

Climatic and anthropogenic influences on vegetation changes during the last 5000 years in a seasonal dry tropical forest at the northern limits of the Neotropics.

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Keywords:	palynology,, tropical dry forest, drought, Hill numbers, diversity, western Mexico
Abstract:	Seasonal dry tropical forest (STDF) is a widespread vegetation type in western Mexico. Generally, this type of forest develops in semihumid habitats where lacustrine basins are scarce, preventing documentation of the vegetation history and forest dynamics using a paleoecological approach. Here, we present a palynological record from the Santa Maria del Oro crater lake, located within the distribution area of the STDF and the adjacent dry oak forest which gives insight into the changes in diversity and human impact at this tropical site. Pollen data, in combination with geochemical analysis and microcharcoal data from a lacustrine sequence, are used to discuss vegetation change and diversity in the plant assemblages related to drought or anthropogenic activity over the last 5000 years. Our results show three distinct periods of drought in the basin, from 4200–3850, 3100–2300, 1570–1100, and 300 cal yr BP, with changes in vegetation composition mainly related to a decrease in taxa diversity during these periods. Based on the presence of maize pollen, two periods of human activity (3790–2160 and 280 cal yr BP to present) were detected with an increase in herbaceous pollen used as a surrogate for deforestation. These two disturbance periods, pre-

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	Columbian and postcolonial, occurred during wet conditions in the basin. Our results highlight the correlation between El Niño events, drought, and fire with changes in the composition and diversity of STDF.

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Manuscripts

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7 **5000 years in a seasonal dry tropical forest at the northern limits of the**
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9 **Neotropics.**
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44 **Abstract**
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46 Seasonal dry tropical forest (STDF) is a widespread vegetation type in western
47 Mexico. Generally, this type of forest develops in semihumid habitats where
48 lacustrine basins are scarce, preventing documentation of the vegetation history
49 and forest dynamics using a paleoecological approach. Here, we present a
50 palynological record from the Santa Maria del Oro crater lake, located within the
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3 distribution area of the STDF and the adjacent dry oak forest which gives insight
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5 into the changes in diversity and human impact at this tropical site. Pollen data, in
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7 combination with geochemical analysis and microcharcoal data from a lacustrine
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9 sequence, are used to discuss vegetation change and diversity in the plant
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11 assemblages related to drought or anthropogenic activity over the last 5000 years.
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13 Our results show three distinct periods of drought in the basin, from 4200–3850,
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15 3100–2300, 1570–1100, and 300 cal yr BP, with changes in vegetation
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17 composition mainly related to a decrease in taxa diversity during these periods.
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21 and 280 cal yr BP to present) were detected with an increase in herbaceous pollen
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23 used as a surrogate for deforestation. These two disturbance periods, pre-
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25 Columbian and postcolonial, occurred during wet conditions in the basin. Our
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27 results highlight the correlation between El Niño events, drought, and fire with
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29 changes in the composition and diversity of STDF.
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Keywords: palynology, tropical dry forest, drought, Hill numbers, diversity, western Mexico

Introduction

Seasonally dry tropical forests (SDTF) are one of the most diverse and widespread vegetation types in the dry tropics (Pérez-García et al., 2012), inhabited by plant types that are adapted to an extended dry season (4 to 8 months). This vegetation is characteristic of the lowlands (<1400 m a.s.l.) in the northern Neotropics (Rzedowski, 1978), forming an almost continuous band from southern Sonora to central America (Pennington et al., 2000; Rzedowski and Calderon de Rzedowski, 2013). This vegetation generally grows on moderate to steep slopes in warm sub-humid climates, with annual mean precipitation less than 1600 mm/yr, mean annual temperatures between 17 and 27.4 °C (Jansen, 1988; Pennington et al., 2000; Trejo and Dirzo, 2002). It poses high turnover rates, suggesting high local diversification (Rzedowski, 1962, 1991).

According to Rzedowski (1991), SDTF distribution in Mexico encompasses high diversity with 60% of endemic species and 11% of endemic genera. The high diversity can be related to the complex geologic and phytogeographical history of the region (Kohlmann and Sánchez-Colón, 1984). Time-calibrated molecular phylogeny studies of *Bursera*, a dominant member of the STDF, suggest that the establishment of the STDF in western Mexico occurred 30 to 20 Ma, corresponding with the formation of the Trans-Mexican Volcanic Belt (TMVB) and the Sierra Madre Occidental (Becerra, 2005). It has also been suggested that climate changes during the Pleistocene drove fragmentation and expansion processes associated with plant speciation (Pennington et al., 2000; Trejo and Dirzo, 2002). Nonetheless, research on the vegetation history and forest dynamics of SDTF in western Mexico during the Holocene using a paleoecological approach is limited.

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3 One of the reasons for the lack of paleoecological studies related to STDF is **the**
4 **paucity of lacustrine basins with good pollen records and, the use of forest hollows**
5 **to reconstruct vegetation using fossil pollen, is challenging due to the** sub-humid
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10 climate habitats where this forest develops.

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12 Lacustrine sediment sequences provide information regarding past landscape
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14 changes. These natural terrestrial archives offer information regarding changes in
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16 plant communities, hydrological and depositional conditions, and climate change.

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18 Due to the complex geological history of the TMVB, **many** of lakes have formed since
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20 the Neogene and efforts have been made to reconstruct the Pleistocene and
21
22 Holocene environmental history based on the study of sediment cores from lakes in
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24 this region. The Holocene palynological records from the **central region of the** TMVB
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26 mainly focused on temperate ecosystems, documenting changes in plant
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28 composition and distribution in response to several drivers such as climate
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30 variability, (eg, ENSO, droughts), and other disturbances like early agriculture,
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32 deforestation, and fires. **During early Holocene (11,700–8200 cal yr BP), a trend to**
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34 **low lake levels and saline environments in several lacustrine records of the TMVB**
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36 **have been recognized, this condition has been associated to high summer insolation**
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38 **(Bhattacharya et al., 2017; Del Castillo-Batista et al., 2018; Figueroa-Rangel et al.,**
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40 **2008; Lozano-García and Vázquez-Selem, 2005; Lozano-García et al., 2020); Park**
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42 **et al., 2010). By mid-Holocene (8200–4200 cal yr BP), some records with low lake**
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44 **levels showed a recovery (Caballero et al., 2002; Lozano-García et al., 2013;**
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46 **Vázquez et al., 2017) ; at some sites freshwater conditions were documented**
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48 **although no paleolimnological records are available for the western end of the**
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50 **TMVB. For late Holocene (4200 cal yr BP to the present), a dry period that started**
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3 at the end of the mid-Holocene, continue until 3000 to 2000 cal yr BP; after this
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5 period, a slight recovery in lake levels, is detected. According to the records, another
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7 dry period occurs at around 1.5 cal yr BP (AD 600) to 0.8 cal yr BP (AD 1100) that
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9 correlates with the demise of Mesoamerican cultures (Lozano-Garcia et al., 2020).

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11 For the western tropical end of the TMVB, scarce palynological Holocene records
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13 are available regarding climate variability and long-term vegetation changes
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15 (Brown, 1985). A trend towards drier conditions together with the occurrence of
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17 agriculture activities are documented from Lago Guzman; pollen data suggests drier
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19 conditions and agriculture at 750 cal yr BP (AD 1200) and for Laguna San Pedro
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21 pollen record, lying within the STDF zone, shows a period of dry conditions and
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23 agriculture from 3000 yr BP to ca 800 yr BP (1050 BC to AD 1150) although
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25 interpretation is problematic due to low resolution in pollen counting (Brown, 1985).

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27 The Santa Maria del Oro (SMO) is a crater lake located at the northern limits of the
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29 Neotropics, it lies in the western portion of the TMVB, at the intersection of the TMVB
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31 and the Sierra Madre Occidental (Figure 1a); it represents a suitable site to study
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33 SDTF evolution given its location at the climatic boundary between the northern
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35 Mexico arid climates and the temperate sub-humid to humid climates of central
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37 Mexico. Previous paleoecological studies of lacustrine sediments in SMO using
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39 diatoms and ostracods, along with magnetic mineralogy and inorganic geochemistry,
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41 have provided evidence on significant environmental changes during the last 2000
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43 years, particularly those regarding climate change and human impact (Rodríguez-
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45 Ramírez et al., 2015; Vázquez-Castro et al., 2008); however, there are no
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47 paleoecological records of the tropical plant communities around the basin and their
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49 responses to climate variability and human disturbances. Therefore, the main aim of
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3 this study was the reconstruction of plant assemblages in SMO in order to answer
4 the following questions: i) based on taxa composition, was the SDTF an stable plant
5 community over the last 5000 years?, ii) is the plant community responding to
6 periods of climate change (droughts) and/or to anthropogenic activity? and iii) what
7 were the main drivers climate change?
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15 Study Site

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17 SMO crater lake is located in west-central Mexico (21°22'N, 104°34'W at
18 730 m a.s.l.), 65 km from the Pacific coast in the state of Nayarit (Figure 1a). The
19 crater walls are dacitic-rhyolitic in composition with some basaltic flows on the
20 northern slopes. According to Vázquez-Castro et al. (2008), the crater is probably
21 of Pleistocene age based on its alignment with other volcanic cones in the area.
22 The lake inside the crater is almost circular, with an area of 3.7 km² and a
23 maximum depth of 65 m (Caballero et al., 2013; Serrano et al., 2002). Most of the
24 lake lacks a shallow littoral zone because the crater walls are very steep down to
25 the bottom of the lake, which is nearly flat (Figure 1b).
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41 Previous paleoenvironmental studies based on magnetic mineralogy,
42 geochemistry, diatoms, and ostracods were undertaken on a littoral core
43 (SMO02V, 880 cm) (Fig. 1b) retrieved from a small shallow (< 20 m) bay in the
44 southwestern part of the lake (Rodríguez-Ramirez, 2015; Vázquez-Castro et al.
45 2008). Another sediment sequence was retrieved from the central flat area (MOLE
46 SMO03, 894 cm), for which geochemical data have been published for the top 160
47 cm (Sosa-Nájera et al., 2010) and shown in Figure 1b. The lake is warm
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monomictic with stable stratification for 9 to 10 months; the water is slightly alkaline with a pH of 8.6 and evidence of evaporative concentration, Ca²⁺ depletion, and Cl⁻ enrichment of lake waters (Caballero et al., 2013).

The modern climate in SMO is tropical sub-humid with predominantly summer precipitation. Mean annual precipitation is 1214 mm, and the rainy season occurs from June to October responding to the northward migration of the Intertropical Convergence Zone (ITCZ) and the onset of the North America Monsoon (NAM) system. Precipitation in western Mexico is modulated by the ITCZ position and the intensity of NAM (Metcalf et al., 2015). Summer precipitation is reduced during El Niño events in central and southern Mexico and the Pacific coast of Central America (Castro et al., 2001; Magaña et al., 2003). The dry season lasts between 5 and 8 months. The mean annual temperature is 21°C with monthly mean temperatures ranging from 16.6°C (January and February) to 25°C (June and July) (SMN, 2019, 18005-Cerro Blanco meteorological station).

At present, SMO is surrounded by SDTF, a deciduous community that loses its leaves for 5 to 8 months each year (Figure 1c and 1d). Tree species include *Bursera simaruba* (L) Sarg., *Enterolobium cyclocarpum* (Jacq.) Griseb., *Piscidia piscipula* Sarg., *Pseudobombax ellipticum* (Kunth) Dugand., *Haematoxylum brasiletto* H. Karst., *Parkinsonia praecox* (Ruiz&Pav.) Hawkins, *Lysiloma acapulcense* (Kunth) Benth., *Alvaradoa amorphoides* Liebm., *Diphyssa suberosa* S. Watson, and *Guettarda macrosperma* Donn.Sm. Sub-deciduous Tropical forest (SDTF) elements are present in ravines with tree species such as *Acacia polyphylla* DC., *Astronium graveolens* Jacq., *Brosimum alicastrum* Sw., *Ceiba pentandra* (L.) Gaertn., *Cocoloba barbadensis* Jack., *Ficus glabrata* Kunth,

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3 *Lonchocarpus hermannii* M Sousa, and *Randia armata* (Sw.) DC.. Numerous vines
4 plants and epiphytes are important elements as well (Tellez, 1995). Oak tropical
5 forest is conspicuous on the steep northern slopes with *Quercus castanea* Née as
6 the dominant species, and common shrubs including *Acaciella angustissima* (Mill.)
7 Britton & Rose, *Acacia tequilana* S. Watson, *Bolanosa coulteri* A.Gray, *Rhamnus*
8 *palmeri* S. Watson, *Tephrosia sinapou* (Buc'hoz) A. Chev, and *Mimosa somnians*
9 Humb. & Bonpl. Ex Willd. Herbaceous cover is highly diverse with species
10 belonging to the Leguminosae and Asteraceae species such as *Desmodium*
11 *angustifolium* (Kunth) DC., *D.cinereum*, . Poepp. Ex Grisb. *Eriosema multiflorum*
12 Robinson, *Crotalaria micans* Link, *Tephrosia nicaraguense* Oerst., *Tagetes lucida*
13 Cav., *Verbesina tequilana* J.R. Coleman, as well as numerous graminoids (Tellez,
14 1995).

Archaeological framework

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Some of the earliest human remains in western Mexico belongs to Matanchén bay (Nayarit), dating back to 2200-1730 BC (Williams, 2020) and, according to Mountjoy (2015), there is an archaeological gap between the last Archaic record and the first dates of the Formative period (1500 BC–AD 300). For west Mexico, the first farmer groups of the Middle Formative period (1200 BC arrived into an uncolonized territory (Mountjoy, 2015), while sedentary groups appear to establish during a period of wet conditions (Beekman, 2010). Maize pollen found in lake sediments from Laguna San Pedro in the Nayarit state, indicates agriculture activities at ca. 3000 yr BP to ca 800 yr BP (1050 BC to AD 1150 (Brown, 1985)). During the Classic period (AD 300-900), considered as the cultural blooming of the Maya region and

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3 central Mexico civilizations, the western area of Mesoamerica also shows a cultural
4 development; this region played an intermediary role between central and southern
5 Mesoamerica (Williams, 2020). Several records indicate that the late Classic (600–
6 1000 AD) was a period of significant droughts and extreme changes, with the decline
7 of the Teotihuacan city-state (Park et al., 2019). For the western region, there is
8 evidence of decreases in human occupation (Beekman, 2010; Vazquez-Castro et
9 al., 2019). This trend continues during the early Postclassic (1000–1522 AD) with
10 the abandonment of ceremonial sites but with the rise of the Pacific Coast
11 communities, which developed intensive floodplain agriculture and significant
12 technological advances such as metallurgy (Beekman, 2010). Soon after the
13 Spaniards arrival (1532), western Mexico was recognized as a mineral-rich area and
14 a series of roads and bridges were constructed in the XVII century for silver and gold
15 exploitation. During the XVIII century, near the town of Santa Maria del Oro, gold
16 mining was carried out and this activity continues until today.
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40 **Material and Methods**

41 *Sample collection and Chronology*

42 Sediment cores were taken from the central part of the lake (57 m deep;
43 21°21.901'N, 104°34.093'W) (Fig. 1) using the Kullenberg coring system from the
44 National Lacustrine Core Facility (LacCore) at the University of Minnesota. Three
45 continuous parallel cores 1A, 1B and 2A, 6.2 m, 7.3 m and 8.2 m deep
46 respectively, and one short core 1BM (71 cm) were retrieved and transported to
47 LacCore for documentation including high-resolution photographs, magnetic
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3 susceptibility, and density measurements. A master sequence (MOLE-SMO03, 894
4 cm long) was constructed by visual comparison of high-resolution photographs and
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6 magnetic susceptibility **data of the four cores**. Sediment sub-sampling for pollen
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8 and other proxy analyses was done **only down to 868 cm depth** at LacCore at
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10 approximately 10 **to 12** cm intervals.
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15 The chronological model was established based on seven radiocarbon
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17 dates (Table 1), assuming that the top of the sequence dates to the year of core
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19 collection (i.e., 2003). Samples for age determination were dried and sent to Beta
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21 Analytic for AMS radiocarbon dating. Dates were calibrated with IntCal13 (Remier
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23 et al., 2013) and an age-depth model was elaborated with the Bacon-v2.3.3
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25 (Blaauw and Christen, 2011) package available in R v3.4.3 (R Development Core
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27 Team, 2017) using Bayesian statistics .
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35 ***Geochemical analysis***

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37 The MOLE-SMO03 master sequence sediments down to 761 cm, were
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39 analysed using an ITRAX X-ray sediment core scanner at 1 mm resolution at
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41 Southampton University, UK. For this study we only selected Ti and Ca data, and
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43 the intensities data count per second (cps) were transformed to percentages
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45 following the protocol established previously by Sosa-Najera et al. (2010). This
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47 transformation was based on a linear regression between the cps data and
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49 elemental concentration of selected samples that were analysed using traditional
50
51 XRF equipment (Siemens SRS 3000) at the Institute of Geology, UNAM (Sosa-
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53 Nájera et al., 2010). Titanium **is an insoluble element present in minerals of**
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3 catchment rocks and it was used as a run-off indicator (Metcalf et al., 2010; Sosa-
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5 Nájera et al., 2010). Sources of Ca are the catchment rocks and authigenic
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7 carbonates. Previous studies in SMO lake (Rodríguez-Ramírez et al., 2015; Sosa-
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9 Nájera et al., 2010) demonstrated that higher Ca concentrations are related to
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11 historical droughts; they used Ca normalization against Ti to reduce the detrital
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13 input signal in the detection of drought periods.
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19 ***Pollen and microcharcoal analysis***

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21 Samples for pollen extraction were analysed only down to 868 cm depth
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23 because of poor preservation in the calcareous laminations at depths > 868 cm in
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25 the MOLE-SMO03 master sequence. Sixty-three 0.5 cm³ sediment samples were
26
27 processed for pollen extraction according to Batten (1999), including the addition of
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29 two *Lycopodium clavatum* spore tablets for pollen concentration calculations.
30
31 Residues were mounted in glycerin jelly and examined with a Zeiss microscope at
32
33 40x and 100x. We counted 400 pollen types, excluding tracer spores, pollen of
34
35 aquatic taxa, fern spores, microalgae, and testaceae. The pollen assemblage
36
37 included terrestrial pollen types. Identification was undertaken using
38
39 comprehensive pollen reference collection from the Laboratorio de Paleoecología,
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41 Paleoaambientes y Cambio Climático at UNAM which included regional material
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43 from a vegetation survey undertaken in the SMO basin in 2004 (Supplementary
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45 material). Charcoal particles >100 µm were counted on the pollen slides to
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47 calculate concentration (particles/cm³).
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56 ***Statistical analysis***

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3 Tilia software (Grimm, 1991) was used to generate the percentage
4 pollen diagrams and concentration calculations. Four zones were
5 established based on a stratigraphically constrained cluster analysis
6 (CONISS, Grimm, 1987) of the pollen taxa with percentages >1%, and
7 including only trees, herbs, fern spores and pollen types (Figure 3).
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12 Taxa diversity was estimated using four diversity metrics:
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15 a) Hill Numbers (Hill, 1973) were estimated as follow: (a) Hill N0: the number of
16 species (S) found in a sample regardless of their abundance; (b) Hill N1: the
17 exponential of the Shannon-Weaver diversity index represents the number
18 (abundance) of taxa in each sample and weighting each taxon by its relative
19 abundance; (c) Hill N2: the inverse of Simpson's index and representing the
20 number of very abundant (dominant) fossil pollen taxa (Gotelli and Ellison,
21 2013). Evenness was estimated as the ratio $N2/N0$, the dominant taxa relative
22 to all taxa.
23
24 b) Rate of Change: estimated using the Bray-Curtis dissimilarity index to calculate
25 the dissimilarities between two pairs of samples to record changes over time.
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28 Hill Numbers and rate of change were estimated using the vegan package
29 (Oksanen et al., 2018) available in R-v3.4.3 (R Development Core Team, 2017).
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33 To identify the temporal correlation of anthropogenic activity, drought
34 occurrences, and changes in the plant assemblages of the dry tropical forest,
35 cross-correlation analysis was performed between *Quercus* and *Zea mays* with
36 Ca/Ti as a proxy of high evaporation rate and low precipitation (Rodríguez-Ramírez
37 et al., 2015). Cross-correlation analysis was also carried out between the metrics
38 (N0, N1, and N2) with Ca/Ti, and microcharcoal particles with *Zea mays* and the
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3 three-diversity metrics; significant cross-correlation were tested at 95% confidence
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5 level. The analyses were developed with the cross-correlation function (CCF)
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7 available in R-v3.6.0 (R Development Core Team, 2019).
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10 **Results**

11 ***Core description and age model***

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13 According to the age model, the base of the core (894 cm) was determined
14
15 to be ca. 5000 cal yr BP, with a mean sedimentation rate of ~2 mm/yr and an
16
17 average pollen sample resolution of ~80 years (Figure 2).
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21 The sediments are characterised by banded to laminated calcareous muds, fine
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23 sands, dark organic muds (sapropels), and clayey diatomaceous mud, with
24
25 characteristic bundles of ~5–30 calcareous laminae separated by 2–8 cm of
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27 massive brown silt and fine sands, allowing for correlation between the four cores.
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30 ***X-Ray Fluorescence (XRF)***

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32 Titanium varied along the core from 0.17 to 0.83%, with values generally
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34 lower than average (<0.40%) between 761 and 688, 560–410, and 330–110 cm;
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36 higher than average values corresponding to 690– 580, 375–320, and 57–45 cm.
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38 The Ca/Ti ratio, with an average of 20.8, ranged between 8 and 101; values
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40 **between 48 to 101** were present from 722–658 cm, 320–240 cm, 27 cm and the
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42 top 10 cm (Figure 4).
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48 ***Pollen record***

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50 Pollen composition comprised 88 taxa identified at genera or family level;
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52 they **included 21 arboreal pollen and 53 non-arboreal pollen (36 herbaceous, 17**
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54 **fern spores and 14 aquatics-not presented in this work) (Table 2). Besides, 24 taxa**
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3 were counted as pollen types and 9 taxa as fern spore types. The pollen
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5 stratigraphy is presented in percentages showing the downcore changes (Figure
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7 3). Based on the CONISS cluster analysis (Grimm, 1987), the sequence from SMO
8
9 can be divided into four pollen zones (SMO-1 to SMO-4), one of which is
10
11 subdivided (Figure 4) into two subzones (SMO-2a and 2b).
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17 **SMO-1:** 868–656 cm (4940–3800 cal yr BP). Pollen percentages are dominated by
18
19 *Pinus* (40%), *Quercus* (45%), Moraceae (10%), and *Bursera* (5%), with Poaceae
20
21 (70%) and Amaranthaceae (8%) as the most important herbaceous pollen. The
22
23 first occurrence of maize pollen corresponds to the sample at 656 cm (3990 cal yr
24
25 BP). The highest concentrations of charcoal (1500 particles/cm³) were recorded at
26
27 the base of the zone, with a trend towards lower values with fluctuations.
28
29

30 **SMO-2:** 623–220 cm (3600–1063 cal yr BP) is primarily characterised by a
31
32 continuous increase in herbaceous pollen percentages. This zone is subdivided
33
34 into two subzones:
35
36

37 **Subzone SMO-2a:** 623–321 cm (3593–1797 cal yr BP) is represented by a
38
39 sudden increase in Moraceae (20%) at the base of the subzone, a reduction
40
41 in *Quercus* percentages (mostly below 20%), and an increase in Poaceae
42
43 (52%), Asteraceae (25%), and *Ambrosia* (9 %) with the continuous presence
44
45 of maize. Higher values in charcoal concentration are reached in the middle
46
47 and the upper part of this subzone, after a decline at the base.
48
49

50 **Subzone SMO-2b:** 270–220 cm (1450–1063 cal yr BP). Pollen percentage
51
52 spectra are dominated by *Quercus* (28%), *Pinus* (13%), and Poaceae (44%)
53
54 together with a decline of Asteraceae (7%), Amaranthaceae (10%), and
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3 Ambrosia (1.7%). The absence of maize differentiates this subzone, and
4
5 charcoal concentration declines considerably compared to the previous
6
7 subzone SMO-2a.
8
9

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11
12 **SMO-3:** 212–78 cm (998–317 cal yr BP). Pollen spectra show a decline in *Quercus*
13
14 (19%) and herbaceous taxa such as Poaceae, Asteraceae, and Amaranthaceae,
15
16 while other taxa increase: *Pinus* (16%), Moraceae (12%), *Brosimum* (4%), *Bursera*
17
18 (3%), *Poulsenia* (4%), and Piperaceae (7%). Maize was only recorded at 176 cm;
19
20 charcoal concentrations continued with values from 1200 to 7000 particles.
21
22 *Quercus* and Poaceae increase their percentages in the last two samples.
23
24
25

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27
28 **SMO-4:** 69.2 cm (279–3 cal yr BP). A sudden increase in Amaranthaceae (40%)
29
30 and Asteraceae (20%) and a decline in Poaceae (18%) with the continuous
31
32 presence of maize characterises this zone. Microcharcoal concentrations are
33
34 similar to SMO-3.
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40 ***Taxa diversity***

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42 Hill number N_0 , which expresses the effective number of pollen taxa, varies
43
44 through the sequence (with a 95% confidence interval) from 28 to 31 taxa; lower
45
46 numbers (23 and 21 pollen taxa) corresponded to SMO-1 and SMO-2b pollen
47
48 zones, respectively, and the highest number (43 pollen taxa) was found at SMO-3
49
50 (Figure 4).
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53
54 Both N_1 and N_2 showed the same pattern of peaks and drops along the
55
56 sequence; low values (4 to 11 pollen taxa) were observed in all pollen zones,
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3 except in SMO-3 where they presented the highest values (10 to 18 pollen taxa).
4
5 N1, which counts taxa equally in proportion to their abundance, varied slightly from
6
7 only 8 to 9 taxa; N2, which gives greater weight to the most abundant taxa,
8
9
10 oscillated from 5 to 6 taxa (Fig. 4).
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14 **Rate of change**

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16
17 The rate of change based on the pollen data was low (<0.1) in SMO-1 and
18
19 in most of SMO-2a with an increase at 1800 cal yr BP; lower values (0.05) were
20
21 also present in SMO-2b. An increase in vegetation turnover was recorded in SMO-
22
23 3, with a maximum (0.3) at 600 yr cal BP. The rate of change decreased in the first
24
25 section of zone SMO-4 (between 0.1 and 0.2) while an abrupt increase (0.4) was
26
27 evident in the last section of this zone.
28
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33 **Cross-correlation**

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35 Cross-correlation results (Figure 5) revealed a positive correlation between
36
37 *Quercus* and Ca/Ti at lag 0, indicating that they change together. Correlation
38
39 between Ca/Ti and the diversity metrics (N0, N1, N2) is negative, so an increase in
40
41 Ca/Ti coincides with a decrement in N0, N1, and N2. The same pattern of negative
42
43 correlation was obtained between charcoal concentration with *Zea mays*, N0, N1,
44
45 and N2 indicating that they shift inversely at the same time. Also, a negative
46
47 correlation was obtained between *Zea mays* and the Ca/Ti ratio.
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53 **Discussion**

Vegetation change during the last 5000 years

The 5000 years pollen record of SMO provides historical information on the evolution of the SDTF that grows at the northern limit of the Neotropics and also provides an insights of the evolution of the tropical oak forests adjacent to the SDTF. The fossil pollen assemblage in the small SMO basin was a combination of the SDTF and SCTF represented by a mixture of tropical taxa with relatively low pollen percentages (< 5%) (eg, Bombacaceae, *Heliocarpus*, *Poulsenia*) and the dry tropical oak forest. In many of the tropical taxa pollination is entomophilous, causing these taxa to be poorly represented in the pollen spectra. On the other hand, anemophilous taxa such as *Quercus* and *Pinus* showed the highest percentages in the sedimentary record.

Pollen spectra from ca. 4940–3800 cal yr BP have a distinct signal with high percentages (60–70%) of *Quercus* and *Pinus*, while herbaceous pollen such as Asteraceae and Amaranthaceae showed low values; Poaceae had values of 30% except for two peaks. At present, pine forests and mixed pine-oak forests are the dominant vegetation in the highlands of central Mexico. Studies from several lake sequences, reconstructing Pleistocene and Holocene history, report *Pinus* with percentages fluctuating from 60 to 90% (Lozano-García et al., 2005; Park et al., 2010; Ruiz-Córdova et al., 2019; Torres-Rodríguez et al., 2012). In comparison, *Quercus* pollen generally ranged between 10 and 30%. Currently, on the northern slopes of SMO basin, oak groves are dominated by *Quercus castanea*. Therefore, late Holocene fossil pollen spectra in this study point to a larger presence of this plant community at the site. This agrees with evidence on the permanence of a

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2
3 large population of *Q. castanea* in the TMVB since the Pleistocene (Peñaloza-
4
5 Ramírez et al., 2020).
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7
8 On the other hand, *Pinus* is absent from the present-day vegetation of the
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10 SMO basin, although a few *Pinus* individuals were observed on the eastern slopes
11
12 outside the SMO basin. Studies involving the floristic composition of oak forests in
13
14 the state of Nayarit, where SMO lake is located, reported the occasional presence
15
16 of *Pinus* individuals along with several oak species (Tellez, 1995).
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20 Changes in the pollen spectra between 3800 and 1700 cal yr BP show an
21
22 increase in non-arboreal pollen, and high values of Poaceae, Asteraceae,
23
24 Amaranthaceae, and *Ambrosia*, along with the presence of maize pollen (the first
25
26 record was observed at 3900 cal yr BP). These pollen assemblages evidence that
27
28 human activities started in the catchment of SMO around this time. We
29
30 hypothesise that the reduction in the percentages of *Pinus* observed after ca. 4000
31
32 cal yr BP in the pollen diagram could be associated with the initiation of human
33
34 impact in the region that resulted in logging targeting the extraction of wood from
35
36 the *Pinus* species.
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40 The herbaceous assemblage (*Ambrosia*, Asteraceae, and Poaceae)
41
42 reduced their percentages between 1500 and 300 cal yr BP. In the first part of this
43
44 period, between 1500 and 1000 cal yr BP, Poaceae continued with high values and
45
46 only *Quercus* showed an increase in values. **The rate of change was higher from**
47
48 **1000 to 300 cal yr BP** (Fig. 4), Poaceae and *Quercus* percentages showed a
49
50 reduction, and the taxa belonging to the SDTF such as *Bursera*, **and the SCTF**
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52 **taxa as** *Brosimum*, *Ficus*, *Poulsenia*, Moraceae, and Piperaceae increased. During
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3 this period, maize pollen was only recorded in one sample at 760 cal yr BP (AD
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5 1200).
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8 During the last 300 cal yr BP (since AD1650), an increase of
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10 Amaranthaceae and Asteraceae marked a significant change in vegetation coupled
11
12 with an abrupt rise in the rate of change. Evidence of agriculture, as indicated by
13
14 the presence of maize pollen, correlates with a significant increase in the non-
15
16 arboreal assemblage but with low charcoal concentration values.
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19 20 21 ***Correlation between the plant assemblages and periods of drought*** 22

23
24 The dry tropics have lower diversity in terms of species richness compared
25
26 to the humid tropics, but in western Mexico, the species richness of the SDTF is
27
28 very high (Durán et al., 2006). Studies regarding the relationship between diversity
29
30 and climate parameters such as precipitation and seasonality have shown a
31
32 positive correlation between the diversity of the Mexican STDF with
33
34 evapotranspiration (Trejo and Dirzo, 2002).
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36

37
38 In the record of SMO, the cross-correlation analysis of *Quercus* and Ca/Ti
39
40 shows that these two variables shifted together; confirming that *Quercus* is a
41
42 drought indicator. Based on the combination of the *Quercus* pollen spectra and the
43
44 Ca/Ti ratio, we inferred four main periods of drought conditions in the SMO basin
45
46 during the last 5000 years: from ca. 4200–3850 cal yr BP, 3100–2300, 1570–1100
47
48 cal yr BP and 300 cal yr BP. (A) During the first drought period, *Quercus* attained
49
50 values of 50% and the Ca/Ti ratio was high. (B) Throughout the second period, ca.
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52 3100–2300 cal yr BP, *Quercus* attained irregular percentages, and there was an
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54 increase in Poaceae, possibly related to agricultural practices inferred from the
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3 presence of maize pollen. Agriculture probably took place on the slopes near the
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5 inlet of the south-western part of the catchment, as it occurs there today. In the
6
7 other sectors of the crater, the slopes are too steep to carry out **agricultural**
8
9 practices. (C) For the third drought period, dated from 1570 to 1000 cal yr BP (AD
10
11 380–950), low concentrations of Ti and high values of Ca/Ti suggest severe
12
13 droughts. Maize pollen was absent in these sediments leaving no evidence of
14
15 human activity during this period in the basin. This time corresponds to the period
16
17 of the Classic drought, which has been documented in several paleoecological
18
19 records in central Mexico (Bhattacharya et al., 2017; Caballero et al., 2002;
20
21 Metcalfe and Davies, 2007). In SMO, the record is characterised by an increase in
22
23 *Quercus* percentages, low run-off with low Ti concentrations, and high evaporation,
24
25 as suggested by the Ca/Ti ratios. Paleoecological evidence for the same period
26
27 obtained from the littoral core shows low lake levels from **1350–1150 cal yr BP**
28
29 **(500 to 1000 AD)** and intense evaporation and reduced surface run-off from 600 to
30
31 800 AD (Rodríguez-Ramírez et al., 2015). (D) The most recent and intense drought
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33 at ca. 300 cal yr BP with an episode of **a** sudden increase in *Quercus* percentages
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35 along with **a** high Ca/Ti ratio.
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42 Previous high-resolution XRF of Ti and Ca concentration data and magnetic
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44 susceptibility for the last 700 yr BP (since AD 1250) in the SMO sequence (top 160
45
46 cm), together with historical information and tree-ring chronologies, allowed us to
47
48 document significant drought events. The drought of **295 cal yr BP (AD1655–1670)**
49
50 was one of the most intense, characterised by an increase in Ca and a reduction in
51
52 Ti percentages (Sosa-Nájera et al., 2010). According to Stahle et al. (2016), this
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54 drought reconstructed on tree-ring chronologies at 1666–1669, was one of the two
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3 most extreme of the past 600 years in Mexico. Other analyses, including a
4
5 combination of magnetic mineralogy, organic and inorganic carbon, and
6
7 geochemistry have been carried out in a sequence that covers the last 2600 years
8
9 (SMO02-V) drilled in the littoral zone of SMO lake (Fig. 1b, Vázquez-Castro et al.,
10
11 2008). Data in this earlier publication shows warmer and drier conditions between
12
13 1350 and 810 cal yr BP (AD 600 to 1140) and promoting high pH in the lake and
14
15 the precipitation of carbonates. Rodríguez-Ramírez et al. (2015) using ostracod
16
17 and diatom content in combination with magnetic susceptibility, total inorganic
18
19 carbon, and Ca/Ti concentration values in the same core showed periods of lower
20
21 lake levels associated with higher Ca/Ti values which were associated with
22
23 reduced precipitation. The SMO littoral record corroborated that high Ca/Ti values
24
25 in this lake can be taken as an indicator of high carbonate formation during periods
26
27 of high evaporation, and therefore lower effective moisture.
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33 Two periods of wetter conditions at SMO can be inferred based on the Ca/Ti
34
35 record and high percentages of Moraceae pollen. The first event centered at 3700
36
37 cal yr BP and the second between 1050– 650 cal yr BP (AD 900–1300). Evenness
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39 values (N_2/N_0 ratio) are high during the periods of more moisture availability.
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44 ***Anthropogenic activity in the SMO basin***

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46 It has been suggested that early agriculture dispersed inland from coastal
47
48 western Mexico through river basins (Zizumbo-Villareal and Colunga, 2008) and
49
50 established in various lake basins, such as SMO. In several lacustrine sequences
51
52 of the TMVB fossil maize is reported after 5000 cal yr BP (Goman and Byrne,
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54 1998; Lozano-García et al., 2010, Lozano-García et al., 2013; Park et al., 2010;
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3 Sluyter and Dominguez-Vázquez, 2006) indicating the expansion of agriculture in
4 central Mexico. Most of the late Holocene paleoecological records from Mexico
5 show evidence of anthropogenic activities, including the presence of agricultural
6 taxa such as maize pollen, reduction in arboreal pollen due to deforestation,
7 increases in charcoal particles pointing to frequent fires, increases in magnetic
8 susceptibility suggesting higher erosion rates, and/or hiatuses in sedimentation
9 (Caballero et al., 2002; Del Castillo-Batista et al., 2018; Figueroa-Rangel et al.,
10 2008; Lozano-García et al., 2013; Park et al., 2010). The pollen record of Tixtla
11 and Huitziltepec karstic lakes, located within the distribution area of the SDTF in
12 southern Mexico (Berrío et al., 2005), shows the evidence of agricultural activities
13 starting at 2700 cal yr BP (750 BC). At Laguna San Pedro near SMO, preliminary
14 pollen analysis suggested that maize agriculture could occur at ca. 3000 yr BP
15 (1050 BC) (Brown, 1985). Maize pollen at SMO was recorded before at 3990 cal yr
16 BP (2040 BC), suggesting an earlier agricultural development for this site.

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19 In the pollen record from SMO, two periods of human disturbance based on
20 the presence of maize pollen were observed, one between 3790 and 2160 cal yr
21 BP (1820 to 190 BC), and the other from 280 cal yr BP to present (ie, 1700 AD to
22 present). The first interval falls within the Formative period (2000 BC to AD 100)
23 and the second corresponds with the postcolonial period starting after the AD 1650
24 drought. Similarly, the pollen spectra in both periods of disturbance show an
25 increase in non-arboreal pollen suggesting deforestation, but during the first period,
26 Poaceae dominated the herbaceous assemblage, while during the second period,
27 Amaranthaceae was the most abundant pollen taxa.

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3 In several studies, high concentrations of microcharcoal, related to slash
4 and burn agricultural practices have been reported for Mesoamerica (Kennett et
5 al., 2010). However, in the SMO record, the association between the microcharcoal
6 concentration and agriculture is not evident; this is verified by the negative
7 correlation between charcoal and maize in the cross-correlation analysis (Figure
8 5). The Ca/Ti ratio is used as an indicator of warmer climate and lower
9 precipitation. The cross-correlation analysis between this ratio and *Zea mays*,
10 established a negative correlation which suggests that agricultural activities in the
11 basin may have occurred during wet periods rather than dry periods, an idea
12 consistent with the lower values of Ca/Ti and the high percentages of Moraceae.
13 Archeological data for western Mexico documents an increase in population growth
14 during the late Formative and early Classic periods (300 BC to 600 AD) in the shaft
15 and chamber tomb tradition (Beekman, 2010); however, in the SMO record there is
16 no evidence of agriculture during this period. In the littoral core, some evidence of
17 human presence is inferred during this time ending as the climate became dryer
18 after AD 400 (Rodríguez-Ramírez et al., 2015). Our data suggest the disruption of
19 agriculture by droughts at this site.
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44 ***Climate, fires, and diversity***

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47 Paleocological studies are useful in assessing the responses on the STDF
48 to natural disturbances such as fires and droughts (Stan et al., 2019). The present
49 study showed changes in diversity in the pollen assemblages over the last 5000 cal
50 yr BP in SDTF in west-central Mexico using different metrics. When looking at N1
51 and N2 values, the higher diversity in the sequence corresponded to SMO-3 (998–
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3 317 cal yr BP); this zone was characterized by humid conditions as the Ti and
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5 Ca/Ti proxies revealed. The resemblance in values and pattern of these two
6
7 numbers (N1 and N2) exhibit that rare and abundant taxa responded similarly to
8
9 changes in the environment, but rare taxa dominated the assemblage of the STDF.
10
11 Also, the higher N2/N0 ratio is presented during the humid periods in the record.
12
13

14 Two drivers for diversity change (climate and human disturbance)
15
16 intermingle throughout the vegetation history of SMO, both have effects on the
17
18 pollen taxa diversity and the Ti, Ca/Ti, and charcoal records. Although the dry
19
20 forests are well-adapted to long periods of dryness (6–8 months), according to our
21
22 results, the diversity of STDF was affected by droughts throughout the last 5000
23
24 years. Actual floristic research in the tropical dry forests of **South** America and
25
26 **Central** America show that species diversity is lower away from the equator and
27
28 with indications of negative correlation between the number of dry months with
29
30 diversity and turnover (Golicher et al., 2012). Diversity and turnover decline when
31
32 dry conditions are established in SMO, this pattern is clearly observed in the record
33
34 during **the** period of significant droughts such as during the late Classic and for the
35
36 AD 1650 drought.
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42 Another disturbance factor related to droughts is fire (Torres-Rodríguez et
43
44 al., 2015). Fire is an important disturbance factor that can affect diversity in the dry
45
46 tropics (Rodríguez-Trejo, 2008). The role of fires in the STDF dynamics is poorly
47
48 studied. Although natural fires are not considered as part of the Neotropics dry
49
50 forests ecology (Middelton et al., 1997) recent studies in a dry forest in Nicaragua
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52 suggest that for this site, the forest is dominated by species that can survive
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54 infrequent and low-intensity fires (Otterstrom et al., 2006). More frequent are the
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3 anthropogenic fires, when agriculture activity promotes it for field preparation. The
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5 cross-correlation results between charcoal concentration and the diversity metrics
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7 showed a negative and synchronic correlation, implying that diversity decreases
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9 when fire occurrences are high. Our data show two periods of increase in
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11 microcharcoal, the first at the end of mid-Holocene with no evidence of agriculture
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13 in the record and the second with the presence of maize from 3100 to 1600 cal yr
14
15 BP. Since the SMO basin is close to the Pacific coast, El Niño events could
16
17 promote wet-season drought, which could increase the occurrence of fire events in
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19 this ecosystem, altering the plant community composition for long-term periods
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21 with important changes in diversity. According to a synthesis by Metcalfe et al.
22
23 (2015) related to Holocene climate change and its consequences in the continental
24
25 environments of Mexico, the late Holocene climatic pattern is complex, but
26
27 generally dry conditions are established in most of the lacustrine basins in the
28
29 TMVB. The main driver for the reduction in summer precipitation seems to be the
30
31 weakening of the monsoon strength associated with a more southerly mean
32
33 position of the ITZC. Today, the ITCZ is displaced to the south during El Niño
34
35 events, reducing summer precipitation in Mexico (Magaña et al., 2003). In the
36
37 paleorecords, an increase in strength and frequency of El Niño events during the
38
39 mid to late Holocene is observed in the Pallcacocha record (Moy et al., 2002,
40
41 Figure 4). Also, in the palynological record of Zirahuen, a temperate site located
42
43 east of the SMO in the TMVB, a dry event at ca. 4000 cal yr BP was related to an
44
45 increase in El Niño events. (Lozano-Garcia et al., 2013). Tree-ring analyses
46
47 of *Mimosa acantholoba*, a pioneer species of the dry tropical forest in southern
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49 Mexico, exhibited how large-scale climatic drivers as El Niño, influences tree
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3 growth through effects of local climate such as the reduction in rainfall and warmer
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5 climates. This research showed that growth was negatively affected by El Niño
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7 episodes with a reduction of 37% in growth during El Niño years (Brienen et al.,
8
9 2010). Although this study referred only to one species, making it difficult to
10
11 generalize to the tropical dry forest communities, it is evident that climate change
12
13 affects the survival of the species and modify diversity.
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17 We hypothesised that because SMO is close to the Pacific coast and
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19 receives rain during the summer months, El Niño events could promote significant
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21 drought conditions, as shown in the Ca/Ti values (Figure 5), which in turn alters the
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23 composition of the plant community by decreasing the diversity of its taxa as
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25 evidenced in this study.
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30 31 **Conclusions**

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33 In this paper, we have explored the history of the SDTF, one of the most
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35 widespread tropical vegetation types in Neotropics, and the adjacent oak forest in
36
37 the SMO basin. Using pollen data and microcharcoal concentration and analysing
38
39 geochemical proxies from the MOLE-SMO03 lacustrine sediments, past
40
41 environmental conditions were reconstructed for the last 5000 years. The
42
43 palynological data show that the main changes in the plant assemblages are
44
45 represented by a combination of low SDTF pollen percentages and a high
46
47 representation of the anemophilous *Quercus* and *Pinus* sp. in the fossil pollen
48
49 spectra. Our data suggest a wider presence of the tropical oak forest at the site.
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51 Herbaceous pollen percentages of Poaceae, Amaranthaceae, and Asteraceae
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53 correlate with the presence of maize pollen since 3900 cal yr BP.
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3 We found a correlation between the Ca/Ti ratio and *Quercus*, indicating low
4 precipitation, and we used it as a drought indicator for this site. Based on these
5 data, we inferred four main drought events (4200–3850, 3100–2300, 1570–1100,
6 and ca. 300 cal yr BP). The most severe drought occurred during the late Classic
7 (1570–1000 cal yr BP), with no evidence of agriculture at the site. Our results
8 indicate the disruption of agriculture by droughts in SMO. Periods of wet conditions
9 were documented in the sedimentary record based on high values of Moraceae
10 pollen and Ti percentages; during these periods, the presence of maize pollen
11 indicates human activities in the basin. We found a negative correlation between
12 charcoal data and diversity metrics (Hill numbers and palynological richness),
13 suggesting that diversity declined when fire events were high. Although the tropical
14 dry forests are adapted to long periods of dryness, our results indicate that
15 droughts affected the diversity of the STDF. Reduction in summer precipitation
16 seems to be promoted by El Niño events, causing changes in the plant
17 assemblages mainly inferred through changes in the diversity of taxa.

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38 Our data reveal that vegetation of the STDF has mainly responded to
39 climate change rather than human impact in the SMO lake during the last 5000 yrs.

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For Peer Review

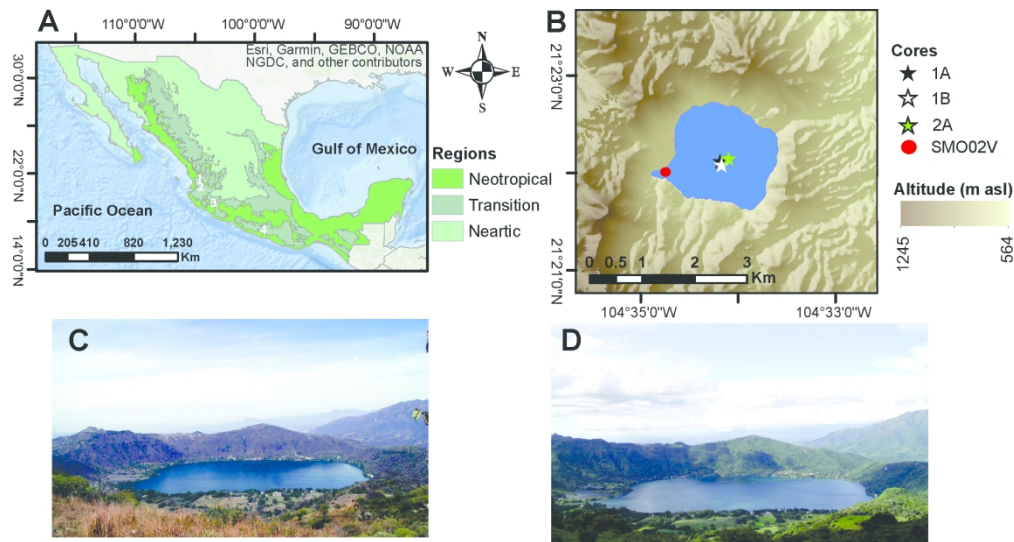


Figure 1. Location of the coring site at Santa Maria del Oro lake (1) at different scales: (A) at country level, showing the Mexican Neotropical region in green, the transition zone in grey and the Nearctic region in light green (Morrone, 2019); other sites mentioned in the text: Laguna San Pedro (2) and Lago Guzman (3) (Brown, 1985), Tixtla and Huitzilpepec (4) (Berrío et al., 2005). (B) Digital elevation model of Santa Maria del Oro lake and location of the three cores used to reconstruct MOLE-SMO03 (stars) and SMO02V (red circle) (Rodríguez-Ramírez et al., 2015). (C) and (D) represent the marked change in vegetation appearance during the extended dry and the short humid seasons, respectively.

211x113mm (300 x 300 DPI)

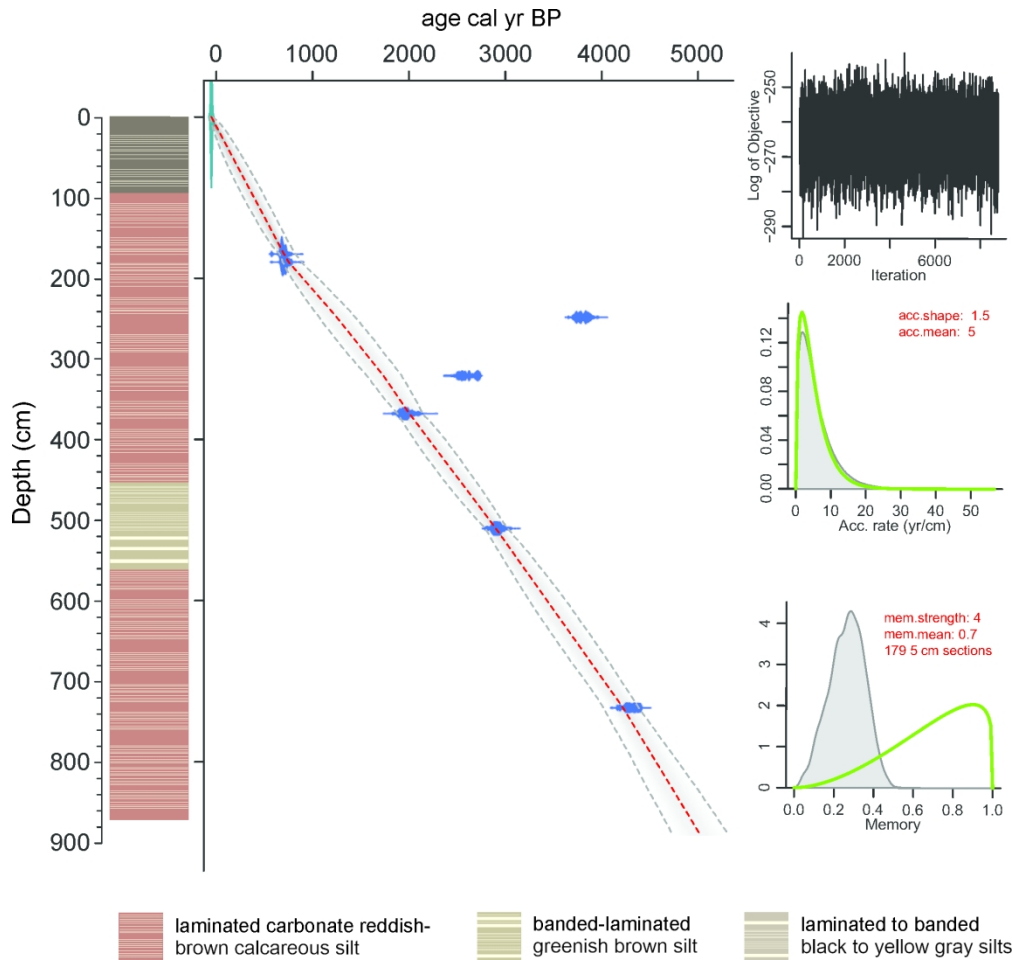


Figure 2. Lithostratigraphy and chronology of the MOLE-SMO03 master sequence in west central Mexico with the calibrated distribution of radiocarbon dates and the Bacon age-depth model; blue symbols represent probability distribution functions; grey stippled lines display 95% confidence intervals; red line is weighted mean maximum probability date for each depth. Details of dates are presented in Table 1.

178x169mm (300 x 300 DPI)

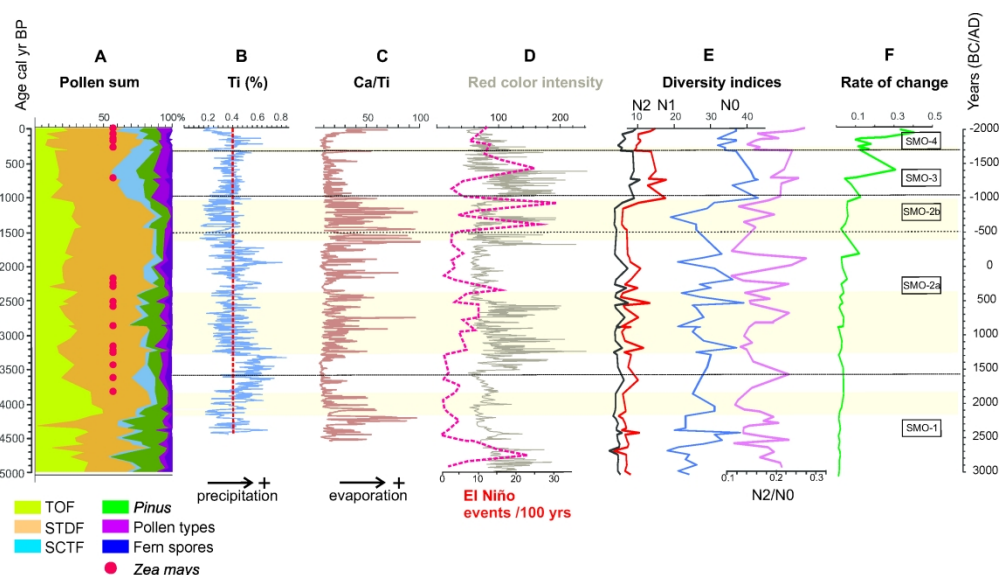


Figure 4. Selected proxies and El Niño record for Santa María del Oro along the last 5000 years. (A) Percentage pollen diagram of the MOLE-SMO03 master sequence showing the Tropical Quercus forest taxa (light green), Seasonal Tropical Dry Forest taxa in orange with maize pollen represented in red dots, Sub-deciduous Tropical Forest taxa in blue, Pinus in dark green, pollen types in violet and fern spores in dark blue, the taxa are listed in Table 2. (B) Titanium (Ti) in percentages values; the red line corresponds to Ti mean value. (C) Calcium/Titanium ratio. (D) ENSO variability record of Laguna Pallcacocha based on red color intensity (blue line) and El Niño events every 100 years from the same site (dotted red line) (Moy et al., 2002). (E) Diversity metrics—black line: N2, red line: N1, blue line: N0, and pink line: N2/N0. (F) Rate of change. Yellow shades indicate periods of dry conditions and pollen zones and subzones in rectangles.

268x151mm (300 x 300 DPI)

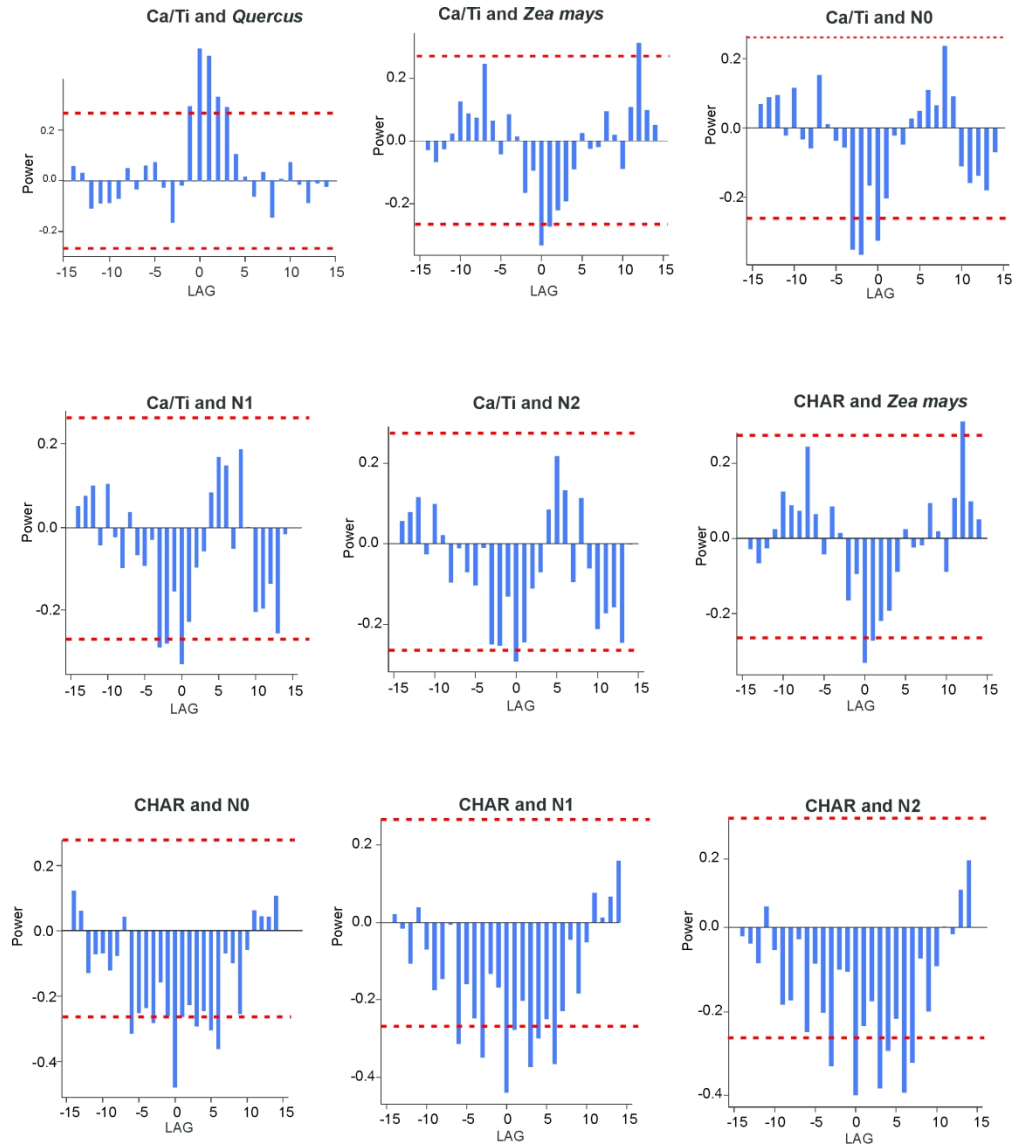


Figure 5. Cross correlations of Ca/Ti and charcoal with the three diversity metrics (N0, N1, N2) and with Quercus and Zea mays. Bars passing through the red dotted line denote significant correlations ($p < 0.05$). Every lag has a sample interval of $\square 80$ years. Negative correlations are inverse proportional relationships. Positive lags correspond to delays and shift the series back in time; negative lags correspond to leads and shift the series forward in time. Lag=0 denotes that both variables are synchronous.

198x225mm (300 x 300 DPI)

Table 1. AMS radiocarbon dates of the Santa María del Oro master sequence
MOLE-SMO03.

Laboratory ID	Depth (cm)	Radiocarbon ¹⁴ C date (yr BP) and error	Calibrated yr BP (2 σ)	Material dated
Beta 198312	170	750±40	652–739	Bulk sediment organic matter
Beta 227823	180	780±40	666–768	Bulk sediment organic matter
Beta 227824	248	3530±40	3910–3700	Bulk sediment organic matter
Beta 227826	321	2520±40	2740–2470	Bulk sediment organic matter
Beta 198313	368	2010±50	1869–2114	Bulk sediment organic matter
Beta 227825	510	2810±40	27-91–3005	Bulk sediment organic matter
Beta 198315	733	3870±50	4151–4420	Bulk sediment organic matter

Table 2 Identified pollen from lake Santa María del Oro core. Taxa are grouped according to ecological preferences based on the plant survey of SMO basin. Pollen types and fern spores are not listed.

Seasonal tropical dry forest

Acacia

Acalypha

Acanthaceae

Agave

Amaranthaceae

Ambrosia

Anacardiaceae

Asteraceae

Brassica

Bocconia

Bombacaceae

Boraginaceae

Borreira

Bursera

Celastraceae

Celtis

Convolvulaceae

Cuphea

Euphorbiaceae

Fabaceae

Ficus

Heliocarpus

Iresine

Lamiaceae

Malpighiaceae

Meliaceae-Sapotaceae

Mimosa

Myrtaceae

Piperaceae

Poaceae

Psychotria

Rubiaceae

Sapindaceae

Verbesina

Trema

Zanthoxylum

Sub-deciduous tropical forest

Brosimum

Carpinus

Juglans

Liliaceae

Melastomataceae

Moraceae

Poulsenia

Salix

Ulmus

Urticaceae

Tropical Oak forest

Quercus

Alnus

Artemisia

Apiaceae

Begonia

Caryophyllaceae

Cupressaceae

Eryngium

Fraxinus

Thalictrum

Pinus

Human impact indicator

Zea mays

Supplementary material

Species list collected at the Santa María del Oro basin and surroundings.

All names are according to the Plant List and deposited at the Herbario Nacional de México (MEXU).

Family	Species	Author	Habit
Acanthaceae	<i>Dyschoriste pringlei</i>	Greenm.	Herb
Adiantaceae	<i>Hemionitis subcordata</i>	(D.C. Eaton ex Davenp.) Mickel	Herb
Adiantaceae	<i>Notholaena jaliscana</i>	Yatsk. & A.L. Arbeláez A.	Herb
Adiantaceae	<i>Adiantum braunii</i>	Mett. ex Kuhn	Herb
Adiantaceae	<i>Cheilanthes lozanoii</i>	(Maxon) R.M. Tryon & A.F. Tryon	Herb
Anacardiaceae	<i>Rhus terebinthifolia</i>	Schltld. & Cham.	Shrub
Annonaceae	<i>Annona longiflora</i>	S. Watson	Tree
Annonaceae	<i>Annona reticulata</i>	L.	Tree
Apocynaceae	<i>Allamanda blanchetii</i>	A.DC.	Climbing
Apocynaceae	<i>Dictyanthus pavonii</i>	Decne.	Climbing
Apocynaceae	<i>Matelea congesta</i>	(Decne.) Woodson	Climbing
Apocynaceae	<i>Stemmadenia tomentosa</i>	Greenm.	Tree
Araceae	<i>Anthurium halmoorei</i>	Croat	Epiphyte
Asparagaceae	<i>Bessera elegans</i>	Schult.f.	Herb
Asparagaceae	<i>Manfreda scabra</i>	(Ortega) McVaugh	Herb
Basellaceae	<i>Anredera vesicaria</i>	(Lam.) C.F. Gaertn.	Climbing
Begoniaceae	<i>Begonia relictia</i>	L.B.Sm. & B.G.Schub.	Herb
Bignoniaceae	<i>Crescentia alata</i>	Kunth	Tree
Bromeliaceae	<i>Bromelia pinguin</i>	L.	Shrub
Bromeliaceae	<i>Tillandsia achyrostachys</i>	E.Morren ex Baker	Epiphyte
Burseraceae	<i>Bursera attenuata</i>	(Rose) L.Riley	Tree
Burseraceae	<i>Bursera excelsa</i>	(Kunth) Engl.	Tree
Burseraceae	<i>Bursera fagaroides</i>	(Kunth) Engl.	Tree
Burseraceae	<i>Bursera penicillata</i>	(Sessé & Moç. ex DC.) Engl.	Tree
Campanulaceae	<i>Lobelia cordifolia</i>	Hook. & Arn.	Herb
Commelinaceae	<i>Tripogandra amplexicaulis</i>	(Klotzsch ex C.B. Clarke) Woodson	Herb
Compositae	<i>Acmella radicans</i>	(Jacq.) R.K.Jansen	Herb
Compositae	<i>Adenophyllum porophyllum</i>	(Cav.) Hemsl.	Herb
Compositae	<i>Bidens chrysantha</i>	(L.) DC.	Herb
Compositae	<i>Bidens riparia</i>	Kunth	Herb
Compositae	<i>Brickellia lanata</i>	(DC.) A.Gray	Herb
Compositae	<i>Jaumea peduncularis</i>	(Hook. & Arn.) Benth. & Hook.f. ex Oliv..	Herb
Compositae	<i>Chloracantha spinosa</i>	(Benth.) G.L.Nesom	Herb
Compositae	<i>Chromolaena haenkeana</i>	(DC.) R.M.King & H.Rob.	Shrub

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3	Compositae	<i>Cosmos sulphureus</i>	Cav.	Herb
4	Compositae	<i>Elephantopus mollis</i>	Kunth	Herb
5	Compositae	<i>Eupatoriastrium triangulare</i>	(DC.) B.L.Rob.	Shrub
6	Compositae	<i>Guardiola rotundifolia</i>	B.L.Rob.	Shrub
7	Compositae	<i>Lasianthaea ceanothifolia</i>	(Willd.) K.M.Becker	Shrub
8	Compositae	<i>Lasianthaea macrocephala</i>	(Hook. & Arn.) K.M.Becker	Shrub
9	Compositae	<i>Lasianthaea palmeri</i>	(Greenm.) K.M.Becker	Shrub
10	Compositae	<i>Melampodium divaricatum</i>	(Rich.) DC.	Herb
11	Compositae	<i>Melampodium strigosum</i>	Stuessy	Herb
12	Compositae	<i>Milleria quinqueflora</i>	L.	Herb
13	Compositae	<i>Montanoa bipinnatifida</i>	(Kunth) K.Koch	Shrub
14	Compositae	<i>Porophyllum coloratum</i>	(Kunth) DC.	Herb
15	Compositae	<i>Porophyllum pringlei</i>	B.L.Rob.	Herb
16	Compositae	<i>Psacalium pringlei</i>	(S.Watson) H.Rob. & Brettell	Herb
17	Compositae	<i>Sclerocarpus divaricatus</i>	(Benth.) Benth. & Hook.f. ex Hemsl.	Herb
18	Compositae	<i>Sclerocarpus sessilifolius</i>	Greenm.	Herb
19	Compositae	<i>Tagetes erecta</i>	(L.) Alston	Herb
20	Compositae	<i>Tagetes lucida</i>	Cav.	Herb
21	Compositae	<i>Tagetes micrantha</i>	Cav.	Herb
22	Compositae	<i>Tagetes subulata</i>	Cerv.	Herb
23	Compositae	<i>Trixis hyposericea</i>	S.Watson	Herb
24	Compositae	<i>Verbesina sphaerocephala</i>	A.Gray	Shrub
25	Compositae	<i>Verbesina tequilana</i>	J.R.Coleman	Shrub
26	Compositae	<i>Vernonanthura patens</i>	(Kunth) H.Rob.	Shrub
27	Compositae	<i>Vernonanthura serratuloides</i>	(Kunth) H.Rob.	Shrub
28	Compositae	<i>Vernonia coulteri</i>	(A.Gray) B.L.Turner	Shrub
29	Cucurbitaceae	<i>Sechium edule</i>	(Jacq.) Sw.	Climbing
30	Cyperaceae	<i>Bulbostylis funckii</i>	(Steud.) C.B.Clarke	Herb
31	Cyperaceae	<i>Cyperus aggregatus</i>	(Willd.) Endl.	Herb
32	Cyperaceae	<i>Cyperus amabilis</i>	Vahl	Herb
33	Cyperaceae	<i>Cyperus ischnos</i>	Schltld.	Herb
34	Cyperaceae	<i>Cyperus mutisii</i>	(Kunth) Andersson	Herb
35	Cyperaceae	<i>Kyllinga odorata</i>	Vahl	Herb
36	Cyperaceae	<i>Scleria reticularis</i>	(Cav. ex Lag.) Hook. & Arn.	Herb
37	Dioscoreaceae	<i>Dioscorea carpomaculata</i>	O. Téllez & B.G. Schub.	Climbing
38	Dioscoreaceae	<i>Dioscorea jaliscana</i>	S. Watson	Climbing
39	Euphorbiaceae	<i>Acalypha cincta</i>	Müll.Arg.	Herb
40	Euphorbiaceae	<i>Croton pedicellatus</i>	Kunth	Herb
41	Euphorbiaceae	<i>Croton ynesae</i>	Croizat	Shrub
42	Euphorbiaceae	<i>Euphorbia graminea</i>	Jacq.	Herb
43	Euphorbiaceae	<i>Euphorbia hirta</i>	L.	Herb
44	Euphorbiaceae	<i>Euphorbia multisetata</i>	Benth.	Herb
45	Euphorbiaceae	<i>Euphorbia ocymoidea</i>	L.	Herb
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3	Euphorbiaceae	<i>Euphorbia radioloides</i>	Boiss.	Herb
4	Euphorbiaceae	<i>Ricinus communis</i>	L.	Shrub
5	Euphorbiaceae	<i>Ricinus communis</i>	L.	Tree
6	Fagaceae	<i>Quercus resinosa</i>	Liebman.	
7	Fagaceae	<i>Quercus castanea</i>	Née	
8	Gesneriaceae	<i>Achimenes fimbriata</i>	Rose ex C.V.Morton	Herb
9	Gesneriaceae	<i>Achimenes longiflora</i>	DC.	Herb
10	Gesneriaceae	<i>Achimenes longiflora</i>	DC.	Herb
11	Gesneriaceae	<i>Achimenes patens</i>	Benth.	Herb
12	Hydrocharitaceae	<i>Najas guadalupensis</i>	(Spreng.) Magnus	Herb
13	Hydrocharitaceae	<i>Najas marina</i>	L.	Herb
14	Lamiaceae	<i>Asterohyptis stellulata</i>	(Benth.) Epling	Shrub
15	Lamiaceae	<i>Hyptis albida</i>	Kunth	Herb
16	Lamiaceae	<i>Hyptis albida</i>	Kunth	Herb
17	Lamiaceae	<i>Hyptis rhytidea</i>	Benth.	Herb
18	Lamiaceae	<i>Vitex mollis</i>	Kunth	Tree
19	Lamiaceae	<i>Vitex mollis</i>	Kunth	Tree
20	Lauraceae	<i>Persea hintonii</i>	C.K. Allen	Shrub
21	Leguminosae	<i>Acacia farnesiana</i>	(L.) Willd.	Shrub
22	Leguminosae	<i>Acacia pennatula</i>	(Schltld. & Cham.) Benth.	Shrub
23	Leguminosae	<i>Acacia riparia</i>	Kunth	Shrub
24	Leguminosae	<i>Acaciella angustissima</i>	(Mill.) Britton & Rose	Shrub
25	Leguminosae	<i>Acaciella angustissima</i>	(Mill.) Britton & Rose	Shrub
26	Leguminosae	<i>Acacia tequilana</i>	S.Watson	Shrub
27	Leguminosae	<i>Aeschynomene americana</i>	L.	Herb
28	Leguminosae	<i>Aeschynomene amorphoides</i>	(S.Watson) Robinson	Tree
29	Leguminosae	<i>Brongniartia inconstans</i>	S.Watson	Shrub
30	Leguminosae	<i>Brongniartia inconstans</i>	S.Watson	Shrub
31	Leguminosae	<i>Calliandra grandiflora</i>	(L'Her.) Benth.	Shrub
32	Leguminosae	<i>Calliandra houstoniana</i>	(Mill.) Standl.	Shrub
33	Leguminosae	<i>Calliandra palmeri</i>	S.Watson	Shrub
34	Leguminosae	<i>Calliandra palmeri</i>	S.Watson	Shrub
35	Leguminosae	<i>Calopogonium mucunoides</i>	Desv.	Climbing
36	Leguminosae	<i>Canavalia villosa</i>	Benth.	Climbing
37	Leguminosae	<i>Chamaecrista nictitans</i>	(L.) Moench	Shrub
38	Leguminosae	<i>Chamaecrista rotundifolia</i>	(Pers.) Greene	Shrub
39	Leguminosae	<i>Chamaecrista rotundifolia</i>	(Pers.) Greene	Shrub
40	Leguminosae	<i>Coursetia caribaea</i>	(Jacq.) Lavin	Shrub
41	Leguminosae	<i>Crotalaria micans</i>	Link	Herb
42	Leguminosae	<i>Crotalaria rotundifolia</i>	J.F.Gmel.	Herb
43	Leguminosae	<i>Crotalaria vitellina</i>	Ker. Gawl.	Herb
44	Leguminosae	<i>Crotalaria vitellina</i>	Ker. Gawl.	Herb
45	Leguminosae	<i>Dalea cliffortiana</i>	Willd.	Herb
46	Leguminosae	<i>Dalea tomentosa</i>	(Cav.) Willd.	Herb
47	Leguminosae	<i>Desmodium affine</i>	Schltld.	Herb
48	Leguminosae	<i>Desmodium affine</i>	Schltld.	Herb
49	Leguminosae	<i>Desmodium angustifolium</i>	(Kunth) DC.	Herb
50	Leguminosae	<i>Desmodium barbatum</i>	(L.) Benth.	Herb
51	Leguminosae	<i>Desmodium barbatum</i>	(L.) Benth.	Herb
52	Leguminosae	<i>Desmodium guadalajaranum</i>	S.Watson	Herb
53	Leguminosae	<i>Desmodium guadalajaranum</i>	S.Watson	Herb
54	Leguminosae	<i>Desmodium hartwegianum</i>	Hemsl.	Herb
55	Leguminosae	<i>Desmodium hartwegianum</i>	Hemsl.	Herb
56	Leguminosae	<i>Desmodium sericophyllum</i>	Schltld.	Herb
57	Leguminosae	<i>Diphysa suberosa</i>	S.Watson	Shrub
58	Leguminosae	<i>Diphysa suberosa</i>	S.Watson	Shrub
59	Leguminosae	<i>Diphysa thurberi</i>	(A.Gray) Rydb.	Shrub
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3	Leguminosae	<i>Enterolobium cyclocarpum</i>	(Jacq.) Griseb.	Tree
4	Leguminosae	<i>Eriosema grandiflorum</i>	(Schltld. & Cham.) G.Don	Shrub
5	Leguminosae	<i>Eriosema multiflorum</i>	Robinson	Shrub
6	Leguminosae	<i>Eriosema palmeri</i>	S.Watson	Shrub
7	Leguminosae	<i>Eriosema pulchellum</i>	(Kunth) G.Don	Shrub
8	Leguminosae	<i>Erythrina montana</i>	Rose & Standl.	Tree
9	Leguminosae	<i>Eysenhardtia polystachya</i>	(Ortega) Sarg.	Shrub
10	Leguminosae	<i>Indigofera jaliscensis</i>	Rose	Shrub
11	Leguminosae	<i>Macroptilium gibbosifolium</i>	(Ortega) A.Delgado	Herb
12	Leguminosae	<i>Marina diffusa</i>	(Moric.) Barneby	Herb
13	Leguminosae	<i>Marina gracilis</i>	Liebm.	Herb
14	Leguminosae	<i>Marina grammadenia</i>	Barneby	Herb
15	Leguminosae	<i>Marina scopa</i>	Barneby	Herb
16	Leguminosae	<i>Mimosa affinis</i>	Robinson	Shrub
17	Leguminosae	<i>Mimosa tenuiflora</i>	(Willd.) Poir.	Shrub
18	Leguminosae	<i>Mimosa tequilana</i>	S.Watson	Shrub
19	Leguminosae	<i>Phaseolus vulgaris</i>	L.	Climbing
20	Leguminosae	<i>Rhynchosia edulis</i>	Griseb.	Climbing
21	Leguminosae	<i>Senna fruticosa</i>	(Mill.) H.S.Irwin & Barneby	Shrub
22	Leguminosae	<i>Senna obtusifolia</i>	(L.) H.S.Irwin & Barneby	Shrub
23	Leguminosae	<i>Sesbania herbacea</i>	Radlk.	Herb
24	Leguminosae	<i>Tephrosia macrantha</i>	Pringle	Shrub
25	Leguminosae	<i>Tephrosia nicaraguensis</i>	Oerst.	Shrub
26	Leguminosae	<i>Tephrosia rhodantha</i>	Brandegge	Shrub
27	Leguminosae	<i>Tephrosia sinapou</i>	(Buc'hoz) A.Chev.	Shrub
28	Leguminosae	<i>Tephrosia tepicana</i>	(Standl.) Standl.	Herb
29	Leguminosae	<i>Tephrosia vicioides</i>	Schltld.	Herb
30	Leguminosae	<i>Teramnus uncinatus</i>	(L.) Sw.	Climbing
31	Leguminosae	<i>Vigna speciosa</i>	(Kunth) Verdc.	Climbing
32	Leguminosae	<i>Zapoteca formosa</i>	(Kunth) H.M.Hern.	Shrub
33	Liliaceae	<i>Calochortus hartwegii</i>	Benth.	Herb
34	Loranthaceae	<i>Struthanthus interruptus</i>	(Kunth) Blume	Hemiparasite
35	Lythraceae	<i>Cuphea inflata</i>	S.A.Graham	Herb
36	Lythraceae	<i>Cuphea leptopoda</i>	Hemsl.	Herb
37	Lythraceae	<i>Cuphea llavea</i>	Lex.	Herb
38	Lythraceae	<i>Cuphea rasilis</i>	S.A.Graham	Herb
39	Malpighiaceae	<i>Aspicarpa brevipes</i>	(Sessé ex DC.) W.R.Anderson	Climbing
40	Malpighiaceae	<i>Bunchosia palmeri</i>	S.Watson	Tree
41	Malpighiaceae	<i>Byrsonima crassifolia</i>	(L.) Kunth	Tree
42	Malpighiaceae	<i>Gaudichaudia cycloptera</i>	(Moç. & Sessé ex DC.) W.R.Anderson	Climbing
43	Malpighiaceae	<i>Tetrapteryx schiedeana</i>	Schltld. & Cham.	Climbing
44	Malvaceae	<i>Heliocarpus occidentalis</i>	Rose	Shrub
45	Malvaceae	<i>Heliocarpus palmeri</i>	S.Watson	Shrub
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3	Malvaceae	<i>Triumfetta galeottiana</i>	Turcz.	Shrub
4	Malvaceae	<i>Triumfetta heliocarpoides</i>	Bullock	Shrub
5	Malvaceae	<i>Triumfetta polyandra</i>	Sessé & Moc. ex DC.	Shrub
6	Martyniaceae	<i>Martynia annua</i>	L.	Herb
7	Menispermaceae	<i>Cissampelos pareira</i>	L.	Climbing
8	Moraceae	<i>Ficus insipida</i>	Willd.	Tree
9	Moraceae	<i>Ficus maxima</i>	Mill.	Tree
10	Moraceae	<i>Ficus pertusa</i>	L.f.	Tree
11	Moraceae	<i>Ficus petiolaris</i>	Kunth	Tree
12	Myrtaceae	<i>Syzygium jambos</i>	(Ruiz & Pav.) Holub	Tree
13	Nyctaginaceae	<i>Salpianthus purpurascens</i>	L.	Herb
14	Onagraceae	<i>Lopezia lopezoides</i>	(Hook. & Arn.) Plitmann, P.H. Raven & Breedlove	Herb
15	Onagraceae	<i>Lopezia semeiandra</i>	Plitmann, P.H. Raven & Breedlove	Herb
16	Onagraceae	<i>Ludwigia octovalvis</i>	(Jacq.) P.H. Raven	Herb
17	Opiliaceae	<i>Agonandra racemosa</i>	(DC.) Standl.	Tree
18	Orobanchaceae	<i>Buchnera pusilla</i>	Kunth	Herb
19	Orobanchaceae	<i>Castilleja tenuiflora</i>	Benth.	Shrub
20	Passifloraceae	<i>Passiflora edulis</i>	Sims	Climbing
21	Phyllanthaceae	<i>Phyllanthus tequilensis</i>	B.L.Rob. & Greenm.	Shrub
22	Pinaceae	<i>Pinus devoniana</i>	Lindl.	Tree
23	Pinaceae	<i>Pinus oocarpa</i>	Schiede	Tree
24	Pinaceae	<i>Pinus patula</i>	Schiede ex Schldtl. & Cham.	Tree
25	Pinaceae	<i>Pinus praetermissa</i>	Styles & McVaugh	Tree
26	Plantaginaceae	<i>Bacopa monnieri</i>	(L.) Wettst.	Herb
27	Poaceae	<i>Aristida ternipes</i>	Cav.	Herb
28	Poaceae	<i>Axonopus compressus</i>	(Sw.) P.Beauv.	Herb
29	Poaceae	<i>Bothriochloa hirtifolia</i>	(J.Presl) Henrard	Herb
30	Poaceae	<i>Bouteloua radicata</i>	(E.Fourn.) Griffiths	Herb
31	Poaceae	<i>Bouteloua repens</i>	(Kunth) Scribn. & Merr.	Herb
32	Poaceae	<i>Bouteloua williamsii</i>	Swallen	Herb
33	Poaceae	<i>Digitaria argillacea</i>	(Hitc. & Chase) Fernald	Herb
34	Poaceae	<i>Digitaria bicornis</i>	(Lam.) Roem. & Schult.	Herb
35	Poaceae	<i>Echinochloa colona</i>	(L.) Link	Herb
36	Poaceae	<i>Echinochloa crus-pavonis</i>	(Kunth) Schult.	Herb
37	Poaceae	<i>Eleusine indica</i>	(L.) Gaertn.	Herb
38	Poaceae	<i>Imperata brevifolia</i>	Vasey	Herb
39	Poaceae	<i>Lasiacis procerrima</i>	(Hack.) Hitchc. ex Chase	Herb
40	Poaceae	<i>Lasiacis ruscifolia</i>	(Kunth) Hitchc. ex Chase	Herb
41	Poaceae	<i>Leptochloa aquatica</i>	Scribn. & Merr.	Herb
42	Poaceae	<i>Melinis minutiflora</i>	P.Beauv.	Herb
43	Poaceae	<i>Melinis repens</i>	(Willd.) Zizka	Herb
44	Poaceae	<i>Muhlenbergia grandis</i>	Vasey	Herb
45	Poaceae	<i>Muhlenbergia tenella</i>	(Kunth) Trin.	Herb
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3	Poaceae	<i>Oplismenus burmannii</i>	(Retz.) P.Beauv.	Herb
4	Poaceae	<i>Panicum parcum</i>	Hitchc. & Chase	Herb
5	Poaceae	<i>Panicum trichoides</i>	Sw.	Herb
6	Poaceae	<i>Paspalum convexum</i>	Flüggé	Herb
7	Poaceae	<i>Paspalum langei</i>	(E.Fourn.) Nash	Herb
8	Poaceae	<i>Paspalum notatum</i>	Flüggé	Herb
9	Poaceae	<i>Paspalum paniculatum</i>	L.	Herb
10	Poaceae	<i>Setaria parviflora</i>	(Poir.) M.Kerguelen	Herb
11	Poaceae	<i>Tripsacum dactyloides</i>	(L.) L.	Herb
12	Poaceae	<i>Tripsacum laxum</i>	Nash	Herb
13	Poaceae	<i>Tripsacum maizar</i>	Hern.-Xol. & Randolph	Herb
14	Pontederiaceae	<i>Heteranthera rotundifolia</i>	(Kunth) Griseb.	Herb
15	Potamogetonaceae	<i>Stuckenia pectinata</i>	(L.) Börner	Herb
16	Potamogetonaceae	<i>Stuckenia striata</i>	(Kunth) Blume	Herb
17	Primulaceae	<i>Ardisia revoluta</i>	Kunth	Tree
18	Ranunculaceae	<i>Clematis acapulcensis</i>	Hook. & Arn.	Climbing
19	Rhamnaceae	<i>Gouania lupuloides</i>	(L.) Urb.	Climbing
20	Rubiaceae	<i>Arachnothryx leucophylla</i>	(Kunth) Planch.	Shrub
21	Rutaceae	<i>Zanthoxylum fagara</i>	(L.) Sarg.	Shrub
22	Santalaceae	<i>Phoradendron quadrangulare</i>	(Kunth) Griseb.	Hemiparasite
23	Sapindaceae	<i>Serjania racemosa</i>	Schumach.	Climbing
24	Sapindaceae	<i>Serjania triquetra</i>	Schumach.	Climbing
25	Sapindaceae	<i>Thouinia acuminata</i>	S. Watson	Shrub
26	Sapindaceae	<i>Thouinia serrata</i>	Radlk.	Shrub
27	Schizaeaceae	<i>Lygodium venustum</i>	Sw.	Climbing
28	Scrophulariaceae	<i>Lamourouxia viscosa</i>	Kunth	Shrub
29	Selaginellaceae	<i>Selaginella pallescens</i>	(C. Presl) Spring	Herb
30	Solanaceae	<i>Solanum candidum</i>	Lindl.	Herb
31	Thelypteridaceae	<i>Thelypteris hispidula</i>	(Decne.) C.F. Reed	Herb
32	Typhaceae	<i>Typha domingensis</i>	Pers.	Herb
33	Vitaceae	<i>Parthenocissus quinquefolia</i>	(L.) Planch.	Climbing
34	Woodsiaceae	<i>Athyrium skinneri</i>	(Baker) C. Chr.	Herb
35	Woodsiaceae	<i>Woodsia mollis</i>	(Kaulf.) J. Sm.	Shrub
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