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Note to reader

This draft version of Chapter 1 in the Technical Background Report to the Global Mercury Assessment 2018 is made available for review by national representatives and experts. The draft version contains material that will be further refined and elaborated after the review process. Specific items where the content of this draft chapter will be further improved and modified are:

1. All graphics will be redrawn to a common appearance from the originals presented here, with their sources cited in the captions.
2. References will be completed and presented in a uniform style.
3. Conclusions and main messages will be formulated

GMA 2018 Draft Chapter 1 Introduction. Peter Outridge, Robert Mason, Feiyue Wang, Lars-Eric Heimburger, Milena Horvat, Xinbin Feng, Simon Wilson

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34 **Chapter 1 Introduction**

35 **1.1 Background and Mandate**

36 This report constitutes the Technical Background Material to the Global Mercury Assessment 2018
37 (GMA 2018). The GMA 2018 has been prepared in response to a request issued by UNEP's Governing
38 Council (now UN Environment Assembly) that UN Environment should update its 2013 Global Mercury
39 Assessment (GMA 2013) within a period of 6 years, i.e. for delivery no later than 2019. This Technical
40 Background report is developed as a joint project by UN Environment and the Arctic Monitoring and
41 Assessment Programme (AMAP).

42 The GMA 2018 provides a scientific assessment of Hg emissions and releases, and its transport of fate in
43 the global environment. The report reflects progress made by the scientific community, national
44 authorities and organisations in better understanding atmospheric Hg emissions (Chapter 2), Hg levels in
45 air (Chapter 3), atmospheric transport and fate (Chapter 4), releases to water (chapter 5), and the
46 cycling and methylation of Hg in the aquatic environment (Chapter 6). In addition to updating the GMA
47 2013, this report includes additional, new sections on observed levels of Hg in biota (Chapter 7), and
48 observed levels and effects of Hg in humans (Chapter 8).

49 **1.2 Recent advances in understanding of global mercury cycling**

50 **1.2.1 A General Overview**

51 Owing to the scale and chemical complexity of Hg in the global environment, and the lack of detailed
52 information for many parts of the Hg cycle, the planetary Hg cycle is best described and communicated
53 in a quantitative manner by using the outputs from global-scale models, the subject of this section. In
54 general, Hg is released into the global environment from natural sources and processes such as
55 volcanoes and rock weathering, and as a result of human activities. Once it has entered the
56 environment, Hg cycles between the major environmental compartments – air, soils and waters – until it
57 is eventually removed from the system through burial in deep ocean sediments and mineral soils (Fig.
58 1.2.1). Only a minute fraction of the Hg present in the environment is in the most toxic and bioavailable
59 form - monomethylmercury (MeHg). Monomethylmercury is produced from inorganic Hg mainly in
60 aquatic ecosystems through natural microbiological processes. The natural processes responsible for the
61 formation and destruction of MeHg are only partly understood, which contributes to the difficulties in
62 predicting the direct positive effects of regulatory action on biological Hg concentrations and human

63 exposure. However, regulatory action can only work to reduce anthropogenic Hg inputs into the
64 environment. Recent findings on the methylation/ demethylation part of the Hg cycle are presented in
65 Chapter 6.2.

66 In the 2013 Global Mercury Technical Assessment (AMAP/UNEP, 2013), based on a global model and
67 budget developed by Mason et al. (2012), it was estimated that over the past century anthropogenic
68 activities cumulatively have increased atmospheric Hg concentrations by 300-500%, whereas Hg in
69 surface ocean waters less than 200 metres deep has increased on average by ~200%. Deeper waters
70 exhibit smaller increases (11-25%) because of limited exposure to atmospheric and riverine
71 anthropogenic Hg inputs, and the century- to millennium-scale residence times of these slowly over-
72 turning, isolated water masses. Because of the naturally large Hg mass present in soils, the average Hg
73 increase is only ~20% in surface organic soils and is negligible in mineral soils.

74 As with almost all modelled global budgets of trace elements and chemical substances, large
75 uncertainties exist regarding the amounts of Hg 'stored' in different environmental compartments, the
76 fluxes of Hg between them, and the rates of removal of Hg from the biologically active parts of the
77 global environment (AMAP/UNEP, 2013). These uncertainties limit confidence in our understanding of
78 the Hg cycle and in our ability to predict the responses of ecosystem Hg concentrations to changes in
79 emissions due to international regulatory actions. Therefore, major on-going efforts have been mounted
80 to reduce these uncertainties and derive a more robust, accurate global model.

81 Since 2012, additional measurements of Hg concentrations and fluxes in oceans, atmosphere and soils
82 have led to suggested refinements of global budgets and models by several research groups (Table
83 1.2.1), but major uncertainties persist. In general, the new estimates of Hg in the atmosphere mostly
84 agree within the limits of uncertainty with the AMAP/UNEP (2013) budget. However, two of the recent
85 studies (Amos et al., 2013; Zhang et al., 2014) suggest that the terrestrial system contains a larger
86 fraction of anthropogenic Hg compared to the oceans than was previously believed. This revision is
87 supported by new modelling of the global transport and fate of atmospheric gaseous elemental mercury
88 (GEM) (Song et al., 2015). Also, recent work on atmospheric Hg dynamics under forest canopies suggests
89 that the uptake of GEM through leaf stomata at night-time has previously been significantly
90 underestimated, and that GEM-containing litterfall and throughfall in global vegetation, and not wet and
91 dry deposition of Hg^{II} species, may represent the largest net flux of atmospheric Hg to terrestrial
92 ecosystems (Fu et al., 2016; Wang et al., 2016; Obrist et al., 2017).

93 With respect to the world's oceans, there are significant differences between the new models
94 concerning the quantity of anthropogenic Hg presently circulating in seawater (c.f. Amos et al., 2013,
95 2015; Zhang et al., 2014; Lamborg et al., 2014). Because much of the current risk from Hg to human and
96 wildlife is derived from marine food-webs, the questions of how much anthropogenic Hg is present in
97 the oceans, its distribution, and its rate of clearance from seawater, are of fundamental importance and
98 so are the main focus of section 1.2.

99 The observed differences between models concerning these questions are primarily due to varying
100 estimates of the amount and environmental fate of atmospheric emissions from historical mining in the
101 Americas between the 15th and late 19th centuries, and to differences in the estimated amount of natural
102 Hg originally present in the oceans (see Table 1.2.1). Overall, the different chemical rate constants used
103 for modelling circulation processes within and between oceanic, atmospheric and terrestrial
104 compartments are a secondary factor in uncertainty. Substantial Hg releases to land, freshwaters and air
105 occurred from primary Hg mineral mining, and gold (Au) and silver (Ag) mining and amalgamation, in
106 South/Central America during the Spanish colonial period (ca. 1450-1850 AD), and later from North
107 American artisanal and small-scale Au and Ag mining during the "Gold Rush" era (ca. 1850-1920)
108 (Nriagu, 1993; Strode et al., 2009). It is generally agreed that some fraction of the Hg from these
109 historical emissions is still circulating within the global environment, and that this has had an effect on
110 present-day environmental Hg levels, especially in the oceans. But quantification of that effect is
111 currently uncertain.

112 Table 1.2.1. Recent estimates of total, anthropogenic and “natural”^a Hg in global air, soils and oceans (units in kilotonnes (1 kt = 1,000 t)).

	Amos et al. (2013)	Zhang et al. (2014)	Lamborg et al. (2014)	Mason et al. (2012); AMAP/UNEP (2013)
Atmospheric Hg				
Total	5.3	4.4	n/a	5.1
Anthropogenic	4.6	3.6		3.4-4.1 ^b
Natural	0.7	0.8		1.0-1.7
Soil Hg				
Total	271	--	n/a	201
Anthropogenic	89	92		40
Natural	182	--		161
Oceanic Hg				
Total	343	257	316	358
Anthropogenic	222	66 (38-106) ^c	58±16 ^d	53
Natural	122	191	258 ^e	305

113 ^a – The time point for designation of the “natural” Hg states, and therefore the quantification of “natural” and “anthropogenic” Hg masses, differed between
 114 studies: specified at 2000 BC in the “pre-anthropogenic period” by Amos et al. (2013), at 1450 AD by Zhang et al. (2014) which was prior to major historical
 115 mining, and *ca.* 1840 AD by Lamborg et al. (2014) which was prior to the North American “Gold Rush” and the expansion of coal-fired combustion sources. The
 116 anthropogenic Hg values from Mason et al. (2012) are based on increases over the last century, and thus their “natural” Hg mass may be over-estimated and
 117 the anthropogenic mass under-estimated compared with the other studies.

118 ^b – Ranges for anthropogenic and “natural” Hg calculated assuming an estimated 300-500% increase in total Hg due to anthropogenic activities over past
 119 century (Mason et al. 2012).

120 ^c - the Zhang et al. (2014) best estimate for oceanic anthropogenic Hg is followed by its uncertainty range in brackets.

- 121 ^d - based on an oceanic anthropogenic Hg:anthropogenic CO₂ ratio for 1994; a more recent (higher) oceanic CO₂ estimate gave an Hg_{anthr} estimate of 76 kt Hg
122 (Lamborg et al., 2014).
123 ^e – calculated by subtraction.

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124 **1.2.2 How much anthropogenic Hg is in the world's oceans, and what was its source?**

125 The total amount of Hg currently in oceans reflects a mixture of sources: historical anthropogenic inputs
126 to air, land and oceans; historical natural emissions; and current year anthropogenic and natural
127 releases. Consequently, global models need to estimate these quantities and how they have been
128 cycled, transported and transformed over long (decadal to century) time-scales.

129 Up until 2012, the published estimates of oceanic anthropogenic Hg exhibited more than an order of
130 magnitude range, from 7.2 to 263 kt (Mason et al., 1994; Lamborg et al., 2002; Sunderland and Mason,
131 2007; Selin et al., 2008; Strode et al., 2010; Soerensen et al., 2010; Streets et al., 2011; Mason et al.
132 2012). Since then, another estimate (222 kt) near the upper end of this range was derived by Amos et al.
133 (2013) based on Streets et al. (2011) putative history of major emissions from historical Ag and Au
134 mining activities. Subsequently, however, Zhang, Streets and colleagues (Zhang et al., 2014) revised the
135 historical mining emissions downwards by three-fold to make the trends in global Hg emissions more
136 compatible with the Hg deposition histories recorded in 120 lake sediments world-wide (Figure 1.2.2).

137 The revision was stimulated in part by a historical analysis of documented liquid elemental Hg
138 importation and consumption during Ag mining operations in the 15-17th centuries in what is today
139 Mexico, Peru and Bolivia (Guerrero, 2012). In the AMAP/UNEP 2013 report, it was estimated that 45% of
140 the Hg used in artisanal gold mining and amalgamation in the present-day was volatilized into the
141 atmosphere. By comparison, Guerrero (2012) suggested that only 7-34% was volatilized during historical
142 Ag production, with 66-93% of the consumed Hg forming solid calomel (Hg_2Cl_2) that was trapped in
143 mining waste and deposited locally into streams or landfills. This new study of historical Ag production is
144 a potentially important advance in understanding of the global Hg cycle, because another recent
145 estimate of cumulative global atmospheric Hg emissions from all man-made sources up to 2010
146 suggested that Ag production was the largest single source of Hg, contributing several-times more Hg to
147 air throughout history (146 kt, 31% of total) than large-scale and artisanal gold production combined
148 (55.4 kt; Streets et al. 2017). Emissions from Ag production are thought to have peaked during the late
149 19th century, coincident with North American mining, however, there are relatively large uncertainties of
150 up to 100% (represented in terms of 80% confidence intervals) around the total emissions for the period
151 1870-1910 (Streets et al. 2017).

152 After assuming that historical Ag and Au mining and amalgamation had the same loss rate to the
153 atmosphere (17%), Zhang et al.'s (2014) revised anthropogenic emission inventory (see Fig. 1.2.2) was

154 markedly smaller than that of Streets et al. (2011) (cumulative totals of 190 kt versus 351 kt,
155 respectively). Using this revised inventory with the GEOS-Chem model, Zhang et al. (2014) found a 3-fold
156 lower current oceanic anthropogenic Hg mass than that derived by Amos et al. (2013) using the same
157 model but a larger emission from historical mining (see Table 1.2.1).

158 Corroborative data supporting the lower historical mining emissions proposed by Zhang et al. (2014)
159 came from an independent analysis of another large global set of lake sediment Hg profiles (Engstrom et
160 al. 2014). Atmospheric Hg deposition was substantially increased during the Spanish colonial period in
161 one South American lake (Negrita) close to the sites of historical mining and amalgamation activities,
162 with less impact in another regional lake (El Junco) further away (Fig. 1.2.3). But no evidence of
163 increased deposition at this time was found in sediment cores from remote North American or African
164 lakes (see Fig. 1.2.3), suggesting that the historical contamination from Spanish colonial mining was
165 geographically limited to surrounding terrestrial and freshwater ecosystems. Thus, the world-wide lake
166 sediment record indicates a large local, but negligible global, impact from Spanish era Au and Ag mining
167 and production during the 15th to 17th centuries.

168 Amos et al. (2015) discounted this evidence by arguing that lake sediments in general respond relatively
169 slowly and insensitively to changes in atmospheric Hg deposition. Recent evidence of significant GEM
170 uptake by plant leaves, and of high Hg fluxes to soils in leaf litterfall and throughfall (Fu et al., 2016;
171 Obrist et al., 2017), raises another complicating possibility - that sediment archives ultimately may be
172 more reflective of trends in GEM concentrations, through transfer from watersheds via litterfall and
173 throughfall, rather than of wet and dry deposition. Amos et al. (2015) also proposed that the Guerrero
174 (2012) volatilization estimate was unrealistically low because it omitted Hg losses during amalgamation,
175 reprocessing of Hg-containing Ag and Au products, and revolatilization from historic solid mining wastes.
176 Evaluation of alternative GEOS-Chem model scenarios by Amos et al. (2015) suggested that the “mining
177 reduced 3x” history of Zhang et al. (2014) was inconsistent with Hg measurements in present-day
178 environmental reservoirs, as well as with the magnitude of Hg enrichment in peat and some lake
179 sediment archives. However, examination of the published model outputs shows that the reduced
180 mining scenario gave markedly closer agreement with observed upper ocean total Hg concentrations
181 and net oceanic evasion rates than the original mining emission history of Streets et al. (2011), with
182 almost identical present-day soil Hg concentrations and net terrestrial flux (see Amos et al. (2015), c.f.
183 Figs. 3d, 3g, 3f, 3h, respectively).

184 Independent evidence supporting the revised (lower) Zhang et al. (2014) emission history, and the lake
185 sediment records of Engstrom et al. (2014), was recently provided by three remote glacier ice core
186 records from the Yukon, Greenland, and Tibetan Plateau (Fig. 1.2.4). Streets et al. (2011) estimated
187 there to have been an average ~500% increase in primary anthropogenic emissions globally between
188 1850 and the late 1800s that was attributable to the North American Gold Rush. However, the two
189 Arctic or sub-Arctic glacier records (Mount Logan, Yukon (Beal et al., 2015), and the NEEM site,
190 Greenland (Zheng, 2015)) reported increases in mean Hg accumulation rate of only 120% and 30%,
191 respectively, between 1748-1850 and 1851-1900. The ice core from Tibetan Plateau (Mount
192 Gelaidandong, ~6620 m.a.s.l.; Kang et al., 2016) did not display any marked increase in Hg accumulation
193 in the late 1800s that could be due to large, globally-distributed emissions from the North American
194 Gold Rush (see Fig. 1.2.4). Furthermore, neither the Mt. Logan core, which extended back to ~1400 AD,
195 nor the Mt. Gelaidandong core, which extended back to 1477 AD, revealed elevated Hg accumulation
196 during the 15th to 17th centuries that could be attributed to the Spanish Colonial Ag and Au mining
197 operations in Central America and Mexico. In both glacier cores, by far the highest Hg accumulation
198 rates over the last ~600 years occurred after the 1920s (Beal et al., 2015; Kang et al., 2016). In summary,
199 all three ice core records are in closer agreement with the downwards-revised historical emissions
200 budget of Zhang et al. (2014) than with the earlier estimate by Streets et al. (2011) which underpinned
201 Amos et al.'s (2013) global model.

202 Thus, the weight of evidence at present supports the Zhang et al. (2014) emission history, and suggests
203 that the atmospheric Hg emissions produced by historical mining and amalgamation techniques were
204 geographically restricted, with dispersion confined mostly to local and regional terrestrial and
205 freshwater environments. That these historical emissions had significant effects on Hg levels in areas
206 around the mining operations is not in dispute. Other studies have shown marked local or regional
207 contamination of lake sediment and glacial ice archives by historical Ag/Au mining (e.g., Schuster et al.,
208 2002; Cooke et al., 2009; Correla et al., 2017). However, current evidence supports the interpretation
209 that historical mining had less impact on globally-distributed atmospheric emissions and deposition than
210 coal combustion and other high temperature industrial emissions had in the 20th century. Commercial
211 Hg-containing products have also been suggested to be significant contributors to global Hg releases to
212 air, soil and water from the late 1800s onwards (Horowitz et al., 2014). Overall, the recent revised
213 emissions estimates, and archival records of deposition, support the prevailing paradigm that present-

214 day atmospheric deposition rates are 3- to 5-fold higher than during the pre-industrial period (i.e. from
215 1450 to 1850) (Engstrom et al., 2014; Lamborg et al., 2014; Zhang et al., 2014).

216 Nonetheless, the cumulative impacts of historical mining over four centuries on the current oceanic (and
217 terrestrial) anthropogenic Hg inventory have been substantial. Zhang et al. (2014) calculated that about
218 67% of the cumulative anthropogenic Hg emissions to air throughout history (130 out of 190 kt) was due
219 to precious metal mining, with 21% (40 kt) due to coal combustion and 11% (20 kt) from other industrial
220 activities. Zhang et al. (2014) also calculated that most of the anthropogenic Hg mass in today's oceans
221 (44 kt out of 66 kt) was deposited between 1450 and 1920 due to the emissions from historical Ag/Au
222 mining, with the remaining one-third coming from predominantly coal-based emissions since 1920. The
223 total anthropogenic mass in today's oceans (66 kt) estimated by Zhang et al. (2014) is in good
224 agreement with another recent estimate of oceanic anthropogenic Hg (58 ± 16 kt; Lamborg et al., 2014)
225 derived using a completely different methodology based on seawater Hg concentration profiles
226 combined with anthropogenic CO₂ and remineralized phosphate as proxies for oceanic Hg distribution.
227 That two studies, using different approaches, arrived at similar estimates increases confidence in the
228 robustness of their conclusions. Both of these recent estimates fall within the lower half of the previous
229 range of values and are close to the Mason et al. (2012) estimate of 53 kt used in AMAP/UNEP (2013)
230 (see Table 1.2.1).

231 Inconsistencies remain in the evidence pertaining to the actual rates of atmospheric historical mining
232 emissions that impacted the global atmosphere and oceans. Although the 3-fold reduction in mining
233 emissions by Zhang et al. (2014) brought their modelled emission history during the late 19th and early
234 20th centuries closer to global lake sediment flux patterns, compared with the Streets et al. (2011)
235 inventory, the emissions pattern remained elevated compared to lake sediment trends during the same
236 period (see Fig. 1.2.2). Also, the estimate for cumulative pre-1920 anthropogenic emissions by Zhang et
237 al. (2014; i.e., 67% of the total) is several times larger than the Mt. Logan ice core results reported by
238 Beal et al. (2015; in which only 22% of total accumulated Hg was deposited prior to 1900), and the Mt.
239 Gelaidandong study by Kang et al. (2016; see above). It may be that a further reduction in the assumed
240 proportion of volatilized Hg from historical mining/amalgamation would bring the emission history and
241 the remote lake sediment and ice core records into even closer agreement.

242 **1.2.3 Where is anthropogenic Hg distributed in the environment, especially the oceans?**

243 The Zhang et al. (2014) global model projected that in the current global environment, 2% (3.6 kt) of the
244 all-time cumulative anthropogenic emissions remains in the atmosphere, 48% (92 kt) is held in soils, and
245 50% (94 kt) in the oceans - 35% (66 kt) in seawater, and 15% (28 kt) buried in ocean sediments. For the
246 oceans, atmospheric deposition from current primary emissions as well as revolatilization of legacy
247 emissions contributes over 90% of the total (atmosphere + rivers) Hg inputs (4.0 out of 4.3 kt/yr; Fig.
248 1.2.5), with riverine inputs that reach the open ocean comprising a minor fraction (6%, 0.3 kt/yr.). Amos
249 et al. (2014) estimated a substantially higher riverine contribution (1.5±0.8 kt/yr.; 30% of total 5.2 kt/yr.
250 inputs) to the open ocean based on an observational database of riverwater Hg concentrations and
251 consideration of river-offshore transport efficiencies for different estuary types. Most (72%) of the
252 riverine Hg entering into estuaries was scavenged and deposited into coastal marine sediments (Amos et
253 al., 2014). By comparison, Mason et al. (2012) arrived at an estimate of 0.38 kt/yr. from rivers, which
254 comprised ~10% of total ocean inputs. Recent data from Chinese rivers (Liu et al., 2016) support the
255 lower estimates of Mason et al. (2012) and Zhang et al. (2014).

256 Significant differences exist between recent models in their portrayal of the vertical distribution of
257 oceanic anthropogenic Hg because of the above-mentioned variance in historical emission estimates
258 and different assumptions about the penetration rate of anthropogenic Hg into deep ocean waters.
259 Zhang et al. (2014) and Lamborg et al. (2014) largely agreed in their relative distribution, except that the
260 deep ocean (below 1000 m depth) contained proportionally more anthropogenic Hg in Zhang et al.'s
261 (2014) simulation (45% of total oceanic anthropogenic Hg, vs 35% in Lamborg et al. (2014)). Compared
262 to Zhang et al. (2014), Streets et al. (2011) and Amos et al. (2013) calculated similar increases in the
263 anthropogenic Hg content of the surface ocean (4.4 times natural concentrations, vs. 3.6-5.9 times,
264 respectively), but larger increases in the thermocline/intermediate depths (1.2 times, vs 2.7-5.3 times)
265 and deep ocean (1.2 times, vs. 1.5-2.1 times). In addition to their adoption of larger historical mining
266 emission estimates, Streets et al. (2011) and Amos et al. (2013) assumed faster vertical mixing rates
267 compared with the other two studies.

268 Large inter-basin differences in the distribution of anthropogenic Hg were also apparent in intermediate
269 and deep ocean waters, but were relatively uniform in surface waters, in the modelling of Zhang et al.
270 (2014) (Fig. 1.2.6). Vertical and horizontal advection of Hg inputs to the ocean which reflect ocean
271 currents and areas of deep water formation, and high biological productivity and rapid particle
272 scavenging of dissolved Hg in some tropical seas, account for the inter-basin patterns.

273 ***1.2.4 What are the implications of different models for the rate of clearance of anthropogenic***
274 ***Hg from the world's oceans?***

275 The differences between models and their underpinning historical mining emission estimates are
276 associated with significant differences in implied response times of the oceans to emission reduction
277 scenarios. All global ocean-atmosphere models predict that Hg clearance rates from most ocean basins
278 will be slow relative to the rate of anthropogenic emission reductions in future, such that removal of
279 anthropogenic Hg from the world's oceans will take many decades to centuries depending on the ocean
280 basin and depth interval of the water mass in question, as well as the trajectory of emission controls
281 (Mason et al., 2012; Lamborg et al., 2014; Zhang et al., 2014; Amos et al., 2015). But according to Selin
282 (2013) and Engstrom et al. (2014), the "high emission" scenario of Streets et al. (2011) and Amos et al.
283 (2013, 2015) suggests much slower and delayed reductions in environmental Hg levels than other
284 models, especially in the oceans, following emission curbs. Even at current global emission levels, there
285 exists a general scientific consensus that seawater and marine food chain Hg levels are likely to
286 substantially increase, because of the slow clearance rate of legacy Hg from the world's oceans coupled
287 with additional legacy anthropogenic Hg released from soil profiles into rivers and revolatilized into the
288 air (Sunderland and Selin, 2013).

289 Until current significant deficiencies in our understanding of marine Hg cycling, and the rates of
290 transformation between species that influence the major sinks for ocean Hg (evasion to the atmosphere
291 and burial in sediments) are resolved, and greater consistency is achieved in the interpretation of
292 various natural archive recorders of Hg deposition from the atmosphere, the prediction of the timeline
293 and effects of global emission reductions will remain uncertain. It is clear, however, that irrespective of
294 these scientific uncertainties, emissions reductions are required as soon as possible to reverse the trend
295 in oceanic anthropogenic Hg back towards natural levels because of the long response time of the ocean
296 to changes in inputs (Selin 2013; Sunderland and Selin, 2013; Engstrom et al., 2014).

297 ***1.2.5 What are the main uncertainties in global Hg models and budgets?***

298 Here we summarize the knowledge gaps and recommendations for further research from a number of
299 recent papers (Amos et al., 2013; Engstrom et al., 2014; Zhang et al., 2014, 2016; Lamborg et al., 2014,
300 2016; Song et al., 2015). Scientific uncertainties can be grouped under two headings: natural inputs and
301 processes, and anthropogenic emissions. Under the former category can be listed:

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- Removal rates of anthropogenic Hg from the surface ocean are the net result of competition between three simultaneously occurring natural processes: particulate flux from the surface to the deep ocean (the “biological pump”, involving particle scavenging and settling); the mixing of surface and deep-ocean waters; and the reduction of inorganic Hg^{II} and subsequent evasion of Hg⁰ back into the atmosphere. Further coupled ocean-atmosphere measurement studies are needed to comprehensively measure the concentrations of various Hg species spatially and temporally, and to better understand the transport and transformation rates of these processes. The need is particularly acute in the Southern Hemisphere open oceans, as well as in regions where elevated anthropogenic Hg concentrations can be expected, such as the eastern equatorial Atlantic, eastern equatorial and high latitude Pacific, and northern Indian Oceans.
 - Related to the latter effort, uncertainties in the robustness of measurements of atmospheric and seawater Hg concentrations are exacerbated by relatively large inter-laboratory comparison errors. Few inter-comparison efforts have been mounted (e.g. Gustin et al., 2013 for atmospheric Hg⁰ determinations); there is a particular need improve the overall reliability of seawater Hg measurements. Past intercalibration exercises have only addressed total Hg and total methylated Hg in seawater, and the results indicated significant discrepancies amongst the participating laboratories. Future intercalibration exercises should continue the effort of attaining reliable total Hg and MeHg measurements, and be extended to all Hg species including unstable species such as dimethyl Hg and dissolved Hg⁰. The development of suitable seawater reference materials is encouraged.
 - The role of natural inputs in the global Hg budget is poorly constrained but potentially is of primary importance. If the actual rate of emissions from natural sources is markedly higher than currently believed, it would undermine current assumptions about the absolute amounts of, relative balance between, natural and anthropogenic sources which are fundamental to modelling efforts and to our understanding of the global Hg cycle.

328 Present estimates of global volcanic Hg emissions to air range over three orders of magnitude (0.1 –
329 1000 t/yr.) (Nriagu, 1989; Ferrara et al., 2000; Pyle and Mather, 2003; Nriagu and Becker, 2003;
330 Bagnato et al., 2014). For oceans, the AMAP/UNEP (2013) report assigned a value of <600 t/yr. total
331 Hg input from hydrothermal vents, which was based on few data and no systematic studies. Two
332 recent Geotraces cruises sampled waters around hydrothermal vents in the North Atlantic and

333 equatorial Pacific Oceans (Bowman et al., 2015; 2016). In the North Atlantic, the plume of elevated
334 Hg concentration around the vent was highly developed and extended vertically over a depth of
335 around 1000 m and for 1000 km away from the ridge crest (Bowman et al. 2015). In contrast, there
336 was no strong evidence for a plume over the East Pacific Rise in the equatorial Pacific (Bowman et
337 al., 2016). These results further indicate that there is a substantial difference in the extent of Hg
338 inputs from different hydrothermal sources. Overall, there is not sufficient new information to
339 update the estimate of hydrothermal inputs made in 2013, although this may be the single most
340 important primary natural Hg source to the global Hg cycle (Sonke et al. 2013). In order to make
341 direct estimations for global hydrothermal Hg fluxes, more observations of (focused and diffuse-
342 flow) vent fluids and hydrothermal plumes are needed to better constrain the Hg flux, and its
343 contribution to the global Hg cycle (German et al. 2016). In addition, submarine groundwater
344 discharges are likely to bring important amounts of Hg into the ocean, which global models do not
345 account for. Several recent papers indicate that Hg inputs *via* submarine groundwater are as large as
346 atmospheric inputs, at least in coastal environments (Bone et al. 2007, Laurier et al. 2007, Black et
347 al. 2009, Lee et al. 2011, Ganguli et al. 2012).

- 348 • Given the importance of terrestrial soils as possibly the largest repository of legacy
349 anthropogenic Hg, global budget calculations will benefit from better understanding of
350 terrestrial Hg cycling including measurements of the evasion rates of deposited Hg from
351 soils, and release rates of Hg to water following degradation of soil organic matter.

352
353 In terms of anthropogenic emissions, the absolute amounts of historical emission inventories, especially
354 the role of precious metal mining, has been called into question by recent work comparing model
355 outputs with past Hg deposition rates reconstructed from natural archives of atmospheric deposition
356 (see Zhang et al. 2014, c.f. Amos et al., 2015). Some of the uncertainty lies with the natural archives. For
357 example, a recent paper has shown that the Hg accumulation rates in a Tibetan Plateau glacier ice core
358 were 1 to 2 orders of magnitude lower than in a nearby lake sediment, yet the two archives yielded
359 remarkably similar trends (Kang et al., 2016). While the agreement in trends is encouraging, the
360 difference in absolute values begs the question of what is the most reliable quantitative estimate of past
361 atmospheric deposition. Amos et al. (2015) concluded that peat bog cores gave more accurate
362 reconstructions than most lake sediment cores. Given the now-apparent importance of historical
363 emissions to current world Hg budgets and to future emission reduction scenarios, and the significant

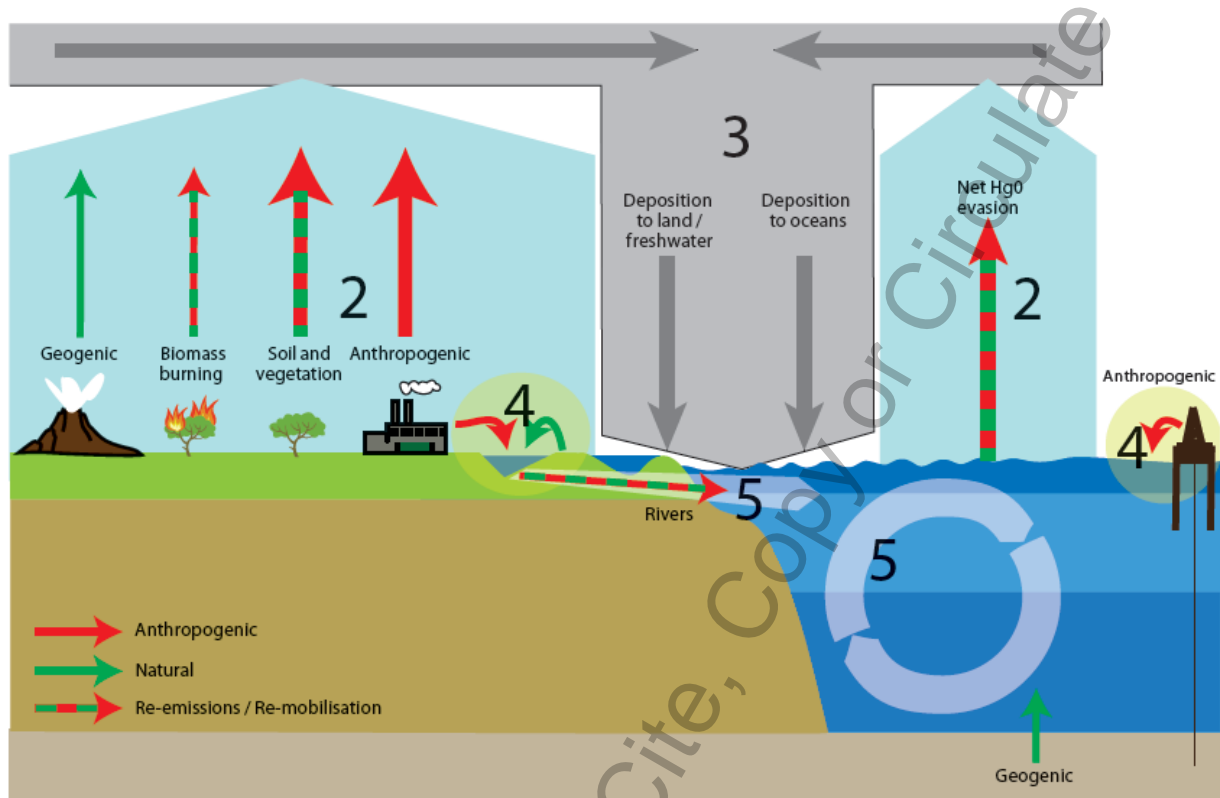
364 differences in the natural archive records of those emissions, a concerted effort to understand the
365 reasons for the different conclusions from peat, lake sediment and glacial ice archives is called for.
366 Arriving at an agreed historical emission figure from precious metal mining would eliminate a large
367 degree of the uncertainty surrounding current anthropogenic Hg inventories in soils and the oceans.

368 The accuracy of the recent global emission inventories, including that in AMAP/UNEP (2013), has been
369 questioned in part because of the inconsistency between the recent trends in emission inventories,
370 which are flat or increasing, and the large (~30-40%) decreases in atmospheric GEM and wet deposition
371 since 1990 at background Northern Hemisphere monitoring stations (Zhang et al., 2016). The latter
372 authors found that the emissions and GEM trends could be brought into closer agreement by accounting
373 for the decline in Hg release from commercial products over this period, by reducing the atmospheric
374 revolatilization rate of Hg from present-day artisanal and small-scale gold mining, and by accounting for
375 the shift in Hg⁰/Hg^{II} speciation of emissions from coal-fired utilities after implementation of gaseous
376 pollutant control measures. Because the emission inventories are the basis of global modelling efforts,
377 resolving this discrepancy will improve the accuracy of global budgets and future trend scenarios. ASGM
378 emissions were the largest single anthropogenic source of atmospheric Hg in AMAP/UNEP (2013), but
379 this finding has been disputed (Engstrom et al., 2014; Zhang et al., 2016). Verifiable and higher quality
380 emission data from ASGM operations are therefore a priority need.

381 The global models and an improved understanding of the global Hg cycle are important for our capacity
382 to predict how regulatory efforts to reduce current emissions to air, water and land will affect
383 concentrations in environmental compartments, biota and human exposure. The large uncertainties and
384 identified knowledge gaps described above should not be taken as a sign that regulatory action is not
385 needed or can be delayed until the large research efforts have led to a reduction of these uncertainties.
386 All models and evaluations based on field measurements are in agreement that current anthropogenic
387 emissions of Hg lead to increased environmental exposure of wildlife and humans (albeit of varying
388 magnitude) and that reducing these emissions is a necessity for reducing the negative environmental
389 impacts of Hg. The uncertainties and knowledge gaps are mainly affecting our capability to predict
390 where and when the environment will respond to reduced emissions, not if it will.

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394 Figure 1.2.1 Summary diagram of global movements of total mercury between air, soils and oceans

395 (source: AMP/UNEP 2013). Figure to be redrafted (remove chapter numbers, add deposition into

396 mineral soils and deep ocean sediments, deposition arrows to land and oceans need to be mixed

397 red/green colour, remove marine oil well symbol).

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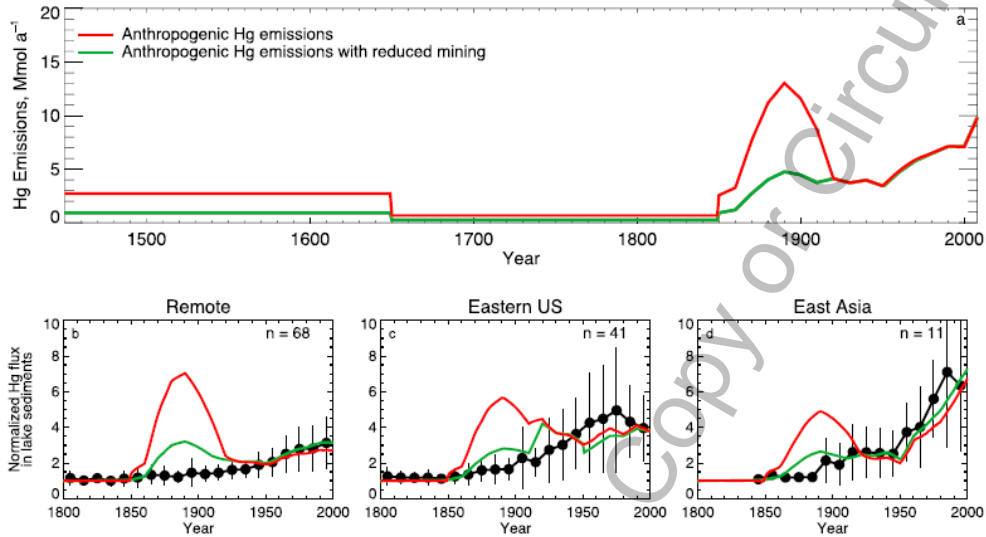


Figure 1. Historical trends in anthropogenic Hg emissions and Hg accumulation flux to lake sediments. (a) Global anthropogenic Hg emissions between 1450 and 2008. The emission inventory from Streets et al. (3) is shown in red, while the emission inventory with mining emissions reduced by a factor of 3 is shown in green. Mean historical Hg flux inferred from sediment cores in (b) 68 remote lakes, (c) 41 lakes in the eastern U.S., and (d) 11 lakes in East Asia. All the fluxes are normalized to 1800–1850 levels. Observations (black filled circles with vertical line showing 1σ) are compared against model results (red and green lines correspond to the original and reduced mining inventories, respectively).

402

403 Figure 1.2.2. Revision of global anthropogenic Hg emission history based on a three-fold reduction in
 404 mining emissions from 1450 to ~1920 AD. (Source: Zhang et al. 2014).

405 [figure to be redrawn, and caption revised using Zhang’s caption, if this fig is used]

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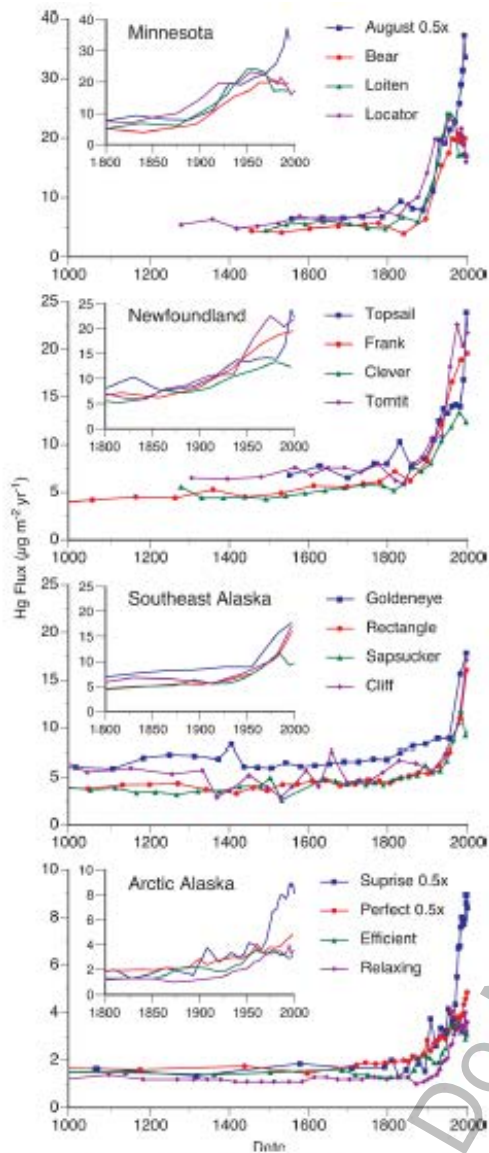


Figure 1. Hg accumulation trends in sediment cores from remote North American lakes. Fluxes scaled by 0.5x for August, Surprise, and Relaxing lakes. Insets show detail for most recent 200 years.

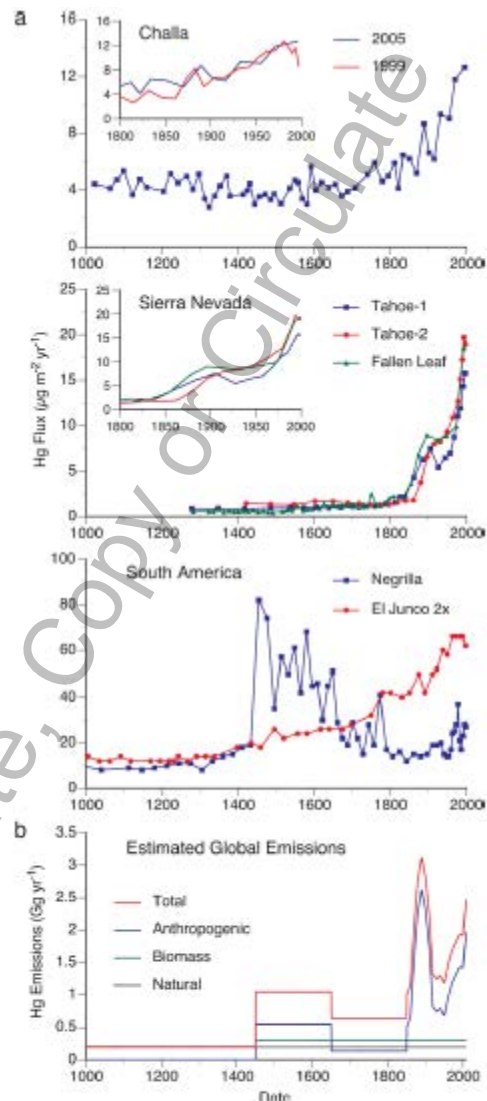


Figure 2. (a) Hg accumulation trends in sediment cores from Lake Challa (Kenya/Tanzania), El Junco and Negrilla (S. America), and Tahoe and Fallen Leaf (Sierra Nevada). Insets show detail for most recent 200 years. The two cores shown for Lake Challa were collected in 1999 and 2005 from nearby locations,³⁶ while the two cores from Lake Tahoe were collected from different parts of the basin.³³ (b) Primary Hg emissions as estimated by Streets et al.¹⁵

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414 Figure 1.2.3 Historical Hg fluxes in global lake

415 sediments. From Engstrom et al. 2014 ES&T.

416 [figure to be redrawn, and caption revised using

417 Engstrom's caption, if this fig is used)

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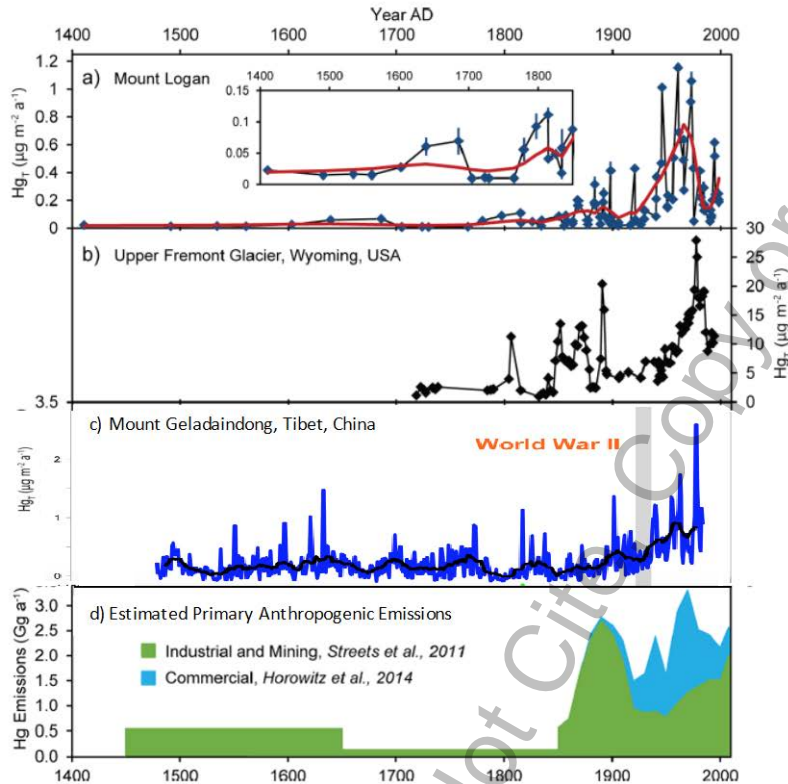


Figure 3. Multicentury Hg_T records from ice cores compared with estimates of primary anthropogenic emissions used in recent global Hg models. (a) Mount Logan Hg_T fluxes (blue points) with 1σ error bars, LOESS smoother (red line), and inset with adjusted y-axis for the Preindustrial Period. (b) Hg_T fluxes in the Upper Fremont Glacier ice core calculated using an assumed constant accumulation rate of $800 \text{ kg m}^{-2} \text{ a}^{-1}$ modified from Schuster et al.¹ (c) Geladaindong Glacier Hg_T fluxes (bars) with the 15-year running average (blue line) (Kang et al., 2016). (d) Estimated primary anthropogenic Hg emissions from industrial and mining sources modified from Streets et al.,⁷ and additional

420

421 Figure 1.2.4. Glacial ice core records of atmospheric Hg deposition from Mount Logan, Yukon (source:
 422 Beal et al., 2016), the Upper Fremont Glacier, Wyoming, USA (source : Beal et al. 2015) and Mount
 423 Geladaindong, Tibetan Plateau, China (source: Kang et al., 2016), compared with the suggested global
 424 atmospheric emission since 1450 AD by Streets et al. (2011).

425 [figure source from Beal et al 2016, to be redrawn, and caption revised using Beal's caption, if this fig is
 426 used]

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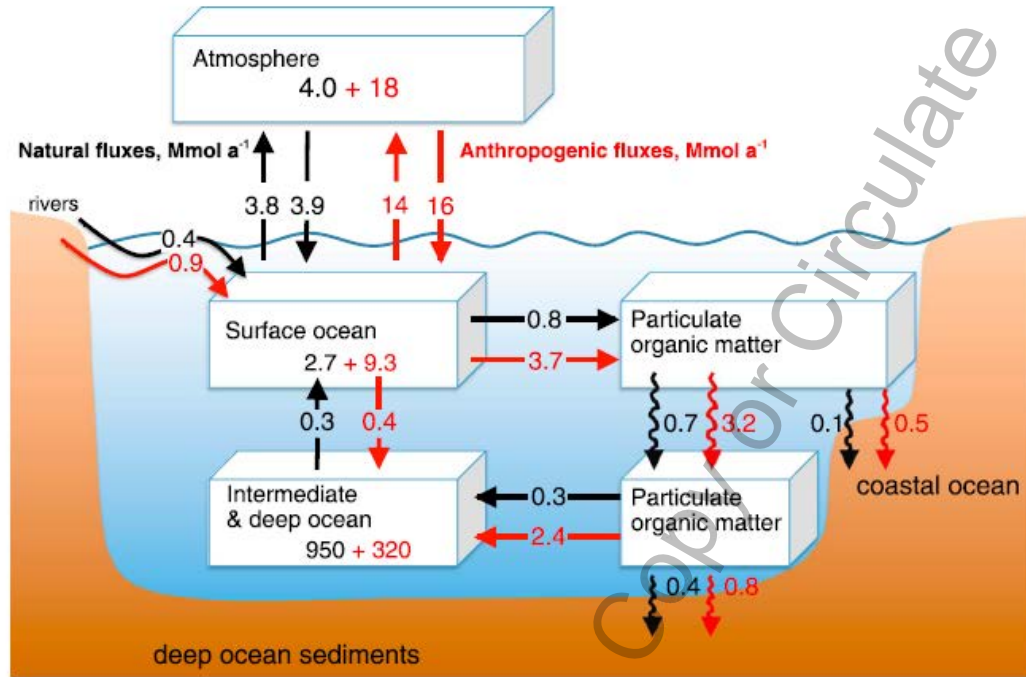


Figure 5. Human influence on the marine Hg cycle. The numbers in the boxes correspond to the mass of Hg in each reservoir (in units of Mmol), while the arrows indicate fluxes in Mmol a⁻¹. The preanthropogenic conditions are in black arrows and numbers, while the human perturbation is shown in red.

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429 Figure 1.2.5. Natural and anthropogenic Hg inputs and masses in the world's oceans. from Zhang et al.
 430 2014 GBC (to be redrawn, and Mmol units converted into kilotonnes).

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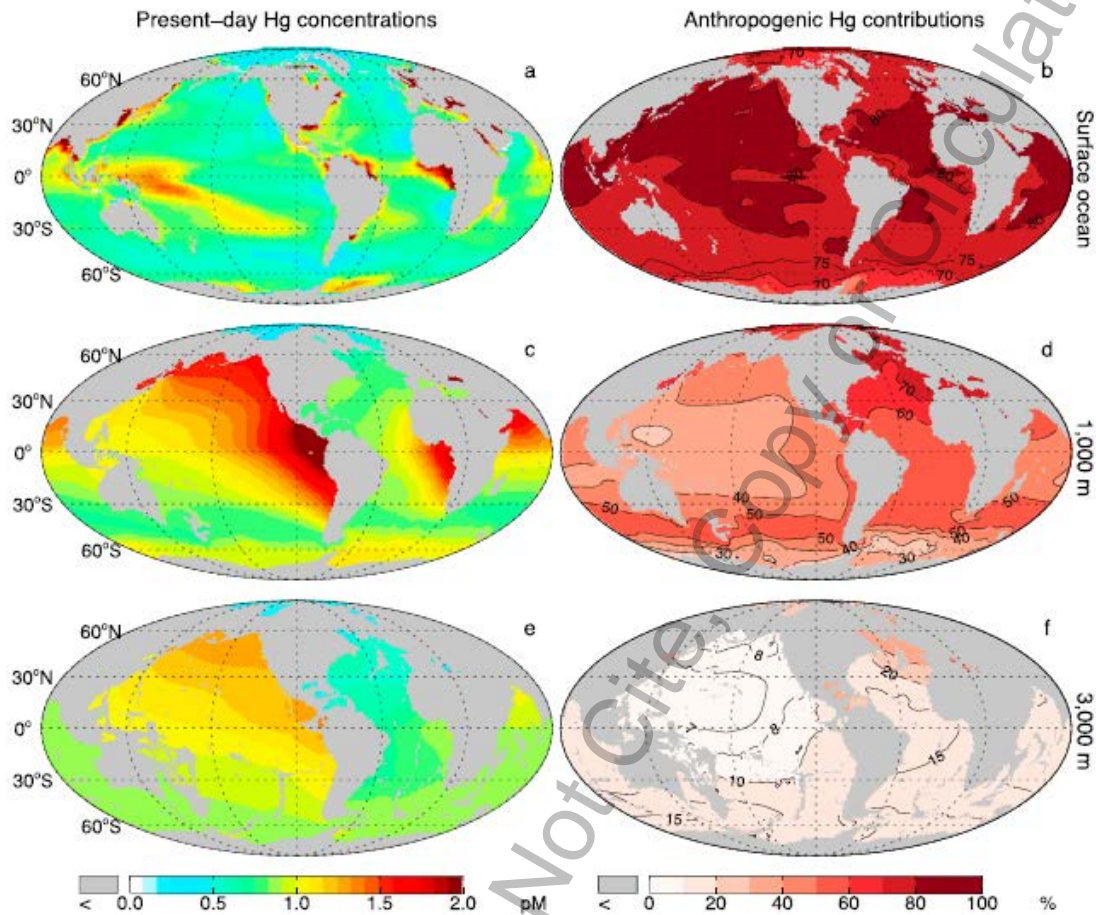


Figure 3. Spatial distribution of Hg concentrations in the present-day ocean. Annual mean concentrations of total Hg (in pM) for (a) the mixed layer, (c) 1000 m depth, and (e) 3000 m depth. (b, d, and f) Contributions of anthropogenic Hg to the present-day concentrations, expressed in percentage.

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434 Figure 1.2.6. Inter-basin and vertical distribution of total Hg concentrations, and the fraction of
 435 anthropogenic Hg, in today's oceans. Use caption shown, but figure will be redrawn. from Zhang et al.
 436 2014 GBC.

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Note to reader

This draft version of Chapter 2 in the Technical Background Report to the Global Mercury Assessment 2018 is made available for review by national representatives and experts. The draft version contains material that will be further refined and elaborated after the review process. Specific items where the content of this draft chapter will be further improved and modified are:

1. Key findings/messages
2. Figures will be updated/redrawn.
3. Redundant significant figures in tables and quoted values will be rounded.
4. Section on *Emission Factors and Technology Profiles (2.2.1.2)*.
5. Uncertainty ranges to be double-checked and/or added
6. Comparisons between GMA 2018 inventory estimates for the nominal year 2015 and national estimates. Will be compiled in Annex 7
7. Comparing 2010 and 2015 global inventory estimates (Chapter 2.4)
8. Conclusions (chapter 2.5)

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2050 **Chapter 2. Global Emissions of Mercury to the Atmosphere from**
2051 **anthropogenic sources**

Key Findings/Messages:

Anthropogenic emissions of mercury to the atmosphere currently amount to more than 2000 tonnes per year, accounting for about 30% of mercury emitted annually to the atmosphere, the remainder coming from natural processes (60%) that result in re-emission of mercury previously deposited to soils and water (much of which is itself derived from earlier anthropogenic emissions and releases), and natural sources (ca. 10%).

A new global inventory of mercury emissions to air from anthropogenic sources in 2015 (primarily utilising activity data from 2014) quantifies emissions from 20 key sectors at ca. 2150 (1960 – 2745) tonnes. Additional emissions of the order of tens to hundreds of tonnes per year may arise from smaller anthropogenic sources not currently detailed in the global inventory work.

Inventory methodologies are constantly improved as new information and data becomes available. Changes in emissions estimates for different periods therefore reflect both real-world trends and artefacts of improvements in inventory methods and data availability. Simple comparisons between the new inventory and previous inventories can result in misinterpretation and should therefore be avoided.

Global emissions of mercury to the atmosphere in 2015 are approximately 12% higher than they were in 2010. Continuing action to reduce emissions has resulted in modest decreases in emissions in some regions (North America and EU) but emissions have increased in most other regions. Increased economic activity in these regions (including recovery following the economic down-turn that may have influenced global emissions in 2010) therefore appears to have more than offset any efforts to reduce mercury emissions.

Regional and sectoral attribution of the 2015 global emissions inventory indicates that emissions patterns in 2015 are very similar to those in 2010. The majority of the 2015 emissions occur in Asia (52%; primarily East and South-east Asia) followed by Sub-Saharan Africa (17%) and South America (13%). In the latter two regions, ASGM-associated emissions account for about 70-75% of the emissions. ASGM also account for a significant part of emissions in Central America and the Caribbean (40%) and East and South-east Asia (25%), and constitute almost 34% of the global total. In

other regions, emissions associated with energy production and industrial emissions predominate.

Stationary combustion of fossil fuels and biomass is responsible for about 25% of the estimated global emissions, primarily from coal burning (22%). Emissions from combustion of biomass for energy production are quantified for the first time in the 2015 inventory work and comprise about 2.5% of the global inventory. Main industrial sectors remain non-ferrous metal production (15% of the global inventory), cement production (11%) and ferrous metal production (3.5%). Emissions from wastes from mercury-containing products comprise ca. 7.5% of the global inventory estimate in 2015.

2052 **2.1 Sources of anthropogenic mercury emissions to the** 2053 **atmosphere: Introduction**

2054 Previous assessments (UNEP, 2013; AMAP/UNEP, 2013) have described how industrial activities to
2055 produce power and other commodities, together with a range of intentional uses of mercury in
2056 processes and products result in anthropogenic emissions of mercury to the atmosphere. Such
2057 emissions currently amount to more than 2000 tonnes per year, accounting for about 30% of
2058 mercury emitted annually to the atmosphere, the remainder coming from natural processes (60%)
2059 that result in re-emission of mercury previously deposited to soils and water (much of which is itself
2060 derived from earlier anthropogenic emissions and releases) and natural sources such as volcanoes
2061 (ca. 10%).

2062 Mercury emissions to air are associated with a number of anthropogenic activities that can be
2063 characterized as 'by-product' or 'intentional-use' sectors (AMAP/UNEP, 2013). Stationary
2064 combustion of fossil fuels (coal in particular), and high temperature processes involved in industrial
2065 activities such as primary metal smelting and cement production give rise to 'unintentional' mercury
2066 emissions (i.e., the mercury emissions are a 'by-product' of their presence in trace quantities in fuels
2067 and raw materials). Intentional-use sectors include the use of mercury-containing products (e.g.
2068 lamps, batteries, instrumentation) or dentistry (dental amalgam), where much of the mercury
2069 emissions to air (and releases to water) are associated with waste disposal. A further intentional use
2070 of mercury is in artisanal and small-scale gold mining (ASGM) where mercury is used to extract gold
2071 from gold bearing sediments and rocks. Of these sources, stationary combustion of coal (for power,
2072 industry and domestic/residential heating) and artisanal gold mining were estimated to be
2073 responsible for over 60% of emissions to air in 2010.

2074 Mercury emissions to air have changed over time. Historically gold and silver mining has been a
2075 major source of mercury emissions and releases. These emissions/releases have had local and
2076 regional impacts that can be traced today in sedimentary records. With the advent of the industrial
2077 revolution (ca. 1850s) and the subsequent rise of fossil fuel economies, mercury emissions increased,
2078 likely reaching a maximum in the latter decades of the 20th century, coincident with peak coal use.
2079 Emissions have declined since then but remain high, estimated at around 2000 tonnes per year
2080 during the first decades of the 21st century. These emissions give rise to global pollution; including
2081 long-range transport to remote regions (see Chapter 4), with associated concerns for impact on
2082 health of wildlife and human populations (see Chapters 7 and 8).

2083 The GMA2013 (UNEP, 2013, AMAP/UNEP, 2013) included a first global inventory of anthropogenic
2084 mercury emissions to air for 2010 prepared according to a new core methodology, an extension of
2085 methods employed to produce earlier global inventories for the years 1995-2005 (Pacyna et al. ref).
2086 As part of the work to update the GMA2013, a new global inventory of anthropogenic mercury
2087 emissions to air has been produced, for the target year 2015. This inventory addresses emissions
2088 from the source sectors and activities identified in Table X1; these include 3 new sectors not
2089 previously quantified, namely biomass combustion (for energy production), secondary steel
2090 production and mercury emitted during production of vinyl chloride monomer (VCM), a raw material
2091 for plastics including polymer polyvinyl chloride (PVC). The table also identifies additional sectors not
2092 yet fully quantified in global emission inventory work.

2093 **2.2 Estimating 2015 global anthropogenic mercury emissions to** 2094 **air: Methodology and important considerations**

2095 **2.2.1 General methodology**

2096 The methodology employed to produce the 2015 global inventory of anthropogenic emissions to air
2097 is essentially the same as that applied in developing the 2010 inventory reported in the GMA 2013
2098 (AMAP/UNEP, 2013). The methodology applies a mass-balance approach (see Figure M1) to derive
2099 emissions estimates that considers:

- 2100 - the amounts of fuels and raw materials used, or commodities produced (activity data);
- 2101 - the associated mercury content of fuels and raw materials and the types of process involved
2102 (reflected in 'unabated' emissions factors); and
- 2103 - technology applied to reduce (abate) emissions to air (through technology profiles that
2104 reflect the degree of application and the degree of effectiveness of air pollution controls)

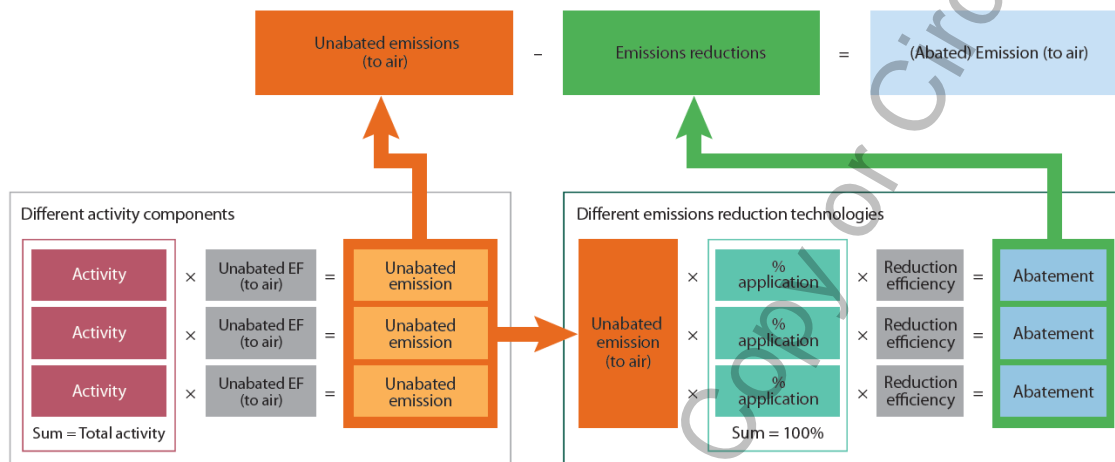
2105 The ASGM and mercury-added product sectors employ variations on this approach.

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2109 *Figure M1. General methodology*



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2111 The general methodological approach and its development from earlier methods that were used to
 2112 produce the original (1995, 2000 and 2005) global inventories of emissions to air is described in the
 2113 GMA2013 report (AMAP/UNEP, 2013 - Section 2.2) and not repeated here. However, a key element
 2114 in the delivery of the GMA is transparency. Consequently, the following documentation includes a
 2115 discussion of some of the more significant changes that have been applied in the methodology
 2116 and/or to key parameters that influence calculated emissions estimates for particular sectors.
 2117 Generally this reflects improvements in available information. The current report therefore also
 2118 includes a comprehensive set of annexes (Annexes 1-8) that present the (updated) factors and
 2119 assumptions applied in calculating the 2015 emissions estimates, together with the activity data used
 2120 and the resulting emission estimates on a country/sector basis.

2121 In addition to improving the methods used to estimate global emissions by incorporating new
 2122 information, the method used to geospatially distribute the global inventory has also been upgraded
 2123 as part of the GMA2018 work. These new developments allow national estimates to be mapped
 2124 (gridded) at a finer resolution for use in modelling work.

2125 **2.2.1.1 Activity data**

2126 Information on amounts of fuel or raw materials used in different applications or amounts of
 2127 products or commodities produced is the basis for estimating emissions of mercury to air. This
 2128 activity data is available from various sources, such as national statistics agencies, international
 2129 organisations and industry associations.

2130 Sectors and sources of activity data used in preparing the 2015 global estimates are presented in
 2131 Table X1. Activity data applied to national emission estimates are presented in Appendix 5.
 2132 Whenever available, statistics for the target year 2015 have been used for this emission inventory. In
 2133 many cases, information for 2015 was not available at the time of preparing the inventory; therefore,
 2134 data from 2014 (and in some cases earlier) were used.

2135 *Table X1 – LIST OF SECTORS / CODES – SOURCES OF ACTIVITY DATA USED*

Sector Code	Sector description	Activity Code	Activity description	Sources of Activity data.	Year of activity data
ASGM	Artisanal and small-scale gold mining	ASGM	Artisanal and small-scale gold mining	AGC, 2017	2014 (and earlier)
BIO	Biomass burning (domestic, industrial and power plant)	PSB - DR	domestic residential burning	IEA 2016	2014
		PSB - IND	industry	IEA 2016	2014
		PSB - PP	power plants	IEA 2016	2014
CEM	Cement production (raw materials and fuel, excluding coal)	CEM	cement (fuels excl.)		
		PC-CEM	pet coke	IEA 2016	2014
		See also BC-IND-CEM and HC-IND-CEM			
CREM	Cremation emissions	CREM	Cremation emissions	National reports and International Cremation Statistics	2014
CSP	Chlor-alkali production (mercury process)	CSP-C	capacity based		
		CSP-P	production based		
NFMP	Non-ferrous metal production (primary Al, Cu, Pb, Zn)	AL-P	aluminium (primary production)	USGS 2016	2013/2014
		CU-P	copper (primary production)	USGS 2016	2013/2014
		CU-T	copper (total production)	USGS 2016	2013/2014
		PB-P	lead (primary production)	USGS 2016	2013/2014
		PB-T	lead (total production)	USGS 2016	2013/2014
		ZN-P	zinc (primary production)	USGS 2016	2013/2014
		ZN-T	zinc (total production)	USGS 2016	2013/2014
		See also BC-IND-NFM and HC-IND-NFM			
NFMP-AU	Large-scale gold production	GP-L	gold production	USGS 2016	2013/2014

NFMP-HG	Mercury production	HG-P	mercury production	USGS 2016	2013/2014
OR	Oil refining	CO-OR	oil refining		
PISP	Pig iron and steel production (primary)	PIP	iron and steel (primary production)	USGS 2016	2013/2014
		See also BC-IND-PIP and HC-IND-PIP			
SC-DR-coal	Stationary combustion of coal (domestic/residential, transportation)	BC-DR	brown coal	IEA 2016	2014
		HC-DR	hard coal	IEA 2016	2014
SC-DR-gas	Stationary combustion of gas (domestic/residential, transportation)	NG-DR	natural gas	IEA 2016	2014
SC-DR-oil	Stationary combustion of oil (domestic/residential, transportation)	CO-HF-IND	heavy fuel oil	IEA 2016	2014
		CO-IND	crude oil	IEA 2016	2014
		CO-LF-IND	light fuel oil	IEA 2016	2014
SC-IND-coal	Stationary combustion of coal (industrial)	BC-IND-CEM	brown coal (cement industry)	IEA 2016	2014
		BC-IND-NFM	brown coal (NFM industry)		2014
		BC-IND-OTH	brown coal (other industry)		2014
		BC-IND-PIP	brown coal (ferrous metal industry)		2014
		HC-IND-CEM	hard coal (cement industry)	IEA 2016	2014
		HC-IND-NFM	hard coal (NFM industry)		2014
		HC-IND-OTH	hard coal (other industry)		2014
		HC-IND-PIP	hard coal (ferrous metal industry)		2014
SC-IND-gas	Stationary combustion of gas (industrial)	NG-IND	natural gas	IEA 2016	2014
SC-IND-oil	Stationary combustion of oil (industrial)	CO-HF-IND	heavy fuel oil	IEA 2016	2014
		CO-IND	crude oil	IEA 2016	2014
		CO-LF-IND	light fuel oil	IEA 2016	2014
SC-PP-coal	Stationary combustion of coal (power plants)	BC-L-PP	brown coal (lignite)	IEA 2016	2014
		BC-S-PP	brown coal (sub-bituminous)	IEA 2016	2014
		HC-A-PP	hard coal (anthracite)	IEA 2016	2014
		HC-B-PP	hard coal (bituminous)	IEA 2016	2014

SC-PP-gas	Stationary combustion of gas (power plants)	NG-PP	natural gas	IEA 2016	2014
SC-PP-oil	Stationary combustion of oil (power plants)	CO-HF-PP	heavy fuel oil	IEA 2016	2014
		CO-LF-PP	light fuel oil	IEA 2016	2014
		CO-PP	crude oil	IEA 2016	2014
SSC	Secondary steel production	SP-S	secondary steel production	Steel statistical yearbook 2015, World Steel Association 2015	2014
VCM	Vinyl-chloride monomer (mercury catalyst)	VCM	Vinyl-chloride monomer	National and literature information combined with Hg consumption data for VCM production by world region from P. Maxson	2015
WASOTH	Waste (other waste)	WASOTH	other waste	Estimated consumption of Hg in mercury added products in 2015 by world region (P. Maxson)	2015
WI	Waste incineration (controlled burning)	WI	waste incineration	Estimated consumption of Hg in mercury added products in 2015 by world region (P. Maxson)	2015
Other (sectors not yet fully characterized in the global inventory)	Contaminated sites				2010 GMA
	Oil and gas extraction (upstream of refineries)			IPIECA estimate (R. Cox, pers. comm.)	
	Other (including pulp and paper, secondary non-ferrous metals, food industry)			Residual totals from national PRT inventories covering primarily North America, Europe and Australia	
	Incineration of industrial and sewage sludge and some hazardous wastes				

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2137 **2.2.1.2 Emission Factors and Technology Profiles**

2138 Information on (unabated and abated) emissions factors and technological profiles (reflecting degree
2139 of application and effectiveness of air pollution control (APC) technologies to reduce emissions of

2140 mercury; see AMAP/UNEP, 2013) are detailed in Annex 6. These factors are defined for individual
2141 countries where data are available; where national data are lacking, default factors are applied to
2142 groups of countries based on assumptions regarding their level of technological development. For
2143 ASGM associated emissions an alternative approach is employed (see Annex 2). The assignment of
2144 (emission and APC technology) factors for particular countries/sectors builds on work described in
2145 the GMA 2013, and utilises a considerable amount of new information that has become available
2146 since that time from published literature, in particular concerning China, as well as information
2147 acquired from national experts from more than 25 countries from all world regions during inventory
2148 workshops and meetings organized as part of the 2015 inventory compilation activity.

2149 Revision to applied emission factors and assumptions regarding application and effectiveness of APC
2150 technologies can significantly affect derived (national-sector) emission estimates; some revisions
2151 reflect developments (e.g., in applied APC measures, or changes in sources of fuels or raw materials
2152 used nationally) since 2010; others reflect improved information on, e.g. mercury content of fuels
2153 and raw materials that would also apply in relation to revised 2010 emissions estimates. Revisions to
2154 factors applied in the 2015 inventory work are – for the most part – not yet reflected in the UNEP
2155 Toolkit that is been used as the basis for most national Minamata Initial Assessments (MIAs), etc.;;
2156 see section 2.3.4. The following section discusses some of the more significant changes introduced
2157 for individual sectors.

2158 [Additional text will be added. See Annex 6.]

2159 **2.2.2 Sector specific methodologies - significant changes and improvements**

2160 For the sectors: Stationary Combustion – oil burning; Stationary Combustion – gas burning; Primary
2161 production of non-ferrous metals – mercury from cinnabar ore, and; Chlor-alkali production,
2162 methods employed are essentially identical to those applied in the GMA2013 (AMAP/UNEP, 2013).
2163 Updated information on the basis for calculations applied in the 2015 inventory can be found in
2164 Annex 6.

2165 The following sections describe substantive methodological changes that have been introduced in
2166 relations to some specific sectors. These changes can have implications for calculated estimates that
2167 need to be appreciated when comparing 2015 inventory estimates with previous estimates (including
2168 2010 inventory estimates presented in GMA 2013). For a more detailed discussion of the results
2169 regarding emission estimates for selected emission source sectors see section 2.3.3.

2170 **1. Methodology update: Stationary Combustion – coal burning**

2171 The methods are essentially the same as those applied in the GMA 2013 (AMAP/UNEP, 2013).

2172 For stationary combustion of coal in power plants (SC-coal-PP) and in industry (SC-coal-IND)
2173 technology profiles for several countries have been updated. The updates are based on new
2174 information concerning application of advanced APCDs in some countries, and better
2175 information regarding their effectiveness at reducing emissions of mercury to the atmosphere.

2176 For hard coal and brown (HC and BC) coal combustion, activity data for coal used in industry are
2177 now separated between cement (-CEM) iron and steel (-PIP) non-ferrous metal (-NFM) and other
2178 industrial uses (-OTH). This allows attribution of industrial coal burning emissions to specific
2179 industrial sectors. Unabated Emission Factors (UEFs) applied are equivalent to those defined for
2180 the coal-IND activities in the GMA 2013.

2181 For more details see Annex 6.

2182 **2. Methodology: Stationary Combustion – biomass burning**

2183 Mercury is a trace contaminant present in varying concentrations in biomass fuel and mercury
2184 emissions to air arise when biomass is combusted in power plants, in industry and in
2185 domestic/residential use. This source was not addressed in the 2010 global emission inventory.

2186 Emission estimates for 2015 have been developed following the general inventory methodology
2187 and using activity data from IEA on amounts of biomass combusted as fuel in power plants,
2188 industry, and domestic/residential use. IEA data only cover solid biomass used as fuel for energy
2189 production, therefore the 2015 emission estimates presented do not include wildfires (a natural
2190 source) or agricultural burning, an anthropogenic (or at least anthropogenically enhanced)
2191 source that can be a significant activity in some countries. Emission factors were derived using
2192 the heat value for air dried wood of 16 MJ/kg (IEA Energy Statistics manual, OECD/IEA, 2005) and
2193 literature discussing mercury content in biomass. Detailed information on the factors used in
2194 estimating emissions from biomass burning is presented in Annex 6.

2195 **3. Methodology update: Cement production**

2196 Mercury emissions associated with cement production originate from use of mercury-containing
2197 fuels (including conventional, mainly fossil fuels and co-incinerated wastes) and raw materials
2198 (limestone, iron oxides, fly ash, clay, silica, etc.). The majority of the emissions occur during
2199 clinker production (calcination) in high temperature kilns. Emissions can also occur during drying
2200 and preheating processes, but these are assumed to be much lower than those from calcination.
2201 Very small amounts of mercury are bound in the clinker itself, therefore subsequent stages of

2202 cement production (blending clinker with other materials, such as gypsum to form cement) are
2203 assumed to be a negligible source of mercury emissions (UNEP, 2015).

2204 The main conventional fuels used in the cement industry are coal and petroleum coke. Allocation
2205 of mercury emissions from these fuels in emission inventories and studies can vary – they are
2206 often aggregated with other fossil fuel combustion or included in the emission factors for cement
2207 production. For example, coal combustion in the cement industry was included under the
2208 category ‘stationary combustion of fuel in industry’ in the 2010 inventory.

2209 A new development in the methodology applied to prepare the 2015 inventory estimates
2210 concerns the way in which emissions associated with fuels and raw materials used in the cement
2211 industry are derived. In the 2015 global inventory (i.e. the work reported here), emissions
2212 associated with (conventional) fuel combustion in the cement sector are now allocated to new
2213 (sub-)activities under the sectors concerned with stationary combustion of coal, and in the case
2214 of petroleum coke a sub-activity under cement itself. The annexes to this report therefore
2215 separately present information on emission factors, activity data and mercury emissions for coal
2216 and petroleum coke combusted in the cement industry. This modification to the methodology
2217 also allows separate assignment of technology profiles for this sector facilitating better
2218 comparison of emission estimates and emission factors with other data sources.

2219 These changes have been implemented to allow better attribution of emissions between
2220 contributions from fuel and cement raw materials. This is done for all fuels, except for co-
2221 incinerated waste. The contribution from alternative fuels (mainly consisting of waste) varies
2222 considerably between the countries and this is considered in the emission factors applied in the
2223 current inventory (see Annex 6).

Key modifications to cement sector emission factors and technology profiles

The methodology used to estimate cement sector emissions is similar to that applied in the GMA 2013, but with the following changes:

- Unabated emission factors (UEFs) are first calculated per tonne clinker and then adjusted with respect to country- or region-specific clinker/cement ratios.
- Mercury emissions from combustion of petroleum coke, previously included in UEF for cement, are allocated to a separate sector – fossil fuel combustion in cement sector.
- Region-specific default UEF values are developed for all countries based on data on clinker/cement ratios, energy demand and co-incineration of waste as alternative fuel obtained from the GNR database (GNR, 2014). This means that a global-average default UEF is no longer applied for cement emission calculations, only country-specific or region-specific UEFs.
- Values of Hg content in raw materials and co-incinerated waste are adjusted in accordance with data presented in recent articles and reports and provided by national experts. The default Hg

content of total raw mix is estimated assuming variable additions of Hg-rich materials such as fly ash and iron oxides and is thus higher than Hg content of limestone alone.

- A distribution factor to air of 0.95 is used (as opposed to a value of 0.8 based on the default UNEP 2011 value that was applied in the 2010 global inventory calculations). This revision is based on the information in BAT/BEP and Wang 2014 indicating that only about 1-5 % of the total mercury input is bound in clinker.
- All technology profiles associated with the cement sector (cement production and related fuel combustion) have been harmonized since process-related emissions (originating in raw materials) and energy-related emissions (originating in fuels) are usually treated in the same abatement system at cement facilities.

For further details see Annex 6.

2224

2225 **4. Methodology update: Primary iron and steel production**

2226 Primary pig iron and steel is typically produced at integrated facilities where raw materials (iron
2227 ore, limestone, lime, dolomite, and metal scrap) undergo several processes. Mercury emissions
2228 originate from mercury in these raw materials and fuels used (mainly coal/coke). Virtually all
2229 mercury emissions occur during thermal processes – sintering/pelletizing, pig iron production in
2230 blast furnaces, and steel-making in basic oxygen furnaces (UNEP, 2015).

Key modifications to pig iron and steel sector emission factors and technology profiles

The methodology used to estimate pig iron and steel sector emissions is similar to that applied in the GMA 2013, but with the following changes:

- The steel-making stage in basic oxygen furnaces is included.
- Hg input from dolomite is included.
- Values of Hg content in raw materials are adjusted with respect to data presented in recent articles and reports and provided by national experts.
- Combustion of coal in production of pig iron and steel is now identified as a separate (sub-) activity under 'industrial stationary combustion emissions'

For further details see Annex 6.

2231

2232 **5. Methodology: Secondary steel production**

2233 Most secondary steel production is based on an Electric Arc Furnace (EAF) process using steel
2234 scrap as the input material. Mercury may be present as a contaminant in the scrap steel, in
2235 amounts that are highly variable depending on the type of scrap. In some countries, mercury-
2236 containing scrap may be sorted and removed before the scrap enters the EAF. Mercury
2237 contained in scrap that is not removed in this way is released during the EAF smelting process.
2238 This source was not addressed in the 2010 global emission inventory.

2239 Emission estimates for 2015 have been developed following the general inventory methodology
2240 using activity data on annual steel production by EAF from the World Steel Association. Default
2241 UEFs were derived from Wang 2016b, Roseborough et al 2008, Burger Chakraborty 2013, Ocio
2242 et al 2012, Kim et al 2010, and BREF_IS (table 8.1) and a default technology profile was
2243 developed based mainly on national information in Kim et al 2010 and Roseborough et al 2008.
2244 For further details see Annex 6.

2245 **6. Methodology update: Primary production of non-ferrous metals (copper, lead and zinc)**

2246 Primary production of the non-ferrous metals copper, lead and zinc are a significant source of
2247 mercury emissions and releases from both raw materials (metal ores) and fuels used in the
2248 process. Metal ores are mined and concentrated; concentrates are further pre-treated, roasted,
2249 smelted and refined. Most of the mercury present in metal concentrates evaporate during high-
2250 temperature roasting (or sintering) and smelting stages (UNEP, 2017). Releases from ore mining
2251 operations are not included in the scope of this inventory.

2252 Most large smelters include acid plants that remove a substantial part of the mercury emitted
2253 from the off-gas during the smelting stage. This mercury is either treated as waste (if removed
2254 prior to acid production) or contained in the acid (BAT/BEP, 2017). Acid plants are considered a
2255 form of (air) pollution control device in the applied methodology.

Key modifications to primary non-ferrous (copper, lead, zinc) sector emission factors and technology profiles

The methodology used to estimate non-ferrous copper, lead and zinc sector emissions is similar to that applied in the GMA 2013, but with the following changes:

- Concentrate/metal ratios and values of Hg content in concentrates have been adjusted to reflect new information and data presented in recent articles and reports and provided by national experts. Assumptions on metal content in concentrates are also revised based on concentrate/metal ratios provided in recent literature.
- A distribution factor to air of 1 was applied in the 2010 global inventory based on the default UNEP Toolkit value (UNEP 2011). This value has now been adjusted to take account of information in Hui 2016 indicating that about 3-10% of the total mercury input is bound in smelting slag. The proportion of mercury bound in smelting slag is assumed to be 0.9 for Zn (a weighted average over two main production processes, assuming that hydrometallurgical process is used more widely than pyrometallurgical), 0.96 for Cu and 0.97 for Pb.
- Default technology profiles of country groups 1 and 2 are revised and imply higher abatement levels in the current inventory than in 2010.
- Combustion of coal in production of non-ferrous metals is now identified as a separate (sub-) activity under 'industrial stationary combustion emissions'

For further details see Annex 6.

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7. Methodology update: Primary production of non-ferrous metals – aluminium

The methodology used to estimate NFM-aluminium production sector emissions is similar to that applied in the GMA 2013, but with a small adjustment to the applied bauxite/alumina ratio based on BREF data. For a group of countries producing alumina for export only, a new emission factor has been developed, see details in Annex 6.

8. Methodology update: Primary production of non-ferrous metals – large-scale gold production

The methodology used to estimate NFM-large-scale gold production sector emissions is similar to that applied in the GMA 2013; however, the default technology profile for group 1 countries has been revised and implies higher abatement levels in the current inventory than in the 2010 inventory. See Annex 6.

Activity data on large-scale gold production from the USGS includes a number of footnotes concerning difficulties distinguishing ASGM and large-scale gold production in some countries. Where possible these notes have been considered in the light of other published information and or discussions with national experts to correctly characterize gold production; however, the possibility of that (some) ASGM produced gold is included in activity data for large-scale gold production remains for some countries.

9. Methodology update: Oil refining

The methodology used to estimate emissions from oil refineries is similar to that applied in the GMA 2013; with some minor adjustments to the assumptions (weighting) applied when calculating mercury content of oils refined in different countries. These adjustments result in a small decrease in total emissions from this sector if 2010 calculations are repeated, but may significantly influence estimates for individual countries. Although industry sources have delivered new information on mercury content of oil from different regions (IPIECA, 2012), for reasons of commercial confidentiality they are unable to specify the exact sources of these oils (i.e., the countries/fields of origin). This means that lack of reliable information on mercury-content of refined oils remains an important limitation in estimating national emissions and releases from oil refineries. Other knowledge gaps include information to resolve different assumptions regarding fate of mercury emitted/released during refinery operations (see section 2.3.3(8), below). See also Annex 6.

10. Methodology: Vinyl Chloride Monomer (VCM) production with mercury-dichloride (HgCl₂) as catalyst

2288 Two processes are used in the manufacture vinyl chloride monomer: the acetylene process that
2289 uses mercuric chloride on carbon pellets as a catalyst, and a process based on the
2290 oxychlorination of ethylene that does not use mercury. Production of VCM with mercury-
2291 containing catalyst occurs only in a few countries (China, India and the Russian Federation).
2292 Mercury can be emitted during the production of VCM but a large part of the mercury remains in
2293 the used catalyst. Recycling of used catalyst is, however, an additional substantial source of
2294 mercury emissions. The 2015 estimates of mercury emissions to air from VCM production and
2295 from recycling of mercury-containing catalyst are based on national information, in combination
2296 with literature information; for further information see Annex 6.

2297 This source was not addressed in the 2010 global emission inventory.

2298 **11. Methodology update: Waste and waste incineration**

2299 Mercury emissions from waste originating from mercury-added products (lamps, batteries,
2300 measuring devices, etc.) have been estimates based on assumptions regarding their entry into
2301 different waste streams. The majority of wastes associated with mercury-added products end up
2302 in landfill or (controlled/uncontrolled) incinerated waste. Mercury 'consumption' in these
2303 mercury-added products is defined in terms of final regional consumption of mercury products to
2304 take account of the fact that, for example, although most measuring and control devices are
2305 produced in China, many of them are exported, 'consumed' and disposed of in other countries.

2306 It is important to recognize that estimates for mercury emitted from the waste sector do not
2307 currently include emissions due to incineration of industrial waste and sewage sludge, or (in most
2308 cases) hazardous waste. This is because it is not currently possible to obtain reliable information
2309 on the amounts of such wastes incinerated, and more importantly the mercury content of such
2310 wastes, which can be highly variable. This subject is further discussed below in relation to
2311 national comparisons, chapter 2.3.4.

Key modifications to (mercury-added product) waste and waste incineration sector emission factors and technology profiles

The basic methodology applied to estimate mercury emissions from waste originating from mercury-added products is the same as that applied for the 2010 inventory.

- In the 2010 global inventory (GMA 2013) about 30% of the Hg was assumed to remain in products in society and not be emitted until later. In the 2015 global inventory this component is set to zero, to (to some extent) take account of the continuous release of materials in societal use. Consequently, all Hg consumed in one year (2015) is now distributed on pathways of safe storage, breakage or flow into the waste stream.

- Mercury consumed in mercury-added products is distributed on different pathways using distribution factors with emission factors applied to estimate emissions; some distribution factors have been revised based on information from national experts.
- A new technology group was added, covering the least developed level of technology for waste handling. Most countries in Sub-Saharan Africa were assigned to this technology level based on information from experts responsible for coordinating regional MIAs; some additional reclassifications of countries between technology groups, relative to assignments used in the 2010 global inventory, were also applied.

For further details see Annex 3.

2312

2313 **12. Methodology update: Crematoria emissions**

2314 Methods employed were essentially identical to those applied in the GMA2013. Updated
 2315 information on regional mercury consumption in dental uses in 2015 was obtained from Maxson
 2316 (2017) and, where available, cremation statistics updated based on national information and
 2317 data from the Cremation Society of Great Britain (CSGB, 2017). The methodology is considered
 2318 sub-optimal in that it does not take account of, e.g. the relationship between time of application
 2319 of amalgam fillings and life-expectancy, and other factors that will determine cremation
 2320 emissions following use of mercury in dental amalgam. However it provides a first-level estimate
 2321 of emissions from this use of mercury that can be compared with other such estimates (e.g.,
 2322 those derived in national inventories or MIAs, see section 2.5). See also Annex 4.

2323 **13. Methodology update: Artisanal and small-scale gold production (to be completed)**

2324 The information base that underpins the assumptions applied regarding use of mercury in
 2325 artisanal and small-scale gold mining has been significantly updated and improved for a number
 2326 of countries. Improved knowledge also resulted in an adjustment to the factors applied in
 2327 assigning ASGM emissions associated with use of whole ore amalgamation and concentrate
 2328 amalgamation. This results in a small decrease in the estimate of emissions to air per unit of
 2329 mercury consumed in ASGM that is reflected in both retrospectively updated (national)
 2330 estimates for 2010, as well as for 2015. See Annex 2.

2331 **2.2.3 Uncertainties**

2332 In the GMA2013 a simplistic approach was applied to calculate uncertainties associated with the
 2333 2010 inventory estimates. Essentially, this involved calculating high- and low-range estimates for
 2334 individual country-sector emissions based on assumptions regarding reliability of activity data and
 2335 (unabated) emission factors. Uncertainties associated with assumptions about applied technologies
 2336 were ignored. It was noted that this approach would result in over-estimation of uncertainties
 2337 associated with aggregated emissions estimates such as regional, sectoral or global totals. However,

2338 the method did provide a reminder that inventory estimates – whatever their source or basis – have
2339 large associated uncertainties and need to be regarded in this light.

2340 In the 2015 inventory work, a more detailed evaluation of uncertainties has been applied considering
2341 three different approaches: (i) calculating uncertainties using the approach applied in the GMA,
2342 2013; (ii) applying a modification of this whereby uncertainties associated with technology
2343 assumptions were also introduced, and (iii) employing the propagation of errors method (Frey, et al.,
2344 2006) to evaluate uncertainties associated with aggregated estimates. The latter method was
2345 adapted to apply a cut-off in extreme situations, e.g. so that removal efficiency could not exceed
2346 100%. Further assumption were applied in relation to other factors; for example, unabated emissions
2347 factors used in range estimates were based on assumptions regarding skewed (log-normal)
2348 distribution of mercury-content of fuels and raw materials.

2349 Further details of these three approaches are described in Appendix A. Results of the modified
2350 approach to individual country-sector estimates are reflected in the values tabulated in Annex 8.
2351 Uncertainty estimates associated with aggregated emission estimates using the propagation of errors
2352 approach are included in the values presented in section 2.3, below.

2353 At the global level, uncertainties calculated using approach (i) are -54% / +150%, using method (ii) -
2354 63% / +206%, and using method (iii) -8.4% / +30%.

2355 **2.3 Estimating 2015 global anthropogenic mercury emissions to** 2356 **air: Results**

2357 In this section, results for the 2015 global inventory estimates are reviewed from the perspective of
2358 region- and sector-based summaries followed by commentaries on comparisons with national
2359 inventories and air emissions on a sector by sector basis, and an evaluation of apparent trends in
2360 emissions between 2010 and 2015.

2361 The global inventory of mercury emissions to the atmosphere from anthropogenic sources in 2015 is
2362 2150 tonnes (range ca. 1965 – 2743 tonnes).

2363 This global inventory total for 2015 does not include sectors that are not yet addressed discretely in
2364 the inventory work; for example it does not include the ca. 80 tonnes that, in the GMA2013 work was
2365 attributed to emissions to air from 'contaminated sites'. In the case of contaminated sites, emissions
2366 from 'contaminated sites' can be assumed to be similar in 2010 and 2015.

2367 Some key observations are as follows:

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- The 2015 inventory total of 2150 tonnes aligns with the GMA2013 statement that global emissions to air in the first part of the 21 century from principle anthropogenic sectors are of the order of 2000 tonnes per year.
 - Uncertainties associated with the current global inventory total of 2150 tonnes are of the order of -10% and +30% (i.e., an approximate range of 1930-2800 tonnes).
 - Estimated global mercury emissions to air from anthropogenic sources in 2015 are approximately 12% higher than the inventory for 2010, when 2010 estimates are retrospectively updated for comparable methodology and sectors not addressed in the original 2010 inventory. This increase appears to be mainly associated with increased economic activity in certain regions. Possible reasons for the increase are discussed in more detail in sections 2.3.3 and 2.4.
 - Sectors not yet addressed in the national-sector estimated inventory may contribute additional emissions to air of the order of some tens-to-hundreds of tonnes per year. These include, for example, ca. 70-95 tonnes of emissions from contaminated sites and XXX from other sectors noted in this report (see section xxx). For example, the global inventory total rises to 2230 tonnes if estimated emissions from contaminated sites are included.

2384 **2.3.1 Summary of results by region**

2385 The regional (sub-continental) contributions to the global inventory in 2015 are illustrated in Figure

2386 R1. The emissions pattern is very similar to that in 2010, with the majority of the emissions occurring

2387 in Asia (52%, of which 42% in East and South-east Asia) followed by Sub-Saharan Africa (17%) and

2388 South America (13%) (see also Table R1). The consistency in the regional distribution of emissions

2389 illustrated in Figure T1 (above) between the 2010 (GMA 2013), 2010 updated and 2015 datasets

2390 discussed in this report indicates that these patterns are robust and not influenced to any undue

2391 extent by artefacts resulting from changes in methodology and additional sectors introduced since

2392 the GMA2013 work.

2393 ASGM-associated emissions account for about 70-75% of the emissions that occur in South America

2394 and Sub-Saharan Africa.

2395 If ASGM-associated emissions are discounted, the East and South-east Asian region remains the

2396 region responsible for the majority of emissions (48% on the non-ASGM total), with South Asia

2397 responsible for a further 15%. The non-ferrous metals industry is the main source of emissions in

2398 Sub-Saharan Africa and the 'CIS and other European countries' region; thus these two regions,

2399 between them, contribute a further 15% of the total non-ASGM emissions. In the remaining regions,

2400 coal combustion still accounts for the major part of the emissions in North America (over 60%), the

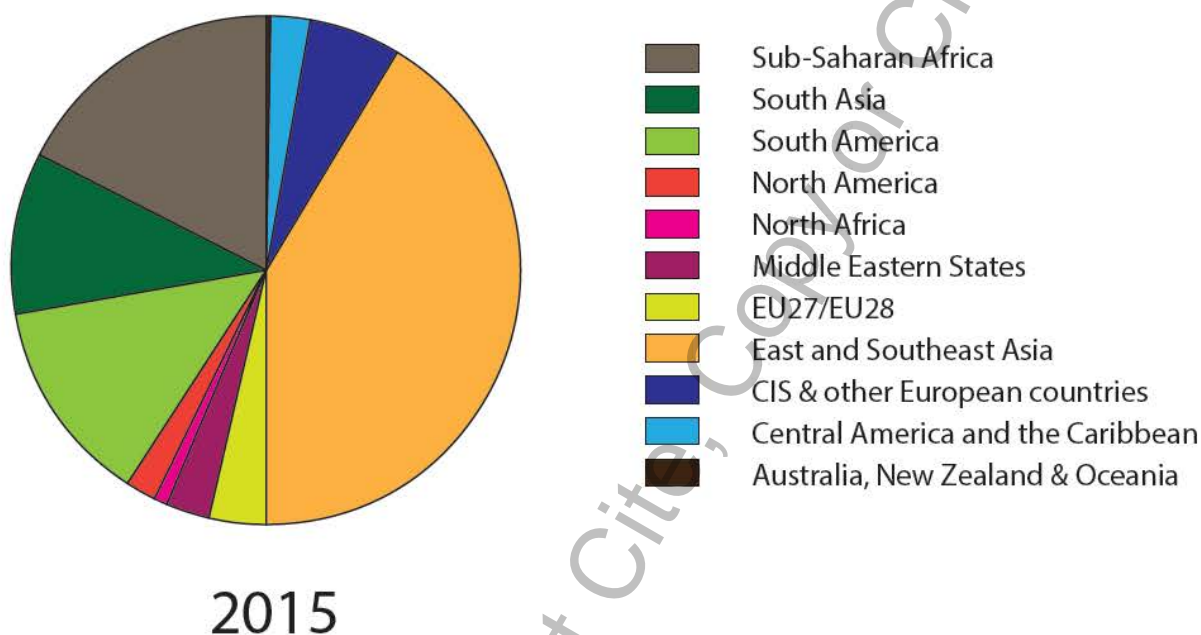
2401 EU (over 50%) and Australia, New Zealand and Oceania (36%). In the Middle Eastern States and North

2402 Africa, the cement industry is the principle source of emissions (43% and 52% of the regional totals,

2403 respectively). Sources associated with wastes from mercury-containing products account for

2404 approximately 10-20% of emissions in most regions, somewhat higher in North Africa (27%) and
 2405 lower in the EU and East and South-east Asian regions.

2406 All percentage contributions need to be considered in relation to the total (absolute) amounts of
 2407 mercury emitted in each sub-region. The sector-based emission discussion (section 2.3.3) provides
 2408 additional insights into the relative amounts of emissions from different source sectors.



2409
 2410 *Figure R1: Regional breakdown of global emissions of mercury to air from anthropogenic sources in*
 2411 *2015.*

2412
 2413 *Table R1: Regional breakdown of global emissions of mercury to air from anthropogenic sources in*
 2414 *2015 (and 2010). (Greyed out numbers should not be used for comparative purposes Regional total*
 2415 *rounded to 3 significant figures).*

2416 (Preliminary) Estimates and Comparisons (Version 13 June 2017)

Subregion		2015**	2010 (AMAP/UNEP, 2013)
Australia, New Zealand & Oceania	2010 by-product sectors	7.53	21.46
	Mercury in products (waste) (CREM / WASOTH / WI)	1.15	0.81*

	ASGM	-	-
	Regional total	8.68 (6.77 - 13.5)	22.3
Central America and the Caribbean	2010 by-product sectors	26.83	20.50
	Mercury in products (waste) (CREM / WASOTH / WI)	6.06	3.60*
	ASGM	23.74	23.63
	Regional total	56.6 (xxx - xxx)	47.7
CIS & other European countries	2010 by-product sectors	95.54	95.60
	Mercury in products (waste) (CREM / WASOTH / WI)	15.18	7.15*
	ASGM	12.69	12.48
	Regional total	123 (105– 167)	115
East and Southeast Asia	2010 by-product sectors	628.27	467.15
	Mercury in products (waste) (CREM / WASOTH / WI)	50.92	38.20*
	ASGM	210.80	271.87
	Regional total	890 (725 – 1470)	777
EU27/EU28	2010 by-product sectors	72.02	81.20
	Mercury in products (waste) (CREM / WASOTH / WI)	7.13	7.42*
	ASGM		
	Regional total	79.2 (68 – 108)	88.6
Middle Eastern States	2010 by-product sectors	43.65	32.46
	Mercury in products (waste) (CREM / WASOTH / WI)	9.98	4.55*
	ASGM	0.26	-

	Regional total	53.9 (41.5 – 95.5)	37.0
North Africa	2010 by-product sectors	15.28	10.99
	Mercury in products (waste) (CREM / WASOTH / WI)	5.55	2.38*
	ASGM		
	Regional total	20.8 (13.4 – 45.7)	13.4
North America	2010 by-product sectors	38.07	53.99
	Mercury in products (waste) (CREM / WASOTH / WI)	5.59	6.76*
	ASGM	-	-
	Regional total	43.7 (36.0 – 62.7)	60.8
South America	2010 by-product sectors	55.70	52.21
	Mercury in products (waste) (CREM / WASOTH / WI)	11.28	7.82*
	ASGM	211.41	184.73
	Regional total	278 (220 – 328)	245
South Asia	2010 by-product sectors	182.15	135.29
	Mercury in products (waste) (CREM / WASOTH / WI)	36.35	17.30*
	ASGM	4.50	1.13
	Regional total	223 (187 – 293)	154
Sub-Saharan Africa	2010 by-product sectors	91.82	78.60
	Mercury in products (waste) (CREM / WASOTH / WI)	17.02	4.28*
	ASGM	262.39	232.99
	Regional total	371 (330 – 417)	316

Global inventory	2010 by-product sectors	1141.26	1049.46
	New by-product sectors (BIO / VCM / SSC)	115.60	n/a
	Mercury in products (WI / WASOTH / CREM) (waste/cremation)	166.21 (162.44/3.77)	100.28* (95.51/4.78)
	ASGM	725.74	726.77
	Global inventory total (sum of national sector-based estimates)	2149 (1964 – 2743)	1876

2417 *In 2010 ca. 30% of mercury consumed in products was allocated as 'remaining in society'; in the 2015 and
2418 updated 2015 values this amount is incorporated in the waste-stream estimates. For valid comparison the 2010
2419 value would be multiplied by 1.3 (i.e. WASTE category total would be ca. 124.16 rather than ca 95.51
2420 ** The indicated uncertainties are based on the propagation of errors approach; for by-product sectors,
2421 individual country-sector estimates were assigned uncertainties based on the modified GMA2013 approach
2422 (including uncertainties associated with APC technology); for ASGM and sectors concerning waste from
2423 mercury-containing products, the basic GMA2013 approach was used for country-estimates.

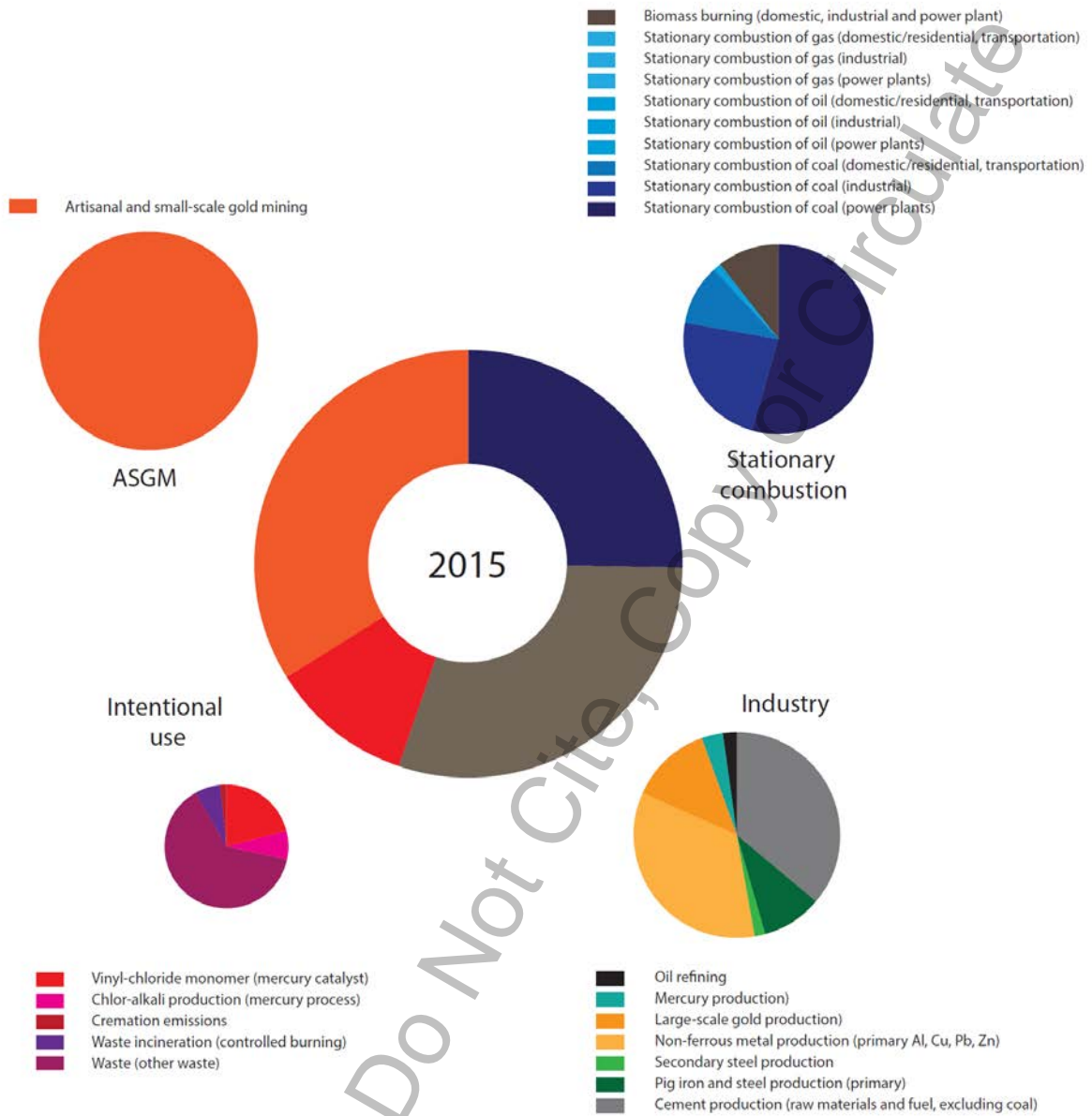
2424

2425 **2.3.2 Summary of results by sector**

2426 As with the regional breakdown, the relative breakdown of anthropogenic mercury emissions in 2015
2427 between sectors is, in most respects, very similar to that in 2010. The predominant source sector is
2428 ASGM (ca. 33.8%) followed by stationary combustion of coal (ca. 22.4%; of which 13.9%, 6% and
2429 2.6% in, respectively, power plants, industrial uses and domestic/residential burning). These are
2430 followed by emissions from non-ferrous metal production (ca. 15.1%, of which 3.8% in large-scale
2431 gold production and 1% from production of mercury), and cement production (ca. 10.8%). Emissions
2432 associated with disposal of mercury-containing product waste (7.6%), ferrous-metal production
2433 (3.4%, of which 0.5% from secondary steel production), stationary combustion of other fuels (3%,
2434 from combustion of oil, gas and biomass – the latter a newly included component contributing 2.6%)
2435 and other (2.9%, with another newly included sector – VCM – responsible for 2.3% of this) make up
2436 the rest. See Figure S1 and Table S1.

2437 ***More detailed discussions are presented in 2.3.3 and other sections – for changes from 2010-2015***
2438 ***see section (2.4).***

2439



2440

2441 *Figure S1: Proportions of global emissions of mercury to air from different anthropogenic source*
 2442 *sectors in 2015.*

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2448 Table S1: Global emissions of mercury to air from different anthropogenic source sectors in 2015 (and
 2449 2010) [uncertainty ranges to be added when available for complete dataset]

2450 (Preliminary) Estimates and Comparisons

(Version 13 June 2017)

Sector Code	Description	Activity Code	Description	2015**	2010 GMA
ASGM	Artisanal and small-scale gold mining	ASGM	Artisanal and small-scale gold mining	725.75	726.77
BIO	Biomass burning (domestic, industrial and power plant)	PSB - DR	domestic residential burning	43.57	n.e.
		PSB - IND	industry	7.98	n.e.
		PSB - PP	power plants	5.37	n.e.
CEM	Cement production (raw materials and fuel, excluding coal)	CEM	cement (fuels excl.)	232.03	173.05
		PC- CEM	pet coke	0.99	n.e.
		See also BC-IND-CEM and HC-IND-CEM			
CREM	Cremation emissions	CREM	Cremation emissions	3.77	4.78
CSP	Chlor-alkali production (mercury process)	CSP-C	capacity based	15.78	26.46
		CSP-P	production based	1.61	1.89
NFMP	Non-ferrous metal production (primary Al, Cu, Pb, Zn)	AL-P	aluminium (primary production)	7.28	4.91
		CU-P	copper (primary production)	42.87	83.99
		CU-T	copper (total production)	4.03	9.90
		PB-P	lead (primary production)	32.70	4.40
		PB-T	lead (total production)	2.89	0.37
		ZN-P	zinc (primary production)	17.11	19.44
		ZN-T	zinc (total production)	115.69	70.32
		See also BC-IND-NFM and HC-IND-NFM			
NFMP-AU	Large-scale gold production)	GP-L	gold production	81.16	97.33
NFMP-HG	Mercury production)	HG-P	mercury production	21.60	11.75
OR	Oil refining	CO-OR	oil refining	14.02	15.99
PISP	Pig iron and steel production (primary)	PIP	iron and steel (primary production)	61.92	45.47
		See also BC-IND-PIP and HC-IND-PIP			

SC-DR-coal	Stationary combustion of coal (domestic/residential, transportation)	BC-DR	brown coal	1.99	2.71
		HC-DR	hard coal	54.00	53.25
SC-DR-gas	Stationary combustion of gas (domestic/residential, transportation)	NG-DR	natural gas	0.17	0.16
SC-DR-oil	Stationary combustion of oil (domestic/residential, transportation)	CO-DR	crude oil	0.00	0.00
		CO-HF-DR	heavy fuel oil	0.57	0.71
		CO-LF-DR	light fuel oil	2.16	1.85
SC-IND-coal	Stationary combustion of coal (industrial)	BC-IND-CEM	brown coal (cement industry)	2.59	8.10
		BC-IND-NFM	brown coal (NFM industry)	0.11	
		BC-IND-OTH	brown coal (other industry)	4.73	
		BC-IND-PIP	brown coal (ferrous metal industry)	0.14	
		HC-IND-CEM	hard coal (cement industry)	43.20	94.14
		HC-IND-NFM	hard coal (NFM industry)	3.35	
		HC-IND-OTH	hard coal (other industry)	43.11	
		HC-IND-PIP	hard coal (ferrous metal industry)	31.00	
SC-IND-gas	Stationary combustion of gas (industrial)	NG-IND	natural gas	0.13	0.10
SC-IND-oil	Stationary combustion of oil (industrial)	CO-HF-IND	heavy fuel oil	1.15	2.71
		CO-IND	crude oil	0.06	0.08
		CO-LF-IND	light fuel oil	0.21	0.24
SC-PP-coal	Stationary combustion of coal (power plants)	BC-L-PP	brown coal (lignite)	59.81	61.39
		BC-S-PP	brown coal (sub-bituminous)	41.22	27.39
		HC-A-PP	hard coal (anthracite)	2.58	2.00
		HC-B-PP	hard coal (bituminous)	194.42	225.36
SC-PP-gas	Stationary combustion of gas (power plants)	NG-PP	natural gas	0.34	0.29
SC-PP-oil	Stationary combustion of oil (power plants)	CO-HF-PP	heavy fuel oil	2.06	3.22

		CO-LF-PP	light fuel oil	0.17	0.14
		CO-PP	crude oil	0.31	0.36
SSC	Secondary steel production	SP-S	secondary steel production	10.14	n.e.
VCM	Vinyl-chloride monomer (mercury catalyst)	VCM-P	Vinyl-chloride monomer production	2.58	n.e.
		VCM-R	Vinyl-chloride monomer recycling	45.95	n.e.
WASOTH	Waste (other waste)	WASOTH	other waste	147.50	89.36*
WI	Waste incineration (controlled burning)	WI	waste incineration	14.94	6.15*
Total				2149	1876

2451

Other potential emissions (sectors quantified only as global totals)					
				82	82
			contaminated sites	(70 – 95)	(70 – 95)
			misc. industrial, manufacturing activities (pulp and paper, food industry, chemical industry, lime production, etc.)	To be inserted	To be inserted
			oil and gas extraction	To be inserted	To be inserted
			industrial/sewage sludge	To be inserted	To be inserted
			Potential Global Inventory including possible additional sectors	2230	1960

2452

2453 * In 2010 ca. 30% of mercury consumed in products was allocated as 'remaining in society'; in the 2015 values
2454 this amount is incorporated in the waste-stream estimates. For valid comparison the 2010 value would be
2455 multiplied by 1.3 (i.e. WASOTH category total would be ca. 115.46 rather than ca 89.36, and the WI category
2456 total would be 15.40 rather than 6.15.

2457 ** The indicated uncertainties are based on the propagation of errors approach; for by-product sectors,
2458 individual country-sector estimates were assigned uncertainties based on the modified GMA2013 approach
2459 (including uncertainties associated with APC technology); for ASGM and sectors concerning waste from
2460 mercury-containing products, the basic GMA2013 approach was used for country-estimates.

2461 n.e. - not estimated in the 2010 GMA inventory

2462

2463 2.3.3 Sector-based observations

2464 Observations made below include comparisons between 2015 inventory estimates and updated 2010
2465 inventory estimates (see section 2.4).

2466 **1. Stationary Combustion – coal, oil and gas burning**

2467 Mercury emissions from stationary combustion of fossil fuels are estimated to account for ca.
2468 490 tonnes of mercury emissions to air in 2015, with coal-burning responsible for by far the
2469 largest amount (482 tonnes) followed by oil (7 tonnes) and gas (1 tonne). Of these emissions,
2470 about 300 tonnes are associated with burning of fossil fuels in power plants, 130 tonnes in
2471 industrial uses and the remaining 60 in other, primarily domestic and residential uses. Coal
2472 burning is therefore the second largest contributor to global mercury emissions after ASGM.

2473 The 2015 inventory estimate (based largely on IEA 2014 activity data) is 298 (260-355) tonnes
2474 from coal burning in power plants (an increase of 13% on a revised estimate for 2010) and 128
2475 (107-150) tonnes in industry (close to the estimate for 2010). Mercury emissions from coal
2476 burning in other (mainly domestic and residential uses) are also relatively stable between 2010
2477 and 2015 at around 55 (37-70) tonnes.

2478 Considering the increase in emissions from coal burning in power plants in more detail, these are
2479 almost entirely due to increased emissions in the East and South-east Asian and South Asia
2480 regions. Increased mercury emissions of ca. 19 tonnes in each of these regions, constitute a rise
2481 of ca. 21% in East and South-east Asia and 42% in South Asia. Decreasing mercury emissions from
2482 coal burning in power plants were observed in Australia, New Zealand & Oceania (-15%), CIS &
2483 other European countries (-4%), the EU region (-2%) and North America (-13%).

2484 A new feature of the 2015 inventory is the differentiation of emissions from coal burning in
2485 industry between some major component activities. Of the total emissions from coal burning in
2486 industry of 128 tonnes, ca. 46 tonnes of this was associated with the cement industry, 31 tonnes
2487 with ferrous metal production, 3.5 tonnes with non-ferrous metal production, and 48 tonnes
2488 with other industrial uses. These emissions are accounted in the 2015 inventory under coal
2489 combustion but may also be taken into account as additional emissions when considering the
2490 cement, ferrous and non-ferrous metal sectors (see below).

2491 **2. Stationary Combustion – biomass burning**

2492 Biomass burning constitutes a new sector introduced in the 2015 inventory. Estimated emissions
2493 are based on IEA activity data and concern only biomass burning of primary solid biofuels for
2494 energy production (in power, industrial, and domestic/residential situation). Thus, they do not
2495 include biomass burning from, for example, agricultural burning or land clearance practises that
2496 take place in many countries.

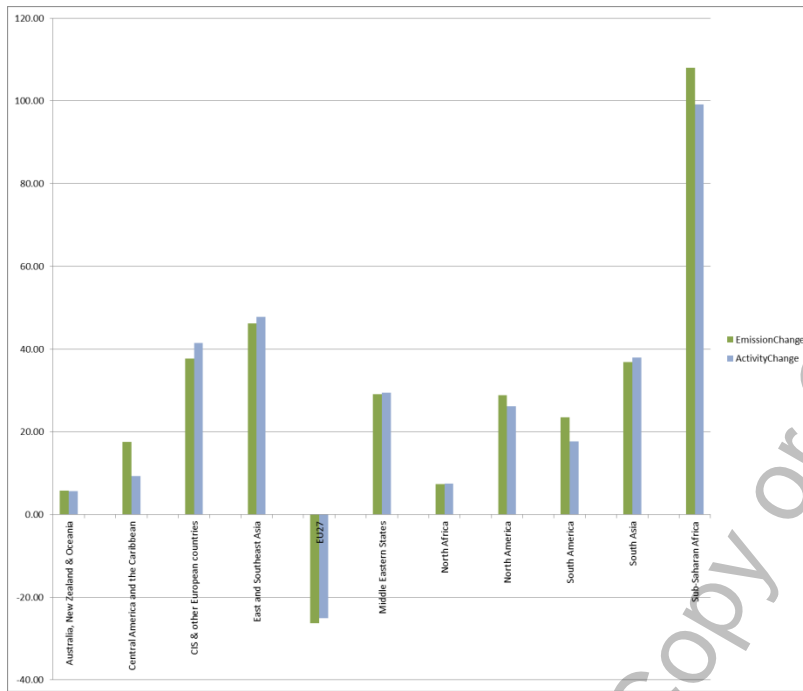
2497 The estimated mercury emissions from primary solid biofuel burning in 2015 are 57 tonnes (47-
2498 70 tonnes; ca. 2.5% of the global inventory). A comparable value of ca. 51 tonnes was calculated
2499 retrospectively for 2010.

2500 The main emissions from biomass burning are associated with East and South-east Asia, South
2501 Asia and Sub-Saharan Africa (ca. 29%, 22% and 25% of the biomass emissions total, respectively).

2502 **3. Cement production**

2503 Estimated total global mercury emissions to air from the cement sector are 233 (116-781) tonnes
2504 in 2015. However, the updated methodology allows an improved differentiation of the
2505 contribution to mercury emissions associated with fuels burned in cement-clinker production
2506 and the non-fuel raw materials. In the 2015 inventory, therefore, a part of the emissions
2507 accounted under 'industrial coal combustion' are identified with use of coal as fuel in the cement
2508 industry. If this additional 46 tonnes of emissions is accounted under cement production, the
2509 contribution of the cement sector in the global inventory rises from ca. 10.8% to ca. 13% making
2510 the cement sector the third largest contributor after ASGM and coal burning.

2511 The total mercury emissions in 2015 directly associated with the cement sector (233 tonnes) is
2512 considerably higher than the ca. 172 tonnes associated with this sector in 2010, an increase of
2513 35%. Only in the EU region do the estimated emissions from the cement sector decrease
2514 between 2010 and 2015 (by ca. 25%); in all other regions increases are observed, of between ca.
2515 6% in the Australia, New Zealand, Oceania region, up to 108% in Sub-Saharan Africa. These
2516 emission trends closely mirror the trends in cement production in the different regions, i.e. the
2517 primary activity data used in calculating emissions for the cement sector (see Figure S3).



2518

2519 *Figure S3: Relative (%) changes from 2010 to 2015 in activity data (cement production) and*
 2520 *mercury emissions associated with cement production in different regions.*

2521 **4. Ferrous metal production (pig iron and steel and secondary steel)**

2522 Mercury emissions from primary ferrous metal (pig iron and steel) production are estimated at
 2523 about 62 tonnes in 2015, with a relatively large uncertainty range (20-226 tonnes) which is
 2524 somewhat higher than the 45 tonnes in the 2010 inventory presented in the GMA2013 or
 2525 updated 2010 estimate of 53 tonnes).

2526 Of the increase in mercury emissions between 2010 (updated) and 2015, 7.4 tonnes of this
 2527 amount is from increased emission in East and South-East Asia, 1.2 tonnes in South Asia and 0.3
 2528 tonnes in the CIS and other European region. These three regions are responsible for,
 2529 respectively 71%, 6.5% and 11% of emissions from primary non-ferrous metal production.
 2530 Emissions from this sector in South America (responsible for about 3% of the sector emissions)
 2531 decreased by 0.4 tonnes.

2532 In the previous work (GMA2013) secondary steel production was not included but this sector has
 2533 been added in the present work.

2534 The resulting estimated emissions from secondary steel production in 2015 are 10 (7.5-18)
 2535 tonnes of mercury (ca. 0.5% of the global inventory), with a (retrospectively calculated estimate
 2536 of 9.7 tonnes in 2010). These totals are higher than might be expected and the reason for this

2537 level of emission is unclear at present; assumptions applied in the calculation of the estimated
2538 emissions are presented in Section 2.2.2(5) and Annex 6.

2539 **5. (Primary) non-ferrous metal production (Al, Cu, Pb, Zn)**

2540 Primary production of copper, lead and zinc, and aluminium, were together estimated to be
2541 responsible for some 226 tonnes of mercury emissions in 2015 i.e. an increased in comparison to
2542 the ca. 200 tonnes estimated for 2010. It should be noted that the estimates for emissions from
2543 this sector have relatively large associated uncertainties (range 153-326 tonnes in 2015).

2544 For aluminium, increased emissions in percentage terms are highest in the EU region, but in
2545 absolute terms the Asian regions and Central America are responsible for 2.7 tonnes of increased
2546 emissions, partly offset by decreased emissions in other regions, resulting in an overall increase
2547 in global emissions from this sector of 2.6 tonnes (from to 4.6 to 7.3 tonnes).

2548 Primary production of copper, lead and zinc make a significantly greater contribution to global
2549 mercury emissions, 215 tonnes in 2015 (from 194 tonnes in 2010). Here again, increased
2550 emissions in the East and South-east Asian and South Asia regions (29 and 2 tonnes, respectively)
2551 offset decreases in emissions in most other regions.

2552 Secondary production of non-ferrous metals is not yet addressed as a separate activity in the
2553 global emissions inventory activity (see section xxxx).

2554 **6. (Primary) non-ferrous metal production (Hg)**

2555 Estimated mercury emissions to air associated with production of mercury increased
2556 considerably between 2010 (11.7 tonnes) and 2015 (21.6 tonnes). With small decreases in
2557 estimated emissions from mercury production in the CIS and other European countries region
2558 and North Africa, the increased emissions are mainly from a doubling of the estimates for
2559 emissions in East and South-east Asia (from 9.5 to 18.9 tonnes from mercury production in
2560 China) and new emissions in the Central American region (2 tonnes of emissions resulting from
2561 300 tonnes of mercury production in Mexico), with a small contribution from South America (0.2
2562 tonnes emissions in Argentina)

2563 **7. (Primary) non-ferrous metal production (large scale-gold production)**

2564 Mercury emissions from large-scale gold production in 2015 are estimated at ca. 81 (69-94)
2565 tonnes which is lower than the 2010 estimates of 97 tonnes. Some of this reduction can be
2566 explained by revisions in both activity data and emission factors to better reflect the current
2567 situation in e.g. East and South-east Asia and Sub-Saharan Africa. In other regions, in particular

2568 Australia, New Zealand and Oceania (where Australian emissions predominate) and North
2569 America, slightly decreased emissions (10.7 and 0.9 tonnes, respectively) are also partly caused
2570 by revisions to technology profiles that imply higher abatement levels associated with technology
2571 improvements introduced in the period between 2010 and 2015.

2572 Again, the large uncertainties associated with these emission estimates need to be borne-in-
2573 mind.

2574 **8. Oil refining**

2575 Mercury is a trace contaminant present in varying concentrations in produced oil and gas.
2576 Mercury emissions associated with oil and gas production arise during different phases of
2577 operations. Emissions associated with the production (well-head) activities (including emissions
2578 from flaring) are currently not quantified in the global emission inventory due to lack of relevant
2579 information. Mercury is removed from oil and gas prior to its transport, in particular by pipelines,
2580 to avoid corrosion and damage to distribution systems. A significant part of the removal is done
2581 in connection with oil refining operations. Following the 2010 inventory, IPIECA released a
2582 commentary on the GMA results [IPIECA Fact Sheet to INC5], concluding that the GMA estimates
2583 for emissions to air were significantly over-estimated; they reported estimates of emissions to air
2584 of ca. 1.35 tonne as opposed to the ca. 13 tonnes. Total inputs (i.e. amounts of oil refined
2585 multiplied by mercury content of the oil) associated with the refinery sector do not differ greatly
2586 between the approaches employed in the GMA and the IPECA calculations. The main differences
2587 between the GMA and IPIECA estimates for emissions to air (and releases to water) appear to be
2588 associated with the assumptions regarding the fate of mercury at refineries. In the IPIECA
2589 approach, for example, 5% emissions to air are assumed, based on studies at US refineries
2590 [WSPA, 2009 REF in IPIECA note] (with the major part of the mercury – 87% - associated with
2591 solid waste). The GMA (and UNEP Toolkit) methodology assumes higher emissions to air (ca.
2592 25%) based on other industry reported studies [e.g. IKIMP, 2012 and references cited therein],
2593 with less of the mercury input being distributed to other media. No new information was
2594 identified that allowed this discrepancy regarding fate of mercury from oil refineries to be
2595 resolved.

2596 **9. Chlor-alkali production**

2597 Emissions from intentional use of mercury in the chlor-alkali industry have been decreasing for
2598 some time in most parts of the world. In part this is due to increased attention to best practices
2599 to reduce emissions, but primarily it is due to the shift from production based on the mercury-
2600 process to membrane production technology.

2601 Emission estimates for this sector decreased from ca. 25 tonnes in 2010 to around 17.4 tonnes
2602 in 2015.

2603 It should be noted that for many parts of the world, updated activity data relevant to the 2015
2604 inventory period are lacking; consequently emission trends can only be described reliably in
2605 relation to the EU, North America and South Asia regions, where emissions decreased by ca. 2.8
2606 tonnes (40%), 0.9 tonnes (83%) and 1.9 tonnes (74%) between 2010 and 2015, respectively. In
2607 the case of South Asia, the reductions are largely associated with reported phase-out of mercury-
2608 process chlor-alkali production in India.

2609 Although a relatively small component in the total global inventory, the continuing decrease in
2610 global mercury emissions from the chlor-alkali sector between 2010 and 2015 is a positive
2611 development that is not considered to be related to changes in inventory methodology.

2612 **10. Waste and waste incineration**

2613 Mercury emissions to air from disposal of waste from mercury-containing products are estimated
2614 at 162 tonnes in 2015; 147 (120-225 tonnes) from uncontrolled burning and landfill, and 15 (9-
2615 32) tonnes from controlled incineration.

2616 The 2015 estimated emissions from these sectors are considerably higher in comparison to the
2617 2010 estimate of 96 tonnes. This is to a large extent due to a change in methodology where
2618 previously ca. 30% of mercury in mercury-containing products was assumed to be 'retained in
2619 society'. In the 2015 updated methodology, this amount is now accounted as part of the waste-
2620 stream. If the 2010 GMA estimates are updated with this new methodology only, the emissions
2621 for 2010 would be 131 tonnes.

2622 Based on updated 2010 estimates, emissions from waste sectors declined in the EU and North
2623 America regions (by 33% and 45%, respectively; equivalent to 3-4 tonnes of mercury emitted in
2624 these regions). In all other regions, waste-associated mercury emissions increased by more than
2625 10 tonnes in South Asia and Sub-Saharan Africa, and around 3-5 tonnes in the Middle East, CIS
2626 and other European countries and East and South-east Asia. Increases in Australia, New Zealand
2627 and Oceania and South America regions were minor.

2628 Emissions from the waste sector have large associated uncertainties; quoted ranges only reflect
2629 uncertainties attributed to activity data (i.e. estimates of regional consumption of mercury in
2630 mercury-containing products).

2631 In general, the estimates addressed in the global inventory do not include industrial wastes and
2632 only partially include waste that may be classified as hazardous or medical waste, some of which
2633 may also be incorporated in fuels used in, e.g. the cement industry.

2634 Emissions associated with waste from mercury-containing products is also an area where large
2635 discrepancies have been identified between estimates made in the GMA inventory and those
2636 included in some national inventories as part of (preliminary) Minamata Initial Assessments (see
2637 section 2.3.4).

2638 **11. Crematoria emissions**

2639 Human cremation represents a relatively small but important source of emissions associated
2640 with intentional use of mercury – specifically mercury use in dental amalgam fillings. Estimated
2641 global mercury emissions to air from cremations are highly uncertain, but evaluated to be less
2642 than 5 tonnes per year (in 2015 and 2010) (ca. 0.25% of the global inventory). The proportion of
2643 regional emissions associated with cremation is slightly greater (around 1%) in the Australia, New
2644 Zealand and Oceania region and the EU and North America, likely reflecting comprehensive
2645 access to dental care that – in past decades at least – included widespread use of mercury
2646 amalgam fillings. Cultural and religious practises associated with burial and cremation also play a
2647 role in determining whether cremation emissions are a significant part of the national air
2648 emission profile.

2649 Cremation emissions are only part of the emissions associated with use of mercury in dental
2650 applications. The 2015 global inventory does not yet quantify emissions that can occur during
2651 preparation and routine disposal of mercury fillings. Other work has estimated emissions to air
2652 from these activities at XXX [REF]; they are also expected to contribute to mercury releases in
2653 waste waters.

2654 **12. Artisanal and small-scale gold production**

2655 Intentional use of mercury in ASGM is the predominant source of mercury emissions to air at the
2656 global level in the 2015 inventory, as was also the case in 2010. There remain, however, large
2657 uncertainties associated with emission from ASGM.

2658 ASGM activities take place in 7 of the 11 sub-regions considered in the current work. Of the
2659 estimated total global emissions from ASGM, of ca. 725 tonnes in 2015, ca. 36% of this amount
2660 (262 tonnes) is from Sub-Saharan Africa, and 29% (ca. 210 tonnes) from each of South America
2661 and East and South-east Asia. Mercury emissions from ASGM activities in Central America and

2662 the Caribbean, the CIS region and South Asia are considerably lower (4.5 – 24 tonnes in 2015)
2663 with a very minor contribution also from Middle Eastern States.

2664 ASGM-associated emissions are thus the predominant source of mercury emissions in some
2665 regions, accounting for about 70-75% of the emissions that occur in South America and Sub-
2666 Saharan Africa, about 40% of emissions in Central America and the Caribbean and about 25% of
2667 the emissions occurring in East and South-east Asia.

2668 The estimated emissions from ASGM in 2015 (725 tonnes) are very close to the value reported
2669 for 2010 in the GMA2013. However, this masks some important differences. Firstly, a
2670 recalculation of the 2010 emissions using the improved information base on ASGM-related
2671 activities, and revised emission factor assumptions results in a reduction in the emissions
2672 estimate for 2010 to ca. 680 tonnes. This implies that, rather than remaining constant, ASGM
2673 emissions increased by ca. 7% between 2010 and 2015. Furthermore, there are differing trends
2674 in emissions between 2010 and 2015 in different regions. The most significant increases are for
2675 South America (mercury emissions increasing from ca. 165 in 2010 to 210 tonnes in 2015) and
2676 Sub-Saharan Africa (from ca. 230 to 260 tonnes); conversely, ASGM emissions from East and
2677 South-east Asia declined from an estimated 245 tonnes in 2010 to 210 tonnes in 2015. In the
2678 latter region, estimates of ASGM emissions in China sharply declined (attributable to banning of
2679 mercury use in ASGM) but this was largely offset by increasing emissions in other countries,
2680 Indonesia in particular.

2681 **2.3.4 Comparing GMA global inventory estimates with national inventories**

2682 The target for the GMA 2018 air emissions inventory activity remains the production of a robust
2683 global inventory for the target year of 2015, for a defined set of sectors for which reliable global
2684 estimates can be produced. Although it presents emission estimates broken down by sector for each
2685 of some 200 countries, the applied methodology is directed at global/regional rather than national
2686 level application.

2687 All methods and approaches associated with generation of emissions estimates (whether produced
2688 by measurements or theoretical calculations) have (often large) associated uncertainties. It should
2689 therefore not be expected that estimates produced using different approaches (global vs national,
2690 etc.) will necessarily be identical. Estimates may differ for several reasons including:

- 2691 - use of activity data corresponding to different years or different sources
- 2692 - differences in reporting/sector attribution
- 2693 - differences in applied EFs
- 2694 - assumptions applied in deriving annual emissions estimates from measurements

2695 Differences between national/sector estimates that comprise the global inventory estimates
2696 presented in this report and national emission estimates from other sources provide an important
2697 part of estimate verification. Differences can often be explained, and even where this is not the case
2698 can reveal limitations in methodology or data that guide further attention and future work.

2699 A major new development since the GMA2013 work is that a large number of countries are engaged
2700 in preparing new national inventories or national emission/release estimates, many of these
2701 associated with the Minamata Initial Assessments (MIAs) or Minamata National Action Plans (NAPs).
2702 This allows increased possibilities for comparing the global and nationally derived emissions
2703 estimates.

2704 In relation to estimates compiled as part of the MIA process, most of the MIAs use an approach
2705 based on the UNEP Toolkit. The Toolkit was updated in 2013 to reflect new information compiled in
2706 developing the 2010 global inventory. In general, new refinements introduced in the work to
2707 produce the 2015 global inventory will not yet be reflected in the UNEP Toolkit.

2708 Comparisons between GMA 2018 inventory estimates for the nominal year 2015 and national
2709 estimates will be compiled in Annex 7 [not yet available].

2710 Information compiled as part of the GMA 2018 work, including information exchanged at
2711 international meetings (organized under the project inventory component) has identified the over 70
2712 national inventories that may be suitable for comparison with the 2015 inventory estimates. These
2713 include:

2714 i. Inventories prepared under the auspices of the UN ECE Convention on Long-range
2715 Transboundary Air Pollution (CLRTAP) reporting for 2015: 38 countries covering primarily the
2716 EU and CIS and Other European countries regions, but also Canada. An initial evaluation,
2717 based on total national mercury emission estimates for these countries indicated that GMA
2718 inventory estimates are generally somewhat higher than LRTAP reported emissions. For 5
2719 countries (Bulgaria, Kazakhstan, Kyrgyzstan, Macedonia and Serbia) differences between
2720 GMA estimates and CLRTAP reported emissions are substantial and need further
2721 investigation. Excluding these countries, total estimated emissions to air are 66 tonnes in
2722 CLRTAP reporting compared with 81 tonnes in the GMA inventory.

2723 For most (ca. 2/3) of national CLRTAP inventories, the reported total emissions are lower
2724 than the GMA estimates, with GMA inventory estimates for individual countries on average
2725 ca. 60% greater than emissions reported to CLRTAP. It is not unlikely that there are gaps in
2726 CLRTAP national mercury inventories as in recent years efforts to improve CLRTAP reporting
2727 have largely been directed at greenhouse gas emissions, while mercury and some other air

2728 pollutants (e.g. other heavy metals and Persistent organic pollutants, POPs) have received
2729 low priority.

2730 ii. Inventories currently being compiled as part of MIAS for about 30 countries from the Sub-
2731 Saharan Africa, East and South-east Asia, South Asia, South America and Central America and
2732 the Caribbean regions. At the time of preparation of this draft, the majority of MIA
2733 inventories are preliminary, and not all were made available for preliminary consideration
2734 under the GMA activity. Therefore direct comparisons have not been completed but some
2735 provisional conclusions can be drawn based on results from some countries, and discussions
2736 with MIA national and regional coordinators.

2737 Comparisons between GMA inventory results and results presented in (preliminary) MIA
2738 inventories gave rise to the following general observations:

- 2739 • With few exceptions, MIAs are being prepared using the UNEP Toolkit which is available
2740 in two versions: Level 1 and Level 2. The Toolkit Level 1 approach is designed to be
2741 employed for producing first rough estimates of mercury emissions and releases. The
2742 Toolkit's Level 2 is designed to represent national circumstances at a more detailed level,
2743 supported by available national data. There can be very substantial differences between
2744 emissions/release estimates for individual countries produced using the UNEP Toolkit
2745 Level 1 and Toolkit Level 2. For this reason, comparisons made between GMA inventory
2746 and MIA results focus on MIAs produced using Toolkit Level 2.
- 2747 • In general, estimates of national emission totals agree fairly well, but there can be
2748 significant differences on the sector level. These differences may be due to
2749 methodological differences in the approach for MIAs and GMA respectively, or use of
2750 different years of (activity) data, but can also be due to errors in national data collection
2751 for the MIAs, or regarding the GMA estimates, application of default emission factors and
2752 technology profiles not representative for that specific country.
- 2753 • The GMA inventory is based on activity data for a particular year – nominally 2015 (but
2754 typically 2014). Most MIAs appear to be based on 'most recent available data' and often
2755 the exact year of activity data concerned is not defined. Activity data is a major factor
2756 determining estimated emissions using the GMA and Toolkit approaches, and
2757 consequently lack of consistency in this respect is a possible explanation for substantial
2758 differences between GMA and MIA inventory estimates.
- 2759 • Other reasons identified on the basis of preliminary comparisons that may explain
2760 differences between the GMA estimates and the MIAs are:
 - 2761 – MIA estimates associated with oil and gas extraction – a component currently not
2762 included in the GMA inventory.
 - 2763 – MIA estimates associated with waste categories such as industrial waste and waste
2764 waters, currently not included in the GMA inventory
 - 2765 – Estimates of emissions from large scale gold mining, where the default factor in the
2766 Toolkit is 3 times higher than that applied in the GMA inventory methodology; data
2767 necessary to improve quantification of emissions from this sector are largely lacking.

- 2768 – For Cu production, the GMA approach may over-estimate the degree of application
2769 and effectiveness of abatement, at least for some African countries.
2770 – For cement production there are differences in assumptions applied in calculating
2771 emission estimates.
2772 – Some differences in ASGM/large-scale gold sector emissions estimates exist. The
2773 Toolkit default factors and methodology were revised in 2017; however many MIAs
2774 are still using earlier Toolkit versions.
2775 – Caution should be applied to avoid double counting in totals for inputs to waste and
2776 releases to some pathways from products in MIA results, as prescribed in the Toolkit
2777 – A major source of differences between GMA inventory estimates and preliminary
2778 MIA estimates can be traced to differences in estimates associated with use and
2779 disposal of waste (in particular waste burning) from mercury-added products. The
2780 methodology applied in the GMA work and the Toolkit approaches are very different.
2781 GMA emissions from waste are based on estimates of the amount of mercury in
2782 mercury-added products that are consumed in the country, while MIAs (using the
2783 UNEP Toolkit approach) calculate emissions using generic numbers for mercury-
2784 content of burned waste.
2785 – Discrepancies exist between estimates of amounts of mercury reported in MIAs for
2786 mercury-containing products and regional consumption estimates presented in the
2787 UNEP Trade and Supply report (used as the basis for GMA estimates). In some MIAs,
2788 problems have been identified with data collection, especially for mercury-added
2789 products, including differentiation of, for example, consumption of mercury-
2790 containing lamps and batteries and mercury-free lamps and batteries. Generally,
2791 countries have substantial data gaps for products. These problems may be
2792 exacerbated by insufficiently detailed customs statistics and lack of resources to
2793 contact producers and importers for supplementary information. Consequently,
2794 there are indications that the default factors for Hg content in general waste burnt
2795 (applied in many of the MIAs) may be too high.

2796 iii. National inventories provided by [Australia], Canada, Japan, Republic of Korea, Russia and
2797 United States.

2798 Detailed comparisons between GMA estimates and national inventories provided by these
2799 countries are presented in Annex 7 [not yet complete]. Tables AC1-5; Table C1 below
2800 presents some example (preliminary) comparisons with GMA estimates for main sectors.

2801 From this table it is apparent that estimates match to differing degrees for different sectors,
2802 and that this also varies between countries. However, in these example comparisons, the
2803 degree of consistency between national inventory estimates and the GMA estimates for this
2804 group of countries is generally good, and (with some exceptions) well within the bounds of
2805 associated uncertainties. Part of the difference can be explained by differences in the way
2806 emissions are assigned between sectors. This is particularly the case for some of the
2807 stationary combustion sectors and differentiation of power, industrial and

2808 domestic/residential burning sources, and whether or not fuels are included under stationary
2809 combustion or individual industrial sectors. One identified potential inconsistency is that
2810 activity data from IEA (used in GMA 2018) do not always match with nationally reported
2811 activity data, e.g. for fuel consumption reported by Canada, where differences have been
2812 attributed to data set timing (monthly and annual, provisional and revised) and possible use
2813 of different factors for conversions from physical fuel units to energy units.

2814 Some national inventories include additional emissions that are not yet quantified in the
2815 GMA inventory. Such 'other' sources include emissions from activities such as other chemical
2816 manufacturing processes; other mineral products (e.g., lime manufacturing), secondary non-
2817 ferrous metal production, oil and gas extraction, pulp and paper industry, and food industry,
2818 etc.). These emission sources are currently difficult to quantify at the global scale – largely
2819 due to lack of comprehensive activity data as well as lack of emission factors for highly
2820 variable process technologies. However, for the few (generally developed) countries
2821 reporting emissions from 'other' sources the contribution is approximately 5-20% of the
2822 national inventory totals, which extrapolated globally (on non-ASGM emissions totals) could
2823 represent additional emissions of the order of 100-200 tonnes.

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Table C1. [Example] Comparison between national inventory results and GMA 2015 (provisional) estimates

Sector	Australia		Canada*		Japan		Republic of Korea		Russia		USA		national	GMA 2015
	national	GMA 2015	national	GMA 2015	national	GMA 2015	national	GMA 2015	national	GMA 2015	national	GMA 2015		
Stationary combustion in power plants														
- coal			846	1748	1300	1264		1471			20750	22013		
- oil			0	11	13	155		30			39	40		
- gas			0	10	2	19		5			822	61		
Stationary combustion in industry														
- coal			251	129	240	341		214			986	2507		
- oil			60	19	2	71		25			4525	43		
- gas			0	4	1	3		2			1375	28		
Stationary combustion (domestic/ residential/other)														
- coal			0	5	0	0		0			1	205		
- oil			0	72	0	103		44			1772	363		
- gas			0	7	0	4		3			52	51		
Biomass burning			0	539	0	358		92			528	2441		
Cement			273	128	5500	3475		1258			2875	3131		
Ferrous metal production														
- primary pig iron and steel			617	200	2000	2219		687			1537	513		
- secondary steel			0	117	540	599		340			4140	1288		
Non-ferrous metal production														
- primary copper/lead/zinc			0	42	260	1623		295			573	108		
- primary aluminium			20	35	0	0		0			0	126		
- large-scale gold			0	339	0	16		0			521	485		
- mercury production			354	0	0	0		0			0	0		
Chlor-alkali industry			9	0	0	0		0			82	183		
VCM			0	0	0	0		0			0	0		
Oil refining			0	69	120	1135		968			240	1012		
ASGM			0	0	0	0					0	0		
Waste														
- controlled incineration			670	118	1500	1132		580			1812	1252		

2825

- other (landfill, etc.)			304	309	3850	2246		683			2995	3296		
Cremation			247	89	69	101		41			1128	523		
Other			924	0	1351	0					3271			
Total			4574	3990	16747	14864		6739			50024	39668		

*Canada also reports emissions (totalling 4387 kg) under the CLRTAP reporting system.

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2826 **2.4 Comparing 2010 and 2015 global inventory estimates**

2827 **2.4.1 Cautionary Notes**

2828 Inventory methodologies are constantly improved as new information and data becomes available. With
2829 each new round of inventory development, methods are improved, both with respect to understanding
2830 of important factors/parameters and availability, and quality of essential data. This has implications for
2831 consistency over time. Changes in emissions estimates for different periods reflect both real-world
2832 trends and artefacts of improvements in inventory methods and data availability. Over-simplistic
2833 comparisons between the new inventory and previous inventories can result in misinterpretation and
2834 should therefore be avoided.

2835 The increased focus on mercury emissions resulting from the adoption of the Minamata Convention, has
2836 also led to new research activities, national efforts and industrial focus related to mercury emissions.
2837 These efforts all contribute to providing more accurate and complete information on mercury emissions
2838 but unavoidably also introduce changes to both current and previous emission inventories.

2839 It is inevitable that comparisons will be made between results presented in the GMA2013 (AMAP/UNEP,
2840 2013) and the results in this update GMA – including comparing individual country-sector based
2841 estimates in the 2010 and 2015 inventories. If the implications of methodological refinements, addition
2842 of new sectors, improved quality of base information, etc. are not properly appreciated, such
2843 comparisons can result in inappropriate and misleading conclusions. It is strongly recommended that
2844 any such comparisons therefore refer to the information presented in this report only.

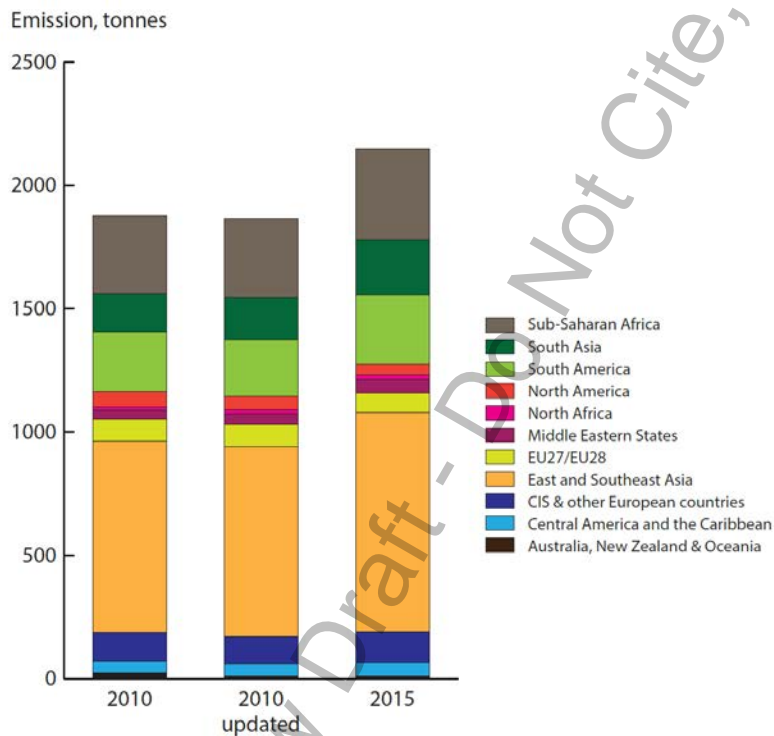
2845 **2.4.2 Observations on Changes from 2010 to 2015**

2846 As a first step in trying to address some of these issues and gain a reliable insight into whether apparent
2847 changes in emissions patterns between 2010 and 2015 represent real changes in emissions or are just
2848 artefacts of improved information and methodologies, an updated 2010 inventory was prepared in
2849 addition to the 2015 inventory. This updated 2010 inventory incorporated various ‘improvements’
2850 including new (relevant) information on emission factors and application of APC technology, as well as
2851 updated activity data¹. It also included a retrospective calculation of 2010 emissions for some sectors
2852 newly introduced in the 2015 inventory.

¹ In the 2010 inventory presented in the GMA 2013 much of the activity data used were preliminary, corresponding to the period for which latest-data were available (typically 2008 or 2009). The updated 2010 inventory values

2853

2854 Figure T1 compares the pattern of regional emissions in 2010 (GMA2013) with the updated-2010
2855 inventory and the 2015 inventory. The updated estimate of total emissions to air for 2010 is very similar
2856 (at the global level) to the original global estimate for 2010 published in the GMA 2013 (AMAP/UNEP,
2857 2013). This consistency is also apparent when considering aggregated emissions for (most) regions and
2858 sector groupings. The fact that changes in methods introduced for estimating emissions from specific
2859 sectors or country groups, the use of more representative 2010 activity data, and other ‘artefacts’
2860 (including the introduction of at least one sector in 2015 not represented in the updated 2010
2861 inventory) do not appear to have unduly influenced global or regional inventory results is considered a
2862 validation of the general approach employed for deriving global inventory estimates. At the same time,
2863 however, it should be noted that values for individual country-sector estimates have in some cases
2864 changed significantly.



2865

2866 *Figure T1: Regional breakdown of global emissions of mercury to air from anthropogenic sources in 2015*
2867 *in relation to 2010.*

presented in this report include a number that have been revised for ‘final’ 2010 activity data. The 2015 inventory presented in this report is largely based on latest available activity data (in most cases 2014).

2868 Where relevant, the discussions in section 2.3.3 attempt to address the issue of whether apparent
2869 trends (between 2010 and 2015 estimates) reflect genuine changes in emissions over time or are
2870 artefacts related to improved information, etc. On the basis of this evaluation of apparent changes, the
2871 following observations are made:

2872 Global emissions of mercury to the atmosphere in 2015 are approximately 12% higher than they were in
2873 2010. Continuing action to reduce emissions has resulted in modest decreases in emissions in some
2874 regions (North America and EU) but increasing emissions in most other regions. Increased economic
2875 activity, as reflected in 'activity data' on consumption of fuels and raw materials and production of
2876 products is a major factor in driving up emissions associated with energy and industrial sectors in a
2877 number of regions. In this respect, differences between 2010 and 2015 may also reflect recovery
2878 following the economic down-turn that may have influenced global emissions in 2010. These factors
2879 appear to have more than offset any (technological) efforts to reduce mercury emissions.

2880 Mercury emissions to air have decreased between 2010 and 2015 in three of the eleven world regions,
2881 namely in North America, in EU and in Australia, New Zealand & Oceania. In the case of North America
2882 in particular, shifts in fuel use (from coal to oil/gas) in the energy sector, combined with introduction of
2883 highly efficient APCD at major point sources appears to be a major factor in the changes observed. In
2884 both Canada and Australia closure or major changes in applied technology (including APC technology) at
2885 a few significant point sources associated with non-ferrous metal and large-scale gold production have
2886 resulted in decreasing national emissions.

2887 In all other regions,, however, the estimated emissions to air increased.

2888 Higher emissions in 2015 than in 2010 were estimated for a number of the large source sectors: cement
2889 production, coal combustion in power plants, non-ferrous metal production (primary Al, Cu, Pb, Zn), for
2890 mercury production, primary iron- and steel production, and for emissions from waste (mercury added
2891 products). For chlor-alkali production and for large scale gold production the estimated emissions
2892 decreased between 2010 and 2015. Other source sectors were comparatively smaller and/or estimated
2893 emissions were rather similar to 2010 (see table S1).

2894 Table T1, below, presents an overview of the scale of changes in emissions in different areas for the
2895 main sectors addressed in the 2015 global emissions inventory. [Table to be developed]

2896 **2.5 Conclusions (emissions to air)**

2897 To be prepared following peer review – see also box with Key Findings/Messages at start of this chapter)

2898

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 3030

3031 (Other references are as in GMA 2013 Technical report)

3032

3033

3034 **Appendix A. Details of methods for calculating Uncertainty Ranges**

3035 *(i) Calculating uncertainties using the approach applied in the GMA, 2013*

3036 A relatively crude (and intentionally conservative) approach was adopted to provide some quantification
3037 of the scale of uncertainties in the estimates presented in the GMA 2013 (see Table U1).

3038 Of the three major components contributing to the uncertainties associated with the emission
3039 estimates: uncertainties associated with activity data; uncertainties associated with (unabated) emission
3040 factors; and uncertainties associated with assumptions made regarding applied (Hg emissions control)
3041 technologies, only the first two were considered.

3042 In general, the uncertainties associated with emission factors (including plant operating conditions and
3043 technologies used to reduce Hg emissions) are assumed to be considerably more important in
3044 determining uncertainties in the overall emissions estimates than those associated with activity data.

3045 For example, the EMEP/EEA (2009) air pollutant emission inventory guidebook assigns uncertainties
3046 associated with activity data (not specific to Hg) of the order of $\pm 5\text{--}10\%$. Evaluation of uncertainties
3047 associated with (emission factor-based) estimates depends on the procedures involved. For estimates
3048 based on a small number of measurements at representative facilities (or engineering judgment based
3049 on relevant facts) or engineering calculations based on assumptions alone – which between them cover
3050 the case for most Hg emissions estimates – the uncertainties are considered to be of the order of $\pm 50\%$
3051 to \pm an order of magnitude.

3052 For emissions based on Hg consumption in intentional use sectors, and associated waste handling,
3053 upper and lower range estimates were produced using the respective upper and lower ranges of the Hg
3054 consumption data. These however do not reflect the considerable uncertainties associated with the
3055 assumptions made regarding Hg flow in waste streams and associated emission factors. Consequently
3056 uncertainties in estimates associated with these sectors were assigned at \pm a factor of 3. Uncertainties
3057 associated with the assumptions regarding assignment of countries to particular ‘country groupings’ for
3058 applied technology or waste handling procedures were not taken into account.

3059

3060 *Table U1. Procedures adopted for calculating low/high range emissions estimates.*

		Lower range estimate	Upper range estimate	Ref.
Activity data derived from IEA/official national sources	OECD countries	Activity minus 5%	Activity plus 5%	Modified after EMEP/EEA, 2009
Activity data derived from IEA/official national sources	Non-OECD countries	Activity minus 10%	Activity plus 10%	Modified after EMEP/EEA, 2009
Activity data derived from other sources		Activity minus 30%	Activity plus 30%	Based on AMAP/UNEP 2008
Unabated EFs	All countries	0.7*UEF [#] for coal sectors; 0.5*UEF [#] or 0.25*UEF for all other sectors	1.3*UEF [#] for coal sectors; 1.5*UEF [#] or 1.75*UEF for all other sectors	Assumptions applied in GMA 2013
Emissions estimates for intentional-use waste stream emissions and emissions from cremations		0.3 * mid-range estimate	3 * mid-range estimate	
Emissions estimates for ASGM		Mid-range estimate minus 15-100% depending on country	Mid-range estimate plus 15-100% depending on country	

3061

3062 ***(ii) Introducing uncertainty associated with APC technology assumptions***

3063 In a modified version of the GMA2013 approach, uncertainties for technology profiles were introduced
3064 by considering 'average reduction efficiency', defined as the sum of the (weighted) abatement. The
3065 calculation of the average reduction efficiency for iron and steel production in country group 1 (48.7%)
3066 is illustrated in Table U2 below. The average reduction efficiency may also be derived by dividing the
3067 emission estimate with the activity data set and the unabated emission factor.

3068 *Table U2 Default technology profile applied for pig iron and steel production for country group 1*

Technology	Emission reduction efficiency, %	Degree of application, %	Weighted reduction efficiency, %
Standard APC: ESP/CYC/FGD (sinter plant)	20	30	6
Efficient APC: ESP+FGD/ACT/ESP+ACT (sinter	55	60	33

plant)			
Very efficient APC: ESP+ACT/RAC (sinter plant)	97	10	9.7
		Average reduction efficiency:	48.7

3069

3070 Uncertainty associated with the removal efficiency was then categorized into 4 different profiles, based
 3071 on the average removal efficiency for that particular activity, see Table U3. It should be noted that this
 3072 approach was only applied for 'by-product' sectors; no uncertainty on the removal efficiency was
 3073 applied in the case of estimated emissions from artisanal gold mining or intentional-use waste streams.

3074 *Table U3. Procedures adopted for calculating low/high range technology profiles*

Abatement profile	Average reduction efficiency	Low bound	High bound	Ref.
Low	0-30%	0% reduction	Average reduction efficiency plus 40%	Assumptions applied in this work
Medium	30-50%	Average reduction efficiency minus 20%	Average reduction efficiency plus 20%	Assumptions applied in this work
High	50-85%	Average reduction efficiency minus 10%	Average reduction efficiency plus 10%	Assumptions applied in this work
Very high	85-100%	Average reduction efficiency minus 5%	Average reduction efficiency plus 5%. However, a maximum bound of 99.99% is adopted.	Assumptions applied in this work

3075

3076 ***(iii) Employing the propagation of errors method to evaluate uncertainties associated with***
 3077 ***aggregated estimates***

3078 The error propagation method is a method for combining uncertainties. In the current assessment, an
 3079 approach based on the procedure recommended in the IPCC guidelines for calculating the uncertainty
 3080 for greenhouse gas emissions (Frey, et al., 2006) was used to evaluate the uncertainties associated with
 3081 aggregated emissions estimates (regional and sectoral totals and the global inventory total).

3082 The combined uncertainty for one activity (i.e. a national-sector/activity emission estimate) is calculated
 3083 according the following equation:

$$U_{combined} = \sqrt{U_{AD}^2 + U_{TF}^2 + U_{UEF}^2}$$

3084 where:

3085 U_{AD} : Uncertainty associated with the activity data, see Table 2.1.

3086 U_{UEF} : Uncertainty associated with the unabated emissions factor, see Table 2.1.

3087 U_{TF} : Uncertainty associated with the average reduction efficiency, see Table 2.3.

3088 The maximum uncertainty derived using the assumptions quantified in Table X2 and Table X3 were
 3089 employed. The uncertainty for the activity data and the technology profile are assumed to be normally
 3090 distributed around the mean. However, cut-offs were applied on the uncertainty for technology profiles
 3091 to eliminate cases where the average removal efficiency would be greater than 100% or lower than 0%.
 3092 The high / low uncertainty for the technology profiles can therefore differ in some cases.

3093 Since the unabated emission factor is largely dependent on the mercury content of the fuel/raw
 3094 material, the unabated emission factor is assumed to be log-normally distributed. This reflects common
 3095 properties of such materials; see for example Wu et al (2010) for mercury content in coal, Hylander &
 3096 Herbert (2008) for mercury content in nonferrous metal ores, and (REF) for mercury content in crude
 3097 oils. The uncertainty around the unabated emission factor is thus assigned to a high and a low range
 3098 uncertainty, based on the geometric mean and geometric standard deviation. The geometric mean is
 3099 calculated with the following equation:

$$\mu_g = e^{\ln(\mu) - \frac{\ln\left(1 + \left(\frac{U_{UEF}}{200}\right)^2\right)}{2}}$$

3100 μ_g : Geometric mean

3101 μ : Arithmetic mean, the unabated emission factor used in this study

3102 U_{UEF} : The maximal uncertainty for the unabated emission factor

3103 The geometric standard deviation is calculated with the following equation:

$$\sigma_g = e^{\sqrt{\ln\left(1 + \left(\frac{U_{UEF}}{200}\right)^2\right)}}$$

3104 σ_g Geometric standard deviation

3105 The high and low uncertainty for the unabated emission factor is derived with help of two logarithmic
3106 transformations:

$$U_{UEF,low} = \frac{e^{\ln(\mu_g) - 1.96 \cdot \ln(\sigma_g)} - \mu}{\mu} \cdot 100$$

$$U_{UEF,high} = \frac{e^{\ln(\mu_g) + 1.96 \cdot \ln(\sigma_g)} - \mu}{\mu} \cdot 100$$

3107 The following equation is used for combining the uncertainty:

$$U_{total} = \sqrt{\left(\frac{ee_1 \cdot U_{combined,1}}{EE}\right)^2 + \left(\frac{ee_2 \cdot U_{combined,2}}{EE}\right)^2 + \dots + \left(\frac{ee_n \cdot U_{combined,n}}{EE}\right)^2}$$

3108 where:

3109 ee : Emission estimate for one activity in one country

3110 EE : Emission estimate for the combined inventory. In this study the combined inventory
3111 is calculated at a global, sector and subcontinental level.

3112 The IPCC guidelines are primary developed for calculating uncertainties associated with greenhouse gas
3113 emission estimates. Uncertainties associated with e.g. anthropogenic CO₂ emission factors are relatively
3114 small compared with those for mercury. The results of applying the error propagation method to
3115 mercury emissions may therefore be weak in some cases. Underestimation or overestimation of the
3116 uncertainties may also be a consequence where:

- 3117 1. Distributions are non-Gaussian
- 3118 2. Correlations exists between the activity data, the technology profiles and the unabated emission
3119 factor.

3120 Notwithstanding these limitations, the uncertainty estimates obtained using the propagation of errors
3121 approach are considered to better represent the scale of the uncertainties for aggregated inventory
3122 estimates than those achieved by simply summing uncertainties for individual (country-sector) emission
3123 estimates.

3124

3125

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3126 **Annex 1 Description of method used to estimate 2015 mercury**
3127 **emissions to air from main ‘by-product’ emission sectors and**
3128 **the chlor-alkali industry, including an example calculation**

3129 **Annex 2 Description of method used to estimate 2015 mercury**
3130 **emissions to air from artisanal and small-scale gold mining,**
3131 **including an example calculation**

3132 **Annex 3 Description of method used to estimate 2015 mercury**
3133 **emissions to air from wastes associated with mercury added**
3134 **products, including an example calculation**

3135 **Annex 4 Description of method used to estimate 2015 mercury**
3136 **emissions to air from use in dental amalgam and human**
3137 **cremation**

3138 **Annex 5 Activity data used in the calculation of emission estimates**

3139 **Annex 6 Emission factors and technology profiles used in the**
3140 **calculation of emission estimates**

3141 **Annex 7 Comparisons with National Inventories (to be completed)**

3142 **Annex 8 Global Inventory Estimates 2015**

3143

3144

6000

6001

Note to reader

6002

This draft version of Chapter 3 in the Technical Background Report to the Global Mercury Assessment 2018 is made available for review by national representatives and experts. The draft version contains material that will be further refined and elaborated after the review process. Specific items where the content of this draft chapter will be further improved and modified are:

6003

6004

6005

1. New data and trend analysis on Canadian monitoring data will be added

6006

2. Maps and tables for data from USA will be revised and improved.

6007

3. Additional information and evaluation of polar measurements will be added.

6008

4. The map of ALL monitoring sites from all existing networks in section 2 will be further improved

6009

5. References list will be further improved as soon as the revised final draft will be ready

6010

6. Conclusions and main messages will be formulated.

6011

6012

6013

6014 GMA 2018 Draft Chapter 3. Levels of mercury in air. Nicola Pirrone, Mariantonia Bencardino, Sergio
6015 Cinnirella, Aurélien Dommergue, Joseph Timothy Dvonch, Ralf Ebinghaus, Xinbin Feng, Alessandra Fino,
6016 Xuewu Fu, Katarina Gårdfeldt, Antonella Macagnano, David Schmeltz, David Gay, Milena Horvat, Dan
6017 Jaffe, Joze Kotnic, Henrik Skov, Francesca Sprovieri, Helen Angot, Alexandra Steffen, Amanda Cole, Elsie
6018 Sunderland, Kjetil Torseth, Simon Wilson (Members of the UNEP Fate & Transport Partnership Group, -
6019 Air Subgroup Technical Expert Team)

6020

6021

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6049 **Chapter 3 Levels of Mercury in Air**

6050 **3.1 Background**

6051 The aim of this chapter is to provide an up-to-date overview of mercury levels in air (since the GMA
6052 2013). In particular, this chapter focuses on atmospheric mercury measurements and
6053 regional/worldwide spatial and temporal trends. The information presented here will include an
6054 overview of measurements currently collected in regional monitoring networks around the world. This
6055 chapter will also include an overview of high altitude and vertical profile measurements and mercury
6056 exchange fluxes at the air/water/soil/vegetation/snow-ice interfaces. A summary of new non-
6057 standard/conventional methods available (under development) for monitoring mercury in air is also be
6058 presented. The chapter will conclude with an overall assessment of the state of atmospheric mercury
6059 measurements and our current understanding of the state of the science.

6060 Specifically, this chapter highlights recent key findings on:

- 6061 • Atmospheric mercury measurements and trends worldwide and at the regional/continental
6062 scale with a focus on the spatial and temporal variability of Hg and its compounds
6063 concentrations at ground-based sites, at different altitudes and latitudes in the Southern and
6064 Northern Hemispheres.
- 6065 • Atmospheric mercury in polar environment (Arctic and Antarctica) and the specific aspects
6066 related to these regions in terms of impact caused by Long Range Transport (LRT) and in-situ
6067 formation and transformation processes.
- 6068 • Recent studies on vertical profile measurements over background regions and over impacted
6069 (industrial/urban) regions to support modelling uncertainty and advance our understanding of
6070 LRT and deposition/re-emission patterns.
- 6071 • Temporal and spatial variability in Hg exchange fluxes between air and soil/vegetation/snow-ice
6072 interfaces, and also including contaminated sites (industrial, mining areas).
- 6073 • Recent advances in monitoring applications using new/non-standard methods for measuring Hg
6074 species in the atmosphere.

6075 **3.2 Atmospheric mercury measurements and trends worldwide**

6076 **3.2.1 Introduction**

6077 Atmospheric Hg is monitored in national programs driven by national legislation or international
 6078 agreements and conventions. Extensive monitoring is also conducted as a part of long-term research
 6079 programs. Many national networks operate in the context of international conventions or agreements
 6080 and this cooperation also includes development of joint procedures both for measurements and
 6081 reporting of data and for regular evaluation of trends and patterns. For example, in Europe, air
 6082 monitoring data on Hg is reported to EMEP (The European Monitoring and Evaluation Programme)
 6083 under the Convention on Long-range Transboundary Air Pollution (CLRTAP). Arctic countries report data
 6084 to AMAP (The Arctic Monitoring and Assessment Program under the Arctic Council) and Asian/Pacific
 6085 countries to APMMN (the Asia-Pacific Mercury Monitoring Network). National networks differ in terms
 6086 of ambition level e.g. relating to sampling frequency and whether speciation of airborne mercury is
 6087 included.

6088 National monitoring can provide the basis and infrastructure for research programs where routine
 6089 monitoring can be expanded to more advanced methodologies for e.g. speciation of airborne Hg, and
 6090 also new sites in locations where measurement data were previously not available. Examples of
 6091 programs contributing results to this chapter are the GMOS program and several research projects
 6092 focused on Polar regions. The GMOS network continues to operate many of the sites in coordination
 6093 with national programs and regional agreements. Monitoring stations are located mostly at background
 6094 sites in order to intercept major intercontinental and continental air mass transport patterns. Master
 6095 sites provide atmospheric Hg measurement speciated data including total Hg in precipitation samples
 6096 whereas secondary sites provide Total Gaseous Mercury (TGM) measurement data and total Hg in
 6097 precipitation (see www.gmos.eu for further details).

6098 According to data provided by Governments to UNEP within the 'Global Review of Mercury Monitoring
 6099 networks' (UNEP, 2016), the national monitoring networks are reported in the Table 1.

6100 **Table 1:** Global Review of mercury monitoring networks (UNEP, 2016).

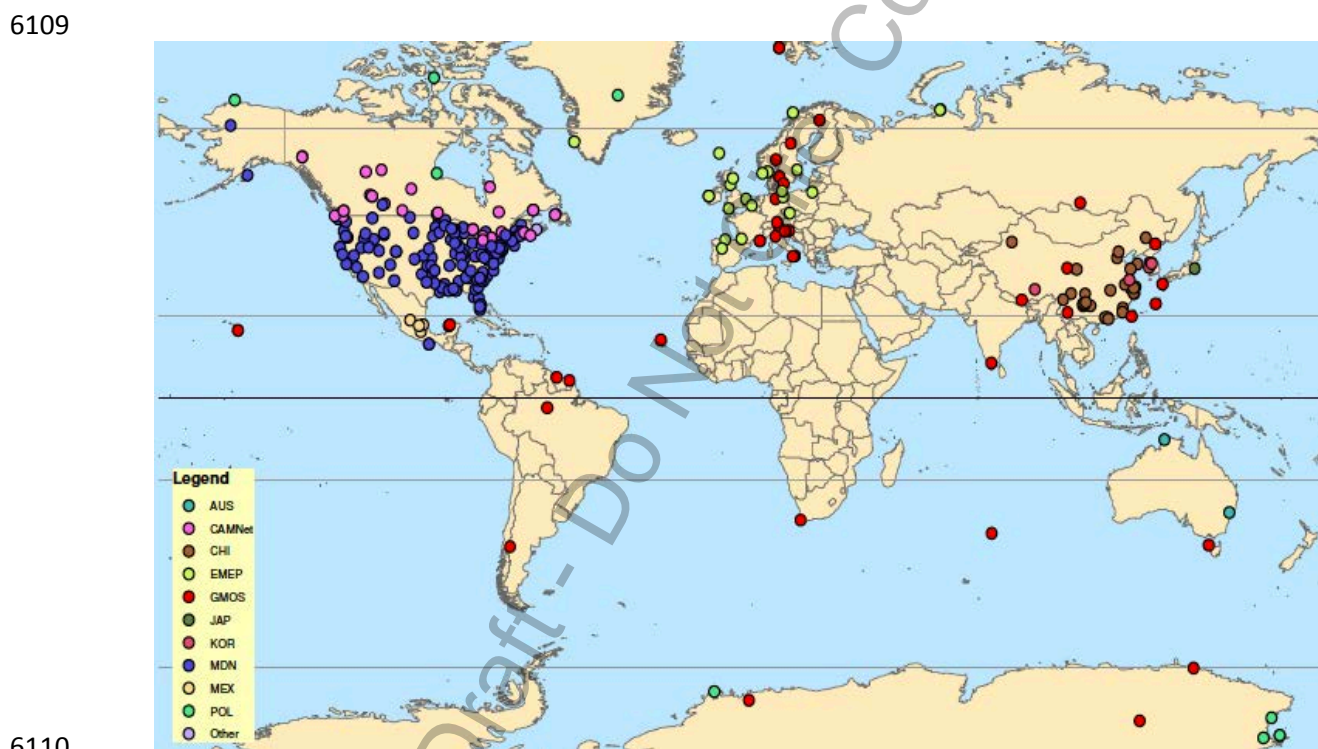
National area	Program/ network/ inventory	Number of monitoring stations/ sites	Managing Institution	Main website
Andorra	Andorran Air Quality network	Not available	Department of Environment and Sustainability	
Australia	The Australian National Pollutant inventory (NPI)	Not available		https://data.gov.au/dataset/npi
Austria	Network for		Austrian Federal	Austrian Bio-indicator Grid

	Mercury impacts in forest foliage		Research Centre for Forests controls	
Brazil	Mercury monitoring Network	Not available	CETESB, the environmental agency of the State of São Paulo	http://www.cetesb.sp.gov.br/2014/10/27/cetesb-realiza-treinamentos-internacionais-sobre-pops-e-mercurio/
Canada	The Canadian Air and Precipitation Monitoring Network (CAPMoN) & others (including AMAP)	3 stat. for air meas..	CAPMoN	https://www.ec.gc.ca/rs-mn/default.asp?lang=En&n=6C2AD92E-1
		+7 stat. for air meas.	Environment Canada	
		+ 2 remote stat.	Canadian Northern Contaminants Program (NCP) – Environment Canada	http://nadp.sws.uiuc.edu/
China (Taiwan)	Wet deposition Network	11 sampling sites	Environmental Protection Administration	
		+ 1 remote site		
European Union	Network under EU Directive 2004/107/EC		EEA	http://cdr.eionet.europa.eu/ https://www.eea.europa.eu/data-and-maps/data/aqereporting-2
Hungary	Hungarian Air Quality Monitoring Network	One sampling site	Hungarian Meteorological Service	
Korea		12 monitoring stations	National Institute of Environmental Research (NIER) in the Ministry of Environment	
Japan	2 Mercury Monitoring Networks	281 monitoring stations	National Institute for Minamata Disease (NIMD) and the National Institute for Environmental Studies (NIES)/Ministry of Environment (MOE).	
Poland	Polish State Environmental Monitoring programme	5 monitoring stations	Inspection of Environmental Protection	http://www.gios.gov.pl/en/state-of-the-environment/state-environmental-monitoring
Romania	Mercury Monitoring Network	Sites in 41 counties	Ministry of Environment, NEPA and the National Environmental Guard	
United Kingdom	National Metals Network and National Atmospheric Emission Inventory	2 monitoring stations	UK DEFRA	https://uk-air.defra.gov.uk/networks/network-info?view=metals http://naei.defra.gov.uk/overview/pollutants?pollutant_id=15
Vietnam	-----	1 monitoring station	Vietnamese Centre for Environmental Monitoring (CEM) of the Vietnam Environment Administration (VEA)	
Global network	GMOS	Several stations in both hemispheres	CNR-IIA Division of Rende, Italy	www.gmos.eu

Regional network	NADP	Several stations in USA, Canada	NADP Program Office Illinois State Water Survey, 2204 Griffith Drive Champaign, IL 61820-7495	http://nadp.sws.uiuc.edu/mdn/
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6102 The UNEP Review lists general information on existing national monitoring networks but doesn't include
6103 data on mercury concentrations and depositions.

6104 Figure 1 provides a global picture of major monitoring networks that are part of global and regional
6105 networks mentioned in several sections of this chapter. It shows that though we have monitoring sites
6106 in both hemispheres, but there are regions (even large regions) that are completely lacking of
6107 monitoring data/sites which makes the evaluation of current situation in terms of geospatial distribution
6108 (gradients and variability) of Hg concentration in ambient air not feasible to do.



6110
6111 Figure 1 - Global map of monitoring networks

6112 3.2.2 Spatial and temporal variability in the Southern and Northern Hemispheres

6113 Extensive measurements and data analysis have been performed across several ground-based sites as
6114 part of the GMOS program network. GMOS will continue its operation by providing support to site
6115 operators for online QA/QC and technical assistance as necessary through the Global Observation
6116 System on Mercury (GOS4M) that is one of the four flagships of GEO (Group on Earth Observation) and

6117 will be financially supported through the ERA-PLANET (www.era-planet.eu) program. Tables 2 and 3
6118 show annual values for speciated Hg concentrations at all sites from 2012 to 2014. In both Tables 1 and
6119 2, the stations are ordered by latitude, thus describing the spatial atmospheric mercury variations
6120 moving from Northern to Southern Hemisphere. Mean GEM values of most of the sites located in the
6121 Northern Hemisphere were between 1.3 and 1.6 ngm⁻³, which is comparable to the concentrations
6122 measured at the long-term monitoring stations at Mace Head, Ireland (Ebinghaus et al., 2011; Slemr et
6123 al., 2011; Weigelt et al., 2015; Cole et al. 2014), and Zingst, Germany (Kock et al., 2005). In contrast,
6124 GEM concentrations from the EVK site, located at 5050 m above sea level in the Eastern Himalaya of
6125 Nepal, reported mean values below 1.3 ng m⁻³. This value is comparable to free tropospheric
6126 concentrations measured in August 2013 over Europe (Weigelt et al., 2016). GEM concentration means
6127 observed at the stations in the Northern Hemisphere are also in good agreement with the overall mean
6128 concentrations observed at multiple sites in Canada (ranging from 1.23±0.37 to 3.75 ± 2.22 ng m⁻³
6129 overall measurements collected from 1994-2011) (Cole et al., 2014) and those reported from 2 Arctic
6130 stations (VRS, PAL) (Sprovieri et al., 2016). Seasonal variations of GEM concentrations have also been
6131 observed at all European sites in the Northern Hemisphere, with most of them showing higher
6132 concentrations during the winter and spring and lower concentrations in summer and fall seasons.

6133 **Table 2:** Annually averaged GEM mean concentrations from 2012 to 2014 at the GMOS stations
6134 (Sprovieri et al. 2016).

	Code	Site	Elev (m asl)	Lat	Lon	Country	2012	2013	2014
							GEM Mean ± St.Dev. (ng m ⁻³)	GEM Mean ± St.Dev. (ng m ⁻³)	GEM Mean ± St.Dev. (ng m ⁻³)
Northern Hemisphere	VRS	Villum Research Station	30	81.58033	-16.60961	Greenland	1.44 ± 0.27	1.61 ± 0.41	1.41 ± 0.35
	PAL	Pallas	340	68.00000	24.23972	Finland	- ± -	1.45 ± 0.11	1.47 ± 0.17
	RAO*	Råö	5	57.39384	11.91407	Sweden	1.33 ± 0.20	1.43 ± 0.16	1.48 ± 0.23
	MHE	Mace Head	5	53.32511	-9.90500	Ireland	** ± **	1.46 ± 0.17	1.41 ± 0.14
	LIS	Listvyanka	670	51.84670	104.89300	Russia	- ± -	1.34 ± 0.38	1.39 ± 0.40
	CMA	Col Margherita	2545	46.36711	11.79341	Italy	- ± -	- ± -	1.69 ± 0.29
	MCH*	Mt. Changbai	741	42.40028	128.11250	China	- ± -	1.78 ± 0.48	1.57 ± 0.42
	LON*	Longobucco	1379	39.39408	16.61348	Italy	- ± -	1.43 ± 0.33	- ± -
	MWA	Mt. Walinguan	3816	36.28667	100.89797	China	- ± -	1.33 ± 0.64	1.31 ± 0.60
	MIN	Minamata	20	32.23056	130.40389	Japan	1.95 ± 0.48	1.86 ± 0.40	1.91 ± 0.40
	EVK	Ev-K2	5050	27.95861	86.81333	Nepal	1.14 ± 0.17	1.11 ± 0.42	1.33 ± 0.22
	CHE*	Cape Hedo	60	26.86430	128.25141	Japan	2.12 ± 0.47	1.74 ± 0.38	1.78 ± 0.35
MAL	Mt. Ailao	2503	24.53791	101.03024	China	- ± -	2.04 ± 0.64	1.33 ± 0.40	
Tropics	SIS	Sisal	7	21.16356	-90.04679	Mexico	- ± -	1.20 ± 0.24	1.11 ± 0.37
	CAL	Calhau	10	16.86402	-24.86730	Cape Verde	- ± -	1.22 ± 0.14	1.20 ± 0.09
	KOD	Kodaicanal	2333	10.23170	77.46524	India	- ± -	1.54 ± 0.20	1.54 ± 0.26
	NIK	Nieuw Nickerie	1	5.95679	-57.03923	Suriname	- ± -	1.13 ± 0.42	1.28 ± 0.46
	MAN*	Manaus	110	-2.89056	-59.96975	Brazil	- ± -	1.08 ± 0.23	0.99 ± 0.23
Southern Hemisphere	AMS*	Amsterdam Island	70	-37.79604	77.55095	Terres Australes et Antartiques Françaises	1.03 ± 0.07	1.03 ± 0.09	1.05 ± 0.05
	CPT	Cape Point	230	-34.35348	18.48983	South Africa	1.07 ± 0.10	1.03 ± 0.11	1.09 ± 0.12
	BAR	Bariloche	801	-41.12873	-71.42010	Argentina	1.01 ± 0.11	0.89 ± 0.15	0.87 ± 0.15
	DDU	Dumont d'Urville	40	-66.66281	140.00292	Antarctica	0.91 ± 0.2	0.85 ± 0.19	0.86 ± 0.38
	DMC	Concordia Station	3220	-75.10170	123.34895	Antarctica	0.76 ± 0.24	0.84 ± 0.27	- ± -

** to be included

* GMOS Master stations with speciation Hg data
in bold External GMOS Partners

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6155 **Table 3:** Annually-averaged PBM and GOM mean concentrations from 2012 to 2014 at the GMOS
 6156 stations (Sprovieri et al. 2016).

	Code	Site	Elev (m asl)	Lat	Lon	Country	2012		2013		2014	
							PBM Mean \pm St.Dev. (pg m ⁻³)	GOM Mean \pm St.Dev. (pg m ⁻³)	PBM Mean \pm St.Dev. (pg m ⁻³)	GOM Mean \pm St.Dev. (pg m ⁻³)	PBM Mean \pm St.Dev. (pg m ⁻³)	GOM Mean \pm St.Dev. (pg m ⁻³)
NH	RAO	Råö	5	57.39384	11.91407	Sweden	2.89 \pm 3.27	0.63 \pm 1.73	3.96 \pm 3.77	0.54 \pm 0.85	4.41 \pm 5.87	1.25 \pm 1.87
	MCH	Mt. Changbai	741	42.40028	128.11250	China	-	-	17.10 \pm 14.25	4.96 \pm 6.33	-	-
	LON	Longobucco	1379	39.39408	16.61348	Italy	-	-	3.28 \pm 3.82	11.33 \pm 29.90	-	-
	MWA	Mt. Walinguan	3816	36.28667	100.89797	China	-	-	98.59 \pm 37.79	12.32 \pm 6.33	-	-
	CHE	Cape Hedo	60	26.86430	128.25141	Japan	1.77 \pm 2.46	1.10 \pm 1.80	3.70 \pm 3.60	1.46 \pm 2.19	4.03 \pm 5.25	2.26 \pm 3.71
T	MAN	Manaus	110	-2.89056	-59.96975	Brazil	-	-	5.04 \pm 4.13	1.72 \pm 0.72	1.45 \pm 1.81	1.61 \pm 1.75
SH	AMS	Amsterdam Island	70	-37.79604	77.55095	Terres Australes et Antarctiques Françaises	1.76 \pm 1.20	1.65 \pm 0.82	2.05 \pm 1.37	1.53 \pm 0.45	2.22 \pm 1.83	2.03 \pm 1.44

6157
 6158 Table 4 summarizes the summary of the annual wet deposition fluxes and the weighted THg
 6159 concentrations observed at the 17 GMOS sites from the Northern, Tropical, and Southern Hemispheres
 6160 between 2011 and 2015 (Sprovieri et al., 2017). Seasonal trend analysis of THg in precipitation showed
 6161 increasing Hg concentrations and Hg deposition during the spring and summer months. However, the
 6162 patterns of THg concentrations and precipitation amounts reveal that, at most of the sites, the seasonal
 6163 THg wet deposition maximum corresponds to the maximum in precipitation amounts collected. The
 6164 dominant factor in determining the Hg wet deposition loading recorded at all the European sites was
 6165 then generally related to the amounts of the collected precipitation.

6166 **Table 4:** Annual wet deposition fluxes [$\mu\text{g m}^{-2}\text{yr}^{-1}$] and weighted THg concentrations [ng L^{-1}] observed at
 6167 GMOS stations from 2011 to 2015 (Sprovieri et al. 2017).

	Code	Station	Elev (m asl)	Lat	Lon	Country	2011		2012		2013		2014		2015	
							Annual Wet Dep. Flux [$\mu\text{g m}^{-2}\text{yr}^{-1}$]	Weighted HgT [ng L ⁻¹]	Annual Wet Dep. Flux [$\mu\text{g m}^{-2}\text{yr}^{-1}$]	Weighted HgT [ng L ⁻¹]	Annual Wet Dep. Flux [$\mu\text{g m}^{-2}\text{yr}^{-1}$]	Weighted HgT [ng L ⁻¹]	Annual Wet Dep. Flux [$\mu\text{g m}^{-2}\text{yr}^{-1}$]	Weighted HgT [ng L ⁻¹]	Annual Wet Dep. Flux [$\mu\text{g m}^{-2}\text{yr}^{-1}$]	Weighted HgT [ng L ⁻¹]
Northern Hemisphere	NVA	Zeppelin	474	78.90806	11.88139	Norway	-	-	0.9	3.8	0.9	4.1	1.7	5.7	0.8	4.4
	PAL	Pallas	340	68	24.23972	Finland	2.9	7.1	1.9	6.8	1.3	4.5	2.3	6.1	-	-
	RAO	Råö	5	57.393835	11.914066	Sweden	5.8	8.9	6.5	10.4	4.2	8.2	6.3	9.9	-	-
	MHE	Mace Head	5	53.325106	-9.905	Ireland	-	-	0.9	2.2	4.8	4.6	4.1	6.6	-	-
	LIS	Listvyanka	670	51.8467	104.893	Russia	-	-	0.2	9.7	0.1	2.6	-	-	-	-
	CMA	Col Margherita	2545	46.36711	11.79341	Italy	-	-	-	-	-	-	4.4	7.8	-	-
	ISK	Iskrba	520	45.561217	14.858047	Slovenia	5.1	7.5	8.4	6.2	7.2	5.3	10.0	6.1	3.0	3.0
	MCH	Mt. Changbai	741	42.40028	128.11250	China	2.8	10.6	4.8	8.4	1.2	3.9	1.0	5.4	-	-
	LON	Longobucco	1379	39.39408	16.61348	Italy	-	-	0.3	3.9	3.1	6.6	-	-	-	-
	MWA	Mt. Walinguan	3816	36.28667	100.89797	China	-	-	0.3	4.3	0.4	6.4	2.2	15.0	-	-
MAL	Mt. Ailao	2503	24.53791	101.03024	China	4.3	2.8	3.2	3.3	5.5	5.3	0.2	6.7	-	-	
Tropics	SIS	Sisal	7	21.16356	-90.04679	Mexico	-	-	-	-	7.4	11.0	6.5	9.1	-	-
	CST	Celestun	3	20.85838	-90.38309	Mexico	-	-	2.4	8.1	0.1	13.5	-	-	-	-
Southern Hemisphere	AMS	Amsterdam Island	70	-37.79604	77.55095	Terres Australes et Antarctiques Françaises	-	-	-	-	1.95	2.34	1.55	1.80	-	-
	CPT	Cape Point	230	-34.35348	18.48983	South Africa	0.3	2.1	3.8	14.6	5.2	19.6	0.57	1.84	0.6	3.0
	CGR	Cape Grim	94	-40.683333	144.689444	Australia	-	-	-	-	3.1	4.0	3.8	6.7	3.1	6.5
	BAR	Bariloche	801	-41.12873	-71.42010	Argentina	-	-	-	-	-	-	0.1	0.4	0.5	0.6

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6169 3.2.1.1 Atmospheric Hg concentrations and pattern analysis in the Southern Hemisphere (SH)

6170 For the sites located in the SH as part of GMOS network (see Table 2), mean GEM concentrations (~ 1.0
6171 ngm^{-3}) are lower than those reported in the Northern Hemisphere ($\sim 1.5 \text{ngm}^{-3}$) but are in good
6172 agreement with the previously reported southern hemispherical background levels (Sprovieri et al.,
6173 2010; Angot et al., 2014; Slemr et al., 2015) and the expected range for remote sites in this region. A
6174 small (within $\sim 0.1 \text{ngm}^{-3}$) seasonal variability in GEM concentrations was observed at Cape Point and
6175 Amsterdam Island with highest values during austral winter and lowest values in summer (Slemr et al.,
6176 2015) but the variability in concentrations is much lower than in the Northern Hemisphere. GEM
6177 concentrations are comparable at all SH monitoring sites, whereas the lower concentrations of GEM
6178 observed ($<1 \text{ngm}^{-3}$), were associated with air masses coming from the southern Indian Ocean and the
6179 Antarctic continent (Angot et al., 2014).

6180 3.2.1.2 Wet deposition at Tropical Sites and in the SH

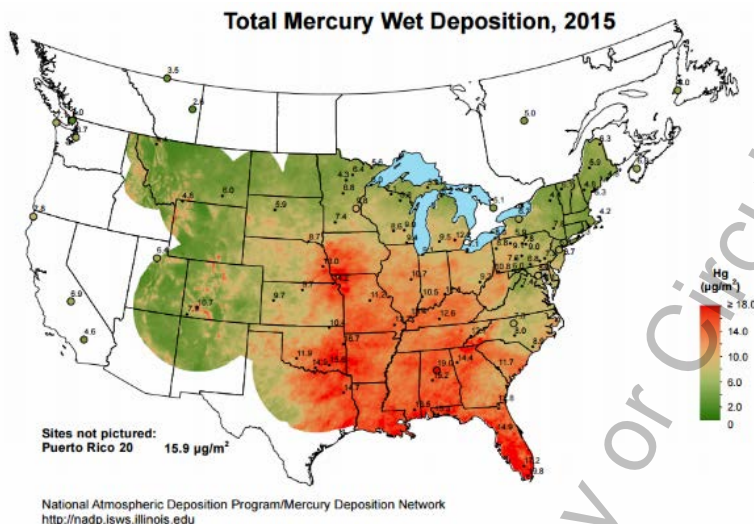
6181 Hg deposition measurements are scarce in tropical latitudes; hence there have been few scientific
6182 publications within the past decade from this region (Shanley et al., 2015 and references therein). The
6183 tropics are a particularly important region with regard to global atmospheric chemistry and 49% of total
6184 Hg(II) deposition globally occurs in the tropical oceans (Horowitz et al., 2017). Due to intense ultraviolet
6185 radiation and high water-vapour concentrations, high OH concentrations oxidize inorganic and organic
6186 gases, and induce an efficient removal from the atmosphere of the oxidized products. To address the

6187 regional gap of information, the GMOS program initiated Hg deposition measurements in Mexico at Sisal
6188 station (see Table 3). High wet Hg deposition flux at this site suggested that other tropical areas maybe
6189 hotspots for Hg deposition as well. A number of studies have suggested that this could be due to higher
6190 precipitation and the scavenging ratios from the global pool in the subtropical free troposphere where
6191 high concentrations of oxidized Hg species exist (Selin and Jacob, 2008). These findings were also
6192 highlighted in previous studies in the south of Florida and the Gulf of Mexico coastal areas, confirming
6193 that local and regional Hg emissions play only a minor role in wet Hg deposition (Sillman et al., 2013)
6194 and suggesting that the primary source of scavenged oxidized Hg could be the global pool. In remote
6195 areas such as the Southern Hemisphere, far from any local sources, atmospheric deposition has been
6196 recognized as the main source of Hg to the ocean (Lindberg et al., 2007; Pirrone et al., 2008; Sunderland
6197 and Mason, 2007). Total mercury (THg) exhibited annual and seasonal patterns in Hg wet deposition
6198 samples. Inter-annual differences in total wet deposition are mostly linked with precipitation volume,
6199 with the greatest deposition flux occurring in the wettest years (see Table 4) (Sprovieri et al., 2017).

6200 **3.2.2 Spatial and temporal variability in U.S.A.**

6201 **3.2.2.1 NADP's Mercury Deposition Network**

6202 The National Atmospheric Deposition Program's Mercury Deposition Network (MDN) makes long-term
6203 measurements of mercury in precipitation (wet deposition) across North America. The MDN began
6204 monitoring in 1996. The MDN sites follow standard procedures, and uniform precipitation collectors and
6205 rain gages to make weekly-integrated measurements of total mercury in a combined precipitation
6206 measurement (wet only) from Tuesday to Tuesday. Some daily samples are available. Sample bottles are
6207 pre-charged with acid to preserve the mercury sample. Currently, the MDN has 106 active sites. All MDN
6208 samples are analysed for total mercury concentration using Cold Vapour Atomic Fluorescence
6209 Spectroscopy (CVAFS). Invalid samples are identified using standard protocols. Subsamples for some
6210 sites are analysed for methyl mercury (MeHg). Valid and invalid results are provided for use by the
6211 scientific community (<http://nadp.isws.illinois.edu/mdn/>).



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Figure 2: Total mercury wet depositions recorded in North America (2015). All years available at <http://nadp.isws.illinois.edu>

Year	Mercury Concentrations (ng/L)		
	N valid obs.	PW Mean	Median
2010	4,495	8.51	6.33
2011	4,286	9.01	6.99
2012	4,357	9.15	7.03
2013	4,391	9.02	7.17
2014	4,848	8.83	6.98
2015	4,798	8.04	6.4

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6218

6219 All observations are used to determine total mercury deposition over North America in annual maps of
6220 precipitation weighted mean concentration (ng/L) and flux ($\mu\text{g}/\text{m}^2$ year, see figure). Precipitation-
6221 weighted average concentrations for 2015 are shown in the nearby Figure (??), and annual basic
6222 statistics are provided in the Table 5 (??).

6223 Over the MDN measurement area, significant wet deposition is found along the U.S. Gulf Coast, and
6224 somewhat inland. Wet mercury deposition in these areas strongly correlates with higher precipitation
6225 (40-60 inches per year or >1000 cm/year). This pattern is repeated annually. Highest concentrations are
6226 found in the western areas where precipitation is lowest, and dominated by winter snow.

6227 Trends over time in MDN data have been investigated by several research groups (Butler et al., 2008;
6228 Prestbo and Gay, 2009; Risch et al., 2012; Weiss-Penzias et al., 2016). Evaluating data through the mid
6229 2000s, Butler et al. showed general decreases in eastern U.S. concentrations, with significant decreases

6230 at about half of these sites. Fewer significant trends were seen in the Southeast, but the general
 6231 tendency was for decreasing concentrations. Prestbo and Gay found significant decreasing
 6232 concentration trends at about half of the sites (mostly in the East), particularly across Pennsylvania and
 6233 extending up through the Northeast, and fully consistent with Butler et al. Two sites in the West
 6234 (Colorado, Washington) showed the same decreases. No significant concentration increases were noted,
 6235 with little change in the Upper Midwest concentration or deposition. Risch et al., focusing on the Great
 6236 Lakes region, found only “small localized decreases” in Hg concentration. Deposition trends were
 6237 present, but not at these same sites; Overall, mercury deposition in the Great Lakes area remained
 6238 unchanged between 2002 and 2008.

6239 Weiss-Penzias et al reported wet concentrations almost exclusively decreasing between 1997 and 2013,
 6240 with over 50% of the MDN sites showing significant decreases (of 19 sites). However, for the time period
 6241 2007–2013 (with 71 sites), increasing concentrations were just as numerous as decreasing
 6242 concentrations, and this increased with one shorter time period, and positive tendencies were wide
 6243 spread. Regional trend analyses revealed significant positive trends in Hg concentration in the Rocky
 6244 Mountains, Plains, and Upper Midwest regions for the more recent time periods.

6245 **3.2.2.2 NADP's Atmospheric**

6246 **Mercury Network**

6247 The NADP's Atmospheric Mercury
 6248 Network (AMNet) measures
 6249 atmospheric mercury that contributes
 6250 to mercury deposition using
 6251 automated, continuous measurement
 6252 systems, and standardized methods.
 6253 Currently, there were 21 AMNet sites,
 6254 and data from the AMNet are available
 6255 on the NADP website
 6256 (<http://nadp.isws.illinois.edu/amn>).
 6257 AMNet observations have been made
 6258 since 2009 and are made continuously
 6259 (five-minute and two-hour averages).

Network	Year	Mercury in Precipitation (wet deposition)				
NADP's MDN		Species	Valid Observations	PW Mean	Median	Units
	2010	total mercury	4,495	8.51	6.33	ng/L
	2011		4,286	9.01	6.99	ng/L
	2012		4,357	9.15	7.03	ng/L
	2013		4,391	9.02	7.17	ng/L
	2014		4,848	8.83	6.98	ng/L
	2015		4,798	8.04	6.4	ng/L
Table 2						
NADP's AMNet		Atmospheric Mercury Concentrations	Valid Observations	Mean	Median	Units
	2010	GEM	51,289	1.57	1.43	ng/m3
		GOM	38,744	6.5	1.39	pg/m3
		PBM	38,099	6.67	3.78	pg/m3
	2011	GEM	54,541	1.59	1.44	ng/m3
		GOM	44,864	15.92	1.35	pg/m3
		PBM	44,817	8.23	4.09	pg/m3
	2012	GEM	42,924	1.47	1.42	ng/m3
		GOM	36,226	19.74	1.22	pg/m3
		PBM	37,386	13.13	4.18	pg/m3
	2013	GEM	39,078	1.49	1.44	ng/m3
		GOM	30,806	14.35	1.29	pg/m3
		PBM	30,919	10.33	4.45	pg/m3
	2014	GEM	49,348	1.47	1.4	ng/m3
		GOM	35,390	12.64	1.52	pg/m3
		PBM	35,238	10.99	4.96	pg/m3
	2015	GEM	52,938	1.58	1.38	ng/m3
		GOM	44,179	12.47	1.59	pg/m3
		PBM	43,022	8.62	4.11	pg/m3

6260 Data are qualified and averaged to one-hour (GEM in ng m⁻³) and two-hour values (GOM, and PBM_{2.5}, in
 6261 pg m⁻³).

6262 Valid data are released for use by the scientific community, and also released in annual figures of
 6263 mercury variability for sites meeting certain criteria. Annual average statistics are shown in the **Table 5**.

6264 The median GEM concentration found in the network is 1.38 ng/m³, and varies somewhat across the
 6265 network. However, larger differences were present between sites for GOM and PBM concentrations in
 6266 AMNet. GOM concentrations are generally higher in the urban environment, with lowest concentrations
 6267 along the Pacific Ocean and other coastal sites. PBM_{2.5} concentrations measured were generally the
 6268 same as with GOM. The occurrence of very high outlier concentrations were noted at almost all of the
 6269 sites (figure).

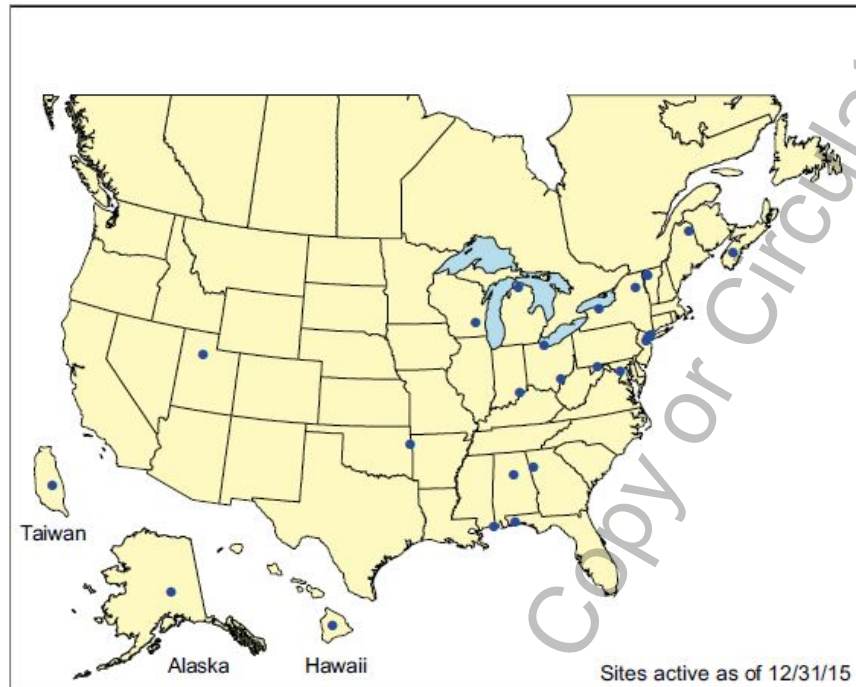
6270 Investigations of AMNet trends over time are currently ongoing.

6271 **Table 5: Annual average statistics.....(title TO BE COMPLETED)**

	Atmospheric Mercury Concentrations	Valid Observations	Mean	Median	Units
2010	GEM	51,289	1.57	1.43	ng/m ³
	GOM	38,744	6.5	1.39	pg/m ³
	PBM	38,099	6.67	3.78	pg/m ³
2011	GEM	54,541	1.59	1.44	ng/m ³
	GOM	44,864	15.92	1.35	pg/m ³
	PBM	44,817	8.23	4.09	pg/m ³
2012	GEM	42,924	1.47	1.42	ng/m ³
	GOM	36,226	19.74	1.22	pg/m ³
	PBM	37,386	13.13	4.18	pg/m ³
2013	GEM	39,078	1.49	1.44	ng/m ³
	GOM	30,806	14.35	1.29	pg/m ³
	PBM	30,919	10.33	4.45	pg/m ³
2014	GEM	49,348	1.47	1.4	ng/m ³
	GOM	35,390	12.64	1.52	pg/m ³
	PBM	35,238	10.99	4.96	pg/m ³
2015	GEM	52,938	1.58	1.38	ng/m ³
	GOM	44,179	12.47	1.59	pg/m ³
	PBM	43,022	8.62	4.11	pg/m ³

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AMNet sites as of 12/31/2015.

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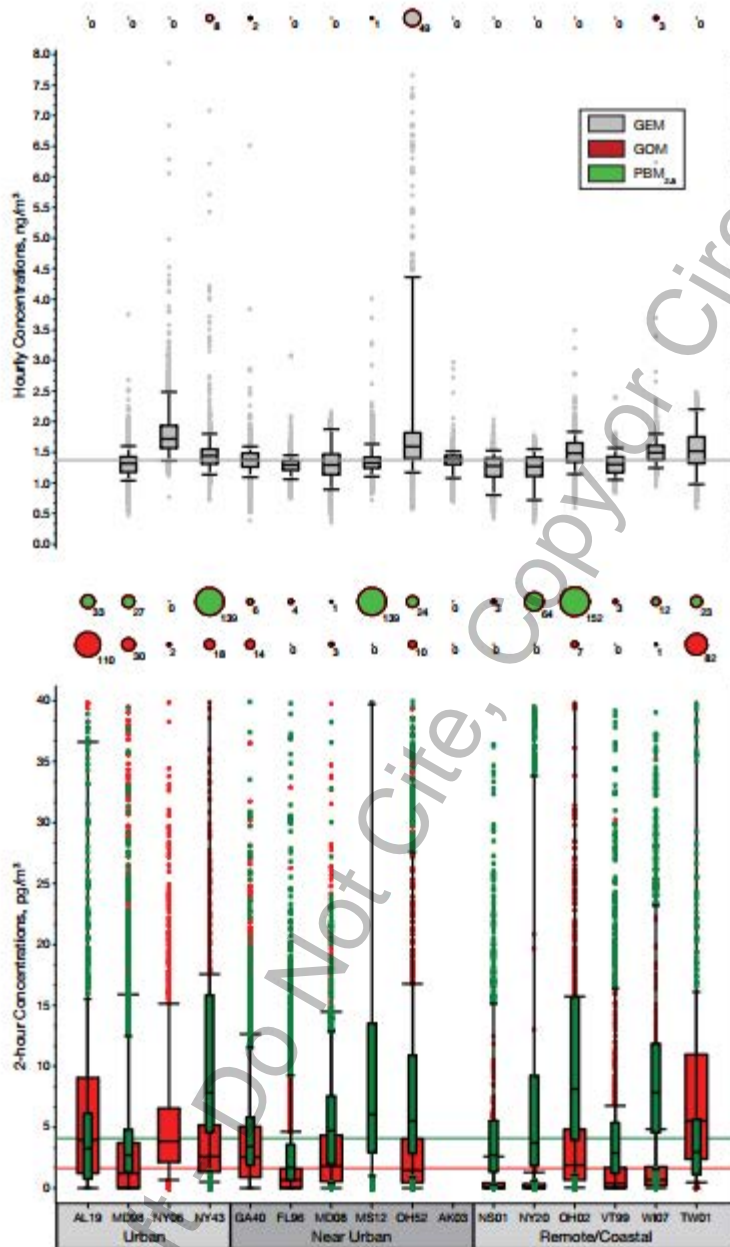


Figure @@: Hourly GEM concentrations in ng/m³ for each AMNet site (top) and 2-hour GOM and PBM_{2.5} concentrations in pg/m³ for each AMNet site (bottom), 2015. The bubble charts indicate the number of valid observations for GEM values above 8 ng/m³, and GOM and PBM_{2.5} above 40 pg/m³, the upper limit shown with the box plots. Horizontal lines in each graph represent the respective 2015 median values. From NADP, 2016.

Reference: National Atmospheric Deposition Program, 2016. National Atmospheric Deposition Program 2015 Annual Summary. NADP Data Report 2016-02. Illinois State Water Survey, University of Illinois at Urbana-Champaign, IL.

6289 **3.2.3 Canadian Atmospheric Mercury Network**

6290 Since 1994, considerable atmospheric Hg monitoring and research has taken place across Canada
6291 through both ongoing networks and independent research programs. Over time, the parameters
6292 measured have evolved, and the breadth and volume of data collected are significant. Most monitoring
6293 began as independent research programs to measure total gaseous mercury (TGM) in the early 1990s.
6294 Realizing the benefits of a community, researchers joined forces to create the Canadian Atmospheric
6295 Mercury Measurement Network (CAMNet) in 1994. CAMNet was operated by Environment and Climate
6296 Change Canada (ECCC) from 1994 to 2007, with between 7 and 15 sites across Canada. Later, some of
6297 these sites were transferred to the Canadian Atmospheric and Precipitation Monitoring Network
6298 (CAPMoN), which still operates these sites today and to other networks. The remainder of the currently
6299 operated ECCC sites are either part of the Northern Contaminants Program (NCP) or are run as part of
6300 ECCC measurement programs. As of 2017, these individual programs have been consolidated and fall
6301 under **Environment and Climate Change Canada – Atmospheric Mercury Monitoring or ECCC-AMM**.
6302 Table 6 shows all the atmospheric mercury measurements that have been taken across Canada. Figure
6303 XX shows the time periods from each site what measurements were made. Currently, there are 12 sites
6304 in Canada that collect continuous TGM and are highlighted in grey. In 1996, the United States-led
6305 Mercury Deposition Network (MDN) began collecting wet deposition samples for total mercury (THg)
6306 and, at some sites, methyl mercury (MeHg). Canada has joined forces with the MDN and has had up to
6307 18 precipitation monitoring sites operating as part of the network over time. The sites where these
6308 precipitation measurements have been made over time are listed in Table XX. Currently, there are 7
6309 sites in Canada that collect wet deposition measurements of mercury and are highlighted in grey.
6310 Finally, during the early 2000s, to meet increasing research needs, considerable advancements were
6311 made in instrument capabilities to collect and analyse mercury species in the air. From 2002 onward,
6312 some CAMNet sites began continuous measurements that could distinguish among gaseous elemental
6313 mercury (GEM), reactive gaseous mercury (RGM) and particulate mercury (TPM) (termed speciated
6314 atmospheric mercury). The sites which have made these measurements over time are listed in Table 6.
6315 Currently, there are 6 sites in Canada that collect continuous termed speciated atmospheric mercury
6316 and are highlighted in grey. As of January 2017, The ECCC-AMM monitors TGM at 12 sites, atmospheric
6317 speciated mercury at 6 sites and wet deposition at 5 sites. Figure 3 shows a map of the ECCC-AMM sites.

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6322**Table 6:** Mean concentrations of mercury data collected in Canada. The location of each site and the previous network or program under which the data was collected.

Station	Long (°W) Lat (°W)	Measurement t period TGM	Mean TGM (ng m ⁻³)	Measurement period Speciated Hg	Mean GEM (ng m ⁻³)	Mean RGM (pg m ⁻³)	Mean PHg (pg m ⁻³)	Measurement period wet deposition	Mean Total Hg (ng L ⁻¹)
Little Fox Lake YK ^{a,g}	135.63 61.35	Jun 2007 – Oct 2011	1.28	-	-	-	-	-	-
Ucluelet BC ^b				-	-	-	-	-	-
Reifel Island BC ^{c,d}	123.17 49.10	Mar 1999 – Feb 2004	1.67	-	-	-	-	-	-
Saturna BC ^{c,d,e}	123.13 48.78	Mar 2009 – Dec 2010	1.43	-	-	-	-	Sep 2009 – Jan 2011	4.5
Whistler BC ^b	122.93 50.07	Aug 2008 – Oct 2011	1.21	-	-	-	-	-	-
Fort Vermilion AB ^f	116.02 58.38	-	-	-	-	-	-	Dec 2006 – Jan 2008	4.3
Meadows AB	114.64 53.53	May 2005 – Dec 2008	1.51	-	-	-	-	-	-
Genesee AB ^{b,d}	114.20 53.30	Mar 2004 – Dec 2010	1.53	Jan - Sep 2009	1.4	5.0	4.5	Jul 2006 – Jan 2011	12.8
Crossfield AB ^f	114.00 51.29	-	-	-	-	-	-	May 2006 – Dec 2007	9.3
Fort Chipewyan AB ^c	111.12 58.78	Jun 2000 – July 2001	1.36	-	-	-	-	-	-
Henry Kroeger AB ^d	110.83 51.42	-	-	-	-	-	-	Oct 2004 – Jan 2011	11.7
Esther AB ^{d,e}	110.20 51.67	Jun 1998 – Apr 2001	1.65	-	-	-	-	Apr 2000 – May 2001	14.2
Fort McKay South AB ^h	111.64 57.15	Aug 2013 – Dec 2016		Aug 2013 – Dec 2016				-	-
Patricia McInnis AB ^h	111.48 56.75	Oct 2010 – Dec 2016		-	-	-	-	-	-
Bratt's Lake SK ^{d,e}	104.71 50.20	May 2001 – Dec 2010	1.44	-	-	-	-	Jun 2001 – Jan 2011	11.2
Flin Flon MB ^b	101.88 54.77	Jul 2008 – Jun 2011	3.75	Jul 2010 – Mar 2011	2.06	3.4	10.4	Sep 2009 – Dec 2010	59.9
Churchill MB ^{f,i}	94.07 58.75	-	-	Mar – Apr 2004	1.52	100.9	168.5	Jun 2006 – Dec 2007	5.3
ELA ON ^{d,e,i}	93.72 49.66	-	-	May 2005 – Dec 2010	1.4	3.7	6.5	Nov 2009 – Jan 2011	9.6
Dorset ON ^{d,j,k}	78.93 45.22	-	-	Jul 2008 – Mar 2010	1.38	2.7	5.9	Jan 1997 – Dec 1998	9.7
Windsor ON ^l	83.01 42.18	Jan 2007 – Dec 2008	1.93	-	-	-	-	-	-
Burnt Island ON ^c	82.95 45.81	May 1998 – Dec 2007	1.55	-	-	-	-	Nov 2001 – Mar 2003	9.2
Mississaug a ON ^k	79.65 43.54			Jan – Dec 2009	1.4	3.7	6.5		
Egbert ON ^{c,d,e}	79.78 44.23	Dec 1996 – Dec 2010	1.58	-	-	-	-	Mar 2000 – Jan 2011	8.4
Buoy ON ^c	79.45	Jul – Sep 2005	1.70	-	-	-	-	-	-

	43.40								
Kuujuarapi k QC ^c	77.73 55.30	Aug 1999 – Sep 2009	1.68	-	-	-	-	-	-
Point Petre ON ^c	77.15 43.84	Nov 1996 – Dec 2007	1.75	-	-	-	-	Nov 2001 – Mar 2003	9.1
Chapais QC ^{d,e}	74.98 49.82	-	-	-	-	-	-	Dec 2009 – Jan 2011	6.4
St. Anicet QC ^{b,c,d}	74.03 45.20	Aug 1994 – Dec 2009	1.60	Jan 2003 – Dec 2010	1.52	3	17.5	Apr 1998 – Aug 2007	7.9
St. Andrews NB ^{c,d}	67.08 45.08	Jan 1996 – Jul 2007	1.38	-	-	-	-	Jul 1996 – Dec 2003	6.6
Kejimikujik NS ^{d,e}	65.21 44.43	Jan 1996 – Dec 2010	1.40	Jan 2009 – Dec 2011	1.34	0.5	4.2	Jul 1996 – Jan 2011	5.2
Halifax NS ^b	63.67 44.67			Oct 2009 – Dec 2011	1.68	2.1	2.3		
Mingan QC ^{b,c}	64.17 50.27	Jan 1997 – Dec 2000	1.57	-	-	-	-	Apr 1998 – Aug 2007	5.0
Southampton PE ^c	62.58 46.39	Jan 2005 – Dec 2006	1.23	-	-	-	-	-	-
Alert NU ^a	62.33 82.50	Jan 1995 – Dec 2011	1.51	Jan 2002 – Dec 2011	1.26	21.8	41.1	-	-
Stephenville NL ^{d,e}	58.57 48.56	-	-	-	-	-	-	Feb 2010 – Jan 2011	5.6
Cormak NL ^{d,e}	57.38 49.32	-	-	-	-	-	-	May 2000 – Jul 2010	4.2

6323 Legenda: a) Northern Contaminants Program (NCP); b) Clean Air Regulatory Agenda Mercury Science Program (CARA)
 6324 currently Climate Change and Air Pollution program (CCAP); c) Canadian Atmospheric Mercury Measurement Network
 6325 (CAMNet); d) The Mercury Deposition Network (MDN); e) The Canadian Air and Precipitation Monitoring Network
 6326 (CAPMoN); f) Geological Survey of Canada (GSC); g) Intercontinental Atmospheric Transport of Anthropogenic Pollutants to
 6327 the Arctic (INCATPA); h) Joint Oil Sands Monitoring Program (JOSM); i) University of Alberta; j) Ontario Ministry of the
 6328 Environment (MOE); k) University of Toronto; l) University of Windsor. Long = Longitude; Lat = Latitude.

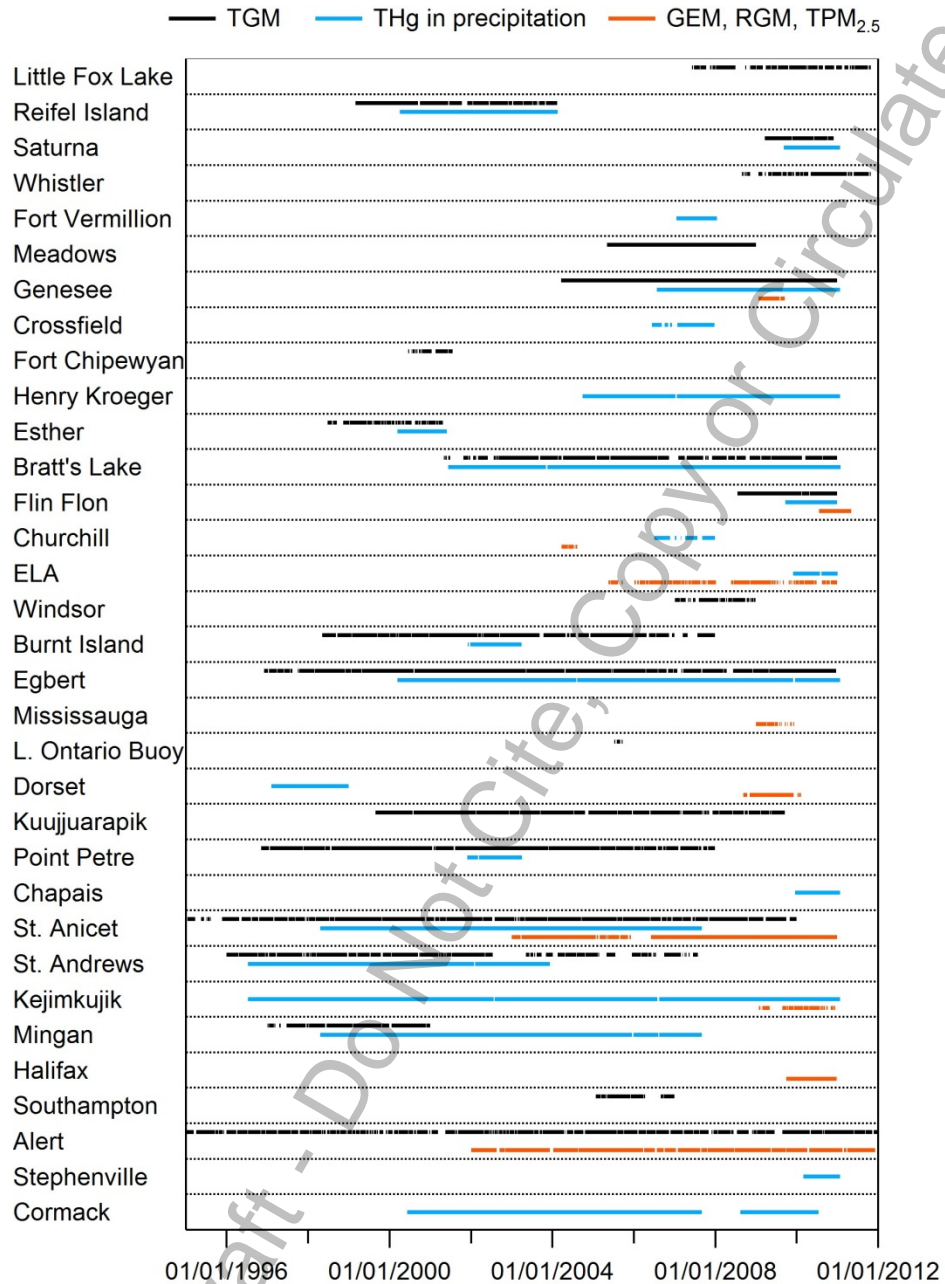
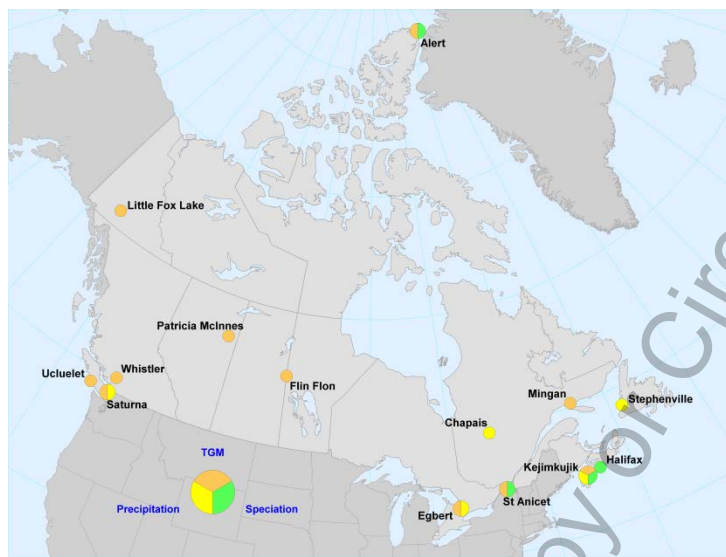


Figure 2: Time period of mercury measurements at Canadian monitoring sites

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Figure 3: Environment and Climate Change Canada – Atmospheric Mercury Monitoring sites currently operating in Canada as of January 2017.

Trends of mercury over time have been investigated for many Canadian measurement sites for all 3 atmospheric mercury parameters including TGM, speciated mercury and mercury in precipitation (Cole et al., 2014). A minimum of 5 yr. of data were required to perform the trend analysis. The time period over which data are reported differs for each location. As a result, linear trends were estimated for all available data from each site rather than limiting the analysis to only overlapping time periods. Trends were calculated using the seasonal Kendall test for trend and the related Sen’s slope calculation (Gilbert, 1987; van Belle and Hughes, 1984). This method is an extension of the non-parametric Mann-Kendall test for trend, which is recommended when there are missing values and when the data are not normally distributed; both of these conditions apply to these datasets.¹ Table XX summarizes the calculated trends of mercury in Canada over time for data sets that fall within the above parameters. The areas shaded in blue are currently operated sites in Canada.

¹ In the seasonal Kendall method, data from the 12 months are treated as 12 separate datasets. For each month, the presence of a trend is confirmed or rejected by the Mann-Kendall test, and a slope is estimated using Sen’s nonparametric estimator of slope. An overall annual trend is estimated from the monthly trend statistics; however, this estimate may be questionable if the monthly trends are not homogeneous. Thus, to ensure reliability of the data, a test for seasonal homogeneity was performed as well. If seasonal trends were homogeneous, the results were used to determine an overall trend for the entire period. If they were not homogeneous, or when there was insufficient data in certain months, only trends for individual months were reported. The disadvantage of this technique is that it produces a linear trend over the entire period and can miss complex patterns such as a decrease followed by an increase.

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Station	Measurement period TGM	Trend TGM (% yr ⁻¹)	Measurement period GEM/RGM/P Hg	Trend GEM	Trend RGM	Trend PHg	Measurement period wet deposition	Trend Total Hg in precip
Little Fox Lake YK	Jun 2007 – Oct 2011		-	-	-	-	-	-
Ucluelet BC			-	-	-	-	-	-
Reifel Island BC	Mar 1999 – Feb 2004	-3.3 (-4.2 to -2.4)	-	-	-	-	-	-
Saturna BC	Mar 2009 – Dec 2010		-	-	-	-	Sep 2009 – Jan 2011	
Whistler BC	Aug 2008 – Oct 2011		-	-	-	-	-	-
Genesee AB	Mar 2004 – Dec 2010	-0.4 (ns) (-1.4 to +0.1)	-	-	-	-	Jul 2006 – Jan 2011	
Fort McKay South, AB			-	-	-	-	-	-
Patricia McInnis AB	Oct 2010 – Dec 2016		-	-	-	-	-	-
Henry Kroeger AB	-	-	-	-	-	-	Oct 2004 – Jan 2011	-
Bratt's Lake SK	2001 – 2010	-2.5 (-3.4 to -1.6)	-	-	-	-	-	-
Flin Flon MB	Jul 2008 – Jun 2011		-	-	-	-	-	-
ELA ON	-	-	May 2005 – Dec 2010				-	-
Burnt Island ON	1998 – 2007	-2.5 (-3.4 to -1.6)					-	-
Egbert ON	1996 – 2010	-1.3 (-1.7 to -1.0)	-	-	-	-	2000-2010	-2.1 (-3.7 to -0.6)
Point Petre ON	1996 – 2007	-1.7 (-2.2 to -1.2)					-	-
Chapais QC	-	-					Dec 2009 – Jan 2011	
St. Anicet QC	1995 – 2009	-1.5 (-1.8 to -1.2)	Jan 2003 – Dec 2010				1998 – 2007	-3.7 (-6.5 to -0.3)
St. Andrews NB	1996 - 2007	-1.0 (-1.4 to -0.5)	-	-	-	-	Jul 1996 – Dec 2003	-
Kejimikujik NS	1996 – 2010	-0.9 (-1.1 to -0.6)	Jan 2009 – Dec 2011				1996 – 2011	-2.2 (-3.5 to +0.3)

6351 **Table 7** Annual trends over time of mercury data collected in Canada6352 **2.2.4 Atmospheric mercury in Asia**

6353 Before the establishment of the GMOS global network independent programs and networks for
6354 monitoring atmospheric Hg species and deposition have been developed in Asia, such as those in Korea,
6355 Japan, China, and Chinese Taiwan supported by the National Science Foundation in each of the Asian
6356 countries and region. Since 2010, some of these Asian sites have been incorporated within the global
6357 network (Sprovieri et al., 2016), including Mt. Waliguan, Mt. Ailao, Shangri-La and Mt. Changbai in
6358 mainland China, Lulin in Chinese Taiwan, Cape Hedo, Okinawa and Minamata, Kyushu islands in Japan,
6359 Kanghwa Island in Korea, and Kodaikanal in India. A statistical summary of speciated atmospheric Hg
6360 concentrations and associated site information (urban and remote areas) in Asia is shown in Table 8
6361 whereas Table 9 reports Hg concentrations and deposition fluxes in precipitation, throughfall, and

6362 litterfall. GEM and PBM concentrations recorded at remote Chinese sites are elevated compared to that
6363 observed at background/remote areas in Europe and North America, and at others sites in the Northern
6364 Hemisphere (Sprovieri et al., 2016; Fu et al., 2015). In Chinese urban areas, the highly elevated GEM,
6365 GOM and PBM were mainly derived from local anthropogenic Hg emissions, whereas regional
6366 anthropogenic emissions and long-range transport from domestic source regions are the primary causes
6367 of the elevated GEM and PBM concentrations at remote sites (Fu et al., 2015). Mean GOM
6368 concentrations at remote sites in China ranged from 2.2 to 10.1 pgm^{-3} , significantly lower than those
6369 observed in the Chinese urban areas but comparable to the values in Europe and North America (Fu et
6370 al., 2015; Table 4).

6371 Wet-only deposition fluxes of THg and MeHg ranged between 1.8–7.0 $\mu\text{gm}^{-2}\text{yr}^{-1}$ and 0.01–0.06 $\mu\text{gm}^{-2}\text{yr}^{-1}$,
6372 respectively, at remote sites, and 13.4–56.5 $\mu\text{g m}^{-2}\text{yr}^{-1}$ and 0.05–0.28 $\mu\text{g m}^{-2}\text{yr}^{-1}$ at urban sites,
6373 respectively. Wet deposition fluxes of THg and MeHg at urban sites in China were higher compared to
6374 those in North America and Europe, but wet deposition fluxes of THg at remote sites were in the lower
6375 range of those observed in North America and Europe. Regarding the Chinese GMOS sites, details on
6376 THg recorded from 2011 to 2015 are reported in Table 9.

6377 **Table 8:** Atmospheric Hg concentrations at ground-based stations in Asia (Fu et al., 2015).

Site	Country	Elev (m asl)	Lat	Lon	Type	Study period	TGM or GEM Mean \pm St.Dev. (ng m ⁻³)	PBM/TPM Mean \pm St.Dev. (pg m ⁻³)	GOM Mean \pm St.Dev. (pg m ⁻³)	Reference
An-myun	Korea	45.7	36.533°N	126.317°E	Background	12/2004-04/2006	4.61 \pm 2.21	-	-	Nguyen et al. (2007)
Beijing	China	48	38.898° N	116.392° E	Urban	02&09/1998	10.40 \pm 3.25	-	-	Liu et al. (2002)
						01-12/2006	-	272	-	Schleicher et al. (2015)
							-	573 \pm 551*	-	
Cape Hedo	Japan	60	26.864° N	128.251° E	Background	01/2011-03/2015	1.91 \pm 0.48	3.17 \pm 4.41	1.89 \pm 3.16	Sprovieri et al. (2016a)
Changchun	China	270	43.824° N	125.319° E	Urban	-/2001	18.4	276*	-	Fang et al. (2004)
Chengshantou	China	30	37.38° N	122.68° E	Remote coast	07&10/2007, 01&04/2009	2.31 \pm 0.74	-	-	Ci et al. (2011)
Chongming Island	China	11	31.522° N	121.908° E	Remote coast	9/12/2009	2.50 \pm 1.50	-	-	Dou et al. (2013)
Chongqing	China	350	29.6° N	106.5° E	Urban	08/2006-09/2007	6.74 \pm 0.37	-	-	Yang et al. (2009)
Guangzhou	China	60	23.124° N	113.355° E	Urban	11/2010-10/2011	4.60 \pm 1.60	-	-	Chen et al. (2013)
Guiyang	China	1040	26.57°N	106.72° E	Urban	11/2011-11/2012	8.40 \pm 4.87	-	-	Feng et al. (2004)
						12/2009-11/2010	10.2 \pm 7.06	-	-	Fu and Feng (2015)
						08-12/2009	9.72 \pm 10.2	368 \pm 276	35.7 \pm 43.9	Fu et al. (2011)
Jeju Island	Korea	60	33.283°N	120.167°E	Remote coast	05/2006-05/2007	3.85 \pm 1.68	-	-	Nguyen et al. (2010)
Jiaxing	China	10	30.833° N	120.7° E	Urban	09/2005	5.40 \pm 4.10	-	-	Wang et al. (2007)
Lanzhou	China	1540	36.067° N	103.79° E	Urban	-/2004	28.6	-	-	Su et al. (2007)
						04&07&10&12/1994	-	955*	-	Duan and Yang (1995)
Lulin	Chinese Taipei	2862	23.51°N	120.92° E	Background	04/2006-12/2007	1.73 \pm 0.61	2.3 \pm 3.9	12.1 \pm 20.0	Sheu et al. (2010)
Minamata ^T	Japan	20	32.231°N	130.403° E	Rural	04/2011-12/2014	1.89 \pm 0.43	-	-	Sprovieri et al. (2016a)
Miyun	China	220	40.481°N	116.775° E	Remote forest	12/2008-11/2009	3.22 \pm 1.94	98.2 \pm 113	10.1 \pm 18.8	Zhang et al. (2013)
Mt. Ailao	China	2450	24.533°N	101.017° E	Remote forest	05/2011-05/2012	2.09 \pm 0.63	31.3 \pm 28.0	2.2 \pm 2.3	Zhang et al. (2015b)
						10/2008-10/2010	1.60 \pm 0.51	-	-	Fu et al. (2012b)
Mt. Changbai	China	740	42.402°N	128.112° E	Remote forest	07/2013-07/2014	1.73 \pm 0.48	18.9 \pm 15.6	5.7 \pm 6.8	Fu et al. (2014)
Mt. Damei	China	550	29.632°N	121.565° E	Remote forest	04/2011-04/2013	3.31 \pm 1.44	154 \pm 104	6.3 \pm 3.9	Yu et al. (2015)
Mt. Dinghu	China	700	23.164°N	112.549° E	Remote forest	09/2009-04/2010	5.07 \pm 2.89	-	-	Chen et al. (2013)
Mt. Gongga	China	1640	29.649° N	102.117° E	Remote forest	05/2005-07/2007	3.98 \pm 1.62	30.7 \pm 32.0*	6.2 \pm 3.9	Fu et al. (2008)
Mt. Jiuxian	China	1700	25.71° N	118.11° E	Remote forest	11/2010, 01&04&08/2010	-	24.0 \pm 14.6	-	Xu et al. (2013)
Mt. Leigong	China	2178	26.39° N	108.2° E	Remote forest	05/2008-05/2009	2.80 \pm 1.51	-	-	Fu et al. (2010)
Mt. Walinguan	China	3816	36.287°N	100.898°E	Remote grassland	09/2007-09/2008	1.98 \pm 0.98	19.4 \pm 18.0	7.4 \pm 4.8	Fu et al. (2012a)
Nanjing	China	100	32.05° N	118.78° E	Urban	01-12/2011	7.90 \pm 7.00	-	-	Zhu et al. (2012)
						06/2011-02/2012	-	1100 \pm 570*	-	Zhu et al. (2014)
Ningbo	China	10	29.867° N	121.544° E	Urban	10/2007-01/2008	3.79 \pm 1.29	-	-	Nguyen et al. (2011)
Qingdao	China	40	36.16° N	120.5° E	Urban	01/2013	2.80 \pm 0.90	245 \pm 174*	-	Zhang et al. (2014)
Seul	Korea	17	37.514° N	127.001° E	Urban	02/2005-02/2006	3.22 \pm 2.10	23.9 \pm 19.6	27.2 \pm 19.3	Kim et al. (2009)
Shanghai	China	19	31.23° N	121.54° E	Urban	08-09/2009	2.70 \pm 1.70	-	-	Friedli et al. (2011)
						07/2004-04/2006	-	560 \pm 220*	-	Xiu et al. (2009)
Shangri-La	China	3580	28.017° N	99.733° E	Remote forest	11/2009-10/2010	2.55 \pm 2.73	37.8 \pm 31.0	7.9 \pm 7.9	Zhang et al. (2015)
Southeastern coastal cities	China	-	-	-	Urban	11/2010, 01&04&08/2011	-	141 \pm 128	-	Xu et al. (2013)
Tokai-mura	Japan	15	36.27°N	140.36°E	Urban	10/2005-08/2006	3.78 \pm 1.62	-	-	Osawa et al. (2007)
Wanqingsha	China	3	22.7° N	113.55° E	Remote coast	11/12/2009	2.94	-	-	Li et al. (2011)
Wuhan	China	20	30.6° N	114.3° E	Urban	-/2002	14.8	-	-	Xiang and Liu (2008)
Xiamen	China	7	24.60° N	118.05° E	Urban	03/2012-02/2013	3.50 \pm 1.61	174 \pm 280	61 \pm 69	Xu et al. (2015)

(PBM/TPM: * Indicates TPM (total particulate-bound mercury) and the rest indicate PBM (particulate-bound mercury on particles with an aerodynamic diameter < 2.5 μ m))

6380 **Table 9:** Hg concentrations and deposition fluxes in precipitation, throughfall, and litterfall in China
 6381 (from Fu et al., 2015).
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Site	Elev (m asl)	Lat	Lon	Type	Study period	Samples	Hg concentration (ng L ⁻¹ or ng g ⁻¹)		Deposition flux (µg m ⁻² yr ⁻¹)		Reference
							THg	MeHg	THg	MeHg	
Mt. Ailao, Yunnan	2500	24,53	101,02	Remote	06/2011-05/2012	Precipitation	3,0	-	5,4	-	Zhou et al. (2013)
						Litterfall	54,0	-	71,2	-	
Mt. Leigong, Guizhou	2178	26,39	108,20	Remote	05/2008-05/2009	Precipitation	4,0	0,04	6,1	0,06	Fu et al. (2010b)
						Throughfall	8,9	0,1	10,5	0,12	
						Litterfall	91,0	0,48	39,5	0,28	
Mt. Damei, Zhejiang	550	29,63	121,57	Remote	08/2012-07/2013	Precipitation	4,1	-	7,0	-	Lang (2014)
						Litterfall	46,6	-	26,0	-	
Nam Co, Tibet	4730	30,77	90,99	Remote	07/2009-07/2011	Precipitation	4,8	0,03	1,8	0,01	Huang et al. (2012)
Mt. Gongga ¹ , Sichuan	1640	29,65	102,12	Remote	12/01/06	Precipitation*	9,9	-	9,1	-	Fu et al. (2008)
Mt. Gongga ² , Sichuan	3000	29,58	101,93	Remote	05/2005-04/2007	Precipitation*	14,2	0,16	26,1	0,3	Fu et al. (2010a)
						Throughfall	40,2	0,3	57,1	0,43	
						Litterfall	35,7	-	35,5	-	
Mt. Changbai, Jilin	750	42,40	128,47	Remote	08/2005-07/2006	Precipitation*	13,4	-	8,4	-	Wan et al. (2000a)
Puding, Guizhou	1145	26,37	105,80	Remote	08/2005-07/2006	Precipitation*	20,6	0,18	24,8	0,22	Guo et al. (2008)
Hongjiadu, Guizhou	1130	26,88	105,85	Remote	08/2005-07/2006	Precipitation*	39,4	0,18	34,7	0,16	Guo et al. (2008)
Ynzidu, Guizhou	1088	26,57	106,12	Remote	08/2005-07/2006	Precipitation*	35,7	0,18	38,1	0,19	Guo et al. (2008)
Dongfeng, Guizhou	970	26,85	106,13	Remote	08/2005-07/2006	Precipitation*	37,4	0,2	36,3	0,19	Guo et al. (2008)
Wujiangdu, Guizhou		27,32	106,77	Remote	08/2005-07/2006	Precipitation*	57,1	0,25	39,6	0,17	Guo et al. (2008)
Guiyang	1040	26,57	106,72	Urban	09/07/08	Precipitation	13,3	0,05	13,4	0,05	Liu et al. (2011)
Xiamen	50	24,60	118,31	Urban	07/2013-02/2014	Precipitation	26,6	-	30,4	-	Wu (2014)
Chongqing				Urban	06/2010-06/2011	Precipitation	30,7	0,31	28,7	0,28	Wang et al. (2012); Y.M. Wang et al. (2014)
						Throughfall	32,3	-	29,0	-	
Tieshanping, Chongqing	500	29,63	104,68	Urban	03/2005-03/2006	Precipitation	69,7	-	71,3	-	Wang et al. (2009)
						Throughfall	105	-	220	-	
						Litterfall	105	-	220	-	
Nanjing	100	32,05	118,78	Urban	06/2011-02/2012	Precipitation	52,9	-	56,5	-	Zhu et al. (2014)

(Precipitation: * indicates bulk precipitation and the rest indicate wet-only precipitation. Mt. Gongga: ¹ elevation of the sampling site was 1600m above sea level.
² Elevation of the sampling site was 3000m above sea level).

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 6384
 6385

6386 3.2.5 Mercury concentrations and pattern analysis in polar areas (Arctic and 6387 Antarctica)

6388 Arctic ecosystems and indigenous communities are particularly vulnerable to methylmercury exposures
 6389 due to its biomagnification in many traditionally consumed foods such as birds, fish and marine
 6390 mammals. In order to reduce negative health effects associated with methylmercury exposures, the
 6391 pathway from emissions to human and environmental impacts needs to be understood. Atmospheric
 6392 modelling provides a first step by tracing the link from emissions to deposition onto environmental
 6393 surfaces. Deposition of mercury in a particular region depends on the magnitude and speciation of
 6394 domestic and foreign emissions and on the oxidative capacity of the atmosphere that transforms
 6395 gaseous elemental mercury (GEM) to deposited divalent species (UNEP, 2015). Atmospheric deposition
 6396 is partly offset by the re-emission of a fraction of deposited mercury. Atmospheric Hg deposition from
 6397 different models compares fairly well (add reference). Further detailed information on modelling
 6398 uncertainty and scenario analysis can be found in Chapter 4 of this GMA report.

6399 Located far from anthropogenic emissions, Polar Regions can be seen as open-air laboratories to
6400 improve our understanding of these atmospheric processes.

6401 The Arctic Monitoring and Assessment Programme (AMAP) established in 1991, is a coordinated air
6402 monitoring programme covering the circum-Arctic areas of North America and Eurasia. The
6403 AMAP programme has an active ambient air Hg monitoring component with sites in Canada, USA,
6404 Russia, Norway and Greenland (Denmark). The Global Atmospheric Watch (GAW) site at Alert operated
6405 by Environment and Climate Change Canada – and funded through the Northern Contaminants
6406 Program (NCP) of Indigenous and Northern Affairs Canada (INAC) – has the longest continuous record
6407 of GEM (22 years) and Hg speciation (15 years) in the Arctic (Cole et al., 2013; Steffen et al., 2014).
6408 Continuous monitoring for long periods has also occurred at: (1) Amderma (Russia) (Steffen et al.,
6409 2005), (2) GAW Ny-Ålesund ‘Zeppelin’ site (Svalbard, Norway) (Berg et al., 2013), (3) AMAP Villum
6410 Research Station at Station Nord (hereafter named Station Nord, Greenland-Denmark) (Skov et al.,
6411 2004), and (4) Andøya (northern Norway) (Berg et al., 2001). Four multi-year records over the 2011-
6412 2015 period from high arctic (Alert, Station Nord and Zeppelin) and European sub-arctic (Andøya) sites
6413 were recently analysed (Angot et al., 2016a). Additionally, summertime measurements were performed
6414 in 2004 over the North Atlantic Ocean (Aspmo et al., 2004), and in 2005, 2010 and 2012 in the marine
6415 boundary layer over the Arctic Ocean (Sommar et al., 2010, Yu et al., 2014).

		ALT	SND	NYA	AND	TR	DC	DDU
2011	<i>n</i>	8040	4712	8173	7444	5978	NA	NA
	mean	1.39	1.26	1.51	1.61	0.95	NA	NA
	median	1.35	1.34	1.59	1.61	0.99	NA	NA
	SD	0.45	0.32	1.61	0.15	0.20	NA	NA
2012	<i>n</i>	8447	7932	8181	8428	7808	3761	5949
	mean	1.21	1.44	1.51	1.61	0.98	0.76	0.91
	median	1.21	1.44	1.54	1.61	0.97	0.70	0.92
	SD	0.35	0.26	0.21	0.13	0.15	0.24	0.20
2013	<i>n</i>	8048	6605	6980	7862	8197	2900	5121
	mean	1.31	1.57	1.47	1.53	0.90	0.84	0.85
	median	1.39	1.49	1.52	1.56	0.93	0.87	0.85
	SD	0.46	0.44	0.30	0.15	0.15	0.27	0.19
2014	<i>n</i>	8358	4991	6730	8146	7421	NA	1958
	mean	1.45	1.36	1.48	1.50	0.95	NA	0.85
	median	1.45	1.36	1.57	1.51	1.00	NA	0.82
	SD	0.33	0.35	0.33	0.16	0.21	NA	0.38
2015	<i>n</i>	NA	1059	8342	7146	3670	8383	3114
	mean	NA	1.11	1.49	1.50	0.94	1.06	0.86
	median	NA	1.11	1.49	1.50	0.93	1.12	0.87
	SD	NA	0.32	0.21	0.10	0.31	0.41	0.19

6416
6417 **Table 10:** Annually based statistics (number of hourly-averaged data (*n*), mean, median, standard
6418 deviation (SD), of Hg(0) concentrations (in ng m⁻³) at ground-based polar sites over the 2011-2015
6419 period.
6420

6421 While the Arctic has been extensively monitored, with hundreds of publications focusing on AMDEs,
6422 measurements are more sporadic in Antarctica. Several short-term ambient air measurements
6423 campaigns were carried out in summer in the 2000s at Terra Nova Bay, McMurdo, South Pole and
6424 Concordia stations (Sprovieri et al., 2002; Brooks et al., 2008a, b; Dommergue et al., 2012). A year-round
6425 record (January 2000-February 2001) was reported at Neumayer (Ebinghaus et al., 2002; Temme et al.,
6426 2003) while multi-year records of GEM were initiated at the Norwegian Antarctic Research Station, Troll
6427 (TR) in 2007 (Pfaffhuber et al., 2012). In 2012, GMOS (2011-2015) supported the implementation of two
6428 other monitoring stations: Dumont d'Urville on the East Antarctic coast and Concordia station on the
6429 East Antarctic ice sheet (Angot et al., 2016b, c). Monitoring at Concordia station is now supported by the
6430 French Polar Institute IPEV. Additionally, short-term field campaigns dedicated to atmospheric Hg
6431 (Nerentorp Mastromonaco et al., 2016; Wang et al., 2016) and Hg deposition (Han et al., 2011; 2014;
6432 2017) were performed in recent years over the Austral Ocean and the East Antarctic ice sheet,
6433 producing supplementary data. In Nerentorp Mastromonaco et al., 2016, the authors suggested a
6434 seasonal increase of total mercury in the sea-water due to a contribution of Hg(II) deposition combined
6435 with contributions from melting sea ice and snow.

6436 First discovered in 1995 (Schroeder et al., 1998), atmospheric mercury depletion events (AMDEs) are
6437 observed in springtime throughout the Arctic (Lindberg et al., 2001; Berg et al., 2003a; Poissant and
6438 Pilote, 2003; Skov et al., 2004; Steffen et al., 2005) as a result of the oxidation of GEM by reactive
6439 bromine species (Lu et al., 2001; Brooks et al., 2006; Sommar et al., 2007). AMDEs can lead to the
6440 deposition of ~100 t of mercury per year to the Arctic (Ariya et al., 2004; Skov et al., 2004; Dastoor et al.,
6441 2015). The fraction of mercury retained in snowpack during AMDEs is still a matter of debate in the
6442 scientific mercury community because a number of studies have observed rapid revolatilization (Steffen
6443 et al., 2008; Soerensen et al., 2016).

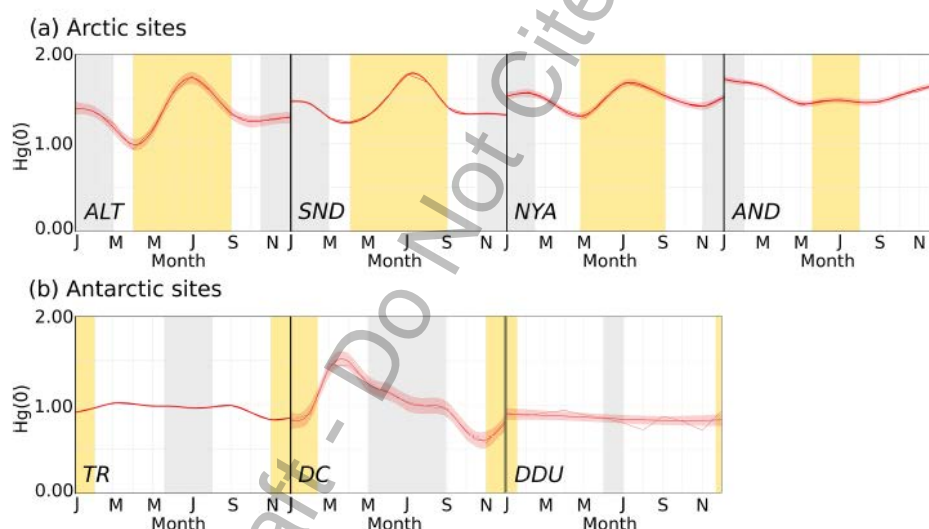
6444 Several studies have reported significant re-emission (e.g., Ferrari et al., 2005; Brooks et al., 2006; Kirk et
6445 al., 2006; Sommar et al., 2007; Dommergue et al., 2010a) reducing the amount of mercury that
6446 accumulates within the snowpack (Hirdman et al., 2009; Larose et al., 2010). Until today no one has
6447 determined a net accumulation based on flux measurements of wet deposition, dry deposition and
6448 reemission. During AMDEs, dramatically higher levels of both gaseous oxidised mercury (GOM; formerly
6449 named reactive gaseous mercury, RGM) and/or PBM_{2,5} are observed (Lu et al., 2001; Lindberg et al.,
6450 2002; Lu and Schroeder, 2004; Sprovieri et al., 2005; Steffen et al., 2008). Lindberg et al. (2002) for
6451 instance reported GOM concentrations up to 900 pg m⁻³ during an AMDE at Barrow (Alaska) and

6452 showed a strong positive correlation between GOM production and both UV-B radiation and
6453 surface snow Hg concentrations. Preliminary multi-year trends of GOM and PBM_{2.5} concentrations at
6454 Alert were analysed (Cole et al., 2013), indicating increases from 2002 to 2009 in both GOM and
6455 PBM_{2.5} during spring when concentrations are highest. Steffen et al. (2014) investigated the behaviour
6456 of the GOM and PBM_{2.5} over 10 years at Alert and showed that there is a transition to a regime of high
6457 PBM_{2.5} levels in March and April to a regime of high GOM levels in May. This transition was found to be
6458 driven by air temperature and presence of springtime particles (sea salts and arctic haze). They further
6459 reported that the highest deposition of mercury to the snow occurs when the GOM levels peak and not
6460 when PBM_{2.5} levels are highest. They concluded that, using this information, one can predict when the
6461 most mercury will be deposited to the snow and ice surfaces in the high Arctic. Despite the significant
6462 challenges in the measurements, the behaviour of mercury over the Arctic sea ice has been investigated
6463 (Nghiem et al., 2012; Steffen et al., 2013; Moore et al, 2014). Nghiem et al (2012) showed that the ever
6464 decreasing amount of perennial sea ice in the Arctic Ocean will impact the amount of active bromine in
6465 this area. Since the depletion of GEM is driven by the bromine photochemistry, the decrease in
6466 perennial sea ice will certainly impact the amount of mercury depleted in the atmosphere over the
6467 Arctic sea ice. Further, Moore et al. (2014) showed that with the changes of sea ice from perennial to
6468 annual, the dynamics of the sea ice also change. Annual sea ice creates more dynamic sea ice, enabling
6469 it to provide more turbulence within the ice and produce more open leads. These open leads cause
6470 convective forcing of the overlying atmosphere to pull down air masses that contain more mercury than
6471 those which are depleted at the surface and replenish the pool of mercury available for conversion and
6472 eventual deposition. Finally, it has also been shown that some of the mercury deposited to the surfaces
6473 is reemitted to the atmosphere (references above); however, several studies have shown that photo-
6474 reduction of the mercury in the snow is dependent on the amount of chlorine in the surface snow
6475 (Poulain et al., 2004 and Lehnerr and St Louis, 2009). Thus, the more chlorine in the snow, the less
6476 mercury will reemit. Steffen et al. (2013) demonstrated that there is significantly more GEM re-emitted
6477 to the atmosphere from inland snow than from snow over the sea ice. All of these studies combined
6478 demonstrate that the mercury chemistry in the Arctic is very dependent on the sea ice and its overlying
6479 atmosphere. With significant changes occurring in the Arctic and the dynamics of the sea ice, the
6480 springtime mercury cycle will be impacted including the amount of mercury deposited and retained in
6481 the Arctic ecosystem.

6482 As presented in Fig. 4, a different seasonal pattern is observed in the high Arctic (ALT, SND, NYA –
 6483 latitude ranging from 78 to 82°N) as compared to lower latitudes (AND, northern Norway - 69°N). As
 6484 noted by Angot et al. (2016a), a variability is observed at high Arctic sites in spring due to the occurrence
 6485 of AMDEs (see above). Summertime (June-August) measurements also differ from what is seen at lower
 6486 latitudes likely due to re-emission of GEM by the Arctic Ocean and/or by snow surfaces (Angot et al.
 6487 2016a and references therein). Yu et al. (2014) reported highly variable GEM concentrations (0.15-4.58
 6488 ng m⁻³) over the central Arctic Ocean in summer, highlighting the need for additional oceanographic
 6489 campaigns to better understand and constrain oceanic fluxes of GEM.

6490 The analysis of ten-year trends of TGM (GEM+GOM) concentrations (Cole et al., 2013) revealed
 6491 discrepancies among Arctic sites. While no trend was observed at Zeppelin station, a slight decreasing
 6492 trend (-0.9% per year) was reported at Alert. This difference in trends may be due to several factors
 6493 including different air masses origin and local scale processes (e.g., oceanic evasion).

6494



6495 **Figure 4:** Seasonal variation (monthly mean along with the 95% confidence interval for the mean) of
 6496 GEM (Hg(0)) concentrations (in ng m⁻³) at (a) four Arctic and (b) three Antarctic sites for the period
 6497 2011-2015 (Angot et al., 2016a). ALT: Alert, SND: Villum Research Station at Station Nord, NYA:
 6498 Zeppelin station at Ny-Ålesund, AND: Andøya, TR: Troll, DC: Concordia Station at Dome C, DDU:
 6499 Dumont d'Urville. Periods highlighted in yellow (grey) refer to 24h sunlight (darkness).

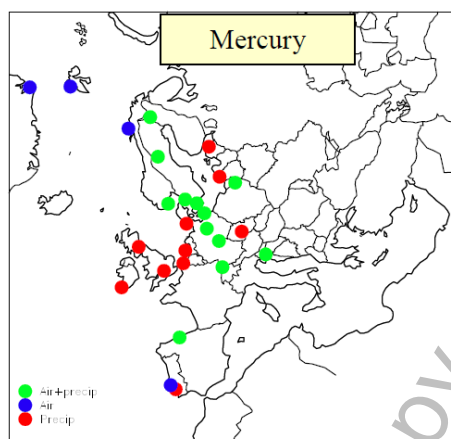
6500
 6501
 6502 Similar to the Arctic, AMDEs can be observed at coastal Antarctic sites after polar sunrise (e.g.,
 6503 Ebinghaus et al., 2002). However, major differences between the Arctic and the Antarctic Hg atmospheric
 6504 cycles have been identified in recent studies, primarily because of their different geography; While the
 6505 Arctic is a semi-enclosed ocean almost completely surrounded by land, Antarctica is a land mass –

6506 covered with an immense ice shelf – surrounded by ocean. In summer (November to mid-February,
6507 permanent sunlight), GEM concentrations exhibit a distinct diurnal cycle on the East Antarctic ice sheet,
6508 with a maximum at noon, attributed to a dynamic daily cycle of GEM oxidation, deposition to the
6509 snowpack, and re-emission from the snowpack (Dommergue et al., 2012, Angot et al., 2016c, Wang et
6510 al., 2016). Additionally, GEM depletion events can be observed on the ice sheet in summer, with GEM
6511 concentrations remaining low ($\sim 0.40 \text{ ng m}^{-3}$) for several weeks (Angot et al., 2016c). These depletion
6512 events do not resemble the ones observed in springtime in the Arctic since they are not associated with
6513 depletion of ozone. They are observed when air masses stagnate over the East Antarctic ice sheet, likely
6514 favouring an accumulation of oxidants within the shallow (few hundreds of meters) atmospheric
6515 boundary layer. These observations, along with GOM/ PBM_{2.5} concentrations up to **1 000** pg m^{-3}
6516 recorded at South Pole (Brooks et al., 2008), suggest that the inland atmospheric reservoir is depleted in
6517 GEM and enriched in GOM in summer. Observations at coastal Antarctic stations suggest that divalent
6518 Hg species produced inland can be transported – due to the large-scale airflow pattern flowing from the
6519 East Antarctic ice sheet towards the coast (katabatic winds) – leading to Hg deposition and accumulation
6520 in coastal ecosystems (Angot et al., 2016b, Bargagli, 2016). Atmospheric models are currently unable to
6521 reproduce this complex reactivity (Angot et al., 2016a). Field studies also show that the sea ice
6522 environment is a significant interphase between the polar ocean and the atmosphere and should be
6523 accounted for when studying how climate change may affect the mercury cycle in polar regions
6524 (Nerentorp Mastromonaco et al., 2016b).

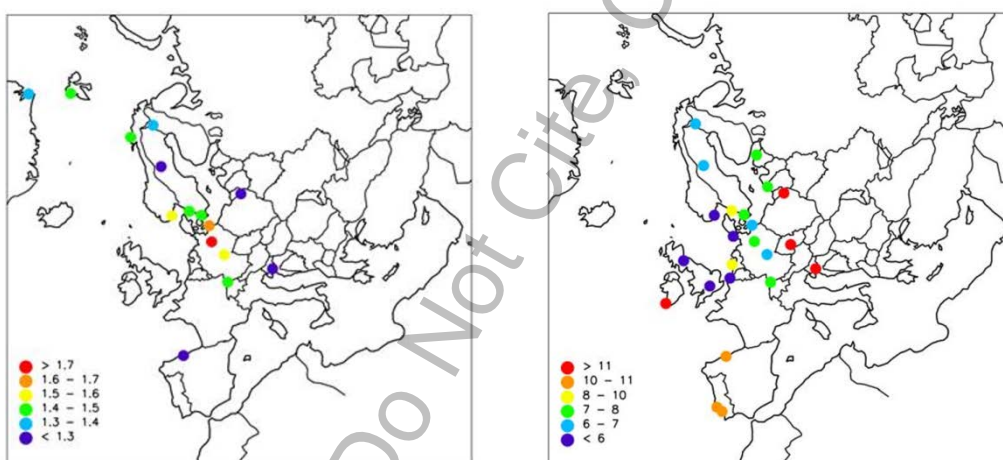
6525 **3.2.6 Atmospheric mercury measurements and trends in Europe**

6526 Heavy metals were considered by the Convention on Long-Range Transboundary Air Pollution (CLRTAP)
6527 beginning in the 1980s. At that time, mercury was only of secondary priority, as it was considered that
6528 measurements of the relevant chemical forms, and the understanding of chemistry involved, was not
6529 mature enough for any regional scale harmonized monitoring to be initiated (EMEP-CCC, 1985). The
6530 European Monitoring and Evaluation Programmes (EMEP) first data report on heavy metals (EMEP,
6531 1986) does thus not include any Hg data, even though first measurements were already available at that
6532 time. By 1990, the number of sites measuring mercury in air had increased to seven, with sites located in
6533 Norway, Sweden, Denmark, Germany and the UK. Mercury was included in the first priority list of
6534 measurements for the late 1990s, and since then the number of sites have increased gradually. The
6535 CLRTAP Aarhus Protocol on Heavy metals was adopted in 1998, and countries agreed to reduce their

6536 emission rates compared to year 1990 levels. Currently monitoring efforts include about 37 sites across
 6537 17 countries (Fig. 5). Considering all years, the total number of sites is 64 sites and 23 countries.



6538
 6539 **Figure 5:** EMEP Mercury observation network.
 6540



6541
 6542 **Figure 6:** Concentration levels of Mercury in air (left, unit: ng/m^3) and precipitation (right, unit ng/L) at
 6543 EMEP sites, year 2014.

6544 Compared to other heavy metals, relatively few stations are measuring mercury in precipitation in
 6545 Europe, and many of them are related to the OSPARCOM programme. There are several sites (in PT, LV,
 6546 IE) with high detection limits and these are only giving an indication of upper concentration limit. There
 6547 is no clear regional distribution of mercury in precipitation; the highest concentration is seen at NL0091
 6548 with $10 \mu\text{g}/\text{L}$ (when excluding uncertain data from Portugal and Ireland), followed by sites in Czech
 6549 Republic and Sweden with concentrations of $8 \mu\text{g}/\text{L}$, while the lowest levels (less than $5 \mu\text{g}/\text{L}$) are seen in
 6550 Great Britain (7).

6551 Annual averages of Hg concentrations in precipitation and in air in 2014 are presented in Figure 6. There
 6552 is indication of elevated level in central Europe as expected due to influence from anthropogenic

6553 sources like coal combustion. An interesting observation is that the coastal Arctic sites in Norway has
6554 slightly higher levels than what is observed at Greenland and more inland in Finland and Sweden, which
6555 might be due to the summertime evasion from the ocean or due to the fact that Svalbard receives
6556 several direct transport episodes from the continent, especially in winter and spring. PL05 and SI08
6557 show unexpected low concentration, 1.2 ng m^{-3} and 0.8 ng m^{-3} respectively. The latter concentration
6558 level is even lower than observed in Antarctica (Pfaffhuber et al, 2012). Given the locations of these
6559 stations and the proximity to emission sources, it seems like there may be a bias in the concentration
6560 level for these two sites. This bias is larger at ES08, which has an annual mean of 0.3 ng m^{-3} , which
6561 obviously cannot be correct.

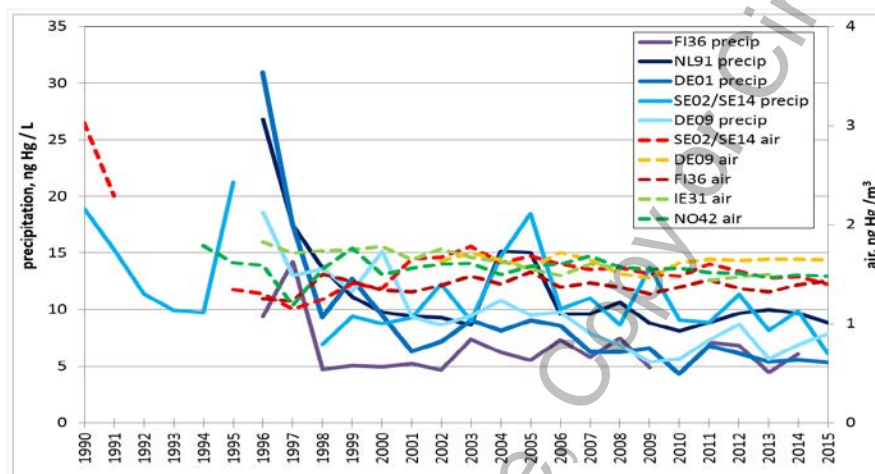
6562 Results from a field intercomparison study of mercury measurement within EMEP performed in 2005
6563 showed that most participating labs performed well and within the $\pm 30\%$ uncertainty EMEP data quality
6564 objective (Umweltbundesamt, 2006, Weigelt et al., 2013). However, the biased concentration results
6565 reported above highlights the importance to follow QA/QC procedures. These three laboratories need to
6566 evaluate their methodology as it seems evident that there is an issue with either calibration or gold trap
6567 poisoning, or a combination of both. In precipitation, the highest levels are seen in Eastern Europe (SI,
6568 PL and CZ), which seem reasonable since the anthropogenic emission sources are highest in this region.
6569 Taking into account that precipitation measurements of mercury are more complex than air
6570 measurements, and that the expected measurement uncertainty is 42% (Umweltbundesamt, 2006), the
6571 observed concentrations and spatial pattern seems reasonable, for Poland most of the data is below
6572 detection limit so it is difficult to fully assess the spatial concentration pattern. Also, Ireland and Portugal
6573 report most of the data below detection limit.

6574 Two recent publications and reports present the spatial and temporal trends of mercury in EMEP,
6575 namely Tørseth et al. (2012) and Colette et al. (2016). The first paper study provides a very broad
6576 introductory overview of the full dataset available, but does not go into any details on site level and
6577 individual time series. The latter report focuses primarily on the period 1990-2012, and relies heavily on
6578 model results from the EMEP-MSC-E model, using official emissions data. An overall assessment based
6579 on these two publications is given below.

6580 Figure 7 presents annual time series of mercury measured at sites with long-term data series across
6581 Europe. As can be seen, most of these sites are located in Northern Europe, and there are obvious gaps
6582 in the time series in the early 1990s. Inter-annual variability is large, but a significant reduction has

6583 occurred since. Trends based on this analysis suggest reductions in the order of 5-10% since the late
 6584 1990s. More recent work by Zhang et al. (2016) suggests declines of greater than 2% per year since the
 6585 mid-1990s in Western Europe and a total reduction of greater than 30% due to declines in primary
 6586 anthropogenic source releases.

6587



6588
 6589
 6590
 6591

Figure 7: Time series of mercury in air and precipitation at selected EMEP stations, 1990-2015.

6592 Tørseth et al., 2012 also include reference to various studies on trends in emissions and observations, to
 6593 assess the levels before the late 1980ies. They conclude that a major decline of the European Hg
 6594 emissions occurred at the end of the 1980s. The measurements of total gaseous mercury (TGM) for the
 6595 period from 1980 to about 1993 indicate a dramatic decrease of about 60% in ambient concentrations.
 6596 Concentration changes reflect the emission change in Europe. Reduced emissions in Europe and the long
 6597 lifetime of Hg have resulted in an increased focus on non-European sources (HTAP, 2010).
 6598 Measurements of total gaseous mercury indicate e.g. a dramatic decrease in concentrations during 1980
 6599 to about 1993.

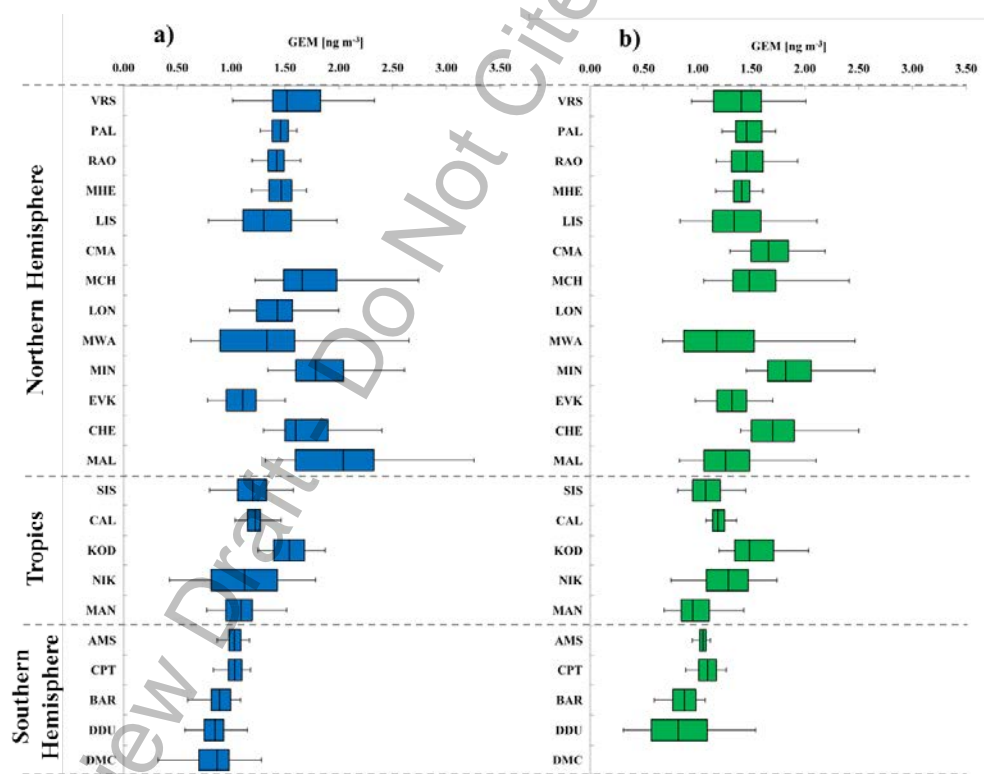
6600 For mercury, the European sources have been reduced significantly resulting in a relatively large
 6601 contribution from non-European sources to ambient levels. The monitoring efforts within Europe have
 6602 gradually improved in Northern Europe, while other regions have little data.

6603 3.2.7 Northern-Southern Hemispheric gradients

6604 A summary of descriptive statistics of GEM, GOM and PBM from all GMOS sites in the Northern and
 6605 Southern Hemispheres as well as in the Tropical area is reported in Tables 2 and 3, whereas Figure 8

6606 shows a focus on GEM yearly distribution for 2013 (blue) and 2014 (green). The sites have been
 6607 organized in the graphic as well as in the tables according to their latitude from those in the Northern
 6608 Hemisphere to those in the tropics and in the Southern Hemisphere. The box-and-whisker plot of GEM
 6609 shows a downward trend with the 13 northern sites which had significantly higher median
 6610 concentrations than the southern sites did, confirming the assessment made on long-term monitoring
 6611 sites such as Mace Head (MHD), Ireland (Ebinghaus et al., 2011; Weigelt et al., 2015), and at Cape Point
 6612 (CPT), South Africa (Slemr et al., 2015). At MHD the annual baseline GEM means observed by Ebinghaus
 6613 et al. (2011) decreased from 1.82 ngm⁻³ earlier in 1996 to 1.4 ngm⁻³ in 2011, showing a downwards trend
 6614 of 1.4–1.8% per year. Recently across the GMOS network, a decrease of 1.6% at MHD from 2013 and
 6615 2014 was observed and a slight increase in Hg concentrations at CPT from 2007 to 2013 that continued
 6616 through 2014 (Slemr et al., 2015). The clear north–south gradient, in line also with previous studies
 6617 (Soerensen et al., 2010a, b, 2012; Sommar et al., 2010; Lindberg et al., 2007; Sprovieri et al., 2010), has
 6618 in addition confirmed by the probability density functions (PDFs) of the data (Sprovieri et al., 2016).

6619

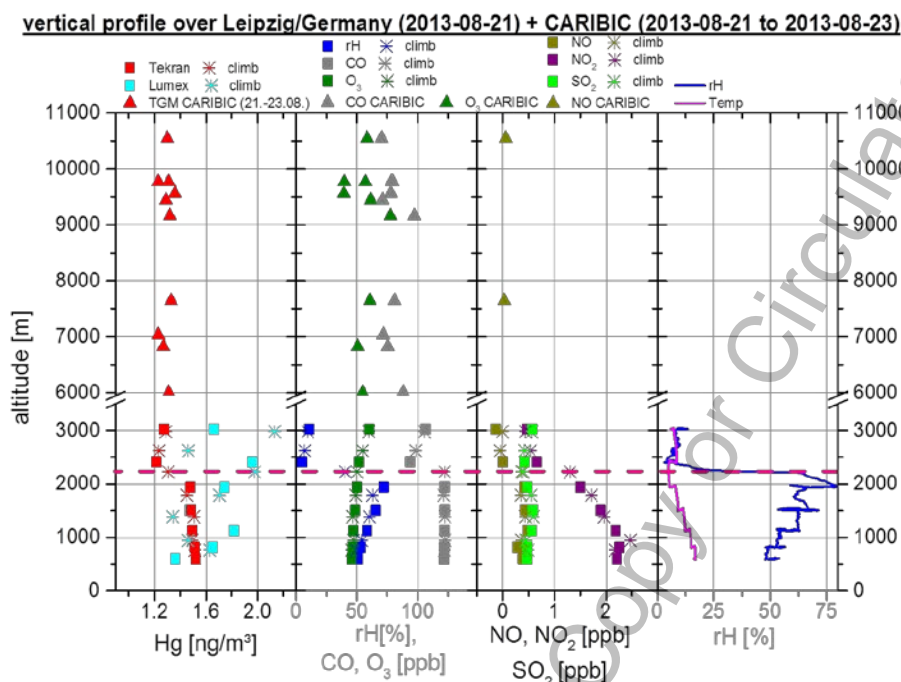


6620 **Figure 8:** Box-and-whisker plots of GEM yearly distribution at the GMOS stations for (a) 2013 and (b) 2014. The
 6621 sites are organized according to their latitude from the northern to the southern locations. Each box includes
 6622 median (midline), 25th and 75th percentiles (box edges), 5th and 95th percentiles (whiskers) (Sprovieri et al. 2016).
 6623
 6624

6625 **3.3 Vertical profile and UTLS measurements**

6626 **3.3.1 Vertical profiles**

6627 Vertical profiling of GEM from inside the boundary layer to the free troposphere was carried out during
6628 European Tropospheric Mercury Experiment (ETMEP) flights in 2013 (Weigelt et al. 2015). Several flights
6629 were performed with a CASA-212 research aircraft equipped with scientific instruments to measure
6630 GEM, GOM, and TGM as well as the trace gases CO, O₃, SO₂, NO, NO₂, and meteorological parameters
6631 temperature, pressure, and relative humidity. A specially designed gas inlet system was installed at the
6632 aircraft fuselage. In total five vertical profiles were flown over flat and mountainous rural- and
6633 industrialized sites in Slovenia and Germany. On the contrary to previously measured vertical profiles, a
6634 significant difference between boundary layer- and free tropospheric air was detected. While the free
6635 tropospheric overall GEM background concentration over central Europe is ~ 1.3 ng m⁻³ inside the
6636 boundary layer the GEM background concentration was found to be 10 to 30% higher (~ 1.6 ng m⁻³). At
6637 all measurement locations, neither in the boundary layer, nor in the free troposphere a clear vertical
6638 gradient was apparent. This finding indicates that inside the particular layers of the atmosphere, GEM is
6639 homogeneously distributed. The combination of ETMEP measurements over Leipzig with CARIBIC
6640 measurement over Western Europe (Fig. 9) revealed for the first time a complete vertical profile from
6641 0.5 km (lower boundary layer) to 10.5 km (upper free troposphere). From above the boundary layer to
6642 the free troposphere's top the GEM background concentration is on average 1.3 ng m⁻³. All
6643 concentrations are given at STP (0°C, 1013.25 hPa).



6644

6645 **Figure 9:** Vertical profile of GEM, CO, O₃, SO₂, NO, NO₂, T, RH over Leipzig, Germany during
6646 ETMEP and CARIBIC flights.

6647 **3.3.2 Aircraft-based emission estimates for point and area sources**

6648 On several Nitrogen, Oxidants, Mercury and Aerosol Distributions, Sources and Sinks (NOMADSS) project
6649 flights large Hg point sources were sampled, mainly coal-fired power plants (CFPP) in the Southeast U.S.
6650 Ambrose et al. (2015), developed a unique method to use the NOMADSS data to evaluate Hg point
6651 source emissions. This method relies on the simultaneous C-130 observations of NO_x, SO₂, CO and CO₂
6652 observations. A key conclusion is that for some CFPPs, including some of the largest Hg emitters in the
6653 US, the observations suggest substantially higher Hg emissions compared to the emission inventories.

6654 During ETMEP flights over central Europe significant mercury emissions were measured from a modern
6655 coal fired power plant south of Leipzig/Germany. Inside the plume GEM peaked to 10 ng/m³. The
6656 denuder sample inside the plume indicated, modern coal-fired power plants may be an overestimated
6657 source of GOM. The measured fraction of GOM inside the plume was between 0.5% and 2%. This is in
6658 contrast to the 40%, given by the "AMAP/UNEP geospatially distributed mercury emissions dataset
6659 2010v1" (AMAP/UNEP, 2013). The yearly emission of gaseous mercury from that power plant was
6660 estimated to 268-283kg/a for GEM and 2-12 kg/a for GOM. (Weigelt et al. 2015).

6661 The Chicago-Gary area is highly industrialized with significant emissions of Hg and other pollutants.
6662 Using data from NOMADSS flight RF-15, Gratz et al. (2016) developed a novel method to evaluate the Hg

6663 emission inventory from this region. The observations showed a region of enhanced Hg, CO, SO₂ and
6664 NO_x. Combining the observations with the Flexpart model allowed for the characterization of the
6665 “footprint” of the observations and therefore a good comparison between the observations and
6666 expectations based on the emission inventory. Gratz’ analysis indicated “that there are many small
6667 emission sources that are not fully accounted for within the inventory, and/or that the re-emission of
6668 legacy Hg is a significant source of THg to the atmosphere in this region (Gratz et al., 2016).

6669 **3.3.3 Large-scale Tropospheric distribution and plumes**

6670 During the Civil Aircraft for the Regular Investigation of the atmosphere Based on an Instrument
6671 Container (CARIBIC) project more than 100 large-scale pollution plumes have been detected in the
6672 global upper troposphere. The largest plume with an extension of 1000 km was detected on a flight
6673 from Frankfurt to Osaka between the Korean peninsula and the Yellow Sea. This mixed plume could be
6674 attributed to large forest fires in Southern Siberia as well as industrial sources in Chinese provinces of
6675 Shandong, Henan, Shanxi and Hebei.

6676 Most of the plumes were found over East Asia during the flights from Frankfurt to Guangzhou, Osaka,
6677 Seoul and Manila, in the African equatorial region during the flights to South Africa, over South America
6678 during the flights to Sao Paulo and Santiago de Chile, and over Pakistan and India during the flights to
6679 Chennai. The plumes encountered over the African equatorial region and over South America originate
6680 from biomass burning as evidenced by low Hg/CO emission ratios and elevated mixing ratios of
6681 acetonitrile, CH₃Cl and CH₃Br. Backward trajectories point to the region around Rift Valley and Amazon
6682 basin with its outskirts as the source areas. The plumes encountered over the East Asia and over
6683 Pakistan and India are predominantly of urban/industrial origin, sometimes mixed with products of
6684 biomass/biofuel burning. Numerous plumes with elevated mercury concentrations were encountered
6685 during the tropospheric sections of the CARIBIC flights since May 2005. Mercury correlated significantly
6686 with CO in more than 50% of the observed plumes and with CO₂ in about 30% of the plumes for which
6687 CO₂ data were available. Extensive ancillary data on chemical fingerprint of the air within these plumes
6688 and backward trajectories provide additional means to identify the origin and the type of the source
6689 (Slemr et al., 2014).

6690 Large plumes over equatorial Africa were observed during all flights between Frankfurt and South Africa.
6691 These plumes which extend over thousands of km are embedded in north-south gradient of mercury,
6692 CO, and CO₂, and consist of a number of overlapping smaller plumes. Due to the changing background,

6693 the inhomogeneity of the plumes and low precision of the mercury measurements only a few of the
6694 plume encounters provided significant Hg vs. CO correlations. Most plumes were observed over Far East
6695 Asia and relative to the number of flights to Far East destinations the yield of plumes with significant Hg
6696 vs CO correlations was the second highest after the African flights. Lower yields of plume occurrence
6697 were found for flights to South America and to South Asia. Only one plume was encountered over North
6698 America and one over Europe. (Slemr et al., 2009, Slemr et al., 2013, Slemr et al., 2014)

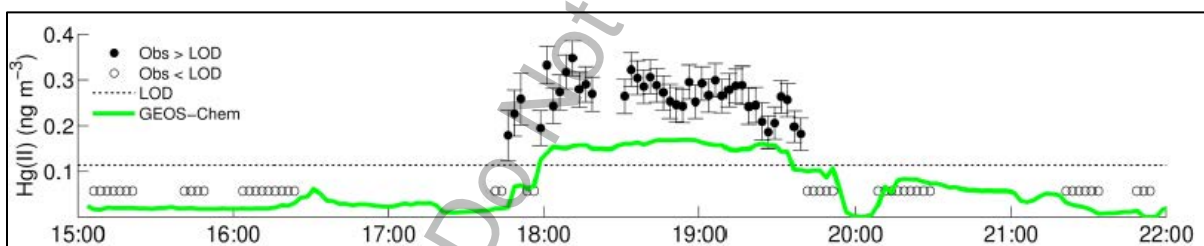
6699 The Hg/CO emission ratios derived from these correlations are consistent with the previous data and
6700 tend to smaller values of $\sim 1 \text{ pg m}^{-3} \text{ ppb}^{-1}$ for plumes from biomass burning and larger values of $\sim 6 \text{ pg m}^{-3}$
6701 ppb^{-1} for urban/industrial emissions. Most of the plumes observed over South America and Africa
6702 originate from biomass burning and one plume observed over mid-Atlantic could be attributed to forest
6703 fires in south eastern US. The plumes observed over the Far East Asia are mostly of urban/industrial or
6704 mixed origin. Only a few Hg/CO₂ emission ratios have been reported so far. The range of the Hg/CO₂
6705 emission ratios from CARIBIC flights is comparable to the range observed at Cape Point (Brunke et al.,
6706 2012). The Hg/CO₂ emission ratios of $107 - 964 \text{ pg m}^{-3} \text{ ppm}^{-1}$ observed in the plumes over Far East,
6707 however, are substantially higher than $2 - 30 \text{ pg m}^{-3} \text{ ppm}^{-1}$ calculated by Brunke et al. (2012) for coal
6708 burning. If confirmed by further measurements the higher observed than calculated Hg/CO₂ emission
6709 ratios would imply substantial other mercury emissions than from coal burning. Generally it can be
6710 concluded from CARIBIC data that the major industrial sources for atmospheric mercury are located in
6711 East-Asia, Pakistan and India whereas major contribution to mercury emissions from biomass burning
6712 are originating from Equatorial Africa (Rift-Valley) and the Amazon region.

6713 In the tropospheric CARIBIC data an El Niño Southern Oscillation (ENSO) signal could be detected. (Slemr
6714 et al., 2016a). The highest mercury concentrations are always found at the most negative SOI values i.e.
6715 are related to the El Niño events. A cross-correlation reveals that peak mercury concentrations are
6716 delayed by 6 – 12 months against SOI. This delay is similar to the delay of CO which has been shown to
6717 originate from biomass burning in aftermath of El Niño events. Slemr et al. (2016) suggested that the
6718 ENSO signal in the worldwide mercury concentrations is also due to mercury emissions from biomass
6719 burning (Slemr et al., 2009, Slemr et al., 2013, Slemr et al., 2014).

6720 **3.3.4 Airborne observations of speciated Hg**

6721 Mercury observations on the NCAR C-130 were made by the University of Washington in summer 2013
6722 with specially developed Detector for Oxidized Hg Species (DOHGS) (Ambrose et al., 2015), which

6723 measures both gaseous elemental mercury (Hg⁰), gaseous oxidized mercury (GOM), plus a fraction of
 6724 particle-bound oxidized Hg. GOM is believed to consist of Hg(II) compounds, such as HgCl₂, HgBr₂, etc.
 6725 The measurements were routinely calibrated in-flight with a high precision source of Hg⁰, and in the
 6726 laboratory with sources of gaseous HgBr₂ and HgCl₂. The dual channel difference method avoids
 6727 problems with earlier measurements based on KCl denuders, which are known to have significant
 6728 interferences. We believe these are the most carefully calibrated and accurate measurements of
 6729 speciated Hg made to date on an aircraft platform. Details on the methodology and further information
 6730 on calibrations, accuracy, and precision are given in Ambrose et al. (2015). On several flights, substantial
 6731 concentrations of Hg(II) were identified. Although the location and timing of these events were correct
 6732 in the GEOS-Chem Hg model, the concentrations were much higher (2–4x). Figure 10 shows an example
 6733 from research flight 6 (RF-06), along with the base simulations from GEOS-Chem (Gratz et al., 2015). This
 6734 flight was also one of the few with detectable concentrations of BrO. We concluded that the likely
 6735 source of Hg(II) on this flight was oxidation of gaseous elemental mercury (GEM) by Br radicals. This was
 6736 supported by a detailed chemical mechanism and box-model calculation. This is a major finding and has
 6737 implications for both Hg and halogens. Note that the halogen chemistry and mercury oxidation
 6738 mechanism in the GEOS-Chem model were recently updated, as reported in Horowitz et al. (2017).

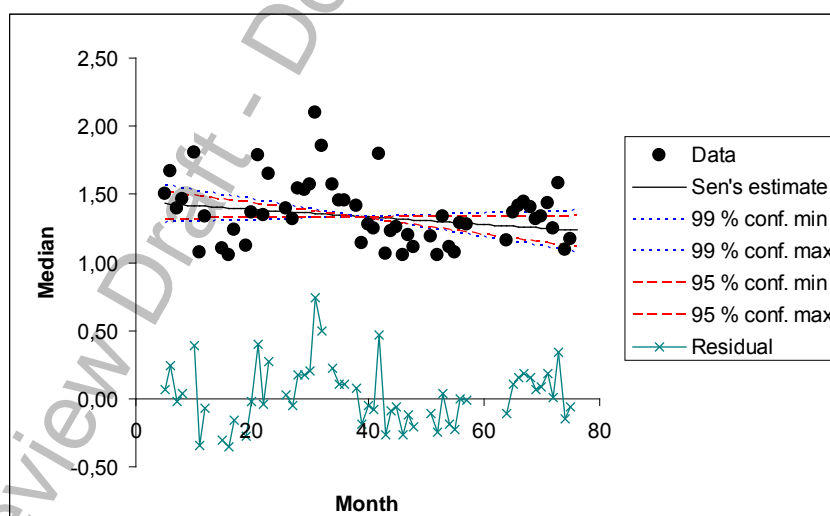


6739
 6740
 6741 **Figure 10:** Oxidized Hg (Hg(II); ng m⁻³) concentrations measured during RF-06 on June 19, 2013,
 6742 (black points) and modelled Hg(II) from the base model simulations (green line).

6743 Shah et al. (2016) further analysed the origins of oxidized mercury using a variety of sensitivity studies
 6744 with the GEOS-Chem model. For observations above the detection limit it was found that modelled
 6745 Hg(II) concentrations are a factor of 3 too low (observations: 212 ± 112 ng m⁻³, model: 67 ± 44 ng m⁻³).
 6746 The highest Hg(II) concentrations, 300–680 pg m⁻³, were observed in dry (RH < 35 %) and clean air
 6747 masses during two flights over Texas at 5–7 km altitude and off the North Carolina coast at 1–3 km. The
 6748 GEOS-Chem model, back trajectories and observed chemical tracers for these air masses indicate
 6749 subsidence and transport from the upper and middle troposphere of the subtropical anticyclones,
 6750 where fast oxidation of elemental mercury (Hg⁰) to Hg(II) and lack of Hg(II) removal lead to efficient
 6751

6752 accumulation of Hg(II). Shah et al. (2016) suggested that the most likely explanation for the model bias is
 6753 a systematic underestimate of the Hg0 +Br reaction rate, which has now been updated in Horowitz et al.
 6754 (2017). It was shown that sensitivity simulations with tripled bromine radical concentrations or a faster
 6755 oxidation rate constant for Hg0 +Br, result in 1.5–2 times higher modelled Hg(II) concentrations and
 6756 improved agreement with the observations. The modelled tropospheric lifetime of Hg0 against oxidation
 6757 to Hg(II) decreases from 5 months in the base simulation to 2.8–1.2 months in our sensitivity
 6758 simulations. In order to maintain the modelled global burden of THg, we need to increase the in-cloud
 6759 reduction of Hg(II) was increased, thus leading to faster chemical cycling between Hg0 and Hg(II).
 6760 Observations and model results for the NOMADSS campaign suggest that the subtropical anticyclones
 6761 are significant global sources of Hg(II).

6762 In the lower stratosphere, TGM concentrations always decrease with increasing PV and O₃. This
 6763 behaviour is similar to all trace species with ground sources and stratospheric sinks such as CO and CH₄.
 6764 Opposite to such species, mercury as an element can only be transformed to other mercury species such
 6765 as GOM or particle bound mercury (TPM). The transformation rate of TGM to particle bound mercury
 6766 can be calculated using SF₆ as a timer. SF₆ is a very long-lived tracer whose concentration increases by
 6767 about 0.230 ppt yr⁻¹. Correlations of TGM with SF₆ suggest a seasonally dependent TGM conversion rate
 6768 of about 0.43 ng m⁻³ yr⁻¹ resulting in a stratospheric TGM lifetime of about 2 yr. This lifetime is longer
 6769 than several weeks claimed recently by Lyman and Jaffe (2012) but is closer to 1 yr estimated by Holmes
 6770 et al. (2010) using the GEOS-Chem model with included bromine oxidation chemistry.



6771
 6772
 6773 **Figure 11:** Monthly averages of TGM concentrations in the troposphere (PV < 1.5 PVU) north of 15°N
 6774 from May 2005 to April 2011 with Sen's slope estimate.

6775 **3.4 Temporal and spatial variability of Hg exchange fluxes**
6776 **between air and soil/vegetation/snow-ice**

6777 Re-emission of previously deposited Hg to terrestrial and aquatic surfaces is an essential component of
6778 the global biogeochemical Hg cycle, accounting for approximately 2/3 of inputs to the atmosphere each
6779 year (Amos et al., 2013; 2014). The magnitude of reemissions fluxes has grown substantially over the
6780 history of human use of mercury that has enriched terrestrial and aquatic ecosystems globally (Amos et
6781 al., 2013; 2015). Most evasion occurs as elemental Hg (Hg(0)) but in marine regions, dimethylmercury
6782 evasion ((CH₃)₂Hg) can also be important (Soerensen et al., 2016).

6783 Globally, evasion of Hg(0) from the oceans is comparable in magnitude to primary anthropogenic
6784 emissions (Soerensen et al., 2010a). Concentrations of dissolved Hg(0) in seawater are driven by the
6785 supply of Hg(II) for reduction (total seawater Hg concentrations), biological and photochemical
6786 reduction rates mediated by light and bacterial activity, and the stability of Hg(II) complexes in seawater.
6787 Several recent studies have shown the composition of dissolved organic matter (DOM) in seawater can
6788 have a strong influence on the amount of Hg(II) that is reduced and subsequently evaded back to the
6789 atmosphere, with terrestrial DOM in particular effectively reducing reactivity of sorbed Hg (Soerensen
6790 et al., 2014; Schartup et al., 2015; Zhang et al., 2015). Net flux of mercury to the atmosphere through
6791 air-sea exchange is thought to range between 1940-4150 Mg per year, with a mean flux of 3200
6792 predicted by Amos et al. (2013).

6793 Air-soil (or vegetation covered) exchange fluxes are an important part of global and regional Hg
6794 biogeochemical cycle (Lindberg et al. 2007, Gustin et al. 2008, Gustin et al. 2010, Pierce et al. 2015).
6795 Much of Hg(II) deposited in precipitation or taken up plants is subject to reduction to Hg(0) and may be
6796 evaded back to the atmosphere. Smith-Downey et al. (2010) estimated based on a global terrestrial
6797 mercury model that evasion of mercury linked to decomposition of soil organic carbon pools and
6798 subsequent liberation of Hg(II) sorbed to soil organic matter is greater than 700 Mg per year, reflecting
6799 the large pool of Hg stored in terrestrial ecosystems globally (>240 Gg). In total, this study estimated
6800 56% of Hg deposited to terrestrial ecosystems is reemitted. Similarly, Graydon et al. (2012) found that
6801 45-70% of isotopically labelled Hg(II) wet deposited to a forested watershed had been reemitted to the
6802 atmosphere after one year. Recent observations suggest the evasion flux of mercury from global soils
6803 may be slightly lower and the reservoir even higher (e.g., Hararuk et al., 2013).

6804 Hg exchange flux between soil (vegetation) depends on several environmental factors (soil moisture, soil
6805 porosity substrate temperature, etc.), chemical factors (Hg species and its content in soil, organic
6806 matter, atmospheric oxidants, etc.), meteorological factors (e.g. pressure, air temperature, wind speed
6807 and turbulence, solar radiation, snow cover) and surface characteristics (e.g. type of vegetation,
6808 substrate type, roughness of the surface) (Schroeder et al. 2005, Gustin et al. 2004). These factors are
6809 leading to highly variable Hg fluxes in different landscapes and determine spatial and temporal
6810 variability in deposition or evasion of GEM (Schroeder et al. 2005). All forms of atmospheric Hg can be
6811 deposited from atmosphere to soils or differently vegetated surfaces by wet or by dry deposition
6812 processes (Gustin 2011) where it can either remain in terrestrial system and undergo further
6813 biogeochemical cycle or emitted back to atmosphere with relative importance of different controlling
6814 factors (Gustin 2011). Changes in direction of the flux were observed on several soil types covered by
6815 different types of vegetation (Gustin and Jaffe 2010, Poissant et al. 2005), and can happen quickly,
6816 within few hours (Bash and Miller 2008, Converse et al. 2010).

6817 Soil types, moisture, and Hg content and speciation in soil are important factors influencing GEM flux
6818 between soil and air (Kocman and Horvat 2010, Lin et al. 2010). Soil porosity and disturbance promote
6819 Hg(II) reduction and GEM transport from soil (Fu et al. 2012, Bash and Miller 2007). Soils with small grain
6820 size, silt and clay with higher surface area showed higher GEM fluxes to air (Gustin et al. 2002). Rainfall
6821 and soil moisture promote GEM emission by order of magnitude (Lindberg et al. 1999). Irrigation of soil
6822 enhances Hg (II) reduction and added water replaces GEM binding sites and thus promotes GEM
6823 emission. Organic matter in soil was reported to be one of main factors affecting GEM emissions as
6824 organic matter forms stable complexes with Hg(II) and thus reduce GEM flux (Grigal 2003, Skyllberg et
6825 al. 2006, Yang et al. 2007). Microbial activity in soil and increasing soil pH may promote GEM flux by
6826 Hg(II) reduction (Fritsche et al. 2008, Choi and Holsen 2009, Yang et al. 2007). High ambient air GEM
6827 concentrations were reported to reduce GEM flux by reducing Hg(0) concentration gradient and thus
6828 deposition is dominated despite influence of other factors (Xin and Gustin 2007, Bash and Miller 2007,
6829 Wang et al. 2007, Zhu et al. 2016). Flux measured from background soils was between -51.7 to 97.8 with
6830 mean of $2.1 \text{ ng m}^{-2}\text{h}^{-1}$ (Zhu et al. 2016 and references therein).

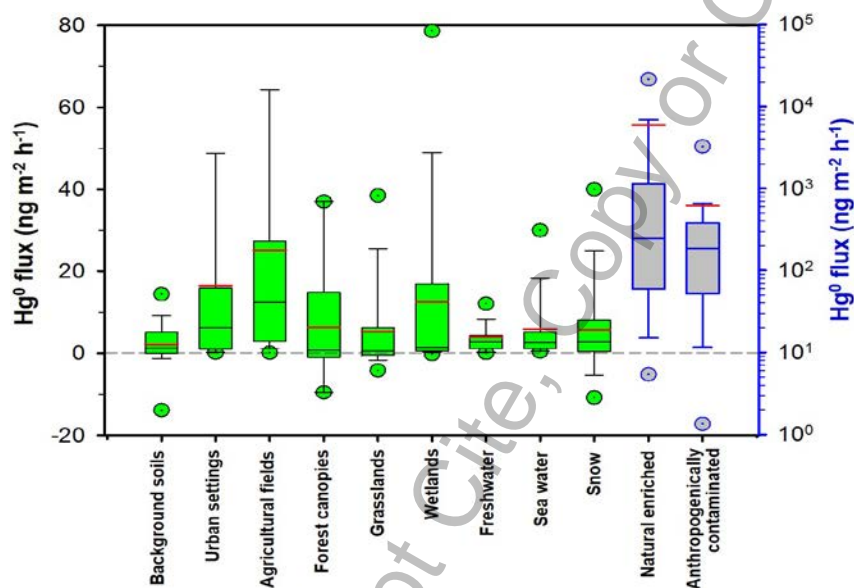
6831 Vegetation is changing environmental factors at ground surfaces by reducing solar radiation,
6832 temperature, wind velocity (Gustin et al. 2004), and serve as surface for Hg uptake (Zhu et al. 2016).
6833 Deforestation can increase GEM emissions due to higher floor irradiation and temperature (Zhu et al.
6834 2016, Carpi et al. 2014, Mazur et al. 2014). Recent measurements showed that GEM exchange flux

6835 between plants and air is bidirectional and that growing plants acts as a net sink (Ericksen et al. 2003,
6836 Stamenković et al. 2008, Hartman et al. 2009, Zhu et al. 2016). Most fluxes measured in forest foliage
6837 and grasslands were between -9.6 and 37 (6.3), and -19 to 41 (5.5) $\text{ng m}^{-2}\text{h}^{-1}$, respectively (Zhu et al.
6838 2016 and references therein).

6839 Air-snow exchange fluxes were mostly investigated in polar regions. During AMDEs air GEM is oxidized
6840 and deposited in snow as GOM and PBM which can be rapidly volatilized back to atmosphere by
6841 photochemical reduction on snow or in melted snow (Dommergue et al. 2003, Fain et al. 2007, Kirk et al.
6842 2006). Photo-reduction was found to be predominant factor for re-emission from snow and was linearly
6843 correlated to UV intensity (Lalonde et al. 2002, Mann et al. 2015). Important factor controlling snow-air
6844 fluxes is temperature also by changing solid-liquid water ratio (Mann et al. 2015). Similar factors as in
6845 polar regions control snow-air Hg exchange in temperate regions (Maxwell et al. 2013). Measured fluxes
6846 from snowpack are within same range reported for vegetation cover and were between -10.8 to 40 ng
6847 $\text{m}^{-2}\text{h}^{-1}$ with mean of 5.7 $\text{ng m}^{-2}\text{h}^{-1}$ (Zhu et al. 2016 and references therein).

6848 Polar air-sea water exchange of elemental mercury was for the first time measured continuously in the
6849 remote seas of western Antarctica. The measurements were performed during winter and spring (2013)
6850 in the Weddell Sea and during summer (2010/2011) in the Bellingshausen, Amundsen and Ross Seas,
6851 and show spatial and seasonal variations. The average DGM concentration in surface water in open sea
6852 was highest during spring ($12 \pm 7 \text{pgL}^{-1}$) and lowest during summer ($7 \pm 6.8 \text{pgL}^{-1}$), resulting in a net evasion
6853 of mercury during spring ($1.1 \pm 1.6 \text{ngm}^{-2} \text{h}^{-1}$) and a net deposition during summer ($-0.2 \pm 1.3 \text{ngm}^{-2} \text{h}^{-1}$).
6854 In open sea, higher average concentrations of GEM (or TGM) and DGM were found close to the Drake
6855 Passage compared to in the Bellingshausen and Weddell Seas. Emission sources from the South
6856 American continent, identified with back trajectories, were suggested to explain the observed
6857 variations. The yearly mercury evasion from open sea surfaces in the Southern Ocean was estimated to
6858 30 (-450-1700) tons, using the average (and min and max) flux rates obtained in this study. Higher DGM
6859 was measured under sea ice ($19\text{-}62 \text{pgL}^{-1}$) compared to in open sea due to a capsuling effect, resulting in
6860 a theoretical prevented evasion of 520 (0-3400) tons per year. Diminishing sea ice and higher water
6861 temperatures in polar regions could result in increased mercury evasion to the atmosphere. However,
6862 the contribution of the Southern Ocean to the global modelled annual emissions of mercury from sea
6863 surfaces would probably only be a few percent. (Nerentorp et al 2017).

6864 Hg evasion from contaminated or naturally enrich soils was recognized as important input to regional
 6865 and global budget (Ferrara et al. 1998, Kotnik et al. 2005). The average evasion flux over urbanized areas
 6866 and agricultural fields is 5 to 10 times higher than over background soils (Zhu et al. 2016). Measured Hg
 6867 exchange fluxes over natural enriched surfaces were reported to be 5.5 to 239 (5.6) $\mu\text{g m}^{-2}\text{h}^{-1}$, and from
 6868 anthropogenically contaminated sites 0.001 to 14 (0.6) $\mu\text{g m}^{-2}\text{h}^{-1}$ (Zhu et al. 2016 and references
 6869 therein).



6870
 6871
 6872 **Figure 12:** Box and whisker plots of global field-observed GEM fluxes obtained from various
 6873 landscapes. The two box horizontal border lines indicate 25th and 75th percentiles, whiskers represent
 6874 10th and 90th percentiles and outliers (green circles) indicate 5th and 95th percentiles from bottom to top.
 6875 Red line and black line indicate mean and median flux. Figure from [Zhu et al. 2016](#).

6876
 6877
 6878 Fluxes from soils, mines and snow surfaces, where GEM can be formed due to photoreduction, are
 6879 typically higher during daytime as during nighttime (Zhu et al. 2016). Higher evasion flux was observed
 6880 during warm than cold seasons from different soils and enriched surfaces (Zhu et al. 2016). Hg fluxes
 6881 measurements over soil, vegetation or snow covered surfaces were consistently higher in E Asia than
 6882 those measured in Europe, N and S America, Australia and S Africa. This is explained by higher
 6883 anthropogenic emissions and re-emissions of deposited Hg (Zhu et al. 2016 and references therein).

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6886 3.5 Existing data by new monitoring technologies and new 6887 methods

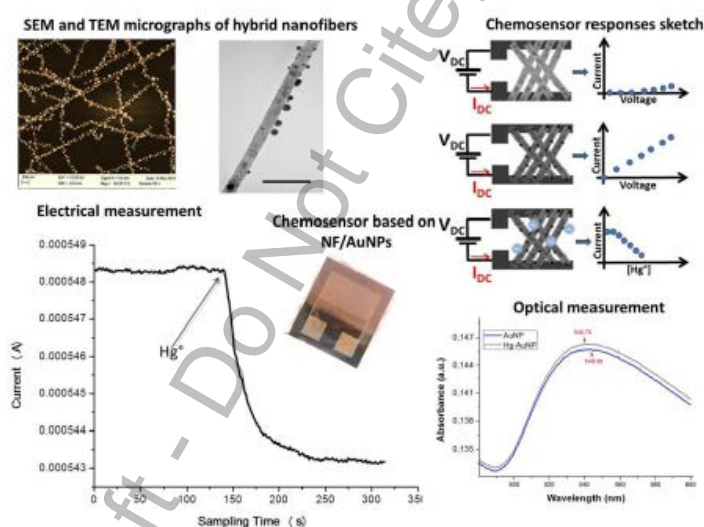
6888 Complex commercial instruments as well as sensors and sensing systems have been recently redesigned
6889 and improved by introducing innovative technologies. Thus, many sensors have been developed to detect
6890 the several forms of mercury making use of nanotechnology. Over the last 20 years, biomolecules,
6891 macromolecules, nanostructures (rods, tubes, fibres, particles, dots) and nanocomposite based systems
6892 have been found to be the most intriguing and effective detecting devices for mercury detection in several
6893 environmental compartments. Most of them have exploited the strong affinity between mercury and gold,
6894 others the affinity of mercury ions to specific biomolecules. The possibility to manipulate and investigate
6895 the features of the nanomaterials allowed the chance of fabricating selective and more sensitive tools. The
6896 Table 11 comprises some of the most recent technologies used to develop sensors and devices for
6897 mercury detection.

6898 **Table 11:** Recent technologies used to develop sensors and devices for mercury detection.

Sampling	Materials/device	Linearity range	LOD	Samples	Reference
Hg ions	CV-AAS +SDS-coated chromosorb P + 2-mercaptobenzoxazole	0.05-85.6 ngml ⁻¹ 0.09-9.6 ugml ⁻¹	0.01 ngml ⁻¹	Real samples in liquids	Ghaedi, M. et al., 2006, Anal Lett. 39 1171-1185
TGM-Continuous Emission Monitor	Catalysts to oxidize + polymer composites to absorb + chemicals to remove (CVA-AFS)	0.5-1900 ugml ⁻³	0.05 ugml ⁻³	Real samples	TEKRAN331OXi (www.tekran.com)
Optical sensors: Hg ²⁺ , FRET bio-sensor (gold nanoparticles-DNA)	Fluorescence quenching		40 nM	Water	Miyake, Y. et al., J. Am. Chem. Soc. 2006, 128, 2172-2173
Optical sensors: Hg ²⁺ , surface energy transfer probe-Rhodamine B-AuNPs	Fluorescence quenching		2 ppt	Buffer solution, water, river water, contaminated soil	Darbha, G.K., et al., ACS Nano 2007, 1, 208-214.
Optical sensor: surface-enhanced resonance Raman scattering (SERRS) sensor	structure-switching double stranded DNAs (dsDNAs)		100 pM	Aqueous solution	Kang T., et al. Chemistry. 2011 17(7):2211-4
Electrochemical sensors: Hg ²⁺ , array of 256 gold microelectrodes	anodic stripping voltammetry	5x10 ⁻⁸ -1x10 ⁻⁶ M	3.2 µg L ⁻¹ (16 nM)	Chloride media	Ordeig, O., et al., Electroanalysis 2006 18 573-578
FET sensors: Hg ²⁺	Thioglycolic acid (TGA)-functionalized -AuNPs-reduced graphene oxide		2.5x10 ⁻⁸ M	Aqueous solution	Chen, K., et al., Anal. Chem. 2012, 84, 4057-406

	(rGO)				
Colorimetric sensors: Hg ²⁺ (naked eye)	Au-nanorods/glass	2.0 µg l ⁻¹ to 0.58 mg l ⁻¹	1 µg l ⁻¹	Aqueous solution	Chemnasiri W., et al., Sens Actuat B 173 (2012) 322-328
LSPR (prediction model)	Au-nanorods (shift wavelength)		4.5 attograms (mass)	Hg ⁰ vapour	James J.Z., et al., Analyst 2013
Conductive sensors	CNT-AuNP		2 ppbv	Hg ⁰ vapour	McNicholas T.P., et al., J. Phys. Chem. C, 2011, 115 13927–13931
Conductive sensors	TiO ₂ NFs-AuNPs (tens of min)		2pptv	Hg ⁰ vapour	Macagnano A., et al. (a), Sensors and Actuators B 247 (2017) 957–967
Conductive sensors	TiO ₂ NF-AuNPs	20-100 ppbv	1.5 ppbv	Hg ⁰ vapour	Macagnano et al. (b), ACP 2017 (acp-2016-1077)
QCM sensor (AT cut quartz)	nanostructured gold electrode		2.5 ppbv	Hg ⁰ vapour	Kabir K.M., et al., Journal of Sensors 2015 ID 727432
Jerome® J405 Mercury Vapour Analyzer	gold thin film (750 ccmin-1)	0.5-999µg m ⁻³	0.5µg m ⁻³	Hg ⁰ vapour	www.azi.com

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Figure 13: Recent results about sensors based on electrospinning technology: nanofibers of titania doped with AuNPs to detect traces of elemental mercury in air (Macagnano *et al.*, 2017, a,b).

6906 However, given the uncertainty and restrictions associated with automated measurements, passive
 6907 sampling systems currently are a useful alternative for making regional and global estimates of air Hg
 6908 concentrations. Some passive samplers applied for Hg have been biological materials. Further passive
 6909 samplers have been designed using a variety of synthetic materials (like sulphur-impregnated carbon
 6910 (SIC), chlorine-impregnated carbon (CIC), bromine-impregnated carbon (BIC) gold-coated (GCS)

6911 sorbents, etc.) (Li, H. et al., 2017) and housings for Hg collection (McLagan et al., 2016). These latter
 6912 samplers work on the basis of diffusion. Additionally, surrogate surfaces have been developed for
 6913 passive measurement of Hg dry deposition. Most commercially available passive/diffusive samplers are
 6914 planar or axial in shape and offer lower sampling rates and limited sampling capacity. As a result,
 6915 sensitivity can suffer during short-term analysis (due to low sampling rates), or long-term sampling
 6916 (analyte back-diffusion due to low capacity). (Huang et al. 2014). Alternatively, radial samplers,
 6917 consisting of a columnar sorbent surrounded by a cylindrical diffusive barrier, have the purpose to
 6918 increase the sampling rate by maximizing the surface area across which diffusion occurs (Radiello®, Krol
 6919 et al., 2010). PASs have been designed with also external shields to protect the sampler components
 6920 from direct wind, sunlight, and precipitation and to reduce turbulent airflow. A collection of passive
 6921 samplers more recently developed has been reported in Table 12.

6922 **Table 12** – Passive and active samplers developed in recent years to measure TGM [ng m^{-3}] and GOM [pg
 6923 m^{-3}].

Target	Location	TGM GOM	Materials/sam- pler	Sampling rate (ml min^{-1})	Blank	DL (pg m^{-3})	Influences	Reference
TGM	Rural	i) 1-4 ii) 0.8-1.5	i) Gold coated plate ii) silver wire /radial sampler	i) 87 (lab); 51+/-19 (field); 260 theoretical ii) 20 (measured); 33 (theoretical)	i) ii) 80 pg	i) 90 (3 days) ii) 430 (3 days)		Gustin et al., Atmos. Environ., 2011, 45, 5805–5812
TGM	Industrial	25	Gold solution with LDPE/passive integrative mercury sampler (PIMS)	1.4	0.3 ng	2000 (4 weeks)		Brumbaugh et al., Chemospher e: Global Change Sci., 2000, 2, 1–9
TGM	Chamber	10	Gold coated tube/laboratory scale	57 (measured) 114 (theoretical)	0.02 ng	50 (2.8 days); 140 (1 day)	Wind speed	Skov et al., Environ. Chem., 2007, 4, 75– 80
TGM	Chamber, indoor, outdoor	2-3.5	Gold-coated silica/axial sampler	0.22 (measured) 0.32 (theoretical)		30% (uncertainty)		Brown et al., J. Environ. Monit., 2012, 14, 2456–2463
TGM	Industrial, suburban, rural	2-5.5	Sulphur- impregnated carbon/axial sampler	90		80 (30 days)	Wind speed	Zhang et al., Atmos. Environ., 2012, 47, 26–32.
TGM	Industrial	2	Gold-coated filter-cation exchange membrane/two- bowl sampler	460 (measured) 556 (theoretical)	0.17 ng	10 (3 days)	Wind speed; humidity	Huang et al., J. Environ. Monit., 2012, 14, 2976–2982
TGM	Chamber, indoor,	1.35–2.16 ng m^{-3}	Sulphur impregnated	0.158-0.121 $\text{m}^3\text{day}^{-1}$		11-12 months		Mc. Lagan D., et al.,

	outdoor	(indoor); d 1.17– 3.29 ng m ⁻³ (outdoor)	carbon sampler/radial in a protective shield	(indoor- outdoor)				Environ. Sci. Technol. Lett. 2016, 3, 24–29
GOM	Rural, suburban	DL-65	Cation- exchange membrane/mult iple configurations	0.7-3.2 (measured) 0.055 (theoretical)	0.27 ng to 0.68 ng	5 (2 weeks)	Wind speed	Lyman et al., Atmos. Environ., 2010, 44, 246–252
GOM	Remote	DL-67	Cation- exchange membrane/aero head configuration		0.56 ng	2.3 (2 weeks)	Wind speed	Wright et al., Science of The Total Environment , 2013, 470– 471, 1099– 1113
GOM	Industrial, suburban, rural	DL-35	Cation- exchange membrane/ two-bowl sampler	1042 (measured) 486 (theoretical)	0.02- 0.04 ng	3 (3 days)	Wind speed; humidity	Huang et al., J. Environ. Monit., 2012, 14, 2976–2982

6924

6925 3.6 Conclusions

6926 **To be completed with key highlights on:**

- 6927
- 6928 • Regional distributions / gradients and time trends
 - 6929 • Gaps in air monitoring spatial coverage
 - 6930 • Limitation of current methods/technology for Hg monitoring in ambient air and fluxes
 - 6931 • The need to foster the development of advanced sensor technology for monitoring mercury concentrations in ambient air, deposition fluxes and gaseous mercury evasions.

6932 In order to come up with a feasible and sustainable strategy for long-term monitoring of Hg in air it is
6933 necessary to promote a close cooperation between existing monitoring networks (national, regional,
6934 global) with the aims:

- 6935 • To ensure sustainability of a long-term monitoring program covering both hemispheres
- 6936 • To assure comparability among different monitoring data sets by promoting the adoption of
6937 common SOPs and QA/QC criteria/methods
- 6938 • To promote intercomparison experiments for testing and validating new methods and
6939 technologies for mercury monitoring
- 6940 • To support Nations in developing their own monitoring programs by promoting a continuous
6941 capacity building and transfer of knowledge program in cooperation with UN Environment.

6942 Many experiences already done in past years in the framework of different programs and
6943 projects may be of great help in the future.

6944

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6945 **3.7 References**

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Note to reader

This draft version of Chapter 4 in the Technical Background Report to the Global Mercury Assessment 2018 is made available for review by national representatives and experts. The draft version contains material that will be further refined and elaborated after the review process. Specific items where the content of this draft chapter will be further improved and modified are:

1. Modelling results based on the new GMA 2018 emissions inventory will be added and sent out for separate review.
2. Introduction will be updated in line with the new modelling results.
3. Internal references to other parts of the GMA report will be updated.
4. Conclusions and main messages will be finalised.

GMA 2018 Draft Chapter 4 Atmospheric Pathways, transport and fate. Oleg Travnikov, Johannes Bieser, Mark Cohen, Ashu Dastoor, Ian Hedgercock, Che-Jen Lin, Noelle Selin and Xun Wang.

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8047 **Chapter 4 Atmospheric pathways**

8048 **4.1. Introduction**

8049 Mercury (Hg) has a long environmental lifetime and cycles between the atmosphere, ocean, and
8050 land. Mercury released to the atmosphere can travel globally: it undergoes atmospheric redox
8051 reactions, deposits to the Earth's surface, and can continue to cycle between surface and
8052 atmosphere for decades to centuries. Using a combination of models and measurement, work since
8053 GMA 2013 has addressed aspects of Hg's transport and fate, including emissions, atmospheric
8054 chemistry, removal processes, modelling, and historical trends. In addition, a number of other
8055 studies have provided additional insights into regional and local mercury cycling.

8056 *Emissions and their speciation:* The emergence of new regional and global emissions inventories
8057 provide alternatives to the UNEP/AMAP inventories for the present day as well as new historical
8058 estimates. Uncertainties remain in quantifying emissions, particularly from certain regions and
8059 sectors and in mercury speciation.

8060 *Atmospheric chemistry:* New information has solidified our knowledge about mercury oxidation
8061 reactions, including the primary importance of Br chemistry in mercury oxidation. Models including
8062 these reactions have shorter mercury lifetimes, and can reproduce some free tropospheric
8063 observations. Recent model intercomparisons have shown that there remain challenges in
8064 reproducing observed concentrations and patterns in several areas. In particular, uncertainty
8065 remains in atmospheric speciation (Jaffe et al., 2014), the potential importance of heterogeneous
8066 chemistry (Ariya et al, 2015), and the mechanism and rate of atmospheric reduction reactions (de
8067 Foy et al., 2016).

8068 *Removal Processes:* Wet deposition measurement-model comparisons, in particular in convective
8069 storms, have provided insight into the vertical distribution of mercury in the troposphere as well as
8070 oxidation processes. Dry deposition remains more poorly quantified than wet deposition, and there
8071 remains disagreement among models on its global magnitude. New measurement analyses of dry
8072 deposition have shown the importance of gaseous elemental mercury uptake into the terrestrial
8073 environment, in addition to deposition of oxidized mercury forms.

8074 *Mercury modelling:* Recent model development has advanced our ability to simulate Hg transport in
8075 the atmosphere between different geographical regions and account for multi-media cycling of Hg,
8076 including the importance of legacy Hg (this paragraph will be updated based on new simulation
8077 results).

8078 Historical trends and future scenarios: Recent declines have been observed in both atmospheric
8079 mercury and wet deposition, on the order of 1-2% per year, that differ by region. Some modelling
8080 studies have reproduced these trends, attributing some regional variations to declines in emissions.
8081 Observed trends, however, are small compared with uncertainties in surface-atmosphere fluxes,
8082 anthropogenic sources, and attributable fraction. Future changes under policy scenarios could
8083 reduce mercury deposition in the future; however, the influence of climate change and legacy
8084 mercury complicates our ability to assess these potential future changes in models.

8085 **4.2. Atmospheric processes**

8086 In GMA 2013 the atmospheric chemistry section focussed on emission speciation, atmospheric Hg
8087 redox chemistry, the processes governing the exchange of Hg at environmental interfaces and
8088 atmospheric Hg dynamics. Since then progress has been made in all key areas of interest regarding
8089 atmospheric Hg chemistry and also in some which were not included, although it may seem that
8090 more questions have been posed than have been answered. Atmospheric Hg processes have been
8091 studied or inferred using theoretical, experimental, monitoring and modelling techniques and more
8092 often than not a combination of these. The chemical nature of atmospheric Hg, whether it is
8093 elemental, oxidised or bound (tightly or loosely) to atmospheric particulate matter, and its
8094 interconversion between these forms, continues to pose a challenge for the emission inventory,
8095 modelling and measurement communities alike.

8096 **4.2.1. Emissions and their speciation**

8097 Other estimates in addition to the AMAP/UNEP emission inventories of 2008 and 2013 (AMAP/UNEP,
8098 2008; 2013) are now available. An alternative global anthropogenic emission inventory is available
8099 from 1970 (Muntean *et al.*, 2014) which uses different approaches to determine emissions from
8100 anthropogenic activity sectors, and differs in both Hg emissions total and speciation from the
8101 AMAP/UNEP. All-time emissions to the atmosphere have been also developed in combination with
8102 estimates of releases (Streets *et al.*, 2011; 2017). There have also been improvements to regional
8103 inventories (Rafaj *et al.*, 2014; Wu *et al.*, 2016), to estimates of historical and legacy emissions (Amos
8104 *et al.*, 2013; 2015), and also the contribution made by the past and current use of Hg in commercial
8105 products (Horowitz *et al.*, 2014). A modelling comparison of the influence of using different global
8106 emission inventories on worldwide Hg deposition fields was performed recently (De Simone *et al.*,
8107 2016).

8108 The importance of accurate emission inventories and how their uncertainty relates to the
8109 implementation of the Minamata Convention has been discussed in Kwon and Selin (2016). The
8110 observed decrease in atmospheric Hg species concentrations (e.g. Zhou *et al.*, 2016) has led to some

8111 authors to call into question the accuracy of current emission inventories, particularly in their
8112 estimation of European and North American sources (*Zhang et al.*, 2016), and suggest that there has
8113 been a 20% decrease in total Hg emissions between 1990 and 2010, with a 30% decrease in
8114 elemental Hg.

8115 Since GMA 2013 the discussion of emission speciation has continued. Regional and global modelling
8116 studies have also called into question the speciation in emission inventories for specific areas (*Kos et*
8117 *al.*, 2013; *Beiser et al.*, 2014; *Zhang et al.*, 2015). The partitioning of Hg(II) compounds between the
8118 gas and particulate phases is still difficult to determine. In part this is due to the lack of information
8119 concerning the Hg(II) chemical species present in the atmosphere, but also because of the vast range
8120 of particulate chemical composition. *Ariya et al.* (2015) discuss in some detail heterogeneous
8121 reactions of Hg and also interactions between Hg and fly ash which is particularly important in
8122 combustion processes leading to Hg emissions.

8123 **4.2.2. Atmospheric chemistry**

8124 Atmospheric redox reactions can occur homogeneously in the gas and aqueous phase, and
8125 heterogeneously on the surface of fog/cloud droplets and atmospheric particulate matter. At first
8126 sight the homogeneous reactions would appear to be straightforward, while it is clear that the
8127 heterogeneous reactions may be somewhat more complex to study due to the very wide range of
8128 composition of the surfaces at which reactions may take place. A recent review by *Ariya et al.* (2015)
8129 provides an in depth overview of Hg reactions and transformations in environmental media.

8130 Perhaps the major obstacle to understanding the processes by which Hg is oxidised, reduced,
8131 adsorbed and desorbed in the atmosphere and both in and on atmospheric particles is the fact that
8132 the nature of the oxidised Hg compounds in the atmosphere remains uncertain. While it seems clear
8133 that O₃, OH, and Br are all implicated in the oxidation of atmospheric Hg, the precise nature of the
8134 reactions occurring and identity (and phase) of the products remains the subject of speculation.
8135 Recent theoretical studies have given further insight into the Br initiated oxidation of Hg; this
8136 reaction proceeds via an unstable HgBr* intermediate which may react further or decompose back to
8137 Hg and Br (*Goodsite et al.*, 2004, 2012). A series of theoretical studies have investigated the
8138 possibility, or not, that HgBr* may react with a series of small atmospheric compounds (*Dibble et al.*,
8139 2012, 2013, 2014; *Jiao and Dibble*, 2015; 2017) and also recently with VOCs (*Dibble and Schwid*,
8140 2017). It now seems likely that the HgBr* intermediate may react further with the relatively abundant
8141 radicals HO₂ and NO₂. Meanwhile the likelihood that elemental Hg is oxidised by molecular halogens
8142 has been shown to be improbable and that oxidation to Hg halides requires either halogen atom
8143 initiation or the presence of a reactive surface (*Auzmendi-Murua et al.*, 2014).

8144 Considering only oxidation reactions can lead to atmospheric lifetimes for elemental Hg which
8145 cannot be reconciled with its global distribution and relatively uniform background hemispheric
8146 concentrations. Given the experimental and observational (in particular the rapid depletion of
8147 elemental Hg concentrations seen during AMDEs) evidence as well as the collaborative results from
8148 modelling studies that point to an atmospheric lifetime against oxidation of less than 3 months based
8149 on two recent model studies (*Shah et al., 2016; Horowitz et al., 2017*). This is shorter than the
8150 estimated overall lifetime of around 12 months (*Schroeder and Munthe, 1998*). There is, therefore,
8151 likely to be Hg reduction taking place in the atmosphere, and over the years a number of
8152 mechanisms have been suggested, most of which have involved the atmospheric aqueous phase,
8153 cloud and fog droplets and deliquesced aerosols, as the reaction medium. A thorough discussion of
8154 possible reduction pathways can be found in *Ariya et al. (2015)*. Most recently it has been suggested
8155 that atmospheric reduction occurs in cloud droplets via the photo-reduction of organic Hg
8156 compounds, and a model study using modelled organic aerosol concentrations as an indicator of
8157 organic Hg compound concentrations (*Horowitz et al., 2017*), based a regional modelling study which
8158 included the reduction of Hg(II) by dicarboxylic acids (*Bash et al., 2014*). However, it should be
8159 pointed out that the rate of reduction in global models is largely tuned to reproduce observed Hg
8160 species concentrations.

8161 Further information concerning Hg oxidation at different levels in the atmosphere has been obtained
8162 as a result of the increasing amount of observational data available from high-altitude measurement
8163 sites, observations combined with modelling can help determine which Hg atmospheric oxidation
8164 pathways are more or less likely (see for example *Weiss-Penzias et al., 2015*). In this study it was
8165 found that in one high Hg(II) free tropospheric event there was almost quantitative oxidation of
8166 Hg(0) to Hg(II). Interestingly a better model reproduction of the observations (using the GEOS-Chem
8167 model) was found when employing the O₃/OH rather than the HgBr* oxidation scheme. However, it
8168 should be pointed out that was not the most recent HgBr* scheme as described in (*Horowitz et al.,*
8169 *2017*). Recent model-measurement comparisons have shown episodes of high oxidized mercury
8170 concentrations that can be explained by Br oxidation (*Coburn et al., 2016; Gratz et al., 2015*), and
8171 that this is consistent with constraints from global biogeochemical cycling (*Shah et al., 2016*). These
8172 studies collectively show that measurements in free tropospheric air can significantly aid
8173 understanding of the atmospheric chemistry and dynamics of Hg. Uncertainties in measuring
8174 oxidized mercury (*Jaffe et al., 2014*), however, challenge our ability to further advance model-
8175 measurement comparison of mercury species (*Gustin et al., 2015*).

8176 *Kos et al.* (2013) performed a detailed analysis of the uncertainties associated with Hg(II)
8177 measurement and modelling. A number of model sensitivity runs were carried out to evaluate
8178 different chemical mechanisms and speciation of anthropogenic Hg emissions. In particular, they
8179 found evident inconsistencies between the emission speciation in existing emission inventories and
8180 the measured Hg(II) concentration in surface air. Besides, the OH oxidation chemistry provided
8181 better agreement with observations at simulation of the seasonal cycle of wet deposition in North
8182 America.

8183 A complex analysis of the major Hg oxidation mechanisms was carried out by *Travnikov et al.* (2017)
8184 involving both measured data from ground-based sites and simulation results from four global
8185 chemical transport models. It was shown that the Br oxidation mechanism can reproduce
8186 successfully the observed seasonal variation of the Hg(II)/Hg(0) ratio in the near-surface air, but it
8187 predicts a wet deposition maximum in spring instead of in summer as observed at monitoring sites in
8188 North America and Europe. Model runs with OH chemistry correctly simulate both the periods of
8189 maximum and minimum values and the amplitude of observed seasonal variation but shift the
8190 maximum Hg(II)/Hg(0) ratios from spring to summer. O₃ chemistry does not predict significant
8191 seasonal variation of Hg oxidation. The possibility of more complex chemistry and/or multiple Hg
8192 oxidation pathways occurring concurrently in various parts of the atmosphere was suggested.

8193 *Bieser et al.* (2017) used the same model ensemble and variety of aircraft observations to study
8194 vertical and hemispheric distributions of atmospheric Hg. They also found that different chemical
8195 mechanisms were better at reproducing observed Hg(II) patterns depending on altitude. Increased
8196 Hg(II) concentrations above the planetary boundary layer in spring and summer could only be
8197 reproduced by models using O₃ and OH chemistry. On the other hand, the Br oxidation mechanism
8198 allowed to better agreement with observed intercontinental gradients of total Hg in the upper
8199 troposphere.

8200 **4.2.3. Removal process**

8201 Hg removal from the atmosphere occurs via wet and dry deposition. Studies of Hg deposition are
8202 providing insights into atmospheric oxidation through the study of Hg in precipitation according to
8203 precipitation type (*Dvonch et al., 2005; Holmes et al., 2016; Kaulfus et al., 2017*). These studies show
8204 that precipitation system morphology influences Hg deposition, with convective systems showing
8205 enhanced Hg deposition by a factor of 1.6, season and region also influence the deposition. However,
8206 the nature of the precipitation system is of interest as convective systems scavenge Hg from much
8207 higher than stratiform systems. Thus indirectly these studies provide information regarding the
8208 atmospheric oxidation of Hg because the scavenging height of different cloud types differ

8209 significantly and combined with information on the vertical distribution of potential Hg oxidants,
8210 modelling studies can help to evaluate possible, probable and unlikely oxidation mechanisms at
8211 varying levels in the troposphere. This does of course require the models to more or less accurately
8212 reproduce precipitation system morphologies. More sites measuring Hg in precipitation would clearly
8213 help estimate ecosystem deposition fluxes and refine models.

8214 *Nair et al. (2013)* carried out cloud-resolving simulations of Hg wet deposition processes in several
8215 case studies in the Northeastern and Southeastern U.S. This study is of particular interest as many
8216 modelling simulations have tended to underpredict Hg wet deposition in the Southeastern U.S. It was
8217 found that wet deposition in typical Northeastern thunderstorms would generally be less than
8218 comparable storms in the Southeast – assuming identical atmospheric concentrations of Hg – due to
8219 difference in typical cloud dynamics between the two regions. In addition, it was found that
8220 stratiform precipitation typically only scavenges Hg from the lowest ~4 km of the atmosphere, while
8221 Southeastern thunderstorms can scavenge Hg up to ~10 km.

8222 In another wet deposition process analysis, apparent scavenging ratios, based on ground-level
8223 measurements of speciated air concentrations of Hg and total Hg in precipitation, were studied at
8224 four sites in the Northeastern U.S. (*Huang et al., 2013*). While the use of ground-based
8225 measurements introduced inherent uncertainties, the authors suggested that GOM concentrations
8226 may be underestimated by current measurements, as scavenging ratios based on existing GOM
8227 measurements appeared anomalously high.

8228 Several studies investigated Hg dry deposition processes. *Zhang et al. (2012)* compared CMAQ and
8229 GRAHM modelled dry deposition against field measurements in the Great Lakes region for 2002 and
8230 in some cases, for 2005. Dry deposition from the different models varied by as much as a factor of 2
8231 at regional scales, and larger variations were found at local scales. The authors suggested that the
8232 model-estimated dry deposition values were upper estimates given the tendency of the models to
8233 produce atmospheric concentrations of GOM and PBM significantly greater than measured
8234 concentrations. Following a proposed methodology to estimate bidirectional GEM surface exchange
8235 (*Wright and Zhang, 2015*) dry deposition of Hg was estimated at 24 measurement sites in the U.S.
8236 and Canada (*Zhang et al., 2016*). In this analysis, the dry deposition flux of GEM was estimated to be
8237 significantly larger than that of GOM or PBM at most of the sites. Importance of GEM dry deposition
8238 was also supported by *Obrist et al. (2017)* who showed that most of the Hg (about 70%) in the
8239 interior Arctic tundra is derived from GEM deposition, with only minor contributions from the
8240 deposition of Hg(II) from precipitation or AMDEs. Additional work is required to reconcile these
8241 results with those of many fate-and-transport models (e.g., *Selin et al., 2007; Holmes et al., 2010; Lei*

8242 *et al.*, 2013; *Song et al.*, 2015; *Cohen et al.*, 2016) and estimates based on field measurement surveys
8243 (e.g., *Pirrone et al.*, 2010; *Denkenberger et al.*, 2012; *Eckley et al.*, 2016) that suggest that the overall
8244 net flux of GEM from terrestrial surfaces is upward.

8245 Another aspect of Hg removal from the atmosphere has been studied by a number of groups and is
8246 the subject of ongoing monitoring, and that is the deposition of Hg via litterfall, forest canopies seem
8247 to be effective sinks for both particulate and oxidised Hg (*Fu et al.*, 2016, *Wang et al.*, 2016, *Wright et*
8248 *al.* 2016).

8249 **4.3. Global mercury atmospheric transport and fate modelling**

8250 **4.3.1. Overview of recent modelling studies**

8251 Since GMA 2013 and GMA Update 2015 a number of modelling studies have addressed the problem
8252 of Hg dispersion and fate on a global scale. Global chemical transport models were used for
8253 simulations of Hg atmospheric transport, source apportionment of Hg deposition in various
8254 geographical regions, and study of processes governing Hg cycling in the atmosphere.

8255 Transport and deposition of Hg on a global scale was studied with the global climate-chemistry
8256 model CAM-Chem/Hg for current (*Lei et al.*, 2013) and future (*Lei et al.*, 2014) conditions. The model
8257 generally reproduced global observed TGM levels but overestimated concentrations in South Africa
8258 which was explained by the effect of emissions. The analysis also revealed predominant influence of
8259 precipitation on the wet deposition pattern. Sensitivity experiments showed that around 22% of total
8260 Hg deposition in the United States resulted from domestic anthropogenic sources, and only 9% was
8261 contributed by transpacific transport from Asia.

8262 A newly developed global nested GNAQPMS-Hg model was applied for simulations of Hg
8263 concentration and deposition levels and evaluation of trans-boundary transport of Chinese
8264 anthropogenic emissions (*Chen et al.*, 2015). It was shown that Hg emitted from Chinese sources
8265 accounts for 62% of total deposition over the country. Contribution of Chinese anthropogenic
8266 emissions to deposition over neighbouring regions varies from 15.2% for the Korean Peninsula to
8267 5.9% for Japan. However, for remote regions, such as North America and Europe, the contributions
8268 from China do not exceed 5%.

8269 *Dastoor et al.* (2015) analysed the sources of Hg in the Canadian Arctic with the GRAHM chemical
8270 transport model. They found that the total contribution from Hg emissions originating in East Asia to
8271 annual Hg deposition in Canadian Arctic (26 to 28%) is more than twice that of the next biggest
8272 contributors, the U.S. (7 to 9%) and Europe (6 to 7%), in 2005. Global anthropogenic emissions,

8273 terrestrial emissions, and oceanic emissions were simulated to contribute approximately 30%, 40%
8274 and 30% of Hg deposition in the Canadian Arctic, respectively.

8275 A comprehensive analysis of source-receptor relationships of Hg concentration and deposition on a
8276 global scale was performed by *Chen et al.* (2014) using the global GEOS-Chem model. It was found
8277 that global natural sources are the main contributors for Hg deposition over all regions except East
8278 Asia. Deposition over East Asia is dominated by anthropogenic emissions with relative contribution of
8279 domestic sources of 50%. Besides, 16% of Hg deposition over North America originates from East
8280 Asia, indicating that transpacific transport of East Asian emissions is the major foreign source of Hg
8281 deposition in North America. Europe, Southeast Asia, and the Indian subcontinent also make
8282 significant contributions to Hg deposition in some receptor regions.

8283 GEOS-Chem was also used by Song et al. (2015) for inverse modelling aimed at constraining present-
8284 day atmospheric Hg emissions and relevant physiochemical parameters. Based on the inversion
8285 results the authors updated the global estimate of Hg emission from the ocean and terrestrial
8286 ecosystems as well as anthropogenic emissions from Asian sources. Re-evaluation of the Hg long-
8287 term global biogeochemical cycle showed that legacy Hg becomes more likely to reside in the
8288 terrestrial ecosystems than in the ocean. The same model was used by *Shah et al.* (2016) to interpret
8289 aircraft measurements and to place new constraints on Hg chemistry in the free troposphere. They
8290 found that standard model simulations significantly underestimated observed reactive Hg and that
8291 use of faster oxidation mechanism could improve agreement with observations. Recently, the GEOS-
8292 Chem model was updated by implementing a new mechanism for atmospheric Hg redox chemistry to
8293 gain new insights into the global Hg budget and the patterns of Hg deposition (*Horowitz et al.*, 2017).

8294 A new global, Eulerian version of the HYSPLIT-Hg model was applied to simulate global atmospheric
8295 transport and deposition of Hg to the Great Lakes (*Cohen et al.*, 2016). The objective of the study was
8296 to estimate the amount and source-attribution for atmospheric Hg deposition to each lake,
8297 information needed to prioritize amelioration efforts. As shown, the contribution of U.S. direct
8298 anthropogenic emissions to total Hg deposition varied from 46% to 11% for different lakes. On
8299 average, the U.S. was the largest contributor for Hg deposition to the Great lakes, followed by China,
8300 contributing 25% and 6%, respectively. The results of the study also illustrated the importance of
8301 atmospheric chemistry, emissions strength, speciation, and proximity, to the amount and source-
8302 attribution of Hg deposition.

8303 A number of modelling studies were performed with the global ECHMERIT model addressing impacts
8304 of different Hg oxidation mechanisms on the model performance when simulating Hg concentration

8305 and deposition patterns (*De Simone et al., 2014*), contribution of biomass burning to Hg deposition
8306 worldwide (*De Simone et al., 2015*), and uncertainties associated with utilizing different global Hg
8307 emissions inventories for simulations of Hg atmospheric dispersion (*De Simone et al., 2016*). In
8308 particular, it was found that the net effect of biomass burning is to liberate Hg from lower latitudes
8309 and disperse it towards higher latitudes where it is eventually deposited. Anthropogenic Hg
8310 emissions contribute 20-25% to present-day Hg deposition and roughly two-thirds of primary
8311 anthropogenic Hg is deposited to the world's ocean.

8312 An ensemble of four global chemical transport models for Hg (GLEMOS, GEOS-Chem, GEM-MACH-Hg,
8313 and ECHMERIT) was recently used in a series of modelling studies focused on assessment of Hg levels
8314 over the globe and evaluation of model performance in different geographical regions (*Angot et al.,*
8315 *2016; Travnikov et al., 2017; Bieser et al., 2017*). *Travnikov et al. (2017)* performed analysis of spatial
8316 and temporal variations of Hg surface concentrations and deposition fluxes as well as key processes
8317 governing Hg dispersion in the atmosphere. Vertical and interhemispheric distributions and
8318 speciation of Hg from the planetary boundary layer to the lower stratosphere were studied by *Bieser*
8319 *et al. (2017)*. *Angot et al. (2016)* provided a combined analysis of the model simulations and
8320 atmospheric Hg monitoring data in the Arctic and Antarctica.

8321 Many of the above mentioned modelling studies were focussed on assessing source-receptor
8322 relationships i.e. how emissions in one region or country contribute to deposition in others. These
8323 assessments are based on emission inventories describing current anthropogenic emissions. All
8324 models also include estimates of emissions from natural surfaces. These emissions are a mixture of
8325 natural emissions and re-emissions of anthropogenic Hg previously emitted from anthropogenic
8326 activities in previous years. The anthropogenic contributions to the re-emissions from natural
8327 surfaces can originate from both recent deposition (e.g. within 10 years) but also contains
8328 contributions from considerably longer time periods (decades to centuries). The percentage
8329 contribution of deposition from one region to the other represents the current anthropogenic
8330 emissions only.

8331 GMA 2013 noted that multi-compartment modelling of Hg, taking into account the dynamic coupling
8332 of atmospheric Hg with the upper ocean and parts of the lithosphere, had advanced over the
8333 previous four to five years (*Selin et al., 2008; Sunderland et al., 2009; Smith-Downey et al., 2010*). Of
8334 the models used in the 2015 update, two (GLEMOS and GMHG) were mainly atmospheric models,
8335 and one (GEOS-Chem) simulated dynamic cycling. Recent work has advanced observational
8336 constraints on Hg biogeochemical cycling, using worldwide measurements (*Agnan et al., 2016;*
8337 *Lamborg et al., 2014; Wang et al., 2016*) as well as inverse modelling (*Song et al., 2015*). A key

8338 conclusion of these studies, taken together, is that legacy emissions from land may be smaller than
8339 previously assumed in global models. Further multimedia analyses have added to our understanding
8340 of the anthropogenic enrichment of the global biogeochemical cycle of Hg (*Amos et al.*, 2015), and in
8341 particular the role of legacy Hg. See also discussion below in Section 4.4.

8342 **4.3.2. Mercury atmospheric loads to terrestrial and aquatic regions**

8343 (To be added based on new simulation results)

8344 **4.3.3. Source apportionment of mercury deposition**

8345 (To be added based on new simulation results)

8346 **4.3.4. Contribution of different emission sectors to mercury deposition**

8347 (To be added based on new simulation results)

8348 **4.4. Historical trends and future scenarios**

8349 Evaluation of historical changes of Hg atmospheric concentration and deposition to other
8350 environmental media is important because it helps understanding how legacy of previous
8351 anthropogenic emissions affects the present-day Hg pollution levels and future environmental
8352 responses to expected emission control measures. Human disturbance of Hg natural cycling in the
8353 environment by mining and industrial activities led to significant enrichment of atmospheric Hg since
8354 pre-industrial times (e.g. *Fitzgerald et al.*, 1998; *Lindberg et al.*, 2007; *Biester et al.*, 2007). Recently,
8355 *Amos et al.* (2013; 2014; 2015) applied a multi-media box model coupling the atmosphere, ocean,
8356 and terrestrial reservoirs to reconstruct Hg historical cycling among the geochemical reservoirs on
8357 the millennium scale. They found that the present-day atmospheric deposition has increased by a
8358 factor of 2.6 from the preindustrial period (ca. 1850), which consistent with sediment archives.
8359 Moreover, all-time anthropogenic emissions (ca. since 2000 BC) have enriched the present-day Hg
8360 levels in the atmosphere, surface ocean, and deep ocean by factors of 7.5, 5.9, and 2.1, respectively,
8361 relative to natural conditions (*Amos et al.*, 2013). Besides, *Amos et al.* (2014) showed that accounting
8362 for the additional loss of Hg to ocean margin sediments suggests twice as large as the all-time
8363 relative enrichment in surface reservoirs. Both model simulations and natural archives provide
8364 evidence for peak atmospheric Hg concentrations during the second half of the 20th century and
8365 declines in more recent decades (*Amos et al.*, 2015).

8366 Changes of Hg atmospheric deposition over two last decades in different geographical regions were
8367 evaluated in a number of recent modelling studies. Long-term trends of Hg deposition in Europe
8368 were analysed within the framework of the Task Force on Measurements and Modelling under the
8369 EMEP Co-operative Programme for Monitoring and Evaluation of the Long-range Transmission of Air

8370 Pollutants in Europe (*Colette et al.*, 2016). According to the modelling results presented in the study
8371 Hg total deposition in the considered EMEP region (Europe and Central Asia) decreased on average
8372 by 23% during the period 1990-2012 (about $-1\% \text{ y}^{-1}$). However, the deposition trend was essentially
8373 non-linear with the rates of deposition reduction being higher at the beginning and lower at the end
8374 of the period. Besides, the deposition changes differ significantly between individual countries
8375 ranging from 70% decrease to 10% increase in some countries. Generally, the decrease of deposition
8376 was larger in the European Union (35% for the period 1990-2012 or $-1.5\% \text{ y}^{-1}$) than in other parts of
8377 the region. Similar rates ($-1.5 \pm 0.7\% \text{ y}^{-1}$) of Hg wet deposition reduction at a number of monitoring
8378 sites in Western Europe were simulated for the period 1996-2008 (*Muntean et al.*, 2014), which was
8379 twice as lower as the observed trend at these sites. *Zhang et al.* (2016) estimated somewhat steeper
8380 trend of Hg wet deposition in the same region ($-2.0 \pm 0.14\% \text{ y}^{-1}$). *Muntean et al.* (2014) estimated Hg
8381 wet deposition decline in North America as of $-2.4 \pm 0.7\% \text{ y}^{-1}$ for the period 1996-2008. *Zhang et al.*
8382 (2016) utilized an updated emissions inventory and obtained somewhat smaller decline $-1.4 \pm 0.1\% \text{ y}^{-1}$
8383 for longer period (1996-2013) in the same region.

8384 *Soerensen et al.* (2012) used a global Hg model (GEOS-Chem) that coupled the atmosphere, soil, and
8385 the surface ocean to analyse a long-term decline in Hg^0 concentration over the Northern Atlantic.
8386 They found that existing inventories of Hg anthropogenic emissions cannot explain substantial
8387 decrease of observed Hg^0 concentration ($-2.5\% \text{ y}^{-1}$) for the period 1990-2009 since significant
8388 emissions reduction in North America and Europe are balanced by the rise of Hg emissions in East
8389 Asia. The model application allowed attributing this decrease to reduction of Hg emissions from the
8390 ocean as a result of declining subsurface seawater Hg concentrations. It was hypothesized that the
8391 declining trend can be explained by decreased riverine and wastewater inputs at ocean margins
8392 (*Soerensen et al.*, 2012). However, later *Amos et al.* (2014) showed that the inputs of Hg to the North
8393 Atlantic from rivers also did not contribute substantially to these changes. On the other hand, *Zhang*
8394 *et al.* (2016) demonstrated that revised anthropogenic emissions can explain the observed decline in
8395 Hg concentration over the past two decades. Therefore, the model evaluation of long-term changes
8396 of Hg concentration and deposition levels highly depends on availability of reliable data on historical
8397 Hg emissions.

8398 Despite increases in global anthropogenic emissions over the past several decades (*Streets et al.*,
8399 2011), Arctic atmospheric Hg levels have decreased or remained constant (*Cole and Steffen*, 2010;
8400 *Cole et al.*, 2013, *Berg et al.* 2013). Implications of climate change related factors such as rise in air
8401 temperatures (particularly in spring) and reduced sea ice extent and thickness to the Hg levels in the

8402 Arctic ecosystems are complex and multidirectional (*Stern et al., 2012; Bekryaev et al., 2010;*
8403 *Cavalieri et al., 2012*).

8404 *Fisher et al. (2013)* investigated the factors controlling Hg(0) trends in the Arctic from 1979-2008
8405 using global historical anthropogenic emissions inventory of *Streets et al. (2011)* using GEOS-Chem.
8406 The model simulated a small increasing trend in Hg(0) concentrations over 30 years mainly reflecting
8407 the growth in emissions. Besides, the authors suggested that climate warming may lead to decreased
8408 fluxes of Hg from the atmosphere to the cryosphere and increased Hg(0) concentrations in the Arctic.
8409 *Chen et al. (2015)* extended the study by *Fisher et al. (2013)* to quantitatively determine the
8410 contributions of changes in environmental variables and anthropogenic emissions to Hg trends in the
8411 Arctic using anthropogenic emission inventories from AMAP/UNEP for the years 2000, 2005, and
8412 2010. In addition to confirming the results by *Fisher et al. (2013)* in spring and summer, the study
8413 found that decrease in Atlantic ocean evasion of Hg at lower latitudes contributed to the decrease in
8414 Hg(0) concentrations in the Arctic from November–March.

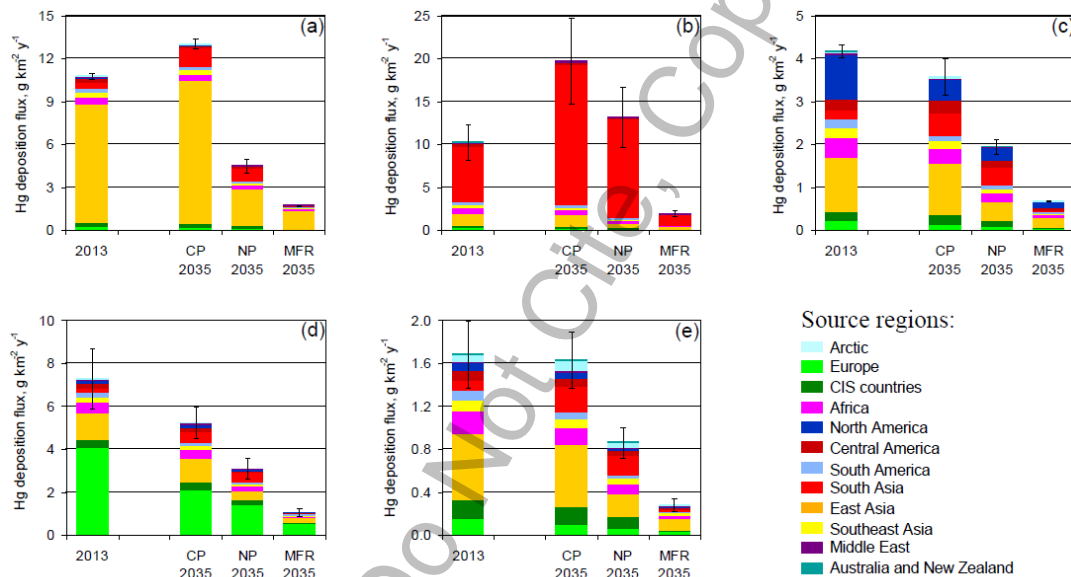
8415 *Dastoor et al. (2015)* assessed the impact of changing anthropogenic emissions and meteorology on
8416 Hg(0) concentrations and deposition in the Canadian Arctic from 1990-2005 using GEM-MACH-Hg
8417 and AMAP anthropogenic emissions (AMAP, 2011). Changes in meteorology and anthropogenic
8418 emissions were found to contribute equally to the decrease in surface air Hg(0) concentrations in the
8419 Canadian Arctic with an overall decline of ~12% from 1990-2005 in agreement with measurements at
8420 Alert (*Cole and Steffen, 2010; Cole et al., 2013*). In contrast, the model simulated 15% increase and
8421 5% decrease in net deposition in the High Arctic due to changes in meteorology and decline in
8422 emissions in North America and Europe, respectively, resulting in an overall increase of 10% in Hg
8423 deposition over a period of 1990-2005. Although the link between Hg deposition and lake sediment
8424 fluxes is not fully understood, an increase in deposition of Hg in the Arctic appears to be consistent
8425 with observed increases in Hg fluxes in some Arctic lake sediments in recent decades (*Goodsite et al.,*
8426 *2013*).

8427 Despite modelling differences, all studies suggested a dominant role of climate warming related
8428 changes in environmental factors on Hg trends in the Arctic. Current Hg models lack a complete
8429 representation of the complexity of climate sensitive Hg processes. Fully interactive atmosphere-
8430 land-ocean biogeochemical Hg models including detailed representation of sea-ice dynamics are
8431 required to close the gap in modelling results.

8432 Future changes of Hg atmospheric concentration and deposition to the ground as a result of changes
8433 in anthropogenic emissions, land use and land cover as well as climate change were also investigated

8434 in a number of modelling studies. *Pacyna et al.* (2016) used two chemical transport models (GLEMOS
 8435 and ECHMERIT) to evaluate future changes of Hg depositions in various geographical regions for
 8436 three anthropogenic emissions scenarios of 2035 (Fig. 1). The “Current Policy” scenario (CP 2035)
 8437 predicted a considerable decrease (20-30%) of Hg deposition in Europe and North America and
 8438 strong (up to 50 %) increase in South and East Asia. According to the “New Policy” scenario (NP 2035)
 8439 a moderate decrease in Hg deposition (20-30%) was predicted in all regions except for South Asia.
 8440 Model predictions based on the “Maximum Feasible Reduction” scenario (MFR 2035) demonstrated
 8441 consistent Hg deposition reduction on a global scale. It should be noted that the geogenic and legacy
 8442 sources were assumed to be unchanged in this study.

8443



8444

8445 **Figure 1.** Source apportionment of Hg deposition from direct anthropogenic sources (average of two
 8446 models) in 2013 and 2035 in various geographical regions: (a) East Asia, (b) South America, (c) North
 8447 America, (d) Europe, (e), and the Arctic. Whiskers show deviation between the models. Contribution
 8448 of natural and secondary emissions are not shown. Source: *Pacyna et al.* (2016).

8449 A combined effect of emissions changes and temperature increase associated with climate change
 8450 was studied by *Lei et al.* (2014) with the CAM-Chem model using three emissions scenarios of 2050
 8451 (B1, A1B, A1FI) based on projections developed by the Intergovernmental Panel on Climate Change
 8452 (IPCC). It was found that all three scenarios predict general increase of total gaseous Hg
 8453 concentration around the globe due to increasing use in fossil fuel energy. The increase in
 8454 temperature enhances emissions from land and ocean and accelerates oxidation of Hg⁰ leading to
 8455 increased deposition. The effect of climate change as well as alteration of land cover/land use on

8456 future Hg levels were studied more thoroughly by *Zhang et al.* (2016) by combining a chemical
8457 transport model (GEOS-Chem), a general circulation model (GISS GCM 3), and a dynamic vegetation
8458 model (LPJ). Using the IPCC A1B scenario for the simulation of 2000-2050 climate change they found
8459 that the surface Hg⁰ concentration is to increase globally with significant changes occurring over
8460 most continental and ocean regions due to changes in atmospheric Hg redox chemistry. Changes in
8461 natural vegetation and anthropogenic land use lead to general increases in Hg⁰ dry deposition. The
8462 gross Hg deposition flux will increase over most continental regions driven by combined changes in
8463 climate and land use/land cover. However, these results do not take into account the possible
8464 feedback of the deep ocean and terrestrial reservoirs to the future emissions and climate changes.

8465 *Amos et al.* (2013) used a fully coupled biogeochemical model and showed that even if
8466 anthropogenic emissions stay unchangeable, Hg deposition will continue to increase due to effect of
8467 the legacy of anthropogenic production emissions accumulated in the ocean. Generally, the
8468 atmosphere responds quickly to the termination of future emissions, but long-term changes are
8469 sensitive to a number of factors, including historical changes in anthropogenic emissions, air-sea
8470 exchange, and Hg burial in deep ocean and coastal sediments (*Amos et al.*, 2014, 2015).

8471 **4.5. Region-specific modelling studies**

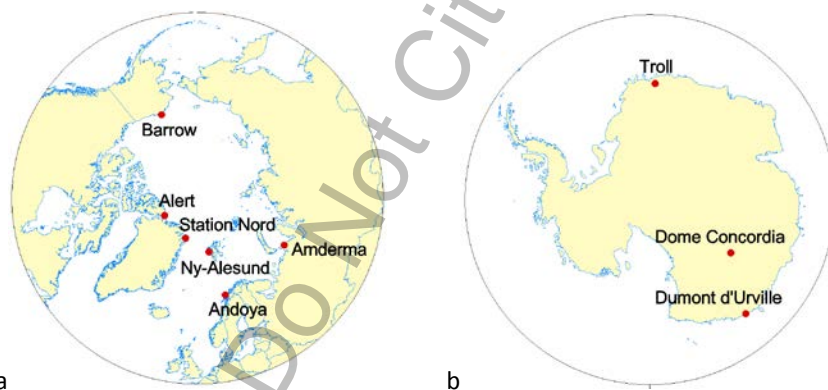
8472 **4.5.1. Polar regions**

8473 Since GMA 2013, three global Hg models have been applied to study Hg cycling in polar regions -
8474 GLEMOS (*Travnikov and Ilyin*, 2009), GEOS-Chem (*Fisher et al.* 2012; *Holmes et al.*, 2010), and GEM-
8475 MACH-Hg (formerly GRAHM; *Dastoor et al.*, 2008; *Durnford et al.*, 2012; *Kos et al.*, 2013). The
8476 largest differences among models in the polar-regions are related with the representation of Hg(0)-
8477 Br oxidation rates, Br concentrations, parameterization of photo-reduction and re-emission of Hg(0)
8478 from the snowpack, and Hg evasion fluxes from the Arctic Ocean (*Angot et al.*, 2016). *Durnford et al.*
8479 (2012) developed and implemented a dynamic multi-layer snowpack-meltwater parameterization in
8480 GEM-MACH-Hg. *Fisher et al.* (2012) and *Durnford et al.* (2012) introduced enhanced evasion of Hg
8481 from the Arctic Ocean during summer to explain the observed summertime maximum in Hg(0)
8482 concentrations (*Steffen et al.* 2005; *Berg et al.* 2013). *Dastoor and Durnford* (2014) found that the
8483 summertime concentrations in the Arctic are characterized by two distinct summertime maxima with
8484 the peaks varying in time with location and the year. Using GEM-MACH-Hg, the authors
8485 demonstrated that early summer peak in Hg(0) concentrations is supported primarily by re-emission
8486 of Hg from melting snowpack and meltwater and the late summer peak is supported by evasion of
8487 Hg(0) from the Arctic Ocean. *Toyota et al.* (2014) developed a detailed one-dimensional air-
8488 snowpack model for interactions of bromine, ozone, and Hg in the springtime Arctic which provided

8489 a physicochemical mechanism for AMDEs and concurrently occurring ozone depletion events (ODEs).
 8490 The authors also developed a temperature dependent GOM-PBM partitioning mechanism explaining
 8491 its observed seasonal transition (Steffen *et al.*, 2014) and demonstrated that PBM is mainly produced
 8492 as HgBr_4^{2-} through uptake of GOM into bromine-enriched aerosols after ozone is significantly
 8493 depleted in the Arctic air masses.

8494 *Dastoor and Durnford* (2014) conducted a comprehensive evaluation of GEM-MACH-Hg simulated
 8495 concentrations of Hg(0) and Hg(II) in air, total Hg (THg) concentrations in precipitation and seasonal
 8496 snowpack, and snow/air Hg fluxes with measurements from 2005-2009 at 4 Arctic sites – Alert, Ny-
 8497 Ålesund, Amderma, and Barrow (see Fig. 2 for the sites location). The model median concentrations
 8498 of Hg(0) and Hg(II) were found within the range of observed medians at all locations. Hg
 8499 concentrations in snow collected during springtime (AMDEs season) are significantly higher at
 8500 Barrow than at Alert, which was well simulated by the model. Modelled Hg concentrations in
 8501 seasonal snowpack were also within the measured range.

8502



8503

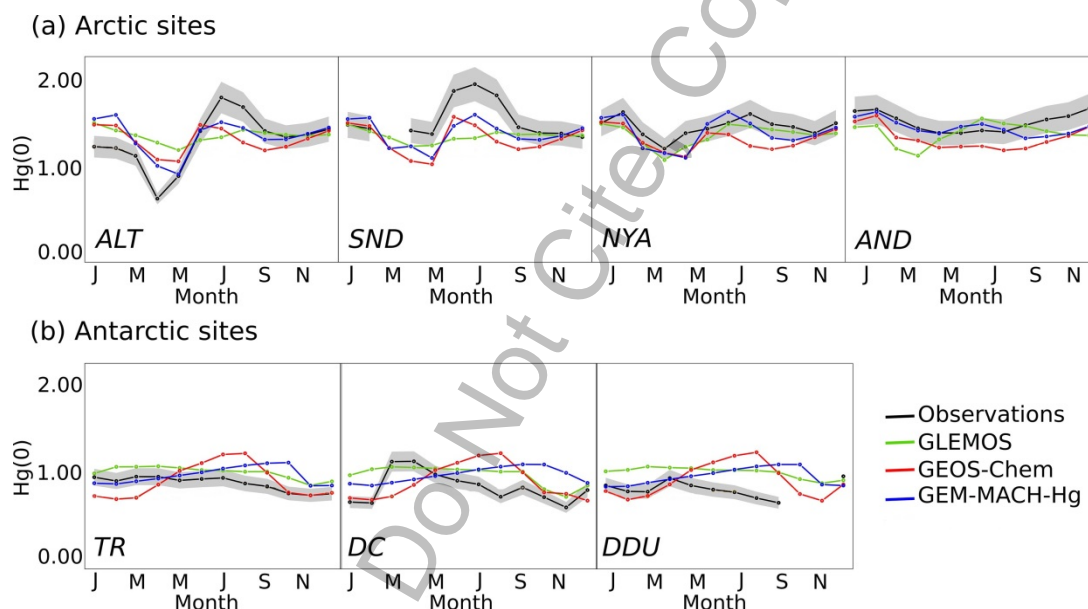
8504

8505 **Figure 2:** Location of Arctic (a) and Antarctic (b) ground-based sites used for model evaluation.

8506 *Angot et al.* (2016) evaluated GEM-MACH-Hg, GEOS-Chem and GLEMOS using atmospheric
 8507 monitoring data of Hg concentrations for 2013 at 4 Arctic sites (Alert, Station Nord, Ny-Ålesund and
 8508 Andøya) and 3 Antarctic sites (Troll, Dome Concordia, and Dumont d'Urville) shown in Fig. 2. In
 8509 addition, interannual variability in Hg(0) concentrations were evaluated using GEOS-Chem and GEM-
 8510 MACH-Hg simulations from 2011-2014. The models captured the broad spatial and seasonal patterns
 8511 in Hg(0) concentrations observed in the Arctic. The decline in Hg(0) concentrations from Andøya, the
 8512 site closest to European industrialized areas, to Alert, the most northerly site, was well reproduced
 8513 by the models and suggests transport of anthropogenic Hg from lower latitudes to the Arctic. A more

8514 pronounced seasonal cycle observed at Alert and Station Nord than Ny-Ålesund and Andøya was also
 8515 captured by the models (Fig. 3).

8516 All models reproduced the characteristic low Hg(0) concentrations in spring and high Hg(0)
 8517 concentrations in summer. Consistent with observations, the models simulated enhanced total
 8518 oxidized Hg concentrations (i.e., oxidized gaseous and particulate Hg) at Alert and Ny-Ålesund during
 8519 the AMDEs season but underestimated the values compared to measurements. At Ny-Ålesund all the
 8520 models overestimated wet deposition along with overestimation of precipitation amount. The
 8521 model-measurement discrepancy was attributed to lower collection efficiency of precipitation in
 8522 polar regions due to frequent strong winds and blowing snow conditions (Lynch *et al.*, 2003 and
 8523 Prestbo and Gay, 2009) and to the uncertainties in gas-particle partitioning of oxidized Hg in the
 8524 models.



8525

8526 **Figure 3:** Year 2013 monthly-averaged Hg(0) concentrations (in ng m^{-3}) at (a) Arctic and (b) Antarctic
 8527 ground-based sites: observations (in black) and concentrations according to the three global models
 8528 (GLEMOS in green, GEOS-Chem in blue, GEM-MACH-Hg in red). The grey shaded regions indicate a
 8529 10% uncertainty for observations. Adapted from Angot *et al.* (2016). Only models that explicitly
 8530 implement high-latitude specific processes are shown.

8531 Simulated Hg(0) interannual variability in GEOS-Chem and GEM-MACH-Hg in winter was lower than
 8532 measured which suggests an impact of interannual variability in anthropogenic emissions; the
 8533 models used 2010 global anthropogenic Hg emissions (AMAP/UNEP, 2010) for simulations from
 8534 2011-2014. Interannual variability in the frequency of AMDEs was fairly well reproduced by GEM-

8535 MACH-Hg but not by GEOS-Chem. Real-time modelling of the distribution of bromine concentrations
8536 and sea-ice dynamics is needed to improve the models (*Moore et al., 2014*).

8537 In contrast, at Antarctic sites, the models overestimated Hg(0) concentrations and failed to
8538 reproduce observed seasonal patterns in Hg(0) concentrations (Fig. 3). GEM-MACH-Hg and GEOS-
8539 Chem simulated increasing Hg(0) concentrations at all sites over the course of winter in contradiction
8540 with observations; whereas, GLEMOS simulated lower than observed wintertime decline in Hg(0)
8541 concentrations at Dumont d'Urville and Dome Concordia (*Angot et al., 2016*). High summertime
8542 variability and strong diurnal cycle in Hg(0) concentrations observed at Dumont d'Urville and Dome
8543 Concordia were also not well reproduced by the models. GEM-MACH-Hg did not simulate the
8544 infrequent AMDEs observed at Troll and Dumont d'Urville in spring; whereas, GEOS-Chem simulated
8545 AMDEs at DDU with somewhat higher frequency than observed. *Angot et al. (2016)* attributed poor
8546 model simulation of Hg at the Antarctic sites to missing local Hg(0) oxidation pathways involving OH,
8547 O₃, NO_x, and RO₂ radicals and air circulation, and bias in southern hemispheric emissions including
8548 oceanic evasion in the models.

8549 Modelling estimates of Hg mass fluxes in the Arctic including the Arctic Ocean were provided by
8550 *Fisher et al. (2012)*, *Durnford et al. (2012)* and *Dastoor and Durnford (2014)*. Using GEOS-Chem,
8551 *Fisher et al. (2012)* estimated Hg deposition of 55 Mg y⁻¹ (i.e., 25 Mg y⁻¹ directly to open ocean, 20 Mg
8552 y⁻¹ to ocean via snow melt on sea ice, and 10 Mg y⁻¹ to land via snow melt), evasion from ocean of 90
8553 Mg y⁻¹ and a net surface loss of 35 Mg y⁻¹ in the Arctic north of 70°. In contrast, using GEM-MACH-Hg,
8554 *Durnford et al. (2012)* estimated Hg deposition of 153 Mg y⁻¹ (i.e., 58 Mg y⁻¹ directly to open ocean,
8555 50 Mg y⁻¹ to ocean via snow melt on sea ice, 29 Mg y⁻¹ directly to land, and 16 Mg y⁻¹ to land via snow
8556 melt), emission of 36 Mg y⁻¹ (i.e., 33 Mg y⁻¹ from ocean and 3 Mg y⁻¹ from land) and a net surface gain
8557 of 117 Mg y⁻¹ in the Arctic north of 66.5°. Thus, *Fisher et al. (2012)* concluded that Arctic Ocean is a
8558 net source of Hg to the atmosphere, i.e., 45 Mg y⁻¹; whereas, *Durnford et al. (2012)* concluded that
8559 Arctic Ocean is a sink of atmospheric Hg, i.e., 75 Mg y⁻¹. In comparison, GLEMOS estimated the yearly
8560 net gain of Hg in the Arctic to be 131 Mg y⁻¹ (*Travnikov and Ilyin, 2009*).

8561 Model disagreements in the estimates of atmosphere-ocean-snowpack Hg fluxes indicate sources of
8562 uncertainties in the models. Constraining models in the polar regions is challenging due to
8563 insufficient measurements (*Dastoor and Durnford, 2014; Angot et al., 2016*). *Fisher et al. (2012)*
8564 inferred that 95 Mg y⁻¹ input of Hg from circumpolar rivers (and coastal erosion) resulting in 90 Mg y⁻¹
8565 evasion of Hg from the Arctic Ocean was required to balance the observed summertime peak in
8566 Hg(0) concentrations at the Arctic sites. In contrast, *Durnford et al. (2012)* found that 33 Mg y⁻¹ Hg
8567 evasion from the Arctic Ocean was sufficient to reproduce the summertime peak Hg(0)

8568 concentrations in the Arctic. *Dastoor and Durnford* (2014) estimated riverine Hg export to the Arctic
8569 Ocean from North American, Russian and all Arctic watersheds in the range of 2.8-5.6, 12.7-25.4 and
8570 15.5-31.0 Mg y⁻¹, respectively, based on GEM-MACH-Hg simulated Hg in meltwater. Using MITgcm
8571 ocean model and GEOS-Chem, *Zhang et al.* (2015) concluded that an input of 63 Mg y⁻¹ of Hg
8572 discharge from rivers and coastal erosion to the Arctic Ocean was needed to reproduce the observed
8573 summer maximum in atmospheric Hg(0) concentrations. Riverine discharge to the Arctic Ocean is
8574 poorly constrained by observations with estimates ranging from 12.5 to 44 Mg y⁻¹ (*Outridge et al.*,
8575 2008; *Amos et al.*, 2014). *Zhang et al.* (2015) noted that enhanced turbulence associated with sea ice
8576 dynamics facilitates increased evasion of Hg discharged by Arctic rivers in estuaries resulting in a
8577 much larger portion of riverine Hg in the Arctic Ocean subjected to evasion than estimated in *Fisher*
8578 *et al.* (2012). In addition, *Fisher et al.* (2012) assumed that the Hg input from rivers is uniformly
8579 distributed in the entire Arctic Ocean; whereas, latitudinal variation in Hg evasion from the Arctic
8580 Ocean was considered in *Durnford et al.* (2012) and *Zhang et al.* (2015) which is supported by
8581 observations (*Andersson et al.*, 2008; *Hirdman et al.* 2009; *Sommar et al.*, 2010). Other sources of
8582 differences in models were related with the parameterizations of bromine concentrations and Hg
8583 snowpack/meltwater processes (*Dastoor and Durnford*, 2014).

8584 **4.5.2. Europe**

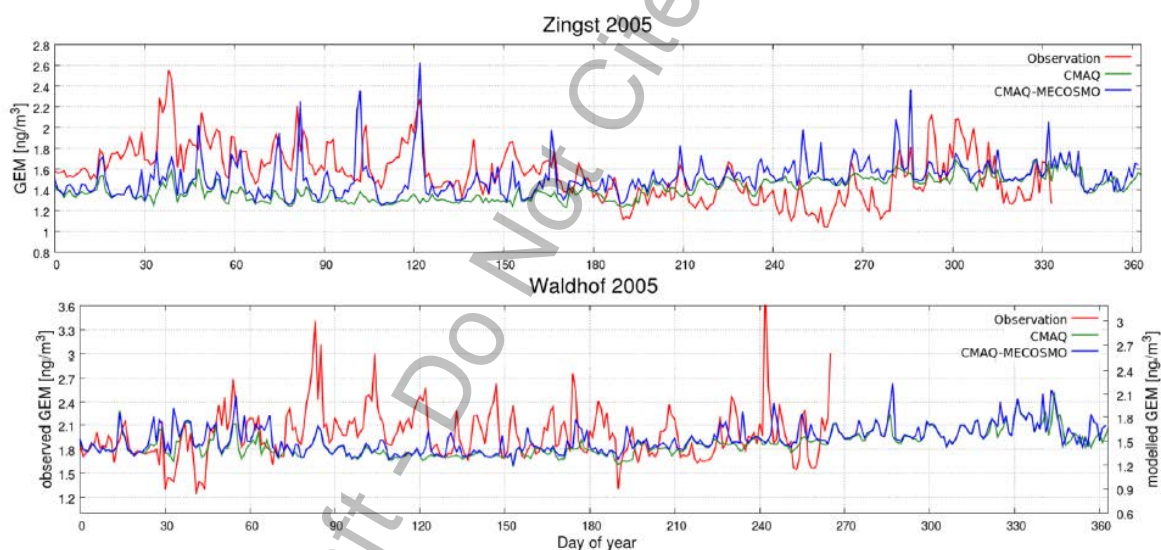
8585 In recent years, the development of regional atmospheric Hg models for Europe was supported by
8586 the FP7 project GMOS (Global Mercury Observations System). Mercury chemistry was implemented
8587 into the on-line coupled meteorological CTM WRF-Chem by *Gencarelli et al.* (2014) and the CCLM-
8588 CMAQ model was further developed (*Bieser et al.*, 2014; *Zhu et al.*, 2015). These models have been
8589 used to evaluate key processes and identify their impact on Hg dispersion and deposition in Europe
8590 (*Gencarelli et al.*, 2016; *Bieser et al.*, 2017).

8591 As it follows from recent estimates by *Muntean et al.* (2014) Hg emissions in Europe continue to
8592 decrease, but with different rates for each Hg species. Due to technological development, emissions
8593 of GOM are declining faster than total Hg emissions, which affects the regional deposition and global
8594 transport patterns. This finding was further confirmed by model studies where the models tendency
8595 to overestimate ground based GOM concentrations could be attributed to the speciation of primary
8596 anthropogenic Hg emissions (*Bieser et al.*, 2014; 2017). Moreover, airborne in situ measurements at
8597 a modern coal fired power plant did not detect any GOM 1 km downwind from the stack (*Weigelt et*
8598 *al.*, 2016).

8599 The models have in common, that the modelled annual wet deposition fluxes are in good agreement
8600 with observations. This was found for regional (*Gencarelli et al.*, 2014; *Bieser et al.*, 2014) and global

8601 models (Muntean *et al.*, 2014). At the same time, models tend to underestimate TGM concentrations
 8602 for Europe. A behaviour which is also seen in the results from global models (Muntean *et al.*, 2014;
 8603 Chen *et al.*, 2013). The reason for this is not understood yet. In a global long term simulation with
 8604 the GEOS-Chem model Muntean *et al.* (2014) showed that modelled TGM concentrations were closer
 8605 to observations in the 1990s but that the model overestimates the decreasing trend over the last
 8606 decades. Thus, the emission inventories might play a role for this. This is also in line, with the fact
 8607 that new regional emission models lead to higher estimates for European Hg emissions (Rafaj *et al.*,
 8608 2014).

8609 Moreover, a recent study with a newly developed regional multi-media model indicates that an
 8610 underestimation of the air-sea exchange from regional oceans could be a source for the model bias
 8611 in Europe (Bieser and Schrum, 2016). Figure 4 depicts the impact of air-sea exchange on Hg
 8612 concentrations at two ground based stations in Europe. It can be seen, that close to the ocean
 8613 (Zingst) air-sea exchange has a large impact on GEM concentrations. This effect, albeit less
 8614 pronounced, was also observed at a station 200 km inland (Waldhof).

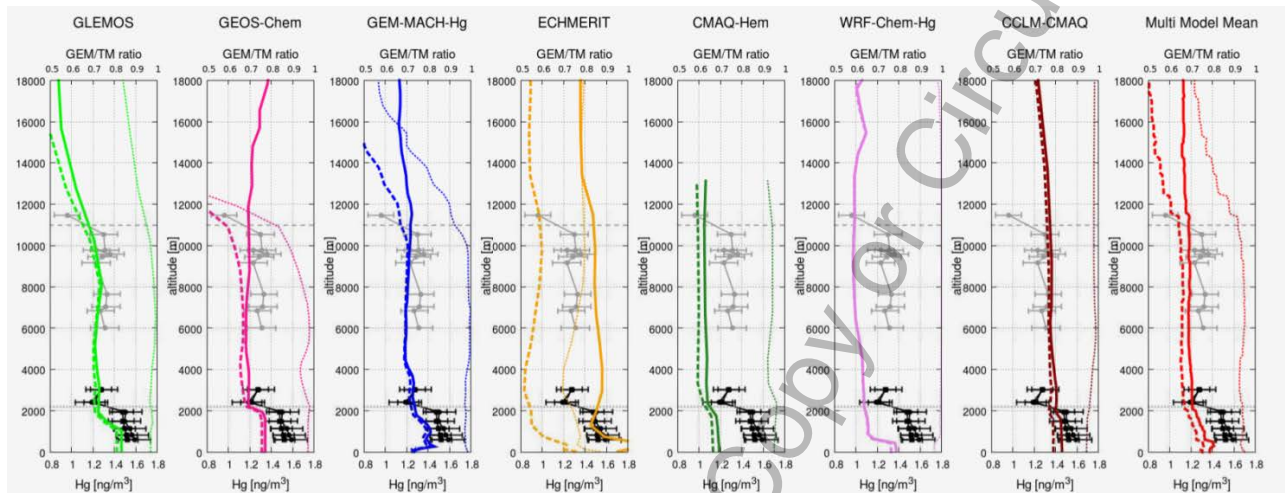


8615
 8616 **Figure 4:** Impact of air-sea exchange on atmospheric Hg concentrations at two ground based
 8617 observations sites in Germany: observation (red), atmospheric model (green), coupled ocean-
 8618 atmospheric model (blue) (Bieser and Schrum, 2016).

8619 A first model analysis on the vertical distribution of Hg in Europe was recently published (Bieser *et al.*,
 8620 2017). Based on aircraft based observations, it was found that models are generally able to
 8621 reproduce the GEM gradient from the surface up to the tropopause (Fig. 4). Moreover, models were
 8622 able to reproduce the GOM gradient inside the planetary boundary layer, in those cases where a low

8623 GOM fraction in the anthropogenic emissions was assumed. This is in line with the findings on
 8624 decreasing GOM fraction in European Hg emissions discussed earlier.

8625



8626

8627 **Figure 4:** Vertical profiles at Leipzig, Germany 23rd August 2013 from two aircraft campaigns and
 8628 simulations with seven atmospheric chemistry transport models. Black dots are observations from the
 8629 European Tropospheric Mercury Experiment (ETMEP), grey dots are observations from the CARIBIC
 8630 civil passenger aircraft. The coloured lines indicate modelled TM (solid) and GEM (dashed)
 8631 concentrations. The dotted lines depict the GEM/TM ratio. Source: Bieser et al. (2017).

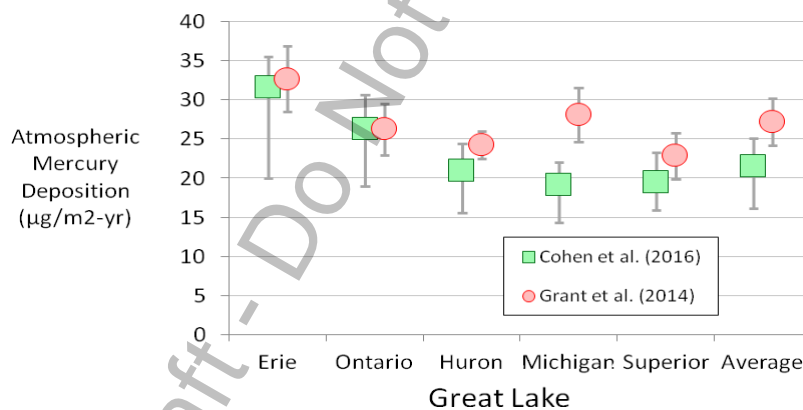
8632 The impact of long range transport on European Hg deposition has been addressed before (UNEP,
 8633 2015). Since then, there has been a new study on the global transport of Hg from Asia (Chen et al.,
 8634 2015). Here, the estimated contribution of Chinese emissions to Hg deposition in Europe is only
 8635 3.5%, which is much smaller than previous estimates of 20% (UNEP, 2015). The impact of long range
 8636 transport on regional Hg deposition in Europe is strongly dependent on the lifetime of Hg in the
 8637 atmospheric models. A new study by Horowitz et al. (2017) indicates that organic aerosols mediate
 8638 photolytic reduction of oxidized Hg species in the aqueous phase leading to an increased life time of
 8639 Hg in the atmosphere. Due to the high concentration of organic aerosols in China current models
 8640 might underestimate the long range transport due to an overestimation of atmospheric oxidation. De
 8641 Simone et al. (2015, 2016) investigated the impact of biomass burning on atmospheric Hg
 8642 concentrations and deposition. Especially wild fires in the boreal forests can have a large impact on
 8643 regional Hg concentration and deposition. For Europe, they estimate the fraction of Hg deposition
 8644 due to biomass burning between 5% and 10%.

8645 **4.5.3. North America**

8646 CMAQ, with global boundary conditions estimated with the MOZART model, was used to estimate
8647 atmospheric Hg deposition to the Great Lakes for 2005 (*Grant et al.*, 2014). U.S. emissions from
8648 power plants had the largest impact on Lake Erie. The model tended to overestimate wet deposition
8649 in the Great Lakes region. In another CMAQ-based investigation, the model was used with boundary
8650 conditions from GEOS-Chem, and alternatively, GRAHM, to estimate atmospheric Hg deposition in
8651 the United States (*Myers et al.*, 2013) in a series of 2001-2005 case studies. Simulation results were
8652 significantly influenced by the choice of boundary conditions. CMAQ, with a new aqueous-phase
8653 oxidized Hg reduction chemical mechanism (involving dicarboxylic acids) and GEOS-Chem boundary
8654 conditions, was used to simulate Hg fate and transport in the U.S. during 2001-2002. Results for wet
8655 deposition with the new chemical mechanism were found to be more consistent with observations
8656 than earlier mechanisms used in CMAQ. Using a weight-of-evidence approach, *Sunderland et al.*
8657 (2016) argued that historical EPA CMAQ-based modelling may have underestimated the impact of
8658 local and regional sources on near-field Hg deposition in the U.S., and consequently underestimated
8659 the benefits of Hg emissions reductions.

8660 The GEOS-Chem model was used to estimate the cumulative benefits of domestic and international
8661 Hg controls for atmospheric deposition – and subsequent public health impacts – in the U.S. through
8662 2050 (*Giang and Selin*, 2016). For the same amount of avoided Hg emissions, domestic reductions
8663 were estimated to have nearly an order of magnitude higher public health benefit than international
8664 actions. The CAM-Chem-Hg model was used to estimate present day (ca. 2000) (*Lei et al.*, 2013) and
8665 future (ca. 2050) (*Lei et al.*, 2014) atmospheric Hg concentrations and deposition in the U.S., as
8666 influenced by different scenarios of changes in U.S. and global emissions, and different climate
8667 change scenarios. Concentrations and deposition in the U.S. increased significantly in scenarios with
8668 higher future emissions and higher atmospheric temperatures. Under the most impacted scenario
8669 considered, climate change alone caused an approximate 50-100% increase in atmospheric Hg
8670 concentrations in the U.S. When increased Hg emissions in this scenario were included, the average
8671 Hg(0), GOM, and PBM concentrations in the U.S. increased by a factors of ~2.5x, ~5x, and ~3x,
8672 respectively. The GRE-CAPS model – which included a version of the regional CAMx model - was used
8673 to investigate the influence of climate change on atmospheric Hg deposition in the Eastern U.S.
8674 (*Megaritis et al.*, 2014). Simulations for the present day (ca. 2000's) were compared with climate-
8675 change-influenced simulations for the year 2050, assuming constant 2001 Hg emissions. The study
8676 found that average deposition in the U.S. increased by about ~5% due to climate-change impacts
8677 (e.g., enhanced atmospheric oxidation of GEM at higher temperatures), but regional differences
8678 were found (e.g., related to changes in precipitation patterns).

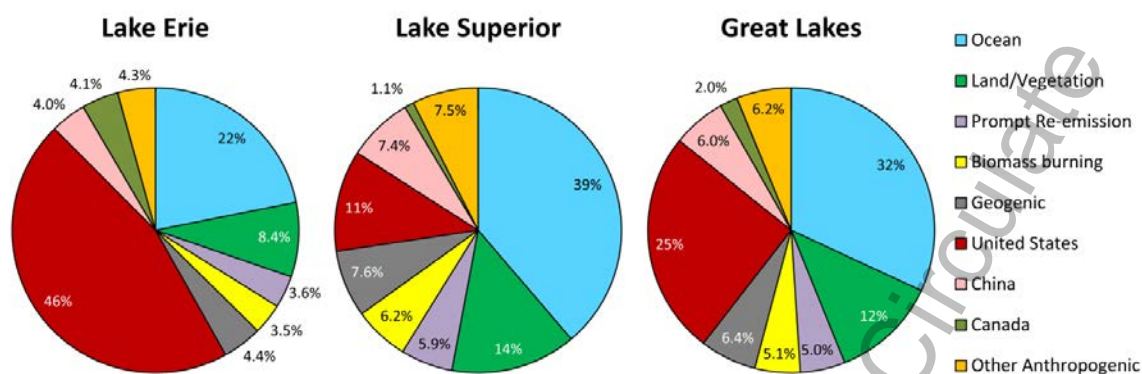
8679 The HYSPLIT-Hg model was used to estimate 2005 atmospheric Hg deposition to the Great Lakes
 8680 (Cohen *et al.*, 2016). Results for a base case and 10 alternative model configurations were developed,
 8681 examining the sensitivity of the results to different assumptions regarding atmospheric reaction rates
 8682 and chemical mechanisms. Model evaluation against measurements in the Great Lakes region
 8683 showed good agreement between modelled and measured wet deposition and Hg(0) concentrations,
 8684 but the model tended to overpredict reported GOM and PBM concentrations. The total deposition
 8685 and source-attribution for that deposition was similar to that found by Grant *et al.* (2014) (e.g., see
 8686 Figure 5). Lake Erie, downwind of significant local/regional emissions sources, was estimated by the
 8687 model to be the most impacted by direct anthropogenic emissions (58% of the base case total
 8688 deposition), while Lake Superior, with the fewest upwind local/regional sources, was the least
 8689 impacted (27%). The U.S. was the largest national contributor, followed by China, contributing 25%
 8690 and 6%, respectively, on average, for the Great Lakes. The contribution of U.S. direct anthropogenic
 8691 emissions to total Hg deposition varied between 46% for the base case (with a range of 24–51% over
 8692 all model configurations) for Lake Erie and 11% (range 6–13%) for Lake Superior. The relative
 8693 contributions of different sources are illustrated in Figure 6 for the base case simulation. These
 8694 results were used in an International Joint Commission report (IJC, 2015) which called for increased
 8695 monitoring and modelling of atmospheric Hg in the Great Lakes region.



8696

8697 **Figure 5.** Atmospheric Hg deposition flux to the Great Lakes for 2005, estimated by CMAQ (Grant *et al.*, 2014) and HYSPLIT-Hg (Cohen *et al.*, 2016). CMAQ error bars shown are the reported range in
 8698 estimates for each lake. HYSPLIT-Hg error bars shown are the range found in the 10 alternate model
 8699 configurations used in the analysis. The Great Lakes summary values shown are based on an area-
 8700 weighted average of individual-lake results.

8702



8703
 8704 **Figure 6.** Relative contributions of different source categories to 2005 atmospheric Hg deposition to
 8705 Lake Erie, Lake Superior, and an area-weighted average for the Great Lakes, estimated by the
 8706 HYSPLIT-Hg model (Cohen et al., 2016) (base-case simulation). The values shown for specific
 8707 countries (United States, China, and Canada) and for all other countries (“Other Anthropogenic”)
 8708 include only the contributions from direct, anthropogenic emissions and do not include contributions
 8709 arising from re-emissions of previously deposited material from terrestrial or oceanic surfaces.

8710 A number of analyses were conducted in which measurements of atmospheric concentrations were
 8711 combined with back-trajectory and other receptor-based modelling approaches to assess the relative
 8712 importance of different source regions and other factors to the atmospheric Hg arriving at the
 8713 measurement site (see Table 1 for references). In most cases, the HYSPLIT model (Stein et al., 2015)
 8714 was used for simulating back-trajectories. Similar studies were carried out for flight-based
 8715 measurements of atmosphere Hg concentrations above the surface, utilizing back-trajectories and/or
 8716 other model simulations, above Tullahoma, TN (Brooks et al., 2014), Texas and the Southeastern U.S.
 8717 (Ambrose et al., 2015; Gratz et al., 2015; Shah et al., 2016), and Lake Michigan (Gratz et al., 2016).

8718 **Table 1.** Measurement sites analysed with receptor-based modelling

Measurement site	Country	Back-trajectory Study
Beltsville, MD	USA	Ren et al., 2016
Celestun, Yucatan	MEX	Velasco et al., 2016
Chicago, IL	USA	Gratz et al., 2013a
Dartmouth, NS	CAN	Cheng et al., 2013a; Cheng et al., 2016
Grand Bay, MS	USA	Ren et al., 2014; Rolison et al., 2013
Holland MI	USA	Gratz et al., 2013a
Huntington Forest, NY	USA	Zhou et al., 2017; Choi et al., 2013; Cheng et al., 2013b
Illinois (several sites)	USA	Gratz et al., 2013b; Lynam et al., 2014
Kejimikujik, NS	CAN	Cheng et al., 2013a; Cheng et al., 2016
Oxford, MS	USA	Jiang et al., 2013
Pensacola, FL	USA	Huang et al., 2016; Demers et al., 2015
Piney Reservoir, MD	USA	Castro and Sherwell, 2015
Reno, NV	USA	Gustin et al., 2016

Rochester, NY	USA	<i>Choi et al., 2013</i>
Steubenville, OH	USA	<i>White et al., 2013</i>
Underhill, VT	USA	<i>Zhou et al., 2017</i>
Western U.S. (several sites)	USA	<i>Wright et al., 2014; Huang and Gustin, 2015; Gustin et al., 2016</i>
Windsor, ON	CAN	<i>Xu et al., 2014</i>

8719

8720 In a hybrid analysis combining fate-and-transport modelling with measurements, GEOS-Chem was
 8721 used to examine trends in Hg wet deposition over the United States over the 2004-2010 period
 8722 (*Zhang and Jaegle, 2013*). The modelling results were subtracted from the observations to assess the
 8723 roles of changing meteorology and emissions on observed wet deposition at 47 U.S. sites. In the
 8724 Northeast and Midwest U.S., approximately half of the decreasing trend in Hg concentrations in
 8725 precipitation could be explained by decreasing U.S. emissions over the study period.

8726 **4.5.4. East Asia**

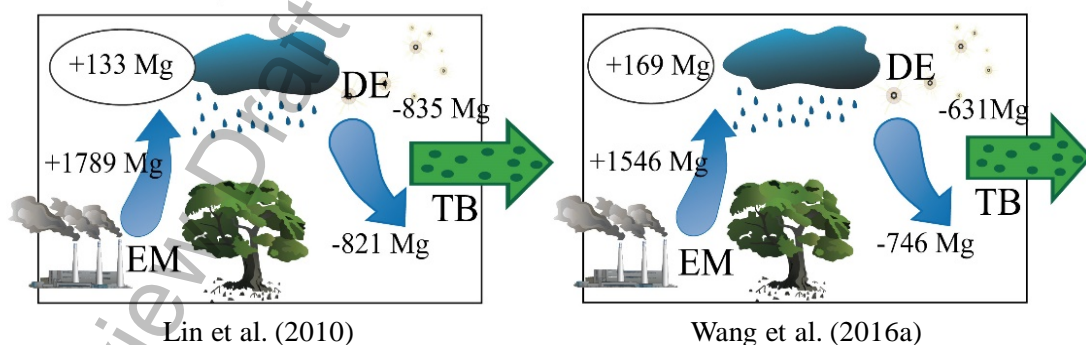
8727 East Asia (including Southeast Asia) is the largest source region of atmospheric Hg release worldwide,
 8728 with China as the largest emitter. According to *GMA 2013*, Hg release in East and Southeast Asia
 8729 accounts for 40% of global anthropogenic emission in 2010. Mercury outflow from East Asia has
 8730 been regarded as a concern to global Hg pollution (*Jaffe et al., 2005; Lin et al., 2010; Chen et al.,*
 8731 *2014*).

8732 The rapid economic growth and improving air emission control in East Asia change the anthropogenic
 8733 Hg emission and speciation readily within a relatively short period of time. As better emission data
 8734 become available, reassessment using updated emission data is necessary. For example, *Wu et al.*
 8735 *(2016)* applied updated industrial activity statistics and emission factors to estimate anthropogenic
 8736 Hg release in China from 1978 to 2014, and found that the emission varied significantly over time due
 8737 to increased industrial production, energy use and implementation of emission control measures.
 8738 Atmospheric Hg emission in China peaked in 2011 at 565 Mg y⁻¹ and then dropped to 531 Mg y⁻¹ in
 8739 2014. More importantly, the emission speciation gradually shifted to a larger fraction of oxidized Hg
 8740 (56/43/3 for Hg⁰/Hg^{II}/Hg^p in 2014). Such an emission speciation shift indicates increased local
 8741 deposition and reduced emission outflow.

8742 *Wang et al. (2016b)* re-evaluated the natural release of elemental Hg vapour from soil, vegetation
 8743 and water surfaces using new soil Hg data in China and updated model schemes with
 8744 physicochemical parameters reported recently. They found a distinct spatial distribution of estimated
 8745 Hg release compared to the data reported by *Shetty et al. (2008)*, despite a similar net natural
 8746 release at ~460 Mg y⁻¹ in China. Such a spatial distribution transition also has an impact on regional
 8747 model results.

8748 A number of regional and global scale modelling studies simulated atmospheric Hg levels in China
 8749 and the East Asia region (e.g. *Lin et al.*, 2010; *Pan et al.*, 2010; *Chen et al.*, 2014; *Chen et al.*, 2015;
 8750 *Zhu et al.*, 2015; *Wang et al.*, 2016a). It should be noted that most model results are not directly
 8751 comparable due to differences in the emission inventory (particularly, natural emissions since many
 8752 earlier studies did not specify the quantity and spatial distribution), Hg chemistry and model
 8753 configuration. In general, regional models reproduced Hg concentrations more representative of the
 8754 observed elevated levels in urban and industrial areas. Most global model results appear to be
 8755 relatively consistent, estimating that Asian emissions contribute to 16-25% of Hg deposition in North
 8756 America and 10-15% in the European region, except for one study (*Chen et al.*, 2014) reporting <5%
 8757 of contribution in both regions.

8758 The results of two regional modelling studies using CMAQ-Hg with identical model specifications are
 8759 directly comparable (*Lin et al.*, 2010; *Wang et al.* 2016a). The two modelling assessments use the
 8760 same model configuration of CMAQ-Hg with different emission inventories: from (*Street et al.*, 2005;
 8761 *Shetty et al.*, 2008) in the former, and from (*Wu et al.*, 2016; *Wang et al.*, 2016b) in the latter. The
 8762 difference in the annual budgets is mainly caused by the reduced anthropogenic emission in the
 8763 region, increased fraction of HgII, and a change in the spatial distribution of natural emission. Given
 8764 the changes in emissions, the transport budget from East Asia by *Wang et al.* (2016a) is 25% lower
 8765 than the earlier estimate by *Lin et al.* (2010), as shown in Figure 7. In addition, the greater Hg mass
 8766 accumulated within the regional domain in *Wang et al.* (2016a) also better explain the elevated
 8767 atmospheric Hg concentrations in China. More modelling studies are still needed in this region.
 8768 Recent observational data obtained from the ambient monitoring network in China (*Fu et al.*, 2015)
 8769 provide a unique opportunity to better understand the chemical transport of atmospheric in a region
 8770 undergoing dynamic emission changes.



8771

8772 **Figure 7:** Comparison of annual Hg mass budget in East Asia by *Lin et al.* (2010) and *Wang et al.*
 8773 (2016a). EM is emission; DE is deposition; TB is transport budget.

8774 **4.6. Conclusions**

8775 A number of regional and global models have been used to simulate the atmospheric fate and
8776 transport of Hg, using meteorological data and emissions inventories as inputs and atmospheric
8777 measurements to evaluate the results. Significant uncertainties remain in model physics (e.g., gas-
8778 particle partitioning and deposition processes) and chemistry (e.g., elemental Hg oxidation
8779 mechanisms), as well as in model inputs (e.g., emissions amounts and speciation) and measurements
8780 used for evaluation. Nevertheless, the scientific understanding of atmospheric Hg as represented in
8781 the models has progressed to the point where useful policy-relevant information about source-
8782 receptor relationships can be derived. This also implies that models can be used to provide first
8783 estimates of the effects on Hg-deposition of emission reductions, both regionally and globally. As
8784 improvements are made in understanding and model-related data, uncertainties in model results will
8785 be lessened and will become even more useful.

8786 Atmospheric measurements are essential to evaluate and improve models; given the uncertainties
8787 noted above, models must continually be tested by comparison against measurements. At the same
8788 time, measurements alone cannot provide the depth of source-receptor and trend explanation
8789 information that can be obtained by models. Likewise, uncertainties in emissions inventories have
8790 emerged as a critical limitation in atmospheric model analyses, and the improvement of these
8791 fundamental model inputs is essential to improve model accuracy.

8792
8793 *(To be updated based on new simulation results)*
8794

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Note to reader

This draft version of Chapter 5 in the Technical Background Report to the Global Mercury Assessment 2018 is made available for review by national representatives and experts. The draft version contains material that will be further refined and elaborated after the review process. Specific items where the content of this draft chapter will be further improved and modified are:

1. Comparison of results with independent estimates for Hg releases to water.
2. Quantification of the uncertainties for sectors where this information is currently missing and update of for some others
3. Geospatial distribution of releases
4. Paragraph on the results of the inventory in the context of global Hg cycle will be added
5. Detailed harmonisation and cross reference with Chapter 2 including integration of Annexes (e.g. Annex on methodological approaches used for Hg-added products sector)

GMA 2018 Draft Chapter 5 Releases of Hg to the aquatic environment from anthropogenic sources.
David Kocman, Milena Horvat

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10065 **Chapter 5 Releases of Hg to the aquatic environment from anthropogenic**
10066 **sources**

10067 **5.1 Introduction**

10068 This chapter is an extension to work on the global inventory of air emissions discussed in Chapter 2. The
10069 results presented represent an attempt to compile a comprehensive global inventory of releases of
10070 mercury to water from anthropogenic sources for which sufficient information is available. The work
10071 builds on, updates and extends the aquatic Hg release inventory prepared as a part of the UNEP global
10072 mercury assessment 2013 (AMAP/UNEP, 2013).

10073 This is the second time only that the content of the updated report has been expanded to include
10074 information on Hg releases to aquatic environments. General lack of data in the literature reporting Hg
10075 releases to aquatic systems and related information needed for estimation of the releases (e.g. waste-
10076 water amounts) is still an issue restricting accuracy and completeness of these estimates. Therefore,
10077 methods employed to derive the estimates are largely driven by the type and the amount of information
10078 available for various source category. Part of this work is directly linked to the air emissions inventory
10079 work and utilise factors employed in the UNEP Toolkit are used to derive releases to water from sectors
10080 responsible for emissions to air. Releases from other sectors not covered by the Toolkit but recognised
10081 as relevant with respect to releases to water, are also addressed, using independent methods and
10082 assumptions to derive the estimates.

10083 To the extent possible, our estimates are compared with available national and other
10084 estimates/inventories of releases to water. For some of the release sectors covered in the 2015
10085 inventory - to evaluate if obtained results are realistic - alternative release estimates were made using
10086 independent assumptions and information. Information regarding global releases of Hg to aquatic
10087 systems is still incomplete, and therefore a substantial part of this chapter is devoted to discussion on
10088 data sources and their availability, data gaps and associated uncertainties, as well as different methods
10089 and approaches/assumptions made for estimating the releases.

10090 The focus of this chapter is on Hg released from current anthropogenic sources to adjacent freshwater
10091 systems. The exception is oil and gas production sector, where offshore releases with produced water
10092 are also included. It should be pointed out that this inventory does not represent the total global load of
10093 Hg to aquatic systems. Namely, in addition to primary anthropogenic sources for which lack of
10094 information prevented reliable quantification, diffuse releases associated with legacy Hg accumulated in
10095 terrestrial environments can also be important contributors. In this chapter, relative contribution and
10096 significance of sources quantified is assessed by comparing inventory results with magnitudes of sources
10097 and pathways of other components of the global Hg cycle as established before.

10098 In contrast to air emission estimates (Chapter 2), the numbers presented here do not necessarily
10099 correspond to the year 2015. For example, the underlying assumptions for estimating Hg releases with
10100 industrial wastewaters are based on information corresponding to latest available information, while
10101 releases from point sources were derived from atmospheric inventory data for 2015 presented in
10102 Chapter 2.

10103 Inventory results are summarised using two types of regionalisation. The first is distribution of the
10104 estimates according to sub-continental regions. The purpose of this regionalisation is comparability with
10105 air emissions inventory. However, in case of aquatic releases it is more relevant to track Hg from its
10106 source and through catchments all the way to its ultimate delivery into the oceans. Therefore, additional
10107 regionalisation is used based on major drainage basins of the world (see Section 2.5 for details).

10108 It should be noted that the fate of terrestrial Hg once entering aquatic systems will largely depend on
10109 site-specific environmental conditions that govern its transport and transformation processes within
10110 catchments, and have the control over its ultimate delivery to downstream marine environments. This is
10111 not addressed in the inventory as the focus of this chapter is on quantification of releases only.

10112 **5.2 Estimating global anthropogenic mercury releases for 2010-** 10113 **2015: Methodology**

10114 A key component of this work to update the 2010 Global Atmospheric Mercury Assessment: Sources,
10115 Emissions and Transport report (AMAP/UNEP, 20013) is the production of a new global inventory of
10116 anthropogenic Hg releases to aquatic systems. This new inventory has the target year of 2015 – however

10117 recognising that information required to produce such inventories may not yet be available for all
10118 countries and release categories the basis for most of this new inventory is latest available data which
10119 dates in the 2000–2015 period.

10120 **5.2.1 Methods for estimating releases**

10121 Various methods are employed to estimate releases of Hg at the plant/facility, national, regional and
10122 global level. The approaches used and underlying assumptions depend on the data availability. In
10123 general, they fall under one of the three main groups schematically shown in Figure 1. In order to avoid
10124 confusion with the atmospheric and other independent inventories, we named our inventory of global
10125 primary anthropogenic aquatic Hg releases *Global Mercury Assessment Aquatic Release (GMAAR)*
10126 inventory.

10127 Often assumptions made to derive the estimates presented in this chapter are difficult to validate. For
10128 reasons of transparency, details on the approaches and assumptions made in the GMAAR to derive the
10129 estimates are given in Annex X, with a summary given in the following sections.

10130 **Group 1:** This group comprise sectors covered by the UNEP Toolkit (chlor-alkali industry, oil refining,
10131 large scale Au and non-ferrous metal production) and for which the Toolkit (UNEP, 2017) provides
10132 ‘distribution factors’ that proportionally ‘distribute’ total Hg releases between emissions to air and
10133 releases to water and land. We use these factors together with the most recent Global Mercury
10134 Assessment (GMA) atmospheric Hg emission inventory (Chapter 2) to calculate the corresponding
10135 magnitudes of releases to water. Sectors included in this first group are those included also in 2010
10136 inventory.

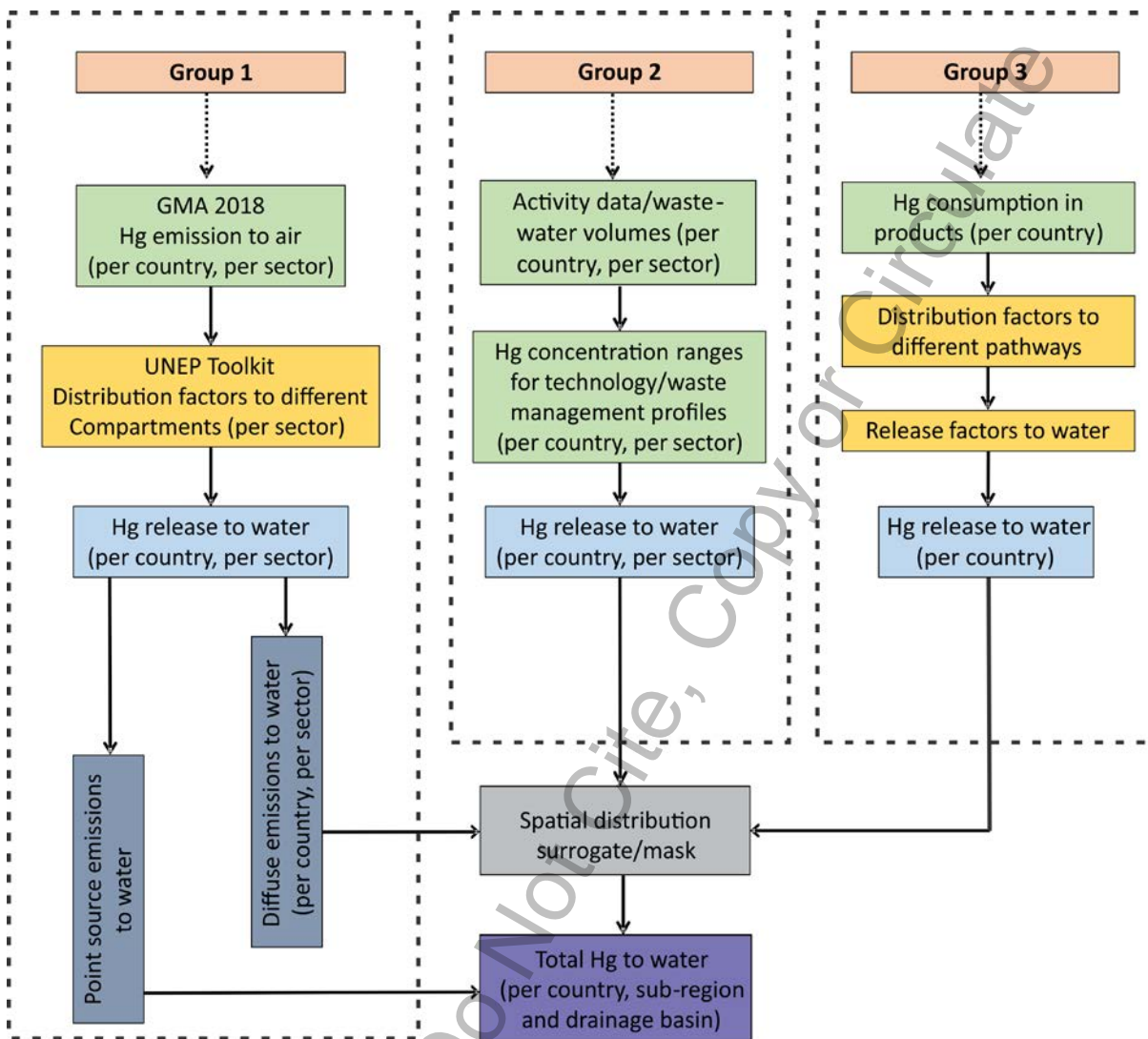
10137 **Group 2:** This group is comprised of sectors for which estimates were derived based on measured Hg
10138 concentrations reported in the literature for selected case studies and associated volumes of
10139 wastewater released and other relevant activity data, respectively. Following the approach recently
10140 used by Liu et al. (2016) to develop aquatic Hg release inventory for China, sectors considered important
10141 in terms of their relative contribution and included in this inventory, in addition to those from the first
10142 group, are: Hg releases associated with produced municipal wastewater and several industrial activities
10143 – wastewater from coal-fired power plants, coal washing and produced water generated during oil and

10144 gas production. All sectors from the second group are new addition to the global inventory and have not
10145 been addressed in the 2010 inventory.

10146 **Group 3:** This group covers Hg releases from wastes associated with the use of Hg-added products:
10147 batteries, measuring devices, lamps, electrical and electronic devices, dental applications, and other
10148 uses. Releases are produced using approach comparable to that applied to calculate emission to air (See
10149 section 2.2.2. of Chapter 2 and Annex 3 for details), adjusted to aquatic Hg fate. The model used
10150 considers regional patterns of consumption of Hg and Hg-containing products and initially distributes Hg
10151 in products to different pathways using distribution factors. Releases to water are then assumed for
10152 breakage during use, waste recycling and from waste landfills, using fate-specific release factor (see
10153 Annex X.6 for details). This is a new methodological approach, as releases from the use of Hg-added
10154 products in 2010 inventory were derived using the UNEP Toolkit distribution approach.

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10157 **Figure 1.** Methods for estimating releases

10158 Initially, estimates of Hg releases for all sectors were made on the country level, as majority of input
 10159 data used are country specific. Technology and waste-management profiles of individual country (cross
 10160 ref.) were used for selection of Hg concentration ranges and other related activity data. Based on the
 10161 country-level information, Hg release estimates were then summarised according to sub-continental
 10162 regions, using the same regionalisation as that used for the air emission inventory.

10163 In the next step, various methods were applied to geospatially distribute country scale releases, as
 10164 described further in the Figure 1. Level of details of geospatial distribution vary from sector to sector,
 10165 and depends mostly on distribution surrogate data availability. In case of Group 1 sectors, methods used

10166 to geospatially distribute air emissions were applied also to the aquatic release estimates. The approach
10167 used is described previously in Wilson et al. (2006), AMAP/UNEP (2008, 2010) and Steenhuisen et al.
10168 (2015), and in summary assigns releases to point sources where possible, with the remainder being
10169 geospatially distributed according to distribution of appropriate surrogate parameter (see Section 2.3
10170 and Annex X for details). In case of Group 2 and Group 3, several “distribution masks” were created for
10171 application to releases from different sectors: (i) population density mask for distribution of releases
10172 associated with municipal waste-water and use of Hg-added products; (ii) locations of coal-fired power
10173 plants (CFPPs) for distribution of Hg releases with associated wastewater; (iii) coal deposits mask for
10174 distribution of Hg releases from coal washing, and (iv) on-shore and off-shore oil fields mask for
10175 distribution of Hg releases during oil and gas production.

10176 In the final step, in addition to sub-continental summary, Hg releases were summarised based on major
10177 drainage basins of the world (see Section 3.2). The above mentioned distribution masks were used along
10178 with the drainage basins mask to distribute country-level estimates for individual sectors into
10179 appropriate drainage basin.

10180 **5.2.2 Sectors and activities**

10181 ***5.2.2.1 Sectors and activities quantified in the inventory***

10182 Selection of the sectors and activities for the aquatic inventory is driven by previously established
10183 knowledge about their relative importance, while their categorisation depends mainly on the data and
10184 type of information available for individual sector/activity. To the extent possible, categorisation was
10185 kept comparable with that used for the air emission sectors. The release estimates in the new 2015
10186 GMAAR inventory comprise the following release sectors:

- 10187 • Production of non-ferrous metals (primary production of aluminium, copper, lead and zinc) (O1)
- 10188 • Production of mercury metal (O2)
- 10189 • Production of gold from large-scale mining (O3)
- 10190 • Mercury releases from oil refining (E1)
- 10191 • Production of gold from artisanal and small-scale gold mining (O4)
- 10192 • Mercury releases from chlor-alkali industry (Hg cell technology) (W1)
- 10193 • Mercury releases with municipal waste-water (W2)

- 10194 • Mercury releases from coal-fired power plants (E2)
- 10195 • Mercury releases from coal washing (E3)
- 10196 • Mercury releases from Hg-added products (batteries, measuring devices, lamps, electrical and
- 10197 electronic devices, dental applications, and other uses) use and waste disposal (W3)
- 10198 • Mercury releases during oil and gas extraction (E4)

10199 In broader terms these sectors can be divided into three general categories: ore mining and processing
10200 sector (O), energy sector (E) and waste treatment and disposal (W). The first six items on the list are
10201 those included previously in the 2010 inventory. Among these the first four sectors are associated with
10202 by-product or unintentional Hg releases and latter two with intentional uses of Hg. Other items from the
10203 list are new addition to the 2015 inventory and comprise categories for which relative contribution of Hg
10204 releases to aquatic systems is considered to be significant, following mostly the example of Liu et al.
10205 (2016) and their release estimates for China.

10206 **5.2.2.2 Sectors and activities not quantified in the inventory**

10207 We recognise that there are additional sectors and anthropogenic activities, not taken into account in
10208 this inventory, but might be responsible for the delivery of additional Hg to local aquatic systems. For
10209 example, in the Hg release inventory from anthropogenic sources in China, releases from iron and steel
10210 industry, fabrication of textiles and apparel and printing industry were also considered, however
10211 estimated at less than 5% of total releases (Liu et al., 2016). Considering relative low importance of
10212 these sectors, especially in the light of the fact that there is no data available that would allow any
10213 reasonable global quantitative estimate, these sectors were not included in the 2015 inventory.

10214 On the other hand, it should be pointed out that there are processes associated with some of the
10215 sectors covered in the inventory that might result in additional quantities of Hg released, however not
10216 accounted for in the current inventory due to lack of sufficient information to develop a global
10217 inventory. One such example is dental industry where Hg releases are only partly covered within the
10218 releases from Hg-added products sector, while there might be additional ones during production and
10219 preparation of Hg amalgams fillings. The same goes also for production stage of other Hg-added
10220 products (e.g. thermometers, lamps and batteries), as only releases associated with the use of these
10221 products are considered in this inventory. Similar, in the case of Hg releases from coal industry, large

10222 quantities of water used during coal mining and transport, apart from those associated with coal
 10223 washing, might release significant amounts of Hg.

10224 **5.2.3 Sources of data and information used in the inventory**

10225 Primary sources of data and information used in the production of the release inventory are described in
 10226 Table 1. The following section briefly summarises data and information used to produce the estimates.

10227 Table 1. Primary sources of activity and other related data used to derive release estimates

Release category	Activity data ^a	Distribution/release factors ^b	Hg content ^c	Other
Non-ferrous metal (Cu, Pb, Zn, Al, Hg, large-scale Au) production	GMA 2015 air emissions	UNEP, 2017a,b	-	
Chlor-alkali industry	GMA 2015 air emissions	UNEP, 2017a,b	-	
Oil refining	GMA 2015 air emissions	UNEP, 2017a,b	-	
Artisanal and small-scale gold mining	Artisanal Gold Council	Artisanal Gold Council/ UNEP Partnership on Reducing Mercury in ASGM	Artisanal Gold Council/ UNEP Partnership on Reducing Mercury in ASGM	
Municipal sewage	AQUASTAT, 2017	-	To be added	Sato et al., 2013 UNEP, 2006
Coal-fired power plants	Liu et al. (2016); GCPT, 2017;	-	Liu et al., 2016	Biesheuvel et al., 2016
Coal washing	Enerdata, 2016	UNEP, 2017b; Liu et al., 2016; ENM, 2016	Annex 6 and Hg in coal reported therein	Carbon Locker, 2017
Hg-added products use and waste disposal	P. Maxon, pers. Comm.	UNEP, 2017b; Lin et al., 2016	-	
Produced water during oil production	IOGP, 2016 BP, 2016	-	IPIECA, 2012 IKIMP, 2012 Gallup and Strong, 2008	Lujala et al., 2007

10228

10229 **Group 1 sources:** For release categories using UNEP Toolkit distribution factors (chlor-alkali industry, oil
 10230 refining, large scale Au and non-ferrous metal production), respective air emissions developed in
 10231 Chapter 2 of this report were used as input data to calculate corresponding releases to water. For the
 10232 ASGM category, releases are discussed based on the amounts of Hg used in these activities and practices
 10233 employed in individual country, as discussed in detail in Annex 2 of this report.

10234 **Group 2 sources:** For estimation of Hg releases associated with municipal sewage, information on
 10235 amounts of municipal wastewater generated and its treatment practices in individual countries were
 10236 used. Amounts of municipal wastewater were obtained mostly from AQUASTAT, the FAO's global water

10237 information system, while waste-water treatment practices were obtained based on national data on
10238 waste-water generation, treatment, and use, as summarised by Sato et al. (2013). For countries with no
10239 data general regional averages were adopted from the UNEP report (UNEP, 2006). Ranges of Hg
10240 concentrations for untreated wastewater and water treated in treatment plants were selected based on
10241 ranges reported in literature, taking the waste management profile of individual country into account
10242 (see Annex X.1 for details).

10243 Releases associated with wastewater from coal-fired power plants were estimated based on amounts of
10244 waste-water generated per MWh of energy produced, as estimated from data presented by Liu et al.
10245 (2016). Hg concentration ranges applied were taken from the same source. Realized total energy output
10246 from CFPPs in individual country which was calculated from electricity generation capacities obtained
10247 from the Global Coal Plant Tracker database (GCPT, 2017) using country-specific capacity factors
10248 adopted from Biesheuvel et al. (2016).

10249 Global releases due to coal washing are estimated using information on production rates, Hg coal
10250 content, the Hg removal efficiency of coal washing and the coal washing rates. Activity levels of raw coal
10251 production for individual country were obtained from the global energy statistical yearbook (Enerdata,
10252 2016), information on type of coal produced from international energy statistics (EIA, 2017), Hg content
10253 of various coal types was selected based on ranges reported in scientific literature (see Annex 6), coal
10254 washing rates in major producing countries adopted from Energy News Monitor (ENM, 2016) and Hg
10255 removal efficiency from UNEP (2017) and Liu et al. (2016).

10256 Releases of Hg with water produced during oil and gas extraction are estimated based on global oil and
10257 gas production patterns, discharged produced water and Hg content in various oil and gas fields.
10258 Amounts of produced water discharged globally were estimated based on data from International
10259 Association of Oil and Gas Producers (IOGP, 2016) and BP Statistical Review of World Energy (BP, 2016),
10260 while ranges of associated Hg concentrations were selected considering regional differences in Hg
10261 content in oil fields throughout the world (IPIECA, 2012).

10262 **Group 3 sources:** For estimation of Hg releases associated with the use and disposal of Hg added
10263 products information consist of estimated Hg consumption in one year covering the product groups:
10264 batteries, measuring devices, lamps, electrical and electronic devices, dental applications, and other

10265 uses (P. Maxon, 2017). The same distribution factors as in the case of air emissions were used to follow
10266 the fate of mercury through major pathways (see Annex 3 for details). Water specific release factors
10267 were selected and adjusted according to waste management profile of individual country based on
10268 factors from the Toolkit (UNEP, 2017) and Lin et al. (2016).

10269 **5.2.4 Relationship with independent inventories and approaches**

10270 In Figure 2 comparison of sectors for which releases to aquatic systems are being reported in various
10271 independent release inventories is shown schematically. Arrows indicate sectors comparable to various
10272 extent to GMAAR approach used in this study and which we use for comparisons with our estimates. In
10273 the following section, an overview of these independent inventories is given.

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UNEP Toolkit/MIA	E-PRTR	NA-PRTR
Energy consumption	Energy sector	Utilities / Manufacturing
→ Coal wash	Thermal power stations and other combustion installations	Coal mining
Fuel production		Electric power generation
→ Oil extraction		Oil and gas extraction
→ Oil refining	Mineral oil and gas refineries	Petroleum refineries
Primary metal production	Production and processing of metals	
→ Mercury (primary) extraction and initial processing		
→ Gold and silver extraction with mercury amalgamation processes		
→ Production of copper from concentrates	Metal ore (including sulphide ore) roasting or sintering installations	Nonferrous metal (except aluminium) smelting and refining
→ Gold extraction and initial processing by methods other than mercury amalgamation	Production of non-ferrous crude metals from ore, concentrates or secondary raw materials	
→ Alumina production from bauxite		Alumina and aluminium production and processing
	Production of pig iron or steel including continuous casting	Iron and steel mills and ferroalloy manufacturing
	Processing of ferrous metals	
	Surface treatment of metals and plastics using electrolytic or chemical processes	
Intentional Hg use in industry	Chemical industry	Chemical manufacturing
→ Chlor-alkali production	Industrial scale production of basic inorganic chemicals	Other basic inorganic chemical manufacturing
→ VCM production	Industrial scale production of basic organic chemicals	Other basic organic chemical manufacturing
→ Acetaldehyde production	Industrial scale production of phosphorous, nitrogen or potassium based fertilizers	Fertilizer manufacturing
	Industrial scale production of basic plant health products and of biocides	
	Industrial scale production of basic pharmaceutical products	Pharmaceutical and medicine manufacturing
Production of products with Hg content		
→ Thermometers with mercury		Navigational, measuring, medical and control instruments
→ Electrical switches and relays with mercury		Electric lamp bulb and part manufacturing
→ Light sources with mercury		Battery manufacturing
→ Batteries with mercury		Pesticides and other agricultural chemical manufacturing
→ Biocides and pesticides with mercury		Paint and coating manufacturing
→ Paints with mercury		
Use and disposal of products with Hg content		
→ Dental mercury-amalgam fillings		
→ Manometers and gauges with mercury		Medical equipment and supplies manufacturing
→ Laboratory chemicals and equipment with mercury		
Production of recycled metals		
→ Production of recycled mercury ("secondary production")		
→ Production of other recycled metals		
Waste deposition/landfilling and WWT	Waste and waste water management	Utilities/Waste management
→ Controlled landfills/deposits	Disposal or recovery of hazardous waste	Waste collection
→ Disposal of non-hazardous waste	Disposal of non-hazardous waste	Waste treatment and disposal
→ Landfills		
→ Informal dumping of general waste		
→ Waste water system/treatment	Urban waste-water treatment plants	Sewage treatment facilities
	Independently operated industrial waste-water treatment plants serving a listed activity	
	Incineration of non-hazardous waste	
	Mineral industry	Mining, quarrying and gas extraction
	Underground mining and related operations	Mining (except oil and gas)
	Open cast mining and quarrying	Support activities for mining and oil and gas extraction
	Production of cement clinker or lime in rotary kilns or other furnaces	
	Manufacture of glass, including glass fibre	
	Paper and wood production processing	
	Production of pulp from timber or similar fibrous materials	Paper manufacturing
	Production of paper and board and other primary wood products	
	Animal and vegetable products from the food and beverage sector	Manufacturing
	Treatment and processing of animal and vegetable materials in food and drink production	Food manufacturing
		Beverage and tobacco product manufacturing
	Other activities	
	Surface treatment of substances, objects or products using organic solvents	

10274

10275 **Figure 2.** Comparison sectors used in various release inventories with arrows indicating sectors comparable (directly

10276 or indirectly) to GMA approach used in this study

10277 For some countries independent inventories are available conducted as part of the Minamata Initial

10278 Assessments (MIAs) (ref.) and where UNEP Toolkit was used for identification and quantification of Hg

10279 releases.

10280 The European Pollutant Release and Transfer Register (E-PRTR) is publically available Europe-wide
10281 register that provides key environmental data, including measurement of Hg releases to the air, water
10282 and soil as well as off-site transfers of waste, from by over 30,000 industrial facilities in European Union
10283 Member States and in Iceland, Liechtenstein, Norway, Serbia and Switzerland (UNEP, 2016). The
10284 following main sectors are covered in E-PRTR (<http://prtr.ec.europa.eu>) and data is available for 2007-
10285 2014 period: 1) energy sector, 2) production and processing of metals, 3) mineral industry, 4) chemical
10286 industry, 5) waste and wastewater management, 6) paper and wood production processing, 7) intensive
10287 livestock production and aquaculture, 8) animal and vegetable products from the food and brewery and
10288 9) other activities. For each sector several sub-activities exist, however only those reporting Hg releases
10289 to water are shown in Figure 2. In case of E-PRTR it should be noted that reporting requirements are
10290 subject threshold which is set at the relatively high 1 kg Hg/yr.

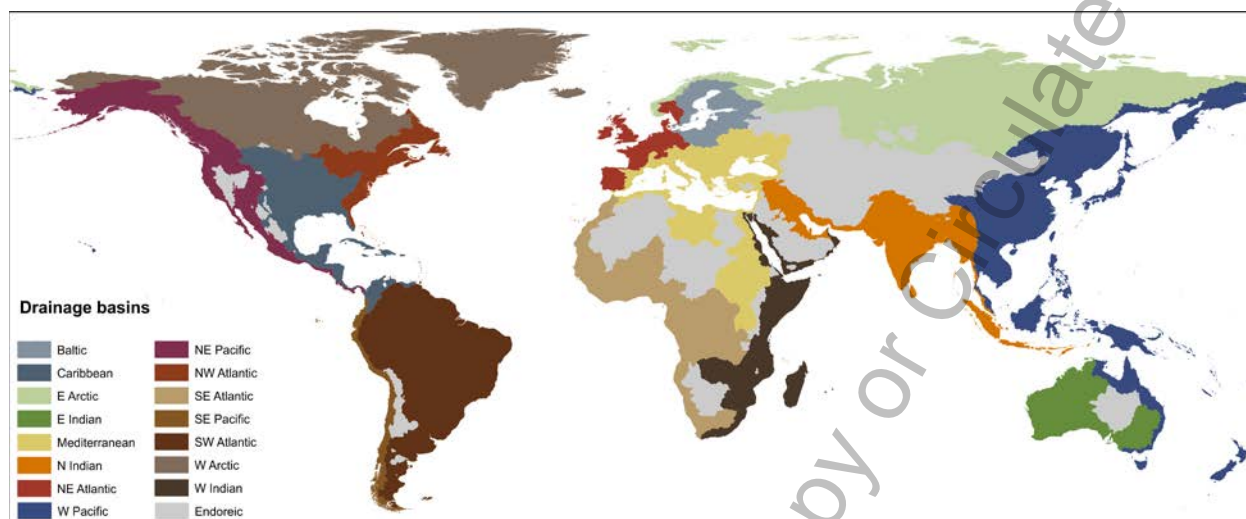
10291 NA-PRTR: Canada, Mexico, United States report data from 2006 to 2013 for states, provinces and
10292 territories on different levels (<http://www.cec.org/>) for different pollutant types including Hg within the
10293 North American Pollutant Release and Transfer Register (NA-PRTR). In the NA-PRTR inventory North
10294 American Industry Classification System (NAICS) is used, a system working on various levels of detail. In
10295 Figure 2, for the comparability reasons, sectors relevant for aquatic Hg releases from different NAICS
10296 levels are indicated. Similar as in the case of E-PRTR there is a threshold amount for reporting in NA-
10297 PRTR.

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10301 **5.2.5 Regionalisation based on drainage basins**



10302

10303 **Figure 3.** Drainage basins considered in the inventory (source: compiled by William Rankin (personal
10304 communication) based on USGS Hydro1k database (Garretson, SD, USA))

10305 Additional regionalisation used to summarise inventory results is based on major global drainage basins
10306 map illustrated in Figure 3. The map comprises 15 basins draining to the principal oceans and seas of the
10307 world. An additional group of endorheic basins consists of several basins distributed in various parts of
10308 the world and that do not drain to the oceans. These basins used for the spatial distribution of Hg
10309 releases estimated in our inventory have quite different characteristics, e.g. in terms of land-use and
10310 population density. Important shares of crop land are present in NE Atlantic, N Indian, Caribbean and
10311 Mediterranean basins. The artificial surfaces have the highest shares in NE Atlantic and NW Atlantic
10312 basins, followed by Caribbean, Baltic and Mediterranean basins. On the other hand, drainage basins
10313 with the highest population density are N Indian, NE Atlantic and W Pacific.

10314 **5.2.6 Uncertainties and limitations**

10315 It should be pointed out that, given the global scope of this assessment, there are several limitations of
10316 this work and the estimates presented here are just that – the estimates. Numbers discussed in the
10317 following sections are derived using a number of different approaches and various assumptions, and the
10318 use of alternative approaches and assumptions might result in significantly different values. It was out of
10319 the scope of this work, however, to address these aspects into detail.

10320 In order to provide some quantification of the uncertainties associated with the 2015 inventory, upper
10321 and lower range releases were produced for all sectors. For the sectors using the Toolkit approach,
10322 upper and lower range release estimates were calculated using the methodology used for emission
10323 inventory and described in Chapter 2 of this report. For the Group 2 and Group 3 sectors, upper and
10324 lower range releases were produced using the respective upper and lower ranges of Hg levels and
10325 associated activity data, respectively. Uncertainties related to the input data selected are further
10326 discussed for selected sectors in Section 3.4.

10327 In addition to the above mentioned uncertainties, an additional limitation of this work is the possible
10328 double counting on one hand and the potential for underestimation of releases on the other. All sectors
10329 included in the inventory have a distinctive Hg sources and their pathways are clearly identified. The
10330 exception are releases associated with municipal waste-water which might contain a fraction of releases
10331 accounted for in the Hg-added products sector, releases resulting from breakage during use pathway to
10332 be specific. This latter pathway is however a minor share representing only 5% of releases from Hg-
10333 added products sector. As to the possible underestimation, a number of sectors and activities are
10334 identified in Section 2.2.2 that are not included in the current inventory, but might be important
10335 contributors to Hg releases on global scales. The current inventory of global anthropogenic Hg releases
10336 to aquatic systems is a work in progress, and an important step towards filling a major gap in inventories
10337 of anthropogenic Hg releases to the environment.

10338 **5.3 Estimating global anthropogenic mercury releases: Results**

10339 Given the specific nature of releases associated with artisanal and small scale gold mining (see section
10340 3.3.6 for details), results for ASGM and non-ASGM sectors are discussed separately. In section 3.1
10341 overall results are discussed considering releases summarised based on three general source categories
10342 (ore mining and processing, energy sector and waste treatment) and sub-regions. Section 3.2 presents
10343 inventory results spatially resolved according to major drainage basins of the world, while details for
10344 selected sectors are given in section 3.3, including discussions on trends where possible and the
10345 associated uncertainties.

10346 Using the methods described above, the total estimated inventory of anthropogenic Hg releases from
 10347 sources for which there was enough information to provide quantitative estimates, is 434 (x-y) t/y
 10348 (ASGM not included).

10349 **5.3.1 Inventory results by region and sectors**

10350 Table 2 summarises the distribution of the estimates of global anthropogenic Hg releases to aquatic
 10351 systems according to sub-continental regions. Table 3 presents the results per region on a per capita
 10352 basis, for ASGM and other sectors.

10353 Table 2. Global anthropogenic mercury releases to aquatic systems from different regions

Sub-continent	Releases ^a (range), t	%
Australia, New Zealand & Oceania	5.01 (x - y)	1.2
Central America and the Caribbean	19.9 (x - y)	4.6
CIS & other European countries	46.3 (x - y)	11
East and Southeast Asia	160 (x - y)	37
European Union	17.7 (x - y)	4.1
Middle Eastern States	14.9 (x - y)	3.4
North Africa	10.8 (x - y)	2.5
North America	22.3 (x - y)	5.1
South America	36.1 (x - y)	8.3
South Asia	54.2 (x - y)	12
Sub-Saharan Africa	46.7 (x - y)	11
Total	434 (x - y)	100

10354 ^aValues rounded to three significant figures, ASGM not included

10355 Table 3. Per capita anthropogenic mercury releases to aquatic systems in different regions

Sub-continent	Per capita releases from non-ASGM sectors, g	Per capita releases from ASGM ^a , g
Australia, New Zealand & Oceania	0.16	0.00
Central America and the Caribbean	0.09	0.30
CIS & other European countries	0.14	0.03
East and Southeast Asia	0.07	0.19
European Union	0.04	0.00
Middle Eastern States	0.05	0.00
North Africa	0.06	0.00
North America	0.06	0.00
South America	0.09	0.95
South Asia	0.03	0.00
Sub-Saharan Africa	0.05	0.10
Global	0.06	0.14

10356 ^aTo both land and water

10357 Figure 4 and Table 4 summarise the distribution of the estimates of global anthropogenic Hg releases to
10358 aquatic systems according to sector. Apart from combined releases to water and land resulting from
10359 ASGM activities, the majority of the global anthropogenic releases of Hg to aquatic systems are
10360 associated with the waste treatment sectors (52%), followed by energy sector (26%) and ore mining and
10361 processing group of sectors (22%). Overall, the new inventory is dominated by releases from two
10362 individual sectors, namely releases resulting from the use and disposal of Hg added products, and those
10363 associated with municipal wastewater. These two sectors alone contribute more than half (52%) of the
10364 total releases from all the sectors included. Other major release sectors include waste-water from coal
10365 fired power plants (13%), non-ferrous metals production (11%), coal washing (9.7) and production of
10366 gold from large-scale mining (9.4%).

10367 The three newly added sectors (municipal wastewater, CFPPs and coal washing) are driving the relatively
10368 large difference between the 2010 and 2015 anthropogenic Hg release inventory (185 t/y in 2010
10369 compared to 434 t/y in 2015). Here it should be noted that compilation of the global aquatic Hg
10370 inventory including identification of new sources is an ongoing activity, and as recognised in the 2010
10371 inventory already, global releases are assumed to be underestimated due to the lack of information for
10372 some sources. In addition, there were some methodological changes incorporated in the 2015 inventory
10373 and as such both inventories cannot be directly compared. On the other hand, it must be pointed out
10374 that the three newly added sectors have the largest associated uncertainty among all included sectors.
10375 Methodological changes and uncertainties are further discussed in Section 3.3.

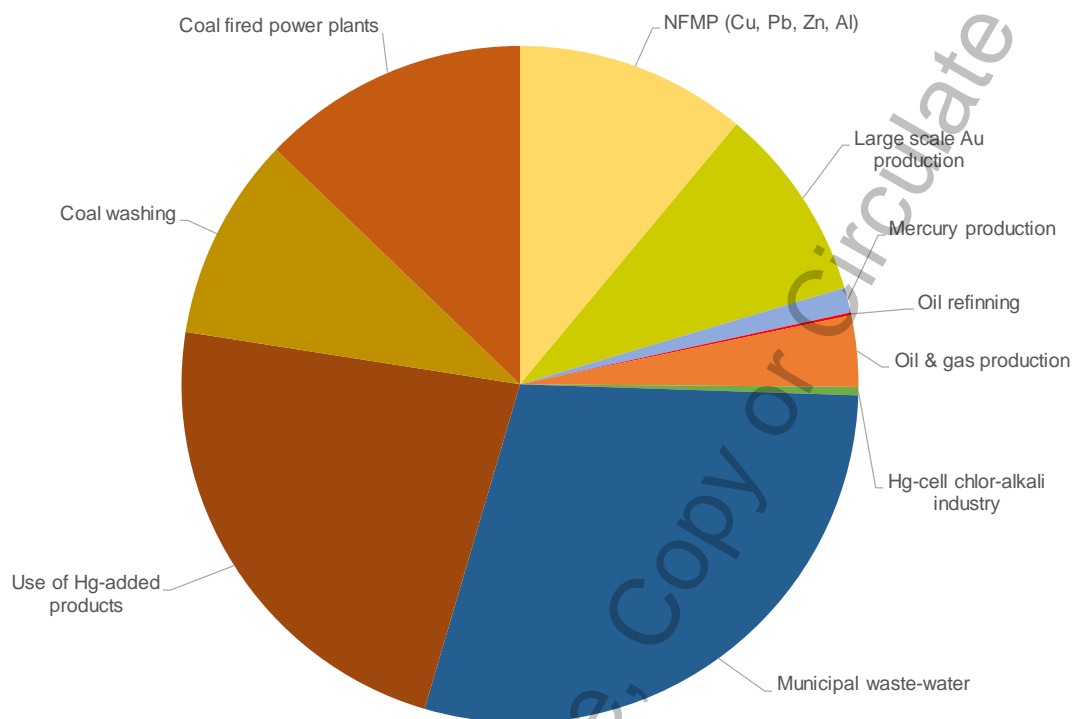


Figure 4. Proportions of global anthropogenic mercury releases to water in 2015 inventory from different sectors

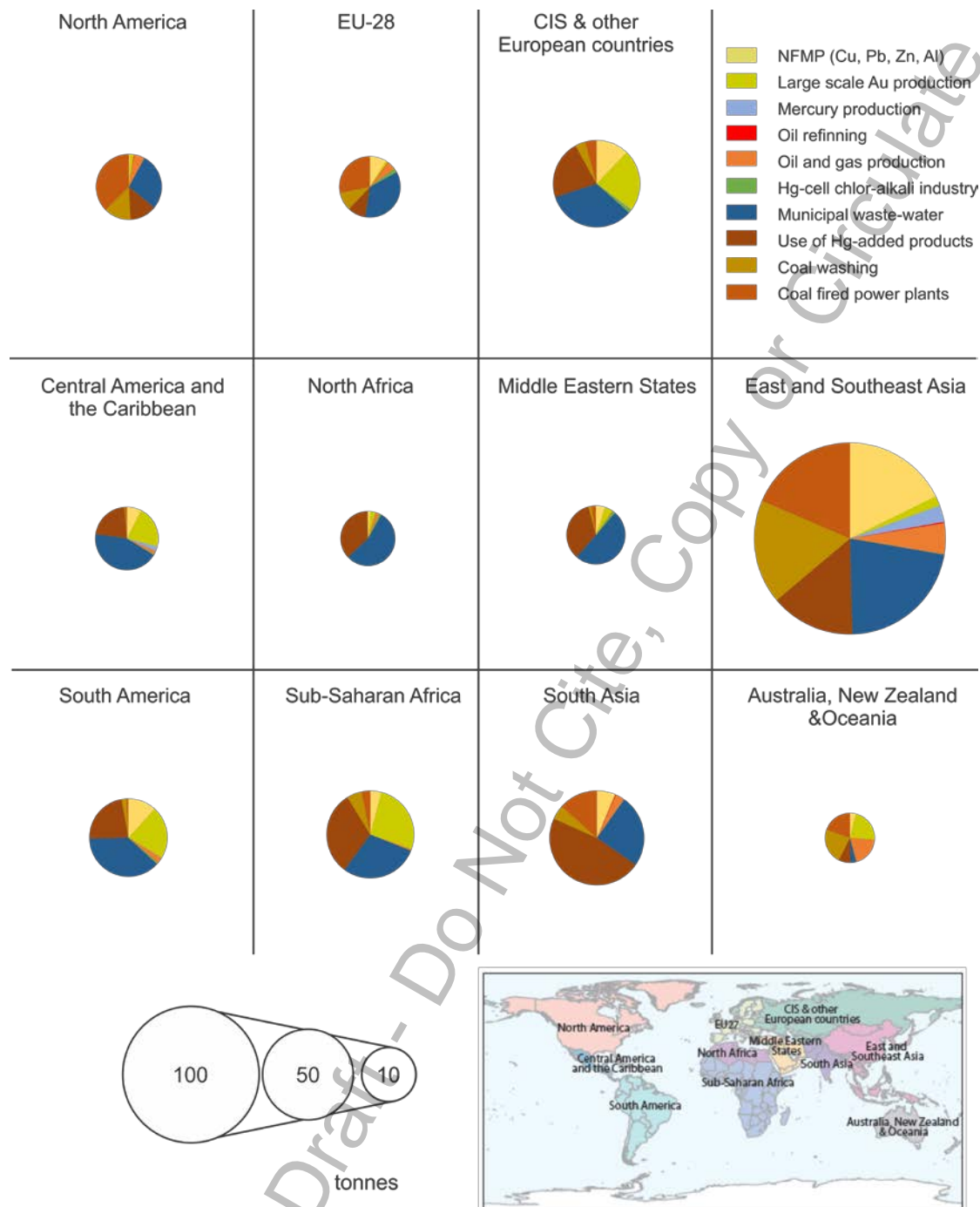
Table 4. Global anthropogenic mercury releases to aquatic systems from different sectors

Sector	Releases (range), t ^a	% ^b
Production of non-ferrous metals (primary production of copper, lead, zinc and aluminium)	47.9 (x - y)	11
Production of mercury metal	5.18 (x - y)	1.2
Production of gold from large-scale mining	40.6 (x - y)	9.4
Mercury releases from oil refining	0.56 (x - y)	0.1
Mercury releases during oil and gas production	14.7 (x - y)	3.4
Mercury releases from chlor-alkali industry (Hg cell technology)	1.74 (x - y)	0.4
Mercury releases with municipal sewage	126 (42 - 210)	29
Mercury releases from coal-fired power plants	55.6 (12.3 - 123)	13
Mercury releases from coal washing	42 (23 - 65)	9.7
Mercury releases from Hg-added products use and waste disposal	99.4 (66.5 - 133)	23
Production of gold from artisanal and small-scale gold mining ^c	1011 (509 - 1513)	-
Total	434 (x - y)	

^aValues rounded to three significant figures; ^bASGM not included; ^cReleases to both land and water

10382 Figure 5 presents the 2015 inventory graphically by region and sector. It can be clearly seen from the
10383 illustration that relative contribution to the global anthropogenic Hg releases to water is by far the
10384 greatest in East and Southeast Asia. This is driven by large population and associated large industrial and
10385 other activities. As this region is a dominant source of Hg releases from all sectors, distribution of
10386 releases between sectors reflects the global one. On the other hand, relative contribution of Hg releases
10387 from different sectors varies a lot from region to region, clearly reflecting differences in technological
10388 and socio-economic status of the regions.

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10390 **Figure 5.** Regional pattern of global anthropogenic mercury releases to water in 2015 inventory from different sectors

10391 **5.3.2 Inventory results by drainage basin**

10392 **To be added**

10393 **5.3.3 Discussion of results for selected sectors**

10394 The following sections discuss details on Hg releases associated with major release sectors. For the
10395 sectors included in both 2010 and 2015 inventory, trends in releases are also addressed, as well as
10396 differences in methods used to derive the estimates.

10397 **5.3.3.1 NFMP including Cu, Pb, Zn, Al, Hg and large scale Au production**

10398 The estimates included in the current inventory for releases from copper (Cu), lead (Pb), zinc (Zn),
10399 aluminium (Al), mercury (Hg), large scale gold (Au) production were all included previously in the 2010
10400 inventory. Sum of releases from these sectors is comparable between the two inventories (92.5 vs.
10401 88.5t/y), with around half of it resulting from large-scale gold production. It should be noted however
10402 that this latter sector has large associated uncertainties.

10403 **5.3.3.2 Municipal sewage**

10404 Releases from municipal sewage have not been addressed in the 2010 inventory. Estimates suggest that
10405 this sector is an important sector contributing significant amounts (29%) to the total global inventory.
10406 Given the input data and approach used for estimating Hg releases (details in Annex X.2), Hg releases
10407 from this sector are linked closely to water-use patterns and wastewater treatment practices in
10408 individual countries. Substantial part of municipal waste-water results from domestic water uses, but
10409 also from commercial and industrial effluents and storm water. While developed nations have very large
10410 per-capita water use and efficient wastewater treatment, people in developing countries use much less
10411 water, however with poorly developed wastewater collection and treatment systems (Sato et al., 2013).
10412 It is expected that with increases in population of developing nation's water demand and associated Hg
10413 releases will increase in these regions. On the other hand, it should be noted that global distribution and
10414 consumption of Hg containing products as one of the most important sources of Hg for this sector, is not
10415 uniform, and will largely depend on individual country's economy, with more products being consumed
10416 in developed parts of the world. Phase out of many products that contain Hg under the Minamata
10417 Convention is expected to result in decreases of Hg releases with municipal sewage, and so is the
10418 anticipated increased treatment of wastewater.

10419 While Hg concentrations in both treated and untreated municipal waste-water are relatively well
10420 documented in the literature, Hg release estimates for this sector depend largely on data on global
10421 water use patterns, information that is considered as the least reliable and most inconsistent of all

10422 water resources information (Gleick et al., 2014). The major limitations are lack of reporting standards,
10423 differences in approaches used to derive the information on water usages, and large inconsistencies in
10424 reporting years (Gleick et al., 2014). Another source of uncertainties lies in the fact that country-scale
10425 wastewater treatment levels (i.e. primary, secondary, and tertiary), practices that have significantly
10426 influence on effluent Hg concentrations, are mostly unknown. In our estimates, different Hg removal
10427 efficiencies for treated water were assigned to individual countries based on their waste management
10428 profile (cross ref).

10429 **5.3.3.3 Coal industry**

10430 Releases from coal industry have not been addressed in previous global inventories. In the 2015
10431 inventory we consider two types of releases resulting from associated water use: Hg releases with
10432 wastewater from coal-fired power plants and those resulting from coal washing. Together both releases
10433 are estimated to contribute 23% to the global inventory. Both types of release estimates are considered
10434 preliminary and have large associated uncertainties. In the case of coal-fired power plants, this reflects
10435 the fact that information on actual profiles of installations - water use practices, treatment and
10436 wastewater generation - is missing for most of the world's CFPPs and so is information on Hg
10437 concentrations in respective effluents. In case of coal washing the major uncertainties are the result of
10438 assumptions that had to be made regarding coal washing rates, removal efficiencies and especially
10439 selected share of Hg reaching aquatic systems in individual countries. Estimates are therefore made
10440 based on gross generic assumptions as described in Annexes X.3 and X.4.

10441 **Coal-fired power plants.** CFPPs are recognised as one of the major anthropogenic Hg emission sources.
10442 However, due to the lack of quantitative information, Hg releases to water from this sector were
10443 neglected in previous inventories. Large releases are the result of the fact that coal industry is by far the
10444 greatest water demanding anthropogenic activity in the world, and it was estimated that in 2013 CFPPs
10445 alone consumed 19 billion m³ of freshwater globally (Cheng and Lammi, 2016). While the vast majority
10446 of this water is used for cooling, and is usually not contaminated with Hg, additional water uses such as
10447 pollution control can also generate large amounts of Hg contaminated wastewater. Here, an attempt
10448 was made to quantify Hg releases with this latter non-cooling water-use types.

10449 Despite many uncertainties, there is now much more evidence based on both measured and estimated
10450 data about the significance of Hg releases from CFPPs. It is known that plants using wet scrubbers can

10451 discharge up to tens of kg of Hg to local surface waters per year (EIP, 2016, E-PRTR, 2014). In addition to
10452 discharges to surface water, even larger amounts of Hg (up to hundreds kg per year) are dumped into
10453 ash ponds which are prone to leaks (EIP, 2016). In a recent aquatic release inventory for China,
10454 wastewater discharged from CFPPs, although in gradual decline in the last decade, is recognised as one
10455 of the most important anthropogenic sources of Hg (Liu et al., 2016). Similar, according to European
10456 Pollutant Release and Transfer Register (E-PRTR, 2017), Hg releases from thermal power stations and
10457 other combustion installations are the second largest source – second only to urban waste-water
10458 treatment plants. Global Hg releases from this sector using assumptions described in Annex X.3 are
10459 based on information available for China (Liu et al., 2016) and are estimated in the 12-123 t/y range.
10460 Alternative to this approach would be an estimate made based on simple global upscaling of ratio of
10461 anthropogenic Hg released to water and air for China for this sector which is approximately 1:4. This
10462 would result in a global release of 50-110 t/y, which is a range comparable to the first approach.

10463 **Coal washing.** In addition to water used in CFPPs, large amounts of water are used during coal mining
10464 and washing. The latter is used to remove impurities and ash from the coal and results in the generation
10465 of a slurry of toxic material (Cheng and Lammi, 2016). Here, in the absence of detailed information, we
10466 use the approach similar to that of Liu et al. (2016) and make a preliminary estimate of likely magnitude
10467 of global Hg releases due to coal washing based on global coal production, coal Hg content, assumed Hg
10468 removal efficiencies, washing rates and environmental fate in individual countries (see Appendix X.4 for
10469 details). Given the fact that coal washing results in higher caloric value of coal and consequently a higher
10470 economic value, coal beneficiation is increasing throughout the world. Available information suggests
10471 that a higher share of the coal produced is treated in more developed countries but is also in increase in
10472 developing economies (Budge et al., 2000). Estimates available for China, the major coal producer in the
10473 world, indicate rapid increase of Hg releases from coal mining and washing with an annual average
10474 growth rate of 25% in the 2001-2012 period, making this sector the second largest anthropogenic source
10475 of aquatic Hg in China (Liu et al., 2016). Overall releases from this sector are largely dominated by
10476 releases from China (>60%), followed by other important coal producing countries such as United States,
10477 India, Australia and Indonesia. In addition to high uncertainty of the approach and sensitivity of all input
10478 information used to derive these estimates, it should be pointed out that these numbers are obtained
10479 based on very gross assumptions regarding environmental fate of Hg once washed from coal.
10480 Nevertheless, even larger quantities of Hg in the magnitude of tens of tonnes per year are assumed to

10481 accumulate in the slurry ponds at coal washing sites globally, representing a great environmental hazard
10482 for local aquatic systems, as these ponds are often very prone to brakeage and leaking (Cheng and
10483 Lammi, 2016).

10484 **5.3.3.4 Oil industry**

10485 The 2015 inventory includes two types of releases associated with oil industry. Hg releases from oil
10486 refining were included previously in the 2010 inventory, while releases with produced water during
10487 crude oil and gas production is a newly added sector. Given the fact that in 2015 oil refineries processed
10488 similar amounts of crude as in 2010, and that the same method was used to estimate releases,
10489 differences between the two inventories are negligible. Both release types, refining and crude
10490 processing, together contribute approximately 3.5% of the total inventory. Of that a vast majority (96%)
10491 is attributed to produced water, and of which ~85% is occurring off-shore. Using the approach described
10492 in detail in Annex X.5, almost 70% of these releases are attributed to Asian countries due to large
10493 amounts of produced water and more mercury contained in these regions oil and gas fields. There might
10494 be additional releases from this industrial activity such as releases during separation and transportation
10495 of crude oil and gas not accounted for in this inventory.

10496 **5.3.3.5 Hg-added products – use and waste disposal**

10497 Hg-added products sector comprise of releases from the following product groups: batteries, measuring
10498 devices, lamps, electrical and electronic devices, dental applications, and other uses (see Annex 3 for
10499 details). In the 2010 inventory, releases for this sector were estimated based on Hg emission inventory
10500 by using the distribution factors from the UNEP Toolkit to calculate the corresponding magnitudes of
10501 releases to water. The 2015 inventory adopts the model used to estimate mercury emission from waste
10502 streams associated with intentional use sectors and considers releases for three main pathways of Hg-
10503 added products: breakage during use, waste recycling and waste landfilling (see details in Annex X.6). In
10504 addition to the new method used to derive the estimates, there is a change in the models input data. In
10505 the 2010 inventory part of the mercury from Hg-added products (approx. 30%) was considered as
10506 “retained in use” and is now included in the waste streams and consequently in emission and release
10507 pathways, respectively.

10508 Our estimates suggest significant Hg releases due to usage and disposal of Hg added products (66-133
10509 t/y), a vast majority (91%) being associated with uncontrolled landfilling of waste which is primarily

10510 occurring in developing countries, followed by releases during breakage (5%) and recycling (4%). Due to
10511 environmental regulations and new technologies available, the use of Hg in products is in decline and so
10512 are environmental releases of Hg, especially in developed countries. Substitution of Hg-added products
10513 with non-Hg containing alternatives, however, is also becoming evident in developing countries. An
10514 exception are products without the adequate Hg-free alternatives such as lightning devices which are
10515 also excluded from the Minamata Convention.

10516 It should be noted that these estimates depend largely on estimates of regional consumption of Hg-
10517 added products. While this information is available for developed countries, very little information is
10518 available on the real consumption patterns for Hg-added products in developing countries.

10519 **5.3.3.6 Artisanal and small-scale gold mining (ASGM)**

10520 Given the fact that there is still not enough information and knowledge to separate terrestrial releases
10521 between water and land, releases associated with artisanal and small-scale gold mining (ASGM) remain
10522 a “special” sector in the inventory. The detailed reasoning for this is given in 2010 inventory
10523 (AMAP/UNEP, 2013). In summary, Hg releases for this sector are based on amounts of Hg used in ASGM
10524 activities and the characteristics of the mining practices applied in individual countries. The
10525 methodological approach used differentiates between emissions to air and releases to both land and
10526 water (details including example calculation is given in Annex 2). At this point, it is not possible to
10527 directly determine what the proportion is of Hg associated with this later pathway that will enter
10528 hydrosphere. In addition to the direct losses occurring during ore amalgamation, large quantities of Hg
10529 are accumulating in soils and sediments surrounding ASGM sites over the time. This accumulated Hg has
10530 potential to be remobilised and enter aquatic systems, however with a time-lag usually unknown,
10531 depending largely on site-specific environmental conditions. It is estimated that ASGM releases to water
10532 and land in 2015 are 1011 t/y (range, 509-1513 t/y).

10533 **5.3.4 Comparison of estimates with national reported inventories and other sources**

10534 **To be added**

10535 **5.3.5 Inventory in the context of global Hg cycle**

10536 **To be added**

10537 **5.4 Conclusions**

10538 **5.4.1 Key findings**

- 10539 - The 2015 global inventory of Hg releases from anthropogenic sources is more complete and
10540 reinforces the importance of these sources in the global context.
- 10541 - Global releases of anthropogenic Hg to freshwater, excluding ASGM, based on revised estimates are
10542 430 t/y, compared to 180 t/yr in the 2010 estimate.
- 10543 - New sectors were added to this inventory and include releases with municipal wastewater, from
10544 coal washing, coal fired power plants and with produced water during oil and gas production.
10545 Uncertainties for these sources are large (+/- X%). Better information about coal washing practices
10546 and fate of Hg during various water uses in coal fired power plants are needed, in particular.
- 10547 - While levels of Hg associated with individual sectors included in the inventory are relatively well
10548 established, all other supporting information (e.g. production rates, waste-water generation,
10549 treatment practices etc.) is much more unreliable and inconsistently reported, and drives the
10550 uncertainties of the estimates.

10551 **5.4.2 Future gaps and needs**

- 10552 - Reduction of uncertainties for all the sectors included in the inventory is needed by using more
10553 systematic and harmonised approaches in data collection.
- 10554 - Not only information on Hg content must be improved, but especially information on related activity
10555 data needed to derive the estimates.
- 10556 - Additional sectors and anthropogenic activities, not taken into account in this inventory, as
10557 discussed in detail in Section 2.2.2, should be included in future inventories. Although recognised as
10558 less relevant in the global context in this work, some of these sources might be significant
10559 contributors of Hg to local aquatic systems.
- 10560 - Estimates in the 2015 inventory are made based on country-level information. Future work would
10561 benefit from inclusion of more detailed facility-level information to improve the spatial distribution
10562 component of this work. Along these lines, more detailed knowledge on differences in technologies
10563 used, waste treatment practices and Hg consumption patterns in individual countries should be
10564 incorporated.

- 10565 - Harmonisation of methodological approaches for estimating the releases is needed, e.g. something
10566 along the lines of the UNEP Toolkit approach but focused on aquatic Hg releases.
- 10567 - Although out of the scope of this chapter, lack of knowledge regarding the fate of Hg once released
10568 from the source was recognised as a limiting factor for placing inventory results in the context of the
10569 global Hg cycle. Future work should focus more on establishing relationships between catchments
10570 characteristics, sources within individual catchments and the Hg outflows. Nowadays, techniques
10571 like isotope tracer experiments and isotope ratio measurements of Hg are available to address this
10572 issues.

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10577 **Annex X**

10578 Given the global nature of the inventory and general lack of data/information on aquatic Hg releases
 10579 and associated information, assumptions had to be made to derive the estimated presented in this
 10580 work. Often these assumptions are difficult to validate. For the transparency reasons details on the
 10581 data/information and assumptions made within individual release category are given here.

10582 **X.1 Group 1 sectors**

10583 Group 1 sectors use UNEP Toolkit distribution factors from Table X.1 to calculate releases to water from
 10584 the 2015 air emission inventory. Details for compiling data and derivation of air emissions are given in
 10585 Chapter 2 and Appendixes 1-6.

10586 Table X.1. UNEP Toolkit distribution factors and scaling factors for water/air distribution

Sector	UNEP Toolkit distribution factor		Scaling factor (water/air)
	to air	to water	
Chlor-alkali industry	0.1	0.01	0.1
Oil refining	0.25	0.01	0.04
Large scale Au	0.04	0.02	0.5
Non-ferrous metal production (Cu, Pb, Zn)	0.1	0.02	0.2
Non-ferrous metal production (Al)	0.15	0.1	0.67
Non-ferrous metal production (Hg)	0.25	0.06	0.24

10587

10588 **X.2 Municipal wastewater**

10589 The 2015 inventory for Hg releases associated with municipal wastewater is based on information
10590 regarding volumes of municipal wastewater produced, wastewater treatment practices and reported Hg
10591 concentrations measured in wastewater before (influent) and after the treatment (effluent). Municipal
10592 wastewater is water that has been used for municipal use and is afterwards released back to the
10593 environment. Treatment of this released water mostly depends on prosperity of the country and
10594 consequently its capacities and number of wastewater treatment plants. Bulk of the information for
10595 individual countries was obtained from the AQUASTAT database of the Food and Agriculture
10596 Organisation of the United Nations (FAO). AQUASTAT reports amounts of municipal wastewater
10597 generated within urban areas. Since not all countries are reporting their amounts of municipal
10598 wastewater on regular yearly basis, the last available data for each country was used. For countries with
10599 no data available, waste-water was calculated based on assumed water use per person per day. Water
10600 use averages for individual continent were selected and assigned to the countries with missing data: 230
10601 for Asia, 50 for Africa, 200 for Europe, 100 for Oceania and 100 l/person/day for Caribbean countries.
10602 Percentage of treated waste-water has been then assigned to each country. Treatment data are based
10603 on the numbers from Sato et al. (2013). For the countries with no specific values on treatment, general
10604 regional ratios from UNEPs state of the marine environment report were adopted (UNEP, 2006),
10605 assuming similarities within regions and between the neighbouring countries.

10606 Magnitude of Hg releases from this sector will depend greatly on the amount of Hg products used,
10607 general waste handling practices and especially level of waste-water treatment - information lacking for
10608 most of the countries. In absence of such information, generic waste management profile of a country
10609 was used and different ranges of Hg concentrations applied for untreated wastewater and wastewater
10610 treated in treatment plants, to estimate releases for individual country. These estimates are based on an
10611 assumption that Hg concentrations in untreated wastewater are lower in more developed countries
10612 compared to those in developing nations, as seen from values reported in scientific literature. Further
10613 assumption is that Hg removal is more efficient in developed countries due to greater levels of waste-
10614 water treatment (Table X.2).

10615

10616

10617 Table X.2 Ranges of Hg concentrations in untreated and treated sewage used to derive the estimates

Profile	Hg in untreated wastewater [ng/L]	Hg removal efficiency [%]	Hg in treated wastewater [ng/L]
1	100-500	95	5-25
2	300-1500	80	60-300
3	300-1500	70	90-450
4	300-1500	60	120-600
5	300-1500	50	150-750

10618

10619 X.3 Coal-fired power plants

10620 The 2015 inventory for Hg releases with wastewater from coal-fired power plants uses a very coarse
10621 approach for a first preliminary estimate of global magnitudes associated with this sector. In the
10622 absence of more detailed country-specific information, the approach largely relies on information
10623 available for China and work carried out by Liu et al. (2016), by upscaling globally relationships between
10624 CFPPs electric capacities, amounts of wastewater produced and associated reported ranges of Hg
10625 concentrations reported in their work.

10626 The method applied is based on an assumption that on average global water use patterns in CFPPs are
10627 similar to those in China, country that is the single largest user of coal-derived electricity in the world.
10628 This is of course a rough generalisation, however inevitable in order to perform harmonised global
10629 calculation approach.

10630 Based on wastewater volumes reported by Lie et al. (2016) and total electricity generation capacity of
10631 CFPPs in China, wastewater generation was estimated at 0.25-0.5 m³ per MWh of energy produced. For
10632 the purpose of this wastewater generation estimate, realized energy output from CFPPs was calculated
10633 using the capacity factor of 0.55 (Biesheuvel et al., 2016). In order to estimate generation of wastewater
10634 in each country of the world with CFPP, wastewater generation rate from China was then used along
10635 with the information on country-wide CFPPs total capacity based on information provided in Global Coal
10636 Plant Tracer database (GCPT, 2017). Capacity factors used for calculation of the amount of energy
10637 produced in individual country were adopted from Biesheuvel et al. (2016). Final amounts of Hg releases

10638 per country were estimated using Hg concentrations in CFPPs generated wastewater in 5-25 mg/m³
10639 range (Liu et al., 2016 and references therein).

10640 **X.4 Coal washing**

10641 The 2015 inventory for Hg releases associated with coal washing is based on global coal production, coal
10642 Hg content, Hg removal efficiency and coal washing rates, following the approach of Liu et al. (2016).

10643 Total coal production in 2015 for individual country was obtained from the Global Energy Statistical
10644 Yearbook 2016 (Enerdata, 2016). In the absence of detailed per-country information on amounts of
10645 different coal types, regional information on coal type produced (anthracite, metallurgical, bituminous,
10646 subbituminous and lignite) was obtained from International Energy Statistics available for the year 2014
10647 (U.S. Energy Information Administration, 2017b). Regional ratios were then applied to individual
10648 country. For countries where information on Hg content in various Hg coals was available as summarised
10649 in Annex 6, country specific average Hg content was used, while for countries where this information is
10650 missing generic values were applied. Information on coal washing rates in individual countries is
10651 available for world's major coal producers only, China, United States, India and Australia, and varies in
10652 the 20-90% range. For the rest of the world we assume that higher percentages of coal produced are
10653 being washed in developed countries and assign the following washing rates using technology profiles
10654 (TP) of the country: TP1-80%, TP2-65%, TP3-50%, TP4-35% and TP5-20%. The Hg removal efficiency of
10655 coal washing is selected in 20-30% range (UNEP, 2017; Liu et al, 2016). It is further assumed that only
10656 part of Hg released during washing will reach local aquatic systems, the rest being deposited in slurry
10657 ponds. Using waste management profiles of individual country, following percentages for Hg reaching
10658 water courses were selected: WP1-20%, WP2-30%, WP3-40%, WP4-50% and WP5-60%.

10659 **X.5 Releases with produced water during oil and gas production**

10660 The 2015 inventory of Hg releases with produced water during oil and gas production is based on
10661 information on global oil and gas production patterns and knowledge about associated amounts of
10662 discharged produced water and Hg content in various oil and gas fields.

10663 Initially, amounts of produced water discharged globally were estimated using amounts and knowledge
10664 regarding percentage of global coverage as reported for various regions of the world (Africa,
10665 Asia/Australasia, Europe, FSU, Middle East, North America and South & Central America) by the

10666 International Association of Oil and Gas Producers (IOGP, 2016) for the target year 2015. Information on
10667 produced water discharged is available separately for onshore and offshore oil and gas production.

10668 Total per region amounts were then used together with selected Hg concentration ranges to derive
10669 regional Hg releases. Publically available information on Hg concentration in produced water is very
10670 scarce. It is known, however, that there can be significant differences in Hg content in different oil and
10671 gas fields throughout the world. Limited data available indicate Hg levels in produced water can vary
10672 from less than 1 ppm (IKIMP, 2012) to tens of ppm in some of the oilfields in the gulf of Thailand (Gallup
10673 and Strong, 2008). In the absence of detailed information on Hg concentrations in produced water from
10674 oil and gas fields of the world, different Hg concentrations were assigned to different regions of the
10675 world, using the regional breakdown for crude oil Hg concentrations by IPIECA (2012) (Table X.3).

10676 In the next step, regional releases divided to onshore and offshore share were proportionally
10677 downscaled to per country level, using information on oil and gas production in individual country as
10678 reported in BP Statistical Review of World Energy (BP, 2016) for the target year 2015. In the absence of
10679 detailed information on onshore and offshore production in individual country, PETRODATA, a spatially
10680 distributed dataset on global oil and gas fields (Lujala et al., 2007) was used to identify the countries
10681 with both or just one type of production.

10682 Table X.3. Regional breakdown of mercury median crude oil concentrations and assigned produced waste-water Hg
10683 concentrations

Continent	Median crude oil concentrations [ppm]	Produced water concentrations [µg/l]
Africa	1	3.0
Middle East	1	3.0
Europe	1.2	3.5
North America	1.2	3.5
South America	1.4	4.0
Pacific and Indian	3	9.0

10684 ^aIPIECA, 2012

10685 X.6 Hg added products

10686 In 2015 inventory mercury releases to water from Hg added products are produced using methodology
10687 comparable to that applied to estimate emissions to air (see Annex 3 for details). The approach uses
10688 regional patterns of consumption of Hg and Hg-containing products. Mercury releases at various points

10689 in the life-cycle of these products are estimated using assumptions regarding rates of breakage, waste
10690 handling, and factors for releases to water. The input data consist of estimated Hg consumption in one
10691 year (2015) covering following product groups: batteries, measuring devices, lamps, electrical and
10692 electronic devices, dental applications, and other uses. These amounts are then distributed to four
10693 different initial pathways (safe storage, breakage and releases of Hg during use, paths to the waste
10694 stream, products remained in use) using distribution factors. Waste pathways are further differentiated
10695 among waste recycling, waste incineration and waste landfill. This latter pathway is further distributed
10696 between two levels of waste management, controlled and uncontrolled waste landfill. Within these
10697 pathways, releases to water are assumed for breakage/release during use, recycling and from waste
10698 landfills. Releases to water are then estimated by applying release factors (RF) according to Table X.4 to
10699 the distributed individual amounts of Hg. For releases resulting from breakage during use, waste
10700 recycling and controlled landfills, release factors are the same for assigned generic profiles of waste
10701 management. A differentiation is introduced for releases from uncontrolled landfills by using different
10702 release factors for individual profiles. Using this approach, estimates were made for individual countries,
10703 while global population density/distribution map was then used to spatially distribute and summarise
10704 the estimates according to major drainage basins of the world.

10705 Table X.4. Release factors (fraction released) applied to distributed amounts of mercury in Hg-added products

Profile	Break/release during use	Waste recycling	Landfill	
			controlled	uncontrolled
1	0.1	0.05	0.0001	0.05
2	0.1	0.05	0.0001	0.10
3	0.1	0.05	0.0001	0.15
4	0.1	0.05	0.0001	0.20
5	0.1	0.05	0.0001	0.25

10706

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Note to reader

This draft version of Chapter 6 in the Technical Background Report to the Global Mercury Assessment 2018 is made available for review by national representatives and experts. The draft version contains material that will be further refined and elaborated after the review process. Specific items where the content of this draft chapter will be further improved and modified are:

1. All graphics will be redrawn to a common appearance from the originals presented here, with their sources cited in the captions.
2. References will be completed and presented in a uniform style.
3. Conclusions and main messages will be formulated

GMA 2018 Draft Chapter 6. Relationships between Trends in Atmospheric Hg and Hg in Aquatic Biota.
Peter Outridge, Robert Mason, Feiyue Wang, Lars-Eric Heimburger, Milena Horvat, Xinbin Feng

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Review Draft - Do Not Cite, Copy or Circulate

11040 **6.1 Relationships between Trends in Atmospheric Hg and Hg in** 11041 **Aquatic Biota**

11042 The goal of the Minamata Convention is to reduce Hg emissions mainly from atmospheric sources (see
11043 Chapter 1.1), with the ultimate aim of reducing the exposure and harmful effects of Hg in wildlife and
11044 humans. However, the pathway between Hg's release into the atmosphere and its eventual
11045 accumulation in wildlife and humans is biochemically and geochemically complex. Mercury is emitted
11046 into the air from most low- and high-temperature anthropogenic and natural sources primarily as
11047 gaseous elemental Hg (GEM; Hg⁰). In the atmosphere, GEM is ultimately oxidized to Hg^{II} and part of this
11048 airborne inorganic Hg is deposited into aquatic environments, where it joins other inorganic Hg^{II} that is
11049 present as a result of waterborne releases from other natural and anthropogenic sources. A small
11050 fraction of the inorganic Hg pool in aquatic environments is converted by natural microbial processes
11051 into more toxic methylated forms - monomethyl Hg (MeHg), and (less commonly) dimethyl Hg (DMeHg),
11052 with MeHg being the form that is bioaccumulated and biomagnified within foodwebs.

11053 The aquatic geochemistry stage of the global Hg cycle is therefore an important transformative step in
11054 the sequence between anthropogenic GEM emissions, the atmospheric deposition of inorganic Hg^{II}, and
11055 MeHg accumulation in foodwebs. A number of environmental and ecological factors (including redox
11056 condition, pH, organic carbon and nutrient concentrations, food web trophic structure, temperature,
11057 and light intensity) have a strong influence on the rates of MeHg production and degradation, as well as
11058 the rate of uptake of MeHg by aquatic biota. Together, the complexity of the atmospheric Hg cycle, the
11059 limits of our understanding of the methylation/demethylation cycle, and the number of influential
11060 factors affecting MeHg bioaccumulation, mean that there is considerable uncertainty about how closely
11061 changes in the emissions and deposition of Hg into the environment brought about by regulatory action
11062 will be tracked by changes of Hg in aquatic food webs.

11063 This chapter describes recent advances in our developing understanding of the aquatic geochemistry of
11064 Hg, particularly focussing on the connectivity between atmospheric Hg and Hg levels in aquatic biota.
11065 The chapter is divided into two sections which address the following issues: (1) recent advances in
11066 understanding of methylation and demethylation in marine systems (6.1); and (2) observed relationships
11067 between the trends in atmospheric Hg emissions and deposition and in aquatic biota, and the reasons
11068 for dichotomies between those trends (6.2). These topics were chosen because they are of the greatest

11069 importance with respect to advances in aquatic Hg geochemistry since AMAP/UNEP (2013), and because
11070 of their relevance to predicting the efficacy of the Minamata Convention in ultimately reducing Hg
11071 exposure in humans and wildlife.

11072 **6.1.1 How has our understanding of the marine methylation and demethylation cycle**
11073 **evolved since GMA 2013?**

11074 The concentration of methylated Hg species (MeHg, DMeHg) in an aquatic water column represents the
11075 culminating effect of various processes that influence the methylation of ionic Hg (Hg^{II}) to MeHg and
11076 DMeHg, their demethylation, as well as transport from the location of their formation to the water
11077 column. Generally, Hg is methylated by bacterial processes in sediments and the water column of large
11078 water bodies, such as the ocean and large lakes, but not in the water column of most freshwater
11079 ecosystems. While methylated Hg can be produced by abiotic reactions and processes, its formation is
11080 thought to be primarily biotic and microbially-mediated (Paranjape and Hall 2017). In contrast,
11081 demethylation of these compounds is thought to be by both abiotic and biotic pathways, with DMeHg
11082 being volatile and more unstable in the environment than MeHg. Overall, therefore, the concentration
11083 of methylated Hg is the net result of many competing processes of formation, transport, and
11084 destruction.

11085 Methylated Hg compounds constitute a small fraction of the total Hg present in some environments
11086 (e.g. < 1% in air and typically <5% in marine sediments, but with somewhat higher relative
11087 concentrations in freshwater sediments and wetland soils; Paranjape and Hall 2017). However, these
11088 compounds can be a much larger fraction of the total Hg in the water column, and can exceed 20% of
11089 total Hg in the open ocean. Additionally, in some marine waters such as in the Arctic Ocean, DMeHg can
11090 be as abundant as MeHg (Lehnherr 2014). In biota, the fraction as MeHg increases as a function of
11091 trophic level, from ~20% of total Hg in seston to >90% in high trophic level biota. As MeHg is the more
11092 toxic form of Hg, and poses the primary exposure risk to humans and other top predators, it is of prime
11093 importance to understand the production and fate of these compounds.

11094 As discussed further below, due to the complexity of methylation and demethylation, it is not possible
11095 to generalise these processes into either global or regional MeHg budgets, although some progress is
11096 being made in this regard. Furthermore, given the complexities that control the *net* formation of MeHg
11097 in the environment, it is clear that while reducing total Hg emissions to the environment can be
11098 expected to ultimately reduce MeHg in biota in general and over time, more detailed predictions of the

11099 effects of regulatory actions on Hg in biota in a specific ecosystem requires further understanding of the
11100 methylation/demethylation processes in the ecosystem in focus. This conclusion is further outlined in
11101 the sections below. The following text focuses on methylation/demethylation in marine systems,
11102 because of the predominance of MeHg from marine foodwebs as the main exposure route in many
11103 human populations around the world (see Chapter X in this Report).

11104 **6.1.1.1 Methylation in Coastal Waters**

11105 *Key points: 1) sediments are not always the most important source of MeHg to the estuarine water*
11106 *column; 2) water column methylation occurs in coastal waters; and 3) the factors controlling methylation*
11107 *in coastal environments (e.g. nutrient and carbon loading, redox) are complex.*

11108 Much of the earlier work concerning Hg methylation in coastal waters highlighted in the previous
11109 Technical Report (AMAP/UNEP 2013) was focused on the factors controlling methylation in the
11110 sediments and the flux from sediments to the water column. Overall, the consensus view at that time
11111 was that for many environments, sediments were the major source of MeHg to coastal waters, although
11112 there were indications that this was not always the case and that inputs from terrestrial watershed
11113 and/or from ocean exchange were important in many ecosystems. Nevertheless, the consensus was that
11114 any new (*in situ*) production of MeHg within the estuarine and coastal environment was due to the
11115 production of MeHg in sediments.

11116 In the last few years, however, a number of studies have challenged this notion, and suggested that
11117 MeHg accumulation in coastal/estuarine biota is not exclusively from sediment inputs. Firstly, Chen et al.
11118 (2014) found that the concentrations of MeHg in forage fish across multiple estuaries on the US east
11119 coast did not track with the MeHg content of the sediments, but with the water column concentration,
11120 even though these fish are considered to forage at the sediment-water interface. Conversely, in the
11121 same study MeHg in benthic worms did track the sediment MeHg concentrations. Mercury stable
11122 isotope analyses also tended to confirm that the sediment may not have been the most important
11123 source of MeHg to the organisms in these ecosystems (Kwon et al., 2014). Li et al. (2016) used Hg
11124 isotope analyses to demonstrate that the source of MeHg in biota in Lake Melville, a large subarctic
11125 fjord, was from pelagic production. Similarly, sulphur (S) isotope analyses of plankton from Long Island
11126 Sound (LIS) did not support the idea that the accumulated MeHg had a substantial sediment component
11127 (Gosnell et al., 2017).

11128 However, Buckman et al. (2017) showed that within the Delaware estuary, these patterns were more
11129 complex and it was less easy to discern the importance of sediment inputs of MeHg compared to
11130 riverine inputs. Gosnell et al. (2016) showed that for the Delaware River, sediment could be an
11131 important MeHg source at certain times of the year, suggesting that sediment sources should not be
11132 completely ignored. Jonsson et al. (2017) showed that it is not just the MeHg loading that was
11133 important, but that changes in the concentration of dissolved organic carbon (DOC) can influence MeHg
11134 bioaccumulation (see also Balcom et al., 2015, and Gosnell et al., 2016). Comparison of water column
11135 and sediment MeHg concentrations show that in some ecosystems, such as the Hudson River, there is a
11136 reasonably strong relationship between dissolved water column MeHg and porewater MeHg, and
11137 between sediment and suspended particulate MeHg, but there are many ecosystems where there is
11138 little correlation.

11139 One important factor, which has received less attention, is the degree to which the MeHg levels are
11140 influenced by demethylation of MeHg rather than by its formation. Many studies have assumed that
11141 demethylation is not a strong control on MeHg levels in coastal ecosystems but this assumption needs
11142 to be tested further. Overall, current literature suggests that there are no clear-cut trends across coastal
11143 ecosystems and that both internal and external sources of MeHg are likely important contributors of
11144 MeHg to the food chain.

11145 Recent studies have reached contrasting conclusions on the role of nutrient inputs impacting
11146 methylation rates in sediments, and MeHg levels in coastal waters and biota. In mesocosm studies, Liem-
11147 Nguyen et al. (2016) showed that the addition of nutrients could impact Hg methylation in sediments,
11148 and that inorganic Hg input to the water column was more efficiently methylated than Hg injected into
11149 sediment, as found in earlier studies (Jonsson et al., 2014), suggesting that the bioavailability of
11150 inorganic Hg for methylation may change with time. Oxygen status of the water column is also an
11151 important factor in methylation rates, with the consensus being that increased eutrophication leading to
11152 oxygen depletion (hypoxia) in bottom waters results in increased MeHg production. A recent example of
11153 this process was provided by the modelling of Soerensen et al. (2016) which suggested that increased
11154 MeHg in Baltic Sea plankton was associated with increasing eutrophication. However, contrary examples
11155 have also been reported recently, with no increase in sediment MeHg levels in some coastal regions
11156 with bottom water hypoxia (Chakraborty et al., 2016; Liu et al., 2015). In LIS, in the more eutrophic
11157 regions where bottom waters are seasonally hypoxic, plankton had lower MeHg than those from more
11158 oligotrophic regions, which was the opposite of the expected pattern (Gosnell et al., 2017). Again, these

11159 results suggest that the interaction between eutrophication and MeHg levels in biota is complex, and
11160 likely to differ in different locations.

11161 Organic carbon (OC) is an additional important factor influencing both Hg methylation as well as MeHg
11162 retention in sediments. Mazrui et al. (2016), for example, found that the binding of Hg to DOC enhanced
11163 methylation compared to Hg bound to particulate (POC) and cinnabar. However, the origins and
11164 geochemical quality of the OC (terrestrial or marine) is at least as important as its quantity in terms of its
11165 effect on Hg bioavailability (Schartup et al., 2015b; Jonsson et al., 2012, 2017). Additionally, it has been
11166 shown in pure cultures and laboratory sediment studies that nanoparticulate Hg has higher
11167 bioavailability for methylation than microparticulate (Mazrui et al., 2016; Zhang et al., 2014). These
11168 studies reinforce the conclusions of prior studies (Schartup et al., 2013; 2014; Jonsson et al., 2012) that
11169 the factors controlling Hg methylation in sediments are extremely complex given the interactions
11170 between Hg (and MeHg) and sediment biogeochemistry (primarily, the levels of OC and reduced
11171 sulphur) which impact binding, bioavailability and sediment-water exchange. While speciation of the Hg
11172 is an important driver, desorption kinetics and microbial community activity are also important controls
11173 over the extent of Hg methylation in sediments.

11174 The weight of evidence for the importance of water column methylation in coastal waters has increased
11175 in recent years. A number of studies have followed up on earlier work in the Thau Lagoon, France
11176 (Monperrus et al., 2007), examining the potential for methylation of Hg within the water column of
11177 coastal environments. A number of other studies have now shown that there is the potential for
11178 methylation in the water column of estuaries and coastal waters, especially in locations of mixing and
11179 flocculation of particulate material (Schartup et al., 2015; Sharif et al., 2016; Ortiz et al., 2015). These
11180 studies point to the likely enhancement of methylation within aggregated particles where micro-anoxic
11181 conditions could exist, as demonstrated by the laboratory experiments of Ortiz et al. (2015). Overall,
11182 these studies do not suggest that Hg methylation is occurring through a different microbial biochemical
11183 pathway, but that it is occurring within the anoxic microzones within large particulates. Some of these
11184 studies have concluded that there is significant net methylation within the water column (Schartup et
11185 al., 2015; Ortiz et al., 2015) while in other cases, the extent of demethylation leads to a net decrease in
11186 MeHg (Sharif et al., 2016).

11187 In conclusion, there is not one specific source for the MeHg accumulating in biota in coastal systems,
11188 and the sources are likely to vary spatially and temporally. In examining, and understanding, the

11189 dynamics of MeHg bioaccumulation in coastal environments it is necessary to examine both the
11190 potential external inputs (watershed and ocean inputs), and the internal production within the system
11191 (water column and sediment net Hg methylation). Furthermore, it is likely that their relative importance
11192 will change in the future due to climate and other human-caused alterations within these ecosystems.

11193 **6.1.1.2 Open Ocean Hg methylation**

11194 Like coastal seas, there is increasing evidence for active methylation in the oxygenated water column of
11195 open oceans. Early pioneering work by Mason and Fitzgerald (Mason et al. 1990) suggested the
11196 potential for high rates of *in situ* production of MeHg in the open ocean, however, the prevailing
11197 paradigm continued to favour a coastal sediment MeHg source with offshore transport to the open
11198 oceans. Since GMA 2013, additional studies have confirmed the suggestion that *in situ* MeHg formation
11199 takes place in open ocean waters (Monperrus et al. 2007, Cossa et al. 2009, Sunderland et al. 2009,
11200 Heimbürger et al. 2010, Cossa et al. 2011). There is now published evidence for water column
11201 methylation from almost all major ocean basins: the Atlantic Ocean (Bowman et al. 2015, Bratkič et al.
11202 2016), Pacific Ocean (Hammerschmidt et al. 2012, Munson et al. 2015, Bowman et al. 2016, Kim et al.
11203 2016), Arctic Ocean (Wang et al. 2012, Heimbürger et al. 2015), Southern Ocean (Gionfriddo et al. 2016),
11204 Mediterranean Sea (Cossa et al. 2012), Baltic Sea (Soerensen et al. 2016), and Black Sea (Rosati et al.,
11205 GBC in review). No data has been published for the Indian Ocean thus far. Laboratory experiments
11206 confirm that net Hg methylation can occur in “marine snow” (settling organic particles), with similar
11207 rates compared to marine sediments (Ortiz et al. 2015). Furthermore, several papers point out open
11208 ocean methylation is required to balance the oceanic MeHg mass budget (Sunderland et al. 2009,
11209 Mason et al. 2012, Soerensen et al. 2016).

11210 The relationships observed between MeHg concentrations and apparent oxygen utilization as well as
11211 organic carbon remineralization in the oceanic water column indicate that particulate organic matter
11212 remineralization controls the methylation of Hg by providing inorganic Hg as the substratum, and by
11213 stimulating the activity of methylating bacteria. A pioneering study explored for the first time the carbon
11214 isotope composition of the MeHg compound in tuna fish, and found similar $\delta^{13}\text{C}$ values to marine algal-
11215 derived organic matter, suggesting its role as the carbon substrate for Hg methylation (Masbou et al.
11216 2015). Additional evidence comes from Hg isotopic analysis of marine biota. Fish that forage at different
11217 depths in the North Pacific Ocean show Hg isotope gradients that can only be explained if 60-80% of
11218 their MeHg is produced below the surface mixed layer and is not from a sediment source (Blum et al.
11219 2013).

11220 In general, the depth, shape and importance of the MeHg peak in ocean waters depend on physical
11221 forcing and biological productivity. Several independent studies found methylation hotspots at the
11222 density gradients of stratified systems (Wang et al. 2012, Heimbürger et al. 2015, Schartup et al. 2015,
11223 Soerensen et al. 2016). Two field studies (Baya et al. 2015, St. Pierre et al. 2015) and a modelling study
11224 (Soerensen et al. 2016) suggest important evasion of DMHg from the Arctic Ocean, where MeHg is
11225 produced at shallow depths (Heimbürger et al. 2015).

11226 A major breakthrough has been made with the discovery of two key genes, hgcA and hgcB, that control
11227 anaerobic Hg methylation in sulphate-reducing bacteria (Parks et al. 2013). The hgcA and hgcB genes
11228 were found to be present in many anaerobic microorganisms. An analysis of publicly available microbial
11229 metagenomes found the hgcAB genes in nearly all anaerobic environments, but not in aerobic systems
11230 (Podar et al. 2015). A marine microaerophilic bacterium has been identified as a potential Hg methylator
11231 within sea ice, where anaerobic bacteria which are known to methylate Hg were absent (Gionfriddo et
11232 al. 2016). Surprisingly, laboratory experiments have not found a clear relationship between the
11233 expression level of the key genes and net MeHg production (Goni-Urriza et al. 2015).

11234 **6.2 How and why do Hg levels in aquatic biota respond to changes in** 11235 **atmospheric Hg?**

11236 As discussed, there are many processes that may affect the dissolved concentrations and biouptake of
11237 MeHg following its formation. Other factors and processes affect the transport and fate of inorganic Hg
11238 (GEM and ionic Hg^{II}) between their emission sources and aquatic environments (see earlier chapters of
11239 this GMA). The complexity of these processes raises the question of whether Hg emissions, especially
11240 those that are regulated under the Minamata Convention, are likely to result in immediate and
11241 proportional changes of Hg concentrations in aquatic food-chains. In this section, we review the
11242 evidence that atmospheric Hg and biotic Hg levels have changed synchronously in the recent past.

11243 **6.2.1 Does Hg in aquatic biota follow the trends in atmospheric Hg emissions and** 11244 **deposition?**

11245 Here a number of case studies which examined temporal trends of Hg in aquatic biota are compared
11246 against the trends of Hg in atmospheric concentrations and/or deposition fluxes in the same regions.
11247 These case studies come from North America, Europe, China and the Arctic; no other regions of the

11248 world are represented in the literature, and are thus not discussed. First, we review the literature
11249 concerning the trends of atmospheric Hg from the three study regions.

11250 ***Trends in Atmospheric Hg Emissions, Concentrations, and Wet Deposition***

11251 **North America and Europe:** North America and Europe are considered together here because their
11252 overall atmospheric Hg concentrations and deposition fluxes have trended together over the past few
11253 decades (Zhang et al., 2016). Measured near-surface gaseous elemental mercury (GEM) concentrations
11254 in North America and Europe have declined by 30–40% between 1990 and 2010 (Slemr et al., 2011; Cole
11255 et al., 2014), a pattern that has been matched by trends in wet deposition Hg fluxes (Prestbo et al.,
11256 2009; Cole et al., 2014). By contrast, global emission inventories for the same period have suggested flat
11257 or slightly increasing total Hg emissions, because declines in Hg emitted by the energy and other
11258 industrial sectors in North America and Europe were offset by rising coal-fired power generation in Asia
11259 and by emissions from a rapidly-growing global artisanal and small-scale gold mining (ASGM) sector
11260 (AMAP 2010; AMAP/UNEP 2013). Recently, however, Zhang et al. (2016) showed that the discrepancy
11261 between emission inventories and atmospheric measurements could be resolved mainly by accounting
11262 for the declining emissions from commercial Hg-containing products since 1990 which had not been
11263 previously counted in the inventories (Horowitz et al., 2014); additional corrections were made for shifts
11264 in the speciation of airborne Hg emissions related to air pollution control technology, and by reducing
11265 the putative importance of atmospheric Hg emissions from ASGM. Calculated atmospheric Hg
11266 concentrations and trends, based on GEOS-CHEM modelling of the revised emission inventories, then
11267 agreed within error with observations (Figure 6.1). In North America and Europe, the observed and
11268 modelled atmospheric GEM trends since 1990 were -1.5 and -2.0% per year, respectively, and the
11269 trends for Hg^{II} fluxes in wet deposition were -1.6 and -1.4% per year, respectively. The agreement
11270 between the new corrected emission history by Zhang et al. (2016) and empirical atmospheric data
11271 lends confidence that the modelled atmospheric trends presented by Zhang et al. (2016) conform to
11272 reality.

11273 **The Arctic:** For the Arctic region (above 60°N), atmospheric GEM concentrations have also been
11274 declining, but at a markedly slower rate than elsewhere (see Figure 6.1). The observed and modelled
11275 trend regressions also disagreed more than in other regions, with observed GEM concentrations
11276 decreasing at $-0.2 \pm 0.45\%$ per year since 1994, and the modelled rate at $-1.3 \pm 0.11\%$ per year. There are
11277 no decade-long observational datasets of Hg trends in deposition available for the Arctic or sub-Arctic;
11278 existing depositional data are confined to 1-2 years of measurements only (e.g. Sanei et al., 2010).

11279 **China:** China is the largest national emitter of atmospheric Hg worldwide (Fu et al., 2015b). In contrast
11280 to the global trend, anthropogenic Hg emissions in China increased rapidly from 1978 to as recently as
11281 2007 at an average rate of ~5.5% per year, except for 1998-2000 when the emissions decreased due to
11282 the Asian financial crisis which led to a reduction in fuel consumption (Wu et al., 2016). Mercury
11283 emissions in China are reported to have plateaued around 2007 to 2010, and showed a declining trend
11284 in the past few years (Wu et al., 2016).

11285 Available but limited data on atmospheric Hg concentrations in the past decade in China are in general
11286 agreement with this emissions trend. Direct measurements of GEM at Guiyang, an urban site in
11287 southwest China (Fu and Feng, 2015), revealed that annual mean GEM concentrations increased at a
11288 rate of ~2.5% per year between 2002 and 2010 (Fu and Feng, 2015); GEM concentrations also increased
11289 at Mt. Changbai, a remote site in north-eastern China, at about the same rate from 2009 to 2013 but
11290 then appeared to stabilize (Fu et al., 2015b, 2016; Fig. 6.2). Mercury passive sampling and plant
11291 biomonitoring on the Tibetan Plateau suggested that atmospheric Hg concentrations were stable during
11292 2006 to 2009 and decreased during 2010 to 2015 (Tong et al., 2016).

11293 For the purposes of this review, the reported trends in atmospheric Hg concentrations and wet
11294 deposition by Zhang et al. (2016) and Wu et al. (2016) are taken as the basis for our comparison with
11295 aquatic biota Hg trends over recent decades. The key test of agreement between atmospheric and biotic
11296 datasets will be whether the direction of trend (increasing, decreasing, or stable) is the same in both.

11297 **Biological Hg Trend Cases Studies**

11298 Major recent studies since GMA-2013 on biotic Hg trends over the last few decades are summarized in
11299 four case studies below. **While biotic Hg trends often follow the concurrent pattern in atmospheric Hg**
11300 **concentrations, there is widespread evidence for non-matching trends between them, especially in the**
11301 **past decade.**

11302 **Case Study 1: Fish and Birds in Lakes and Coastal Waters of North America**

11303 In the Great Lakes, Blukacz-Richards et al. (2016) evaluated the temporal trends since the 1970s of Hg
11304 levels in eggs of a piscivorous bird (herring gull - *Larus argentatus*), in two piscivorous fish (trout -
11305 *Salvelinus namaycush*, and walleye - *Sander vitreus*), and in a planktivorous fish (rainbow smelt -
11306 *Osmerus mordax*). Lipid content in bird eggs and fish tissues, and length of fish, were used as covariates
11307 in temporal statistical models. The results present a mixed temporal pattern (Figure 6.3a), with declining
11308 biotic Hg trends in all species in the first few decades (up to about 1995–2000), which matched the

11309 declining atmospheric Hg trend in North America (see Figure 6.1), but were followed by trend reversals
11310 in most (but not all) species at some sites. In the 2000s, Hg trend reversals occurred for herring gull eggs
11311 at two sites in Lake Erie and two sites in Lake Ontario, and for lake trout in Lake Superior and at a single
11312 station in Lake Ontario. Mercury levels in lake trout continued to slowly decline at all of the remaining
11313 stations, except for Lake Huron, where the levels remained stable. Similar trends were reported by
11314 Eagles-Smith et al. (2016) when examining over 96,000 fish muscle samples from 206 species in over
11315 4,200 lakes in western Canada and the USA. They found a significant, rapid decline in length-adjusted
11316 tissue Hg concentrations during the 1970s (from 1969 to 1977), with no subsequent significant trend up
11317 to 2012. In both of these studies, **the authors attributed the early decline in biotic Hg to regional**
11318 **declines in atmospheric Hg concentration and deposition. They suggested that the subsequent trend**
11319 **reversal, or lack of a significant trend, could be explained by shifts in trophic dynamics resulting from**
11320 **invasive species, and/or geochemical changes in Hg cycling and methylation rates possibly driven by**
11321 **climate change.**

11322 A more complex temporal pattern in hundreds of small Ontario lakes was reported by Gandhi et al.
11323 (2014), who found a general decline in length-adjusted fish muscle Hg concentrations from the 1970s to
11324 1990s for northern pike (*Esox lucius*), walleye and lake trout. This decline was followed by relatively
11325 small increases in some lakes starting about 1995–2000. The initial declines in the 1970s and 1980s were
11326 more rapid in most lakes than during the 1990s, and were more pronounced in northern Ontario lakes
11327 than in southern Ontario lakes at that time. In fact, northern Ontario boreal forest lakes displayed
11328 significant overall muscle Hg declines from 1974 up until 2012 for walleye and northern pike, but not for
11329 lake trout which were relatively constant over time. In contrast to the Great Lakes studies discussed
11330 above, Gandhi et al. (2014) found that southern Ontario lakes displayed non-significant changes
11331 between 1974–2012 in walleye, pike and lake trout. Furthermore, the recent increasing trends were also
11332 more pronounced in northern Ontario lakes than in southern Ontario lakes which were nearly constant
11333 or weakly increasing, and more so in northern pike and walleye than in lake trout.

11334 Different patterns were, however, reported by Tang et al. (2013), which examined changes in muscle Hg
11335 in 5 piscivorous fish (walleye, northern pike lake trout, burbot (*Lota lota*), and smallmouth bass
11336 (*Micropterus dolomieu*) and 2 benthivorous species (lake whitefish (*Coregonus clupeaformis*) and white
11337 sucker (*Catostomus commersonii*)) from 873 Ontario lakes based on data collected from the Ontario
11338 Sport Fish Contaminant Monitoring Program. In contrast to the declining patterns in walleye and
11339 northern pike in northern Ontario lakes reported by Gandhi et al. (2014), no significant decreases over

11340 recent decades were observed in any of the 7 species in this study; instead, mean concentrations were
11341 found to be slightly higher in 2005-2010 than in 1974-1981, and were significantly so in northern pike.
11342 The reason for the difference between these two studies is unknown.

11343 Substantial reductions in muscle Hg were reported between 1972–1974 and 2011 in a marine fish
11344 species, the bluefish (*Pomatomus saltatrix*), caught off the northeast coast of the USA (Figure 6.3b).
11345 Although no data were available for the period 1974 to 1993, it is clear that a ~30–40% decline in
11346 bluefish Hg concentrations in New York and New Jersey waters occurred at some period between 1972–
11347 1974 and the mid-1990s (Cross et al., 2015). Subsequently, however, the New York regional data
11348 suggest no further change in fish Hg levels up to 2007.

11349 Most of the above studies did not include stable C and N isotopic data, making it impossible to
11350 investigate whether changes in feeding behaviour (prey trophic level and feeding location) influenced
11351 the Hg trends. The value of including trophic dynamic information based on stable C and N isotopic data
11352 in the interpretation of Hg temporal trends was clearly demonstrated by Burgess et al. (2013) in a study
11353 of Hg in herring gull eggs on the eastern Canadian seaboard. Between 1972 and 2008, two sites
11354 displayed a trend of significantly declining egg Hg, which is consistent with the declining atmospheric Hg
11355 deposition occurring at that time (see Figure 6.1). However, when trophic level changes over time were
11356 factored into the analysis using $\delta^{15}\text{N}$ isotope data, it was found that the Hg declines were due to feeding
11357 behaviour shifts. $\delta^{15}\text{N}$ is a widely-used indicator of the trophic level of species' prey selection, and was
11358 highly correlated with egg Hg in the birds. **The authors concluded that Hg in coastal waters in that**
11359 **region had remained relatively constant over the last few decades despite the reduction in airborne**
11360 **Hg fluxes.**

11361 *Case Study 2: Fish in Swedish Lakes*

11362 Åkerblom et al. (2014) assessed the Hg temporal trends in 15 species of fish (mainly northern pike,
11363 Eurasian perch (*Perca fluviatilis*), and Arctic char (*Salvelinus alpinus*)) during the past 50 years based on
11364 almost 45,000 observations from 2881 lakes throughout Sweden. To allow for trend analysis, individual
11365 Hg concentrations of fish from any species were normalized to a standard 1-kg pike in the same lake.
11366 The average Hg concentrations in such 1-kg pike equivalent fish were found to have increased during the
11367 1970s and peaked at the end of the 1980s before decreasing sharply between 1990 and 1996. During
11368 the late 1990s, Hg levels increased again and, after peaking by 2003, they appeared to have decreased
11369 up to present (Figure 6.4A). Overall Hg levels decreased approximately 1% per year since 1970,

11370 corresponding to a decrease of about 30% over 40 years. ***This trend matches well with the general***
11371 ***declining atmospheric Hg trend over Northern Europe*** (see Figure 6.1). Also of note is that fish Hg levels
11372 in “limed” lakes across Sweden were consistently higher than in the lakes that were never-limed
11373 (Åkerblom et al. 2014), pointing to a significant effect on fish Hg from pH or other indirect ecosystem
11374 effects caused by the recovery of the limed ecosystems. However, the mechanism responsible for this
11375 pattern was not investigated. The temporal trends in both limed and non-limed lakes were similar.

11376 Further analysis of data from the latest decade (2003–2012; Figure 6.4B) revealed that while there was
11377 an overall significant decreasing trend in southwestern Sweden (up to 10% per year), the trends were
11378 weaker, mostly not significant, and in a few cases even increasing in northern Sweden. In one lake
11379 (Spjutsjön), fish Hg concentrations increased steeply at a rate of about 20% per year. ***The authors noted***
11380 ***that the more prominent decrease in fish mercury in the south matches with a larger decrease in***
11381 ***atmospheric Hg loads in the south compared to the north of Sweden, and attributed the significant***
11382 ***increase in Spjutsjön to possible local anthropogenic sources of Hg.***

11383 ***Case Study 3: Fish in Reservoirs: North America and Europe vs China***

11384 Some of the longest time series of aquatic Hg data exist for man-made reservoirs due to concerns about
11385 the effects of impoundment on Hg methylation rates and thus on fish Hg levels. Although these
11386 reservoirs are not natural habitats for aquatic life, they contain abundant fish and invertebrate
11387 communities, and support important recreational fisheries in some areas and large aquaculture
11388 operations in others.

11389 Studies in North America and Europe have shown that following the impoundment, the large influx of
11390 flooded vegetation and organic matter in submerged soil stimulates microbial methylation of Hg,
11391 resulting in sharp increase in fish Hg due to biomagnification of methylmercury (St. Louis et al. 2004; Hall
11392 et al. 2005; Lucotte et al., 1999; Bodaly et al., 2007). Hg methylation rates and hence fish Hg levels
11393 typically decrease as the reservoir ages and the organic matter further decomposes (Bodaly et al., 2007).
11394 This was clearly demonstrated in a recent analysis of the temporal trends of Hg in a range of fish species
11395 from 883 reservoirs across western North America (Willacker et al. 2016). Temporal patterns
11396 (normalized for confounding variables such as species and body length) were clearly related to the time
11397 elapsed since reservoir impoundment, with maximum fish Hg concentrations being reached on average
11398 three years after the impoundment (Figure 6.5). Fish Hg levels thereafter declined relatively rapidly for
11399 4–12 years, followed by a monotonic slow decline that last many decades. Because the reservoirs were

11400 built at different dates over the last century and a half, it may be concluded that the fish Hg pattern is
11401 not related to changing atmospheric Hg deposition over the last few decades. Instead, water storage
11402 management is shown to be a key factor influencing this temporal pattern. Fish in reservoirs that
11403 experienced maximum drawdown during summer months (May–July) exhibited significantly (up to 11-
11404 fold) higher concentrations than fish in reservoirs in which drawdown occurred during other times of the
11405 year (Willacker et al., 2016).

11406 Reservoirs in China, however, present a different story. Different from reservoirs in North America and
11407 Europe which are typically inhabited by native fish populations used for recreational purposes,
11408 reservoirs in much of China support important aquaculture activities with fish harvested for human
11409 consumption. The fish in Chinese reservoirs thus tend to grow faster and be harvested while young.
11410 Therefore, the Hg concentrations in fish from these reservoirs are typically low due to biodilution.
11411 Unfortunately, monitoring of fish Hg concentrations in most of the Chinese reservoirs only started
11412 recently, making it impossible to deduct long-term temporal trends. One exception to this is the
11413 reservoirs in the Wujiang Basin in southwest China, where extensive studies have been carried out in the
11414 past decade. Since these reservoirs vary greatly in their ages (time since their initial impoundment), an
11415 interesting evolution scheme in fish Hg concentrations starts to emerge when the data from all the
11416 reservoirs are pooled together.

11417 The Wujiang (Wu River) is the largest tributary of the upper Changjiang (Yangtze River). Since the 1960s,
11418 numerous large cascade reservoirs have been or are being constructed in the Wujiang Basin, including
11419 Wujiangdu (built in 1979), Dongfeng (1994), Puding (1994), Yingzidu (2003), Suofengying (2003),
11420 Hongjiadu (2004), and Pengshui (2008) on the main stream, and Aha (1960), Baihua (1966), and
11421 Hongfeng (1966) on its tributaries (Figure 6.6). Although impoundment was found to have significantly
11422 increased fish Hg concentrations in a newly constructed reservoir (Pengshui) (Li et al., 2013), fish Hg
11423 concentrations in this and another newly constructed reservoir (Hongjiadu) (Yao et al., 2011) were much
11424 lower than those in newly built reservoirs in North America and Europe (Yao et al., 2011; Li et al., 2013).
11425 For the much older Baihua Reservoir, no statistically significant differences were observed in Hg
11426 concentrations in common carp (*Cyprinidae*) among the four sampling campaigns from 2003 to 2011,
11427 more than 40 years after the impoundment (Liu et al., 2012). In general, Hg concentrations in various
11428 fish species studied, including carnivorous, omnivorous, planktivorous, and herbivorous fish, are
11429 remarkably low in all these reservoirs regardless of the age of the reservoir (Yao et al., 2011; Li et al.,

11430 2009; Yan et al. 2010; Liu et al. 2012; Li et al., 2013), often an order of magnitude lower than the World
11431 Health Organization (WHO) guideline of 0.5 µg/g (wet weight) (WHO, 1990).

11432 While biodilution and simple (short) food web structures clearly contribute to the generally low fish Hg
11433 concentrations (Yao et al., 2011; Meng et al., 2010, 2016; Feng et al., 2009a, 2009b; Larssen, 2010; Liu et
11434 al., 2012; Yan et al., 2010), comparisons of fish Hg concentrations in reservoirs with different ages in the
11435 same basin reveal three distinct stages of evolution due to changes in the source and concentration of
11436 organic matter in the submerged soil/sediment as the reservoir ages and cage aquaculture activities
11437 increase (Figure 6.7). As much of the Wujiang Basin is located in a karst environment, the organic matter
11438 contents in the submersed soils (typical range: 1.9 – 4.1%) are much lower than those in submersed soil
11439 (typically 30 – 50%) from the boreal forest or wetlands in North America and Europe (Yao et al., 2011; St.
11440 Louis et al. 2004; Hall et al. 2005; Lucotte et al. 1999). In addition, the water was lightly alkaline in most
11441 of the reservoir water as the result of the karstic geology of the Wujiang River, which could restrain the
11442 Hg methylation (Meng et al., 2010; Yao et al., 2011). Primary productivity in the newly constructed
11443 reservoirs in the Wujiang Basin is also low (oligotrophic–mesotrophic) due to the absence of cage
11444 aquaculture fishing (Yao et al., 2011; Meng et al., 2010, 2016), and thus autochthonous contribution to
11445 organic matter is also very limited (Jiang 2005; Yao et al., 2011). Therefore, in contrast to their
11446 counterparts in Europe and North America, the newly constructed reservoirs in the Wujiang Basin are
11447 not active sites of net Hg methylation due to the low organic carbon content in the submersed soils
11448 and/or low primary productivity (Yao et al., 2011; Meng et al., 2010). Consequently, the newly
11449 constructed reservoirs, such as Suofengying, Hongjiadu, and Yingzidu in the Wujiang River, are not a net
11450 source of MeHg and instead represent a net sink (Guo, 2008) (Figure 6.7a).

11451 As these reservoirs become more productive (mesotrophic to eutrophic) with time, the organic matter
11452 content in the sediment increased due to continuous increases in autochthonous productivity due to the
11453 cage aquaculture activities. This would tend to promote in-situ Hg methylation, and as such reservoirs at
11454 this stage (e.g., Dongfeng and Puding) have transited from a net MeHg sink to MeHg source (Guo, 2008;
11455 Feng et al., 2009a,b; Zhang et al., 2009) (Figure 6.7B). Over the long-term evolution of the reservoir,
11456 primary productivity continues to increase and the reservoir will eventually become eutrophic.
11457 Phytoplankton-derived organic matter, and the fish feed and faeces, become significant sources of
11458 organic matter input to the surface sediments, as shown in Wujingdu (Meng et al., 2010, 2016; Zhang et
11459 al., 2009; Feng et al., 2009a). The increased oxygen consumption during fresh organic matter
11460 degradation causes progressively more anoxic conditions at the sediment-water interface (Meng et al.,

11461 2010, 2016), which promotes microbial Hg methylation processes (Figure 6.7C), as shown in Wujingdu
11462 (Guo, 2008) where both the surface sediment and the hypolimnetic water are sites of net MeHg
11463 production (Meng et al., 2010, 2016; Feng et al., 2009a). Thus, in contrast to fish in North American
11464 reservoirs, and in spite of the relatively high atmospheric Hg loading across much of China, fish Hg levels
11465 in Chinese impoundments reflect within-impoundment processes, especially organic matter loadings to
11466 sediments, water/soil quality, food web structure, and biodilution, rather than atmospheric inputs.

11467 *Case Study 4: The Arctic*

11468 Rigét et al. (2011) summarized all available temporal Hg datasets on Arctic biota up to about 2009, and
11469 found that some species in some locations had shown significant increases over recent decades,
11470 whereas others with closely adjacent or overlapping distributions exhibited non-significant changes.
11471 Most of the increasing biotic Hg trends occurred in marine species in the North American and west
11472 Greenland sector of the Arctic, whereas declining trends were mostly observed in east Greenland and
11473 European Arctic biota. This regional dichotomy is clearly seen in the hair of polar bears (*Urus maritimus*),
11474 and has been suggested to be due to increased emissions from Asia entering the western Arctic
11475 coincident with decreasing emissions from North America and Europe in the eastern Arctic (Dietz et al.,
11476 2006).

11477 A few additional studies have been published since then. Rigét et al. (2012) analysed temporal trends of
11478 Hg in livers of ringed seals collected from the early 1980s to 2010 from Greenland. Increasing levels of
11479 Hg were found in ringed seals in two out of three Greenlandic seal populations (Central East and
11480 Northwest Greenland), rising at a rate of 10.3% per year and 2% per year, respectively. In addition to
11481 age and trophic positions, the study showed that the Atlantic Oscillation Index, a parameter related to
11482 climate change, was positively associated with Hg concentrations in seals although the specific
11483 mechanism involved was not clear.

11484 By analysing Hg in the teeth of polar bear from Svalbard in the Norwegian Arctic, Aubail et al. (2012)
11485 reported a decreasing trend in Hg concentrations over the period 1964–2003 (Fig. 6.8A). Since no
11486 temporal changes were found in tooth $\delta^{15}\text{N}$ and $\delta^{13}\text{C}$, they concluded that the decrease of Hg was not
11487 due to changes in trophic dynamics; instead, it was more likely due to a lower environmental Hg
11488 exposure in the region. McKinney et al. (2017) also reported a significant declining trend in hair Hg of
11489 the southern Beaufort Sea (SBS) polar bear population, at an average rate of -13% per year, between
11490 2004 and 2011. This dataset differs from the general west-east pattern in Arctic biota Hg trends noted

11491 above. However, only males in the SBS area exhibited significant decreases; females from the same area
11492 showed no significant trend. Mercury levels in the bears' main prey (ringed seal) also did not change up
11493 to 2007 (Gaden et al., 2009), which argues against changes in Hg inputs or the biogeochemical Hg cycle
11494 as contributing to the decline. Analyses of body condition and diet led to the conclusion that the bears'
11495 Hg trend was due to changing foraging patterns over time and not to alteration in environmental Hg
11496 levels (McKinney et al., 2017).

11497 Braune et al. (2014) reported the temporal trend of Hg in thick-billed murre (*Uria lomvia*) eggs from
11498 Coats Island, northern Hudson Bay, and Prince Leopold Island in Lancaster Sound, Nunvut. Although
11499 there was no significant change in Hg concentrations in murre eggs from Coats Island from 1993 to
11500 2013, $\delta^{15}\text{N}$ values for the eggs were found to be decreasingly significantly, suggesting a decline in trophic
11501 position for the bird due to the switch of its diet from Arctic cod to capelin. After adjusting egg Hg
11502 concentrations for the decline in trophic position, time trends in Hg concentrations at Coats Island
11503 changed from non-significant to significantly increasing. In contrast, at Prince Leopold Island, after
11504 adjustment for trophic position the egg Hg time trends changed from nonsignificant to significantly
11505 decreasing over the same period. These results suggest that in addition to trophic change in diet, there
11506 may have been other geographic factors at play that influenced Hg concentrations at the base of the
11507 marine food web, such as differences in Hg deposition, or in Hg bioavailability related to climate change.

11508 Subsequently, Braune et al. (2016) updated the Hg trends in High Arctic seabird eggs at Prince Leopold
11509 Island to 2014 for five species: thick-billed murres, northern fulmars (*Fulmarus glacialis*), black-legged
11510 kittiwakes (*Rissa tridactyla*), black guillemots (*Cephus grylle*), and glaucous gull (*Larus hyperboreus*).
11511 The first three species' eggs had been collected from the Island as early as 1975, while the guillemots
11512 and gulls were sampled from 1993 to 2013. Egg Hg trends were adjusted for possible shifts in trophic
11513 position of the birds using $\delta^{15}\text{N}$ data. Adjusted Hg concentrations in eggs of murres, fulmars and
11514 kittiwakes increased from 1975 to the 1990s, followed by a plateauing or slight decline of levels from the
11515 1990s to 2014 (Figure 6.8B). However, the kittiwake trend was strongly influenced by the 1975 samples;
11516 when these were excluded, kittiwake eggs actually displayed a significant decreasing trend from 1976 to
11517 2013. Trends in the eggs of murres, fulmars, kittiwakes, and guillemots had negative slopes between
11518 1993 and 2013. The pattern in glaucous gull eggs was unique: decreasing by 50% from 1993 to 2003
11519 before starting to increase again.

11520 Braune et al. (2016) concluded that the general increasing trends in egg Hg during the 1970s and 1980s
11521 were consistent with atmospheric Hg increases over the Arctic *during that period*. They noted that the
11522 migratory habits of the five bird species, which overwinter in different southern regions away from
11523 Lancaster Sound, complicated interpretation of the reasons for the temporal trends. Environmental Hg
11524 changes in their wintering areas could have been different to those in the Arctic. Interpretation is also
11525 complicated by significant differences in the findings from glacier archives of atmospheric Hg on the
11526 western and eastern edges of the North American Arctic. Greenland glacial snow/firn (Fain et al., 2009)
11527 showed a monotonic decline in atmospheric GEM concentrations during the 1970s and 1980s, following
11528 peak levels in the 1950s to 1960s. Glacial snow and ice core reconstructions of atmospheric Hg
11529 deposition from Mt. Logan (Yukon) showed increases in deposition through the 1990s, which could be
11530 an indication of increasing trans-Pacific contamination from Asia (Beal et al., 2015). Overall, these data,
11531 especially the declining GEM trend on Greenland through the 1970s and 1980s, are inconsistent with
11532 Braune et al.'s (2016) conclusions. However, the flat or slightly declining egg Hg data from about 1990
11533 onwards is consistent with the recent remodelling of atmospheric GEM in the Arctic (see Fig. 6.1).
11534 Zheng (2015), on the other hand, reported that 20th century total Hg accumulation in a Greenland ice
11535 core was relatively constant until it increased during the 1970s to 2000s, a pattern similar to those in
11536 most of the bird species but not in agreement with the Zhang et al. (2016) modelling. Thus, uncertainty
11537 about the actual trends in Arctic atmospheric Hg deposition is a limiting factor in assessing agreement
11538 between environmental and biological Hg trends in this region.

11539 In Great Slave Lake in the western Canadian Arctic, temporal trends of Hg in lake trout, burbot, and
11540 northern pike were monitored irregularly between the late 1980s or early 1990s and 2012 (Evans et al.,
11541 2013; Fig. 6.8C). Muscle Hg data were adjusted for fish length, but not for trophic shifts over time.
11542 Mercury concentrations generally increased over time in lake trout and burbot, but not in northern pike,
11543 with considerable inter-annual variation. These increasing or flat patterns are inconsistent with
11544 atmospheric GEM concentrations and wet deposition fluxes that were declining at the time (see Figure
11545 6.1), and with the Mt. Logan atmospheric deposition record of Beal et al. (2015). Statistical analysis of
11546 climate factors suggested that varying annual mean air temperatures, and particularly cold season
11547 temperatures, were related to the fish Hg patterns although a precise mechanism linking temperature
11548 to fish Hg could not be elucidated (Evans et al., 2013).

11549 **6.2.2. What Causes Decoupling between Aquatic Biota and Atmospheric Hg Trends?**

11550 In contrast to the recent decadal datasets described above, the available century-scale biotic Hg trends
11551 (from the Arctic; Dietz et al., 2009) generally matched remote glacial ice core archives of atmospheric
11552 Hg deposition and GEM concentrations (Zheng, 2015; Beal et al., 2015; Kang et al., 2016). In both cases,
11553 starting about the late-19th century, shortly after major anthropogenic uses and emissions of Hg became
11554 more common, Hg concentrations in the atmosphere and in aquatic biota increased steadily up to about
11555 the 1970s to 80s. Subsequently, as atmospheric and biological monitoring became more widespread and
11556 frequent, it became increasingly apparent that decoupling between the aquatic biotic and atmospheric
11557 Hg trends has been occurring in some areas and in some species within specific areas, especially in the
11558 past decade. Fundamentally, this decoupling can be generally attributed to the exceptional sensitivity of
11559 the Hg biogeochemical cycling to changes in climatic (e.g., temperature, light, hydrology), geochemical
11560 (e.g., pH, redox status, complexing ligands), biological (e.g., feeding behaviour of an organism) and
11561 ecological (e.g., organic carbon flux, microbial processes, and food web structure and dynamics)
11562 conditions (Table 6.1). Some of the major processes that trigger changes in these conditions and thus
11563 the decoupling between biotic and environmental Hg include:

11564 **Landscape changes:** Major changes in landscape, such as flooding, damming, and deforestation, not
11565 only increase Hg flux from the terrestrial system to the aquatic system, but more importantly they
11566 change the organic carbon flux and redox conditions that directly control the Hg methylation process
11567 and mobilize Hg stored in soil organic matter. This process is clearly demonstrated by the construction of
11568 reservoirs where biotic Hg concentrations are almost exclusively controlled by organic carbon dynamics
11569 and bear no relationship with Hg trends in the atmosphere (see Case Study 3).

11570 **Ecosystem changes:** As methylmercury biomagnifies in the food web (i.e., methylmercury concentration
11571 increases from prey to predator), any changes in ecosystem structure, function and dynamics would
11572 result in major changes in Hg concentrations within the ecosystem. Processes such as acidification (Case
11573 Study 2) and eutrophication (see Case Study 3) affect not only methylmercury production by altering Hg
11574 speciation and bioavailability, but also Hg food-chain transfer and thus biotic Hg concentrations by
11575 altering species composition, biomass and growth rates (e.g., Clayton et al., 2013; Jardin et al., 2013).
11576 Aquaculture, overfishing, and invasion of non-native species can change not only the nutrient status of
11577 an aquatic ecosystem, but also change directly the structure, function, and dynamics of food webs, and
11578 thus could result in major changes in biotic Hg.

11579 **Climate change:** On the global scale, climate change is the most prevalent contributor to the decoupling
11580 between biotic and environmental Hg. The impact of climate change on biotic Hg is perhaps most
11581 profoundly felt in the Arctic, where rapid climate warming has resulted in dramatic changes in many
11582 biogeochemical and ecological processes that drive Hg cycling (Wang et al., 2010; Stern et al., 2012). For
11583 instance, the rapid decline in the aerial coverage and thickness of Arctic sea ice and the replacement of
11584 multi-year sea ice with first-year ice have been shown to influence Hg distribution and transport across
11585 the ocean–sea ice–atmosphere interface, alter Hg methylation and demethylation rates, promote
11586 changes in primary productivity, and shift food web structures (bottom-up processes). In addition,
11587 changes in animal social behaviour associated with changing sea-ice regimes can affect dietary exposure
11588 to Hg (top-down processes) (Stern et al. 2012). As shown in Case Study 4, thick-billed murre from Coats
11589 Island in northern Hudson Bay has been shown to have moved down in its trophic position in the food
11590 web, presumably due to feeding increasingly on capelin instead of Arctic cod (Braune et al., 2014).
11591 However, the population’s egg Hg concentrations did not change significantly from 1993 to 2013; thus,
11592 to explain this stable trend the availability of methylmercury in the environment and efficiency of Hg
11593 food web transfer must have increased. It has also been suggested that climate warming may cause a
11594 shift in energy flow from benthic to pelagic food webs as aquatic productivity increases in High Arctic
11595 lakes. Since zooplankton species such as *Daphnia* contain higher methylmercury than benthic organisms,
11596 this shift could increase Hg transfer in the food web (Chetelat and Amyot, 2008). The impact of climate
11597 change on biotic Hg has also been observed in lower latitude regions (e.g., Pinkney et al., 2014).

11598 **6.2.3. What are the implications for the Minamata Convention?**

11599 Recent reports about widespread biotic Hg trends not following the atmospheric Hg trends is not
11600 discouraging news when it comes to implementation of the Minamata Convention. The fact that the
11601 effectiveness of Hg emission control is expected to be followed by long delays before an ensuing
11602 reduction is seen in food-web Hg levels makes it all the more pressing to control and reduce mercury
11603 emissions as early as possible (Wang et al. 2010).

11604 Wang et al. (2010) and Wang and Zhang (2013) proposed that the decoupling between biotic and
11605 environmental Hg is an indication that an aquatic ecosystem has entered a new “paradigm” in which the
11606 key controls on Hg bioaccumulation have switched from being “emissions-driven” to “processes-driven”
11607 (Figure 6.9). This switch occurs because the level of Hg in an aquatic ecosystem is determined not only
11608 by Hg influx (natural or anthropogenic) to the system, but also by the processes in the ecosystem that
11609 control the recycling, speciation, bioavailability, methylation and biological uptake of Hg. As the

11610 accumulated mass of Hg in a water body becomes large enough relative to the emission-driven loading
11611 rate, the internal biogeochemical processes that control its permanent removal (e.g., burial), re-
11612 emission, or uptake into the biosphere would increasingly become the determining steps in
11613 bioaccumulation.

11614 The changing relationship over time between atmospheric Hg concentrations or deposition, and biotic
11615 Hg, is shown in Figure 6.9. During the Holocene, when Hg emissions were at their natural level, the flux
11616 of Hg to the aquatic system was generally low, and so were its biotic concentrations (Phase I –
11617 “Holocene background”). At the onset of the Anthropocene in the 19th century, however, as
11618 industrialization resulted in a sharp increase in anthropogenic Hg emissions, aquatic biota Hg
11619 concentrations responded rapidly due to increasing Hg deposition, exposure and uptake of Hg from a
11620 small but growing environmental Hg inventory (Phase II – “Emissions-driven”). Once an aquatic
11621 ecosystem has accumulated sufficient Hg, additional increases in Hg influx become secondary to the
11622 amount that has been stored in the system accumulated by years of loading (“legacy” Hg).

11623 Bioaccumulation then draws predominantly on this “legacy” Hg, which is operated on by the internal
11624 biogeochemical processes (Phase III – “Internal Processes-driven”). Throughout all these three phases,
11625 biogeochemical processes (shown as sine-wave “noise” in Figure 6.9) determines the transport of Hg
11626 from the abiotic part of the ecosystem to biota, but it is in Phase III that these processes emerge to
11627 create a variability that is large enough to obscure the external Hg emission trends, and hence the
11628 mismatch between biotic and atmospheric Hg trends (Wang et al., 2010).

11629 In the context of the Minamata Convention to control Hg emissions, a new phase, Phase IV, can be
11630 envisioned (see Figure 6.9). As anthropogenic Hg emissions decrease, atmospheric Hg concentrations
11631 will decrease and eventually stabilize at a new steady state. However, recycling of the large quantities of
11632 legacy anthropogenic Hg presently contained in the world’s oceans and soils, and revolatilization
11633 between oceans, soils and the atmosphere, means that atmospheric and aquatic Hg concentrations are
11634 likely to decrease much more slowly than changes in current emissions (see Chapter 1.2). While biotic
11635 Hg concentrations are also projected to decrease over the long term, the current phase of “processes-
11636 driven” bioaccumulation dictates that it will take much longer to establish a new steady-state in biotic
11637 Hg. The biotic Hg concentrations at the new steady-state are also likely to remain above the Holocene
11638 background levels. In the shorter term, however, aquatic biotic Hg concentrations, especially in marine
11639 ecosystems, are likely to continue to increase despite recent emission controls (Sunderland and Selin,

11640 2013). Biota in smaller waterbodies such as lakes and coastal marine systems with restricted water mass
11641 turnover are more likely to respond relatively rapidly to emissions controls.

11642 Examples of this long and “bumpy” recovery in biotic Hg can be found following the impoundment of a
11643 river, or following “de-acidification” of a lake. As shown in Case Study 2, fish Hg in reservoirs decreases a
11644 few years after the impoundment, but remains above the pre-impoundment level even after more than
11645 a century (see Figure 6.5). In the 1970s, liming was applied to many Swedish lakes that were acidified
11646 due to atmospheric acid deposition to help restore the lake ecosystem. Following the liming, fish Hg in
11647 those lakes declined 10-20% by the 1990s (Meili, 1995) and continued to decline to the present day
11648 (Åkerblom et al., 2014). Yet, more than 30 years after the liming, fish Hg concentrations in these lakes
11649 remained considerably higher (twice as high on average) than those in lakes that were not impacted by
11650 acidification (and not subjected to liming) (Åkerblom et al., 2014) (see Figure 6.4a).

11651 Therefore, as anthropogenic Hg emissions are being placed under control due to the Minamata
11652 Convention, research and management emphasis should focus on the fate and effect of legacy Hg that is
11653 already stored in environmental reservoirs, and on the factors and processes that affect the recovery
11654 time of biotic Hg. Given the long and bumpy recovery road ahead, effective remediation and adaptation
11655 strategies are needed to assist the local communities that facing Hg contamination in their ecosystems
11656 and food sources.

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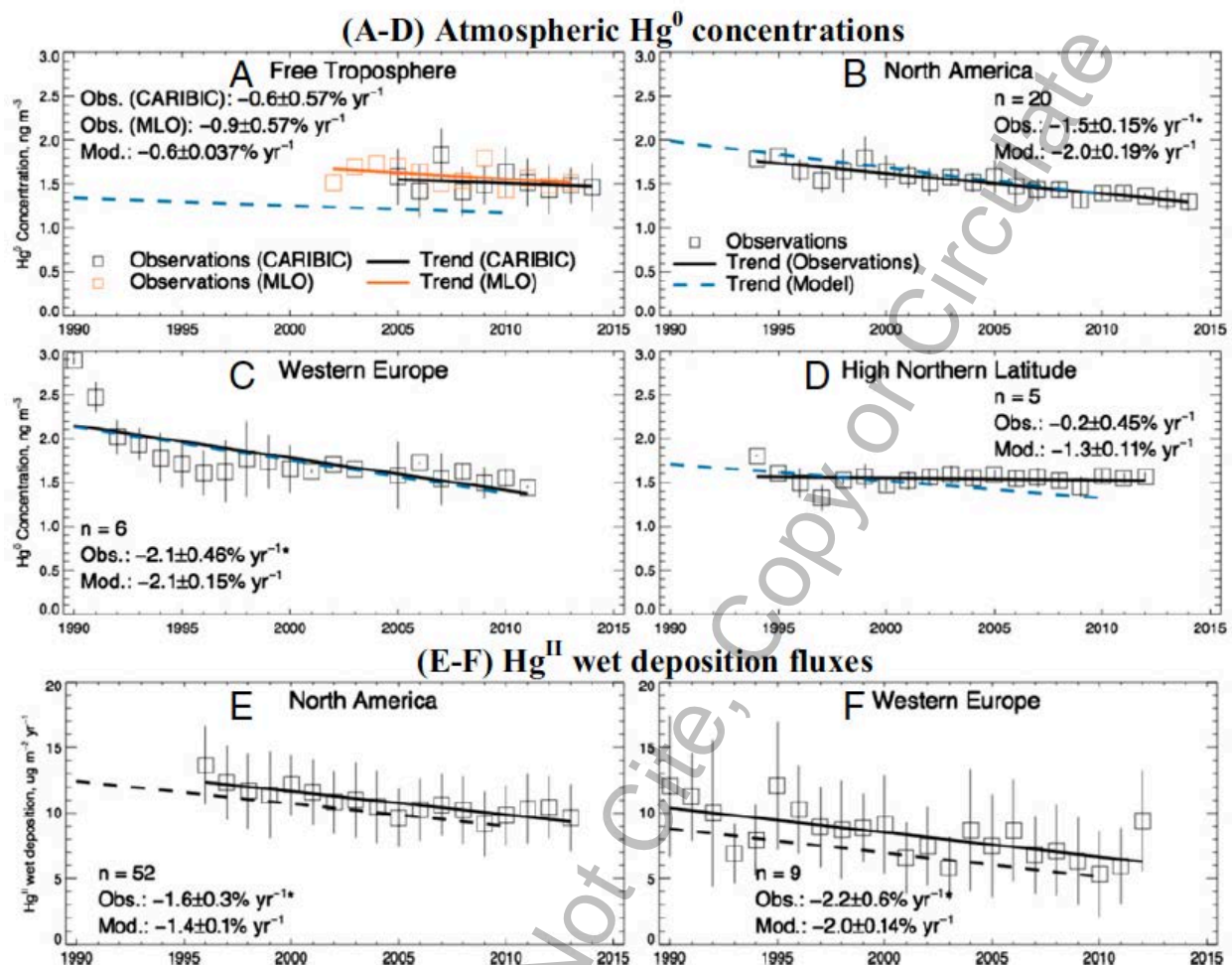
11658

11659 **Table 6.1** Unique properties of mercury and implications for its biogeochemistry (Wang and Zhang, 2013)

Property	Implications
Redox between Hg(0) and Hg(II)	Sensitive to changes in pe and pH; Sensitive to photochemical and microbial processes.
High vapor pressure of Hg(0)	Sensitive to changes in temperature; Long range atmospheric transport; A global problem needing global solutions.
Hg ²⁺ ions being one of the softest Lewis acids	Strong affinity to ligands (e.g., reduced sulphides, halogens); Sensitive to changes in organic carbon.
Methylation is primarily microbial, with MeHg being the most bioavailable and toxic	Sensitive to changes in organic carbon, nutrients, redox and microbial processes; Direct source control of MeHg difficult.
MeHg biomagnifies in the food chain	Sensitive to changes in food web structure and dynamics.

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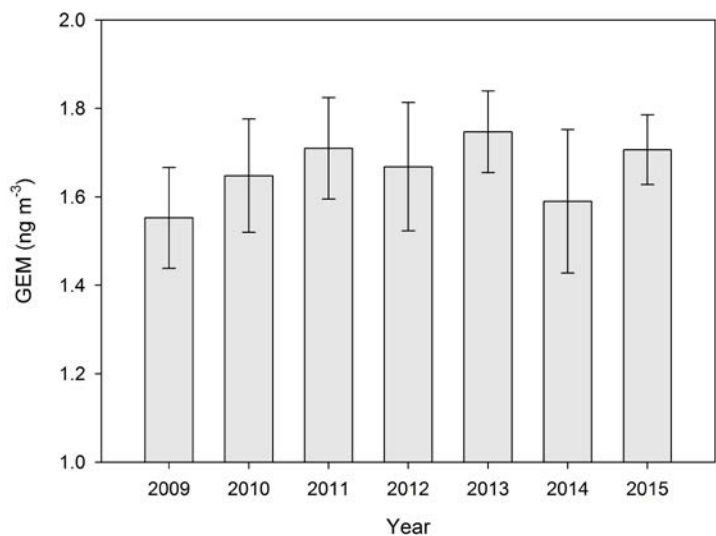
11664

11665 **Figure 6.1.** Observed and modelled trends for 1990 to 2013 in atmospheric Hg(0) concentrations (A-D)
 11666 and Hg (II) wet deposition fluxes (E and F) in different regions of the northern hemisphere. Observations
 11667 for individual years are shown as squares with linear regression as solid line. The dashed line is the trend
 11668 from the GEOS-CHEM simulation using the revised anthropogenic emissions inventory for 1990 and
 11669 2010. The data are averaged regionally across for the free troposphere (A), North America (B and E),
 11670 Western Europe (C and F), and high northern latitude regions (D) (vertical bars show the
 11671 SDs). Regression coefficients (slope \pm SE) and number of sites (n) are given (Insets). **From Zhang et al.**
 11672 **(2016)**

11673 **N.B., All captions will be recast, and figures redrawn by UNEP copy editors and graphic designers.**

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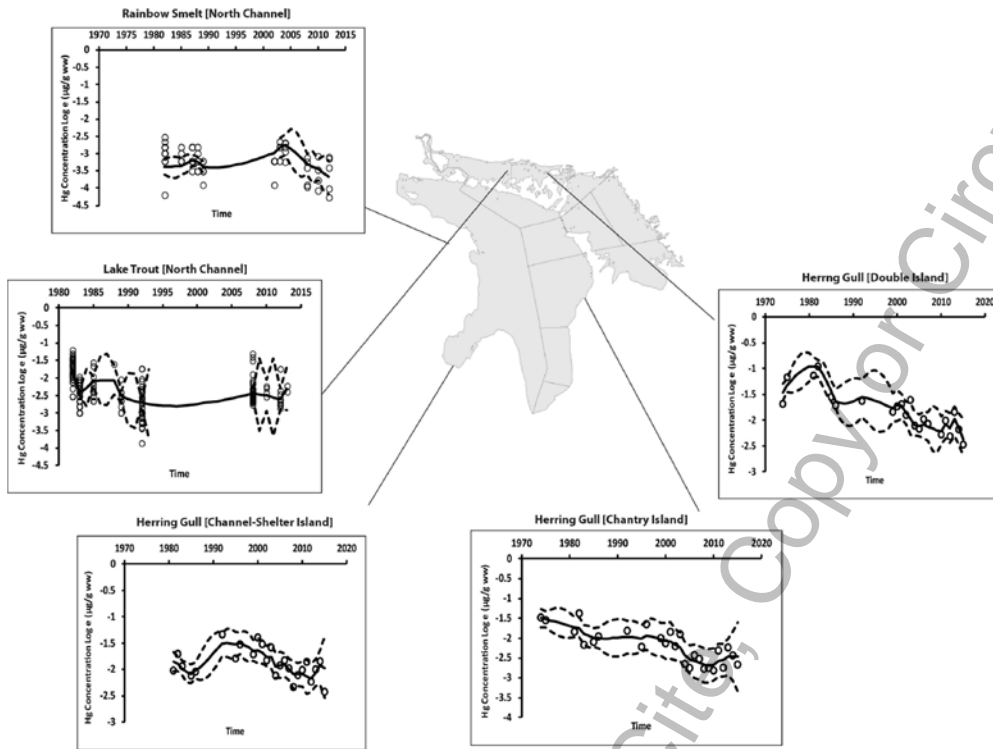
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11678 **Figure 6.2.** Annual mean gaseous elemental mercury concentrations measured at Mt. Changbai in
11679 northeastern China (Fu et al. 2015b)

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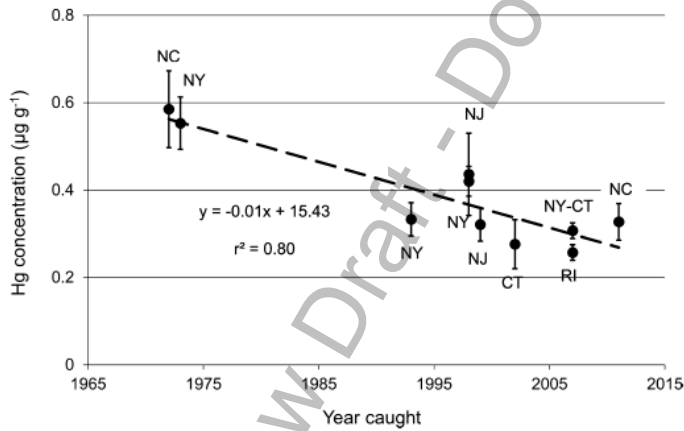
11680 A



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11682

11683 B



11684

11685 **Figure 6.3.** Mercury trends in fish and waterfowl of North America. A) Hg concentrations (Ln-
11686 transformed mg/g wet weight for Herring Gulls, Lake Trout and Rainbow Smelt) in Lake Huron. The

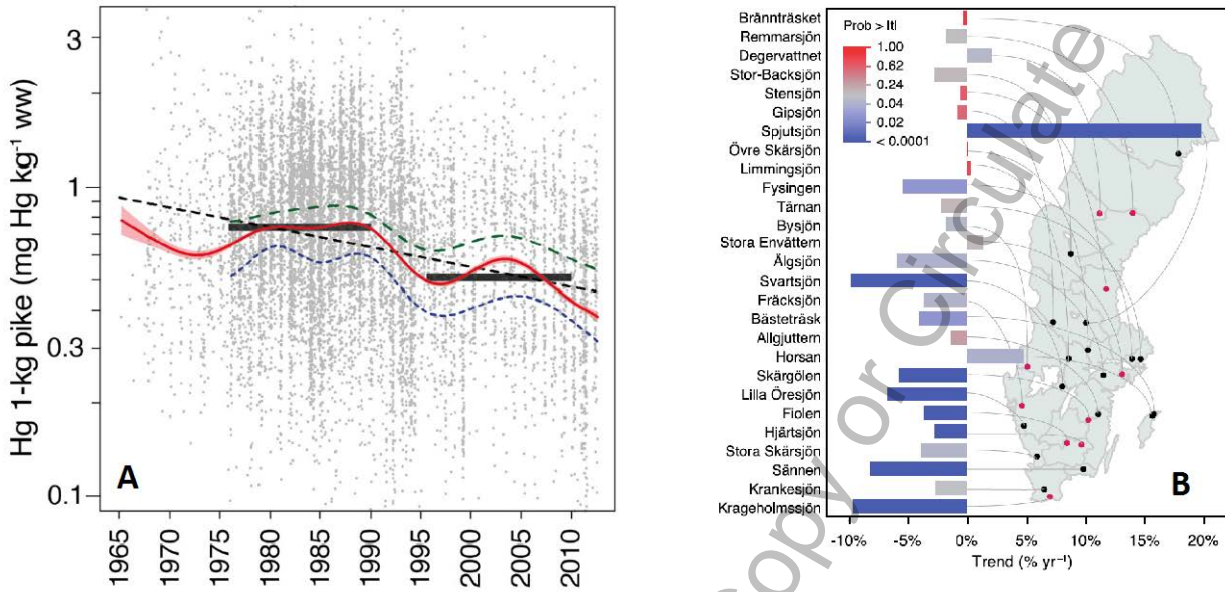
11687 solid and dashed lines correspond to the median and the 95% credible intervals of the predicted mercury
11688 concentrations (Blukacz-Richards et al. 2016). B) Mean and two SEM of the estimated mercury
11689 concentrations for bluefish from 1972 to 2011 (Cross et al. (2015). NC = North Carolina; NY = New
11690 York; NJ = New Jersey; CT = Connecticut; RI = Rhode Island.

11691

11692 **NOTE TO GRAPHICS:** draw a basemap of North America and then show each of these (and perhaps
11693 others cited in the text) as an inset

11694

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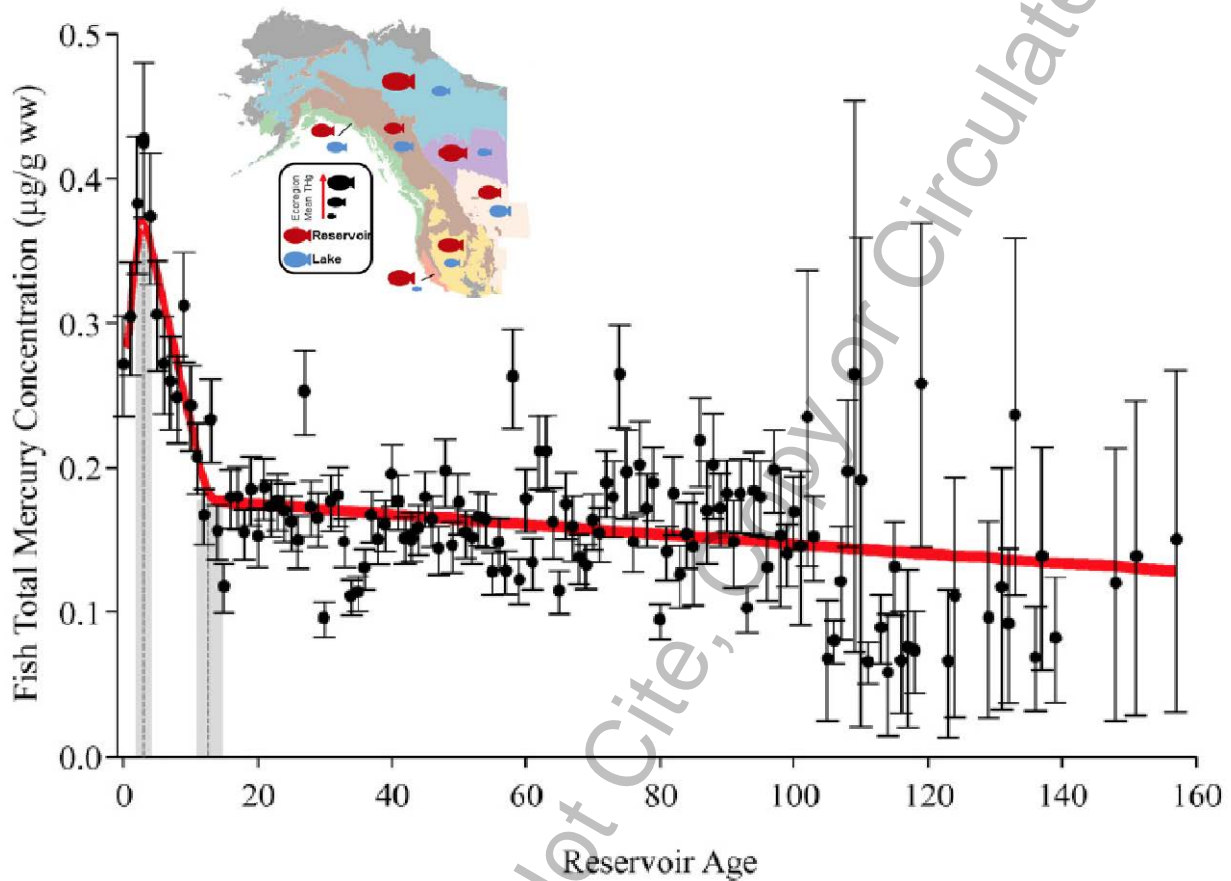
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11697 **Figure 6.4.** Total Hg concentrations in Swedish fish 1965–2012. A) Normalized (1-kg pike) Hg
 11698 concentrations of 10,176 catches; each point represents the mean from a single date and site. A linear
 11699 regression model (black dashed line) and a generalized additive model (GAM; red line ± SE) were
 11700 applied to all data to visualize temporal patterns. The parallel dashed lines are separate GAMs fitted either
 11701 to limed lakes (upper green dashed line) or to never-limed lakes (lower blue dashed line). The black step
 11702 lines indicate the geometric mean Hg concentrations between 1976–1990 (0.74 mg kg⁻¹ ww) and 1996–
 11703 2010 (0.52 mg kg⁻¹ ww). B) Recent trends of total ww Hg concentrations in medium-sized perch (total
 11704 length 140–220 mm) from 27 national monitoring lakes during the period 2003 (red dots) or
 11705 2005/2006/2007 (black dots) to 2012. Trends were estimated by linear regression on log-transformed Hg
 11706 concentration normalized site specifically for fish age and body length. The bar colour represents the
 11707 probability of individual trends being equal to zero (t test). Blue bars highly significant, red bars not
 11708 significant. From Åkerblom et al. (2014).

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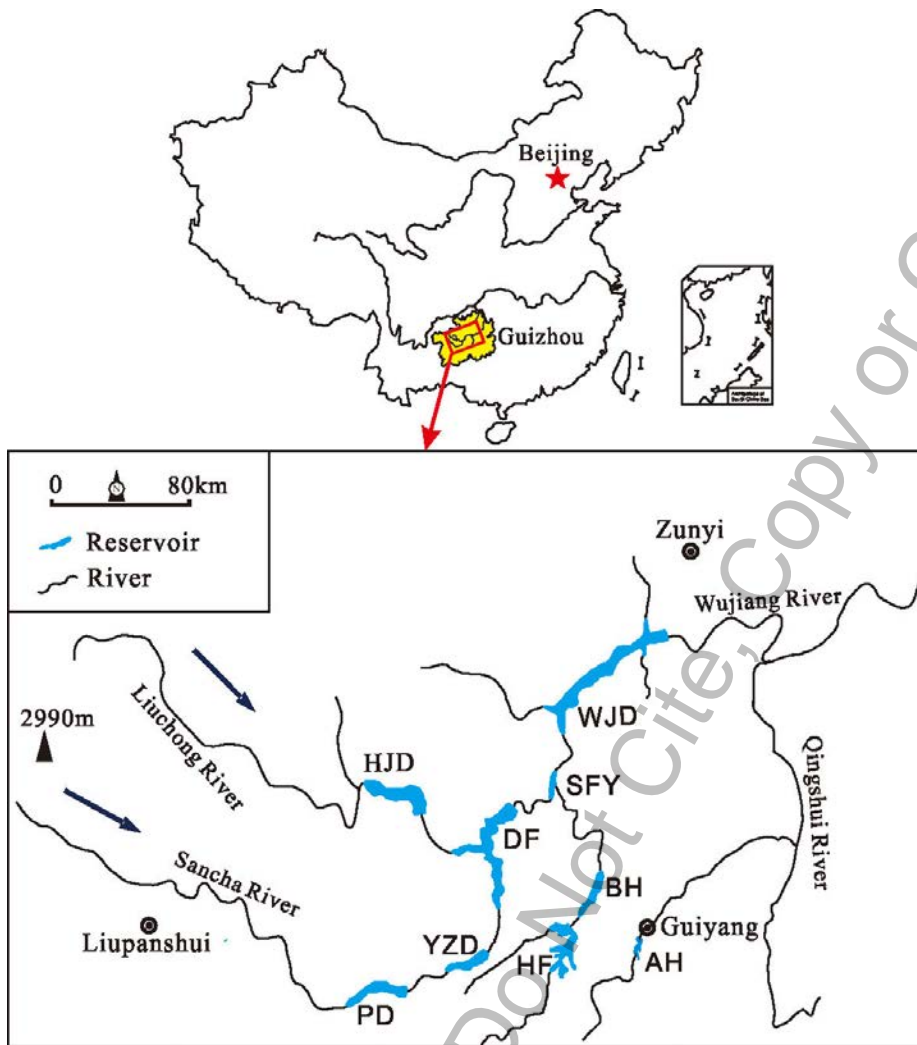
11712

11713 **Figure 6.5.** Fish tissue Hg trends from reservoirs across western North America. The data show least
11714 squares mean total mercury concentrations ($\mu\text{g/g ww} \pm \text{standard error}$) in size-standardized fish. Least
11715 squares mean account for the effects of ecoregion, waterbody, species, and sampling year. Vertical grey
11716 dashed lines and shaded regions indicate estimated breakpoints ($\pm \text{standard error}$) from segmented linear
11717 regression (solid line) on fish mercury concentration when accounting for the effects of ecoregion,
11718 waterbody, species, and sampling year. (From Willacker et al 2016). NOTE TO GRAPHICS: REMOVE
11719 RED LINE DURIGN REDRAW.

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11725 [Figure 6.6](#). Reservoirs along the Wujiang River

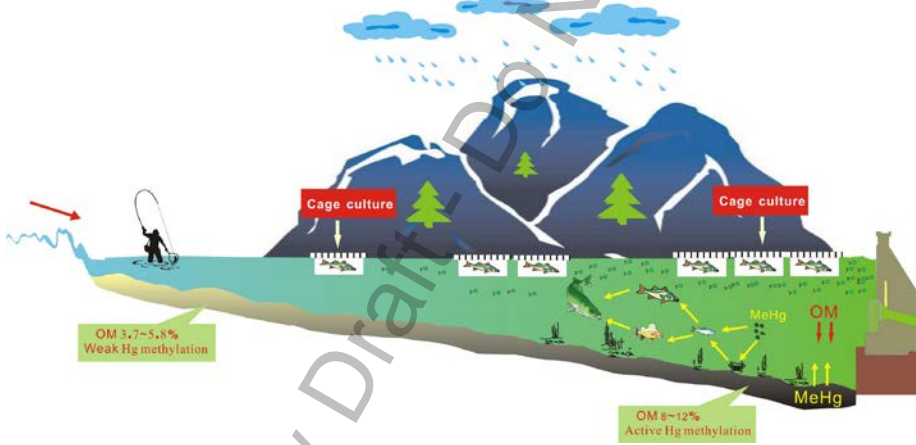
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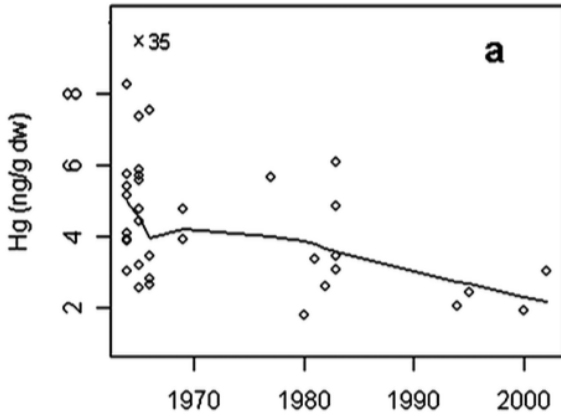


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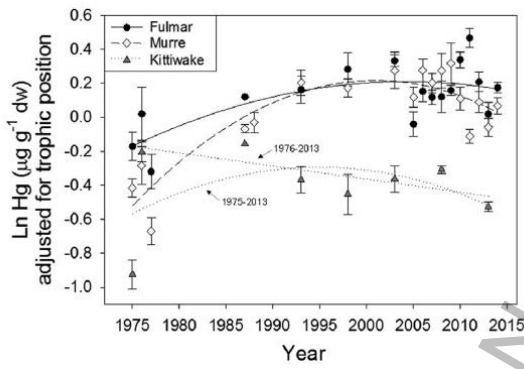
11729 **Figure 6.7.** Conceptual models of the Hg cycling in A) primary, B) intermediate, and C) advanced
11730 evolutionary stage reservoirs in the Wujiang River Basin, Southwest China

11731 A



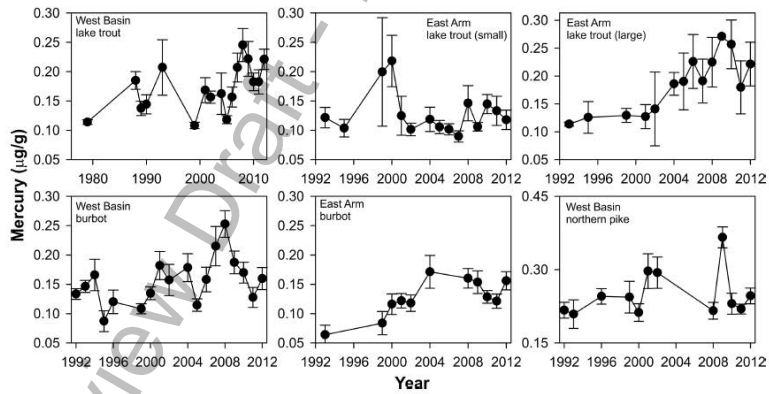
11732

11733 B



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11735 C



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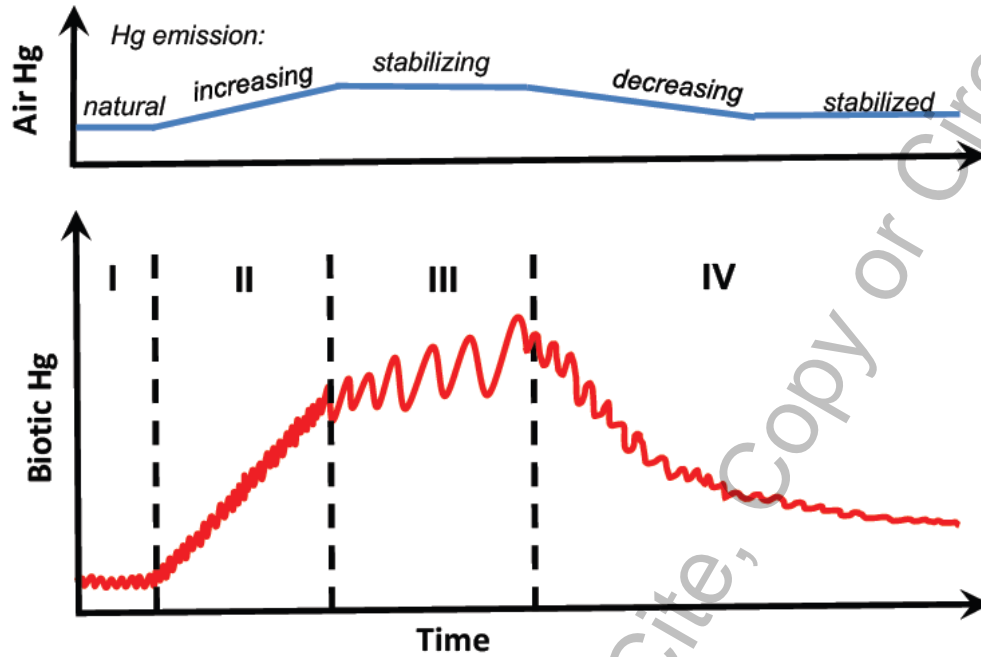
11737 [Figure 6.8](#). Mercury trends in the Arctic. A) Year vs. dental Hg concentrations (ng/g dw) in polar bears
11738 from Svalbard, aged from 3 to 10 years. Smoothing lines (robust, locally weighted scatter plot smoothing
11739 system based on the LOWESS algorithm) represent the fitted non-linear trend of the values. From Aubail
11740 et al. (2012). B) Annual mean Hg concentrations (ug/g dry weight; ln-transformed) adjusted for trophic
11741 position in eggs of thick-billed murre, northern fulmar, and black-legged kittiwake from 1975 to 2014.
11742 from Braune et al. (2016). C) Hg concentrations in burbot and lake trout collected from the West basin
11743 and east Arm of Great Slave Lake. from Evans et al. (2013). NOTE TO GRAPHICS: draw a basemap of
11744 the Arctic and then show each of these (and perhaps others cited in the text) as an inset

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*I: Holocene background; II: Emission Driven;
III: Processes driven; IV: Emission Control*

11748

11749

11750 **Figure 6.9.** General stages in the principal drivers of mercury bioaccumulation (bottom panel), following
11751 increasing and decreasing trends in anthropogenic emissions (top panel). Modified from Wang et al.
11752 (2010).

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Note to reader

This draft version of Chapter 7 in the Technical Background Report to the Global Mercury Assessment 2018 is made available for review by national representatives and experts. The draft version contains material that will be further refined and elaborated after the review process. Specific items where the content of this draft chapter will be further improved and modified are:

1. Quality of all graphics (Maps, Figures, Tables) will be improved prior to publication.
2. Content of all graphics (Figures, Tables) will be double-checked, updated, and refined prior to publication.
3. Table 2 and 3 will be further updated over the next couple of months.

GMA 2018 Draft. Chapter 7 Mercury concentrations in biota. David Evers, Staffan Åkerblom, David Buck, Dominique Bally, Nil Basu, Nathalie Bodin, Paco Bustamante, John Chetelat, Monica Costa, Rune Dietz, Paul Drevnick, Collin Eagles-Smith, José Lailson, Diego Henrique Costa Pereira, Frank Riget, Carlos Rodriguez Brianza, Elsie Sunderland, Akinori Takeuchi, Eleuterio Umpiérrez, Simon Wilson, Younghee Kim (UNEP Fate & Transport Partnership Group, Biota Subgroup Technical Expert Team)

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13050 **Chapter 7 Mercury concentrations in biota**

13051 **7.1 Introduction**

13052 **7.1.1 Principal sources and pathways of methylmercury availability to biota**

13053 Mercury (Hg) globally enters ecosystems through the air (e.g., emissions from coal-fired power plants
13054 and incinerators) or water (e.g., both inactive and active chlor-alkali facilities and landfills) (Pacyna et al.
13055 2016, Kocman et al. 2017, Streets et al. 2017). Inorganic Hg emitted from natural or anthropogenic
13056 sources becomes toxic in the environment when it is converted to methylmercury (MeHg), by sulphur-
13057 reducing bacteria and other microbes (Gilmour et al. 2013). Certain ecosystem conditions (such as those
13058 found in wetlands) can encourage the production and bioavailability of MeHg in the environment.
13059 Bacteria often produce more MeHg when moderate amounts of sulphate and low oxygen (anoxic)
13060 conditions are present to provide optimal conditions for the metabolic processes of the bacteria (Hsu-
13061 Kim et al. 2013). Mercury also readily binds to dissolved organic carbon (DOC), so areas with high DOC
13062 levels may generate MeHg more readily (depending on the type of DOC) (Schartup et al. 2015), as will
13063 areas that have acidified conditions (Wyn et al. 2009).

13064 These factors are important in assessing ecosystems sensitive to both Hg input and methylation
13065 potential. The complex chemical conversions and cycling of Hg make it particularly challenging to predict
13066 from air, water and sediment to levels of potential concern in upper trophic level fish and wildlife
13067 (Gustin et al. 2016, Sunderland et al. 2016). In other words, in areas where Hg deposition is low, effects
13068 on biota may be disproportionately high if conditions are conducive to MeHg production and
13069 biomagnification. A robust example is in southern Nova Scotia's Kejimikujik National Park of Canada,
13070 where Hg deposition levels are low, but concentrations in fish and birds tissue are above ecological
13071 health thresholds (Burgess and Hobson 2006; Burgess and Meyer 2008) and trends in fish MeHg
13072 concentrations continue to increase (Wyn et al. 2010).

13073 Mercury is a potent neurotoxin that can cause physiological, neurologic, behavioural, reproductive, and
13074 survival harm to fish and wildlife (Scheuhammer et al. 2011). It readily biomagnifies, resulting in
13075 increasing concentrations of MeHg in the ecosystem as it moves from water and sediment, to
13076 phytoplankton and plants, aquatic insects, spiders, fish and wildlife. Once MeHg is taken up at the base
13077 of the food web it can efficiently biomagnify. As a result, top predators in a food web, such as fish, birds
13078 and mammals that prey on items that are themselves at relatively high trophic status, may have

13079 concentrations of MeHg in their tissues that are many orders of magnitude higher than the
13080 concentrations found in the water (often $> 10^6$ to 10^7 higher). Generally, each trophic change in the
13081 foodweb accounts for an order of magnitude of increase in MeHg concentrations, with the largest
13082 magnification occurring between water and phytoplankton in aquatic systems (Lee and Fisher, 2016).

13083 Mercury exposure has been well documented in fish and wildlife around the world, including areas with
13084 both point sources of contamination and remote from such sources (i.e., >100 miles) across North
13085 America (Evers et al. 2005, Kamman et al. 2005, Monson et al. 2011, Evers et al. 2011, Ackerman et al.
13086 2016, Eagles-Smith et al. 2016, Jackson et al. 2016), Europe (Nguetseng et al. 2015), Asia (Abeyasinghe et
13087 al. 2017) and representing ocean basins (Carravieri et al. 2014, Drevnick et al. 2015, 2017; Lee et al.
13088 2016, Bodin et al. 2017). Numerous studies, particularly recent ones, document adverse impacts such as
13089 reduced reproductive success, behavioural change (e.g., reduced time incubating), and neurological
13090 problems (e.g., ataxia) (Depew et al. 2012a,b; Dietz et al. 2013, Ackerman et al. 2016, Whitney and
13091 Cristol 2017, Evers 2017). Based on these and other *in situ* studies, the biomagnification and
13092 bioaccumulation of MeHg is shown to adversely affect the reproductive success of many fish and wildlife
13093 populations, representing multiple foraging guilds across many habitats and geographic areas of the
13094 world.

13095 Building on recent and compelling evidence, wildlife species vary in their sensitivity to MeHg toxicity
13096 (potentially based on foraging guilds and phylogeny) (Heinz et al. 2009). Passeriforms (i.e., songbirds)
13097 for example, appear to be highly sensitive to the toxicity of MeHg when compared to other orders of
13098 birds. Evidence to date indicates songbirds are more sensitive to MeHg toxicity on hatching and fledging
13099 success when compared to piscivores. Understanding MeHg in foodweb pathways and the ability of
13100 MeHg to adversely impact upper trophic level wildlife is critical for developing comprehensive
13101 assessments and monitoring efforts.

13102 In the end, identifying the proper fish and wildlife bioindicators for Hg biomonitoring are varied and
13103 complex. They differ according to the geographic area, timescale of interest, conservation concern, and
13104 whether the overall goal is for ecological or human health.

13105 **7.1.2 Existing Biotic Mercury Concentrations**

13106 There is an extensive list of published Hg data for biota and there are many biomonitoring programs in
13107 place around the world, particularly in high-income countries (e.g., U.S., Canada, across several
13108 European countries, and Japan) that generally track temporal-spatial patterns of environmental Hg (with

13109 an emphasis on fish). Existing biomonitoring programs were identified by a recent UNEP review (UNEP
13110 2016). Existing data within the peer-reviewed literature define the many case studies that include Hg in
13111 taxa identified in Article 19 of the Minamata Convention. Those data can be summarized with an
13112 emphasis on fish (both teleosts and elasmobranchs), sea turtles, birds and marine mammals.

13113 **7.1.3 Spatiotemporal trends of methylmercury in the environment:**

13114 Based on existing data from the literature and the many well-established biomonitoring programmes,
13115 global and regional patterns are identified herein. One of the longest standing and perhaps most
13116 influential programs in connecting Hg exposure in the environment to the foods that human
13117 communities depend on is by the Arctic Monitoring and Assessment Programme (AMAP 2011). This
13118 regional program uses relatively standardized methodologies across a large geographic area, using
13119 multiple taxa (e.g., fish, birds, and marine mammals), and incorporates other variables (e.g., other
13120 contaminants). AMAP has established the best regional template for effectively monitoring MeHg
13121 availability in the environment that can be used concurrently for ecological and human health,

13122 **7.1.4 Bioindicators useful for monitoring and assessing risk**

13123 Organisms that are at greatest risk for developing elevated MeHg body burdens are defined and
13124 grouped at relevant taxonomic resolutions. The emphasis is on biota that may pose concern for human
13125 health purposes in marine (e.g., tuna) or freshwater (e.g., bass and walleye) ecosystems, for temporal
13126 timelines of interest (e.g., short-term timeframes should use young individuals with relatively low
13127 trophic level species vs. long-term timeframes should use older individuals at high trophic levels), for
13128 spatial gradients of local to regional to global interests (e.g., for the latter, wide-ranging species such as
13129 swordfish are key), or for conservation purposes (e.g., wildlife that are rare or are well-established as at
13130 threat from Hg – such as albatrosses and loons).

13131 **7.2 Objectives**

13132 The overall goal of this chapter is to provide an overview about exposure to biota from environmental
13133 loads of Hg. The objectives of our analyses are to characterize:

- 13134 1. Coverage of existing biotic Hg concentrations and biomonitoring programs;
- 13135 2. Spatial gradients in Hg exposure, with an emphasis on identifying biological Hg hotspots;
- 13136 3. Temporal trends of biotic Hg exposure;

- 13137 4. Identification of bioindicators, with an emphasis on vulnerable taxa because of high exposures
13138 and susceptibility/sensitivity to toxic effects;
13139 5. Linkages between Hg sources and targeted bioindicators;
13140 6. Critical knowledge gaps.

13141 **7.3 Approach**

13142 **7.3.1 Identification of existing data**

13143 A systematic literature search was used with an emphasis on long-term, standardized and broadly
13144 geographic monitoring efforts, as well as on biota identified in Article 19, with a special emphasis on (1)
13145 organisms used for human consumption and (2) species at greatest risk to adverse impacts (particularly
13146 at population levels). Only peer-reviewed publications were used and are archived in BRI's Global Biotic
13147 Mercury Synthesis (GBMS) database (Evers et al. 2016a).

13148 This chapter aims to present data from peer-reviewed studies for which there can be reasonable
13149 confidence about the accuracy and precision of analytical results as well as about the comparability of
13150 the results over time. Studies were selected based on an adequately described study method that
13151 generally included the following parameters:

- 13152 • Adequate description of the characteristics of the organism sampled, including species, size,
13153 location, date, and tissue analysed;
- 13154 • Method of sample collection that met scientific standards;
- 13155 • Large sample sizes (e.g., >100 for an area) or small sample sizes (<20) from areas poorly
13156 represented;
- 13157 • An appropriate analytical method was used for measurement of Hg (or MeHg) in terms of limit
13158 of quantification, accuracy, and precision;
- 13159 • Appropriate statistical methods were used for reporting results.

13160 Consideration was given to selecting lower quality studies if the data were necessary to fill gaps for
13161 geographical distributions of biotic Hg exposure.

13162 **7.3.2 Explanation of preferred tissue types**

13163 This review focuses on tissues with well-established methods of measurement and interpretation and
13164 for which there is a reasonably large body of data. There are many available matrices and the choice of a
13165 tissue depends on monitoring interests and outcomes. Often the most useful tissues that can be

13166 collected in the field are non-lethal. Samples that can be analysed to assess total or MeHg exposure are
13167 commonly from the following tissues (i.e., matrix) types (Table 1).

13168

13169

13170 Table 1. Major biota groupings and tissues commonly analysed for Hg.

Biota Group	Matrix	% MeHg	Sample prep type*	Analyses type
Fish	Muscle fillet	>95%	ww or dw	THg
	Muscle Biopsy	>95%	dw (because greater possibility of moisture loss)	THg
	Blood	>95%	Ww	THg
Sea Turtles	Scales	>95%	Fw	THg
	Eggs	>95%	Dw	THg
Birds	Blood	>95%	Ww	THg
	Feather	>95%	Fw	THg
	Eggs	>95%	Dw	THg
	Liver/kidney	40-80%	Dw	MeHg
Marine mammals	Skin	>95%	Dw	THg
	Muscle	>95%	Dw	THg
	Liver/kidney	40-80%	Dw	MeHg
	Brain	>90%	Dw	THg

13171 *Reported as wet weight (ww), dry weight (dw) or fresh weight (fw) analyses.

13172 7.3.3 Identification of Hg biomonitoring programs

13173 The identification of Hg biomonitoring programs was conducted under a formal request by the Interim
13174 Secretariat at a global level. Responses were compiled (UNEP 2016) and provide the best record of
13175 existing local, regional and global abiotic and biotic Hg monitoring programs.

13176 7.4 Results

13177 7.4.1 Existing biotic Hg data from peer-reviewed studies

13178 Biotic Hg concentrations for targeted taxa (based on Article 19 of the Minamata Convention) were
13179 collected from over 700 peer-reviewed scientific publications that represent approximately 152,000
13180 individuals at 1,675 unique locations in 98 countries. It is believed that this is a relatively exhaustive
13181 literature review for field Hg concentrations in elasmobranchs, sea turtles, and marine mammals. The
13182 literature review is less exhaustive for teleost fish (both marine and freshwater) and birds.

13183 Elasmobranchs (i.e., sharks, skates and rays) were represented in 11 Orders by 9,024 individuals at 294
13184 distinct locations. Marine teleost fish were represented in 20 Orders by 30,483 individuals at 1785
13185 distinct locations. A total of 73 distinct locations were found with Hg concentrations in one of three

13186 tissue types of 1,259 individual sea turtles. Marine birds were represented in 9 Orders by 9,485
 13187 individuals at 619 distinct locations, while marine mammals were placed in 4 groups and represent
 13188 6,491 individuals at 558 locations (Table 2).

13189 Table 2. Mercury concentrations (ug/g or ppm of total Hg for selected fish, sea turtle, birds and marine mammals
 13190 at the taxonomic level of Order (or other groupings for marine mammals). Biota are arranged by major group, then
 13191 mean Hg concentrations from high to low.

Common Name	Order	Sites (n)	Individuals (n)	Mean	SD	Min	Max
SHARKS, SKATES, AND RAYS		CHONDRICHTHYES		Muscle (ww)			
Chimaeras	Chimaeriformes	2	161	3.13	0.27	0.73	3.14
Cow sharks	Hexanchiformes	5	37	2.56	0.85	0.92	2.99
Electric rays	Torpediniformes	6	44	1.66	1.06	0.12	2.42
Dogfishes	Squaliformes	20	647	1.48	2.16	0.09	9.66
Mackerel sharks	Lamniformes	25	308	1.43	1.15	0.01	5.42
Ground sharks	Carcharhiniformes	183	7364	1.08	0.83	0.00	18.29
Carpet sharks	Orectolobiformes	4	44	0.96	0.29	0.05	1.05
Angel Sharks	Squatiniiformes	3	98	0.40	0.07	0.03	0.48
Stingrays	Myliobatiformes	29	200	0.26	0.21	0.02	0.83
Guitarfishes	Rhinobatiformes	11	59	0.22	0.28	0.03	2.05
Skates and Rays	Rajiformes	6	62	0.15	0.09	0.02	0.30
MARINE FISH		TELEOSTEI		Muscle (ww)			
Roughy	Beryciformes	16	60	0.56	0.37	0.03	1.28
Perch-like fishes	Perciformes	1217	21225	0.39	0.49	0.00	10.52
Eels	Anguilliformes	12	207	0.37	0.12	0.05	0.56
Tarpons	Elopiformes	12	268	0.36	0.22	0.03	0.72
Flatfishes, Flounders, Soles	Pleuronectiformes	84	1701	0.32	0.30	0.00	0.88
Toadfish	Batrachoidiformes	6	117	0.30	0.14	0.03	0.37
Bonefishes	Albuliformes	5	42	0.28	0.19	0.10	0.53
Catfishes	Siluriformes	50	475	0.23	0.19	0.01	0.96
Minnows, Suckers	Cypriniformes	7	96	0.13	0.07	0.01	0.19
Silversides	Atheriniformes	10	641	0.12	0.07	0.03	0.51
Scorpion fishes, Sculpins	Scorpaeniformes	49	801	0.11	0.09	0.00	0.37

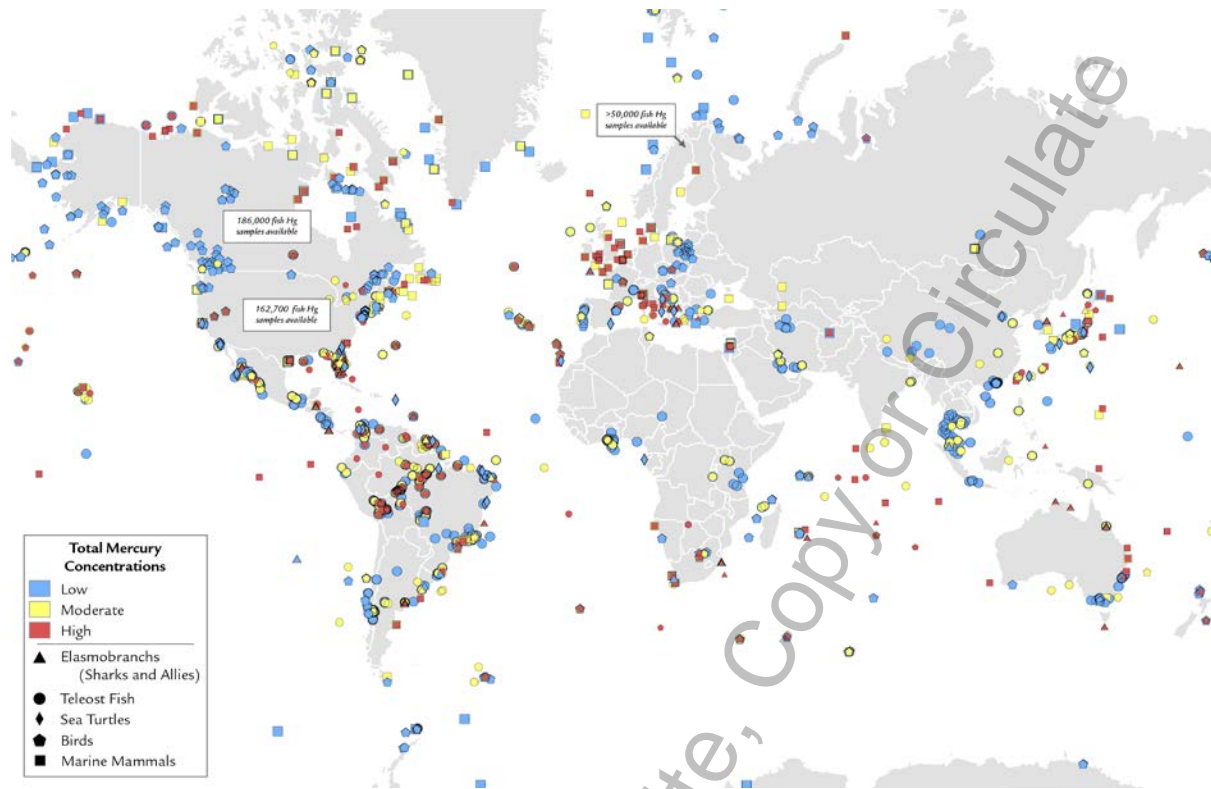
GMA 2018 Draft for external review. Chapter 7 Mercury concentrations in biota, August 2017

Cods, Hakes, Haddocks	Gadiformes	55	1207	0.11	0.14	0.01	0.74
Anglerfishes	Lophiiformes	4	43	0.10	0.03	0.01	0.12
Puffers, Triggerfishes, Leatherjackets	Tetraodontiformes	9	52	0.09	0.06	0.02	0.15
Mulletts	Mugiliformes	80	992	0.08	0.11	0.00	0.40
Needlefishes	Beloniformes	7	54	0.07	0.03	0.02	0.11
Herrings, Sardines, Anchovies	Clupeiformes	120	1973	0.06	0.15	0.00	3.40
Aulopiforms, Lizardfishes	Aulopiformes	14	66	0.06	0.08	0.01	0.36
Salmons	Salmoniformes	20	376	0.05	0.04	0.01	0.13
Smelts	Osmeriformes	8	87	0.03	0.02	0.00	0.05
REPTILES	REPTILIA	Scutes (fw)					
Sea turtles	Testunides	13	193	0.33	0.21	0.00	0.94
		Blood (ww)					
Sea turtles	Testunides	26	780	0.02	0.03	0.00	0.20
		Muscle (ww)					
Sea turtles	Testunides	34	286	0.14	0.15	0.00	0.39
BIRDS	AVES	Body Feathers (fw)					
Hawks, Eagles, Vultures	Accipitriformes	9	122	16.77	3.74	1.05	17.80
Albatrosses, Petrels, Shearwaters	Procellariiformes	124	3191	11.87	10.34	0.25	37.80
Rails and Cranes	Gruiformes	1	126	9.04		9.04	9.04
Cormorants	Suliformes	10	71	3.78	2.26	0.25	6.48
Gulls, Terns, Other Shorebirds	Charadriiformes	82	1216	2.43	2.25	0.18	11.66
Penguins	Sphenisciformes	51	1127	1.26	1.26	0.02	5.90
Waterfowl	Anseriformes	3	42	1.16	0.51	0.83	1.69
Tropicbirds	Phaethontiformes	1	31	0.84		0.84	0.84
		Blood (ww)					
Albatrosses, Petrels, Shearwaters	Procellariiformes	102	1398	6.23	16.34	0.03	209.37
Gulls, Terns, Other Shorebirds	Charadriiformes	146	887	1.95	5.33	0.03	36.52
Cormorants	Suliformes	37	574	1.38	1.89	0.19	17.14
Loons	Gaviiformes	37	2129	1.25	0.63	0.00	3.60
Hawks, Eagles, Vultures	Accipitriformes	28	86	0.74	1.05	0.00	7.40
Waterfowl	Anseriformes	13	82	0.56	1.41	0.01	4.68
Rails and Cranes	Gruiformes	2	82	0.46	0.30	0.01	0.56
Penguins	Sphenisciformes	31	372	0.41	0.27	0.02	0.84

Tropicbirds	Phaethontiformes	2	49	0.24	0.06	0.18	0.27
		Eggs (ww)					
Loons	Gaviiformes	8	544	1.22	0.65	0.00	1.63
Albatrosses, Petrels, Shearwaters	Procellariiformes	18	269	0.47	0.29	0.12	1.18
Peleicans, Ibises, Herons	Pelecaniformes	38	315	0.40	0.24	0.03	1.90
Cormorants	Suliformes	12	244	0.30	0.10	0.13	1.07
Gulls, Terns, Other Shorebirds	Charadriiformes	200	1825	0.28	0.26	0.00	1.71
Waterfowl	Anseriformes	10	132	0.26	0.15	0.07	0.43
Hawks, Eagles, Vultures	Accipitriformes	31	190	0.08	0.03	0.02	0.15
Grebes	Podicipediformes	8	130	0.07	0.03	0.04	0.13
Penguins	Sphenisciformes	2	33	0.04	0.01	0.04	0.05
Falcons	Falconiformes	11	124	0.04	0.02	0.02	0.08
MARINE MAMMALS	MAMMALIA	Muscle (ww)					
Toothed Whales	Cetacea: Odontoceti	401	4027	2.61	4.77	0.08	93.52
Seals and Walruses	Carnivora: Odobenidae, Otariidae, Phocidae	128	1969	0.39	0.35	0.00	3.22
Baleen Whale	Cetacea: Mysticeti	28	531	0.09	0.08	0.02	0.74
Polar Bears	Carnivora: <i>Ursus maritimus</i>	5	77	0.08	0.05	0.06	0.24

13192 7.4.2 Existing biomonitoring programs

13193 The existing biomonitoring programs for Hg that are operated by various governments and other
13194 entities are identified within many national networks, including initiatives in the EU (Norway, Sweden,
13195 Spain, UK, Poland), Canada, United States, Japan, Republic of Korea, Colombia and Brazil, and global or
13196 regional networks (UNEP 2016). The Arctic is best monitored through AMAP (AMAP 2011) with valuable
13197 subsets from Canada's National Contaminants Program (NCP) and the ARCTOX program based in Europe
13198 for tracking Hg in seabirds. There are many programs in the temperate regions of the U.S. (e.g., the U.S.
13199 Environmental Protection Agency's seafood Hg monitoring program and NOAA's mussel Hg watch
13200 program) and Europe and Japan. In tropical countries, there are fewer national or regional long-term
13201 initiatives. Oceanic Hg monitoring efforts are many and can be found in the peer-reviewed literature
13202 and are summarized by GBMS (Figure 1; Evers et al. 2016).



13203
 13204 Figure 1. Distribution of four major taxa and their total Hg concentrations in three risk categories. Risk categories by major taxa
 13205 and tissue type are: (1) Teleost and Elasmobranch fish muscle tissue (ppm, ww), <0.3=low, 0.3-1.0=moderate, >1.0= high; (2)
 13206 Sea Turtle Blood (ppm, ww) – all tissues were deemed low exposure; (3) Bird Feathers (ppm, fw), <10=low, 10-20=moderate,
 13207 >20=high; Adult Bird Blood (ppm, ww), <1.0=low, 1.0-3.0=moderate, >3.0=high; Eggs (ppm, ww), <0.5=low, 0.5-1.0=moderate,
 13208 >1.0=high; Marine Mammal muscle (ppm, ww), <0.3=low, 0.3-1.0=moderate, >1.0=high.

13209 **7.5 Discussion**

13210 **7.5.1 Selection of best bioindicators**

13211 The choice of target bioindicators depends on the question and circumstances. The initial choice of a
 13212 human health vs. an ecological health endpoint is important and can be often combined if properly
 13213 selected. Biota that have been identified to best fit these two categories are well described and should
 13214 be categorized within biomes and associated aquatic ecosystems (Table 3). The taxa of greatest interest
 13215 for the Minamata Convention include fish, sea turtles, birds and marine mammals – and, because of the
 13216 extensive scientific published literature the exposure of Hg in biota from around the world provides
 13217 confidence in properly selecting species of interest (Evers et al. 2016b).

13218

13219 Table 3. Potential choices of known bioindicators for ecological and human health as grouped by major terrestrial
 13220 biomes and their associated aquatic ecosystems (Adapted from Evers et al. 2016b).

Target Terrestrial Biomes	Associated Aquatic Ecosystems	Ecological Health Bioindicators				Human and Ecological Health Bioindicators		
		Freshwater and Marine Fish	Freshwater Birds	Marine Birds	Marine Mammals & Sea Turtles	Freshwater Fish	Marine Fish	Marine Mammals
Arctic Tundra	Arctic Ocean and associated estuaries, lakes, rivers	Sticklebacks ¹ (freshwater); Arctic Cod ² Sculpin ³ (marine)	Loons ^{4,5}	Fulmars ⁶ Murre ⁶	Polar Bears ⁷ Seals ⁸	Arctic Char ⁹ Arctic Grayling ¹⁰	Halibut ¹¹ Cod ¹¹	Beluga ^{2,12} Narwhal ^{2,12} Ringed Seal ⁵⁷ , Hooded Seal ⁸
Boreal Forest and Taiga	North Pacific and Atlantic Oceans and associated estuaries, lakes, rivers	Perch ¹³ (freshwater); Mummichogs ¹⁴ (marine)	Loons ¹⁵ Eagles ¹⁶ Osprey ¹⁷ Songbirds ¹⁸ (Warblers, Flycatchers, Blackbirds)	Osprey ¹⁹ Petrels ²⁰ Albatrosses ⁵⁰ Herring Gulls ⁵⁸	Mink ^{21,22} Otter ^{21,22} Seals ²³	Catfish ¹¹ Pike ¹⁰ Sauger ¹⁰ Walleye ¹⁰	Flounder ¹¹ Snapper ¹¹ Tuna ¹¹	Pilot Whale ²⁴
Temperate Broadleaf and Mixed Forest	North Pacific and Atlantic Oceans, Mediterranean and Caribbean Seas, and associated estuaries, lakes rivers	Perch ¹³ (freshwater); Mummichogs ¹⁴ Rockfish ¹¹ Sticklebacks ²⁵ (marine)	Loons ⁴ Grebes ^{5,26} Egrets ²⁷ Herons ²⁷ Osprey ¹⁷ Terns ²⁶ Songbirds ¹⁸ (Warblers, Flycatchers, Wrens, Blackbirds, Sparrows) Herring Gulls ⁵⁹	Cormorants ²⁸ Osprey ^{5,19} Terns ^{26,28} skuas ⁴⁹	Otter ^{21,22} Sea Turtles ^{29,52} Seals ²³ , toothed whales ^{53,54}	Bass ^{10,30,31} Bream ¹¹ Mullet ¹¹ Walleye ³¹	Barracuda ¹¹ Mackerel ¹¹ Mullet ¹¹ Scabbardfish ¹¹ Sharks ^{11,32} Swordfish ^{11,45} Tuna ^{11,32}	
Tropical Rainforest	South Pacific and South Atlantic and Indian Oceans and associated estuaries, lakes, rivers	Catfish ²³ Piranha ³⁴ Snook ¹¹ (freshwater); Bay Snook ^{11,34} (marine)	Egrets ²⁷ Herons ²⁷ Kingfishers ³⁵ Songbirds ³⁶ (Wrens, Thrushes, Flycatchers)	Albatrosses ^{37,38} Noddy ^{39,47} Shearwaters ³⁹ Terns ³⁹ Tropicbirds ³⁹ , Frigatebirds ⁴ , penguins ⁴⁸	Otter ⁴⁰ Sea Turtles ²⁹ Seals ⁴¹ , toothed whales ^{55,56}	Catfish ¹¹ Snakehead ¹	Barracuda ¹¹ Grouper ⁴² Sharks ^{43,44,46} Snapper ¹¹ Swordfish ⁴⁶ Tuna ⁴⁶	

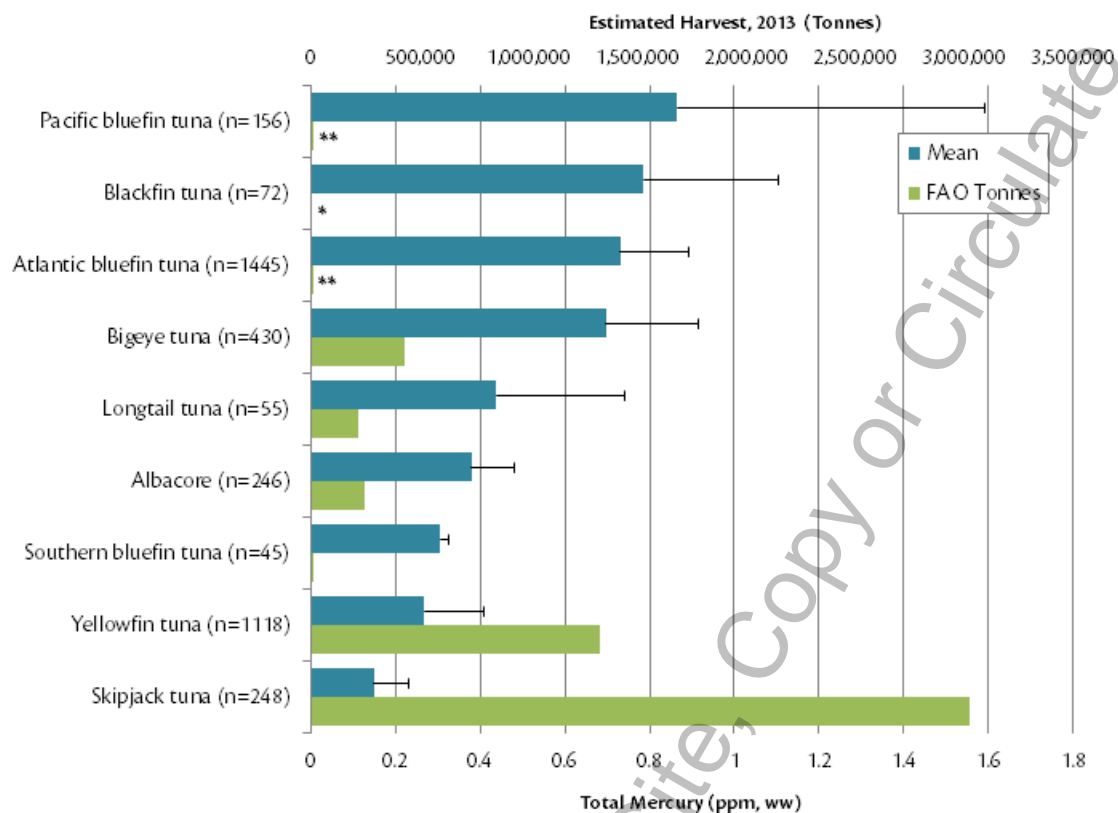
13221 ¹Kenney et al. 2014, ²AMAP 2011, ³Riget et al. 2007, ⁴Evers et al. 2014, ⁵Jackson et al. 2016, ⁶Braune 2007, ⁷Rush et al. 2008,
 13222 ⁸Dietz et al. 2013, ⁹Gantner et al. 2010, ¹⁰Eagles-Smith et al. 2016, ¹¹Evers et al. 2016a, ¹²Wagemann, and Kozłowska 2005,
 13223 ¹³Wiener et al. 2012, ¹⁴Weis and Kahn 1990, ¹⁵Evers et al. 2011, ¹⁶Bowerman et al. 1994, ¹⁷Odsjo et al. 2004, ¹⁸Jackson et al.
 13224 2015, ¹⁹Wiemeyer et al. 1988, ²⁰Goodale et al. 2008, ²¹Yates et al. 2005, ²²Klenavic et al. 2008, ²³Brookens et al. 2008, ²⁴Dam
 13225 and Bloch 2000, ²⁵Eagles-Smith and Ackerman 2009, ²⁶Ackerman et al. 2016, ²⁷Frederick et al. 2002, ²⁸Braune 1987, ²⁹Day et al.
 13226 2005, ³⁰Kamman et al. 2005, ³¹Monson et al. 2011, ³²Cai et al. 2007, ³³Bastos et al. 2015, ³⁴Mol et al. 2001, ³⁵Lane et al. 2011,
 13227 ³⁶Townsend et al. 2013, ³⁷Finkelstein et al. 2006, ³⁸Burger and Gochfeld 2000, ³⁹Kojadinovic et al. 2007, ⁴⁰Fonseca et al. 2005,
 13228 ⁴¹Marcovecchio et al. 1994, ⁴²Evers et al. 2009, ⁴³Kiszka et al. 2015, ⁴⁴Maz-Courrau et al. 2012, ⁴⁵Storelli and Marcotrigiano
 13229 2001, ⁴⁶Bodin et al. 2017, ⁴⁷Sebastiano et al. 2017, ⁴⁸Carravieri et al. 2016, ⁴⁹Carravieri et al. 2017, ⁵⁰Bustamante et al. 2016,
 13230 ⁵¹Anderson et al. 2010, ⁵²Maffucci et al. 2005, ⁵³Correa et al. 2013, ⁵⁴Aubail et al. 2013, ⁵⁵Bustamante et al. 2003, ⁵⁶
 13231 Garrigue et al. 2016, ⁵⁷Brown et al. 2016, ⁵⁸Burgess et al. 2013, ⁵⁹Weseloh et al. 2011

13232

13233 **7.5.1.1 Human health bioindicators**

13234 There are many communities that partly depend on wild animals for subsistence, including marine fish
13235 (e.g., tuna and billfish around the world), freshwater fish (e.g., bass and pike in temperate lakes; catfish
13236 and tigerfish in tropical rivers), and marine mammals (cetaceans and pinnipeds in the Arctic). Depicting
13237 patterns of dietary MeHg uptake in humans are illustrated for marine fish at a global level (e.g., tuna,
13238 Figure 1) and in Small Island Developing States at a local level (e.g., Seychelles Study, Figure 2).
13239 Freshwater lakes and rivers have many examples of elevated fish Hg concentrations around the world,
13240 especially in temperate regions (e.g., Scandinavia) and in the tropics (e.g., South America). In the Arctic,
13241 fish and marine mammals are regularly taken by subsistence communities as important protein sources
13242 for a large portion of the population as well described by the Arctic Monitoring Assessment Program
13243 (AMAP 2011).

13244 **Global Oceans – Case Study:** Tuna species are one of the most important global sources of marine fish.
13245 Total FAO commercial harvests are nearly 3.5 million tonnes per year – although this may not include all
13246 fisheries properly and harvests could be higher (Pauly and Zeller 2016). Muscle Hg concentrations and
13247 commercial harvest vary widely by species (Figure 2). The smallest tuna species (e.g., skipjack tuna and
13248 yellowfin tuna) have average Hg concentrations under the U.S. EPA advisory level of 0.30 ppm (ww),
13249 while the largest species (e.g., Pacific and Atlantic bluefin tunas) have the highest average Hg
13250 concentrations. Even these patterns can vary though by size class within species and ocean basin origin.
13251 For example, while yellowfin tuna tends to have lower average muscle Hg concentrations than seven of
13252 the nine tuna species with known Hg body burdens (Figure 2), individuals over 70kg are recommended
13253 to be avoided because of higher risks from Hg contamination (Bosch et al. 2016). Yellowfin and bigeye
13254 tuna Hg concentrations grouped by major ocean basin indicates that the eastern and northern areas of
13255 the Pacific Ocean have significantly higher Hg concentrations than other ocean basins (Ferriss et al.
13256 2011, Nicklisch et al. 2017) – an area where there are increasing tuna Hg concentrations recorded over
13257 the past decade (Drevnick et al. 2015, Drevnick and Brooks 2017) and modelled for several decades
13258 thereafter (Sunderland et al. 2009). When considering the size of tuna and its origin another factor to
13259 consider is that processed tuna (e.g., canned) tends to be lower than fresh tuna in their Hg
13260 concentrations (Garcia et al. 2016).



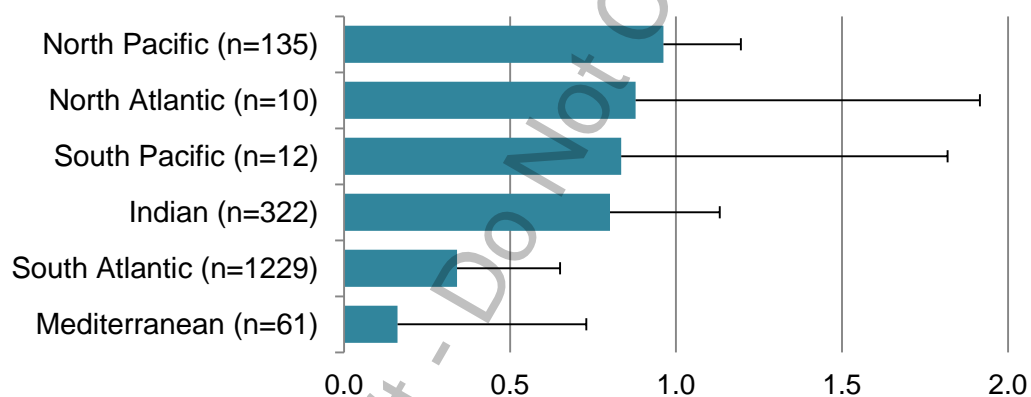
13261

13262 Figure 2. Average total Hg concentrations (ppm, ww) in muscle tissue of six tuna species compared with the FAO
 13263 harvests estimates (in tonnes) and tuna with harvests of 10-15,000 tonnes are depicted with ** and tuna with
 13264 harvest of <5,000 tonnes are depicted with *.

13265 **Small Island Developing States - Seychelles Case Study:** Large pelagic species such as billfishes are one
 13266 of the more appropriate bioindicators for developing and understanding broad spatial gradients of Hg
 13267 contamination in the world's oceans. Mercury body burdens in billfish, such as marlin (Drevnick and
 13268 Brooks 2017, Vega-Sanchez et al. 2017) and swordfish (Mendez et al. 2001, Branco et al. 2007), are
 13269 some of the highest known for marine teleost fish (Table 1; Rodrigues and Amorim 2016). In swordfish,
 13270 Hg body burdens vary according to major ocean basin with a tendency for the northern hemisphere
 13271 having more elevated Hg concentrations than the southern hemisphere ocean basins (Figure 3; 0.83 +/-
 13272 0.42 ppm in the North and 0.38 +/- 0.34 in the South). In addition to their high trophic level and
 13273 relatively long lifespan, swordfish have important commercial value and are an important income source
 13274 for many Small Island Developing States (SIDS). In the Indian Ocean, 27,000 tonnes of swordfish have
 13275 been harvested annually during 2006-2013 (including 270 tonnes caught by local semi-industrial fishing
 13276 fleets in the Seychelles Exclusive Economic Zone-EZZ) and are mainly exported as whole fish to the

13277 European Union (EU) (SFA 2016). The Seychelles Fisheries Authority (SFA) recently reported total Hg
 13278 concentrations of 0.7 ± 0.4 ppm (ww) measured in Seychelles swordfish edible muscle, with Hg levels
 13279 increasing with fish size (Hollanda et al., in prep).

13280 The Seychelles, as other SIDS (such as Sri Lanka; Jinadasa et al. 2014), are required to determine the
 13281 muscle total Hg concentrations of swordfish and other large fish species, when exporting to the
 13282 European Union (EU) – which requires fish imports to have < 1.0 ppm (ww) in tissue edible for humans.
 13283 Fish that are over this advisory level by the EU are not permitted (i.e., large-sized specimens with the
 13284 highest commercial value) and either remain within the Seychelles or are exported to other countries for
 13285 less value, which have a significant adverse economic cost on the Seychelles fishing industry and the
 13286 overall country. The Seychelles semi-industrial fishing fleet is thus trying to switch from swordfish to
 13287 tuna, as tuna species within the EZZ generally have Hg concentrations < 0.5 ppm (ww) (Bodin et al.,
 13288 2017). This recent fishing development however may not be a long-term solution to cover the economic
 13289 lost with swordfish because of the declining status of tuna populations in the Indian Ocean (e.g.,
 13290 yellowfin tuna: overexploited; bigeye tuna: fully exploited; IOTC 2016)



13291
 13292 Figure 3. Average total Hg concentrations (ppm, ww) in muscle tissue of one billfish species, the swordfish, in six
 13293 ocean basins.

13294 **Temperate Lakes - Scandinavian Case Study:** Freshwater fish across the Fennoscandian shield have
 13295 been sampled over 50 years in more than 3,000 lakes and streams. Studies on temporal trends over the
 13296 recent half decade show trends of decreasing Hg concentrations in freshwater fish. Mercury levels in the
 13297 southern part of the region (55°N – 64°N (S)) are generally higher relative those found in the north (64°N –
 13298 70°N (N)). Fennoscandian fish Hg data (ww in the muscle tissue) covers important fish species for

13299 recreational fishing with perch (*Perca fluviatilis*) (S: 0.31 ± 0.27 ppm (n=20,276), N: 0.23 ± 0.18 ppm
13300 (n=2,326)), pike (*Esox lucius*) (S: 0.69 ± 0.36 ppm (n=24,849), N: 0.56 ± 0.36 ppm (n=3,360)), and Arctic
13301 char (*Salvelinus alpinus*) (S: 0.46 ± 0.31 ppm (284), N: 0.09 ± 0.04 ppm (514)) having a tendency for
13302 higher Hg body burdens in the southern vs. the northern part of Scandinavia.

13303 **Tropical rivers - South American Case Study:** The major river basins of South America, including the
13304 Magdalena, Orinoco, Amazon and La Plata, support a large freshwater fishery, providing livelihoods for
13305 small-scale artisanal fisherman as well as major commercial enterprises (Barletta et al. 2010). In the
13306 interior, more remote areas of South America, *ribeirinho* communities are highly dependent on
13307 freshwater resources for their subsistence and for communities with high fish consumption, the risk of
13308 exposure to Hg and MeHg can also be high (Oliveira et al. 2010). Extensive research over several
13309 decades in the Amazon Basin has repeatedly identified the linkage between a diet high in fish
13310 consumption, particularly piscivorous and omnivorous species, with elevated concentrations of Hg in
13311 human biomarkers such as hair (Bidone et al. 1997; Lebel et al. 1997; Castillhos et al. 1998; Boischio and
13312 Henshel 2000; Bastos et al. 2006; Faial et al. 2015).

13313 The GBMS database for South America contains over 170 peer-reviewed publications on fish Hg
13314 concentrations from more than 240 sites within 100 different waterbodies. From these published
13315 sources, more than 27,000 individual fish from more than 240 genera are represented. Mean Hg
13316 concentrations range from below detection limit to 4.4 ppm (ww). The most commonly sampled taxa
13317 include species within the *Hoplias* (tigerfishes), *Serrasalmus* (piranhas), *Pseudoplatystoma* (sorubim
13318 catfishes), *Cichla* (neotropical cichlids) and *Odontesthes* (silversides) genera. Data from the South
13319 American GBMS database highlight areas of extensive freshwater sampling (e.g., Madeira and Tapajos
13320 rivers of Brazil) as well as areas where extensive data gaps exist (e.g., the countries of Paraguay and
13321 Guyana).

13322 From these data, biological Hg hotspots of concern for ecological and human health start to emerge
13323 (Figure 1). Much of the research on Hg in environmental and human Hg exposure has been conducted in
13324 areas impacted by ASGM. For effective long-term biomonitoring, and the establishment of regional
13325 baselines of Hg concentrations, future monitoring may also need to be conducted in areas where
13326 currently little or no information is available (e.g., Paraguay and Guyana).

13327 **Arctic – AMAP Case Study:** The Arctic Monitoring Assessment Programme (AMAP) regularly fosters
13328 international collaboration and compiles measurements of Hg levels in arctic biota, including shellfish,

13329 freshwater and marine fish, seabirds, marine and terrestrial mammals and people. Temporal trends
13330 from 83 long time-series for Hg in biota monitored at 60 sites around the Arctic establish one of the best
13331 standardized, long-term biomonitoring efforts for Hg in the world (AMAP 2011). There is a need for a
13332 concerted international effort to reduce Hg levels in the Arctic environment, because of (1) long-range
13333 atmospheric transport of Hg from distance source regions including increasing emissions in east Asia
13334 that total approximately 100 tons of Hg to the Arctic, (2) changing climate of warmer and longer ice-free
13335 seasons potentially promoting the production of MeHg, (3) the release of Hg stored over the previous
13336 millennia in permafrost, soils, sediments and glaciers, and (4) the close association of native
13337 communities of people reliant on biota that are often upper trophic level species with elevated Hg body
13338 burdens (AMAP 2011, Dietz et al. 2013, Scheuhammer et al. 2015). Studies indicate that there has been
13339 a ten-fold increase in Hg levels in birds and marine mammals over the past 150 years with an average
13340 annual rate of increase of 1-4% (AMAP 2011). More recently over the last 30 years, temporal trends of
13341 MeHg bioaccumulation in Arctic fish and wildlife have been inconsistent, with Hg concentrations
13342 increasing in some cases but declining elsewhere depending on the species and location (Riget et al.
13343 2011). Therefore, continued Hg biomonitoring is paramount to track the shifting Hg emissions and
13344 deposition and thereafter the bioavailability of MeHg in the foodweb across the Arctic region and
13345 protecting indigenous communities from contamination, especially in the eastern Canadian Arctic and
13346 Greenland (AMAP 2011) and in consideration that global climate changes are creating further
13347 uncertainty (Mckinney et al. 2015).

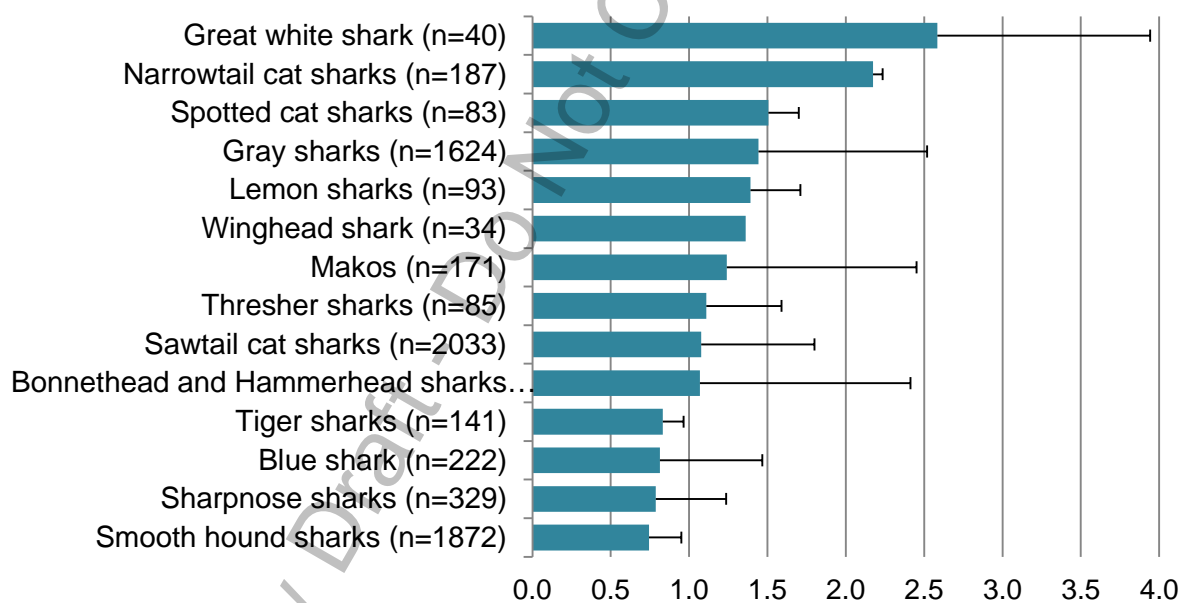
13348 ***7.5.1.2 Ecological health bioindicators***

13349 There are many species of fish and wildlife that are at risk to the adverse impacts of Hg on their
13350 physiology, behaviour and reproductive success (Dietz et al. 2013, Scheuhammer et al. 2015, Ackerman
13351 et al. 2016, Evers 2017, Whitney and Cristol 2017). Some species are considered high profile and are
13352 listed by IUCN on their Red List, or listed as threatened or endangered by the United States.

13353 The selection of the proper suite of bioindicators depends on the question. Taxa suitability may vary
13354 according to ecosystem interests (e.g., at habitat or biome levels of relevance), spatial gradient
13355 resolution (e.g., local, regional or global), temporal timelines (e.g., short- or long-term), human or
13356 ecological health interests, endpoints of importance (e.g., reproductive impairment), known adverse
13357 thresholds (e.g., by tissue and taxa using endpoints of interest), sampling availability (e.g., simple or
13358 challenging), and sampling outcome (e.g., non-lethal or lethal). A provisional slate of some potential
13359 bioindicators for evaluating and monitoring environmental Hg loads for ecological health purposes can

13360 be grouped in four target biomes and their associated waterbodies and by major taxa of interest (Table
 13361 3; Evers et al. 2016b).

13362 **Sharks – Case Study:** Many elasmobranchs (sharks, skates and rays) are well above the human health
 13363 advisory levels set by the World Health Organization (1.0 ppm, ww; Table 1). Species within the
 13364 mackerel and ground sharks generally have elevated Hg body burdens (de Pinho et al. 2010, de Carvalho
 13365 et al. 2014, Teffer et al. 2014, Matulik et al. 2017) and are of particular concern because of their high
 13366 conservation status and that they are often used for food in some places (e.g., Central America).
 13367 Although human health standards are well-established for the consumption of fish based on their Hg
 13368 concentrations, the potential adverse impacts of MeHg on organisms, like sharks, are not well
 13369 understood. Chronic dietary MeHg uptake of 0.2 ppm (ww) in freshwater fish had effects on
 13370 reproduction and other subclinical endpoints (Depew et al. 2012). While most sharks are well over this
 13371 threshold level and many shark populations are experiencing declines, it is challenging to link MeHg
 13372 toxicity to significant adverse effects. Of the 14 shark genera with published muscle Hg concentrations,
 13373 average levels exceed 1.0 ppm (ww) in 71% of the genera.



13374
 13375 Figure 4. Average total Hg concentrations (ppm, ww) in muscle tissue of sharks by genus from the Orders of
 13376 Mackerel and Ground Sharks.

13377 **Seabirds – Case Study: Marine Birds Case Study:** Most seabirds are situated high in the food web, so
 13378 they experience the biomagnification process and thus are highly exposed to MeHg (Monteiro and

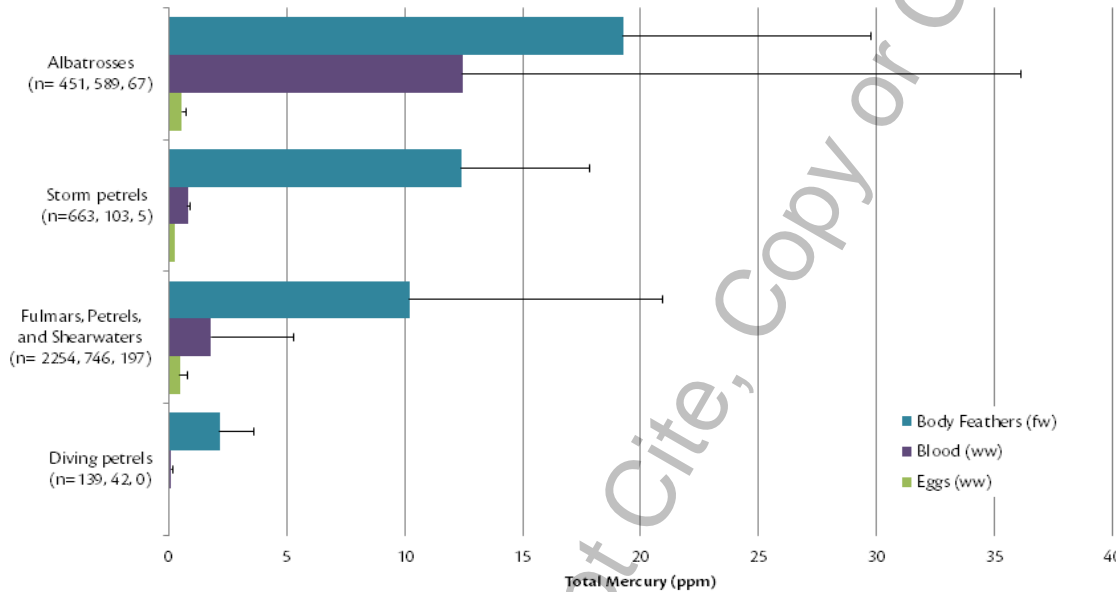
13379 Furness 1995). Because of their feeding ecologies and specific features (e.g., breeding sequence,
13380 molting, foraging ranges, migration patterns), seabirds generally have elevated body burden of Hg which
13381 can reduce their reproductive capacity and impact their demography (Tartu et al. 2013, Goutte et al.
13382 2014ab). To date, a large number of studies have focused on seabirds from tropical to polar regions and
13383 from coastal to oceanic zones, covering most of the world's oceans (Table 1; Elliott and Elliott 2013). On
13384 a global scale, seabirds show a wide range of Hg concentrations regardless of the tissue examined
13385 (feathers, blood, eggs) with broad spatial differences as well as variation according to the phylogeny. For
13386 instance, penguins have the lowest Hg concentrations in eggs, blood and feathers (with the exception of
13387 tropicbirds in feathers), whereas Procellariiforms (e.g., petrels, shearwaters and albatrosses) generally
13388 had the highest ones (Table 1). Procellariiforms are the best studied group and they display a wide range
13389 of tissue Hg concentrations which reflect some phylogenetic differences. Seabirds within the family
13390 Diomedea (i.e., albatrosses) have the highest Hg concentrations among all seabirds (Muihead and
13391 Furness 1988; Stewart et al. 1999; Anderson et al. 2010).

13392 The most important factor for predicting seabird Hg exposure, and therefore risk, is their foraging
13393 ecology. Because seabirds feed on a wide range of habitats, from the littoral zones to the oceanic
13394 environment (e.g., benthic and pelagic), they reflect Hg contamination from different parts of the
13395 ecosystems both horizontally (e.g., coastal, benthic and oceanic food webs) and vertically (i.e. epipelagic
13396 and mesopelagic food webs). Therefore, the study of a group of seabirds with contrasting ecologies from
13397 the same region allows determination of MeHg availability for multiple marine zones and therefore a
13398 more holistic view (Ochoa-Ocuña et al., 2002). As an example, crustacean-feeding seabirds have lower
13399 Hg exposure than cephalopod- and fish-feeders (Carravieri et al. 2014) and epipelagic seabirds have
13400 lower Hg exposure than those relying on mesopelagic prey (Ochoa-Ocuña et al., 2002). Therefore,
13401 seabirds of the highest trophic levels with high Hg intakes (such as albatrosses or skuas), can suffer the
13402 effects of MeHg toxicity that are associated with potential long-term declines in their populations
13403 (Goutte et al. 2014a, b).

13404 In storm petrels from the northern hemisphere they have 10 times higher concentrations in the feathers
13405 than those from the southern hemisphere (14.1 ± 3.9 vs 1.6 ± 1.4 ppm, respectively). Such a difference is
13406 not found for albatrosses between hemispheres, but these patterns should be explored further and
13407 should be based on seabirds sharing not only close phylogeny but similar trophic ecology.

13408 Seabirds permit Hg monitoring across large geographical scales and variations within the same species
 13409 over longitudinal (e.g., brown noddy) or latitudinal scales (e.g. skuas). The differences of Hg
 13410 contamination recorded in seabird tissues does reveal both differences of major ocean basin
 13411 contamination and latitudinal gradients of contamination for a single basin.

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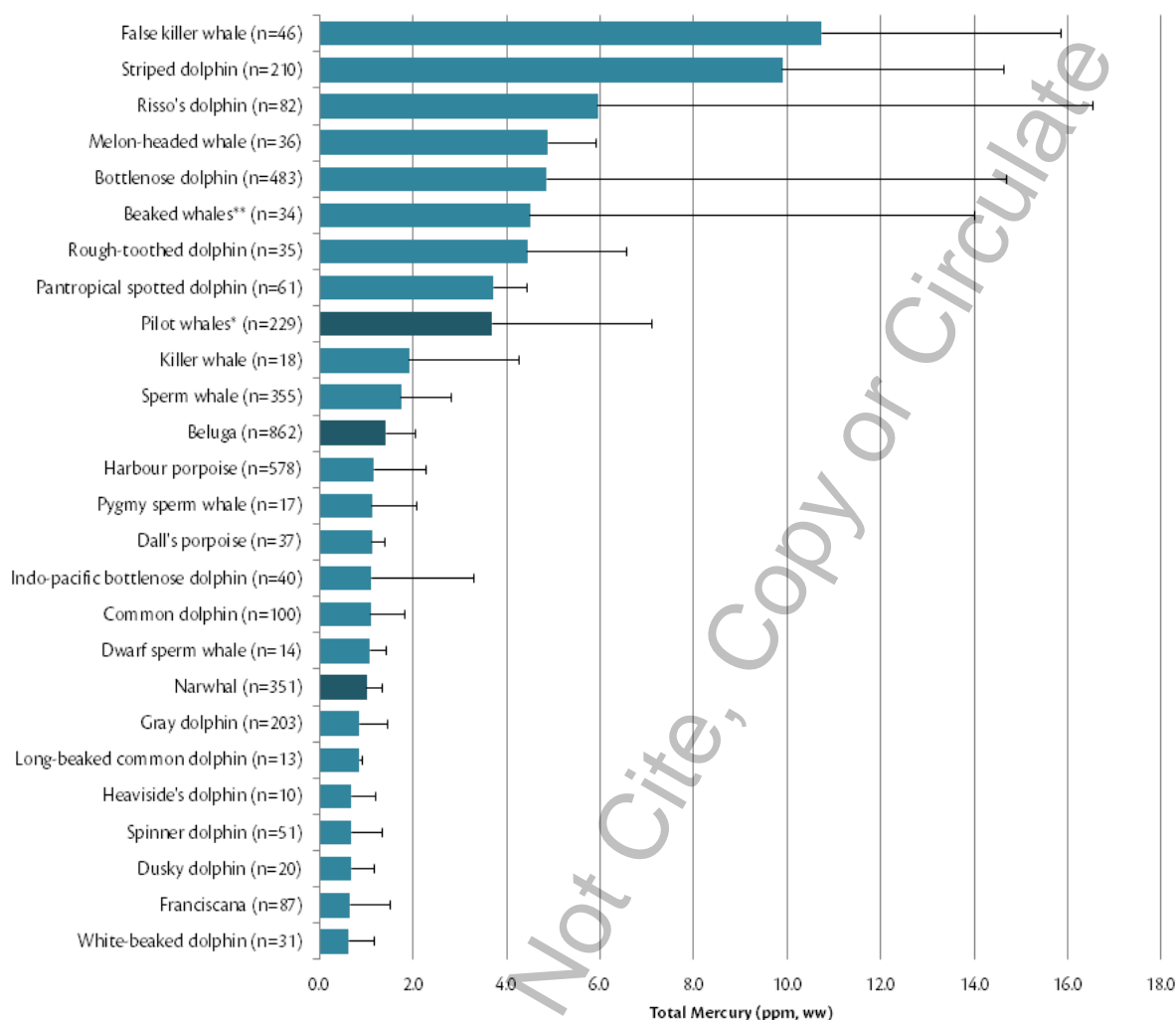
13413

13414 Figure 5. Average total Hg concentrations (ppm) in three tissues (fw in feathers, ww in blood and eggs) of seabird
 13415 families within the Order Procellariiformes.

13416 Loons/Divers – Case Study: Species within the Order Gaviiformes (loons or divers) are piscivores that breeding on
 13417 freshwater ponds and lakes in temperate and Arctic areas of the Northern Hemisphere. The larger loon species
 13418 (Common Loon, *Gavia immer*, and Yellow-billed Loon, *Gavia adamsii*) are obligate piscivores and in response, have
 13419 some of the highest average Hg body burdens of birds in the world (Table 2). In the winter, all loon species
 13420 migrate to marine ecosystems (with parts of some populations overwintering on freshwater lakes). Loons have
 13421 been used as bioindicators of MeHg availability in both their breeding and wintering areas for several decades
 13422 (Evers et al. 1998, 2008, 2011a, 2014; Jackson et al. 2016). In Canada, the Common Loon and its prey are being
 13423 used to evaluate the success of national regulatory standards to reduce Hg emissions to the landscape
 13424 (Scheuhammer et al. 2016). The effects of Hg on loon reproductive success are now well established (Evers et al.
 13425 2011, Depew et al. 2012b) and are used as benchmarks for evaluating ecological concern.

13426 **Landbirds – Case Study:** Many species of invertivorous birds (e.g., herein landbirds) are at high risk to
13427 Hg exposure. Avian invertivores often have higher body burdens of Hg within an ecosystem versus avian
13428 piscivores (Evers et al. 2005) and may have higher sensitivity to MeHg adversely impacting their rates of
13429 reproductive success (Heinz et al. 2009, Jackson et al. 2011a). There are now an increasing number of
13430 studies that have characterized Hg exposure in one group of landbirds, songbirds (Order Passeriformes);
13431 and, within the group of songbirds, certain species and breeding habitats are at higher risk than others.
13432 Generally gleaning and flycatching songbirds that breed in wetland habitats (Edmonds et al. 2010,
13433 Jackson et al. 2011b, Lane et al. 2011, Jackson et al. 2015, Ackerman et al. 2016), including rice fields
13434 (Abeyasinghe et al. 2017), are at highest risk to Hg exposure, especially species that forage on predaceous
13435 arthropods such as spiders (Cristol et al. 2008). Songbird species where most of their annual life cycle is
13436 within wetland-oriented ecosystems and that migrate long-distances (e.g., neotropical migrants or
13437 palearctic migrants) may be at greatest risk to chronic Hg exposure adversely impacting reproductive
13438 success and ultimately population viability.

13439 **Marine Mammals – Case Study:** Toothed whales and some pinnipeds (or seals) are the marine
13440 mammal taxa of greatest concern for human and ecological health purposes, with high concentrations
13441 of Hg recorded in brain tissue with associated signs of neurochemical effects (Table 1, Dietz et al. 2013).
13442 Many subsistence communities, mostly in the Arctic, depend on the harvest of species such as the
13443 narwhal, beluga, pilot whales, and ringed seals (Table 3). Although the effect levels in marine mammals
13444 is little understood (Desforges et al. 2016), a study on bottle-nosed dolphins found lesions were created
13445 in the liver at 61 ppm (ww) and are being used by scientists as a good benchmark for assessing
13446 ecological concern (Dietz et al. 2013). While liver tissue generally has a small percentage of MeHg and is
13447 challenging to relate to muscle tissue (which is a more relevant tissue to relate for human health
13448 purposes, Table 1), most species of toothed whales have average muscle tissue Hg concentrations well
13449 above 1.0 ppm (ww) (which generally has >95% MeHg content).



13450

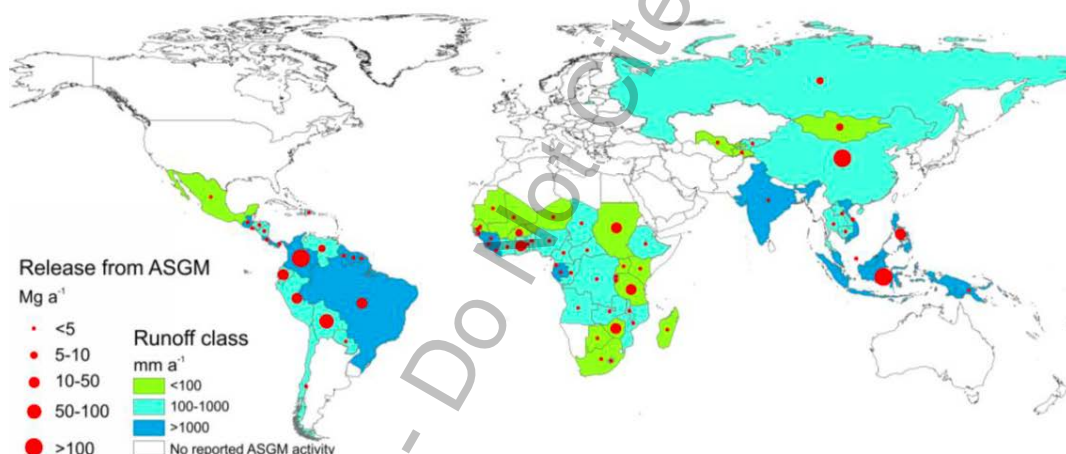
13451 Figure 6. Average total Hg concentrations (ppm, ww) in muscle tissue of toothed whales by species (except beaked
 13452 whales were combined under the family, Hyperoodontidae, and the two species of pilot whales were grouped).

13453 Therefore, toothed whales appear to be one of the most vulnerable groups of marine mammals with
 13454 mean Hg concentrations (2.61 ppm, ww; Table 1) well above the WHO human health advisory level
 13455 (which is most relevant with beluga and pilot whales, because of the dependence of certain Arctic
 13456 human communities on them) and several species over 4.0 ppm (ww) (Figure 6). Various species of
 13457 porpoises and dolphins (Aubail et al. 2013, Correa et al. 2013), as well as beaked whales (which
 13458 specialize in foraging on deep water cephalopods) generally have elevated Hg body burdens (Figure 6;
 13459 Bustamante et al. 2003, Garrigue et al. 2016).

13460 **7.5.2 Overarching global patterns**

13461 The compilation of existing biotic Hg data is an important approach to understand broad spatial
 13462 gradients and temporal patterns. Models based on existing data and scientific findings are useful for
 13463 extending observations in space and time. Recent global modelling efforts show 55% of global Hg(II)
 13464 deposition occurs over the tropical oceans (Horowitz et al., 2017). Ocean cruise observations also show
 13465 high MeHg concentrations in seawater in equatorial upwelling regions of the ocean (Mason and
 13466 Fitzgerald, 1993).

13467 In freshwater ecosystems, large contaminated sites are expected to be a main driver of variability in
 13468 freshwater biota concentrations. One recent effort to characterize global aquatic Hg releases to inland
 13469 ecosystems is therefore especially important for understanding the spatial distribution of these
 13470 locations (Kocman et al., 2017). One major driver of such spatial patterns is the location of artisanal and
 13471 small-scale gold mining (ASGM) activities in developing countries (Figure 7).



13472
 13473 Figure 7. Global release of Hg from ASGM activities.

13474 **7.5.2.1 Spatial Gradients**

13475 The availability of MeHg to high trophic level organisms is not uniform across the world. Some
 13476 ecosystems are more sensitive to Hg input than others (Driscoll et al. 2007) and it is these areas where
 13477 biological Hg hotspots can form and are especially pronounced in higher trophic level organisms (Evers
 13478 et al. 2007). Such areas are generally associated with wetlands and can be particularly pronounced in
 13479 ecosystems with water chemistry variables such as low pH, moderate to high dissolved organic carbon

13480 concentrations, and low to moderate primary productivity. Fluctuating water levels can have a
13481 particularly important contribution in generating higher methylation rates and increases in MeHg
13482 bioavailability; and, may happen at daily (e.g., estuaries), monthly (artificial reservoirs), or even seasonal
13483 (transition to wet season in the tropics) timeframes. Therefore, the determination of areas that may be
13484 elevated with MeHg availability does not have a linear relationship of the deposition or release of Hg
13485 into the environment. As an example, some of the lowest air Hg deposition levels in North American are
13486 in Kejimikujik National Park in Nova Scotia, Canada, yet the biotic MeHg exposure is some of the highest
13487 in North America for fish and loons (Evers et al. 1998, Wyn et al. 2010). The identification of potential
13488 biological Hg hotspots can be made through the collection of existing biotic data (Evers et al. 2011) and
13489 modelling ecosystem sensitivity.

13490 **7.5.2.2 Temporal Trends**

13491 New models simulating the deposition of Hg from anthropogenic emissions at global scales indicate a
13492 decrease of up to 50% in the Northern Hemisphere and up to 35% in the Southern Hemisphere (Pacyna
13493 et al. 2016). While tracking Hg emissions, deposition and releases are important tools for understanding
13494 patterns of environmental Hg loads, but the relationship between modelled (or measured) deposition
13495 and concentrations biota is poorly understood. Trends in Hg concentrations are thought to differ
13496 among ocean basins because anthropogenic emissions have strongly declined in North America and
13497 Europe, leading to large declines in atmospheric concentrations, especially in the Atlantic Ocean (Zhang
13498 et al., 2016). Lee and Fisher (2016) postulated that this may also explain observed declines in Atlantic
13499 Bluefin tuna Hg concentrations between 2004 and 2012 in the North Atlantic Ocean.

13500 By contrast, both atmospheric emissions and freshwater discharges of Hg have been growing on the
13501 Asian continent leading to increased Hg pollution in the North Pacific Ocean (Streets et al., 2009;
13502 Sunderland et al., 2009, Zhang et al. 2015). Temporal data on fisheries in the North Pacific are more
13503 limited but some researchers have suggested there is evidence for increases in tuna Hg concentrations
13504 over the past several decades (Drevnick et al., 2015) which is further supported in an additional analyses
13505 of bigeye tuna for the same area (Drevnick and Brooks 2017).

13506 As an example of the importance of generating baselines and how factors, such as climate change, are
13507 key can be found in Canada. Total Hg levels in aquatic birds and fish communities have been monitored
13508 across the Canadian Great Lakes by Environment and Climate Change Canada for the past 42 years
13509 (1974–2015) at 22 stations (Blukacz-Richards et al. 2017). For the first three decades, Hg levels in gull

13510 eggs and fish declined at all stations. In the 2000s, trend reversals were apparent for many stations and
13511 in most of the Great Lakes, although the specific taxa responsible varied. While strong trophic
13512 interactions among birds and fish is apparent, there also appears to be the strong likelihood of a trophic
13513 decoupling in some areas, which indicates the importance of not only long-term Hg biomonitoring
13514 efforts, but study designs that include other parameters that could be influenced by climate change
13515 (Pinkney et al. 2015).

13516 **7.5.3 Biomonitoring programs**

13517 Outside of the AMAP program (featured earlier in this chapter), an analysis of the geographical coverage
13518 of Hg biomonitoring networks reveals a general lack of national initiatives around the world. Per
13519 information gathered as part of the UNEP review of biomonitoring programs, there are no such national
13520 activities being undertaken in Africa and Australia (UNEP 2016). Most Asian countries are minimally
13521 involved with national initiatives to monitor Hg levels in biota, with notable exceptions of Japan and the
13522 Republic of Korea where more extensive programs exist.

13523 In North America, Canada's Northern Contaminants Program is an example of an integrated initiative for
13524 Hg monitoring throughout Canada's vast Arctic territory (NCP 2017). Since its establishment in 1991, the
13525 program has focused on the measurement of contaminants (including Hg) in fish and wildlife that are
13526 traditional foods of northern Indigenous peoples. Monitoring and research funded by the program
13527 generates science on abiotic processes, spatial and temporal trends of MeHg bioaccumulation in biota,
13528 and human exposure to Hg from wild foods. One of the strengths of the program is the interdisciplinary
13529 approach taken to assess and monitor risks of Hg to ecological and human health through the
13530 participation of Indigenous organizations, environmental scientists, and human health professionals.

13531 In addition to the AMAP program, there is an additional example of an international collaboration called
13532 ARCTOX, a program where seabird blood and feather samples have been collected over 54 Arctic sites
13533 and on a total of 14 seabird species (although not every species are sampled at each site). Samples are
13534 currently being collected or planned across Arctic countries, including U.S., Canada, Greenland,
13535 Scandinavia, and Russia.

13536 Meanwhile, the hundreds of local studies, which are reflected within the GBMS database, are conducted
13537 by the global scientific community and together provide a comprehensive and geographically balanced
13538 global data platform about existing biotic Hg concentrations (Table 1). Based on the GBMS database,
13539 some of the countries with the highest fish consumption are poorly covered by biomonitoring efforts

13540 (e.g., Western and Central Africa [except Ghana] and many parts of Asia). Additional efforts are
13541 therefore needed to develop and implement projects to fill geographic and ecosystem gaps. Although
13542 national efforts can be keystones for regional biomonitoring networks, local scientific studies can make
13543 a significant and welcome contribution toward better identifying where, what and when to conducting
13544 biomonitoring.

13545 To provide sustainable and long-term biomonitoring capacity in key regions around the world (e.g.,
13546 Arctic, tropical areas associated with ASGM, and SIDS), the focus should be placed on expanding and
13547 stabilizing existing national initiatives. Moreover, it is crucial to foster international collaboration and
13548 coordination among national projects to create harmonized regional approaches, and to strive, where
13549 possible, to integrate biomonitoring activities into an interdisciplinary framework to assess ecological
13550 and human health risk that can be stitched together to represent regional and eventually global
13551 spatiotemporal patterns.

13552 **7.5.4 Linkages between Hg source types and biota**

13553 Linkages between major Hg source types and biota can now be accomplished with confidence through
13554 the use of Hg isotopes (Blum et al. 2014, Kwon et al. 2014). Mercury isotopes can separate the origin of
13555 Hg from coal burning facilities, chlor-alkali facilities, gold mining, and other source types. Separation of
13556 current major Hg source types from existing contaminated sites are of interest to identify how they may
13557 influence human and ecological health as characterized through bioindicators for purposes related to
13558 the Minamata Convention (Evers et al. 2016b).

13559 **7.6 Summary of Findings**

13560 In summary, the careful selection and use of bioindicators that closely match objectives of the
13561 interested parties can be a cost-effective and time efficient way to track human and ecological health
13562 from the anthropogenic loading of Hg onto the water and landscape at a global level (Evers et al.
13563 2016b). The methods for biomonitoring and the interpretation of the tissues sampled are generally
13564 well-described for our target taxa. The extensive knowledge of Hg exposure in a wide range of fish and
13565 wildlife that are available in the peer-reviewed literature and now in the GBMS database provide a
13566 platform for best selecting the proper species or guild and to know what taxa can provide the upper
13567 levels of MeHg dietary uptake within a certain biome or waterbody. Biomonitoring should build from
13568 existing programs, which are generally found within developed countries at local, national and

13569 sometimes regional levels. Global pilot projects based on existing networks with local organizations and
13570 governmental agencies have been tested for fish (Buck et al. Submitted) and humans (Trasande et al.
13571 2016) and biomonitoring approaches in temperate marine ecosystems are well described (Evers et al.
13572 2008). Generating a more global approach that can stitch together the existing biomonitoring programs
13573 and identify the ecosystem, taxa, or geographic gaps can be completed within a structured plan. Once
13574 country needs and interests of the Minamata Convention are determined at the Conference of Parties, it
13575 is feasible to generate a biomonitoring approach that will assist in evaluating the effectiveness of parts
13576 of the treaty.

13577 **7.7 Critical Knowledge Gaps**

13578 By identifying critical knowledge gaps and adopting quantitative and replicable approaches a
13579 harmonized biomonitoring effort can be developed for all countries to use. One potential approach is to
13580 create a technical toolkit (i.e., spreadsheet of multiple data layers) that can quantify where, when, how
13581 and what to monitor for tracking environmental Hg loads, their changes over time, and potential
13582 impacts to human and ecological health. An Expert Group, compilation of existing data, and the
13583 development of a biomonitoring toolkit would provide: (1) a group of scientists and policymakers who
13584 can serve as advisors to the Conference of Parties; (2) a standardized and comprehensive database
13585 made available to Parties; (3) a peer-reviewed scientific platform of biomonitoring information (existing
13586 and new) that can be translated for policy purposes; and (4) a demonstrated model for training local
13587 field biologists and lab technicians that will ultimately build regional capacity and independence.

13588 Iterative efforts to link realistic and applied biomonitoring efforts at local levels with science groups
13589 dedicated toward assisting the Conference of Parties of the Minamata Convention will help keep pace
13590 with the many emerging scientific findings that may fill existing information gaps that will be key for
13591 global policymaking.

13592

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Note to reader

This draft version of Chapter 8 in the Technical Background Report to the Global Mercury Assessment 2018 is made available for review by national representatives and experts. The draft version contains material that will be further refined and elaborated after the review process. Specific items where the content of this draft chapter will be further improved and modified are:

1. Quality of all graphics (Figures, Tables) will be improved prior to publication.
2. Content of all graphics (Figures, Tables) will be double-checked, updated, and refined prior to publication.
3. The report's section on "Vulnerable Populations" is in preliminary draft form. It has not been reviewed yet by all authors. It will be updated after reviews have been received.
4. The report "Summary Section" is in preliminary draft form. It has not been reviewed yet by all authors. It will be updated after reviews have been received.
5. Table in Appendix #3 (Birth Cohort studies) will be further updated and cleaned-up.
6. Linkages will be made to the "Biotic Indicators" chapter once we have co-reviewed the two pieces.
7. We welcome comments and suggestions!!!

GMA Draft for review. Chapter 8 Mercury levels and trends in human populations worldwide. Nil Basu, Joanna Tempowski, David Evers, Milena Horvat, Pál Weihe, Irina Zastenskaya, Carla Achcar (WHO coordinated working group)

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14047 Chapter 8 Mercury levels and trends in human populations worldwide

14048 **8.1 Background**

14049 **8.1.1 Health effects of mercury**

14050 Mercury (Hg) is a pollutant of global concern principally due to its adverse effects towards human
14051 health. Mercury is also found in a number of items of great public health benefit such as seafood, dental
14052 amalgams, and vaccines. The current state of knowledge concerning Hg's human health impacts has
14053 been reviewed by Ha et al. (2017) and Karagas et al. (2012), and extends upon solid background papers
14054 by WHO/UNEP/IOMC (2008), Mergler et al. (2007), Clarkson and Magos (2006), the U.S. CDC's ATSDR
14055 (1999), and the U.S. EPA (1997). In brief, all individuals worldwide are exposed to some amount of Hg,
14056 and the possibility of exposure-related adverse health effects is dependent upon a range of factors (e.g.,
14057 chemical form, concentration, duration, life stage). It is widely agreed that developing organs are the
14058 most sensitive to the toxic effects of Hg. Mercury has been documented to impair a range of
14059 physiological systems with the nervous, renal, and cardiovascular systems being most susceptible.
14060 Exposures to elemental Hg (Hg^0) may affect the nervous system with key symptoms including tremors,
14061 emotional lability, neuromuscular changes, and polyneuropathies. Exposures to inorganic Hg
14062 compounds may affect the kidneys. Exposures to methylmercury (MeHg) have received the most
14063 attention largely due to notorious poisoning events in Japan and Iraq which showed exposures to
14064 relatively high levels to be associated with adverse neurodevelopmental outcomes. This work has
14065 expanded over recent decades, and there is a growing body of evidence to illustrate that chronic
14066 exposures to relatively low-level MeHg exposures can be associated with a range of adverse health
14067 outcomes.

14068 **8.1.2 Mercury exposure assessment**

14069 Detailed reviews concerning the conduct and approaches of Hg exposure assessment have been
14070 reviewed by WHO/UNEP/IOMC (2008) and the U.S. EPA (1997). Mercury is a naturally occurring element
14071 that can enter the ecosystem via natural or anthropogenic-mediated process. Three major chemical
14072 forms of Hg relevant to human exposures are found in the environment: elemental Hg (Hg^0), inorganic
14073 Hg compounds (Hg^{2+}), and organic methylmercury (MeHg). The source, environmental fate, exposure,
14074 and toxicity of these different Hg forms vary.

14075 Mercury has unique physical and chemical properties that have rendered it attractive for use in a range
14076 of industrial and medical applications. Major sources of elemental and inorganic Hg exposure to humans
14077 include occupational use (e.g. in artisanal and small-scale gold mining (ASGM) and dentistry), the use of
14078 products containing Hg (e.g. dental amalgams, skin-lightening creams, traditional medicines,
14079 thermometers, compact fluorescence lamps), and as a result of environmental pollution (e.g., fish and
14080 rice from contaminated ecosystems). Some notable examples are highlighted (Figure 1).

14081 Mercury released into the environment may be converted to organic MeHg, which bioaccumulates and
14082 biomagnifies through the food chain, particularly in aquatic systems. For many communities worldwide,
14083 consumption of fish, shellfish and marine mammals that are contaminated with MeHg is arguably the
14084 most important source of exposure with key examples highlighted in Figure 1.

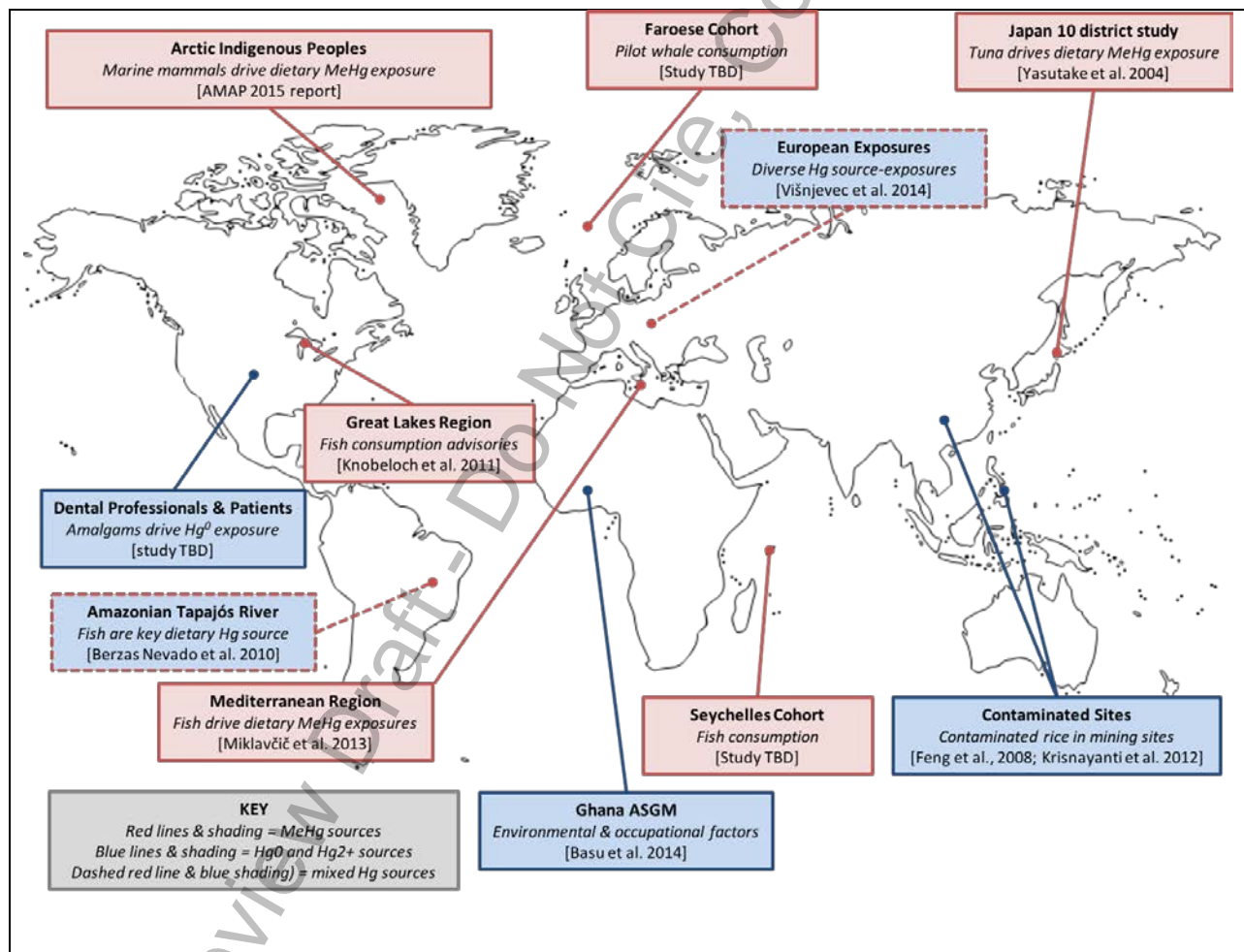


Figure 1. Selected studies across the world depicting strong and representative evidence of mercury source-exposure relationships.

14085 **8.1.3 Biomarkers of mercury exposure**

14086 Human exposure to Hg is estimated by the use of human tissues that serve as biomarkers
14087 (WHO/UNEP/IOMC, 2008). This report focuses on biomarkers of Hg exposure for which there are well-
14088 validated methods of measurement and interpretation and for which there is a reasonably large body of
14089 knowledge. Within the scientific community there are four established biomarkers of Hg exposure - hair
14090 (for MeHg), urine (for inorganic Hg), whole blood (mostly MeHg but can contain inorganic Hg), and cord
14091 blood (to gauge developmental exposures). Blood measurements indicate recent exposures (~1-2
14092 months) and speciation measures can deepen understanding of potential sources, though blood
14093 collection, storage, and transport poses certain logistical and financial barriers. Hair and urine samples
14094 are particularly suitable as they provide information on the two main forms of Hg, and their collection is
14095 relatively non-invasive, requires no specialized training, and is cost-effective (e.g., sampling and analyses
14096 can likely be achieved for <\$50 USD/measure). Further, hair grows at approximately 1 cm per month
14097 and thus Hg measurements can be tracked over time. Each biomarker can provide pertinent exposure
14098 information on the type of Hg (organic vs. inorganic) and timeline of exposure (acute or chronic). When
14099 multiple biomarker measures are taken from a given individual, and also combined with surveys, a
14100 deeper exposure assessment may be performed.

14101 To maximize the use of Hg biomarker data, it is sometimes necessary to convert across biomarker types
14102 and there are two conventions to be noted. First, the Joint Food and Agriculture Organization (FAO) and
14103 World Health Organization (WHO) Expert Committee on Food Additives (JECFA 2004) established a
14104 MeHg hair-to-blood ratio of 250 that is now commonly used by the research community. Second, cord
14105 blood levels are on average 70% higher than maternal blood as discussed by Stern and Smith (2003).
14106 While we use these two biomarker ratios in the current report, we acknowledge on-going debate in the
14107 literature concerning the validity of these approaches particularly in consideration of heterogeneity
14108 across individuals with respect to influential factors such as sex, age, and ethnicity (Stern and Smith,
14109 2003; Bartell et al., 2000). Nonetheless, biomarker conversions facilitate comparability across studies,
14110 and have been effective at helping derive large, regional biomonitoring assessments and maps (e.g.,
14111 Europe, Miklavcic et al., 2014; Arctic, AMAP 2015) that are effective communication tools. In addition,
14112 to make judgements from biomarker measures it is necessary to have reference guidelines and as such
14113 we briefly summarise key propositions by stakeholder organizations (Appendix 1 and 2). For the
14114 purposes of this report we have adapted the colour scale used by Miklavcic et al. (2014) in their
14115 European assessment of Hg exposure (Appendix 3).

14116 **8.2 Objective**

14117 The overall goal of this chapter is to provide an overview about worldwide human exposures to Hg as
14118 reflected by concentrations in biomarker samples. The specific objectives of this study are to outline:

- 14119 • whether exposures have changed over time in specific populations;
- 14120 • geographical variations in exposure;
- 14121 • exposures in vulnerable groups because of high exposures and susceptibility to toxic effects;
- 14122 • exposure biomarker data with respect to guideline values;
- 14123 • links between Hg sources and biomarker levels; and
- 14124 • key knowledge gaps.

14125 **8.3 Method**

14126 **8.3.1 Identification of studies**

14127 An international advisory group of scientific experts (i.e., report authors) on Hg exposure was convened
14128 to guide the work. The group decided to focus this initial global assessment on three study population
14129 types:

14130 **A-National human biomonitoring programs.** These programs are usually sponsored and/or run by
14131 official government agencies and provide high quality data. A list of such programs was compiled by UN
14132 Environment (UNEP 2016), and augmented by report authors.

14133 **B-Longitudinal birth cohort studies.** These studies are usually well designed and most pertinent for
14134 establishing exposure-outcome relationships. They tend to provide high quality exposure data for
14135 vulnerable groups (pregnant women, newborns, and children), and these data can be used to explore
14136 geographic differences, temporal trends, and characterize Hg source-exposure-biomarker relationships.

14137 **C-Cross-sectional studies on vulnerable populations.** While many vulnerable populations exist, here we
14138 focused on two broad groups: a) populations exposed to inorganic Hg from point sources (i.e., artisanal
14139 and small-scale gold miners (ASGM) and community members; people living and working in former Hg
14140 contaminated sites); and populations exposed to organic Hg from dietary sources (i.e., Indigenous
14141 Peoples; recreational or subsistence fishers; pregnant women and foetuses).

14142 **8.3.2 Search strategy**

14143 A systematic search of the peer-reviewed scientific literature was performed in three databases
14144 (PubMed, SCOPUS, Web of Science). The search strategy included the following two Boolean search
14145 phrases: #1 – “mercury OR methylmercury OR (methyl AND mercury) OR MeHg”; and #2 - “blood OR
14146 hair OR urine”. In addition to the systematic search, we considered grey literature and polled key
14147 scholars identified by report authors. There were no language restrictions as the committee was willing
14148 to devote resources to having pertinent foreign language papers properly translated. When a study was
14149 reported upon in multiple articles, we chose the article with the most complete dataset to serve as a
14150 representative piece.

14151 Scientific papers were reviewed through a two-stage process: First, the title and abstract fields were
14152 searched to ascertain relevancy; and second, the full text was reviewed on papers that were deemed
14153 relevant. In brief, national biomonitoring studies (Study Type A) were identified through the 2016 UN
14154 Environment survey, authors’ knowledge, and an electronic search. All national biomonitoring programs
14155 that measured Hg in hair, blood, urine, or cord blood were included (i.e., no exclusion criteria were
14156 applied). Longitudinal birth cohort studies (Study Type B) were identified through the 2016 UN
14157 Environment survey, authors’ knowledge, and an electronic search. Similar to national biomonitoring
14158 studies, we did not apply any exclusion criteria except that these studies needed to: A) include at least
14159 two discrete sampling periods, one of which needed to be a biomarker measured during pregnancy or
14160 birth; and B) measure a health outcome in the newborn during some later lifestage. Vulnerable
14161 population group studies (Study Type C) were selectively identified (i.e., most illustrative works) through
14162 bibliographic searches.

14163 **8.3.3 Data analyses**

14164 For all studies, we extracted data on population characteristics (age, lifestage, sex, city/country/region
14165 location), Hg exposure measurements (sample size, Hg biomarker and speciation information, quality
14166 control measures), and measures of central tendencies (geometric mean, median) and high-end (90th or
14167 95th percentile or maximum) biomarkers. To compare across the biomarker types, we normalized
14168 datasets to blood THg equivalents using the conventions mentioned earlier. To further interpret the
14169 results, we compared the values against the aforementioned reference guidelines (Appendix 1) and
14170 used a colour scale to visually represent the findings (Appendix 3).

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14172 **8.4 Results**

14173 **8.4.1 National Biomonitoring Studies**

14174 We obtained national data from seven countries (Belgium, Canada, Czech Republic, Germany, Republic
 14175 of Korea, Sweden, USA), of which three surveys were designed to be nationally representative (Canada,
 14176 Republic of Korea, USA). The other surveys were included here as they were either legally mandated or
 14177 government-run to yield actionable information. The total sample population of these surveys was
 14178 97,696 people from which 150,929 biomarker measurements of Hg exposure were extracted. The
 14179 survey data were compared with a particular focus on the following factors: country, lifestage, sex,
 14180 sampling year(s), and biomarker type.

Table 1. Summary of National Biomonitoring programs that measure mercury

Country	Survey	Lead Organization	Year Started	# Cycles; Frequency	Size /Cycle	Age; Sex	Biomarkers
Canada	CHMS	Statistics Canada	2007	4; every 2 yrs	~5,000	3-79; both	Blood, urine
Germany	GerES	Umwelt Bundesamt	1985	5; variable	~5,000	3-69; both	Blood, urine
Sweden	Riksmaten	Swedish National Food Agency	1990	2; variable	~300	18-80; both	Blood
Korea	KoNEHS	Korean Ministry of Environment	2005	3; every 2 yrs	~5,000	3-19+; both	Blood, urine
USA	NHANES	Centers for Disease Control and Prevention	1960	6; every 2 yrs	~8,000	1-70+; both	Blood, urine
Czech Republic	CZ-HBM	National Institute of Public Health	1994	16; ~every yr	~400	8-64; both	Blood, urine, hair
Belgium	FLEHS	Vlaanderen Departement Omgeving	2002	2; every 2 yrs	~5,000	1-65; both	Hair

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Table 2. Count of individuals and mercury biomarker measures from the National Biomonitoring programs.

Country	Demographics					Mercury Measures				
	Total Sample Size	Children	Adults	Males	Females	Total # Measures	Blood (THg)	Blood (MeHg)	Urine	Hair
Canada	17,210	6,983 ¹	10,227 ²	8,418	8,792	29,099	16,927	1,032	11,140	
Germany	10,520	2,466	8,054			16,757	6,237		10,520	
Sweden	297			128	145	297	297			
Korea	14,688	2,346	12,342			14,688	14,688			
USA	46,974	19,086 ³	27,888 ⁴	23,292	23,682	75,778	46,974	13,016	15,788	
Czech Republic	7,542	3,623	3,919			13,845	4,700		6,459	2,686
Belgium	465	210	255		255	465				465
Totals	97,696					150,929				

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14187 Across the national biomonitoring programs the majority of participants had blood Hg levels that fell
 14188 below 5 ug/L. Blood Hg levels were consistently highest in Korea versus the other countries. Blood Hg
 14189 levels in adults were approximately 2.1-fold higher than in children, and this varied across lifestage. For
 14190 example, median blood Hg levels in Canadians from the CHMS increased with age as follows: 0.24 µg/L
 14191 for 6-11 yr olds, 0.28 µg/L for 12-19 yr olds, 0.76 µg/L for 20-39 yr olds, 1.1 µg/L for 40-59 yr olds, and
 14192 0.96 µg/L for 60-79 yr olds. Similar trends were observed in the U.S. and Korean datasets.

14193 Urine Hg levels were consistent across the countries from which data were obtained, with a majority of
 14194 the values falling under 3 µg/L. Like blood, urine Hg levels were higher in adults than in children.

¹ includes study participants ages 3-19

² includes study participants ages 20-79

³ includes study participants ages 1-19

⁴ includes study participants ages 20+

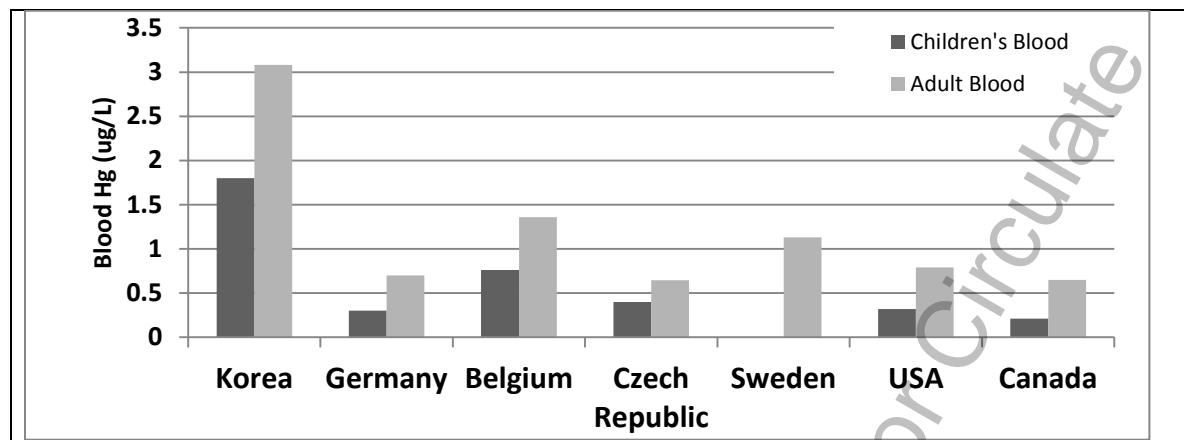


Figure 2. Comparison of median whole blood total Hg ($\mu\text{g/L}$) measurements across children (<19 years) and adults from national biomonitoring datasets between the years 2003-2014.

14195

TABLE 3. Cross-sectional comparison of whole blood total mercury measurement ($\mu\text{g/L}$) in adults and children via national biomonitoring data. Males and females are grouped together.

		Korea	Germany*	USA	Canada	Belgium	Czech Republic	Sweden
	Survey Name	KHANES (Adults), KorEHS-C (Children)	GerES-3 (Adults), GerES-2 (Children)	NHANES	CHMS Cycle 2	FLEHS2	CZ-HBM	Riksmaten
Adults	Year	2011	1998	2011-2012	2009-2011	2007-2011	2015	2010-2011
	Age	19+	18-69	20+	20-39	18-42	18-64	18-80
	Sample Size	2014	3973	5030	1313	255	302	297
	Whole Blood Hg (50%)	3.08 (GM)	0.70	0.79	0.65	1.36	0.65	1.13
	Whole Blood Hg (95%)	??	2.40	5.02	5.20	3.44	2.50	3.45
Children	Year	2012-2014	2003-2006	2011-2012	2009-2011	2007-2011	2008	
	Age	3-18	3-14	6-11	6-11	14-16	8-10	
	Sample Size	2346	1240	1048	961	210	198	
	Whole Blood Hg (50%)	1.80	0.30	0.32	0.21	0.76	0.40	
	Whole Blood Hg (95%)	3.68	1.00	1.40	2.00	1.88	1.40	

14196

14197

TABLE 4. Cross-sectional comparison of urinary total mercury measurement ($\mu\text{g/L}$) in adults and children via national biomonitoring data. Males and females are grouped together.

		Germany	USA	Canada	Czech Republic
Adults	Year	1998	2011-2012	2012-2013	2009
	Age	18-69	20+	20-39	18-64
	Sample Size	4052	1716	1048	373
	Urine Hg (50%)	0.40	0.34	0.20	0.80
	Urine Hg (95%)	3.00	1.93	1.10	5.30
Children	Year	2003-2006	2011-2012	2012-2013	2008
	Age	3-14	6-11	6-11	8-10
	Sample Size	1734	401	1010	318
	Urine Hg (50%)	<0.1 [LOD is 0.1]	.22	<LOD	0.2 ⁵
	Urine Hg (95%)	0.5	1.37	.93	1.1

14198

14199 Temporal changes in Hg exposure were evaluated by reviewing national datasets in which there were 2
 14200 or more comparable sampling periods. For blood Hg, datasets from four countries were reviewed and in
 14201 general they showed declining exposures. For example, combining the work from USA, Canada, and the
 14202 Czech Republic into a linear regression model showed annual decreases in blood Hg of approximately
 14203 0.026 $\mu\text{g/L}$ or 2.25% (i.e., over 10 years this would be a decrease of 0.26 $\mu\text{g/L}$ or ~22.5%) with median
 14204 blood Hg levels levelling around 0.75 $\mu\text{g/L}$ (Figure 3A). For urinary Hg, similar over-time decreases can
 14205 be observed particularly when examining the US NHANES dataset as the Hg levels in the latest dataset is
 14206 approximately 50% lower than it was 10 years earlier (Figure 3B). The urinary Hg values now in the US
 14207 are similar to Canada and hover around 0.2 $\mu\text{g/L}$.

14208

⁵ creatinine corrected

14209

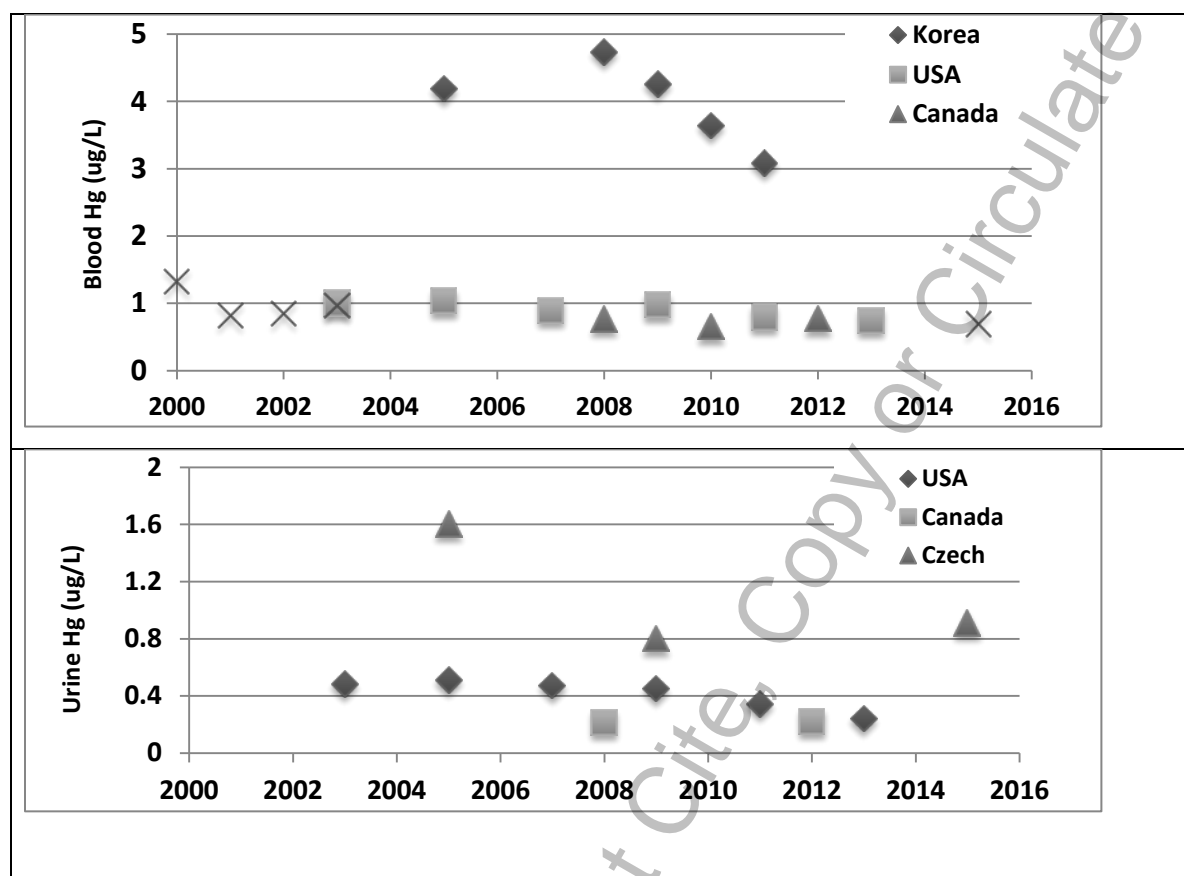


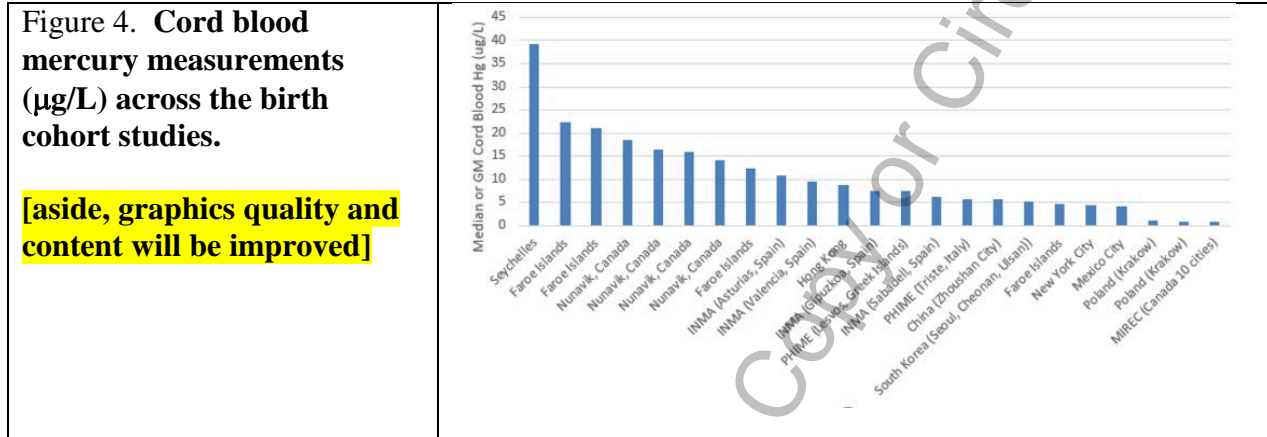
Figure 3. Temporal trends of adult A) whole blood and B) urinary total Hg ($\mu\text{g/L}$; median values) measurements across the national biomonitoring studies in which data was available from 2+ comparable sampling periods.

14210 8.4.2 Longitudinal birth cohorts

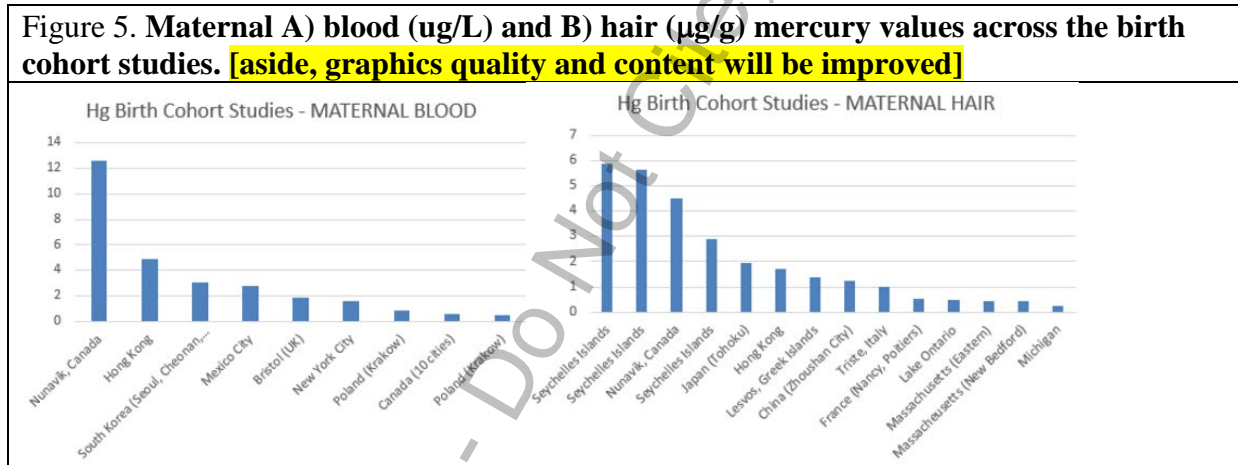
14211 We found 26 birth cohort studies in which at least there was one Hg exposure measurement during
14212 pregnancy or birth, as well as a follow-up time period in which an outcome measurement was taken
14213 (Appendix 4). The total sample population of these birth cohort studies was 19,940 mother-child pairs
14214 from which 42,750 biomarker measurements were taken. Of these birth cohort studies, 16 (62%)
14215 measured Hg in cord blood, 9 (35%) measured Hg in maternal blood during pregnancy, and 14 (54%)
14216 measured Hg in maternal hair, and these are summarized in Figures 4 and 5.

14217 From this dataset, there are some noteworthy observations: A) groups consuming large amounts of
14218 seafood (Seychelles, Spanish) and/or marine mammals (e.g., Faroe Islands, Inuit) have the highest Hg
14219 cord blood values, which often exceed $10 \mu\text{g/L}$; B) cord blood Hg levels range between 5 and $10 \mu\text{g/L}$
14220 across several Mediterranean populations, are approximately $5 \mu\text{g/L}$ in Asia, and generally less than 5

14221 $\mu\text{g/L}$ across communities in North America and Europe (excluding Indigenous Peoples and
 14222 Mediterranean); and C) exposures in the Faroe Islands have dropped nearly five-fold from ~ 1987 to
 14223 ~ 2008 (whole blood Hg from 22.3 to 4.6 $\mu\text{g/L}$), and in the Seychelles approximately two-fold from ~ 1989
 14224 to ~ 2008 (hair Hg from 5.9 to 2.9 $\mu\text{g/g}$);



14225



14226 In these birth cohort studies a range of health outcomes were measured in the newborn, infant, toddler,
 14227 or child, including for example, birth weight, motor function, and intelligence (see reviews by Ha et al.
 14228 2017, Karagas et al. 2012). Here, we flag the cohorts in which a Hg-associated adverse health outcome
 14229 was observed, and in doing so we see that these span a range of exposures and are not restricted to
 14230 highly exposed groups or particular regions (Figure 6).
 14231

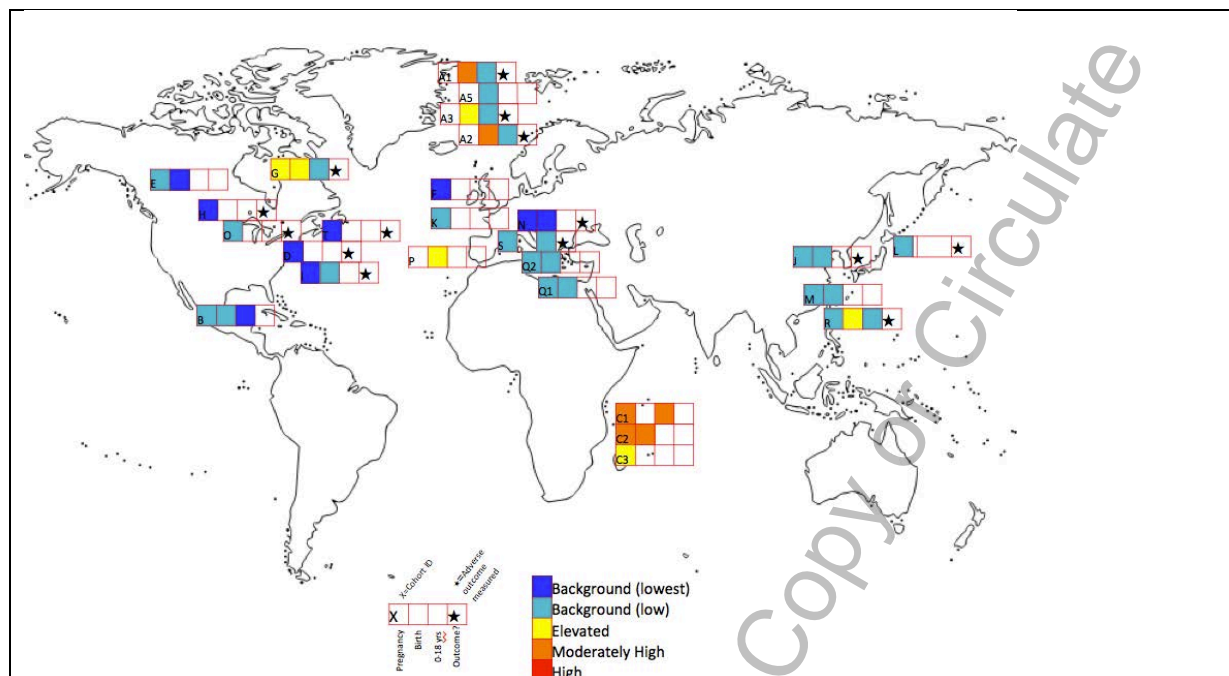


Figure 6. Map outlining the locations of the selected mercury birth cohort studies. Data represents 26 cohort studies and 42,750 Hg biomarker measures. The first three boxes refer to mercury measures taken during pregnancy, at birth, and up until age 18 according to the colour scale (see Appendix 3; cohort ID is indicated in the first box via a letter). If the final box has a star, then a Hg-associated adverse outcome was reported in that cohort.

14232 8.4.3 Vulnerable populations

14233 *** this Section is in EARLY DRAFT phase. It has not been reviewed by all team members. It will be
14234 updated following the review ***

14235 From the bibliometric search and group discussions, a selection of exemplary and representative papers
14236 was identified and discussed here to showcase the current state of knowledge mercury exposure in
14237 notable vulnerable groups. In general we prioritized conclusions from high-quality review papers. Some
14238 of these examples were captured in Figure 1.

14239 **Pregnant women and foetuses.** MeHg-contaminated seafood poses particular risk-benefit dilemmas
14240 (Mahaffey et al. 2011). Sheehan et al. (2014) conducted a systematic review of Hg exposure biomarkers
14241 in these populations worldwide (164 studies from 43 countries) and drew some meaningful conclusions:
14242 1) exposures are highest amongst riverine gold mining communities (median hair Hg 5.4 µg/g; n=10,152
14243 participants) and Arctic Indigenous Peoples (median hair Hg 2.1 µg/g; n=5,935 participants); 2) coastal
14244 Pacific regions of Asia have higher median hair Hg levels (1.3 µg/g; n=14,704 participants) than

14245 Mediterranean (0.7 µg/g; n=6,536), Atlantic (0.4 µg/g; n=9,675), as well as inland populations (0.4 µg/g;
14246 n=10,745)

14247 **Indigenous Peoples.** Groups in the Arctic are exposed to some of the highest MeHg levels globally
14248 largely due to their reliance on marine mammals and seafood as culturally important food staples. The
14249 2015 AMAP Human Health Report reviewed several human biomonitoring programs across the
14250 circumpolar region. As an example, in Canada as part of the International Polar Year study the
14251 geometric mean of whole blood Hg across 4 study regions ranged from 2.8 to 12 µg/L, with individual
14252 values ranging from 0.1 to 240 µg/L. Beyond the Arctic region, there are studies from several other
14253 communities documenting elevated exposures in Indigenous Populations (e.g., selected examples to be
14254 listed here) especially since fish are a vital component of the culture of these communities. For
14255 example, Cisneros-Montemayor et al. (2016) compiled data from over 1,900 coastal Indigenous groups
14256 (27 million people from 87 countries) to show that per capita seafood consumption in these
14257 communities is 15-times higher than in non-Indigenous groups.

14258 **Artisanal and small-scale gold mining (ASGM).** ASGM is rapidly growing worldwide with upwards of 15
14259 million miners estimated to be directly involved in the sector and potentially 100 million people living in
14260 ASGM communities (World Health Organization, 2016; United Nations, 2012). There are a number of
14261 public health concerns in ASGM communities (Basu et al., 2015; World Health Organization, 2016) as
14262 well as a growing number of human biomonitoring studies (reviewed by Gibb and O'Leary, 2014). A
14263 noteworthy meta-analysis of 1,245 miners from across Indonesia, Philippines, Tanzania, Zimbabwe, and
14264 Mongolia reporting median urine Hg values of 3.6 µg/L (95th percentile 119 µg/L) with upward values in
14265 excess of 1,000 µg/L, and median blood Hg levels in 1,121 miners being 5.1 µg/L (95th percentile 38.2
14266 µg/L) (Baeuml et al., 2011).

14267 **8.5 Summary of findings**

14268 *** this Summary is in EARLY DRAFT phase. It has not been reviewed by all team members. It will be
14269 updated following the review ***

14270 The current assessment documents great variability in Hg exposures worldwide. All people are exposed
14271 to some amount of Hg. Individuals in select background populations worldwide have blood Hg levels
14272 that generally fall under 5 µg/L and urine Hg levels that fall under 3 µg/L, and corresponding levels in

14273 hair and cord blood may be determined using the ratios outlined in Appendix 1. There are a number of
14274 notable groups with relatively high Hg exposures. Elevated exposures to Hg in key populations of
14275 concern for which there exist a relatively robust dataset include Arctic Indigenous Peoples who consume
14276 fish and marine mammals, coastal and/or small-island communities who are avid seafood consumers,
14277 and individuals who either work or reside amongst ASGM sites.

14278 Despite a relatively large dataset to work from (e.g., here we had 150,929 and 42,750 biomarker
14279 measurements from national biomonitoring programs and birth cohort studies, respectively) there
14280 remain outstanding questions. Foremost is that there exist a number of countries and geographic
14281 regions for which data is completely lacking. There are several other groups of potential concern (e.g.,
14282 individuals living in Hg contaminated sites; consumers of rice from contaminated sites; users of skin-
14283 lightening creams) but relatively little data to draw firm conclusions. In addition to focusing on
14284 vulnerable groups due to elevated exposures to Hg, there remain concerns about Hg susceptibility
14285 during certain lifestages (e.g., pregnancy and infancy), the range of physiological systems targeted
14286 (Karagas et al., 2012), the complex interactions between Hg and other chemical and non-chemical
14287 stressors particularly in the context of global change drivers (Eagles-Smith et al., 2017), and the
14288 increasing acceptance that genetic differences in sub-populations can influence exposure biomarkers
14289 and exposure-outcome relationships (Basu et al., 2014).

14290 There are also success stories to be noted. Through our review identified studies that showed that steps
14291 to reduce Hg exposure may be effective. First, the approximately two-fold reduction in urinary Hg levels
14292 measured over the past decade across the U.S. has been linked with the phase-down on the use of
14293 dental amalgam (Figure 3B). Similar trends have been observed elsewhere, such as in German children
14294 (Link et al., 2007) and dental professionals (Goodrich et al., 2016). Second, across Arctic circumpolar
14295 regions Hg exposures are elevated though over the past two decades these have dropped likely as a
14296 result of local dietary advisories and changing consumption patterns. According to AMAP (2015) these
14297 decreases may be a sign that risk management efforts are having a beneficial effect, but that there
14298 remain concerns about changing consumption patterns and how this may affect culture and spirituality,
14299 recreational opportunities, and human nutrition. In other jurisdictions, there have been cases of
14300 decreased Hg exposures as a result of dietary consumption advisories (e.g., Kirk et al., 2017; Knobeloch
14301 et al., 2011), and we also note that decreases have also been observed in both the Faroe Islands and the
14302 Seychelles (Figure 6). Third, within the ASGM sector there is increasing interest in assessing the efficacy

14303 of interventions in terms of reducing exposures. Calys-Tagoe et al. (2017) found that urinary Hg levels
14304 are significantly lower in workers from licensed ASGM sites versus unlicensed ones in Ghana.

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14378 **Appendices**

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APPENDIX 1. Reference Values for Mercury Biomarkers. Italicized values are estimated based on biomarker conversions indicated in the text.				
	Whole Blood	Hair	Cord Blood	Urine
NAS/NRC BMDL (concerning women of child-bearing age)	<i>3.5 ug/L</i>	1 ug/g	5.8 ug/L	
Qualitative conclusions by expert panel (Karagas) on “High” Levels	>12 ug/L	>4 ug/g	>20 ug/L	
Health Canada (Legrand Paper)	8 ug/L (pregnant women); 100ug/L for “general” men/women	<i>2 ug/g</i> <i>25 ug/g</i>	<i>13.6 ug/L</i> <i>and</i> <i>170 ug/L</i>	
German HBM-1 ⁶ [no risk, background]	5	<i>1.25 ug/g</i>	<i>8.5 ug/L</i>	7
German HBM-2 [increased risk for adverse outcome]	15	<i>3.75 ug/g</i>	<i>25.5ug/L</i>	25
World Health Organization (WHO). Recommended Health-Based Limits in Occupational Exposure to Heavy Metals; WHO: Geneva, Switzerland, 1980.		<0.5 ug/g (non fish consumers); 1-2 ug/g (low and moderate fish consumers); >10 ug/g (frequent consumers)		50 ug/l
The ACGIH (2007) https://www.osha.gov/dts/osta/otm/otm_ii/pdfs/otmii_chpt2_appb.pdf				35 µg/g of creatinine.
Florida Health Department	<10 ug/L (background); 50 and above (clinical effects)	<2.5 (<i>background</i>); 12.5 and above (<i>clinical effects</i>)		<40ug/L (no clinical effects); 40-60 (medium); 60+ (high)

⁶ https://www.umweltbundesamt.de/sites/default/files/medien/355/bilder/dateien/hbm-werte_engl_stand_2017_02_06.pdf

⁷ <http://www.floridahealth.gov/environmental-health/mercury-spills/mercury-poisoning/documents/guidelines-for-mercury.pdf> [document HG05-2009]

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APPENDIX 2. Reference Values for Mercury Intake.				
		Inorganic Hg	MeHg	REF
European Food Safety Authority ⁸ (CONTAM panel), 2012	Tolerable Weekly Intake;	4 ug/kg bw for Inorganic Hg	1.3 ug/kg/bw for MeHg	
Joint FAO/WHO Expert Committee on Food Additives (JECFA), 2010	Tolerable Weekly Intake;	Similar to EFSA Above	1.6 ug/kg bw for MeHg	
U.S. EPA Reference Dose	Daily Intake	0.3 ug/kg/d mercuric chloride	0.1 ug/kg/d for MeHg	
U.S. EPA Reference Dose	Weekly Intake	0.3 ug/kg/d mercuric chloride	0.7 ug/kg/d for MeHg	
Canada (adopted 1997)	Weekly Intake		1.4 ug/kg wk for MeHg	WHO Doc Ref 6,7
Japan (adopted 2005)	Weekly Intake		2 ug/kg wk for MeHg	WHO Doc Ref 8
Netherlands	Weekly Intake		0.7 ug/kg/d for MeHg	WHO Doc ref 9

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⁸ <http://www.efsa.europa.eu/en/press/news/121220>

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Appendix 3. Colour scale related to mercury biomarker values. Adapted from Mikalavcic et al. with minor modifications.				
	Hair (ug/g)	Whole Blood (ug/L)	Cord Blood (ug/L)	Urine (ug/L)
Background-non seafood consumers [BLUE]	<0.5	<2	<3.4	<1
Background-seafood consumers [Turquoise]	0.5-2	2-8	3.4-13.6	1-3
Elevated [yellow]	2-5	8-20	13.6-34	3-10
Moderately High [orange]	5-10	20-40	34-68	10-50
High [red]	>10	>40	>68	>50

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Appendix 4. Summary of birth cohort studies that were included in the current report. [NOTE this will be updated with new studies and cleaned accordingly prior to publication]

Cohort-ID	Cohort-Name	n	Yr	LIFESTAGE EXPOSURES						Outcome?	
				Pregnancy	Birth	Infant (0-1	Toddler (1	Child (3-11	Adolescen		Adult (18+
H	POUCH (Michigan)	1024	1998	0.92							★
N	Poland	313	2002	0.83	1.09						★
E	MIREC	1673	2008	2.24	0.802						
D	VIVA	135	2002	1.8							★
T	Massachusetts	421	1993	1.8							★
F	ALSPAC	4131	1991	1.86							
O	Oswego	212		2							★
K	EDEN	665	2003	2.08							
I	World Trade Center	280	2001	1.6	4.44						★
S	Italy	128	2001	3.2				2.16			★
B	ELEMENT	348	1994	2.8	4.1			1.37			
J	MOCEH (Korea)	797	2006	3.1	5.2						★
A5	Faroe Islands	500	2008		4.6						
Q1	PHIME-Italy	573		4	5.6						
M	Zhoushan	406	2004	4.98	5.58						
Q2	PHIME-Greece	281		5.6	7.5						
R	Hong Kong	1057	2000	4.92	8.8			2.62			★
L	Tohoku	498	2001	7.8							★
P	INMA	1883	2004		8.2						
C3	Seychelles		2008	11.68							
A3	Faroe Islands	475	1999		12.4			2.6			★
G	Nunavik Child Development	130	1994	12.6	15.9			5.9			★
A2	Faroe Islands	182	1995		21			3.2			★
A1	Faroe Islands	1022	1987		22.3			8.4	4.1		★
C1	Seychelles	779	1989	23.6		26.4	19.2	25.2	32.4	27.3	
C2	Seychelles		2001	22.5	39.3						

14394