

LIFE AND ACCIDENT AND HEALTH COMPANIES—ASSOCIATION EDITION

QUARTERLY STATEMENT
 As of June 30, 2014
 of the Condition and Affairs of the
AXA EQUITABLE LIFE AND ANNUITY COMPANY

NAIC Group Code 0968 0968 NAIC Company Code 62880 Employer's ID Number 13-3198083
 (Current) (Prior)
 Organized under the Laws of Colorado State of Domicile or Port of Entry Colorado Country of Domicile United States of America
 Incorporated/Organized: January 18, 1984 Commenced Business: June 01, 1984
 Statutory Home Office: 1675 Broadway, Suite 1700, Denver, Colorado 80202
 Main Administrative Office: 8501 IBM Drive, Suite 150, Charlotte, NC 28262- Telephone Number: (704) 341-7000
 Mail Address: 525 Washington Boulevard Jersey City, New Jersey 07310 – Controllars 35th Floor, Telephone Number: (201) 743-5159
 Primary Location of Books and Records: 525 Washington Boulevard Jersey City, N. J. 07310 – Controllars 35th Floor Telephone Number: (201)743-5159
 Internet Website Address: www.axa.com
 Statutory Statement Contact: Gregory Lashinsky, Assistant Vice President, (201) 743-5159
 E-Mail Address: controllars@axa.us.com Fax Number: (201) 743-5006

OFFICERS

ANDERS BJÖRN MALMSTRÖM
Chairman of the Board

MANISH AGARWAL
President and Chief Executive Officer

JOSHUA ETHAN BRAVERMAN
Executive Vice President, Chief Financial
Officer and Treasurer

DAVE SOL HATTEM
Executive Vice President and
General Counsel

ANDREA MARIE NITZAN
Executive Vice President and Chief
Accounting Officer

DOMINIQUE BAEDE
Senior Vice President and Actuary

KEITH ELLIOT FLOMAN
Senior Vice President and Appointed
Actuary

ADRIENNE AIMEE JOHNSON
Senior Vice President and Chief Auditor

ALLEN JOEL ZABUSKY
Senior Vice President and
Controller

DENISE TEDESCHI
Assistant Vice President and Secretary

DIRECTORS


MANISH AGARWAL
ANDERS BJÖRN MALMSTRÖM

JOSHUA ETHAN BRAVERMAN

NICHOLAS BURRITT LANE
TODD PAUL SOLASH

State of.....New Jersey.....
County of...Hudson..... } ss

The officers of AXA EQUITABLE LIFE AND ANNUITY COMPANY being duly sworn, each depose and say that they are the described officers of the said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.


ALLEN JOEL ZABUSKY
Senior Vice President and Controller


KEITH ELLIOTT FLOMAN
Senior Vice President and Appointed Actuary


DENISE TEDESCHI
Assistant Vice President and Secretary

Subscribed and sworn to before me this
5th day of August, 2014

- a. Is this an original filing? Yes (x) No ()
 b. If no, 1. State the amendment number _____
 2. Date filed _____
 3. Number of pages attached _____


PAOLA T MIRABAL
ID# 2441705
NOTARY PUBLIC
STATE OF NEW JERSEY
MY COMMISSION EXPIRES 12/24/18

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds.....	138,275,169	0	138,275,169	162,417,354
2. Stocks:				
2.1 Preferred stocks.....	0	0	0	0
2.2 Common stocks.....	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens.....	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$.....0 encumbrances).....	0	0	0	0
4.2 Properties held for the production of income (less \$.....0 encumbrances).....	0	0	0	0
4.3 Properties held for sale (less \$.....0 encumbrances).....	0	0	0	0
5. Cash (\$....426,922), cash equivalents (\$.....0) and short-term investments (\$....25,988,326).....	26,415,248	0	26,415,248	28,801,318
6. Contract loans (including \$.....0 premium notes).....	286,989,607	113,179	286,876,428	285,655,735
7. Derivatives.....	0	0	0	0
8. Other invested assets.....	0	0	0	0
9. Receivables for securities.....	35	0	35	4
10. Securities lending reinvested collateral assets.....	0	0	0	0
11. Aggregate write-ins for invested assets.....	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11).....	451,680,059	113,179	451,566,880	476,874,411
13. Title plants less \$.....0 charged off (for Title insurers only).....	0	0	0	0
14. Investment income due and accrued.....	(542,647)	0	(542,647)	1,873,287
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection.....	(540,978)	0	(540,978)	(22,101,109)
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$.....0 earned but unbilled premiums).....	904,195	0	904,195	1,186,036
15.3 Accrued retrospective premiums.....	0	0	0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers.....	312,776	0	312,776	1,631,617
16.2 Funds held by or deposited with reinsured companies.....	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts.....	1,190,330	0	1,190,330	3,306,948
17. Amounts receivable relating to uninsured plans.....	0	0	0	0
18.1 Current federal and foreign income tax recoverable and interest thereon.....	0	0	0	0
18.2 Net deferred tax asset.....	990,066	170,521	819,545	1,380,751
19. Guaranty funds receivable or on deposit.....	648,654	0	648,654	640,900
20. Electronic data processing equipment and software.....	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$.....0).....	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates.....	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates.....	1,288,745	0	1,288,745	108,288
24. Health care (\$.....0) and other amounts receivable.....	0	0	0	0
25. Aggregate write-ins for other than invested assets.....	72,256	72,256	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 through 25).....	456,003,456	355,956	455,647,500	464,901,129
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0	0
28. Total (Lines 26 and 27).....	456,003,456	355,956	455,647,500	464,901,129

DETAILS OF WRITE-INS

1101.....	0	0	0	0
1102.....	0	0	0	0
1103.....	0	0	0	0
1198. Summary of remaining write-ins for Line 11 from overflow page.....	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above).....	0	0	0	0
2501. Other assets non-admitted.....	72,256	72,256	0	0
2502.....	0	0	0	0
2503.....	0	0	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	72,256	72,256	0	0

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
LIABILITIES, SURPLUS AND OTHER FUNDS

	1 Current Statement Date	2 December 31 Prior Year
1. Aggregate reserve for life contracts \$.....412,322,319 less \$.....0 included in Line 6.3 (including \$.....0 Modco Reserve).....	412,322,319	421,264,840
2. Aggregate reserve for accident and health contracts (including \$.....0 Modco Reserve).....	.0	.0
3. Liability for deposit-type contracts (including \$.....0 Modco Reserve).....	.0	.0
4. Contract claims:		
4.1 Life.....	3,696,462	3,455,817
4.2 Accident and health.....	.0	.0
5. Policyholders' dividends \$.....0 and coupons \$.....0 due and unpaid.....	.0	.0
6. Provision for policyholders' dividends and coupons payable in following calendar year - estimated amounts:		
6.1 Dividends apportioned for payment (including \$.....0 Modco).....	.0	.0
6.2 Dividends not yet apportioned (including \$.....0 Modco).....	.0	.0
6.3 Coupons and similar benefits (including \$.....0 Modco).....	.0	.0
7. Amount provisionally held for deferred dividend policies not included in Line 6.....	.0	.0
8. Premiums and annuity considerations for life and accident and health contracts received in advance less \$.....0 discount; including \$.....0 accident and health premiums.....	52,724	69,521
9. Contract liabilities not included elsewhere:		
9.1 Surrender values on canceled contracts.....	.0	.0
9.2 Provision for experience rating refunds, including the liability of \$.....0 accident and health experience rating refunds of which \$.....0 is for medical loss ratio rebate per the Public Health Service Act.....	.0	.0
9.3 Other amounts payable on reinsurance, including \$.....0 assumed and \$.....0 ceded.....	.0	.0
9.4 Interest Maintenance Reserve.....	226,489	.0
10. Commissions to agents due or accrued - life and annuity contracts \$.....61,682, accident and health \$.....0 and deposit-type contract funds \$.....0.....	61,682	.0
11. Commissions and expense allowances payable on reinsurance assumed.....	.0	.0
12. General expenses due or accrued.....	.0	.0
13. Transfers to Separate Accounts due or accrued (net) (including \$.....0 accrued for expense allowances recognized in reserves, net of reinsured allowances).....	.0	.0
14. Taxes, licenses and fees due or accrued, excluding federal income taxes.....	1,292,440	1,100,485
15.1 Current federal and foreign income taxes, including \$.....284,207 on realized capital gains (losses).....	2,277,831	267,577
15.2 Net deferred tax liability.....	.0	.0
16. Unearned investment income.....	2,425,611	6,146,461
17. Amounts withheld or retained by company as agent or trustee.....	.0	.0
18. Amounts held for agents' account, including \$.....0 agents' credit balances.....	.0	.0
19. Remittances and items not allocated.....	104,989	2,910,202
20. Net adjustment in assets and liabilities due to foreign exchange rates.....	.0	.0
21. Liability for benefits for employees and agents if not included above.....	.0	.0
22. Borrowed money \$.....0 and interest thereon \$.....0.....	.0	.0
23. Dividends to stockholders declared and unpaid.....	.0	.0
24. Miscellaneous liabilities:		
24.01 Asset valuation reserve.....	692,816	812,970
24.02 Reinsurance in unauthorized and certified (\$.....0) companies.....	.0	.0
24.03 Funds held under reinsurance treaties with unauthorized and certified (\$.....0) reinsurers.....	.0	.0
24.04 Payable to parent, subsidiaries and affiliates.....	20,529	1,384,120
24.05 Drafts outstanding.....	.0	.0
24.06 Liability for amounts held under uninsured plans.....	.0	.0
24.07 Funds held under coinsurance.....	.0	.0
24.08 Derivatives.....	.0	.0
24.09 Payable for securities.....	.0	.0
24.10 Payable for securities lending.....	.0	.0
24.11 Capital notes \$.....0 and interest thereon \$.....0.....	.0	.0
25. Aggregate write-ins for liabilities.....	18,038	13,270
26. Total liabilities excluding Separate Accounts business (Lines 1 to 25).....	423,191,930	437,425,263
27. From Separate Accounts statement.....	.0	.0
28. Total liabilities (Lines 26 and 27).....	423,191,930	437,425,263
29. Common capital stock.....	2,500,000	2,500,000
30. Preferred capital stock.....	.0	.0
31. Aggregate write-ins for other than special surplus funds.....	.0	.0
32. Surplus notes.....	.0	.0
33. Gross paid in and contributed surplus.....	22,346,501	22,346,501
34. Aggregate write-ins for special surplus funds.....	.0	.0
35. Unassigned funds (surplus).....	7,609,069	2,629,365
36. Less treasury stock, at cost:		
36.10.000 shares common (value included in Line 29 \$.....0).....	.0	.0
36.20.000 shares preferred (value included in Line 30 \$.....0).....	.0	.0
37. Surplus (Total Lines 31 + 32 + 33 + 34 + 35 - 36) (including \$.....0 in Separate Accounts Statement).....	29,955,570	24,975,866
38. Totals of Lines 29, 30 and 37.....	32,455,570	27,475,866
39. Totals of Lines 28 and 38 (Page 2, Line 28, Col. 3).....	455,647,500	464,901,129

DETAILS OF WRITE-INS

2501. Miscellaneous liability.....	18,038	13,270
2502.0	.0
2503.0	.0
2598. Summary of remaining write-ins for Line 25 from overflow page.....	.0	.0
2599. Totals (Lines 2501 thru 2503 plus 2598) (Line 25 above).....	18,038	13,270
3101.0	.0
3102.0	.0
3103.0	.0
3198. Summary of remaining write-ins for Line 31 from overflow page.....	.0	.0
3199. Totals (Lines 3101 thru 3103 plus 3198) (Line 31 above).....	.0	.0
3401.0	.0
3402.0	.0
3403.0	.0
3498. Summary of remaining write-ins for Line 34 from overflow page.....	.0	.0
3499. Totals (Lines 3401 thru 3403 plus 3498) (Line 34 above).....	.0	.0

SUMMARY OF OPERATIONS

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
1. Premiums and annuity considerations for life and accident and health contracts.....	(749,461)	1,384,710	5,229,068
2. Considerations for supplementary contracts with life contingencies.....	0	0	0
3. Net investment income.....	11,625,591	11,502,641	18,478,735
4. Amortization of Interest Maintenance Reserve (IMR).....	67,728	18,385	84,595
5. Separate Accounts net gain from operations excluding unrealized gains or losses.....	0	0	0
6. Commissions and expense allowances on reinsurance ceded.....	1,869,344	2,581,146	4,933,490
7. Reserve adjustments on reinsurance ceded.....	0	0	0
8. Miscellaneous Income:			
8.1 Income from fees associated with investment management, administration and contract guarantees from Separate Accounts.....	0	0	0
8.2 Charges and fees for deposit-type contracts.....	0	0	0
8.3 Aggregate write-ins for miscellaneous income.....	467	70	363
9. Totals (Lines 1 to 8.3).....	12,813,669	15,486,952	28,726,251
10. Death benefits.....	6,874,219	11,331,696	17,026,129
11. Matured endowments (excluding guaranteed annual pure endowments).....	0	0	0
12. Annuity benefits.....	0	0	0
13. Disability benefits and benefits under accident and health contracts.....	24,024	26,288	52,090
14. Coupons, guaranteed annual pure endowments and similar benefits.....	0	0	0
15. Surrender benefits and withdrawals for life contracts.....	6,402,593	1,742,580	3,734,873
16. Group conversions.....	0	0	0
17. Interest and adjustments on contract or deposit-type contract funds.....	60,694	127,108	292,569
18. Payments on supplementary contracts with life contingencies.....	0	0	0
19. Increase in aggregate reserves for life and accident and health contracts.....	(8,942,521)	(5,849,369)	425,310
20. Totals (Lines 10 to 19).....	4,419,009	7,378,303	21,530,971
21. Commissions on premiums, annuity considerations and deposit-type contract funds (direct business only).....	835,692	786,068	3,090,648
22. Commissions and expense allowances on reinsurance assumed.....	0	0	0
23. General insurance expenses.....	310,755	410,754	755,643
24. Insurance taxes, licenses and fees, excluding federal income taxes.....	70,844	(25,257)	9,126
25. Increase in loading on deferred and uncollected premiums.....	(132,269)	36,770	104,412
26. Net transfers to or (from) Separate Accounts net of reinsurance.....	0	0	0
27. Aggregate write-ins for deductions.....	29,577	85,241	357,998
28. Totals (Lines 20 to 27).....	5,533,608	8,671,879	25,848,798
29. Net gain from operations before dividends to policyholders and federal income taxes (Line 9 minus Line 28).....	7,280,061	6,815,073	2,877,453
30. Dividends to policyholders.....	0	0	0
31. Net gain from operations after dividends to policyholders and before federal income taxes (Line 29 minus Line 30).....	7,280,061	6,815,073	2,877,453
32. Federal and foreign income taxes incurred (excluding tax on capital gains).....	1,805,557	2,256,284	293,436
33. Net gain from operations after dividends to policyholders and federal income taxes and before realized capital gains or (losses) (Line 31 minus Line 32).....	5,474,504	4,558,789	2,584,017
34. Net realized capital gains (losses) (excluding gains (losses) transferred to the IMR) less capital gains tax of \$.....1,477 (excluding taxes of \$.....203,220 transferred to the IMR).....	(1,477)	0	(2,657)
35. Net income (Line 33 plus Line 34).....	5,473,027	4,558,789	2,581,360
CAPITAL AND SURPLUS ACCOUNT			
36. Capital and surplus, December 31, prior year.....	27,475,866	64,846,502	64,846,502
37. Net income (Line 35).....	5,473,027	4,558,789	2,581,360
38. Change in net unrealized capital gains (losses) less capital gains tax of \$.....0.....	0	0	0
39. Change in net unrealized foreign exchange capital gain (loss).....	0	0	0
40. Change in net deferred income tax.....	(669,871)	(78,783)	(823,330)
41. Change in nonadmitted assets.....	56,394	(419,862)	783,119
42. Change in liability for reinsurance in unauthorized and certified companies.....	0	0	0
43. Change in reserve on account of change in valuation basis, (increase) or decrease.....	0	0	0
44. Change in asset valuation reserve.....	120,154	88,313	88,215
45. Change in treasury stock.....	0	0	0
46. Surplus (contributed to) withdrawn from Separate Accounts during period.....	0	0	0
47. Other changes in surplus in Separate Accounts Statement.....	0	0	0
48. Change in surplus notes.....	0	0	0
49. Cumulative effect of changes in accounting principles.....	0	0	0
50. Capital changes:			
50.1 Paid in.....	0	0	0
50.2 Transferred from surplus (Stock Dividend).....	0	0	0
50.3 Transferred to surplus.....	0	0	0
51. Surplus adjustment:			
51.1 Paid in.....	0	0	(14,153,499)
51.2 Transferred to capital (Stock Dividend).....	0	0	0
51.3 Transferred from capital.....	0	0	0
51.4 Change in surplus as a result of reinsurance.....	0	0	0
52. Dividends to stockholders.....	0	0	(25,846,501)
53. Aggregate write-ins for gains and losses in surplus.....	0	0	0
54. Net change in capital and surplus (Lines 37 through 53).....	4,979,704	4,148,457	(37,370,636)
55. Capital and surplus as of statement date (Lines 36 + 54).....	32,455,570	68,994,959	27,475,866
DETAILS OF WRITE-INS			
08.301. Sundry receipts and adjustments.....	467	70	363
08.302.	0	0	0
08.303.	0	0	0
08.398. Summary of remaining write-ins for Line 8.3 from overflow page.....	0	0	0
08.399. Totals (Lines 08.301 thru 08.303 plus 08.398) (Line 8.3 above).....	467	70	363
2701. Increase (decrease) in certain liabilities.....	13,040	26,366	64,793
2702. Sundry disbursements and adjustments.....	16,537	58,875	293,205
2703.	0	0	0
2798. Summary of remaining write-ins for Line 27 from overflow page.....	0	0	0
2799. Totals (Lines 2701 thru 2703 plus 2798) (Line 27 above).....	29,577	85,241	357,998
5301.	0	0	0
5302.	0	0	0
5303.	0	0	0
5398. Summary of remaining write-ins for Line 53 from overflow page.....	0	0	0
5399. Totals (Lines 5301 thru 5303 plus 5398) (Line 53 above).....	0	0	0

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
CASH FLOW

	1 Current Year to Date	2 Prior Year To Date	3 Prior Year Ended December 31
CASH FROM OPERATIONS			
1. Premiums collected net of reinsurance.....	(21,912,278)	928,936	23,737,830
2. Net investment income.....	10,269,240	12,002,393	20,935,414
3. Miscellaneous income.....	3,970,747	829,630	1,930,296
4. Total (Lines 1 through 3).....	(7,672,291)	13,760,959	46,603,540
5. Benefit and loss related payments.....	11,781,846	(28,369,860)	(20,067,514)
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts.....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions.....	987,130	1,968,957	5,352,939
8. Dividends paid to policyholders.....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$.....0 tax on capital gains (losses).....	0	0	258,072
10. Total (Lines 5 through 9).....	12,768,976	(26,400,903)	(14,456,503)
11. Net cash from operations (Line 4 minus Line 10).....	(20,441,267)	40,161,862	61,060,043
CASH FROM INVESTMENTS			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds.....	43,580,852	7,977,825	38,622,359
12.2 Stocks.....	0	0	0
12.3 Mortgage loans.....	0	0	0
12.4 Real estate.....	0	0	0
12.5 Other invested assets.....	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments.....	0	0	0
12.7 Miscellaneous proceeds.....	0	0	0
12.8 Total investment proceeds (Lines 12.1 to 12.7).....	43,580,852	7,977,825	38,622,359
13. Cost of investments acquired (long-term only):			
13.1 Bonds.....	18,812,706	8,911,731	22,694,296
13.2 Stocks.....	0	0	0
13.3 Mortgage loans.....	0	0	0
13.4 Real estate.....	0	0	0
13.5 Other invested assets.....	0	0	0
13.6 Miscellaneous applications.....	0	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6).....	18,812,706	8,911,731	22,694,296
14. Net increase or (decrease) in contract loans and premium notes.....	1,331,965	35,850,283	35,794,544
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14).....	23,436,181	(36,784,189)	(19,866,481)
CASH FROM FINANCING AND MISCELLANEOUS SOURCES			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes.....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	(14,153,499)
16.3 Borrowed funds.....	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities.....	0	0	0
16.5 Dividends to stockholders.....	0	0	25,846,501
16.6 Other cash provided (applied).....	(5,380,984)	(26,286,939)	(24,734,907)
17. Net cash from financing and miscellaneous sources (Lines 16.1 through 16.4 minus Line 16.5 plus Line 16.6).....	(5,380,984)	(26,286,939)	(64,734,907)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11 plus Line 15 plus Line 17).....	(2,386,070)	(22,909,266)	(23,541,345)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	28,801,318	52,342,663	52,342,663
19.2 End of period (Line 18 plus Line 19.1).....	26,415,248	29,433,397	28,801,318
Note: Supplemental disclosures of cash flow information for non-cash transactions:			
20.0001	0	0	0

EXHIBIT 1

DIRECT PREMIUMS AND DEPOSIT-TYPE CONTRACTS

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
1. Industrial life.....	0	0	0
2. Ordinary life insurance.....	10,107,493	9,600,315	38,417,259
3. Ordinary individual annuities.....	0	0	0
4. Credit life (group and individual).....	0	0	0
5. Group life insurance.....	0	0	0
6. Group annuities.....	0	0	0
7. A&H - group.....	0	0	0
8. A&H - credit (group and individual).....	0	0	0
9. A&H - other.....	0	0	0
10. Aggregate of all other lines of business.....	0	0	0
11. Subtotal.....	10,107,493	9,600,315	38,417,259
12. Deposit-type contracts.....	0	0	0
13. Total.....	10,107,493	9,600,315	38,417,259

DETAILS OF WRITE-INS

1001.....	0	0	0
1002.....	0	0	0
1003.....	0	0	0
1098. Summary of remaining write-ins for Line 10 from overflow page.....	0	0	0
1099. Total (Lines 1001 thru 1003 plus 1098) (Line 10 above).....	0	0	0

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
NOTES TO FINANCIAL STATEMENTS

Note 1 - Summary of Significant Accounting Policies

A. Accounting Practices

	State of Domicile	2014	2013
NET INCOME			
(1) AXA EQUITABLE LIFE AND ANNUITY COMPANY state basis (Page 4, Line 35, Columns 1 & 2)	CO	5,473,027	4,558,789
(2) State Prescribed Practices that increase/decrease NAIC SAP			
(3) State Permitted Practices that increase/decrease NAIC SAP			
(4) NAIC SAP (1 - 2 - 3 = 4)	CO	5,473,027	4,558,789
SURPLUS			
(5) AXA EQUITABLE LIFE AND ANNUITY COMPANY state basis (Page 3, line 37, Columns 1 & 2)	CO	29,955,570	24,975,866
(6) State Prescribed Practices that increase/decrease NAIC SAP			
(7) State Permitted Practices that increase/decrease NAIC SAP			
(8) NAIC SAP (5 - 6 - 7 = 8)	CO	29,955,570	24,975,866

Note 2 - Accounting Changes and Corrections of Errors

No significant change.

Note 3 - Business Combinations and Goodwill

No significant change.

Note 4 - Discontinued Operations

No significant change.

Note 5 - Investments

D. Loan Based Securities.

All impaired (fair value is less than cost or amortized cost) loan-backed securities for which an other-than-temporary-impairment has not been recognized in earnings as a realized loss (including securities with a recognized other-than-temporary impairment for non-interest related declines when a non-recognized interest related impairment remains) as of 06/30/2014:

a. The aggregate amount of Unrealized Losses:

1. Less than 12 Months	\$	<u>0</u>
2. 12 Months or Longer	\$	<u>0</u>

b. The aggregate related fair value of securities with Unrealized Losses:

1. Less than 12 Months	\$	<u>0</u>
2. 12 Months or Longer	\$	<u>0</u>

The aggregate unrealized losses include \$0 of valuation allowance already recognized through surplus.

	1 Unrealized Losses as of 06/30/2014	2 Fair Value
--	--	-----------------

Securities in continuous unrealized loss position	< 12 months	0	0
Securities in continuous unrealized loss position	≥ 12 months	0	0

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
NOTES TO FINANCIAL STATEMENTS

The \$0 of unrealized losses includes \$0 of valuation allowance already recognized through surplus.

Loan-backed securities for which an other-than-temporary-impairment was recognized in the current year as of **06/30/14** are as follows:

		1	2a	2b	3
		Amortized Cost Basis before Other than Temporary Impairment (OTTI)	Unrealized Losses	Other than Temporary Impairment Recognized	Fair Value * 1-(2a+2b)
			Interest	Non-interest	
OTTI recognized 1st Quarter					
a.	Intent to Sell	0	0	0	0
b.	Inability or lack of intent to retain the investment in the security for a period of time sufficient to recover amortized cost basis	0	0	0	0
c.	Total 2nd Quarter	\$ 0	0	0	0

Each loan-backed security held as of 06/30/2014 with a recognized other-than-temporary-impairment recorded during the current year, reflecting the present value of cash flows expected to be collected as less than the amortized cost basis of the securities, is as follows:

1	2	3	4	5	6	7
CUSIP	Book/Adjusted Carrying Value Amortized Cost before Current Year OTTI	Present value of Projected Cash Flows	Recognized other-than-temporary-impairment 2-3	Amortized Cost after other-than-temporary-impairment 2-4	Fair Value at time of OTTI	Date of Financial Statement Where Reported
Total	\$ XXX	XXX	0	XXX	XXX	XXX

E-H: None

I. Working Capital Finance Investment

The Company does not hold any working capital finance investment.

Note 6 - Joint Ventures, Partnerships and Limited Liability Companies

No significant change.

Note 7 - Investment Income

No significant change.

Note 8 - Derivative Instruments

No significant change.

Note 9 - Income Taxes

No significant change.

Note 10 - Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties

No significant change.

Note 11 - Debt

No significant change.

B. Federal Home Loan Bank ("FHLB")

The Company has no FHLB agreement

Note 12 - Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

None

Note 13 - Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

None.

Note 14 - Contingencies

(A) Insurance Litigation

A number of lawsuits, claims and assessments have been filed against life and health insurers in the jurisdictions in which the Company does business involving insurers' sales practices, alleged agent misconduct, alleged failure to properly supervise agents, contract administration and other matters. Some of the matters have resulted in the award of substantial judgments against other insurers, including material amounts of punitive damages, or in substantial settlements. In some states, juries have substantial discretion in awarding punitive damages. The Company, like other life and health insurers, from time to time is involved in such proceedings. Some of these actions and proceedings filed against the Company have been brought on behalf of various alleged classes of claimants and certain of these claimants seek damages of unspecified amounts. While the ultimate outcome of such matters cannot be predicted with certainty, in the opinion of management no such matter is likely to have a material adverse effect on the Company's financial position or results of operations. However, it should be noted that the frequency of large damage awards, including large punitive damage awards that bear little or no relation to actual economic damages incurred by plaintiffs in some jurisdictions, continues to create the potential for an unpredictable judgment in any given matter.

Although the outcome of litigation and regulatory matters cannot be predicted with certainty, The Company's management believes that the ultimate resolution of the matters described above should not have a material adverse effect on the financial position of the Company. The Company's management cannot make an estimate of loss, if any, or predict whether or not such litigations and regulatory matters will have a material adverse effect on the Company's results of operations in any particular period.

Note 15 - Leases

No significant change.

Note 16 - Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No significant change.

Note 17 - Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

- (b) None.
- (c) AXA Equitable Life and Annuity Company had "No wash Sales".

Note 18 - Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

No significant change.

Note 19 - Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

No significant change.

Note 20 - Fair Value

- C. Aggregate Fair Value of all Financial Instruments

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
NOTES TO FINANCIAL STATEMENTS

The following table discloses carrying value and estimated fair value (defined within the fair value hierarchy) as of June 30, 2014 for financial instruments :

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	Not Practicable (Carrying Value)
Bonds	148,848,467	138,275,169	0.00	138,380,522	10,467,945	
Preferred Stock						
Common Stock						
Mortgage Loans on Real Estate						
Other Invested Assets						
Derivatives						
Separate Accounts						
Policyholders liabilities:						
Investment Contracts						

Fair Value Measurements at Reporting Date

D. None

The Company has no invested assets that were required to be reported at fair value as of June 30, 2014.

Note 21 - Other Items

A.-G No significant change.

H. Offsetting and Netting of Assets and Liabilities – None

I. Joint and Several Liabilities – None

J. Risk Sharing Provision of the Affordable Care Act

The Company has no assets, liabilities or revenue elements related to the Risk Sharing Provisions of the Affordable Care Act.

Note 22 - Events Subsequent

No significant change.

Note 23 - Reinsurance

No significant change.

Note 24 - Retrospectively Rated Contracts & Contracts Subject to Redetermination

No significant change.

Note 25 - Change in Incurred Losses and Loss Adjustment Expenses

N/A.

Note 26 - Intercompany Pooling Arrangements

No significant change.

Note 27 - Structured Settlements

No significant change.

Note 28 - Health Care Receivables

No significant change.

Note 29 - Participating Policies

No significant change.

Note 30 - Premium Deficiency Reserves

No significant change.

Note 31 - Reserves for Life Contracts and Annuity Contracts

No significant change.

Note 32 - Analysis of Annuity Actuarial Reserves and Deposit Liabilities by Withdrawal Characteristics

No significant change.

Note 33 - Premiums and Annuity Considerations Deferred and Uncollected

No significant change.

Note 34 - Separate Accounts

No significant change.

Note 35 - Loss/Claim Adjustment Expenses

No significant change.

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES - GENERAL

- 1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act? Yes [] No [X]
- 1.2 If yes, has the report been filed with the domiciliary state? Yes [] No []
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? If yes, complete Schedule Y, Parts 1 and 1A. Yes [X] No []
- 3.2 Have there been any substantial changes in the organizational chart since the prior quarter end? Yes [] No [X]
- 3.3 If the response to 3.2 is yes, provide a brief description of those changes.

- 4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 4.2 If yes, provide name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved? If yes, attach an explanation. Yes [] No [X] N/A []

- 6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.12/10/2010.....
- 6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.12/10/2010.....
- 6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).1/20/2012.....
- 6.4 By what department or departments?
 Division of Insurance of the State of Colorado

- 6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 6.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 7.2 If yes, give full information:

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If the response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator].

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
AllianceBernstein Investments, Inc.	New York, NY				YES
Alliance Corporate Finance Group, Inc.	New York, NY				YES
AllianceBernstein Corporation	New York, NY				YES
AllianceBernstein Global Derivatives Corporation	New York, NY				YES
AllianceBernstein Holding LP	New York, NY				YES
AllianceBernstein LP	New York, NY				YES
Sanford C. Bernstein & Co. LLC	New York, NY				YES
AXA Advisors, LLC	New York, NY				YES
AXA Distributors, LLC	New York, NY				YES
AXA Equitable Life Insurance Company	New York, NY				YES
AXA Equitable Funds Management Group, LLC	New York, NY				YES
AXA Investment Managers, Inc.	Greenwich, CT				YES
ARDIAN US, LLC	New York, NY				YES
AXA Real Estate Investment Managers US, LLC	New York, NY				YES
AXA Rosenberg Investment Management, LLC	Orinda, CA				YES
W.P. STEWART & CO., LTD	New York, NY				YES
W.P. STEWART ASSET MANAGEMENT LTD.	New York, NY				YES

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES - GENERAL

- 9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain:

9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

PART 1 - FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No []

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$.....0

PART 1 - INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]

11.2 If yes, give full and complete information relating thereto:

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$.....0

13. Amount of real estate and mortgages held in short-term investments: \$.....0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [] No [X]

14.2 If yes, please complete the following:

	1 Prior Year-End Book/Adjusted Carrying Value	2 Current Quarter Book/Adjusted Carrying Value
14.21 Bonds.....	\$0	\$0
14.22 Preferred Stock.....	\$0	\$0
14.23 Common Stock.....	\$0	\$0
14.24 Short-Term Investments.....	\$0	\$0
14.25 Mortgage Loans on Real Estate.....	\$0	\$0
14.26 All Other.....	\$0	\$0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above.....	\$0	\$0

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? If no, attach a description with this statement. Yes [] No [X]

16. For the reporting entity's security lending program, state the amount of the following as of current statement date:
- 16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$.....0
 - 16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2: \$.....0
 - 16.3 Total payable for securities lending reported on the liability page: \$.....0

17. Excluding items in Schedule E-Part 3-Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase	270 Park Avenue, New York, NY 10017
BNY Mellon Liquidity Direct	One Wall Street, New York, NY 10286

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation.

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

PART 1 - INVESTMENT

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Identify all investment advisors, broker/dealers or individuals acting on behalf of broker/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository	2 Name(s)	3 Address
902	AllianceBernstein, LLP	1345 Avenue of the Americas, New York, NY 10105

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No []

18.2 If no, list exceptions:

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
GENERAL INTERROGATORIES (continued)
PART 2 - LIFE & HEALTH

1. Report the statement value of mortgage loans at the end of this reporting period for the following categories:

		Amount
1.1	Long-term mortgages in good standing	
1.11	Farm mortgages.....	\$.....0
1.12	Residential mortgages.....	\$.....0
1.13	Commercial mortgages.....	\$.....0
1.14	Total mortgages in good standing.....	\$.....0
1.2	Long-term mortgages in good standing with restructured terms	
1.21	Total mortgages in good standing with restructured terms.....	\$.....0
1.3	Long-term mortgage loans upon which interest is overdue more than three months	
1.31	Farm mortgages.....	\$.....0
1.32	Residential mortgages.....	\$.....0
1.33	Commercial mortgages.....	\$.....0
1.34	Total mortgages with interest overdue more than three months.....	\$.....0
1.4	Long-term mortgage loans in process of foreclosure	
1.41	Farm mortgages.....	\$.....0
1.42	Residential mortgages.....	\$.....0
1.43	Commercial mortgages.....	\$.....0
1.44	Total mortgages in process of foreclosure.....	\$.....0
1.5	Total mortgage loans (Lines 1.14 + 1.21 + 1.34 + 1.44) (Page 2, Column 3, Lines 3.1 + 3.2)	\$.....0
1.6	Long-term mortgages foreclosed, properties transferred to real estate in current quarter	
1.61	Farm mortgages.....	\$.....0
1.62	Residential mortgages.....	\$.....0
1.63	Commercial mortgages.....	\$.....0
1.64	Total mortgages foreclosed and transferred to real estate.....	\$.....0
2.	Operating Percentages:	
2.1	A&H loss percent.....0.0
2.2	A&H cost containment percent.....0.0
2.3	A&H expense percent excluding cost containment expenses.....0.0
3.1	Do you act as a custodian for health savings accounts?.....	Yes [] No [X]
3.2	If yes, please provide the amount of custodial funds held as of the reporting date.....	\$.....0
3.3	Do you act as an administrator for health savings accounts?.....	Yes [] No [X]
3.4	If yes, please provide the balance of the funds administered as of the reporting date.....	\$.....0

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Reinsurer	5 Domiciliary Jurisdiction	6 Type of Reinsurance Ceded	7 Type of Reinsurer	8 Certified Reinsurer Rating (1 through 6)	9 Effective Date of Certified Reinsurer Rating
Life Non-Affiliates								
00000.....	AA-1129000.....	04/01/2014	Lloyd's Underwriter Syndicate No. 3000 MKL.....	GBR.....	CAT.....	Unauthorized....0.....00/00/0000
00000.....	AA-1127301.....	04/01/2014	Lloyd's Underwriter Syndicate No. 1301 BGT.....	GBR.....	CAT.....	Unauthorized....0.....00/00/0000
00000.....	AA-1120064.....	04/01/2014	Lloyd's Underwriter Syndicate No. 1919 CVS.....	GBR.....	CAT.....	Unauthorized....0.....00/00/0000

AXA EQUITABLE LIFE AND ANNUITY COMPANY
SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

1	Direct Business Only						
	Active Status	Life Contracts		4 Accident and Health Insurance Premiums, Including Policy, Membership and Other Fees	5 Other Considerations	6 Total Columns 2 through 5	7 Deposit-Type Contracts
		2 Life Insurance Premiums	3 Annuity Considerations				
States, Etc.							
1. Alabama.....AL.....L.....	L	195,546	0	0	0	195,546	0
2. Alaska.....AK.....L.....	L	1,626	0	0	0	1,626	0
3. Arizona.....AZ.....L.....	L	67,472	0	0	0	67,472	0
4. Arkansas.....AR.....L.....	L	35,892	0	0	0	35,892	0
5. California.....CA.....L.....	L	329,986	0	0	0	329,986	0
6. Colorado.....CO.....L.....	L	93,340	0	0	0	93,340	0
7. Connecticut.....CT.....L.....	L	1,382,684	0	0	0	1,382,684	0
8. Delaware.....DE.....L.....	L	5,414	0	0	0	5,414	0
9. District of Columbia.....DC.....L.....	L	25,492	0	0	0	25,492	0
10. Florida.....FL.....L.....	L	882,578	0	0	0	882,578	0
11. Georgia.....GA.....L.....	L	198,779	0	0	0	198,779	0
12. Hawaii.....HI.....L.....	L	54,938	0	0	0	54,938	0
13. Idaho.....ID.....L.....	L	2,179	0	0	0	2,179	0
14. Illinois.....IL.....L.....	L	721,021	0	0	0	721,021	0
15. Indiana.....IN.....L.....	L	43,133	0	0	0	43,133	0
16. Iowa.....IA.....L.....	L	35,938	0	0	0	35,938	0
17. Kansas.....KS.....L.....	L	35,202	0	0	0	35,202	0
18. Kentucky.....KY.....L.....	L	45,755	0	0	0	45,755	0
19. Louisiana.....LA.....L.....	L	190,523	0	0	0	190,523	0
20. Maine.....ME.....L.....	L	13,981	0	0	0	13,981	0
21. Maryland.....MD.....L.....	L	147,202	0	0	0	147,202	0
22. Massachusetts.....MA.....L.....	L	163,500	0	0	0	163,500	0
23. Michigan.....MI.....L.....	L	432,240	0	0	0	432,240	0
24. Minnesota.....MN.....L.....	L	107,544	0	0	0	107,544	0
25. Mississippi.....MS.....L.....	L	73,634	0	0	0	73,634	0
26. Missouri.....MO.....L.....	L	238,845	0	0	0	238,845	0
27. Montana.....MT.....L.....	L	1,560	0	0	0	1,560	0
28. Nebraska.....NE.....L.....	L	192,977	0	0	0	192,977	0
29. Nevada.....NV.....L.....	L	10,946	0	0	0	10,946	0
30. New Hampshire.....NH.....L.....	L	680	0	0	0	680	0
31. New Jersey.....NJ.....L.....	L	1,341,224	0	0	0	1,341,224	0
32. New Mexico.....NM.....L.....	L	20,442	0	0	0	20,442	0
33. New York.....NY.....N.....	N	1,601,626	0	0	0	1,601,626	0
34. North Carolina.....NC.....L.....	L	231,334	0	0	0	231,334	0
35. North Dakota.....ND.....L.....	L	22,431	0	0	0	22,431	0
36. Ohio.....OH.....L.....	L	225,828	0	0	0	225,828	0
37. Oklahoma.....OK.....L.....	L	111,202	0	0	0	111,202	0
38. Oregon.....OR.....L.....	L	21,545	0	0	0	21,545	0
39. Pennsylvania.....PA.....L.....	L	634,719	0	0	0	634,719	0
40. Rhode Island.....RI.....L.....	L	5,647	0	0	0	5,647	0
41. South Carolina.....SC.....L.....	L	71,084	0	0	0	71,084	0
42. South Dakota.....SD.....L.....	L	74,461	0	0	0	74,461	0
43. Tennessee.....TN.....L.....	L	224,169	0	0	0	224,169	0
44. Texas.....TX.....L.....	L	320,654	0	0	0	320,654	0
45. Utah.....UT.....L.....	L	30,751	0	0	0	30,751	0
46. Vermont.....VT.....L.....	L	1,188	0	0	0	1,188	0
47. Virginia.....VA.....L.....	L	253,657	0	0	0	253,657	0
48. Washington.....WA.....L.....	L	50,263	0	0	0	50,263	0
49. West Virginia.....WV.....L.....	L	18,455	0	0	0	18,455	0
50. Wisconsin.....WI.....L.....	L	168,551	0	0	0	168,551	0
51. Wyoming.....WY.....L.....	L	3,327	0	0	0	3,327	0
52. American Samoa.....AS.....N.....	N	0	0	0	0	0	0
53. Guam.....GU.....N.....	N	0	0	0	0	0	0
54. Puerto Rico.....PR.....N.....	N	127,912	0	0	0	127,912	0
55. US Virgin Islands.....VI.....N.....	N	0	0	0	0	0	0
56. Northern Mariana Islands.....MP.....N.....	N	0	0	0	0	0	0
57. Canada.....CAN.....N.....	N	2,578	0	0	0	2,578	0
58. Aggregate Other Alien.....OT.....XXX.....	XXX	65,649	0	0	0	65,649	0
59. Subtotal.....(a).....50.....	(a) 50	11,359,304	0	0	0	11,359,304	0
90. Reporting entity contributions for employee benefit plans.....	XXX	0	0	0	0	0	0
91. Dividends or refunds applied to purchase paid-up additions and annuities.....	XXX	0	0	0	0	0	0
92. Dividends or refunds applied to shorten endowment or premium paying period.....	XXX	0	0	0	0	0	0
93. Premium or annuity considerations waived under disability or other contract provisions.....	XXX	24,024	0	0	0	24,024	0
94. Aggregate other amounts not allocable by State.....	XXX	0	0	0	0	0	0
95. Totals (Direct Business).....	XXX	11,383,328	0	0	0	11,383,328	0
96. Plus Reinsurance Assumed.....	XXX	0	0	0	0	0	0
97. Totals (All Business).....	XXX	11,383,328	0	0	0	11,383,328	0
98. Less Reinsurance Ceded.....	XXX	33,295,606	0	0	0	33,295,606	0
99. Totals (All Business) less Reinsurance Ceded.....	XXX	(21,912,278)	0	0	0	(21,912,278)	0

DETAILS OF WRITE-INS

58001. United Kingdom.....	XXX	372	0	0	0	372	0
58002. Other.....	XXX	48,074	0	0	0	48,074	0
58003. Mexico.....	XXX	17,203	0	0	0	17,203	0
58998. Summary of remaining write-ins for line 58 from overflow page.....	XXX	0	0	0	0	0	0
58999. Total (Lines 58001 thru 58003 plus 58998) (Line 58 above).....	XXX	65,649	0	0	0	65,649	0
9401.	XXX	0	0	0	0	0	0
9402.	XXX	0	0	0	0	0	0
9403.	XXX	0	0	0	0	0	0
9498. Summary of remaining write-ins for line 94 from overflow page.....	XXX	0	0	0	0	0	0
9499. Total (Lines 9401 thru 9403 plus 9498) (Line 94 above).....	XXX	0	0	0	0	0	0

(L) - Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) - Registered - Non-domiciled RRGs; (Q) - Qualified - Qualified or Accredited Reinsurer;

(E) - Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) - None of the above - Not allowed to write business in the state.

(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Ownership %	Federal ID#	NAIC Code	State of Domicile
AXA SA	100.00			
AXA Assistance SA	100.00			
AXA Inter Partner Assistance - Belgium	98.75			
AXA Corporate Solutions Assurance - France	100.00			
MATRIX	100.00			
AXA Group Solutions - France	100.00			
AXA Assistance Inc. USA	73.77			
AXA Investment Managers	100.00			
AXA Investment Managers - France	100.00			
AXA Rosenberg Group LLC	100.00			
AXA IM Rose Inc	100.00	22-3624513		
AllianceBernstein LP	14.82	13-4064930		
AXA Mediterranean Holdings, S.A.U	100.00			
AXA Millésimes	42.34			
AXA Real Estate Investment Managers	100.00			
AXA Technology Services	100.00			
AXA Belgium	100.00			
AXA Life Insurance Company Ltd. - Hong Kong	100.00			
AXA General Ins. Hong Kong Ltd. - Hong Kong	100.00			
AXA General Insurance China Ltd	100.00			
AXA China - France	51.00			
AXA-Mimentals Assurance Company Limited	51.00			
AXA Societe Beaujon	100.00			
AXA Pojistovna a.s.	100.00			
AXA Zivtni Pojistovna a.s.	100.00			
AXA Penzijni Fond a.s.	99.98			
AXA Biztosito Zrt.	100.00			
AXA Zycie Towarzystwo Ubezpieczen S.A.	90.24			
AXA Powszechnie Towarzystwo Emerytalne S.A.	100.00			
AXA Towarzystwo Ubezpieczen i Reasekuracji S.A.	100.00			
AXA Life Insurance SA	99.90			
AXA Business Services Private Limited	99.99			
Compagnie Financiere de Paris	100.00			
AXA France Assurance	100.00			
AXA Corporate Solutions Assurance	98.75			
AXA Global Life	100.00			
AXA Global P&C	100.00			
AXA Liabilities Managers - France	99.90			
AXA Liabilities Managers - US	100.00			
AXA DBIO GP S.a.r.l.	100.00			
AXA DBIO S.C.A.	9.74			
GALE Ruckversicherungs-AG	100.00			
GLOBAL Group of Australia Pty. Ltd.	100.00			
GLOBAL Life Reinsurance Company of Australia	100.00			
GLOBAL U.S. Holdings, Inc.	100.00	13-3907460		
GLOBAL Reinsurance Corporation of America	100.00	13-5009848	21032	NY
GLOBAL Reinsurance Canada Holdings Inc.	100.00			
GLOBAL Reinsurance Company	100.00			
Colisee Re - France	99.90	AA-1320035		
AXA DBIO S.C.A.	21.67			

Q12

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Ownership %	Federal ID#	NAIC Code	State of Domicile
AXA SA	100.00			
AXA Konzern AG	100.00			
AXA Investment Managers	5.20			
WinCom Versicherungs-Holding AG	100.00			
DBV Deutsche Beamtenversicherung Leben AG	94.90			
DBV Deutsche Beamtenversicherung AG	100.00			
DBV Deutsche Lebensversicherung AG	100.00			
AXA ART Versicherung AG - Cllogne	100.00			
AXA Art Holdings Inc.	100.00			
Fine Art Service International Inc.	100.00			
AXA Art Insurance Corporation	100.00	13-3368745	29530	NY
AXA Szolgaltato Kft.	100.00			
AXA India Holdings	100.00			
Bharti AXA Life Insurance Company	22.22			
Bharti AXA General Insurance	100.00			
National Mutual International Pty Limited	100.00			
AXA-AFFIN Life Insurance Berhad	49.00			
AXA Financial Services (Singapore) Pte Ltd.	100.00			
ipac financial planning Taiwan Limited	100.00			
AXA Insurance Singapore Pte Ltd	100.00			
AXA Asia Regional Centre Pte Ltd	100.00			
P.T. Asuransi AXA Indonesia	80.00			
P.T. Life Indonesia	80.00			
AXA Financial Services (Singapore) Pte Ltd.	100.00			
AXA Life Europe	100.00			
AXA Global Distributors (Ireland) Limited	100.00			
AXA Ireland Limited	100.00			
AXA mps Financial Ltd.	100.00			
AXA Italia S.p.A.	98.24			
AXA Assicurazioni S.p.A.	98.11			
AXA Japan Holding Co., Ltd	78.67			
AXA Life Insurance Co.,	100.00			
AXA General Insurance Co., Ltd	100.00			
AXA Collection Services Co. Ltd	100.00			
Nextia Life Insurance Co., Ltd	97.25			
AXA Seguros, S.A. de CV	99.94			
Voltaire Participacoes	100.00			
AXA Luxembourg SA	99.99			
Finance Solutions S.ar.l. ("Finso")	100.00			
Matignon Finance S.A.	100.00			
AXA-AFFIN General Insurance Berhad	42.40			
Philippine AXA Life Insurance Corporation	45.00			
AXA Middle East SAL Lebanon	49.00			
AXA Holding SAL	100.00			
AXA Gulf Holding W.L.L.	95.00			
AXA Holding Maroc	100.00			
AXA Assurance Maroc	100.00			

Q12.1

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Ownership %	Federal ID#	NAIC Code	State of Domicile
AXA SA	100.00			
Seguro Directo Gere Compania de Seguros SA	100.00			
AXA Portugal Companhia de Seguros SA	83.02			
AXA Portugal Companhia de Seguros Vida SA	87.63			
AXA Services s.r.o.	100.00			
EX-SR a.s. v likvdacii	100.00			
AXA d.s.s., a.s.	100.00			
AXA d.d.s., a.s.	100.00			
AXA General Insurance	94.13			
AXA Mediterraenan Holding, S.A.U	100.00			
Hilo Direct, Seguros y Reaseguros S.A.	99.99			
AXA MEDLA IT & Local Support Services, S.A.	100.00			
AXA Regional Services, S.A.	100.00			
AXA Seguros Generales, S.A. de Seguros y Reaseguros	99.89			
AXA Pensiones, S.A. E.G.F.P.	100.00			
AXA Tigris, S.A.	100.00			
AXA Vida, S.A.de Seguros y Reaseguros	99.80			
AXA Senegal	51.53			
AXA Cote d'Ivoire	78.64			
AXA Cameroun ©	99.90			
AXA Gabon	86.49			
AXA Versicherungen AG	100.00			
AXA Leben AG	100.00			
Rechtsschutz AG	66.67			
AXA Insurance Public Co. Ltd	24.99			
ASM Holdings Limited	48.80			
Krungthai-AXA Life Insurance Company Limited	25.00			
AXA Hayat ve Emeklilik A.S.	100.00			
AXA Sigorta A.S.	72.55			
AXA Insurance	50.29			
AXA Ukraine	50.00			
AXA Global Risks (Uk) Limited	100.00			
Hordel FV	100.00			
AXA Equity & Law Plc	99.90			
AXA UK PLC	46.90			
AXA UK PLC	53.10			
Bluefin Group Limited	100.00			
GBI Holdings Limited	100.00			
Guardian Royal Exchange PLC	100.00			
Architas Advisory Services Limited	100.00			
Architas Multi-Manager Limited	100.00			
AXA Sun Direct Limited	100.00			
Oudinot Participations France	100.00			

Q12.2

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Names of Parent, Subsidiaries or Affiliates	Ownership %	Federal ID#	NAIC Code	State of Domicile
AXA SA	100.00			
AXA America Holdings, Inc	100.00	90-0226248		
AXA Technology Services America Inc.	100.00	30-0011728		
AXA Corporate Solutions Life Reinsurance Company	100.00	04-2729166	68365	DE
CS Life Re Company	100.00	46-5697182	15502	AZ
AXA America Corporate Solutions, Inc.	100.00	36-3044045		
Coliseum Reinsurance Company	100.00	36-2994662	36552	DE
AllianceBernstein LP	3.01	13-4064930		
Mosaic Insurance Company	100.00	04-2482364	16187	DE
AXA Delaware LLC	100.00	13-4177328		
AXA Insurance Company	100.00	13-3594502	33022	NY
AXA Financial, Inc.	100.00	13-3623351		
787 Holdings, LLC	100.00	27-0294443		
1285 Holdings, LLC	100.00	46-1106388		
AllianceBernstein LP	12.19	13-4064930		
AXA Equitable Financial Services, LLC	100.00	52-2197822		
AXA Distribution Holding Corporation	100.00	13-4078005		
AXA Advisors, LLC	100.00	13-4071393		
AXA Network, LLC	100.00	06-1555494		
PlanConnect, LLC	100.00	27-1540220		
AXA RE Arizona Company	100.00	14-1903564	14355	AZ
AXA Equitable Life Insurance Company	100.00	13-5570651	62944	NY
AXA Equitable Funds Management Group, LLC	100.00	27-5373651		
Equitable Deal Flow Fund, LP	100.00	13-3385076		
EVSA, Inc	100.00	23-2671508		
Equitable Holdings, LLC	100.00	22-2766036		
Equitable Casualty Insurance Company	100.00	06-1166226	10589	VT
ECMC, LLC	100.00	13-3266813		
AllianceBernstein Corporation	100.00	13-3633538		
AXA Distributors, LLC	100.00	52-2233674		
JMR Realty services, Inc	100.00	13-3813232		
ACMC, LLC.	100.00	13-2677213		
AllianceBernstein LP	32.71	13-4064930		
AXA Equitable Life and Annuity Company	100.00	13-3198083	62880	CO
MONY Life Insurance Company of America	100.00	86-0222062	78077	AZ
AllianceBernstein LP	0.95	13-4064930		
U.S. Financial Life Insurance Company	100.00	38-2046096	84530	OH
MONY International Holdings, LLC	100.00	13-3790446		
MONY Life Insurance Company of the Americas, Ltd.	100.00	98-0152046		
MONY Financial Services, Inc	100.00	11-3722370		
Financial Marketing Agency, Inc.	100.00	31-1465146		
1740 Advisors, Inc.	100.00	13-2645490		

Q12.3

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
Members														
0968	AXA	00000	00-0000000	0000898427	0000898427	Paris Stock Exchange	AXA SA	FRA	UIP			0.000		0
0000		00000		0	0		AXA Assistance SA	FRA	NIA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Inter Partner Assistance - Belgium	BEL	NIA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Corporate Solutions Assurance - France	FRA	NIA	AXA	Ownership	98.750	AXA	0
0000		00000		0	0		MATRIX	USA	IA	AXA Corporate Solutions Assurance - Fr	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Group Solutions - France	FRA	NIA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Assistance Inc. USA	USA	NIA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Investment Managers	FRA	NIA	AXA	Ownership	73.770	AXA	0
0000		00000		0	0		AXA Investment Managers	FRA	NIA	AXA Konzern AG	Ownership	5.200	AXA	0
0000		00000		0	0		AXA Investment Managers - France	FRA	NIA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Investment Managers Holdings US	USA	NIA	AXA Investment Managers - France	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Rosenberg Group LLC	USA	NIA	AXA Investment Managers	Ownership	75.000	AXA	0
0000		00000	22-3624513	0001459848	0001459848		AXA IM Rose Inc	USA	NIA	AXA Investment Managers	Ownership	100.000	AXA	0
0000		00000	13-4064930	0001109448	0001109448		AllianceBernstein LP	USA	NIA	AXA IM Rose Inc	Ownership	14.820	AXA	0
0000		00000		0	0		AXA Mediterranean Holdings, S.A.U	ESP	NIA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Millésimes	PRT	NIA	AXA	Ownership	42.340	AXA	0
0000		00000		0	0		AXA Real Estate Investment Managers	FRA	NIA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Technology Services	FRA	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Belgium	BEL	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Life Insurance Company Ltd. - Hong Kong	CHN	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA General Ins. Hong Kong Ltd. - Hong Kong	CHN	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA General Insurance China Ltd.	CHN	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA China - France	FRA	NIA	AXA	Ownership	51.000	AXA	0
0000		00000		0	0		AXA-Mimentals Assurance Company Limited	CHN	IA	AXA China - France	Ownership	51.000	AXA	0
0000		00000		0	0		AXA Societe Beaujon	FRA	NIA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Pojistovna a.s.	CZE	IA	AXA Societe Beaujon	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Zivtni Pojistovna a.s.	CZE	IA	AXA Societe Beaujon	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Penzijni Fond a.s.	CZE	IA	AXA Societe Beaujon	Ownership	99.980	AXA	0
0000		00000		0	0		Compagnie Financiere de Paris	FRA	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA France Assurance	FRA	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Corporate Solutions Assurance	FRA	IA	AXA France Assurance	Ownership	98.750	AXA	0
0000		00000		0	0		AXA Global Life	FRA	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Global P&C	FRA	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Liabilities Managers- France	FRA	IA	AXA	Ownership	99.900	AXA	0
0000		00000		0	0		AXA Liabilities Managers-US	USA	IA	AXA Liabilities Managers- France	Ownership	100.000	AXA	0
0000		00000		0	0		AXA DBIO GP S.à.r.l.	LUX	IA	AXA Liabilities Managers- France	Ownership	100.000	AXA	0
0000		00000		0	0		AXA DBIO S.C.A.	LUX	IA	AXA DBIO GP S.à.r.l.	Ownership	9.740	AXA	0
0000		00000	13-3907460	0	0		GLOBAL U.S. Holdings, Inc	USA	IA	AXA DBIO S.C.A.	Ownership	100.000	AXA	0
0968		21032	13-5009848	0	0		GLOBAL Reinsurance Corporation of America	USA	IA	GLOBAL U.S. Holdings, Inc	Ownership	100.000	AXA	0
0000		00000		0	0		GlobALE Ruckversicherungs-AG	CHE	IA	AXA DBIO S.C.A.	Ownership	100.000	AXA	0
0000		00000		0	0		GLOBAL Group of Australia Pty. Ltd.	AUS	IA	AXA DBIO S.C.A.	Ownership	100.000	AXA	0
0000		00000		0	0		GLOBAL Life Reinsurance Company of Australia	AUS	IA	GLOBAL Group of Australia Pty. Ltd.	Ownership	100.000	AXA	0

Q13

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0000		00000		0			GLOBAL Reinsurance Canada Holdings Inc.....	CAN.....	IA.....	AXA DBIO S.C.A.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			GLOBAL Reinsurance Company.....	CAN.....	IA.....	GLOBAL Reinsurance Canada Holdings Inc.....	Ownership.....	100.000	AXA.....	0.....
0000		00000	AA-1320035	0			Colisee Re - France.....	FRA.....	IA.....	AXA.....	Ownership.....	99.900	AXA.....	0.....
0000		00000		0			AXA DBIO S.C.A.....	LUX.....	IA.....	Colisee Re - France.....	Ownership.....	21.670	AXA.....	0.....
0000		00000		0			AXA Konzern AG.....	DEU.....	NIA.....	AXA.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			WinCom Versicherungs-Holding AG.....	DEU.....	NIA.....	AXA Konzern AG.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			DBV Deutsche Beamtenversicherung Leben AG.....	DEU.....	IA.....	WinCom Versicherungs-Holding AG.....	Ownership.....	94.900	AXA.....	0.....
0000		00000		0			DBV Deutsche Beamtenversicherung AG.....	DEU.....	IA.....	WinCom Versicherungs-Holding AG.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			DBV Deutsche Lebensversicherung AG.....	DEU.....	IA.....	WinCom Versicherungs-Holding AG.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA ART Versicherung AG - Clogne.....	DEU.....	IA.....	AXA Konzern AG.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA Art Holdings Inc.....	USA.....	NIA.....	AXA ART Versicherung AG - Clogne.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			Fine Art Service International Inc.....	USA.....	NIA.....	AXA Art Holdings Inc.....	Ownership.....	100.000	AXA.....	0.....
0000		29530	13-3368745	0			AXA Art Insurance Corporation.....	USA.....	IA.....	AXA Art Holdings Inc.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA Biztosito Zrt.....	HUN.....	IA.....	AXA Societe Beaujon.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA Szolgaltato Kft.....	HUN.....	IA.....	AXA.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA India Holdings.....	IND.....	NIA.....	AXA.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			Bharti AXA Life Insurance Company.....	IND.....	IA.....	AXA India Holdings.....	Ownership.....	22.220	AXA.....	0.....
0000		00000		0			AXA Business Services Private Limited.....	IND.....	NIA.....	AXA.....	Ownership.....	99.900	AXA.....	0.....
0000		00000		0			Bharti AXA General Insurance.....	IND.....	IA.....	AXA.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			National Mutual International Pty Limited.....	AUS.....	IA.....	AXA.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			P.T. Asuransi AXA Indonesia.....	IDN.....	IA.....	AXA.....	Ownership.....	80.000	AXA.....	0.....
0000		00000		0			P.T. Life Indonesia.....	IDN.....	IA.....	AXA.....	Ownership.....	80.000	AXA.....	0.....
0000		00000		0			AXA Financial Services (Singapore) Pte Ltd.....	SGP.....	IA.....	AXA.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA Life Europe.....	IRL.....	IA.....	AXA.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA Global Distributors (Ireland) Limited.....	IRL.....	NIA.....	AXA.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA Ireland Limited.....	IRL.....	IA.....	AXA.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA mps Financial Ltd.....	IRL.....	IA.....	AXA.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA Italia S.p.A.....	ITA.....	NIA.....	AXA.....	Ownership.....	98.240	AXA.....	0.....
0000		00000		0			AXA Assicurazioni S.p.A.....	ITA.....	IA.....	AXA Italia S.p.A.....	Ownership.....	98.110	AXA.....	0.....
0000		00000		0			AXA Japan Holding Co., Ltd.....	JPN.....	NIA.....	AXA.....	Ownership.....	78.670	AXA.....	0.....
0000		00000		0			AXA Life Insurance Co., Ltd.....	JPN.....	IA.....	AXA Japan Holding Co., Ltd.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA General Insurance Co., Ltd.....	JPN.....	IA.....	AXA Japan Holding Co., Ltd.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA Collection Services Co. Ltd.....	JPN.....	IA.....	AXA Japan Holding Co., Ltd.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			Nextia Life Insurance Co., Ltd.....	JPN.....	IA.....	AXA Japan Holding Co., Ltd.....	Ownership.....	97.250	AXA.....	0.....
0000		00000		0			AXA Seguros, S.A. de CV.....	MEX.....	IA.....	AXA.....	Ownership.....	99.940	AXA.....	0.....
0000		00000		0			Voltaire Participacoes.....	BRA.....	IA.....	AXA.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA Luxembourg SA.....	LUX.....	IA.....	AXA.....	Ownership.....	99.990	AXA.....	0.....
0000		00000		0			Finance Solutions S.ar.l. ("Finso").....	LUX.....	NIA.....	AXA.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			Matignon Finance S.A.....	LUX.....	NIA.....	AXA.....	Ownership.....	100.000	AXA.....	0.....
0000		00000		0			AXA-AFFIN General Insurance Berhad.....	MYS.....	IA.....	AXA.....	Ownership.....	42.400	AXA.....	0.....
0000		00000		0			AXA-AFFIN Life Insurance Berhad.....	MYS.....	IA.....	National Mutual International Pty Limited.....	Ownership.....	49.000	AXA.....	0.....
0000		00000		0			Philippine AXA Life Insurance Corporation.....	MYS.....	IA.....	AXA.....	Ownership.....	45.000	AXA.....	0.....
0000		00000		0			AXA Middle East SAL Lebanon.....	LBN.....	NIA.....	AXA.....	Ownership.....	49.000	AXA.....	0.....

Q13.1

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0000		00000		0			AXA Holding SAL	LBN	NIA	AXA	Ownership	100.000	AXA	0
0000		00000		0			AXA Gulf Holding W.L.L	BHR	NIA	AXA	Ownership	95.000	AXA	0
0000		00000		0			AXA Holding Maroc	MAR	NIA	AXA	Ownership	100.000	AXA	0
0000		00000		0			AXA Assurance Maroc	MAR	IA	AXA Holding Maroc	Ownership	100.000	AXA	0
0000		00000		0			AXA Zycie Towarzystwo Ubezpieczen S.A	POL	IA	AXA Societe Beaujon	Ownership	90.240	AXA	0
0000		00000		0			AXA Powszechne Towarzystwo Emerytalne S.A	POL	IA	AXA Societe Beaujon	Ownership	100.000	AXA	0
0000		00000		0			AXA Towarzystwo Ubezpieczen i Reasekuracji S.A	POL	IA	AXA Societe Beaujon	Ownership	100.000	AXA	0
0000		00000		0			Seguro Directo Gere Compania de Seguros SA	PRT	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0			AXA Portugal Companhia de Seguros SA	PRT	IA	AXA	Ownership	83.020	AXA	0
0000		00000		0			AXA Portugal Companhia de Seguros Vida SA	PRT	IA	AXA	Ownership	87.630	AXA	0
0000		00000		0			AXA Life Insurance SA	ROU	IA	AXA Societe Beaujon	Ownership	99.900	AXA	0
0000		00000		0			AXA Financial Services (Singapore) Pte Ltd	SGP	NIA	National Mutual International Pty Limited	Ownership	100.000	AXA	0
0000		00000		0			ipac financial planning Taiwan Limited	TWN	NIA	National Mutual International Pty Limited	Ownership	100.000	AXA	0
0000		00000		0			AXA Insurance Singapore Pte Ltd	SGP	IA	National Mutual International Pty Limited	Ownership	100.000	AXA	0
0000		00000		0			AXA Asia Regional Centre Pte Ltd	SGP	IA	National Mutual International Pty Limited	Ownership	100.000	AXA	0
0000		00000		0			AXA Services s.r.o	SVK	NIA	AXA	Ownership	100.000	AXA	0
0000		00000		0			EX-SR a.s. v likvdacii	SVK	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0			AXA d.s.s., a.s	SVK	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0			AXA d.d.s., a.s	SVK	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0			AXA General Insurance	KOR	IA	AXA	Ownership	94.130	AXA	0
0000		00000		0			AXA Mediterraenan Holding, S.A.U	ESP	NIA	AXA	Ownership	100.000	AXA	0
0000		00000		0			Hilo Direct, Seguros y Reaseguros S.A	ESP	IA	AXA Mediterraenan Holding, S.A.U	Ownership	99.990	AXA	0
0000		00000		0			AXA MEDLA IT & Local Support Services, S.A	ESP	NIA	AXA Mediterraenan Holding, S.A.U	Ownership	100.000	AXA	0
0000		00000		0			AXA Regional Services, S.A	ESP	NIA	AXA Mediterraenan Holding, S.A.U	Ownership	100.000	AXA	0
0000		00000		0			AXA Seguros Generales, S.A. de Seguros y Reaseguros	ESP	IA	AXA Mediterraenan Holding, S.A.U	Ownership	99.890	AXA	0
0000		00000		0			AXA Pensiones, S.A. E.G.F.P	ESP	IA	AXA Mediterraenan Holding, S.A.U	Ownership	100.000	AXA	0
0000		00000		0			AXA Tigris, S.A	ESP	IA	AXA Mediterraenan Holding, S.A.U	Ownership	100.000	AXA	0
0000		00000		0			AXA Vida, S.A.de Seguros y Reaseguros	ESP	IA	AXA Mediterraenan Holding, S.A.U	Ownership	99.800	AXA	0
0000		00000		0			AXA Senegal	SEN	IA	AXA	Ownership	51.530	AXA	0
0000		00000		0			AXA Cote d'Ivoire	CIV	IA	AXA	Ownership	78.640	AXA	0
0000		00000		0			AXA Cameroun ©	CMR	IA	AXA	Ownership	99.900	AXA	0
0000		00000		0			AXA Gabon	GAB	IA	AXA	Ownership	86.490	AXA	0
0000		00000		0			AXA Versicherungen AG	CHE	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0			AXA Leben AG	CHE	IA	AXA Versicherungen AG	Ownership	100.000	AXA	0
0000		00000		0			Rechtsschutz AG	CHE	IA	AXA Versicherungen AG	Ownership	66.670	AXA	0
0000		00000		0			AXA Insurance Public Co. Ltd	THA	IA	AXA	Ownership	24.990	AXA	0
0000		00000		0			ASM Holdings Limited	THA	NIA	AXA	Ownership	48.800	AXA	0
0000		00000		0			Krungthai-AXA Life Insurance Company Limited	THA	IA	AXA	Ownership	25.000	AXA	0
0000		00000		0			AXA Hayat ve Emeklilik A.S	TUR	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0			AXA Sigorta A.S	TUR	IA	AXA	Ownership	72.550	AXA	0
0000		00000		0			AXA Insurance	UKR	IA	AXA	Ownership	50.290	AXA	0
0000		00000		0			AXA Ukraine	UKR	IA	AXA	Ownership	50.000	AXA	0

Q13.2

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0000		00000		0	0		AXA Global Risks (Uk) Limited	GBR	IA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		Hordel FV	GBR	NIA	AXA	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Equity & Law Plc	GBR	IA	AXA	Ownership	99.900	AXA	0
0000		00000		0	0		AXA UK PLC	GBR	IA	AXA Equity & Law Plc	Ownership	46.900	AXA	0
0000		00000		0	0		AXA UK PLC	GBR	IA	AXA	Ownership	53.100	AXA	0
0000		00000		0	0		Bluefin Group Limited	GBR	IA	AXA UK PLC	Ownership	100.000	AXA	0
0000		00000		0	0		GBI Holdings Limited	GBR	IA	AXA UK PLC	Ownership	100.000	AXA	0
0000		00000		0	0		Guardian Royal Exchange PLC	GBR	NIA	AXA UK PLC	Ownership	100.000	AXA	0
0000		00000		0	0		Architas Advisory Services Limited	GBR	NIA	AXA UK PLC	Ownership	100.000	AXA	0
0000		00000		0	0		Architas Multi-Manager Limited	GBR	NIA	AXA UK PLC	Ownership	100.000	AXA	0
0000		00000		0	0		AXA Sun Direct Limited	GBR	IA	AXA UK PLC	Ownership	100.000	AXA	0
0000		00000		0	0		Oudinot Participations (France)	USA	UIP	AXA	Ownership	100.000	AXA	0
0000		00000	90-0226248	0	0001333986		AXA America Holdings, Inc.	USA	UIP	Oudinot Participation France	Ownership	100.000	AXA	0
0000		00000	30-0011728	0	0		AXA Technology Services America Inc	USA	NIA	AXA America Holdings, Inc.	Ownership	100.000	AXA	0
0000		00000	36-3044045	0	0001456276		AXA America Corporate Solutions, Inc.	USA	NIA	AXA America Holdings, Inc.	Ownership	100.000	AXA	0
0968		36552	36-2994662	0	0001456280		Coliseum Reinsurance Company	USA	IA	AXA America Corporate Solutions, Inc.	Ownership	100.000	AXA	0
0000		00000	13-4064930	0	0001109448		AllianceBernstein LP	USA	NIA	Coliseum Reinsurance Company	Ownership	3.010	AXA	0
0968		16187	04-2482364	0	0		Mosaic Insurance Company	USA	IA	Coliseum Reinsurance Company	Ownership	100.000	AXA	0
0968		68365	04-2729166	0	0		Company	USA	IA	AXA America Holdings, Inc.	Ownership	100.000	AXA	0
0968		15502	46-5697182	0	0		CS Life Re Company	USA	IA	AXA Corporate Solutions Life Reinsurance Company	Ownership	100.000	AXA	0
0000		00000	13-4177328	0	0		AXA Delaware LLC	USA	NIA	Coliseum Reinsurance Company	Ownership	100.000	AXA	0
0968		33022	13-3594502	0	0		AXA Insurance Company	USA	IA	AXA Delaware LLC	Ownership	100.000	AXA	0
0000		00000	13-3623351	0	0000888002		AXA Financial, Inc.	USA	UIP	AXA America Holdings, Inc.	Ownership	100.000	AXA	0
0000		00000	27-0294443	0	0		787 Holdings, LLC	USA	NIA	AXA Financial, Inc.	Ownership	100.000	AXA	0
0000		00000	46-1106388	0	0		1285 Holdings, LLC	USA	NIA	AXA Financial, Inc.	Ownership	100.000	AXA	0
0000		00000	52-2197822	0	0001257148		AXA Equitable Financial Services, LLC	USA	UDP	AXA Financial, Inc.	Ownership	100.000	AXA	0
0000		00000	13-4078005	0	0		AXA Distribution Holding Corporation	USA	NIA	AXA Equitable Financial Services, LLC	Ownership	100.000	AXA	0
0000		00000	13-4071393	0	0000033179		AXA Advisors, LLC	USA	NIA	AXA Distribution Holding Corporation	Ownership	100.000	AXA	0
0000		00000	06-1555494	0	0001292309		AXA Network, LLC	USA	NIA	AXA Distribution Holding Corporation	Ownership	100.000	AXA	0
0000		00000	27-1540220	0	0		PlanConnect, LLC	USA	NIA	AXA Distribution Holding Corporation	Ownership	100.000	AXA	0
0968		14355	14-1903564	0	0001450152		AXA RE Arizona Company	USA	IA	AXA Equitable Financial Services, LLC	Ownership	100.000	AXA	0
0968		62944	13-5570651	0	0000727920		AXA Equitable Life Insurance Company	USA	IA	AXA Equitable Financial Services, LLC	Ownership	100.000	AXA	0
0000		00000	27-5373651	0	0		AXA Equitable Funds Management Group, LLC	USA	NIA	AXA Equitable Life Insurance Company	Ownership	100.000	AXA	0
0000		00000	13-3385076	0	0		Equitable Deal Flow Fund, LP	USA	NIA	AXA Equitable Life Insurance Company	Ownership	100.000	AXA	0
0000		00000	23-2671508	0	0		EVSA, Inc.	USA	NIA	AXA Equitable Life Insurance Company	Ownership	100.000	AXA	0
0000		00000	22-2766036	0	0001257149		Equitable Holdings, LLC	USA	NIA	AXA Equitable Life Insurance Company	Ownership	100.000	AXA	0
0000		00000	13-2677213	0	000003798		ACMC, LLC	USA	NIA	AXA Equitable Life Insurance Company	Ownership	100.000	AXA	0
0000		00000	13-4064930	0	0001109448		AllianceBernstein LP	USA	NIA	ACMC, LLC	Ownership	32.710	AXA	0
0968		10589	06-1166226	0	0		Equitable Casualty Insurance Company	USA	IA	Equitable Holdings, LLC	Ownership	100.000	AXA	0
0000		00000	13-3266813	0	0000842885		ECMC, LLC	USA	NIA	Equitable Holdings, LLC	Ownership	100.000	AXA	0
0000		00000	13-3633538	0	0		AllianceBernstein Corporation	USA	NIA	Equitable Holdings, LLC	Ownership	100.000	AXA	0
0000		00000	52-2233674	0	0000858875		AXA Distributors, LLC	USA	NIA	Equitable Holdings, LLC	Ownership	100.000	AXA	0

Q13.3

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership Board, Management Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
0000		00000	13-3813232	0	0		JMR Realty services, Inc.	USA	NIA	Equitable Holdings, LLC	Ownership	100.000	AXA	0
0968		62880	13-3198083	0	0001342913		AXA Equitable Life and Annuity Company	USA	RE	AXA Equitable Financial Services, LLC	Ownership	100.000	AXA	0
0000		00000	13-3790446	0	0		MONY International Holdings, LLC	USA	NIA	AXA Equitable Financial Services, LLC	Ownership	100.000	AXA	0
0000		00000	98-0152046	0	0		Ltd.	USA	IA	MONY International Holdings, LLC	Ownership	100.000	AXA	0
0968		78077	86-0222062	0	0000835357		MONY Life Insurance Company of America	USA	IA	AXA Equitable Financial Services, LLC	Ownership	100.000	AXA	0
0000		00000	13-4064930	0	0001109448		AllianceBernstein LP	USA	NIA	MONY Life Insurance Company of America	Ownership	0.095	AXA	0
0968		84530	38-2046096	0	0		U.S. Financial Life Insurance Company	USA	IA	AXA Equitable Financial Services, LLC	Ownership	100.000	AXA	0
0000		00000	11-3722370	0	0		MONY Financial Services, Inc.	USA	NIA	AXA Equitable Financial Services, LLC	Ownership	100.000	AXA	0
0000		00000	31-1465146	0	0		Financial Marketing Agency, Inc.	USA	NIA	MONY Financial Services, Inc.	Ownership	100.000	AXA	0
0000		00000	13-2645490	0	0		1740 Advisors, Inc.	USA	NIA	MONY Financial Services, Inc.	Ownership	100.000	AXA	0

Q13.4

Asterisk	Explanation
0	

NONE

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason, enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Response
1. Will the Trusteed Surplus Statement be filed with the state of domicile and the NAIC with this statement?	NO
2. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO
3. Will the Reasonableness of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
4. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXV be filed with the state of domicile and electronically with the NAIC?	NO
5. Will the Reasonableness of Assumptions Certification for Implied Guaranteed Rate Method required by Actuarial Guideline XXXVI be filed with the state of domicile and electronically with the NAIC?	NO
6. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Average Market Value) be filed with the state of domicile and electronically with the NAIC?	NO
7. Will the Reasonableness and Consistency of Assumptions Certification required by Actuarial Guideline XXXVI (Updated Market Value) be filed with the state of domicile and electronically with the NAIC?	NO

Explanations:

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.

Bar Code:



NONE

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Current year change in encumbrances.....	0	0
4. Total gain (loss) on disposals.....	0	0
5. Deduct amounts received on disposals.....	0	0
6. Total foreign exchange change in book/adjusted carrying value.....	0	0
7. Deduct current year's other than temporary impairment recognized.....	0	0
8. Deduct current year's depreciation.....	0	0
9. Book/adjusted carrying value at end of current period (Lines 1+2+3+4-5+6-7-8).....	0	0
10. Deduct total nonadmitted amounts.....	0	0
11. Statement value at end of current period (Line 9 minus Line 10).....	0	0

NONE

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	0	0
6. Total gain (loss) on disposals.....	0	0
7. Deduct amounts received on disposals.....	0	0
8. Deduct amortization of premium and mortgage interest points and commitment fees.....	0	0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest.....	0	0
10. Deduct current year's other than temporary impairment recognized.....	0	0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Total valuation allowance.....	0	0
13. Subtotal (Line 11 plus Line 12).....	0	0
14. Deduct total nonadmitted amounts.....	0	0
15. Statement value at end of current period (Line 13 minus Line 14).....	0	0

NONE

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition.....	0	0
2.2 Additional investment made after acquisition.....	0	0
3. Capitalized deferred interest and other.....	0	0
4. Accrual of discount.....	0	0
5. Unrealized valuation increase (decrease).....	0	0
6. Total gain (loss) on disposals.....	0	0
7. Deduct amounts received on disposals.....	0	0
8. Deduct amortization of premium and depreciation.....	0	0
9. Total foreign exchange change in book/adjusted carrying value.....	0	0
10. Deduct current year's other than temporary impairment recognized.....	0	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10).....	0	0
12. Deduct total nonadmitted amounts.....	0	0
13. Statement value at end of current period (Line 11 minus Line 12).....	0	0

NONE

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year.....	162,417,352	178,082,775
2. Cost of bonds and stocks acquired.....	18,812,706	22,694,296
3. Accrual of discount.....	87,612	135,037
4. Unrealized valuation increase (decrease).....	0	0
5. Total gain (loss) on disposals.....	580,630	219,579
6. Deduct consideration for bonds and stocks disposed of.....	43,580,852	38,622,359
7. Deduct amortization of premium.....	42,281	91,976
8. Total foreign exchange change in book/adjusted carrying value.....	0	0
9. Deduct current year's other than temporary impairment recognized.....	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	138,275,167	162,417,352
11. Deduct total nonadmitted amounts.....	0	0
12. Statement value at end of current period (Line 10 minus Line 11).....	138,275,167	162,417,352

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a).....	123,454,217	50,233,129	47,088,180	9,461,520	123,454,217	136,060,687	.0	150,275,488
2. NAIC 2 (a).....	40,244,161	.0	4,304,246	(9,439,064)	40,244,161	26,500,852	.0	38,609,987
3. NAIC 3 (a).....	1,702,287	.0	.0	(330)	1,702,287	1,701,957	.0	1,702,610
4. NAIC 4 (a).....	.0	.0	.0	.0	.0	.0	.0	.0
5. NAIC 5 (a).....	.0	.0	.0	.0	.0	.0	.0	.0
6. NAIC 6 (a).....	.0	.0	.0	.0	.0	.0	.0	.0
7. Total Bonds.....	165,400,665	50,233,129	51,392,426	22,126	165,400,665	164,263,496	.0	190,588,085
PREFERRED STOCK								
8. NAIC 1.....	.0	.0	.0	.0	.0	.0	.0	.0
9. NAIC 2.....	.0	.0	.0	.0	.0	.0	.0	.0
10. NAIC 3.....	.0	.0	.0	.0	.0	.0	.0	.0
11. NAIC 4.....	.0	.0	.0	.0	.0	.0	.0	.0
12. NAIC 5.....	.0	.0	.0	.0	.0	.0	.0	.0
13. NAIC 6.....	.0	.0	.0	.0	.0	.0	.0	.0
14. Total Preferred Stock.....	.0	.0	.0	.0	.0	.0	.0	.0
15. Total Bonds and Preferred Stock.....	165,400,665	50,233,129	51,392,426	22,126	165,400,665	164,263,496	.0	190,588,085

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(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation:
NAIC 1 \$.....0; NAIC 2 \$.....0; NAIC 3 \$.....0; NAIC 4 \$.....0; NAIC 5 \$.....0; NAIC 6 \$.....0.

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
SCHEDULE DA - PART 1

Short-Term Investments

	1 Book/Adjusted Carrying Value	2 Par Value	3 Actual Cost	4 Interest Collected Year To Date	5 Paid for Accrued Interest Year To Date
9199999.....25,988,326XXX.....25,988,3261,6180

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....28,170,73151,849,047
2. Cost of short-term investments acquired.....73,804,784192,521,684
3. Accrual of discount.....00
4. Unrealized valuation increase (decrease).....00
5. Total gain (loss) on disposals.....00
6. Deduct consideration received on disposals.....75,987,189216,200,000
7. Deduct amortization of premium.....00
8. Total foreign exchange change in book/adjusted carrying value.....00
9. Deduct current year's other than temporary impairment recognized.....00
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....25,988,32628,170,731
11. Deduct total nonadmitted amounts.....00
12. Statement value at end of current period (Line 10 minus Line 11).....25,988,32628,170,731

Sch. DB-Pt A-Verification
NONE

Sch. DB-Pt B-Verification
NONE

Sch. DB-Pt C-Sn 1
NONE

Sch. DB-Pt C-Sn 2
NONE

Sch. DB-Verification
NONE

Sch. E-Verification
NONE

Sch. A-Pt 2
NONE

Sch. A-Pt 3
NONE

Sch. B-Pt 2
NONE

Sch. B-Pt 3
NONE

Sch. BA-Pt 2
NONE

Sch. BA-Pt 3
NONE

SCHEDULE D - PART 3

Show all Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
Bonds - U.S. Special Revenue and Special Assessment									
3132J8 6B 1	FED HOME LOAN MTG CORP.....		...04/23/2014	CHASE SECURITIES.....	3,706,7503,807,2868,566	1.....
3138W7 UN 2	FED NATIONAL MTG ASSN.....		...04/23/2014	CHASE SECURITIES.....	2,224,4582,277,1162,087	1.....
3199999	Total Bonds - U.S. Special Revenue and Special Assessment.....				5,931,2086,084,40210,653	XXX.....
8399997	Total Bonds - Part 3.....				5,931,2086,084,40210,653	XXX.....
8399999	Total Bonds.....				5,931,2086,084,40210,653	XXX.....
9999999	Total Bonds, Preferred and Common Stocks.....				5,931,208XXX10,653	XXX.....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

QE04

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	For e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value At Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
Bonds - U.S. Government																					
912828 CJ 7	UNITED STATES OF AMERICA TREASURY		04/30/2014	MIZUHO SECURITIES USA INC		4,508,086	4,500,000	4,500,000	4,500,000	0	0	0	0	0	4,500,000	0	8,086	8,086	98,608	05/15/2014	1.....
0599999. Total Bonds - U.S. Government.....						4,508,086	4,500,000	4,500,000	4,500,000	0	0	0	0	0	4,500,000	0	8,086	8,086	98,608	XXX...	XXX...
Bonds - U.S. Special Revenue and Special Assessment																					
3128MJ C9 9	FED HOME LOAN MTG CORP.....		06/01/2014	MBS PAYDOWN.....		44,422	44,422	43,698	44,363	0	59	0	59	0	44,422	0	0	0	1,043	11/01/2035	1.....
3132J8 6B 1	FED HOME LOAN MTG CORP.....		06/01/2014	MBS PAYDOWN.....		50,553	50,553	49,218	0	0	4	0	4	0	50,553	0	0	0	182	04/01/2043	1.....
313401 Z6 1	FED HOME LOAN MTG CORP.....		06/01/2014	VARIOUS.....		46	46	45	46	0	0	0	0	46	0	0	0	0	3	09/01/2020	1.....
31385X EC 7	FED NATIONAL MTG ASSN.....		06/01/2014	MBS PAYDOWN.....		21,495	21,495	21,865	21,530	0	(35)	0	(35)	0	21,495	0	0	0	494	06/01/2033	1.....
31388E C6 1	FED NATIONAL MTG ASSN.....		06/01/2014	MBS PAYDOWN.....		5,440	5,440	5,602	5,466	0	(26)	0	(26)	0	5,440	0	0	0	148	09/01/2016	1.....
3138A3 6X 0	FED NATIONAL MTG ASSN.....		06/01/2014	MBS PAYDOWN.....		73,194	73,194	72,164	73,156	0	38	0	38	0	73,194	0	0	0	1,282	01/01/2041	1.....
3138W7 UN 2	FED NATIONAL MTG ASSN.....		06/01/2014	MBS PAYDOWN.....		16,920	16,920	16,529	0	0	0	0	0	16,920	0	0	0	0	42	03/01/2043	1.....
31402B FQ 2	FED NATIONAL MTG ASSN.....		06/01/2014	MBS PAYDOWN.....		41,204	41,204	41,912	41,299	0	(95)	0	(95)	0	41,204	0	0	0	93	07/01/2033	1.....
31402W WC 8	FED NATIONAL MTG ASSN.....		06/01/2014	MBS PAYDOWN.....		80,321	80,321	78,696	80,194	0	126	0	126	0	80,321	0	0	0	1,294	10/01/2018	1.....
31407L AG 2	FED NATIONAL MTG ASSN.....		06/01/2014	MBS PAYDOWN.....		88,745	88,745	87,230	88,491	0	254	0	254	0	88,745	0	0	0	2,389	10/01/2035	1.....
3199999. Total Bonds - U.S. Special Revenue and Special Assessment.....						422,340	422,340	416,959	354,545	0	325	0	325	0	422,340	0	0	0	7,850	XXX...	XXX...
Bonds - Industrial and Miscellaneous																					
009158 AT 3	AIR PRODUCTS & CHEMICALS INC.....		04/30/2014	PIERPONT SECURITIES.....		734,939	766,000	762,882	763,131	0	96	0	96	0	763,227	0	(28,288)	(28,288)	15,916	02/03/2023	1FE.....
037833 AK 6	APPLE INC.....		05/01/2014	JEFFERIES.....		467,725	500,000	499,335	499,374	0	21	0	21	0	499,395	0	(31,670)	(31,670)	6,100	05/03/2023	1FE.....
071813 BF 5	BAXTER INTERNATIONAL INC.....		04/30/2014	WELLS FARGO WACHOVIA.....		1,399,860	1,500,000	1,493,505	1,494,313	0	205	0	205	0	1,494,518	0	(94,658)	(94,658)	26,000	08/15/2022	1FE.....
126408 GZ 0	CSX CORP.....		04/30/2014	VARIOUS.....		685,971	676,000	675,486	675,497	0	18	0	18	0	675,515	0	10,456	10,456	13,409	11/01/2023	2FE.....
172967 HD 6	CITIGROUP INC.....		04/23/2014	SUMRIDGE PARTNERS LLC.....		1,498,575	1,500,000	1,495,620	1,495,252	0	129	0	129	0	1,495,749	0	2,826	2,826	29,547	10/25/2023	1FE.....
244199 BE 4	DEERE & COMPANY.....		04/30/2014	CHASE SECURITIES.....		775,296	800,000	797,968	798,252	0	64	0	64	0	798,316	0	(23,020)	(23,020)	8,493	06/08/2022	1FE.....
250847 EJ 5	DETROIT EDISON COMPANY.....		04/30/2014	CHASE SECURITIES.....		289,990	298,000	297,535	297,598	0	15	0	15	0	297,612	0	(7,623)	(7,623)	3,071	06/15/2022	1FE.....
29364D AR 1	ENTERGY ARKANSAS INC.....		04/30/2014	KEYBANC CAPITAL MARKETS.....		245,069	251,000	250,292	250,328	0	21	0	21	0	250,349	0	(5,281)	(5,281)	3,275	06/01/2023	1FE.....
316773 CL 2	FIFTH THIRD BANCORP.....		04/23/2014	DEUTSCHEALEX BROWN.....		1,518,600	1,500,000	1,508,445	0	(172)	0	(172)	0	1,508,273	0	10,327	10,327	32,521	03/15/2022	2FE.....	
427866 AT 5	HERSHEY CO/THE.....		04/23/2014	MESIROW CAPITAL INC.....		1,429,215	1,500,000	1,418,145	0	0	1,396	0	1,396	0	1,419,541	0	9,674	9,674	19,359	05/01/2023	1FE.....
491674 BE 6	KENTUCKY UTILITIES.....		04/30/2014	VARIOUS.....		1,261,931	1,220,000	1,215,631	1,216,698	0	149	0	149	0	1,216,847	0	45,084	45,084	20,266	11/01/2020	1FE.....
494368 BJ 1	KIMBERLY-CLARK CORP.....		04/30/2014	CHASE SECURITIES.....		937,950	1,000,000	977,600	978,796	0	686	0	686	0	979,482	0	(41,532)	(41,532)	10,267	06/01/2023	1FE.....
55259P AE 6	M&I MARSHALL & ILSLEY BK.....		05/01/2014	VARIOUS.....		1,042,465	1,000,000	997,840	991,054	0	2,063	0	2,063	0	993,117	0	49,348	49,348	18,794	06/16/2015	1FE.....
674599 CE 3	OCCIDENTAL PETROLEUM CORP.....		04/30/2014	NOMURA SECURITIES.....		962,120	1,000,000	997,390	997,749	0	75	0	75	0	997,825	0	(35,705)	(35,705)	19,500	02/15/2023	1FE.....
72447X AD 9	PITNEY BOWES INC.....		04/30/2014	MORGAN STANLEY.....		1,111,290	1,000,000	988,830	994,544	0	399	0	399	0	994,944	0	116,346	116,346	35,778	03/15/2018	2FE.....
91159H HG 8	US BANCORP.....		04/23/2014	US BANCORP.....		1,531,695	1,500,000	1,529,565	0	0	(441)	0	(441)	0	1,529,124	0	2,571	2,571	13,567	01/30/2024	1FE.....
913017 BV 0	UNITED TECHNOLOGIES CORP.....		04/30/2014	SG AMERICAS SECURITIES.....		492,026	488,000	487,624	487,676	0	12	0	12	0	487,688	0	4,338	4,338	6,471	06/01/2022	1FE.....
982526 AQ 8	WM WRIGLEY JR CO.....		04/30/2014	RBS GREENWICH CAPITAL.....		542,469	526,000	525,479	525,492	0	22	0	22	0	525,514	0	16,955	16,955	9,567	10/21/2020	2FE.....
064149 C8 8	BANK OF NOVA SCOTIA.....	A..	04/30/2014	SCOTIA CAPITAL.....		1,653,435	1,500,000	1,497,600	1,498,207	0	76	0	76	0	1,498,283	0	155,152	155,152	53,229	01/13/2021	1FE.....
C3322# AA 6	ENERMARK INC.....	A..	06/19/2014	MATURITY.....		600,000	600,000	600,000	600,000	0	0	0	0	0	600,000	0	0	0	19,860	06/19/2014	2.....
23636A AB 7	DANSKE BANK A/S.....	F..	05/02/2014	RBC CAPITAL MARKETS.....		366,681	348,000	347,843	347,925	0	11	0	11	0	347,936	0	18,745	18,745	7,604	04/14/2016	1FE.....
6325C0 BY 6	NATIONAL AUSTRALIA BANK LTD.....	F..	04/30/2014	FIRST TENNESSEE SEC.....		1,092,110	1,000,000	995,520	996,689	0	142	0	142	0	996,830	0	95,280	95,280	17,622	12/10/2020	1FE.....
3899999. Total Bonds - Industrial and Miscellaneous.....						20,639,412	20,473,000	20,320,135	14,413,323	0	4,987	0	4,987	0	20,370,085	0	269,325	269,325	390,216	XXX...	XXX...

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SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1 CUSIP Identification	2 Description	3 F o r e i g n Disposal Date	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Consideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value At Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Contractual Maturity Date	22 NAIC Desig- nation or Market Indicator (a)
										11 Unrealized Valuation Increase/ (Decrease)	12 Current Year's (Amortization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in B./A.C.V. (11+12-13)	15 Total Foreign Exchange Change in B./A.C.V.							
8399997. Total Bonds - Part 4.....					25,569,83825,395,34025,237,09419,267,86805,31205,312025,292,4250277,411277,411496,674XXX...XXX....
8399999. Total Bonds.....					25,569,83825,395,34025,237,09419,267,86805,31205,312025,292,4250277,411277,411496,674XXX...XXX....
9999999. Total Bonds, Preferred and Common Stocks.....					25,569,838XXX.....25,237,09419,267,86805,31205,312025,292,4250277,411277,411496,674XXX...XXX....

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues:.....0.

**Sch. DB-Pt A-Sn 1
NONE**

**Sch. DB-Pt A-Sn 1-Footer A
NONE**

**Sch. DB-Pt A-Sn 1-Footer B
NONE**

**Sch. DB-Pt B-Sn 1
NONE**

**Sch. DB-Pt B-Sn 1-Footer A
NONE**

**Sch. DB-Pt B-Sn 1-Footer B
NONE**

**Sch. DB-Pt B-Sn 1B-Broker List
NONE**

**Sch. DB-Pt D-Sn 1
NONE**

**Sch. DB-Pt D-Sn 2
NONE**

**Sch. DL-Pt. 1
NONE**

**Sch. DL-Pt. 2
NONE**

Statement as of June 30, 2014 of the **AXA EQUITABLE LIFE AND ANNUITY COMPANY**
SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1 Depository	2 Code	3 Rate of Interest	4 Amount of Interest Received During Current Quarter	5 Amount of Interest Accrued at Current Statement Date	Book Balance at End of Each Month During Current Quarter			9 *
					6 First Month	7 Second Month	8 Third Month	
Open Depositories								
BANK OF AMERICA.....	COLORADO.....0.0000012,98530,00030,000	XXX..
JP Morgan CHASE.....	New York, NY.....0.00000904,535493,663851,191	XXX..
CHASE SYRACUSE.....	SYRACAUSE,NY.....0.00000(6,880)(39,818)(47,885)	XXX..
BANK OF AMERICA.....	HARTFORD,CT.....0.00000(216,731)(452,843)(406,384)	XXX..
0199999. Total Open Depositories.....	...XXX.....	...XXX.....00693,90931,002426,922	XXX..
0399999. Total Cash on Deposit.....	...XXX.....	...XXX.....00693,90931,002426,922	XXX..
0599999. Total Cash.....	...XXX.....	...XXX.....00693,90931,002426,922	XXX..

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due & Accrued	8 Amount Received During Year
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NONE