

Generative
phonology

Derivations

Alternations and
rule ordering

Phonotactics and
syllable structure

Phonology II: derivations, rules, phonotactics

John Goldsmith
LING 20001

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Outline

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3 Alternations and rule ordering

4 Phonotactics and syllable structure

American structuralism

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Phonotactics and
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- **Phonemic analysis:**
categorizing sounds (**phones**)
into **phonemes** and
allophones

American structuralism

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Phonotactics and
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- **Phonemic analysis:**
categorizing sounds (**phones**)
into **phonemes** and
allophones
- The intellectual cornerstone
of **American Structuralism**
(Leonard Bloomfield & co.)



(b. Chicago, 1 April 1887; AB
Harvard, 1906; PhD U Chicago,
1909; Professor of Germanic

American structuralism

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Phonotactics and
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- **Phonemic analysis:**
categorizing sounds (**phones**)
into **phonemes** and
allophones
- The intellectual cornerstone
of **American Structuralism**
(Leonard Bloomfield & co.)
- At its core, an empirical,
positivist pursuit



(b. Chicago, 1 April 1887; AB
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“Speech acts”

Suppose that Jack and Jill are walking down a lane. Jill is hungry. She sees an apple in a tree. She makes a noise with her larynx, tongue, and lips. Jack vaults the fence, climbs the tree, takes the apple, brings it to Jill, and places it in her hand. Jill eats the apple ... the incident consists of three parts, in order of time:

- A. Practical events preceding the act of speech.
- B. Speech.
- C. Practical events following the act of speech.

(Bloomfield, 1933/1961, pp. 22-23)

Anti-mentalism

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[Paul] accompanies his statements about language with a paraphrase in terms of mental processes which the speakers are supposed to have undergone. The only evidence for these mental processes is the linguistic process; they add nothing to the discussion, but only obscure it.

(Bloomfield, 1933/1961, p.17)

Trouble in paradise

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- However, in the 1950s people started noticing some problems with the concept of “phoneme”

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- However, in the 1950s people started noticing some problems with the concept of “phoneme”
- Most of those people were Morris Halle →

(I hired Chomsky!)



(b. Latvia, 1923; MA II of C.

Trouble in paradise

- Consider the prenasal neutralization of /ɪ/ ~ /ɛ/ in some dialects of American English:

[pɪt]	‘pit’	[pɛt]	‘pet’
[pẽn]	‘pin’	[pẽn]	‘pen’
[tɪt]	‘tit’	[tɛt]	‘tet’
[tẽn]	‘tin’	[tẽn]	‘ten’
[kɪt]	‘kit’	[kɛt]	‘kettle’
[kẽn]	‘kin’	[kẽn]	‘Ken’

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- [ẽ] must be an allophone of /ɪ/ or /ɛ/, because it is in complementary distribution with both.

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- [ẽ] must be an allophone of /ɪ/ or /ɛ/, because it is in complementary distribution with both.
- ...so, which one is the phoneme?

Generative phonology

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- The **generative phonologists** (Halle & co.) regarded these and other paradoxes as definitive evidence against positing a phonemic level of representation

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- The **generative phonologists** (Halle & co.) regarded these and other paradoxes as definitive evidence against positing a phonemic level of representation
- The generativists conceived of the phonological enterprise slightly differently

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- The **generative phonologists** (Halle & co.) regarded these and other paradoxes as definitive evidence against positing a phonemic level of representation
- The generativists conceived of the phonological enterprise slightly differently
- Instead of worrying strictly about contrastiveness (a distributional notion), the core distinction for the generativists was one of **redundancy**

Generative phonology

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Phonotactics and
syllable structure

underlying representations (URs):

input

constraints/rules

surface representations (SRs):

output

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Phonotactics and
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underlying representations (URs):

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- **Underlying representations** (URs) contain all and only the unpredictable information about a word
- **Surface representations** (SRs) are generated by applying a series of **rules**

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- **Underlying representations** (URs) contain all and only the unpredictable information about a word
- **Surface representations** (SRs) are generated by applying a series of **rules**
- Given rules & URs, the grammar **generates** SRs

Generative phonology

- The notion of rule (together with the features and natural classes that we use to write them) allows the UR level to be **redundancy-free**

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- The notion of rule (together with the features and natural classes that we use to write them) allows the UR level to be **redundancy-free**
- The idea is that this makes the grammar “simpler”: you can state the generalization more succinctly

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- At one time, this meant literally with fewer symbols: the less stuff on the page, the more elegant your generalization (and the higher the probability that it is correct: truth is beauty, beauty truth and all that)

Generative phonology

- The notion of rule (together with the features and natural classes that we use to write them) allows the UR level to be **redundancy-free**
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- At one time, this meant literally with fewer symbols: the less stuff on the page, the more elegant your generalization (and the higher the probability that it is correct: truth is beauty, beauty truth and all that)
- In reality, it is often a little more complicated than that, but the general heuristic still applies.

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- We will return to this notion of “simpler” analyses as being more elegant/preferred again and again

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- A “good grammar” is the one that captures the most generalizations.

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- We will return to this notion of “simpler” analyses as being more elegant/preferred again and again
- A “good grammar” is the one that captures the most generalizations.
- However, despite all of this anti-Structuralist rhetoric, we will still use the terms *phoneme* and *allophone* as synonyms for contrastive (unpredictable) and non-contrastive (predictable) representations, respectively

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Phonological derivations

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- In generative phonology, phonological rules operate on URs to generate SRs

Phonological derivations

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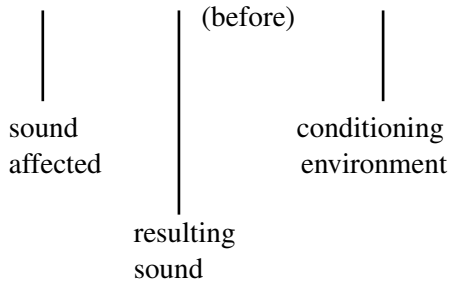
Phonotactics and
syllable structure

- In generative phonology, phonological rules operate on URs to generate SRs
- This operation is called a **derivation**, because we **derive** SRs from URs

URs:	phonological knowledge
rules:	allophonic processes
SRs:	phonetic implementation

Phonological rule format

[n] → [m] / __ labial consonant



“[n] becomes [m] before a labial consonant”

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Doing phonology the generative way

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The basic steps in doing phonology problems are:

- 1 Look for minimal pairs (phonemes).

Doing phonology the generative way

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The basic steps in doing phonology problems are:

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- 4 Determine the underlying representation.

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The basic steps in doing phonology problems are:

- 1 Look for minimal pairs (phonemes).
- 2 List the environments for the different pronunciations.
- 3 State the environment where each allophone occurs.
- 4 Determine the underlying representation.
- 5 Write the rule that derives the surface forms.

Doing phonology: Korean

- Consider the distribution of [r] and [l] in the following examples from Korean:

[talda]	‘sweet’	[kɔ:ri]	‘distance’
[ɔ:lmana]	‘how much’	[noŋ]	‘song’
[sɔlhwa]	‘legend’	[purida]	‘to use’
[pulgogi]	‘barbecued meat’	[saram]	‘person’
[tal]	‘moon’	[irum]	‘name’
[sul]	‘water’	[ku:rɪda]	‘to draw’

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- Are [r] and [l] allophones of one or two phonemes?

Doing phonology: Korean

Step 1: look for minimal pairs.

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- No minimal pairs...
- Probably two allophones of a single phoneme

Doing phonology: Korean

Step 2: Organize the forms by alternant.

[l]			[r]		
ta	l	da	ko:	r	i
o:	l	mana	no	r	ε
so	l	hwa	pu	r	ida
pu	l	gogi	sa	r	am
ta	l	#	i	r	um
su	l	#	ku:	r	ida

- [r] and [l] are in complementary distribution

Doing phonology: Korean

Step 3: find the conditioning environment.

[talda]	‘sweet’	[kɔ:ri]	‘distance’
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- [r] only occurs **before a vowel**

Doing phonology: Korean

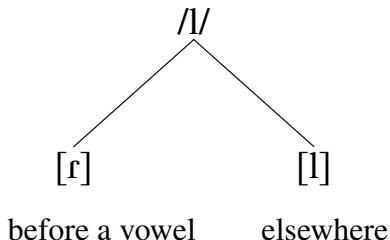
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- [r] only occurs **before a vowel**
- [l] occurs **everywhere else**

Doing phonology: Korean

Step 4: determine the underlying representation.



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Doing phonology: Korean

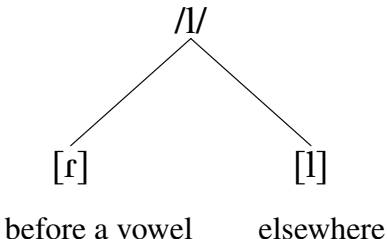
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Step 4: determine the underlying representation.



- Usually, we select one allophone as basic

Doing phonology: Korean

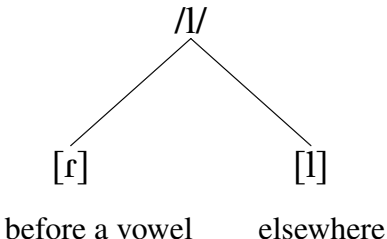
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Step 4: determine the underlying representation.



- Usually, we select one allophone as basic
- In most cases, this is the **elsewhere variant** (why?)

Doing phonology: Korean

Step 5: write the rule, and check that it applies.

$$/l/ \rightarrow [r] / _ V$$

UR	/#sul#/	/#salam#/
$/l/ \rightarrow [r] / _ V$	-	saram
SR	[sul]	[saram]
UR	/#pulgogi#/	/#pulida#/
$/l/ \rightarrow [r] / _ V$	-	purida
SR	[pulgogi]	[purida]

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Some useful notation

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UR	Underlying representation
SR	Surface representation
#	Word boundary
σ	Syllable ($___$] σ = coda, σ [$___$ = onset)
A \rightarrow B	A becomes B...
C $___$ D	...in the environment of C and D
C	Consonant
V	Vowel

Alternations

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- We've seen that phonemes can be realized in different ways depending on context – position in a word, other sounds they are next to, etc.

Alternations

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- We've seen that phonemes can be realized in different ways depending on context – position in a word, other sounds they are next to, etc.
- This can change the shape of words (or parts of words, called **morphemes**, which we'll get to later this week) in various (predictable) ways.

Alternations in English

[ɪn]

inappropriate
intolerant
indecent

[ɪm]

impossible
imbalance

[ɪŋ]

incoherent
inglorious

- This is an example of **assimilation**
- Can target manner as well as place:

[s]

rocks
sonorants
obstruents
births

[z]

tabs
derivations
eyes
cars

[əz]

kisses
churches
judges
wishes

Two rules of English

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$[p^h\hat{e}j:n]$	<i>pain</i>	$[sp\hat{e}j:n]$	<i>Spain</i>
$[t^h\hat{a}k]$	<i>tack</i>	$[st\hat{a}k]$	<i>stack</i>
$[k^h\hat{a}t]$	<i>cat</i>	$[sk\hat{a}t]$	<i>scat</i>

$$\left[\begin{array}{l} -\text{spr glottis} \\ -\text{continuant} \\ -\text{voice} \end{array} \right] \longrightarrow [+spr glottis] / \# _$$

- In prose:

Two rules of English

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$$\left[\begin{array}{l} -\text{spr glottis} \\ -\text{continuant} \\ -\text{voice} \end{array} \right] \longrightarrow [+spr glottis] / \# _$$

- In prose:
- “Voiceless stops are aspirated in initial position”

Two rules of English

[p ^h :æd]	<i>pad</i>	[p ^h æt]	<i>pat</i>
[t ^h i:ð]	<i>teeth</i> (v.)	[t ^h iθ]	<i>teeth</i> (n.)
[slæ:b]	<i>slab</i>	[slæp]	<i>slap</i>

$$V \longrightarrow [+long] / \text{---} \left[\begin{array}{l} +\text{cons} \\ +\text{voice} \end{array} \right]$$

- In prose:

Two rules of English

[p ^h :æd]	<i>pad</i>	[p ^h æt]	<i>pat</i>
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$$V \longrightarrow [+long] / \text{---} \left[\begin{array}{l} +\text{cons} \\ +\text{voice} \end{array} \right]$$

- In prose:
- “Vowels lengthen when followed by a voiced consonant”

Rule application and ordering

UR	/#slæp#/ /	/#pat#/ /	/#pad#/ /
Aspiration	–	p ^h æt	p ^h æd
V-length	–	–	p ^h æ:d
SR	[slæp]	[p ^h æt]	[p ^h æ:d]

- Here, more than one rule can apply in the derivation

Rule application and ordering

UR	/#slæp#/	/#pat#/	/#pad#/
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- Here, more than one rule can apply in the derivation
- How do rules interact with one another?

Rule application and ordering

UR	/#slæp#/ <hr/>	/#pat#/ <hr/>	/#pad#/ <hr/>
Aspiration	–	p ^h æt	p ^h æd
V-length	–	–	p ^h æ:d
SR	[slæp]	[p ^h æt]	[p ^h æ:d]

- Here, more than one rule can apply in the derivation
- How do rules interact with one another?
- Does the **order** in which the rules are applied matter?

Rule ordering: Kpelle

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Phonotactics and
syllable structure

<i>UR</i>	<i>SR</i>	gloss
/N-polu/	[mbolu]	‘my back’
/N-tia/	[ndia]	‘my taboo’
/N-fela/	[mvela]	‘my waged’
/N-kɔɔ/	[ŋgɔɔ]	‘my foot’

Kpelle is a Mande language spoken in Guinea and Liberia.

/N/ is a [+nasal] segment, unspecified for place

Rule ordering: Kpelle

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voicing assimilation: $C \rightarrow [+voice] / [+nasal] ___$

place assimilation: $[+nasal] \rightarrow [\alpha place] / ___ [\alpha place]$

Rule ordering: Kpelle

Sometimes, rules can apply in any order:

UR	/#N-polu#/ place assimilation	/#N-kɔɔ#/ ŋkɔɔ
	voicing assimilation	ŋgɔɔ
SR	[mbolu]	[ŋgɔɔ]

UR	/#N-polu#/ voicing assimilation	/#N-kɔɔ#/ ŋgɔɔ
	place assimilation	ŋgɔɔ
SR	[mbolu]	[ŋgɔɔ]

Rule ordering: Kpelle

...but what if there were a third rule?

<i>UR</i>	<i>SR</i>	gloss
/N-polu/	[mbolu]	'my back'
/N-tia/	[ndia]	'my taboo'
/N-fela/	[mvela]	'my waged'
/N-kɔɔ/	[ŋɔɔ]	'my foot'

voicing assimilation: $[-\text{voice}] \rightarrow [+ \text{voice}] / [+ \text{voice}] ___$

place assimilation: $[+\text{cons}] \rightarrow [\alpha \text{place}] / ___ [\alpha \text{place}]$

g-deletion: $g \rightarrow \emptyset / [+ \text{nasal}] ___$

Rule ordering: Kpelle

Generative
phonology

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Phonotactics and
syllable structure

UR	/#N-polu#/ /	/#N-kɔɔ#/ /
place assimilation	mpolu	ŋkɔɔ
g-deletion	–	–
voicing assimilation	mbolu	ŋgɔɔ
SR	[mbolu]	[ŋgɔɔ] ŋɔɔ

Rule ordering: Kpelle

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Phonotactics and
syllable structure

UR	/#N-polu#/ _____	/#N-kɔɔ#/ _____
g-deletion	–	–
place assimilation	mpolu	ŋkɔɔ
voicing assimilation	mbolu	ŋgɔɔ
SR	[mbolu]	[ŋgɔɔ] ŋɔɔ

Great success!

Generative
phonology

Derivations

Alternations and
rule ordering

Phonotactics and
syllable structure

UR	/#N-polu#/ <hr/>	/#N-koo#/ <hr/>
place assimilation	mpolu	ŋkoo
voicing assimilation	mbolu	ŋgoo
g-deletion	–	ŋoo
<hr/> SR	[mbolu]	ŋoo Hurrah!

Rule ordering: Kpelle

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Phonotactics and
syllable structure

UR	/#N-polu#/ /	/#N-koo#/ /
voicing assimilation	mbolu	Ngoo
g-deletion	–	Noo
place assimilation	mpolu	Noo
SR	[mbolu]	?[noo] noo

Rule ordering: Polish

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rule ordering

Phonotactics and
syllable structure

<i>sg</i>	<i>pl</i>	gloss	<i>sg</i>	<i>pl</i>	gloss
klup	klubi	‘club’	zwup	zwobi	‘crib’
trup	trupi	‘corpse’	dom	domi	‘house’
snop	snopi	‘sheaf’	koj	koje	‘basket’
trut	trudi	‘labor’	wuk	wugi	‘lye’
nos	nosi	‘nose’	ruk	rogi	‘horn’
vus	vozi	‘cart’	wuk	wuki	‘bow’
lut	lodi	‘ice’	ul	ule	‘beehive’
nuf	noze	‘knife’	kot	koti	‘cat’
grus	gruzi	‘rubble’	fum	fumi	‘noise’
zur	zuri	‘soup’	dzvon	dzvoni	‘bell’

Rule ordering: Polish

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<i>sg</i>	<i>pl</i>	gloss	<i>sg</i>	<i>pl</i>	gloss
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trut	trudi	‘labor’	wuk	wugi	‘lye’
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grus	gruzi	‘rubble’	fum	fumi	‘noise’
zur	zuri	‘soup’	dzvon	dzvoni	‘bell’

Rule ordering: Polish

<i>sg</i>	<i>pl</i>	gloss	<i>sg</i>	<i>pl</i>	gloss
klup	klubi	'club'	ʒwup	ʒwobi	'crib'
trup	trupi	'corpse'	dom	domi	'house'
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lut	lodi	'ice'	ul	ule	'beehive'
kot	koti	'cat'	nuj	noze	'knife'
grus	gruzi	'rubble'	jum	jumi	'noise'
zur	zuri	'soup'	dzvon	dzvoni	'bell'

- Final obstruents are **always** voiceless in the singular

Rule ordering: Polish

<i>sg</i>	<i>pl</i>	gloss	<i>sg</i>	<i>pl</i>	gloss
klup	klubi	'club'	ʒwup	ʒwobi	'crib'
trup	trupi	'corpse'	dom	domi	'house'
snop	snopi	'sheaf'	koj	koje	'basket'
trut	trudi	'labor'	wuk	wugi	'lye'
nos	nosi	'nose'	ruk	rogi	'horn'
vos	vozi	'cart'	wuk	wuki	'bow'
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kot	koti	'cat'	nuj	noze	'knife'
grus	gruzi	'rubble'	jum	jumi	'noise'
zur	zuri	'soup'	dzvon	dzvoni	'bell'

- Final obstruents are **always** voiceless in the singular
- Same obstruents **sometimes** voiceless in the plural

Which rule is better?

<i>sg</i>	<i>pl</i>	gloss	<i>sg</i>	<i>pl</i>	gloss
klup	klubi	‘club’	ʒwup	ʒwobi	‘crib’
trup	trupi	‘corpse’	dom	domi	‘house’
snop	snopi	‘sheaf’	koʃ	koʃe	‘basket’
trut	trudi	‘labor’	wuk	wugi	‘lye’
nos	nosi	‘nose’	ruk	rogi	‘horn’
vos	vozi	‘cart’	wuk	wuki	‘bow’
lut	lodi	‘ice’	ul	ule	‘beehive’
nuf	noʒe	‘knife’	kot	koti	‘cat’
grus	gruzi	‘rubble’	ʃum	ʃumi	‘noise’
ʒur	ʒuri	‘soup’	dzvon	dzvoni	‘bell’

- [-sonorant] → [+voice] / V ___ V
- [-sonorant] → [-voice] / ___ #

Which rule is better?

$[-\text{sonorant}] \rightarrow [+voice] / V _ V$

(Targets $[-voice]$ obstruents)

UR	/#klup + i#/	/#truP + i#/
voicing	klubi	trupɪ
SR	[klubi]	[trupɪ]
UR	/#wuk + i#/	/#wuK + i#/
voicing	wugi	wuki
SR	[wugi]	[wuki]

All obstruents are underlyingly voiceless, but only some undergo intervocalic voicing

Which rule is better?

$[-\text{sonorant}] \rightarrow [-\text{voice}] / _ \#$

(Targets [+voice] obstruents)

UR	/#klub#/ devoicing	/#trup#/ -
SR	[klup]	[trup]
UR	/#wug#/ devoicing	/#wuk#/ -
SR	[wuk]	[wuk]

Obstruents are underlyingly specified for voicing

Which rule is better?

$[-\text{sonorant}] \rightarrow [-\text{voice}] / _ \#$

<i>sg</i>	<i>pl</i>	gloss	<i>sg</i>	<i>pl</i>	gloss
klup	klubi	‘club’	ʒwup	ʒwobi	‘crib’
trup	trupɪ	‘corpse’	dom	domɪ	‘house’
snop	snopɪ	‘sheaf’	koʃ	koʃe	‘basket’
trut	trudɪ	‘labor’	wuk	wugi	‘lye’
nos	nosi	‘nose’	ruk	roʒɪ	‘horn’
vus	vozi	‘cart’	wuk	wuki	‘bow’
lut	lodɪ	‘ice’	ul	ule	‘beehive’
nuf	noʒe	‘knife’	kot	kotɪ	‘cat’
grus	gruzɪ	‘rubble’	ʃum	ʃumi	‘noise’
ʒur	ʒuri	‘soup’	dzvon	dzvonɪ	‘bell’

....for two reasons:

Which rule is better?

$[-\text{sonorant}] \rightarrow [-\text{voice}] / _ \#$

<i>sg</i>	<i>pl</i>	gloss	<i>sg</i>	<i>pl</i>	gloss
klup	klubi	'club'	ʒwup	ʒwobi	'crib'
trup	trupi	'corpse'	dom	domi	'house'
snop	snopi	'sheaf'	koʃ	koʃe	'basket'
trut	trudi	'labor'	wuk	wugi	'lye'
nos	nosi	'nose'	ruk	rogi	'horn'
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grus	gruzi	'rubble'	ʃum	ʃumi	'noise'
ʒur	ʒuri	'soup'	dzvon	dzvoni	'bell'

1. The existence of non-alternating stems: why have two types of underlyingly voiceless segment?

Which rule is better?

$[-\text{sonorant}] \rightarrow [-\text{voice}] / _ \#$

<i>sg</i>	<i>pl</i>	gloss	<i>sg</i>	<i>pl</i>	gloss
klup	klubi	‘club’	ʒwup	ʒwobi	‘crib’
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nuf	noʒe	‘knife’	kot	koti	‘cat’
grus	gruzi	‘rubble’	ʃum	ʃumi	‘noise’
ʒur	ʒuri	‘soup’	dzvon	dzvoni	‘bell’

2. The non-existence of [+voice] obstruents stem-finally: why should this be an accident?

But wait a second

Something else is going on here...

<i>sg</i>	<i>pl</i>	gloss	<i>sg</i>	<i>pl</i>	gloss
klup	klubi	‘club’	ʒwup	ʒwobi	‘crib’
trup	trupɪ	‘corpse’	dom	domi	‘house’
snop	snopi	‘sheaf’	koʃ	koʃe	‘basket’
trut	trudi	‘labor’	wuk	wugi	‘lye’
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vus	vozi	‘cart’	wuk	wuki	‘bow’
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ʒur	ʒuri	‘soup’	dzvon	dzvoni	‘bell’

But wait a second

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<i>sg</i>	<i>pl</i>	gloss	<i>sg</i>	<i>pl</i>	gloss
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vus	vozi	‘cart’	wuk	wuki	‘bow’
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nuf	noʒe	‘knife’	kot	koti	‘cat’
grus	gruzi	‘rubble’	ʃum	ʃumi	‘noise’
ʒur	ʒuri	‘soup’	dzvon	dzvoni	‘bell’

Another problem

/u/ → [o] / plural forms?

<i>sg</i>	<i>pl</i>	gloss	<i>sg</i>	<i>pl</i>	gloss
klup	klubi	‘club’	ʒwup	ʒwobi	‘crib’
trup	trupɪ	‘corpse’	dom	domi	‘house’
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nuf	noʒe	‘knife’	kot	koti	‘cat’
grus	gruzi	‘rubble’	ʃum	ʃumi	‘noise’
ʒur	ʒuri	‘soup’	dzvon	dzvoni	‘bell’

But then why *ʒur*, *ʒuri* ‘soup’, *ul*, *ule* ‘beehive’?

Another problem

/o/ → [u] / singular forms?

<i>sg</i>	<i>pl</i>	gloss	<i>sg</i>	<i>pl</i>	gloss
klup	klubi	‘club’	ʒwup	ʒwobi	‘crib’
trup	trupɪ	‘corpse’	dom	domi	‘house’
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grus	gruzi	‘rubble’	ʃum	ʃumi	‘noise’
ʒur	ʒuri	‘soup’	dzvon	dzvoni	‘bell’

But then why *snop*, *snopɪ* ‘sheaf’, *kot*, *koti* ‘cat’?

Vowel raising comes first...

$$\left[\begin{array}{l} -\text{cons} \\ +\text{back} \\ -\text{high} \end{array} \right] \rightarrow [+high] / \text{---} \left[\begin{array}{l} +\text{voice} \\ -\text{nasal} \end{array} \right] \#$$

UR	/#ɜwob#/	/#snop#/
<i>o</i>-raising	ɜwub	-
devoicing		
SR	[ɜwup]	[snop]
UR	/#ɜwob+i#/	/#snop+i#/
<i>o</i>-raising	ɜwubi	-
devoicing		
SR	[ɜwubi]	[snopi]

...followed by final devoicing

$[-\text{sonorant}] \rightarrow [-\text{voice}] / _ \#$

UR	/#ʒwob#/	/#snop#/
<i>o</i> -raising	ʒwub	–
devoicing	ʒwup	–
SR	[ʒwup]	[snop]
UR	/#ʒwob+i#/	/#snop+i#/
<i>o</i> -raising	ʒwubi	–
devoicing	–	–
SR	[ʒwubi]	[snopi]

Ordered otherwise, vowel raising wouldn't occur:

The two rules are crucially ordered in Polish: the reverse order would yield the wrong singular forms.

UR	/#ʒwob#/	/#snop#/
devoicing	ʒwop	–
raising	–	–
SR	*[ʒwop]	[snop]
UR	/#voz#/	/#kof#/
devoicing	vos	–
raising	–	–
SR	*[vos]	[kof]

Outline

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Alternations and
rule ordering

Phonotactics and
syllable structure

1 Generative phonology

2 Derivations

3 Alternations and rule ordering

4 Phonotactics and syllable structure

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syllable structure

- Up until now we have looked mostly at processes involving **segments**

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- Up until now we have looked mostly at processes involving **segments**
- Since segments are made up of features, the processes have made reference to feature matrices

Syllables

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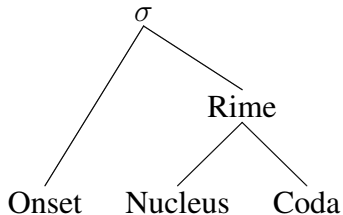
Alternations and
rule ordering

Phonotactics and
syllable structure

- Up until now we have looked mostly at processes involving **segments**
- Since segments are made up of features, the processes have made reference to feature matrices
- Phonological processes can also make reference to **syllable structure**

Syllable structure

Syllables consist of an **onset**, a **nucleus** and a **coda**.



The nucleus and coda form the **rime** (or *rhyme*).

Generative
phonology

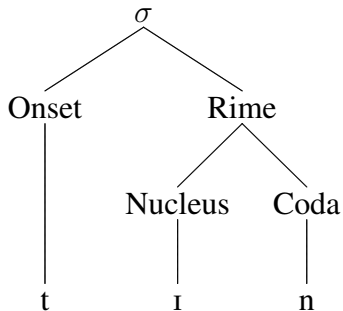
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Syllable structure

Onsets and codas may contain a single segment...



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Syllable structure

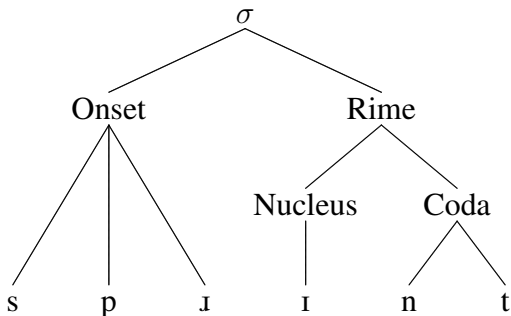
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...or multiple segments:



Why syllables?

- Recall one of the fundamental things we know when we know a language: the set of not just actual but also **possible** words

flabble	prznk	spronk	mbil
squirthy	prlouiop	stroimpt	treh
keladulance	trozzit	ztreet	flampidator

Why syllables?

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- Recall one of the fundamental things we know when we know a language: the set of not just actual but also **possible** words

flabble	prznk	spronk	mbil
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keladulance	trozzit	ztreet	flampidator

- This set of restrictions are called **phonotactics**

Why syllables?

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- Recall one of the fundamental things we know when we know a language: the set of not just actual but also **possible** words

flabble	przkn	spronk	mbil
squirthy	prlouiop	stroimpt	treh
keladulance	trozzit	ztreet	flampidator

- This set of restrictions are called **phonotactics**
- The restrictions on segment sequences in onsets may not be the same as in codas.

Language games: Pig Latin

- More evidence for syllables: language games

<i>pit</i>	it-pay	<i>me</i>	e-may
<i>see</i>	ee-say	<i>I</i>	i-way
<i>spit</i>	it-spay	<i>stink</i>	ink-stay
<i>stretch</i>	etch-stray	<i>sixth</i>	ixth-say

Language games: Pig Latin

- More evidence for syllables: language games

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- What is happening here?

Language games: Pig Latin

- More evidence for syllables: language games

<i>pit</i>	it-pay	<i>me</i>	e-may
<i>see</i>	ee-say	<i>I</i>	i-way
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<i>stretch</i>	etch-stray	<i>sixth</i>	ixth-say

- What is happening here?
- The game doesn't target the initial *consonant*...

Language games: Pig Latin

- More evidence for syllables: language games

<i>pit</i>	it-pay	<i>me</i>	e-may
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<i>stretch</i>	etch-stray	<i>sixth</i>	ixth-say

- What is happening here?
- The game doesn't target the initial *consonant*...
- ...but rather the entire *onset*.

Language games: Pig Latin

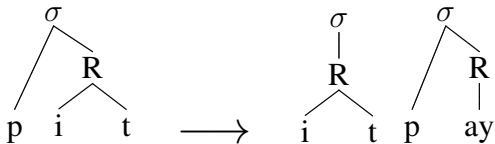
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Language games: Pig Latin

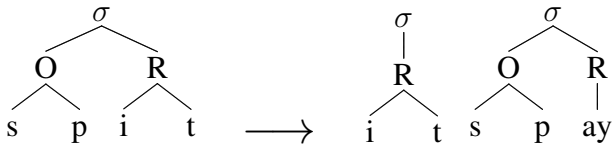
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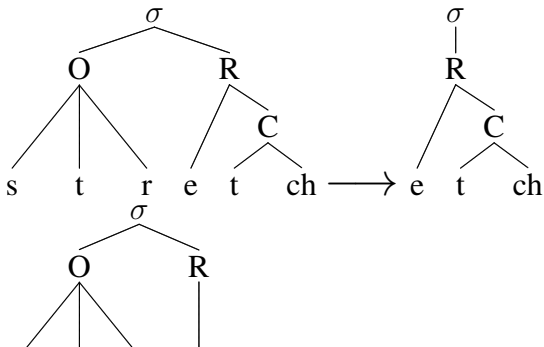
Phonotactics and
syllable structure

<i>pit</i>	it-pay	<i>me</i>	e-may
<i>see</i>	ee-say	<i>I</i>	i-way
<i>spit</i>	it-spay	<i>stink</i>	ink-stay
<i>stretch</i>	etch-stray	<i>sixth</i>	ixth-say



Language games: Pig Latin

<i>pit</i>	it-pay	<i>me</i>	e-may
<i>see</i>	ee-say	<i>I</i>	i-way
<i>spit</i>	it-spay	<i>stink</i>	ink-stay
<i>stretch</i>	etch-stray	<i>sixth</i>	ixth-say



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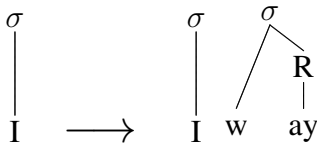
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<i>spit</i>	it-spay	<i>stink</i>	ink-stay
<i>stretch</i>	etch-stray	<i>sixth</i>	ixth-say



Syllabification

- Language-specific restrictions on how segments are organized (**parsed**) into syllables represent another aspect of subconscious linguistic knowledge.

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Syllabification

- Language-specific restrictions on how segments are organized (**parsed**) into syllables represent another aspect of subconscious linguistic knowledge.
- How many syllables do the following words have?

applaud
telegraph
print
improvise
explain

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Syllabification

- Language-specific restrictions on how segments are organized (**parsed**) into syllables represent another aspect of subconscious linguistic knowledge.
- How many syllables do the following words have?

<i>applaud</i>	[ə.plɔd]
<i>telegraph</i>	[tɛ.lə.ɡræf]
<i>print</i>	[pɹɪnt]
<i>improvise</i>	[ɪm.pɹə.vaɪz]
<i>explain</i>	[ɛk.splɛn]

Syllabification

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- Generally speaking, segments can't just combine willy-nilly in the various positions

Syllabification

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Phonotactics and
syllable structure

- Generally speaking, segments can't just combine willy-nilly in the various positions
- Languages tend to arrange segments within syllables in such a way so that the **least sonorous** sounds are at the margins, and the **most sonorous** (often, but not always, a vowel) are in the middle (nucleus).

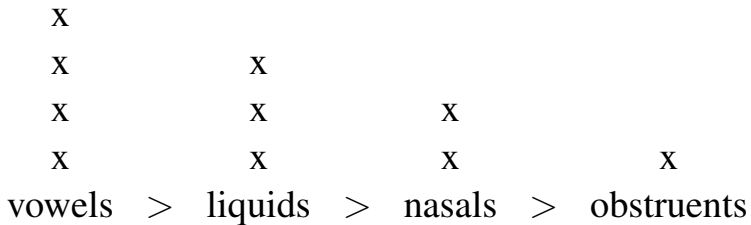
The sonority hierarchy

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X

X

X

X

X

X

X

[f]

[ʌ]

[n]

‘fun’

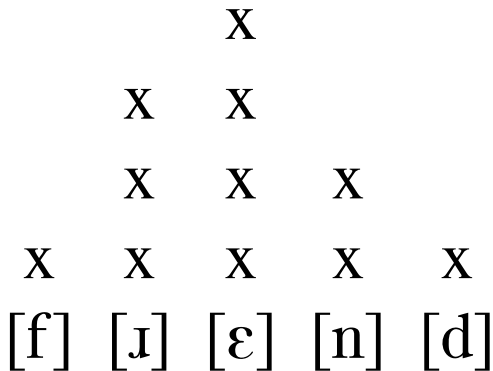
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‘friend’

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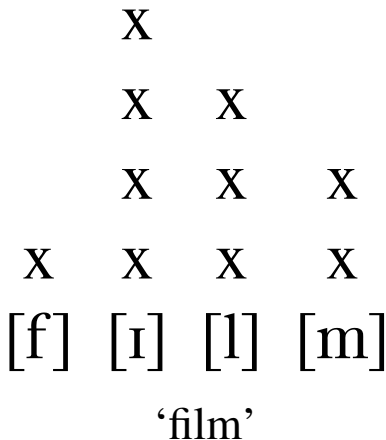
Phonotactics and
syllable structure

			X		X			X	
		X	X		X			X	
	X	X	X		X	X		X	X
X	X	X	X	X	X	X	X	X	X
[p ^h]	[ɪ]	[ə]	[t ^h]	[ɛ]	[n]	[d]	[ɪ]	[ŋ]	

‘pretending’

The sonority hierarchy

This explains why words like *film* are one syllable...



Generative
phonology

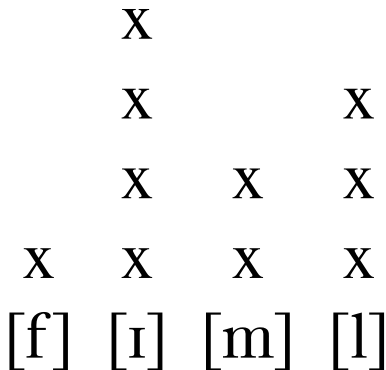
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but hypothetical *fi~~m~~l* would be two:



(cf. *pummel*, *drivel*)

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Sonority: nuclei

- In a form like *pummel*, the consonant serves as the sonority peak in the second syllable

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Sonority: nuclei

- In a form like *pommel*, the consonant serves as the sonority peak in the second syllable
- English allows nasals and liquids to serve as syllabic nuclei, at least in unstressed syllables:

[pɹɪz̩m]	<i>prism</i>	[hɪd̩n]	<i>hidden</i>
[bɑt̩m]	<i>bottom</i>	[bʌd̩n]	<i>button</i>
[bɑt̩l]	<i>bottle</i>	[hɑj̩ɹ]	<i>higher</i>
[lɪt̩l]	<i>little</i>	[bʌt̩ɹ]	<i>butter</i>

Sonority: onsets

- Sonority considerations also govern what consonants can serve as an onset cluster

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Sonority: onsets

- Sonority considerations also govern what consonants can serve as an onset cluster
- In general, sonority has to go up two steps (i.e. obstruent > liquid):

actual words

[bɹɪk]	<i>brick</i>	[fli]	<i>flea</i>
[kɹæb]	<i>crab</i>	[glɪb]	<i>glib</i>

(im)possible words

[bɹæp]	*[bnæp]	[kɹɪg]	*[knɪg]
[kɹæθ]	*[kdæθ]	[glɪk]	*[lɹɪk]

What about [s]?

English onsets may actually contain up to *three* consonants:

[pl]	please	[tl]	–	[kl]	clean
[pɪ]	proud	[tɪ]	trade	[kɪ]	crowd
[pw]	– ¹	[tw]	twin	[kw]	quick
[pj]	pure	[tj]	tune (UK)	[kj]	cute
[spl]	splash	[stl]	–	[skl]	sclerotic
[spɪ]	spring	[stɪ]	-string	[skɪ]	scream
[spw]	–	[stw]	–	[skw]	squeak
[spj]	spew	[stj]	stew (UK)	[skj]	skewer

¹*Puerto Rico?*

What about [s]?

[s] ‘doesn’t count’ in English for onset sonority purposes:

X

X

X

O

X

X

X

[s]

[t]

[a]

[p]

‘stop’

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- Languages generally like consonants in the onset

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- Languages generally like consonants in the onset
- We say that they **prefer** consonants in this position

Cross-linguistic tendencies in syllable structure

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- Languages generally like consonants in the onset
- We say that they **prefer** consonants in this position
- Similarly, many languages **disprefer** coda consonants, such as Polynesian languages:

Tongan (Austronesian, Malayo-Polynesian)

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syllable structure

- Tongan prohibits coda consonants altogether:

[ta.ŋa.ta]

‘man’

[ta.ma.si.?i]

‘child’

[fa.ka.he.ke.he.ke.?i]

‘persuade’

Tongan (Austronesian, Malayo-Polynesian)

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- However, it requires onsets.

Tongan (Austronesian, Malayo-Polynesian)

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Phonotactics and
syllable structure

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[ta.ŋa.ta]	‘man’
[ta.ma.si.?i]	‘child’
[fa.ka.he.ke.he.ke.?i]	‘persuade’

- However, it requires onsets.
- Tongan permits just a single syllable type: CV

Japanese

- Japanese allows only CV, V, CVN, and CVC syllables, but restricts CVC to word-internal positions.

CV, V

CVN, CVC

[ki]

‘tree’

[tom.bo]

‘dragonfly’

[ko.ko.ro.]

‘heart’

[nej.kin]

‘pension’

[ma.do]

‘window’

[kit.te]

‘stamp’

[i.to]

‘string’

[hak.ka]

‘peppermint’

Japanese

- We can see more evidence for this in loanwords:

<i>word</i>	<i>English</i>	<i>Japanese</i>
‘pin’	[pɪn]	[pin]
‘Chicago’	[ʃi.kə.go]	[ʃi.kə.go]
‘million’	[mɪ.li.jən]	[mi.ri.on]
‘free’	[fri]	[fu.ri:]
‘peak’	[pi:k]	[pi:.ku]
‘baseball’	[beɪs.bɔ:l]	[ba.su.ba.ru]

Czech

- Czech allows up to **four** onset Cs, and three in codas:

VC	[on]	‘he’	CV	[to]	‘that’
CVC	[sin]	‘son’	CVC	[dej]	‘give (imp.)’
CCVC	[jdu]	‘I go’	CCVCC	[trest]	‘punishment’
CCCVC	[strom]	‘tree’	CVVCCC	[za:pst]	‘to freeze’
CCCCVC	[přtros]	‘ostrich’	–	–	–

Generative
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Czech

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CCCVC	[strom]	‘tree’	CVVCCC	[za:pst]	‘to freeze’
CCCCVC	[pftros]	‘ostrich’		–	–

- Liquids can serve as syllabic nuclei:

strč	prst	skrz	krk
stick (imp.)	finger	through	neck

English

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V	<i>I</i>	[$\widehat{a}j$]
CV	<i>me</i>	[$m\widehat{i}j$]
CCV	<i>tree</i>	[$t\widehat{r}i:j$]
CCCV	<i>spray</i>	[$sp\widehat{r}i:ej$]
VC	<i>eat</i>	[$\widehat{i}jt$]
VCC	<i>oats</i>	[$\widehat{o}wts$]
VCCC	<i>eighths</i>	[$\widehat{e}jt\theta s$]
CVC	<i>bit</i>	[bit]
CCVC	<i>spit</i>	[spit]
CCCVC	<i>split</i>	[split]
CCCVCC	<i>splits</i>	[splits]
CCCVCCC	<i>splints</i>	[splints]

Cross-linguistic tendencies in syllable structure

<i>language</i>	V	CV	CVC	VC	CCV	CCVC	CVCC	VCC	CCVCC	CVCVC
Hua		*								
Cayuvava	*	*								
Cairene Arabic		*	*							
Mazateco	*	*			*					
Mokilese	*	*	*	*						
Sedang		*	*		*	*				
Klamath		*	*				*			*
Spanish	*	*	*	*	*	*				
Finnish	*	*	*	*		*	*	*		
Totonac		*	*		*	*	*		*	*
English	*	*	*	*	*	*	*	*	*	*

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Cross-linguistic tendencies in syllable structure

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Phonotactics and
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- Tendencies are just that: tendencies

Cross-linguistic tendencies in syllable structure

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Phonotactics and
syllable structure

- Tendencies are just that: tendencies
- Occasionally, you find a language that seems to flaunt sonority...

Cross-linguistic tendencies in syllable structure

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Phonotactics and
syllable structure

- Tendencies are just that: tendencies
- Occasionally, you find a language that seems to flaunt sonority...
- ...and allows consonants basically **anywhere**.

Nuxálk (Bella Coola) (Salish)

Generative
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Phonotactics and
syllable structure

ʔq	‘wet’
tʔχt	‘stone’
sχs	‘seal fat’
χsccʔ	‘I’m now fat’
ʔχ ^w tʔcx ^w	‘You spat on me’








Tashliht Berber (Afro-Asiatic, Berber)

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syllable structure

ks		‘feed on’
kks		‘take off’
kkstt		‘take it off (fem.)’
tkkststt		‘you took it off (fem.)’
tçtft		‘it dried’
fqqqs		‘irritate’
ftsçt		‘you cancelled’
sfqqst		‘irritate him’
tftçtstt		‘you dried it (fem.)’
tsskçftstt		‘you dried it (fem.)’

(Carrier phrase *innajas ... jat twalt* ‘he told him ... once’)

Syllabic phonology

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syllable structure

- So...what else are syllables good for?

Syllabic phonology

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syllable structure

- So...what else are syllables good for?
- Phonological processes often target syllables

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syllable structure

- So...what else are syllables good for?
- Phonological processes often target syllables
- This lets our rules reference them, w00t!

Sensitivity to syllable structure: English aspiration

[p ^h æn]	pan	[spæn]	span
[p ^h eɪn]	pain	[speɪn]	Spain
[p ^h oʊk]	poke	[spowk]	spoke
[t ^h oʊn]	tone	[stɔʊn]	stone
[k ^h ɪn]	kin	[skɪn]	skin
[,p ^h ɪ'spaɪɹ]	perspire	[splæt]	splat
[,t ^h ə'merəʊ]	tomato		
[,ə'k ^h oʊd]	accord	[,æ'k ^h sɛpt]	accept
[,ə'p ^h ɔʊn]	upon	[,ʌp ^h sɛt]	upset
[,ə't ^h æk]	attack		
[,t ^h ə'k ^h ɪlə]	tequila	[slək]	slack

Where are stops aspirated?

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Sensitivity to syllable structure: English aspiration

[p ^h æn]	pan	[spæn]	span
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[,t ^h ə'k ^h ɪlə]	tequila	[slək]	slack

Where are stops aspirated?

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Sensitivity to syllable structure: English aspiration

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syllable structure

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[k ^h ɪn]	kin	[skɪn]	skin
[,p ^h ɪˈspajɪ]	perspire	[splæt]	splat
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[,əˈt ^h æk]	attack		
[,t ^h əˈk ^h ɪlə]	tequila	[slæk]	slack

<i>Environment</i>	<i>aspirated</i>	<i>unaspirated</i>
syllable-initially	yes	no
elsewhere	no	yes

Sensitivity to syllable structure: English aspiration

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syllable structure

[p ^h æn]	pan	[spæn]	span
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[,t ^h əˈk ^h ɪlə]	tequila	[slæk]	slack

$$\left[\begin{array}{l} -\text{spr glottis} \\ -\text{continuant} \\ -\text{voice} \end{array} \right] \rightarrow [+spr \text{ glottis}] / \sigma \underline{\quad}$$

“Voiceless stops are aspirated in syllable **initial** position”

Sensitivity to syllable structure: Brazilian Portuguese

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Phonotactics and
syllable structure

[max]	<i>mar</i>	'ocean'
[falax]	<i>falar</i>	'to speak'
[mariz]	<i>mares</i>	'oceans'
[falara]	<i>falará</i>	's/he will speak'

- /r/ has two allophones, [x] and [r]

Sensitivity to syllable structure: Brazilian Portuguese

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Phonotactics and
syllable structure

[max] *mar* ‘ocean’

[falax] *falar* ‘to speak’

[mariz] *mares* ‘oceans’

[falara] *falará* ‘s/he will speak’

- /r/ has two allophones, [x] and [r]
- How can we describe their distribution?

Sensitivity to syllable structure: Brazilian Portuguese

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Phonotactics and
syllable structure

[max]	<i>mar</i>	‘ocean’
[fa.lax]	<i>falar</i>	‘to speak’
[ma.riz]	<i>mares</i>	‘oceans’
[fa.la.ra]	<i>falará</i>	‘s/he will speak’

- If we know something about syllable structure...

Sensitivity to syllable structure: Brazilian Portuguese

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Phonotactics and
syllable structure

[max]	<i>mar</i>	‘ocean’
[fa.lax]	<i>falar</i>	‘to speak’
[ma.rɪz]	<i>mares</i>	‘oceans’
[fa.la.ra]	<i>falará</i>	‘s/he will speak’

- If we know something about syllable structure...
- “/r/ is realized as [x] in coda position”

Sensitivity to syllable structure: Korean

root

root + vowel
initial suffix

root + consonant
initial suffix

/palp/ 'tread on'

palp + a 'treading on'

pap + t'a 'to tread on'

/salm 'boil'

salm + a 'boiling'

sam + t'a 'to boil'

- Why is the [l] deleted?

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Sensitivity to syllable structure: Korean

<i>root</i>	<i>root + vowel initial suffix</i>	<i>root + consonant initial suffix</i>
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- Because it can only surface when it is **syllabified...**

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Sensitivity to syllable structure: Korean

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- Why is the [l] deleted?
- Because it can only surface when it is **syllabified**...
- ...and it can only syllabify when a vowel-initial suffix is added...

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Sensitivity to syllable structure: Korean

<i>root</i>	<i>root + vowel initial suffix</i>	<i>root + consonant initial suffix</i>
/palp/ 'tread on' /salm 'boil'	palp + a 'treading on' salm + a 'boiling'	pap + t'a 'to tread on' sam + t'a 'to boil'

- Why is the [l] deleted?
- Because it can only surface when it is **syllabified**...
- ...and it can only syllabify when a vowel-initial suffix is added...
- ...because Korean doesn't allow multiple Cs in the coda.

Sensitivity to syllable structure: Korean

root

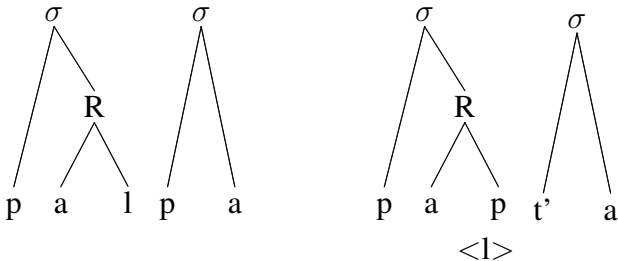
root + vowel
initial suffix

root + consonant
initial suffix

/palp/ 'tread on'
/salm 'boil'

palp + a 'treading on'
salm + a 'boiling'

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Summary: phonology

- A (generative) **phonology** consists of a set of **representations** and a set of **rules**

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Summary: phonology

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Phonotactics and
syllable structure

- A (generative) **phonology** consists of a set of **representations** and a set of **rules**
- Segments are represented as a collections of features (**feature bundles**)

Summary: phonology

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- A (generative) **phonology** consists of a set of **representations** and a set of **rules**
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- Rules are schema of the form $A \rightarrow B / C _ D$ which operate on representations

Summary: phonology

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syllable structure

- A (generative) **phonology** consists of a set of **representations** and a set of **rules**
- Segments are represented as a collections of features (**feature bundles**)
- Rules are schema of the form $A \rightarrow B / C _ D$ which operate on representations
- Rules can also be crucially **ordered** with respect to one another

Summary: phonology

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syllable structure

- Representations have **underlying** and **surface** forms

Summary: phonology

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Phonotactics and
syllable structure

- Representations have **underlying** and **surface** forms
- Underlying representations (URs) contain only idiosyncratic, unpredictable information

Summary: phonology

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Phonotactics and
syllable structure

- Representations have **underlying** and **surface** forms
- Underlying representations (URs) contain only idiosyncratic, unpredictable information
- Surface representations (SRs) contain phonetic (allophonic) variation

Summary: phonology

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Phonotactics and
syllable structure

- Phonological representations contain more than segments...they can also include information about higher level structure such as **syllables**

Summary: phonology

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Phonotactics and
syllable structure

- Phonological representations contain more than segments...they can also include information about higher level structure such as **syllables**
- Phonological rules can make reference to this higher-order structure as well