hydraulic properties, root water uptake and microtopography (Famiglietti et al., 1998;  
484 Vereecken et al., 2007; Fan et al., 2015a). The mixed-effects models confirm, although with a very weak influence,  
485 that locations of higher air capacity (higher macroporosity) were drier in both depths, confirming the role of water  
486 retention on soil water patterns (Metzger et al., 2017) at this site. Also, throughfall patterns of the previous event



487 slightly affected topsoil pre-event soil water content. Thus, an imprint of the throughfall pattern was carried over  
488 to the next pre-event soil conditions, but this is barely detectable and negligible compared to the other sources of  
489 variation in soil water content in drained state.

490

#### 491 **4.4 Drivers of soil water response ( $\Delta\theta$ ) to rainfall**

492 In contrast to the absolute values of soil water contents discussed above, the local soil water response (i.e. increase  
493 of soil water content following rainfall events), was clearly driven by the spatial throughfall pattern both in top-  
494 and subsoil. Since we tested the effect of the spatial pattern ( $\delta P_{TF}$ ) separately from the absolute values of event  
495 throughfall ( $\hat{P}_{TF}$ ), we are able to demonstrate the influence of spatial throughfall specifically. Among all drivers  
496 tested, the influence of spatial throughfall variation was the most consistent, appeared in both observed soil depths,  
497 and was more pronounced for larger events. In other words, spatial patterns of throughfall were the most prominent  
498 driver of soil wetting.

499 Measurements ascertaining that soil water content response relates to canopy drainage are comparatively rare.  
500 Metzger et al. (2017) already reported for the same site, but a smaller dataset, that soil water content increase  
501 correlated with event spatial throughfall patterns in larger rainfall events. Molina et al., (2019) found with highly  
502 temporally resolved soil moisture measurements a weak relationship between the average pattern of throughfall  
503 and that of soil water content response in the topsoil of a Mediterranean oak dominated forest plot, but not in a  
504 pine plot. Notably, Klos et al. (2014) in a tropical rain forests showed that locations of high and low soil water  
505 content below the main rooting zone corresponded to the end members of high and low throughfall, while soil  
506 water content was more homogenous above and below this depth. They concluded from additional modelling that  
507 preferential flow may have contributed to bypassing the main rooting zone. On the other hand, several studies,  
508 such as Raat et al. (2002), Shachnovich and Berliner, (2008), and more recently Zhu et al. (2021) with temporally  
509 less highly resolved soil water content measurements (incidentally all in coniferous forests) did not find relations  
510 between the spatial patterns of soil water content and throughfall. All authors report that throughfall patterns were  
511 pronounced and stable in time and suspect the forests floor hindered the transmission to soil water patterns. An  
512 additional explanation could be that the effect of spatial net precipitation patterns on soil water content were so  
513 short-lived (Metzger et al., 2017) due to preferential flow that they were not observed by infrequent hand  
514 measurements. Altogether stronger soil water response at locations with above average throughfall indicates that  
515 throughfall hot spots and also cold spots (Levia and Frost, 2006; Van Stan et al., 2020; Zimmermann et al., 2009)  
516 translated into soil water dynamics, despite them going almost unnoticed in the soil water content pattern (see  
517 above).

518 Next to the throughfall pattern, soil water response after large rainfall events depended in both depths also on the  
519 pattern of pre-event soil water content. Notably, the slope of the relationship changes direction, making it the only  
520 factor that shows opposite effects in the top- and subsoil. This can be attributed to its inter-dependence on soil  
521 water content, and the difference in moisture between the two measurement depths. Especially in dry (summer)  
522 conditions, wetter topsoil locations took up more of the arriving precipitation water, whereas drier locations  
523 remained dry. This is a strong indication of preferential flow in dry soil, where e.g. hydrophobic conditions, cracks  
524 and low hydraulic conductivity of the matrix can enhance preferential flow (Hillel, 1998; Nimmo, 2021; Beven  
525 and Germann, 2013). On the other hand, the dampened water response in the wetter subsoil, could be due to  
526 enhanced hydraulic conductivity and less free pore space (Vereecken et al., 2007; Hagen et al., 2020). Only in



527 intermediate soil water contents the spatial distribution of soil water contents had no influence on the spatial  
528 drainage behavior.

529 Soil water response depended additionally also on the distance to the nearest tree in the topsoil and soil  
530 properties (air capacity) in the subsoil. The enhanced moistening of soils near stems is likely related to stemflow  
531 production (Metzger et al., 2019), which was not accounted for as input. Stemflow production generally  
532 increases with event size (Levia and Germer, 2015; Metzger et al., 2019), explaining the interaction in the  
533 model. The additional modification by soil water conditions can be explained by the systematically lower soil  
534 water contents near tree trunks at the same site (Metzger et al., 2017, 2021), due to lower soil water retention and  
535 likely enhanced drainage there.

536 Taken together, our data strongly suggest that additionally to spatial distribution of throughfall, the spatial pattern  
537 in drainage behavior affects the local soil water response to rainfall. In that, both dry and wet locations can, water  
538 supply permitting, act as percolation hotspots, depending on the overall soil conditions. Bypass flow in forests has  
539 been repeatedly observed (e.g. Schume et al., 2003; Schwärzel et al., 2009; Bachmair et al., 2012; Blume et al.,  
540 2009; Demand et al., 2019) especially in dry summer conditions (Schume et al., 2003; Bachmair et al., 2012;  
541 Demand et al., 2019). Spatial variation of infiltration water supply and intensity, such as is the case for below  
542 canopy precipitation (Keim and Link, 2018), has been suggested as a potential cause for initiating finger flow  
543 (Nimmo, 2021), which is promoted by dry soil conditions. Also, hydrophobicity has been suggested to contribute  
544 to maintaining recurring finger flow paths (Blume et al., 2009). Next to this, macropore flow along biopores  
545 (Lange et al., 2009; Nespoulous et al., 2019) and soil cracks (Schume et al., 2003) can be enhanced in dry forest  
546 soil conditions due to soil shrinking (Baram et al., 2012). While both finger flow and macropore flow may have  
547 contributed to the observed patterns in soil water response, macropore flow more than finger flow could explain  
548 enhanced matter export (Lehmann et al., 2021) as well as fast response following strong storms observed in the  
549 shallow aquifers of the AquaDiva Critical Zone Observatory (Lehmann and Totsche, 2020).

550 Overall, our results confirm that the effect of throughfall on soil water content is weak, but stronger on the soil  
551 water response. This contrasts with previous modelling (Coenders-Gerrits et al., 2013) that did not account for  
552 preferential flow. With the effect of the throughfall pattern on the soil water response also depending on local  
553 conditions related to hydraulic properties, its fate is much more likely to be found in the drainage fluxes, next to  
554 the storage. The further destiny of the net precipitation pattern arguably depends on the deeper subsurface  
555 hydrogeological setting. We deduce however, that net precipitation hotspots have a strong chance of producing  
556 patterns of preferential flow below the main rooting zone, which is in line with previous work (Klos et al., 2014),  
557 and backs earlier hypotheses (Bouten et al., 1992; Schume et al., 2003).

## 558 **5. Conclusion**

559 In this study, we collected an extensive dataset to investigate the effect of throughfall spatial heterogeneity on  
560 the soil water response and checked which other factors (pre-event soil water content, macroporosity, tree  
561 distance) modified the result. We first confirmed that throughfall patterns were stable in time and found that they  
562 related to the vegetation canopy density, although additional and partly unknown factors strongly affected  
563 throughfall distribution. We found that post event soil water content per se did have a very weak relation to  
564 throughfall, although the variation of soil water content clearly increased in the aftermath of rain events. The  
565 post-event soil water content pattern was overwhelmingly determined by the strong memory effect of the soil  
566 water storage and only slightly affected by soil properties, like macroporosity. In contrast, the soil water  
567 response showed a clear relation with the throughfall input pattern. In other words, our setup allowed us to



568 confirm experimentally that throughfall patterns do imprint on soil water content dynamics, at least shortly after  
569 rain events. However, we also identified locations where soil water response was dampened, likely due to  
570 enhanced fast drainage. Those locations could be either very dry locations likely promoting preferential flow,  
571 especially in the topsoil, or wet locations, promoting faster release of the incoming water. Our results  
572 demonstrate that throughfall spatial patterns leave a stronger imprint on soil water dynamics than on soil water  
573 content directly, and explain why aboveground influence on soil hydrology has been so difficult to lay open in  
574 the past. Our results are in line with previous research and contribute a more general process understanding of  
575 the below ground consequences of precipitation redistribution by forests. Most importantly, our results strongly  
576 suggest that throughfall patterns induce fast soil water flow with repeating spatial patterns. Those patterns would  
577 therefore already be triggered within the canopy.

578

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586

#### 587 Data availability

588 The dataset is currently prepared for publishing in a official repository. The doi will be posted with the data at  
589 the latest when the data is published.

590

#### 591 Author contributions

592 AH developed the project idea. All authors contributed to the collection of the raw data. CF conducted the  
593 statistical analysis, developed it further with AH, and both wrote the first draft of the manuscript. All authors  
594 contributed to writing of the manuscript.

595

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