

# From the Inside, the Unique Non-Computable Computably Enumerable Set

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$$\mathcal{E} = \{W \subseteq \omega; W \text{ c.e.}\}$$

For  $A$  c.e.:

$$\mathcal{E}(A) = \{W \subseteq A; W \text{ c.e.}\}$$

$$\mathcal{R}(A) = \{R \subseteq A; R \text{ computable}\}$$

### Theorem (Soare)

*The structures  $\langle \mathcal{E}(A), \subseteq, \mathcal{R}(A) \rangle$ , for  $A$  non-computable c.e., are all isomorphic.*

## The c.e. sets under inclusion

$$\mathcal{E} = \{W \subseteq \omega; W \text{ is c.e.}\}.$$

The study of the c.e. sets as sets is the study of the structure  $\langle \mathcal{E}, \subseteq \rangle$ :

This ignores the dynamic features of c.e. sets, like the computable enumerations  $W = \cup_s W_s$ , and only looks at static features of c.e. sets.

Soare changed the nature of this study with his discover of a technique for building non-trivial automorphisms.

The key theorem was Soare's extension theorem, which, given an effective enough isomorphism from the "outside" of a c.e. set  $A$ ,

extended the isomorphism to an automorphism on all of  $\mathcal{E}$  by effectively producing the part on the "inside" of  $A$ , namely on  $\mathcal{E}(A) = \{W \subseteq A; W \in \mathcal{E}\}$ .

As part of this Soare encountered what seems to be the key to understanding the relationship between the “outside” of a c.e. set  $A$  and its “inside” ( $\mathcal{E}(A)$ ), namely the computable subsets of  $A$

$$\mathcal{R}(A) = \{R \subseteq A; R \text{ is computable} \}.$$

And Soare discovered the remarkable theorem:

### Theorem (Soare)

*The structures  $\langle \mathcal{E}(A), \subseteq, \mathcal{R}(A) \rangle$ , for  $A$  non-computable c.e., are all isomorphic.*

This talk will be organized around the question:  
what is the isomorphism type of this structure

$$\langle \mathcal{E}(A), \subseteq, \mathcal{R}(A) \rangle ?$$

This talk will in no way come close to answering this question; but this will allow for a presentation of some of the developments stemming from Soare's automorphism technique. In particular, these will include why  $\mathcal{R}(A)$  is the key to the connection between the “outside” and “inside” of a c.e. set  $A$ .

The structures  $\langle \mathcal{E}(A), \subseteq \rangle$  for  $A$  an infinite c.e. set are all easily isomorphic, in particular they are isomorphic to  $\langle \mathcal{E}(\omega), \subseteq \rangle$  or  $\langle \mathcal{E}, \subseteq \rangle$ .

So our isomorphism type

$$\langle \mathcal{E}(A), \subseteq, \mathcal{R}(A) \rangle$$

has representatives of the form

$$\langle \mathcal{E}, \subseteq, \mathcal{R} \rangle$$

where  $\mathcal{R}$  must be a collection of computable sets.

Looked at this way, we are now looking at a c.e. set  $(\omega)$  that has no outside, and trying to ask what plays the counterpart to its “recursive” subsets, where “recursive” refers to having a complement all the way through that “outside” (an “outside” that is no longer there).

It is known (Cholak-H) that the structure  $\langle \mathcal{E}, \subseteq \rangle$  has complicated isomorphism type, having Scott rank as high as possible.

So let's reformulate our question by concentrating on the  $\mathcal{R}(A)$  part:

What can be said about:

$\mathcal{F} =$   
 $\{\mathcal{R}; \text{ such that there is an isomorphism between}$   
 $\langle \mathcal{E}, \subseteq, \mathcal{R} \rangle \text{ and } \langle \mathcal{E}(A), \subseteq, \mathcal{R}(A) \rangle$   
 $(\text{for any non-computable c.e. } A)\}$

The current state of knowledge about automorphisms gives:

(Lachlan) if  $\mathcal{R} \in \mathcal{F}$ , then  
 $\mathcal{R}$  is a  $\Sigma_3^0$  set of recursive sets

(Cholak-H) if  $\mathcal{R} \in \mathcal{F}$ , then there is an arithmetic ( $\Delta_6^0$ )  
isomorphism between  $\langle \mathcal{E}, \subseteq, \mathcal{R} \rangle$  and  $\langle \mathcal{E}(A), \subseteq, \mathcal{R}(A) \rangle$  (for  
any non-computable c.e.  $A$ )

(Cholak-H) there is an infinitary ( $\mathcal{L}_{\omega_1, \omega}$ ) sentence  $\varphi$  of rank  
 $< \omega + \omega$  such that  
 $\mathcal{R} \in \mathcal{F}$  iff  $\langle \mathcal{E}, \subseteq, \mathcal{R} \rangle$  satisfies  $\varphi$



Comment:

These results fall far short of actually understanding  $\mathcal{F}$  for what it is, since it is an orbit under  $Aut(\langle \mathcal{E}, \subseteq \rangle)$ .

The remarkable thing about Soare's Theorem is that it shows that many apparently disparate things (the non-computable c.e. sets  $A$ ) are seen as the same when we ignore their "outsides", retaining only their essential "inside" connection to their "outsides".

Yet, by Soare, they're all the same.

The orbit  $\mathcal{F}$  is just a way of pointing out the remarkableness of all this: when, for each non-computable c.e. set  $A$ , we look at  $\mathcal{R}(A)$ , there is an essential reference to the "outside" of  $A$ , and that reference points to something that depends on what  $A$  ("inside" and "outside") actual is, as distinct from the other possible  $A$ 's. And yet they are the same.

This situation seems related to a poem that Ted Slaman once shared:

## Flower in the crannied wall

By Alfred, Lord Tennyson

Flower in the crannied wall,  
I pluck you out of the crannies;  
Hold you here, root and all, in my hand,  
Little flower- but if I could understand  
What you are, root and all, and all in all,  
I should know what God and man is.

This talk (so far) has been an attempt to take what Soare plucked and hold it in the hand.

## Definition

For  $A \in \mathcal{E}$ ,

$$\mathcal{S}(A) = \{S \in \mathcal{E}(A); (A \sim S) \in \mathcal{E}(A)\}$$

## Definition (Lachlan)

For  $A, B$  in  $\mathcal{E}$ ,  $B \subseteq A$ ,

$B$  is a major subset of  $A$  iff  $R \in \mathcal{R}(A)$  implies  $R \subseteq^* B$ .

$B$  is a small subset of  $A$  iff  $\mathcal{E}(B) \cap \mathcal{S}(A) \subseteq \mathcal{R}(A)$ .

For  $B$  a small major subset of a non-computable c.e. set  $A$ ,  $\mathcal{R}(A)$  is  $B$ -definable over  $\langle \mathcal{E}(A), \subseteq \rangle$ :

$$R \in \mathcal{R}(A) \text{ iff } R \in \mathcal{S}(A) \text{ and } R \subseteq^* B.$$

The same then holds for an  $\mathcal{R} \in \mathcal{F}$ :  
for some  $B$  in  $\mathcal{E} = \mathcal{E}(\omega)$

$R \in \mathcal{R}$  iff  $R \in \mathcal{S}(\omega)$  and  $R \subseteq^* B$ .

Given  $B$ , this is a  $\Sigma_3^0$  property.

Let  $\mathcal{R}_B$  be this collection.

Then  $\mathcal{R} \in \mathcal{F}$  iff for some  $B \in \mathcal{E}$

$\mathcal{R} = \mathcal{R}_B$  and there is an isomorphism of  $\langle \mathcal{E}, \subseteq \rangle$  to  $\langle \mathcal{E}(A), \subseteq \rangle$   
(for some non-computable c.e.  $A$ ) such that  $B$  is sent to a small  
major subset of  $A$ .

This is now easily is a question about automorphism of  $\langle \mathcal{E}, \subseteq \rangle$   
itself (since  $\mathcal{E}(A)$  is easily identifiable with  $\mathcal{E}$ ), and so falls under  
known results.

## Definition

$B \in \mathcal{E}$  is simple iff there is no infinite c.e. set disjoint from  $B$ .

## Theorem (Cholak-H)

*For  $B$  simple, the  $\text{Aut}(\mathcal{E})$  orbit of  $B$  is  $\Sigma_7^0$ .*

In fact, for  $B_1, \dots, B_n$  all simple, the orbit of  $B_1, \dots, B_n$  under  $\text{Aut}(\mathcal{E})$  is  $\Sigma_7^0$ .

And similarly there are  $\mathcal{L}_{\omega_1, \omega}$  descriptions of orbits.

For  $B$  simple, (or for  $B_1, \dots, B_n$  all simple) there is a rank  $< \omega + \omega$  formula describing the orbit.

The static way of viewing the flow from “outside”:

## Definition

For  $A$  non-computable c.e.,  $\Lambda(A) = \{W \in \mathcal{E}; (W \cap A) \in \mathcal{S}(A)\}$

$\mathcal{E}$  is a distributive lattice with reduction

(for  $A, V$  there are  $A_0 \subseteq A, V_0 \subseteq V$  such that  $A_0 \cap V_0$  is empty, and  $(A \cup V) = (A_0 \cup V_0)$ )

So from  $\Lambda(A)$  and  $\mathcal{E}(A)$ , one can recover  $\mathcal{E}$

(  $V \in \mathcal{E}$  is recovered from  $V_0$  (which reduction ensures is in  $\mathcal{S}(A)$ , and from  $(W \cap A)$  which is in  $\mathcal{E}(A)$ .)

But one does not need all of  $\Lambda(A)$  for this. The common part between  $\Lambda(A)$  and  $\mathcal{E}(A)$  is  $\mathcal{S}(A)$ . This is a boolean algebra and  $\mathcal{R}(A)$  is an ideal of this boolean algebra.

$\mathcal{E}$  can be recovered from  $\Lambda(A)/\mathcal{R}(A)$  and  $\mathcal{E}(A)$ .

## Theorem (Cholak-H)

*For  $B$  simple,  
for  $F$  an automorphism of  $\langle \mathcal{E}, \subseteq \rangle$ ,  
 $F$  restricted to  $\Lambda(B)/\mathcal{R}(B)$  is  $\Delta_6^0$*

## Definition

For  $B \in \mathcal{E}$ ,  $\mathcal{L}(B) = \{W \in \mathcal{E}; B \subseteq W\}$

## Theorem

*For  $B, C$  in  $\mathcal{E}$ ,  
If  $F$  is an isomorphism between  
 $\Lambda(B)/\mathcal{R}(B)$  and  $\Lambda(C)/\mathcal{R}(C)$   
then  $F$  restricted to  $\mathcal{L}(B)$  extends to an automorphism of  $\mathcal{E}$ .*

## Theorem

*For  $B$  simple,  
for  $F$  an automorphism of  $\langle \mathcal{E}, \subseteq \rangle$ ,  
 $F$  restricted to  $\Lambda(B)/\mathcal{R}(B)$  is  $\Delta_6^0$ .*

*If  $F$  is an isomorphism between  
 $\Lambda(B)/\mathcal{R}(B)$  and  $\Lambda(C)/\mathcal{R}(C)$   
then  $F$  restricted to  $\mathcal{L}(B)$  extends to an automorphism of  $\mathcal{E}$ .*

About the orbits of tuples  $B_1, \dots, B_n$  where all  $B_i$  are simple:

By the reduction property of  $\mathcal{E}$ , there is a simple  $B$  such that all the  $B_i$  are in  $\Lambda(B)$ .



## Theorem

*For  $B, C$  in  $\mathcal{E}$ ,  
If  $F$  is an isomorphism between  
 $\Lambda(B)/\mathcal{R}(B)$  and  $\Lambda(C)/\mathcal{R}(C)$   
then  $F$  restricted to  $\mathcal{L}(B)$  extends to an automorphism of  $\mathcal{E}$ .*

This Theorem's proof is essentially the Soare Extension Theorem, with a needed connection provided by

## Theorem (Cholal-H)

*For  $F$  an automorphism of  $\mathcal{E}$ , for  $B \in \mathcal{E}$   
 $F$  restricted to  $S(A)/\mathcal{R}(A)$  is  $\Delta_3^0$*