



# Rapid Flow

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# Rapid Flow

surtrac



Traffic Control

PHAENON

Urban Analytics

**PHAENON**

**Urban Analytics**

# PHAENON Urban Analytics

- Measure real-time urban traffic conditions ...
  - ▣ Travel times
  - ▣ Congestion
  - ▣ Cruising for parking
  - ▣ Incident detection



**Summer 2016**  
59 sensor network  
for ParkDC around  
the Verizon Center



**October 2016**  
\$1 million Phase II  
SBIR award from  
U.S. DOT

# PHAENON Sensor Networks



Low-cost automatic  
vehicle identification  
(AVI) sensors



Dense, ubiquitous  
sensor network  
deployments



Reconstructed  
vehicle routes

# PHAENON Sensor Networks



## Installation

- Sensors are installed at each intersection in a network
- Low-cost, low-power sensors
- Only requires connection to power
- Easy installation, flexible location
- Sensors send data to the cloud through a low power wide area network

## Key Features

- Second-by-second updates
- Completely anonymous data
- Fine-grained data show where and when cruising happens
- Applications include:
  - Link-level travel times
  - Origin-destination data
  - Performance monitoring for traffic signal systems

# Measuring Cruising for Parking

- Cruising for parking adds to traffic, causing extra congestion
- Smart parking policies and technology can address the causes of cruising
- What if we want to measure cruising continuously?
  - ▣ When and where does cruising happen?
  - ▣ How does cruising for parking change over time?

# Our Approach

- **Goal:** To directly measure rates of cruising for parking
- Deploy a dense network of low-cost automatic vehicle identification (AVI) sensors (Bluetooth)
- Sensors detect vehicles (anonymized)
- Anonymous vehicle routes are reconstructed from distributed sensor data
- Vehicle routes are classified as cruising or non-cruising



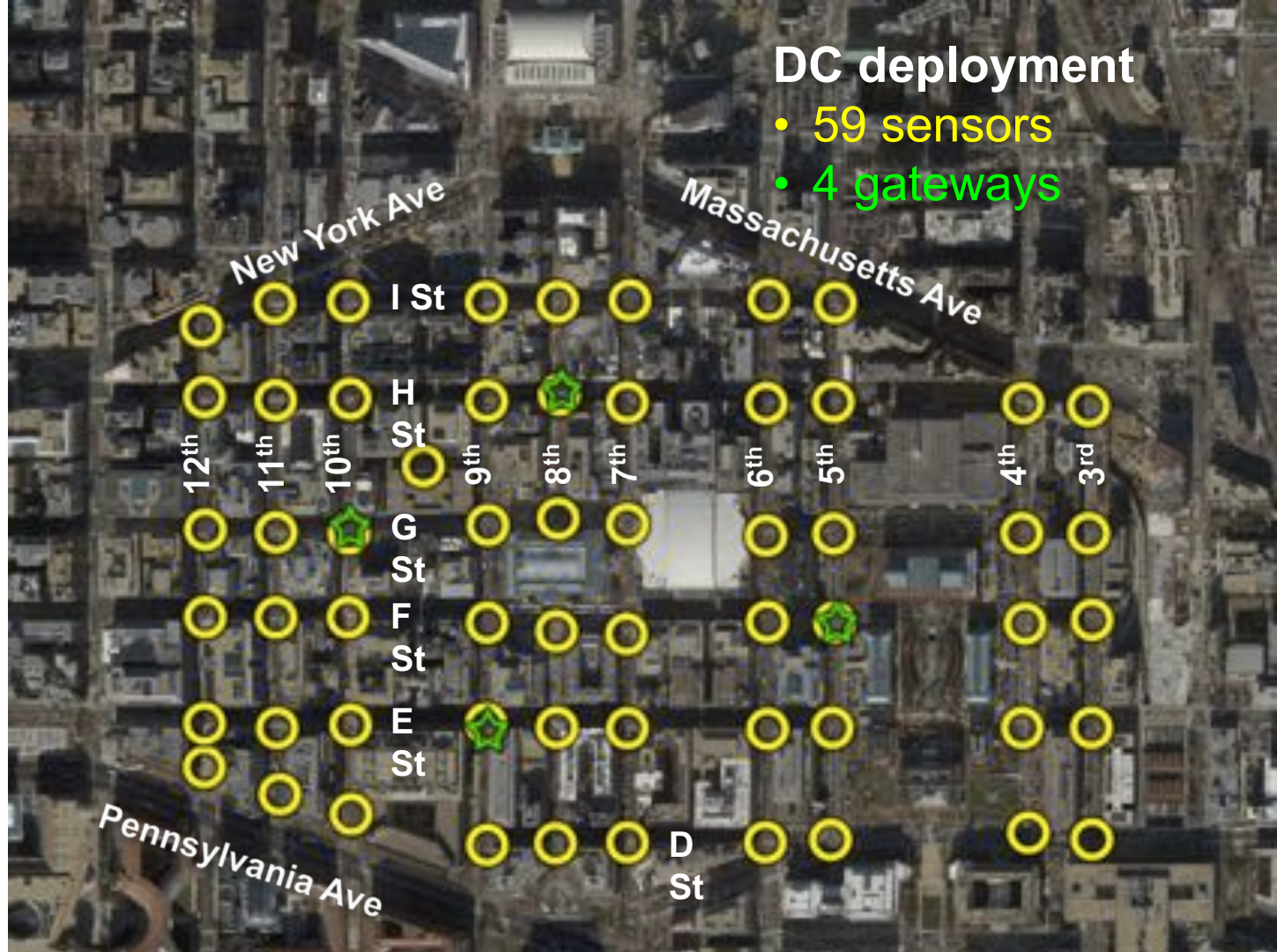


# Development Timeline

- 2014 – Early experiments
  - ▣ Initial experiments to monitor travel times (TRB paper 15-5913)
- 2015 – U.S. DOT SBIR Phase I
  - ▣ Temporary 38 sensor network in Pittsburgh
  - ▣ 3 week deployment, controlled study
- 2016 – DC deployment
  - ▣ Permanent deployment supporting ParkDC
  - ▣ 59 sensors in Chinatown and Penn Quarter
- 2017-2018 – U.S. DOT SBIR Phase II
  - ▣ Deployment of ~300 sensors across 2-4 pilot cities
  - ▣ Further algorithm development
    - Cloud-based application for continuous reporting
    - Incorporate other information (e.g., occupancy) to improve classification

# DC deployment

- 59 sensors
- 4 gateways



# What are we hoping to learn?

- How does cruising change over time?
- How do prices change cruising rates?
- Do cruising rates stabilize over time?
- What types of information, analysis, and visualization best support agency goals?
- What does success look like for demand-based pricing in the context of cruising?
- How can agencies use these sensor networks for other purposes to share costs?

surtrac



Intelligent Traffic Signal Control

# TRAFFIC CONGESTION

**costs \$120+ billion and produces 56 billion pounds of CO<sub>2</sub> per year in the US alone**



# POORLY TIMED SIGNALS

are one big reason why

Most intersections run *fixed* timing plans

- Designed for average traffic conditions
- Only detect and respond to traffic that is already stopped
- Begin “aging” the moment they are installed
- Unable to respond to real-time events
- Are designed strictly for arterials



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**Goal:** Real-time optimization of  
complex, dynamic traffic flows



Traffic signal control that adapts  
to urban traffic in real-time

### **In the field ...**

**26%** lower travel time

**41%** less time idling

**31%** fewer stops

**21%** lower emissions



# Decentralized control Coordinated action



**Optimizes** signals for the  
**actual** traffic on the road  
**right now**



# Coordinates networks dynamically





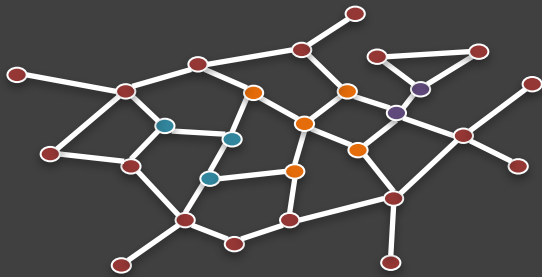


Optimizes for everyone

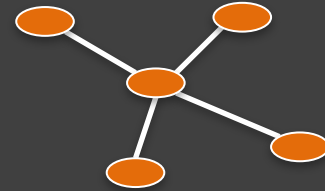
# Integrations with Connected Vehicle Technology



# Distributed control makes it easy to ...

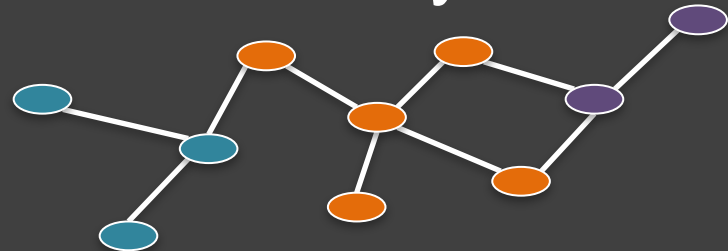


Scale to large  
networks



Start small

Grow  
incrementally







## History:

- ▣ Developed in the Robotics Institute at Carnegie Mellon University
- ▣ Commercialized by Rapid Flow Technologies

## Development Timeline

**2010-11:** Development of core approach

**2011-12:** Initial pilot deployment

**2013-16:** Expansion of pilot test site to 50 intersections

**2015-17:** Commercialization and integration with connected vehicle technology

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Pittsburgh Deployment

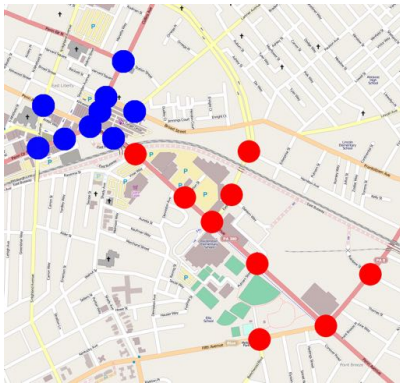
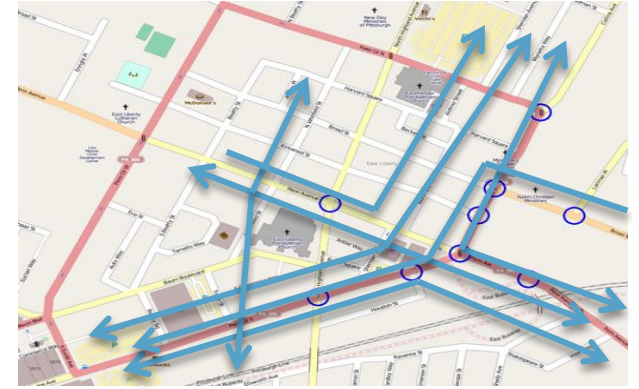




# Surtrac Pilot – Results and Status

## East Liberty Field Test (Jun 2012)

% Improv.	Travel Time	# of Stops	Wait Time	Emissions
AM rush	30%	29%	48%	24%
Mid Day	33%	53%	50%	29%
PM rush	23%	9%	36%	18%
Evening	18%	35%	28%	14%
<b>Overall</b>	<b>26%</b>	<b>31%</b>	<b>41%</b>	<b>21%</b>



## Bakery Square Expansion (Nov 2013)

% Improv.	Travel Time	# of Stops	Wait Time	Emissions
AM rush	17%	34%	33%	16%
Mid Day	21%	37%	38%	18%
PM rush	29%	45%	46%	25%
<b>Overall</b>	<b>24%</b>	<b>40%</b>	<b>42%</b>	<b>21%</b>

CITY OF  
**PITTSBURGH**  
SMART SPINE SYSTEM

North Shore District  
Energy & Microgrid

Downtown District  
Energy & Microgrid

Uptown District  
Energy & Microgrid

Oakland District  
Energy & Microgrid

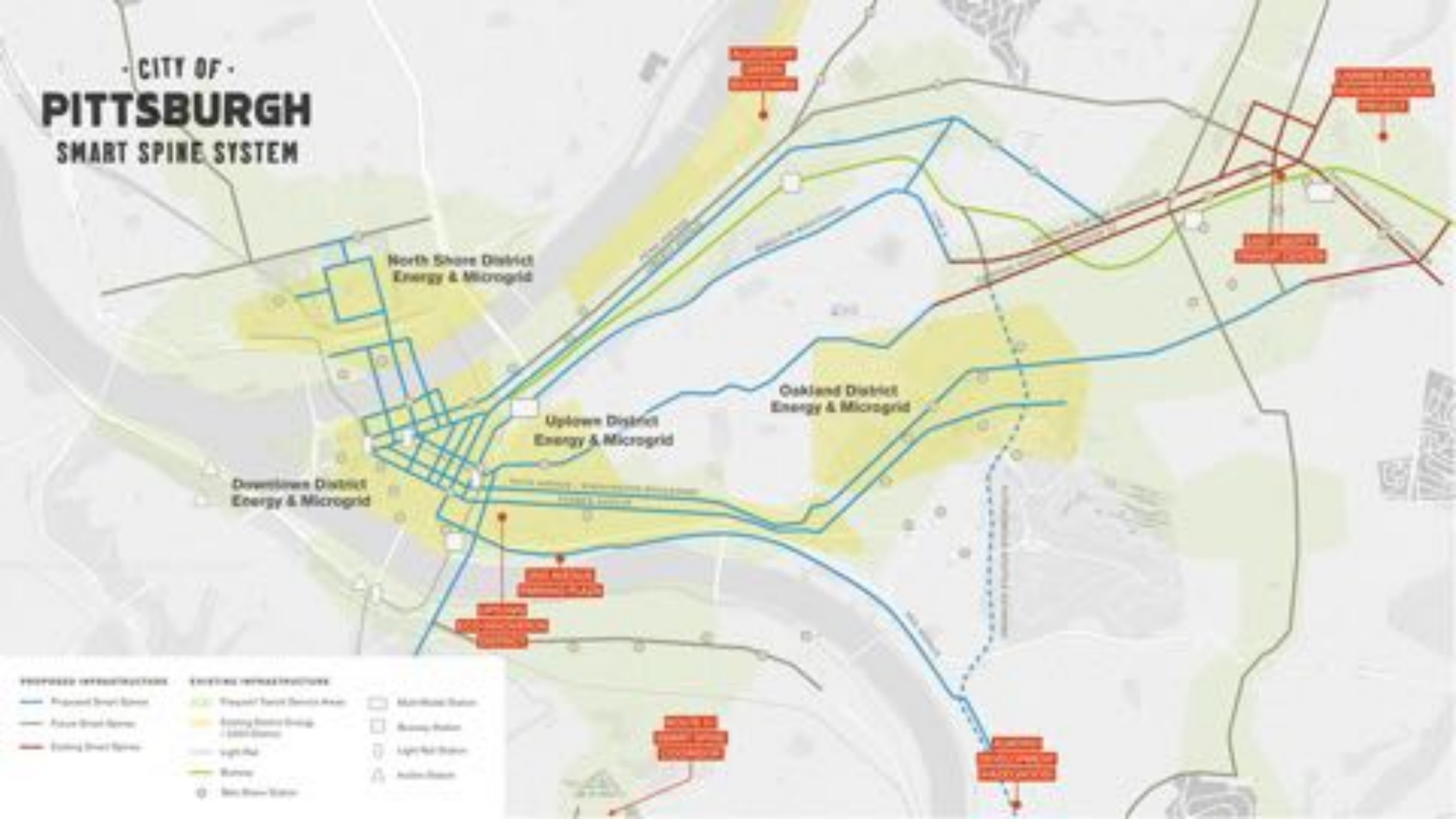
**PROPOSED INFRASTRUCTURE**

- Proposed Smart Spine
- Future Smart Spine
- Existing Smart Spine

**EXISTING INFRASTRUCTURE**

- Proposed Transit Service Area
- Existing Transit Service Area (Light Rail)
- Light Rail
- Bicycle
- Bus Rapid Transit

- Metrolink Station
- Busway Station
- Light Rail Station
- Public Station



# How does **surtrac** work?

**Controller**

**Camera**

**1. Senses traffic**

**Video detection**

**5. Controller manages signals**

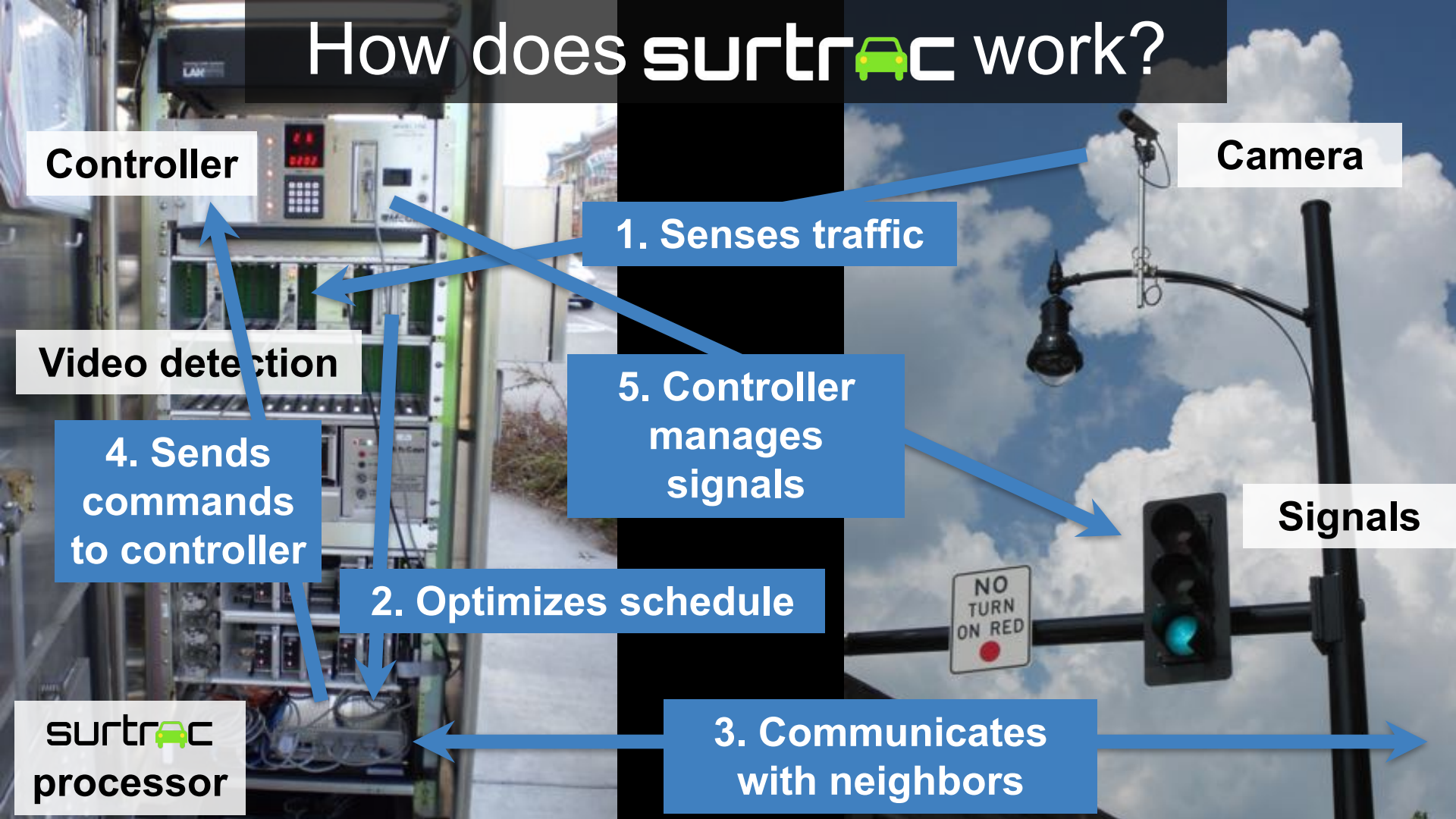
**4. Sends commands to controller**

**2. Optimizes schedule**

**Signals**

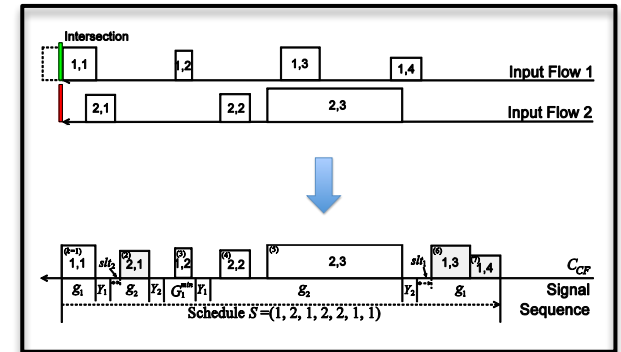
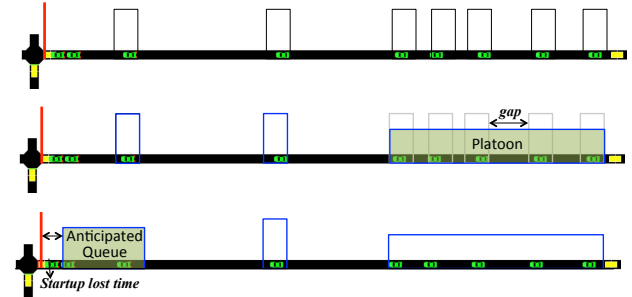
**3. Communicates with neighbors**

**surtrac processor**



# Key Technical Ideas

- Treat intersection control problem as a **single machine** scheduling problem
  - ▣ Aggregate representation of traffic flows to identify *input jobs*
- Communicate schedules to downstream neighbors to give visibility of future *input jobs*



# Surtrac Approach

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- Decentralized, real-time control
- Creates coordination via vehicle arrival model
- Discovers dominant flows dynamically
- Doesn't require pre-selection of coordination
- Can manage coordination of multiple directions under many conditions
- Built for a connected vehicle future

# Surtrac System Behaviors

- **Light traffic** – Focus on moving platoons without stopping, durations vary widely, very responsive
- **Shoulder periods** – Shift toward queue management and more defined coordination
- **Saturation** – Queue management, less responsive to individual vehicles, heavy emphasis on dominant flows

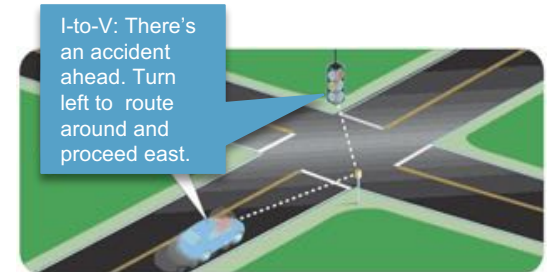
A horizontal decorative bar at the top of the slide, consisting of a green rectangular segment on the left and a blue rectangular segment on the right.

# Connected Vehicles

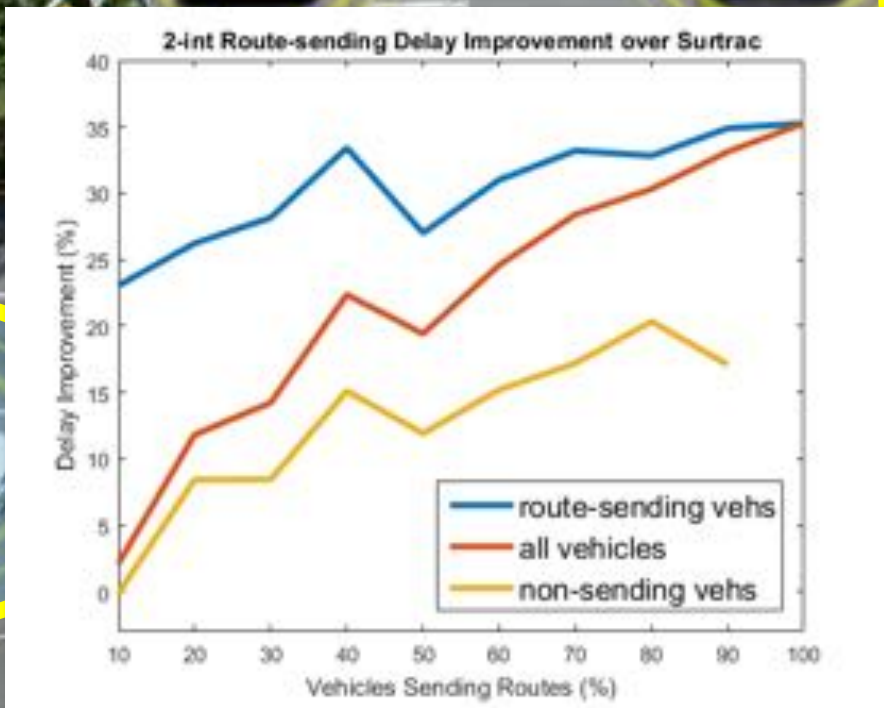
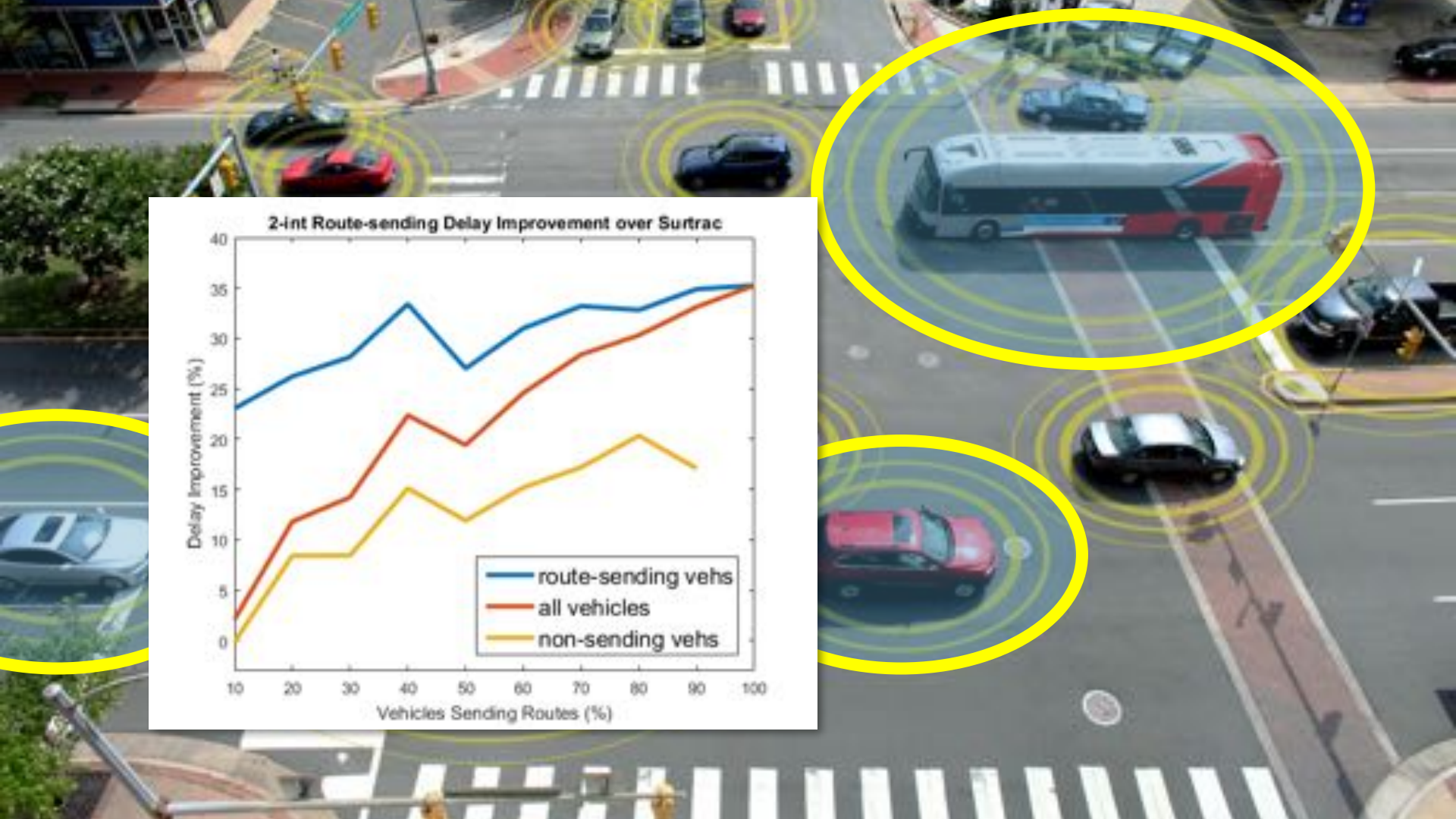


# Connected Vehicle Vision

- Connected vehicles will enable new, smarter signal capabilities
  - ▣ Better sensing, mode detection
  - ▣ Incident detection and alerting
  - ▣ Real-time routing
- Ubiquitous sensing technologies will expand traveler awareness
  - ▣ Intersections will act as local gateways to real-time information streams and analyses
  - ▣ Signal networks will form the back-bone of an Urban Information Grid

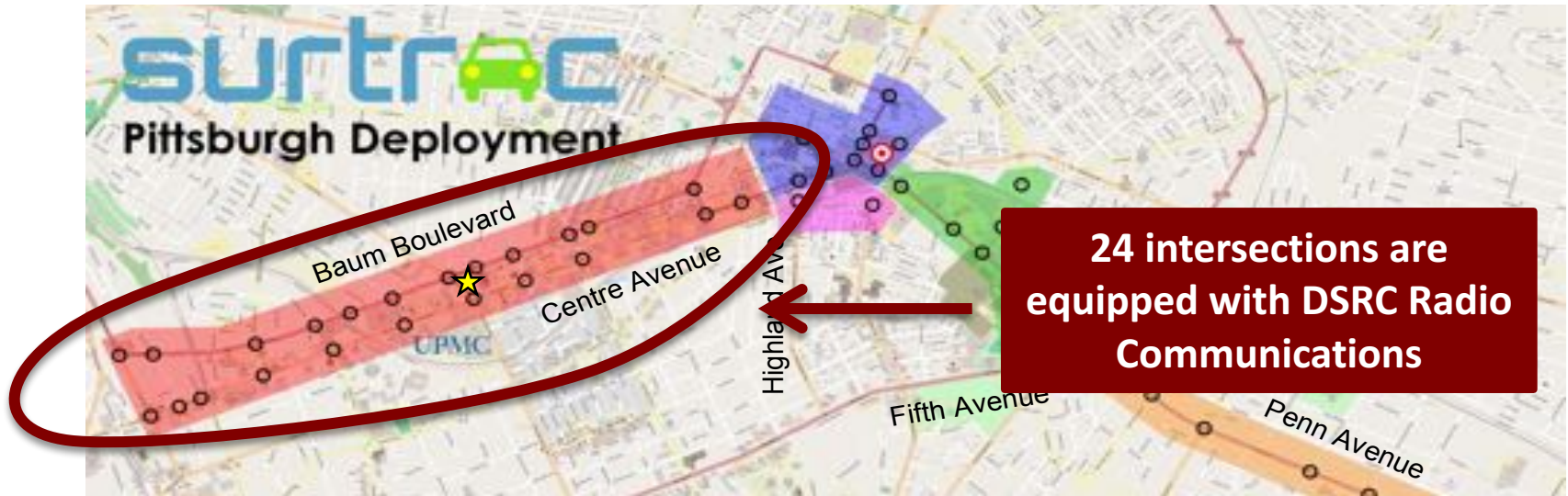






# Existing CV Deployment

- 24 of our 50 intersections have DSRC roadside equipment
- Also piloting cellular alongside DSRC



# Transit signal priority

- Surtrac can accommodate use of external TSP systems, either by allowing these systems to override Surtrac, or by incorporating their requests
- Internal Transit Signal Optimization (TSO) process is also available. This allows transit to be directly factored into optimization and to predict arrival, rather than forcing reactive TSP operations



# Installation



# Installation



**Controller**

**Video Camera  
(Detection)**

**IP Radio  
(Communication  
to Neighbors)**

**Surtrac  
Processor**

**DSRC Radio  
(Communication  
to Vehicles)**



# Surtrac processor

- ❑ Wide temperature embedded computer
- ❑ Commodity computer
- ❑ 6 x 6 x 4 inches
- ❑ Runs Linux
- ❑ Other form factors possible





# Communications

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- Requires reliable Ethernet network between intersections
- Typically use IPv4 (IPv6 supported)
- Remote connection preferred (connection to TMC, cell modem, etc.)
- Fiber is best, wireless radios work well

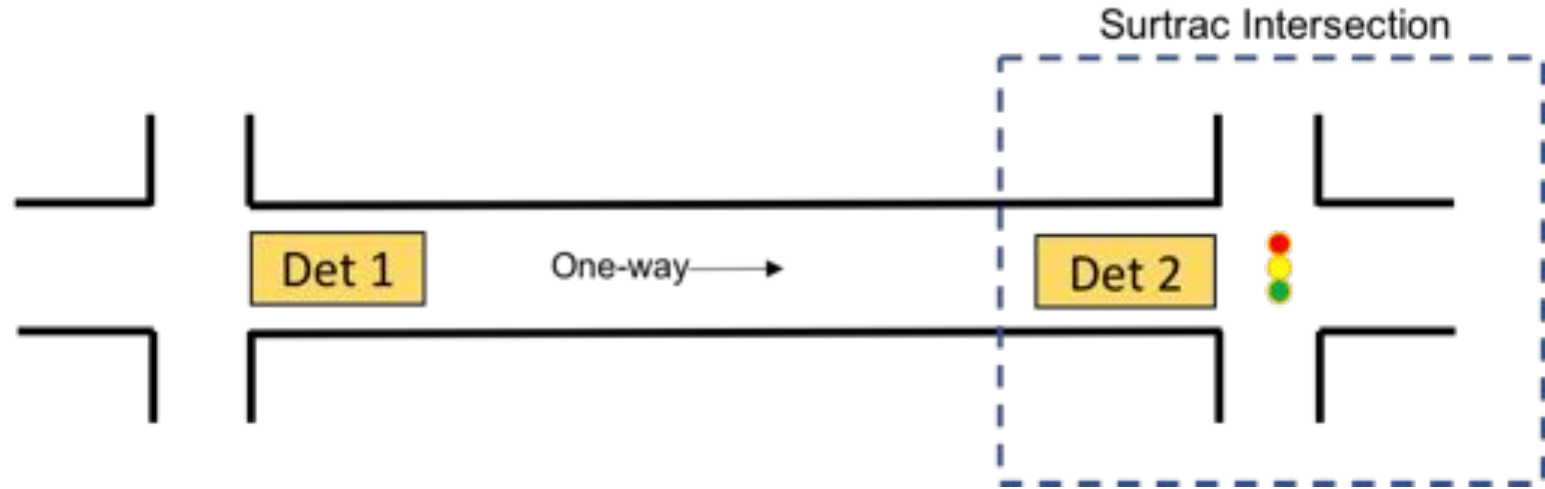


# Detection

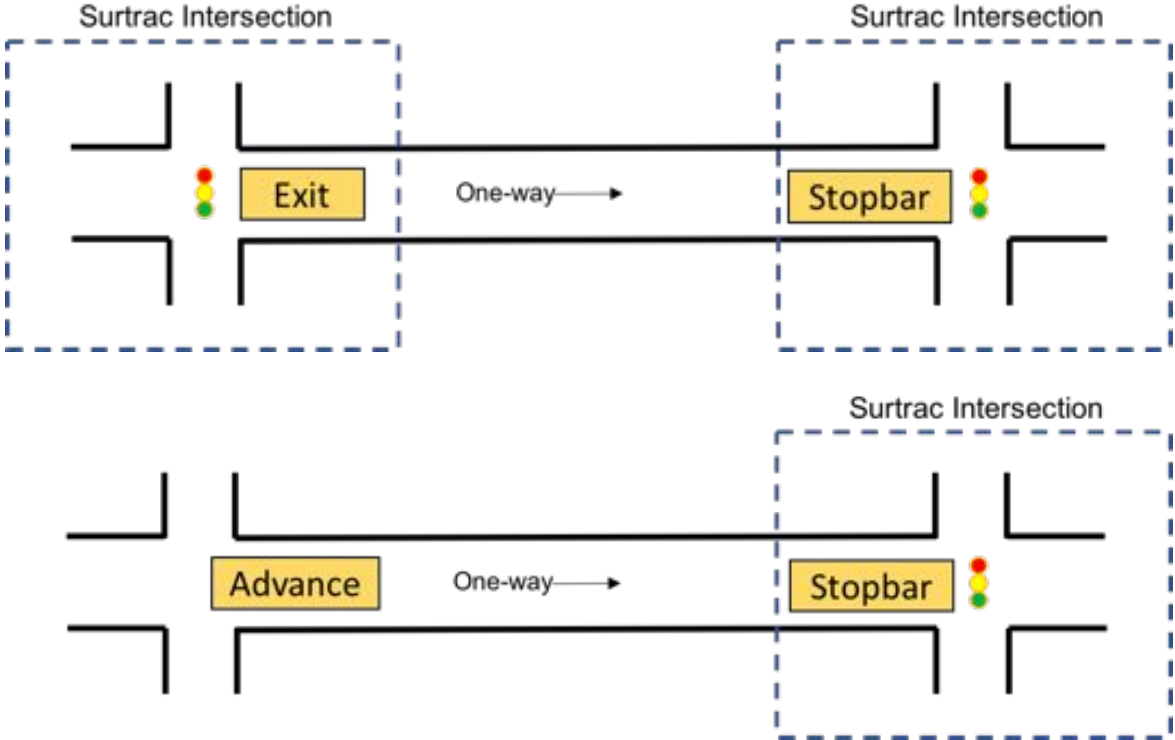
- Surtrac supports many detection vendors (developed or under development)
  - ▣ FLIR, Autoscope, Wavetronix, Iteris, Citilog, Gridsmart, ...
- Loops can be supported, but are not recommended



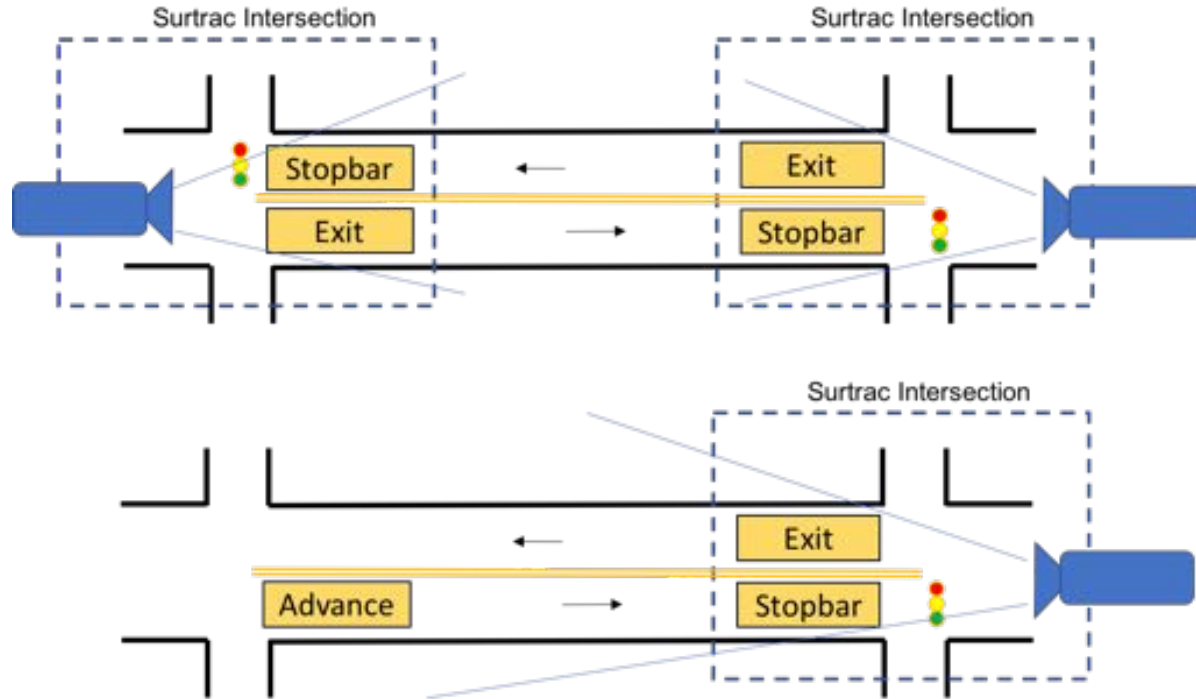
# Required Detection Locations



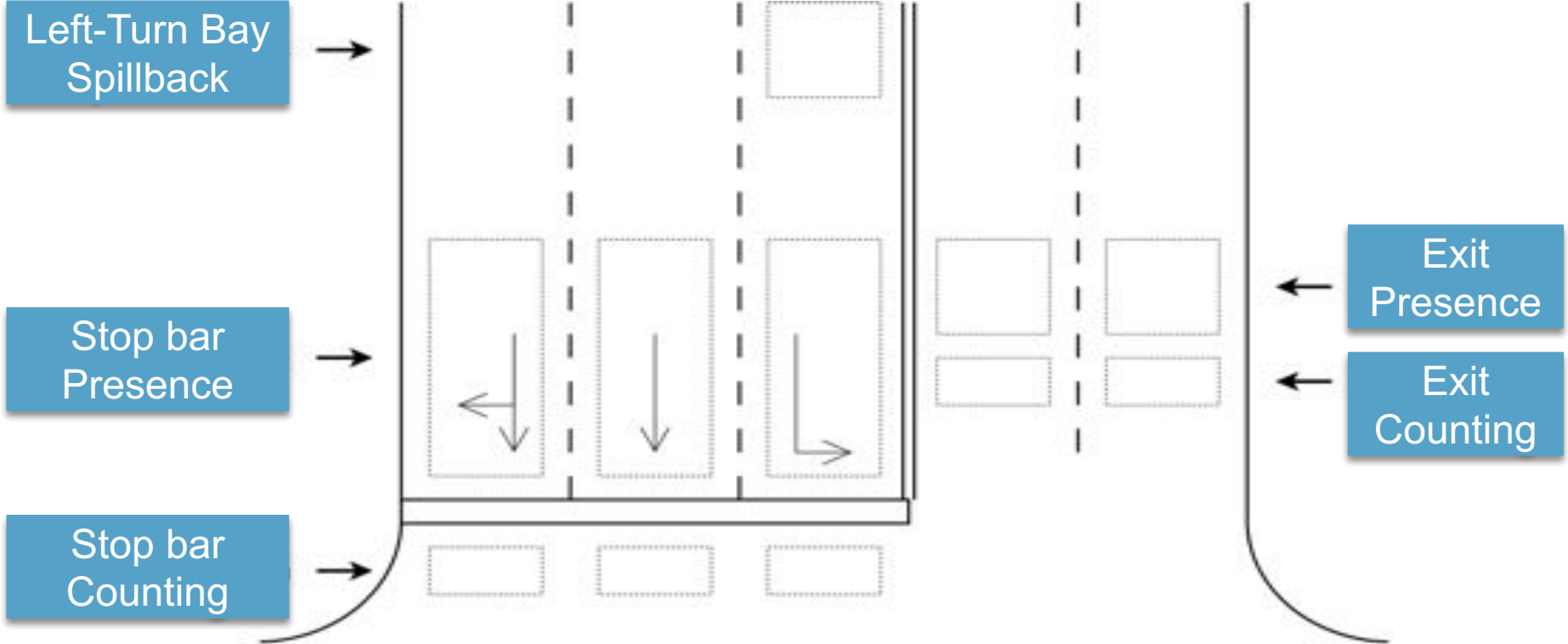
# Detection Zone Types in Surtrac



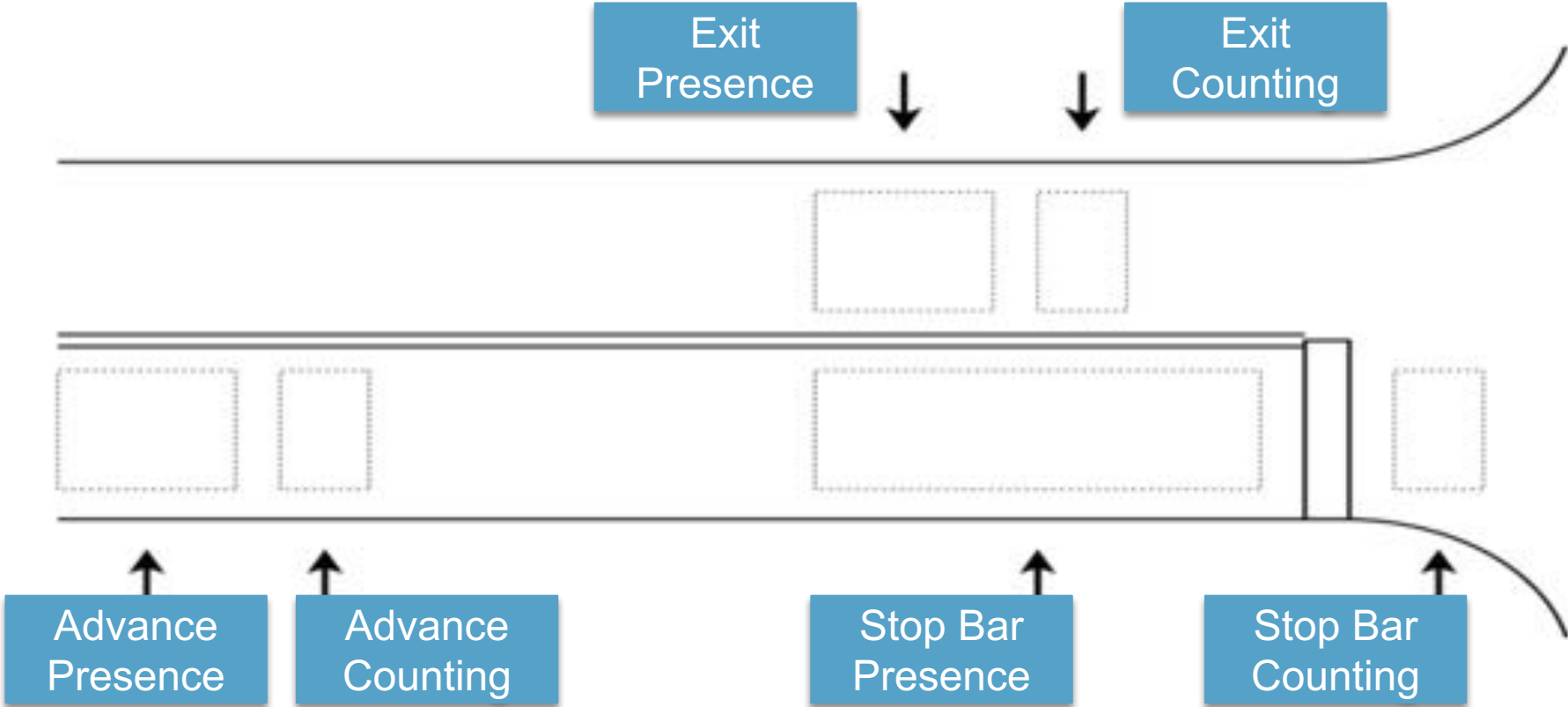
# Achieving Detection in Deployment



# Detection – Interior Approach



# Detection – Edge Approach



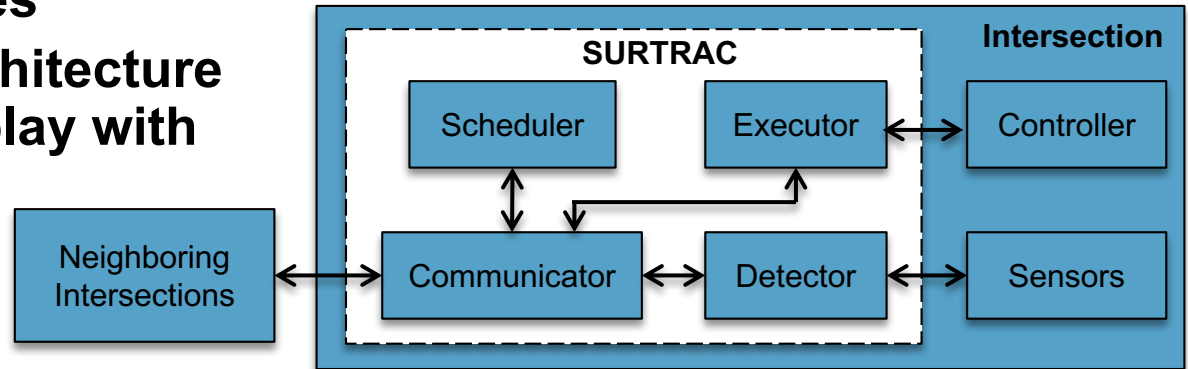


# Controller compatibility

- Surtrac is designed to be modular, so it is easy to develop and deploy integrations to controllers, detection, and other systems
- Surtrac is compatible with most controllers, including Siemens, Econolite, McCain, Intelight, and even 170 controllers
- Most interfaces use NTCIP, other options possible as necessary

# System Operation

- Operates by over-riding pre-existing timing plans and reverts in the event of unexpected problems
- Tolerates loss of communication with its neighbors
  - Automatically shifts to use of moving average flow forecasting to compensate for any loss in real-time input data streams
- Reduces traffic flow uncertainty by integrating sensor information from different sources
- Service Oriented Architecture facilitates plug and play with different controllers and detection equipment



# Surtrac interface

- Multiple methods for remote monitoring and control, including mobile web
- Can be integrated with central systems
- Rich data logging
- Flexible alerts

The screenshot displays the SURTRAC web interface. At the top, there is a navigation bar with the SURTRAC logo and tabs for Commands, Status, Cameras, and Statistics. Below this is a filter menu set to 'All' and a 'Tabular' view selector. A table lists various traffic light configurations:

Name	Active	Enabled	Page	Scheduled	Phase #	Phases	Veh Calls	Ped Calls
PennCircleE_Broad	yes	yes	1	0:00:00.6	0	[2, 6]	[2, 6]	[]
PennCircle_Collins	yes	yes	1	0:00:00.7	0	[2, 6]	[2, 6]	[]
Penn_EastsideIll	yes	yes	1	0:00:00.3	0	[2, 6]	[2, 6]	[4]
PennCircleS_Shighland	yes	yes	1	0:00:01	0	[2, 6]	[2, 6]	[]
PennCircleE_Kirkwood	yes	yes	1	0:00:00	0	[2, 6]	[2, 6]	[]
Broad_Larimer	yes	yes	1	0:00				

Below the table, a detailed view for the 'Fifth' intersection is shown. It includes a dropdown menu for 'Fifth', a 'Plain' view selector, and a 'Submit' button. The configuration details are:

- Name: Penn\_Fifth
- Active: yes
- Enabled: yes
- Page: 1
- Scheduled: 0:00:00.2
- Phase #: 1
- Phases: [2, 6]
- Veh Calls: [2, 4, 6, 8]
- Ped Calls: []

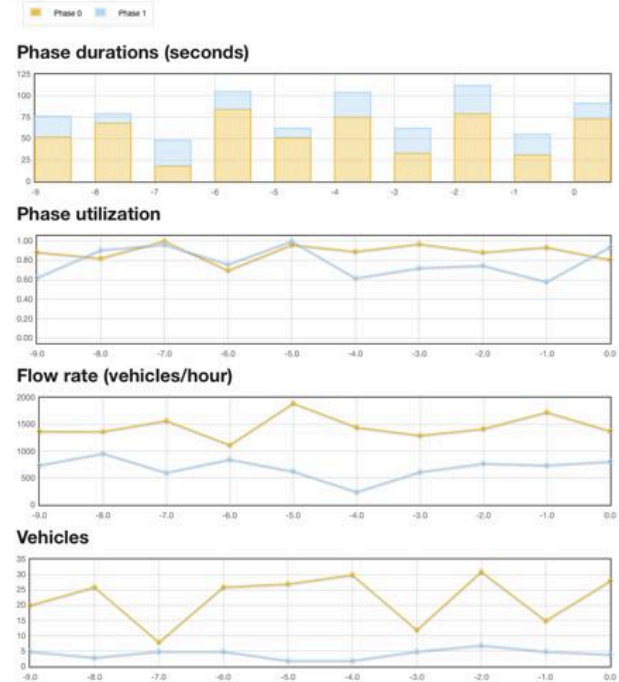
At the bottom, there is a 'Help' button and a footer with the text: 'Scalable Urban Traffic Control is a project of the Intelligent Coordination and Logistics Laboratory in the Robotics Institute as part of the Traffic21 initiative at Carnegie Mellon University.'

On the right side of the interface, there is a 'Cameras' section with a 'Submit' button, a 'Refresh rate' set to 0 seconds, and a 'Display' set to four columns. Below this, there is a video feed showing a night-time view of a street intersection with traffic lights and cars.

# Real-time statistics

- Surtrac produces lots of data that can be used to monitor performance
- Outliers in the data can be used to identify non-recurring sources of congestion

Statistics for centre-beatty



# Project Timeline

- Project design
- Install and configure all other required upgrades (detection, networking)
- Install and configure Surtrac processors
- Test detection (Surtrac running passive)
- Test Surtrac (Surtrac running active)
- Tune Surtrac installation
- Operate



# Rapid Flow

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# Emergency vehicle preemption

- Our preference is for EVP to work directly with the controller
- Surtrac recovers after EVP event is complete
- Surtrac can prepare for upcoming EVP event

# Transit signal priority

- Surtrac can accommodate use of external TSP systems, either by allowing these systems to override Surtrac, or by incorporating their requests
- Internal Transit Signal Optimization (TSO) process is also available. This allows transit to be directly factored into optimization and to predict arrival, rather than forcing reactive TSP operations

# Key Technical Ideas

## Schedule-Driven Intersection Control

- Treat each intersection as a single machine scheduling problem
- Use aggregate representation of traffic flows (as sequences of queues and platoons) to identify input jobs
- Use schedule to decide whether to extend or switch phase

## Schedule-Driven Coordination

- Communicate schedules to downstream neighbors to give visibility of future input jobs
- Layer mechanisms for coping with mis-coordinated situations (e.g., spillback) to account for fact that schedules might change

# Current Approaches

- **Parametric (Split, Cycle, Offset) Adjustment:**
  - Use historical moving average data; Computationally cheap
  - **Limitation:** Requires some degree of stability in traffic flows over time; not sensitive to real-time variability of demands
- **Reinforcement Learning:**
  - Find policies for mapping local observations to signal actions
  - **Limitation:** Slow to converge and difficult to apply in dynamic traffic flow
- **Online Planning:**
  - Optimize in a planning search space using current observation
  - **Limitation:** Scalability (especially in a long planning horizon)

# Network Level Coordination

- **Hierarchical/centralized coordination**

**Limitation:** Less than real-time adjustment due to need to integrate traffic data over time

- **Distributed constraint optimization (DCOP)**

**Limitation:** extensive computational and communication demands

- **Shared global network view**

**Limitation:** requires a priori specification of dominant flows

# Real-Time Challenges

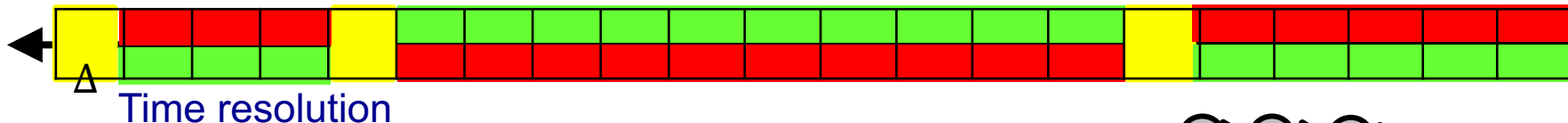
- **Planning Complexity**

- State Space (Observations): Exponential in a prediction horizon

Multiple Roads, Multiple lanes



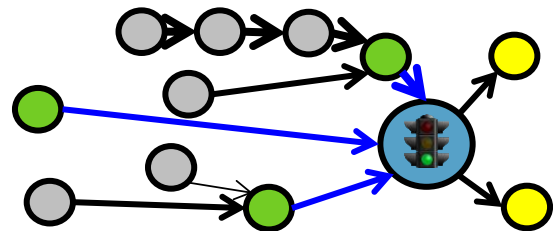
- Action Space (Signal sequences): Exponential in a planning horizon



- **Sensing uncertainty**

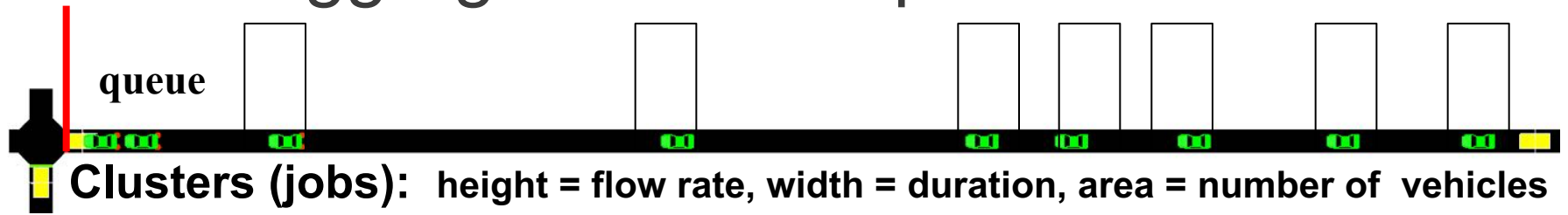
- **Non-local impacts between intersections**

- One nice property: networked problem structure





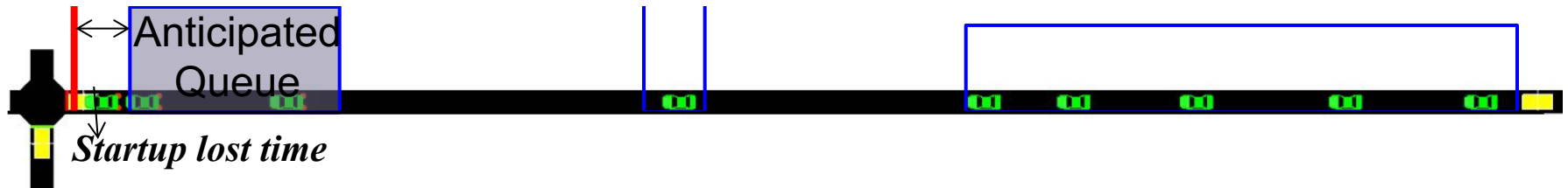
# Aggregate Flow Representation



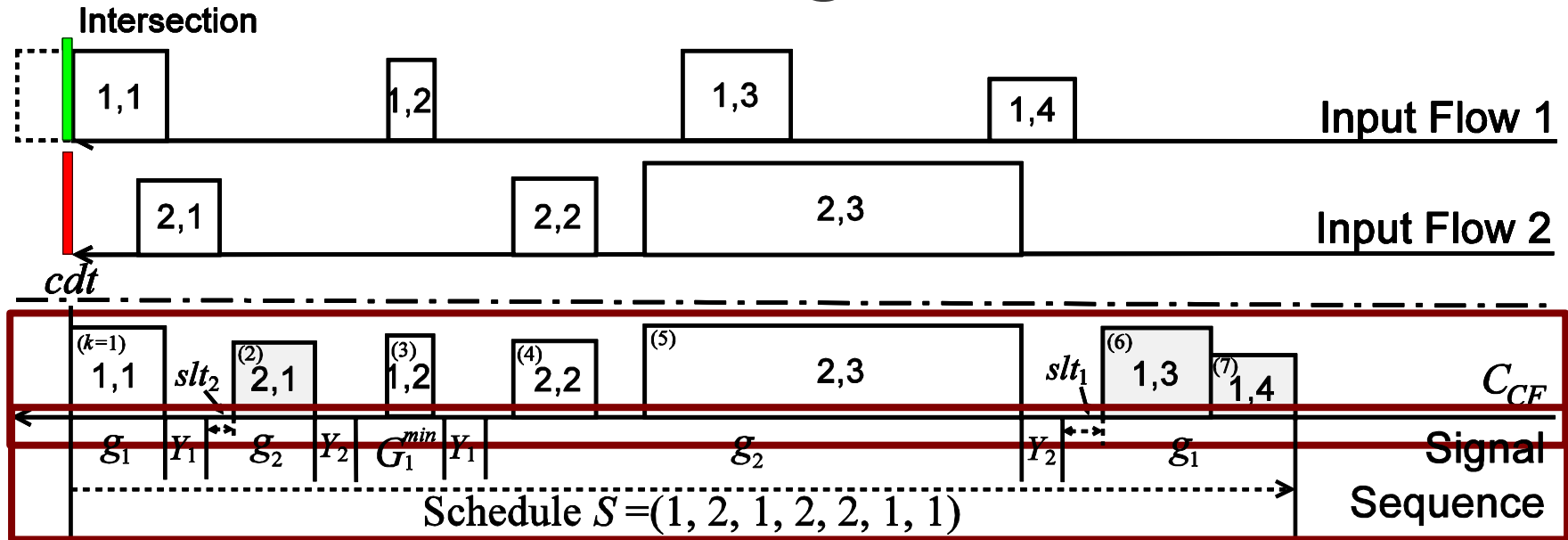
## 1. Threshold-based clustering: merge clusters with small gaps



## 2. Anticipated queue: Anticipate the number of vehicles that are either *presently in the queue* or *will join it before it clears* (Lämmer & Helbing, 2008)

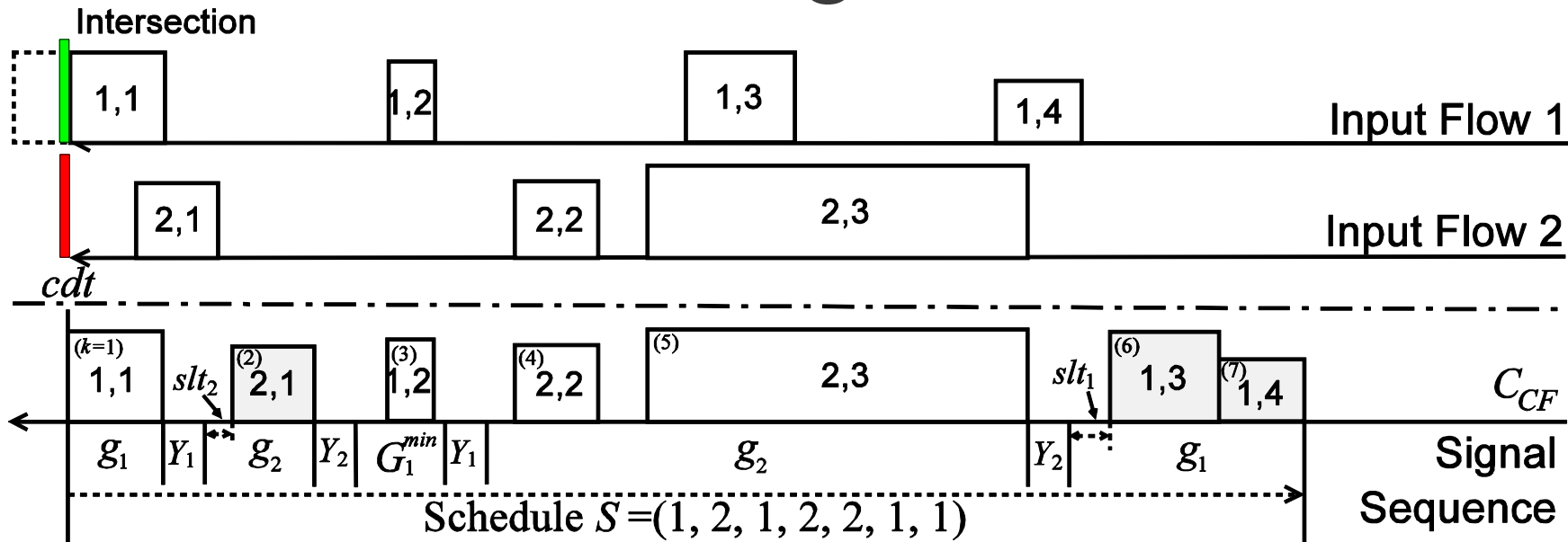


# Scheduling Problem



- A **Schedule** = a sequence of all clusters (indivisible jobs)
- Schedule  $\rightarrow$  **Planned Signal Sequence** (for traffic light)

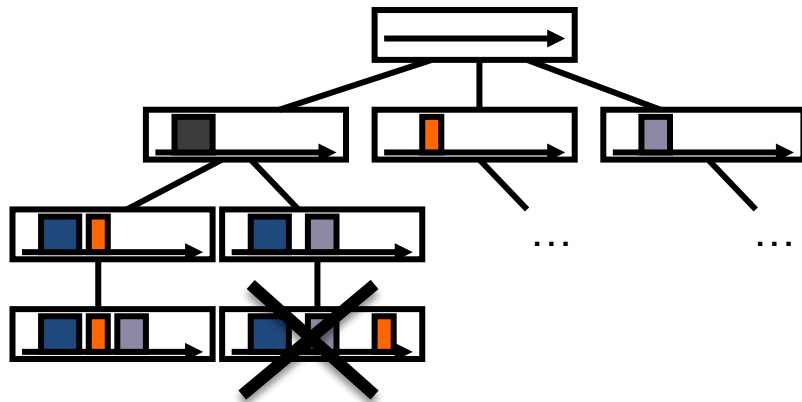
# Scheduling Problem



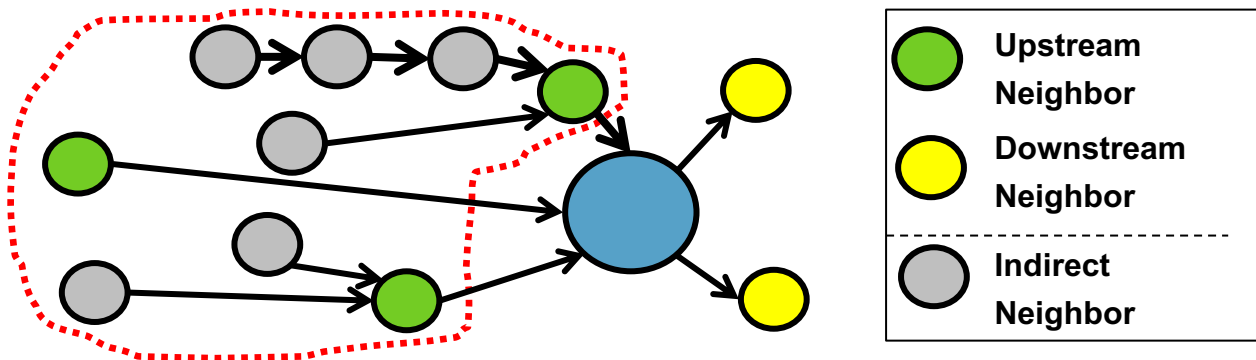
- **Problem:** Minimize the *cumulative delay* of all jobs, subject to
  - timing constraints for safety (yellow time) and fairness ( $G_i^{min}$  and  $G_i^{max}$  for each phase)

# Scheduling Strategy

- Forward dynamic programming search
  - New job added at each decision stage
  - Eliminate dominated solutions at each stage (same current phase, same jobs, *different orders*)
  - Only keep the state with minimum delay for each extension (greedy)
  - Time complexity:  
 $|\text{phases}|^{2*} \prod (|\text{clusters}_i| + 1)$



# Optimistic Non-local Observation



- Optimistically assume that all neighbors follow their schedules and communicate planned output flows to amplify local views
  - Planned Output Flows => Predicted Input Flows for downstream neighbors
  - Input Flows = Predicted (Local) Input Flows + Predicted (Non-local) Input Flows from upstream neighbors