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Additional Information

35 1. INTRODUCTION

36 Ready-to-eat products with an extended shelf life, stored at refrigeration temperatures (4 °C)
37 and consumed without further cooking have been implicated in various outbreaks of microbial
38 food-borne illness. *Listeria monocytogenes* and *Escherichia coli* have been implicated in many
39 outbreaks, most commonly due to post-processing contamination (Tzschoppe et al. 2012;
40 Muriel-Galet et al. 2012a).

41 Listeriosis is a food-borne illness that affects susceptible populations, such as the young, the
42 elderly, pregnant women and immunocompromised individuals (YOPIs). *L. monocytogenes* is
43 considered a pathogen of major concern. It has a long incubation period, which makes it
44 difficult to identify the food that is contaminated with *L. monocytogenes* and causes illness
45 (Huss et al. 2000). *E. coli* is found in a wide variety of foods, causing food-borne disease
46 outbreaks (Buchanan and Doyle 1997; Mead and Griffin 1998). A great number of cases can be
47 severe and they are sometimes fatal.

48 Imitation crab meat (surimi) is a paste prepared from mechanically deboned, washed (bleached)
49 and stabilized flesh of fish (FAO 2005). Surimi is one of the most promising approaches to
50 obtain value-added food products from low-cost fishery products (Venugopal and Shahidi
51 1995). Surimi is used in the preparation of cooked ready-to-eat products which are sensitive to
52 microbial contamination with diverse Gram positive and Gram negative bacteria after
53 processing, e.g. *L. monocytogenes* (Miya et al. 2010). This microorganism is a serious threat to
54 food safety in processing plants, being a very ubiquitous bacterium that can grow in many
55 chilled food products. Proper refrigeration temperature below 4°C, handling, preparation and
56 service under Good Manufacturing Practices (GMP) are strategies that can prevent the cross-
57 contamination of surimi products (Kaneko et al. 1999; Park 2014).

58 The increase in food-borne illness outbreaks has intensified research on antimicrobial packaging
59 technologies (Suppakul et al. 2003), with a particular interest in the use of natural antimicrobial
60 agents, including essential oils and their components, organic acids, enzymes, peptides, etc.
61 Epsilon-polylysine (EPL) is a natural cationic linear homopolymer compound of 25–35 residues
62 of L-lysine connected between the ϵ -amino and α -carboxyl groups (Shima and Sakai 1977;
63 Shima et al. 1984). It is produced from aerobic fermentation by *Streptomyces albulus*, a non-
64 pathogenic microorganism (Hiraki et al. 2003). EPL is characterized as being edible, water
65 soluble, stable at high temperatures and of low environmental impact because of its
66 biodegradability. The antimicrobial activity of EPL depends on electrostatic interaction with the
67 cell surface of microorganisms, leading to distortion of the outer membrane and producing
68 abnormal distribution of the cytoplasm (Shima et al. 1984). EPL has an isoelectric point of 9.0,
69 and the optimum pH range to exert its antimicrobial activity is between 5 and 8. EPL is non-

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70 toxic to humans and has been approved as a food additive in Japan at a concentration of 1000–
71 5000 ppm for sliced fish or fish sushi and at a concentration of 10–500 ppm for the preservation
72 of rice, soup and vegetables (Hiraki 1995, 2000). EPL has been classified as GRAS (Generally
73 Recognized as Safe) by the Food and Drug Administration (FDA) (FDA 2004). In recent years,
74 EPL has been used in a wide range of industrial applications, as a food preservative (Zinoviadou
75 et al. 2010; Chang et al. 2010), emulsifying agent (Chang et al. 2012), etc. Information
76 regarding the antimicrobial activity of EPL when it is incorporated into a film matrix is limited,
77 finding studies with edible coatings (Unalan et al. 2011; Zinoviadou et al. 2010), however, no
78 studies have been found in the bibliography regarding the development of antimicrobial films
79 by incorporating EPL in conventional polymer matrices used in food packaging.

80 Ethylene-vinyl alcohol (EVOH) copolymers are approved for food contact applications and
81 have been used as matrices for the development of active packaging systems (Muriel-Galet et al.
82 2012b; Muriel-Galet et al. 2012a; Muriel-Galet et al. 2013a; Lopez de Dicastillo et al. 2011;
83 Lopez-de-Dicastillo et al. 2010; Lopez-de-Dicastillo et al. 2012). Because of their hydrophilic
84 nature, EVOH films have a great potential to be used as carriers and release matrices of
85 bioactive agents. These polymers can protect the agent during storage in a dry environment and
86 trigger their activity on exposure to a humid environment, in this case created by the packaged
87 food product (Aucejo et al. 2000).

88 In this context, the present study intends to show the potential of EVOH copolymers to develop
89 antimicrobial films for food packaging applications incorporating the antimicrobial compound
90 EPL in EVOH films containing a 29% and a 44% molar percentage of ethylene. The ability of
91 the films to inhibit the growth of *L. monocytogenes* and *E. coli* was evaluated *in vitro*. Finally,
92 the effectiveness of the films was tested in a real food, surimi sticks. This product is an ideal
93 medium for bacterial growth because it has high water and nutrient contents and limited shelf
94 life.

95 96 **2. MATERIALS AND METHODS**

97 **2.1. Materials**

98 Ethylene vinyl alcohol copolymer (EVOH) possessing a 29 and a 44% ethylene molar content
99 was kindly provided by The Nippon Synthetic Chemical Company (Osaka, Japan); 1-propanol
100 was purchased from Sigma (Madrid, Spain). EPL, from Chisso Corporation (Yokohama, Japan),
101 was kindly provided by Goddard Research Group (Amherst, USA). Imitation crab meat (surimi)
102 was purchased from a local market, labelled as preservative-free and containing 2.5 g of total
103 fat, 12.9 g of total carbohydrate, and 8.4 g of protein.

105 **2.2. Film Preparation**

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2 106 EVOH films were prepared as described in a previous work (Muriel-Galet et al. 2012b). Briefly,
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4 107 EPL was dissolved in distilled water at 1, 5 and 10% (g/100 g dry polymer). EVOH 29 was
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6 108 dissolved in a 1-propanol:EPL water mixture at 80 °C and EVOH 44 in a 2:1 (v:v) 1-
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8 109 propanol:EPL water mixture at the same temperature. The solution was stirred for 30 min using
9
10 110 a magnetic stirrer. After that time, 5 mL of each film-forming solution was extended over a
11
12 111 glass plate using an extension bar, and placed in a drying tunnel equipped with a 2500 W heat
13
14 112 source for 10 min until it was completely dry. Films prepared without EPL were used as
15
16 113 controls. Film thickness was measured using a Mitutoyo micrometer (Osaka, Japan) and had an
17
18 114 average value of 15 ± 2 μm . Finally, the films were stored in glass desiccators containing silica
19
20 115 gel at 22 °C prior to use.

116 **2.3. Optical properties**

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118 Film colour was measured with a Konica Minolta CM-3500d spectrophotometer (Konica
119
120 Minolta Sensing Inc., Osaka, Japan) set to D65 illuminant/10° observer angle. The film
121
122 specimen was placed on the surface of a standard white plate. The instrument's software,
123
124 SpectraMagic NX, was used to acquire the colour data and to display them in the CIELAB
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126 colour space.

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128 The parameters L^* (black (0) to white (100)), a^* (green (-) to red (+)) and b^* (blue (-) to
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130 yellow (+)) were obtained and the polar coordinates, the chroma C^* and the hue angle h° were
131
132 calculated. Eight measurements of each sample were taken, and three samples of each film were
133
134 evaluated. All the samples were selected with the same thickness to reduce the effect of
135
136 thickness on the colour parameters.

129 **2.4. Quantification of EPL migration from EVOH films**

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131 The amount of EPL capable of migrating out of the polymer matrix was quantified using the
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133 bicinchoninic acid assay (BCA). A sample of 0.25 g of each film (control, and incorporating 1,
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135 5 and 10% of EPL) was immersed in 10 mL of pH 7.5 phosphate buffer for 24 hours at 37 °C.
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137 After that time, 100 μL of each sample was put in contact with the working reagent. The
138
139 absorbance was measured at 562 nm (Kang et al. 1996; Uchida et al. 1993) using a POLARstar
140
141 Omega multi-detection microplate reader (Biogen Cientifica S.L., Madrid, Spain). A standard
142
143 curve of bovine serum albumin was used to calculate protein mass per film sample area.

139 2.5. Antimicrobial tests

140 2.5.1. Strains

141 Gram-positive bacterium *Listeria monocytogenes* CECT 934 (ATCC 19114) and Gram-
142 negative bacterium *Escherichia coli* CECT 434 (ATCC 25922) were selected because of their
143 relevance to imitation crabmeat (surimi). Strains were obtained from the Spanish Type Culture
144 Collection (CECT Valencia, Spain) and stored in Tryptone Soy Broth (TSB), purchased from
145 Scharlab (Barcelona, Spain), with 20% glycerol at -80°C until needed. For experimental use,
146 the stock cultures were maintained by regular subculture on slants of Tryptone Soy Agar (TSA)
147 from Scharlab (Barcelona, Spain) at 4°C and transferred monthly. Before each experiment a
148 loopful of each strain was transferred to 10 mL of TSB and incubated at 37°C for 18 h to obtain
149 early-stationary phase cells.

150 2.5.2. Antimicrobial activity of EPL against *L. monocytogenes* and *E. coli*

151 The antimicrobial activity of EPL was tested in sterile TSB to study the minimum inhibitory
152 concentration (MIC) and the minimum bactericidal concentration (MBC) against *L.*
153 *monocytogenes* and *E. coli*. To do so, serial dilutions of 1000 ppm of EPL in peptone water
154 were made. Previously, 100 μL of cell cultures of each microorganism in stationary phase, with
155 an optical density of 0.9 at 595 nm, was diluted in 10 mL of TSB and incubated at 37°C until
156 exponential phase, corresponding to an optical density of 0.2 at 595 nm (10^5 CFU/mL). Optical
157 density was measured with a UV-Vis spectrophotometer (Agilent 8453 Spectroscopy System)
158 using TSB as blank. 100 μL of each microorganism in exponential phase was inoculated in each
159 test tube with 100 μL of EPL solution at concentrations ranging between 10 and 150 $\mu\text{g/mL}$.
160 Tubes with 100 μL of peptone water were used as control. Turbidity at 595 nm was determined
161 after 24 and 72 h (M100-S18 2008). The lowest EPL concentration that inhibited the pathogen
162 microorganisms was recorded as the MIC. The MBC was the lowest concentration at which
163 bacteria failed to grow in TSB and were not culturable after spreading 100 μL onto 15 mL of
164 culture medium TSA. Tests were performed in triplicate.

165 2.5.3. Antimicrobial activity of EVOH films containing EPL against *L. monocytogenes* and *E.* 166 *coli*

167 Antimicrobial activity of EVOH films with EPL was tested in liquid media at 37°C . This
168 temperature was chosen because it is the optimal growth temperature and it is a standard method
169 to evaluate the activity of antimicrobial films. For this purpose, 0.25 g of EVOH films cut into
170 pieces measuring 1.5 cm^2 (EVOH 29 and EVOH 44), without and with 1, 5 and 10% EPL, was
171 added to a glass tube containing 10 mL of TSB. Then 100 μL of microorganism in exponential
172 phase was transferred to the samples and incubated at 37°C for 24 h. Depending on the

173 turbidity of the tubes, serial dilutions with peptone water were made and plated in Petri dishes
174 with 15 mL of TSA culture medium. Colonies were counted after incubation at 37 °C for 24 h.

175 2.5.4. Scanning electron microscopy observations

176 After the microorganisms had been in contact with EVOH films at 37 °C for 24 h as described
177 above, the samples were centrifuged and resuspended twice in saline solution (0.8% NaCl). The
178 suspension was filtered on a 0.2 mm Nuclepore Track-Etch Membrane (Whatman, UK) and the
179 membranes were dehydrated in graded alcohols (30%, 50%, 70%, 90% and 100%). SEM
180 observation of *L. monocytogenes* and *E. coli* was carried out, working at 5–10 kV (HITACHI S
181 4100)

182 2.5.5. Antimicrobial activity of EVOH films containing EPL over time

183 Next, the effect of EVOH with EPL films on the growth of *L. monocytogenes* and *E. coli* over
184 time was studied. Bacterial growth experiments were performed at two temperatures, 37 °C and
185 4 °C, and they lasted 72 hours. For this purpose, 100 µL of exponential phase microorganism
186 was inoculated into tubes with 10 mL of TSB. 0.25 g of EVOH films cut into pieces measuring
187 1.5 cm² (EVOH 29 and EVOH 44), without and with 1, 5, and 10% EPL, was added to each
188 tube and incubated at the corresponding temperature. Aliquots containing 100 µL were removed
189 from the solution at 0, 1, 3, 6, 24, 48 and 72 hours and serial dilutions with peptone water were
190 made and plated in Petri dishes with 15 mL of TSA culture medium. Colonies were counted
191 after incubation at 37 °C for 24 h. Experiments were performed in triplicate.

192 2.5.6. Antimicrobial activity of EVOH films incorporating EPL in surimi microbiota

193 Refrigerated surimi sticks were purchased in a local market. Individual pieces (ca. 25 g) were
194 wrapped with EVOH 29 and EVOH 44 films containing 10% of EPL. Samples without film,
195 and samples wrapped with EVOH 29 and EVOH 44 films without EPL were prepared as
196 controls. All the surfaces of the food were in contact with the films. Samples were stored at 4 °C
197 for 6 days.

198 The effect on the surimi microbiota of being covered with film was evaluated on days 1, 3 and
199 6. For this purpose, at appropriate times, surimi samples were transferred aseptically in a sterile
200 stomacher bag, diluted with 25 mL of peptone water (Scharlab, Barcelona, Spain) for 3 min
201 using a Stomacher (IUL S.L., Barcelona). Serial dilutions in the same saline solution were
202 plated on specific media (Scharlab, Barcelona, Spain) under the following culture conditions: a)
203 Violet Red Bile Glucose agar (VRBG) for total enterobacteria, incubated at 37 °C for 48 h; b)
204 Man, Rogosa and Sharpe agar (MRS) for lactic acid bacteria, incubated at 25 °C for 5 days; c)
205 Nutrient Agar (NA) for total aerobic bacteria, incubated at 37 °C for 48 h; d) Nutrient Agar

206 (NA) for total aerobic psychrotrophic bacteria, incubated at 10 °C for 10 days; e) Plate Count
207 Agar (PCA) for total aerobic count, incubated at 30 °C for 48 h; f) King B agar for
208 *Pseudomonas*, incubated at 30 °C for 48 h. The counts were performed in triplicate.

209 2.5.7. Antimicrobial activity of EVOH films incorporating EPL on surimi inoculated with *L.* 210 *monocytogenes* and *E. coli*

211 For this study, surimi sticks were inoculated with a diluted overnight culture (100 µL; 10⁵
212 CFU/mL) of *L. monocytogenes* and *E. coli*. The inoculums were separately dispersed on the
213 food surface with a sterile pipette. The subsequent procedure was similar to that described in the
214 previous section. The antimicrobial activity of the films against inoculated microorganisms was
215 evaluated on days 1, 3 and 6, as mentioned above. For this purpose, serial dilutions were made
216 and plated on selective media: Palcam Listeria Selective Agar for *L. monocytogenes* (Scharlab,
217 Barcelona, Spain) and Brilliant Green agar for *E. coli*. Colonies were counted after incubation at
218 37 °C for 24 h. Samples were analysed in triplicate.

219 **2.6. Statistical analysis**

220 One-way analyses of variance were carried out using the SPSS® 18.9 computer program (SPSS
221 Inc., Chicago, IL, USA). Differences in pairs of mean values were evaluated by the Tukey test
222 for a confidence interval of 95%. Data are represented as mean ± standard deviation.

223

224 **3. RESULTS AND DISCUSSION**

225 In this work, EPL was successfully incorporated in EVOH films (EVOH 29 and EVOH 44) at 1,
226 5 and 10% and the films, produced by casting, were transparent and without discontinuities,
227 presenting a thickness of approximately 15 ± 2 µm.

228 **3.1. Optical properties**

229 Colour coordinates L*, a*, b*, chroma (C*) and hue (h°) of films made from EVOH 29 and
230 EVOH 44 without and with 1, 5 and 10% of EPL are given in Table 1. Incorporation of the
231 antimicrobial agent did not affect the luminosity of either of the copolymer films, since in all the
232 samples the L* values are similar to those obtained for the control (Table 1), without significant
233 differences. Colour coordinates a* and b* presented values close to -1 and 1 respectively for
234 both films, EVOH 29 and EVOH 44. The addition of EPL increased the absolute value of both
235 coordinates when EPL was added at the higher concentration, with significant differences
236 appearing between samples and control. Colour intensity given by the chroma (C*) parameter
237 increased with the concentration of EPL but films maintained their original light yellow-green

238 tone given by the hue (h°) parameter. Significant differences were only found in C^* for films
239 containing 10% EPL were compared with control films.

240 **3.2. Quantification of EPL migration from EVOH films**

241 The amount of protein that had migrated after 24 h at 37 °C in pH 7.5 phosphate buffer was
242 determined with the BCA assay. Table 2 shows the results obtained for EVOH 29 and EVOH
243 44 films incorporating 1, 5 and 10% EPL. The value of the protein released into the phosphate
244 buffer increased with the concentration of EPL in the films, being 47.43 $\mu\text{g/mL}$ for 1% and
245 95.90 $\mu\text{g/mL}$ for 10% with EVOH 29. For EVOH 44, the amount that migrated was 42.87
246 $\mu\text{g/mL}$ for films with 1% EPL and 71.46 $\mu\text{g/mL}$ for films with 10% EPL. It can be observed
247 that films with a higher percentage of ethylene retained a greater amount of EPL. This
248 behaviour has been observed previously for the antimicrobial LAE (Muriel-Galet et al. 2013b).
249 The lower swelling achieved for EVOH 44 films in liquid media could explain the results
250 obtained.

251 **3.3. Antimicrobial activity of EPL against *L. monocytogenes* and *E. coli***

252 The antimicrobial activity of EPL was tested against *L. monocytogenes* and *E. coli*. The growth
253 of *L. monocytogenes* and *E. coli* was inhibited by EPL at concentrations in TSB of 23 and 40
254 ppm, respectively. In another study, a lower MIC value was reported for *L. monocytogenes*
255 (Brandt et al. 2010), but according to various authors EPL inhibits the growth of both Gram-
256 positive and Gram-negative bacteria and the minimum inhibitory concentration is below 100
257 ppm (Hiraki et al. 2003; Shima et al. 1984). Differences in methodology, media composition
258 and bacterial strains may be responsible for the different values. The MBC values obtained were
259 70 and 90 ppm for *L. monocytogenes* and *E. coli*, respectively. The antimicrobial effect of EPL
260 is attributed to electrostatic absorption onto the cell surface of the microorganism, where it
261 interacts with the bacterial membranes (Ho et al. 2000). The difference in the MIC values
262 between the Gram-positive and Gram-negative bacteria might derive from different cell surface
263 conditions of the bacteria tested. Gram-negative microorganisms have an increased defence
264 system and are less susceptible to antibacterial action than Gram-positive microorganisms. They
265 have an outer membrane surrounding the cell wall that restricts the diffusion of compounds
266 (Adams and Moss 2008).

267 **3.4. Antimicrobial activity of EVOH films containing EPL against *L. monocytogenes* and** 268 ***E. coli***

269 The antimicrobial activity of the EVOH films was tested against *L. monocytogenes* and *E. coli*.
270 Tables 3 and 4 present the results for EVOH 29 and EVOH 44 films, respectively. The EVOH
271 29 films with 1% EPL produced a 4.09 log reduction in the growth of *L. monocytogenes* and a

272 2.74 log reduction for *E. coli*. Films with 5% EPL produced a reduction of 6.09 log against *L.*
273 *monocytogenes* and 5.58 log for *E. coli*, and films with 10% EPL produced total inhibition
274 against both microorganisms tested. As can be seen in Table 4 (EVOH 44 films), the viable
275 counts for all microorganisms decreased with 1% EPL, being 1.39 for *L. monocytogenes* and
276 0.52 for *E. coli*. Films containing 5% EPL caused a growth reduction of 4.97 log against *L.*
277 *monocytogenes* and 3.94 for *E. coli*, and EVOH 44 films with 10% EPL produced total
278 inhibition only against *L. monocytogenes* and produced a 6.64 log reduction in the growth of *E.*
279 *coli*.

280 The results show that the antimicrobial activity was greater for EVOH 29 than for EVOH 44
281 films, and *E. coli* appears to be less susceptible to the antimicrobial effect of EPL. This lower
282 antimicrobial effect of EVOH 44 films was expected; as noted in the migration assay of EPL
283 carried out with BCA, the amount of EPL that migrated from EVOH 44 films was always lower
284 than the amount that migrated from EVOH 29 films.

285

286 **3.5. Scanning electron microscopy observations**

287 SEM was performed on bacteria exposed to EVOH 29 and EVOH 44 films with 10% EPL, to
288 study the morphological changes resulting in the membrane structure. The micrographs show
289 that bacteria exposed to the antimicrobial films displayed considerable morphological
290 alterations in comparison with control bacteria. Figs. 1A and 1C show micrographs of control *L.*
291 *monocytogenes* and *E. coli*, respectively, with bacteria presenting a smooth surface and
292 characteristic rod shape. Figs. 1B and 1D show bacteria that have been exposed to the
293 antimicrobial films, and it can be observed that the bacteria are seriously damaged, presenting
294 an irregular rough surface with blisters and bubbles and the collapse of the bacteria compared
295 with the control. In the case of *L. monocytogenes* the alterations are more obvious.

296 The images reveal that EPL leads to dramatic changes in the cell membrane. This hypothesis is
297 consistent with the results obtained by Shima et al. (1984), which show that the mechanism of
298 action of EPL on bacterial growth is the electrostatic adsorption onto the cell surface of
299 microorganisms, increasing the membrane permeability and causing an abnormal distribution of
300 cytoplasm.

301 **3.6. Antimicrobial activity of EVOH films containing EPL over time**

302 *3.6.1. Bacterial growth studies at 37 °C*

303 Fig. 2 shows the growth curves at 37 °C of *L. monocytogenes* and *E. coli* exposed to EVOH 29
304 films containing 1, 5 and 10% EPL for a period of 72 hours. Fig. 3 shows the results obtained
305 with EVOH 44. As can be seen in both figures, in the absence of antimicrobial films the bacteria

306 grow to values of 9 log at 37 °C; owing to temperature conditions and presence of nutrients in
307 the liquid media, the bacteria exhibited optimal growth. Fig. 2A shows that the antimicrobial
308 activity of EVOH 29 films against *L. monocytogenes* increased with the concentration of EPL in
309 the film. Films with 1% EPL presented 3 reductions after 3 hours in contact with the bacteria,
310 maintaining these values throughout the 72-hour period studied. EVOH 29 films with 5 and
311 10% EPL produced a more rapid decrease in bacterial growth at short times. After 1 hour these
312 films caused reductions of 1.84 and 2.89 log compared with the control, and the maximum
313 inhibition degree was reached after 6 hours. Films containing 5% EPL produced a 6 log
314 reduction and films with 10% EPL caused total inhibition, and these values remained constant
315 until the end of the experiment.

316 Antimicrobial activity of EVOH 29 films against *E. coli* over a 72-hour exposure period is
317 given in Fig. 2B; for films with 1% EPL the inhibition was 2.5 log after 6 hours and this value
318 was maintained until the end of the experiment. After 1 hour, films with 5 and 10% EPL
319 produced reductions of 1.5 log and 2.5 log, respectively, in comparison with the control. Films
320 with 5 and 10% EPL also reached the maximum inhibition degree after 6 hours of being in
321 contact with the bacteria, and this value remained constant, with 3 log reductions for films with
322 5% EPL and total inhibition for films with 10% EPL.

323 Figs. 3A and 3B show the results obtained when working with EVOH 44 instead of EVOH 29
324 against *L. monocytogenes* and *E. coli*, respectively. EVOH 44 films with 1% EPL showed a 2
325 log reduction after 3 hours in contact with *L. monocytogenes*, maintaining these values
326 throughout the period of time studied. Films with 5 and 10% EPL reached the maximum
327 inhibition degree after 6 hours, producing a 5 log reduction when 5% EPL was incorporated and
328 total inhibition with 10% EPL. These results were maintained until the end of the experiment.

329 Fig. 3B shows the growth curve of EVOH 44 films against *E. coli*. No inhibition was observed
330 with 1% EPL. The maximum inhibition degree achieved for films with 5% EPL was 4 log
331 reductions, and films with 10% EPL produced a reduction of 7 log. As mentioned above, the
332 antimicrobial activity against Gram-negative bacteria was lower and total inhibition was only
333 observed with EVOH 29 films incorporating 10% EPL. EVOH 29 proved to be more effective
334 than EVOH 44, releasing EPL to the media and then inhibiting bacterial growth.

335 336 3.6.2. Bacteria growth studies at 4 °C

337
338 Bacterial growth studies were also carried out at a temperature of 4 °C to test the effectiveness
339 of the films at refrigeration temperatures in order to simulate storage conditions in the

340 consumer's refrigerator. The antimicrobial activity of EVOH 29 and EVOH 44 films against *L.*
341 *monocytogenes* and *E. coli* at 4 °C overtime is shown in Figs. 5 and 6 for each copolymer.
342 *L. monocytogenes* is a psychrotrophic pathogen whose ability to survive and multiply at low
343 temperatures is demonstrated in Figs. 4A and 5A. As can be observed, bacteria reached values
344 of 7.5 log after 72 hours of exposure to control films in liquid media. In contrast, refrigeration
345 temperature significantly reduced the growth of *E. coli*. As can be seen in Figs. 4B and 5B, the
346 control sample maintained bacterial counts around 6 log throughout the experiment. Films with
347 1% EPL showed a reduction of ca. 2 log and 1.5 log for EVOH 29 and EVOH 44, respectively,
348 against *L. monocytogenes* compared to the control. However, no inhibition was observed against
349 *E. coli*, which may be due to slow release of EPL to the medium, being below the minimum
350 inhibitory concentration value. Considering that the amount of protein migrated from films with
351 1% EPL in phosphate buffer at 37 °C for 24 h is 47.43 µg/mL and 42.87µg/mL for EVOH 29
352 and EVOH 44, respectively, and taking into account that in the current experiment the release
353 temperature is 4 °C, it can be expected that the release of the antimicrobial would occur more
354 slowly and the films would have less effectiveness. Furthermore, films incorporating a greater
355 amount of EPL were not able to inhibit completely the growth of all the microorganisms tested.
356 Thus, EVOH 29 and EVOH 44 films with 10% EPL gave similar reduction values against *L.*
357 *monocytogenes* and *E. coli*: reductions of 5.5 log and 4.8 log against *L. monocytogenes* were
358 observed with EVOH 29 and EVOH 44, respectively, and 4 log and 3.5 log against *E. coli*.

359 It can be concluded from this experiment that the greatest bacterial inhibition was achieved at
360 37 °C, and films made from copolymers with lower ethylene content were more effective.
361 However, when working with a refrigeration temperature of 4 °C, differences in bacterial
362 inhibition caused by both copolymers were less acute. Moreover, a slower release of EPL is
363 expected at 4 °C, which results in a limited concentration of antimicrobial in the medium, and
364 thus a decrease in the effectiveness of the films.

366 **3.7. Antimicrobial activity of EVOH films containing EPL on surimi microbiota**

367 Once the antimicrobial effectiveness of the EVOH films had been assessed at refrigeration
368 temperatures, a new study with real food was carried out. EVOH 29 and 44 films with 10% EPL
369 were chosen because these films presented great antimicrobial activity. Surimi sticks were
370 individually wrapped with these films and stored at 4 °C for 6 days. Samples without film and
371 samples wrapped with film without EPL were prepared as controls. The samples were subjected
372 to microbiological analysis on days 1, 3 and 6 of refrigerated storage. The results of
373 microbiological counts of the surimi samples are shown in Table 5. It must be pointed out that

374 no differences were found between unwrapped samples and samples wrapped with film without
375 EPL (data not shown).

376 On the first day of storage no growth of Enterobacteriaceae, lactic acid bacteria, psychrotrophic
377 bacteria, total aerobic count and *Pseudomonas* bacteria was observed in any sample (data not
378 shown), and no growth of Enterobacteriaceae was detected during the extended refrigerated
379 storage period studied. No growth of these bacteria is considered as an index of fish quality,
380 which is related to storage in ice, washing and evisceration (Zambuchini et al. 2008). These
381 results confirm that the surimi samples were made under Good Manufacturing Practice (GMP),
382 ensuring the quality of the products.

383 Lactic acid bacteria are commonly found in seafood products, and bacterial counts tend to
384 increase during extended refrigerated storage. As can be seen in Table 3, lactic acid bacteria
385 were found in the control sample after three days of refrigerated storage. EVOH films
386 containing 10% EPL were capable of inhibiting growth of lactic acid bacteria in surimi sticks
387 during the period of time monitored.

388 Psychrotrophic bacteria are able to grow at refrigeration temperatures and responsible for the
389 aerobic spoilage of fish stored at refrigeration (Gunlu and Koyun 2013), and in this experiment
390 their proliferation was observed after the third day of storage. Bacterial growth was also
391 observed for samples wrapped with active films: samples wrapped with EVOH 29 incorporating
392 10% EPL presented a 1.45 log inhibition at the third day and a 2.16 log inhibition at the end of
393 storage compared with the control; when wrapped with EVOH 44, a 1.07 log reduction was
394 achieved at the third day of storage and a 1.71 log reduction at the end of the storage period.

395 Total aerobic bacterial counts appeared in the control samples at the third day of storage (2.34
396 log) and the aerobic population increased by ca. 1 log at the end of the storage period. A
397 bactericidal effect was observed when surimi sticks were wrapped with EVOH 29 containing
398 10% EPL during the storage time, whereas when EVOH 44 films were used microbial aerobic
399 bacterial growth was observed at the 6th day of storage. With regard to *Pseudomonas*
400 proliferation in the surimi samples, Table 3 shows that bacterial growth was detected in control
401 samples on the third day of storage. EVOH 29 films with 10% EPL exerted total inhibition on
402 day 3, whereas a 0.68 log reduction was achieved with EVOH 44 compared with the control. On
403 day 6 of storage, 1.43 log and 1.00 log reductions were found with EVOH 29 and EVOH 44
404 with 10% EPL, respectively, compared with the control.

405 It has also been demonstrated in previous studies that count between 2.00 log and 4.00 log were
406 found in total aerobic plate and total psychrophilic bacteria (Coton et al. 2011; Singh and
407 Balange 2005).

408 It is worth pointing out that no growth of yeast and moulds was observed in any sample during
409 the storage period.

410 It can be concluded from this experiment that the active films developed provide inhibition of
411 lactic acid bacteria and total aerobic count, whereas the psychrotrophic and *Pseudomonas*
412 counts decreased with respect to the control by the end of storage at 4 °C, when the
413 concentration of the agent on the surimi surface is expected to be higher.

414

415 **3.8. Antimicrobial activity of EVOH films incorporating EPL on surimi inoculated with *L.*** 416 ***monocytogenes* and *E. coli***

417 Surimi sticks were surface inoculated with *L. monocytogenes* and *E. coli*, wrapped with EVOH
418 29 and EVOH 44 films with 10% EPL, and stored for 6 days at 4 °C. Samples without film and
419 samples wrapped with EVOH 29 and EVOH 44 films without EPL were prepared as controls.
420 With regard to bacterial growth in control samples and in samples wrapped with EVOH with
421 EPL, no significant differences were found. Fig. 6 shows the inhibitory effect of EVOH 29 with
422 10% EPL on *L. monocytogenes*: after 1 day of storage, a reduction of 1.76 log was observed;
423 this value was maintained until the 3rd day of storage and increased to 2.76 log reductions at the
424 end of the storage period. Regarding the samples contaminated with *E. coli*, the reduction was
425 ca. 1 log during the entire storage time.

426 Fig. 7 shows the inhibitory effect of EVOH 44 with 10% EPL against *L. monocytogenes* and *E.*
427 *coli*. *L. monocytogenes* was reduced by ca. 1 log during the entire storage period. On the other
428 hand, *E. coli* reduction increased at the end of storage, on the 6th day of storage. The total food-
429 borne bacteria counts of surimi wrapped with the active samples showed a significant decrease
430 in comparison with the control sample.

431

432 Comparing the results obtained above with the *in vitro* test with TSB, it can be observed that a
433 higher concentration of EPL would be necessary to produce total inhibition against *L.*
434 *monocytogenes* and *E. coli*. The antimicrobial activity of the films was probably reduced
435 because of an interaction of the antimicrobial agent with some components of the food matrix,
436 reducing its availability to kill bacteria. Moreover, the kinetics of release and the amount of EPL
437 released to the media may change when assays are made with a solid food instead of a liquid
438 medium. This behaviour has also been reported in previous works. Geornaras et al. (2007)
439 demonstrated that the antimicrobial activity of epsilon-polylysine decreased against *L.*
440 *monocytogenes* when was tested in six food products compared with the results obtained *in vitro*
441 assays carried out in broth liquid media. The authors also showed that the antimicrobial effect of

442 active films on surimi products was higher against *L. monocytogenes* than against *E. coli*, as it
443 has been shown throughout this work.

444 The direct addition of antimicrobial agents into surimi sticks was also studied by other authors
445 (Li et al. 2012; Ting et al. 1999). Direct incorporation of the antimicrobial into the food
446 produces an immediate reduction of bacterial populations but this may not prevent the recovery
447 of injured cells or the growth of cells that were not destroyed by direct addition if residues of
448 the antimicrobial are rapidly depleted (Chi-Zhang et al. 2004). Therefore, antimicrobial active
449 films are an excellent technology to extend food shelf-life, providing a continuous antimicrobial
450 effect on the food during extended exposure.

451 An inadequate consumer knowledge on how to store ready-to-eat food at home, at the right
452 refrigerated temperature, has led to higher risks of *L. monocytogenes* growth (Gambarin et al.
453 2012). *L. monocytogenes* and *E. coli* are pathogens commonly detected in ready-to-eat products
454 because of their wide distribution in food factories, especially affecting interior surfaces of
455 equipment that are complicated to clean, water and utensils. Thus the chances of surimi
456 recontamination with these food-borne bacteria after post-process procedures are very high.
457 Low temperature control during processing, shipment and storage may not be sufficient to
458 control bacterial growth adequately. Therefore, to increase food safety and extend the shelf life
459 of ready-to-eat surimi products during storage time, it is necessary to complement the post-
460 processing action to control the growth of pathogens *L. monocytogenes* and *E. coli*.

461

462 **4. CONCLUSIONS**

463

464 Films made from EVOH 29 and EVOH 44 copolymers incorporating several amounts of EPL
465 were successfully developed by casting, being continuous and transparent. Their optical
466 properties represented changes with respect to the control samples only at the higher
467 concentration of EPL (10%), increasing significantly but slightly the chroma. The amount of
468 EPL capable of migrate from the copolymer films to liquid medium was quantified revealing
469 that films possessing a lower percentage of ethylene released a greater amount of EPL. Bacterial
470 growth studies carried out at 37 and 4 °C with *L. monocytogenes* and *E. coli* in the presence of
471 the films incorporating EPL showed that a greater bacterial inhibition was achieved at 37 °C and
472 EVOH 29 were more effective than EVOH 44 films inhibiting bacterial growth. *In vivo*
473 experiments carried out with surimi sticks inoculated with pathogen bacteria and wrapped in
474 EVOH 29 and EVOH 44 with 10% of EPL, produced a reduction in the microbial load thus
475 increasing the microbiological shelf life of the product at refrigeration temperatures. Therefore,
476 the results obtained in this work provide strong evidence of the antimicrobial effect of active
477 EVOH films on the survival of *L. monocytogenes* and *E. coli* “*in vitro*” and inoculated into
478 surimi sticks stored under refrigeration temperatures. It was also concluded that *E. coli* was

479 more resistant against EPL than *L. monocytogenes*. The present study shows that active
480 packaging is a non-thermal preservation technology which could be implemented to improve
481 the microbiological stability of ready-to-eat surimi-derived products.

482

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2 636 **LEGENDS TO FIGURES**
3

4 637

5 638 Fig.1. Scanning electron micrographs of *L. monocytogenes* cell A) CONTROL and B) 10%
6 EPL. *E. coli* cell C) CONTROL and D) 10% EPL.
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10 641 Fig. 2. Growth control study with EVOH 29 control, 1, 5 and 10% EPL. A) *L. monocytogenes* at 37 °C
11 and B) *E.coli* at 37 °C.
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13 643

14 644 Fig. 3. Growth control study with EVOH 44 control, 1, 5 and 10% EPL. A) *L. monocytogenes* at 37 °C
15 and B) *E. coli* at 37 °C.
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19 647 Fig. 4. Growth control study with EVOH 29 control, 1, 5 and 10% EPL. A) *L. monocytogenes* at 4 °C and
20 B) *E. coli* at 4 °C.
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22 649

23 650 Fig. 5. Growth control study with EVOH 44 control, 1%, 5% and 10% EPL. A) *L. monocytogenes* at 4 °C
24 and B) *E. coli* at 4 °C.
25
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27 652

28 653 Fig. 6. Antimicrobial activity of EVOH 29 films with 10% EPL on surimi sticks inoculated with
29 (A) *L. monocytogenes* and (B) *E. coli*.
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33 656 Fig. 7. Antimicrobial activity of EVOH 44 films with 10% EPL on surimi sticks inoculated with
34 (A) *L. monocytogenes* and (B) *E. coli*.
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Fig-1A

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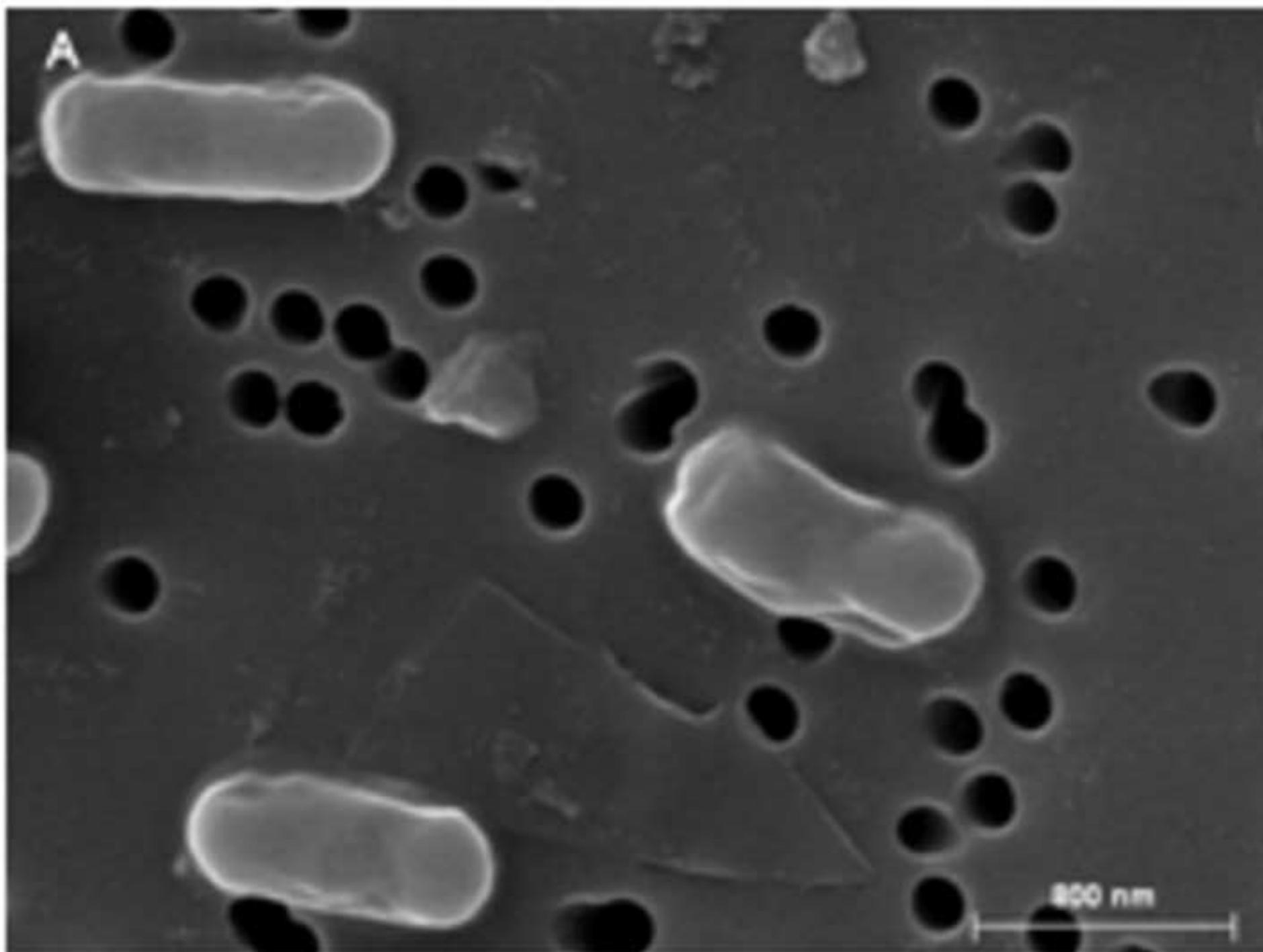


Fig-1B

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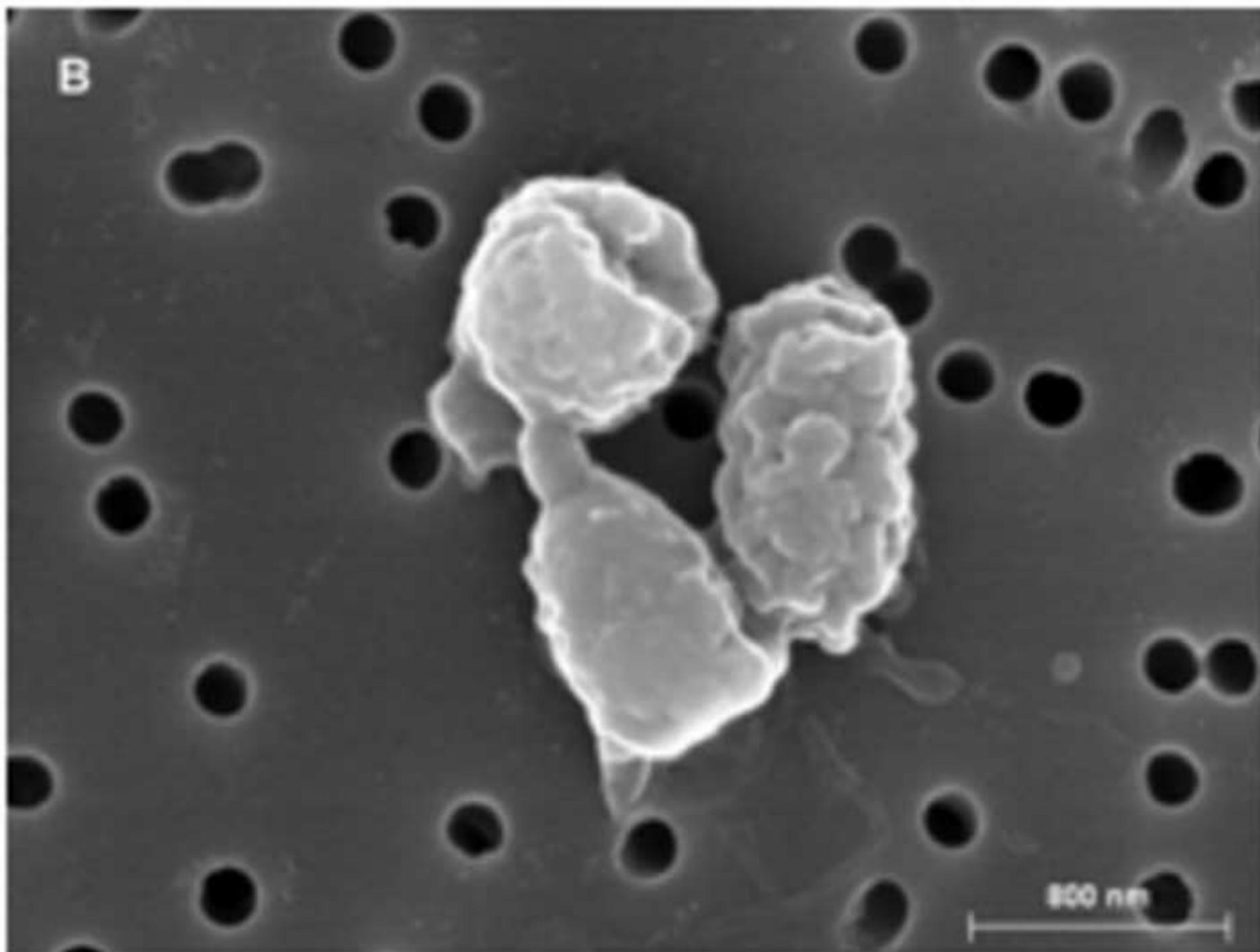


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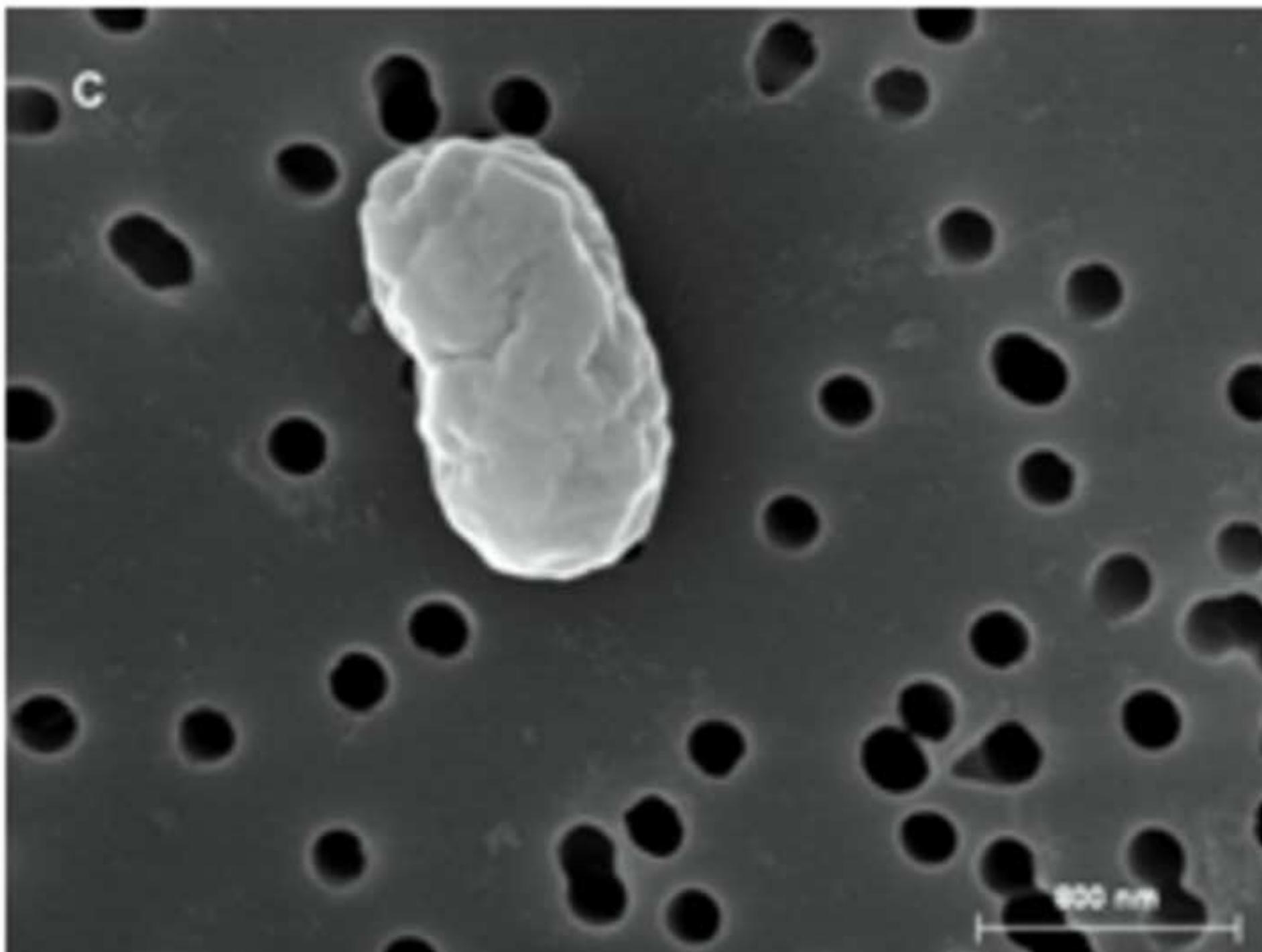


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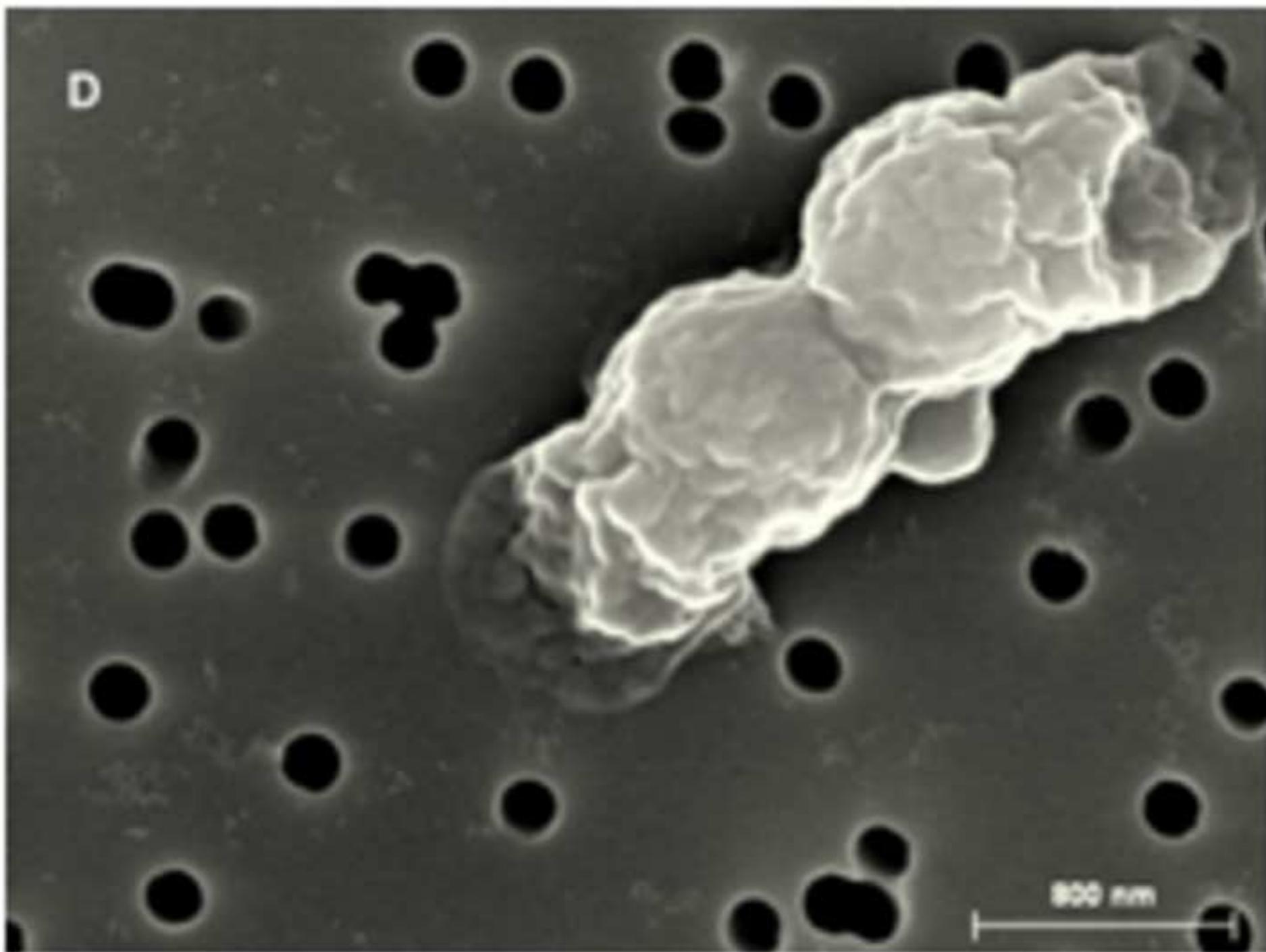


Fig-2A

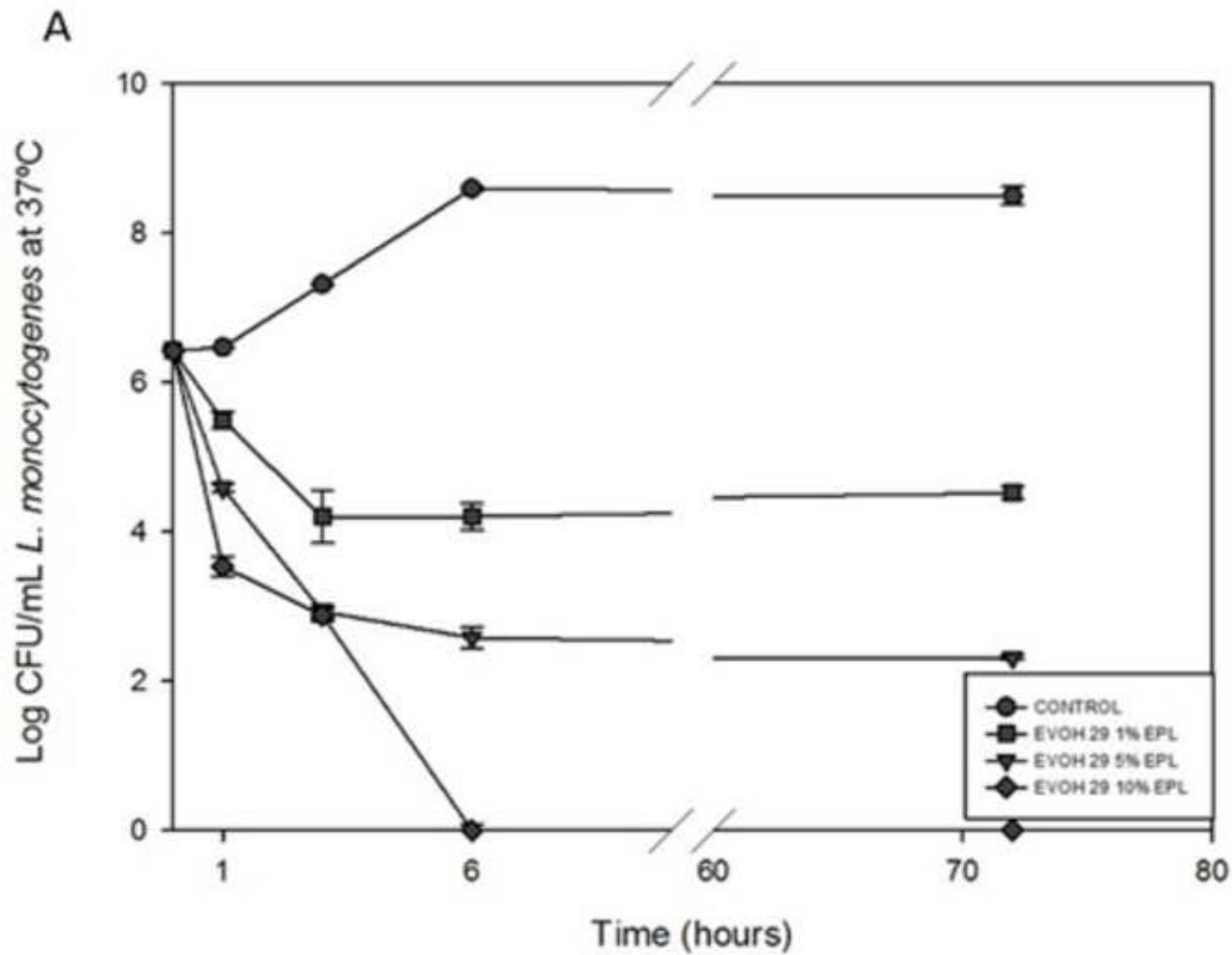
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Fig-2B

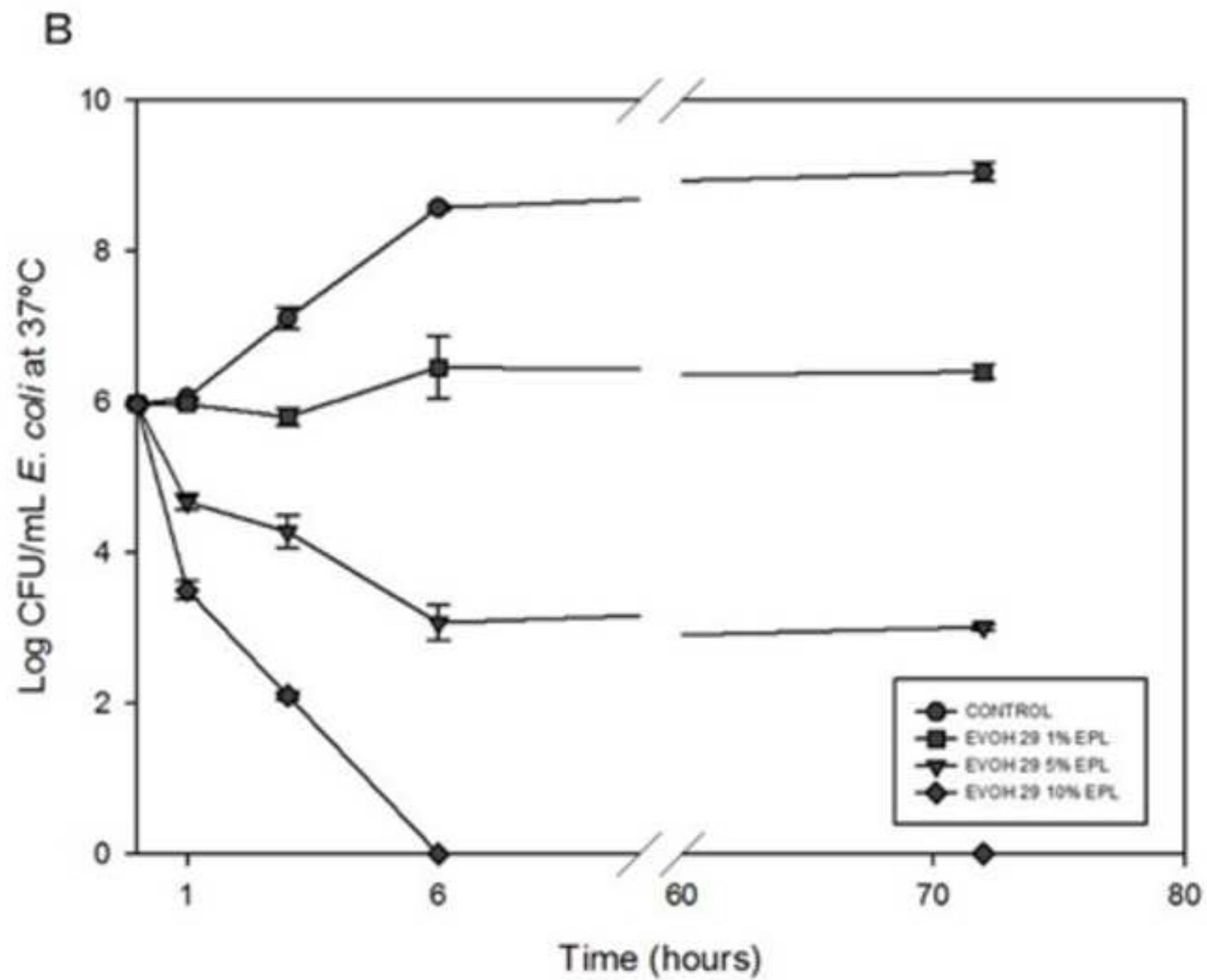
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Fig-3A

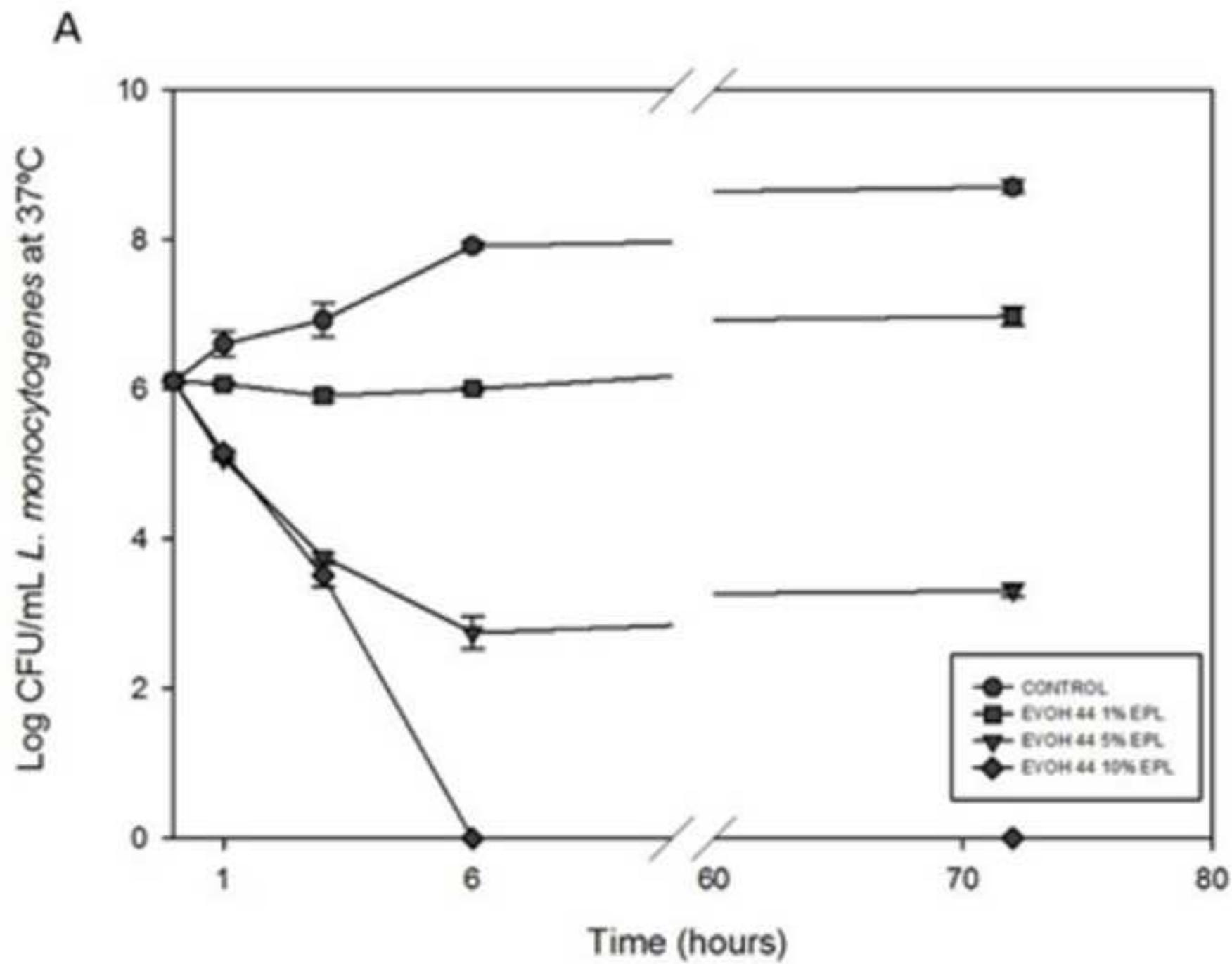
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Fig-3B

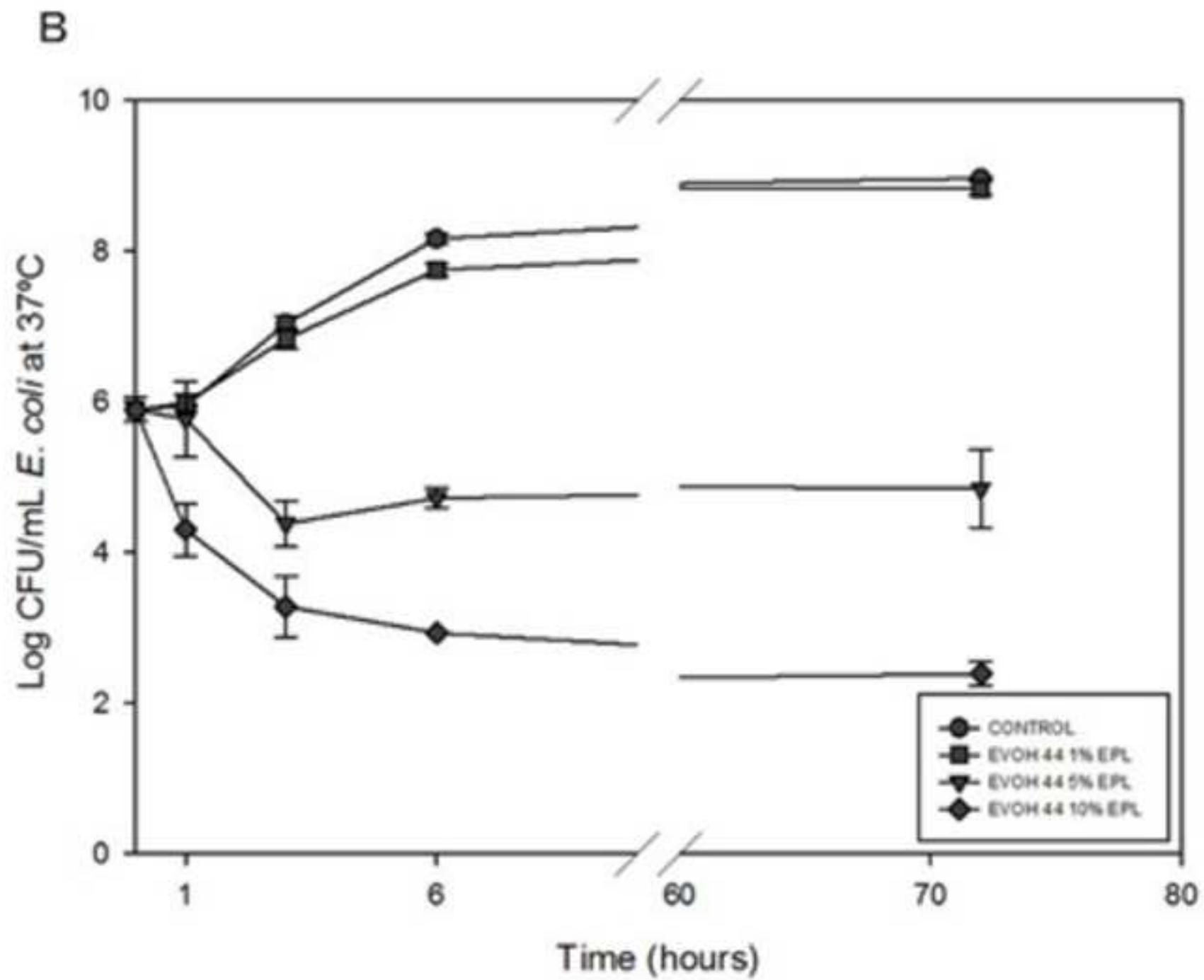
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Fig-4A

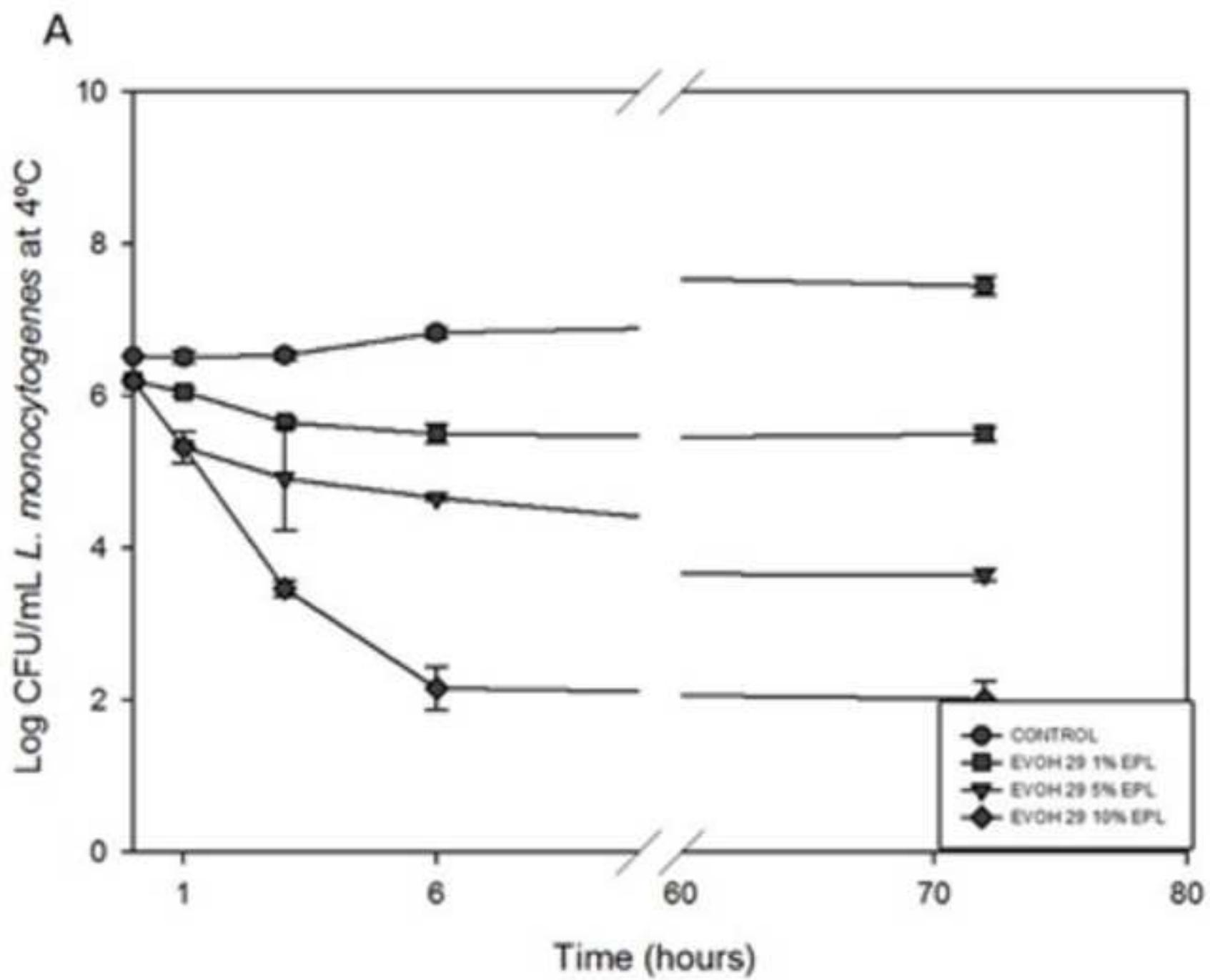
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Fig-4B

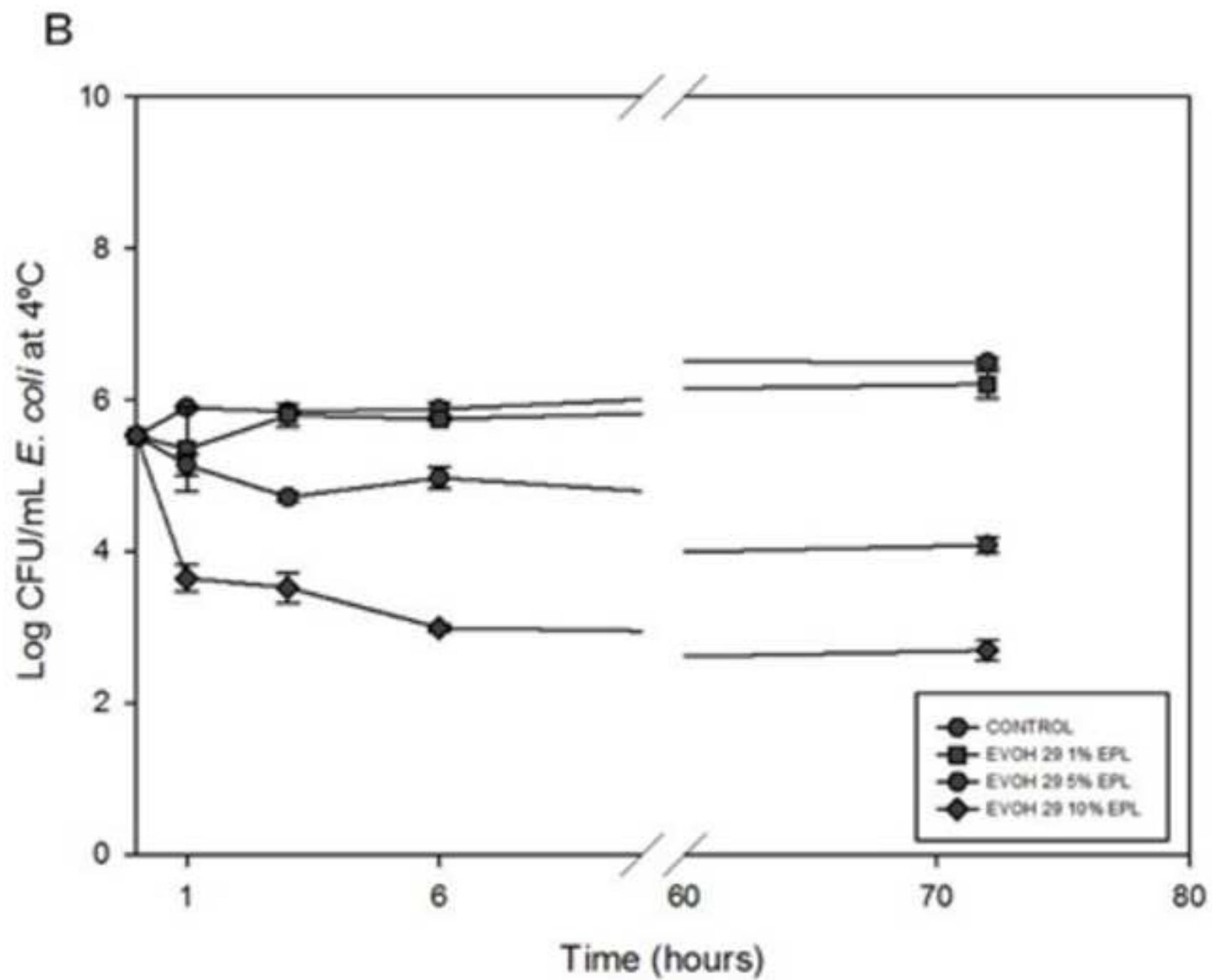
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Fig-5A

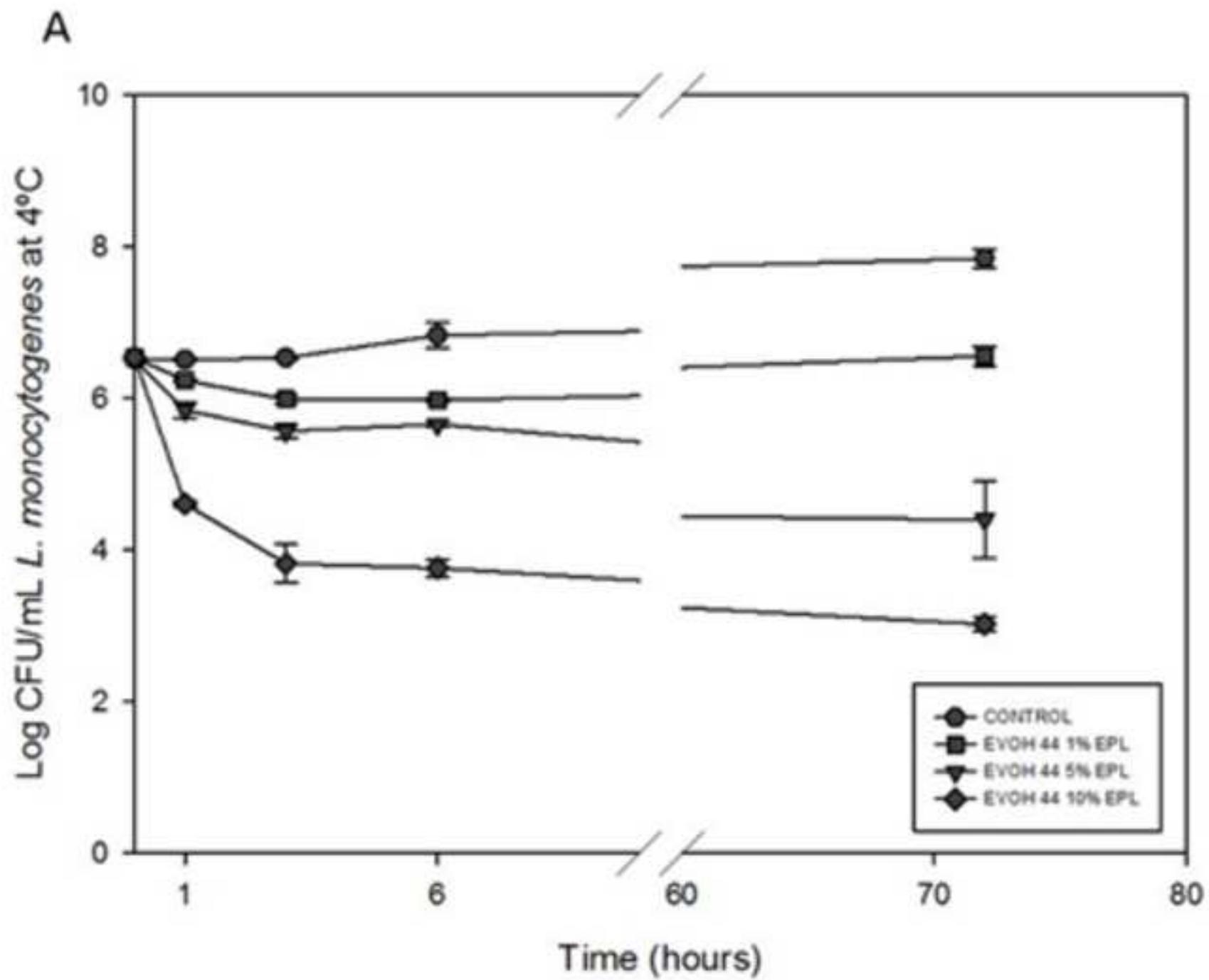
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Fig-5B

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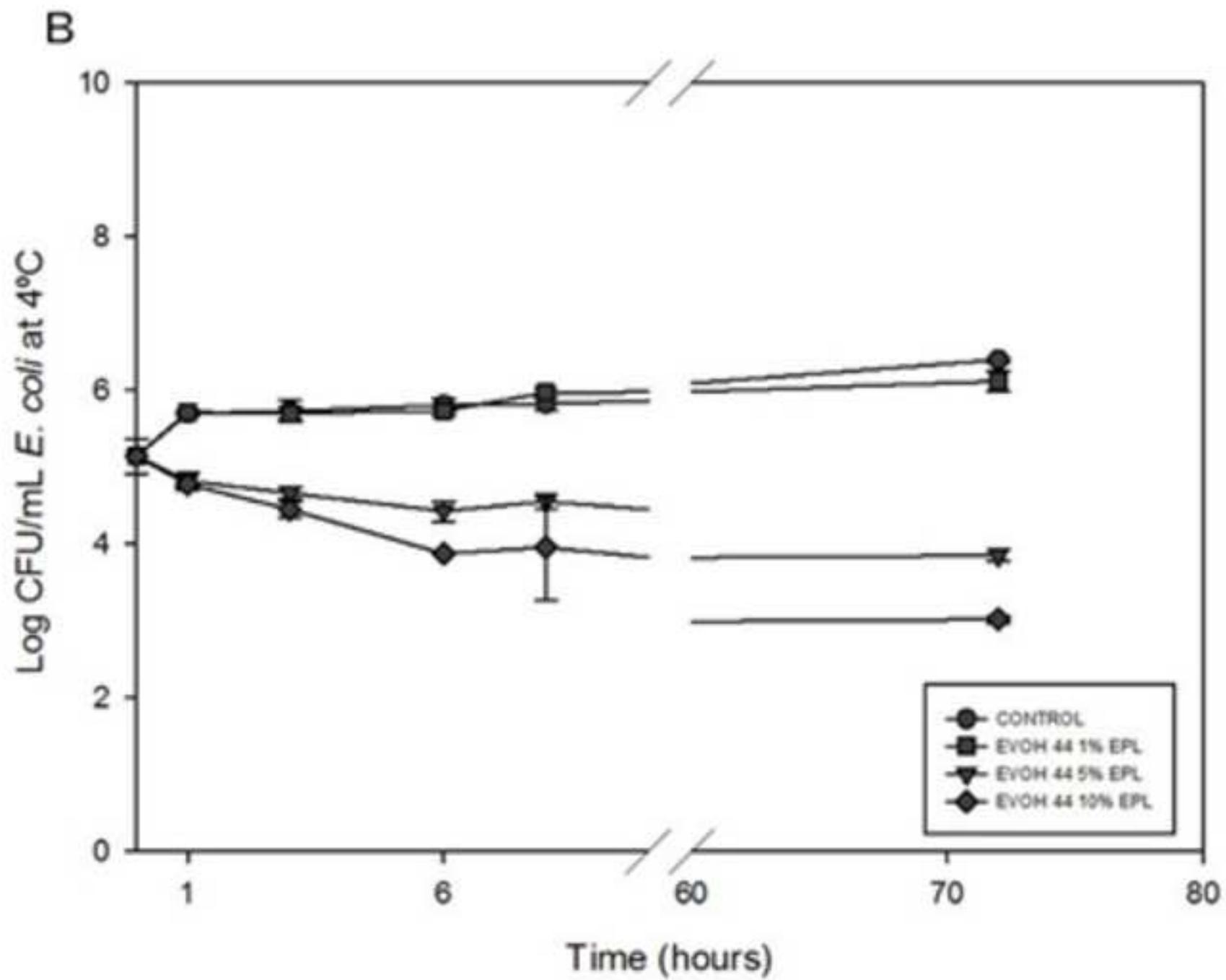
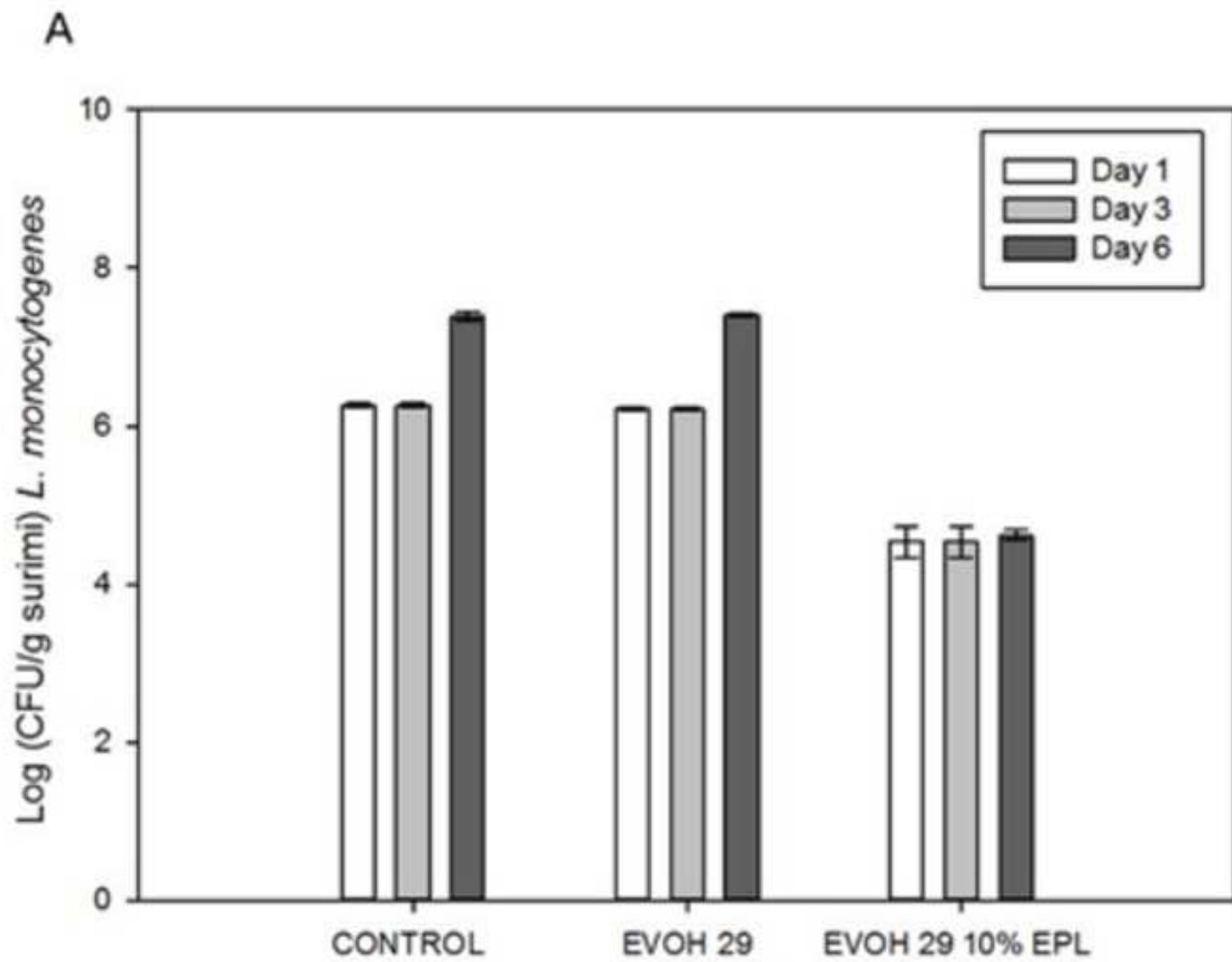


Fig-6A

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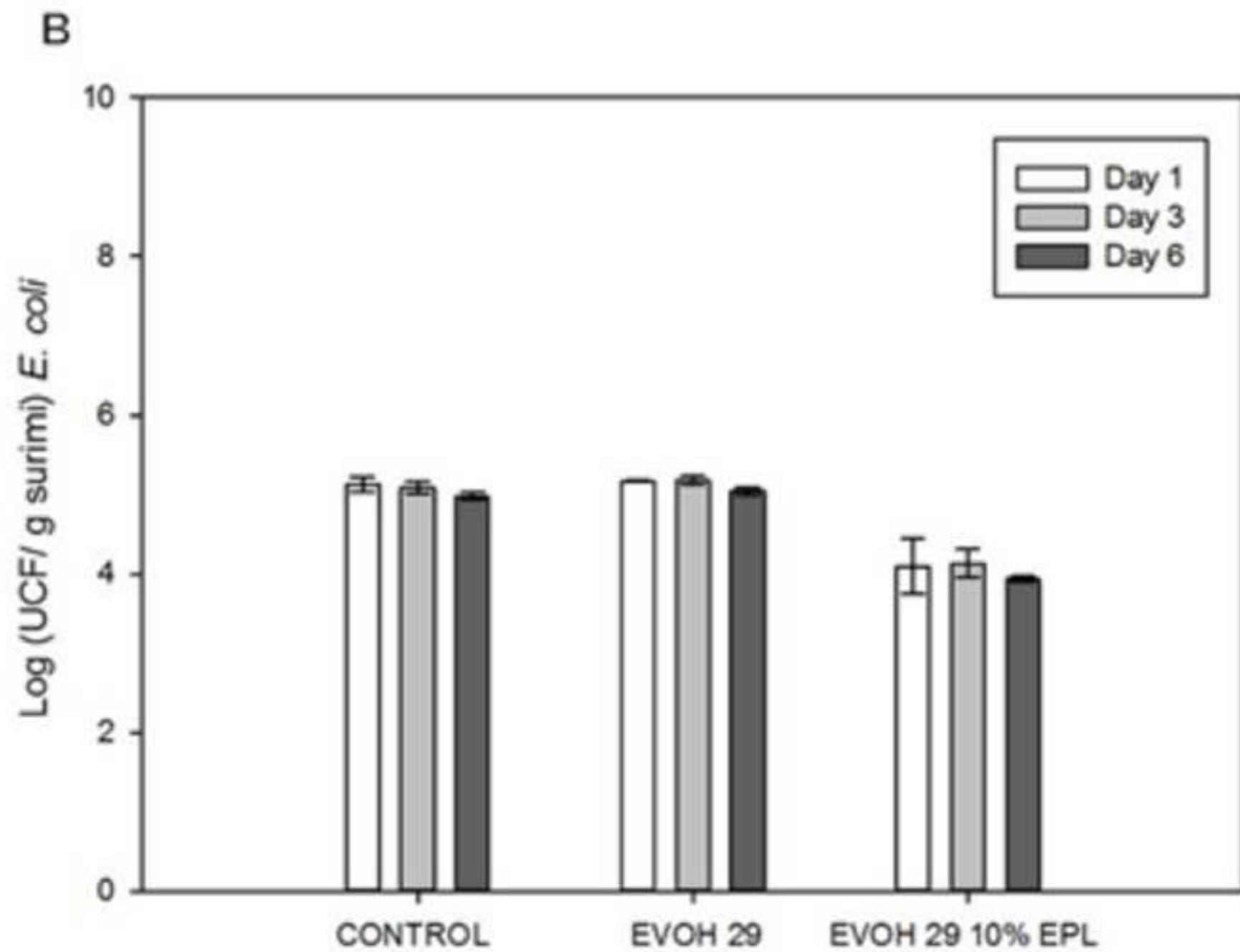
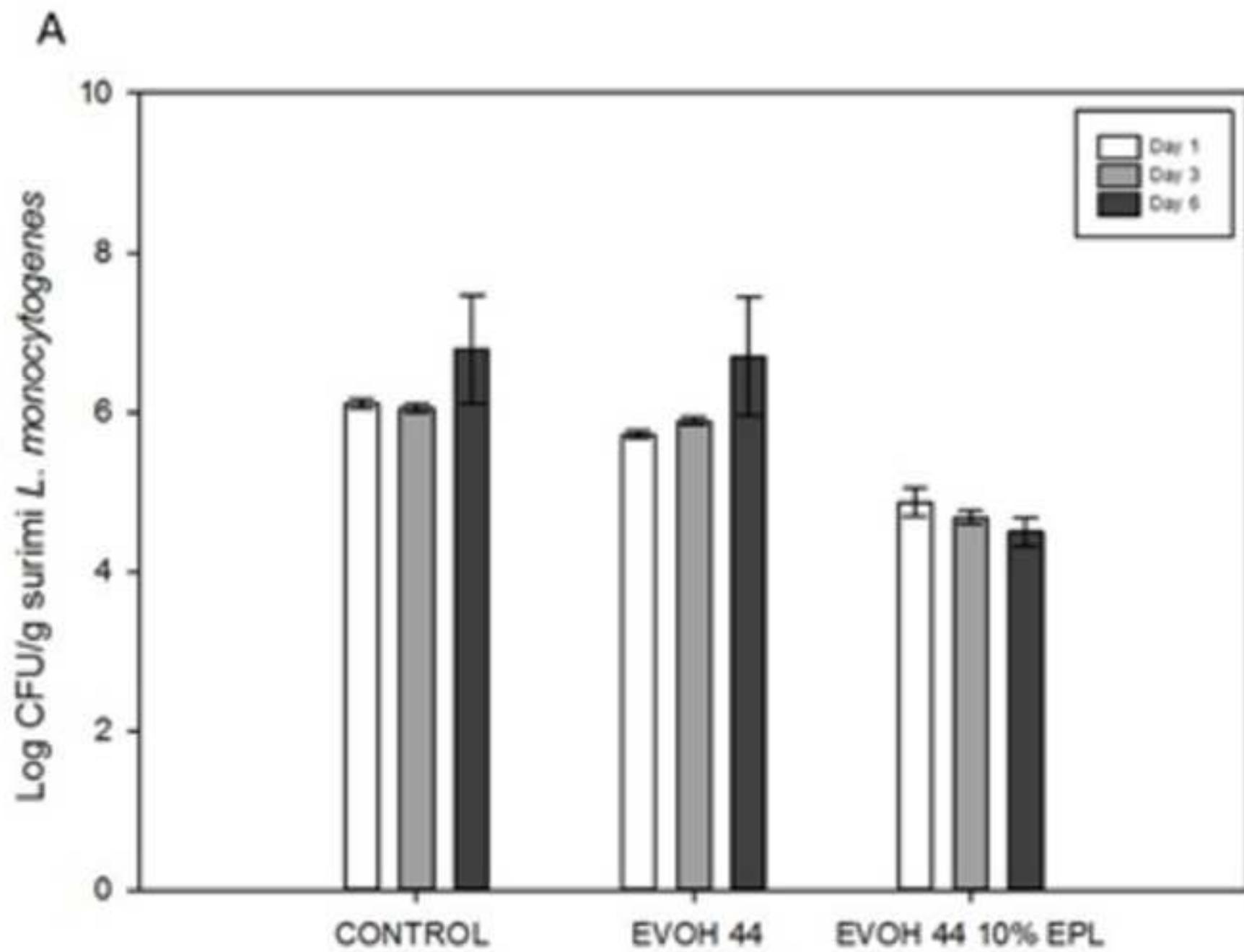


Fig-7A

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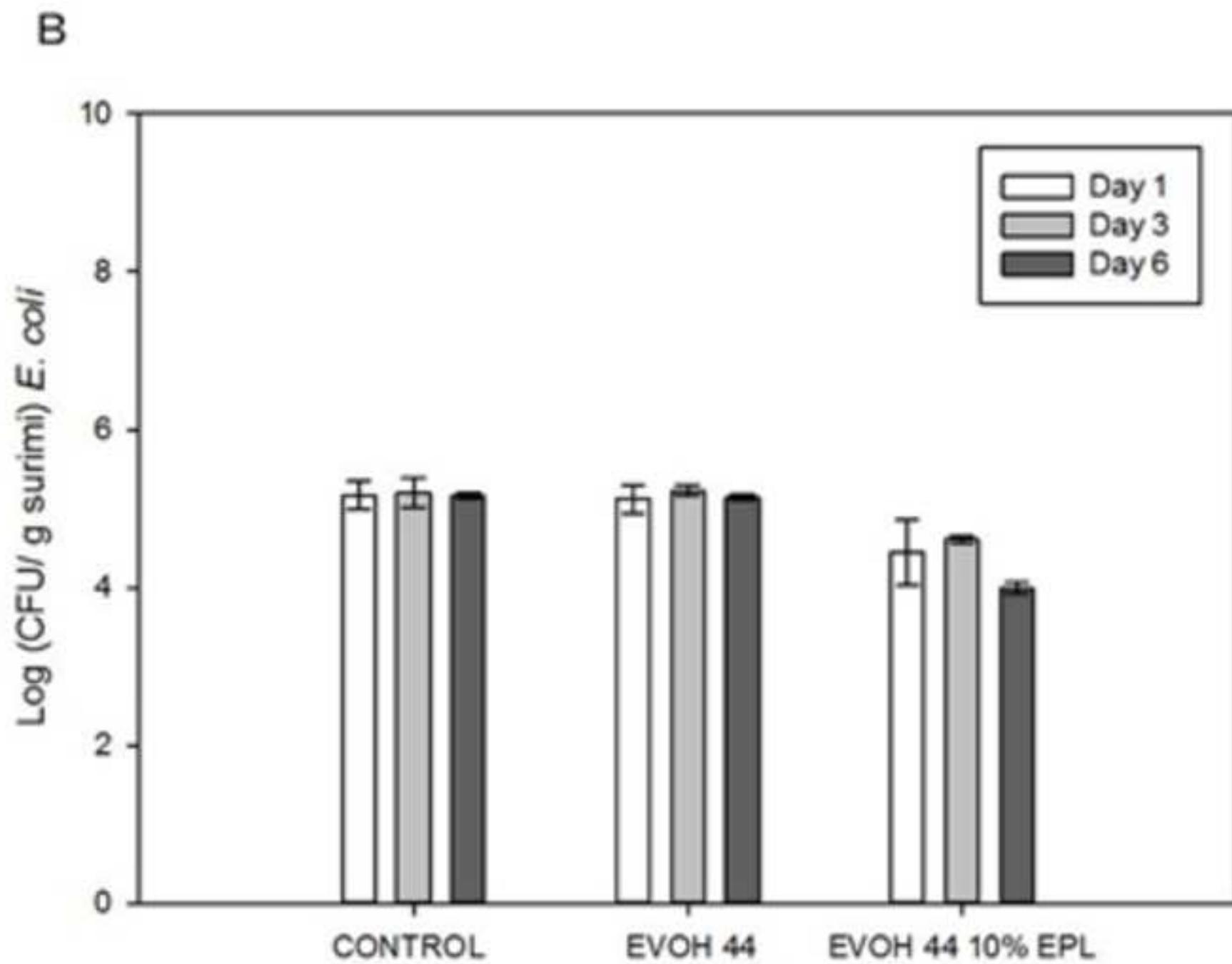


Table 1. Colour parameters of EVOH 29 and EVOH 44, without and with 1, 5 and 10% of EPL.

EVOH 29	L*	a*	b*	C*	h°
CONTROL	90.27 ± 0.18 ^a	-0.86 ± 0.02 ^a	1.09 ± 0.04 ^a	1.39 ± 0.02 ^a	128.22 ± 1.65 ^a
1% EPL	89.43 ± 0.65 ^a	-0.89 ± 0.02 ^a	1.13 ± 0.10 ^a	1.44 ± 0.08 ^a	128.41 ± 2.64 ^a
5% EPL	89.40 ± 0.70 ^a	-0.93 ± 0.04 ^a	1.13 ± 0.08 ^a	1.47 ± 0.04 ^a	129.49 ± 3.04 ^a
10% EPL	89.84 ± 0.43 ^a	-0.97 ± 0.02 ^b	1.30 ± 0.04 ^b	1.62 ± 0.02 ^b	129.82 ± 1.53 ^a

EVOH 44	L*	a*	b*	C*	h°
CONTROL	89.82 ± 0.97 ^a	-0.88 ± 0.05 ^a	1.02 ± 0.12 ^a	1.35 ± 0.07 ^a	129.12 ± 4.34 ^a
1% EPL	89.98 ± 0.53 ^a	-0.86 ± 0.06 ^a	1.07 ± 0.08 ^a	1.38 ± 0.08 ^a	128.86 ± 2.59 ^a
5% EPL	89.80 ± 0.67 ^a	-0.89 ± 0.04 ^a	1.16 ± 0.08 ^b	1.47 ± 0.05 ^b	127.42 ± 2.83 ^a
10% EPL	90.21 ± 0.66 ^a	-0.98 ± 0.06 ^b	1.24 ± 0.09 ^b	1.57 ± 0.10 ^b	127.55 ± 0.95 ^a

^{a-c} Different letters in the same column indicate significant differences among the values of the color parameter of each EVOH copolymer (Turkey's adjusted analysis of variance, P<0.05).

Table 2. EPL migrated from EVOH films to phosphate buffer pH 7.5 at 37 °C after 24 hours.

EPL in EVOH (%)	From EVOH 29 (µg/mL)	Migration (%)	From EVOH 44 (µg/mL)	Migration (%)
1% EPL	47.43 ± 0.02 ^a	18.97	42.87 ± 0.01 ^a	17.15
5% EPL	68.19 ± 0.02 ^b	5.45	58.82 ± 0.01 ^b	4.71
10% EPL	95.90 ± 0.03 ^c	3.84	71.46 ± 0.03 ^c	2.86

^{a-c} Different letters in the same column indicate significant differences among the values of EPL migrated to the liquid medium (Turkey's adjusted analysis of variance, P<0.05).

Table 3. Antimicrobial effectiveness of EVOH 29 films against *L. monocytogenes* and *E. coli* at 37 °C expressed as logarithm of colony forming units per mL (Log (CFU/mL)) and logarithm reduction value (LRV).

	<i>L. monocytogenes</i>		<i>E. coli</i>	
	Log (CFU/mL)	LRV	Log (CFU/mL)	LRV
CONTROL	8.49 ± 0.08 ^c		9.08 ± 0.02 ^c	
EVOH 29 1% EPL	4.40 ± 0.12 ^b	4.09	6.34 ± 0.12 ^b	2.74
EVOH 29 5% EPL	2.40 ± 0.09 ^a	6.09	3.50 ± 0.12 ^a	5.58
EVOH 29 10% EPL	Total inhibition	8.49	Total inhibition	8.49

^{a-c} Different letters in the same column indicate significant differences in antimicrobial effectiveness of different EVOH 29 films (Turkey's adjusted analysis of variance, P<0.05).

Table 4. Antimicrobial effectiveness of EVOH 44 films against *L. monocytogenes* and *E. coli* at 37 °C expressed as logarithm of colony forming units (Log (CFU/mL)) and log reduction value (LRV).

	<i>L. monocytogenes</i>		<i>E. coli</i>	
	Log(CFU/mL)	LRV	Log(CFU/mL)	LRV
CONTROL	8.15 ± 0.06 ^c		8.86 ± 0.07 ^c	
EVOH 44 1% EPL	6.76 ± 0.03 ^b	1.39	8.34 ± 0.15 ^c	0.52
EVOH 44 5% EPL	3.18 ± 0.01 ^a	4.97	4.92 ± 0.09 ^b	3.94
EVOH 44 10% EPL	Total inhibition	8.15	2.22 ± 0.19 ^a	6.64

^{a-c} Different letters in the same column indicate significant differences in antimicrobial effectiveness of different EVOH 44 films (Turkey's adjusted analysis of variance, P<0.05).

Table 5. Enumeration of microbial population in surimi: *Lactic acid bacteria*, *Psychrotrophic bacteria*, *Total aerobic count* and *Pseudomonas* expressed as logarithm of colony forming units per g (Log (CFU/g)) and logarithm reduction value (LRV).

	Day 3		Day 6	
	Log (CFU/g)	LRV	Log (CFU/g)	LRV
<i>Lactic acid bacteria</i>				
CONTROL	3.45 ± 0.23		3.89 ± 0.15	
EVOH 29 10% EPL	Total inhibition	3.45	Total inhibition	3.89
EVOH 44 10% EPL	Total inhibition	3.45	Total inhibition	3.89
<i>Psychrotrophic bacteria</i>				
CONTROL	3.09 ± 0.14 ^b		5.00 ± 0.13 ^b	
EVOH 29 10% EPL	1.64 ± 0.61 ^a	1.45	2.84 ± 0.11 ^a	2.16
EVOH 44 10% EPL	2.02 ± 0.37 ^a	1.07	3.29 ± 0.09 ^a	1.71
<i>Total aerobic count</i>				
CONTROL	2.34 ± 0.06		3.18 ± 0.02 ^b	
EVOH 29 10% EPL	Total inhibition	2.34	Total inhibition	3.18
EVOH 44 10% EPL	Total inhibition	2.34	1.90±0.24 ^a	1.28
<i>Pseudomonas</i>				
CONTROL	3.04 ± 0.12 ^b		3.63 ± 0.03 ^b	
EVOH 29 10% EPL	Total inhibition	3.04	2.20 ± 0.10 ^a	1.43
EVOH 44 10% EPL	2.36 ± 0.14 ^a	0.68	2.62 ± 0.11 ^a	1.01

^{a-b} Different letters in the same column for each microorganisms indicate significant differences in antimicrobial effectiveness of different EVOH films (Turkey's adjusted analysis of variance, P<0.05).