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Source of document: FOIA Request
Defense Intelligence Agency
ATTN: IMO-2 (FOIA)
7400 Pentagon
Washington, DC 20301-7400
Email: foia1@dodis.mil

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DEFENSE INTELLIGENCE AGENCY

WASHINGTON, D.C. 20340-5100



U-22-5034/IMO-2 (FOIA)

FOIA-00183-2012

July 05, 2022

This responds to your Freedom of Information Act (FOIA) request, dated March 04, 2012 that you submitted to the Defense Intelligence Agency (DIA) for information concerning: Final and closed DIA OIG investigations. I apologize for the delay in responding to your request as DIA continues its efforts to eliminate the large backlog of pending requests.

A search of DIA's systems of records located 11 documents (20 pages) responsive to your request.

Upon review, I have determined that some portions of 11 documents (20 pages) must be released in part from disclosure pursuant to the FOIA while also taking into consideration of the foreseeable harm standard. The withheld portions are exempt from release pursuant to Exemptions 1, 3, and 6 of the FOIA, 5 U.S.C. § 552 (b)(1), (b)(3), and (b)(6). Exemption 1 applies to information properly classified under the criteria of Executive Order 13526. Exemption 3 applies to information specifically exempted by a statute establishing particular criteria for withholding. The applicable statute is 10 U.S.C. § 424. Statute 10 U.S.C. § 424 protects the identity of DIA employees, the organizational structure of the agency, and any function of DIA. Exemption 6 applies to information which if released would constitute an unwarranted invasion of the personal privacy of other individuals. DIA has not withheld any reasonably segregable non-exempt portions of the records.

If you have additional questions/concerns you may:

Contact the FOIA Public Liaison	Email: FOIA1@dodiiis.mil Phone: 301-394-6253
File an administrative appeal (must be submitted within 90 days of the date on the letter) please contact us via one of the following and use FOIA-00183-2012 when referencing your case)	Email: FOIA1@dodiiis.mil Mail: Defense Intelligence Agency ATTN: IMO-2C (FOIA) 7400 Pentagon Washington, DC 20301-7400

For mediation services, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire

Email: ogis@nara.gov


Phone: 202-741-5770

Toll-Free 1-877-684-6448

Facsimile: 202-741-5769

Mail: Office of Government Information Services
National Archives and Records Administration
8601 Adelphi Road-OGIS
College Park, MD 20740-6001

Sincerely,

A handwritten signature in blue ink, appearing to read "Cheryl Cross-Davison".

(For)

Cheryl Cross-Davison

Chief, Records and Open Government

UNITED STATES GOVERNMENT

memorandum

DATE: 8 September 2009

U-09-0376/IG

REPLY TO
ATTN OF: IG

SUBJECT: (U) Results of Inquiry, Case 2009-003286-WA

TO: HC (b)(3):10 USC 424:(b)(6)
(6)

(b)(3):10 USC 424:(b)(6)

1. (~~UNFOUO~~) The Office of the Inspector General (OIG), Defense Intelligence Agency (DIA), was notified of a possible travel fraud committed by (b)(3):10 USC 424:(b)(6) Directorate for Analysis. It was determined that (b)(3):10 USC 424 was issued permanent change of station orders from DIA, (b)(3):10 USC 424 to (b)(3):10 USC 424 because of Base Realignment and Closure requirements. The orders entitled the (b)(3):10 USC 424 to a house hunting trip (HHT) even though he already owned a residence in (b)(3):10 USC 424 where his family currently resides. (b)(3):10 USC 424:(b)(6) resides in (b)(6) and travels home some weekends.

(b)(3):10 USC 424:(b)(6)

(b)(3):10 USC 424:(b)(6)

2. (U) The allegation of travel voucher fraud was not substantiated. However, the travel was inconsistent with the purpose or intent of the Joint Travel Regulations (JTR), Chapter 5, Part M, Paragraph C5616, "Prohibitions."
3. (~~UNFOUO~~) Recommend that the Directorate for Human Capital review the HHT approval process to determine if the approval of each HHT is consistent with the Federal Travel Regulation, Paragraph §302-5.101. We also recommend that the Directorate for Human Capital develop a checklist to ensure each request for HHT meets the purpose and intent of the JTR.
4. (U) This memorandum is forwarded for your information. No response to the OIG is necessary. The OIG point of contact for this matter is (b)(3):10 USC 424:(b)(6)

(b)(3):10 USC 424:(b)(6)

(b)(3):10 USC 424:(b)(6)

UNITED STATES GOVERNMENT

memorandum

U-08-0538/IG

DATE: 9 December 2008

REPLY TO
ATTN OF: IG

(b)(3):10
USC 424;
(b)(6)

SUBJECT: (U) Results of Investigation, Case 2008-005985-OI

(b)(3):10 USC
424

TO: DS [redacted]

(b)(3):10 USC
424:(b)(6)

1. (U//FOUO) The Office of the Inspector General (OIG) was notified by the [redacted] U.S. Army Criminal Investigation Command (USACIDC), [redacted] regarding allegations of fraud, wire fraud, conspiracy, larceny of Government funds, and false statements involving former [redacted] and former [redacted] both were mobilized and assigned to the [redacted] Defense Intelligence Agency (DIA), Washington, DC. It was alleged [redacted] who is currently a civilian DIA employee assigned to the Directorate for Information Management and Chief Information Officer, DIA, and (b)(6) [redacted] demobilized and has no current affiliation with DIA) may have submitted fraudulent travel vouchers for travel per diem that they were not entitled while on active duty from 25 November 2002 through 2 December 2006. The USACIDC report of investigation is enclosed.

(b)(3):10 USC
424:(b)(6)

(b)(3):10 USC
424:(b)(6)

(b)(3):10 USC
424

2. (U//FOUO) The allegations were substantiated. Based upon the opinion of the Staff Judge Advocate, [redacted] and the USACIDC investigation, it was determined that [redacted] committed the cited offenses, when they submitted fictitious lease agreements and rental receipts to the Defense Finance and Accounting Service, Indianapolis, IN, in order to obtain money they were not entitled. The total loss to the U.S. Government was \$176,082.36.

3. (U) USACIDC provided the Uniform Code of Military Justice convening authority this report for action. This memorandum is forwarded for your information. No response to the OIG is necessary. The OIG point of contact for this matter is (b)(3):10 USC 424:(b)(6) [redacted]

(b)(3):10 USC
424:(b)(6)

(b)(3):10 USC 424

1 Encl a/s

(b)(3):10 USC 424:(b)(6)

(b)(3):10 USC 424:(b)(6)

INVESTIGATIVE DATA
~~TO BE TREATED IN A CONFIDENTIAL MANNER~~
USE IS RESTRICTED

UNITED STATES GOVERNMENT

memorandum

DATE: 27 January 2012

U-11-0446/IG

REPLY TO

ATTN OF: IG

SUBJECT: (U) Report of Investigation, Case 2011-500068-OI

TO: DA (b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424

1. (~~UNFOUO~~) The Office of the Inspector General was notified by the (b)(3):10 USC 424 Directorate for Mission Services (b)(3):10 USC 424 regarding allegations of misconduct involving (b)(3):10 USC 424;(b)(6) Office for Security (b)(3):10 USC 424. It was alleged that (b)(3):10 USC 424 submitted a fraudulent travel voucher and an altered taxi receipt while on temporary duty (TDY) at the (b)(3):10 USC 424 from 31 May through 16 June 2011. Further, (b)(3):10 USC 424 management alleged that (b)(3):10 USC 424 may have misused his (b)(3):10 USC 424 and credentials for other than official business as well as consumed alcoholic beverages during the performance of his official duties.

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424

(b)(3):10 USC 424

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424;(b)(6)

2. (~~UNFOUO~~) The investigation concluded there was no evidence that (b)(3):10 USC 424 submitted a fraudulent travel voucher or an altered taxi receipt. The investigation determined that (b)(3):10 USC 424 received payment for which he was entitled and was not required to provide receipts for taxi expenditures under \$75.00. Further the investigation disclosed that (b)(3):10 USC 424 was transported via taxi on 31 May 2011 from his residence in Waldorf, MD to the Ronald Reagan Washington National Airport and was billed on his return trip on 16 June 2011 for the (b)(3):10 USC 424 round-trip.

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424;(b)(6)

3. (~~UNFOUO~~) The allegation that (b)(3):10 USC 424 misused his DIA-issued special agent badge and credentials was substantiated. The investigation determined that (b)(3):10 USC 424 misused his badge and credentials on three occasions while TDY at Cocoa Beach, FL; Houston, TX; and at an airport to by-pass a Transportation Security Administration checkpoint.

4. (~~UNFOUO~~) The allegation of careless or negligent performance of duty was not substantiated. The investigation concluded there was insufficient evidence to prove that (b)(3):10 USC 424 consumed alcoholic beverage during the performance of his official duties. During his interview, (b)(3):10 USC 424 admitted in the past his drinking had affected his ability to perform the job, but denied drinking during the performance of his duties.

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424;(b)(6)

~~INVESTIGATIVE DATA
TO BE TREATED IN A CONFIDENTIAL MANNER
USE IS RESTRICTED~~

5. (U) We request that DA report the results of any action taken or reasons why no action was taken to IG by 27 March 2012. Proposed administrative or disciplinary action should be coordinated with the Directorate for Human Capital and the Office of General Counsel.

6. (U) The IG point of contact for this matter is Special Agent (b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424

(b)(3):10 USC 424;(b)(6)

1 Encl a/s

(b)(3):10 USC 424;(b)(6)

UNITED STATES GOVERNMENT

memorandum

DATE: 21 June 2010

U-10-0259/IG

REPLY TO

ATTN OF: IG

SUBJECT: (U) Report of Investigation, Case 2010-006187-OI

TO: (b)(3);10 USC 424;(b)(6)

(b)(3);10 USC 424;(b)(6)

1. (~~U//FOUO~~) The Office of the Inspector General (OIG), Defense Intelligence Agency (DIA), received a written anonymous complaint regarding allegations of abuse of authority and waste, fraud, or abuse. The complainant alleged that [redacted] Directorate for Human Intelligence (DH), [redacted] DIA, attended an [redacted] conference in Bangkok, Thailand from 22 through 26 February 2010, and brought her [redacted] (not further identified), to act as her "Executive Assistant." The complainant believed this was waste, fraud, or abuse (air fare, per diem, hotel, taxi, etc.) and Ms. T. DiGregorio was not conducting "official business." The complainant stated their conduct and behavior was very unprofessional and was not in the manner of a [redacted] or DIA representative (excessive drinking – drunken in public). The complainant further alleged another DIA employee had to escort [redacted] to her hotel room. The final report of investigation is enclosed.

(b)(3);10 USC 424

(b)(3);10 USC 424;(b)(6)

2. (~~U//FOUO~~) During the initial stages of the investigation, it was determined that [redacted] assigned to the Directorate for Mission Services, DIA, was not related to [redacted] as alleged. A review of DIA personnel records revealed that [redacted] was [redacted] and was assigned to [redacted] office. Further, [redacted] accompanied [redacted] to the [redacted] conference in Bangkok, which was consistent with the anonymous complaint. Additionally, during the course of the investigation a review of [redacted] DIA personnel security dossier, and interviews of senior DIA officials, disclosed other alcohol related incidents.

(b)(3);10 USC 424;(b)(6)

(b)(3);10 USC 424;(b)(6)

3. (~~U//FOUO~~) The allegations of false official statements, false claims, and theft of public funds (time and attendance fraud) pertaining to [redacted] were not substantiated. The investigation determined there was insufficient evidence to conclude that [redacted] committed the cited offenses; however, there was sufficient evidence to believe she violated DIA Instruction (DIAI) 1422.02, "Time and Attendance Reporting," 28 August 2007, when she prepared, signed and submitted a time card for the time period ending 13 March 2010, that did not reflect that she took annual leave from [redacted]

(b)(3);10 USC 424;(b)(6)

(b)(3);10 USC 424;(b)(6)

**INVESTIGATIVE DATA
TO BE TREATED IN A CONFIDENTIAL MANNER
USE IS RESTRICTED**

(b)(3):10 USC 424:(b)(6)

(b)(3):10 USC 424:(b)(6)

(b)(3):10 USC 424:(b)(6)

1 through 3 March 2010, at the conclusion of her official travel to Bangkok. [redacted] also fraudulently claimed 9.25 regular hours of travel compensatory time earned for 2 March 2010, a date that she was on annual leave. Further, [redacted] violated DIAI 1424.001, "Leave," 30 March 2005, when she failed to submit a leave form for the annual leave she took from 1 through 3 March 2010. There was no indication [redacted] intended to defraud the Government.

(b)(3):10 USC 424

(b)(3):10 USC 424:(b)(6)

4. (U//~~FOUO~~) The allegation of false claims (travel fraud) pertaining to [redacted] was not substantiated. The investigation determined there was insufficient evidence to conclude [redacted] committed the cited offense; however, there was sufficient evidence to conclude she violated DIAI 1426.001, "Employee/Management Relations and Conduct," 28 September 2004, when she prepared, signed, and submitted a travel voucher at the conclusion of her official travel to the [redacted] conference, that did not reflect she took annual leave from 1 through 3 March 2010. By failing to follow the prescribed procedures as outlined in the Joint Travel Regulation (JTR) and not listing the annual leave she took, [redacted] was paid an additional \$288 in travel per diem that she was not entitled. There was no indication [redacted] intended to defraud the Government. On 17 May 2010, [redacted] made full restitution to the Government in the amount owed.

(b)(6)

(b)(3):10 USC 424:(b)(6)

5. (U//~~FOUO~~) The allegation of drunk in public (Bangkok, Thailand) pertaining to [redacted] was substantiated. The investigation determined that [redacted] violated the Thai Penal Code, when witnesses reported while at an after hour event, she had consumed several glasses of wine, which appeared to be excessive due to her slurred speech. Further, [redacted] was stumbling as she walked.

(b)(3):10 USC 424:(b)(6)

(b)(3):10 USC 424:(b)(6)

6. (U//~~FOUO~~) The allegation of abuse of authority pertaining to [redacted] was not substantiated. The investigation determined that [redacted] not [redacted] approved [redacted] travel to the [redacted] conference with the understanding that she was being sent to address policy concerns of conference attendees.

(b)(3):10 USC 424:(b)(6)

(b)(3):10 USC 424:(b)(6)

7. (U//~~FOUO~~) The allegation of profane swearing and drunkenness pertaining to [redacted] was substantiated. The investigation determined that [redacted] violated West Virginia Code 61-8-1.5 when she engaged in language that was so offensive patrons left a restaurant she and other conference attendees visited during the [redacted] conference in [redacted] fell asleep at the table while colleagues were speaking to her, after consuming alcoholic beverages. She was subsequently escorted to her hotel room for safety reasons.

(b)(3):10 USC 424:(b)(6)

(b)(3):10 USC 424:(b)(6)

8. (U//~~FOUO~~) The allegation of failure to properly review pay records pertaining to [redacted] was substantiated. The investigation determined [redacted] violated DIAI 1422.002 and 1424.001, when as the approving official, he failed to properly review [redacted] time card for the pay period ending 13 March 2010. [redacted] subsequently received 9.25 travel compensatory time she did not earn, and was not charged 24 hours of annual leave she used. Further, [redacted] violated

(b)(3):10 USC 424:(b)(6)

(b)(3):10 USC 424:(b)(6)

(b)(3):10 USC
424:(b)(6)

(b)(3):10 USC
424:(b)(6)

(b)(3):10 USC
424:(b)(6)

DIAI 1426.001, when as the approving official; he failed to properly review [redacted] and [redacted] travel voucher for the [redacted] conference in Bangkok. Failure to follow the prescribed procedures as outlined in the JTR, by not ensuring [redacted] and [redacted] listed the annual leave they took, contributed to their receipt of travel per diem that they were not entitled.

(b)(3):10 USC
424:(b)(6)

9. (U//~~FOUO~~) The allegation of false swearing pertaining to [redacted] was substantiated. During the course of the investigation, [redacted] made false statements to OIG special agents regarding [redacted] role at the [redacted] conference and whether anyone expressed concerns about her attending the conference. It was discovered that on two separate occasions, concerns about [redacted] attending the conference were made to [redacted]. Further, interviews of attendees, conference organizers, and a review of the conference agenda revealed [redacted] was never intended to brief at the conference as [redacted] stated was the reason he sent her, nor was DH policy listed as an agenda topic.

(b)(3):10 USC
424:(b)(6)

(b)(3):10 USC
424:(b)(6)

(b)(3):10 USC
424:(b)(6)

10. (U//~~FOUO~~) The allegation of abuse of authority pertaining to [redacted] was not substantiated. The investigation determined that [redacted] selected and approved [redacted] to attend the [redacted] conference in Bangkok. [redacted] acknowledged that he approved Ms. Weiland's travel to the [redacted] conference with the understanding that she was being sent to address policy concerns of conference attendees, and there was insufficient evidence to support he sent her to the conference to accompany [redacted].

(b)(6)

(b)(3):10 USC
424:(b)(6)

(b)(3):10 USC
424:(b)(6)

11. (U//~~FOUO~~) The allegation of false claims (travel fraud) pertaining to [redacted] was not substantiated. The investigation determined there was insufficient evidence to conclude [redacted] committed the cited offense; however, there was sufficient evidence to conclude she violated DIAI 1426.001, when she prepared, signed and submitted a travel voucher at the conclusion of her official travel to the [redacted] conference that did not reflect she took annual leave from 1 through 3 March 2010. Her failure to follow the prescribed procedures as outlined in the JTR and not listing the annual leave she took, resulted in [redacted] being paid an additional \$337 in travel per diem that she was not entitled. There was no indication [redacted] intended to defraud the Government. On 13 May 2010, [redacted] made full restitution to the Government in the amount owed.

(b)(6)

(b)(3):10 USC
424:(b)(6)

(b)(3):10 USC
424:(b)(6)

12. (U//~~FOUO~~) Please report the results of any actions taken against [redacted] and [redacted] or reasons why no action was taken, to this office by 23 August 2010. Proposed administrative or disciplinary action should be coordinated with the Directorate for Human Capital and the Office of General Counsel. The OIG point of contact for this matter is [redacted].

(b)(3):10 USC
424:(b)(6)

13. (U) During the course of this investigation we uncovered several management deficiencies which contributed to funds being distributed that the payees were not entitled. As annotated in the enclosed report of investigations, findings and recommendations were made to preclude further occurrences.

(b)(3):10 USC
424

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14. (U) We request that [redacted] provide an electronic or hard-copy action plan that describes actions you have taken or plan to take in response to the recommendation by 23 August 2010. The response should include supporting documentation, completion dates, or an estimated completion date and should be sent to [redacted] the Inspector General's Follow-up Officer. If you have any questions about how to provide your Center's response, please contact [redacted]

(b)(3):10 USC
424:(b)(6)

[redacted]
(b)(3):10 USC 424;(b)(6)

1 Encl a/s

cc:

[redacted]
(b)(3):10 USC 424;(b)(6)

(U) REPORT OF INVESTIGATION - FINAL - 2010-006187-OI

21 June 2010

(b)(3):10
USC 424

(b)(3):10
USC 424

1. (U) Dates and Location of Occurrence.

(b)(3):10
USC 424

a. (U) Between 20 February and 3 March 2010; [redacted] Conference, [redacted]

(b)(3):10
USC 424

(b)(3):10
USC 424

b. (U) Between 19 and 23 April 2010; [redacted] Conference, [redacted]

(b)(3):10
USC 424

2. (U) Date Reported. 28 April 2010.

3. (U) Investigated By. (b)(3):10 USC 424;(b)(6)

4. (U) Subjects.

(b)(3):10
USC
424;(b)
(6)

a. (b)(3):10 USC 424;(b)(6)

[redacted] Directorate for Human Intelligence (DH), Defense
Intelligence Agency (DIA), Washington, DC: [redacted] Defense

- (1) (U) Violation of DIA Instruction (DIAI) 1422.002, "Time and Attendance Reporting," 28 August 2007 (substantiated).
- (2) (U) Violation of DIAI 1424.001, "Leave," 30 March 2005 (substantiated).
- (3) (U) Violation of DIAI 1426.001 "Employee/Management Relations and Conduct," 28 September 2004, Section 18a (Failure to follow written instructions willful, careless, or negligent) (substantiated).
- (4) (U) Profane Swearing and Drunkenness (substantiated).
- (5) (U) Thai Penal Code (substantiated)
- (6) (U) False Official Statement (not substantiated).
- (7) (U) False, Fictitious, or Fraudulent Claims (not substantiated).

THIS REPORT SHALL BE MADE AVAILABLE ONLY TO THOSE OFFICIALS WHOSE DIRECT RESPONSIBILITIES INCLUDE OVERSIGHT OF THE ORGANIZATION OR PERSONNEL DISCUSSED HEREIN. THIS REPORT, OR PORTIONS THEREOF, MAY NOT BE REPRODUCED WITHOUT THE WRITTEN CONSENT OF EITHER THE INSPECTOR GENERAL OR THE ASSISTANT INSPECTOR GENERAL FOR INVESTIGATIONS, DIA.

(8) (U) Theft of Public Funds (not substantiated).

(9) (U) D'Elia v. Department of Treasury, 60 M.S.P.R. 226, 232 (1993)
(Abuse of Authority) (not substantiated).

b. (b)(3):10 USC 424;(b)(6)

(1) (U) False Swearing (substantiated).

(2) (U) DIAI 1422.002 (substantiated).

(3) (U) DIAI 1424.001 (substantiated).

(4) (U) DIAI 1426.001 (substantiated).

(5) (U) D'Elia v. Department of Treasury, 60 M.S.P.R. 226, 232 (1993)
(Abuse of Authority) (not substantiated)

c. (b)(3):10 USC 424;(b)(6)

(1) (U) DIAI 1426.001 (substantiated).

(2) (U) False, Fictitious, or Fraudulent Claims (not substantiated).

5. (U) **Victim.** U.S. Government (DIA, Washington, DC): False Swearing; Profane Swearing and Drunkenness; Violation of DIAI 1422.002; Violation of DIAI 1424.001; Violation of DIAI 1426.001.

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424

6. (U//~~FOUO~~) **Allegation.** [REDACTED] abused her authority when she approved her daughter [REDACTED] to attend the [REDACTED] conference in Bangkok, and she was drunk in public at the [REDACTED] conference, in violation of D'Elia v. Department of Treasury and the Thai Penal Code.

7. (U) **Investigative Summary.**

(b)(3):10 USC 424;(b)(6)

a. (U//~~FOUO~~) The Office of the Inspector General (OIG) received a written anonymous complaint alleging that [REDACTED] attended the [REDACTED] conference in Bangkok, from 22 through 26 February 2010, and brought her daughter, [REDACTED] (not further identified), to act as her "Executive Assistant." Further, [REDACTED] was drunk in public. The entire temporary duty (TDY) was funded by the U.S. Government.

(b)(3):10 USC 424;(b)(6)

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UNITED STATES GOVERNMENT

memorandum

DATE: 22 July 2008

U-08-0265/IG

REPLY TO:
ATTN OF: IG

SUBJECT: (U) Results of Investigation, Case 2007-005888-OI

TO: J2 (b)(3):10 USC 424;(b)(6)

(b)(3):10 USC
424:(b)(6)

(b)(3):10 USC
424:(b)(6)

1. (U//~~FOUO~~) The Office of the Inspector General (OIG) received an anonymous allegation regarding [redacted] Directorate for Intelligence (J2), Joint Staff, Defense Intelligence Agency, Washington, DC. It was alleged [redacted] committed time and attendance (T&A) fraud and temporary duty (TDY) fraud.

(b)(3):10 USC
424:(b)(6)

2. (U//~~FOUO~~) The allegation of T&A fraud was unsubstantiated. The investigation disclosed that as part of her official duties, [redacted] on a routine basis (three to four times a week) was away from her office at the [redacted] conducting liaison with other intelligence agencies, conducting team meetings, and facilitating various official functions.

(b)(3):10 USC
424:(b)(6)

3. (U//~~FOUO~~) The allegation of false claims (TDY fraud) was unsubstantiated. The investigation disclosed that while [redacted] was TDY to Chicago, IL, from 29 April to 4 May 2007, she incorrectly accounted for nine hours of travel compensatory time she accumulated during the TDY by annotating the time as accumulated on the day after she returned (5 May 2007). The travel compensatory time should have been reflected on each day of travel, not at the end of the pay period, as she erroneously reflected on her time sheet. [redacted] was not paid for hours she was not entitled nor did she receive per diem to which she was not entitled. There is no monetary loss to the U.S. Government.

(b)(3):10 USC
424:(b)(6)

4. (U) During the course of the investigation, the OIG uncovered numerous management deficiencies, which resulted in the lack of knowledge of T&A reporting and poor timekeeping procedures. Department of Defense (DoD) Instruction 5010.40, "Managers' Internal Control Program Procedures," 4 January 2006, requires DoD organizations to implement and evaluate a comprehensive system of management controls that provide reasonable assurance that programs are operating in accordance with pertinent laws and regulations. The following findings and recommendations are provided:

(b)(3):10 USC
424

- a. (U) Finding: [redacted] timekeepers did not forward employees' Form P3091B, Timesheet Format 2 to the National Security Agency (NSA), Fort Meade, MD, in a timely manner in violation of DIA Instruction (DIAI) 1422.002, "Time and Attendance Reporting."

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(b)(3):10 USC 424

(b)(3):10 USC 424;(b)(6)

28 August 2007. Enclosure 2, paragraph 13, DIAI 1422.002, states "... copies of the forms will be retained in the reporting unit and the originals will be forwarded to [redacted] for archiving." NSA reported that several of [redacted] timesheets were never submitted for archiving.

(b)(3):10 USC 424

(b)(3):10 USC 424

(U) Recommendation 1: That J2 [redacted] forward all original T&A timesheets and supporting documents to NSA and retain a copy in the reporting unit for two years plus the current year.

(b)(3):10 USC 424

b. **(U) Finding:** [redacted] supervisors did not properly monitor the recording of employees' T&A by not ensuring that timesheets were complete in violation of DIAI 1422.002. Further, employees were not properly recording the hours worked and submitting them on Form P-3091 or A/P-3091B to the timekeeper.

(b)(3):10 USC 424

(U) Recommendation 2: That J2 [redacted] supervisors ensure that employees properly record the hours worked, leave taken, and overtime or compensatory time earned; sign the Form P-3091A/P-3091B; and submit it to the timekeeper.

(b)(3):10 USC 424

c. **(U) Finding:** [redacted] employees were allowed to take annual and sick leave, and credit hours without submitting an OPM Form 71, Request for Leave or Approved Absence (leave form), in violation of paragraph C1.5.1., DIAI 1424.001, "Leave," 30 March 2005, which states that "Leave will be requested and approved on an OPM 71, Request for Leave or Approved Absence."

(U) Recommendation 3: That J2 [redacted] management require employees to submit an OPM Form 71 prior to scheduled leave.

(b)(3):10 USC 424;(b)(6)

5. **(U) Request J2** provide an electronic or hard-copy action plan that describes actions you have taken or plan to take in response to these recommendations. The response should include supporting documentation, completion dates, or estimated completion dates and should be sent to [redacted] the Inspector General's follow-up officer. We request your action plan by 29 August 2008. If you have any questions about how to provide your directorate's response, please contact [redacted] Any questions concerning the report should be referred to [redacted]

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424;(b)(6)

cc:

(b)(3):10 USC 424;(b)(6)

UNITED STATES GOVERNMENT

memorandum

DATE: 6 September 2012

12-0295/IG

REPLY TO
ATTN OF: IG

SUBJECT: (U) Report of Investigation, Case 2012-500033-OI

TO: (b)(3); 10 USC 424;(b)(6)

(b)(3); 10 USC 424;(b)(1); Sec. 1.4 (c);(b)(6)

1. ~~(S//NF)~~ The Office of the Inspector General (IG) received an allegation of travel fraud involving [redacted] Defense Intelligence Agency (DIA), Washington, DC. It was alleged that [redacted] submitted fraudulent travel vouchers to qualify for Temporary Quarters Subsistence Expenses (TQSE)/Actual Expense (AE). Further, it was alleged that [redacted] may have signed a travel voucher as both the claimant and the reviewing official in order to receive reimbursement. The final report of investigation is enclosed.

(b)(3); 10 USC 424;(b)(1); Sec. 1.4 (c);(b)(6)

(b)(3); 10 USC 424;(b)(1); Sec. 1.4 (c);(b)(6)

2. ~~(S//NF)~~ The allegations of false claims and false documents were substantiated. The investigation determined that there was sufficient evidence to believe [redacted] committed the offense of false claims and violated the Joint Travel Regulation (JTR), Volume 2, Chapter 5, "Allowable Expenses when an Apartment, House, or Recreational Vehicle is Rented or Used for Lodging," 1 January 2012, when he submitted travel vouchers on 9 September 2011 and 6 February 2012, which he signed as both the claimant and reviewer, for a temporary residence that subsequently became his permanent residence. The loss to the Government is estimated at \$15,598.16.

(b)(3); 10 USC 424;(b)(1); Sec. 1.4 (c)

(b)(3); 10 USC 424;(b)(1); Sec. 1.4 (c);(b)(6)

3. ~~(S//NF)~~ The allegation of theft of public funds was not substantiated. The investigation determined that there was insufficient evidence to believe [redacted] committed the offense of theft of Government funds, as he may not have realized that by signing a longer term lease on the same day as his initial 90-day lease, he disqualified himself from receiving TQSE/AE. Agency procedures did not require [redacted] to provide copies of leases in support of his TQSE/AE claims.

(b)(3); 10 USC 424;(b)(1); Sec. 1.4 (c)

(b)(3); 10 USC 424;(b)(1); Sec. 1.4 (c);(b)(6)

4. ~~(S//NF)~~ DIA Instruction 1400.020, "Collection of Debts and Waivers of Claims," 11 March 2011, requires IG to notify the Office of the Chief Financial Executive (FE) when an investigation establishes that a civilian employee or military member owes

(b)(1); Sec. 1.4(c)

~~INVESTIGATIVE DATA~~
~~TO BE TREATED IN A CONFIDENTIAL MANNER~~
~~USE IS RESTRICTED~~

reimbursement to DIA. The Counsel to the Inspector General ~~ruined that by~~ signing a follow-on lease at the same time as his initial lease, (b)(3):10 USC 424 no longer had temporary quarters and was not entitled to TQSE; however, this determination must be made by the TQSE approving authority, so the vouchers should be reconsidered and payment denied by the appropriate TQSE deciding official. In that case, the amount identified by the investigation will become a debt under Title 31, United States Code, "Civil False Claims Act," Section 3711, "Collection and Compromise," and may be collected from the employee under the provisions of the Act.

5. (U) IG request that DX report the results of any action taken, or reasons why no action was taken, to IG by 6 November 2012. Proposed administrative or disciplinary action should be coordinated with the Directorate for Human Capital and the Office of the General Counsel.
6. (U) We request FE initiate appropriate procedures to collect all debts owed to the Government. Please report the amount of the debt collected, or reasons why the debt was not collected, to IG by 6 December 2012.
7. (U) The IG point of contact for this matter is

(b)(3):10 USC
424;(b)(6)

[Redacted]

(b)(3):10 USC 424;(b)(6)

1 Encl a/s

cc:

(b)(3):10 USC 424;(b)(6)

UNITED STATES GOVERNMENT
memorandum

DATE: 10 December 2012

U-12-0324/IG

REPLY TO

ATTN OF: IG

SUBJECT: (U) Report of Investigation, Case 2011-500055-OI

TO:

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424;(b)(1);Sec. 1.4(c);(b)(6)

1. (S//NF) The Office of the Inspector General (IG), Defense Intelligence Agency (DIA), Washington, DC, received an allegation of frauds against the United States involving

[redacted], DIA,

The complainant alleged that [redacted] committed the following violations:

- a. (S//NF) Received duplicate reimbursements for fuel expenses (\$217.04) from two reimbursement systems, one for official travel and one for Intelligence Contingency Funds (ICF).
- b. (U) Received a duplicate automatic tax rebate of 35-40 percent in addition to the reimbursements for fuel.
- c. (S//NF) May have misused ICF to purchase an iPad computer for his personal use. The final report of investigation is enclosed.

2. (S//NF) The allegation of frauds against the United States was not substantiated. The investigation determined that there was insufficient evidence to believe that [redacted] committed fraud, when he used his Government issued [redacted] fuel card and submitted four fuel receipts (totaling \$217.04) for reimbursement on an official [redacted] travel voucher, dated 9 January 2012, and received approximately \$48.68 in entitled fuel rebates. The investigation disclosed that while operating as a [redacted] [redacted] he inadvertently submitted the same four fuel receipts for reimbursement on his [redacted] expenditure sheet, dated 11 March 2011. As a result of the duplicate submission, [redacted] received an additional \$217.04 in reimbursements and \$48.68 in rebates for which he was not entitled. The inquiry concluded that this appeared to be an isolated incident, as no other vouchers disclosed duplicate claims. The loss to the Government is estimated at \$265.72.

(b)(3):10 USC 424;(b)(1);Sec. 1.4(c);(b)(6)

(b)(3):10 USC 424

(b)(3):10 USC 424

(b)(3):10 USC 424;(b)(1);Sec. 1.4(c);(b)(6)

(b)(3):10 USC 424

(b)(1);Sec. 1.4(c)

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~~TO BE TREATED IN A CONFIDENTIAL MANNER~~
~~USE IS RESTRICTED~~

(b)(3):10 USC 424 (b)(1);Sec. 1.4(c);(b)(6)

(b)(3):10 USC 424.(b)(1);Sec. 1.4(c);(b)(6)

~~SECRET//NOFORN~~

(b)(3):10 USC 424.(b)(1);Sec. 1.4(c);(b)(6)

3. ~~(S//NF)~~ The investigation did not substantiate that [redacted] misused ICF to purchase an iPad computer for his personal use.

(b)(3):10 USC 424.(b)(1);Sec. 1.4(c);(b)(6)

4. ~~(S//NF)~~ [redacted] denied that he intentionally submitted duplicate fuel receipts on his travel voucher and ICF expenditure sheet. Additionally, [redacted] stated that he used ICF to purchase an iPad computer as a gift to build rapport with his [redacted] and not for his personal use. [redacted] agreed to reimburse the Government for the duplicate payment he inadvertently received.

(b)(3):10 USC 424

(b)(3):10 USC 424.(b)(1);Sec. 1.4(c);(b)(6)

5. ~~(S//NF)~~ We request DX initiate collection action and report the results of any monetary recoveries made, or reasons why no actions were conducted, to IG by 10 February 2013. The IG point of contact for this matter is [redacted]

1 Encl a/s

cc:

(b)(3):10 USC 424;(b)(6)

[redacted] (b)(3):10 USC 424;(b)(6)

~~SECRET//NOFORN~~

UNITED STATES GOVERNMENT

memorandum

DATE: 31 July 2008

U-08-0328/IG

REPLY TO
ATTN OF: IG

SUBJECT: (U) Results of Investigation, Case 2008-005993-OI

TO: (b)(3):10 USC 424

(b)(3):10 USC 424;(b)(6)

1. (U//~~FOUO~~) The Office of the Inspector General (OIG) was notified by the [redacted] U.S. Army Criminal Investigation Division regarding allegations of fraud, false statements and false claims by [redacted] Command (USACIDC), [redacted] (b)(3):10 USC 424; (b)(6) regarding allegations of fraud, false statements and false claims by [redacted] (b)(3):10 USC 424 Directorate for Analysis (DI), Defense Intelligence Agency (DIA). It was alleged [redacted] may have submitted fraudulent travel vouchers for travel per diem that he was not entitled. The USACIDC Report of Investigation is enclosed.

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424

(b)(3):10 USC 424;(b)(6)

2. (U//~~FOUO~~) The allegations of fraud, larceny, wire fraud, and false official statement were unsubstantiated. Based upon a review of documents submitted by [redacted] to the Defense Finance and Accounting Service, Indianapolis, IN, significant interviews, and a legal opinion by the Staff Judge Advocate, [redacted] the USACIDC investigation determined that the travel vouchers were legitimate. Further, the investigation determined [redacted] had no intent of defrauding the U.S. Government, as he was unaware of the guidelines set by the Joint Federal Travel Regulation.

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424

(b)(3):10 USC 424;(b)(6)

3. (U) This memorandum is forwarded for your information. No response to the OIG is necessary. The OIG point of contact for this matter is [redacted]

[redacted]

(b)(3):10 USC 424;(b)(6)

1 Encl a/s

cc:

(b)(3):10 USC 424;(b)(6)

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USE IS RESTRICTED

UNITED STATES GOVERNMENT

memorandum

DATE: 9 September 2008

U-08-0362/IG

REPLY TO

ATTN OF: IG

SUBJECT: (U) Results of Investigation, Case 2008-005978-OI

TO: (b)(3):10 USC 424

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424;(b)(6)

1. (U//~~FOUO~~) The Office of the Inspector General (OIG) was notified by the [redacted] U.S. Army Criminal Investigation Division Command (USACIDC), [redacted] regarding allegations of fraud, false statements, and false claims involving [redacted] Defense Intelligence Agency (DIA), Washington, DC. It was alleged [redacted] may have submitted fraudulent travel vouchers for travel per diem that he was not entitled while on active duty from 2 September 2005 through 1 September 2006. The USACIDC Report of Investigation is enclosed.

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424;(b)(6)

2. (U//~~FOUO~~) Allegations of fraud, wire fraud, and making a false official statement were unsubstantiated. Based upon a review of documents submitted by [redacted] to the Defense Finance Accounting Service, Indianapolis, IN, significant interviews, and a legal opinion by the Staff Judge Advocate (SJA), [redacted] and the USACIDC investigation determined that [redacted] submitted travel vouchers for legitimate expenditures.

(b)(3):10 USC 424

(b)(3):10 USC 424;(b)(6)

3. (U//~~FOUO~~) The allegation of larceny of Government funds was substantiated. Based upon the opinion of the SJA and the USACIDC investigation, it was determined that there was probable cause to believe [redacted] committed the offense of larceny, when he paid an additional \$600.00 of his personal funds and retained possession of the rented furniture. [redacted] did not reimburse the Government as required in the Joint Travel Regulation. The total loss to the U.S. Government is \$28,550.00.

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424;(b)(6)

4. (U) USACIDC provided the Uniform Code of Military Justice convening authority this report for action. This memorandum is forwarded for your information. No response to the OIG is necessary. The OIG point of contact for this matter is [redacted]

(b)(3):10 USC 424;(b)(6)

1 Encl a/s

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(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424;(b)(6)

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DEFENSE INTELLIGENCE AGENCY

WASHINGTON, DC 20340-5100



U-11-0417/IG

31 October 2011

To: Department of Defense
Defense Hotline
400 Army-Navy Drive
Arlington, VA 22202

Subject: (U) DoD Hotline Action Case Referral – 118141 (DIA Case 2011-500039-OI)

Reference: (U) DoD IG memorandum, subject: DoD Hotline Action Case Referral,
29 March 2011

1. (U//~~FOUO~~) This letter responds to Department of Defense Inspector General (DoD IG) Hotline Action Case Referral 118141. DoD IG received a complaint concerning [redacted]

[redacted] Defense Intelligence Agency (DIA), [redacted] An anonymous complainant alleged that while [redacted] was assigned to [redacted] she defrauded the U.S. Government when she collected Basic Allowance for Housing (BAH) for which she was not entitled.

2. (U//~~FOUO~~) This office referred the matter to the U.S. Army Criminal Investigation Command (CID), [redacted] for action. An investigation by the [redacted] CID Office determined [redacted] committed the offenses of larceny of Government funds and fraud. The investigation revealed that when [redacted] was assigned to [redacted] continued to collect [redacted] for her spouse's residence in [redacted] after he (spouse) established residency and resided with her from 26 October 2008 to 16 July 2009. The loss to the U.S. Government is \$15,724.47. The final CID investigations report is enclosed.

3. (U//~~FOUO~~) The U.S. Army CID coordinated with [redacted] Staff Judge Advocate, Fort McNair, DC, who opined that probable cause existed to believe [redacted] committed the offenses of larceny of Government funds and fraud. The U.S. Army CID referred this matter to the [redacted] for disciplinary action.

4. (U) The case file working papers for the CID investigation are retained in the files of the [redacted] CID [redacted]

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USE IS RESTRICTED**

(b)(3):10 USC
424

5. (U) The IG point of contact for this matter is (b)(3):10 USC 424

1 Encl a/s

(b)(3):10 USC 424;(b)(6)

(b)(3):10 USC 424