

JAMES A. BAKER III
INSTITUTE FOR
PUBLIC POLICY
RICE UNIVERSITY

JAMES A. BAKER III HALL

2011
ANNUAL
REPORT

“The impressive achievements that Rice and the Baker Institute have made each year are commendable. I am certain that Captain James A. Baker, my grandfather and the founding chairman of Rice for its first 50 years, would be proud of what is happening at the Baker Institute.”

*—The Honorable James A. Baker, III
Honorary Chair,
James A. Baker III Institute
for Public Policy*

This annual report encompasses the activities of the institute for fiscal year 2011 from July 1, 2010, to June 30, 2011.

<i>Year at a Glance</i>	<i>4</i>
<i>Mission</i>	<i>5</i>
<i>Honorary Chair</i>	<i>6</i>
<i>Founding Director</i>	<i>7</i>
<i>Policy in the Classroom</i>	<i>8</i>
<i>Research Programs</i>	<i>9</i>
<i>New Senior Fellows</i>	<i>10</i>
<i>Research Spotlight</i>	<i>11</i>
<i>Students</i>	<i>26</i>
<i>Financial Summary</i>	<i>28</i>
<i>Board of Advisers</i>	<i>30</i>
<i>Fellows and Researchers</i>	<i>33</i>
<i>Rice Scholars</i>	<i>36</i>
<i>Administrative Staff</i>	<i>37</i>
<i>Awards and Honors</i>	<i>38</i>
<i>Donors</i>	<i>39</i>

18 *rice scholars*

21 *research programs*

31 *e-newsletters*

34 *policy researchers*

43 *classes taught*

47 *student interns*

73 *publications*

98 *events*

167 *countries reached on the web*

170 *blog posts*

505 *twitter followers*

800 *facebook fans*

1,203 *blog comments*

2,594 *subscribers to the e-newsletter*

120,684 *visits to the website*

The James A. Baker III Institute for Public Policy is a nonprofit, nonpartisan think tank at Rice University in Houston, Texas. The institute produces independent research on domestic and foreign policy issues with a focus on providing decision-makers in the public and private sectors with relevant and timely policy assessments and recommendations. In order to inform the general public on key policy issues, the institute disseminates its research and policy recommendations widely through its state-of-the-art communications facilities.

By bringing statesmen, scholars and students together, the institute broadens the content and reach of its policy assessments and recommendations, and provides an open forum for debate and discussion.

The institute educates students on public policy issues and related subjects by offering courses at Rice University and sponsoring student intern and mentoring programs at home and abroad.

02.08.11 *Baker Institute honorary chair James A. Baker, III, meets with President Barack Obama at the White House to discuss a bipartisan approach to tax reform.*



At a time of significant domestic and foreign policy challenges, the Baker Institute is contributing meaningfully to our national debate on some of the most important public policy issues our country faces. On the domestic front, the institute has produced timely studies and recommendations in the areas of health, energy, drug, homeland security, and tax and fiscal policy. On the international front, institute studies and recommendations include the prospects for Israeli–Palestinian peace negotiations, the status of women and human rights in the Middle East, and issues relating to international collaboration in space, China, science and technology, and cyberspace.

As the institute’s research programs move forward, we are expanding our Latin America Initiative with the appointment of Governor Bill Richardson as the new Baker Institute senior fellow for Latin America. The health policy program will be enhanced by the appointment of Dr. John Mendelsohn, the former president of the MD Anderson Cancer Center, as the first L.E. and Virginia Simmons Senior Fellow in Health and Technology Policy.

I am particularly pleased with the expansion of the institute’s advisory board, which brings together prominent individuals with experience in the private and public sectors nationally and internationally. We will be looking to their advice and support on the institute’s future growth and effectiveness.

As Rice celebrates its centennial next year, I am confident that the Baker Institute, already one of the leading global think tanks, will play an increasingly important role in the university’s future. The institute will continue to foster collaborative relationships with other departments, faculty and students on campus and expand its research to partner with the university in Rice’s interdisciplinary efforts. In sum, the institute’s local, national and international reach continues to grow, and we will remain steadfast in our pursuit of debate and solutions to the most challenging policy issues of our time.

The Honorable James A. Baker, III
Honorary Chair



01.19.11 *Baker Institute founding director Edward P. Djerejian greets Robert O. Blake Jr., assistant secretary of state for South and Central Asian affairs, before his lecture on the Obama administration's priorities in South and Central Asia.*

Looking ahead to the Baker Institute's 20th anniversary in 2013, we are expanding our primary research programs into new areas of public policy. The institute is ranked consistently as a leading global think tank. Our location and Rice University affiliation provide a strong comparative advantage, and we further integrated with Rice's faculty by inviting several professors in science, architecture and social sciences to join the institute as Baker Institute Rice scholars.

This year, our energy program conducted in-depth examinations of carbon policy, shale gas and Mexico's oil industry. Our health policy program studied cancer treatment, obesity and health information technology and, with the appointment of Dr. John Mendelsohn as our L.E. and Virginia Simmons Senior Fellow in Health and Technology Policy, is positioned to grow globally. Another major area of expansion, in collaboration with Rice's leadership, is our Latin America Initiative with the selection of Governor Bill Richardson as our senior fellow for Latin America. Our Tax and Expenditure Policy Program's report calling for comprehensive tax reform was disseminated at the highest levels of our government. Texas' stem cell policy and the research and business ramifications were a focus of the Science and Technology Policy Program. Our Conflict Resolution Program concentrated on the territorial issues of an Israeli-Palestinian peace agreement and the implications of the Arab Awakening. Last year some of the nation's and the world's foremost policy practitioners in the public and private sectors participated in our programs, and our state-of-the-art telecommunications facilities allowed us to broadcast their views globally.

Our newly expanded board of advisers comprised of 26 prominent individuals will lend support to our strategic goals, facilitating the Baker Institute's participation in some of our nation's key domestic and foreign policy challenges. As we expand student involvement in our educational programs, a generous lead gift from Jim Crownover, chairman of Rice's board of trustees, and his wife Molly will support summer interns at the French Institute of International Relations (IFRI).

None of our achievements would be possible without the strong support of our friends and donors, to whom I express my most sincere gratitude.

*The Honorable Edward P. Djerejian
Founding Director*

2010–2011 FELLOW/SCHOLAR- TAUGHT COURSES

ASIA 211	Introduction to Asian Civilizations
ASIA 212	Perspectives on Contemporary Asia
ASIA 488	Asia and Energy
COMP 281	Number History/Games of Chance
COMP 381	ICT Designs for a Sustainable World
ECON 201	Microeconomics I
ECON 243	Corporate Finance
ECON 255	Financial Markets and Institutions
ECON 439	Torts, Property and Contracts
ECON 447	Energy Economics II
ECON 451	The Economy of Latin America
ECON 479	Economic Modeling and Public Policy
ECON 481	Health Economics
ECON 484	Public Goods
ECON 502	Macroeconomic/Monetary Theory I
ECON 522	Public Economics: Tax Policy
ECON 523	Dynamic Optimization
ECON 594	Workshop in Economics I
ECON 597	Readings in Advanced Topics
ENST 437	Energy Economics
HIST 278	Modern Arab History
HIST 291	20th Century American Presidents
HIST 535	Race, Religion and Difference: Understanding Sectarianism in a Comparative Perspective
MLSC 700	Capstone
NSCI 511	Science Policy and Ethics
POLI 211	Introduction to International Relations
POLI 305	Directed Reading I
POLI 470	International Relations
POLI 470	International Relations Special Topics: The Craft of Intelligence
POLI 568	Comparative Political Institutions
POLI 597	Directed Reading: Comparative Politics
POLI 599	Teaching Political Science
POST 455	Contemporary United States Middle East Policy
POST 401	Energy Policy
RELI 223	Qur'an and Commentary
RELI 356	Major Issues in Contemporary Islam
RELI 400	Honors in Religious Studies
RELI 442	Classical Arabic Texts
RELI 530	Pedagogy Practicum
SOCI 314	Science at Risk
SOCI 375	Social Dynamics of Leadership: Elites and Society
SOCI 380	Social Theory
SOSC 321	Social Sciences Undergraduate Research Enterprise



04.08.11 Undergraduate interns Kara Calhoun (center) and Jingyuan Luo (seated, right) assist science and technology fellow Kirstin R. W. Matthews.

The Baker Institute's 21 policy programs expanded their work this year on the formulation, implementation and evaluation of public policy via collaborations within the institute and joint studies with scholars across Rice University and beyond. Programs such as energy policy and Latin America studies, as well as health economics and science and technology policy, brought interdisciplinary research to the forefront of our increasingly interconnected global society.

Conflict Resolution

Drug Policy

Energy Forum

Geopolitics of Natural Gas

International Oil Program

Rice Energy Program

Sustainability Project

U.S. Strategic Energy Policy

Health Economics

Health Policy Forum

Homeland Security and Terrorism

Texas Security Forum

Information Technology Policy

International Economics

Kelly Day Endowment on the Status of Women and Human Rights in the Middle East

Latin America Initiative

Americas Project

U.S. –Mexico Border Program

Vecinos Lecture Series

Public Diplomacy

Religion and Public Policy

Science and Technology

Civic Scientist Program

Climate Change Initiative

International Stem Cell Policy Program

Medicine, Research and Society Public Policy Issues Series

Space Policy

Tax and Expenditure Policy

Transnational China Project

STUDENT PROGRAMS

Baker Institute Student Forum

French Institute of International Relations

Jesse Jones Leadership Center Summer in D.C. Policy Internship Program

Public Diplomacy and Global Policymaking

SPEAKER SERIES

Robert A. Mosbacher Global Issues Series

Shell Distinguished Lecture Series

The appointments of former governor of New Mexico Bill Richardson and former MD Anderson president Dr. John Mendelsohn as senior fellows will significantly enhance the Baker Institute's research programs on Latin America and on health policy.

Richardson will work closely with a team of scholars from the Baker Institute and Rice University with a goal of raising the **Latin America Initiative's** profile and expanding its scholarship.

"The Baker Institute's affiliation with Rice University, combined with its location in Houston and that city's close ties to Latin America, make it a natural to lead this effort," said Richardson, whose appointment as senior fellow for Latin America was announced in September 2011. "I look forward to collaborating closely with the Baker Institute to improve and expand public policy on hemispheric concerns of mutual interest to the United States and the countries of Latin America."

Mendelsohn, who joins the institute in March 2012, will identify and evaluate new technologies, therapeutics and best practices, as well as policy recommendations to improve national and global health outcomes. He is the first L.E. and Virginia Simmons Senior Fellow in Health and Technology Policy.

"Technology plays a critical role in medicine today, and policymakers are looking for the best ways to evaluate and understand these tools of the future," said Mendelsohn. "My new position at the Baker Institute will allow me to contribute to these important decisions and employ my background as a practitioner, researcher and leader in the medical field. I am also excited about joining the Baker Institute **Health Economics Program**, which I have long admired for its collaborative work at Rice University, across the Texas Medical Center and with the global health community."



Bill Richardson
Senior Fellow for Latin America



John Mendelsohn, M.D.
L.E. and Virginia Simmons Senior Fellow in Health and Technology Policy

“There is a great need for closer ties between scholars and policymakers in the United States and Latin America. I am very enthusiastic about the Baker Institute’s Latin America Initiative, and the opportunities it creates for collaboration between faculty and scholars at Rice University and those from this vital region of the world.”

—David Leebron
President, Rice University



04.29.11 *Juan José Suárez Coppel, director general of Pemex, discusses the challenges facing Mexico’s oil sector and the impact of political trends in Mexico on the country’s energy policy as part of “The Future of Oil in Mexico” conference. A joint Baker Institute-University of Oxford study on this issue was released at the event held in Mexico City.*

The mission of the **Latin America Initiative** is to provide a forum that fosters a better understanding of the cultures, economies, histories and contemporary affairs of Latin America. Through its main programs — the Americas Project, the U.S.-Mexico Border Program and the Vecinos Lecture Series — the program brings together leading stakeholders from government, the private sector, academia and civil society to discuss the region’s pressing issues.

In April, the Latin America Initiative hosted a conference in Mexico City with the **Energy Forum** on “The Future of Oil in Mexico.”

The program also prepared for the September 2011 arrival of a new senior fellow, former New Mexico governor Bill Richardson. He will lead a multidisciplinary team of scholars and fellows from the Baker Institute and Rice University with the assistance of program director Erika de la Garza.

Team members include the Baker Institute’s drug policy experts, William Martin and Gary J. Hale, and political science fellow Mark P. Jones, who is also the Joseph D. Jamail Chair in Latin American Studies at Rice University. Jones, an expert in economic and political affairs in Argentina, has conducted research on Latin American public policy issues for organizations including the Inter-American Development Bank, the United Nations Development Programme and The World Bank. Baker Institute Rice scholar Nicolas Shumway, Rice’s dean of humanities, will focus on Latin American literature and intellectual history.

The Peter Jay Sharp Foundation has pledged \$500,000 to help fund program costs over the next two years. Rice University has also made a major commitment as part of a university-wide effort to expand intellectual resources in this critical area.

The **Conflict Resolution Program** expanded its work to advance peace in the Middle East. Samih Al-Abed, the Diana Tamari Sabbagh Fellow in Middle Eastern Studies, and Yair Hirschfeld, the Isaac and Mildred Brochstein Fellow in Middle East Peace and Security in Honor of Yitzhak Rabin, made presentations on “Seeking Peace Between Israel and Palestine: The Way Forward.” In February, Michael Oren, Israel’s ambassador to the United States, discussed the relationship between the two countries, as well as regional issues.

In an interview with the Council on Foreign Relations, institute founding director Edward P. Djerejian discussed the need for differentiated foreign policy by the United States to address the changes prompted by the Arab Awakening, as well as a strong U.S. role in support of Middle East peace. He reiterated these themes in numerous press and media interviews. The Baker Institute re-released its 2010 report on “Getting to the Territorial Endgame of an Israeli-Palestinian Peace Settlement” following President Barack Obama’s May 2011 speech emphasizing the need for a two-state solution based on the 1967 line.

The institute plays an active role in public diplomacy, hosting distinguished guests who this year included: Husain Haqqani, Pakistan’s ambassador to the

U.S.; Namik Tan, Turkey’s ambassador to the U.S.; Robert O. Blake Jr., assistant secretary of state for South and Central Asian affairs; and Michael Corbin, deputy assistant secretary of state in the Bureau of Near Eastern Affairs for Iraq issues. Princess Haya Al Hussein spoke about her work to end poverty and hunger as a guest of the **Kelly Day Endowment on the Status of Women and Human Rights in the Middle East**.

In June, in a live satellite broadcast from New York, former U.S. secretary of state Henry Kissinger discussed his book “On China” for an audience at the Baker Institute. C.V. Starr Transnational China Fellow Steven W. Lewis hosted Jin Liangxiang of the Shanghai Institutes for International Studies, who is researching American views on the Middle East, as well conflict resolution between Israel, Palestinians and Arabs.

The **Transnational China Project’s** October conference “Subway Culture and Advertising Culture” brought leading scholars in Chinese advertising studies from around the world to the Baker Institute. Lewis is also working with Rice’s Chao Center and Fondren Library Center for Digital Scholarship on a new images archive of commercial and public service advertisements.



02.22.11 *Deputy Assistant Secretary of State Michael Corbin discusses the challenges and potential of crafting suitable U.S. policy toward Iraq.*



06.09.11 *HRH Princess Haya Al Hussein (right) describes her humanitarian work to end global poverty and hunger.*



06.16.11 *In a live satellite broadcast from New York, founding director Ambassador Edward P. Djerejian interviews Henry A. Kissinger on the former secretary of state's new book "On China."*



02.07.11 *Michael B. Oren, Israel's ambassador to the U.S., lectures on the future of Israel's economy and geostrategic position.*



10.18.10 *The Transnational China Project unveils the results of 12 years of research by fellow Steven W. Lewis (second from left) on the development of subway advertising in China, as well as how it affects and is perceived by the international community.*



05.10.11 *Conflict resolution follows Yair Hirschfeld (left) and Samih Al-Abed examine prospects for peace between Israel and Palestine.*

Debates in Texas about religion in public schools vividly illustrate the maxim that classrooms are often the front line of the “culture wars.” To address issues such as the passage of a state law mandating instruction of the Bible, the **Religion and Public Policy Program** hosted Southern Methodist University religious studies professor Mark Chancey, who spoke on “Educating for a ‘Christian America’? Bible Courses, Social Studies Standards and the Texas Controversy.”

The policy program also invited Joshua D. Hendrick of the University of Oregon to address the conflict between Turkey’s secular and Islamic forces by explaining the organizational impact of the education and business community known as the Gülen Movement. William Martin, Harry and Hazel Chavanne Senior Fellow in Religion and Public Policy, wrote about Harmony Charter Schools for Texas Monthly.

In January, Gary J. Hale, former intelligence chief of the Drug Enforcement Administration’s Houston office, joined the institute’s **Drug Policy Program** as a nonresident fellow. Hale “brings a level of empirical knowledge and practical experience that should prove extremely valuable to the institute’s drug policy,

Latin America and homeland security programs,” said Martin, who also oversees the Drug Policy Program.

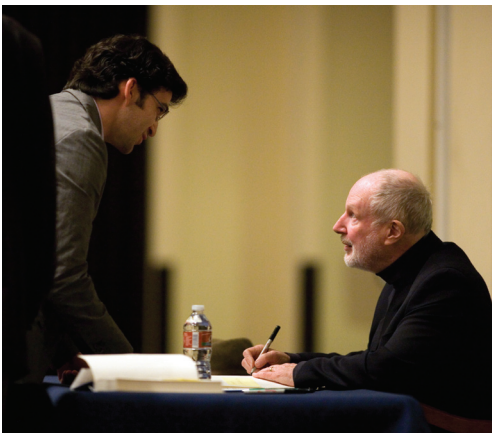
In the policy report “Cartels, Corruption, Carnage, and Cooperation,” Martin argued for the legalization of now-illicit drugs to dam the river of cash that flows to criminals and spawns violence on both sides of the border. He also wrote an influential article in support of needle exchange programs to reduce the spread of infectious diseases among intravenous drug users.

The **Homeland Security and Terrorism Program** this year examined drug-related violence from the perspective of ordinary citizens caught in the crossfire: ranchers, local police officers and small-business owners. Homeland security fellow Joan Neuhaus Schaan’s paper “Speaking Frankly: Voices from the Borderlands” featured the views of border residents in Mexico.

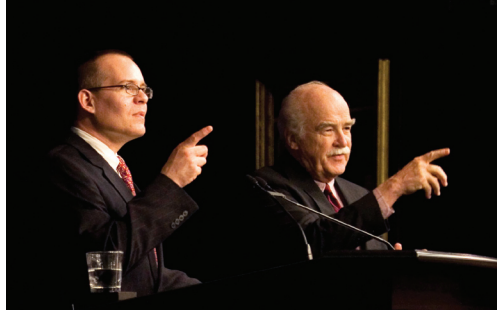
The program also hosted Lt. Gen. Frank J. Grass of the U.S. Army National Reserve and co-hosted, with the institute’s **Public Diplomacy Program**, Adm. Gary Roughead, the chief of naval operations and a member of the Joint Chiefs of Staff.



11.02.10 Chief of Naval Operations Adm. Gary Roughead details how the U.S. Navy is adapting to modern challenges, as well as responding to international humanitarian crises and natural disasters. The admiral also took time to meet privately with Rice's Naval ROTC unit.



01.27.11 Graham Fuller (seated, right), adjunct professor of history at Simon Fuller University, signs copies of his book "A World Without Islam," in which he suggests that tensions between the East and West are geopolitical, not religious, and would have arisen even in a world without Islam.



02.24.11 Southern Methodist University professor Mark Chancey (left) and fellow William Martin take audience questions during a discussion on how public schools are at the forefront of culture wars over religion.



02.09.11 Global Intelligence Strategies Inc. president James G. Conway Jr. discusses "Latin America: The Achilles' Heel in Our National Security and Counterterrorism Mission." Fellow Joan Neuhaus Schaan is pictured at right.



04.11.11 Deputy commander of U.S. Northern Command Lt. Gen. Frank J. Grass speaks with guests after his talk on homeland security and supporting civil authorities.

This past year, the Baker Institute roster of fellows expanded to include two well-known Rice University political scientists: Mark P. Jones and Robert M. Stein. In an ongoing survey of legislative voting patterns in Texas, Jones tracked every floor vote in the House of Representatives during the regular 2011 session. His goal: to determine where each legislator fell on a conservative-to-liberal political spectrum. Additionally, Jones, who is also the Joseph D. Jamail Chair in Latin American Studies, worked with Rice faculty to promote the **Latin America Initiative** across campus. Stein, the Baker Institute's fellow in urban politics, worked with energy fellow Amy Myers Jaffe on ways to increase participation in a federally subsidized weatherization program and to better understand how these programs impact energy usage — particularly in the city of Houston. A longtime Houstonian who has seen his share of hurricanes and tropical storms, Stein also studies emergency preparation and response.

As an adviser to the **Baker Institute Student Forum**, Joe Barnes, the institute's Bonner Means Baker Fellow, helped arrange private meetings for students with Baker Institute keynote speaker Paul O'Neill, the former U.S. treasury secretary, and with Ann Wright, the anti-war activist. Barnes also discussed the importance of Mexican oil for the U.S. economy in the paper "Oil and U.S.-Mexico Bilateral Relations." Like many of his posts for the Baker Institute Blog, Barnes' entry on "Our embarrassing debate over the Islamic Community Center near Ground Zero" attracted record numbers of readers and comments.

Baker Institute fellow in history Douglas Brinkley examines the political fallout from the Sept. 11, 2001, terrorist attacks in a series of three papers that also explore President George W. Bush's legacy. Brinkley also published "The Quiet World: Saving Alaska's Wilderness Kingdom, 1879-1960," an in-depth history of the U.S. government's efforts to preserve Alaska's wilderness.



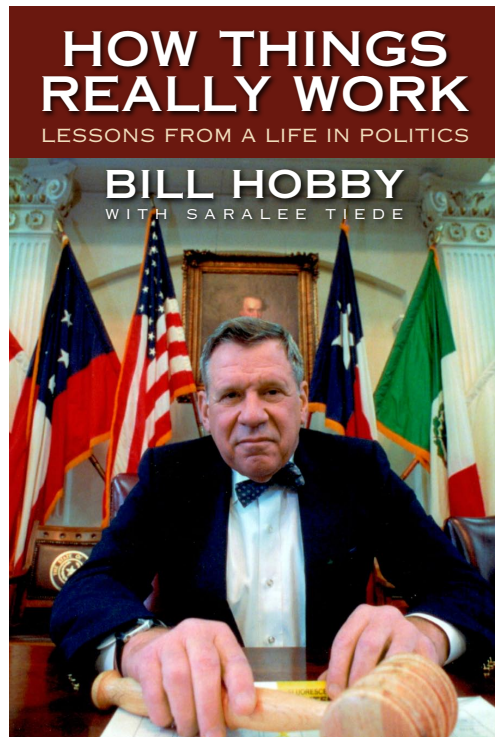
11.12.10 *Rice University alumna and Houston mayor Annise Parker (center) greets members of the Rice and Houston communities after speaking at a Baker Institute and Texas Tribune event.*



03.16.11 *Paul O'Neill, 72nd U.S. secretary of the treasury, outlines lessons the country should learn about leadership from the financial crisis.*



02.21.11 *During a luncheon address, presidential adviser and speechwriter Benjamin Rhodes (right) chronicles his journey from the classrooms of Rice University to the halls of the White House.*



10.06.10 *Former Texas lieutenant governor Bill Hobby shares his experiences in state politics at a lecture and book signing for his memoir, pictured above.*



12.03.10 *Baker Institute Rice scholar Elaine Howard Ecklund (left) discusses "Faith in the Corridors of Medicine" and the role that religion plays in health care with University of Chicago professor Farr Curlin (center) and Brandeis University professor Wendy Cadge.*

Can microfinance lending help alleviate poverty in developing countries? Will Clayton Fellow in International Economics Mahmoud A. El-Gamal's research address this question with a finance model focused on village-level, nonprofit credit unions and Islamic principles, which do not allow the charging of interest on loans. The early results are promising: On-the-ground experiments in Egypt demonstrated higher rates of microcredit use and repayment rates when compared with a Grameen Bank-style microfinance institution. The work, which is supported by the **Kelly Day Endowment on the Status of Women and Human Rights in the Middle East**, is being done in collaboration with professors at Yale University and Durham University.

The institute's **International Economics Program** invited Duke University's Timur Kuran to speak on "The Long Divergence: How Islamic Law Held Back the Middle East." Additionally, El-Gamal has written a paper on economic growth in China as part of an upcoming **Energy Forum** study.

The **Tax and Expenditure Policy Program** in January issued a report that was delivered to the White House, Treasury Department and Congress calling for the most comprehensive reform of the nation's

corporate and personal income tax system since Ronald Reagan was president. The report by John W. Diamond, the institute's Edward A. and Hermena Hancock Kelly Fellow in Public Finance, and George Zodrow, the Allyn R. and Gladys M. Cline Chair of Economics at Rice University and a Baker Institute Rice scholar, examines the 1986 Tax Reform Act, the last major update to the U.S. income tax system.

"Several recent reports on fixing our nation's fiscal tax crisis have focused attention on the need for fundamental reform of the corporate and personal income tax systems," the report's authors write. "In this paper, we argue that the conditions are right for a sweeping reform of the tax system similar to that accomplished with the landmark Tax Reform Act of 1986, although several factors may make the enactment of such a reform somewhat more difficult than in 1986."

Diamond and Zodrow were among the co-authors of a paper on the macroeconomic effects of an add-on value-added tax, prepared for the National Retail Federation. Another paper describing how earnings volatility and mobility impact different income groups, co-authored by Diamond, was published in September.



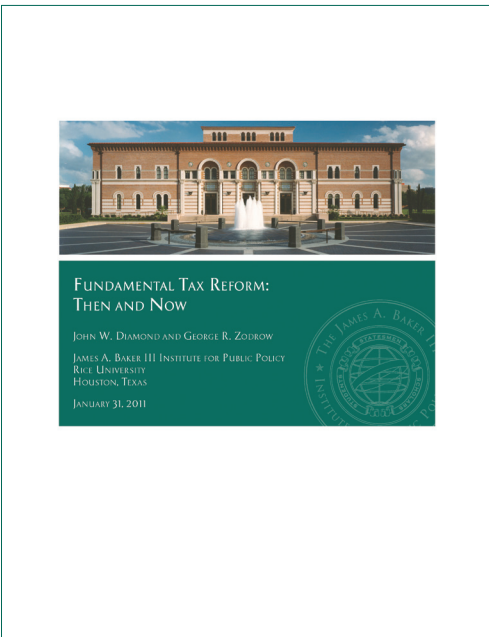
03.30.11 General Electric Company chair and CEO Jeffrey R. Immelt discusses leadership and competition as part of the Shell Distinguished Lecture Series.



10.12.10 Robert Reich, 22nd U.S. secretary of labor and author of “Aftershock: The Next Economy and America’s Future,” criticizes the increasing disparity between the rich and the poor.



04.18.11 Timur Kuran (seated), author of “The Long Divergence: How Islamic Law Held Back the Middle East,” explains how Islamic financial institutions slowed the emergence of modern economic life in the Middle East.



02.08.11 Baker Institute economists John W. Diamond and George Zodrow call for the most comprehensive tax reform since Ronald Reagan was president in the report “Fundamental Tax Reform: Then and Now.” Download the report at bakerinstitute.org/taxreform2011.



10.25.10 U.S. Senator Judd Gregg, R-N.H., presents his recommendations for long-term solutions to America’s fiscal woes.

With policymakers debating U.S. offshore drilling, North American shale gas and carbon management policies, the Baker Institute **Energy Forum** provided guidance on these important issues with timely conferences and research under the leadership of Amy Myers Jaffe, Wallace S. Wilson Fellow in Energy Studies, and Kenneth B. Medlock III, James A. Baker, III, and Susan G. Baker Fellow in Energy and Resource Economics.

The conference “U.S. Offshore Oil Exploration: Managing Risks to Move Forward” brought industry and government decision-makers together. Featured speakers included Michael R. Bromwich, director of the U.S. Bureau of Ocean Energy Management, Regulation and Enforcement.

Diezani Alison-Madueke, Nigeria’s minister of petroleum resources, and ConocoPhillips chairman and CEO James Mulva were the opening keynote speakers at the “Energy Market Consequences of an Emerging U.S. Carbon Management Policy” conference. The conference report projected the impact of U.S. carbon legislation on global liquefied natural gas export flows, sources of supply and prices.

In April, the Energy Forum hosted a conference and published a study in Mexico City on “The Future of Oil in Mexico,” which chronicles the variety of challenges facing Mexico’s oil sector and the impact of political trends in Mexico on the country’s energy policy. Pemex director general Juan José Suárez Coppel spoke, as did commodities and financial analyst Edward L. Morse.

The Mexico City conference was part of a collaboration with the Baker Institute **Latin America Initiative** and the Mexican Studies Program at Nuffield College, Oxford University. The Energy Forum is now working with the Harvard Kennedy School of Government on the “Changing Geopolitics of Natural Gas.” Another study, “The Rise of China and Its Energy Implications,” partially sponsored by The Institute of Energy Economics, Japan, will be released this December.

Other distinguished speakers this past year included HRH Prince Turki Al-Faisal Al Sa’ud, who discussed Saudi oil policy as part of the Shell Distinguished Lecture Series. The Shell Distinguished Lecture Series and Energy Forum also hosted a film screening of “Haynesville: A Nation’s Hunt for an Energy Future” followed by a panel discussion with the film’s director.



03.21.11 Energy fellows Kenneth B. Medlock III (second from left) and Amy Myers Jaffe (far right) participate in a discussion on “Haynesville: A Nation’s Hunt for an Energy Future,” part of the Shell Distinguished Lecture Series.



06.21.11 Energy fellow Amy Myers Jaffe (right) moderates a question-and-answer session with BP’s Mark Finley following a presentation of the company’s 2011 Statistical Review, an annual survey of energy market data and trends.



04.29.11 The Energy Forum joined energy experts from the U.K. and Mexico for “The Future of Oil in Mexico” study. Above, energy economist Edward Morse speaks at the conference in Mexico City.



11.11.10 HRH Prince Turki Al-Faisal Al Sa’ud speaks on Saudi Arabia’s role as a major producer of petroleum and as a key player on the world energy stage in his Shell Distinguished Lecture.



02.11.11 Michael Bromwich, director of the Bureau of Ocean Energy Management, Regulation and Enforcement speaks at the conference “U.S. Offshore Oil Exploration: Managing Risks to Move Forward.”

As Japan faced the aftermath of an earthquake and tsunami that crippled a nuclear power plant, the **Science and Technology Policy Program** and **Energy Forum** convened a panel of scientists and policy experts to discuss the health, energy and scientific implications of the crisis. Neal F. Lane, senior fellow in science and technology policy, moderated.

The year's highlights included a Civic Scientist Lecture with Nobel laureates Robert Curl, a Rice University professor emeritus and a Baker Institute Rice scholar, and Sir Harold Kroto, now a professor at The Florida State University. The policy program also published research contrasting stem cell policies in multiple countries and co-hosted a lecture on personalized genomic medicine with the Health Policy Forum and The University of Texas MD Anderson Cancer Center.

Kirstin R.W. Matthews, fellow in science and technology, worked with the Weiss School of Natural Sciences at Rice University to establish a new master's program in biosciences and health policy. She has also been appointed a lecturer.

A **Health Economics Program** policy report explored the causes and current policy perspective of "The Obesity

Epidemic," while another report, "The Role of Health Information Technology," examined implementation at health care organizations.

Health Economics Program chair Vivian Ho worked with The University of Texas Medical Branch at Galveston on a grant from the Cancer Prevention and Research Institute of Texas examining comparative effectiveness research on cancer in Texas. She was also the principal investigator with MD Anderson Cancer Center on a National Cancer Institute grant titled "Cost and Quality of Cancer Surgery." A third project with the Agency for Healthcare Research and Quality and Yale University School of Medicine assessed the impact of smoking bans on hospitalization rates for heart disease.

Ho was a panelist for "Implications of Health Care Reform for Business" at Rice's Jesse H. Jones Graduate School of Business.

The program also announced two new appointments. Former Houston health and environmental policy director Elena M. Marks was named a scholar in health policy. Starting in March 2012, Dr. John Mendelsohn, former president of MD Anderson, will be the first L.E. and Virginia Simmons Senior Fellow in Health and Technology Policy.



03.23.11 *The Science and Technology Policy Program, Partnership for a Secure America, CRDF Global and the AAAS organize a panel discussion on how science-based relationships can promote public diplomacy.*



04.08.11 *The Energy Forum and Science and Technology Policy Program gathered science, health care, energy and engineering experts, including fellows Neal F. Lane (left) and Amy Myers Jaffe (right) and Rice professor Paul Padley (second from left), to discuss the aftermath of the Fukushima nuclear crisis.*



03.03.11 *Health economics fellow Vivian Ho speaks at a panel discussion on “Implications of Health Care Reform for Business,” organized with the Jesse Jones Graduate School of Business.*



09.22.10 *David Blumenthal of the U.S. Department of Health and Human Services speaks at a Health Policy Forum conference focusing on ways that information technology improves quality of patient care and lowers overall costs.*



06.29.11 *Retiring MD Anderson president Dr. John Mendelsohn is named the institute’s first L.E. and Virginia Simmons Senior Fellow in Health and Technology Policy. His tenure at the Baker Institute will begin in March 2012 after a sabbatical at Harvard University and MIT.*



10.13.10 *Nobel laureates Robert Curl (second from left), who is also a Baker Institute Rice scholar, and Harold Kroto (fourth from left) meet with high school students as part of the Civic Scientist Program, which promotes science and technology education.*

In a year that saw high-profile cyberattacks in Iran, China and the United States, **Information and Technology Policy Program** fellow Christopher Bronk wrote a series of articles and blog posts that analyzed the role of international politics and statecraft in cybersecurity. Students in his “Craft of Intelligence” class, co-taught with Baker Institute Rice scholar Richard Stoll, got an introduction to security analyst work.

Bronk also worked extensively with Dan Wallach, an associate professor at Rice University’s Department of Computer Science, to draft an analysis of Chinese search engine filtering. Beyond campus, the policy program collaborated with the Rice Center for Engineering Leadership on a project to embed Rice engineering students with the City of Houston for research support on re-engineering of IT processes.

The institute’s **Space Policy Program** examined the history and future of U.S. spaceflight at events on the possibility of flying the space shuttle as a commercial craft and at “The Engineering, Scientific and Cultural Legacy of the Space Shuttle,” which commemorated the 50th anniversary of Soviet cosmonaut Yuri Gagarin’s historic journey into space and the 30th anniversary of the space shuttle.

Cooperative space activities on the International Space Station were among the topics at the policy program’s fifth International Space Medicine Summit. Participants included NASA administrator Charles Bolden and representatives from Canada, China, Europe, Japan, Russia, Saudi Arabia and Thailand. Also this past year, George W.S. Abbey, Baker Botts Senior Fellow in Space Policy, traveled to Saudi Arabia to further promote cooperation in this important arena.

Texas environmental policy was a key theme for Ronald L. Sass, fellow in global climate change. In separate papers, he assessed the impact of uranium mining in Texas and the steps that Texas policymakers need to take to preserve the whooping crane. Sass also had advice for Chinese policymakers in a third paper on “Reducing Nitrogen Fertilizer Use to Mitigate Negative Environmental Impact in China.”

Reaching across campus, Sass worked with experts in civil and environmental engineering, chemistry and education on issues ranging from severe storm prediction to technology.



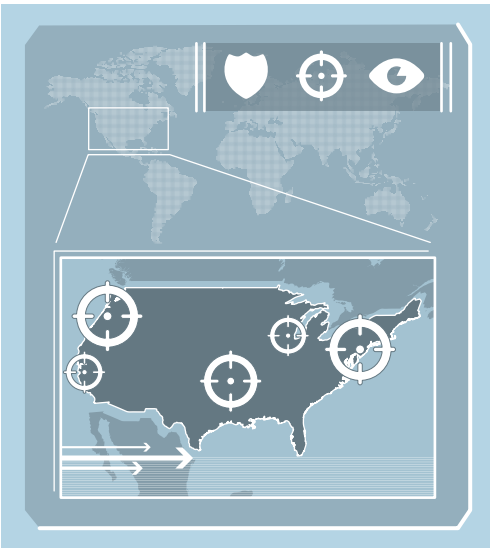
02.11.11 Climate change fellow Ronald L. Sass discusses improving the safety and effectiveness of U.S. offshore drilling at the “U.S. Offshore Oil Exploration: Managing Risks to Move Forward” conference.



05.20.11 Space exploration and medical experts participate in the Space Policy Program’s fifth annual International Space Medicine Summit.



12.16.10 Members of the Associate Roundtable, the institute’s donor group for young professionals and rising leaders, get to know information technology fellow Christopher Bronk.



08.23.10 Students attend the first day of “The Craft of Intelligence,” a Rice University course co-taught by information technology fellow Christopher Bronk and Baker Institute Rice scholar Richard Stoll. This graphic was on the flyer advertising the class.



02.15.11 Experts in human spaceflight, including space policy fellow George W.S. Abbey (seated, right), discuss the viability of flying the space shuttle as a commercial venture.

The Baker Institute works closely with Rice University and institutions around the globe to educate and nurture the next generation of policymakers. Through internships, informal meetings and other initiatives, the institute helps Rice students explore careers in public policy, or simply become better informed about important issues of the day.

This year, Baker Institute interns helped fellows and scholars research subjects as varied as energy policy, health care reform, climate change and the global financial crisis. In addition, the institute sponsored interns at government and nonprofit agencies in the nation's capital through the **Jesse Jones Leadership Center Summer in D.C. Policy Research Internship Program** and in Europe at the **French Institute of International Relations (IFRI)**. A student-led colloquium sponsored by the **Public Diplomacy and Global Policymaking Program** brought together students from Rice University and The American University in Cairo.

The student-run **Baker Institute Student Forum (BISF)** provides programming for undergraduate and graduate students across campus. Recent Student Forum highlights include debates on President Barack Obama's record on jobs and foreign policy, as well as a panel discussion on the devastating floods in Pakistan. "In my view, it's Rice at its best," said Rice sociology

professor Chandler Davidson, who attended the jobs debate along with Ambassador Djerejian and Rice president David Leebron.

Students also had the opportunity to sit down with some of the national and international leaders who spoke at institute events and conferences. Robert O. Blake Jr., assistant secretary of state for South and Central Asian affairs; HRH Prince Turki Al-Faisal Al Sa'ud; and Jeffrey R. Immelt, chairman and CEO of General Electric, all met with students in addition to giving public presentations. The institute has partnered with Rice's new Program for the Study of Leadership to establish the Baker Institute Leadership Fellowships, which offer leadership development opportunities to Rice students.

In the classroom, Baker Institute fellows and scholars taught more than three dozen classes in collaboration with faculty and departments across campus on subjects including economics, political science, sociology and history. (See page 8 for a list.)

Ambassador Djerejian — who teaches a course on contemporary U.S.-Middle East policy — observes that for students who want a better grasp of the public policy issues that will affect their lives and futures, the Baker Institute is the "perfect first stop."



02.10.11 Alan Simpson, chairman of the National Commission on Fiscal Responsibility and Reform (right) and honorary chair James A. Baker, III, engage Rice students and faculty during a question-and-answer session.



02.02.11 2011 president Lauren Baba (second from left), 2011–2012 president Miles Bugbee (second from right) and members of the Baker Institute Student Forum attend a launch party to mark the beginning of an online student journal.



04.14.11 Economics and public policy professor Gary Wolfram of Hillsdale College discusses capitalism and poverty with the Student Forum.



11.02.10 Chief of naval operations Adm. Gary Roughead meets with ROTC students at Rice before his lecture on “Navy Global Influence and the Emerging Security Environment.”



03.22.11 Debaters from across campus grade President Barack Obama’s record on jobs and job creation at this well-attended Baker Institute Student Forum event.



11.30.10 Students in the Public Diplomacy and Global Policymaking Program publish a report on the Cairo Colloquium. Download the report at bakerinstitute.org/cairopreport2010.

The James A. Baker III Institute for Public Policy’s fiscal year corresponds to that of Rice University, running from July 1 to June 30. The institute’s main expenditures include fellows’ research-related programs, events and salaries. Research-related activities include, but are not limited to, speaking series, forums and symposiums. Significant expenditures also include operating expenses such as administrative salaries and support, communications, publications, archiving and maintenance.

Part of the Rice University endowment, the Baker Institute endowment’s market value continued to rise in Fiscal Year 2011. As of Dec. 31, 2010, the Baker Institute endowment was valued at \$70,943,073. In comparison, the market value was \$65,189,763 on June 30, 2010, and \$60,854,240 on June 30, 2009. Endowment market values for June 30, 2011, were not available at the publishing deadline.

The Baker Institute operating budget for FY12, approved by Rice University, is \$5.7 million. This is compared to \$5.6 million in FY11 and \$5.1 million in FY10. This operating budget encompasses all institute research and administrative expenses. Any special capital projects or improvements will be funded through rollover balances from the institute’s savings accounts.

As in previous years, the Baker Institute relies heavily on endowment money for operating stability. Looking ahead to FY12, 59.5% of the operating budget will be funded through endowment dollars. Money from gifts will comprise 38% of the FY12 operating budget, with the remaining 1.5% funded through a combination of income from Rice University and sponsored research.



01.18.11 *The Baker Institute dedicates the Lee and Joseph D. Jamail West Wing of Baker Hall. A \$1 million gift the previous fiscal year from legendary lawyer Joe Jamail will enable the institute to expand its office space. Above, Jamail (right) jokes with his longtime friend, Baker Institute honorary chair James A. Baker, III. Below, Jamail stands (center) with Baker, Baker Institute founding director Edward P. Djerejian (far left) and Rice University president David Leebron (far right).*



Consolidated Income Statement — Fiscal Year 2011 (July 1, 2010–June 30, 2011)

(in millions of U.S. dollars)

2010 Balance Roll Forward \$ 5.96

Revenue **+ \$ 6.00**

Baker Institute Endowment Distribution	\$3.20
Revenue from Baker Institute Supporters — Corporations, Foundations and Individuals	\$2.70
Rice University Funds	\$0.10

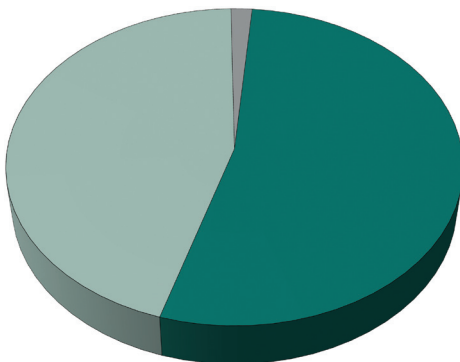
Expenses **– \$ 5.99**

Research Fellows and Programs	\$3.85
Development, Public Affairs, Communication and Administration	\$2.14

Net Income Fiscal Year 2011 **\$ 5.97**

Funding Sources — Fiscal Year 2011

(in millions of U.S. dollars)

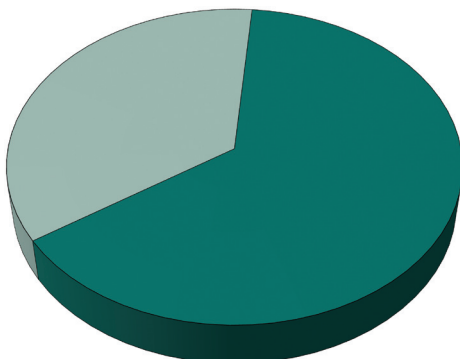


Total: \$6.00 million

- Baker Institute Endowment Distribution
\$ 3.20 (53%)
- Revenue from Baker Institute Supporters —
Corporations, Foundations and Individuals
\$ 2.70 (45%)
- Rice University Funds
\$ 0.10 (2%)

Budget Expenses — Fiscal Year 2011

(in millions of U.S. dollars)



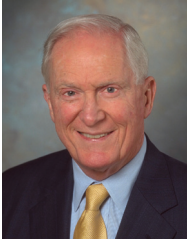
Total: \$5.99 million

- Research Fellows and Programs
\$ 3.85 (64%)
- Development, Public Affairs,
Communication and Administration
\$ 2.14 (36%)

The board of advisers is dedicated to expanding the research and public policy goals of the Baker Institute. Board members provide a valuable external perspective and sounding board for institute leadership and policy fellows, and increase the Baker Institute's visibility with their support of its nonpartisan policy research and recommendations.



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The nonpartisan Baker Institute's 34 fellows and experts produce cutting-edge research that provides the base for the institute's public policy assessments and recommendations. The institute is noted for its independent, pragmatic and forward-looking policy proposals that are disseminated to policymakers and reported in national and international publications and media. The fellows also write books and articles and hold workshops and lectures throughout the year.



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George W.S. Abbey
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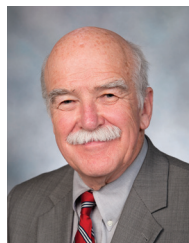
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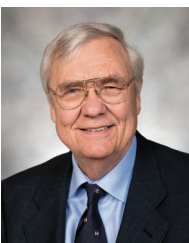
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Energy Forum Research Associate

Baker Institute Rice scholars are members of the Rice University faculty who have made significant contributions to the work of the Baker Institute. Scholars play an important role in institute research and activities by publishing papers, participating in events and collaborating with institute fellows.

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SEPTEMBER 2010

Steven W. Lewis is named the C.V. Starr Transnational China Fellow.

OCTOBER 2010

The James A. Baker Statue Foundation dedicates a statue in his honor in downtown Houston.

JANUARY 2011

The Baker Institute dedicates the Lee and Joseph D. Jamail West Wing in honor of the Houston lawyer's \$1 million gift to the institute.

FEBRUARY 2011

Rice's Ken Kennedy Institute for Information Technology awards an "Enriching Rice through Information Technology" grant to a project involving Baker Institute Rice scholar Richard Stoll and information technology fellow Christopher Bronk.

MARCH 2011

Baker Institute Rice scholar Michael Lindsay is named the eighth president of Gordon College in Boston, Mass.

APRIL 2011

Founding director Edward P. Djerejian is named a fellow of the American Academy of Arts and Sciences.

MAY 2011

Energy fellow Kenneth B. Medlock III receives the U.S. Association for Energy Economics Senior Fellow Award.

Baker Institute Rice scholar Michael Lindsay receives Rice University's Nicolas Salgo Distinguished Teacher Award.

JUNE 2011

Dr. John Mendelsohn is named the first L.E. and Virginia Simmons Senior Fellow in Health and Technology Policy.



05.14.11 *Baker Institute Rice scholar and Nobel laureate Robert Curl is awarded the Association of Rice Alumni Gold Medal.*



05.12.11 *More than 120 people attend the annual Spring Roundtable Dinner. Baker Institute founding director Edward P. Djerejian draws on his experiences as U.S. ambassador to Syria and to Israel to discuss “The Historic Dynamics of Change in the Middle East.”*

On behalf of the James A. Baker III Institute for Public Policy, we offer our gratitude for your generous support. The important public policy work done by our fellows and scholars, as well as our ability to introduce students to the world of public policy, depends on the philanthropy of individuals, foundations and corporations in Houston and around the globe.

One of the institute’s greatest strengths is its ability to reach within and beyond the university to collaborate on research, as was evident this past year in the wide array of special events our Baker Institute Roundtable and Associate Roundtable members were invited to attend. We hosted distinguished speakers including current and former heads of state, leading researchers, authors and scholars. Members were able to engage with these experts during programs and receptions, as well as by participating in question-and-answer sessions that accompanied lectures. Our donors saw their investment in action through the public policy research and programs of the institute fellows and scholars.

The appointment this year of Dr. John Mendelsohn as the first L.E. and Virginia Simmons Senior Fellow in Health and Technology Policy has enhanced our presence outside of Rice University. Dr. Mendelsohn, former president of The University of Texas MD Anderson Cancer Center, will study new health care technologies, therapeutics and best practices. He will join the institute in 2012 and develop policy recommendations to improve national and global health outcomes. The endowment, made possible by the generosity of L.E. and Virginia Simmons, demonstrates the Simmons’ commitment to the future of the institute and its health policy program.

During the past year, our board of advisers expanded from 12 to 26 members. This prestigious group of national and global leaders will engage with the institute’s leadership and policy fellows, and will contribute time and resources to promote the institute to new audiences.

As the Baker Institute’s presence on the global stage grows, we are ever mindful that it is our donors who have fueled our success. This year we received \$7.6 million in new gifts and pledges. We are honored by this generosity during this challenging economic period.

Vince McElligott
Senior Director of Development

Christene Kimmel
Director of Development

The Baker Institute would like to express gratitude for our many donors during the fiscal year from July 1, 2010, through June 30, 2011.

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The Baker Institute named endowment contributors provide permanent sustainable funds directed toward a specific purpose in support of public policy fellows, scholars, interns and programmatic initiatives.

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Direct program support allows fellows, scholars and researchers to investigate current and new research areas and creates opportunities to engage undergraduate and graduate students in the research and policy recommendation processes.

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01.18.11 *Board of advisers chair Bill Barnett greets attendees at the dedication of the Lee and Joseph D. Jamail West Wing of James A. Baker III Hall.*

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Support of the Student Forum fosters student involvement in public policy through internship opportunities, international travel, and on-campus lectures and events designed to address the questions and interests of the Rice student body.

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The Energy Forum promotes discussion and research on energy-related challenges in the 21st century. Members of the forum represent key stakeholders from leading corporations. Recent topics of research have included global energy geopolitics, energy forecasting, OPEC, alternative energy sources and energy demand trends.

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A part of the Health Economics Program, this forum facilitates the exchange of ideas between medical and policy professionals in Texas, as well as national audiences. These discussions provide the foundation for health policy research and recommendations, and foster an improved understanding of national health concerns.

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The Texas Security Forum explores the issues driving border violence, identifies challenges to the current security environment in the Western Hemisphere, and offers policy recommendations to local, state and national protection forces.

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The Roundtable is our premier membership forum, dedicated to advancing the mission of the institute. Through annual giving, members of this group foster community engagement in some of the most pressing public policy challenges, while interacting with world leaders, national decision-makers and leading researchers.

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10.26.10 *From left, Roundtable chair Beth Robertson and honorary co-chairs Y. Ping Sun and Susan Baker attend the annual Roundtable Fall Reception featuring the research fellows and scholars of the Baker Institute.*

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05.12.11 *Rice University president David Leebron addresses institute supporters at the Spring Roundtable Dinner.*

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02.23.11 *The Associate Roundtable hosts “Manufacturing War: How Peace Drives Us Crazy,” featuring journalist David Axe.*

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The Associate Roundtable is the leading membership group for young professionals and rising leaders under 45 years of age with an interest in public policy and the Baker Institute. A companion to the Roundtable, Associate Roundtable members are provided a truly unique networking opportunity with policy leaders.

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