



# Advanced Functional Analysis

## Problem Sheet 1

Due date: October 9, 2015

*Remark:* Let  $X, Y$  be Banach spaces. Remember that an operator  $T \in \mathcal{L}(X, Y)$  is called compact if  $T(\overline{B_1(0)})$  has compact closure, where  $\overline{B_1(0)} = \{x \in X \mid \|x\|_X \leq 1\}$ .

**Problem 1.1.** Let  $X, Y$  be Banach spaces,  $K \in \mathcal{L}(X, Y)$  be compact. Show:

- If  $K$  has closed range, then  $X/\ker(K)$  is finite dimensional.
- If  $K$  is surjective, then  $Y$  is finite dimensional.

(Hint: Quotient spaces)

**Problem 1.2.** Let  $X$  be a Banach space. An operator  $P \in \mathcal{L}(X)$  is called (linear continuous) projection if  $P^2 = P$ . Let  $U$  be a closed subspace of  $X$ . Show that the following are equivalent:

- There exists a closed subspace  $V$  of  $X$  such that  $X = U \oplus V$  (i.e.  $X = U + V$  and  $U \cap V = \{0\}$ .)
- There exists a linear continuous projection  $P \in \mathcal{L}(X)$  with  $\text{rg}(P) = U$  ( $\text{rg}(P)$  denotes the range of  $P$ ).

**Problem 1.3.** If i) of Problem 1.2 above holds true, we say that  $U$  (again being a closed subspace) admits a complement in  $X$ .

- Show that, if  $U$  is finite dimensional, it admits a complement.
- We say that  $U$  is of finite codimension, if there exists a finite dimensional subspace  $V$  of  $X$  such that  $X = U + V$ . Show:
  - If  $U$  is of finite codimension, it admits a (finite dimensional) complement.
  - If  $U$  admits a finite dimensional complement  $V$ , for any subspace  $W$  of  $X$  with  $X = U \oplus W$  it follows that  $\dim(W) = \dim(V)$ .
- Show that the complement is not unique in general (e.g. by providing a counterexample).

**Problem 1.4.** For  $f \in C^1([0, 1])$  (the real valued continuously differentiable functions) define  $\|f\| = \|f\|_\infty + \|f'\|_\infty$ . Show that the (embedding) operator

$$i : C^1([0, 1], \|\cdot\|) \rightarrow C([0, 1], \|\cdot\|_\infty) \\ f \mapsto f$$

is compact. (Hint: Arzela-Ascoli)

**Problem 1.5.** For  $k \in C([0, 1]^2)$  define (the Volterra integral operator)  $T_k : C([0, 1], \|\cdot\|_\infty) \rightarrow C([0, 1], \|\cdot\|_\infty)$  as

$$T_k x(s) = \int_0^s k(s, t)x(t) dt.$$

Show that  $T_k$  is well defined and compact.

**Problem 1.6.** (Bonus question): Let  $X$  be a Banach space and  $U$  a closed subspace. With  $U^\perp = \{x^* \in X^* \mid \langle x^*, u \rangle_{X^*, X} = 0 \text{ for all } u \in U\}$ , show

$$U \text{ is of finite dimension} \quad \Leftrightarrow \quad U^\perp \text{ is of finite codimension}$$

and, in the above case,  $\dim(U) = \text{codim}(U^\perp)$ .