

Featherweight OCL

A Proposal for a Machine-Checked Formal Semantics for OCL 2.5

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Abstract

The Unified Modeling Language (UML) is one of the few modeling languages that is widely used in industry. While UML is mostly known as diagrammatic modeling language (e.g., visualizing class models), it is complemented by a textual language, called Object Constraint Language (OCL). OCL is a textual annotation language, originally based on a three-valued logic, that turns UML into a formal language. Unfortunately the semantics of this specification language, captured in the “Annex A” of the OCL standard, leads to different interpretations of corner cases. Many of these corner cases had been subject to formal analysis since more than ten years.

The situation complicated with the arrival of version 2.3 of the OCL standard. OCL was aligned with the latest version of UML: this led to the extension of the three-valued logic by a second exception element, called `null`. While the first exception element `invalid` has a strict semantics, `null` has a non strict interpretation. The combination of these semantic features lead to remarkable confusion for implementors of OCL compilers and interpreters.

In this paper, we provide a formalization of the core of OCL in HOL. It provides denotational definitions, a logical calculus and operational rules that allow for the execution of OCL expressions by a mixture of term rewriting and code compilation. Moreover, we describe a coding-scheme for UML class models that were annotated by code-invariants and code contracts. An implementation of this coding-scheme has been undertaken: it consists of a kind of compiler that takes a UML class model and translates it into a family of definitions and derived theorems over them capturing the properties of constructors and selectors, tests and casts resulting from the class model. However, this compiler is *not* included in this document.

Our formalization reveals several inconsistencies and contradictions in the current version of the OCL standard. They reflect a challenge to define and implement OCL tools in a uniform manner. Overall, this document is intended to provide the basis for a machine-checked text “Annex A” of the OCL standard targeting at tool implementors.

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Part I.

Formal Semantics of OCL

0.1. Introduction

The Unified Modeling Language (UML) [30, 31] is one of the few modeling languages that is widely used in industry. UML is defined in an open process by the Object Management Group (OMG), i. e., an industry consortium. While UML is mostly known as diagrammatic modeling language (e. g., visualizing class models), it also comprises a textual language, called Object Constraint Language (OCL) [32]. OCL is a textual annotation language, originally conceived as a three-valued logic, that turns substantial parts of UML into a formal language. Unfortunately the semantics of this specification language, captured in the “Annex A” (originally, based on the work of Richters [33]) of the OCL standard leads to different interpretations of corner cases. Many of these corner cases had been subject to formal analysis since more than nearly fifteen years (see, e. g., [5, 10, 18, 22, 26]).

At its origins [28, 33], OCL was conceived as a strict semantics for undefinedness (e. g., denoted by the element `invalid`¹), with the exception of the logical connectives of type `Boolean` that constitute a three-valued propositional logic. At its core, OCL comprises four layers:

1. Operators (e. g., `_ and _`, `_ + _`) on built-in data structures such as `Boolean`, `Integer`, or typed sets (`Set(_)`).
2. Operators on the user-defined data model (e. g., defined as part of a UML class model) such as accessors, type casts and tests.
3. Arbitrary, user-defined, side-effect-free methods called *queries*,
4. Specification for invariants on states and contracts for operations to be specified via pre- and post-conditions.

Motivated by the need for aligning OCL closer with UML, recent versions of the OCL standard [29, 32] added a second exception element. While the first exception element `invalid` has a strict semantics, `null` has a non strict semantic interpretation. Unfortunately, this extension results in several inconsistencies and contradictions. These problems are reflected in difficulties to define interpreters, code-generators, specification animators or theorem provers for OCL in a uniform manner and resulting incompatibilities of various tools.

For the OCL community, the semantics of `invalid` and `null` as well as many related issues resulted in the challenge to define a consistent version of the OCL standard that is well aligned with the recent developments of the UML. A syntactical and semantical consistent standard requires a major revision of both the informal and formal parts of the standard. To discuss the future directions of the standard, several OCL experts met in November 2013 in Aachen to discuss possible mid-term improvements of OCL, strategies of standardization of OCL within the OMG, and a vision for possible long-term developments of the language [14]. During this meeting, a Request for Proposals (RFP) for OCL 2.5 was finalized and meanwhile proposed. In particular, this RFP requires that the future OCL 2.5 standard document shall be generated from a machine-checked source. This will ensure

- the absence of syntax errors,
- the consistency of the formal semantics,
- a suite of corner-cases relevant for OCL tool implementors.

In this document, we present a formalization using Isabelle/HOL [27] of a core language of OCL. The semantic theory, based on a “shallow embedding”, is called *Featherweight OCL*, since it focuses on a formal treatment of the key-elements of the language (rather than a full treatment of all operators and thus, a “complete” implementation). In contrast to full OCL, it comprises just the logic captured in `Boolean`, the basic data types `Integer` `Real` and `String`, the collection types `Set`, `Sequence` and `Bag`, as well as the generic construction principle of class models, which is instantiated and demonstrated for two examples (an automated support for this type-safe construction is out of the scope of Featherweight

¹In earlier versions of the OCL standard, this element was called `OclUndefined`.

OCL). This formal semantics definition is intended to be a proposal for the standardization process of OCL 2.5, which should ultimately replace parts of the mandatory part of the standard document [32] as well as replace completely its informative “Annex A.”

The semantic definitions are in large parts executable, in some parts only provable, namely the essence of Set-and Bag-constructions. The first goal of its construction is *consistency*, i. e., it should be possible to apply logical rules and/or evaluation rules for OCL in an arbitrary manner always yielding the same result. Moreover, except in pathological cases, this result should be unambiguously defined, i. e., represent a value.

To motivate the need for logical consistency and also the magnitude of the problem, we focus on one particular feature of the language as example: **Tuples**. Recall that tuples (in other languages known as *records*) are n -ary Cartesian products with named components, where the component names are used also as projection functions: the special case `Pair{x:First, y:Second}` stands for the usual binary pairing operator `Pair{true,null}` and the two projection functions `x.First()` and `x.Second()`. For a developer of a compiler or proof-tool (based on, say, a connection to an SMT solver designed to animate OCL contracts) it would be natural to add the rules `Pair{X,Y}.First() = X` and `Pair{X,Y}.Second() = Y` to give pairings the usual semantics. At some place, the OCL Standard requires the existence of a constant symbol `invalid` and requires all operators to be strict. To implement this, the developer might be tempted to add a generator for corresponding strictness axioms, producing among hundreds of other rules `Pair{invalid,Y}=invalid`, `Pair{X,invalid}=invalid`, `invalid.First()=invalid`, `invalid.Second()=invalid`, etc. Unfortunately, this “natural” axiomatization of pairing and projection together with strictness is already inconsistent. One can derive:

`Pair{true,invalid}.First() = invalid.First() = invalid`

and:

`Pair{true,invalid}.First() = true`

which then results in the absurd logical consequence that `invalid = true`. Obviously, we need to be more careful on the side-conditions of our rules². And obviously, only a mechanized check of these definitions, following a rigorous methodology, can establish strong guarantees for logical consistency of the OCL language.

This leads us to our second goal of this document: it should not only be usable by logicians, but also by developers of compilers and proof-tools. For this end, we *derived* from the Isabelle definitions also *logical rules* allowing formal interactive and automated proofs on UML/OCL specifications, as well as *execution rules* and *test-cases* revealing corner-cases resulting from this semantics which give vital information for the implementor.

OCL is an annotation language for UML models, in particular class models allowing for specifying data and operations on them. As such, it is a *typed* object-oriented language. This means that it is—like Java or C++—based on the concept of a *static type*, that is the type that the type-checker infers from a UML class model and its OCL annotation, as well as a *dynamic type*, that is the type at which an object is dynamically created³. Types are not only a means for efficient compilation and a support of separation of concerns in programming, there are of fundamental importance for our goal of logical consistency: it is impossible to have sets that contain themselves, i. e., to state Russels Paradox in OCL typed set-theory. Moreover, object-oriented typing means that types there can be in sub-typing relation; technically speaking, this means that they can be *cast* via `oclIsTypeOf(T)` one to the other, and under particular conditions to be described in detail later, these casts are semantically *lossless*, i. e.,

$$(X.oclAsType(C_j).oclAsType(C_i) = X) \quad (0.1)$$

(where C_j and C_i are class types.) Furthermore, object-oriented means that operations and object-types can be grouped to *classes* on which an inheritance relation can be established; the latter induces a sub-type relation between the corresponding types.

²The solution to this little riddle can be found in Section 2.7.

³As side-effect free language, OCL has no object-constructors, but with `oclIsNew()`, the effect of object creation can be expressed in a declarative way.

Here is a feature-list of Featherweight OCL:

- it specifies key built-in types such as `Boolean`, `Void`, `Integer`, `Real` and `String` as well as generic types such as `Pair(T,T')`, `Sequence(T)` and `Set(T)`.
- it defines the semantics of the operations of these types in *denotational form*—see explanation below—, and thus in an unambiguous (and in Isabelle/HOL executable or animatable) way.
- it develops the *theory* of these definitions, i. e., the collection of lemmas and theorems that can be proven from these definitions.
- all types in Featherweight OCL contain the elements `null` and `invalid`; since this extends to `Boolean` type, this results in a four-valued logic. Consequently, Featherweight OCL contains the derivation of the *logic* of OCL.
- collection types may contain `null` (so `Set{null}` is a defined set) but not `invalid` (`Set{invalid}` is just `invalid`).
- Wrt. to the static types, Featherweight OCL is a strongly typed language in the Hindley-Milner tradition. We assume that a pre-process for full OCL eliminates all implicit conversions due to subtyping by introducing explicit casts (e. g., `oclAsType(Class)`).⁴
- Featherweight OCL types may be arbitrarily nested. For example, the expression `Set{Set{1,2}} = Set{Set{2,1}}` is legal and true.
- Featherweight OCL types may be higher-order nested. For example, the expression `\<lambda> X. Set{X} = Set{Set{2,1}}` is legal. Higher-order pattern-matching can be easily extended following the principles in the HOL library, which can be applied also to Featherweight OCL types.
- All objects types are represented in an object universe⁵. The universe construction also gives semantics to type casts, dynamic type tests, as well as functions such as `allInstances()`, or `oclIsNew()`. The object universe construction is conceptually described and demonstrated at an example.
- As part of the OCL logic, Featherweight OCL develops the theory of equality in UML/OCL. This includes the standard equality, which is a computable strict equality using the object references for comparison, and the not necessarily computable logical equality, which expresses the Leibniz principle that ‘equals may be replaced by equals’ in OCL terms.
- Technically, Featherweight OCL is a *semantic embedding* into a powerful semantic meta-language and environment, namely Isabelle/HOL [27]. It is a so-called *shallow embedding* in HOL; this means that types in OCL were mapped one-to-one to types in Isabelle/HOL. Ill-typed OCL specifications can therefore not be represented in Featherweight OCL and a type in Featherweight OCL contains exactly the values that are possible in OCL .

Context. This document stands in a more than fifteen years tradition of giving a formal semantics to the core of UML and its annotation language OCL, starting from Richters [33] and [18, 22, 26], leading to a number of formal, machine-checked versions, most notably HOL-OCL [4, 6, 7, 10] and more recent approaches [15]. All of them have in common the attempt to reconcile the conflicting demands of an industrially used specification language and its various stakeholders, the needs of OMG standardization process and the desire for sufficient logical precision for tool-implementors, in particular from the Formal Methods research community. To discuss the future directions of the standard, several OCL experts met in November 2013 in Aachen to discuss possible mid-term improvements of OCL, strategies of

⁴The details of such a pre-processing are described in [4].

⁵following the tradition of HOL-OCL [7]

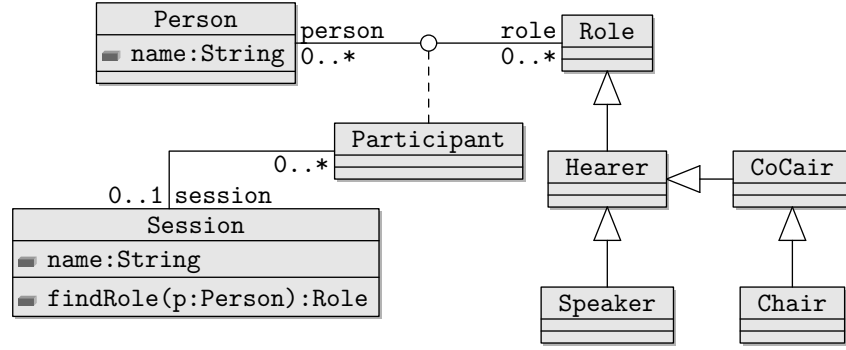


Figure 0.1.: A simple UML class model representing a conference system for organizing conference sessions: persons can participate, in different roles, in a session.

standardization of OCL within the OMG, and a vision for possible long-term developments of the language [14]. The participants agreed that future proposals for a formal semantics should be machine-check, to ensure the absence of syntax errors, the consistency of the formal semantics, as well as provide a suite of corner-cases relevant for OCL tool implementors.

Organization of this document. This document is organized as follows. After a brief background section introducing a running example and basic knowledge on Isabelle/HOL and its formal notations, we present the formal semantics of Featherweight OCL introducing:

1. A conceptual description of the formal semantics, highlighting the essentials and avoiding the definitions in detail.
2. A detailed formal description. This covers:
 - a) OCL Types and their presentation in Isabelle/HOL,
 - b) OCL Terms, i. e., the semantics of library operators, together with definitions, lemmas, and test cases for the implementor,
 - c) UML/OCL Constructs, i. e., a core of UML class models plus user-defined constructions on them such as class-invariants and operation contracts.
3. Since the latter, i. e., the construction of UML class models, has to be done on the meta-level (so not *inside* HOL, rather on the level of a pre-compiler), we will describe this process with two larger examples, namely formalizations of our running example.

0.2. Background

0.2.1. A Running Example for UML/OCL

The Unified Modeling Language (UML) [30, 31] comprises a variety of model types for describing static (e. g., class models, object models) and dynamic (e. g., state-machines, activity graphs) system properties. One of the more prominent model types of the UML is the *class model* (visualized as *class diagram*) for modeling the underlying data model of a system in an object-oriented manner. As a running example, we model a part of a conference management system. Such a system usually supports the conference organizing process, e. g., creating a conference Website, reviewing submissions, registering attendees, organizing the different sessions and tracks, and indexing and producing the resulting proceedings. In this example, we constrain ourselves to the process of organizing conference sessions; Figure 0.1 shows the class model. We model the hierarchy of roles of our system as a hierarchy of classes (e. g., *Hearer*, *Speaker*, or *Chair*) using an *inheritance* relation (also called *generalization*).

In particular, *inheritance* establishes a *subtyping* relationship, i.e., every **Speaker** (*subclass*) is also a **Hearer** (*superclass*).

A class does not only describe a set of *instances* (called *objects*), i.e., record-like data consisting of *attributes* such as **name** of class **Session**, but also *operations* defined over them. For example, for the class **Session**, representing a conference session, we model an operation **findRole(p:Person):Role** that should return the role of a **Person** in the context of a specific session; later, we will describe the behavior of this operation in more detail using UML. In the following, the term object describes a (run-time) instance of a class or one of its subclasses.

Relations between classes (called *associations* in UML) can be represented in a class diagram by connecting lines, e.g., **Participant** and **Session** or **Person** and **Role**. Associations may be labeled by a particular constraint called *multiplicity*, e.g., **0..*** or **0..1**, which means that in a relation between participants and sessions, each **Participant** object is associated to at most one **Session** object, while each **Session** object may be associated to arbitrarily many **Participant** objects. Furthermore, associations may be labeled by projection functions like **person** and **role**; these implicit function definitions allow for OCL-expressions like **self.person**, where **self** is a variable of the class **Role**. The expression **self.person** denotes persons being related to the specific object **self** of type **role**. A particular feature of the UML are *association classes* (**Participant** in our example) which represent a concrete tuple of the relation within a system state as an object; i.e., associations classes allow also for defining attributes and operations for such tuples. In a class diagram, association classes are represented by a dotted line connecting the class with the association. Associations classes can take part in other associations. Moreover, UML supports also *n*-ary associations (not shown in our example).

We refine this data model using the Object Constraint Language (OCL) for specifying additional invariants, preconditions and postconditions of operations. For example, we specify that objects of the class **Person** are uniquely determined by the value of the **name** attribute and that the attribute **name** is not equal to the empty string (denoted by **''**):

```
context Person
  inv: name <> '' and
      Person::allInstances()->isUnique(p:Person | p.name)
```

Moreover, we specify that every session has exactly one chair by the following invariant (called **onlyOneChair**) of the class **Session**:

```
context Session
  inv onlyOneChair: self.participants->one( p:Participant |
      p.role.oclIsTypeOf(Chair))
```

where **p.role.oclIsTypeOf(Chair)** evaluates to true, if **p.role** is of *dynamic type* **Chair**. Besides the usual *static types* (i.e., the types inferred by a static type inference), objects in UML and other object-oriented languages have a second *dynamic type* concept. This is a consequence of a family of *casting functions* (written $o[C]$ for an object *o* into another class type *C*) that allows for converting the static type of objects along the class hierarchy. The dynamic type of an object can be understood as its “initial static type” and is unchanged by casts. We complete our example by describing the behavior of the operation **findRole** as follows:

```
context Session::findRole(person:Person):Role
  pre: self.participates.person->includes(person)
  post: result=self.participants->one(p:Participant |
      p.person = person ).role
      and self.participants = self.participants@pre
      and self.name = self.name@pre
```

where in post-conditions, the operator **@pre** allows for accessing the previous state. Note that:

```
pre: self.participates.person->includes(person)
```

is actually a syntactic abbreviation for a constraint referring to the previous state:

```
self.participates@pre.person@pre->includes(person).
```

Note, further, that conventions for full-OCIL permit the suppression of the `self`-parameter, following similar syntactic conventions in other object-oriented languages such as Java:

```
context Session::findRole(person:Person):Role
pre: participates.person->includes(person)
post: result=participants->one(p:Participant |
      p.person = person ).role
      and participants = participants@pre
      and name = name@pre
```

In UML, classes can contain attributes of the type of the defining class. Thus, UML can represent (mutually) recursive datatypes. Moreover, OCL introduces also recursively specified operations.

A key idea of defining the semantics of UML and extensions like SecureUML [11] is to translate the diagrammatic UML features into a combination of more elementary features of UML and OCL expressions [20]. For example, associations (i.e., relations on objects) can be implemented in specifications at the design level by aggregations, i.e., collection-valued class attributes together with OCL constraints expressing the multiplicity. Thus, having a semantics for a subset of UML and OCL is tantamount for the foundation of the entire method.

0.2.2. Formal Foundation

A Gentle Introduction to Isabelle

Isabelle [27] is a *generic* theorem prover. New object logics can be introduced by specifying their syntax and natural deduction inference rules. Among other logics, Isabelle supports first-order logic, Zermelo-Fraenkel set theory and the instance for Church's higher-order logic (HOL).

The core language of Isabelle is a typed λ -calculus providing a uniform term language T in which all logical entities were represented:⁶

$$T ::= C \mid V \mid \lambda V. T \mid T T$$

where:

- C is the set of *constant symbols* like "fst" or "snd" as operators on pairs. Note that Isabelle's syntax engine supports mixfix-notation for terms: " $(_ \implies _) A B$ " or " $(_ + _) A B$ " can be parsed and printed as " $A \implies B$ " or " $A + B$ ", respectively.
- V is the set of *variable symbols* like " x ", " y ", " z ", ... Variables standing in the scope of a λ -operator were called *bound* variables, all others are *free* variables.
- " $\lambda V. T$ " is called λ -abstraction. For example, consider the identity function $\lambda x.x$. A λ -abstraction forms a scope for the variable V .
- $T T'$ is called an *application*.

These concepts are not at all Isabelle specific and can be found in many modern programming languages ranging from Haskell over Python to Java.

Terms were associated to *types* by a set of *type inference rules*⁷; only terms for which a type can be inferred—i.e., for *typed terms*—were considered as legal input to the Isabelle system. The type-terms τ for λ -terms are defined as:⁸

$$\tau ::= TV \mid TV :: \Xi \mid \tau \Rightarrow \tau \mid (\tau, \dots, \tau)TC \quad (0.2)$$

⁶In the Isabelle implementation, there are actually two further variants which were irrelevant for this presentation and are therefore omitted.

⁷Similar to https://en.wikipedia.org/w/index.php?title=Hindley%E2%80%93Milner_type_system&oldid=668548458

⁸Again, the Isabelle implementation is actually slightly different; our presentation is an abstraction in order to improve readability.

- TV is the set of *type variables* like $'\alpha, '\beta, \dots$. The syntactic categories V and TV are disjoint; thus, $'x$ is a perfectly possible type variable.
- Ξ is a set of *type-classes* like *ord*, *order*, *linorder*, \dots . This feature in the Isabelle type system is inspired by Haskell type classes.⁹ A *type class constraint* such as $"\alpha :: \text{order}"$ expresses that the type variable $'\alpha$ may range over any type that has the algebraic structure of a partial ordering (as it is configured in the Isabelle/HOL library).
- The type $'\alpha \Rightarrow '\beta$ denotes the total function space from $'\alpha$ to $'\beta$.
- TC is a set of *type constructors* like $"(' \alpha) \text{list}"$ or $"(' \alpha) \text{tree}"$. Again, Isabelle's syntax engine supports mixfix-notation for type terms: cartesian products $'\alpha \times '\beta$ or type sums $'\alpha + '\beta$ are notations for $(' \alpha, ' \beta)(_ \backslash < \text{times} > _)$ or $(' \alpha, ' \beta)(_ + _)$, respectively. Also null-ary type-constructors like $() \text{bool}, () \text{nat}$ and $() \text{int}$ are possible; note that the parentheses of null-ary type constructors are usually omitted.

Isabelle accepts also the notation $t :: \tau$ as type assertion in the term-language; $t :: \tau$ means " t is required to have type τ ". Note that typed terms *can* contain free variables; terms like $x + y = y + x$ reflecting common mathematical notation (and the convention that free variables are implicitly universally quantified) are possible and common in Isabelle theories.¹⁰

An environment providing Ξ, TC as well as a map from constant symbols C to types (built over these Ξ and TC) is called a *global context*; it provides a kind of signature, i.e., a mechanism to construct the syntactic material of a logical theory.

The most basic (built-in) global context of Isabelle provides just a language to construct logical rules. More concretely, it provides a constant declaration for the (built-in) *meta-level implication* \Longrightarrow allowing to form constructs like $A_1 \Longrightarrow \dots \Longrightarrow A_n \Longrightarrow A_{n+1}$, which are viewed as a *rule* of the form "from assumptions A_1 to A_n , infer conclusion A_{n+1} " and which is written in Isabelle syntax as

$$\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A_{n+1} \quad \text{or, in mathematical notation,} \quad \frac{A_1 \quad \dots \quad A_n}{A_{n+1}}. \quad (0.3)$$

Moreover, the built-in meta-level quantification $\text{Forall}(\lambda x. E \ x)$ (pretty-printed and parsed as $\bigwedge x. E \ x$) captures the usual side-constraints " x must not occur free in the assumptions" for quantifier rules; meta-quantified variables can be considered as "fresh" free variables. Meta-level quantification leads to a generalization of Horn-clauses of the form:

$$\bigwedge x_1, \dots, x_m. \llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A_{n+1}. \quad (0.4)$$

Isabelle supports forward- and backward reasoning on rules. For backward-reasoning, a *proof-state* can be initialized in a given global context and further transformed into others. For example, a proof of ϕ , using the Isar [36] language, will look as follows in Isabelle:

$$\begin{array}{l} \text{lemma label: } \phi \\ \quad \text{apply(case_tac)} \\ \quad \text{apply(simp_all)} \\ \text{done} \end{array} \quad (0.5)$$

This proof script instructs Isabelle to prove ϕ by case distinction followed by a simplification of the resulting proof state. Such a proof state is an implicitly conjoint sequence of generalized Horn-clauses (called *subgoals*) ϕ_1, \dots, ϕ_n and a *goal* ϕ . Proof states were usually denoted by:

$$\begin{array}{l} \text{label : } \phi \\ 1. \quad \phi_1 \\ \quad \vdots \\ n. \quad \phi_n \end{array} \quad (0.6)$$

⁹See https://en.wikipedia.org/w/index.php?title=Type_class&oldid=672053941.

¹⁰Here, we assume that $_ + _$ and $_ = _$ are declared constant symbols having type $\text{int} \Rightarrow \text{int} \Rightarrow \text{int}$ and $'\alpha \Rightarrow '\alpha \Rightarrow \text{bool}$, respectively.

Subgoals and goals may be extracted from the proof state into theorems of the form $\llbracket \phi_1; \dots; \phi_n \rrbracket \Longrightarrow \phi$ at any time;

By extensions of global contexts with axioms and proofs of theorems, *theories* can be constructed step by step. Beyond the basic mechanisms to extend a global context by a type-constructor-, type-class-constant-definition or an axiom, Isabelle offers a number of *commands* that allow for more complex extensions of theories in a logically safe way (avoiding the use of axioms directly).

Higher-order Logic (HOL)

Higher-order logic (HOL) [1, 16] is a classical logic based on a simple type system. Isabelle/HOL is a theory extension of the basic Isabelle core-language with operators and the 7 axioms of HOL; together with large libraries this constitutes an implementation of HOL. Isabelle/HOL provides the usual logical connectives like $_ \wedge _$, $_ \rightarrow _$, $\neg _$ as well as the object-logical quantifiers $\forall x. Px$ and $\exists x. Px$; in contrast to first-order logic, quantifiers may range over arbitrary types, including total functions $f :: \alpha \Rightarrow \beta$. HOL is centered around extensional equality $_ = _ :: \alpha \Rightarrow \alpha \Rightarrow \text{bool}$. Extensional equality means that two functions f and g are equal if and only if they are point-wise equal; this is captured by the rule: $(\bigwedge x. f\ x = g\ x) \Longrightarrow f = g$. HOL is more expressive than first-order logic, since, among many other things, induction schemes can be expressed inside the logic. For example, the standard induction rule on natural numbers in HOL:

$$P\ 0 \Longrightarrow (\bigwedge x. P\ x \Longrightarrow P\ (x + 1)) \Longrightarrow P\ x$$

is just an ordinary rule in Isabelle which is in fact a proven theorem in the theory of natural numbers. This example exemplifies an important design principle of Isabelle: theorems and rules are technically the same, paving the way to *derived rules* and automated decision procedures based on them. This has the consequence that these procedures are consequently sound by construction with respect to their logical aspects (they may be incomplete or failing, though).

On the other hand, Isabelle/HOL can also be viewed as a functional programming language like SML or Haskell. Isabelle/HOL definitions can usually be read just as another functional **programming** language; if not interested in proofs and the possibilities of a **specification** language providing powerful logical quantifiers or equivalent free variables, the reader can just ignore these aspects in theories.

Isabelle/HOL offers support for a particular methodology to extend given theories in a logically safe way: A theory-extension is *conservative* if the extended theory is consistent provided that the original theory was consistent. Conservative extensions can be *constant definitions*, *type definitions*, *datatype definitions*, *primitive recursive definitions* and *well founded recursive definitions*.

For instance, the library includes the type constructor $\tau_\perp := \perp \mid _ _ : \alpha$ that assigns to each type τ a type τ_\perp *disjointly extended* by the exceptional element \perp . The function $\ulcorner _ \urcorner : \alpha_\perp \rightarrow \alpha$ is the inverse of $_ _$ (unspecified for \perp). Partial functions $\alpha \rightarrow \beta$ are defined as functions $\alpha \Rightarrow \beta_\perp$ supporting the usual concepts of domain ($\text{dom } _$) and range ($\text{ran } _$).

As another example of a conservative extension, typed sets were built in the Isabelle libraries conservatively on top of the kernel of HOL as functions to `bool`; consequently, the constant definitions for membership is as follows:¹¹

types	$\alpha \text{ set}$	$= \alpha \Rightarrow \text{bool}$	
definition	<code>Collect</code>	$:: (\alpha \Rightarrow \text{bool}) \Rightarrow \alpha \text{ set}$	— set comprehension
where	<code>Collect S</code>	$\equiv S$	(0.7)
definition	<code>member</code>	$:: \alpha \Rightarrow \alpha \Rightarrow \text{bool}$	— membership test
where	<code>member s S</code>	$\equiv S\ s$	

Isabelle's syntax engine is instructed to accept the notation $\{x \mid P\}$ for `Collect $\lambda x. P$` and the notation $s \in S$ for `member s S`. As can be inferred from the example, constant definitions are axioms that introduce a fresh constant symbol by some non-recursive expressions not containing free variables; this

¹¹To increase readability, we use a slightly simplified presentation.

type of axiom is logically safe since it works like an abbreviation. The syntactic side conditions of this axiom are mechanically checked. It is straightforward to express the usual operations on sets like $_ \cup _, _ \cap _ :: \alpha \text{ set} \Rightarrow \alpha \text{ set} \Rightarrow \alpha \text{ set}$ as conservative extensions, too, while the rules of typed set theory were derived by proofs from these definitions.

Similarly, a logical compiler is invoked for the following statements introducing the types option and list:

$$\begin{aligned} \text{datatype } \text{option} &= \text{None} \mid \text{Some } \alpha \\ \text{datatype } \alpha \text{ list} &= \text{Nil} \mid \text{Cons } a \, l \end{aligned} \quad (0.8)$$

Here, \square or $a\#l$ are an alternative syntax for Nil or Cons $a \, l$; moreover, $[a, b, c]$ is defined as alternative syntax for $a\#b\#c\#\square$. These (recursive) statements were internally represented in by internal type and constant definitions. Besides the *constructors* None, Some, \square and Cons, there is the match operation

$$\text{case } x \text{ of } \text{None} \Rightarrow F \mid \text{Some } a \Rightarrow G \, a \quad (0.9)$$

respectively

$$\text{case } x \text{ of } \square \Rightarrow F \mid \text{Cons } a \, r \Rightarrow G \, a \, r. \quad (0.10)$$

From the internal definitions (not shown here) several properties were automatically derived. We show only the case for lists:

$$\begin{aligned} &(\text{case } \square \text{ of } \square \Rightarrow F \mid (a\#r) \Rightarrow G \, a \, r) = F \\ &(\text{case } b\#t \text{ of } \square \Rightarrow F \mid (a\#r) \Rightarrow G \, a \, r) = G \, b \, t \\ &\square \neq a\#t \quad \text{-- distinctness} \\ &\llbracket a = \square \rightarrow P; \exists x \, t. a = x\#t \rightarrow P \rrbracket \Longrightarrow P \quad \text{-- exhaust} \\ &\llbracket P \, \square; \forall at. P \, t \rightarrow P(a\#t) \rrbracket \Longrightarrow P \, x \quad \text{-- induct} \end{aligned} \quad (0.11)$$

Finally, there is a compiler for primitive and well founded recursive function definitions. For example, we may define the sort operation on linearly ordered lists by:

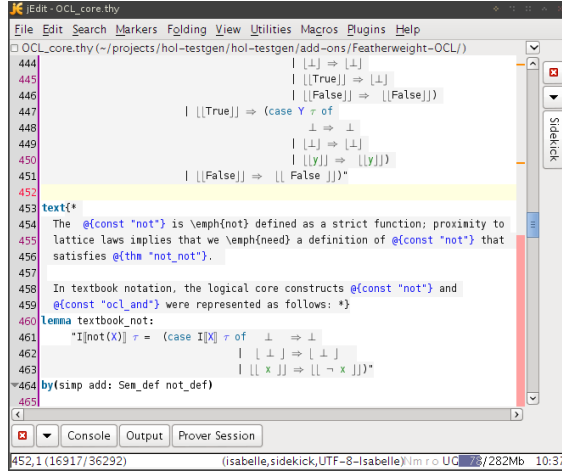
$$\begin{aligned} \text{fun } \text{ins} &:: [\alpha :: \text{linorder}, \alpha \text{ list}] \Rightarrow \alpha \text{ list} \\ \text{where } \text{ins } x \, [] &= [x] \\ &\text{ins } x \, (y\#ys) = \text{if } x < y \text{ then } x\#y\#ys \text{ else } y\#(\text{ins } x \, ys) \end{aligned} \quad (0.12)$$

$$\begin{aligned} \text{fun } \text{sort} &:: (\alpha :: \text{linorder}) \text{ list} \Rightarrow \alpha \text{ list} \\ \text{where } \text{sort } [] &= [] \\ &\text{sort}(x\#xs) = \text{ins } x \, (\text{sort } xs) \end{aligned} \quad (0.13)$$

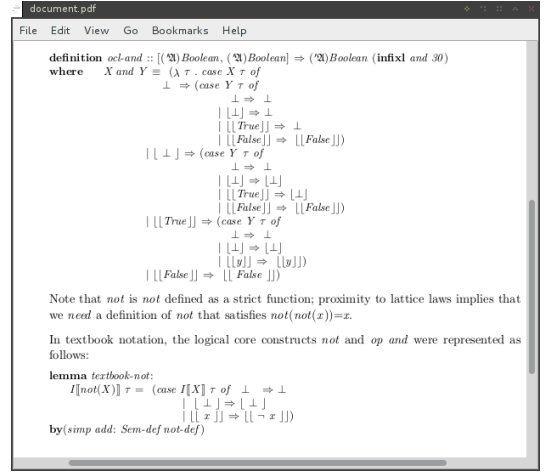
The internal (non-recursive) constant definition for these operations is quite involved; however, the logical compiler will finally derive all the equations in the statements above from this definition and make them available for automated simplification.

Thus, Isabelle/HOL also provides a large collection of theories like sets, lists, orderings, and various arithmetic theories which only contain rules derived from conservative definitions. This library constitutes a comfortable basis for defining the OCL library and language constructs.

In particular, Isabelle manages a set of *executable types and operators*, i.e., types and operators for which a compilation to SML, OCaml or Haskell is possible. Setups for arithmetic types such as int have been done; moreover any datatype and any recursive function were included in this executable set (providing that they only consist of executable operators). This forms the basis that many OCL terms can be executed directly. Using the value command, it is possible to compile many OCL ground expressions (no free variables) to code and to execute them; for example value "3 + 7" just answers with 10 in Isabelle's output window. This is even true for many expressions containing types which in themselves are not executable. For example, the Set type, which is defined in Featherweight OCL as the type of potentially infinite sets, is consequently not in itself executable; however, due to special setups of the code-generator, expressions like value "Set{1,2}" are, because the underlying constructors in this expression allow for automatically establishing that this set is finite and reducible to constructs that are in this special case executable.



(a) The Isabelle jEdit environment.



(b) The generated formal document.

Figure 0.2.: Generating documents with guaranteed syntactical and semantical consistency.

0.2.3. How this Annex A was Generated from Isabelle/HOL Theories

Isabelle, as a framework for building formal tools [35], provides the means for generating *formal documents*. With formal documents (such as the one you are currently reading) we refer to documents that are machine-generated and ensure certain formal guarantees. In particular, all formal content (e.g., definitions, formulae, types) are checked for consistency during the document generation.

For writing documents, Isabelle supports the embedding of informal texts using a $\text{L}^{\text{A}}\text{T}_{\text{E}}\text{X}$ -based markup language within the theory files. To ensure the consistency, Isabelle supports to use, within these informal texts, *antiquotations* that refer to the formal parts and that are checked while generating the actual document as PDF. For example, in an informal text, the antiquotation `@{thm "not_not"}` will instruct Isabelle to lock-up the (formally proven) theorem of name `ocl_not_not` and to replace the antiquotation with the actual theorem, i.e., `not (not x) = x`.

Figure 0.2 illustrates this approach: Figure 0.2a shows the jEdit-based development environment of Isabelle with an excerpt of one of the core theories of Featherweight OCL. Figure 0.2b shows the generated PDF document where all antiquotations are replaced. Moreover, the document generation tools allows for defining syntactic sugar as well as skipping technical details of the formalization.

Featherweight OCL is a formalization of the core of OCL aiming at formally investigating the relationship between the various concepts. At present, it does not attempt to define the complete OCL library. Instead, it concentrates on the core concepts of OCL as well as the types `Boolean`, `Integer`, and typed sets (`Set(T)`). Following the tradition of HOL-OCL [6, 8], Featherweight OCL is based on the following principles:

1. It is an embedding into a powerful semantic meta-language and environment, namely Isabelle/HOL [27].
2. It is a *shallow embedding* in HOL; types in OCL were injectively mapped to types in Featherweight OCL. Ill-typed OCL specifications cannot be represented in Featherweight OCL and a type in Featherweight OCL contains exactly the values that are possible in OCL. Thus, sets may contain `null` (`Set{null}` is a defined set) but not `invalid` (`Set{invalid}` is just `invalid`).
3. Any Featherweight OCL type contains at least `invalid` and `null` (the type `Void` contains only these instances). The logic is consequently four-valued, and there is a `null`-element in the type `Set(A)`.

4. It is a strongly typed language in the Hindley-Milner tradition. We assume that a pre-process eliminates all implicit conversions due to sub-typing by introducing explicit casts (e.g., `oclAsType()`). The details of such a pre-processing are described in [4]. Casts are semantic functions, typically injections, that may convert data between the different Featherweight OCL types.
5. All objects are represented in an object universe in the HOL-OCL tradition [7]. The universe construction also gives semantics to type casts, dynamic type tests, as well as functions such as `oclAllInstances()`, or `oclIsNew()`.
6. Featherweight OCL types may be arbitrarily nested. For example, the expression `Set{Set{1,2}} = Set{Set{2,1}}` is legal and true.
7. For demonstration purposes, the set type in Featherweight OCL may be infinite, allowing infinite quantification and a constant that contains the set of all Integers. Arithmetic laws like commutativity may therefore be expressed in OCL itself. The iterator is only defined on finite sets.
8. It supports equational reasoning and congruence reasoning, but this requires a differentiation of the different equalities like strict equality, strong equality, meta-equality (HOL). Strict equality and strong equality require a sub-calculus, “cp” (a detailed discussion of the different equalities as well as the sub-calculus “cp”—for three-valued OCL 2.0—is given in [9]), which is nasty but can be hidden from the user inside tools.

Overall, this would contribute to one of the main goals of the OCL 2.5 RFP, as discussed at the OCL meeting in Aachen [14].

0.3. The Essence of UML-OCL Semantics

0.3.1. The Theory Organization

The semantic theory is organized in a quite conventional manner in three layers. The first layer, called the *denotational semantics* comprises a set of definitions of the operators of the language. Presented as *definitional axioms* inside Isabelle/HOL, this part assures the logical consistency of the overall construction. The denotational definitions of types, constants and operations, and OCL contracts represent the “gold standard” of the semantics. The second layer, called *logical layer*, is derived from the former and centered around the notion of validity of an OCL formula P . For a state-transition from pre-state σ to post-state σ' , a validity statement is written $(\sigma, \sigma') \models P$. Its major purpose is to logically establish facts (lemmas and theorems) about the denotational definitions. The third layer, called *algebraic layer*, also derived from the former layers, tries to establish algebraic laws of the form $P = P'$; such laws are amenable to equational reasoning and also help for automated reasoning and code-generation. For an implementor of an OCL compiler, these consequences are of most interest.

For space reasons, we will restrict ourselves in this document to a few operators and make a traversal through all three layers to give a high-level description of our formalization. Especially, the details of the semantic construction for sets and the handling of objects and object universes were excluded from a presentation here.

0.3.2. Denotational Semantics of Types

The syntactic material for type expressions, called $\text{TYPES}(C)$, is inductively defined as follows:

- $C \subseteq \text{TYPES}(C)$
- Boolean, Integer, Real, Void, ... are elements of $\text{TYPES}(C)$
- $\text{Set}(X)$, $\text{Bag}(X)$, $\text{Sequence}(X)$, and $\text{Pair}(X, Y)$ (as example for a Tuple-type) are in $\text{TYPES}(C)$ (if $X, Y \in \text{TYPES}(C)$).

Types were directly represented in Featherweight OCL by types in HOL; consequently, any Featherweight OCL type must provide elements for a bottom element (also denoted \perp) and a null element; this is enforced in Isabelle by a type-class `null` that contains two distinguishable elements `bot` and `null` (see Chapter 1 for the details of the construction).

Moreover, the representation mapping from OCL types to Featherweight OCL is one-to-one (i.e., injective), and the corresponding Featherweight OCL types were constructed to represent *exactly* the elements (“no junk, no confusion elements”) of their OCL counterparts. The corresponding Featherweight OCL types were constructed in two stages: First, a *base type* is constructed whose carrier set contains exactly the elements of the OCL type. Secondly, this base type is lifted to a *valuation type* that we use for type-checking Featherweight OCL constants, operations, and expressions. The valuation type takes into account that some UML-OCL functions of its OCL type (namely: accessors in path-expressions) depend on a pre- and a post-state.

For most base types like `Booleanbase` or `Integerbase`, it suffices to double-lift a HOL library type:

$$\text{type_synonym} \quad \text{Boolean}_{\text{base}} := \text{bool}_{\perp\perp} \quad (0.14)$$

As a consequence of this definition of the type, we have the elements $\perp, \perp_{\perp}, \perp_{\text{true}}, \perp_{\text{false}}$ in the carrier-set of `Booleanbase`. We can therefore use the element \perp to define the generic type class `element` \perp and \perp_{\perp} for the generic type class `null`. For collection types and object types this definition is more evolved (see Chapter 1).

For object base types, we assume a typed universe \mathfrak{A} of objects to be discussed later, for the moment we will refer it by its polymorphic variable.

With respect the valuation types for OCL expression in general and Boolean expressions in particular, they depend on the pair (σ, σ') of pre-and post-state. Thus, we define valuation types by the synonym:

$$\text{type_synonym} \quad V_{\mathfrak{A}}(\alpha) := \text{state}(\mathfrak{A}) \times \text{state}(\mathfrak{A}) \rightarrow \alpha :: \text{null} . \quad (0.15)$$

The valuation type for `boolean, integer, etc.` OCL terms is therefore defined as:

$$\begin{aligned} \text{type_synonym} \quad \text{Boolean}_{\mathfrak{A}} &:= V_{\mathfrak{A}}(\text{Boolean}_{\text{base}}) \\ \text{type_synonym} \quad \text{Integer}_{\mathfrak{A}} &:= V_{\mathfrak{A}}(\text{Integer}_{\text{base}}) \\ &\dots \end{aligned}$$

the other cases are analogous. In the subsequent subsections, we will drop the index \mathfrak{A} since it is constant in all formulas and expressions except for operations related to the object universe construction in Section 3.1

The rules of the logical layer (there are no algebraic rules related to the semantics of types), and more details can be found in Chapter 1.

0.3.3. Denotational Semantics of Constants and Operations

We use the notation $I[E]\tau$ for the semantic interpretation function as commonly used in mathematical textbooks and the variable τ standing for pairs of pre- and post state (σ, σ') . Note that we will also use τ to denote the *type* of a state-pair; since both syntactic categories are independent, we can do so without arising confusion. OCL provides for all OCL types the constants `invalid` for the exceptional computation result and `null` for the non-existing value. Thus we define:

$$I[\text{invalid} :: V(\alpha)]\tau \equiv \text{bot} \quad I[\text{null} :: V(\alpha)]\tau \equiv \text{null}$$

For the concrete `Boolean`-type, we define similarly the boolean constants `true` and `false` as well as the fundamental tests for definedness and validity (generically defined for all types):

$$\begin{aligned} I[\text{true} :: \text{Boolean}]\tau &= \perp_{\text{true}} \quad I[\text{false}]\tau = \perp_{\text{false}} \\ I[X.\text{oclIsUndefined}()]\tau &= (\text{if } I[X]\tau \in \{\text{bot}, \text{null}\} \text{ then } I[\text{true}]\tau \text{ else } I[\text{false}]\tau) \end{aligned}$$

$$I\llbracket X.\text{oclIsValid}() \rrbracket \tau = (\text{if } I\llbracket X \rrbracket \tau = \text{bot} \text{ then } I\llbracket \text{true} \rrbracket \tau \text{ else } I\llbracket \text{false} \rrbracket \tau)$$

For reasons of conciseness, we will write δX for $\text{not}(X.\text{oclIsValid}())$ and $v X$ for $\text{not}(X.\text{oclIsValid}())$ throughout this document.

Due to the used style of semantic representation (a shallow embedding) I is in fact superfluous and defined semantically as the identity $\lambda x. x$; instead of:

$$I\llbracket \text{true} :: \text{Boolean} \rrbracket \tau = \perp\!\!\!\perp$$

we can therefore write:

$$\text{true} :: \text{Boolean} = \lambda \tau. \perp\!\!\!\perp$$

In Isabelle theories, this particular presentation of definitions paves the way for an automatic check that the underlying equation has the form of an *axiomatic definition* and is therefore logically safe.

On this basis, one can define the core logical operators **not** and **and** as follows:

$$\begin{aligned} I\llbracket \text{not } X \rrbracket \tau &= (\text{case } I\llbracket X \rrbracket \tau \text{ of} \\ &\quad \perp \Rightarrow \perp \\ &\quad | \perp\!\!\!\perp \Rightarrow \perp\!\!\!\perp \\ &\quad | \perp\!\!\!\perp x \Rightarrow \neg x) \end{aligned}$$

$$\begin{aligned} I\llbracket X \text{ and } Y \rrbracket \tau &= (\text{case } I\llbracket X \rrbracket \tau \text{ of} \\ &\quad \perp \Rightarrow (\text{case } I\llbracket Y \rrbracket \tau \text{ of} \\ &\quad \quad \perp \Rightarrow \perp \\ &\quad \quad | \perp\!\!\!\perp \Rightarrow \perp \\ &\quad \quad | \perp\!\!\!\perp \text{true} \Rightarrow \perp \\ &\quad \quad | \perp\!\!\!\perp \text{false} \Rightarrow \perp\!\!\!\perp \text{false}) \\ &\quad | \perp\!\!\!\perp \Rightarrow (\text{case } I\llbracket Y \rrbracket \tau \text{ of} \\ &\quad \quad \perp \Rightarrow \perp \\ &\quad \quad | \perp\!\!\!\perp \Rightarrow \perp\!\!\!\perp \\ &\quad \quad | \perp\!\!\!\perp \text{true} \Rightarrow \perp\!\!\!\perp \\ &\quad \quad | \perp\!\!\!\perp \text{false} \Rightarrow \perp\!\!\!\perp \text{false}) \\ &\quad | \perp\!\!\!\perp \text{true} \Rightarrow (\text{case } I\llbracket Y \rrbracket \tau \text{ of} \\ &\quad \quad \perp \Rightarrow \perp \\ &\quad \quad | \perp\!\!\!\perp \Rightarrow \perp\!\!\!\perp \\ &\quad \quad | \perp\!\!\!\perp y \Rightarrow y) \\ &\quad | \perp\!\!\!\perp \text{false} \Rightarrow \perp\!\!\!\perp \text{false}) \end{aligned}$$

These non-strict operations were used to define the other logical connectives in the usual classical way: $X \text{ or } Y \equiv (\text{not } X) \text{ and } (\text{not } Y) \text{ or } X$ **implies** $Y \equiv (\text{not } X) \text{ or } Y$.

The default semantics for an OCL library operator is strict semantics; this means that the result of an operation f is invalid if one of its arguments is **+invalid+** or **+null+**. The definition of the addition for integers as default variant reads as follows:

$$\begin{aligned} I\llbracket x + y \rrbracket \tau &= \text{if } I\llbracket \delta x \rrbracket \tau = I\llbracket \text{true} \rrbracket \tau \wedge I\llbracket \delta y \rrbracket \tau = I\llbracket \text{true} \rrbracket \tau \\ &\quad \text{then } \perp\!\!\!\perp I\llbracket x \rrbracket \tau + I\llbracket y \rrbracket \tau \\ &\quad \text{else } \perp \end{aligned}$$

where the operator “+” on the left-hand side of the equation denotes the OCL addition of type **Integer** \Rightarrow **Integer** \Rightarrow **Integer** while the “+” on the right-hand side of the equation of type $[\text{int}, \text{int}] \Rightarrow \text{int}$ denotes the integer-addition from the HOL library.

0.3.4. Logical Layer

The topmost goal of the logic for OCL is to define the *validity statement*:

$$(\sigma, \sigma') \models P,$$

where σ is the pre-state and σ' the post-state of the underlying system and P is a formula, i.e., and OCL expression of type **Boolean**. Informally, a formula P is valid if and only if its evaluation in (σ, σ') (i.e., τ for short) yields true. Formally this means:

$$\tau \models P \equiv (I[P]\tau = \perp \text{true} \perp).$$

On this basis, classical, two-valued inference rules can be established for reasoning over the logical connectives, the different notions of equality, definedness and validity. Generally speaking, rules over logical validity can relate bits and pieces in various OCL terms and allow—via strong logical equality discussed below—the replacement of semantically equivalent sub-expressions. The core inference rules are:

$$\begin{array}{l} \tau \models \text{true} \quad \neg(\tau \models \text{false}) \quad \neg(\tau \models \text{invalid}) \quad \neg(\tau \models \text{null}) \\ \tau \models \text{not } P \implies \neg(\tau \models P) \\ \tau \models P \text{ and } Q \implies \tau \models P \quad \tau \models P \text{ and } Q \implies \tau \models Q \\ \tau \models P \implies \tau \models P \text{ or } Q \quad \tau \models Q \implies \tau \models P \text{ or } Q \\ \tau \models P \implies (\text{if } P \text{ then } B_1 \text{ else } B_2 \text{ endif})\tau = B_1 \tau \\ \tau \models \text{not } P \implies (\text{if } P \text{ then } B_1 \text{ else } B_2 \text{ endif})\tau = B_2 \tau \\ \tau \models P \implies \tau \models \delta P \quad \tau \models \delta X \implies \tau \models v X \end{array}$$

By the latter two properties it can be inferred that any valid property P (so for example: a valid invariant) is defined, which allows to infer for terms composed by strict operations that their arguments and finally the variables occurring in it are valid or defined.

The mandatory part of the OCL standard refers to an equality (written $x = y$ or $x \triangleleft y$ for its negation), which is intended to be a strict operation (thus: `invalid = y` evaluates to `invalid`) and which uses the references of objects in a state when comparing objects, similarly to C++ or Java. In order to avoid confusions, we will use the following notations for equality:

1. The symbol $_ = _$ remains to be reserved to the HOL equality, i.e., the equality of our semantic meta-language,
2. The symbol $_ \triangleleft _$ will be used for the *strong logical equality*, which follows the general logical principle that “equals can be replaced by equals,”¹² and is at the heart of the OCL logic,
3. The symbol $_ \doteq _$ is used for the strict referential equality, i.e., the equality the mandatory part of the OCL standard refers to by the $_ = _$ symbol.

The strong logical equality is a polymorphic concept which is defined using polymorphism for all OCL types by:

$$I[X \triangleleft Y]\tau \equiv \perp I[X]\tau = I[Y]\tau \perp$$

It enjoys nearly the laws of a congruence:

$$\begin{array}{l} \tau \models (x \triangleleft x) \\ \tau \models (x \triangleleft y) \implies \tau \models (y \triangleleft x) \\ \tau \models (x \triangleleft y) \implies \tau \models (y \triangleleft z) \implies \tau \models (x \triangleleft z) \\ \text{cp } P \implies \tau \models (x \triangleleft y) \implies \tau \models (P x) \implies \tau \models (P y) \end{array}$$

¹²Strong logical equality is also referred as “Leibniz”-equality.

where the predicate *cp* stands for *context-passing*, a property that is true for all pure OCL expressions (but not arbitrary mixtures of OCL and HOL) in Featherweight OCL. The necessary side-calculus for establishing *cp* can be fully automated; the reader interested in the details is referred to Section 2.1.3.

The strong logical equality of Featherweight OCL give rise to a number of further rules and derived properties, that clarify the role of strong logical equality and the Boolean constants in OCL specifications:

$$\begin{aligned}
\tau \models \delta x \vee \tau \models x &\triangleq \text{invalid} \vee \tau \models x \triangleq \text{null}, \\
(\tau \models A &\triangleq \text{invalid}) = (\tau \models \text{not}(vA)) \\
(\tau \models A &\triangleq \text{true}) = (\tau \models A) \quad (\tau \models A \triangleq \text{false}) = (\tau \models \text{not}A) \\
(\tau \models \text{not}(\delta x)) &= (\neg \tau \models \delta x) \quad (\tau \models \text{not}(vx)) = (\neg \tau \models vx)
\end{aligned}$$

The logical layer of the Featherweight OCL rules gives also a means to convert an OCL formula living in its four-valued world into a representation that is classically two-valued and can be processed by standard SMT solvers such as CVC3 [2] or Z3 [19]. δ -closure rules for all logical connectives have the following format, e.g.:

$$\begin{aligned}
\tau \models \delta x &\implies (\tau \models \text{not } x) = (\neg(\tau \models x)) \\
\tau \models \delta x &\implies \tau \models \delta y \implies (\tau \models x \text{ and } y) = (\tau \models x \wedge \tau \models y) \\
\tau \models \delta x &\implies \tau \models \delta y \\
&\implies (\tau \models (x \text{ implies } y)) = ((\tau \models x) \longrightarrow (\tau \models y))
\end{aligned}$$

Together with the already mentioned general case-distinction

$$\tau \models \delta x \vee \tau \models x \triangleq \text{invalid} \vee \tau \models x \triangleq \text{null}$$

which is possible for any OCL type, a case distinction on the variables in a formula can be performed; due to strictness rules, formulae containing somewhere a variable x that is known to be *invalid* or *null* reduce usually quickly to contradictions. For example, we can infer from an invariant $\tau \models x \doteq y - 3$ that we have $\tau \models x \doteq y - 3 \wedge \tau \models \delta x \wedge \tau \models \delta y$. We call the latter formula the δ -closure of the former. Now, we can convert a formula like $\tau \models x > 0 \text{ or } 3 * y > x * x$ into the equivalent formula $\tau \models x > 0 \vee \tau \models 3 * y > x * x$ and thus internalize the OCL-logic into a classical (and more tool-conform) logic. This works—for the price of a potential, but due to the usually “rich” δ -closures of invariants rare—exponential blow-up of the formula for all OCL formulas.

0.3.5. Algebraic Layer

Based on the logical layer, we build a system with simpler rules which are amenable to automated reasoning. We restrict ourselves to pure equations on OCL expressions.

Our denotational definitions on *not* and *and* can be re-formulated in the following ground equations:

$$\begin{aligned}
v \text{ invalid} &= \text{false} & v \text{ null} &= \text{true} \\
v \text{ true} &= \text{true} & v \text{ false} &= \text{true} \\
\delta \text{ invalid} &= \text{false} & \delta \text{ null} &= \text{false} \\
\delta \text{ true} &= \text{true} & \delta \text{ false} &= \text{true} \\
\text{not invalid} &= \text{invalid} & \text{not null} &= \text{null} \\
\text{not true} &= \text{false} & \text{not false} &= \text{true} \\
(\text{null and true}) &= \text{null} & (\text{null and false}) &= \text{false} \\
(\text{null and null}) &= \text{null} & (\text{null and invalid}) &= \text{invalid} \\
(\text{false and true}) &= \text{false} & (\text{false and false}) &= \text{false} \\
(\text{false and null}) &= \text{false} & (\text{false and invalid}) &= \text{false}
\end{aligned}$$

<code>(true and true) = true</code>	<code>(true and false) = false</code>
<code>(true and null) = null</code>	<code>(true and invalid) = invalid</code>
<code>(invalid and true) = invalid</code>	<code>(invalid and false) = false</code>
<code>(invalid and null) = invalid</code>	<code>(invalid and invalid) = invalid</code>

On this core, the structure of a conventional lattice arises:

<code>X and X = X</code>	<code>X and Y = Y and X</code>
<code>false and X = false</code>	<code>X and false = false</code>
<code>true and X = X</code>	<code>X and true = X</code>
<code>X and (Y and Z) = X and Y and Z</code>	

as well as the dual equalities for `_ or _` and the De Morgan rules. This wealth of algebraic properties makes the understanding of the logic easier as well as automated analysis possible: for example, it allows for computing a DNF of invariant systems (by term-rewriting techniques) which are a prerequisite for δ -closures.

The above equations explain the behavior for the most-important non-strict operations. The clarification of the exceptional behaviors is of key-importance for a semantic definition of the standard and the major deviation point from HOL-OCL [6, 8] to Featherweight OCL as presented here. Expressed in algebraic equations, “strictness-principles” boil down to:

<code>invalid + X = invalid</code>	<code>X + invalid = invalid</code>
<code>invalid->including(X) = invalid</code>	<code>null->including(X) = invalid</code>
<code>X $\dot{=}$ invalid = invalid</code>	<code>invalid $\dot{=}$ X = invalid</code>
<code>S->including(invalid) = invalid</code>	
<code>X $\dot{=}$ X = (if ν x then true else invalid endif)</code>	
<code>1 / 0 = invalid</code>	<code>1 / null = invalid</code>
<code>invalid->isEmpty() = invalid</code>	<code>null->isEmpty() = null</code>

Algebraic rules are also the key for execution and compilation of Featherweight OCL expressions. We derived, e. g.:

```

 $\delta$  Set{} = true
 $\delta$  (X->including(x)) =  $\delta$  X and  $\nu$  x
Set{}->includes(x) = (if  $\nu$  x then false
                      else invalid endif)
(X->including(x)->includes(y)) =
  (if  $\delta$  X
   then if x  $\dot{=}$  y
        then true
        else X->includes(y)
        endif
   else invalid
   endif)

```

As `Set{1,2}` is only syntactic sugar for

```
Set{}->including(1)->including(2)
```

an expression like `Set{1,2}->includes(null)` becomes decidable in Featherweight OCL by applying these algebraic laws (which can give rise to efficient compilations). The reader interested in the list of “test-statements” like:

value $\tau \models (\text{Set}\{\text{Set}\{2, \text{null}\}\} \doteq \text{Set}\{\text{Set}\{\text{null}, 2\}\})$

make consult Section 2.9; these test-statements have been machine-checked and proven consistent with the denotational and logic semantics of Featherweight OCL.

0.3.6. Object-oriented Datatype Theories

In the following, we will refine the concepts of a user-defined data-model implied by a *class-model* (visualized by a class-diagram) as well as the notion of state used in the previous section to much more detail. UML class models represent in a compact and visual manner quite complex, object-oriented data-types with a surprisingly rich theory. In this section, this theory is made explicit and corner cases were pointed out.

A UML class model underlying a given OCL invariant or operation contract produces several implicit operations which become accessible via appropriate OCL syntax. A class model is a four-tuple $(C, _ < _, \text{Attrib}, \text{Assoc})$ where:

1. C is a set of class names (written as $\{C_1, \dots, C_n\}$). To each class name a type of data in OCL is associated. Moreover, class names declare two projector functions to the set of all objects in a state: $C_i.\text{allInstances}()$ and $C_i.\text{allInstances@pre}()$,
2. $_ < _$ is an inheritance relation on classes,
3. $\text{Attrib}(C_i)$ is a collection of attributes associated to classes C_i . It declares two families of accessors; for each attribute $a \in \text{Attrib}(C_i)$ in a class definition C_i (denoted $X.a :: C_i \rightarrow A$ and $X.a@pre :: C_i \rightarrow A$ for $A \in \text{TYPES}(C)$),
4. $\text{Assoc}(C_i, C_j)$ is a collection of associations¹³. An association $(n, rn_{from}, rn_{to}) \in \text{Assoc}(C_i, C_j)$ between to classes C_i and C_j is a triple consisting of a (unique) association name n , and the role-names rn_{to} and rn_{from} . To each role-name belong two families of accessors denoted $X.a :: C_i \rightarrow A$ and $X.a@pre :: C_i \rightarrow A$ for $A \in \text{TYPES}(C)$,
5. for each pair $C_i < C_j$ ($C_i, C_j < C$), there is a cast operation of type $C_j \rightarrow C_i$ that can change the static type of an object of type C_i : $obj :: C_i.\text{oclAsType}(C_j)$,
6. for each class $C_i \in C$, there are two dynamic type tests ($X.\text{oclIsTypeOf}(C_i)$ and $X.\text{oclIsKindOf}(C_i)$),
7. and last but not least, for each class name $C_i \in C$ there is an instance of the overloaded referential equality (written $_ \doteq _$).

Assuming a strong static type discipline in the sense of Hindley-Milner types, Featherweight OCL has no “syntactic subtyping.” In contrast, sub-typing can be expressed *semantically* in Featherweight OCL by adding suitable type-casts which do have a formal semantics. Thus, sub-typing becomes an issue of the front-end that can make implicit type-coercions explicit. Our perspective shifts the emphasis on the semantic properties of casting, and the necessary universe of object representations (induced by a class model) that allows to establish them.

As a pre-requisite of a denotational semantics for these operations induced by a class-model, we need an *object-universe* in which these operations can be defined in a denotational manner and from which the necessary properties for constructors, accessors, tests and casts can be derived. A concrete universe constructed from a class model will be used to instantiate the implicit type parameter \mathfrak{A} of all OCL operations discussed so far.

¹³Given the fact that there is at present no consensus on the semantics of n-ary associations, Featherweight OCL restricts itself to binary associations.

A Denotational Space for Class-Models: Object Universes

It is natural to construct system states by a set of partial functions f that map object identifiers oid to some representations of objects:

$$\text{typedef} \quad \mathfrak{A} \text{ state} := \{\sigma :: \text{oid} \rightarrow \alpha \mid \text{inv}_\sigma(\sigma)\} \quad (0.16)$$

where inv_σ is a to be discussed invariant on states.

The key point is that we need a common type \mathfrak{A} for the set of all possible *object representations*. Object representations model “a piece of typed memory,” i. e., a kind of record comprising administration information and the information for all attributes of an object; here, the primitive types as well as collections over them are stored directly in the object representations, class types and collections over them are represented by oid’s (respectively lifted collections over them).

In a shallow embedding which must represent UML types one-to-one by HOL types, there are two fundamentally different ways to construct such a set of object representations, which we call an *object universe* \mathfrak{A} :

1. an object universe can be constructed from a given class model, leading to *closed world semantics*, and
2. an object universe can be constructed for a given class model *and all its extensions by new classes added into the leaves of the class hierarchy*, leading to an *open world semantics*.

For the sake of simplicity, the present semantics chose the first option for Featherweight OCL, while HOL-OCL [7] used an involved construction allowing the latter.

A naïve attempt to construct \mathfrak{A} would look like this: the class type C_i induced by a class will be the type of such an object representation: $C_i := (\text{oid} \times A_{i_1} \times \cdots \times A_{i_k})$ where the types A_{i_1}, \dots, A_{i_k} are the attribute types (including inherited attributes) with class types substituted by oid. The function OidOf projects the first component, the oid, out of an object representation. Then the object universe will be constructed by the type definition:

$$\mathfrak{A} := C_1 + \cdots + C_n. \quad (0.17)$$

It is possible to define constructors, accessors, and the referential equality on this object universe. However, the treatment of type casts and type tests cannot be faithful with common object-oriented semantics, be it in UML or Java: casting up along the class hierarchy can only be implemented by loosing information, such that casting up and casting down will *not* give the required identity, whenever $C_k < C_i$ and X is valid:

$$X.\text{oclIsTypeOf}(C_k) \text{ implies } X.\text{oclAsType}(C_i).\text{oclAsType}(C_k) \doteq X \quad (0.18)$$

To overcome this limitation, we introduce an auxiliary type C_{ext} for *class type extension*; together, they were inductively defined for a given class diagram:

Let C_i be a class with a possibly empty set of subclasses $\{C_{j_1}, \dots, C_{j_m}\}$.

- Then the *class type extension* C_{ext} associated to C_i is $A_{i_1} \times \cdots \times A_{i_n} \times (C_{j_1\text{ext}} + \cdots + C_{j_m\text{ext}})_\perp$ where A_{i_k} ranges over the local attribute types of C_i and $C_{j_l\text{ext}}$ ranges over all class type extensions of the subclass C_j of C_i .
- Then the *class type* for C_i is $\text{oid} \times A_{i_1} \times \cdots \times A_{i_n} \times (C_{j_1\text{ext}} + \cdots + C_{j_m\text{ext}})_\perp$ where A_{i_k} ranges over the inherited *and* local attribute types of C_i and $C_{j_l\text{ext}}$ ranges over all class type extensions of the subclass C_j of C_i .

Example instances of this scheme—outlining a compiler—can be found in Chapter 4 and Chapter 5.

This construction can *not* be done in HOL itself since it involves quantifications and iterations over the “set of class-types”; rather, it is a meta-level construction. Technically, this means that we need a compiler to be done in SML on the syntactic “meta-model”-level of a class model.

With respect to our semantic construction here, which above all means is intended to be type-safe, this has the following consequences:

- there is a generic theory of states, which must be formulated independently from a concrete object universe,
- there is a principle of translation (captured by the inductive scheme for class type extensions and class types above) that converts a given class model into an concrete object universe,
- there are fixed principles that allow to derive the semantic theory of any concrete object universe, called the *object-oriented datatype theory*.

We will work out concrete examples for the construction of the object-universes in Chapter 4 and Chapter 5 and the derivation of the respective datatype theories. While an automatization is clearly possible and desirable for concrete applications of Featherweight OCL, we consider this out of the scope of this document which has a focus on the semantic construction and its presentation.

Denotational Semantics of Accessors on Objects and Associations

Our choice to use a shallow embedding of OCL in HOL and, thus having an injective mapping from OCL types to HOL types, results in type-safety of Featherweight OCL. Arguments and results of accessors are based on type-safe object representations and *not* oid's. This implies the following scheme for an accessor:

- The *evaluation and extraction* phase. If the argument evaluation results in an object representation, the oid is extracted, if not, exceptional cases like *invalid* are reported.
- The *de-referentiation* phase. The oid is interpreted in the pre- or post-state, the resulting object is cast to the expected format. The exceptional case of non-existence in this state must be treated.
- The *selection* phase. The corresponding attribute is extracted from the object representation.
- The *re-construction* phase. The resulting value has to be embedded in the adequate HOL type. If an attribute has the type of an object (not value), it is represented by an optional (set of) oid, which must be converted via de-referentiation in one of the states to produce an object representation again. The exceptional case of non-existence in this state must be treated.

The first phase directly translates into the following formalization:

definition

$$\begin{aligned} \text{eval_extract } X f = (\lambda \tau. \text{ case } X \tau \text{ of } & \perp \quad \Rightarrow \text{invalid } \tau \quad \text{exception} \\ & | \perp_{\perp} \quad \Rightarrow \text{invalid } \tau \quad \text{deref. null} \\ & | \perp_{obj} \quad \Rightarrow f (\text{oid_of } obj) \tau) \end{aligned} \quad (0.19)$$

For each class C , we introduce the de-referentiation phase of this form:

$$\begin{aligned} \text{definition deref_oid}_C \text{ fst_snd } f \text{ oid} = (\lambda \tau. \text{ case } (\text{heap } (\text{fst_snd } \tau)) \text{ oid of } & \\ & \perp_{in_C obj} \Rightarrow f \text{ obj } \tau \\ & | _ \Rightarrow \text{invalid } \tau) \end{aligned} \quad (0.20)$$

The operation yields undefined if the oid is uninterpretable in the state or referencing an object representation not conforming to the expected type.

We turn to the selection phase: for each class C in the class model with at least one attribute, and each attribute a in this class, we introduce the selection phase of this form:

$$\begin{aligned} \text{definition select}_a f = (\lambda \text{ mk}_C \text{ oid } \dots \perp \dots C_{\text{Xext}} \Rightarrow \text{null} \\ | \text{ mk}_C \text{ oid } \dots \perp_a \dots C_{\text{Xext}} \Rightarrow f (\lambda x _ . \perp_{x_a}) a) \end{aligned} \quad (0.21)$$

This works for definitions of basic values as well as for object references in which the a is of type `oid`. To increase readability, we introduce the functions:

$$\begin{array}{llll}
\text{definition} & \text{in_pre_state} & = \text{fst} & \text{first component} \\
\text{definition} & \text{in_post_state} & = \text{snd} & \text{second component} \\
\text{definition} & \text{reconst_basetype} & = \text{id} & \text{identity function}
\end{array} \tag{0.22}$$

Let `__.getBase` be an accessor of class C yielding a value of base-type A_{base} . Then its definition is of the form:

$$\begin{array}{ll}
\text{definition} & \text{__.getBase} :: C \Rightarrow A_{base} \\
\text{where} & X.\text{getBase} = \text{eval_extract } X (\text{deref_oid}_C \text{ in_post_state} \\
& \quad (\text{select}_{\text{getBase}} \text{ reconst_basetype}))
\end{array} \tag{0.23}$$

Let `__.getObject` be an accessor of class C yielding a value of object-type A_{object} . Then its definition is of the form:

$$\begin{array}{ll}
\text{definition} & \text{__.getObject} :: C \Rightarrow A_{object} \\
\text{where} & X.\text{getObject} = \text{eval_extract } X (\text{deref_oid}_C \text{ in_post_state} \\
& \quad (\text{select}_{\text{getObject}} (\text{deref_oid}_C \text{ in_post_state})))
\end{array} \tag{0.24}$$

The variant for an accessor yielding a collection is omitted here; its construction follows by the application of the principles of the former two. The respective variants `__.a@pre` were produced when `in_post_state` is replaced by `in_pre_state`.

Examples for the construction of accessors via associations can be found in Section 4.8, the construction of accessors via attributes in Section 5.8. The construction of casts and type tests `->oclIsTypeOf()` and `->oclIsKindOf()` is similarly.

In the following, we discuss the role of multiplicities on the types of the accessors. Depending on the specified multiplicity, the evaluation of an attribute can yield just a value (multiplicity `0..1` or `1`) or a collection type like `Set` or `Sequence` of values (otherwise). A multiplicity defines a lower bound as well as a possibly infinite upper bound on the cardinality of the attribute's values.

Single-Valued Attributes If the upper bound specified by the attribute's multiplicity is one, then an evaluation of the attribute yields a single value. Thus, the evaluation result is *not* a collection. If the lower bound specified by the multiplicity is zero, the evaluation is not required to yield a non-null value. In this case an evaluation of the attribute can return `null` to indicate an absence of value.

To facilitate accessing attributes with multiplicity `0..1`, the OCL standard states that single values can be used as sets by calling collection operations on them. This implicit conversion of a value to a `Set` is not defined by the standard. We argue that the resulting set cannot be constructed the same way as when evaluating a `Set` literal. Otherwise, `null` would be mapped to the singleton set containing `null`, but the standard demands that the resulting set is empty in this case. The conversion should instead be defined as follows:

```

context OclAny::asSet():T
  post: if self = null then result = Set{}
        else result = Set{self} endif

```

Collection-Valued Attributes If the upper bound specified by the attribute's multiplicity is larger than one, then an evaluation of the attribute yields a collection of values. This raises the question whether `null` can belong to this collection. The OCL standard states that `null` can be owned by collections. However, if an attribute can evaluate to a collection containing `null`, it is not clear how multiplicity constraints should be interpreted for this attribute. The question arises whether the `null` element should be counted or not when determining the cardinality of the collection. Recall that `null` denotes the absence of value in the case of a cardinality upper bound of one, so we would assume that

`null` is not counted. On the other hand, the operation `size` defined for collections in OCL does count `null`.

We propose to resolve this dilemma by regarding multiplicities as optional. This point of view complies with the UML standard, that does not require lower and upper bounds to be defined for multiplicities.¹⁴ In case a multiplicity is specified for an attribute, i.e., a lower and an upper bound are provided, we require for any collection the attribute evaluates to a collection not containing `null`. This allows for a straightforward interpretation of the multiplicity constraint. If bounds are not provided for an attribute, we consider the attribute values to not be restricted in any way. Because in particular the cardinality of the attribute's values is not bounded, the result of an evaluation of the attribute is of collection type. As the range of values that the attribute can assume is not restricted, the attribute can evaluate to a collection containing `null`. The attribute can also evaluate to `invalid`. Allowing multiplicities to be optional in this way gives the modeler the freedom to define attributes that can assume the full ranges of values provided by their types. However, we do not permit the omission of multiplicities for association ends, since the values of association ends are not only restricted by multiplicities, but also by other constraints enforcing the semantics of associations. Hence, the values of association ends cannot be completely unrestricted.

The Precise Meaning of Multiplicity Constraints We are now ready to define the meaning of multiplicity constraints by giving equivalent invariants written in OCL. Let `a` be an attribute of a class `C` with a multiplicity specifying a lower bound `m` and an upper bound `n`. Then we can define the multiplicity constraint on the values of attribute `a` to be equivalent to the following invariants written in OCL:

```
context C inv lowerBound: a->size() >= m
          inv upperBound: a->size() <= n
          inv notNull: not a->includes(null)
```

If the upper bound `n` is infinite, the second invariant is omitted. For the definition of these invariants we are making use of the conversion of single values to sets described in Section 0.3.6. If `n ≤ 1`, the attribute `a` evaluates to a single value, which is then converted to a `Set` on which the `size` operation is called.

If a value of the attribute `a` includes a reference to a non-existent object, the attribute call evaluates to `invalid`. As a result, the entire expressions evaluate to `invalid`, and the invariants are not satisfied. Thus, references to non-existent objects are ruled out by these invariants. We believe that this result is appropriate, since we argue that the presence of such references in a system state is usually not intended and likely to be the result of an error. If the modeler wishes to allow references to non-existent objects, she can make use of the possibility described above to omit the multiplicity.

Logic Properties of Class-Models

In this section, we assume to be $C_z, C_i, C_j \in C$ and $C_i < C_j$. Let C_z be a smallest element with respect to the class hierarchy $_ < _$. The operations induced from a class-model have the following properties:

$$\begin{aligned}
\tau \models X.\text{oclAsType}(C_i) &\triangleq X \\
\tau \models \text{invalid}.\text{oclAsType}(C_i) &\triangleq \text{invalid} \\
\tau \models \text{null}.\text{oclAsType}(C_i) &\triangleq \text{null} \\
\tau \models ((X :: C_i).\text{oclAsType}(C_j) \text{ .oclAsType}(C_i)) &\triangleq X \\
\tau \models X.\text{oclAsType}(C_j) \text{ .oclAsType}(C_i) &\triangleq X \\
\tau \models (X :: \text{OclAny}) \text{ .oclAsType}(\text{OclAny}) &\triangleq X \\
\tau \models v(X :: C_i) \implies \tau \models (X.\text{oclIsTypeOf}(C_i) \text{ implies } &(X.\text{oclAsType}(C_j).\text{oclAsType}(C_i)) \triangleq X)
\end{aligned}$$

¹⁴We are however aware that a well-formedness rule of the UML standard does define a default bound of one in case a lower or upper bound is not specified.

$$\begin{aligned}
\tau \models v(X :: C_i) &\implies \tau \models X.\text{oclIsTypeOf}(C_i) \text{ implies } (X.\text{oclAsType}(C_j) .\text{oclAsType}(C_i)) \doteq X \\
\tau \models \delta X &\implies \tau \models X.\text{oclAsType}(C_j) .\text{oclAsType}(C_i) \triangleq X \\
\tau \models vX &\implies \tau \models X.\text{oclIsTypeOf}(C_i) \text{ implies } X.\text{oclAsType}(C_j) .\text{oclAsType}(C_i) \doteq X \\
\tau \models X.\text{oclIsTypeOf}(C_j) &\implies \tau \models \delta X \implies \tau \models \text{not}(vX.\text{oclAsType}(C_i)) \\
\tau \models \text{invalid}.\text{oclIsTypeOf}(C_i) &\triangleq \text{invalid} \\
\tau \models \text{null} .\text{oclIsTypeOf}(C_i) &\triangleq \text{true} \\
\tau \models \text{Person}.\text{allInstances}() \rightarrow \text{forall}(X|X.\text{oclIsTypeOf}(C_z)) & \\
\tau \models \text{Person}.\text{allInstances@pre}() \rightarrow \text{forall}(X|X.\text{oclIsTypeOf}(C_z)) & \\
\tau \models \text{Person}.\text{allInstances}() \rightarrow \text{forall}(X|X.\text{oclIsKindOf}(C_i)) & \\
\tau \models \text{Person}.\text{allInstances@pre}() \rightarrow \text{forall}(X|X.\text{oclIsKindOf}(C_i)) & \\
\tau \models (X :: C_i).\text{oclIsTypeOf}(C_j) \implies \tau \models (X :: C_i).\text{oclIsKindOf}(C_i) & \\
(\tau \models (X :: C_j) \doteq X) = (\tau \models \text{if } vX \text{ then true else invalid endif}) & \\
\tau \models (X :: C_j) \doteq Y \implies \tau \models Y \doteq X & \\
\tau \models (X :: C_j) \doteq Y \implies \tau \models Y \doteq Z \implies \tau \models X \doteq Z &
\end{aligned}$$

Algebraic Properties of the Class-Models

In this section, we assume to be $C_i, C_j \in C$ and $C_i < C_j$. The operations induced from a class-model have the following properties:

$$\begin{aligned}
\text{invalid}.\text{oclIsTypeOf}(C_i) &= \text{invalid} & \text{null}.\text{oclIsTypeOf}(C_i) &= \text{true} \\
\text{invalid}.\text{oclIsKindOf}(C_i) &= \text{invalid} & \text{null}.\text{oclIsKindOf}(C_i) &= \text{true} \\
(X :: C_i).\text{oclAsType}(C_i) &= X & \text{invalid}.\text{oclAsType}(C_i) &= \text{invalid} \\
\text{null}.\text{oclAsType}(C_i) &= \text{null} & (X :: C_i).\text{oclAsType}(C_j).\text{oclAsType}(C_i) &= X \\
(X :: C_i) \doteq X &= \text{if } vX \text{ then true else invalid endif}
\end{aligned}$$

With respect to attributes $_.\text{a}$ or $_.\text{a@pre}$ and role-ends $_.\text{r}$ or $_.\text{r@pre}$ we have

$$\begin{aligned}
\text{invalid}.\text{a} &= \text{invalid} & \text{null}.\text{a} &= \text{invalid} \\
\text{invalid}.\text{a@pre} &= \text{invalid} & \text{null}.\text{a@pre} &= \text{invalid} \\
\text{invalid}.\text{r} &= \text{invalid} & \text{null}.\text{r} &= \text{invalid} \\
\text{invalid}.\text{r@pre} &= \text{invalid} & \text{null}.\text{r@pre} &= \text{invalid}
\end{aligned}$$

Other Operations on States

Defining $_.\text{allInstances}()$ is straight-forward; the only difference is the property $T.\text{allInstances}() \rightarrow \text{excludes}(\text{null})$ which is a consequence of the fact that `null`'s are values and do not “live” in the state. OCL semantics admits states with “dangling references,”; it is the semantics of accessors or roles which maps these references to `invalid`, which makes it possible to rule out these situations in invariants.

OCL does not guarantee that an operation only modifies the path-expressions mentioned in the postcondition, i.e., it allows arbitrary relations from pre-states to post-states. This framing problem is well-known (one of the suggested solutions is [23]). We define

$$(S : \text{Set}(\text{OclAny})) \rightarrow \text{oclIsModifiedOnly}() : \text{Boolean}$$

where S is a set of object representations, encoding a set of oid's. The semantics of this operator is defined such that for any object whose oid is *not* represented in S and that is defined in pre and post

state, the corresponding object representation will not change in the state transition. A simplified presentation is as follows:

$$I\llbracket X \rightarrow \text{oclIsModifiedOnly}() \rrbracket(\sigma, \sigma') \equiv \begin{cases} \perp & \text{if } X' = \perp \vee \text{null} \in X' \\ \bigwedge_{i \in M} \sigma \cdot i = \sigma' \cdot i & \text{otherwise.} \end{cases}$$

where $X' = I\llbracket X \rrbracket(\sigma, \sigma')$ and $M = (\text{dom } \sigma \cap \text{dom } \sigma') - \{\text{OidOf } x \mid x \in \lceil X \rceil\}$. Thus, if we require in a postcondition $\text{Set}\{\} \rightarrow \text{oclIsModifiedOnly}()$ and exclude via $_.\text{oclIsNew}()$ and $_.\text{oclIsDeleted}()$ the existence of new or deleted objects, the operation is a query in the sense of the OCL standard, i.e., the `isQuery` property is true. So, whenever we have $\tau \models X \rightarrow \text{excluding}(s.a) \rightarrow \text{oclIsModifiedOnly}()$ and $\tau \models X \rightarrow \text{forAll}(x \text{ not } (x \doteq s.a))$, we can infer that $\tau \models s.a \triangleq s.a @\text{pre}$.

0.3.7. Data Invariants

Since the present OCL semantics uses one interpretation function¹⁵, we express the effect of OCL terms occurring in preconditions and invariants by a syntactic transformation $_\text{pre}$ which replaces:

- all accessor functions $_.a$ from the class model $a \in \text{Attrib}(C)$ by their counterparts $_.i @\text{pre}$. For example, $(\text{self.salary} > 500)_{\text{pre}}$ is transformed to $(\text{self.salary} @\text{pre} > 500)$.
- all role accessor functions $_.\text{rn}_{\text{from}}$ or $_.\text{rn}_{\text{to}}$ within the class model (i.e., $(id, \text{rn}_{\text{from}}, \text{rn}_{\text{to}}) \in \text{Assoc}(C_i, C_j)$) were replaced by their counterparts $_.\text{rn} @\text{pre}$. For example, $(\text{self.boss} = \text{null})_{\text{pre}}$ is transformed to $\text{self.boss} @\text{pre} = \text{null}$.
- The operation $_.\text{allInstances}()$ is also substituted by its $@\text{pre}$ counterpart.

Thus, we formulate the semantics of the invariant specification as follows:

$$\begin{aligned} I\llbracket \text{context } c : C_i \text{ inv } n : \phi(c) \rrbracket \tau &\equiv \\ \tau \models (C_i.\text{allInstances}() \rightarrow \text{forall}(x | \phi(x))) \wedge & \\ \tau \models (C_i.\text{allInstances}() \rightarrow \text{forall}(x | \phi(x)))_{\text{pre}} & \end{aligned} \quad (0.25)$$

Recall that expressions containing $@\text{pre}$ constructs in invariants or preconditions are syntactically forbidden; thus, mixed forms cannot arise.

0.3.8. Operation Contracts

Since operations have strict semantics in OCL, we have to distinguish for a specification of an operation op with the arguments a_1, \dots, a_n the two cases where all arguments are valid and additionally, self is non-null (i.e., it must be defined), or not. In former case, a method call can be replaced by a *result* that satisfies the contract, in the latter case the result is *invalid*. This is reflected by the following definition of the contract semantics:

$$\begin{aligned} I\llbracket \text{context } C :: op(a_1, \dots, a_n) : T & \\ \text{pre } \phi(\text{self}, a_1, \dots, a_n) & \\ \text{post } \psi(\text{self}, a_1, \dots, a_n, \text{result}) \rrbracket &\equiv \\ \lambda s, x_1, \dots, x_n, \tau. & \\ \text{if } \tau \models \partial s \wedge \tau \models v x_1 \wedge \dots \wedge \tau \models v x_n & \\ \text{then SOME } \text{result}. \quad \tau \models \phi(s, x_1, \dots, x_n)_{\text{pre}} & \\ \quad \wedge \tau \models \psi(s, x_1, \dots, x_n, \text{result}) & \\ \text{else } \perp & \end{aligned} \quad (0.26)$$

¹⁵This has been handled differently in previous versions of the Annex A.

where $\text{SOME } x. P(x)$ is the Hilbert-Choice Operator that chooses an arbitrary element satisfying P ; if such an element does not exist, it chooses an arbitrary one¹⁶. Thus, using the Hilbert-Choice Operator, a contract can be associated to a function definition:

$$f_{op} \equiv I[\text{context } C :: op(a_1, \dots, a_n) : T \dots] \quad (0.27)$$

provided that neither ϕ nor ψ contain recursive method calls of op . In the case of a query operation (i.e., τ must have the form: (σ, σ) , which means that query operations do not change the state; c.f. `oclIsModifiedOnly()` in Section 0.3.6), this constraint can be relaxed: the above equation is then stated as *axiom*. Note however, that the consistency of the overall theory is for recursive query contracts left to the user (it can be shown, for example, by a proof of termination, i.e., by showing that all recursive calls were applied to argument vectors that are smaller wrt. a well-founded ordering). Under this condition, an f_{op} resulting from recursive query operations can be used safely inside pre- and post-conditions of other contracts.

For the general case of a user-defined contract, the following rule can be established that reduces the proof of a property E over a method call f_{op} to a proof of $E(res)$ (where res must be one of the values that satisfy the post-condition ψ):

$$\frac{\begin{array}{c} [\tau \models \psi \text{ self } a_1 \dots a_n \text{ res}]_{res} \\ \vdots \\ \tau \models E(res) \end{array}}{\tau \models E(f_{op} \text{ self } a_1 \dots a_n)} \quad (0.28)$$

under the conditions:

- E must be an OCL term and
- self must be defined, and the arguments valid in τ :
 $\tau \models \partial \text{ self} \wedge \tau \models v \ a_1 \wedge \dots \wedge \tau \models v \ a_n$
- the post-condition must be satisfiable (“the operation must be implementable”): $\exists res. \tau \models \psi \text{ self } a_1 \dots a_n \text{ res}$.

For the special case of a (recursive) query method, this rule can be specialized to the following executable “unfolding principle”:

$$\frac{\tau \models \phi \text{ self } a_1 \dots a_n}{(\tau \models E(f_{op} \text{ self } a_1 \dots a_n)) = e(\tau \models E(BODY \text{ self } a_1 \dots a_n))} \quad (0.29)$$

where

- E must be an OCL term.
- self must be defined, and the arguments valid in τ :
 $\tau \models \partial \text{ self} \wedge \tau \models v \ a_1 \wedge \dots \wedge \tau \models v \ a_n$
- the postcondition $\psi \text{ self } a_1 \dots a_n \text{ result}$ must be decomposable into:
 $\psi' \text{ self } a_1 \dots a_n$ and $\text{result} \triangleq BODY \text{ self } a_1 \dots a_n$.

Currently, Featherweight OCL neither supports overloading nor overriding for user-defined operations: the Featherweight OCL compiler needs to be extended to generate pre-conditions that constrain the classes on which an overridden function can be called as well as the dispatch order. This construction, overall, is similar to the virtual function table that, e.g., is generated by C++ compilers. Moreover, to avoid logical contradictions (inconsistencies) between different instances of an overridden operation, the user has to prove Liskov’s principle for these situations: pre-conditions of the superclass must imply pre-conditions of the subclass, and post-conditions of a subclass must imply post-conditions of the superclass.

¹⁶In HOL, the Hilbert-Choice operator is a first-class element of the logical language.

1. Formalization I: OCL Types and Core Definitions

```
theory    UML-Types
imports  HOL.Transcendental
keywords Assert :: thy-decl
         and Assert-local :: thy-decl
begin
```

1.1. Preliminaries

1.1.1. Notations for the Option Type

First of all, we will use a more compact notation for the library option type which occur all over in our definitions and which will make the presentation more like a textbook:

```
no-notation ceiling ( $\lceil \cdot \rceil$ )
no-notation floor  ( $\lfloor \cdot \rfloor$ )

type-notation option ( $\langle \cdot \rangle_{\perp}$ )
notation Some ( $\lfloor \cdot \rfloor$ )
notation None ( $\perp$ )
```

These commands introduce an alternative, more compact notation for the type constructor $\langle \alpha \rangle_{\perp}$, namely $\langle \alpha \rangle_{\perp}$. Furthermore, the constructors $\lfloor X \rfloor$ and \perp of the type $\langle \alpha \rangle_{\perp}$, namely $\lfloor X \rfloor$ and \perp .

The following function (corresponding to *the* in the Isabelle/HOL library) is defined as the inverse of the injection *Some*.

```
fun drop :: 'α option ⇒ 'α ( $\lceil \cdot \rceil$ )
where drop-lift[simp]:  $\lceil \lfloor v \rfloor \rceil = v$ 
```

The definitions for the constants and operations based on functions will be geared towards a format that Isabelle can check to be a “conservative” (i. e., logically safe) axiomatic definition. By introducing an explicit interpretation function (which happens to be defined just as the identity since we are using a shallow embedding of OCL into HOL), all these definitions can be rewritten into the conventional semantic textbook format. To say it in other words: The interpretation function *Sem* as defined below is just a textual marker for presentation purposes, i.e. intended for readers used to conventional textbook notations on semantics. Since we use a “shallow embedding”, i.e. since we represent the syntax of OCL directly by HOL constants, the interpretation function is semantically not only superfluous, but from an Isabelle perspective strictly in the way for certain consistency checks performed by the definitional packages.

```
definition Sem :: 'a ⇒ 'a (I $\llbracket \cdot \rrbracket$ )
where I $\llbracket x \rrbracket \equiv x$ 
```

1.1.2. Common Infrastructure for all OCL Types

In order to have the possibility to nest collection types, such that we can give semantics to expressions like *Set{Set{2},null}*, it is necessary to introduce a uniform interface for types having the *invalid* (= bottom) element. The reason is that we impose a data-invariant on raw-collection **types_code** which assures that the *invalid* element is not allowed inside the collection; all raw-collections of this form

were identified with the *invalid* element itself. The construction requires that the new collection type is not comparable with the raw-types (consisting of nested option type constructions), such that the data-invariant must be expressed in terms of the interface. In a second step, our base-types will be shown to be instances of this interface.

This uniform interface consists in a type class requiring the existence of a *bot* and a *null* element. The construction proceeds by abstracting the *null* (defined by $\perp \perp \lrcorner$ on $'a \text{ option option}$) to a *null* element, which may have an arbitrary semantic structure, and an undefinedness element \perp to an abstract undefinedness element *bot* (also written \perp whenever no confusion arises). As a consequence, it is necessary to redefine the notions of *invalid*, *defined*, *valuation* etc. on top of this interface.

This interface consists in two abstract type classes *bot* and *null* for the class of all types comprising a *bot* and a distinct *null* element.

```
class bot =
  fixes bot :: 'a
  assumes nonEmpty :  $\exists x. x \neq bot$ 
```

```
class null = bot +
  fixes null :: 'a
  assumes null-is-valid :  $null \neq bot$ 
```

1.1.3. Accommodation of Basic Types to the Abstract Interface

In the following it is shown that the “option-option” type is in fact in the *null* class and that function spaces over these classes again “live” in these classes. This motivates the default construction of the semantic domain for the basic types (*Boolean*, *Integer*, *Real*, ...).

```
instantiation option :: (type)bot
begin
  definition bot-option-def:  $(bot::'a \text{ option}) \equiv (None::'a \text{ option})$ 
  instance <proof>
end
```

```
instantiation option :: (bot)null
begin
  definition null-option-def:  $(null::'a::bot \text{ option}) \equiv \perp bot \lrcorner$ 
  instance <proof>
end
```

```
instantiation fun :: (type,bot) bot
begin
  definition bot-fun-def:  $bot \equiv (\lambda x. bot)$ 
  instance <proof>
end
```

```
instantiation fun :: (type,null) null
begin
  definition null-fun-def:  $(null::'a \Rightarrow 'b::null) \equiv (\lambda x. null)$ 
  instance <proof>
end
```

A trivial consequence of this adaption of the interface is that abstract and concrete versions of *null* are the same on base types (as could be expected).

1.1.4. The Common Infrastructure of Object Types (Class Types) and States.

Recall that OCL is a textual extension of the UML; in particular, we use OCL as means to annotate UML class models. Thus, OCL inherits a notion of *data* in the UML: UML class models provide classes, inheritance, types of objects, and subtypes connecting them along the inheritance hierarchy.

For the moment, we formalize the most common notions of objects, in particular the existence of object-identifiers (oid) for each object under which it can be referenced in a *state*.

type-synonym $oid = nat$

We refrained from the alternative:

type-synonym $oid = ind$

which is slightly more abstract but non-executable.

States in UML/OCL are a pair of

- a partial map from oid's to elements of an *object universe*, i.e. the set of all possible object representations.
- and an oid-indexed family of *associations*, i.e. finite relations between objects living in a state. These relations can be n-ary which we model by nested lists.

For the moment we do not have to describe the concrete structure of the object universe and denote it by the polymorphic variable $'\mathcal{A}$.

record ($'\mathcal{A}$)*state* =
 heap :: $oid \rightarrow '\mathcal{A}$
 assocs :: $oid \rightarrow ((oid\ list)\ list)\ list$

In general, OCL operations are functions implicitly depending on a pair of pre- and post-state, i.e. *state transitions*. Since this will be reflected in our representation of OCL Types within HOL, we need to introduce the foundational concept of an object id (oid), which is just some infinite set, and some abstract notion of state.

type-synonym ($'\mathcal{A}$)*st* = $'\mathcal{A}\ state \times '\mathcal{A}\ state$

We will require for all objects that there is a function that projects the oid of an object in the state (we will settle the question how to define this function later). We will use the Isabelle type class mechanism [21] to capture this:

class *object* = **fixes** *oid-of* :: $'a \Rightarrow oid$

Thus, if needed, we can constrain the object universe to objects by adding the following type class constraint:

typ $'\mathcal{A} :: object$

The major instance needed are instances constructed over options: once an object, options of objects are also objects.

instantiation *option* :: (*object*)*object*
begin
 definition *oid-of-option-def*: $oid-of\ x = oid-of\ (the\ x)$
 instance $\langle proof \rangle$
end

1.1.5. Common Infrastructure for all OCL Types (II): Valuations as OCL Types

Since OCL operations in general depend on pre- and post-states, we will represent OCL types as *functions* from pre- and post-state to some HOL raw-type that contains exactly the data in the OCL type — see below. This gives rise to the idea that we represent OCL types by *Valuations*.

Valuations are functions from a state pair (built upon data universe \mathcal{A}) to an arbitrary null-type (i. e., containing at least a distinguished *null* and *invalid* element).

type-synonym $(\mathcal{A}, \alpha) \text{ val} = \mathcal{A} \text{ st} \Rightarrow \alpha::\text{null}$

The definitions for the constants and operations based on valuations will be geared towards a format that Isabelle can check to be a “conservative” (i. e., logically safe) axiomatic definition. By introducing an explicit interpretation function (which happens to be defined just as the identity since we are using a shallow embedding of OCL into HOL), all these definitions can be rewritten into the conventional semantic textbook format as follows:

1.1.6. The fundamental constants ‘invalid’ and ‘null’ in all OCL Types

As a consequence of semantic domain definition, any OCL type will have the two semantic constants *invalid* (for exceptional, aborted computation) and *null*:

definition *invalid* :: $(\mathcal{A}, \alpha::\text{bot}) \text{ val}$
where $\text{invalid} \equiv \lambda \tau. \text{bot}$

This conservative Isabelle definition of the polymorphic constant *invalid* is equivalent with the textbook definition:

lemma *textbook-invalid*: $I[\![\text{invalid}]\!]\tau = \text{bot}$
<proof>

Note that the definition :

definition *null* :: $(\mathcal{A}, \alpha::\text{null}) \text{ val}$
where $\text{null} \equiv \lambda \tau. \text{null}$

is not necessary since we defined the entire function space over null types again as null-types; the crucial definition is $\text{null} \equiv \lambda x. \text{null}$. Thus, the polymorphic constant *null* is simply the result of a general type class construction. Nevertheless, we can derive the semantic textbook definition for the OCL null constant based on the abstract null:

lemma *textbook-null-fun*: $I[\![\text{null}::(\mathcal{A}, \alpha::\text{null}) \text{ val}]\!]\tau = (\text{null}::(\alpha::\text{null}))$
<proof>

1.2. Basic OCL Value Types

The structure of this section roughly follows the structure of Chapter 11 of the OCL standard [32], which introduces the OCL Library.

The semantic domain of the (basic) boolean type is now defined as the Standard: the space of valuation to $\langle\langle \text{bool} \rangle_{\perp}\rangle_{\perp}$, i. e. the Boolean base type:

type-synonym $\text{Boolean}_{\text{base}} = \text{bool option option}$
type-synonym $(\mathcal{A})\text{Boolean} = (\mathcal{A}, \text{Boolean}_{\text{base}}) \text{ val}$

Because of the previous class definitions, Isabelle type-inference establishes that $\mathcal{A} \text{ Boolean}$ lives actually both in the type class *UML-Types.bot-class.bot* and *null*; this type is sufficiently rich to contain at least these two elements. Analogously we build:

type-synonym $\text{Integer}_{\text{base}} = \text{int option option}$
type-synonym $(\mathcal{A})\text{Integer} = (\mathcal{A}, \text{Integer}_{\text{base}}) \text{ val}$

type-synonym $\text{String}_{\text{base}} = \text{string option option}$
type-synonym $(\mathcal{A})\text{String} = (\mathcal{A}, \text{String}_{\text{base}}) \text{ val}$

type-synonym $\text{Real}_{\text{base}} = \text{real option option}$
type-synonym $(\mathcal{A})\text{Real} = (\mathcal{A}, \text{Real}_{\text{base}}) \text{ val}$

If needed, a code-generator to compile *Real* to floating-point numbers can be added; this allows for mapping reals to an efficient machine representation; of course, this feature would be logically unsafe.

```
typedef Voidbase = { X::unit option option. X = bot  $\vee$  X = null } ⟨proof⟩
```

1.3. Some OCL Collection Types

The former principle rules out the option to define $'\alpha$ Set just by $(\mathfrak{A}, ('_{\alpha} \text{ option option} \text{ set}) \text{ val})$. This would allow sets to contain junk elements such as $\{\perp\}$ which we need to identify with undefinedness itself. Abandoning fully abstractness of rules would later on produce all sorts of problems when quantifying over the elements of a type. However, if we build an own type, then it must conform to our abstract interface in order to have nested types: arguments of type-constructors must conform to our abstract interface, and the result type too.

```

    instance ⟨proof⟩
end

```

... and lifting this type to the format of a valuation gives us:

```

type-synonym    ('A, 'α, 'β) Pair = ('A, ('α, 'β) Pairbase) val
type-notation   Pairbase (Pair'(-, -))

```

1.3.2. The Construction of the Set Type

The core of an own type construction is done via a type definition which provides the raw-type $'\alpha$ Set_{base} . It is shown that this type “fits” indeed into the abstract type interface discussed in the previous section. Note that we make no restriction whatsoever to *finite* sets; while with the standards type-constructors only finite sets can be denoted, there is the possibility to define in fact infinite type constructors in Featherweight OCL (c.f. Section 2.9.1).

```

typedef (overloaded) 'α Setbase = {X :: ('α :: null) set option option. X = bot ∨ X = null ∨ (∀ x ∈ ⊢X⊢. x ≠ bot)}
    ⟨proof⟩

```

```

instantiation Setbase :: (null)bot
begin

```

```

    definition bot-Setbase-def: (bot :: ('a :: null) Setbase) ≡ Abs-Setbase None

```

```

    instance ⟨proof⟩
end

```

```

instantiation Setbase :: (null)null
begin

```

```

    definition null-Setbase-def: (null :: ('a :: null) Setbase) ≡ Abs-Setbase ⊥ None

```

```

    instance ⟨proof⟩
end

```

... and lifting this type to the format of a valuation gives us:

```

type-synonym    ('A, 'α) Set = ('A, 'α Setbase) val
type-notation   Setbase (Set'(-))

```

1.3.3. The Construction of the Bag Type

The core of an own type construction is done via a type definition which provides the raw-type $'\alpha$ Bag_{base} based on multi-sets from the HOL library. As in Sets, it is shown that this type “fits” indeed into the abstract type interface discussed in the previous section, and as in sets, we make no restriction whatsoever to *finite* multi-sets; while with the standards type-constructors only finite sets can be denoted, there is the possibility to define in fact infinite type constructors in Featherweight OCL (c.f. Section 2.9.1). However, while several *null* elements are possible in a Bag, there can’t be no bottom (invalid) element in them.

```

typedef (overloaded) 'α Bagbase = {X :: ('α :: null ⇒ nat) option option. X = bot ∨ X = null ∨ ⊢X⊢ bot = 0 }
    ⟨proof⟩

```

```

instantiation Bagbase :: (null)bot
begin

```

definition *bot-Bag_{base}-def*: (*bot*::('a::null) Bag_{base}) \equiv Abs-Bag_{base} None

instance *<proof>*
end

instantiation Bag_{base} :: (null)null
begin

definition *null-Bag_{base}-def*: (*null*::('a::null) Bag_{base}) \equiv Abs-Bag_{base} \sqsubseteq None \sqsubseteq

instance *<proof>*
end

... and lifting this type to the format of a valuation gives us:

type-synonym ('A,'α) Bag = ('A, 'α Bag_{base}) val
type-notation Bag_{base} (Bag'(-'))

1.3.4. The Construction of the Sequence Type

The core of an own type construction is done via a type definition which provides the base-type 'α Sequence_{base}. It is shown that this type “fits” indeed into the abstract type interface discussed in the previous section.

typedef (overloaded) 'α Sequence_{base} = {X::('α::null) list option option.
X = bot \vee X = null \vee ($\forall x \in \text{set } \ulcorner X \urcorner. x \neq \text{bot}$)}

<proof>

instantiation Sequence_{base} :: (null)bot
begin

definition *bot-Sequence_{base}-def*: (*bot*::('a::null) Sequence_{base}) \equiv Abs-Sequence_{base} None

instance *<proof>*
end

instantiation Sequence_{base} :: (null)null
begin

definition *null-Sequence_{base}-def*: (*null*::('a::null) Sequence_{base}) \equiv Abs-Sequence_{base} \sqsubseteq None \sqsubseteq

instance *<proof>*
end

... and lifting this type to the format of a valuation gives us:

type-synonym ('A,'α) Sequence = ('A, 'α Sequence_{base}) val
type-notation Sequence_{base} (Sequence'(-'))

1.3.5. Discussion: The Representation of UML/OCL Types in Featherweight OCL

In the introduction, we mentioned that there is an “injective representation mapping” between the types of OCL and the types of Featherweight OCL (and its meta-language: HOL). This injectivity is at the heart of our representation technique — a so-called *shallow embedding* — and means: OCL types were mapped one-to-one to types in HOL, ruling out a resenatation where everything is mapped on some common HOL-type, say “OCL-expression”, in which we would have to sort out the typing of OCL and its impact on the semantic representation function in an own, quite heavy side-calculus.

After the previous sections, we are now able to exemplify this representation as follows:

OCL Type	HOL Type
Boolean	$\mathcal{A} \text{ Boolean}$
Boolean \rightarrow Boolean	$\mathcal{A} \text{ Boolean} \Rightarrow \mathcal{A} \text{ Boolean}$
(Integer,Integer) \rightarrow Boolean	$\mathcal{A} \text{ Integer} \Rightarrow \mathcal{A} \text{ Integer} \Rightarrow \mathcal{A} \text{ Boolean}$
Set(Integer)	$(\mathcal{A}, \text{Integer}_{base}) \text{ Set}$
Set(Integer) \rightarrow Real	$(\mathcal{A}, \text{Integer}_{base}) \text{ Set} \Rightarrow \mathcal{A} \text{ Real}$
Set(Pair(Integer,Boolean))	$(\mathcal{A}, \text{Pair}(\text{Integer}_{base}, \text{Boolean}_{base})) \text{ Set}$
Set(<T>)	$(\mathcal{A}, \mathcal{A}) \text{ Set}$

Table 1.1.: Correspondance between OCL types and HOL types

We do not formalize the representation map here; however, its principles are quite straight-forward:

1. cartesian products of arguments were curried,
2. constants of type T were mapped to valuations over the HOL-type for T,
3. functions $T \rightarrow T'$ were mapped to functions in HOL, where T and T' were mapped to the valuations for them, and
4. the arguments of type constructors **Set**(T) remain corresponding HOL base-types.

Note, furthermore, that our construction of “fully abstract types” (no junk, no confusion) assures that the logical equality to be defined in the next section works correctly and comes as element of the “lingua franca”, i. e. HOL.

$\langle ML \rangle$

end

2. Formalization II: OCL Terms and Library Operations

```
theory UML-Logic
imports UML-Types
begin
```

2.1. The Operations of the Boolean Type and the OCL Logic

2.1.1. Basic Constants

```
lemma bot-Boolean-def : (bot::('A)Boolean) = ( $\lambda \tau. \perp$ )
<proof>
```

```
lemma null-Boolean-def : (null::('A)Boolean) = ( $\lambda \tau. \perp\!\!\!\perp$ )
<proof>
```

```
definition true :: ('A)Boolean
where true  $\equiv \lambda \tau. \perp\!\!\!\perp True_{\perp}$ 
```

```
definition false :: ('A)Boolean
where false  $\equiv \lambda \tau. \perp\!\!\!\perp False_{\perp}$ 
```

```
lemma bool-split-0:  $X \tau = invalid \tau \vee X \tau = null \tau \vee$   

 $X \tau = true \tau \quad \vee X \tau = false \tau$ 
<proof>
```

```
lemma [simp]: false (a, b) =  $\perp\!\!\!\perp False_{\perp}$ 
<proof>
```

```
lemma [simp]: true (a, b) =  $\perp\!\!\!\perp True_{\perp}$ 
<proof>
```

```
lemma textbook-true:  $I\llbracket true \rrbracket \tau = \perp\!\!\!\perp True_{\perp}$ 
<proof>
```

```
lemma textbook-false:  $I\llbracket false \rrbracket \tau = \perp\!\!\!\perp False_{\perp}$ 
<proof>
```

2.1.2. Validity and Definedness

However, this has also the consequence that core concepts like definedness, validity and even cp have to be redefined on this type class:

```
definition valid :: ('A, 'a::null)val  $\Rightarrow$  ('A)Boolean ( $v - [100]100$ )
where  $v X \equiv \lambda \tau. \text{if } X \tau = bot \tau \text{ then } false \tau \text{ else } true \tau$ 
```

Name	Theorem
<i>textbook-invalid</i>	$I\llbracket \text{invalid} \rrbracket \tau = \text{UML-Types.bot-class.bot}$
<i>textbook-null-fun</i>	$I\llbracket \text{null} \rrbracket \tau = \text{null}$
<i>textbook-true</i>	$I\llbracket \text{true} \rrbracket \tau = \perp \text{True}_{\perp}$
<i>textbook-false</i>	$I\llbracket \text{false} \rrbracket \tau = \perp \text{False}_{\perp}$

Table 2.1.: Basic semantic constant definitions of the logic

lemma *valid1[simp]*: $v \text{ invalid} = \text{false}$
 $\langle \text{proof} \rangle$
lemma *valid2[simp]*: $v \text{ null} = \text{true}$
 $\langle \text{proof} \rangle$
lemma *valid3[simp]*: $v \text{ true} = \text{true}$
 $\langle \text{proof} \rangle$
lemma *valid4[simp]*: $v \text{ false} = \text{true}$
 $\langle \text{proof} \rangle$ **lemma** *cp-valid*: $(v \ X) \ \tau = (v \ (\lambda \ -. \ X \ \tau)) \ \tau$
 $\langle \text{proof} \rangle$ **definition** *defined* :: $(\mathfrak{A}, 'a::\text{null}) \text{val} \Rightarrow (\mathfrak{A}) \text{Boolean} \ (\delta - [100]100)$
where $\delta \ X \equiv \lambda \ \tau . \text{if } X \ \tau = \text{bot} \ \tau \ \vee \ X \ \tau = \text{null} \ \tau \text{ then false } \tau \text{ else true } \tau$

The generalized definitions of *invalid* and *definedness* have the same properties as the old ones :

lemma *defined1[simp]*: $\delta \text{ invalid} = \text{false}$
 $\langle \text{proof} \rangle$
lemma *defined2[simp]*: $\delta \text{ null} = \text{false}$
 $\langle \text{proof} \rangle$
lemma *defined3[simp]*: $\delta \text{ true} = \text{true}$
 $\langle \text{proof} \rangle$
lemma *defined4[simp]*: $\delta \text{ false} = \text{true}$
 $\langle \text{proof} \rangle$
lemma *defined5[simp]*: $\delta \ \delta \ X = \text{true}$
 $\langle \text{proof} \rangle$
lemma *defined6[simp]*: $\delta \ v \ X = \text{true}$
 $\langle \text{proof} \rangle$
lemma *valid5[simp]*: $v \ v \ X = \text{true}$
 $\langle \text{proof} \rangle$
lemma *valid6[simp]*: $v \ \delta \ X = \text{true}$
 $\langle \text{proof} \rangle$ **lemma** *cp-defined*: $(\delta \ X) \ \tau = (\delta \ (\lambda \ -. \ X \ \tau)) \ \tau$
 $\langle \text{proof} \rangle$

The definitions above for the constants *defined* and *valid* can be rewritten into the conventional semantic "textbook" format as follows:

lemma *textbook-defined*: $I\llbracket \delta(X) \rrbracket \tau = (\text{if } I\llbracket X \rrbracket \tau = I\llbracket \text{bot} \rrbracket \tau \ \vee \ I\llbracket X \rrbracket \tau = I\llbracket \text{null} \rrbracket \tau$
 $\text{then } I\llbracket \text{false} \rrbracket \tau$
 $\text{else } I\llbracket \text{true} \rrbracket \tau)$
 $\langle \text{proof} \rangle$
lemma *textbook-valid*: $I\llbracket v(X) \rrbracket \tau = (\text{if } I\llbracket X \rrbracket \tau = I\llbracket \text{bot} \rrbracket \tau$
 $\text{then } I\llbracket \text{false} \rrbracket \tau$
 $\text{else } I\llbracket \text{true} \rrbracket \tau)$
 $\langle \text{proof} \rangle$

Table 2.2 and Table 2.3 summarize the results of this section.

Name	Theorem
<i>textbook-defined</i>	$I[\delta X] \tau = (if\ I[X] \tau = I[UML-Types.bot-class.bot] \tau \vee I[X] \tau = I[null] \tau$ $then\ I[false] \tau\ else\ I[true] \tau)$
<i>textbook-valid</i>	$I[v X] \tau = (if\ I[X] \tau = I[UML-Types.bot-class.bot] \tau\ then\ I[false] \tau\ else$ $I[true] \tau)$

Table 2.2.: Basic predicate definitions of the logic.

Name	Theorem
<i>defined1</i>	$\delta\ invalid = false$
<i>defined2</i>	$\delta\ null = false$
<i>defined3</i>	$\delta\ true = true$
<i>defined4</i>	$\delta\ false = true$
<i>defined5</i>	$\delta\ \delta\ X = true$
<i>defined6</i>	$\delta\ v\ X = true$

Table 2.3.: Laws of the basic predicates of the logic.

2.1.3. The Equalities of OCL

The OCL contains a particular version of equality, written in Standard documents $_ = _$ and $_ <> _$ for its negation, which is referred as *weak referential equality* hereafter and for which we use the symbol $_ \doteq _$ throughout the formal part of this document. Its semantics is motivated by the desire of fast execution, and similarity to languages like Java and C, but does not satisfy the needs of logical reasoning over OCL expressions and specifications. We therefore introduce a second equality, referred as *strong equality* or *logical equality* and written $_ \triangleq _$ which is not present in the current standard but was discussed in prior texts on OCL like the Amsterdam Manifesto [18] and was identified as desirable extension of OCL in the Aachen Meeting [14] in the future 2.5 OCL Standard. The purpose of strong equality is to define and reason over OCL. It is therefore a natural task in Featherweight OCL to formally investigate the somewhat quite complex relationship between these two.

Strong equality has two motivations: a pragmatic one and a fundamental one.

1. The pragmatic reason is fairly simple: users of object-oriented languages want something like a “shallow object value equality”. You will want to say $a.boss \triangleq b.boss@pre$ instead of

$a.boss \doteq b.boss@pre$ **and** *(* just the pointers are equal! *)*
 $a.boss.name \doteq b.boss@pre.name@pre$ **and**
 $a.boss.age \doteq b.boss@pre.age@pre$

Breaking a shallow-object equality down to referential equality of attributes is cumbersome, error-prone, and makes specifications difficult to extend (add for example an attribute sex to your class, and check in your OCL specification everywhere that you did it right with your simulation of strong equality). Therefore, languages like Java offer facilities to handle two different equalities, and it is problematic even in an execution oriented specification language to ignore shallow object equality because it is so common in the code.

2. The fundamental reason goes as follows: whatever you do to reason consistently over a language, you need the concept of equality: you need to know what expressions can be replaced by others because they *mean the same thing*. People call this also “Leibniz Equality” because this philosopher brought this principle first explicitly to paper and shed some light over it. It is the theoretic foundation of what you do in an optimizing compiler: you replace expressions by *equal* ones, which you hope are easier to evaluate. In a typed language, strong equality exists uniformly over all

types, it is “polymorphic” $_ = _ :: \alpha * \alpha \rightarrow \text{bool}$ —this is the way that equality is defined in HOL itself. We can express Leibniz principle as one logical rule of surprising simplicity and beauty:

$$s = t \implies P(s) = P(t) \quad (2.1)$$

“Whenever we know, that s is equal to t , we can replace the sub-expression s in a term P by t and we have that the replacement is equal to the original.”

While weak referential equality is defined to be strict in the OCL standard, we will define strong equality as non-strict. It is quite nasty (but not impossible) to define the logical equality in a strict way (the substitutivity rule above would look more complex), however, whenever references were used, strong equality is needed since references refer to particular states (pre or post), and that they mean the same thing can therefore not be taken for granted.

Definition

The strict equality on basic types (actually on all types) must be exceptionally defined on *null*—otherwise the entire concept of null in the language does not make much sense. This is an important exception from the general rule that null arguments—especially if passed as “self”-argument—lead to invalid results.

We define strong equality extremely generic, even for types that contain a *null* or \perp element. Strong equality is simply polymorphic in Featherweight OCL, i. e., is defined identical for all types in OCL and HOL.

definition *StrongEq*:: $[\mathfrak{A} \text{ st} \Rightarrow \mathfrak{A} \text{ st} \Rightarrow \mathfrak{A}] \Rightarrow (\mathfrak{A})\text{Boolean}$ (**infixl** \triangleq 30)
where $X \triangleq Y \equiv \lambda \tau. \sqcup X \tau = Y \tau \sqcup$

From this follow already elementary properties like:

lemma [*simpl,code-unfold*]: $(\text{true} \triangleq \text{false}) = \text{false}$
 $\langle \text{proof} \rangle$

lemma [*simpl,code-unfold*]: $(\text{false} \triangleq \text{true}) = \text{false}$
 $\langle \text{proof} \rangle$

Fundamental Predicates on Strong Equality

Equality reasoning in OCL is not humpty dumpty. While strong equality is clearly an equivalence:

lemma *StrongEq-refl* [*simpl*]: $(X \triangleq X) = \text{true}$
 $\langle \text{proof} \rangle$

lemma *StrongEq-sym*: $(X \triangleq Y) = (Y \triangleq X)$
 $\langle \text{proof} \rangle$

lemma *StrongEq-trans-strong* [*simpl*]:
assumes $A: (X \triangleq Y) = \text{true}$
and $B: (Y \triangleq Z) = \text{true}$
shows $(X \triangleq Z) = \text{true}$
 $\langle \text{proof} \rangle$

it is only in a limited sense a congruence, at least from the point of view of this semantic theory. The point is that it is only a congruence on OCL expressions, not arbitrary HOL expressions (with which we can mix Featherweight OCL expressions). A semantic—not syntactic—characterization of OCL expressions is that they are *context-passing* or *context-invariant*, i. e., the context of an entire OCL expression, i. e. the pre and post state it refers to, is passed constantly and unmodified to the sub-expressions, i. e., all sub-expressions inside an OCL expression refer to the same context. Expressed formally, this boils down to:

lemma *StrongEq-subst* :
assumes *cp*: $\bigwedge X. P(X)\tau = P(\lambda -. X \tau)\tau$
and *eq*: $(X \triangleq Y)\tau = \text{true } \tau$
shows $(P X \triangleq P Y)\tau = \text{true } \tau$
 $\langle \text{proof} \rangle$

lemma *defined7[simp]*: $\delta (X \triangleq Y) = \text{true}$
 $\langle \text{proof} \rangle$

lemma *valid7[simp]*: $v (X \triangleq Y) = \text{true}$
 $\langle \text{proof} \rangle$

lemma *cp-StrongEq*: $(X \triangleq Y) \tau = ((\lambda -. X \tau) \triangleq (\lambda -. Y \tau)) \tau$
 $\langle \text{proof} \rangle$

2.1.4. Logical Connectives and their Universal Properties

It is a design goal to give OCL a semantics that is as closely as possible to a “logical system” in a known sense; a specification logic where the logical connectives can not be understood other than having the truth-table aside when reading fails its purpose in our view.

Practically, this means that we want to give a definition to the core operations to be as close as possible to the lattice laws; this makes also powerful symbolic normalization of OCL specifications possible as a pre-requisite for automated theorem provers. For example, it is still possible to compute without any definedness and validity reasoning the DNF of an OCL specification; be it for test-case generations or for a smooth transition to a two-valued representation of the specification amenable to fast standard SMT-solvers, for example.

Thus, our representation of the OCL is merely a 4-valued Kleene-Logics with *invalid* as least, *null* as middle and *true* resp. *false* as unrelated top-elements.

definition *OclNot* :: $(\mathfrak{A})\text{Boolean} \Rightarrow (\mathfrak{A})\text{Boolean} (\text{not})$
where $\text{not } X \equiv \lambda \tau . \text{case } X \tau \text{ of}$
 $\quad \perp \quad \Rightarrow \perp$
 $\quad | \perp \perp \perp \quad \Rightarrow \perp \perp \perp$
 $\quad | \perp x \perp \quad \Rightarrow \perp \neg x \perp$

lemma *cp-OclNot*: $(\text{not } X)\tau = (\text{not } (\lambda -. X \tau)) \tau$
 $\langle \text{proof} \rangle$

lemma *OclNot1[simp]*: $\text{not invalid} = \text{invalid}$
 $\langle \text{proof} \rangle$

lemma *OclNot2[simp]*: $\text{not null} = \text{null}$
 $\langle \text{proof} \rangle$

lemma *OclNot3[simp]*: $\text{not true} = \text{false}$
 $\langle \text{proof} \rangle$

lemma *OclNot4[simp]*: $\text{not false} = \text{true}$
 $\langle \text{proof} \rangle$

lemma *OclNot-not[simp]*: $\text{not } (\text{not } X) = X$
 $\langle \text{proof} \rangle$

lemma *OclNot-inject*: $\bigwedge x y. \text{not } x = \text{not } y \implies x = y$

⟨proof⟩

definition $OclAnd :: [(^{\mathfrak{A}})Boolean, (^{\mathfrak{A}})Boolean] \Rightarrow (^{\mathfrak{A}})Boolean$ (**infixl** and 30)

where X and $Y \equiv (\lambda \tau . \text{case } X \tau \text{ of}$

$$\begin{array}{lcl}
\ulcorner \text{False} \urcorner & \Rightarrow & \ulcorner \text{False} \urcorner \\
| \bot & \Rightarrow & (\text{case } Y \text{ } \tau \text{ of} \\
& & \ulcorner \text{False} \urcorner \Rightarrow \ulcorner \text{False} \urcorner \\
& & | - \Rightarrow \bot) \\
| \ulcorner \bot \urcorner & \Rightarrow & (\text{case } Y \text{ } \tau \text{ of} \\
& & \ulcorner \text{False} \urcorner \Rightarrow \ulcorner \text{False} \urcorner \\
& & | \bot \Rightarrow \bot \\
& & | - \Rightarrow \ulcorner \bot \urcorner) \\
| \ulcorner \text{True} \urcorner & \Rightarrow & Y \text{ } \tau)
\end{array}$$

Note that *not* is *not* defined as a strict function; proximity to lattice laws implies that we *need* a definition of *not* that satisfies $\text{not}(\text{not}(x))=x$.

In textbook notation, the logical core constructs *not* and (*and*) were represented as follows:

lemma *textbook-OclNot*:

$$I[\text{not}(X)] \tau = (\text{case } I[X] \tau \text{ of } \begin{array}{l} \perp \Rightarrow \perp \\ | \perp \perp_{\perp} \Rightarrow \perp \perp_{\perp} \\ | \perp x_{\perp} \Rightarrow \perp \neg x_{\perp} \end{array})$$

⟨proof⟩

lemma *textbook-OclAnd*:

$$\begin{array}{l}
I\llbracket X \text{ and } Y \rrbracket \tau = (\text{case } I\llbracket X \rrbracket \tau \text{ of} \\
\quad \perp \Rightarrow (\text{case } I\llbracket Y \rrbracket \tau \text{ of} \\
\quad \quad \perp \Rightarrow \perp \\
\quad \quad | \perp_{\perp} \Rightarrow \perp \\
\quad \quad | \perp_{\text{True}_{\perp}} \Rightarrow \perp \\
\quad \quad | \perp_{\text{False}_{\perp}} \Rightarrow \perp_{\text{False}_{\perp}}) \\
| \perp_{\perp} \Rightarrow (\text{case } I\llbracket Y \rrbracket \tau \text{ of} \\
\quad \perp \Rightarrow \perp \\
\quad | \perp_{\perp} \Rightarrow \perp_{\perp} \\
\quad | \perp_{\text{True}_{\perp}} \Rightarrow \perp_{\perp} \\
\quad | \perp_{\text{False}_{\perp}} \Rightarrow \perp_{\text{False}_{\perp}}) \\
| \perp_{\text{True}_{\perp}} \Rightarrow (\text{case } I\llbracket Y \rrbracket \tau \text{ of} \\
\quad \perp \Rightarrow \perp \\
\quad | \perp_{\perp} \Rightarrow \perp_{\perp} \\
\quad | \perp_{y_{\perp}} \Rightarrow \perp_{y_{\perp}}) \\
| \perp_{\text{False}_{\perp}} \Rightarrow \perp_{\text{False}_{\perp}})
\end{array}$$

⟨proof⟩

definition $OclOr :: [(^{\mathcal{A}})Boolean, (^{\mathcal{A}})Boolean] \Rightarrow (^{\mathcal{A}})Boolean$ (infixl or 25)

where $X \text{ or } Y \equiv \text{not}(\text{not } X \text{ and not } Y)$

definition *OclImplies* :: $((\mathcal{A})\text{Boolean}, (\mathcal{A})\text{Boolean}) \Rightarrow (\mathcal{A})\text{Boolean}$ (**infixl** *implies* 25)

where $X \text{ implies } Y \equiv \text{not } X \text{ or } Y$

lemma *cp-OclAnd*: $(X \text{ and } Y) \tau = ((\lambda \text{ -. } X \tau) \text{ and } (\lambda \text{ -. } Y \tau)) \tau$

⟨proof⟩

lemma *cp-OclOr*: $((X :: ('A) Boolean) \text{ or } Y) \tau = ((\lambda -. X \tau) \text{ or } (\lambda -. Y \tau)) \tau$

⟨proof⟩

lemma *cp-OclImplies*: $(X \text{ implies } Y) \tau = ((\lambda -. X \tau) \text{ implies } (\lambda -. Y \tau)) \tau$

⟨proof⟩

lemma *OclAnd1[simp]: (invalid and true) = invalid*
 $\langle \text{proof} \rangle$

lemma *OclAnd2[simp]: (invalid and false) = false*
 $\langle \text{proof} \rangle$

lemma *OclAnd3[simp]: (invalid and null) = invalid*
 $\langle \text{proof} \rangle$

lemma *OclAnd4[simp]: (invalid and invalid) = invalid*
 $\langle \text{proof} \rangle$

lemma *OclAnd5[simp]: (null and true) = null*
 $\langle \text{proof} \rangle$

lemma *OclAnd6[simp]: (null and false) = false*
 $\langle \text{proof} \rangle$

lemma *OclAnd7[simp]: (null and null) = null*
 $\langle \text{proof} \rangle$

lemma *OclAnd8[simp]: (null and invalid) = invalid*
 $\langle \text{proof} \rangle$

lemma *OclAnd9[simp]: (false and true) = false*
 $\langle \text{proof} \rangle$

lemma *OclAnd10[simp]: (false and false) = false*
 $\langle \text{proof} \rangle$

lemma *OclAnd11[simp]: (false and null) = false*
 $\langle \text{proof} \rangle$

lemma *OclAnd12[simp]: (false and invalid) = false*
 $\langle \text{proof} \rangle$

lemma *OclAnd13[simp]: (true and true) = true*
 $\langle \text{proof} \rangle$

lemma *OclAnd14[simp]: (true and false) = false*
 $\langle \text{proof} \rangle$

lemma *OclAnd15[simp]: (true and null) = null*
 $\langle \text{proof} \rangle$

lemma *OclAnd16[simp]: (true and invalid) = invalid*
 $\langle \text{proof} \rangle$

lemma *OclAnd-idem[simp]: (X and X) = X*
 $\langle \text{proof} \rangle$

lemma *OclAnd-commute: (X and Y) = (Y and X)*
 $\langle \text{proof} \rangle$

lemma *OclAnd-false1[simp]: (false and X) = false*
 $\langle \text{proof} \rangle$

lemma *OclAnd-false2[simp]: (X and false) = false*
 $\langle \text{proof} \rangle$

lemma *OclAnd-true1[simp]: (true and X) = X*
 $\langle \text{proof} \rangle$

lemma *OclAnd-true2[simp]: (X and true) = X*
 $\langle \text{proof} \rangle$

lemma *OclAnd-bot1[simp]*: $\bigwedge \tau. X \tau \neq \text{false} \tau \implies (\text{bot and } X) \tau = \text{bot } \tau$
 $\langle \text{proof} \rangle$

lemma *OclAnd-bot2[simp]*: $\bigwedge \tau. X \tau \neq \text{false} \tau \implies (X \text{ and bot}) \tau = \text{bot } \tau$
 $\langle \text{proof} \rangle$

lemma *OclAnd-null1[simp]*: $\bigwedge \tau. X \tau \neq \text{false} \tau \implies X \tau \neq \text{bot } \tau \implies (\text{null and } X) \tau = \text{null } \tau$
 $\langle \text{proof} \rangle$

lemma *OclAnd-null2[simp]*: $\bigwedge \tau. X \tau \neq \text{false} \tau \implies X \tau \neq \text{bot } \tau \implies (X \text{ and null}) \tau = \text{null } \tau$
 $\langle \text{proof} \rangle$

lemma *OclAnd-assoc*: $(X \text{ and } (Y \text{ and } Z)) = (X \text{ and } Y \text{ and } Z)$
 $\langle \text{proof} \rangle$

lemma *OclOr1[simp]*: $(\text{invalid or true}) = \text{true}$
 $\langle \text{proof} \rangle$

lemma *OclOr2[simp]*: $(\text{invalid or false}) = \text{invalid}$
 $\langle \text{proof} \rangle$

lemma *OclOr3[simp]*: $(\text{invalid or null}) = \text{invalid}$
 $\langle \text{proof} \rangle$

lemma *OclOr4[simp]*: $(\text{invalid or invalid}) = \text{invalid}$
 $\langle \text{proof} \rangle$

lemma *OclOr5[simp]*: $(\text{null or true}) = \text{true}$
 $\langle \text{proof} \rangle$

lemma *OclOr6[simp]*: $(\text{null or false}) = \text{null}$
 $\langle \text{proof} \rangle$

lemma *OclOr7[simp]*: $(\text{null or null}) = \text{null}$
 $\langle \text{proof} \rangle$

lemma *OclOr8[simp]*: $(\text{null or invalid}) = \text{invalid}$
 $\langle \text{proof} \rangle$

lemma *OclOr-idem[simp]*: $(X \text{ or } X) = X$
 $\langle \text{proof} \rangle$

lemma *OclOr-commute*: $(X \text{ or } Y) = (Y \text{ or } X)$
 $\langle \text{proof} \rangle$

lemma *OclOr-false1[simp]*: $(\text{false or } Y) = Y$
 $\langle \text{proof} \rangle$

lemma *OclOr-false2[simp]*: $(Y \text{ or false}) = Y$
 $\langle \text{proof} \rangle$

lemma *OclOr-true1[simp]*: $(\text{true or } Y) = \text{true}$
 $\langle \text{proof} \rangle$

lemma *OclOr-true2*: $(Y \text{ or true}) = \text{true}$
 $\langle \text{proof} \rangle$

lemma *OclOr-bot1[simp]*: $\bigwedge \tau. X \tau \neq \text{true} \tau \implies (\text{bot or } X) \tau = \text{bot } \tau$
 $\langle \text{proof} \rangle$

lemma *OclOr-bot2[simp]*: $\bigwedge \tau. X \tau \neq \text{true} \tau \implies (X \text{ or bot}) \tau = \text{bot } \tau$
 $\langle \text{proof} \rangle$

lemma *OclOr-null1*[simp]: $\bigwedge \tau. X \ \tau \neq \text{true} \ \tau \implies X \ \tau \neq \text{bot} \ \tau \implies (\text{null or } X) \ \tau = \text{null} \ \tau$
 $\langle \text{proof} \rangle$

lemma *OclOr-null2*[simp]: $\bigwedge \tau. X \ \tau \neq \text{true} \ \tau \implies X \ \tau \neq \text{bot} \ \tau \implies (X \text{ or } \text{null}) \ \tau = \text{null} \ \tau$
 $\langle \text{proof} \rangle$

lemma *OclOr-assoc*: $(X \text{ or } (Y \text{ or } Z)) = (X \text{ or } Y \text{ or } Z)$
 $\langle \text{proof} \rangle$

lemma *deMorgan1*: $\text{not}(X \text{ and } Y) = ((\text{not } X) \text{ or } (\text{not } Y))$
 $\langle \text{proof} \rangle$

lemma *deMorgan2*: $\text{not}(X \text{ or } Y) = ((\text{not } X) \text{ and } (\text{not } Y))$
 $\langle \text{proof} \rangle$

lemma *OclImplies-true1*[simp]: $(\text{true implies } X) = X$
 $\langle \text{proof} \rangle$

lemma *OclImplies-true2*[simp]: $(X \text{ implies true}) = \text{true}$
 $\langle \text{proof} \rangle$

lemma *OclImplies-false1*[simp]: $(\text{false implies } X) = \text{true}$
 $\langle \text{proof} \rangle$

2.1.5. A Standard Logical Calculus for OCL

definition *OclValid* :: $[(\mathcal{A})st, (\mathcal{A})Boolean] \Rightarrow \text{bool} ((1(-)/ \models (-)) \ 50)$
where $\tau \models P \equiv ((P \ \tau) = \text{true} \ \tau)$

syntax *OclNonValid* :: $[(\mathcal{A})st, (\mathcal{A})Boolean] \Rightarrow \text{bool} ((1(-)/ \not\models (-)) \ 50)$

translations $\tau \not\models P == \neg(\tau \models P)$

Global vs. Local Judgements

lemma *transform1*: $P = \text{true} \implies \tau \models P$
 $\langle \text{proof} \rangle$

lemma *transform1-rev*: $\forall \tau. \tau \models P \implies P = \text{true}$
 $\langle \text{proof} \rangle$

lemma *transform2*: $(P = Q) \implies ((\tau \models P) = (\tau \models Q))$
 $\langle \text{proof} \rangle$

lemma *transform2-rev*: $\forall \tau. (\tau \models \delta \ P) \wedge (\tau \models \delta \ Q) \wedge (\tau \models P) = (\tau \models Q) \implies P = Q$
 $\langle \text{proof} \rangle$

However, certain properties (like transitivity) can not be *transformed* from the global level to the local one, they have to be re-proven on the local level.

lemma
assumes $H : P = \text{true} \implies Q = \text{true}$
shows $\tau \models P \implies \tau \models Q$
 $\langle \text{proof} \rangle$

Local Validity and Meta-logic

lemma *foundation1*[simp]: $\tau \models \text{true}$
 $\langle \text{proof} \rangle$

lemma *foundation2*[simp]: $\neg(\tau \models \text{false})$
 $\langle \text{proof} \rangle$

lemma *foundation3*[simp]: $\neg(\tau \models \text{invalid})$
 $\langle \text{proof} \rangle$

lemma *foundation4*[simp]: $\neg(\tau \models \text{null})$
 $\langle \text{proof} \rangle$

lemma *bool-split*[simp]:
 $(\tau \models (x \triangleq \text{invalid})) \vee (\tau \models (x \triangleq \text{null})) \vee (\tau \models (x \triangleq \text{true})) \vee (\tau \models (x \triangleq \text{false}))$
 $\langle \text{proof} \rangle$

lemma *defined-split*:
 $(\tau \models \delta x) = ((\neg(\tau \models (x \triangleq \text{invalid}))) \wedge (\neg(\tau \models (x \triangleq \text{null}))))$
 $\langle \text{proof} \rangle$

lemma *valid-bool-split*: $(\tau \models v A) = ((\tau \models A \triangleq \text{null}) \vee (\tau \models A) \vee (\tau \models \text{not } A))$
 $\langle \text{proof} \rangle$

lemma *defined-bool-split*: $(\tau \models \delta A) = ((\tau \models A) \vee (\tau \models \text{not } A))$
 $\langle \text{proof} \rangle$

lemma *foundation5*:
 $\tau \models (P \text{ and } Q) \implies (\tau \models P) \wedge (\tau \models Q)$
 $\langle \text{proof} \rangle$

lemma *foundation6*:
 $\tau \models P \implies \tau \models \delta P$
 $\langle \text{proof} \rangle$

lemma *foundation7*[simp]:
 $(\tau \models \text{not } (\delta x)) = (\neg(\tau \models \delta x))$
 $\langle \text{proof} \rangle$

lemma *foundation7'*[simp]:
 $(\tau \models \text{not } (v x)) = (\neg(\tau \models v x))$
 $\langle \text{proof} \rangle$

Key theorem for the δ -closure: either an expression is defined, or it can be replaced (substituted via *StrongEq-L-subst2*; see below) by *invalid* or *null*. Strictness-reduction rules will usually reduce these substituted terms drastically.

lemma *foundation8*:
 $(\tau \models \delta x) \vee (\tau \models (x \triangleq \text{invalid})) \vee (\tau \models (x \triangleq \text{null}))$
 $\langle \text{proof} \rangle$

lemma *foundation9*:
 $\tau \models \delta x \implies (\tau \models \text{not } x) = (\neg(\tau \models x))$

$\langle proof \rangle$

lemma *foundation9'*:

$\tau \models not\ x \implies \neg (\tau \models x)$

$\langle proof \rangle$

lemma *foundation9''*:

$\tau \models not\ x \implies \tau \models \delta\ x$

$\langle proof \rangle$

lemma *foundation10*:

$\tau \models \delta\ x \implies \tau \models \delta\ y \implies (\tau \models (x\ and\ y)) = ((\tau \models x) \wedge (\tau \models y))$

$\langle proof \rangle$

lemma *foundation10'*: $(\tau \models (A\ and\ B)) = ((\tau \models A) \wedge (\tau \models B))$

$\langle proof \rangle$

lemma *foundation11*:

$\tau \models \delta\ x \implies \tau \models \delta\ y \implies (\tau \models (x\ or\ y)) = ((\tau \models x) \vee (\tau \models y))$

$\langle proof \rangle$

lemma *foundation12*:

$\tau \models \delta\ x \implies (\tau \models (x\ implies\ y)) = ((\tau \models x) \longrightarrow (\tau \models y))$

$\langle proof \rangle$

lemma *foundation13*: $(\tau \models A \triangleq true) = (\tau \models A)$

$\langle proof \rangle$

lemma *foundation14*: $(\tau \models A \triangleq false) = (\tau \models not\ A)$

$\langle proof \rangle$

lemma *foundation15*: $(\tau \models A \triangleq invalid) = (\tau \models not(v\ A))$

$\langle proof \rangle$

lemma *foundation16*: $\tau \models (\delta\ X) = (X\ \tau \neq bot \wedge X\ \tau \neq null)$

$\langle proof \rangle$

lemma *foundation16''*: $\neg(\tau \models (\delta\ X)) = ((\tau \models (X \triangleq invalid)) \vee (\tau \models (X \triangleq null)))$

$\langle proof \rangle$

lemma *foundation16'*: $(\tau \models (\delta\ X)) = (X\ \tau \neq invalid\ \tau \wedge X\ \tau \neq null\ \tau)$

$\langle proof \rangle$

lemma *foundation18*: $(\tau \models (v\ X)) = (X\ \tau \neq invalid\ \tau)$

$\langle proof \rangle$

lemma *foundation18'*: $(\tau \models (v\ X)) = (X\ \tau \neq bot)$

$\langle proof \rangle$

lemma *foundation18''*: $(\tau \models (v \ X)) = (\neg(\tau \models (X \triangleq \text{invalid})))$
 $\langle \text{proof} \rangle$

lemma *foundation20* : $\tau \models (\delta \ X) \implies \tau \models v \ X$
 $\langle \text{proof} \rangle$

lemma *foundation21*: $(\text{not } A \triangleq \text{not } B) = (A \triangleq B)$
 $\langle \text{proof} \rangle$

lemma *foundation22*: $(\tau \models (X \triangleq Y)) = (X \ \tau = Y \ \tau)$
 $\langle \text{proof} \rangle$

lemma *foundation23*: $(\tau \models P) = (\tau \models (\lambda \ . \ P \ \tau))$
 $\langle \text{proof} \rangle$

lemma *foundation24*: $(\tau \models \text{not}(X \triangleq Y)) = (X \ \tau \neq Y \ \tau)$
 $\langle \text{proof} \rangle$

lemma *foundation25*: $\tau \models P \implies \tau \models (P \text{ or } Q)$
 $\langle \text{proof} \rangle$

lemma *foundation25'*: $\tau \models Q \implies \tau \models (P \text{ or } Q)$
 $\langle \text{proof} \rangle$

lemma *foundation26*:
assumes *defP*: $\tau \models \delta \ P$
assumes *defQ*: $\tau \models \delta \ Q$
assumes *H*: $\tau \models (P \text{ or } Q)$
assumes *P*: $\tau \models P \implies R$
assumes *Q*: $\tau \models Q \implies R$
shows *R*
 $\langle \text{proof} \rangle$

lemma *foundation27*: $\tau \models A \implies (\tau \models A \text{ implies } B) = (\tau \models B)$
 $\langle \text{proof} \rangle$

lemma *defined-not-I* : $\tau \models \delta \ (x) \implies \tau \models \delta \ (\text{not } x)$
 $\langle \text{proof} \rangle$

lemma *valid-not-I* : $\tau \models v \ (x) \implies \tau \models v \ (\text{not } x)$
 $\langle \text{proof} \rangle$

lemma *defined-and-I* : $\tau \models \delta \ (x) \implies \tau \models \delta \ (y) \implies \tau \models \delta \ (x \text{ and } y)$
 $\langle \text{proof} \rangle$

lemma *valid-and-I* : $\tau \models v \ (x) \implies \tau \models v \ (y) \implies \tau \models v \ (x \text{ and } y)$
 $\langle \text{proof} \rangle$

lemma *defined-or-I* : $\tau \models \delta \ (x) \implies \tau \models \delta \ (y) \implies \tau \models \delta \ (x \text{ or } y)$
 $\langle \text{proof} \rangle$

lemma *valid-or-I* : $\tau \models v \ (x) \implies \tau \models v \ (y) \implies \tau \models v \ (x \text{ or } y)$
 $\langle \text{proof} \rangle$

Local Judgements and Strong Equality

lemma *StrongEq-L-refl*: $\tau \models (x \triangleq x)$
 $\langle \text{proof} \rangle$

lemma *StrongEq-L-sym*: $\tau \models (x \triangleq y) \implies \tau \models (y \triangleq x)$
 $\langle \text{proof} \rangle$

lemma *StrongEq-L-trans*: $\tau \models (x \triangleq y) \implies \tau \models (y \triangleq z) \implies \tau \models (x \triangleq z)$
 $\langle \text{proof} \rangle$

In order to establish substitutivity (which does not hold in general HOL formulas) we introduce the following predicate that allows for a calculus of the necessary side-conditions.

definition *cp* :: $((\mathfrak{A}, \alpha) \text{ val} \Rightarrow (\mathfrak{A}, \beta) \text{ val}) \Rightarrow \text{bool}$
where $\text{cp } P \equiv (\exists f. \forall X \tau. P X \tau = f (X \tau) \tau)$

The rule of substitutivity in Featherweight OCL holds only for context-passing expressions, i. e. those that pass the context τ without changing it. Fortunately, all operators of the OCL language satisfy this property (but not all HOL operators).

lemma *StrongEq-L-subst1*: $\bigwedge \tau. \text{cp } P \implies \tau \models (x \triangleq y) \implies \tau \models (P x \triangleq P y)$
 $\langle \text{proof} \rangle$

lemma *StrongEq-L-subst2*:
 $\bigwedge \tau. \text{cp } P \implies \tau \models (x \triangleq y) \implies \tau \models (P x) \implies \tau \models (P y)$
 $\langle \text{proof} \rangle$

lemma *StrongEq-L-subst2-rev*: $\tau \models y \triangleq x \implies \text{cp } P \implies \tau \models P x \implies \tau \models P y$
 $\langle \text{proof} \rangle$

lemma *StrongEq-L-subst3*:
assumes *cp*: $\text{cp } P$
and *eq*: $\tau \models (x \triangleq y)$
shows $(\tau \models P x) = (\tau \models P y)$
 $\langle \text{proof} \rangle$

lemma *StrongEq-L-subst3-rev*:
assumes *eq*: $\tau \models (x \triangleq y)$
and *cp*: $\text{cp } P$
shows $(\tau \models P x) = (\tau \models P y)$
 $\langle \text{proof} \rangle$

lemma *StrongEq-L-subst4-rev*:
assumes *eq*: $\tau \models (x \triangleq y)$
and *cp*: $\text{cp } P$
shows $(\neg(\tau \models P x)) = (\neg(\tau \models P y))$
thm *arg-cong[of - - Not]*
 $\langle \text{proof} \rangle$

lemma *cpI1*:
 $(\forall X Y \tau. f X Y \tau = f(\lambda\tau. X \tau) \tau) \implies \text{cp } P \implies \text{cp}(\lambda X. f (P X))$
 $\langle \text{proof} \rangle$

lemma *cpI2*:
 $(\forall X Y \tau. f X Y \tau = f(\lambda\tau. X \tau)(\lambda\tau. Y \tau) \tau) \implies$
 $\text{cp } P \implies \text{cp } Q \implies \text{cp}(\lambda X. f (P X) (Q X))$
 $\langle \text{proof} \rangle$

lemma *cpI3*:

$(\forall X Y Z \tau. f X Y Z \tau = f(\lambda\cdot. X \tau)(\lambda\cdot. Y \tau)(\lambda\cdot. Z \tau) \tau) \implies$
 $cp P \implies cp Q \implies cp R \implies cp(\lambda X. f (P X) (Q X) (R X))$
 $\langle proof \rangle$

lemma *cpI4*:

$(\forall W X Y Z \tau. f W X Y Z \tau = f(\lambda\cdot. W \tau)(\lambda\cdot. X \tau)(\lambda\cdot. Y \tau)(\lambda\cdot. Z \tau) \tau) \implies$
 $cp P \implies cp Q \implies cp R \implies cp S \implies cp(\lambda X. f (P X) (Q X) (R X) (S X))$
 $\langle proof \rangle$

lemma *cpI5*:

$(\forall V W X Y Z \tau. f V W X Y Z \tau = f(\lambda\cdot. V \tau) (\lambda\cdot. W \tau)(\lambda\cdot. X \tau)(\lambda\cdot. Y \tau)(\lambda\cdot. Z \tau) \tau) \implies$
 $cp N \implies cp P \implies cp Q \implies cp R \implies cp S \implies cp(\lambda X. f (N X) (P X) (Q X) (R X) (S X))$
 $\langle proof \rangle$

lemma *cp-const* : $cp(\lambda\cdot. c)$

$\langle proof \rangle$

lemma *cp-id* : $cp(\lambda X. X)$

$\langle proof \rangle$ **lemmas** *cp-intro*[*intro!*,*simp*,*code-unfold*] =
cp-const
cp-id
cp-defined[*THEN allI*[*THEN allI*[*THEN cpI1*], *of defined*]]
cp-valid[*THEN allI*[*THEN allI*[*THEN cpI1*], *of valid*]]
cp-OclNot[*THEN allI*[*THEN allI*[*THEN cpI1*], *of not*]]
cp-OclAnd[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]], *of (and)*]]
cp-OclOr[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]], *of (or)*]]
cp-OclImplies[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]], *of (implies)*]]
cp-StrongEq[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]],
of StrongEq]]

2.1.6. OCL's if then else endif

definition *OclIf* :: $[(\mathfrak{A}) \text{Boolean} , (\mathfrak{A}, \alpha :: \text{null}) \text{val}, (\mathfrak{A}, \alpha) \text{val}] \Rightarrow (\mathfrak{A}, \alpha) \text{val}$
 $(\text{if } (-) \text{ then } (-) \text{ else } (-) \text{ endif } [10, 10, 10] 50)$

where $(\text{if } C \text{ then } B_1 \text{ else } B_2 \text{ endif}) = (\lambda \tau. \text{if } (\delta C) \tau = \text{true} \tau$
 $\text{then } (\text{if } (C \tau) = \text{true} \tau$
 $\text{then } B_1 \tau$
 $\text{else } B_2 \tau)$
 $\text{else } \text{invalid } \tau)$

lemma *cp-OclIf*: $(\text{if } C \text{ then } B_1 \text{ else } B_2 \text{ endif}) \tau =$
 $(\text{if } (\lambda \cdot. C \tau) \text{ then } (\lambda \cdot. B_1 \tau) \text{ else } (\lambda \cdot. B_2 \tau) \text{ endif}) \tau$

$\langle proof \rangle$ **lemmas** *cp-intro'*[*intro!*,*simp*,*code-unfold*] =
cp-intro

cp-OclIf[*THEN allI*[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI3*]], *of OclIf*]]**lemma** *OclIf-invalid*
 $[simp]: (\text{if } \text{invalid} \text{ then } B_1 \text{ else } B_2 \text{ endif}) = \text{invalid}$
 $\langle proof \rangle$

lemma *OclIf-null* [*simp*]: $(\text{if } \text{null} \text{ then } B_1 \text{ else } B_2 \text{ endif}) = \text{invalid}$

$\langle proof \rangle$

lemma *OclIf-true* [*simp*]: $(\text{if } \text{true} \text{ then } B_1 \text{ else } B_2 \text{ endif}) = B_1$

$\langle proof \rangle$

lemma *OclIf-true'* [simp]: $\tau \models P \implies (\text{if } P \text{ then } B_1 \text{ else } B_2 \text{ endif})\tau = B_1 \tau$
 <proof>

lemma *OclIf-true''* [simp]: $\tau \models P \implies \tau \models (\text{if } P \text{ then } B_1 \text{ else } B_2 \text{ endif}) \triangleq B_1$
 <proof>

lemma *OclIf-false* [simp]: $(\text{if false then } B_1 \text{ else } B_2 \text{ endif}) = B_2$
 <proof>

lemma *OclIf-false'* [simp]: $\tau \models \text{not } P \implies (\text{if } P \text{ then } B_1 \text{ else } B_2 \text{ endif})\tau = B_2 \tau$
 <proof>

lemma *OclIf-idem1* [simp]: $(\text{if } \delta X \text{ then } A \text{ else } A \text{ endif}) = A$
 <proof>

lemma *OclIf-idem2* [simp]: $(\text{if } v X \text{ then } A \text{ else } A \text{ endif}) = A$
 <proof>

lemma *OclNot-if* [simp]:
 $\text{not}(\text{if } P \text{ then } C \text{ else } E \text{ endif}) = (\text{if } P \text{ then not } C \text{ else not } E \text{ endif})$
 <proof>

2.1.7. Fundamental Predicates on Basic Types: Strict (Referential) Equality

In contrast to logical equality, the OCL standard defines an equality operation which we call “strict referential equality”. It behaves differently for all types—on value types, it is basically a strict version of strong equality, for defined values it behaves identical. But on object types it will compare their references within the store. We introduce strict referential equality as an *overloaded* concept and will handle it for each type instance individually.

consts *StrictRefEq* :: $((\mathfrak{A}, 'a) \text{val}, (\mathfrak{A}, 'a) \text{val}) \Rightarrow (\mathfrak{A}) \text{Boolean}$ (**infixl** \doteq 30)

with term "not" we can express the notation:

syntax
 $\text{notequal} \quad :: (\mathfrak{A}) \text{Boolean} \Rightarrow (\mathfrak{A}) \text{Boolean} \Rightarrow (\mathfrak{A}) \text{Boolean} \quad (\text{infix } <> 40)$

translations
 $a <> b == \text{CONST } \text{OclNot}(a \doteq b)$

We will define instances of this equality in a case-by-case basis.

2.1.8. Laws to Establish Definedness (δ -closure)

For the logical connectives, we have — beyond $\tau \models P \implies \tau \models \delta P$ — the following facts:

lemma *OclNot-defargs*:
 $\tau \models (\text{not } P) \implies \tau \models \delta P$
 <proof>

lemma *OclNot-contrapos-nn*:
assumes $A: \tau \models \delta A$
assumes $B: \tau \models \text{not } B$
assumes $C: \tau \models A \implies \tau \models B$
shows $\tau \models \text{not } A$
 <proof>

2.1.9. A Side-calculus for Constant Terms

definition $\text{const } X \equiv \forall \tau \tau'. X \tau = X \tau'$

lemma *const-charn*: $\text{const } X \implies X \tau = X \tau'$
 $\langle \text{proof} \rangle$

lemma *const-subst*:
assumes *const-X*: $\text{const } X$
and *const-Y*: $\text{const } Y$
and *eq* : $X \tau = Y \tau$
and *cp-P*: $\text{cp } P$
and *pp* : $P Y \tau = P Y \tau'$
shows $P X \tau = P X \tau'$
 $\langle \text{proof} \rangle$

lemma *const-imply2* :
assumes $\bigwedge \tau \tau'. P \tau = P \tau' \implies Q \tau = Q \tau'$
shows $\text{const } P \implies \text{const } Q$
 $\langle \text{proof} \rangle$

lemma *const-imply3* :
assumes $\bigwedge \tau \tau'. P \tau = P \tau' \implies Q \tau = Q \tau' \implies R \tau = R \tau'$
shows $\text{const } P \implies \text{const } Q \implies \text{const } R$
 $\langle \text{proof} \rangle$

lemma *const-imply4* :
assumes $\bigwedge \tau \tau'. P \tau = P \tau' \implies Q \tau = Q \tau' \implies R \tau = R \tau' \implies S \tau = S \tau'$
shows $\text{const } P \implies \text{const } Q \implies \text{const } R \implies \text{const } S$
 $\langle \text{proof} \rangle$

lemma *const-lam* : $\text{const } (\lambda \cdot. e)$
 $\langle \text{proof} \rangle$

lemma *const-true[simp]* : const true
 $\langle \text{proof} \rangle$

lemma *const-false[simp]* : const false
 $\langle \text{proof} \rangle$

lemma *const-null[simp]* : const null
 $\langle \text{proof} \rangle$

lemma *const-invalid [simp]*: const invalid
 $\langle \text{proof} \rangle$

lemma *const-bot[simp]* : const bot
 $\langle \text{proof} \rangle$

lemma *const-defined* :
assumes $\text{const } X$
shows $\text{const } (\delta X)$
 $\langle \text{proof} \rangle$

lemma *const-valid* :
assumes *const X*
shows *const (v X)*
 $\langle proof \rangle$

lemma *const-OclAnd* :
assumes *const X*
assumes *const X'*
shows *const (X and X')*
 $\langle proof \rangle$

lemma *const-OclNot* :
assumes *const X*
shows *const (not X)*
 $\langle proof \rangle$

lemma *const-OclOr* :
assumes *const X*
assumes *const X'*
shows *const (X or X')*
 $\langle proof \rangle$

lemma *const-OclImplies* :
assumes *const X*
assumes *const X'*
shows *const (X implies X')*
 $\langle proof \rangle$

lemma *const-StrongEq*:
assumes *const X*
assumes *const X'*
shows *const(X \triangleq X')*
 $\langle proof \rangle$

lemma *const-OclIf* :
assumes *const B*
and *const C1*
and *const C2*
shows *const (if B then C1 else C2 endif)*
 $\langle proof \rangle$

lemma *const-OclValid1*:
assumes *const x*
shows $(\tau \models \delta x) = (\tau' \models \delta x)$
 $\langle proof \rangle$

lemma *const-OclValid2*:
assumes *const x*
shows $(\tau \models v x) = (\tau' \models v x)$
 $\langle proof \rangle$

```

lemma const-HOL-if : const C  $\implies$  const D  $\implies$  const F  $\implies$  const ( $\lambda\tau.$  if C  $\tau$  then D  $\tau$  else F  $\tau$ )
  <proof>
lemma const-HOL-and: const C  $\implies$  const D  $\implies$  const ( $\lambda\tau.$  C  $\tau \wedge D$   $\tau$ )
  <proof>
lemma const-HOL-eq : const C  $\implies$  const D  $\implies$  const ( $\lambda\tau.$  C  $\tau = D$   $\tau$ )
  <proof>

```

```

lemmas const-ss = const-bot const-null const-invalid const-false const-true const-lam
          const-defined const-valid const-StrongEq const-OclNot const-OclAnd
          const-OclOr const-OclImplies const-OclIf
          const-HOL-if const-HOL-and const-HOL-eq

```

Miscellaneous: Overloading the syntax of “bottom”

```

notation bot ( $\perp$ )

```

```

end

```

```

theory UML-PropertyProfiles
imports UML-Logic
begin

```

2.2. Property Profiles for OCL Operators via Isabelle Locales

We use the Isabelle mechanism of a *Locale* to generate the common lemmas for each type and operator; Locales can be seen as a functor that takes a local theory and generates a number of theorems. In our case, we will instantiate later these locales by the local theory of an operator definition and obtain the common rules for strictness, definedness propagation, context-passingness and constance in a systematic way.

2.2.1. Property Profiles for Monadic Operators

```

locale profile-mono-scheme-defined =
  fixes f :: ( $\mathfrak{A}, \alpha::\text{null}$ )val  $\Rightarrow$  ( $\mathfrak{A}, \beta::\text{null}$ )val
  fixes g
  assumes def-scheme: (f x)  $\equiv \lambda \tau.$  if ( $\delta x$ )  $\tau = \text{true}$   $\tau$  then g (x  $\tau$ ) else invalid  $\tau$ 
begin
  lemma strict[simp,code-unfold]: f invalid = invalid
    <proof>

  lemma null-strict[simp,code-unfold]: f null = invalid
    <proof>

  lemma cp0 : f X  $\tau = f$  ( $\lambda \_.$  X  $\tau$ )  $\tau$ 
    <proof>

  lemma cp[simp,code-unfold] : cp P  $\implies$  cp ( $\lambda X.$  f (P X) )
    <proof>

end

locale profile-mono-schemeV =

```



```

fixes  $f :: ('A, 'a :: null) val \Rightarrow ('A, 'b :: null) val$ 
fixes  $g$ 
assumes  $def\_scheme: (f\ x) \equiv \lambda\ \tau. \text{ if } (v\ x)\ \tau = \text{true } \tau \text{ then } g\ (x\ \tau) \text{ else } \text{invalid } \tau$ 
begin
  lemma  $strict[simp, code-unfold]: f\ \text{invalid} = \text{invalid}$ 
   $\langle proof \rangle$ 

  lemma  $cp0 : f\ X\ \tau = f\ (\lambda\ -. X\ \tau)\ \tau$ 
   $\langle proof \rangle$ 

  lemma  $cp[simp, code-unfold] : cp\ P \Longrightarrow cp\ (\lambda X. f\ (P\ X))$ 
   $\langle proof \rangle$ 

end

locale  $profile\_mono_d = profile\_mono\_scheme\_defined +$ 
  assumes  $\bigwedge x. x \neq bot \Longrightarrow x \neq null \Longrightarrow g\ x \neq bot$ 
begin

  lemma  $const[simp, code-unfold] :$ 
    assumes  $C1 : const\ X$ 
    shows  $const(f\ X)$ 
   $\langle proof \rangle$ 

end

locale  $profile\_mono0 = profile\_mono\_scheme\_defined +$ 
  assumes  $def\_body: \bigwedge x. x \neq bot \Longrightarrow x \neq null \Longrightarrow g\ x \neq bot \wedge g\ x \neq null$ 

sublocale  $profile\_mono0 < profile\_mono_d$ 
 $\langle proof \rangle$ 

context  $profile\_mono0$ 
begin
  lemma  $def\_homo[simp, code-unfold]: \delta(f\ x) = (\delta\ x)$ 
   $\langle proof \rangle$ 

  lemma  $def\_valid\_then\_def: v(f\ x) = (\delta(f\ x))$ 
   $\langle proof \rangle$ 
end

```

2.2.2. Property Profiles for Single

```

locale  $profile\_single =$ 
  fixes  $d :: ('A, 'a :: null) val \Rightarrow 'A\ \text{Boolean}$ 
  assumes  $d\_strict[simp, code-unfold]: d\ \text{invalid} = \text{false}$ 
  assumes  $d\_cp0: d\ X\ \tau = d\ (\lambda\ -. X\ \tau)\ \tau$ 
  assumes  $d\_const[simp, code-unfold]: const\ X \Longrightarrow const\ (d\ X)$ 

```

2.2.3. Property Profiles for Binary Operators

```

definition  $bin'\ f\ g\ d_x\ d_y\ X\ Y =$ 
   $(f\ X\ Y = (\lambda\ \tau. \text{ if } (d_x\ X)\ \tau = \text{true } \tau \wedge (d_y\ Y)\ \tau = \text{true } \tau$ 
     $\text{ then } g\ X\ Y\ \tau$ 
     $\text{ else } \text{invalid } \tau))$ 

definition  $bin\ f\ g = bin'\ f\ (\lambda X\ Y\ \tau. g\ (X\ \tau)\ (Y\ \tau))$ 

```

lemmas $[simp, code-unfold] = bin'-def\ bin-def$

locale *profile-bin-scheme* =
fixes $d_x::('A, 'a::null)val \Rightarrow 'A\ Boolean$
fixes $d_y::('A, 'b::null)val \Rightarrow 'A\ Boolean$
fixes $f::('A, 'a::null)val \Rightarrow ('A, 'b::null)val \Rightarrow ('A, 'c::null)val$
fixes g
assumes $d_x' : profile-single\ d_x$
assumes $d_y' : profile-single\ d_y$
assumes $d_x-d_y-homo[simp, code-unfold]: cp\ (f\ X) \Longrightarrow$
 $cp\ (\lambda x. f\ x\ Y) \Longrightarrow$
 $f\ X\ invalid = invalid \Longrightarrow$
 $f\ invalid\ Y = invalid \Longrightarrow$
 $(\neg (\tau \models d_x\ X) \vee \neg (\tau \models d_y\ Y)) \Longrightarrow$
 $\tau \models (\delta\ f\ X\ Y \triangleq (d_x\ X\ and\ d_y\ Y))$
assumes $def-scheme''[simplified]: bin\ f\ g\ d_x\ d_y\ X\ Y$
assumes $1: \tau \models d_x\ X \Longrightarrow \tau \models d_y\ Y \Longrightarrow \tau \models \delta\ f\ X\ Y$
begin
interpretation $d_x : profile-single\ d_x\ \langle proof \rangle$
interpretation $d_y : profile-single\ d_y\ \langle proof \rangle$

lemma *strict1* $[simp, code-unfold]: f\ invalid\ y = invalid$
 $\langle proof \rangle$

lemma *strict2* $[simp, code-unfold]: f\ x\ invalid = invalid$
 $\langle proof \rangle$

lemma *cp0* : $f\ X\ Y\ \tau = f\ (\lambda -. X\ \tau)\ (\lambda -. Y\ \tau)\ \tau$
 $\langle proof \rangle$

lemma *cp* $[simp, code-unfold] : cp\ P \Longrightarrow cp\ Q \Longrightarrow cp\ (\lambda X. f\ (P\ X)\ (Q\ X))$
 $\langle proof \rangle$

lemma *def-homo* $[simp, code-unfold]: \delta(f\ x\ y) = (d_x\ x\ and\ d_y\ y)$
 $\langle proof \rangle$

lemma *def-valid-then-def*: $v(f\ x\ y) = (\delta(f\ x\ y))$
 $\langle proof \rangle$

lemma *defined-args-valid*: $(\tau \models \delta\ (f\ x\ y)) = ((\tau \models d_x\ x) \wedge (\tau \models d_y\ y))$
 $\langle proof \rangle$

lemma *const* $[simp, code-unfold] :$
assumes $C1 : const\ X\ and\ C2 : const\ Y$
shows $const(f\ X\ Y)$
 $\langle proof \rangle$
end

In our context, we will use Locales as “Property Profiles” for OCL operators; if an operator f is of profile *profile-bin-scheme* *defined* $f\ g$ we know that it satisfies a number of properties like *strict1* or *strict2* i.e. $f\ invalid\ y = invalid$ and $f\ null\ y = invalid$. Since some of the more advanced Locales come with 10 - 15 theorems, property profiles represent a major structuring mechanism for the OCL library.

locale *profile-bin-scheme-defined* =
fixes $d_y::('A, 'b::null)val \Rightarrow 'A\ Boolean$
fixes $f::('A, 'a::null)val \Rightarrow ('A, 'b::null)val \Rightarrow ('A, 'c::null)val$
fixes g
assumes $d_y : profile-single\ d_y$

```

assumes  $d_y$ -homo[simp,code-unfold]:  $cp (f X) \implies$ 
 $f X \text{ invalid} = \text{invalid} \implies$ 
 $\neg \tau \models d_y Y \implies$ 
 $\tau \models \delta f X Y \triangleq (\delta X \text{ and } d_y Y)$ 
assumes def-scheme'[simplified]:  $\text{bin } f g \text{ defined } d_y X Y$ 
assumes def-body':  $\bigwedge x y \tau. x \neq \text{bot} \implies x \neq \text{null} \implies (d_y y) \tau = \text{true} \tau \implies g x (y \tau) \neq \text{bot} \wedge g x (y \tau) \neq$ 
 $\text{null}$ 
begin
  lemma strict3[simp,code-unfold]:  $f \text{ null } y = \text{invalid}$ 
   $\langle \text{proof} \rangle$ 
end

sublocale profile-bin-scheme-defined < profile-bin-scheme defined
 $\langle \text{proof} \rangle$ 

locale profile-bind-d =
  fixes  $f :: ('A, 'a :: \text{null}) \text{val} \Rightarrow ('A, 'b :: \text{null}) \text{val} \Rightarrow ('A, 'c :: \text{null}) \text{val}$ 
  fixes  $g$ 
  assumes def-scheme[simplified]:  $\text{bin } f g \text{ defined defined } X Y$ 
  assumes def-body:  $\bigwedge x y. x \neq \text{bot} \implies x \neq \text{null} \implies y \neq \text{bot} \implies y \neq \text{null} \implies$ 
 $g x y \neq \text{bot} \wedge g x y \neq \text{null}$ 
begin
  lemma strict4[simp,code-unfold]:  $f x \text{ null} = \text{invalid}$ 
   $\langle \text{proof} \rangle$ 
end

sublocale profile-bind-d < profile-bin-scheme-defined defined
 $\langle \text{proof} \rangle$ 

locale profile-bind-v =
  fixes  $f :: ('A, 'a :: \text{null}) \text{val} \Rightarrow ('A, 'b :: \text{null}) \text{val} \Rightarrow ('A, 'c :: \text{null}) \text{val}$ 
  fixes  $g$ 
  assumes def-scheme[simplified]:  $\text{bin } f g \text{ defined valid } X Y$ 
  assumes def-body:  $\bigwedge x y. x \neq \text{bot} \implies x \neq \text{null} \implies y \neq \text{bot} \implies g x y \neq \text{bot} \wedge g x y \neq \text{null}$ 

sublocale profile-bind-v < profile-bin-scheme-defined valid
 $\langle \text{proof} \rangle$ 

locale profile-binStrongEq-v-v =
  fixes  $f :: ('A, 'a :: \text{null}) \text{val} \Rightarrow ('A, 'a :: \text{null}) \text{val} \Rightarrow ('A) \text{ Boolean}$ 
  assumes def-scheme[simplified]:  $\text{bin}' f \text{ StrongEq valid valid } X Y$ 

sublocale profile-binStrongEq-v-v < profile-bin-scheme valid valid  $f \lambda x y. \sqcup x = y_{\sqcup}$ 
 $\langle \text{proof} \rangle$ 

context profile-binStrongEq-v-v
begin
  lemma idem[simp,code-unfold]:  $f \text{ null null} = \text{true}$ 
   $\langle \text{proof} \rangle$ 

  lemma defargs:  $\tau \models f x y \implies (\tau \models v x) \wedge (\tau \models v y)$ 
   $\langle \text{proof} \rangle$ 

  lemma defined-args-valid' :  $\delta (f x y) = (v x \text{ and } v y)$ 
   $\langle \text{proof} \rangle$ 

```

lemma *refl-ext[simp,code-unfold]* : $(f\ x\ x) = (\text{if } (v\ x) \text{ then true else invalid endif})$
 ⟨proof⟩

lemma *sym* : $\tau \models (f\ x\ y) \implies \tau \models (f\ y\ x)$
 ⟨proof⟩

lemma *symmetric* : $(f\ x\ y) = (f\ y\ x)$
 ⟨proof⟩

lemma *trans* : $\tau \models (f\ x\ y) \implies \tau \models (f\ y\ z) \implies \tau \models (f\ x\ z)$
 ⟨proof⟩

lemma *StrictRefEq-vs-StrongEq*: $\tau \models (v\ x) \implies \tau \models (v\ y) \implies (\tau \models ((f\ x\ y) \triangleq (x \triangleq y)))$
 ⟨proof⟩

end

locale *profile-bin_{v-v}* =
 fixes $f :: ('A, 'a::\text{null})\text{val} \Rightarrow ('A, 'b::\text{null})\text{val} \Rightarrow ('A, 'c::\text{null})\text{val}$
 fixes g
 assumes *def-scheme[simplified]*: *bin f g valid valid X Y*
 assumes *def-body*: $\bigwedge x\ y. x \neq \text{bot} \implies y \neq \text{bot} \implies g\ x\ y \neq \text{bot} \wedge g\ x\ y \neq \text{null}$

sublocale *profile-bin_{v-v}* < *profile-bin-scheme valid valid*
 ⟨proof⟩

end

theory *UML-Boolean*
imports *../UML-PropertyProfiles*
begin

2.2.4. Fundamental Predicates on Basic Types: Strict (Referential) Equality

Here is a first instance of a definition of strict value equality—for the special case of the type *'A Boolean*, it is just the strict extension of the logical equality:

overloading *StrictRefEq* \equiv *StrictRefEq* :: $[('A)\text{Boolean}, ('A)\text{Boolean}] \Rightarrow ('A)\text{Boolean}$
begin

definition *StrictRefEq_{Boolean}[code-unfold]* :
 $(x::('A)\text{Boolean}) \doteq y \equiv \lambda \tau. \text{if } (v\ x)\ \tau = \text{true } \tau \wedge (v\ y)\ \tau = \text{true } \tau$
 then $(x \triangleq y)\tau$
 else *invalid* τ

end

which implies elementary properties like:

lemma *[simp,code-unfold]* : $(\text{true} \doteq \text{false}) = \text{false}$
 ⟨proof⟩

lemma *[simp,code-unfold]* : $(\text{false} \doteq \text{true}) = \text{false}$
 ⟨proof⟩

lemma *null-non-false [simp,code-unfold]*: $(\text{null} \doteq \text{false}) = \text{false}$
 ⟨proof⟩

lemma *null-non-true [simp,code-unfold]*: $(\text{null} \doteq \text{true}) = \text{false}$

$\langle proof \rangle$

lemma *false-non-null* [simp,code-unfold]:(*false* \doteq *null*) = *false*
 $\langle proof \rangle$

lemma *true-non-null* [simp,code-unfold]:(*true* \doteq *null*) = *false*
 $\langle proof \rangle$

With respect to strictness properties and miscellaneous side-calculi, strict referential equality behaves on booleans as described in the *profile-bin_{StrongEq^{-v-v}}*:

interpretation *StrictRefEq_{Boolean}* : *profile-bin_{StrongEq^{-v-v}}* $\lambda x y. (x::('A)Boolean) \doteq y$
 $\langle proof \rangle$

In particular, it is strict, cp-preserving and const-preserving. In particular, it generates the simplifier rules for terms like:

lemma (*invalid* \doteq *false*) = *invalid* $\langle proof \rangle$

lemma (*invalid* \doteq *true*) = *invalid* $\langle proof \rangle$

lemma (*false* \doteq *invalid*) = *invalid* $\langle proof \rangle$

lemma (*true* \doteq *invalid*) = *invalid* $\langle proof \rangle$

lemma ((*invalid*::('A)Boolean) \doteq *invalid*) = *invalid* $\langle proof \rangle$

Thus, the weak equality is *not* reflexive.

2.2.5. Test Statements on Boolean Operations.

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Elementary computations on Boolean

Assert $\tau \models v(true)$

Assert $\tau \models \delta(false)$

Assert $\tau \not\models \delta(null)$

Assert $\tau \not\models \delta(invalid)$

Assert $\tau \models v((null::('A)Boolean))$

Assert $\tau \not\models v(invalid)$

Assert $\tau \models (true \text{ and } true)$

Assert $\tau \models (true \text{ and } true \triangleq true)$

Assert $\tau \models ((null \text{ or } null) \triangleq null)$

Assert $\tau \models ((null \text{ or } null) \doteq null)$

Assert $\tau \models ((true \triangleq false) \triangleq false)$

Assert $\tau \models ((invalid \triangleq false) \triangleq false)$

Assert $\tau \models ((invalid \doteq false) \triangleq invalid)$

Assert $\tau \models (true <> false)$

Assert $\tau \models (false <> true)$

end

theory *UML-Void*

imports ../UML-PropertyProfiles

begin

2.3. Basic Type Void: Operations

This *minimal* OCL type contains only two elements: *invalid* and *null*. *Void* could initially be defined as $\langle\langle unit \rangle_{\perp}\rangle_{\perp}$, however the cardinal of this type is more than two, so it would have the cost to consider

Some *None* and *Some* (*Some* ()) seemingly everywhere.

2.3.1. Fundamental Properties on Voids: Strict Equality

Definition

```

instantiation  Voidbase :: bot
begin
  definition bot-Void-def: (bot-class.bot :: Voidbase)  $\equiv$  Abs-Voidbase None

  instance  $\langle$ proof $\rangle$ 
end

instantiation  Voidbase :: null
begin
  definition null-Void-def: (null::Voidbase)  $\equiv$  Abs-Voidbase  $\perp$  None  $\perp$ 

  instance  $\langle$ proof $\rangle$ 
end

```

The last basic operation belonging to the fundamental infrastructure of a value-type in OCL is the weak equality, which is defined similar to the \mathcal{A} *Void*-case as strict extension of the strong equality:

```

overloading StrictRefEq  $\equiv$  StrictRefEq :: [ $\mathcal{A}$  Void,  $\mathcal{A}$  Void]  $\Rightarrow$  ( $\mathcal{A}$ ) Boolean
begin
  definition StrictRefEqVoid[code-unfold] :
    (x::( $\mathcal{A}$ ) Void)  $\doteq$  y  $\equiv$   $\lambda \tau$ . if (v x)  $\tau = \text{true}$   $\tau \wedge$  (v y)  $\tau = \text{true}$   $\tau$ 
      then (x  $\triangleq$  y)  $\tau$ 
      else invalid  $\tau$ 
end

```

Property proof in terms of *profile-bin_{StrongEq-v-v}*

```

interpretation  StrictRefEqVoid : profile-binStrongEq-v-v  $\lambda x y$ . (x::( $\mathcal{A}$ ) Void)  $\doteq$  y
   $\langle$ proof $\rangle$ 

```

2.3.2. Basic Void Constants

2.3.3. Validity and Definedness Properties

```

lemma  $\delta(\text{null}::(\mathcal{A}) \text{Void}) = \text{false}$   $\langle$ proof $\rangle$ 
lemma  $v(\text{null}::(\mathcal{A}) \text{Void}) = \text{true}$   $\langle$ proof $\rangle$ 

```

```

lemma [simp,code-unfold]:  $\delta(\lambda-. \text{Abs-Void}_{\text{base}} \text{None}) = \text{false}$ 
 $\langle$ proof $\rangle$ 

```

```

lemma [simp,code-unfold]:  $v(\lambda-. \text{Abs-Void}_{\text{base}} \text{None}) = \text{false}$ 
 $\langle$ proof $\rangle$ 

```

```

lemma [simp,code-unfold]:  $\delta(\lambda-. \text{Abs-Void}_{\text{base}} \perp \text{None}_{\perp}) = \text{false}$ 
 $\langle$ proof $\rangle$ 

```

```

lemma [simp,code-unfold]:  $v(\lambda-. \text{Abs-Void}_{\text{base}} \perp \text{None}_{\perp}) = \text{true}$ 
 $\langle$ proof $\rangle$ 

```

2.3.4. Test Statements

```

Assert  $\tau \models ((\text{null}::(\mathcal{A}) \text{Void}) \doteq \text{null})$ 

```

end

```
theory UML-Integer
imports ../UML-PropertyProfiles
begin
```

2.4. Basic Type Integer: Operations

2.4.1. Fundamental Predicates on Integers: Strict Equality

The last basic operation belonging to the fundamental infrastructure of a value-type in OCL is the weak equality, which is defined similar to the \mathcal{A} Boolean-case as strict extension of the strong equality:

```
overloading StrictRefEq  $\equiv$  StrictRefEq :: ( $\mathcal{A}$ )Integer, ( $\mathcal{A}$ )Integer  $\Rightarrow$  ( $\mathcal{A}$ )Boolean
begin
  definition StrictRefEqInteger[code-unfold] :
    ( $x :: (\mathcal{A})Integer$ )  $\doteq$   $y \equiv \lambda \tau$ . if ( $v\ x$ )  $\tau = true$   $\wedge$  ( $v\ y$ )  $\tau = true$   $\tau$ 
      then ( $x \triangleq y$ )  $\tau$ 
      else invalid  $\tau$ 
end
```

Property proof in terms of *profile-binStrongEq^{v-v}*

```
interpretation StrictRefEqInteger : profile-binStrongEqv-v  $\lambda\ x\ y$ . ( $x :: (\mathcal{A})Integer$ )  $\doteq$   $y$ 
  <proof>
```

2.4.2. Basic Integer Constants

Although the remaining part of this library reasons about integers abstractly, we provide here as example some convenient shortcuts.

```
definition OclInt0 :: ( $\mathcal{A}$ )Integer (0) where 0 = ( $\lambda - . \underline{0} :: int_{\mathcal{A}}$ )
definition OclInt1 :: ( $\mathcal{A}$ )Integer (1) where 1 = ( $\lambda - . \underline{1} :: int_{\mathcal{A}}$ )
definition OclInt2 :: ( $\mathcal{A}$ )Integer (2) where 2 = ( $\lambda - . \underline{2} :: int_{\mathcal{A}}$ )
```

Etc.

```
definition OclInt3 :: ( $\mathcal{A}$ )Integer (3) where 3 = ( $\lambda - . \underline{3} :: int_{\mathcal{A}}$ )
definition OclInt4 :: ( $\mathcal{A}$ )Integer (4) where 4 = ( $\lambda - . \underline{4} :: int_{\mathcal{A}}$ )
definition OclInt5 :: ( $\mathcal{A}$ )Integer (5) where 5 = ( $\lambda - . \underline{5} :: int_{\mathcal{A}}$ )
definition OclInt6 :: ( $\mathcal{A}$ )Integer (6) where 6 = ( $\lambda - . \underline{6} :: int_{\mathcal{A}}$ )
definition OclInt7 :: ( $\mathcal{A}$ )Integer (7) where 7 = ( $\lambda - . \underline{7} :: int_{\mathcal{A}}$ )
definition OclInt8 :: ( $\mathcal{A}$ )Integer (8) where 8 = ( $\lambda - . \underline{8} :: int_{\mathcal{A}}$ )
definition OclInt9 :: ( $\mathcal{A}$ )Integer (9) where 9 = ( $\lambda - . \underline{9} :: int_{\mathcal{A}}$ )
definition OclInt10 :: ( $\mathcal{A}$ )Integer (10) where 10 = ( $\lambda - . \underline{10} :: int_{\mathcal{A}}$ )
```

2.4.3. Validity and Definedness Properties

```
lemma  $\delta(null :: (\mathcal{A})Integer) = false$  <proof>
```

```
lemma  $v(null :: (\mathcal{A})Integer) = true$  <proof>
```

```
lemma [simp,code-unfold]:  $\delta(\lambda - . \underline{n}_{\mathcal{A}}) = true$ 
  <proof>
```

```
lemma [simp,code-unfold]:  $v(\lambda - . \underline{n}_{\mathcal{A}}) = true$ 
  <proof>
```

lemma $[simp, code-unfold]: \delta \ 0 = true \langle proof \rangle$
lemma $[simp, code-unfold]: v \ 0 = true \langle proof \rangle$
lemma $[simp, code-unfold]: \delta \ 1 = true \langle proof \rangle$
lemma $[simp, code-unfold]: v \ 1 = true \langle proof \rangle$
lemma $[simp, code-unfold]: \delta \ 2 = true \langle proof \rangle$
lemma $[simp, code-unfold]: v \ 2 = true \langle proof \rangle$
lemma $[simp, code-unfold]: \delta \ 6 = true \langle proof \rangle$
lemma $[simp, code-unfold]: v \ 6 = true \langle proof \rangle$
lemma $[simp, code-unfold]: \delta \ 8 = true \langle proof \rangle$
lemma $[simp, code-unfold]: v \ 8 = true \langle proof \rangle$
lemma $[simp, code-unfold]: \delta \ 9 = true \langle proof \rangle$
lemma $[simp, code-unfold]: v \ 9 = true \langle proof \rangle$

2.4.4. Arithmetical Operations

Definition

Here is a common case of a built-in operation on built-in types. Note that the arguments must be both defined (non-null, non-bot).

Note that we can not follow the lexis of the OCL Standard for Isabelle technical reasons; these operators are heavily overloaded in the HOL library that a further overloading would lead to heavy technical buzz in this document.

definition $OclAdd_{Integer} :: ('A)Integer \Rightarrow ('A)Integer \Rightarrow ('A)Integer \text{ (infix } +_{int} \ 40)$
where $x +_{int} y \equiv \lambda \tau. \text{ if } (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau$
 $\text{ then } \llbracket x \rrbracket \tau \sqcup \llbracket y \rrbracket \tau$
 $\text{ else } invalid \ \tau$

interpretation $OclAdd_{Integer} : profile-bin_d-d \ (+_{int}) \ \lambda \ x \ y. \llbracket x \rrbracket \tau \sqcup \llbracket y \rrbracket \tau$
 $\langle proof \rangle$

definition $OclMinus_{Integer} :: ('A)Integer \Rightarrow ('A)Integer \Rightarrow ('A)Integer \text{ (infix } -_{int} \ 41)$
where $x -_{int} y \equiv \lambda \tau. \text{ if } (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau$
 $\text{ then } \llbracket x \rrbracket \tau \sqcap \llbracket y \rrbracket \tau$
 $\text{ else } invalid \ \tau$

interpretation $OclMinus_{Integer} : profile-bin_d-d \ (-_{int}) \ \lambda \ x \ y. \llbracket x \rrbracket \tau \sqcap \llbracket y \rrbracket \tau$
 $\langle proof \rangle$

definition $OclMult_{Integer} :: ('A)Integer \Rightarrow ('A)Integer \Rightarrow ('A)Integer \text{ (infix } *_{int} \ 45)$
where $x *_{int} y \equiv \lambda \tau. \text{ if } (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau$
 $\text{ then } \llbracket x \rrbracket \tau \sqcap \llbracket y \rrbracket \tau$
 $\text{ else } invalid \ \tau$

interpretation $OclMult_{Integer} : profile-bin_d-d \ OclMult_{Integer} \ \lambda \ x \ y. \llbracket x \rrbracket \tau \sqcap \llbracket y \rrbracket \tau$
 $\langle proof \rangle$

Here is the special case of division, which is defined as invalid for division by zero.

definition $OclDivision_{Integer} :: ('A)Integer \Rightarrow ('A)Integer \Rightarrow ('A)Integer \text{ (infix } div_{int} \ 45)$
where $x div_{int} y \equiv \lambda \tau. \text{ if } (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau$
 $\text{ then if } y \ \tau \neq OclInt0 \ \tau \text{ then } \llbracket x \rrbracket \tau \sqcap div \ \llbracket y \rrbracket \tau \text{ else } invalid \ \tau$
 $\text{ else } invalid \ \tau$

definition $OclModulus_{Integer} :: ('A)Integer \Rightarrow ('A)Integer \Rightarrow ('A)Integer \text{ (infix } mod_{int} \ 45)$
where $x mod_{int} y \equiv \lambda \tau. \text{ if } (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau$
 $\text{ then if } y \ \tau \neq OclInt0 \ \tau \text{ then } \llbracket x \rrbracket \tau \sqcap mod \ \llbracket y \rrbracket \tau \text{ else } invalid \ \tau$

else invalid τ

definition $OclLess_{Integer} :: ('A)Integer \Rightarrow ('A)Integer \Rightarrow ('A)Boolean$ (**infix** $<_{int}$ 35)

where $x <_{int} y \equiv \lambda \tau. \text{if } (\delta x) \tau = \text{true } \tau \wedge (\delta y) \tau = \text{true } \tau$
 then $\perp^{\top x \tau^{\top}} <^{\top y \tau^{\top}} \perp$
 else invalid τ

interpretation $OclLess_{Integer} : \text{profile-bind-d } (<_{int}) \lambda x y. \perp^{\top x^{\top}} <^{\top y^{\top}} \perp$
 $\langle \text{proof} \rangle$

definition $OclLe_{Integer} :: ('A)Integer \Rightarrow ('A)Integer \Rightarrow ('A)Boolean$ (**infix** \leq_{int} 35)

where $x \leq_{int} y \equiv \lambda \tau. \text{if } (\delta x) \tau = \text{true } \tau \wedge (\delta y) \tau = \text{true } \tau$
 then $\perp^{\top x \tau^{\top}} \leq^{\top y \tau^{\top}} \perp$
 else invalid τ

interpretation $OclLe_{Integer} : \text{profile-bind-d } (\leq_{int}) \lambda x y. \perp^{\top x^{\top}} \leq^{\top y^{\top}} \perp$
 $\langle \text{proof} \rangle$

Basic Properties

lemma $OclAdd_{Integer}\text{-commute}: (X +_{int} Y) = (Y +_{int} X)$
 $\langle \text{proof} \rangle$

Execution with Invalid or Null or Zero as Argument

lemma $OclAdd_{Integer}\text{-zero1}[\text{simp}, \text{code-unfold}] :$
 $(x +_{int} \mathbf{0}) = (\text{if } v \ x \text{ and not } (\delta x) \text{ then invalid else } x \text{ endif})$
 $\langle \text{proof} \rangle$

lemma $OclAdd_{Integer}\text{-zero2}[\text{simp}, \text{code-unfold}] :$
 $(\mathbf{0} +_{int} x) = (\text{if } v \ x \text{ and not } (\delta x) \text{ then invalid else } x \text{ endif})$
 $\langle \text{proof} \rangle$

2.4.5. Test Statements

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Assert $\tau \models (\mathbf{9} \leq_{int} \mathbf{10})$
Assert $\tau \models ((\mathbf{4} +_{int} \mathbf{4}) \leq_{int} \mathbf{10})$
Assert $\tau \not\models ((\mathbf{4} +_{int} (\mathbf{4} +_{int} \mathbf{4})) <_{int} \mathbf{10})$
Assert $\tau \models \text{not } (v \ (\text{null} +_{int} \mathbf{1}))$
Assert $\tau \models (((\mathbf{9} *_{int} \mathbf{4}) \text{div}_{int} \mathbf{10}) \leq_{int} \mathbf{4})$
Assert $\tau \models \text{not } (\delta \ (\mathbf{1} \text{div}_{int} \mathbf{0}))$
Assert $\tau \models \text{not } (v \ (\mathbf{1} \text{div}_{int} \mathbf{0}))$

lemma $\text{integer-non-null} [\text{simp}]: ((\lambda \cdot. \perp n_{\perp}) \doteq (\text{null} :: ('A)Integer)) = \text{false}$
 $\langle \text{proof} \rangle$

lemma $\text{null-non-integer} [\text{simp}]: ((\text{null} :: ('A)Integer) \doteq (\lambda \cdot. \perp n_{\perp})) = \text{false}$
 $\langle \text{proof} \rangle$

lemma $OclInt0\text{-non-null} [\text{simp}, \text{code-unfold}]: (\mathbf{0} \doteq \text{null}) = \text{false} \langle \text{proof} \rangle$

lemma $\text{null-non-OclInt0} [\text{simp}, \text{code-unfold}]: (\text{null} \doteq \mathbf{0}) = \text{false} \langle \text{proof} \rangle$

lemma $OclInt1\text{-non-null} [\text{simp}, \text{code-unfold}]: (\mathbf{1} \doteq \text{null}) = \text{false} \langle \text{proof} \rangle$

lemma $\text{null-non-OclInt1} [\text{simp}, \text{code-unfold}]: (\text{null} \doteq \mathbf{1}) = \text{false} \langle \text{proof} \rangle$

```

lemma OclInt2-non-null [simp,code-unfold]: (2  $\doteq$  null) = false <proof>
lemma null-non-OclInt2 [simp,code-unfold]: (null  $\doteq$  2) = false <proof>
lemma OclInt6-non-null [simp,code-unfold]: (6  $\doteq$  null) = false <proof>
lemma null-non-OclInt6 [simp,code-unfold]: (null  $\doteq$  6) = false <proof>
lemma OclInt8-non-null [simp,code-unfold]: (8  $\doteq$  null) = false <proof>
lemma null-non-OclInt8 [simp,code-unfold]: (null  $\doteq$  8) = false <proof>
lemma OclInt9-non-null [simp,code-unfold]: (9  $\doteq$  null) = false <proof>
lemma null-non-OclInt9 [simp,code-unfold]: (null  $\doteq$  9) = false <proof>

```

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Elementary computations on Integer

```

Assert  $\tau \models ((\mathbf{0} <_{int} \mathbf{2}) \text{ and } (\mathbf{0} <_{int} \mathbf{1}))$ 

```

```

Assert  $\tau \models \mathbf{1} <> \mathbf{2}$ 

```

```

Assert  $\tau \models \mathbf{2} <> \mathbf{1}$ 

```

```

Assert  $\tau \models \mathbf{2} \doteq \mathbf{2}$ 

```

```

Assert  $\tau \models v \ \mathbf{4}$ 

```

```

Assert  $\tau \models \delta \ \mathbf{4}$ 

```

```

Assert  $\tau \models v \ (null::('A)Integer)$ 

```

```

Assert  $\tau \models (invalid \triangleq invalid)$ 

```

```

Assert  $\tau \models (null \triangleq null)$ 

```

```

Assert  $\tau \models (\mathbf{4} \triangleq \mathbf{4})$ 

```

```

Assert  $\tau \models \mathbf{9} \triangleq \mathbf{10}$ 

```

```

Assert  $\tau \models invalid \triangleq \mathbf{10}$ 

```

```

Assert  $\tau \models null \triangleq \mathbf{10}$ 

```

```

Assert  $\tau \models invalid \doteq (invalid::('A)Integer)$ 

```

```

Assert  $\tau \models v \ (invalid \doteq (invalid::('A)Integer))$ 

```

```

Assert  $\tau \models (invalid <> (invalid::('A)Integer))$ 

```

```

Assert  $\tau \models v \ (invalid <> (invalid::('A)Integer))$ 

```

```

Assert  $\tau \models (null \doteq (null::('A)Integer))$ 

```

```

Assert  $\tau \models (null \doteq (null::('A)Integer))$ 

```

```

Assert  $\tau \models (\mathbf{4} \doteq \mathbf{4})$ 

```

```

Assert  $\tau \models \mathbf{4} <> \mathbf{4}$ 

```

```

Assert  $\tau \models \mathbf{4} \doteq \mathbf{10}$ 

```

```

Assert  $\tau \models \mathbf{4} <> \mathbf{10}$ 

```

```

Assert  $\tau \models (\mathbf{0} <_{int} null)$ 

```

```

Assert  $\tau \models (\delta \ (\mathbf{0} <_{int} null))$ 

```

end

```

theory UML-Real

```

```

imports ../UML-PropertyProfiles

```

```

begin

```

2.5. Basic Type Real: Operations

2.5.1. Fundamental Predicates on Reals: Strict Equality

The last basic operation belonging to the fundamental infrastructure of a value-type in OCL is the weak equality, which is defined similar to the \mathcal{A} *Boolean*-case as strict extension of the strong equality:

```

overloading StrictRefEq  $\equiv$  StrictRefEq ::  $[(\mathcal{A})Real, (\mathcal{A})Real] \Rightarrow (\mathcal{A})Boolean$ 

```

```

begin

```

definition *StrictRefEqReal* [code-unfold] :
 $(x :: ('A)Real) \doteq y \equiv \lambda \tau. \text{if } (v \ x) \ \tau = \text{true} \ \tau \wedge (v \ y) \ \tau = \text{true} \ \tau$
 then $(x \triangleq y) \ \tau$
 else *invalid* τ

end

Property proof in terms of *profile-binStrongEq~v~v*

interpretation *StrictRefEqReal* : *profile-binStrongEq~v~v* $\lambda x y. (x :: ('A)Real) \doteq y$
 ⟨proof⟩

2.5.2. Basic Real Constants

Although the remaining part of this library reasons about reals abstractly, we provide here as example some convenient shortcuts.

definition *OclReal0* :: ('A)Real (0.0) **where** 0.0 = $(\lambda - . \ \underline{_}0 :: \text{real}_{\perp})$

definition *OclReal1* :: ('A)Real (1.0) **where** 1.0 = $(\lambda - . \ \underline{_}1 :: \text{real}_{\perp})$

definition *OclReal2* :: ('A)Real (2.0) **where** 2.0 = $(\lambda - . \ \underline{_}2 :: \text{real}_{\perp})$

Etc.

definition *OclReal3* :: ('A)Real (3.0) **where** 3.0 = $(\lambda - . \ \underline{_}3 :: \text{real}_{\perp})$

definition *OclReal4* :: ('A)Real (4.0) **where** 4.0 = $(\lambda - . \ \underline{_}4 :: \text{real}_{\perp})$

definition *OclReal5* :: ('A)Real (5.0) **where** 5.0 = $(\lambda - . \ \underline{_}5 :: \text{real}_{\perp})$

definition *OclReal6* :: ('A)Real (6.0) **where** 6.0 = $(\lambda - . \ \underline{_}6 :: \text{real}_{\perp})$

definition *OclReal7* :: ('A)Real (7.0) **where** 7.0 = $(\lambda - . \ \underline{_}7 :: \text{real}_{\perp})$

definition *OclReal8* :: ('A)Real (8.0) **where** 8.0 = $(\lambda - . \ \underline{_}8 :: \text{real}_{\perp})$

definition *OclReal9* :: ('A)Real (9.0) **where** 9.0 = $(\lambda - . \ \underline{_}9 :: \text{real}_{\perp})$

definition *OclReal10* :: ('A)Real (10.0) **where** 10.0 = $(\lambda - . \ \underline{_}10 :: \text{real}_{\perp})$

definition *OclRealpi* :: ('A)Real (π) **where** $\pi = (\lambda - . \ \underline{_}pi_{\perp})$

2.5.3. Validity and Definedness Properties

lemma $\delta(\text{null} :: ('A)Real) = \text{false}$ ⟨proof⟩

lemma $v(\text{null} :: ('A)Real) = \text{true}$ ⟨proof⟩

lemma [simp,code-unfold]: $\delta(\lambda - . \ \underline{_}n_{\perp}) = \text{true}$
 ⟨proof⟩

lemma [simp,code-unfold]: $v(\lambda - . \ \underline{_}n_{\perp}) = \text{true}$
 ⟨proof⟩

lemma [simp,code-unfold]: $\delta \ 0.0 = \text{true}$ ⟨proof⟩

lemma [simp,code-unfold]: $v \ 0.0 = \text{true}$ ⟨proof⟩

lemma [simp,code-unfold]: $\delta \ 1.0 = \text{true}$ ⟨proof⟩

lemma [simp,code-unfold]: $v \ 1.0 = \text{true}$ ⟨proof⟩

lemma [simp,code-unfold]: $\delta \ 2.0 = \text{true}$ ⟨proof⟩

lemma [simp,code-unfold]: $v \ 2.0 = \text{true}$ ⟨proof⟩

lemma [simp,code-unfold]: $\delta \ 6.0 = \text{true}$ ⟨proof⟩

lemma [simp,code-unfold]: $v \ 6.0 = \text{true}$ ⟨proof⟩

lemma [simp,code-unfold]: $\delta \ 8.0 = \text{true}$ ⟨proof⟩

lemma [simp,code-unfold]: $v \ 8.0 = \text{true}$ ⟨proof⟩

lemma [simp,code-unfold]: $\delta \ 9.0 = \text{true}$ ⟨proof⟩

lemma [simp,code-unfold]: $v \ 9.0 = \text{true}$ ⟨proof⟩

2.5.4. Arithmetical Operations

Definition

Here is a common case of a built-in operation on built-in types. Note that the arguments must be both defined (non-null, non-bot).

Note that we can not follow the lexis of the OCL Standard for Isabelle technical reasons; these operators are heavily overloaded in the HOL library that a further overloading would lead to heavy technical buzz in this document.

definition $OclAdd_{Real} :: ('A)Real \Rightarrow ('A)Real \Rightarrow ('A)Real$ (**infix** $+_{real}$ 40)
where $x +_{real} y \equiv \lambda \tau. \text{if } (\delta x) \tau = true \wedge (\delta y) \tau = true \tau$
 $\quad \text{then } \llbracket x \tau^\top + y \tau^\top \rrbracket$
 $\quad \text{else } invalid \tau$

interpretation $OclAdd_{Real} : profile-bin_{d-d} (+_{real}) \lambda x y. \llbracket x^\top + y^\top \rrbracket$
 $\langle proof \rangle$

definition $OclMinus_{Real} :: ('A)Real \Rightarrow ('A)Real \Rightarrow ('A)Real$ (**infix** $-_{real}$ 41)
where $x -_{real} y \equiv \lambda \tau. \text{if } (\delta x) \tau = true \wedge (\delta y) \tau = true \tau$
 $\quad \text{then } \llbracket x \tau^\top - y \tau^\top \rrbracket$
 $\quad \text{else } invalid \tau$

interpretation $OclMinus_{Real} : profile-bin_{d-d} (-_{real}) \lambda x y. \llbracket x^\top - y^\top \rrbracket$
 $\langle proof \rangle$

definition $OclMult_{Real} :: ('A)Real \Rightarrow ('A)Real \Rightarrow ('A)Real$ (**infix** $*_{real}$ 45)
where $x *_{real} y \equiv \lambda \tau. \text{if } (\delta x) \tau = true \wedge (\delta y) \tau = true \tau$
 $\quad \text{then } \llbracket x \tau^\top * y \tau^\top \rrbracket$
 $\quad \text{else } invalid \tau$

interpretation $OclMult_{Real} : profile-bin_{d-d} OclMult_{Real} \lambda x y. \llbracket x^\top * y^\top \rrbracket$
 $\langle proof \rangle$

Here is the special case of division, which is defined as invalid for division by zero.

definition $OclDivision_{Real} :: ('A)Real \Rightarrow ('A)Real \Rightarrow ('A)Real$ (**infix** div_{real} 45)
where $x div_{real} y \equiv \lambda \tau. \text{if } (\delta x) \tau = true \wedge (\delta y) \tau = true \tau$
 $\quad \text{then if } y \tau \neq OclReal0 \tau \text{ then } \llbracket x \tau^\top / y \tau^\top \rrbracket \text{ else } invalid \tau$
 $\quad \text{else } invalid \tau$

definition $mod_float \ a \ b = a - real_of_int \ (floor \ (a / b)) * b$

definition $OclModulus_{Real} :: ('A)Real \Rightarrow ('A)Real \Rightarrow ('A)Real$ (**infix** mod_{real} 45)
where $x mod_{real} y \equiv \lambda \tau. \text{if } (\delta x) \tau = true \wedge (\delta y) \tau = true \tau$
 $\quad \text{then if } y \tau \neq OclReal0 \tau \text{ then } \llbracket mod_float \ x \tau^\top y \tau^\top \rrbracket \text{ else } invalid \tau$
 $\quad \text{else } invalid \tau$

definition $OclLess_{Real} :: ('A)Real \Rightarrow ('A)Real \Rightarrow ('A)Boolean$ (**infix** $<_{real}$ 35)
where $x <_{real} y \equiv \lambda \tau. \text{if } (\delta x) \tau = true \wedge (\delta y) \tau = true \tau$
 $\quad \text{then } \llbracket x \tau^\top < y \tau^\top \rrbracket$
 $\quad \text{else } invalid \tau$

interpretation $OclLess_{Real} : profile-bin_{d-d} (<_{real}) \lambda x y. \llbracket x^\top < y^\top \rrbracket$
 $\langle proof \rangle$

definition $OclLe_{Real} :: ('A)Real \Rightarrow ('A)Real \Rightarrow ('A)Boolean$ (**infix** \leq_{real} 35)
where $x \leq_{real} y \equiv \lambda \tau. \text{if } (\delta x) \tau = true \wedge (\delta y) \tau = true \tau$
 $\quad \text{then } \llbracket x \tau^\top \leq y \tau^\top \rrbracket$

else invalid τ

interpretation $OclLe_{Real} : \text{profile-bin}_{d-d} (\leq_{real}) \lambda x y. \sqcup \ulcorner x \urcorner \leq \ulcorner y \urcorner \sqcup$
 $\langle \text{proof} \rangle$

Basic Properties

lemma $OclAdd_{Real}\text{-commute}: (X +_{real} Y) = (Y +_{real} X)$
 $\langle \text{proof} \rangle$

Execution with Invalid or Null or Zero as Argument

lemma $OclAdd_{Real}\text{-zero1}[\text{simp}, \text{code-unfold}] :$
 $(x +_{real} \mathbf{0.0}) = (\text{if } v \ x \text{ and not } (\delta \ x) \text{ then invalid else } x \text{ endif})$
 $\langle \text{proof} \rangle$

lemma $OclAdd_{Real}\text{-zero2}[\text{simp}, \text{code-unfold}] :$
 $(\mathbf{0.0} +_{real} x) = (\text{if } v \ x \text{ and not } (\delta \ x) \text{ then invalid else } x \text{ endif})$
 $\langle \text{proof} \rangle$

2.5.5. Test Statements

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Assert $\tau \models (\mathbf{9.0} \leq_{real} \mathbf{10.0})$
Assert $\tau \models ((\mathbf{4.0} +_{real} \mathbf{4.0}) \leq_{real} \mathbf{10.0})$
Assert $\tau \models \neg ((\mathbf{4.0} +_{real} (\mathbf{4.0} +_{real} \mathbf{4.0})) <_{real} \mathbf{10.0})$
Assert $\tau \models \text{not } (v \ (\text{null} +_{real} \mathbf{1.0}))$
Assert $\tau \models (((\mathbf{9.0} *_{real} \mathbf{4.0}) \text{div}_{real} \mathbf{10.0}) \leq_{real} \mathbf{4.0})$
Assert $\tau \models \text{not } (\delta \ (\mathbf{1.0} \text{div}_{real} \mathbf{0.0}))$
Assert $\tau \models \text{not } (v \ (\mathbf{1.0} \text{div}_{real} \mathbf{0.0}))$

lemma $real\text{-non-null}[\text{simp}]: ((\lambda \cdot. \sqcup n_{\sqcup}) \doteq (\text{null}::(\mathfrak{A})Real)) = false$
 $\langle \text{proof} \rangle$

lemma $null\text{-non-real}[\text{simp}]: ((\text{null}::(\mathfrak{A})Real) \doteq (\lambda \cdot. \sqcup n_{\sqcup})) = false$
 $\langle \text{proof} \rangle$

lemma $OclReal0\text{-non-null}[\text{simp}, \text{code-unfold}]: (\mathbf{0.0} \doteq \text{null}) = false \langle \text{proof} \rangle$
lemma $null\text{-non-OclReal0}[\text{simp}, \text{code-unfold}]: (\text{null} \doteq \mathbf{0.0}) = false \langle \text{proof} \rangle$
lemma $OclReal1\text{-non-null}[\text{simp}, \text{code-unfold}]: (\mathbf{1.0} \doteq \text{null}) = false \langle \text{proof} \rangle$
lemma $null\text{-non-OclReal1}[\text{simp}, \text{code-unfold}]: (\text{null} \doteq \mathbf{1.0}) = false \langle \text{proof} \rangle$
lemma $OclReal2\text{-non-null}[\text{simp}, \text{code-unfold}]: (\mathbf{2.0} \doteq \text{null}) = false \langle \text{proof} \rangle$
lemma $null\text{-non-OclReal2}[\text{simp}, \text{code-unfold}]: (\text{null} \doteq \mathbf{2.0}) = false \langle \text{proof} \rangle$
lemma $OclReal6\text{-non-null}[\text{simp}, \text{code-unfold}]: (\mathbf{6.0} \doteq \text{null}) = false \langle \text{proof} \rangle$
lemma $null\text{-non-OclReal6}[\text{simp}, \text{code-unfold}]: (\text{null} \doteq \mathbf{6.0}) = false \langle \text{proof} \rangle$
lemma $OclReal8\text{-non-null}[\text{simp}, \text{code-unfold}]: (\mathbf{8.0} \doteq \text{null}) = false \langle \text{proof} \rangle$
lemma $null\text{-non-OclReal8}[\text{simp}, \text{code-unfold}]: (\text{null} \doteq \mathbf{8.0}) = false \langle \text{proof} \rangle$
lemma $OclReal9\text{-non-null}[\text{simp}, \text{code-unfold}]: (\mathbf{9.0} \doteq \text{null}) = false \langle \text{proof} \rangle$
lemma $null\text{-non-OclReal9}[\text{simp}, \text{code-unfold}]: (\text{null} \doteq \mathbf{9.0}) = false \langle \text{proof} \rangle$

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Elementary computations on Real

Assert $\tau \models \mathbf{1.0} < \mathbf{2.0}$

```

Assert  $\tau \models 2.0 <> 1.0$ 
Assert  $\tau \models 2.0 \doteq 2.0$ 

Assert  $\tau \models v \ 4.0$ 
Assert  $\tau \models \delta \ 4.0$ 
Assert  $\tau \models v \ (null::('A)Real)$ 
Assert  $\tau \models (invalid \triangleq invalid)$ 
Assert  $\tau \models (null \triangleq null)$ 
Assert  $\tau \models (4.0 \triangleq 4.0)$ 
Assert  $\tau \not\models (9.0 \triangleq 10.0)$ 
Assert  $\tau \not\models (invalid \triangleq 10.0)$ 
Assert  $\tau \not\models (null \triangleq 10.0)$ 
Assert  $\tau \not\models (invalid \doteq (invalid::('A)Real))$ 
Assert  $\tau \not\models v \ (invalid \doteq (invalid::('A)Real))$ 
Assert  $\tau \not\models (invalid <> (invalid::('A)Real))$ 
Assert  $\tau \not\models v \ (invalid <> (invalid::('A)Real))$ 
Assert  $\tau \models (null \doteq (null::('A)Real))$ 
Assert  $\tau \models (null \doteq (null::('A)Real))$ 
Assert  $\tau \models (4.0 \doteq 4.0)$ 
Assert  $\tau \not\models (4.0 <> 4.0)$ 
Assert  $\tau \not\models (4.0 \doteq 10.0)$ 
Assert  $\tau \models (4.0 <> 10.0)$ 
Assert  $\tau \not\models (0.0 <_{real} null)$ 
Assert  $\tau \not\models (\delta \ (0.0 <_{real} null))$ 

```

end

```

theory UML-String
imports ../UML-PropertyProfiles
begin

```

2.6. Basic Type String: Operations

2.6.1. Fundamental Properties on Strings: Strict Equality

The last basic operation belonging to the fundamental infrastructure of a value-type in OCL is the weak equality, which is defined similar to the $'A$ Boolean-case as strict extension of the strong equality:

```

overloading StrictRefEq  $\equiv$  StrictRefEq ::  $[( 'A)String, ('A)String] \Rightarrow ('A)Boolean$ 
begin

```

```

  definition StrictRefEqString[code-unfold] :
     $(x::('A)String) \doteq y \equiv \lambda \tau. \text{ if } (v \ x) \ \tau = \text{true} \ \tau \wedge (v \ y) \ \tau = \text{true} \ \tau$ 
      then  $(x \triangleq y) \ \tau$ 
      else  $invalid \ \tau$ 

```

end

Property proof in terms of $profile-bin_{StrongEq-v-v}$

```

interpretation StrictRefEqString : profile-bin_{StrongEq-v-v}  $\lambda x \ y. (x::('A)String) \doteq y$ 
  <proof>

```

2.6.2. Basic String Constants

Although the remaining part of this library reasons about integers abstractly, we provide here as example some convenient shortcuts.

```

definition OclStringa :: ('A)String (a)   where   a =  $(\lambda \_ . \_ \llcorner a'' \lrcorner)$ 

```

definition *OclStringb* :: ('A)String (b) **where** b = (λ - . ⊥''b''⊥)
definition *OclStringc* :: ('A)String (c) **where** c = (λ - . ⊥''c''⊥)

Etc.

2.6.3. Validity and Definedness Properties

lemma $\delta(\text{null}::('A)\text{String}) = \text{false}$ *<proof>*

lemma $v(\text{null}::('A)\text{String}) = \text{true}$ *<proof>*

lemma [simp,code-unfold]: $\delta(\lambda\cdot. \perp n \perp) = \text{true}$
<proof>

lemma [simp,code-unfold]: $v(\lambda\cdot. \perp n \perp) = \text{true}$
<proof>

lemma [simp,code-unfold]: $\delta a = \text{true}$ *<proof>*

lemma [simp,code-unfold]: $v a = \text{true}$ *<proof>*

2.6.4. String Operations

Definition

Here is a common case of a built-in operation on built-in types. Note that the arguments must be both defined (non-null, non-bot).

Note that we can not follow the lexis of the OCL Standard for Isabelle technical reasons; these operators are heavily overloaded in the HOL library that a further overloading would lead to heavy technical buzz in this document.

definition *OclAddString* :: ('A)String \Rightarrow ('A)String \Rightarrow ('A)String (**infix** +*string* 40)
where $x +_{\text{string}} y \equiv \lambda \tau. \text{if } (\delta x) \tau = \text{true} \wedge (\delta y) \tau = \text{true} \wedge$
 $\quad \text{then } \perp \text{concat } [\ulcorner x \urcorner \tau \urcorner, \ulcorner y \urcorner \tau \urcorner] \perp$
 $\quad \text{else } \text{invalid } \tau$

interpretation *OclAddString* : profile-bind-d (+*string*) $\lambda x y. \perp \text{concat } [\ulcorner x \urcorner, \ulcorner y \urcorner] \perp$
<proof>

Basic Properties

lemma *OclAddString-not-commute*: $\exists X Y. (X +_{\text{string}} Y) \neq (Y +_{\text{string}} X)$
<proof>

2.6.5. Test Statements

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Here follows a list of code-examples, that explain the meanings of the above definitions by compilation to code and execution to *True*.

Elementary computations on String

Assert $\tau \models a <> b$

Assert $\tau \models b <> a$

Assert $\tau \models b \doteq b$

Assert $\tau \models v a$

Assert $\tau \models \delta a$

Assert $\tau \models v(\text{null}::('A)\text{String})$

```

Assert  $\tau \models (\text{invalid} \triangleq \text{invalid})$ 
Assert  $\tau \models (\text{null} \triangleq \text{null})$ 
Assert  $\tau \models (\text{a} \triangleq \text{a})$ 
Assert  $\tau \not\models (\text{a} \triangleq \text{b})$ 
Assert  $\tau \not\models (\text{invalid} \triangleq \text{b})$ 
Assert  $\tau \not\models (\text{null} \triangleq \text{b})$ 
Assert  $\tau \not\models (\text{invalid} \dot{=} (\text{invalid}::('A)\text{String}))$ 
Assert  $\tau \not\models v (\text{invalid} \dot{=} (\text{invalid}::('A)\text{String}))$ 
Assert  $\tau \not\models (\text{invalid} <> (\text{invalid}::('A)\text{String}))$ 
Assert  $\tau \not\models v (\text{invalid} <> (\text{invalid}::('A)\text{String}))$ 
Assert  $\tau \models (\text{null} \dot{=} (\text{null}::('A)\text{String}))$ 
Assert  $\tau \models (\text{null} \dot{=} (\text{null}::('A)\text{String}))$ 
Assert  $\tau \models (\text{b} \dot{=} \text{b})$ 
Assert  $\tau \not\models (\text{b} <> \text{b})$ 
Assert  $\tau \not\models (\text{b} \dot{=} \text{c})$ 
Assert  $\tau \models (\text{b} <> \text{c})$ 

```

end

```

theory UML-Pair
imports ../UML-PropertyProfiles
begin

```

2.7. Collection Type Pairs: Operations

The OCL standard provides the concept of *Tuples*, i.e. a family of record-types with projection functions. In FeatherWeight OCL, only the theory of a special case is developped, namely the type of Pairs, which is, however, sufficient for all applications since it can be used to mimick all tuples. In particular, it can be used to express operations with multiple arguments, roles of n-ary associations, ...

2.7.1. Semantic Properties of the Type Constructor

lemma $A[\text{simp}]: \text{Rep-Pair}_{base} x \neq \text{None} \implies \text{Rep-Pair}_{base} x \neq \text{null} \implies (\text{fst } {}^{\top}\text{Rep-Pair}_{base} x^{\top}) \neq \text{bot}$
 $\langle \text{proof} \rangle$

lemma $A'[\text{simp}]: x \neq \text{bot} \implies x \neq \text{null} \implies (\text{fst } {}^{\top}\text{Rep-Pair}_{base} x^{\top}) \neq \text{bot}$
 $\langle \text{proof} \rangle$

lemma $B[\text{simp}]: \text{Rep-Pair}_{base} x \neq \text{None} \implies \text{Rep-Pair}_{base} x \neq \text{null} \implies (\text{snd } {}^{\top}\text{Rep-Pair}_{base} x^{\top}) \neq \text{bot}$
 $\langle \text{proof} \rangle$

lemma $B'[\text{simp}]: x \neq \text{bot} \implies x \neq \text{null} \implies (\text{snd } {}^{\top}\text{Rep-Pair}_{base} x^{\top}) \neq \text{bot}$
 $\langle \text{proof} \rangle$

2.7.2. Fundamental Properties of Strict Equality

After the part of foundational operations on sets, we detail here equality on sets. Strong equality is inherited from the OCL core, but we have to consider the case of the strict equality. We decide to overload strict equality in the same way we do for other value's in OCL:

overloading

$\text{StrictRefEq} \equiv \text{StrictRefEq} :: [(\text{'A}, \text{'}\alpha::\text{null}, \text{'}\beta::\text{null})\text{Pair}, (\text{'A}, \text{'}\alpha::\text{null}, \text{'}\beta::\text{null})\text{Pair}] \Rightarrow (\text{'A})\text{Boolean}$

begin

definition $\text{StrictRefEq}_{Pair} :$

$$((x::('A, 'α::null, 'β::null)Pair) \doteq y) \equiv (\lambda \tau. \text{if } (v\ x) \tau = \text{true } \tau \wedge (v\ y) \tau = \text{true } \tau \\ \text{then } (x \triangleq y) \tau \\ \text{else invalid } \tau)$$

end

Property proof in terms of *profile-bin_{StrongEq}^{-v-v}*

interpretation *StrictRefEq_{Pair}* : *profile-bin_{StrongEq}^{-v-v}* $\lambda\ x\ y. (x::('A, 'α::null, 'β::null)Pair) \doteq y$
 $\langle \text{proof} \rangle$

2.7.3. Standard Operations Definitions

This part provides a collection of operators for the Pair type.

Definition: Pair Constructor

definition *OclPair*::('A, 'α) val \Rightarrow
 $('A, 'β) \text{ val } \Rightarrow$
 $('A, 'α::null, 'β::null) \text{ Pair } (Pair\{(-), (-)\})$
where $Pair\{X, Y\} \equiv (\lambda \tau. \text{if } (v\ X) \tau = \text{true } \tau \wedge (v\ Y) \tau = \text{true } \tau$
 $\text{then } Abs\text{-}Pair_{base} \sqcup (X\ \tau, Y\ \tau) \sqcup$
 $\text{else invalid } \tau)$

interpretation *OclPair* : *profile-bin_{v-v}*
 $OclPair\ \lambda\ x\ y. Abs\text{-}Pair_{base} \sqcup (x, y) \sqcup$
 $\langle \text{proof} \rangle$

Definition: First

definition *OclFirst*::('A, 'α::null, 'β::null) Pair \Rightarrow ('A, 'α) val (- .First'('))
where $X.\text{First}() \equiv (\lambda \tau. \text{if } (\delta\ X) \tau = \text{true } \tau$
 $\text{then } fst\ \sqsupset Rep\text{-}Pair_{base} (X\ \tau) \sqsupset$
 $\text{else invalid } \tau)$

interpretation *OclFirst* : *profile-mono_d* *OclFirst* $\lambda x. fst\ \sqsupset Rep\text{-}Pair_{base} (x) \sqsupset$
 $\langle \text{proof} \rangle$

Definition: Second

definition *OclSecond*::('A, 'α::null, 'β::null) Pair \Rightarrow ('A, 'β) val (- .Second'('))
where $X.\text{Second}() \equiv (\lambda \tau. \text{if } (\delta\ X) \tau = \text{true } \tau$
 $\text{then } snd\ \sqsupset Rep\text{-}Pair_{base} (X\ \tau) \sqsupset$
 $\text{else invalid } \tau)$

interpretation *OclSecond* : *profile-mono_d* *OclSecond* $\lambda x. snd\ \sqsupset Rep\text{-}Pair_{base} (x) \sqsupset$
 $\langle \text{proof} \rangle$

2.7.4. Logical Properties

lemma 1 : $\tau \models v\ Y \implies \tau \models Pair\{X, Y\}.\text{First}() \triangleq X$
 $\langle \text{proof} \rangle$

lemma 2 : $\tau \models v\ X \implies \tau \models Pair\{X, Y\}.\text{Second}() \triangleq Y$
 $\langle \text{proof} \rangle$

2.7.5. Algebraic Execution Properties

lemma *proj1-exec* [*simp*, *code-unfold*] : *Pair*{*X*,*Y*} .*First*() = (if (*v Y*) then *X* else *invalid* endif)
 ⟨*proof*⟩

lemma *proj2-exec* [*simp*, *code-unfold*] : *Pair*{*X*,*Y*} .*Second*() = (if (*v X*) then *Y* else *invalid* endif)
 ⟨*proof*⟩

2.7.6. Test Statements

instantiation *Pair_{base}* :: (*equal*,*equal*)*equal*

begin

definition *HOL.equal* *k l* \longleftrightarrow (*k*::('a::*equal*, 'b::*equal*)*Pair_{base}*) = *l*

instance ⟨*proof*⟩

end

lemma *equal-Pair_{base}-code* [*code*]:

HOL.equal *k* (*l*::('a::{*equal*,*null*}, 'b::{*equal*,*null*})*Pair_{base}*) \longleftrightarrow *Rep-Pair_{base}* *k* = *Rep-Pair_{base}* *l*
 ⟨*proof*⟩

Assert $\tau \models \text{invalid} .\text{First}() \triangleq \text{invalid}$

Assert $\tau \models \text{null} .\text{First}() \triangleq \text{invalid}$

Assert $\tau \models \text{null} .\text{Second}() \triangleq \text{invalid} .\text{Second}()$

Assert $\tau \models \text{Pair}\{\text{invalid}, \text{true}\} \triangleq \text{invalid}$

Assert $\tau \models v(\text{Pair}\{\text{null}, \text{true}\} .\text{First}())$

Assert $\tau \models (\text{Pair}\{\text{null}, \text{true}\} .\text{First}()) \triangleq \text{null}$

Assert $\tau \models (\text{Pair}\{\text{null}, \text{Pair}\{\text{true}, \text{invalid}\}\} .\text{First}()) \triangleq \text{invalid}$

end

theory *UML-Bag*

imports ../*basic-types/UML-Void*

../*basic-types/UML-Boolean*

../*basic-types/UML-Integer*

../*basic-types/UML-String*

../*basic-types/UML-Real*

begin

no-notation *None* (\perp)

2.8. Collection Type Bag: Operations

definition *Rep-Bag-base'* *x* = {(*x0*, *y*). *y* < $\ulcorner \text{Rep-Bag}_{base} x \urcorner$ *x0* }

definition *Rep-Bag-base* *x* τ = {(*x0*, *y*). *y* < $\ulcorner \text{Rep-Bag}_{base} (x \tau) \urcorner$ *x0* }

definition *Rep-Set-base* *x* τ = *fst* ' {(*x0*, *y*). *y* < $\ulcorner \text{Rep-Bag}_{base} (x \tau) \urcorner$ *x0* }

definition *ApproxEq* (**infixl** \cong 30)

where $X \cong Y \equiv \lambda \tau. \ulcorner \text{Rep-Set-base } X \tau = \text{Rep-Set-base } Y \tau \urcorner$

2.8.1. As a Motivation for the (infinite) Type Construction: Type-Extensions as Bags

Our notion of typed bag goes beyond the usual notion of a finite executable bag and is powerful enough to capture *the extension of a type* in UML and OCL. This means we can have in Featherweight OCL Bags containing all possible elements of a type, not only those (finite) ones representable in a state. This holds for base types as well as class types, although the notion for class-types — involving object id's not occurring in a state — requires some care.

In a world with *invalid* and *null*, there are two notions extensions possible:

1. the bag of all *defined* values of a type T (for which we will introduce the constant T)
2. the bag of all *valid* values of a type T , so including *null* (for which we will introduce the constant T_{null}).

We define the bag extensions for the base type *Integer* as follows:

definition $Integer :: (\mathcal{A}, Integer_{base}) \text{ Bag}$

where $Integer \equiv (\lambda \tau. (Abs\text{-}Bag_{base} \ o \ Some \ o \ Some) \ (\lambda \text{None} \Rightarrow 0 \mid \text{Some} \ \text{None} \Rightarrow 0 \mid - \Rightarrow 1))$

definition $Integer_{null} :: (\mathcal{A}, Integer_{base}) \text{ Bag}$

where $Integer_{null} \equiv (\lambda \tau. (Abs\text{-}Bag_{base} \ o \ Some \ o \ Some) \ (\lambda \text{None} \Rightarrow 0 \mid - \Rightarrow 1))$

lemma $Integer\text{-}defined : \delta \ Integer = true$

$\langle proof \rangle$

lemma $Integer_{null}\text{-}defined : \delta \ Integer_{null} = true$

$\langle proof \rangle$

This allows the theorems:

$\tau \models \delta \ x \implies \tau \models (Integer \text{-} \> includes_{Bag}(x)) \quad \tau \models \delta \ x \implies \tau \models Integer \quad \triangleq$
 $(Integer \text{-} \> including_{Bag}(x))$

and

$\tau \models v \ x \implies \tau \models (Integer_{null} \text{-} \> includes_{Bag}(x)) \quad \tau \models v \ x \implies \tau \models Integer_{null} \quad \triangleq$
 $(Integer_{null} \text{-} \> including_{Bag}(x))$

which characterize the infiniteness of these bags by a recursive property on these bags.

In the same spirit, we proceed similarly for the remaining base types:

definition $Void_{null} :: (\mathcal{A}, Void_{base}) \text{ Bag}$

where $Void_{null} \equiv (\lambda \tau. (Abs\text{-}Bag_{base} \ o \ Some \ o \ Some) \ (\lambda x. \text{if } x = Abs\text{-}Void_{base} \ (\text{Some} \ \text{None}) \text{ then } 1 \text{ else } 0))$

definition $Void_{empty} :: (\mathcal{A}, Void_{base}) \text{ Bag}$

where $Void_{empty} \equiv (\lambda \tau. (Abs\text{-}Bag_{base} \ o \ Some \ o \ Some) \ (\lambda -. 0))$

lemma $Void_{null}\text{-}defined : \delta \ Void_{null} = true$

$\langle proof \rangle$

lemma $Void_{empty}\text{-}defined : \delta \ Void_{empty} = true$

$\langle proof \rangle$

lemma **assumes** $\tau \models \delta \ (V :: (\mathcal{A}, Void_{base}) \text{ Bag})$

shows $\tau \models V \cong Void_{null} \vee \tau \models V \cong Void_{empty}$

$\langle proof \rangle$

definition $Boolean :: (\mathcal{A}, Boolean_{base}) \text{ Bag}$

where $Boolean \equiv (\lambda \tau. (Abs\text{-}Bag_{base} \ o \ Some \ o \ Some) \ (\lambda \text{None} \Rightarrow 0 \mid \text{Some} \ \text{None} \Rightarrow 0 \mid - \Rightarrow 1))$

definition $Boolean_{null} :: ('A, Boolean_{base}) Bag$
where $Boolean_{null} \equiv (\lambda \tau. (Abs-Bag_{base} \circ Some \circ Some) (\lambda None \Rightarrow 0 \mid - \Rightarrow 1))$

lemma $Boolean_defined : \delta Boolean = true$
 $\langle proof \rangle$

lemma $Boolean_{null}_defined : \delta Boolean_{null} = true$
 $\langle proof \rangle$

definition $String :: ('A, String_{base}) Bag$
where $String \equiv (\lambda \tau. (Abs-Bag_{base} \circ Some \circ Some) (\lambda None \Rightarrow 0 \mid Some None \Rightarrow 0 \mid - \Rightarrow 1))$

definition $String_{null} :: ('A, String_{base}) Bag$
where $String_{null} \equiv (\lambda \tau. (Abs-Bag_{base} \circ Some \circ Some) (\lambda None \Rightarrow 0 \mid - \Rightarrow 1))$

lemma $String_defined : \delta String = true$
 $\langle proof \rangle$

lemma $String_{null}_defined : \delta String_{null} = true$
 $\langle proof \rangle$

definition $Real :: ('A, Real_{base}) Bag$
where $Real \equiv (\lambda \tau. (Abs-Bag_{base} \circ Some \circ Some) (\lambda None \Rightarrow 0 \mid Some None \Rightarrow 0 \mid - \Rightarrow 1))$

definition $Real_{null} :: ('A, Real_{base}) Bag$
where $Real_{null} \equiv (\lambda \tau. (Abs-Bag_{base} \circ Some \circ Some) (\lambda None \Rightarrow 0 \mid - \Rightarrow 1))$

lemma $Real_defined : \delta Real = true$
 $\langle proof \rangle$

lemma $Real_{null}_defined : \delta Real_{null} = true$
 $\langle proof \rangle$

2.8.2. Basic Properties of the Bag Type

Every element in a defined bag is valid.

lemma $Bag_inv_lemma : \tau \models (\delta X) \implies \ulcorner Rep-Bag_{base} (X \tau) \urcorner bot = 0$
 $\langle proof \rangle$

lemma $Bag_inv_lemma' :$
assumes $x_def : \tau \models \delta X$
and $e_mem : \ulcorner Rep-Bag_{base} (X \tau) \urcorner e \geq 1$
shows $\tau \models v (\lambda \cdot. e)$
 $\langle proof \rangle$

lemma $abs_rep_simp' :$
assumes $S_all_def : \tau \models \delta S$
shows $Abs-Bag_{base} \sqsubseteq \ulcorner Rep-Bag_{base} (S \tau) \urcorner \sqsubseteq S \tau$
 $\langle proof \rangle$

lemma $invalid_bag_OclNot_defined [simp, code-unfold] : \delta (invalid :: ('A, 'a :: null) Bag) = false \langle proof \rangle$

lemma $null_bag_OclNot_defined [simp, code-unfold] : \delta (null :: ('A, 'a :: null) Bag) = false$
 $\langle proof \rangle$

lemma $invalid_bag_valid [simp, code-unfold] : v (invalid :: ('A, 'a :: null) Bag) = false$
 $\langle proof \rangle$

lemma $null_bag_valid [simp, code-unfold] : v (null :: ('A, 'a :: null) Bag) = true$

... which means that we can have a type $(\mathfrak{A}, (\mathfrak{A}, (\mathfrak{A} \text{ Integer}) \text{ Bag}) \text{ Bag})$ corresponding exactly to $\text{Bag}(\text{Bag}(\text{Integer}))$ in OCL notation. Note that the parameter \mathfrak{A} still refers to the object universe; making the OCL semantics entirely parametric in the object universe makes it possible to study (and prove) its properties independently from a concrete class diagram.

After the part of foundational operations on bags, we detail here equality on bags. Strong equality is inherited from the OCL core, but we have to consider the case of the strict equality. We decide to overload strict equality in the same way we do for other value's in OCL:

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else invalid τ)
notation $OclIncluding$ $(-->including_{Bag} '(-'))$

interpretation $OclIncluding : profile-bin_{d-v} \ OclIncluding \ \lambda x \ y. \ Abs-Bag_{base} \ \sqcup^{\top} Rep-Bag_{base} \ x^{\top}$
 $(y := \top Rep-Bag_{base} \ x^{\top} \ y + 1)_{\sqcup}$

<proof>

syntax

$-OclFinbag :: args => ('A, 'a::null) \ Bag \ (Bag\{-\})$

translations

$Bag\{x, xs\} == CONST \ OclIncluding \ (Bag\{xs\}) \ x$
 $Bag\{x\} == CONST \ OclIncluding \ (Bag\{\}) \ x$

2.8.6. Definition: Excluding

definition $OclExcluding :: [('A, 'a::null) \ Bag, ('A, 'a) \ val] \Rightarrow ('A, 'a) \ Bag$
where $OclExcluding \ x \ y = (\lambda \ \tau. \ \text{if } (\delta \ x) \ \tau = true \ \tau \wedge (v \ y) \ \tau = true \ \tau$
 $\text{then } Abs-Bag_{base} \ \sqcup^{\top} Rep-Bag_{base} \ (x \ \tau)^{\top} ((y \ \tau) := 0::nat)_{\sqcup}$
 $\text{else } invalid \ \tau)$

notation $OclExcluding \ (-->excluding_{Bag} '(-'))$

interpretation $OclExcluding: profile-bin_{d-v} \ OclExcluding$
 $\lambda x \ y. \ Abs-Bag_{base} \ \sqcup^{\top} Rep-Bag_{base} \ (x)^{\top} (y := 0::nat)_{\sqcup}$

<proof>

2.8.7. Definition: Includes

definition $OclIncludes :: [('A, 'a::null) \ Bag, ('A, 'a) \ val] \Rightarrow 'A \ Boolean$
where $OclIncludes \ x \ y = (\lambda \ \tau. \ \text{if } (\delta \ x) \ \tau = true \ \tau \wedge (v \ y) \ \tau = true \ \tau$
 $\text{then } \sqcup^{\top} Rep-Bag_{base} \ (x \ \tau)^{\top} (y \ \tau) > 0_{\sqcup}$
 $\text{else } \perp)$

notation $OclIncludes \ (-->includes_{Bag} '(-'))$

interpretation $OclIncludes : profile-bin_{d-v} \ OclIncludes \ \lambda x \ y. \ \sqcup^{\top} Rep-Bag_{base} \ x^{\top} \ y > 0_{\sqcup}$
<proof>

2.8.8. Definition: Excludes

definition $OclExcludes :: [('A, 'a::null) \ Bag, ('A, 'a) \ val] \Rightarrow 'A \ Boolean$
where $OclExcludes \ x \ y = (not(OclIncludes \ x \ y))$
notation $OclExcludes \ (-->excludes_{Bag} '(-'))$

The case of the size definition is somewhat special, we admit explicitly in Featherweight OCL the possibility of infinite bags. For the size definition, this requires an extra condition that assures that the cardinality of the bag is actually a defined integer.

interpretation $OclExcludes : profile-bin_{d-v} \ OclExcludes \ \lambda x \ y. \ \sqcup^{\top} Rep-Bag_{base} \ x^{\top} \ y \leq 0_{\sqcup}$
<proof>

2.8.9. Definition: Size

definition $OclSize :: ('A, 'a::null) \ Bag \Rightarrow 'A \ Integer$
where $OclSize \ x = (\lambda \ \tau. \ \text{if } (\delta \ x) \ \tau = true \ \tau \wedge finite \ (Rep-Bag-base \ x \ \tau)$
 $\text{then } \sqcup \ int \ (card \ (Rep-Bag-base \ x \ \tau))_{\sqcup}$
 $\text{else } \perp)$

notation

$OclSize \ (-->size_{Bag} '(-'))$

The following definition follows the requirement of the standard to treat null as neutral element of bags. It is a well-documented exception from the general strictness rule and the rule that the distinguished argument self should be non-null.

2.8.10. Definition: IsEmpty

definition $OclIsEmpty :: ('A, 'α :: null) Bag \Rightarrow 'A Boolean$
where $OclIsEmpty x = ((v\ x\ and\ not\ (\delta\ x))\ or\ ((OclSize\ x) \doteq 0))$
notation $OclIsEmpty \quad (-> isEmpty_{Bag})(')$

2.8.11. Definition: NotEmpty

definition $OclNotEmpty :: ('A, 'α :: null) Bag \Rightarrow 'A Boolean$
where $OclNotEmpty x = not(OclIsEmpty\ x)$
notation $OclNotEmpty \quad (-> notEmpty_{Bag})(')$

2.8.12. Definition: Any

definition $OclANY :: [('A, 'α :: null) Bag] \Rightarrow ('A, 'α) val$
where $OclANY\ x = (\lambda\ \tau.\ if\ (v\ x)\ \tau = true\ \tau$
 $\quad\quad\quad then\ if\ (\delta\ x\ and\ OclNotEmpty\ x)\ \tau = true\ \tau$
 $\quad\quad\quad\quad\quad then\ SOME\ y.\ y \in (Rep\text{-}Set\text{-}base\ x\ \tau)$
 $\quad\quad\quad\quad\quad else\ null\ \tau$
 $\quad\quad\quad else\ \perp)$
notation $OclANY \quad (-> any_{Bag})(')$

2.8.13. Definition: Forall

The definition of $OclForall$ mimics the one of (and) : $OclForall$ is not a strict operation.

definition $OclForall :: [('A, 'α :: null) Bag, ('A, 'α) val \Rightarrow ('A) Boolean] \Rightarrow 'A Boolean$
where $OclForall\ S\ P = (\lambda\ \tau.\ if\ (\delta\ S)\ \tau = true\ \tau$
 $\quad\quad\quad then\ if\ (\exists x \in Rep\text{-}Set\text{-}base\ S\ \tau.\ P\ (\lambda\ -. \ x)\ \tau = false\ \tau)$
 $\quad\quad\quad\quad\quad then\ false\ \tau$
 $\quad\quad\quad\quad\quad else\ if\ (\exists x \in Rep\text{-}Set\text{-}base\ S\ \tau.\ P\ (\lambda\ -. \ x)\ \tau = invalid\ \tau)$
 $\quad\quad\quad\quad\quad\quad\quad then\ invalid\ \tau$
 $\quad\quad\quad\quad\quad\quad\quad else\ if\ (\exists x \in Rep\text{-}Set\text{-}base\ S\ \tau.\ P\ (\lambda\ -. \ x)\ \tau = null\ \tau)$
 $\quad\quad\quad\quad\quad\quad\quad\quad\quad then\ null\ \tau$
 $\quad\quad\quad\quad\quad\quad\quad\quad\quad else\ true\ \tau$
 $\quad\quad\quad else\ \perp)$

syntax

$-OclForallBag :: [('A, 'α :: null) Bag, id, ('A) Boolean] \Rightarrow 'A Boolean \quad ((-)>forAll_{Bag})('|-')$

translations

$X->forAll_{Bag}(x \mid P) == CONST\ UML\text{-}Bag.OclForall\ X\ (\%x.\ P)$

2.8.14. Definition: Exists

Like $OclForall$, $OclExists$ is also not strict.

definition $OclExists :: [('A, 'α :: null) Bag, ('A, 'α) val \Rightarrow ('A) Boolean] \Rightarrow 'A Boolean$
where $OclExists\ S\ P = not(UML\text{-}Bag.OclForall\ S\ (\lambda\ X.\ not\ (P\ X)))$

syntax

$-OclExistBag :: [('A, 'α :: null) Bag, id, ('A) Boolean] \Rightarrow 'A Boolean \quad ((-)>exists_{Bag})('|-')$

translations

$X->exists_{Bag}(x \mid P) == CONST\ UML\text{-}Bag.OclExists\ X\ (\%x.\ P)$

2.8.15. Definition: Iterate

definition $OclIterate :: [(\mathfrak{A}, ' \alpha :: null) \text{ Bag}, (\mathfrak{A}, ' \beta :: null) \text{ val},$
 $(\mathfrak{A}, ' \alpha) \text{ val} \Rightarrow (\mathfrak{A}, ' \beta) \text{ val} \Rightarrow (\mathfrak{A}, ' \beta) \text{ val}] \Rightarrow (\mathfrak{A}, ' \beta) \text{ val}$
where $OclIterate \ S \ A \ F = (\lambda \ \tau. \text{ if } (\delta \ S) \ \tau = \text{true} \ \tau \wedge (v \ A) \ \tau = \text{true} \ \tau \wedge \text{finite } (Rep\text{-}Bag\text{-}base \ S \ \tau)$
 $\text{ then } Finite\text{-}Set.fold \ (F \ o \ (\lambda a \ \tau. a) \ o \ fst) \ A \ (Rep\text{-}Bag\text{-}base \ S \ \tau) \ \tau$
 $\text{ else } \perp)$

syntax

$-OclIterateBag :: [(\mathfrak{A}, ' \alpha :: null) \text{ Bag}, idt, idt, ' \alpha, ' \beta] \Rightarrow (\mathfrak{A}, ' \gamma) \text{ val}$
 $(- \rightarrow iterate_{Bag} '(-; - = - | -'))$

translations

$X \rightarrow iterate_{Bag}(a; x = A \mid P) == CONST \ OclIterate \ X \ A \ (\% a. (\% x. P))$

2.8.16. Definition: Select

definition $OclSelect :: [(\mathfrak{A}, ' \alpha :: null) \text{ Bag}, (\mathfrak{A}, ' \alpha) \text{ val} \Rightarrow (\mathfrak{A}) \text{ Boolean}] \Rightarrow (\mathfrak{A}, ' \alpha) \text{ Bag}$
where $OclSelect \ S \ P = (\lambda \tau. \text{ if } (\delta \ S) \ \tau = \text{true} \ \tau$
 $\text{ then if } (\exists x \in Rep\text{-}Set\text{-}base \ S \ \tau. P(\lambda -. x) \ \tau = \text{invalid } \tau)$
 $\text{ then invalid } \tau$
 $\text{ else } Abs\text{-}Bag_{base} \ \perp \lambda x.$
 $\text{ let } n = \ulcorner Rep\text{-}Bag_{base} \ (S \ \tau) \urcorner x \text{ in}$
 $\text{ if } n = 0 \mid P(\lambda -. x) \ \tau = \text{false } \tau \text{ then}$
 0
 else
 $\text{ } n_{\perp}$
 $\text{ else invalid } \tau)$

syntax

$-OclSelectBag :: [(\mathfrak{A}, ' \alpha :: null) \text{ Bag}, id, (\mathfrak{A}) \text{ Boolean}] \Rightarrow \mathfrak{A} \text{ Boolean} \quad ((-) \rightarrow select_{Bag} '(-|-'))$

translations

$X \rightarrow select_{Bag}(x \mid P) == CONST \ OclSelect \ X \ (\% x. P)$

2.8.17. Definition: Reject

definition $OclReject :: [(\mathfrak{A}, ' \alpha :: null) \text{ Bag}, (\mathfrak{A}, ' \alpha) \text{ val} \Rightarrow (\mathfrak{A}) \text{ Boolean}] \Rightarrow (\mathfrak{A}, ' \alpha :: null) \text{ Bag}$
where $OclReject \ S \ P = OclSelect \ S \ (not \ o \ P)$

syntax

$-OclRejectBag :: [(\mathfrak{A}, ' \alpha :: null) \text{ Bag}, id, (\mathfrak{A}) \text{ Boolean}] \Rightarrow \mathfrak{A} \text{ Boolean} \quad ((-) \rightarrow reject_{Bag} '(-|-'))$

translations

$X \rightarrow reject_{Bag}(x \mid P) == CONST \ OclReject \ X \ (\% x. P)$

2.8.18. Definition: IncludesAll

definition $OclIncludesAll :: [(\mathfrak{A}, ' \alpha :: null) \text{ Bag}, (\mathfrak{A}, ' \alpha) \text{ Bag}] \Rightarrow \mathfrak{A} \text{ Boolean}$
where $OclIncludesAll \ x \ y = (\lambda \ \tau. \text{ if } (\delta \ x) \ \tau = \text{true} \ \tau \wedge (\delta \ y) \ \tau = \text{true} \ \tau$
 $\text{ then } \ulcorner Rep\text{-}Bag\text{-}base \ y \ \tau \subseteq Rep\text{-}Bag\text{-}base \ x \ \tau \urcorner$
 $\text{ else } \perp)$

notation $OclIncludesAll \ (- \rightarrow includesAll_{Bag} '(-'))$

interpretation $OclIncludesAll : profile\text{-}bind\text{-}a \ OclIncludesAll \ \lambda x \ y. \ulcorner Rep\text{-}Bag\text{-}base' \ y \subseteq Rep\text{-}Bag\text{-}base' \ x \urcorner$
 $\langle proof \rangle$

2.8.19. Definition: ExcludesAll

definition $OclExcludesAll :: [(\mathfrak{A}, ' \alpha :: null) \text{ Bag}, (\mathfrak{A}, ' \alpha) \text{ Bag}] \Rightarrow \mathfrak{A} \text{ Boolean}$
where $OclExcludesAll \ x \ y = (\lambda \ \tau. \text{ if } (\delta \ x) \ \tau = \text{true} \ \tau \wedge (\delta \ y) \ \tau = \text{true} \ \tau$
 $\text{ then } \ulcorner Rep\text{-}Bag\text{-}base \ y \ \tau \cap Rep\text{-}Bag\text{-}base \ x \ \tau = \{\} \urcorner$
 $\text{ else } \perp)$

notation $OclExcludesAll \ (- \rightarrow excludesAll_{Bag} '(-'))$

interpretation $OclExcludesAll$: $profile-bin_d-d \ OclExcludesAll \ \lambda x y. \sqcup Rep-Bag-base' \ y \cap Rep-Bag-base' \ x = \{\} \sqcup$
 $\langle proof \rangle$

2.8.20. Definition: Union

definition $OclUnion$:: $[(\mathfrak{A}, \alpha :: null) \ Bag, (\mathfrak{A}, \alpha) \ Bag] \Rightarrow (\mathfrak{A}, \alpha) \ Bag$
where $OclUnion \ x \ y = (\lambda \tau. \text{if } (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau$
 $\text{then } Abs-Bag_{base} \sqcup \lambda X. \sqcap Rep-Bag_{base} \ (x \ \tau)^\top X +$
 $\sqcap Rep-Bag_{base} \ (y \ \tau)^\top X \sqcup$
 $\text{else } invalid \ \tau)$
notation $OclUnion \quad (\rightarrow union_{Bag} '(-'))$

interpretation $OclUnion$:
 $profile-bin_d-d \ OclUnion \ \lambda x y. Abs-Bag_{base} \sqcup \lambda X. \sqcap Rep-Bag_{base} \ x^\top X +$
 $\sqcap Rep-Bag_{base} \ y^\top X \sqcup$
 $\langle proof \rangle$

2.8.21. Definition: Intersection

definition $OclIntersection$:: $[(\mathfrak{A}, \alpha :: null) \ Bag, (\mathfrak{A}, \alpha) \ Bag] \Rightarrow (\mathfrak{A}, \alpha) \ Bag$
where $OclIntersection \ x \ y = (\lambda \tau. \text{if } (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau$
 $\text{then } Abs-Bag_{base} \sqcup \lambda X. \min (\sqcap Rep-Bag_{base} \ (x \ \tau)^\top X)$
 $(\sqcap Rep-Bag_{base} \ (y \ \tau)^\top X) \sqcup$
 $\text{else } \perp)$
notation $OclIntersection(\rightarrow intersection_{Bag} '(-'))$

interpretation $OclIntersection$:
 $profile-bin_d-d \ OclIntersection \ \lambda x y. Abs-Bag_{base} \sqcup \lambda X. \min (\sqcap Rep-Bag_{base} \ x^\top X)$
 $(\sqcap Rep-Bag_{base} \ y^\top X) \sqcup$
 $\langle proof \rangle$

2.8.22. Definition: Count

definition $OclCount$:: $[(\mathfrak{A}, \alpha :: null) \ Bag, (\mathfrak{A}, \alpha) \ val] \Rightarrow (\mathfrak{A}) \ Integer$
where $OclCount \ x \ y = (\lambda \tau. \text{if } (\delta \ x) \ \tau = true \ \tau \wedge (\delta \ y) \ \tau = true \ \tau$
 $\text{then } \sqcup int(\sqcap Rep-Bag_{base} \ (x \ \tau)^\top (y \ \tau)) \sqcup$
 $\text{else } invalid \ \tau)$
notation $OclCount \ (\rightarrow count_{Bag} '(-'))$

interpretation $OclCount$: $profile-bin_d-d \ OclCount \ \lambda x y. \sqcup int(\sqcap Rep-Bag_{base} \ x^\top y) \sqcup$
 $\langle proof \rangle$

2.8.23. Definition (future operators)

consts
 $OclSum$:: $(\mathfrak{A}, \alpha :: null) \ Bag \Rightarrow \mathfrak{A} \ Integer$

notation $OclSum \quad (\rightarrow sum_{Bag} '(-'))$

2.8.24. Logical Properties

$OclIncluding$

lemma $OclIncluding-valid-args-valid$:
 $(\tau \models v(X \rightarrow including_{Bag}(x))) = ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$
 $\langle proof \rangle$

lemma *OclIncluding-valid-args-valid''[simp,code-unfold]:*

$v(X \rightarrow \text{including}_{Bag}(x)) = ((\delta X) \text{ and } (v x))$

$\langle \text{proof} \rangle$

etc. etc.

OclExcluding

lemma *OclExcluding-valid-args-valid:*

$(\tau \models v(X \rightarrow \text{excluding}_{Bag}(x))) = ((\tau \models (\delta X)) \wedge (\tau \models (v x)))$

$\langle \text{proof} \rangle$

lemma *OclExcluding-valid-args-valid''[simp,code-unfold]:*

$v(X \rightarrow \text{excluding}_{Bag}(x)) = ((\delta X) \text{ and } (v x))$

$\langle \text{proof} \rangle$

OclIncludes

lemma *OclIncludes-valid-args-valid:*

$(\tau \models v(X \rightarrow \text{includes}_{Bag}(x))) = ((\tau \models (\delta X)) \wedge (\tau \models (v x)))$

$\langle \text{proof} \rangle$

lemma *OclIncludes-valid-args-valid''[simp,code-unfold]:*

$v(X \rightarrow \text{includes}_{Bag}(x)) = ((\delta X) \text{ and } (v x))$

$\langle \text{proof} \rangle$

OclExcludes

lemma *OclExcludes-valid-args-valid:*

$(\tau \models v(X \rightarrow \text{excludes}_{Bag}(x))) = ((\tau \models (\delta X)) \wedge (\tau \models (v x)))$

$\langle \text{proof} \rangle$

lemma *OclExcludes-valid-args-valid''[simp,code-unfold]:*

$v(X \rightarrow \text{excludes}_{Bag}(x)) = ((\delta X) \text{ and } (v x))$

$\langle \text{proof} \rangle$

OclSize

lemma *OclSize-defined-args-valid:* $\tau \models \delta (X \rightarrow \text{size}_{Bag}()) \implies \tau \models \delta X$

$\langle \text{proof} \rangle$

lemma *OclSize-infinite:*

assumes *non-finite:* $\tau \models \text{not}(\delta(S \rightarrow \text{size}_{Bag}()))$

shows $(\tau \models \text{not}(\delta(S))) \vee \neg \text{finite } (\text{Rep-Bag-base } S \ \tau)$

$\langle \text{proof} \rangle$

lemma $\tau \models \delta X \implies \neg \text{finite } (\text{Rep-Bag-base } X \ \tau) \implies \neg \tau \models \delta (X \rightarrow \text{size}_{Bag}())$

$\langle \text{proof} \rangle$

lemma *size-defined:*

assumes *X-finite:* $\bigwedge \tau. \text{finite } (\text{Rep-Bag-base } X \ \tau)$

shows $\delta (X \rightarrow \text{size}_{Bag}()) = \delta X$

$\langle \text{proof} \rangle$

lemma *size-defined':*

assumes *X-finite:* $\text{finite } (\text{Rep-Bag-base } X \ \tau)$

shows $(\tau \models \delta (X \rightarrow \text{size}_{Bag}())) = (\tau \models \delta X)$

$\langle \text{proof} \rangle$

OclIsEmpty

lemma *OclIsEmpty-defined-args-valid*: $\tau \models \delta (X \rightarrow isEmpty_{Bag}()) \implies \tau \models v X$
 $\langle proof \rangle$

lemma $\tau \models \delta (null \rightarrow isEmpty_{Bag}())$
 $\langle proof \rangle$

lemma *OclIsEmpty-infinite*: $\tau \models \delta X \implies \neg finite (Rep-Bag-base X \tau) \implies \neg \tau \models \delta (X \rightarrow isEmpty_{Bag}())$
 $\langle proof \rangle$

OclNotEmpty

lemma *OclNotEmpty-defined-args-valid*: $\tau \models \delta (X \rightarrow notEmpty_{Bag}()) \implies \tau \models v X$
 $\langle proof \rangle$

lemma $\tau \models \delta (null \rightarrow notEmpty_{Bag}())$
 $\langle proof \rangle$

lemma *OclNotEmpty-infinite*: $\tau \models \delta X \implies \neg finite (Rep-Bag-base X \tau) \implies \neg \tau \models \delta (X \rightarrow notEmpty_{Bag}())$
 $\langle proof \rangle$

lemma *OclNotEmpty-has-elt* : $\tau \models \delta X \implies$
 $\tau \models X \rightarrow notEmpty_{Bag}() \implies$
 $\exists e. e \in (Rep-Bag-base X \tau)$
 $\langle proof \rangle$

lemma *OclNotEmpty-has-elt'* : $\tau \models \delta X \implies$
 $\tau \models X \rightarrow notEmpty_{Bag}() \implies$
 $\exists e. e \in (Rep-Set-base X \tau)$
 $\langle proof \rangle$

OclANY

lemma *OclANY-defined-args-valid*: $\tau \models \delta (X \rightarrow any_{Bag}()) \implies \tau \models \delta X$
 $\langle proof \rangle$

lemma $\tau \models \delta X \implies \tau \models X \rightarrow isEmpty_{Bag}() \implies \neg \tau \models \delta (X \rightarrow any_{Bag}())$
 $\langle proof \rangle$

lemma *OclANY-valid-args-valid*:
 $(\tau \models v(X \rightarrow any_{Bag}())) = (\tau \models v X)$
 $\langle proof \rangle$

lemma *OclANY-valid-args-valid''[simp,code-unfold]*:
 $v(X \rightarrow any_{Bag}()) = (v X)$
 $\langle proof \rangle$

2.8.25. Execution Laws with Invalid or Null or Infinite Set as Argument

OclIncluding

OclExcluding

OclIncludes

OclExcludes

OclSize

lemma *OclSize-invalid[simp,code-unfold]*: $(invalid \rightarrow size_{Bag}()) = invalid$
 $\langle proof \rangle$

lemma *OclSize-null*[simp,code-unfold]:($\text{null} \rightarrow \text{size}_{Bag}()$) = *invalid*
 ⟨proof⟩

OclIsEmpty

lemma *OclIsEmpty-invalid*[simp,code-unfold]:($\text{invalid} \rightarrow \text{isEmpty}_{Bag}()$) = *invalid*
 ⟨proof⟩

lemma *OclIsEmpty-null*[simp,code-unfold]:($\text{null} \rightarrow \text{isEmpty}_{Bag}()$) = *true*
 ⟨proof⟩

OclNotEmpty

lemma *OclNotEmpty-invalid*[simp,code-unfold]:($\text{invalid} \rightarrow \text{notEmpty}_{Bag}()$) = *invalid*
 ⟨proof⟩

lemma *OclNotEmpty-null*[simp,code-unfold]:($\text{null} \rightarrow \text{notEmpty}_{Bag}()$) = *false*
 ⟨proof⟩

OclANY

lemma *OclANY-invalid*[simp,code-unfold]:($\text{invalid} \rightarrow \text{any}_{Bag}()$) = *invalid*
 ⟨proof⟩

lemma *OclANY-null*[simp,code-unfold]:($\text{null} \rightarrow \text{any}_{Bag}()$) = *null*
 ⟨proof⟩

OclForall

lemma *OclForall-invalid*[simp,code-unfold]: $\text{invalid} \rightarrow \text{forAll}_{Bag}(a \mid P\ a) = \text{invalid}$
 ⟨proof⟩

lemma *OclForall-null*[simp,code-unfold]: $\text{null} \rightarrow \text{forAll}_{Bag}(a \mid P\ a) = \text{invalid}$
 ⟨proof⟩

OclExists

lemma *OclExists-invalid*[simp,code-unfold]: $\text{invalid} \rightarrow \text{exists}_{Bag}(a \mid P\ a) = \text{invalid}$
 ⟨proof⟩

lemma *OclExists-null*[simp,code-unfold]: $\text{null} \rightarrow \text{exists}_{Bag}(a \mid P\ a) = \text{invalid}$
 ⟨proof⟩

OclIterate

lemma *OclIterate-invalid*[simp,code-unfold]: $\text{invalid} \rightarrow \text{iterate}_{Bag}(a; x = A \mid P\ a\ x) = \text{invalid}$
 ⟨proof⟩

lemma *OclIterate-null*[simp,code-unfold]: $\text{null} \rightarrow \text{iterate}_{Bag}(a; x = A \mid P\ a\ x) = \text{invalid}$
 ⟨proof⟩

lemma *OclIterate-invalid-args*[simp,code-unfold]: $S \rightarrow \text{iterate}_{Bag}(a; x = \text{invalid} \mid P\ a\ x) = \text{invalid}$
 ⟨proof⟩

An open question is this ...

lemma $S \rightarrow \text{iterate}_{Bag}(a; x = \text{null} \mid P\ a\ x) = \text{invalid}$
 ⟨proof⟩

lemma *OclIterate-infinite*:

assumes *non-finite*: $\tau \models \text{not}(\delta(S \rightarrow \text{size}_{Bag}()))$

shows ($\text{OclIterate}\ S\ A\ F$) $\tau = \text{invalid}\ \tau$

$\langle proof \rangle$

OclSelect

lemma *OclSelect-invalid*[simp,code-unfold]: $invalid \rightarrow select_{Bag}(a \mid P a) = invalid$
 $\langle proof \rangle$

lemma *OclSelect-null*[simp,code-unfold]: $null \rightarrow select_{Bag}(a \mid P a) = invalid$
 $\langle proof \rangle$

OclReject

lemma *OclReject-invalid*[simp,code-unfold]: $invalid \rightarrow reject_{Bag}(a \mid P a) = invalid$
 $\langle proof \rangle$

lemma *OclReject-null*[simp,code-unfold]: $null \rightarrow reject_{Bag}(a \mid P a) = invalid$
 $\langle proof \rangle$

Context Passing

lemma *cp-OclIncludes1*:
 $(X \rightarrow includes_{Bag}(x)) \tau = (X \rightarrow includes_{Bag}(\lambda \cdot. x \tau)) \tau$
 $\langle proof \rangle$

lemma *cp-OclSize*: $X \rightarrow size_{Bag}() \tau = ((\lambda \cdot. X \tau) \rightarrow size_{Bag}()) \tau$
 $\langle proof \rangle$

lemma *cp-OclIsEmpty*: $X \rightarrow isEmpty_{Bag}() \tau = ((\lambda \cdot. X \tau) \rightarrow isEmpty_{Bag}()) \tau$
 $\langle proof \rangle$

lemma *cp-OclNotEmpty*: $X \rightarrow notEmpty_{Bag}() \tau = ((\lambda \cdot. X \tau) \rightarrow notEmpty_{Bag}()) \tau$
 $\langle proof \rangle$

lemma *cp-OclANY*: $X \rightarrow any_{Bag}() \tau = ((\lambda \cdot. X \tau) \rightarrow any_{Bag}()) \tau$
 $\langle proof \rangle$

lemma *cp-OclForall*:
 $(S \rightarrow forAll_{Bag}(x \mid P x)) \tau = ((\lambda \cdot. S \tau) \rightarrow forAll_{Bag}(x \mid P (\lambda \cdot. x \tau))) \tau$
 $\langle proof \rangle$

lemma *cp-OclForall1* [simp,intro]:
 $cp S \implies cp (\lambda X. ((S X) \rightarrow forAll_{Bag}(x \mid P x)))$
 $\langle proof \rangle$

lemma
 $cp (\lambda X St x. P (\lambda \tau. x) X St) \implies cp S \implies cp (\lambda X. (S X) \rightarrow forAll_{Bag}(x \mid P x X))$
 $\langle proof \rangle$

lemma
 $cp S \implies$
 $(\bigwedge x. cp(P x)) \implies$
 $cp(\lambda X. ((S X) \rightarrow forAll_{Bag}(x \mid P x X)))$
 $\langle proof \rangle$

lemma *cp-OclExists*:
 $(S \rightarrow exists_{Bag}(x \mid P x)) \tau = ((\lambda \cdot. S \tau) \rightarrow exists_{Bag}(x \mid P (\lambda \cdot. x \tau))) \tau$
 $\langle proof \rangle$

lemma *cp-OclExists1* [simp,intro]:
cp S \implies *cp* ($\lambda X. ((S\ X) \rightarrow \text{exists}_{Bag}(x \mid P\ x))$)
 <proof>

lemma *cp-OclIterate*:
 $(X \rightarrow \text{iterate}_{Bag}(a; x = A \mid P\ a\ x))\ \tau =$
 $((\lambda \cdot. X\ \tau) \rightarrow \text{iterate}_{Bag}(a; x = A \mid P\ a\ x))\ \tau$
 <proof>

lemma *cp-OclSelect*: $(X \rightarrow \text{select}_{Bag}(a \mid P\ a))\ \tau =$
 $((\lambda \cdot. X\ \tau) \rightarrow \text{select}_{Bag}(a \mid P\ a))\ \tau$
 <proof>

lemma *cp-OclReject*: $(X \rightarrow \text{reject}_{Bag}(a \mid P\ a))\ \tau = ((\lambda \cdot. X\ \tau) \rightarrow \text{reject}_{Bag}(a \mid P\ a))\ \tau$
 <proof>

lemmas *cp-intro''*_{Bag}[intro!,simp,code-unfold] =
cp-OclSize [THEN allI[THEN allI[THEN cpI1], of OclSize]]
cp-OclIsEmpty [THEN allI[THEN allI[THEN cpI1], of OclIsEmpty]]
cp-OclNotEmpty [THEN allI[THEN allI[THEN cpI1], of OclNotEmpty]]
cp-OclANY [THEN allI[THEN allI[THEN cpI1], of OclANY]]

Const

lemma *const-OclIncluding*[simp,code-unfold] :
assumes *const-x* : *const x*
and *const-S* : *const S*
shows *const* (*S* \rightarrow *including*_{Bag}(*x*))
 <proof>

2.8.26. Test Statements

instantiation *Bag_{base}* :: (*equal*)*equal*
begin
definition *HOL.equal* *k l* \longleftrightarrow (*k*::('a::*equal*)*Bag_{base}*) = *l*
instance <proof>
end

lemma *equal-Bag_{base}-code* [code]:
 $\text{HOL.equal}\ k\ (l::('a::\{\text{equal,null}\})\text{Bag}_{base}) \longleftrightarrow \text{Rep-Bag}_{base}\ k = \text{Rep-Bag}_{base}\ l$
 <proof>

Assert $\tau \models (\text{Bag}\{\} \doteq \text{Bag}\{\})$

end

theory *UML-Set*
imports ../basic-types/UML-Void
 ../basic-types/UML-Boolean
 ../basic-types/UML-Integer
 ../basic-types/UML-String
 ../basic-types/UML-Real

begin

no-notation $None (\perp)$

2.9. Collection Type Set: Operations

2.9.1. As a Motivation for the (infinite) Type Construction: Type-Extensions as Sets

Our notion of typed set goes beyond the usual notion of a finite executable set and is powerful enough to capture *the extension of a type* in UML and OCL. This means we can have in Featherweight OCL Sets containing all possible elements of a type, not only those (finite) ones representable in a state. This holds for base types as well as class types, although the notion for class-types — involving object id's not occurring in a state — requires some care.

In a world with *invalid* and *null*, there are two notions extensions possible:

1. the set of all *defined* values of a type T (for which we will introduce the constant T)
2. the set of all *valid* values of a type T , so including *null* (for which we will introduce the constant T_{null}).

We define the set extensions for the base type *Integer* as follows:

definition $Integer :: (\mathfrak{A}, Integer_{base}) Set$

where $Integer \equiv (\lambda \tau. (Abs-Set_{base} \circ Some \circ Some) ((Some \circ Some) ' (UNIV::int set)))$

definition $Integer_{null} :: (\mathfrak{A}, Integer_{base}) Set$

where $Integer_{null} \equiv (\lambda \tau. (Abs-Set_{base} \circ Some \circ Some) (Some ' (UNIV::int option set)))$

lemma $Integer\text{-}defined : \delta Integer = true$

$\langle proof \rangle$

lemma $Integer_{null}\text{-}defined : \delta Integer_{null} = true$

$\langle proof \rangle$

This allows the theorems:

$\tau \models \delta x \implies \tau \models (Integer \text{--} > includes_{Set}(x)) \quad \tau \models \delta x \implies \tau \models Integer \triangleq (Integer \text{--} > including_{Set}(x))$
and
 $\tau \models v \ x \implies \tau \models (Integer_{null} \text{--} > includes_{Set}(x)) \quad \tau \models v \ x \implies \tau \models Integer_{null} \triangleq (Integer_{null} \text{--} > including_{Set}(x))$

which characterize the infiniteness of these sets by a recursive property on these sets.

In the same spirit, we proceed similarly for the remaining base types:

definition $Void_{null} :: (\mathfrak{A}, Void_{base}) Set$

where $Void_{null} \equiv (\lambda \tau. (Abs-Set_{base} \circ Some \circ Some) \{Abs-Void_{base} (Some None)\})$

definition $Void_{empty} :: (\mathfrak{A}, Void_{base}) Set$

where $Void_{empty} \equiv (\lambda \tau. (Abs-Set_{base} \circ Some \circ Some) \{\})$

lemma $Void_{null}\text{-}defined : \delta Void_{null} = true$

$\langle proof \rangle$

lemma $Void_{empty}\text{-}defined : \delta Void_{empty} = true$

$\langle proof \rangle$

lemma assumes $\tau \models \delta (V :: (\mathfrak{A}, Void_{base}) Set)$

shows $\tau \models V \triangleq \text{Void}_{null} \vee \tau \models V \triangleq \text{Void}_{empty}$
 $\langle \text{proof} \rangle$

definition $\text{Boolean} :: ('A, \text{Boolean}_{base}) \text{Set}$
where $\text{Boolean} \equiv (\lambda \tau. (\text{Abs-Set}_{base} \circ \text{Some} \circ \text{Some}) ((\text{Some} \circ \text{Some}) ' (\text{UNIV}::\text{bool set})))$

definition $\text{Boolean}_{null} :: ('A, \text{Boolean}_{base}) \text{Set}$
where $\text{Boolean}_{null} \equiv (\lambda \tau. (\text{Abs-Set}_{base} \circ \text{Some} \circ \text{Some}) (\text{Some} ' (\text{UNIV}::\text{bool option set})))$

lemma $\text{Boolean-defined} : \delta \text{ Boolean} = \text{true}$
 $\langle \text{proof} \rangle$

lemma $\text{Boolean}_{null}\text{-defined} : \delta \text{ Boolean}_{null} = \text{true}$
 $\langle \text{proof} \rangle$

definition $\text{String} :: ('A, \text{String}_{base}) \text{Set}$
where $\text{String} \equiv (\lambda \tau. (\text{Abs-Set}_{base} \circ \text{Some} \circ \text{Some}) ((\text{Some} \circ \text{Some}) ' (\text{UNIV}::\text{string set})))$

definition $\text{String}_{null} :: ('A, \text{String}_{base}) \text{Set}$
where $\text{String}_{null} \equiv (\lambda \tau. (\text{Abs-Set}_{base} \circ \text{Some} \circ \text{Some}) (\text{Some} ' (\text{UNIV}::\text{string option set})))$

lemma $\text{String-defined} : \delta \text{ String} = \text{true}$
 $\langle \text{proof} \rangle$

lemma $\text{String}_{null}\text{-defined} : \delta \text{ String}_{null} = \text{true}$
 $\langle \text{proof} \rangle$

definition $\text{Real} :: ('A, \text{Real}_{base}) \text{Set}$
where $\text{Real} \equiv (\lambda \tau. (\text{Abs-Set}_{base} \circ \text{Some} \circ \text{Some}) ((\text{Some} \circ \text{Some}) ' (\text{UNIV}::\text{real set})))$

definition $\text{Real}_{null} :: ('A, \text{Real}_{base}) \text{Set}$
where $\text{Real}_{null} \equiv (\lambda \tau. (\text{Abs-Set}_{base} \circ \text{Some} \circ \text{Some}) (\text{Some} ' (\text{UNIV}::\text{real option set})))$

lemma $\text{Real-defined} : \delta \text{ Real} = \text{true}$
 $\langle \text{proof} \rangle$

lemma $\text{Real}_{null}\text{-defined} : \delta \text{ Real}_{null} = \text{true}$
 $\langle \text{proof} \rangle$

2.9.2. Basic Properties of the Set Type

Every element in a defined set is valid.

lemma $\text{Set-inv-lemma} : \tau \models (\delta X) \implies \forall x \in {}^\top \text{Rep-Set}_{base} (X \tau)^\top. x \neq \text{bot}$
 $\langle \text{proof} \rangle$

lemma $\text{Set-inv-lemma}' :$
assumes $x\text{-def} : \tau \models \delta X$
and $e\text{-mem} : e \in {}^\top \text{Rep-Set}_{base} (X \tau)^\top$
shows $\tau \models v (\lambda \cdot. e)$
 $\langle \text{proof} \rangle$

lemma $\text{abs-rep-simp}' :$
assumes $S\text{-all-def} : \tau \models \delta S$
shows $\text{Abs-Set}_{base} \sqsubseteq {}^\top \text{Rep-Set}_{base} (S \tau)^\top \sqsubseteq S \tau$
 $\langle \text{proof} \rangle$

lemma $S\text{-lift}' :$

assumes $S\text{-all-def} : (\tau :: 'A \text{ st}) \models \delta S$
shows $\exists S'. (\lambda a. (-::'A \text{ st}). a) \text{ ' } \ulcorner \text{Rep-Set}_{base} (S \tau) \urcorner = (\lambda a. (-::'A \text{ st}). \lfloor a \rfloor) \text{ ' } S'$
 $\langle \text{proof} \rangle$

lemma $\text{invalid-set-OclNot-defined} [\text{simp}, \text{code-unfold}]: \delta(\text{invalid}::('A, 'A::\text{null}) \text{ Set}) = \text{false} \langle \text{proof} \rangle$

lemma $\text{null-set-OclNot-defined} [\text{simp}, \text{code-unfold}]: \delta(\text{null}::('A, 'A::\text{null}) \text{ Set}) = \text{false}$
 $\langle \text{proof} \rangle$

lemma $\text{invalid-set-valid} [\text{simp}, \text{code-unfold}]: v(\text{invalid}::('A, 'A::\text{null}) \text{ Set}) = \text{false}$
 $\langle \text{proof} \rangle$

lemma $\text{null-set-valid} [\text{simp}, \text{code-unfold}]: v(\text{null}::('A, 'A::\text{null}) \text{ Set}) = \text{true}$
 $\langle \text{proof} \rangle$

... which means that we can have a type $(\text{'A}, (\text{'A}, (\text{'A}) \text{ Integer}) \text{ Set}) \text{ Set}$ corresponding exactly to $\text{Set}(\text{Set}(\text{Integer}))$ in OCL notation. Note that the parameter $'A$ still refers to the object universe; making the OCL semantics entirely parametric in the object universe makes it possible to study (and prove) its properties independently from a concrete class diagram.

2.9.3. Definition: Strict Equality

After the part of foundational operations on sets, we detail here equality on sets. Strong equality is inherited from the OCL core, but we have to consider the case of the strict equality. We decide to overload strict equality in the same way we do for other value's in OCL:

overloading

$\text{StrictRefEq} \equiv \text{StrictRefEq} :: [(\text{'A}, 'A::\text{null}) \text{ Set}, (\text{'A}, 'A::\text{null}) \text{ Set}] \Rightarrow (\text{'A}) \text{ Boolean}$

begin

definition $\text{StrictRefEq}_{Set} :$

$(x::(\text{'A}, 'A::\text{null}) \text{ Set}) \doteq y \equiv \lambda \tau. \text{ if } (v \ x) \ \tau = \text{true} \ \tau \wedge (v \ y) \ \tau = \text{true} \ \tau$
 $\text{ then } (x \triangleq y) \tau$
 $\text{ else invalid } \tau$

end

One might object here that for the case of objects, this is an empty definition. The answer is no, we will restrain later on states and objects such that any object has its oid stored inside the object (so the ref, under which an object can be referenced in the store will be represented in the object itself). For such well-formed stores that satisfy this invariant (the WFF-invariant), the referential equality and the strong equality—and therefore the strict equality on sets in the sense above—coincides.

Property proof in terms of $\text{profile-bin}_{\text{StrongEq}^{-v-v}}$

interpretation $\text{StrictRefEq}_{Set} : \text{profile-bin}_{\text{StrongEq}^{-v-v}} \lambda x y. (x::(\text{'A}, 'A::\text{null}) \text{ Set}) \doteq y$
 $\langle \text{proof} \rangle$

2.9.4. Constants: mtSet

definition $\text{mtSet}::(\text{'A}, 'A::\text{null}) \text{ Set} \ (\text{Set}\{\})$

where $\text{Set}\{\} \equiv (\lambda \tau. \text{ Abs-Set}_{base} \lfloor \{\}::'A \text{ set}_{\lfloor} \rfloor)$

lemma $\text{mtSet-defined} [\text{simp}, \text{code-unfold}]: \delta(\text{Set}\{\}) = \text{true}$
 $\langle \text{proof} \rangle$

lemma $\text{mtSet-valid} [\text{simp}, \text{code-unfold}]: v(\text{Set}\{\}) = \text{true}$
 $\langle \text{proof} \rangle$

lemma $\text{mtSet-rep-set}: \ulcorner \text{Rep-Set}_{base} (\text{Set}\{\}) \urcorner = \{\}$
 $\langle \text{proof} \rangle$

lemma *[simp,code-unfold]: const Set{}*
<proof>

Note that the collection types in OCL allow for null to be included; however, there is the null-collection into which inclusion yields invalid.

2.9.5. Definition: Including

definition *OclIncluding* :: $[(\mathcal{A}, \alpha :: \text{null}) \text{ Set}, (\mathcal{A}, \alpha) \text{ val}] \Rightarrow (\mathcal{A}, \alpha) \text{ Set}$
where $\text{OclIncluding } x \ y = (\lambda \tau. \text{ if } (\delta \ x) \ \tau = \text{true } \tau \wedge (v \ y) \ \tau = \text{true } \tau$
 $\text{ then } \text{Abs-Set}_{\text{base}} \sqcup^{\top} \text{Rep-Set}_{\text{base}} (x \ \tau)^{\top} \cup \{y \ \tau\} \sqcup$
 $\text{ else } \text{invalid } \tau)$

notation *OclIncluding* $(-->\text{including}_{\text{Set}} '(-'))$

interpretation *OclIncluding* : *profile-bin_{d-v}* *OclIncluding* $\lambda x \ y. \text{Abs-Set}_{\text{base}} \sqcup^{\top} \text{Rep-Set}_{\text{base}} x^{\top} \cup \{y\} \sqcup$
<proof>

syntax

-OclFinset :: *args* => $(\mathcal{A}, \alpha :: \text{null}) \text{ Set} \quad (\text{Set}\{-\})$

translations

$\text{Set}\{x, xs\} == \text{CONST } \text{OclIncluding } (\text{Set}\{xs\}) \ x$

$\text{Set}\{x\} == \text{CONST } \text{OclIncluding } (\text{Set}\{\}) \ x$

2.9.6. Definition: Excluding

definition *OclExcluding* :: $[(\mathcal{A}, \alpha :: \text{null}) \text{ Set}, (\mathcal{A}, \alpha) \text{ val}] \Rightarrow (\mathcal{A}, \alpha) \text{ Set}$
where $\text{OclExcluding } x \ y = (\lambda \tau. \text{ if } (\delta \ x) \ \tau = \text{true } \tau \wedge (v \ y) \ \tau = \text{true } \tau$
 $\text{ then } \text{Abs-Set}_{\text{base}} \sqcup^{\top} \text{Rep-Set}_{\text{base}} (x \ \tau)^{\top} - \{y \ \tau\} \sqcup$
 $\text{ else } \perp)$

notation *OclExcluding* $(-->\text{excluding}_{\text{Set}} '(-'))$

lemma *OclExcluding-inv*: $(x :: \text{Set}(\text{'b::}\{\text{null}\})) \neq \perp \implies x \neq \text{null} \implies y \neq \perp \implies$
 $\sqcup^{\top} \text{Rep-Set}_{\text{base}} x^{\top} - \{y\} \sqcup \in \{X. X = \text{bot} \vee X = \text{null} \vee (\forall x \in^{\top} X^{\top}. x \neq \text{bot})\}$
<proof>

interpretation *OclExcluding* : *profile-bin_{d-v}* *OclExcluding* $\lambda x \ y. \text{Abs-Set}_{\text{base}} \sqcup^{\top} \text{Rep-Set}_{\text{base}} x^{\top} - \{y\} \sqcup$
<proof>

2.9.7. Definition: Includes

definition *OclIncludes* :: $[(\mathcal{A}, \alpha :: \text{null}) \text{ Set}, (\mathcal{A}, \alpha) \text{ val}] \Rightarrow \mathcal{A} \text{ Boolean}$
where $\text{OclIncludes } x \ y = (\lambda \tau. \text{ if } (\delta \ x) \ \tau = \text{true } \tau \wedge (v \ y) \ \tau = \text{true } \tau$
 $\text{ then } \sqcup(y \ \tau) \in^{\top} \text{Rep-Set}_{\text{base}} (x \ \tau)^{\top} \sqcup$
 $\text{ else } \perp)$

notation *OclIncludes* $(-->\text{includes}_{\text{Set}} '(-'))$

interpretation *OclIncludes* : *profile-bin_{d-v}* *OclIncludes* $\lambda x \ y. \sqcup y \in^{\top} \text{Rep-Set}_{\text{base}} x^{\top} \sqcup$
<proof>

2.9.8. Definition: Excludes

definition *OclExcludes* :: $[(\mathcal{A}, \alpha :: \text{null}) \text{ Set}, (\mathcal{A}, \alpha) \text{ val}] \Rightarrow \mathcal{A} \text{ Boolean}$
where $\text{OclExcludes } x \ y = (\text{not}(\text{OclIncludes } x \ y))$
notation *OclExcludes* $(-->\text{excludes}_{\text{Set}} '(-'))$

The case of the size definition is somewhat special, we admit explicitly in Featherweight OCL the possibility of infinite sets. For the size definition, this requires an extra condition that assures that the

cardinality of the set is actually a defined integer.

interpretation $OclExcludes : profile-bin_d-v \ OclExcludes \ \lambda x \ y. \ \perp y \notin \ulcorner Rep-Set_{base} \ x \urcorner \perp$
 $\langle proof \rangle$

2.9.9. Definition: Size

definition $OclSize :: ('A, 'a::null) Set \Rightarrow 'A \ Integer$
where $OclSize \ x = (\lambda \tau. \text{if } (\delta \ x) \ \tau = \text{true} \ \tau \wedge \text{finite}(\ulcorner Rep-Set_{base} \ (x \ \tau) \urcorner) \\ \text{then } \perp \text{int}(\text{card } \ulcorner Rep-Set_{base} \ (x \ \tau) \urcorner) \perp \\ \text{else } \perp)$
notation $OclSize \quad (\rightarrow size_{Set} '())$

The following definition follows the requirement of the standard to treat null as neutral element of sets. It is a well-documented exception from the general strictness rule and the rule that the distinguished argument self should be non-null.

2.9.10. Definition: IsEmpty

definition $OclIsEmpty :: ('A, 'a::null) Set \Rightarrow 'A \ Boolean$
where $OclIsEmpty \ x = ((v \ x \text{ and not } (\delta \ x)) \text{ or } ((OclSize \ x) \doteq 0))$
notation $OclIsEmpty \quad (\rightarrow isEmpty_{Set} '())$

2.9.11. Definition: NotEmpty

definition $OclNotEmpty :: ('A, 'a::null) Set \Rightarrow 'A \ Boolean$
where $OclNotEmpty \ x = \text{not}(OclIsEmpty \ x)$
notation $OclNotEmpty \quad (\rightarrow notEmpty_{Set} '())$

2.9.12. Definition: Any

definition $OclANY :: [('A, 'a::null) Set] \Rightarrow ('A, 'a) \ val$
where $OclANY \ x = (\lambda \tau. \text{if } (v \ x) \ \tau = \text{true} \ \tau \\ \text{then if } (\delta \ x \text{ and } OclNotEmpty \ x) \ \tau = \text{true} \ \tau \\ \text{then } SOME \ y. \ y \in \ulcorner Rep-Set_{base} \ (x \ \tau) \urcorner \\ \text{else null } \tau \\ \text{else } \perp)$
notation $OclANY \quad (\rightarrow any_{Set} '())$

2.9.13. Definition: Forall

The definition of $OclForall$ mimics the one of (and) : $OclForall$ is not a strict operation.

definition $OclForall :: [('A, 'a::null) Set, ('A, 'a) val \Rightarrow ('A) Boolean] \Rightarrow 'A \ Boolean$
where $OclForall \ S \ P = (\lambda \tau. \text{if } (\delta \ S) \ \tau = \text{true} \ \tau \\ \text{then if } (\exists x \in \ulcorner Rep-Set_{base} \ (S \ \tau) \urcorner. \ P(\lambda -. \ x) \ \tau = \text{false} \ \tau) \\ \text{then false } \tau \\ \text{else if } (\exists x \in \ulcorner Rep-Set_{base} \ (S \ \tau) \urcorner. \ P(\lambda -. \ x) \ \tau = \text{invalid } \tau) \\ \text{then invalid } \tau \\ \text{else if } (\exists x \in \ulcorner Rep-Set_{base} \ (S \ \tau) \urcorner. \ P(\lambda -. \ x) \ \tau = \text{null } \tau) \\ \text{then null } \tau \\ \text{else true } \tau \\ \text{else } \perp)$

syntax

$-OclForallSet :: [('A, 'a::null) Set, id, ('A) Boolean] \Rightarrow 'A \ Boolean \quad ((-) \rightarrow forAll_{Set} '(-)')$

translations

$X \rightarrow forAll_{Set} (x \mid P) == CONST \ UML-Set. OclForall \ X \ (\%x. \ P)$

2.9.14. Definition: Exists

Like OclForall, OclExists is also not strict.

definition $OclExists :: [(\mathfrak{A}, \alpha :: null) Set, (\mathfrak{A}, \alpha) val \Rightarrow (\mathfrak{A}) Boolean] \Rightarrow \mathfrak{A} Boolean$
where $OclExists S P = not(UML-Set.OclForall S (\lambda X. not (P X)))$

syntax

$-OclExistsSet :: [(\mathfrak{A}, \alpha :: null) Set, id, (\mathfrak{A}) Boolean] \Rightarrow \mathfrak{A} Boolean \quad ((-) \rightarrow exists_{Set} '(-)')$

translations

$X \rightarrow exists_{Set}(x \mid P) == CONST UML-Set.OclExists X (\%x. P)$

2.9.15. Definition: Iterate

definition $OclIterate :: [(\mathfrak{A}, \alpha :: null) Set, (\mathfrak{A}, \beta :: null) val, (\mathfrak{A}, \alpha) val \Rightarrow (\mathfrak{A}, \beta) val \Rightarrow (\mathfrak{A}, \beta) val] \Rightarrow (\mathfrak{A}, \beta) val$
where $OclIterate S A F = (\lambda \tau. \text{if } (\delta S) \tau = true \tau \wedge (v A) \tau = true \tau \wedge finite^{\top} Rep-Set_{base} (S \tau)^{\top} \text{ then } (Finite-Set.fold (F) (A) ((\lambda a \tau. a) ' ^{\top} Rep-Set_{base} (S \tau)^{\top})) \tau \text{ else } \perp)$

syntax

$-OclIterateSet :: [(\mathfrak{A}, \alpha :: null) Set, idt, idt, \alpha, \beta] \Rightarrow (\mathfrak{A}, \gamma) val$
 $(- \rightarrow iterate_{Set} '(-; := - \mid -)')$

translations

$X \rightarrow iterate_{Set}(a; x = A \mid P) == CONST OclIterate X A (\%a. (\% x. P))$

2.9.16. Definition: Select

definition $OclSelect :: [(\mathfrak{A}, \alpha :: null) Set, (\mathfrak{A}, \alpha) val \Rightarrow (\mathfrak{A}) Boolean] \Rightarrow (\mathfrak{A}, \alpha) Set$
where $OclSelect S P = (\lambda \tau. \text{if } (\delta S) \tau = true \tau \text{ then if } (\exists x \in ^{\top} Rep-Set_{base} (S \tau)^{\top}. P(\lambda -. x) \tau = invalid \tau \text{ then invalid } \tau \text{ else } Abs-Set_{base} \sqcup \{x \in ^{\top} Rep-Set_{base} (S \tau)^{\top}. P(\lambda -. x) \tau \neq false \tau\} \sqcup \text{ else invalid } \tau)$

syntax

$-OclSelectSet :: [(\mathfrak{A}, \alpha :: null) Set, id, (\mathfrak{A}) Boolean] \Rightarrow \mathfrak{A} Boolean \quad ((-) \rightarrow select_{Set} '(-)')$

translations

$X \rightarrow select_{Set}(x \mid P) == CONST OclSelect X (\% x. P)$

2.9.17. Definition: Reject

definition $OclReject :: [(\mathfrak{A}, \alpha :: null) Set, (\mathfrak{A}, \alpha) val \Rightarrow (\mathfrak{A}) Boolean] \Rightarrow (\mathfrak{A}, \alpha :: null) Set$
where $OclReject S P = OclSelect S (not o P)$

syntax

$-OclRejectSet :: [(\mathfrak{A}, \alpha :: null) Set, id, (\mathfrak{A}) Boolean] \Rightarrow \mathfrak{A} Boolean \quad ((-) \rightarrow reject_{Set} '(-)')$

translations

$X \rightarrow reject_{Set}(x \mid P) == CONST OclReject X (\% x. P)$

2.9.18. Definition: IncludesAll

definition $OclIncludesAll :: [(\mathfrak{A}, \alpha :: null) Set, (\mathfrak{A}, \alpha) Set] \Rightarrow \mathfrak{A} Boolean$
where $OclIncludesAll x y = (\lambda \tau. \text{if } (\delta x) \tau = true \tau \wedge (\delta y) \tau = true \tau \text{ then } \sqcup^{\top} Rep-Set_{base} (y \tau)^{\top} \subseteq ^{\top} Rep-Set_{base} (x \tau)^{\top} \sqcup \text{ else } \perp)$

notation $OclIncludesAll (- \rightarrow includesAll_{Set} '(-)')$

interpretation $OclIncludesAll : profile-bin_d-d \ OclIncludesAll \lambda x y. \sqcup^{\top} Rep-Set_{base} y^{\top} \subseteq ^{\top} Rep-Set_{base} x^{\top} \sqcup \langle proof \rangle$

2.9.19. Definition: ExcludesAll

definition $OclExcludesAll$:: $[(\mathcal{A}, \alpha :: null) Set, (\mathcal{A}, \alpha) Set] \Rightarrow \mathcal{A} Boolean$
where $OclExcludesAll\ x\ y = (\lambda\ \tau. \text{ if } (\delta\ x)\ \tau = true\ \tau \wedge (\delta\ y)\ \tau = true\ \tau$
 $\text{ then } \perp \sqcup Rep-Set_{base}\ (y\ \tau)^\top \cap \top Rep-Set_{base}\ (x\ \tau)^\top = \{\} \sqcup$
 $\text{ else } \perp)$
notation $OclExcludesAll\ (->excludesAll_{Set}'(-))$

interpretation $OclExcludesAll$: $profile-bin_{d-d}\ OclExcludesAll\ \lambda x\ y. \perp \sqcup Rep-Set_{base}\ y^\top \cap \top Rep-Set_{base}\ x^\top =$
 $\{\} \sqcup$
 $\langle proof \rangle$

2.9.20. Definition: Union

definition $OclUnion$:: $[(\mathcal{A}, \alpha :: null) Set, (\mathcal{A}, \alpha) Set] \Rightarrow (\mathcal{A}, \alpha) Set$
where $OclUnion\ x\ y = (\lambda\ \tau. \text{ if } (\delta\ x)\ \tau = true\ \tau \wedge (\delta\ y)\ \tau = true\ \tau$
 $\text{ then } Abs-Set_{base\ \perp} \top Rep-Set_{base}\ (y\ \tau)^\top \cup \top Rep-Set_{base}\ (x\ \tau)^\top \sqcup$
 $\text{ else } \perp)$
notation $OclUnion\ (->union_{Set}'(-))$

lemma $OclUnion-inv$: $(x :: Set('b :: \{null\})) \neq \perp \implies x \neq null \implies y \neq \perp \implies y \neq null \implies$
 $\perp \sqcup \top Rep-Set_{base}\ y^\top \cup \top Rep-Set_{base}\ x^\top \sqcup \in \{X. X = bot \vee X = null \vee (\forall x \in \top X^\top. x \neq bot)\}$
 $\langle proof \rangle$

interpretation $OclUnion$: $profile-bin_{d-d}\ OclUnion\ \lambda x\ y. Abs-Set_{base\ \perp} \top Rep-Set_{base}\ y^\top \cup \top Rep-Set_{base}\ x^\top \sqcup$
 $\langle proof \rangle$

2.9.21. Definition: Intersection

definition $OclIntersection$:: $[(\mathcal{A}, \alpha :: null) Set, (\mathcal{A}, \alpha) Set] \Rightarrow (\mathcal{A}, \alpha) Set$
where $OclIntersection\ x\ y = (\lambda\ \tau. \text{ if } (\delta\ x)\ \tau = true\ \tau \wedge (\delta\ y)\ \tau = true\ \tau$
 $\text{ then } Abs-Set_{base\ \perp} \top Rep-Set_{base}\ (y\ \tau)^\top$
 $\cap \top Rep-Set_{base}\ (x\ \tau)^\top \sqcup$
 $\text{ else } \perp)$
notation $OclIntersection(->intersection_{Set}'(-))$

lemma $OclIntersection-inv$: $(x :: Set('b :: \{null\})) \neq \perp \implies x \neq null \implies y \neq \perp \implies y \neq null \implies$
 $\perp \sqcup \top Rep-Set_{base}\ y^\top \cap \top Rep-Set_{base}\ x^\top \sqcup \in \{X. X = bot \vee X = null \vee (\forall x \in \top X^\top. x \neq bot)\}$
 $\langle proof \rangle$

interpretation $OclIntersection$: $profile-bin_{d-d}\ OclIntersection\ \lambda x\ y. Abs-Set_{base\ \perp} \top Rep-Set_{base}\ y^\top \cap$
 $\top Rep-Set_{base}\ x^\top \sqcup$
 $\langle proof \rangle$

2.9.22. Definition (future operators)

consts
 $OclCount$:: $[(\mathcal{A}, \alpha :: null) Set, (\mathcal{A}, \alpha) Set] \Rightarrow \mathcal{A} Integer$
 $OclSum$:: $(\mathcal{A}, \alpha :: null) Set \Rightarrow \mathcal{A} Integer$

notation $OclCount\ (->count_{Set}'(-))$
notation $OclSum\ (->sum_{Set}'(-))$

2.9.23. Logical Properties

$OclIncluding$

lemma $OclIncluding-valid-args-valid$:

$(\tau \models v(X \rightarrow including_{Set}(x))) = ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$
 $\langle proof \rangle$

lemma *OclIncluding-valid-args-valid''[simp,code-unfold]:*

$v(X \rightarrow including_{Set}(x)) = ((\delta \ X) \text{ and } (v \ x))$
 $\langle proof \rangle$

etc. etc.

OclExcluding

lemma *OclExcluding-valid-args-valid:*

$(\tau \models v(X \rightarrow excluding_{Set}(x))) = ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$
 $\langle proof \rangle$

lemma *OclExcluding-valid-args-valid''[simp,code-unfold]:*

$v(X \rightarrow excluding_{Set}(x)) = ((\delta \ X) \text{ and } (v \ x))$
 $\langle proof \rangle$

OclIncludes

lemma *OclIncludes-valid-args-valid:*

$(\tau \models v(X \rightarrow includes_{Set}(x))) = ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$
 $\langle proof \rangle$

lemma *OclIncludes-valid-args-valid''[simp,code-unfold]:*

$v(X \rightarrow includes_{Set}(x)) = ((\delta \ X) \text{ and } (v \ x))$
 $\langle proof \rangle$

OclExcludes

lemma *OclExcludes-valid-args-valid:*

$(\tau \models v(X \rightarrow excludes_{Set}(x))) = ((\tau \models (\delta \ X)) \wedge (\tau \models (v \ x)))$
 $\langle proof \rangle$

lemma *OclExcludes-valid-args-valid''[simp,code-unfold]:*

$v(X \rightarrow excludes_{Set}(x)) = ((\delta \ X) \text{ and } (v \ x))$
 $\langle proof \rangle$

OclSize

lemma *OclSize-defined-args-valid:* $\tau \models \delta \ (X \rightarrow size_{Set}()) \implies \tau \models \delta \ X$

$\langle proof \rangle$

lemma *OclSize-infinite:*

assumes *non-finite:* $\tau \models not(\delta(S \rightarrow size_{Set}()))$

shows $(\tau \models not(\delta(S))) \vee \neg finite \ \lceil Rep-Set_{base} \ (S \ \tau) \rceil$

$\langle proof \rangle$

lemma $\tau \models \delta \ X \implies \neg finite \ \lceil Rep-Set_{base} \ (X \ \tau) \rceil \implies \neg \tau \models \delta \ (X \rightarrow size_{Set}())$

$\langle proof \rangle$

lemma *size-defined:*

assumes *X-finite:* $\bigwedge \tau. finite \ \lceil Rep-Set_{base} \ (X \ \tau) \rceil$

shows $\delta \ (X \rightarrow size_{Set}()) = \delta \ X$

$\langle proof \rangle$

lemma *size-defined':*

assumes *X-finite:* $finite \ \lceil Rep-Set_{base} \ (X \ \tau) \rceil$

shows $(\tau \models \delta \ (X \rightarrow size_{Set}())) = (\tau \models \delta \ X)$

$\langle proof \rangle$

OclIsEmpty

lemma *OclIsEmpty-defined-args-valid*: $\tau \models \delta (X \rightarrow isEmpty_{Set}()) \implies \tau \models v X$
 $\langle proof \rangle$

lemma $\tau \models \delta (null \rightarrow isEmpty_{Set}())$
 $\langle proof \rangle$

lemma *OclIsEmpty-infinite*: $\tau \models \delta X \implies \neg finite \text{ } ^{\top}Rep-Set_{base} (X \tau)^{\top} \implies \neg \tau \models \delta (X \rightarrow isEmpty_{Set}())$
 $\langle proof \rangle$

OclNotEmpty

lemma *OclNotEmpty-defined-args-valid*: $\tau \models \delta (X \rightarrow notEmpty_{Set}()) \implies \tau \models v X$
 $\langle proof \rangle$

lemma $\tau \models \delta (null \rightarrow notEmpty_{Set}())$
 $\langle proof \rangle$

lemma *OclNotEmpty-infinite*: $\tau \models \delta X \implies \neg finite \text{ } ^{\top}Rep-Set_{base} (X \tau)^{\top} \implies \neg \tau \models \delta (X \rightarrow notEmpty_{Set}())$
 $\langle proof \rangle$

lemma *OclNotEmpty-has-elt* : $\tau \models \delta X \implies$
 $\tau \models X \rightarrow notEmpty_{Set}() \implies$
 $\exists e. e \in \text{ } ^{\top}Rep-Set_{base} (X \tau)^{\top}$
 $\langle proof \rangle$

OclANY

lemma *OclANY-defined-args-valid*: $\tau \models \delta (X \rightarrow any_{Set}()) \implies \tau \models \delta X$
 $\langle proof \rangle$

lemma $\tau \models \delta X \implies \tau \models X \rightarrow isEmpty_{Set}() \implies \neg \tau \models \delta (X \rightarrow any_{Set}())$
 $\langle proof \rangle$

lemma *OclANY-valid-args-valid*:
 $(\tau \models v(X \rightarrow any_{Set}())) = (\tau \models v X)$
 $\langle proof \rangle$

lemma *OclANY-valid-args-valid''[simp,code-unfold]*:
 $v(X \rightarrow any_{Set}()) = (v X)$
 $\langle proof \rangle$

2.9.24. Execution Laws with Invalid or Null or Infinite Set as Argument

OclIncluding

OclExcluding

OclIncludes

OclExcludes

OclSize

lemma *OclSize-invalid[simp,code-unfold]*: $(invalid \rightarrow size_{Set}()) = invalid$
 $\langle proof \rangle$

lemma *OclSize-null[simp,code-unfold]*: $(null \rightarrow size_{Set}()) = invalid$
 $\langle proof \rangle$

OclIsEmpty

lemma *OclIsEmpty-invalid[simp,code-unfold]:(invalid->isEmpty_{Set}()) = invalid*
 ⟨proof⟩

lemma *OclIsEmpty-null[simp,code-unfold]:(null->isEmpty_{Set}()) = true*
 ⟨proof⟩

OclNotEmpty

lemma *OclNotEmpty-invalid[simp,code-unfold]:(invalid->notEmpty_{Set}()) = invalid*
 ⟨proof⟩

lemma *OclNotEmpty-null[simp,code-unfold]:(null->notEmpty_{Set}()) = false*
 ⟨proof⟩

OclANY

lemma *OclANY-invalid[simp,code-unfold]:(invalid->any_{Set}()) = invalid*
 ⟨proof⟩

lemma *OclANY-null[simp,code-unfold]:(null->any_{Set}()) = null*
 ⟨proof⟩

OclForall

lemma *OclForall-invalid[simp,code-unfold]:invalid->forall_{Set}(a | P a) = invalid*
 ⟨proof⟩

lemma *OclForall-null[simp,code-unfold]:null->forall_{Set}(a | P a) = invalid*
 ⟨proof⟩

OclExists

lemma *OclExists-invalid[simp,code-unfold]:invalid->exists_{Set}(a | P a) = invalid*
 ⟨proof⟩

lemma *OclExists-null[simp,code-unfold]:null->exists_{Set}(a | P a) = invalid*
 ⟨proof⟩

OclIterate

lemma *OclIterate-invalid[simp,code-unfold]:invalid->iterate_{Set}(a; x = A | P a x) = invalid*
 ⟨proof⟩

lemma *OclIterate-null[simp,code-unfold]:null->iterate_{Set}(a; x = A | P a x) = invalid*
 ⟨proof⟩

lemma *OclIterate-invalid-args[simp,code-unfold]:S->iterate_{Set}(a; x = invalid | P a x) = invalid*
 ⟨proof⟩

An open question is this ...

lemma *S->iterate_{Set}(a; x = null | P a x) = invalid*
 ⟨proof⟩

lemma *OclIterate-infinite:*

assumes *non-finite: τ ⊨ not(δ(S->size_{Set}()))*

shows *(OclIterate S A F) τ = invalid τ*

⟨proof⟩

OclSelect

lemma *OclSelect-invalid[simp,code-unfold]:invalid->select_{Set}(a | P a) = invalid*

$\langle proof \rangle$

lemma *OclSelect-null*[simp,code-unfold]: $null \rightarrow select_{Set}(a \mid P a) = invalid$
 $\langle proof \rangle$

OclReject

lemma *OclReject-invalid*[simp,code-unfold]: $invalid \rightarrow reject_{Set}(a \mid P a) = invalid$
 $\langle proof \rangle$

lemma *OclReject-null*[simp,code-unfold]: $null \rightarrow reject_{Set}(a \mid P a) = invalid$
 $\langle proof \rangle$

Context Passing

lemma *cp-OclIncludes1*:
 $(X \rightarrow includes_{Set}(x)) \tau = (X \rightarrow includes_{Set}(\lambda \cdot. x \tau)) \tau$
 $\langle proof \rangle$

lemma *cp-OclSize*: $X \rightarrow size_{Set}() \tau = ((\lambda \cdot. X \tau) \rightarrow size_{Set}()) \tau$
 $\langle proof \rangle$

lemma *cp-OclIsEmpty*: $X \rightarrow isEmpty_{Set}() \tau = ((\lambda \cdot. X \tau) \rightarrow isEmpty_{Set}()) \tau$
 $\langle proof \rangle$

lemma *cp-OclNotEmpty*: $X \rightarrow notEmpty_{Set}() \tau = ((\lambda \cdot. X \tau) \rightarrow notEmpty_{Set}()) \tau$
 $\langle proof \rangle$

lemma *cp-OclANY*: $X \rightarrow any_{Set}() \tau = ((\lambda \cdot. X \tau) \rightarrow any_{Set}()) \tau$
 $\langle proof \rangle$

lemma *cp-OclForall*:
 $(S \rightarrow forAll_{Set}(x \mid P x)) \tau = ((\lambda \cdot. S \tau) \rightarrow forAll_{Set}(x \mid P (\lambda \cdot. x \tau))) \tau$
 $\langle proof \rangle$

lemma *cp-OclForall1* [simp,intro!]:
 $cp S \implies cp (\lambda X. ((S X) \rightarrow forAll_{Set}(x \mid P x)))$
 $\langle proof \rangle$

lemma
 $cp (\lambda X St x. P (\lambda \tau. x) X St) \implies cp S \implies cp (\lambda X. (S X) \rightarrow forAll_{Set}(x \mid P x X))$
 $\langle proof \rangle$

lemma
 $cp S \implies$
 $(\bigwedge x. cp(P x)) \implies$
 $cp(\lambda X. ((S X) \rightarrow forAll_{Set}(x \mid P x X)))$
 $\langle proof \rangle$

lemma *cp-OclExists*:
 $(S \rightarrow exists_{Set}(x \mid P x)) \tau = ((\lambda \cdot. S \tau) \rightarrow exists_{Set}(x \mid P (\lambda \cdot. x \tau))) \tau$
 $\langle proof \rangle$

lemma *cp-OclExists1* [simp,intro!]:
 $cp S \implies cp (\lambda X. ((S X) \rightarrow exists_{Set}(x \mid P x)))$
 $\langle proof \rangle$

lemma *cp-OclIterate*:

$(X \rightarrow \text{iterate}_{Set}(a; x = A \mid P \ a \ x)) \ \tau =$
 $((\lambda \ -. \ X \ \tau) \rightarrow \text{iterate}_{Set}(a; x = A \mid P \ a \ x)) \ \tau$
 $\langle \text{proof} \rangle$

lemma *cp-OclSelect*: $(X \rightarrow \text{select}_{Set}(a \mid P \ a)) \ \tau =$

$((\lambda \ -. \ X \ \tau) \rightarrow \text{select}_{Set}(a \mid P \ a)) \ \tau$
 $\langle \text{proof} \rangle$

lemma *cp-OclReject*: $(X \rightarrow \text{reject}_{Set}(a \mid P \ a)) \ \tau = ((\lambda \ -. \ X \ \tau) \rightarrow \text{reject}_{Set}(a \mid P \ a)) \ \tau$

$\langle \text{proof} \rangle$

lemmas *cp-intro''*_{Set}[*intro!*, *simp*, *code-unfold*] =

cp-OclSize [THEN allU[THEN allU[THEN cpI1], of OclSize]]
cp-OclIsEmpty [THEN allU[THEN allU[THEN cpI1], of OclIsEmpty]]
cp-OclNotEmpty [THEN allU[THEN allU[THEN cpI1], of OclNotEmpty]]
cp-OclANY [THEN allU[THEN allU[THEN cpI1], of OclANY]]

Const

lemma *const-OclIncluding*[*simp*, *code-unfold*] :

assumes *const-x* : *const x*
and *const-S* : *const S*
shows *const* (*S* $\rightarrow \text{including}_{Set}(x)$)
 $\langle \text{proof} \rangle$

2.9.25. General Algebraic Execution Rules

Execution Rules on Including

lemma *OclIncluding-finite-rep-set* :

assumes *X-def* : $\tau \models \delta \ X$
and *x-val* : $\tau \models v \ x$
shows *finite* $\ulcorner \text{Rep-Set}_{base} (X \rightarrow \text{including}_{Set}(x) \ \tau) \urcorner = \text{finite} \ulcorner \text{Rep-Set}_{base} (X \ \tau) \urcorner$
 $\langle \text{proof} \rangle$

lemma *OclIncluding-rep-set*:

assumes *S-def*: $\tau \models \delta \ S$
shows $\ulcorner \text{Rep-Set}_{base} (S \rightarrow \text{including}_{Set}(\lambda \cdot \ulcorner x \urcorner) \ \tau) \urcorner = \text{insert} \ \ulcorner x \urcorner \ulcorner \text{Rep-Set}_{base} (S \ \tau) \urcorner$
 $\langle \text{proof} \rangle$

lemma *OclIncluding-notempty-rep-set*:

assumes *X-def*: $\tau \models \delta \ X$
and *a-val*: $\tau \models v \ a$
shows $\ulcorner \text{Rep-Set}_{base} (X \rightarrow \text{including}_{Set}(a) \ \tau) \urcorner \neq \{\}$
 $\langle \text{proof} \rangle$

lemma *OclIncluding-includes0*:

assumes $\tau \models X \rightarrow \text{includes}_{Set}(x)$
shows $X \rightarrow \text{including}_{Set}(x) \ \tau = X \ \tau$
 $\langle \text{proof} \rangle$

lemma *OclIncluding-includes*:

assumes $\tau \models X \rightarrow \text{includes}_{Set}(x)$
shows $\tau \models X \rightarrow \text{including}_{Set}(x) \triangleq X$
 $\langle \text{proof} \rangle$

lemma *OclIncluding-commute0* :

assumes $S\text{-def} : \tau \models \delta S$
and $i\text{-val} : \tau \models v i$
and $j\text{-val} : \tau \models v j$
shows $\tau \models ((S :: (\mathfrak{A}, 'a::\text{null}) \text{ Set}) \rightarrow \text{including}_{\text{Set}}(i) \rightarrow \text{including}_{\text{Set}}(j)) \triangleq$
 $(S \rightarrow \text{including}_{\text{Set}}(j) \rightarrow \text{including}_{\text{Set}}(i)))$
 $\langle \text{proof} \rangle$

lemma *OclIncluding-commute[simp,code-unfold]*:
 $((S :: (\mathfrak{A}, 'a::\text{null}) \text{ Set}) \rightarrow \text{including}_{\text{Set}}(i) \rightarrow \text{including}_{\text{Set}}(j)) = (S \rightarrow \text{including}_{\text{Set}}(j) \rightarrow \text{including}_{\text{Set}}(i)))$
 $\langle \text{proof} \rangle$

Execution Rules on Excluding

lemma *OclExcluding-finite-rep-set* :
assumes $X\text{-def} : \tau \models \delta X$
and $x\text{-val} : \tau \models v x$
shows $\text{finite } {}^\top \text{Rep-Set}_{\text{base}} (X \rightarrow \text{excluding}_{\text{Set}}(x) \tau)^\top = \text{finite } {}^\top \text{Rep-Set}_{\text{base}} (X \tau)^\top$
 $\langle \text{proof} \rangle$

lemma *OclExcluding-rep-set*:
assumes $S\text{-def} : \tau \models \delta S$
shows ${}^\top \text{Rep-Set}_{\text{base}} (S \rightarrow \text{excluding}_{\text{Set}}(\lambda \cdot. \perp x \perp) \tau)^\top = {}^\top \text{Rep-Set}_{\text{base}} (S \tau)^\top - \{\perp x \perp\}$
 $\langle \text{proof} \rangle$

lemma *OclExcluding-excludes0*:
assumes $\tau \models X \rightarrow \text{excludes}_{\text{Set}}(x)$
shows $X \rightarrow \text{excluding}_{\text{Set}}(x) \tau = X \tau$
 $\langle \text{proof} \rangle$

lemma *OclExcluding-excludes*:
assumes $\tau \models X \rightarrow \text{excludes}_{\text{Set}}(x)$
shows $\tau \models X \rightarrow \text{excluding}_{\text{Set}}(x) \triangleq X$
 $\langle \text{proof} \rangle$

lemma *OclExcluding-charn0[simp]*:
assumes $\text{val-}x:\tau \models (v x)$
shows $\tau \models ((\text{Set}\{\} \rightarrow \text{excluding}_{\text{Set}}(x)) \triangleq \text{Set}\{\})$
 $\langle \text{proof} \rangle$

lemma *OclExcluding-commute0* :
assumes $S\text{-def} : \tau \models \delta S$
and $i\text{-val} : \tau \models v i$
and $j\text{-val} : \tau \models v j$
shows $\tau \models ((S :: (\mathfrak{A}, 'a::\text{null}) \text{ Set}) \rightarrow \text{excluding}_{\text{Set}}(i) \rightarrow \text{excluding}_{\text{Set}}(j)) \triangleq$
 $(S \rightarrow \text{excluding}_{\text{Set}}(j) \rightarrow \text{excluding}_{\text{Set}}(i)))$
 $\langle \text{proof} \rangle$

lemma *OclExcluding-commute[simp,code-unfold]*:
 $((S :: (\mathfrak{A}, 'a::\text{null}) \text{ Set}) \rightarrow \text{excluding}_{\text{Set}}(i) \rightarrow \text{excluding}_{\text{Set}}(j)) = (S \rightarrow \text{excluding}_{\text{Set}}(j) \rightarrow \text{excluding}_{\text{Set}}(i)))$
 $\langle \text{proof} \rangle$

lemma *OclExcluding-charn0-exec[simp,code-unfold]*:
 $(\text{Set}\{\} \rightarrow \text{excluding}_{\text{Set}}(x)) = (\text{if } (v x) \text{ then } \text{Set}\{\} \text{ else invalid endif})$
 $\langle \text{proof} \rangle$

lemma *OclExcluding-cha1*:
assumes *def-X*: $\tau \models (\delta \ X)$
and *val-x*: $\tau \models (v \ x)$
and *val-y*: $\tau \models (v \ y)$
and *neg* : $\tau \models \text{not}(x \triangleq y)$
shows $\tau \models ((X \rightarrow \text{including}_{\text{Set}}(x)) \rightarrow \text{excluding}_{\text{Set}}(y)) \triangleq ((X \rightarrow \text{excluding}_{\text{Set}}(y)) \rightarrow \text{including}_{\text{Set}}(x))$
 $\langle \text{proof} \rangle$

lemma *OclExcluding-cha2*:
assumes *def-X*: $\tau \models (\delta \ X)$
and *val-x*: $\tau \models (v \ x)$
shows $\tau \models (((X \rightarrow \text{including}_{\text{Set}}(x)) \rightarrow \text{excluding}_{\text{Set}}(x)) \triangleq (X \rightarrow \text{excluding}_{\text{Set}}(x)))$
 $\langle \text{proof} \rangle$

theorem *OclExcluding-cha3*: $((X \rightarrow \text{including}_{\text{Set}}(x)) \rightarrow \text{excluding}_{\text{Set}}(x)) = (X \rightarrow \text{excluding}_{\text{Set}}(x))$
 $\langle \text{proof} \rangle$

One would like a generic theorem of the form:

lemma *OclExcluding_cha_exec*:
 $"(X \rightarrow \text{including}_{\text{Set}}(x::('A, 'a::\text{null})\text{val})) \rightarrow \text{excluding}_{\text{Set}}(y)) =$
 $(\text{if } \delta \ X \text{ then if } x \doteq y$
 $\quad \text{then } X \rightarrow \text{excluding}_{\text{Set}}(y)$
 $\quad \text{else } X \rightarrow \text{excluding}_{\text{Set}}(y) \rightarrow \text{including}_{\text{Set}}(x)$
 $\quad \text{endif}$
 $\text{else invalid endif})"$

Unfortunately, this does not hold in general, since referential equality is an overloaded concept and has to be defined for each type individually. Consequently, it is only valid for concrete type instances for Boolean, Integer, and Sets thereof..

The computational law *OclExcluding-cha-exec* becomes generic since it uses strict equality which in itself is generic. It is possible to prove the following generic theorem and instantiate it later (using properties that link the polymorphic logical strong equality with the concrete instance of strict equality).

lemma *OclExcluding-cha-exec*:
assumes *strict1*: $(\text{invalid} \doteq y) = \text{invalid}$
and *strict2*: $(x \doteq \text{invalid}) = \text{invalid}$
and *StrictRefEq-valid-args-valid*: $\bigwedge (x::('A, 'a::\text{null})\text{val}) \ y \ \tau.$
 $(\tau \models \delta \ (x \doteq y)) = ((\tau \models (v \ x)) \wedge (\tau \models v \ y))$
and *cp-StrictRefEq*: $\bigwedge (X::('A, 'a::\text{null})\text{val}) \ Y \ \tau. (X \doteq Y) \ \tau = ((\lambda \cdot. X \ \tau) \doteq (\lambda \cdot. Y \ \tau)) \ \tau$
and *StrictRefEq-vs-StrongEq*: $\bigwedge (x::('A, 'a::\text{null})\text{val}) \ y \ \tau.$
 $\tau \models v \ x \implies \tau \models v \ y \implies (\tau \models ((x \doteq y) \triangleq (x \triangleq y)))$
shows $(X \rightarrow \text{including}_{\text{Set}}(x::('A, 'a::\text{null})\text{val})) \rightarrow \text{excluding}_{\text{Set}}(y)) =$
 $(\text{if } \delta \ X \text{ then if } x \doteq y$
 $\quad \text{then } X \rightarrow \text{excluding}_{\text{Set}}(y)$
 $\quad \text{else } X \rightarrow \text{excluding}_{\text{Set}}(y) \rightarrow \text{including}_{\text{Set}}(x)$
 $\quad \text{endif}$
 $\text{else invalid endif})$
 $\langle \text{proof} \rangle$

schematic-goal *OclExcluding-cha-exec*_{Integer}[simp,code-unfold]: $?X$

$\langle proof \rangle$

schematic-goal $OclExcluding-charn-exec_{Boolean}[simp, code-unfold]: ?X$
 $\langle proof \rangle$

schematic-goal $OclExcluding-charn-exec_{Set}[simp, code-unfold]: ?X$
 $\langle proof \rangle$

Execution Rules on Includes

lemma $OclIncludes-charn0[simp]:$
assumes $val-x:\tau \models (v \ x)$
shows $\tau \models not(Set\{\}->includes_{Set}(x))$
 $\langle proof \rangle$

lemma $OclIncludes-charn0'[simp, code-unfold]:$
 $Set\{\}->includes_{Set}(x) = (if \ v \ x \ then \ false \ else \ invalid \ endif)$
 $\langle proof \rangle$

lemma $OclIncludes-charn1:$
assumes $def-X:\tau \models (\delta \ X)$
assumes $val-x:\tau \models (v \ x)$
shows $\tau \models (X->including_{Set}(x)->includes_{Set}(x))$
 $\langle proof \rangle$

lemma $OclIncludes-charn2:$
assumes $def-X:\tau \models (\delta \ X)$
and $val-x:\tau \models (v \ x)$
and $val-y:\tau \models (v \ y)$
and $neq : \tau \models not(x \triangleq y)$
shows $\tau \models (X->including_{Set}(x)->includes_{Set}(y)) \triangleq (X->includes_{Set}(y))$
 $\langle proof \rangle$

Here is again a generic theorem similar as above.

lemma $OclIncludes-execute-generic:$
assumes $strict1: (invalid \doteq y) = invalid$
and $strict2: (x \doteq invalid) = invalid$
and $cp-StrictRefEq: \bigwedge (X::('A, 'a::null)val) \ Y \ \tau. (X \doteq Y) \ \tau = ((\lambda-. X \ \tau) \doteq (\lambda-. Y \ \tau)) \ \tau$
and $StrictRefEq-vs-StrongEq: \bigwedge (x::('A, 'a::null)val) \ y \ \tau. \\ \tau \models v \ x \implies \tau \models v \ y \implies (\tau \models ((x \doteq y) \triangleq (x \triangleq y)))$
shows
 $(X->including_{Set}(x::('A, 'a::null)val)->includes_{Set}(y)) =$
 $(if \ \delta \ X \ then \ if \ x \doteq y \ then \ true \ else \ X->includes_{Set}(y) \ endif \ else \ invalid \ endif)$
 $\langle proof \rangle$

schematic-goal $OclIncludes-execute_{Integer}[simp, code-unfold]: ?X$
 $\langle proof \rangle$

schematic-goal $OclIncludes-execute_{Boolean}[simp, code-unfold]: ?X$
 $\langle proof \rangle$

schematic-goal $OclIncludes\text{-}execute_{Set}[simp, code\text{-}unfold]: ?X$
 $\langle proof \rangle$

lemma $OclIncludes\text{-}including\text{-}generic$:
assumes $OclIncludes\text{-}execute\text{-}generic [simp] : \bigwedge X x y.$
 $(X \text{-}> including_{Set}(x::('A, 'a::null)val) \text{-}> includes_{Set}(y)) =$
 $(if \ \delta \ X \text{ then if } x \doteq y \text{ then true else } X \text{-}> includes_{Set}(y) \text{ endif else invalid endif})$
and $StrictRefEq\text{-}strict'' : \bigwedge x y. \delta ((x::('A, 'a::null)val) \doteq y) = (v(x) \text{ and } v(y))$
and $a\text{-}val : \tau \models v \ a$
and $x\text{-}val : \tau \models v \ x$
and $S\text{-}incl : \tau \models (S) \text{-}> includes_{Set}((x::('A, 'a::null)val))$
shows $\tau \models S \text{-}> including_{Set}((a::('A, 'a::null)val)) \text{-}> includes_{Set}(x)$
 $\langle proof \rangle$

lemmas $OclIncludes\text{-}including_{Integer} =$
 $OclIncludes\text{-}including\text{-}generic[OF \ OclIncludes\text{-}execute_{Integer} \ StrictRefEq_{Integer}.def\text{-}homo]$

Execution Rules on Excludes

lemma $OclExcludes\text{-}charn1$:
assumes $def\text{-}X:\tau \models (\delta \ X)$
assumes $val\text{-}x:\tau \models (v \ x)$
shows $\tau \models (X \text{-}> excluding_{Set}(x) \text{-}> excludes_{Set}(x))$
 $\langle proof \rangle$

Execution Rules on Size

lemma $[simp, code\text{-}unfold]: Set\{\} \text{-}> size_{Set}() = 0$
 $\langle proof \rangle$

lemma $OclSize\text{-}including\text{-}exec[simp, code\text{-}unfold]:$
 $((X \text{-}> including_{Set}(x)) \text{-}> size_{Set}()) = (if \ \delta \ X \text{ and } v \ x \text{ then}$
 $\quad X \text{-}> size_{Set}() +_{int} \text{ if } X \text{-}> includes_{Set}(x) \text{ then } 0 \text{ else } 1 \text{ endif}$
 $\quad \text{else}$
 $\quad \text{invalid}$
 $\quad \text{endif})$
 $\langle proof \rangle$

Execution Rules on IsEmpty

lemma $[simp, code\text{-}unfold]: Set\{\} \text{-}> isEmpty_{Set}() = true$
 $\langle proof \rangle$

lemma $OclIsEmpty\text{-}including [simp]:$
assumes $X\text{-}def: \tau \models \delta \ X$
and $X\text{-}finite: finite \ \ulcorner Rep\text{-}Set_{base} \ (X \ \tau) \urcorner$
and $a\text{-}val: \tau \models v \ a$
shows $X \text{-}> including_{Set}(a) \text{-}> isEmpty_{Set}() \ \tau = false \ \tau$
 $\langle proof \rangle$

Execution Rules on NotEmpty

lemma $[simp, code\text{-}unfold]: Set\{\} \text{-}> notEmpty_{Set}() = false$
 $\langle proof \rangle$

lemma $OclNotEmpty\text{-}including [simp, code\text{-}unfold]:$
assumes $X\text{-}def: \tau \models \delta \ X$

and $X\text{-finite}$: $\text{finite } \ulcorner \text{Rep-Set}_{base} (X \ \tau) \urcorner$
and $a\text{-val}$: $\tau \models v \ a$
shows $X \rightarrow \text{including}_{Set}(a) \rightarrow \text{notEmpty}_{Set}() \ \tau = \text{true} \ \tau$
 $\langle \text{proof} \rangle$

Execution Rules on Any

lemma $[simp, \text{code-unfold}]$: $\text{Set}\{\} \rightarrow \text{any}_{Set}() = \text{null}$
 $\langle \text{proof} \rangle$

lemma $\text{OclANY-singleton-exec}[simp, \text{code-unfold}]$:
 $(\text{Set}\{\} \rightarrow \text{including}_{Set}(a) \rightarrow \text{any}_{Set}()) = a$
 $\langle \text{proof} \rangle$

Execution Rules on Forall

lemma $\text{OclForall-mtSet-exec}[simp, \text{code-unfold}]$: $((\text{Set}\{\}) \rightarrow \text{forAll}_{Set}(z \mid P(z))) = \text{true}$
 $\langle \text{proof} \rangle$

The following rule is a main theorem of our approach: From a denotational definition that assures consistency, but may be — as in the case of the $\text{OclForall} \ X \ P$ — dauntingly complex, we derive operational rules that can serve as a gold-standard for operational execution, since they may be evaluated in whatever situation and according to whatever strategy. In the case of $\text{OclForall} \ X \ P$, the operational rule gives immediately a way to evaluation in any finite (in terms of conventional OCL: denotable) set, although the rule also holds for the infinite case:

$\text{Integer}_{null} \rightarrow \text{forAll}_{Set}(x \mid \text{Integer}_{null} \rightarrow \text{forAll}_{Set}(y \mid x +_{int} y \triangleq y +_{int} x))$

or even:

$\text{Integer} \rightarrow \text{forAll}_{Set}(x \mid \text{Integer} \rightarrow \text{forAll}_{Set}(y \mid x +_{int} y \doteq y +_{int} x))$

are valid OCL statements in any context τ .

theorem $\text{OclForall-including-exec}[simp, \text{code-unfold}]$:
assumes $cp0 : cp \ P$
shows $((S \rightarrow \text{including}_{Set}(x)) \rightarrow \text{forAll}_{Set}(z \mid P(z))) = (\text{if } \delta \ S \text{ and } v \ x$
 $\text{then } P \ x \text{ and } (S \rightarrow \text{forAll}_{Set}(z \mid P(z)))$
 else invalid
 $\text{endif})$

$\langle \text{proof} \rangle$

Execution Rules on Exists

lemma $\text{OclExists-mtSet-exec}[simp, \text{code-unfold}]$:
 $((\text{Set}\{\}) \rightarrow \text{exists}_{Set}(z \mid P(z))) = \text{false}$
 $\langle \text{proof} \rangle$

lemma $\text{OclExists-including-exec}[simp, \text{code-unfold}]$:
assumes cp : $cp \ P$
shows $((S \rightarrow \text{including}_{Set}(x)) \rightarrow \text{exists}_{Set}(z \mid P(z))) = (\text{if } \delta \ S \text{ and } v \ x$
 $\text{then } P \ x \text{ or } (S \rightarrow \text{exists}_{Set}(z \mid P(z)))$
 else invalid
 $\text{endif})$

$\langle \text{proof} \rangle$

Execution Rules on Iterate

lemma $\text{OclIterate-empty}[simp, \text{code-unfold}]$: $((\text{Set}\{\}) \rightarrow \text{iterate}_{Set}(a; x = A \mid P \ a \ x)) = A$
 $\langle \text{proof} \rangle$

In particular, this does hold for $A = \text{null}$.

lemma *OclIterate-including*:

assumes *S-finite*: $\tau \models \delta(S \rightarrow \text{size}_{\text{Set}}())$
and *F-valid-arg*: $(v \ A) \ \tau = (v \ (F \ a \ A)) \ \tau$
and *F-commute*: $\text{comp-fun-commute } F$
and *F-cp*: $\bigwedge x \ y \ \tau. F \ x \ y \ \tau = F \ (\lambda \cdot. x \ \tau) \ y \ \tau$
shows $((S \rightarrow \text{including}_{\text{Set}}(a)) \rightarrow \text{iterate}_{\text{Set}}(a; x = A \mid F \ a \ x)) \ \tau =$
 $((S \rightarrow \text{excluding}_{\text{Set}}(a)) \rightarrow \text{iterate}_{\text{Set}}(a; x = F \ a \ A \mid F \ a \ x)) \ \tau$
 $\langle \text{proof} \rangle$

Execution Rules on Select

lemma *OclSelect-mtSet-exec[simp,code-unfold]*: $\text{OclSelect } \text{mtSet } P = \text{mtSet}$
 $\langle \text{proof} \rangle$

definition *OclSelect-body* :: $- \Rightarrow - \Rightarrow - \Rightarrow ('A, 'a \text{ option option}) \text{ Set}$
 $\equiv (\lambda P \ x \ \text{acc}. \text{if } P \ x \doteq \text{false} \text{ then } \text{acc} \text{ else } \text{acc} \rightarrow \text{including}_{\text{Set}}(x) \text{ endif})$

theorem *OclSelect-including-exec[simp,code-unfold]*:

assumes *P-cp* : $\text{cp } P$
shows $\text{OclSelect } (X \rightarrow \text{including}_{\text{Set}}(y)) \ P = \text{OclSelect-body } P \ y \ (\text{OclSelect } (X \rightarrow \text{excluding}_{\text{Set}}(y)) \ P)$
 $(\text{is } - = ?\text{select})$
 $\langle \text{proof} \rangle$

Execution Rules on Reject

lemma *OclReject-mtSet-exec[simp,code-unfold]*: $\text{OclReject } \text{mtSet } P = \text{mtSet}$
 $\langle \text{proof} \rangle$

lemma *OclReject-including-exec[simp,code-unfold]*:

assumes *P-cp* : $\text{cp } P$
shows $\text{OclReject } (X \rightarrow \text{including}_{\text{Set}}(y)) \ P = \text{OclSelect-body } (\text{not } o \ P) \ y \ (\text{OclReject } (X \rightarrow \text{excluding}_{\text{Set}}(y)) \ P)$
 $\langle \text{proof} \rangle$

Execution Rules Combining Previous Operators

OclIncluding

lemma *OclIncluding-idem0* :

assumes $\tau \models \delta \ S$
and $\tau \models v \ i$
shows $\tau \models (S \rightarrow \text{including}_{\text{Set}}(i)) \rightarrow \text{including}_{\text{Set}}(i) \triangleq (S \rightarrow \text{including}_{\text{Set}}(i))$
 $\langle \text{proof} \rangle$

theorem *OclIncluding-idem[simp,code-unfold]*: $((S :: ('A, 'a :: \text{null}) \text{Set}) \rightarrow \text{including}_{\text{Set}}(i)) \rightarrow \text{including}_{\text{Set}}(i) =$
 $(S \rightarrow \text{including}_{\text{Set}}(i))$
 $\langle \text{proof} \rangle$

OclExcluding

lemma *OclExcluding-idem0* :

assumes $\tau \models \delta \ S$
and $\tau \models v \ i$
shows $\tau \models (S \rightarrow \text{excluding}_{\text{Set}}(i)) \rightarrow \text{excluding}_{\text{Set}}(i) \triangleq (S \rightarrow \text{excluding}_{\text{Set}}(i))$
 $\langle \text{proof} \rangle$

theorem *OclExcluding-idem[simp,code-unfold]*: $((S \rightarrow \text{excluding}_{\text{Set}}(i)) \rightarrow \text{excluding}_{\text{Set}}(i)) =$
 $(S \rightarrow \text{excluding}_{\text{Set}}(i))$

$\langle proof \rangle$

OclIncludes

lemma *OclIncludes-any[simp,code-unfold]*:

$X \rightarrow includes_{Set}(X \rightarrow any_{Set}()) = (if \ \delta \ X \ then$
 $if \ \delta \ (X \rightarrow size_{Set}()) \ then \ not(X \rightarrow isEmpty_{Set}())$
 $else \ X \rightarrow includes_{Set}(null) \ endif$
 $else \ invalid \ endif)$

$\langle proof \rangle$

OclSize

lemma *[simp,code-unfold]*: $\delta \ (Set\{\} \rightarrow size_{Set}()) = true$

$\langle proof \rangle$

lemma *[simp,code-unfold]*: $\delta \ ((X \rightarrow including_{Set}(x)) \rightarrow size_{Set}()) = (\delta(X \rightarrow size_{Set}()) \ and \ v(x))$

$\langle proof \rangle$

lemma *[simp,code-unfold]*: $\delta \ ((X \rightarrow excluding_{Set}(x)) \rightarrow size_{Set}()) = (\delta(X \rightarrow size_{Set}()) \ and \ v(x))$

$\langle proof \rangle$

lemma *[simp]*:

assumes $X\text{-finite}: \bigwedge \tau. \ finite \ \lceil Rep\text{-}Set_{base} \ (X \ \tau) \rceil$
shows $\delta \ ((X \rightarrow including_{Set}(x)) \rightarrow size_{Set}()) = (\delta(X) \ and \ v(x))$

$\langle proof \rangle$

OclForall

lemma *OclForall-rep-set-false*:

assumes $\tau \models \delta \ X$
shows $(OclForall \ X \ P \ \tau = false \ \tau) = (\exists x \in \lceil Rep\text{-}Set_{base} \ (X \ \tau) \rceil. \ P \ (\lambda \tau. \ x) \ \tau = false \ \tau)$
 $\langle proof \rangle$

lemma *OclForall-rep-set-true*:

assumes $\tau \models \delta \ X$
shows $(\tau \models OclForall \ X \ P) = (\forall x \in \lceil Rep\text{-}Set_{base} \ (X \ \tau) \rceil. \ \tau \models P \ (\lambda \tau. \ x))$
 $\langle proof \rangle$

lemma *OclForall-includes :*

assumes $x\text{-def} : \tau \models \delta \ x$
 and $y\text{-def} : \tau \models \delta \ y$
shows $(\tau \models OclForall \ x \ (OclIncludes \ y)) = (\lceil Rep\text{-}Set_{base} \ (x \ \tau) \rceil \subseteq \lceil Rep\text{-}Set_{base} \ (y \ \tau) \rceil)$
 $\langle proof \rangle$

lemma *OclForall-not-includes :*

assumes $x\text{-def} : \tau \models \delta \ x$
 and $y\text{-def} : \tau \models \delta \ y$
shows $(OclForall \ x \ (OclIncludes \ y) \ \tau = false \ \tau) = (\neg \lceil Rep\text{-}Set_{base} \ (x \ \tau) \rceil \subseteq \lceil Rep\text{-}Set_{base} \ (y \ \tau) \rceil)$
 $\langle proof \rangle$

lemma *OclForall-iterate*:

assumes $S\text{-finite}: \ finite \ \lceil Rep\text{-}Set_{base} \ (S \ \tau) \rceil$
shows $S \rightarrow forAll_{Set}(x \mid P \ x) \ \tau = (S \rightarrow iterate_{Set}(x; \ acc = true \mid \ acc \ and \ P \ x)) \ \tau$
 $\langle proof \rangle$

lemma *OclForall-cong*:

assumes $\bigwedge x. \ x \in \lceil Rep\text{-}Set_{base} \ (X \ \tau) \rceil \implies \tau \models P \ (\lambda \tau. \ x) \implies \tau \models Q \ (\lambda \tau. \ x)$
assumes $P: \tau \models OclForall \ X \ P$

shows $\tau \models \text{OclForall } X \ Q$
 $\langle \text{proof} \rangle$

lemma *OclForall-cong'*:

assumes $\bigwedge x. x \in {}^\top \text{Rep-Set}_{base} (X \ \tau)^\top \implies \tau \models P (\lambda \tau. x) \implies \tau \models Q (\lambda \tau. x) \implies \tau \models R (\lambda \tau. x)$

assumes $P: \tau \models \text{OclForall } X \ P$

assumes $Q: \tau \models \text{OclForall } X \ Q$

shows $\tau \models \text{OclForall } X \ R$

$\langle \text{proof} \rangle$

Strict Equality

lemma *StrictRefEqSet-defined* :

assumes $x\text{-def}: \tau \models \delta \ x$

assumes $y\text{-def}: \tau \models \delta \ y$

shows $((x::(\mathfrak{A}, \alpha::\text{null})\text{Set}) \doteq y) \ \tau =$

$(x \rightarrow \text{forAll}_{Set}(z \mid y \rightarrow \text{includes}_{Set}(z))) \text{ and } (y \rightarrow \text{forAll}_{Set}(z \mid x \rightarrow \text{includes}_{Set}(z))) \ \tau$

$\langle \text{proof} \rangle$

lemma *StrictRefEqSet-exec[simp,code-unfold]* :

$((x::(\mathfrak{A}, \alpha::\text{null})\text{Set}) \doteq y) =$

$(\text{if } \delta \ x \text{ then } (\text{if } \delta \ y$

$\text{then } ((x \rightarrow \text{forAll}_{Set}(z \mid y \rightarrow \text{includes}_{Set}(z))) \text{ and } (y \rightarrow \text{forAll}_{Set}(z \mid x \rightarrow \text{includes}_{Set}(z))))$

$\text{else if } v \ y$

$\text{then false} \text{ — } x' \rightarrow \text{includes} = \text{null}$

else invalid

endif

$\text{endif})$

$\text{else if } v \ x \text{ — null} = ???$

$\text{then if } v \ y \text{ then not}(\delta \ y) \text{ else invalid endif}$

else invalid

endif

$\text{endif})$

$\langle \text{proof} \rangle$

lemma *StrictRefEqSet-L-subst1* : $cp \ P \implies \tau \models v \ x \implies \tau \models v \ y \implies \tau \models v \ P \ x \implies \tau \models v \ P \ y \implies$

$\tau \models (x::(\mathfrak{A}, \alpha::\text{null})\text{Set}) \doteq y \implies \tau \models (P \ x :: (\mathfrak{A}, \alpha::\text{null})\text{Set}) \doteq P \ y$

$\langle \text{proof} \rangle$

lemma *OclIncluding-cong'* :

shows $\tau \models \delta \ s \implies \tau \models \delta \ t \implies \tau \models v \ x \implies$

$\tau \models ((s::(\mathfrak{A}, a::\text{null})\text{Set}) \doteq t) \implies \tau \models (s \rightarrow \text{including}_{Set}(x) \doteq (t \rightarrow \text{including}_{Set}(x)))$

$\langle \text{proof} \rangle$

lemma *OclIncluding-cong* : $\bigwedge (s::(\mathfrak{A}, a::\text{null})\text{Set}) \ t \ x \ y \ \tau. \ \tau \models \delta \ t \implies \tau \models v \ y \implies$

$\tau \models s \doteq t \implies x = y \implies \tau \models s \rightarrow \text{including}_{Set}(x) \doteq (t \rightarrow \text{including}_{Set}(y))$

$\langle \text{proof} \rangle$

lemma *const-StrictRefEqSet-empty* : $\text{const } X \implies \text{const } (X \doteq \text{Set}\{\})$

$\langle \text{proof} \rangle$

lemma *const-StrictRefEqSet-including* :

$\text{const } a \implies \text{const } S \implies \text{const } X \implies \text{const } (X \doteq S \rightarrow \text{including}_{Set}(a))$

$\langle \text{proof} \rangle$

2.9.26. Test Statements

Assert $(\tau \models (\text{Set}\{\lambda \cdot. \sqcup x \sqcup\} \doteq \text{Set}\{\lambda \cdot. \sqcup x \sqcup\}))$

```

Assert  ( $\tau \models (\text{Set}\{\lambda\cdot. \lfloor x \rfloor\} \doteq \text{Set}\{\lambda\cdot. \lfloor x \rfloor\})$ )

instantiation  $\text{Set}_{base} :: (\text{equal})\text{equal}$ 
begin
  definition  $\text{HOL.equal } k \ l \longleftrightarrow (k::('a::\text{equal})\text{Set}_{base}) = l$ 
  instance  $\langle \text{proof} \rangle$ 
end

lemma  $\text{equal-Set}_{base}\text{-code [code]:}$ 
   $\text{HOL.equal } k \ (l::('a::\{\text{equal}, \text{null}\})\text{Set}_{base}) \longleftrightarrow \text{Rep-Set}_{base} \ k = \text{Rep-Set}_{base} \ l$ 
   $\langle \text{proof} \rangle$ 

Assert   $\tau \models (\text{Set}\{\} \doteq \text{Set}\{\})$ 
Assert   $\tau \models (\text{Set}\{\mathbf{1}, \mathbf{2}\} \triangleq \text{Set}\{\} \rightarrow \text{including}_{\text{Set}}(\mathbf{2}) \rightarrow \text{including}_{\text{Set}}(\mathbf{1}))$ 
Assert   $\tau \models (\text{Set}\{\mathbf{1}, \text{invalid}, \mathbf{2}\} \triangleq \text{invalid})$ 
Assert   $\tau \models (\text{Set}\{\mathbf{1}, \mathbf{2}\} \rightarrow \text{including}_{\text{Set}}(\text{null}) \triangleq \text{Set}\{\text{null}, \mathbf{1}, \mathbf{2}\})$ 
Assert   $\tau \models (\text{Set}\{\mathbf{1}, \mathbf{2}\} \rightarrow \text{including}_{\text{Set}}(\text{null}) \triangleq \text{Set}\{\mathbf{1}, \mathbf{2}, \text{null}\})$ 

```

end

```

theory  $\text{UML-Sequence}$ 
imports  $\dots/\text{basic-types}/\text{UML-Boolean}$ 
          $\dots/\text{basic-types}/\text{UML-Integer}$ 
begin

no-notation  $\text{None } (\perp)$ 

```

2.10. Collection Type Sequence: Operations

2.10.1. Basic Properties of the Sequence Type

Every element in a defined sequence is valid.

```

lemma  $\text{Sequence-inv-lemma: } \tau \models (\delta \ X) \implies \forall x \in \text{set } \ulcorner \text{Rep-Sequence}_{base} \ (X \ \tau) \urcorner. \ x \neq \text{bot}$ 
   $\langle \text{proof} \rangle$ 

```

2.10.2. Definition: Strict Equality

After the part of foundational operations on sets, we detail here equality on sets. Strong equality is inherited from the OCL core, but we have to consider the case of the strict equality. We decide to overload strict equality in the same way we do for other value's in OCL:

```

overloading
   $\text{StrictRefEq} \equiv \text{StrictRefEq} :: [('A, 'a::\text{null})\text{Sequence}, ('A, 'a::\text{null})\text{Sequence}] \Rightarrow ('A)\text{Boolean}$ 
begin
  definition  $\text{StrictRefEqSeq} :$ 
     $((x::('A, 'a::\text{null})\text{Sequence}) \doteq y) \equiv (\lambda \tau. \text{if } (v \ x) \ \tau = \text{true} \ \tau \wedge (v \ y) \ \tau = \text{true} \ \tau$ 
       $\text{then } (x \triangleq y) \tau$ 
       $\text{else invalid } \tau)$ 
end

```

One might object here that for the case of objects, this is an empty definition. The answer is no,

we will restrain later on states and objects such that any object has its oid stored inside the object (so the ref, under which an object can be referenced in the store will be represented in the object itself). For such well-formed stores that satisfy this invariant (the WFF-invariant), the referential equality and the strong equality—and therefore the strict equality on sequences in the sense above—coincides.

Property proof in terms of $profile-bin_{StrongEq-v-v}$

interpretation $StrictRefEq_{Seq} : profile-bin_{StrongEq-v-v} \lambda x y. (x::('A, 'a::null) Sequence) \doteq y$
 $\langle proof \rangle$

2.10.3. Constants: mtSequence

definition $mtSequence :: ('A, 'a::null) Sequence (Sequence\{\})$
where $Sequence\{\} \equiv (\lambda \tau. Abs-Sequence_{base} \perp \perp :: 'a list_{\perp})$

lemma $mtSequence-defined[simp, code-unfold]: \delta(Sequence\{\}) = true$
 $\langle proof \rangle$

lemma $mtSequence-valid[simp, code-unfold]: v(Sequence\{\}) = true$
 $\langle proof \rangle$

lemma $mtSequence-rep-set: \ulcorner Rep-Sequence_{base} (Sequence\{\}) \tau \urcorner = []$
 $\langle proof \rangle$ **lemma** $[simp, code-unfold]: const Sequence\{\}$
 $\langle proof \rangle$

Note that the collection types in OCL allow for null to be included; however, there is the null-collection into which inclusion yields invalid.

2.10.4. Definition: Prepend

definition $OclPrepend :: [('A, 'a::null) Sequence, ('A, 'a) val] \Rightarrow ('A, 'a) Sequence$
where $OclPrepend x y = (\lambda \tau. if (\delta x) \tau = true \tau \wedge (v y) \tau = true \tau$
 $then Abs-Sequence_{base} \perp \perp (y \tau) \# \ulcorner Rep-Sequence_{base} (x \tau) \urcorner$
 $else invalid \tau)$
notation $OclPrepend \ (->prepend_{Seq} '(-))$

interpretation $OclPrepend: profile-bin_{d-v} OclPrepend \lambda x y. Abs-Sequence_{base} \perp \perp y \# \ulcorner Rep-Sequence_{base} x \urcorner$
 $\langle proof \rangle$

syntax

$-OclFinsequence :: args \Rightarrow ('A, 'a::null) Sequence (Sequence\{-\})$

translations

$Sequence\{x, xs\} == CONST OclPrepend (Sequence\{xs\}) x$
 $Sequence\{x\} == CONST OclPrepend (Sequence\{\}) x$

2.10.5. Definition: Including

definition $OclIncluding :: [('A, 'a::null) Sequence, ('A, 'a) val] \Rightarrow ('A, 'a) Sequence$
where $OclIncluding x y = (\lambda \tau. if (\delta x) \tau = true \tau \wedge (v y) \tau = true \tau$
 $then Abs-Sequence_{base} \perp \perp \ulcorner Rep-Sequence_{base} (x \tau) \urcorner @ [y \tau] \perp$
 $else invalid \tau)$
notation $OclIncluding \ (->including_{Seq} '(-))$

interpretation $OclIncluding :$

$profile-bin_{d-v} OclIncluding \lambda x y. Abs-Sequence_{base} \perp \perp \ulcorner Rep-Sequence_{base} x \urcorner @ [y] \perp$
 $\langle proof \rangle$

where $OclFirst\ x = (\lambda\ \tau. \text{if } (\delta\ x)\ \tau = \text{true}\ \tau \text{ then}$
 $\text{case } \ulcorner Rep\text{-}Sequence_{base}\ (x\ \tau)^\top \text{ of } [] \Rightarrow \text{invalid } \tau$
 $\mid x \# - \Rightarrow x$
 $\text{else invalid } \tau)$

notation $OclFirst\ (->first_{seq}'(-'))$

2.10.11. Definition: Last

definition $OclLast :: [(\mathfrak{A}, \alpha :: null)\ Sequence] \Rightarrow (\mathfrak{A}, \alpha)\ val$

where $OclLast\ x = (\lambda\ \tau. \text{if } (\delta\ x)\ \tau = \text{true}\ \tau \text{ then}$
 $\text{if } \ulcorner Rep\text{-}Sequence_{base}\ (x\ \tau)^\top = [] \text{ then}$
 $\text{invalid } \tau$
 else
 $\text{last } \ulcorner Rep\text{-}Sequence_{base}\ (x\ \tau)^\top$
 $\text{else invalid } \tau)$

notation $OclLast\ (->last_{seq}'(-'))$

2.10.12. Definition: Iterate

definition $OclIterate :: [(\mathfrak{A}, \alpha :: null)\ Sequence, (\mathfrak{A}, \beta :: null)\ val,$
 $(\mathfrak{A}, \alpha)\ val \Rightarrow (\mathfrak{A}, \beta)\ val \Rightarrow (\mathfrak{A}, \beta)\ val] \Rightarrow (\mathfrak{A}, \beta)\ val$

where $OclIterate\ S\ A\ F = (\lambda\ \tau. \text{if } (\delta\ S)\ \tau = \text{true}\ \tau \wedge (v\ A)\ \tau = \text{true}\ \tau$
 $\text{then } (foldr\ (F)\ (map\ (\lambda a\ \tau. a)\ \ulcorner Rep\text{-}Sequence_{base}\ (S\ \tau)^\top))(A)\ \tau$
 $\text{else } \perp)$

syntax
 $-OclIterateSeq :: [(\mathfrak{A}, \alpha :: null)\ Sequence, idt, idt, \alpha, \beta] \Rightarrow (\mathfrak{A}, \gamma)\ val$
 $(->iterate_{seq}'(-; == - \mid -'))$

translations
 $X->iterate_{seq}(a; x = A \mid P) == CONST\ OclIterate\ X\ A\ (\%a. (\%x. P))$

2.10.13. Definition: Forall

definition $OclForall :: [(\mathfrak{A}, \alpha :: null)\ Sequence, (\mathfrak{A}, \alpha)\ val \Rightarrow (\mathfrak{A})\ Boolean] \Rightarrow \mathfrak{A}\ Boolean$

where $OclForall\ S\ P = (S->iterate_{seq}(b; x = \text{true} \mid x \text{ and } (P\ b)))$

syntax
 $-OclForallSeq :: [(\mathfrak{A}, \alpha :: null)\ Sequence, id, (\mathfrak{A})\ Boolean] \Rightarrow \mathfrak{A}\ Boolean\ ((-)->forall_{seq}'(- \mid -))$

translations
 $X->forall_{seq}(x \mid P) == CONST\ UML\text{-}Sequence.OclForall\ X\ (\%x. P)$

2.10.14. Definition: Exists

definition $OclExists :: [(\mathfrak{A}, \alpha :: null)\ Sequence, (\mathfrak{A}, \alpha)\ val \Rightarrow (\mathfrak{A})\ Boolean] \Rightarrow \mathfrak{A}\ Boolean$

where $OclExists\ S\ P = (S->iterate_{seq}(b; x = \text{false} \mid x \text{ or } (P\ b)))$

syntax
 $-OclExistSeq :: [(\mathfrak{A}, \alpha :: null)\ Sequence, id, (\mathfrak{A})\ Boolean] \Rightarrow \mathfrak{A}\ Boolean\ ((-)->exists_{seq}'(- \mid -))$

translations
 $X->exists_{seq}(x \mid P) == CONST\ OclExists\ X\ (\%x. P)$

2.10.15. Definition: Collect

definition $OclCollect :: [(\mathfrak{A}, \alpha :: null)\ Sequence, (\mathfrak{A}, \alpha)\ val \Rightarrow (\mathfrak{A}, \beta)\ val] \Rightarrow (\mathfrak{A}, \beta :: null)\ Sequence$

where $OclCollect\ S\ P = (S->iterate_{seq}(b; x = Sequence\{\} \mid x->prepend_{seq}(P\ b)))$

syntax
 $-OclCollectSeq :: [(\mathfrak{A}, \alpha :: null)\ Sequence, id, (\mathfrak{A})\ Boolean] \Rightarrow \mathfrak{A}\ Boolean\ ((-)->collect_{seq}'(- \mid -))$

translations

$X \rightarrow collect_{Seq}(x \mid P) == CONST \ OclCollect \ X \ (\%x. \ P)$

2.10.16. Definition: Select

definition $OclSelect$:: $[(\mathcal{A}, \alpha :: null) \ Sequence, (\mathcal{A}, \alpha) \ val \Rightarrow (\mathcal{A}) \ Boolean] \Rightarrow (\mathcal{A}, \alpha :: null) \ Sequence$
where $OclSelect \ S \ P =$
 $(S \rightarrow iterate_{Seq}(b; x = Sequence\{\} \mid \text{if } P \ b \text{ then } x \rightarrow prepend_{Seq}(b) \text{ else } x \text{ endif}))$

syntax

$-OclSelectSeq$:: $[(\mathcal{A}, \alpha :: null) \ Sequence, id, (\mathcal{A}) \ Boolean] \Rightarrow \mathcal{A} \ Boolean \ ((-) \rightarrow select_{Seq}'(-))$

translations

$X \rightarrow select_{Seq}(x \mid P) == CONST \ UML-Sequence.OclSelect \ X \ (\%x. \ P)$

2.10.17. Definition: Size

definition $OclSize$:: $[(\mathcal{A}, \alpha :: null) \ Sequence] \Rightarrow (\mathcal{A}) \ Integer \ ((-) \rightarrow size_{Seq}'())$
where $OclSize \ S = (S \rightarrow iterate_{Seq}(b; x = \mathbf{0} \mid x +_{int} \mathbf{1}))$

2.10.18. Definition: IsEmpty

definition $OclIsEmpty$:: $(\mathcal{A}, \alpha :: null) \ Sequence \Rightarrow \mathcal{A} \ Boolean$
where $OclIsEmpty \ x = ((v \ x \text{ and not } (\delta \ x)) \text{ or } ((OclSize \ x) \doteq \mathbf{0}))$
notation $OclIsEmpty \ (-) \rightarrow isEmpty_{Seq}'()$

2.10.19. Definition: NotEmpty

definition $OclNotEmpty$:: $(\mathcal{A}, \alpha :: null) \ Sequence \Rightarrow \mathcal{A} \ Boolean$
where $OclNotEmpty \ x = not(OclIsEmpty \ x)$
notation $OclNotEmpty \ (-) \rightarrow notEmpty_{Seq}'()$

2.10.20. Definition: Any

definition $OclANY$ $x = (\lambda \ \tau.$
 $\text{if } x \ \tau = \text{invalid } \tau \text{ then}$
 \perp
 else
 $\text{case drop (drop (Rep-Sequence}_{base} \ (x \ \tau))) \text{ of } [] \Rightarrow \perp$
 $\mid l \Rightarrow hd \ l)$
notation $OclANY \ (-) \rightarrow any_{Seq}'()$

2.10.21. Definition (future operators)

consts

$OclCount$:: $[(\mathcal{A}, \alpha :: null) \ Sequence, (\mathcal{A}, \alpha) \ Sequence] \Rightarrow \mathcal{A} \ Integer$

$OclSum$:: $(\mathcal{A}, \alpha :: null) \ Sequence \Rightarrow \mathcal{A} \ Integer$

notation $OclCount \ (-) \rightarrow count_{Seq}'()$

notation $OclSum \ (-) \rightarrow sum_{Seq}'()$

2.10.22. Logical Properties

2.10.23. Execution Laws with Invalid or Null as Argument

OclIterate

lemma *OclIterate-invalid*[simp,code-unfold]: $\text{invalid} \rightarrow \text{iterate}_{Seq}(a; x = A \mid P \ a \ x) = \text{invalid}$
 ⟨proof⟩

lemma *OclIterate-null*[simp,code-unfold]: $\text{null} \rightarrow \text{iterate}_{Seq}(a; x = A \mid P \ a \ x) = \text{invalid}$
 ⟨proof⟩

lemma *OclIterate-invalid-args*[simp,code-unfold]: $S \rightarrow \text{iterate}_{Seq}(a; x = \text{invalid} \mid P \ a \ x) = \text{invalid}$
 ⟨proof⟩

Context Passing

lemma *cp-OclIncluding*:

$(X \rightarrow \text{including}_{Seq}(x)) \ \tau = ((\lambda \ -. \ X \ \tau) \rightarrow \text{including}_{Seq}(\lambda \ -. \ x \ \tau)) \ \tau$
 ⟨proof⟩

lemma *cp-OclIterate*:

$(X \rightarrow \text{iterate}_{Seq}(a; x = A \mid P \ a \ x)) \ \tau =$
 $((\lambda \ -. \ X \ \tau) \rightarrow \text{iterate}_{Seq}(a; x = A \mid P \ a \ x)) \ \tau$
 ⟨proof⟩

lemmas *cp-intro''_{Seq}*[intro!,simp,code-unfold] =
cp-OclIncluding [THEN allI[THEN allI[THEN allI[THEN cpI2]], of OclIncluding]]

Const

2.10.24. General Algebraic Execution Rules

Execution Rules on Iterate

lemma *OclIterate-empty*[simp,code-unfold]: $\text{Sequence}\{\} \rightarrow \text{iterate}_{Seq}(a; x = A \mid P \ a \ x) = A$
 ⟨proof⟩

In particular, this does hold for $A = \text{null}$.

lemma *OclIterate-including*[simp,code-unfold]:

assumes *strict1* : $\bigwedge X. P \ \text{invalid} \ X = \text{invalid}$

and *P-valid-arg*: $\bigwedge \tau. (v \ A) \ \tau = (v \ (P \ a \ A)) \ \tau$

and *P-cp* : $\bigwedge x \ y \ \tau. P \ x \ y \ \tau = P \ (\lambda \ -. \ x \ \tau) \ y \ \tau$

and *P-cp'* : $\bigwedge x \ y \ \tau. P \ x \ y \ \tau = P \ x \ (\lambda \ -. \ y \ \tau) \ \tau$

shows $(S \rightarrow \text{including}_{Seq}(a)) \rightarrow \text{iterate}_{Seq}(b; x = A \mid P \ b \ x) = S \rightarrow \text{iterate}_{Seq}(b; x = P \ a \ A \mid P \ b \ x)$
 ⟨proof⟩

lemma *OclIterate-prepend*[simp,code-unfold]:

assumes *strict1* : $\bigwedge X. P \ \text{invalid} \ X = \text{invalid}$

and *strict2* : $\bigwedge X. P \ X \ \text{invalid} = \text{invalid}$

and *P-cp* : $\bigwedge x \ y \ \tau. P \ x \ y \ \tau = P \ (\lambda \ -. \ x \ \tau) \ y \ \tau$

and *P-cp'* : $\bigwedge x \ y \ \tau. P \ x \ y \ \tau = P \ x \ (\lambda \ -. \ y \ \tau) \ \tau$

shows $(S \rightarrow \text{prepend}_{Seq}(a)) \rightarrow \text{iterate}_{Seq}(b; x = A \mid P \ b \ x) = P \ a \ (S \rightarrow \text{iterate}_{Seq}(b; x = A \mid P \ b \ x))$
 ⟨proof⟩

2.10.25. Test Statements

instantiation *Sequence_{base}* :: (equal)equal
begin

definition $HOL.equal\ k\ l \longleftrightarrow (k::('a::equal)Sequence_{base}) = l$
instance $\langle proof \rangle$
end

lemma $equal_Sequence_{base_code}\ [code]:$
 $HOL.equal\ k\ (l::('a::\{equal,null\})Sequence_{base}) \longleftrightarrow Rep_Sequence_{base}\ k = Rep_Sequence_{base}\ l$
 $\langle proof \rangle$

Assert $\tau \models (Sequence\{\} \doteq Sequence\{\})$
Assert $\tau \models (Sequence\{\mathbf{1},\mathbf{2}\} \triangleq Sequence\{\}->prepend_{Seq}(\mathbf{2})->prepend_{Seq}(\mathbf{1}))$
Assert $\tau \models (Sequence\{\mathbf{1},invalid,\mathbf{2}\} \triangleq invalid)$
Assert $\tau \models (Sequence\{\mathbf{1},\mathbf{2}\}->prepend_{Seq}(null) \triangleq Sequence\{null,\mathbf{1},\mathbf{2}\})$
Assert $\tau \models (Sequence\{\mathbf{1},\mathbf{2}\}->including_{Seq}(null) \triangleq Sequence\{\mathbf{1},\mathbf{2},null\})$

end

theory *UML-Library*
imports
basic-types/UML-Boolean
basic-types/UML-Void
basic-types/UML-Integer
basic-types/UML-Real
basic-types/UML-String

collection-types/UML-Pair
collection-types/UML-Bag
collection-types/UML-Set
collection-types/UML-Sequence
begin

2.11. Miscellaneous Stuff

2.11.1. Definition: asBoolean

definition $OclAsBoolean_{Int} :: ('A) Integer \Rightarrow ('A) Boolean\ ((-)->oclAsType_{Int}\ '(Boolean'))$
where $OclAsBoolean_{Int}\ X = (\lambda\tau. \text{if } (\delta\ X)\ \tau = true\ \tau$
 $\quad \text{then } \perp^{\ulcorner X \urcorner} \neq 0_{\perp}$
 $\quad \text{else } invalid\ \tau)$

interpretation $OclAsBoolean_{Int} : profile-mono_d\ OclAsBoolean_{Int}\ \lambda x. \perp^{\ulcorner x \urcorner} \neq 0_{\perp}$
 $\langle proof \rangle$

definition $OclAsBoolean_{Real} :: ('A) Real \Rightarrow ('A) Boolean\ ((-)->oclAsType_{Real}\ '(Boolean'))$
where $OclAsBoolean_{Real}\ X = (\lambda\tau. \text{if } (\delta\ X)\ \tau = true\ \tau$
 $\quad \text{then } \perp^{\ulcorner X \urcorner} \neq 0_{\perp}$
 $\quad \text{else } invalid\ \tau)$

interpretation $OclAsBoolean_{Real} : profile-mono_d\ OclAsBoolean_{Real}\ \lambda x. \perp^{\ulcorner x \urcorner} \neq 0_{\perp}$
 $\langle proof \rangle$

2.11.2. Definition: asInteger

definition $OclAsInteger_{Real} :: ('A) Real \Rightarrow ('A) Integer ((-) \rightarrow oclAsType_{Real} (Integer'))$
where $OclAsInteger_{Real} X = (\lambda \tau. \text{if } (\delta X) \tau = \text{true } \tau$
 $\quad \text{then } \sqcup \text{floor } \lceil X \tau \rceil \sqcup$
 $\quad \text{else } \text{invalid } \tau)$

interpretation $OclAsInteger_{Real} : profile\text{-}mono_d \ OclAsInteger_{Real} \ \lambda x. \lfloor floor \ \lceil x \rceil \rfloor$
 $\langle proof \rangle$

2.11.3. Definition: asReal

definition $OclAsReal_{Int} :: ('\mathfrak{A}) \text{ Integer} \Rightarrow ('\mathfrak{A}) \text{ Real } ((-) \rightarrow oclAsType_{Int}'(Real'))$
where $OclAsReal_{Int} X = (\lambda \tau. \text{ if } (\delta X) \tau = \text{true } \tau$
 $\text{ then } \sqcup_{\text{real-of-int}} \lceil X \tau \rceil \sqcup$
 $\text{ else } \text{invalid } \tau)$

interpretation $OclAsReal_{Int} : profile\text{-}mono_d \ OclAsReal_{Int} \ \lambda x. \perp_{real\text{-}of\text{-}int} \ulcorner x \urcorner_{\perp}$
 $\langle proof \rangle$

lemma *Integer-subtype-of-Real*:

$$\text{assumes } \tau \models \delta \ X$$

shows $\tau \models X \rightarrow_{oclAsType_{Int}(Real)} oclAsType_{Real}(Integer) \triangleq X$
 $\langle proof \rangle$

2.11.4. Definition: asPair

definition $OclAsPair_{Seq} :: [(\mathfrak{A}, \alpha :: null) Sequence] \Rightarrow (\mathfrak{A}, \alpha :: null, \alpha :: null) Pair ((-) \rightarrow asPair_{Seq} '())$
where $OclAsPair_{Seq} S = (if\ S \rightarrow size_{Seq}() \doteq \mathbf{2}$
 then $Pair\{S \rightarrow at_{Seq}(\mathbf{0}), S \rightarrow at_{Seq}(\mathbf{1})\}$
 else *invalid*
 endif)

definition $OclAsPair_{Set} :: [(\mathcal{A}, \alpha :: null) Set] \Rightarrow (\mathcal{A}, \alpha :: null, \alpha :: null) Pair ((-) \rightarrow asPair_{Set} '())$
where $OclAsPair_{Set} S = (if\ S \rightarrow size_{Set}() \doteq 2$
 then let $v = S \rightarrow any_{Set}()$ *in*
 $Pair\{v, S \rightarrow excluding_{Set}(v) \rightarrow any_{Set}()\}$
 else invalid
 endif)

definition $OclAsPair_{Bag} :: [(('A, 'α::null) Bag) ⇒ ('A, 'α::null, 'α::null) Pair ((-) → asPair_{Bag} '())]$
where $OclAsPair_{Bag} S = (if\ S → size_{Bag}() \doteq \mathbf{2}$
 then let $v = S → any_{Bag}()$ in
 $Pair\{v, S → excluding_{Bag}(v) → any_{Bag}()\}$
 else invalid
 endif)

2.11.5. Definition: asSet

definition $OclAsSet_{Seq} :: [(\mathfrak{A}, \alpha :: null) Sequence] \Rightarrow (\mathfrak{A}, \alpha) Set ((-) \rightarrow asSet_{Seq} '())$
where $OclAsSet_{Seq} S = (S \rightarrow iterate_{Seq}(b; x = Set\{\} \mid x \rightarrow including_{Set}(b)))$

definition $OclAsSet_{Pair} :: [(\mathfrak{A}, \alpha :: null, \alpha :: null) \text{ Pair}] \Rightarrow (\mathfrak{A}, \alpha) \text{ Set } ((-) \rightarrow asSet_{Pair} '())$
where $OclAsSet_{Pair} S = Set\{S.First(), S.Second()\}$

definition $OclAsSet_{Bag} :: (\mathfrak{A}, \alpha :: null) \text{ Bag} \Rightarrow (\mathfrak{A}, \alpha) \text{ Set } ((-) \rightarrow asSet_{Bag} '())$
where $OclAsSet_{Bag} S = (\lambda \tau. \text{ if } (\delta S) \tau = true \text{ then } Abs\text{-}Set_{base \perp} Rep\text{-}Set\text{-}base S \tau \perp)$

*else if (v S) $\tau = \text{true}$ τ then null τ
else invalid τ)*

2.11.6. Definition: asSequence

definition $OclAsSeq_{Set} :: [(\mathfrak{A}, \alpha :: \text{null}) Set] \Rightarrow (\mathfrak{A}, \alpha) Sequence ((-) \rightarrow asSequence_{Set} '())$

where $OclAsSeq_{Set} S = (S \rightarrow iterate_{Set}(b; x = Sequence\{\} \mid x \rightarrow including_{Seq}(b)))$

definition $OclAsSeq_{Bag} :: [(\mathfrak{A}, \alpha :: \text{null}) Bag] \Rightarrow (\mathfrak{A}, \alpha) Sequence ((-) \rightarrow asSequence_{Bag} '())$

where $OclAsSeq_{Bag} S = (S \rightarrow iterate_{Bag}(b; x = Sequence\{\} \mid x \rightarrow including_{Seq}(b)))$

definition $OclAsSeq_{Pair} :: [(\mathfrak{A}, \alpha :: \text{null}, \alpha' :: \text{null}) Pair] \Rightarrow (\mathfrak{A}, \alpha) Sequence ((-) \rightarrow asSequence_{Pair} '())$

where $OclAsSeq_{Pair} S = Sequence\{S.First(), S.Second()\}$

2.11.7. Definition: asBag

definition $OclAsBag_{Seq} :: [(\mathfrak{A}, \alpha :: \text{null}) Sequence] \Rightarrow (\mathfrak{A}, \alpha) Bag ((-) \rightarrow asBag_{Seq} '())$

where $OclAsBag_{Seq} S = (\lambda \tau. Abs-Bag_{base} \sqcup \lambda s. \text{if list-ex } ((=) s) {}^{\top} Rep-Sequence_{base} (S \tau)^{\top} \text{ then } 1 \text{ else } 0_{\sqcup})$

definition $OclAsBag_{Set} :: [(\mathfrak{A}, \alpha :: \text{null}) Set] \Rightarrow (\mathfrak{A}, \alpha) Bag ((-) \rightarrow asBag_{Set} '())$

where $OclAsBag_{Set} S = (\lambda \tau. Abs-Bag_{base} \sqcup \lambda s. \text{if } s \in {}^{\top} Rep-Set_{base} (S \tau)^{\top} \text{ then } 1 \text{ else } 0_{\sqcup})$

lemma assumes $\tau \models \delta (S \rightarrow size_{Set}())$

shows $OclAsBag_{Set} S = (S \rightarrow iterate_{Set}(b; x = Bag\{\} \mid x \rightarrow including_{Bag}(b)))$

<proof>

definition $OclAsBag_{Pair} :: [(\mathfrak{A}, \alpha :: \text{null}, \alpha' :: \text{null}) Pair] \Rightarrow (\mathfrak{A}, \alpha) Bag ((-) \rightarrow asBag_{Pair} '())$

where $OclAsBag_{Pair} S = Bag\{S.First(), S.Second()\}$

2.11.8. Collection Types

lemmas $cp\text{-}intro'' [intro!, simp, code\text{-}unfold] =$
 $cp\text{-}intro'$

$cp\text{-}intro''_{Set}$
 $cp\text{-}intro''_{Seq}$

2.11.9. Test Statements

lemma $syntax\text{-}test: Set\{\mathbf{2}, \mathbf{1}\} = (Set\{\} \rightarrow including_{Set}(\mathbf{1}) \rightarrow including_{Set}(\mathbf{2}))$

<proof>

Here is an example of a nested collection.

lemma $semantic\text{-}test2:$

assumes $H: (Set\{\mathbf{2}\} \doteq null) = (false :: (\mathfrak{A}) Boolean)$

shows $(\tau :: (\mathfrak{A}) st) \models (Set\{Set\{\mathbf{2}\}, null\} \rightarrow includes_{Set}(null))$

<proof>

lemma $short\text{-}cut'[simp, code\text{-}unfold]: (\mathbf{8} \doteq \mathbf{6}) = false$

<proof>

lemma $short\text{-}cut''[simp, code\text{-}unfold]: (\mathbf{2} \doteq \mathbf{1}) = false$

<proof>

lemma $short\text{-}cut'''[simp, code\text{-}unfold]: (\mathbf{1} \doteq \mathbf{2}) = false$

<proof>

Assert $\tau \models (\mathbf{0} <_{int} \mathbf{2}) \text{ and } (\mathbf{0} <_{int} \mathbf{1})$

Elementary computations on Sets.

declare *OclSelect-body-def* [*simp*]

Assert $\neg (\tau \models v(\text{invalid}::('A, 'A::null) \text{ Set}))$
Assert $\tau \models v(\text{null}::('A, 'A::null) \text{ Set})$
Assert $\neg (\tau \models \delta(\text{null}::('A, 'A::null) \text{ Set}))$
Assert $\tau \models v(\text{Set}\{\})$
Assert $\tau \models v(\text{Set}\{\text{Set}\{\mathbf{2}\}, \text{null}\})$
Assert $\tau \models \delta(\text{Set}\{\text{Set}\{\mathbf{2}\}, \text{null}\})$
Assert $\tau \models (\text{Set}\{\mathbf{2}, \mathbf{1}\} \rightarrow \text{includes}_{Set}(\mathbf{1}))$
Assert $\neg (\tau \models (\text{Set}\{\mathbf{2}\} \rightarrow \text{includes}_{Set}(\mathbf{1})))$
Assert $\neg (\tau \models (\text{Set}\{\mathbf{2}, \mathbf{1}\} \rightarrow \text{includes}_{Set}(\text{null})))$
Assert $\tau \models (\text{Set}\{\mathbf{2}, \text{null}\} \rightarrow \text{includes}_{Set}(\text{null}))$
Assert $\tau \models (\text{Set}\{\text{null}, \mathbf{2}\} \rightarrow \text{includes}_{Set}(\text{null}))$

Assert $\tau \models ((\text{Set}\{\}) \rightarrow \text{forAll}_{Set}(z \mid \mathbf{0} <_{int} z))$

Assert $\tau \models ((\text{Set}\{\mathbf{2}, \mathbf{1}\}) \rightarrow \text{forAll}_{Set}(z \mid \mathbf{0} <_{int} z))$
Assert $\neg (\tau \models ((\text{Set}\{\mathbf{2}, \mathbf{1}\}) \rightarrow \text{exists}_{Set}(z \mid z <_{int} \mathbf{0})))$
Assert $\neg (\tau \models (\delta(\text{Set}\{\mathbf{2}, \text{null}\}) \rightarrow \text{forAll}_{Set}(z \mid \mathbf{0} <_{int} z)))$
Assert $\neg (\tau \models ((\text{Set}\{\mathbf{2}, \text{null}\}) \rightarrow \text{forAll}_{Set}(z \mid \mathbf{0} <_{int} z)))$
Assert $\tau \models ((\text{Set}\{\mathbf{2}, \text{null}\}) \rightarrow \text{exists}_{Set}(z \mid \mathbf{0} <_{int} z))$

Assert $\neg (\tau \models (\text{Set}\{\text{null}::'a \text{ Boolean}\} \doteq \text{Set}\{\}))$
Assert $\neg (\tau \models (\text{Set}\{\text{null}::'a \text{ Integer}\} \doteq \text{Set}\{\}))$

Assert $\neg (\tau \models (\text{Set}\{\text{true}\} \doteq \text{Set}\{\text{false}\}))$
Assert $\neg (\tau \models (\text{Set}\{\text{true}, \text{true}\} \doteq \text{Set}\{\text{false}\}))$
Assert $\neg (\tau \models (\text{Set}\{\mathbf{2}\} \doteq \text{Set}\{\mathbf{1}\}))$
Assert $\tau \models (\text{Set}\{\mathbf{2}, \text{null}, \mathbf{2}\} \doteq \text{Set}\{\text{null}, \mathbf{2}\})$
Assert $\tau \models (\text{Set}\{\mathbf{1}, \text{null}, \mathbf{2}\} <> \text{Set}\{\text{null}, \mathbf{2}\})$
Assert $\tau \models (\text{Set}\{\text{Set}\{\mathbf{2}, \text{null}\}\} \doteq \text{Set}\{\text{Set}\{\text{null}, \mathbf{2}\}\})$
Assert $\tau \models (\text{Set}\{\text{Set}\{\mathbf{2}, \text{null}\}\} <> \text{Set}\{\text{Set}\{\text{null}, \mathbf{2}\}, \text{null}\})$
Assert $\tau \models (\text{Set}\{\text{null}\} \rightarrow \text{select}_{Set}(x \mid \text{not } x) \doteq \text{Set}\{\text{null}\})$
Assert $\tau \models (\text{Set}\{\text{null}\} \rightarrow \text{reject}_{Set}(x \mid \text{not } x) \doteq \text{Set}\{\text{null}\})$

lemma *const* (*Set*{*Set*{**2**, *null*}, *invalid*}) *<proof>*

Elementary computations on Sequences.

Assert $\neg (\tau \models v(\text{invalid}::('A, 'A::null) \text{ Sequence}))$
Assert $\tau \models v(\text{null}::('A, 'A::null) \text{ Sequence})$
Assert $\neg (\tau \models \delta(\text{null}::('A, 'A::null) \text{ Sequence}))$
Assert $\tau \models v(\text{Sequence}\{\})$

lemma *const* (*Sequence*{*Sequence*{**2**, *null*}, *invalid*}) *<proof>*

end

3. Formalization III: UML/OCL constructs: State Operations and Objects

```
theory UML-State
imports UML-Library
begin
```

```
no-notation None ( $\perp$ )
```

3.1. Introduction: States over Typed Object Universes

In the following, we will refine the concepts of a user-defined data-model (implied by a class-diagram) as well as the notion of state used in the previous section to much more detail. Surprisingly, even without a concrete notion of an objects and a universe of object representation, the generic infrastructure of state-related operations is fairly rich.

3.1.1. Fundamental Properties on Objects: Core Referential Equality

Definition

Generic referential equality - to be used for instantiations with concrete object types ...

```
definition StrictRefEqObject :: ('A,'a::{object,null})val  $\Rightarrow$  ('A,'a)val  $\Rightarrow$  ('A)Boolean
where
  StrictRefEqObject x y
     $\equiv \lambda \tau. \text{if } (v\ x)\ \tau = \text{true} \wedge (v\ y)\ \tau = \text{true} \wedge$ 
      then if  $x\ \tau = \text{null} \vee y\ \tau = \text{null}$ 
        then  $\perp x\ \tau = \text{null} \wedge y\ \tau = \text{null} \perp$ 
        else  $\perp(\text{oid-of } (x\ \tau)) = (\text{oid-of } (y\ \tau)) \perp$ 
      else invalid  $\tau$ 
```

Strictness and context passing

```
lemma StrictRefEqObject-strict1[simp,code-unfold] :
  (StrictRefEqObject x invalid) = invalid
<proof>
```

```
lemma StrictRefEqObject-strict2[simp,code-unfold] :
  (StrictRefEqObject invalid x) = invalid
<proof>
```

```
lemma cp-StrictRefEqObject:
  (StrictRefEqObject x y  $\tau$ ) = (StrictRefEqObject ( $\lambda\cdot. x\ \tau$ ) ( $\lambda\cdot. y\ \tau$ ))  $\tau$ 
<proof>lemmas cp0-StrictRefEqObject= cp-StrictRefEqObject[THEN allI[THEN allI[THEN allI[THEN cpI2]],
  of StrictRefEqObject]]
```

```
lemmas cp-intro''[intro!,simp,code-unfold] =
  cp-intro''
  cp-StrictRefEqObject[THEN allI[THEN allI[THEN allI[THEN cpI2]],
    of StrictRefEqObject]]
```

3.1.2. Logic and Algebraic Layer on Object

Validity and Definedness Properties

We derive the usual laws on definedness for (generic) object equality:

lemma *StrictRefEqObject-defargs:*

$\tau \models (\text{StrictRefEq}_{\text{Object}}\ x\ (y::(\mathfrak{A}, 'a::\{\text{null}, \text{object}\})\text{val})) \implies (\tau \models (v\ x)) \wedge (\tau \models (v\ y))$
 $\langle \text{proof} \rangle$

lemma *defined-StrictRefEqObject-I:*

assumes $\text{val-}x : \tau \models v\ x$
assumes $\text{val-}x : \tau \models v\ y$
shows $\tau \models \delta\ (\text{StrictRefEq}_{\text{Object}}\ x\ y)$
 $\langle \text{proof} \rangle$

lemma *StrictRefEqObject-def-homo :*

$\delta(\text{StrictRefEq}_{\text{Object}}\ x\ (y::(\mathfrak{A}, 'a::\{\text{null}, \text{object}\})\text{val})) = ((v\ x)\ \text{and}\ (v\ y))$
 $\langle \text{proof} \rangle$

Symmetry

lemma *StrictRefEqObject-sym :*

assumes $x\text{-val} : \tau \models v\ x$
shows $\tau \models \text{StrictRefEq}_{\text{Object}}\ x\ x$
 $\langle \text{proof} \rangle$

Behavior vs StrongEq

It remains to clarify the role of the state invariant $\text{inv}_\sigma(\sigma)$ mentioned above that states the condition that there is a “one-to-one” correspondence between object representations and oid’s: $\forall \text{oid} \in \text{dom } \sigma. \text{oid} = \text{OidOf } \lceil \sigma(\text{oid}) \rceil$. This condition is also mentioned in [32, Annex A] and goes back to Richters [33]; however, we state this condition as an invariant on states rather than a global axiom. It can, therefore, not be taken for granted that an oid makes sense both in pre- and post-states of OCL expressions.

We capture this invariant in the predicate WFF :

definition $WFF :: (\mathfrak{A}::\text{object})st \Rightarrow \text{bool}$

where $WFF\ \tau = ((\forall\ x \in \text{ran}(\text{heap}(\text{fst } \tau)). \lceil \text{heap}(\text{fst } \tau)\ (\text{oid-of } x) \rceil = x) \wedge$
 $(\forall\ x \in \text{ran}(\text{heap}(\text{snd } \tau)). \lceil \text{heap}(\text{snd } \tau)\ (\text{oid-of } x) \rceil = x))$

It turns out that WFF is a key-concept for linking strict referential equality to logical equality: in well-formed states (i.e. those states where the self (oid-of) field contains the pointer to which the object is associated to in the state), referential equality coincides with logical equality.

We turn now to the generic definition of referential equality on objects: Equality on objects in a state is reduced to equality on the references to these objects. As in HOL-OCL [6, 8], we will store the reference of an object inside the object in a (ghost) field. By establishing certain invariants (“consistent state”), it can be assured that there is a “one-to-one-correspondence” of objects to their references—and therefore the definition below behaves as we expect.

Generic Referential Equality enjoys the usual properties: (quasi) reflexivity, symmetry, transitivity, substitutivity for defined values. For type-technical reasons, for each concrete object type, the equality \doteq is defined by generic referential equality.

theorem *StrictRefEqObject-vs-StrongEq:*

assumes $WFF: WFF\ \tau$
and $\text{valid-}x: \tau \models (v\ x)$
and $\text{valid-}y: \tau \models (v\ y)$
and $x\text{-present-pre}: x\ \tau \in \text{ran}\ (\text{heap}(\text{fst } \tau))$
and $y\text{-present-pre}: y\ \tau \in \text{ran}\ (\text{heap}(\text{fst } \tau))$

and $x\text{-present-post}: x \tau \in \text{ran } (\text{heap}(\text{snd } \tau))$
and $y\text{-present-post}: y \tau \in \text{ran } (\text{heap}(\text{snd } \tau))$

shows $(\tau \models (\text{StrictRefEq}_{\text{Object}} x y)) = (\tau \models (x \triangleq y))$
 $\langle \text{proof} \rangle$

theorem $\text{StrictRefEq}_{\text{Object}}\text{-vs-StrongEq}'$:

assumes $\text{WFF}: \text{WFF } \tau$

and $\text{valid-}x: \tau \models (v (x :: ('A::\text{object}, 'a::\{\text{null}, \text{object}\})\text{val}))$

and $\text{valid-}y: \tau \models (v y)$

and $\text{oid-preserve}: \bigwedge x. x \in \text{ran } (\text{heap}(\text{fst } \tau)) \vee x \in \text{ran } (\text{heap}(\text{snd } \tau)) \implies$
 $H x \neq \perp \implies \text{oid-of } (H x) = \text{oid-of } x$

and $\text{xy-together}: x \tau \in H \text{ ' ran } (\text{heap}(\text{fst } \tau)) \wedge y \tau \in H \text{ ' ran } (\text{heap}(\text{fst } \tau)) \vee$
 $x \tau \in H \text{ ' ran } (\text{heap}(\text{snd } \tau)) \wedge y \tau \in H \text{ ' ran } (\text{heap}(\text{snd } \tau))$

shows $(\tau \models (\text{StrictRefEq}_{\text{Object}} x y)) = (\tau \models (x \triangleq y))$
 $\langle \text{proof} \rangle$

So, if two object descriptions live in the same state (both pre or post), the referential equality on objects implies in a WFF state the logical equality.

3.2. Operations on Object

3.2.1. Initial States (for testing and code generation)

definition $\tau_0 :: ('A)\text{st}$

where $\tau_0 \equiv ((\text{heap} = \text{Map.empty}, \text{assocs} = \text{Map.empty}),$
 $(\text{heap} = \text{Map.empty}, \text{assocs} = \text{Map.empty}))$

3.2.2. OclAllInstances

To denote OCL types occurring in OCL expressions syntactically—as, for example, as “argument” of `oclAllInstances()`—we use the inverses of the injection functions into the object universes; we show that this is a sufficient “characterization.”

definition $\text{OclAllInstances-generic} :: ((('A::\text{object}) \text{st} \Rightarrow 'A \text{ state}) \Rightarrow ('A::\text{object} \rightarrow 'a) \Rightarrow$
 $('A, 'a \text{ option option}) \text{Set}$

where $\text{OclAllInstances-generic fst-snd } H =$
 $(\lambda \tau. \text{Abs-Set}_{\text{base}} \sqsubseteq \text{Some } ' ((H \text{ ' ran } (\text{heap } (\text{fst-snd } \tau))) - \{ \text{None} \}) \sqcup)$

lemma $\text{OclAllInstances-generic-defined}: \tau \models \delta (\text{OclAllInstances-generic pre-post } H)$
 $\langle \text{proof} \rangle$

lemma $\text{OclAllInstances-generic-init-empty}$:

assumes $[\text{simp}]: \bigwedge x. \text{pre-post } (x, x) = x$

shows $\tau_0 \models \text{OclAllInstances-generic pre-post } H \triangleq \text{Set}\{\}$

$\langle \text{proof} \rangle$

lemma $\text{represented-generic-objects-nonnul}$:

assumes $A: \tau \models ((\text{OclAllInstances-generic pre-post } (H::('A::\text{object} \rightarrow 'a))) \rightarrow \text{includes}_{\text{Set}}(x))$

shows $\tau \models \text{not}(x \triangleq \text{null})$

$\langle \text{proof} \rangle$

lemma $\text{represented-generic-objects-defined}$:

assumes $A: \tau \models ((\text{OclAllInstances-generic pre-post } (H::('A::\text{object} \rightarrow 'a))) \rightarrow \text{includes}_{\text{Set}}(x))$

shows $\tau \models \delta (\text{OclAllInstances-generic pre-post } H) \wedge \tau \models \delta x$

$\langle \text{proof} \rangle$

One way to establish the actual presence of an object representation in a state is:

definition *is-represented-in-state* $\text{fst-snd } x \ H \ \tau = (x \ \tau \in (\text{Some } o \ H) \ \text{'ran } (\text{heap } (\text{fst-snd } \tau)))$

lemma *represented-generic-objects-in-state*:

assumes $A: \tau \models (\text{OclAllInstances-generic pre-post } H) \rightarrow \text{includes}_{\text{Set}}(x)$

shows *is-represented-in-state pre-post* $x \ H \ \tau$

$\langle \text{proof} \rangle$

lemma *state-update-vs-allInstances-generic-empty*:

assumes $[\text{simp}]: \bigwedge a. \text{pre-post } (\text{mk } a) = a$

shows $(\text{mk } (\text{heap} = \text{Map.empty}, \text{assocs} = A)) \models \text{OclAllInstances-generic pre-post Type} \doteq \text{Set}\{\}$

$\langle \text{proof} \rangle$

Here comes a couple of operational rules that allow to infer the value of `oclAllInstances` from the context τ . These rules are a special-case in the sense that they are the only rules that relate statements with *different* τ 's. For that reason, new concepts like “constant contexts P” are necessary (for which we do not elaborate an own theory for reasons of space limitations; in examples, we will prove resulting constraints straight forward by hand).

lemma *state-update-vs-allInstances-generic-including'*:

assumes $[\text{simp}]: \bigwedge a. \text{pre-post } (\text{mk } a) = a$

assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$

and $\text{Type Object} \neq \text{None}$

shows $(\text{OclAllInstances-generic pre-post Type})$

$(\text{mk } (\text{heap} = \sigma'(\text{oid} \mapsto \text{Object}), \text{assocs} = A))$

$=$

$((\text{OclAllInstances-generic pre-post Type}) \rightarrow \text{including}_{\text{Set}}(\lambda \cdot. \perp \text{ drop } (\text{Type Object}) \perp))$

$(\text{mk } (\text{heap} = \sigma', \text{assocs} = A))$

$\langle \text{proof} \rangle$

lemma *state-update-vs-allInstances-generic-including*:

assumes $[\text{simp}]: \bigwedge a. \text{pre-post } (\text{mk } a) = a$

assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$

and $\text{Type Object} \neq \text{None}$

shows $(\text{OclAllInstances-generic pre-post Type})$

$(\text{mk } (\text{heap} = \sigma'(\text{oid} \mapsto \text{Object}), \text{assocs} = A))$

$=$

$((\lambda \cdot. (\text{OclAllInstances-generic pre-post Type})$

$(\text{mk } (\text{heap} = \sigma', \text{assocs} = A))) \rightarrow \text{including}_{\text{Set}}(\lambda \cdot. \perp \text{ drop } (\text{Type Object}) \perp))$

$(\text{mk } (\text{heap} = \sigma'(\text{oid} \mapsto \text{Object}), \text{assocs} = A))$

$\langle \text{proof} \rangle$

lemma *state-update-vs-allInstances-generic-noincluding'*:

assumes $[\text{simp}]: \bigwedge a. \text{pre-post } (\text{mk } a) = a$

assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$

and $\text{Type Object} = \text{None}$

shows $(\text{OclAllInstances-generic pre-post Type})$

$(\text{mk } (\text{heap} = \sigma'(\text{oid} \mapsto \text{Object}), \text{assocs} = A))$

$=$

$(\text{OclAllInstances-generic pre-post Type})$

$(\text{mk } (\text{heap} = \sigma', \text{assocs} = A))$

$\langle \text{proof} \rangle$

theorem *state-update-vs-allInstances-generic-ntc*:
assumes [simp]: $\bigwedge a. \text{pre-post } (mk\ a) = a$
assumes *oid-def*: $oid \notin \text{dom } \sigma'$
and *non-type-conform*: $\text{Type Object} = \text{None}$
and *cp-ctxt*: $cp\ P$
and *const-ctxt*: $\bigwedge X. \text{const } X \implies \text{const } (P\ X)$
shows $(mk\ (\llbracket \text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}=A \rrbracket) \models P\ (\text{OclAllInstances-generic pre-post Type})) =$
 $(mk\ (\llbracket \text{heap}=\sigma', \text{assocs}=A \rrbracket) \models P\ (\text{OclAllInstances-generic pre-post Type}))$
(is $(? \tau \models P\ ?\varphi) = (? \tau' \models P\ ?\varphi)$
 $\langle \text{proof} \rangle$

theorem *state-update-vs-allInstances-generic-tc*:
assumes [simp]: $\bigwedge a. \text{pre-post } (mk\ a) = a$
assumes *oid-def*: $oid \notin \text{dom } \sigma'$
and *type-conform*: $\text{Type Object} \neq \text{None}$
and *cp-ctxt*: $cp\ P$
and *const-ctxt*: $\bigwedge X. \text{const } X \implies \text{const } (P\ X)$
shows $(mk\ (\llbracket \text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}=A \rrbracket) \models P\ (\text{OclAllInstances-generic pre-post Type})) =$
 $(mk\ (\llbracket \text{heap}=\sigma', \text{assocs}=A \rrbracket) \models P\ ((\text{OclAllInstances-generic pre-post Type})$
 $\quad \rightarrow \text{including}_{Set}(\lambda \cdot. \llbracket \text{Type Object} \rrbracket)))$
(is $(? \tau \models P\ ?\varphi) = (? \tau' \models P\ ?\varphi')$
 $\langle \text{proof} \rangle$

declare *OclAllInstances-generic-def* [simp]

OclAllInstances (@post)

definition *OclAllInstances-at-post* :: $(\mathfrak{A} :: \text{object} \rightarrow 'a) \Rightarrow (\mathfrak{A}, 'a \text{ option option}) \text{ Set}$
 $(\cdot . \text{allInstances}'())$

where *OclAllInstances-at-post* = *OclAllInstances-generic snd*

lemma *OclAllInstances-at-post-defined*: $\tau \models \delta\ (H\ .\text{allInstances}())$
 $\langle \text{proof} \rangle$

lemma $\tau_0 \models H\ .\text{allInstances}() \triangleq \text{Set}\{\}$
 $\langle \text{proof} \rangle$

lemma *represented-at-post-objects-nonnull*:
assumes $A: \tau \models (((H :: (\mathfrak{A} :: \text{object} \rightarrow 'a)).\text{allInstances}()) \rightarrow \text{includes}_{Set}(x))$
shows $\tau \models \text{not}(x \triangleq \text{null})$
 $\langle \text{proof} \rangle$

lemma *represented-at-post-objects-defined*:
assumes $A: \tau \models (((H :: (\mathfrak{A} :: \text{object} \rightarrow 'a)).\text{allInstances}()) \rightarrow \text{includes}_{Set}(x))$
shows $\tau \models \delta\ (H\ .\text{allInstances}()) \wedge \tau \models \delta\ x$
 $\langle \text{proof} \rangle$

One way to establish the actual presence of an object representation in a state is:

lemma
assumes $A: \tau \models H\ .\text{allInstances}() \rightarrow \text{includes}_{Set}(x)$
shows *is-represented-in-state* *snd* $x\ H\ \tau$
 $\langle \text{proof} \rangle$

lemma *state-update-vs-allInstances-at-post-empty*:
shows $(\sigma, (\llbracket \text{heap}=\text{Map.empty}, \text{assocs}=A \rrbracket)) \models \text{Type} .\text{allInstances}() \triangleq \text{Set}\{\}$

$\langle \text{proof} \rangle$

Here comes a couple of operational rules that allow to infer the value of `oclAllInstances` from the context τ . These rules are a special-case in the sense that they are the only rules that relate statements with *different* τ 's. For that reason, new concepts like “constant contexts P ” are necessary (for which we do not elaborate an own theory for reasons of space limitations; in examples, we will prove resulting constraints straight forward by hand).

lemma *state-update-vs-allInstances-at-post-including'*:

assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$
and $\text{Type Object} \neq \text{None}$
shows $(\text{Type .allInstances}())$
 $(\sigma, (\text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}=A))$
 $=$
 $((\text{Type .allInstances}()) \rightarrow \text{includingSet}(\lambda \cdot \cdot \cdot \text{drop}(\text{Type Object}) \cdot))$
 $(\sigma, (\text{heap}=\sigma', \text{assocs}=A))$

$\langle \text{proof} \rangle$

lemma *state-update-vs-allInstances-at-post-including*:

assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$
and $\text{Type Object} \neq \text{None}$
shows $(\text{Type .allInstances}())$
 $(\sigma, (\text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}=A))$
 $=$
 $((\lambda \cdot. (\text{Type .allInstances}())$
 $(\sigma, (\text{heap}=\sigma', \text{assocs}=A))) \rightarrow \text{includingSet}(\lambda \cdot \cdot \cdot \text{drop}(\text{Type Object}) \cdot))$
 $(\sigma, (\text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}=A))$

$\langle \text{proof} \rangle$

lemma *state-update-vs-allInstances-at-post-noincluding'*:

assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$
and $\text{Type Object} = \text{None}$
shows $(\text{Type .allInstances}())$
 $(\sigma, (\text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}=A))$
 $=$
 $(\text{Type .allInstances}())$
 $(\sigma, (\text{heap}=\sigma', \text{assocs}=A))$

$\langle \text{proof} \rangle$

theorem *state-update-vs-allInstances-at-post-ntc*:

assumes *oid-def*: $\text{oid} \notin \text{dom } \sigma'$
and *non-type-conform*: $\text{Type Object} = \text{None}$
and *cp-ctxt*: $\text{cp } P$
and *const-ctxt*: $\bigwedge X. \text{const } X \implies \text{const } (P X)$
shows $((\sigma, (\text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}=A)) \models (P(\text{Type .allInstances}())))) =$
 $((\sigma, (\text{heap}=\sigma', \text{assocs}=A)) \models (P(\text{Type .allInstances}()))))$

$\langle \text{proof} \rangle$

theorem *state-update-vs-allInstances-at-post-tc*:

assumes *oid-def*: $\text{oid} \notin \text{dom } \sigma'$
and *type-conform*: $\text{Type Object} \neq \text{None}$
and *cp-ctxt*: $\text{cp } P$
and *const-ctxt*: $\bigwedge X. \text{const } X \implies \text{const } (P X)$
shows $((\sigma, (\text{heap}=\sigma'(\text{oid} \mapsto \text{Object}), \text{assocs}=A)) \models (P(\text{Type .allInstances}())))) =$
 $((\sigma, (\text{heap}=\sigma', \text{assocs}=A)) \models (P(\text{Type .allInstances}()))))$

$\rightarrow \text{including}_{\text{Set}}(\lambda \cdot \cdot \cdot \perp (\text{Type Object}) \cdot \cdot \cdot))$

$\langle \text{proof} \rangle$

OclAllInstances (@pre)

definition $\text{OclAllInstances-at-pre} :: ('A :: \text{object} \rightarrow 'a) \Rightarrow ('A, 'a \text{ option option}) \text{ Set}$
 $(\cdot \cdot \cdot \text{allInstances@pre}('))$

where $\text{OclAllInstances-at-pre} = \text{OclAllInstances-generic fst}$

lemma $\text{OclAllInstances-at-pre-defined}: \tau \models \delta (H \cdot \text{allInstances@pre}())$

$\langle \text{proof} \rangle$

lemma $\tau_0 \models H \cdot \text{allInstances@pre}() \triangleq \text{Set}\{\}$

$\langle \text{proof} \rangle$

lemma $\text{represented-at-pre-objects-nonnull}$:

assumes $A: \tau \models (((H :: ('A :: \text{object} \rightarrow 'a)).\text{allInstances@pre}()) \rightarrow \text{includes}_{\text{Set}}(x))$

shows $\tau \models \text{not}(x \triangleq \text{null})$

$\langle \text{proof} \rangle$

lemma $\text{represented-at-pre-objects-defined}$:

assumes $A: \tau \models (((H :: ('A :: \text{object} \rightarrow 'a)).\text{allInstances@pre}()) \rightarrow \text{includes}_{\text{Set}}(x))$

shows $\tau \models \delta (H \cdot \text{allInstances@pre}()) \wedge \tau \models \delta x$

$\langle \text{proof} \rangle$

One way to establish the actual presence of an object representation in a state is:

lemma

assumes $A: \tau \models H \cdot \text{allInstances@pre}() \rightarrow \text{includes}_{\text{Set}}(x)$

shows $\text{is-represented-in-state fst } x \ H \ \tau$

$\langle \text{proof} \rangle$

lemma $\text{state-update-vs-allInstances-at-pre-empty}$:

shows $(\langle \text{heap} = \text{Map.empty}, \text{assocs} = A \rangle, \sigma) \models \text{Type} \cdot \text{allInstances@pre}() \doteq \text{Set}\{\}$

$\langle \text{proof} \rangle$

Here comes a couple of operational rules that allow to infer the value of $\text{oclAllInstances@pre}$ from the context τ . These rules are a special-case in the sense that they are the only rules that relate statements with *different* τ 's. For that reason, new concepts like “constant contexts P” are necessary (for which we do not elaborate an own theory for reasons of space limitations; in examples, we will prove resulting constraints straight forward by hand).

lemma $\text{state-update-vs-allInstances-at-pre-including'}$:

assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$

and $\text{Type Object} \neq \text{None}$

shows $(\text{Type} \cdot \text{allInstances@pre}())$

$(\langle \text{heap} = \sigma'(\text{oid} \mapsto \text{Object}), \text{assocs} = A \rangle, \sigma)$

$=$

$((\text{Type} \cdot \text{allInstances@pre}()) \rightarrow \text{including}_{\text{Set}}(\lambda \cdot \cdot \cdot \perp \text{drop}(\text{Type Object}) \cdot \cdot \cdot))$

$(\langle \text{heap} = \sigma', \text{assocs} = A \rangle, \sigma)$

$\langle \text{proof} \rangle$

lemma $\text{state-update-vs-allInstances-at-pre-including}$:

assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$

and $\text{Type Object} \neq \text{None}$

shows $(\text{Type} \cdot \text{allInstances@pre}())$

$(\llbracket \text{heap} = \sigma'(\text{oid} \mapsto \text{Object}) \rrbracket, \text{assocs} = A \rrbracket, \sigma)$
 $=$
 $(\lambda \cdot. (\text{Type} . \text{allInstances}@pre()))$
 $(\llbracket \text{heap} = \sigma', \text{assocs} = A \rrbracket, \sigma) \rightarrow \text{including}_{\text{Set}}(\lambda \cdot. \perp \text{ drop } (\text{Type} \text{ Object}) \perp)$
 $(\llbracket \text{heap} = \sigma'(\text{oid} \mapsto \text{Object}) \rrbracket, \text{assocs} = A \rrbracket, \sigma)$
 $\langle \text{proof} \rangle$

lemma *state-update-vs-allInstances-at-pre-noincluding'*:

assumes $\bigwedge x. \sigma' \text{ oid} = \text{Some } x \implies x = \text{Object}$

and $\text{Type Object} = \text{None}$

shows $(\text{Type} . \text{allInstances}@pre())$

$(\llbracket \text{heap} = \sigma'(\text{oid} \mapsto \text{Object}) \rrbracket, \text{assocs} = A \rrbracket, \sigma)$

$=$

$(\text{Type} . \text{allInstances}@pre())$

$(\llbracket \text{heap} = \sigma', \text{assocs} = A \rrbracket, \sigma)$

$\langle \text{proof} \rangle$

theorem *state-update-vs-allInstances-at-pre-ntc*:

assumes *oid-def*: $\text{oid} \notin \text{dom } \sigma'$

and *non-type-conform*: $\text{Type Object} = \text{None}$

and *cp-ctxt*: $\text{cp } P$

and *const-ctxt*: $\bigwedge X. \text{const } X \implies \text{const } (P X)$

shows $((\llbracket \text{heap} = \sigma'(\text{oid} \mapsto \text{Object}) \rrbracket, \text{assocs} = A \rrbracket, \sigma) \models (P(\text{Type} . \text{allInstances}@pre())) =$

$((\llbracket \text{heap} = \sigma', \text{assocs} = A \rrbracket, \sigma) \models (P(\text{Type} . \text{allInstances}@pre())))$

$\langle \text{proof} \rangle$

theorem *state-update-vs-allInstances-at-pre-tc*:

assumes *oid-def*: $\text{oid} \notin \text{dom } \sigma'$

and *type-conform*: $\text{Type Object} \neq \text{None}$

and *cp-ctxt*: $\text{cp } P$

and *const-ctxt*: $\bigwedge X. \text{const } X \implies \text{const } (P X)$

shows $((\llbracket \text{heap} = \sigma'(\text{oid} \mapsto \text{Object}) \rrbracket, \text{assocs} = A \rrbracket, \sigma) \models (P(\text{Type} . \text{allInstances}@pre())) =$

$((\llbracket \text{heap} = \sigma', \text{assocs} = A \rrbracket, \sigma) \models (P((\text{Type} . \text{allInstances}@pre()) \rightarrow \text{including}_{\text{Set}}(\lambda \cdot. \perp (\text{Type Object}) \perp))))$

$\langle \text{proof} \rangle$

@post or @pre

theorem *StrictRefEqObject-vs-StrongEq''*:

assumes *WFF*: $\text{WFF } \tau$

and *valid-x*: $\tau \models (v (x :: ('A :: \text{object}, 'a :: \text{object option option}) \text{val}))$

and *valid-y*: $\tau \models (v y)$

and *oid-preserve*: $\bigwedge x. x \in \text{ran } (\text{heap}(\text{fst } \tau)) \vee x \in \text{ran } (\text{heap}(\text{snd } \tau)) \implies$
 $\text{oid-of } (H x) = \text{oid-of } x$

and *xy-together*: $\tau \models ((H . \text{allInstances}() \rightarrow \text{includes}_{\text{Set}}(x) \text{ and } H . \text{allInstances}() \rightarrow \text{includes}_{\text{Set}}(y)) \text{ or }$
 $(H . \text{allInstances}@pre() \rightarrow \text{includes}_{\text{Set}}(x) \text{ and } H . \text{allInstances}@pre() \rightarrow \text{includes}_{\text{Set}}(y)))$

shows $(\tau \models (\text{StrictRefEqObject } x y)) = (\tau \models (x \triangleq y))$

$\langle \text{proof} \rangle$

3.2.3. OclIsNew, OclIsDeleted, OclIsMaintained, OclIsAbsent

definition *OclIsNew*: $(\text{'A}, \text{'a} :: \{\text{null}, \text{object}\}) \text{val} \Rightarrow (\text{'A}) \text{Boolean} \quad ((\cdot). \text{oclIsNew}'(\cdot))$

where $X . \text{oclIsNew}() \equiv (\lambda \tau . \text{if } (\delta X) \tau = \text{true } \tau$

$\text{then } \perp \text{oid-of } (X \tau) \notin \text{dom}(\text{heap}(\text{fst } \tau)) \wedge$

$\text{oid-of } (X \tau) \in \text{dom}(\text{heap}(\text{snd } \tau)) \perp)$

else invalid τ)

The following predicates — which are not part of the OCL standard descriptions — complete the goal of `oclIsNew` by describing where an object belongs.

definition *OclIsDeleted*:: (\mathcal{A} , $\alpha::\{null, object\}$)val \Rightarrow (\mathcal{A})Boolean $((-).oclIsDeleted'()$)
where $X .oclIsDeleted() \equiv (\lambda\tau . \text{if } (\delta X) \tau = \text{true } \tau$
 then $\perp_{oid-of} (X \tau) \in \text{dom}(\text{heap}(\text{fst } \tau)) \wedge$
 $oid-of (X \tau) \notin \text{dom}(\text{heap}(\text{snd } \tau))_{\perp}$
 else invalid τ)

definition *OclIsMaintained*:: (\mathcal{A} , $\alpha::\{null, object\}$)val \Rightarrow (\mathcal{A})Boolean $((-).oclIsMaintained'())$
where $X .oclIsMaintained() \equiv (\lambda\tau . \text{if } (\delta X) \tau = \text{true } \tau$
 then $\perp_{oid-of} (X \tau) \in \text{dom}(\text{heap}(\text{fst } \tau)) \wedge$
 $oid-of (X \tau) \in \text{dom}(\text{heap}(\text{snd } \tau))_{\perp}$
 else invalid τ)

definition *OclIsAbsent*:: (\mathcal{A} , $\alpha::\{null, object\}$)val \Rightarrow (\mathcal{A})Boolean $((-).oclIsAbsent'())$
where $X .oclIsAbsent() \equiv (\lambda\tau . \text{if } (\delta X) \tau = \text{true } \tau$
 then $\perp_{oid-of} (X \tau) \notin \text{dom}(\text{heap}(\text{fst } \tau)) \wedge$
 $oid-of (X \tau) \notin \text{dom}(\text{heap}(\text{snd } \tau))_{\perp}$
 else invalid τ)

lemma *state-split* : $\tau \models \delta X \Rightarrow$
 $\tau \models (X .oclIsNew()) \vee \tau \models (X .oclIsDeleted()) \vee$
 $\tau \models (X .oclIsMaintained()) \vee \tau \models (X .oclIsAbsent())$

<proof>

lemma *notNew-vs-others* : $\tau \models \delta X \Rightarrow$
 $(\neg \tau \models (X .oclIsNew())) = (\tau \models (X .oclIsDeleted()) \vee$
 $\tau \models (X .oclIsMaintained()) \vee \tau \models (X .oclIsAbsent()))$

<proof>

3.2.4. OclIsModifiedOnly

Definition

The following predicate—which is not part of the OCL standard—provides a simple, but powerful means to describe framing conditions. For any formal approach, be it animation of OCL contracts, test-case generation or die-hard theorem proving, the specification of the part of a system transition that *does not change* is of primordial importance. The following operator establishes the equality between old and new objects in the state (provided that they exist in both states), with the exception of those objects.

definition *OclIsModifiedOnly* :: ($\mathcal{A}::object, \alpha::\{null, object\}$)Set \Rightarrow \mathcal{A} Boolean
 $(- \rightarrow oclIsModifiedOnly'())$
where $X \rightarrow oclIsModifiedOnly() \equiv (\lambda(\sigma, \sigma').$
 let $X' = (oid-of \text{Rep-Set}_{base}(X(\sigma, \sigma'))^{\top})$;
 $S = ((\text{dom}(\text{heap } \sigma) \cap \text{dom}(\text{heap } \sigma')) - X')$
 in if $(\delta X) (\sigma, \sigma') = \text{true } (\sigma, \sigma') \wedge (\forall x \in \text{Rep-Set}_{base}(X(\sigma, \sigma'))^{\top}. x \neq \text{null})$
 then $\perp_{\forall x \in S. (\text{heap } \sigma) x = (\text{heap } \sigma') x}_{\perp}$
 else invalid (σ, σ')

Execution with Invalid or Null or Null Element as Argument

lemma *invalid- $\rightarrow oclIsModifiedOnly()$* = invalid
<proof>

lemma *null- $\rightarrow oclIsModifiedOnly()$* = invalid
<proof>

lemma

assumes $X\text{-null} : \tau \models X \rightarrow \text{includes}_{\text{Set}}(\text{null})$
shows $\tau \models X \rightarrow \text{oclIsModifiedOnly}() \triangleq \text{invalid}$
 $\langle \text{proof} \rangle$

Context Passing

lemma $\text{cp-OclIsModifiedOnly} : X \rightarrow \text{oclIsModifiedOnly}() \tau = (\lambda\tau. X \tau) \rightarrow \text{oclIsModifiedOnly}() \tau$
 $\langle \text{proof} \rangle$

3.2.5. OclSelf

The following predicate—which is not part of the OCL standard—explicitly retrieves in the pre or post state the original OCL expression given as argument.

definition $[\text{simp}]$: $\text{OclSelf } x \ H \ \text{fst-snd} = (\lambda\tau. \text{if } (\delta \ x) \ \tau = \text{true} \ \tau$
 $\text{then if oid-of } (x \ \tau) \in \text{dom}(\text{heap}(\text{fst } \tau)) \wedge \text{oid-of } (x \ \tau) \in \text{dom}(\text{heap}(\text{snd } \tau))$
 $\text{then } H \uparrow (\text{heap}(\text{fst-snd } \tau))(\text{oid-of } (x \ \tau))^\top$
 $\text{else invalid } \tau$
 $\text{else invalid } \tau)$

definition $\text{OclSelf-at-pre} :: ('A :: \text{object}, 'a :: \{\text{null}, \text{object}\}) \text{val} \Rightarrow$
 $('A \Rightarrow 'a) \Rightarrow$
 $('A :: \text{object}, 'a :: \{\text{null}, \text{object}\}) \text{val } ((-)@pre(-))$

where $x @pre \ H = \text{OclSelf } x \ H \ \text{fst}$

definition $\text{OclSelf-at-post} :: ('A :: \text{object}, 'a :: \{\text{null}, \text{object}\}) \text{val} \Rightarrow$
 $('A \Rightarrow 'a) \Rightarrow$
 $('A :: \text{object}, 'a :: \{\text{null}, \text{object}\}) \text{val } ((-)@post(-))$

where $x @post \ H = \text{OclSelf } x \ H \ \text{snd}$

3.2.6. Framing Theorem

lemma all-oid-diff :

assumes $\text{def-}x : \tau \models \delta \ x$

assumes $\text{def-}X : \tau \models \delta \ X$

assumes $\text{def-}X' : \bigwedge x. x \in {}^\top \text{Rep-Set}_{\text{base}}(X \ \tau)^\top \implies x \neq \text{null}$

defines $P \equiv (\lambda a. \text{not } (\text{StrictRefEq}_{\text{Object}} \ x \ a))$

shows $(\tau \models X \rightarrow \text{forAll}_{\text{Set}}(a \mid P \ a)) = (\text{oid-of } (x \ \tau) \notin \text{oid-of } {}^\top \text{Rep-Set}_{\text{base}}(X \ \tau)^\top)$

$\langle \text{proof} \rangle$

theorem framing :

assumes $\text{modifiesclause} : \tau \models (X \rightarrow \text{excluding}_{\text{Set}}(x)) \rightarrow \text{oclIsModifiedOnly}()$

and $\text{oid-is-typerepr} : \tau \models X \rightarrow \text{forAll}_{\text{Set}}(a \mid \text{not } (\text{StrictRefEq}_{\text{Object}} \ x \ a))$

shows $\tau \models (x @pre \ P \triangleq (x @post \ P))$

$\langle \text{proof} \rangle$

As corollary, the framing property can be expressed with only the strong equality as comparison operator.

theorem $\text{framing}'$:

assumes $\text{wff} : \text{WFF } \tau$

assumes $\text{modifiesclause} : \tau \models (X \rightarrow \text{excluding}_{\text{Set}}(x)) \rightarrow \text{oclIsModifiedOnly}()$

and $\text{oid-is-typerepr} : \tau \models X \rightarrow \text{forAll}_{\text{Set}}(a \mid \text{not } (x \triangleq a))$

and $\text{oid-preserve} : \bigwedge x. x \in \text{ran } (\text{heap}(\text{fst } \tau)) \vee x \in \text{ran } (\text{heap}(\text{snd } \tau)) \implies$
 $\text{oid-of } (H \ x) = \text{oid-of } x$

and $xy\text{-together}$:

$\tau \models X \rightarrow \text{forAll}_{Set}(y \mid (H . \text{allInstances}() \rightarrow \text{includes}_{Set}(x) \text{ and } H . \text{allInstances}() \rightarrow \text{includes}_{Set}(y)) \text{ or } (H . \text{allInstances}@pre() \rightarrow \text{includes}_{Set}(x) \text{ and } H . \text{allInstances}@pre() \rightarrow \text{includes}_{Set}(y)))$
shows $\tau \models (x @pre P \triangleq (x @post P))$
 $\langle \text{proof} \rangle$

3.2.7. Miscellaneous

lemma *pre-post-new*: $\tau \models (x . \text{oclIsNew}()) \implies \neg (\tau \models v(x @pre H1)) \wedge \neg (\tau \models v(x @post H2))$
 $\langle \text{proof} \rangle$

lemma *pre-post-old*: $\tau \models (x . \text{oclIsDeleted}()) \implies \neg (\tau \models v(x @pre H1)) \wedge \neg (\tau \models v(x @post H2))$
 $\langle \text{proof} \rangle$

lemma *pre-post-absent*: $\tau \models (x . \text{oclIsAbsent}()) \implies \neg (\tau \models v(x @pre H1)) \wedge \neg (\tau \models v(x @post H2))$
 $\langle \text{proof} \rangle$

lemma *pre-post-maintained*: $(\tau \models v(x @pre H1) \vee \tau \models v(x @post H2)) \implies \tau \models (x . \text{oclIsMaintained}())$
 $\langle \text{proof} \rangle$

lemma *pre-post-maintained'*:
 $\tau \models (x . \text{oclIsMaintained}()) \implies (\tau \models v(x @pre (Some \ o \ H1)) \wedge \tau \models v(x @post (Some \ o \ H2)))$
 $\langle \text{proof} \rangle$

lemma *framing-same-state*: $(\sigma, \sigma) \models (x @pre H \triangleq (x @post H))$
 $\langle \text{proof} \rangle$

3.3. Accessors on Object

3.3.1. Definition

definition *select-object* $mt \text{ incl } smash \text{ deref } l = smash \ (foldl \text{ incl } mt \ (map \ deref \ l))$
— *smash* returns null with *mt* in input (in this case, object contains null pointer)

The continuation *f* is usually instantiated with a smashing function which is either the identity *id* or, for 0..1 cardinalities of associations, the *UML-Sequence.OclANY-selector* which also handles the *null*-cases appropriately. A standard use-case for this combinator is for example:

term $(\text{select-object } mtSet \ UML\text{-Set}.OclIncluding \ UML\text{-Set}.OclANY \ f \ l \ oid) :: ('A, 'a :: null) \ val$

definition *select-object_{Set}* = *select-object* $mtSet \ UML\text{-Set}.OclIncluding \ id$

definition *select-object-any0_{Set}* $f \ s\text{-set} = UML\text{-Set}.OclANY \ (\text{select-object}_{Set} \ f \ s\text{-set})$

definition *select-object-any_{Set}* $f \ s\text{-set} =$

$(\text{let } s = \text{select-object}_{Set} \ f \ s\text{-set} \text{ in}$

$\text{if } s \rightarrow \text{size}_{Set}() \triangleq 1 \text{ then}$

$s \rightarrow \text{any}_{Set}()$

else

\perp

$\text{endif})$

definition *select-object_{Seq}* = *select-object* $mtSequence \ UML\text{-Sequence}.OclIncluding \ id$

definition *select-object-any_{Seq}* $f \ s\text{-set} = UML\text{-Sequence}.OclANY \ (\text{select-object}_{Seq} \ f \ s\text{-set})$

definition *select-object_{Pair}* $f1 \ f2 = (\lambda(a,b). \ OclPair \ (f1 \ a) \ (f2 \ b))$

3.3.2. Validity and Definedness Properties

lemma *select-fold-execs_{Seq}*:

assumes $\text{list-all} \ (\lambda f. (\tau \models v \ f)) \ l$

shows $\ulcorner \text{Rep-Sequence}_{base} \ (foldl \ UML\text{-Sequence}.OclIncluding \ Sequence\{\} \ l \ \tau) \urcorner = \text{List.map} \ (\lambda f. f \ \tau) \ l$

$\langle \text{proof} \rangle$

lemma *select-fold-exec_{Set}*:
assumes *list-all* ($\lambda f. (\tau \models v f)$) *l*
shows $\lceil \text{Rep-Set}_{base} (\text{foldl } \text{UML-Set.OclIncluding } \text{Set}\{\} \ l \ \tau) \rceil = \text{set } (\text{List.map } (\lambda f. f \ \tau) \ l)$
 $\langle \text{proof} \rangle$

lemma *fold-val-elem_{Seq}*:
assumes $\tau \models v (\text{foldl } \text{UML-Sequence.OclIncluding } \text{Sequence}\{\} \ (\text{List.map } (f \ p) \ s\text{-set}))$
shows *list-all* ($\lambda x. (\tau \models v (f \ p \ x))$) *s-set*
 $\langle \text{proof} \rangle$

lemma *fold-val-elem_{Set}*:
assumes $\tau \models v (\text{foldl } \text{UML-Set.OclIncluding } \text{Set}\{\} \ (\text{List.map } (f \ p) \ s\text{-set}))$
shows *list-all* ($\lambda x. (\tau \models v (f \ p \ x))$) *s-set*
 $\langle \text{proof} \rangle$

lemma *select-object-any-defined_{Seq}*:
assumes *def-sel*: $\tau \models \delta (\text{select-object-any}_{Seq} \ f \ s\text{-set})$
shows *s-set* $\neq \square$
 $\langle \text{proof} \rangle$

lemma
assumes *def-sel*: $\tau \models \delta (\text{select-object-any0}_{Set} \ f \ s\text{-set})$
shows *s-set* $\neq \square$
 $\langle \text{proof} \rangle$

lemma *select-object-any-defined_{Set}*:
assumes *def-sel*: $\tau \models \delta (\text{select-object-any}_{Set} \ f \ s\text{-set})$
shows *s-set* $\neq \square$
 $\langle \text{proof} \rangle$

lemma *select-object-any-exec0_{Seq}*:
assumes *def-sel*: $\tau \models \delta (\text{select-object-any}_{Seq} \ f \ s\text{-set})$
shows $\tau \models (\text{select-object-any}_{Seq} \ f \ s\text{-set} \triangleq f \ (\text{hd } s\text{-set}))$
 $\langle \text{proof} \rangle$

lemma *select-object-any-exec_{Seq}*:
assumes *def-sel*: $\tau \models \delta (\text{select-object-any}_{Seq} \ f \ s\text{-set})$
shows $\exists e. \text{List.member } s\text{-set } e \wedge (\tau \models (\text{select-object-any}_{Seq} \ f \ s\text{-set} \triangleq f \ e))$
 $\langle \text{proof} \rangle$

lemma
assumes *def-sel*: $\tau \models \delta (\text{select-object-any0}_{Set} \ f \ s\text{-set})$
shows $\exists e. \text{List.member } s\text{-set } e \wedge (\tau \models (\text{select-object-any0}_{Set} \ f \ s\text{-set} \triangleq f \ e))$
 $\langle \text{proof} \rangle$

lemma *select-object-any-exec_{Set}*:
assumes *def-sel*: $\tau \models \delta (\text{select-object-any}_{Set} \ f \ s\text{-set})$
shows $\exists e. \text{List.member } s\text{-set } e \wedge (\tau \models (\text{select-object-any}_{Set} \ f \ s\text{-set} \triangleq f \ e))$
 $\langle \text{proof} \rangle$

end

theory *UML-Contracts*
imports *UML-State*
begin

Modeling of an operation contract for an operation with 2 arguments, (so depending on three parameters if one takes "self" into account).

```

locale contract-scheme =
  fixes f-v
  fixes f-lam
  fixes f :: ('A, 'α 0 :: null) val ⇒
    'b ⇒
    ('A, 'res :: null) val
  fixes PRE
  fixes POST
  assumes def-scheme': f self x ≡ (λ τ. SOME res. let res = λ -. res in
    if (τ ⊨ (δ self)) ∧ f-v x τ
    then (τ ⊨ PRE self x) ∧
      (τ ⊨ POST self x res)
    else τ ⊨ res ≜ invalid)
  assumes all-post': ∀ σ σ' σ''. ((σ, σ') ⊨ PRE self x) = ((σ, σ'') ⊨ PRE self x)

  assumes cpPRE': PRE (self) x τ = PRE (λ -. self τ) (f-lam x τ) τ

  assumes cpPOST': POST (self) x (res) τ = POST (λ -. self τ) (f-lam x τ) (λ -. res τ) τ
  assumes f-v-val: ∧ a1. f-v (f-lam a1 τ) τ = f-v a1 τ
begin
  lemma strict0 [simp]: f invalid X = invalid
  <proof>

  lemma nullstrict0[simp]: f null X = invalid
  <proof>

  lemma cp0 : f self a1 τ = f (λ -. self τ) (f-lam a1 τ) τ
  <proof>

  theorem unfold' :
    assumes context-ok: cp E
    and args-def-or-valid: (τ ⊨ δ self) ∧ f-v a1 τ
    and pre-satisfied: τ ⊨ PRE self a1
    and post-satisfiable: ∃ res. (τ ⊨ POST self a1 (λ -. res))
    and sat-for-sols-post: (∧ res. τ ⊨ POST self a1 (λ -. res)) ⇒ τ ⊨ E (λ -. res))
    shows τ ⊨ E(f self a1)
  <proof>

  lemma unfold2' :
    assumes context-ok: cp E
    and args-def-or-valid: (τ ⊨ δ self) ∧ (f-v a1 τ)
    and pre-satisfied: τ ⊨ PRE self a1
    and postsplit-satisfied: τ ⊨ POST' self a1
    and post-decomposable : ∧ res. (POST self a1 res) =
      ((POST' self a1) and (res ≜ (BODY self a1)))
    shows (τ ⊨ E(f self a1)) = (τ ⊨ E(BODY self a1))
  <proof>
end

locale contract0 =
  fixes f :: ('A, 'α 0 :: null) val ⇒
    ('A, 'res :: null) val
  fixes PRE
  fixes POST

```

```

assumes def-scheme:  $f \text{ self} \equiv (\lambda \tau. \text{SOME } res. \text{let } res = \lambda -. res \text{ in}$ 
     $\text{if } (\tau \models (\delta \text{ self}))$ 
     $\text{then } (\tau \models PRE \text{ self}) \wedge$ 
     $(\tau \models POST \text{ self } res)$ 
     $\text{else } \tau \models res \triangleq \text{invalid})$ 
assumes all-post:  $\forall \sigma \sigma' \sigma''. ((\sigma, \sigma') \models PRE \text{ self}) = ((\sigma, \sigma'') \models PRE \text{ self})$ 

assumes cpPRE:  $PRE \text{ (self)} \ \tau = PRE \ (\lambda -. \text{self } \tau) \ \tau$ 

assumes cpPOST:  $POST \text{ (self)} \ (res) \ \tau = POST \ (\lambda -. \text{self } \tau) \ (\lambda -. res \ \tau) \ \tau$ 

sublocale contract0 < contract-scheme  $\lambda -. \text{True } \lambda x -. x \ \lambda x -. f \ x \ \lambda x -. PRE \ x \ \lambda x -. POST \ x$ 
 $\langle \text{proof} \rangle$ 

context contract0
begin
  lemma cp-pre:  $cp \text{ self}' \implies cp \ (\lambda X. PRE \text{ (self}' \ X) \ )$ 
   $\langle \text{proof} \rangle$ 

  lemma cp-post:  $cp \text{ self}' \implies cp \ res' \implies cp \ (\lambda X. POST \text{ (self}' \ X) \ (res' \ X))$ 
   $\langle \text{proof} \rangle$ 

  lemma cp [simp]:  $cp \text{ self}' \implies cp \ res' \implies cp \ (\lambda X. f \text{ (self}' \ X) \ )$ 
   $\langle \text{proof} \rangle$ 

  lemmas unfold = unfold'[simplified]

  lemma unfold2 :
    assumes  $cp \ E$ 
    and  $(\tau \models \delta \text{ self})$ 
    and  $\tau \models PRE \text{ self}$ 
    and  $\tau \models POST' \text{ self}$ 
    and  $\bigwedge res. (POST \text{ self } res) =$ 
     $((POST' \text{ self}) \text{ and } (res \triangleq (BODY \text{ self})))$ 
    shows  $(\tau \models E(f \text{ self})) = (\tau \models E(BODY \text{ self}))$ 
     $\langle \text{proof} \rangle$ 

end

locale contract1 =
  fixes  $f :: ('A, 'a0::null)val \Rightarrow$ 
     $('A, 'a1::null)val \Rightarrow$ 
     $('A, 'res::null)val$ 
  fixes PRE
  fixes POST
  assumes def-scheme:  $f \text{ self } a1 \equiv$ 
     $(\lambda \tau. \text{SOME } res. \text{let } res = \lambda -. res \text{ in}$ 
     $\text{if } (\tau \models (\delta \text{ self})) \wedge (\tau \models v \ a1)$ 
     $\text{then } (\tau \models PRE \text{ self } a1) \wedge$ 
     $(\tau \models POST \text{ self } a1 \ res)$ 
     $\text{else } \tau \models res \triangleq \text{invalid})$ 
  assumes all-post:  $\forall \sigma \sigma' \sigma''. ((\sigma, \sigma') \models PRE \text{ self } a1) = ((\sigma, \sigma'') \models PRE \text{ self } a1)$ 

  assumes cpPRE:  $PRE \text{ (self)} \ (a1) \ \tau = PRE \ (\lambda -. \text{self } \tau) \ (\lambda -. a1 \ \tau) \ \tau$ 

  assumes cpPOST:  $POST \text{ (self)} \ (a1) \ (res) \ \tau = POST \ (\lambda -. \text{self } \tau) \ (\lambda -. a1 \ \tau) \ (\lambda -. res \ \tau) \ \tau$ 

```

sublocale *contract1* < *contract-scheme* $\lambda a1 \tau. (\tau \models v \ a1) \ \lambda a1 \ \tau. (\lambda -. \ a1 \ \tau)$
 ⟨*proof*⟩

context *contract1*

begin

lemma *strict1*[*simp*]: $f \ self \ invalid = invalid$
 ⟨*proof*⟩

lemma *defined-mono* : $\tau \models v(f \ Y \ Z) \implies (\tau \models \delta \ Y) \wedge (\tau \models v \ Z)$
 ⟨*proof*⟩

lemma *cp-pre*: $cp \ self' \implies cp \ a1' \implies cp \ (\lambda X. \ PRE \ (self' \ X) \ (a1' \ X) \)$
 ⟨*proof*⟩

lemma *cp-post*: $cp \ self' \implies cp \ a1' \implies cp \ res'$
 $\implies cp \ (\lambda X. \ POST \ (self' \ X) \ (a1' \ X) \ (res' \ X))$
 ⟨*proof*⟩

lemma *cp* [*simp*]: $cp \ self' \implies cp \ a1' \implies cp \ res' \implies cp \ (\lambda X. \ f \ (self' \ X) \ (a1' \ X))$
 ⟨*proof*⟩

lemmas *unfold* = *unfold'*
lemmas *unfold2* = *unfold2'*

end

locale *contract2* =

fixes *f* :: ($\mathfrak{A}, 'a0::null$)*val* \Rightarrow
 ($\mathfrak{A}, 'a1::null$)*val* \Rightarrow ($\mathfrak{A}, 'a2::null$)*val* \Rightarrow
 ($\mathfrak{A}, 'res::null$)*val*

fixes *PRE*

fixes *POST*

assumes *def-scheme*: $f \ self \ a1 \ a2 \equiv$

$(\lambda \tau. \ SOME \ res. \ let \ res = \lambda -. \ res \ in$
 $if \ (\tau \models (\delta \ self)) \wedge (\tau \models v \ a1) \wedge (\tau \models v \ a2)$
 $then \ (\tau \models PRE \ self \ a1 \ a2) \wedge$
 $(\tau \models POST \ self \ a1 \ a2 \ res)$
 $else \ \tau \models res \triangleq invalid)$

assumes *all-post*: $\forall \ \sigma \ \sigma' \ \sigma''. \ ((\sigma, \sigma') \models PRE \ self \ a1 \ a2) = ((\sigma, \sigma'') \models PRE \ self \ a1 \ a2)$

assumes *cp_{PRE}*: $PRE \ (self) \ (a1) \ (a2) \ \tau = PRE \ (\lambda -. \ self \ \tau) \ (\lambda -. \ a1 \ \tau) \ (\lambda -. \ a2 \ \tau) \ \tau$

assumes *cp_{POST}*: $\bigwedge res. \ POST \ (self) \ (a1) \ (a2) \ (res) \ \tau =$
 $POST \ (\lambda -. \ self \ \tau) (\lambda -. \ a1 \ \tau) (\lambda -. \ a2 \ \tau) (\lambda -. \ res \ \tau) \ \tau$

sublocale *contract2* < *contract-scheme* $\lambda(a1, a2) \tau. (\tau \models v \ a1) \wedge (\tau \models v \ a2)$
 $\lambda(a1, a2) \tau. (\lambda -. a1 \ \tau, \lambda -. a2 \ \tau)$
 $(\lambda x \ (a, b). \ f \ x \ a \ b)$
 $(\lambda x \ (a, b). \ PRE \ x \ a \ b)$
 $(\lambda x \ (a, b). \ POST \ x \ a \ b)$

⟨*proof*⟩

context *contract2*

begin

lemma *strict0'*[*simp*] : $f \ invalid \ X \ Y = invalid$
 ⟨*proof*⟩

lemma *nullstrict0*^[simp]: $f \text{ null } X \ Y = \text{invalid}$
 ⟨proof⟩

lemma *strict1*^[simp]: $f \text{ self } \text{invalid } Y = \text{invalid}$
 ⟨proof⟩

lemma *strict2*^[simp]: $f \text{ self } X \ \text{invalid} = \text{invalid}$
 ⟨proof⟩

lemma *defined-mono* : $\tau \models_v (f \ X \ Y \ Z) \implies (\tau \models_\delta X) \wedge (\tau \models_v Y) \wedge (\tau \models_v Z)$
 ⟨proof⟩

lemma *cp-pre*: $cp \ \text{self}' \implies cp \ a1' \implies cp \ a2' \implies cp \ (\lambda X. \text{PRE} \ (\text{self}' \ X) \ (a1' \ X) \ (a2' \ X))$
 ⟨proof⟩

lemma *cp-post*: $cp \ \text{self}' \implies cp \ a1' \implies cp \ a2' \implies cp \ \text{res}'$
 $\implies cp \ (\lambda X. \text{POST} \ (\text{self}' \ X) \ (a1' \ X) \ (a2' \ X) \ (\text{res}' \ X))$
 ⟨proof⟩

lemma *cp0'* : $f \ \text{self} \ a1 \ a2 \ \tau = f \ (\lambda -. \text{self} \ \tau) \ (\lambda -. \ a1 \ \tau) \ (\lambda -. \ a2 \ \tau) \ \tau$
 ⟨proof⟩

lemma *cp* ^[simp]: $cp \ \text{self}' \implies cp \ a1' \implies cp \ a2' \implies cp \ \text{res}'$
 $\implies cp \ (\lambda X. f \ (\text{self}' \ X) \ (a1' \ X) \ (a2' \ X))$
 ⟨proof⟩

theorem *unfold* :
assumes $cp \ E$
and $(\tau \models_\delta \text{self}) \wedge (\tau \models_v \ a1) \wedge (\tau \models_v \ a2)$
and $\tau \models \text{PRE} \ \text{self} \ a1 \ a2$
and $\exists \text{res}. (\tau \models \text{POST} \ \text{self} \ a1 \ a2 \ (\lambda -. \text{res}))$
and $(\bigwedge \text{res}. \tau \models \text{POST} \ \text{self} \ a1 \ a2 \ (\lambda -. \text{res}) \implies \tau \models E \ (\lambda -. \text{res}))$
shows $\tau \models E(f \ \text{self} \ a1 \ a2)$
 ⟨proof⟩

lemma *unfold2* :
assumes $cp \ E$
and $(\tau \models_\delta \text{self}) \wedge (\tau \models_v \ a1) \wedge (\tau \models_v \ a2)$
and $\tau \models \text{PRE} \ \text{self} \ a1 \ a2$
and $\tau \models \text{POST}' \ \text{self} \ a1 \ a2$
and $\bigwedge \text{res}. (\text{POST} \ \text{self} \ a1 \ a2 \ \text{res}) =$
 $((\text{POST}' \ \text{self} \ a1 \ a2) \ \text{and} \ (\text{res} \triangleq (\text{BODY} \ \text{self} \ a1 \ a2)))$
shows $(\tau \models E(f \ \text{self} \ a1 \ a2)) = (\tau \models E(\text{BODY} \ \text{self} \ a1 \ a2))$
 ⟨proof⟩

end

locale *contract3* =
fixes $f :: ('A, 'A0::\text{null}) \text{val} \Rightarrow$
 $(('A, 'A1::\text{null}) \text{val} \Rightarrow$
 $(('A, 'A2::\text{null}) \text{val} \Rightarrow$
 $(('A, 'A3::\text{null}) \text{val} \Rightarrow$
 $(('A, 'res::\text{null}) \text{val}$
fixes PRE
fixes POST
assumes *def-scheme*: $f \ \text{self} \ a1 \ a2 \ a3 \equiv$
 $(\lambda \tau. \text{SOME} \ \text{res}. \text{let} \ \text{res} = \lambda -. \text{res} \ \text{in}$
 $\text{if} \ (\tau \models (\delta \ \text{self})) \wedge (\tau \models_v \ a1) \wedge (\tau \models_v \ a2) \wedge (\tau \models_v \ a3)$

$$\begin{aligned} & \text{then } (\tau \models \text{PRE self } a1 \ a2 \ a3) \wedge \\ & \quad (\tau \models \text{POST self } a1 \ a2 \ a3 \ \text{res}) \\ & \text{else } \tau \models \text{res} \triangleq \text{invalid} \end{aligned}$$

assumes *all-post*: $\forall \sigma \sigma' \sigma''. ((\sigma, \sigma') \models \text{PRE self } a1 \ a2 \ a3) = ((\sigma, \sigma'') \models \text{PRE self } a1 \ a2 \ a3)$

assumes *cp_{PRE}*: $\text{PRE}(\text{self})(a1)(a2)(a3)\tau = \text{PRE}(\lambda \cdot. \text{self } \tau)(\lambda \cdot. a1 \ \tau)(\lambda \cdot. a2 \ \tau)(\lambda \cdot. a3 \ \tau)\tau$

assumes *cp_{POST}*: $\bigwedge \text{res}. \text{POST}(\text{self})(a1)(a2)(a3)(\text{res})\tau = \text{POST}(\lambda \cdot. \text{self } \tau)(\lambda \cdot. a1 \ \tau)(\lambda \cdot. a2 \ \tau)(\lambda \cdot. a3 \ \tau)(\lambda \cdot. \text{res } \tau)\tau$

sublocale *contract3* < *contract-scheme* $\lambda(a1, a2, a3) \tau. (\tau \models v \ a1) \wedge (\tau \models v \ a2) \wedge (\tau \models v \ a3)$

$$\begin{aligned} & \lambda(a1, a2, a3) \tau. (\lambda \cdot. a1 \ \tau, \lambda \cdot. a2 \ \tau, \lambda \cdot. a3 \ \tau) \\ & (\lambda x \ (a, b, c). f \ x \ a \ b \ c) \\ & (\lambda x \ (a, b, c). \text{PRE } x \ a \ b \ c) \\ & (\lambda x \ (a, b, c). \text{POST } x \ a \ b \ c) \end{aligned}$$

<proof>

context *contract3*

begin

lemma *strict0'*[*simp*]: $f \ \text{invalid } X \ Y \ Z = \text{invalid}$

<proof>

lemma *nullstrict0'*[*simp*]: $f \ \text{null } X \ Y \ Z = \text{invalid}$

<proof>

lemma *strict1*[*simp*]: $f \ \text{self } \text{invalid } Y \ Z = \text{invalid}$

<proof>

lemma *strict2*[*simp*]: $f \ \text{self } X \ \text{invalid } Z = \text{invalid}$

<proof>

lemma *defined-mono*: $\tau \models v(f \ W \ X \ Y \ Z) \implies (\tau \models \delta \ W) \wedge (\tau \models v \ X) \wedge (\tau \models v \ Y) \wedge (\tau \models v \ Z)$

<proof>

lemma *cp-pre*: $\text{cp self}' \implies \text{cp } a1' \implies \text{cp } a2' \implies \text{cp } a3'$

$$\implies \text{cp } (\lambda X. \text{PRE}(\text{self}' \ X)(a1' \ X)(a2' \ X)(a3' \ X))$$

<proof>

lemma *cp-post*: $\text{cp self}' \implies \text{cp } a1' \implies \text{cp } a2' \implies \text{cp } a3' \implies \text{cp res}'$

$$\implies \text{cp } (\lambda X. \text{POST}(\text{self}' \ X)(a1' \ X)(a2' \ X)(a3' \ X)(\text{res}' \ X))$$

<proof>

lemma *cp0'*: $f \ \text{self } a1 \ a2 \ a3 \ \tau = f(\lambda \cdot. \text{self } \tau)(\lambda \cdot. a1 \ \tau)(\lambda \cdot. a2 \ \tau)(\lambda \cdot. a3 \ \tau)\tau$

<proof>

lemma *cp* [*simp*]: $\text{cp self}' \implies \text{cp } a1' \implies \text{cp } a2' \implies \text{cp } a3' \implies \text{cp res}'$

$$\implies \text{cp } (\lambda X. f(\text{self}' \ X)(a1' \ X)(a2' \ X)(a3' \ X))$$

<proof>

theorem *unfold*:

assumes $\text{cp } E$

and $(\tau \models \delta \ \text{self}) \wedge (\tau \models v \ a1) \wedge (\tau \models v \ a2) \wedge (\tau \models v \ a3)$

and $\tau \models \text{PRE self } a1 \ a2 \ a3$

and $\exists \text{res}. (\tau \models \text{POST self } a1 \ a2 \ a3 \ (\lambda \cdot. \text{res}))$

and $(\bigwedge \text{res}. \tau \models \text{POST self } a1 \ a2 \ a3 \ (\lambda \cdot. \text{res}) \implies \tau \models E(\lambda \cdot. \text{res}))$

shows $\tau \models E(f \ \text{self } a1 \ a2 \ a3)$

```

    <proof>

lemma unfold2 :
  assumes          cp E
  and              ( $\tau \models \delta \text{ self}$ )  $\wedge$  ( $\tau \models v \ a1$ )  $\wedge$  ( $\tau \models v \ a2$ )  $\wedge$  ( $\tau \models v \ a3$ )
  and               $\tau \models PRE \text{ self } a1 \ a2 \ a3$ 
  and               $\tau \models POST' \text{ self } a1 \ a2 \ a3$ 
  and               $\bigwedge res. (POST \text{ self } a1 \ a2 \ a3 \ res) =$ 
                      $((POST' \text{ self } a1 \ a2 \ a3) \text{ and } (res \triangleq (BODY \text{ self } a1 \ a2 \ a3)))$ 
  shows ( $\tau \models E(f \text{ self } a1 \ a2 \ a3)$ ) = ( $\tau \models E(BODY \text{ self } a1 \ a2 \ a3)$ )
    <proof>
end

end

theory UML-Tools
imports UML-Logic
begin

lemmas substs1 = StrongEq-L-subst2-rev
          foundation15[THEN iffD2, THEN StrongEq-L-subst2-rev]
          foundation7'[THEN iffD2, THEN foundation15[THEN iffD2,
              THEN StrongEq-L-subst2-rev]]
          foundation14[THEN iffD2, THEN StrongEq-L-subst2-rev]
          foundation13[THEN iffD2, THEN StrongEq-L-subst2-rev]

lemmas substs2 = StrongEq-L-subst3-rev
          foundation15[THEN iffD2, THEN StrongEq-L-subst3-rev]
          foundation7'[THEN iffD2, THEN foundation15[THEN iffD2,
              THEN StrongEq-L-subst3-rev]]
          foundation14[THEN iffD2, THEN StrongEq-L-subst3-rev]
          foundation13[THEN iffD2, THEN StrongEq-L-subst3-rev]

lemmas substs4 = StrongEq-L-subst4-rev
          foundation15[THEN iffD2, THEN StrongEq-L-subst4-rev]
          foundation7'[THEN iffD2, THEN foundation15[THEN iffD2,
              THEN StrongEq-L-subst4-rev]]
          foundation14[THEN iffD2, THEN StrongEq-L-subst4-rev]
          foundation13[THEN iffD2, THEN StrongEq-L-subst4-rev]

lemmas substs = substs1 substs2 substs4 [THEN iffD2] substs4
thm substs
    <ML>

lemma test1 :  $\tau \models A \implies \tau \models (A \text{ and } B \triangleq B)$ 
    <proof>

lemma test2 :  $\tau \models A \implies \tau \models (A \text{ and } B \triangleq B)$ 
    <proof>

lemma test3 :  $\tau \models A \implies \tau \models (A \text{ and } A)$ 
    <proof>

```

lemma *test4* : $\tau \models \text{not } A \implies \tau \models (A \text{ and } B \triangleq \text{false})$
<proof>

lemma *test5* : $\tau \models (A \triangleq \text{null}) \implies \tau \models (B \triangleq \text{null}) \implies \neg (\tau \models (A \text{ and } B))$
<proof>

lemma *test6* : $\tau \models \text{not } A \implies \neg (\tau \models (A \text{ and } B))$
<proof>

lemma *test7* : $\neg (\tau \models (v \ A)) \implies \tau \models (\text{not } B) \implies \neg (\tau \models (A \text{ and } B))$
<proof>

lemma *X*: $\neg (\tau \models (\text{invalid and } B))$
<proof>

lemma *X'*: $\neg (\tau \models (\text{invalid and } B))$
<proof>

lemma *Y*: $\neg (\tau \models (\text{null and } B))$
<proof>

lemma *Z*: $\neg (\tau \models (\text{false and } B))$
<proof>

lemma *Z'*: $(\tau \models (\text{true and } B)) = (\tau \models B)$
<proof>

end

theory *UML-Main*
imports *UML-Contracts UML-Tools*
begin
end

4. Example: The Employee Analysis Model

```
theory
  Analysis-UML
imports
  ../../../UML-Main
begin
```

4.1. Introduction

For certain concepts like classes and class-types, only a generic definition for its resulting semantics can be given. Generic means, there is a function outside HOL that “compiles” a concrete, closed-world class diagram into a “theory” of this data model, consisting of a bunch of definitions for classes, accessors, method, casts, and tests for actual types, as well as proofs for the fundamental properties of these operations in this concrete data model.

Such generic function or “compiler” can be implemented in Isabelle on the ML level. This has been done, for a semantics following the open-world assumption, for UML 2.0 in [4, 7]. In this paper, we follow another approach for UML 2.4: we define the concepts of the compilation informally, and present a concrete example which is verified in Isabelle/HOL.

4.1.1. Outlining the Example

We are presenting here an “analysis-model” of the (slightly modified) example Figure 7.3, page 20 of the OCL standard [32]. Here, analysis model means that associations were really represented as relation on objects on the state—as is intended by the standard—rather by pointers between objects as is done in our “design model” (see Chapter 5). To be precise, this theory contains the formalization of the data-part covered by the UML class model (see Figure 4.1):

This means that the association (attached to the association class **EmployeeRanking**) with the association ends **boss** and **employees** is implemented by the attribute **boss** and the operation **employees** (to be discussed in the OCL part captured by the subsequent theory).

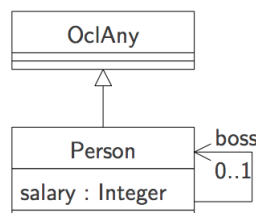


Figure 4.1.: A simple UML class model drawn from Figure 7.3, page 20 of [32].

4.2. Example Data-Universe and its Infrastructure

Ideally, the following is generated automatically from a UML class model.

Our data universe consists in the concrete class diagram just of node's, and implicitly of the class object. Each class implies the existence of a class type defined for the corresponding object representations as follows:

```
datatype typePerson = mkPerson oid
                      int option
```

```
datatype typeOclAny = mkOclAny oid
                      (int option) option
```

Now, we construct a concrete “universe of OclAny types” by injection into a sum type containing the class types. This type of OclAny will be used as instance for all respective type-variables.

```
datatype  $\mathfrak{A}$  = inPerson typePerson | inOclAny typeOclAny
```

Having fixed the object universe, we can introduce type synonyms that exactly correspond to OCL types. Again, we exploit that our representation of OCL is a “shallow embedding” with a one-to-one correspondance of OCL-types to types of the meta-language HOL.

```
type-synonym Boolean    =  $\mathfrak{A}$  Boolean
type-synonym Integer   =  $\mathfrak{A}$  Integer
type-synonym Void      =  $\mathfrak{A}$  Void
type-synonym OclAny    = ( $\mathfrak{A}$ , typeOclAny option option) val
type-synonym Person    = ( $\mathfrak{A}$ , typePerson option option) val
type-synonym Set-Integer = ( $\mathfrak{A}$ , int option option) Set
type-synonym Set-Person = ( $\mathfrak{A}$ , typePerson option option) Set
```

Just a little check:

```
typ Boolean
```

To reuse key-elements of the library like referential equality, we have to show that the object universe belongs to the type class “oclany,” i.e., each class type has to provide a function *oid-of* yielding the object id (oid) of the object.

```
instantiation typePerson :: object
begin
  definition oid-of-typePerson-def: oid-of x = (case x of mkPerson oid -  $\Rightarrow$  oid)
  instance  $\langle$ proof $\rangle$ 
end
```

```
instantiation typeOclAny :: object
begin
  definition oid-of-typeOclAny-def: oid-of x = (case x of mkOclAny oid -  $\Rightarrow$  oid)
  instance  $\langle$ proof $\rangle$ 
end
```

```
instantiation  $\mathfrak{A}$  :: object
begin
  definition oid-of- $\mathfrak{A}$ -def: oid-of x = (case x of
                                         inPerson person  $\Rightarrow$  oid-of person
                                         | inOclAny oclany  $\Rightarrow$  oid-of oclany)
  instance  $\langle$ proof $\rangle$ 
end
```

4.3. Instantiation of the Generic Strict Equality

We instantiate the referential equality on *Person* and *OclAny*

```
overloading StrictRefEq ≡ StrictRefEq :: [Person, Person] ⇒ Boolean
begin
  definition StrictRefEqObject-Person : (x::Person) ≐ y ≡ StrictRefEqObject x y
end
```

```
overloading StrictRefEq ≡ StrictRefEq :: [OclAny, OclAny] ⇒ Boolean
begin
  definition StrictRefEqObject-OclAny : (x::OclAny) ≐ y ≡ StrictRefEqObject x y
end
```

```
lemmas cps23 =
  cp-StrictRefEqObject [of x::Person y::Person τ,
    simplified StrictRefEqObject-Person[symmetric]]
  cp-intro(9) [of P::Person ⇒ Person Q::Person ⇒ Person,
    simplified StrictRefEqObject-Person[symmetric] ]
  StrictRefEqObject-def [of x::Person y::Person,
    simplified StrictRefEqObject-Person[symmetric]]
  StrictRefEqObject-defargs [of - x::Person y::Person,
    simplified StrictRefEqObject-Person[symmetric]]
  StrictRefEqObject-strict1
    [of x::Person,
    simplified StrictRefEqObject-Person[symmetric]]
  StrictRefEqObject-strict2
    [of x::Person,
    simplified StrictRefEqObject-Person[symmetric]]
for x y τ P Q
```

For each Class *C*, we will have a casting operation *.oclAsType(C)*, a test on the actual type *.oclIsTypeOf(C)* as well as its relaxed form *.oclIsKindOf(C)* (corresponding exactly to Java's *instanceof*-operator).

Thus, since we have two class-types in our concrete class hierarchy, we have two operations to declare and to provide two overloading definitions for the two static types.

4.4. OclAsType

4.4.1. Definition

```
consts OclAsTypeOclAny :: 'α ⇒ OclAny ((-) .oclAsType'(OclAny'))
consts OclAsTypePerson :: 'α ⇒ Person ((-) .oclAsType'(Person'))
```

```
definition OclAsTypeOclAny-ℳ = (λu. ⌊case u of inOclAny a ⇒ a
  | inPerson (mkPerson oid a) ⇒ mkOclAny oid ⌊a⌋)
```

```
lemma OclAsTypeOclAny-ℳ-some: OclAsTypeOclAny-ℳ x ≠ None
⟨proof⟩
```

```
overloading OclAsTypeOclAny ≡ OclAsTypeOclAny :: OclAny ⇒ OclAny
begin
  definition OclAsTypeOclAny-OclAny:
    (X::OclAny) .oclAsType(OclAny) ≡ X
end
```

```
overloading OclAsTypeOclAny ≡ OclAsTypeOclAny :: Person ⇒ OclAny
```

```

begin
  definition OclAsTypeOclAny-Person:
    (X::Person) .oclAsType(OclAny)  $\equiv$ 
      ( $\lambda\tau$ . case X  $\tau$  of
         $\perp \Rightarrow \text{invalid } \tau$ 
        |  $\perp_{\perp} \Rightarrow \text{null } \tau$ 
        |  $\perp_{\perp} \text{mk}_{Person} \text{oid } a_{\perp} \Rightarrow \perp_{\perp} (\text{mk}_{OclAny} \text{oid } \perp_{\perp} a_{\perp})$ )
end

definition OclAsTypePerson- $\mathcal{A}$  =
  ( $\lambda u$ . case u of inPerson p  $\Rightarrow \perp_{\perp}$ 
    | inOclAny (mkOclAny oid  $\perp_{\perp} a_{\perp}$ )  $\Rightarrow \perp_{\perp} \text{mk}_{Person} \text{oid } a_{\perp}$ 
    | -  $\Rightarrow \text{None}$ )

overloading OclAsTypePerson  $\equiv$  OclAsTypePerson :: OclAny  $\Rightarrow$  Person
begin
  definition OclAsTypePerson-OclAny:
    (X::OclAny) .oclAsType(Person)  $\equiv$ 
      ( $\lambda\tau$ . case X  $\tau$  of
         $\perp \Rightarrow \text{invalid } \tau$ 
        |  $\perp_{\perp} \Rightarrow \text{null } \tau$ 
        |  $\perp_{\perp} \text{mk}_{OclAny} \text{oid } \perp_{\perp} \Rightarrow \text{invalid } \tau$  — down-cast exception
        |  $\perp_{\perp} \text{mk}_{OclAny} \text{oid } \perp_{\perp} a_{\perp} \Rightarrow \perp_{\perp} \text{mk}_{Person} \text{oid } a_{\perp}$ )
end

overloading OclAsTypePerson  $\equiv$  OclAsTypePerson :: Person  $\Rightarrow$  Person
begin
  definition OclAsTypePerson-Person:
    (X::Person) .oclAsType(Person)  $\equiv$  X
end
lemmas [simp] =
  OclAsTypeOclAny-OclAny
  OclAsTypePerson-Person

```

4.4.2. Context Passing

```

lemma cp-OclAsTypeOclAny-Person-Person: cp P  $\Rightarrow$  cp( $\lambda X$ . (P (X::Person)::Person) .oclAsType(OclAny))
<proof>
lemma cp-OclAsTypeOclAny-OclAny-OclAny: cp P  $\Rightarrow$  cp( $\lambda X$ . (P (X::OclAny)::OclAny) .oclAsType(OclAny))
<proof>
lemma cp-OclAsTypePerson-Person-Person: cp P  $\Rightarrow$  cp( $\lambda X$ . (P (X::Person)::Person) .oclAsType(Person))
<proof>
lemma cp-OclAsTypePerson-OclAny-OclAny: cp P  $\Rightarrow$  cp( $\lambda X$ . (P (X::OclAny)::OclAny) .oclAsType(Person))
<proof>

lemma cp-OclAsTypeOclAny-Person-OclAny: cp P  $\Rightarrow$  cp( $\lambda X$ . (P (X::Person)::OclAny) .oclAsType(OclAny))
<proof>
lemma cp-OclAsTypeOclAny-OclAny-Person: cp P  $\Rightarrow$  cp( $\lambda X$ . (P (X::OclAny)::Person) .oclAsType(OclAny))
<proof>
lemma cp-OclAsTypePerson-Person-OclAny: cp P  $\Rightarrow$  cp( $\lambda X$ . (P (X::Person)::OclAny) .oclAsType(Person))
<proof>
lemma cp-OclAsTypePerson-OclAny-Person: cp P  $\Rightarrow$  cp( $\lambda X$ . (P (X::OclAny)::Person) .oclAsType(Person))
<proof>

lemmas [simp] =
  cp-OclAsTypeOclAny-Person-Person
  cp-OclAsTypeOclAny-OclAny-OclAny
  cp-OclAsTypePerson-Person-Person

```

cp-OclAsTypePerson-OclAny-OclAny

cp-OclAsTypeOclAny-Person-OclAny

cp-OclAsTypeOclAny-OclAny-Person

cp-OclAsTypePerson-Person-OclAny

cp-OclAsTypePerson-OclAny-Person

4.4.3. Execution with Invalid or Null as Argument

lemma *OclAsTypeOclAny-OclAny-strict* : (*invalid::OclAny*) .*oclAsType*(*OclAny*) = *invalid* $\langle \text{proof} \rangle$

lemma *OclAsTypeOclAny-OclAny-nullstrict* : (*null::OclAny*) .*oclAsType*(*OclAny*) = *null* $\langle \text{proof} \rangle$

lemma *OclAsTypeOclAny-Person-strict*[*simp*] : (*invalid::Person*) .*oclAsType*(*OclAny*) = *invalid* $\langle \text{proof} \rangle$

lemma *OclAsTypeOclAny-Person-nullstrict*[*simp*] : (*null::Person*) .*oclAsType*(*OclAny*) = *null* $\langle \text{proof} \rangle$

lemma *OclAsTypePerson-OclAny-strict*[*simp*] : (*invalid::OclAny*) .*oclAsType*(*Person*) = *invalid* $\langle \text{proof} \rangle$

lemma *OclAsTypePerson-OclAny-nullstrict*[*simp*] : (*null::OclAny*) .*oclAsType*(*Person*) = *null* $\langle \text{proof} \rangle$

lemma *OclAsTypePerson-Person-strict* : (*invalid::Person*) .*oclAsType*(*Person*) = *invalid* $\langle \text{proof} \rangle$

lemma *OclAsTypePerson-Person-nullstrict* : (*null::Person*) .*oclAsType*(*Person*) = *null* $\langle \text{proof} \rangle$

4.5. OclIsTypeOf

4.5.1. Definition

consts *OclIsTypeOfOclAny* :: ' $\alpha \Rightarrow \text{Boolean}$ ((\cdot).*oclIsTypeOf*'(*OclAny*'))

consts *OclIsTypeOfPerson* :: ' $\alpha \Rightarrow \text{Boolean}$ ((\cdot).*oclIsTypeOf*'(*Person*'))

overloading *OclIsTypeOfOclAny* \equiv *OclIsTypeOfOclAny* :: *OclAny* \Rightarrow *Boolean*

begin

definition *OclIsTypeOfOclAny-OclAny*:

(*X::OclAny*) .*oclIsTypeOf*(*OclAny*) \equiv
 ($\lambda \tau$. *case X* τ of
 $\perp \Rightarrow \text{invalid } \tau$
 $\mid \perp_{\perp} \Rightarrow \text{true } \tau \text{ — invalid } ??$
 $\mid \perp_{mkOclAny} \text{ oid } \perp_{\perp} \Rightarrow \text{true } \tau$
 $\mid \perp_{mkOclAny} \text{ oid } \perp_{\perp} \Rightarrow \text{false } \tau$)

end

lemma *OclIsTypeOfOclAny-OclAny'*:

(*X::OclAny*) .*oclIsTypeOf*(*OclAny*) =
 ($\lambda \tau$. if $\tau \models v \text{ } X$ then (*case X* τ of
 $\perp_{\perp} \Rightarrow \text{true } \tau \text{ — invalid } ??$
 $\mid \perp_{mkOclAny} \text{ oid } \perp_{\perp} \Rightarrow \text{true } \tau$
 $\mid \perp_{mkOclAny} \text{ oid } \perp_{\perp} \Rightarrow \text{false } \tau$)
 else *invalid* τ)

$\langle \text{proof} \rangle$

interpretation *OclIsTypeOfOclAny-OclAny* :

profile-mono-schemeV

OclIsTypeOfOclAny::OclAny \Rightarrow *Boolean*

λX . (*case X* of

$\perp_{None} \Rightarrow \perp_{True_{\perp}} \text{ — invalid } ??$
 $\mid \perp_{mkOclAny} \text{ oid } \perp_{None} \Rightarrow \perp_{True_{\perp}}$
 $\mid \perp_{mkOclAny} \text{ oid } \perp_{\perp} \Rightarrow \perp_{False_{\perp}}$)

$\langle \text{proof} \rangle$

overloading $OclIsTypeOf_{OclAny} \equiv OclIsTypeOf_{OclAny} :: Person \Rightarrow Boolean$
begin
definition $OclIsTypeOf_{OclAny}\text{-}Person$:
 $(X::Person) .oclIsTypeOf(OclAny) \equiv$
 $(\lambda\tau. \text{ case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow \text{invalid } \tau$
 $\quad | \sqsubseteq \perp \Rightarrow \text{true } \tau \quad \text{--- invalid ??}$
 $\quad | \sqsubseteq - \sqsubseteq \Rightarrow \text{false } \tau) \quad \text{--- must have actual type } Person \text{ otherwise}$
end

overloading $OclIsTypeOf_{Person} \equiv OclIsTypeOf_{Person} :: OclAny \Rightarrow Boolean$
begin
definition $OclIsTypeOf_{Person}\text{-}OclAny$:
 $(X::OclAny) .oclIsTypeOf(Person) \equiv$
 $(\lambda\tau. \text{ case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow \text{invalid } \tau$
 $\quad | \sqsubseteq \perp \Rightarrow \text{true } \tau$
 $\quad | \sqsubseteq mk_{OclAny} \text{ oid } \perp \sqsubseteq \Rightarrow \text{false } \tau$
 $\quad | \sqsubseteq mk_{OclAny} \text{ oid } \sqsubseteq \sqsubseteq \Rightarrow \text{true } \tau)$
end

overloading $OclIsTypeOf_{Person} \equiv OclIsTypeOf_{Person} :: Person \Rightarrow Boolean$
begin
definition $OclIsTypeOf_{Person}\text{-}Person$:
 $(X::Person) .oclIsTypeOf(Person) \equiv$
 $(\lambda\tau. \text{ case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow \text{invalid } \tau$
 $\quad | - \Rightarrow \text{true } \tau)$
end

4.5.2. Context Passing

lemma $cp\text{-}OclIsTypeOf_{OclAny}\text{-}Person\text{-}Person$: $cp \ P \implies cp(\lambda X. (P(X::Person)::Person).oclIsTypeOf(OclAny))$
 $\langle \text{proof} \rangle$
lemma $cp\text{-}OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}OclAny$: $cp \ P \implies cp(\lambda X. (P(X::OclAny)::OclAny).oclIsTypeOf(OclAny))$
 $\langle \text{proof} \rangle$
lemma $cp\text{-}OclIsTypeOf_{Person}\text{-}Person\text{-}Person$: $cp \ P \implies cp(\lambda X. (P(X::Person)::Person).oclIsTypeOf(Person))$
 $\langle \text{proof} \rangle$
lemma $cp\text{-}OclIsTypeOf_{Person}\text{-}OclAny\text{-}OclAny$: $cp \ P \implies cp(\lambda X. (P(X::OclAny)::OclAny).oclIsTypeOf(Person))$
 $\langle \text{proof} \rangle$

lemma $cp\text{-}OclIsTypeOf_{OclAny}\text{-}Person\text{-}OclAny$: $cp \ P \implies cp(\lambda X. (P(X::Person)::OclAny).oclIsTypeOf(OclAny))$
 $\langle \text{proof} \rangle$
lemma $cp\text{-}OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}Person$: $cp \ P \implies cp(\lambda X. (P(X::OclAny)::Person).oclIsTypeOf(OclAny))$
 $\langle \text{proof} \rangle$
lemma $cp\text{-}OclIsTypeOf_{Person}\text{-}Person\text{-}OclAny$: $cp \ P \implies cp(\lambda X. (P(X::Person)::OclAny).oclIsTypeOf(Person))$
 $\langle \text{proof} \rangle$
lemma $cp\text{-}OclIsTypeOf_{Person}\text{-}OclAny\text{-}Person$: $cp \ P \implies cp(\lambda X. (P(X::OclAny)::Person).oclIsTypeOf(Person))$
 $\langle \text{proof} \rangle$

lemmas $[simp] =$
 $cp\text{-}OclIsTypeOf_{OclAny}\text{-}Person\text{-}Person$
 $cp\text{-}OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}OclAny$
 $cp\text{-}OclIsTypeOf_{Person}\text{-}Person\text{-}Person$
 $cp\text{-}OclIsTypeOf_{Person}\text{-}OclAny\text{-}OclAny$

$cp\text{-}OclIsTypeOf_{OclAny}\text{-}Person\text{-}OclAny$
 $cp\text{-}OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}Person$
 $cp\text{-}OclIsTypeOf_{Person}\text{-}Person\text{-}OclAny$
 $cp\text{-}OclIsTypeOf_{Person}\text{-}OclAny\text{-}Person$

4.5.3. Execution with Invalid or Null as Argument

lemma $OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}strict1[simp]$:
 $(invalid::OclAny) .oclIsTypeOf(OclAny) = invalid$
 $\langle proof \rangle$
lemma $OclIsTypeOf_{OclAny}\text{-}OclAny\text{-}strict2[simp]$:
 $(null::OclAny) .oclIsTypeOf(OclAny) = true$
 $\langle proof \rangle$
lemma $OclIsTypeOf_{OclAny}\text{-}Person\text{-}strict1[simp]$:
 $(invalid::Person) .oclIsTypeOf(OclAny) = invalid$
 $\langle proof \rangle$
lemma $OclIsTypeOf_{OclAny}\text{-}Person\text{-}strict2[simp]$:
 $(null::Person) .oclIsTypeOf(OclAny) = true$
 $\langle proof \rangle$
lemma $OclIsTypeOf_{Person}\text{-}OclAny\text{-}strict1[simp]$:
 $(invalid::OclAny) .oclIsTypeOf(Person) = invalid$
 $\langle proof \rangle$
lemma $OclIsTypeOf_{Person}\text{-}OclAny\text{-}strict2[simp]$:
 $(null::OclAny) .oclIsTypeOf(Person) = true$
 $\langle proof \rangle$
lemma $OclIsTypeOf_{Person}\text{-}Person\text{-}strict1[simp]$:
 $(invalid::Person) .oclIsTypeOf(Person) = invalid$
 $\langle proof \rangle$
lemma $OclIsTypeOf_{Person}\text{-}Person\text{-}strict2[simp]$:
 $(null::Person) .oclIsTypeOf(Person) = true$
 $\langle proof \rangle$

4.5.4. Up Down Casting

lemma $actualType\text{-}larger\text{-}staticType$:
assumes $isdef: \tau \models (\delta X)$
shows $\tau \models (X::Person) .oclIsTypeOf(OclAny) \triangleq false$
 $\langle proof \rangle$

lemma $down\text{-}cast\text{-}type$:
assumes $isOclAny: \tau \models (X::OclAny) .oclIsTypeOf(OclAny)$
and $non\text{-}null: \tau \models (\delta X)$
shows $\tau \models (X .oclAsType(Person)) \triangleq invalid$
 $\langle proof \rangle$

lemma $down\text{-}cast\text{-}type'$:
assumes $isOclAny: \tau \models (X::OclAny) .oclIsTypeOf(OclAny)$
and $non\text{-}null: \tau \models (\delta X)$
shows $\tau \models not (v (X .oclAsType(Person)))$
 $\langle proof \rangle$

lemma $up\text{-}down\text{-}cast$:
assumes $isdef: \tau \models (\delta X)$
shows $\tau \models ((X::Person) .oclAsType(OclAny) .oclAsType(Person) \triangleq X)$
 $\langle proof \rangle$

lemma *up-down-cast-Person-OclAny-Person* [simp]:
shows $((X::Person) .oclAsType(OclAny) .oclAsType(Person) = X)$
 $\langle proof \rangle$

lemma *up-down-cast-Person-OclAny-Person'*:
assumes $\tau \models v \ X$
shows $\tau \models (((X::Person) .oclAsType(OclAny) .oclAsType(Person)) \doteq X)$
 $\langle proof \rangle$

lemma *up-down-cast-Person-OclAny-Person''*:
assumes $\tau \models v \ (X::Person)$
shows $\tau \models (X .oclIsTypeOf(Person) \text{ implies } (X .oclAsType(OclAny) .oclAsType(Person)) \doteq X)$
 $\langle proof \rangle$

4.6. OclIsKindOf

4.6.1. Definition

consts *OclIsKindOf_{OclAny}* :: $'\alpha \Rightarrow Boolean$ $((-).oclIsKindOf'(OclAny'))$
consts *OclIsKindOf_{Person}* :: $'\alpha \Rightarrow Boolean$ $((-).oclIsKindOf'(Person'))$

overloading *OclIsKindOf_{OclAny}* \equiv *OclIsKindOf_{OclAny}* :: *OclAny* \Rightarrow *Boolean*
begin
definition *OclIsKindOf_{OclAny}-OclAny*:
 $(X::OclAny) .oclIsKindOf(OclAny) \equiv$
 $(\lambda\tau. \text{ case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow \text{invalid } \tau$
 $\quad | _ \Rightarrow \text{true } \tau)$
end

overloading *OclIsKindOf_{OclAny}* \equiv *OclIsKindOf_{OclAny}* :: *Person* \Rightarrow *Boolean*
begin
definition *OclIsKindOf_{OclAny}-Person*:
 $(X::Person) .oclIsKindOf(OclAny) \equiv$
 $(\lambda\tau. \text{ case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow \text{invalid } \tau$
 $\quad | _ \Rightarrow \text{true } \tau)$
end

overloading *OclIsKindOf_{Person}* \equiv *OclIsKindOf_{Person}* :: *OclAny* \Rightarrow *Boolean*
begin
definition *OclIsKindOf_{Person}-OclAny*:
 $(X::OclAny) .oclIsKindOf(Person) \equiv$
 $(\lambda\tau. \text{ case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow \text{invalid } \tau$
 $\quad | \perp_{\perp} \Rightarrow \text{true } \tau$
 $\quad | \perp_{mk_{OclAny} \text{ oid } \perp_{\perp}} \Rightarrow \text{false } \tau$
 $\quad | \perp_{mk_{OclAny} \text{ oid } \perp_{\perp}} \Rightarrow \text{true } \tau)$
end

overloading *OclIsKindOf_{Person}* \equiv *OclIsKindOf_{Person}* :: *Person* \Rightarrow *Boolean*
begin
definition *OclIsKindOf_{Person}-Person*:
 $(X::Person) .oclIsKindOf(Person) \equiv$
 $(\lambda\tau. \text{ case } X \ \tau \text{ of}$

$$\begin{array}{l} \perp \Rightarrow \text{invalid } \tau \\ | - \Rightarrow \text{true } \tau \end{array}$$

end

4.6.2. Context Passing

lemma *cp-OclIsKindOf_{OclAny}-Person-Person*: $cp\ P \Rightarrow cp(\lambda X.(P(X::Person)::Person).oclIsKindOf(OclAny))$
 <proof>

lemma *cp-OclIsKindOf_{OclAny}-OclAny-OclAny*: $cp\ P \Rightarrow cp(\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(OclAny))$
 <proof>

lemma *cp-OclIsKindOf_{Person}-Person-Person*: $cp\ P \Rightarrow cp(\lambda X.(P(X::Person)::Person).oclIsKindOf(Person))$
 <proof>

lemma *cp-OclIsKindOf_{Person}-OclAny-OclAny*: $cp\ P \Rightarrow cp(\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(Person))$
 <proof>

lemma *cp-OclIsKindOf_{OclAny}-Person-OclAny*: $cp\ P \Rightarrow cp(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(OclAny))$
 <proof>

lemma *cp-OclIsKindOf_{OclAny}-OclAny-Person*: $cp\ P \Rightarrow cp(\lambda X.(P(X::OclAny)::Person).oclIsKindOf(OclAny))$
 <proof>

lemma *cp-OclIsKindOf_{Person}-Person-OclAny*: $cp\ P \Rightarrow cp(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(Person))$
 <proof>

lemma *cp-OclIsKindOf_{Person}-OclAny-Person*: $cp\ P \Rightarrow cp(\lambda X.(P(X::OclAny)::Person).oclIsKindOf(Person))$
 <proof>

lemmas [simp] =

cp-OclIsKindOf_{OclAny}-Person-Person
cp-OclIsKindOf_{OclAny}-OclAny-OclAny
cp-OclIsKindOf_{Person}-Person-Person
cp-OclIsKindOf_{Person}-OclAny-OclAny

cp-OclIsKindOf_{OclAny}-Person-OclAny
cp-OclIsKindOf_{OclAny}-OclAny-Person
cp-OclIsKindOf_{Person}-Person-OclAny
cp-OclIsKindOf_{Person}-OclAny-Person

4.6.3. Execution with Invalid or Null as Argument

lemma *OclIsKindOf_{OclAny}-OclAny-strict1*[simp] : $(invalid::OclAny).oclIsKindOf(OclAny) = invalid$
 <proof>

lemma *OclIsKindOf_{OclAny}-OclAny-strict2*[simp] : $(null::OclAny).oclIsKindOf(OclAny) = true$
 <proof>

lemma *OclIsKindOf_{OclAny}-Person-strict1*[simp] : $(invalid::Person).oclIsKindOf(OclAny) = invalid$
 <proof>

lemma *OclIsKindOf_{OclAny}-Person-strict2*[simp] : $(null::Person).oclIsKindOf(OclAny) = true$
 <proof>

lemma *OclIsKindOf_{Person}-OclAny-strict1*[simp] : $(invalid::OclAny).oclIsKindOf(Person) = invalid$
 <proof>

lemma *OclIsKindOf_{Person}-OclAny-strict2*[simp] : $(null::OclAny).oclIsKindOf(Person) = true$
 <proof>

lemma *OclIsKindOf_{Person}-Person-strict1*[simp] : $(invalid::Person).oclIsKindOf(Person) = invalid$
 <proof>

lemma *OclIsKindOf_{Person}-Person-strict2*[simp] : $(null::Person).oclIsKindOf(Person) = true$
 <proof>

4.6.4. Up Down Casting

lemma *actualKind-larger-staticKind*:

assumes *isdef*: $\tau \models (\delta\ X)$

shows $\tau \models ((X::Person) .oclIsKindOf(OclAny) \triangleq true)$
 $\langle proof \rangle$

lemma *down-cast-kind*:

assumes *isOclAny*: $\neg (\tau \models ((X::OclAny).oclIsKindOf(Person)))$

and *non-null*: $\tau \models (\delta X)$

shows $\tau \models ((X .oclAsType(Person)) \triangleq invalid)$

$\langle proof \rangle$

4.7. OclAllInstances

To denote OCL-types occurring in OCL expressions syntactically—as, for example, as “argument” of `oclAllInstances()`—we use the inverses of the injection functions into the object universes; we show that this is sufficient “characterization.”

definition *Person* $\equiv OclAsType_{Person-\mathcal{A}}$

definition *OclAny* $\equiv OclAsType_{OclAny-\mathcal{A}}$

lemmas [*simp*] = *Person-def OclAny-def*

lemma *OclAllInstances-genericOclAny-exec*: *OclAllInstances-generic pre-post OclAny* =
 $(\lambda\tau. Abs-Set_{base} \sqcup Some \text{ ‘ } OclAny \text{ ‘ } ran (heap (pre-post \tau)) \sqcup)$
 $\langle proof \rangle$

lemma *OclAllInstances-at-postOclAny-exec*: *OclAny .allInstances()* =
 $(\lambda\tau. Abs-Set_{base} \sqcup Some \text{ ‘ } OclAny \text{ ‘ } ran (heap (snd \tau)) \sqcup)$
 $\langle proof \rangle$

lemma *OclAllInstances-at-preOclAny-exec*: *OclAny .allInstances@pre()* =
 $(\lambda\tau. Abs-Set_{base} \sqcup Some \text{ ‘ } OclAny \text{ ‘ } ran (heap (fst \tau)) \sqcup)$
 $\langle proof \rangle$

4.7.1. OclIsTypeOf

lemma *OclAny-allInstances-generic-oclIsTypeOfOclAny1*:

assumes [*simp*]: $\bigwedge x. pre-post(x, x) = x$

shows $\exists \tau. (\tau \models ((OclAllInstances-generic pre-post OclAny) \rightarrow forAll_{Set}(X|X .oclIsTypeOf(OclAny))))$
 $\langle proof \rangle$

lemma *OclAny-allInstances-at-post-oclIsTypeOfOclAny1*:

$\exists \tau. (\tau \models (OclAny .allInstances() \rightarrow forAll_{Set}(X|X .oclIsTypeOf(OclAny))))$

$\langle proof \rangle$

lemma *OclAny-allInstances-at-pre-oclIsTypeOfOclAny1*:

$\exists \tau. (\tau \models (OclAny .allInstances@pre() \rightarrow forAll_{Set}(X|X .oclIsTypeOf(OclAny))))$

$\langle proof \rangle$

lemma *OclAny-allInstances-generic-oclIsTypeOfOclAny2*:

assumes [*simp*]: $\bigwedge x. pre-post(x, x) = x$

shows $\exists \tau. (\tau \models not ((OclAllInstances-generic pre-post OclAny) \rightarrow forAll_{Set}(X|X .oclIsTypeOf(OclAny))))$
 $\langle proof \rangle$

lemma *OclAny-allInstances-at-post-oclIsTypeOfOclAny2*:

$\exists \tau. (\tau \models not (OclAny .allInstances() \rightarrow forAll_{Set}(X|X .oclIsTypeOf(OclAny))))$

$\langle proof \rangle$

lemma *OclAny-allInstances-at-pre-oclIsTypeOfOclAny2*:

$\exists \tau. (\tau \models not (OclAny .allInstances@pre() \rightarrow forAll_{Set}(X|X .oclIsTypeOf(OclAny))))$

$\langle \text{proof} \rangle$

lemma *Person-allInstances-generic-oclIsTypeOf_{Person}*:

$\tau \models ((\text{OclAllInstances-generic pre-post Person}) \rightarrow \text{forAll}_{\text{Set}}(X|X . \text{oclIsTypeOf}(\text{Person})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-at-post-oclIsTypeOf_{Person}*:

$\tau \models (\text{Person} . \text{allInstances}() \rightarrow \text{forAll}_{\text{Set}}(X|X . \text{oclIsTypeOf}(\text{Person})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-at-pre-oclIsTypeOf_{Person}*:

$\tau \models (\text{Person} . \text{allInstances}@pre() \rightarrow \text{forAll}_{\text{Set}}(X|X . \text{oclIsTypeOf}(\text{Person})))$
 $\langle \text{proof} \rangle$

4.7.2. OclIsKindOf

lemma *OclAny-allInstances-generic-oclIsKindOf_{OclAny}*:

$\tau \models ((\text{OclAllInstances-generic pre-post OclAny}) \rightarrow \text{forAll}_{\text{Set}}(X|X . \text{oclIsKindOf}(\text{OclAny})))$
 $\langle \text{proof} \rangle$

lemma *OclAny-allInstances-at-post-oclIsKindOf_{OclAny}*:

$\tau \models (\text{OclAny} . \text{allInstances}() \rightarrow \text{forAll}_{\text{Set}}(X|X . \text{oclIsKindOf}(\text{OclAny})))$
 $\langle \text{proof} \rangle$

lemma *OclAny-allInstances-at-pre-oclIsKindOf_{OclAny}*:

$\tau \models (\text{OclAny} . \text{allInstances}@pre() \rightarrow \text{forAll}_{\text{Set}}(X|X . \text{oclIsKindOf}(\text{OclAny})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-generic-oclIsKindOf_{OclAny}*:

$\tau \models ((\text{OclAllInstances-generic pre-post Person}) \rightarrow \text{forAll}_{\text{Set}}(X|X . \text{oclIsKindOf}(\text{OclAny})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-at-post-oclIsKindOf_{OclAny}*:

$\tau \models (\text{Person} . \text{allInstances}() \rightarrow \text{forAll}_{\text{Set}}(X|X . \text{oclIsKindOf}(\text{OclAny})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-at-pre-oclIsKindOf_{OclAny}*:

$\tau \models (\text{Person} . \text{allInstances}@pre() \rightarrow \text{forAll}_{\text{Set}}(X|X . \text{oclIsKindOf}(\text{OclAny})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-generic-oclIsKindOf_{Person}*:

$\tau \models ((\text{OclAllInstances-generic pre-post Person}) \rightarrow \text{forAll}_{\text{Set}}(X|X . \text{oclIsKindOf}(\text{Person})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-at-post-oclIsKindOf_{Person}*:

$\tau \models (\text{Person} . \text{allInstances}() \rightarrow \text{forAll}_{\text{Set}}(X|X . \text{oclIsKindOf}(\text{Person})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-at-pre-oclIsKindOf_{Person}*:

$\tau \models (\text{Person} . \text{allInstances}@pre() \rightarrow \text{forAll}_{\text{Set}}(X|X . \text{oclIsKindOf}(\text{Person})))$
 $\langle \text{proof} \rangle$

4.8. The Accessors (any, boss, salary)

Should be generated entirely from a class-diagram.

4.8.1. Definition (of the association Employee-Boss)

We start with a oid for the association; this oid can be used in presence of association classes to represent the association inside an object, pretty much similar to the Design_UML, where we stored an oid inside the class as “pointer.”

definition $oid_{Person}BOSS :: oid$ **where** $oid_{Person}BOSS = 10$

From there on, we can already define an empty state which must contain for $oid_{Person}BOSS$ the empty relation (encoded as association list, since there are associations with a Sequence-like structure).

definition $eval-extract :: ('A, ('a::object) option option) val$
 $\Rightarrow (oid \Rightarrow ('A, 'c::null) val)$
 $\Rightarrow ('A, 'c::null) val$

where $eval-extract X f = (\lambda \tau. case X \tau of$
 $\quad \perp \Rightarrow invalid \tau \quad \text{--- exception propagation}$
 $\quad | \perp \perp \Rightarrow invalid \tau \quad \text{--- dereferencing null pointer}$
 $\quad | \perp obj \perp \Rightarrow f (oid-of obj) \tau)$

definition $choose_2-1 = fst$

definition $choose_2-2 = snd$

definition $List-flatten = (\lambda l. (foldl ((\lambda acc. (\lambda l. (foldl ((\lambda acc. (\lambda l. (Cons (l) (acc)))) (acc) ((rev (l)))))) (Nil) ((rev (l))))))$

definition $deref-assocs_2 :: ('A state \times 'A state \Rightarrow 'A state)$
 $\Rightarrow (oid list list \Rightarrow oid list \times oid list)$
 $\Rightarrow oid$
 $\Rightarrow (oid list \Rightarrow ('A, 'f) val)$
 $\Rightarrow oid$
 $\Rightarrow ('A, 'f::null) val$

where $deref-assocs_2 pre-post to-from assoc-oid f oid =$
 $(\lambda \tau. case (assocs (pre-post \tau)) assoc-oid of$
 $\quad \perp S \perp \Rightarrow f (List-flatten (map (choose_2-2 \circ to-from)$
 $\quad \quad (filter (\lambda p. List.member (choose_2-1 (to-from p)) oid) S)))$
 $\quad \tau$
 $\quad | - \Rightarrow invalid \tau)$

The *pre-post*-parameter is configured with *fst* or *snd*, the *to-from*-parameter either with the identity *id* or the following combinator *switch*:

definition $switch_2-1 = (\lambda [x,y] \Rightarrow (x,y))$

definition $switch_2-2 = (\lambda [x,y] \Rightarrow (y,x))$

definition $switch_3-1 = (\lambda [x,y,z] \Rightarrow (x,y))$

definition $switch_3-2 = (\lambda [x,y,z] \Rightarrow (x,z))$

definition $switch_3-3 = (\lambda [x,y,z] \Rightarrow (y,x))$

definition $switch_3-4 = (\lambda [x,y,z] \Rightarrow (y,z))$

definition $switch_3-5 = (\lambda [x,y,z] \Rightarrow (z,x))$

definition $switch_3-6 = (\lambda [x,y,z] \Rightarrow (z,y))$

definition $deref-oid_{Person} :: (A state \times A state \Rightarrow A state)$
 $\Rightarrow (type_{Person} \Rightarrow (A, 'c::null) val)$
 $\Rightarrow oid$
 $\Rightarrow (A, 'c::null) val$

where $deref-oid_{Person} fst-snd f oid = (\lambda \tau. case (heap (fst-snd \tau)) oid of$
 $\quad \perp in_{Person} obj \perp \Rightarrow f obj \tau$
 $\quad | - \Rightarrow invalid \tau)$

definition $deref-oid_{clAny} :: (A state \times A state \Rightarrow A state)$

$\Rightarrow (type_{OclAny} \Rightarrow (\mathfrak{A}, 'c::null)val)$
 $\Rightarrow oid$
 $\Rightarrow (\mathfrak{A}, 'c::null)val$
where $deref-oid_{OclAny} \text{ fst-snd } f \text{ oid} = (\lambda \tau. \text{ case } (heap \text{ (fst-snd } \tau)) \text{ oid of}$
 $\quad \perp \text{ in}_{OclAny} \text{ obj } \perp \Rightarrow f \text{ obj } \tau$
 $\quad | - \Rightarrow \text{ invalid } \tau)$

pointer undefined in state or not referencing a type conform object representation

definition $select_{OclAny} \mathcal{ANY} f = (\lambda X. \text{ case } X \text{ of}$
 $\quad (mk_{OclAny} - \perp) \Rightarrow null$
 $\quad | (mk_{OclAny} - \perp_{any}) \Rightarrow f (\lambda x -. \perp_{x_{\perp}}) any)$

definition $select_{Person} \mathcal{BOSS} f = \text{select-object } mtSet \text{ UML-Set.OclIncluding UML-Set.OclANY } (f (\lambda x -. \perp_{x_{\perp}}))$

definition $select_{Person} \mathcal{SALARY} f = (\lambda X. \text{ case } X \text{ of}$
 $\quad (mk_{Person} - \perp) \Rightarrow null$
 $\quad | (mk_{Person} - \perp_{salary}) \Rightarrow f (\lambda x -. \perp_{x_{\perp}}) salary)$

definition $deref-assocs_2 \mathcal{BOSS} \text{ fst-snd } f = (\lambda mk_{Person} \text{ oid } - \Rightarrow$
 $\quad deref-assocs_2 \text{ fst-snd } switch_{2-1} \text{ oid}_{Person} \mathcal{BOSS} f \text{ oid})$

definition $in\text{-pre-state} = fst$

definition $in\text{-post-state} = snd$

definition $reconst\text{-basetype} = (\lambda \text{ convert } x. \text{ convert } x)$

definition $dot_{OclAny} \mathcal{ANY} :: OclAny \Rightarrow - \ ((1(-).any) \ 50)$
where $(X).any = \text{eval-extract } X$
 $\quad (deref-oid_{OclAny} \text{ in-post-state}$
 $\quad \quad (select_{OclAny} \mathcal{ANY}$
 $\quad \quad \quad reconst\text{-basetype}))$

definition $dot_{Person} \mathcal{BOSS} :: Person \Rightarrow Person \ ((1(-).boss) \ 50)$
where $(X).boss = \text{eval-extract } X$
 $\quad (deref-oid_{Person} \text{ in-post-state}$
 $\quad \quad (deref-assocs_2 \mathcal{BOSS} \text{ in-post-state}$
 $\quad \quad \quad (select_{Person} \mathcal{BOSS}$
 $\quad \quad \quad \quad (deref-oid_{Person} \text{ in-post-state}))))$

definition $dot_{Person} \mathcal{SALARY} :: Person \Rightarrow Integer \ ((1(-).salary) \ 50)$
where $(X).salary = \text{eval-extract } X$
 $\quad (deref-oid_{Person} \text{ in-post-state}$
 $\quad \quad (select_{Person} \mathcal{SALARY}$
 $\quad \quad \quad reconst\text{-basetype}))$

definition $dot_{OclAny} \mathcal{ANY}\text{-at-pre} :: OclAny \Rightarrow - \ ((1(-).any@pre) \ 50)$
where $(X).any@pre = \text{eval-extract } X$
 $\quad (deref-oid_{OclAny} \text{ in-pre-state}$
 $\quad \quad (select_{OclAny} \mathcal{ANY}$
 $\quad \quad \quad reconst\text{-basetype}))$

definition $dot_{Person} \mathcal{BOSS}\text{-at-pre} :: Person \Rightarrow Person \ ((1(-).boss@pre) \ 50)$
where $(X).boss@pre = \text{eval-extract } X$
 $\quad (deref-oid_{Person} \text{ in-pre-state}$

$$\begin{aligned}
& (deref-assocs_2 BOSS \text{ in-pre-state} \\
& \quad (select_{Person} BOSS \\
& \quad \quad (deref-oid_{Person} \text{ in-pre-state}))))
\end{aligned}$$

definition $dot_{Person}SALARY\text{-at-pre}:: Person \Rightarrow Integer \ ((1(-).salary@pre) \ 50)$
where $(X).salary@pre = eval-extract \ X$
 $(deref-oid_{Person} \text{ in-pre-state}$
 $(select_{Person} SALARY$
 $reconst-basetype))$

lemmas $dot-accessor =$
 $dot_{OclAny}ANY\text{-def}$
 $dot_{Person}BOSS\text{-def}$
 $dot_{Person}SALARY\text{-def}$
 $dot_{OclAny}ANY\text{-at-pre-def}$
 $dot_{Person}BOSS\text{-at-pre-def}$
 $dot_{Person}SALARY\text{-at-pre-def}$

4.8.2. Context Passing

lemmas $[simp] = eval-extract-def$

lemma $cp-dot_{OclAny}ANY: ((X).any) \ \tau = ((\lambda -. X \ \tau).any) \ \tau \ \langle proof \rangle$

lemma $cp-dot_{Person}BOSS: ((X).boss) \ \tau = ((\lambda -. X \ \tau).boss) \ \tau \ \langle proof \rangle$

lemma $cp-dot_{Person}SALARY: ((X).salary) \ \tau = ((\lambda -. X \ \tau).salary) \ \tau \ \langle proof \rangle$

lemma $cp-dot_{OclAny}ANY\text{-at-pre}: ((X).any@pre) \ \tau = ((\lambda -. X \ \tau).any@pre) \ \tau \ \langle proof \rangle$

lemma $cp-dot_{Person}BOSS\text{-at-pre}: ((X).boss@pre) \ \tau = ((\lambda -. X \ \tau).boss@pre) \ \tau \ \langle proof \rangle$

lemma $cp-dot_{Person}SALARY\text{-at-pre}: ((X).salary@pre) \ \tau = ((\lambda -. X \ \tau).salary@pre) \ \tau \ \langle proof \rangle$

lemmas $cp-dot_{OclAny}ANY\text{-I} [simp, intro!]=$
 $cp-dot_{OclAny}ANY[THEN \ allI[THEN \ allI],$
 $of \ \lambda \ X \ -. \ X \ \lambda \ - \ \tau. \ \tau, \ THEN \ cpI1]$

lemmas $cp-dot_{OclAny}ANY\text{-at-pre-I} [simp, intro!]=$
 $cp-dot_{OclAny}ANY\text{-at-pre}[THEN \ allI[THEN \ allI],$
 $of \ \lambda \ X \ -. \ X \ \lambda \ - \ \tau. \ \tau, \ THEN \ cpI1]$

lemmas $cp-dot_{Person}BOSS\text{-I} [simp, intro!]=$
 $cp-dot_{Person}BOSS[THEN \ allI[THEN \ allI],$
 $of \ \lambda \ X \ -. \ X \ \lambda \ - \ \tau. \ \tau, \ THEN \ cpI1]$

lemmas $cp-dot_{Person}BOSS\text{-at-pre-I} [simp, intro!]=$
 $cp-dot_{Person}BOSS\text{-at-pre}[THEN \ allI[THEN \ allI],$
 $of \ \lambda \ X \ -. \ X \ \lambda \ - \ \tau. \ \tau, \ THEN \ cpI1]$

lemmas $cp-dot_{Person}SALARY\text{-I} [simp, intro!]=$
 $cp-dot_{Person}SALARY[THEN \ allI[THEN \ allI],$
 $of \ \lambda \ X \ -. \ X \ \lambda \ - \ \tau. \ \tau, \ THEN \ cpI1]$

lemmas $cp-dot_{Person}SALARY\text{-at-pre-I} [simp, intro!]=$
 $cp-dot_{Person}SALARY\text{-at-pre}[THEN \ allI[THEN \ allI],$
 $of \ \lambda \ X \ -. \ X \ \lambda \ - \ \tau. \ \tau, \ THEN \ cpI1]$

4.8.3. Execution with Invalid or Null as Argument

lemma $dot_{OclAny}ANY\text{-nullstrict} [simp]: (null).any = invalid$
 $\langle proof \rangle$

lemma $dot_{OclAny}ANY\text{-at-pre-nullstrict} [simp]: (null).any@pre = invalid$
 $\langle proof \rangle$

lemma $\text{dot}_{OclAny} \mathcal{AN}\mathcal{Y}\text{-strict} [\text{simp}] : (\text{invalid}).any = \text{invalid}$
 $\langle \text{proof} \rangle$
lemma $\text{dot}_{OclAny} \mathcal{AN}\mathcal{Y}\text{-at-pre-strict} [\text{simp}] : (\text{invalid}).any@pre = \text{invalid}$
 $\langle \text{proof} \rangle$

lemma $\text{dot}_{Person} \mathcal{BOSS}\text{-nullstrict} [\text{simp}] : (\text{null}).\text{boss} = \text{invalid}$
 $\langle \text{proof} \rangle$
lemma $\text{dot}_{Person} \mathcal{BOSS}\text{-at-pre-nullstrict} [\text{simp}] : (\text{null}).\text{boss@pre} = \text{invalid}$
 $\langle \text{proof} \rangle$
lemma $\text{dot}_{Person} \mathcal{BOSS}\text{-strict} [\text{simp}] : (\text{invalid}).\text{boss} = \text{invalid}$
 $\langle \text{proof} \rangle$
lemma $\text{dot}_{Person} \mathcal{BOSS}\text{-at-pre-strict} [\text{simp}] : (\text{invalid}).\text{boss@pre} = \text{invalid}$
 $\langle \text{proof} \rangle$

lemma $\text{dot}_{Person}SALARY\text{-nullstrict} [simp]: (\text{null}).\text{salary} = \text{invalid}$
<proof>
lemma $\text{dot}_{Person}SALARY\text{-at-pre-nullstrict} [simp]: (\text{null}).\text{salary}@pre = \text{invalid}$
<proof>
lemma $\text{dot}_{Person}SALARY\text{-strict} [simp]: (\text{invalid}).\text{salary} = \text{invalid}$
<proof>
lemma $\text{dot}_{Person}SALARY\text{-at-pre-strict} [simp]: (\text{invalid}).\text{salary}@pre = \text{invalid}$
<proof>

4.8.4. Representation in States

lemma $\text{dot}_{Person} \mathcal{BOSS}\text{-def-mono}:\tau \models \delta(X \text{ .boss}) \implies \tau \models \delta(X)$
 $\langle \text{proof} \rangle$

lemma *repr-boss*:
assumes $A : \tau \models \delta(x.\text{boss})$
shows *is-represented-in-state in-post-state* $(x.\text{boss})$ *Person* τ
 $\langle \text{proof} \rangle$

lemma *repr-bossX* :
assumes $A: \tau \models \delta(x \text{ .boss})$
shows $\tau \models ((Person \text{ .allInstances}()) \rightarrow includes_{Set}(x \text{ .boss}))$
 $\langle proof \rangle$

4.9. A Little Infra-structure on Example States

The example we are defining in this section comes from the figure 4.2.

definition $OclInt1000$ (1000) where $OclInt1000 = (\lambda - . \llbracket 1000 \rrbracket)$
definition $OclInt1200$ (1200) where $OclInt1200 = (\lambda - . \llbracket 1200 \rrbracket)$
definition $OclInt1300$ (1300) where $OclInt1300 = (\lambda - . \llbracket 1300 \rrbracket)$
definition $OclInt1800$ (1800) where $OclInt1800 = (\lambda - . \llbracket 1800 \rrbracket)$
definition $OclInt2600$ (2600) where $OclInt2600 = (\lambda - . \llbracket 2600 \rrbracket)$
definition $OclInt2900$ (2900) where $OclInt2900 = (\lambda - . \llbracket 2900 \rrbracket)$
definition $OclInt3200$ (3200) where $OclInt3200 = (\lambda - . \llbracket 3200 \rrbracket)$
definition $OclInt3500$ (3500) where $OclInt3500 = (\lambda - . \llbracket 3500 \rrbracket)$

```

definition oid0  $\equiv 0$ 
definition oid1  $\equiv 1$ 
definition oid2  $\equiv 2$ 
definition oid3  $\equiv 3$ 

```

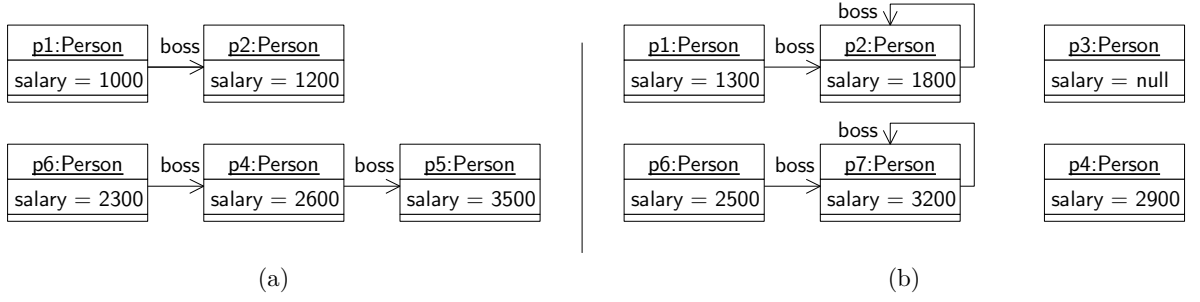


Figure 4.2.: (a) pre-state σ_1 and (b) post-state σ'_1 .

definition $oid4 \equiv 4$
definition $oid5 \equiv 5$
definition $oid6 \equiv 6$
definition $oid7 \equiv 7$
definition $oid8 \equiv 8$

definition $person1 \equiv mk_{Person} \text{ } oid0 \text{ } \lfloor 1300 \rfloor$
definition $person2 \equiv mk_{Person} \text{ } oid1 \text{ } \lfloor 1800 \rfloor$
definition $person3 \equiv mk_{Person} \text{ } oid2 \text{ } None$
definition $person4 \equiv mk_{Person} \text{ } oid3 \text{ } \lfloor 2900 \rfloor$
definition $person5 \equiv mk_{Person} \text{ } oid4 \text{ } \lfloor 3500 \rfloor$
definition $person6 \equiv mk_{Person} \text{ } oid5 \text{ } \lfloor 2500 \rfloor$
definition $person7 \equiv mk_{OclAny} \text{ } oid6 \text{ } \lfloor 3200 \rfloor$
definition $person8 \equiv mk_{OclAny} \text{ } oid7 \text{ } None$
definition $person9 \equiv mk_{Person} \text{ } oid8 \text{ } \lfloor 0 \rfloor$
definition
 $\sigma_1 \equiv \langle \text{ heap} = \text{Map.empty}(oid0 \mapsto in_{Person} (mk_{Person} \text{ } oid0 \text{ } \lfloor 1000 \rfloor),$
 $oid1 \mapsto in_{Person} (mk_{Person} \text{ } oid1 \text{ } \lfloor 1200 \rfloor),$
 ~~$oid2 \mapsto in_{Person} (mk_{Person} \text{ } oid2 \text{ } \lfloor 1000 \rfloor),$~~
 $oid3 \mapsto in_{Person} (mk_{Person} \text{ } oid3 \text{ } \lfloor 2600 \rfloor),$
 $oid4 \mapsto in_{Person} \text{ } person5,$
 $oid5 \mapsto in_{Person} (mk_{Person} \text{ } oid5 \text{ } \lfloor 2300 \rfloor),$
 ~~$oid6 \mapsto in_{Person} (mk_{Person} \text{ } oid6 \text{ } \lfloor 2500 \rfloor),$~~
 ~~$oid7 \mapsto in_{Person} (mk_{Person} \text{ } oid7 \text{ } \lfloor 3200 \rfloor),$~~
 $oid8 \mapsto in_{Person} \text{ } person9),$
 $assocs = \text{Map.empty}(oid_{Person}BOSS \mapsto [[oid0],[oid1]], [[oid3],[oid4]], [[oid5],[oid3]])) \rangle$

definition
 $\sigma'_1 \equiv \langle \text{ heap} = \text{Map.empty}(oid0 \mapsto in_{Person} \text{ } person1,$
 $oid1 \mapsto in_{Person} \text{ } person2,$
 $oid2 \mapsto in_{Person} \text{ } person3,$
 $oid3 \mapsto in_{Person} \text{ } person4,$
 ~~$oid4 \mapsto in_{Person} \text{ } person5,$~~
 $oid5 \mapsto in_{Person} \text{ } person6,$
 $oid6 \mapsto in_{OclAny} \text{ } person7,$
 $oid7 \mapsto in_{OclAny} \text{ } person8,$
 $oid8 \mapsto in_{Person} \text{ } person9),$
 $assocs = \text{Map.empty}(oid_{Person}BOSS \mapsto [[oid0],[oid1]], [[oid1],[oid1]], [[oid5],[oid6]], [[oid6],[oid6]])) \rangle$

definition $\sigma_0 \equiv \langle \text{ heap} = \text{Map.empty}, \text{ assocs} = \text{Map.empty} \rangle$

lemma $basic\text{-}\tau\text{-wff}$: $WFF(\sigma_1, \sigma'_1)$

$\langle \text{proof} \rangle$

lemma [simp,code-unfold]: $\text{dom}(\text{heap } \sigma_1) = \{\text{oid0}, \text{oid1}, \text{oid2}, \text{oid3}, \text{oid4}, \text{oid5}, \text{oid6}, \text{oid7}, \text{oid8}\}$
 $\langle \text{proof} \rangle$

lemma [simp,code-unfold]: $\text{dom}(\text{heap } \sigma_1') = \{\text{oid0}, \text{oid1}, \text{oid2}, \text{oid3}, \text{oid4}, \text{oid5}, \text{oid6}, \text{oid7}, \text{oid8}\}$

$\langle \text{proof} \rangle$ **definition** $X_{\text{Person}1} :: \text{Person} \equiv \lambda . \cdot \perp \text{person1} \perp$

definition $X_{\text{Person}2} :: \text{Person} \equiv \lambda . \cdot \perp \text{person2} \perp$

definition $X_{\text{Person}3} :: \text{Person} \equiv \lambda . \cdot \perp \text{person3} \perp$

definition $X_{\text{Person}4} :: \text{Person} \equiv \lambda . \cdot \perp \text{person4} \perp$

definition $X_{\text{Person}5} :: \text{Person} \equiv \lambda . \cdot \perp \text{person5} \perp$

definition $X_{\text{Person}6} :: \text{Person} \equiv \lambda . \cdot \perp \text{person6} \perp$

definition $X_{\text{Person}7} :: \text{OclAny} \equiv \lambda . \cdot \perp \text{person7} \perp$

definition $X_{\text{Person}8} :: \text{OclAny} \equiv \lambda . \cdot \perp \text{person8} \perp$

definition $X_{\text{Person}9} :: \text{Person} \equiv \lambda . \cdot \perp \text{person9} \perp$

lemma [code-unfold]: $((x :: \text{Person}) \doteq y) = \text{StrictRefEq}_{\text{Object}} x y \langle \text{proof} \rangle$

lemma [code-unfold]: $((x :: \text{OclAny}) \doteq y) = \text{StrictRefEq}_{\text{Object}} x y \langle \text{proof} \rangle$

lemmas [simp,code-unfold] =

$\text{OclAsType}_{\text{OclAny-OclAny}}$

$\text{OclAsType}_{\text{OclAny-Person}}$

$\text{OclAsType}_{\text{Person-OclAny}}$

$\text{OclAsType}_{\text{Person-Person}}$

$\text{OclIsTypeOf}_{\text{OclAny-OclAny}}$

$\text{OclIsTypeOf}_{\text{OclAny-Person}}$

$\text{OclIsTypeOf}_{\text{Person-OclAny}}$

$\text{OclIsTypeOf}_{\text{Person-Person}}$

$\text{OclIsKindOf}_{\text{OclAny-OclAny}}$

$\text{OclIsKindOf}_{\text{OclAny-Person}}$

$\text{OclIsKindOf}_{\text{Person-OclAny}}$

$\text{OclIsKindOf}_{\text{Person-Person}}$ **Assert** $\bigwedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{\text{Person}1} . \text{salary} <> 1000)$

Assert $\bigwedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{\text{Person}1} . \text{salary} \doteq 1300)$

Assert $\bigwedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{\text{Person}1} . \text{salary}@pre \doteq 1000)$

Assert $\bigwedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{\text{Person}1} . \text{salary}@pre <> 1300)$

lemma $(\sigma_1, \sigma_1') \models (X_{\text{Person}1} . \text{oclIsMaintained}())$

$\langle \text{proof} \rangle$

lemma $\bigwedge_{s_{pre} s_{post}} . (s_{pre}, s_{post}) \models ((X_{\text{Person}1} . \text{oclAsType}(\text{OclAny}) . \text{oclAsType}(\text{Person})) \doteq X_{\text{Person}1})$

$\langle \text{proof} \rangle$

Assert $\bigwedge_{s_{pre} s_{post}} . (s_{pre}, s_{post}) \models (X_{\text{Person}1} . \text{oclIsTypeOf}(\text{Person}))$

Assert $\bigwedge_{s_{pre} s_{post}} . (s_{pre}, s_{post}) \models \text{not}(X_{\text{Person}1} . \text{oclIsTypeOf}(\text{OclAny}))$

Assert $\bigwedge_{s_{pre} s_{post}} . (s_{pre}, s_{post}) \models (X_{\text{Person}1} . \text{oclIsKindOf}(\text{Person}))$

Assert $\bigwedge_{s_{pre} s_{post}} . (s_{pre}, s_{post}) \models (X_{\text{Person}1} . \text{oclIsKindOf}(\text{OclAny}))$

Assert $\bigwedge_{s_{pre} s_{post}} . (s_{pre}, s_{post}) \models \text{not}(X_{\text{Person}1} . \text{oclAsType}(\text{OclAny}) . \text{oclIsTypeOf}(\text{OclAny}))$

Assert $\bigwedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{\text{Person}2} . \text{salary} \doteq 1800)$

Assert $\bigwedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{\text{Person}2} . \text{salary}@pre \doteq 1200)$

lemma $(\sigma_1, \sigma_1') \models (X_{\text{Person}2} . \text{oclIsMaintained}())$

$\langle \text{proof} \rangle$

Assert $\bigwedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{\text{Person}3} . \text{salary} \doteq \text{null})$

Assert $\bigwedge_{s_{post}. (\sigma_1, s_{post})} \models \text{not}(v(X_{Person3} .salary@pre))$
lemma $(\sigma_1, \sigma_1') \models (X_{Person3} .oclIsNew())$
 $\langle proof \rangle$

lemma $(\sigma_1, \sigma_1') \models (X_{Person4} .oclIsMaintained())$
 $\langle proof \rangle$

Assert $\bigwedge_{s_{pre} \dots (s_{pre}, \sigma_1')} \models \text{not}(v(X_{Person5} .salary))$
Assert $\bigwedge_{s_{post}. (\sigma_1, s_{post})} \models (X_{Person5} .salary@pre \doteq 3500)$

lemma $(\sigma_1, \sigma_1') \models (X_{Person5} .oclIsDeleted())$
 $\langle proof \rangle$

lemma $(\sigma_1, \sigma_1') \models (X_{Person6} .oclIsMaintained())$
 $\langle proof \rangle$

Assert $\bigwedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models v(X_{Person7} .oclAsType(Person))$

lemma $\bigwedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models ((X_{Person7} .oclAsType(Person) .oclAsType(OclAny))$
 $\quad \quad \quad .oclAsType(Person))$
 $\quad \quad \quad \doteq (X_{Person7} .oclAsType(Person)))$

$\langle proof \rangle$

lemma $(\sigma_1, \sigma_1') \models (X_{Person7} .oclIsNew())$
 $\langle proof \rangle$

Assert $\bigwedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models (X_{Person8} <> X_{Person7})$
Assert $\bigwedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models \text{not}(v(X_{Person8} .oclAsType(Person)))$
Assert $\bigwedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models (X_{Person8} .oclIsTypeOf(OclAny))$
Assert $\bigwedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models \text{not}(X_{Person8} .oclIsTypeOf(Person))$
Assert $\bigwedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models \text{not}(X_{Person8} .oclIsKindOf(Person))$
Assert $\bigwedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models (X_{Person8} .oclIsKindOf(OclAny))$

lemma $\sigma\text{-modifiedonly: } (\sigma_1, \sigma_1') \models (\text{Set}\{ X_{Person1} .oclAsType(OclAny)$
 $\quad , X_{Person2} .oclAsType(OclAny)$
 $\quad //X_{Person3} .oclAsType(OclAny)$
 $\quad , X_{Person4} .oclAsType(OclAny)$
 $\quad //X_{Person5} .oclAsType(OclAny)$
 $\quad , X_{Person6} .oclAsType(OclAny)$
 $\quad //X_{Person7} .oclAsType(OclAny)$
 $\quad //X_{Person8} .oclAsType(OclAny)$
 $\quad //X_{Person9} .oclAsType(OclAny)\} \rightarrow \text{oclIsModifiedOnly}())$

$\langle proof \rangle$

lemma $(\sigma_1, \sigma_1') \models ((X_{Person9} @pre (\lambda x. _OclAsType_{Person} \neg x)) \triangleq X_{Person9})$
 $\langle proof \rangle$

lemma $(\sigma_1, \sigma_1') \models ((X_{Person9} @post (\lambda x. _OclAsType_{Person} \neg x)) \triangleq X_{Person9})$
 $\langle proof \rangle$

lemma $(\sigma_1, \sigma_1') \models (((X_{Person9} .oclAsType(OclAny)) @pre (\lambda x. _OclAsType_{OclAny} \neg x)) \triangleq$

```

    ((XPerson9 .oclAsType(OclAny)) @post (λx. ⊥OclAsTypeOclAny-⊥ x)))
  ⟨proof⟩

lemma perm-σ1' : σ1' = () heap = Map.empty
  (oid8 ↦ inPerson person9,
   oid7 ↦ inOclAny person8,
   oid6 ↦ inOclAny person7,
   oid5 ↦ inPerson person6,
   oid4 ↦ inPerson person5,
   oid3 ↦ inPerson person4,
   oid2 ↦ inPerson person3,
   oid1 ↦ inPerson person2,
   oid0 ↦ inPerson person1)
  , assocs = assocs σ1' )
  ⟨proof⟩

declare const-ss [simp]

lemma ∧σ1.
  (σ1, σ1') ⊨ (Person .allInstances() ≡ Set{ XPerson1, XPerson2, XPerson3, XPerson4, XPerson5, XPerson6,
    XPerson7 .oclAsType(Person), XPerson8, XPerson9 } )
  ⟨proof⟩

lemma ∧σ1.
  (σ1, σ1') ⊨ (OclAny .allInstances() ≡ Set{ XPerson1 .oclAsType(OclAny), XPerson2 .oclAsType(OclAny),
    XPerson3 .oclAsType(OclAny), XPerson4 .oclAsType(OclAny),
    XPerson5, XPerson6 .oclAsType(OclAny),
    XPerson7, XPerson8, XPerson9 .oclAsType(OclAny) } )
  ⟨proof⟩

end

theory
  Analysis-OCL
imports
  Analysis-UML
begin

```

4.10. OCL Part: Invariant

These recursive predicates can be defined conservatively by greatest fix-point constructions—automatically. See [4, 6] for details. For the purpose of this example, we state them as axioms here.

```

context Person
  inv label : self .boss <> null implies (self .salary \<le>
    ((self .boss) .salary))

```

```

definition Person-labelinv :: Person ⇒ Boolean
where
  Person-labelinv (self) ≡
    (self .boss <> null implies (self .salary ≤int ((self .boss) .salary)))

```

```

definition Person-labelinvATpre :: Person ⇒ Boolean
where
  Person-labelinvATpre (self) ≡
    (self .boss@pre <> null implies (self .salary@pre ≤int ((self .boss@pre) .salary@pre)))

```

definition $Person\text{-}label_{global\ inv} :: Boolean$

where $Person\text{-}label_{global\ inv} \equiv (Person.allInstances() \rightarrow forAll_{Set}(x \mid Person\text{-}label_{inv}(x)) \text{ and } (Person.allInstances@pre() \rightarrow forAll_{Set}(x \mid Person\text{-}label_{invATpre}(x))))$

lemma $\tau \models \delta(X.boss) \implies \tau \models Person.allInstances() \rightarrow includes_{Set}(X.boss) \wedge \tau \models Person.allInstances() \rightarrow includes_{Set}(X)$

$\langle proof \rangle$

lemma $REC\text{-}pre : \tau \models Person\text{-}label_{global\ inv}$

$\implies \tau \models Person.allInstances() \rightarrow includes_{Set}(X) \text{ — } X \text{ represented object in state}$

$\implies \exists REC. \tau \models REC(X) \triangleq (Person\text{-}label_{inv}(X) \text{ and } (X.boss \neq null \implies REC(X.boss)))$

$\langle proof \rangle$

This allows to state a predicate:

axiomatization $inv_{Person\text{-}label} :: Person \Rightarrow Boolean$

where $inv_{Person\text{-}label}\text{-}def:$

$(\tau \models Person.allInstances() \rightarrow includes_{Set}(self)) \implies$

$(\tau \models (inv_{Person\text{-}label}(self) \triangleq (self.boss \neq null \implies (self.salary \leq_{int} ((self.boss).salary)) \text{ and } inv_{Person\text{-}label}(self.boss))))$

axiomatization $inv_{Person\text{-}labelATpre} :: Person \Rightarrow Boolean$

where $inv_{Person\text{-}labelATpre}\text{-}def:$

$(\tau \models Person.allInstances@pre() \rightarrow includes_{Set}(self)) \implies$

$(\tau \models (inv_{Person\text{-}labelATpre}(self) \triangleq (self.boss@pre \neq null \implies (self.salary@pre \leq_{int} ((self.boss@pre).salary@pre)) \text{ and } inv_{Person\text{-}labelATpre}(self.boss@pre))))$

lemma $inv\text{-}1 :$

$(\tau \models Person.allInstances() \rightarrow includes_{Set}(self)) \implies$

$(\tau \models inv_{Person\text{-}label}(self) = ((\tau \models (self.boss \neq null)) \vee (\tau \models (self.boss \neq null) \wedge \tau \models ((self.salary \leq_{int} (self.boss.salary)) \wedge \tau \models (inv_{Person\text{-}label}(self.boss)))))$

$\langle proof \rangle$

lemma $inv\text{-}2 :$

$(\tau \models Person.allInstances@pre() \rightarrow includes_{Set}(self)) \implies$

$(\tau \models inv_{Person\text{-}labelATpre}(self) = ((\tau \models (self.boss@pre \neq null)) \vee (\tau \models (self.boss@pre \neq null) \wedge (\tau \models (self.boss@pre.salary@pre \leq_{int} self.salary@pre)) \wedge (\tau \models (inv_{Person\text{-}labelATpre}(self.boss@pre)))))$

$\langle proof \rangle$

A very first attempt to characterize the axiomatization by an inductive definition - this can not be the last word since too weak (should be equality!)

coinductive $inv :: Person \Rightarrow (\mathbb{A})st \Rightarrow bool$ **where**

$(\tau \models (\delta self)) \implies ((\tau \models (self.boss \neq null)) \vee$

$(\tau \models (self.boss \neq null) \wedge (\tau \models (self.boss.salary \leq_{int} self.salary)) \wedge (inv(self.boss)\tau)))$

$\implies (inv self \tau)$

4.11. OCL Part: The Contract of a Recursive Query

The original specification of a recursive query :

```
context Person::contents():Set(Integer)
pre:    true
post:   result = if self.boss = null
           then Set{i}
           else self.boss.contents()->including(i)
           endif
```

For the case of recursive queries, we use at present just axiomatizations:

axiomatization *contents* :: *Person* \Rightarrow *Set-Integer* $((1(-).contents'()) \ 50)$

where *contents-def*:

```
(self.contents()) = ( $\lambda \tau$ . SOME res. let res =  $\lambda -$ . res in
  if  $\tau \models (\delta \text{ self})$ 
  then  $((\tau \models \text{true}) \wedge$ 
     $(\tau \models \text{res} \triangleq \text{if } (self.boss \doteq null)$ 
      then  $(Set\{self.salary\})$ 
      else  $(self.boss.contents()$ 
         $\rightarrow including_{Set}(self.salary))$ 
      endif))
    else  $\tau \models \text{res} \triangleq \text{invalid})$ 
  )
```

and *cp0-contents*: $(X .contents()) \ \tau = ((\lambda -. X \ \tau) .contents()) \ \tau$

interpretation *contents* : *contract0 contents* $\lambda \text{ self}.$ *true*

```
 $\lambda \text{ self res}.$   $\text{res} \triangleq \text{if } (self.boss \doteq null)$ 
  then  $(Set\{self.salary\})$ 
  else  $(self.boss.contents()$ 
     $\rightarrow including_{Set}(self.salary))$ 
  endif
```

$\langle \text{proof} \rangle$

Specializing $\llbracket cp \ E; \ \tau \models \delta \ \text{self}; \ \tau \models \text{true}; \ \tau \models POST' \ \text{self}; \ \bigwedge res. (res \triangleq \text{if } self.boss \doteq null \text{ then } Set\{self.salary\} \text{ else } self.boss.contents() \rightarrow including_{Set}(self.salary) \text{ endif}) = (POST' \ \text{self} \text{ and } (res \triangleq BODY \ \text{self})) \rrbracket \Rightarrow (\tau \models E \ (self.contents())) = (\tau \models E \ (BODY \ \text{self}))$, one gets the following more practical rewrite rule that is amenable to symbolic evaluation:

theorem *unfold-contents* :

assumes *cp E*

and $\tau \models \delta \ \text{self}$

shows $(\tau \models E \ (self.contents())) =$
 $(\tau \models E \ (\text{if } self.boss \doteq null$
 $\text{then } Set\{self.salary\}$
 $\text{else } self.boss.contents() \rightarrow including_{Set}(self.salary) \text{ endif}))$

$\langle \text{proof} \rangle$

Since we have only one interpretation function, we need the corresponding operation on the pre-state:

consts *contentsATpre* :: *Person* \Rightarrow *Set-Integer* $((1(-).contents@pre'()) \ 50)$

axiomatization where *contentsATpre-def*:

```
(self).contents@pre() = ( $\lambda \tau$ .
  SOME res. let res =  $\lambda -$ . res in
  if  $\tau \models (\delta \text{ self})$ 
  then  $((\tau \models \text{true}) \wedge$ 
     $(\tau \models (\text{res} \triangleq \text{if } (self.boss@pre \doteq null$  — pre
      then  $(Set\{(self).salary@pre\}$  — post
      else  $(self.boss@pre.contents@pre()$ 
    )
```

```

                                ->includingSet(self .salary@pre)
                                endif)))
    else  $\tau \models res \triangleq invalid$ 
and  $cp0\text{-}contents\text{-}at\text{-}pre:(X .contents@pre()) \tau = ((\lambda -. X \tau) .contents@pre()) \tau$ 

interpretation  $contentsATpre : contract0 \text{ contentsATpre } \lambda self. true$ 
     $\lambda self \text{ res. } res \triangleq if (self .boss@pre \doteq null)$ 
    then  $(Set\{self .salary@pre\})$ 
    else  $(self .boss@pre .contents@pre())$ 
    ->includingSet(self .salary@pre)
    endif

     $\langle proof \rangle$ 

```

Again, we derive via *contents.fold2* a Knaster-Tarski like Fixpoint rule that is amenable to symbolic evaluation:

```

theorem  $unfold\text{-}contentsATpre :$ 
  assumes  $cp \ E$ 
  and  $\tau \models \delta \ self$ 
  shows  $(\tau \models E (self .contents@pre())) =$ 
     $(\tau \models E (if \ self .boss@pre \doteq null$ 
      then  $Set\{self .salary@pre\}$ 
      else  $self .boss@pre .contents@pre() ->including_{Set}(self .salary@pre) \ endif))$ 

   $\langle proof \rangle$ 

```

Note that these **@pre** variants on methods are only available on queries, i.e., operations without side-effect.

4.12. OCL Part: The Contract of a User-defined Method

The example specification in high-level OCL input syntax reads as follows:

```

context  $Person :: insert(x:Integer)$ 
pre:  $true$ 
post:  $contents() : Set(Integer)$ 
 $contents() = contents@pre() ->including(x)$ 

```

This boils down to:

```

definition  $insert :: Person \Rightarrow Integer \Rightarrow Void ((1(-).insert'(-)) 50)$ 
where  $self .insert(x) \equiv$ 
   $(\lambda \tau. SOME \text{ res. let } res = \lambda -. \text{ res in}$ 
     $if (\tau \models (\delta \ self)) \wedge (\tau \models v \ x)$ 
    then  $(\tau \models true \wedge$ 
       $(\tau \models ((self).contents()) \triangleq (self).contents@pre() ->including_{Set}(x)))$ 
    else  $\tau \models res \triangleq invalid$ )

```

The semantic consequences of this definition were computed inside this locale interpretation:

```

interpretation  $insert : contract1 \ insert \ \lambda \ self \ x. true$ 
     $\lambda \ self \ x \ res. ((self .contents()) \triangleq$ 
     $(self .contents@pre() ->including_{Set}(x)))$ 

     $\langle proof \rangle$ 

```

The result of this locale interpretation for our *Analysis-OCL.insert* contract is the following set of properties, which serves as basis for automated deduction on them:

end

Name	Theorem
<i>insert.strict0</i>	$(invalid.insert(X)) = invalid$
<i>insert.nullstrict0</i>	$(null.insert(X)) = invalid$
<i>insert.strict1</i>	$(self.insert(invalid)) = invalid$
<i>insert.cp_{PRE}</i>	$true \tau = true \tau$
<i>insert.cp_{POST}</i>	$(self.contents() \triangleq self.contents@pre() \rightarrow including_{Set}(a1.0)) \tau = (\lambda-. self \tau.contents() \triangleq \lambda-. self \tau.contents@pre() \rightarrow including_{Set}(\lambda-. a1.0 \tau)) \tau$
<i>insert.cp-pre</i>	$\llbracket cp \ self'; \ cp \ a1' \rrbracket \implies cp \ (\lambda X. \ true)$
<i>insert.cp-post</i>	$\llbracket cp \ self'; \ cp \ a1'; \ cp \ res \rrbracket \implies cp \ (\lambda X. \ self' \ X.contents() \triangleq self' \ X.contents@pre() \rightarrow including_{Set}(a1' \ X))$
<i>insert.cp</i>	$\llbracket cp \ self'; \ cp \ a1'; \ cp \ res \rrbracket \implies cp \ (\lambda X. \ self' \ X.insert(a1' \ X))$
<i>insert.cp0</i>	$(self.insert(a1.0)) \tau = (\lambda-. self \ \tau.insert(\lambda-. a1.0 \ \tau)) \ \tau$
<i>insert.def-scheme</i>	$self.insert(a1.0) \equiv \lambda\tau. \ SOME \ res. \ let \ res = \lambda-. \ res \ in \ if \ \tau \models \delta \ self \wedge \tau \models v \ a1.0 \ then \ \tau \models true \wedge \tau \models self.contents() \triangleq self.contents@pre() \rightarrow including_{Set}(a1.0) \ else \ \tau \models res \triangleq invalid$
<i>insert.unfold</i>	$\llbracket cp \ E; \ \tau \models \delta \ self \wedge \tau \models v \ a1.0; \ \tau \models true; \ \exists \ res. \ \tau \models self.contents() \triangleq self.contents@pre() \rightarrow including_{Set}(a1.0); \ \bigwedge \ res. \ \tau \models self.contents() \triangleq self.contents@pre() \rightarrow including_{Set}(a1.0) \implies \tau \models E \ (\lambda-. \ res) \rrbracket \implies \tau \models E \ (self.insert(a1.0))$
<i>insert.unfold2</i>	$\llbracket cp \ E; \ \tau \models \delta \ self \wedge \tau \models v \ a1.0; \ \tau \models true; \ \tau \models POST' \ self \ a1.0; \ \bigwedge \ res. \ (self.contents() \triangleq self.contents@pre() \rightarrow including_{Set}(a1.0)) = (POST' \ self \ a1.0 \ and \ (res \triangleq BODY \ self \ a1.0)) \rrbracket \implies (\tau \models E \ (self.insert(a1.0))) = (\tau \models E \ (BODY \ self \ a1.0))$

Table 4.1.: Semantic properties resulting from a user-defined operation contract.

5. Example: The Employee Design Model

```
theory
  Design-UML
imports
  ../../../UML-Main
begin
```

5.1. Introduction

For certain concepts like classes and class-types, only a generic definition for its resulting semantics can be given. Generic means, there is a function outside HOL that “compiles” a concrete, closed-world class diagram into a “theory” of this data model, consisting of a bunch of definitions for classes, accessors, method, casts, and tests for actual types, as well as proofs for the fundamental properties of these operations in this concrete data model.

Such generic function or “compiler” can be implemented in Isabelle on the ML level. This has been done, for a semantics following the open-world assumption, for UML 2.0 in [4, 7]. In this paper, we follow another approach for UML 2.4: we define the concepts of the compilation informally, and present a concrete example which is verified in Isabelle/HOL.

5.1.1. Outlining the Example

We are presenting here a “design-model” of the (slightly modified) example Figure 7.3, page 20 of the OCL standard [32]. To be precise, this theory contains the formalization of the data-part covered by the UML class model (see Figure 5.1):

This means that the association (attached to the association class **EmployeeRanking**) with the association ends **boss** and **employees** is implemented by the attribute **boss** and the operation **employees** (to be discussed in the OCL part captured by the subsequent theory).

5.2. Example Data-Universe and its Infrastructure

Ideally, the following is generated automatically from a UML class model.

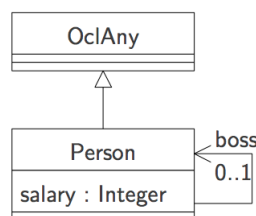


Figure 5.1.: A simple UML class model drawn from Figure 7.3, page 20 of [32].

Our data universe consists in the concrete class diagram just of node's, and implicitly of the class object. Each class implies the existence of a class type defined for the corresponding object representations as follows:

```
datatype typePerson = mkPerson oid
                      int option
                      oid option
```

```
datatype typeOclAny = mkOclAny oid
                      (int option × oid option) option
```

Now, we construct a concrete “universe of OclAny types” by injection into a sum type containing the class types. This type of OclAny will be used as instance for all respective type-variables.

```
datatype  $\mathfrak{A}$  = inPerson typePerson | inOclAny typeOclAny
```

Having fixed the object universe, we can introduce type synonyms that exactly correspond to OCL types. Again, we exploit that our representation of OCL is a “shallow embedding” with a one-to-one correspondance of OCL-types to types of the meta-language HOL.

```
type-synonym Boolean      =  $\mathfrak{A}$  Boolean
type-synonym Integer     =  $\mathfrak{A}$  Integer
type-synonym Void       =  $\mathfrak{A}$  Void
type-synonym OclAny      = ( $\mathfrak{A}$ , typeOclAny option option) val
type-synonym Person      = ( $\mathfrak{A}$ , typePerson option option) val
type-synonym Set-Integer = ( $\mathfrak{A}$ , int option option) Set
type-synonym Set-Person  = ( $\mathfrak{A}$ , typePerson option option) Set
```

Just a little check:

```
typ Boolean
```

To reuse key-elements of the library like referential equality, we have to show that the object universe belongs to the type class “oclany,” i.e., each class type has to provide a function *oid-of* yielding the object id (oid) of the object.

```
instantiation typePerson :: object
begin
  definition oid-of-typePerson-def: oid-of x = (case x of mkPerson oid - ⇒ oid)
  instance <proof>
end
```

```
instantiation typeOclAny :: object
begin
  definition oid-of-typeOclAny-def: oid-of x = (case x of mkOclAny oid - ⇒ oid)
  instance <proof>
end
```

```
instantiation  $\mathfrak{A}$  :: object
begin
  definition oid-of- $\mathfrak{A}$ -def: oid-of x = (case x of
                                inPerson person ⇒ oid-of person
                                | inOclAny oclany ⇒ oid-of oclany)
  instance <proof>
end
```

5.3. Instantiation of the Generic Strict Equality

We instantiate the referential equality on *Person* and *OclAny*

```

overloading StrictRefEq  $\equiv$  StrictRefEq :: [Person,Person]  $\Rightarrow$  Boolean
begin
  definition StrictRefEqObject-Person : (x::Person)  $\doteq$  y  $\equiv$  StrictRefEqObject x y
end

```

```

overloading StrictRefEq  $\equiv$  StrictRefEq :: [OclAny,OclAny]  $\Rightarrow$  Boolean
begin
  definition StrictRefEqObject-OclAny : (x::OclAny)  $\doteq$  y  $\equiv$  StrictRefEqObject x y
end

```

```

lemmas cps23 =
  cp-StrictRefEqObject [of x::Person y::Person  $\tau$ ,
    simplified StrictRefEqObject-Person [symmetric]]
  cp-intro(9) [of P::Person  $\Rightarrow$  PersonQ::Person  $\Rightarrow$  Person,
    simplified StrictRefEqObject-Person [symmetric]]
  StrictRefEqObject-def [of x::Person y::Person,
    simplified StrictRefEqObject-Person [symmetric]]
  StrictRefEqObject-defargs [of - x::Person y::Person,
    simplified StrictRefEqObject-Person [symmetric]]
  StrictRefEqObject-strict1
    [of x::Person,
    simplified StrictRefEqObject-Person [symmetric]]
  StrictRefEqObject-strict2
    [of x::Person,
    simplified StrictRefEqObject-Person [symmetric]]
for x y  $\tau$  P Q

```

For each Class *C*, we will have a casting operation *.oclAsType*(*C*), a test on the actual type *.oclIsTypeOf*(*C*) as well as its relaxed form *.oclIsKindOf*(*C*) (corresponding exactly to Java's *instanceof*-operator).

Thus, since we have two class-types in our concrete class hierarchy, we have two operations to declare and to provide two overloading definitions for the two static types.

5.4. OclAsType

5.4.1. Definition

```

consts OclAsTypeOclAny :: ' $\alpha \Rightarrow$  OclAny ((-) .oclAsType'(OclAny'))
consts OclAsTypePerson :: ' $\alpha \Rightarrow$  Person ((-) .oclAsType'(Person'))

```

```

definition OclAsTypeOclAny-A = ( $\lambda u.$   $\sqsubseteq$  case u of inOclAny a  $\Rightarrow$  a
  | inPerson (mkPerson oid a b)  $\Rightarrow$  mkOclAny oid  $\sqsubseteq$  (a,b) $\sqsubseteq$ )

```

```

lemma OclAsTypeOclAny-A-some: OclAsTypeOclAny-A x  $\neq$  None
<proof>

```

```

overloading OclAsTypeOclAny  $\equiv$  OclAsTypeOclAny :: OclAny  $\Rightarrow$  OclAny
begin
  definition OclAsTypeOclAny-OclAny:
    (X::OclAny) .oclAsType(OclAny)  $\equiv$  X
end

```

```

overloading OclAsTypeOclAny  $\equiv$  OclAsTypeOclAny :: Person  $\Rightarrow$  OclAny
begin
  definition OclAsTypeOclAny-Person:
    (X::Person) .oclAsType(OclAny)  $\equiv$ 

```

```

      (λτ. case X τ of
        | ⊥ ⇒ invalid τ
        | ⊥⊥ ⇒ null τ
        | ⊥⊥ mkPerson oid a b ⊥⊥ ⇒ ⊥⊥ (mkOclAny oid ⊥⊥(a,b) ⊥⊥)
      end

definition OclAsTypePerson- $\mathfrak{A}$  =
  (λu. case u of inPerson p ⇒ ⊥p
    | inOclAny (mkOclAny oid ⊥⊥(a,b) ⊥⊥) ⇒ ⊥mkPerson oid a b
    | - ⇒ None)

overloading OclAsTypePerson ≡ OclAsTypePerson :: OclAny ⇒ Person
begin
  definition OclAsTypePerson-OclAny:
    (X::OclAny) .oclAsType(Person) ≡
      (λτ. case X τ of
        | ⊥ ⇒ invalid τ
        | ⊥⊥ ⇒ null τ
        | ⊥⊥ mkOclAny oid ⊥⊥ ⇒ invalid τ — down-cast exception
        | ⊥⊥ mkOclAny oid ⊥⊥(a,b) ⊥⊥ ⇒ ⊥mkPerson oid a b)
      end

overloading OclAsTypePerson ≡ OclAsTypePerson :: Person ⇒ Person
begin
  definition OclAsTypePerson-Person:
    (X::Person) .oclAsType(Person) ≡ X
endlemmas [simp] =
  OclAsTypeOclAny-OclAny
  OclAsTypePerson-Person

```

5.4.2. Context Passing

```

lemma cp-OclAsTypeOclAny-Person-Person: cp P ⇒ cp(λX. (P (X::Person)::Person) .oclAsType(OclAny))
  <proof>
lemma cp-OclAsTypeOclAny-OclAny-OclAny: cp P ⇒ cp(λX. (P (X::OclAny)::OclAny) .oclAsType(OclAny))
  <proof>
lemma cp-OclAsTypePerson-Person-Person: cp P ⇒ cp(λX. (P (X::Person)::Person) .oclAsType(Person))
  <proof>
lemma cp-OclAsTypePerson-OclAny-OclAny: cp P ⇒ cp(λX. (P (X::OclAny)::OclAny) .oclAsType(Person))
  <proof>

lemma cp-OclAsTypeOclAny-Person-OclAny: cp P ⇒ cp(λX. (P (X::Person)::OclAny) .oclAsType(OclAny))
  <proof>
lemma cp-OclAsTypeOclAny-OclAny-Person: cp P ⇒ cp(λX. (P (X::OclAny)::Person) .oclAsType(OclAny))
  <proof>
lemma cp-OclAsTypePerson-Person-OclAny: cp P ⇒ cp(λX. (P (X::Person)::OclAny) .oclAsType(Person))
  <proof>
lemma cp-OclAsTypePerson-OclAny-Person: cp P ⇒ cp(λX. (P (X::OclAny)::Person) .oclAsType(Person))
  <proof>

lemmas [simp] =
  cp-OclAsTypeOclAny-Person-Person
  cp-OclAsTypeOclAny-OclAny-OclAny
  cp-OclAsTypePerson-Person-Person
  cp-OclAsTypePerson-OclAny-OclAny

  cp-OclAsTypeOclAny-Person-OclAny

```

cp-OclAsTypeOclAny-OclAny-Person
cp-OclAsTypePerson-Person-OclAny
cp-OclAsTypePerson-OclAny-Person

5.4.3. Execution with Invalid or Null as Argument

lemma *OclAsTypeOclAny-OclAny-strict* : (*invalid::OclAny*) .*oclAsType*(*OclAny*) = *invalid* *<proof>*
lemma *OclAsTypeOclAny-OclAny-nullstrict* : (*null::OclAny*) .*oclAsType*(*OclAny*) = *null* *<proof>*
lemma *OclAsTypeOclAny-Person-strict[simp]* : (*invalid::Person*) .*oclAsType*(*OclAny*) = *invalid* *<proof>*
lemma *OclAsTypeOclAny-Person-nullstrict[simp]* : (*null::Person*) .*oclAsType*(*OclAny*) = *null* *<proof>*
lemma *OclAsTypePerson-OclAny-strict[simp]* : (*invalid::OclAny*) .*oclAsType*(*Person*) = *invalid* *<proof>*
lemma *OclAsTypePerson-OclAny-nullstrict[simp]* : (*null::OclAny*) .*oclAsType*(*Person*) = *null* *<proof>*
lemma *OclAsTypePerson-Person-strict* : (*invalid::Person*) .*oclAsType*(*Person*) = *invalid* *<proof>*
lemma *OclAsTypePerson-Person-nullstrict* : (*null::Person*) .*oclAsType*(*Person*) = *null* *<proof>*

5.5. OclIsTypeOf

5.5.1. Definition

consts *OclIsTypeOfOclAny* :: ' $\alpha \Rightarrow \text{Boolean}$ ((-).*oclIsTypeOf'*(*OclAny*'))
consts *OclIsTypeOfPerson* :: ' $\alpha \Rightarrow \text{Boolean}$ ((-).*oclIsTypeOf'*(*Person*'))

overloading *OclIsTypeOfOclAny* \equiv *OclIsTypeOfOclAny* :: *OclAny* \Rightarrow *Boolean*

begin

definition *OclIsTypeOfOclAny-OclAny*:

(*X::OclAny*) .*oclIsTypeOf*(*OclAny*) \equiv
 ($\lambda \tau$. *case X* τ *of*
 $\perp \Rightarrow \text{invalid } \tau$
 | $\perp \Rightarrow \text{true } \tau \text{ — invalid ??}$
 | $\perp \text{mk}_{OclAny} \text{oid } \perp \Rightarrow \text{true } \tau$
 | $\perp \text{mk}_{OclAny} \text{oid } \perp \Rightarrow \text{false } \tau$)

end

lemma *OclIsTypeOfOclAny-OclAny'*:

(*X::OclAny*) .*oclIsTypeOf*(*OclAny*) =
 ($\lambda \tau$. *if* $\tau \models v \text{ } X$ *then* (*case X* τ *of*
 $\perp \Rightarrow \text{true } \tau \text{ — invalid ??}$
 | $\perp \text{mk}_{OclAny} \text{oid } \perp \Rightarrow \text{true } \tau$
 | $\perp \text{mk}_{OclAny} \text{oid } \perp \Rightarrow \text{false } \tau$)
 else invalid τ)

<proof>

interpretation *OclIsTypeOfOclAny-OclAny* :

profile-mono-schemeV

OclIsTypeOfOclAny::OclAny \Rightarrow *Boolean*

λX . (*case X* *of*

$\perp \text{None} \Rightarrow \perp \text{True} \text{ — invalid ??}$
 | $\perp \text{mk}_{OclAny} \text{oid } \text{None} \Rightarrow \perp \text{True}$
 | $\perp \text{mk}_{OclAny} \text{oid } \perp \Rightarrow \perp \text{False}$)

<proof>

overloading *OclIsTypeOfOclAny* \equiv *OclIsTypeOfOclAny* :: *Person* \Rightarrow *Boolean*

begin

```

definition OclIsTypeOfOclAny-Person:
  (X::Person) .oclIsTypeOf(OclAny)  $\equiv$ 
    ( $\lambda\tau$ . case X  $\tau$  of
       $\perp \Rightarrow \text{invalid } \tau$ 
      |  $\perp\perp \Rightarrow \text{true } \tau$  — invalid ??
      |  $\perp - \perp \Rightarrow \text{false } \tau$ ) — must have actual type Person otherwise
end

overloading OclIsTypeOfPerson  $\equiv$  OclIsTypeOfPerson :: OclAny  $\Rightarrow$  Boolean
begin
  definition OclIsTypeOfPerson-OclAny:
    (X::OclAny) .oclIsTypeOf(Person)  $\equiv$ 
      ( $\lambda\tau$ . case X  $\tau$  of
         $\perp \Rightarrow \text{invalid } \tau$ 
        |  $\perp\perp \Rightarrow \text{true } \tau$ 
        |  $\perp \text{mk}_{OclAny} \text{oid } \perp \perp \Rightarrow \text{false } \tau$ 
        |  $\perp \text{mk}_{OclAny} \text{oid } \perp\perp \Rightarrow \text{true } \tau$ )
end

overloading OclIsTypeOfPerson  $\equiv$  OclIsTypeOfPerson :: Person  $\Rightarrow$  Boolean
begin
  definition OclIsTypeOfPerson-Person:
    (X::Person) .oclIsTypeOf(Person)  $\equiv$ 
      ( $\lambda\tau$ . case X  $\tau$  of
         $\perp \Rightarrow \text{invalid } \tau$ 
        |  $- \Rightarrow \text{true } \tau$ )
end

```

5.5.2. Context Passing

```

lemma cp-OclIsTypeOfOclAny-Person-Person: cp P  $\Rightarrow$  cp( $\lambda X$ .(P(X::Person)::Person).oclIsTypeOf(OclAny))
 $\langle \text{proof} \rangle$ 
lemma cp-OclIsTypeOfOclAny-OclAny-OclAny: cp P  $\Rightarrow$  cp( $\lambda X$ .(P(X::OclAny)::OclAny).oclIsTypeOf(OclAny))
 $\langle \text{proof} \rangle$ 
lemma cp-OclIsTypeOfPerson-Person-Person: cp P  $\Rightarrow$  cp( $\lambda X$ .(P(X::Person)::Person).oclIsTypeOf(Person))
 $\langle \text{proof} \rangle$ 
lemma cp-OclIsTypeOfPerson-OclAny-OclAny: cp P  $\Rightarrow$  cp( $\lambda X$ .(P(X::OclAny)::OclAny).oclIsTypeOf(Person))
 $\langle \text{proof} \rangle$ 

lemma cp-OclIsTypeOfOclAny-Person-OclAny: cp P  $\Rightarrow$  cp( $\lambda X$ .(P(X::Person)::OclAny).oclIsTypeOf(OclAny))
 $\langle \text{proof} \rangle$ 
lemma cp-OclIsTypeOfOclAny-OclAny-Person: cp P  $\Rightarrow$  cp( $\lambda X$ .(P(X::OclAny)::Person).oclIsTypeOf(OclAny))
 $\langle \text{proof} \rangle$ 
lemma cp-OclIsTypeOfPerson-Person-OclAny: cp P  $\Rightarrow$  cp( $\lambda X$ .(P(X::Person)::OclAny).oclIsTypeOf(Person))
 $\langle \text{proof} \rangle$ 
lemma cp-OclIsTypeOfPerson-OclAny-Person: cp P  $\Rightarrow$  cp( $\lambda X$ .(P(X::OclAny)::Person).oclIsTypeOf(Person))
 $\langle \text{proof} \rangle$ 

lemmas [simp] =
  cp-OclIsTypeOfOclAny-Person-Person
  cp-OclIsTypeOfOclAny-OclAny-OclAny
  cp-OclIsTypeOfPerson-Person-Person
  cp-OclIsTypeOfPerson-OclAny-OclAny

  cp-OclIsTypeOfOclAny-Person-OclAny
  cp-OclIsTypeOfOclAny-OclAny-Person

```

cp-OclIsTypeOf_{Person}-Person-OclAny
cp-OclIsTypeOf_{Person}-OclAny-Person

5.5.3. Execution with Invalid or Null as Argument

lemma *OclIsTypeOf_{OclAny}-OclAny-strict1* [simp]:
 (*invalid*::OclAny) .*oclIsTypeOf*(OclAny) = *invalid*
 ⟨proof⟩
lemma *OclIsTypeOf_{OclAny}-OclAny-strict2* [simp]:
 (*null*::OclAny) .*oclIsTypeOf*(OclAny) = *true*
 ⟨proof⟩
lemma *OclIsTypeOf_{OclAny}-Person-strict1* [simp]:
 (*invalid*::Person) .*oclIsTypeOf*(OclAny) = *invalid*
 ⟨proof⟩
lemma *OclIsTypeOf_{OclAny}-Person-strict2* [simp]:
 (*null*::Person) .*oclIsTypeOf*(OclAny) = *true*
 ⟨proof⟩
lemma *OclIsTypeOf_{Person}-OclAny-strict1* [simp]:
 (*invalid*::OclAny) .*oclIsTypeOf*(Person) = *invalid*
 ⟨proof⟩
lemma *OclIsTypeOf_{Person}-OclAny-strict2* [simp]:
 (*null*::OclAny) .*oclIsTypeOf*(Person) = *true*
 ⟨proof⟩
lemma *OclIsTypeOf_{Person}-Person-strict1* [simp]:
 (*invalid*::Person) .*oclIsTypeOf*(Person) = *invalid*
 ⟨proof⟩
lemma *OclIsTypeOf_{Person}-Person-strict2* [simp]:
 (*null*::Person) .*oclIsTypeOf*(Person) = *true*
 ⟨proof⟩

5.5.4. Up Down Casting

lemma *actualType-larger-staticType*:
assumes *isdef*: $\tau \models (\delta \ X)$
shows $\tau \models (X::Person) .oclIsTypeOf(OclAny) \triangleq false$
 ⟨proof⟩

lemma *down-cast-type*:
assumes *isOclAny*: $\tau \models (X::OclAny) .oclIsTypeOf(OclAny)$
and *non-null*: $\tau \models (\delta \ X)$
shows $\tau \models (X .oclAsType(Person)) \triangleq invalid$
 ⟨proof⟩

lemma *down-cast-type'*:
assumes *isOclAny*: $\tau \models (X::OclAny) .oclIsTypeOf(OclAny)$
and *non-null*: $\tau \models (\delta \ X)$
shows $\tau \models not \ (v \ (X .oclAsType(Person)))$
 ⟨proof⟩

lemma *up-down-cast* :
assumes *isdef*: $\tau \models (\delta \ X)$
shows $\tau \models ((X::Person) .oclAsType(OclAny) .oclAsType(Person)) \triangleq X$
 ⟨proof⟩

lemma *up-down-cast-Person-OclAny-Person* [simp]:
shows $((X::Person) .oclAsType(OclAny) .oclAsType(Person)) = X$

$\langle \text{proof} \rangle$

lemma *up-down-cast-Person-OclAny-Person'*:

assumes $\tau \models v \ X$

shows $\tau \models (((X :: \text{Person}) . \text{oclAsType}(\text{OclAny}) . \text{oclAsType}(\text{Person})) \doteq X)$

$\langle \text{proof} \rangle$

lemma *up-down-cast-Person-OclAny-Person''*:

assumes $\tau \models v \ (X :: \text{Person})$

shows $\tau \models (X . \text{oclIsTypeOf}(\text{Person}) \text{ implies } (X . \text{oclAsType}(\text{OclAny}) . \text{oclAsType}(\text{Person})) \doteq X)$

$\langle \text{proof} \rangle$

5.6. OclIsKindOf

5.6.1. Definition

consts $\text{OclIsKindOf}_{\text{OclAny}} :: 'a \Rightarrow \text{Boolean} \ ((-). \text{oclIsKindOf}'(\text{OclAny}'))$

consts $\text{OclIsKindOf}_{\text{Person}} :: 'a \Rightarrow \text{Boolean} \ ((-). \text{oclIsKindOf}'(\text{Person}'))$

overloading $\text{OclIsKindOf}_{\text{OclAny}} \equiv \text{OclIsKindOf}_{\text{OclAny}} :: \text{OclAny} \Rightarrow \text{Boolean}$

begin

definition $\text{OclIsKindOf}_{\text{OclAny-OclAny}}$:

$(X :: \text{OclAny}) . \text{oclIsKindOf}(\text{OclAny}) \equiv$

$(\lambda \tau. \text{case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow \text{invalid } \tau$
 $\quad | - \Rightarrow \text{true } \tau)$

end

overloading $\text{OclIsKindOf}_{\text{OclAny}} \equiv \text{OclIsKindOf}_{\text{OclAny}} :: \text{Person} \Rightarrow \text{Boolean}$

begin

definition $\text{OclIsKindOf}_{\text{OclAny-Person}}$:

$(X :: \text{Person}) . \text{oclIsKindOf}(\text{OclAny}) \equiv$

$(\lambda \tau. \text{case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow \text{invalid } \tau$
 $\quad | - \Rightarrow \text{true } \tau)$

end

overloading $\text{OclIsKindOf}_{\text{Person}} \equiv \text{OclIsKindOf}_{\text{Person}} :: \text{OclAny} \Rightarrow \text{Boolean}$

begin

definition $\text{OclIsKindOf}_{\text{Person-OclAny}}$:

$(X :: \text{OclAny}) . \text{oclIsKindOf}(\text{Person}) \equiv$

$(\lambda \tau. \text{case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow \text{invalid } \tau$
 $\quad | \perp_{\perp} \Rightarrow \text{true } \tau$
 $\quad | \perp_{\perp} \text{mk}_{\text{OclAny}} \text{ oid } \perp_{\perp} \Rightarrow \text{false } \tau$
 $\quad | \perp_{\perp} \text{mk}_{\text{OclAny}} \text{ oid } \perp_{\perp} \Rightarrow \text{true } \tau)$

end

overloading $\text{OclIsKindOf}_{\text{Person}} \equiv \text{OclIsKindOf}_{\text{Person}} :: \text{Person} \Rightarrow \text{Boolean}$

begin

definition $\text{OclIsKindOf}_{\text{Person-Person}}$:

$(X :: \text{Person}) . \text{oclIsKindOf}(\text{Person}) \equiv$

$(\lambda \tau. \text{case } X \ \tau \text{ of}$
 $\quad \perp \Rightarrow \text{invalid } \tau$
 $\quad | - \Rightarrow \text{true } \tau)$

end

5.6.2. Context Passing

lemma $cp\text{-}OclIsKindOf_{OclAny\text{-}Person\text{-}Person}: cp\ P \implies cp(\lambda X.(P(X::Person)::Person).oclIsKindOf(OclAny))$
 $\langle proof \rangle$

lemma $cp\text{-}OclIsKindOf_{OclAny\text{-}OclAny\text{-}OclAny}: cp\ P \implies cp(\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(OclAny))$
 $\langle proof \rangle$

lemma $cp\text{-}OclIsKindOf_{Person\text{-}Person\text{-}Person}: cp\ P \implies cp(\lambda X.(P(X::Person)::Person).oclIsKindOf(Person))$
 $\langle proof \rangle$

lemma $cp\text{-}OclIsKindOf_{Person\text{-}OclAny\text{-}OclAny}: cp\ P \implies cp(\lambda X.(P(X::OclAny)::OclAny).oclIsKindOf(Person))$
 $\langle proof \rangle$

lemma $cp\text{-}OclIsKindOf_{OclAny\text{-}Person\text{-}OclAny}: cp\ P \implies cp(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(OclAny))$
 $\langle proof \rangle$

lemma $cp\text{-}OclIsKindOf_{OclAny\text{-}OclAny\text{-}Person}: cp\ P \implies cp(\lambda X.(P(X::OclAny)::Person).oclIsKindOf(OclAny))$
 $\langle proof \rangle$

lemma $cp\text{-}OclIsKindOf_{Person\text{-}Person\text{-}OclAny}: cp\ P \implies cp(\lambda X.(P(X::Person)::OclAny).oclIsKindOf(Person))$
 $\langle proof \rangle$

lemma $cp\text{-}OclIsKindOf_{Person\text{-}OclAny\text{-}Person}: cp\ P \implies cp(\lambda X.(P(X::OclAny)::Person).oclIsKindOf(Person))$
 $\langle proof \rangle$

lemmas $[simp] =$
 $cp\text{-}OclIsKindOf_{OclAny\text{-}Person\text{-}Person}$
 $cp\text{-}OclIsKindOf_{OclAny\text{-}OclAny\text{-}OclAny}$
 $cp\text{-}OclIsKindOf_{Person\text{-}Person\text{-}Person}$
 $cp\text{-}OclIsKindOf_{Person\text{-}OclAny\text{-}OclAny}$

$cp\text{-}OclIsKindOf_{OclAny\text{-}Person\text{-}OclAny}$
 $cp\text{-}OclIsKindOf_{OclAny\text{-}OclAny\text{-}Person}$
 $cp\text{-}OclIsKindOf_{Person\text{-}Person\text{-}OclAny}$
 $cp\text{-}OclIsKindOf_{Person\text{-}OclAny\text{-}Person}$

5.6.3. Execution with Invalid or Null as Argument

lemma $OclIsKindOf_{OclAny\text{-}OclAny\text{-}strict1}[simp] : (invalid::OclAny) .oclIsKindOf(OclAny) = invalid$
 $\langle proof \rangle$

lemma $OclIsKindOf_{OclAny\text{-}OclAny\text{-}strict2}[simp] : (null::OclAny) .oclIsKindOf(OclAny) = true$
 $\langle proof \rangle$

lemma $OclIsKindOf_{OclAny\text{-}Person\text{-}strict1}[simp] : (invalid::Person) .oclIsKindOf(OclAny) = invalid$
 $\langle proof \rangle$

lemma $OclIsKindOf_{OclAny\text{-}Person\text{-}strict2}[simp] : (null::Person) .oclIsKindOf(OclAny) = true$
 $\langle proof \rangle$

lemma $OclIsKindOf_{Person\text{-}OclAny\text{-}strict1}[simp] : (invalid::OclAny) .oclIsKindOf(Person) = invalid$
 $\langle proof \rangle$

lemma $OclIsKindOf_{Person\text{-}OclAny\text{-}strict2}[simp] : (null::OclAny) .oclIsKindOf(Person) = true$
 $\langle proof \rangle$

lemma $OclIsKindOf_{Person\text{-}Person\text{-}strict1}[simp] : (invalid::Person) .oclIsKindOf(Person) = invalid$
 $\langle proof \rangle$

lemma $OclIsKindOf_{Person\text{-}Person\text{-}strict2}[simp] : (null::Person) .oclIsKindOf(Person) = true$
 $\langle proof \rangle$

5.6.4. Up Down Casting

lemma $actualKind\text{-}larger\text{-}staticKind:$

assumes $isdef: \tau \models (\delta\ X)$

shows $\tau \models ((X::Person) .oclIsKindOf(OclAny) \triangleq true)$

$\langle proof \rangle$

lemma $down\text{-}cast\text{-}kind:$

assumes $isOclAny: \neg (\tau \models ((X::OclAny).oclIsKindOf(Person)))$
and $non-null: \tau \models (\delta X)$
shows $\tau \models ((X.oclAsType(Person)) \triangleq invalid)$
 $\langle proof \rangle$

5.7. OclAllInstances

To denote OCL-types occurring in OCL expressions syntactically—as, for example, as “argument” of `oclAllInstances()`—we use the inverses of the injection functions into the object universes; we show that this is sufficient “characterization.”

definition $Person \equiv OclAsType_{Person} \mathcal{A}$

definition $OclAny \equiv OclAsType_{OclAny} \mathcal{A}$

lemmas $[simp] = Person-def \ OclAny-def$

lemma $OclAllInstances-generic_{OclAny-exec}: OclAllInstances-generic \ pre-post \ OclAny =$
 $(\lambda \tau. Abs-Set_{base} \sqsubseteq Some \ 'OclAny' \ ran \ (heap \ (pre-post \ \tau)) \sqcup)$
 $\langle proof \rangle$

lemma $OclAllInstances-at-post_{OclAny-exec}: OclAny.allInstances() =$
 $(\lambda \tau. Abs-Set_{base} \sqsubseteq Some \ 'OclAny' \ ran \ (heap \ (snd \ \tau)) \sqcup)$
 $\langle proof \rangle$

lemma $OclAllInstances-at-pre_{OclAny-exec}: OclAny.allInstances@pre() =$
 $(\lambda \tau. Abs-Set_{base} \sqsubseteq Some \ 'OclAny' \ ran \ (heap \ (fst \ \tau)) \sqcup)$
 $\langle proof \rangle$

5.7.1. OclIsTypeOf

lemma $OclAny-allInstances-generic-oclIsTypeOf_{OclAny} 1:$
assumes $[simp]: \bigwedge x. pre-post \ (x, x) = x$
shows $\exists \tau. (\tau \models ((OclAllInstances-generic \ pre-post \ OclAny) \rightarrow forAll_{Set}(X|X.oclIsTypeOf(OclAny))))$
 $\langle proof \rangle$

lemma $OclAny-allInstances-at-post-oclIsTypeOf_{OclAny} 1:$
 $\exists \tau. (\tau \models (OclAny.allInstances() \rightarrow forAll_{Set}(X|X.oclIsTypeOf(OclAny))))$
 $\langle proof \rangle$

lemma $OclAny-allInstances-at-pre-oclIsTypeOf_{OclAny} 1:$
 $\exists \tau. (\tau \models (OclAny.allInstances@pre() \rightarrow forAll_{Set}(X|X.oclIsTypeOf(OclAny))))$
 $\langle proof \rangle$

lemma $OclAny-allInstances-generic-oclIsTypeOf_{OclAny} 2:$
assumes $[simp]: \bigwedge x. pre-post \ (x, x) = x$
shows $\exists \tau. (\tau \models not \ ((OclAllInstances-generic \ pre-post \ OclAny) \rightarrow forAll_{Set}(X|X.oclIsTypeOf(OclAny))))$
 $\langle proof \rangle$

lemma $OclAny-allInstances-at-post-oclIsTypeOf_{OclAny} 2:$
 $\exists \tau. (\tau \models not \ (OclAny.allInstances() \rightarrow forAll_{Set}(X|X.oclIsTypeOf(OclAny))))$
 $\langle proof \rangle$

lemma $OclAny-allInstances-at-pre-oclIsTypeOf_{OclAny} 2:$
 $\exists \tau. (\tau \models not \ (OclAny.allInstances@pre() \rightarrow forAll_{Set}(X|X.oclIsTypeOf(OclAny))))$
 $\langle proof \rangle$

lemma $Person-allInstances-generic-oclIsTypeOf_{Person}:$
 $\tau \models ((OclAllInstances-generic \ pre-post \ Person) \rightarrow forAll_{Set}(X|X.oclIsTypeOf(Person)))$

$\langle \text{proof} \rangle$

lemma *Person-allInstances-at-post-oclIsTypeOf_{Person}*:

$\tau \models (\text{Person} . \text{allInstances}() \rightarrow \text{forAll}_{\text{Set}}(X | X . \text{oclIsTypeOf}(\text{Person})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-at-pre-oclIsTypeOf_{Person}*:

$\tau \models (\text{Person} . \text{allInstances}@pre() \rightarrow \text{forAll}_{\text{Set}}(X | X . \text{oclIsTypeOf}(\text{Person})))$
 $\langle \text{proof} \rangle$

5.7.2. OclIsKindOf

lemma *OclAny-allInstances-generic-oclIsKindOf_{OclAny}*:

$\tau \models ((\text{OclAllInstances-generic pre-post OclAny}) \rightarrow \text{forAll}_{\text{Set}}(X | X . \text{oclIsKindOf}(\text{OclAny})))$
 $\langle \text{proof} \rangle$

lemma *OclAny-allInstances-at-post-oclIsKindOf_{OclAny}*:

$\tau \models (\text{OclAny} . \text{allInstances}() \rightarrow \text{forAll}_{\text{Set}}(X | X . \text{oclIsKindOf}(\text{OclAny})))$
 $\langle \text{proof} \rangle$

lemma *OclAny-allInstances-at-pre-oclIsKindOf_{OclAny}*:

$\tau \models (\text{OclAny} . \text{allInstances}@pre() \rightarrow \text{forAll}_{\text{Set}}(X | X . \text{oclIsKindOf}(\text{OclAny})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-generic-oclIsKindOf_{OclAny}*:

$\tau \models ((\text{OclAllInstances-generic pre-post Person}) \rightarrow \text{forAll}_{\text{Set}}(X | X . \text{oclIsKindOf}(\text{OclAny})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-at-post-oclIsKindOf_{OclAny}*:

$\tau \models (\text{Person} . \text{allInstances}() \rightarrow \text{forAll}_{\text{Set}}(X | X . \text{oclIsKindOf}(\text{OclAny})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-at-pre-oclIsKindOf_{OclAny}*:

$\tau \models (\text{Person} . \text{allInstances}@pre() \rightarrow \text{forAll}_{\text{Set}}(X | X . \text{oclIsKindOf}(\text{OclAny})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-generic-oclIsKindOf_{Person}*:

$\tau \models ((\text{OclAllInstances-generic pre-post Person}) \rightarrow \text{forAll}_{\text{Set}}(X | X . \text{oclIsKindOf}(\text{Person})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-at-post-oclIsKindOf_{Person}*:

$\tau \models (\text{Person} . \text{allInstances}() \rightarrow \text{forAll}_{\text{Set}}(X | X . \text{oclIsKindOf}(\text{Person})))$
 $\langle \text{proof} \rangle$

lemma *Person-allInstances-at-pre-oclIsKindOf_{Person}*:

$\tau \models (\text{Person} . \text{allInstances}@pre() \rightarrow \text{forAll}_{\text{Set}}(X | X . \text{oclIsKindOf}(\text{Person})))$
 $\langle \text{proof} \rangle$

5.8. The Accessors (any, boss, salary)

Should be generated entirely from a class-diagram.

5.8.1. Definition

definition *eval-extract* :: ($\mathfrak{A}, ('a::\text{object}) \text{ option option} \text{ val}$
 $\Rightarrow (\text{oid} \Rightarrow (\mathfrak{A}, 'c::\text{null}) \text{ val})$)

$\Rightarrow ('A, 'c::null) \text{ val}$
where $\text{eval-extract } X f = (\lambda \tau. \text{case } X \tau \text{ of}$
 $\quad \perp \Rightarrow \text{invalid } \tau \quad \text{--- exception propagation}$
 $\quad | \perp \Rightarrow \text{invalid } \tau \quad \text{--- dereferencing null pointer}$
 $\quad | \text{obj} \Rightarrow f (\text{oid-of obj}) \tau)$

definition $\text{deref-oid}_{Person} :: (\mathcal{A} \text{ state} \times \mathcal{A} \text{ state} \Rightarrow \mathcal{A} \text{ state})$
 $\Rightarrow (\text{type}_{Person} \Rightarrow (\mathcal{A}, 'c::null) \text{ val})$
 $\Rightarrow \text{oid}$
 $\Rightarrow (\mathcal{A}, 'c::null) \text{ val}$
where $\text{deref-oid}_{Person} \text{fst-snd } f \text{oid} = (\lambda \tau. \text{case } (\text{heap } (\text{fst-snd } \tau)) \text{ oid of}$
 $\quad \perp \text{in}_{Person} \text{obj} \Rightarrow f \text{obj } \tau$
 $\quad | - \Rightarrow \text{invalid } \tau)$

definition $\text{deref-oid}_{OclAny} :: (\mathcal{A} \text{ state} \times \mathcal{A} \text{ state} \Rightarrow \mathcal{A} \text{ state})$
 $\Rightarrow (\text{type}_{OclAny} \Rightarrow (\mathcal{A}, 'c::null) \text{ val})$
 $\Rightarrow \text{oid}$
 $\Rightarrow (\mathcal{A}, 'c::null) \text{ val}$
where $\text{deref-oid}_{OclAny} \text{fst-snd } f \text{oid} = (\lambda \tau. \text{case } (\text{heap } (\text{fst-snd } \tau)) \text{ oid of}$
 $\quad \perp \text{in}_{OclAny} \text{obj} \Rightarrow f \text{obj } \tau$
 $\quad | - \Rightarrow \text{invalid } \tau)$

pointer undefined in state or not referencing a type conform object representation

definition $\text{select}_{OclAny} \mathcal{ANY} f = (\lambda X. \text{case } X \text{ of}$
 $\quad (\text{mk}_{OclAny} - \perp) \Rightarrow \text{null}$
 $\quad | (\text{mk}_{OclAny} - \perp \text{any}) \Rightarrow f (\lambda x -. \perp x) \text{ any})$

definition $\text{select}_{Person} \mathcal{BOSS} f = (\lambda X. \text{case } X \text{ of}$
 $\quad (\text{mk}_{Person} - - \perp) \Rightarrow \text{null} \quad \text{--- object contains null pointer}$
 $\quad | (\text{mk}_{Person} - - \perp \text{boss}) \Rightarrow f (\lambda x -. \perp x) \text{ boss})$

definition $\text{select}_{Person} \mathcal{SALARY} f = (\lambda X. \text{case } X \text{ of}$
 $\quad (\text{mk}_{Person} - \perp -) \Rightarrow \text{null}$
 $\quad | (\text{mk}_{Person} - \perp \text{salary} -) \Rightarrow f (\lambda x -. \perp x) \text{ salary})$

definition $\text{in-pre-state} = \text{fst}$

definition $\text{in-post-state} = \text{snd}$

definition $\text{reconst-basetype} = (\lambda \text{convert } x. \text{convert } x)$

definition $\text{dot}_{OclAny} \mathcal{ANY} :: OclAny \Rightarrow - \ ((1(-).\text{any}) \ 50)$
where $(X).\text{any} = \text{eval-extract } X$
 $\quad (\text{deref-oid}_{OclAny} \text{in-post-state}$
 $\quad (\text{select}_{OclAny} \mathcal{ANY}$
 $\quad \text{reconst-basetype}))$

definition $\text{dot}_{Person} \mathcal{BOSS} :: Person \Rightarrow Person \ ((1(-).\text{boss}) \ 50)$
where $(X).\text{boss} = \text{eval-extract } X$
 $\quad (\text{deref-oid}_{Person} \text{in-post-state}$
 $\quad (\text{select}_{Person} \mathcal{BOSS}$
 $\quad (\text{deref-oid}_{Person} \text{in-post-state})))$

definition $\text{dot}_{\text{Person}}\text{SALARY} :: \text{Person} \Rightarrow \text{Integer} \ ((1(-).\text{salary})\ 50)$
where $(X).\text{salary} = \text{eval-extract } X$
 $(\text{deref-oid}_{\text{Person}} \text{ in-post-state}$
 $(\text{select}_{\text{Person}}\text{SALARY}$
 $\text{reconst-basetype}))$

definition $\text{dot}_{\text{OclAny}}\text{ANY-at-pre} :: \text{OclAny} \Rightarrow - \ ((1(-).\text{any@pre})\ 50)$
where $(X).\text{any@pre} = \text{eval-extract } X$
 $(\text{deref-oid}_{\text{OclAny}} \text{ in-pre-state}$
 $(\text{select}_{\text{OclAny}}\text{ANY}$
 $\text{reconst-basetype}))$

definition $\text{dot}_{\text{Person}}\text{BOSS-at-pre} :: \text{Person} \Rightarrow \text{Person} \ ((1(-).\text{boss@pre})\ 50)$
where $(X).\text{boss@pre} = \text{eval-extract } X$
 $(\text{deref-oid}_{\text{Person}} \text{ in-pre-state}$
 $(\text{select}_{\text{Person}}\text{BOSS}$
 $(\text{deref-oid}_{\text{Person}} \text{ in-pre-state})))$

definition $\text{dot}_{\text{Person}}\text{SALARY-at-pre} :: \text{Person} \Rightarrow \text{Integer} \ ((1(-).\text{salary@pre})\ 50)$
where $(X).\text{salary@pre} = \text{eval-extract } X$
 $(\text{deref-oid}_{\text{Person}} \text{ in-pre-state}$
 $(\text{select}_{\text{Person}}\text{SALARY}$
 $\text{reconst-basetype}))$

lemmas $\text{dot-accessor} =$
 $\text{dot}_{\text{OclAny}}\text{ANY-def}$
 $\text{dot}_{\text{Person}}\text{BOSS-def}$
 $\text{dot}_{\text{Person}}\text{SALARY-def}$
 $\text{dot}_{\text{OclAny}}\text{ANY-at-pre-def}$
 $\text{dot}_{\text{Person}}\text{BOSS-at-pre-def}$
 $\text{dot}_{\text{Person}}\text{SALARY-at-pre-def}$

5.8.2. Context Passing

lemmas $[\text{simp}] = \text{eval-extract-def}$

lemma $\text{cp-dot}_{\text{OclAny}}\text{ANY}: ((X).\text{any})\ \tau = ((\lambda -. X\ \tau).\text{any})\ \tau \langle \text{proof} \rangle$
lemma $\text{cp-dot}_{\text{Person}}\text{BOSS}: ((X).\text{boss})\ \tau = ((\lambda -. X\ \tau).\text{boss})\ \tau \langle \text{proof} \rangle$
lemma $\text{cp-dot}_{\text{Person}}\text{SALARY}: ((X).\text{salary})\ \tau = ((\lambda -. X\ \tau).\text{salary})\ \tau \langle \text{proof} \rangle$

lemma $\text{cp-dot}_{\text{OclAny}}\text{ANY-at-pre}: ((X).\text{any@pre})\ \tau = ((\lambda -. X\ \tau).\text{any@pre})\ \tau \langle \text{proof} \rangle$
lemma $\text{cp-dot}_{\text{Person}}\text{BOSS-at-pre}: ((X).\text{boss@pre})\ \tau = ((\lambda -. X\ \tau).\text{boss@pre})\ \tau \langle \text{proof} \rangle$
lemma $\text{cp-dot}_{\text{Person}}\text{SALARY-at-pre}: ((X).\text{salary@pre})\ \tau = ((\lambda -. X\ \tau).\text{salary@pre})\ \tau \langle \text{proof} \rangle$

lemmas $\text{cp-dot}_{\text{OclAny}}\text{ANY-I} [\text{simp}, \text{intro!}] =$
 $\text{cp-dot}_{\text{OclAny}}\text{ANY}[\text{THEN all}[\text{THEN all}],$
 $\text{of } \lambda X -. X\ \lambda -. \tau, \text{ THEN cpI1}]$
lemmas $\text{cp-dot}_{\text{OclAny}}\text{ANY-at-pre-I} [\text{simp}, \text{intro!}] =$
 $\text{cp-dot}_{\text{OclAny}}\text{ANY-at-pre}[\text{THEN all}[\text{THEN all}],$
 $\text{of } \lambda X -. X\ \lambda -. \tau, \text{ THEN cpI1}]$

lemmas $\text{cp-dot}_{\text{Person}}\text{BOSS-I} [\text{simp}, \text{intro!}] =$
 $\text{cp-dot}_{\text{Person}}\text{BOSS}[\text{THEN all}[\text{THEN all}],$
 $\text{of } \lambda X -. X\ \lambda -. \tau, \text{ THEN cpI1}]$
lemmas $\text{cp-dot}_{\text{Person}}\text{BOSS-at-pre-I} [\text{simp}, \text{intro!}] =$
 $\text{cp-dot}_{\text{Person}}\text{BOSS-at-pre}[\text{THEN all}[\text{THEN all}],$

of $\lambda X \cdot X \lambda \cdot \tau. \tau$, THEN *cpI1*]

lemmas *cp-dotPersonSALARY-I* [*simp*, *intro!*]=
cp-dotPersonSALARY[*THEN allI*[*THEN allI*],
 of $\lambda X \cdot X \lambda \cdot \tau. \tau$, THEN *cpI1*]
lemmas *cp-dotPersonSALARY-at-pre-I* [*simp*, *intro!*]=
cp-dotPersonSALARY-at-pre[*THEN allI*[*THEN allI*],
 of $\lambda X \cdot X \lambda \cdot \tau. \tau$, THEN *cpI1*]

5.8.3. Execution with Invalid or Null as Argument

lemma *dotOclAnyANY-nullstrict* [*simp*]: (*null*).*any* = *invalid*
 ⟨*proof*⟩
lemma *dotOclAnyANY-at-pre-nullstrict* [*simp*] : (*null*).*any@pre* = *invalid*
 ⟨*proof*⟩
lemma *dotOclAnyANY-strict* [*simp*] : (*invalid*).*any* = *invalid*
 ⟨*proof*⟩
lemma *dotOclAnyANY-at-pre-strict* [*simp*] : (*invalid*).*any@pre* = *invalid*
 ⟨*proof*⟩

lemma *dotPersonBOSS-nullstrict* [*simp*]: (*null*).*boss* = *invalid*
 ⟨*proof*⟩
lemma *dotPersonBOSS-at-pre-nullstrict* [*simp*] : (*null*).*boss@pre* = *invalid*
 ⟨*proof*⟩
lemma *dotPersonBOSS-strict* [*simp*] : (*invalid*).*boss* = *invalid*
 ⟨*proof*⟩
lemma *dotPersonBOSS-at-pre-strict* [*simp*] : (*invalid*).*boss@pre* = *invalid*
 ⟨*proof*⟩

lemma *dotPersonSALARY-nullstrict* [*simp*]: (*null*).*salary* = *invalid*
 ⟨*proof*⟩
lemma *dotPersonSALARY-at-pre-nullstrict* [*simp*] : (*null*).*salary@pre* = *invalid*
 ⟨*proof*⟩
lemma *dotPersonSALARY-strict* [*simp*] : (*invalid*).*salary* = *invalid*
 ⟨*proof*⟩
lemma *dotPersonSALARY-at-pre-strict* [*simp*] : (*invalid*).*salary@pre* = *invalid*
 ⟨*proof*⟩

5.8.4. Representation in States

lemma *dotPersonBOSS-def-mono*: $\tau \models \delta(X.boss) \implies \tau \models \delta(X)$
 ⟨*proof*⟩

lemma *repr-boss*:
assumes $A : \tau \models \delta(x.boss)$
shows *is-represented-in-state in-post-state* ($x.boss$) *Person* τ
 ⟨*proof*⟩

lemma *repr-bossX* :
assumes $A : \tau \models \delta(x.boss)$
shows $\tau \models ((Person.allInstances()) \rightarrow includes_{Set}(x.boss))$
 ⟨*proof*⟩

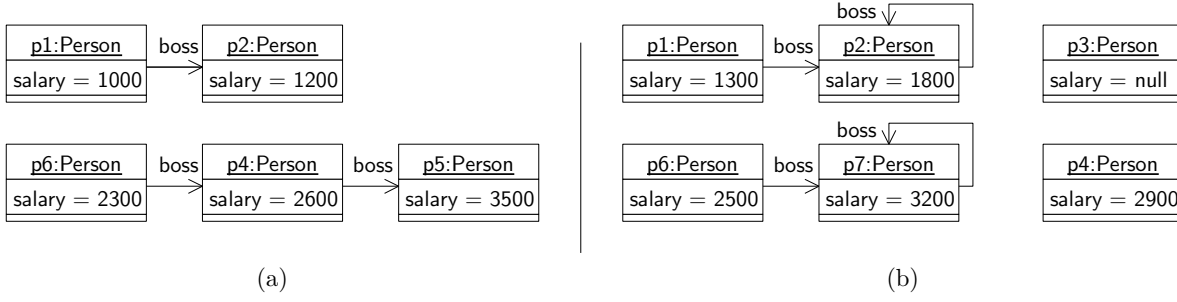


Figure 5.2.: (a) pre-state σ_1 and (b) post-state σ'_1 .

5.9. A Little Infra-structure on Example States

The example we are defining in this section comes from the figure 5.2.

```

definition OclInt1000 (1000) where OclInt1000 = ( $\lambda$  . .  $\llbracket 1000 \rrbracket$ )
definition OclInt1200 (1200) where OclInt1200 = ( $\lambda$  . .  $\llbracket 1200 \rrbracket$ )
definition OclInt1300 (1300) where OclInt1300 = ( $\lambda$  . .  $\llbracket 1300 \rrbracket$ )
definition OclInt1800 (1800) where OclInt1800 = ( $\lambda$  . .  $\llbracket 1800 \rrbracket$ )
definition OclInt2600 (2600) where OclInt2600 = ( $\lambda$  . .  $\llbracket 2600 \rrbracket$ )
definition OclInt2900 (2900) where OclInt2900 = ( $\lambda$  . .  $\llbracket 2900 \rrbracket$ )
definition OclInt3200 (3200) where OclInt3200 = ( $\lambda$  . .  $\llbracket 3200 \rrbracket$ )
definition OclInt3500 (3500) where OclInt3500 = ( $\lambda$  . .  $\llbracket 3500 \rrbracket$ )

```

```

definition oid0  $\equiv$  0
definition oid1  $\equiv$  1
definition oid2  $\equiv$  2
definition oid3  $\equiv$  3
definition oid4  $\equiv$  4
definition oid5  $\equiv$  5
definition oid6  $\equiv$  6
definition oid7  $\equiv$  7
definition oid8  $\equiv$  8

```

```

definition person1  $\equiv$  mkPerson oid0  $\llbracket 1300 \rrbracket$  oid1
definition person2  $\equiv$  mkPerson oid1  $\llbracket 1800 \rrbracket$  oid1
definition person3  $\equiv$  mkPerson oid2 None None
definition person4  $\equiv$  mkPerson oid3  $\llbracket 2900 \rrbracket$  None
definition person5  $\equiv$  mkPerson oid4  $\llbracket 3500 \rrbracket$  None
definition person6  $\equiv$  mkPerson oid5  $\llbracket 2500 \rrbracket$  oid6
definition person7  $\equiv$  mkOclAny oid6 ( $\llbracket 3200 \rrbracket$ ,  $\llbracket \text{oid6} \rrbracket$ )
definition person8  $\equiv$  mkOclAny oid7 None
definition person9  $\equiv$  mkPerson oid8  $\llbracket 0 \rrbracket$  None

```

definition

```

 $\sigma_1 \equiv$  ( $\llbracket$  heap = Map.empty(oid0  $\mapsto$  inPerson (mkPerson oid0  $\llbracket 1000 \rrbracket$  oid1),
oid1  $\mapsto$  inPerson (mkPerson oid1  $\llbracket 1200 \rrbracket$  None),
oid2  $\mapsto$  inPerson (mkPerson oid2  $\llbracket 2300 \rrbracket$  oid3),
oid3  $\mapsto$  inPerson (mkPerson oid3  $\llbracket 2600 \rrbracket$  oid4),
oid4  $\mapsto$  inPerson person5,
oid5  $\mapsto$  inPerson (mkPerson oid5  $\llbracket 2300 \rrbracket$  oid3),
oid6  $\mapsto$  inOclAny person7,
oid7  $\mapsto$  inOclAny person8,
oid8  $\mapsto$  inPerson person9),
assocs = Map.empty  $\rrbracket$ )

```

definition

$$\begin{aligned} \sigma_1' \equiv (& \text{heap} = \text{Map.empty}(\text{oid0} \mapsto \text{in}_{\text{Person}} \text{person1}, \\ & \text{oid1} \mapsto \text{in}_{\text{Person}} \text{person2}, \\ & \text{oid2} \mapsto \text{in}_{\text{Person}} \text{person3}, \\ & \text{oid3} \mapsto \text{in}_{\text{Person}} \text{person4}, \\ & \text{oid5} \mapsto \text{in}_{\text{Person}} \text{person6}, \\ & \text{oid6} \mapsto \text{in}_{\text{OclAny}} \text{person7}, \\ & \text{oid7} \mapsto \text{in}_{\text{OclAny}} \text{person8}, \\ & \text{oid8} \mapsto \text{in}_{\text{Person}} \text{person9}), \\ & \text{assocs} = \text{Map.empty } \emptyset) \end{aligned}$$

definition $\sigma_0 \equiv (& \text{heap} = \text{Map.empty}, \text{assocs} = \text{Map.empty } \emptyset)$

lemma *basic- τ -wff*: $\text{WFF}(\sigma_1, \sigma_1')$
 $\langle \text{proof} \rangle$

lemma [*simp,code-unfold*]: $\text{dom}(\text{heap } \sigma_1) = \{\text{oid0}, \text{oid1}, \text{oid2}, \text{oid3}, \text{oid4}, \text{oid5}, \text{oid6}, \text{oid7}, \text{oid8}\}$
 $\langle \text{proof} \rangle$

lemma [*simp,code-unfold*]: $\text{dom}(\text{heap } \sigma_1') = \{\text{oid0}, \text{oid1}, \text{oid2}, \text{oid3}, \text{oid4}, \text{oid5}, \text{oid6}, \text{oid7}, \text{oid8}\}$
 $\langle \text{proof} \rangle$

definition $X_{\text{Person1}} :: \text{Person} \equiv \lambda - . \perp \text{person1 } \perp$
definition $X_{\text{Person2}} :: \text{Person} \equiv \lambda - . \perp \text{person2 } \perp$
definition $X_{\text{Person3}} :: \text{Person} \equiv \lambda - . \perp \text{person3 } \perp$
definition $X_{\text{Person4}} :: \text{Person} \equiv \lambda - . \perp \text{person4 } \perp$
definition $X_{\text{Person5}} :: \text{Person} \equiv \lambda - . \perp \text{person5 } \perp$
definition $X_{\text{Person6}} :: \text{Person} \equiv \lambda - . \perp \text{person6 } \perp$
definition $X_{\text{Person7}} :: \text{OclAny} \equiv \lambda - . \perp \text{person7 } \perp$
definition $X_{\text{Person8}} :: \text{OclAny} \equiv \lambda - . \perp \text{person8 } \perp$
definition $X_{\text{Person9}} :: \text{Person} \equiv \lambda - . \perp \text{person9 } \perp$

lemma [*code-unfold*]: $((x :: \text{Person}) \doteq y) = \text{StrictRefEq}_{\text{Object}} x y \langle \text{proof} \rangle$

lemma [*code-unfold*]: $((x :: \text{OclAny}) \doteq y) = \text{StrictRefEq}_{\text{Object}} x y \langle \text{proof} \rangle$

lemmas [*simp,code-unfold*] =

*OclAsType*_{OclAny-OclAny}
*OclAsType*_{OclAny-Person}
*OclAsType*_{Person-OclAny}
*OclAsType*_{Person-Person}

*OclIsTypeOf*_{OclAny-OclAny}
*OclIsTypeOf*_{OclAny-Person}
*OclIsTypeOf*_{Person-OclAny}
*OclIsTypeOf*_{Person-Person}

*OclIsKindOf*_{OclAny-OclAny}
*OclIsKindOf*_{OclAny-Person}
*OclIsKindOf*_{Person-OclAny}

*OclIsKindOf*_{Person-Person} **Assert** $\bigwedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{\text{Person1}} . \text{salary} <> 1000)$
Assert $\bigwedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{\text{Person1}} . \text{salary} \doteq 1300)$
Assert $\bigwedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{\text{Person1}} . \text{salary}@pre \doteq 1000)$
Assert $\bigwedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{\text{Person1}} . \text{salary}@pre <> 1300)$
Assert $\bigwedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{\text{Person1}} . \text{boss} <> X_{\text{Person1}})$
Assert $\bigwedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{\text{Person1}} . \text{boss} . \text{salary} \doteq 1800)$
Assert $\bigwedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{\text{Person1}} . \text{boss} . \text{boss} <> X_{\text{Person1}})$

Assert $\wedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{Person1} . boss . boss \doteq X_{Person2})$
Assert $(\sigma_1, \sigma_1') \models (X_{Person1} . boss@pre . salary \doteq \mathbf{1800})$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{Person1} . boss@pre . salary@pre \doteq \mathbf{1200})$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{Person1} . boss@pre . salary@pre <> \mathbf{1800})$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{Person1} . boss@pre \doteq X_{Person2})$
Assert $(\sigma_1, \sigma_1') \models (X_{Person1} . boss@pre . boss \doteq X_{Person2})$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{Person1} . boss@pre . boss@pre \doteq null)$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models not(v(X_{Person1} . boss@pre . boss@pre . boss@pre))$

lemma $(\sigma_1, \sigma_1') \models (X_{Person1} . oclIsMaintained())$
 <proof>

lemma $\wedge_{s_{pre} s_{post}} . (s_{pre}, s_{post}) \models ((X_{Person1} . oclAsType(OclAny) . oclAsType(Person)) \doteq X_{Person1})$
 <proof>
Assert $\wedge_{s_{pre} s_{post}} . (s_{pre}, s_{post}) \models (X_{Person1} . oclIsTypeOf(Person))$
Assert $\wedge_{s_{pre} s_{post}} . (s_{pre}, s_{post}) \models not(X_{Person1} . oclIsTypeOf(OclAny))$
Assert $\wedge_{s_{pre} s_{post}} . (s_{pre}, s_{post}) \models (X_{Person1} . oclIsKindOf(Person))$
Assert $\wedge_{s_{pre} s_{post}} . (s_{pre}, s_{post}) \models (X_{Person1} . oclIsKindOf(OclAny))$
Assert $\wedge_{s_{pre} s_{post}} . (s_{pre}, s_{post}) \models not(X_{Person1} . oclAsType(OclAny) . oclIsTypeOf(OclAny))$

Assert $\wedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{Person2} . salary \doteq \mathbf{1800})$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{Person2} . salary@pre \doteq \mathbf{1200})$
Assert $\wedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{Person2} . boss \doteq X_{Person2})$
Assert $(\sigma_1, \sigma_1') \models (X_{Person2} . boss . salary@pre \doteq \mathbf{1200})$
Assert $(\sigma_1, \sigma_1') \models (X_{Person2} . boss . boss@pre \doteq null)$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{Person2} . boss@pre \doteq null)$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{Person2} . boss@pre <> X_{Person2})$
Assert $(\sigma_1, \sigma_1') \models (X_{Person2} . boss@pre <> (X_{Person2} . boss))$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models not(v(X_{Person2} . boss@pre . boss))$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models not(v(X_{Person2} . boss@pre . salary@pre))$
lemma $(\sigma_1, \sigma_1') \models (X_{Person2} . oclIsMaintained())$
 <proof>

Assert $\wedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{Person3} . salary \doteq null)$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models not(v(X_{Person3} . salary@pre))$
Assert $\wedge_{s_{pre}} . (s_{pre}, \sigma_1') \models (X_{Person3} . boss \doteq null)$
Assert $\wedge_{s_{pre}} . (s_{pre}, \sigma_1') \models not(v(X_{Person3} . boss . salary))$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models not(v(X_{Person3} . boss@pre))$
lemma $(\sigma_1, \sigma_1') \models (X_{Person3} . oclIsNew())$
 <proof>

Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{Person4} . boss@pre \doteq X_{Person5})$
Assert $(\sigma_1, \sigma_1') \models not(v(X_{Person4} . boss@pre . salary))$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{Person4} . boss@pre . salary@pre \doteq \mathbf{3500})$
lemma $(\sigma_1, \sigma_1') \models (X_{Person4} . oclIsMaintained())$
 <proof>

Assert $\wedge_{s_{pre}} . (s_{pre}, \sigma_1') \models not(v(X_{Person5} . salary))$
Assert $\wedge_{s_{post}} . (\sigma_1, s_{post}) \models (X_{Person5} . salary@pre \doteq \mathbf{3500})$
Assert $\wedge_{s_{pre}} . (s_{pre}, \sigma_1') \models not(v(X_{Person5} . boss))$
lemma $(\sigma_1, \sigma_1') \models (X_{Person5} . oclIsDeleted())$
 <proof>

Assert $\wedge_{s_{pre}} . (s_{pre}, \sigma_1') \models not(v(X_{Person6} . boss . salary@pre))$

Assert $\wedge_{s_{post}. (\sigma_1, s_{post})} \models (X_{Person6} .boss@pre \doteq X_{Person4})$
Assert $(\sigma_1, \sigma_1') \models (X_{Person6} .boss@pre .salary \doteq \mathbf{2900})$
Assert $\wedge_{s_{post}. (\sigma_1, s_{post})} \models (X_{Person6} .boss@pre .salary@pre \doteq \mathbf{2600})$
Assert $\wedge_{s_{post}. (\sigma_1, s_{post})} \models (X_{Person6} .boss@pre .boss@pre \doteq X_{Person5})$
lemma $(\sigma_1, \sigma_1') \models (X_{Person6} .oclIsMaintained())$
 $\langle proof \rangle$

Assert $\wedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models v(X_{Person7} .oclAsType(Person))$
Assert $\wedge_{s_{post}. (\sigma_1, s_{post})} \models not(v(X_{Person7} .oclAsType(Person) .boss@pre))$
lemma $\wedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models ((X_{Person7} .oclAsType(Person) .oclAsType(OclAny) .oclAsType(Person)) \doteq (X_{Person7} .oclAsType(Person)))$
 $\langle proof \rangle$
lemma $(\sigma_1, \sigma_1') \models (X_{Person7} .oclIsNew())$
 $\langle proof \rangle$

Assert $\wedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models (X_{Person8} <> X_{Person7})$
Assert $\wedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models not(v(X_{Person8} .oclAsType(Person)))$
Assert $\wedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models (X_{Person8} .oclIsTypeOf(OclAny))$
Assert $\wedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models not(X_{Person8} .oclIsTypeOf(Person))$
Assert $\wedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models not(X_{Person8} .oclIsKindOf(Person))$
Assert $\wedge_{s_{pre} s_{post}. (s_{pre}, s_{post})} \models (X_{Person8} .oclIsKindOf(OclAny))$

lemma $\sigma\text{-modifiedonly: } (\sigma_1, \sigma_1') \models (Set\{ X_{Person1} .oclAsType(OclAny) , X_{Person2} .oclAsType(OclAny) , X_{Person3} .oclAsType(OclAny) , X_{Person4} .oclAsType(OclAny) , X_{Person5} .oclAsType(OclAny) , X_{Person6} .oclAsType(OclAny) , X_{Person7} .oclAsType(OclAny) , X_{Person8} .oclAsType(OclAny) , X_{Person9} .oclAsType(OclAny) \} \rightarrow oclIsModifiedOnly())$
 $\langle proof \rangle$

lemma $(\sigma_1, \sigma_1') \models ((X_{Person9} @pre (\lambda x. _OclAsType_{Person} \neg \mathbf{A} x)) \triangleq X_{Person9})$
 $\langle proof \rangle$

lemma $(\sigma_1, \sigma_1') \models ((X_{Person9} @post (\lambda x. _OclAsType_{Person} \neg \mathbf{A} x)) \triangleq X_{Person9})$
 $\langle proof \rangle$

lemma $(\sigma_1, \sigma_1') \models (((X_{Person9} .oclAsType(OclAny)) @pre (\lambda x. _OclAsType_{OclAny} \neg \mathbf{A} x)) \triangleq ((X_{Person9} .oclAsType(OclAny)) @post (\lambda x. _OclAsType_{OclAny} \neg \mathbf{A} x)))$
 $\langle proof \rangle$

lemma $perm\text{-}\sigma_1' : \sigma_1' = \langle heap = Map.empty$
 $(oid8 \mapsto in_{Person} person9,$
 $oid7 \mapsto in_{OclAny} person8,$
 $oid6 \mapsto in_{OclAny} person7,$
 $oid5 \mapsto in_{Person} person6,$
 ~~$oid4 \mapsto in_{Person} person5,$~~
 $oid3 \mapsto in_{Person} person4,$
 $oid2 \mapsto in_{Person} person3,$
 $oid1 \mapsto in_{Person} person2,$
 $oid0 \mapsto in_{Person} person1)$

```

    , assocs = assocs  $\sigma_1'$  )
  <proof>

declare const-ss [simp]

lemma  $\bigwedge \sigma_1.$ 
   $(\sigma_1, \sigma_1') \models (Person.allInstances() \doteq Set\{ X_{Person1}, X_{Person2}, X_{Person3}, X_{Person4}, X_{Person5}, X_{Person6},$ 
     $X_{Person7}.oclAsType(Person), X_{Person8}, X_{Person9} \})$ 
  <proof>

lemma  $\bigwedge \sigma_1.$ 
   $(\sigma_1, \sigma_1') \models (OclAny.allInstances() \doteq Set\{ X_{Person1}.oclAsType(OclAny), X_{Person2}.oclAsType(OclAny),$ 
     $X_{Person3}.oclAsType(OclAny), X_{Person4}.oclAsType(OclAny),$ 
     $X_{Person5}, X_{Person6}.oclAsType(OclAny),$ 
     $X_{Person7}, X_{Person8}, X_{Person9}.oclAsType(OclAny) \})$ 
  <proof>

end

theory
  Design-OCL
imports
  Design-UML
begin

```

5.10. OCL Part: Invariant

These recursive predicates can be defined conservatively by greatest fix-point constructions—automatically. See [4, 6] for details. For the purpose of this example, we state them as axioms here.

```

context Person
  inv label : self .boss <> null implies (self .salary \<le>
    ((self .boss) .salary))

```

```

definition Person-labelinv :: Person  $\Rightarrow$  Boolean
where   Person-labelinv (self)  $\equiv$ 
    (self .boss <> null implies (self .salary  $\leq_{int}$  ((self .boss) .salary)))

```

```

definition Person-labelinvATpre :: Person  $\Rightarrow$  Boolean
where   Person-labelinvATpre (self)  $\equiv$ 
    (self .boss@pre <> null implies (self .salary@pre  $\leq_{int}$  ((self .boss@pre) .salary@pre)))

```

```

definition Person-labelglobalinv :: Boolean
where   Person-labelglobalinv  $\equiv$  (Person.allInstances()  $\rightarrow$  forAllSet(x | Person-labelinv (x)) and
    (Person.allInstances@pre()  $\rightarrow$  forAllSet(x | Person-labelinvATpre (x))))

```

```

lemma  $\tau \models \delta (X.boss) \implies \tau \models Person.allInstances() \rightarrow includes_{Set}(X.boss) \wedge$ 
   $\tau \models Person.allInstances() \rightarrow includes_{Set}(X)$ 
  <proof>

```

```

lemma REC-pre :  $\tau \models Person-label_{globalinv}$ 
   $\implies \tau \models Person.allInstances() \rightarrow includes_{Set}(X) \text{ — } X \text{ represented object in state}$ 

```

$\implies \exists \text{ REC}. \tau \models \text{REC}(X) \triangleq (\text{Person-label}_{inv}(X) \text{ and } (X.\text{boss} \neq \text{null} \text{ implies } \text{REC}(X.\text{boss})))$
 $\langle \text{proof} \rangle$

This allows to state a predicate:

axiomatization $inv_{\text{Person-label}} :: \text{Person} \Rightarrow \text{Boolean}$

where $inv_{\text{Person-label-def}}$:

$(\tau \models \text{Person.allInstances}() \rightarrow \text{includes}_{\text{Set}}(\text{self})) \implies$
 $(\tau \models (inv_{\text{Person-label}}(\text{self}) \triangleq (\text{self}.\text{boss} \neq \text{null} \text{ implies}$
 $(\text{self}.\text{salary} \leq_{int} ((\text{self}.\text{boss}).\text{salary})) \text{ and}$
 $inv_{\text{Person-label}}(\text{self}.\text{boss}))))$

axiomatization $inv_{\text{Person-labelATpre}} :: \text{Person} \Rightarrow \text{Boolean}$

where $inv_{\text{Person-labelATpre-def}}$:

$(\tau \models \text{Person.allInstances@pre}() \rightarrow \text{includes}_{\text{Set}}(\text{self})) \implies$
 $(\tau \models (inv_{\text{Person-labelATpre}}(\text{self}) \triangleq (\text{self}.\text{boss@pre} \neq \text{null} \text{ implies}$
 $(\text{self}.\text{salary@pre} \leq_{int} ((\text{self}.\text{boss@pre}).\text{salary@pre})) \text{ and}$
 $inv_{\text{Person-labelATpre}}(\text{self}.\text{boss@pre}))))$

lemma $inv-1$:

$(\tau \models \text{Person.allInstances}() \rightarrow \text{includes}_{\text{Set}}(\text{self})) \implies$
 $(\tau \models inv_{\text{Person-label}}(\text{self}) = ((\tau \models (\text{self}.\text{boss} \neq \text{null})) \vee$
 $(\tau \models (\text{self}.\text{boss} \neq \text{null}) \wedge$
 $\tau \models ((\text{self}.\text{salary} \leq_{int} (\text{self}.\text{boss}.\text{salary})) \wedge$
 $\tau \models (inv_{\text{Person-label}}(\text{self}.\text{boss}))))$

$\langle \text{proof} \rangle$

lemma $inv-2$:

$(\tau \models \text{Person.allInstances@pre}() \rightarrow \text{includes}_{\text{Set}}(\text{self})) \implies$
 $(\tau \models inv_{\text{Person-labelATpre}}(\text{self}) = ((\tau \models (\text{self}.\text{boss@pre} \neq \text{null})) \vee$
 $(\tau \models (\text{self}.\text{boss@pre} \neq \text{null}) \wedge$
 $(\tau \models (\text{self}.\text{boss@pre}.\text{salary@pre} \leq_{int} \text{self}.\text{salary@pre})) \wedge$
 $(\tau \models (inv_{\text{Person-labelATpre}}(\text{self}.\text{boss@pre}))))$

$\langle \text{proof} \rangle$

A very first attempt to characterize the axiomatization by an inductive definition - this can not be the last word since too weak (should be equality!)

coinductive $inv :: \text{Person} \Rightarrow (\mathbb{A})st \Rightarrow \text{bool}$ **where**

$(\tau \models (\delta \text{ self})) \implies ((\tau \models (\text{self}.\text{boss} \neq \text{null})) \vee$
 $(\tau \models (\text{self}.\text{boss} \neq \text{null}) \wedge (\tau \models (\text{self}.\text{boss}.\text{salary} \leq_{int} \text{self}.\text{salary})) \wedge$
 $(inv(\text{self}.\text{boss})\tau)))$
 $\implies (inv \text{ self } \tau)$

5.11. OCL Part: The Contract of a Recursive Query

This part is analogous to the Analysis Model and skipped here.

end

Part II.

Conclusion

6. Conclusion

6.1. Lessons Learned and Contributions

We provided a typed and type-safe shallow embedding of the core of UML [30, 31] and OCL [32]. Shallow embedding means that types of OCL were mapped by the embedding one-to-one to types in Isabelle/HOL [27]. We followed the usual methodology to build up the theory uniquely by conservative extensions of all operators in a denotational style and to derive logical and algebraic (execution) rules from them; thus, we can guarantee the logical consistency of the library and instances of the class model construction. The class models were given a closed-world interpretation as object-oriented datatype theories, as long as it follows the described methodology.¹ Moreover, all derived execution rules are by construction type-safe (which would be an issue, if we had chosen to use an object universe construction in Zermelo-Fraenkel set theory as an alternative approach to subtyping.). In more detail, our theory gives answers and concrete solutions to a number of open major issues for the UML/OCL standardization:

1. the role of the two exception elements `invalid` and `null`, the former usually assuming strict evaluation while the latter ruled by non-strict evaluation.
2. the functioning of the resulting four-valued logic, together with safe rules (for example `foundation9` – `foundation12` in Section 2.1.5) that allow a reduction to two-valued reasoning as required for many automated provers. The resulting logic still enjoys the rules of a strong Kleene Logic in the spirit of the Amsterdam Manifesto [18].
3. the complicated life resulting from the two necessary equalities: the standard’s “strict weak referential equality” as default (written \doteq throughout this document) and the strong equality (written \triangleq), which follows the logical Leibniz principle that “equals can be replaced by equals.” Which is not necessarily the case if `invalid` or objects of different states are involved.
4. a type-safe representation of objects and a clarification of the old idea of a one-to-one correspondence between object representations and object-id’s, which became a state invariant.
5. a simple concept of state-framing via the novel operator `_->oclIsModifiedOnly()` and its consequences for strong and weak equality.
6. a semantic view on subtyping clarifying the role of static and dynamic type (aka *apparent* and *actual* type in Java terminology), and its consequences for casts, dynamic type-tests, and static types.
7. a semantic view on path expressions, that clarify the role of `invalid` and `null` as well as the tricky issues related to de-referentiation in pre- and post state.
8. an optional extension of the OCL semantics by *infinite* sets that provide means to represent “the set of potential objects or values” to state properties over them (this will be an important feature if OCL is intended to become a full-blown code annotation language in the spirit of JML [25] for semi-automated code verification, and has been considered desirable in the Aachen Meeting [14]).

¹Our two examples of `Employee_AnalysisModel` and `Employee_DesignModel` (see Chapter 4 and Figure 0.3.8 as well as Chapter 5 and Figure 0.3.8) sketch how this construction can be captured by an automated process; its implementation is described elsewhere.

Moreover, we managed to make our theory in large parts executable, which allowed us to include mechanically checked value-statements that capture numerous corner-cases relevant for OCL implementors. Among many minor issues, we thus pin-pointed the behavior of `null` in collections as well as in casts and the desired `isKindOf`-semantics of `allInstances()`.

6.2. Lessons Learned

While our paper and pencil arguments, given in [12], turned out to be essentially correct, there had also been a lesson to be learned: If the logic is not defined as a Kleene-Logic, having a structure similar to a complete partial order (CPO), reasoning becomes complicated: several important algebraic laws break down which makes reasoning in OCL inherent messy and a semantically clean compilation of OCL formulae to a two-valued presentation, that is amenable to animators like KodKod [34] or SMT-solvers like Z3 [19] completely impractical. Concretely, if the expression `not(null)` is defined `invalid` (as was the case in prior versions of the standard [32]), then standard involution does not hold, i.e., `not(not(A)) = A` does not hold universally. Similarly, if `null` and `null` is `invalid`, then not even idempotence `X and X = X` holds. We strongly argue in favor of a lattice-like organization, where `null` represents “more information” than `invalid` and the logical operators are monotone with respect to this semantical “information ordering.”

A similar experience with prior paper and pencil arguments was our investigation of the object-oriented data-models, in particular path-expressions [15]. The final presentation is again essentially correct, but the technical details concerning exception handling lead finally to a continuation-passing style of the (in future generated) definitions for accessors, casts and tests. Apparently, OCL semantics (as many other “real” programming and specification languages) is meanwhile too complex to be treated by informal arguments solely.

Featherweight OCL makes several minor deviations from the standard and showed how the previous constructions can be made correct and consistent, and the DNF-normalization as well as δ -closure laws (necessary for a transition into a two-valued presentation of OCL specifications ready for interpretation in SMT solvers (see [13] for details)) are valid in Featherweight OCL.

6.3. Conclusion and Future Work

Featherweight OCL concentrates on formalizing the semantics of a core subset of OCL in general and in particular on formalizing the consequences of a four-valued logic (i.e., OCL versions that support, besides the truth values `true` and `false` also the two exception values `invalid` and `null`).

In the following, we outline the following future extensions to use Featherweight OCL for a concrete fully fledged tool for OCL. There are essentially five extensions necessary:

- development of a compiler that compiles a textual or CASE tool representation (e.g., using XMI or the textual syntax of the USE tool [33]) of class models into an object-oriented data type theory automatically.
- Full support of OCL standard syntax in a front-end parser; Such a parser could also generate the necessary casts as well as converting standard OCL to Featherweight OCL as well as providing “normalizations” such as converting multiplicities of class attributes to into OCL class invariants.
- a setup for translating Featherweight OCL into a two-valued representation as described in [13]. As, in real-world scenarios, large parts of UML/OCL specifications are defined (e.g., from the default multiplicity 1 of an attributes `x`, we can directly infer that for all valid states `x` is neither `invalid` nor `null`), such a translation enables both an integration of fast constraint solvers such as Z3 as well as test-case generation scenarios as described in [13].
- a setup in Featherweight OCL of the Nitpick animator [3]. It remains to be shown that the standard, Kodkod [34] based animator in Isabelle can give a similar quality of animation as the OCLexec Tool [24]

- a code-generator setup for Featherweight OCL for Isabelle’s code generator. For example, the Isabelle code generator supports the generation of F#, which would allow to use OCL specifications for testing arbitrary .net-based applications.

The first two extensions are sufficient to provide a formal proof environment for OCL 2.5 similar to HOL-OCL while the remaining extensions are geared towards increasing the degree of proof automation and usability as well as providing a tool-supported test methodology for UML/OCL.

Our work shows that developing a machine-checked formal semantics of recent OCL standards still reveals significant inconsistencies—even though this type of research is not new. In fact, we started our work already with the 1.x series of OCL. The reasons for this ongoing consistency problems of OCL standard are manifold. For example, the consequences of adding an additional exception value to OCL 2.2 are widespread across the whole language and many of them are also quite subtle. Here, a machine-checked formal semantics is of great value, as one is forced to formalize all details and subtleties. Moreover, the standardization process of the OMG, in which standards (e.g., the UML infrastructure and the OCL standard) that need to be aligned closely are developed quite independently, are prone to ad-hoc changes that attempt to align these standards. And, even worse, updating a standard document by voting on the acceptance (or rejection) of isolated text changes does not help either. Here, a tool for the editor of the standard that helps to check the consistency of the whole standard after each and every modifications can be of great value as well.

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Part III.

Appendix

A. The OCL And Featherweight OCL Syntax

Table A.1.: Comparison of different concrete syntax variants for OCL

	OCL	Featherweight OCL	Logical Constant
OclAny	<code>_ = _</code>	$op \triangleq$	<i>UML-Logic.StrongEq</i>
	<code>_ <> _</code>	$op <>$	<i>notequal</i>
	<code>_ ->oclAsSet(_)</code>		
	<code>_ .oclIsNew()</code>	<code>__ .oclIsNew()</code>	<i>UML-State.OclIsNew</i>
	<code>not (_ ->oclIsUndefined())</code>	$\delta_$	<i>UML-Logic.defined</i>
	<code>not (_ ->oclIsInvalid())</code>	$v_$	<i>UML-Logic.valid</i>
	<code>_ ->oclAsType(_)</code>		
	<code>_ ->oclIsTypeOf(_)</code>		
	<code>_ ->oclIsKindOf(_)</code>		
	<code>_ ->oclIsInState(_)</code>		
	<code>_ ->oclType()</code>		
	<code>_ ->oclLocale()</code>		
OclVoid	<code>_ = _</code>	$op \triangleq$	<i>UML-Logic.StrongEq</i>
	<code>_ <> _</code>	$op <>$	<i>notequal</i>
	<code>_ ->oclAsSet(_)</code>		
	<code>_ .oclIsNew()</code>	<code>__ .oclIsNew()</code>	<i>UML-State.OclIsNew</i>
	<code>not (_ ->oclIsUndefined())</code>	$\delta_$	<i>UML-Logic.defined</i>
	<code>not (_ ->oclIsInvalid())</code>	$v_$	<i>UML-Logic.valid</i>
	<code>_ ->oclAsType(_)</code>		
	<code>_ ->oclIsTypeOf(_)</code>		
	<code>_ ->oclIsKindOf(_)</code>		
	<code>_ ->oclIsInState(_)</code>		
	<code>_ ->oclType()</code>		
	<code>_ ->oclLocale()</code>		
OclInvalid	<code>_ = _</code>	$op \triangleq$	<i>UML-Logic.StrongEq</i>
	<code>_ <> _</code>	$op <>$	<i>notequal</i>
	<code>_ ->oclAsSet(_)</code>		
	<code>_ .oclIsNew()</code>	<code>__ .oclIsNew()</code>	<i>UML-State.OclIsNew</i>
	<code>not (_ ->oclIsUndefined())</code>	$\delta_$	<i>UML-Logic.defined</i>
	<code>not (_ ->oclIsInvalid())</code>	$v_$	<i>UML-Logic.valid</i>
	<code>_ ->oclAsType(_)</code>		
	<code>_ ->oclIsTypeOf(_)</code>		
	<code>_ ->oclIsKindOf(_)</code>		
	<code>_ ->oclIsInState(_)</code>		
	<code>_ ->oclType()</code>		
	<code>_ ->oclLocale()</code>		
Real	<code>_ + _</code>	$op +_{real}$	<i>UML-Real.OclAdd_{Real}</i>
	<code>_ - _</code>	$op -_{real}$	<i>UML-Real.OclMinus_{Real}</i>
	<code>_ * _</code>	$op *_{real}$	<i>UML-Real.OclMult_{Real}</i>

Continued on next page

	OCL	Featherweight OCL	Logical Constant
	-		
	- / -		
	- .abs()		
	- .floor()		
	- .round()		
	- .max()		
	- .min()		
	- < -	$op <_{real}$	<i>UML-Real.OclLess_{Real}</i>
	- > -		
	- <= -	$op \leq_{real}$	<i>UML-Real.OclLe_{Real}</i>
	- >= -		
	- .toString()		
	- .div(_)	$op \text{ div}_{real}$	<i>UML-Real.OclDivision_{Real}</i>
	- .mod(_)	$op \text{ mod}_{real}$	<i>UML-Real.OclModulus_{Real}</i>
Real Literals	- >oclAsType(Integer)	$\text{---} \rightarrow \text{oclAsType}_{Real}(Integer)$	<i>UML-Library.OclAsInteger_{Real}</i>
	- >oclAsType(Boolean)	$\text{---} \rightarrow \text{oclAsType}_{Real}(Boolean)$	<i>UML-Library.OclAsBoolean_{Real}</i>
	0.0	0.0	<i>UML-Real.OclReal0</i>
	1.0	1.0	<i>UML-Real.OclReal1</i>
	2.0	2.0	<i>UML-Real.OclReal2</i>
	3.0	3.0	<i>UML-Real.OclReal3</i>
	4.0	4.0	<i>UML-Real.OclReal4</i>
	5.0	5.0	<i>UML-Real.OclReal5</i>
	6.0	6.0	<i>UML-Real.OclReal6</i>
	7.0	7.0	<i>UML-Real.OclReal7</i>
	8.0	8.0	<i>UML-Real.OclReal8</i>
	9.0	9.0	<i>UML-Real.OclReal9</i>
	10.0	10.0	<i>UML-Real.OclReal10</i>
		π	<i>UML-Real.OclRealpi</i>
Integer	- -	$op \text{ -}_{int}$	<i>UML-Integer.OclMinus_{Integer}</i>
	- +	$op \text{ +}_{int}$	<i>UML-Integer.OclAdd_{Integer}</i>
	-		
	- *	$op \text{ *}_{int}$	<i>UML-Integer.OclMult_{Integer}</i>
	- /		
	- .abs()		
	- div (_)	$op \text{ div}_{int}$	<i>UML-Integer.OclDivision_{Integer}</i>
	- mod (_)	$op \text{ mod}_{int}$	<i>UML-Integer.OclModulus_{Integer}</i>
	- .max()		
	- .min()		
	- .toString()		
	- < -	$op <_{int}$	<i>UML-Integer.OclLess_{Integer}</i>
	- <= -	$op \leq_{int}$	<i>UML-Integer.OclLe_{Integer}</i>
	- >oclAsType(Real)	$\text{---} \rightarrow \text{oclAsType}_{Int}(Real)$	<i>UML-Library.OclAsReal_{Int}</i>
	- >oclAsType(Boolean)	$\text{---} \rightarrow \text{oclAsType}_{Int}(Boolean)$	<i>UML-Library.OclAsBoolean_{Int}</i>
Integer Literals	0	0	<i>UML-Integer.OclInt0</i>
	1	1	<i>UML-Integer.OclInt1</i>
	2	2	<i>UML-Integer.OclInt2</i>
	3	3	<i>UML-Integer.OclInt3</i>
	4	4	<i>UML-Integer.OclInt4</i>
	5	5	<i>UML-Integer.OclInt5</i>

Continued on next page

	OCL	Featherweight OCL	Logical Constant
	6	6	<i>UML-Integer.OclInt6</i>
	7	7	<i>UML-Integer.OclInt7</i>
	8	8	<i>UML-Integer.OclInt8</i>
	9	9	<i>UML-Integer.OclInt9</i>
	10	10	<i>UML-Integer.OclInt10</i>
String and String Literals	<code>_ + _</code>	<i>op +string</i>	<i>UML-String.OclAddString</i>
	<code>_ .size()</code>		
	<code>_ .concat(_)</code>		
	<code>_ .substring(_ , _)</code>		
	<code>_ .toInteger()</code>		
	<code>_ .toReal()</code>		
	<code>_ .toUpperCase()</code>		
	<code>_ .toLowerCase()</code>		
	<code>_ .indexOf()</code>		
	<code>_ .equalsIgnoreCase(_)</code>		
	<code>_ .at(_)</code>		
	<code>_ .characters()</code>		
	<code>_ .toBoolean()</code>		
	<code>_ < _</code>		
	<code>_ > _</code>		
	<code>_ <= _</code>		
	<code>_ >= _</code>		
	<code>a</code>	<code>a</code>	<i>UML-String.OclStringa</i>
	<code>b</code>	<code>b</code>	<i>UML-String.OclStringb</i>
	<code>c</code>	<code>c</code>	<i>UML-String.OclStringc</i>
Boolean and Core Logic	<code>_ or _</code>	<i>op or</i>	<i>UML-Logic.OclOr</i>
	<code>_ xor _</code>		
	<code>_ and _</code>	<i>op and</i>	<i>UML-Logic.OclAnd</i>
	<code>not _</code>	<i>not</i>	<i>UML-Logic.OclNot</i>
	<code>_ implies _</code>	<i>op implies</i>	<i>UML-Logic.OclImplies</i>
	<code>_ .toString()</code>		
	<code>if _ then _ else _ endif</code>	<i>if _ then _ else _ endif</i>	<i>UML-Logic.OclIf</i>
	<code>_ = _</code>	<i>op ≐</i>	<i>UML-Logic.StrictRefEq</i>
	<code>_ <> _</code>	<i>op <></i>	<i>notequal</i>
		<code>_ ≠ _</code>	<i>OclNonValid</i>
		<code>_ ⊨ _</code>	<i>UML-Logic.OclValid</i>
	<code>_ = _</code>	<i>op ≐</i>	<i>UML-Logic.StrongEq</i>
Set and Iterators on Set	<code>Set (_)</code>	<i>Set(type⁰)</i>	<i>UML-Types.Set_{base} type</i>
	<code>Set{}</code>	<i>Set{}</i>	<i>UML-Set.mtSet</i>
	<code>Set{ _ }</code>	<i>Set{ args⁰ }</i>	<i>OclFinset</i>
	<code>_ ->union(_)</code>	<code>_ ->union_{Set}(_)</code>	<i>UML-Set.OclUnion</i>
	<code>_ = _</code>	<i>op ≐</i>	<i>UML-Logic.StrongEq</i>
	<code>_ ->intersection(_)</code>	<code>_ ->intersection_{Set}(_)</code>	<i>UML-Set.OclIntersection</i>
	<code>_ - _</code>		
	<code>_ ->including(_)</code>	<code>_ ->including_{Set}(_)</code>	<i>UML-Set.OclIncluding</i>
	<code>_ ->excluding(_)</code>	<code>_ ->excluding_{Set}(_)</code>	<i>UML-Set.OclExcluding</i>
	<code>_ ->symmetricDifference(_)</code>		
	<code>_ ->count(_)</code>	<code>_ ->count_{Set}(_)</code>	<i>UML-Set.OclCount</i>
	<code>_ ->flatten()</code>		

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	OCL	Featherweight OCL	Logical Constant
Sequence and Iterators on Sequence	<code>_ ->selectByKind(_)</code>		
	<code>_ ->selectByType(_)</code>		
	<code>_ ->reject(_ _)</code>	<code>__ ->reject_{Set}(\boxed{id} __)</code>	<i>OclRejectSet</i>
	<code>_ ->select(_ _)</code>	<code>__ ->select_{Set}(\boxed{id} __)</code>	<i>OclSelectSet</i>
	<code>_ ->iterate(_ ; _ = _ _)</code>	<code>__ ->iterate_{Set}(\boxed{idt}^0 ; $\boxed{idt}^0 = any^0$ any^0)</code>	<i>OclIterateSet</i>
	<code>_ ->exists(_ _)</code>	<code>__ ->exists_{Set}(\boxed{id} __)</code>	<i>OclExistSet</i>
	<code>_ ->forAll(_ _)</code>	<code>__ ->forAll_{Set}(\boxed{id} __)</code>	<i>OclForallSet</i>
	<code>_ ->asSequence()</code>	<code>__ ->asSequence_{Set}()</code>	<i>UML-Library.OclAsSeqSet</i>
	<code>_ ->asBag()</code>	<code>__ ->asBag_{Set}()</code>	<i>UML-Library.OclAsBagSet</i>
	<code>_ ->asPair()</code>	<code>__ ->asPair_{Set}()</code>	<i>UML-Library.OclAsPairSet</i>
	<code>_ ->sum()</code>	<code>__ ->sum_{Set}()</code>	<i>UML-Set.OclSum</i>
	<code>_ ->excludesAll(_)</code>	<code>__ ->excludesAll_{Set}(__)</code>	<i>UML-Set.OclExcludesAll</i>
	<code>_ ->includesAll(_)</code>	<code>__ ->includesAll_{Set}(__)</code>	<i>UML-Set.OclIncludesAll</i>
	<code>_ ->any()</code>	<code>__ ->any_{Set}()</code>	<i>UML-Set.OclANY</i>
	<code>_ ->notEmpty()</code>	<code>__ ->notEmpty_{Set}()</code>	<i>UML-Set.OclNotEmpty</i>
	<code>_ ->isEmpty()</code>	<code>__ ->isEmpty_{Set}()</code>	<i>UML-Set.OclIsEmpty</i>
	<code>_ ->size()</code>	<code>__ ->size_{Set}()</code>	<i>UML-Set.OclSize</i>
	<code>_ ->excludes(_)</code>	<code>__ ->excludes_{Set}(__)</code>	<i>UML-Set.OclExcludes</i>
	<code>_ ->includes(_)</code>	<code>__ ->includes_{Set}(__)</code>	<i>UML-Set.OclIncludes</i>
	<code>Sequence (_)</code>	<code>Sequence(type⁰)</code>	<i>UML-Types.Sequence_{base} type</i>
	<code>Sequence{ }</code>	<code>Sequence{ }</code>	<i>UML-Sequence.mtSequence</i>
	<code>Sequence{ _ }</code>	<code>Sequence{ args⁰ }</code>	<i>OclFinsequence</i>
	<code>_ ->any()</code>	<code>__ ->any_{Seq}()</code>	<i>UML-Sequence.OclANY</i>
	<code>_ ->notEmpty()</code>	<code>__ ->notEmpty_{Seq}()</code>	<i>UML-Sequence.OclNotEmpty</i>
	<code>_ ->isEmpty()</code>	<code>__ ->isEmpty_{Seq}()</code>	<i>UML-Sequence.OclIsEmpty</i>
	<code>_ ->size()</code>	<code>__ ->size_{Seq}()</code>	<i>UML-Sequence.OclSize</i>
	<code>_ ->select(_ _)</code>	<code>__ ->select_{Seq}(\boxed{id} __)</code>	<i>OclSelectSeq</i>
	<code>_ ->collect(_ _)</code>	<code>__ ->collect_{Seq}(\boxed{id} __)</code>	<i>OclCollectSeq</i>
	<code>_ ->exists(_ _)</code>	<code>__ ->exists_{Seq}(\boxed{id} __)</code>	<i>OclExistSeq</i>
	<code>_ ->forAll(_ _)</code>	<code>__ ->forAll_{Seq}(\boxed{id} __)</code>	<i>OclForallSeq</i>
	<code>_ ->iterate(_ ; _ : _ = _ _)</code>	<code>__ ->iterate_{Seq}(\boxed{idt}^0 ; $\boxed{idt}^0 = any^0$ any^0)</code>	<i>OclIterateSeq</i>
	<code>_ ->last()</code>	<code>__ ->last_{Seq}(__)</code>	<i>UML-Sequence.OclLast</i>
	<code>_ ->first()</code>	<code>__ ->first_{Seq}(__)</code>	<i>UML-Sequence.OclFirst</i>
	<code>_ ->at(_)</code>	<code>__ ->at_{Seq}(__)</code>	<i>UML-Sequence.OclAt</i>
	<code>_ ->union(_)</code>	<code>__ ->union_{Seq}(__)</code>	<i>UML-Sequence.OclUnion</i>
	<code>_ ->append(_)</code>	<code>__ ->append_{Seq}(__)</code>	<i>UML-Sequence.OclAppend</i>
	<code>_ ->excluding(_)</code>	<code>__ ->excluding_{Seq}(__)</code>	<i>UML-Sequence.OclExcluding</i>
	<code>_ ->including(_)</code>	<code>__ ->including_{Seq}(__)</code>	<i>UML-Sequence.OclIncluding</i>
	<code>_ ->prepend(_)</code>	<code>__ ->prepend_{Seq}(__)</code>	<i>UML-Sequence.OclPrepend</i>
	<code>_ ->asSet()</code>	<code>__ ->asSet_{Seq}()</code>	<i>UML-Library.OclAsSetSeq</i>
	<code>_ ->asBag()</code>	<code>__ ->asBag_{Seq}()</code>	<i>UML-Library.OclAsBagSeq</i>
	<code>_ ->asPair()</code>	<code>__ ->asPair_{Seq}()</code>	<i>UML-Library.OclAsPairSeq</i>
Bags and Iterators on Bag	<code>Bag (_)</code>	<code>Bag(type⁰)</code>	<i>UML-Types.Bag_{base} type</i>
	<code>Bag{ }</code>	<code>Bag{ }</code>	<i>UML-Bag.mtBag</i>
	<code>Bag{ _ }</code>	<code>Bag{ args⁰ }</code>	<i>OclFinbag</i>
	<code>_ ->sum()</code>	<code>__ ->sum_{Bag}()</code>	<i>UML-Bag.OclSum</i>
	<code>_ ->count(_)</code>	<code>__ ->count_{Bag}(__)</code>	<i>UML-Bag.OclCount</i>
	<code>_ ->intersection(_)</code>	<code>__ ->intersection_{Bag}(__)</code>	<i>UML-Bag.OclIntersection</i>
	<code>_ ->union(_)</code>	<code>__ ->union_{Bag}(__)</code>	<i>UML-Bag.OclUnion</i>

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OCL	Featherweight OCL	Logical Constant
<code>_ ->excludesAll(_)</code>	<code>__ ->excludesAll_{Bag}(__)</code>	<i>UML-Bag.OclExcludesAll</i>
<code>_ ->includesAll(_)</code>	<code>__ ->includesAll_{Bag}(__)</code>	<i>UML-Bag.OclIncludesAll</i>
<code>_ ->reject(_ _)</code>	<code>__ ->reject_{Bag}(id __)</code>	<i>OclRejectBag</i>
<code>_ ->select(_ _)</code>	<code>__ ->select_{Bag}(id __)</code>	<i>OclSelectBag</i>
<code>_ ->iterate(_ ; _ = _ _)</code>	<code>__ ->iterate_{Bag}(idt⁰ ; idt⁰ = any⁰ any⁰)</code>	<i>OclIterateBag</i>
<code>_ ->exists(_ _)</code>	<code>__ ->exists_{Bag}(id __)</code>	<i>OclExistBag</i>
<code>_ ->forall(_ _)</code>	<code>__ ->forall_{Bag}(id __)</code>	<i>OclForallBag</i>
<code>_ ->any()</code>	<code>__ ->any_{Bag}()</code>	<i>UML-Bag.OclANY</i>
<code>_ ->notEmpty()</code>	<code>__ ->notEmpty_{Bag}()</code>	<i>UML-Bag.OclNotEmpty</i>
<code>_ ->isEmpty()</code>	<code>__ ->isEmpty_{Bag}()</code>	<i>UML-Bag.OclIsEmpty</i>
<code>_ ->size()</code>	<code>__ ->size_{Bag}()</code>	<i>UML-Bag.OclSize</i>
<code>_ ->excludes(_)</code>	<code>__ ->excludes_{Bag}(__)</code>	<i>UML-Bag.OclExcludes</i>
<code>_ ->includes(_)</code>	<code>__ ->includes_{Bag}(__)</code>	<i>UML-Bag.OclIncludes</i>
<code>_ ->excluding(_)</code>	<code>__ ->excluding_{Bag}(__)</code>	<i>UML-Bag.OclExcluding</i>
<code>_ ->including(_)</code>	<code>__ ->including_{Bag}(__)</code>	<i>UML-Bag.OclIncluding</i>
<code>_ ->asSet()</code>	<code>__ ->asSet_{Bag}()</code>	<i>UML-Library.OclAsSet_{Bag}</i>
<code>_ ->asSeq()</code>	<code>__ ->asSeq_{Bag}()</code>	<i>UML-Library.OclAsSeq_{Bag}</i>
<code>_ ->asPair()</code>	<code>__ ->asPair_{Bag}()</code>	<i>UML-Library.OclAsPair_{Bag}</i>
Pair	<code>Pair(type⁰ , type⁰)</code>	<i>UML-Types.Pair_{base} type</i>
	<code>Pair{ __ , __ }</code>	<i>UML-Pair.OclPair</i>
	<code>__ .Second()</code>	<i>UML-Pair.OclSecond</i>
	<code>__ .First()</code>	<i>UML-Pair.OclFirst</i>
	<code>__ ->asSequence()</code>	<i>UML-Library.OclAsSeq_{Pair}</i>
State Access	<code>__ ->asSet()</code>	<i>UML-Library.OclAsSet_{Pair}</i>
	<code>__ .allInstances()</code>	<i>UML-State.OclAllInstances-at-post</i>
	<code>__ .allInstances@pre()</code>	<i>UML-State.OclAllInstances-at-pre</i>
	<code>__ .oclIsDeleted()</code>	<i>UML-State.OclIsDeleted</i>
	<code>__ .oclIsMaintained()</code>	<i>UML-State.OclIsMaintained</i>
	<code>__ .oclIsAbsent()</code>	<i>UML-State.OclIsAbsent</i>
	<code>__ ->oclIsModifiedOnly()</code>	<i>UML-State.OclIsModifiedOnly</i>
	<code>__ @pre __</code>	<i>UML-State.OclSelf-at-pre</i>
	<code>__ @post __</code>	<i>UML-State.OclSelf-at-post</i>