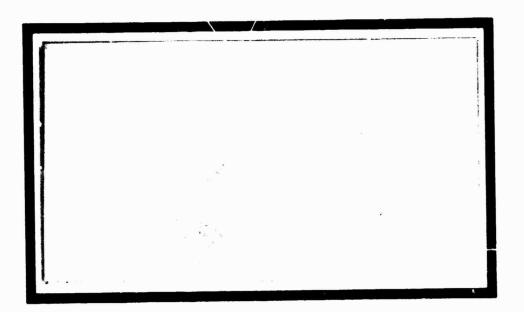
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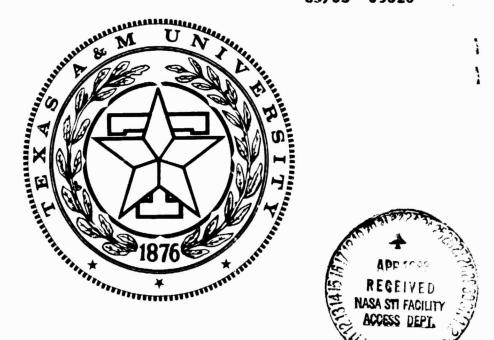
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(NASA-CR-167840)PROCEEDINGS OF THEN83-23073NASA/MPRIA WORKSHOP:PATTERN RECOGNITIONTHRUProgress Report (Texas A&M Univ.)237 pN83-23082HC A11/MP A01CSCL 09BUnclasG3/6309828



# DEPARTMENT OF MATHEMATICS

TEXAS A&M UNIVERSITY COLLEGE STATION, TEXAS

### PROCEEDINGS OF THE NASA/MPRIA WORKSHOP:

### PATTERN RECOGNITION

Texas A&M University College Station, Texas February 3-4, 1983

Prepared for

Earth Resources Research Division NASA/Johnson Space Center Houston, Texas 77058

by

L. F. Guseman, Jr. Principal Investigator Department of Mathematics Texas A&M University College Station, Texas 77843

under

NASA Contract NAS 9-16664

"Mathematical Pattern Recognition and Image Analysis Program"

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#### INTRODUCTION

by

L. F. Guseman, Jr.

The organizational meeting for the NASA Fundamental Research Program in "Mathematical Pattern Recognition and Image Analysis" (MPRIA) was held at the NASA/Johnson Space Center in August, 1982. At this meeting each of the fifteen principal investigators briefly outlined the goals of their particular proposed research efforts. Most of the efforts (those outside NASA) had just been funded (July 16, 1982), and investigations were just getting underway.

In order to gain a better understanding of and stimulate discussions between the individual research efforts, it was decided to conduct two technical workshops at Texas A&M University about six months into the program. The first workshop was held January 27-28, 1983 and consisted of investigators from the "Mathematics/Statistics" areas. The second workshop was held February 3-4, 1983 and consisted of investigators from the "Pattern Recognition" areas.

Each of the workshops was conducted in an informal manner. Most of the time was spent in lively technical discussions about each of the research efforts. Additional time was spent discussing the availability of data sets. Dr. R. P. Heydorn announced the availability of a data tape that has been compiled for use by the research teams. Details concerning the content and format of the tape are discussed in the document entitled "Fundamental Research Data Base" appearing in the Appendix of these proceedings.

Agendas and lists of participants for the workshops appear in their respective Proceedings.

### NASA/MPRIA WORKSHOP: PATTERN RECOGNITION

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Texas A&M University February 3-4,1983 Room 308, Rudder Tower

Thursday, February 3:

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8:00	-	8:30	Coffee and donuts
8:30	-	8:45	Opening ƙemarks L. F. Guseman, Jr., TAMU
8:45	-	9:15	Remote Sensing Fundamental Research Program: An Overview Howard Hogg, NASA Headquarters
9:15	-	10:30	Textural Edge Detection in the Frequency Domain K. S. Shanmugan, University of Kansas
10:30	-	10:45	Break
10:45	-	12:00	Aspects of Simulation for Rectification Studies E. M. Mikhail and Fidel Paderes, Purdue University
12:00	-	1:00	Lunch
1:00	-	2:15	Image Matching Using Hough Transforms L. S. Davis and Azriel Rosenfeld, University of Maryland
2:15	-	2:30	Break
2:30	-	3:45	Subpixel Registration Accuracy and Modelling L. N. Kanal, LNK Corporation
3:45	-	4:00	Break
4:00	-	5:15	Discussion and Symposium Planning

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NASA/MPRIA WORKSHOP: PATTERN RECOGNITION cont.

Friday, February 4:

8:00	- 8:30	Coffee and donuts
8:30	- 9:45	Spatial Scene Modelling Alan H. Strahler, Hunter College and W. R. Tobler, UC Santa Barbara
9:45	- 10:15	Break
10:15	- 11:30	Approaches to Image Registration and Segmentation Grahame Smith, SRI International
11:30	- 1:00	Lunch
1:00	- 2:15	Reduction and Utilization of Speckle Noise in SAR Imagery Daniel N. Held, Jet Propulsion Laboratory
2:15	- 2:30	Break
2:30	- 4:00	Progress in the Scene-to-Map Registration Task David D. Dow, National Space Technology Labs

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### NASA/MPRIA WORKSHOP: PATTERN RECOGNITION

February 3-4, 1983

### Participants and Other Attendees:

L. S. Davis Computer Vision Laboratory & Computer Science Center University of Maryland College Park, MD 20742 David Dow NASA/National Space Technology Laboratories Earth Resources Laboratory NSTL Station, MS 39529 L. F. Guseman, Jr. Department of Mathematics Texas A&M University College Station, TX 7843 Daniel Held Jet Propulsion Laboratory 4800 Oak Grove Pasadena, CA 91103 Richard P. Heydorn/SG3 NASA/Johnson Space Center Houston, TX 77058 Howard Hogg/EL4 Chief, Earth Resources Branch NASA Headquarters Washington D. C. 20546 Laveen N. Kanal L.N.K. Corporation 302 Notley Court Silver Spring, MD 20904 R. Kent Lennington/C31 Lockheed E.M.S.Co. 1830 Space Park Drive Houston, TX 77058 R. B. MacDonald/SG Chief, Earth Resources Research Division

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TEXTURAL EDGE DETECTION AND SENSITIVITY ANALYSIS

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by

K. Sam Shanmugan Department of Electrical Engineering and Remote Sensing Laboratory University of Kansas

Lawrence, Kansas 66045

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# TEXTURAL EDGE DETECTION:

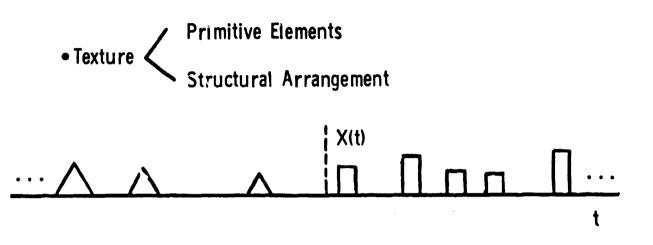
• Develop an optimum (global) textural edge detection operator based on statistical models for texture.

# SENSITIVITY ANALYSIS:

• Analyze the effects of the imaging process on the textural patterns of a scene as it appears in the image -- i.e., separate scene-induced textural patterns from sensor-induced textural patterns.

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# TEXTURAL EDGE DETECTION



- Textural Edge Detection Operators:
  - Local Operators
  - Global Operators
  - Optimum

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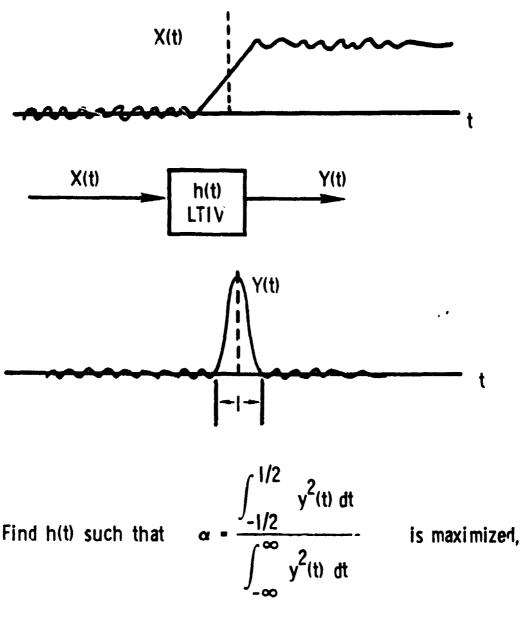
• Let  $X(t) = X_1(t) [1 - u(t-t_0)] + X_2(t) u(t-t_0) + n(t)$ 

Want to process X(t) using a LTIV operator and produce Y(t) that best approximates  $\delta(t-t_0)$ . X<sub>1</sub>(t) and X<sub>2</sub>(t) represent random process models for two textural classes that form a boundary at t = t<sub>0</sub>.

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# OPTIMUM TONAL EDGE DETECTION OPERATOR:

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subjected to the constraints

(1) 
$$|H(f)| = 0$$
 for  $|f| > B$   
(2)  $h(t)$  is LTIV

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# OPTIMUM TONAL EDG. DETECTION OPERATOR

• Soln:

$$y(t) = a_1 \psi_1(c, t) , \quad c = B 1/2$$
  

$$H(\omega) = y(\omega) / X(\omega)$$
  

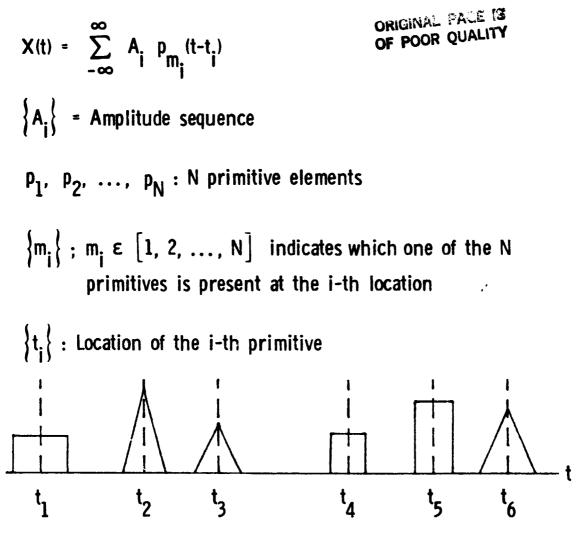
$$\approx \omega^2 e^{-c\omega^2} , \quad |f| < B$$

(edge model used: unit step and exponential)

- An approach similar to the one described above can be used to derive an optimum textural edge detection operator.
- In order to derive an optimum textural edge detection operator we need:
  - random field models for texture
  - edge models
  - performance measure
  - frequency domain models for textural fields

•H(
$$\omega$$
) = y( $\omega$ ) / F(G<sub>x1</sub>(f); G<sub>x2</sub>(f))

# FREQUENCY DOMAIN DESCRIPTION OF MARKOV TEXTURAL FIELD MODELS



• Want to find  $G_{x}(f)$ 

# TEXTURAL FIELD MODELS

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ASSUMPTIONS:	OF POOR QUALITY

- 1. a. {t, { uniformly distributed locations
- 1. b.  $\{t_i\}$  Poisson sequence with an exponential distribution for inter-location distance

2. a. 
$$\{A_i\}$$
 : Constant

- 3. a.  $p_1, p_2, \ldots, p_N$ : deterministic shapes
- 3. b.  $p_1, p_2, \ldots, p_N$  : random processes
- 4. a  $\{m_i\}$  : Independence sequence
  - {m;{ : Homogeneous markov sequence

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# 14 COMPLETED MODEL:

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 $\begin{cases} t_i \\ : uniformly distributed \\ \\ A_i \\ : constant \\ P_1, P_2, \dots, P_N : deterministic \\ \\ \\ m_i \\ : markov \\ P \left\{ occurrence of p_k \right\} = \pi_k \\ P \left( occurrence of p_i followed by p_j after n locations \right) = P_{ij} \end{cases}$ 

$$X(t) = \sum_{-\infty}^{\infty} p_{m_i}(t-mT_s)$$

 $T_s$  = average spacing between elements

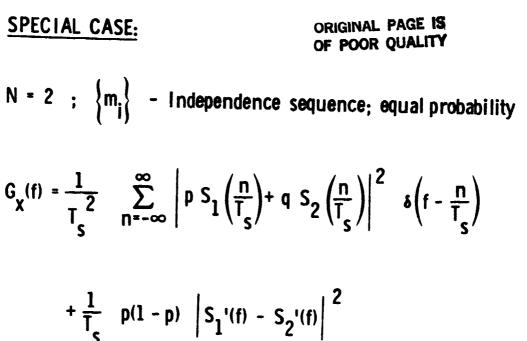
POWER SPECTRAL DENSITY:

where:

.

$$G_{X}(f) = \frac{1}{T_{S}^{2}} \sum_{n=-\infty}^{\infty} \left| \sum_{j=1}^{N} \pi_{j} S_{j}\left(\frac{n}{T_{S}}\right) \right|^{2} \delta\left(f - \frac{n}{T_{S}}\right)$$
$$+ \frac{1}{T_{S}} \sum_{j=1}^{N} \pi_{j} \left| S_{j}'(f) \right|^{2}$$
$$+ \frac{2}{T_{S}} \operatorname{Re} \left\{ \sum_{j=1}^{N} \sum_{k=1}^{N} \pi_{j} S_{j}'^{*}(f) S_{k}'(f) Q_{jk}(f) \right\}$$

 $S_{k}^{(f)} = F \left\{ p_{k}^{(t)} \right\}$   $S_{k}^{'(f)} = F \left\{ p_{k}^{(t)} - \sum_{j=1}^{M} \pi_{j} p_{j}^{(t)} \right\}$   $Q_{jk}^{(f)} = \sum_{n=1}^{\infty} p_{jk}^{(n)} \exp\left(-i 2 \pi n f T_{s}\right)$ 



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**RESEARCH PLAN:** 

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•Generalize (2-dimensional, t = (x,y); Poisson)

•Find  $F\left(G_{x_1}(f), G_{x_2}(f)\right)$ 

(Edge model)

- •Test filters on simulated fields
- •Develop estimation procedures, test on actual image data

ţ

# TEXTURAL EDGE DETECTION

# Approach:

- Define appropriate measures of performance -What is 'optimum'?
- Develop random field models for different classes of textures (different means, covariance/co-occurrence matrices)
- Given  $X_1(t)$  and  $X_2(t)$  derive the transfer function of the optimum filter subjected to appropriate constraints
- Modify the transfer function to take into account the presence of noise in the input image
- Find a sub-optimum filter for a wide class of textural edges
- Test the filter on natural and synthesized textural edges

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## SENSITIVITY OF TEXTURAL FEATURES

nature of the illumination, etc.)

- Textural Patterns in an image sensor induced (due to sensor transfer function, geometry,
- In a LANDSAT-type image, the textural patterns that appear in the image usually represent the textural patterns of the underlying scene (assuming that the illumination variations and shadows are minimum)
- •In a SAR image of a scene with significant terrain relief, the textural patterns that appear in the image may be very different than the textural patterns in the underlying scene due to layover, shadowing, fading, etc.
- Objective of the proposed research effort is to develop mathematical models and methodologies for evaluating the effects of the imaging process on the textural patterns of a scene as it appears on the image

# SENSITIVITY OF TEXTURAL FEATURES

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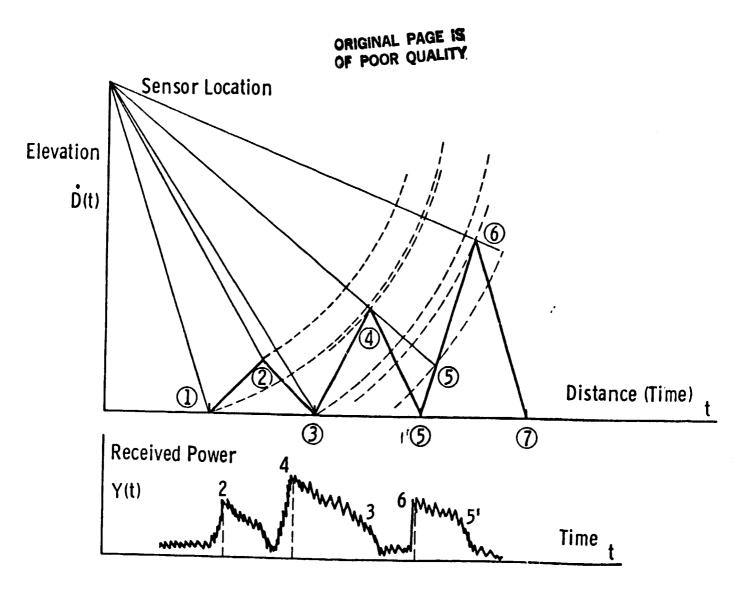
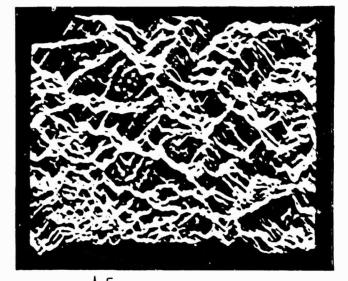
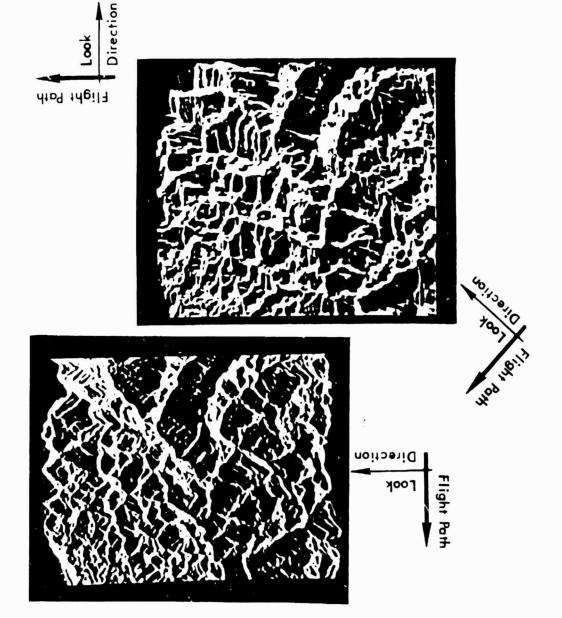
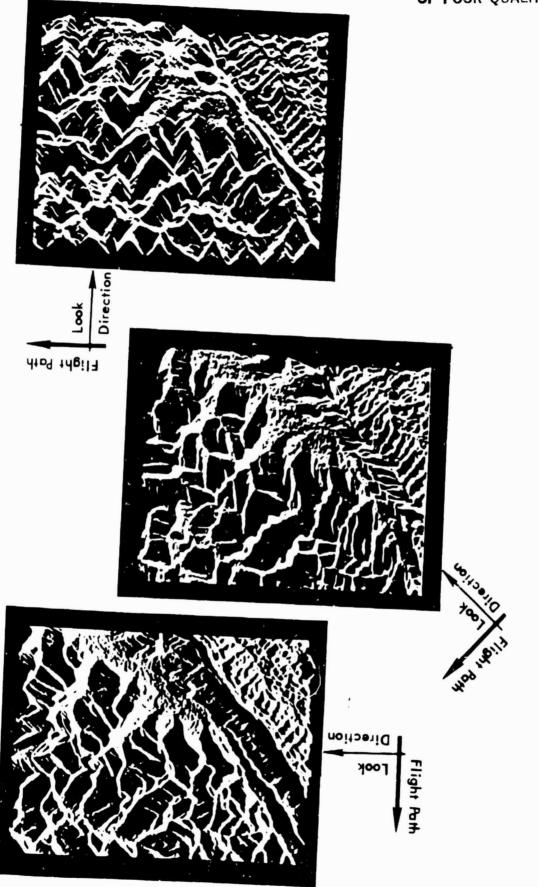


Figure 1. Simplified View of the Radar Imaging Process.

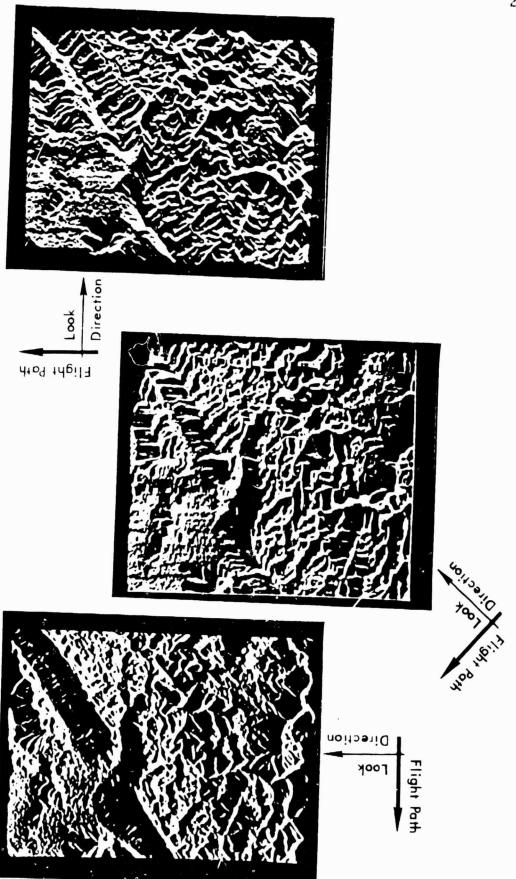
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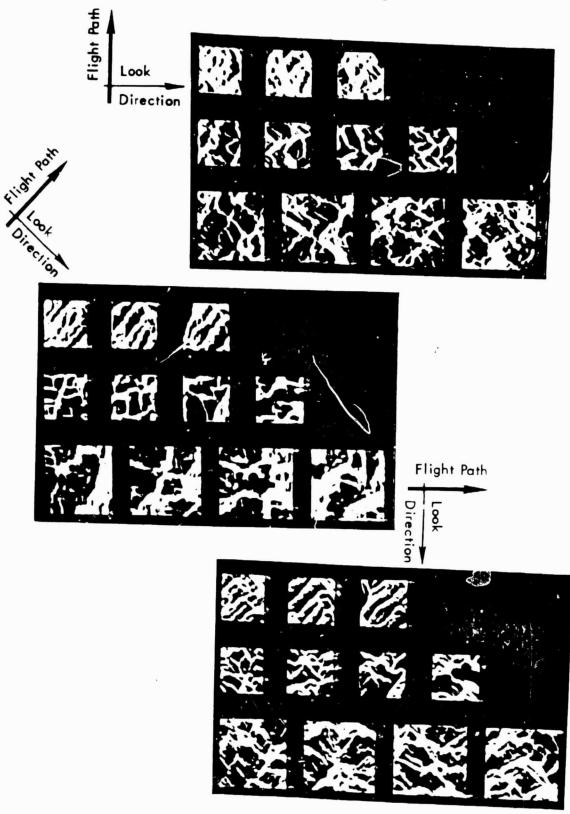


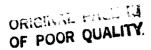




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## SENSITIVITY ANALYSIS

## Research Plan:

- Develop random process models of terrain elevation, and backscatter variations of typical scene categories (agricultural, forestry, urban areas, hilly and mountainous terrains, water bodies, etc.). The models will be in a parametric form, and the scene texture will be described by the parameter values and the model type.
- Develop simple parametric models for the imaging process of a SAR.
- Using the scene model and the system model, derive the textural properties of the image parametric form.
- For different scene categories, analyze the effect of imaging system parameters on the features (parameter values) of the image textural patterns.
- For different scene categories, compare the separability of the scene textures with the separability of the textural patterns of the corresponding images.
- •For the cases where the comparison could not be done by analytical methods, use simulated imagery to study the effects of the imaging system.

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[Paper for IEEE Trans. on Geoscience and Remote Sensing]

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The Influence of Sensor and Flight Parameters on Texture in Radar Images

V.S. Frost, K.S. Shanmugan, and J.C. Holtzman

Remote Sensing Laboratory University of Kansas Center for Research, Inc. Lawrence, Kansas 66045

### Abstract

Texture is known to be important in the analysis of radar images for geologic applications. It has previously been shown that texture features derived from the grey-level co-occurrence matrix (GLCM) can be used to separate large scale texture in radar images. Here the influence of sensor parameters, specifically the spatial and radiometric resolution and flight parameters, i.e., the orientation of the surface structure relative to the sensor, on the ability to classify texture based on the GLCM features is investigated. It was found that changing these sensor and flight parameters greatly affects the usefulness of the GLCM for classifying texture on radar images.

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Manuscript Received \_\_\_\_\_\_, Revised \_\_\_\_\_\_, This work was supported by NASA under Contract No. NASA 9-16664.

#### I. INTRODUCTION

Spectral, textural temporal and contextual features are four important pattern elements used in human interpretation of image data in general and SAR data in particular. Spectral features describe the average band-to-band tonal variations in a multi-band image set, whereas textural features describe the spatial distribution of tonal values Contextual features contain information about the within a band. relative arrangement of Image segments belonging to different categories, and temporal features describe changes in image attributes as a function of time. However, when small image areas within, say, a synthetic aperture radar (SAR) image are independently processed on a computer for automated analyses only the tonal and textural features are usually available in making decisions.

in much of the automated procedures for processing radar image data from small areas, such as in crop classification studies, only the average tonal values are used for developing a classification algorithm. Textural features are generally ignored on the basis that the pocy resolution of radar imagery does not provide meaningful textural information for such applications since the areal extent of the target is usually small. However, there are many other applications such as the identification of large scale geological formations, land use patterns, etc., where the resciution is more than adequate to provide textural information. indeed, in these applications, texture is probably the most important image feature. It was previously shown [1] that texture features derived from the grey-level co-occurrence matrix (GLCM) can be used to discriminate texture in radar images. We describe In this paper the influence of sensor and flight parameters on our

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ability to quantitatively classify textures in radar images using the GLCM. The effect of spatial and radiometric resolution on texture classification was studied in one experiment. It was found that the classification was very sensitive to these sensor parameters, only the Image with the best spatial and radiometric resolutions Vas quantitatively useful. Another experiment was conducted to determine how different flight paths, i.e., looking at the same terrain from different angles with the same sensor and incidence angle, changed the texture classification. Optical imaging systems rely on the sun to illuminate the scene and thus the sun angle becomes a factor; however, mission profiles for these sensors are usually designed to minimize this effect. For example, the LANDSAT series of sensors.uses a high sun angle. On the other hand imaging radars provide their own illumination and it is not clear what effect observing the same geologic structure from different angles will have on the automated analysis.

In the following section the texture features used here to separate different surface structures are briefly described. The sensitivity of these texture features to changes in radiometric and spatial resolution is discussed next. Radar image simulation is then used to evaluate the sensitivity of GLOM texture features to changes in the orientation of the surface structure and the radar. The results of the two studies described in this paper indicate that the usefulness of textural features in automated analysis of radar images is sensitive to changes in the spatial and radiometric resolution of the system as well as the target/sensor geometry.

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### II. The Texture Features

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The textural feature extraction algorithm employed here has been widely used [2-5] for analyzing a variety of photographic images. The procedure is based on the assumption that the texture information in an image block 'l' is contained in the overall or 'average' spatial relationship which the grey tones in the image 'l' have to one another. This relationship can be characterized by a set grey-level of co-occurrence (GLC) matrices. We de cribe a procedure for computing a set of GLC matrices for a given image block and define a set of numerical textural descriptors (features) that can be extracted from the GLC matrices. These textural features can be used for automated analysis and classification of blocks of radar imagery. Image texture may be viewed as a global pattern arising from a deterministic or random repetition of local subpatterns or primitives. The structure resulting from this repetition could be very useful for discriminating between the contents of the image of a complex scene. A number of approaches have been suggested for extracting features that will discriminate between different textures [2-6]. Of these approaches, it has been found that textural features derived from grey-level co-occurrence matrices (GLCM) are most useful for analyzing the contents of a variety of imagery in remote sensing, biomedical and other applications [7-11]. The GLCM approach to texture analysis is based on the conjecture that the texture information in an image is contained in the overall or average spatial relationship between the grey tones of the image.

The second-order grey-level co-occurrence matrix of an image is defined as follows. Let f(x,y) be a rectangular digital picture defined over the domain  $x \in [0, n_X)$ ,  $y \in [0, n_Y)$ , x, y  $\in$  1. Let  $n_g$  be the number of

grey levels in f. The unnormalized, second-order GLC matrix is a square matrix  $\overline{P}$  of dimension ng. The (i,j)-th entry in  $\overline{P}$ , denoted by  $\overline{P}_{ij}$ , is a function of the image tonal values and a displacement vector  $\overline{d} = (d_1, d_2)$ . The entries  $\overline{P}_{ij}$  are unnormalized counts of how many times two neighboring resolution cells which are spatially separated by  $\overline{d}$  occur on the image, one with grey tone i and the other with grey tone j. That is,

$$\overline{P}_{ij} = \# \left\{ \left( (m_1, n_1), (m_2, n_2) \right) \mid f(m_1, n_1) = 1, \right.$$

$$f(m_2, n_2) = j, \text{ and } (m_2, n_2) - (m_1, n_1) = \overline{d} \right\}, \quad (1)$$

where # denotes the number of elements in the set, the indices  $m_1$ ,  $m_2$ and  $n_1$ ,  $n_2$  take on integer values in the intervals  $[0,n_x)$ ,  $[0,n_y)$ . The normalized GLC matrix  $\overline{P}$  with entries  $p_{1j}$  is obtained from  $\overline{P}$  by dividing each entry in P by the total number of paired occurrences. The definition of second-order GLC matrices can be extended to include third- and higher-order GLC matrices. While higher-order GLC matrices may be important in some applications, much of the recent work in texture analysis has been based on second-order GLC matrices.

The second-order GLC matrices are computed for various values of the displacement vector  $\overline{d}$ , and features derived from the GLC matrices are used for classifying the contents of an image.

Some of the commonly used textural features derived from the GLC matrix are:

1) Uniformity (sum of squares):

$$\sum_{ij}^{2} P_{ij}^{2}$$
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2) Contrast:

$$\sum_{i} \sum_{j} (i-j)^{2} P_{ij}$$
(2b)

3) Correlation:

$$\sum_{i} \sum_{j} \frac{(i-\mu_{x})(j-\mu_{y}) p_{ij}}{\sigma_{x} \sigma_{y}}$$
(2c)

4) Entropy:

$$\sum_{i} \sum_{j} P_{ij} \log P_{ij}$$
(2d)

5) Inverse Difference Moment:

$$\sum_{\substack{i = j \\ i \neq j}} \sum_{j=1}^{j} (p_{ij})^{\rho} / |i-j|^{\nu}$$
(2e)

6) Maximum Probability:

For a variety of imagery (aerial, micrographic and x-ray) the relationship between these textural features, their values and what they represent in terms of visual perception of texture are reasonably well understood. Using features of the form given above, Haralick and

Shanmugan [5-7] were able to classify a variety of images with over 85% classification accuracy. These features have also been used to separate texture in radar images [1].

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### III. Texture Analysis of SAR Images with Different

### Spatial and Radiometric Resolution

Numerical descriptions of texture (specifically those derived from the grey-level co-occurrence matrix (GLCM) as in Section II) have been shown to separate some simple geological features [1]. To efficiently design a spaceborne SAR for geologic exploration it is of interest to determine how the ability to separate geological features using the GLCM desired features varies with important system parameters, e.g., spatial and radiometric resolution.

Α limited set of radar images with different spatial and radiometric resolutions were obtained (primarily from the Jet Propulsion Laboratory [12]). These images were generated by appropriate processing of the Seasat-A SAR video signal, and were of a geologically interesting area in Tennessee (Figure 1). The specific areas that were studied are The combinations of spatial and radiometric outlined in white. resolution contained in this data set were (25 m, 4 looks), (50 m, 4 looks), (100 m, 4 looks), (50 m, 2 looks), and (50 m, 1 look). Within the Tennessee test area, five distinct textures were identified (see Table 1 for a description of the geology and topography) and five to seven samples of each texture obtained (see Figure 1). A sample of a texture is an image (in this case 3.4 km x 3.4 km in size) containing only one texture type. Thus for each set of sensor parameters 30 texture samples were obtained, a total of 150 texture samples (images)

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were used in this study. For each texture sample a GLCM was calculated and texture features found. Spacifically, uniformity, contrast, correlation, entropy, inver difference moment, and maximum probability were the texture features used here. Following [1] the GLCM were calculated for distances of 1, 2 and 4 at angles of 0°, 45°, 90°, and 135°. The above texture features were calculated for each distance and angle. 1. addition, the average over all angles for each texture feature was calculated. Thus each texture sample is described by a set of 30 numbers (6 texture features, 4 angles, and the average for each feature).

Scatter diagrams of the numerical values for one pair of texture features are shown in Figure 2. These plots are for distance 4 and result from averaging all four GLCM angles. All five textures can be separated using the correlation and maximum probability (Figure 2) features only for the system with a 25 m spatial resolution and with four independent samples averaged. As either the radiometric resolution is degraded (decreased number of independent samples or looks averaged) or the spatial resolution is degraded the ability to separate these textures is also degraded. This same result was found for other combinations of texture features [13]. In all cases only the images with 25 m, 4 looks could be quantitatively used to separate these textures using the GLCM.

This experiment reinforces the conclusions of our previous work [1]: automatically derived texture features can be used to discriminate texture in radar images of rough terrain. Additionally, this study shows that the ability to use the GLCM to classify texture is strongly dependent upon both the sensor's spatial and radiometric resolution.

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Even though the data set used for this study was very limited these results do indicate that the usefulness of textural features for radar image analysis is sensitive to the spatial and radiometric resolutions of the sensor. This should be expected because it is well known that for manual analysis the interpretability of radar images is sensitive to the radiometric and spatial resolutions [14-17]. Thus, this study demonstrated that this sensitivity also exists for automatic analysis.

### IV. <u>A Study of the Effect of Look Direction On</u>

#### Texture in SAR Images

For an automatic texture analysis system for radar to be successful, a set of texture features must be found which are invariant to the flight path of the sensor. This invariance is clearly needed because the orientation of the terrain features relative to the sensor's flight path is not known a priori. For the geologic analysis of radar imagery where terrain elevation plays a dominant role the imaging geometry of radar would seem to be a dominant factor. Also the question of Invariance is important in the search for 'optimum' sensor configurations. For example, it might be possible to classify certain terrain features at one sensor orientation but not at another. However, because the orientation of the sensor to the terrain features of interest will never be known a priori an optimum sensor configuration might not exist.

The purpose of this section is to describe the results of an experiment which was aimed at determining the sensitivity of GLCM "xture features, shown to be valuable as a discriminate, to the sensor flight direction, i.e., the target/sensor orientation. It was found

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(given the limitations of the experiment) that the texture features considered here could be classified for one or two target/sensor orientations but not for all the three orientations considered here. []

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To isolate the effect of sensor look direction it was necessary to use radar simulation [18] to create a set of images with controlled terrain and sensor parameters. Further it was possible using the simulation approach to remove (i.e., not include) the effect of speckle [18]. Therefore, this study focused on how shadow, layover, and range compression changed the image manifestations of complex terrain structure as the look direction of the sensor was varied.

In radar image simulation (for a complete description see [18]), the terrain to be analyzed is represented as a two-dimensional integer array referred to as a data base. This array is stored on a file containing fixed-length records. These correspond directly to rows in the array which contain a fixed number of words (columns). This relationship is shown in Figure 3.

The three data bases used in this study were generated from data received from the U.S. Geological Survey in the form of three digital elevation models. These were received containing elevation values which correspond directly to a 1:24000 (1 inch = 2000 feet) topographical map sampled at 30 meter intervals in both the x and y directions. Let x define columns in our data base and y to refer to rows (see Figure 3). In these data x and y both represent 30 meters on the ground. Thus each elevation value was considered to be valid for an area of 30x30 square meters.

The third dimension of the data base, h, represents the elevation of each cell above a given reference elevation. Each increment in

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elevation corresponds to  $\Delta h$ , which describes a scaling factor for determining the quantization of the actual elevation. In the digital elevation models used in this study, the value for  $\Delta h$  was equal to one meter. This led to a convenient one-to-one relationship for the elevations.

The relationship among the values for  $\Delta x$ ,  $\Delta y$  and  $\Delta h$  describes the degree to which the elevation changes over an area on the ground. Since only the relative structures of the terrain are of interest in this study, this relationship may be altered as needed. After first removing the reference elevation constant the data was scaled by 0.25. This allowed the  $\Delta x$  and  $\Delta y$  values to represent 7.5 meters, while the value for  $\Delta h$  remained equal to 1 meter.

The simulation of synthetic aperture radar imagery is made possible through the application of a computer program developed at the University of Kansas Remote Sensing Laboratory [18]. This algorithm will simulate the effects of a spaceborne SAR with a look direction parallel to the rows of the data base array. Since the simulation program always processes the data row by row, the only way to achieve a different look direction is to modify, i.e., rotate, the data base. Keeping this in mind, the unmodified data base is defined to be at a look direction angle of 0°. For this study simulated radar imagery was to be generated for the data bases be correctly oriented before the simulation was performed. For this, computer programs were applied to rotate the original data in order to simulate different look angles. Nine data bases were thus available for simulation (3 terrain models at 3 look directions). These nine data bases were then processed using the

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simulation program. The radar parameters used for the simulation were similar to those of the Seasat-A SAR. The altitude of the sensor was considered to be roughly 800 kilometers, and the angle of incidence between the sensor and the first cell of the data base was given to be 20 degrees. For the purposes of this study, it was assumed that all of the terrain data was of one scattering category. The scattering coefficient as a function of incidence angle is shown in Figure 4.

Using these parameters along with the assumed value of 7.5 meters for both along-track and across-track resolutions, radar images were simulated, producing the desired set of controlled images. However, these images are now rotated relative to each other. To eliminate the rotational dependence of the GLCM the simulations were converted to one coordinate system.

Visually the effect of changing the flight path is dramatic. Figure 5a-c contains the simulated radar images for one of the digital terrain models. In Figure 5a the sensor's look direction is from right to left. This is our reference direction and is referred to as the 0° look angle. The simulation of a 45° look angle (i.e., from the upper left to the lower right) is shown in Figure 5b and the 90° simulation (i.e., from top to bottom) is shown in Figure 5c. Similarly, Figures 6a-c and 7a-c contain the image simulations for two other digital terrain models. Close analysis of these images reveals many features which are totally obscured by shadow at one look angle but not at the others as was shown in [17]. Also, the spatial structure changes as the look angle is varied from 0° to 45° to 90°.

Beginning with the 0° look direction three distinct spatial structures, textures, were identified.

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- TEXTURE 1 contained low relief with some small hills and riages, maximum relief is about 100-300 feet.
- TEXTURE 2 contained elongated ridges and mountains usually separated by steep gradient streams, maximum relief is about 500-700 feet.
- TEXTURE 3 contained long, narrow valleys with steep slopes and depths of about 300-400 feet. Valley streams have medium to low gradients.

From each texture, 3 or 4 samples (subimages) were obtained. The same subimages were then sampled from the 45° and 90° look direction simulations. A total of 33 subimages provided the input for this experiment (11 subimages for each look direction). These subimages are shown in Figure 8a-c. The specific research questions addressed by this experiment were (1) can these three textures be classified using GLCM features at any of the three look directions, and (2) can these three textures be classified using GLCM features independent of the look direction, i.e., are the texture features derived from the same spatial structure independent of the look direction of the sensor, thus, can the textures be classified using all three orientations simultaneously.

For each of the 33 subimages described above a GLCM and the resulting texture features were calculated for distances of 4, 6, and 10 at 0°, 45°, 90°, and 135° (these angles will be referred to as GLC angles as opposed to the look direction angle). It was found [13] that distances 4 and 10 showed basically the same trend as 6 so only the distance 6 results will be discussed. Also, it was found that averaging the texture features over the GLC angle as was done previously [1]

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destroyed our ability to separate textures one and two. This is expected from their spatial structure. Thus only results from individual GLC angles will be presented. The GLCM texture features were analyzed pair-wise as was also done previously [1].

Analysis of the data qualitatively showed that all three textures could be classified at one or two target/sensor orientations but not at all three simultaneously. For example, Figure 9a-c contains the scattergrams for the maximum probability and contrast texture features at GLC distance 6 and GLC angle of 0°. At a look direction of 0° (Figure 9a) none of the three textures can be separated, while at 45° (Figure 9b) all three textures can be classified. Analysis of other texture pairs shows the same trend, i.e., the textures considered here can be classified for one or two sensor look directions but not at all three [13]. If the texture samples for each terrain structure from all three look directions are combined it becomes obvious that the textures considered here cannot be classified independent of look direction (see Figure 10a-e).

The purpose of this analysis was to determine the sensitivity that GLCM texture features show to changes in the orientation of the surface structure relative to the sensor. Radar image simulation was used to generate a suitable set of images with the effects of the sensor flight path isolated. Within the limitations of this experiment, i.e., three different terrain structures, and three flight directions, it was shown that (1) the GLCM texture features can be used to classify the terrain structures at one or two flight directions but not at all three, and (2) the GLCM texture features cannot be used to classify these terrain structures independent of the flight path. The search of the optimum

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set of sensor parameters for geologic applications is thus complicated. That is, the results of this study indicate that the optimum sensor for classifying (using either manual or automatic techniques) surface structure is dependent upon the orientation of the structure to the flight path of the sensor. Because of the monostatistic nature of radar imaging the same surface structure imaged at two different flight angles can (and often do) appear totally dissimilar. A set of sensor parameters optimized to detect these structures at one flight angle might be totally different if the flight angle were changed.

#### V. CONCLUSIONS AND RECOMMENDATIONS

Texture is an important characteristic of radar images of rough It was shown that the GLCM derived texture features can be terrain. used to classify texture. In this paper we have demonstrated that GLCM derived texture features are sensitive to both sensor and flight parameters. In fact, we lose our ability to classify texture by these features if either the radiometric or spatial resolution is degraded. We also found that these texture features are sensitive to the sensor flight path. We could classify the surface structure for one or two target/sensor orientations but all not for three considered simultaneously. That is, GLCM texture features cannot be used to classify texture independent of the flight path.

While general conclusions on the sensitivity of textural features to system and flight parameters can be made from the results of this study, there is a need to further refine these conclusions, specifically it is recommended that the sensitivity shown here be quantitatively studied. Quantitative results are needed to help guide system design

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and flight planning. Two approaches to obtaining quantitative results should be pursued in parallel. First, an analytic study of the relationships among surface, sensor and flight parameters and the GLCM is needed. Second, more radar images should be analyzed. With more qualitative discussion of the effects of spatial data the and radiometric resolution can be extended to a quantitative analysis, for example plots of the 'variance' of each cluster as a function of resolution could then be studied. The ultimate goal of such an analysis would be an expression for the sensitivity of each texture feature as a function of resolution. This study also dealt with only radical changes in the flight direction over a fixed site. Further analysis is now needed to determine the effect of small angle changes, e.g., on the order of 5°. Also this study only considered one angle of incidence. It would be interesting to determine if there exist some incidence angle for which we could classify surface structure independent of the flight angle.

#### ACKNOWLEDGMENTS

The authors are indebted to the individuals who have contributed to this study, Dr. Josephine Stiles and Mr. Scott Gardner. Also, thanks are expressed to Dr. Dan Held of the Jet Propulsion Laboratory for supplying the SEASAT-A SAR data.

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- Figure 4. Backscattering Function Used in Radar Image Simulations.
- Figure 5. Radar image Simulations for Three Flight Paths for Site 1.
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- Figure 10. Scatter Diagrams for Texture Feature Pairs Combining all Flight Paths.

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Figure 1. Seasat-A SAR Image.

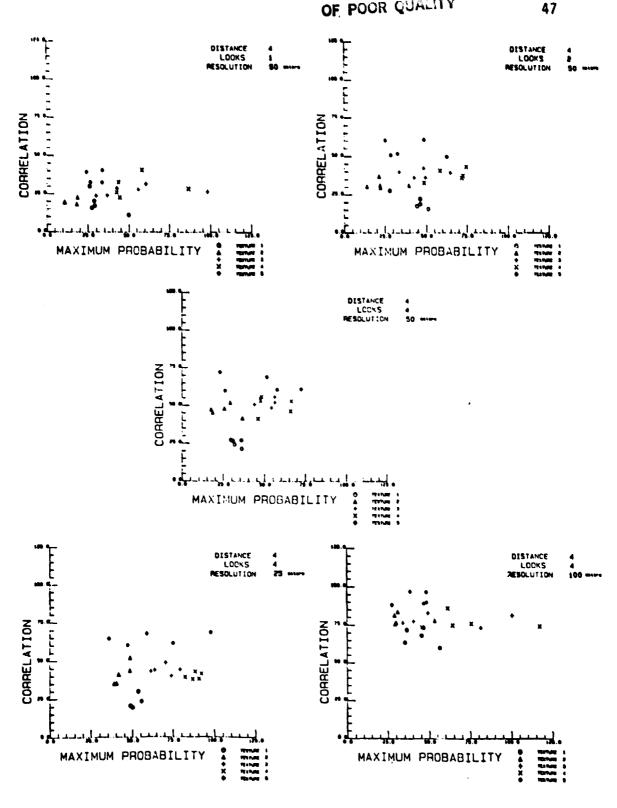
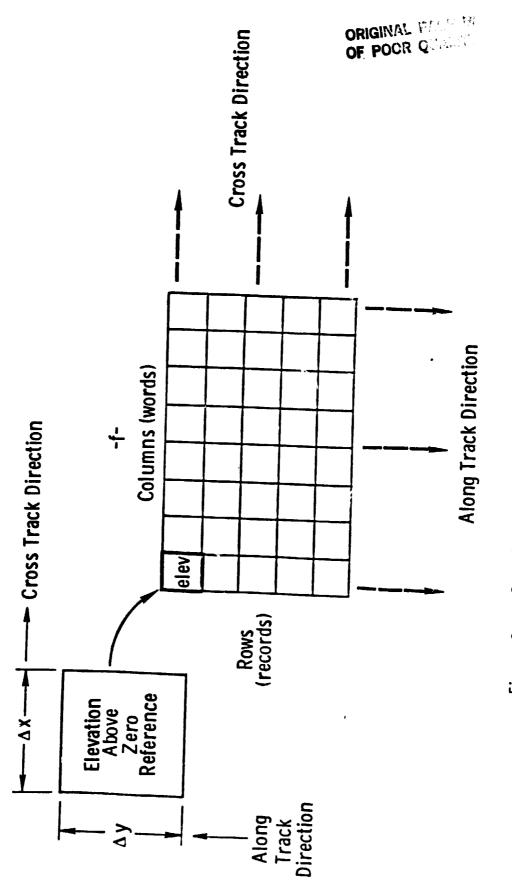
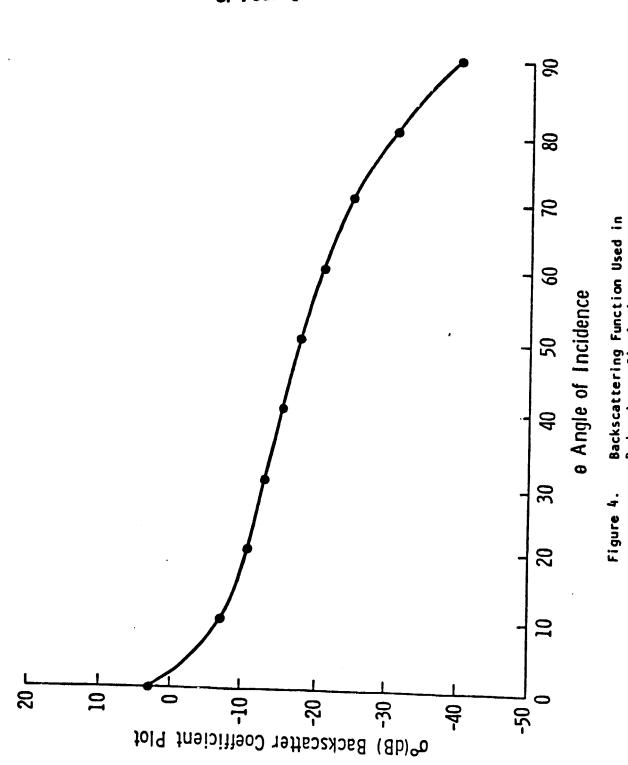


Figure 2. Scatter Diagrams for Texture Feature Pairs as a Function of Spatial and Radiometric Resolution (Average Over All GLC Angles). Maximum Probability/Correlation.



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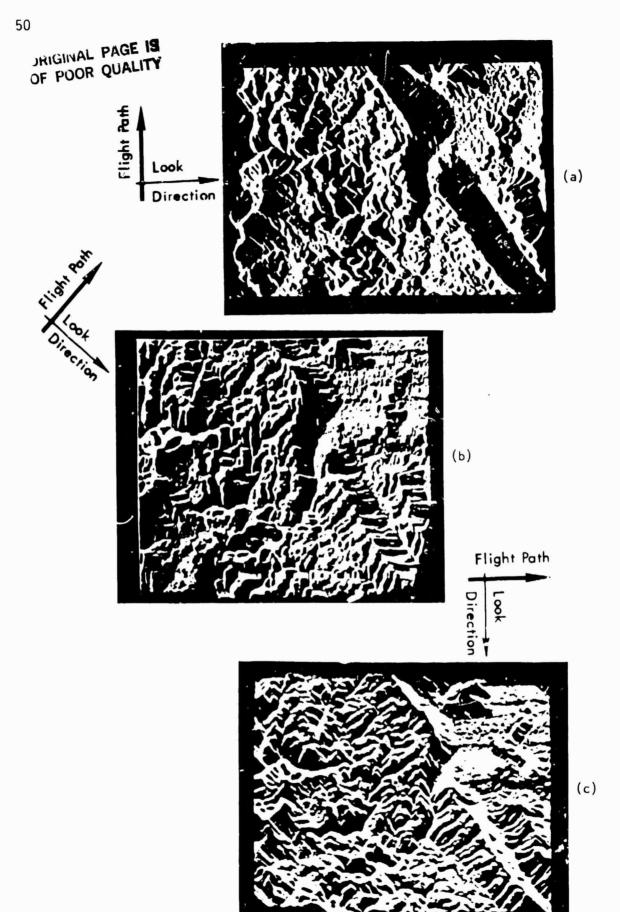


Figure 5. Radar Image Simulations for Three Flight Paths for Site 1.

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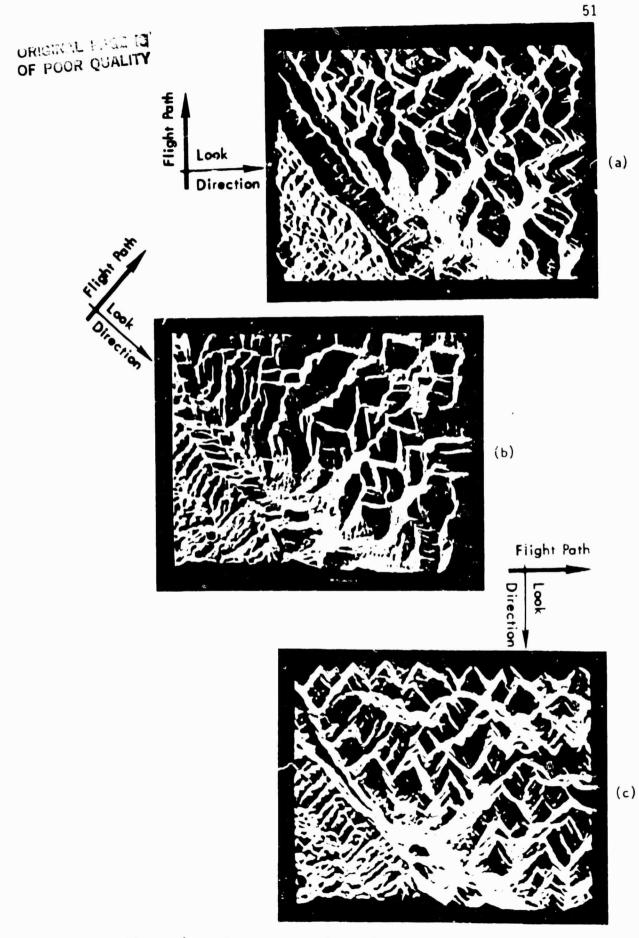
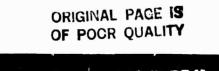


Figure G. Radar Image Simulations for Three Flight Paths for Site 2.

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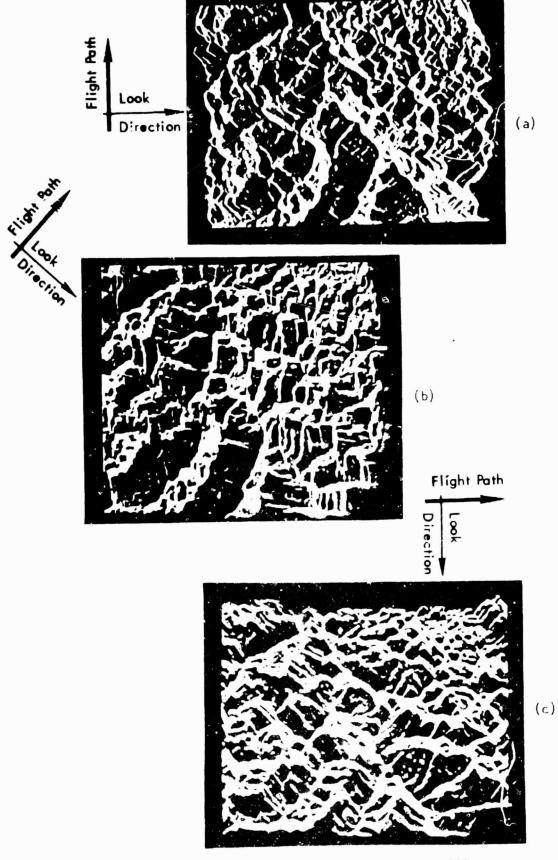


Figure 7.

Radar David in the Flight Paths the lite 1.

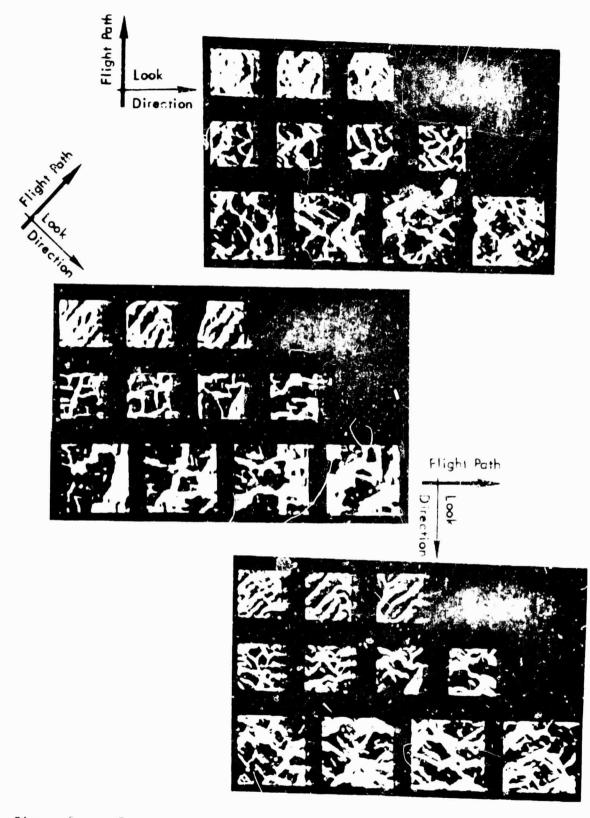
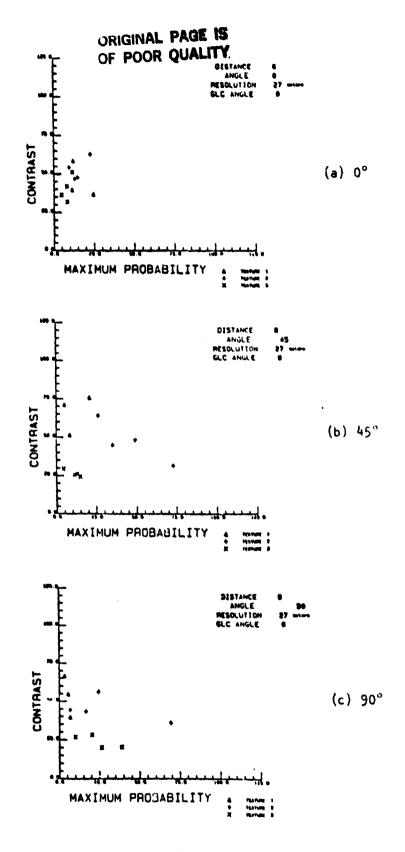
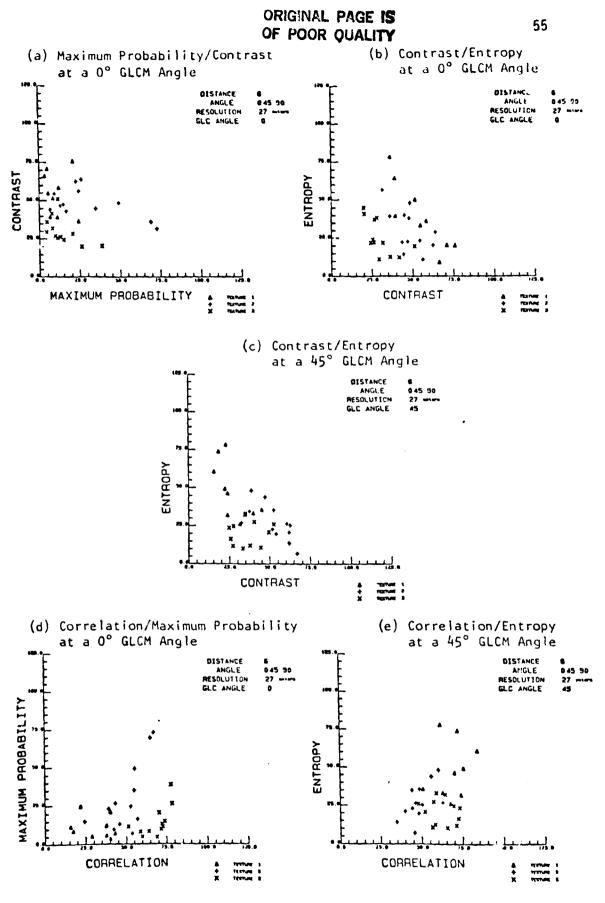


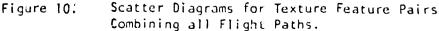
Figure 5. Texture Samples from the formation of and



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Figure 9. Scatter Diagrams for Texture Feature Pairs, Contrast/Maximum Probability, at a 0° CLCM Angle as a Function of Flight Path.





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Table 1. Geology and Topography of the Tennessee Test Area.

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	GEOLOGY	TOPOGRAPHY
т <sub>5</sub>	Rocks of the Lower Pennsylvanian Consisting of Alternating Beds of Sand- stone and Shale with a Few Beds of Coal.	Mountains and Ridges with Steep Slopes and a Maximum Relief of of About 1500 Feet.
T <sub>4</sub>	Rocks of the Lower to Middle Ordovician Consis- ting Primarily of Dolomite and Cherty Dolomite with Some Beds of Limestone, Shale, and Sandstone.	Rolling Hills and Several Ridges with a Maximum Relief of 200-300 Feet.
T <sub>1</sub>	See T <sub>4</sub>	Area of Overall Low Relief but with Many Small Hills that are Separated by Several Creeks and Streams.
T <sub>3</sub>	Central Region (See T <sub>4</sub> ) Flanked on Either Side by Rocks of the Upper Part of the Middle Cambrian in Beds of Dolomite, Lime- stone, and Slate.	Rolling Hills and Elongated Ridges Separated by a Trellis Drainage Pattern and Having a Maximum Relief of About 500 Feet.
T <sub>2</sub>	Rocks of the Upper Pre- Cambrian Consisting Primarily of Metasediments.	Mountains and Hills with Steep Slopes and a Maximum Relief of About 1000 Feet.

#### Table 1

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ASPECTS OF SIMULATION FOR RECTIFICATION STUDIES

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SCHOOL OF CIVIL ENGINEERING PURDUE UNIVERSITY



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### ASPECTS OF SIMULATION FOR RECTIFICATION STUDIES

#### INTRODUCTION

RECTIFICATION = GROUND POSITION OF PIXEL CENTER

GIVEN PIXEL ROW, COLUMN

1

NEED: 1. SATELLITE POSITION

- 2. DIRECTION OF IMAGING (OPTICAL) AXIS
- 3. INTERNAL GEOMETRY OF SENSOR
- 4. ATMOSPHERIC CONDITIONS
- 1. FROM SATELLITE TRACKING
- 2. FROM ATTITUDE SENSORS
- 3. FROM CALIBRATION
- 4. NEGLECTED

NOT SUFFICIENT FOR SUB-PIXEL ACCURACY ALTERNATIVE PARAMETER MODELING AND ESTIMATION n in the second s

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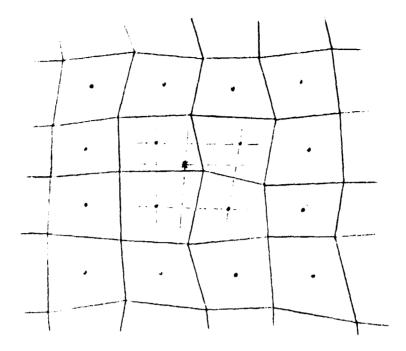


FIGURE 1. GROUND POSITIONS OF PIXELS

- PIXEL CENTER GROUND POSITION
- \* OTHER POINT

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HEAVY LINES ARE PIXEL BOUNDARIES DOTTED LINES SHOW INTERPOLATION FOR POSITION OF A POINT

MIKHAIL/PADERES

## FACTORS AFFECTING RECTIFICATION ACCURACY

- SENSOR RELATED

SCAN NON-LINEARITY SCAN CORRECTOR NON-LINEARITY (TM) BAND-TO-BAND OFFSETS TIMING ERRORS

- PLATFORM ORIENTATION EPHEMERIS ATTITUDE
- EARTH RELATED FACTORS EARTH SHAPE EARTH ROTATION ATMOSPHERIC REFRACTION (NEGLIGIBLE?)

- GCP'S

ACCURACY DENSITY DISTRIBUTION

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#### METHODS OF RECTIFICATION

#### INTERPOLATIVE APPROACH

# ARBITRARY MATHEMATICAL FUNCTION RELATING IMAGE COORDINATES TO RECTIFIED (GROUND) COORDINATES

NO SENSOR/PLATFORM MODELING

PARAMETRIC APPROACH

ATTEMPT AT EFFECTIVE GEOMETRIC MODELING OF IMAGING PROCESS

PROPER USE OF A-PRIORI INFORMATION

STUDY OF ERRORS AND THEIR EFFECTS IS POSSIBLE THROUGH SIMULATION

# RECTIFICATION / REGISTRATION AFFRUACHES

#### INTERPOLATIVE APPROACH TO RECTIFICATION/REGISTRATION

- TYPES

GENERAL TRANSFORMATION

+ 4-PARAMETER ORTHOGONAL

+ 6-PARAMETER AFFINE

+ HIGHER ORDER POLYNOMIAL

+ HARMONIC SERIES

MOVING AVERAGES

+ WEIGHTED MEAN

MESHWISE LINEAR

LINEAR LEAST SQUARES PREDICTION

FINITE ELEMENT METHODS

SPLINES

CHARACTERISTICS

CONTROL POINTS NECESSARY NO SENSOR/PLATFORM MODELLING A-PRIORI INFORMATION NOT USED ERROR CAUSES AND INTERACTION NOT STUDIED

OF TOOLSONLINY

# RECTIFICATION / REGISTRATION APPROACHES 65

PARAMETRIC APPROACH TO RECTIFICATION

- PRESENT IMPLEMENTATION

SENSOR GEOMETRY CORRECTION EARTH RELATED SYSTEMATIC ERRORS CORRECTION EPHEMERIS AND ATTITUDE DATA EXPLOITATION PLATFORM ORIENTATION ESTIMATION USING GCP'S INTERMEDIATE PIXEL POSITION COMPUTATION

- PLATFORM ORIENTATION ESTIMATION FIXED MODEL RANDOM MODEL

#### - CHARACTERISTICS

SENSOR/PLATFORM MODELLING NECESSARY CONTROL POINTS NOT USED SOMETIMES PIXEL ELEVATION ASSUMED KNOWN (SINGLE COVERAGE) GROUND GEOMETRIC CONSTRAINTS NOT EXPLOITED SENSOR/PLATFORM MODELLING INCOMPLETE

OF FOOR QUALITY

MIKHAIL/PADERES

# FHUTU SRANMETRIC APPRLACH

#### PROPOSED LANDSAT MSS/TM FRAME RECTIFICATION -

- PROBLEM

RECOVERY OF PIXEL GROUND POSITION FROM SINGLE MSS/TM FRAME

- APPROACH

PARAMETRIC

- MODEL

COLLINEARITY

- IMPLEMENTATION -

SENSOR GEOMETRY CORRECTION PLATFORM ORIENTATION ESTIMATION USING GCP'S INTERMEDIATE PIXEL POSITION COMPUTATION

- CHARACTERISTICS

SMALLER ANGULAR COVERAGE BENIGN SPACE ENVIRONMENT MORE COMPREHENSIVE SENSOR/PLATFORM MODEL IMPLICIT CORRECTION OF EARTH RELATED SYSTEMATIC ERRORS

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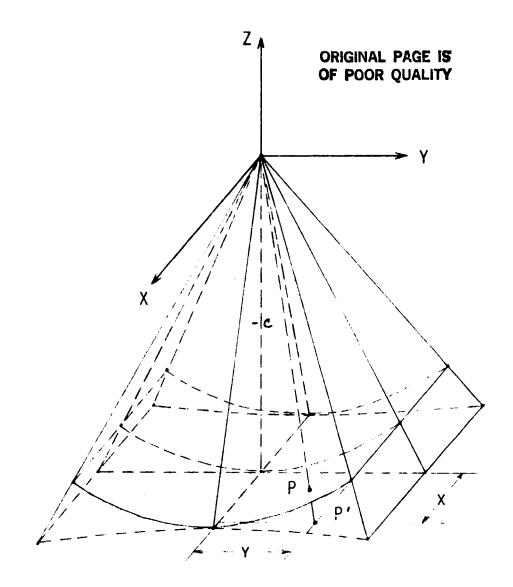
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IMAGING EQUATIONS

 $\begin{bmatrix} X \\ Y \\ Z \end{bmatrix} = S M \begin{bmatrix} U - U_S \\ V - V_S \\ W - W_S \end{bmatrix} = S \begin{bmatrix} M_{11} & M_{12} & M_{13} \\ M_{21} & M_{22} & M_{23} \\ M_{31} & M_{32} & M_{33} \end{bmatrix} \begin{bmatrix} U - U_S \\ V - V_S \\ W - W_S \end{bmatrix}$  $\begin{bmatrix} X & Y & Z \end{bmatrix}^T = IMAGE COORDINATES OF A POINT$  $\begin{bmatrix} U & V & W \end{bmatrix}^T = COORDINATES OF CORRESPONDING GROUND POINT$  $\begin{bmatrix} U_S & V_S & W_S \end{bmatrix}^T = SATELLITE COORDINATES AT INSTANT OF POINT IMAGING IN GROUND COORDINATE SYSTEM$ 

M = ROTATION MATRIX WHICH BRINGS GROUND COORDINATE. SYSTEM PARALLEL TO IMAGE COORDINATE SYSTEM

s = SCALE FACTOR; VARIES FROM POINT TO POINT



÷.

FIGURE 2. INTERNAL GEOMETRY OF SCANNING TYPE SENSOR

- P A POINT ON IMAGE FRAME
- P' ITS PROJECTION ON A PLANE TANGENT TO CENTER LINE
- C PRINCIPAL DISTANCE OF SENSOR LENS

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COLLINEARITY EQUATIONS FOR SIMULATION

$$\frac{U-U_{S}}{W-W_{S}} = \frac{M_{11} X + M_{21} Y + M_{31} Z}{M_{13} X + M_{23} Y + M_{33} Z}$$

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$$\frac{V-V_{s}}{W-W_{s}} = \frac{M_{12} X + M_{22} Y + M_{32} Z}{M_{31} X + M_{32} Y + M_{33} Z}$$

 $\begin{bmatrix} U & V & W \end{bmatrix}^T$  - DEFINED IN RECTANGULAR COORDINATE SYSTEM  $\begin{bmatrix} \phi & \lambda & H \end{bmatrix}^T$  - DEFINED IN GEOGRAPHIC COC DINATE SYSTEM:  $\phi$ , LATITUDE;  $\lambda$ , LONGITUT ; H, ELEVATION

FOR SIMULATION

- + ONLY [U V W] T UNKNOWN IN COLLINEARITY EQUATION
- + TRANSFORM  $\begin{bmatrix} U & V \end{bmatrix}^T \begin{bmatrix} \phi, \lambda, H \end{bmatrix}^T$
- + ASSIGN VALUE TO H
- + SOLVE FOR  $\phi$ ,  $\lambda$

#### SYNTHETIC DATA

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- GENERATION

MODEL: SATELLITE COLLINEARITY EQUATION

EXPLOIT A-PRIORI KNOWLEDGE OF PARAMETERS

FRAMES WITH DIFFERENT PERTURBATIONS

- VALIDATION PROCEDURE

SELECT REAL IMAGE WITH MANY SUITABLE FEATURES FOR GCP'S IDENTIFY GCP'S

DIVIDE GCP'S INTO TWO GROUPS

RECTIFY IMAGE USING FIRST GCP GROUP

COMPUTE MSE USING SECOND GCP GROUP

REPEAT USING SYNTHETIC IMAGE SIMILAR GCP CONFIGURATION COMPARE MSE'S

- 1 j

#### COLLINEARITY EQUATIONS FOR RECTIFICATION

$$\frac{X}{Z} = \frac{M_{11} (U-U_s) + M_{12} (V-V_s) + M_{13} (W-W_s)}{M_{31} (U-U_s) + M_{32} (V-V_s) + M_{33} (W-W_s)}$$

$$\frac{Y}{Z} = \frac{M_{21} (U-U_s) + M_{22} (V-V_s) + M_{23} (W-W_s)}{M_{31} (U-U_s) + M_{32} (V-V_s) + M_{33} (W-W_s)}$$

- +  $[X Y Z]^T$  and  $[U V W]^T$  KNOWN (control points)
- + PARAMETERS DEFINING ROTATION MATRIX AND  $\begin{bmatrix} U_{S} & V_{S} & W_{S} \end{bmatrix}^{T}$
- + GIVEN ENOUGH CONTROL POINT PARAMETERS SOLVED FOR BY LEAST SQUARES

# **N83** 23077 73 D4

Image Matching Using Hough Transforms

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Larry S. Davis and Azriel Rosenfeld

Computer Vision Lab University of Maryland

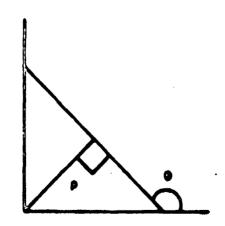
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## Hough Transform Techniques

- Efficient matching procedures
- 1) Line detection
  - find sets of collinear feature points from the set  $P = \{(X_1Y_1)\}$
  - Line representation
    - P = X COS 0 +Y SIN 0



- Collinger points lie on a line of porticular (+,+)

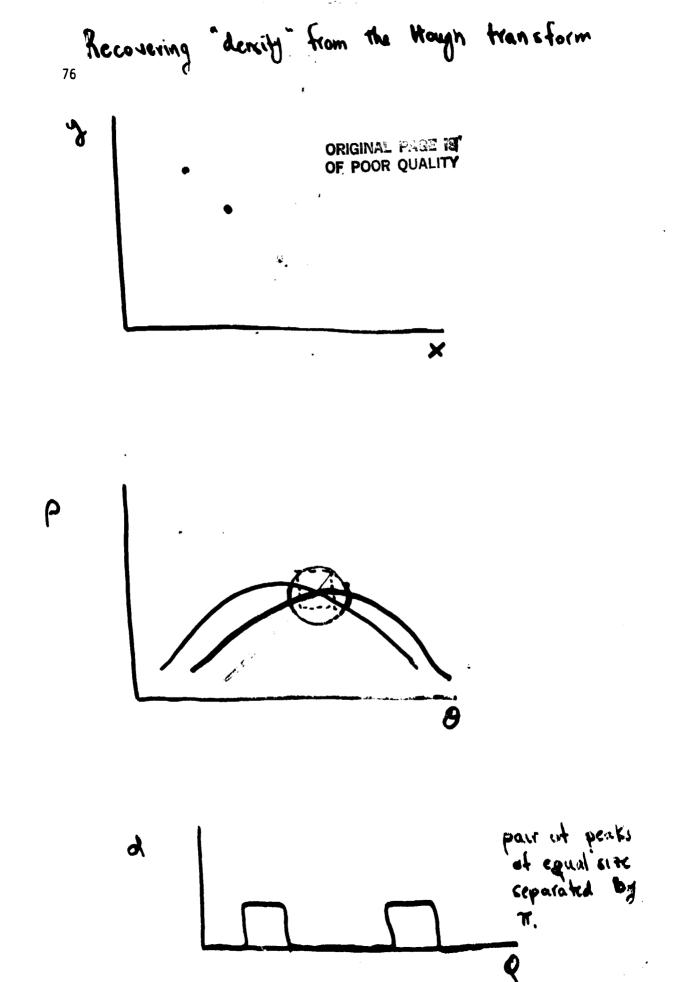
lough transform - array of accumulators  $H(0, \rho) = number of points in P which lie on the line$ o = Xcose + Ysine For each  $(X,Y) \in P$  do For • - 0,2+,d• do begin P = Xcose + Ysin●  $H(\Phi, \rho) = H(\Phi, \rho) + 1$ end - Peaks in H(0,0) correspond to large sets of collinear points thile largest (H) > t do

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2) Circles (Eallard and Sklansky)

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 $(X-h)^2 + (Y-k)^2 - r^2$ 

- assume you know r

- want to find (h,k)

For each (X,Y) < P do For = 0,2=,d= do begin h = X + r cos = k = y + r sin = H(h,k) = H(h,k) + 1

end

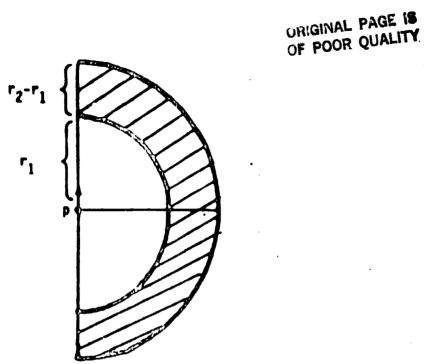


Figure 7. Hash marks show loci of possible circle centers for point p.

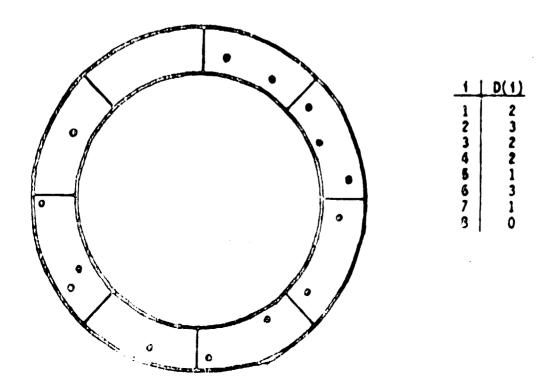




Fig. 7. Digitized  $61 \times 64$  orchard image.

## 3. APPLICATION TO THE DESCRIPTION OF NATURAL IMAGES

In this section we will discuss an application of the procedures described in the preceding section to the description of a set of real textures. As described in the introduction, the problem is to detect trees in aerial photographs of citrus groves, and then to classify the trees as being either healthy or infested. We are concerned here only with the process of tree detection. We will detect trees by first detecting a set of "obvious" trees, and then using the locations of those trees as input to the processes described in the preceding section. These procedures will predict the locations of other trees in the image; these locations can be used by subsequent programs to detect and classify trees not found by the first stage.

The procedures for detecting trees consists of differentiating the image and then using a Hough transform (Kimme *et al.* [11], Shapiro [42]) to find tree centers in the differentiated image.

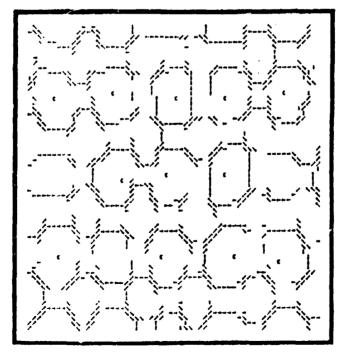
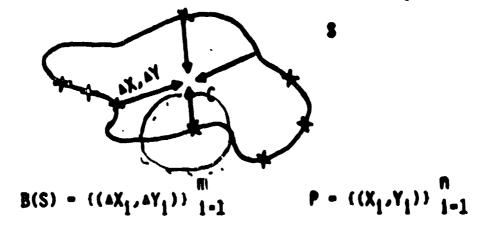


Fig. 8, Circle centers for Fig. 7.

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## 3. Arbitrary Shapes (Ballard, Davis and Yam)

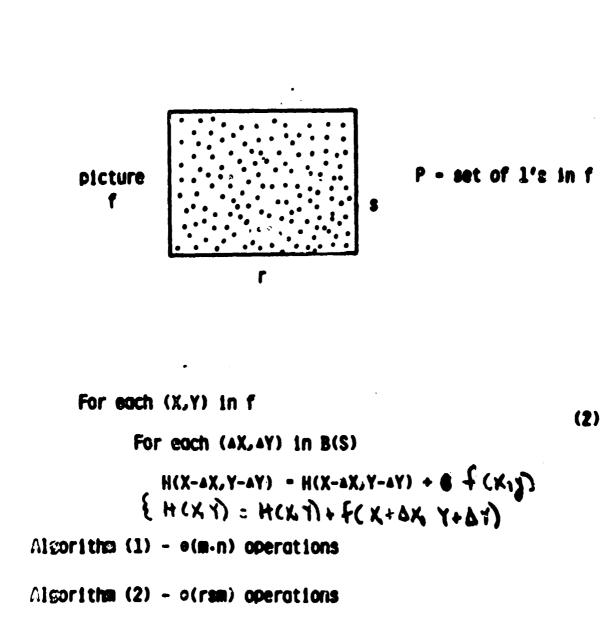


a. Position invariant matching (Skiansky)

For each  $(X,Y) \leftarrow P$  do (1) for each  $(\Delta X, \Delta Y) \leftarrow B(S)$  do

H(X+AX,Y+AY) = H(X+AX,Y+AY) + 1

Computationally equivalent algorithm:



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## b. Rotation invariant matching - only the magnitude of the vectors in B can be used For each $(X,Y) \in P$ do For each $(AX, AY) \in B(S)$ do For $\bullet = 0,2\pi,d\bullet$ do begin $X' = X + AX \cos \bullet$ $Y' - Y + AY \sin \Theta$ H(X',Y') = H(X',Y') + 1end

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- each Bair ((X,Y), (AX,AY)) is used to paint out a circle in H.

c. Scale invariant matching  $(S_1, S_2)$ 

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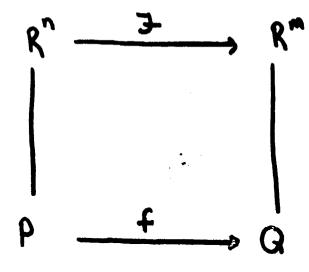
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For each (X,Y) « P For each (AX,AY) « B(S) For S = S1,S2,AS begin

> X' - X + S AX Y' - Y + S ay H(X',Y') - H(X',Y') + 1

end





- P pattern of interest Q - pattern of detected features
- $P: \{p_1, \dots, p_m\} \in \mathbb{R}^n$  $Q: \{g_1, \dots, g_n\} \leq \mathbb{R}^n$ 
  - $f(P): \{f(p_i), ..., f(p_r)\}$
- Goal: Find fe3 such that
  - 小(も): # ( G t c b ))

is minimal

Ordinarily :

- I is defined by a set of parameters { tis..., tu}

cxample

j: R'→ R'

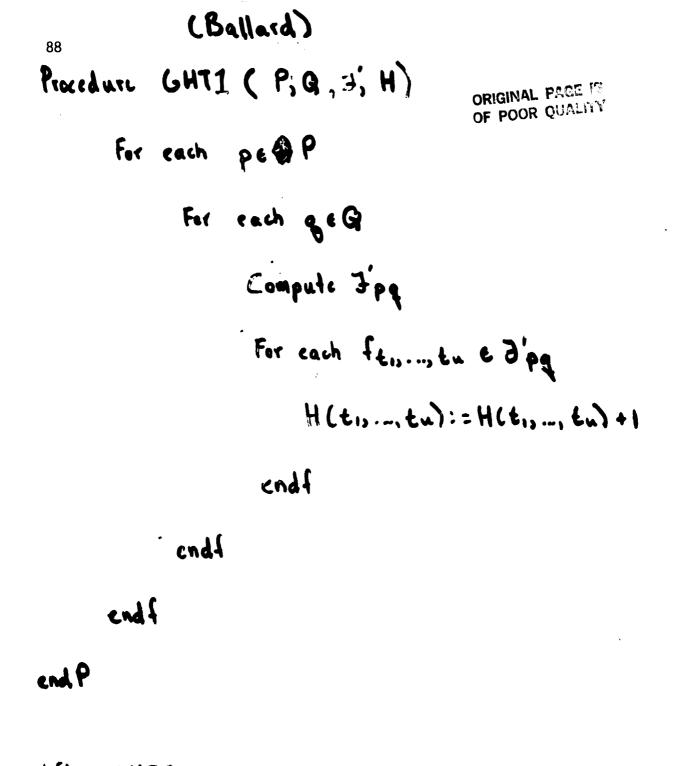
- feier e z is delined by feier (xig) = (x+ti, y+tr)
- for computation, I is reduced to m I', a finite subset, by D restricting the domains of the Ei
  - 2) quantising the resulting restricted domains.

86 Key to the efficiency of the GHT is the ability to efficiently envinerate certain subsets of 2. Let peP ORIGINAL PAGE IS OF POOR QUALITY e C Untine: Jpa: {flfed, f(p): 23}=3 In practise, we deal with 7 3 pz: Eflfe3', dut (fcp), g) LES when E is a constant determined by the quantization of the Ei.

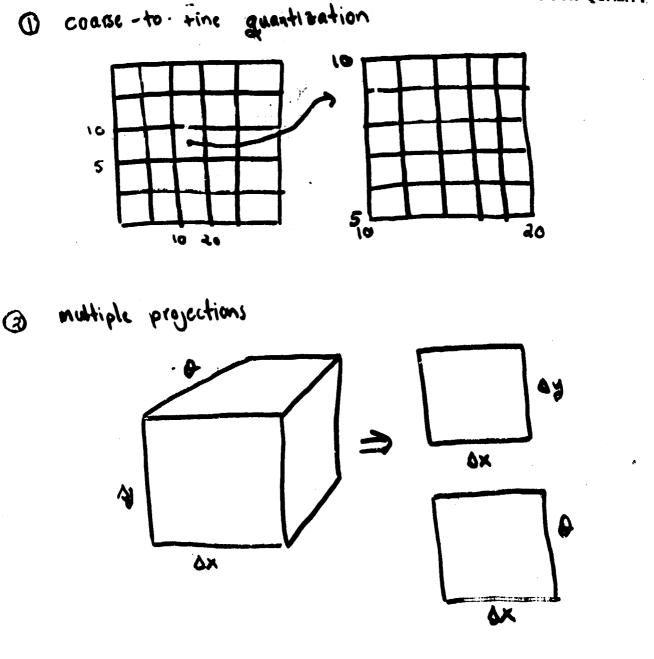
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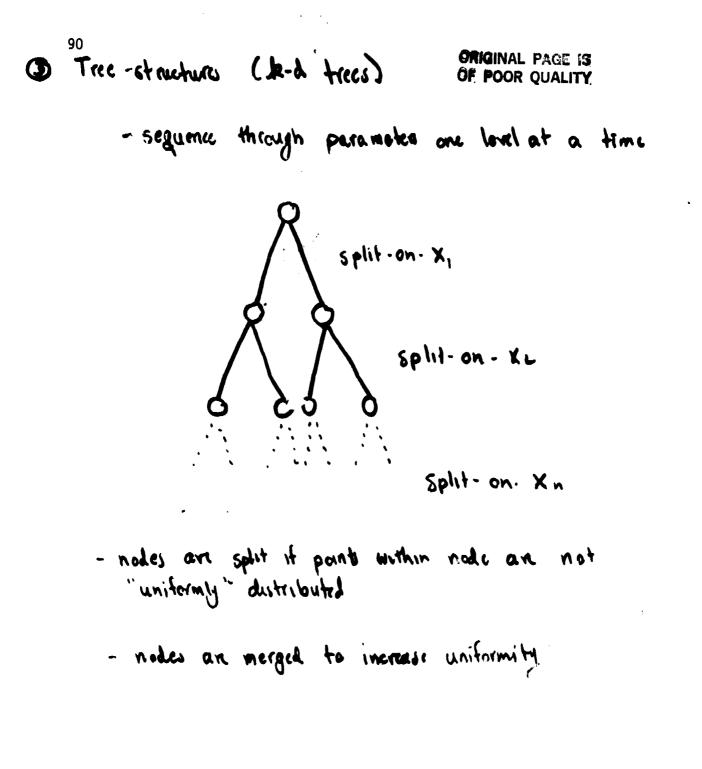


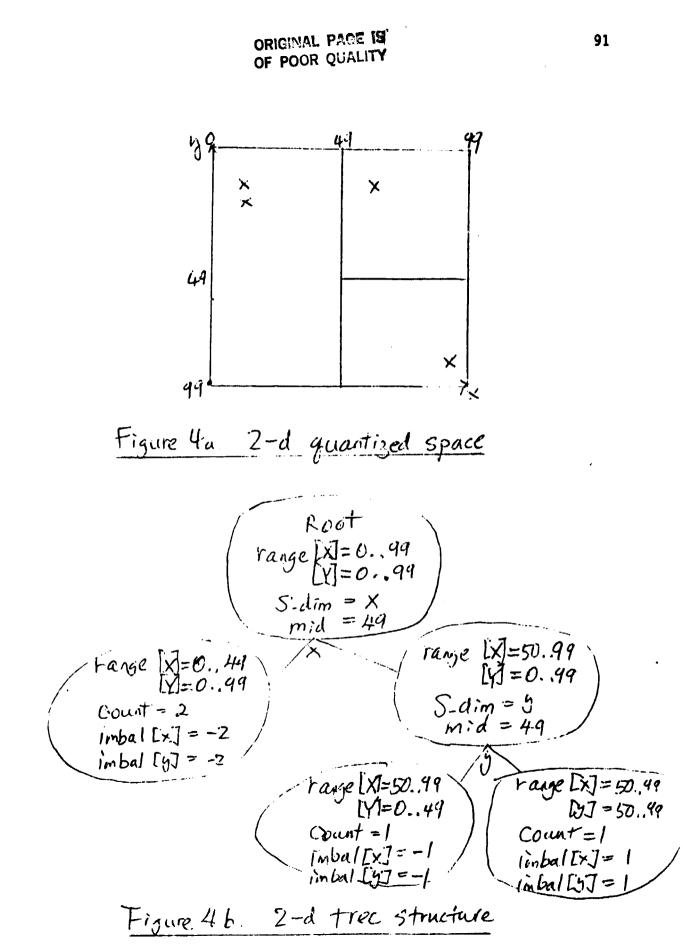
After GHTI H(t1,...,ta) = #(GAS<sub>61,...,tu</sub>(P)) Therefore, the f which maximizes H, minimizer V.



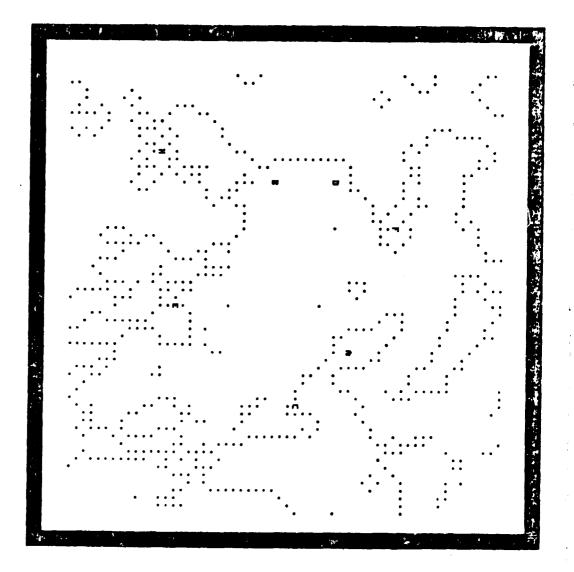
Representing high-dimensional Hough arrays

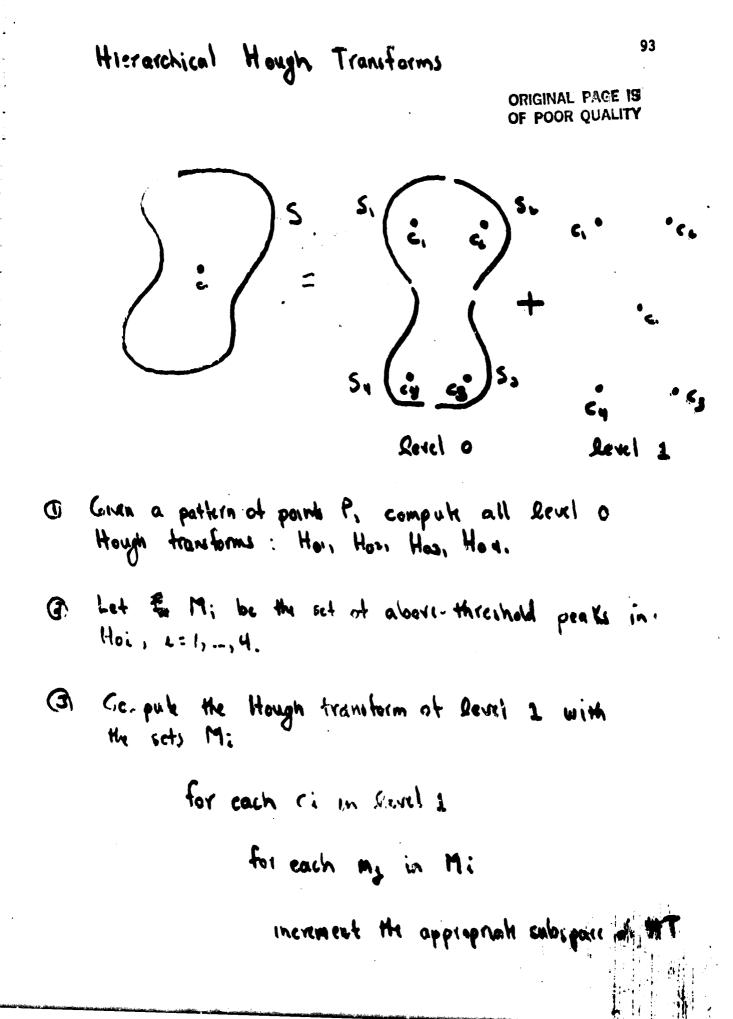
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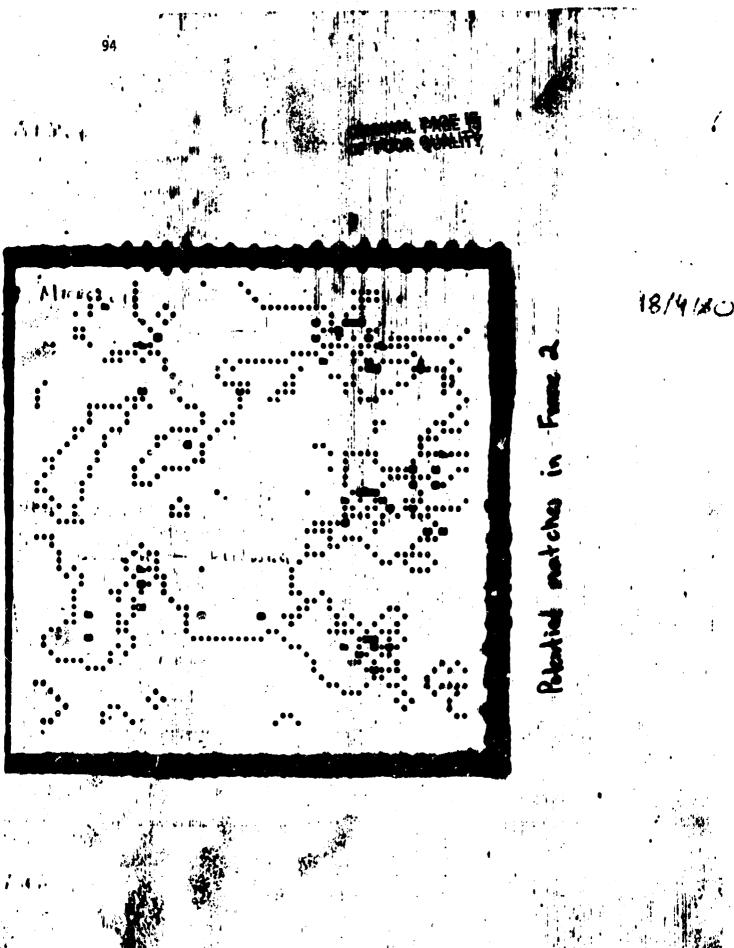


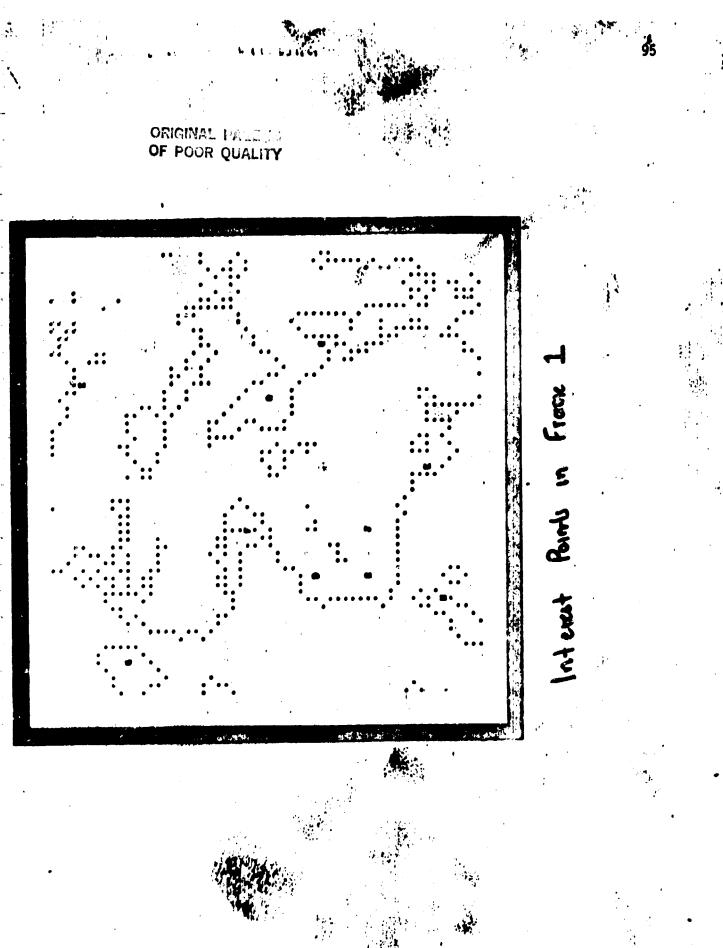


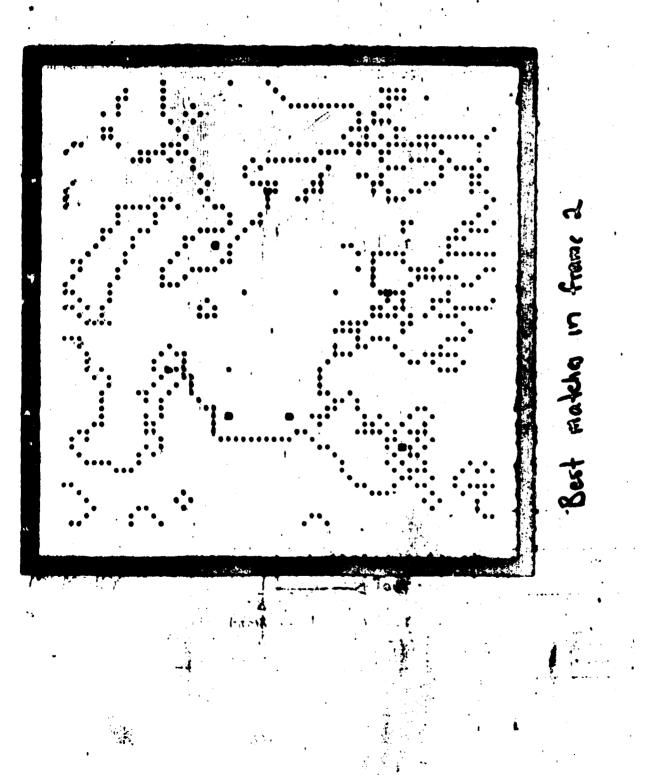


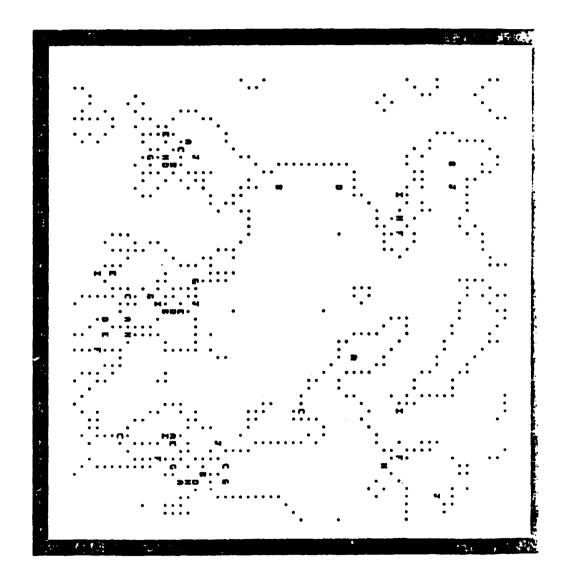










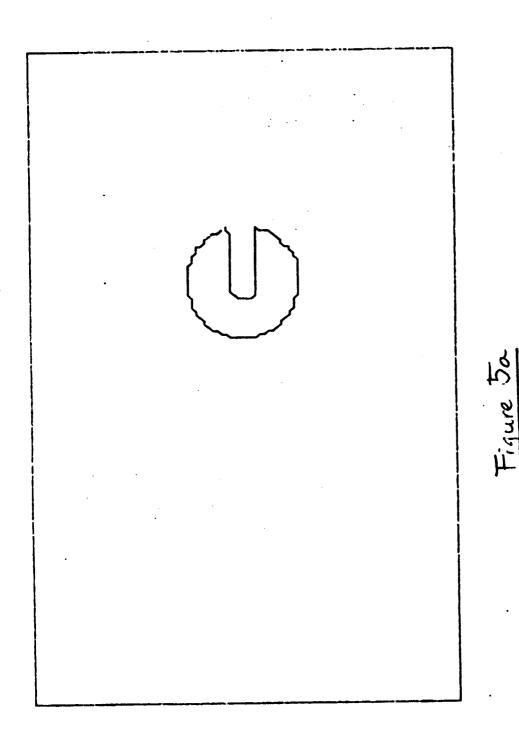


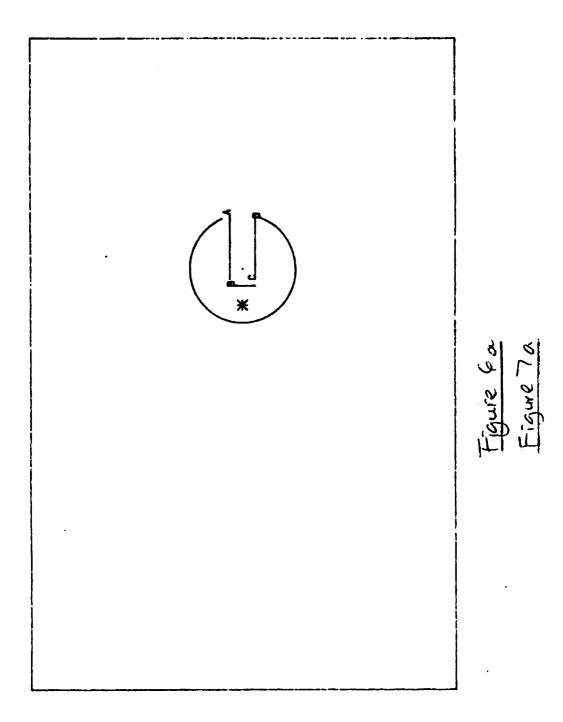
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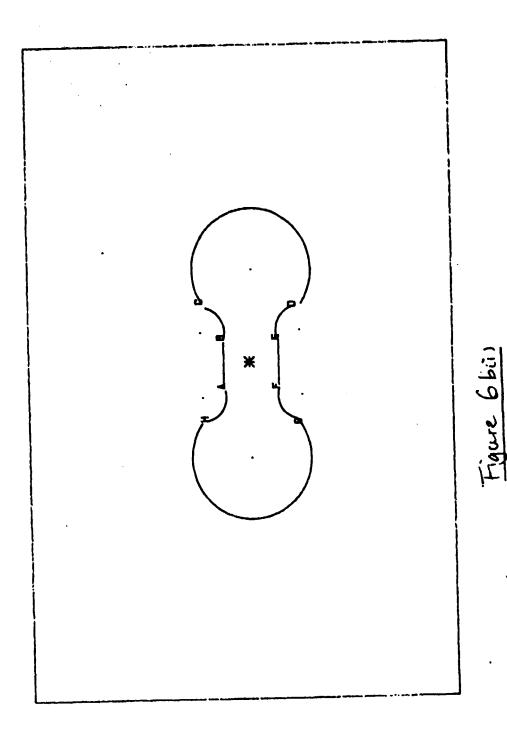
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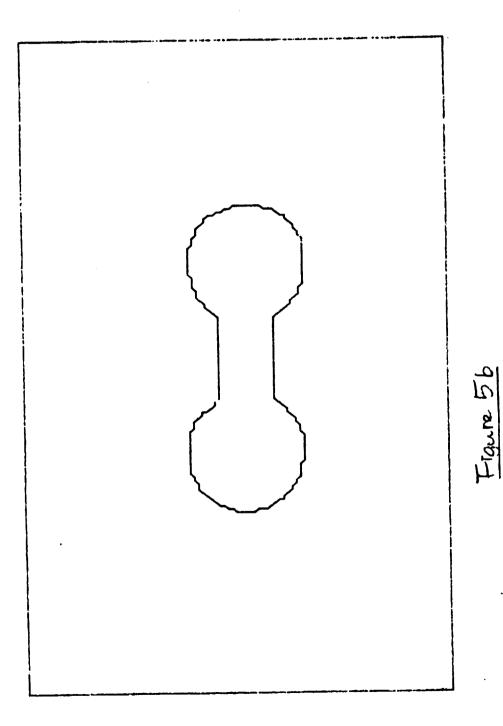


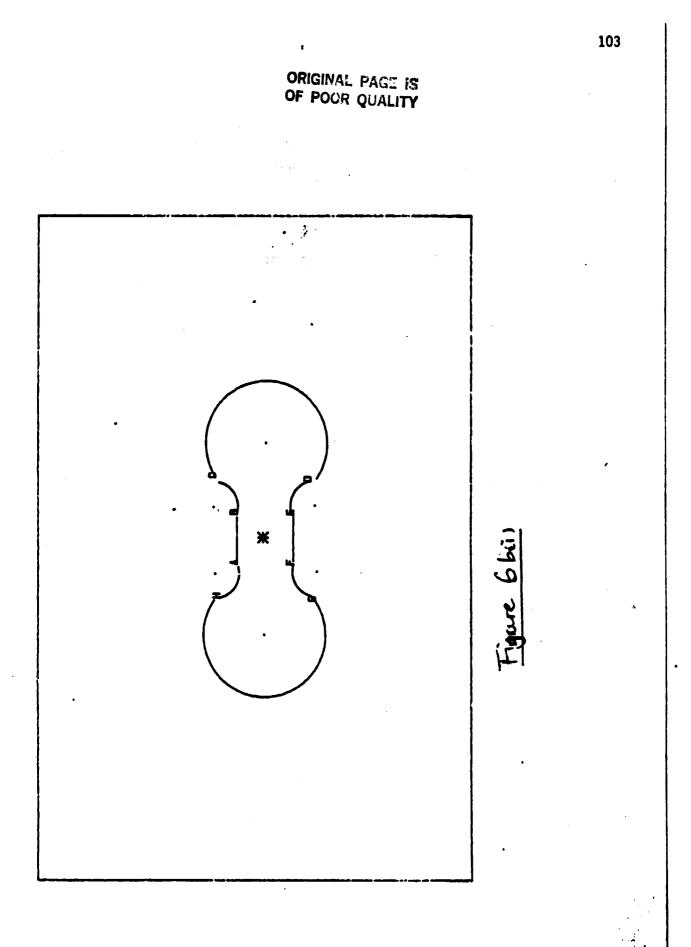


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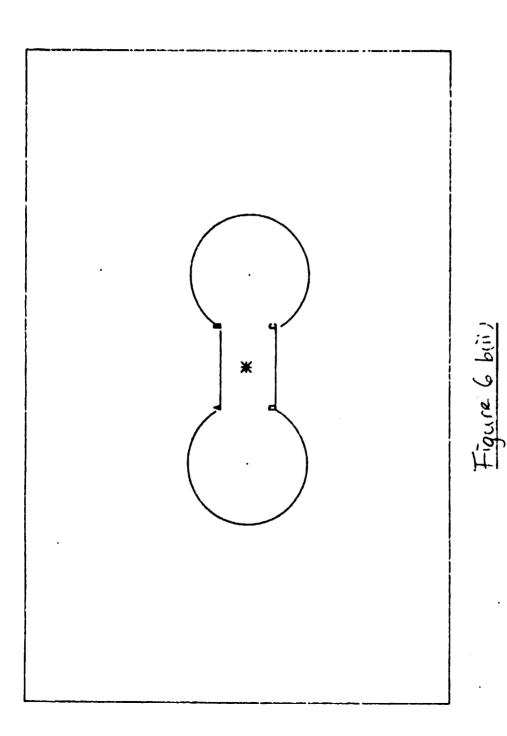




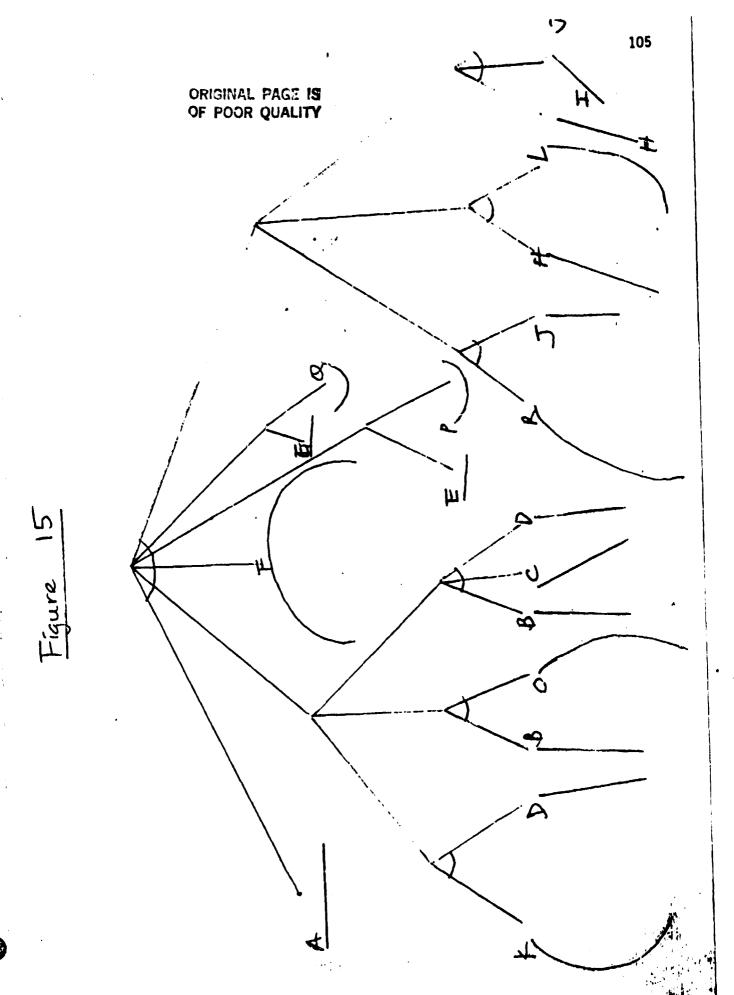
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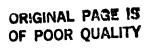
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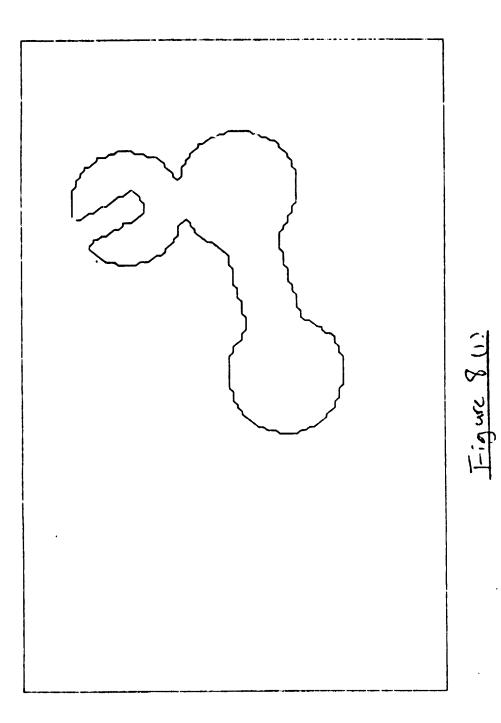


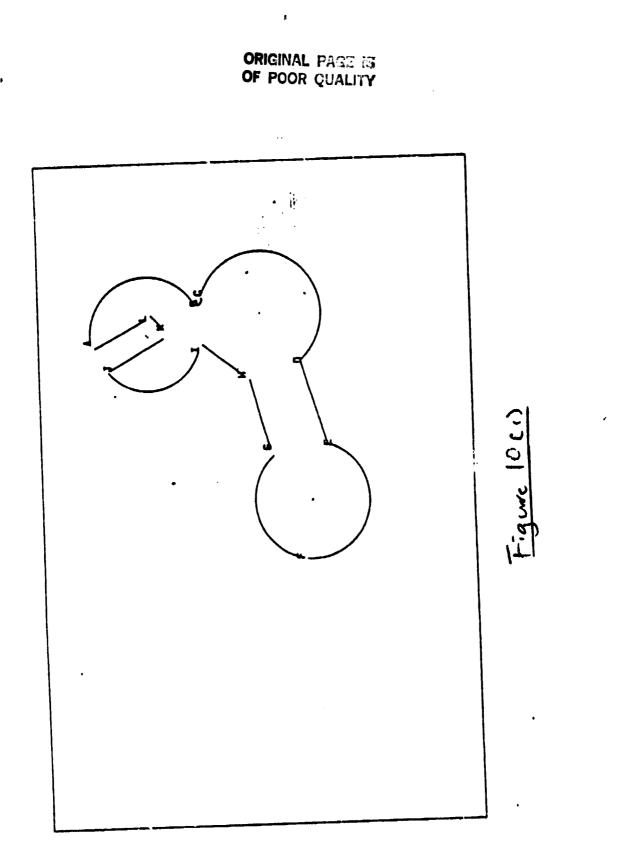
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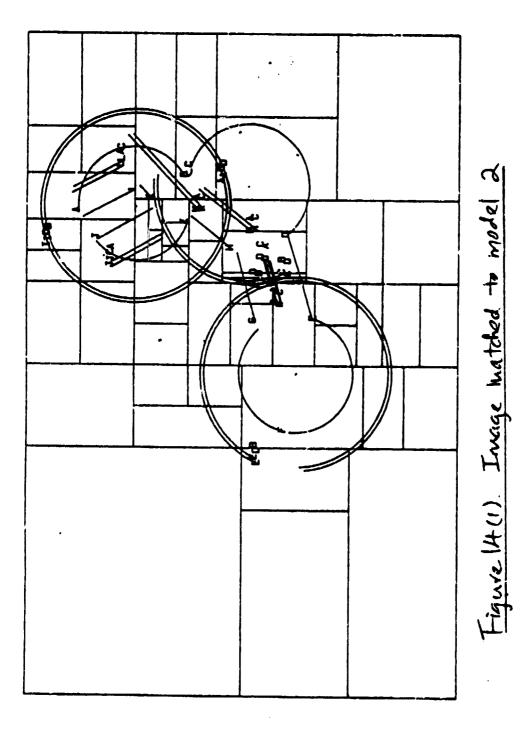




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Subpixel Registration Accuracy and Modelling

> Laveen N. Kanal LNK Corporation

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### INTRODUCTION

- HOW ACCURATELY CAN A LANDSAT IMAGE BE REGISTERED TO A REFERENCE IMAGE?
- HOW CAN SUBPIXEL ACCURACY BE ACHIEVED?
- WHAT FACTORS AFFECT REGISTRATION ACCURACY?
- HOW SHOULD THE REFERENCE IMAGES BE FORMED?
- HOW CAN VARIOUS ALGORITHMS BE EVALUATED?

# ORIGINAL PAGE IS OF POOR QUALITY HIGH REGISTRATION ACCURACY

- NEEDED TO MERGE DATA FROM DIFFERENT SOURCES
  - MULTITEMPORAL DATA
  - MULTISENSOR DATA

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• MISREGISTRATION RESULTS IN REDUCED CLASSIFICATION ACCURACY

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# TYPICAL REGISTRATION REQUIREMENTS

- LESS THAN .5 PIXEL GEODETIC REGISTRATION ERROR
- .3 PIXEL RELATIVE (BETWEEN TWO IMAGES)

Above standards must be met 90% of the time.

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# CURRENT REGISTRATION APPROACH

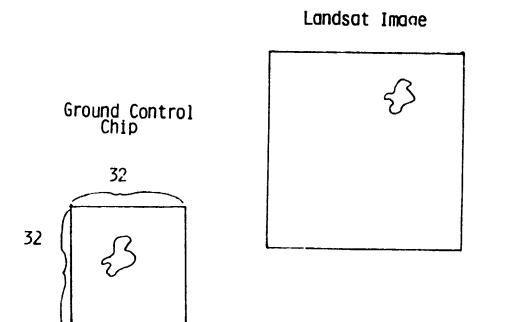
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- DEVELOP CONTROL POINT CHIP LIBRARY (EPC) -
  - CPC-SET OF RECOGNIZABLE POINTS
- EXTRACT CONTROL POINT NEIGHBORHOOD (CPN) FROM SCENE TO BE REGISTERED
- FIND BEST LOCATION IN CPN MATCHING CPC

# SUBPIXEL REGISTRATION ACCURACY

Basic Problem: Find a translation aligning a reference (Ground Control Chip) and a Landsat image to less that one pixel



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#### SUBPIXEL ACCURACY PROBLEMS

1) WHAT IS SUBPIXEL ACCURACY?

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Image distortion is nonlinear.

MEASURE OF ACCURACY? Maximum error, average error, percentage of points lying far away?

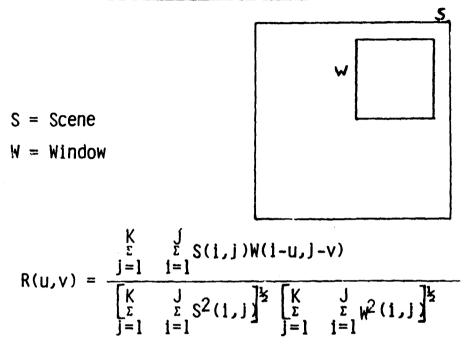
- 2) HOW CAN CLAIMS FOR SUBPIXEL ACCURACY OF ALGORITHM BE ASSESSED?
  - Experimental methods
  - Analytical methods
    - Probabilistic image models for correlation procedures
    - Geometric models for feature (edge) matching
      - Assume error bounds on feature detection
      - Compute bounds on estimation of edge location

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#### PROBLEMS (Cont'd.)

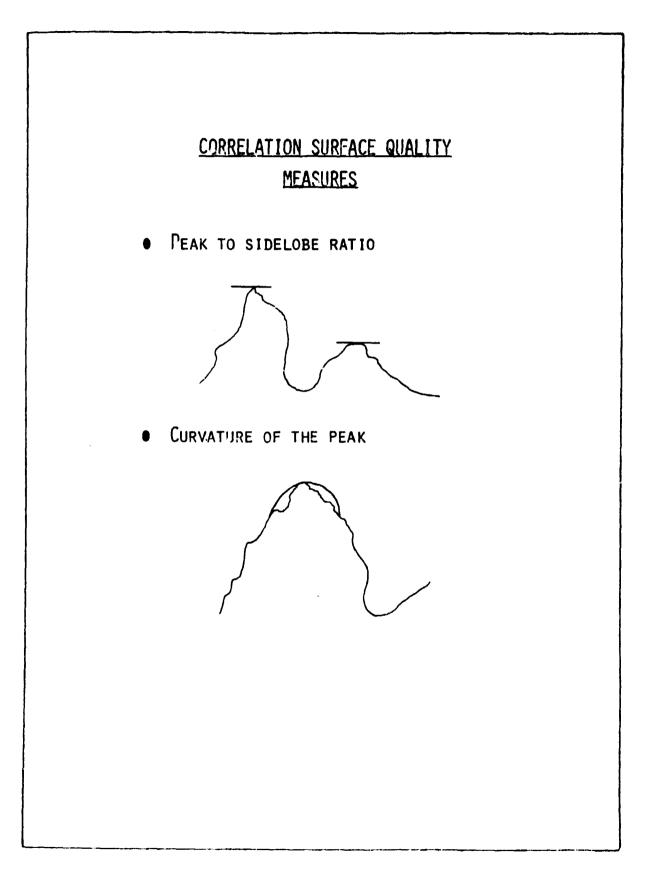
- 3) WHAT SCENE PROPERTIES SHOULD BE USED TO SELECT GROUND CONTROL CHIPS FOR AN IMAGE?
- 4) HOW SHOULD THE LANDSAT IMAGE BE PROCESSED TO FORM AN IMAGE SUITABLE FOR MATCHING?
  - Edge enhanced image
  - Edge image
  - Isolated significant point image
    - Corners
    - Intersections
    - High curvature points

#### CLASSICAL CORRELATION



Can be applied to Landsat image, edge enhanced image, binary image, etc.

<u>One\_dimensional\_example</u> Image 1 Image 2 Correlation



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### BASIC SUBPIXEL REGISTRATION APROACH

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- FIND THE CROSS-CORRELATION BETWEEN A CPC AND THE CORRESPONDING CPN
- INTERPOLATE THE CORRELATION FUNCTION
   TO FIND THE CONTINUOUS CORRELATION SURFACE
   PEAK

CPC AND CPN MAY BE PREPROCESSED TO IMPROVE CORRELATION ACCURACY.

# INTERPOLATION FOR SUBPIXEL ACCURACY

1) Fit surface to correlation function in a neighborhood.

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- 5x5 neighborhood is common
- Up to the 4th order bivariate polynomials are used
- Successive orders can be used, use RMS residual error to select order
- Elliptical cones have been used

#### PROBLEM:

Sensitivity of peak location to fitting function

### INTERPOLATION (Cont'd.)

- 2) Use centroid of correlation function in a neighborhood
- 3) Spatial offset corresponds to non-vanishing phase in frequency domain
  - Transform phase portion of correlation back to spatial domain to locate peak

#### PROBLEM:

Non symmetry of cross-correlation function about peak causes error in phase

# ESTIMATING CORRELATION PEAK UNCERTAINTY

- 1) Curvature at peak
- 2) Second moment of correlation samples around peak
- 3) Rate of decline away from peak

USES OF MEASURES:

- Reject Matching
- Weight for mapping function fit.

#### FEATURE IMAGE MATCHING

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#### FEATURES

EDGES

INTERSECTION

#### HIGH CURVATURE POINTS

- Feature matching is growing in popularity
- Robustness under spectral change
  - Crop growth
  - Water content
  - Illumination

### METHODS OF FEATURE MATCHING

- Correlation of Edge Images
- Correlation of Binary Edge Images
- Lineals Correspondence (L.N.K. procedure)
  - Real lineals
  - Abstract vectors

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- Point Natching
  - Relaxation

# LITERATURE INTRODUCTION

- REVIEW FIVE PREVIOUS STUDIES OF LANDSAT REGISTRATION ACCURACY
- COMMON FLAW REFERENCE IMAGE ASSUMED HIGHLY ACCURATE
- Some sources of reference image accuracy

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- 1) POLYNOMIAL FITTING ON ENLARGING REFERENCE IMAGE TO MATCH MAP
- 2) FITTING POLYNOMIAL TO DETERMINE ATTITUDE AND ALTITUDE CORRECTION
- COMBINED ERROR EFFECTS ESTIMATED ACCURACY % PIXELS
   90% OF ALL POINTS HAD POSITIONAL ERROR WITHIN 23 METERS (NIBLACK 1981)
  - BASIS FOR ABOVE NOT ADEQUATELY DESCRIBED IN PAPER.

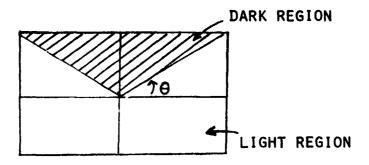
#### DEPARTURE FROM PREVIOUS EXPERIMENTS

- PREVIOUS THEORETICAL AND SIMULATION STUDIES OF REGISTRATION ACCURACY MEASURE PERFORMANCE IN ONE OF TWO WAYS:
  - (1) SINGLE OFFSET PROBABILITY OF FALSE ACQUISITION TO DETERMINE ACCURACY TO NEAREST PIXEL, AND
  - (2) EITHER EXPECTED VALUE OF THE ERROR OR ROOT MEAN SQUARE OF THE ERRON' TO MEASURE SUBPIXEL ACCURACY.
- WE PROPOSE TO REPLACE THE ABOVE BY THE FOLLOWING:
  - (1) PROBABILITY OF FALSE ACQUISITION OVER THE ENTIRE IMAGE, AND
  - (2) THE PROBABILITY DISTRIBUTION OF THE ERROR.

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### EXPERIMENTAL REGISTRATION

- PURPOSE DETERMINE FEASIBILITY AND EXTENT OF SUBPIXEL ACCURACY OF EDGE LOCATION UNDER IDEAL CIRCUM-STANCES.
- METHOD: GENERATE A REFERENCE IMAGE CONSISTING OF TWO HOMOGENEOUS REGIONS



- $\theta$  is a parameter
- THE TWO REGIONS HAVE DIFFERENT MEANS AND

I.I.D. PIXELS

- SENSOR IMAGE CONSISTS OF A SUBPOINT OF THE REFERENCE IMAGE WITH NOISE ADDED
- GREY LEVEL CORRELATION USED TO MATCH IMAGES
- FOURTH-ORDER BIVARIATE POLYNOMIAL FIT TO CORRELATION IN A 5x5 NEIGHBORHOOD
- PEAK TAKEN AS MATCH POINT
- NOTE: NO TRANSLATION WAS INTRODUCED. EFFECT OF NOISE ON OFFSET WAS DESIRED.

# EXPERIMENTAL RESULTS

OUTCOME:

- CORRELATION FUNCTION TURNED OUT TO BE ALMOST CIRCULARLY SYMMETRIC
- ESTIMATED PEAK ALWAYS NEAR CENTER OF PIXEL
- PEAK IS ESTIMATE OF WHERE CORNER LIES WITHIN PIXEL
- TRUE CORNER WAS OFTEN NEAR PIXEL BOUNDARY
- SUBPIXEL ACCURACY WAS POOR
- WINDOW SIZE (225 PIXELS) WAS LARGE ENOUGH TO MAKE LAW OF LARGE NUMBERS APPLICABLE
- NUMERATOR AND DENOMINATOR OF CORRELATION FUNCTION CHANGE LITTLE IN NEIGHBORHOOD OF CORRECT MATCH POINT, THUS EXPLAINING SYMMETRY
- FUTURE EXPERIMENTS WILL ASSUME NOISE POINTS ARE CORRELATED AND REFERENCE POINTS ARE CORRELATED (NOT INDEPENDENT)
- THIS WILL ALLEVIATE THE ABOVE PROBLEMS AND MAKE SIMULATIONS MORE REALISTIC.

# COMPARISON OF OUR SIMULATIONS WITH NOVAK'S

- NOVAK'S WORK DID BETTER THAN OURS SINCE HE ONLY CONSIDERED A SMALL STRIP AROUND THE EDGE
  - HERE A PIXEL SHIFT IN THE TEMPLATE CAUSES A LARGE DIFFERENCE IN THE STATISTIC
- COMBINATION OF NOVAK'S SIMULATION AND OURS GIVES INSIGHT INTO MATCHING PROBLEM
  - MAXIMUM LIKELIHOOD MAKES MOST EFFICIENT USE OF DATA
  - FROM THIS VIEWPOINT NOVAK METHOD POOR FOR FINDING EDGE TO NEAREST PIXEL
  - ONE SHOULD USE FULL CONTROL CHIP SINCE MAXIMUM LIKELIHOOD ESTIMATE USES ALL PIXELS.
- O SUBPIXEL ACCURACY
  - MAXIMUM LIKELIHOOD FOR SUBPIXEL ACCURACY USES ONLY EDGE PIXELS
  - OTHER PIXELS ADD NO INFORMATION ONLY CAUSE FURTHER ERROR
  - NOVAK'S METHOD SUPERIOR HERE

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COMPARISON WITH NOVAK'S METHOD (CONT'D.)

- CONCLUSION (TENTATIVE)
  - PERFORM CORRELATION ON WHOLE CONTROL CHIP TO GET PIXEL ACCURACY
  - ONLY USE PIXELS WITH A HIGH LIKELIHOOD OF SUB-PIXEL INFORMATION (E.G., ON OR NEAR EDGES) FOR SUBPIXEL MATCHING.

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#### MOSTAFAVI-SMITH MODEL

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$$i_r = p(x) + n_r(x)$$

$$i_{s} = p_{d}(x) + n_{s}(x)$$

$$p_d(x) = p(Ax + x_0)$$

- $x = (x_1, x_2)'$  point in plane
- A: 2x2 distortion matrix
- p: noise free image either a deterministic or a random signal

For p deterministic, p(x),  $p_d(x)$  or their Fourier Transforms available. For p random: signal spectrum assumed known p,  $n_r$ ,  $n_s$  zero mean, 2nd order, independent Gaussian Processes with spatially invariant statistics. MOSTAFAVI-SMITH MODEL FOR REGISTRATION

GOAL: Find image size and shape minimizing probability of false acquisition and maximizing local accuracy. MEASURES OF CORRELATOR PERFORMANCE

- Ratio of mean cross-correlation peak to standard deviation of cross-correlation in the sidelobes (called Peak-to-Sidelobe Ratio).
- 2. Probability that the correlation function of some point far from the correct registration exceeds some threshold. (called the singleoffset probability of false acquisition.)
- First order approximation to local registration error using the correlation function gradient and curvature.
- BASIC ASSUMPTIONS: Gaussain images, additive Gaussian noise, the two images to be registered are related by an affine transformation.

RESULTS 1) Derives image size to minimize probability

of false acquisition under geometric distortion.

2) Derives expression for minimum achievable probability of false acquistion.

 For circularly symmetric autocorrelation functions, the square window is optimal among rectangle windows.

Derives optimal window size to maximize local accuracy.

5) For a fixed geometric distortion the image size resulting in minimum local error is smaller than the size giving a minimum probability of false acquisition.

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# FUNDAMENTAL ASSUMPTIONS

Mostafavi-Smith (1978)	Comments
Reference image $I_R(\underline{x})$ spatially staticnary* Sensed image $I_S(\underline{x})$	RETAINED ONLY TRANSLATIONS, NOT DISTORTIONS, CONSIDERED
$I_{S}(\underline{X}) = I_{R}(\theta + \underline{X}) + I_{N}(\theta + \underline{X}) **$	NOW Need only I <sub>r</sub> , I <sub>s</sub> jointly
NOISE INDEPENDENT OF I <sub>R</sub>	STATIONARY ALTHOUGH
I <sub>R</sub> , I <sub>N</sub> Gaussian	INDEPENDENT CASE IS
	SIMPLEST NOT NEEDED AT
	ALL ***

\* STATISTICS SPATIALLY SHIFT-INVARIANT

- \*\* ADDITIVE NOISE UNREALISTIC ESPECIALLY FOR PREPROCESSED OR EDGE-ENHANCED OR BINARY PICTURES
- \*\*\* SINCE WEAK ASSUMPTIONS ON  $I_R$ ,  $I_S$  guarantee the correlation process C(x) at various offsets x is essentially Gaussian for large windows (sensed images).

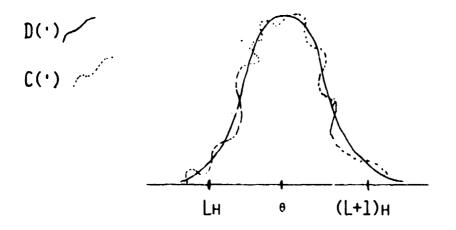
DEFINITIONS

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$$D(\underline{x}) = E\left\{C(\underline{x})\right\}$$

PICTURE OF ONE-DIMENSIONAL CASE

D(x) "KNOWN" FOR x = 0,  $\pm H$ ,  $\pm 2H$ ,  $\cdots$  H = PIXEL WIDTH



 $\theta^*$  = ESTIMATED (FROM C(·)) MAXIMUM LOCATION

FIGURE OF MERIT<sup>(+)</sup>  $Q(T) = PR \left\{ |\theta^* - \theta| \ge T \right\}$ 

(+) NOTE DISTINCTION FROM SINGLE-OFFSET COMPARISONS LIKE  $Pr(C(0) \ge C(x))$  for various x, as used by Mostafavi-Smith (1978) ACCURACY BOUND

ORIGINAL PACE IS OF POOR QUALITY

IF D(x) IS KNOWN AT

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0,<u>+</u>H,...,

AND IF OBSERVED PIXEL GREY-LEVEL IS

$$X_{K} \stackrel{i}{=} \frac{1}{H} \int \frac{(\kappa+1)H}{\kappa H} I_{S}(T) DT$$

(or any other lineary operator of  $I_s$ )

WITH REFERENCE-IMAGE PIXEL GREY-LEVEL

$$Y_{J} = \frac{1}{H} \int_{JH}^{(J+1)H} I_{R}(T) DT$$

THEN A RIGHT UPPER BOUND ON THE ERROR AS A FRACTION OF A PIXEL IS

$$K = \left(\frac{\mu^2 D^{(IV)}(\theta)}{-12 D''(\theta)}\right)^{\frac{1}{2}}$$

QUALITATIVE MEANING AND INTERPRETATION OF K:

- (a) if D(x) is known at integer multiples of H, then treating D(') as quadratic on pixel containing  $\theta$  gives error at most fraction K of pixel.
- (b) If  $I_{\rm R}$  is highly oscillatory with respect to unit of length H with correlations of  $I_{\rm R}'$  falling off rapidly then K is large.

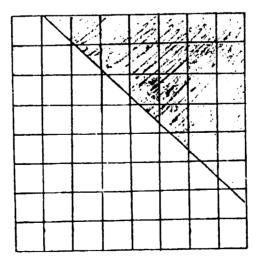
# EDGE SUBPIXEL ACCURACY

- Assume relatively high correlation accuracy (within a pixel or two)
- Locate edge in image known to correspond to straight features
- Estimate location of line in mixed pixels

#### ESTIMATION PROCEDURES (Davis)

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- Make distributional assumptions for bordering regions.
  - Estimate mixed pixel values for each possible location of line
  - Use optimization to pick line best fitting arey level data
- Find best line fitting pixels ignoring arey levels
   Example: Fit line through centers



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### GEOMETRIC ACCURACY

### BASIC QUESTIONS:

- How ACCURACTELY CAN WE LOCATE REAL WORLD EDGES AND STRIPS GIVEN OBSERVED DIGITAL EDGES AND STRIPS?
- How CAN THIS ACCURACY INFORMATION BE USED TO ACHIEVE SUBPIXEL ACCURACY?
- WHAT PROPERTIES OF LINES OR STRIPS CAN BE USED TO SELECT GOOD FEATURES FOR A REFERENCE IMAGE?

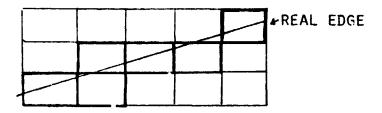
#### TYPES OF OBSERVED EDGES

- 1) DIGITAL EDGE THE SET OF PIXELS A REAL EDGE INTERSECTS
  - SIMPLEST MODEL FUT UNREALISTIC
- 2) DIGITAL EDGE (PIXELS KISSING) ANY SUBSET OF A DIGITAL EDGE
  - FEASIBLE MODEL IF WE ONLY SELECT GOOD CANDIDATES FOR EDGE COINTS
- 3) DIGITAL EDGE (PIXELS MISSING, PIXELS ADDED) A DIGITAL EDGE WITH SOME PIXELS MISSING AND SOME SPURIOUS EDGE PIXELS ADDED
  - REALISTIC MODEL

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### DOMAIN OF VARIABILITY

THE SET OF OBSERVED EDGE PIXELS RESULTING FROM A REAL EDGE COULD COME FROM AN INFINITE NUMBER OF EDGES.



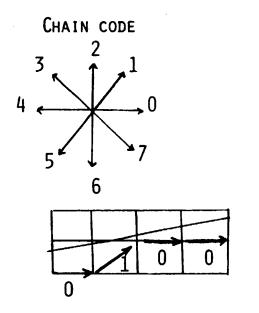
PROBLEM: How can we parametrize the possible lines causing these edge pixels to be observed?

# DIGITAL LINE DESCRIPTION (Dorse and Smeulders)

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#### DIGITAL LINES WILL BE CHARACTERIZED BY FOUR PARAMETERS

EIGHT CONNECTED LINES ARE USED



CHAINCODE 0100

FOUR PARAMETERS:

- N - LENGTH OF CHAIN CODE

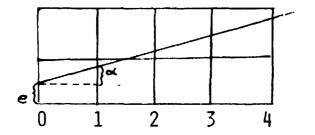
SLOPE { - Q - SMALLEST PERIODICITY PRESENT IN STRING

PPE - R - NUMBER OF CODES PER PERIOD

- S - STARTING POINT OF SEGMENT WITH STANDARD PERIOD

# FEASIBLE PARAMETER REGION DIGITAL EDGE

#### PARAMETRIZATION OF REAL LINES



- GIVEN A DIGITAL EDGE, THE SET OF ALL LINES WHICH COULD GIVE RISE TO THE EDGE CAN BE PARAMETRIZED IN TERMS OF  $(e, \alpha)$
- FEASIBLE REGION IS A QUADRILATERAL
- VERTICES CAN BE EXPRESSED IN CLOSED FORM IN TERMS OF (N,Q,P,S)

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# FEASIBLE PARAMETER REGION DIGITAL EDGE FORMULA

DORST AND SMEULDERS (1982)

If one defines functions F and L by:

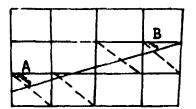
 $F(s) = s - \left\lfloor \frac{N}{q} \right\rfloor q$ and  $L(s) = s + \left\lfloor \frac{N-s}{q} \right\rfloor q$ and an integer  $\ell$  by the implicit definition  $1 + \left\lfloor \ell \frac{P}{q} \right\rfloor - \ell \frac{P}{q} = \frac{1}{q} \text{ and } 0 \le \ell \le q$ 

THEN THE CORNERS A,B,C and D of a domain in ( $e,\leq$ )-coordinates are given by:

```
A = \left( \begin{bmatrix} F(s)\frac{P}{q} \end{bmatrix} - F(s)\frac{P_{\pm}}{q_{\pm}} &, \frac{P_{\pm}}{q_{\pm}} \end{bmatrix}B = \left( \begin{bmatrix} F(s)\frac{P}{q} \end{bmatrix} - F(s)\frac{P}{q} &, \frac{P}{q} \end{bmatrix}C = \left( 1 + \begin{bmatrix} F(s+\ell)\frac{P}{q} \end{bmatrix} - F(s+\ell)\frac{P}{q} &, \frac{P}{q} \end{bmatrix}D = \left( 1 + \begin{bmatrix} F(s+\ell)\frac{P}{q} \end{bmatrix} - F(s+\ell)\frac{P_{\pm}}{q_{\pm}} &, \frac{P_{\pm}}{q_{\pm}} \end{bmatrix}where
q_{\pm} = L(r_{\pm}+\ell) - F(s) , p_{\pm} = (pq_{\pm}+1)/qq_{\pm} = L(s) - F(s+\ell) , p_{\pm} = (pq_{\pm}-1)/q
```

# FEASIBLE PARAMETER REGION DIGITAL EDGE - PIXELS MISSING

FOR SIMPLICITY ASSUME 0 & SLOPE < 1



- EACH FEASIBLE LINE INTERSECTIONS DIAGONAL LINE WITH SLOPE -1 THROUGH SELECTED PIXELS
- SELECT DISTANCES ALONG DIAGONAL IN LEFTMOST AND RIGHTMOST PIXELS AS PARAMETERS OF LINE
- EACH INTERMEDIARY DIAGONAL GIVES RISE TO AT MOST TWO LINEAR INEQUALITIES RESTRICTING THE FEASIBLE REGION
- FEASIBLE REGION IS A POLYGON IN THE (A,B) PLANE

#### FEASIBLE PARAMETER REGION

### DIGITAL EDGE - PIXELS MISSING, PIXELS ADDED

- EACH SUBSET OF PIXELS THROUGH WHICH A LINE CAN PASS GIVES RISE TO A CONVEX POLYGON
- FEASIBLE REGION IS UNION OF THESE POLYGONS
- COMBINATORIAL PROBLEMS MAKE ONE OF THE FOLLOWING RESTRICTIONS DESIRABLE
  - 1) FEW WRONG PIXELS ADDED
  - OR 2) FEW PIXELS TOTAL

|

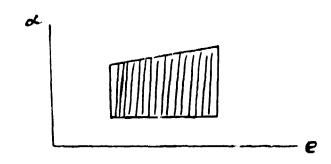
- OR 3) APPROXIMATION OF FEASIBLE REGIONS
- CAREFUL THRESHOLDING AND EDGE CHECKING MAY HELP ACHIEVE THE FIRST TWO RESTRICTIONS
- APPROXIMATION OF FEASIBLE REGION
  - FIT LEAST SQUARE LINE THROUGH EDGE POINTS
  - DISCARD PIXELS NOT ON FITTED LINE
  - APPLY ANALYSIS OF CASE WHERE NO PIXELS ARE ADDED
  - IF NECESSARY PERTURB THE LINE AND REPEAT THE PROCESS
- WEIGHT POLYGONS BY THE NUMBER OF CORRESPONDING EDGE POINTS

#### FEASIBLE PARAMETER REGION

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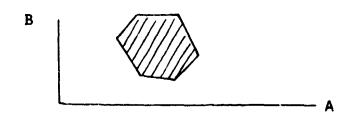
#### DIGITAL EDGE

FEASIBLE REGION-QUADRILATERAL



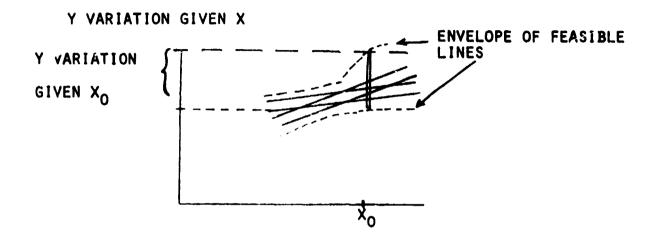
DIGITAL EDGE (PIXELS MISSING)

- ASSUME N PIXELS GIVEN
- FEASIBLE REGION CONVEX POLYGON WITH AT MOST 2N SIDES



#### VARIATION MEASURES

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#### X VARIATION GIVEN Y IS DEFINED SIMILARLY

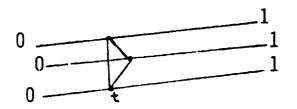
.

PARAMETRIZE EACH FEASIBLE LINE SEGMENT TO HAVE UNIT LENGTH



Define variation function V:  $[0,1] \rightarrow R^+$ 

BY  $V(\tau)$  = MAXIMUM DISTANCE BETWEEN FEASIBLE LINES, MEASURED T UNITS DOWN EACH LINE.



#### VARIATION MEASURES (CONT'D.)

VARIANCE OF Y GIVEN X

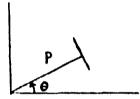
VARIANCE OF X GIVEN Y

VARIANCE OF POINT T UNITS DOWN EACH LINE

#### DISTRIBUTIONS ON LINES

- MANY DISTRIBUTIONS ON LINES ARE FEASIBLE
- UNIFORM DISTRIBUTION DEPENDS UPON THE PARAMETRIZATION
- INVARIANCE UNDER ROTATION AND TRANSLATION SINGLES OUT A DISTRIBUTION:

UNIFORM DISTRIBUTION IN  $(P, \theta)$ 



WHERE THE DISTRIBUTION IS OVER ALL LINES PASSING THROUGH A GIVEN BOUNDED FIGURE

#### STATISTICS OF Y-VARIATION

- LET X<sub>O</sub> BE FIXED
- Find the mean and variance of the y values of the feasible lines at  $x_0$
- FIND A CONFIDENCE INTERVAL FOR THE Y-COORDINATE BEING WITHIN A SPECIFIED DISTANCE FROM THE MEAN
- MEAN AND VARIANCE CAN BE CALCULATED EASILY NUMERICALLY
- We are working on confidence intervals

#### VARIATION GOALS

- 1) DERIVE BOUNDS FOR EACH VARIATION MEASURE AS A FUNCTION OF DIGITAL EDGE PARAMETERS
- 2) COMPUTE THE MEAN AND VARIATION OF EACH VARIATION MEASURE
- 3) FIND THE X,Y OR F VALUE WHICH MINIMIZES THE CORRESPONDING VARIATION MEASURE
- 4) FIND GOOD DIGITAL EDGE CHARACTERISTICS, E.G., SLOPE WHICH CAN BE USER TO MINIMIZE VARIATION MEASURES

#### ANGLES

Assume we have two real line segments sharing an end point

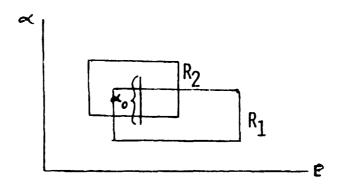


- How CAN WE USE THIS KNOWLEDGE TO REFINE OUR ESTIMATION OF POSITIONS?
- WHAT ANGLES ARE BEST FOR ESTIMATION?
- WHAT CAN BE DONE IF THE LINE SEGMENTS DON'T INTERSECT?

#### ANGLE CONSTRAINTS

Assume we observe the digital edges resulting from a real angle.

WE GET A FEASIBLE REGION FOR EACH REAL LINE SEGMENT:



- A FEASIBLE ANGLE IS A PAIR OF POINTS ONE IN EACH FEASIBLE REGION
- COMMON VERTEX IMPLIES BOTH POINT LIE ON SAME VERTICAL LINE
- FIXED ANGLE IMPLIES DISTANCE BETWEEN TWO POINTS IS CONSTANT
- Let  $\boldsymbol{\prec}_0$  denote this distance
- FEASIBLE REGION =  $\{(e, \prec) \in R_1: \text{ there exists a point} \\ (e, \beta) \in R_2 \text{ with } \beta \alpha = \alpha_0 \}$

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#### ERROR BOUNDS ON POINT MATCHING

- EACH EDGE GIVES RISE TO A POINT TO BE MATCHED WITH A POINT IN THE REFERENCE IMAGE
- WHAT CAN BE SAID ABOUT THE ACCURACY OF THE ESTIMATED TRANSFORMATION GIVEN THE BOUNDS OR STATISTICS OF THE INDIVIDUAL POINT LOCATION ACCURACIES?
- WORK ON THIS PROBLEM WILL BE PERFORMED WHEN WE HAVE FINISHED OUR ESTIMATES FOR THE POINT ACCURACY WITH DIGITAL EDGES (PIXELS MISSING)
- FINAL RESULT: ERROR BOUNDS ON TRANSFORMATION ACCURACY GIVEN OBSERVED EDGES

EXPERIMENTAL CORRELATION STUDIES:

- SIMULATION RESULTS INDICATE THAT CORRELATION AND SURFACE FITTING TO ATTAIN SUBPIXEL ACCURACY SHOULD BE BASED ON PIXELS LIKELY TO CARRY SUB-STANTIAL SUBPIXEL INFORMATION (NEAR EDGES).
- FUTURE SIMULATIONS WILL BE MORE REALISTIC AND WILL STUDY THE ABOVE PHENOMENON IN MORE DETAIL.

ANALYTIC STUDY OF PROBABILISTIC SUBPIXEL ACCURACY:

- Two models were developed
  - 1) ERROR EFFECT DUE TO QUANTIZATION WHEN REFERENCE IMAGE IS RANDOM
  - 2) Error effect with deterministic image (known shape) due to fitting error

GEOMETRIC ERROR ANALYSIS:

- FEASIBLE PARAMETER REGIONS FOR LINES PASSING THROUGH SETS OF PIXELS HAVE BEEN OBTAINED
- EXPRESSIONS FOR MAXIMUM X,Y VARIATION AND MEANS AND VARIANCES HAVE BEEN DEVELOPED.

#### PROGRESS AND FUTURE WORK (CONT'D.

GEOMETRIC ERROR ANALYSIS (CONT'D.

- CONSTRAINTS ON FEASIBLE REGIONS IMPOSED BY ANGLES HAVE BEEN DERIVED
- EVALUATION OF THE ABOVE ESTIMATES TO DERIVE OPTIMAL FEATURES AND QUALITY MEASURES OF FEATURES ARE UNDERWAY
- WE ARE CURRENTLY ATTEMPTING TO DERIVE A FORMULA FOR THE FEASIBLE REGION IN A DIGITAL EDGE WITH PIXELS MISSED
- THE EFFECTS OF THE ABOVE ESTIMATES OF POINT LOCATION ACCURACY ON REGISTRATION TRANSFORMATION ACCURACY WILL BE STUDIED
- EFFECTS OF GEOMETRIC DISTORTION ON THE ABOVE ANALYSIS WILL BE STUDIED.

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Improving Spatial Modelling in Remote Sensing

Hunter College:

A. H. StrahlerC. E. Woodcock

Assisted by R. 'rish

U. C. Santa Barbara:

🧏 R. Tobler

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Romote Sensing Models:

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## A General Framework

Definitions

<u>Sensor</u>: Device that measures intensity of EMR <u>IFOV</u> (Instantaneous Field of View): Field of view over which the EMR is integrated by the sensor.

MTF (Modulation Transfor Function): Describes way in which EMR in IFOV is integrated to produce a measurement.

<u>Measurement</u>: Output of a sensor in response to this integration. Usually digital, in our case. <u>Scene</u>: Spatial & temporal distribution of matter and energy fluxes from which the sensor draws measuremente.

Image: Collection of measurements produced by a sensor that are arrayed in a systematic tashim derived from the spatial or temporal position from which they were collected. ORIGINAL PAGE IS

Resolution Cell: Avorage rectangular area of a scene that is associated with each measurement. Structure of Model · Real scenes are not chaptic, but possess order, at least to some extent. -- Only certain combinations of energy and matter ocur. · Energy flux incident upon 2 emilled from scone passes through atmosphere. - Back scattering, multiple scattering, etc. · Sensor will measure EMR flux importectly. - Calibration; nonlinearities, etc.

Leeds to concept of time components to RS model .....

Three Components of

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Remote Sonsing March

- () Scene Model: Specifies form & nature of energy & matter within the scene & their spatial & temporal order.
- (2) <u>Atmospheric Model</u>: Describes the interaction between atmosphere 2 energy incident m/exiting from the ground score.
- 3 <u>Sensor Modul</u>: Describes behavior of the sonsor in response to energy fluxes incident upon it 2 in producing the measurements that constitute the image.

### FUNDAMENTAL REMOTE SENSING PROBLEM:

- Inforring the order in the properties and distributions of energy and matter in the score from the set of measurements that comprise the image.
- Scene in invence always implies the application
   of a remark scasing moduli
   Assumptions always made concerning
   ground scene, atmosphere, & sensor
- Scone informer is thus a problem of model inversion in which the order in the real scene is reconstructed from the image 2 the remote sensing model.
  - This research fourses on the scene model
     Transport atmosphere

## Scene Model

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Components:

Element : Abstraction of class of real objects having uniform properties or parameters. · Properties may be fundamental and invariant --(e.g., heat capacity, conductivity, emissivity, etc.) · Or stochastic 2 characterized by electribution parameters (e.g., size, shape, color, etc.) · Examples : - Leaf, branch, plant, row, tree, field, stand - Lowin, car. street, house, pool - Airplane, runway, truck, tank · Typically, a scone will worthin sound elements

Background : Is spatially continuous w/ uniform proporties 2 parameters 2 is obscured by elements · Examples : soil, snow, nek, understory

Energy Fluxes Into Scone: Characterized by wave longth, intensity, polarization; distributed hemispherically.

> Passive systems: Typically assume incoming flux characteristics are spatially a temporaly stationary
> Active systems: Flux characteristics a function of source - sour relationship

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## Types of Scane Moduls

H-Resolution : Resolution cell' smaller than elements. 1- Resolution: Resolution cell larger than elements. Deterministie : litilizes basic physical lows concerning interaction of matter & EMR. - Includes emissivity, scattering, etc., of elements as properties or parameters. - Utilizes principles of conservation of energy 2 matter (e.g., radiative transfer equation) and/or laws of thermodynamics. - Often L-Resolution. Empirical : Associates observed sensor measurements with score elements - Typically statistical.

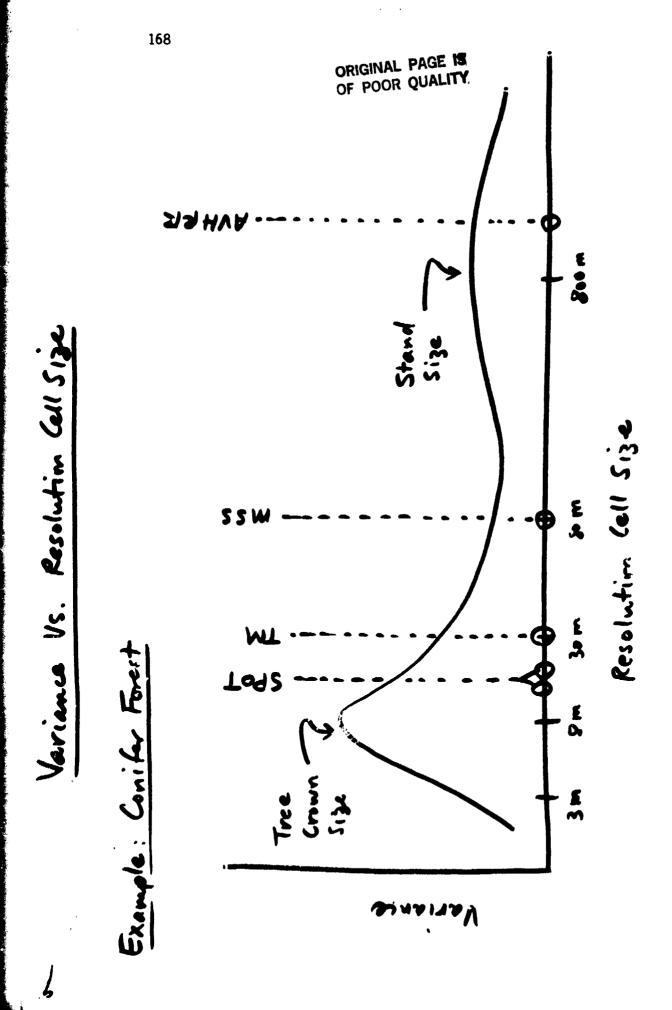
- Typically H-Resolution.

Actually, D. 2 E. me endpoints of a continuum

Invertibility\_

Romak sensing makels are usually invotible because they are constructed for scene inference.

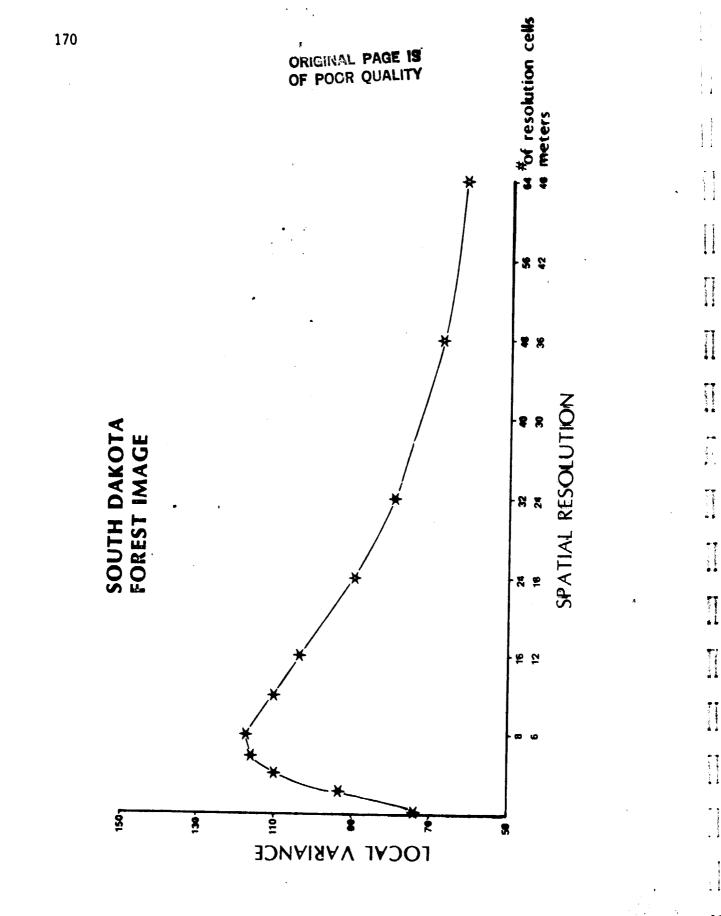
- Manual Photointerpretation: Inversion
   of a model in the mind of the photointerpreter.
- Automatic: Explicitly invertible by virtue at side constraints.
   E.g., maximum likelihood.

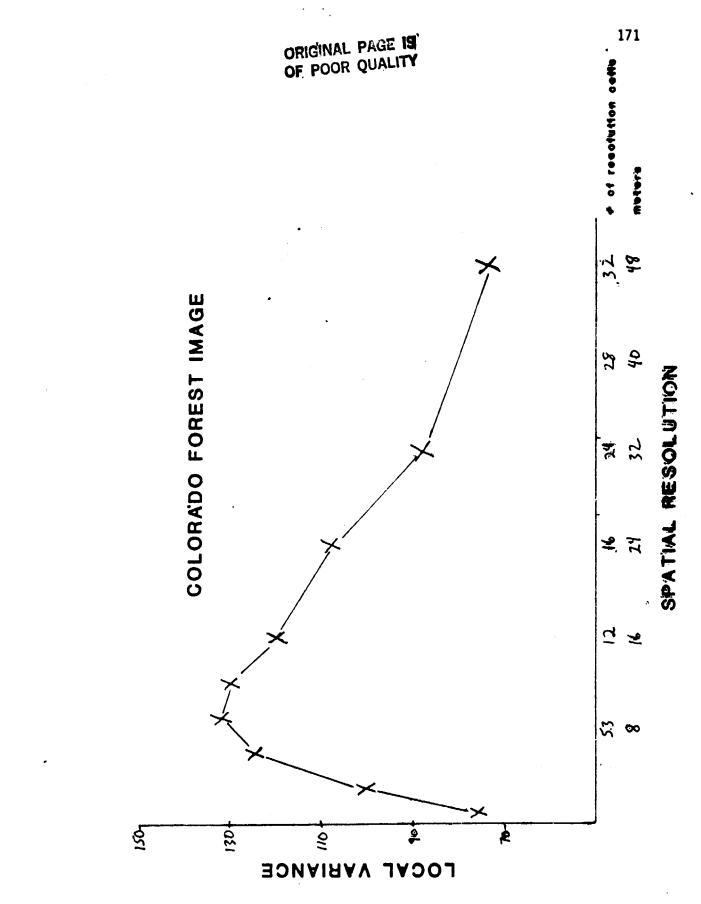


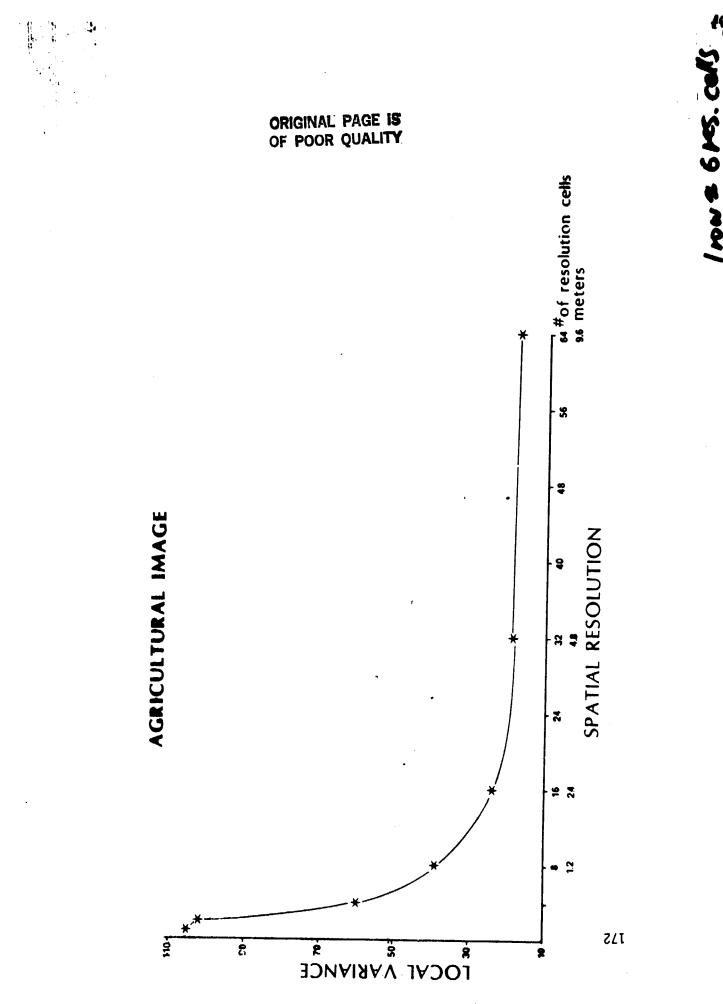
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Slides South Dakota Set - coarsening reachting Digitized e tre scale of n 3/14 m. used red filter on CIR Air Photo Cell Size Realy 1 .75 m. **4** 3 m 8 6 m 12 1 m 16 12 m 24 It m 32 24 m

Colondo Scene - digitized a tre scale of ~ 1.5 m. Ag. Image - disihied & two sale of 15 cm

Theory of Regimelized Variables (TRV)

 Looked to Spatial statistics to build bridge between scene noul and image variance/ resolution concept.
 ORIGINAL PAGE 15

 <u>Regionalized Variable</u>: Random variable with an associated position in time or space.
 <u>-</u> Considerable theoretical work done in past twenty yrs, csp. in Geology.
 <u>-</u> Simple, basic approach.

· Theoretical Framework

- Y(X) is R.V. associated with spatial position X.

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- E.q. digital image, where &; , i=1,..., n., correspond to resolution cells
  - If Y(xi) are independent, then image will consist of random noise.
  - If Y(zi) are somehow related, then the will be spatial structure in the scane.

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History of TR.V. Developed independently in at least three hilds - Geology : Krige, Matheron - classic work - Mekorology : Gandin, Matern - "objective malysis" - Geophysics : Gratorend, Morowitz -"colocation they" Geologic applications - - especially in mining industry, as concentrations of minorals in ores. - Privae minimum variance estimators of concentration at arbitrary locations (Kinging). - Locate position if add n'l samples that will most effectively reduce comments variances. - estimate weighted average or mean value within a regime with minimum variance. - estimate a drift or linear trend.

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## Simplest Assumption: Intrinsic Hypothesis

- Deal with difference in two values as a function of spatial increment <u>h</u>:

- Assume first moment is constant or only slowly varying w/X. I.e.,  $E[Y(x+h) - Y(x)] \approx constant$ 

- Then second moment is :

$$2\delta(h) = E[(Y(x+h)-Y(x))^2]$$

28(1) is termed <u>Variogram</u>; 8(1) is termed <u>semivariogram</u>

## Spatial Autocorrelation in TRV

· Assume E[Y(x)] is stationary in 3.

$$m = E[Y(\underline{x}_i)] = E[Y(\underline{x}_j)]$$
for two locations  $\underline{x}_i = \underline{x}_j$ 

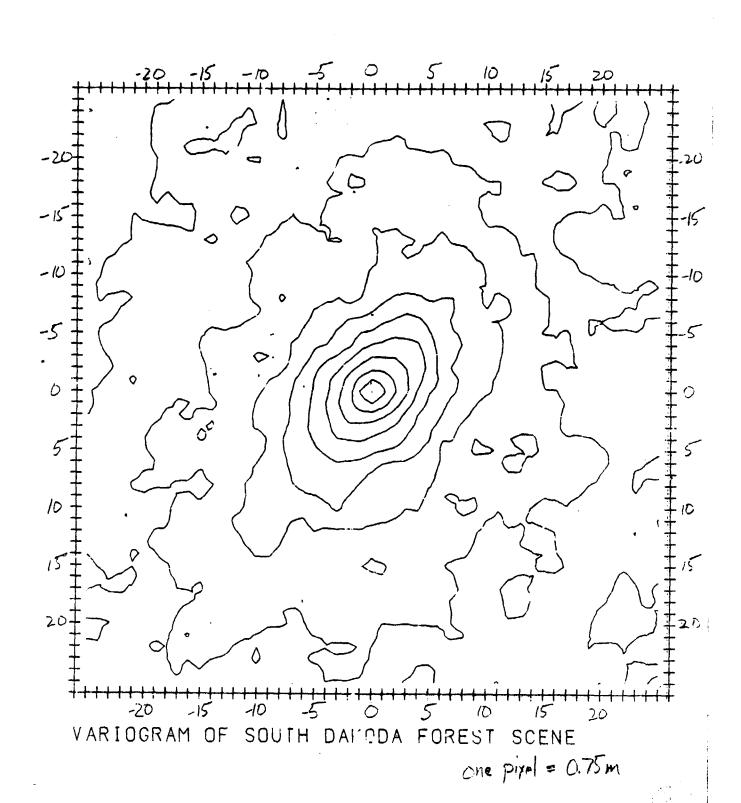
- Assume covariance between Y(x;) = Y(x;) is
   a function only of distance d between X; = X;
   d<sup>2</sup> = (X; X;)'(X; X;)
  - Covariance =  $C(d) = E[Y(x_i)Y(x_j)] m^2$
- · Correlogram p as a function of distance

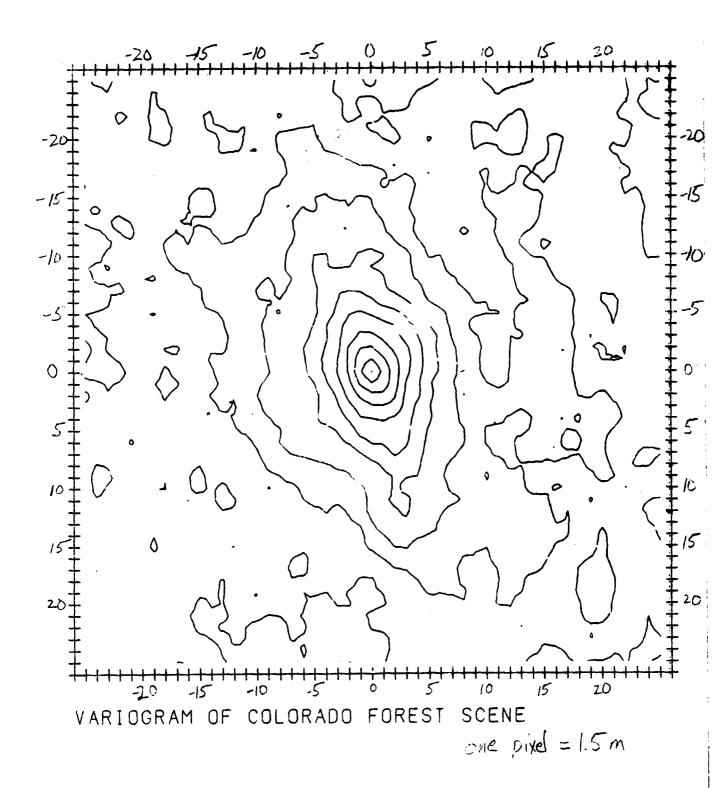
$$p(d) = \frac{C(d)}{C(0)}$$

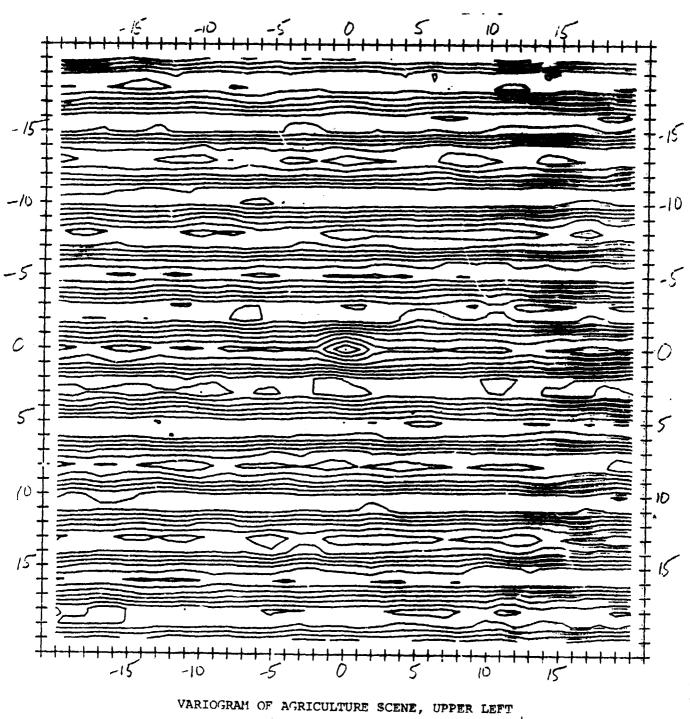
where C(O) is just variance of Y(x)

Thus, spatial anti-correlation is easily related to TRV.

Also, power spectral dansity function is Fourier transform of spatial autocorrelation fraction -> Fourier domain.

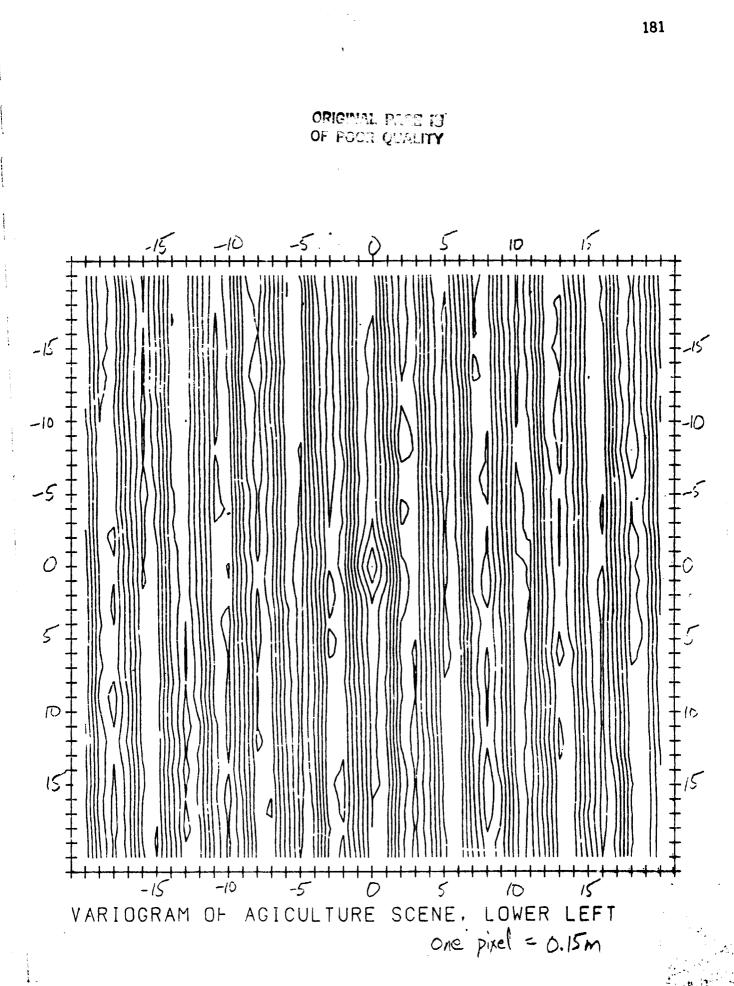


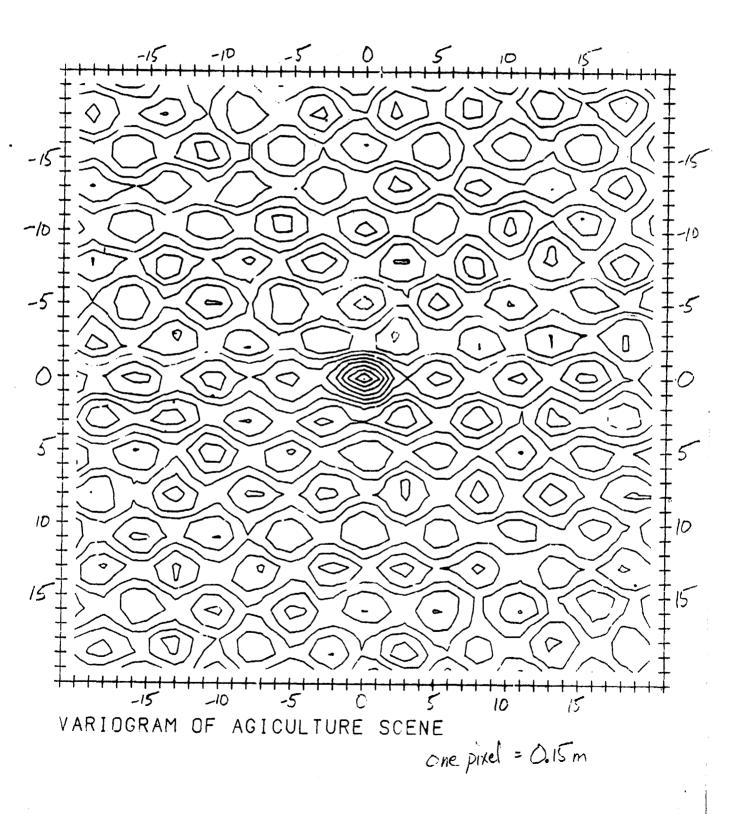




one pixel = 0.15m

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Main Thrust of Work

Apply TRV to development & utilization of remote sensing models. - Formalize relationship between mriogram, correlogram, resolution, local variance, image batme for various scone monuls - Investigate invertibility -- deriving parameters of dements 2 their distributions for the variograms - Consider multiple spatial structures cq., plant -> row -> hild -> agriculture regim

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### Primary Tasks, Yr 1

() Application of TRV to RS scanes (Strabler, Woodcock : Hunter) - Examine RS scores, defermine vanogram compirically. - Forests; agricultural scenes; housing developments; whom areas, etc. - Explore effects of resolution 2 interence on image texture. - Scan photos; TM & MSS imagenj - Construct simple scare models 2 generate vanograms for them. (5) Formalize relation between vanagram, mage texture & Scene morel (Tobler: UCSB) - Examine math. relationship between vanogram Limage kature measures. Explore conventional texture measures -

in contact of TRV & scare model.

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J Other possible topics - Generalize theory of regimalized variables to multidimensional case for application to remote sensing (U(SB). - Use of point process models for locating Ajects in scenes & the variagrams that such point processes imply (Hunter). - Possible use of alternate geographic models -- diffusion, gravity (Hunter). - Use of TRV to interpolate missing measurements due to clouds, scanner or transmission - related problems (UCSB).

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O Application of TRV to RS Scores

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#### APPROACHES TO IMAGE REGISTRATION AND SEGMENTATION

**Grahame** Smith

#### Image-to-Image Correspondence

different viewing conditions

different resolutions, spectral responses

temporal changes

#### Approach

• Detect easily recognized / physically meaningful structures

-

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- Label structures
- Build description of structure
- Match descriptions rough camera model
- Select feature points
- Predict how the feature points appear in image
- Match feature points precise transform

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#### Image Segmentation

recover scene entities

- single land cover class
- no internal boundaries
- Recover surface shape
- Initial classification
- Edge / Linear structure detection
- 'Convergence of Evidence' decision making

.

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#### **Detection of Linear Structures**

two-fold purpose: image registration and class boundary detection

#### Algorithm

Peak and trough method

Finds ridge lines (skeleton)

Uses local and global nature of intensity peak

#### **Initial Segmentation**

1

- based on the reflectance
- little human intervention
- use catalog of reflectance versus land cover
- delineate single land cover area rather than identify class

Atmospheric Model I = R + S

Film Model  $D = a \times ln(I) + d$ 

Isotropic Scattering R = EAN

 $D = a \times ln(A + b) + c$ 

#### Surface Shape Recovery

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- C

slant of ground plane surface shape

 $I(\boldsymbol{x},\boldsymbol{y})=R(\boldsymbol{l},\boldsymbol{m})$ 

- type of scattering

- position and strength of light source

- surface albedo

- boundary conditions

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-1,,

$$\frac{1-m^2}{lm} = \frac{I_{ss}}{I_{sy}}$$

$$\frac{1-l^2}{lm} = \frac{I_{yy}}{I_{xy}}$$

Physical Models needed to interpret image

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- Linear feature finder
- Image classification
- Shape recovery

Physical models not all encompassing

• Evidential Reasoning

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#### Primary Areas of Focus

- Image-to-Image Correspondence - registration of multiple data sources
- Image Segmentation context:land-use - scene entities
- 'Convergence of Evidence' Decision Making
  - multi-source integration

#### Approach

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Post interes

- Physical Modeling
- Generic Techniques based on Stable Properties
- Discover Stable Attributer

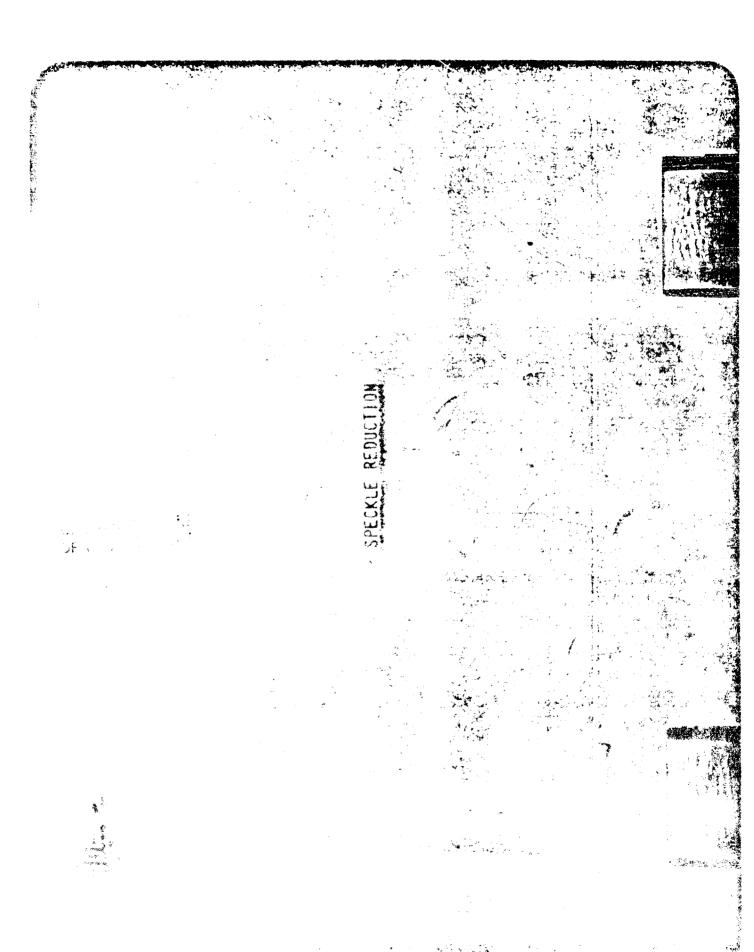
0mit 70 p.207 197

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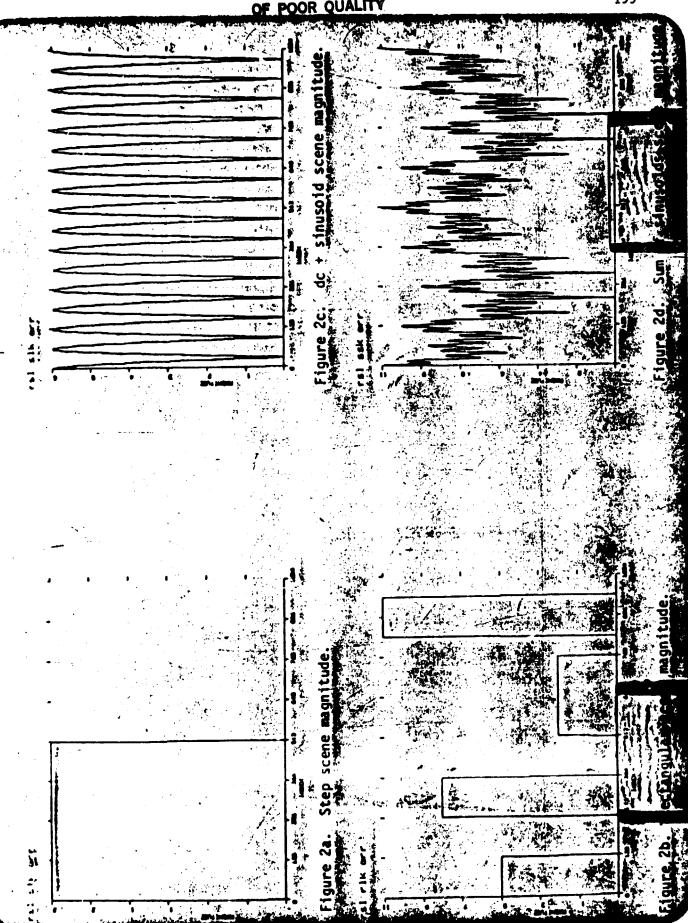
Reduction and Utilization of Speckle Noise in SAR Imagery

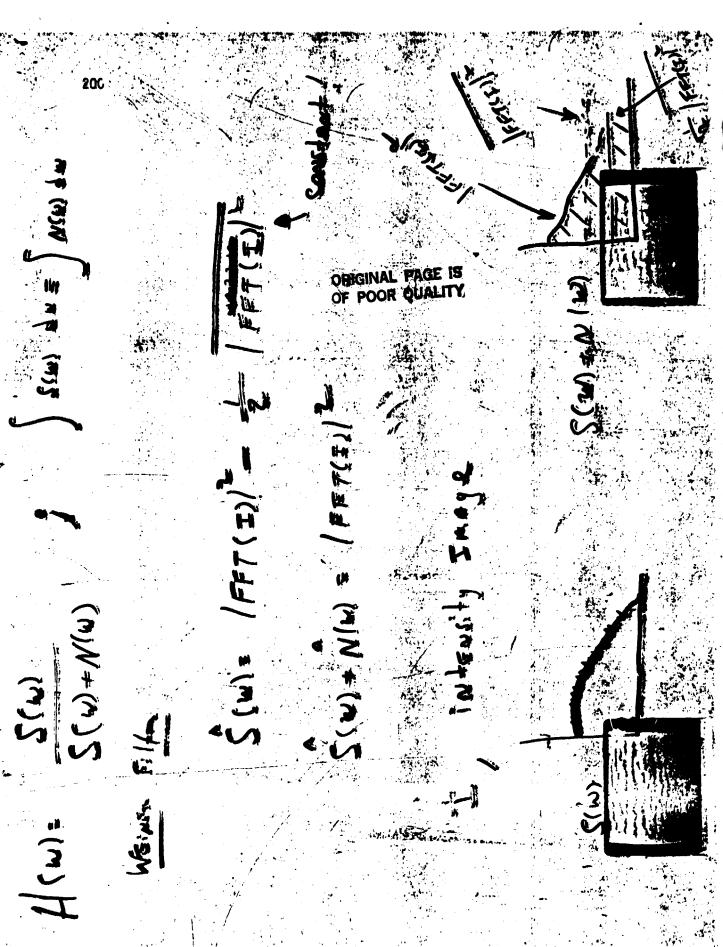
Daniel Held Jet Propulsion Lab

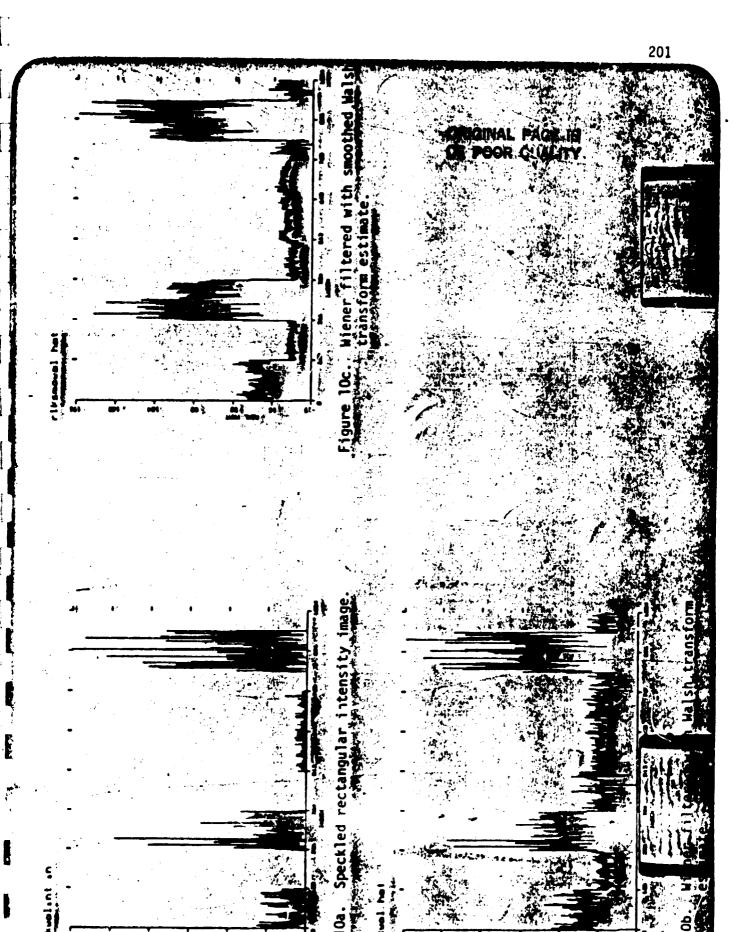
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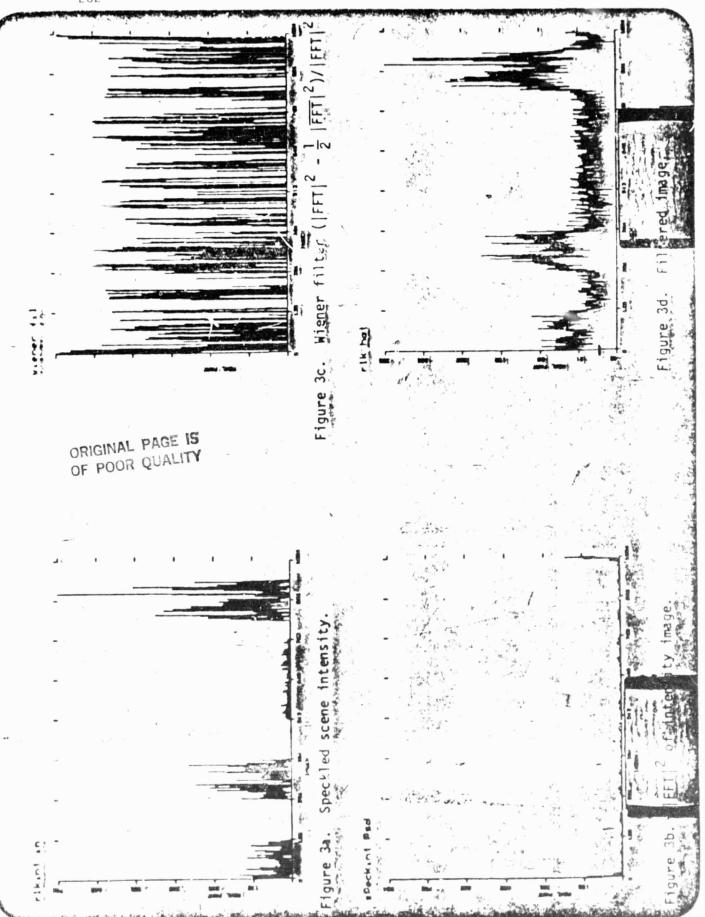


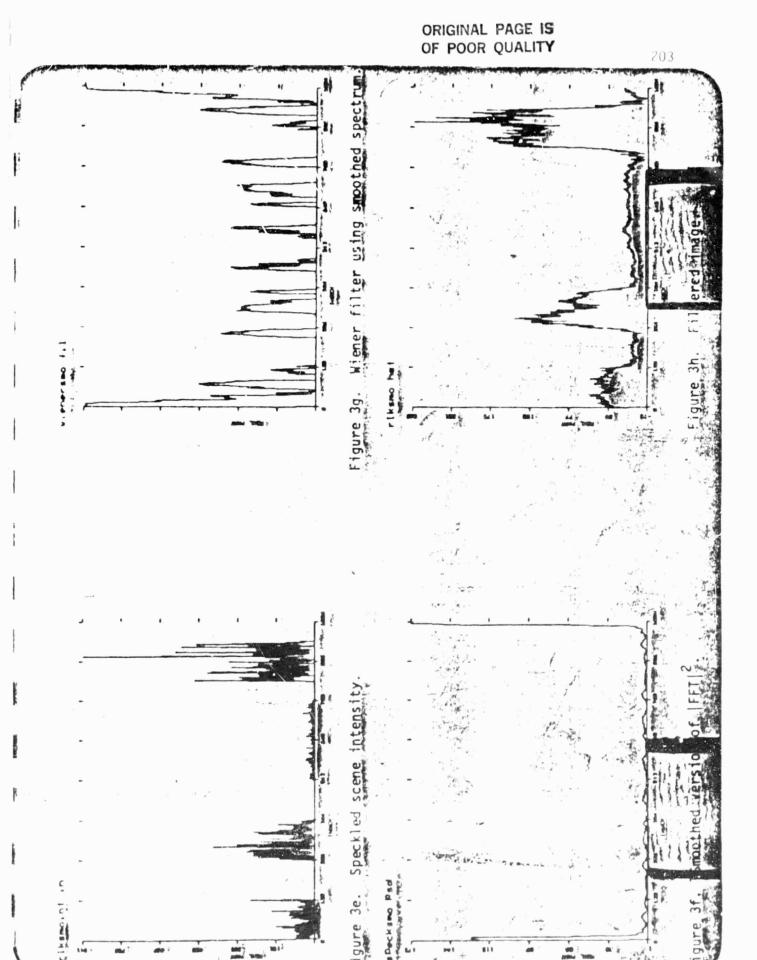
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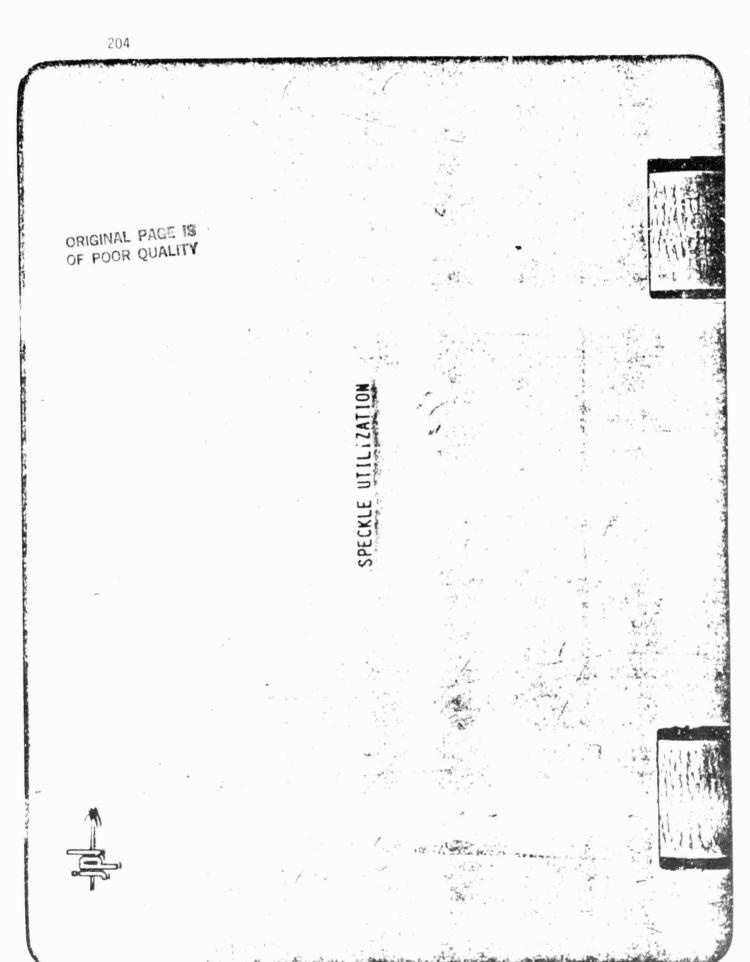




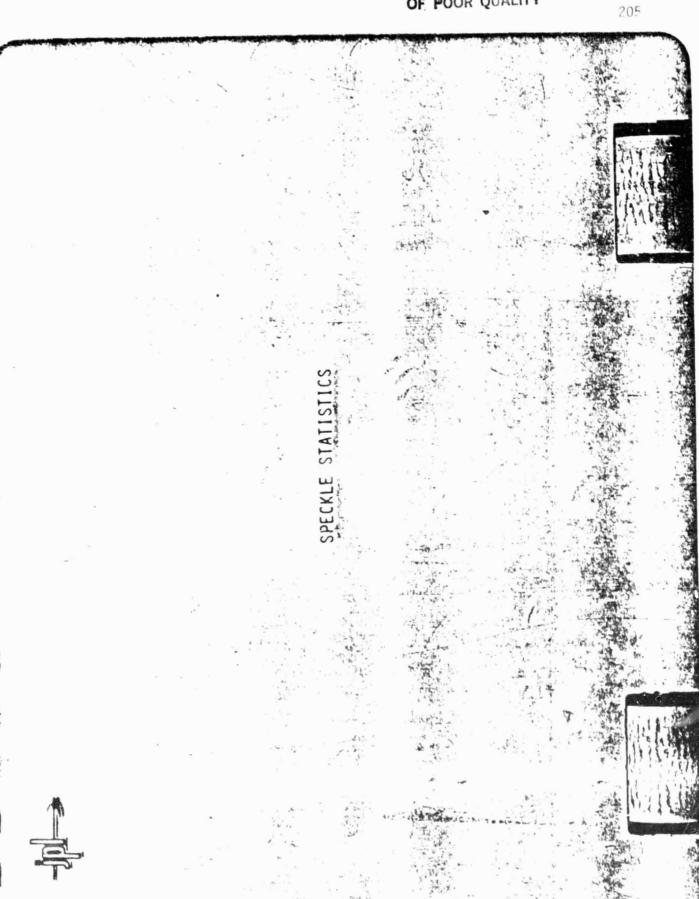


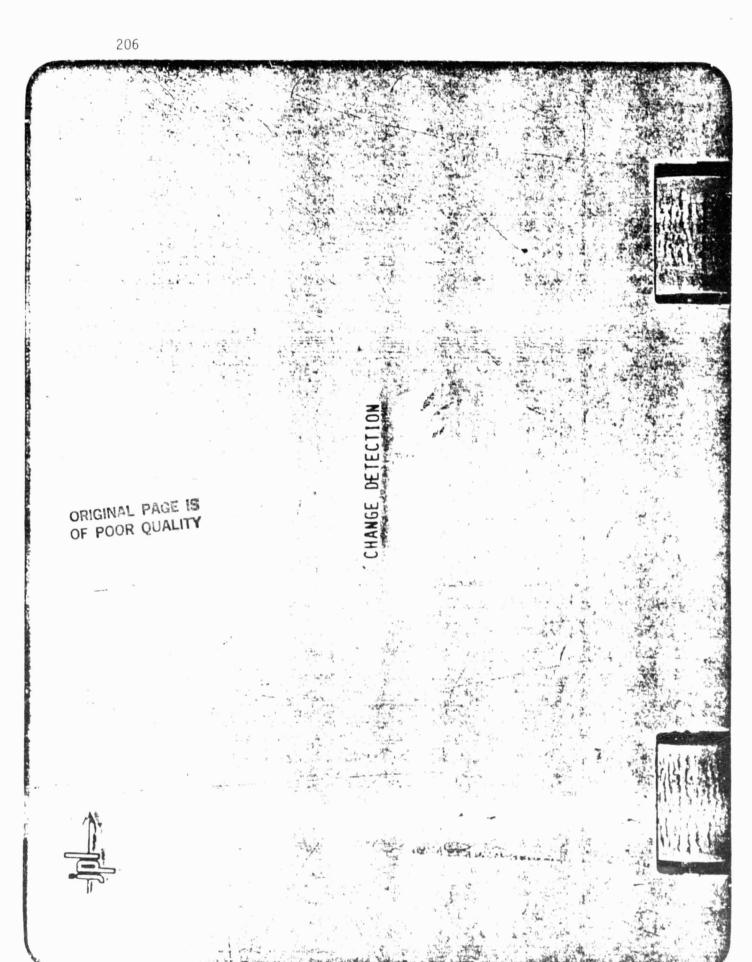






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Progress in the Scene-to-Map Registration Task

David D. Dow National Space Technology Labs

SCENE-TO-MAP REGISTRATION COMPARISON FOR LANDSAT MSS AND THEMATIC MAPPER DATA RTOP:

PRINCIPAL INVESTIGATOR: DAVID D. DOW

PROJECT DURATION: FY1981 - FY1984

MATHEMATICAL PATTERN RECOGNITION-GEOMETRIC PREPROCESSING FUNDAMENTAL RESEARCH AREA:

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11 - 14 - 14 - 14

SPECIAL STUDIES

SCENE-TO-MAP REGISTRATION

ASSESSMENT OF GEOMETRIC ACCURACY OF SCENE-TO-MAP REGISTRATION IMPROVED PROCEDURES FOR THE REGISTRATION AND RECTIFICATION DEVELOPMENT OF OF LANDSAT MSS AND TM SENSOR PRODUCTS. OBJECTIVE:

OF LANDSAT DATA.

SOUTHEASTERN LOUISIANA AND EASTERN KANSAS TEST LOCATIONS:

POTENTIAL APPLICATIONS:

- (1) COMPONENT OF MULTISOURCE DATA BASE
- (2) DEVELOPMENT OF A CHANGE DETECTION PRODUCT
- (3) INPUT TO HABITAT CLASSIFICATION

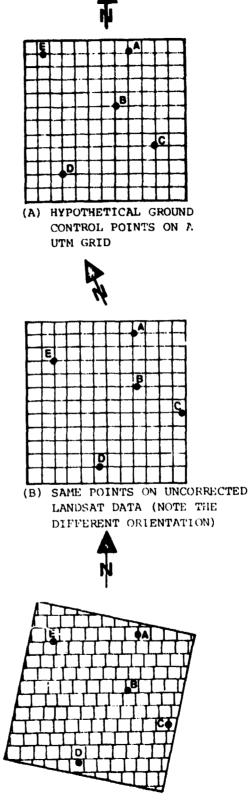
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TECHNICAL QUESTIONS ADDRESSED:

- GEOMETRIC FIDELITY OF P-FORMAT LANDSAT MSS AND LANDSAT D TM DATA.
- INFLUENCE OF PRODUCT SCALE ON THE GEOMETRIC ACCURACY OBTAINABLE WITH CURRENT REMAPPING TECHNIQUES AND RESAMPLING FUNCTIONS. 2.
- ROLE OF GROUND CONTROL POINT SELECTION PROCEDURES ON DIMINISHING SPATIAL DISTORTIONS IN A-FORMAT LANDSAT MSS DATA. m.
- GEOMETRIC ERRORS INTRODUCED BY CONVERSION BETWEEN BASE MAP PROJECTIONS (UTM, HOM, AND SOM). 4.

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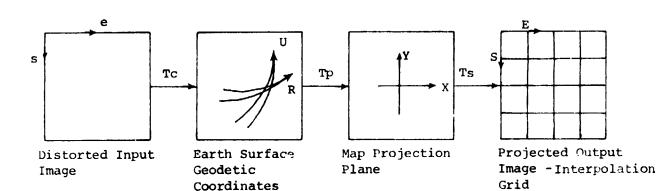
2



(C) SAME POINTS ON GEO-REFERENCED LANDSAT DATA (ORIENTATION HAS BEEN ROTATED)



- 1

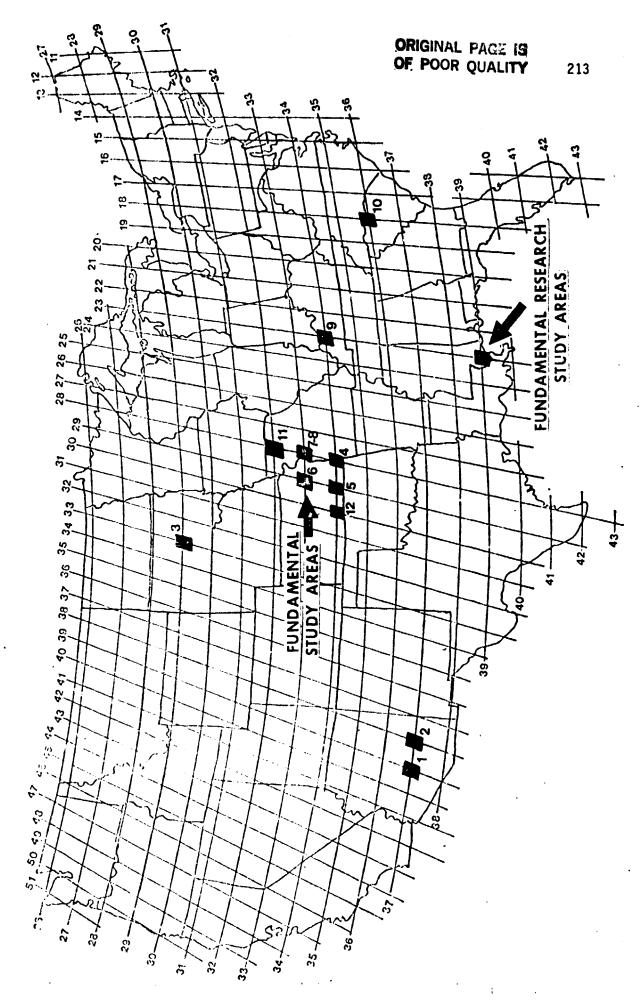


Where mapping function relates

(E,S) = Ts Tp Tc (e,s) = T(e,s)

and Ts, Tp and Tc are vector functions

GEOMETRIC REGISTRATION PROCESS AND ITS APPLICATIONS



DISTRIBUTION OF 12 LANDSHIT SCENES ACCONDING TO PATH AND ROW NUMBERS Figure 1. SCEVE-TO-MAP REGISTRATION

ASSESSMENT OF GEOMETRIC

ACCURACY OF SCENE-TO-MAP

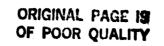
REGISTRATION OF LANDSAT P-

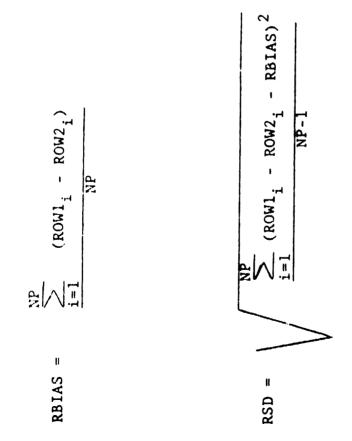
FORMAT MSS DATA

P-FORMAT DATA - CONTAINS RADIOMETRIC AND GEOMETRIC CORRECTIONS

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WIT V SE	L1-C0L2		
COMPARE) FOR DA	COL2 COL	398.9 509.5 515.5 1198.8 1083.1 1083.1 1075.4 113.5 1214.4 1875.7 2372.5 2974.8 1811.6 1195.8 2974.8 1723.3 2267.8 2930.0 2267.8 2930.0 2267.8 2930.0 2265.8 1723.3 2265.8 1723.3 2265.8 1684.4 1723.3 2265.8 1684.4 1956.8 1626.8 1723.3 1626.8 1626.8 1626.8 1626.8 1723.5 1723.5 1626.8 1626.8 1626.8 1626.8 1626.8 1626.8 1626.8 1626.4 1723.5 200.00000000	1
ROW2, REGIST	COLI	397.4 514.0 514.0 514.0 11196.3 1074.5 112.6 112.6 112.6 1214.5 12287.5 2372.8 1229.3 22265.6 11195.6 12729.2 22265.6 1229.3 1029.3 1029.3 1029.3 1029.3 1029.3 1029.3 1029.3	
LONGI	ROW1-ROW2	00000000000000000000000000000000000000	
(LATITUDE, TERMINED FR	ROW2	544.5 544.5 1442.3 1010.7 1908.9 2487.0 2913.5 451.4 2913.5 451.4 2913.5 735.2 190.5 190.5 190.5 190.5 190.5 190.5 114.0 2007.0 2537.9 2656.2 2656.2 2656.2 2828.8 RBIAS= RBIAS=	. F
NI ~	ROW1	2486.2 1444.3 14444.3 1444.3 2912.0 2912.0 2912.0 2090.2 2090.2 2080.2 2656.5 22238.3 2656.5 22238.3 2656.5 22238.3 22	
N CON (ROW	LONGITUDE	12       3938         12       3752         12       3752         12       3752         12       3752         12       3752         12       3752         12       3752         12       3752         12       3752         12       3752         12       3311         12       3339         11       4733         11       4733         11       4733         11       4733         11       4668         11       4668         11       4668         11       4668         11       4668         11       4668         11       4668         11       4668         11       4668         11       46629         11       4000         11       4000         11       4000         11       4000         11       4000         11       4000         11       4000         11       4000         11       4	
ENDENTLY AT COORDI	LATITUDE		
I NDEP LANDS		00000000000000000000000000000000000000	1 1 1 1

														1
CSD	1.3	1.1	1.0	1.7	0.9	1.0	р.1	1.2	1.2	1.6	2.5	1.3	48.8	40.9
CBIAS	-0.3	0.1	-0.2	0.6	9.2	9.5	1.4	-0.8	-0.8	3.2	0.2	0.6	-95.6	100.3
RSD	1.1	2.4	1.3	3.9	5.3	4.2	1.1	1.1	1.1	1.7	1.5	2.3	220.8	226.7
RBIAS	0.5	6.0	0.2	15.8	-414.8	-407.4	0.7	1.3	0.3	-3.6	2.1	10.5	-219.4	251.8
DATE GEN BY MDP	7/23/79	67/52/7	8/30/79	4/23/80	5/18/80	5/18/80	5/12/79	6/4/79	9/15/80	2/15/80	8/5/79	5/28/80	11/21/81	11/9/81
ASSESSMENT NUMBER	ſ	4	ر م	2	Π	0	e	7	5	ň	2	4	e	2
LANDSAT MISSION NO	c	4 0	1 0	I 6	2	2	2	<b>m</b>	6		2	2	0'	2
DATA SET			v v	n 4	<u>,</u>	s S:	~ ~	. a	o or	, 01	2- 11		LA/MS	S

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DEVELOPMENT OF IMPROVED

PROCEDURES FOR THE REGISTRATION

**OF A-FORMAT LANDSAT** 

MSS DATA

A-FORMAT DATA - RADIOMETRIC CORRECTIONS ONLY

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LOUISIANA "A" FORMAT DATA GEOREGISTRATION

1

Sec. And

CSD	0.06	0.05	0.07	0.07	0.06
CBIAS	-0.13	0.08	0.05	-0.05	0.31
RSD	0.10	0.10	0.11	0.11	0.11
RBIAS	-0.14	0.29	-0.23	-0.16	0.25
GROUND REFERENCE POINTS	216	216	236	236	246
RMS (M)	173	66	11	58	55
GROUND CONTROL POINTS	07	38	20	19	10

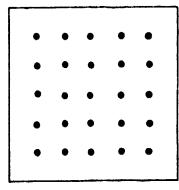
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و بور العاظ

	CSD	0.05	0.05	0.05
	CBIAS	0.01	-0.01	0.17
STRATION	RSD	0.06	0.05	0.05
IA GEUKEGI	RBIAS	-0.08	0.19	-0.22
NULLA GEOREGISIKATION	GROUND PEFERENCE POINTS	235	250	258
New JAN	RMS (M)	54	30	50
	GROUND CONTROL POINTS	31	16	ω

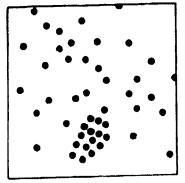
KANSAS "A" FORMAT DATA GEOREGISTRATION

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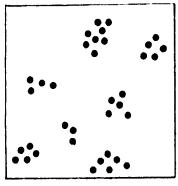


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#### B. RANDOM DISTRIBUTION OF POINTS



C. CLUSTERED DISTRIBUTION OF POINTS



NAJOR CATEGORIES OF GROUND CONTROL POINT DISTRIBTUION

222	RMS (M)	36	77	77	43
	ELEMENT S.D.	1.34	0.82	0.68	0.63
AT SCENE	BIAS	-1.35	0.06	-0.67	0.42
20% LANDS	S.D.	0.44	0.46	0.43	0.48
AREA:	SCAN				
GEOREF TEST AREA: 20% LANDSAT SCENE	BIAS	0.01	-0.09	0.20	-0.07
	DIST. PTS.	CLUST.	RAND .	CLUST.	RAND.

NO. PTS.

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A REAL PROPERTY AND A REAL PROPERTY.

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44

0.67

0.03

0.42

0.01

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APPENDIX

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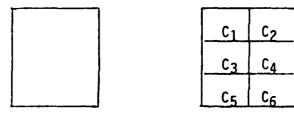
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#### FUNDAMENTAL RESEARCH DATA BASE

At the request of Dr. R. P. Heydorn, a fundamental research data base has been created on a single 9-track 1600 BPI tape containing ground truth, image, and Badhwar profile feature data for 17 North Dakota, South Dakota, and Minnesota agricultural sites. Each site is 5x6 nm in area. Image data has been provided for a minimum of four acquisition dates for each site. All four images have been registered to one another. A list of the order of the files on tape and the dates of acquisition is provided in attachment 1.

Attachment 2 provides information on the format of the ground truth tape and a table for each year to use in interpreting the information on the ground truth tape. Ground truth codes vary depending on the year. Like the Landsat image files, ground truth files cover an image 196 pixels wide by 117 lines long, but the actual size of the ground truth image is 392 pixels by 234 lines. The reason for this difference is that there are six ground truth subpixels for each Landsat pixel, as illustrated.



Landsat Pixel

Ground Truth Pixel

**N83** 23082

225

The symbols  $C_1$ ,  $C_2$ ,  $C_3$ ,  $C_4$ ,  $C_5$  and  $C_6$  represent the ground truth crop code for the various sub-parts of the Landsat pixel. We typically use a plurality rule to decide on a single label for a Landsat pixel.

All files are stored on tape in universal format. Image files and Badhwar profile feature files contain four channels of data, but since three Badhwar profile features are provided in the feature files  $(t_p, \sigma, \text{ and } G_{max})$  the fourth channel is always zero. The format for image and profile files is the same and is provided in attachment 3.

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## ATTACHMENT 1

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File	Туре	Segment	Year	State		sitions		an Date			
1-5	Image	1380	78	MN	115	169	196	204	222		
6-10	í	1394	78	ND	120	174	211	220	238		
11-15	1	1531	77	MT	112	129	147	184	220		
16-20		1537	78		122	141	159	194	221		
21-27		1544	78	MT	104	122	140	158	176	221	230
28-32	)	1553	78	MT	122	194	203	211	220		
33-37		1566	78	MN	115	133	169	196	232		
38-43		1619	77	ND	122	140	158	175	176	230	
44-48		1636	78	ND	135	154	190	207	226		
49-53		1650	78	ND	156	191	209	218	236		
54-58		1653	78		136	154	155	191	208		
59-63		1663	77	ND	121	138	156	174	211		
64-68		1676	79	SD	120	165	184	211	237		
69-73		1755	79	SD	120	147	166	184	220		
74-78		1784	78	SD	133	169	196	223	241		
79-83		1825	78	MN	133	196	206	223	224		
84-88	1	1899	77	ND	122	140	157	175	193		
89-94	Image	1920	78	ND	101	136	199	209	217	236	

<u>File</u> 95	<u>Type</u> GT	Segment 1380	File 113	<u>Type</u> Profile	Segment 1380
96	<b>u</b> 1	1394	113	// virie	1394
97	(	1531	115	(	1531
98		1537	116		1537
99		1544	117	{	1544
100		1553	118	1	1553
101		1566	119	)	1566
102	1	1619	120	(	1619
103	1	1636	121	)	1636
104	1	1650	122	(	1650
105		1653	123	)	1653
106	)	1663	124	1	1663
107	(	1676	125	\	167 <del>6</del>
108	\	1755	126		1755
109		1784	127		1784
110		1825	128		1825
111	\$	1899	129	(	1899
112	ļ	1920	130	)	1920

#### ATTACHMENT 2

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#### 3.2.1 HEADER RECORD

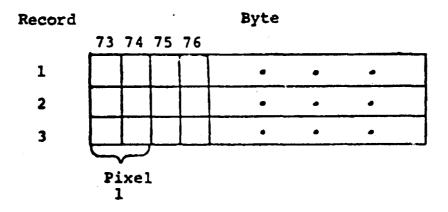
The Header Record is the first record on the tape and contains 3060 bytes (8 bits per byte). The record is zero filled except for those bytes listed in the following table. The slues contained in the listed bytes are all constant except for bytes 61 through 63. The attached tape format contains identification and descriptions for each byte. The description and format of the Header Record is contained in jattachment 3.

Byte	Value	Byte	Value	Byte	Value
61	Day	96	1	111	120
62	Month	97	-120	1778	1
63	Year	100	2	1786	1
81	-128	101	28	1787	1
89	1	104	1	1788	-120
90	1	106	70	,	<b>-</b> .
-91	8	109	1		-
- 93	1	110	1	11 a 21 11 a 21 11 a 21	

Each video scan line is 504 bytes long; a 2-byte record counter, a 70-byte ancillary block, and 392 bytes of ground truth (two of the six subpixels for a 196 pixel scan line). It takes three video scan lines to complete one scan line of ground truth. See the following page for diagram.

Each video block will be the same number of bytes in length. If this tape contains raw data the PCM sync words associated with the video data, if any, will be included, with the video data on this tape. If this tape contains processed data, no sync words will be present.

The arrangement of data for each pixel is shown in the following diagram. Data for subpixels 1 and 2 for pixel 1 is found in bytes 73 and 74 of the first data record. Data record 2 and 3 contain data for suppixel 3 through 6 in the same format as record 1.



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1 3		۲.	S L	، م	5	4 2	0 94
1 5	Q.	e <sup>4</sup>	A R	8	165	57 19 10 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	1 5 5
A	ALFALFA -	50~	115	1 140	165	190	215 -
B	DARLEY	101	126	151	176	201	226
BH	BEANS	91	116	141	166	191	216
т С	CORN ,	. 92	117	142	167	192	217
CN	СОТТОН	111	136	161	. 186	211	236
FX)	FLAX	103-	123	153	178	203	228
· G	GRASS	105	130	155	180	205	230
· H	HAY	105	131	156	181	205	231
1/00	IDLE COVER CROP	252	-	-	-	-	-
I/CS-ST	IDLE CROPLAND STUBBLE	251	-	-	-	-	-
I/F	IDLE CROPLAND FALLOW	. 254	-	-	-	-	-
I · I/RE	IDLE CROPLAND RESIDUE	253		-	-	-	-
M	MILLET	112	137	162	187	212	237
I MT	MOUNTAINS	241	-	-	-	-	-
T NA	NON-AG	2-12	-	-	-		-
! (0)	OATS	104	129	154	179	204	229
T P	PASTURE	107	1 32	157	183	207	232
PF	PROBLEM FIELD	80	-	-	-	- `	-
$(\hat{R})$	RYE	102	127	152	177	202	227
SB	SUGAR BEETS	. 98	123	148	173	198	223
SF	SAFFLOWER	93	118	143	168	193	218
SG	SUDAN GRASS	95	120	145	170	195	220
<sup>I</sup> SR	SORGHUM	96	121	146	170	196	221
SU SU	SUNFLOWER	94	119	144	169	194	219
SVT	SPRING WHEAT	100	125	150	175	200	225
	SOYBEANS	97	122	147	172	197	222
SY T	TREES	108	133	158	183	208	233
TR TR	TRÉTRICALE	109	134	159	184	209	234
	VOLUNTARY MHEAT	110	135	160	185	210	235
. (1)	WINTER WHEAT	30	124	149	174	199	224
*	WATER	240	-	-	-	-	-
×.	HOMESTEAD	250	-	-	-	*	-
	And and the grade	12 4					,

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*		nges from 1977. 3-3)	-1978 (	Codes	Lawley H	D TIDOHED	ERLO		4 H - 512	
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	CS	Proble- Fiel				/			Z	
	50	Alfalfe	(A)	115	140	165	190	215		
	91	Seans	(B!!)	116	141	166	191	216		
	92	Corn	(C)	117	142	167	192	217		L PAGE <b>IS</b> R QUALITY
	93	Safflower	(SF)	118	143	168	193	218		
	94	Sunflower	(SU)	119	144	169	194	219		·
· •	95	Durum Wheat	(D\)	120	145	170	195	220		
	96	Sorghum	(SR)	121	146	171	196	221		
	<b>9</b> 7	Soybeans	(SY)	122	147	172	197	222		
	36	Sugar Beets	(SB)	123	148	173	198	223		· · · · · · · · · · · · · · · · · · ·
	જી	Winter Wheat	(WW)	124	149	174	199	224		
	100	Spring Wheat	(SW)	125	150	175	200	225	•	
g	101)	Spring Barley	(65)	(126)	151	(176)	201	(226)		
	102	Rya	(R)	127	152	177	202	227		•
	103	Flax	(FX)	128	153	178	203	228		
	104	Spring Oats	(SO)	129	154	179	204	229		•
	105 /	Fall Oats	(F0)	130	155	180	205	230		-
•	<b>()</b>	Fall Barley	(FB)	(13)	156	(181)	206	(231)		
•	107	Cotton	(CN)	132	157	182	207	232		
	108	* Peanuts	(PN)	133	158	183	208	233		
	109	*		134	159	184	209	234		· · · ·
	110	+	•	135	160	185	210			
	:11	Grass (G)	97253840 gay	Arancessar ered						
	112	(H) (SG) Hav, Sudan Gra		(ML)		*0nen	- to be	accione	d as neede	
•	113	Pasture	(P)							
	114	····	(F) (T)	<u> </u>	136		ture (Pil) 1	58 *CL	(••••) 80	243 50
		Trees	(1)		1	N.M.	to		ŭ	24
	240	Vator	(*)		137		Grain 1 o Annual	* 63		24:

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Attachment 2 to Ref: 642-7665 1979 Crop Year Keys and Delineation Codes

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Crop Type	Crop Type		Crop Harvested	Crop Abandoned	Crop Harvestad • for Silage
Alfalfa Buckwheat Barley Clover Corn Cotton Dry Bean Durum Wheat Flax Hillet Dats Peanuts Potatoes Rice Rye Sugar Beets Sugar Cane Safflower Soybeans Sorghum Sunflower Spring Wheat Tobacco Vegetables Winter Wheat Small Grains/Strip Fi *	elds	AH BW BR CL CR CT DB DW FX ML OA PE PO RI RY SB SC SF SO SR SU SW TB VE WW 	101 102 103 104 105 106 107 108 109 110 111 112 113 114 115 116 117 118 119 120 121 122 123 124 125 126 127 128	151 152 153 154 155 156 157 158 159 160 161 162 163 164 165 166 167 168 169 170 171 172 173 174 175 176 177 178	201 202 203 204 205 206 207 208 209 210 211 212 213 214 215 216 217 218 219 220 221 220 221 222 223 224 225 226 227 228
Grasses Other Hay Orchard/Vineyards Pasture Trees, Water > 5 acres Non-Agriculture Idle Land/Fallow Previous Year Residue/Stubble Mixed Crop in Field Problem Field Non-Inventoried Land	GS OH OR PA TR WA XX IL IL R M	131 132 133 134 135 136 140 231  232 233 99 255		•	

\*Open--to be assigned as needed (through code 130). Other open codes include 137 through 139, 141 through 150, 181 through 200, 234 through 254.

### ATTACHMENT 3

UNIVERSAL FORMAT TAPE HEADER RECORD FORMAT (3060 Bytes)

		ORIGINAL PAGE IS
BYTE	CONTENTS	DESCRIPTICH OF POOR QUALITY
1-32	LACIE ENDPFSS	Computing system id-EBCDIC
*33-38	XXXXXXX	6-digit unload tape number
*39-52	PYYDDDi. DGISSTH	RUNID (EBCIDIC)
53-60	ERTS & SS »	Sensor id-E3CDIC
61-63		Date of this tape generation
61		Day of month - Binary
62		Month number - Binary
63		Year - last 2 digits - Binary
64	\$	Daily tape serial number - Binary
<b>65-</b> 66		ERTS mission number - Birary 1 = ERTS A 2 = ERTS B
<b>67</b> -68		Site - Binary (sample segment number)
x		Range 1-5000
69	00000000	Line - Binary
70	0000000	Run - Binary
71-72		Orbit number of new data - Binary
73-80		Time of first scan in this job (for LACIE this is the time of the center scan of the ERTS scene containing the sample segment to the last ten seconds)
73-74		Tenths of seconds x 1000 - Binary
75		Seconds - Binary
76		Minutes - Binary
77		Hours - Binary
78		Day of month - Binary
79		Month number - Binary
80		Year - last 2 digits - Binary
<b>81-88</b>		Bands active in this job, 1 bit per band left to right (MSB to 1SB). Video data always appears in the order indicated here. 1 = active.
81	00001111	Bands 1, 2, 3, 4 active
82-88	0	Bands 5-64 not applicable to LACIE
89	C	<b>Processing flag - rav data - Binary</b>
90	4	Number of bands in this job - Binary

ORIGINAL PAGE IS 233 OF POOR QUALITY

		OF POOR QUALITY
BYTE	CONTENTS	DESCRIPTIO:
91	8	Rumber of bits in a picture element - Bin
<b>92-93</b>	1	Address of start of video data gives loca <sup>.</sup> of start of video within scan - Binary
94-95	0	Address of start of first calibration area within the scan - Binary
96-97	196	Number of video elements per scan within a single tand - Binary
98-99	0	Number of calibration elements in the first calibration area within the scan in a single band - Binary
100-101	900	Physical record size in bytes - Binary
102	0	Number of bands per physical record of data set starting with the second record of the data set - Binary
- 103	0	Number of physical records per scan per band - Binary. Zero unless the elements per band is greater than 3K.
104	1	Rumber of records to make a complete data set - Binary.
<b>105-</b> 106	70	Length of ancillary block in bytes - Binery
107		Data order indicator - Binary 0 = video ordered by bund
108-109	1	Start pixel number number of the first pixel per scan on this tape referenced to the start of the scan - Binary
110-111	196	Stop pixel number number of the last pixel per scan on this tape referenced to the start of the scan - Binary
<b>J12-6</b> 23		Coefficients and exponents-of-ten to linearly translate parameter values from up to 64 bands to engineering units. Two bytes per coefficient or exponent with each pair of bytes expressed in signed binary. (MSB a sign bit: 0=+, 1= (Remaining 15 bits straight binary).
<u>112-119</u>	0	AO coefficients for bands 1-4
120-239	0	Bands 5-64 not applicable to LACIP
240-247	Q	20 exponents of ten for bands 1-4
248-367	Ò	Bands 5-64 not applicable to LACIE
<b>368</b> -369	1	Al coefficient for band 1
370-371	1	Al coefficient for band 2

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BYTE	CONTENTS	DESCRIPTION ORIGINAL PAGE IS OF POOR QUALITY
371-373	1	Al coefficient for band 3
374-375	1	Al coefficient for band 4
376-495	0	<b>Bands 5-64 not applicable to LACIE</b>
496-503	0	El exponents of ten for bands 1-4
504-629	0	<b>Bands 5-64</b> not applicable to LACIE where for each band Y = Engineering Units, C = Parameter Value: Y = A $*10^{++}E + C^{+}A$ $*10^{++}E$
624-687	To be supplied by JSC	Color code information - one byte per band in same order as "channel active on this tape" indicator - Binary. 0 = no color assignment
688-751	0	Scale factor - one byte per band in same order as "channel active on this tepe" indicator - Binary 0 = not active
752	0	Offset constant - Binary
753	16	Nord size of generating computer. This is the smallest quantity in bits that the computer can write on tape.
754-1777		Shortest and longest wave-length of each band - EBCDIC. Eight bytes per limit, 16 bytes per band - mili microns
754-769	000005000000600	Band 1 - EBCDIC
770-785	000006000000700	Band 2 - EBCDIC
786-801	008000000000000000000000000000000000000	Band 3 - EBCDIC
802-817	000008000001100	Band 4 - EBCDIC
818-1777	0	<b>Bands 5-64 not applicable to LACIP - EBCDIC</b>
8772	1	Humber of data sets per physical record - Binary
<b>1779-</b> 1780	0	Address of start of second calibration within a scan - Binary
<b>1781</b> -1782	0	Number of calibration elements in the second calibration area within the scan in a single band - Binary
1783	0	Calibration source indicator - Binary
1784	0	Fill zero.
<b>1785-</b> 1786	; <b>1</b> ,	Number of berds in the first record of the data set - Binary
<b>1787-</b> 1788	196	Total number of elements per scan per band - Binary

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		ORIGINAL PAGE IS
BYTE		DESCRIPTION OF POUR QUALITY
<b>17</b> 89-1790	1	Pixel skip factor - the quantity to be to the number of the last pixel process yield the number of the next pixel to be processed - Binary 1 = Process every pixel
<b>1791–</b> 1791	1	Scan skip factor - the quantity to be add to the number of the last scan processed yield the number of the next scan to be pro- cessed - Binary. 1 = Process every scan
<b>1793</b> -2940	•	General information. Information in EBCDIC generated to satisfy user requirements. Contents will be unique for each user and depend not only on the sensor, but also on specifications of the user for whom the tape is generated. Eytes for which user specific no requirements will contain fill zeros.
<b>179</b> 3-2086		Fill zeros
2087-2184		Gele: 1 annotation byte assignment for ERTS LACIE
<b>2087</b> -2094	-x.xxxx:	Peak sharpness - EBCDIC
2095-2102	-x.xxxx	Normalized peak to background ratio - EECDIC
2103		Manual registration flag O = Automatic l = Manually assisted
2104		<pre>Zero fill flag - Binary 0 = The sample segment contains no zero fill data 1 = Part of the sample segment contains zero fill data</pre>
<b>2105</b> -2106		Orbit number of reference data set - Binary (not used = 0)
2107-2109		Zero fill
2110		Cloud cover - Binary - percent of 10X11 NM search area covered by clouds
.2111		Zero fill
2112-2120		ERTS scene/frame id number for reference data set - EBCDIC - ADDDHHEGHS (see bytes 2123-2131 for content)
2121		Zero fill
2122		Flag indicating whether a reference scene has been used for registration - Binary 0 = hasn't been used 1 = has been used
2123-2131		ERTS scene-frame id number for new data-EBCDIC- ADDDHEGAS

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236 BYTE	CONTENTS	LESCRIPTION
2123	1	A = ERTS mission number
2124-2126		DDD = Day number relative to launch at time of observation
2127-2128	1	<b>HH</b> = hour at time of observation
2123-2130	. '	<b>PM</b> = minute at time of observation
2131		8 = tens of seconds at time of observation
2132		Zero fill
2133		<b>Data</b> quality classification 0 = acceptable 1 = marginal
2134-2145		Center of sample segment - EBCDIC right justified and padded with zeros
2134-2139		Latitude
2134		"N" = North "S" = South
2135-2137		Degrees - integral
2138-2139		Minutes - integral ORIGINAL PAGE 18
2140-2145		Longitude OF POOR QUALITY
2140		${}^{n}E^{n} = East; "H" = West$
2141-2143		Degrees - integral
2144-2145		Minutes - integral
<b>2146-21</b> 49		<b>Band sync status - Binary - the number of</b> <b>lines for which symc could not be maintained</b> <b>during pre-processing by band</b>
2146		Band 1
2147		Band 2
2148		Band 3
2149		Band 4
2150-2156		Zero fill
<b>2157-</b> 2170		Sun angle - EBCDIC
<b>2157-</b> 2162	Sumel	"SUN EL" - EBCDIC
<b>2163-</b> 2164		Sun elevation - integral degrees EBCDIC
<b>2165-</b> 2167	yaz	- "AZ" - EBCDIC
2168-2170		Sun azimuth - integral degrees - EBCDIC
<b>217</b> 1-2178		Time and date of last update to controlling information - EBCDIC - YDDDWEDM
2179-2184		Zero Sill

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		OF POOR QUALITY	237
BYTE	CONTENTS	DESCRIPTICA	
		Sun angles are 2 byte binary	
<b>*2201-2202</b>	•	Sun angle for RSZG channels 1-4	
<b>*220</b> 3-2204		Sun angle for RSEG channels 5-8	
<b>*2205</b> -2206		Sun angle for RSEG channels 9-12	
<u>2207–2208</u>		. Sun angle for RSEG channels 13-16	
+2249	YYDDD .	lst acquisition date (characters)	
2254	X	. Average soil greenness for 1st acquisition	<b>)</b>
-		(binary number)	
*2257	YYDDD	2nd acquisition date or blanks	
<b>*</b> 2262	<b>X</b>	Average soil greenness for 2nd acquisition	4
<b>*</b> 2265	YYDDD	3rd acquisition date or blanks	
<b>*</b> 2270	X	Average soil greenness for 3rd acquisition	2
<b>*</b> 2273	YYDDD	<b>hth acquisition date or blanks</b>	
<b>*2278</b>	x	Average soil greenness for 4th acquisition	
2551-2642	0	General annotation byte assignments for the cyber at JSC	
<b>2643</b> -2940		General annotation byte assignments for th production film converter	DC.
<b>2643-</b> 2658		Bias factors and scaling factors - signed Binary. Four bytes per channel, where firs two bytes = bias factor; second two bytes scaling factor. Each factor has an implie decimal point to the left of the least significant decimal digit. If MSB = 1 the factor is negative; if the MSB = 0 the factor is positive.	st T
#26-3-2646		Channel 1	
<b>*2643</b> -2644		Bias factor	
<b>*26</b> 45-2646		Scaling factor	
<b>*26</b> 47-2650		Channel 2	
2647-2648		Bias fector	
<b>*26</b> 49-2650	-	-Scaling factor	
<b>*2651-</b> 2654		Echannel 3	
<b>2651-2652</b>		Bias factor	
· <b>*2653</b> -2654		=Scaling factor	•
2655-2658		- Channel 4	
<b>*2655</b> -2656		Bias factor	
<b>*26</b> 57-2658		Scaling factor	

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238 BYT <u>E</u>	CONTENTS			DESCRIPTION	Original page is Of poor quality
•2659-2606				Bias factor and scaling fa channels 5-16 in the same	
2758	1			N thousand scan lines per	frame - Binary
<b>2159</b> •2160-2783	•		•	User ID	
<b>218</b> 4-2789			. •	Blanks	
2190-2792	0			Altitude in meters - Binan	<b>Y</b>
2193-2794	0			Ground speed in NET/SEC -	Binary
2795	1	•		Scan Type - Binary 000000000 = Faw data 00000001 = Smoothed data	• .
2796	0	•		Angle of ABC in degrees -	Binary
2797	1			Camera - Binary 00000000 = 70 MA 00000001 = 5 inch	
2198	0			Input device - Binary 000000000 = 9-track 00000001 = high density to	вре
2799	2			Truncation 0 = 2 low order bits 1 = 2 high order bits 2 = no truncations	
<b>2800-</b> 280 <b>7</b>				Channels requested. 1 bit Binary	per chennel -
<b>-2800-</b> 2801	<u>11111111</u> 11111111	00000000 00000000 11110000 1111111	(2 acq) (3 acc)	Chennels 1, 2, 3, 4 reque	sted
2802-2807	0			Channels 16-64 not applic	able for Unload
2808	0			Processing mode - Binary 00000000 = serially 00000001 = concurrently	
<b>280</b> 9-2824 :	0	•		Density for eight saturat bytes per saturated color first byte = low intensit range; second byte = high intensity level is 0 to 2	- Binary where by level of the the range of the
<b>2809-28</b> 10	ָ נ			Red density range	
2811-281	2		•	Blue density range	
2813-281	L.			Green density range	
2815-281	6			Magenta density range	
2817-281	8			Cyan density range	
- <b>2819</b> -282	0.		1	Yellow density range	

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BYTE	CONTENTS	DESCRIPTION
2821-2822		White density range
2823-2824		Black density range
2825	To be supplied by JSC	Film processing fleg O = Process this file 1 = Skip this file
2826-2873	0	Fill zero
2874	0	Color select" - Binary 0 = No color 1 = Assigned color 2 = False color 3 = Saturated color
2875	0	Image format <sup>#</sup> - Binary O = Single image 1 = Enhanced images 2 = Abut images 3 = Offset images
2876	6	Repeat of pitels per scan - Binary O = None 1 = 1 repeat 2 = 2 repeats B = n repeats
2877	8	Repeat of scan - Binary 0 = none 1 = 1 repeat 2 = 2 repeats n = n repeats
2878-2881		Partial scan - Binary
2878-2679	0	Start pixel number
2880-2881	0	Stop pixel number (If bytes 27d7-2861 contain all zeros, full scan is expected - not partial)
2882-2883	0	Sensor scan rate in scans/second - Binary
2884	0	Pixel size - Binary
<b>2885-</b> 2886	ວ	Angle of drift - Binary
2885		- integer degrees
2886	`	· Fraction
2887-2940	0 _	Fill zeros
· <b>2941-</b> 3000	LACIESHDPFSS	Title - user designated identification
<b>3001–3</b> 060	0.	Fill zeros, makes the record an integral number of computer words. These bytes must <u>never</u> contain data.

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#### 3.2.2 DATA SETS

The data follows the Header Record and is arranged in data sets. A data set is defined as the ancillary data and all of the video data for one scan line for all active channels. Data sets are recorded in variable length physical records containing a maximum of 3000 bytes of information per record. Since 3000 bytes is not compatible with the word length of all computers, the record includes a sufficient number of fill zero to make the record divisible by 32, 36, 48, and 60 bits. However, the maximum length of the record may not exceed 3060 bytes. If two are more records are needed for the data set, the data set will be divided. Under no condition will the data for a video channel begin in one record and continue into another record.

The first two bytes of each record will contain the number of the physical record within the video data set. This is for use in data sets that contain more than 3000 bytes and therefore require more than one physical record for recording. The ancillary block is the first block of a data set and follows the record counter. The length of the ancillary block is variable, with the number of bytes given in the header record.

Bytes 73 through N will be dependent on whether this job contains raw processed data (Byte 89 of the header record). The value of N will be given in bytes 105 and 106 of the header record and will always be greater than or equal to 70.

If this job contains raw data bytes 73 through N will contain the **bousekeeping** data channel from the sensor, if one is available.

Following the ancillary data in each data set will be the video data for the one channel for one scan. The video data for the channel for cne scan will comprise a video block.

# UNIVERSAL FORMAT TAPE ANCILLARY BLOCK FORMAT

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<u>Byte</u>	<u>Contents</u>	DESCRIPTION
1-68	O	Zero fill
69-70		Relative scan line number

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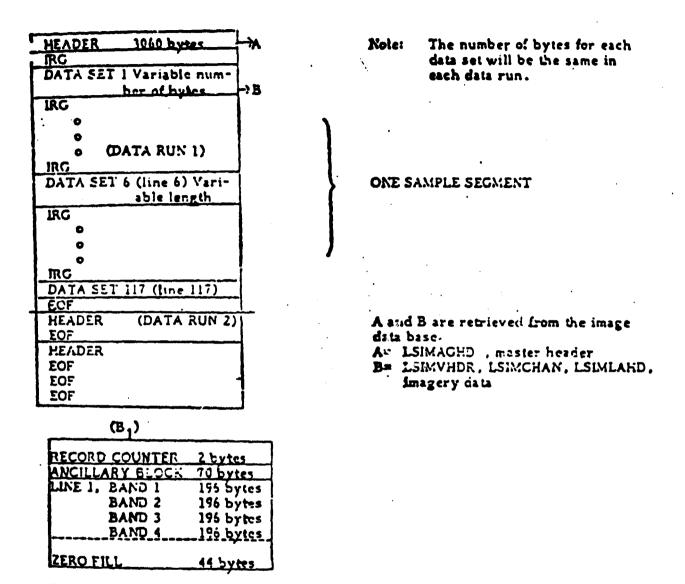
1

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# UNIVERSAL FORMAT SCAN LINE FORMAT (900 Bytes)

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DATA SET FOR 1 ACQUISITION, 4 CHANNELS

900 BYTES/RECORD

Figure 3-15. ITC Unload Tape (sheet 1 of 2)

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# (B<sub>3</sub>)

RECORD COUNTER	2 bytes
ANCILLARY BLOCK	
LINE 1, BAND 1	190 oytes
BAND 2	
BAND 3	196 bytes
BAND 4	196 bytes
BAND 5	.196 bytes
BAND 6	196 bytes
BAND 7	
	196 bytes
	196 bytes
BAND 10	196 bytes
	196 bytes
BAND 12	196 by es
ZERO FILL	96 bytes

DATA SET FOR THREE ACQUISITION, 12 CHANNELS

2520 BYTES/RECORD

-Note: For a 16-channel data set, two (B<sub>2</sub>) data sets will be required therefore requiring two physical records.

Figure 3-15. PFC Unload Tape (Sheet 2)