# Appendix A

# DANIEL R. FISCHEL

Business Address:

Compass Lexecon 332 South Michigan Avenue Chicago, Illinois 60604 Tel: 312-322-0209 dfischel@compasslexecon.com

# PROFESSIONAL EXPERIENCE

Lee and Brena Freeman Professor of Law and Business, University of Chicago Law School (7/89 – 12/2005, emeritus as of 1/1/2006); Dean of Law School (1/99 – 2/01); Professor of Law, University of Chicago Law School (1/84 - present); Visiting Professor of Law, University of Chicago Law School (7/82 - 6/83).

Professor of Law and Business, Northwestern University School of Law (1/1/2006 – 5/2011).

Professor, Kellogg School of Management (courtesy appointment, 1/1/2006 – 5/2011).

Jack N. Pritzker Distinguished Visiting Professor of Law, Northwestern University School of Law (6/02-6/03).

Professor of Law and Business, University of Chicago Graduate School of Business (7/87 - 6/90).

Director, Law and Economics Program, University of Chicago (1/84 - 6/91).

Assistant Professor of Law, Northwestern University School of Law (6/80 - 6/81); Associate Professor of Law, Northwestern University School of Law (6/81 - 6/82); promoted to full professor in 6/82.

Attorney with Levy and Erens, Chicago, Illinois (7/79 - 6/80).

Law Clerk for Associate Justice Potter Stewart of the United States Supreme Court (1978 - 1979).

Law Clerk for Judge Thomas E. Fairchild, Chief Judge of the Seventh Circuit Court of Appeals (1977 - 1978).

# **CONSULTING EXPERIENCE**

President and Chairman, Compass Lexecon (formerly Lexecon).

May 2014

### AREAS OF SPECIALIZATION

Corporate Finance, Corporate Control Transactions, Corporations, Financial Institutions, Regulation of Financial Markets, Regulation of Investments, Economic Analysis of Law.

#### PUBLICATIONS

Payback: The Conspiracy to Destroy Michael Milken and His Financial Revolution, Harper Business (1995).

The Economic Structure of Corporate Law, Harvard University Press (1991) (with Frank H. Easterbrook).

### **ARTICLES**

<u>The Use of Trading Models to Estimate Aggregate Damages in Securities Fraud Litigation: An</u> <u>Update, Briefly... Perspectives on Legislation, Regulation, and Litigation</u>, Vol. 10, No. 3 (National Legal Center for the Public Interest, 2006) (with David J. Ross and Michael A. Keable).

<u>The Hewlett-Packard Merger: A Case Study</u>, in <u>The New Investor Relations, Expert</u> <u>Perspectives on The State Of The Art</u> (Bloomberg Press Princeton, 2004) (with Kenneth R. Cone, Gregory J. Pelnar and David J. Ross).

Market Evidence in Corporate Law, 69 U. Chi. L. Rev. 941 (2002).

Multidisciplinary Practice, The Business Lawyer, Vol. 55, (May 2000).

<u>Government Liability for Breach of Contract</u>, American L. & Econ. Rev. V1 N1/2 313 (1999) (with Alan Sykes).

Lawyers and Confidentiality, 65 U. Chi. L. Rev. 1 (1998).

The Law and Economics of Vanishing Premium Life Insurance, 22 Del. J. Corp. Law 1 (1997) (with Robert S. Stillman).

<u>Clustering and Competition in Asset Markets</u>, 20 J. Law & Econ. 23 (1997) (with Sanford J. Grossman, Merton H. Miller, Kenneth R. Cone and David J. Ross).

Corporate Crime, 25 J. Legal Studies 319 (1996) (with Alan O. Sykes).

<u>The Use of Trading Models to Estimate Aggregate Damages in Securities Fraud Litigation: A</u> <u>Proposal for Change</u>, in <u>Securities Class Actions: Abuses and Remedies</u> (The National Legal Center for the Public Interest, 1994) (with David J. Ross).

Civil Rico After Reves: An Economic Commentary, 1993 Sup. Ct. Rev. 157 (with Alan O. Sykes).

Contract and Fiduciary Duty, 36 J. Law & Econ. 425 (1993) (with Frank H. Easterbrook).

Should the Law Prohibit "Manipulation" in Financial Markets?, 105 Harv. L. Rev. 503 (1991) (with David J. Ross).

Efficient Capital Markets, the Crash, and the Fraud on the Market Theory, 74 Cornell L. Rev. 907 (1989).

<u>The Corporate Contract</u>, 89 Colum. L. Rev. 1416 (1989) (with Frank H. Easterbrook); also published in <u>Corporate Law and Economic Analysis</u> (Cambridge University Press 1990) (Lucian Bebchuk ed.).

The Economics of Lender Liability, 99 Yale L. J. 131 (1989).

<u>Should One Agency Regulate Financial Markets</u>, in <u>Black Monday and the Future of Financial</u> <u>Markets</u> (R. Kormendi, R. Kamphuis & J. W. H. Watson, ed.) (Dow Jones-Irwin Inc., 1988).

ERISA's Fundamental Contradiction: The Exclusive Benefit Rule, 55 U. Chi. L. Rev. 1105 (1988) (with John H. Langbein).

From MITE to CTS: Takeovers, the Williams Act and the Commerce Clause, 1987 Sup. Ct. Rev. 47.

<u>The Regulation of Banks and Bank Holding Companies</u>, 73 Va. L. Rev. 301 (1987) (with Andrew M. Rosenfield and Robert S. Stillman).

The Regulation of Accounting: Some Economic Issues, 52 Brooklyn L. Rev. 1051 (1987).

Organized Exchanges and the Regulation of Dual Class Common Stock, 54 U. Chi. L. Rev. 119 (1987).

Comparable Worth and Discrimination in Labor Markets, 53 U. Chi. L. Rev. 891 (1986) (with Edward P. Lazear).

Comparable Worth: A Rejoinder, 53 U. Chi. L. Rev. 950 (1986) (with Edward P. Lazear).

Close Corporations and Agency Costs, 38 Stan. L. Rev. 271 (1986) (with Frank H. Easterbrook).

<u>The Role of Liability Rules and the Derivative Suit in Corporate Law: A Theoretical and Empirical Analysis</u>, 71 Corn. L. Rev. 261 (1986) (with Michael Bradley).

Regulatory Conflict and Entry Regulation of New Futures Contracts, 59 J. Bus. S85 (1985).

Optimal Damages in Securities Cases, 52 U. Chi. L. Rev. 611 (1985) (with Frank H. Easterbrook).

The Business Judgment Rule and the Trans Union Case, 40 Bus. Law. 1437 (1985).

Insider Trading and Investment Analysts: An Economic Analysis of Dirks v. SEC, 13 Hofstra L. Rev. 127 (1984).

Limited Liability and the Corporation, 52 U. Chi. L. Rev. 89 (1985) (with Frank H. Easterbrook).

Labor Markets and Labor Law Compared with Capital Markets and Corporate Law, 51 U. Chi. L. Rev. 1061 (1984).

Customer Protection in Futures and Securities Markets, 4 J. Futures Markets 273 (1984) (with Sanford J. Grossman).

Mandatory Disclosure and the Protection of Investors, 70 Va. L. Rev. 669 (1984) (with Frank H. Easterbrook).

The Appraisal Remedy In Corporate Law, 1983 Am. Bar Found. Res. J. 875.

The Regulation of Insider Trading, 35 Stan. L. Rev. 857 (1983) (with Dennis W. Carlton).

Voting in Corporate Law, 26 J. Law & Econ. 395 (1983) (with Frank H. Easterbrook).

<u>Auctions and Sunk Costs in Tender Offers</u>, 35 Stan. L. Rev. 1 (1982) (with Frank H. Easterbrook).

The Corporate Governance Movement, 35 Vand. L. Rev. 1259 (1982).

<u>Use of Modern Finance Theory in Securities Fraud Cases Involving Actively Traded Securities</u>, 38 Bus. Law 1 (1982).

Antitrust Suits By Targets of Tender Offers, 80 Mich. L. Rev. 1155 (May 1982) (with Frank H. Easterbrook).

Corporate Control Transactions, 91 Yale L. J. 698 (1982) (with Frank H. Easterbrook).

The "Race to the Bottom" Revisited: Reflections on Recent Developments in Delaware Corporation Law, 76 Nw. Univ. L. Rev. 913 (1982).

Takeover Bids, Defensive Tactics and Shareholders' Welfare, 36 Bus. Law 1733 (1981) (with Frank H. Easterbrook).

The Law and Economics of Dividend Policy, 67 Va. L. Rev. 699 (1981).

<u>The Proper Role of a Target's Management in Responding to a Tender Offer</u>, 94 Harv. L. Rev. 1161 (1981) (with Frank H. Easterbrook) (awarded prize by Emory University for best paper written in law and economics for the year 1981).

Secondary Liability Under Section 10(b) of the Securities Act of 1934, 69 California L. Rev. 80 (1981).

Efficient Capital Market Theory, the Market for Corporation Control, and the Regulation of Cash Tender Offers, 57 Tex. L. Rev. 1 (1978); reprinted in K. Scott and R. Posner ed., Economic Perspectives on Corporation Law and Securities Regulation (Little Brown 1980).

Antitrust Liability for Attempts to Influence Government Action: The Basis and Limits of the Noerr-Pennington Doctrine, 45 U. Chi. L. Rev. 80 (1977).

Comment, <u>The Demand and Standing Requirements in Stockholder Derivation Actions</u>, 44 U. Chi. L. Rev. 168 (1977).

Comment, <u>The Use of Government Judgments in Private Antitrust Litigation:</u> Clayton Act <u>Section 5(a)</u>, <u>Collateral Estoppel</u>, and Jury Trial, 43 U. Chi. L. Rev. 338 (1976).

### **EDUCATION**

<u>University of Chicago Law School</u>, Chicago, Illinois; J.D. 1977, cum laude; Order of the Coif; Comment Editor, Vol. 44, <u>University of Chicago Law Review</u>; Approximately top 1% of the Class. Awarded Casper Platt Award for best paper written by a student of the University of Chicago Law School; awarded Jerome N. Frank Prize for excellence in legal writing while a member of the University of Chicago Law Review, 1975 - 1977. Studied law and economics with Richard Posner and other members of the faculty.

Brown University, Providence, Rhode Island; M.A. 1974 in American History.

Cornell University, Ithaca, New York; major-American History; minor-Economics; B.A. 1972.

#### **TESTIMONY**

- Testimony of Daniel R. Fischel in <u>Hugh M. Caperton, Harman Development Corporation,</u> <u>Harman Mining Corporation, and Sovereign Coal Sales, Inc. v. A.T. Massey Coal</u> <u>Company, Inc.</u>, In the Circuit Court for Buchanan County, Case No. 027CL10000771-00 (May 20 and 21, 2014).
- Deposition of Daniel R. Fischel in <u>Center Partners, Ltd., et al v. Urban Shopping Centers, L.P.,</u> <u>et al.</u>, In the Circuit Court of Cook County, Illinois, County Department, Law Division, Case No. 04 L 012194 (April 24, 2014).
- Deposition of Daniel R. Fischel in <u>Third Point LLC v. William F. Ruprecht, et al and Sotheby's</u>, In the Court of Chancery of the State of Delaware, C.A. No. 9469-VCP (April 19, 2014).
- Deposition of Daniel R. Fischel in <u>Hugh M. Caperton, Harman Development Corporation,</u> <u>Harman Mining Corporation, and Sovereign Coal Sales, Inc. v. A.T. Massey Coal</u> <u>Company, Inc.</u>, In the Circuit Court for Buchanan County, Case No. 027CL10000771-00 (March 14, 2014).
- Deposition of Daniel R. Fischel in <u>Corre Opportunities Fund, LP, Zazove Associates LLC, DJD</u> <u>Group LLLP, First Derivative Traders LP, and Kevan A. Fight vs. Emmis Communications</u> <u>Corporation</u>, United States District Court, Southern District of Indiana, Indianapolis Division, Case No. 1:12-cv-0491-SEB-TAB (October 4, 2013).
- Testimony of Daniel R. Fischel In the Matter of the Application of The Bank of New York Mellon, (As Trustee Under Various Pooling and Servicing Agreements and Indenture Trustee under various indentures), Petitioner, for an order, pursuant to CPLR §7701, seeking judicial instructions and approval of a proposed settlement, Index No. 651786/11, Supreme Court of the State of New York, County of New York: Trial Term Part 39 (September 9 and 10, 2013).
- Testimony of Daniel R. Fischel <u>In Re: September 11 Litigation</u>, Case No. 21 MC 97 (AKH), United States District Court for the Southern District of New York, (July 16, 2013)
- Deposition of Daniel R. Fischel in <u>Cantor Fitzgerald & Co., et al v. American Airlines, Inc., et al</u>, Case No. 21 MC 101 (AKH), 04 CV 7318 (AKH), United States District Court, Southern District of New York (July 1, 2013).
- Deposition of Daniel R. Fischel <u>In Re: Pfizer Inc. Securities Litigation</u>, Case No. 04 Civ. 9866 (RO) In The United States District Court for the Southern District of New York (June 28, 2013).
- Testimony of Daniel R. Fischel in <u>William T. Esrey, Julie C. Esrey, Ronald T. LeMay and</u> <u>Casondra C. Lemay v. Ernst & Young LLP</u> Arbitration, Case No. 13 107 Y 02332 11 (May 29, 2013).
- Deposition of Daniel R. Fischel in <u>Christine Bauer-Ramazani and Carolyn B. Duffy, on behalf of</u> <u>themselves and all other similarly situated v. Teachers Insurance and Annuity Association</u> <u>of America – College Retirement and Equities Fund (TIAA-CREF), et al.</u> in the United States District Court, District of Vermont, Docket No. 1:09-cv-190 (May 21, 2013).

- Deposition of Daniel R. Fischel <u>In Re: Google Inc. Class C Shareholder Litigation</u>, In the Court of Chancery of the State of Delaware, Case No. 7469CS (May 17, 2013).
- Deposition of Daniel R. Fischel In the Matter of the application of The Bank of New York Mellon (as Trustee under various Pooling and Servicing Agreements and Indenture Trustee under various Indentures), et al., Supreme Court of the State of New York, County of New York, Index No. 651786/2011 (May 9, 2013).
- Deposition of Daniel R. Fischel in <u>William T. Esrey, Julie C. Esrey, Ronald T. Lemay, and</u> <u>Casondra C. Lemay v. Ernst & Young, L.L.P.</u>, Before the American Arbitration Association, Case No. 1234 (May 7, 2013).
- Deposition of Daniel R. Fischel in <u>Archer Well Company, Inc. v. GW Holdings LLC and Wexford</u> <u>Capital LP</u>, in the United States District Court, Southern District of New York, ECF Case No. 1 1:12-cv-06762-JSR (April 5, 2013).
- Testimony of Daniel R. Fischel in <u>Meso Scale Diagnostics, LLC</u>, <u>Meso Scale Technologies, LLC</u> <u>v. Roche Diagnostics GmbH, et al.</u>, In the Court of Chancery of the State of Delaware, Civil Action No. 5589-VCP (February 27, 2013).
- Deposition of Daniel R. Fischel in <u>Center Partners, Ltd. et al v. Urban Shopping Centers, L.P., et</u> <u>al</u>, Circuit Court of Cook County, Illinois, No. 04 L 012194 (February 6 and 7, 2013).
- Deposition of Daniel R. Fischel <u>In Re: September 11 Litigation</u>, United States District Court, Southern District of New York, Civil Action No. 21 MC 101 (AKH) (January 11, 2013).
- Deposition of Daniel R. Fischel in <u>Meso Scale Diagnostics, LLC, Meso Scale Technologies, LLC</u> <u>v. Roche Diagnostics GmbH, et al.</u>, In the Court of Chancery of the State of Delaware, Case No: 5589-VCP (November 12, 2012).
- Testimony of Daniel R. Fischel in <u>Stuart Bederman, et al. v. Archstone, f/k/a Archstone-Smith</u> <u>Operating Trust</u>, Arbitration before the Honorable Bruce W. Kauffman (October 17, 2012).
- Deposition of Daniel R. Fischel in <u>David E. Brown, et al. v. Authentec, Inc. et al.</u>, In the Circuit Court of the Eighteenth Judicial Circuit in and for Brevard County, Florida, Civil Division, Case No. 05-2012-CA-57589 (September 18, 2012).
- Deposition of Daniel R. Fischel in <u>Stuart Bederman, et al. v. Archstone, f/k/a Archstone-Smith</u> <u>Operating Trust</u>, Arbitration before the Honorable Bruce W. Kauffman (September 14, 2012).
- Testimony of Daniel R. Fischel in <u>Tronox, Incorporated, et al., v. Kerr-McGee Corporation, et al.,</u> United States Bankruptcy Court, Southern District of New York, Adversary Proceeding No. 09-10098(ALG) (August 7, 8 and 9, 2012).
- Deposition of Daniel R. Fischel <u>In re McAfee, Inc. Shareholder Litigation</u>, Superior Court of the State of California, County of Santa Clara, Lead Case No. 1:10-cv-180413 (August 2, 2012).
- Testimony of Daniel R. Fischel in <u>Kraft Foods Global, Inc., v. Starbucks Corporation</u>, Arbitration Before JAMS, Arbitration No. 1340008345 (July 31, 2012).

- Deposition of Daniel R. Fischel in <u>Altana Pharma AG, and Wyeth v. Teva Pharmaceuticals USA,</u> <u>Inc. and Teva Pharmaceutical Industries, Ltd.</u>, In the United States District Court, District of New Jersey, Consolidated Civil Action Nos. 04-2355 (JLL)(CCC), 05-1966 (JLL)(CCC), 05-3920 (JLL)(CCC) and 05-3672 (JLL)(CCC) (June 1, 2012).
- Deposition of Daniel R. Fischel in <u>Kraft Foods Global, Inc. v. Starbucks Corporation</u>, Arbitration before JAMS, Arbitration No. 1340008345 (May 15, 2012).
- Deposition of Daniel R. Fischel in <u>Capital One Financial Corporation v. John A. Kanas and John</u> <u>Bohlsen</u>, In the United States District Court for the Eastern District of Virginia, Alexandria Division, Civil Action No. 1:11-cv-750 (LO/TRJ) (May 10, 2012).
- Deposition of Daniel R. Fischel <u>In Re: Pfizer Inc. Securities Litigation</u>, In the United States District Court, Southern District of New York, Case 1:04-cv-09866-LTS-HBP (May 3, 2012).
- Deposition of Daniel R. Fischel <u>In Re: Moneygram International Inc. Shareholder Litigation</u>, In the Court of Chancery of the State of Delaware, C.A. No. 6387-VCL (April 26, 2012).
- Deposition of Daniel R. Fischel in <u>Chona Allison, et al v. CRC Insurance Services, Inc.</u>, In the United States District Court for the Northern District of Illinois, Eastern Division, Case No. 10-3313 (March 14 and 15, 2012).
- Deposition of Daniel R. Fischel <u>In Re: Tronox Incorporated, et al., Debtors</u>, In the United States Bankruptcy Court, Southern District of New York, Chapter 11, Case No. 09-10156 (ALG) (February 24, 2012).
- Testimony of Daniel R. Fischel <u>In Re: BankAtlantic Bancorp, Inc. Litigation</u>, In the Court of Chancery of the State of Delaware, Consolidated Civil Action No. 7068-VCL (January 27 and 30, 2012).
- Deposition of Daniel R. Fischel in <u>Hildene Capital Management, LLC et al v. BankAtlantic</u> <u>Bancorp, Inc., et al</u>, In the Court of Chancery of the State of Delaware, C.A. No. 7068-VCL (January 19, 2012).
- Deposition of Daniel R. Fischel in <u>Advanced Analogic Technologies</u>, <u>Incorporated v. Skyworks</u> <u>Solutions</u>, <u>Inc. and Powerco Acquisition Corp.</u>, In the Court of Chancery of the State of Delaware, Arbitration No. 005-A-CS (November 18, 2011).
- Testimony of Daniel R. Fischel in <u>Prudential Retirement Insurance and Annuity Company v.</u> <u>State Street Bank and Trust Company and State Street Global Advisors, Inc.</u>, United States District Court, Southern District of New York, Case No. 07-CV-8488 (October 13, 2011).
- Deposition of Daniel R. Fischel <u>In re: Inkeepers USA Trust, et al v. Cerberus Series Four</u> <u>Holdings, LLC.</u>, et al, United States Bankruptcy Court, Southern District of New York, Case No. 10-13800 (SCC) (October 5, 2011).
- Deposition of Daniel R. Fischel in <u>Mary K. Jones, et al v. Pfizer, Inc., et al</u>, United States District Court, Southern District of New York, Civil Action No. 10-cv-03864 (AKH) ECF (October 4, 2011).

- Testimony of Daniel R. Fischel in <u>Marina Del Rey Country Club Apartments, et al. vs. Archstone</u> <u>and Archstone Multifamily Series I Trust</u>, Ruby/Archstone Arbitration (August 30, 2011).
- Deposition of Daniel R. Fischel in <u>Maher Terminals, LLC v. The Port Authority of New York and</u> <u>New Jersey</u>, Before the Federal Maritime Commission, FMC Docket No. 08-03 (August 25, 2011).
- Testimony of Daniel R. Fischel in <u>Securities and Exchange Commission v. Joseph P. Nacchio,</u> <u>Robert S. Woodruff, Afshin Mohebbi, James J. Kozlowski and Frank T. Noyes</u>, United States District Court for the District of Colorado, Civil Action No. 05-cv-480-MSK-CBS (August 16, 2011).
- Affidavit of Daniel R. Fischel in <u>Glenhill Capital LP, et al v. Porsche Automobil Holding, SE, f/k/a</u> <u>Dr. Ing. h.c. F. Porsche AG</u>, Supreme Court of the State of New York, County of New York, Index Number 650678/2011 (August 15, 2011).
- Deposition of Daniel R. Fischel in <u>Fairfax Financial Holdings Limited and Crum & Forster</u> <u>Holdings Corp. v. S.A.C. Capital Management, LLC, et al.</u>, Superior Court of New Jersey, Law Division: Morris County, Docket No. MRS-L-2032-06 (July 27, 2011).
- Deposition of Daniel R. Fischel In re: Lyondell Chemical Company, et al v. Leonard Blavatnik, et al., United States Bankruptcy Court, Southern District of New York, Chapter 11 Case No. 09-10023 (REG) (Jointly Administered) (July 25, 2011).
- Deposition of Daniel R. Fischel <u>In Re Constar Int'l Inc. Securities Litigation</u>, United States District Court, Eastern District of Pennsylvania, Master File No. 03cv05020 (June 28, 2011).
- Affidavit of Daniel R. Fischel In Re Massey Energy Co. Derivative and Class Action Litigation, In The Court of Chancery of the State of Delaware, C.A. No. 5430-VCS (May 20, 2011).
- Deposition of Daniel R. Fischel in <u>Marina Del Rey Country Club, et al v. Archstone and</u> <u>Archstone Multifamily Series I Trust</u>, Ruby/Archstone Arbitration (May 9, 2011).
- Testimony of Daniel R. Fischel in <u>The Dow Chemical Company v. Petrochemical Industries</u> <u>Company (K.S.C.)</u>, International Chamber of Commerce, International Court of Arbitration, ICC Case No. 16127/JEM/MLK (April 7, 2011).
- Testimony of Daniel R. Fischel <u>In Re: Tribune Company, et al., Debtors</u>, In the United States Bankruptcy Court for the District of Delaware, Chapter 11, Case No. 08-13141 (KJC) (March 10, 2011).
- Deposition of Daniel R. Fischel <u>In Re: Tribune Company, et al., Debtors</u>, In the United States Bankruptcy Court for the District of Delaware, Chapter 11, Case No. 08-13141 (KJC) (March 2, 2011).
- Deposition of Daniel F. Fischel <u>In Re: Genetically Modified Rice Litigation</u>, In the United States District Court for the Eastern District of Missouri, Eastern Division, Case No. 4:06 MD 1811 CDP (February 15, 2011).

- Deposition of Daniel R. Fischel in <u>Riceland Food, Inc. v. Bayer Cropscience LP, et al</u>, In the United States District Court, Eastern District of Missouri, Eastern Division, Case No. 4:09cv-00433 CDP (January 18, 2011).
- Deposition of Daniel R. Fischel <u>In Re: Genetically-Modified Rice Litigation</u>, In the United States District Court for the Eastern District of Missouri, Case No. 4:06-MD-1811 (November 11, 12, 2010).
- Deposition of Daniel R. Fischel in <u>Coleen Witmer, Individually, and on Behalf of All Others</u> <u>Similarly Situated v. Dynegy Inc.</u>, In the District Court of Harris County, Texas, 234<sup>th</sup> Judicial District (November 6, 2010).
- Testimony of Daniel R. Fischel in <u>Terra Firma (GP) 2 Investments Limited v. Citigroup Inc.</u>, United States District Court for the Southern District of New York, No. 1:09-CV-10459 (JSR) (November 2, 2010).
- Testimony of Daniel R. Fischel in <u>Terra Firma (GP) 2 Investments Limited v. Citigroup Inc.</u>, United States District Court for the Southern District of New York, No. 1:09-CV-10459 (JSR) (October 22, 2010).
- Testimony of Daniel R. Fischel in <u>Air Products and Chemicals, Inc. v. Airgas, Inc., Peter</u> <u>McCausland, et al</u>, In the Court of Chancery of the State of Delaware, C.A. No. 5249-CC (October 5, 2010).
- Deposition of Daniel R. Fischel in <u>Air Products and Chemicals, Inc. v. Airgas, Inc., Peter</u> <u>McCausland, et al</u>, In the Court of Chancery of the State of Delaware, C.A. No. 5249-CC (September 8, 2010).
- Deposition of Daniel R. Fischel in <u>Terra Firma (GP) 2 Investments Limited v. Citigroup Inc.</u>, United States District Court for the Southern District of New York, No. 1:09-CV-10459 (JSR) (July 28, 2010).
- Deposition of Daniel R. Fischel in <u>Citadel Investment Group, L.L.C. et al v. Mikhail Malyshev and</u> <u>Jace Kohlmeier</u>, In the American Arbitration Association, Case No.AAA No. 51 166 00969 09 (July 13, 2010).
- Testimony of Daniel R. Fischel <u>In Re: United States of America v. Joseph P. Nacchio</u>, in the United States District Court for the District of Colorado, Case No. 05-CR-00545-EWN (June 23, 2010).
- Deposition of Daniel R. Fischel in <u>Cantor Fitzgerald Securities, Cantor Fitzgerald & Co., Cantor</u> <u>Fitzgerald Partners v. The Port Authority of New York and New Jersey</u>, in the Supreme Court of the State of New York, County of New York, Case No. 105447/94 (June 4, 2010).
- Deposition of Daniel R. Fischel in <u>Alaska Retirement Management Board on behalf of State of</u> <u>Alaska Public Employees' Retirement System and State of Alaska Teachers' Retirement</u> <u>System v. Mercer (US), Inc., Mercer Human Resources Consulting, Inc., and William M.</u> <u>Mercer, Inc.</u>, in The Superior Court for the State of Alaska, First Judicial District at Juneau, Case No. 1JU-07-974CI (April 29, 2010).
- Deposition of Daniel R. Fischel <u>In Re: ACS Shareholders Litigation</u>, in The Court of Chancery of the State of Delaware, Consolidated Case No. 4940-VCP (April 26, 2010).

- Testimony of Daniel R. Fischel in <u>Securities and Exchange Commission v. Carl W. Jasper</u>, in the United States District Court for the Northern District of California, San Jose Division, Case No. C-07-06122-JW (April 16, 2010).
- Deposition of Daniel R. Fischel in <u>Prudential Retirement Insurance and Annuity Company v.</u> <u>State Street Bank and Trust Company and State Street Global Advisors, Inc.</u>, in the United States District Court, Southern District of New York, Case No. 07 CIV 8488 (April 9, 2010).
- Deposition of Daniel R. Fischel <u>In re: Lyondell Chemical Company, et al., Debtors. Official</u> <u>Committee of Unsecured Creditors, on behalf of the Debtors' Estates v. Citibank, N.A., et</u> <u>al.</u>, in the United States Bankruptcy Court, Southern District of New York, Chapter 11 Case No. 09-10023 – (RED) (December 2, 2009).
- Deposition of Daniel R. Fischel in <u>Securities and Exchange Commission v. Carl W. Jasper</u>, In the United States District Court, Northern District of California, San Jose Division, Case No. CV 07-6122 (HRL) (October 22, 2009).
- Testimony of Daniel R. Fischel in <u>Ventas, Inc. v. HCP, Inc.</u>, In the United States District Court of the Western District of Kentucky at Louisville, Civil Action No. 3:07-cv-00238-JGH (September 2, 2009).
- Deposition of Daniel R. Fischel in <u>Frank K. Cooper Real Estate #1, Inc., et al vs. Cendant</u> <u>Corporation f/k/a Hospitality Franchise Systems and Century 21 Real Estate Corporation</u>, Superior Court of New Jersey, Law Division: Morris County, Docket No. MRS-L-377-02 (August 10, 2009).
- Deposition of Daniel R. Fischel in <u>Ventas, Inc. v. HCP, Inc.</u>, In the United States District Court of the Western District of Kentucky at Louisville, Civil Action No. 3:07-cv-00238-JGH (August 3, 2009).
- Deposition of Daniel R. Fischel in <u>U.S. Commodity Futures Trading Commission v. Amaranth</u> <u>Advisors, L.L.C., Amaranth Advisors (Calgary) and Brian Hunter</u>, in the United States District Court, Southern District of New York, Case No. 07 CIV 6682 (July 8, 2009).
- Declaration and Expert Surrebutal Report of Daniel R. Fischel in <u>Ventas, Inc. v. HCP, Inc.</u>, In The United States District Court for the Western District of Kentucky at Louisville, Case No. 3:07-CV-00238-JGH (June 22, 2009).
- Testimony of Daniel R. Fischel in <u>NRG Energy</u>, Inc. v. Exelon Corporation and Exelon Exchange <u>Corporation</u>, in the United States District Court, Southern District of New York, Case No. 09-CV-2448 (JGK) (DFE), (June 3, 2009).
- Deposition of Daniel R. Fischel In <u>Re: Delphi Corporation v. Appaloosa Management L.P., et al.</u>, In the United States Bankruptcy Court, Southern District of New York; Chapter 11, Case No. 05-44481(RDD) (Jointly administered), (June 2, 2009).
- Deposition of Daniel R. Fischel in <u>NRG Energy, Inc. v. Exelon Corporation and Exelon</u> <u>Exchange Corporation</u>, in the United States District Court, Southern District of New York, Case No. 09-CV-2448 (JGK) (DFE), (May 31, 2009).

- Deposition of Daniel R. Fischel in <u>e-Bay Domestic Holdings, Inc. v. Craig Newmark and James</u> <u>Buckmaster and Craigslist, Inc.</u>, in the Court of Chancery of the State of Delaware, Case No. 3705-CC (May 29, 2009)
- Testimony of Daniel R. Fischel In Re: Lawrence E. Jaffe Pension Plan, et al v. Household International, Inc., et al, in the United States District Court for the Northern District of Illinois, Eastern Division, No. 02-C-5893 (April 16, 20, 28 and 29, 2009).
- Deposition of Daniel R. Fischel In Re: Rohm and Haas Company v. The Dow Chemical Company and Ramses Acquisition Corp., In the Court of Chancery of the State of Delaware, C.A. No. 4309-CC (March 4, 2009).
- Deposition of Daniel R. Fischel In the Matter of Hoffman, et al. v. American Express Travel <u>Related Services Company, Inc., et al.</u>, in the Superior Court of the State of California, in and for the County of Alameda, Case No. 2001-022881 (January 15, 2009).
- Deposition of Daniel R. Fischel In Re: TyCom Ltd. Securities Litigation, in the United States District Court, District of New Hampshire, Docket No. 03-CV-1352 (September 22, 2008).
- Deposition of Daniel R. Fischel <u>In Re: Hexion Specialty Chemicals, Inc., et al v. Huntsman</u> <u>Corp.</u>, in the Court of Chancery of the State of Delaware, Civil Action No. 3841-VCL (September 4, 2008).
- Deposition of Daniel R. Fischel <u>In Re: Stone Energy Corp. Securities Litigation</u>, in the United States District Court, Western District of Louisiana, Lafayette-Opelousas Division, Civil Action No. 6:05CV2088 (LEAD) (July 16, 2008).
- Deposition of Daniel R. Fischel <u>In Re: Initial Public Offering Securities Litigation</u>, in the United States District Court, Southern District of New York, Master File No. 21 MC 92 (SAS) (April 3 and 4, 2008).
- Deposition of Daniel R. Fischel In Re: Lawrence E. Jaffe Pension Plan, et al v. Household International, Inc., et al, in the United States District Court for the Northern District of Illinois, Eastern Division, No. 02-C-5893 (March 21, 2008).
- Deposition of Daniel R. Fischel In Re: IAC/InteractiveCorp and Barry Diller v. Liberty Media Corporation, in the Court of Chancery of the State of Delaware in and for New Castle County, Consolidated Case Number 3486-VCL (February 29, 2008).
- Testimony of Daniel R. Fischel <u>In Re: Immunicon Corporation v. Veridex LLC</u>, before the American Arbitration Association (Commercial Arbitration Rules), Case Number 50 180T 00192 07 (January 17, 2008).
- Deposition of Daniel R. Fischel <u>In Re: Unitedglobalcom Shareholders Litigation</u>, in the Court of Chancery of the State of Delaware in and for New Castle County, Consolidated C.A. No. 1012-N (November 19, 2007).
- Deposition of Daniel R. Fischel <u>In Re: Cendant Corporation Litigation</u>, in the United States District Court for the District of New Jersey, Master File No. 98-1664 (WHW) (November 15, 2007).

- Deposition of Daniel R. Fischel <u>In Re: Cendant Corporation Litigation</u>, in the United States District Court for the District of New Jersey, Master File No. 98-1664 (WHW) (October 16, 2007).
- Deposition of Daniel R. Fischel <u>In Re Schering-Plough Corporation Securities L</u>itigation, in the United States District Court for the District of New Jersey, Master File No. 01-CV-0829 (KSH/RJH) (October 12, 2007).
- Deposition of Daniel R. Fischel In Re: Carpenters Health & Welfare Fund, et al. vs. The Coca-Cola Company, in the United States District Court, Northern District of Georgia, Atlanta Division, File No. 1:00-CV-2838-WBH (Consolidated) (September 26, 2007).
- Deposition of Daniel R. Fischel In Re: Parker Freeland, et al., vs. Iridium World <u>Communications, Ltd., et al.</u>, in the United States District Court for the District of Columbia, Civil Action No. 99-1002 (August 7, 2007).
- Deposition of Daniel R. Fischel <u>In Re: Chuck Ginsburg v. Philadelphia Stock Exchange, Inc., et</u> <u>al.</u>, In the Court of Chancery of the State of Delaware in and for New Castle County, C.A. No. 2202-N (June 12, 2007).
- Testimony of Daniel R. Fischel In Re: Holcombe T. Green and HTG Corp. v. McKesson, Inc., et <u>al</u>, In the Superior Court for the County of Fulton, State of Georgia, Civil Action File No. 2002-CV-48407 (June 5, 2007).
- Affidavit of Daniel R. Fischel In Re: Lear Corporation Shareholders Litigation, In the Court of Chancery of the State of Delaware, Consolidated C.A. No. 2728-VCS (May 30, 2007).
- Affidavit of Daniel R. Fischel <u>In Re: Aeroflex, Inc. Shareholder Litigation</u>, in the Supreme Court of the State of New York, County of Nassau: Commercial Division, Index No. 07-003943 (May 23, 2007).
- Deposition of Daniel R. Fischel <u>In Re: Holcombe T. Green and HTG Corp. v. McKesson, Inc.,</u> <u>HBO & Company, Albert Bergonzi, and Jay Gilbertson</u>, in the Superior Court for the County of Fulton, State of Georgia, Civil Action File No. 2002W48407 (May 21, 2007).
- Deposition of Daniel R. Fischel In Re: Adelphia Communications Corp. v. Deloitte & Touche LLP, et al, in the Court of Common Pleas, Philadelphia County, Pennsylvania, Case No. 000598 (May 3 and 4, 2007).
- Testimony of Daniel R. Fischel <u>In Re: United States of America v. Joseph P. Nacchio</u>, in the United States District Court for the District of Colorado, Case No. 05-CR-00545-EWN (April 9, 2007).
- Deposition of Daniel R. Fischel <u>In Re: MK Resources Company Shareholders Litigation</u>, in the Court of Chancery for the State of Delaware in and for New Castle County, C.A. No. 1692-N (February 22, 2007).
- Deposition of Daniel R. Fischel In Re: Starr International Company, Inc. v. American International Group, Inc., In the United States District Court, Southern District of New York, Case No. 05 CV 6283 (January 26, 2007).

- Written testimony of Daniel R. Fischel In Re: Verizon Communications Inc. and Verizon Services Corp. v. Christopher G. Pizzirani, In the United States District Court for the Eastern District of Pennsylvania, Case No. 2:06-cv-04645-MK (November 6, 2006).
- Testimony of Daniel R. Fischel In Re: Northeast Savings, F.A. v. United States of America, In The United States Claims Court, Case No. 92-550 C (November 2 and 9, 2006).
- Testimony of Daniel R. Fischel <u>In Re: United States of America v. Sanjay Kumar and Stephen</u> <u>Richards</u>, United States District Court, Eastern District of New York, 04 Civ. 4104 (ILG) (October 25, 2006).
- Affidavit of Daniel R. Fischel In Re: Lionel I. Brazen and Nancy Hammerslough, et al v. Tyco International Ltd., et al, In the Circuit Court of Cook County, Illinois County Department, Chancery Division, No. 02 CH 11837 (September 18, 2006).
- Deposition of Daniel R. Fischel <u>In Re: Tele-Communications, Inc. Shareholders Litigation</u>, in the Court of Chancery of the State of Delaware in and for New Castle County, Consolidated C.A. No. 16470 (September 15, 2006).
- Affidavit of Daniel R. Fischel <u>In Re: United States of America v. Sanjay Kumar and Stephen</u> <u>Richards</u>, United States District Court, Eastern District of New York, 04 Civ. 4104 (ILG) (September 8, 2006).
- Deposition of Daniel R. Fischel <u>In Re: James Gilbert v. McKesson Corporation, et al</u>., in the State Court of Fulton County, State of Georgia, Civil Action File No. 02VS032502C (September 7, 2006).
- Supplemental Declaration of Daniel R. Fischel <u>In Re: United States of America v. Jeffrey K.</u> <u>Skilling</u>, in the United States District Court, Southern District of Texas, Houston Division, Crim. No. H-04-25 (Lake, J.) (August 25, 2006).
- Affidavit of Daniel R. Fischel <u>In Re: United States of America v. Sanjay Kumar and Stephen</u> <u>Richards</u>, United States District Court, Eastern District of New York, 04 Civ. 4104 (ILG) (August 22, 2006).
- Declaration of Daniel R. Fischel <u>In Re: United States of America v. Jeffrey K. Skilling</u>, in the United States District Court, Southern District of Texas, Houston Division, Crim. No. H-04-25 (Lake, J.) (August 3, 2006).
- Deposition of Daniel R. Fischel <u>In Re: Enron Corporation Securities Litigation</u>, in the United States District Court, Southern District of Texas, Houston Division, Case Number: H-01-3624 (May 24, 2006).
- Testimony of Daniel R. Fischel In Re: Guidant Corporation Shareholders Derivative Litigation, in the United States District Court, Southern District of Indiana, Indianapolis Division, Master Derivative Docket No. 1:03-CV-955-SEB-WTL (January 20, 2006).
- Testimony of Daniel R. Fischel <u>In Re. Hideji Jumbo Tanaka v. Cerberus Far East Management,</u> L.L.C., et al., AAA Case No. 50 T 116 00284 03, (December 15, 2005).

- Deposition of Daniel R. Fischel <u>In Re: McKesson HBOC, Inc. Securities Litigation</u>, in the United States District Court for the Northern District of California, No. C-99-20743-RMW (August 16, 2005).
- Testimony of Daniel R. Fischel In the Matter of Visconsi Companies Ltd., et al. and Lehman Brothers, et al., National Association of Securities Dealers Department of Arbitration, Grievance No. 03-07606 (July 26, 2005).
- Testimony of Daniel R. Fischel in re: John P. Crowley, as Receiver of Ambassador Insurance Company v. Doris June Chait, et al., in the United States District Court for the District of New Jersey, Case No. 85-2441 (HAA) (July 21 and 22, 2005).
- Deposition of Daniel R. Fischel In re: <u>Electronic Data Systems Corporation Securities Litigation</u>, in the United States District Court for the Eastern District of Texas, Tyler Division, Case No. 6:03-MD-1512 (July 20, 2005).
- Testimony of Daniel R. Fischel in re: <u>United States of America v. Philip Morris, Inc., et al</u>, in the United States District Court for the District of Columbia, Case No. 1:99CV02496 (May 26 and 27, 2005).
- Deposition of Daniel R. Fischel in re: <u>Cordis Corporation v. Boston Scientific Corporation, et</u> <u>ano</u>, in the United States District Court for the District of Delaware, Case No. 03-027-SLR (May 25, 2005).
- Deposition of Daniel R. Fischel in re: <u>United States of America v. Philip Morris, Inc., et al</u>, in the United States District Court for the District of Columbia, Case No. 1:99CV02496 (May 16, 2005).
- Testimony of Daniel R. Fischel in re: <u>Drury Industries, Inc. v. Drury Properties, Inc.</u>, in the First Judicial District Court of the State of Nevada in and for Carson City, Nevada (April 6 and 7, 2005).
- Deposition of Daniel R. Fischel in re: Jerry R. Summers and George T. Lenormand, et al v. UAL Corporation ESOP Committee, Marty Torres, Barry Wilson, Doug Walsh, Ira Levy, Don Clements, Craig Musa, and State Street Bank and Trust Company, in the United States District Court for the North District of Illinois, Eastern Division, No. 03 C 1537 (March 9, 2005).
- Deposition of Daniel R. Fischel in re: <u>Drury Industries, Inc. v. Drury Properties, Inc.</u>, in the First Judicial District Court of the State of Nevada in and for Carson City, Nevada (March 7 and 10, 2005).
- Testimony of Daniel R. Fischel In the Matter of <u>Fyffes PLC v. DCC PLC, S&L Investments</u> <u>Limited, James Flavin and Lotus Green Limited</u>, in The High Court, Dublin, Ireland (2002 No. 1183P) (February 1 and 2, 2005).
- Deposition of Daniel R. Fischel <u>In the Matter of the Arbitration between The Canada Life</u> <u>Assurance Company and The Guardian Life Insurance Company of America</u> (January 12, 2005).

- Deposition of Daniel R. Fischel <u>In Re: IDT Corporation vs. Telefonica, S.A., et al</u>, in the United States District Court, District of New Jersey, Civil Action No. 01-CV 471 (December 14, 2004).
- Deposition of Daniel R. Fischel <u>In Re: DQE, Inc. Securities Litigation</u>, in the United States District Court, Western District of Pennsylvania, Master File No. 01-1851 (December 7, 2004)
- Testimony of Daniel R. Fischel In Re United States of America v. Daniel Bayly, James A Brown, <u>Robert S. Furst, Daniel O. Boyle, William R. Fuhs and Sheila K. Kahanek</u>, in the United States District Court of Southern Texas Houston Division, Case No. H-CR-03-363 (November 4, 2004).
- Testimony of Daniel R. Fischel In the Matter of the Arbitration Between The Canada Life Assurance Company, Petitioner v. Caisse Centrale De Reassurance, Respondent, (November 2, 2004).
- Testimony of Daniel R. Fischel In Re Yankee Atomic Electric Company, Connecticut Yankee Atomic Power Company, and Maine Yankee Atomic Power Company v. The United States, in the United States Court of Federal Claims, Case Nos. 98-126C, 98-154C and 98-474C (August 9, 2004).
- Affidavit of Daniel R. Fischel In Re Oracle Corp. Derivative Litigation, in the Court of the Chancery of the State of Delaware In and For New Castle County, Consolidated Civil Action No. 18751 (June 8, 2004).
- Deposition of Daniel R. Fischel in re: <u>Reading International, Inc., et al v. Regal Entertainment</u> <u>Group, et al,</u> (Delaware Chancery Court) (May 30, 2004).
- Affidavit of Daniel R. Fischel in re: <u>Reading International, Inc., et al v. Regal Entertainment</u> <u>Group, et al.</u> (Delaware Chancery Court) (May 28, 2004) .
- Deposition of Daniel R. Fischel in re: <u>Northeast Savings, F.A. v. United States of America</u>, in the United States Claims Court, Case No. 92-550-C (May 4, 5 and 6, 2004).
- Deposition of Daniel R. Fischel in re: <u>Tyson Foods, Inc. Securities Litigation</u>, in the United States District Court for the District of Delaware, Civil Action No. 01-425-SLR (March 18, 2004).
- Testimony of Daniel R. Fischel Re: In the Matter of Coram Healthcare Corp. and Coram, Inc., <u>Debtors</u>, In the United States Bankruptcy Court for the District of Delaware, Case No. 00-3299 Through 00-3300 (MFW) (March 4, 2004).
- Testimony of Daniel R. Fischel in <u>Tracinda Corporation v. DaimlerChrysler AG, et al</u>, in the United States District Court for the District of Delaware, Civil Action No. 00-984 (February 11, 2004).
- Deposition of Daniel R. Fischel in <u>Gerald K. Smith, as Plan Trustee for and on behalf of the</u> <u>Estates of Boston Chicken, Inc., et al. v. Arthur Anderson LLP, et al.</u>, in the United States District Court for the Northern District of Illinois, Case Nos. CIV-01-218-PHX-PGR, CIV-01-246-PHX-EHC, CIV-02-1162-PHX-PGR, CIV-02-1248-PHX-PGR (Consolidated) (October 29 and 30, 2003).

- Deposition of Daniel R. Fischel in Irene Abrams, on behalf of herself and all others similarly situated v. Van Kampen Funds, Inc., Van Kampen Investment Advisory Corp., Van Kampen Prime Rate Income Trust, Howard Tiffen, Richard F. Powers III, Stephen L. Boyd, Dennis J. McDonnell and Jeffrey W. Maillet, in the United States District Court for the Northern District of Illinois, Eastern Division, Case No. 01-C-7538 (October 21, 2003).
- Deposition of Daniel R. Fischel Re: In the Matter of Coram Healthcare Corp. and Coram, Inc., <u>Debtors</u>, In the United States Bankruptcy Court for the District of Delaware, Case No. 00-3299 Through 00-3300 (MFW) (October 13, 2003).
- Testimony of Daniel R. Fischel in <u>Transcore Holdings, Inc. v. Rocky Mountain Mezzanine Fund</u> <u>II, LP; Hanifen Imhoff Mezzanine Fund, LP; Moramerica Capital Corporation; and</u> <u>NDSBIC, LP and W. Trent Ates and Fred H. Rayner, In Re: Jams Arbitration</u>, Case No. 1410003193 (September 24, 2003).
- Deposition of Daniel R. Fischel in <u>Transcore Holdings, Inc. v. Rocky Mountain Mezzanine Fund</u> <u>II, LP; Hanifen Imhoff Mezzanine Fund, LP; Moramerica Capital Corporation; and</u> <u>NDSBIC, LP and W. Trent Ates and Fred H. Rayner, In Re: Jams Arbitration</u>, Case No. 1410003193 (May 13, 2003).
- Deposition of Daniel R. Fischel in <u>AT&T Broadband Management Corporation v. CSG Systems</u>, Inc., American Arbitration Association No. 77 181 00159 02 VSS (April 9, 2003).
- Deposition of Daniel R. Fischel <u>In Re: DaimlerChrysler AG Securities Litigation</u>, in the United States District Court for the District of Delaware, Civil Action No. 00-993-JJF (February 11 and 12, 2003).
- Deposition of Daniel R. Fischel in <u>David T. Bard, Commissioner of Banking and Insurance for</u> <u>the State of Vermont, as Receiver for Ambassador Insurance Company v. Arnold Chait, et</u> <u>al</u>, in the United States District Court for the District of New Jersey, Civil Action No. 85-2441 (December 12, 2002).
- Testimony of Daniel R. Fischel in <u>MHC Financing Limited Partnership, et al vs. City of San</u> <u>Rafael, et al</u>, in the United States District Court, Northern District of California, Case No. C 00-3785 VRW (November 6, 2002).
- Deposition of Daniel R. Fischel in <u>MHC Financing Limited Partnership, et al vs. City of San</u> <u>Rafael, et al</u>, in the United States District Court, Northern District of California, Case No. C 00-3785 VRW (October 16, 2002).
- Deposition of Daniel R. Fischel in <u>Maine Yankee Atomic Power Company v. United States of</u> <u>America</u>, In the United States Court of Federal Claims, Case No. 98-474 C (October 8 and 9, 2002).
- Testimony of Daniel R. Fischel in <u>California Federal Bank, FSB v. The United States of America</u>, In the United States Court of Federal Claims, Case No. 92-138C (September 20 and 23, 2002).
- Deposition of Daniel R. Fischel in <u>Maine Yankee Atomic Power Company v. United States of</u> <u>America</u>, In the United States Court of Federal Claims, Case No. 98-474 C (September 4 and 6, 2002).

- Deposition of Daniel R. Fischel In the Matter of RDM Sports Group, Inc., et al v. Smith, <u>Gambrell, Russell, L.L.P.; et al</u>, In the United States Bankruptcy Court for the Northern District of Georgia, Newnan Division, Case No. 00-1065 (May 14 and 15, 2002).
- Deposition of Daniel R. Fischel in <u>Walter B. Hewlett, individually and as Trustee of the William</u> <u>R. Hewlett Revocable Trust, and Edwin E. van Bronkhorst as Co-Trustee of the William R.</u> <u>Hewlett Revocable Trust v. Hewlett-Packard Company</u>, in the Court of the Chancery of the State of Delaware in and for New Castle County (April 24, 2002).
- Deposition of Daniel R. Fischel in <u>California Federal Bank, FSB, v. The United States of</u> <u>America</u>, in the United States District Court of Federal Claims, Case No. 92-138C (April 16 and 17, 2002).
- Deposition of Daniel R. Fischel <u>In Re: Computer Associates Class Action Securities Litigation</u>, in the United States District Court, Eastern District of New York, File No. 98-CV-4839 (TPC) (MLO) (March 19 and 20, 2002).
- Deposition of Daniel R. Fischel in <u>United States of America v. David Blech</u>, In the United States District Court, Southern District of New York, Case No. S1 97 Cr. 402 (KTD) (February 13, 2002).
- Testimony of Daniel R. Fischel Re: In the Matter of Coram Healthcare Corp. and Coram, Inc., <u>Debtors</u>, In the United States Bankruptcy Court for the District of Delaware, Case No. 00-3299 Through 00-3300 (MFW) (December 14, 2001).
- Deposition of Daniel R. Fischel in <u>Re: Sunbeam Securities Litigation</u>, In the United States District Court, Southern District of Florida, Miami Division, Case No. 98-8258-CIV – Middlebrooks (December 4, 5 and 6, 2001).
- Affidavit of Daniel R. Fischel in Re: Jack M. Webb, Special Deputy Receiver For American Eagle Insurance Company v. Elvis Mason, Mason Best Company, L.P., Don D. Hutson, American Eagle Group, Inc., Marion Phillip Guthrie, Frederick G. Anderson, George F. Cass, Richard M. Kurz, Patricia S. Pickard, Arthur Andersen & Co., L.L.P., and Towers, Perrin Forester & Crosby, Inc., D/B/A Tillinghast, In the District Court of Travis County, Texas, 201<sup>st</sup> Judicial District, Cause No. 99-08253 (September 7, 2001).
- Declaration of Daniel R. Fischel Re: In the Matter of Inquiry Concerning High-Speed Access to the Internet Over Cable and Other Facilities: Before the Federal Communications Commission, Washington DC, GN Docket No. 00-185, (Declaration with K. Arrow, G. Becker, D. Carlton, R. Gertner, J. Kalt, H. Sider, and Gustavo Bamberger) (July 24, 2001).
- Declaration of Daniel R. Fischel in Re: <u>Walter Green, on behalf of himself and all others</u> <u>similarly situated</u> v. <u>Merck-Medco Managed Care, L.L.C.</u>, United States District Court, Southern District of New York, Civil Action No. 99 CIV 0847 (CLB) (June 18, 2001).
- Testimony of Daniel R. Fischel in Re:<u>Tyson Foods, Inc. and Lasso Acquisition Corporation v.</u> <u>IBP, Inc.</u>, Delaware Chancery Court, (May 25, 2001).
- Deposition of Daniel R. Fischel in Re:<u>Tyson Foods, Inc. and Lasso Acquisition Corporation v.</u> <u>IBP, Inc.</u>, Delaware Chancery Court, (May 10, 2001).

- Deposition of Daniel R. Fischel in Re: <u>Myron Weiner, Nicholas Sitnycky, Ronald Anderson and</u> <u>Robert Furman on behalf of themselves and all others similarly situated</u> v. <u>The Quaker</u> <u>Oats Company and William D. Smithburg</u>, United States District Court, Northern District of Illinois, Case No. 98 C 3123, (January 24, 2001).
- Deposition of Daniel R. Fischel in Re: <u>Retsky Family Limited Partnership</u> v. <u>Price Waterhouse</u>, <u>LLP</u>, United States District Court, Northern District of Illinois, Eastern Division, No. 97 C 7694, (October 31, 2000).
- Joint Affidavit of Daniel R. Fischel and David J. Ross in Re: <u>Floyd D. Wilson, for himself and all</u> <u>others similarly situated</u> v. <u>Massachusetts Mutual Life Insurance Company</u>, in the First Judicial District Court, County of Santa Fe, State of New Mexico, No. D0101 CV-98-02814 (August 4, 2000).
- Affidavit of Daniel R. Fischel in Re: <u>T. Rowe Price Recovery Fund, L.P., and Carl Marks</u> <u>Management Co., L.P., individually and derivatively on behalf of Seaman Furniture Co.,</u> <u>Inc. v. James Rubin, M.D. Sass Associates, Inc., Resurgence Asset Management, L.L.C.,</u> <u>M.D. Sass Corporation Resurgence Partners, L.P., M.D. Sass Corporate Resurgence</u> <u>International, Ltd., Robert Symington, Byron Haney, Alan Rosenberg, Steven H. Halper,</u> <u>and Peter McGeough and Seaman Furniture Co., Inc.</u>, In the Court of Chancery of the State of Delaware in and for New Castle County, C.A. No. 18013, (June 7, 2000).
- Testimony of Daniel R. Fischel in Re: <u>Bank United of Texas, FSB, et al.</u>, v. <u>United States of</u> <u>America</u>, United States Court of Federal Claims, Case Number 95-437C, (October 12 and 14, 1999).
- Deposition of Daniel R. Fischel in Re: <u>Bank United of Texas, FSB, et al.</u>, v. <u>United States of America</u>, United States Court of Federal Claims, Case Number 95-437C, (September, 26, 1999; July 10, 1999; and June 16, 17, 1999),
- Testimony of Daniel R. Fischel in Re: <u>C. Robert Suess, et al.</u>, v. <u>The United States</u>, United States Court of Federal Claims, No. 90- 981C (May 17, 1999).
- Testimony of Daniel R. Fischel in Re: Lexecon, Inc. v. Milberg Weiss Bershad Specthrie & Lerach, et al. in the United States District Court, Northern District of Illinois Eastern Division, Case No. 92 C 7768 (March 8, 9, 10 and 15, 1999).
- Testimony of Daniel R. Fischel in Re: <u>California Federal Bank</u> v. <u>United States</u>, in the United States Court of Federal Claims, Case Number 92-138C, (February 4 and 11, 1999).
- Deposition of Daniel R. Fischel in Re: <u>California Federal Bank</u> v. <u>United States</u>, in the United States Court of Federal Claims, Case Number 92-138C, (February 6, 1999; January 27 and 30, 1999).
- Deposition of Daniel R. Fischel in Re: <u>C. Robert Suess, et al.</u>, v. <u>The United States</u>, United States Court of Federal Claims, No. 90- 981C (October 27 and 28, 1998).
- Deposition of Daniel R. Fischel in Re: <u>Connector Service Corporation</u> v. <u>Jeffrey Briggs</u>, United States District Court, Northern District of Illinois, Eastern Division, No. 97-C-7088 (August 28, 1998).

- Deposition of Daniel R. Fischel in Re: <u>Statesman Savings Holding Corp., et al.</u> v. <u>United States</u> <u>of America</u>, United States Court of Federal Claims, Case No. 90-773C, (May 4, 1998 and February 12, 1998).
- Testimony of Daniel R. Fischel in Re: <u>Glendale Federal Bank FSB</u> v. <u>United States of America</u>, United States Court of Federal Claims, No. 90-772C, (March 24, 25 and 26, 1998; September 2, 3, 4, 5, 8, 9, 10, 11, 12, 24, 25, 26 and 27, 1997; October 7, 9, 16, 17, 30 and 31, 1997; December 8, 9 and 10, 1997).
- Affidavit of Daniel R. Fischel and David J. Ross in Re: <u>Publicis Communication</u> v. <u>True North</u> <u>Communications Inc., et al.</u>, United States District Court, Northern District of Illinois, Eastern Division, Case No. 97-C-8263, (December 7, 1997).
- Deposition of Daniel R. Fischel in Re: <u>Glendale Federal Bank FSB</u> v. <u>United States of America</u>, United States Court of Federal Claims, No. 90-772C, (August 27 and 28, 1997).
- Testimony of Daniel R. Fischel in Re: <u>AUSA Life Insurance Company, et al.</u> v. <u>Ernst & Young</u>, in the United States District Court, Southern District of New York, Master File No. 94 CIV. 3116 (CLB) (July 7 and 8, 1997).
- Deposition of Daniel R. Fischel in Re: <u>Santa's Best, f/k/a National Rennoc, an Illinois general</u> <u>partnership, and Tinsel/Ruff Group Limited Partnership, an Illinois limited partnership v.</u> <u>Rennoc Limited Partnership, a New Jersey limited parternship</u>, v. <u>Tinsel/Ruff Group</u> <u>Limited Parternship, an Illinois limited partnership</u>, in the Circuit Court of Cook, Illinois County Department - Chancery Division, No. 95 CH 12160, (June 17, 1997).
- Arbitration of Daniel R. Fischel in Re: <u>Lerner</u> v. <u>Goldman Sachs, et. al.</u>, Before the American Arbitration Association, 75-136-00090-94 (April 10, 1997).
- Affidavit of Daniel R. Fischel in Re: <u>Hilton Hotels Corporation and HLT Corporation</u> v. <u>ITT</u> <u>Corporation</u>, United States District Court, District of Nevada, CV-S-97-00095-PMP (RLH) (March 24, 1997).
- Deposition of Daniel R. Fischel in Re: <u>Glendale Federal Bank, FSB</u> v. <u>United States of America</u>, Washington, D.C., Case No. 90-772C, (March 19, 1997; January 30 and 31, 1997).
- Deposition of Daniel R. Fischel in Re: <u>Statesman Savings Holding Corporation</u> v. <u>United States</u> <u>of America</u>, Washington, D.C., Case No. 90-773-C, (February 19 and 20, 1997).
- Testimony of Daniel R. Fischel in Re: <u>Westcap Enterprises, Inc. and Westcap Corporation</u>, Debtor; in the United States Bankruptcy Court, for the Southern District of Texas, Houston Division, Houston, Texas; Case No. 96-43191-H2-11, (November 1996).
- Testimony of Daniel R. Fischel in Re: <u>United States of America</u> v. <u>Robert R. Krilich</u>, in the United States District Court, Northern District of Illinois, Eastern Division, No. 94 CR 419, (August 20, 1996 and July 15, 1996)
- Deposition of Daniel R. Fischel in Re: <u>McMahan & Company, Froley, Revy Investment Co., Inc.</u> and Wechsler & Krumholz, Inc. v. <u>Wherehouse Entertainment, Inc., Louis A. Kwiker,</u> <u>George A. Smith, Michael T. O'Kane, Lawrence K. Harris, et al.</u>, United States District Court, Southern District of New York, Index No. 88 Civ. 0321 (SS) (AJP), (July 16, 1996 and June 10, 1996).

- Deposition of Daniel R. Fischel in Re: Joseph W. and Helen B. Teague, Steven Allen Barker, <u>Rita Strahowski, Swannee Beck, and Lifetime Partners of PTL, as representatives of a</u> <u>nationwide class consisting of 150,129 Lifetime Partners and of 27,839 persons who have</u> <u>partially paid for Lifetime Partnerships v.</u> James O. Bakker, in the United States District Court for the Western District of North Carolina, Civil Action No. 3:87CV514, (June 28, 1996).
- Deposition of Daniel R. Fischel in Re: <u>Snapple Beverage Corporation Securities Litigation</u>, in the United States District Court, Eastern District of New York, Master File No. CV 94-3647 (May 30, 1996).
- Testimony of Daniel R. Fischel in Re: <u>Chuck Quackenbush, Insurance Commissioner of the</u> <u>State of California, in his capacity as Trustee of Mission Insurance Company Trust, et al.</u> v. <u>Borg-Warner Corporation, Borg Warner Equities Corporation, Borg-Warner Insurance</u> <u>Service, Inc., et al.</u>, for the Superior Court of the State of California, for the County of Los Angeles, No. C688487 (April 18, 1996).
- Deposition of Daniel R. Fischel in Re: <u>Chuck Quackenbush, Insurance commissioner of the</u> <u>State of California, in his capacity as Trustee of Mission Insurance Company Trust, et al.</u> v. <u>Borg-Warner Corporation, Borg Warner Equities Corporation, Borg-Warner Insurance</u> <u>Service, Inc., et al.</u>, for the Superior Court of the State of California, for the County of Los Angeles, No. C688487 (April 17, 1996).
- Deposition of Daniel R. Fischel in Re: <u>Household Commercial Financial Services, Inc. a citizen</u> of the states of Delaware and Illinois v. Julius Trump, a citizen of the State of Florida, <u>Edmond Trump, a citizen of the state of Florida, James M. Jacobson, a citizen of the State</u> of New York, and Parker, Chapin, Flattau & Klimpl, a citizen of the states of New York and <u>New Jersey</u>, in the United States District Court, for the Northern District of Illinois Eastern Division, 92 C 5010 (February 1, 1996).
- Deposition of Daniel R. Fischel in Re: <u>JWP, Inc. Securities Litigation</u>, in the United States District Court, Southern District of New York, Master File No. 92 Civ. 5815 (CLB); <u>AUSA Life Insurance Company, et al.</u> v. <u>Ernst & Young</u>, in the United States District Court, Southern District of New York, Master File No. 94 Civ. 3116 (CLB) (November 30, 1995; November 9, 1995; October 18 and 19, 1995; September 28, 1995).
- Deposition of Daniel R. Fischel in Re: <u>City of Houston Municipal Employees Pension System, a</u> <u>Texas association</u> v. <u>PaineWebber Group Inc., et al.</u>, in the United States District Court, Eastern District of Missouri, Eastern Division, No. 4:94CV0073CAS (November 15 and 16, 1995).
- Testimony of Daniel R. <u>Fischel In Re: American Continental Corporation/Lincoln Savings & Loan</u> <u>Securities Litigation - Lexecon Inc. v. Milberg Weiss Bershad Hynes & Lerach and Kevin P.</u> <u>Roddy</u>, in the United States District Court, District of Arizona, Civ-93-1087-PHX-JMR (July 25 and 26, 1995).
- Deposition of Daniel R. Fischel in Re: <u>Keith C. Bogard, et al.</u>, v. <u>National Community Bank Inc.</u>, <u>et al.</u>, in the United States District Court, District of New Jersey, No. 90-5-32 (HAA) (December 20, 1994).

- Deposition of Daniel R. Fischel in Re: <u>Harvey Rosen, Ben Rogers and Julie Rogers</u> v. <u>Deloitte</u> <u>& Touche, Elias Zinn, Julius Zinn, Dennis Lamm, and Ronald Begnaud</u>, in the 268<sup>th</sup> Judicial District Court, of Fort Bend County, Texas, Cause No. 84-482 (November 9, 1994).
- Testimony of Daniel R. Fischel in Re: <u>PPM America, Inc., et al.</u> v. <u>Marriott Corporation et al.</u>, in the United States District Court, for the District of Maryland, Civil Docket No. H-92-3068 (October 12, 1994).
- Deposition of Daniel R. Fischel in Re: <u>Browning-Ferris Industries, Inc., Securities Litigation</u>, United States District Court, for the Southern District of Texas, Houston Division, Civil Action H-903477 (September 1, 1994).
- Testimony of Daniel R. Fischel in Re: <u>Computer Associates International Inc. Securities</u> <u>Litigation</u>, United States District Court, Eastern District of New York, CV-90-2398 (JBW) (May 26 and 27, 1994).
- Deposition of Daniel R. Fischel in Re: <u>PPM America, Inc., et al</u>. v. <u>Marriott Corporation et al.</u>, United States District, for the District of Maryland, H-92-3068 (May 10, 1994 and March 8, 1994).
- Deposition of Daniel R. Fischel in Re: <u>Securities and Exchange Commission</u> v. <u>Shared Medical</u> <u>Systems Corporation, R. James Macaleer, James C. Kelly and Clyde M. Hyde</u>, United States District Court, for the Eastern District of Pennsylvania, Civil Action - Law: No. 91-CV-6549 (February 22, 1994).
- Testimony of Daniel R. Fischel in Re: <u>Peter M. Schultz and Pamela A. Schultz</u> v. <u>Rhode Island</u> <u>Hospital Trust National Bank, N.A., et al.</u>, United States District Court, District of Massachusetts, Civil Action No. 88-2870-T (February 16, 1994).
- Deposition of Daniel R. Fischel in Re: <u>Henry T. Endo, et al.</u> v. <u>John M. Albertine, et al.</u>, United States District Court, Northern District of Illinois, Eastern Division, No. 88 C 1815 (November 11 and 12, 1993; October 28, 1993).
- Deposition of Daniel R. Fischel in Re: <u>Computer Associates International Inc. Securities</u> <u>Litigation</u>, United States District Court, Eastern District of New York, CV 90-2398 (JBW) (November 2, 1993 and February 4, 1993).
- Affidavit of Daniel R. Fischel in Re: <u>Peter M. Schultz and Pamela A. Schultz v. Rhode Island</u> <u>Hospital Trust National Bank, N.A. et al.</u>, United States District Court, District of Massachusetts, Civil Action No. SS-2870-T (October 28, 1993).
- Deposition of Daniel R. Fischel in Re: <u>Alpheus John Goddard, III, etc.</u> v. <u>Continental Bank N.A.</u>, <u>etc.</u>, State of Illinois, County of Cook, Circuit Court of Cook County, County Department-Chancery Division, No. 89 CH 1081 (September 10, 1993).
- Deposition of Daniel R. Fischel in Re: <u>Taxable Municipal Bond Section "G" Securities Litigation</u>, United States District Court, Eastern District of Louisiana, MDL No. 863 (September 2, 1993).
- Reply Affidavit of Daniel R. Fischel in Re: <u>Columbia Securities Litigation</u>, United States District Court Southern District of New York, 89 Civ. 6821 (LBS) (August 30, 1993).

- Affidavit of Daniel R. Fischel in Re: <u>Consumers Gas & Oil, Inc.</u> v. <u>Farmland Industries, Inc., et</u> <u>al.</u>, United States District Court, for the District of Colorado, Civil Action No. 92-F-1394 (August 26, 1993).
- Declaration of Daniel R. Fischel in Re. <u>Equitec Rollup Litigation</u>, United States District Court for the Northern District of California, Master file No. C90 2064 CAL (July 28, 1993).
- Deposition of Daniel R. Fischel in Re: <u>United Telecommunications, Inc. Securities Litigation</u>, United States District Court for the District of Kansas, No. 90-2251-0 (July 22, 1993, April 21 and 22, 1993).
- Deposition of Daniel R. Fischel in Re: <u>Consumers Gas & Oil, Inc., a Colorado farm cooperative</u> <u>in liquidation, on behalf of itself and others similarly situated</u> v. <u>Farmland Industries, Inc., a</u> <u>Kansas farm cooperative, et al.</u>, United States District Court, District of Colorado, 92-F-1394 (June 18, 1993).
- Deposition of Daniel R. Fischel in Re. <u>Rosalind Wells</u> v. <u>HBO & Company</u>, United States District Court, Northern District of Georgia, Atlanta Division, 8-87-CV-657A (JTC) (June 10, 1993 and May 24, 1993).
- Deposition of Daniel R. Fischel in Re: <u>Equitec Rollup Litigation</u>, United States District Court, Northern District of California, No. C-90-2064 CAL (June 2 and 3, 1993).
- Supplemental Declaration of Daniel R. Fischel in Re: <u>Oracle Securities Litigation</u>, United States District Court, Northern District of California, Master File No. C 90 0931 VRW (May 20, 1993).
- Affidavit of Daniel R. Fischel and Kenneth R. Cone in Re: <u>Raymond P. Hayden, et al.</u> v. <u>Jeffrey</u> <u>L. Feldman, et al.</u>, United States District Court, Southern District of New York No. 88 Civ. 8048 (JES) (May 12, 1993).
- Testimony of Daniel R. Fischel in Re: <u>Melridge, Inc., Securities Litigation</u>, United States District Court for the District of Oregon, CV No. 87-1426-FR (May 4 and 5, 1993).
- Declaration of Daniel R. Fischel in Re: <u>Oracle Securities Litigation</u>, United States District Court, Northern District of California, Master File No. C 90 0931 VRW (April 20, 1993).
- Deposition of Daniel R. Fischel in Re: <u>Gillette Securities Litigation</u>, United States District Court, District of Massachusetts, No. 88-1858-K (April 1, 1993).
- Affidavit of Daniel R. Fischel in Re: <u>Columbia Securities Litigation</u>, United States District Court, Southern District of New York, 89 Civ. 6821 (LBS) (March 25, 1993).
- Deposition of Daniel R. Fischel in Re: <u>Westinghouse Securities Litigation</u>, United States District Court, Western District of Pennsylvania, CV No. 91 354 (March 23, 1993).
- Declaration of Daniel R. Fischel in Re: <u>Oracle Securities Litigation</u>, United States District Court, Northern District of California, Master File No. C 90-0931 VRW (March 22, 1993).

- Deposition of Daniel R. Fischel in Re: <u>Kroy, Inc., a Minnesota corporation et al.</u> v. <u>Bankers Trust</u> <u>New York Corporation, et al.</u>, Superior Court of the State of Arizona in and for the County of Maricopa, No. CV 89-35680 (March 18, 1993).
- Deposition of Daniel R. Fischel in Re: <u>Amos M. Ames, Helen M. Ames, Robert F. Bourke, Louise L. Bourke, Leo E. Corr, April C. Corr, Wence M. Horak, Ruth Horak, Robert T. Freas, Maurita Freas, Bruce Fink, Jr., William H. Jones, Candace A. Jones, Richard Paul, William L. Paul, Carole Paul, Steven J. Paul, Best Power Technology, Incorporated, and Best Power Technology Sales Corporation, in the State of Wisconsin, Circuit Court, Juneau County, Consolidated Case Nos. 92-CV-31, 92-CV-32 (January 26, 1993).</u>
- Deposition of Daniel R. Fischel in Re: <u>Federal Express Corporation Shareholder Litigation</u>, in the United States District Court, Western District of Tennessee, Master File No. 90-2359-4B (December 3, 1992).
- Deposition of Daniel R. Fischel in Re: <u>Raymond Snyder, Individually and on behalf of all those</u> <u>similarly situated</u> v. <u>Oneok, Inc., et al.</u>, in the United States District Court, Northern District of Oklahoma, Civil Action No. 88 C 1500 E (October 15 and 16, 1992).
- Deposition of Daniel R. Fischel in Re: <u>Melridge, Inc. Securities Litigation, Consolidated Actions</u>, United States District Court, District of Oregon, Master File No. CV87-1426-JU and Nos. 387-06589-P11, 88-05-JU, 88-221-JU, 88-0699-PA, 88-1266-JU (September 17, 1992; July 25 and 26, 1991).
- Deposition of Daniel R. Fischel in Re: <u>Maxus Corporate Company v. Kidder, Peabody & Co.</u> <u>Incorporated, Martin A. Siegel and Ivan F. Boesky</u>, in the District Court Dallas County, Texas, 298<sup>th</sup> Judicial District, No. 87-15583-M (September 11, 1992; August 18 and 19, 1992).
- Deposition of Daniel R. Fischel in Re: Jennifer A. Florin and Alan L. Mundt, on behalf of themselves and all others similarly situated v. Wesray Capital Corp., Citizens and Southern Trust Company, a subsidiary of Citizens and Southern Corporation, Robert K. Barton, Leonard S. Gaby, Allen G. Lacoe, Robert A. Magnusson, Anthony A. Saliture, Harlan B. Smith, Thomas F. Stutzman, Raymond G. Chambers, Frank E. Richardson, E. Burke Ross, Jr., William E. Simon and Frank W. Walsh, Jr., in the United States District Court, Western District of Wisconsin, Civil Action No. 91C-0948 (August 12, 1992).
- Deposition of Daniel R. Fischel in Re: <u>Pearl Newman, Shanna Lehmann & Athanasios</u> <u>Tsivelekidis, on their own behalf and on behalf of all other persons similarly situated v. On-Line Software International, Inc. Jack M. Berdy, John C. Crocker, Richard A. Granger, <u>Richard R. Holtmeier, Michael S. Juceam, Edward J. Siegel, Howard P. Sorgen and</u> <u>Richard Ward</u>, United States District Court, District of New Jersey, Consolidated Civil Action Nos. 88-3247, 88-3411 (July 28 and 29, 1992).</u>
- Deposition of Daniel R. Fischel in Re: <u>Crazy Eddie Securities Litigation, Oppenheimer-Palmieri</u> <u>Fund, I.P., et al.</u> v. <u>Peat Marwick Main & Co., et al.</u>, United States District Court for the Eastern District of New York, 87 Civ. 0033 (EHN), 88 Civ. 3481 (EHN) (June 11, 1992; March 26 and 27, 1992).
- Testimony of Daniel R. Fischel in Re: <u>American Continental Corporation/Lincoln Savings and</u> <u>Loan Securities Litigation</u>, in the United States District Court, for the District of Arizona MDL Docket No. 834 (June 4, 1992; May 26, 27 and 28, 1992).

- Testimony of Daniel R. Fischel in Re: <u>State of West Virginia</u> v. <u>Morgan Stanley & Co.</u> <u>Incorporated</u>, in the Circuit Court of Kanawha County, State of West Virginia, Civil Action No. 89-C-3700 (April 27, 1992).
- Affidavit of Daniel R. Fischel in Re: <u>William Steiner</u>, on behalf of himself and all others similarly <u>situated</u> v. <u>Tektronix</u>, Inc., et al., in the United States District Court, District Court of Oregon, Civil No. 90-587-JO (March 23, 1992).
- Deposition of Daniel R. Fischel in Re: <u>Martin Kaplan and Selma Kaplan, on Behalf of</u> <u>Themselves and All Others Similarly Situated</u> v. <u>VICORP Restaurants, Inc., Charles R.</u> <u>Frederickson, Robert S. Benson, Emerson B. Kendall, Robert T. Marto and Johyn C. Hoyt,</u> United States District Court, District of Colorado, Civil Action No. 90-C-2182 (February 11, 1992).
- Deposition of Daniel R. Fischel in Re: <u>Interco Incorporated</u> v. <u>Wasserstein, Perella & Co., Inc.</u>, United States District Court, Eastern District of Missouri, Eastern Division, No. 91-0151-C-6 (February 3, 1992 and December 12, 1991).
- Statement of Daniel R. Fischel in Re: <u>Far West Federal Bank, S.B., et al.</u> v. <u>Director, Office of</u> <u>Thrift Supervision, et al.</u>, United States District Court for the District of Oregon, Civil Action No. 90-103 PA (February 3, 1992).
- Deposition of Daniel R. Fischel in Re: <u>Capital Bank of California</u> v. <u>Morgan Stanley & Co.,</u> <u>Incorporated</u>, United States District Court, Central District of California, No. 91-1650-R (January 24, 1992).
- Deposition of Daniel R. Fischel in Re: <u>Trinity Ventures, et al.</u> v. <u>Federal Deposit Insurance</u> <u>Corporation</u>, in its own capacity and as successor to the Federal Savings and Loan Insurance Corporation, United States District Court, for the District of Oregon, No. 90-103-PA (January 6, 1992).
- Deposition of Daniel R. Fischel in Re: <u>First Republicbank Securities Litigation</u>, United States District Court, Northern District of Texas, Dallas Division, Civil Action No. 3-88-0641-H (January 2, and 3, 1992; November 26, 1991).
- Deposition of Daniel R. Fischel in Re: <u>State of West Virginia</u> v. <u>Morgan Stanley & Co.</u> <u>Incorporated; Salomon Brothers Inc.; and Goldman Sachs & Co.</u>, in the Circuit Court of Kanawha County, State of West Virginia, Civil Action No. 89-C-3700 (December 19 and 20, 1991).
- Deposition of Daniel R. Fischel in Re: <u>The Regina Company, Inc. Securities Litigation</u>, United States District Court, District of New Jersey, Civil Action No. 88-4149 (HAA) (October 31, 1991).
- Affidavit of Daniel R. Fischel in Re: <u>Gillette Securities Litigation</u>, United States District Court, District of Massachusetts, Civil Action No. 88-1858-K (October 7 1991).
- Deposition of Daniel R. Fischel in Re: <u>Capital Maritime Corporation</u> v. <u>Amfels, Inc., Far East</u> <u>Levingston Shipbuilding Ltd., John B. Allison and Patrick A. McDermid</u>, United States District Court for the Southern District of Texas Houston Division, C.A. No. H-90-3417 (September 12, 1991).

- Deposition of Daniel R. Fischel in Re: <u>Thomas J. Caldarone, Jr.</u> v. <u>Isidore Brown, et al.</u>, and <u>John E. Washburn, et al.</u> v. <u>Isidore Brown, et al.</u>, United States District Court, Northern District of Illinois, Eastern Division, Docket Nos. 80 C 6251 and 81 C 1475 (August 28, 29, and 30, 1991).
- Testimony of Daniel R. Fischel in <u>Re: Apple Securities Litigation</u>, United States District Court, Northern District of California, Northern Division, Docket No. C-84-20148 (May 20 and 21, 1991).
- Testimony of Daniel R. Fischel in Re: <u>The Stuart-James Co., Inc., et al. Litigation</u>, United States of America before the Securities & Exchange Commission, in Denver, Colorado, Administrative Proceeding File No. 3-7164 (May 6, 1991).
- Deposition of Daniel R. Fischel in Re: Jennie Farber on behalf of herself and all others similarily situated v. Public Service Company of New Mexico; Jerry D. Geist; John P. Bundrant and Albert J. Robison, United States District Court for the District of New Mexico, CIV 89-456 JB WWD (April 17 and 18, 1991).
- Affidavit of Daniel R. Fischel in Re: <u>Moise Katz, Frederick Rand, Elias Weissman, Richard D.</u> <u>Morgan, Marion R. Morgan and Mortimer Schulman</u> v. <u>Raymond A. Hay</u>, United States District Court, Southern District of New York, No. 86 Civ. 5640 (JES) (March 29, 1991).
- Deposition of Daniel R. Fischel in Re: <u>Standard Chartered PLC., a United Kingdom corporation,</u> <u>et al.</u> v. <u>Price Waterhouse, a general partnership</u>, Superior Court of the State of Arizona, in and for the County of Maricopa, CV 88-34414 (March 13 and 14, 1991).
- Affidavit of Daniel R. Fischel in Re: <u>United States of America</u> v. <u>AVX Corporation</u>, and <u>Commonwealth of Massachusetts</u> v. <u>AVX Corporation</u>, United States District Court, District of Massachusetts, Civil Action Nos. 83-3882-Y and 83-3899-Y (January 29, 1991).
- Deposition of Daniel R. Fischel in Re: <u>Apple Computer Securities</u>, United States District Court Northern District of California, San Jose Division, No. C-84-20148 (a) JW (December 13 and 14, 1990).
- Deposition of Daniel R. Fischel in Re: <u>Polycast Technology Corporation, and Uniroyal Plastics</u> <u>Acquisition Corp.</u> v. <u>Uniroyal, Inc., et al.</u>, United States District Court Southern District of New York, No. 87 Civ. 3297 (December 6, 1990 and November 28, 1990).
- Deposition of Daniel R. Fischel in Re: <u>Ellen Rudd, on behalf of herself and all others similarly situated, and Mayer Corporation on behalf of themselves, and all others similarly situated, and Louis Brandt, and Israel Baker, Jay R. Kuhne, Pininfarina Corp., and American Transfer Co., on behalf of themselves and all others similarly situated v. <u>Kirk Kerkorian, et al.</u>, Superior Court of the State of California, County of Los Angeles, Nos. CA 000980, CA 000981, CA 001017, CA 620279 (June 21, 1990).</u>
- Testimony of Daniel R. Fischel in Re: <u>City of San Jose</u> v. <u>Paine, Webber, Jackson & Curtis,</u> <u>Incorporated, et al., and related counter- and Third-Party Claims</u>, United States District Court, Northern District, No. C-84-20601 RPA (May 23 and 24, 1990).

- Deposition of Daniel R. Fischel in Re: <u>City of San Jose</u> v. <u>Paine, Webber, Jackson & Curtis,</u> <u>Incorporated, et al., and related counter- and Third-Party Claims</u>, United States District Court, Northern District, No. C-84-20601 RPA (May 22, 1990), No. RPA 84-20601 (November 16, 1989 and September 8, 1989).
- Testimony of Daniel R. Fischel in Re: <u>Kulicke and Soffa Industries, Inc. Securities Litigation</u>, United States District Court for the Eastern District of Pennsylvania, No. 86-1656 (March 20 and 21, 1990).
- Deposition of Daniel R. Fischel in Re: <u>Kulicke and Soffa Industries, Inc. Securities Litigation</u>, United States District Court for the Eastern District of Pennsylvania, No. 86-1656 (March 9, 1990; December 19 and 21, 1989).
- Affidavit of Daniel R. Fischel in Re: <u>Viacom International Inc.</u> v. <u>Carl C. Icahn, et al.</u>, v. <u>Ralph M.</u> <u>Baruch, et al.</u>, United States District Court, Southern District of New York, No. 86 Civ. 4215 (RPP) (March 8, 1990).
- Deposition of Daniel R. Fischel in Re: <u>Technical Equities Coordination Litigation</u>, Superior Court of the State of California for the County of Santa Clara, Master File No. 1991, Santa Clara County Superior No. 600306 (March 1, 1990).
- Deposition of Daniel R. Fischel in Re: <u>Amalgamated Clothing and Textile Workers Union, AFL-</u> <u>CIO, et al.</u> v. <u>David A. Murdock, et al.</u>, United States District court for the Central District of California, No. CV-86-6410 IH (February 8, 1990).
- Deposition of Daniel R. Fischel in Re: <u>Connecticut National Life Insurance Company, et al.</u> v. <u>Peter A. Sprecher and Laventhol & Horwath</u>, United States District Court, Central District of California, No. CV 87-1945 WJR (Tx) (January 30, 1990).
- Deposition of Daniel R. Fischel in Re: <u>Consolidated Capital Securities Litigation</u>, United States District Court, Northern District of California, No. C-85-7332 AJZ (January 22, 1990).
- Declaration of Daniel R. Fischel in Re Plaintiffs' Damages in Re: <u>Liquidity Fund, et al.</u> v. <u>Southmark Corporation, et al.</u> in the Superior Court of the State of California for the County of San Mateo, No. 332435 (January 18, 1990).
- Deposition of Daniel R. Fischel in Re: <u>Norman Kamerman, Shirley Brown, Edward Rosen,</u> <u>Lexim Investors Corp., and Dohsa Anstalt, on behalf of themselves and all others similarly</u> <u>situated, and Barnett Stepak</u> v. <u>Saul Steinberg, Reliance Group Holdings, Inc., Reliance</u> <u>Group, Inc., Reliance Financial Services corp., and Reliance Insurance Company</u>, United States District Court, Southern District of New York, No. 84 Civ. 4440 (September 13, 1989).
- Affidavit of Daniel R. Fischel in Re: Edward A. Taylor, et al. v. A. O. Smith Corporation et al., Circuit Court for Lincoln County, Tennessee, No. 098-84 (August 11, 1989).
- Deposition of Daniel R. Fischel in Re: <u>Container Products Inc.</u> v. <u>Pace Industries</u>, United States District Court, Southern District of New York, No. 88-CIV. 3549 (KMW) (July 19, 1989).
- Deposition of Daniel R. Fischel in Re: <u>Joseph B. Moorman, et al.</u> v. <u>Southmark Corporation, et al.</u>, <u>Liquidity Fund, et al.</u> v. <u>Southmark Corporation, et al.</u>, Superior Court of the State of California for the County of San Mateo, Nos. 322135 and 332435 (July 11, 1989).

- Testimony of Daniel R. Fischel in Re: <u>Tessie Wolfson, et al.</u> v. <u>Frederick S. Hammer</u>, and <u>Meritor Financial Group</u>, United States District Court for the Eastern District of Pennsylvania, Civil Action No. 87-8471 (June 20, 1989).
- Deposition of Daniel R. Fischel in Re: <u>Richard J. Heckmann, et al.</u> v. <u>C. L. Ahmanson, et al.</u>, <u>and Consolidated Cases</u>, Superior Court of the State of California for the County of Los Angeles, Nos. CA000851 and C642081 (June 8, 1989).
- Deposition of Daniel R. Fischel in Re: <u>Tessie Wolfson, et al.</u> v. <u>Frederick S. Hammer</u>, United States District Court for the Eastern District of Pennsylvania, Civil Action No. 87-8472 (May 11, 1989).
- Testimony of Daniel R. Fischel in Re: <u>Tessie Wolfson, et al.</u> v. <u>Frederick S. Hammer</u>, United States District Court for the Eastern District of Pennsylvania, Civil Action No. 87-8472 (April 13, 1989).
- Deposition of Daniel R. Fischel in Re: <u>National Union Fire Insurance Company of Pittsburgh,</u> <u>PA</u> v. <u>Wells Fargo Bank, N.A.</u>, District Court of Harris County, Texas, 125<sup>th</sup> Judicial District, No. 88-49246 (April 10 and 11, 1989).
- Deposition of Daniel R. Fischel in Re: <u>Susan Rothenberg, as Custodian for Stephen J.</u> <u>Rothenberg v. Charles E. Hurwitz, United Financial Corporation, United Savings</u> <u>Association of Texas, et al.</u>, United States District Court for the Southern District of Texas, Houston Division, Civil Action No. H-86-1435 (March 30, 1989).
- Deposition of Daniel R. Fischel in Re: Jose Nodar, et al. v. William Weksel, Albert Bromberg, Henry B. Turner, IV, Frank L. Bryant, Leo Kuperschmid, Bennett S. Lebow, Ernst & Whinney and Oppenheimer & Co., Inc., United States District Court, Southern District of New York, No. 84 Civ. 3870 (VLB) and consolidation case No. 84 Civ. 5132 (VLB) (December 15 and 16, 1988).
- Deposition of Daniel R. Fischel in Re: <u>William Steiner, et al.</u> v. <u>Whittaker Corporation, et al.</u>, Superior Court of the State of California for the County of Los Angeles, No. CA000817 (December 7, 1988).
- Deposition of Daniel R. Fischel in Re: <u>Arnold I. Laven, et al.</u> v. <u>Western Union Corporation, et al.</u>, United States District Court for the District, Western District of Washington, MDL No. 551 (August 30 and 31, 1988).
- Deposition of Daniel R. Fischel in Re: <u>Washington Public Power Supply System Securities</u> <u>Litigation</u>, United States District Court, Western District of Washington, MDL No. 551 (August 16 and 22, 1988).
- Affidavit of Daniel R. Fischel in Re: <u>District Business Conduct Committee for District No. 3</u> v. <u>Blinder, Robinson & Company Inc., et al.</u>, National Association of Securities Dealers, Inc. National Business Conduct Committee, Complaint No. DEN-666 (July 21, 1988).
- Deposition of Daniel R. Fischel in Re: <u>Joseph Seidman, et al.</u> v. <u>Stauffer Chemical Company, et al</u>, United States District Court for the District of Connecticut, No. B 84-543 (TFGD) (June 10, 1988 and May 5, 1987).

- Deposition of Daniel R. Fischel in Re: Edlin Cattle Co., Inc., and James Edlin v. A. O. Smith <u>Harvestore Products, Inc., et al.</u>, United States District Court for the Northern District of Texas, Amarillo Division, No. CA-2-86-0122 (May 12, 1988).
- Deposition of Daniel R. Fischel in Re: <u>MicroPro Securities Litigation</u>, United States District Court for the Northern District of California, No. C-85-7428-EFL (A) (May 2, 1988).
- Affidavit of Daniel R. Fischel in Re: <u>Pizza Time Theatre Securities Litigation</u>, United States District Court for the Northern District of California, Civil File No. 84-20048-(A)-RPA (March 25, 1988).
- Affidavit of Daniel R. Fischel and Robert A. Sherwin in Re: <u>First National Bank of Louisville</u> v. <u>Brooks Farms, and George C. Brooks, et al., Third-Party Plaintiffs</u> v. <u>A. O. Smith</u> <u>Corporation, et al.</u>, Circuit Court for Maury County, Tennessee, No. 2058 (March 3, 1988).
- Testimony of Daniel R. Fischel in Re: <u>Nucorp Energy Securities Litigation</u>, United States District Court for the Southern District of California, M.D.L. 514 (March 15, 16, 17, and 18, 1988).
- Deposition of Daniel R. Fischel in Re: <u>Nucorp Energy Securities Litigation</u>, United States District Court for the Southern District of California, M.D.L. 514 (January 27, 1988).
- Deposition of Daniel R Fischel in Re: <u>Anheuser-Busch Companies, Inc.</u> v. <u>W. Paul Thayer, et</u> <u>al.</u>, United States District Court for the Northern District of Texas, Dallas Division, No. CA-3-85-0794-R (January 21, 1988; December 4, 1987; and November 5, 1987).
- Testimony of Daniel R. Fischel in Re: <u>Securities and Exchange Commission</u> v. <u>First City</u> <u>Finance Corporation Ltd., and Marc Belzberg</u>, United States District Court for the District of Columbia, Civil Action No. 86-2240 (December 18, 1987).
- Testimony of Daniel R. Fischel in Re. <u>The Irvine Company</u> v. <u>Athalie Irvine Smith and Athalie R.</u> <u>Clarke, Trustee</u>, State of Michigan Circuit Court for the county of Oakland, Civil Action No. 8327011-CZ (December 14, 15, and 16, 1987).
- Deposition of Daniel R. Fischel in Re: <u>Securities and Exchange Commission</u> v. <u>First City</u> <u>Finance Corporation, Ltd. and Marc Belzberg</u>, United States District Court for the District of Columbia, Civil Action No. 86-2240 (December 11, 1987).
- Affidavit of Daniel R. Fischel in Re: <u>Gerald D. Broder and Constance D. Broder</u> v. <u>Alphonse H.</u> <u>Bellac and William B. Weinberger</u> v. <u>Combustion Equipment Associates, Inc., et al., and</u> <u>William B. Weinberger</u> v. <u>Coopers & Lybrand</u>, United States District Court for the Southern District of New York, 80 CIV 6175 (CES) 80 CIV 6839 (CES) 84 CIV 8217 (CES) (July 22, 1987).
- Deposition of Daniel R. Fischel in Re: <u>The Irvine Company</u> v. <u>Athalie Irvine Smith and Athalie R.</u> <u>Clarke, Trustee</u>, State of Michigan, Circuit Court for the County of Oakland, Civil Action No. 83270011-CZ (June 1, 1987).
- Deposition of Daniel R. Fischel in Re: <u>Fortune Systems Securities Litigation</u>, United States District for the Northern District of California, Master File No. 83-3348A-WHO (May 7, 1987).

- Deposition of Daniel R. Fischel in Re: <u>Victor Technologies Securities Litigation</u>, United States District Court for the Northern District of California, Master File No. C-83-3906(A)-RFP (FW) (January 8, 1987 and October 30, 1986).
- Reply Declaration of Daniel R. Fischel in Support of the Motion by the Activision Defendants for Summary Judgment in Re: <u>Activision Securities Litigation</u>, United States District Court for the Northern District of California, Master File No. C-83-4639(A)-MHP (October 27, 1986).
- Testimony of Daniel R. Fischel in Re: <u>NVHomes, L.P.</u> v. <u>Ryan Homes, Inc.</u>; and <u>Ryan Homes, Inc.</u>; v. <u>NVHomes, L.P., et al.</u>, United States District Court for the Western District of Pennsylvania, Civil Action No. 86-2139 (October 24, 1986).
- Supplemental Affidavit of Daniel R. Fischel in Re: <u>NVHomes, L.P.</u> v. <u>Ryan Homes, Inc.</u>; and <u>Ryan Homes, Inc.</u> v. <u>NVHomes, L.P. and NVAcquisition L.P., et al.</u>, United States District Court the Western District of Pennsylvania, Civil Action No. 86-2139 (October 24, 1986).
- Affidavit of Daniel R. Fischel in Support of the Motion by the Activision Defendants for Summary Judgment in Re: <u>Activision Securities Litigation</u>, United States District Court for the Northern District of California, Master File No. C-86-2139 (October 20, 1986).
- Declaration of Daniel R. Fischel in Support of the Motion by the Activision Defendants for Summary Judgment in Re: <u>Activision Securities Litigation</u>, United States District Court for the Northern District of California, Master File No. C-83-4639(A)-MHP (October 2, 1986).
- Affidavit in Support of Defendants Motion for Summary Judgment in Re: <u>MCorp Securities</u> <u>Litigation</u>, United States Court for the Southern District of Texas, Civil Action No. H-85-5894 (September 25, 1986).
- Deposition of Daniel R. Fischel in Re: <u>Activision Securities Litigation</u>, United States District Court for the Northern District of California, No. C 83 4639 (August 18 and 19, 1986).
- Deposition of Daniel R. Fischel in Re: <u>John Mancino</u> v. <u>James A. McMaqhan, et al.</u>, United States District Court for the Northern District of California, Civil No. C-84-0407-TEH (August 14, 1986).
- Testimony of Daniel R. Fischel in Re: <u>Charles W. Leigh, et al. and George Johnson, et al.</u> v. <u>Clyde William Engle, et al.</u>, United States District Court for the Northern District of Illinois, Eastern Division, Case No. 78 C 3799 (August 1, 1986).
- Reply Affidavit of Daniel R. Fischel in Re: <u>The Amalgamated Sugar Company</u> v. <u>NL Industries</u>, United States District Court for the Southern District of New York, 86 Civ. 5010 (VLB) (July 28, 1986).
- Affidavit of Daniel R. Fischel in Re: <u>The Amalgamated Sugar Company</u> v. <u>NL Industries</u>, United States District Court for the Southern District of New York, 86 Civ. 5010 (VLB) (July 18, 1986).
- Deposition of Daniel R. Fischel in Re: <u>Charles W. Leigh, et al. and George Johnson, et al.</u> v. <u>Clyde William Engle, et al.</u>, United States District Court for the Northern District of Illinois, Eastern Division, Case No. 78 C 3799 (July 1, 1986).

- Deposition of Daniel R. Fischel in Re: <u>Seafirst Corporation</u> v. <u>William M. Jenkins, et al.</u>; and <u>Seafirst Corporation</u> v. <u>John R. Boyd, et al.</u>, United States District Court for the Western District of Washington at Seattle, Case No. C83-771R (February 27, 1986).
- Deposition of Daniel R. Fischel in Re: <u>Kreindler</u> v. <u>Sambo's Restaurants, Inc.</u>, United States District Court for the Southern District of New York, Case No. 79 Civ. 4538 (December 17, 1985).
- Affidavit of Daniel R. Fischel in Re: <u>United States of America</u> v. <u>S. Richmond Dole and Clark J.</u> <u>Matthews II</u> (March 19, 1985).
- Deposition of Daniel R. Fischel in Re: <u>Craig T. McFarland, et al.</u> v. <u>Memorex Corporation</u>, United States District Court for the Northern District of California, No. C 79-2926-WAI, C 79-2007-WAI, C 79-241-WAI (February 26, 1985; January 29 and 30, 1985).
- Testimony of Daniel R. Fischel in Re: <u>Robert J. Lawrence</u> v. <u>Grumman Corp. Pension Plan, et</u> <u>al.</u>, United States District Court for the Eastern District of New York, No. CV-81-3530 (December 19, 1983).
- Testimony of Daniel R. Fishel in Re: <u>Telvest, Inc.</u> v. <u>Junie L. Bradshaw, et al. and American</u> <u>Furniture Company</u>, United States District Court, for the Eastern District of Virginia Richmond Division, No. CA-79-0722-R (December 4, 1981).

### **OTHER ACTIVITIES**

Member, American Economic Association, American Finance Association.

Member of the Board of Overseers of the Becker-Friedman Institute at the University of Chicago.

Advisor to the Harvard Program on Corporate Governance at Harvard University.

Former Member, Board of Directors, Center for the Study of the Economy and the State.

Former member, Mid-America Institute Task Force on Stock Market Collapse.

Have acted as a consultant and/or advisor to the New York Stock Exchange, the National Association of Securities Dealers, the Chicago Board of Trade, the Federal Trade Commission, the Department of Labor, the Securities and Exchange Commission, the Canadian Securities and Exchange Commission, the United States Department of Justice, the Federal Deposit Insurance Corporation, and the Office of Thrift Supervision.

Referee, Journal of Financial Economics, Journal of Law and Economics, Journal of Legal Studies.

Participant and speaker at multiple conferences on the Economics of Corporate, Securities and Commodities Law and the Regulation of Financial Markets.

Former Chairman, American Association of Law Schools' Section on Law and Economics.