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4	spandrels in <i>Erica</i>
5	spanneren in 2000
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34	

35 Summary:

- Three hypotheses can explain floral modularity: the attraction-reproduction, the
 efficiency, and the developmental hypotheses.
- 38 In order to test these hypotheses and understand if pollination specialisation and • 39 pollination syndrome influence floral modularity, we focussed on the genus *Erica*: we 40 gathered 3D data from flowers of species with diverse pollination syndromes via 41 Computed Tomography, and analysed their shape via geometric morphometrics. In 42 order to provide an evolutionary framework for our results we tested the evolutionary 43 mode of floral shape, size, and integration under pollination syndrome regimes, and -44 for the first time- reconstructed the high-dimensional floral shape of their most recent 45 common ancestor.
- 46 • We demonstrate, for the first time, that the modularity of generalist flowers depends 47 on development and that of specialists is linked to efficiency: in bird syndrome flower, 48 efficiency modules were associated with pollen deposition and receipt, whereas in 49 long-proboscid fly syndrome, they were associated with restricting the access to the 50 floral reward. Only shape PC1 showed selection towards multiple optima, suggesting 51 that PC1 was co-opted by evolution to adapt flowers to novel pollinators. Whole floral 52 shape followed an OU model of evolution, and demonstrated relatively late 53 differentiation.
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- 55

• Flower shape modularity thus crucially depends on pollinator specialisation and class.

56 Keywords: developmental modularity, efficiency, flower shape, integration, modularity,
57 pollination syndrome, spandrel.

58 Introduction:

59 From the bacterial flagellum (McAdams et al., 2004) to the skull shape of dinosaurs (Fabbri et 60 al., 2017), modular organisation pervades life's phenotype (Wagner et al., 2007). Modules are 61 subsets of traits that are integrated (i.e. they tend to vary in a coordinated manner) that vary 62 relatively independently from other such subsets (Klingenberg, 2014). Relative independence 63 of modules allows for evolutionary tinkering to take place in one module without much 64 affecting the other (Alon, 2003; Kirsten & Hogeweg, 2011). Modular organisation is thus not 65 only a key feature of the structural complexity of life, but also a key feature for its 66 evolvability (Wagner et al., 2007). Theophrastus' observation, twenty three centuries ago, that 67 "repetition is of the essence of plants" (Theophrastus & Hort, 1916) is underlain by plants' 68 non-conformity to Weissman's doctrine of separation of soma and germ (Weismann, 1892): 69 the indefinite developmental totipotency of meristematic plant cells allows for the modular 70 construction of plants by continuous organogenesis and the repeated production of 71 homologous structures (Herrera, 2009). However, despite the fundamentally modular 72 structure of plants (see Ottaviani et al. 2017 and references therein), historically, most studies 73 of modularity have, and still are, focussed on animals (Klingenberg, 2014; Esteve-Altava, 74 2017)(see Notes S1). In her seminal work, Raissa Berg hypothesised that the variation of 75 traits in specialised flowers is largely uncorrelated with that of vegetative traits (Berg, 1960), 76 i.e. that vegetative and reproductive traits form independent modules, which are themselves 77 highly integrated (Wagner & Altenberg, 1996). Because different floral traits can experience 78 different selection pressures, Berg's hypothesis can be expanded to include modules of traits 79 within the flower (Ordano et al., 2008; Diggle, 2014; Armbruster & Wege, 2018). 80 Accordingly, the following explicit hypotheses of flower modularity have been advanced. The 81 first hypothesis is the *attraction-reproduction modularity hypothesis*; this hypothesis proposes 82 that flowers are divided into a module of attraction comprising the petals and the sepals, and a 83 module of reproduction comprising the stamens and the carpels (Esteve-Altava, 2017), see 84 Fig. 1a. The second general hypothesis is the *efficiency modularity hypothesis*, which 85 proposes that flowers are divided into a module efficiency that comprises parts from different 86 organs that effect reproduction (constriction of floral tube, pollen sacs of the stamens, stigma 87 of the carpels, etc.), and a module of attraction (e.g. showy part of petals)(Diggle, 2014). This 88 hypothesis has been supported by multiple studies (Herrera, 2001; Fenster *et al.*, 2004; 89 Pigliucci & Preston, 2004; Carvallo & Medel, 2005; Pérez et al., 2007; Bissell & Diggle, 90 2008; Ordano et al., 2008; Bissell & Diggle, 2010; Fornoni et al., 2015; Heywood et al., 91 2017; Armbruster & Wege, 2018). Efficiency hypotheses can comprise modules of pollen

92 deposition and receipt (see efficiency 1 in Fig. 1b), or modules involving putative pollinator

93 filters such as corolla aperture (see efficiency 2 in Fig. 1c).

94 The abovementioned two hypotheses fail to incorporate the specificities of flowers and their

95 fundamental difference from animal structures. Modules in animals are typically searched for

96 on different parts of the same organ, such as the skull (e.g. (Drake & Klingenberg, 2010;

97 Bardua et al., 2019)), the jaw (e.g. (Hulsey et al., 2006)), or the wing (e.g. (Klingenberg et al.,

98 2010; Chazot *et al.*, 2016)), whereas flowers are complexes of fundamentally different organs

99 performing fundamentally different functions, such as protection from predators (i.e. sepals),

100 sexual attraction (i.e. petals), male reproduction (i.e. stamens), and female reproduction (i.e.

101 carpels). Moreover, despite their current functional association, these organs have (mostly)

102 evolved from different progenitors and most likely without functional association for ca. 125

103 million years, from the origin of seed plants to that of flowering plants (Morris *et al.*, 2018).

104 We thus propose a third explicit hypothesis of modularity in flowers: *the developmental*

105 *modularity hypothesis* proposes that floral modularity is dominated by developmental factors,

106 i.e. that each organ class (sepal, petal, stamen, and carpel) forms its own module (see Fig. 1d).

107 The converse of floral modularity, the tendency of groups of features to be independent from

108 each other, is whole-flower integration, the tendency for all the features of the flower to co-

109 vary. Whole-flower integration level has been hypothesised to vary according to pollination

110 system (see below).

111 Flowers are pollinated by organisms that differ greatly in their morphology and sensory

112 systems (see e.g. (Kelber & Jacobs, 2016)). This has led to convergences in the floral

113 morphology of species pollinated by the same group(s) of animals, as described in the

pollination syndrome hypothesis (Vogel, 1954; Grant & Grant, 1965; Stebbins, 1970;

115 Johnson, 2006). Syndromes can be divided into specialised syndromes (pollination by one

116 group of pollinators), and generalised syndromes (pollination by several groups of

117 pollinators). In flowers with specialised syndromes, we expect to observe support for different

118 versions of the *efficiency modularity* (see, e.g. (Diggle, 2014)), depending on pollinator class.

119 In generalist flowers, however three main hypotheses have been advanced to explain how

120 pollinators affect floral shape (Aigner, 2001; Sahli & Conner, 2011; Joly et al., 2018), each of

121 which would lead to different floral modules. (1) The "trade-off" hypothesis (Aigner, 2001;

122 Aigner, 2006; Sahli & Conner, 2011) suggests that a change in trait that increases the fitness

123 contribution of one pollinator will decrease the fitness of another. This model predicts that

selection by multiple pollinators in multiple directions would cancel each other out, resulting

125 in weak or absent *efficiency modularity*, in which case *developmental modularity* should be

126 observed instead. (2) The "trait specialisation" hypothesis (Sahli & Conner, 2011) proposes 127 that individual traits are under selection by a subset of pollinators, resulting in flowers that 128 possess different traits adapted to different pollinators (which predicts several, well-defined 129 efficiency modules). (3) The "common shape" hypothesis (Sahli & Conner, 2011) implies that 130 the different pollinators all select for a common shape, which also predicts the existence of 131 efficiency modules. 132 Specialisation and pollinator groups have been hypothesised and shown to also influence 133 whole-flower integration (hereafter only referred to as "integration"). That flowers are highly 134 integrated organ complexes has become a paradigm among floral biologists (Stebbins, 1950; 135 Faegri & Van Der Pijl, 1966; Stebbins, 1970; Ordano et al., 2008), as is the hypothesis that 136 specialised flowers are more highly integrated than generalist flowers because specialised 137 pollination is expected to drive the evolution of precise, highly coordinated (integrated) floral 138 traits (Armbruster et al., 1999; Pérez et al., 2007; Rosas-Guerrero et al., 2011; Ellis et al., 139 2014; Gomez et al., 2014; Gomez et al., 2016). Support for this hypothesis has been provided 140 (Meng et al., 2008; Rosas-Guerrero et al., 2011; Gomez et al., 2014); however, support for 141 the opposite hypothesis has unexpectedly also been provided (Armbruster *et al.*, 1999; 142 Edwards & Weinig, 2011; Joly et al., 2018). Moreover, the group of pollinators possibly also 143 determines the magnitude of integration of the flowers (Pérez-Barrales et al., 2007; Gomez et 144 al., 2014; Pérez-Barrales et al., 2014; González et al., 2015). 145 Natural selection is an optimising mechanism that increases the accuracy of complex traits, 146 increasing their precision and decreasing their variation (Bell, 1997; Hansen et al., 2006; 147 Gomez et al., 2016). In specialist flowers, floral shape and size should show evidence of 148 stabilising selection around an optimal shape and size adapted to its pollinator, whereas in 149 generalists, the trade-off hypothesis predicts relaxed selection constraints (Johnson & Steiner, 150 2000), and both the trait specialisation hypothesis and the common shape hypothesis (Sahli & 151 Conner, 2011) predict selection similar to that present in specialists. Therefore, from a macro-152 evolutionary perspective, if floral shape, size, and integration are affected by pollination 153 syndromes, we would expect that within a lineage where a number N of pollination 154 syndromes evolved repeatedly, the evolution of these floral parameters follows a natural 155 selection model such as an Orstein-Uhlenbeck (OU) process with N optima. Alternatively, if 156 floral shape, size, and integration are not affected by pollination syndromes, we would expect 157 that the evolution of these floral parameters follows a drift-like model such as the Brownian 158 Motion (BM) process instead.

159 To our knowledge, no study has yet tested if floral modules change with pollinator groups; if 160 modularity type changes with specialisation, and what the process of evolution of 3D shape, 161 size, and integration in a system with convergent evolution of pollinator systems is. To 162 answer these questions and test these hypotheses requires a study system in which convergent 163 evolution of specialist pollination systems occurred, and that also contains species with 164 generalist pollination; such a system should also possess a constant floral bauplan in order to 165 rigorously homologise structures. *Erica* is such a system: it is a large genus of ca. 800 species 166 mostly distributed in South Africa (Pirie et al., 2016). Within the many South African 167 members of the genus, evolution of pollination via birds and long-proboscid flies (LPF) has 168 possibly repeatedly taken place (Pirie et al., 2011), whereas generalist pollination syndrome 169 has been found to be prevalent in European species (see Table 1). Moreover, the flowers of 170 *Erica* have consistently the same, 4-merous bauplan with mostly 8 stamens (Stevens *et al.*, 171 2004). Erica is thus the ideal system to test the effects of pollinator shifts on floral 172 modularity. 173 In order to test the abovementioned modularity and macro-evolutionary hypotheses, we 174 generated 3D models of *Erica* flowers, the shape of which we digitised using geometric 175 morphometric landmarks. We then used this shape dataset to test our different modularity 176 hypotheses in *Erica* flowers (attraction-reproduction, developmental, and efficiency 1 and 2) 177 in flowers with different pollination syndromes. We used phylogenetic reconstructions to test 178 if floral parameters (shape, size, and integration) evolved under selection driven by 179 pollination syndromes or randomly. We thus aim to understand: (1) The relative importance 180 of the components of floral shape and size in predicting pollination syndromes (2) How floral 181 shape modularity changes with pollination syndromes and floral specialisation (3) The 182 possible evolutionary patterns of floral shape in Erica (4) The relative roles of natural 183 selection models (i.e. Ornstein-Uhlenbeck) and drift-like models (i.e. Brownian motion) in 184 explaining the evolution of floral shape, size, and integration in respect to pollination 185 syndromes. 186 187 **Materials and Methods**: 188 *Plant material*

189 We analysed ca. 10 flowers each from a single genotype representing nineteen species of

190 *Erica* from the collections of the greenhouses of the Belvedere Garden (Austrian Federal

191 Gardens). We selected species based on their diversity in pollination syndrome (generalist,

bird, long-proboscid flies, and wind) and broadly representative phylogenetic position.

- 193 Although limited, our selection contains both older European lineages and species from the
- 194 more recently diversified and species rich South-Western Cape Clade as defined by (Pirie et
- 195 *al.*, 2011; Pirie *et al.*, 2016), see Method S1 and Table 1 for details.
- 196 X-ray tomography
- 197 Flowers were contrasted, mounted, and scanned according to (Staedler et al., 2013). See
- 198 Methods S1 and Table S1 for details.
- 199 3D-landmarking & Geometric Morphometrics
- 200 Geometric morphometric landmarking was carried out on isosurface models in AMIRA.
- 201 Thirty-three homologous landmarks were placed on each flower (see Fig. **2a-c**, Table S2).
- 202 Landmark coordinates were exported as csv-files, concatenated, and imported in MorphoJ
- 203 1.06d (Klingenberg, 2011). Procrustes fit, and calculation of the covariance matrix, Principal
- 204 Component Analysis (PCA), modularity analyses, and allometric regressions were performed
- in MorphoJ. See Methods S1 for details.
- 206 Pollination syndrome prediction
- 207 Given the scarcity of direct evidence for pollinators of particular *Erica* species, we relied on
- visitor data, combining published observations of populations in the wild (eight species, see
- table 1) with our own of individuals in cultivation (one species, see table 1), to assess
- 210 pollination syndrome. Species with flowers observed to be visited by birds and long-
- 211 proboscid flies (LPF) (see Table 1) were classified into the specialised bird and LPF
- 212 syndrome. Wind pollination was documented in one species, which was then classified into
- the wind syndrome. Species with flowers that were observed to be visited by several groups
- 214 of insects that could pollinate the flowers were classified into the generalist syndrome. Using
- these observations, we identified the floral shape and size components discriminating among
- 216 pollination types using a random forests (RF) classification algorithm (Breiman, 2001). See
- 217 Methods S1 for details.
- 218 Modularity analysis
- 219 We used the RV coefficient method of Klingenberg (Klingenberg, 2009), implemented in
- 220 MorphoJ (Klingenberg, 2011) to test our modularity hypotheses. The methodology uses the
- 221 RV coefficient, a multivariate generalisation of the squared Pearson coefficient (Escoufier,
- 222 1973), as a measure of independence of subsets of the landmark data; it identifies sets of
- 223 landmarks that group together and are likely to function as evolutionary entities. We carried
- 224 out modularity analyses on subsets of our data pooled by syndrome (variation pooled by
- species). We then calculated the correlation between the shape variation of the sets of
- 226 landmarks (RV coefficient) of the partitions corresponding to the attraction-reproduction, the

- developmental, and two different efficiency hypotheses (Fig. 1a-d, table S2) and compared it
- 228 with that of 100 million random partitions. The proportion of partitions with lower RV
- 229 coefficient than the tested partition (i.e. partitions showing higher among-set independence)
- 230 was used as a measure of support for that partition, the lower the proportion, the higher the
- 231 support (Young, 2006; Gomez *et al.*, 2014).

232 *Estimation of size, and integration*

- 233 Size was measured as species-level average in centroid size, as implemented in MorphoJ.
- 234 Integration coefficients were calculated at the species level as shape PCA eigenvalue variance
- scaled by the total variance and number of variables (Klingenberg & Marugan-Lobon, 2013)
- as implemented in MorphoJ (see Table S3).
- 237 *Phylogenetic inference*

238 Phylogenetic relationships were inferred using DNA sequences from two loci of the chloroplast 239 genome (trnLF-ndhJ and trnT-L intergenic spacers) and one loci of the nuclear genome (internal 240 transcribed spacer (ITS)) from 61 pre-existing sequences of 19 Erica species as ingroup and 241 *Calluna vulgaris* and *Daboecia cantabrica* as outgroups (see Table S4 for source of the sequences 242 and their GenBank numbers). Divergence time analyses were carried out within a Bayesian 243 framework by employing an uncorrelated lognormal relaxed clock model in BEAST version 1.8.4 244 (Drummond *et al.*, 2012) by applying secondary calibration via using the two previously published 245 nodal ages (Pirie et al., 2016). See Methods S1 for details.

246 Ancestral Character State Reconstruction

247 We used a pruned phylogeny (i.e. removing the outgroup) for the 19 *Erica* species included in

this study to estimate the probability of the pollination strategy states for all nodes of the

249 phylogeny. As a demonstration of the potential of this approach, we estimated ancestral states

250 of pollination syndromes using Maximum Likelihood (ML) (Harmon et al., 2010; Revell,

- 251 2012) and empirical Bayes (Revell, 2012) methods. See Methods S1 and Table S5 for details.
- 252 *Models of floral trait evolution (unidimensional and high-dimensional)*

253 We applied a penalised likelihood approach to high-dimensional phenotypic dataset of flower 254 shapes of 19 Erica species to estimate the fit of three different evolutionary models; Brownian 255 Motion (BM), Ornstein–Uhlenbeck (OU), and Early Burst (EB) in order to better understand 256 the process of floral-shape evolution in the clade (Clavel et al., 2018). The analysis was 257 carried out under the *fit_t_pl* function (RPANDA)(Morlon *et al.*, 2016), and the best fit of the 258 abovementioned three models was assessed using the Generalised Information Criterion 259 (GIC) with the GIC function (mvmorph)(Clavel et al., 2015). Finally, we employed the 260 parameters derived from the evolutionary model that best fitted our high-dimensional data to 261 obtain floral shape reconstructions through time, as implemented in the function *ancestral* and 262 phyl.pca_pl (RPANDA)(Morlon et al., 2016). To visualise 3D models of the reconstructed 263 ancestral floral shapes at selected nodes, a 3D surface model of a flower of Erica hirtiflora 264 (lying approximatively in the middle of the PC1 x PC2 space plot) was warped to each target 265 ancestral shape. This was carried out by aligning the reconstructed ancestral shape at the 266 selected nodes and the landmark data of the chosen model (E. hirtiflora) using a thin plate 267 spline (TPS) interpolation (Wiley et al., 2005), using the function tps3d (Morpho)(Schlager, 268 2017) and the function *extractShape* (Clavel *et al.*, 2018).

- We fitted a series of likelihood models (i.e. Brownian motion and Ornstein-Uhlenbeck models) to understand how changes in pollination syndromes influence the evolution of various continuous unidimensional floral traits of *Erica* (i.e. PC1, PC2, PC3, PC4, PC5, centroid size, and integration). The best fitting model was determined comparing AICc, Δ AICc, and AICc weights among the models. All analyses were implemented using the R package OUwie (Beaulieu *et al.*, 2012). See Methods S1 for details.
- 275

276 **Results**:

277 Pollination syndromes prediction

278 The floral features used in the Random Forest (RF) classification algorithm successfully 279 classified species into pollinator classes. The most important variable for pollinator prediction 280 was tube length (Fig. S1a, Tables S6, S7). The next 15 most important variables were 281 landmarks describing the widest and narrowest positions of the corolla, the ovary/style 282 transition, the meeting point of petal lobes, and the position of sepal tips (Fig. S1a, Table S6). 283 For 9 of the 10 predicted species, all flowers were assigned to the same pollination syndrome 284 (Table S8). E. georgica was classified either as generalist, bird, LPF, or wind syndrome with 285 varying support (Table S8). We assigned *E. georgica* to the LPF syndrome because the tube 286 length of all these flowers corresponds to that syndrome (Fig. S1b), and because the shape of 287 the flower and its morphology also corresponds to that syndrome, as defined for *Erica* 288 (Rebelo et al., 1985). Our RF classifications are in agreement with (Rebelo et al., 1985).

289 Flower shape

Together, principal component (PC) 1 and PC2 account for 62 % of total shape variation (38.9% for PC1 and 22.1% for PC2). The main distortion along the PC1 is a constriction, elongation and slight curving of the corolla tube. Flowers along PC2 are mainly differentiated by the proximal to medial position of the inflation of the corolla. This varies from globoseurceolate to tubular-urceolate flowers along PC1 and cylindrical to ovoid floral shape along PC2. The PC axis-related distortion along PC1 and 2 is visualised by an exemplary shape distortion of a flower of *E. hirtiflora* (Fig. **3**). The spreading along the two axes did not reflect the phylogeny in separating clades defined by (Pirie *et al.*, 2016) (but see the *Evolution* section below). The convergent evolution of bird and LPF syndrome in our dataset display different patterns: the unrelated LPF syndrome flowers are tightly clustered in the morphospace whereas the bird syndrome flowers are in two clusters.

301 *Modularity*

302 In flowers with generalist syndrome, the best supported modularity hypothesis was the 303 developmental hypothesis (see Table 2, Fig. 4a, Fig. S2a-d), although the efficiency 304 hypotheses 1 and 2 received -weaker- support (see Table 2). In flowers with bird syndrome, 305 the best supported modularity hypothesis was the *efficiency* 1 hypothesis (see Table 2, Fig. 306 4b, Fig. S2e-h), although the *developmental* hypothesis received –slightly weaker- support 307 (see Table 2). In flowers with LPF syndrome, the best supported modularity hypothesis was 308 the *efficiency* 2 hypothesis (see Table 2, Fig. 4c, Fig. S2i-l), although the *efficiency* hypothesis 309 1 received –weaker- support (see Table 2). In flowers with wind syndrome, the best supported 310 modularity hypothesis was the *developmental* hypothesis (see Table 2, Fig. 4d, Fig. S2m-p). 311 The attraction-reproduction hypothesis was not strongly supported for any pollination 312 syndrome (See table 2).

313 Allometry

314 The symmetric component of the entire dataset exhibited significant but weak allometry: 315 1.17% & (P = 0.001; see Fig. S3a). If the species are split by pollination syndrome, the 316 proportion of variation explained by allometry (pooled by species) differs according to 317 syndrome (see Notes S3). For the sake of brevity, only the allometric deformation in 318 syndromes for which it is both strong (> 10% predicted shape) and significant (P < 0.05) will 319 be discussed here (i.e. long-proboscid flies and wind syndromes). In the flowers with LPF 320 syndrome, large flowers tend to have a more flask-shaped corolla, and the landmarks on the 321 mouth of the corolla are closer to the floral axis (Fig. S3b). In the flowers with wind 322 syndrome, large flowers tend to have corolla lobes more open and stamens more exerted (Fig. 323 S3c).

324 Ancestral Character States Reconstruction

Ancestral state reconstruction for pollination syndromes (Fig. **5a**) suggests that the generalist pollination syndrome is the possible most recent common ancestral (MRCA) state in *Erica*. Within our sampled species the bird pollination syndrome, as well as the LPF syndrome

328 evolved twice independently.

329 Models of floral trait evolution

330 Under the penalised likelihood approach, the best fitting model to the evolution of the highly-

- dimensional whole floral shape in *Erica* was the Ornstein–Uhlenbeck model (OU; lowest
- 332 GIC; Table S9), which assumes evolution towards an optimal floral shape mean as would be
- 333 expected under selection.

334 The MRCA floral shape of *Erica* most likely displays short and urceolate flowers, as expected 335 for flowers with generalist syndrome (Fig. 5b, node 1). The reconstructed evolutionary 336 trajectory (under the best fitted model of OU) displays likely late differentiations in flower 337 shape, with most differentiation possibly occurring at the most recent internal nodes of the 338 tree (Fig. 5b, nodes 3, 7, 8, and 9). In both reconstructed ancestors of convergent evolution of 339 LPF syndrome, the most recent internal nodes (Fig. 5b, nodes 9 and 11) likely display 340 differentiation but this differentiation is weak compared to that of terminal nodes (Fig. 5b, 341 flowers of *E. ventricosa*, and *E. georgica*).

- 342 The results of the fitting of five models $(BM_1, BM_S, OU_1, OU_M, and OU_{MV})$ on quantitative
- 343 floral trait evolution (shape PC1-5, size, and integration) under the four pollination-syndrome
- 344 regimes are summarised in Table 3. The Hessian matrix of one model (i.e. OU_{MV}) displayed a
- 345 negative eigenvalue for PC3, PC4, integration, and centroid size, which means that this model
- 346 was too complex for the information contained in these data and it was excluded from the
- 347 analyses. Different evolutionary scenarios yielded variable AICc distributions, Δ AICc, and
- 348 AICc weights (see Table 3). The evolution of floral shape along PC1 and centroid size of
- 349 flowers were found to best fit an OU_M model (see Table 3). This evolutionary model suggests
- selection around four different optimal values (θ), one per pollination syndrome (see Table
- 351 S10). This suggests that PC1 and centroid size have different evolutionary means for each of
- the four pollination syndrome regimes and that there is an evolutionary force that maintains
- 353 PC1 and size closer to this evolutionary mean than would be expected under a BM model.
- 354 The evolution of floral shape along PC2, PC5, and floral integration were found to best fit an
- OU_1 model (see Table 3). This result suggests that there is no difference between the four
- pollination syndromes, and that PC2, PC5, and integration each evolve towards a single one
- optimum (θ) across all *Erica* species (Table S10), indicating a lack of evidence for different
- 358 constraints by the four pollination regimes. The best-fitted model for the evolution of floral
- shape along PC3 and PC4 was a BM_1 model (see Table 3), where there is no difference
- between the pollination syndromes, and these floral variables evolve according to a random
- 361 walk process.

363 **Discussion:**

364 *Modularity*

365 In flowers with the generalist syndrome, our observation of strong support for the 366 developmental modularity hypothesis supports the "trade-off" hypothesis of evolution of 367 generalist flowers (which implies the absence of efficiency modules), and invalidates both the 368 "trait specialisation" hypothesis and the "common shape" hypothesis (which both imply the 369 evolution of efficiency modules). This contrasts with flowers with specialised syndromes 370 (bird and LPF syndromes) which display support for (different) *efficiency* hypotheses. Similar 371 patterns of modularity to that supported in flowers with bird syndrome (attraction-receipt-372 deposition) have been found across angiosperms: in a reanalysis of existing data, the least 373 variable attributes of flowers were found to be those potentially affecting the mechanical fit 374 between flower and pollinator (Cresswell, 1998). The results of (Cresswell, 1998) suggest 375 independence, but do not test for the latter; such a test was carried out only in few studies 376 such as for species of *Nicotiana* (Solanaceae) where such similar efficiency modules (lengths 377 of the floral tube, stamens and gynoecium) were evidenced (Herrera et al., 2002; Bissell & 378 Diggle, 2010).

379 In the flowers with LFP syndrome, the set of landmarks of the "corolla aperture" does not 380 include any reproductive organs; the function of this set is thus most likely not directly pollen 381 deposition or receipt. In the Cape, flowers with LPF syndrome typically have very narrow 382 floral tubes (Goldblatt & Manning, 2000); Erica flowers with this syndrome, however, do not 383 always have narrow tubes, but do have a narrow corolla apertures (see Fig. 4c) (Rebelo et al., 384 1985). This corolla aperture likely plays a role in restricting access to the floral rewards to 385 certain classes of pollinators. This interpretation is supported by the allometric shape 386 deformation (how shape changes with size) in LPF syndrome flowers: in shape, in larger 387 flowers the corolla aperture is, relative to the rest of the flower, narrower, but in size, the 388 corolla aperture stays about the same size in smaller and in larger flowers (Fig. S3b). Because 389 of its putative function, we propose to refer to the set of landmarks on the corolla aperture as a 390 "restriction module". Similar structures were found to preclude visits from bats in bird-391 pollinated Burmeistera (Campanulaceae), and to vary much less than the rest of the flower 392 (Muchhala, 2006), suggesting they constitute an independent module. Moreover, this 393 restriction module also contains the petal tips (Fig. 4c), that do not actively contribute to 394 limiting access to the floral reward; their small size relative to the rest of the corolla also 395 precludes a major role in pollinator attraction. Their presence in the restriction module is 396 therefore most likely non-adaptive and only due to their developmental proximity to the

397 corolla aperture. Their presence within the restriction module is therefore most likely a 398 spandrel sensu Gould and Lewontin (Gould & Lewontin, 1979). If it were feasible, a denser 399 sampling of landmarks across the flowers would probably uncover more of such structures 400 grouping in shape modules owing to their developmental proximity and not their function. 401 In flowers with wind syndrome, support for the developmental hypothesis suggests that the 402 shape of the different organ classes is independent form each other. This could be due to the 403 fact that (1) wind pollinated flowers probably do not require across-organ class modules (for 404 pollen receipt), and (2) that our data is dominated by developmental shape changes. 405 Modelling studies in grasses that have shown that pollen deposition overwhelmingly relies 406 only on direct impact on the stigma and not on air flows generated by the rest of the flower 407 (Cresswell *et al.*, 2010), which suggests that there is no selection pressure for the rest of the 408 flowers to form pollen receipt modules (as in *efficiency* hypothesis 1). The strong but weakly 409 significant allometry reflects typical differences in flower shape related to differences in 410 anthesis stage: larger (older) flowers have more open petals and more exerted stamens than 411 smaller (younger) flowers (Fig. S3c); these changes would also cause organs classes to each 412 display shape variation along their own developmental axis and be independent from each 413 other. This notwithstanding, any interpretation is tentative given our limited sampling of this 414 syndrome.

415 Floral shape evolution

416 The radiation of *Erica* in the Cape is the greatest known to have occurred there and one of the 417 greatest in recent plant biological history (Pirie et al., 2016). Analyses confirmed the "hotbed" 418 hypothesis in the genus, i.e. that the radiation of *Erica* was due to increased speciation rates, 419 and showed an overall recent slowing down of speciation rates (although they do remain high 420 in the former South Western clade (Pirie et al., 2016)). Shifts in multiple local-scale 421 ecological gradients, and repeated shift in pollinator preferences appear to have taken place 422 (Linder et al., 2010; Pirie et al., 2016). Such a radiation fits Simpson's adaptive zone model in 423 which similar niches become ecologically available to a lineage, free from competitors 424 (Simpson, 1944): when a lineage first enters these zones, phenotypical evolution should at 425 first be fast, but as ecological niches are filled, the rate of phenotypical evolution should then 426 slow down (Simpson, 1944; Schluter, 2000; Losos & Miles, 2002; Harmon et al., 2010). In 427 such a radiation, one would expect to recover an EB mode of phenotypical evolution (Harmon 428 et al., 2010). However, our analysis of the highly-dimensional morphometric dataset of flower 429 shape recovered as the best fit an OU model of evolution (Table S9), a model considered to 430 better represent the importance of selection. This is further supported by our ancestral floral

431 shape reconstruction (Fig. 5b), which indicates a pattern of greater phenotypical variation at 432 the most recent internal nodes of the tree (Figs. 5a & b, nodes 3, 8, 9, 11), a pattern consistent 433 with pollinator-driven selection (OU model (Harmon *et al.*, 2010)). Our finding of strong 434 evolutionary changes over short time scales concurs with previous findings from diverse data 435 sources (Gingerich, 1983; Lynch, 1990; Hendry & Kinnison, 1999; Roopnarine, 2003; Estes 436 & Arnold, 2007; Harmon et al., 2010). This is furthermore strongly supported by our analyses 437 of the evolutionary model of PC1 and centroid size under different regimes (i.e. pollination 438 syndrome) which recovered as best fit an OU_M model of evolution (selection towards 439 different optima; Tables 3, S11), strongly indicating that pollinators have indeed driven the 440 evolution of floral shape (see below), therefore supporting the a strong role for pollinator-441 driven speciation in *Erica* (Pirie *et al.*, 2011). PC1 corresponds to a shape change from open 442 bell shaped flowers to more elongated, tubular flowers, generating, for the same size, longer 443 tubes and strongly affecting the landmarks on the narrowest and broadest parts of the corolla 444 (see Fig. 3). These landmarks, together with tube length, were shown by our random forest 445 analyses to be especially important in predicting pollination syndromes (see Table S6). PC1 446 therefore involves a shape change that is especially relevant for the generation of the different 447 floral shapes of the different pollination syndromes. Variation in PC1 was thus most likely coopted by evolution to generate the different syndrome morphologies, and ended up 448 449 encapsulating almost 40% of shape variance (Table S10). Similarly, centroid size is strongly 450 correlated with tube length ($R^2 = 0.96$; P = 2.2E-16), the variable we demonstrate to play the 451 strongest role in predicting the different syndromes (Fig. **S1a**, Table S6). Other PCs probably 452 do not generate variation for which divergent selection on syndromes was present (or strong 453 enough to be identified with our limited sampling), and therefore follow either a single 454 optimum (OU_1) or a random model (BM_1) of evolution (Tables 3, S11). 455 Our result, that integration follows an OU_1 model of evolution (selection with a single 456 optimum; Tables 3, S11), does not support increased floral integration in specialist compared 457 to generalist flowers. Our results also contrast with the results of Gomez et al. (2014) who 458 recovered a BM model of evolution for floral integration (Gomez et al., 2014). However, 459 Gomez et al. (2014) included only landmarks placed on the petals (in 2D), whereas our study 460 includes reproductive organs (in 3D). Because, efficiency modularity (including reproductive 461 parts) has been shown to be stronger than attraction modularity (including the petals only) 462 (Rosas-Guerrero *et al.*, 2011), our study likely includes a signal that is not present in that of 463 Gomez et al. (2014). Evolution of whole-flower integration towards a single optimum suggest 464 that evolution of increased integration in functional part of the flowers may come at the cost

465 of lower integration with other parts of the flowers, leading to evolution towards a single

466 optimal value in generalised and specialised systems. Our findings thus do not support

467 changes in integration as a whole, but strongly support changes in its structure, an observation

468 congruent with (Ordano *et al.*, 2008).

469

470 **Conclusion:**

471 Our results illustrate for the first time the potential of 3D datasets (that include the

- 472 reproductive organs of flowers) together with geometric morphometrics to uncover the
- 473 modularity of the highly dimensional shape of flowers as a function of pollinator syndrome,
- 474 and together with a novel penalised likelihood framework (Clavel et al., 2018) also for the
- 475 first time to test the fits of evolutionary models to the macro-evolution of high-dimensional
- 476 flower shape and reconstruct its trajectory.

477 Simulations of biological evolution have demonstrated that modularity is favoured within

478 environments where selection changes over time in such a way that each new selective

479 pressure shares some of the aspects of the previous selective pressure (Kashtan & Alon, 2005;

480 Kashtan *et al.*, 2007). It has been shown that within a pollination syndrome, selection on floral

traits can change from year to year due to fluctuations in pollinator abundance (Herrera, CM,

482 1988; Campbell, 1989; Campbell *et al.*, 1991). We thus speculate that syndromes are such a

483 changing environment, that evolution of a new syndrome is the equivalent to a change of

484 environment, necessitating the evolution of a new modular organisation (although overall

485 floral integration need not change), and finally that fluctuations in pollinator abundance

486 (within a syndrome) play a role in the emergence of flower modularity.

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- 494
- 495

496 Author contributions:

- 497 YS and MvB designed the project, YS and MvB collected the material, DR and AB collected
- 498 the data and ran preliminary analyses, MC carried out the random forest analyses, SM and MS
- 499 carried out the phylogenetic analyses, SM carried out the trait evolution analyses, YS and CK
- 500 carried out the geometric morphometric analyses, SM designed the manuscript, YS and SM
- 501 wrote the manuscript. DR and AB contributed equally.

502 Tables:

- 503 **Table 1.** Sampling, systematic syndrome, observed (a, b, e-n) or predicted (in the literature: c,
- d, or via machine learning: RF = Random Forests), and number of flowers scanned.

Species	Clade ^a	Syndrome	Reference	n (flowers)
Erica australis L.	Palearctic	gen	b	11
Erica blandfordia Andrews	Cape	gen	c, RF	11
Erica bolusiae T. M. Salter	Cape	gen	c, RF	10
Erica brachialis Salisb.	Cape	bird	c, j, k	14
Erica capensis T.M. Salter	Cape	gen	c, n	10
Erica curviflora L.	Cape	bird	c, RF	11
Erica georgica L. Guthrie & Bolus	Cape	lpf	RF	15
Erica gracilis J.C. Wendl.	Cape	gen	1	10
Erica hirtiflora Curtis	Cape	gen	c, m	10
Erica lateralis Willd.	Cape	gen	c, d, RF	10
Erica leucotrachela H.A. Baker	Cape	bird	c, RF	10
Erica margaritacea Aiton	Cape	gen	c, RF	13
Erica melanthera L.	Cape	gen	c, RF	10
Erica perspicua J.C. Wendl.	Cape	bird	e, c, g	10
Erica scoparia L.	Palearctic	wind	f	10
Erica spiculifolia Salisb.	Palearctic	gen	RF	12
Erica turgida Salisb.	Cape	gen	c, RF	12
Erica vagans L.	Palearctic	gen	h, i	11
Erica ventricosa Thunb.	Cape	lpf	c, d	9

505 Footnote:

506 Visitor data from literature, websites, and personal observation. gen: insect generalist 507 pollination syndrome; LPF: long-proboscid fly. a, (Pirie et al., 2016); b, (Gil-López et al., 2014); c, (Rebelo et al., 1985); d, (Rebelo et al., 1984); e, (Heystek et al., 2014); f, (Herrera, 508 509 J, 1988); g, (Geerts, 2011); h, (Fern & Fern, 2012); i, (Plants_Database, 2019); j, (Turner, 510 2010); k, (Notten, 2012); l, Yannick M. Staedler, pers. obs. on cultivated specimen; m, 511 (Arendse, 2015); n, (Cullinan et al.). RF, syndrome predicted via random forests. c and d, 512 contain description of syndromes and attribute different Erica species to them. * contains 513 mention of observation for this species. 514

- **Table 2.** Modularity tests for the *attraction-reproduction, developmental,* and *efficiency 1* and
- 516 2 hypotheses. (Most significant values in bold).

	Generalist syndrome			
hypothesis	RV of hypothesis	lowest RV	proportion lower RV	
Attraction/reproduction	0.22	0.19	1.40E-003	
Developmental	0.12	0.11	2.30E-007	
Efficiency 1	0.13	0.11	4.10E-005	
Efficiency 2	0.16	0.14	7.33E-006	

	Bird syndrome			
hypothesis	RV of hypothesis	lowest RV	proportion lower RV	
Attraction/reproduction	0.4	0.16	3.50E-002	
Developmental	0.19	0.16	3.66E-006	
Efficiency 1	0.15	0.14	3.02E-006	
Efficiency 2	0.29	0.16	3.50E-003	

	LPF syndrome			
hypothesis	RV of hypothesis	lowest RV	proportion lower RV	
Attraction/reproduction	0.39	0.3	1.80E-002	
Developmental	0.23	0.17	8.07E-004	
Efficiency 1	0.17	0.16	4.20E-006	
Efficiency 2	0.23	0.22	5.50E-007	

	Wind syndrome				
hypothesis	RV of hypothesis	lowest RV	proportion lower RV		
Attraction/reproduction	0.72	0.44	2.50E-001		
Developmental	0.43	0.32	1.70E-003		
Efficiency 1	0.47	0.29	4.00E-002		
Efficiency 2	0.54	0.34	2.60E-002		

Table 3. Models of quantitative phenotypic trait evolution (PC1-5 of floral shape, size, and

520 integration) under the pollination syndrome regime, and their biological interpretation, model

- 521 fit of plausible models for the seven floral variables, indicating AICc (corrected AIC score),
- \triangle AICc, and AICc weight..

variables	Model	AICc]	DAICc	AICc weight	Interpretation of the best model for shape, integration, and size variable evolution
PC1	BM1	-6.65	7.91	0.015	Evolution of shape along PC1 is constrained;
	BMS	0.46	15.02	4.22E-04	different optima depend on pollination syndromes,
	OU1	-5.5	9.01	0.008	which would imply that optimal shape along PC1
	OUM	-14.56	0	0.772	has evolved separately for different pollination
	OUMV	-11.9	2.66	0.204	syndromes
PC2	BM	-11.31	2.11	0.232	Evolution of shape along PC2 is directed toward
	BMS	-4.76	8.66	0.009	an optimum without being affected by the
	OU1	-13.42	0	0.667	pollination syndromes
	OUM	-9.44	3.98	0.091	
	OUMV	-1.27	12.16	0.002	
PC3	BM	-34.98	0	0.758	Evolution of shape along PC3 is random and not
	BMS	-26.25	8.73	0.010	affected by the different pollination syndromes
	OU1	-32.61	2.37	0.231	
	OUM	-22.69	12.29	0.002	
PC4	BM	-38.35	0	0.630	Evolution of shape along PC4 is random and not
	BMS	-30.17	8.17	0.011	affected by the different pollination syndromes
	OU1	-37.03	1.32	0.326	
	OUM	-32.5	5.85	0.034	
PC5	BM	-37.62	0.4	0.437	Evolution of shape along PC5 is directed toward
	BMS	-30.49	7.52	0.012	an optimum without being affected by the
	OU1	-38.01	0	0.533	pollination syndromes
	OUM	-31.22	6.8	0.018	
	OUMV	-18.84	19.17	3.66E-05	
Integration	BM	-47.52	1.09	0.364	Evolution of shape integration is directed toward
	BMS	-38.67	9.94	0.004	an optimum without being affected by the
	OU1	-48.61	0	0.6264	pollination syndromes
	OUM	-39.22	9.39	0.006	
Centroid	BM1	160.28	23.11	9.42E-06	Evolution of size is constrained; different optima
size	BMS	144.98	7.81	0.020	depend on pollination syndromes, which would
	OU1	161.09	23.91	6.29E-06	imply that optimal size has evolved separately for
	OUM	137.17	0	0.98	different pollination syndromes

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779 Figure legends:

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781 **Figure 1 Hypotheses.** Modularity hypotheses tested displayed on schematic representation of 782 an *Erica* flower. (a) the *attraction-reproduction* hypothesis proposes that floral organs groups 783 into fertile (stamens and carpel, in red) versus sterile (sepals and petals, in blue) modules. (b) 784 the *efficiency* hypothesis 1 proposes that parts of the flower group in modules directly 785 involved in pollen receipt (joining of the petals and stigma, in red) and deposition (rest of the 786 corolla mouth and stamens, in yellow), and modules that are not (remainder of the flower in 787 blue). (c) the *efficiency* hypothesis 2 proposes that parts of the flower that restrict access to the 788 floral reward (floral neck, in yellow) form a module, that the carpels form a module, and that 789 the rest of the flower also forms a module. (d) the *developmental* hypothesis proposes that 790 parts for the flower group into modules corresponding to their organ identity: sepals (green), 791 petals (blue), stamens (yellow), or carpels (red).

792

Figure 2 Landmarks. Landmarks used to digitise the shape of *Erica* flowers. (a) on schematic longitudinal section diagramme of an *Erica* flower. (b) on a 3D model of an actinomorphic flower (*E. hirtiflora*). (c) on a 3D model of a zygomorphic flower (*E. leucotrachela*).

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798 Figure 3 Shape PCA & syndromes. Two-dimensional ordination plot from a PCA analysis 799 of 33 landmarks and 209 individual flowers of 19 Erica species. A representative flower 800 surface-model for each species is plotted next to the dots corresponding to individual flowers 801 of the same species. Colour and shape coding: green-blue circles, generalist syndrome, 802 orange-red squares, bird syndrome, pink and purple triangles long-proboscid fly syndrome, 803 grey crosses, wind syndrome. Closed symbols: observed visitors, open symbols: predicted 804 visitors. Loadings of axes: x-axis PC1: 38.9% of shape variation, y-axis PC2: 22.1% of shape 805 variation. In order to illustrate changes in floral shape associated with PC1 and PC2, a flower 806 from the centre of the morphospace (E. hirtiflora) was distorted according to PC1 and PC2 807 and plotted along their respective axes.

808

Figure 4 Modules in *Erica* **flowers.** (a) the best supported partition in flowers with generalist syndrome is the *developmental* hypothesis: a 4-fold partition with each organ class forms one module. (b) the best supported partition in the flowers with bird syndrome is the *efficiency* hypothesis 1, where the corolla lobes and the stamen form a putative "pollen deposition

813 module", and joining of the upper corolla lobes and the stigma form a putative "pollen receipt 814 module". The third set of landmarks comprises the rest of the flower. (c) the best supported 815 partition in flowers with long-proboscid fly syndrome is the *efficiency* hypothesis 2, where the 816 landmarks on the narrow corolla aperture form a putative "restriction module" that restricts 817 access to the floral reward to only insects with very narrow proboscises. A second set of 818 landmarks is formed by the gynoecium, and a third set of landmarks comprises the rest of the 819 flower. (d) the best supported partition in flowers with wind syndrome the *developmental* 820 hypothesis: a 4-fold partition with each organ class forms one module. Pollinator drawings, 821 originals. Generalists represented by drawing of bee. Character representing the wind: Zephyr 822 from "The birth of Venus" by Sandro Boticelli (ca. 1480).

823

Figure 5 Ancestral state reconstruction for pollination syndromes and floral shape in *Erica*. (a) stochastic character mapping of the four pollination syndromes optimised on a chronogram inferred from Bayesian dating. Pie charts at internal nodes indicate the proportion of stochastic mapping from 1000 runs using the Equal Rates (ER) model. (b) ancestral shape reconstruction and reconstructed evolutionary trajectories for six selected species of *Erica*, including species from all four studied pollination syndromes and two convergent evolution of flowers with long-proboscid fly syndrome.

831

Figure S1 Machine learning. (a) landmark coordinates and tube length sorted by mean
accuracy decrease in predicting pollination syndrome via Random Forest (the tube length is
the best variable to predict pollination syndrome). (b) tube length (in mm) in studied species.

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836 Figure S2 Hypotheses test: RV distributions. X-axis RV coefficient, y-axis frequency of 837 values. Red arrow indicates the value of the RV coefficient of the modularity hypothesis 838 tested. Left, hypotheses tested, right results of test. a-d tests for species with generalist 839 syndrome. a, test of *attraction-reproduction* hypothesis. b, test for *efficiency* hypothesis 1. c, 840 test for *efficiency* hypothesis 2. d, test for *developmental* hypothesis. e-h tests for species with 841 bird syndrome. e, test of *attraction-reproduction* hypothesis. f, test for *efficiency* hypothesis 1. 842 g, test for *efficiency* hypothesis 2. h, test for *developmental* hypothesis. i-l tests for species 843 with long-proboscid fly syndrome. i, test of *attraction-reproduction* hypothesis. j, test for 844 efficiency hypothesis 1. k, test for efficiency hypothesis 2. l, test for developmental hypothesis. 845 m-p tests for species with wind syndrome. m, test of *attraction-reproduction* hypothesis. n, 846 test for *efficiency* hypothesis 1. o, test for *efficiency* hypothesis 2. p, test for *developmental* hypothesis. Pollinator drawings, originals. Generalists represented by drawing of bee.
Character representing the wind: Zephyr from "The birth of Venus" by Sandro Boticelli (ca.
1480).

850

Figure S3 Allometry in *Erica* flowers. (a) allometric plot. x-axis, log centroid size, y-axis

shape axis. All 209 individual flowers from all 19 species studied are plotted. Blue to green

853 dots generalist syndrome, orange to red squares bird syndrome, pink and purple triangles

854 long-proboscid fly syndrome, gray crosses wind syndrome. (b) allometric deformation in

855 flowers with long-proboscid syndrome for a change in log centroid size of 0.2. Pink,

schematic drawing of smaller flowers, blue schematic drawing of larger flowers. (c)

allometric deformation in flowers with wind syndrome for a change in log centroid size of

858 0.2. Pink, schematic drawing of smaller flowers, blue schematic drawing of larger flowers.

860 List of supplementary data:

- 861
- **Table S1.** Species, sample numbers (n) and scanning conditions of *Erica* flowers.
- 863 **Table S2.** Landmarks used to digitise the shape of *Erica* flowers, and modules to which they
- belong in the modularity hypotheses tested.
- 865 **Table S3.** Species-level average values for size and integration.
- 866 **Table S4.** Genbank accession numbers for nrDNA ITS and cpDNA trnL-F-ndhJ and trnT-L
- 867 sequence data.
- 868 **Table S5.** Discrete character mapping models for pollination syndromes.
- 869 Table S6. Main variables mean accuracy decrease of random forest syndrome prediction.
- 870 **Table S7.** Corolla tube length per flower.

Table S8. Classification of 114 individual flowers of diverse *Erica* species into the pollination syndromes,

- 873 **Table S9.** Support values evolutionary models of floral shape evolution.
- **Table S10.** Summary of the preferred models of evolution for seven phenotypic trait variables
- 875 (PC1-5 of floral shape, centroid size, and integration
- 876 Methods S1. This file contains details of the methodology used to for: X-ray tomography,
- 877 3D-landmarking, geometric morphometrics, pollination syndrome prediction, modularity
- analyses (exploratory and confirmatory approaches), phylogenetic inference, ancestral
- 879 character states reconstruction, and models of trait evolution.
- 880 **Notes S1.** Literature analysis.
- 881 Notes S2. Allometric regressions and correlation between the corolla tube length and centroid
- 882 size
- 883 Scan data. All the scan data will be deposited on PHAIDRA, the open data repository of the
- 884 University of Vienna (https://phaidra.univie.ac.at/).









