



Irina Grekova

EDUCATION

International compliance association 2011

International Diploma in compliance (Manchester University)

FSFM Certificate 1.0 for brokerage, dealer, asset management activities 2011

FSFM Certificate 4.0 for depositary activities 2011

Summer University of comparative law (Sorbonne, France)

July 2010

Rostov State University of Economics economic, economy

Thesis on «Implementation of internal control system of Russian companies through compliance»

High Economy School, Financial law, Moscow

Thesis on "Legal Regulation of Financial Control on the Security Market in France and in Russia"

Moscow State Academy of Law, specializing in financial law (2003-2008)

«Thesis on "Legal Regulation of Taxation of Operations with Securities"»

Research in the law faculty of Jean Moulin Lyon 3 University, Lyon, France (2006-2007)

EXPERIENCE

Investment Group ATON (April 2010-present time)

Head of Compliance

- Compliance advice during negotiations, transactions structuring (equity trading), new business implementation (asset management, corporate finance, DMA)
- Dealing with regulatory authorities, stock exchanges (CySEC, FCA, FSMA, FinMA, FinRA)
- Drafting and supervision of internal procedures manual (including compliance policy, outsourcing policy, conflict of interest etc)
- Developing internal rules, manuals and documentation of the Company,
- Establishing appropriate measures, systems and procedures for the due implementation of the Law and Directive of CySEC, Bribery Act, FCPA, FATCA
- Dealing with customer identification and due diligence procedures and company customer acceptance policy and procedures,
- Ongoing monitoring of customers' accounts and transactions,
- Preparation of staff AML seminars and staff training,
- Liaising with clients and counterparties,
- Reviewing of contracts and other documents
- Participating in monthly newsletter of Compliance Function

Member of Compliance Committee in Federal Financial Monitoring Service

Director of Compliance in RBEN (Russian Business Ethics Network)

Member of different committees connected with Compliance, Ethics and AML issues in Self-Regulated Organizations