

1 **Chapter 1: Framing and Context**

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3 **Coordinating Lead Authors:** Almut Arneth (Germany), Fatima Denton (The Gambia)

4 **Lead Authors:** Fahmuddin Agus (Indonesia), Aziz Elbehri (Morocco), Karlheinz Erb (Italy), Balgis
5 Osman Elasha (Côte d'Ivoire), Mohammad Rahimi (Iran), Mark Rounsevell (United Kingdom), Adrian
6 Spence (Jamaica), Riccardo Valentini (Italy)

7 **Contributing Authors:** Peter Alexander (United Kingdom), Yuping Bai (China), Ana Bastos
8 (Portugal/Germany), Niels Debonne (The Netherlands), Jan Fuglestvedt (Norway), Rafaela Hillerbrand
9 (Germany), Baldur Janz (Germany), Thomas Kastner (Austria), Ylva Longva (United Kingdom), Patrick
10 Meyfroidt (Belgium), Michael O'Sullivan (United Kingdom)

11 **Review Editors:** Edvin Aldrian (Indonesia), Bruce McCarl (The United States of America), Maria Jose
12 Sanz Sanchez (Spain)

13 **Chapter Scientists:** Yuping Bai (China), Baldur Janz (Germany)

14 **Date of Draft:** 07/08/2019

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1	Table of Contents	
2		
3	Chapter 1: Framing and Context.....	1-1
4	Executive summary.....	1-1
5	1.1 Introduction and scope of the report	1-3
6	1.1.1 Objectives and scope of the assessment.....	1-3
7	1.1.2 Status and dynamics of the (global) land system	1-7
8	1.2 Key challenges related to land use change.....	1-13
9	1.2.1 Land system change, land degradation, desertification and food security	1-13
10	1.2.2 Progress in dealing with uncertainties in assessing land processes in the climate system... 1-	
11	18	
12	Cross-Chapter Box 1: Scenarios and other methods to characterise the future of land	1-22
13	1.3 Response options to the key challenges	1-26
14	1.3.1 Targeted decarbonisation relying on large land-area need.....	1-27
15	Cross-Chapter Box 2: Implications of large-scale conversion from non-forest to forest land.....	1-29
16	1.3.2 Land Management.....	1-32
17	1.3.3 Value chain management	1-32
18	1.3.4 Risk management	1-35
19	1.3.5 Economics of land-based mitigation pathways: Costs versus benefits of early action under	
20	uncertainty.....	1-35
21	1.3.6 Adaptation measures and scope for co-benefits with mitigation	1-36
22	1.4 Enabling the response	1-37
23	1.4.1 Governance to enable the response.....	1-37
24	1.4.2 Gender agency as a critical factor in climate and land sustainability outcomes	1-39
25	1.4.3 Policy Instruments.....	1-40
26	1.5 The interdisciplinary nature of the SRCCL	1-42
27	Frequently Asked Questions	1-42
28	References.....	1-44
29	Supplementary Material.....	1-90
30		
31		

1 Executive summary

2 **Land, including its water bodies, provides the basis for human livelihoods and well-being through**
3 **primary productivity, the supply of food, freshwater, and multiple other ecosystem services (*high***
4 ***confidence*).** Neither our individual or societal identities, nor the World's economy would exist without
5 the multiple resources, services and livelihood systems provided by land ecosystems and biodiversity.
6 The annual value of the World's total terrestrial ecosystem services has been estimated at 75–85 trillion
7 USD in 2011 (based on USD 2007 values) (*low confidence*). This substantially exceeds the annual World
8 GDP (*high confidence*). Land and its biodiversity also represent essential, intangible benefits to humans,
9 such as cognitive and spiritual enrichment, sense of belonging and aesthetic and recreational values.
10 Valuing ecosystem services with monetary methods often overlooks these intangible services that shape
11 societies, cultures and quality of life and the intrinsic value of biodiversity. The Earth's land area is finite.
12 Using land resources sustainably is fundamental for human well-being (*high confidence*). {1.1.1}

13 **The current geographic spread of the use of land, the large appropriation of multiple ecosystem**
14 **services and the loss of biodiversity are unprecedented in human history (*high confidence*).** By 2015,
15 about three-quarters of the global ice-free land surface was affected by human use. Humans appropriate
16 one quarter to one third of global terrestrial potential net primary production (*high confidence*). Croplands
17 cover 12–14% of the global ice-free surface. Since 1961, the supply of global per capita food calories
18 increased by about one third, with the consumption of vegetable oils and meat more than doubling. At the
19 same time, the use of inorganic nitrogen fertiliser increased by nearly 9-fold, and the use of irrigation
20 water roughly doubled (*high confidence*). Human use, at varying intensities, affects about 60–85% of
21 forests and 70–90% of other natural ecosystems (e.g., savannahs, natural grasslands) (*high confidence*).
22 Land use caused global biodiversity to decrease by around 11–14% (*medium confidence*). {1.1.2}

23 **Warming over land has occurred at a faster rate than the global mean and this has had observable**
24 **impacts on the land system (*high confidence*).** The average temperature over land for the period 1999–
25 2018 was 1.41°C higher than for the period 1881–1900, and 0.54°C larger than the equivalent global
26 mean temperature change. These warmer temperatures (with changing precipitation patterns) have altered
27 the start and end of growing seasons, contributed to regional crop yield reductions, reduced freshwater
28 availability, and put biodiversity under further stress and increased tree mortality (*high confidence*).
29 Increasing levels of atmospheric CO₂, have contributed to observed increases in plant growth as well as to
30 increases in woody plant cover in grasslands and savannahs (*medium confidence*). {1.1.2}

31 **Urgent action to stop and reverse the over-exploitation of land resources would buffer the negative**
32 **impacts of multiple pressures, including climate change, on ecosystems and society (*high***
33 ***confidence*).** Socio-economic drivers of land use change such as technological development, population
34 growth and increasing per capita demand for multiple ecosystem services are projected to continue into
35 the future (*high confidence*). These and other drivers can amplify existing environmental and societal
36 challenges, such as the conversion of natural ecosystems into managed land, rapid urbanisation, pollution
37 from the intensification of land management and equitable access to land resources (*high confidence*).
38 Climate change will add to these challenges through direct, negative impacts on ecosystems and the
39 services they provide (*high confidence*). Acting immediately and simultaneously on these multiple drivers
40 would enhance food, fibre and water security, alleviate desertification, and reverse land degradation,
41 without compromising the non-material or regulating benefits from land (*high confidence*). {1.1.2, 1.2.1,
42 1.3.2-1.3.6, Cross-Chapter Box 1, Chapter 1}

1 **Rapid reductions in anthropogenic greenhouse gas emissions that restrict warming to “well-below”**
2 **2°C would greatly reduce the negative impacts of climate change on land ecosystems (*high***
3 ***confidence*).** In the absence of rapid emissions reductions, reliance on large-scale, land-based,
4 **climate change mitigation is projected to increase, which would aggravate existing pressures on**
5 **land (*high confidence*).** Climate change mitigation efforts that require large land areas (e.g., bioenergy
6 and afforestation/reforestation) are projected to compete with existing uses of land (*high confidence*). The
7 competition for land could increase food prices and lead to further intensification (e.g., fertiliser and water
8 use) with implications for water and air pollution, and the further loss of biodiversity (*medium*
9 *confidence*). Such consequences would jeopardise societies’ capacity to achieve many sustainable
10 development goals that depend on land (*high confidence*). {1.3.1, Cross-Chapter Box 2 in Chapter 1}

11 **Nonetheless, there are many land-related climate change mitigation options that do not increase the**
12 **competition for land (*high confidence*).** Many of these options have co-benefits for climate change
13 **adaptation (*medium confidence*).** Land use contributes about one quarter of global greenhouse gas
14 emissions, notably CO₂ emissions from deforestation, CH₄ emissions from rice and ruminant livestock
15 and N₂O emissions from fertiliser use (*high confidence*). Land ecosystems also take up large amounts of
16 carbon (*high confidence*). Many land management options exist to both reduce the magnitude of
17 emissions and enhance carbon uptake. These options enhance crop productivity, soil nutrient status,
18 microclimate or biodiversity, and thus, support adaptation to climate change (*high confidence*). In
19 addition, changes in consumer behaviour, such as reducing the over-consumption of food and energy
20 would benefit the reduction of GHG emissions from land (*high confidence*). The barriers to the
21 implementation of mitigation and adaptation options include skills deficit, financial and institutional
22 barriers, absence of incentives, access to relevant technologies, consumer awareness and the limited
23 spatial scale at which the success of these practices and methods have been demonstrated. {1.2.1, 1.3.2,
24 1.3.3, 1.3.4, 1.3.5, 1.3.6}

25 **Sustainable food supply and food consumption, based on nutritionally balanced and diverse diets,**
26 **would enhance food security under climate and socio-economic changes (*high confidence*).**
27 Improving food access, utilisation, quality and safety to enhance nutrition, and promoting globally
28 equitable diets compatible with lower emissions have demonstrable positive impacts on land use and food
29 security (*high confidence*). Food security is also negatively affected by food loss and waste (estimated as
30 more than 30% of harvested materials) (*high confidence*). Barriers to improved food security include
31 economic drivers (prices, availability and stability of supply) and traditional, social and cultural norms
32 around food eating practices. Climate change is expected to increase variability in food production and
33 prices globally (*high confidence*), but the trade in food commodities can buffer these effects. Trade can
34 provide embodied flows of water, land and nutrients (*medium confidence*). Food trade can also have
35 negative environmental impacts by displacing the effects of overconsumption (*medium confidence*).
36 Future food systems and trade patterns will be shaped as much by policies as by economics (*medium*
37 *confidence*). {1.2.1, 1.3.3}

38 **A gender inclusive approach offers opportunities to enhance the sustainable management of land**
39 **(*medium confidence*).** Women play a significant role in agriculture and rural economies globally. In
40 many World regions, laws, cultural restrictions, patriarchy and social structures such as discriminatory
41 customary laws and norms reduce women’s capacity in supporting the sustainable use of land resources
42 (*medium confidence*). Therefore, acknowledging women’s land rights and bringing women’s land
43 management knowledge into land-related decision-making would support the alleviation of land
44 degradation, and facilitate the take-up of integrated adaptation and mitigation measures (*medium*
45 *confidence*). {1.4.1, 1.4.2}

1 **Regional and country specific contexts affect the capacity to respond to climate change and its**
2 **impacts, through adaptation and mitigation (*high confidence*).** There is large variability in the
3 availability and use of land resources between regions, countries and land-management systems. In
4 addition, differences in socio-economic conditions, such as wealth, degree of industrialisation, institutions
5 and governance, affect the capacity to respond to climate change, food insecurity, land degradation and
6 desertification. The capacity to respond is also strongly affected by local land ownership. Hence, climate
7 change will affect regions and communities differently (*high confidence*). {1.3, 1.4}

8 **Cross-scale, cross-sectoral and inclusive governance can enable coordinated policy that supports**
9 **effective adaptation and mitigation (*high confidence*).** There is a lack of coordination across
10 governance levels, for example, local, national, transboundary and international, in addressing climate
11 change and sustainable land management challenges. Policy design and formulation is often strongly
12 sectoral, which poses further barriers when integrating international decisions into relevant (sub)national
13 policies. A portfolio of policy instruments that are inclusive of the diversity of governance actors would
14 enable responses to complex land and climate challenges (*high confidence*). Inclusive governance that
15 considers women's and indigenous people's rights to access and use land enhances the equitable sharing
16 of land resources, fosters food security and increases the existing knowledge about land use, which can
17 increase opportunities for adaptation and mitigation (*medium confidence*). {1.3.5, 1.4.1, 1.4.2, 1.4.3}

18 **Scenarios and models are important tools to explore the trade-offs and co-benefits of land**
19 **management decisions under uncertain futures (*high confidence*).** Participatory, co-creation processes
20 with stakeholders can facilitate the use of scenarios in designing future sustainable development strategies
21 (*medium confidence*). In addition to qualitative approaches, models are critical in quantifying scenarios,
22 but uncertainties in models arise from, for example, differences in baseline datasets, land cover classes
23 and modelling paradigms (*medium confidence*). Current scenario approaches are limited in quantifying
24 time-dependent, policy and management decisions that can lead from today to desirable futures or visions.
25 Advances in scenario analysis and modelling are needed to better account for full environmental costs and
26 non-monetary values as part of human decision-making processes. {1.2.2, Cross Chapter Box 1 in
27 Chapter 1}

28 **1.1 Introduction and scope of the report**

29 **1.1.1 Objectives and scope of the assessment**

30 Land, including its water bodies, provides the basis for our livelihoods through basic processes such as
31 net primary production that fundamentally sustain the supply of food, bioenergy and freshwater, and the
32 delivery of multiple other ecosystem services and biodiversity (Hoekstra and Wiedmann 2014; Mace et
33 al. 2012; Newbold et al. 2015; Runting et al. 2017; Isbell et al. 2017)(see Cross-Chapter Box 8:
34 Ecosystem Services, Chapter 6). The annual value of the world's total terrestrial ecosystem services has
35 been estimated to be about USD 75–85 trillion (in 2011 based on USD 2007 values)(Costanza et al.
36 2014). This equates approximately to the world's average GDP over the last 5 years (IMF 2018). Land
37 also supports non-material ecosystem services such as cognitive and spiritual enrichment and aesthetic
38 values (Hernández-Morcillo et al. 2013; Fish et al. 2016), intangible services that shape societies, cultures
39 and human well-being. Exposure of people living in cities to (semi-)natural environments has been found
40 to decrease mortality, cardiovascular disease and depression (Rook 2013; Terraube et al. 2017). Non-
41 material and regulating ecosystem services have been found to decline globally and rapidly, often at the
42 expense of increasing material services (Fischer et al. 2018; IPBES 2018a). Climate change will
43 exacerbate diminishing land and freshwater resources, increase biodiversity loss, and will intensify

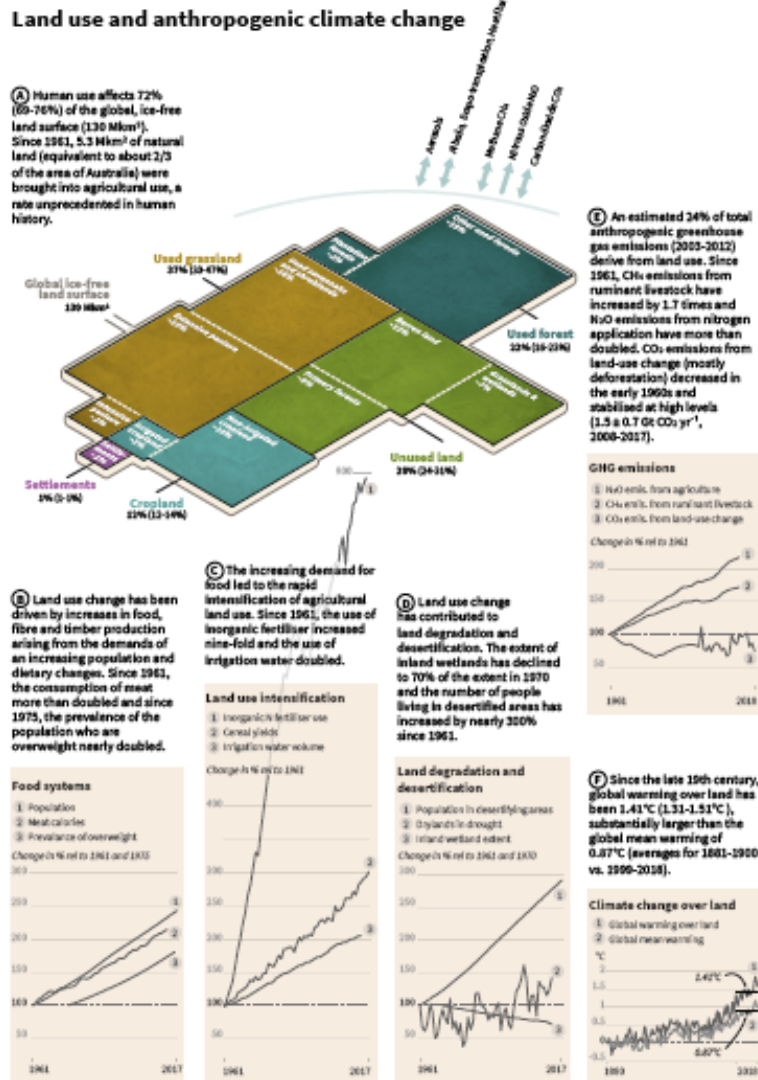
1 societal vulnerabilities, especially in regions where economies are highly dependent on natural resources.
2 Enhancing food security and reducing malnutrition, whilst also halting and reversing desertification and
3 land degradation, are fundamental societal challenges that are increasingly aggravated by the need to both
4 adapt to and mitigate climate change impacts without compromising the non-material benefits of land
5 (Kongsager et al. 2016; FAO et al. 2018).

6 Annual emissions of greenhouse gases (GHGs) and other climate forcers continue to increase unabatedly.
7 *Confidence is very high* that the window of opportunity, the period when significant change can be made,
8 for limiting climate change within tolerable boundaries is rapidly narrowing (Schaeffer et al. 2015;
9 Bertram et al. 2015; Riahi et al. 2015; Millar et al. 2017; Rogelj et al. 2018a). The Paris Agreement
10 formulates the goal of limiting global warming this century well below 2°C above pre-industrial levels,
11 for which rapid actions are required across the energy, transport, infrastructure and agricultural sectors,
12 while factoring in the need for these sectors to accommodate a growing human population (Wynes and
13 Nicholas 2017; Le Quere et al. 2018). Conversion of natural land, and land management, are significant
14 net contributors to GHG emissions and climate change, but land ecosystems are also a GHG sink (Smith
15 et al. 2014; Tubiello et al. 2015; Le Quere et al. 2018; Ciais et al. 2013a). It is not surprising, therefore,
16 that land plays a prominent role in many of the Nationally Determined Contributions (NDCs) of the
17 parties to the Paris Agreement (Rogelj et al. 2018a,b; Grassi et al. 2017; Forsell et al. 2016), and land-
18 measures will be part of the NDC review by 2023.

19 A range of different climate change mitigation and adaptation options on land exist, which differ in terms
20 of their environmental and societal implications (Meyfroidt 2018; Bonsch et al. 2016; Crist et al. 2017;
21 Humpenoder et al. 2014; Harvey and Pilgrim 2011; Mouratiadou et al. 2016; Zhang et al. 2015; Sanz-
22 Sanchez et al. 2017; Pereira et al. 2010; Griscom et al. 2017; Rogelj et al. 2018a)(see Chapters 4-6). The
23 Special Report on climate change, desertification, land degradation, sustainable land management, food
24 security, and GHG fluxes in terrestrial ecosystems (SRCCL) synthesises the current state of scientific
25 knowledge on the issues specified in the report's title (see Figure 1.1, Figure 1.2). This knowledge is
26 assessed in the context of the Paris Agreement, but many of the SRCCL issues concern other international
27 conventions such as the United Nations Convention on Biodiversity (UNCBD), the UN Convention to
28 Combat Desertification (UNCCD), the UN Sendai Framework for Disaster Risk Reduction (UNISDR)
29 and the UN Agenda 2030 and its Sustainable Development Goals (SDGs). The SRCCL is the first report
30 in which land is the central focus since the IPCC Special Report on land use, land-use change and forestry
31 (Watson et al. 2000)(see Box 1.1). The main objectives of the SRCCL are to:

- 32 1) Assess the current state of the scientific knowledge on the impacts of socio-economic drivers and their
33 interactions with climate change on land, including degradation, desertification and food security;
- 34 2) Evaluate the feasibility of different land-based response options to GHG mitigation, and assess the
35 potential synergies and trade-offs with ecosystem services and sustainable development;
- 36 3) Examine adaptation options under a changing climate to tackle land degradation and desertification
37 and to build resilient food systems, as well as evaluating the synergies and trade-offs between
38 mitigation and adaptation; and
- 39 4) Delineate the policy, governance and other enabling conditions to support climate mitigation, land
40 ecosystem resilience and food security in the context of risks, uncertainties and remaining knowledge
41 gaps.

42

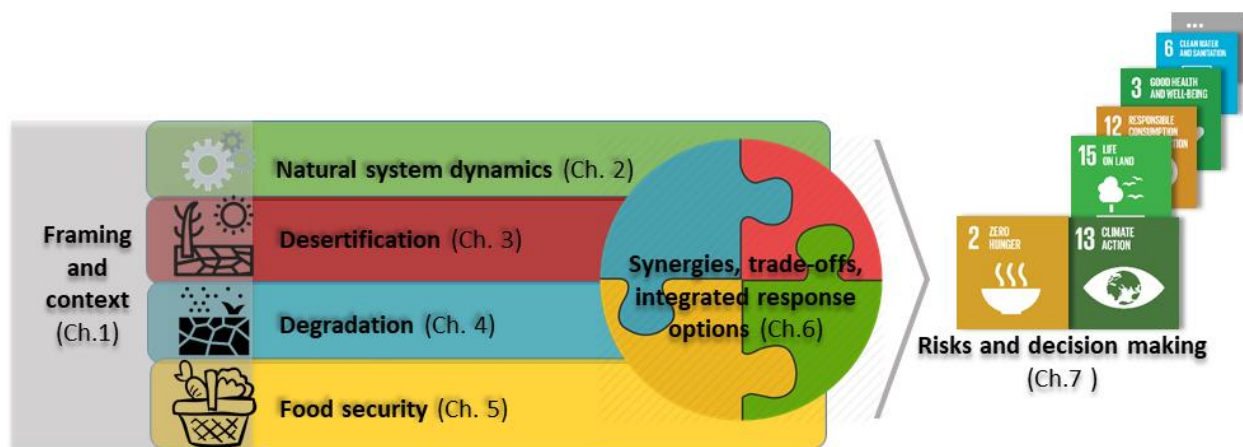


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2 **Figure 1.1 A representation of the principal land challenges and land-climate system processes covered in this**
 3 **assessment report.** A. The tiles show the current extent (in about 2015) of the human use of the land surface,
 4 aggregated into five broad land use and land cover categories with uncertainty ranges. Colour shading indicates
 5 different intensities of human use (Table 1.1). B. Agricultural areas have increased to supply the increasing demand
 6 for food arising from population growth, income growth and increasing consumption of animal-sourced products.
 7 The proportion of the global population that is overweight (body mass index > 25 kg/m²) has increased markedly
 8 (section 5.1.2). Population density (*Source: United Nations, Department of Economic and Social Affairs 2017*).
 9 Meat calories supplied (*Source: FAOSTAT 2018*) Prevalence of people overweight (*Source: Abarca-Gómez et al.*
 10 *2017*)(5.1.2). C. Increasing food production has led to rapid land use intensification, including increases in the use of
 11 nitrogen fertiliser and irrigation water that have supported the growth in cereal yields (section 1.1). Change in cereal
 12 yield and irrigation water use (*Source: FAOSTAT 2018*); Change in total inorganic nitrogen fertiliser consumption
 13 (*Source: International Fertiliser Industry Association, <https://www.ifastat.org/databases>*). Note that the very large
 14 percentage change in fertiliser use reflects the very low use in 1961. The increase relates to both increasing fertiliser
 15 input per area as well as the expansion of fertilised cropland and grassland. D. Land use change has led to
 16 substantial losses in the extent of inland wetlands (section 4.2.1, 4.6.1). Dryland areas are under increasing pressures
 17 both from the increasing number of people living in these areas and from the increase in droughts (section 3.1.1).
 18 The inland wetland extent trends (WET) index was developed by aggregating data from 2130 time series that report
 19 changes in local wetland area over time (Dixon et al. 2016; Darrah et al. 2019). Dryland areas were defined using
 20 TerraClimate precipitation and potential evapotranspiration (1980-2015) (Abatzoglou et al. 2018) to identify areas

1 where the Aridity Index is below 0.65. Areas undergoing human caused desertification, after accounting for
 2 precipitation variability and CO₂ fertilisation, are identified in (Le et al. 2016). Population data for these areas were
 3 extracted from the gridded historical population database HYDE3.2 (Goldewijk et al. 2017). The 12-month
 4 accumulation Global Precipitation Climatology Centre Drought Index (Ziese et al. 2014) was extracted for drylands.
 5 The area in drought was calculated for each month (Drought Index below -1), and the mean over the year was used
 6 to calculate the percentage of drylands in drought that year. E. Land use change and intensification have contributed
 7 to CH₄ emissions from ruminant livestock, agricultural N₂O emissions and CO₂ emissions from net deforestation
 8 {2.3}. Sources: N₂O from agricultural activities and CH₄ from enteric fermentation: Edgar database
 9 (<http://edgar.jrc.ec.europa.eu/overview.php?v=42FT2012>) from 1970. From 1970 back to 1961, CH₄ and N₂O were
 10 extrapolated using a regression with time, taken for the years 1970-1979 from Edgar. Net-land use change emissions
 11 of CO₂ are from the annual Global Carbon Budget, using the mean of two bookkeeping models (Le Quéré et al.
 12 2018). Chapter 2 (Section 2.2, 2.3) and Chapter 5 (Section 5.4) provides a discussion of uncertainties and other
 13 emissions estimates. The various exchanges between the land surface and the atmosphere, including the emission
 14 and uptake of greenhouse gases, exchanges related to the land-surface energy balance and aerosols are indicated by
 15 arrows (section 2.1, 2.3, 2.4). Warming over land is more rapid than the global mean temperature change (section
 16 2.2). Future climate change will exacerbate the already considerable challenges faced by land systems. The warming
 17 curves are averages of four historical estimates, and described in Section 2.1.

18 The SRCLL identifies and assesses land-related challenges and response-options in an integrative way,
 19 aiming to be policy relevant across sectors. Chapter 1 provides a synopsis of the main issues addressed in
 20 this report, which are explored in more detail in Chapters 2–7. Chapter 1 also introduces important
 21 concepts and definitions and highlights discrepancies with previous reports that arise from different
 22 objectives (a full set of definitions is provided in the Glossary). Chapter 2 focuses on the natural system
 23 dynamics, assessing recent progress towards understanding the impacts of climate change on land, and the
 24 feedbacks arising from altered biogeochemical and biophysical exchange fluxes (Figure 1.2).



25

26

Figure 1.2 Overview over the SRCLL

27 Chapter 3 examines how the world's dryland populations are uniquely vulnerable to desertification and
 28 climate change, but also have significant knowledge in adapting to climate variability and addressing
 29 desertification. Chapter 4 assesses the urgency of tackling land degradation across all land ecosystems.
 30 Despite accelerating trends of land degradation, reversing these trends is attainable through restoration
 31 efforts and proper implementation of sustainable land management (SLM), which is expected to improve
 32 resilience to climate change, mitigate climate change, and ensure food security for generations to come.
 33 Food security is the focus of Chapter 5, with an assessment of the risks and opportunities that climate
 34 change presents to food systems, considering how mitigation and adaptation can contribute to both human
 35 and planetary health.

1 Chapters 6 focuses on the response options within the land system that deal with trade-offs and increase
2 benefits in an integrated way in support of the SDGs. Chapter 7 highlights these aspects further, by
3 assessing the opportunities, decision making and policy responses to risks in the climate-land-human
4 system.

5

6 **Box 1.1 Land in previous IPCC and other relevant reports**

7 Previous IPCC reports have made reference to land and its role in the climate system. Threats to
8 agriculture forestry and other ecosystems, but also the role of land and forest management in climate
9 change, have been documented since the IPCC Second Assessment Report, especially so in the Special
10 report on land use, land-use change and forestry (Watson et al. 2000). The IPCC Special Report on
11 Extreme events (SREX) discussed sustainable land management, including land use planning, and
12 ecosystem management and restoration among the potential low-regret measures that provide benefits
13 under current climate and a range of future, climate change scenarios. Low-regret measures are defined in
14 the report as those with the potential to offer benefits now and lay the foundation for tackling future,
15 projected change. Compared to previous IPCC reports, the SRCCL offers a more integrated analysis of
16 the land system as it embraces multiple direct and indirect drivers of natural resource management
17 (related to food, water and energy securities), which have not previously been addressed to a similar depth
18 (Field et al. 2014a; Edenhofer et al. 2014).

19 The recent IPCC Special Report on Global Warming of 1.5°C (SR15) targeted specifically the Paris
20 Agreement, without exploring the possibility of future global warming trajectories above 2°C (IPCC
21 2018). Limiting global warming to 1.5°C compared to 2°C is projected to lower the impacts on terrestrial,
22 freshwater and coastal ecosystems and to retain more of their services for people. In many scenarios
23 proposed in this report, large-scale land use features as a mitigation measure. In the reports of the Food
24 and Agriculture Organisation (FAO), land degradation is discussed in relation to ecosystem goods and
25 services, principally from a food security perspective (FAO and ITPS 2015). The UNCCD report (2014)
26 discusses land degradation through the prism of desertification. It devotes due attention to how land
27 management can contribute to reversing the negative impacts of desertification and land degradation. The
28 IPBES assessments (2018a,b,c,d,e) focuses on biodiversity drivers, including a focus on land degradation
29 and desertification, with poverty as a limiting factor. The reports draw attention to a world in peril in
30 which resource scarcity conspires with drivers of biophysical and social vulnerability to derail the
31 attainment of sustainable development goals. As discussed in chapter 4 of the SRCCL, different
32 definitions of degradation have been applied in the IPBES degradation assessment (IPBES 2018b), which
33 potentially can lead to different conclusions for restoration and ecosystem management.

34 The SRCCL complements and adds to previous assessments, whilst keeping the IPCC-specific “climate
35 perspective”. It includes a focussed assessment of risks arising from maladaptation and land-based
36 mitigation (i.e. not only restricted to direct risks from climate change impacts) and the co-benefits and
37 trade-offs with sustainable development objectives. As the SRCCL cuts across different policy sectors it
38 provides the opportunity to address a number of challenges in an integrative way at the same time, and it
39 progresses beyond other IPCC reports in having a much more comprehensive perspective on land.

40 **1.1.2 Status and dynamics of the (global) land system**

41 **1.1.2.1 Land ecosystems and climate change**

42 Land ecosystems play a key role in the climate system, due to their large carbon pools and carbon
43 exchange fluxes with the atmosphere (Ciais et al. 2013b). Land use, the total of arrangements, activities

1 and inputs applied to a parcel of land (such as agriculture, grazing, timber extraction, conservation or city
2 dwelling; see glossary), and land management (sum of land-use practices that take place within broader
3 land-use categories, see glossary) considerably alter terrestrial ecosystems and play a key role in the
4 global climate system. An estimated one quarter of total anthropogenic GHG emissions arise mainly from
5 deforestation, ruminant livestock and fertiliser application (Smith et al. 2014; Tubiello et al. 2015; Le
6 Quere et al. 2018; Ciais et al. 2013a), and especially methane and nitrous oxide emissions from
7 agriculture have been rapidly increasing over the last decades (Hoesly et al. 2018; Tian et al. 2019)(see
8 Figure 1.1, see Section 2.3.2, 2.3.3).

9 Globally, land also serves as a large carbon dioxide sink, which was estimated for the period 2008–2017
10 to be nearly 30% of total anthropogenic emissions (Le Quere et al. 2015; Canadell and Schulze 2014;
11 Ciais et al. 2013a; Zhu et al. 2016)(see Section 2.3.1). This sink has been attributed to increasing
12 atmospheric CO₂ concentration, a prolonged growing season in cool environments, or forest regrowth (Le
13 Quéré et al. 2013; Pugh et al. 2019; Le Quéré et al. 2018; Ciais et al. 2013a; Zhu et al. 2016). Whether or
14 not this sink will persist into the future is one of the largest uncertainties in carbon cycle and climate
15 modelling (Ciais et al. 2013a; Bloom et al. 2016; Friend et al. 2014; Le Quere et al. 2018). In addition,
16 changes in vegetation cover caused by land use (such as conversion of forest to cropland or grassland, and
17 vice versa) can result in regional cooling or warming through altered energy and momentum transfer
18 between ecosystems and the atmosphere. Regional impacts can be substantial, but whether the effect leads
19 to warming or cooling depends on the local context (Lee et al. 2011; Zhang et al. 2014; Alkama and
20 Cescatti 2016; see Section 2.6). Due to the current magnitude of GHG emissions and carbon dioxide
21 removal in land ecosystems, there is *high confidence* that greenhouse-gas reduction measures in
22 agriculture, livestock management and forestry would have substantial climate change mitigation
23 potential with co-benefits for biodiversity and ecosystem services (Smith and Gregory 2013; Smith et al.
24 2014; Griscom et al. 2017; see Section 2.6, Section 6.3).

25 The mean temperature increase over land has been substantially larger than the global mean (land and
26 ocean), averaging 1.41°C vs. 0.87°C for the years 1999–2018 compared with 1881–1900 (see Section
27 2.2). Climate change affects land ecosystems in various ways (see Section 7.2). Growing seasons and
28 natural biome boundaries shift in response to warming or changes in precipitation (Gonzalez et al. 2010;
29 Wärlind et al. 2014; Davies-Barnard et al. 2015; Nakamura et al. 2017). Atmospheric CO₂ increases have
30 been attributed to underlie, at least partially, observed woody plant cover increase in grasslands and
31 savannahs (Donohue et al. 2013). Climate change-induced shifts in habitats, together with warmer
32 temperatures, causes pressure on plants and animals (Pimm et al. 2014; Urban et al. 2016). National
33 cereal crop losses of nearly 10% have been estimated for the period 1964–2007 as a consequence of heat
34 and drought weather extremes (Deryng et al. 2014; Lesk et al. 2016). Climate change is expected to
35 reduce yields in areas that are already under heat and water stress (Schlenker and Lobell 2010; Lobell et
36 al. 2011,2012; Challinor et al. 2014; see Section 5.2.2). At the same time, warmer temperatures can
37 increase productivity in cooler regions (Moore and Lobell 2015) and might open opportunities for crop
38 area expansion, but any overall benefits might be counterbalanced by reduced suitability in warmer
39 regions (Pugh et al. 2016; Di Paola et al. 2018). Increasing atmospheric CO₂ is expected to increase
40 productivity and water use efficiency in crops and in forests (Muller et al. 2015; Nakamura et al. 2017;
41 Kimball 2016). The increasing number of extreme weather events linked to climate change is also
42 expected to result in forest losses; heat waves and droughts foster wildfires (Seidl et al. 2017; Fasullo et
43 al. 2018; see Cross-Chapter Box 3: Fire and Climate Change, Chapter 2). Episodes of observed enhanced
44 tree mortality across many world regions have been attributed to heat and drought stress (Allen et al.
45 2010; Anderegg et al. 2012), whilst weather extremes also impact local infrastructure and hence

1 transportation and trade in land-related goods (Schweikert et al. 2014; Chappin and van der Lei 2014).
2 Thus, adaptation is a key challenge to reduce adverse impacts on land systems (see Section 1.3.6).

3 *1.1.2.2 Current patterns of land use and land cover*

4 Around three quarters of the global ice-free land, and most of the highly-productive land area, are by now
5 under some form of land use (Erb et al. 2016a; Luyssaert et al. 2014; Venter et al. 2016; see Table 1.1).
6 One third of used land is associated with changed land cover. Grazing land is the single largest land-use
7 category, followed by used forestland and cropland. The total land area used to raise livestock is notable:
8 it includes all grazing land and an estimated additional one fifth of cropland for feed production (Foley et
9 al. 2011). Globally, 60–85% of the total forested area is used, at different levels of intensity, but
10 information on management practices globally are scarce (Erb et al. 2016a). Large areas of unused
11 (primary) forests remain only in the tropics and northern boreal zones (Luyssaert et al. 2014; Birdsey and
12 Pan 2015; Morales-Hidalgo et al. 2015; Potapov et al. 2017; Erb et al. 2017), while 73–89% of other,
13 non-forested natural ecosystems (natural grasslands, savannas, etc.) are used. Large uncertainties relate to
14 the extent of forest (32.0–42.5 million km²) and grazing land (39–62 million km²), due to discrepancies in
15 definitions and observation methods (Luyssaert et al. 2014; Erb et al. 2017; Putz and Redford 2010;
16 Schepaschenko et al. 2015; Birdsey and Pan 2015; FAO 2015a; Chazdon et al. 2016a; FAO 2018a).
17 Infrastructure areas (including settlements, transportation and mining), while being almost negligible in
18 terms of extent, represent particularly pervasive land-use activities, with far-reaching ecological, social
19 and economic implications (Cherlet et al. 2018; Laurance et al. 2014).

20 The large imprint of humans on the land surface has led to the definition of anthromes, i.e. large-scale
21 ecological patterns created by the sustained interactions between social and ecological drivers. The
22 dynamics of these ‘anthropogenic biomes’ are key for land-use impacts as well as for the design of
23 integrated response options (Ellis and Ramankutty 2008; Ellis et al. 2010; Cherlet et al. 2018; Ellis et al.
24 2010, see Chapter 6).

25 The intensity of land use varies hugely within and among different land use types and regions. Averaged
26 globally, around 10% of the ice-free land surface was estimated to be intensively managed (such as tree
27 plantations, high livestock density grazing, large agricultural inputs), two thirds moderately and the
28 remainder at low intensities (Erb et al. 2016a). Practically all cropland is fertilised, with large regional
29 variations. Irrigation is responsible for 70% of ground- or surface-water withdrawals by humans (Wisser
30 et al. 2008; Chaturvedi et al. 2015; Siebert et al. 2015; FAOSTAT 2018). Humans appropriate one quarter
31 to one third of the total potential net primary production, i.e. the NPP that would prevail in the absence of
32 land use (estimated at about 60 GtC yr⁻¹; Bajželj et al. 2014; Haberl et al. 2014), about equally through
33 biomass harvest and changes in NPP due to land management. The current total of agricultural (cropland
34 and grazing) biomass harvest is estimated at about 6 GtC yr⁻¹, around 50–60% of this is consumed by
35 livestock. Forestry harvest for timber and wood fuel amounts to about 1 GtC yr⁻¹ (Alexander et al. 2017;
36 Bodirsky and Müller 2014; Lassaletta et al. 2014, 2016; Mottet et al. 2017; Haberl et al. 2014; Smith et al.
37 2014; Bais et al. 2015; Bajželj et al. 2014)(see Cross-Chapter Box 7: Bioenergy and BECCS, Chapter 6).

38 **Table 1.1 Extent of global land use and management around the year 2015**

	Best guess	Range	Range	Type	Ref.
	[million km ²]		[% of total]		
Total	130.4		100%		
USED LAND	92.6	90.0-99.3	71%	69-76%	
Infrastructure (Settlements, mining, etc.)	1.4	1.2-1.9	1%	LCC	1,2,3,4,5,6

Cropland	15.9	15.9-18.8	12%	12-14%		1,7
irrigated cropland	3.1		2%		LCC	8
non-irrigated cropland	12.8	12.8-15.7	10%		LCC	8
Grazing land	48.0	38.8-61.9	37%	30-47%		
Permanent pastures	27.1	22.8-32.8	21%	17-25%		5,7,8
Intensive permanent pastures*	2.6		2%		LCC	8,9
Extensive perm. pastures, on potential forest sites**	8.7		7%		LCC	9
Extensive perm. pastures, on natural grasslands**	15.8	11.5-21.56	12%	9-16%	LM	
Non-forested, used land, multiple uses[§]	20.1	6.1-39.1	16%	5-30%	LM	
Used forests[#]	28.1	20.3-30.5	22%	16-23%		10,11,12
Planted forests	2.9		2%		LCC	12
Managed for timber and other uses	25.2	17.4-27.6	19%	13-21%	LM	12
UNUSED LAND	37.0	31.1-40.4	28%	24-31%		5,11,13
Unused, unforested ecosystems, including grasslands and wetlands	9.4	5.9-10.4	7%	5-8%		1,13
Unused forests (intact or primary forests)	12.0	11.7-12.0	9%			11,12
Other land (barren wilderness, rocks, etc.)	15.6	13.5-18.0	12%	10-14%		4,5,13,14
Land-cover conversions (sum of LCC)	31.5	31.3-34.9	24%	24-27%		
Land-use occurring within natural land-cover types (sum of LM)	61.1	55.1-68.0	47%	42-52%		

1 *>100 animals/km²

2 **<100 animals/km², residual category within permanent pastures

3 § Calculated as residual category. Contains land not classified as forests or cropland, such as savanna and tundra
4 used as rangelands, with extensive uses like seasonal, rough grazing, hunting, fuelwood collection outside forests,
5 wild products harvesting, etc.

6 # used forest calculated as total forest minus unused forests

7 **Note:** This table is based on data and approaches described in Lambin and Meyfroidt (2011,2014); Luyssaert et al.
8 (2014); Erb et al. (2016a), and references below. The target year for data is 2015, but proportions of some
9 subcategories are from 2000 (the year with still most reconciled datasets available) and their relative extent was
10 applied to some broad land use categories for 2015. Sources: Settlements (1): (Luyssaert et al. 2014); (2) (Lambin
11 and Meyfroidt 2014); (3) Global Human Settlements dataset, <https://ghsl.jrc.ec.europa.eu/>. Total infrastructure
12 including transportation (4) (Erb et al. 2007); (5) (Stadler et al. 2018); mining (6) (Cherlet et al. 2018); (7)
13 (FAOSTAT 2018); (8) proportions from (Erb et al. 2016a); (9) (Ramankutty et al. 2008) extrapolated from 2000 to
14 2010 trend for permanent pastures from (7); (9) (Erb et al. 2017); (10) (Schepaschenko et al. 2015); (11) (Potapov et
15 al. 2017); (12) (FAO 2015a); (13) (Venter et al. 2016); (14) (Ellis et al. 2010)

16 1.1.2.3 Past and ongoing trends

17 Globally, cropland area changed by +15% and the area of permanent pastures by +8% since the early
18 1960s (FAOSTAT 2018), with strong regional differences (Figure 1.3). In contrast, cropland production
19 since 1961 increased by about 3.5 times, the production of animal products by 2.5 times, and forestry by
20 1.5 times; in parallel with strong yield (production per unit area) increases (FAOSTAT 2018; Figure 1.3).
21 Per capita calorie supply increased by 17% (since 1970; Kastner et al. 2012), and diet composition
22 changed markedly, tightly associated with economic development and lifestyle: Since the early 1960s, per
23 capita dairy product consumption increased by a factor 1.2, and meat and vegetable oil consumption more
24 than doubled (FAO 2017, 2018b; Tilman and Clark 2014; Marques et al. 2019). Population and livestock
25 production represent key drivers of the global expansion of cropland for food production, only partly

1 compensated by yield increases at the global level (Alexander et al. 2015). A number of studies have
2 reported reduced growth rates or stagnation in yields in some regions in the last decades (*medium*
3 *evidence, high agreement*; Lin and Huybers 2012; Ray et al. 2012; Elbehri, Aziz, Joshua Elliott 2015; see
4 Section 5.2.2).

5 The past increases in agricultural production have been associated with strong increases in agricultural
6 inputs (Foley et al. 2011; Siebert et al. 2015; Lassaletta et al. 2016; Figure 1.1, Figure 1.3). Irrigation area
7 doubled, total nitrogen fertiliser use increased 9 times (FAOSTAT 2018; IFASTAT 2018) since the early
8 1960s. Biomass trade volumes grew by a factor of nine (in tons dry matter yr⁻¹) in this period, which is
9 much stronger than production (FAOSTAT 2018), resulting in a growing spatial disconnect between
10 regions of production and consumption (Friis et al. 2016; Friis and Nielsen 2017; Schröter et al. 2018; Liu
11 et al. 2013; Krausmann and Langthaler 2019). Urban and other infrastructure areas expanded by a factor 2
12 since 1960 (Krausmann et al. 2013), resulting in disproportionately large losses of highly-fertile cropland
13 (Seto and Reenberg 2014; Martellozzo et al. 2015; Bren d'Amour et al. 2016; Seto and Ramankutty 2016;
14 van Vliet et al. 2017). World regions show distinct patterns of change (Figure 1.3).

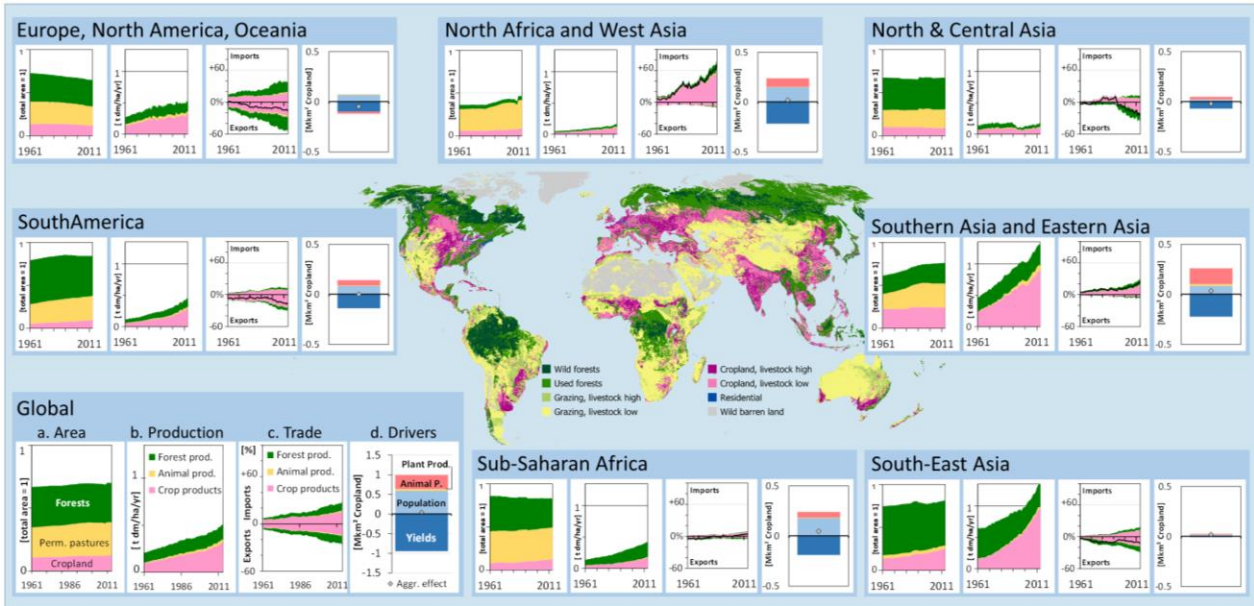
15 While most pastureland expansion replaced natural grasslands, cropland expansion replaced mainly
16 forests (Ramankutty et al. 2018; Ordway et al. 2017; Richards and Friess 2016). Noteworthy large
17 conversions occurred in tropical dry woodlands and savannahs, for example, in the Brazilian Cerrado
18 (Lehmann and Parr 2016; Strassburg et al. 2017), the South-American Caatinga and Chaco regions (Parr
19 et al. 2014; Lehmann and Parr 2016) or African savannahs (Ryan et al. 2016). More than half of the
20 original 4.3–12.6 million km² global wetlands (Erb et al. 2016a; Davidson 2014; Dixon et al. 2016) have
21 been drained; since 1970 the wetland extend index, developed by aggregating data field-site time series
22 that report changes in local wetland area indicate a decline by > 30% (Figure 1.1, see Section 4.2.1,
23 Darrah et al. 2019). Likewise, one third of the estimated global area that in a non-used state would be
24 covered in forests (Erb et al. 2017) has been converted to agriculture.

25 Global forest area declined by 3% since 1990 (about -5% since 1960) and continues to do so (FAO 2015a;
26 Keenan et al. 2015; MacDicken et al. 2015; FAO 1963; Figure 1.1), but uncertainties are large. *Low*
27 *agreement* relates to the concomitant trend of global tree-cover. Some remote-sensing based assessments
28 show global net-losses of forest or tree cover (Li et al. 2016; Nowosad et al. 2018; Hansen et al. 2013),
29 others indicate a net gain (Song et al. 2018). Tree-cover gains would be in line with observed and
30 modelled increases in photosynthetic active tissues (“greening”; Chen et al. 2019; Zhu et al. 2016; Zhao et
31 al. 2018; de Jong et al. 2013; Pugh et al. 2019; De Kauwe et al. 2016; Kolby Smith et al. 2015; see Box
32 2.3 in Chapter 2), but *confidence* remains *low* whether gross forest or tree cover gains are as large, or
33 larger, than losses. This uncertainty, together with poor information on forest management, affects
34 estimates and attribution of the land carbon sink (see Section 2.3, 4.3, 4.6). Discrepancies are caused by
35 different classification schemes and applied thresholds (e.g., minimum tree height and tree cover
36 thresholds used to define a forest), the divergence of forest and tree cover, and differences in methods and
37 spatiotemporal resolution (Keenan et al. 2015; Schepaschenko et al. 2015; Bastin et al. 2017; Sloan and
38 Sayer 2015; Chazdon et al. 2016a; Achard et al. 2014). However, there is *robust evidence and high*
39 *agreement* that a net loss of forest and tree cover prevails in the tropics and a net-gain, mainly of
40 secondary, semi-natural and planted, forests, in the temperate and boreal zones.

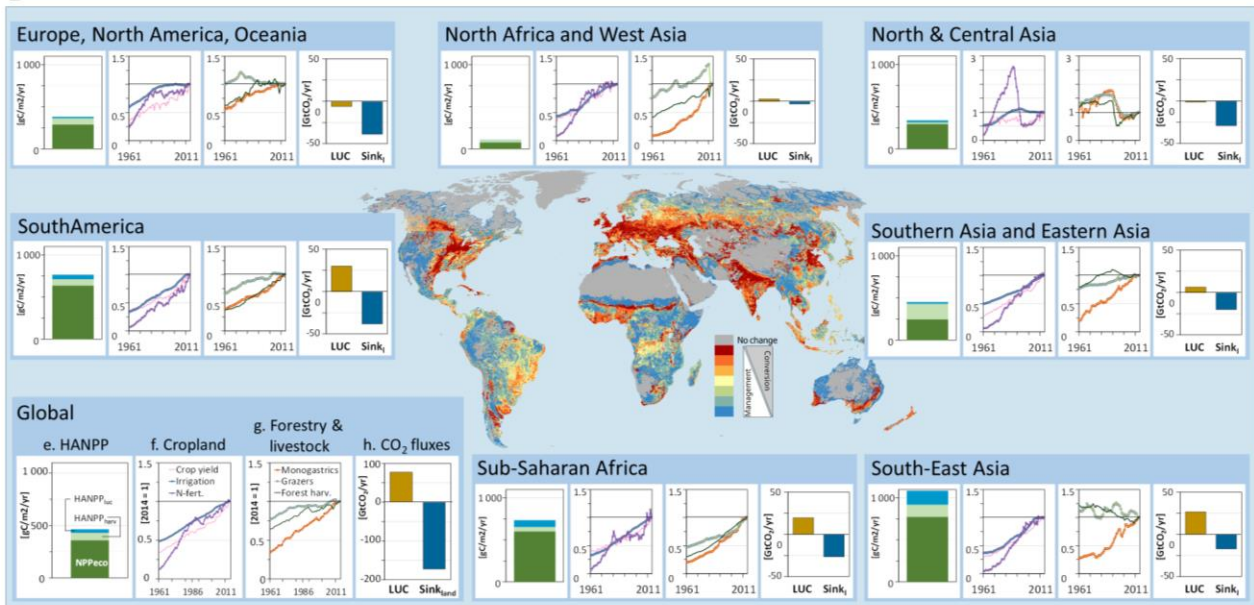
41 The observed regional and global historical land-use trends result in regionally distinct patterns of C
42 fluxes between land and the atmosphere (Figure 1.3B). They are also associated with declines in
43 biodiversity, far above background rates (Ceballos et al. 2015; De Vos et al. 2015; Pimm et al. 2014;
44 Newbold et al. 2015; Maxwell et al. 2016; Marques et al. 2019). Biodiversity losses from past global

1 land-use change have been estimated to be about 8–14%, depending on the biodiversity indicator applied
 2 (Newbold et al. 2015; Wilting et al. 2017; Gossner et al. 2016; Newbold et al. 2018; Paillet et al. 2010).
 3 In future, climate warming has been projected to accelerate losses of species diversity rapidly (Settele et
 4 al. 2014; Urban et al. 2016; Scholes et al. 2018; Fischer et al. 2018; Hoegh-Guldberg et al. 2018). The
 5 concomitance of land-use and climate-change pressures render ecosystem restoration a key challenge
 6 (Anderson-Teixeira 2018; Yang et al. 2019; see Section 4.8, 4.9).

A



B



7

8 **Figure 1.3 Status and trends in the global land system. A. Trends in area, production and trade, and drivers**
 9 **of change. The map shows the global pattern of land systems (combination of maps Nachtergaele (2008); Ellis**
 10 **et al. (2010); Potapov et al. (2017); FAO’s Animal Production and Health Division (2018); livestock low/high**
 11 **relates to low or high livestock density, respectively). The inlay figures show, for the globe and 7 world**
 12 **regions, from left to right: (a) Cropland, permanent pastures and forest (used and unused) areas,**

1 standardised to total land area, (b) production in dry matter per year per total land area, (c) trade in dry
 2 matter in percent of total domestic production, all for 1961 to 2014 (data from FAOSTAT (2018) and FAO
 3 (1963) for forest area 1961). (d) drivers of cropland for food production between 1994 and 2011 (Alexander et
 4 al. 2015). See panel “global” for legend. “Plant Produc., Animal P.”: changes in consumption of plant-based
 5 products and animal-products, respectively. B. Selected land-use pressures and impacts. The map shows the
 6 ratio between impacts on biomass stocks of land cover conversions and of land management (changes that
 7 occur with land cover types; only changes larger than 30 gCm⁻² displayed; Erb et al. 2017), compared to the
 8 biomass stocks of the potential vegetation (vegetation that would prevail in the absence of land use, but with
 9 current climate). The inlay figures show, from left to right (e) the global Human Appropriation of Net
 10 Primary production (HANPP) in the year 2005, in gCm⁻²yr⁻¹ (Krausmann et al. 2013). The sum of the three
 11 components represents the NPP of the potential vegetation and consist of: (i) NPP_{eco}, i.e. the amount of NPP
 12 remaining in ecosystem after harvest, (ii) HANPP_{harv}, i.e. NPP harvested or killed during harvest, and (iii)
 13 HANPP_{luc}, i.e. NPP foregone due to land-use change. The sum of NPP_{eco} and HANPP_{harv} is the NPP of the
 14 actual vegetation (Haberl et al. 2014; Krausmann et al. 2013). The two central inlay figures show changes in
 15 land-use intensity, standardised to 2014, related to (f) cropland (yields, fertilisation, irrigated area) and (g)
 16 forestry harvest per forest area, and grazers and monogastric livestock density per agricultural area
 17 (FAOSTAT 2018). (h) Cumulative CO₂ fluxes between land and the atmosphere between 2000 and 2014.
 18 LUC: annual CO₂ land use flux due to changes in land cover and forest management; Sink_{land}: the annual
 19 CO₂ land sink caused mainly by the indirect anthropogenic effects of environmental change (e.g. climate
 20 change and the fertilising effects of rising CO₂ and N concentrations), excluding impacts of land-use change
 21 (Le Quéré et al. 2018; see Section 2.3).

22 1.2 Key challenges related to land use change

23 1.2.1 Land system change, land degradation, desertification and food security

24 1.2.1.1 Future trends in the global land system

25 Human population is projected to increase to nearly 9.8 (± 1) billion people by 2050 and 11.2 billion by
 26 2100 (United Nations 2018). More people, a growing global middle class (Crist et al. 2017), economic
 27 growth, and continued urbanisation (Jiang and O’Neill 2017) increase the pressures on expanding crop
 28 and pasture area and intensifying land management. Changes in diets, efficiency and technology could
 29 reduce these pressures (Billen et al. 2015; Popp et al. 2016; Muller et al. 2017; Alexander et al. 2015;
 30 Springmann et al. 2018; Myers et al. 2017; Erb et al. 2016c; FAO 2018b; see Section 5.3, Section 6.2.2).

31 Given the large uncertainties underlying the many drivers of land use, as well as their complex relation to
 32 climate change and other biophysical constraints, future trends in the global land system are explored in
 33 scenarios and models that seek to span across these uncertainties (see Cross-Chapter Box 1: Scenarios, in
 34 this Chapter). Generally, these scenarios indicate a continued increase in global food demand, owing to
 35 population growth and increasing wealth. The associated land area needs are a key uncertainty, a function
 36 of the interplay between production, consumption, yields, and production efficiency (in particular for
 37 livestock and waste)(FAO 2018b; van Vuuren et al. 2017; Springmann et al. 2018; Riahi et al. 2017;
 38 Prestele et al. 2016; Ramankutty et al. 2018; Erb et al. 2016b; Popp et al. 2016; see 1.3 and Cross-Chapter
 39 Box 1: Scenarios, in this Chapter). Many factors, such as climate change, local contexts, education,
 40 human and social capital, policy-making, economic framework conditions, energy availability,
 41 degradation, and many more, affect this interplay, as discussed in all chapters of this report.

42 Global telecouplings in the land system, the distal connections and multidirectional flows between
 43 regions and land systems, are expected to increase, due to urbanisation (Seto et al. 2012; van Vliet et al.
 44 2017; Jiang and O’Neill 2017; Friis et al. 2016), and international trade (Konar et al. 2016; Erb et al.
 45 2016b; Billen et al. 2015; Lassaletta et al. 2016). Telecoupling can support efficiency gains in production,
 46 but can also lead to complex cause-effect chains and indirect effects such as land competition or leakage
 47 (displacement of the environmental impacts, see glossary), with governance challenges (Baldos and

1 Hertel 2015; Kastner et al. 2014; Liu et al. 2013; Wood et al. 2018; Schröter et al. 2018; Lapola et al.
2 2010; Jadin et al. 2016; Erb et al. 2016b; Billen et al. 2015; Chaudhary and Kastner 2016; Marques et al.
3 2019; Seto and Ramankutty 2016; see Section 1.2.1.5). Furthermore, urban growth is anticipated to occur
4 at the expense of fertile (crop)land, posing a food security challenge, in particular in regions of high
5 population density and agrarian-dominated economies, with limited capacity to compensate for these
6 losses (Seto et al. 2012; Güneralp et al. 2013; Aronson et al. 2014; Martellozzo et al. 2015; Bren d'Amour
7 et al. 2016; Seto and Ramankutty 2016; van Vliet et al. 2017).

8 Future climate change and increasing atmospheric CO₂ concentration are expected to accentuate existing
9 challenges by, for example, shifting biomes or affecting crop yields (Baldos and Hertel 2015; Schlenker
10 and Lobell 2010; Lipper et al. 2014; Challinor et al. 2014; Myers et al. 2017; see Section 5.2.2), as well
11 as through land-based, climate change mitigation. There is *high confidence* that large-scale
12 implementation of bioenergy or afforestation can further exacerbate existing challenges (Smith et al. 2016;
13 see also Section 1.3.1 and Cross-chapter box 7 on bioenergy in Chapter 6).

14 **1.2.1.2 Land Degradation**

15 As discussed in Chapter 4, the concept of land degradation, including its definition, has been used in
16 different ways in different communities and in previous assessments (such as the IPBES Land
17 degradation and restoration assessment). In the SRCCL, land degradation is defined as a *negative trend in*
18 *land condition, caused by direct or indirect human-induced processes including anthropogenic climate*
19 *change, expressed as long-term reduction or loss of at least one of the following: biological productivity,*
20 *ecological integrity or value to humans*. This definition applies to forest and non-forest land (see Chapter
21 4 and Glossary).

22 Land degradation is a critical issue for ecosystems around the world due to the loss of actual or potential
23 productivity or utility (Ravi et al. 2010; Mirzabaev et al. 2015; FAO and ITPS 2015; Cerretelli et al.
24 2018). Land degradation is driven to a large degree by unsustainable agriculture and forestry,
25 socioeconomic pressures, such as rapid urbanisation and population growth, and unsustainable production
26 practices in combination with climatic factors (Field et al. 2014b; Lal 2009; Beinroth, F. H., Eswaran, H.,
27 Reich, P. F. and Van Den Berg 1994; Abu Hammad and Tumeizi 2012; Ferreira et al. 2018; Franco and
28 Giannini 2005; Abahussain et al. 2002).

29 Global estimates of the total degraded area (excluding deserted area) vary from less than 10 million km²
30 to over 60 million km², with additionally large disagreement regarding the spatial distribution (Gibbs and
31 Salmon 2015; see Section 4.3). The annual increase in the degraded land area has been estimated as
32 50,000–10,000 million km² yr⁻¹ (Stavi and Lal 2015), and the loss of total ecosystem services equivalent
33 to about 10% of the world's GDP in the year 2010 (Sutton et al. 2016). Although land degradation is a
34 common risk across the globe, poor countries remain most vulnerable to its impacts. Soil degradation is
35 of particular concern, due to the long period necessary to restore soils (Lal 2009; Stockmann et al. 2013;
36 Lal 2015), as well as the rapid degradation of primary forests through fragmentation (Haddad et al. 2015).
37 Among the most vulnerable ecosystems to degradation are high carbon stock wetlands (including
38 peatlands). Drainage of natural wetlands for use in agriculture leads to high CO₂ emissions and
39 degradation (*high confidence*) (Strack 2008; Limpens et al. 2008; Aich et al. 2014; Murdiyarsa et al.
40 2015; Kauffman et al. 2016; Dohong et al. 2017; Arifanti et al. 2018; Evans et al. 2019). Land
41 degradation is an important factor contributing to uncertainties in the mitigation potential of land-based
42 ecosystems (Smith et al. 2014). Furthermore, degradation that reduces forest (and agricultural) biomass
43 and soil organic carbon leads to higher rates of runoff (*high confidence*) (Molina et al. 2007; Valentin et

1 al. 2008; Mateos et al. 2017; Noordwijk et al. 2017) and hence to increasing flood risk (*low confidence*)
2 (Bradshaw et al. 2007; Laurance 2007; van Dijk et al. 2009).

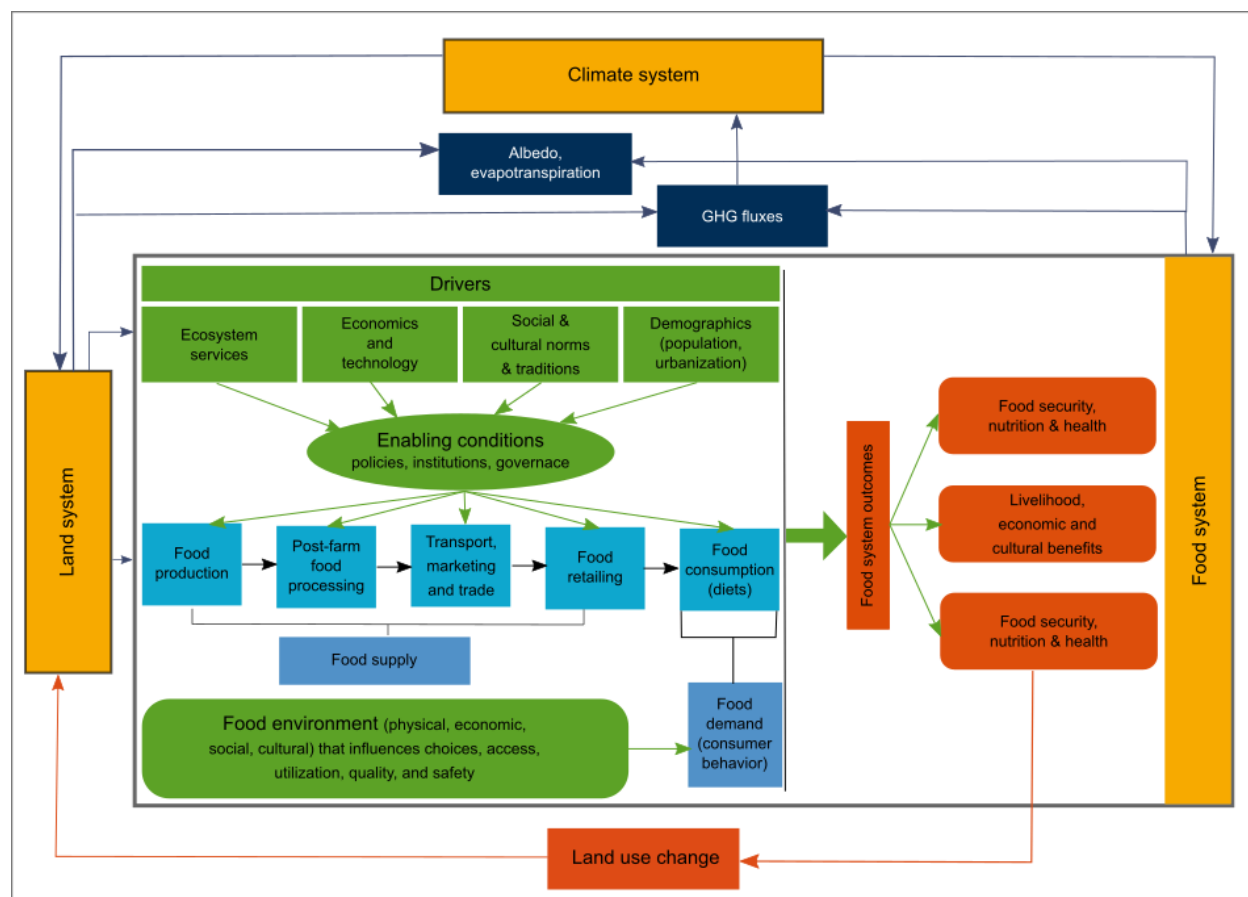
3 **1.2.1.3 Desertification**

4 The SRCCL adopts the definition of the UNCCD of desertification being land degradation in arid, semi-
5 arid and dry sub-humid areas (drylands) (see glossary, and Section 3.1.1). Desertification results from
6 various factors, including climate variations and human activities, and is not limited to irreversible forms
7 of land degradation (Tal 2010)(Bai et al. 2008). A critical challenge in the assessment of desertification is
8 to identify a “non-desertified” reference state (Bestelmeyer et al. 2015). While climatic trends and
9 variability can change the intensity of desertification processes, some authors exclude climate effects,
10 arguing that desertification is a purely human-induced process of land degradation with different levels of
11 severity and consequences (Sivakumar 2007).

12 As a consequence of varying definitions and different methodologies, the area of desertification varies
13 widely (see (D’Odorico et al. 2013; Bestelmeyer et al. 2015), and references therein). Arid regions of the
14 world cover up to about 46% of the total terrestrial surface (about 60 million km²; Pravalie 2016;
15 Koutroulis 2019). Around 3 billion people reside in dryland regions (D’Odorico et al. 2013; Maestre et al.
16 2016; see Section 3.1.1), and the number of people living in areas affected by desertification has been
17 estimated as > 630 million, compared to 211 million in the early 1960s (see Fig. 1.1, see Section 3.1.1).
18 The combination of low rainfall with frequently infertile soils renders these regions, and the people who
19 rely on them, vulnerable to both climate change, and unsustainable land management (*high confidence*).
20 In spite of the national, regional and international efforts to combat desertification, it remains one of the
21 major environmental problems (Abahussain et al. 2002; Cherlet et al. 2018).

22 **1.2.1.4 Food security, food systems and linkages to land-based ecosystems**

23 The High Level Panel of Experts of the Committee on Food Security define the food system as to “gather
24 all the elements (environment, people, inputs, processes, infrastructures, institutions, etc.) and activities
25 that relate to the production, processing, distribution, preparation and consumption of food, and the
26 output of these activities, including socio-economic and environmental outcomes” (HLPE 2017).
27 Likewise, food security has been defined as “a situation that exists when all people, at all times, have
28 physical, social and economic access to sufficient, safe and nutritious food that meets their dietary needs
29 and food preferences for an active and healthy life “ (FAO 2017). By this definition, food security is
30 characterised by food availability, economic and physical access to food, food utilisation and food
31 stability over time. Food and nutrition security is one of the key outcomes of the food system (FAO
32 2018b; Figure 1.4).



1
 2 **Figure 1.4 Food system (and its relations to land and climate):** The food system is conceptualised through
 3 **supply (production, processing, marketing and retailing) and demand (consumption and diets) that are**
 4 **shaped by physical, economic, social and cultural determinants influencing choices, access, utilisation,**
 5 **quality, safety and waste. Food system drivers (ecosystem services, economics and technology, social and**
 6 **cultural norms and traditions, and demographics) combine with the enabling conditions (policies, institutions and**
 7 **governance) to affect food system outcomes including food security, nutrition and health, livelihoods,**
 8 **economic and cultural benefits as well as environmental outcomes or side-effects (nutrient and soil loss, water**
 9 **use and quality, GHG emissions and other pollutants). Climate and climate change has direct impact on the**
 10 **food system (productivity, variability, nutritional quality) while the latter contribute to local climate (albedo,**
 11 **evapotranspiration) and global warming (GHGs). The land system (function, structures, and processes) affect**
 12 **the food system directly (food production) and indirectly (ecosystem services) while food demand and supply**
 13 **processes affect land (land use change) and land-related processes (e.g., land degradation, desertification) (see**
 14 **chapter 5).**

15 After a prolonged decline, world hunger appears to be on the rise again with the number of
 16 undernourished people having increased to an estimated 821 million in 2017, up from 804 million in 2016
 17 and 784 million in 2015, although still below the 900 million reported in 2000 (FAO et al. 2018; see
 18 Section 5.1.2). Of the total undernourished in 2018, lived, for example, 256.5 million in Africa, and 515.1
 19 million in Asia (excluding Japan). The same report also states that child undernourishment continues to
 20 decline, but levels of overweight populations and obesity are increasing. The total number of overweight
 21 children in 2017 was 38-40 million worldwide, and globally up to around two billion adults are by now
 22 overweight (see Section 5.1.2). FAO also estimated that close to 2000 million people suffer from
 23 micronutrient malnutrition (FAO 2018b).

1 Food insecurity most notably occurs in situations of conflict and conflict combined with droughts or
2 floods (Cafiero et al. 2018; Smith et al. 2017). The close parallel between food insecurity prevalence and
3 poverty means that tackling development priorities would enhance sustainable land use options for
4 climate mitigation.

5 Climate change affects the food system as changes in trends and variability in rainfall and temperature
6 variability impact crop and livestock productivity and total production (Osborne and Wheeler 2013;
7 Tigchelaar et al. 2018; Iizumi and Ramankutty 2015), the nutritional quality of food (Loladze 2014;
8 Myers et al., 2014; Ziska et al. 2016; Medek et al., 2017), water supply (Nkhonjera 2017), and incidence
9 of pests and diseases (Curtis et al. 2018). These factors also impact on human health and increase
10 morbidity and affect human ability to process ingested food (Franchini and Mannucci 2015; Wu et al.
11 2016; Raiten and Aimone 2017). At the same time, the food system generates negative externalities (the
12 environmental effects of production and consumption) in the form of GHG emissions (Section 1.1.2,
13 Section 2.3), pollution (van Noordwijk and Brussaard 2014; Thyberg and Tonjes 2016; Borsato et al.
14 2018; Kibler et al. 2018), water quality (Malone et al. 2014; Norse and Ju 2015), and ecosystem services
15 loss (Schipper et al. 2014; Eraerts et al. 2017) with direct and indirect impacts on climate change and
16 reduced resilience to climate variability. As food systems are assessed in relation to their contribution to
17 global warming and/or to land degradation (e.g., livestock systems) it is critical to evaluate their
18 contribution to food security and livelihoods and to consider alternatives, especially for developing
19 countries where food insecurity is prevalent (Röös et al. 2017; Salmon et al. 2018).

20 **1.2.1.5 Challenges arising from land governance**

21 Land use change has both positive and negative effects: it can lead to economic growth, but it can become
22 a source of tension and social unrest leading to elite capture, and competition (Haberl 2015). Competition
23 for land plays out continuously among different use types (cropland, pastureland, forests, urban spaces,
24 and conservation and protected lands) and between different users within the same land use category
25 (subsistence vs. commercial farmers)(Dell'Angelo et al. 2017b). Competition is mediated through
26 economic and market forces (expressed through land rental and purchases, as well as trade and
27 investments). In the context of such transactions, power relations often disfavour disadvantaged groups
28 such as small scale farmers, indigenous communities or women (Doss et al. 2015; Ravnborg et al. 2016).
29 These drivers are influenced to a large degree by policies, institutions and governance structures. Land
30 governance determines not only who can access the land, but also the role of land ownership (legal,
31 formal, customary or collective) which influences land use, land use change and the resulting land
32 competition (Moroni 2018).

33 Globally, there is competition for land because it is a finite resource and because most of the highly-
34 productive land is already exploited by humans (Lambin and Meyfroidt 2011; Lambin 2012; Venter et al.
35 2016). Driven by growing population, urbanisation, demand for food and energy, as well as land
36 degradation, competition for land is expected to accentuate land scarcity in the future(Tilman et al. 2011;
37 Foley et al. 2011; Lambin 2012; Popp et al. 2016)(*robust evidence, high agreement*). Climate change
38 influences land use both directly and indirectly, as climate policies can also play a role in increasing
39 land competition via forest conservation policies, afforestation, or energy crop production (see Section
40 1.3.1), with the potential for implications for food security (Hussein et al. 2013) and local land-ownership.

41 An example of large-scale change in land ownership is the much-debated large-scale land acquisition
42 (LSLA) by investors which peaked in 2008 during the food price crisis, the financial crisis, and has also
43 been linked to the search for biofuel investments (Dell'Angelo et al. 2017a). Since 2000, almost 50
44 million hectares of land, have been acquired, and there are no signs of stagnation in the foreseeable future

(Land Matrix 2018). The LSLA phenomenon, which largely targets agriculture, is widespread, including Sub-Saharan Africa, Southeast Asia, Eastern Europe and Latin America (Rulli et al. 2012; Nolte et al. 2016; Constantin et al. 2017). LSLAs are promoted by investors and host governments on economic grounds (infrastructure, employment, market development)(Deininger et al. 2011), but their social and environmental impacts can be negative and significant (Dell'Angelo et al. 2017a).

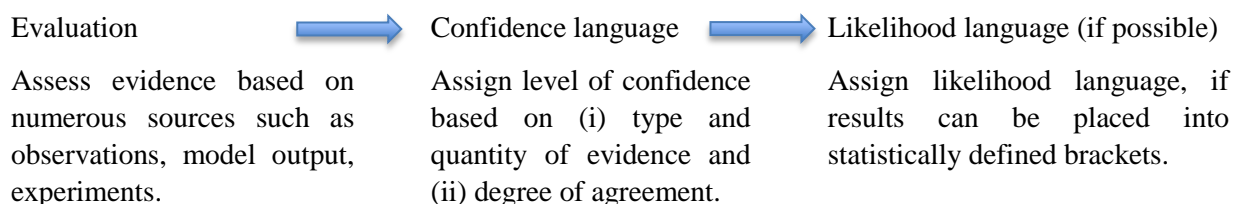
Much of the criticism of LSLA focuses on their social impacts, especially the threat to local communities' land rights (especially indigenous people and women) (Anseeuw et al. 2011) and displaced communities creating secondary land expansion (Messerli et al. 2014; Davis et al. 2015). The promises that LSLAs would develop efficient agriculture on non-forested, unused land (Deininger et al. 2011) has so far not been fulfilled. However, LSLAs is not the only outcome of weak land governance structures (Wang et al. 2016), other forms of inequitable or irregular land acquisition can also be home-grown pitting one community against a more vulnerable group (Xu 2018) or land capture by urban elites (McDonnell 2017). As demands on land are increasing, building governance capacity and securing land tenure becomes essential to attain sustainable land use, which has the potential to mitigate climate change, promote food security, and potentially reduce risks of climate-induced migration and associated risks of conflicts (see Section 7.6).

1.2.2 Progress in dealing with uncertainties in assessing land processes in the climate system

1.2.2.1 Concepts related to risk, uncertainty and confidence

In context of the SRCCL, risk refers to the potential for the adverse consequences for human or (land-based) ecological systems, arising from climate change or responses to climate change. Risk related to climate change impacts integrates across the hazard itself, the time of exposure and the vulnerability of the system; the assessment of all three of these components, their interactions, and outcomes are uncertain (see glossary for expanded definition and Section 7.1.2). For instance, a risk to human society is the continued loss of productive land which might arise from climate change, mismanagement, or a combination of both factors. However, risk can also arise from the potential for adverse consequences from responses to climate change, such as widespread deployment of bioenergy which is intended to reduce greenhouse gas emissions and thus limit climate change, but can present its own risks to food security (see chapters 5, 6 and 7).

Demonstrating with some statistical certainty that the climate or the land system affected by climate or land use has changed (detection), and evaluating the relative contributions of multiple causal factors to that change (with a formal assessment of confidence; attribution. See glossary) remain challenging aspects in both observations and models (Rosenzweig and Neofotis 2013; Gillett et al. 2016; Lean 2018). Uncertainties arising for example, from missing or imprecise data, ambiguous terminology, incomplete process representation in models, or human decision making contribute to these challenges, and some examples are provided in this subsection. In order to reflect various sources of uncertainties in the state of scientific understanding, IPCC assessment reports provide estimates of confidence (Mastrandrea et al. 2011). This confidence language is also used in the SRCCL (Figure 1.5):



Agreement ↑	High agreement Limited evidence	High agreement Medium evidence	High agreement Robust evidence	Confidence low high
	Medium agreement Limited evidence	Medium agreement Medium evidence	Medium agreement Robust evidence	
	Low agreement Limited evidence	Low agreement Medium evidence	Low agreement Robust evidence	
	Evidence (type, amount, quality, consistency) →			

Figure 1.5 Use of confidence language

1.2.2.2 Nature and scope of uncertainties related to land use

Identification and communication of uncertainties is crucial to support decision making towards sustainable land management. Providing a robust, and comprehensive understanding of uncertainties in observations, models and scenarios is a fundamental first step in the IPCC confidence framework (see above). This will remain a challenge in future, but some important progress has been made over recent years.

Uncertainties in observations

The detection of changes in vegetation cover and structural properties underpins the assessment of land-use change, degradation and desertification. It is continuously improving by enhanced Earth observation capacity (Hansen et al. 2013; He et al. 2018; Ardö et al. 2018; Spennemann et al. 2018) (see also Table SM. 1.1 in Supplementary Materials). Likewise, the picture of how soil organic carbon, and GHG and water fluxes respond to land-use change and land management continues to improve through advances in methodologies and sensors (Kostyanovsky et al. 2018; Brümmer et al. 2017; Iwata et al. 2017; Valayamkunnath et al. 2018). In both cases, the relative shortness of the record, data gaps, data treatment algorithms and –for remote sensing- differences in the definitions of major vegetation cover classes limits the detection of trends (Alexander et al. 2016a; Chen et al. 2014; Yu et al. 2014; Lacaze et al. 2015; Song 2018; Peterson et al. 2017). In many developing countries, the cost of satellite remote sensing remains a challenge, although technological advances are starting to overcome this problem (Santilli et al. 2018), while ground-based observations networks are often not available.

Integration of multiple data sources in model and data assimilation schemes reduces uncertainties (Li et al. 2017; Clark et al. 2017; Lees et al. 2018), which might be important for the advancement of early warning systems. Early warning systems are a key feature of short-term (i.e. seasonal) decision support systems and are becoming increasingly important for sustainable land management and food security (Shtienberg 2013; Jarroudi et al. 2015; see Section 6.2.3, 7.4.3). Early warning systems can help to optimise fertiliser and water use, aid disease suppression, and/or increase the economic benefit by enabling strategic farming decisions on when and what to plant (Caffi et al. 2012; Watmuff et al. 2013; Jarroudi et al. 2015; Chipanshi et al. 2015). Their suitability depends on the capability of the methods to accurately predict crop or pest developments, which in turn depends on expert agricultural knowledge, and the accuracy of the weather data used to run phenological models (Caffi et al. 2012; Shtienberg 2013).

Uncertainties in models

Model intercomparison is a widely used approach to quantify some sources of uncertainty in climate change, land-use change and ecosystem modelling, often associated with the calculation of model-ensemble medians or means (see e.g., Section 2.2; Section 5.2). Even models of broadly similar structure differ in their projected outcome for the same input, as seen for instance in the spread in climate change

1 projections from Earth System Models (ESMs) to similar future anthropogenic GHG emissions (Parker
2 2013; Stocker et al. 2013a). These uncertainties arise, for instance, from different parameter values,
3 different processes represented in models, or how these processes are mathematically described. If the
4 output of ESM simulations are used as input to impact models, these uncertainties can propagate to
5 projected impacts (Ahlstrom et al. 2013).

6 Thus, the increased quantification of model performance in benchmarking exercises (the repeated
7 confrontation of models with observations to establish a track-record of model developments and
8 performance) is an important development to support the design and the interpretation of the outcomes of
9 model ensemble studies (Randerson et al. 2009; Luo et al. 2012; Kelley et al. 2013). Since observational
10 data sets in themselves are uncertain, benchmarking benefits from transparent information on the
11 observations that are used, and the inclusion of multiple, regularly updated data sources (Luo et al. 2012;
12 Kelley et al. 2013). Improved benchmarking approaches and the associated scoring of models may
13 support weighted model means contingent on model performance. This could be an important step
14 forward when calculating ensemble means across a range of models (Buisson et al. 2009; Parker 2013;
15 Prestele et al. 2016).

16 *Uncertainties arising from unknown futures*

17 Large differences exist in projections of future land cover change, both between and within scenario
18 projections (Fuchs et al. 2015; Eitelberg et al. 2016; Popp et al. 2016; Krause et al. 2017; Alexander et al.
19 2016a). These differences reflect the uncertainties associated with baseline data, thematic classifications,
20 different model structures and model parameter estimation (Alexander et al. 2017a; Prestele et al. 2016;
21 Cross-Chapter Box 1: Scenarios, in this Chapter). Likewise, projections of future land-use change are also
22 highly uncertain, reflecting –among other factors- the absence of important crop, pasture and management
23 processes in Integrated Assessment Models (Cross-Chapter Box 1: Scenarios, in this Chapter; Rose 2014)
24 and in models of the terrestrial carbon cycle (Arneth et al. 2017). These processes have been shown to
25 have large impacts on carbon stock changes (Arneth et al. 2017). Common scenario frameworks are used
26 to capture the range of future uncertainties in scenarios. The most commonly used recent framework in
27 climate change studies is based on the Representative Concentration Pathways (RCPs) and the Shared
28 Socio-economic Pathways (SSPs)(Popp et al. 2016; Riahi et al. 2017). The RCPs prescribe levels of
29 radiative forcing (Wm^{-2}) arising from different atmospheric concentrations of GHGs that lead to different
30 levels of climate change. For example, RCP2.6 (2.6 Wm^{-2}) is projected to lead to global mean
31 temperature changes of about 0.9°C – 2.3°C , and RCP8.5 (8.5 Wm^{-2}) to global mean temperature changes
32 of about 3.2°C – 5.4°C (van Vuuren et al 2014).

33 The SSPs describe alternative trajectories of future socio-economic development with a focus on
34 challenges to climate mitigation and challenges to climate adaptation (O'Neill et al. 2014). SSP1
35 represents a sustainable and co-operative society with a low carbon economy and high capacity to adapt
36 to climate change. SSP3 has social inequality that entrenches reliance on fossil fuels and limits adaptive
37 capacity. SSP4 has large differences in income within and across world regions that facilitates low carbon
38 economies in places, but limits adaptive capacity everywhere. SSP5 is a technologically advanced world
39 with a strong economy that is heavily dependent on fossil fuels, but with high adaptive capacity. SSP2 is
40 an intermediate case between SSP1 and SSP3 (O'Neill et al. 2014). The SSPs are commonly used with
41 models to project future land use change (Cross-Chapter Box 1: Scenarios, in this Chapter).

42 The SSPs map onto the RCPs through shared assumptions. For example, a higher level of climate change
43 (RCP8.5) is associated with higher challenges for climate change mitigation (SSP5). Not all SSPs are,
44 however, associated with all RCPs. For example, an SSP5 world is committed to high fossil fuel use,

1 associated GHG emissions, and this is not commensurate with lower levels of climate change (e.g.,
2 RCP2.6). (Engstrom et al. 2016) took this approach further by ascribing levels of probability that
3 associate an SSP with an RCP, contingent on the SSP scenario assumptions (see Cross-Chapter Box 1:
4 Scenarios, in this Chapter).

Cross-Chapter Box 1: Scenarios and other methods to characterise the future of land

Mark Rounsevell (United Kingdom/Germany), Almut Arneth (Germany), Katherine Calvin (The United States of America), Edouard Davin (France/Switzerland), Jan Fuglestad (Norway), Joanna House (United Kingdom), Alexander Popp (Germany), Joana Portugal Pereira (United Kingdom), Prajal Pradhan (Nepal/Germany), Jim Skea (United Kingdom), David Viner (United Kingdom).

About this box

The land-climate system is complex and future changes are uncertain, but methods exist (collectively known as *futures analysis*) to help decision makers in navigating through this uncertainty. Futures analysis comprises a number of different and widely used methods, such as scenario analysis (Rounsevell and Metzger 2010), envisioning or target setting (Kok et al. 2018), pathways analysis¹ (IPBES 2016; IPCC 2018), and conditional probabilistic futures (Vuuren et al. 2018; Engstrom et al. 2016; Henry et al. 2018)(see Cross-Chapter Box 1, Table 1). Scenarios and other methods to characterise the future can support a discourse with decision makers about the sustainable development options that are available to them. All chapters of this assessment draw conclusions from futures analysis and so, the purpose of this box is to outline the principal methods used, their application domains, their uncertainties and their limitations.

Exploratory scenario analysis

Many exploratory scenarios are reported in climate and land system studies on climate change (Dokken 2014), land-based, climate-change mitigation for example, reforestation/afforestation, avoided deforestation and bioenergy (Kraxner et al. 2013; Humpenoder et al. 2014; Krause et al. 2017) and climate change impacts and adaptation (Warszawski et al. 2014). There are global-scale scenarios of food security (Foley et al. 2011; Pradhan et al. 2013, 2014), but fewer scenarios of desertification, land degradation and restoration (Wolff et al. 2018). Exploratory scenarios combine qualitative ‘storylines’ or descriptive narratives of the underlying causes (or drivers) of change (Nakicenovic and Swart 2000; Rounsevell and Metzger 2010; O’Neill et al. 2014) with quantitative projections from computer models. Different types of models are used for this purpose based on very different modelling paradigms, baseline data and underlying assumptions (Alexander et al. 2016a; Prestele et al. 2016). Cross-Chapter Box 1, Figure 1 outlines how a combination of models can quantify these components as well as the interactions between them.

Exploratory scenarios often show that socio-economic drivers have a larger effect on land use change than climate drivers (Harrison et al. 2014, 2016). Of these, technological development is critical in affecting the production potential (yields) of food and bioenergy and the feed conversion efficiency of livestock (Rounsevell et al. 2006; Wise et al. 2014; Kreidenweis et al. 2018), as well as the area of land needed for food production (Foley et al. 2011; Weindl et al. 2017; Kreidenweis et al. 2018). Trends in consumption, for example, diets, waste reduction, are also fundamental in affecting land use change (Pradhan et al. 2013; Alexander et al. 2016b; Weindl et al. 2017; Alexander et al. 2017; Vuuren et al.

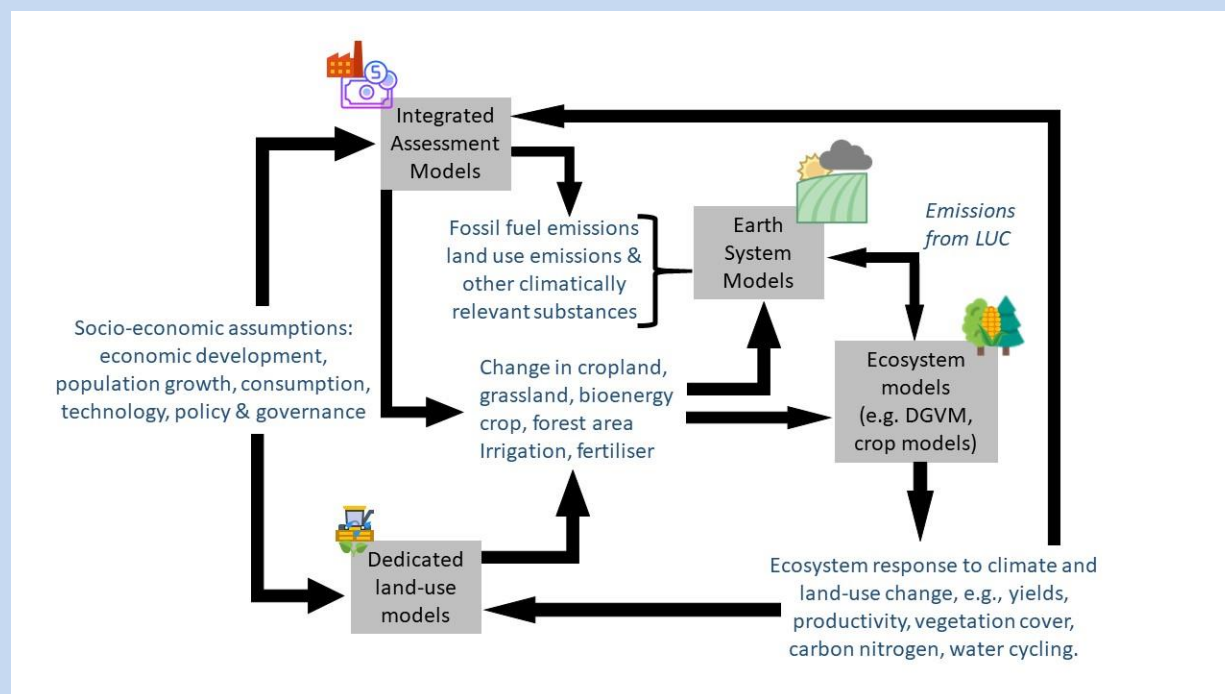
¹ FOOTNOTE: Different communities have a different understanding of the concept of pathways, as noted in the Cross-Chapter Box 1 on scenarios in (IPCC 2018). Here, we refer to pathways as a description of the time-dependent actions required to move from today’s world to a set of future visions (IPCC 2018). However, the term pathways is commonly used in the climate change literature as a synonym for projections or trajectories (e.g. Shared socio-economic pathways).

2018; Bajželj et al. 2014). Scenarios of land-based mitigation through large-scale bioenergy production and afforestation often lead to negative trade-offs with food security (food prices), water resources and biodiversity (cross chapter box on bioenergy, Ch6).

Cross-Chapter Box 1, Table 1 Description of the principal methods used in land and climate futures analysis

Futures method	Description and subtypes	Application domain	Time horizon	Examples in this assessment
<i>Exploratory scenarios.</i> Trajectories of change in system components from the present to contrasting, alternative futures based on plausible and internally consistent assumptions about the underlying drivers of change	<i>Long-term projections</i> quantified with models	Climate system, land system and other components of the environment (e.g., biodiversity, ecosystem functioning, water resources and quality), for example the SSPs	10-100 years	2.3, 2.6.2, 5.2.3, 6.1.4, 6.4.4, 7.2
	<i>Business-as-usual scenarios</i> (including 'outlooks')	A continuation into the future of current trends in key drivers to explore the consequences of these in the near-term	5-10 years, 20-30 years for outlooks	1.2.1, 2.6.2, 5.3.4, 6.1.4
	<i>Policy & planning scenarios</i> (including business planning)	Ex Ante analysis of the consequences of alternative policies or decisions based on known policy options or already implemented policy and planning measures	5-30 years	2.6.3, 5.5.2, 5.6.2, 6.4.4
	<i>Stylised scenarios</i> (with single and multiple options)	Afforestation/reforestation areas, bioenergy areas, protected areas for conservation, consumption patterns (e.g., diets, food waste)	10-100 years	2.6.1, 5.5.1, 5.5.2, 5.6.1, 5.6.2, 6.4.4, 7.2
	<i>Shock scenarios</i> (high impact single events)	Food supply chain collapses, cyberattacks, pandemic diseases (humans, crops and livestock)	Near-term events (up to 10 years) leading to long-term impacts (10-100 years)	5.8.1
	<i>Conditional probabilistic futures</i> ascribe probabilities to uncertain drivers that are conditional on scenario assumptions	Where some knowledge is known about driver uncertainties, for example, population, economic growth, land use change	10-100 years	1.2
<i>Normative scenarios.</i> Desired futures or outcomes that are aspirational and how to achieve them	<i>Visions, goal-seeking or target-seeking scenarios</i>	Environmental quality, societal development, human well-being, the Representative Concentration Pathways (RCPs,) 1.5 °C scenarios	5-10 years to 10-100 years	2.6.2, 6.4.4, 7.2, 5.5.2

	<i>Pathways</i> as alternative sets of choices, actions or behaviours that lead to a future vision (goal or target)	Socio-economic systems, governance and policy actions	5-10 years to 10-100 years	5.5.2, 6.4.4, 7.2
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Cross-Chapter Box 1, Figure 1 Interactions between land and climate system components and models in scenario analysis. The blue text describes selected model inputs and outputs.

Many exploratory scenarios are based on common frameworks such as the Shared Socio-economic Pathways (SSPs) (Popp et al. 2016; Riahi et al. 2017; Doelman et al. 2018) (see section 1.2). However, other methods are used. *Stylised scenarios* prescribe assumptions about climate and land use change solutions for example, dietary change, food waste reduction, afforestation areas (Pradhan et al. 2013, 2014; Kreidenweis et al. 2016; Rogelj et al. 2018b; Seneviratne et al. 2018; Vuuren et al. 2018). These scenarios provide useful thought experiments, but the feasibility of achieving the stylised assumptions is often unknown. *Shock scenarios* explore the consequences of low probability, high impact events such as pandemic diseases, cyberattacks and failures in food supply chains (Challinor et al. 2018) often in food security studies. Because of the diversity of exploratory scenarios, attempts have been made to categorise them into ‘archetypes’ based on the similarity between their assumptions in order to facilitate communication (IPBES 2018a).

Conditional probabilistic futures explore the consequences of model parameter uncertainty in which these uncertainties are conditional on scenario assumptions (Neill 2004). Only a few studies have applied the conditional probabilistic approach to land use futures (Brown et al. 2014; Engstrom et al. 2016; Henry et al. 2018). By accounting for uncertainties in key drivers these studies show large ranges in land use change, for example, global cropland areas of 893–2380 Mha by the end of the 21st Century (Engstrom et al. 2016). They also find that land-use targets may not be achieved, even across a wide

range of scenario parameter settings, because of trade-offs arising from the competition for land (Henry et al. 2018; Heck et al. 2018). Accounting for uncertainties across scenario assumptions can lead to convergent outcomes for land use change, which implies that certain outcomes are more robust across a wide range of uncertain scenario assumptions (Brown et al. 2014).

In addition to global scale scenario studies, sub-national studies demonstrate that regional climate change impacts on the land system are highly variable geographically because of differences in the spatial patterns of both climate and socio-economic change (Harrison et al. 2014). Moreover, the capacity to adapt to these impacts is strongly dependent on the regional, socio-economic context and coping capacity (Dunford et al. 2014); processes that are difficult to capture in global scale scenarios. Regional scenarios are often co-created with stakeholders through participatory approaches (Kok et al. 2014), which is powerful in reflecting diverse worldviews and stakeholder values. Stakeholder participatory methods provide additional richness and context to storylines, as well as providing saliency and legitimacy for local stakeholders (Kok et al. 2014).

Normative scenarios: visions and pathways analysis

Normative scenarios reflect a desired or target-seeking future. Pathways analysis is important in moving beyond the ‘*what if?*’ perspective of exploratory scenarios to evaluate how normative futures might be achieved in practice, recognising that multiple pathways may achieve the same future vision. Pathways analysis focuses on consumption and behavioural changes through transitions and transformative solutions (IPBES 2018a). Pathways analysis is highly relevant in support of policy, since it outlines sets of time-dependent actions and decisions to achieve future targets, especially with respect to sustainable development goals, as well as highlighting trade-offs and co-benefits (IPBES 2018a). Multiple, alternative pathways have been shown to exist that mitigate trade-offs whilst achieving the priorities for future sustainable development outlined by governments and societal actors. Of these alternatives, the most promising focus on long-term societal transformations through education, awareness raising, knowledge sharing and participatory decision-making (IPBES 2018a).

What are the limitations of land use scenarios?

Applying a common scenario framework (e.g., RCPs/SSPs) supports the comparison and integration of climate and land system scenarios, but a ‘climate-centric’ perspective can limit the capacity of these scenarios to account for a wider range of land-relevant drivers (Rosa et al. 2017). For example, in climate mitigation scenarios it is important to assess the impact of mitigation actions on the broader environment for example, biodiversity, ecosystem functioning, air quality, food security, desertification/degradation and water cycles (Rosa et al. 2017). This implies the need for a more encompassing and flexible approach to creating scenarios that considers other environmental aspects, not only as a part of impact assessment, but also during the process of creating the scenarios themselves.

A limited number of models can quantify global scale, land use change scenarios, and there is large variance in the outcomes of these models (Alexander et al. 2016a; Prestele et al. 2016). In some cases, there is greater variability between the models themselves than between the scenarios that they are quantifying, and these differences vary geographically (Prestele et al. 2016). These differences arise from variations in baseline datasets, thematic classes and modelling paradigms (Alexander et al. 2016a; Popp et al. 2016; Prestele et al. 2016). Model evaluation is critical in establishing confidence in the outcomes of modelled futures (Ahlstrom et al. 2012; Kelley et al. 2013). Some, but not all, land use models are evaluated against observational data and model evaluation is rarely reported. Hence, there is a need for more transparency in land use modelling, especially in evaluation and testing, as well as making model code available with complete sets of scenario outputs (e.g., Dietrich et al. 2018).

There is a small, but growing literature on quantitative pathways to achieve normative visions and their associated trade-offs (IPBES 2018a). Whilst the visions themselves may be clearly articulated, the societal choices, behaviours and transitions needed to attain them, are not. Better accounting for human behaviour and decision-making processes in global scale, land-use models would improve the capacity to quantify pathways to sustainable futures (Rounsevell et al. 2014; Arneth et al. 2014; Calvin and Bond-Lamberty 2018). It is, however, difficult to understand and represent human behaviour and social interaction processes at global scales. Decision-making in global models is commonly represented through economic processes (Arneth et al. 2014). Other important human processes for land systems including equity, fairness, land tenure and the role of institutions and governance, receive less attention, and this limits the use of global models to quantify transformative pathways, adaptation and mitigation (Arneth et al. 2014; Rounsevell et al. 2014; Wang et al. 2016). No model exists at present to represent complex human behaviours at the global scale, although the need has been highlighted (Rounsevell et al. 2014; Arneth et al. 2014; Robinson et al. 2017; Brown et al. 2017; Calvin and Bond-Lamberty 2018).

1

2 **1.2.2.3 Uncertainties in decision making**

3 Decision makers develop and implement policy in the face of many uncertainties (Rosenzweig and
4 Neofotis 2013; Anav et al. 2013; Ciais et al. 2013a; Stocker et al. 2013b; see Section 7.5). In context of
5 climate change, the term *deep uncertainty* is frequently used to denote situations in which either the
6 analysis of a situation is inconclusive, or parties to a decision cannot agree on a number of criteria that
7 would help to rank model results in terms of likelihood (e.g., Hallegatte and Mach 2016; Maier et al.
8 2016) (see Section 7.1, 7.5, and Supplementary Material Table SM. 1.2). However, existing uncertainty
9 does not support societal and political inaction.

10 The many ways of dealing with uncertainty in decision making can be summarised by two decision
11 approaches: (economic) cost-benefit analyses, and the precautionary approach. A typical variant of cost
12 benefit analysis is the minimisation of negative consequences. This approach needs reliable probability
13 estimates (Gleckler et al. 2016; Parker 2013) and tends to focus on the short-term. The precautionary
14 approach does not take account of probability estimates (cf. Raffensperger and Tickner 1999), but instead
15 focuses on avoiding the worst outcome (Gardiner 2006).

16 Between these two extremes, various decision approaches seek to address uncertainties in a more
17 reflective manner that avoids the limitations of cost-benefit analysis and the precautionary approach.
18 Climate-informed decision analysis combines various approaches to explore options and the
19 vulnerabilities and sensitivities of certain decisions. Such an approach includes stakeholder involvement
20 (e.g., elicitation methods), and can be combined with, for example, analysis of climate or land-use change
21 modelling (Hallegatte and Rentschler 2015; Luedeling and Shepherd 2016).

22 Flexibility is facilitated by political decisions that are not set in stone and can change over time (Walker et
23 al. 2013; Hallegatte and Rentschler 2015). Generally, within the research community that investigates
24 deep uncertainty a paradigm is emerging that requires to develop a strategic vision of the long- or mid-
25 term future, while committing to short-term actions and establishing a framework to guide future actions
26 including revisions and flexible adjustment of decisions (Haasnoot 2013; see Section 7.5).

27 **1.3 Response options to the key challenges**

28 A number of response options underpin solutions to the challenges arising from GHG emissions from
29 land, and the loss of productivity arising from degradation and desertification. These options are

1 discussed in Sections 2.5, 6.2 and rely on a) land management, b) value chain management and c) risk
 2 management (see Table 1.2). None of these response options are mutually exclusive, and it is their
 3 combination in a regionally, context-specific manner that is most likely to achieve co-benefits between
 4 climate change mitigation, adaptation and other environmental challenges in a cost- effective way
 5 (Griscom et al. 2017; Kok et al. 2018). Sustainable solutions affecting both demand and supply are
 6 expected to yield most co-benefits if these rely not only on the carbon footprint, but are extended to other
 7 vital ecosystems such as water, nutrients and biodiversity footprints (van Noordwijk and Brussaard 2014;
 8 Cremasch 2016). As an entry-point to the discussion in Chapter 6, we introduce here a selected number of
 9 examples that cut across climate change mitigation, food security, desertification, and degradation issues,
 10 including potential trade-offs and co-benefits.

11 **Table 1.2 Broad categorisation of response options into three main classes and eight sub-classes.** For
 12 illustration, the table includes examples of individual response options. A complete list and description is provided
 13 in Chapter 6.

Response options based on land management	
<i>in agriculture</i>	Improved management of: cropland, grazing land, livestock; Agro-forestry; Avoidance of conversion of grassland to cropland; Integrated water management
<i>in forests</i>	Improved management of forests and forest restoration; Reduced deforestation and degradation; Afforestation
<i>of soils</i>	Increased soil organic carbon content; Reduced soil erosion; Reduced soil salinisation
<i>across all/other ecosystems</i>	Reduced landslides and natural hazards; Reduced pollution including acidification; Biodiversity conservation; Restoration and reduced conversion of peatlands
<i>specifically for carbon dioxide removal</i>	Enhanced weathering of minerals; Bioenergy and BECCS
Response options based on value chain management	
<i>through demand management</i>	Dietary change; Reduced post-harvest losses; Reduced food waste
<i>through supply management</i>	Sustainable sourcing; Improved energy use in food systems; Improved food processing and retailing
Response options based on risk management	
<i>risk management</i>	Risk sharing instruments; Use of local seeds; Disaster risk management

14

15 1.3.1 Targeted decarbonisation relying on large land-area need

16 Most global future scenarios that aim to achieve global warming of 2°C or well below rely on bioenergy
 17 (BE; with or without carbon capture and storage, BECCS; see Cross-Chapter Box 7 in Chapter 6) or
 18 afforestation and reforestation (Cross-Chapter Box 2 in this Chapter)(de Coninck et al. 2018; Rogelj et al.
 19 2018b,a; Anderson and Peters 2016; Popp et al. 2016; Smith et al. 2016). In addition to the very large
 20 area requirements projected for 2050 or 2100, several other aspects of these scenarios have also been
 21 criticised. For instance, they simulate very rapid technological and societal uptake rates for the land-
 22 related mitigation measures, when compared with historical observations (Turner et al. 2018; Brown et al.
 23 2019; Vaughan and Gough 2016). Furthermore, confidence in the projected bioenergy or BECCS net
 24 carbon uptake potential is *low*, because of many diverging assumptions. This includes assumptions about
 25 bioenergy crop yields, the possibly large energy demand for CCS, which diminishes the net-GHG-saving

1 of bioenergy systems, or the incomplete accounting for ecosystem processes and of the cumulative
2 carbon-loss arising from natural vegetation clearance for bioenergy crops or bioenergy forests and
3 subsequent harvest regimes (Anderson and Peters 2016; Bentsen 2017; Searchinger et al. 2017; Bayer et
4 al. 2017; Fuchs et al. 2017; Pingoud et al. 2018; Schlesinger 2018). Bioenergy provision under politically
5 unstable conditions may also be a problem (Erb et al. 2012; Searle and Malins 2015).

6 Large-scale bioenergy plantations and forests may compete for the same land area (Harper et al. 2018).
7 Both potentially have adverse side effects on biodiversity and ecosystem services, as well as socio-
8 economic trade-offs such as higher food prices due to land area competition (Shi et al. 2013; Bárcena et al.
9 2014; Fernandez-Martinez et al. 2014; Searchinger et al. 2015; Bonsch et al. 2016; Creutzig et al. 2015;
10 Kreidenweis et al. 2016; Santangeli et al. 2016; Williamson 2016; Graham et al. 2017; Krause et al. 2017;
11 Hasegawa et al. 2018; Humpenoeder et al. 2018). Although forest-based mitigation could have co-
12 benefits for biodiversity and many ecosystem services, this depends on the type of forest planted and the
13 vegetation cover it replaces (Popp et al. 2014; Searchinger et al. 2015) (see also Cross-Chapter Box 2 in
14 this Chapter).

15 There is *high confidence* that scenarios with large land requirements for climate change mitigation may
16 not achieve sustainable development goals, such as no poverty, zero hunger and life on land, if
17 competition for land and the need for agricultural intensification are greatly enhanced (Creutzig et al.
18 2016; Dooley and Kartha 2018; Hasegawa et al. 2015; Hof et al. 2018; Roy et al. 2018; Santangeli et al.
19 2016; Boysen et al. 2017; Henry et al. 2018; Kreidenweis et al. 2016; UN 2015). This does not mean that
20 smaller-scale land-based climate mitigation can have positive outcomes for then achieving these goals
21 (see e.g., Sections 6.2, 4.5, cross chapter box 7 in Chapter 6).

Cross-Chapter Box 2: Implications of large-scale conversion from non-forest to forest land

Baldur Janz (Germany), Almut Arneth (Germany), Francesco Cherubini (Norway/Italy), Edouard Davin (Switzerland/France), Aziz Elbehri (Morocco), Kaoru Kitajima (Japan), Werner Kurz (Canada)

Efforts to increase forest area

While deforestation continues in many world regions, especially in the tropics, large expansion of mostly managed forest area has taken place in some countries. In the IPCC context, reforestation (conversion to forest of land that previously contained forests but has been converted to some other use) is distinguished from afforestation (conversion to forest of land that historically has not contained forests (see glossary)). Past expansion of managed forest area occurred in many world-regions for a variety of reasons, from meeting needs for wood fuel or timber (Vadell et al. 2016; Joshi et al. 2011; Zaloumis and Bond 2015; Payn et al. 2015; Shoyama 2008; Miyamoto et al. 2011) to restoration-driven efforts, with the aim of enhancing ecological function (Filoso et al. 2017; Salvati and Carlucci 2014; Ogle et al. 2018; Crouzeilles et al. 2016; FAO 2016)(see Section 3.7, 4.9).

In many regions, net forest area increase includes deforestation (often of native forests) alongside increasing forest area (often managed forest, but also more natural forest restoration efforts; (Heilmayr et al. 2016; Scheidel and Work 2018; Hua et al. 2018; Crouzeilles et al. 2016; Chazdon et al. 2016b). China and India have seen the largest net forest area increase, aiming to alleviate soil erosion, desertification and overgrazing (Ahrends et al. 2017; Cao et al. 2016; Deng et al. 2015; Chen et al. 2019)(see Section 3.7, 4.9) but uncertainties in exact forest area changes remain large, mostly due to differences in methodology and forest classification (FAO 2015a; Song et al. 2018; Hansen et al. 2013; MacDicken et al. 2015)(Section 1.1.2).

What are the implications for ecosystems?

1) Implications for biogeochemical and biophysical processes

There is *robust evidence* and *medium agreement* that whilst forest area expansion increases ecosystem carbon storage, the magnitude of the increased stock depends on the type and length of former land-use, forest type planted, and climatic regions (Bárcena et al. 2014; Poeplau et al. 2011; Shi et al. 2013; Li et al. 2012)(see Section 4.3). While, reforestation of former croplands increases net ecosystem carbon storage (Bernal et al. 2018; Lamb 2018), afforestation on native grassland results in reduction of soil carbon stocks, which can reduce or negate the net carbon benefits which are dominated by increases in biomass, dead wood and litter carbon pools (Veldman et al. 2015, 2017).

Forest vs. non-forest lands differ in land surface reflectiveness of short-wave radiation and evapotranspiration (Anderson et al. 2011; Perugini et al. 2017)(see Section 2.4). Evapotranspiration from forests during the growing season regionally cools the land surface and enhances cloud cover that reduces short wave radiation reaching the land, an impact that is especially pronounced in the tropics. However, dark evergreen conifer-dominated forests have low surface reflectance, and tend to cause warming of the near surface atmosphere compared to non-forest land, especially when snow cover is present such as in boreal regions (Duveiller et al. 2018; Alkama and Cescatti 2016; Perugini et al. 2017)(*medium evidence, high agreement*).

2) Implications for water balance

Evapotranspiration by forests reduces surface runoff and erosion of soil and nutrients (Salvati et al.

2014). Planting of fast-growing species in semi-arid regions or replacing natural grasslands with forest plantations can divert soil water resources to evapotranspiration from groundwater recharge (Silveira et al. 2016; Zheng et al. 2016; Cao et al. 2016). Multiple cases are reported from China where afforestation programs, some with irrigation, without having tailored to local precipitation conditions, resulted in water shortages and tree mortality (Cao et al. 2016; Yang et al. 2014; Li et al. 2014; Feng et al. 2016). Water shortages may create long-term water conflicts (Zheng et al. 2016). However, reforestation (in particular for restoration) is also associated with improved water filtration, groundwater recharge (Ellison et al. 2017) and can reduce risk of soil erosion, flooding, and associated disasters (Lee et al. 2018; see Section 4.9).

3) Implications for biodiversity

Impacts of forest area expansion on biodiversity depend mostly on the vegetation cover that is replaced: afforestation on natural non-tree dominated ecosystems can have negative impacts on biodiversity (Abreu et al. 2017; Griffith et al. 2017; Veldman et al. 2015; Parr et al. 2014; Wilson et al. 2017; Hua et al. 2016)(see also IPCC 1.5° report (2018). Reforestation with monocultures of fast growing, non-native trees has little benefit to biodiversity (Shimamoto et al. 2018; Hua et al. 2016). There are also concerns regarding the impacts of some commonly used plantation species (e.g., *Acacia* and *Pinus* species) to become invasive (Padmanaba and Corlett 2014; Cunningham et al. 2015b).

Reforestation with mixes of native species, especially in areas that retain fragments of native forest, can support ecosystem-services and biodiversity recovery, with positive social and environmental co-benefits (Cunningham et al. 2015a; Dendy et al. 2015; Chaudhary and Kastner 2016; Huang et al. 2018; Locatelli et al. 2015b)(see Section 4.5). Even though species diversity in re-growing forests is typically lower than in primary forests, planting native or mixed species can have positive effects on biodiversity (Brockerhoff et al. 2013; Pawson et al. 2013; Thompson et al. 2014). Reforestation has been shown to improve links among existing remnant forest patches, increasing species movement, and fostering gene flow between otherwise isolated populations (Gilbert-Norton et al. 2010; Barlow et al. 2007; Lindenmayer and Hobbs 2004).

4) Implications for other ecosystem services and societies

Forest area expansion could benefit recreation and health, preservation of cultural heritage and local values and knowledge, livelihood support (via reduced resource conflicts, restoration of local resources). These social benefits could be most successfully achieved if local communities' concerns are considered (Le et al. 2012). However, these co-benefits have rarely been assessed due to a lack of suitable frameworks and evaluation tools (Baral et al. 2016).

Industrial forest management can be in conflict with needs of forest-dependent people and community-based forest management over access to natural resources (Gerber 2011; Baral et al. 2016) and/or loss of customary rights over land use (Malkamäki et al. 2018; Cotula et al. 2014). A common result is out-migration from rural areas and diminishing local uses of ecosystems (Gerber 2011). Policies promoting large-scale tree plantations gain if these are reappraised in view of potential co-benefits with several ecosystem services and local societies (Bull et al. 2006; Le et al. 2012).

Scenarios of forest-area expansion for land-based climate change mitigation

Conversion of non-forest to forest land has been discussed as a relatively cost-effective climate change mitigation option when compared to options in the energy and transport sectors (*medium evidence, medium agreement*) (de Coninck et al. 2018; Griscom et al. 2017; Fuss et al. 2018), and can have co-

benefits with adaptation.

Sequestration of CO₂ from the atmosphere through forest area expansion has become a fundamental part of stringent climate change mitigation scenarios (Rogelj et al. 2018a; Fuss et al. 2018)(see e.g., Sections 2.5, 4.5, 6.2). The estimated mitigation potential ranges from about 0.5 to 10 Gt CO₂yr⁻¹ (*robust evidence, medium agreement*), and depends on assumptions regarding available land and forest carbon uptake potential (Houghton 2013; Houghton and Nassikas 2017; Griscom et al. 2017; Lenton 2014; Fuss et al. 2018; Smith 2016) (see Section 2.5.1). In climate change mitigation scenarios, typically, no differentiation is made between reforestation and afforestation despite different overall environmental impacts between these two measures. Likewise, biodiversity conservation, impacts on water balances, other ecosystem services, or land-ownership as constraints when simulating forest area expansion (see Cross-Chapter Box 1 in this Chapter) tend not to be included as constraints when simulating forest area expansion.

Projected forest area increases, relative to today's forest area, range from approximately 25% in 2050 and increase to nearly 50% by 2100 (Rogelj et al. 2018a; Kreidenweis et al. 2016; Humpenoder et al. 2014). Potential adverse side-effects of such large-scale measures, especially for low-income countries, could be increasing food prices from the increased competition for land (Kreidenweis et al. 2016; Hasegawa et al. 2015, 2018; Boysen et al. 2017)(see Section 5.5). Forests also emit large amounts of biogenic volatile compounds that under some conditions contribute to the formation of atmospherically short-lived climate forcing compounds, which are also detrimental to health (Ashworth et al. 2013; Harrison et al. 2013). Recent analyses argued for an upper limit of about 5 million km² of land globally available for climate change mitigation through reforestation, mostly in the tropics (Houghton 2013) – with potential regional co-benefits.

Since forest growth competes for land with bioenergy crops (Harper et al. 2018)(Cross-Chapter Box 7: Bioenergy and BECCS, Chapter 6), global area estimates need to be assessed in light of alternative mitigation measures at a given location. In all forest-based mitigation efforts, the sequestration potential will eventually saturate unless the area keeps expanding, or harvested wood is either used for long-term storage products or for carbon capture and storage (Fuss et al. 2018; Houghton et al. 2015)(see Section 2.5.1). Considerable uncertainty in forest carbon uptake estimates is further introduced by potential forest losses from fire or pest outbreaks (Allen et al. 2010; Anderegg et al. 2015)(Cross-Chapter Box 3: Fire and climate change, Chapter 2). And like all land-based mitigation measures, benefits may be diminished by land-use displacement, through trade of land-based products, especially in poor countries that experience forest loss (e.g., Africa) (Bhojvaid et al. 2016; Jadin et al. 2016).

Conclusion

Reforestation is a mitigation measure with potential co-benefits for conservation and adaptation, including biodiversity habitat, air and water filtration, flood control, enhanced soil fertility and reversal of land degradation. Potential adverse side-effects of forest area expansion depend largely on the state of the land it displaces as well as tree species selections. Active governance and planning contribute to maximising co-benefits while minimising adverse side-effects (Laestadius et al. 2011; Dinerstein et al. 2015; Veldman et al. 2017)(see Section 4.8 and Chapter 7). At large spatial scales, forest expansion is expected to lead to increased competition for land, with potentially undesirable impacts on food prices, biodiversity, non-forest ecosystems and water availability (Bryan and Crossman 2013; Boysen et al. 2017; Kreidenweis et al. 2016; Egginton et al. 2014; Cao et al. 2016; Locatelli et al. 2015a; Smith et al.

2013)

1

2 **1.3.2 Land Management**

3 ***1.3.2.1 Agricultural, forest and soil management***

4 Sustainable land management (SLM) describes “*the stewardship and use of land resources, including*
5 *soils, water, animals and plants, to meet changing human needs while simultaneously assuring the long-*
6 *term productive potential of these resources and the maintenance of their environmental functions*”
7 (Alemu 2016, Altieri and Nicholls 2017)(see e.g., Section 4.1.5), and includes ecological, technological
8 and governance aspects.

9 The choice of SLM strategy is a function of regional context and land use types, with *high agreement* on
10 (a combination of) choices such as agroecology (including agroforestry), conservation agriculture and
11 forestry practices, crop and forest species diversity, appropriate crop and forest rotations, organic farming,
12 integrated pest management, the preservation and protection of pollination services, rain water harvesting,
13 range and pasture management, and precision agriculture systems (Stockmann et al. 2013; Ebert, 2014;
14 Schulte et al. 2014; Zhang et al. 2015; Sunil and Pandravada 2015; Poepflau and Don 2015; Agus et al.
15 2015; Keenan 2015; MacDicken et al. 2015; Abberton et al. 2016). Conservation agriculture and forestry
16 uses management practises with minimal soil disturbance such as no tillage or minimum tillage,
17 permanent soil cover with mulch combined with rotations to ensure a permanent soil surface, or rapid
18 regeneration of forest following harvest (Hobbs et al. 2008; Friedrich et al. 2012). Vegetation and soils in
19 forests and woodland ecosystems play a crucial role in regulating critical ecosystem processes, therefore
20 reduced deforestation together with sustainable forest management are integral to SLM (FAO 2015b; see
21 Section 4.8). In some circumstances, increased demand for forest products can also lead to increased
22 management of carbon storage in forests (Favero and Mendelsohn 2014). Precision agriculture is
23 characterised by a “management system that is information and technology based, is site specific and uses
24 one or more of the following sources of data: soils, crops, nutrients, pests, moisture, or yield, for optimum
25 profitability, sustainability, and protection of the environment” (USDA 2007)(see also Cross-Chapter Box
26 6: Agricultural intensification, Chapter 5). The management of protected areas that reduce deforestation
27 also plays an important role in climate change mitigation and adaptation while delivering numerous
28 ecosystem services and sustainable development benefits (Bebber and Butt 2017). Similarly, when
29 managed in an integrated and sustainable way, peatlands are also known to provide numerous ecosystem
30 services, as well as socio-economic and mitigation and adaptation benefits (Ziadat et al. 2018).

31 Biochar is an organic compound used as soil amendment and is believed to be potentially an important
32 global resource for mitigation. Enhancing the carbon content of soil and/or use of biochar (see Chapter 4)
33 have become increasingly important as a climate change mitigation option with possibly large co-benefits
34 for other ecosystem services. Enhancing soil carbon storage and the addition of biochar can be practised
35 with limited competition for land, provided no productivity/yield loss and abundant unused biomass, but
36 evidence is limited and impacts of large scale application of biochar on the full GHG balance of soils, or
37 human health are yet to be explored (Gurwick et al. 2013; Lorenz and Lal 2014; Smith 2016).

38 **1.3.3 Value chain management**

39 ***1.3.3.1 Supply management***

40 **Food losses from harvest to retailer.** Approximately one third of losses and waste in the food system
41 occurs between crop production and food consumption, increasing substantially if losses in livestock

1 production and overeating are included (Gustavsson et al. 2011; Alexander et al. 2017). This includes on-
2 farm losses, farm to retailer losses, as well retailer and consumer losses (see Section 1.3.3.2).

3 Post-harvest food loss on farm and from farm to retailer is a widespread problem, especially in
4 developing countries (Xue et al. 2017), but are challenging to quantify. For instance, averaged for eastern
5 and southern Africa an estimated 10–17% of annual grain production is lost (Zorya et al. 2011). Across
6 84 countries and different time periods, annual median losses in the supply chain before retailing were
7 estimated at about 28 kg per capita for cereals or about 12 kg per capita for eggs and dairy products (Xue
8 et al. 2017). For the year 2013, losses prior to the reaching retailers were estimated at 20% (dry weight) of
9 the production amount (22% wet weight) (Gustavsson et al. 2011; Alexander et al. 2017). While losses of
10 food cannot be realistically reduced to zero, advancing harvesting technologies (Bradford et al. 2018;
11 Affognon et al. 2015), storage capacity (Chegere 2018) and efficient transportation could all contribute to
12 reducing these losses with co-benefits for food availability, the land area needed for food production and
13 related GHG emissions.

14 **Stability of food supply, transport and distribution.** Increased climate variability enhances fluctuations
15 in world food supply and price variability (Warren 2014; Challinor et al. 2015; Elbehri et al. 2017). “Food
16 price shocks” need to be understood regarding their transmission across sectors and borders and impacts
17 on poor and food insecure populations, including urban poor subject to food deserts and inadequate food
18 accessibility (Widener et al. 2017; Lehmann et al. 2013; LE 2016; FAO 2015b). Trade can play an
19 important stabilising role in food supply, especially for regions with agro-ecological limits to production,
20 including water scarce regions, as well as regions that experience short term production variability due to
21 climate, conflicts or other economic shocks (Gilmont 2015; Marchand et al. 2016). Food trade can either
22 increase or reduce the overall environmental impacts of agriculture (Kastner et al. 2014). Embedded in
23 trade are virtual transfers of water, land area, productivity, ecosystem services, biodiversity, or nutrients
24 (Marques et al. 2019; Wiedmann and Lenzen 2018; Chaudhary and Kastner 2016) with either positive or
25 negative implications (Chen et al. 2018; Yu et al. 2013). Detrimental consequences in countries in which
26 trade dependency may accentuate the risk of food shortages from foreign production shocks could be
27 reduced by increasing domestic reserves or importing food from a diversity of suppliers (Gilmont 2015;
28 Marchand et al. 2016).

29 Climate mitigation policies could create new trade opportunities (e.g., biomass) (Favero and Massetti
30 2014) or alter existing trade patterns. The transportation GHG-footprints of supply chains may be causing
31 a differentiation between short and long supply chains (Schmidt et al. 2017) that may be influenced by
32 both economics and policy measures (see Section 5.4). In the absence of sustainable practices and when
33 the ecological footprint is not valued through the market system, trade can also exacerbate resource
34 exploitation and environmental leakages, thus weakening trade mitigation contributions (Dalin and
35 Rodríguez-Iturbe 2016; Mosnier et al. 2014; Elbehri et al. 2017). Ensuring stable food supply while
36 pursuing climate mitigation and adaptation will benefit from evolving trade rules and policies that allow
37 internalisation of the cost of carbon (and costs of other vital resources such as water, nutrients). Likewise,
38 future climate change mitigation policies would gain from measures designed to internalise the
39 environmental costs of resources and the benefits of ecosystem services (Elbehri et al. 2017; Brown et
40 al., 2007).

41 **1.3.3.2 Demand management**

42 **Dietary change.** Demand-side solutions to climate mitigation are an essential complement to supply-side,
43 technology and productivity driven solutions (Creutzig et al. 2016; Bajželj et al. 2014; Erb et al. 2016b;
44 Creutzig et al. 2018)(see Sections 5.5.1, 5.5.2)(*high confidence*). The environmental impacts of the

1 animal-rich “western diets” are being examined critically in the scientific literature (Hallström et al. 2015;
2 Alexander et al. 2016b; Alexander et al. 2015; Tilman and Clark 2014; Aleksandrowicz et al. 2016; Poore
3 and Nemecek 2018)(see Section 5.4.6). For example, if the average diet of each country were consumed
4 globally, the agricultural land area needed to supply these diets would vary 14-fold, due to country
5 differences in ruminant protein and calorific intake (-55% to +178% compared to existing cropland
6 areas). Given the important role enteric fermentation plays in methane (CH₄) emissions, a number of
7 studies have examined the implications of lower animal diets (Swain et al. 2018; Rööös et al. 2017; Rao et
8 al. 2018). Reduction of animal protein intake has been estimated to reduce global green water (from
9 precipitation) use by 11% and blue water (from rivers, lakes, groundwater) use by 6% (Jalava et al. 2014).
10 By avoiding meat from producers with above-median GHG emissions and halving animal-product intake,
11 consumption change could free-up 21 million km² of agricultural land and reduce GHG emissions by
12 nearly 5 Gt CO₂-eq yr⁻¹ or up to 10.4 Gt CO₂-eq yr⁻¹ when vegetation carbon uptake is considered on the
13 previously agricultural land (Poore and Nemecek 2018, 2019).

14 Diets can be location and community specific, are rooted in culture and traditions while responding to
15 changing lifestyles driven for instance by urbanisation and changing income. Changing dietary and
16 consumption habits would require a combination of non-price (government procurement, regulations,
17 education and awareness raising) and price (Juhl and Jensen 2014) incentives to induce consumer
18 behavioural change with potential synergies between climate, health and equity (addressing growing
19 global nutrition imbalances that emerge as undernutrition, malnutrition, and obesity) (FAO 2018b).

20 **Reduced waste and losses in the food demand system.** Global averaged per capita food waste and loss
21 (FWL) have increased by 44% between 1961 and 2011 (Porter et al. 2016) and are now around 25–30%
22 of global food produced (Kummu et al. 2012)(Alexander et al. 2017). Food waste occurs at all stages of
23 the food supply chain from the household to the marketplace (Parfitt et al. 2010) and is found to be larger
24 at household than at supply chain levels. A meta-analysis of 55 studies showed that the highest share of
25 food waste was at the consumer stage (43.9% of total) with waste increasing with per capita GDP for high
26 income countries until a plateau at about 100 kg cap⁻¹ yr⁻¹ (around 16% of food consumption) above
27 about 70 000 USD cap⁻¹ (van der Werf and Gilliland 2017; Xue et al. 2017). Food loss from supply chains
28 tends to be more prevalent in less developed countries where inadequate technologies, limited
29 infrastructure, and imperfect markets combine to raise the share of the food production lost before use.

30 There are several causes behind food waste including economics (cheap food), food policies (subsidies)
31 as well as individual behaviour (Schanes et al. 2018). Household level food waste arises from overeating
32 or overbuying (Thyberg and Tonjes 2016). Globally, overconsumption was found to waste 9–10% of
33 food bought (Alexander et al. 2017).

34 Solutions to FWL thus need to address technical and economic aspects. Such solutions would benefit
35 from more accurate data on the loss-source, -magnitude and -causes along the food supply chain. In the
36 long run, internalising the cost of food waste into the product price would more likely induce a shift in
37 consumer behaviour towards less waste and more nutritious, or alternative, food intake (FAO 2018b).
38 Reducing FWL would bring a range of benefits for health, reducing pressures on land, water and
39 nutrients, lowering emissions and safeguarding food security. Reducing food waste by 50% would
40 generate net emissions reductions in the range of 20 to 30% of total food-sourced GHGs (Bajželj et al.
41 2014). The SDG 12 (“Ensure sustainable consumption and production patterns”) calls for per capita
42 global food waste to be reduced by one half at the retail and consumer level, and reducing food losses
43 along production and supply chains by 2030.

1 **1.3.4 Risk management**

2 Risk management refers to plans, actions, strategies or policies to reduce the likelihood and/or magnitude
3 of adverse potential consequences, based on assessed or perceived risks' Insurance and early warning
4 systems are examples of risk management, but risk can also be reduced (or resilience enhanced) through a
5 broad set of options ranging from seed sovereignty, livelihood diversification, to reducing land loss
6 through urban sprawl. Early warning systems support farmer decision making on management strategies
7 (see Section 1.2) and are a good example of an adaptation measure with mitigation co-benefits such as
8 reducing carbon losses (see Section 1.3.6). Primarily designed to avoid yield losses, early warning
9 systems also support fire management strategies in forest ecosystems, which prevents financial as well as
10 carbon losses (de Groot et al. 2015). Given that over recent decades on average around 10% of cereal
11 production was lost through extreme weather events (Lesk et al. 2016), where available and affordable,
12 insurance can buffer farmers and foresters against the financial losses incurred through such weather and
13 other (fire, pests) extremes (Falco et al. 2014)(see Section 7.2, 7.4). Decisions to take up insurance are
14 influenced by a range of factors such as the removal of subsidies or targeted education (Falco et al. 2014).
15 Enhancing access and affordability of insurance in low-income countries is a specific objective of the
16 UNFCCC (Linnerooth-Bayer and Mechler 2006). A global mitigation co-benefit of insurance schemes
17 may also include incentives for future risk reduction (Surminski and Oramas-Dorta 2014).

18 **1.3.5 Economics of land-based mitigation pathways: Costs versus benefits of early action** 19 **under uncertainty**

20 The overarching societal costs associated with GHG emissions and the potential implications of
21 mitigation activities can be measured by various metrics (cost-benefit analysis, cost effectiveness
22 analysis) at different scales (project, technology, sector or the economy) (IPCC 2018; section 1.4). The
23 Social Cost of Carbon (SCC), measures the total net damages of an extra metric ton of CO₂ emissions due
24 to the associated climate change (Nordhaus 2014; Pizer et al. 2014). Both negative and positive impacts
25 are monetised and discounted to arrive at the net value of consumption loss. As the SCC depends on
26 discount rate assumptions and value judgements (e.g., relative weight given to current vs. future
27 generations), it is not a straightforward policy tool to compare alternative options. At the sectoral level,
28 marginal abatement cost curves (MACCs) are widely used for the assessment of costs related to GHG
29 emissions reduction. MACCs measure the cost of reducing one more GHG unit and are either expert-
30 based or model-derived and offer a range of approaches and assumptions on discount rates or available
31 abatement technologies (Kesicki 2013). In land-based sectors, Gillingham and Stock (2018) reported
32 short term static abatement costs for afforestation of between 1 and 10 USD 2017/tCO₂, soil management
33 at 57 and livestock management at 71 USD 2017/tCO₂. MACCs are more reliable when used to rank
34 alternative options compared to a baseline (or business as usual) rather than offering absolute numerical
35 measures (Huang et al. 2016). The economics of land-based mitigation options encompass also the "costs
36 of inaction" that arise either from the economic damages due to continued accumulation of GHGs in the
37 atmosphere and from the diminution in value of ecosystem services or the cost of their restoration where
38 feasible (Rodriguez-Labajos 2013; Ricke et al. 2018). Overall, it remains challenging to estimate the costs
39 of alternative mitigation options owing to the context- and scale specific interplay between multiple
40 drivers (technological, economic, and socio-cultural) and enabling policies and institutions (IPCC
41 2018)(section 1.4).

42 The costs associated with mitigation (both project-linked such as capital costs or land rental rates or
43 sometimes social costs) generally increase with stringent mitigation targets and over time. Sources of
44 uncertainty include the future availability, cost and performance of technologies (Rosen and Guenther

1 2015; Chen et al. 2016) or lags in decision making, which have been demonstrated by the uptake of land
2 use and land utilisation policies (Alexander et al. 2013; Hull et al. 2015; Brown et al. 2018b). There is
3 growing evidence of significant mitigation gains through conservation, restoration and improved land
4 management practices (Griscom et al. 2017; Kindermann et al. 2008; Golub et al. 2013; Favero et al.
5 2017)(see Chapter 4 and Chapter 6), but the mitigation cost efficiency can vary according to region and
6 specific ecosystem (Albanito et al. 2016). Recent model developments that treat process-based, human-
7 environment interactions have recognised feedbacks that reinforce or dampen the original stimulus for
8 land use change (Robinson et al. 2017; Walters and Scholes 2017). For instance, land mitigation
9 interventions that rely on large-scale, land use change (i.e., afforestation) would need to account for the
10 rebound effect (which dampens initial impacts due to feedbacks) in which raising land prices also raises
11 the cost of land-based mitigation (Vivanco et al. 2016). Although there are few direct estimates, indirect
12 assessments strongly point to much higher costs if action is delayed or limited in scope (*medium*
13 *confidence*). Quicker response options are also needed to avoid loss of high-carbon ecosystems and other
14 vital ecosystem services that provide multiple services that are difficult to replace (peatlands, wetlands,
15 mangroves, forests) (Yirdaw et al. 2017; Pedrozo-Acuña et al. 2015). Delayed action would raise relative
16 costs in the future or could make response options less feasible (Goldstein et al. 2019; Butler et al.
17 2014)(*medium confidence*).

18 **1.3.6 Adaptation measures and scope for co-benefits with mitigation**

19 Adaptation and mitigation have generally been treated as two separate discourses, both in policy and
20 practice with mitigation addressing cause and adaptation dealing with the consequences of climate change
21 (Hennessey et al. 2017). While adaptation (e.g., reducing flood risks) and mitigation (e.g., reducing non-
22 CO₂ emissions from agriculture) may have different objectives and operate at different scales, they can
23 also generate joint outcomes (Locatelli et al. 2015b) with adaptation generating mitigation co-benefits.
24 Seeking to integrate strategies for achieving adaptation and mitigation goals is attractive in order to
25 reduce competition for limited resources and trade-offs (Lobell et al. 2013; Berry et al. 2015; Kongsager
26 and Corbera 2015). Moreover, determinants that can foster adaptation and mitigation practices are
27 similar. These tend to include available technology and resources, and credible information for policy
28 makers to act on (Yohe 2001).

29 Four sets of mitigation-adaptation interrelationships can be distinguished: 1) mitigation actions that can
30 result in adaptation benefits; 2) adaptation actions that have mitigation benefits; 3) processes that have
31 implications for both adaptation and mitigation; 4) strategies and policy processes that seek to promote an
32 integrated set of responses for both adaptation and mitigation (Klein et al. 2007). A high level of adaptive
33 capacity is a key ingredient to developing successful mitigation policy. Implementing mitigation action
34 can result in increasing resilience especially if it is able to reduce risks. Yet, mitigation and adaptation
35 objectives, scale of implementation, sector and even metrics to identify impacts tend to differ (Ayers and
36 Huq 2009), and institutional setting, often does not enable an environment where synergies are sought
37 (Kongsager et al. 2016). Trade-offs between adaptation and mitigation exist as well and need to be
38 understood (and avoided) to establish win-win situations (Porter et al. 2014; Kongsager et al. 2016).

39 Forestry and agriculture offer a wide range of lessons for the integration of adaptation and mitigation
40 actions given the vulnerability of forest ecosystems or cropland to climate variability and change (Keenan
41 2015; Gaba et al. 2015)(see Section 5.6, 4.8). Increasing adaptive capacity in forested areas has the
42 potential to prevent deforestation and forest degradation (Locatelli et al. 2011). Reforestation projects, if
43 well managed, can increase community economic opportunities that encourage conservation (Nelson and
44 de Jong 2003), build capacity through training of farmers and installation of multifunctional plantations

1 with income generation (Reyer et al. 2009), strengthen local institutions (Locatelli et al. 2015a) and
2 increase cash-flow to local forest stakeholders from foreign donors (West 2016). A forest plantation that
3 sequesters carbon for mitigation can also reduce water availability to downstream populations and
4 heighten their vulnerability to drought. Inversely, not recognising mitigation in adaptation projects may
5 yield adaptation measures that increase greenhouse gas emissions, a prime example of ‘maladaptation’.
6 Analogously, ‘mal-mitigation’ would result in reducing greenhouse gas emissions, but increasing
7 vulnerability (Barnett and O’Neill 2010; Porter et al. 2014). For instance, the cost of pursuing large scale
8 adaptation and mitigation projects has been associated with higher failure risks, onerous transactions costs
9 and the complexity of managing big projects (Swart and Raes 2007).

10 Adaptation encompasses both biophysical and socio-economic vulnerability and underlying causes
11 (informational, capacity, financial, institutional, and technological; Huq et al. 2014) and it is increasingly
12 linked to resilience and to broader development goals (Huq et al. 2014). Adaptation measures can
13 increase performance of mitigation projects under climate change and legitimise mitigation measures
14 through the more immediately felt effects of adaptation (Locatelli et al. 2011; Campbell et al. 2014;
15 Locatelli et al. 2015b). Effective climate policy integration in the land sector is expected to gain from 1)
16 internal policy coherence between adaptation and mitigation objectives, 2) external climate coherence
17 between climate change and development objectives, 3) policy integration that favours vertical
18 governance structures to foster effective mainstreaming of climate change into sectoral policies, and 4)
19 horizontal policy integration through overarching governance structures to enable cross-sectoral co-
20 ordination (see Sections 1.4, 7.4).

21 **1.4 Enabling the response**

22 Climate change and sustainable development are challenges to society that require action at local,
23 national, transboundary and global scales. Different time-perspectives are also important in decision
24 making, ranging from immediate actions to long-term planning and investment. Acknowledging the
25 systemic link between food production and consumption, and land-resources more broadly is expected to
26 enhance the success of actions (Bazilian et al. 2011; Hussey and Pittock 2012). Because of the complexity
27 of challenges and the diversity of actors involved in addressing these challenges, decision making would
28 benefit from a portfolio of policy instruments. Decision making would also be facilitated by overcoming
29 barriers such as inadequate education and funding mechanisms, as well as integrating international
30 decisions into all relevant (sub)national sectoral policies (see Section 7.4).

31 ‘Nexus thinking’ emerged as an alternative to the sector-specific governance of natural resource use to
32 achieve global securities of water (D’Odorico et al. 2018), food and energy (Hoff 2011; Allan et al.
33 2015), and also to address biodiversity concerns (Fischer et al. 2017). Yet, there is no agreed definition of
34 “nexus” nor a uniform framework to approach the concept, which may be land-focused (Howells et al.
35 2013), water-focused (Hoff 2011) or food-centred (Ringler and Lawford 2013; Biggs et al. 2015).
36 Significant barriers remain to establish nexus approaches as part of a wider repertoire of responses to
37 global environmental change, including challenges to cross-disciplinary collaboration, complexity,
38 political economy and the incompatibility of current institutional structures (Hayley et al. 2015; Wichelns
39 2017)(see Section 7.5.6, 7.6.2).

40 **1.4.1 Governance to enable the response**

41 Governance includes the processes, structures, rules and traditions applied by formal and informal actors
42 including governments, markets, organisations, and their interactions with people. Land governance
43 actors include those affecting policies and markets, and those directly changing land use (Hersperger et al.

1 2010). The former includes governments and administrative entities, large companies investing in land,
2 non-governmental institutions and international institutions. It also includes UN agencies that are working
3 at the interface between climate change and land management, such as the FAO and the World Food
4 Programme that have *inter alia* worked on advancing knowledge to support food security through the
5 improvement of techniques and strategies for more resilient farm systems. Farmers and foresters directly
6 act on land (actors in proximate causes) (Hersperger et al. 2010)(see also Chapter 7.).

7 Policy design and formulation has often been strongly sectoral. For example, agricultural policy might be
8 concerned with food security, but have little concern for environmental protection or human health. As
9 food, energy and water security and the conservation of biodiversity rank highly on the Agenda 2030 for
10 Sustainable Development, the promotion of synergies between and across sectoral policies is important
11 (IPBES 2018a). This can also reduce the risks of anthropogenic climate forcing through mitigation, and
12 bring greater collaboration between scientists, policy makers, the private sector and land managers in
13 adapting to climate change (FAO 2015a). Polycentric governance (see Section 7.6) has emerged as an
14 appropriate way of handling resource management problems, in which the decision- making centers take
15 account of one another in competitive and cooperative relationships and have recourse to conflict
16 resolution mechanisms (Carlisle and Gruby 2017). Polycentric governance is also multi-scale and allows
17 the interaction between actors at different levels (local, regional, national, and global) in managing
18 common pool resources such as forests or aquifers.

19 Implementation of systemic, nexus approaches has been achieved through socio-ecological systems (SES)
20 frameworks that emerged from studies of how institutions affect human incentives, actions and outcomes
21 (Ostrom and Cox 2010). Recognition of the importance of SES laid the basis for alternative formulations
22 to tackle the sustainable management of land resources focusing specifically on institutional and
23 governance outcomes (Lebel et al. 2006; Bodin 2017). The SES approach also addresses the multiple
24 scales in which the social and ecological dimensions interact (Veldkamp et al. 2011; Myers et al. 2016;
25 Azizi et al. 2017) (see Section 6.1).

26 Adaptation or resilience pathways within the SES frameworks require several attributes, including
27 indigenous and local knowledge (ILK) and trust building for deliberative decision making and effective
28 collective action, polycentric and multi-layered institutions and responsible authorities that pursue just
29 distributions of benefits to enhance the adaptive capacity of vulnerable groups and communities (Lebel et
30 al. 2006). The nature, source, and mode of knowledge generation are critical to ensure that sustainable
31 solutions are community-owned and fully integrated within the local context (Mistry and Berardi 2016;
32 Schneider and Buser 2018). Integrating ILK with scientific information is a prerequisite for such
33 community-owned solutions (see Cross-Chapter Box 13: ILK, Chapter 7). ILK is context-specific,
34 transmitted orally or through imitation and demonstration, adaptive to changing environments,
35 collectivised through a shared social memory (Mistry and Berardi 2016). ILK is also holistic since
36 indigenous people do not seek solutions aimed at adapting to climate change alone, but instead look for
37 solutions to increase their resilience to a wide range of shocks and stresses (Mistry and Berardi 2016).
38 ILK can be deployed in the practice of climate governance especially at the local level where actions are
39 informed by the principles of decentralisation and autonomy (Chanza and de Wit 2016). ILK need not be
40 viewed as needing confirmation or disapproval by formal science, but rather it can complement scientific
41 knowledge (Klein et al. 2014).

42 The capacity to apply individual policy instruments and policy mixes is influenced by governance modes.
43 These modes include hierarchical governance that is centralised and imposes policy through top-down
44 measures, decentralised governance in which public policy is devolved to regional or local government,

1 public-private partnerships that aim for mutual benefits for the public and private sectors and self or
2 private governance that involves decisions beyond the realms of the public sector (IPBES 2018a). These
3 governance modes provide both constraints and opportunities for key actors that affect the effectiveness,
4 efficiency and equity of policy implementation.

5 **1.4.2 Gender agency as a critical factor in climate and land sustainability outcomes**

6 Environmental resource management is not gender neutral. Gender is an essential variable in shaping
7 ecological processes and change, building better prospects for livelihoods and sustainable development
8 (Resurrección 2013)(see Cross-Chapter Box 11: Gender, Chapter 7). Entrenched legal and social
9 structures and power relations constitute additional stressors that render women's experience of natural
10 resources disproportionately negative than men. Socio-economic drivers and entrenched gender
11 inequalities affect land-based management (Agarwal 2010). The intersections between climate change,
12 gender and climate adaptation takes place at multiple scales: household, national, international, and
13 adaptive capacities are shaped through power and knowledge.

14 Germaine to the gender inequities are the unequal access to land-based resources. Women play a
15 significant role in agriculture (Boserup 1989; Darity 1980) and rural economies globally (FAO 2011), but
16 are well below their share of labour in agriculture globally (FAO 2011). In 59% of 161 surveyed
17 countries, customary, traditional and religious practices hinder women land rights (OECD 2014).
18 Moreover, women typically shoulder disproportionate responsibility for unpaid domestic work including
19 care-giving activities (Beuchelt and Badstue 2013) and the provision of water and firewood (UNEP
20 2016). Exposure to violence restricts in large regions their mobility for capacity-building activities and
21 productive work outside the home (Day et al. 2005; UNEP 2016). Large-scale development projects can
22 erode rights, and lead to over-exploitation of natural resources. Hence, there are cases where reforms
23 related to land-based management, instead of enhancing food security, have tended to increase the
24 vulnerability of both women and men and reduce their ability to adapt to climate change (Pham et al.
25 2016). Access to, and control over, land and land-based resources is essential in taking concrete action to
26 land based mitigation, and inadequate access can affect women's rights and participation in land
27 governance and management of productive assets.

28 Timely information, such as from early warning systems, is critical in managing risks, disasters, and land
29 degradation, and in enabling land-based adaptation. Gender, household resources and social status, are all
30 determinants that influence the adoption of land-based strategies (Theriault et al. 2017). Climate change is
31 not a lone driver in the marginalisation of women, their ability to respond swiftly to its impacts will
32 depend on other socio-economic drivers that may help or hinder action towards adaptive governance.
33 Empowering women and removing gender-based inequities constitutes a mechanism for greater
34 participation in the adoption of sustainable practices of land management (Mello and Schmink 2017).
35 Improving women's access to land (Arora-Jonsson 2014) and other resources (water) and means of
36 economic livelihoods (such as credit and finance) are the prerequisites to enable women to participate in
37 governance and decision-making structures (Namubiru-Mwaura 2014). Still women are not a
38 homogenous group, and distinctions through elements of ethnicity, class, age and social status, require a
39 more nuanced approach and not a uniform treatment through vulnerability lenses only. An intersectional
40 approach that accounts for various social identifiers under different situation of power (Rao 2017) is
41 considered suitable to integrate gender into climate change research and helps to recognise overlapping
42 and interdependent systems of power (Djoudi et al. 2016; Kaijser and Kronsell 2014; Moosa and Tuana
43 2014; Thompson-Hall et al. 2016).

1 **1.4.3 Policy Instruments**

2 Policy instruments enable governance actors to respond to environmental and societal challenges through
3 policy action. Examples of the range of policy instruments available to public policy-makers is discussed
4 below based on four categories of instruments: legal and regulatory instruments, rights-based instruments
5 and customary norms, economic and financial instruments and social and cultural instruments.

6 **1.4.3.1 Legal & regulatory instruments**

7 Legal and regulatory instruments deal with all aspects of intervention by public policy organisations to
8 correct market failures, expand market reach, or intervene in socially relevant areas with inexistent
9 markets. Such instruments can include legislation to limit the impacts of intensive land management, for
10 example, protecting areas that are susceptible to nitrate pollution or soil erosion. Such instruments can
11 also set standards or threshold values, for example, mandated water quality limits, organic production
12 standards, or geographically defined regional food products. Legal and regulatory instruments may also
13 define liability rules, for example, where environmental standards are not met, as well as establishing
14 long-term agreements for land resource protection with land owners and land users.

15 **1.4.3.2 Economic and financial instruments**

16 Economic (such as taxes, subsidies) and financial (weather-index insurance) instruments deal with the
17 many ways in which public policy organisations can intervene in markets. A number of instruments are
18 available to support climate mitigation actions including public provision, environmental regulations,
19 creating property rights and markets, using markets (Sterner 2003). Market-based policies such as carbon
20 taxes, fuel taxes, cap and trade systems or green payments have been promoted (mostly in industrial
21 economies) to encourage markets and businesses to contribute to climate mitigation, but their
22 effectiveness to date has not always matched expectations (Grolleau et al. 2016) (see Section 7.4.4).
23 Market-based instruments in ecosystem services generate both positive (incentives for conservation), but
24 also negative environmental impacts, and also push food prices up or increase price instability (Gómez-
25 Baggethun and Muradian 2015; Farley and Voinov 2016). Footprint labels can be an effective means of
26 shifting consumer behaviour. However, private labels focusing on a single metric (e.g., carbon) may give
27 misleading signals if they target a portion of the life cycle (e.g., transport) (Appleton 2009) or ignore
28 other ecological indicators (water, nutrients, biodiversity)(van Noordwijk and Brussaard 2014).

29 Effective and durable, market-led responses for climate mitigation depend on business models that
30 internalise the cost of emissions into economic calculations. Such “business transformation” would itself
31 require integrated policies and strategies that aim to account for emissions in economic activities (Biagini
32 and Miller 2013; Weitzman 2014; Eidelwein et al. 2018). International initiatives such as REDD+ and
33 agricultural commodity roundtables (beef, soybeans, palm oil, sugar) are expanding the scope of private
34 sector participation in climate mitigation (Nepstad et al. 2013), but their impacts have not always been
35 effective (Denis et al. 2014). Payments for environmental services (PES) defined as “*voluntary*
36 *transactions between service users and service providers that are conditional on agreed rules of natural*
37 *resource management for generating offsite services*” (Wunder 2015) have not been widely adopted and
38 have not yet been demonstrated to deliver as effectively as originally hoped (Börner et al. 2017)(see
39 Sections 7.4, 7.5). PES in forestry were shown to be effective only when coupled with appropriate
40 regulatory measures (Alix-Garcia and Wolff 2014). Better designed and expanded PES schemes would
41 encourage integrated soil-water-nutrient management packages (Stavi et al. 2016), services for pollinator
42 protection (Nicole 2015), water use governance under scarcity and engage both public and private actors
43 (Loch et al. 2013). Effective PES also requires better economic metrics to account for human-directed

1 losses in terrestrial ecosystems and to food potential, and to address market failures or externalities
2 unaccounted for in market valuation of ecosystem services.

3 Resilient strategies for climate adaptation can rely on the construction of markets through social networks
4 as in the case of livestock systems (Denis et al. 2014) or when market signals encourage adaptation
5 through land markets or supply chain incentives for sustainable land management practices (Anderson et
6 al. 2018). Adequate policy (through regulations, investments in research and development or support to
7 social capabilities) can support private initiatives for effective solutions to restore degraded lands (Reed
8 and Stringer 2015), or mitigate against risk and to avoid shifting risks to the public (Biagini and Miller
9 2013). Governments, private business, and community groups could also partner to develop sustainable
10 production codes (Chartres and Noble 2015), and in co-managing land-based resources (Baker and
11 Chapin 2018), while private-public partnerships can be effective mechanisms in deploying infrastructure
12 to cope with climatic events (floods) and for climate-indexed insurance (Kunreuther 2015). Private
13 initiatives that depend on trade for climate adaptation and mitigation require reliable trading systems that
14 do not impede climate mitigation objectives (Elbehri et al 2015; Mathews 2017).

15 ***1.4.3.3 Rights-based instruments and customary norms***

16 Rights-based instruments and customary norms deal with the equitable and fair management of land
17 resources for all people (IPBES 2018a). These instruments emphasise the rights in particular of
18 indigenous peoples and local communities, including for example, recognition of the rights embedded in
19 the access to, and use of, common land. Common land includes situations without legal ownership (e.g.,
20 hunter-gathering communities in south America or Africa and bushmeat), where the legal ownership is
21 distinct from usage rights (Mediterranean transhumance grazing systems), or mixed ownership-common
22 grazing systems (e.g., Crofting in Scotland). A lack of formal (legal) ownership has often led to the loss
23 of access rights to land, where these rights were also not formally enshrined in law, which especially
24 effects indigenous communities, for example, deforestation in the Amazon basin. Overcoming the
25 constraints associated with common-pool resources (forestry, fisheries, water) are often of economic and
26 institutional nature (Hinkel et al. 2014) and require tackling the absence or poor functioning of institutions
27 and the structural constraints that they engender through access and control levers using policies and
28 markets and other mechanisms (Schut et al. 2016). Other examples of rights-based instruments include
29 the protection of heritage sites, sacred sites and peace parks (IPBES 2018a). Rights-based instruments and
30 customary norms are consistent with the aims of international and national human rights, and the critical
31 issue of liability in the climate change problem.

32 ***1.4.3.4 Social and cultural norms***

33 Social and cultural instruments are concerned with the communication of knowledge about conscious
34 consumption patterns and resource-effective ways of life through awareness raising, education and
35 communication of the quality and the provenance of land-based products. Examples of the latter include
36 consumption choices aided by ecolabelling (see 1.4.3.2) and certification. Cultural indicators (such as
37 social capital, cooperation, gender equity, women's knowledge, socio-ecological mobility) contribute to
38 the resilience of social-ecological systems (Sterling et al. 2017). Indigenous communities (such as the
39 Inuit and Tsleil Waututh Nation in Canada) that continue to maintain traditional foods exhibit greater
40 dietary quality and adequacy (Sheehy et al. 2015). Social and cultural instruments also include approaches
41 to self-regulation and voluntary agreements, especially with respect to environmental management and
42 land resource use. This is becoming especially important in the increasingly important domain of
43 corporate social responsibility (Halkos and Skouloudis 2016).

1 **1.5 The interdisciplinary nature of the SRCCL**

2 Assessing the land system in view of the multiple challenges that are covered by the SRCCL requires a
3 broad, inter-disciplinary perspective. Methods, core concepts and definitions are used differently in
4 different sectors, geographic regions, and across academic communities addressing land systems, and
5 these concepts and approaches to research are also undergoing a change in their interpretation through
6 time. These differences reflect varying perspectives, in nuances or emphasis, on land as component of the
7 climate and socio-economic systems. Because of its inter-disciplinary nature, the SRCCL can take
8 advantage of these varying perspectives and the diverse methods that accompany them. That way, the
9 report aims to support decision makers across sectors and world regions in the interpretation of its main
10 findings and support the implementation of solutions.

11

12 **Frequently Asked Questions**

13 **FAQ 1.1 What are the approaches to study the interactions between land and climate?**

14 Climate changes shapes the way land is able to support supply of food and water for humans. At the same
15 time the land surface interacts with the overlying atmosphere, thus human modifications of land use, land
16 cover and urbanisation affect global, regional and local climate. The complexity of the land-climate
17 interactions requires multiple study approaches embracing different spatial and temporal scales.
18 Observations of land atmospheric exchanges, such as of carbon, water, nutrients and energy can be
19 carried out at leaf level and soil with gas exchange systems, or at canopy scale by means of
20 micrometeorological techniques (i.e. eddy covariance). At regional scale, atmospheric measurements by
21 tall towers, aircraft and satellites can be combined with atmospheric transport models to obtain spatial
22 explicit maps of relevant greenhouse gases fluxes. At longer temporal scale (> 10 years) other approaches
23 are more effective such as tree ring chronologies, satellite records, population and vegetation dynamics
24 and isotopic studies. Models are important to bring information from measurement together and to extend
25 the knowledge in space and time, including the exploration of scenarios of future climate-land
26 interactions.

27

28 **FAQ 1.2 How region-specific are the impact of different land-based adaptation and mitigation 29 options?**

30 Land based adaptation and mitigation options are closely related to regional specific features for several
31 reasons. Climate change has a definite regional pattern with some regions already suffering from
32 enhanced climate extremes and others being impacted little, or even benefiting. From this point of view
33 increasing confidence in regional climate change scenarios is becoming a critical step forward towards the
34 implementation of adaptation and mitigation options. Biophysical and socio-economic impacts of climate
35 change depend on the exposures of natural ecosystems and economic sectors, which are again specific to
36 a region, reflecting regional sensitivities due to governance. The overall responses in terms of adaptation
37 or mitigation capacities to avoid and reduce vulnerabilities and enhance adaptive capacity, depend on
38 institutional arrangements, socio-economic conditions, and implementation of policies, many of them
39 having definite regional features. However global drivers, such as agricultural demand, food prices,
40 changing dietary habits associated with rapid social transformations (i.e. urban versus rural, meat versus

1 vegetarian) may interfere with regional specific policies for mitigation and adaptation options and require
2 the global level to be addressed.

3
4 **FAQ 1.3 What is the difference between desertification and land degradation? And where are they**
5 **happening?**

6 The difference between land degradation and desertification is geographic. Land degradation is a general
7 term used to describe a negative trend in land condition caused by direct or indirect human-induced
8 processes (including anthropogenic climate change). Degradation can be identified by the long-term
9 reduction or loss in biological productivity, ecological integrity or value to humans. Desertification is land
10 degradation when it occurs in arid, semi-arid, and dry sub-humid areas, which are also called drylands.
11 Contrary to some perceptions, desertification is not the same as the expansion of deserts. Desertification
12 is also not limited to irreversible forms of land degradation.

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2 **Supplementary Material**3 **Table SM.1.1 Observations related to variables indicative of land management, and their**
4 **uncertainties**

LM-related process	Observations methodology	Scale of observations (space and time)	Uncertainties ²	Pros and cons	Select literature
GHG emissions	Micrometeorological fluxes (CO ₂)	1-10 ha 0.5hr- >10 y	5-15%	<u>Pros</u> Larger footprints Continuous monitoring Less disturbance on monitored system	(Richardson et al. 2006; Luysaert et al. 2007; Foken and Napo 2008; Mauder et al. 2013; Peltola et al. 2014; Wang et al. 2015;
	Micrometeorological fluxes (CH ₄)		10-40%	Detailed protocols	Rannik et al. 2015;
	Micrometeorological fluxes (N ₂ O)		20-50%	<u>Cons</u> Limitations by fetch and turbulence scale Not all trace gases	Campioli et al. 2016; Rannik et al. 2016; Wang et al. 2017a; Brown and Wagner-Riddle 2017; Desjardins et al. 2018)
	Soil chambers (CO ₂)	0.01-1 ha 0.5hr - 1 y	5%-15%	<u>Pros</u> Relatively inexpensive	(Vargas and Allen 2008; Lavoie et al. 2015; Barton et al. 2015; Dossa et al. 2015;
	Soil chambers (CH ₄)		5% - 25%	Possibility of manipulation experiments	Ogle et al. 2016;
	Soil chambers (N ₂ O)		53%- 100% ³	Large range of trace gases	Pirk et al. 2016; Morin et al. 2017;
				<u>Cons</u> Smaller footprint Complicate upscaling Static pressure interference	Lammirato et al. 2018)
	Atmospheric inversions (CO ₂)	Regional 1->10 y	50%	<u>Pros</u> Integration on large scale	(Wang et al. 2017b)
	Atmospheric inversions		3-8%	Attribution detection (with	(Pison et al. 2018)

² FOOTNOTE: Uncertainty here is defined as the coefficient of variation CV. In the case of micrometeorological fluxes they refer to random errors and CV of daily average

³ FOOTNOTE: > 100 for fluxes less than 5g N₂O-N ha⁻¹ d⁻¹

(CH ₄)				14C)	
Carbon balance	Soil carbon point measurements	0.01ha-1ha >5 y	5-20%	Rigorously derived uncertainty <u>Cons</u> Not suited at farm scale Large high precision observation network required <u>Pros</u> Easy protocol Well established analytics <u>Cons</u> Need high number of samples for upscaling Detection limit is high	(Chiti et al. 2018; Castaldi et al. 2018; Chen et al. 2018; Deng et al. 2018)
	Biomass measurements	0.01ha – 1ha 1-5 y	2-8%	<u>Pros</u> Well established allometric equations High accuracy at plot level <u>Cons</u> Difficult to scale up Labour intensive	(Pelletier et al. 2012; Henry et al. 2015; Vanguelova et al. 2016; Djomo et al. 2016; Forrester et al. 2017; Xu et al. 2017; Marziliano et al. 2017; Clark et al. 2017; Disney et al. 2018; Urbazaev et al. 2018; Paul et al. 2018)
Water balance	Soil moisture (IoT sensors, Cosmic rays, Thermo-optical sensing etc.)	0.01ha – regional 0.5hr- <1y	3-5% vol	<u>Pros</u> New technology Big data analytics Relatively inexpensive <u>Cons</u> Scaling problems	(Yu et al. 2013; Zhang and Zhou 2016; Iwata et al. 2017; McJannet et al. 2017; Karthikeyan et al. 2017; Iwata et al. 2017; Cao et al. 2018; Amaral et al. 2018; Moradizadeh and Saradjian 2018; Strati et al. 2018)
	Evapotranspiration	0.01ha – Regional 0.5hr- >10y	10-20%	<u>Pros</u> Well established methods Easy integration in models and DSS <u>Cons</u> Partition of fluxes need additional measurements	(Zhang et al. 2017; Papadimitriou et al. 2017; Kaushal et al. 2017; Valayamkunnath et al. 2018; Valayamkunnath et al. 2018; Tie et al. 2018; Wang et al. 2018)
Soil Erosion	Sediment transport	1 ha – Regional 1d - >10y	-21-34%	<u>Pros</u> Long history of	(Efthimiou 2018; García-Barrón et al.

				methods	2018; Fiener et al.
				Integrative tools	2018)
				<u>Cons</u>	
				Validation is	
				lacking	
				Labour intensive	
Land cover	Satellite	0.01ha – Regional 1d - >10y	16 - 100%	<u>Pros</u>	(Olofsson et al. 2014;
				Increasing platforms	Liu et al. 2018; Yang
				available	et al. 2018)
				Consolidated	
				algorithms	
				<u>Cons</u>	
				Need validation	
				Lack of common	
				Land Use	
				definitions	

1

2

3

1 **Table SM. 1.2 Possible uncertainties decision making faces** (following (Hansson and Hadorn 2016))

2

Type	Knowledge gaps	Understanding the uncertainties
Uncertainty of consequences	Do the model(s) adequately represent the target system? What are the numerical values of input parameters, boundary conditions, or initial conditions? What are all potential events that we would take into account if we were aware of them? Will future events relevant for our decisions, including expected impacts from these decisions, in fact take place?	Ensemble approaches; downscaling Benchmarking, sensitivity analyses Scenario approaches
Moral uncertainty	How to (ethically) evaluate the decisions? What values to base the decision on (→ often unreliable ranking of values not doing justice to the range of values at stake, cp. Sen 1992), including choice of discount rate, risk attitude (risk aversion, risk neutral, ...) Which ethical principles? (i.e. utilitarian, deontic, virtue, or other?)	Possibly scenario analysis Identification of lock-in effects and path-dependency (e.g. Kinsley et al 2016)
Uncertainty of demarcation	What are the options that we can actually choose between? (not fully known because “decision costs” may be high, or certain options are not “seen” as they are outside current ideologies). How can the mass of decisions divided into individual decisions? e.g. how this influences international negotiations and the question who does what and when (cp. Hammond et al. 1999).	Possibly scenario analysis
Uncertainty of consequences & uncertainty of demarcation	What effects does a decision have when combined with the decision of others? (e.g. other countries may follow the inspiring example in climate reduction of country X, or they use it solely in their own economic interest)	Games
Uncertainty of demarcation & moral uncertainty	How would we decide in the future? (Spohn 1977; Rabinowicz 2002)	

3

4 **References SM1**

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