



$$\frac{d^2}{dt^2}\vec{r} = \vec{f}_r(\vec{r}, \frac{d}{dt}\vec{r}, t)$$

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3 dimensional ODE of 2nd order can be changed to a
6 dimensional ODE of 1st order:

$$\frac{\frac{d}{dt}\vec{r} = \frac{1}{m\gamma}\vec{p} = \frac{c}{\sqrt{p^2 - (mc)^2}}\vec{p}}$$

$$\frac{\frac{d}{dt}\vec{p} = \vec{F}(\vec{r},\vec{p},t)$$

$$\frac{\frac{d}{dt}\vec{Z} = \vec{f}_Z(\vec{Z},t), \quad \vec{Z} = (\vec{r},\vec{p})$$

If the force does not depend on time, as in a typical beam line magnet, the energy is conserved so that one can reduce the dimension to 5. The equation of motion is then autonomous.

Furthermore, the time dependence is often not as interesting as the trajectory along the accelerator length "s". Using "s" as the independent variable reduces the dimensions to 4. The equation of motion is then no longer autonomous.

$$\frac{d}{ds}\vec{z} = \vec{f}_z(\vec{z},s), \quad \vec{z} = (x, y, p_x, p_y)$$

CHES





Usually one prefers to compute the trajectory as a function of "s" along the accelerator even when the energy is not conserved, as when accelerating cavities are in the accelerator.

Then the energy "E" and the time "t" at which a particle arrives at the cavities are important. And the equations become 6 dimensional again:

$$\frac{d}{ds}\vec{z} = \vec{f}_z(\vec{z},s), \quad \vec{z} = (x, y, p_x, p_y, -t, E)$$

But: $\vec{z} = (\vec{r}, \vec{p})$ is an especially suitable variable, since it is a phase space vector so that its equation of motion comes from a Hamiltonian, or by variation principle from a Lagrangian.

$$\begin{split} &\delta \int \left[p_x \dot{x} + p_y \dot{y} + p_s \dot{s} - H(\vec{r}, \vec{p}, t) \right] dt = 0 \implies \text{Hamiltonian motion} \\ &\delta \int \left[p_x x' + p_y y' - H t' + p_s (x, y, p_x, p_y, t, H) \right] ds = 0 \implies \text{Hamiltonian motion} \\ &\text{The new canonical coordinates are: } \vec{z} = (x, y, p_x, p_y, -t, E) \quad \text{with} \quad E = H \\ &\text{The new Hamiltonian is:} \qquad K = -p_s(\vec{z}, s) \end{split}$$



Significance of Hamiltonian



The equations of motion can be determined by one function:

$$\frac{d}{ds}x = \partial_{p_x}H(\vec{z},s), \quad \frac{d}{ds}p_x = -\partial_xH(\vec{z},s), \quad \dots$$

$$\frac{d}{ds}\vec{z} = \vec{J}\vec{\partial}H(\vec{z},s) = \vec{F}(\vec{z},s) \quad \text{with} \quad \vec{J} = \text{diag}(\vec{J}_2), \quad \vec{J}_2 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$
The force has a Hamiltonian Jacobi Matrix:

A linear force:
$$\vec{F}(\vec{z},s) = \underline{F}(s) \cdot \vec{z}$$
The Jacobi Matrix of a linear force:
$$\vec{F}(s)$$
The general Jacobi Matrix :
$$F_{ij} = \partial_{z_j}F_i \quad \text{or} \quad \vec{F} = \left(\vec{\partial}\vec{F}^T\right)^T$$
Hamiltonian Matrices:
$$\vec{F}\vec{J} + \vec{J}\vec{F}^T = 0$$
Prove :
$$F_{ij} = \partial_{z_j}F_i = \partial_{z_j}J_{ik}\partial_{z_k}H = J_{ik}\partial_k\partial_jH \quad \Rightarrow \quad \vec{F} = \vec{J}\vec{D}H$$

$$\vec{F}\vec{J} + \vec{J}\vec{F}^T = \vec{J}\vec{D}\vec{J}H + \vec{J}\vec{D}^T\vec{J}^TH = 0$$



H i Symplectic Flows



The flow or transport map:

A linear flow:

 $\frac{d}{ds}\vec{z} = \frac{d}{ds}\vec{M}$

The Jacobi Matrix of a linear flow: M(s)

The general Jacobi Matrix :

The Symplectic Group SP(2N) : $M J M^{T} = J$

 $\vec{z}(s) = M(s, \vec{z}_0)$ $\vec{z}(s) = M(s) \cdot \vec{z}_0$

 $M_{ij} = \partial_{z_{0i}} M_i$ or

$$\underline{M} = \left(\vec{\partial}_0 \vec{M}^T \right)^T$$

$$(s, \vec{z}_0) = \underline{J} \vec{\nabla} H = \vec{F} \qquad \frac{d}{ds} M_{ij} = \partial_{z_{0j}} F_i(\vec{z}, s) = \partial_{z_{0j}} M_k \partial_{z_k} F_i(\vec{z}, s)$$
$$\frac{d}{ds} \underline{M}(s, \vec{z}_0) = \underline{F}(\vec{z}, s) \underline{M}(s, \vec{z}_0)$$

 $K = M J M^{T}$ $\frac{d}{ds}\underline{K} = \frac{d}{ds}\underline{M}\underline{J}\underline{M}^{T} + \underline{M}\underline{J}\frac{d}{ds}\underline{M}^{T} = \underline{F}\underline{M}\underline{J}\underline{M}^{T} + \underline{M}\underline{J}\underline{M}^{T}\underline{F}^{T} = \underline{F}\underline{K} + \underline{K}\underline{F}^{T}$

K = J is a solution. Since this is a linear ODE, K = J is the unique solution.



Symplectic Flows i H

For every symplectic transport map there is a Hamilton function

The flow or transport map:

Force vector:

Since then:

$$\vec{z}(s) = \vec{M}(s, \vec{z}_0)$$

$$\vec{h}(\vec{z}, s) = -\underline{J} \left[\frac{d}{ds} \vec{M}(s, \vec{z}_0) \right]_{\vec{z}_0 = \vec{M}^{-1}(\vec{z}, s)}$$

$$\frac{d}{ds} \vec{z} = \underline{J} \vec{h}(\vec{z}, s)$$

There is a Hamilton function H with: $\vec{h} = \vec{\partial} H$

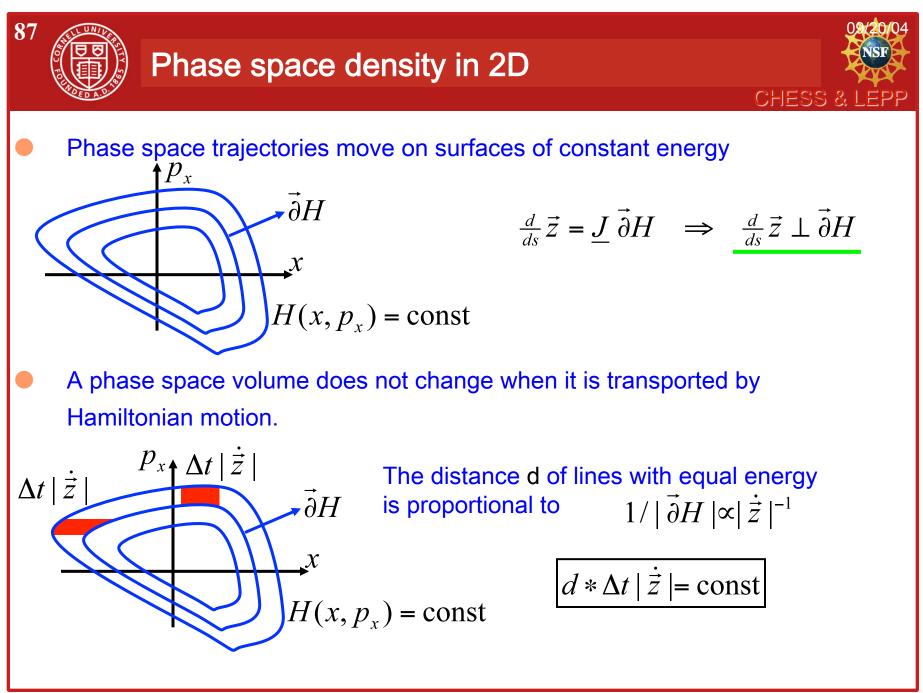
If and only if:

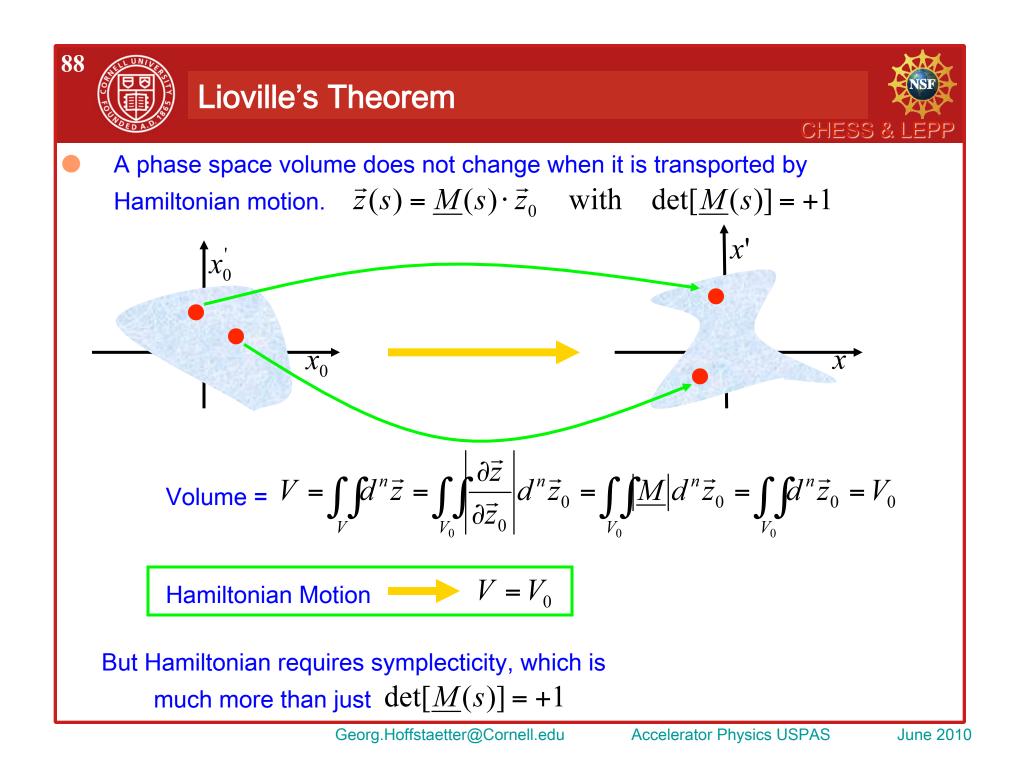
$$\partial_{z_j} h_i = \partial_{z_i} h_j \implies \underline{h} = \underline{h}^T$$

$$\underline{MJM}^{T} = \underline{J} \implies \begin{cases} \frac{d}{ds} \underline{MJM}^{T} = -\underline{MJ} \frac{d}{ds} \underline{M}^{T} \\ \underline{M}^{-1} = -\underline{JM}^{T} \underline{J} \end{cases}$$

 $\vec{h} \circ \vec{M} = -\underline{J} \frac{d}{ds} \vec{M}$ $\underline{h}(\vec{M})\underline{M} = -\underline{J} \frac{d}{ds} \underline{M}$ $\underline{h}(\vec{M}) = -\underline{J} \frac{d}{ds} \underline{M}\underline{M}^{-1} = \underline{J} \frac{d}{ds} \underline{M}\underline{J}\underline{M}^{T} \underline{J} = -\underline{J}\underline{M}\underline{J} \frac{d}{ds} \underline{M}^{T} \underline{J} = \underline{M}^{-T} \frac{d}{ds} \underline{M}^{T} \underline{J} = \underline{h}^{T}$ $\frac{d}{ds} \underline{M}^{T} \underline{J} = \underline{M}^{-T} \frac{d}{ds} \underline{M}^{T} \underline{J} = \underline{h}^{T}$ $\frac{d}{ds} \underline{M}^{T} \underline{J} = \underline{M}^{-T} \frac{d}{ds} \underline{M}^{T} \underline{J} = \underline{h}^{T}$ $\frac{d}{ds} \underline{M}^{T} \underline{J} = \underline{M}^{-T} \frac{d}{ds} \underline{M}^{T} \underline{J} = \underline{M}^{-T} \frac{d}{ds} \underline{M}^{T} \underline{J} = \underline{M}^{T}$ $\frac{d}{ds} \underline{M}^{T} \underline{J} = \underline{M}^{T}$









Generating Functions



The motion of particles can be represented by Generating Functions

Each flow or transport map:

$$M_{ij} = \partial_{z_{0i}} M_i$$

or

 $\vec{z}(s) = M(s, \vec{z}_0)$

$$\underline{M} = \left(\vec{\partial}_0 \vec{M}^T \right)^T$$

That is Symplectic:

$$\underline{M} \underline{J} \underline{M}^{T} = \underline{J}$$

Can be represented by a Generating Function:

 $F_{1}(\vec{q},\vec{q}_{0},s) \quad \text{with} \quad \vec{p} = -\vec{\partial}_{q}F_{1} \quad , \quad \vec{p}_{0} = \quad \vec{\partial}_{q_{0}}F_{1}$ $F_{2}(\vec{p},\vec{q}_{0},s) \quad \text{with} \quad \vec{q} = \quad \vec{\partial}_{p}F_{2} \quad , \quad \vec{p}_{0} = \quad \vec{\partial}_{q_{0}}F_{2}$ $F_{3}(\vec{q},\vec{p}_{0},s) \quad \text{with} \quad \vec{p} = -\vec{\partial}_{q}F_{3} \quad , \quad \vec{q}_{0} = -\vec{\partial}_{p_{0}}F_{3}$ $F_{4}(\vec{p},\vec{p}_{0},s) \quad \text{with} \quad \vec{q} = \quad \vec{\partial}_{q}F_{4} \quad , \quad \vec{q}_{0} = -\vec{\partial}_{p_{0}}F_{4}$ 6-dimensional motion needs only one function ! But to obtain the transport map this has to be inverted.

Georg.Hoffstaetter@Cornell.edu

F i SP(2N) [for notes]

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Generating Functions produce symplectic tranport maps

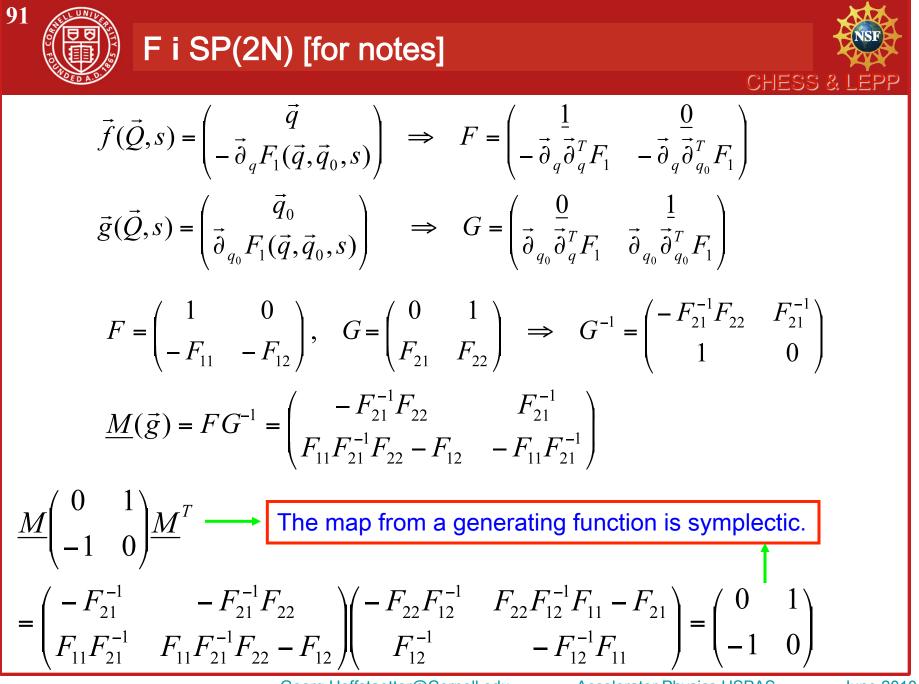
$$\begin{aligned} F_{1}(\vec{q},\vec{q}_{0},s) & \text{with} \quad \vec{p} = -\vec{\partial}_{q}F_{1}(\vec{q},\vec{q}_{0},s) &, \quad \vec{p}_{0} = \quad \vec{\partial}_{q_{0}}F_{1}(\vec{q},\vec{q}_{0},s) \\ \vec{z} &= \begin{pmatrix} \vec{q} \\ \vec{p} \end{pmatrix} = \begin{pmatrix} \vec{q} \\ -\vec{\partial}_{q}F_{1}(\vec{q},\vec{q}_{0},s) \end{pmatrix} = \vec{f}(\vec{Q},s) \\ \vec{z} &= \vec{f}(\vec{g}^{-1}(\vec{z}_{0},s),s) \\ \vec{z} &= \vec{f} \circ \vec{g}^{-1} \\ \vec{p}_{0} \end{pmatrix} = \begin{pmatrix} \vec{q}_{0} \\ \vec{\partial}_{q_{0}}F_{1}(\vec{q},\vec{q}_{0},s) \end{pmatrix} = \vec{g}(\vec{Q},s) \end{aligned}$$

Jacobi matrix of concatenated functions:

$$\vec{C}(\vec{z}_0) = \vec{A} \circ \vec{B}(\vec{z}_0)$$

$$C_{ij} = \partial_j C_i = \sum_k \partial_{z_{0j}} B_k(\vec{z}_0) \left[\partial_{z_k} A_i(\vec{z}) \right]_{\vec{z} = \vec{B}(\vec{z}_0)} \implies \underline{C} = \underline{A}(\vec{B})\underline{B}$$

$$\vec{M} \circ \vec{g} = \vec{f} \implies \underline{M}(\vec{g}) = \underline{F}\underline{G}^{-1}$$



Georg.Hoffstaetter@Cornell.edu

Accelerator Physics USPAS



SP(2N) i F [for notes]



Symplectic tranport maps have a Generating Functions

$$\vec{z} = \vec{M}(\vec{z}_0)$$

$$\begin{pmatrix} \vec{q} \\ \vec{q}_0 \end{pmatrix} = \begin{pmatrix} \vec{M}_1(\vec{z}_0) \\ \vec{q}_0 \end{pmatrix} = \vec{l}(\vec{z}_0), \quad \begin{pmatrix} \vec{p}_0 \\ \vec{p} \end{pmatrix} = \begin{pmatrix} \vec{p}_0 \\ \vec{M}_2(\vec{z}_0) \end{pmatrix} = \vec{h}(\vec{z}_0) = \underline{J} \begin{bmatrix} \vec{\partial} F_1(\vec{q}, \vec{q}_0) \end{bmatrix}_{\vec{l}(\vec{z}_0)}$$

$$\vec{\partial} F_1 = -\underline{J}\vec{h} \circ \vec{l}^{-1} = \vec{F}$$
For F₁ to exist it is necessary and sufficient that $\partial_i F_j = \partial_j F_i \implies \underline{F} = \underline{F}^T$

$$-\underline{J}\vec{h} = \vec{F} \circ \vec{l} \implies -\underline{J}\underline{h} = \underline{F}(\vec{l}) \underline{l}$$

Is <u>J h l</u>⁻¹ symmetric ? Yes since:

$$\underline{Jh} \underline{l}^{-1} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ \vec{\partial}_{q_0}^T \vec{M}_2 & \vec{\partial}_{p_0}^T \vec{M}_2 \end{pmatrix} \begin{pmatrix} \vec{\partial}_{q_0}^T \vec{M}_1 & \vec{\partial}_{p_0}^T \vec{M}_1 \\ 1 & 0 \end{pmatrix}^{-1} \\
= \begin{pmatrix} M_{21} & M_{22} \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & 1 \\ M_{12}^{-1} & -M_{12}^{-1} M_{11} \end{pmatrix} = \begin{pmatrix} M_{22} M_{12}^{-1} & M_{21} - M_{22} M_{12}^{-1} M_{11} \\ M_{12}^{-1} & M_{12}^{-1} M_{11} \end{pmatrix}$$



SP(2N) i F [for notes]



$$\underbrace{Jh} I^{-1} = \begin{pmatrix} M_{22}M_{12}^{-1} & M_{21} - M_{22}M_{12}^{-1}M_{11} \\ M_{12}^{-1} & M_{12}^{-1}M_{11} \end{pmatrix} = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$$

$$\vec{M}(\vec{z}_{0}) = \begin{pmatrix} \vec{M}_{1}(\vec{q}_{0}, \vec{p}_{0}) \\ \vec{M}_{2}(\vec{q}_{0}, \vec{p}_{0}) \end{pmatrix}, \quad \underline{M} = \begin{pmatrix} M_{11} & M_{12} \\ M_{21} & M_{22} \end{pmatrix}$$

$$\underbrace{M\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}} \underline{M}^{T} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \qquad \begin{pmatrix} -M_{12} & M_{11} \\ -M_{22} & M_{21} \end{pmatrix} \begin{pmatrix} M_{11}^{T} & M_{21}^{T} \\ M_{12}^{T} & M_{22}^{T} \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$

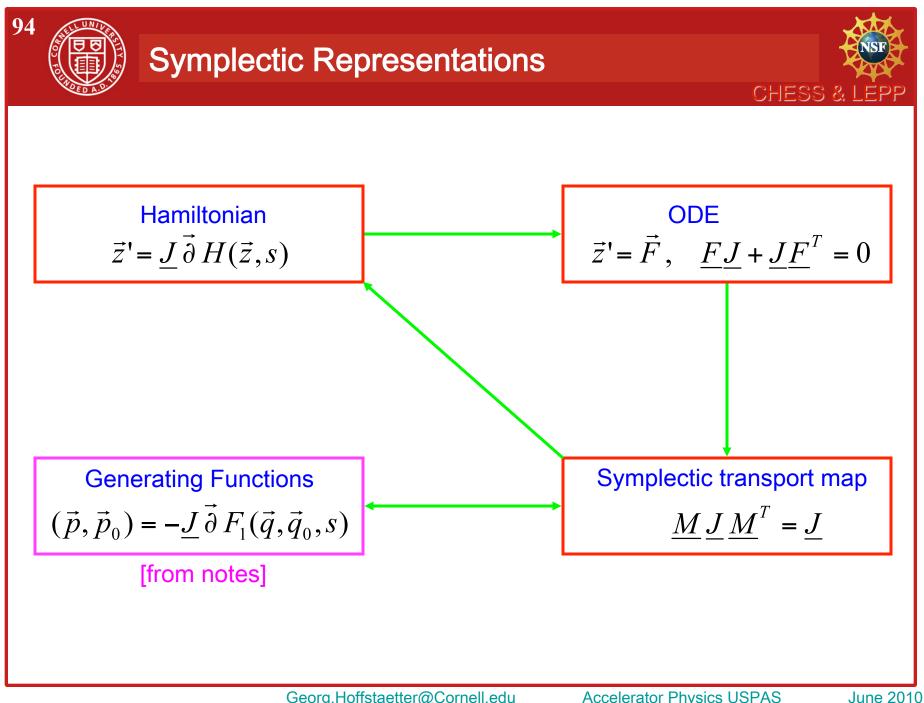
$$\underbrace{M_{12}M_{11}^{T} = M_{11}M_{12}^{T}}_{M_{12}^{T} = M_{22}M_{21}^{T}} \Rightarrow \begin{pmatrix} M_{12}^{-1}M_{11} \end{pmatrix} = \begin{bmatrix} M_{12}^{-1}M_{11}M_{12}^{T} \end{bmatrix} M_{12}^{-T} = M_{12}^{-1}M_{11}$$

$$\underbrace{M_{21}M_{22}^{T} = M_{22}M_{21}^{T}}_{M_{11}^{T} - M_{21}}M_{12}^{T} = 1$$

$$\underbrace{M_{12}M_{11}^{T} - M_{21}M_{12}^{T} = 1$$

$$\underbrace{M_{22}M_{11}^{T} - M_{21}M_{12}^{T} = 1$$

$$\underbrace{M_{22}M_{12}^{-1} \end{pmatrix} = \begin{bmatrix} M_{22}M_{11}^{T}M_{12}^{-T} - M_{21} \end{bmatrix} M_{22}^{T} = M_{22}\begin{bmatrix} M_{12}^{-1}M_{11}M_{12}^{T} - M_{21}^{T} \end{bmatrix} = M_{22}M_{12}^{-1}$$







Determinant of the transfer matrix of linear motion is 1: $\vec{z}(s) = \underline{M}(s) \cdot \vec{z}_0$ with $det(\underline{M}(s)) = +1$

One function suffices to compute the total nonlinear transfer map:

 $F_1(\vec{q}, \vec{q}_0, s)$ with $\vec{p} = -\vec{\partial}_q F_1(\vec{q}, \vec{q}_0, s)$, $\vec{p}_0 = \vec{\partial}_{q_0} F_1(\vec{q}, \vec{q}_0, s)$

$$\vec{z} = \begin{pmatrix} \vec{q} \\ \vec{p} \end{pmatrix} = \begin{pmatrix} \vec{q} \\ -\vec{\partial}_q F_1(\vec{q}, \vec{q}_0, s) \end{pmatrix} = \vec{f}(\vec{Q}, s) \\ \vec{z} = \vec{f}(\vec{g}^{-1}(\vec{z}_0, s), s) \\ \vec{z} = \vec{f}(\vec{g}^{-1}(\vec{z}_0, s), s) \\ \vec{z} = \vec{f} \circ \vec{g}^{-1} \\ \vec{d}_{q_0} F_1(\vec{q}, \vec{q}_0, s) \end{pmatrix} = \vec{g}(\vec{Q}, s)$$

Therefore Taylor Expansion coefficients of the transport map are related.

- Computer codes can numerically approximate $\vec{M}(s, \vec{z}_0)$ with exact symplectic symmetry.
- Liouville's Theorem for phase space densities holds.



Eigenvalues of a Symplectic Matrix



For matrices with real coefficients:

If there is an eigenvector and eigenvalue: $\underline{M}\vec{v}_i = \lambda_i\vec{v}_i$ then the complex conjugates are also eigenvector and eigenvalue: $\underline{M}\vec{v}_i^* = \lambda_i^*\vec{v}_i^*$

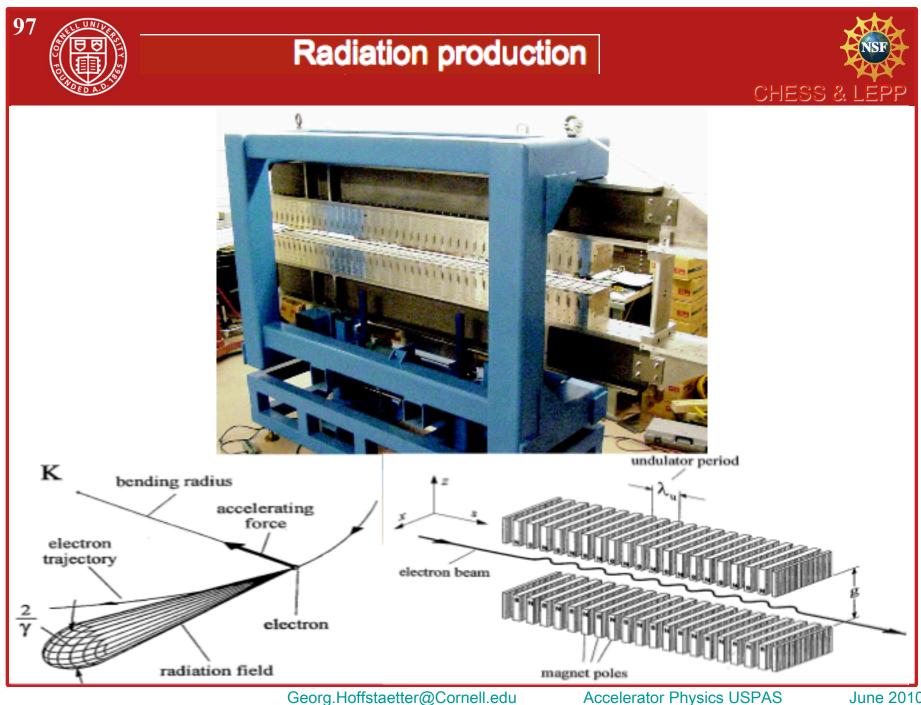
For symplectic matrices:

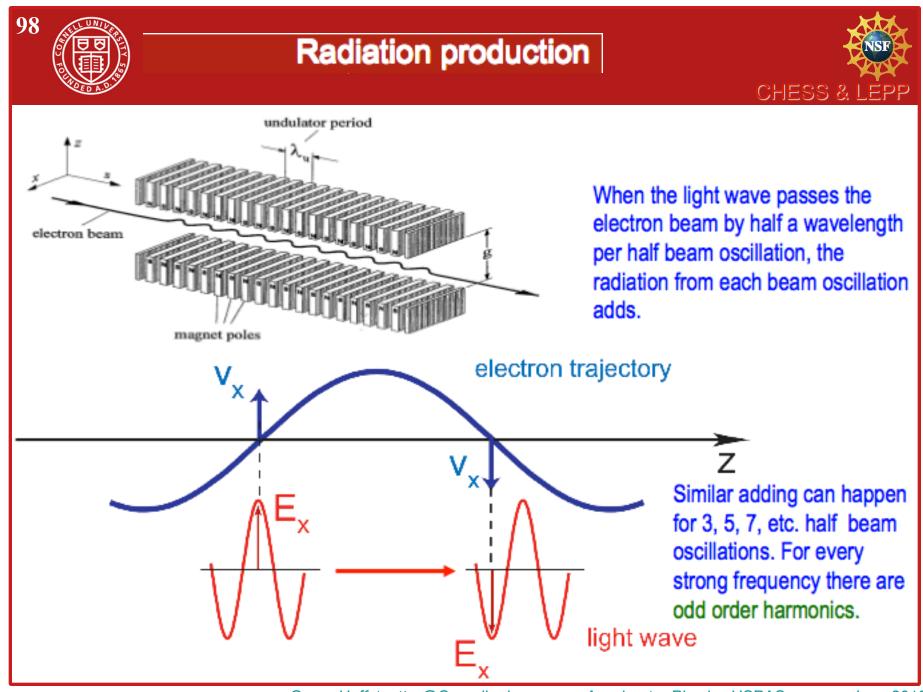
If there are eigenvectors and eigenvalues: $\underline{M}\vec{v}_i = \lambda_i\vec{v}_i$ with $\underline{J} = \underline{M}^T \underline{J} \underline{M}$

then
$$\vec{v}_i^T \underline{J} \vec{v}_j = \vec{v}_i^T \underline{M}^T \underline{J} \underline{M} \vec{v}_j = \lambda_i \lambda_j \vec{v}_i^T \underline{J} \vec{v}_j \implies \vec{v}_i^T \underline{J} \vec{v}_j (\lambda_i \lambda_j - 1) = 0$$

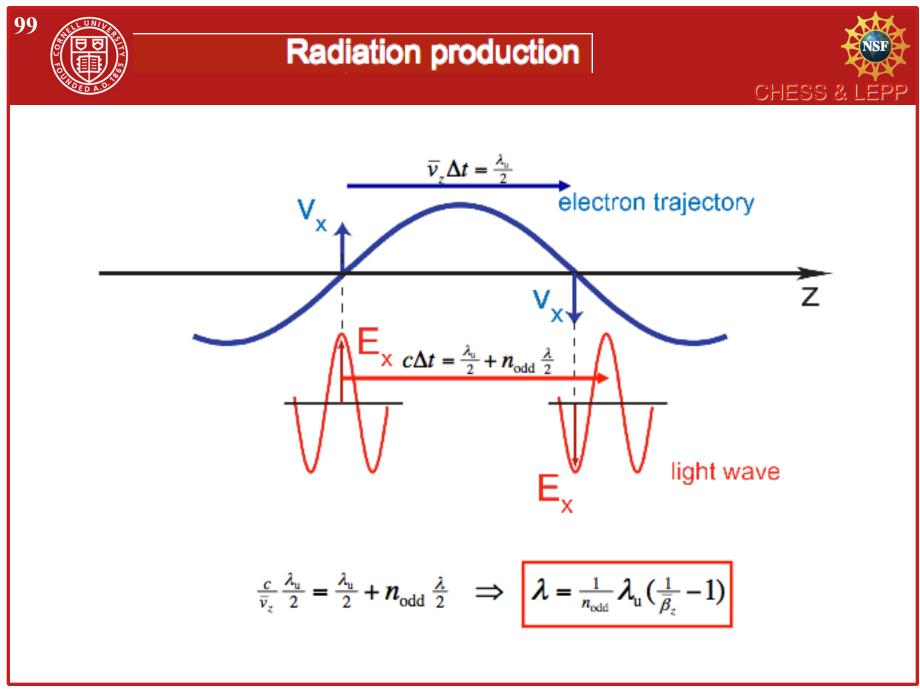
Therefore $\underline{J}\overline{v}_{j}$ is orthogonal to all eigenvectors with eigenvalues that are not $1/\lambda_{j}$. Since it cannot be orthogonal to all eigenvectors, there is at least one eigenvector with eigenvalue $1/\lambda_{j}$

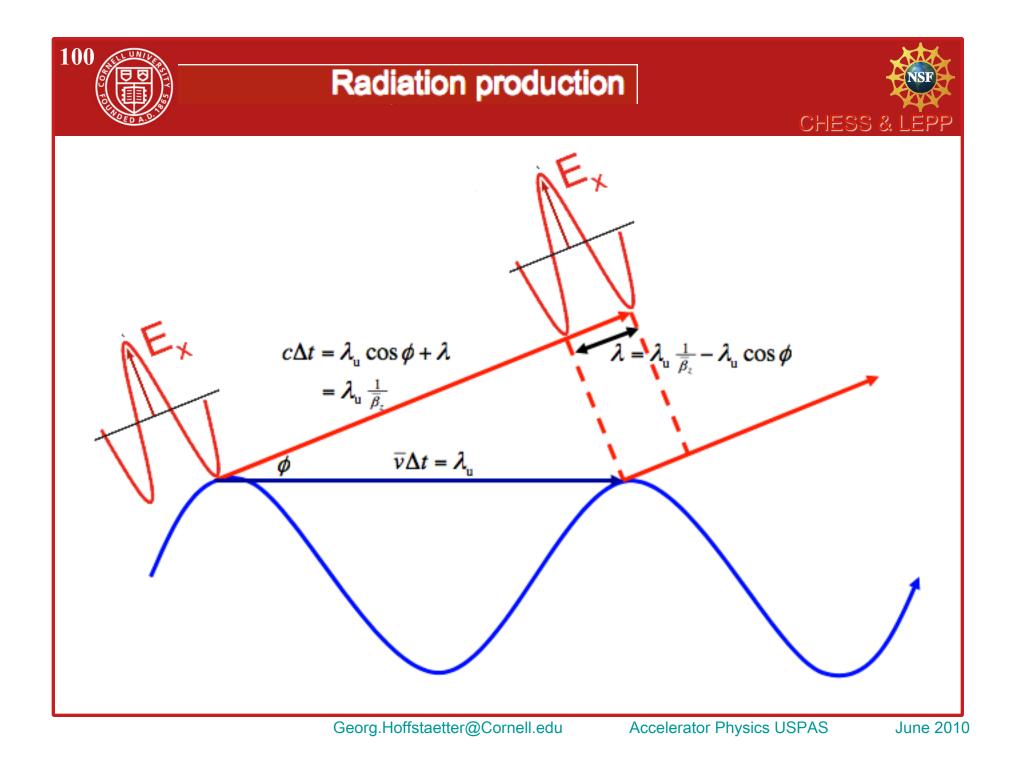
Two dimensions: λ_j is eigenvalue Then $1/\lambda_j$ and λ_j^* are eigenvalues $\frac{\lambda_2 = 1/\lambda_1 = \lambda_1^*}{\lambda_2 = 1/\lambda_1 = \lambda_2^*} \Rightarrow |\lambda_j| = 1$ Georg.Hoffstaetter@Cornell.edu Four dimensions: λ_j λ_j

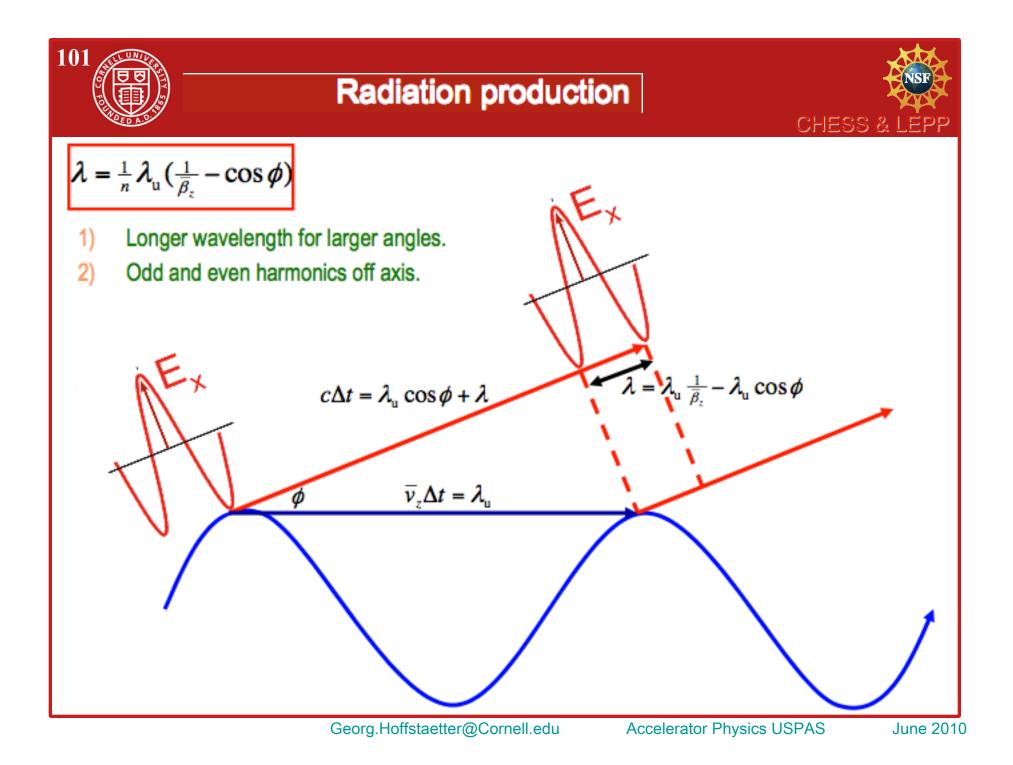


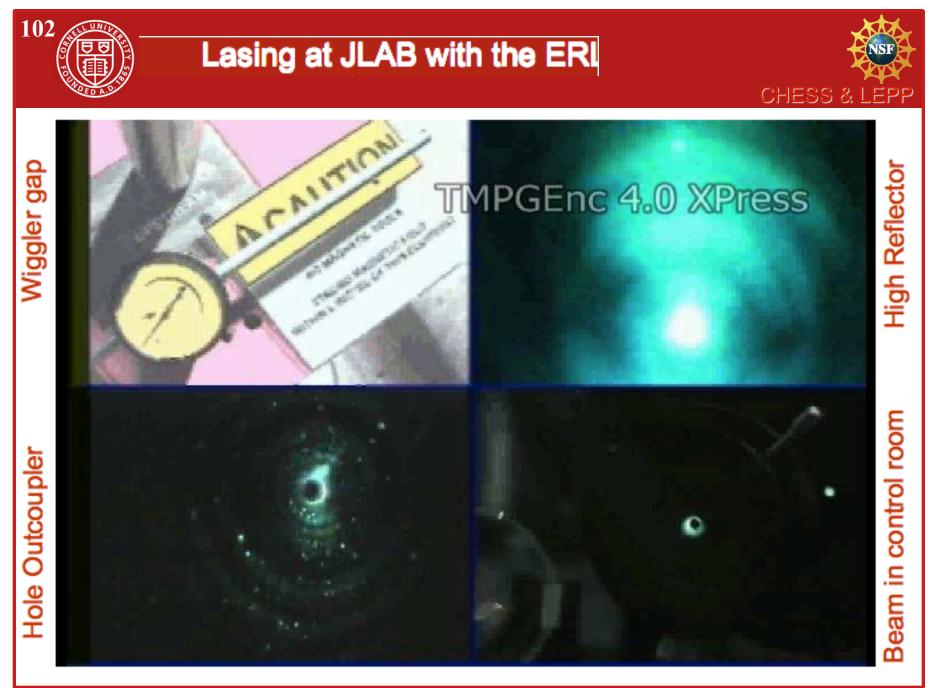


Georg.Hoffstaetter@Cornell.edu

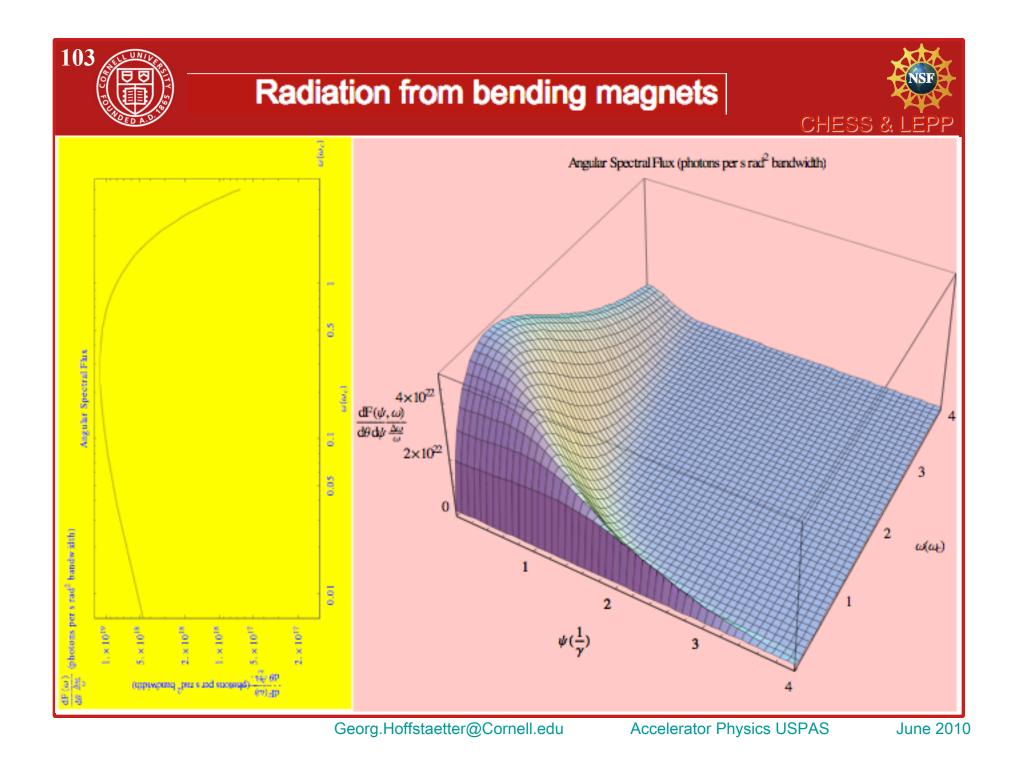








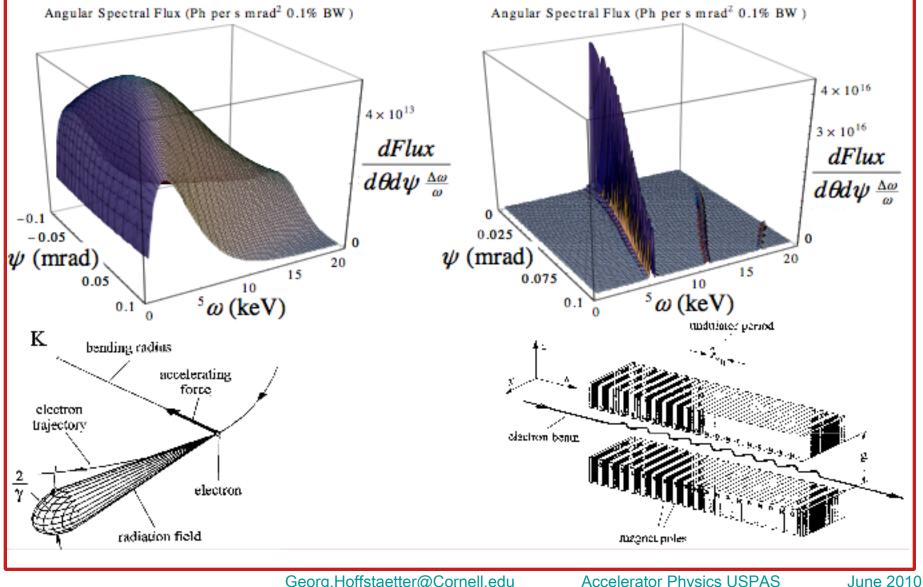
Georg.Hoffstaetter@Cornell.edu Accelerator Physics USPAS



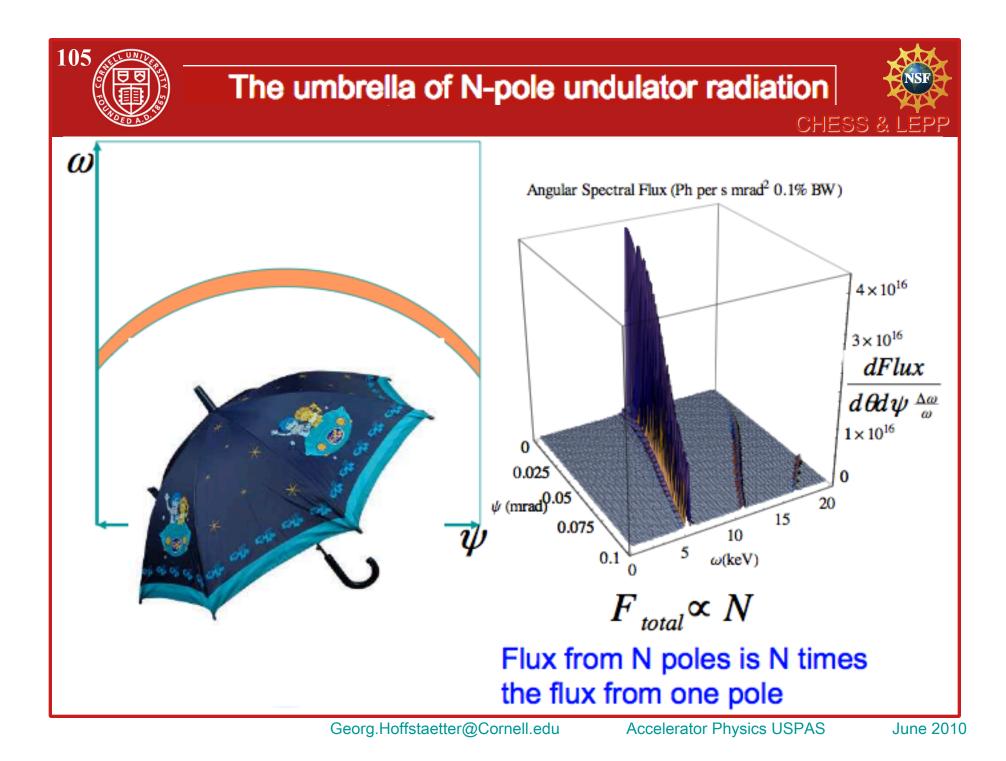


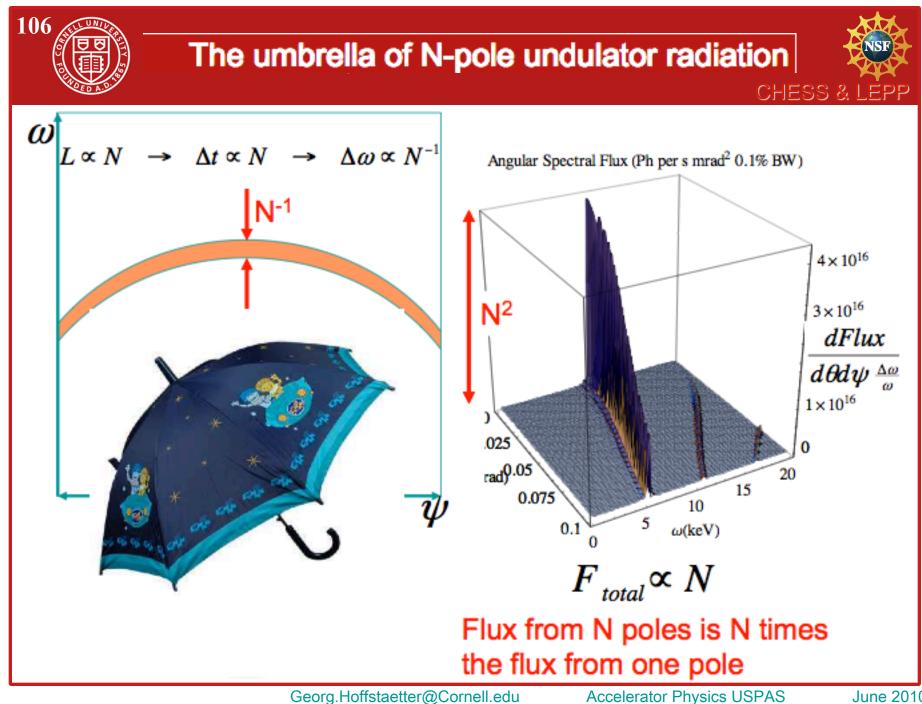
Photon flux in Bends and Undulator

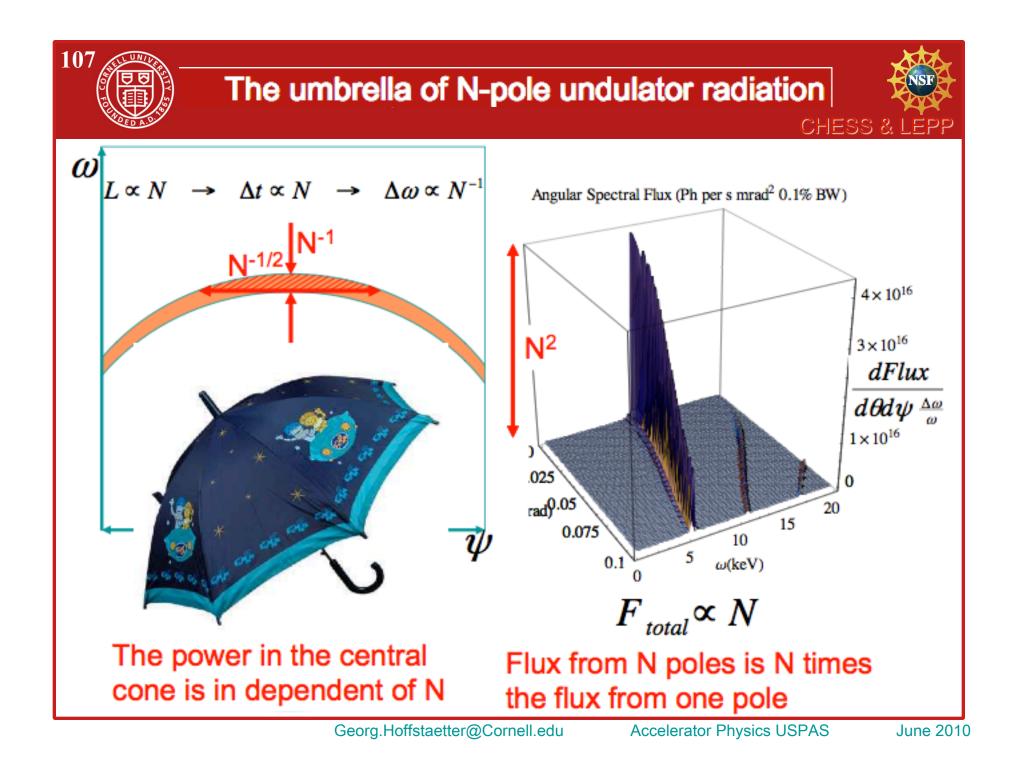


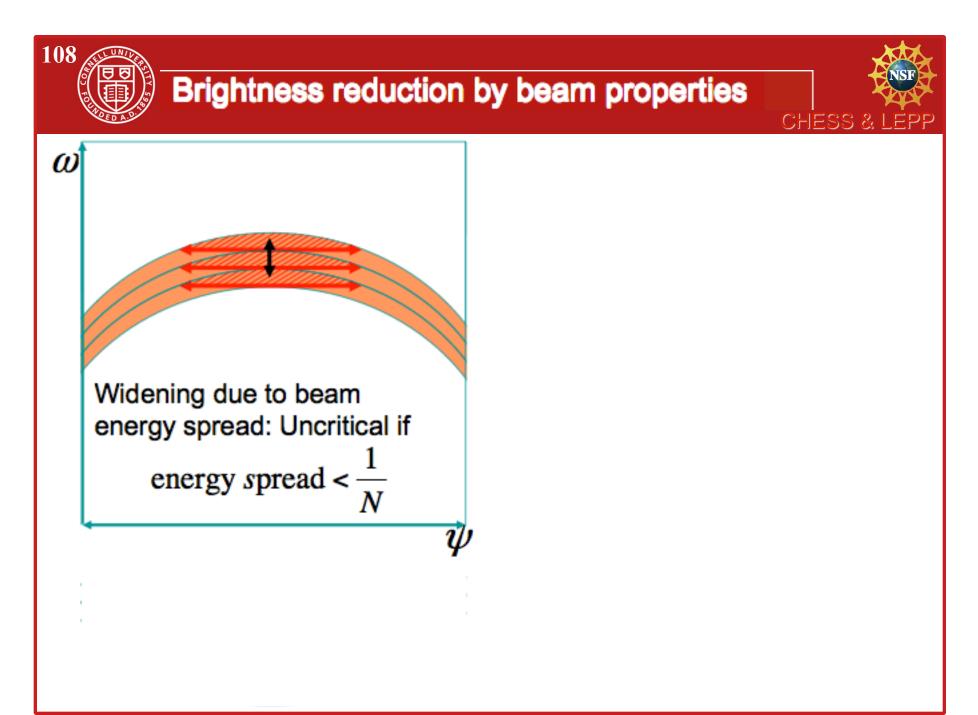


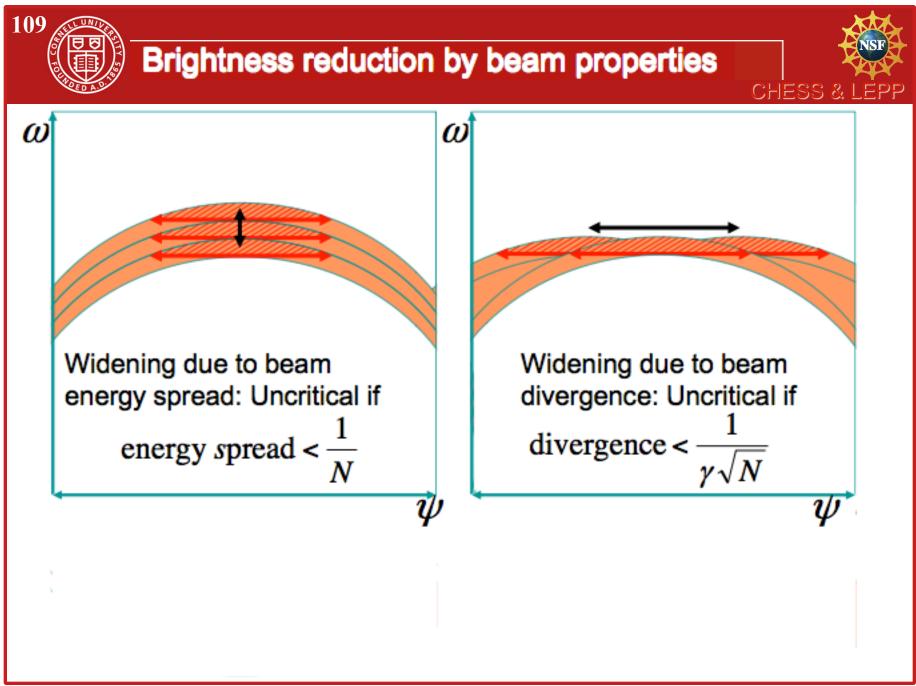
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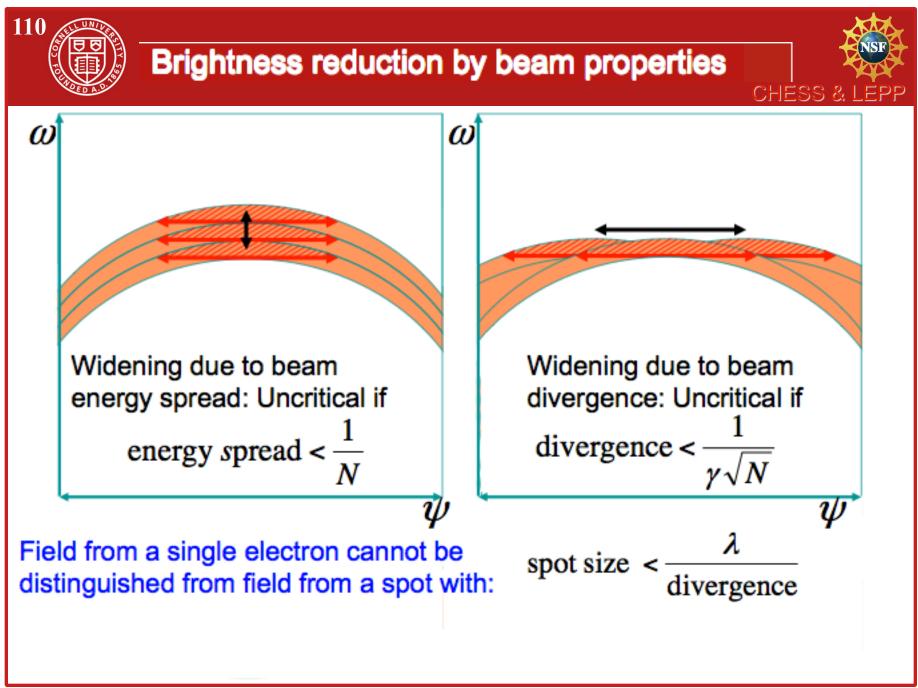


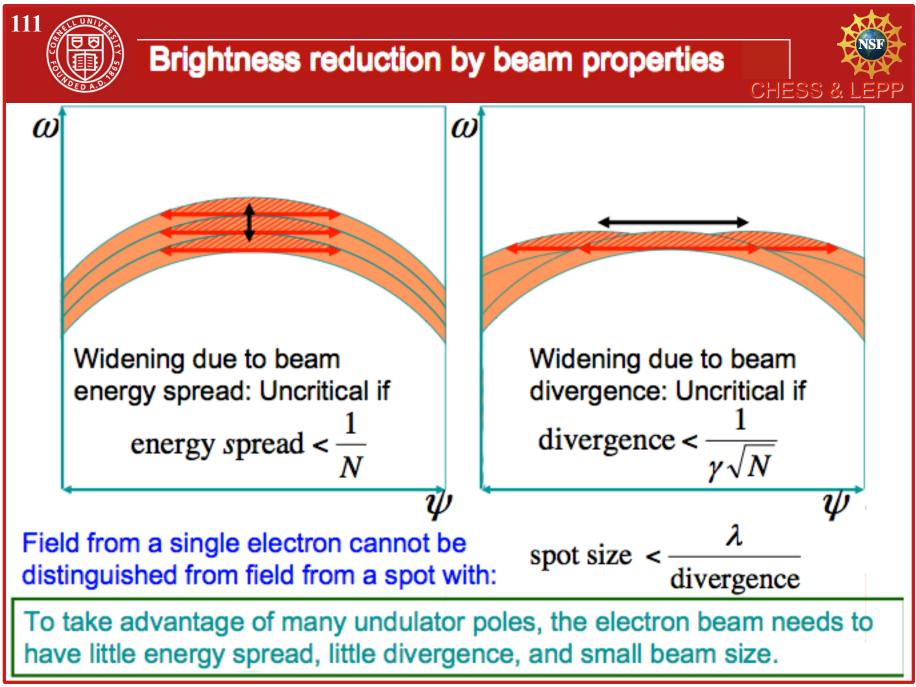




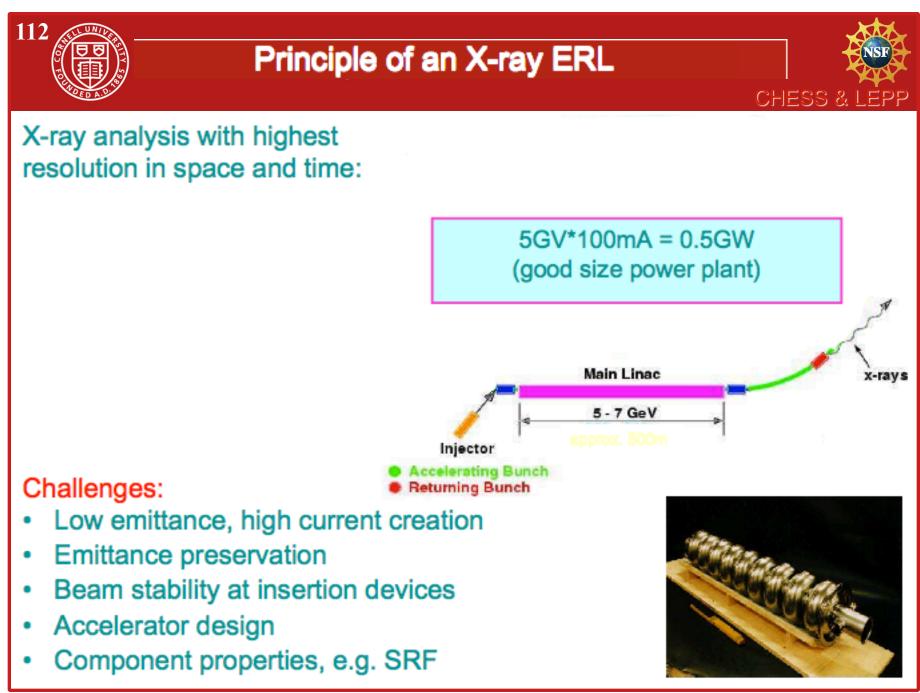


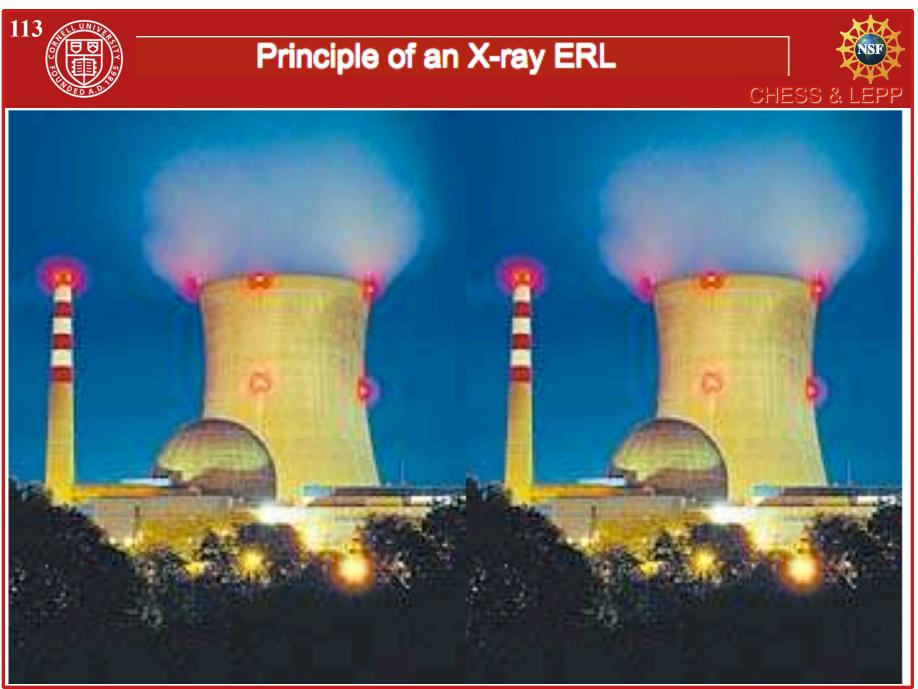






Georg.Hoffstaetter@Cornell.edu Accelerat





Georg.Hoffstaetter@Cornell.edu Accelerator Physics USPAS

