1 Visual gene expression reveals a cone to rod developmental progression in

2 deep-sea fishes

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- 16

17 Abstract

18 Vertebrates generally use cone cells in retina for colour vision and rod cells to see in the dim 19 light. Many deep-sea fishes have only rod cells in the retina, while both rod and cone genes are 20 still preserved in their genomes. As deep-sea fish larvae start their lives in the shallow, well-lit 21 epipelagic zone, they have to cope with diverse environmental conditions during ontogeny. 22 Using a comparative transcriptomic approach in 20 deep-sea fish species from eight teleost 23 orders, we report on a developmental cone-to-rod switch. While adults mostly rely on rod opsin 24 (*RH1*) for vision in the dim light, larvae almost exclusively express mid-wavelength-sensitive 25 cone opsins (RH2) in their retinas. The phototransduction cascade genes follow a similar 26 ontogenetic pattern of cone- followed by rod-specific gene expression in most orders, except 27 for the pearleye and sabretooth (Aulopiformes), in which the cone cascade remains dominant 28 throughout development. By inspection of whole genomes of five deep-sea species (four of 29 them sequenced within this study: Idiacanthus fasciola, Chauliodus sloani; Stomiiformes; 30 Coccorella atlantica, Scopelarchus michaelsarsi; Aulopiformes), we found that deep-sea fish 31 possess mostly the rod RH1 opsin, and multiple copies of the green-sensitive RH2 opsin genes 32 in their genomes, while other cone opsin classes have been lost. Our findings provide molecular 33 support for a limited opsin gene repertoire and a conserved vertebrate pattern whereby cone

34 photoreceptors develop first and rod photoreceptors are added only at later developmental

35 stages.

36 INTRODUCTION

Vision is a primary sense used by most vertebrates for navigation, predator avoidance, 37 38 communication and to find food and shelter. At its initiation, vertebrate vision is enabled by 39 cone (photopic, colour vision) and rod (scotopic, mostly colour blind) photoreceptors in the 40 retina containing a light absorbing pigment that consists of an opsin protein covalently bound 41 to a vitamin-A-derived chromophore (Lamb 2013). The absorbance of photons by the 42 chromophore leads to a conformational change of the opsin protein, which initiates a 43 photoreceptor-specific G-protein-coupled phototransduction cascade, propagating the signal to 44 the brain (Downes & Gautam 1999, Larhammar et al. 2009, Lamb et al. 2019). It is thought 45 that the development of the visual system follows a conserved molecular pattern whereby cone specific genes are activated first before the rod molecular pathway is initiated later during 46 47 ontogeny (Mears et al. 2001, Shen & Raymond 2004, Sernagor et al. 2006). However, whether this is the case for all vertebrates and especially for those that have retinas that contain only 48 rods as adults, remains unclear. 49

50 Changes in the light environment, ecology, and phylogenetic inertia are thought to be 51 primary drivers for visual system diversity in vertebrates (Hunt et al. 2014). For example, most 52 mesopelagic deep-sea fishes (200 - 1,000 m depth), either living strictly at depth or migrating 53 to the shallows at night, have evolved visual systems that are sensitive to the dominant blue 54 light (~ 470 – 490 nm) of their environment (Turner et al. 2009). Moreover, as the daylight and 55 the bioluminescent light emitted by deep-sea critters are quickly dimmed with depth, deep-sea 56 fish visual systems have evolved peculiar morphologies to maximise photon capture including 57 barrel-eyes, reflective tapeta and the use of rod-dominated and in many cases rod-only retinas 58 that might be stacked into multiple banks (reviewed in de Busserolles et al. 2020). However, 59 most mesopelagic fishes start their lives in the shallow well-lit epipelagic zone (0 - 200 m)60 depth) (Moser & Smith 1993, Sassa & Hirota 2013). Consequently, their visual systems must 61 cope with a variety of light intensities and spectra throughout development.

62 Studies investigating the gene expression in the retina of deep-sea fishes are scarce and 63 usually focus on a selected few species (Zhang et al. 2000, Douglas et al. 2016; de Busserolles 64 et al. 2017, Musilova et al. 2019a, Byun et al. 2020). In adults, species with pure rod retinas 65 tend to only express rod opsin(s) (Douglas et al. 2016, Musilova et al. 2019a), albeit two species of pearlsides (Maurolicus spp.) have been found to express cone-specific genes (i.e., cone 66 transduction pathway and opsin genes) inside rod-looking cells (de Busserolles et al. 2017). It 67 remains unknown whether deep-sea fishes that have a low proportion of cone photoreceptors 68 69 as adults (e.g. Munk 1990, Collin et al. 1998, Bozanno et al. 2007, Pointer et al. 2007, Biagioni

70 et al. 2016) also express cone-specific genes at any stages of their lives or whether molecularly 71 these fishes rely on the rod machinery alone. To investigate whether the retinal development 72 in deep-sea fishes follows a similar cone-to-rod molecular pathway as found in other 73 vertebrates or whether some species start their lives with rod pathway activated, we set out to 74 sequence the retinal transcriptomes of 20 deep-sea fish species, including the larval stages in 75 ten species, belonging to eight different teleost orders (Argentiniformes, Aulopiformes, 76 Beryciformes, Myctophiformes, Pempheriformes, Scombriformes, Stomiiformes and 77 Trachichthyiformes). We have further investigated the genomic repertoire in five selected 78 species.

79

80 RESULTS AND DISCUSSION

81 Opsin gene repertoire in the genome. In teleost fishes, gene duplications and deletions 82 followed by functional diversification have resulted in extant species having between 1-40 83 visual opsin genes within their genomes (Musilova et al., 2019a; Musilova et al., 2021). These 84 genes are defined by their phylogeny and their spectrum of maximal sensitivity (λ_{max}) and fall 85 within five major classes, four cone opsins ('ultraviolet or UV sensitive' SWS1: 347-383 nm, 86 'blue' SWS2: 397-482 nm, 'green' RH2: 452-537 nm and 'red' LWS: 501-573 nm) and one 87 rod opsin ('blue-green' rhodopsin, RH1 or Rho: 447-525 nm) (Carleton et al. 2020). We 88 analyzed whole genomes of five deep-sea species (sawtail fish Idiacanthus fasciola, viperfish 89 *Chauliodus sloani*; both Stomiiformes; sabretooth *Coccorella atlantica*, pearleye *Scopelarchus* 90 michaelsarsi; both Aulopiformes; and fangtooth Anoplogaster cornuta; Trachichthyiformes). 91 All species possess one or two copies of the rod opsin *RH1* gene, and one to seven copies of the RH2 cone opsin (Fig. 1). All other cone opsin classes, i.e. the SWS1, SWS2 (except for the 92 93 fangtooth) and LWS are missing and have been putatively lost in evolution. This is in accord 94 with the observation that the LWS gene abundance decreases with the habitat depth (Musilova 95 et al., 2019a). Such limited genomic repertoire most likely represents an evolutionary response 96 to the deep-sea scotopic environment where also the shortest (UV-violet) and longest (red) 97 wavelengths of light get filtered out first in the water column (reviewed in Musilova et al., 98 2021, De Busserolles et al., 2020, and Carleton et al., 2020). The increased RH2 diversity 99 observed in the two autopiform species, on the other hand, illustrates the versatility of this cone 100 opsin class and confirms its dominance in various dimmer-light habitats (Musilova & Cortesi, 101 2021). Here we confirm that *RH2* is undoubtedly the most important (and often the only) cone 102 opsin gene present in deep-sea fish genomes.

103 Visual opsin gene expression. Transcriptomic sequencing of 20 deep-sea teleost species 104 revealed that deep-sea fishes mainly express rod opsins and/or green-sensitive cone opsins 105 (RH2s) in their retinas (Fig. 1, Table 1). While larvae mostly expressed RH2, adults and 106 juveniles mostly expressed *RH1* and in a few cases a combination of both. We found none or 107 very low expression of any of the other cone opsin genes: the red sensitive LWS was not 108 expressed at all, the UV sensitive SWS1 was only found in the larva of the whalefish, 109 Gyrinomimus sp. (Beryciformes), and the blue/violet sensitive SWS2 only in the larvae of the 110 whalefish, and the fangtooth, Anoplogaster cornuta (Trachichthyiformes), (Fig. 1, Table 1). 111 Differences in gene expression patterns are likely to be driven by ontogenetic transitions in 112 light habitat from bright to dim environments and also by changes in ecological demands, as 113 discussed in more detail below.

114 Similar to the opsin genes, we also detected ontogenetic differences in the expression 115 of phototransduction cascade genes (Fig. 2). Here we focused on the comparison of five species 116 from three teleost orders for which we had both larval and adult specimens available and found 117 that the cone-specific genes were mostly expressed in the larval stages (e.g., cone transducin, 118 GNAT2), while adults from three species mostly expressed rod-specific genes (e.g., rod 119 transducin, GNAT1; Fig. 2b). Hence, at the molecular level, the visual systems of deep-sea 120 fishes start out with a cone-based expression pattern. Moreover, in the fangtooth, where 121 samples from various sized specimens were available, we found that the cone-specific 122 expression was gradually replaced with the rod profile as the fish grew (Fig. 2c, Table S1). 123 This is similar to the visual development in shallower living fishes (e.g., Atlantic cod (Valen 124 et al. 2016), zebrafish (Sernagor et al. 2006)) and terrestrial vertebrates (e.g., mice (Mears et 125 al. 2001), rhesus monkey (La Vail et al. 1991)), where cone photoreceptors are first to develop, 126 followed by temporally and spatially distinct rods (Raymond 1995, Shen & Raymond 2004). 127 The cone-to-rod developmental sequence is therefore likely to be shared across vertebrates, 128 even in species that have pure rod retinas as adults.

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Ontogenetic shift in expression profiles and the transition phase. The developmental changes in the visual system we uncovered are best explained by the different habitats larval and adult deep-sea fishes inhabit. In general, deep-sea fish larvae live in the shallow epipelagic zone (Moser & Smith 1993) where ambient light levels are sufficiently high to warrant a conebased visual system. After metamorphosis, deep-sea fishes start to submerge deeper and take up a life at different depths in the mesopelagic or even bathypelagic (below 1,000 m depth) zone, where the sun- and moonlight is gradually replaced by bioluminescence as the main 137 source of light (Denton 1990). In this extremely dim environment, rods work at their best and cone photoreceptors would be obsolete for the most part at least. Rod-based vision is also 138 139 favoured in those deep-sea species that exhibit diel vertical migrations to feed in the plankton 140 rich surface layers at night (de Busserolles et al. 2020). In addition, we discovered that in some 141 species there was a switch in the type of cone-based RH2 opsin that was expressed (Fig. 1). 142 For example, in Aulopiformes, the larvae expressed an RH2 that is presumably sensitive to 143 longer wavelengths of light compared to the RH2 that was found in adults (Table 2). This 144 clearly shows that larval and adult deep-sea fishes rely on different opsin expression profiles, 145 which is similar to ontogenetic changes in opsin gene expression in diurnal shallow-water 146 fishes such as freshwater cichlids (Carleton et al. 2016) and coral reef dottybacks (Cortesi et 147 al. 2015, 2016) or between the freshwater and deep-sea maturation stages in eels (Zhang et al., 148 2000).

149 Our data furthermore suggests that the ontogenetic change in visual gene expression 150 precedes morphological changes such as metamorphosis from larva to juvenile and also habitat 151 transitions. For example, in the fangtooth, the larvae which were collected from the shallows 152 (0 - 300 m) showed increasing amounts of *RH1* expression with growth, despite displaying 153 larval phenotypes throughout (horns and small teeth; Fig. 1). A similar pattern of changing 154 opsin gene expression ahead of metamorphosis has also been reported from shallow-water fishes such as European eels (Bowmaker et al. 2008), dottybacks (Cortesi et al. 2016) and 155 156 surgeonfishes (Tettamanti et al. 2019). Interestingly, all our fangtooth larvae (incl. the smallest 157 individual with a total length of 4 mm) already expressed a small amount of RH1 (Fig. 2c). 158 Whether fangtooth start their lives with a pure-cone retina or low-levels of rod opsin expression 159 are normal even in pre-flexation larvae remains therefore unclear. In addition to the green-160 sensitive cone opsin *RH2*, the fangtooth larvae also expressed low levels of the blue-sensitive 161 SWS2, potentially conferring dichromatic colour vision to the early life stages of this species 162 (Fig. 1).

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Photoreceptor cell identities. Interestingly, two aulopiform species; the Atlantic sabretooth, *Coccorella atlantica*, and the Bigfin pearleye, *Scopelarchus michaelsarsi*, despite expressing mostly *RH1* as adults, retained a cone-dominated phototransduction cascade expression profile akin to the one found in the larval stages (Fig. 2, Table S1). This begs the question whether the photoreceptors they are using are of cone or rod nature. Initially described in snakes and geckos (Simoes et al. 2016, Schott et al. 2019) and recently also in a deep-sea fish (de Busserolles et al. 2017), it appears that the dichotomy of rods and cones is not always as clear cut as one 171 might think. For example, adult deep-sea pearlsides, Maurolicus spp. have a retina that 172 expresses ~ 99% *RH2* and ~ 1% *RH1* with corresponding cone and rod phototransduction gene 173 expressions. Their photoreceptors, however, are all rod-shaped and careful histological 174 examination has shown that these consist of a tiny proportion of true rods and a majority of 175 transmuted rod-like cones (de Busserolles et al. 2017). In the case of the pearlside, and also in 176 geckos and snakes, the opsin and phototransduction genes correspond to each other making it 177 possible to distinguish photoreceptor types at the molecular level. However, in the aulopiforms, 178 high expression of rod opsin is seemingly mismatched with high levels of cone 179 phototransduction gene expression (Fig. 2). In salamanders, the opposite pattern can be found 180 whereby a cone opsin is combined with the rod phototransduction cascade inside a rod looking 181 cone photoreceptor (Mariani 1986). Anatomically, the retina of S. michaelsarsi is composed of 182 mostly rods with low numbers of cone cells (Collin et al. 1998), while the adult retina of 183 *Evermanella balbo*, an evermannellid species related to *C. atlantica*, appears to consist of two 184 differently looking rod populations (Wagner et al. 2019). It is therefore likely, that similar to 185 what was found in pearlsides (de Busserolles et al. 2017), these fishes have a high proportion 186 of transmuted rod-like cone photoreceptors that use *RH1* instead of a cone opsin as the visual 187 pigment. Alternatively, a proportion of true rods might make use of the cone phototransduction 188 cascade. Either way, combining more stable rod opsin in a rod-shaped cell with the conespecific cascade is likely to increase sensitivity while also maintaining high transduction and 189 190 recovery speeds of cells (Baylor 1987, Kawamura & Tachibanaki 2012, Luo et al. 2020). 191 Histology, fluorescent in-situ hybridisation and ideally physiological recordings are needed to 192 ultimately disentangle the identity of photoreceptor cells in aulopiform.

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194 Evolutionary history of deep-sea fish opsins. While the majority of adult fishes relied on a 195 single *RH1* copy, three species were found that expressed multiple *RH1* copies: The Warming's 196 lanternfish, Ceratoscopelus warmingii (Myctophiformes), expressed three different RH1 197 genes, and S. michaelsarsi and the basslet, Howella brodiei (Pempheriformes), expressed two 198 copies each. Larvae and a few adult deep-sea fishes mostly expressed a single RH2 copy, except 199 for the pearleyes, Scopelarchus spp., and the Reinhardt's lanternfish, Hygophum reinhardtii, 200 which expressed three larval copies each, and the whalefish which expressed five larval copies 201 (Fig. 1).

The *RH1* and *RH2* phylogenies revealed that most deep-sea fish visual opsins cluster together by species or order (Fig. 3). For example, in the whalefish all *RH2s* are clustered together suggesting that these genes are lineage or species-specific (Fig. 3b). However, there

were a few exceptions, suggesting more ancient duplication events. In *Scopelarchus* the two *RH1* copies are not in a sister relationship and result in different clusters, suggesting that these copies originated in the common ancestor of aulopiforms or perhaps even earlier (Fig. 3a). Also, the *RH2s* in aulopiforms (*Scopelarchus*, *Coccorella*) cluster by ontogenetic stage, making it likely that the developmental switch in gene expression was already present in the aulopiform ancestor (Fig. 3b).

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212 Molecular complexity of deep-sea fish visual systems. The complexity of deep-sea fish 213 visual systems at the molecular level varied quite substantially. For example, the three 214 Stomiiformes species: The Ribbon sawtail fish, Idiacanthus fasciola, and two species of 215 viperfish, Chauliodus sloani and Ch. danae, appeared to have a very basic visual set up; these 216 fishes were found to express a single RH2 cone opsin and a single RH1 rod opsin as larvae and 217 adults, respectively (Fig. 1). On the contrary, a number of the deep-sea fish orders examined here expressed more than two opsin genes. Adult lanternfishes and basslets have rod-only 218 219 retinas but expressed multiple RH1 copies that have functionally diversified (Fig. 3). Other 220 species expressed both cone and rod opsins as adults (some aulopiform species and 221 *Scombrolabrax*), which is similar to the opsin gene expression profiles found in shallow-living 222 nocturnal reef fishes (Cortesi et al. 2020).

The most complex visual system described here was found in S. michaelsarsi. In 223 224 general, this species is known for its numerous morphological and anatomical adaptations to 225 vision in the deep-sea, including having barrel eyes with a main and an accessory retina, rods 226 that are organised in bundles, large ganglion cells and corneal lens pads (Collin et al., 1998). 227 The two copies of RH1 (RH1a and RH1b) it expressed showed high sequence diversity 228 differing in 66 amino acids, three of which are key tuning sites likely to change the spectral 229 sensitivity of the pigments via a shift in λ_{max} (Fig. 3, Tables 2 and 3) (Yokoyama 2008, 230 Musilova et al. 2019a, Yokovama & Yia 2020). This supports the findings by Pointer et al. 231 (2007) (Pointer et al. 2007) which using microsceptrophotometry (MSP) in another pearleye 232 species, S. analis, found two rod photoreceptors with different absorption maxima at 479 and 233 486 nm. The situation is less clear for the green-sensitive *RH2* opsin. While in *S. analis* cones 234 have been found in the accessory and main retinas, in S. michaelsarsi cone photoreceptors 235 appear restricted to the accessory retina alone (Collin et al. 1998). This is intriguing as it 236 suggests substantial differences in visual systems even between closely related species from 237 the same genus.

The visual ecology of deep-sea fishes. We found molecular support for deep-sea visual
adaptations on multiple levels:

241 1) Opsin gene diversity in the genome. Retinal transcriptomes in the Stomiiformes pointed 242 towards a simple visual system that expresses a single opsin gene at different developmental 243 stages (RH2 in larvae, RH1 in adults) (Fig. 1). Besides RH2, searching for visual opsins in a 244 newly sequenced (I. fasciola and Ch. sloani) and several published genomes (Musilova et al., 245 2019a), revealed that Stomiiformes are likely to have lost all other cone opsin gene families 246 (Fig. 1). For RH2, they seem to only have a single or at most two gene copies, which is 247 substantially less compared to other teleosts (Musilova et al. 2019a). The Stomiiform example, 248 therefore, shows that a decrease in light intensity and the spectrum of light in the deep-sea may 249 not only restrict gene expression at adult stages, but also lead to the loss of opsin genes 250 altogether. Similarly, a loss in opsin and other vision-related genes (e.g. otx5b, crx) has 251 previously been reported from shallow living fishes that are either nocturnal (Luehrmann et al. 252 2019), live in murky waters (Liu et al. 2019), or inhabit caves or similarly dim environments 253 (Huang et al. 2019, Musilova et al. 2019a).

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255 2) Visual gene expression. Previous work has found that the expression of the longest- (LWS -256 red) and shortest- (SWS1 - UV) sensitive opsins is reduced or absent in deeper living coral reef 257 fishes (Cortesi et al. 2020) and also in fishes inhabiting deep freshwater lakes (e.g., Hunt 1997, Sugawara et al. 2005, Musilova et al. 2019b), which is correlated with a loss of short- and long-258 259 wavelengths with depth. Accordingly, we found here that deep-sea fishes lack any LWS 260 expression even in the shallow-living larval stages (Fig. 1), which is accompanied by the loss 261 of LWS cone opsin in many deep-sea fish lineages (Musilova et al. 2019a). Similarly, SWS1 is 262 not expressed in any of the species studied, except for in the larval whalefish, and is also absent 263 from many deep-sea fish genomes (Fig. 1) (Musilova et al. 2019a). However, shallow larval 264 stages are likely to explain why all deep-sea fishes studied to date maintain at least some cone 265 opsins in their genomes (Musilova et al. 2019a).

Most deep-sea fish larvae expressed a single *RH2* gene, but the larvae of some species (fangtooth, whalefish and lanternfish) expressed multiple cone opsin genes, likely providing them with similar visual systems to the larvae of shallow-living marine (Britt et al. 2001) and freshwater species (Carleton et al. 2016), possibly aiding them in detecting residual light, discriminating brightness and/or colours. Juvenile deep-sea fishes, on the other hand, showed rod-based expression profiles also found in the adult stages (Fig. 1). This shift in opsin gene expression correlates with developmental changes in ecology. As opposed to the adults which

are exposed to a narrow and dim light environment where food is scarce, larvae typically live
in well-lit, broad spectrum shallow waters where food and predators are abundant (Moser &
Smith 1993).

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277 3) Functional adaptation in key spectral tuning sites. When multiple RH1 copies were 278 expressed, they often showed distinct differences in key amino acid sites that are likely to shift 279 the spectral sensitivities of the pigments (Fig. 3 and Tables 2 and 3) (Yokoyama 2008, 280 Musilova et al. 2019a). As such, the three different RH1s in C. warmingii differed in 15 key-281 tuning sites. Opsin gene expression together with spectral-sensitivity estimations revealed a 282 dominant rod opsin copy (RH1-1), and a shorter- (RH1-2) and a longer-shifted (RH1-3) copy 283 with lower transcript levels (Figs. 1 and 3). The MSP in C. warmingii found two distinct rod 284 types with λ_{max} values of 488 and 468 nm (Collin and Marshall 2003) corresponding most 285 likely to the RH1a and RH1b, respectively. It is possible that multiple RH1 copies are coexpressed within the same photoreceptor, something that has previously been reported for 286 287 cone opsins in shallow-water marine (Savelli et al. 2018, Stieb et al. 2019) and freshwater 288 fishes (Dalton et al. 2014, Torres-Dowdall et al. 2017). Coexpression could produce visual 289 pigment mixtures that shift photoreceptor sensitivity and enhance visual contrast, aiding in 290 predator-prey interactions or mate finding (Dalton et al. 2014). Alternatively, a third rod 291 photoreceptor type might have been overlooked during MSP, which can occur especially if the 292 cell-type is rare. While the function of having multiple rod opsins in *C. warmingii* remains to 293 be investigated, several possible benefits for a multi-rod visual system have recently been 294 proposed including that it might enable conventional or unusual colour vision in dim light, it 295 might be used to increase visual sensitivity, or enhance object's contrast against a certain 296 background (Musilova et al. 2019a).

In *H. brodiei*, the second *RH1* copy (*RH1-2*) differed in two key tuning sites, E122Q (-15 nm) and G124S (-11 nm), known to cause major short-wavelength shifts in other fishes (Yokoyama 2008). This supports the MSP measurements in its sister species, *Howella sherborni*, which found two different rod types with spectral sensitivities of 463 and 492 nm (Fig. 2) (Partridge et al. 1989).

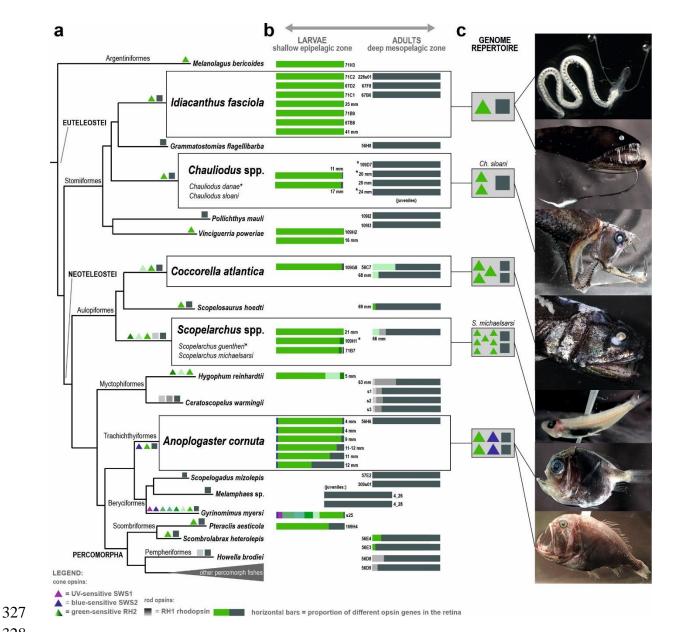
Having multiple differently tuned rod photoreceptors, one centred on the prevailing light (bioluminescence and/or ambient light ~ 480 - 490 nm) and a second one that is offset from it (i.e. the offset pigment hypothesis; Lythgoe 1966), may be beneficial to break counter illumination - a way of active camouflage in mesopelagic organisms where ventral photophores emit bioluminescent light that matches the residual down-welling light (Denton et all. 1985).

Hence, revealing an individual's silhouette could help to distinguish prey and predators from the background lighting, or visually finding mates. Apart of lanternfishes with three (or more) and basslets with two rod opsins, or exceptional cases of tube-eye (six) and spinyfin (38), the majority of the deep-sea fishes however seems to have only one rod opsin (Musilova et al., 2019a).

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313 Conclusions

314 So far, the development of deep-sea fish vision at the molecular level had not been studied and 315 only limited morphological information was available. In this study we compared opsin and 316 visual gene expression between 20 deep-sea fish species revealing a major change in expression 317 between larval and adult stages. While deep-sea fish genomes contain both cone and rod opsin 318 genes, larvae rely on the cone pathway and most adult fishes switch to a rod-dominated or rod-319 only visual system. The cone vs. rod-specific phototransduction cascade genes follow the 320 opsins in some lineages, however, not in aulopiforms. We detected limited opsin gene repertoire in the genomes of five deep-sea fish species composed only of one rod (RH1) and 321 322 one or two cone (RH2, SWS2) opsin gene classes. Interestingly, we have discovered lineage-323 specific opsin gene duplications, possibly allowing for increased visual sensitivity and certain 324 kind of colour vision in the depth in some species. Our molecular results therefore support a 325 conserved developmental progression in vertebrates whereby cones appear first in the retina 326 and rod photoreceptors are added later during development.



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329 Fig. 1: Cone and rod opsin gene expression in larval and adult deep-sea fishes. A) Transcriptomes were used 330 to characterise the opsin gene expression in the retinas of 20 deep-sea fish species, belonging to eight different 331 orders and mapped onto a simplified teleost phylogeny (topology after Betancur et al., 2017). Boxes highlight the 332 five species for which both larval and adult samples were available. B) Proportional opsin gene expression for 333 each individual (horizontal bar) at different developmental stages. Different colours correspond to cone (colours) 334 or rod (shades of grey) opsin genes, depicted as the proportional expression over the total sum of visual opsins 335 expressed. Different shades of the same colour represent multiple copies of the same gene family. Based on the 336 opsin gene expression, the larvae (left column) show a pure-cone or cone-dominated retina, while the adults (right 337 column) have a pure-rod or rod-dominated visual system. Juvenile specimens in two species had an adult 338 expression profile. Note that some species expressed multiple RH1 copies (Scopelarchus, Howella brodiei and 339 Ceratoscopelus warmingii adults) or multiple RH2 copies (Gyrinomimus sp. larva, Hygophum reinhardtii larva). 340 Notably, adults and larvae of Scopelarchus sp. and Coccorella atlantica expressed different copies of RH2 (more 341 details in Fig. 2). Details about the samples and expression levels are listed in Table 1. C) The genomic repertoire

- 342 of the visual opsins is shown for five species Idiacanthus fasciola, Chauliodus sloani, Coccorella atlantica,
- 343 Scopelarchus michaelsarsi (all this study), and Anoplogaster cornuta (Musilova et al., 2019a). The rod RH1 opsin
- 344 and the cone RH2 opsin genes are present in all studied species in one or multiple (up to seven) copies. The SWS2
- 345 opsin gene was found only in the fangtooth, and the SWS1 and LWS are missing from all five studied genomes.

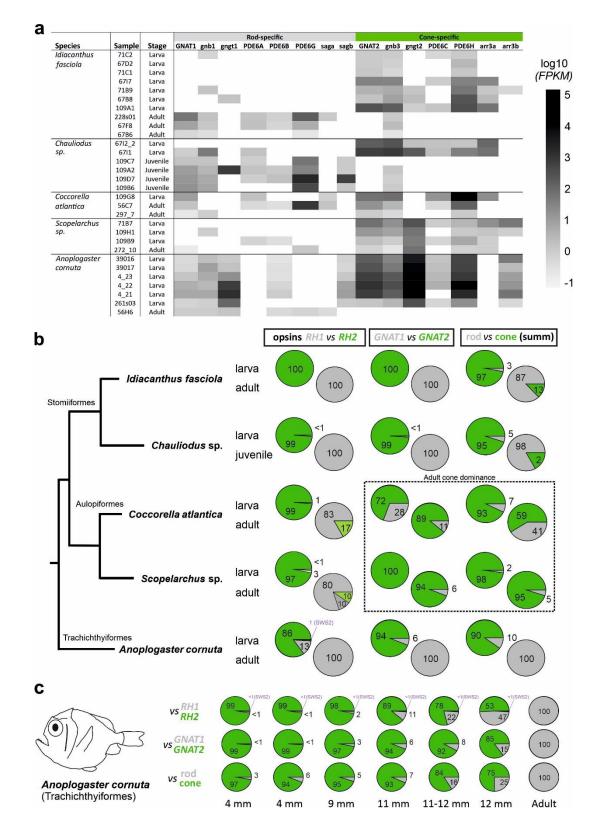
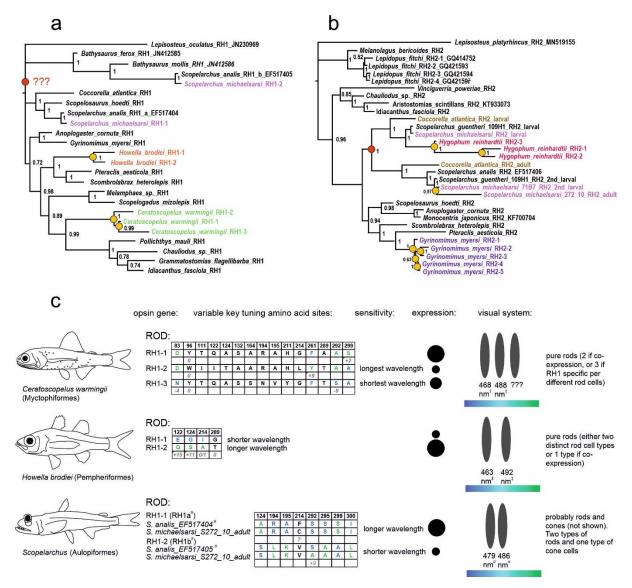


Fig. 2: Phototransduction cascade gene expression in the retina of five deep-sea fish species. A) Heat map of the
 expression of individual phototransduction cascade genes for each sample, based on normalised numbers of reads
 (FPKM). B) Pie charts comparing mean values of relative expression of the opsin genes (rod RH1 and cone RH2),
 photoreceptor-specific cascade transducin genes (rod-type GNAT1 and cone-type GNAT2), and all cascade genes
 (photoreceptor-specific transducins, arrestins and phosphodiesterases) summarized. The black square highlights

- 354 the two autopiform species with the discordance between the opsin type (rod-specific) and phototransduction
- 355 *cascade genes (cone-specific) in adults. C) Focus on the common fangtooth (Anoplogaster cornuta) transitional*
- 356 phase shown as a sequence for six larval and one adult sample; size given as standard length (SL). Note that all
- 357 fangtooth larvae expressed both RH1 and RH2, with an increasing proportion of RH1 to RH2 as the larvae
- 358 increased in size. These individuals all had traits of larval phenotypes (dorsal and ventral horns and small teeth;
- 359 *Fig. 1) and were collected relatively shallow between 0-300 m using the plankton trawls.*



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362 *Fig. 3*: Gene trees of the A) RH1 and B) RH2 opsin genes mined from the retinal transcriptomes of deep-sea

- 363 fishes. Species with multiple copies are highlighted in colour. Additional gene sequences from public databases
- 364 are listed with their GenBank accession numbers. Note the topology within Aulopiformes; the adult RH2s of
- 365 Cocorrella atlantica and Scopelarchus cluster together as do the major larval RH2s. Circles mark gene
- 366 duplication events with red circles pinpointing (A) the ancestral duplication of RH1 impacting the Scopelarchus
- 367 genus, and (B) the duplication of RH2 in the autopiform ancestor (or at least the common ancestor of
- 368 Coccorella and Scopelarchus). C) Predicted rod photoreceptor spectral sensitivities based on key spectral
- 369 tuning site mutations in species with multiple rod opsins. Multiple rod opsins differed in three species,
- 370 Ceratoscopelus warmingii (Myctophiformes), Howella brodiei (Pempheriformes) and Scopelarchus michaelsarsi
- 371 (Aulopiformes),

372 MATERIALS AND METHODS

373 Specimens used in this study were collected in the Sargasso Sea during two multipurpose 374 fishery surveys conducted by the German Thünen Institute of Fisheries Ecology onboard the 375 research vessel Walther Herwig III in March to April in 2014 and in 2017. The sampling of 376 adults occurred during both day and night at depths of 600 - 1'000 m using a mid-water pelagic 377 trawl net (Engel Netze, Bremerhaven, Germany) with an opening of 30 m x 20 m, a length of 145 m, and mesh sizes (knot to knot) from 90 cm decreasing stepwise to 40, 20, 10, 5, 4, 3, 2 378 379 cm, with a 1.5-cm mesh in the 27-m-long codend. The larvae were mostly collected using an Isaacs-Kidd Midwater Trawl net (IKMT; 6.2 m² mouth-opening, 0.5 mm mesh size; Hydro-380 Bios Apparatebau GmbH) at depths of 0 - 300 m by double-oblique transect tows. Adult fish 381 382 were flash-frozen at -80 ⁰C upon arrival on board and their fin clip was stored in 96% ethanol. Larval samples were fixed in RNAlaterTM (ThermoFisher) and stored at -80 ⁰C until further 383 384 use.

385

386 To sequence the whole genome of Idiacanthus fasciola, Chauliodus sloani, Coccorella 387 atlantica, and Scopelarchus michaelsarsi, the genomic DNA was extracted from the fin clip 388 using the DNeasy Blood and Tissue kit (Qiagen) following the enclosed protocol. The library 389 preparation and genome sequencing on Illumina NovaSeq platform (150 bp PE and the yield 390 over 20 Gb per genome) has been outsourced to the sequencing centre Novogene, Singapore 391 (https://en.novogene.com/). To analyze the opsin gene repertoire, the raw genomic reads were 392 mapped in Geneious software version 11.0.3 (Kearse et al. 2012) against the opsin references 393 (single exons of all five opsin classes from the reference species: Nile tilapia, Round goby, 394 Blind cavefish, Spotted gar), as well as against the genes found in the transcriptomes of each 395 species. The parameters have been set to the Medium Sensitivity to capture all reads that match 396 any visual opsin gene. The captured reads mapping to all exons were then remapped against 397 one reference per exon and the species-specific consensus sequence has been generated. If 398 present, multiple paralogous genes were disentangled manually and the consensus sequence 399 has been exported for each variant (see below more details for the transcriptomic analysis). The 400 obtained consensus sequences served as references for the second round of mapping, when all 401 genomic reads were again mapped with the Low Sensitivity settings, and each reference has 402 been then elongated by the overhanging sequence. This step has been repeated until the full 403 gene region has been covered. In case of *Scopelarchus michaelsarsi*, we were not able to cover 404 the full length of five out of seven RH2 genes and these are reported in two parts, one covering 405 the exon 1 and 2, and one covering exons 3, 4 and 5. All genomic data are available in the

406 GenBank database (acc. no *tba*) and the opsin gene sequences are provided as Supplementary

407 408 file 1.

409 Total RNA was extracted from the whole eyes using either the RNeasy micro or mini kit 410 (Oiagen) and the extracted RNA concentration and integrity were subsequently verified on a 411 2100 Bioanalyzer (Agilent). RNAseq libraries for 31 samples were constructed in-house from 412 unfragmented total RNA using Illumina's NEBNext Ultra II Directional RNA library 413 preparation kit, NEBNext Multiplex Oligos and the NEBNext Poly(A) mRNA Magnetic 414 Isolation Module (New England Biolabs). Multiplexed libraries were sequenced on the 415 Illumina HiSeq 2500 platform as 150 bp paired-end (PE) reads. Library construction and 416 sequencing (150 bp PE) for an additional 10 samples was outsourced to Novogene, Singapore 417 (https://en.novogene.com/). We additionally re-analyzed 11 retinal transcriptomes previously 418 published in Musilová et al. (2019a). Together, then, our dataset comprised 52 samples of 419 which, based on morphology, 25 were classified as larvae, 5 as juveniles and 22 as adults. 420 Sample IDs, number of raw reads and further parameters are listed in Table 1.

421

422 The sequence data was quality-checked using FastQC (Andrews 2010). Opsin gene expression 423 was then quantified using Geneious software version 11.0.3 (Kearse et al. 2012). For each 424 sample we first mapped the reads against a general fish reference dataset comprising all visual 425 opsin genes from the Nile tilapia, Oreochromis niloticus and the zebrafish, Danio rerio, with 426 the Medium-sensitivity settings in Geneious. This enabled us to identify cone and rod opsin 427 specific reads. If present, paralogous genes were subsequently disentangled following the 428 methods in Musilova et al. 2019a and de Busserolles et al. 2017. Briefly, we created species-429 specific references of the expressed opsin genes and their several copies (Musilova et al. 2019a) 430 and re-mapped the transcriptome reads with Medium-Low sensitivity to obtain copy-specific 431 expression levels. If multiple opsin genes were found to be expressed, we report their 432 proportional expression in relation to the total opsin gene expression (Fig. 1). We used the same pipeline to quantify expression of phototransduction cascade genes in five focal deep-sea 433 434 species (Fig. 2, Tables S1).

435

To check for key amino-acid substitutions in *RH1* and *RH2* and potential shifts in its absorbance, we first translated the opsin coding sequences into amino acid sequences, and then aligned them with the bovine *RH1* (GenBank Acc.No: M12689). We have specifically focused on the positions identified as key-tuning sites in Yokoyama (2008) and Musilova et al. (2019a).

For details, see Tables 2 and 3. Unfortunately, we were not able to estimate the exact sensitivity shift in *C. warmingii* as only four of the amino acids that were substituted at the 15 key-tuning amino acid sites corresponded with previously tested cases (Yokoyama, 2008, Musilova et al. 2019a). Out of the three copies, *RH1-2* has three out of four longer-shifting amino acid variants in these four sites and we assume is therefore red-shifted. *RH1-1* is most likely sensitive to 488 nm, and *RH1-3*, being the shortest, to 468nm.

446

In order to properly estimate the λ_{max} , we have further checked the samples for expression of the *Cyp27c1* gene, the factor assuming conversion of the A1 retinal to A2. Expression of *Cyp27c1* would assume that opsin protein bounds to the A2 instead of A1, which would cause a maximum shift of ca 25-30 nm (Enright et al. 2015). The expression of this gene has not been detected suggesting only A1 retinal in the studied species of the deep-sea fishes (Table S2).

452

453 A dataset containing *RH1* opsin gene sequences from our transcriptomes, and additional *RH1*s 454 obtained from GenBank (link to NCBI) were aligned using the MAFFT (Katoh et al. 2009) 455 plugin as implemented in Geneious and a phylogenetic tree was subsequently reconstructed 456 using MrBayes v3.2.1 (Ronquist & Huelsenbeck 2003) (Fig. 2). Trees were produced using the 457 Markov chain Monte Carlo analysis which ran for 1 million generations. Trees were sampled 458 every 100 generations, and the printing frequency was 1000, discarding the first 25% of trees 459 as burn-in. The evolutionary model chosen was GTR model with gamma-distributed rate 460 variation across sites and a proportion of invariable sites. Posterior probabilities (PP) were 461 calculated to evaluate statistical confidence at each node. We used the same approach with an 462 RH2-specific reference dataset to reconstruct the phylogenetic relationship between the 463 transcriptome-derived deep-sea RH2 genes (Fig. 3).

464

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466

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Table 1: Samples used in the study and results of the opsin gene expression in the eyes or retina

species	order	stage	code	size	date of collection	raw reads	reads after bacteria filtering	RH1	RH2	SWS	l SWS2	accession number
Anoplogaster cornuta	Trachichthyiformes	Larva	39016	4mm		36,373,372	36,125,630	<0.01	0.99		< 0.01	
		Larva	39017	4mm		34,712,538	34,604,364	<0.01	0.99		<0.01	
		Larva	4_23	9mm		41,592,840	41,519,070	0.02	0.98		<0.01	
		Larva	4_22	11mm			74,990,214		0.89		<0.01	
		Larva	4_21	11-12 mm			28,869,552		0.78		<0.01	
		Larva	261s03	12mm			48,457,472		0.53		<0.01	
		Adult	56H6				11,635,610					
Ceratoscopelus warmingii	Myctophiformes	Adult	300s03	63mm		49,078,974	44,114,639					
								0.01 ^{RH1-2}				
								0.33 ^{RH1-3}				
		Adult	S1			8,796,786	8,789,961	0.94 ^{RH1-1}				
								0.02 ^{RH1-2}				
								0.04 ^{RH1-3}				
		Adult	S2			8,655,248	8.649.342	0.93 ^{RH1-1}				
						-,,	-,	0.02 ^{RH1-2}				
								0.02 0.05 ^{RH1-3}				
		ال ال	62			7 004 744	7 000 647	0.03 0.94 ^{RH1-1}				
		Adult	S3			7,904,714	7,899,617					
								0.01 ^{RH1-2}				
								0.05 ^{RH1-3}				
Chauliodus sloani	Stomiiformes	Larva	6712_2	11mm			34,371,394		0.99			
		Larva	6711	17mm			20,683,764		0.99			
		Juvenile		20mm			24,835,646					
Chauliodus danae	Stomiiformes	Juvenile		24mm			32,834,300					
		Juvenile		20mm			25,344,182					
		Juvenile					18,533,484		PH2-1			
Coccorella atlantica	Aulopiformes	Larva	109G8				26,359,060		0.99 ^{RH2-1}			
		Adult	56C7			36,794,070	36,790,854	0.69	0.31 ^{RH2-2}			
		Adult	297_7	68mm		43,948,534	40,511,279	0.96	0.04 ^{RH2-3}			
Grammatostomias flagellibarba	Stomiiformes	Adult	56H8			9,775,520	9,742,864	1				
Gyrinomimus myersi	Beryciformes	Larva	S25			19,270,096	19,122,986	<0.01	0.45 ^{RH2-1}	0.07	< 0.01	
									0.13 ^{RH2-2}			
									0.08 ^{RH2-3}			
									0.11 ^{RH2-4}			
									0.15 ^{RH2-5}			
Howella brodiei	Pempheriformes	Adult	56D8			56 905 264	43,936,178	0.17 ^{RH1-1}	0.15			
	remplicitionnes	/ laune	5000			50,505,204	43,330,170	0.17 0.83 ^{RH1-2}				
						c1 000 c7.	C4 500 070					
		Adult	56D9			61,909,674	61,590,270					
								0.93 ^{RH1-2}				
Hygophum reinhardtii	Myctophiformes	Larva	6712_1	5mm		17,222,626	17,122,880		0.26 ^{RH2-1}			
									0.68 ^{RH2-2}			
									0.06 ^{RH2-3}			
Idiacanthus fasciola	Stomiiformes	Larva	71C2			23,382,468	23,379,360		1			
		Larva	67D2			6,647,384			1			
		Larva	71C1				23,497,715		1			
		Larva	6717	25mm			25,701,768		1			
		Larva	71B9				43,633,424		1			
		Larva	67B8				28,313,024		1			
		Larva	109A1	41mm			27,818,750	L	1			
		Adult	228s01				21,622,274					
		Adult	67F8				15,739,004					
A 4 - 1	D	Adult	67B6				15,439,954					
Melamphaes sp.	Beryciformes	Juvenile					38,534,507					
Melanolagus hericoidos	Argontiniformos	Juvenile	_				35,431,421	L_	1			
Melanolagus bericoides Pteraclis aesticola	Argentiniformes	Larva	71H3 109H4				26,210,402	0.22	1 0.78			
Pteraclis aesticola	Scombriformes Stomiiformes	Larva Adult	109H4 109I2				19,870,484 54,875,747		0.76			
Pollichthys mauli	Stommonilles	Adult	10912				54,875,747 67,082,709					
Scombrolabrax heterolepis	Scombriformes	Adult	,56E3			65,770,014		0.98	0.02			
seembrondbrak neterolepis	acomonionnes	Adult	,56E4				60,472,479		0.02			
Scopelarchus guentheri	Aulopifoformes	Larva	,50L4 109H1				37,416,486		0.96 ^{RH2_larval}			
guenalen			100111			J.,J-2,002	5., 110,400		0.96 - 0.04 ^{RH2_2nd_larval}			
Scopelarchus michaelsarsi	Aulopifoformes	Larva	109B9	21mm		9,971,250	9 919 1/19		1 ^{RH2_larval}			
scoperarenas michaelsarsi	alophotomies			2111111				10.01 RH1a				
		Larva	71B7			43,695,620	43,682,534					
								<0.01 ^{RH1b}				
		Adult	272_10	66mm		45,565,202	43,729,338	0.80 ^{RH1a}	0.10^{RH2_adult}			
								0.10 ^{RH1b}				
Scopelogadus mizolepis	Beryciformes	Adult	,57E2			5,160,876	5,160,876	1				
		Adult	300s01				15,420,650	1				
Scopelosaurus hoedti	Aulopiformes	Adult	297_9	69mm			42,248,053		0.02			
Vinciguerria poweriae	Stomiiformes	Larva	109H2				25,755,100		1			
vincigacina powenae							, -					

Table 2: key-tuning amino acid sites in the cone opsin RH2 gene

species	order	83	122	207	255	292	lmax	ref.
Bovine RH1		D	Е	М	I	А	500 nm	41
Ancestral teleost		D	Q	М	Ι	А	488 nm	42
Melanolagus bericoides	Argentiniformes	G	Q	•	V	•		
<i>Coccorella atlantica</i> adult	Aulopiformes	G	Q	•	•	•		
<i>Coccorella atlantica</i> larval	Aulopiformes	G	Q	•	V	•		
Scopelarchus michaelsarsi adult	Aulopiformes	?	Q	Ι	С	Т		
Scopelarchus guentheri larval	Aulopiformes	G	Q		V	•		
Scopelarchus michaelsarsi larval	Aulopiformes	G	Q	•	V		505 nm	21
Scopelarchus guentheri 2nd larval	Aulopiformes	G	Q	•	С	•		
Scopelarchus michaelsarsi 2nd larval	Aulopiformes	G	Q	•	С	•		
Scopelosaurus hoedti	Aulopiformes	G	Q	•		•		
Gyrinomimus myersi RH2-1	Beryciformes	G	Q	•	F	•		
Gyrinomimus myersi RH2-2	Beryciformes	G	Q	L	F	•		
Gyrinomimus myersi RH2-3	Beryciformes	G	Q	L	F	•		
Gyrinomimus myersi RH2-4	Beryciformes	G	Q	L	F	•		
Gyrinomimus myersi RH2-5	Beryciformes	G	Q	L	F	•		
Lepisosteus platyrhincus	Lepisosteiformes	G		•	•	•		
Hygophum reinhardtii RH2-1	Myctophiformes	G	Q	•	V	•		
Hygophum reinhardtii RH2-2	Myctophiformes	G	Q	•	V	•		
Hygophum reinhardtii RH2-3	Myctophiformes	G	Q	•	V	•		
Lepidopus fitchi RH2-1	Scombriformes	G		•	V	•	496 nm	42
Lepidopus fitchi RH2-2	Scombriformes	G	Q	•	V	•		
Lepidopus fitchi RH2-3	Scombriformes	G	Q	•	V	•	506 nm	42
Lepidopus fitchi RH2-4	Scombriformes	G	Q	•	V	•		
Pteraclis aesticola	Scombriformes	G	Q	L	•	•		
Scombrolabrax heterolepis	Scombriformes	G	Q	•	•	•		
Aristostomias scintillans	Stomiiformes	G	Q	L	V	•	468 nm	42
Chauliodus sp.	Stomiiformes	G	Q	•	F	•		
Grammastomias flagellibarba	Stomiiformes	G	Q	•	•			
Idiacanthus fasciola	Stomiiformes	G	Q	•	V	•		
Vinciguerria poweriae	Stomiiformes	G	Q	•	F	•		
Anoplogaster cornuta	Trachichthyiformes	G	Q					

Table 3: key-tuning amino acid sites in the rhodopsin RH1 gene

Species	Order	83	90	96	102	111	113	118	122	124	132	164	183	188	194	195	207	208	211	214	253	261	265	269	289	292	295	299	300	317	lmax	ref
bovine RH1		D	G	Y	Y	Ν	Е	Т	Е	А	А	А	М	G	Ρ	Н	М	F	Н	1	М	F	W	А	Т	А	А	А	V	М	500 nm	41
ancestral teleost RH1		D	G	Y	Y		Е	т	Е	А	А	А	М		L	Ν	М	F	н	1	М	F	w	А	т	А	А	А	L	М		16
Bathysaurus ferox	Aulopiformes	Ν					•	•		S	•				R	Α				•	•			•	•	S	•	Т	L	•	481 nm	50
Bathysaurus mollis	Aulopiformes	Ν								S					R	А								т		S		т	L		479 nm	50
Coccorella atlantica	Aulopiformes	Ν				S			М						R	А				С							S		1		480 nm‡	64
Scopelarchus spp. RH1-1	Aulopiformes	Ν													R	А				С						S	S	S	1		486 nm	21
Scopelarchus spp. RH1-2	Aulopiformes	Ν								S					L	К				V						S			L		479 nm	21
Scopelosaurus hoedti	Aulopiformes														R	А										S	S	S	1			
Gyrinomimus myersi	Beryciformes	Ν													R	А										S			1			
Melamphaes sp.	Beryciformes								Q		S				R	V				G				S				S	1			
Scopelogadus mizolepis	Beryciformes								Q	G	S				R	V				G				т				S			488 nm [§]	64
Lepisosteus oculatus	Lepisosteiformes								Q	S					L	К				L		Υ		G					L			
Ceratoscopelus warmingii RH1-1	Myctophiformes					т			Q		S				R	А				G								S	1		?468 nm†	50
Ceratoscopelus warmingii RH1-2	Myctophiformes			w		1			1	Т					R	А				V		Υ							1		prob. 488 nm†	50
Ceratoscopelus warmingii RH1-3	Myctophiformes					А			Q		S	S			Ν	v			Y	G						S			1		?468 nm†	50
Hygophum reinhardtii	Myctophiformes					А			Q						R	А				G									1			
Howella brodiei RH1-1	Pempheriformes	Ν								G					R	А									G	S	S	S	1			
Howella brodiei RH1-2	Pempheriformes	Ν							Q	S					R	А				А						S	S	S	1			
Pteraclis aesticola	Scombriformes								Q						R	А												S	1			
Scombrolabrax heterolepis	Scombriformes								Q						R	А								S		S		S	1			
Chauliodus spp.	Stomiiformes	Ν								G					R	А				v					А	S			L	V	484 nm	50
Grammatostomias flagellibarba	Stomiiformes	Ν													R	А				V						S			L		480 - 487 nm	65
Idiacanthus fasciola	Stomiiformes	Ν													R	А										S			L		485 nm	50
Pollichthys mauli	Stomiiformes	Ν													R	А										S			L			
Anoplogaster cornuta	Trachichthyiformes	Ν													R	А									т	s		s	1		485 nm	50

two pigments reported without assignment to the gene; see also Figure 3
 for Evermannella balba; sequence not available
 § = for Scopelogadus beani; sequence not available