

# HENRIK HORN

## *CURRICULUM VITAE*

April 2007

### CONTACT INFORMATION

---

Main affiliation:

Research Institute of Industrial Economics (IfN)

Box 55665

SE-102 15 Stockholm

SWEDEN

+46 8 665 45 40 (ph); +46 8 665 45 00 (secr); +46 70 742 8839 (mobile)

Email: [henrik.horn@bruegel.org](mailto:henrik.horn@bruegel.org)

Personal website: [www.econ-law.se](http://www.econ-law.se)

### PERSONAL DATA

---

Born 1953. Swedish citizenship.

### CURRENT ACTIVITIES

---

Senior Research Fellow, The Research Institute of Industrial Economics (IfN), Stockholm, 2002-.

Non-resident Senior Fellow, Bruegel, Brussels, 2006-.

Chief Reporter of the American Law Institute project "Principles of Trade Law: The World Trade Organization", 2002- (jointly with Petros C. Mavroidis).

Consultant to the Development Research Group, The World Bank, 2006-.

Member of the Editorial Board of the *B.E. Journals of Economic Analysis & Policy*, Berkeley, 2001-.

Member of the Editorial Board of the *World Trade Review*, 2001-.

Member of the European Trade Study Group Coordinating Committee, 1998-.

Research Fellow, International Trade Programme, and Industrial Economics Programme, Centre for Economic Policy Research, London, 1996-.

Member of the Editorial Council of the *Review of International Economics*, 1996-.

Member of the Research Council of the Jan Wallander's (1995-), Tom Hedelius' (1995-), and Tore Browaldh's (2000-) Research Foundations.

#### MAJOR FIELDS OF INTEREST

---

International Economics, Industrial Organization, Trade Law, Competition Law.

#### EDUCATION AND DEGREES

---

Civilekonom (M.Sc.Econ.), Stockholm School of Economics, 1977.

Ekonomie doktor (Ph.D.Econ.), Stockholm School of Economics, 1983.

Docent (approx. unsalaried Associate Professor), Stockholm School of Economics, 1986.

#### SELECTED PREVIOUS POSITIONS

---

Professor of International Economics, Institute for International Economic Studies, Stockholm University, 1992-2007.

Senior Research Fellow, Institute for International Economic Studies, 1985-2007.

Visiting Professor, Graduate Institute of International Studies, Geneva, 2006.

Consultant to the International Trade Group, The World Bank, 2003-2005.

Deputy Director, Institute for International Economic Studies, Stockholm University, 2002-2004.

Faculty Member, World Trade Institute, Bern, Switzerland, 1999-2004.

Judge in Marknadsdomstolen (the Swedish supreme court for Competition, Marketing and Product Safety Law), 1993-2000.

Counselor, Economic Research and Analysis Division, World Trade Organization, 1996-2000.

Member of Reference Group for International Labor Standards Studies, Expert Group for Development Issues, Ministry for Foreign Affairs, Stockholm, 2000-2001.

Member of Comité de Direction, Centre Internationale de l'Etude du Sport, University of Neuchatel, Switzerland, 1998-2000.

Associate Editor, *European Economic Review*, 1991-1997.

Associate Editor, *Journal of International Economics*, 1996-1999.

Consultant to various private parties in anti-trust and telecom regulation cases.

Visiting Professor, University of Michigan, 1996.

Acting Professor of Economics, Stockholm School of Economics, 1989.

*Economic Policy* Panel Member, 1990-1991.

Visiting Lecturer and Visiting Fellow, Woodrow Wilson School of Public and International Affairs, Princeton University, U.S.A., 1987.

#### MAJOR GRANTS

---

Member of ENTWINED – *Environment and Trade in a World of Independence*, The Foundation for Strategic Environmental Research, Stockholm, 2007-2010.

Director of the project *The Forum on the WTO Dispute Settlement System*, funded by the Jan Wallander's and Tom Hedelius' Research Foundations, 2002-2005.

Director of the project *Issues in International Integration*, funded by the Jan Wallander's and Tom Hedelius' Research Foundations, 2000-2005.

Director of the project *Competition Policies in Open Economies and under Imperfect Information*, funded by The Bank of Sweden Tercentenary Foundation, and the Jan Wallander's and Tom Hedelius' Research Foundations, 1994-1996.

Director of the project *Organizational Efficiency and International Competition*, funded by Council for Research in the Humanities and Social Sciences, The Bank of Sweden Tercentenary Foundation, and Jan Wallander's and Tore Browaldh's Research Foundations, 1990-94.

The Law and Economics Foundation, Stockholm, 1999.

The Bank of Sweden Tercentenary Foundation 1982-85, 1987.

#### AWARDS

---

Doctoral Dissertation awarded the Arnberg Prize for the best Swedish Ph.D. dissertation in Economics during the years 1983-1985.

Undergraduate Thesis awarded the Eli F. Heckscher Prize for the year's best undergraduate thesis in Economics, Stockholm School of Economics, 1977.

#### TEACHING

---

Graduate Trade Theory and Trade Policy, Stockholm University.

Graduate Trade Policy, The Graduate Institute of International Studies, Geneva.

Graduate Industrial Organization, Stockholm University.

Graduate Microeconomic Theory, the Finnish Joint Graduate Program.

Master level Trade Theory and Commercial Trade Policy, Woodrow Wilson School of Public and International Affairs, Princeton University.

Undergraduate Trade Theory and Trade Policy, Stockholm University.

Undergraduate Industrial Organization, Stockholm University.

Undergraduate Industrial Organization, Stockholm University.

Undergraduate Industrial Organization, Stockholm School of Economics.

Undergraduate Industrial Organization, University of Michigan.

Introductory Trade Policy, Masters in International Trade Law and Economics Program, World Trade Institute, Bern.

Introductory Industrial Organization, Masters in International Trade Law and Economics Program, World Trade Institute, Bern.

Introductory International Economics, The Graduate Institute of International Studies, Geneva.

#### SCIENTIFIC PUBLICATIONS

---

Doctoral Dissertation: *Imperfect Competition in Models of Wage Formation and International Trade*, Monograph Series No. 15, Institute for International Economic Studies, Stockholm University, 1983.

“On the Causes of Export Earnings Instability”, *Oxford Bulletin of Economics and Statistics* 43, 1981, 301-313 (with Peter Brundell and Peter Svedberg).

“More on the Causes of Instability in Export Earnings: Reply”, *Oxford Bulletin of Economics and Statistics* 45, 1983, 385-388 (with Peter Brundell and Peter

Svedberg).

“Some Implications of Non-Homotheticity in Production in a Two-Sector General Equilibrium Model with Monopolistic Competition”, *Journal of International Economics* 14, 1983, 85-101.

“Product Diversity, Trade, and Welfare”, in H. Kierzkowski (ed.) *Monopolistic Competition and International Trade*, Oxford University Press, 1984, 51-68.

“A New Look at Economic Integration”, in H. Kierzkowski (ed.) *Monopolistic Competition and International Trade*, Oxford University Press, 1984, 204-229 (with Wilfred Ethier). Reprinted in A. Jaquemin and A. Sapir (eds), *The European Internal Market: Trade and Competition*, Oxford University Press, 1989.

“Public Health Insurance and Optimal Income Taxation”, *Journal of Public Economics* 24, 1984, 353-371 (with Åke Blomqvist).

“A Formulation and Test of a Simple Model of World Bank Behavior”, *Weltwirtschaftliches Archiv* 121, 1985, 438-447 (with Bruno Frey, Torsten Persson and Friedrich Schneider).

“Classical Unemployment and the Adjustment of Real Wages”, *Scandinavian Journal of Economics* 87, 1985, 234-261 (with Lars Calmfors).

“Employment Policies and Centralized Wage Setting”, *Economica* 53, 1986, 281-302 (with Lars Calmfors).

“Trade Unions and Optimal Labor Contracts”, *The Economic Journal* 96, 1986, 322-341 (with Lars Svensson). Reprinted in P. Garonna, P. Mori and P. Tedeschi (eds), *Economic Models of Trade Unions*, Chapman & Hall, London, 1991.

“Wage Formation and the Persistence of Unemployment”, *The Economic Journal* 97, 1987, 877-994 (with Nils Gottfries). Reprinted in J.-P. Benassy (Ed.), *Macroeconomics and Imperfect Competition*, Edward Elgar Publishing Ltd., London, 1995.

“Exchange Rate Policy, Wage Formation, and Credibility”, *European Economic Review* 32, 1988, 1621-1636 (with Torsten Persson).

“Worker Substitutability and Patterns of Unionization”, *The Economic Journal* 98, 1988, 484-497 (with Asher Wolinsky). Reprinted in A. Booth (ed.), *International Library of Critical Writings in Economics: The Economics of Labor Unions*, Edward Elgar Publishing Ltd., Cheltenham, 2002.

“Bilateral Monopolies and Incentives for Merger”, *RAND Journal of Economics* 19, 1988, 408-419 (with Asher Wolinsky).

“Infant-Industry Protection Reconsidered: The Case of Informational Barriers to Entry”, *The Quarterly Journal of Economics* 103, 1988, 767-787 (with Gene Grossman).

“Managerial Control of International Firms, and Patterns of Direct Investment”, *Journal of International Economics*, 1990, 25-45 (with Wilfred Ethier).

“Services in International Trade”, in E. Helpman and A. Razin (eds), *International Trade and Trade Policy*, MIT Press, 1991 (with Wilfred Ethier).

“Competition, Long-Run Contracts, and Internal Inefficiencies in Firms”, *European Economic Review*, 1994, 213-233 (with Harald Lang and Stefan Lundgren).

“Managerial Effort Incentives, X-Inefficiency, and International Trade”, *European Economic Review*, 1995, 117-138 (with Harald Lang and Stefan Lundgren).

“Results-Oriented Trade Policy”, *Review of International Economics* 4(1), 1996, 17-39 (with Wilfred Ethier).

“Bundling and International Market Integration”, *International Economic Review* 37(1), 1996, 51-69 (with Oz Shy).

“Do Negative Spill-Overs from Nationally Pursued Competition Policy Provide a Case for Multilateral Competition Rules?”, In Ellermann, C.-D. and L.L. Laudati (eds), *European Competition Law Annual 1997: Objectives of Competition Policy*. Oxford: Hart Publishing, 1998 (with Marc Bacchetta and Petros C. Mavroidis).

“The Endogenous Structure of an International Oligopoly”, *Journal of International Economics* 53(2), 2001, 307-333 (with Lars Persson).

“Endogenous Mergers in Concentrated Markets”, *International Journal of Industrial Organization* 19(8), 2001, 1213-1244 (with Lars Persson).

“Merger Policy and Trade Liberalization”, *Economic Journal*, 111, 2001, 244-276 (with James Levinsohn). Reprinted in Katayama, S. and K. Miyagiwa (eds) *New Developments in International Trade: Theoretical and Empirical Investigations*, Kobe Economic & Business Series No. 16, Kobe University 2003.

“Economic Aspects of the Most-Favored Nation Clause”, *European Journal of Political Economy* 17(2), 2001, 233-279 (with Petros C. Mavroidis). Reprinted in Anderson, K. and B Hoekman (eds) *The WTO's Core Rules and Disciplines*, Edward Elgar Publishing, 2005 (forthcoming).

“National Health Regulations and the SPS Agreement, in T. Cottier and P.C. Mavroidis (eds) *The Role of the Judge in International Trade Law*, University of Michigan Press, Ann Arbor, Michigan, 2002 (with Petros C. Mavroidis).

“The Regulation of Markets for Team Sports: An Overview of Relevant Economic Literature”, *Editions Centre Internationale de l'Etude du Sport*, 2002 (with Damien J. Neven).

“European Communities – Measures Affecting Asbestos and Asbestos-Containing Products”, in *Principles of International Trade Law: The WTO Case Law of 2001*, Henrik Horn and Petros C. Mavroidis (eds), Cambridge University Press, 2004 (with Joseph H.H. Weiler). Reprinted in *The World Trade Review* 2004.

“United States – Safeguard Measures on Imports of Fresh, Chilled or Frozen Lamb Meat from New Zealand and Australia: What Should be Required of a Safeguard Investigation?”, in *Principles of International Trade Law: The WTO Case Law of 2001*, Henrik Horn and Petros C. Mavroidis (eds), Cambridge University Press, 2004 (with Petros C. Mavroidis). Reprinted in *The World Trade Review* 2004.

“Still Hazy After All These Years: The Interpretation of National Treatment in GATT/WTO Case Law on Tax Discrimination”, *European Journal of International Law* 15, No. 1, 2004, 39-69 (with Petros C. Mavroidis). Reprinted in Anderson, Kym and Bernard Hoekman (eds), *The WTO's Core Rules and Disciplines*, Elgar Publishing 2006, pp. 465-511.

“United States – Preliminary Determination with Respect to Certain Softwood Lumber from Canada: What is a Subsidy?”, in *Principles of International Trade Law: The WTO Case Law of 2002*, Henrik Horn and Petros C. Mavroidis (eds), Cambridge University Press, 2005 (with Petros C. Mavroidis).

“European Communities – Trade Description of Sardines: Textualism and its Discontent”, in *Principles of International Trade Law: The WTO Case Law of 2002*, Henrik Horn and Petros C. Mavroidis (eds), Cambridge University Press, 2005 (with Joseph H.H. Weiler).

“Is the Use of the WTO Dispute Settlement System Biased?”, in *The Law and Economics of the WTO Dispute Settlement System*, Petros C. Mavroidis and Alan O. Sykes (eds), Edward Elgar Publishing, 2005 (with Petros C. Mavroidis and Håkan Nordström).

“United States – Continued Dumping And Subsidy Offset Act Of 2000”, in *Principles of International Trade Law: The WTO Case Law of 2003*, Henrik Horn and Petros C. Mavroidis (eds), Cambridge University Press, 2006 (with Petros C. Mavroidis). Reprinted in *The World Trade Review* 2005.

“United States – Final Determination with Respect to Certain Softwood Lumber from Canada”, in *Principles of International Trade Law: The WTO Case Law of 2003*, Henrik Horn and Petros C. Mavroidis (eds), Cambridge University Press, 2006 (with Petros C. Mavroidis).

“European Communities - Antidumping Duties on Malleable Cast Iron Tube or Pipe Fittings from Brazil”, in *Principles of International Trade Law: The WTO Case Law of 2003*, Henrik Horn and Petros C. Mavroidis (eds), Cambridge University Press, 2006 (with Petros C. Mavroidis).

“National Treatment in the GATT”, *American Economic Review* 96, No. 1, 2006, 394-404.

“Antitrust in Open Economies”, Stennek, Johan and Vivek Ghosal (eds) *The Political Economy of Antitrust*, North-Holland, 2007 (with Joseph Francois). Also CEPR DP 5480.

“Does EU Merger Control Disfavor Small Member State Interests?”, Stennek, Johan and Vivek Ghosal (eds) *The Political Economy of Antitrust*, North-Holland, 2007 (with Johan Stennek).

“International Trade – Dispute Settlement”, forthcoming in Sykes, Alan O. and Alan Guzman (eds), *Research Handbook of International Economic Law*, 2007 (with Petros C. Mavroidis). Edward Elgar Publishing.

“European Communities - Customs Classification of Frozen Boneless Chicken Cuts”, forthcoming in *Principles of International Trade Law: The WTO Case Law of 2004-5*, Henrik Horn and Petros C. Mavroidis (eds), 2007 (with Robert L. Howse).

#### UNPUBLISHED AND/OR WORK IN PROGRESS

---

“Trade Union Determined Wages, Unemployment, and The Size of the Public Sector”, mimeo, 1983.

“X-Inefficiency and International Competition”, Seminar Paper No. 480, Institute for International Economic Studies, 1991 (with Harald Lang and Stefan Lundgren)

“National Product Standards, Bilateral Monopoly, and the Market Structure of Retailing”, 1994 (with Harald Lang and Stefan Lundgren).

“International Integration of Oligopolistic Markets with Interrelated Demands”, mimeo, 1994 (with Harald Lang and Stefan Lundgren).



“Tariff Escalation and Developing Country Industrialization”, mimeo, World Trade Organization, 1997.

“The Burden of Proof in National Treatment Disputes Before the WTO: Some Theory and Some Evidence”, mimeo, 2004 (with Petros C. Mavroidis).

“Trade Agreements as Endogenously Incomplete Contracts”, National Bureau of Economic Research WP No. 12745, Centre for Economic Policy Research DP No. 6037 and Research Institute of Industrial Economics WP No. 689, 2006 (with Giovanni Maggi and Robert W. Staiger).

“A Survey of the Literature on the WTO Dispute Settlement System”, Research Institute of Industrial Economics (IfN) WP No. 684, Stockholm (with Petros C. Mavroidis).

---

SELECTED COMMISSIONED REPORTS, EDITING, TEXTBOOK MATERIAL, ETC.

“Skogsindustrins kostnader, relativpriser och marknadsandelar - en pilotstudie”(Costs, Relative Prices, and Market Shares in the Forest Industry --- A Pilot Study, in Swedish), commissioned by The Swedish National Industrial Board, 1978.

Editor of *Trade Unions, Wage Formation and Macroeconomic Stability*. MacMillan, London, 1986 (with Lars Calmfors).

“Comment on EFTA and the Internal European Market by V. Norman”, in *Economic Policy* 9, 1989, 449-452.

“Ekonomiska konsekvenser för Sverige av EGs inre marknad - en översikt av tillämplig teori och empiri”, (Economic Consequences for Sweden of the European Internal Market - A Survey of Relevant Theory and Empirical Findings, in Swedish), Expert Report commissioned by the Swedish Ministry of Finance, 1990 (with Harry Flam).

“Comment on Wage Bargaining and Wage Drift: Evidence from the Swedish Wood Industry by Bertil Holmlund and Per Skedinger”, in L. Calmfors (ed.), *Wage Formation and Macroeconomic Policy in the Nordic Countries*, SNS Förlag, 1990, 389-391.

“Comment on Real Wage Adjustment and Employment Policies in the Nordic Countries by Lars Calmfors and Ragnar Nymoén”, in *Economic Policy* 11, 1990, 432-433.

“Utrikeshandel och ekonomisk tillväxt” (International Trade and Economic Growth, in Swedish), in *Ekonomisk tillväxt och utveckling*, SNS Förlag, 1991.

“EES-avtalet, ny lagstiftning och konkurrensen i Sverige”, (The EES Treaty, New Legislation, and the State of Competition in Sweden, in Swedish), Expert Report commissioned by the Swedish Ministry of Finance, 1993 (with Harry Flam and Stefan Lundgren).

“Är misstron mot nationalekonomerna befogad?” (Is the Skepticism towards Economists Justified?, in Swedish), in *Ekonomisk Politik. En vänbok till Assar Lindbeck*, SNS Förlag 1995.

“Comment on Auctions as a Trade Policy Instrument by Antoni Haniotis”, in Cottier, T. and P. C. Mavroidis (eds), *State Trading in the 21st Century*, World Trade Series 1, Michigan University Press, 1998.

“Remedies in the WTO Dispute Settlement System and Developing Country Interests”, Report commissioned by the World Bank, 1999 (with Petros C. Mavroidis).

“The Gains from Trade”, in *The World Trade Brief*, Agenda Publishing, London, November 1999.

Editor of the Special Issue on the World Trade Organization, *European Journal of Political Economy*, June 2001 (with Joseph Francois).

Editor of *Principles of International Trade Law: The WTO Case Law of 2001*”, Cambridge University Press, 2003 (with Petros C. Mavroidis).

“EU Merger Control and Small Member State Interests”. Report commissioned by The Swedish Competition Board. In *The Pros and Cons of Merger Control*, Swedish Competition Board, 2002 (with Johan Stennek)

Editor of *International Labor Standards: History, Theory and Policy Options*, Blackwell, 2003 (with Kaushik Basu, Lisa Roman, and Judith Shapiro).

“Which WTO Provisions are Invoked by and against Developing Countries?”, written for *The Millennium Project Task Force No 9*, Messerlin, P. and E. Zedillo (eds), 2004.

Editor of *Principles of International Trade Law: The WTO Case Law of 2002*”, Cambridge University Press, 2005 (with Petros C. Mavroidis).

“WTO-avtalet och dess efterlevnad” (The WTO Agreement and its Implementation), in Karolina Ekholm (ed), *Internationell Ekonomi*, SNS Förlag, Stockholm, 2005.

Editor of *Principles of International Trade Law: The WTO Case Law of 2003*”, Cambridge University Press, 2006 (with Petros C. Mavroidis).

“The WTO Dispute Settlement System 1995-2004: Some descriptive statistics”,

January 2006 (with Petros C. Mavroidis). ([www.worldbank.org/trade/wtodisputes](http://www.worldbank.org/trade/wtodisputes))

“The WTO Dispute Settlement Data Set: User’s Guide”, January 2006 (with Petros C. Mavroidis). ([www.worldbank.org/trade/wtodisputes](http://www.worldbank.org/trade/wtodisputes))