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The Physics of Space Weather/Solar Terrestrial Physics (STP): What We Know Now and What Are the Current and Future Challenges?

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ABSTRACT

Major geomagnetic storms are caused by unusually intense solar wind southward magnetic fields 11 that impinge upon the Earth's magnetosphere (Dungey, 1961). How can we predict the occurrence 12 of future interplanetary events? Do we currently know enough of the underlying physics and do 13 we have sufficient observations of solar wind phenomena that will impinge upon the Earth's 14 magnetosphere? We view this as the most important challenge in Space Weather. We discuss the 15 16 case for magnetic clouds (MCs), interplanetary sheaths upstream of interplanetary coronal mass ejections (ICMEs), corotating interaction regions (CIRs) and solar wind high-speed streams 17 18 (HSSs). The sheath- and CIR-related magnetic storms will be difficult to predict and will require better knowledge of the slow solar wind and modeling to solve. For interplanetary space weather, 19 20 there are challenges for understanding the fluences and spectra of solar energetic particles (SEPs). This will require better knowledge of interplanetary shock properties as they propagate and evolve 21 22 going from the Sun to 1 AU (and beyond), the upstream slow solar wind and energetic "seed" particles. Dayside aurora, triggering of nightside substorms, and formation of new radiation belts 23 24 can all be caused by shock and interplanetary ram pressure impingements onto the Earth's magnetosphere. The acceleration and loss of relativistic magnetospheric "killer" electrons and 25 prompt penetrating electric fields in terms of causing positive and negative ionospheric storms are 26 reasonably well understood, but refinements are still needed. The forecasting of extreme events 27 (extreme shocks, extreme solar energetic particle events, and extreme geomagnetic storms 28 ("Carrington" events or greater)) are also discussed. Energetic particle precipitation into the 29 atmosphere and ozone destruction is briefly discussed. For many of the studies, the Parker Solar 30

Probe, Solar Orbiter, Magnetospheric Multiscale Mission (MMS), Arase, and SWARM data will
be useful.

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1. INTRODUCTION

35 1.1. Some Comments on the History of the Physics of Space Weather/Solar Terrestrial36 Physics

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Space Weather is a new term for a topic/science that actually began over a century and a half ago. 38 Since everything in Solar-Terrestrial Physics (STP) is interconnected we think of STP as the same 39 as Space Weather. It is just that with the space age beginning in 1957 (with the launch of Sputnik) 40 and soon thereafter, many scientifically instrumented satellites led to an explosion of knowledge 41 of the physics of Space Weather. However it is useful to review some of the early scientific studies 42 that occurred prior to 1957. Prior to the space age (where we have satellites orbiting the Earth, 43 probing interplanetary space and viewing the Sun in UV, EUV and X-ray wavelengths), it was 44 clearly realized that solar phenomena caused geomagnetic activity at the Earth. For example, 45 46 Carrington (1859) noted that there was a magnetic storm that followed ~17 h 40 min after the welldocumented optical solar flare which he reported. This storm (Chapman and Bartels, 1940) was 47 48 only more recently studied in detail by Tsurutani et al. (2003) and Lakhina et al. (2012), but the hints of a causal relationship was there in 1859. After Carrington (1959) published his seminal 49 50 paper, Hale (1931), Newton (1943) and others showed that magnetic storms were delayed by several days from intense solar flares. These types of magnetic storms are now known to be caused 51 52 by either their associated interplanetary coronal mass ejections (ICMEs) or their upstream sheaths. Details will be discussed later in this review. 53

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Maunder (1904) showed that geomagnetic activity often had a ~27 day recurrence. This periodicity was associated with some mysteriously unseen (by visible light) feature on the Sun. Chree (1905, 1913) showed that these data were statistically significant, thus inventing the Chree "superposed epoch analysis", a scientific data analysis technique which is still used today. The mysteriously unseen solar features responsible for the geomagnetic activity were called "M-regions" by Bartels (1934) where the "M" stood for "magnetically active". It is now known that M-regions are coronal holes (Krieger et al., 1973), solar regions from which solar wind high-speed streams (HSSs) emanate, causing geomagnetic activity at the Earth (Sheeley et al., 1976, 1977; Tsurutani et al.
1995). The current status of geomagnetic activity associated with HSSs and the future work
needed to better understand and to predict the various facets of Space Weather events will be
discussed later.

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With the advent of rockets and satellites, the near-Earth interplanetary medium has been probed 67 by magnetic field, plasma, and energetic particle detectors. The Sun has been viewed in many 68 different wavelengths. The Earth's auroral regions have recently been viewed by UV imagers 69 giving a global view of auroras including the dayside. The ionosphere has been probed by global 70 positioning system (GPS) dual frequency radio signals, allowing a global map of the ionospheric 71 total electron content (TEC) in relatively high spatial and temporal resolution. The purpose of this 72 review article will be to give a reasonably thorough review of some of the major Space Weather 73 74 effects in the magnetosphere, ionosphere and atmosphere and in interplanetary space, in order to explain what the solar and interplanetary causes are or are expected to be. The most useful part of 75 this review will be to focus on what future advances in Space Weather might be in the next 10 to 76 77 25 years. In particular we will mention what outstanding problems the Parker Solar Probe, Solar Orbiter, MMS, Arase, ICON, GOLD, and SWARM data might be useful in solving. 78

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Our discussion will first start with phenomena that occur most frequently during solar maxima 80 81 (flares, CMEs and ICME-induced magnetic storms). We will explain to the reader what is meant by an ICME and why we distinguish this from a CME. Next, phenomena associated with the 82 83 declining phase of the solar cycle will be addressed. These include corotating interaction regions (CIRs) and HSSs, which cause high-intensity long-duration continuous AE activity (HILDCAA) 84 85 events, and the acceleration and loss of magnetospheric relativistic electrons. We will then return 86 to the topic of interplanetary shocks and their acceleration of energetic particles in interplanetary space and also their creating new radiation belts inside the magnetosphere. Interplanetary shock 87 88 impingement onto the magnetosphere create dayside auroras and also trigger nightside substorms. Prompt penetration electric fields during magnetic storm main phases will be discussed in terms 89 of the consequences of positive and negative ionospheric storms, depending on the local time of 90 the observation and the phase of the magnetic storm. Two relatively new topics, that of 91 92 supersubstorms (SSSs) and the possibility of precipitating magnetospheric relativistic electrons

93 affecting atmospheric weather will be discussed. A glossary will be provided to give definition of94 the terms used in this review article.

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There have been some recent books/articles that touch on the many topics of the physics of Space 96 Weather, however not in the same way that we will attempt to do here. We recommend the 97 interested reader: "From the Sun: Auroras, Magnetic Storms, Solar Flares, Cosmic Rays" by Suess 98 and Tsurutani (1989), "Magnetic Storms" by Tsurutani, Kamide, Gonzalez, Arballo (1997a), 99 "Storm-Substorm Relationship" by Sharma, Kamide, Lakhina (2004), "Recurrent Magnetic 100 Storms: Corotating Solar Wind Streams" by Tsurutani, McPherron, Gonzalez, Lu, Sobral, 101 Gopalswamy (2006a), "The Sun and Space Weather" by Hanslmeier (2007), "Physics of Space 102 Storms: From the Solar Surface to the Earth" by Koskinen (2011), and "Extreme Events in 103 Geospace: Origins, Predictability and Consequences" by Buzulukova (2018). Because Space 104 Weather is an enormous field/topic, not all facets of it have ever been covered in one book. The 105 present authors are active researchers in the field and will attempt to introduce new viewpoints and 106 topics not covered in the above works. 107

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109 1.2. Organization of Paper

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111 The concept of magnetic reconnection is introduced first for the non-space plasma reader. 112 Magnetic reconnection is the physical process responsible for transferring solar wind energy into 113 the magnetosphere during magnetic storms. We have organized the rest of the paper by discussing 114 Space Weather phenomena by solar cycle intervals. However it should be mentioned that this is 115 not totally successful since some phenomena span all parts of the solar cycle.

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Solar maximum phenomena such as CMEs, ICMEs, fast shocks, sheaths, and the forecasting of geomagnetic storms associated with the above are covered in Subsections 2.1 to 2.4. The Space Weather phenomena associated with the declining phase of the solar cycle are discussed in Section 3.0. Topics such as CIRs, CIR storms, HSSs, embedded Alfvén wave trains within HSSs, HILDCAA events, relativistic magnetospheric electron acceleration and loss, and electron precipitation and ozone depletion are discussed in Subsections 3.1 to 3.6. Although interplanetary shocks are primarily features associated with fast ICMEs and thus primarily a solar maximum

phenomenon, shocks can also bound CIRs ($\sim 20\%$ of the time) at 1 AU during the solar cycle 124 declining phase as well. Shocks and the high density plasmas that they create can input ram energy 125 126 into the magnetosphere. Topics such as solar cosmic ray particle acceleration, dayside auroras, triggering of nightside substorms and the creation of new magnetospheric radiation belts are 127 covered in Subsections 4.1 to 4.4. Solar flares and ionospheric TEC increases is another Space 128 Weather effect causing direct solar-ionospheric coupling not involving interplanetary space nor 129 the magnetosphere. This is briefly discussed in Section 5.0. Prompt penetration electric fields 130 (PPEFs) and ionospheric TEC increases (and decreases) occur during magnetic storms. Although 131 the biggest effects are observed during ICME magnetic storms (solar maximum), effects have been 132 noted in CIR magnetic storms as well. This is discussed in Section 6.0. The "Carrington" magnetic 133 storm is the most intense magnetic storm in recorded history. The aurora associated with the storm 134 reached 23° from the geomagnetic equator (Kimball, 1960), the lowest in recorded history. Since 135 this event has been used as an example for extreme Space Weather and events of this type are a 136 problem for the U.S. Homeland Security, we felt that there should be a separate section on this 137 topic, Section 7.0. We also discuss the possibility of events even larger than the Carrington storm 138 139 occurring. In Section 8.0 auroral SSSs are discussed. Why is this topic covered in this paper? It is possible that SSSs which occur within superstorms are the actual causes for the extreme 140 141 ionospheric currents, geomagnetically induced currents (GICs), that are responsible for potential power grid failures and not the geomagnetic storms themselves. Section 9.0 gives our 142 143 summary/conclusions for the physics and the possibility of forecasting Space Weather events. Section 10.0 is a glossary of Space Weather terms used by researchers in the field. Most of the 144 definitions were carefully constructed in a previous book (Suess and Tsurutani, 1998). These 145 should be useful for an ionospheric person looking up solar terms, etc. It could be particularly 146 147 useful for the non-space plasma readership as well.

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2. RESULTS: Solar Maximum

2.1. Southward Interplanetary Magnetic Fields, Magnetic Reconnection and Magnetic
Storms

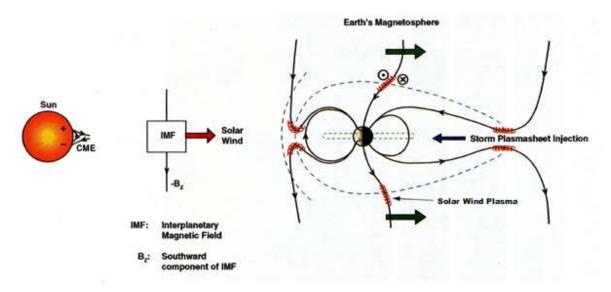


Figure 1. Magnetic reconnection powering geomagnetic storms and substorms. Adapted fromDungey (1961).

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Figure 1 shows the Dungey (1961) scenario of magnetic reconnection. A one-to-one relationship 157 between southward interplanetary magnetic fields (IMFs) and magnetic storms has been shown by 158 Echer et al. (2008a) for 90 intense (Dst < -100 nT) magnetic storms that occurred during solar 159 cycle 23. If the IMF is directed southward, it will interconnect with the Earth's magnetopause 160 northward magnetic fields (the Earth's north magnetic pole is located in the southern hemisphere 161 162 near the south rotational pole). The solar wind drags the interconnected magnetic fields and plasma downstream (in the antisunward direction). The open magnetic fields then reconnect in the tail. 163 Reconnection leads to strong convection of the plasmasheet into the nightside magnetosphere. 164

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What is known by theory and verified by observations is that the stronger the southward 166 167 component of the IMF and the stronger the solar wind velocity convecting the magnetic field, the stronger the solar wind-magnetospheric system is driven (e.g., Gonzalez et al., 1994). Intense IMF 168 169 Bsouth in MCs (and sheaths) drive intense magnetic reconnection at the dayside magnetopause and intense reconnection on the nightside. Strong nightside magnetic reconnection leads to strong 170 171 inward convection of the plasmasheet. The stronger the magnetotail reconnection, the stronger the inward convection. Via conservation of the first two adiabatic invariants (Alfvén, 1950), the 172 173 greater the convection, the greater the energization of the radiation belt particles.

As the midnight sector plasmasheet is convected inward to lower L, the initially $\sim 100 \text{ eV}$ to 1 keV 175 plasmasheet electrons and protons are adiabatically compressed (kinetically energized) so that the 176 177 perpendicular (to the ambient magnetic field) energy becomes greater than the parallel energy. This leads to plasma instabilities, wave growth and wave-particle interactions (Kennel and 178 Petschek, 1966). The resultant effect is the "diffuse aurora" caused by the precipitation of the ~ 10 179 to 100 keV electrons and protons into the upper atmosphere/lower ionosphere. At the same time 180 double layers are formed just above the ionosphere, giving rise to ~1 to 10 keV "monoenergetic" 181 electron acceleration and precipitation in the formation of "discrete auroras" (Carlson et al., 1998). 182 183

If the IMF southward component is particularly intense, this can lead to a magnetic storm with Dst
<-100 nT. The Dst decrease is caused by strong convection of the plasmasheet into the inner part
of the magnetosphere and the formation of an intensified ring current. This ring current produces
a diamagnetic field which causes the reduced field strength at surface of the Earth. This is the
magnetic storm main phase.

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190 After the southward field decreases or changes orientation to northward fields, the magnetic storm recovers. The recovery is associated with a multitude of physical processes associated with the 191 loss of the energetic ring current particles: charge exchange, Coulomb collisions, wave-particle 192 interactions and convection out the dayside magnetopause (West et al., 1972; Kozyra et al. 1997, 193 194 2006a; Jordanova et al., 1998; Daglis et al. 1999). A typical time for storm recovery is ~10 to 24 h (Burton et al., 1975; Hamilton et al., 1988; Ebihara and Ejiri, 1998; O'Brien and McPherron, 195 196 2000; Dasso et al., 2002; Kozyra et al., 2002; Wang et al., 2003; Weygand and McPherron, 2006; Monreal MacMahon and Llop, 2008). 197

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2.2. Coronal Mass Ejections (CMEs), Interplanetary Coronal Mass Ejections (ICMEs) and Magnetic Storms

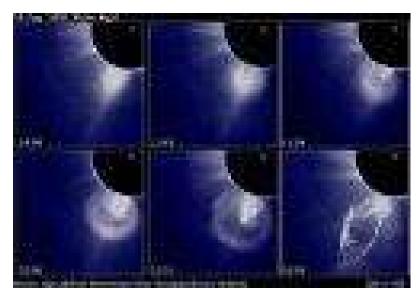


Figure 2. A sequence of images showing the emergence of parts of a CME coming from the Sun.
The time sequence starts at the upper left and ends at the lower right. Taken from Illing and
Hundhausen (1986).

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207 What are the solar and interplanetary sources of intense IMFs that lead to magnetic reconnection at Earth and intense magnetic storms? What we know from space age observations is that these 208 209 magnetic fields come from parts of a CME, a giant blob of plasma and magnetic fields which are released from the Sun associated with solar flares and disappearing filaments (Tang et al., 1989). 210 211 Figure 2 shows the emergence of a CME from behind a solar occulting disc. The time sequence starts at the upper left, goes to the right and then to the bottom left, and ends at the bottom right. 212 The three parts of a CME are best noted in the image on the bottom left. There is a bright outer 213 loop most distant from the Sun, followed by a "dark region", and then closest to the Sun is the 214 solar filament. 215

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217 2.3. Forecasting Magnetic Storms and Extreme Storms Associated with ICMEs

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We will precede ourselves and state here that for the limited number of cases studied to date, the most geoeffective part of the CME is the "dark region". Interplanetary scientists (Burlaga et al., 1981; Choe et al., 1982; Tsurutani and Gonzalez, 1994) have identified this as the low plasma beta region called a magnetic cloud (MC), first identified by Burlaga et al.(1981) and Klein and Burlaga (1982) in interplanetary space by magnetic field and plasma measurements. When there are

- magnetic storm results (Gonzalez and Tsurutani, 1987; Gonzalez et al. 1994; Tsurutani et al.,
 1997b; Zhang et al., 2007; Echer et al. 2008a).
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It should be noted that fast CMEs and intense MC fields are relatively rare. The SOHO LASCO
instrument has observed > 10,000 CMEs but only ~5% have speeds faster than ~700 km/s. Only
very few have speeds > 2,000 km/s and these are coming from coronal regions associated with
Active Regions (ARs) (Yashiro et al. 2004).

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Interplanetary and magnetospheric scientists have developed the term ICME or interplanetary 233 CME because it is not currently known (for individual events) how the CME evolves as it 234 235 propagates from the Sun to the Earth and beyond. Leamon et al. (2004) in comparing interplanetary MCs to associated solar active regions found that there was little or no relationship, compelling 236 the authors to conclude that "MCs are formed during magnetic reconnection and are not simple 237 eruptions of preexisting coronal structures". Yurchyshyn et al. (2007) in a similar study found that 238 "for the majority of interplanetary MCs, the fluxrope axis orientation changed less than 45° going 239 from the Sun to 1 AU". Palmerio et al. (2018) found "for the majority of cases, the flux rope tilt 240 241 angles rotated several tens of degrees (between the Sun and the Earth) while 35% changed by more than 90°". 3D MHD simulations have shown that CMEs can be severely distorted as they interact 242 243 with different types of interplanetary structures as they propagate through interplanetary space (Odstrcil and Pizzo, 1999a,b). The latter authors have shown that the CME distortion is 244 substantially different when it interacts with the streamer belt (heliospheric plasma sheet/HPS) 245 than with an HSS. The distortion of the CME can make the ICME unrecognizable at a distance 246 247 further away from the Sun.

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More detailed topics not covered in Palmerio et al. (2018) or in Odstrcil and Pizzo (1999a,b) are the topics of the fate of the principal features of CMEs as discussed by Illing and Hundhausen (1986). For example, the bright outer loops are seldomly identified at 1 AU (one rare case was identified by Tsurutani et al., 1998) and the filaments are typically not found within the ICME at 1 AU. The first filament detection at 1 AU was not reported until 1998 (Burlaga et al., 1998). For more recent observations of filaments at 1 AU, we direct the reader to Lepri and Zurbuchen (2010). Where have the bright outer loops and filaments gone to? Have they simply detached only to impinge onto the magnetosphere at a later time, or do they go back into the Sun? Or is it possible that many CMEs do not have filaments at their bases? Remote imaging observations from STEREO should be able to answer these questions. New in situ results from Parker Solar Probe, Solar Orbiter and ACE plus ground-based solar observations could perhaps help address the plasma physics of why typical ICMEs do not have attached filaments.

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It should be remarked that the high-density solar filaments could be extremely geoeffective if they 262 collided with the Earth's magnetosphere (this is covered later in Section 3.2.5). Is it possible for 263 the MC to rotate so that initially southward magnetic fields become northward components? Can 264 the MC fields be compressed or expanded by interplanetary interactions? Can magnetic 265 reconnection be taking place within the ICME between the solar corona and 1 AU as suggested by 266 Manchester et al. (2006) and Kozyra et al., (2013)? If so, how often does this occur and can it be 267 predicted? Modeling and examining the Parker Solar Probe and Solar Orbiter data (for studies on 268 the same ICME) could help us understand whether the MCs evolve as they propagate through 269 270 interplanetary space.

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Of course, the most important goal for Space Weather is predicting the southward magnetic fields
within the ICME. This extremely difficult task is the holy grail of Space Weather. It is more
important than predicting the time of the release of a CME, its speed and its direction.

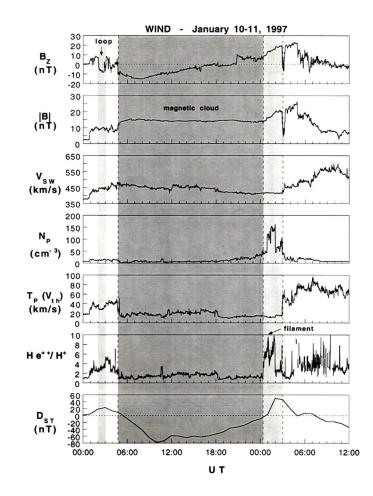


Figure 3. An ICME detected at 1 AU just upstream of the Earth.

Figure 3 shows a rare case of an ICME at 1 AU where all three parts of a CME are detected. The
MC is indicated by the shaded region in the figure. The outer loop was identified by Tsurutani et
al. (1998) and the filament by Burlaga et al. (1998).

From top to bottom are the IMF Bz component (in geocentric solar magnetospheric/GSM coordinates), the field magnitude, the solar wind velocity, density, temperature and the He⁺⁺/H⁺ ratio. The bottom panel gives the ground based Dst index whose amplitude is used as an indicator of the occurrence of a magnetic storm. Dst becomes negative when the Earth's magnetosphere is filled with storm-time energetic ~10-300 keV electrons and ions (Williams et al., 1990). Dessler and Parker (1959) and Sckopke (1966) have shown that the amount of magnetic decrease is linearly related to the total kinetic energy of the enhanced radiation belt particles. This is because the

energetic particles which comprise the storm-time ring current, through gradient drift of the
charged particles, form a diamagnetic current which decreases the Earth's magnetic field inside
the current. We refer the reader to Sugiura (1964) and Davis and Sugiura (1966) for further
discussions of the Dst index. The Dst index is a one hr index. More recently a 1 min SYM-H index
(Iyemori, 1990; Wanliss and Showalter, 2006) has been developed. This is more useful for high
time resolution studies. Both indices are produced by the Kyoto Data Center.

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In this example (top panel of Figure 3) the MC fields start with a strong southward (Bz < 0 nT) 297 component and then later turns northward. In the bottom panel, the magnetic storm Dst index 298 299 becomes negative with very little delay from the southward magnetic fields. The energy transfer mechanism is magnetic reconnection, as discussed earlier in Section 2.1. The high-density 300 filament (fourth panel from the top) is present after the MC passage. Values as high as ~160 cm⁻³ 301 have been detected. These values are extreme values (the nominal solar wind density is ~ 3 to 5 302 cm⁻³: Tsurutani et al., 2018a). The high densities impinging on the magnetosphere in this case 303 caused compression of the magnetosphere and the Dst index to reach \sim +55 nT. 304

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The stronger the southward component of the MC fields, the more intense the magnetic storm at 306 the Earth. In extreme cases storms with intensities of Dst < -250 nT can occur (Tsurutani et al. 307 1992a; Echer et al. 2008b). An empirical relationship between the speed of the MC at 1 AU and 308 309 its magnetic intensity has been shown by Gonzalez et al. (1998). A hypothetical explanation is the "melon seed model": squeezing a melon seed will cause it to squirt out, squeezing it harder will 310 make it come out fast. A larger magnetic field will require greater pressure to release it. However 311 a substantial MHD or plasma kinetic model is needed to explain the physics of this empirical 312 313 relationship in more detail.

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Because extremely strong MC magnetic fields are needed to produce extreme magnetic storms like the "Carrington" event (Tsurutani et al., 2003; Lakhina and Tsurutani, 2017), one should focus on extremely fast events for forecasting purposes. The geoeffective interplanetary dawn-to-dusk electric field is Vsw x Bsouth. Because Gonzalez et al (1998) have shown that |B| is empirically proportional to Vsw, the dawn-to-dusk interplanetary electric field has a Vsw² dependence. The Carrington ICME took ~17 hr 40 min to go from the Sun to Earth (Carrington, 1859), causing the largest magnetic storm in history. The minimum Dst has been estimated to be -1760 nT. However
the August 1972 event was even faster, taking only ~14 h 40 min to go from the Sun to Earth
(Vaisberg and Zastenker 1976; Zastenker et al. 1978). Although the 1972 MC was indeed extreme
in speed and magnetic field intensity, the direction of the magnetic field was northward and thus
there was geomagnetic quiet following the MC impingement onto the magnetosphere (Tsurutani
et al. 1992b). So again, predicting the ICME magnetic field direction is paramount in importance
for Space Weather applications.

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Modeling ICME propagation in interplanetary space during disturbed AR periods has met only limited success (Echer et al., 2009; Mostl et al., 2015; Hajra et al., 2019). Sometimes it is difficult to even identify to which flare or disappearing filament a detected ICME is related (see Tang et al., 1989). The propagation times from the Sun to 1 AU has often been in error by days (Zhao and Dryer, 2014). The additional information provided by the Parker Solar Probe and Solar Orbiter and examination of present ICME propagation codes could help improve the ability to make more accurate forecasts.

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337 2.4. Fast Shocks, Sheaths and Magnetic Storms

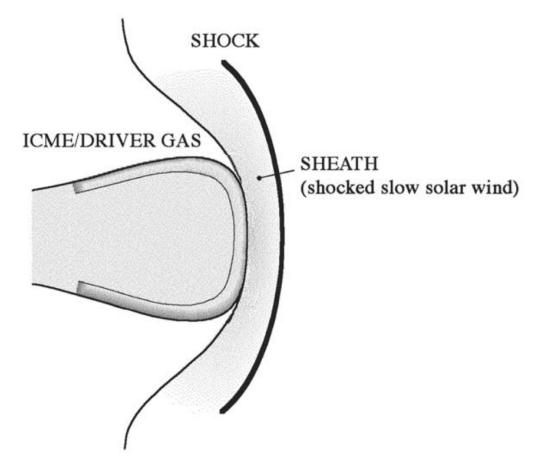


Figure 4. A schematic of an interplanetary sheath antisunward of an ICME. In this diagram theSun is on the left (not shown).

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343 Figure 4 shows a schematic of a shock and sheath upstream of an ICME. "Fast" CMEs/ICMEs can create upstream fast forward shocks (Tsurutani et al., 1988). By "fast" it is meant that the 344 CME/ICME is moving at a speed higher than the upstream magnetosonic (fast wave mode) speed 345 relative to the upstream plasma and by "forward" we mean that the shock is propagating in the 346 same direction as the "driver gas" or the CME/ICME, antisunward. When a shock is formed, it 347 348 compresses the upstream plasma and magnetic fields. In this terminology, the upstream direction is the direction in which the shock is propagating (antisunward in this case) and the downstream 349 direction is towards the Sun (see Kennel et al., 1985 and Tsurutani et al., 2011 for details on 350 shocks). The compressed plasma and magnetic fields downstream of the shock is the "sheath". 351 352 The shock and sheath are not part of the CME/ICME. The origin of this plasma and magnetic fields is the slow solar wind altered by shock compression. This is important to understand if one 353

wishes to predict magnetic storms caused by interplanetary sheath southward magnetic fields. It
should be noted that "slow" ICMEs have been detected at 1 AU (Tsurutani et al., 1994a). These
phenomena do not have upstream shocks and sheaths, as expected. However the southward MC
magnetic fields still cause magnetic storms.

358

Kennel et al. (1985) used MHD simulations to show that the plasma densities and magnetic field 359 magnitudes downstream of shocks are roughly related to the shock magnetosonic Mach numbers. 360 This theoretical relationship holds up to a Mach number of ~4. For higher Mach numbers MHD 361 predicts that the compression will remain at a factor of ~4. Since interplanetary shocks detected 362 at 1 AU typically have Mach numbers only of 1 to 3 (Tsurutani and Lin, 1985; Echer et al., 2011; 363 Meng et al. 2019), 1 to 3 are the typical shock magnetic field and density compression ratios 364 detected at 1 AU. One question for future studies is "does the MHD relationships of magnetic 365 field magnitude and density jumps hold for extreme shocks?" If not, there will be important 366 consequences for extreme Space Weather. 367

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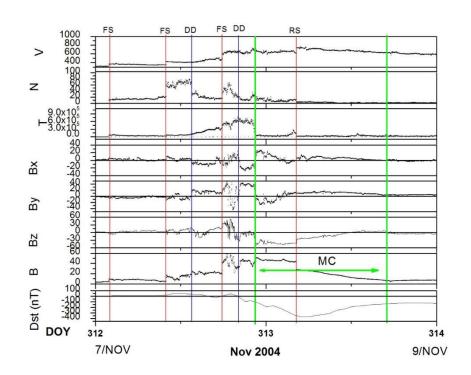


Figure 5. An example of three fast forward shocks pumping up the interplanetary magnetic fieldintensity. Taken from Tsurutani et al. (2008a).

Figure 5 shows a complex interplanetary event that was selected by the CAWSES II team to study 373 374 in detail. The full information on this event from the Sun to the atmosphere can be found in the special issue: Large Geomagnetic Storms of Solar Cycle 23 375 (https://agupubs.onlinelibrary.wiley.com/doi/toc/10.1002/(ISSN)1944-8007.CYCLE231). What 376 is important is that this event was associated with a solar active region (AR) and the results are 377 quite important in terms not only for interplanetary disturbance phenomena but also for 378 379 geomagnetic activity at the Earth.

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From top to bottom in Figure 5 are the solar wind speed, density, and temperature, the IMF Bx, 381 By and Bz components and the magnetic field magnitude in solar magnetospheric (GSM) 382 383 coordinates. In this coordinate system, \mathbf{x} points in the direction of the Sun, the \mathbf{y} direction is given by $(\Omega \times \mathbf{x})/|\Omega \times \mathbf{x}|$ where Ω is the Earth's south magnetic pole (the south magnetic pole is near the 384 385 north geographic pole) and z axis, which is in the plane containing both the Earth-Sun line and the dipole axis, completes the right hand system. The magnetic storm Dst index is given at the bottom. 386 387 Fast forward shocks are denoted by the three vertical red lines on 7 November 2004. There are sudden increases in the velocity, density, temperature and magnetic field magnitude at all three 388 389 events. The Rankine-Hugoniot relationships have been applied to the plasma and magnetic field data and the analysis did determine that they are indeed fast shocks. 390

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392 The point of showing this interplanetary event is to indicate that each shock pumps up the interplanetary sheath magnetic field by factors of ~ 2 to 3. The initial magnetic field magnitude 393 started with a value of ~4 nT and at the peak value after the three shocks, it reached a value of ~60 394 nT. This final value was higher than the MC magnetic field, which was ~45 nT. Details 395 396 concerning the shocks and compressions can be found in the original paper for readers who are 397 interested. What is important here is how intense interplanetary magnetic fields are created. They can come from the MCs themselves or the sheaths, as shown here. However, in this case the 398 southward magnetic fields that caused the magnetic storm came from the MC and not the sheath. 399

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In the above example it is believed that three fast forward shocks were associated with three ICMEs
released from the AR. The longitudinal extent of shocks are, however, wider than the MCs, so

403 only one MC was detected in the event. A similar situation was found for the August 1972 event404 discussed earlier.

405

It should be noted that a fast reverse wave (here by "reverse" we mean that the wave is propagating 406 in the solar direction) was detected during the Figure 5 event. It is identified as the red vertical line 407 on 8 November. In detailed examination of the Rankine-Hugoniot conservation equations, this 408 wave was found to propagate at a speed below the upstream magnetosonic speed and thus was a 409 magnetosonic wave and not a shock. This reverse wave caused a decrease in the MC magnetic 410 field (and the southward component) and thus the start of the recovery phase of the magnetic storm. 411 The reader should note that fast reverse waves and shocks are also important for geomagnetic 412 activity. A detailed discussion of shock and discontinuity effects on geomagnetic activity can be 413 414 found in Tsurutani et al. (2011).

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416 2.4.1. Forecasting ICME sheath magnetic storms

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Determination of the IMF Bz component in the sheaths will be a difficult task. To do this, more effort in understanding the slow solar wind plasma, magnetic fields and their variations will be required. To date, there has been little effort expended in this area. This is, however easy for us to hope for, but in practice is far more difficult to do. Use of data from Solar Probe, Solar Orbiter and a 1 AU spacecraft such as ACE could help in these analyses.

423

This problem has recently been emphasized by results from Meng et al. (2019). Meng et al. have shown that superstorms (Dst < -250 nT) that occurred during the space age (1957 to present) are mostly driven by sheath fields or a combination of sheath plus a following magnetic cloud (MC).

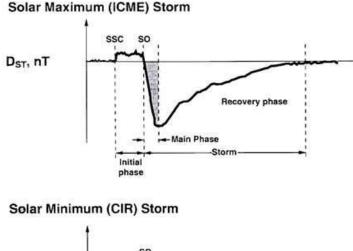
Substorms are generated by lower intensity southward magnetic fields with the process of magnetic reconnection being the same as above. However substorm plasmasheet injections only go in to L ~4, the outer part of the magnetosphere (Soraas et al., 2004). The auroras associated with substorms appear in the "auroral zone", 60° to 70° magnetic latitude (MLAT). Magnetic storms associated with much larger IMF Bsouth are detected at subauroral zone latitudes.

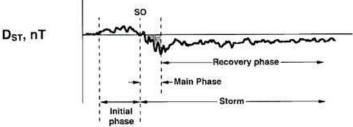
3. RESULTS: Declining Phase of the Solar Cycle

435

436 **3.1. Corotating Interaction Region (CIR) Magnetic Storms**

437





438

Figure 6. The magnetic Dst profiles of a CIR magnetic storm (bottom) and an ICME magneticstorm (top). Taken from Tsurutani (2000).

441

During the declining phase of the solar cycle a different type of solar and interplanetary activity dominates the physical cause of magnetic storms, that of Corotating Interaction Regions (CIRs). HSSs emanating from coronal holes (CHs) interact with the slow solar wind and form CIRs at their interaction interfaces. The magnetic storms caused by CIRs are quite different from storms caused by ICMEs and/or their sheaths. Figure 6 shows the difference in profiles of two different types of magnetic storms. The profile of a CIR magnetic storm is shown on the bottom and that of a shock sheath ahead of an ICME MC magnetic storm on top.

The ICME MC magnetic storm Dst profile, discussed briefly earlier (see Figure 3), is reasonably
easy to identify (top panel). There is a sudden, ~tens of second duration positive increase in Dst

which is caused by the sudden increase in solar wind ram pressure due to the passage of the sheath 452 high density jump downstream of the shock. This compresses the magnetosphere, creating the 453 454 sudden impulse (SI⁺: see Joselyn and Tsurutani, 1990) detected everywhere on the ground (Araki et al., 2009). Later, in either the sheath or the MC there may be a southward IMF which causes 455 the magnetic storm. If there is a southward component in the MC, it is usually smoothly varying 456 in intensity and direction. This leads to a smooth monochromatic storm main phase as seen in the 457 Dst index (and illustrated in Figures 3 and 6). The loss of the ring current particles is the cause of 458 the storm recovery phase. The details of storm recovery phase durations and causative mechanisms 459 will be an interesting topic for magnetospheric scientists to study in the near future. The Arase 460 mission data will be quite useful for these studies. 461

462

463 The bottom panel of Figure 6 shows the typical profile of a CIR magnetic storm. It is quite different from a sheath-MC magnetic storm profile. There is no SI⁺ associated with the beginning of the 464 geomagnetic disturbance. This is because CIRs detected at 1 AU typically are not led by fast 465 forward shocks (Smith and Wolf, 1976; Tsurutani et al. 1995). The positive increase in Dst is 466 467 associated with the impact of a high density region near the heliospheric current sheet (HCS) (Smith et al., 1978; Tsurutani et al. 2006b) called the heliospheric plasmasheet (HPS; Winterhalter 468 et al., 1994) and/or associated with the compressed plasma at the leading edge of the CIR. These 469 are slow solar wind plasma densities. The most distinguishing feature of the CIR storm main phase 470 471 is the lack of smoothness, in sharp contrast to the MC magnetic storm. This irregular Dst storm main phase is caused by large Bz fluctuations within the CIR. 472

473

474 CIR magnetic fields have magnitudes of ~20 to 30 nT and typically do not reach the much higher 475 intensities that MC fields typically do. For this reason and also because of the IMF Bz fluctuations, 476 CIR magnetic storms usually have intensities $Dst \ge -100$ nT (small or no magnetic storms). 477 Extreme magnetic storms with Dst < -250 nT caused by CIRs are rare, if they occur at all (none 478 were found in the Meng et al. 2019 study). However it is clear that compound events involving 479 both CIRs, sheaths ahead of ICMEs and ICMEs could certainly cause extreme magnetic storm 480 events.

CIR related magnetic storms occur most frequently during the declining phase of the solar cycle
and ICME magnetic storms typically occur near the maximum phase of the solar cycle. However,
it should be noted that both CIR storms and sheath and/or ICME MC magnetic storms can occur
during any phase of the solar cycle. We have simply ordered things by solar cycle so that it will
be easier to give the reader the general picture of Space Weather.

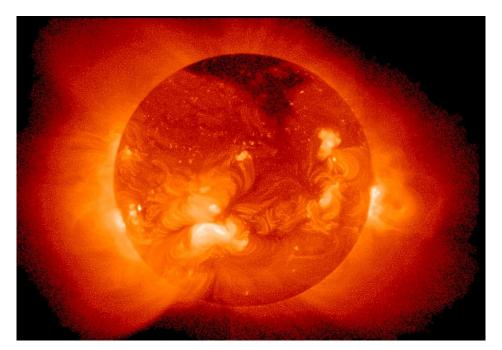
487

488 3.2. Coronal Holes, High Speed Solar Wind Streams and Geomagnetic Activity

489

490 **3.2.1.** Coronal holes and high-speed solar wind streams

491



492

Figure 7. A large coronal hole (the dark region) near the north pole of the Sun. The figure wastaken by the soft X-ray telescope (SXT) onboard the Yohkoh satellite in 1992.

495

Figure 7 shows a polar coronal hole at the north pole of the Sun. This image was taken by the soft 496 telescope (SXT) onboard the Yokoh satellite 497 x-ray (http://www.spaceweathercenter.org/swop/Gallery/Solar pics/yohkoh 060892.html). The dark 498 499 (low temperature) region at the pole is the coronal hole. Large polar coronal holes occur typically in the declining phase of the solar cycle (Bravo and Otaola, 1989; Bravo and Stewart, 1997; Zhang 500 et al., 2005). 501

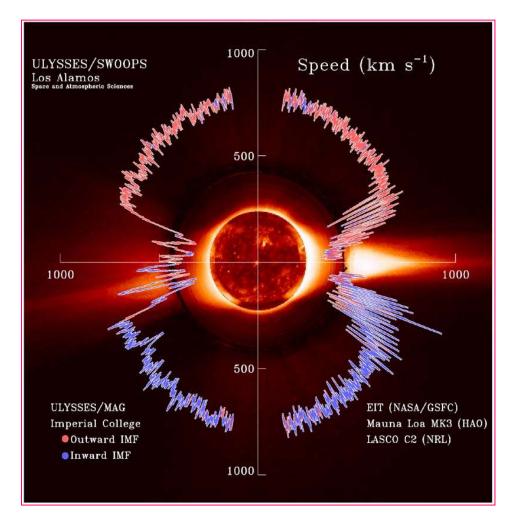


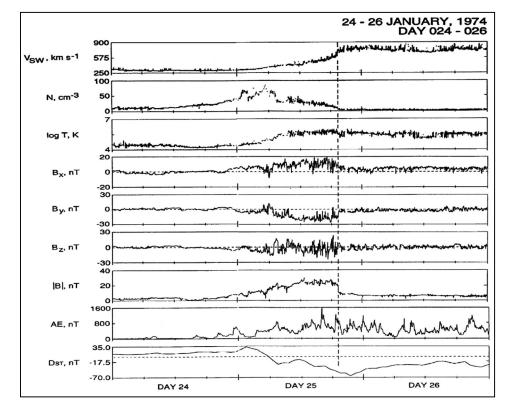


Figure 8. High speed solar wind streams emanating from coronal holes in the north and southsolar poles. The figure was taken from Phillips et al. (1995) and McComas et al. (2002).

Figure 8 gives a "dial plot" of the solar wind speed for the first traversal of the Ulysses spacecraft over the Sun's poles. The radius from the center of the Sun to the trace indicates the solar wind speed. The magnetic field polarity is indicated by the color of the trace, red for outward IMFs and blue for inward IMFs. A SOHO EIT soft x-ray image of the Sun is placed at the center of the figure and a High Altitude Observatory Mauna Loa coronagraph image shows the inner corona at that time. The outer corona is an image taken by the SOHO C2 coronagraph.

514 Two large polar coronal holes are detected at the Sun, one at the north pole and the other at the 515 south pole. It is noted that HSSs of ~750 to 800 km/s are detected at Ulysses when over the polar 516 coronal hole regions. When Ulysses was near the solar equatorial region where helmet streamers 517 are present, the solar wind speeds are of the slow solar wind variety, Vsw ~ 400 km/s. The reader 518 should note that it took years for Ulysses to make this polar orbit while the solar and coronal 519 images were taken at one point in time. However, this composite figure is useful to illustrate the 520 main points about the origins of HSSs.

521



- 522 **3.2.2.** High speed solar wind streams and the formation of CIRs
- 523

524

Figure 9. A high-speed solar wind stream-slow solar wind interaction and the formation of a CIR
during January 1974. The format is the same as in Figure 4 except that the AE index is given in
the next to bottom panel. The figure is taken from Tsurutani et al. (2006b).

528

Figure 9 shows a HSS-slow speed stream interaction during January 1974. The right portion of the top panel on day 26 shows a HSS with speeds of 750-800 km/s at 1 AU. On day 24, the top panel left indicates a solar wind speed of ~300 km/s, or the slow solar wind. The effects of the stream-stream interaction occur on day 25. This is best seen in the IMF magnitude panel, 7th from the top. The stream-stream interaction creates intense magnetic fields of ~25 nT. The 6th from the top

panel is the IMF Bz component (in GSM coordinates). The Bz is highly fluctuating. Magnetic
reconnection between the IMF southward components and the magnetopause magnetic fields leads
to the irregularly shaped storm main phase shown in the bottom (Dst) panel.

537

To be able to forecast a CIR magnetic storm, one would have to first understand the sources of the IMF Bz fields. For example, are they compressed upstream Alfvén waves (Tsurutani et al. 1995, 2006c)? Or could they be waves generated by the shock interaction with upstream waves in the slow solar wind? That would be only the first step for forecasting, of course. Then with knowledge of the properties of the slow speed stream, the details of the wave compression/interaction would then have to be calculated/modeled.

544

545 Another approach would be to determine if there is an underlying southward component of the IMF within the CIR. This would most likely be caused by the geometry of the HSS-slow speed 546 stream interaction and may be predictable from MHD modeling. If this is correct, then the wave 547 fluctuations can be modeled as being superposed on top of these DC magnetic fields. In (rare) 548 549 cases of radial alignment, Solar Probe closest to the Sun could characterize sheath fields. The evolution of those fields would be detected by Solar Orbiter. Simulation of further evolution could 550 551 be applied and predictions of the fields at 1 AU could be tested by ACE data. If there are waves generated by the shock, then the above scenario would not work as well as expected, or at least 552 553 would be more complicated to apply in a useful manner.

554

555 3.2.3. High speed solar wind streams, Alfvén waves and HILDCAAs

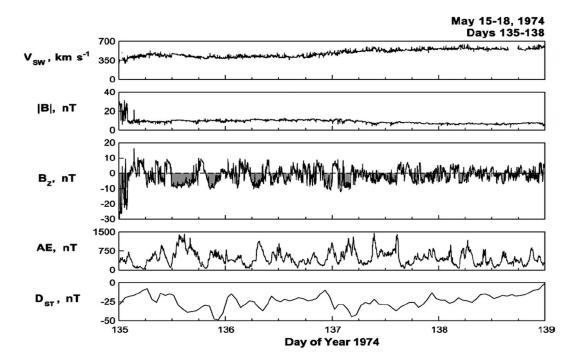




Figure 10. A high-intensity, long-duration continuous AE activity (HILDCAA) event during 1974.
Taken from Tsurutani et al. (2006c).

The schematic in Figure 6 showed a long "recovery phase" that trails the CIR magnetic storm main phase (see Tsurutani and Gonzalez, 1987). However, we now know that the storm wasn't "recovering" as in the case of an MC magnetic storm recovery but that something else was occurring. This "recovery" can last from days to weeks. Thus, processes of charge exchange, Coulomb collisions, etc. for ring current particle losses are not tenable to explain such long "recoveries".

566

Figure 10 shows the interplanetary cause of this extended geomagnetic activity. It occurs primarily
during HSSs independent of whether a CIR magnetic storm occurred prior to it or not (Tsurutani
and Gonzalez, 1987; Tsurutani et al., 1995, 2006b; Kozyra et al. 2006b; Turner et al. 2006; Hajra
et al. 2013, 2014a, 2014b, 2014c, 2017). From top to bottom are the solar wind speed, the IMF
magnitude, the IMF Bz component (in GSM coordinates) and the auroral electrojet (AE) index.
The bottom panel is the Dst index.

The interplanetary data were taken from the IMP-8 spacecraft, an Earth orbiting satellite that was 574 located upstream of the magnetosphere in the solar wind at this time. The location was inside 40 575 576 Re, where an Re is an Earth radius. The magnetic Bz fluctuations have been shown to be Alfvén waves which are of large nonlinear amplitudes in HSSs (Belcher and Davis, 1971; Tsurutani and 577 Gonzalez, 1987; Tsurutani et al., 2018b). What is apparent from this figure is that every time the 578 IMF Bz is negative (southward), there is an AE increase and a Dst decrease. This has been 579 interpreted as being due to magnetic reconnection between the southward components of the 580 Alfvén waves and the Earth magnetopause. The AE is enhanced by the same magnetic 581 reconnection process that occurs during substorms, and a small parcel of plasmasheet plasma is 582 injected into the nightside magnetosphere causing the Dst index to decrease slightly. It is noted 583 that there are many southward IMF Bz dips in this four day interval of data shown in Figure 10. 584 There are also many corresponding AE increases and Dst decreases. Thus, the interpretation of the 585 constant/average Dst value of ~ -25 nT for four days is that continuous plasma injection and decay 586 is occurring. This is clearly not a "recovery phase" where the ring current particles are simply 587 lost, it only appears as a recovery from the Dst trace. Soraas et al. (2004) have shown that particles 588 589 are injected during these events but only to L values of 4 and greater (the L = 4 magnetic field line is the dipole magnetic field that crosses the magnetic equator a distance 4 Earth radii from the 590 591 center of the Earth). These are shallow injections as suggested above.

592

These geomagnetic activity events have been named High-Intensity, Long-Duration Continuous 593 AE events or HILDCAAs (Tsurutani and Gonzalez, 1987). This name is simply a description of 594 595 the events without an interpretation. In 2004 when a detailed examination using Polar EUV auroral imaging was applied, it was found that many phenomena besides simple isolated substorms 596 597 occurred (Guarnieri, 2006; Guarnieri et al., 2006). Although substorms occur during HILDCAA 598 events, there are AE increases (injection events?) that are not well-correlated with substorm onsets (Tsurutani et al., 2004b). The full extent of HILCAAs is not well understood (see also Souza et 599 al., 2016, 2018; Mendes et al., 2017). By using IMAGE auroral observations and geomagnetic 600 601 indices to identify convection events which are not classical Akasofu (1964) substorms, the fields 602 and particle data from SWARM, MMS and Arase could be used to characterize the physics properties of these "convection" events. 603

There is also the question of the origin of the interplanetary Alfvén waves? Do they originate at the Sun caused by supergranular circulation, or is that mechanism untenable as argued by Hollweg (2006)? Could the waves be generated locally between the Sun and Earth as speculated by Matteini et al. (2006, 2007) and Hellinger and Travnicek (2008)? Parker Solar Probe could identify Alfvén waves within high speed streams and Solar Orbiter (when radially aligned) could determine the wave evolution.

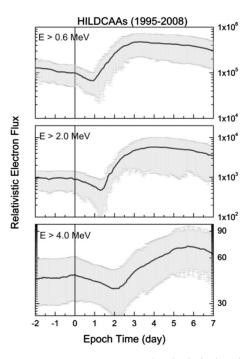
611

The original requirement for identifying a HILCAA event was quite strict. The event had to occur outside of a magnetic storm main phase (Dst was required to be > -50 nT: Gonzalez et al. 1994), the peak AE intensity had to be greater than 1,000 nT (high-intensity), the event had to last longer than 2 days (long-duration), and there could not be any dips in AE less than 200 nT for longer than two hours (continuous). Clearly there are events with the same interplanetary causes and geomagnetic effects as for the strict definition. However, the strict definition is useful for further studies using different data sets.

619

620 3.2.4. HILDCAAs and the Acceleration of Relativistic Magnetospheric Electrons

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622

624

is taken from Hajra et al. (2015a).

Figure 11. The relationship between HILDCAAs and relativistic electron acceleration. The figure

One of the consequences of HSSs and HILDCAAs is the acceleration of relativistic (~MeV) 626 627 electrons. These energetic particles can damage orbiting satellite electronic components (Wrenn, 1995), and thus are known as "killer electrons". Figure 11 shows the relationship between the onset 628 of HILCAA events (vertical line) and relativistic electron fluxes. From top to bottom are the E >629 0.6 MeV, the E > 2.0 MeV and the E > 4.0 MeV electron fluxes detected by the GOES-8 and 630 GOES-12 satellites located at L = 6.6. This figure is a superposed epoch analysis (Chree, 1913) 631 result of 35 HILDCAA events in solar cycle 23, from 1995 to 2008, which are not preceded by 632 magnetic storms. This was done to avoid contamination by storm-time particle acceleration (by 633 intense convection/compression). The zero-epoch time (vertical line) corresponds to the 634 HILDCAA onset time. Here the "strict" definition of HILDCAAs was used to define the onset 635 636 times.

637

The figure shows that the flux enhancement of E > 0.6 MeV electrons is statistically delayed by ~1.0 day from the onset of the HILDCAAs. The E > 4.0 MeV electrons are statistically delayed by ~2.0 days from the HILDCAA onset. It is thus possible that HILCAAs may be used to forecast relativistic electron flux enhancements in the magnetosphere (see Hajra et al., 2015b; Tsurutani et al., 2016a; Hajra and Tsurutani, 2018a; Guarnieri et al., 2018). This however has not been done yet and could be implemented by scientists today.

644

The physics for electron acceleration to relativistic (~MeV) energies has been well-developed by 645 magnetospheric scientists. Two competing acceleration mechanisms have been developed. In one 646 mechanism, with each injection of plasmasheet particles on the nightside magnetosphere, the 647 648 anisotropic ~10 to 100 keV electrons generate electromagnetic whistler mode chorus waves (Tsurutani and Smith, 1974; Meredith et al. 2002) by the loss cone/temperature anisotropy 649 instability (Brice, 1964; Kennel and Petschek, 1966; Tsurutani et al., 1979; Tsurutani and Lakhina, 650 1997). The chorus then interacts with the ~ 100 keV injected electrons to energize them to ~ 0.6 651 652 MeV energies (Inan et al., 1978; Horne and Thorne, 1998; Thorne et al., 2005, 2013; Summers et al., 2007; Tsurutani et al., 2010; Reeves et al., 2013; Boyd et al., 2014). The lower-frequency part 653 of the chorus in turn interact with the ~ 0.6 MeV electrons to accelerate them to ~ 2.0 MeV energies, 654 etc. This bootstrapping mechanism has been suggested by several authors (Baker et al., 1979, 655

1998; Li et al., 2005; Turner and Li, 2008; Boyd et al., 2014, 2016; Reeves et al., 2016) and has
been confirmed by Hajra et al. (2015a) during HILDCAA events.

658

An alternative scenario is that relativistic electrons are created through particle radial diffusion driven by micropulsations (Elkington et al., 1999, 2003; Hudson et al., 1999; Li et al., 2001, O'Brien et al., 2001; Mann et al.,2004; Miyoshi et al., 2004). However the same general scenario would hold as for chorus acceleration. The substorms and convection events within HILDCAAs would be the sources for the micropulsations and the micropulsations would last from days to weeks in duration. Bootstrapping of energy would still take place.

665

A few important questions for researchers to ask are: "How high can the relativistic magnetospheric electron energy get?". If there are two HSSs, one from the south pole and another from the north pole so that Earth's magnetosphere is bathed in HSSs for years, as happened during 1973-1975 (Sheeley et al., 1976, 1977; Gosling et al. 1976; Tsurutani et al. 1995), will the energies go above ~10 MeV? What will physically limit the energy range? This answer is important for keeping Earth-orbiting satellites safe during such events.

672

673 3.2.5. Solar wind ram pressure pulses and the loss of relativistic electrons

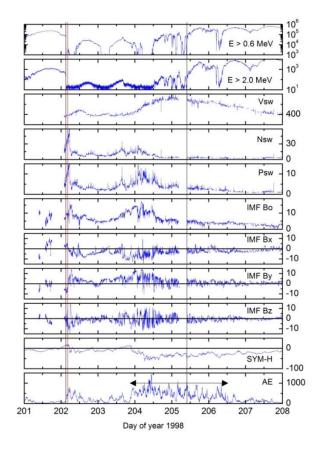


Figure 12. A relativistic electron decrease (RED) event and later acceleration. Taken fromTsurutani et al. (2016b).

678

Figure 12 shows a relativistic electron decrease (RED) event occurring during 1998. From top to bottom are the E > 0.6 MeV electron fluxes, the E > 2.0 MeV electron fluxes, the solar wind speed, density and ram pressure, and the IMF magnitude, Bx, By and Bz component in the GSM coordinate system. The bottom two panels are the 1 min SYM-H index (a high time resolution Dst index) and the AE index. The relativistic electron measurements were taken at L = 6.6.

684

At the beginning of day 202, a vertical black line indicates the onset of a high density HPS crossing (Winterhalter et al., 1994) that is identified in the fourth panel from the top. The HPS is by definition located adjacent to the HCS (Smith et al. 1978). The HCS is noted by the reversal in the signs of the IMF Bx and By components (seventh and eighth panels from the top). The onset of the HPS is followed within one hour by the vertical red line, the sudden disappearance of the E > 0.6 MeV (first panel) and E > 2.0 MeV (second panel) relativistic electron fluxes. Tsurutani et al.

691

694 Where have the relativistic electrons gone? There are two primary possibilities. One is that the energetic electrons have gradient drifted out of the magnetosphere through the dayside 695 magnetopause, a feature that has been called "magnetopause shadowing" by West et al. (1972). 696 However, a second possible mechanism is electron pitch angle scattering by electromagnetic ion 697 cyclotron (EMIC) waves. We think that this second possibility is more intriguing and has far more 698 interesting consequences, if correct. One might ask where the EMIC waves come from and why is 699 700 pitch angle scattering particularly important? It has been shown by Remya et al. (2015) that when the magnetosphere is compressed, both electromagnetic chorus (electron) waves (Thorne et al., 701 1974; Tsurutani and Smith, 1974; Meredith et al. 2002) and EMIC (ion) waves (Cornwall, 1965; 702 Kennel and Petschek, 1966; Olsen and Lee, 1983; Anderson and Hamilton, 1993; Engebretson et 703 al., 2002; Halford et al. 2010; Usanova, 2012; Saikin, 2016) are generated. The compression of 704 the magnetosphere causes betatron acceleration of remnant ~ 10 to 100 keV electrons and protons, 705 706 and thus plasma instabilities associated with both particle populations occur. What is particularly important is that the EMIC waves are coherent (Remya et al., 2015), leading to extremely rapid 707 pitch angle scattering of ~ 1 MeV electrons by the waves. The scattering rate has been shown to 708 be three orders of magnitude faster than that with incoherent waves (Tsurutani et al., 2016b). 709

(2016b) has shown that for 8 relativistic electron flux disappearance events during solar cycle 23

all of the disappearances were associated with HPS impingements onto the magnetosphere.

710

Another possible loss mechanism is associated with possible generation of PC waves by the HPS 711 impingement followed by radial diffusion of the relativistic electrons. Wygant et al. (1998) and 712 Halford et al. (2015) have mentioned that larger loss cone sizes at lower L could be a source of 713 714 loss to the ionosphere. Rae et al. (2018) has shown that superposition of compressional PC waves 715 and the conservation of the first two adiabatic invariants could enhance particle losses. However one should mention that there are not observations of PC wave generation during HPS 716 impingements and this needs to be tested. It is also uncertain how rapidly the relativistic electrons 717 718 would be lost by the above processes. It has been shown that the total loss of L > 6.6 relativistic 719 electrons occurs in ~1 hour (Tsurutani et al., 2016b).

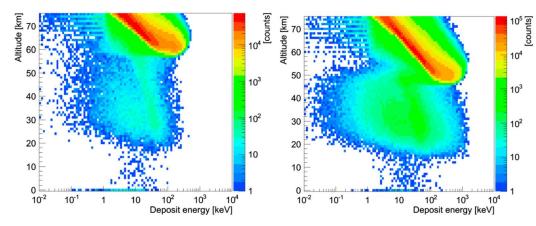


Figure 13. The GEANT4 code run results for the precipitation of E > 0.6 MeV electrons (left panel) and E > 2.0 MeV electrons (right panel). The vertical scale is altitude above the ground and the horizontal scale is energy deposition. The color scheme (legend on the right) gives the amount of counts. Taken from Tsurutani et al. (2016b).

720

Why can the loss of relativistic electrons to the atmosphere be important? Figure 13 shows the 726 results of the GEometry ANd Tracking 4 (GEANT4) code developed by the European 727 Organization for Nuclear Research (Agostinelli et al., 2003) applied to the relativistic electron 728 729 disappearance problem. The GEANT4 code takes into account Rayleigh scattering, Compton scattering, photon absorption, gamma ray pair production, multiple scattering, ionization, 730 731 bremsstrahlung for electrons and positrons and annihilation of positrons (positron formation is not germane for these "low energy" relativistic particles, but the code includes it anyway). A standard 732 733 atmosphere was used.

734

735 Figure 13 shows the GEANT4 Monte Carlo results for the electron shower for E > 0.6 MeV 736 electrons on the left and for E > 2.0 MeV electrons on the right. Two important features should be noticed. First the bulk of energy deposition (the red areas) descends down to ~ 60 km for the E > 737 0.6 MeV electron simulation and down to \sim 50 km for the E > 2.0 MeV electron simulation. This 738 portion of the energy from the incident electrons is due to direct ionization and particle energy 739 cascading. However, there is a second region which might be extremely important. That is the 740 blue-green area that goes down to ~ 20 km for the E > 0.6 MeV simulation and ~ 16 km for the E >741 2.0 MeV simulation. There are also "hits" seen on the ground. This lower altitude energy 742 deposition is due to the relativistic electrons interacting with atmospheric atomic and molecular 743

nuclei creating bremsstrahlung X-rays and γ -rays. X-rays and γ -rays have very large mean free paths and thus can freely propagate through the dense atmosphere without interactions. They propagate to much lower altitudes where they interact and continue the energy cascading process further.

748

749 The reason why this process may be quite an important Space Weather topic is that it might relate to atmospheric weather as well. Wilcox et al. (1973) discovered a correlation between 750 interplanetary HCS crossings and high atmospheric vorticity winds at 300 mb altitude. Over the 751 years a number of different explanations for the physics of the trigger has been offered (Tinsley 752 and Deen, 1991; Lam et al., 2013). Tsurutani et al. (2016b) presented the above relativistic 753 electron precipitation scenario (instead of HCS crossings) for the possible triggers of high 754 atmospheric vorticity winds. Quantitative estimates of potential energy deposition at different 755 atmospheric altitudes were provided in the original paper. 756

757

758 It is noted that the energy deposition should occur in a limited spatial region of the globe (just 759 inside the auroral zone and a small region of the dayside atmosphere) which is more geoeffective 760 than either cosmic ray energy or solar flare particle deposition. The fact that it is relativistic 761 electron precipitation gives an additional advantage that substantial energy is deposited at quite 762 low altitudes.

763

Advances to this problem can be made in a number of different ways. Simultaneous grounddetected EMIC waves, γ -rays and atmospheric heating/cooling could be sought. Correlation with such events with solar wind pressure pulses like the HPSs or interplanetary shocks (see Hajra and Tsurutani, 2018b) would advance our knowledge of the details of such events.

768

Maliniemi, Asikainen and Mursula (2014) studied the Earth's winter surface temperatures and the North Atlantic Oscillation (NAO) during all 4 phases of the solar cycle using 13 solar cycles of data (1869-2009). The authors found that the clearest pattern for temperature anomalies is not during sunspot maximum or minimum but during the declining phase when the temperature pattern closely resembles that found during positive NAO. This feature could be due to energetic 10-100 keV electron precipitation discussed earlier.

Atmospheric heating events known as Sudden Stratospheric Warmings (SSWs) (Scherhag, 1960; Harada et al., 2010) occur at subauroral latitudes by unknown causes. They are known to be related to atmospheric wind system changes, perhaps the same phenomenon as the Wilcox et al. (1973) effect. Atmospheric scientists generally assume that SSWs are created by gravity waves propagating from lower atmosphere upward, but so far no one-to-one correlated case has been found. Thus, it would be quite interesting to see if Space Weather can have a major impact on atmospheric weather. The connection between these two disciplines could be quite interesting for the next generation of Space Weather scientists.

3.2.6. Energetic particle precipitation and ozone depletion

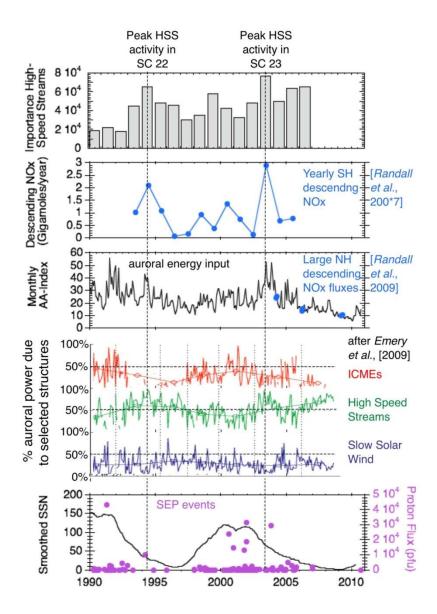


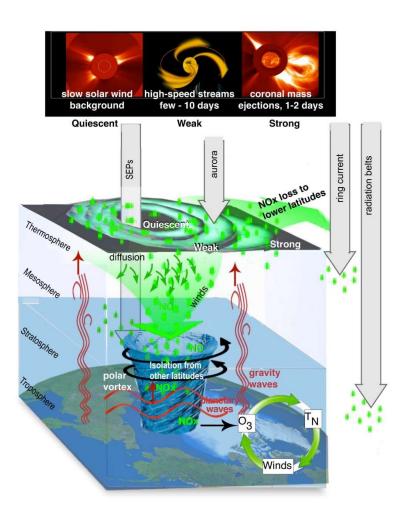
Figure 14. The dashed vertical lines show the peaks in solar wind high speed streams during SC
22 and SC23. These are coincident with the peaks in auroral energy input and the peaks in yearly
NOx descent. The authors thank J.U. Kozyra for providing this unpublished figure.

791

Figure 14 shows two solar cycles of data, SC22 and SC23. From top to bottom are the "importance" of high-speed streams, the descending NOx, the monthly AA index, the percent auroral power due to three types of solar wind phenomena (ICMEs, HSSs and slow solar wind), and the bottom panel solid line trace is the sunspot number (SSN). Also shown in the bottom panel is the solar energetic particle (SEP) flux. There are two vertical dashed lines. They correspond to the peaks in HSS activity for SC22 and SC23 (top panel), peaks in auroral energy input (third panel from the top), and peaks in the yearly descending NOx (second panel from the top). It is noted that all three peaks are aligned in time. The bottom panel shows that both dashed vertical lines correspond to times in the descending phase of the solar cycle.

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797



804

Figure 15. The scenario for polar cap ozone destruction using the observations shown in Figure
14. The authors thank J.U. Kozyra and her colleagues (personal communication, 2019) for this
unpublished figure.

808

Figure 15 shows the Kozyra et al. (2019) scenario for ozone destruction over the polar cap. Thetop of the Figure shows the various types of solar wind (and associated energetic particles) that

can affect atmospheric ozone. The quiet solar wind will lead to quiescence. HSSs lasting a few to
ten days have weak effects and ICMEs (and of course shock acceleration of energy particles) can
have much stronger effects.

814

Energetic particles from different sources will precipitate in different regions of the ionosphere. The energetic particles associated with interplanetary CME shock acceleration will be deposited in the polar regions of the both the north and south ionospheres. If the particles are energetic enough with sufficient gyroradii, they can reach to as low latitudes as ~50° magnetic latitude. Precipitating substorm/HILDCAA ~10-100 keV magnetospheric charged particles will deposit their energy on closed auroral zone (~60° to 70°) magnetic field lines.

821

The energetic particle entering the atmosphere lose a portion of their energy in the dissociation of N² into N + N. The nitrogen atoms will attach to oxygen atoms to form NOx. Auroral HILDCAA ~10 -100 kev energy particles will only penetrate to depths of ~75 km above the surface of the Earth. Solar energetic particles with greater kinetic energies can penetrate lower into the atmosphere to ~50 to 60 km. If there is a polar vortex, this vortex can "entrain" the NOx molecules and atmospheric diffusion can bring them down to lower altitudes over months time duration. The NOx can act as a catalyst in the destruction of ozone.

829

830 One interesting consequence of extreme ICME shocks is that one would expect extreme Mach numbers to lead to both extreme SEP fluences and also extremely high energies. The former will 831 lead to greater production of NOx at the polar regions and the latter to deeper penetration and thus 832 less loss of NOx as they diffuse downward. Alternatively there is a scenario where radiation belt 833 834 "killer" relativistic electrons can play an important role. If there are large solar polar coronal holes 835 like in 1973-1975, HSSs could produce extremely intense and energetic relativistic electrons. Shocks and HPS impingements on the magnetosphere could cause loss of the electrons to the lower 836 atmosphere. This magnetospheric energy pumping and dumping may have important 837 consequences for NOx production. The topic of shock acceleration of energetic particles will be 838 discussed in more details in Section 4.1. 839

- 840
- 841

4. RESULTS: Interplanetary Shocks

4.1. Interplanetary Shocks and Energetic Charged Particle Acceleration

844

842

Interplanetary shocks have a variety of effects both in interplanetary space and to the Earth's 845 magnetosphere. It is important for the reader to note that these Space Weather phenomena can 846 847 occur with or without the occurrence of magnetic storms. Shock and magnetic storm intensities are related but only in a loose sense. The physical mechanism for energy transfer for different 848 phenomena is different. As one example, interplanetary shock acceleration of energetic charged 849 particles (called "solar cosmic rays") are due to an ICME ram energy driving the fast shocks which 850 then transfers energy to the charged particles. Solar cosmic ray events can occur with or without 851 magnetic storms (Halford et al. 2015, 2016; Mays et al., 2015; Foster et al. 2015). Some of the 852 major extreme Space Weather topics will be addressed below. 853

854

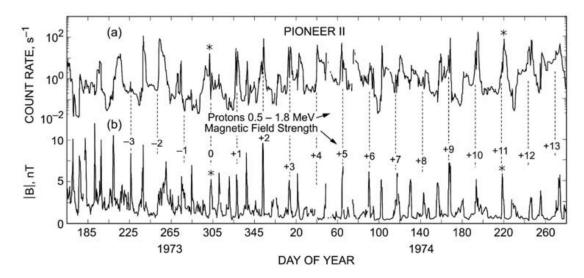


Figure 16. Energetic ~0.5 to 1.8 MeV protons accelerated by interplanetary fast forward and fast
reverse shocks. Taken from Tsurutani et al. (1982).

858

855

Acceleration of energetic particles in deep space was discovered by Pioneer 11 energetic particle scientists (McDonald et al., 1976; Barnes and Simpson, 1976; Pesses et al., 1978, 1979; Van Hollebeke et al., 1978; Christon and Simpson, 1979). As the Pioneer 11 spacecraft traveled away from the Sun, it was found that the particle fluences kept increasing, contrary to the concept of adiabatic deceleration. The interplanetary magnetic field magnitude decreases with increasing distance from the Sun, so one would expect energetic particle deceleration with distance. Thus it was clear to scientists that something must be accelerating these particles in the interplanetary
medium. Figure 16 shows one channel of the Pioneer 11 energetic proton count rate, ~0.5 to 1.8
MeV (see Simpson et al., 1974). The bottom panel is the Pioneer 11 magnetic field (Smith et al.,
1975). Some of the peak magnetic fields are numbered, corresponding to a ~25 day recurrence of
these magnetic structures. The magnetic magnitude structures are identified as well-developed
CIRs (see Smith and Wolfe, 1976), bounded by fast forward and fast reverse shocks.

871

Tsurutani et al. (1982) identified the shocks and showed statistically that both forward and reverse shocks were related to proton peak count rates. One of the results, which still remains to be solved, is that the proton peaks were generally higher at the reverse shocks. What is the mechanism for greater particle acceleration at fast reverse shocks? This has received little attention and should be addressed in the future.

877

Reames (1999) has argued that fast forward shocks upstream (anti-solarward) of ICMEs are the 878 most important phenomenon for the acceleration of "solar flare" particle events. Particle 879 880 acceleration occurs throughout interplanetary space from near the Sun (where the shocks first form) to 1 AU and beyond as the shocks propagate through the heliosphere. Studies of this 881 acceleration as a function of longitudinal distance away from magnetic connection to the flare site 882 (this gives the variations in the shock normal angle and thus dominant mechanism for acceleration 883 884 - see Lee (2017) and references therein) have been done by Lario (2012). The features of the energetic particles in space have different characteristics depending on these distances and the 885 portion and characteristics of the shock that the particles are being accelerated from. 886

887

Forecasting the solar flare/interplanetary shock features such as the fluence, energy, spectra and
composition will require knowledge of the upstream seed population, upstream (and downstream)
waves, and shock properties such as the magnetosonic Mach number and shock normal angle.
This is a very difficult task since knowledge of the entire slow solar wind plasma from the Sun to
1 AU will be required for accurate forecasting. But again, the Parker Solar Probe and Solar Orbiter
may help in developing two points of measurements for modeling of specific events.

A more fundamental problem is why measured interplanetary fast forward shock Mach numbers 895 at 1 AU are so low? As previously mentioned, Tsurutani and Lin (1985) from ISEE-3 896 897 measurements have found that at 1 AU, the measured magnetosonic Mach numbers were typically only 1 to 3. Tsurutani et al (2014) have identified a shock with Mach number ~9 and Riley et al. 898 (2016) has identified an event with magnetosonic Mach number ~28. The latter event was 899 associated with the SOHO 2012 extreme ICME which did not impact the Earth's magnetosphere. 900 The above are extreme events and little or no events have been detected with intermediate values. 901 A study that is needed is to determine shock Mach numbers at different distances from the Sun. 902 These will give clues as to why 1 AU shock Mach numbers are so low. Is the acceleration of 903 energetic particles causing the dissipation of shock energy as they propagate from the Sun to 1 904 AU? Data from Parker Solar Probe, Solar Orbiter and ACE could be useful in this regard. 905

906

In a related issue, the use of STEREO imaging and MHD modeling could be useful to determine
the mass loading of ICME sheaths in causing the deceleration of the ICMEs. This deceleration
will also lower the Mach number of the shocks.

910

911 4.2. Extreme Interplanetary Shocks and Extreme Interplanetary Energetic Particle 912 Acceleration

913

914 Tsurutani and Lakhina (2014) have shown from simple calculations that for CMEs have extreme speeds of 3,000 km/s (Yashiro et al., 2004; Gopalswamy, 2011), shock Mach numbers of ~45 are 915 916 possible. These Mach numbers are getting close to expected supernova shock values. Why haven't such strong shocks been observed at 1 AU? If such events are possible, what would the energetic 917 918 particle fluences be? Experts on shock particle acceleration will hopefully answer this complex 919 question. It is well known that such solar flare particles enter the polar regions of the Earth's atmosphere and cause radio blackouts. Will extreme solar flare particle fluence precipitation cause 920 different ionospheric effects other than those known today? This latter question might be addressed 921 922 by ionospheric modelers.

923

It should be noted that although Space Weather is a chain of events/phenomena going from theSun to interplanetary space to the magnetosphere, ionosphere and atmosphere, there is often not a

direct link between different facets of Space Weather. Each feature of Space Weather should be 926 examined separately and it should not be assumed that an extreme flare will cause extreme 927 928 cascading Space Weather phenomena. We use solar flare particles as an example for the reader. The largest solar flare particle event in the space age occurred in August 1972 (Dryer et al., 1976 929 and references therein). However, there was no magnetic storm caused by the MC impact onto 930 the Earth's magnetosphere (the MC field was directed almost entirely northward, leading to 931 geomagnetic quiet: Tsurutani et al. 1992b). On the other hand, the largest magnetic storm on 932 record is the "Carrington" storm. The storm intensity will be discussed further in Section 7.0. 933 There is little or no evidence of large solar flare particle fluences in Greenland ice core data from 934 that event (Wolff et al., 2012; Schrijver et al., 2012). Usoskin and Kovfaltsov (2012) examining 935 historical proxy data (¹⁴C and ¹⁰Be) also find a lack of any signature associated with the Carrington 936 flare. Although this is an extreme example, it is useful to mention it to illustrate the point: different 937 facets of Space Weather may have only loose correlations with other facets. 938

939

An area that has received a lot of attention lately is ancient solar flares. Miyake et al. (2012) discovered an anomalous 12% rapid increase in ¹⁴C content from 774 to 775 AD in Japanese cedar tree rings. Usoskin et al. (2013) have argued that such an extreme radiation event could be associated with an extreme solar energetic particle event (or a sequence of events). The latter authors estimated that the fluence of > 30 MeV particles was ~4.5 x 10¹⁰ cm⁻². Could such an extreme particle event be associated with an extremely strong interplanetary shock or instead series of strong shocks? Space Weather scientists are currently working on this problem.

947

948 4.3. Interplanetary shocks, dayside aurora and nightside substorms

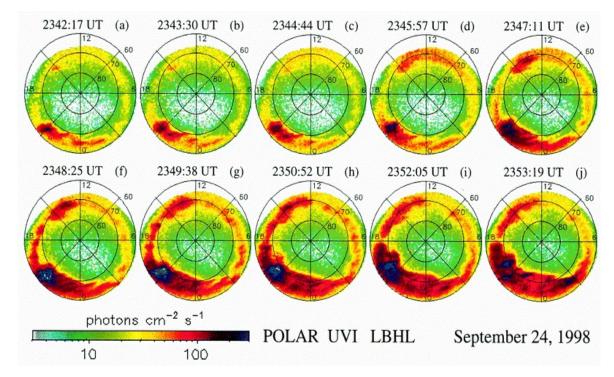


Figure 17. Interplanetary shocks cause dayside auroras and trigger nightside substorms. The
images show the northern polar views of polar cap and auroral zones taken in UV wavelengths.
Local noon is at the top in each image. The Figure is taken from Zhou and Tsurutani (2001).

950

Interplanetary shocks can trigger the precipitation of energetic ~10 to 100 keV electrons into the 955 956 auroral ionosphere (Halford et al. 2015). In fact, low energy (E < 10 keV) electron precipitation 957 can occur as well. Figure 17 shows interplanetary shock impingement auroral UV effects for an event on September 23, 1998. Each image has the north pole at the center and 60° magnetic 958 959 latitude (MLAT) shown at the outer edge. Noon is at the top and dawn is at the right. The cadence 960 between images is ~1 min 13 s. From ACE measurements and propagation calculations it is known that the fast forward shock arrived the magnetosphere between the images c), 2344:44 UT and d), 961 2345:47 UT. What is apparent in panel d) is the sudden appearance of aurora on the dayside (Zhou 962 and Tsurutani et al., 1999). From further analyses of these shock auroral events, Zhou et al. (2003) 963 have shown that magnetospheric compression of preexisting ~10 to 100 keV electrons and protons 964 will generate both electromagnetic electron and proton plasma waves and diffuse auroras (as 965 discussed previously). Also noted were the generation of field-aligned dayside currents. 966 Compression of the magnetosphere will generate Alfvén waves (Haerendel, 1994) which will 967

propagate along the magnetic field lines down to the ionosphere. Wave damping could providesubstantial ionospheric heating.

970

971 The mechanism for energy transfer from the solar wind to the magnetosphere is the absorption of the solar wind ram energy. Dayside auroras occur with shock impingement irrespective of the 972 interplanetary magnetic field Bz direction. Another possible mechanism for the dayside aurora 973 not mentioned above are double layers above the ionosphere (Carlson et al., 1998) with the 974 acceleration of ~ 1 to 10 keV electrons and the formation of discrete dayside auroras. What is the 975 relative importance of these three different auroral energy mechanisms? This would be an 976 977 excellent topic for the SWARM and Arase satellite missions. Coordinated ground measurements would be useful. 978

979

Returning back to Figure 17 panel e) 2347:11UT, there is a substorm intensification centered at ~2100 magnetic local time (MLT). The substorm further intensification and expansion can be
noted in the sequence of images. Interplanetary shock triggering of substorms has been known to
occur before the advent of imaging polar orbiting spacecraft (Heppner, 1955; Akasofu and Chao,
1980). The AE index had been used to identify these events.

985

An important fundamental question for substorm physics that has existed for a long time, is where 986 987 in the tail/magnetosphere does the substorm get initiated and by what physical mechanism? Is it reconnection or plasma instabilities (Akasofu, 1972; Hones, 1979; Lui et al., 1991; Lui, 1996; 988 989 Baker et al., 1996; Lakhina, 2000)? Where does the energy come from, recent percurser solar wind inputs as suggested by Zhou and Tsurutani (1999), or stored tail energy or even possibly 990 991 solar wind ram energy (see Hajra and Tsurutani, 2018b)? The rapid response of the magnetosphere 992 to the shock should limit the downstream location of the substorm initiation point. It should be noted that there are probably several different mechanisms for causing substorms. Although this 993 is only the shock triggering case, knowledge of this may help understand other cases, if they are 994 995 indeed different. The MMS mission will be ideally suited for addressing this question in the tail phase of the mission. 996

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998 4.4. Interplanetary shocks and the formation of new radiation belts

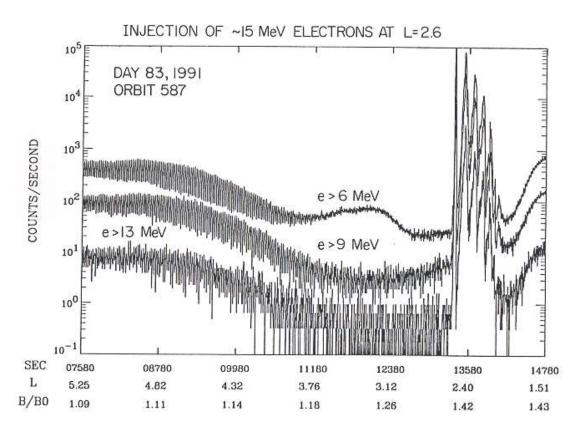


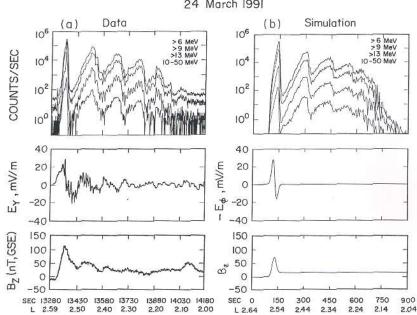
Figure 18. Shock creation of a new relativistic electron radiation belt in the magnetosphere. The
three energy channel plots show an abrupt increase in flux at the same time. Recurrence of the flux
with decreasing amplitude occurs at least 4 more times. Figure taken from Blake et al. (1992).

1004

1000

Figure 18 shows evidence of a new "radiation belt" triggered by a strong interplanetary shock. The Figure shows three traces, E > 6 MeV, > 9 MeV and > 13 MeV fluences. At the time of the strong and sudden increase in all energy fluxes, the spacecraft was at L = 2.6. This is time-coincident with the shock impingement upon the magnetosphere (not shown). With increasing time, a second, then third, etc., electron flux pulse appears. These are "drift echoes" where the energetic electron "cloud" has gradient drifted around the magnetosphere to return to the satellite location once again.

1012 4.4.1. What is the mechanism to create this new radiation belt?



Energetic Electron, Electric and Magnetic Fields 24 March 1991

1014

Figure 19. An expanded version of the relativistic electron pulse and measured magnetospheric
electric field and magnetic field Bz on the left and simulation results on the right. Taken from Li
et al. (1993).

1018

1019 The left-hand column of Figure 19 shows an expanded version of Figure 16 on the top with the 1020 addition of the ~10 to 50 MeV count rate channel included. Next is the d.c. electric field in the Y 1021 direction, and magnetospheric Bz on the bottom. The right-hand column bottom shows a magnetic 1022 pulse input into the system. This generates a time varying azimuthal electric field (right middle) 1023 and the relativistic electron flux at the top right.

1024

1031

Using the input of a single magnetospheric magnetic pulse into the magnetosphere, Li et al. (1993) simulated the acceleration and injection of E > 40 MeV electrons. What is interesting is that the origin of the electrons was L > 6 with energies of only a few MeV. The reader should read Li et al. (1993) for more details concerning the simulation and results. Related works on acceleration of magnetospheric electrons by shock impact on the magnetosphere can be found in Wygant et al. (1994), Kellerman and Shprits, 2012; Kellerman et al., 2014; Foster et al. (2015). 1032 How strong was the interplanetary shock? There was not any spacecraft upstream of the Earth at the time of the event, so no measurements of shock strength can be made. However, Araki (2014) 1033 has noted that this shock caused a SI⁺ of magnitude 202 nT. This is the second largest SI⁺ in 1034 recorded history. In Tsurutani and Lakhina (2014) with the assumption of a 3,000 km/s CME and 1035 only a 10% deceleration from the Sun to 1 AU, they estimated a maximum SI⁺ of 234 nT under 1036 normal conditions. Could this 1991 shock strength have been close to the M =45 estimate 1037 mentioned earlier? One cannot really tell for sure because the shock Mach number strongly 1038 depends on the upstream plasma conditions, which can only be estimated in this case. 1039

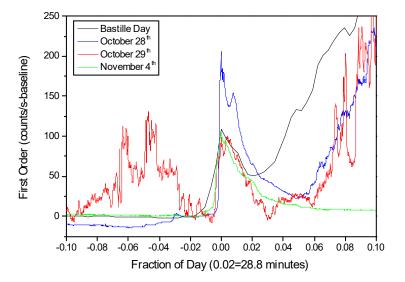
1040

1041 Tsurutani and Lakhina (2014) estimated a dB/dt six times larger than the one used in the Li et al.

1042 (1993) modeling. What would a maximum dB/dt cause in a new radiation belt formation? How

1043 much greater could the relativistic electron energy and flux become?

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- 1045
- 5. RESULTS: Solar Flares and Ionospheric Total Electron Content
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1047

1048 Figure 20. The largest solar EUV flare in recorded history, October 28, 2003. Taken from1049 Tsurutani et al. (2005b).

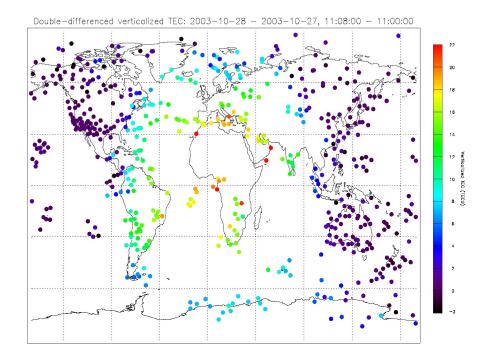
Figure 20 shows four well-known solar X-ray flare events taken in a narrow band 26-34 nm EUV 1051 spectrum. The four flare events are the Bastille day (July 14, 2000) flare and three "Halloween" 1052 1053 flares occurring on October 28, 29 and November 4, 2003. The narrow band EUV spectrum is shown because some of the flare X-ray and EUV fluxes were so intense that most spacecraft 1054 detectors became saturated (all except the SOHO SEM narrowband EUV detector). The X-ray 1055 1056 flare intensities could only be estimated from fitting techniques for the saturated data. Here we use the narrow band channel of the SOHO SEM detector where the four above mentioned flares 1057 were not saturated. The four flare count rate profiles were aligned so that they start at time zero. 1058 What is particularly remarkable is that the October 28, 2003 flare has the highest EUV peak 1059 intensity of all four events and was greater by a factor of ~ 2 . This is the most intense EUV solar 1060 flare in recorded history. 1061

1062

After each flare reached a peak intensity and then decreased in count rate, there was often a following increase in count rate. This is particularly notable in the Bastille day (black trace) flare. This increase is contamination due to delayed energetic electrons propagating through space along interplanetary magnetic field lines reaching the spacecraft later in time. The November 4 flare (green) did not have such contamination because it was a limb flare and presumably (magnetic) connection from the flare site to the spacecraft did not occur.

1069

1070 NOAA personnel have estimated the November 4 flare had an intensity of ~X28. This event
1071 saturated the detector so this is a conservative estimate. Thomson et al. (2004) using a different
1072 technique estimated a value of X45 for this event. NOAA has estimated that the October 28 flare
1073 as ~X17. However, in EUV fluxes, the October 28 flare was the most intense by far.

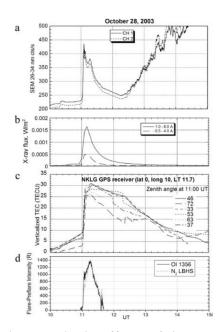


1075

Figure 21. The global TEC during the October 28, 2003 solar flare. The scale is given on the right.The figure is taken from Tsurutani et al. (2005b).

1078

Figure 21 shows the global total electron content (TEC) in the ionosphere after the October 28, 1079 2003 solar flare. The map has been adjusted so Africa, the subsolar point, is in the center of the 1080 Figure. The top and bottom of the plot correspond to the Earth's polar regions and the left side 1081 and right-side edges local midnight. The enhanced TEC area corresponds to the sunlit hemisphere. 1082 At the subsolar point the TEC enhancement was ~30%. This is the record for flare-induced 1083 ionospheric TEC (Tsurutani et al., 2005b). The nightside hemisphere shows no TEC enhancement, 1084 as expected. The TEC enhancement is due to ionization by X-rays, EUV photons and UV photons, 1085 all part of the solar flare spectrum. 1086



1088

Figure 22. The ionospheric and atmospheric effects of the October 28, 2003 solar flare. Takenfrom Tsurutani et al. (2005b).

1091

1092 Figure 22 shows the effects of the October 28 solar flare. From top to bottom are the SOHO SEM 1093 EUV count rate, the GOES X-ray flux, the Libreville, Gabon TEC data and the GUVI O and N² dayglow data. It is noted that the flare profiles in EUV and X-rays last ~tens of mins and are similar 1094 1095 in profile to each other. However, the TEC over Libreville last hours. This is due to the EUV portion of the solar flare. These photons deposit their energy at ~170 to 220 km altitude where the 1096 recombination time scales are ~ 3 to 4 hours. Thus, EUV photon ionization has longer lasting 1097 ionospheric TEC effects. The X-ray portion of the solar flare spectrum deposit their energy in the 1098 \sim 80 to 100 km altitude range where the recombination time scale is tens of min (Thomson et al., 1099 2005, and references therein). This solar flare example is one where solar energy (photons) goes 1100 directly from the Sun to the Earth's ionosphere (previously shown examples such as with ICMEs 1101 and sheaths with magnetic storms have solar plasma and magnetic field energy transfer from the 1102 Sun to interplanetary space to the magnetosphere). 1103

1104

Some future Space Weather problems are to be able to predict the solar flare energy spectrum given the underlying solar flare surrounding geometry. We have indicated that the 28 October 2003 and the 4 November 2003 flares were significantly different spectra-wise. The question is why and how often does this happen? Ionospheric satellites like the Constellation Observing

System for Meteorology, Ionosphere and Climate-2 (COSMIC II) and SWARM can probe for detailed altitude dependence of ionization to work backwards to attempt to identify what energy spectrum would cause the layered ionization detected. Solar flare data taken by instrumentation onboard the RHESSI and EVE/SDO spacecraft would be useful to understand the details of flare spectral differences. Other questions are how large can X-ray and EUV flares become? What will their ionospheric effects be?

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- 1116

6. RESULTS: Magnetic Storms and Prompt Penetrating Electric Fields (PPEFs)

1117

For substorms, PPEFs occurring in the ionosphere have been known for a long time, since the beginning of the space age (Nishida and Jacobs, 1962; Obayashi, 1967; Nishida, 1968; Kelley et al. 1979, 2003). In the last 10 years lots of work has been done on PPEFs during magnetic storms. Why didn't people look at storms earlier? Because it was theoretically predicted that the PPEFs would be shielded out. Why doesn't shielding happen? This is a very good question for workers in the field. Right now we don't know the answer.

1124

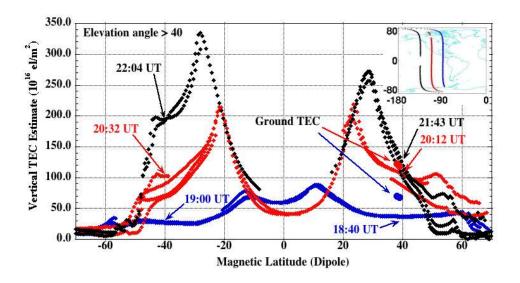


1126Figure 23. Dayside (near) equatorial ionization anomalies (EIAs) located $\sim \pm 10^{\circ}$ on both sides of1127the magnetic equator. The local Earth magnetic field is shown in this schematic. The figure is1128taken from Anderson et al. (1996).

1129

Figure 23 show the geometry of the Earth's magnetic field near the magnetic equator. It is parallel to the Earth's surface at the equator but where the equatorial ionization anomalies (EIAs) are located, the magnetic field is slanted. The EIAs are standardly located at $\sim \pm 10^{\circ}$ MLAT in the dayside ionosphere. With red arrows, the figure also shows the direction of E x B convection. At exactly the magnetic equator, E x B is in a purely upward direction. At the positions of the EIAs, the E x B direction is both upward and to higher absolute magnetic latitudes.

1136



1137

Figure 24. Three passes of the CHAMP satellite measuring the near equatorial and midlatitude
TEC during October 30, 2003. CHAMP was at an altitude of ~430 km, so the TEC measured was
the total thermal electron column density above that altitude. The figure is taken from Mannucci
et al. (2005).

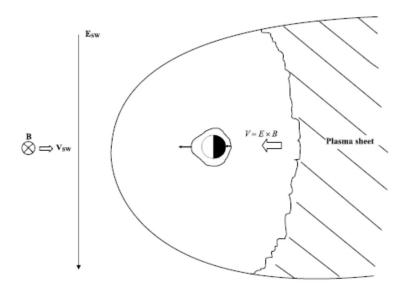
1142

Figure 24 shows three passes of the CHAMP satellite in polar orbit with an altitude of ~430 km at the near equatorial crossings. The three orbits are given in the upper right-hand portion of the figure. The first TEC trace shown in blue is before the onset of the October 30-31 magnetic storm. The two EIAs are identified by the TEC enhancements at ~ $\pm 10^{\circ}$ with peak intensities of ~80 TEC units. In the next pass (red trace), the EIAs are located at ~ $\pm 21^{\circ}$ MLAT and the peak intensities are ~ 210 TEC units. During the next satellite pass, the EIAs are located near $\pm 30^{\circ}$ and the TEC values become as high as ~330 TEC units. This "movement" of the EIAs to higher magnetic latitudes can be explained by a convective electric field (PPEF) in the east-west direction causing an uplift to both EIAs by E x B convection as explained earlier associated with Figure 23. One might ask why does the TEC increase to such high values?

1153

The answer is as the PPEF removes the plasma from the ionospheric lower F region and brings it to higher altitudes where the recombination time scale is longer (hours), the Sun's EUV photons replace the plasma by photoionization of the upper atmosphere, replacing the lost plasma and thus increasing the "total electron content" of the ionosphere. This is one cause of a "positive ionospheric storm".

1159



1160

Figure 25. The interplanetary, magnetospheric and equatorial ionospheric electric fields during a
PPEF event. The figure is taken from Tsurutani et al. (2004c; 2008b).

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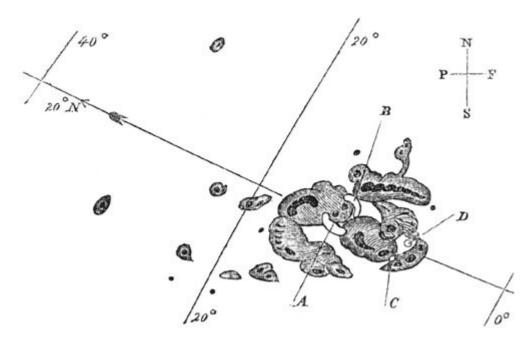
Figure 25 shows the interplanetary motional electric field for southward interplanetary Bz. The electric field will be in the dawn-to-dusk direction. When magnetic reconnection takes place in the nightside plasmasheet, the convective electric field will be in the same direction but with a reduced amplitude. This electric field brings the plasmasheet plasma into the nightside low L region magnetosphere during magnetic storms. The PPEFs penetrate into the dayside equatorial ionosphere (shown in Figure 24) and also the nightside equatorial ionosphere. However significantly different from the dayside case, the E x B convection on the nightside will bring the ionospheric plasma to lower altitudes, leading to recombination and reduction in TEC. This is one
form of a "negative ionospheric storm". See Mannucci et al. (2005, 2008) for discussions of
positive and negative ionospheric storms.

1174

There are many important questions about PPEFs which are almost always present during major 1175 magnetic storms. As previously mentioned, "why aren't the electric fields shielded out?" What is 1176 the mechanism for generating PPEFs, wave propagation from the polar ionosphere as suggested 1177 by Kikuchi and Hashimoto (2016) or a more global picture as Figure 25 and Nishida and Jacobs 1178 (1962) suggest? Figure 25 is a simple schematic. What are the real local time dependences of the 1179 PPEF? Does this vary from storm to storm, and if so, why? Why does the relative PPEF magnitude 1180 vary from one storm to the next? Again, future spacecraft and ground-based studies will be able to 1181 help answer these questions. 1182

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- 1184
- 1185

7. RESULTS: The Carrington Storm



1186

1187 Figure 26. The solar active region during the Carrington 1 September 1859 optical solar flare. The

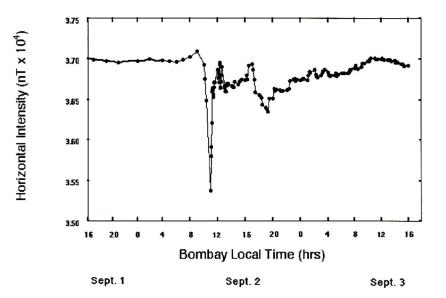
1188 figure is taken from Carrington (1859).

1189

Figure 26 is the active region (AR) that was hand-drawn by Richard Carrington. This was the source of the optical solar flare that he and Hodgson (1859) saw and reported on 1 September 1192 1859. See Cliver (2006) for a nice accounting of the observational activity taken during 1859 flare
1193 interval and Kimball (1960) for an accounting of the aurora during the storm. The optical part of
1194 the flare lasted only ~ 5 min. Some ~17 hr 40 min later a magnetic storm occurred at Earth
1195 (Carrington, 1859).

1196

1859 Bombay Magnetic Storm



1197

Figure 27. The Carrington storm detected in the Colaba, India magnetometer. The Figure is takenfrom Tsurutani et al. 2003 and Lakhina et al. 2012.

1200

1201 Figure 27 shows the H-component magnetic field taken by the Colaba magnetic observatory during the "Carrington" magnetic storm. The SI⁺ is estimated to be ~ 110 nT and the magnetic decrease 1202 1203 ~1600 nT at Colaba (Mumbai, India). The SI⁺ and storm main phase has been recently shown to be most likely caused by an upstream solar wind density of 5 particles cm⁻³ and a MC with intensity 1204 1205 ~90 nT (pointed totally southward) by Tsurutani et al. (2018a). No particularly unusual solar wind conditions are believed to have been necessary (in contrast to the original conclusions of Ngwira 1206 1207 et al., 2014). Ngwira et al. (2018) is now in accord with this more recent assessment of a normal 1208 upstream solar wind.

1209

1210 The intensity of the "Carrington" storm was estimated as Dst = -1760 nT (Tsurutani et al., 2003) 1211 based on observations of the lowest latitude of red auroras being at $\pm 23^{\circ}$ (Kimball, 1960). The 1212 storm intensity was calculated using recent theoretical expressions of magnetospheric potentials 1213 needed to convect plasma into such low latitudes. Siscoe (1979) basing his estimate on a model 1214 that treats the pressure as a constant along the magnetic flux tube came up with a value of Dst = -1215 2000 nT.

1216

1217 It should be mentioned that some researchers have taken exception with the Colaba magnetogram as an indication of ring current effects (see Comment by Akasofu and Kamide (2005) and Reply 1218 by Tsurutani et al. (2005a)). The Colaba magnetic profile is unlike those of ICME magnetic storms 1219 discussed in Sections 2.3, 2.4 and 3.1 of this paper. Several researchers have estimated the storm 1220 intensity based on the Colaba magnetogram (see articles in a special journal edited by Clauer and 1221 Siscoe, 2006; Acero et al. 2018). The Colaba data clearly show that the storm had exceptionally 1222 large geomagnetic effects, irregardless of the interpretation of the Colaba data. Possible 1223 interpretations of the Colaba profile will be discussed later in the paper. 1224

1225

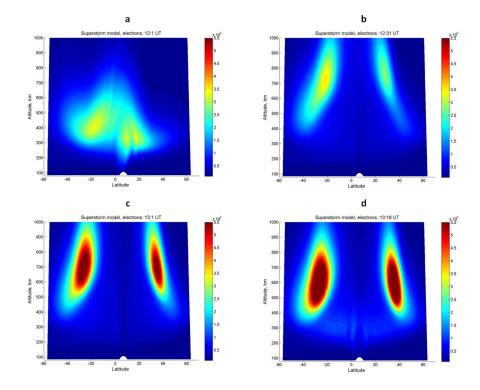
1226 The most accurate method of estimating a magnetic storm intensity is by using the latitude of the 1227 aurora. Red auroras (Stable Auroral Red or SAR arcs) are presumably an indication of the location 1228 of the plasmapause (R.M. Thorne, private communication, 2002). Kimball (1960) noted that "red 1229 glows" were detected at $\pm 23^{\circ}$ from the geomagnetic equator during the Carrington event. In 1960 1230 the term "SAR arc" was not in use, but we can assume that this was what he was reporting. At the 1231 present time, this is the most equatorward SAR arcs that have been observed (thus the most intense 1232 magnetic storm). That is until researchers find records of even lower latitude red auroras!

1233

Comments on the short duration of the recovery phase has been made by Li et al. (2006). A high-1234 1235 density filament was used to explain this unusual feature of the magnetic storm profile. Tsurutani 1236 et al. (2018a) have recently proposed another possibility. During extreme events when the storm time convection brings the plasmasheet into very low L, all of the standard ring current loss process 1237 rates will be enhanced. There will be greater Coulomb scattering, greater charge exchange loss 1238 1239 rates and greater plasma wave growth with consequential greater wave-particle pitch angle 1240 scattering and losses to the atmosphere. In Tsurutani et al. (2018a) the authors focused particularly on wave-particle interactions because the size of the loss cone will increase dramatically with 1241 1242 decreasing L. This, plus greater energetic particle compression due to the extreme inward

1243 convection, will lead to stronger loss cone/temperature anisotropy instabilities, greater wave
1244 growth and thus greater losses. This hypothesis can be easily tested by magnetospheric spacecraft
1245 observations during large magnetic storms and by magnetospheric modeling perhaps bringing
1246 some light to the unusual Colaba magnetic signature.

1247



1248

Figure 28. A model of the PPEF effects of the Carrington 1859 storm on the dayside ionosphere.
The input electric field was taken from Tsurutani et al. (2003) and the simulation was performed
using the Huba et al. (2000, 2002) SAMI2 code. The figure is taken from Tsurutani et al. (2012).

1253 7.1. The Carrington PPEF

1254

One of the concerns for extreme Space Weather in the ionosphere are extremely intense PPEFs and the daytime superfountain effect on the uplift of O⁺ ions (positive ionospheric storms). Higher ion densities in the exosphere will lead to the possibility of enhanced low altitude satellite drag. In Tsurutani et al. (2003), the authors used modern theories of the electric magnetospheric potential given by Volland (1973), Stern (1975) and Nishida (1978) to determine the electric field during

- 1260 the Carrington storm main phase. The former authors obtained an estimate of ~ 20 mV/m. They 1261 then applied this electric field in the SAMI2 model with the results shown in Figure 28.
- 1262

Figure 28 shows the SAMI2 results of the modeled dayside ionosphere with a ~20 mV/m added 1263 to the diurnal variation electric field. The quiet ionosphere is shown at the upper left. The uplift 1264 of the O^+ ions both in altitude and MLAT after ~30 min is given on the upper right panel. The 1265 maximum time that the electric field was applied was 1 hr. The ionosphere at that time is shown 1266 on the lower left. The storm time equatorial ionospheric anomalies (EIAs) are located at |MLAT| 1267 \sim 30° to 40° and an altitude of \sim 550 to 900 km for the most dense portion of the EIAs. The bottom 1268 right panel shows that the EIAs have come down in altitude but to higher latitudes ~15 min after 1269 the termination of the PPEF application. Parts of the still intense EIAs are now beyond |MLAT| > 1270 40° and now the bulk of the maximum density portion is at ~400 to 800 km altitude. 1271

1272

It was found that at altitudes of \sim 700 to 1,000 km, the O⁺ densities are predicted to be \sim 300 times 1273 that of the quiet time neutral densities. It has been also been shown by Tsurutani and Lakhina 1274 1275 (2014) that in extreme cases, the magnetospheric/ionospheric electric field can be twice as large as the Carrington storm and six times as large as the 1991 event. Even if the magnetospheric 1276 1277 radiation belt is saturated (there are other scientific papers that state that magnetospheric beta can be greater than one: Chan et al. 1994; Saitoh et al. 2014; Nishiura et al., 2015), this is a different 1278 facet of Space Weather and the electric field may not be saturated. What will be the ionospheric 1279 effects of these even larger electric fields? 1280

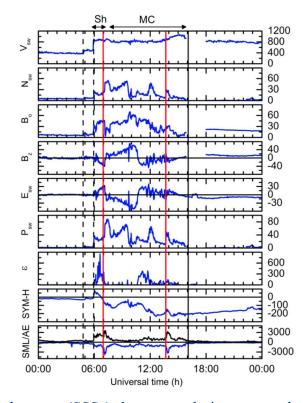
1281

A fundamental question for the future is "can the upward O⁺ ion flow drag sufficient numbers of oxygen neutrals upward so that the oxygen ions plus neutral densities are even higher still?" A short-time interval analytic calculation done by Lakhina and Tsurutani (2017) and a mini-Carrington event modeled by Deng et al. (2018) have indicated that the answer is "yes". However a full code needs to be developed and run to answer this question quantitatively. This is an interesting future problem for computer modelers.

- 1288
- 1289

8. RESULTS: Supersubstorms

Super intense substorms (supersubstorms: SSSs) appear to be externally (solar wind) triggered.
Why are they important? They might be the feature within extreme magnetic storms that cause
geomagnetically induced currents (GICs)/power outages. This hypothesis needs to be tested.



1295

Figure 29. Two supersubstorms (SSSs) that occur during a two-phase magnetic storm on 20
November 2001. The onsets of the supersubstorms are indicated by the vertical red lines. The
figure is taken from Tsurutani et al. (2015).

1299

1300 Figure 29 shows the solar wind data during an intense magnetic storm and two SSSs. From top to bottom are the solar wind speed and density, the magnetic field magnitude and Bz component, and 1301 1302 the interplanetary motional electric field, ram pressure and Akasofu epsilon parameter (Perreault 1303 and Akasofu, 1978). The bottom two parameters are the SYM-H index and the SML index (blue) 1304 and AE index (black). An initial forward shock is indicated by a vertical dashed line at \sim 0500 UT, a second shock at ~0600 UT, and the two SSS onsets by red vertical lines. The criterion for a SSS 1305 event was a SML peak value < -2500 nT (an arbitrary number, but chosen to be an extremely high 1306 value). At the top of the diagram, the sheath region is indicated by a "Sh" and the magnetic cloud 1307 1308 region by "MC". The first storm main phase is caused by southward Bz in the sheath and the

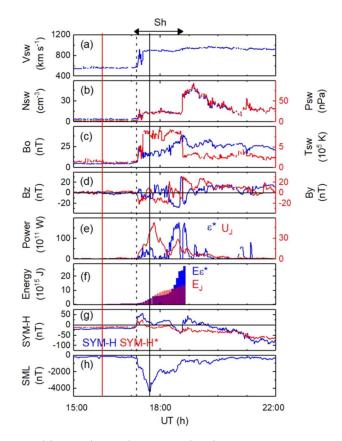
1309 second, more intense main phase by southward Bz in the MC. The interplanetary magnetic field 1310 measurement cadence is 1 min. It has been noted that the magnetosphere typically reacts to 1311 southward Bz with durations > 10 to 15 min (Tsurutani et al., 1990), so this high rate of cadence 1312 is sufficient to identify any causes of geomagnetic response.

1313

1314 It is noted that the SSS events in this case are not triggered at either of the two shocks nor do they 1315 occur during the peak negative SYM-H values of the storm main phases. However, the first SSS 1316 event is collocated with a peak Esw and a peak southward Bz of the sheath plasma. The SSS event 1317 is also collocated with a large solar wind pressure pulse which is caused by an intense solar wind 1318 density feature. The second SSS event occurred in the recovery phase of the second magnetic 1319 storm. The IMF Bz was ~0 nT. The second SSS event was associated with a solar wind pressure 1320 pulse associated with a small density enhancement.

1321

A study of SSSs from 1981 to 2012 was conducted by Hajra et al. (2016). In that study a variety
of solar wind features were found to be associated with SSS onsets. In that survey it was noted
that two SSS events were triggered by fast forward shocks. One of these events will be discussed
below.



1327

Figure 30. An SSS triggered by an interplanetary shock on 21 January 2005. The dashed vertical
line indicates a fast forward shock and the solid black line the peak intensity of the SSS event. The
figure is taken from Hajra and Tsurutani (2018b).

1331

Figure 30 shows solar wind/interplanetary parameters and geomagnetic parameters during a SSS 1332 event on 21 January 2005. From top to bottom are the solar wind speed, density and ram pressure, 1333 1334 the magnetic field magnitude and solar wind temperature (in the same panel), the IMF Bz and By components (GSM coordinates), Joule energy and the Akasofu epsilon pressure corrected 1335 parameter ε^* , the time-integrated energy input into the magnetosphere and time-integrated joule 1336 energy. The next to the bottom panel contains the SYM-H index and the pressure corrected SYM-1337 H index (SYM-H*). The bottom panel is the SML index. A dashed vertical line denotes the 1338 occurrence of a fast forward shock. A vertical solid line indicates the peak of the SSS event. 1339

1340

1341 The SSS event onset at 1711 UT coincided with a shock with magnetosonic Mach number of \sim 5.5

1342 with a shock normal angle of 81° . The high-density sheath sunward of the shock causes a SI⁺ of

1343 \sim 57 nT. The solar feature associated with this event was an X7 class flare that occurred at \sim 0700

UT January 20 (Bombardieri et al., 2008; Saldanha et al., 2008; Pérez-Peraza et al., 2009; Wang 1344 et al., 2009; Firoz et al., 2012; Bieber et al., 2013; Tan, 2013). The IMF Bz turned abruptly 1345 1346 southward at the time of the shock so this is part of the energy driving the event. When the IMF Bz turned abruptly northward at ~1738 UT, the SSS began a recovery phase. This was followed 1347 by an interplanetary solar filament (Kozyra et al., 2013), but the latter was not geoeffective in this 1348 case. This high plasma density, high magnetic field intensity feature was interpreted by Kozyra et 1349 al. (2013) as the interplanetary manifestation of the Illing and Hundhausen (1986) most sunward 1350 portion of the 3 parts of a CME discussed earlier. 1351

1352

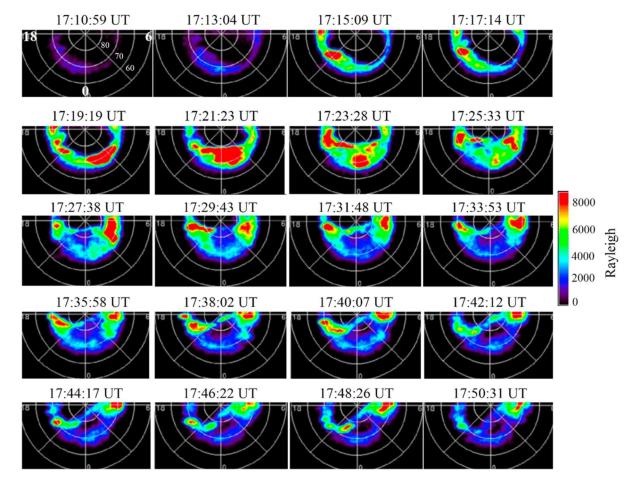




Figure 31. IMAGE-FUV images taken from ~1711 UT to ~1751 UT on January 21, 2005. These
selected auroral images correspond to the SSS event in Figure 30.

Figure 31 contains the Imager for Magnetopause-to-Aurora global Exploration (IMAGE) far
ultraviolet images for the SSS event in Figure 30. At ~1713 UT there was a small brightening at

1359 $\sim 68^{\circ}$ MLAT, which was a very small substorm or pseudobreakup (Elvey, 1957; Tsurutani et al., 1360 1998; Aikio et al., 1999). At ~1715 UT, 2 min later there was a ~2100 MLT premidnight 1361 brightening of the aurora at ~68° to 75°. At ~1719 UT the most intense aurora was located at ~68° 1362 to 72° in the postmidnight/morning sector, ~0000 to 0400 MLT. The aurora moved from a 1363 dominant premidnight location to a postmidnight location in ~4 min.

1364

By ~1726 UT there was almost no aurora of significant intensity at local midnight. At the peak of
the SML value at ~1738 UT until ~1751, there were both intense premidnight and postmidnight
auroras.

1368

The SSS event did not exhibit the Akasofu (1964) standard model of a substorm with an intensification at midnight and then expansion to the west, east and north. The changes in the location of intense auroras were too rapid to track with the IMAGE cadence of ~2 min.

1372

The SSS events display rapid auroral movements which may entail the appearance of sudden local 1373 1374 field-aligned currents. Even smooth motion of auroral forms will cause strong dB/dt effects over local ground stations. SSS events may be features that can cause GIC effects that have been 1375 1376 attributed to "magnetic storms". Thus, it might be the SSS events within magnetic storms which are the real cause. SWARM satellites are excellently instrumented spacecraft that can study the 1377 1378 SSS events in detail and possible resultant GIC effects. However as noted in the auroral images, there is a need for even higher time resolution global images than is present today. Therefore, it is 1379 1380 important to development and fly auroral UV imagers that can be operated at ~1 s cadence in intense auroral substorm events. 1381

1382

9. CONCLUSIONS: The Physics of Space Weather/ Solar Terrestrial Physics and Possible Forecasting

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We have discussed the current knowledge about various facets of the physics of Space
Weather/Solar Terrestrial Physics (our thought is that since everything in solar terrestrial physics
is interconnected, it is the same thing as space weather). There are others which we have not

- touched upon because of limited time and knowledge. The reader should know that other areas ofSpace Weather/Solar Terrestrial Physics exist which may be equally important.
- 1391

The most critical area for forecasting magnetic storms, either during solar maximum or the 1392 declining phase of the solar cycle is the prediction of the magnetic field Bz and the speed of the 1393 convected fields at 1 AU. For CME/MC storms (primarily during solar maximum), this is 1394 identifying MC Bz fields near the Sun and understanding the evolution of the MC as it propagates 1395 from the Sun to Earth. This major challenge will be applicable for the prediction of extreme 1396 magnetic storms and hopefully great progress will be made in the next 5 to 10 years. It was shown 1397 that for simple MCs for extreme storms one need to focus on events where the transit time from 1398 the Sun to the Earth is less than ~24 hours. 1399

1400

For sheaths upstream of ICMEs during solar maximum and CIRs during the declining phase (CIRs are double sheath structures), the problem is different. Detailed knowledge of the slow solar wind in the space between the Sun and Earth are needed to accurately describe and predict the IMF Bz that impacts the Earth. So far little work has been applied towards predicting the slow solar wind (plus verification). Effort needs to be placed in this area to be able to forecast intense to moderate magnetic storms. It was shown that sheath magnetic fields are extremely important for the generation of super intense (Dst < -250 nT) magnetic storms (Meng et al., 2019).

1408

A great deal of knowledge presently exists for establishing SEP events, those energetic particles associated with acceleration at ICME shock fronts (see Luhmann et al., 2017). What is needed for better forecasting is to understand the Mach number of the shocks, the shock normal angles and possibly upstream "seed" particles. The upstream seed particle population is similar to the sheath Bz problem in that this component of the slow solar wind needs to be modeled carefully and accurately. Three spacecraft in the solar wind at different distances from the Sun should help a lot.

1416

1417 The appearance of HSSs at 1 AU is a very tractable problem. That is if the coronal hole boundaries
1418 in the photosphere can be established firmly and the HSS propagation to 1 AU can be done
1419 accurately. However, the most difficult task again is the IMF Bz. If Alfvén waves are generated

in the interplanetary medium, this will make the task even more difficult. One solution is to
measure the interplanetary magnetic field at 1 AU and use filtering techniques (Guarnieri et al.
2018) or again have large apogee Earth orbiters like the IMP-8 spacecraft again. Another
possibility is developing some type of statistical IMF Bz generator. Of course, this technique will
only give a ~30 min to 1 hr advanced warning.

1425

Predicting the interplanetary shock Mach numbers and ram pressure jumps will allow
foreknowledge of new radiation belt formation, SI⁺ effects and magnetospheric and ionospheric
dB/dt effects. Dayside auroral intensities and nightside substorm triggering will also be enhanced
by predicting incoming shocks.

1430

1431 Several spacecraft missions have been mentioned in relationship to some forecasting problems. However, the reader should note that the missions and/or their data alone will not solve these 1432 1433 problems. It will be the scientists either on these missions or perhaps totally independent scientists who will make the most progress on these problems. An example is magnetic storms caused by 1434 1435 interplanetary shocks/sheaths and CIRs. How long will it take scientists to be able to accurately forecast the time of occurrence of the storm (the easiest part) and the intensity (the hardest part)? 1436 1437 Here we will not make an estimate of how long this will take. Shock acceleration of solar flare particles is clearly a fundamental part of Space Weather. How long will scientists take to be able 1438 1439 to predict the fluence and spectral shape at a variety of distances away from the Sun? This is a fundamental problem which space agencies are not currently directly addressing. 1440

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- 1442

10. FINAL COMMENTS

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A great amount of effort has been put into developing Space Weather models with the appropriate physics and chemistry included. Some models even use solar and solar wind data and geomagnetic indices that might be useful for short time-duration predictions (Gopalswamy et al., 2001; Srivastava, 2005; Cho et al., 2010; Kim et al., 2010; Kim et al., 2014; Schrijver et al., 2015; Savani et al., 2015). However, in most cases, the usefulness of such models for predictive purposes has not been independently and objectively tested. This needs to be done so that missing physics and chemistry can be applied. When done (testing), surprises might result. It is now being realized

- that not only the predictability of various models need improvement, but also the level of
 uncertainty of prediction needs to be assessed as well (Knipp et al., 2018; Savani et al., 2017).
- CME propagation through the interplanetary medium using ENLIL-based codes are making good progress in estimating arrival times of ICMEs at 1 AU and have had varying success in predicting the solar wind parameters as well (Falkenberg et al., 2010; Davis et al., 2011; Pizzo et al. 2015; Jackson et al., 2015; Jian et al. 2015, 2016). However, the fundamental issue of space weather prediction for magnetic storms is the direction and intensity of the magnetic field both in the MC and upstream sheath. These topics still remain a challenge.
- 1460

Another new approach, the application of machine learning algorithms, is quite hopeful. For this application, the physics and chemistry need not be known to be applied. Rather the reverse, finding good correlations between solar and interplanetary parameters and magnetospheric observations (for magnetic storms as an example) could lead to a better understanding of the physics, the topic of this paper. But again, one should test these approaches and carefully and objectively assess their accuracy and reliability in making predictions (see Wing et al., 2005, 2016; Reikard, 2015, 2018).

1468

1469 The best test for proving that workers in Space Weather understand all of the underlying physics 1470 and/or the machine learning algorithm is robust is to use the program on a new event and see how 1471 well it does. This should be done by independent researchers like the people at CCMC at the 1472 Goddard Space Research Center, Greenbelt Maryland and other facilities.

1473

We have one final comment on a third type of approach at predicting Space Weather. For atmospheric weather forecasts, the experts downselect to ~25 of their best codes, and run each of the codes with the same input data (Yun et al., 2005; Ruiz et al., 2009; Ghosh and Krishnamurti 2018). The codes produce ~25 different predictions. The weather service uses the average of the values. Why this scheme works reasonably well is not understood. This may be the final path of Space Weather forecasting.

Our hope is that the paper is stimulating to the reader in a positive sense: that they will be energized to attack some of the interesting problems in our field of Space Weather. On the other hand, if the reader finds statements/topics that they disagree with, please send us email comments and we will try to answer them the best that we can. And if you have disagreements that should see print, Nonlinear Processes in Geophysics has a "Comment" and "Reply" format for discussions of this type.

1487 1488

- 11. GLOSSARY
- Partially taken from: *"From the Sun: Auroras, Magnetic Storms, Solar Flares, Cosmic Rays"*(Suess and Tsurutani, 1998, AGU Press)
- 1491

Adiabatic Invariant: In a nearly collisionless, ionized gas, electrically charged particles orbit 1492 around magnetic lines of force. Certain physical quantities are approximately constant for slow 1493 1494 (adiabatic) changes of the magnetic field in time or in space and these quantities are called *adiabatic invariants*. For example, the magnetic moment of a charged particle, $\mu=mV_{\perp}^{2}/(2B)$, 1495 is such a constant where V_{\perp} is the velocity of the particle perpendicular to the magnetic field, 1496 1497 B is the magnetic field strength, and m is the particle mass. In a converging field such as in approaching the pole of a dipole magnetic, the field strength increases and therefore V_{\perp} 1498 1499 increases as well because µ has to remain constant.

- Aeronomy: The science of the (upper) regions of atmospheres, those regions where dissociationof molecules and ionization are present.
- Alfvén Wave (magnetohydrodynamic shear wave): A transverse wave in magnetized plasma
 characterized by a change of direction of the magnetic field with no change in either the
 intensity of the field or the plasma density.
- Anisotropic Plasma: A Plasma whose properties vary with direction relative to the ambient
 magnetic field direction. This can be due, for example, to the presence of a magnetic or electric
 field. See also Isotropic Plasma; Plasma.
- 1508 Arase satellite, formerly called Exploration of energization and Radiation in Geospace or
- **ERG**: a scientific satellite developed by the Institute of Space and Astonautical Science (ISAS)
- 1510 of the Japanese Aerospace Exploration Agency (JAXA) to study the Van Allen radiation belts.
- **1511** Astronomical Unit (AU): The mean radius of the Earth's orbit, 1.496×10^{13} cm.

Aurora: A visual phenomenon that occurs mainly in the high-latitude night sky. Auroras occur 1512 within a band of latitudes known as the auroral oval, the location of which is dependent on the 1513 intensity of geomagnetic activity. Auroras are a result of collisions between precipitating 1514 charged particles (mostly electrons) and atmospheric atoms and molecules, exciting the 1515 atmospheric constituents. The charged particles come from the outer parts of the magnetosphere 1516 and guided by the geomagnetic field. Each gas (oxygen and nitrogen molecules and atoms) 1517 emits its own characteristic radiation when bombarded by the precipitating particles. Since the 1518 atmospheric composition varies with altitude, and the faster precipitating particles penetrate 1519 deeper into the atmosphere, certain auroral colors originate preferentially from certain heights 1520 in the sky. The auroral altitude range is 80 to 500 km, but typical auroras occur 90 to 250 km 1521 above the ground. The color of the typical aurora is yellow-green, from a specific transition line 1522 1523 of atomic oxygen. Auroral light from lower levels in the atmosphere is dominated by blue and red bands from molecular nitrogen and molecular oxygen. Above 250 km, auroral light is 1524 characterized by a red spectral line of atomic oxygen. To an observer on the ground, the 1525 combined light of these three fluctuating, primary colors produces an extraordinary visual 1526 1527 display. Auroras in the Northern Hemisphere are called the aurora borealis or "northern lights". Auroras in the Southern Hemisphere are called aurora australis. The patterns and forms of the 1528 aurora include quiescent "arcs", rapidly moving "rays" and "curtains", "patches", and "veils". 1529

- **1530** Auroral Electrojet (AE): See Electrojet.
- Auroral Oval: An elliptical band around each geomagnetic pole ranging from about 75 degrees
 magnetic latitude at local noon to about 67 degrees magnetic latitude at midnight under average
 conditions. It is the locus of those locations of the maximum occurrence of auroras, and widens
 to both higher and lower latitudes during the expansion phase of a magnetic substorm.
- **Beta** (e.g., low-beta plasma): The ratio of the thermal pressure to the magnetic 'pressure' in a plasma p/ ($B^2/(8\pi)$) in centimeter-gram-second (c.g.s.).
- 1537 Bow Shock (Earth, heliosphere): A collisionless shock wave in front of the magnetosphere arising 1538 from the interaction of the supersonic solar wind with the Earth's magnetic field. An analogous 1539 shock is the heliospheric bow shock which exists in front of the heliosphere and is due to the 1540 interaction of the interactellan wind with the color wind and the interaction respective field.

1541 Charge Exchange: An interaction between a charged particle and a neutral atom wherein the
1542 charged particle becomes neutral and the neutral particle becomes charged through the
1543 exchange of an electron.

1544 **Cloud** (magnetic): see Magnetic Cloud.

1545 Collisional (de-) Excitation: Excitation of an atom or molecule to a higher energy state due to a
1546 collision with another atom, molecule, or ion. The higher energy state generally refers to
1547 electrons in higher energy around atoms. Deexcitation is the reduction of a higher electron
1548 energy state to a lower one, usually accomplished by a collision with another atom, molecule
1549 or ion.

1550 Convection (magnetospheric, plasma, thermal): The bulk transport of plasma (or gas) from one 1551 place to another, in response to mechanical forces (for example, viscous interaction with the 1552 solar wind) or electromagnetic forces. Thermal convection, due to heating from below and the 1553 gravitational field, is what drives convection inside the Sun. Magnetospheric convection is 1554 driven by the dragging of the Earth's magnetic field and plasma together by the solar wind when 1555 the magnetic field becomes attached to the magnetic field in the solar wind.

- 1556 Coriolis Force: In the frame of a rotating body (such as the Earth), a force due to the bodily 1557 rotation. All bodies that are not acted upon by some force have the tendency to remain in a state 1558 of rest or of uniform rectilinear motion (Newton's First Law) so that this force is called a 1559 "fictitious" forces. It is a consequence of the continuous acceleration which must be applied to 1560 keep a body at rest in a rotating frame of reference.
- **1561 Corona**: The outermost layer of the solar atmosphere, characterized by low densities ($<10^9$ cm⁻³ **1562** or 10^{15} m⁻³) and high temperatures ($>10^6$ K).

1563 Coronal Hole: An extended region of the solar corona characterized by exceptionally low density 1564 and in a unipolar photospheric magnetic field having "open" magnetic field topology. Coronal 1565 holes are largest and most stable at or near the solar poles, and are a source of high speed (700-1566 800 km/s) solar wind. Coronal holes are visible in several wavelengths, most notably solar x-1567 rays visible only from space, but also in the He 1083 nm line which is detectable from the 1568 surface of the Earth. In soft x-ray images (photon energy of ~0.1-1.0 keV or a wavelength of 1569 10-100 Å), these regions are dark, thus the name "holes". 1570 Coronal Mass Ejection (CME): A transient outflow of plasma from or through the solar corona.
1571 CMEs are often but not always associated with erupting prominences, disappearing solar
1572 filaments, and flares.

1573 Corotation (with the Earth): A plasma in the magnetosphere of the Earth is said to be corotating
1574 with the Earth if the magnetic field drags the plasma with it and together they have a 24 hour
1575 rotation period.

Cosmic Ray (galactic, solar): Extremely energetic (relativistic) charged particles or 1576 electromagnetic radiation, primarily originating outside of the Earth's magnetosphere. Cosmic 1577 rays usually interact with the atoms and molecules of the atmosphere before reaching the 1578 surface of the Earth. The nuclear interactions lead to formation of daughter products, and they 1579 in turn to granddaughter products, etc. Thus, there is a chain of reactions and a "cosmic ray 1580 1581 shower". Some cosmic rays come from outside the solar system while others are emitted from the Sun in solar flares. See also Anomalous Cosmic Ray; Energetic Particle; Solar Energetic 1582 Particle (SEP) Event. 1583

Constellation Observing System for Meteorology, Ionosphere and Climate-2 (COSMIC II):
 A joint Taiwan National Space Organization (NSPO)-U.S. National Oceanic and Atmospheric
 Administration (NOAA) mission of six satellites in low-inclination orbit to study the Earth's
 ionosphere.

1588 Corotating Interaction Region (CIR): An interplanetary region of high magnetic fields and 1589 plasma densities created by the interaction of a high speed solar wind stream with the upstream 1590 slow solar wind. The antisunward portion of the CIR is compressed slow solar wind plasma 1591 and magnetic fields, and the sunward portion is compressed fast solar wind plasma and 1592 magnetic fields. The two regions of the CIR are separated by a tangential discontinuity.

1593 **Cyclotron Frequency**: When a particle of charge q moves in a magnetic field B, the particle orbits, 1594 or gyrates around the magnetic field lines. The cyclotron frequency is the frequency of this 1595 gyration, and is given by $\omega_c = q|\mathbf{B}|/mc$, where m is the mass of the particle, and c is the velocity 1596 of light (in centimeter-gram-second (c.g.s.) units).

1597 Cyclotron Resonance: The frequency at which a charged particle experiences a Doppler-shifted
 1598 wave at the particle's cyclotron frequency. Because the particle and wave may be traveling at
 1599 different speeds and in different directions, there is usually a Doppler shift involved.

1600 D Region: A daytime region of the Earth's ionosphere beginning at approximately 40 km,
 1601 extending to 90 km altitude. Radio wave absorption in this region can be significantly increased
 1602 due to increasing ionization associated with the precipitation of solar energetic particles through

1603 the magnetosphere and into the ionosphere.

1604 Diffusion: The slow, stochastic motion of particles.

Diffusive Shock Acceleration: Charged particle acceleration at a collisionless shock due to
 stochastic scattering processes caused by waves and plasma turbulence. See also Shock Wave
 (collisionless).

Dipole Magnetic Field: A magnetic field whose intensity decreases as the cube of the distance
 from the source. A bar magnet's field and the magnetic field originating in the Earth's core are
 both approximately dipole magnetic fields.

Drift (of ions/electrons): As particles gyrate around magnetic field lines, their orbits may "drift"
 perpendicular to the local direction of the magnetic field. This occurs if there is a force also
 perpendicular to the field - e.g. an electric field, curvature in the magnetic field direction, or
 gravity.

1615 Driver Gas: A mass of plasma and entrained magnetic field that is ejected from the Sun, that has
1616 a velocity higher than the upstream plasma, and which "drives" a (usually collisionless) shock
1617 wave ahead of itself. The magnetic cloud within an ICME is the same thing as a driver gas.

1618 Dst Index: A measure of variation in the geomagnetic field due to the equatorial ring current. It is
1619 computed from the H-components at approximately four near-equatorial stations at hourly
1620 intervals. At a given time, the Dst index is the average of variation over all longitudes; the
1621 reference level is set so that Dst is statistically zero on internationally designated quiet days. An
1622 index of -50 nT (nanoTesla) or less indicates a storm-level disturbance, and an index of -200
1623 nT or less is associated with middle- latitude auroras. Dst is determined by the World Data
1624 Center C2 for Geomagnetism, Kyoto University, Kyoto, Japan.

1625 Dynamo (solar magnetospheric): The conversion of mechanical energy (rotation in the case of the
 1626 Sun) into electrical current. This is the process by which magnetic fields are amplified by the
 1627 induction of plasmas being forced to move perpendicular to the magnetic field lines. See also
 1628 Mean Field Electro-Dynamics.

1629 E-Region: A daytime region of the Earth's ionosphere roughly between the altitudes of 90 and
160 km. The E-region characteristics (electron density, height, etc.) depend on the solar zenith

angle and the solar activity. The ionization in the E layer is caused mainly by x-rays in the range0.8 to 10.4 nm coming from the Sun.

1633 Ecliptic Plane: The plane of the Earth's orbit about the Sun. It is also the Sun's apparent annual1634 path, or orbit, across the celestial sphere.

1635 Electrically Charged Particle: Electrons and protons, for example, or any atom from which1636 electrons have been removed to make it into a positively charged ion. The elemental charge of

- 1637 particles is 4.8×10^{-10} esu. An electron and proton have this charge. Combined (a hydrogen
- atom), the charge is zero. Ions have multiples of this charge, depending on the number ofelectrons which have been removed (or added).
- **Electrojet**: (1) Auroral Electrojet (AE): A current that flows in the ionosphere at a height of ~100
- 1641 km in the auroral zone. (2) Equatorial Electrojet: A thin electric current layer in the ionosphere1642 over the dip equator at about 100 to 115 km altitude.
- 1643 Electron Plasma Frequency/Wave: The natural frequency of oscillation of electrons in a neutral
 1644 plasma (e.g., equal numbers of electrons and protons).
- 1645 Electron Volt (eV): The kinetic energy gained by an electron or proton being accelerated in a1646 potential drop of one Volt.

1647 ESA: European Space Agency

- 1648 Extreme Ultraviolet (EUV): A portion of the electromagnetic spectrum from approximately 10
 1649 to 100 nm.
- 1650 Extremely Low Frequency (ELF): That portion of the radio frequency spectrum from 30 to 3000
 1651 Hz.
- 1652 Fast Mode (wave/speed): In magnetohydrodynamics, the fastest wave speed possible.
 1653 Numerically, this is equal to the square root of the sum of the squares of the Alfvén speed and
 1654 plasma sound speed.
- 1655 Field Aligned Current: A current flowing along (or opposite to) the magnetic field direction.
- Filament: A mass of gas suspended over the chromosphere by magnetic fields and seen as dark
 ribbons threaded over the solar disk. A filament on the limb of the Sun seen in emission against
- the dark sky is called a prominence. Filaments occur directly over magnetic-polarity inversion
- lines, unless they are active.

- 1661 radiation and energetic charged particles are emitted. Flares are classified on the basis of area
- at the time of maximum brightness in H alpha.
- 1663 Importance 0 (Subflare): < 2.0 hemispheric square degrees
- 1664 Importance 1: 2.1-5.1 square degrees
- 1665 Importance 2: 5.2-12.4 square degrees
- 1666 Importance 3: 12.5-24.7 square degrees
- 1667 Importance $4: \ge 24.8$ square degrees
- 1668 [One square degree is equal to $(1.214 \times 10^4 \text{ km})$ squared = 48.5 millionths of the visible 1669 solar hemisphere.]
- 1670 A brightness qualifier F, N, or B is generally appended to the importance character to1671 indicate faint, normal, or brilliant (for example, 2B).
- 1672 Flux Rope: A magnetic phenomenon which has a force-free field configuration.
- 1673 Force Free Field: A magnetic field which exerts no force on the surrounding plasma. This can
 1674 either be a field with no flowing electrical currents or a field in which the electrical currents all
 1675 flow parallel to the field.
- 1676 Free Energy (of a plasma): When an electron or ion distribution is either non-Maxwellian or
 1677 anisotropic, they are said to have free energy" from which plasma waves can be generated via
 1678 instabilities. The waves scatter the particles so they become more isotropic, reducing the free
 1679 energy.
- 1680 Frozen-in Field: In a tenuous, collisionless plasma, the weak magnetic fields embedded in the
 plasma are convected with the plasma. i.e., they are "frozen in".
- 1682 Galactic Cosmic Ray (GCR): See Cosmic Ray.
- **1683** Gamma Ray: Electromagnetic radiation at frequencies higher than x-rays.

1684 Geomagnetic Storm: A worldwide disturbance of the Earth's magnetic field, distinct from regular

- diurnal variations. A storm is precisely defined as occurring when D_{sT} becomes less than -50
- 1686 nT (See geomagnetic activity).
- 1687Main Phase: Of a geomagnetic storm, that period when the horizontal magnetic field at1688middle latitudes decreases, owing to the effects of an increasing magnetospheric ring1689current. The main phase can last for hours, but typically lasts less than 1 day.

- 1690Recovery Phase: Of a geomagnetic storm, that period when the depressed northward field1691component returns to normal levels. Recovery is typically complete in one to two days.
- Geomagnetically Induced Currents (GICs): Currents flowing along electric power transmission
 systems and other electrically conducting instrastructures are produced by naturally induce
 geoelectric fields during geomagnetic disturbances.
- Geosynchronous Orbit: Term applied to any equatorial satellite with an orbital velocity equal to
 the rotational velocity of the Earth. The geosynchronous altitude is near 6.6 Earth radii
 (approximately 36,000 km above the Earth's surface). To be geostationary as well, the satellite
 must satisfy the additional restriction that its orbital inclination be exactly zero degrees. The net
 effect is that a geostationary satellite is virtually motionless with respect to an observer on the
 ground.
- **GeV**: 10^9 electron Volts (Giga-electron Volt).
- Global Navigation Satellite System (GNSS): GNSS receivers use the orbiting satellite Global
 Positioning System (GPS) transmitted signals to obtain the geographic location of a user's
 receiver anywhere in the world.
- Global Positioning System (GPS): is a global navigation satellite system that provides
 geolocation and time information to a GPS receiver anywhere on or near the Earth where there
 is an unobstructed line of sight to four or more GPS satellites.
- Global-scale Observations of the Limb and Disk (GOLD): a NASA mission to "investigate the
 dynamic intermingling of space and Earth's uppermost atmosphere"
- 1710 **Heliosphere**: The magnetic cavity surrounding the Sun, carved out of the galaxy by the solar wind.

1711 Heliospheric Current Sheet (HCS): This is the surface dividing the northern and southern magnetic field hemispheres in the solar wind. The magnetic field is generally one polarity in 1712 1713 the north and the opposite in the south so just one surface divides the two polarities. However, 1714 the Sun's magnetic field changes over the 11-year solar sunspot cycle and reverses polarity at solar maximum. The same thing happens in the magnetic field carried away from the Sun by 1715 1716 the solar wind so the HCS only lies in the equator near solar minimum. It is called a "current 1717 sheet" because it carries an electrical current to balance the oppositely directed field on either 1718 side of the surface. It is very thin on the scale of the solar system - usually only a few proton gyroradii, or less than 100,000 km. 1719

1720 Helmet Streamer: See Streamer.

1721 High Frequency (HF): That portion of the radio frequency spectrum between 3 and 30 MHz.

Heliospheric Plasma Sheet (HPS): A high density slow solar wind region that is located adjacent
to the heliospheric current sheet (HCS).

High-Speed Solar Wind (HSS): A solar wind with speeds of 750 to 800 km/s emanating from
solar coronal holes. The HSS is characterized by embedded, particularly large amplitude
Alfvén waves. At the edges of HSSs, the velocities can be less due to superradial expansion
effects.

- Instability: When an electron or ion distribution is sufficiently anisotropic, it becomes unstable
 (instability), generating plasma waves. The anisotropic distribution provides a source of free
 energy for the instability. A simple analog is a stick, which if stood on end is "unstable", but
 which if laid on its side is "stable". In this analog, gravity pulls on the stick and provides a
 source of free energy when the stick is stood on end.
- 1733 Interplanetary Magnetic Field (IMF, Parker spiral): The magnetic field carried with the solar
 1734 wind and twisted into an Archimedean spiral by the Sun's rotation.
- 1735 Interplanetary Medium: The volume of space in the solar system that lies between the Sun and1736 the planets. The solar wind flows in the interplanetary medium.
- 1737 Interplanetary Coronal Mass Ejection (ICME): The evolutionary part of a CME as it propagates
 1738 through interplanetary space. Typically, after the CME has propagated 1 AU from the Sun, the
 1739 ICME only contains the magnetic cloud (MC) portion of the initial three parts of a CME. The
 1740 MC may also have been compressed/expanded or rotated by the time it reaches 1 AU.
- Interplanetary Shock: A fast forward shock is characterized by a sharp increase in solar wind 1741 1742 speed, plasma density, plasma temperature and magnetic field magnitude. The shock reduces the upstream plasma from a supermagnetosonic state to a subsonic state, much as an airplane 1743 1744 wing sonic shock reduces the relative flow of air from a supersonic speed (relative to the 1745 airplane) to a subsonic speed. A fast (magnetosonic) forward (propagating in the direction of the "piston", in this case the propagation of the ICME in the antisolar direction) shock is 1746 detected upstream (antisolarward) of fast ICMEs. A reverse shock propagates in the direction 1747 of the Sun. Planetary bow shocks are reverse shocks. There are other types of shocks not 1748 1749 discussed in this paper: slow shocks and intermediate shocks.

- 1750 Interstellar (gas, neutral gas, ions, cosmic rays, wind, magnetic field, etc.) Literally, between the
 1751 stars. In practical terms, it is anything beyond the outer boundary of the solar wind (the
 1752 "heliopause") yet within the Milky Way.
- 1753 Ion: (1). An electrically charged atom or molecule. (2). An atom or molecular fragment that has a
 1754 positive electrical charge due to the loss of one or more electrons; the simplest ion is the
 1755 hydrogen nucleus, a single proton.
- 1756 **Ionization State**: The number of electrons missing from an atom.
- Ionosphere: The region of the Earth's upper atmosphere containing free (not bound to an atom or
 molecule) electrons and ions. This ionization is produced from the neutral atmosphere by solar
 ultraviolet radiation at very short wavelengths (<100 nm) and also by precipitating energetic
 particles.
- 1761 Ionospheric Storm: A positive ionospheric storm is where the ionospheric total electron content
 1762 (TEC) increases. A negative ionospheric storm is an event where the ionospheric TEC
 1763 decreases.
- 1764 Ionospheric Connection Explorer (ICON): is a NASA 2-year mission that will give new views
 1765 of the boundary between our atmosphere and space, where planetary weather and Space
 1766 Weather meet.
- **1767** Irradiance: Radiant energy flux density on a given surface (e. g. ergs $cm^{-2}s^{-1}$).
- 1768 keV: 1000 electron Volts (kiloelectron Volt). See electron Volt. See also Anisotropic Plasma;
 1769 Plasma.
- 1770 L value: For a dipole magnetic field, the field line that crosses the magnetic equator at a L value1771 equal to the number in Earth radii.
- Loop (solar-loop prominence system): A magnetic loop is the flux tube which crosses from one
 polarity to another. A loop prominence bridges a magnetic inversion line across which the
 magnetic field changes direction. See also Magnetic Foot Point; Prominence.
- Loss Cone: A small cone angle about the ambient magnetic field direction where magnetospheric
 charged particles with velocity vectors within the cone will mirror at sufficiently low altitudes
 such that the particle will have collisions with atmospheric atoms and molecules and will be
 "lost" from returning to the magnetosphere.
- 1779 Loss Cone Instability: An instability generated by a plasma anisotropy where the temperature1780 perpendicular to the magnetic field is greater than the temperature parallel to the field. This

- instability gets its name because this condition exists in the Earth's magnetosphere and the "losscone" particles are those that are lost into the upper atmosphere.
- Magnetic Cloud: A region in the solar wind of about 0.25 AU or more in radial extent in which
 the magnetic field strength is high and the direction of one component of the magnetic field
 changes appreciably by means of a rotation nearly parallel to a plane. Magnetic clouds may be
 parts of the driver gases (coronal mass ejections) in the interplanetary medium.
- 1787 Magnetic Foot Point: For the Earth's magnetic field lines, where the magnetic field enters the1788 surface of the Earth.
- Magnetic Mirror: Char particles moving into a region of converging magnetic flux (as at the pole
 of a magnet) will experience "Lorentz" forces that slow the particles and "mirror" them by
 eventually reversing their direction if the particles are initially moving slowly enough along the
 field line. See also Mirror Point.
- Magnetic Reconnection: The act of interconnection between oppositely directed magnetic field
 lines. Magnetic reconnection is recognized as a basic plasma process, which converts magnetic
 energy into plasma kinetic energy accompanied by topological changes in the magnetic field
 configuration. It does not allow an excessive buildup of magnetic energy in the current sheets.
- 1797 Magnetic Storm: see Geomagnetic Storm.
- Magnetopause: The boundary surface between the solar wind and magnetosphere, where the
 pressure of the magnetic field of the object effectively equals the ram pressure of the solar wind
 plasma.
- Magnetosheath: The region between the bow shock and the magnetopause, characterized by very
 turbulent plasma. This plasma has been heated (shocked) and slowed as it passed through the
 bow shock. For the Earth, along the Sun-Earth axis, the magnetosheath is about 3 Earth radii
 thick.
- Magnetosonic Speed (acoustic speed): The speed of the fastest low frequency magnetic waves in
 a magnetized plasma. It is the equivalent of the sound speed in a neutral gas or non-magnetized
 plasma.
- Magnetosphere: The magnetic cavity surrounding a magnetized planet, carved out of the passing
 solar wind by virtue of the planetary magnetic field, which prevents, or at least impedes, the
 direct entry of the solar wind plasma into the cavity.

Magnetospheric Multiscale Mission (MMS): A NASA mission designed to spend extensive
 periods in locations where magnetic reconnection at the magnetopause/magnetotail is expected
 to occur. The critical electron diffusion region will be studied. The mission consists of 4
 spacecraft flown in a tetrahedron configuration.

Magnetotail: The extension of the magnetosphere in the anti-sunward direction as a result of
interaction with the solar wind. In the inner magnetotail, the field lines maintain a roughly
dipolar configuration. But at greater distances in the anti-sunward direction, the field lines are

- stretched into northern and southern lobes, separated by a plasmasheet. There is observational
 evidence for traces of the Earth's magnetotail as far as 1000 Earth radii downstream, in the antisolar direction.
- 1821 Maxwellian Distribution: The minimum energy particle distribution for a given temperature. It1822 is also the equilibrium distribution in the absence of losses due to radiation, collisions, etc.
- 1823 Mean Free Path: The statistically most probably distance a particle travels before undergoing a1824 collision with another particle or interacting with a wave.
- 1825 Mesosphere: The region of the Earth's atmosphere between the upper limit of the stratosphere
 1826 (approximately 30 km altitude) and the lower limit of the thermosphere (approximately 80 km
 1827 altitude).

1828 MeV: One million electron Volts (Megaelectron Volt). See also Electron Volt.

- 1829 Mirror Point: The point where the charged particles reverse direction (mirrors). At this point, all
 1830 of the particle motion is perpendicular to the local ambient magnetic field. See also Magnetic
 1831 Mirror.
- Parker Solar Probe: a NASA robotic spacecraft to probe the outer corona of the Sun. It will
 approach to within 9.9 solar radii (6.9 million kilometers or 4.3 million miles from the center
 of the Sun and will travel, at closest approach, as fast as 690,000 km/h (430,000 mph).

1835 Photosphere: The lowest visible layer of the solar atmosphere; corresponds to the solar surface1836 viewed in white light. Sunspots and faculae are observed in the photosphere.

1837 Pickup Ion: An ion which has entered the solar system as a neutral particle and then becomes
1838 ionized either through charge exchange or photoionization. It is called a pickup ion because as
1839 soon as the neutral atom is ionized, it becomes attached to the magnetic field carried by the
1840 solar wind and so is "picked up" by the solar wind.

- 1841 Pitch Angle: In a plasma, the angle between the instantaneous velocity vector of a charged particle1842 and the direction of the ambient magnetic field.
- Plasma (ions, electrons): A gas that is sufficiently ionized so as to affect its dynamical behavior.
 A plasma is a good electrical conductor and is strongly affected by magnetic fields. See also
 Anisotropic Plasma; Isotropic Plasma.
- Plasma Instability (ion, electron): When a plasma is sufficiently anisotropic, plasma waves grow,
 which in turn alter the distribution via wave-particle interactions. The plasma is "unstable".
- Plasma Sheet: A region in the center of the magnetotail between the north and south lobes. The
 plasma sheet is characterized by hot, dense plasma and is a high beta plasma region, in contrast
 to the low beta lobes. The plasma sheet bounds the neutral sheet where the magnetic field
 direction reverses from Earthward (north lobe direction) to anti-Earthward (south lobe
 direction).
- Plasma Wave (electrostatic/electromagnetic): A wave generated by plasma instabilities or other
 unstable modes of oscillation allowable in a plasma. "Chorus" and "Plasmasheric Hiss" are
 whistler wave modes. These are electromagnetic waves with frequencies below the electron
 cyclotron frequency. Electromagnetic ion cyclotron (EMIC) waves are ion cyclotron waves
 with frequencies below the proton cyclotron frequency.
- 1858 Polar Cap Absorption Event (PCA): An anomalous condition of the polar ionosphere whereby HF and VHF (3-300 MHz) radio waves are absorbed, and LF and VLF (3-300 kHz) radio waves 1859 1860 are reflected at lower altitudes than normal. The cause is energetic particle precipitation into the ionosphere/atmosphere. The enhanced ionization caused by this precipitation leads to 1861 1862 cosmic radio noise absorption and attenuation of that noise at the surface of the Earth. PCAs generally originate with major solar flares, beginning within a few hours of the event (after the 1863 1864 flare particles have propagated to the Earth) and maximizing within a day or two after onset. 1865 As measured by a riometer (relative ionospheric opacity meter), the PCA event threshold is 2 dB of absorption at 30 MHz for daytime and 0.5 dB at night. In practice, the absorption is 1866 inferred from the proton flux at energies greater than 10 MeV, so that PCAs and proton events 1867 are simultaneous. However, the transpolar radio paths may still be disturbed for days, up to 1868 1869 weeks, following the end of a proton event, and there is some ambiguity about the operational use of the term PCA. 1870

Prominence: A term identifying cloud-like features in the solar atmosphere. The features appear
as bright structures in the corona above the solar limb and as dark filaments when seen projected
against the solar disk. Prominences are further classified by their shape (for example, mound
prominence, coronal rain) and activity. They are most clearly and most often observed in H
alpha. See also Loop.

1876 **Radiation Belt**: Regions of the magnetosphere roughly 1.2 to 6 Earth radii above the equator in which charged particles are stably trapped by closed geomagnetic field lines. There are two 1877 belts. The inner belt's maximum proton density lies near 5000 km above the Earth's surface. 1878 Inner belt protons (10s of MeV) and electrons (100s of keV) and originate from the decay of 1879 secondary neutrons created during collisions between cosmic rays and upper atmospheric 1880 particles. The outer belt extends on to the magnetopause on the sunward side (10 Earth radii 1881 1882 under normal quiet conditions) and to about 6 Earth radii on the nightside. The altitude of maximum proton density is near 16,000-20,000 km. Outer belt protons and electrons are lower 1883 energy (about 200 eV to 1 MeV). The origin of the particles (before they are energized to these 1884 high energies) is a mixture of the solar wind and the ionosphere. The outer belt is also 1885 1886 characterized by highly variable fluxes of energetic electrons. The radiation belts are often called the "Van Allen radiation belts" because they were discovered in 1958 by a research group 1887 1888 at the University of Iowa led by Professor J. A. Van Allen. See also Trapped Particle.

1889 Ram Pressure: Sometimes called "dynamic pressure". The pressure exerted by a streaming1890 plasma upon a blunt object.

1891 Reconnection: A process by which differently directed field lines link up allowing topological
 1892 changes of the magnetic field to occur, determining patterns of plasma flow, and resulting in
 1893 conversion of magnetic energy to kinetic and thermal energy of the plasma. Reconnection is
 1894 invoked to explain the energization and acceleration of the plasmas/energetic particles that are
 1895 observed in solar flares, magnetic substorms and storms, and elsewhere in the solar system.

1896 Relativistic: Charged particles (ions or electrons) which have speeds comparable to the speed of1897 light.

1898 RHESSI: Reuven Ramaty High Energy Solar Spectroscopic Imager was a NASA solar flare
 1899 observatory. It was launched on 5 February 2002 and was operational until 16 Auguust 2018.
 1900 RHESSI's primary mission was to explore the physics of particle acceleration and energy
 1901 release in solar flares.

Ring Current: In the magnetosphere, a region of current that flows near the geomagnetic equator 1902 in the outer belt of the two Van Allen radiation belts. The current is produced by the gradient 1903 1904 and curvature drift of the trapped charged particles of energies of 10 to 300 keV. The ring current is greatly augmented during magnetic storms because of the hot plasma injected from 1905 the magnetotail and upwelling oxygen ions from the ionosphere. Further acceleration processes 1906 bring these ions and electrons up to ring current energies. The ring current (which is a diamagtic 1907 current) causes a worldwide depression of the horizontal geomagnetic field during a magnetic 1908 1909 storm.

1910 SDO/EVE: The Solar Dynamics Observatory is a NASA mission designed to understand the Sun's
1911 influence on the Earth and near-Earth space by studying the solar atmosphere on small scales
1912 of space and time in many wavelengths simultaneously. The EVE (Extreme Ultraviolet
1913 Variability Experiment) instrument measures the solar extreme ultraviolet (EUV) spectral
1914 irradiance at high spectral resolution, temporal cadence and precision.

1915 Solar Energetic Particle (SEP): An energetic particle of solar flare/interplanetary shock origin.

1916 Sheath: The plasma and magnetic fields in the downstream subsonic region after collisionless1917 shocks. See Shock Wave.

Shock Wave: A shock wave is characterized by a discontinuous change in pressure, density,
temperature, and particle streaming velocity, propagating through a compressible fluid or
plasma. Fast collisionless shock waves occur in the solar wind when fast solar wind overtakes
slow solar wind with the difference in speeds being greater than the magnetosonic speed.
Collisionless shock thicknesses are determined by the proton and electron gyroradii rather than
the collision lengths. See also Diffusive Shock Acceleration; Solar Wind Shock.

1924 Solar Corona: See Corona.

Solar Cycle: The approximately 11-year quasi-periodic variation in the sunspot number. The
polarity pattern of the magnetic field reverses with each cycle. Other solar phenomena, such as

the 10.7-cm solar radio emission, exhibit similar cyclical behavior. The solar magnetic field
reverses each sunspot cycle so there is a corresponding 22-year solar magnetic cycle.

1929 Solar Energetic Particle (SEP) Event: A high flux event of solar (low energy) cosmic rays. This
1930 is commonly generated by larger solar flares or CME shocks, and lasts, typically from minutes
1931 to days. See also Cosmic Ray.

1932 Solar Flares: Transient perturbations of the solar atmosphere as measured by enhanced x-ray
1933 emission (see x-ray flare class), typically associated with flares. Five standard terms are used
1934 to describe the activity observed or expected within a 24-h period:

1935 Very low - x-ray events less than C-class.

1936 Low - C-class x-ray events.

1937 Moderate - isolated (one to 4) M-class x-ray events.

1938 High - several (5 or more) M-class x-ray events, or isolated (one to 4).

1939 M5 or greater x-ray events.

1940 Very high - several (5 or more) M5 or greater x-ray events.

1941 Solar Maximum: The month(s) during the sunspot cycle when the smoothed sunspot number1942 reaches a maximum.

1943 Solar Minimum: The month(s) during the sunspot cycle when the smoothed sunspot number1944 reaches a minimum.

Solar Orbiter: A European Space Agency-led (ESA) mission intended to perform detailed
measurements of the inner heliosphere and nascent solar wind to answer the question "How
does the Sun create and control the heliosphere?" The mission will make observations of the
Sun from an eccentric orbit moving as close as ~60 solar radii (R_s), or 0.284 astronomical units
(AU) from the Sun.

Solar Wind: The outward flow of solar particles and magnetic fields from the Sun. Typically at 1
 AU, solar wind velocities are 300-800 km/s and proton and electron densities of 3-7 per cubic
 centimeter (roughly inversely correlated with velocity). The total intensity of the interplanetary
 magnetic field is nominally 3-8 nT.

1954 SORCE: Solar Radiation and Climate Experiment. A NASA mission that measures
1955 electromagnetic radiation produced by the Sun and the power per unit area of that energy on
1956 the Earth's surface.

1957 Space Weather: Dynamic variations at the Sun, in interplanetary space, in the Earth's and
1958 planetary magnetospheres, ionospheres and atmospheres associated with space phenomena.

1959 Stratosphere: That region of the Earth's atmosphere between the troposphere and the mesosphere.

1960 It begins at an altitude of temperature minimum at approximately 13 km and defines a layer of

increasing temperature up to about 30 km.

- 1962 Streamer: A feature of the white light solar corona (seen in eclipse or with a coronagraph) that
 1963 looks like a ray extending away from the Sun out to about 1 solar radius, having an arch-like
 1964 base containing a cavity usually occupied by a prominence.
- Substorm: A substorm corresponds to an injection of charged particles from the magnetotail into
 the nightside magnetosphere. Plasma instabilities lead to the precipitation of the particles into
 the auroral zone ionosphere, producing intense aurorae. Potential drops along magnetic field
 lines lead to the acceleration of ~1 to 10 keV electrons with brilliant displays of aurora as the
 electrons impact the upper atmosphere. Enhanced ionospheric conductivity and externally
 imposed electric fields lead to the intensification of the auroral electrojets.
- 1971 Sudden Impulse (SI): An abrupt (10s of seconds) jump in the Earth's surface magnetic field. The
 1972 positive sudden impulses (SI⁺s) are caused by fast forward shock impingement onto the
 1973 magnetosphere.
- 1974 Sunspot: An area seen as a dark spot, in contrast with its surroundings, on the photosphere of the 1975 Sun. Sunspots are concentrations of magnetic flux, typically occurring in bipolar clusters or 1976 groups. They appear dark because they are cooler than the surrounding photosphere. Larger and 1977 darker sunspots sometimes are surrounded (completely or partially) by penumbrae. The dark 1978 centers are umbrae. The smallest, immature spots are sometimes called pores.
- 1979 Supersubstorm: Defined as an event with SML < -2500 nT. These auroral zone events appear to
 1980 have different evolutionary properties than the standard (Akasofu, 1964) auroral substorms.
- SWARM: A European Space Agency (ESA) mission originally instrumented to study the Earth's
 magnetic field. The current goals are to study magnetospheric-ionospheric coupling and auroral
 Space Weather problems.
- **1984** Telsa: A unit of magnetic flux density (Weber/m²). A nano Tesla (nT) is 10^{-9} Teslas.
- 1985 Termination Shock: The shock wave in the solar wind which is caused by the abrupt deceleration
 1986 of the solar wind as it runs into the local interstellar medium (LISM). It is thought to lie
 1987 somewhere between 70 and 150 AU from the Sun.
- **1988** Thermal Speed (ion, electron): The random velocity of a particle associated with its temperature.
- **Thermosphere**: That region of the Earth's atmosphere where the neutral temperature increases
 with height. It begins above the mesosphere at about 80-85 km and extends upward to the
 exosphere.

- **1992 TIMED**: Thermosphere Ionosphere Mesosphere Energetics and Dynamics (TIMED). A NASA
- mission to investigate and understand the energetics of the Earth's mesosphere and lowerthermosphere/ionosphere.
- **1995** Total Electron Content (TEC): The column density of electrons in the Earth's ionosphere.
- 1996 Trapped Particle: Particles gyrating about magnetic field lines (e.g., in the Earth's
 1997 magnetosphere). See also Magnetic Mirror and Pitch Angle.
- **Troposphere**: The lowest layer of the Earth's atmosphere, extending from the ground to the
 stratosphere, approximately 13 km altitude. In the troposphere, temperature decreases with
 height.
- 2001 Ultraviolet (UV): That part of the electromagnetic spectrum between 5 and 400 nm.
- **2002** Ultra Low Frequency (ULF): 1 milliHertz to 1 Hertz.
- 2003 Very High Frequency (VHF): That portion of the radio frequency spectrum from 3 to 300 MHz.
- 2004 Very Low Frequency (VLF): That portion of the radio frequency spectrum from 3 to 300 kHz.

2005 Van Allen Radiation Belt: See Radiation Belt.

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