

The background image shows the ruins of Kloster Nimbschen, a medieval stone building with a prominent tower on the left. The ruins are situated in a grassy field with many trees in the background under a clear blue sky. The text is overlaid on the image.

K-theory: Kitaev, Kagome, and Kapellasite

Leon Balents, **K**ITP

Frumag 2016, **K**loster Nimbschen

K-theory

From Wikipedia, the free encyclopedia

In [mathematics](#), **K-theory** is, roughly speaking, the study of certain kinds of [invariants](#) of large [matrices](#).^[1] It originated as the study of a [ring](#) generated by [vector bundles](#) over a [topological space](#) or [scheme](#). In [algebraic topology](#), it is an [extraordinary cohomology theory](#) known as [topological K-theory](#). In [algebra](#) and [algebraic geometry](#), it is referred to as [algebraic K-theory](#). It is also a fundamental tool in the field of [operator algebras](#).

K-theory involves the construction of families of [K-functors](#) that map from topological spaces or schemes to associated rings; these rings reflect some aspects of the structure of the original spaces or schemes. As with functors to [groups](#) in algebraic topology, the reason for this functorial mapping is that it is easier to compute some topological properties from the mapped rings than from the original spaces or schemes. Examples of results gleaned from the K-theory approach include [Bott periodicity](#), the [Atiyah-Singer index theorem](#) and the [Adams operations](#).

In [high energy physics](#), K-theory and in particular [twisted K-theory](#) have appeared in [Type II string theory](#) where it has been conjectured that they classify [D-branes](#), [Ramond–Ramond field strengths](#) and also certain [spinors](#) on [generalized complex manifolds](#). In [condensed matter physics](#) K-theory has been used to classify [topological insulators](#), [superconductors](#) and stable [Fermi surfaces](#). For more details, see [K-theory \(physics\)](#).

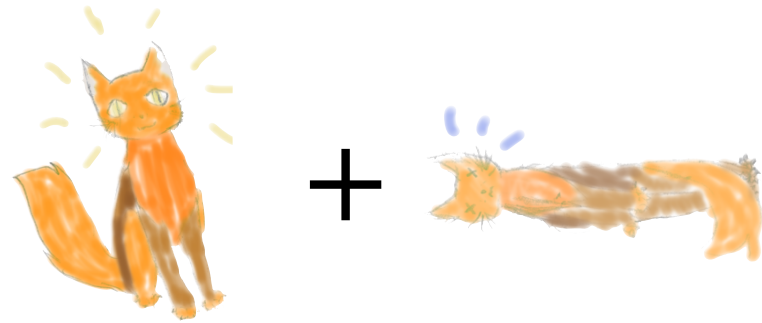
Quantum Spin Liquids

Quantum spin liquids are ground states that possess long-distance entanglement and are robust to perturbations



Quantum Spin Liquids

Quantum spin liquids are ground states that possess long-distance entanglement and are robust to perturbations



© Megan Balents

Schrödingers **Katze**

I decided to tell you about
Kitaev spin liquids, the **K**agomé
lattice, and **K**apellasite

Here we are in **K**loster Nimbschen



Here we are in **K**loster Nimbschen

For an internationale **K**onferenz



Here we are in **K**loster Nimbschen

For an internationale **K**onferenz

at the home of Torsten **K**racht

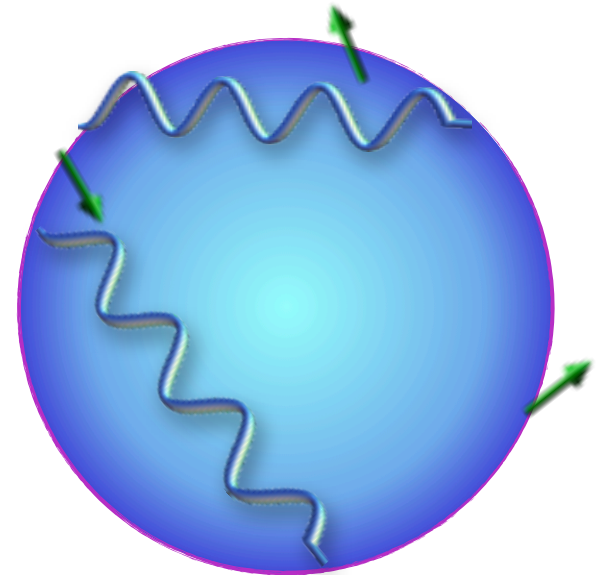


Here we are in **K**loster Nimbschen

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to discuss **k**wantum spin liquids



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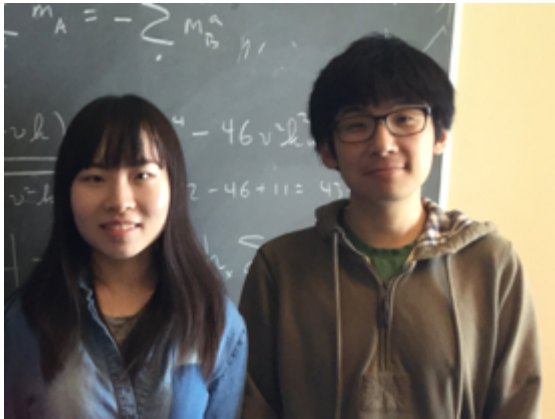
at the home of Torsten **K**racht

to discuss **k**wantum spin liquids

This can't be a **k**oincidence



Kollaborators



Xueyang Yi-Zhuang
Song You



Shoushu
Gong



Donna
Sheng



Oleg
StaryKh



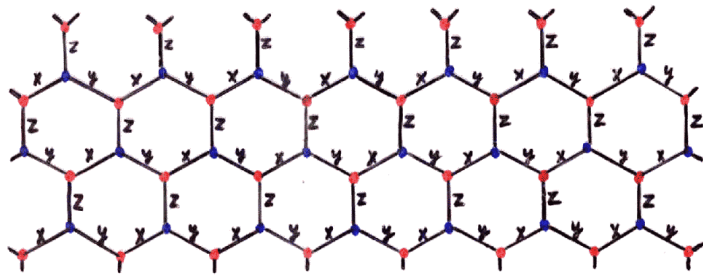
Kitaev model

Kitaev's honeycomb model

$$H = \sum_{i,\mu} K_{\mu} \sigma_i^{\mu} \sigma_{i+\mu}^{\mu}$$

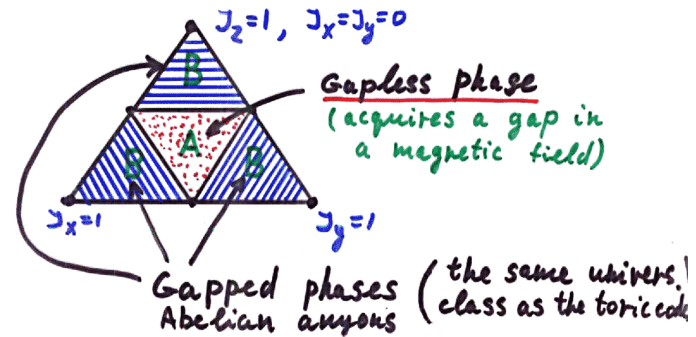
KITP, 2003

1. The model



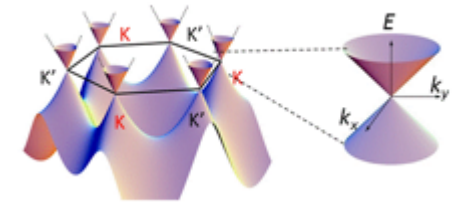
Spin $\frac{1}{2}$ on each site.

Phase diagram



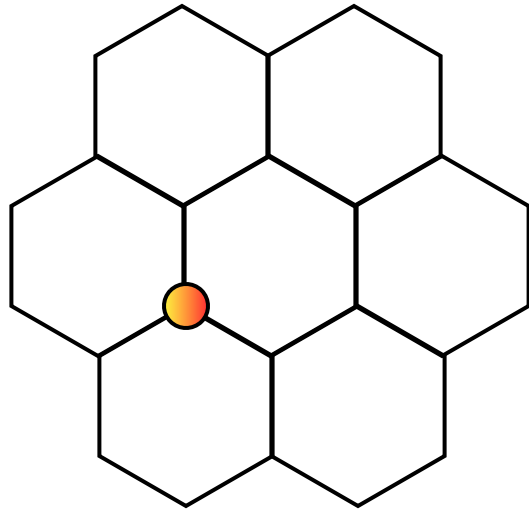
exact parton construction $\sigma_i^{\mu} = i c_i c_i^{\mu}$ $c_i c_i^x c_i^y c_i^z = 1$

physical Majoranas $H_m = K \sum_{\langle ij \rangle} i c_i c_j$

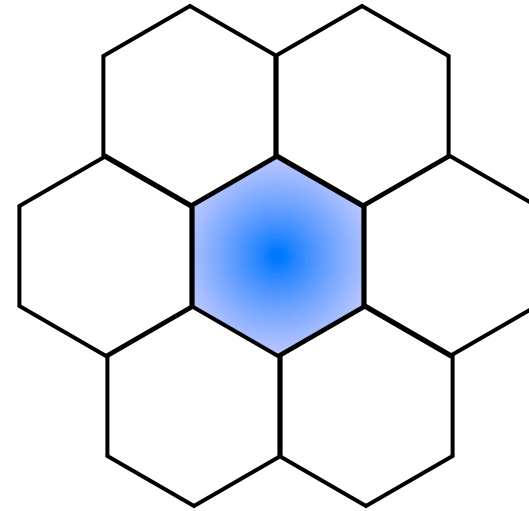


note: **K, K'** points!

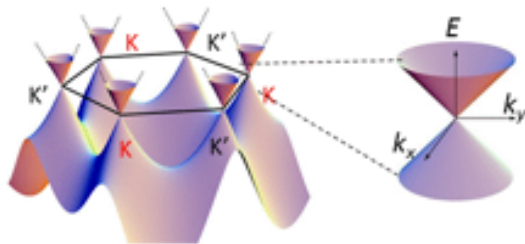
Non-local excitations



Majorana ε



Flux e, m



gapless Dirac



flux states



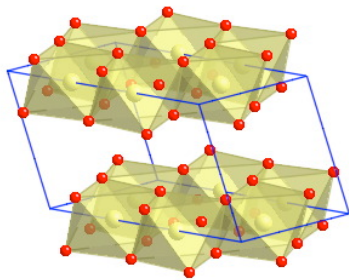
GS

gapped

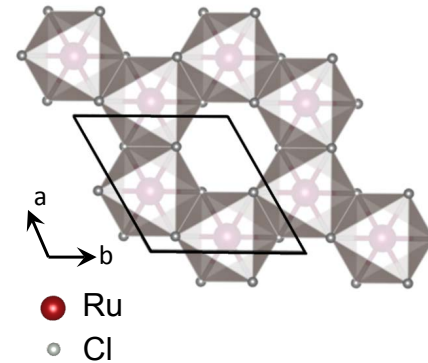
Kitaev Materials

Jackeli,
Khaliullin

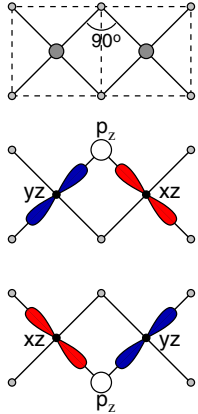
Showed that Kitaev interaction can be large in edge-sharing octahedra with large spin-orbit-coupling



Na₂IrO₃,
(α, β, γ)-
Li₂IrO₃

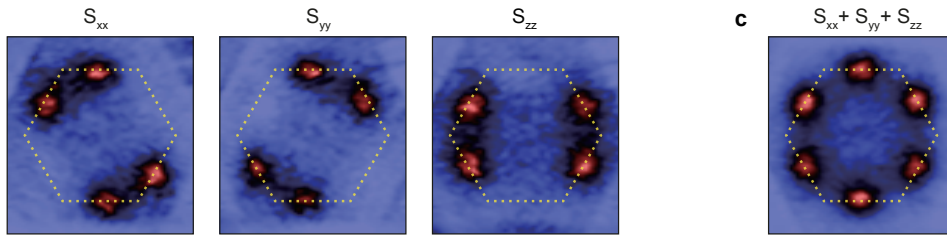


α -RuCl₃



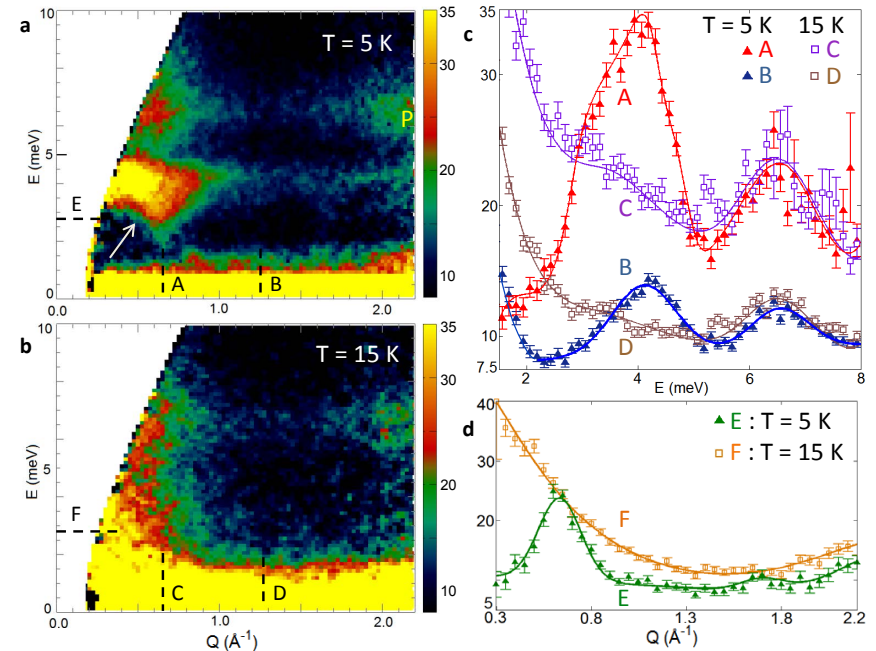
Honeycomb and hyper-honeycomb structures

Kitaev Materials



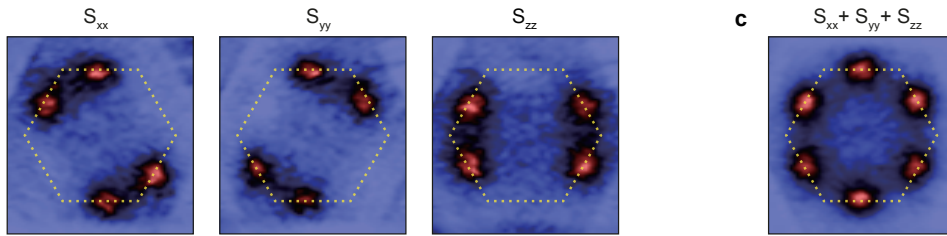
direct evidence for
direction-dependent
anisotropic exchange
from diffuse magnetic
x-ray scattering in
 Na_2IrO_3 (BJ Kim group)

there is pretty strong evidence
of substantial Kitaev exchange
in quite a few materials



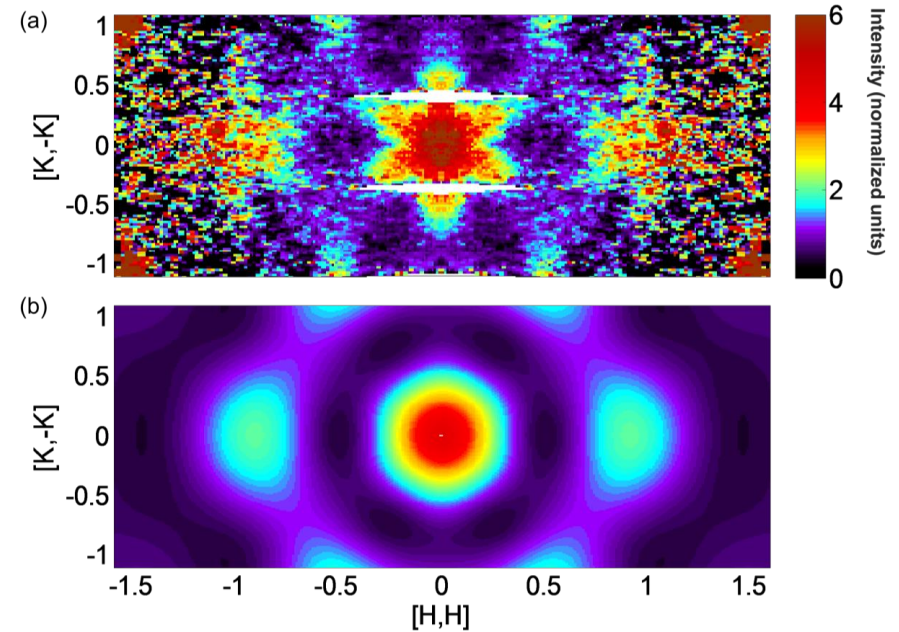
Observation of gapped
continuum mode persisting
above T_N in $\alpha\text{-RuCl}_3$
consistent with Majoranas
(A. Banerjee *et al*)

Kitaev Materials



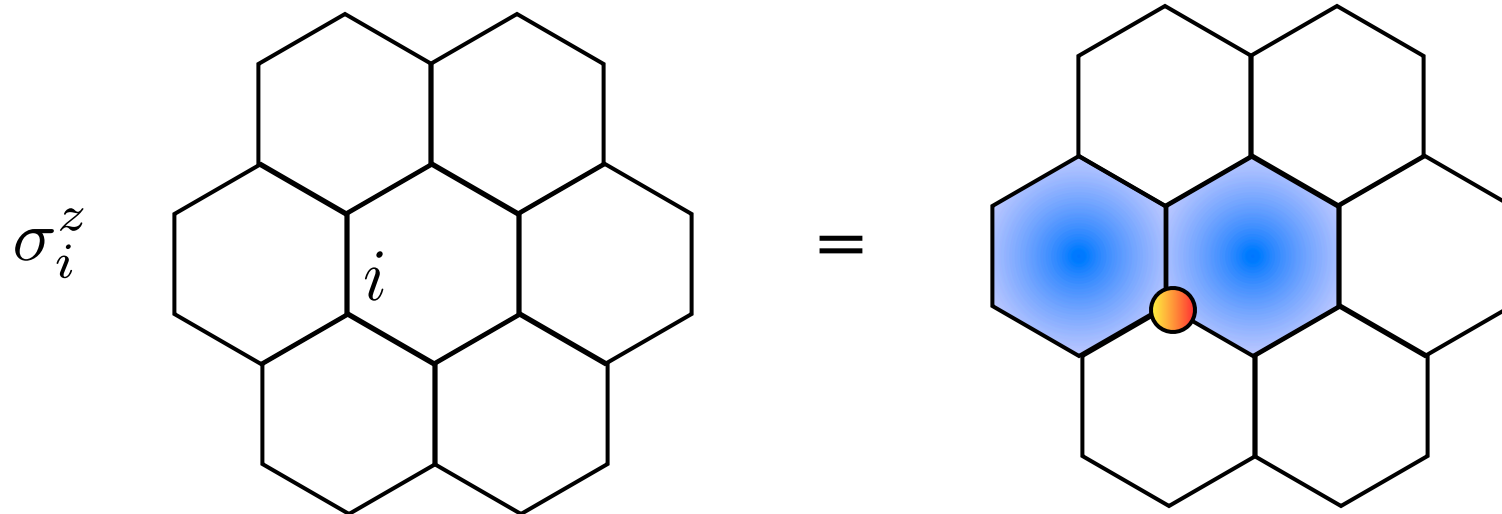
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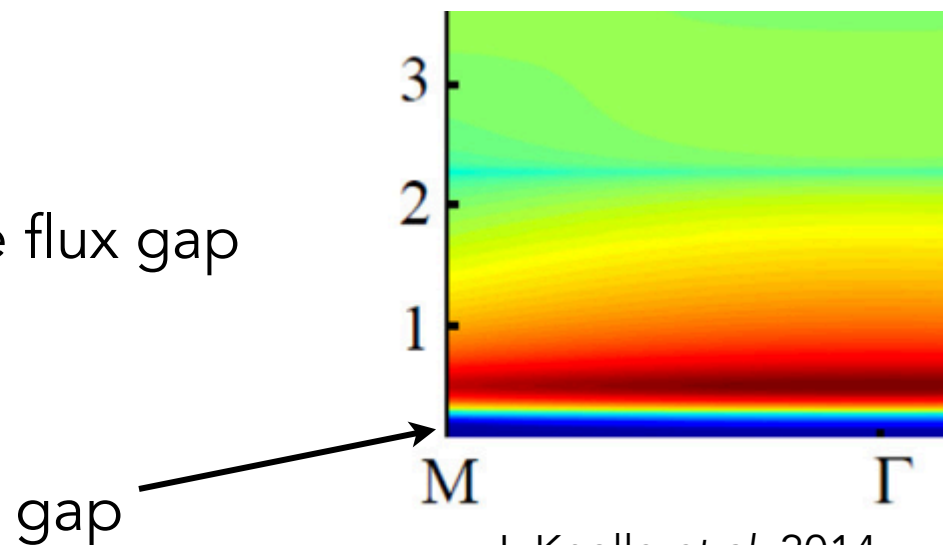
single-crystal data in $\alpha\text{-RuCl}_3$
compared to Kitaev's soluble
model (A. Banerjee *et al*)

Exact spin correlations

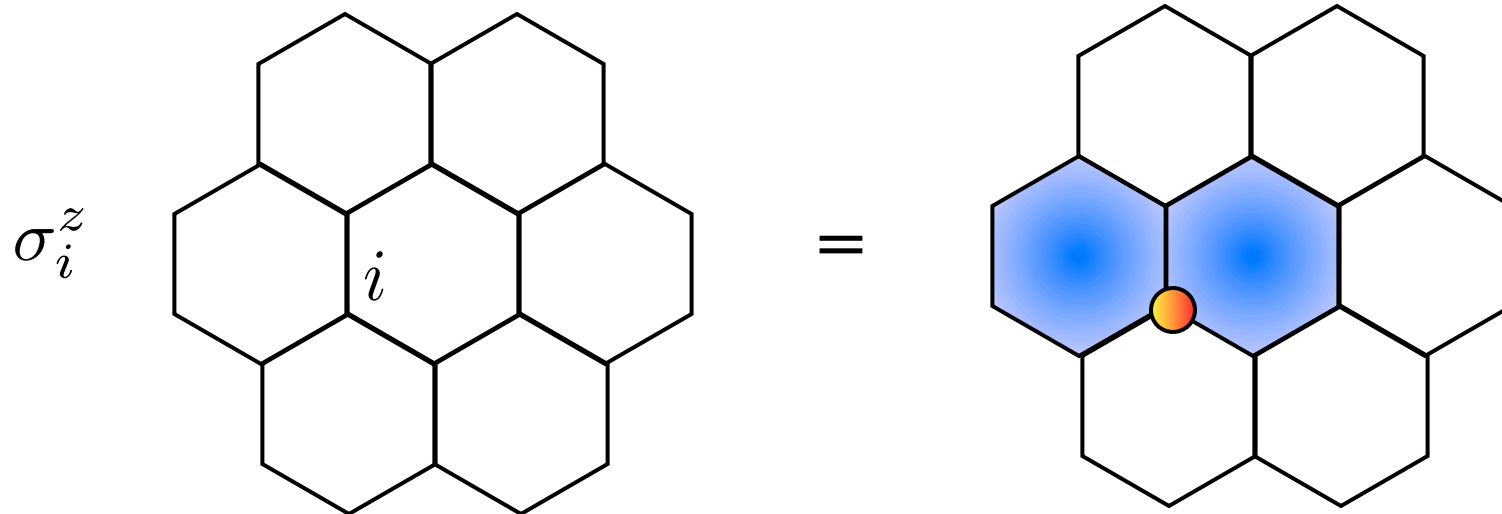


In the soluble model:

- The spin creates two fluxes
- Spectral weight is zero below the flux gap
- Correlations vanish beyond NNs



Exact spin correlations

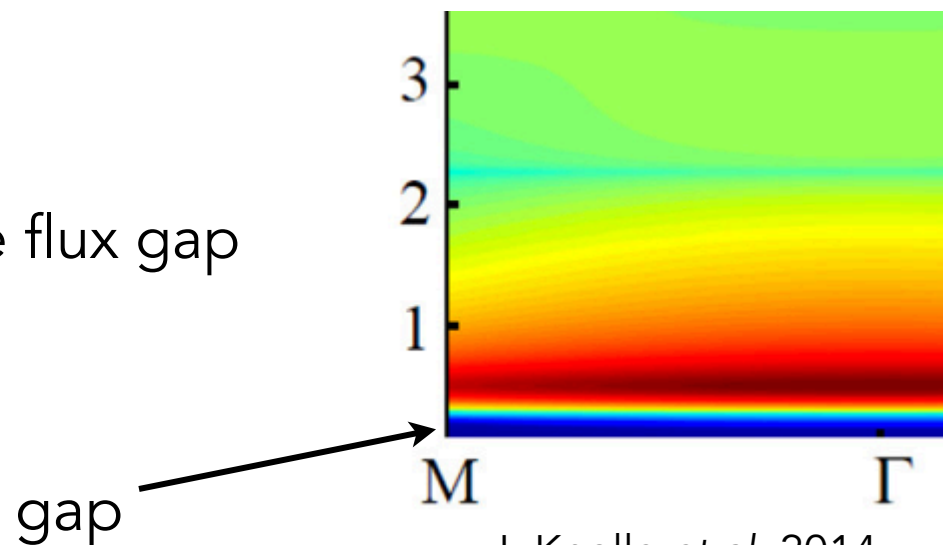


In the soluble model:

- The spin creates two fluxes
- Spectral weight is concentrated in the flux gap
- Correlations vanish at large NNs

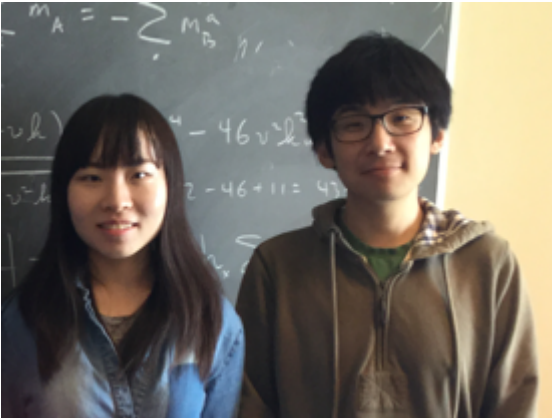


But is it generic?



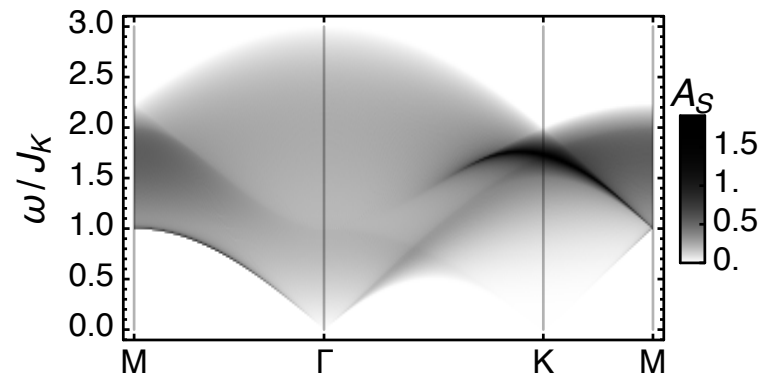
Universality

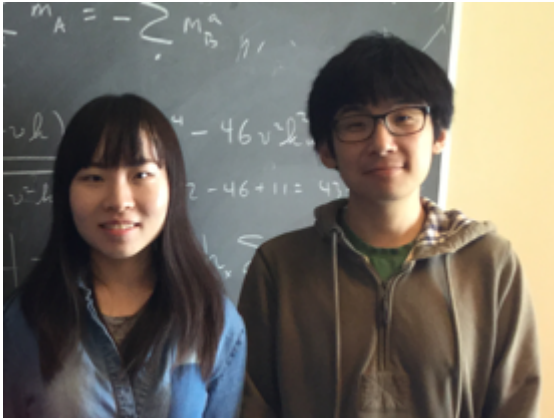
- We know the gapless QSL is locally stable provided time-reversal is maintained, *but* is this the generic behavior?
- NN correlations? Obviously extended by perturbations.
- Gap? This is less obvious. Is there a selection rule?



Answer

- Generically, there is *not* a gap in the structure factor
- Instead, power-law weight appears within two Dirac cones centered around $k=0$ and $k=K$

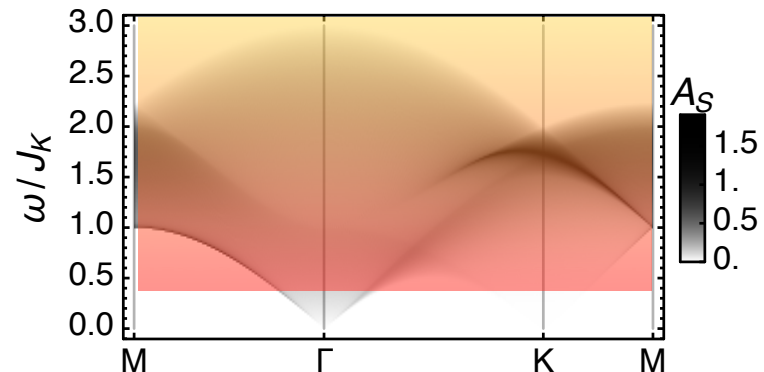




Answer

- Generically, there is *not* a gap in the structure factor
- Instead, power-law weight appears within two Dirac cones centered around $k=0$ and $k=K$

this should be added to
the gapped intensity



Why?

- **Quasiparticles**

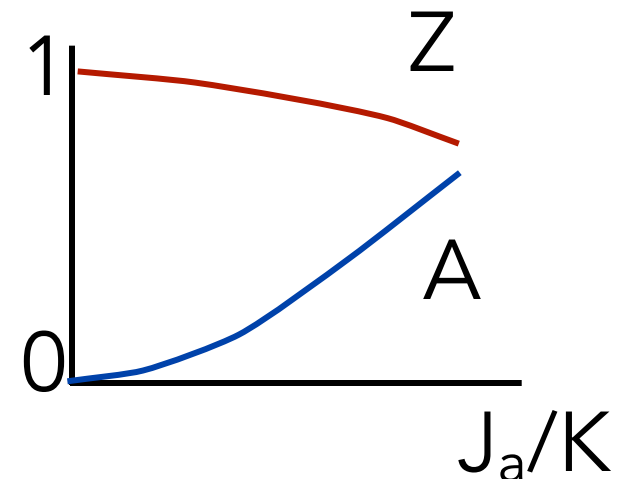
- A lattice operator can be expanded in a series of *quasiparticle* operators, which create exact eigenstates

$$\sigma_i^\mu = Z i c_i c_i^\mu + A i \epsilon^{\mu\nu\lambda} c_{i+\hat{\nu}} c_{i+\hat{\lambda}} + \dots$$

above the gap

below the gap

$$\sigma \sim \epsilon \mathbf{em} + \epsilon \epsilon + \dots$$



Microscopic origin

- A simple view: perturbations to Kitaev mix virtual excitations into ground state, which can cancel the flux introduced by naive spin operator
- Surprisingly, this *does not* occur for the Heisenberg-Kitaev model due to “dihedral” symmetry

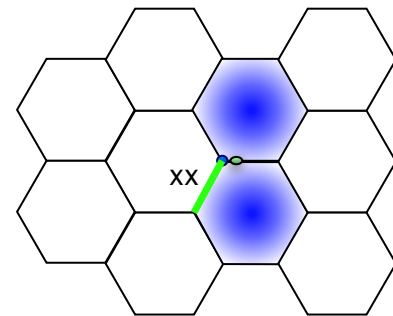
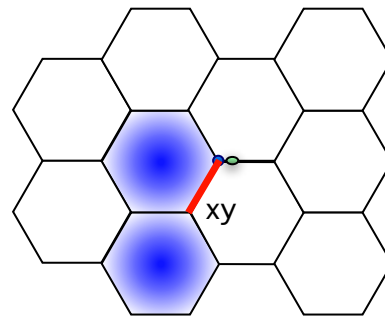
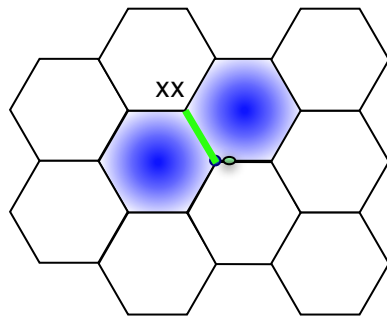
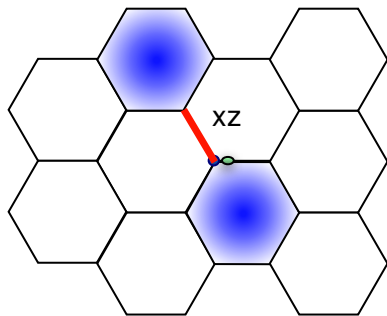
$$X, Y, Z = \prod_i \sigma_i^\mu \quad \begin{array}{l} \text{every spin is odd under 2} \\ \text{of these generators} \end{array}$$

Microscopic origin

- A simple view: perturbations to Kitaev mix virtual excitations into ground state, which can cancel the flux introduced by naive spin operator

$$H = \sum_{\langle ij \rangle \in \alpha\beta(\gamma)} [J\vec{S}_i \cdot \vec{S}_j + K S_i^\gamma S_j^\gamma + \Gamma(S_i^\alpha S_j^\beta + S_i^\beta S_j^\alpha)]$$

Rau, Lee, Kee



$$A \sim J^2 \Gamma^2$$

Field theory

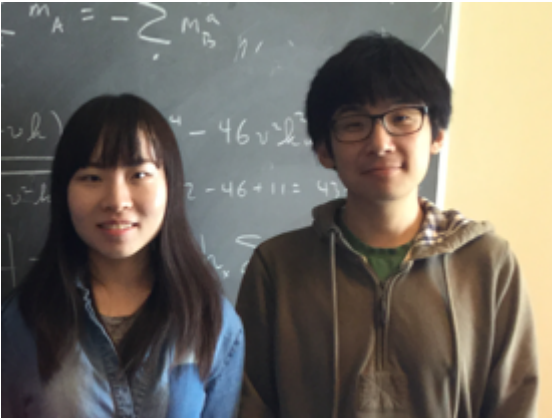
- Highbrow picture: effective field theory
 - A lattice operator can be expanded at low energy in a series of "primary fields". The coefficients are constrained by symmetry and depend on microscopics

$$\sigma_i^\mu \sim M_{s(i)}^\mu(\mathbf{x}_i) + \text{Re} \left[N_{s(i)}^\mu(\mathbf{x}_i) e^{i\mathbf{K} \cdot \mathbf{x}_i} \right]$$

$$M_{s(i)}^\mu \sim \psi^\dagger \psi$$

$$N_{s(i)}^\mu \sim \psi \partial \psi$$

- Amusing similarity to 1d Heisenberg chain

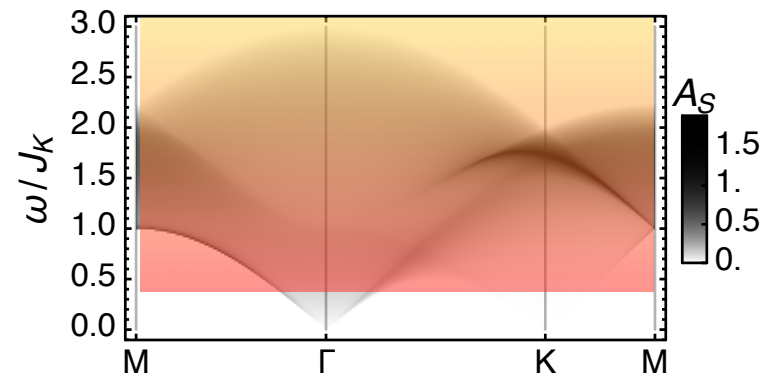


Answer

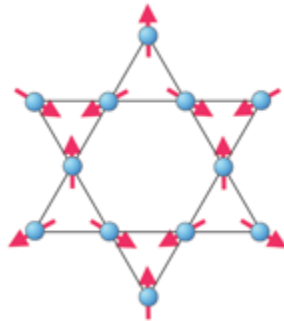
Xueyang Song, Yi-Zhuang You + LB, PRL 2016

- Generically, there is *not* a gap in the structure factor
- Instead, power-law weight appears within two Dirac cones centered around $k=0$ and $k=2K$

This is what we should expect if the Kitaev QSL is ever stabilized



Kagomé



$$H = J \sum_{\langle ij \rangle} \mathbf{S}_i \cdot \mathbf{S}_j$$

- Probably most-studied problem in frustrated magnetism
- Controversial! Most agree on non-magnetic ground state, but...

Elser V 1989

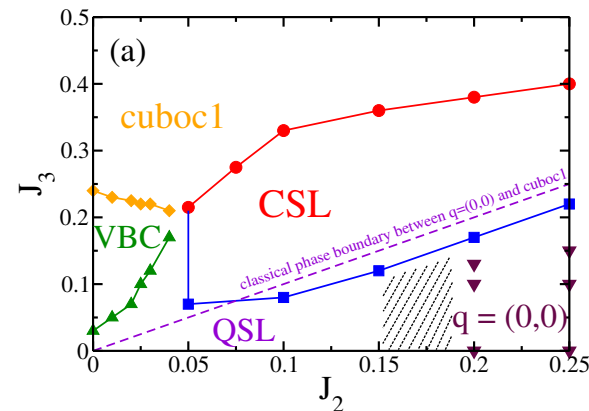
Lecheminant *et al*, 1997

Singh and Huse, 2007

Ran *et al*, 2007

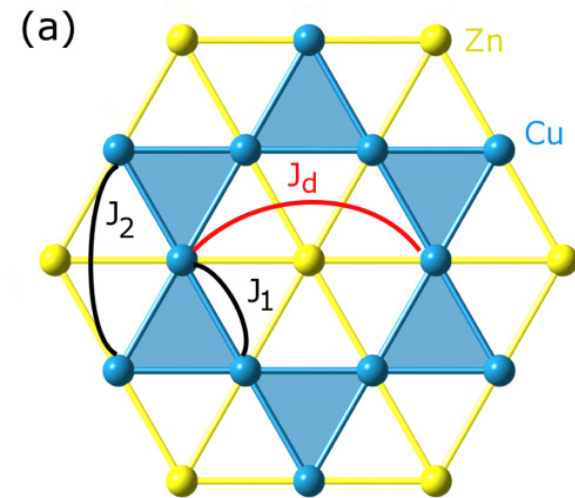
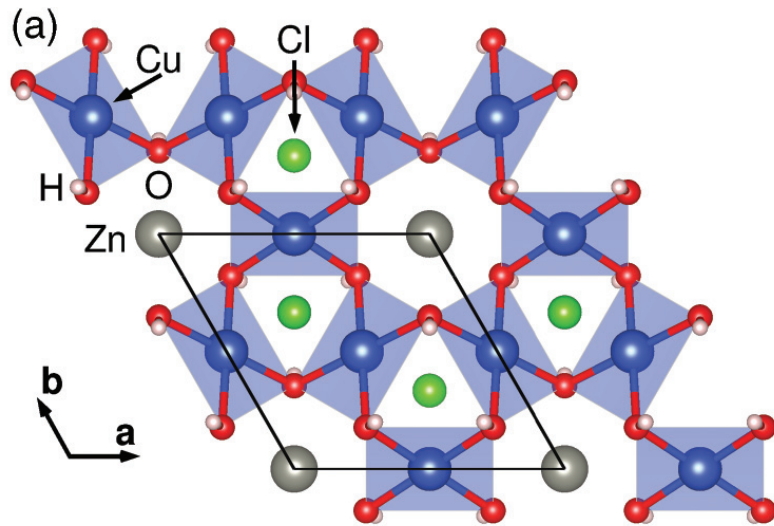
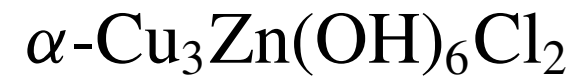
Yan, Huse, White 2011

- Many gapless singlets?
- Dimer solid state?
- Gapless Dirac QSL?
- Gapped Z_2 QSL?



SS Gong *et al*, 2015

Kapellasite



Modified Kagome Physics in the Natural Spin-1/2 Kagome Lattice Systems: Kapellasite $\text{Cu}_3\text{Zn}(\text{OH})_6\text{Cl}_2$ and Haydeeite $\text{Cu}_3\text{Mg}(\text{OH})_6\text{Cl}_2$

O. Janson,¹ J. Richter,² and H. Rosner^{1,*}

¹Max-Planck-Institut für Chemische Physik fester Stoffe, D-01187 Dresden, Germany

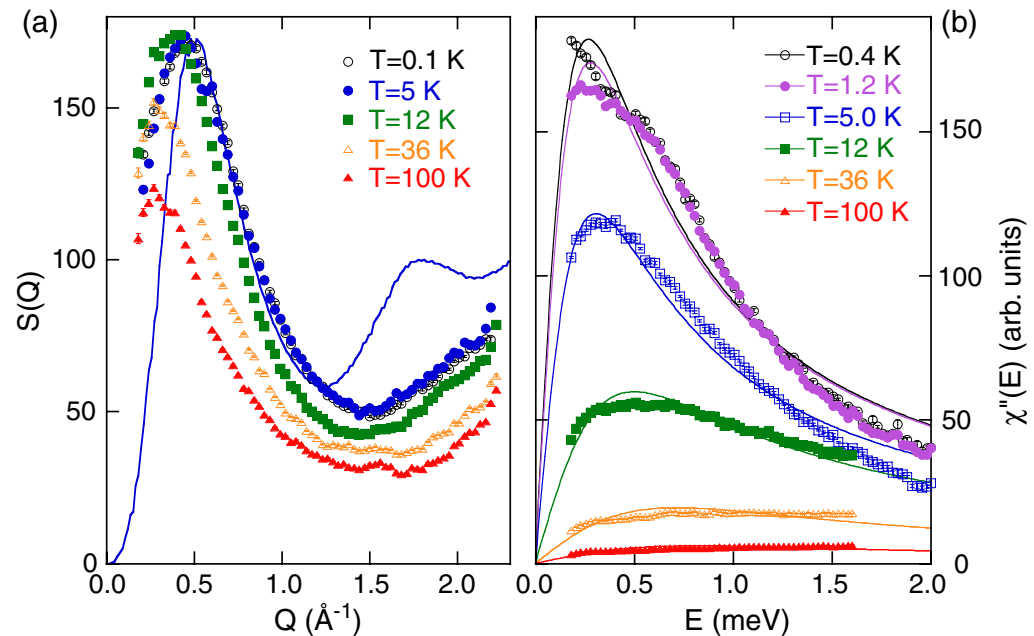
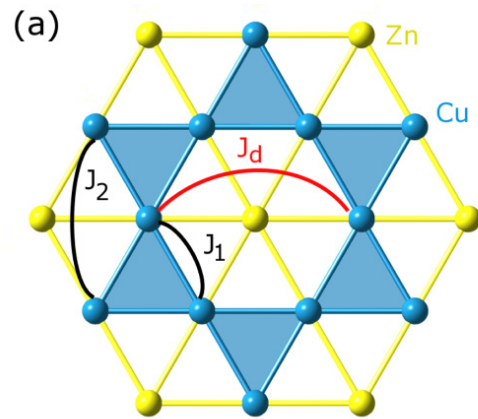
²Institut für Theoretische Physik, Universität Magdeburg, D-39016 Magdeburg, Germany
(Received 26 May 2008; published 3 September 2008)

The recently discovered natural minerals $\text{Cu}_3\text{Zn}(\text{OH})_6\text{Cl}_2$ and $\text{Cu}_3\text{Mg}(\text{OH})_6\text{Cl}_2$ are spin 1/2 systems with an ideal kagome geometry. Based on electronic structure calculations, we develop a realistic model which includes couplings across the kagome hexagons beyond the original kagome model that are intrinsic in real kagome materials. Exact diagonalization studies for the derived model reveal a strong impact of these couplings on the magnetic ground state. Our predictions could be compared to and supplied with neutron scattering, thermodynamic data, and NMR data.

J_1 FM

$J_d \sim -J_1$ AFM

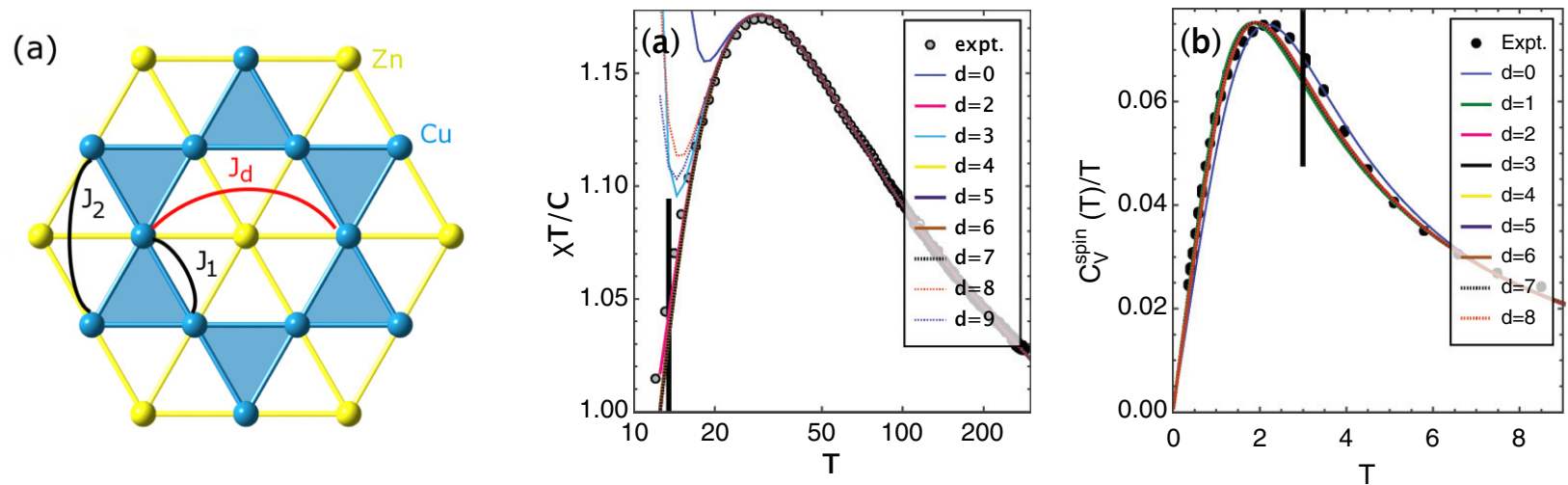
Kapellasite



B. Fåk *et al*, 2012

↑
Wavevector suggests
short-range order with
large unit cell

Kapellasite

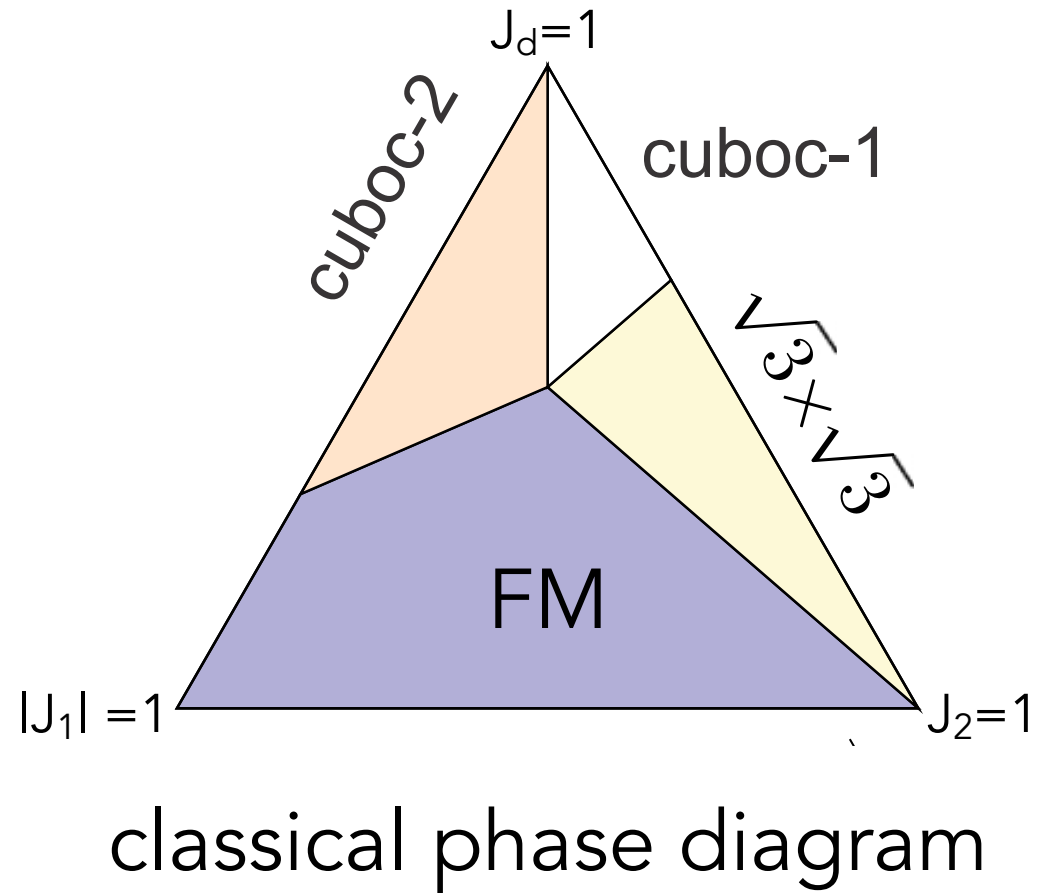
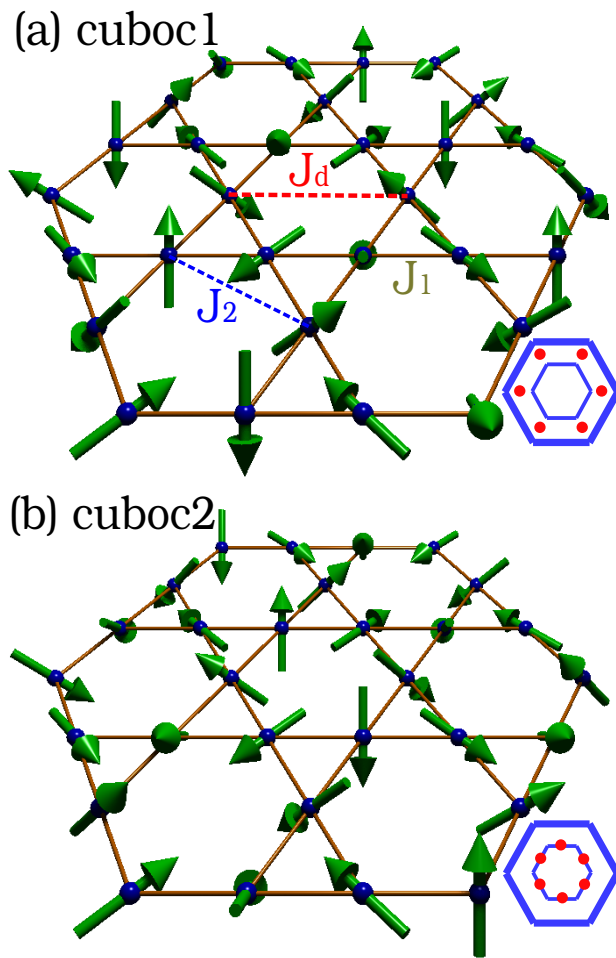


B. Bernu *et al*, 2013

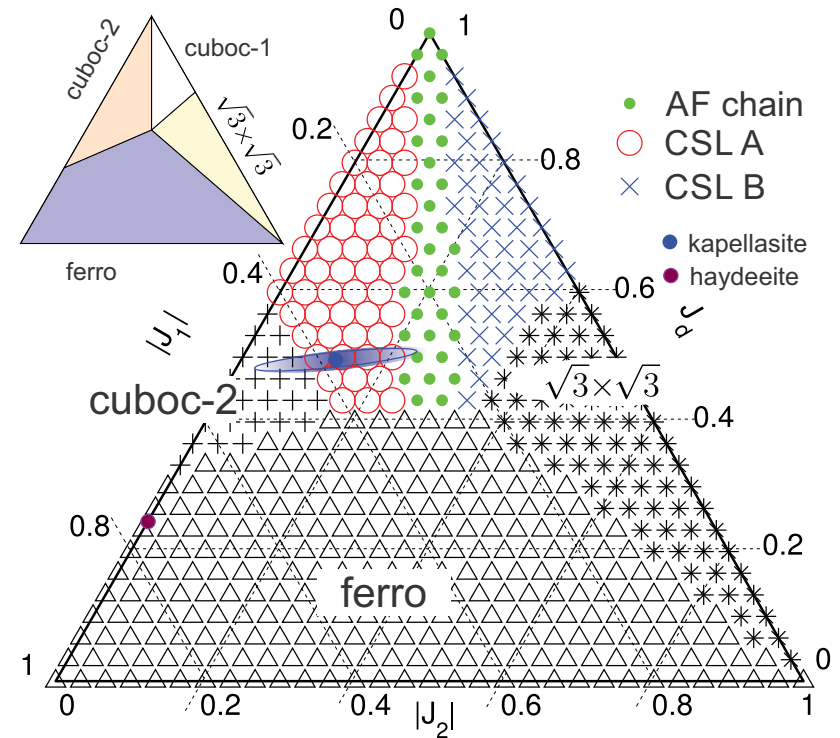
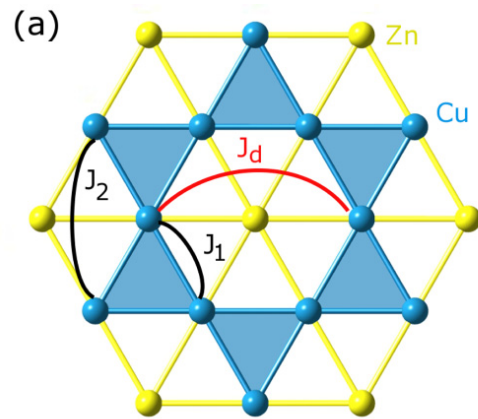
$$J_1 = -12, J_2 = -4, \text{ and } J_d = 15.6 \text{ K,}$$

What are the ground states for large J_d ?

Kapellasite



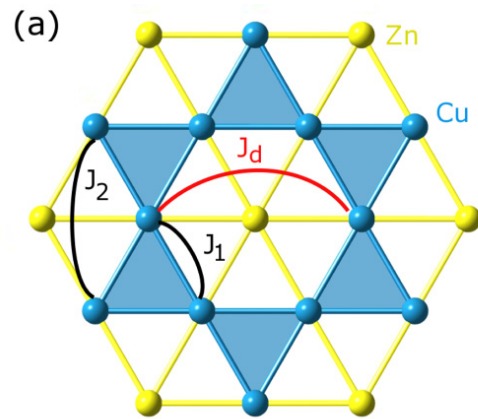
Kapellasite



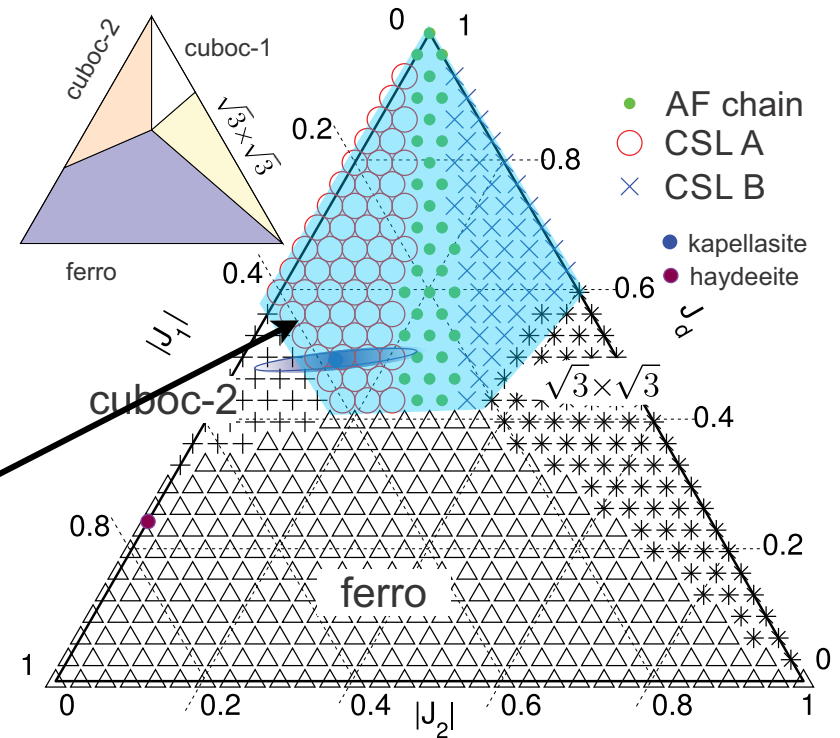
phase diagram from
variational wavefunctions

S. Bieri *et al*, 2015

Kapellasite



spin liquids?



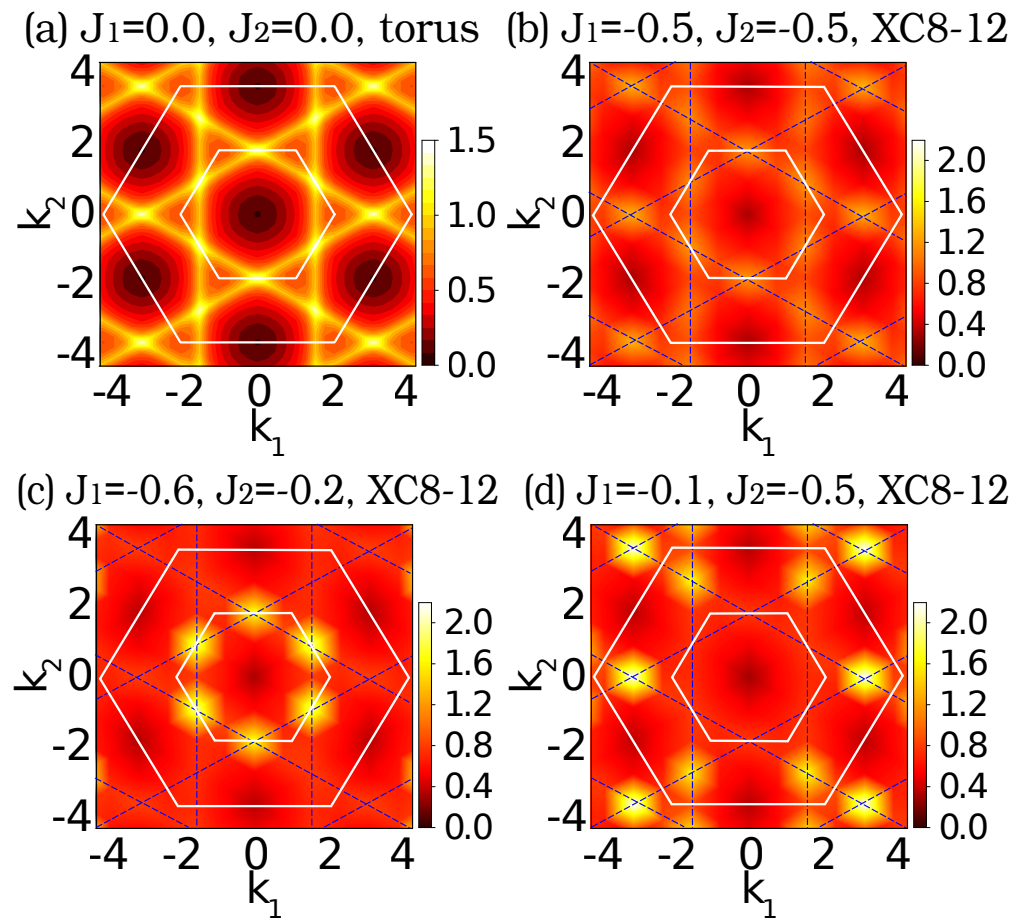
phase diagram from
variational wavefunctions

S. Bieri *et al*, 2015

DMRG

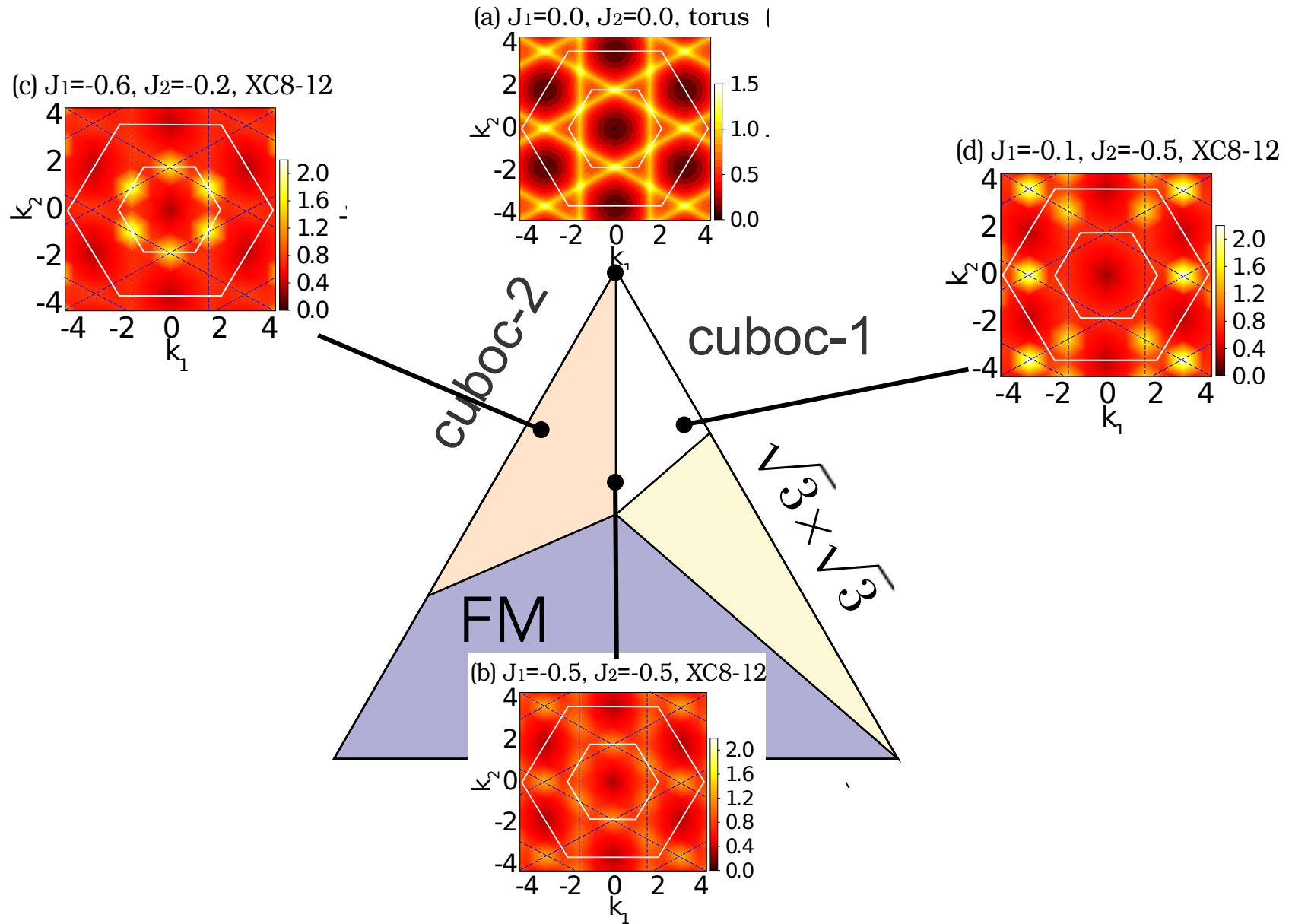


numerically exact results
on long cylinders

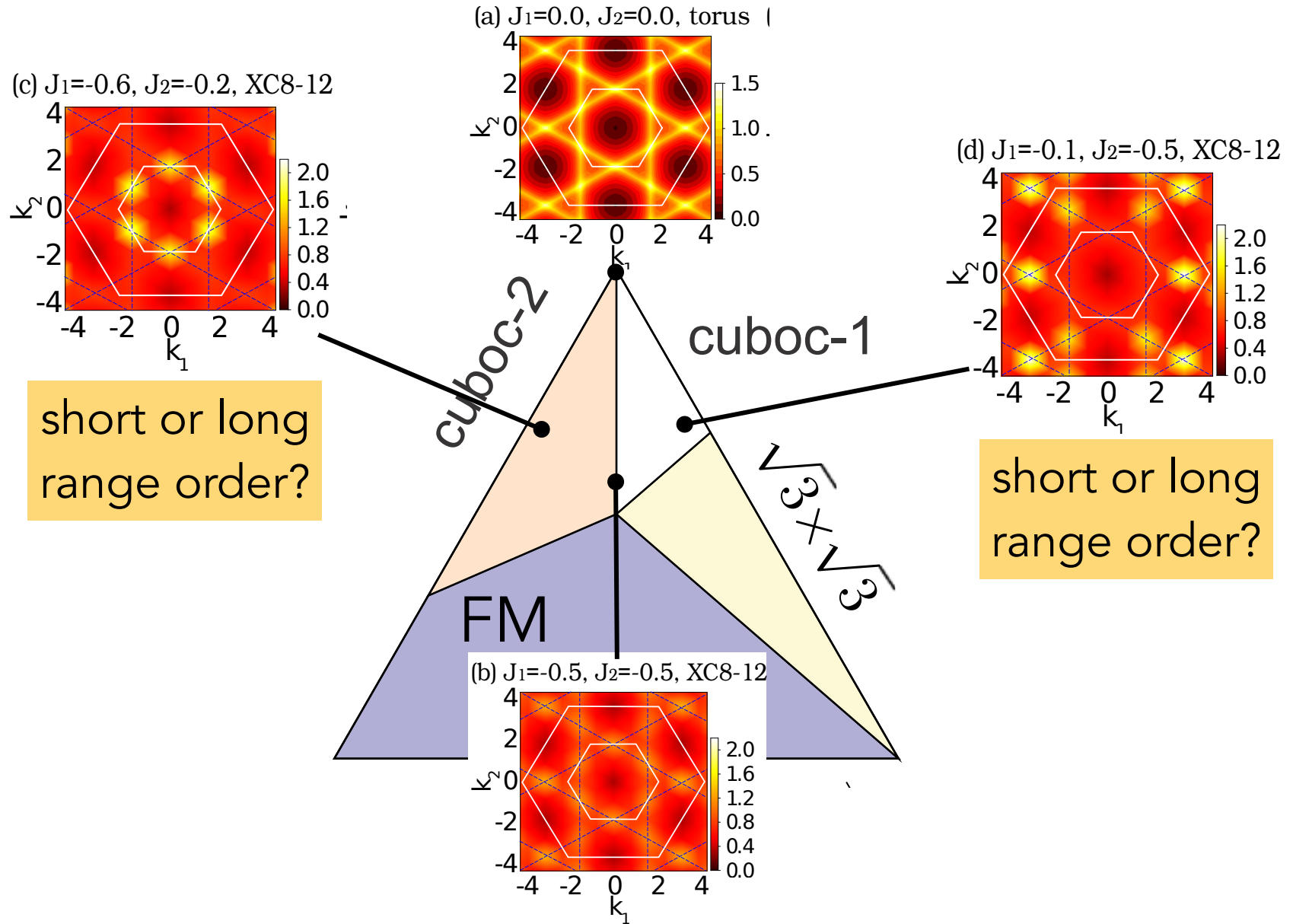


$$S(k, \omega)$$

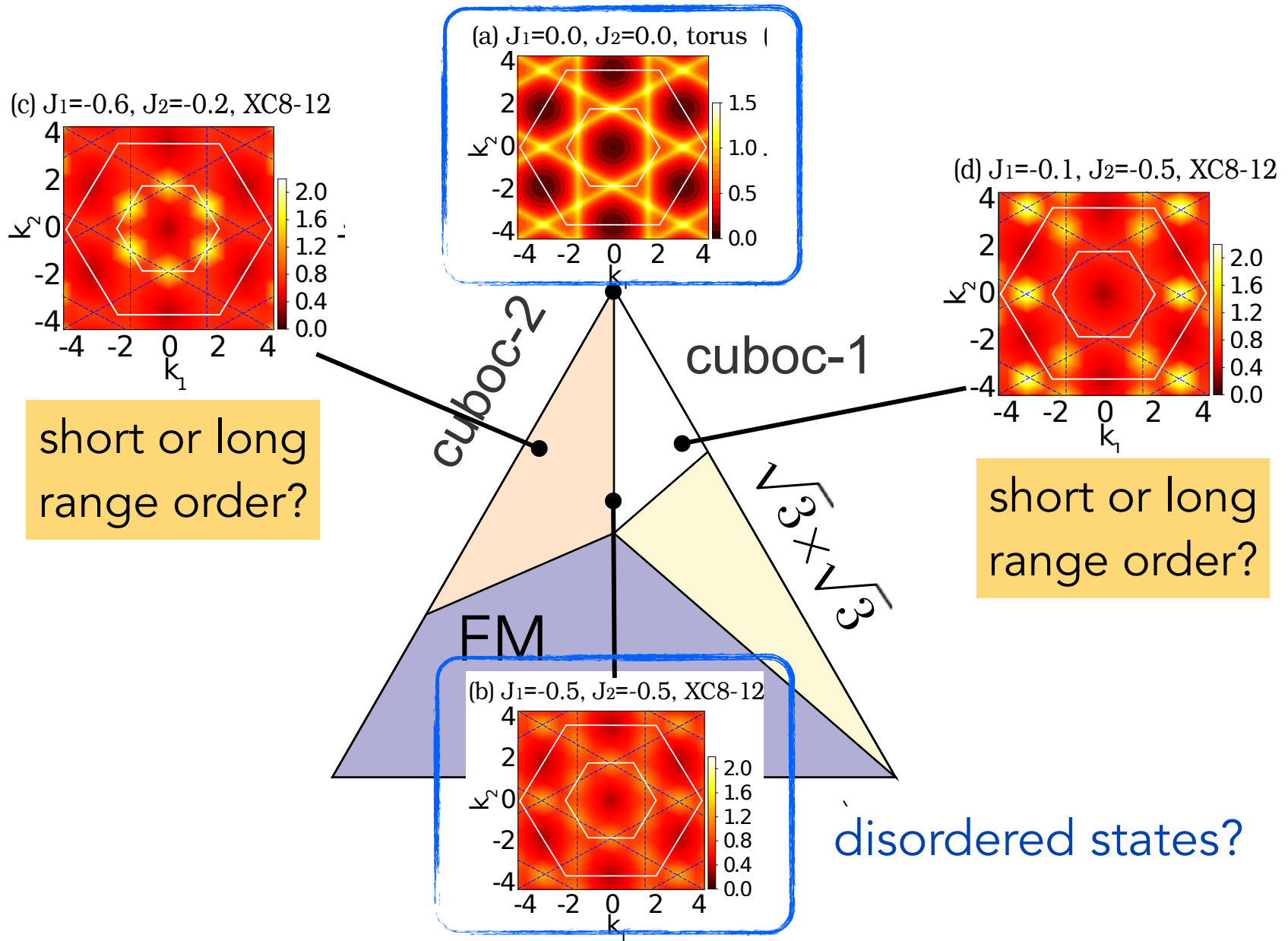
DMRG



DMRG

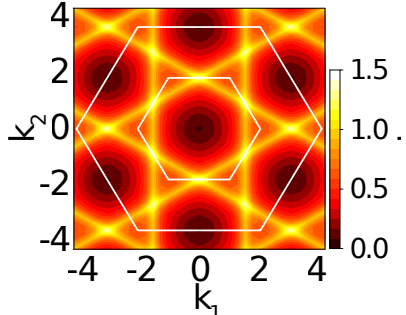


DMRG

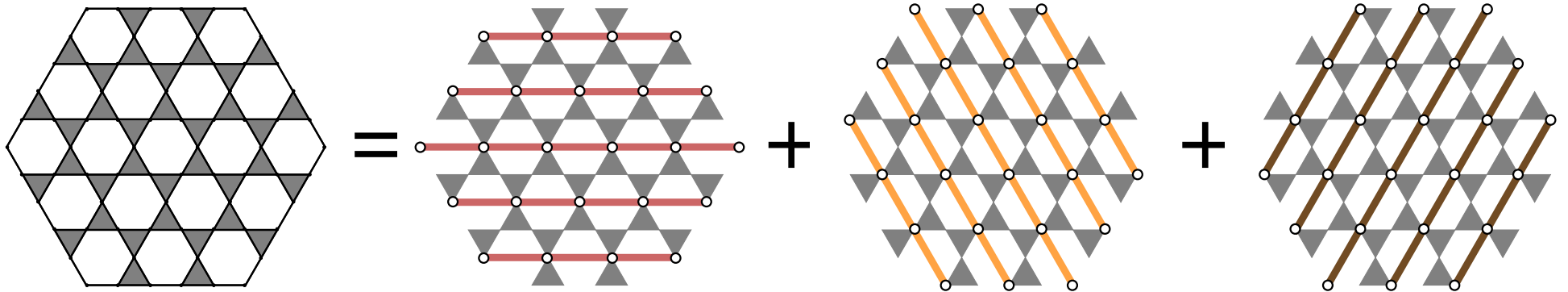


Theory

(a) $J_1=0.0, J_2=0.0$, torus (

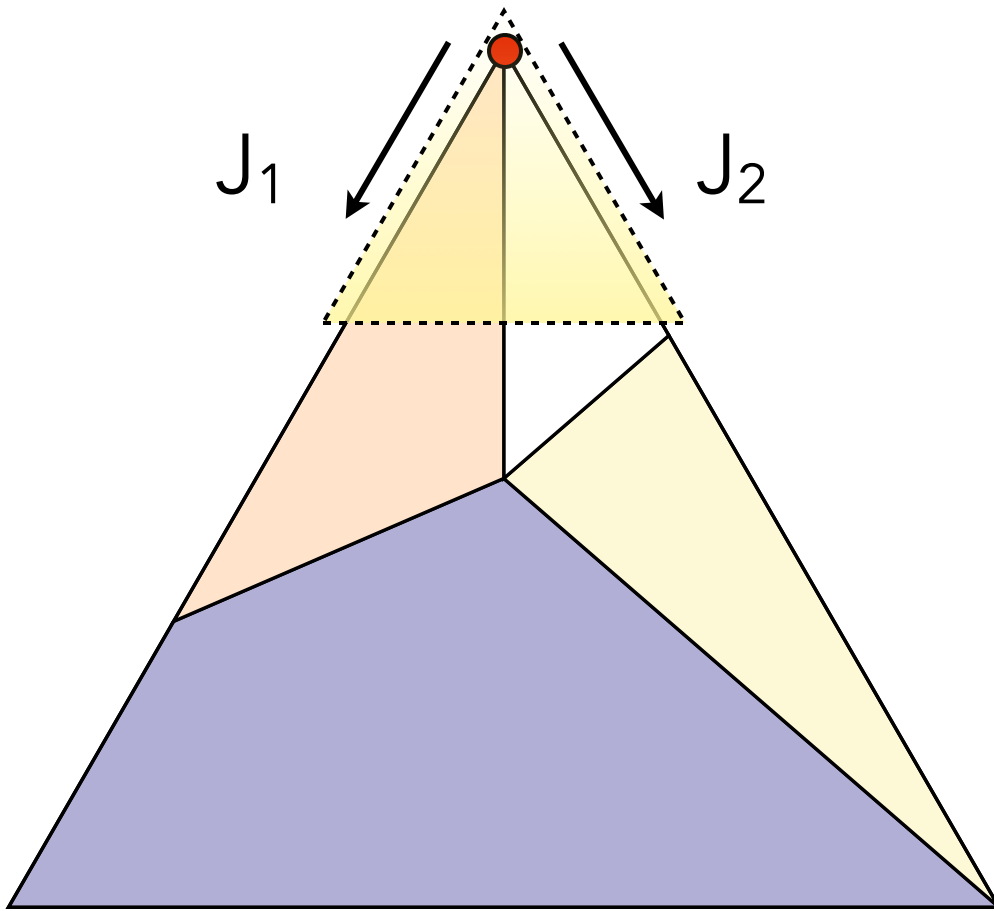


J_d only: one-dimensional chains



$$H = \sum_{a,y} H_{a,y}^{\text{Heis}}$$

Theory

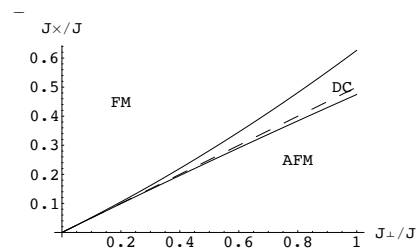
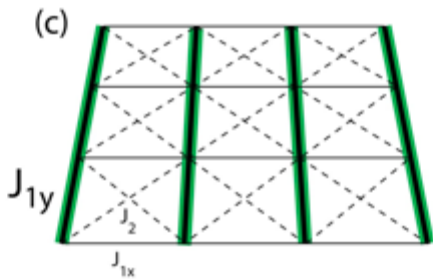


approach from
decoupled
chains

perturbative
renormalization group +
chain mean field theory

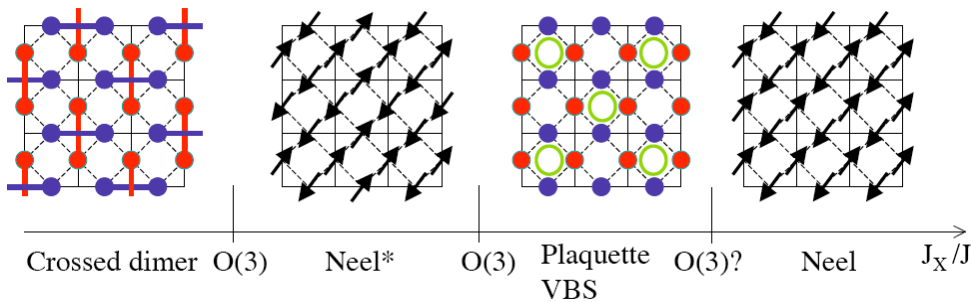


Koupled Chains



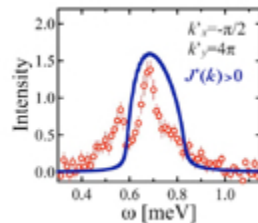
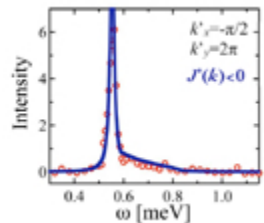
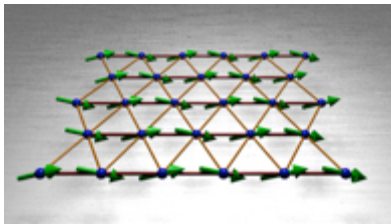
frustrated square lattice

O. Starykh, L.B., 2004



crossed chains/
planar pyrochlore

O. Starykh, A. Furusaki, L.B., 2005



anisotropic triangular lattice

Cs2CuCl4

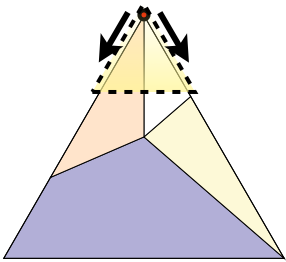
M. Kohno, O. Starykh, L.B., 2007

Cs2CuBr4

O. Starykh, L.B., 2007

O. Starykh, H. Katsura, L.B., 2010

Theory

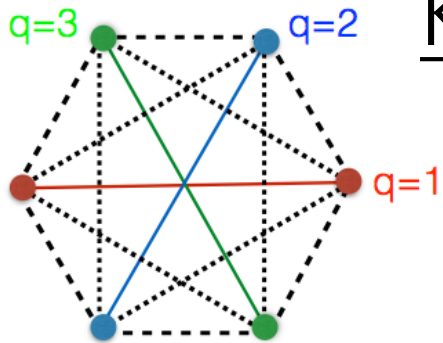


Decoupled chains:

low energy $SU(2)_1$ WZW field theory

primary fields = scaling
operators

$$\mathbf{N}_{q,y}, \varepsilon_{q,y}$$



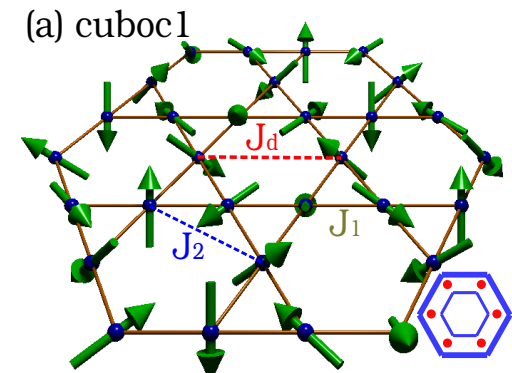
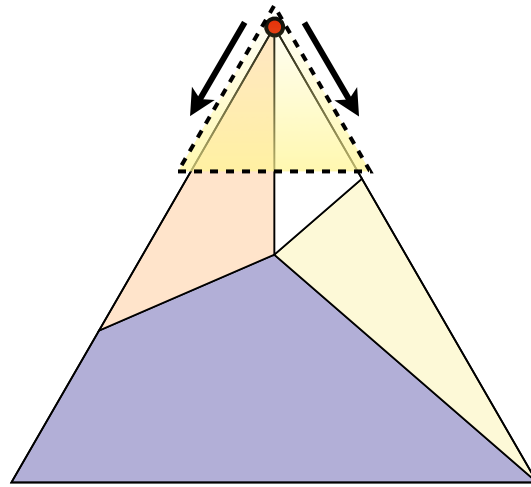
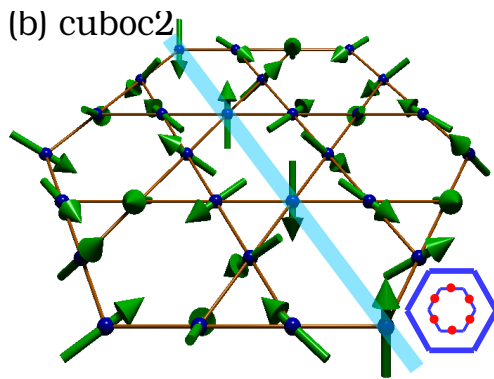
Koupling

$$H'_{\text{dom}} \sim 2(J_2 - J_1) \sum_q \sum_{y,y'} (-1)^y \mathbf{N}_{q,y}(-y') \cdot \mathbf{N}_{q+1,y'}(y + y').$$

$$-c \frac{J_1^2}{J_d} \sum_{y,y',q} (-1)^y \varepsilon_{q,y} \varepsilon_{q+1,y'}$$

CMFT

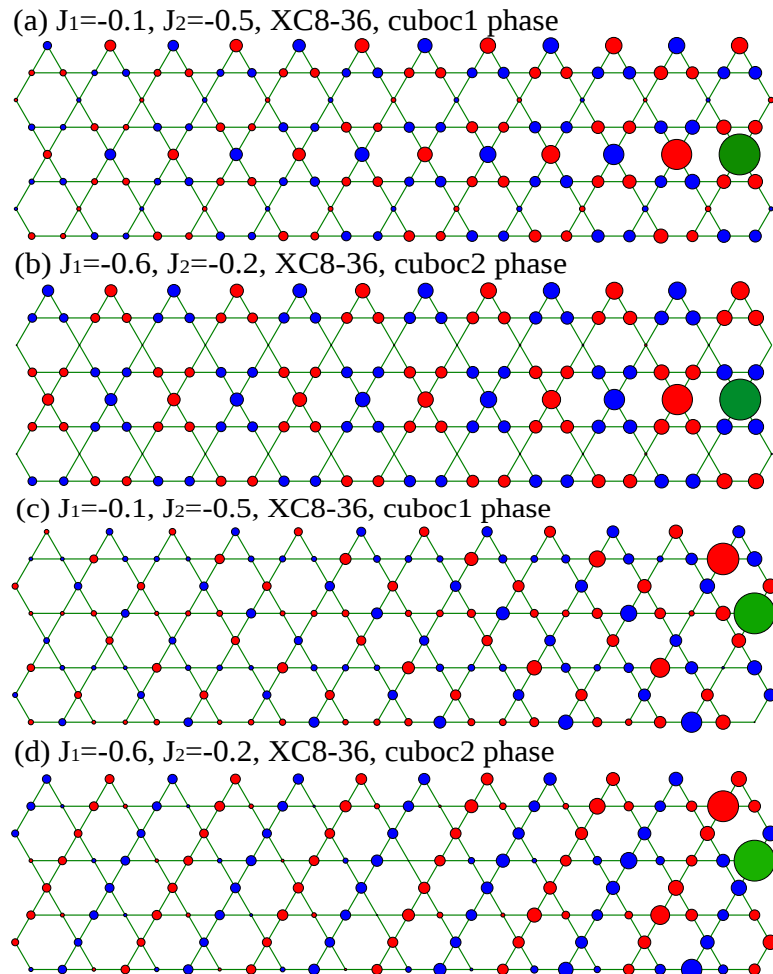
$$H_{CMFT} \sim (J_2 - J_1) \sum_{q,y,y'} (-1)^y \langle \mathbf{N}_{q,y} \rangle \cdot \mathbf{N}_{q+1,y'}$$



cuboc states fall out naturally from 1d chains

long range order $|\langle \mathbf{S}_i \rangle| \propto \sqrt{|J_1 - J_2|/J_d}$

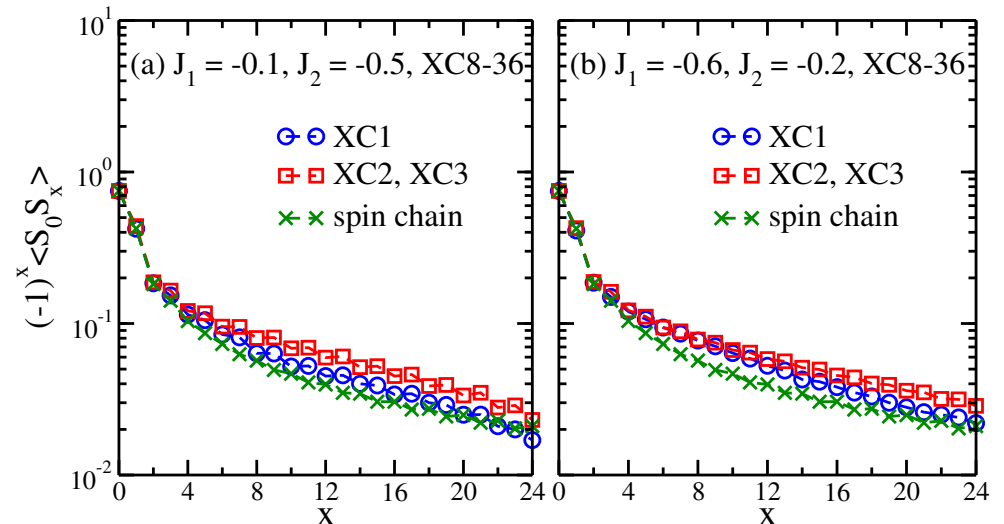
DMRG



Form of correlations are just what is expected for cuboc states

But can see underlying 1d structure

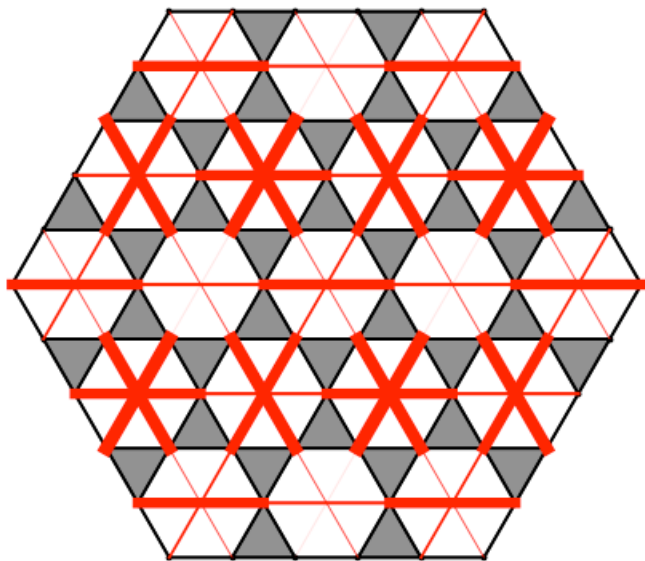
No LRO in 1d, but correlations are clearly enhanced beyond chains



Kompensated regime

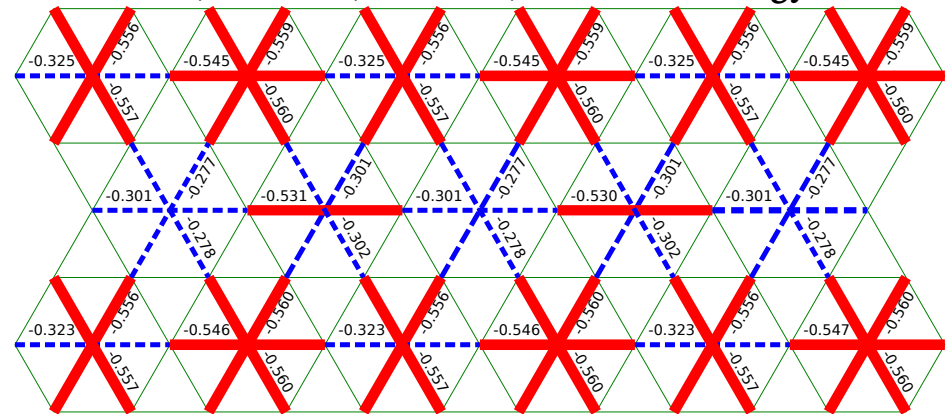
$J_1=J_2$: leading coupling cancels

$O[(J_1)^2]$ *dimerization* coupling dominates



theoretical VBS pattern
from dimerized chains

(a) $J_1=-0.5$, $J_2=-0.5$, XC8-36, J_d bond energy

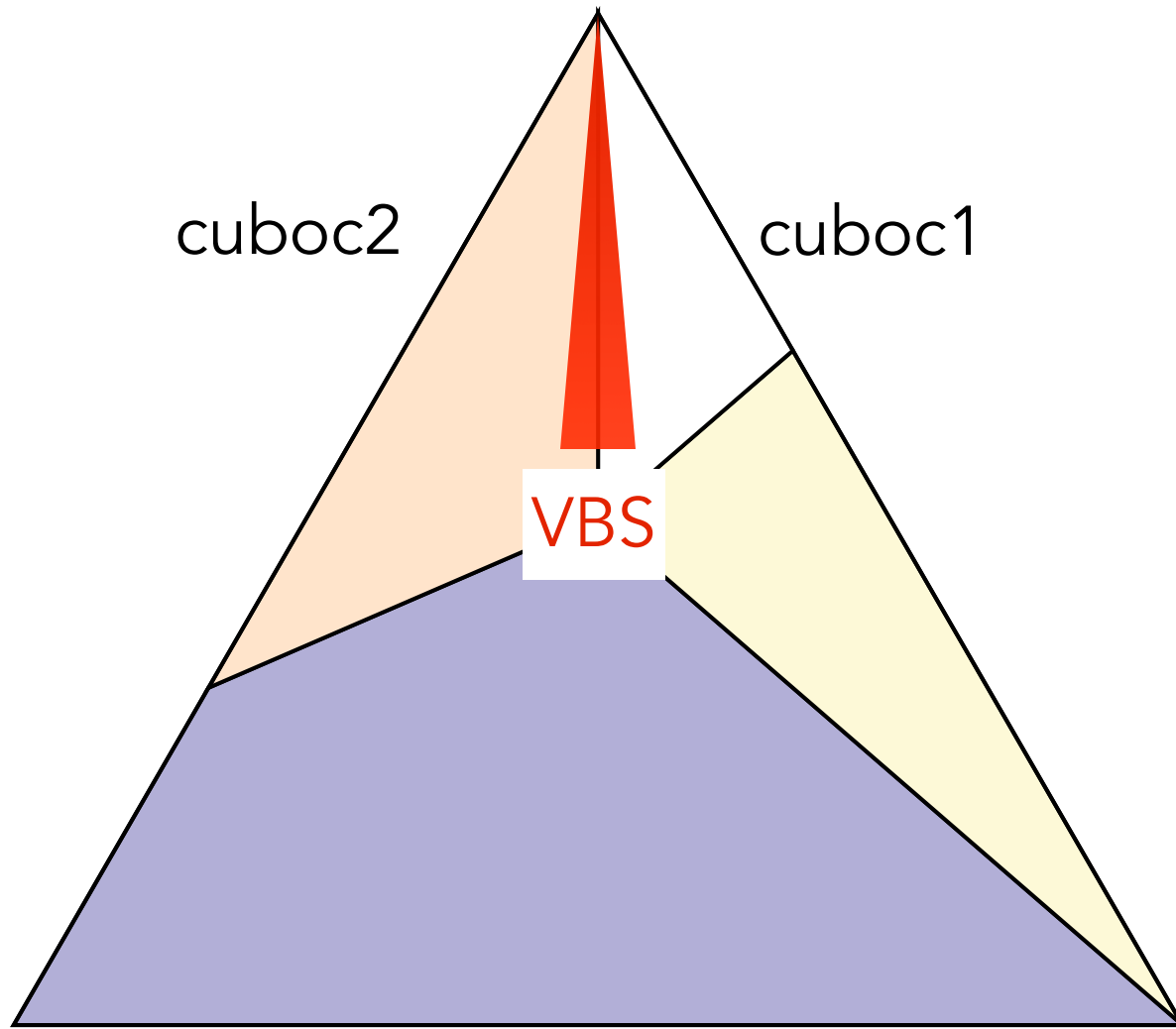


DMRG bond energies

strong confirmation of chain theory

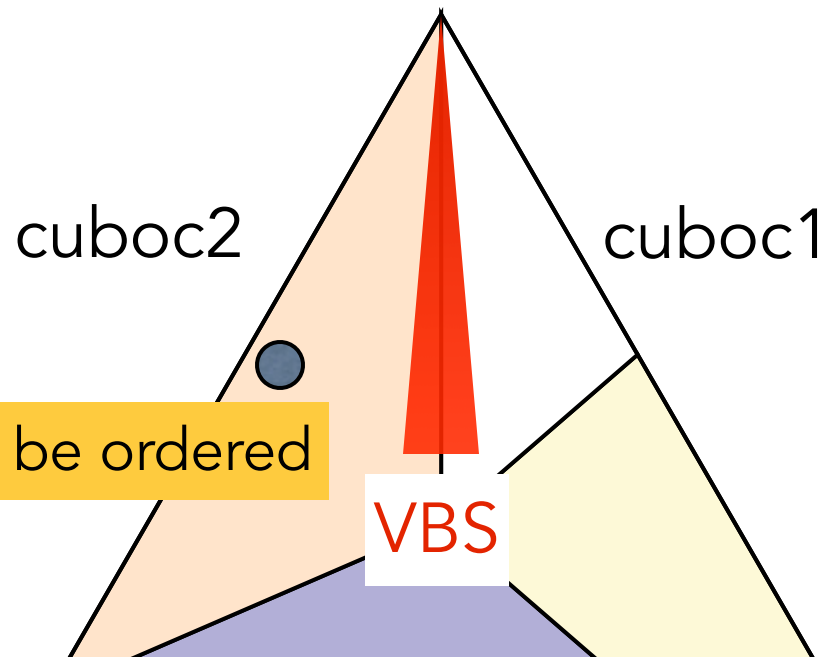
ReKapitulation

S.S. Gong *et al*, PRB 2016



ReKapitulation

S.S. Gong *et al*, PRB 2016

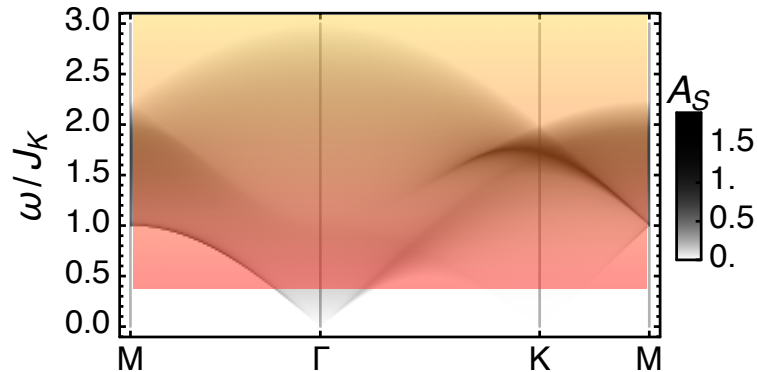


kapellasite should be ordered

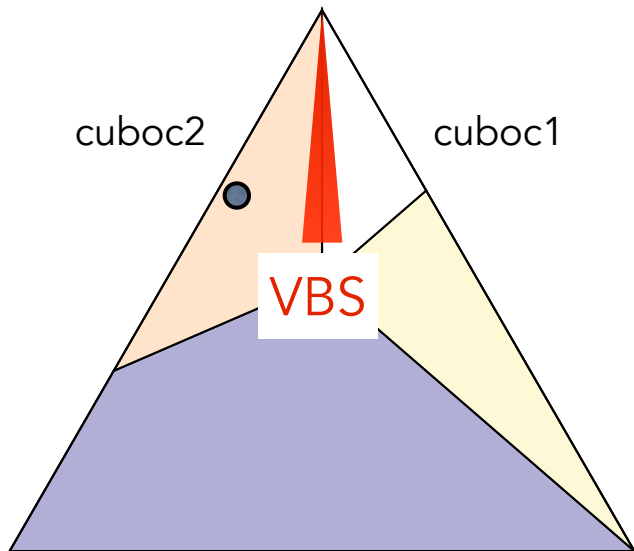
"³⁵Cl NMR data have confirmed the previously established level of dilution of 27% of the kagome lattice and further evidenced its random character. Surprisingly, an identical local magnetic"

E. Kermarrec
et al, 2014

Konklusion



The Kitaev spin liquid, if we ever find it, will have Dirac-like power-law spectral weight.



The J_d - J_1 - J_2 model for kapellasite may *not* support any QSLs. Disorder is probably playing a role in the actual material.

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