

MINISTRY OF WATER RESOURCES  
AND METEOROLOGY,  
THE KINGDOM OF CAMBODIA

PREPARATORY SURVEY  
FOR  
IRRIGATION AND DRAINAGE SYSTEM  
REHABILITATION AND IMPROVEMENT PROJECT  
IN  
THE KINGDOM OF CAMBODIA

**MANUAL  
ON  
EXECUTION  
OF  
PRELIMINARY FEASIBILITY STUDY  
FOR  
SMALL-SCALE IRRIGATION PROJECT**

SEPTEMBER 2012

**JAPAN INTERNATIONAL COOPERATION AGENCY (JICA)  
NIPPON KOEI CO., LTD.**

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## Abbreviations

<b>[A]</b>	
ADB	Asian Development Bank
<b>[B]</b>	
B/C	Benefit-Cost Ratio
<b>[E]</b>	
EIA	Environmental Impact Assessment
EIRR	Economic Internal Rate of Return
<b>[F]</b>	
FAO	Food and Agriculture Organization of the United Nations
F/S	Feasibility Study
FWUC	Farmer Water Users Community
FWUG	Farmer Water Users Group
<b>[G]</b>	
GIS	Geographic Information System
GOJ	Government of Japan
<b>[I]</b>	
ISF	Irrigation Service Fee
<b>[J]</b>	
JICA	Japan International Cooperation Agency
<b>[M]</b>	
MAFF	Ministry of Agriculture, Forestry and Fisheries
MOU	Minutes of Understanding
MOWRAM	Ministry of Water Resources and Meteorology
<b>[N]</b>	
NPV	Net Present Value
<b>[O]</b>	
O&M	Operation and Maintenance
<b>[P]</b>	
PO	Project Owner
<b>[S]</b>	
SISIP	Small-scale Irrigation System Improvement Project
SPPIDRIP	Southwest Phnom Penh Irrigation and Drainage Rehabilitation and Improvement Project
<b>[T]</b>	
TSC	Technical Service Center for Irrigation and Meteorology
<b>[V]</b>	
VAT	Value added tax

## Measurement Units

### **Extent**

cm<sup>2</sup> = Square-centimeters (1.0 cm × 1.0 cm)  
m<sup>2</sup> = Square-meters (1.0 m × 1.0 m)  
km<sup>2</sup> = Square-kilometers (1.0 km × 1.0 km)  
ha = Hectares (10,000 m<sup>2</sup>)

### **Length**

mm = Millimeters  
cm = Centimeters (cm = 10 mm)  
m = Meters (m = 100 cm)  
km = Kilometers (km = 1,000 m)

### **Time**

sec = Seconds  
min = Minutes  
hr = Hours

### **Currency**

US\$ 1.0 = JPY 76.8 = 4,084 Riel  
(Internal Bank Rate as of July, 2011)  
US\$ = United State Dollar  
JPY = Japanese Yen  
R, Riel = Cambodian Riel

### **Volume**

cm<sup>3</sup> = Cubic-centimeters  
(1.0 cm × 1.0 cm × 1.0 cm  
or 1.0 m-lit.)  
m<sup>3</sup> = Cubic-meters  
(1.0 m × 1.0 m × 1.0 m  
or 1.0 k-lit.)  
lit 1 = Liter (1,000 cm<sup>3</sup>)  
MCM = Million Cubic Meter

### **Weight**

gr = Grams  
kg = Kilograms (1,000 grams)  
ton = Metric ton (1,000 kg)

### **Others**

ppm = parts per million  
°C = degree centigrade  
% = percent

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**CHAPTER 1 INTRODUCTION**

**1.1 Authority**

This manual was prepared in accordance with the Minutes of Discussion on Preparatory Survey for Irrigation and Drainage System Rehabilitation and Improvement Project (the Project) signed by Japan International Cooperation (JICA) and the Royal Government of Cambodia (RGC) on February 25, 2011.

**1.2 Background and Objective**

(1) Background

The Small-scale Irrigation System Improvement Project (SISIP) is a part of the Project, which was requested to JICA by MOWRAM for its implementation by applying the Japanese loan. SISIP consists of 84 sub-projects. Three sub-projects out of them were selected as representative ones, so as to execute the preliminary feasibility study for appraisal purpose, which might be implemented under the Japanese loan if these are proved to be technically and economically sound.

As for the remaining sub-projects, it is expected that PDOWRAM will carry out the preliminary feasibility under direction of MOWRAM for implementation in future. As far as the project proposals on them prepared by PDOWRAM are concerned, however unfortunately, these would not attain at the satisfactory level from the technical and economical viewpoints. Thus, it is essential to take necessary arrangement for enabling PDOWRAM to carry out the preliminary feasibility study in a proper way. It is confident that the manual is one of effective means to strengthening the PDOWRAM capability for execution and examination of preliminary feasibility study

(2) Objective

The objective of the manual is to provide the PDOWRAM staff with the procedure of execution of preliminary feasibility study for small-scale irrigation projects, which is worked out mainly by reflecting the experiences obtained through the preliminary feasibility study for the selected three Sub-projects mentioned above. On the other hand, even the preliminary feasibility study covers many fields such as hydrology, agronomy, irrigation, drainage, economy and environment. In order to cope with such complicated situations, the manual should be therefore elaborated in a more simple and practical manner, so that the PDOWRAM staff can bear mind to easily use it.

### 1.3 Small-scale Irrigation System Improvement Project

#### (1) Number and Location

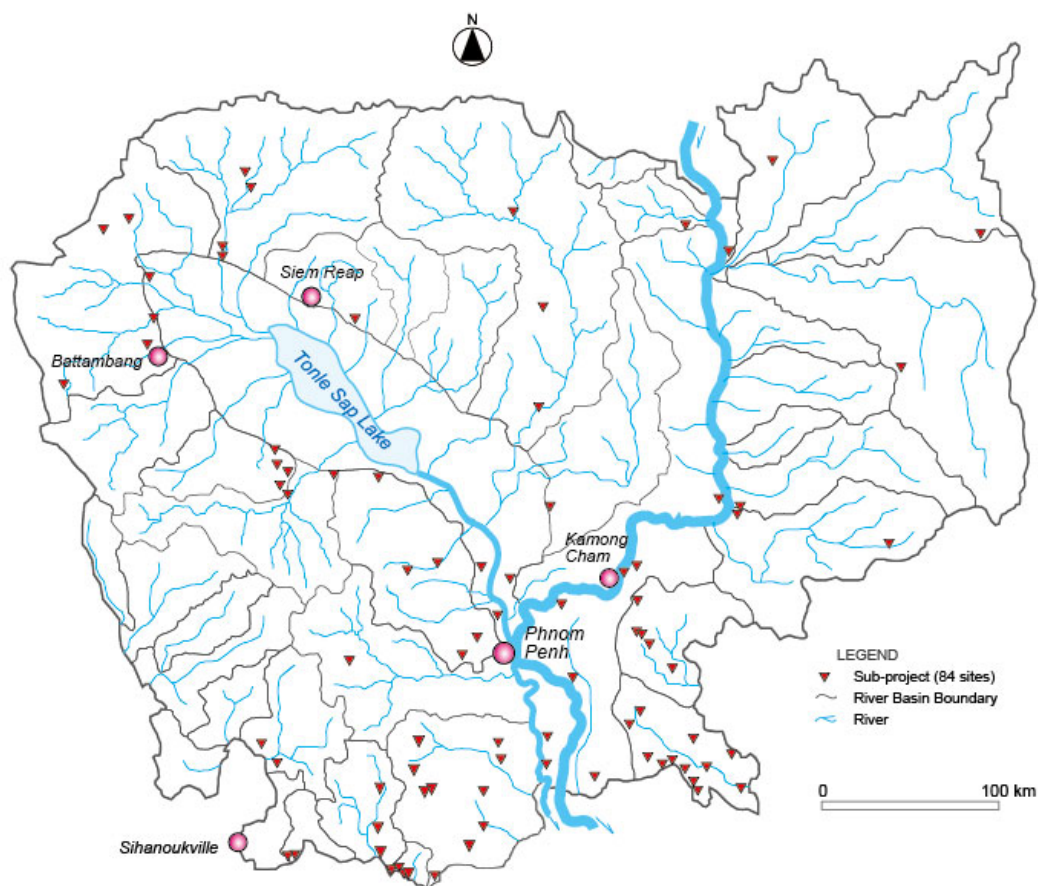
As mentioned above, SISIP consists of 84 Sub-projects located broadly in 23 provinces. The list of Sub-projects is shown in Table 1.3.1, and location of them is given in Figure 1.3.1.

**Table 1.3.1 List of Sub-projects**

Sub-project	Province	Commune	District	Beneficiary	Irrigation Area	
(1) Mongkolbery Main Canal	Bontey	Bontey Neang	Bongkol Bore	1,815persons	1,116 ha	
(2) Kanseng Reservoir	Mean Chey	Soeng	Ochrouve	3,785persons	2,700 ha	
(3) An Longrot Reservoir		Svay Chek	Svay Chek	3,270persons	1,350 ha	
(4) O'Yes	Modul-Kiri	Sre Angkum	Koh Nhek	2,450persons	1,080 ha	
(5) Sre Khum		Sre Khtum	Kao Seima	1,415persons	360 ha	
(6) Khla Krap	Kampong Chhnang	Koh Thkov	Cholkiri	970persons	450 ha	
(7) Daun Pue		Chiep	Teuk Phos	7,077persons	1,151 ha	
(8) Canal Stung Sdatch		Tang Krasang	Teuk Phos	7,356persons	1,046 ha	
(9) Main Canal 35km	Kanpong Speu	Katplug	Basedth	50,204persons	3,018 ha	
(10) O Kontron		Dambok Rung	Phnom Sruct	27,152persons	3,250 ha	
(11) O Ta Penn		Rassmei Samar	Oral	2,392persons	1,400 ha	
(12) N5 Canal	Battambang	Bon Say Treng	Thmarkol	24,898persons	4,750 ha	
(13) Anlong Rum Canal	Takeo	Anlong Run	Thmarkol	9,203persons	710 ha	
(14) Choy Samrong		Baray	Doun Keo	6,174persons	300 ha	
(15) Thra Peang Veng		Kampang	Prey Kabas	1,966persons	220 ha	
(16) Sen Presh Ream		Prey Pdav	Prey Kabas	6,467persons	567 ha	
(17) Ponas		Kampang	Kirivong	3,716persons	1,756 ha	
(18) Potawa		Angprasat	Kirivong	6,423persons	2,872 ha	
(19) Sea Protection Dike		Sihanouk Ville	O'oknhaheng	Prey Nop	560persons	260 ha
(20) Bot Koki Reservoir			O'oknhaheng	Prey Nop	13,392persons	386 ha
(21) Boeung Preah Ponley Reservoir	Pursat	Ptas Roop	P.Kravahh	16,872persons	2,800 ha	
(22) Tuol Lopov		Svay At	Pursat City	4,048persons	360 ha	
(23) Kom Peang Reservoir		Prangil	P.Kravahh	3,505persons	380 ha	
(24) Kab Kraianh		Trapeang Chorr	Bakan	4,998persons	550 ha	
(25) Wat Leap		Meteuk	Bakan	4,888persons	600 ha	
(26) Tram Canal		Tnout Chum	Krakor	2,918persons	350 ha	
(27) Ken Seng		Ansa Cham Bok	Krakor	1,920persons	235 ha	
(28) Sras Prambai		Kandal	Pothy Ban	Koh Thom	6,272persons	2,500 ha
(29) Tom-or	Samron Lei		Ansnol	1,437persons	247 ha	
(30) Ta Tray	Pouk-Resey		Khasch Kana	6,636persons	172ha	
(31) Chak Kaek	Prek Chrey		Kandal	1,842persons	226ha	
(32) Milk Krabai Kon	Stung Treng	Chheu Kmao	Koh Tom	51,512persons	3,820ha	
(33) O kleh		Preak Meas	Siem Pang	1,652persons	2,895ha	
(34) Khom Den		Samaki	Stung Treng	942persons	242ha	
(35) Sre Choan	Kep	Sam Ang	Thalaborivat	1,109persons	984ha	
(36) Ronas		Poun Teuk	Dam Nak Chang	2,913persons	621ha	
(37) Dem Pring		Poun Teuk	Dam Nak Chang	963persons	160ha	
(38) Preg Tanen		Poun Teuk	Dam Nak Chang	986persons	75ha	
(39) Veal Vong		Poun Teuk	Dam Nak Chang	1,208persons	375ha	
(40) Tra Pang Boeung Reservoir	Kampot	Trapang Boeung	Chhuk	7,148persons	1,430ha	
(41) Kandal		Kandal	Teuk Chhu	2,985persons	650ha	
(42) Pey Phdav Reservoir		Srae Knong	Chum Kiri	7,148persons	390ha	
(43) Mlach		Chres	Chum Kiri	5,608persons	1,600ha	
(44) Ou Chranieng Reservoir		Kampong Trach	Kampong Trach	2,833persons	310ha	
(45) 77 Reservoir		Sre Cheng	Chum Kiri	2,525persons	578ha	
(46) Prawoek Pong Reservoir		Trapaing	Teuk Chhu	2,885persons	310ha	
(47) Neary Canal	Siem Reap	Kampong Thk	Kralanh	1,243persons	611ha	
(48) Louk Canal		Taan	Kralanh	1,135persons	1,085ha	
(49)Trabek Canal		Damdek	Sothnikum	2,216persons	1,300ha	
(50) Thnat Bot	Pallin	Sala Krao	Sala Krao	4,962persons	4,000ha	
(51) Som Trok Reservoir	Ratana Kiri	Som Thom	O'Ya Dav	1,819persons	90ha	
(52) Samaki 75 Reservoir	Kampong Cham	Batheay	Batheay	1,415persons	703ha	
(53) Beung Khtum Reservoir		Prek Romdeng	Sreysanthor	1,003persons	393ha	
(54) Chamlok Cham Reservoir		Prek Romdeng	Sreysanthor	510persons	379ha	
(55) Bay Dei Reservoir		Baray	Sreysanthor	6,791persons	894ha	
(56) Simang Reservoir		Preas Theart	Ou Rang Ov	6,284persons	487ha	

Sub-project	Province	Commune	District	Beneficiary	Irrigation Area	
(57) Phum Nheat Canal	Prey Veng	Thmor Pun	Kann Chroach	5,752persons	3,009ha	
(58) Thmor Tek Datch Canal		Kokkong Keut	Kann Chroach	3,308persons	1,012ha	
(59) Kbal Kapal Dam		Prek Tasor	Pea Raing	611persons	400ha	
(60) Char		Cheach	Kamchayme	2,969persons	888ha	
(61) Anlong Cha Canal		Prah Sdach	Prah Sdach	10,675persons	2,226ha	
(62) Kra Chab Dam		Prey Khla	Svay Antor	8,766persons	850ha	
(63) Preak Than	Svay Rieng	Kampong Chark	Rumdoul	5,976persons	2,334ha	
(64) Ta Nou		Cham Bak	Svay Chrum	3,761persons	452ha	
(65) Monourum		Monourum	Svay Teap	1,175persons	661ha	
(66) Krang Leav		Svay Chrum	Svay Thom	4,698persons	642ha	
(67) Svay Tayean		Koki	Kampong	5,318persons	653ha	
(68) O Damrey Chiang		Kampong Ro	Bantey	599persons	398ha	
(69) Sandort		Dun Sar	Svay Chrum	3,022persons	618ha	
(70) Veal Knach		Krork Ko	Svay Chrum	1,148persons	214ha	
(71) Chies Rossey		Kampong Chamlong	Svay Chrum	2,814persons	279ha	
(72) So Pha		Bandey Krang	Kampong	1,538persons	650ha	
(73) Svay Year		Sem Rong	Chan Trea	3,319persons	350ha	
(74) O Andeng Reservoir		Kampong Thom	Tainkrosao	Prosat Sambo	1,716persons	600ha
(75) Hun Sen Canal			Baray	Baray	13,141persons	650ha
(76) Po	Kratle	Bosieav	Cheltra Borei	1,295persons	445ha	
(77) O Streung Kdach		Preakprasob	Preakprasob	1,322persons	365ha	
(78) O Laork		Thmor Andek	Cheltra Borei	2,124persons	501ha	
(79) Saray Polder	Koh Kong	Chroy Svay	Sre Ambel	953persons	342ha	
(80) Tani Polder		Chikhor Leou	Sre Ambel	889persons	241ha	
(81) Promey Reservoir	Preach	Promey	Tbeng Mean Chey	1,700persons	300ha	
(82) Osarakareach Reservoir	Vihear	Rir Riey	Rovang	1,950persons	390ha	
(83) Chong Kal	Odar	Chong Kal	Chong Kal	2,400persons	1,450ha	
(84) Ta Enn		Meanchey	Pong Rer	Chong Kal	4,714persons	1,543ha

Source: Minutes of Discussion on Preparatory Survey for Irrigation and Drainage System Rehabilitation and Improvement Project



Source: JICA Survey Team

Figure 1.3.1 Location Map of 84 Sub-projects

(2) Definition of Small-scale Irrigation Project

As can be seen in the above table, the irrigation area for sub-projects largely fluctuates. Table 1.3.2 shows the area-wise classification of proposed sub-projects. According to the MOWRAM's criteria, the small-scale project is defined with its irrigation area less than 200 ha. If applying this definition, the small-scale project is only 4 nos. out of 84 nos. This manual is therefore prepared for the sub-projects less than 1,000 ha.

**Table 1.3.2 Area-wise Classification of Sub-projects**

Irrigation Area	Nos. of Sub-projects
≤ 200 ha	4
200 ha < and ≤ 500 ha	32
500 ha < and ≤ 1,000 ha	21
1,000 ha < and ≤ 2,000 ha	14
>2,000 ha	13
Total	84

Source: JICA Survey Team

(3) Type of Sub-projects

All sub-projects proposed are categorized as gravity irrigation type. The water sources for them are classified into river, reservoir and recession water. The number of sub-projects for respective water sources is shown in Table 1.3.3. This manual is thus prepared in consideration of these three types of water sources.

**Table 1.3.3 Number of Sub-project for Respective Water Sources**

Water Source	Nos. of Sub-projects
River	20
Reservoir	42
Recession Water	15
Total	84

Source: JICA Survey Team

(4) Findings on Proposals for Sub-projects

PDOWRAMs have prepared the proposal for each sub-project in reply to the request of MOWRAM. After scrutinizing these proposals, there found the following defects in them:

- Water source available for irrigation is not quantified.
- Water demand for irrigation is not calculated based on cropping pattern.
- The relation between available water source for irrigation and irrigable area is not clear.
- Concept of economic cost is not taken into consideration.
- Incremental benefit is not properly estimated.

From these findings, it is deemed that PDOWRAMs need to learn more how to approach to preparation of irrigation development plan, especially execution of feasibility study. Thus, this manual is prepared keeping the above in mind.

**1.4 Review of Project Proposal for Small-scale Irrigation System Improvement Project**

Project proposal documents for the rehabilitation of SISIP consisting of 84 sub-projects were submitted to MOWRAM in October 2009 prior to the JICA Survey. These proposals were prepared by PDOWRAM by filling up standard proposal forms given by MOWRAM under assistance of TSC-2. The proposal is composed of an application form and annexes including the following descriptions.

Application Form	Annexes
(a) Applicant's information (PDOWRAM) <ul style="list-style-type: none"> <li>- Name and address of responsible person</li> <li>- Number of staff and annual budget</li> <li>- Project implementation system</li> <li>- Experiences of project implementation</li> </ul>	(a) Map <ul style="list-style-type: none"> <li>- Location map</li> <li>- Layout map</li> <li>- Command area map (before project)</li> <li>- Command area map (after project)</li> </ul>
(b) Project information <ul style="list-style-type: none"> <li>- Project site</li> <li>- Background of project</li> <li>- Purpose of project</li> <li>- Outline of project</li> <li>- Project cost with breakdown cost estimation</li> </ul>	(b) Photo (c) Project work plan (d) Project cost estimation (e) Design documents (f) Answer to questionnaire on : <ul style="list-style-type: none"> <li>- FWUC,</li> </ul>

Application Form	Annexes
<ul style="list-style-type: none"> <li>- Beneficiaries</li> <li>- O&amp;M cost</li> <li>- FWUC establishment</li> <li>- Expected project effect/impact</li> <li>- Economic evaluation (IRR)</li> </ul>	<ul style="list-style-type: none"> <li>- Land mine,</li> <li>- Consensus of villagers,</li> <li>- Necessary land acquisition, etc</li> </ul>

These documents indicate lots of information necessary for the project appreciation, however the following problems are found in the existing project proposals and its preparation process as the results of scrutiny of them, discussion with the PDOWRAM and confirmation at the representing project sites.

(1) Lack of Technical Information on Water Resources

Though one of the most important issues is water resource for the project evaluation of the technical soundness, the proposal documents do not describe any information of it, such as mane and type of water source, catchment area, observed and/or estimated discharge and capacity of reservoirs, so that it is difficult or rather than impossible to evaluate the suitable size of irrigation area.

(2) Overestimate of Targeted Irrigation Area

Most of the proposed projects have their origin in the Por Pot regime, in which the canals (Por Pot canals) had targeted maximum extent of their command area without water balance study, hence they did not guarantee the amount of irrigation water supply with certain dependability. The rehabilitation works are so proposed as to cover the area commanded by the existing Por Poto canal networks, which are mostly overestimate of the irrigation area.

(3) Less Understanding on Project Area in Project Evaluation

Distribution of land use is not clear and the project area is misunderstood in project evaluation to compare before and after the sub-project, such as irrigated and rainfed paddy, upland field, fallow area and non-agricultural land. The sub-project area totaled of each land use shall be the same with the sub-project area before and after the rehabilitation. The sub-project area shall include the existing fallow area and non-agricultural land before rehabilitation, in case these areas will be irrigated after the sub-project. The sub-project area in the proposal is not the same in before and after the rehabilitation in most cases. In addition, the area is not clearly categorized, such as the actually irrigated, irrigable, and rainfed area.

(4) Incomplete Rehabilitation Works Proposed

In many cases, the proposed rehabilitation works do not include all necessary works for the complete irrigation system. For example in some cases, the rehabilitation is limited to main canal and related structures, while secondary canals and other facilities are not considered. In other cases, rehabilitation of only upper reach of main canal is proposed, but project benefit is considered for the whole area including lower reach of main canal.

(5) Insufficient Back Data and Breakdown

Some items of the unit cost and benefit estimate were referred to uniform standard values given by MOWRAM assisted by TSC-2, such as (i) agricultural extension service, (ii) increase of agricultural input for existing cultivated area, (iii) increase of agricultural input for newly cultivated area, and (iv) O&M cost. As there is no breakdown and source for EIRR calculation in the application form, it is difficult to review and update the calculation results.

(6) Insufficient Data Storage System in PDOWRAM

The proposal was prepared in 2009 and more than two years have passed before the JICA Survey, in which some of the technical data including topographic survey data, design calculation, drawings and work quantity and cost estimate have been lost or misplaced. This also causes difficulty in review and updating.

Thus, the manual is prepared keeping these problems in mind.



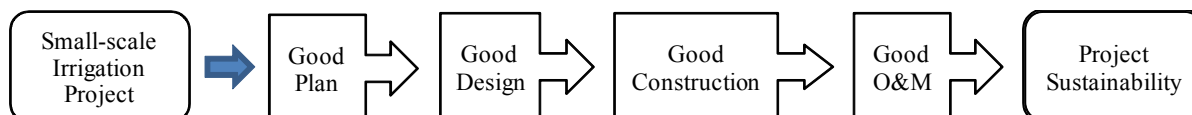
## CHAPTER 2 PROCEDURE OF EXECUTION OF PRELIMINARY FEASIBILITY STUDY

### 2.1 Purpose

The purpose of the preliminary feasibility study is to prove that the project is technically viable and economically sound.

#### 【Explanation】

The project is always required to be sustainable. To realize this requirement, the project needs to follow the flow of "good plan", "good design", "good construction" and "good O&M". The project sustainability of the project could not be attained even if one of them is lacked.



Source: JICA Survey Team

**Figure 2.1.1 Flow of Appropriate Procedure to Project Formulation**

In this flow, the feasibility study plays a role of seeking for the "good plan" of the project. The meaning of "good plan" is nothing other than satisfying both technical and economical requirements.

#### 【Output】

Understanding of purpose of Preliminary Feasibility Study

### 2.2 Work Flow

Successful execution of preliminary feasibility study is to grasp the whole of required works in advance.

#### 【Explanation】

In general, the preliminary feasibility study should be carried out by the limited staff within the limited time. In order to effectively and smoothly execute the preliminary feasibility study, it is imperative to know the whole works in advance, and then to take the necessary steps on time to complete it as scheduled.

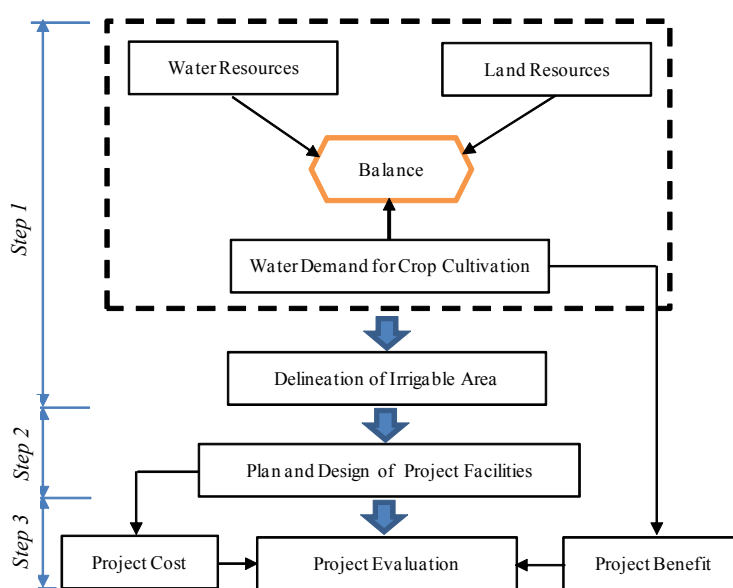
The preliminary feasibility study is largely divided into the following three steps (See Figure 2.2.1):

#### **Step 1: Delineation of Irrigable Area**

Balance of water resource, land resource and water demand for crop cultivation

#### **Step 2: Plan and Design of Project Facilities**

Execution of good plan and good design for project facilities to effectively distribute irrigation water to field



**Figure 2.2.1 Outline of Work Procedure**

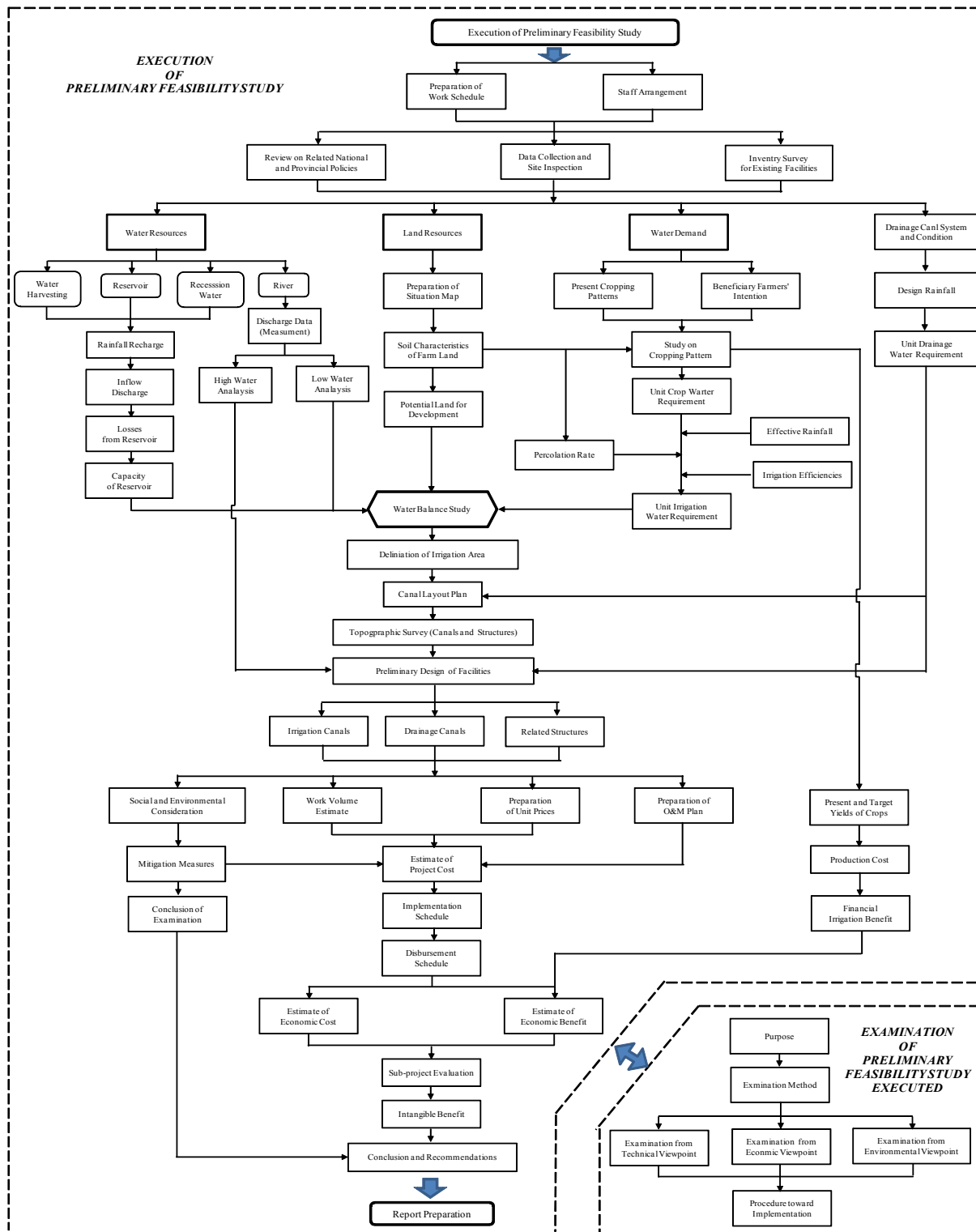
**Step3: Project Evaluation**

Execution of appropriate project evaluation using project cost, project benefit by crop production and project implementation plan

Detailed work flow for preliminary feasibility study is shown in Figure 2.2.2.

**【Output】**

Recognition of mechanism of preliminary feasibility study



**Figure 2.2.2 Detailed Work Flow for Preliminary Feasibility Study**

## 2.3 Relevant Guidelines, Manuals and Reference Books Possessed by MOWRAM and TSC

Further understanding and knowledge on planning and designing could be deepened from relevant guidelines, manuals and reference books

### 【Explanation】

This manual aims to present the simplified procedure and methodology of preliminary feasibility study for small-scale irrigation project for easier understanding, therefore could not cover the detailed information. In order to know further information and knowledge on specific subjects, other relevant guidelines, manuals and reference books should be studied. Those presently kept by MOWRAM and TSC are as follows:

**Table 2.3.1 List of Relevant Guidelines, Manuals and Reference Books**

Organization	Title
MOWRAM	(a) Design Manual for Small and Medium Scale Irrigation System Planning, April 2004
	(b) Planning Guidelines for Rehabilitation and Reconstruction of Irrigation Systems, March 2002
	(c) Training Manual for Participatory Irrigation Management and Development, October 2003
	(d) Training Material for Agricultural Planning 27/2, Rural Development Planning, Principles, Approaches and Tools of Economic Analysis, FAO, 1991
	(e) Training Material for Agricultural Planning 34, Guidelines on Social Analysis for Rural Area Development Planning, FAO, 1993
	(f) Training Material for Agricultural Planning 38/1, Sustainability Issues in Agricultural and Rural Development Policies, FAO, 1995
	(g) Training Material for Agricultural Planning 38/2, Sustainability Issues in Agricultural and Rural Development Policies, FAO, 1995
	(h) Handbook for Incorporation of Social Dimensions in Projects, ADB, 1994
	(i) Environmental Impact Assessment for Developing Countries, Vol.1 and 2, ADB, 1997
	(j) Hydraulic Gates and Valves, 2001
TSC	(a) Cropwat :Manual and Guidelines, FAO
	(b) Crop Water Requirements, FAO, 1992
	(c) Crop Evapotranspiration, FAO, 2000
	(d) Irrigation Water Management Training Manual No.2, FAO, 1985
	(e) Irrigation Water Management Training Manual No.3, FAO, 1986
	(f) Textbook (1) to (3), Training Course on O&M of Irrigation Facilities, TSC
	(g) Textbook, Training Course on Structural Design & Calculation, TSC
	(h) Manual of Topographic Survey, TSC
	(i) Manual of Traverse Survey, TSC
	(j) Exercise in Water Requirement Training Course, TSC
	(k) Manual of Design Discharge, JICA, 2007
	(l) Manual of Meteorological Observation, TSC, 2005-2007
	(m) Textbook for Irrigation Planning, JICA
	(n) Manual of Practical Training for Measurement Technique of Evapotranspiration, TSC
	(o) Design Manual for Hydraulic Calculation of Small Scale Irrigation Canal, JICA
	(p) Water Requirement and Their Determination, TSC
(q) Basic Design for Hydraulic Structures, JICA	
(r) Manual of Design on Small Scale Irrigation Canal and Related Structures, JICA	
(s) Hydrology for Engineers, 2001	
(j) Exercise in Water Requirement Training Course, TSC	

Source: JICA Survey Team

### 【Output】

Learning of further information, understanding and knowledge on relevant fields

## CHAPTER 3 PREPARATORY WORKS

### 3.1 Preparation of Work Schedule

The work schedule is indispensable for smoothly executing the preliminary feasibility study in a proper way and procedure.

#### **【Explanation】**

Without a work schedule, it is difficult to carry out the preliminary feasibility study systematically and to fulfill it on time. And also, missing of work items might occur. In order to avoid such situations, it is necessary to prepare the work schedule prior to commencement of the study. The work schedule is generally graphed by letting the work items be the vertical axis and the term horizontal axis, and the time and period of respective works are shown in a bar chart. In preparation of work schedule, an attention should be paid to the sequence of respective works, so that subsequent work could be known easily and be set out continuously.

#### **【Output】**

Efficient execution of preliminary feasibility study

### 3.2 Staff Arrangement

The required staff for execution of preliminary feasibility study should be clarified and assigned.

#### **【Explanation】**

The preliminary feasibility study could not be fulfilled without appropriate assignment of staff. The required staff should be determined in line with the work schedule focusing on the number and the specific field, to effectively carry out the preliminary feasibility study. In general, it is expected that Hydrologist, Irrigation Engineer, Agriculturist, Economist and Environment Expert are assigned as a minimum manpower requirement. As for the agriculturist, it might be necessary to obtain support from PDA.

#### **【Output】**

Effective works by timely input of required staff

### 3.3 Confirmation of Related National and Provincial Policies

The development plan should be so elaborated as to coincide with related national and provincial policies.

#### **【Explanation】**

RGC worked out many policies for agriculture and irrigation development. Presently, these are (i) Rectangular Strategy, Phase II, (ii) National Strategic Development Plan Update 2009-2013, (iii) Strategy for Agriculture and Water Program 2010-2013, (iv) Agriculture Strategic Development Plan 2009-2013, (v) Action Plan on Water Resources and Meteorology Management and Development 2009-2013, and (vi) Action Plan for Implementing Government Policy on Promotion of Paddy Production and Rice Export. Thus, development plan to be elaborated in the preliminary feasibility study should be prepared by reflecting goals, targets, and visions stipulated in these policies, to clarify significance of development for the country.

#### **【Output】**

Appropriate development plan contributing to the national and provincial policies

### 3.4 Data Collection and Site Inspection

Data collection and site inspection are the most fundamental activities in procedure of feasibility study, especially for obtaining important information required for analysis and study as well as clarifying site conditions, problems and constraints encountered.

#### 【Explanation】

Data collection and site inspection are the works to be conducted immediately after starting the preliminary feasibility study. The proposed projects are characterized by various conditions, constraints and problems. The development plan for them should be elaborated so as to meet conditions and to settle constraints and problems. Site inspection is useful for clarifying various conditions, constraints and problems. In addition, site inspection would sometimes give not only valuable solutions for constrains and problems, but also appropriate ideas for development plan. It is therefore requested that the person in-charge should make site inspection as far as the time allows when facing the doubtful points in the course of the study.

The required data to be collected are as follows:

**Table 3.4.1 List of Data to be Collected**

Field	Data
(1) Meteorology	Rainfall, Temperature (Max. and Min.), Sunshine, Evaporation, Humidity, Wind speed
(2) Hydrology	River system, River discharge, Catchment area, Development plans in the same river basin, Existing irrigation systems related to the same river.
(3) Agriculture	Current Cropping patterns, Present crop yields, Prices of agricultural products and inputs
(4) Irrigation	Topographic map, Water source, Irrigation canal system, Canal design discharge, Irrigated area
(5) Drainage	Inundation condition, Drainage requirement, Drainage system

Source: JICA Survey Team

These data should be collected from the relevant agencies, farmers and also through site inspection

#### (1) Meteorology

The above-mentioned data should be collected from the Department of Meteorology, MOWRAM and/or PDOWRAM being in-charge of observatory stations. The following data might be used for the study if cold not be collected.

#### Meteorological Data (excluding rainfall data)

**Table 3.4.2 Summary of Meteorological Data at Pochentong Station (1991 – 2010)**

Item	Unit	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Average or Total
Temperature	°C													
Mean		26.6	28.0	29.5	30.6	30.4	29.6	28.8	29.2	28.4	27.7	27.0	26.2	28.5
Maximum		32.3	33.9	35.6	36.4	35.6	34.7	33.4	33.2	32.9	32.0	31.7	31.5	33.6
Minimum		20.9	22.1	23.5	24.8	25.1	24.4	24.2	25.2	23.8	23.5	22.4	21.0	23.3
Humidity	%	72.0	70.4	69.7	71.4	75.9	77.8	80.3	81.3	84.1	84.1	78.5	74.0	76.6
Wind Speed	m/sec	3.2	3.8	4.1	3.9	4.3	4.9	4.3	5.4	4.4	3.1	3.9	3.9	4.0
Evaporation	mm/day	4.4	5.4	6.2	5.8	4.8	4.6	4.1	4.0	3.5	3.1	3.6	4.1	4.4
Sunshine	hr/day	8.5	8.6	8.3	8.0	7.3	6.6	5.9	5.9	5.7	6.1	7.5	8.2	7.2

Source: Pochentong Observatory, Department of Meteorology (Temperature, Humidity, Wind speed, Evaporation and Sunshine)

Note: Wind Speed data during the period from September 2005 to December 2010 were unavailable.

## Rainfall Data

Table 3.4.3 Annual Rainfall (2001-2010)

(Unit:mm)

Province	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	Probable Year*
Banteay Meanchey	1,122	1,297	998	1,092	1,209	1,246	1,273	1,345	923	1,101	2003
Battambang	1,097	1,211	1,058	994	1,237	1,229	1,357	1,481	1,388	1,270	2003
Kampong Cham	1,324	1,133	1,958	1,183	1,407	1,591	1,559	1,495	1,867	1,372	2004
Kompong Chhannang	1,256	1,160	1,119	1,249	1,349	1,315	1,724	1,420	1,635	1,290	2003
Kampong Speu	1,768	937	1,049	949	1,114	1,178	1,111	1,188	1,340	1,167	2004
Kampong Thom	1,599	1,524	1,385	1,329	1,274	1,945	1,265	1,395	1,401	1,377	2005
Kampot	2,289	1,613	2,223	1,573	2,079	2,380	1,700	2,025	2,219	1,165	2004
Kandal	-	-	-	-	1,146	1,462	1,041	1,370	1,054	1,718	2007
Koh Kong	3,359	2,310	2,953	3,548	3,834	5,202	3,860	4,439	4,771	3,814	2003
Kraite	1,636	1,909	1,668	1,229	1,468	1,705	1,864	1,606	1,849	1,495	2005
PhnomPenh	1,615	1,286	1,304	1,092	1,427	1,208	1,374	1,886	1,456	1,591	2006
Preah Vihear	-	-	-	-	-	-	1,444	1,415	1,789	1,388	2010
Prey Veng	901	1,020	906	1,049	1,252	1,181	1,331	1,726	1,323	1,829	2003
Pursat	1,129	1,409	1,584	1,173	1,225	1,394	1,496	1,948	1,143	1,390	2009
Ratanak Kiri	2,367	2,543	-	2,120	2,381	2,827	2,162	2,215	1,358	344	2004
Siemreap	1,753	1,242	1,271	1,610	1,422	1,415	1,179	1,264	1,182	1,438	2009
Sihanoukville	3,375	3,121	2,608	3,353	2,956	4,065	2,948	2,807	2,286	2,131	2009
Stung Treng	1,863	1,336	1,565	1,491	1,389	1,597	1,776	1,778	1,612	1,069	2002
Svay Rieng	1,612	1,596	1,657	1,461	1,731	1,634	1,684	1,598	1,452	1,891	2004
Takeo	1,625	1,292	1,384	1,108	1,245	1,241	1,427	1,640	1,013	1,415	2004
Mondulikiri	-	-	-	-	-	-	1,537	1,503	834	324	2009
Pailin	-	-	1,011	453	969	821	1,034	1,333	1,130	1,907	2005

Source: Department of Meteorology, MOWRAM

\*: 20% non-excess probability

Generally, the drought year of 20% non-excess probability is taken for preparation of irrigation development plan. Table 3.4.3 shows the drought year corresponding to 20% non-excess probability for respective provinces where rainfall data is available. In addition, Table 3.4.4 presents the monthly rainfall for the drought year corresponding to 20% non-excess probability.

Table 3.4.4 Monthly Rainfall for 20% Non-excess Probability Year

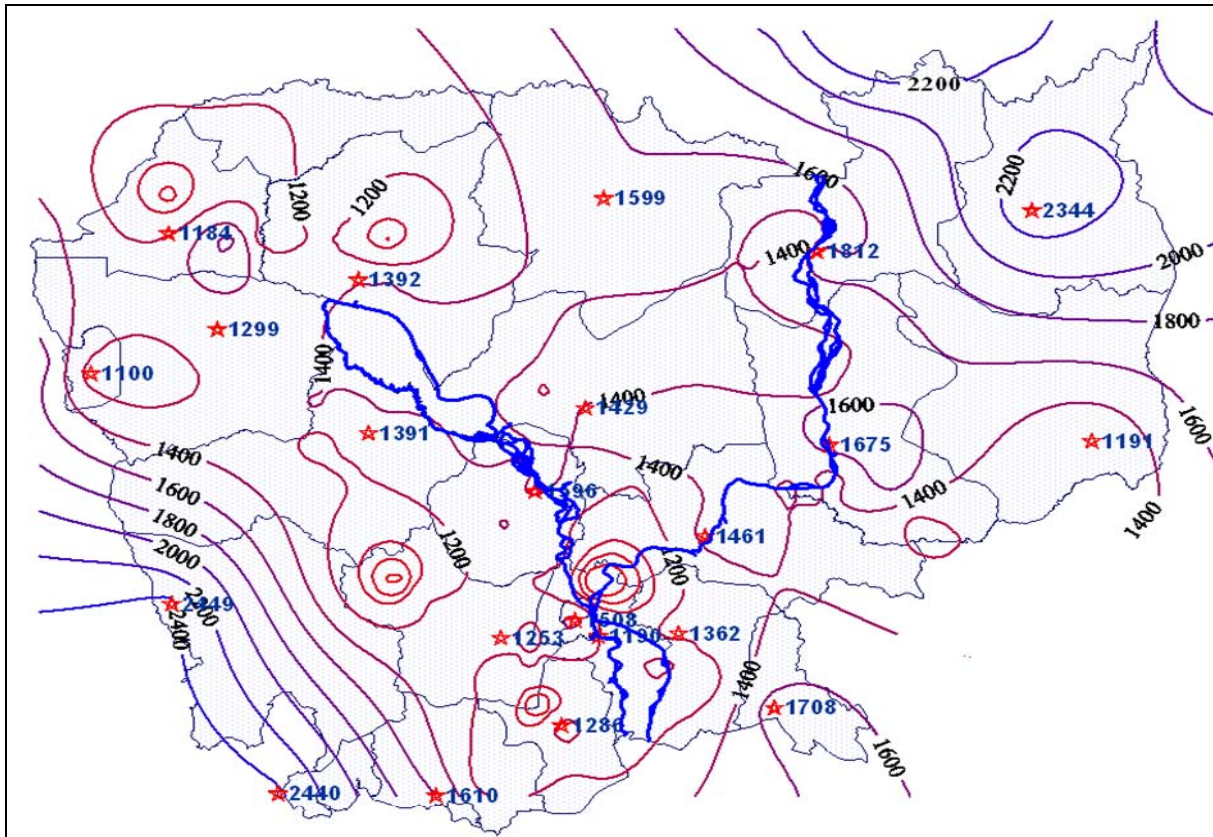
(Unit:mm)

Province	Jan.	Feb.	Mar.	Apr.	May	Jun.	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Probable Year*
Banteay Meanchey	0	0	14	79	158	99	149	142	211	149	0	0	2003
Battambang	0	0	29	197	78	172	111	199	132	140	0	1	2003
Kampong Cham	1	0	1	105	103	249	129	191	210	162	32	0	2004
Kompong Chhannang	0	0	31	42	193	190	192	119	208	122	21	0	2003
Kampong Speu	3	19	12	73	145	127	145	33	149	205	38	0	2004
Kampong Thom	0	0	14	34	140	176	299	99	243	119	142	7	2005
Kampot	0	0	0	156	85	122	262	446	220	324	70	15	2007
Kandal	0	0	0	25	143	196	92	180	164	210	31	0	2007
Koh Kong	0	38	43	111	205	186	830	596	543	401	0	0	2003
Kraite	0	0	4	31	238	132	377	132	380	114	61	0	2005
PhnomPenh	0	0	33	64	82	136	120	263	282	193	12	23	2006
Preah Vihear	0	0	0	0	136	241	248	334	214	215	0	0	2010
Prey Veng	0	0	21	33	36	54	253	199	134	154	22	0	2003
Pursat	2	28	56	118	108	126	146	186	175	163	35	0	2009
Ratanak Kiri	0	0	44	60	157	558	448	454	355	38	8	0	2004
Siemreap	0	0	28	57	92	120	301	82	341	143	16	0	2009
Sihanoukville	2	36	113	135	335	140	387	219	698	209	11	0	2009
Stung Treng	0	0	4	103	100	270	274	220	258	58	35	15	2002
Svay Rieng	0	2	0	65	243	84	153	197	300	232	185	0	2004
Takeo	0	0	52	24	241	139	62	89	121	246	133	0	2004
Mondulikiri	0	5	32	0	0	179	340	278	0	0	0	0	2009
Pailin	0	0	30	55	18	75	156	79	149	253	83	71	2005

Source: Department of Meteorology, MOWRAM

\*: 20% non-excess probability year

Furthermore, the isohyetal map for the whole country (199 to 2010) is given in Figure 3.4.1.



Source: Department of Hydrology and River Works

**Figure 3.4.1 Isohyetal Map for Cambodia (1991-2010)**

### (3) Hydrology

#### River System

River system which becomes a water source for irrigation should be clarified using the available topographic map, say 1/50,000 topographic map if larger scale one is not available.

#### River Discharge

River discharge data is so important for determination of irrigable area. If the discharge data for the river is available, these should be collected. The period of data to be collected is desirable to be about 10 years, in order to estimate drought discharge with 20% non-exceedance probability. In case no discharge data is available, the river discharge should be calculated using the following manners:

- River discharge will be measured actually (See Sub-clause 4.2.1.1)
- River discharge will be calculated using that of another river with similar morphology (See Sub-clause 4.2.1.1)

#### Catchment Area

The catchment area of river system should be delineated and estimated at the water intake site, using the available topographic map, say 1/50,000 topographic map if larger scale one is not available. This data will be used mainly for calculation of flood discharge.

#### Development Plans in the Same River Basin

Development plans in the same river basin highly influence the available water for the sub-project, so that all development plans should be listed up, and then the required water for them should be examined. In particular, careful attention should be paid to the development plans of dam and

irrigation located upstream which severely affect the sub-project. These data will be used for water balance study, to utilize the limited water sources effectively.

#### Existing Irrigation Systems in the Same River Basin

It is necessary to guarantee the water for existing irrigation systems. Therefore, if there are the existing irrigation systems in the same river basin, these should be listed up and the used water should be grasped. These data will be used for water balance study.

#### (4) Agriculture Data

In the preliminary feasibility study for irrigated agriculture project, agriculture data are used for preparation of agricultural development plan including target crops, proposed cropping pattern, target yields and the sub-project benefit.

#### Current Cropping Pattern

Data on the current cropping pattern prevailing to the sub-project area will be collected from PDA and District Office. If not available, it should be clarified by interviewing with farmers through the site inspection. The required data are to know what kind of crops is cultivated, when the planting is started and when the harvesting is conducted.

#### Present Crop Yields

The present crop yields should be known. This data will be used for calculating the incremental benefits by crop production. Data on the present crop yields will be collected from PDA, District Office and Farmers.

#### Prices of Agricultural Products

Seasonal fluctuation in market prices of paddy as well as upland crops including vegetables is a common phenomenon in Cambodia. The data of market prices of agricultural products could be collected from Department of Planning and Statistics, MAFF. Table 3.4.4 shows the monthly wholesale prices of agricultural products in Phnom Penh 2010 as an example.

**Table 3.4.5 Monthly Wholesale Prices of Agricultural Products in Phnom Penh 2010** (Unit: Riel/kg)

Crops	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec
Rice (Mixed)	1,900	1,900	1,900	1,900	1,900	-	1,900	1,717	1,700	1,700	1,750	1,800
Rice (Neang Minh)	2,000	2,000	2,000	2,000	2,000	-	2,000	1,900	1,900	1,900	1,900	2,000
Rice (Phka Kanhey)	2,150	2,150	2,175	2,175	2,200	-	2,200	2,450	2,450	2,450	2,450	2,450
Rice (Somaly)	2,700	2,700	2,775	2,775	2,850	-	2,850	2,988	3,000	3,000	3,000	2,600
Ground Nut	7,000	7,000	7,000	7,000	7,000	6,071	6,000	6,189	6,733	7,000	7,433	7,511
Mung bean	6,000	5,956	5,911	6,000	6,000	6,917	7,000	7,000	6,771	6,500	5,983	5,500
Sesame (white)	6,500	6,644	7,000	7,000	7,000	7,000	6,952	7,000	7,000	7,000	7,000	7,000
Soybean	2,989	2,956	2,811	2,800	2,800	2,971	3,000	3,000	2,890	2,733	2,600	2,689
Maize (Yellow)	1,000	1,056	1,197	1,200	1,200	1,388	1,400	1,361	1,300	1,300	1,350	1,400
Beet	950	1,435	1,057	1,271	1,360	1,655	1,356	1,410	1,210	1,575	1,591	1,007
Bitter Gourd	1,540	1,530	1,504	1,559	1,650	1,700	1,378	1,200	1,120	1,838	1,717	1,831
Cabbage	1,120	1,215	1,571	1,786	1,773	1,845	1,694	1,890	1,690	1,750	1,667	1,469
Chinese Kale	1,780	2,120	1,664	1,591	1,836	2,991	4,589	2,770	2,570	5,338	4,650	1,738
Cucumber	1,465	1,455	1,347	1,591	1,482	1,645	1,278	1,290	1,210	1,425	1,533	1,292
Lettuce	1,975	915	1,061	1,727	2,400	5,905	2,889	1,240	1,630	4,757	3,125	1,554
Tomato	1,335	1,360	1,729	2,391	2,300	2,218	1,950	2,160	1,930	1,863	2,217	2,025
Long Bean	2,140	1,480	1,429	1,759	1,968	1,418	1,189	1,370	1,220	1,550	2,117	1,923
Mustard Green	1,465	945	1,075	1,395	1,168	1,255	1,233	1,020	1,080	2,325	1,317	1,031
Petsai	2,075	1,460	1,319	1,577	1,927	1,840	1,722	1,450	1,690	3,363	2,308	1,408
Cauliflower	3,000	2,610	3,693	3,991	4,400	6,020	6,083	5,820	5,910	7,188	6,542	3,992
Soiu Sum	1,905	765	1,257	1,309	1,495	1,400	1,244	1,140	1,420	2,738	1,525	1,346
Pok joy	2,005	1,270	1,425	1,805	2,090	2,688	1,856	1,350	2,130	4,438	2,125	1,531

Source: Department of Planning and Statistics, MAFF



## (5) Irrigation

### Topographic Map

Topographic map is essential for preparation of irrigation development plan. The available topographic map covering the sub- project area will be collected. The country is covered with 1/50,000 topographic map. However, this map is sometimes not suitable for small-scale irrigation project because of its small scale as compared with irrigation area. In this case, a preliminary map will be prepared using portable GPS, leveling instrument and measuring tape within a short time (See Clause 4.1.1).

### Water Source

Available water source for irrigation should be confirmed. Generally, water source for small-scale irrigation project in Cambodia is river, reservoir and flood recession water. In case of river, river discharge data should be collected or estimated as mentioned above. As for reservoir, the reservoir capacity will be estimated using portable GPS and leveling instrument if the data is not available (See Sub-clause 4.2.2.4). Irrigation by flood recession water is almost the same with reservoir since flood water is once stored by dike, and then the stored water will gradually be released to fields as flooding water recedes from fields. The storage capacity will be estimated in the same manner with reservoir.

### Irrigation Canal System

The small-scale irrigation project is almost characterized with the rehabilitation one. Thus, irrigation canal system already covers the agricultural land although it is incomplete. Data on the existing irrigation canal system will be collected if available. The data to be collected are layout, canal type, canal length and canal section. The number, location and kind of existing structures will be also examined.

### Canal Design Discharge

In connection with the existing irrigation canal system, the canal design discharge data will be collected if possible. This data will be used for knowing the design conditions applied for the existing irrigation canal system.

### Irrigated Area

In the rehabilitation project, the area being presently irrigated should be clarified if at all possible. This will become a reference data for determination of irrigable area although the irrigable area is technically determined through a water balance study between available water source and water demand by crops, which is explained later.

## (6) Drainage

### Inundation Condition

In order to avoid damage of crops by water stagnant, data on inundation should be collected from farmers, and be confirmed on the map or at site. The data to be collected are the inundation area, inundation period, inundation depth, and frequency of inundation. The data will be used for preparation of drainage development plan including rehabilitation and improvement.

### Drainage System

The existing drainage system should be examined to reflect it into the drainage plan. Small streams and depreciated area will be carefully investigated since these generally function as natural drains. The flow direction of excess water from fields will be studied at site.

**【Output】**

Collection of data and information required for the preliminary feasibility study

**3.5 Inventory Survey for Existing Facilities**

Existing facilities should be surveyed to grasp their locations and damaged conditions, and also to prepare the suitable rehabilitation plan.

**【Explanation】**

In the proposed sub-projects, the required works are mainly rehabilitation and improvement of existing facilities. The location and damaged conditions of facilities largely influence the sub-project cost. Thus, it is necessary to grasp the conditions of existing facilities. The inventory survey should include the following survey items as least:

- Name of Sub-project
- Date of Execution of Survey
- Name of Surveyor
- Name of Canal
- Station No. on Canal
- Location (Northing and Easting based on Indian Datum 1954)
- Type of Facility
- Digital Photos of Existing Facility showing Whole Shape and Damaged Portions if any
- Explanation of Current Condition of Canal and Structure
- Sketch of Structure to Know Dimensions of Major Portions
- Findings if any

Sample of inventory survey sheet is given in Attachment-1. The results of inventory survey should be compiled in one or two sheet, to easily understand the conditions of facilities.

**【Output】**

Clarified conditions of facilities to be used for rehabilitation plan and design

## CHAPTER 4 EXECUTION OF PRELIMINARY FEASIBILITY STUDY

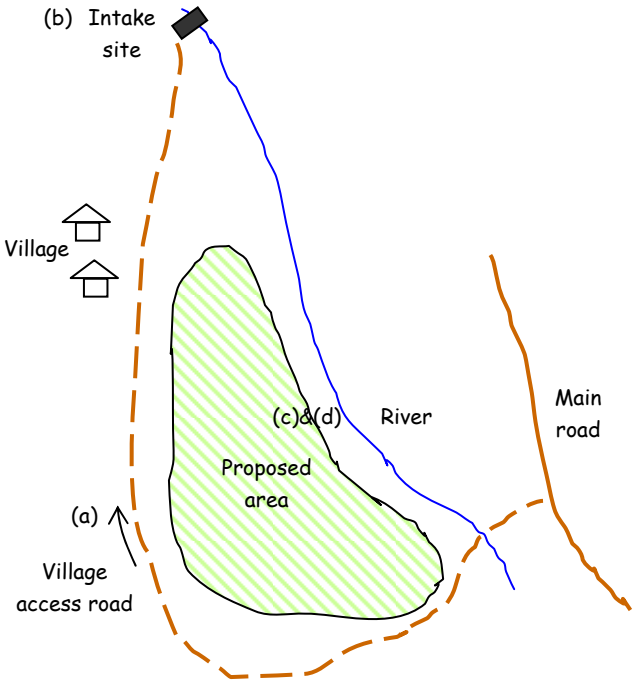
### 4.1 Land Resources


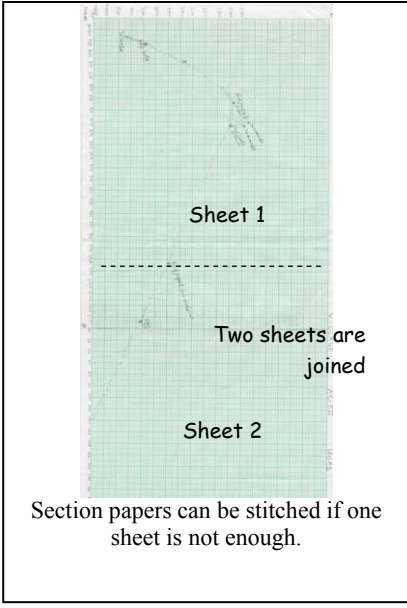
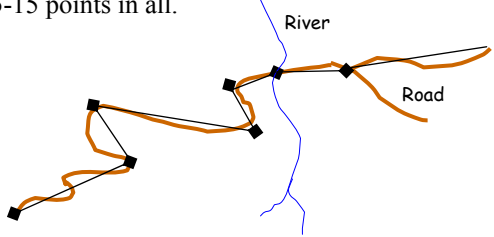
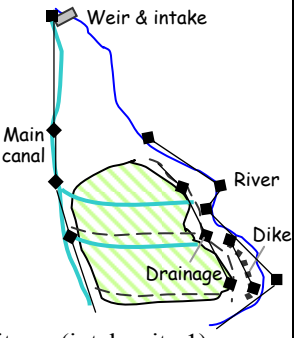
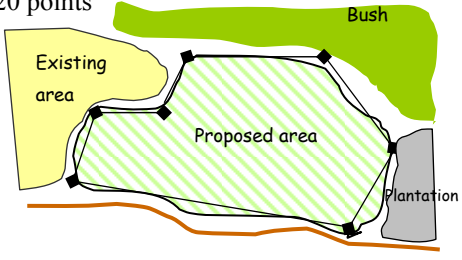
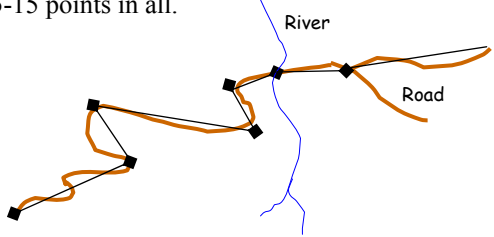
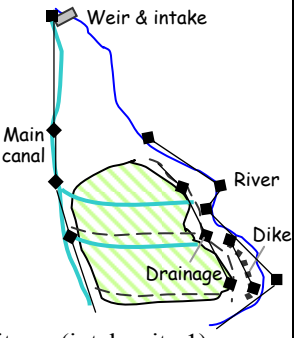
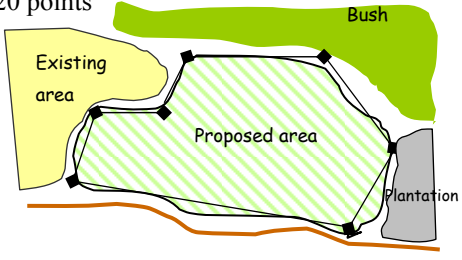
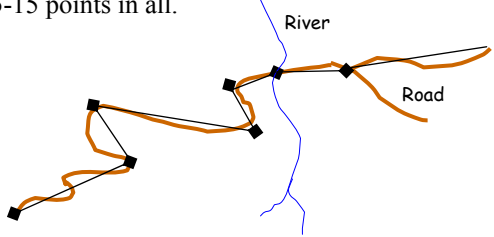
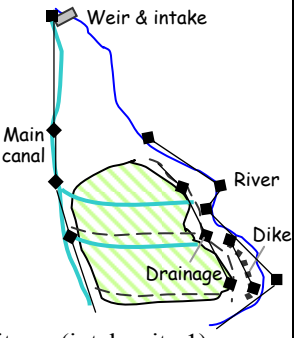
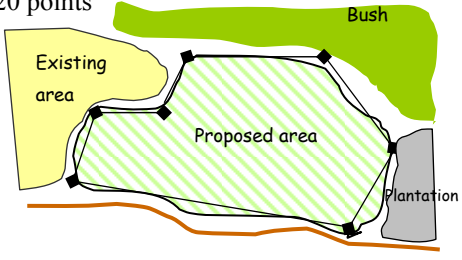
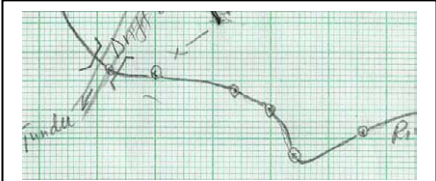
#### 4.1.1 Preparation of Present Situation Map

Large-scale map is essential for planning of small-scale irrigation project because topographically detailed information is necessary.

#### 【Explanation】

As mentioned previously, 1/50,000 topographic map is available for the whole country. However, this scale is too small to study the rehabilitation and improvement of existing canal system for the small-scale irrigation project. Satellite image is one of effective way for planning the existing irrigation system, to supplement the small-scale map. However, it is costly. It is therefore proposed to apply the following manner to prepare the simple and preliminary topographic map, taking into consideration limited budget, limited time and rehabilitation/improvement of existing small-scale irrigation project:

<p>Sub-step 1      Decide the route to be taken on site</p>	<p>Determine the route to be taken on site. The following is a general route. (a) Take the access road from the downstream portion. (b) Go up to the intake site. (c)&amp;(d) Record the boundary of the proposed area and existing irrigation facilities and the river along the area. *Order should be decided considering field conditions.</p> 								
<p>Sub-step 2      Set GPS in UTM system</p>	<p>Before going to the site, the coordinate system of GPS should be confirmed. UTM should be employed for map preparation. In general, the default system is Lat/Lon, so it should be switched to UTM before the survey</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%;">e.g. Lat/Lon:</td> <td style="width: 50%;">UTM:</td> </tr> <tr> <td>5°57.628'S</td> <td>345163E</td> </tr> <tr> <td>37°46.374'E</td> <td>9324327N</td> </tr> <tr> <td>(unit: degree/minutes)</td> <td>(unit: m)</td> </tr> </table>	e.g. Lat/Lon:	UTM:	5°57.628'S	345163E	37°46.374'E	9324327N	(unit: degree/minutes)	(unit: m)
e.g. Lat/Lon:	UTM:								
5°57.628'S	345163E								
37°46.374'E	9324327N								
(unit: degree/minutes)	(unit: m)								

<p>Sub-step 3 Visit the site with a villager and record the coordinates and observations</p>  <p>Measured coordinates can be recorded on the village resource map.</p>  <p>Section papers can be stitched if one sheet is not enough.</p>	<p>Visit the site and ask a villager to accompany the survey team. A villager who attended village resource mapping would be appropriate. Follow the route under the guidance of him/her and record the coordinates of the route. Items and approximate number of points are shown below.</p> <table border="1"> <thead> <tr> <th>Items</th> <th>Measuring point</th> </tr> </thead> <tbody> <tr> <td>Road</td> <td> <ul style="list-style-type: none"> <li>-junction</li> <li>-major turning point</li> <li>-river crossing point (including bridge)</li> <li>*5-15 points in all.</li> </ul>  </td> </tr> <tr> <td>River /Irrigation Facilities</td> <td> <ul style="list-style-type: none"> <li>-major turning points along the proposed area</li> <li>Irrigation facilities</li> <li>-intake site (proposed/existing)</li> <li>-any canal diversion</li> <li>-any junction of drainage</li> <li>-any route of flood dike</li> <li>*5-10 points for each items (intake site:1)</li> </ul>  </td> </tr> <tr> <td>Proposed area</td> <td> <ul style="list-style-type: none"> <li>-corner of the boundary</li> <li>*10-20 points</li> </ul>  </td> </tr> </tbody> </table>	Items	Measuring point	Road	<ul style="list-style-type: none"> <li>-junction</li> <li>-major turning point</li> <li>-river crossing point (including bridge)</li> <li>*5-15 points in all.</li> </ul> 	River /Irrigation Facilities	<ul style="list-style-type: none"> <li>-major turning points along the proposed area</li> <li>Irrigation facilities</li> <li>-intake site (proposed/existing)</li> <li>-any canal diversion</li> <li>-any junction of drainage</li> <li>-any route of flood dike</li> <li>*5-10 points for each items (intake site:1)</li> </ul> 	Proposed area	<ul style="list-style-type: none"> <li>-corner of the boundary</li> <li>*10-20 points</li> </ul> 
Items	Measuring point								
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River /Irrigation Facilities	<ul style="list-style-type: none"> <li>-major turning points along the proposed area</li> <li>Irrigation facilities</li> <li>-intake site (proposed/existing)</li> <li>-any canal diversion</li> <li>-any junction of drainage</li> <li>-any route of flood dike</li> <li>*5-10 points for each items (intake site:1)</li> </ul> 								
Proposed area	<ul style="list-style-type: none"> <li>-corner of the boundary</li> <li>*10-20 points</li> </ul> 								
<p>Sub-step 4 Estimate the area to be cropped within the proposed area</p>	<p>Roughly estimate the cropped area within the proposed area by percentage for the crops grown in rainy and dry season based on the opinion of villagers accompanied.</p>								
<p>Sub-step 5 Plot the coordinates on section paper and write down surrounding items.</p>  <p>Sample of a present situation map</p>	<ol style="list-style-type: none"> <li>Pick out the coordinates including the maximum/minimum value in each axis.</li> <li>Set the grid in order that it may cover the max/min value. 1:10,000 (1cm=100m) is easily applied for schemes of around 50 ha. If one sheet is not enough, add some more sheets and combine them.</li> <li>Plot the coordinates and connect them. Write down related information such as village, forest reserve, percentage of cropped area in the proposed area etc.</li> </ol>								

Note: Indicative time required for preparation of present situation map is 2-3 days/scheme. (1-2 days for site visit and a half day for plotting.)

**【Output】**

Simple and preliminary large-scale map

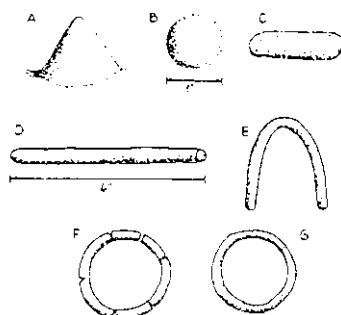
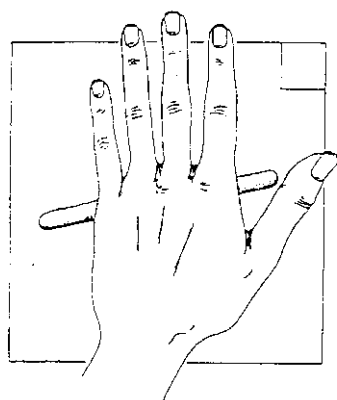
**4.1.2 Soil Characteristics of Farm Land**

Crop selection and irrigation water requirement should be well-fitted to soil characteristics of farm lands, thus it is indispensable to grasp them

**【Explanation】**

To grasp the soil conditions of farm lands is so important for planning the irrigation project. If time and budget are available, soil tests are desirable. But if not, it is proposed to apply the following simple method to know the soil texture within the short time.

- (a) Visit the survey together with commune chief and farmers.**  
Visit the proposed area and choose typical soil in the area with the consultation of the commune chief and farmers.
- (b) Sampling of the soil**  
Gather a soil sample from the soil surface (sample should be about 10 x 10 x 10 cm).
- (c) Knead the soil with water.**  
Add some water to the soil sample so it is moist but not wet. Knead it well. Pebbles should be removed.
- (d) Try to create ring shapes with the soil sample and choose the most advanced shape that can be made.**



A: Soil can only be shaped into a cone. No other shapes hold together.  
 B: Soil can be formed into a circle, but not a rod shape.  
 C: Soil can be formed into a stout rod shape.  
 D: A thin rod (about 6 mm diameter) can be formed but not bent.  
 E: Thin rod can be bent without breaking  
 F: Circle can be formed with some breaks.  
 G: Complete circle with no breaks can be formed.

- (e) Evaluate the soil texture**  
According to the result of (d), circle one of the detailed soil texture types and choose a general soil texture type by conversion of the detailed soil texture type.

Detailed soil texture type	conversion		General soil texture type	
Shape A      Sand	} if you choose Shape B or C	→	Sandy Loam	<input type="checkbox"/>
Shape B      Loamy Sand				<input type="checkbox"/>
Shape C      Silty Loam				<input type="checkbox"/>
Shape D      Loam	} if you choose Shape D or E	→	Clay Loam	<input type="checkbox"/>
Shape E      Clay Loam				<input type="checkbox"/>
Shape F      Light Clay	} if you choose Shape F or G	→	Clay	<input type="checkbox"/>
Shape G      Heavy Clay				<input type="checkbox"/>

- (f) Notable Soil Characteristics**  
If there are any notable soil characteristics such as high rock outcrop, shallow soil depth and symptom of salt accumulation, please note.  
Note:  
\_\_\_\_\_

**【Output】**

Clarified soil texture types of farm land

### 4.1.3 Potential Land for Development

Land resource is one of key factors for determination of irrigation area, so that its potential should be clarified.

**【Explanation】**

Generally, potential land for irrigation development is studied using land classification map. However, this map is not available in Cambodia. Soil map is available, but its scale is so small and not useful for preparation of development plan of small-scale irrigation project. In case of small-scale irrigation project, its area is already cultivated, so that it may be deemed that all command area is regarded as potential area although soil texture investigation is required for clarifying soil texture type.

**【Output】**

Clarified extent of land potential

## 4.2 Water Resources

### 4.2.1 River

#### 4.2.1.1 Discharge Data

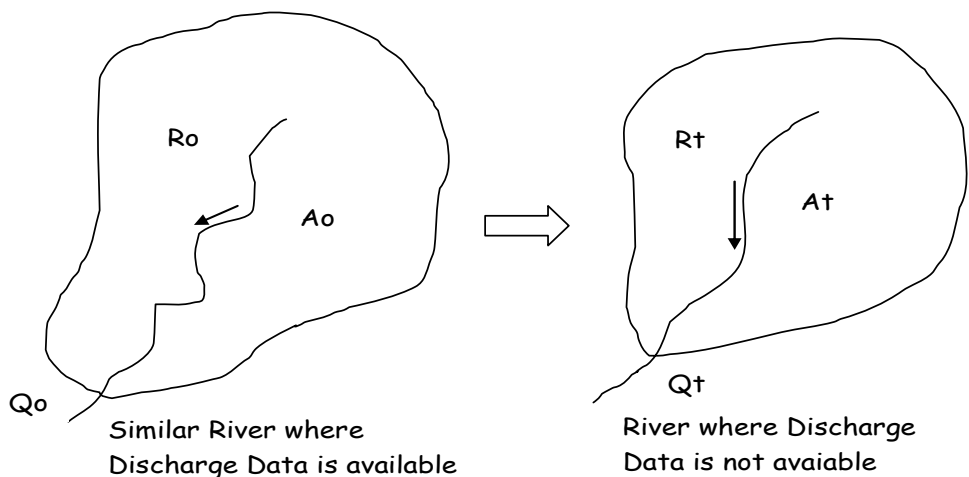
Discharge data is needed for high water and low water analysis on river which are used for design of river structures and water demand estimate, respectively.

**【Explanation】**

Discharge data is fundamental information for planning the irrigation project. In case the discharge data is available, it is used for probability analysis for high water and low water. If no discharge data is available, it can be estimated using the following methods:

(1) Conversion from the similar river where discharge data is available

- (a) Seek for the similar river where discharge data is available**  
In order to prepare the discharge data for the river which is water source for irrigation, seek for the similar river where discharge data is available, and if found, collect discharge data.
- (b) Calculate catchment area and collect rainfall data for both rivers**  
As for the both rivers, calculate catchment area and collect rainfall data to use as parameters for conversion of discharge data.
- (c) Convert the discharge data from the similar river to the river as water source for irrigation**  
Estimate the discharge data for the river as water source for irrigation by converting that for the similar river using the following equation:



$$Q_t = Q_o \times (A_t/A_o) \times (R_t/R_o)$$

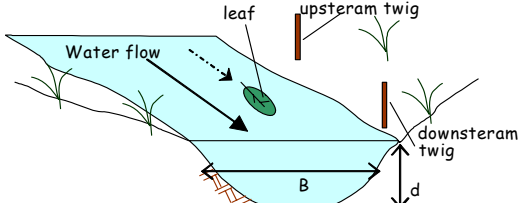
Where,  
 $Q_t$ : Estimated discharge for river as water source for irrigation ( $m^3/sec$ )  
 $Q_o$ : Discharge data observed for the similar river ( $m^3/sec$ )  
 $A_t$ : Catchment area of river as water source for irrigation ( $km^2$ )  
 $A_o$ : Catchment area of similar river ( $km^2$ )  
 $R_t$ : Rainfall data in catchment area of river as water source for irrigation (mm)  
 $R_o$ : Rainfall data in catchment area of similar river (mm)

(2) Discharge measurement

**(a) Determine measurement point together with village chief and villagers**  
 Find a suitable point for measurement together with the farmers. The measurement point should be a) narrow, b) strait, c) steep, and d) upstream of any existing intake, or e) near the intake site.

**(b) Estimate flow area on the day of survey**  
 Measure average river width and water depth on the day of survey.  
 $B =$   m (average river width)       $D_t =$   m (water depth today)  
 $A_t =$    $m^2$  (flow area of today)      ( $A_t = B \times D_t$ )

**(c) Measure water flow velocity of the day**  
 a) Drive two twigs into the ground beside the river at a measured distance between the two twigs. b) Float a leaf on the water from the upstream twig to the downstream twig and measure the travel time. c) Calculate the flow velocity.



$L_s =$   m (length between twigs)       $T_t =$   sec (consumed time)  
 $V_t =$   m/sec ( $V_t = L_s / T_t$ )

**(d) Calculate river discharge on the day of survey**  
 $Q_t =$    $m^3/sec$  (discharge on the day of survey) ( $Q_t = A_t \times V_t$ )

**(e) Nominate river discharge record keeper**  
 One villager who lives near the water source river should be nominated as the river discharge record keeper by the village chief. The keeper should measure the water level and velocity of the measurement point once every month.

Nominated name of the record keeper

4.2.1.2 High Water Analysis

High water analysis of river is necessary for designing river structures including flood dike.

**【Explanation】**

High water, namely flood discharge is so important information for design of river structures. For designing river and relevant structures, the following probable design floods are proposed:

**Table 4.2.1 Probable Design Floods**

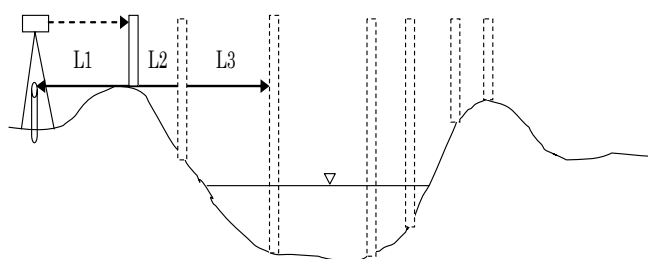
Structure	Probable Design Floods
Reservoir	1/100 years
Reservoir with a catchment area less than 10 $km^2$ or total storage capacity less than 50,000 $m^3$	1/20 years
Headworks and spillway for comparatively larger-scale reservoir	1/100 years
Relevant dike	1/25 years

Source: Planning Guideline for Rehabilitation and Reconstruction of Irrigation Systems, March 2002

In this manual, two methods are proposed for analyzing the high water of river. One is a measuring method at site when flood occurs, and the other is an estimated method using the equations. These methods are explained below:

**(1) Measuring Method at Site**  
**(a) Measurement of Cross Section of River**

A cross section of river at measurement point will be surveyed using leveling instrument and measuring tape as shown in the right figure, aiming to know the relation of cross sectional area and water depth. Based on the results of measurement, a H-A curve will be prepared.



**(b) Hydraulic Calculation by Manning Formula**

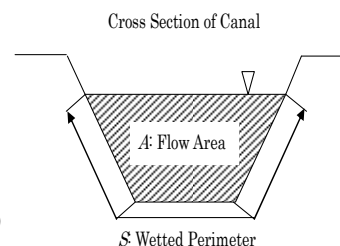
Manning formula expresses mean velocity as a function of the roughness of river channel, the hydraulic radius and the slope of energy gradient.

$$V = (1/n) \times R^{2/3} \times I^{1/2}$$

$$Q = A \times V$$

Where,

- V*: Mean flow velocity (m/sec)
- n*: Roughness coefficient (see below table)
- R*: Hydraulic radius (=A/S)
- A*: Cross sectional flow area (m<sup>2</sup>)
- S*: Wetted perimeter (m)
- I*: Hydraulic energy gradient (in case of open canal,  $I = h/l$ )
- h*: Difference of water level at *l* m (m)
- Q*: Discharge (m<sup>3</sup>/sec)



**Table 4.2.2 Roughness Coefficient**

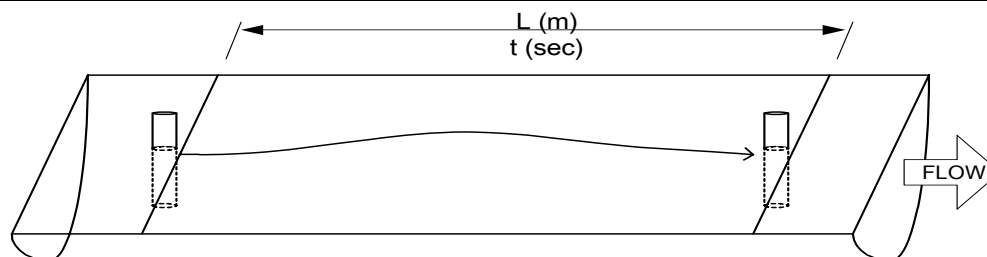
Materials and Conditions of Channel		Roughness Coefficient		
		Min.	Mean	Max.
Small river in plan				
1)	No weed, straight, no deep pools at full water level	0.025	0.030	0.033
2)	No weed, straight, no deep pools at full water level, stone and weeding exist	0.030	0.035	0.040
3)	Shallows	0.033	0.040	0.045
4)	Shallows, some stones and weed	0.035	0.045	0.050
5)	Shallows, some stones, weed and more variation of gradient/ cross section	0.040	0.048	0.055
6)	The same as item 4), but many stones	0.045	0.050	0.060
7)	Weed and deep pools in calm flow reaches	0.050	0.070	0.080
8)	Others	0.075	0.100	0.115
Rivers in hilly area having little plants in the river bed and steep gradient in river basin. Trees and shrub along banks are submerged at flood time.				
1)	Cobble stones and gravels in the riverbed	0.030	0.040	0.050
2)	Large cobble stones	0.040	0.050	0.070
Big river				
1)	Regular cross section with no large cobble stones and no trees & shrubs	0.025		0.060
2)	Irregular coarse cross section	0.035		0.100

Source: Irrigation and Drainage Handbook., Japanese Society of Irrigation, Drainage and Land Reclamation Engineering

If stream flow area can be clearly defined in such a place where a river runs through a valley, the past maximum water level can be distinguished from flood trace, vegetation alteration and interviewing inhabitants along the reaches. The past maximum flood water level pointed out by inhabitants should be measured together with the river cross section by leveling equipment mentioned above.

**(c) Measurement of Flow Velocity at Flood Time as Verification Data**

Pipe floats can be used for rough estimate of flood discharge. A pipe float, with some weight inside to keep it at a certain depth in the flow, is thrown into the flow, and a time to pass a certain distance is measured by a stopwatch. The length of float should be changed according to the depth of flow.



The measured flood discharge is used for seeking for the roughness coefficient for Manning formula.



**(d) Calculation of Flood Discharge**

A flood discharge will be calculated using the observation results of H-A curve and flow velocity at flood time.

**(e) Preparation of Rating Curve (H-V Curve)**

A rating curve is prepared to obtain the discharge from the water level. The results of discharge calculation after verification by discharge measurement are plotted on a graph of which the X-axis is for discharge and the Y-axis for water level. Logarithmic axes may be proposed according to the characteristics of data. The following are to be noted on preparation of rating curve:

- Extrapolation should basically not be done particularly for the high water side to avoid over- or under-estimate.
- Rating curve should be checked a few times in a year and be revised if necessary, because the river cross section might change by floods.

**(2) Calculation Method using Equation**

**(a) IRS method**

The Irrigation Rehabilitation Study in Cambodia, 1994 proposed the following equations to estimate the floods from the catchment area less than 15,000 km<sup>2</sup> and below El.100m:

$$MAF = AREA^{0.9}$$

$$Q_{10} = 1.53 MAF$$

$$Q_{20} = 1.78 MAF$$

$$Q_{50} = 2.00 MAF$$

$$Q_{100} = 2.20 MAF$$

Where, *MAF*: Mean annual flood (m<sup>3</sup>/sec),  
*AREA*: Catchment area (km<sup>2</sup>)  
*Q<sub>n</sub>*: Flood expected to occur no more than once every *n* years on average,  
*n* : Return period (years)

**(b) Rational Formula**

Probable Rainfall

The Rational formula needs probable rainfall. Tomas method and Hazen method presented are facile to estimate probable values by plotting order statistics on probability paper according to the following plotting positions:

*Tomas Method*:  $P = 100m/(N + 1)$

*Hazen Method*:  $P = 100 (2m - 1)/(2N)$

*Return Period*:  $R = 1/P$

Where, *N*: Number of annual maximum daily rainfall,  
*m*: Ranking from the largest annual maximum daily rainfall,  
*P*: Plotting position (%)  
*R*: Return period (year)

The paper shown on the next page is a sample of the probability paper. This logarithmic normal distribution paper is often utilized for estimating the excess probability of rainfall.

Rational Formula

The Rational Formula is employed for small streams with catchment area usually less than 50 km<sup>2</sup>. The peak flood is calculated using the following equation:

$$Q_{max} = 1/3.6 \times f \times r_1 \times A$$

Where, *Q<sub>max</sub>*: Flood peak ( m<sup>3</sup>/sec)  
*f*: Runoff coefficient (see the below table)

Steep Slope topography	0.75 – 0.90
Hilly area and forest	0.50 – 0.75
Plain agricultural land	0.45 – 0.60
Paddy field under irrigation	0.70 – 0.80
Small river in plain	0.45 – 0.75
Mountain river	0.75 – 0.85

*r<sub>1</sub>*: Rainfall intensity (mm/hr)

*A*: Catchment area (km<sup>2</sup>)

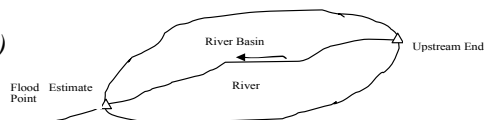
The flood arrival time has to be known to estimate rainfall intensity during the flood arrival time. The rainfall intensity is often estimated by the following equation in case there is no rainfall intensity data.

$$r_1 = R_{24} / 24 \times (24/T)^n$$

where, *r<sub>1</sub>* = *T*-hour maximum rainfall intensity (mm/hr)

*R<sub>24</sub>* = Daily Rainfall (mm)

*n* = 1/2 ~ 2/3, generally 1/2 is applied.



The flood arrival time is calculated by the following Rziha equation.

$$T = 72 \times (h/l)^{0.6} \text{ (km/hr)}$$

Where,  $T$ : Flood arrival time

$l$ : Length of stream from flood-estimate point to upstream end of river basin (km)

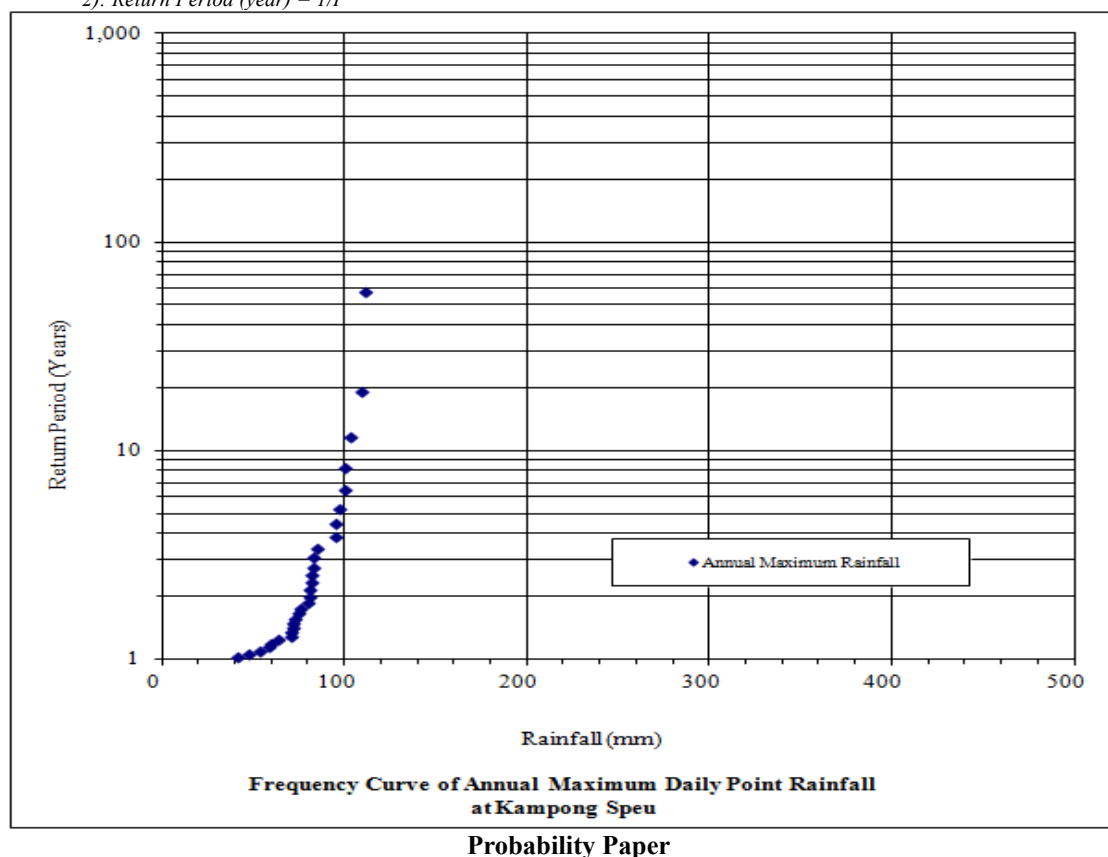
$h$ : Elevation difference between  $l$ -length (km)

If this equation gives a flood arrival time of less than one hour, one hour is employed as flood arrival time.

**Annual Maximum Daily Point Rainfall at Kampong Speu**

No.	Year	Annual Max. Daily Rainfall		Ranking	Plotting Position	Excess Probability <sup>*1</sup>	Return Period <sup>*2</sup> (year)
		Date	Daily Rainfall (mm/day)				
1	1983	24-Oct-1983	81.7	13	43.1%	56.90%	2.32
2	1984	28-Sep-1984	100.1	5	15.5%	84.48%	6.44
3	1985	18-Apr-1985	95.0	7	22.4%	77.59%	4.46
4	1986	17-Nov-1986	72.3	21	70.7%	29.31%	1.41
5	1987	15-Sep-1987	60.2	25	84.5%	15.52%	1.18
6	1988	23-Oct-1988	53.4	27	91.4%	8.62%	1.09
7	1989	04-Jul-1989	70.5	23	77.6%	22.41%	1.29
8	1990	10-Nov-1990	71.0	22	74.1%	25.86%	1.35
9	1991	22-Apr-1991	48.0	28	94.8%	5.17%	1.05
10	1992	10-Oct-1992	97.5	6	19.0%	81.03%	5.27
11	1993	27-Sep-1993	41.5	29	98.3%	1.72%	1.02
12	1994	12-Sep-1994	80.3	16	53.4%	46.55%	1.87
13	1995	10-May-1995	72.6	19	63.8%	36.21%	1.57
14	1996	25-Oct-1996	83.5	10	32.8%	67.24%	3.05
15	1997	10-Jun-1997	59.0	26	87.9%	12.07%	1.14
16	1998	15-Oct-1998	81.0	15	50.0%	50.00%	2.00
17	1999	26-Jul-1999	64.0	24	81.0%	18.97%	1.23
18	2000	27-Sep-2000	109.5	2	5.2%	94.83%	19.33
19	2001	13-Jan-2001	83.0	11	36.2%	63.79%	2.76
20	2002	23-Aug-2002	111.5	1	1.7%	98.28%	58.00
21	2003	02-May-2003	76.0	17	56.9%	43.10%	1.76
22	2004	11-Sep-2004	94.9	8	25.9%	74.14%	3.87
23	2005	23-Oct-2005	81.5	14	46.6%	53.45%	2.15
24	2006	07-Apr-2006	72.5	20	67.2%	32.76%	1.49
25	2007	12-Nov-2007	82.1	12	39.7%	60.34%	2.52
26	2008	17-Oct-2008	100.4	4	12.1%	87.93%	8.29
27	2009	03-Oct-2009	75.4	18	60.3%	39.66%	1.66
28	2010	25-Mar-2010	103.1	3	8.6%	91.38%	11.60
29	2011	05-Nov-2011	85.4	9	29.3%	70.69%	3.41

Note \*1): Hazen Method:  $P = 100 * \{ (2m-1) / (2N) \}$ , Excess Probability:  $E=100 - P$   
 where,  $P$ : plotting position (or probability) (%),  $E$ : excess probability (%),  $m$  = rank,  $N$  = number of data,  
 \*2): Return Period (year) =  $1/P$



### 4.2.1.3 Low Water Analysis

The low water analysis on river is used for determination of irrigable area through water balance study.

#### 【Explanation】

The low water analysis on river is carried out to clarify the available water for irrigation. Generally, 1/5 years non-excess probability discharge, say 80 % dependable river discharge, is estimated and used for irrigation development plan.

- (a) River where discharge data is available**  
 In case the discharge data is available, it is given the non-excess probability analysis using the following methods.  
*Weibul Method:  $F(xi) = 100 i/(N+1)$*   
*Hazen Method:  $F(xi) = 100(2i-1)/2N$*   
 Where,  $F(xi)$ : Non-excess probability at "xi"(%)  
 $xi$ : Annual minimum discharge at "i"  
 $i$ : Rank number of "xi" from annual minimum discharge data  
 $N$ : Number of annual discharge data,  
 Using the above-mentioned equation,  $F(xi)$  should be calculated for each annual minimum discharge firstly. And then the annual minimum discharge at approximately 20% of  $F(xi)$  can be regarded as 1/5 years non-excess probable discharge.  
 The more simple way in the non-excess probability analysis is as follows.  
 In case there are 20 years data, the 4<sup>th</sup> lowest data is almost equivalent to the 1/5 years non-excess probability ( 80% dependability for irrigation).
- (b) River where no discharge data is available**  
 In this case, discharge data for river where no discharge data is available, is prepared by converting that for the similar river where discharge data is available as mentioned in Sub-clause 4.2.1.1. The non-excess probability analysis is the same as Item (a) of this Sub-clause.

#### 【Output】

Quantification of available water for irrigation

### 4.2.2 Reservoir

#### 4.2.2.1 Rainfall Charge

Rainfall should be considered as one of charges to reservoir water.

#### 【Explanation】

Rainfall serves as charge to reservoir. Rainfall data collected from the observation station close the reservoir should be used for calculating charge volume to reservoir. If such rainfall data is not available, rainfall data shown in Table 3.4.3 might be used for the preliminary study purpose.

#### 【Output】

Charge volume by rainfall to reservoir

#### 4.2.2.2 Inflow Discharge

Inflow discharge to reservoir should be clarified to know possible water volume in reservoir.

#### 【Explanation】

In general, a reservoir is constructed at depreciated area where river or small stream flows, and stores water by constructing dike to enclose the area. Thus, major inflow to reservoir is a river or small stream. Since discharge data for these river and stream is not available for most cases, it should be produced as mentioned in Sub-clause 4.2.1.1.

#### 【Output】

Clarification of estimated inflow volume to reservoir

**4.2.2.3 Water Losses from Reservoir**

Water losses from reservoir should be considered to estimate net water volume in reservoir.

**【Explanation】**

There are two water losses from reservoir. One is percolation and the other is evaporation. In reservoir for small-scale irrigation project, it is proposed to apply the percolation rate of 0.2 mm/day. On the other hand, evaporation is used from observation data at Pochentong Station as shown in Table 3.4.2.

**【Output】**

Net storage volume in reservoir

**4.2.2.4 Capacity of Reservoir**

Capacity of reservoir should be clarified to grasp the effective storage capacity for irrigation development.

**【Explanation】**

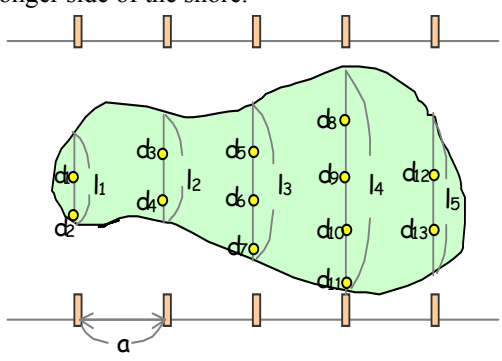
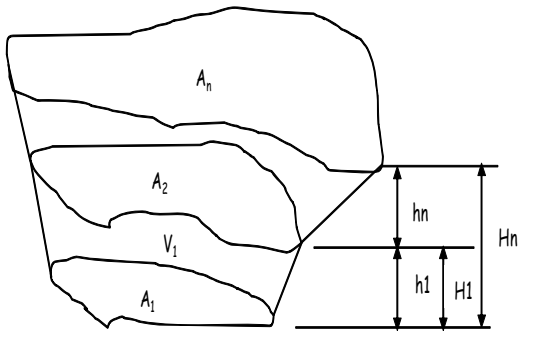
In Cambodia, many small-scale irrigation projects are equipped with a reservoir as water source. However, its capacity is hardly apparent because large-scale contour map is not available. Thus, it is necessary to prepare a contour map and then a H-V curve, to grasp the reservoir capacity and to make effective use of reservoir water. A simple contour map for reservoir area and a H-V curve are prepared in the following manner, and also it is necessary to clarify the effective storage capacity:

**(a) Preparation of Simple Contour Map**

- 1) Preparatory arrangement**  
Visit the site and drive twigs at regular intervals along the longer side of the shore.
- 2) Measure surface width and depth**  
In case of small reservoir, stretch a tape across the shore at the place pointed by the twigs. Then, a) measure the surface width (width of  $l_1$  to  $l_5$  in figure in the right), while b) measure the water depth along the tape at regular intervals (depth of  $d_1$  to  $d_{13}$  in figure in the right). In case of comparatively large reservoir, the elevation and location are surveyed at  $d_1$  to  $d_{13}$  by leveling instrument and portable GPS, respectively. In this case, basic point for elevation is set at the bed of intake gate.
- 3) Calculate capacity of reservoir**

**Small reservoir**  
 $A =$    $m^2$  (average surface area)       $D =$    $m$  (average depth)  
 $V =$    $m^3$  (capacity of small reservoir) ( $V = A \times D$ )

**Comparatively large reservoir**  
 Area at each contour line is measured by planimeter. If planimeter is not available, a section paper is used for measuring area at each contour line. After measuring area at each contour line, volume is calculated using the following equation:  
 $V_1 = (A_1 + A_2)/2 \times h_1$   
 $V_n = (A_{n-1} + A_n)/2 \times h_n$   
 $V = V_1 + \dots + V_n$   
 Where,  $A_1 \dots A_n$ : Area at each contour ( $m^2$ )  
 $h_1$ : Contour interval ( $m$ )  
 $V_1 \dots V_n$ : Volume between two contour lines ( $m^3$ )

$V$ : Total volume ( $m^3$ )

When there is water in the reservoir, contour line in water would be known by measuring water depth as mentioned in item 2) of (2).

**(b) Preparation of H-V Curve**

In case of small reservoir, it could be assumed that a relation between H and V varies in linear pattern. On the other hand, in the case of comparatively large reservoir, a relation between H and V is shown by plotting H as X-axis and V as Y-axis based on the results of calculation of reservoir capacity mentioned above.

**(c) Determination of Effective Storage Capacity**

All water in reservoir could not be used. Storage water below bed level of intake gate could not be utilized as available water source, so-called dead water. Therefore, storage capacity above bed level of intake gate only is regarded as effective storage capacity for development.

**【Output】**

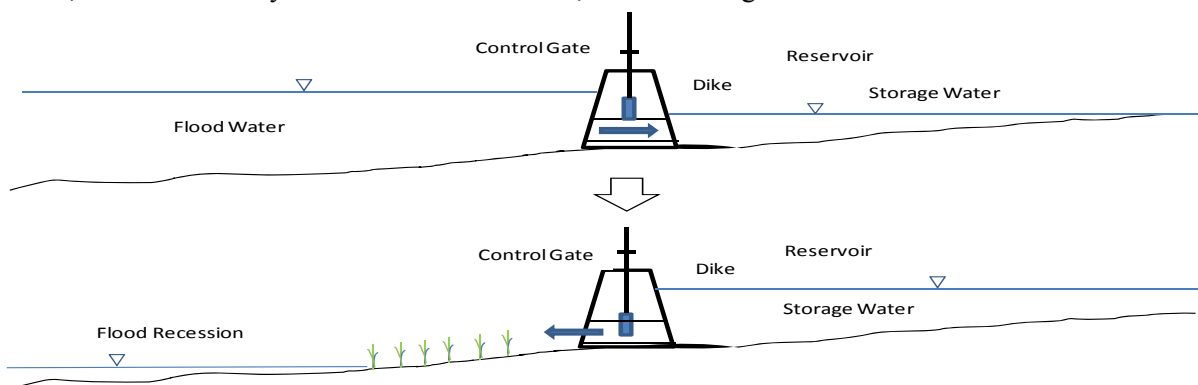
Available storage water for irrigation

**4.2.3 Recession Water**

Irrigable area by recession water should be clarified through flood water level analysis and storage capacity.

**【Explanation】**

Irrigation by recession water is a peculiar irrigation method in Cambodia. In irrigation by recession water, matters to clarify are the flood water level, and the storage volume.



There are two factors to know the storage volume. One is flood water level and the other is reservoir capacity.

**(a) Flood Water Level**

Water supply for irrigation project is planned based on the 80 % dependability. Thus, level of flood water from river is to correspond to that of 20 % non-excess probable river discharge. If flood water level from river is available, that of 20 % non-excess probable flood water level is determined by the fourth lowest one if 20 years data is available (the second lowest if 10 years data is available).

**(b) Reservoir Capacity**

Reservoir capacity is determined in the same manner as mentioned in Sub-clause 4.2.2.4.

**【Output】**

Available storage water for irrigation

**4.2.4 Water Harvesting**

Water harvesting is one of water sources for small-scale irrigation project in Cambodia.

**【Explanation】**

Water harvesting in Cambodia means that rain water is stored by small earthen dike on small stream or low land area in the rainy season, but no water is seen in the dry season at all. The storage volume is

generally small and uncertain because of its small catchment area. According to information farmers concerned, irrigation is supplementally made only two to three times throughout one crop season in the rainy season based on the available water in reservoir. Such water harvesting sub-project might be included in the category of small-scale irrigation project.

Water harvesting system has some difficulties in discussion on execution of preliminary feasibility study at the same level with ordinary irrigation project according to the experiences in the verification study conducted for two years in the Study on Comprehensive Agricultural Development Prek Thnot River Basin:

- Difficulty in prediction of water to store due to small catchment area and different rain in places
- Difficulty in forecast of incremental benefit due to less times of irrigation
- Difficulty in keeping the project sustainability due to unreliable and unstable water source

Taking into due consideration the above, it is deemed that water harvesting should be discussed apart from the ordinary irrigation project. Thus, water harvesting system is not taken up in this manual.

**【Output】**

Need of different approach to water harvesting system from technical and economical viewpoints

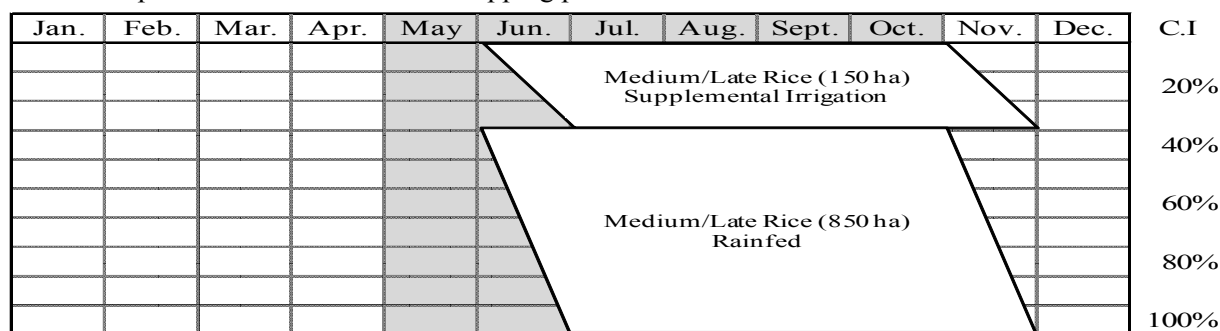
**4.3 Water Demand**

**4.3.1 Present Cropping Pattern**

Present cropping pattern in the project area should be clarified to estimate the incremental benefit by crop cultivation between "without project" and "with project".

**【Explanation】**

The present cropping pattern should be examined through site visit, interview with farmers and discussion with PDA, to clarify the names of crops planted, planted area of each crop and growth season and cultivation events, and finally to estimate the benefits by crop cultivation under "without project condition". It should be noted that the total area should coincide with the land use. In particular, when interviewing with farmers on cropping pattern, questions should be made for why current crops are selected. Examined cropping pattern should be illustrated as follows:



*C.I: Cropping Intensity*

**Figure 4.3.1 Sample of Present Cropping Pattern**

**【Output】**

Clarified present cropping pattern

**4.3.2 Beneficiary Farmers' Intension**

The beneficiary farmers' intension should be reflected upon selection of crops in order to prepare the practical cropping pattern.

## 【Explanation】

Farmers are generally highly conservative for introduction of new crops and crop calendar because of their survival. Taking into consideration this situation, it is essential to preferentially confirm the farmers' intension on kind and calendar of crops to cultivate. In the small-scale irrigation project, target crop to be cultivated is paddy. The following table shows the proposed variety of rice by MAFF.

**Table 4.3.1 Proposed Variety of Rice by MAFF**

Variety	Year Released	Adaptability	Photoperiod Sensitivity	Growth Period (days) or Flowering Date	Yield Level (ton/ha)	Resistance to BPH	Aroma
Early Maturity Variety (less than 120 days)							
IR66	1990	IRR/RFL	None	105~115 days	4.0~6.5	MS	None
Sen Pidao	2002	IRR/RFL	None	105~115 days	4.0~6.5	MS	Aromatic
Chul' sa	1999	IRR/RFL	None	95~110 days	4.0~6.0	MR	None
Medium Maturity Variety (longer than 120 days and less than 150 days)							
Riang Chey	1999	IRR/RFL	Sensitive	Nov.5~11	3.5~5.5	MS	None
Pkha Romeat	2007	RFL	Sensitive	Oct.15~25	3.5~5.8	S	Scented/ Soft Texture
Pkha Romdeng	2007	RFL	Sensitive	Oct.10~25	3.5~5.8	S	
Pkha Chansensor	2009	RFL	Sensitive	Oct.25~Nov.2	3.5~5.0	Unknown	
Pkha Rumduoul	1999	IRR/RFL	Sensitive	Oct.30~Nov.7	3.5~5.5	S	Aromatic
Late Maturity Variety (longer than 150 days)							
CAR 4	1995	RFL	Highly Sensitive	Nov.8~15	2.5~5.0	MS	None
CAR 6	1995	RFL	Highly Sensitive	Nov.9~16	2.5~5.0	S	None

Source: CARDI

Note: IRR=Irrigated Field, RFL=Rainfed lowland, MS=Highly Susceptible, S=Susceptible, MS=Moderately Susceptible, MR=Moderately Resistant, BPH=Brown Plant Hopper

## 【Output】

Type and calendar of crops reflecting farmers' intension

### 4.3.3 Study on Proposed Cropping Pattern

The proposed cropping pattern should be prepared in consideration of national policies, the present cropping pattern, farmers' intension, natural condition and socio-economic condition.

## 【Explanation】

In the study on the proposed cropping pattern, there are two activities; selection of target crops and preparation of proposed cropping pattern. These activities are explained as follows:

### (a) Selection of Target Crops

Generally, target crops to be irrigated are selected by comprehensive examination of the following items:

- National and provincial policies
- Natural condition: climate and soil
- Availability of water source for irrigation
- Social and economic conditions such as profitability and marketability of crops, availability of labor force, and draft animal and inputs required
- Situation of beneficiary farmers such as awareness and willingness for crop, farming technique level, and financial capacity for investment for the required inputs,
- Possibility of introducing support programs such as extension services, input supply, credit and marketing

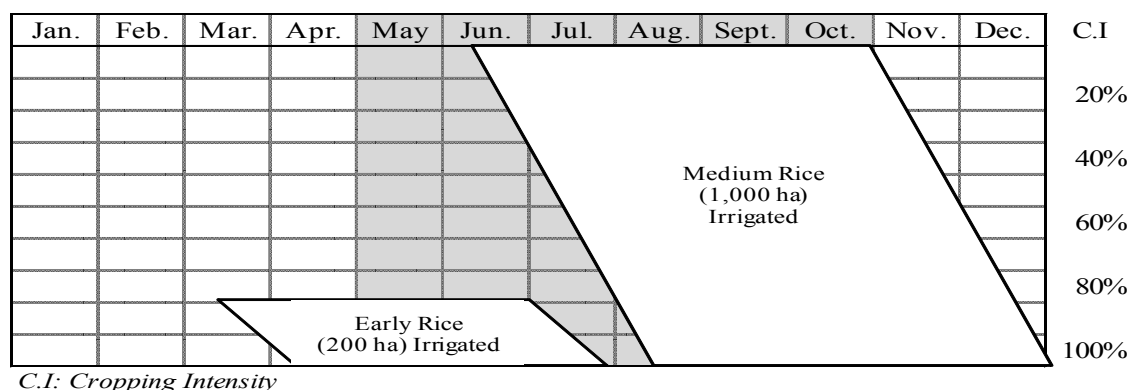
In the small-scale irrigation projects, however, main crop is rice as mentioned above. Therefore, the proposed cropping is prepared centering on rice. As for introduction of upland crops, careful consideration should be given to market demand, agricultural potential and farming practices currently undertaken. The target crops are to be selected from the range of candidate crops that are planted in and around the sub-project area and desired by the beneficiary farmers.

**(b) Preparation of Proposed Cropping Pattern**

The cropping pattern shows information of cropping plan in a simple format with (i) name of crop, (ii) planted area, (iii) growing season and period of crop, (iv) start and end of planting and harvesting, and (v) period of land preparation/nursery. The cropping pattern should be examined in consideration of climatic conditions during proposed harvesting and/or drying period as well as the availability of labor force, draft animals and agricultural machinery. In preparation of proposed cropping pattern, the first priority should be given to full cultivation of rainy season rice although the proposed cropping pattern is finalized through water balance study mentioned later. Land preparation period is determined mainly by availability of labor forces and farm machinery. However, in general the following land preparation time could be used for small-scale irrigation projects as a rule of thumb:

- More than 500 ha: 2 months
- Less than or equal to 500 ha: 1 month

The sample cropping pattern in the small-scale irrigation project is shown in Figure 4.3.2.



**Figure 4.3.2 Sample of Proposed Cropping Pattern**

**【Output】**

Appropriate cropping pattern well-fitted to national policies, farmers' intension, natural condition and socio-economic condition

**4.3.4 Unit Crop Water Requirement**

Unit crop water requirement (consumptive use of water by crop) should be known for estimating the irrigation water requirement.

**【Explanation】**

The unit crop water requirement, say consumption use of water by crop, is estimated by multiplying evapo-transpiration by crop coefficient.

*Unit crop water requirement (Consumption use of water: CU) = Kc x ETo*

Where, Kc: Crop coefficient

Eto: Evapo-transpiration

According to the FAO Irrigation and Drainage Paper No.24, the crop coefficients for major crops are as follows:

**Table 4.3.2 Crop Coefficients for Major Crops**

Crop	1st	2nd	3rd	4th	5th	6th	7th	8th
Rice in dry season	1.10	1.10	1.10	1.25	1.25	1.00		
Early rice in rainy season	1.10	1.10	1.15	1.05	1.05	0.95		
Medium rice in rainy season	1.10	1.10	1.10	1.10	1.05	1.05	1.05	0.95
Upland crops	0.50	0.55	0.70	0.80	0.90	0.60		

Source: FAO Irrigation and Drainage Paper No.24

In addition, the FAO Irrigation and Drainage Paper No.24, shows the following equation of modified Penman Method to estimate evapo-transpiration:



$$Eto = c \times [W \times Rn + (1-W) \times f(u) \times (ea - ed)]$$

Where, Eto: Evapo-transpiration (mm)

c: Adjustment factor to compensate for the effect of day and night weather conditions

W: Temperature-related weighted factor

Rn: Net radiation in equivalent evaporation (mm/day)

f(u): Wind-related function

ea-ed: Difference between saturation vapor pressure at mean air temperature and mean actual vapor pressure of air (mbar)

Based on crop coefficient and evapo-transpiration explained above, the sample calculation of ETo for is shown below:

**Table 4.3.3 Sample Calculation of ETo**

Item	Jan.	Feb.	Mar.	Apr.	May	Jun.	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Remarks
(a) Temperature (°C)	28.4	29.6	29.8	30.8	30.8	30.8	29.0	29.0	29.3	28.2	28.5	26.4	Input
(b) RHmean (%)	68.0	69.0	74.0	76.0	77.0	77.0	78.0	80.0	84.0	83.0	79.0	73.0	Input
(c) ea (mbr)	38.8	41.5	42.0	44.4	44.4	44.4	40.1	40.1	40.8	38.3	39.0	34.5	Table 4.3.4
(d) ed (mbr)	26.4	28.6	31.1	33.7	34.2	34.2	31.3	32.1	34.3	31.8	30.8	25.2	(d)=(c)x(b)/100
(e) (ea-ed) (mbr)	12.4	12.9	10.9	10.7	10.2	10.2	8.8	8.0	6.5	6.5	8.2	9.3	(e)=(d)-(c)
(f) Wind Vw (m/s)	3.2	3.8	4.1	3.9	4.3	4.9	4.3	5.4	4.4	3.1	3.9	3.9	Input, 12m
Wind Vw (m/s)	2.2	2.6	2.8	2.7	2.9	3.3	2.9	3.7	3.0	2.1	2.7	2.7	At 2 m above
(g) U (km/day)	188.0	223.3	240.9	229.1	252.6	287.9	252.6	317.3	258.5	182.1	229.1	229.1	Input
(h) f (u)	0.78	0.87	0.92	0.89	0.95	1.05	0.95	1.13	0.97	0.76	0.89	0.89	0.27(1+U/100)
(i) (1-W)	0.23	0.22	0.22	0.21	0.21	0.21	0.23	0.23	0.23	0.23	0.23	0.25	Table 4.3.5
(j) Sunshine, n (hr)	8.5	8.7	7.4	7.4	6.9	7.0	5.4	5.1	5.5	6.0	7.9	8.6	Input
(k) W of radiation	0.77	0.78	0.78	0.79	0.79	0.79	0.78	0.78	0.78	0.77	0.78	0.75	Table 4.3.6
(l) Ra (mm/day)	12.90	14.00	15.20	15.60	15.60	15.40	15.40	15.60	15.30	14.50	13.40	12.60	Table 4.3.7
(m) N (hr)	11.50	11.80	12.00	12.30	12.60	12.80	12.70	12.40	12.10	11.80	11.60	11.40	Table 4.3.8
(n) n/N	0.74	0.74	0.62	0.60	0.55	0.55	0.43	0.41	0.45	0.51	0.68	0.75	(n)=(i)/(m)
(o) Rs (mm/day)	8.0	8.7	8.5	8.6	8.2	8.1	7.1	7.1	7.3	7.3	7.9	7.9	(0.25+0.5(n))(l)
(p) Rns (mm/day)	6.0	6.5	6.4	6.4	6.1	6.0	5.3	5.3	5.5	5.5	5.9	5.9	0.75 x (o)
(q) f (Tmean)	16.4	16.6	16.7	17.0	17.0	17.0	16.5	16.5	16.5	16.3	16.4	16.0	Table 4.3.9
(r) f (ed)	0.11	0.10	0.09	0.08	0.08	0.08	0.09	0.09	0.08	0.09	0.10	0.12	0.34-0.044 (d) <sup>0.5</sup>
(s) f (n/N)	0.77	0.76	0.66	0.64	0.59	0.59	0.48	0.47	0.51	0.56	0.71	0.78	0.1+0.9 (n)
(t) Rnl (mm/day)	1.4	1.3	1.0	0.9	0.8	0.8	0.7	0.7	0.87	0.8	1.1	1.5	(q) x (r) x (s)
(u) Rn (mm/day)	4.6	5.2	5.3	5.5	5.3	5.2	4.6	4.6	4.8	4.6	4.8	4.4	(p) - (t)
(v) c	1.02	1.05	1.04	1.05	1.03	1.03	0.99	0.99	0.98	0.98	1.02	1.02	Table 4.3.10
(w) Eto (mm/day)	5.8	6.8	6.6	6.7	6.4	6.6	5.5	5.6	5.1	4.6	5.5	5.5	(v) ((k)(u)+(i)(h)(e))

Source: Planning Guideline for Rehabilitation and Reconstruction of Irrigation Systems, March 2002

**Table 4.3.4 Value of "ea"** (unit:mbr)

Tmean	ea	Tmean	ea
20.0	23.4	28.0	37.8
20.5	24.2	28.5	39.0
21.0	24.9	29.0	40.1
21.5	25.7	29.5	41.3
22.0	26.4	30.0	42.4
22.5	27.3	30.5	43.7
23.0	28.1	31.0	44.9
23.5	29.0	31.5	46.3
24.0	29.8	32.0	47.6
24.5	30.8	32.5	49.0
25.0	31.7	33.0	50.3
25.5	32.7	33.5	51.8
26.0	33.6	34.0	53.2
26.5	34.7	34.5	54.7
27.0	35.7	35.0	56.2
27.5	36.6	35.5	57.8

Source: FAO Irrigation and Drainage Paper 24

**Table 4.3.5 Value of "(1-W)"**

Tmean	(1-W)
20	0.32
21	0.31
22	0.29
23	0.28
24	0.27
25	0.26
26	0.25
27	0.24
28	0.23
29	0.23
30	0.22
31	0.21
32	0.20
33	0.20
34	0.19
35	0.18

Source: FAO Irrigation and Drainage Paper 24

**Table 4.3.6 Value of "W"**

Tmean	W
20	0.69
21	0.70
22	0.71
23	0.72
24	0.73
25	0.74
26	0.75
27	0.76
28	0.77
29	0.78
30	0.78
31	0.79
32	0.80
33	0.81
34	0.82
35	0.83

**Table 4.3.7 Value of "Ra"** (unit: mm)

North Latitude	Jan.	Feb.	Mar.	Apr.	May	Jun.	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
10	13.2	14.2	15.3	15.7	15.5	15.3	15.3	15.5	15.3	14.7	13.6	12.9
11	13.0	14.1	15.2	15.7	15.6	15.4	15.4	15.6	15.3	14.6	13.5	12.7
12	12.8	13.9	15.1	15.7	15.7	15.5	15.5	15.6	15.2	14.4	13.3	12.5
13	12.6	13.8	15.0	15.7	15.8	15.6	15.6	15.7	15.2	14.2	13.1	12.3
14	12.4	13.6	14.9	15.7	15.8	15.7	15.7	15.7	15.1	14.1	12.8	12.0

Source: FAO Irrigation and Drainage Paper 24

**Table 4.3.8 Value of "N"** (unit: hr)

North Latitude	Jan.	Feb.	Mar.	Apr.	May	Jun.	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
10	11.6	11.8	12.0	12.3	12.6	12.7	12.6	12.4	12.1	11.8	11.6	11.5
11	11.5	11.8	12.0	12.3	12.6	12.8	12.7	12.4	12.1	11.8	11.6	11.4
12	11.5	11.7	12.0	12.4	12.7	12.8	12.7	12.5	12.1	11.8	11.5	11.4
13	11.4	11.7	12.0	12.4	12.7	12.9	12.8	12.5	12.2	11.8	11.5	11.3
14	11.4	11.6	12.0	12.5	12.8	12.9	12.8	12.6	12.2	11.8	11.4	11.3
15	11.3	11.6	12.0	12.5	12.8	13.0	12.9	12.6	12.2	11.8	11.4	11.2

Source: FAO Irrigation and Drainage Paper 24

**Table 4.3.9 Value of "f (Tmean)"**

Tmean	f (Tmean)	Tmean	f (Tmean)	Tmean	f (Tmean)	Tmean	f (Tmean)
20.0	14.6	24.0	15.4	28.0	16.3	32.0	17.2
20.5	14.7	24.5	15.5	28.5	16.4	32.5	17.3
21.0	14.8	25.0	15.7	29.0	16.5	33.0	17.5
21.5	14.9	25.5	15.8	29.5	16.6	33.5	17.6
22.0	15.0	26.0	15.9	30.0	16.7	34.0	17.7
22.5	15.1	26.5	16.0	30.5	16.8	34.5	17.8
23.0	15.2	27.0	16.1	31.0	17.0	35.0	17.9
23.5	15.3	27.5	16.2	31.5	17.1		

Source: FAO Irrigation and Drainage Paper 24

**Table 4.3.10 Value of "c"**

Rs (mm/day)	Rhmax.=60%				Rhmax=90%			
	3	6	9	12	3	6	9	12
<b>Uday (m/sec)</b>	<b>Uday/Night = 2.0</b>							
0	0.96	0.98	1.05	1.05	1.02	1.06	1.10	1.10
3	0.83	0.91	0.99	1.05	0.89	0.98	1.10	1.14
6	0.70	0.80	0.94	1.02	0.79	0.92	1.05	1.12
9	0.59	0.70	0.84	0.95	0.71	0.81	0.96	1.06
<b>Uday (m/sec)</b>	<b>Uday/Night = 1.0</b>							
0	0.96	0.98	1.05	1.05	1.02	1.06	1.10	1.10
3	0.78	0.86	0.94	0.99	0.85	0.92	1.01	1.05
6	0.62	0.70	0.84	0.93	0.72	0.82	0.95	1.00
9	0.50	0.60	0.75	0.87	0.62	0.72	0.87	0.96

Source: FAO Irrigation and Drainage Paper 24

In addition, the following table shows the estimated ETo for respective provinces, which might be used for calculation of unit crop water requirement (consumptive use of water by crops) if time does not allow calculation of ETo:

**Table 4.3.11 Calculation of Evapo-transpiration** (unit: mm/day)

Province	Jan.	Feb.	Mar.	Apr.	May	Jun.	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.
Banteay Meanchey	5.5	6.7	7.6	7.8	6.8	7.1	5.6	6.7	4.9	4.6	5.7	5.4
Battambang	5.5	6.7	7.7	7.8	6.7	7.0	5.6	6.7	4.9	4.6	5.8	5.5
Kampong Cham	5.5	6.3	7.4	7.2	7.3	6.1	6.2	6.5	5.3	5.7	6.3	6.0
Kompong Channang	5.7	6.7	7.8	7.8	7.0	7.0	5.5	6.7	5.0	4.6	5.8	5.5
Kampong Speu	5.5	6.3	7.4	7.2	7.3	6.1	6.2	6.5	5.3	5.8	6.4	6.0
Kampong Thom	5.6	6.8	7.7	7.9	7.9	7.4	6.5	6.9	5.1	4.6	5.5	5.5
Kampot	5.5	6.7	7.1	7.2	6.4	6.2	5.5	5.9	5.5	4.6	5.1	5.8
Kandal	5.4	6.6	7.0	7.1	6.5	6.2	5.5	5.9	5.5	4.5	5.1	5.7
Koh Kong	5.6	6.7	7.7	7.8	6.7	7.0	5.5	6.7	5.0	4.6	5.8	5.6

Kraite	5.6	6.8	7.7	7.9	7.9	7.4	6.5	6.8	5.1	4.7	5.6	5.5
PhnomPenh	5.8	6.8	6.6	6.7	6.4	6.6	5.5	5.6	5.1	4.6	5.5	5.5
Preah Vihear	5.8	6.9	8.0	8.0	7.4	6.6	5.7	6.1	4.9	4.3	5.4	4.7
Prey Veng	5.8	6.8	7.8	7.9	6.7	7.0	5.5	6.7	5.0	4.7	5.9	5.6
Pursat	5.6	6.3	7.6	6.9	6.5	7.1	6.0	6.6	5.2	5.5	5.8	5.8
Ratanak Kiri	5.4	6.3	7.4	7.2	7.3	6.2	6.3	6.5	5.3	5.6	6.2	5.8
Siemreap	5.5	6.2	7.5	6.9	6.5	7.1	6.0	6.7	5.2	5.4	5.8	5.7
Sihanoukville	5.7	6.4	7.7	7.0	6.4	7.0	6.1	6.7	5.3	5.7	6.0	6.0
Stung Treng	5.1	5.5	6.4	7.1	6.0	5.9	6.3	5.4	5.3	5.6	5.4	5.1
Svay Rieng	5.6	6.4	7.5	7.3	7.3	6.0	6.2	6.5	5.4	5.9	6.4	6.1
Takeo	5.6	6.4	7.5	7.3	7.3	6.0	6.2	6.5	5.4	5.9	6.4	6.1
Mondulikiri	5.6	6.2	7.5	6.9	6.5	7.1	6.0	6.7	5.2	5.4	5.8	5.8
Pailin	5.7	6.8	7.7	7.9	8.0	7.4	6.5	6.9	5.1	4.7	5.6	5.5

Source: JICA Survey Team

## 【Output】

Clarification of unit crop water requirement

### 4.3.5 Effective Rainfall

Effective rainfall should be considered since all rainfall is not useful for growing crops.

## 【Explanation】

If daily rainfall data is available, effective rainfall is calculated in the following manner:

- When daily rainfall is less than 5 mm, effective rainfall (ER) is 0.0 mm.
- When daily rainfall ranges from 5 mm to 80 mm, effective rainfall (ER) is equivalent to 80 % of daily rainfall.
- When daily rainfall is more than 80 mm, effective rainfall (ER) is regarded as 64 mm.

If daily rainfall is not available but monthly rainfall is available, monthly effective rainfall is assumed to be 75 % of monthly rainfall. The estimated monthly effective rainfall at 20% non-excess probable year for respective provinces is tabulated below:

**Table 4.3.12 Monthly Effective Rainfall at 20% Non-excess Probability Year** (Unit:mm)

Province	Jan.	Feb.	Mar.	Apr.	May	Jun.	Jul.	Aug.	Sept.	Oct.	Nov.	Dec.	Probable Year*
Banteay Meanchey	0	0	11	59	119	74	112	107	158	112	0	0	2003
Battambang	0	0	22	148	59	129	83	149	99	105	0	1	2003
Kampong Cham	1	0	1	79	77	187	97	143	158	122	24	0	2004
Kompong Chhnang	0	0	23	32	145	143	144	89	156	92	16	0	2003
Kampong Speu	2	14	9	55	109	95	109	25	112	154	29	0	2004
Kampong Thom	0	0	11	26	105	132	224	74	182	89	107	5	2005
Kampot	0	0	0	117	64	92	197	335	165	243	53	11	2007
Kandal	0	0	0	19	107	147	69	135	123	158	23	0	2007
Koh Kong	0	29	32	83	154	140	623	447	407	301	0	0	2003
Kraite	0	0	3	23	179	99	283	99	285	86	46	0	2005
PhnomPenh	0	0	25	48	62	102	90	197	212	145	9	17	2006
Preah Vihear	0	0	0	0	102	181	186	251	161	161	0	0	2010
Prey Veng	0	0	16	25	27	41	190	149	101	116	17	0	2003
Pursat	2	21	42	89	81	95	110	140	131	122	26	0	2009
Ratanak Kiri	0	0	33	45	118	419	336	341	266	29	6	0	2004
Siemreap	0	0	21	43	69	90	226	62	256	107	12	0	2009
Sihanoukville	2	27	85	101	251	105	290	164	524	157	8	0	2009
Stung Treng	0	0	3	77	75	203	206	165	194	44	26	11	2002
Svay Rieng	0	2	0	49	182	63	115	148	225	174	139	0	2004
Takeo	0	0	39	18	181	104	62	47	91	185	100	0	2004
Mondulikiri	0	4	24	0	0	134	255	209	0	0	0	0	2009
Pailin	0	0	23	41	14	56	117	59	112	190	62	53	2005

Source: JICA Survey Team

\*: 20% non-excess probability year

**【Output】**

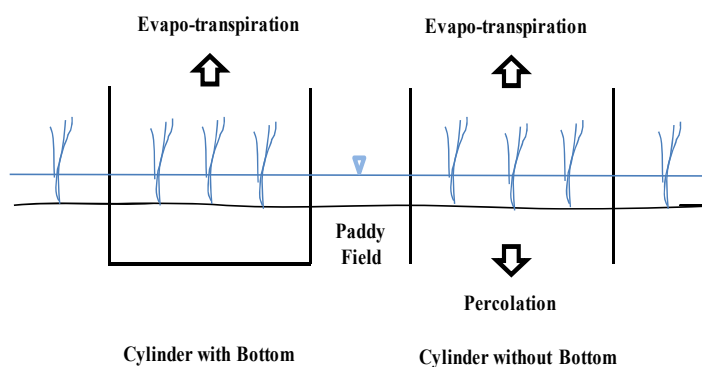
Clarification of rainfall to be effectively used for growing crops

**4.3.6 Percolation Rate**

Percolation rate should be considered for estimating irrigation water requirement for paddy cultivation.

**【Explanation】**

Percolation rate depends on soil texture. The percolation rate is measured at paddy field by observing water surface level down in cylinder with bottom and cylinder without bottom as shown in the right figure. Generally, paddy field in Cambodia is covered with sandy loam, clay loam and clay. If no time is available to make field test as shown in the above, the following percolation rate might be used:



- Sandy loam: 8 mm – 10 mm/day
- Clay loam: 4 mm – 6 mm/day
- Clay: 2 mm – 3 mm/day

**【Output】**

Clarification of percolation rate in paddy field

**4.3.7 Irrigation Efficiencies**

Water loss from intake site to field should be considered when calculating irrigation water requirement.

**【Explanation】**

Part of irrigation water abstracted from water source is lost on the way to reach the root zone of plants. In other words, only part of irrigation water is used efficiently for crops, and the remaining does not deliver to crops on the fields. Thus, certain amount should allow for this loss in irrigation water requirement. There are two losses to be considered: conveyance loss and application loss. These losses are calculated as conveyance efficiency and field application efficiency. In the small-scale irrigation project, the following efficiencies are proposed, which are referred to FAO Irrigation Management:

**Table 4.3.13 Indicative Values of Conveyance Efficiency and Field Application Efficiency**

(a) Conveyance Efficiency (Ec)				
Soil Type	Earth Canal			Lined Canal
	Sand	Loam	Clay	
Canal Length				
Long (> 2000m)	60 %	70 %	80 %	95 %
Medium (200-2000m)	70 %	75 %	85 %	95 %
Short (< 200m)	80 %	85 %	90 %	95 %
(b) Field Application Efficiency (Ea)				
Surface Irrigation	60 %			

Source: FAO Irrigation Management

With this table, project irrigation efficiency is calculated using the following equation:

$$E_f = (E_c \times E_a) / 100$$

Where,  $E_f$ : Project irrigation efficiency (%)  
 $E_c$ : Conveyance efficiency (%)  
 $E_a$ : Application efficiency (%)

**【Output】**

Appropriate irrigation amount for growing crops

**4.3.8 Unit Irrigation Water Requirement**

Unit irrigation water requirement is needed for determination of irrigable area through water balance study.

**【Explanation】**

In the proposed cropping pattern for the small-scale irrigation project, main crop is rice although upland crop may be occasionally included. Thus, unit irrigation water requirement is calculated for both rice and upland crop in the following manner:

- (a) Net Irrigation Water Requirement for Rice Cultivation**  
 For rice cultivation, net irrigation water requirement is calculated using the following equation:  
 $NWIR_p = LP + CU + P - ER$   
 Where,  $NWIR_p$ : Net irrigation water requirement for rice cultivation (mm)  
 $LP$ : Land preparation (mm)  
     Sandy loam: 250 mm  
     Clay loam: 200 mm  
     Clay: 170 mm  
     In case of recession irrigation, LP is not considered.  
 $CU$ : Crop water requirement (Consumptive use of water, see Sub-clause 4.3.4)  
     In case of nursery, Kc is 1.0  
 $P$ : Percolation rate (mm, see Sub-clause 4.3.6)  
 $ER$ : Effective rainfall (mm, see Sub-clause 4.3.5)
- (b) Net Irrigation Water Requirement for Upland Crops**  
 Net irrigation water requirement for upland crops is computed using the following equation.  
 $NWIR_u = PI + CU - ER$   
 Where,  $NWIR_u$ : Net irrigation water requirement for upland crop cultivation (mm)  
 $PI$ : Pre-irrigation (50 mm)  
 $CU$ : Crop water requirement (Consumptive use of water, see Clause 4.3.4)  
 $ER$ : Effective rainfall (mm, see Sub-clause 4.3.5)
- (c) Unit Irrigation Water Requirement**  
 Unit irrigation water requirement for rice and upland crops is calculated as follows:  
 $UIWR = (NWIR_p + NWIR_u) / (E_f \times 15 \times 0.0864)$   
 Where,  $UIWR$ : Unit irrigation water requirement (lit/sec/ha)  
 $NWIR_p$ : Net irrigation water requirement for paddy cultivation (mm)  
 $NWIR_u$ : Net irrigation water requirement for upland crop cultivation (mm)  
 $E_f$ : Project irrigation efficiency (% , see Clause 4.3.7)

Table 4.3.14 shows the sample calculation of unit irrigation water requirement. Although the sample calculation is made on the half month basis, it might be changed into the monthly basis in consideration of the study level and accuracy of available data.

**Table 4.3.14 Sample Calculation of Unit Irrigation Water Requirement**

Month			June		July		August		September		October		November		December		
			1	2	1	2	1	2	1	2	1	2	1	2	1	2	
<b>Cropping Pattern</b>			Medium Rice Variety														
(1)	Evapo-Transpiration (Eto)	ETo	mm	99.0	99.0	82.5	88.0	84.0	89.6	76.5	76.5	69.0	73.6	82.5	82.5	82.5	88.0
(2)	Nursery																
	<b>Land Preparation</b>	LP	mm		120	120	120	120	120								
(a)	Area factor for total crop area	Afc1		0.05	0.05	0.05	0.05	0.05									
(b)	Area factor in period of nursery	Afn1		0.20	0.20	0.20	0.20	0.20									
(c)	Water Requirement of land preparation	WRLPn=LPn x Afc1 x Afn1	mm	1.20	1.20	1.20	1.20	1.20									
	<b>After Land Preparation</b>																
(a)	Crop factor	Kc		1.00	1.00	1.00	1.00	1.00									
(b)	Consumptive use of water	Cun= Kc x Eto	mm	99.00	82.50	88.00	64.00	89.60									
(c)	Percolation	P	mm	75.00	75.00	80.00	75.00	80.00									
(d)	Effective rainfall	ER	mm	51.0	43.6	46.5	95.0	102.0									
(e)	Area factor to total crop area	Afc2		0.05	0.05	0.05	0.05	0.05									
(f)	Area factor in period of nursery	Afn2		0.20	0.20	0.20	0.20	0.20									
(g)	Crop water requirement for nursery	CWRn= (Cun + P - ER) x Afc2 x Afn2	mm	1.23	1.14	1.22	0.44	0.68									
(3)	Main Paddy Field																
	<b>Land Preparation</b>	LP	mm		120	120	120	120	120								
(a)	Area factor for total crop area	Afc3			1.00	1.00	1.00	1.00	1.00								
(b)	Area factor in period of transplanting	Afp1			0.20	0.20	0.20	0.20	0.20								
(c)	Water Requirement of land preparation	WRLPm= LP x Afc3 x Afp1	mm		24.00	24.00	24.00	24.00	24.00								
	<b>After Land Preparation</b>																
(a)	Crop factor	Kc			1.10	1.10	1.10	1.10	1.05	1.05	1.05	0.95					
					1.10	1.10	1.10	1.10	1.10	1.05	1.05	1.05	0.95				
						1.10	1.10	1.10	1.10	1.10	1.05	1.05	1.05	0.95			
							1.10	1.10	1.10	1.10	1.10	1.05	1.05	1.05	0.95		
								1.10	1.10	1.10	1.10	1.05	1.05	1.05	0.95		
									1.10	1.10	1.10	1.10	1.05	1.05	1.05	0.95	
										1.10	1.10	1.10	1.05	1.05	1.05	0.95	
											1.10	1.10	1.10	1.05	1.05	1.05	0.95
												1.10	1.10	1.10	1.05	1.05	0.95
													1.10	1.10	1.10	1.05	0.95
														1.10	1.10	1.10	1.05
															1.10	1.10	1.10
																1.10	1.10
																	1.10
(b)	Consumptive use of water	Cum= Kc x Eto			90.8	96.8	92.4	98.6	80.3	80.3	72.5	69.9					
						96.8	92.4	98.6	84.2	80.3	72.5	77.3	78.4				
							92.4	98.6	84.2	84.2	72.5	77.3	86.6	78.4			
								98.6	84.2	84.2	75.9	77.3	86.6	86.6	78.4		
									84.2	84.2	75.9	81.0	86.6	86.6	86.6	83.6	
(c)	Percolation	P	mm		75	80	75	80	75	75	75	80	75	75	75	75	80
(d)	Effective rainfall	ER	mm		43.6	46.5	95.0	102.0	106.0	106.0	70.2	74.8	4.5	4.5	8.2	8.8	
(e)	Crop water requirement for each block	CRWb= Cum + P - ER	mm		122.2	130.4	72.4	76.6	49.3	49.3	77.3	75.1					
						130.4	72.4	76.6	53.2	49.3	77.3	82.5	148.9				
							72.4	76.6	53.2	53.2	77.3	82.5	157.1	148.9			
								76.6	49.3	49.3	77.3	75.1	70.5	70.5	66.8		
									53.2	53.2	80.7	86.2	157.1	157.1	153.4	154.8	
(f)	Average of crop water requirement for each block	CWRa	mm		122.2	130.4	72.4	76.6	51.6	50.9	77.9	80.3	133.4	125.5	110.1	154.8	
(g)	Area factor to total crop area	Afc4			0.20	0.40	0.60	0.80	1.00	1.00	1.00	0.80	0.60	0.40	0.20		
(h)	Crop Water Requirement	CWR= CWRa x Afc4	mm		24.44	52.14	43.44	61.25	51.62	50.86	77.94	80.27	106.73	75.30	44.05	30.96	
(4)	Net Water Requirement	NWR=WRPLn + CWR + WRPLm + CWR	mm	2.43	50.78	78.56	69.08	87.12	75.62	50.86	77.94	80.27	106.73	75.30	44.05	30.96	
(a)	Irrigation efficiencies	Ef		0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.42	0.42
(5)	Irrigation Water Requirement	IWR= NWR/Ef	mm	5.79	120.90	187.04	164.48	207.44	180.05	121.08	185.57	191.12	254.11	179.29	104.87	73.71	
(6)	Irrigation Water Requirement	IWR= (NWR/Ef/half month period)8.64	lit/sec/ha	0.04	0.93	1.35	1.27	1.50	1.39	0.93	1.43	1.38	1.96	1.38	0.81	0.53	

Source: JICA Survey Team

#### 4.4 Water Balance Study

Development scale of irrigation project is determined by considering balance among available water source, available land source and water demand by crop cultivation.

#### [Explanation]

In case that available land for irrigation development is limited as compared with available water source, it becomes a decisive factor for determination of development scale of irrigation project. In case of small-scale irrigation project in Cambodia, however generally, the available land is more abundant than available water source. In this manual, therefore, a focus is given to a water balance study between available water source and water demand by crop cultivation. The water balance

study is divided into two cases by type of water source, namely river and reservoir.

#### 4.4.1 River

- (1) Obtain river discharge of the critical months**  
Obtain river discharge for the rainy and dry seasons (Qd and Qr), and enter the values into the calculation form below. If discharge data is not available, it is estimated as mentioned in Sub-clause 4.2.1.1.
- (2) Calculate 80% dependable river discharge**  
Calculate 80% dependable river discharge as mentioned in Sub-clause 4.2.1.3. If time is not allowed, it might be obtained by multiplying Qd and Qr by 0.6 for rough estimate.
- (3) Obtain and enter unit irrigation water requirement (UIWR)**  
Obtain unit irrigation water requirement (UIWR) for 12 months and enter the value in the calculation form below.
- (4) Calculate irrigable area in the dry and rainy season**  
Calculate the irrigable area of each month and determine the irrigable area in the rainy season and dry season using the following calculation form.

**Table 4.4.1 Calculation Form of Water Balance Study (River) (Unit: m<sup>3</sup>/sec)**

Month	Dry season						Rainy season					
	1st Nov	2nd Dec	3rd Jan	4th Feb	5th Mar	6th Apr	1st May	2nd Jun	3rd Jul	4th Aug	5th Sep	6th Oct
River discharge (a)												
80% dependable river discharge (b) (a) x 0.6*												
UIWR (c)												
Irrigable Area (ha) in the month (d) (b)/(c)x 1000												
Irrigable Area (ha) in the season minimu m of (d) in the season												

Note: (i) If river discharge data is available for only one month of each season, the water balance can only be made for that month.

(ii) If water requirement in the critical month is "-", shift the critical month to the nearest month for which water requirement is available.

\* If there is no time to calculate 80% dependable discharge, it might be assumed by multiplying river discharge (a) by 0.6 as a rule of thumb.

- (5) Determine development area (area to be provided with irrigation facilities)**  
Obtain the size of the proposed area from the present situation map by counting the squares in the map. Compare the area of the proposed area with the irrigable area in the rainy season; the smaller value should be chosen as the development area.

Proposed area (i)  ha

Irrigable area in the rainy season (ii)  ha

**Development area**  ha  
(smaller value of (i) and (ii))

#### 4.4.2 Reservoir

- (1) Calculate rainfall recharge (see Table 3.4.3 and Sub-clause 4.2.2.1)**  
Calculate rainfall recharge for each of the 12 months and enter on the calculation form.  
rainfall recharge (m<sup>3</sup>) = dependable rainfall (mm) x surface area of reservoir (m<sup>2</sup>) /1000
- (2) Obtain inflow discharge to reservoir**  
Obtain the average river discharge (Qd and Qr) to the reservoir, if any. Enter the average discharge in the dry season (Qd; the same value) for each of the 6 months. Enter the average rainy season discharge for each month of the rainy season (Qr).

**(3) Calculate evaporation from water surface of reservoir**

Obtain evaporation (mm/day) from the following table and surface area of the reservoir.

Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
4.4	5.4	6.2	5.8	4.8	4.6	4.1	4.0	3.5	3.1	3.6	4.1

Calculate evaporation for 12 months and enter the result on the calculation form.

Monthly evaporation (m<sup>3</sup>) = evaporation (mm/day) x days of one month x water surface area of reservoir (m<sup>2</sup>) /1000

**(4) Calculate percolation from reservoir**

Calculate percolation for 12 months and enter the result on the calculation form.

Monthly evaporation (m<sup>3</sup>) = 0.2 (mm/day) x days of one month x average water surface area of reservoir (m<sup>2</sup>) /1000

**(5) Calculate diversion water requirement (DWR)**

Assume some development area (A). Calculate the diversion water requirement (DWR) by multiplying (A) and unit irrigation water requirement (UIWR).

Assumed development area (A)  ha

**(6) Obtain capacity of reservoir and surface area**

Obtain the capacity of reservoir as mentioned in Sub-clause 4.2.2.4.

**(7) Calculate the storage of the month**

Calculate storage of each month, Q<sub>n</sub>, from storage of the previous month, Q<sub>(n-1)</sub>, and inputs and outputs for the month. For the first month, Q<sub>(n-1)</sub> should be the capacity in (e). If calculated storage is larger than the capacity obtained in (e), enter the capacity in (e) instead of the calculated value.

**Table 4.4.2 Calculation Form of Water Balance Study (Reservoir)** (Unit: m<sup>3</sup>)

Month	Dry season						Rainy season					
	1st Nov	2nd Dec	3rd Jan	4th Feb	5th Mar	6th Apr	1st May	2nd Jun	3rd Jul	4th Aug	5th Sep	6th Oct
Rainfall recharge (i)												
Average inflow (ii)												
80% dependable inflow (iii)	(ii) x 0.6*											
Evaporation (iv)												
Percolation (v)												
UIWR (vi)	UIWR x (A)/1000											
Storage of the month (Q <sub>n</sub> )	Q <sub>(n-1)</sub> +(i)-(ii)-(iv)-(v)-(vi)											

Calculation should start from the dry season and continue for one year.

Note: Q<sub>(n-1)</sub> is storage in the previous month

\* If no time is available for calculating 80% dependable inflow, it might be calculate by multiplying the average inflow by 0.6.

**(8) Determine development area (area to be provided with irrigation facilities)**

If the calculated storage in a month is negative, or storage in the last month of the rainy season is less than obtained capacity in (5), decrease the assumed development area and re-calculate until these conditions are not met to obtain final development area.

Development area  ha (the area also can be recognized as the irrigable area for both the dry and rainy season)

**【Output】**

Determination of development area

**4.4.3 Delineation of Irrigation Area**

Delineation of irrigation area on topographic map should be carried out in consideration of difference between "net irrigation area" and "gross irrigation area".

**【Explanation】**

Through the water balance study between available water source and water demand by crops, the development area is obtained. This development area means the net irrigation area. However, the



irrigation area to be delineated on the topographic map, if not available the situation map as mentioned in Clause 4.1.1, is duly the gross one. The gross irrigation area includes land for canals, bund of farm field, inspection roads, village roads, etc. The ratio of "net area" to "gross area" depends on scale of maps, namely it is general that 0.85 is for 1/10,000 map and 0.80 for 1/50,000 map. In the delineation of irrigation area, elevation and alignment of canals should be carefully examined. In case of small-scale irrigation project in Cambodia, irrigation system already exists in the farm land. Thus, delineation of irrigation area should be taken into consideration the maximum use of existing irrigation system as far as technically possible. If there is the flooded area in the irrigation area delineated, certain measures might be necessary for protecting crops from flood or it would be removed from the irrigation area.

**【Output】**

Clarified net irrigation area

**4.5 Preparation of Canal Layout Plan**

Canal layout plan should be worked out in consideration of maximum use of existing canal system if technically severe problems are not found.

**【Explanation】**

As mentioned previously, the small-scale irrigation projects listed by MOWRAM are already provided with irrigation canals. These irrigation canals, mostly so-called Pol Pot canals, are of excavation type, and are laid out on a grid without consideration of topography. There hardly exist tertiary and quaternary canals in the existing canal system. Thus, a careful study should be made for how to presently irrigate the farm lands using these canals. On the other hand, drainage canals are scarcely constructed at fields. Excess water currently drains from fields to fields and then enters into natural streams. The current conditions of existing facilities should also be examined through inventory survey as mentioned in Clause 3.5. The results of study and examination on existing canal system should be fully reflected upon the preparation of canal layout plan with the following important notices in mind.

**Table 4.5.1 Important Notices for Preparation of Canal Layout Plan**

Category	Contents of Notices
Technical notices	<ul style="list-style-type: none"> <li>- Exist of most Pol Pot canals at 1 km to 3 km interval*</li> <li>- Suitable size of tertiary block, say less than 25 ha considering easy handling of irrigation water by farmers*</li> <li>- Application of rotational water supply within tertiary block especially for land preparation</li> <li>- Separation of irrigation canals from drainage function for efficient water use by farmers*</li> <li>- One tertiary canal commanding one tertiary block only</li> <li>- One tertiary canal branching off 7 quaternary canals for easily rotational operation</li> </ul>
Economical notices	<ul style="list-style-type: none"> <li>- Use of existing canal system as much as possible if severe technical problems are not found</li> <li>- Application of gravity system instead of pumping system as much as possible</li> </ul>
Environmental notices	<ul style="list-style-type: none"> <li>- Unnecessary land acquisition</li> <li>- Participatory approach by farmers</li> </ul>

Source: JICA Survey Team

\*: Design Manual for Small and Medium Scale Irrigation, System Planning, MOWRAM, July 2004

**【Output】**

Appropriate canal layout plan well-fitted to local conditions

## 4.6 Topographic Survey

Topographic survey is essential for not only making good design, but also estimating appropriate work volume.

### 【Explanation】

In most small-scale irrigation project, there is existing irrigation canal system. Thus, topographic survey treated in this manual is focused on the existing irrigation system for its rehabilitation.

Topographic survey is carried out mainly for river, reservoir, canals and large structures. Proposed methods for topographic survey for them are as follows:

**Table 4.6.1 Proposed Methods for Topographic Survey**

Subject	Proposed Methods
River	In order to analyze the present river capacity and change in river flow after constructing structures on the river, a river profile and cross-section survey should be conducted. <ul style="list-style-type: none"> <li>- Pitch of the cross-section of the river course to be taken is set between 250 m and 500 m according to the river condition.</li> <li>- Longitudinal measurement is taken at least every 200 m with additional measurements at place where the river condition changes.</li> <li>- Width of cross-section is at least 50 m beyond the river banks.</li> </ul>
Reservoir	In order to estimate the storage volume of a reservoir, a leveling survey in the reservoir area should be conducted. <ul style="list-style-type: none"> <li>- Contour lines are drawn by 0.1 m pitch.</li> <li>- Longitudinal survey line is set on the top of dikes and the crossing lines are set every 200 m on the longitudinal line.</li> <li>- Elevation should be measured every 100 m on the cross-section lines.</li> </ul> If budget and time are so limited, topographic survey for reservoir might be conducted as mentioned in Sub-clause 4.2.24.
Canal	Profile and cross-section survey of the existing canals are conducted in the following specifications: <ul style="list-style-type: none"> <li>- Longitudinal profile : 100 m pitch</li> <li>- Cross-section : 100 m pitch</li> <li>- Width of the cross-section : canal width + 20 m on both banks</li> </ul>
Large-scale Structures	Large-scale structures such as headworks and siphon require site survey and topographic mapping <ul style="list-style-type: none"> <li>- Site survey: determination of extent for survey for structure</li> <li>- Topographic survey : Grid interval (X=10 m, Y=10 m), Scale (1/200 to 1/500 depending on structure size), Contour interval (0.5 m)</li> </ul>
Accuracy of Survey	Longitudinal survey <ul style="list-style-type: none"> <li>- Height point: within <math>\pm 5</math> cm</li> <li>- Distance : better than 1/2,000</li> </ul> Cross sectional survey <ul style="list-style-type: none"> <li>- Height point: within <math>\pm 5</math> cm + 3 cm <math>\sqrt{D}</math> (D: measured distance in km)</li> <li>- Distance : better than 1/300</li> </ul>

Source: JICA Survey Team

### 【Output】

Results of topographic survey useful for designing canals and large-scale structures

## 4.7 Preliminary Design of Irrigation and Drainage Facilities

Preliminary feasibility study requires preliminary design for major project facilities for estimating the required work volume and also project cost.

**【Explanation】**

In the small-scale irrigation project, generally the project facilities provided or to be provided are headworks, reservoir, irrigation canals, drainage canals and related structures. The preliminary design for these facilities is made based on the results of topographic survey and inventory survey because most of them are existing ones.

**【Output】**

The preliminary design of major facilities based on actual conditions

**4.7.1 Irrigation Canals**

Irrigation canals are designed in a concept of maximum use of existing canals, not only cost saving, but also less land acquisition.

**【Explanation】**

(1) Irrigation Canal System

Generally, irrigation canal system consists of main canal, secondary canal, tertiary canal and quaternary canal (watercourse). In the small-scale irrigation project, this irrigation system is incomplete. Especially, tertiary canal and quaternary canal are hardly constructed, which result in inefficient water use. In order to smooth water distribution, therefore consideration should be given to provision of tertiary canal and quaternary canal (watercourse) by making reference to the average density rate of 30m/ha and 50m/ha, respectively.

(2) Type of Irrigation System

Priority is put on gravity system taking into account the lower O&M cost. However, the existing canal, say Pol Pot canal, is excavated canal. If this canal is incorporated into the proposed irrigation canal system, it is difficult or rather impossible to apply the gravity system. In this case, application of portable pump might be considered as unavoidable measure although higher production cost would be imposed on beneficiary farmers.

(3) Canal Type

Unlined canal is prevailing to the existing canal. Since the small-scale irrigation project could not be expected to produce high incremental benefit due to the limited water source, unlined canal with sod facing is proposed from economic viewpoint. However, earth lining with suitable soil might be required for part of main canal running in dispersive soil.

(4) Design Discharge for Irrigation Canal

In order to design the irrigation canals and related structures at preliminary feasibility study level, it is necessary to determine the design discharge. The largest unit irrigation water requirement (UIWR) which is used to determine the development area in the water balance study is employed as the design discharge. In case of small-scale irrigation projects where paddy is mainly cultivated, 2.0 lit/sec/ha is proposed to be used as the design discharge at intake site taking into consideration the development level, quality of works, and operation capability by FWUC, if no time is available for calculating the unit irrigation water requirement as mentioned in Clause 4.3.8.

(5) Preliminary Design for Irrigation Canals

Preliminary design for main, secondary and tertiary canals is conducted in the following manner:

(a) Canals

- Design Canal Capacity

Design canal capacity is determined by (i) unit irrigation water requirement (see Clause 4.3.8), (ii) water supply schedule and (iii) free board.

If small-scale irrigation project has 500 ha of irrigation area, and the estimated unit water requirement is 2.0 lit/sec/ha, then canal has a capacity of 1.0 m<sup>3</sup>/sec. For canal system, especially tertiary block, in which rotational irrigation is proposed, canal capacity is increased to supply the required irrigation water within a certain period.

Example

Irrigation area:	4 ha
Rotational interval:	7 days
Unit irrigation water requirement:	2 lit/sec/ha
Design canal capacity:	$4 \times 7 \times 2 = 56 \text{ lit/sec}$

- Freeboard

The freeboard of irrigation canal is determined using the following equation:

$$F_b = 0.05d + \beta \cdot h_v + h_w$$

- Where,
- $F_b$  : freeboard (m)
  - $d$  : depth of water (m)
  - $\beta$  : conversion factor from velocity head to static head (1.0)
  - $h_v$  : velocity head (m)  $v^2/2g$
  - $h_w$  : freeboard for water surface fluctuation (0.15 m)

In case on small-scale irrigation project, the proposed freeboard is about 0.2 m.

(b) Design Canal Capacity

The dimension of canal is determined using Manning Formula as shown in Sub-clause 4.2.1.2. The ratio of canal depth to bed width is to be 0.8 to 1.0.

(c) Design Velocity

In the small-scale irrigation project, canal size is small on the whole. Thus, it is proposed to apply 0.3 m/sec. On the other hand, the proposed allowable maximum flow is as follows:

(d) Hydraulic losses

The hydraulic losses to be considered are friction loss and head losses of inlet, outlet, transition, screen, bridge pier and culverts. Head losses are calculated using Manning Formula mentioned above. But, in case of small-scale irrigation project, it might be better to apply 0.02 m to 0.05 m for each loss for time-saving.

(e) Rehabilitation method of existing canals

Rehabilitation method of existing canals is different depending on the conditions of them. If the

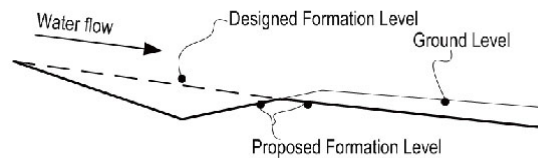
**Table 4.7.1 Maximum Allowable Velocity**

Type of Canal	Maximum Allowable Velocity
Earth canal (sandy soil)	0.45 m/sec
Earth canal (sandy loam)	0.60 m/sec
Earth canal (loam)	0.70 m/sec
Earth canal (clay loam)	0.90 m/sec
Earth canal (Clay)	1.00 m/sec
Earth canal (Clay with sand)	1.20 m/sec
Thick concrete (0.18m)	3.00 m/sec
Thin concrete (0.10m)	1.50 m/sec
Dry masonry	1.50 -2.00 m/sec
Wet masonry	2.50 m/sec
Concrete pipe	3.00 m/sec

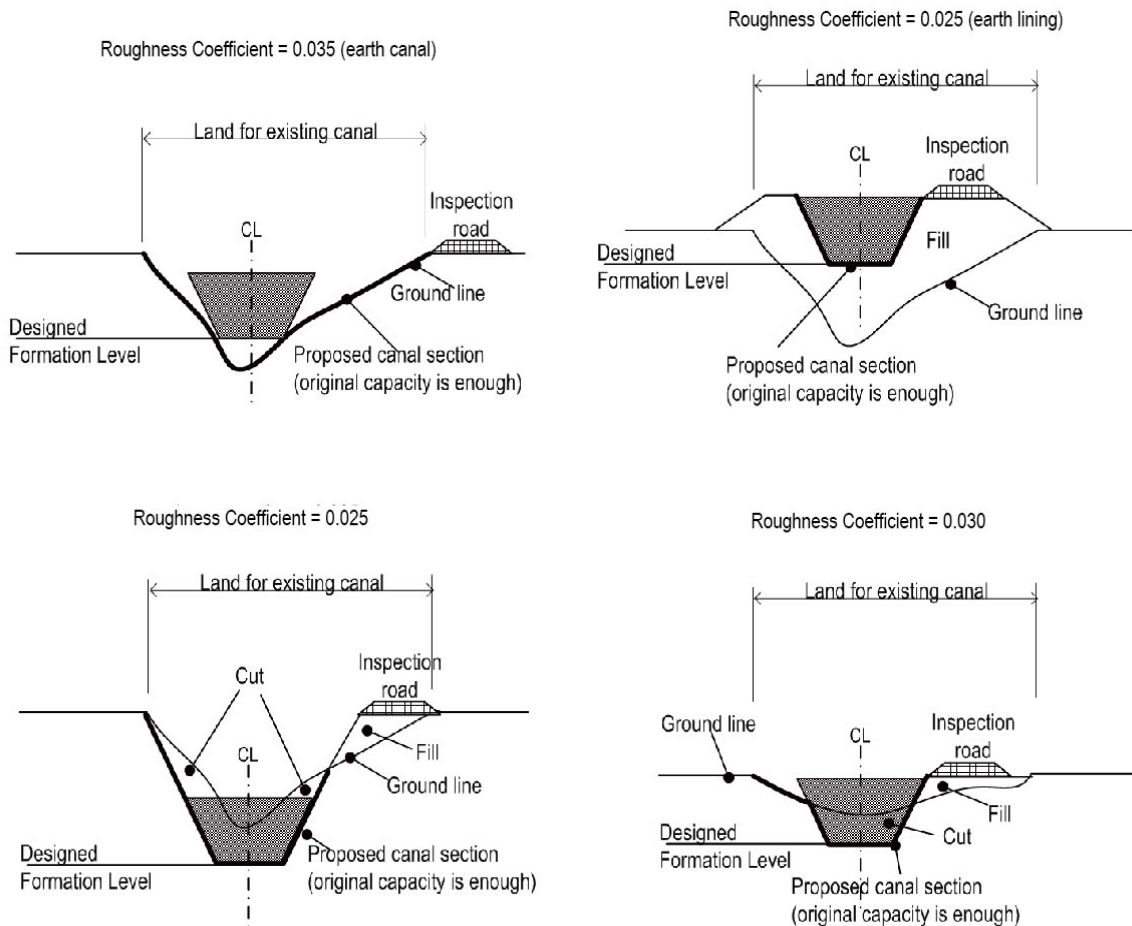
Source: Planning Guideline for Rehabilitation and Reconstruction of Irrigation Systems

existing canal has enough capacity to flow the design discharge and the required water level, no rehabilitation is required. But, if the higher water level required, the existing canal is filled until ensuring the required water level. If the existing canal has insufficient capacity, the enlargement of canal section is required. Figure 2.7.1 shows how to rehabilitate the existing canals.

**PROFILE**



**SECTION**



Source: Planning Guideline for Rehabilitation and Reconstruction of Irrigation Systems

**Figure 4.7.1 Rehabilitation Method of Existing Canals**

**[Output]**

Establishment of irrigation canal system well-fitted to local conditions

## 4.7.2 Drainage Canals

Proper drainage from farm land contributes to increase of crop production as well as prevention of damage of crop production.

### 【Explanation】

#### (1) Drainage Canal System and Conditions

Generally, the drainage canal system corresponding to irrigation canal system consists of main drain, secondary drain, tertiary drain and quaternary drain. In small-scale irrigation project, however, no drainage canal system is established mainly due to land acquisition problem. It is often seen that excess water from fields enters into irrigation canal, so-called combined use of canal. If budget and land acquisition problems could be settled, it is desirable to establish the drainage canal system independently from efficient water management viewpoint.

#### (2) Drainage Conditions

In small-scale irrigation projects, rice is a major crop. Even for rice, certain damages would occur due to inundation condition as shown below:

**Table 4.7.2 Relation between Inundation Condition and Damage of Rice**

Growing Stage	Duration of Inundation	1 – 2 days	3 – 4 days	5– 7 days	> 7 days
	Condition of Inundation	Decrease rate	Decrease rate	Decrease rate	Decrease rate
Tillering	Submergence by clean water	10 %	20 %	30 %	35 %
Booting	Leaf of apex above muddy water surface	20 %	50 %	85 %	90 - 100 %
	Submergence by muddy water	70 %	80 %	85 %	90 - 100 %
	Leaf of apex above clean water surface	10 %	30 %	65 %	90 - 100 %
	Submergence by clean water	25 %	45 %	80 %	90 - 100 %
Heading	Submergence by muddy water	30 %	80 %	90 %	90 - 100 %
	Submergence by clean water	15 %	25 %	30 %	70 %
Ripening	Submergence by muddy water	5 %	20 %	30 %	30 %
	Submergence by clean water	0 %	15 %	20 %	20 %

Source: *Irrigation and Drainage Handbook, Japan*

Accordingly, it is necessary to provide drainage facility for farm land where water stagnant frequently occurs due to heavy rainfall. Drainage condition of farm land will be clarified through site inspection with topographic map if available, or situation map as mentioned in Clause 4.1.1 and interview with village people living nearby. The items to be clarified on water stagnant are (i) frequency, (ii) duration, (iii) depth, and (iv) time. In addition, direction of drainage flow is also confirmed at site. The results of site inspection and interview with village people should be written down on the map, to make drainage condition clear.

#### (3) Unit Drainage Water Requirement

##### (a) Design Rainfall

As mentioned above, rice cultivation is prevailing to the small-scale irrigation project in Cambodia. In case of paddy cultivation, certain water stagnant at rice field is allowed as shown in Table 4.7.2. Taking into consideration this situation and prevention of excessive design of drainage facilities, it is proposed to use 3-day consecutive rainfall with 1/5 years occurrence as a design rainfall, and to drain it from rice field within 3 days.

##### (b) Estimate of Unit Drainage Water Requirement

The unit drainage water requirement is so estimated as to eliminate the 3-day consecutive rainfall with

1/5 years occurrence from farm field within 3 days. The proposed equation for estimating the unit drainage water requirement is as follows:

$$UDWR = (C \times R \times 10^4) / (3 \times 24 \times 3,600)$$

Where, *UDWR*: Unit drainage water requirement (lit/sec/ha)

*C*: Runoff coefficient (= 0.75)

*R*: 3-days consecutive rainfall (mm)

If no daily rainfall data is available so that the unit drainage water requirement cannot be estimated, 3 to 5 lit/sec/ha might be used as unit drainage water requirement.

#### (4) Preliminary Design of Drainage Canals

Drainage canals are also designed using the Manning Formula shown in Sub-clause 4.2.1.2 and the unit drainage water requirement which is a main factor for designing drainage canals, as calculated above. However, freeboard is not required.

#### **【Output】**

Establishment of drainage canal system acceptable for all stakeholders

#### **4.7.3 Related Structures**

Preliminary feasibility study requires preliminary design for major structures for estimating the required work volume and also project cost.

#### **【Explanation】**

In the preliminary feasibility study, the preliminary design for major structures is made based on the results of topographic survey and inventory survey for the purpose of cost estimate. Especially, the small-scale irrigation project is not new development project, but rehabilitation/improvement project, so that the results of inventory survey should be examined and referred to the preliminary design.

##### (1) Headworks

The headworks consist of a weir across the river, intake gates, and flush gates to remove sediments around the intake gates. Sediment traps and/or a settling basin are constructed immediately after intake structure to avoid the sediment intrusion to the canal. But, in small-scale irrigation project, these sediment traps and/or a settling basin are apt to be eliminated mainly due to its small scale and economical viewpoint. As for the weir, there are two types; fixed type and gated type, so-called "barrage". In preliminary design of headworks, major points to be checked are as follows:

**Table 4.7.3 Checklist of Major Points in Preliminary Design of Headworks**

Check Items	Check
<b>General</b>	
Are the headworks designed in accordance with the authorized criteria?	<input type="checkbox"/>
Is the rived change checked?	<input type="checkbox"/>
Is the crest of flood dike at upstream enough against design flood level?	<input type="checkbox"/>
Is the stability of river course at headworks site confirmed?	<input type="checkbox"/>
Is the flood condition confirmed at site?	<input type="checkbox"/>
Is the proper location of headworks site determined so as to abstract water stably?	<input type="checkbox"/>
Is the inventory survey carried out for existing facility in case of rehabilitation project?	<input type="checkbox"/>
Is the consideration paid to practical construction method if rehabilitation is required?	<input type="checkbox"/>

<b>Weir</b>	
Is the sufficient study conducted for the selection of fixed weir type and barrage type?	<input type="checkbox"/>
Is the stability analysis of fixed weir conducted for design flood and less flood?	<input type="checkbox"/>
Is the crest shape of fixed weir so designed no to occur negative pressure for stream?	<input type="checkbox"/>
Is the suitable width of gate decided so as to flush out floating materials in case of barrage?	<input type="checkbox"/>
Is the enough study made for selection of gate type in case of barrage?	<input type="checkbox"/>
<b>Intake</b>	
Is the sill height of intake equivalent to about 40% of intake water depth?	<input type="checkbox"/>
Is there more than 1m of difference between the bed level of intake and that of scouring sluice?	<input type="checkbox"/>
Is the flow velocity at intake less than 0.6 m/sec?	<input type="checkbox"/>
<b>Flood and Scouring Sluice</b>	
Is the length of downstream apron of flood sluice is enough?	<input type="checkbox"/>
Does the supercritical flow occur at scouting time?	<input type="checkbox"/>
Is the countermeasure for the floating materials like driftwoods considered?	<input type="checkbox"/>
Is the width of flood sluice gate enough to flash out the floating materials?	<input type="checkbox"/>
<b>River Protection</b>	
Is the length of riverbed protection work decided considering the relevant area of downstream apron and riverbed protection?	<input type="checkbox"/>
Is the safety of headworks confirmed even for less flood discharge than the designed one?	<input type="checkbox"/>
<b>Foundation</b>	
Is the suitable measure for foundation considered if rock crops out?	<input type="checkbox"/>
Is the creep length considered if rock does not crop out?	<input type="checkbox"/>
<b>Settling Basin</b>	
Is the study executed for need of settling basin?	<input type="checkbox"/>
Is the study conducted for desilting method?	<input type="checkbox"/>
Is the study made for determination of size of settling basin?	<input type="checkbox"/>
<b>Operation Facility</b>	
Is the design load confirmed?	<input type="checkbox"/>
Is there enough free board at design flood time?	<input type="checkbox"/>
Is the study given to O&M method?	<input type="checkbox"/>
Is the study made for ensuring energy for electric operation?	<input type="checkbox"/>
<b>Coffering and Dewatering Works</b>	
Is the study made for temporary facilities?	<input type="checkbox"/>
Is the necessary cost for coffering and dewatering works included on construction cost?	<input type="checkbox"/>

Source: JICA Survey Team

It is proposed that design of headworks should be made by referring to the relevant guidelines, manual and reference books possessed by MOWRAM and TSC as listed in Table 2.3.1. Sample of preliminary design of headworks is given in Attchmewnt-2.

## (2) Reservoir

In general, a “reservoir” is defined as a dam whose height is less than 15 m. In the small-scale irrigation project, most reservoirs have homogeneous embankment of local materials and a height of less than



10 m. The effective depth of water that is available for irrigation is less than 1.0 m in many reservoirs. The function of the reservoir expected is as “supplemental irrigation and regulation of water flow in the rainy season”

(a) Design flood

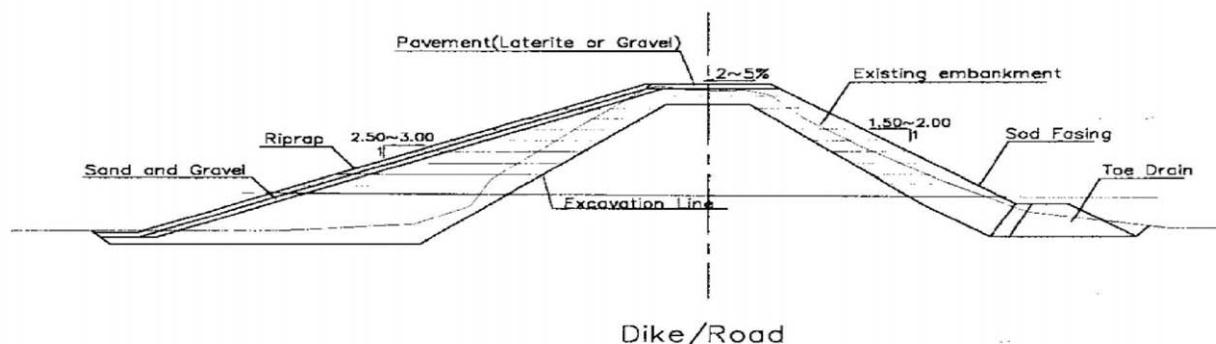
The design flood for reservoirs is proposed to confirm the following criteria:

**Table 4.7.4 Design Flood for Reservoir**

Condition on Reservoirs	Proposed Design Flood
Design flood for spillway on the perennial river with catchment area more than or equal to 10 km <sup>2</sup> or total storage capacity more than or equal to 50,000 m <sup>3</sup>	1/100 years probable flood, which is estimated as explained in Sub-clause 4.2.1.2
Design flood for small reservoirs with catchment area less than 10 km <sup>2</sup> or total storage capacity less than 50,000 m <sup>3</sup>	1/20 years probable flood, which is estimated as explained in Sub-clause 4.2.1.2

(b) Dike

Reservoir dike is generally constructed with earth materials. The maximum dike height should basically be limited to 5 m so that stability of the dike is maintained. If the dike height exceeds 5 m, stability analysis should be conducted. Local materials near the proposed location can be used if the materials are not sandy or dispersible. The slope on the reservoir side should be protected with impervious materials and rip rap. If impervious materials could not be obtained, the embankment slope would have to be changed to be gentler so that the stability of the dike could be secured. As for rehabilitation of the existing dikes, it is proposed to provide reinforcement works such as removal of surface soil, provision of gentle slope of 2.5 to 3.0, provision of riprap with sand and gravel, and pavement of top using laterite or gravel as shown in Figure 4.7.1.



**Figure 4.7.2 Rehabilitation of Dike/Road**

And also, attention should be paid to whether the freeboard which is specified below is satisfied or not.

(c) Intake

The intake structure should consist of gates that can be operated manually. Gate size should be determined so that maneuverability is secured. The gate sill level would be set at the estimated dead storage level after 20 years of operation.

(d) Spillway

There are two types of spillway. One is gated type and the other is overflow type. These two types have the following advantages and disadvantages:

**Table 4.7.5 Advantages and Disadvantages by Spillway Type**

Study Items	Gated Type*	Overflow Type
Construction cost	High	Low, but if considering bridge, its cost is

		similar with gated type
O&M cost	High	Low
Operation	Easy, but risky due to occurrence of mechanical problem	Easy
Length	Short	Long
Storage water	Effectively used	No control

\*: Automatic flap gate is generally equipped.

As mentioned in the above table, there are advantages and disadvantages in both types. Thus, suitable type should be selected from overall viewpoints, especially the project conditions.

The capacity of spillway should be determined based on the probable flood mentioned above. In case of rehabilitation/improvement of existing spillway, its current conditions, especially its capacity should be carefully checked using the probable design flood proposed in Sub-clause 4.2.1.2.

The capacity and dimension of spillway is determined using the following equation:

$$Q = CBH^{3/2}$$

Where,  $Q$ : Spill out discharge ( $m^3/sec$ )  
 $C$ : Coefficient, generally use 1.7 for safety side  
 $B$ : Overflow length of crest (m)  
 $H$ : Overflow depth (m)

(e) Freeboard

The freeboard of a reservoir should be basically not less than 0.90 m. For small reservoirs with a catchment area less than 10 km<sup>2</sup> or total storage capacity less than 50,000 m<sup>3</sup>, a freeboard of 0.60 m could be adopted.

(f) Sedimentation

Sedimentation of the reservoirs is estimated for determining the required dead storage capacity. A standard unit sedimentation rate of 0.1 mm/km<sup>2</sup>/year can be used for estimation of the sediment volume. The sediment level after 20 years is adopted as the “low water level”, i.e. the intake sill level.

(2) Canal Related Structures

In the irrigation project, lots of structures are required for proper conveyance and distribution of irrigation water to the fields. However, the small-scale irrigation project is provided with less number of structures, which leads to inefficient water use. It is found that even provided structures could not function well due to less quality in design. Thus, it is expected that appropriate number and design of structures are provided for the existing irrigation system for smooth O&M of irrigation canal system.

The canal related structures are largely divided into 3 categories; control structures, crossing structures and safety structures.

(a) Control Structures

Control structures consist of diversion, off-take, check, drop and water measurement structures. These structures play a role of water distribution in the irrigation canal system.

**Table 4.7.6 Explanation of Control Structures**

Structure	Explanation
Diversion	A diversion structure equipped with sluice gate(s), is constructed at the place where secondary canal branches off from main canal. The diversion structure is mostly combined with check structure, to maintain water level in main canal for smooth distribution.
Off-take	An off-take is provided at the diversion point to tertiary canal. The off-take is also equipped with small sluice gate (s) to regulate diversion water.
Drop	A drop is provided on canal to adjust the longitudinal slope of canal and to keep the flow velocity lower than the maximum allowable velocity as shown in Table 4.15.2. The drop is

	sometimes combined with diversion and check structures to save construction cost.
Water Measurement	Water measurement structure is generally provided at beginning point of main, secondary and tertiary canals, to calibrate the diverted discharge. Although there are many types on water measurement structure, generally a broad-crested weir is proposed from viewpoint of less water head. A portable Parshall flume is one of alternatives to avoid much head loss.

Source: JICA Survey Team

### (b) Crossing Structures

Crossing structures are culvert (box and pipe), siphon, aqueduct, cross drain and bridge. These structures are provided at the crossing points with road, river and drainage canal. As for culvert and bridge, the following selection criteria might be applied as a rule of thumb:

**Table 4.7.7 Selection Criteria of Culvert and Bridge**

Condition	Structure
Top width of canal is more than 5 m	Bridge
Top width of canal is less than 5 m and canal discharge is more than 0.5 m <sup>3</sup> /s	Box culvert
Top width of canal is less than 5 m and canal discharge is less than 0.5 m <sup>3</sup> /s	Pipe culvert

Source: JICA Survey Team

As for siphon and aqueduct, the similar criteria might be applied for preliminary feasibility study.

**Table 4.7.8 Selection Criteria of Siphon and Aqueduct**

Condition	Structure
- Enough head in canal - Comparatively larger canal discharge - Difficulty in obtaining clearance height at flood time	Siphon
- Except conditions mentioned above - Stable foundation condition	Aqueduct

Source: JICA Survey Team

Cross drain is constructed at point where irrigation canal crosses with drainage canal. Selection of box culvert and pipe culvert is made based on drainage discharge mentioned above and considering the allowable time for construction.

### (c) Safety Structures

Safety structures are spillway and drainage inlet. When canal flow is more than the design discharge, the excess water spills out through spillway. Spillway is generally provided at upstream of diversion structure and also natural stream flows nearby. Drainage inlet is provided at deeply excavated canal portion so that drainage water can flow into canal. In this connection, the elevated canal at downstream of drainage inlet is provided with spillway so as to protect canal from larger flow than the designed one.

## 【Output】

Good design of related structures required for the preliminary feasibility study

## 4.8 Preparation of O&M Plan

### 4.8.1 Establishment of FWUC

FWUC needs to be established and strengthened at each irrigation system, and registered with the assistance of MOWRAM and PDOWRAM to carry out water management and O&M of irrigation facilities.

## 【Explanation】

### (1) Relevant Laws and Regulations

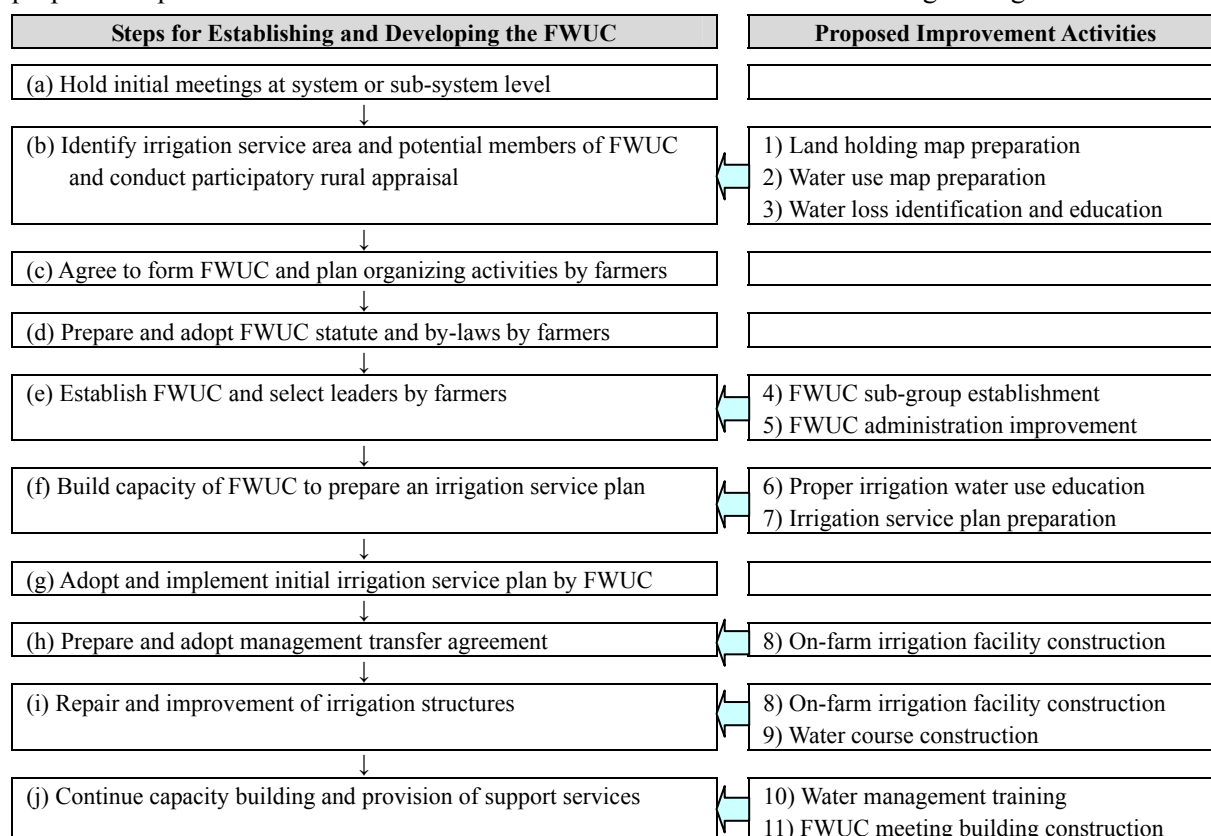
Participatory Irrigation Management and Development is currently promoted according to MOWRAM

policy in order to establish sustainable irrigation management set-up. To do so, FWUC needs to be established and strengthened with the assistance of MOWRAM and PDOWRAM to carry out water management and O&M of irrigation facilities as elaborated in “Training Manual for Participatory Irrigation Management and Development (MOWRAM, 2003) and other documents. Relevant laws and regulations for establishment and strengthening of FWUC are listed as follows, which need to be fully considered for institutional development and irrigation system O&M planning in the course of F/S:

- Prakas 306 including Circular No.1 on the Implementation Policy for Sustainable Irrigation Systems, MOWRAM, June 2000
- Steps in the Formation of a Farmer Water Users Community 2000
- Draft National Water Resources Strategy, MOWRAM, May 2001
- Training Manual for Participatory Irrigation Management and Development (MOWRAM, 2003)
- Program Design Document for Strategy for Agriculture and Water 2010-2013
- Sub-Decree on Farmer Water User Community, 2008 (Draft)
- Sub-Decree on Water Allocation and Licensing, 2008 (Draft)

(2) Procedure

Based on the Manual and lessons learnt from JICA Study on Comprehensive Agricultural Development of Prek Thnot River Basin, the following steps should be carried out with additional or proposed improvement activities for effective FWUC establishment and strengthening.



Source: JICA Study on Comprehensive Agricultural Development of Prek Thnot River Basin

**Figure 4.8.1 Procedure of FWUC Establishment and Proposed Improvement Activities**

As illustrated above, proposed steps in the training manual is a good guideline for establishment and strengthening of FWUC. Since different irrigation systems under small-scale irrigation project have

different technical, institutional and cultural background, therefore, step needs to be flexible and good practice obtained in the previous projects such like the JICA Prek Thnot Study should be fully utilized. Improvement activities tried in the Prek Thnot Study are summarized in the following table.

**Table 4.8.1 Improvement Activities carried out under Pilot Projects in JICA Study on Comprehensive Agricultural Development of Prek Thnot River Basin**

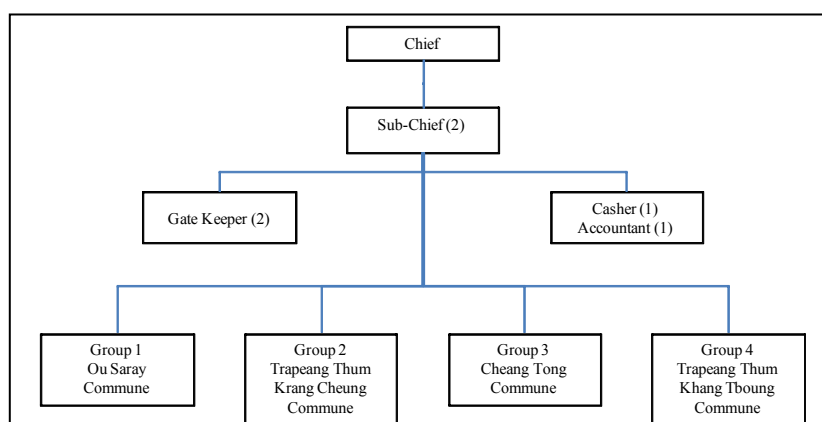
Improvement Action	Objective
(a) Land holding map preparation	to identify water users in the pilot project area
(b) Water Use Map Preparation	to deeply understand the situation of the project area
(c) Water Loss Identification and Reduction	to make FWUC members understand the importance of their efforts for minimizing water loss
(d) FWUC Sub-group Establishment	to organize active sub-groups of the FWUC based on the canal layout
(e) FWUC Administration Improvement	to improve administration of the FWUC, such as meeting arrangements and accounting
(f) Proper Irrigation Water Use Education	to make FWUC members understand the necessity and importance of proper irrigation water use
(g) Irrigation Service Plan Preparation	to support FWUC in preparation of an irrigation service plan as preparation of the irrigation service plan by the FWUC recognized to be one of the most important activities
(h) On-farm Irrigation Facility Construction	to provide minimally required on-farm irrigation facilities and to contribute to efficient water use in the command area

Source: JICA Study on Comprehensive Agricultural Development of Prek Thnot River Basin

For details, refer to Final Report of JICA Study on Comprehensive Agricultural Development of Prek Thnot River Basin, Volume-IV: Pilot Projects, 2008).

### (3) Organization

The FWUC's jurisdiction in the irrigation system is based on hydraulic boundaries rather than administrative boundaries. FWUC will be formulated in tiered structure, each layer of which have each roles for conducting appropriate irrigation system management. FWUC is managed by its board members, which should be generally elected by member farmers in transparent manner.



Source: JICA Survey Team based on Interview to Kpob Trobek FWUC

**Figure 4.8.2 Sample Organization of FWUC**

**Kpob Trobek FWUC existing as of September 2011 at Upper Slakou Irrigation System in Takeo Province**

The standard committee generally consists of following members.

- One chairman in charge of overall supervision of committee
- One first-vice chairman in charge of maintenance and repair of facilities and planning
- One second-vice chairman in charge of water distribution and record keeping
- One treasurer in charge of finance
- Chiefs from all the communes relevant to irrigation system in charge of general supervision in their communes

These members would often vary and need to be flexible depending upon size and facilities of

irrigation system, existing farmers' group, geographical locations, any cultural background etc. Sample organizational structure is shown in Figure 4.8.2. In the course of institutional development and O&M planning in F/S, farmers' participation is essential in order to raise awareness for the project implementation and O&M. Field level staff, in particular, PDOWRAM staff is required to prepare institutional development plan in collaboration with farmers in each irrigation system by organizing public consultation and workshop.

**【Output】**

Plan for the establishment of enhanced FWUC for effective water management and O&M of irrigation facilities particularly at secondary and tertiary levels

**4.8.2 O&M Responsibility**

Clarification of stakeholders and their roles in O&M of irrigation systems are required as part of system O&M planning.

**【Explanation】**

Clear O&M responsibility sharing among stakeholders is of critical importance to enhance sustainability of irrigation systems. MOWRAM classifies irrigation systems into three categories based on their size as follows:

**Table 4.8.2 Classification of Irrigation Systems defined by MOWRAM**

Classification	Size	Managed by
Small Scale	Less than 200 ha	Managed by the District Office. If more than one District is involved, the PDOWRAM is responsible for the management. O&M are the responsibility of the FWUCs.
Medium Scale	Between 200 and 5,000 ha	Managed by PDOWRAM. If more than one Province is involved, MOWRAM is responsible for the management of the scheme.
Large Scale	More than 5,000 ha	Managed by MOWRAM

Source: MOWRAM

More practically, for the sub-projects listed in Small Scale Infrastructure Project, following task demarcation among PDOWRAM, FWUC, FWUG and Sub-FWUG is generally proposed.

**Table 4.8.3 General O&M Responsibility among Stakeholders for Irrigation Systems**

Level of Facilities O&M Activities	Reservoir/ Headworks	Main Canals	Secondary Canals	Tertiary Canals and Below
Annual O&M Planning	PDOWRAM	PDOWRAM	PDOWRAM/ FWUC	Sub-FWUG
Cropping Schedule Preparation	-	-	FWUC/FWUG	Sub-FWUG
Operation	PDOWRAM	PDOWRAM	FWUC/FWUG	Sub-FWUG
Maintenance	PDOWRAM	PDOWRAM	FWUC/FWUG	Sub-FWUG

Source: JICA Survey Team

It should be understood that the responsibility needs to be determined in a flexible manner since the capability of farmers' group and/or FWUC is different in each irrigation system, responsibility of O&M is determined by considering experiences and capabilities of PDOWRAM and farmers at the field level. Out of 84 numbers of proposed sub-projects in SISIP, four numbers are categorized in small-scale irrigation systems, less than 200 ha as defined by MOWRAM. In such case, all the O&M are the responsibility of FWUCs.

**【Output】**

Confirmation of stakeholders and their roles in O&M of irrigation systems

### 4.8.3 O&M Activities

Activities required to carry out irrigation services and to maintain planned function of irrigation facilities needs to be listed up for each irrigation system.

#### **【Explanation】**

Major activities for O&M are shown as follows. Based on the responsibility demarcation, each stakeholder needs to perform his tasks and duties at each level of facilities.

**Table 4.8.4 Major O&M Activities**

O&M	General Description	Major Activities
Operation	Rehabilitated irrigation facilities needs to be operated based on planned irrigation schedule and water availability through appropriate and timely monitoring.	<ul style="list-style-type: none"> <li>- Setting-up of water supply schedule</li> <li>- Operation of canal system for water supply and distribution</li> <li>- Operation for water saving irrigation method, if necessary</li> <li>- Publicity of water supply schedule and operation procedure</li> <li>- Monitoring of water level of the reservoir and/or discharge of the river</li> </ul>
Maintenance	Maintenance aims at restoring the system to its full functional performance. It is imperative that in order to remain engaged in agricultural development and consolidation continuously, the irrigation facilities shall always be kept in serviceable condition.	<ul style="list-style-type: none"> <li>- Routine maintenance: To carry out on a day to day basis, as and where and when required. It is essentially a low-cost activity carried out on local basis, but it is very effective for preventing further damages the repair of which might involve large funding.</li> <li>- Periodical maintenance: A planned activity and its aim is to prepare the irrigation system for specific services related to cropping calendar and its requirement.</li> <li>- Emergency maintenance: To carry out to restore a sudden and/or serious damage which has caused, or may cause, interruption to irrigation</li> <li>- Annual maintenance: The works requiring large volumes and/or special technical skill and know-how</li> </ul>

Source: JICA Survey Team

Based on above table, PDOWRAM and FWUC assisted by MOWRAM are required to list up activities of O&M necessary for each irrigation system to carry out irrigation services and to maintain function of irrigation facilities.

#### **【Output】**

List of activities required for O&M of irrigation systems at level of main, secondary and tertiary canals by each stakeholder

### 4.8.4 O&M Cost

Budgetary arrangement for O&M requires calculation of O&M cost based on necessary O&M activities customized for each irrigation system.

#### **【Explanation】**

O&M cost needs to be arranged based on the responsibility demarcation among stakeholders and required activities and/or input for each irrigation system. Major items to be considered for O&M cost are: (i) salary and wages for board members of FWUC and FWUGs, (ii) direct cost such as office expenses, labors for maintenance and materials required for O&M. Sample calculation of O&M cost is tabulated below.

**Table 4.8.5 Annual O&M Cost for Sub-Projects (Sample):**  
**Target Area of West Tonle Sap Irrigation Rehabilitation and Improvement Project**

Item	Unit	Qty.	Unit Rate (US\$)	Amount (US\$) for 12,700 ha	Amount (Riels.) for 12,700 ha
(1) Salary and Wages					
(a) FWUCs					
1) Chairperson	MM	72	30	2,160	8,886,240
2) Deputy chairperson	MM	72	25	1,800	7,405,200
3) Other staff	MM	288	20	5,760	23,696,640
(b) FWUGs					
1) Leader	MM	660	20	13,200	54,304,800
2) Other staff	MM	1,980	15	29,700	122,185,800
(2) Direct costs					
1.1. Office expenses	month	732	10	7,320	30,114,480
1.2. Labor for minor maintenance	MD	39,260	1	39,260	161,515,640
1.3. Other expenses (gate maintenance)	L.S.	1	20,000	20,000	82,280,000
<b>Total</b>				<b>119,200</b>	<b>490,388,800</b>
			=	US\$9.34	per ha
			say	<b>US\$10.00</b>	per ha

Source: JICA Special Assistance for Project Formation for West Tonle Sap Irrigation and Drainage Rehabilitation and Improvement Project

### 【Output】

Calculated O&M cost required for each irrigation system

#### 4.8.5 Irrigation Service Fee

After irrigation facilities are rehabilitated, ISF needs to be collected from beneficiary farmers to cover O&M cost of rehabilitated facilities. ISF value should be decided based on technically required amount through consensus among member farmers.

### 【Explanation】

In order to arrange O&M cost necessary for irrigation facilities, irrigation service fee (ISF) needs to be collected from member farmers of FWUC benefitted from irrigation water supply based on cropping schedule and irrigation service area. According to the Policy for Sustainability of Operation and Maintenance of Irrigation Systems, ISF should be calculated using the following formula.

$$Y = \frac{X_1 + X_2 + X_3 + X_4 + X_5}{\text{Irrigation Service Area}} + 20\% \text{ of Increased Production}$$

Where,  $Y =$  ISF per ha

$X_1 =$  expenditure on maintenance and repair

$X_2 =$  expenditure on fuel in case of pumping

$X_3 =$  expenditure on contribution to the Community Board

$X_4 =$  expenditure on administration

$X_5 =$  expenditure on contingency

More simply and practically, determination of price of ISF is made based on required O&M activities and its cost for each irrigation system. Many irrigation systems in Cambodia involve two categories of farm land under single irrigation system: (i) gravity irrigation area and (ii) pump irrigation area. According to the empirical evidences, ISF for former area is fixed to be higher, while latter is lower by considering convenience on accessibility to irrigation water. In addition, ISF setting needs consensus building among FWUC members under the command area. Sample ISF settings and collection rates surveyed JICA Study on Comprehensive Agricultural Development of Prek Thnot River Basin are



tabulated as follows:

**Table 4.8.6 Comparison of Relatively Advanced FWUCs in ISF (Sample)**

FWUC Item	Ou Treang FWUC	Sdau Kaong FWUC	Phoum Rong FWUC	Ou Veang FWUC
Province	Kampong Speu	Prey Veng	Kampong Speu	Kampong Speu
Value	Rainy season (i) Gravity and pump: Riel 20,000 (\$5)/ha (ii) Pump: Riel 10,000 (\$2.5) /ha Dry season (i) and (ii): Riel 40,000 (\$10.0)/ha	1st year: Riel 7,000 (\$1.75)/ha 2nd year: Riel 8,000 (\$2.0)/ha 3 <sup>rd</sup> year: Riel 11,000 (\$2.75)/ha	(i) Gravity: Riel 40,000 (\$10)/ha (ii) Pump: Riel 10,000 (\$2.5)/ha	(i) Gravity: Riel 30,000 (\$7.5)/ha (ii) Gravity and pump: Riel 20,000 (\$5)/ha (iii) Pump Riel 10,000 (\$2.5)/ha
Ratio of Collection	80-85%	99%	35%	10%

Source: Extracted from the pilot project under the Comprehensive Agricultural Development of Prek Thnot River Basin (2008), JICA

During F/S, ISF calculation is to be made in compliance with the estimated O&M cost for each irrigation system as well as useful references and practices in ISF setting and collection at other similar irrigation systems.

**【Output】**

Plan of ISF based on consensus among farmers

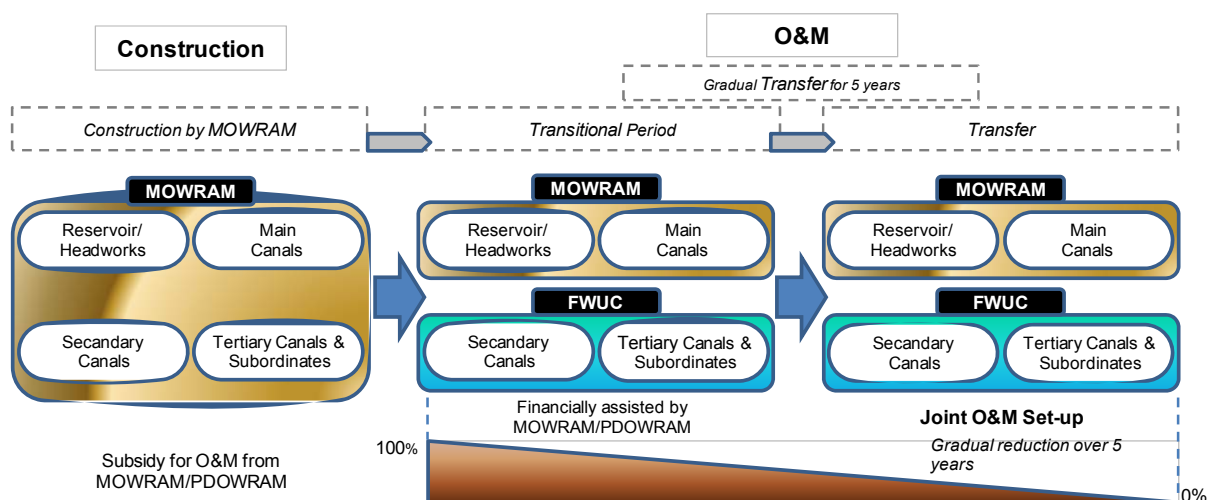
**4.8.6 Transfer of Responsibility of O&M**

According to MOWRAM policy, rehabilitated facilities are transferred to FWUC after five years from completion of the facilities rehabilitation. Transferring process needs to be properly carried out through gradual and step-wise approach considering FWUC’s capability.

**【Explanation】**

(1) Transfer Process

Transfer of facilities (secondary level facilities in case of SPPIDRIP) to FWUC will be gradually carried out in conformity with Circular No.1 on the Implementation Policy for Sustainable Irrigation Systems showing that step-by-step reduction of government subsidy for the irrigation facilities over five years after completion of the construction works. This concept is illustrated in the following figure:



Source: JICA Survey Team

**Figure 4.8.3 Transfer of O&M of Rehabilitated Facilities**

Share of O&M cost by government and FWUC is made in gradual transition manner as referred to the following table according to MOWRAM policy.

**Table 4.8.7 Share of O&M Cost**

Year after completion	Government	Beneficiary Farmers (FWUC)
First year	80%	20%
Second year	60%	40%
Third year	40%	60%
Fourth year	20%	80%
After Fifth year	0%	100%

Source: MOWRAM

In this process, involvement of existing FWUCs or new FWUC to be established in all the sub-project areas is of necessity from D/D to operation stage in order to raise awareness and sense of ownership for irrigation facilities by them. On the basis of abovementioned principles, O&M cost to be incurred by both government and FWUC should be calculated.

## (2) Memorandum of Understanding

In Cambodia, O&M of only Prey Nup Polder System in Sihanoukville Municipality has been transferred to FWUC in 2007. Before transferring of O&M to FWUC, it is required to prepare Memorandum of Understanding (MOU) to be signed by MOWRAM, local government and representative of FWUC, contents of which are as follows:

**Table 4.8.8 Contents of MOU on Joint Management of Irrigation Facilities**

Article	Clause	Contents/Remarks
(a) Objective	◆ Aim of MOU	Aim of MOU including legal status needs to be clearly described in the document.
(b) Responsibilities of FWUC	◆ Principles ◆ Responsibility on Operation and Maintenance ◆ Responsibilities on Financial and Administrative Management	Responsibility of O&M of FWUC from technical and financial viewpoints needs to be clarified.
(c) Responsibilities of MOWRAM	◆ Principles ◆ Responsibilities on Operation and Maintenance ◆ FWUC Activities Supervision ◆ Support to FWUC Activities ◆ Consultation and Representation	Responsibility of O&M of MOWRAM from technical and financial viewpoints needs to be clarified.
(d) Responsibilities of Local Government	◆ Principle ◆ Responsibilities	Responsibility of O&M of local government from technical and financial viewpoints needs to be clarified.
(e) Property	◆ Ownership of Facilities by Relevant Parties	In addition to O&M responsibility, ownership of facilities also should be agreed among stakeholders.
6. Monitoring and Evaluation	◆ Procedure of Monitoring and Evaluation ◆ Necessity of Agreement on Monitoring and Evaluation	Although after irrigation system is transferred monitoring and evaluation by MOWRAM and local government would be integral part to ensure sustainability.
(f) Conflict resolution	◆ Necessity of coordination among stakeholders on conflict resolution ◆ Responsibility among Stakeholders on Conflict Resolution	Conflict resolution over public goods would be solved by FWUC with the assistance of MOWRAM and local government. Intervention to this process needs to be defined.
(g) Validity and End of MOU	◆ Validity and termination of MOU	This is general item of MOU.

Source: JICA Survey Team

During F/S, feature transfer process also needs to be understood and agreed among MOWRAM, PDWORAM and farmers. MOU prepared for Prey Nup Polder System signed by MOWRAM, Governor of Sihanoukville Municipality and chairman of Prey Nup system is shown in Attachment-3.

**【Output】**

Plan for the establishment of joint O&M system among MOWRAM, PDWORAM, local government and FWUC based on MOU

**4.9 Estimate of Sub-project Cost**

**4.9.1 Work Volume Estimate**

Work volume should be estimated based on preliminary design for project facilities in order to estimate direct construction cost.

**【Explanation】**

In the preliminary feasibility study, work volume for major facilities should be calculated based on the drawings prepared at preliminary feasibility level.

**Table 4.9.1 Methods for Estimating Work Volume**

Works	Methodology
Main and secondary canals	Volume of earth works such as excavation, embankment and stripping are calculated based on the longitudinal and cross sections drawings which are prepared using topographic survey.
Tertiary canals	From typical section of tertiary canal, earth works such as excavation, embankment and stripping are calculated for one km. On the other hand, total length of tertiary canal is estimated by multiplying density of 30 m/ha by irrigation area (ha). Thus, total volume of earth works such as excavation, embankment and stripping are calculated by multiplying those per one km by total length of tertiary canal.
Drainage canals	Work volume for drainage canals is estimated in the same manner with that for irrigation canals.
Structures	At first, the number of required structures to be newly constructed and rehabilitated is counted based on the results of inventory survey and study on canal layout plan. Volume of concrete and earth works such as excavation and backfilling for typical structure of every structure is estimated, and then total volume is estimated by multiplying volume of concrete and earth works per one structure by respective numbers. Volume of reinforcement bar and form is calculated by rate to concrete volume (50 kg to 100 kg to concrete 1 m <sup>3</sup> for reinforcement bar and 5 m <sup>2</sup> to concrete 1 m <sup>3</sup> for form).

Source: JICA Survey Team

**【Output】**

Estimated work volume at preliminary feasibility level

**4.9.2 Preparation of Unit Prices**

Unit prices for each work item are analyzed using base costs of labor, materials and equipment and required work quantity of them.

**【Explanation】**

Unit prices of the main work items for construction are analyzed using base costs and required work quantity of labor, material and equipment.

**(a) Base Costs** (see Attachment-4))

Prior to estimate unit prices for main work items for construction, base costs for labor, construction materials and depreciation or lease cost of construction equipment should be surveyed and collected in markets, NCDD and other relevant agencies.

**(b) Unit Price Analysis**

As mentioned above, unit prices of the main work items for construction are analyzed using base costs and required work quantity of labor, material and equipment. In analysis of unit prices, reference is made to similar projects in Cambodia and South-east countries for cross-check. These unit prices comprises of foreign currency portion (F/C) and local currency portion (L/C). Labor cost and cost of local materials obtained from sites such as sand, gravel, embankment soil are counted as L/C, and cost of imported materials such as equipment depreciation or lease cost, fuel, reinforcement bar, cement are counted as F/C. Table 4.9.3 shows sample analysis of unit price.

**Table 4.9.2 Sample of Unit Price Analysis**

Project : SPPIDRIP							
No. : EW-03							
Work Item : Excavation common by equipment							
Remarks : 100 m <sup>3</sup> /sec							
Payment Unit : m <sup>3</sup>							
No	Component	Unit	Q'ty	L/C (US\$)		F/C (US\$)	
				U.Price	Amount	U.Price	Amount
<b>(i) Labor</b>							
	Heavy equipment Operator	MD	0.56	14.00	7.81		
	Foremen	MD	0.22	12.50	2.79		
	Common labor	MD	1.12	4.50	5.02		
	Assistant operator	MD	0.56	8.00	4.46		
	Sub-total				20.09		
<b>(ii) Material</b>							
	Diesel	Lit	60.27			1.10	66.29
	Miscellaneous	L.S			4.02		13.26
	Sub-total				4.02		79.55
<b>(iii) Equipment</b>							
	Backhoe 0.6 m <sup>3</sup>	Hrs.	0.56			133.00	74.22
	Miscellaneous	L.s					
	Sub-total				0.00		74.22
<b>(iv)</b>	Sub-total((i)+ (ii) + (iii))				24.11		
<b>(v)</b>	Profit & Overhead ( 10% of (iv))						17.79
<b>(vi)</b>	Total Price by Currency /Volume (US\$/m <sup>3</sup> )				0.42		1.54
<b>(vii)</b>	Total Price by (L/C + F/C) /Volume (US\$/m <sup>3</sup> )						1.96

Source: JICA Survey Team

Attachment-5 presents the unit prices for main work items as of August 2011.

**【Output】**

Unit prices estimated based on reliable data and information in systematic manner

**4.9.3 Estimate of Sub-project Cost**

Sub-project cost covers not only direct construction cost, but also other many items such as administration cost, consulting service cost, land acquisition cost, taxes and duties, interest during construction time, physical contingency and price contingency.

**【Explanation】****(1) Initial Investment Cost**

In the small-scale irrigation project, project cost is generally composed of direct construction cost, administration cost, consulting service cost, relocation and land acquisition cost, tax and duties, physical contingency and price contingency. In addition to them, software components and O&M equipment procurement cost, if required, should be included in sub-project cost. In case of the small-scale irrigation project, taxes and duties and interest during construction period might not be

taken into consideration because of their small amount.

<p><b>(a) Direct Construction Cost</b> This is the direct physical cost for the construction works including mobilization and demobilization, machines and man power, preparatory works, temporary works and related activities on the construction.</p> <p><b>(b) Administration Cost</b> Administration cost includes the salary and per diem costs of administrative staff of the project during the implementation period, operation cost of facilities, equipment and related expenditure for the implementation. According to MEF, administration is equivalent to 3 % of direct construction cost.</p> <p><b>(c) Consulting Service Cost</b> Consulting service cost includes costs for survey, design, supervision and other cost for consulting activities necessary for the implementation of the project. MEF suggests that consulting service cost is less than 10 % of the direct construction cost.</p> <p><b>(d) Relocation and Land acquisition cost</b> If relocation of houses and land acquisition are necessary for implementing the project, the cost should be estimated.</p> <p><b>(e) Taxes and duties</b> According to the government regulation, tax (VAT) is 10 % of (a) to (d).</p> <p><b>(f) Physical contingency</b> Physical contingency is set at a certain percentage of the total of the above mentioned costs assuming a certain increase in work volume or expenditure that might arise at the implementation stage. In general, physical contingency is 10 % of (a) to (e).</p> <p><b>(g) Price contingency</b> Price contingency is set assuming certain price escalation both for L/C and F/C portions. In SPPIDRIP, price escalation of 1.6 % was adopted for F/C portion and 6.7% was adopted for L/C portion. The price escalation is set according to the actual changes of the prices in the past.</p>
--

(2) O&M Cost

Annual O&M cost includes (i) salary and wages for staff personnel of FWUC and direct cost for minimum office expenses. Clause 4.18.5 shows the sample calculation of O&M cost. If no time is allowed for calculation, it might be estimated at 0.5 % of direct construction cost.

(3) Replacement Cost

Some project facilities and equipment have a shorter economic life than the project life and will require replacement during the proposed 50 years of the project life. The following table shows the economic life time for facilities.

**Table 4.9.3 Economic Life Time for Facilities**

Description	Economic Life Time
Office / Facilities	30 years
Gates	25 years
Steel Plate	10 years
Transportation Equipment & Generator	10 years
Administrative Equipment	8 years
Marketing Equipment	8 years
Wooden Stoplog	5 years

Source: Planning Guideline for Rehabilitation and Reconstruction of Irrigation Systems, March 2002

**【Output】**

Estimate of sub-project cost considering project component

**4.10 Preparation of Implementation Schedule**

Implementation schedule covers many activities from project fund arrangement to handing over of minor facilities to FWUC.
---

**【Explanation】**

Overall schedule for implementing the hardware components would be as follows:

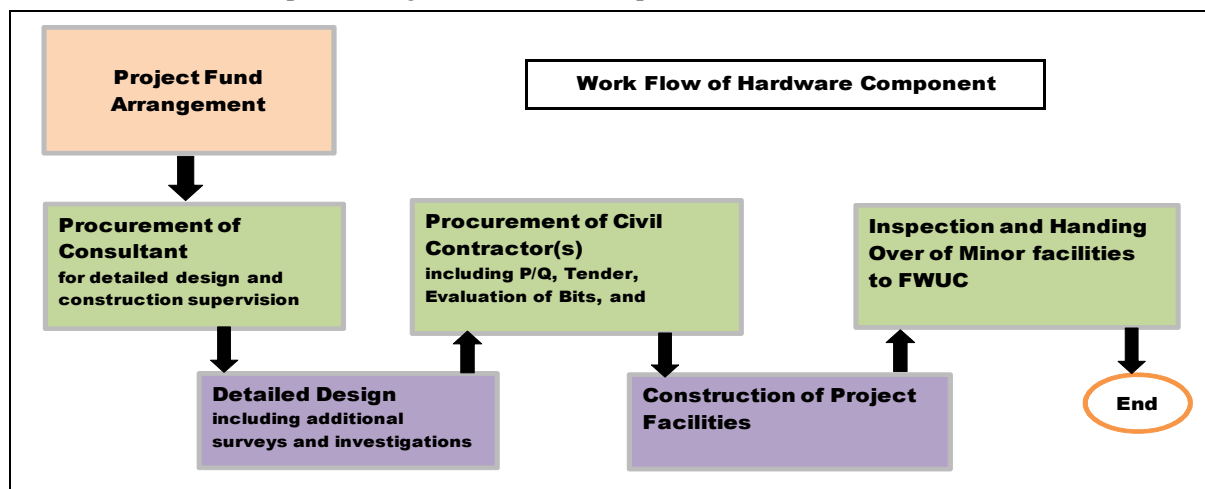


Figure 4.10.1 Work Flow of Hardware Components

In preparation of implementation schedule, consideration should be given to construction package. If implementation period is limited, it might consider that construction works are carried out by plural construction packages although the small-scale irrigation project would be mostly implemented by single construction package judging from work volume and sub-project cost.

**【Output】**

Appropriate implementation schedule well-fitted to project conditions

**4.11 Preparation of Disbursement Schedule**

Disbursement schedule is prepared for sub-project evaluation.

**【Explanation】**

Disbursement of the sub-project cost should be determined according to the implementation schedule of the sub-project. The initial investment cost would be distributed for the sub-project period.

**【Output】**

Annual disbursement schedule

**4.12 Estimate of Sub-project Benefit**

**4.12.1 Present and Target Yields of Crops**

Present and target yields of crops are used as one of factors for calculation of incremental benefit by crop production.

**【Explanation】**

Present and target yields of crops are studied as follows:

(a) **Present yield of major crops**  
 The present yields of major crops are examined by discussing with District Office, PDA, MAFF and CARDI. Interview with farmers is also useful for knowing the present yields of crops. The following table gives the general yields of crops under condition of "without Project" in Cambodia.

**Table 4.12.1 Present Yields of Major Crops under "Without Sub-project" Condition**

Crop	Under Irrigation (ton/ha)	Under Rainfed Condition (ton/ha)
Early Rice	2.0 to 3.0	1.0 to 2.0
Medium Rice	2.0 to 3.0	1.0 to 2.0

Late Rice	Not proposed	1.0 to 2.0
Sweet Potato	3.5 to 4.5	-
Pumpkin	2.0 to 3.0	-
Watermelon	2.5 to 3.5	-
Beans	0.3 to 0.7	-

Source: JICA Survey Team

**(b) Target Yield of Major Crops**

The anticipated yields of target crops under condition of "With Sub-project", say irrigation condition, is estimated on the basis of the following data and information:

- Present yield level under irrigation in and around the project area,
- Yield in years of appropriate climatic conditions in and around the project area,
- Opinions of extension workers and agronomist of District Office, PDA and MAFF,
- Yield at field level trials by CARDI and agricultural research centers,
- Possibility of extension activity and other support program in the project area, and
- Possibility of improvement of farming practices such as application of good seeds, fertilizers and plant protection

The yield will gradually increase after water supply and improvement of farming practices and supporting services by the sub-project. The target yields of crops under irrigated condition are roughly estimated based on the data in other projects in Cambodia:

**Table 4.12.2 Target Yields of Major Crops under "With Sub-project" Condition**

Crop	Dry Season (ton/ha)	Rainy Season (ton/ha)
Early Rice	4.0 to 5.0	4.0 to 5.0
Medium Rice	3.0 to 3.5	3.0 to 3.5
Late Rice	Not proposed	3.0 to 3.5
Sweet Potato	4.5 to 5.5	4.5 to 5.5
Pumpkin	4.5 to 5.5	4.5 to 5.5
Watermelon	4.5 to 5.5	4.5 to 5.5
Beans	0.8 to 1.5	0.8 to 1.5

Source: JICA Survey Team

**【Output】**

Well-selected present and target yields of crops under conditions of "Without Sub-project" and "With Sub-project"

**4.12.2 Production Cost**

Production cost should be considered for calculating the net income by crop production.

**【Explanation】**

Direct production cost is given on the basis of the required quantities and the unit prices of inputs, hired labor force, draft animal and others. Cost of family labor force is usually excluded from production cost. Production cost in the small-scale irrigation project is roughly calculated at US\$ 430/ha for gravity irrigation system, US\$540/ha for pump irrigation system and US\$ 480/ha for recession irrigation system. Sample calculation of production cost is shown in Attachment-6

**【Output】**

Calculated production cost

**4.12.3 Financial Irrigation Benefit**

Financial irrigation benefit by crop production is estimated for sub-project evaluation.

**【Explanation】**

Financial irrigation benefit is estimated in the following manner:

- (a) Gross Income by Crop Production**  
Gross income by crop production is estimated by multiplying the anticipated target yield by farm gate price of the product.
- (b) Net Income by Crop Production**

Net income by crop production is estimated by deducting production cost from gross income by crop production

**(c) Financial Irrigation Benefit**

Financial irrigation benefit by crop production is given by difference between the total net income under "With Sub-project" and "Without Sub-project", so-called "Incremental Benefit". A sample calculation is shown in Table 4.12.3.

**Table 4.12.3 Sample Calculation of Financial Irrigation Benefit**

Item	Per ha (US\$/ha)			Sub-project Area (1000US\$/ha)			
	Gross Income	Production Cost	Net Income	Sub-project Area(ha)	Gross Income	Production Cost	Net Income
1) With Project Condition							
Early Rice	74	29	45	1,000	74	29	45
Medium Rice	78	29	49	200	16	6	10
Rice Total				1,200	90	35	55
Maize	77	18	59	120	9	2	7
Bean	103	27	76	180	19	5	14
Vegetables	250	56	194	60	15	3	12
Total				1,560	133	45	88
2) Without Project Condition							
Early Rice	34	15	19	950	32	14	18
Medium Rice	31	15	16	180	6	3	3
Rice Total				1,130	38	17	21
Maize	39	10	29	60	2	1	1
Bean	42	17	25	30	1	0	1
Vegetables	143	36	107	10	1	0	1
Total				1,230	42	18	24
3) Incremental Benefit (=1) – 2)							
Early Rice	40	14	26	50	42	15	27
Medium Rice	47	14	33	20	10	3	7
Rice Total				70	52	18	34
Maize	38	8	30	60	7	1	6
Bean	61	10	51	150	18	5	13
Vegetables	107	20	87	50	14	3	11
Total					91	27	64

Source JICA Survey Team

**【Output】**

Effect of Irrigated Crops

**4.13 Sub-project Evaluation**

Sub-project evaluation is carried out to know whether the project is technically viable and economically sound.

**【Explanation】**

Sub-project evaluation is conducted in two ways; economic evaluation and financial evaluation. In case of the preliminary feasibility study for the small-scale irrigation project, it is deemed that economic evaluation only is enough. In economic evaluation, price contingency is not taken into consideration.

**【Output】**

Justified project by economic evaluation



#### 4.13.1 Estimate of Economic Cost

Economic cost necessary for economic evaluation is converted from the financial one.

##### 【Explanation】

In order to make economic evaluation, it is necessary to estimate the economic cost. The economic cost covers direct cost of civil works, procurement cost of equipment and consulting services, O&M cost of project facilities, and physical contingency for the respective cost items. In SPPIDRIP, the economic cost is estimated by converting the financial cost estimated above by applying the standard conversion factor (SCF) of 1.00 to foreign currency portion and 0.978 to local currency portion of each cost item, and also the shadow wage rate factor of 0.601 to skilled labor cost and 0.363 to unskilled labor cost, both included in local currency portion of the direct cost of civil works and O&M cost. Although SCF is influenced by prevailing economic conditions and changeable, the above mentioned one could be used for preliminary feasibility study for small-scale irrigation project for the time being.

##### 【Output】

Economic project cost converted from financial project cost

#### 4.13.2 Estimate of Economic Benefit

Economic benefit is estimated for economic evaluation of the sub-project.

##### 【Explanation】

Based on the financial crop budget, the economic crop budget is prepared under the "with and without sub-project conditions" by applying requirements for farm inputs and total labor, unit crop yield, and their economic prices. The anticipated yield is assumed to be increased under the without sub-project condition or set at the same level based on the past trend of yield and local condition as explained in Clause 4.12.1. The economic prices are converted from the financial prices using the conversion factors. Sample calculation of economic prices is shown below, which is used for SPPIDRIP as of November 2011.

**Table 4.13.1 List of Price Conversion**

Particulars	Unit	Financial Price	Conversion Factor	Economic Price
1. Farm Product				
- Paddy (Early maturity variety)	Riel/kg	1,150	a	1,153
- Paddy (Medium maturity variety)	Riel/kg	1,250	a	1,153
- Upland crop (Mungbean)	Riel/kg	3,850	b	3,766
- Vegetable (Cucumber)	Riel/kg	1,400	b	1,369
- Vegetable (String bean)	Riel/kg	1,900	b	1,858
- Vegetable (Tomato)	Riel/kg	1,800	b	1,761
2. By-products				
- Crop residue (Rice straw)	Riel/kg	350	b, c, d	342
- Crop residue (equivalent to 100% of mungbean yield)	Riel/kg	50	b	49
- Crop residue (equivalent to 10% of vegetable yield)	Riel/kg	50	b	49
3. Seed				
- Paddy (Early maturity variety)	Riel/kg	1,800	b	1,761
- Paddy (Medium maturity variety)	Riel/kg	2,400	b	2,347
- Paddy (Newly introduced variety)	Riel/kg	2,600	b	2,543
- Upland crop (Mungbean)	Riel/kg	11,000	b	10,759
- Vegetable (Cucumber)	Riel/kg	6,000	b	5,869
- Vegetable (String bean)	Riel/kg	6,000	b	5,869
- Vegetable (Tomato)	Riel/kg	15,000	b	14,671
4. Fertilizer				
- Urea	Riel/kg	2,300	a	1,531
- DAP	Riel/kg	3,000	a	2,456

	- KCl	Riel/kg	2,700	a	1,641
	- Farm manure	Riel/kg	200	b	196
5.	Agro-chemicals - Liquid type	Riel/lit	15,000	b	14,671
6.	Farming Equipment and Tools - Annual depreciation cost	Riel/ha	8,000	b	7,825
7.	Farm Labor - Hired labor	man-day	7,000	b, e	2,487
	- Family labor	man-day	0	f	2,487
8.	Paid Services - Land preparation (1 <sup>st</sup> time operation by draft animal)	Riel/ha	140,000	b, e	81,928
	- Land preparation (2 <sup>nd</sup> time operation by draft animal)	Riel/ha	180,000	b, e	105,336
	- Land preparation (1 <sup>st</sup> time operation by hired tractor)	Riel/ha	230,000	b, g	135,212
	- Land preparation (2 <sup>nd</sup> time operation by hired tractor)	Riel/ha	250,000	b, g	146,969
	- Irrigation using water pump	Riel/ha	800,000	b, e	284,238
	- Harvesting by combine harvester	Riel/ha	500,000	b, g	293,938
	- Manual cutting and threshing	Riel/ha	450,000	b, e	159,884
	- Carrying of harvest from field to yard	Riel/ha	170,000	b, g	99,939
9.	Transportation - Carrying out of dried harvests from yard	Riel/kg	20	b	19

Remarks on conversion factors:

- a; The projected prices for 2020 in 2011 constant price are determined by adjusting forecasted prices at 2000 constant price presented in "Projections as of June 2, 2011" by the World Bank Economic Policy and Prospects Group
- b; Financial prices are converted to economic prices by multiplying with SCF of 0.98.
- c; Among by-products of paddy, financial and economic values of broken rice, rice bran and rice husk are not counted as rice millers take advantages as a part of milling cost.
- d; Rice straw weight is equivalent to 90% of early maturity variety paddy yield and 100% of medium variety paddy yield.
- e; Financial hired farm labor cost is converted to economic price by multiplying with SCF and SWRF of 0.361 for unskilled labor.
- f; Economic price of family labor is considered as the same price of economic price of hired labor.
- g; Financial cost of land preparation is converted to economic cost by multiplying with SCF and SWRF of 0.597 for skilled labor.

Source: JICA Survey Team

Direct irrigation and drainage benefits will be accrued from increases in cropping areas and productivity of target crops of the project. The economic benefit is estimated as the increment in Net Production Value (NPV) between the future with and without sub-project conditions. The increment of the benefit will gradually increase year by year and reach full benefit several years after the initiation of the irrigation water supply and other support activities, i.e. usually assumed at three to five years depending on the beneficiaries' capacity and the project assistance. Simple calculation of incremental benefit by crops is shown below:

**Table 4.13.2 Simple Calculation of Incremental Benefit by Crops**

**(a) Without sub-project condition (present condition)**

**1) Estimate benefit during rainy season**

Rainy season crop	Average Yield (kg/ha)	Average Price (US\$/kg)	Cropped Area in Development Area (ha)	Benefit (Bro) (US\$)
i) <input type="text"/>	x <input type="text"/>	x <input type="text"/>	x <input type="text"/>	= <input type="text"/>
ii) <input type="text"/>	x <input type="text"/>	x <input type="text"/>	x <input type="text"/>	= <input type="text"/>

**2) Estimate benefit during dry season**

Dry season crop	Average Yield (kg/ha)	Average Price (US\$/kg)	Cropped Area in Development Area (ha)	Benefit (Bdo) (US\$)
i) <input type="text"/>	x <input type="text"/>	x <input type="text"/>	x <input type="text"/>	= <input type="text"/>
ii) <input type="text"/>	x <input type="text"/>	x <input type="text"/>	x <input type="text"/>	= <input type="text"/>

**3) Estimate production cost during rainy season**

Rainy season crop	Production Cost (US\$/ha)	Cropped Area in Development Area (ha)	Production Cost (PrC) (US\$)
i) <input type="text"/>	x <input type="text"/>	x <input type="text"/>	= <input type="text"/>
i) <input type="text"/>	x <input type="text"/>	x <input type="text"/>	= <input type="text"/>

**4) Estimate production cost during dry season**

Dry season crop	Production Cost (US\$/ha)	Cropped Area in Development Area (ha)	Production Cost (Pdc) (US\$)
i) <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	= <input style="width:80%;" type="text"/>
i) <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	= <input style="width:80%;" type="text"/>
<b>5) Estimate total net benefit without sub-project</b>			$Bro1+Bro2+Bdo1+Bdo2-Prcl-Prcl2-Pdc1-Pdc2=$ <input style="width:150px; height:25px;" type="text"/> (I)
<b>(b) With sub-project condition (after project implementation)</b>			
<b>1) Estimate benefit during rainy season</b>			
Rainy season crop	Average Yield (kg/ha)	Average Price (US\$/kg)	Development area (ha)
i) <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>
ii) <input style="width:80%;" type="text"/>	<input style="width:80%;" type="text"/>	<input style="width:80%;" type="text"/>	<input style="width:80%;" type="text"/>
<b>2) Estimate benefit during dry season</b>			
Dry season crop under irrigation	Average Yield (kg/ha)	Average Price (US\$/kg)	Irrigable Area in Dry Season (ha)
i) <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>
ii) <input style="width:80%;" type="text"/>	<input style="width:80%;" type="text"/>	<input style="width:80%;" type="text"/>	<input style="width:80%;" type="text"/>
<b>3) Estimate production cost during rainy season</b>			
Rainy season crop	Production Cost (US\$/ha)	Cropped Area in Development Area (ha)	Production Cost (Prc) (US\$)
i) <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	= <input style="width:80%;" type="text"/>
i) <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	= <input style="width:80%;" type="text"/>
<b>4) Estimate production cost during dry season</b>			
Dry season crop	Production Cost (US\$/ha)	Cropped Area in Development Area (ha)	Production Cost (Pdc) (US\$)
i) <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	= <input style="width:80%;" type="text"/>
i) <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	x <input style="width:80%;" type="text"/>	= <input style="width:80%;" type="text"/>
<b>5) Estimate total net benefit with sub-project</b>			$Brw1+Brw2+Bdw1+Bdw2-Prcl-Prcl2-Pdc1-Pdc2=$ <input style="width:150px; height:25px;" type="text"/> (II)
<b>(c) Obtain incremental agricultural benefit</b>			Incremental agricultural benefit ((II) – (I)) <input style="width:150px; height:25px;" type="text"/>

**【Output】**

Economic benefit estimated by the incremental benefit between the future with and without sub-project conditions

**4.13.3 Evaluation Method**

Sub-project evaluation is carried out by net present value (NPV), B/C and Economic Internal Rate of Return (EIRR)

**【Explanation】**

Sub-project evaluation is conducted in two ways; economic evaluation and financial evaluation. In case of the preliminary feasibility study for the small-scale irrigation project, it is deemed that economic evaluation only is enough. In economic evaluation, price contingency is not taken into consideration.

- (a) Economic Cost**  
Economic cost for the project is estimated by multiplying financial cost by SCF as explained in Clause 4.13.1.
- (b) Economic Benefit**  
Economic benefit for the project is estimated as the increment in Net Production Value (NPV) between

the future with and without project conditions as explained in Clause 4.13.2.

**(c) Cash Flow of Economic Cost and Benefit**

Cash flow is prepared by allocated economic cost and O&M cost based on disbursement schedule and also economic benefit after completion of the project as shown in the following sample table.

**Table 4.13.3 Sample Table for Cash Flow** (Unit: US\$1,000)

Item	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024
Economic cost	347	1,021	574	879	4,363	6,166	811	385	-	-	-	-
O&M cost	-	-	-	-	2	20	46	47	48	48	48	48
Economic benefit	-	-	-	-	-	483	1,088	1,795	2,179	2,321	2,354	2,354

Source: Economic evaluation for USIRRRSP

**(d) Economic Evaluation**

Based on the above cash flow of economic cost and benefit, the economic evaluation is made in terms of NPV, B/C ratio and EIRR of the proposed rehabilitation plan. In case of NPV and B/C calculation, a discount rate is assumed, say 10 % to 12%. EIRR calculation is easily made using excel sheet in computer. Sample calculation results are shown below:

**Table 4.13.4 Sample Table of Economic Evaluation**

Evaluation Item	Evaluation Results		
NPV at 12% discount rate	NPV of benefit (US\$)	9,245,698	NPV (US\$) 689,876
	NPV of cost (US\$)	8,555,822	
B/C ratio and EIRR	B/C ratio	1.08	EIRR (%): 12.9

Source: Economic evaluation for USIRRRSP

**【Output】**

Justified sub-project by economic evaluation

**4.13.4 Indirect Benefit**

As project may generate the indirect benefit which could not be expressed by economic evaluation, it should be clarified for further justify the appropriateness of sub-project implementation

**【Explanation】**

As a typical case of indirect benefits generated through implementation of the proposed rehabilitation works, temporary employment opportunities will be generated in and around the project area. This will bring the extra cash income for farmers concerned. In addition, some socio-economic impacts might be created by the proposed sub-project:

- To enable them to improve nutritionally balanced diet and primary health care conditions of their family members;
- To ensure their children complete primary schooling, access higher education and participate in the early childhood education program; and;
- To enable them to buy goods and services as well as luxuries for meeting families' needs contributing to rural economy with positive effects.

**【Output】**

Clarification of positive impacts created by project implementation

**4.14 Social and Environmental Consideration**

Initial Environmental Impact Assessment (IEIA) is required for irrigation development even if the development area is less than 5,000 ha.

**【Explanation】**

“The Sub-Decree on Environmental Impact Assessment Process” explains that EIA or IEIA is required for irrigation development with more than 5,000 ha prior to the implementation. However, even if the development area is less than 5,000 ha and the project aims at

rehabilitation of existing facilities, IEIA is required subject to the instruction by MOE.

In IEIA, the Project Owner (PO), say MOWRAM should execute the environmental scoping using the impact matrix. As a sample, the impact matrix which was conducted for USISRSP is shown in Table 4.14.1, although the likely impact items should be modified based on the scope of the project:

**Table 4.14.1 Sample of Environmental Scoping**

No.	Likely Impact	Project-related Activities				
		Overall Rating	Planning / Design Phase	Construction Phase	Operation Phase	
Pollution Control	1	Air pollution	B-	-	B-	-
	2	Water pollution	B-	-	B-	B-
	3	Soil contamination	-	-	-	-
	4	Waste	B-	-	B-	-
	5	Noise and vibration	B-	-	B-	-
	6	Ground subsidence	-	-	-	-
	7	Offensive odor	-	-	-	-
	8	Bottom sediment	-	-	-	-
	9	Disaster	-	-	-	-
Natural Environment	10	Topography and geographical features	-	-	-	-
	11	Soil erosion	B-	-	-	B-
	12	Groundwater	-	-	-	-
	13	Hydrological situation	C-	-	-	C-
	14	Coastal zone	-	-	-	-
	15	Flora, fauna and biodiversity	B-	-	-	B-
	16	Meteorology	-	-	-	-
	17	Landscape	-	-	-	-
	18	Global warming	-	-	-	-
Social Environment	19	Involuntary resettlement	-	-	-	-
	20	Local economy such as employment and livelihood, etc.	B-/A+	A-	B+	A+
	21	Land use and utilization of local resources	B-/B+	-	-	B-/B+
	22	Social institutions (including regional severance)	-	-	-	-
	23	Existing social infrastructures and services	B-	-	B-	B-
	24	Socially vulnerable groups such as the poor, indigenous and ethnic people (including gender matter)	B+	-	-	B+
	25	Misdistribution of benefit and damage	B-	B-	-	-
	26	Historical and cultural heritage (including religious matters)	-	-	-	-
	27	Water usage or water rights and rights of common	A+	-	-	A+
	28	Local conflict of interests	B-	-	B-	B-
	29	Sanitation	B-	-	B-	-
	30	Hazardous (risk) infectious diseases such as HIV/AIDS	B-	-	B-	-
	31	Accident	B-	-	B-	-

Source: JICA Survey Team

<Rating>

A-: Serious impact is expected, if any measure is not implemented to the impact.

B-: Some impact is expected, if any measure is not implemented to the impact.

C-: Extent of impact is unknown (Examination is needed. Impact may become clear as study progresses.)

-: No impact is expected.

A+: Remarkable effect is expected due to the project implementation itself and environmental improvement caused by the project.

B+: Some effect is expected due to the project implementation itself and environmental improvement caused by the project.

Overall rating: Highest rate will be the overall rating among the rating of relevant project-related activities for negative and positive ratings, respectively. (e.g. Even only one "A-" is included in an environmental item, overall rating of the environmental item becomes "A-".)

The sample check list of environmental scoping is shown in Attachment-7.

## **【Output】**

Clarified environmental impact by sub-project implementation

#### 4.14.1 Sub-project Impact and Mitigation Measures

Mitigation measures should be proposed to cope with sub-project impact.

##### **【Explanation】**

From the results of environmental scoping, sub-project impact is clarified. Thus, it is necessary to propose the mitigation measures to settle the project impact. According to the experiences in planning the irrigation projects in Cambodia, major mitigation measures imposed to the irrigation project (rehabilitation project) are as follows:

- To conduct detailed socio-economic survey of affected people during early stage of project preparation to identify all losses from land adjustment
- To establish joint committee as decision making body to implement land adjustment process, consisting of executing agencies, related agencies and local authorities.
- To conduct stakeholder meetings with local people including affected people by the project
- To decide compensation policy among joint committee and affected people
- To prepare adequate and realistic schedule of land adjustment through joint committee and inform affected people early
- To educate construction workers for adequate traffic rule of construction vehicles
- To limit construction time. e.g. at daytime only
- To conduct stakeholder meetings to obtain consensus about the construction time with surrounding people.
- To design and re-construct canal crossing to minimize negative impact as much as possible
- To enact the local rules to construct new canal crossings by themselves
- To enact the local rules to stipulate environmental consideration measures
- To conduct stakeholder meetings with local people including affected people on project contents

##### **【Output】**

Clarified environmental impact by project implementation and proposed mitigation measures

#### 4.14.2 Conclusion of Examination

Countermeasures which the Project Owner should take are proposed as conclusion of examination.

##### **【Explanation】**

In IEIA, environmental scoping is executed to clarify the project impact, and then necessary mitigation measures are proposed. On the basis of the results of a series of such environmental examination, conclusions are deduced. The conclusions relate the important matters on sub-project implementation and what the Project Owner takes, from environmental viewpoints.

##### **【Output】**

Environmentally clarified necessary matters to be taken in connection with sub-project implementation

#### 4.15 Conclusion and Recommendations

The results of study should be concluded from technical and economical viewpoints and important issues to be taken on project implementation should be mentioned as recommendations.

**【Explanation】**

In conclusion, the justification of the sub-project implementation which is obtained through the preliminary feasibility study should be concisely mentioned. Especially it is to be stressed that the sub- project is technically viable and economically sound as the results of study.

In recommendations, crucial matters for smooth implementation of the sub-project should be briefly written down. Generally, budget and staff arrangement and land acquisition are taken as urgent activities in recommendations.

**【Output】**

Precisely summarized conclusion and recommendations toward sub-project implementation

**4.16 Report Preparation**

The report should be concisely prepared so that the readers easily understand the contents and need of project.
---

**【Explanation】**

The report for the preliminary feasibility study is generally composed of summary, main text and annexes. In case of small-scale irrigation project, summary and main text with drawings only might be enough. Major contents of main text are background, the sub-project area and the sub-project. In the background, the reasons why the project is taken up should be mentioned. The sub-project area presents the natural and socio-economic conditions in and around the sub-project area. The sub-project clarifies the development plan, the sub-project justification, the required cost, the expected benefit and the results of sub-project evaluation. Anyhow, the report should be prepared in logical way, so that the readers could easily understand the need of sub-project implementation.

**【Output】**

Substantial report to convince the readers

## CHAPTER 5 EXAMINATION ON PRELIMINARY FEASIBILITY STUDY

### 5.1 Purpose

The preliminary feasibility study executed for small-scale irrigation project should be examined whether it is carried out in a proper manner or not.

#### **【Explanation】**

PDOWRAM prepared proposal for 84 sub-projects under request of MOWRAM. This proposal presents the information on the sub-project site, background of the sub-project, outline of the sub-project, sub-project cost, sub-project benefit and EIRR. However, it became clear through site inspection and discussion with PDOWRAM that it was difficult to grasp not only actual situations but also development plan on the proposed sub-project from this proposal only because of so rough investigation, study and description. Out of 84 sub-projects, 3 sub-projects are short-listed through screening in this preparatory survey. For the remaining 81 sub-projects, further study, say preliminary feasibility study will be necessary for justification of implementation in the near future. This manual is prepared accordingly. On the other hand, it is also necessary to work out a method to examine the preliminary feasibility study executed. This chapter presents how to examine and manage the preliminary feasibility study executed toward implementation.

#### **【Output】**

Preparation of method to examine the results of preliminary feasibility study for small-scale irrigation project

### 5.2 Examination Method

Examination of preliminary feasibility study executed aims at whether the preliminary feasibility study is executed in proper way or not.

#### **【Explanation】**

In the preliminary feasibility study for the small-scale irrigation project, the sub-project should be justified from the following viewpoints:

- Is the sub-project is technically viable?
- Is the sub-project is economically sound?
- Is the sub-project is environmentally friendly?

In other words, the preliminary feasibility study executed should satisfy these three viewpoints mentioned above. Thus, the preliminary feasibility study executed should be examined using the checklist prepared from these viewpoints.

#### **【Output】**

Establishment of efficient examination method

#### 5.2.1 Examination from Technical Viewpoints

The proposed development/rehabilitation plan including project facilities should be prepared in technically proper way.

#### **【Explanation】**

Even in the small-scale irrigation project, lots of technical items to be studied are included. These



items should be examined whether appropriate technical approach is taken or not. The major technical check items are listed as follows:

**Table 5.2.1 Checklist of Major Technical Items**

Check Items	Check
<b>Basic Concept</b>	
Is the purpose of irrigation development clarified?	<input type="checkbox"/>
Is the purpose of irrigation development coincided with government policy?	<input type="checkbox"/>
<b>Land Use</b>	
Is it compatible with other similar irrigation development plan?	<input type="checkbox"/>
<b>Agriculture</b>	
Is the data on present land use, cropping pattern, crop production collected?	<input type="checkbox"/>
Are the present farming practices investigated?	<input type="checkbox"/>
Is the data of crop prices and farm input collected?	<input type="checkbox"/>
Are the data and information of farmers' intension to farming practice collected?	<input type="checkbox"/>
Are the proposed crops properly studied?	<input type="checkbox"/>
<b>Yields and Products</b>	
Are the target crop yields determined properly?	<input type="checkbox"/>
Is the reasonable period to attain the target crop yields taken?	<input type="checkbox"/>
<b>Hydrology and Meteorology</b>	
Is enough rainfall data collected?	<input type="checkbox"/>
Is enough river discharge data collected?	<input type="checkbox"/>
Is enough meteorological data (temperature, humidity, wind velocity, sunshine, evaporation) collected?	<input type="checkbox"/>
Is enough river water level data ( high water and low water) collected?	<input type="checkbox"/>
Are flood marks investigated by observing and hearing with inhabitants at site?	<input type="checkbox"/>
<b>Water Requirement</b>	
Is the soil condition in the sub-project area examined?	<input type="checkbox"/>
Is the percolation rate checked with that for neighboring sub-projects?	<input type="checkbox"/>
Is the water requirement estimated based on proposed cropping patterns?	<input type="checkbox"/>
Is the applied crop coefficient appropriate?	<input type="checkbox"/>
Is the water requirement for land preparation suitable?	<input type="checkbox"/>
Is the effective rainfall considered?	<input type="checkbox"/>
Are the irrigation efficiencies considered in water requirement?	<input type="checkbox"/>
Is the drainage water requirement estimated?	<input type="checkbox"/>
<b>Water Resource</b>	
Is water source for the sub-project confirmed?	<input type="checkbox"/>
Is the reservoir capacity estimated?	<input type="checkbox"/>
Is the high water analysis conducted?	<input type="checkbox"/>
Is the low water analysis executed?	<input type="checkbox"/>
Is the probability analysis on high water conducted?	<input type="checkbox"/>
Is the probability analysis on low water carried out?	<input type="checkbox"/>
<b>Water Balance Study</b>	
Is the water balance study among water source and water demand executed?	<input type="checkbox"/>
Is the irrigable area determined (80% dependability)?	<input type="checkbox"/>
<b>Preliminary Design</b>	

Check Items	Check
Is the design discharge determined?	<input type="checkbox"/>
Is the canal layout plan prepared?	<input type="checkbox"/>
Is topographic survey for major canals conducted?	<input type="checkbox"/>
Is the preliminary design for major facilities carried out based on survey results?	<input type="checkbox"/>
Is the work volume calculated based on preliminary design?	<input type="checkbox"/>
Are the unit prices prepared properly?	<input type="checkbox"/>
Is the project cost consisting of direct construction cost, administration cost, consulting service cost, software component cost, land acquisition cost, physical contingency and price contingency estimated?	<input type="checkbox"/>
Is the physical contingency estimated properly?	<input type="checkbox"/>
Is the implementation schedule prepared considering enough time for detailed design, contractor selection and construction?	<input type="checkbox"/>

Source: JICA Survey Team

**【Output】**

Satisfactory preliminary feasibility study from technical viewpoint

**5.2.2 Examination from Economic Viewpoints**

The project should be endorsed by appropriate economic evaluation.

**【Explanation】**

Economic evaluation is one of key factors to prove the appropriateness of project. Thus, the economic evaluation should be made in logically proper way. In order to avoid the insufficient economic evaluation, the following checklist for major economic items is proposed:

**Table 5.2.2 Checklist of Major Economic Items**

Check Items	Check
Disbursement Schedule	
Is the disbursement schedule prepared based on implementation schedule?	<input type="checkbox"/>
Economic Cost	
Is the economic cost converted from the financial cost using SCF?	<input type="checkbox"/>
Is the O&M cost estimated suitably?	<input type="checkbox"/>
Is the replacement cost considered based on economic life time?	<input type="checkbox"/>
Economic Benefit	
Is the economic benefit converted from financial benefit?	<input type="checkbox"/>
Is the incremental benefit between "with sub-project" and "without sub-project" calculated properly?	<input type="checkbox"/>
Is the production cost reasonable?	<input type="checkbox"/>
Economic Evaluation	
Is the cash flow of economic cost and economic benefit prepared properly?	<input type="checkbox"/>
Is the economic evaluation made for NPV, B/C and EIRR?	<input type="checkbox"/>
Is the discount rate for NPV and B/C reasonable?	<input type="checkbox"/>
Indirect Benefit	
Is the indirect benefit mentioned clearly?	<input type="checkbox"/>

Source: JICA Survey Team

**【Output】**

Satisfactory preliminary feasibility study from economic viewpoint

### 5.2.3 Examination from Environmental Viewpoints

The project is regarded as public work, so that it should be environmentally friendly for stakeholders.

#### 【Explanation】

The implementation of the sub-project would produce the positive impacts and the negative impacts for environmental condition. Thus, it is essential to clarify them prior to implementation of the sub-project, to consider the suitable mitigation measures in advance. The following table shows the environmental checklist for major items which the sub-project owner should consider:

**Table 5.2.3 Checklist of Major Environmental Items**

	Likely Impact	Project-related Activities		
		Planning / Design Phase	Construction Phase	Operation Phase
Pollution Control	1	Air pollution		
	2	Water pollution		
	3	Soil contamination		
	4	Waste		
	5	Noise and vibration		
	6	Ground subsidence		
	7	Offensive odor		
	8	Bottom sediment		
	9	Disaster		
Natural Environment	10	Topography and geographical features		
	11	Soil erosion		
	12	Groundwater		
	13	Hydrological situation		
	14	Coastal zone		
	15	Flora, fauna and biodiversity		
	16	Meteorology		
	17	Landscape		
Social Environment	18	Global warming		
	19	Involuntary resettlement		
	20	Local economy such as employment and livelihood, etc.		
	21	Land use and utilization of local resources		
	22	Social institutions (including regional severance)		
	23	Existing social infrastructures and services		
	24	Socially vulnerable groups such as the poor, indigenous and ethnic people (including gender matter)		
	25	Misdistribution of benefit and damage		
	26	Historical and cultural heritage (including religious matters)		
	27	Water usage or water rights and rights of common		
	28	Local conflict of interests		
	29	Sanitation		
	30	Hazardous (risk) infectious diseases such as HIV/AIDS		
	31	Accident		

Source: JICA Survey Team

**【Output】**

Satisfactory preliminary feasibility study from environmental viewpoint

**5.3 Procedure toward Implementation**

The sub-projects which the preliminary feasibility study was conducted should be prioritized toward implementation.

**【Explanation】**

It is expected that respective PDOWRAMs will carry out the preliminary feasibility study for the remaining 81 sub-projects at least based on this manual. After completion of the preliminary feasibility study, the PDOWRAMs will submit the project proposal accompanied with the preliminary feasibility study report to MOWRAM. MOWRAM will scrutinize and prioritize the submitted project proposals accompanied with the preliminary feasibility study report from the following points:

- Degree of completeness of preliminary feasibility study
- Consistency with government policy
- Degree of maturity of sub-project for implementation
- Results of sub-project evaluation

Based on the results of prioritization, MOWRAM will select the highly prioritized sub-projects to be implemented in the light of available financial source including donor's assistance and will submit the application to MEF.

**【Output】**

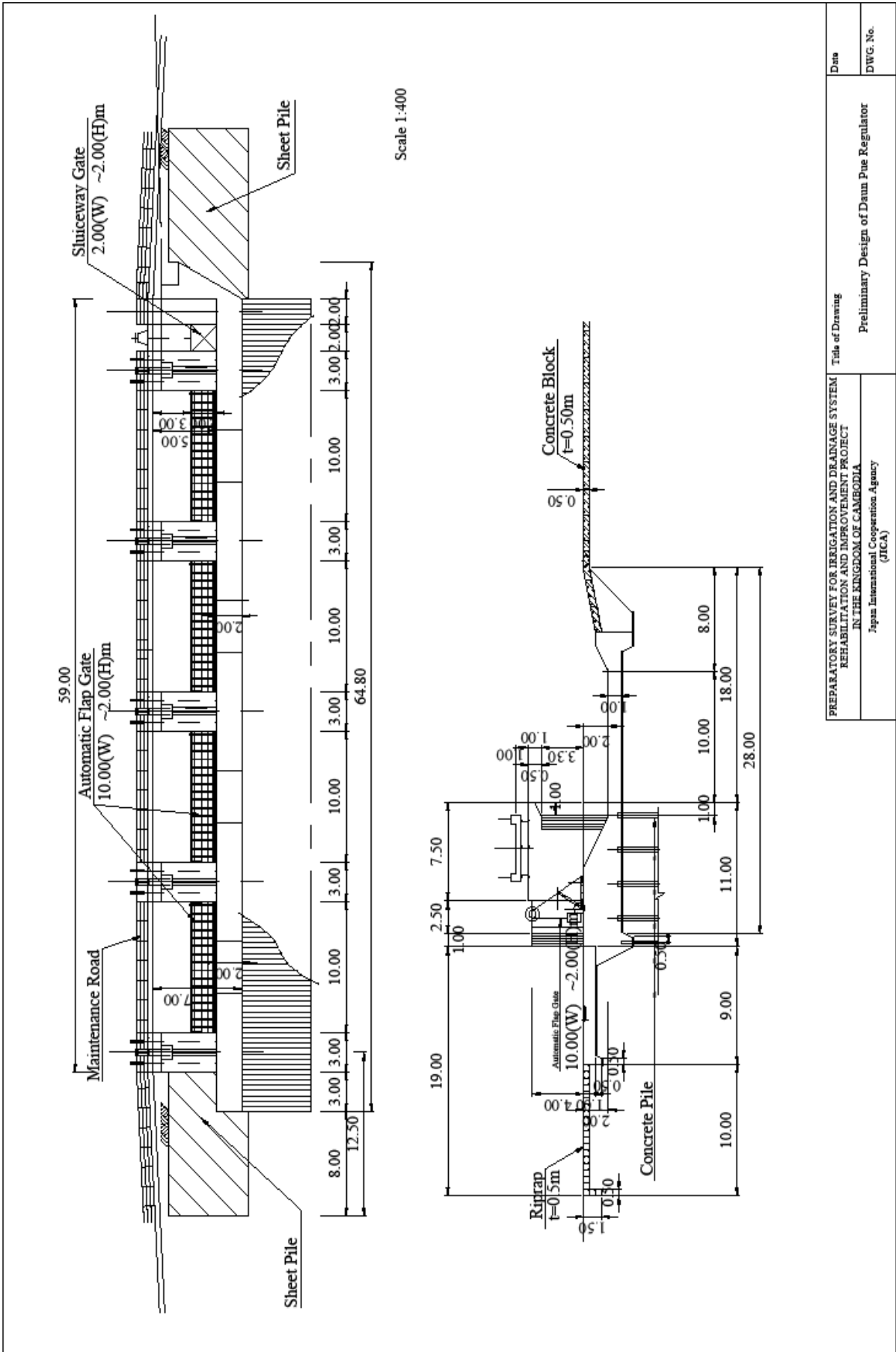
Smooth implementation of prioritized sub-projects

***Attachment-1***  
*Form of Inventory Survey*



## ***Attachment-2***

*Sample of Preliminary Design for Headworks*



Scale 1:400

PREPARATORY SURVEY FOR IRRIGATION AND DRAINAGE SYSTEM REHABILITATION AND IMPROVEMENT PROJECT IN THE KINGDOM OF CAMBODIA Japan International Cooperation Agency (JICA)	Title of Drawing Preliminary Design of Daun Pae Regulator	Date
		DWG. No.



## ***Attachment-3***

*Memorandum of Understanding between  
The Ministry of Water Resources and Meteorology,  
The Municipality of Sianouk Ville,  
The Farmer Water Users Community of Pre Nub Polders  
On the Sharing of Responsibility over  
Prey Nup Polders Management*

**KINGDOM OF CAMBODIA**

**NATION RELIGION KING**

# Memorandum Of Understanding

Between

- The Ministry of Water Resources and Meteorology
  
- The Municipality of Sihanouk Ville
  
- The Farmer Water Users Community of Prey Nup  
Polders

On the

# Sharing of Responsibility over Prey Nup Polders Management

## Considering:

- Circular Number 1 of the Royal Government of Cambodia, dated January 1999 on the implementation policy for sustainable irrigation systems;
- Prakas 306 of MOWRAM, dated 20 July 2000 on the official use of principal documents on the creation and development of Farmer Water Users Community;
- Prakas 410 of MOWRAM, dated October 2000 on approving the Statutes of Prey Nup Polders Farmer Water Users Community;
- Municipal “Deyka” DK043, dated of March 2003 on the Creation and Regulation of a Sub-Steering Committee of Prey Nup Polders Rehabilitation Project;
- The Statutes of Prey Nup Polders Farmer Water Users Community
- The conclusion of the field mission report of Polders Prey Nup monitoring commission of the MOWRAM, dated January 2007;
- The conclusion of the field mission report of Polders Prey Nup monitoring commission of the MOWRAM, dated February 2007.

## This MOU Implement by

The Ministry of Water Resources and Meteorology of the Royal Government of Cambodia, represented by His Excellency **Lim Kean Hor**, Minister, hereafter referred to as “MOWRAM”

## And

The Municipality of Kampong Som, represented by His Excellency **Say Hak**, Governor hereafter referred to as “The Municipality of Kampong Som”

## And

The Prey Nup Polders Farmer Water Users Community, represented by Mr. **Yim Boy**, Chairman of the Board, hereafter referred to as “FWUC”

**It is agreed what follows.**

### **Article 1- Object**

1. The present Memorandum of Understanding (MOU) aims at defining in details the conditions of Shared Management of Prey Nup Polders,
2. This MOU details the responsibilities of all parties above.

### **Article 2- Responsibilities of FWUC**

#### **2.1- Principles**

- 1- The FWUC declares having sufficient knowledge of concerned infrastructures, equipment and their Management Guidelines to fulfill the following responsibilities.
- 2- The FWUC undertakes to decide and implement all actions aiming at sustainable management of the scheme in the framework of its responsibilities.
- 3- The FWUC undertakes to facilitate the implementation of the other parties' responsibilities.

#### **2.2- Responsibility on Operation and Maintenance**

The FWUC undertakes to ensure proper Operation and Maintenance of the following infrastructures (as described in details in annex 1):

1. Intermediary dykes.
2. Canals located in polders area.
3. Structures (except their rehabilitation/reconstruction whenever the top of the valve is below highest tide level).
4. Protect maintain and take care of boat ladders on all dykes and ensure that the boat dragging to pass the dykes has to follow these boats ladders.

#### **2.3- Responsibilities on Financial and Administrative Management**

1. Ensure ISF collection (with support from local authorities).
2. Implement all necessary measures and actions to ensure efficient, democratic and transparent functioning of FWUC as well as clear and accountable relationships with its members.
3. Actively cooperate with the Administration (MOWRAM and Local Authorities), mainly in the framework of the Sub-Steering Committee, to implement the Management Guidelines and Rules and Regulations of Prey Nup Polders.

## **Article 3- Responsibilities of MOWRAM**

### **3.1- Principles**

1. MOWRAM certifies that infrastructures and equipments that have been rehabilitated under its responsibility are performing correctly and that MOWRAM will undertake any necessary legal action against companies in charge of the rehabilitation or the controller of works in case of defects or problems linked to construction. This is particularly relevant to actions in the framework of the guarantee applicable to all works that have been implemented.
2. MOWRAM undertakes to plan and implement all necessary action for smooth implementation of the present MOU.

### **3.2- Responsibility on Operation and Maintenance**

1. Decide, finance and execute or order to the institution under its instruction (department of water resources and meteorology) to execute under its responsibility for all activities necessary to the **Operation and Maintenance of primary dyke** (except primary hydraulic structures which are of the responsibility of FWUC).
2. Decide, finance and execute (or order to the institution under its instruction to execute under its responsibility), after consultation with FWUC, **reconstruction and/or rehabilitation of primary hydraulic structures (main dykes and structures)**, whenever the top of its level is below of 30 cm lower than the level that defined in the construction plan.
3. Decide, finance and execute on the **Operation and Maintenance of canals and preks located downstream the main dyke**.
4. Decide, finance and execute (or order to the institution under its instruction to execute under its responsibility) all activities necessary to the **reconstruction or rehabilitation of all infrastructures in case of natural disaster**.
5. Inspect, at least once in a year, the state of primary dyke and structures, and finance and execute (or order to the institution under its instruction to execute under its responsibility) a topographic survey and topographic profile of all primary dykes and structures
6. Send to FWUC for its consideration and comments the annual maintenance plan of infrastructure it is responsible for,.

### **3.3- FWUC activities supervision**

1. MOWRAM undertakes to supervise all activities of FWUC through report of activities and financial report prepared and delivered by FWUC on a yearly basis, as well as financial audit report, infrastructure visits and all means, respecting statutory autonomy of FWUC.

### **3.4- Support to FWUC activities**

1. Supply support services as requested by FWUC, concerning mainly technical management of infrastructures, financial management and training of Staff that proposed by FWUC or with the identification that it is the necessary need of FWUC (in the framework of its PIMD policy implementation program).
2. Express its opinion on Water Management Plans produced and implemented by FWUC through participation of Municipal Department of Water Resources and Meteorology in Polder Assembly.
3. Study and decide on the feasibility of works causing new construction or modifications of existing infrastructures submitted by FWUC.
4. Provide to FWUC free access and use of topographic survey landmarks located on main and secondary dykes.

### **3.5- Consultation and representation**

1. MOWRAM undertakes to facilitate coordination of interventions among all public institutions directly or indirectly involved in the management of the polders.
2. In the framework of its participation in the Sub-Steering Committee and to Polder Assemblies, the Municipal Department of Water Resources and Meteorology provides, on behalf of MOWRAM, all opinions required by FWUC on Water Management Plans, Budget and on the basis for calculation and amount of ISF.

## **Article 4- Responsibilities of Municipality of Sihanouk Ville**

### **4.1. Principle**

1. The Municipality of Sihanouk Ville undertakes to facilitate smooth implementation of this Memorandum of understanding and to promote sustainable management of Prey Nup Polders.

#### **4.2- Responsibilities**

In this condition, the municipality of Sihanouk Ville undertakes to:

1. Supervise the implementation of Sub-Steering Committee;
2. Organize and support any necessary cooperation and consultation between FWUC and Public Authorities;
3. In liaison with the Commune Councils, make use of its role, its duty and its authority and all means of communication available to enforce the implementation of this MOU and all the documents it refers to (especially Management Guidelines);
4. After consultation with MOWRAM and FWUC, or upon their request, publish any necessary Municipal standard letters to ensure sustainable management of Prey Nup Polders.

#### **Article 5 – Property**

1. Primary and Secondary Infrastructures described in annex 1 **remain property of the State.**
2. FWUC is and remain owner of all goods acquired or received in the course of the Prey Nup Polder Rehabilitation Project. This applies to constructions, tools and equipments given to FWUC for management of the infrastructure it is responsible for.

#### **Article 6- Monitoring and Evaluation**

1. A procedure of Monitoring and Evaluation of this MOU will be established and agreed by the three parties.
2. This procedure will approve by MOWRAM after approval of the three parties.

#### **Article 7- Conflict resolution**

1. All parties have to coordinate with highest possibility depend on the Management Guidelines sharing of responsibility as mention in the article above.
2. The municipality of Sihanouk Ville and the MOWRAM is the two parties that will negotiate for solving the problem exist during the work implementation of FWUC.

**Article 8- Validity and End of the MOU**

- (1) The validity of the present MOU is for an undetermined period.
- (2) The parties will agree on the possibility to write and sign any appendix to the present MOU to adapt its content to the evolution of the situation.
- (3) The denunciation of this MOU by one of the parties will not end its validity.
- (4) When this MOU is ended, the entire responsibility over management of the polders will be given to MOWRAM.

Chairman  
of FWUC of Prey  
Nup

Governor  
of the Municipality of  
Sihanouk Ville

Minister  
of Water Resources and  
Meteorology



## ***Attachment-4***

*Base Cost for Labor, Materials and Equipment*

## Base Costs for Labor, Materials and Equipment (as of August 2011)

**Table AT-4.1 Base Cost of Labor Wages**

No.	Item	Unit	Price (US\$)	Remarks
L-1	Common labor	MD	4.50	
L-2	Skilled labor	MD	10.00	
L-3	Foreman	MD	12.50	
L-4	Heavy equipment operator	MD	14.00	
L-5	Light equipment operator	MD	9.00	
L-6	Dump truck driver	MD	6.50	
L-7	Assistant operator	MD	8.00	
L-8	Welder	MD	8.00	
L-9	Electrician	MD	12.00	
L-10	Mechanic	MD	12.00	
L-11	Carpenter	MD	12.00	
L-12	Mason	MD	8.00	
L-13	Concrete worker	MD	5.00	
L-14	Steel worker	MD	5.00	
L-15	Painter	MD	6.00	
L-16	Pipe worker	MD	7.00	
L-17	Junior engineer (5 year experience)	MM	900.00	
L-18	Senior engineer (15 year experience)	MM	1,740.00	
L-19	Surveyor	MM	750.00	
L-20	Assistant surveyor	MM	470.00	
L-21	CAD-operator	MM	630.00	
L-22	Accountant	MM	630.00	
L-23	Office clerk	MM	500.00	
L-24	Secretary	MM	300.00	
L-25	Typist	MM	240.00	

Source: JICA Survey Team

**Table AT-4.2 Base Cost of Labor Wages**

No.	Item	Unit	Price (US\$)	Remarks
L-1	Common labor	MD	4.50	
L-2	Skilled labor	MD	10.00	
L-3	Foreman	MD	12.50	
L-4	Heavy equipment operator	MD	14.00	
L-5	Light equipment operator	MD	9.00	
L-6	Dump truck driver	MD	6.50	
L-7	Assistant operator	MD	8.00	
L-8	Welder	MD	8.00	
L-9	Electrician	MD	12.00	
L-10	Mechanic	MD	12.00	
L-11	Carpenter	MD	12.00	
L-12	Mason	MD	8.00	
L-13	Concrete worker	MD	5.00	
L-14	Steel worker	MD	5.00	
L-15	Painter	MD	6.00	
L-16	Pipe worker	MD	7.00	
L-17	Junior engineer (5 year experience)	MM	900.00	
L-18	Senior engineer (15 year experience)	MM	1,740.00	
L-19	Surveyor	MM	750.00	
L-20	Assistant surveyor	MM	470.00	
L-21	CAD-operator	MM	630.00	
L-22	Accountant	MM	630.00	
L-23	Office clerk	MM	500.00	

No.	Item	Unit	Price (US\$)	Remarks
L-24	Secretary	MM	300.00	
L-25	Typist	MM	240.00	

Source: JICA Survey Team

**Table AT-4.3 Base Cost of Construction Materials**

No.	Item	Unit	Price (US\$)	Remarks
M-1	Ordinary portland cement	kg	0.10	for 1,000 ton
M-2	Fine aggregate (sand) for concrete	m <sup>3</sup>	10.97	
M-3	Coarse aggregate	m <sup>3</sup>	21.42	
M-4	Bolder(300-500 mm) / Crushed stone	m <sup>3</sup>	16.20	
M-5	Sand	m <sup>3</sup>	8.78	
M-6	Gravel / Crushed stone	m <sup>3</sup>	17.14	
M-7	Reinforcement bar (deformed)	kg	0.82	for 100 ton
M-8	Reinforcement bar (round)	kg	0.85	
M-9	Iron wire	kg	0.85	
M-10	Timber	m <sup>3</sup>	520.00	
M-11	Reinforced concrete pipe, Dia 600 mm	m	20.85	
M-12	Reinforced concrete pipe, Dia 800 mm	m	35.76	
M-13	Reinforced concrete pipe, Dia 1,000 mm	m	51.05	
M-14	Concrete pile, 0.4 m × 0.4 m	m	98.04	Rectangular shape
M-15	Steel slide gate, 2.0 m × 2.0 m	unit	2,490.00	
M-16	Steel slide gate, 1.5 m × 1.5 m	unit	1,780.00	
M-17	Steel slide gate, 1.0 m × 1.0 m	unit	1,350.00	
M-18	Steel slide gate, 0.8 m × 0.8 m	unit	1,090.00	
M-19	Steel slide gate, 0.6 m × 0.6 m	unit	850.00	
M-20	Gasoline	liter	1.30	
M-21	Diesel oil	liter	1.10	
M-22	Light oil	liter	2.33	
M-23	Grass	m <sup>2</sup>	2.00	

Source: JICA Survey Team

**Table AT-4.4 Base Cost of Equipment**

No.	Item	Unit	Price (US\$)	Remarks
E-1	Bulldozer 21 ton	MD	150.00	
E-2	Bulldozer 15 ton	MD	120.00	
E-3	Backhoe 0.6 m <sup>3</sup>	MD	133.00	
E-4	Wheel loader 2.3 m <sup>3</sup>	MD	250.00	
E-5	Wheel loader 1.0 m <sup>3</sup>	MD	180.00	
E-6	Tire roller 8 ton	MD	107.00	
E-7	Vibration roller 2.5 ton	MD	75.00	
E-8	Water tanker (5000-6000 liter)	MD	111.00	
E-9	Motor grader 3.1 m	MD	135.00	
E-10	Dump truck 8 ton	MD	85.00	
E-11	Cargo truck 6 ton	MD	70.00	
E-12	Truck crane 20 ton	MD	150.00	
E-13	Truck crane 10 ton	MD	128.00	
E-14	Truck crane 6 ton	MD	90.00	
E-15	Trailer 15 ton	MD	97.00	
E-16	Agitator truck 1.6 m <sup>3</sup>	MD	192.00	
E-17	Concrete mixer 0.2 m <sup>3</sup>	MD	68.00	
E-18	Concrete mixer 0.05 m <sup>3</sup>	MD	200.00	
E-19	Hand guide roller 0.5 ton	MD	33.00	
E-20	Tamper 80kg	MD	30.00	
E-21	Batching plant 0.5 m <sup>3</sup> excluding generator	MD	320.00	
E-22	Dragline or clamshell 0.6 m <sup>3</sup>	MD	70.00	

No.	Item	Unit	Price (US\$)	Remarks
E-23	Chain block 10 ton	MD	20.00	
E-24	Welding machine 70-150 A	MD	99.00	
E-25	Air compressor 8.5 kg/cm <sup>2</sup>	MD	70.00	
E-26	Submergible drain pump dia 2"	MD	25.00	
E-27	Submersible drain pump dia 3"	MD	25.00	
E-28	Diesel generator (50 kVA)	MD	125.00	

Source: JICA Survey Team

**Table AT-4.5 Base Cost of Construction Materials**

No.	Item	Unit	Price (US\$)	Remarks
M-1	Ordinary portland cement	kg	0.10	for 1,000 ton
M-2	Fine aggregate (sand) for concrete	m <sup>3</sup>	10.97	
M-3	Coarse aggregate	m <sup>3</sup>	21.42	
M-4	Bolder(300-500 mm) / Crushed stone	m <sup>3</sup>	16.20	
M-5	Sand	m <sup>3</sup>	8.78	
M-6	Gravel / Crushed stone	m <sup>3</sup>	17.14	
M-7	Reinforcement bar (deformed)	kg	0.82	for 100 ton
M-8	Reinforcement bar (round)	kg	0.85	
M-9	Iron wire	kg	0.85	
M-10	Timber	m <sup>3</sup>	520.00	
M-11	Reinforced concrete pipe, Dia 600 mm	m	20.85	
M-12	Reinforced concrete pipe, Dia 800 mm	m	35.76	
M-13	Reinforced concrete pipe, Dia 1,000 mm	m	51.05	
M-14	Concrete pile, 0.4 m × 0.4 m	m	98.04	Rectangular shape
M-15	Steel slide gate, 2.0 m × 2.0 m	unit	2,490.00	
M-16	Steel slide gate, 1.5 m × 1.5 m	unit	1,780.00	
M-17	Steel slide gate, 1.0 m × 1.0 m	unit	1,350.00	
M-18	Steel slide gate, 0.8 m × 0.8 m	unit	1,090.00	
M-19	Steel slide gate, 0.6 m × 0.6 m	unit	850.00	
M-20	Gasoline	liter	1.30	
M-21	Diesel oil	liter	1.10	
M-22	Light oil	liter	2.33	
M-23	Grass	m <sup>2</sup>	2.00	

Source: JICA Survey Team

**Table AT-4.6 Base Cost of Equipment**

No.	Item	Unit	Price (US\$)	Remarks
E-1	Bulldozer 21 ton	MD	150.00	
E-2	Bulldozer 15 ton	MD	120.00	
E-3	Backhoe 0.6 m <sup>3</sup>	MD	133.00	
E-4	Wheel loader 2.3 m <sup>3</sup>	MD	250.00	
E-5	Wheel loader 1.0 m <sup>3</sup>	MD	180.00	
E-6	Tire roller 8 ton	MD	107.00	
E-7	Vibration roller 2.5 ton	MD	75.00	
E-8	Water tanker (5000-6000 liter)	MD	111.00	
E-9	Motor grader 3.1 m	MD	135.00	
E-10	Dump truck 8 ton	MD	85.00	
E-11	Cargo truck 6 ton	MD	70.00	
E-12	Truck crane 20 ton	MD	150.00	
E-13	Truck crane 10 ton	MD	128.00	
E-14	Truck crane 6 ton	MD	90.00	
E-15	Trailer 15 ton	MD	97.00	
E-16	Agitator truck 1.6 m <sup>3</sup>	MD	192.00	
E-17	Concrete mixer 0.2 m <sup>3</sup>	MD	68.00	
E-18	Concrete mixer 0.05 m <sup>3</sup>	MD	200.00	

No.	Item	Unit	Price (US\$)	Remarks
E-19	Hand guide roller 0.5 ton	MD	33.00	
E-20	Tamper 80kg	MD	30.00	
E-21	Batching plant 0.5 m <sup>3</sup> excluding generator	MD	320.00	
E-22	Dragline or clamshell 0.6 m <sup>3</sup>	MD	70.00	
E-23	Chain block 10 ton	MD	20.00	
E-24	Welding machine 70-150 A	MD	99.00	
E-25	Air compressor 8.5 kg/cm <sup>2</sup>	MD	70.00	
E-26	Submersible drain pump dia 2"	MD	25.00	
E-27	Submersible drain pump dia 3"	MD	25.00	
E-28	Diesel generator (50 kVA)	MD	125.00	

Source: JICA Survey Team

# ***Attachment-5***

*Unit Price for Main Work Items*

## Unit Price for Main Work Items (As of August 2011)

### Table AT-5.1 Unit Price for Main Work Items, Earth Work

No.	Description	Unit	Unit price (US\$)		
			L/C	F/C	Total
EW-01	Clearing and Grubbing	m <sup>2</sup>	0.06	0.19	0.25
EW-02	Stripping of top soil of 0.2 m thickness	m <sup>2</sup>	0.08	0.29	0.37
EW-02-1	Excavation by Bulldozer 21 ton	m <sup>3</sup>	0.27	1.18	1.45
EW-03	Excavation common by Equipment	m <sup>3</sup>	0.42	1.54	1.96
EW-04	Excavation common in water by Equipment	m <sup>3</sup>	1.13	4.31	5.44
EW-05	Excavation, loading and transportation of soil with hauling distance less than 500 m	m <sup>3</sup>	0.53	2.87	3.40
EW-06	Excavation, loading and transportation of soil with hauling distance more than 500 m & less than 5,000 m	m <sup>3</sup>	0.93	4.13	5.06
EW-07	Excavation, loading and transportation of soil with hauling distance more than 5,000 m & less than 10,000 m	m <sup>3</sup>	1.63	6.97	8.60
EW-08	Excavation, loading and transportation of soil with hauling distance more than 10,000 m & less than 15,000 m	m <sup>3</sup>	2.07	8.51	10.58
EW-09	Excavation, loading and transportation of soil with hauling distance more than 15,000 m & less than 20,000 m	m <sup>3</sup>	2.44	10.01	12.45
EW-10	Backfill by manpower by tamper with excavated material	m <sup>3</sup>	3.88	0.25	4.13
EW-10-1	Backfill by manpower with tamper (transported material less than 500 m)	m <sup>3</sup>	4.43	3.26	7.69
EW-10-2	Backfill by manpower with tamper (transported material 500m < L < 5,000 m)	m <sup>3</sup>	4.83	4.58	9.41
EW-11	Backfill by equipment with transported soil material (less than 500 m)	m <sup>3</sup>	1.61	8.72	10.33
EW-12	Backfill by equipment with transported soil material (500m < L < 5,000 m)	m <sup>3</sup>	2.01	10.05	12.06
EW-13	Embankment / Backfill by equipment with excavated material	m <sup>3</sup>	1.26	5.65	6.91
EW-13-1	Embankment by equipment with soil material with transportation (less than 500 m)	m <sup>3</sup>	1.80	8.66	10.46
EW-13-2	Embankment by equipment with soil material with transportation (500 m < L < 5,000 m)	m <sup>3</sup>	2.20	9.99	12.19
EW-13-3	Embankment by Bulldozer with excavated soil	m <sup>3</sup>	0.31	1.25	1.56
EW-14	Soil cement placing	m <sup>3</sup>	3.10	26.65	29.75
EW-15	Sod facing	m <sup>2</sup>	2.70	0.00	2.70
EW-16	Foundation gravel	m <sup>3</sup>	22.09	3.64	25.73
EW-17	Foundation of sand	m <sup>3</sup>	13.05	2.07	15.12
EW-18	Demolishment of small concrete structure without disposal	m <sup>3</sup>	0.60	2.68	3.28
EW-19	Demolishment of concrete structure with disposal	m <sup>3</sup>	7.02	15.11	22.13
EW-20	Riprap placing with transportation	m <sup>3</sup>	19.76	5.70	25.46
EW-21	Sub-base course, well graded sand & gravel of max size 100 mm, hauling from stockpile or r-deposit at any distance	m <sup>3</sup>	14.98	1.58	16.56
EW-22	Sub-base course, common soil of max size 100 mm, hauling from stockpile at any distance	m <sup>3</sup>	8.11	2.35	10.46
EW-23	Base course, well graded sand & gravel of max size 40 mm, hauling from stockpile or river deposit at any distance	m <sup>3</sup>	20.46	7.31	27.77
EW-24	Laterite pavement (t=0.1 m)	m <sup>3</sup>	1.78	7.75	9.53
EW-101	Construction of new tertiary canal (Combined unit price)	ha	69.28	206.11	275.39
EW-102	Rehabilitation of tertiary canal (Combined unit price)	ha	38.82	129.54	168.36
EW-103	Construction of new tertiary system including drainage canal (Combined unit price)	ha	89.79	219.14	308.93

Source: JICA Survey Team

### Table AT-5.2 Unit Price for Main Work Items, Concrete Work

No.	Description	Unit	Unit price (US\$)		
			L/C	F/C	Total
CW-01	Mixing concrete (Reinforced concrete 1:2:4) by concrete plant 0.5 m <sup>3</sup>	m <sup>3</sup>	31.87	44.38	76.25
CW-02	Mixing concrete (Plain concrete 1:3:6) by concrete plant 0.5 m <sup>3</sup>	m <sup>3</sup>	30.75	39.08	69.83

No.	Description	Unit	Unit price (US\$)		
			L/C	F/C	Total
CW-03	Mixing concrete (lean concrete) by concrete plant 0.5 m <sup>3</sup>	m <sup>3</sup>	30.04	35.86	65.90
CW-04	Placing concrete by Chute	m <sup>3</sup>	1.70	0.00	1.70
CW-05	Carrying concrete, L=1,000 m	m <sup>3</sup>	1.41	10.83	12.24
CW-06	Carrying concrete, L=2,000 m	m <sup>3</sup>	2.06	15.82	17.88
CW-07	Mixing concrete by portable concrete mixer 0.25 m <sup>3</sup> for reinforcement concrete (1:2:4)	m <sup>3</sup>	41.37	45.03	86.40
CW-08	Mixing concrete by portable concrete mixer 0.25 m <sup>3</sup> for plain concrete (1:3:6)	m <sup>3</sup>	40.25	39.88	80.13
CW-09	Mixing concrete by portable concrete mixer 0.25 m <sup>3</sup> for plain concrete (lean concrete)	m <sup>3</sup>	39.54	36.75	76.29
CW-10	Reinforcing bar, deformed (Cut and installation)	kg	0.29	0.94	1.23
CW-11	Placement of concrete pipe φ600 mm	m	6.32	38.41	44.73
CW-12	Placement of concrete pipe φ800 mm	m	7.96	48.40	56.36
CW-13	Placement of concrete pipe φ1,000 mm	m	9.61	58.38	67.99
CW-101	Placing concrete (1:2:4) including form, curing & other miscellaneous works using portable mixer	m <sup>3</sup>	65.97	45.03	111.00
CW-102	Placing concrete (1:3:6) including form, curing & other miscellaneous works using portable mixer	m <sup>3</sup>	63.22	39.88	103.10
CW-103	Placing concrete (lean concrete) including form, curing & other miscellaneous works using portable mixer	m <sup>3</sup>	53.33	36.75	90.08

Source: JICA Survey Team

**Table AT-5.3 Unit Price for Structure Works**

No.	Description	Unit	Unit price (US\$)		
			L/C	F/C	Total
<b>For RCHRSP structure works</b>					
CW-301	Spillway (NMC-22)	no	130,321.39	75,036.73	205,358.12
CW-302	Spillway (SMC-18)	no	35,295.20	23,785.81	59,081.01
CW-303	Spillway (SMC-24)	no	68,936.52	38,510.96	107,447.48
CW-304	Spillway (SMC-25)	no	569.56	3,564.60	4,134.16
CW-305	Check structure on main canal, replacement with new	no	40,203.43	51,703.50	91,906.93
CW-306	Check structure on main canal, new	no	37,907.24	46,762.53	84,669.76
CW-307	Turnout, replacement with new	no	1,306.30	2,738.49	4,044.80
CW-308	Turnout, new	no	1,226.68	2,567.14	3,793.82
CW-309	Construction of bridge with demolition of existing bridge	no	15,969.66	20,664.75	36,634.41
CW-310	Construction of new Bridge	no	13,813.23	19,435.24	33,248.47
CW-311	Construction of foot bridge	no	6,629.89	6,701.83	13,331.72
CW-312	Drainage inlet	no	308.08	596.67	904.75

Source: JICA Survey Team

**Table AT-5.4 Unit Price for Miscellaneous Works**

No.	Description	Unit	Unit price (US\$)		
			L/C	F/C	Total
<b>Stone works</b>					
SW-01	Gabion mattress	m <sup>3</sup>	54.52	31.06	85.58
SW-02	Stone masonry with 1:3 cement/sand ratio mortar	m <sup>3</sup>	39.96	19.31	59.26
SW-03	Riprap placing with transported material	m <sup>3</sup>	19.76	5.70	25.47
<b>Mechanical Works</b>					
GW-01	Installation of gate, 2.0m × 2.0m	unit	489.08	3,006.45	3,495.53
GW-02	Installation of gate, 1.5m × 1.5m	unit	357.84	2,225.38	2,583.21
GW-03	Installation of gate, 1.0m × 1.0m	unit	271.22	1,697.43	1,968.65
GW-04	Installation of gate, 0.8m × 0.8m	unit	200.25	1,326.05	1,526.30
GW-05	Installation of gate, 0.6m × 0.6m	unit	178.29	1,241.66	1,419.95

Source: JICA Survey Team



## ***Attachment-6***

*Sample Calculation of Economic Production Cost*

## Summary of Financial and Economic Prices

Particulars	Unit	Financial Price (Riel)	Conversion Factor	Economic Price (Riel)
<b>1. Farm Products</b>				
- Dry Paddy				
- Early variety (HYV)	kg	1,150	a	1,138
- Medium variety (Local)	kg	1,250	a	1,138
- Upland crop (Mung bean)	kg	3,850	b	3,773
- Vegetable (Pumpkin)	kg	1,000	b	980
- Vegetable (Watermelon)	kg	1,200	b	1,176
- Vegetable (Sweet potato)	kg	800	b	784
<b>2. By-Products</b>				
- Crop residue (Rice straw)	kg	350	b,c,d	343
- Crop residue (equivalent in 100% of mung bean yield)	kg	50	b	49
- Crop residue (equivalent in 10% of vegetable yield)	kg	50	b	49
<b>3. Seeds</b>				
- Paddy (Early maturity rice)	kg	1,800	b	1,764
- Paddy (Medium maturity rice)	kg	2,400	b	2,352
- Paddy (Newly introduced rice)	kg	2,600	b	2,548
- Upland crop (Mung bean)	kg	11,000	b	10,780
- Vegetable (Pumpkin)	kg	80,000	b	78,400
- Vegetable (Watermelon)	kg	80,000	b	78,400
- Vegetable (Sweet potato)	kg	15,000	b	14,700
<b>4. Fertilizer</b>				
- Urea	kg	2,300	a	1,232
- DAP	kg	3,000	a	2,119
- KCL	kg	2,700	a	1,257
- Farm manure	kg	200	b	196
<b>5. Chemical (average)</b>				
- Liquid type	lit.	15,000	b	14,700
<b>6. Farming Equipment and Tools</b>				
- Annual depreciation cost	per ha	8,000	b	7,840
<b>7. Farm Labour</b>				
- Hired Labor	man-day	7,000	b, e	2,476
- Family Labour	man-day	0	f	2,476
<b>8. Paid Services</b>				
- Draft Animal				
- Land preparation (1st plowing)	per ha	140,000	b, g	81,928
- Land preparation (second plowing, harrowing & levelling)	per ha	180,000	b, g	105,336
- Hand Tractor				
- Land preparation (1st plowing)	per ha	230,000	b, g	134,564
- Land preparation (second plowing, harrowing & levelling)	per ha	250,000	b, g	146,265
- Water pump	per ha	800,000	b, g	468,048
- Harvesting				
- Harvesting by combine harvester	per ha	500,000	b, g	292,530
- Cutting and threshing	per ha	450,000	b, g	263,277
- Carrying from field to yard	per ha	170,000		
<b>9. Transportation</b>	(Riel/kg)	20	b	19

Remarks on conversion factors:

- a; The projected prices for 2020 in 2011 constant price are determined by adjusting forecasted prices at 2000 constant price presented in "Projections as of June 2, 2011" by the World Bank Economic Policy and Prospects Group
- b; Financial prices are converted to economic prices by multiplying with SCF of *0.98*.
- c; Among by-products of paddy, financial and economic values of broken rice, rice bran and rice husk are not counted as rice millers take advantages as a part of milling cost.
- d; Rice straw weight is equivalent to 90% of early maturity variety paddy yield and 100% of medium variety paddy yield
- e; Financial hired farm labor cost is converted to economic price by multiplying with SCF and SWRF of *0.361* for unskilled labor.
- f; Economic price of family labor is considered as the same price of economic price of hired labor
- g; Financial cost of land preparation is converted to economic cost by multiplying with SCF and SWRF of *0.597* for skilled labor.

Financial Production Costs on Paddy Cultivation

(1) under Present and Without-project Conditions

Name of crops	Unit	Rainfed		Irrigation (Supplementary)		Value (1000Riel)	Value (1000Riel)
		Qty	Price (Riel)	Qty	Price (Riel)		
1. Gross Income	Riel					3,130	4,695
Main products	kg	2,000	1,250	3,000	1,250	3,750	
By-product	kg	1,800	350	2,700	350	945	
	(straw)						
2. Total Production Cost	Riel		1,844			2,340	
2.1 Inputs	Riel		734			922	
Seed (self-stocked)	kg	80	0	130	0	0	
Seed (early rice)	kg	0	1,800	70	1,800	126	
Seed (medium rice)	kg	0	2,400	0	2,400	0	
Farm manure (wet)	ton	2,000	200	2,000	200	400	
Fertilizer	kg	60	2,300	138	100	230	
Urea	kg	60	3,000	180	50	3,000	
DAP	kg	0	2,700	0	2,700	0	
KCl	kg	0.5	15,000	8	0.5	15,000	
Agro-chemical:	liter	1	8,000	8	1	8,000	
Farming equipment and tools	LS	100	140	40	40	28	
Hired labor	P-d	20	7,000	140	4	7,000	
Family labor	P-d	80	0	36	0	0	
2.3 Machinery	Riel		970			1,390	
Land preparation	LS	2	480	2	480		
Plowing	LS	1	230,000	1	230,000	230	
Paddling	LS	1	250,000	1	250,000	250	
Water pump	LS	0	800,000	0	800,000	400	
Harvesting	LS	1	450,000	1	450,000	450	
Transportation	kg	2,000	20	3,000	20	60	
3. Net Return	Riel		1,286			2,355	
(N:Return/P: Cost Ratio)			0.70			1.01	
Summary Table							
1. Gross Income per ha	1,000 Riel		3,130			4,695	
	US\$		766			1,150	
2. Production Cost per ha	1,000 Riel		1,844			2,340	
	US\$		452			573	
3. Net Return per ha	1,000 Riel		1,286			2,355	
	US\$		315			577	

Note: exchange rate: US\$ 1 = Riel 4,084  
Source: JICA Survey Team

(2) under With-project Condition

Name of crops	Unit	Gravity Irrigation		Pump Irrigation		Recession Irrigation	
		Qty	Price (Riel)	Qty	Price (Riel)	Qty	Price (Riel)
1. Gross Income	Riel						
Main products	kg	4,000	1,250	4,000	1,250	4,000	1,250
By-product	kg	3,600	350	3,600	350	3,600	350
	(straw)						
2. Total Production Cost	Riel		2,359		3,159		2,759
2.1 Inputs	Riel		1,139		1,139		1,139
Seed (self-stocked)	kg	0	0	0	0	0	0
Seed (early rice)	kg	50	1,800	50	1,800	50	1,800
Seed (medium rice)	kg	0	2,400	0	2,400	0	2,400
Farm manure (wet)	ton	3,000	200	3,000	200	3,000	200
Fertilizer	kg	100	2,300	100	2,300	100	2,300
Urea	kg	45	3,000	45	3,000	45	3,000
DAP	kg	25	2,700	25	2,700	25	2,700
KCl	kg	0.5	15,000	8	0.5	15,000	8
Agro-chemical:	liter	1	8,000	8	1	8,000	8
Farming equipment and tools	LS	110	110	110	110	110	110
Hired labor	P-d	30	7,000	210	30	7,000	210
Family labor	P-d	80	0	80	0	80	0
2.3 Paid Services	Riel		1,010		1,810		1,410
Land preparation	LS	2	480	2	480	2	480
Plowing (1st)	LS	1	230,000	1	230,000	1	230,000
Paddling / 2nd Plowing	LS	1	250,000	1	250,000	1	250,000
Water pump	LS	0	800,000	0	800,000	0.5	800,000
Harvesting	LS	1	450,000	1	450,000	1	450,000
Transportation	kg	4,000	20	4,000	20	4,000	20
3. Net Return	Riel		3,901		3,101		3,501
(N:Return/P: Cost Ratio)			1.65		0.98		1.27
Summary Table							
1. Gross Income per ha	1,000 Riel		6,260		6,260		6,260
	US\$		1,533		1,533		1,533
2. Production Cost per ha	1,000 Riel		2,359		3,159		2,759
	US\$		578		774		676
3. Net Return per ha	1,000 Riel		3,901		3,101		3,501
	US\$		955		759		857

Note: exchange rate: US\$ 1 = Riel  
Source: JICA Survey Team

Financial Production Costs on Upland Crops Cultivation

(1) under Present and Without-project Conditions

Name of crops	Unit	Mung Beans		Pumpkin		Watermelon		Sweet Potato	
		Qty	Price (Rel.)	Qty	Price (Rel.)	Qty	Price (Rel.)	Qty	Price (Rel.)
1. Gross income	Riel	1,950	2,510	2,510	3,615	3,615	3,220	3,220	3,220
Main products	kg	500	3,850	2,500	1,200	3,600	4,000	800	3,200
By-product	kg	500	50	250	50	150	400	50	20
2. Total Production Cost	Riel	1,121	1,098	1,098	1,938	1,938	1,978	1,978	1,978
2.1 Inputs	Riel	631	568	568	568	568	568	568	568
Seed (self-stocked)	kg	50	11,000	0.5	80,000	0.4	80,000	32	40,000
Farm manure (wet)	kg	0	200	2,000	200	400	2,000	200	400
Fertilizer	kg	15	2,300	35	40	2,300	92	40	2,300
Urea	kg	10	3,000	30	3,000	60	20	3,000	60
DAP	kg	0	2,700	0	2,700	0	0	2,700	0
KCl	kg	0	2,700	0	2,700	0	0	2,700	0
Agro-chemicals	liter	0.5	15,000	8	0.5	15,000	8	0.5	15,000
Farming equipment and tools	LS	1	8,000	8	1	8,000	8	1	8,000
2.2 Labor	P-d	50	0	70	0	80	0	80	0
Hired labor	P-d	0	7,000	0	7,000	0	0	7,000	0
Family labor	P-d	50	0	70	0	80	0	80	0
2.3 Machinery	Riel	480	530	530	1,390	1,390	1,410	1,410	1,410
Land preparation	LS	2	230,000	2	230,000	2	230,000	2	230,000
Plowing	LS	0	250,000	250	250	250	250	250	250
Padding	LS	1	250,000	1	250,000	1	250,000	1	250,000
Water pump	LS	0	800,000	0	800,000	0	800,000	0	800,000
Harvesting	LS	0	450,000	0	450,000	0	450,000	0	450,000
Transson	LS	0	450,000	0	450,000	0	450,000	0	450,000
3. Net Return (P. Cost Ratio)	Riel	829	1,415	1,415	1,657	1,657	1,242	1,242	1,242
(N Return/P. Cost Ratio)		0.74	1.29	1.29	0.85	0.85	0.63	0.63	0.63

Summary Table

1. Gross income per ha	1,000 Riel	1,950	2,510	3,615	3,220	3,220	3,220	3,220
	US\$	1,477	885	885	1,781	1,781	1,781	1,781
2. Production Cost per ha	1,000 Riel	1,121	1,098	1,098	1,978	1,978	1,978	1,978
	US\$	829	800	800	1,424	1,424	1,424	1,424
3. Net Return per ha	1,000 Riel	829	1,415	1,517	1,242	1,242	1,242	1,242
	US\$	603	1,015	1,097	878	878	878	878

Note: exchange rate: US\$ 1 = Riel 4,084

Source: JICA Survey Team

(2) under With-project Condition

Name of crops	Unit	Mung Beans		Pumpkin		Watermelon		Sweet Potato	
		Qty	Price (Rel.)	Qty	Price (Rel.)	Qty	Price (Rel.)	Qty	Price (Rel.)
1. Gross income	Riel	1,000	3,900	5,025	6,025	6,025	4,025	4,025	4,025
Main products	kg	1,000	3,850	5,000	1,200	6,000	800	4,000	4,000
By-product	kg	1,000	50	250	50	250	50	250	250
2. Total Production Cost	Riel	2,218	2,388	2,388	2,388	2,388	2,388	2,388	2,388
2.1 Inputs	Riel	918	938	938	938	938	938	938	938
Seed (self-stocked)	kg	50	11,000	0.5	80,000	0.4	80,000	32	40,000
Farm manure (wet)	kg	0	200	2,000	200	400	2,000	200	400
Fertilizer	kg	55	2,300	127	40	2,300	100	2,300	230
Urea	kg	50	3,000	150	3,000	150	3,000	150	3,000
DAP	kg	25	2,700	68	2,700	135	2,700	135	2,700
KCl	kg	1.0	15,000	15	1.0	15,000	15	1.0	15,000
Agro-chemicals	liter	1	8,000	8	1	8,000	8	1	8,000
Farming equipment and tools	LS	1	8,000	8	1	8,000	8	1	8,000
2.2 Labor	Riel	60	0	120	0	70	0	70	0
Hired labor	P-d	0	7,000	0	7,000	0	7,000	0	7,000
Family labor	P-d	60	0	120	0	70	0	70	0
2.3 Paid Services	Riel	1,300	1,380	1,380	1,380	1,380	1,380	1,380	1,380
Land preparation	LS	2	230,000	2	230,000	2	230,000	2	230,000
Plowing	LS	1	250,000	250	250	250	250	250	250
Padding / 2nd Plowing	LS	1	250,000	1	250,000	1	250,000	1	250,000
Water pump	LS	0	800,000	0	800,000	0	800,000	0	800,000
Harvesting	LS	0	450,000	0	450,000	0	450,000	0	450,000
Transson	LS	0	450,000	0	450,000	0	450,000	0	450,000
3. Net Return (P. Cost Ratio)	Riel	1,682	2,637	2,637	3,337	3,337	1,637	1,637	1,637
(N Return/P. Cost Ratio)		0.76	1.10	1.10	1.16	1.16	0.69	0.69	0.69

Summary Table

1. Gross income per ha	1,000 Riel	3,900	5,025	6,025	4,025	4,025	4,025
	US\$	955	1,230	1,475	985	985	985
2. Production Cost per ha	1,000 Riel	2,218	2,388	2,388	2,388	2,388	2,388
	US\$	1,682	1,835	1,835	1,835	1,835	1,835
3. Net Return per ha	1,000 Riel	1,682	2,637	3,637	1,637	1,637	1,637
	US\$	1,230	644	892	392	392	392

Note: exchange rate: US\$ 1 = Riel 4,084

Source: JICA Survey Team

Economic Production Costs on Paddy Cultivatio

(1) under Present and Without-project Condition

Name of crops	Unit	Rainfed		Irrigation (Supplementary)	
		Qty	Price (Riel)	Qty	Price (Riel)
1. Gross Income	Riel				
Main products	kg	2,000	1,138	3,000	1,138
By-product	kg	1,800	343	2,700	343
	(straw)				
2. Total Production Cost	Riel		1,397		1,652
2.1 Inputs	Riel		608		759
Seed (self-stocked)	kg	80	2,352	130	2,352
Seed (early rice)	kg	0	1,764	70	1,764
Seed (medium rice)	kg	0	2,352	0	2,352
Farm manure (wet)	ton	2,000	196	2,000	196
Fertilizer	kg	60	1,232	100	1,232
Urea	kg	60	2,119	50	2,119
DAP	kg	0	1,257	0	1,257
KCl	kg	0	14,700	7	14,700
Agro-chemicals	liter	0.5	7,840	8	7,840
Farming equipment and tool	LS	1	7,840	1	7,840
2.2 Labor	P-d	100	248	40	248
Hired labor	P-d	20	2,476	50	2,476
Family labor	P-d	80	2,476	36	2,476
2.3 Machinery	Riel		541		794
Land preparation	LS	2	240	2	240
Plowing	LS	1	134,564	1	134,564
Puddling	LS	1	105,336	1	105,336
Water pump	LS	0	468,048	0	468,048
Harvesting	LS	1	263,277	1	263,277
Transportation	kg	2,000	19	3,000	19
3. Net Return (N.Return/P. Cost Ratio)	Riel		1,496		2,688
			1.07		1.63

Summary Table

1. Gross Income per ha	1,000 Riel	2,893	4,340
	US\$	708	1,063
2. Production Cost per ha	1,000 Riel	1,397	1,652
	US\$	342	405
3. Net Return per ha	1,000 Riel	1,496	2,688
	US\$	366	658

Note: exchange rate: US\$ 1 = Riel 4,084  
Source: JICA Survey Team

(2) under With-project Condition

Name of crops	Unit	Gravity Irrigation		Pump Irrigation		Recession Irrigation	
		Qty	Price (Riel)	Qty	Price (Riel)	Qty	Price (Riel)
1. Gross Income	Riel						
Main products	kg	4,000	1,138	4,000	1,138	4,000	1,138
By-product	kg	3,600	343	3,600	343	3,600	343
	(straw)						
2. Total Production Cost	Riel		1,738		2,206		1,972
2.1 Inputs	Riel		940		940		940
Seed (self-stocked)	kg	50	1,764	50	1,764	50	1,764
Seed (early rice)	kg	0	2,352	0	2,352	0	2,352
Seed (medium rice)	kg	0	2,352	0	2,352	0	2,352
Farm manure (wet)	ton	3,000	196	3,000	196	3,000	196
Fertilizer	kg	100	1,232	100	1,232	100	1,232
Urea	kg	45	2,119	45	2,119	45	2,119
DAP	kg	25	1,257	31	1,257	25	1,257
KCl	kg	0.5	14,700	7	14,700	0.5	14,700
Agro-chemicals	liter	1.0	7,840	8	7,840	1.0	7,840
Farming equipment and tool	LS	1	7,840	1	7,840	1	7,840
2.2 Labor	Riel		272		272		272
Hired labor	P-d	30	2,476	74	2,476	30	2,476
Family labor	P-d	80	2,476	198	2,476	80	2,476
2.3 Paid Services	Riel		526		994		760
Land preparation	LS	2	187	2	187	2	187
Plowing (1st)	LS	1	81,928	82	81,928	1	81,928
Puddling / 2nd Plowing	LS	1	105,336	105	105,336	1	105,336
Water pump	LS	0	468,048	0	468,048	0.5	468,048
Harvesting	LS	1	263,277	263	263,277	1	263,277
Transportation	kg	4,000	19	76	19	4,000	19
3. Net Return (N.Return/P. Cost Ratio)	Riel		4,049		3,581		3,815
			2.33		1.62		1.93

Summary Table

1. Gross Income per ha	1,000 Riel	5,787	5,787
	US\$	1,417	1,417
2. Production Cost per ha	1,000 Riel	1,738	2,206
	US\$	426	540
3. Net Return per ha	1,000 Riel	4,049	3,581
	US\$	991	877

Note: exchange rate: US\$ 1 = Riel 4,084  
Source: JICA Survey Team

Economic Production Costs on Upland Crops Cultivation

(1) under Present and Without-project Conditions

Name of crops	Unit	Mung Beans		Pumpkin		Watermelon		Sweet Potato	
		Qty	Price (Rel) / Value (1000\$Rel)	Qty	Price (Rel) / Value (1000\$Rel)	Qty	Price (Rel) / Value (1000\$Rel)	Qty	Price (Rel) / Value (1000\$Rel)
1. Gross Income	Rct	500	3,773	2,500	2,462	3,000	3,528	4,000	3,156
Main products	kg	500	49	2,500	2,450	3,000	49	4,000	784
By-product	kg	500	49	2,500	49	300	49	4,000	49
2. Total Production Cost	Rct	1,008	1,000	1,000	1,287	1,268	498	1,287	498
2.1 Inputs	Rct	593	593	0.5	78,400	0.4	78,400	31	40,000 (cuttings)
Seed (self-stocked)	kg	50	10,780	0.5	78,400	0.4	78,400	31	40,000 (cuttings)
Farm manure (wet)	kg	0	196	2,000	196	2,000	196	2,000	196
Fertilizer	kg	15	1,232	40	1,232	40	1,232	40	1,232
Urea	kg	10	2,119	20	2,119	20	2,119	20	2,119
DAP	kg	0	1,257	0	1,257	0	1,257	0	1,257
KCI	kg	0.5	14,700	7	14,700	7	14,700	7	14,700
Agro-chemicals	liter	1	7,840	8	7,840	8	7,840	8	7,840
Farming equipment and tools	LS	1	7,840	8	7,840	8	7,840	8	7,840
2.2 Labor	P-d	50	2,476	70	2,476	80	2,476	80	2,476
Hired labor	P-d	50	2,476	70	2,476	80	2,476	80	2,476
Family labor	P-d	50	2,476	70	2,476	80	2,476	80	2,476
2.3 Machinery	Rct	291	291	329	572	572	572	591	591
Land preparation	LS	281	134,564	2	134,564	2	134,564	2	134,564
Plowing	LS	135	146,265	1	146,265	1	146,265	1	146,265
Padding	LS	146	468,048	0	468,048	0	468,048	0	468,048
Water pump	LS	0.0	468,048	0	468,048	0	468,048	0	468,048
Harvesting	LS	500	19	2,500	19	3,000	19	4,000	19
Tractor	LS	10	10	2,500	19	3,000	19	4,000	19
3. Net Return (P. Cost Ratio)	Rct	904	904	1,462	1,462	2,275	2,275	1,829	1,829
(N Return/P. Cost Ratio)	Rct	0.904	0.904	1.462	1.462	1.79	1.79	1.45	1.45

Summary Table

1. Gross Income per ha 1,000 Rct 1,091 3,463

2. Production Cost per ha 1,000 Rct 1,603 1,268

3. Net Return per ha 1,000 Rct 248 315

US\$ 468 868

US\$ 247 310

US\$ 221 337

US\$ 429 429

Note: exchange rate: US\$ 1 = Rct 4.084

Source: JICA Survey Team

(2) under With-project Condition

Name of crops	Unit	Mung Beans		Pumpkin		Watermelon		Sweet Potato	
		Qty	Price (Rel) / Value (1000\$Rel)	Qty	Price (Rel) / Value (1000\$Rel)	Qty	Price (Rel) / Value (1000\$Rel)	Qty	Price (Rel) / Value (1000\$Rel)
1. Gross Income	Rct	1,000	3,822	5,000	4,925	5,000	5,905	5,000	3,920
Main products	kg	1,000	3,773	5,000	4,900	5,000	5,880	5,000	784
By-product	kg	1,000	49	500	49	500	49	500	49
2. Total Production Cost	Rct	1,684	1,684	1,684	1,848	2,240	2,240	1,848	1,848
2.1 Inputs	Rct	767	767	0.5	78,400	0.4	78,400	31	40,000 (cuttings)
Seed (self-stocked)	kg	50	10,780	0.5	78,400	0.4	78,400	31	40,000 (cuttings)
Farm manure (wet)	kg	0	196	2,000	196	2,000	196	2,000	196
Fertilizer	kg	55	1,232	68	1,232	100	1,232	100	1,232
Urea	kg	50	2,119	106	2,119	50	2,119	106	2,119
DAP	kg	25	1,257	31	1,257	63	1,257	63	1,257
KCI	kg	1.0	14,700	15	14,700	15	14,700	15	14,700
Agro-chemicals	liter	1	7,840	8	7,840	8	7,840	8	7,840
Farming equipment and tools	LS	1	7,840	8	7,840	8	7,840	8	7,840
2.2 Labor	P-d	60	2,476	149	2,476	120	2,476	25	2,476
Hired labor	P-d	60	2,476	149	2,476	110	2,476	25	2,476
Family labor	P-d	60	2,476	149	2,476	110	2,476	25	2,476
2.3 Paid Services	Rct	768	768	844	844	844	844	844	844
Land preparation	LS	2	134,564	2	134,564	2	134,564	2	134,564
Plowing	LS	135	146,265	1	146,265	1	146,265	1	146,265
Padding	LS	146	468,048	0	468,048	0	468,048	0	468,048
Water pump	LS	0.0	468,048	0	468,048	0	468,048	0	468,048
Harvesting	LS	500	19	5,000	19	5,000	19	5,000	19
Tractor	LS	10	10	5,000	19	5,000	19	5,000	19
3. Net Return (P. Cost Ratio)	Rct	2,138	2,138	3,077	3,077	3,665	3,665	2,097	2,097
(N Return/P. Cost Ratio)	Rct	1.27	1.27	1.67	1.67	1.64	1.64	1.13	1.13

Summary Table

1. Gross Income per ha 1,000 Rct 3,822 5,905

2. Production Cost per ha 1,000 Rct 1,684 1,848

3. Net Return per ha 1,000 Rct 2,138 3,077

US\$ 468 868

US\$ 247 310

US\$ 221 337

US\$ 429 429

Note: exchange rate: US\$ 1 = Rct 4.084

Source: JICA Survey Team

## ***Attachment-7***

*Checklist of Environmental Scoping (Sample)*

### Checklist of Environmental Scoping (Sample)

No	Likely Impacts	Rating Over all	Explanation on Potential Impacts (Project-related activity is shown in the parenthesis"<>".)
<b>Pollution</b>			
1	Air pollution	B-	<Operation of construction equipment and vehicles> <Rehabilitation of existing Main canal and secondary canals and other facilities> <Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)> Emission of exhaust gas from construction equipment and vehicles and dust pollution due to operation of the construction equipment and vehicles would cause air pollution in and around the construction sites during the construction. However, the impact is limited and temporary.
2	Water pollution	B-	<Operation of construction equipment and vehicles> <Rehabilitation of existing Main canal and secondary canals and other facilities> <Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)> Muddy water from construction site and oil spill from construction equipment and vehicles would cause water pollution in the existing canals in and around the construction site.
3	Soil contamination	-	The project does not have any factor which may cause the soil contamination in terms of project location and construction method.
4	Waste	B-	<Operation of construction equipment and vehicles> <Rehabilitation of existing Main canal and secondary canals and other facilities> <Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)> Construction waste including residue soil and concrete waste would be produce by construction work.
5	Noise and vibration	B-	<Operation of construction equipment and vehicles> <Rehabilitation of existing Main canal and secondary canals and other facilities> <Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)> - Vibration caused by such construction works would cause damage to residential people, existing houses and other kinds of building structures.
6	Ground subsidence	-	The project does not have any factor which may cause the ground subsidence in terms of project location and construction method.
7	Offensive odor	-	The project does not have any factor which may cause the offensive odor in terms of project location and construction method.
8	Bottom sediment	-	The project does not have any factor which may cause the bottom sediment in terms of project location and construction method.
9	Disaster	-	The project does not have any factor which may cause the disaster in terms of project location and construction method.
10	Topography and geographical features	-	The project does not have any factor which may cause the disaster in terms of project location and construction method because project site is already developed as .
11	Soil erosion	B-	<Control of Maintenance of canals and drainage> Rehabilitation work canals/drainage would cause soil erosion in some sections.
12	Groundwater	-	The project does not have any factor which may cause the groundwater in terms of project location and construction method because USISRSP does not utilize groundwater.
13	Hydrological situation	C-	<Rehabilitation of existing Main canal and secondary canals and other facilities> <Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)> Rehabilitation work canals/drainage in some sections would affect hydrological situation in project area without adequate control of maintenance of those structure. Detailed hydrological analysis undertook in this study.
14	Coastal zone	-	The project does not have any factor which may cause the c in terms of project location.
15	Flora, fauna and biodiversity	B-	<Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)> After Rehabilitation work, Tumnup Lok Reservoir will occurred upstream Slakou River. If water flow of downstream, downstream ecosystem will be affected.
16	Meteorology	-	The project does not have any factor which may affect and/or be related to the meteorology.



No	Likely Impacts	Rating Over all	Explanation on Potential Impacts (Project-related activity is shown in the parenthesis "<>".)
17	Landscape	-	The project does not have any factor which may cause the groundwater in terms of project location and construction method.
18	Global warming	-	The project does not have any factor which may cause the groundwater in terms of project location and construction method because USISRSP is only rehabilitation and improvement project and not including new development.
19	Involuntary Resettlement	-	It is expected that no large scale of involuntary resettlement (more than 200 persons to be displaced) will be caused by USISRSP.
20	Local economy such as employment and livelihood, etc.	B-/A+	<Design of Main canal and secondary canals and other facilities > <Rehabilitation of existing Main canal and secondary canals and other facilities> <Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)> -Overall, the construction of USISRSP will make more employment and business opportunities for local residents during construction. -After operation of USISRSP, regional formers around USISRSP would have positive impact due to improvement irrigation water availability during dry season.
			<Rehabilitation of existing Main canal and secondary canals and other facilities> <Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)> -USISRSP will cause loss of paddy field (illegal use) on Tumnup Lok Reservoir -USISRSP will cause loss of structure (house, shop and other facilities) along main and secondary canals (illegal use)
21	Land use and utilization of local resources	B-/B+	<Rehabilitation of existing Main canal and secondary canals and other facilities> <Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)> -USISRSP will cause loss of accessibility from road to residential houses and shops along Main and Secondary Canals.
			<Operation of Main canal and secondary canals and other facilities > After operation of the RCHRSP, regional formers around the USISRSP would have positive impact due to improved irrigation water availability
22	Social institutions	-	The project does not have any factor which may cause social institution in terms of project location and construction method.
23	Existing social infrastructures and services	B-	<Land acquisition> Land acquisition for the project, involving relocation of public and/or community facilities, would affect local communities to some extent.
			<Operation of construction equipment and vehicles> <Rehabilitation of existing Main canal and secondary canals and other facilities> <Traffic restriction in construction area> Construction work and traffic restriction would disturb access to the existing social infrastructures and services.
24	Socially vulnerable groups such as the poor, indigenous and ethnic people	B+	<Operation of new regulator and canals > After operation of the USISRSP, all regional Project Affected Persons (PAPs) around the USISRSP would also have positive impact due to improved irrigation water provision during dry season.
25	Misdistribution of benefit and damage	B-	<Design of Main canal and secondary canals and other facilities > <Rehabilitation of existing Main canal and secondary canals and other facilities> <Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)> -Local farmers will benefit from USISRSP directly. Meanwhile people live along MC and SC will be affected by USISRSP, will not benefit from USISRSP directly. It will be occurred misdistribution of benefit and damage without adequate mitigation measure.
26	Historical and cultural heritage (including religious matters)	-	The project does not have any factor which may cause historical and cultural heritage in terms of project location and construction method.
27	Water usage or water rights and rights of common	A+	<Operation of Main/Secondary canal/ drainage and other faicilitates > After operation of the USISRSP new regulator and canals/drainage will provide a substantial improvement in irrigation water provision without adequate water resource management.
28	Local conflict of interests	B-	<Design of Main canal and secondary canals and other facilities > <Rehabilitation of existing Main canal and secondary canals and other facilities> <Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)>

No	Likely Impacts	Rating Over all	Explanation on Potential Impacts (Project-related activity is shown in the parenthesis"<>".)
			-Local farmers will benefit from USISRSP directly. Meanwhile people live along MC and SC will be affected by USISRSP, will not benefit from USISRSP directly. It will be occurred local conflict of interests between farmers and non-farmers without adequate mitigation measure.
29	Sanitation	B-	<Operation of construction equipment and vehicles> <Rehabilitation of existing Main canal and secondary canals and other facilities> <Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)> -Sanitary issues would occur in labor camp and neighboring area in the case sanitary facility is not adequately installed such as toilet and septic tank.
30	Hazardous (risk) infectious diseases such as HIV/AIDS	B-	<Operation of construction equipment and vehicles> <Rehabilitation of existing Main canal and secondary canals and other facilities> <Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)> -Risk of infectious diseases by labors would be expected during construction due to the inflow of the construction workers from outside.
31	Accident	B-	<Operation of construction equipment and vehicles> <Rehabilitation of existing Main canal and secondary canals and other facilities> <Rehabilitation of existing dike and construction of the reservoir facilities (Tumnup Lok Reservoir)> -Some accidents are inevitable during construction.

Source: JICA Survey Team

Note: \* Regarding the impacts on "Gender" and "Children's Right", might be related to all criteria of Social Environment.

<Rating>

A-: Serious impact is expected, if any measure is not implemented to the impact.

B-: Some impact is expected, if any measure is not implemented to the impact.

C-: Extent of impact is unknown (Examination is needed. Impact may become clear as study progresses.)

-: No impact is expected. Therefore, EIA is not required.

A+: Remarkable effect is expected due to the project implementation itself and environmental improvement caused by the project.

B+: Some effect is expected due to the project implementation itself and environmental improvement caused by the project.

Overall rating: Highest rate will be the overall rating among the rating of relevant project-related activities for negative and positive ratings, respectively. (e.g. Even only one "A-" is included in an environmental item, overall rating of the environmental item becomes "A-".)

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PREPARATORY SURVEY  
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REHABILITATION AND IMPROVEMENT PROJECT  
IN  
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**STUDY  
ON  
RESETTLEMENT POLICY  
FRAMEWORK**

SEPTEMBER 2012

**JAPAN INTERNATIONAL COOPERATION AGENCY (JICA)  
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**STUDY  
ON  
RESETTLEMENT POLICY FRAMEWORK**

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- Attachment 3 Presentation Material in Wrap-up Meeting
- Attachment 4 Minutes of Wrap-up Meeting

## Abbreviations

<b>[A]</b>	
ADB	Asian Development Bank
APs	Affected Person (s)
<b>[C]</b>	
COI	Corridor of Impact
<b>[D]</b>	
DMS	Detailed Measurement Survey
D/D	Detail Design
<b>[G]</b>	
GOJ	Government of Japan
GRC	Grievance Redress Committee
GRM	Grievance Redress Mechanism
<b>[I]</b>	
IMO	Independent Monitoring Organization
IMU	Independent Monitoring Unit
IOL	Inventory of Loss
IRC	Inter-ministerial Resettlement Committee
IRC-WG	IRC Working Group
<b>[J]</b>	
JICA	Japan International Cooperation Agency
<b>[M]</b>	
MAFF	Ministry of Agriculture, Forestry and Fisheries
MEF	Ministry of Economy and Finance
MEF-RD	MEF Resettlement Department
MLMUPC	Ministry of Land Management, Urban Planning and Construction
MOU	Minutes of Understanding
MOWRAM	Ministry of Water Resources and Meteorology
MOWRAM-RU	MOWRAM Resettlement Unit
<b>[N]</b>	
NGO	Non-Government Organization
<b>[P]</b>	
PDOWRAM	Provincial Department of Water Resources and Meteorology
PIB	Public Information Booklet
PIU	Project Implementation Unit
PMU	Project Management Unit (of MOWRAM)
PRSC	Provincial Resettlement Sub-committee
PRSC-WG	PRSC Working Group
<b>[R]</b>	
RAP	Resettlement Action Plan
RCS	Replacement Cost Study
RGC	Royal Government of Cambodia
ROW	Right of Way
RPF	Resettlement Policy Framework
MOWRAM-RU	Resettlement Unit
<b>[S]</b>	
SPPIDRIP	Southwest Phnom Penh Irrigation and Drainage Rehabilitation and Improvement Project
<b>[W]</b>	
WB	World Bank

## Measurement Units

### **Extent**

cm<sup>2</sup> = Square-centimeters (1.0 cm × 1.0 cm)  
m<sup>2</sup> = Square-meters (1.0 m × 1.0 m)  
km<sup>2</sup> = Square-kilometers (1.0 km × 1.0 km)  
ha = Hectares (10,000 m<sup>2</sup>)

### **Length**

mm = Millimeters  
cm = Centimeters (cm = 10 mm)  
m = Meters (m = 100 cm)  
km = Kilometers (km = 1,000 m)

### **Time**

sec = Seconds  
min = Minutes  
hr = Hours

### **Currency**

US\$ 1.0 = JPY 76.8 = 4,084 Riel  
US\$ = United State Dollar  
JPY = Japanese Yen  
R, Riel = Cambodian Riel

### **Volume**

cm<sup>3</sup> = Cubic-centimeters  
(1.0 cm × 1.0 cm × 1.0 cm  
or 1.0 m-lit.)  
m<sup>3</sup> = Cubic-meters  
(1.0 m × 1.0 m × 1.0 m  
or 1.0 k-lit.)  
lit 1 = Liter (1,000 cm<sup>3</sup>)  
MCM = Million Cubic Meter

### **Weight**

gr = Grams  
kg = Kilograms (1,000 grams)  
ton = Metric ton (1,000 kg)

### **Others**

ppm = parts per million  
°C = degree centigrade  
% = percent

## DEFINITION OF TERMS

**Resettlement Action Plan (RAP)** is a time-bound action plan with budget setting out resettlement strategy, objectives, entitlement, actions, responsibilities, monitoring and evaluation.

**Affected Person (AP)** indicates any juridical person being as it may an individual, a household, a firm or a private or public who, on account of the execution of the Project, or any of its components or sub-projects or parts thereof would have their:

- (i) right, title or interest in any house, land (including residential, agricultural and grazing land) or any other fixed or moveable asset acquired or possessed, in full or in part, permanently or temporarily; or
- (ii) business, occupation, work, place of residence or habitat adversely affected; or
- (iii) standard of living adversely affected.

**Severely Affected Person** for this Project is defined as a person who will (a) lose more than 10 percent of total agriculture/aquaculture land holding, and/or (b) relocate and/or lose more than 50 percent of their main residential and/or commercial structure, and/or (c) lose more than 10 percent of total income sources due to the Project.

**Land Acquisition** means the process whereby a person is compelled by a public agency to alienate all or part of the land s/he owns or possesses, to the ownership and possession of that agency, for public purpose in return for fair compensation.

**Replacement Cost** means the cost of replacing lost assets and incomes, including cost of transactions. If land, it means the cost of buying a replacement land near the lost land with equal productive potential and same or better legal status, including transaction costs. If structures, the replacement cost is the current fair market price of building materials and required labor cost without depreciation or deductions for salvaged building material or other transaction cost. Market prices will be used for crops, trees and other commodities.

**Resettlement Effects** mean all negative situations directly caused by the Project/subproject, including loss of land, property, income generation opportunity, and cultural assets.

**Relocation** means the physical relocation of an AP from her/his pre-Project place of residence.

**Rehabilitation** means the process to restore income earning capacity, production levels and living standards in a longer term. Rehabilitation measures are provided in the entitlement matrix as an integral part of the entitlements.

**Compensation** means payment in cash or in kind to replace losses of land, housing, income and other assets caused by a project.

**Significant Resettlement Effect** for each project means 200 people or more will experience major impacts. "Major" impacts being physical displacement from housing and/or more than 10 percent of the household's productive (income generating) assets are lost.

**Corridor of Impact** means actually area needed to be land clearing for construction of the Project.

**Cut-off Date** means eligibility for entitlements will be the end of the detailed measurement and census survey following completion of the detailed design of the components.

**Indirect Impact** means the people, who are not direct impact by the project, but they will lose facilities and conditions for common living such as lose access roads, pagoda, schools, health center, traditional believing places etc...

PREPARATORY SURVEY  
FOR  
IRRIGATION AND DRAINAGE SYSTEM  
REHABILITATION AND IMPROVEMENT PROJECT  
IN  
THE KINGDOM OF CAMBODIA

**STUDY ON RESETTLEMENT POLICY FRAMEWORK**

**CHAPTER 1 INTRODUCTION**

**1.1 Background and Objectives**

The Preparatory Survey for Irrigation and Drainage System Rehabilitation and Improvement Project (the Survey) is being implemented in accordance with the Minutes of Discussion (M/D) signed between the Japan International Cooperation Agency (JICA) and the Royal Government of Cambodia (RGC) on February 25, 2011, regarding the Survey for the South Phnom Penh Irrigation and Drainage Rehabilitation and Improvement Project (SPPIDRIP).

SPPIDRIP consists of six sub-projects, which are Roleang Chrey Headworks Rehabilitation Sub-project (RCHRSP), Upper Slakou Irrigation System Rehabilitation Sub-project (USISRSP), Kandal Stung-Bati Irrigation System Rehabilitation Sub-project (KSBSRSP), Main Canal 35 Rehabilitation Sub-project (MC35RSP), Sras Prambai Water Recession Rehabilitation Sub-project (SPWRRSP) and Daun Pue Irrigation System Rehabilitation Sub-project (DPISRSP).

In the survey period until April, it was identified that abbreviated RAP has been necessary for only the sub-project in which resettlement will occur. Then, the abbreviated RAP for USISRSP has been prepared by MOWRAM with the support of JICA Survey Team. After April, it has also been identified that it has been necessary for the preparation of Resettlement Action Plan (RAP) or Resettlement Policy Framework (RPF) even in case of only land acquisition without resettlement, according to the reference material of JICA Guidelines for Environmental and Social Considerations such as involuntary resettlement sourcebook published by World Bank (WB). In the definition of RAP according to OP 4.12 prepared by WB, the components of RAP include the components of inventory survey for all the affected persons. To implement the inventory survey for all the Affected Persons (APs) is difficult for the following reason.

(1) No determination of Right of Way (ROW)

In this preparatory survey, the alignment of each main and secondary canal has not been determined yet and the design has not been implemented based on topographic survey. Therefore the Right of Way (ROW) has not been determined in the preparatory survey. The ROW will be determined after the design of alignment based on topographic survey to be implemented in the D/D stage. If the inventory survey with assumed ROW is carried out in this stage, inventory survey should be implemented again after final determination of ROW. It is not effective way. Therefore, the inventory survey should be implemented to prepare RAP in the D/D stage.

(2) No Cadastre in the sub-projects area

According to the Ministry of Land Management and Urban Planning and Construction (MLMUPC), cadastral map is being prepared in Cambodia and it has been prepared only in Phnom Penh city and central district in each province. In case of no cadastral map, it takes time to implement inventory survey to identify land owner in the area. In this time, inventory survey has not been implemented due to the time limitation.

In this context, RPF instead of abbreviated RAP has been prepared in this study due to no determination of detail canal alignment and ROW and time limitation for the cadastral survey.

The objectives of the study are summarized as follows;

- To prepare RPF based on the JICA Guidelines for Environmental and Social Consideration
- To share the information of future implementation of land acquisition with MOWRAM or other related agencies

## 1.2 Scope of the Resettlement Policy Framework

According to the JICA Guidelines for Environmental and Social Consideration (April 2010), which follows ADB' land acquisition policy or World Bank OP 4.12 or involuntary resettlement sourcebook, requires the preparation of Resettlement Action Plan (RAP) or Resettlement Policy Framework (RPF), if the sub-project includes involuntary resettlement or land acquisition. The situation of sub-projects related to land acquisition and resettlement is shown in Table 1.2.1.

**Table 1.2.1 Situation of Sub-projects Related to Land Acquisition and Resettlement**

Name of Sub-project	Resettlement	Land Acquisition	Information regarding land acquisition and resettlement
Roleang Chrey Headworks Rehabilitation Sub-project	Not necessary	Necessary	Temporary land acquisition during construction period is needed for transferring current canal mainly as well as the land acquisition of secondary and branch canal.
Upper Slakou Irrigation System Rehabilitation Sub-project	Necessary	Necessary	Though abbreviated RAP has been prepared, the abbreviated RAP does not cover all the sub-project area. Then, resettlement policy framework is prepared for this sub-project.
Kandal Stung-Bati Irrigation System Rehabilitation Sub-project	Not necessary	Necessary	There are five households along the sub-project area but the canal will be rehabilitated without resettlement.
Main Canal 35 Rehabilitation Sub-project	Not necessary	Necessary	Alignment of canal is tentatively planned. No resettlement will be expected according to the Preparatory Survey.
Srass Prambai Water Recession Rehabilitation Sub-project	Not necessary	Not necessary	In the planned reservoir, there is cultivated area but it is governmental land which is not area to be acquired by the sub-project.
Daun Pue Irrigation System Rehabilitation Sub-project	Not necessary	Necessary	Alignment of canal is tentatively planned. No resettlement will be expected according to the Preparatory Survey.

Source: JICA Survey Team

According to the situation of resettlement and land acquisition mentioned above, RAP or RPF should be prepared for RCHRSP, USISRSP, KSBISRSP, MC35RSP and DPISRSP.

In the Survey, the alignment of canals has not been determined, and the final canal alignment and the Right of Way (ROW) will be set based on the topographic survey to be carried out in D/D stage, due to the characteristics of SPPIDRIP, which seems to be sector loan project. In case that the alignment has not been determined yet, the preparation of resettlement action plan including Inventory of Loss (IOL) survey is not necessary but resettlement policy framework.

Then, only RPF is prepared instead of Abbreviated RAP based on the discussion of JICA and JICA Study Team with consideration of time limitation and no determination of detail canal alignment and the Right of Way (ROW).

The scope of the resettlement policy framework includes the grasp of general socio-economic condition in the target area, the questionnaire survey for the APs by the sub-project, preparation of policy framework regarding entitlement, compensation or livelihood recovery regarding resettlement or land acquisition and preparation of implementation structure including organization, schedule and consideration of procedure of public involvement.



RAPs for sub-projects will be prepared during D/D stage of SPPIDRIP that will be implemented during the first year and month for which there is a sufficient level of design to identify land acquisition impacts. These activities will be conducted by Inter-ministerial Resettlement Committee (IRC), which is organized by Ministry of Economy and Finance (MEF), MOWRAM and other related ministries.

## CHAPTER 2 PROJECT DESCRIPTION

### 2.1 Roleang Chrey Headworks Rehabilitation Sub-project

#### (1) Location of the sub-project site

The regulators in RCHRSP are located on the Prek Thnot River, about 100 km upstream from its confluence with the Bassac River. The Andong Sla and Vat Krouch Intakes are respectively provided at the heads of the NMC and SMC branched off from the Prek Thnot River upstream from the regulator. The headworks are located in Tumpung Village, Kahaeng Commune, Samraong Tong District, Kampong Speu Province.

#### (2) Scope of the sub-project

Currently, the intakes of north and south main canals and Roleang Chrey Regulator in the irrigation system are severely damaged. If there are left, the water supply to each target area is difficult. To improve these irrigation systems for the enhancement of agricultural productivity, improvement of these facilities is needed.

Based on the above background for irrigation and drainage rehabilitation plan mentioned above, facilities to be rehabilitated and/or reconstructed under RCHRSP are shown in Table 2.1.1.

**Table 2.1.1 Main Features of Rehabilitation of Roleang Chrey Headworks**

No.	Description	Quantity
1)	Sub-project area	350 ha excluding 220ha to be developed by TSC-3, but influences to 16,910 ha for project evaluation
2)	Roleang Chrey Headworks	
	- Roleang Chrey Regulator	Regulator gates - Fixed wheel gates, 5 sets, 12.5 m (W) × 6.7 m (H) Civil works - Construction of the downstream river bed protection - Rehabilitation of the downstream river bank protection - Construction of river outlet structure
	- Andong Sla Intake	Intake gates - Radial gates, 2 sets, 4.0 m (W) × 2.7 m (H) Civil works - Curtain walls and operation deck, - Protection of up & downstream of intake - Rehabilitation of approach channel
	- Vat Krouch Intake	Intake gates - Radial gates, 2 sets, 4.0 m (W) × 2.7 m (H) Civil works - Upstream & downstream transitions - Gate pier and box culvert and protection of canal beds, - Rehabilitation of approach channel
	- River outlet structure	Inlet gates - Slide gates, 4 sets, 1.0 m (W) × 1.0 m (H) Outlet gates - Slide gates, 2 sets, 1.25 m (W) × 1.4 m (H)
3)	NMC and SMC	Design discharge: NMC 10.4 m <sup>3</sup> /sec at beginning point SMC 16.3 m <sup>3</sup> /sec at beginning point
	- Total length	18.9 km (NMC = 9.1 km and SMC = 9.8 km)
	- Structures to be rehabilitated/reconstructed	Check structures : 3 nos. Turnout : 18 nos. Bridge : 7 nos. Spillway : 3 nos. Drainage gate : 11 nos.
4)	Secondary canals to be rehabilitated	12 nos.
	- Total length	16.9 km
	- Structures to be rehabilitated/reconstructed	Check structures : 45 nos. Turnout : 53 nos. Culvert : 32 nos. Drain inlet : 4 nos.
5)	Branch canal system	
	- Area	350 ha (11 km)

Source: JICA Survey Team

## 2.2 Upper Slakou Irrigation System Rehabilitation Sub-project

### (1) Location of sub-project site

The USISRSP Area (3,500 ha) is located on the right bank of the Slakou River between 104°30' to 104°40' east longitude, and 11°00' to 11°05' north latitude. The elevation of the area ranges from 15 m to 35 m with a slope of 1/200 to 1/1000 from west to east. The approximate distance to Takeo town from the area is about 15 to 35 km. The USISRSP Area administratively belongs to Basedth District of Kampong Speu and Tram Kak District of Takeo Province. Five communes and 32 villages are included in the area.

### (2) Scope of sub-project

Most of the existing irrigation facilities were constructed in the mid 1970s during Pol Pot regime, and these now require significant rehabilitation to ensure stable irrigation farming. The basic policy of rehabilitation of these facilities is to make both initial construction cost and O&M cost as low as possible in due consideration of maintaining sufficient function, safety and durability. To meet these, the purpose of the plan would not be to seek for the “perfect” outcome, but to provide the minimum function required for ensuring water resources for irrigation.

Considering the above, the required rehabilitation works will be planned in the following basic concept:

- Reliability level of irrigation supply is set at 4 in 5 years or 80%
- Design flood discharge of 1-in-100-year recurrence period is adopted for rehabilitation of reservoirs
- 24-hour water conveyance will be applied for diversion, main and secondary systems
- Existing dikes of the reservoirs would be utilized as much as possible
- Existing canal section would be utilized and canal lining would not be considered in principal
- Related structures of the canal, both in terms of structure and materials, would be designed to conform with those that PDOWRAM generally design and construct in Takeo Province
- No substantial improvement is considered for drainage system

Based on the basic concept for irrigation and drainage development plan mentioned above, facilities to be rehabilitated under USISRSP are shown in Table 2.2.1.

**Table 2.2.1 List of Irrigation and Drainage Facilities to be Rehabilitated under USISRSP**

No.	Description	Quantity
1)	Sub-project area	3,500 ha
2)	Water resource facilities	
	- Reservoir-1	Tumnup Lok Reservoir on the Slakou River (CA=332 km <sup>2</sup> ), Ve = 1.0 MCM, Re-construction of dike, spillway, intake and maintenance facilities
	- Reservoir-2	Kpob Trobek Reservoir on the Don Phe River (CA=137 km <sup>2</sup> ), Ve = 2.6 MCM Supplemental improvement and repair of dike and spillway gates which were rehabilitated by MOWRAM in 2005
	- Diversion canal	Connecting the above two reservoirs, 9.4 km, Design discharge: 3.5 m <sup>3</sup> /sec
3)	Main canal system	1 no. Design discharge: 3.2 m <sup>3</sup> /sec
	- Length	7.3 km
	- Off-takes	6 nos.
	- Diversion structure	5 nos.
4)	Secondary canal system	7 nos.
	- Total length	44.7 km
	- Off-takes	102 nos.
	- Diversion structure	66 nos.
5)	Tertiary canal system	
	- Total length	110 km

Source: JICA Survey Team

### 2.3 Kandal Stung-Bati Irrigation System Rehabilitation Sub-project

#### (1) Location of sub- project site

The Sub-project area of 3,550 ha in total consists of 2 irrigation areas, namely Kandal Stung Area of 1,750 ha and the Bati Area of 1,600 ha. The Kandal Stung Area is situated in Kandal Stung District of Kandal Province about 20 km south of Phnom Penh. And, the Bati Area of 1,600 ha is situated in Bati District of Takeo Province about 30 km south of Phnom Penh. Water resources of irrigation development are (i) the Prek Thnot River regulated by the Stung Tasal dam under construction, (ii) original flow of the Stung Touch River, and (iii) regulated flow of the Tonle Bati River by the Lake Tonle Bati.

#### (2) Scope of sub-project

Most of the existing irrigation facilities were constructed in the late 1970's during Pol Pot regime, and these facilities now require significant rehabilitation and/or reconstruction to realize stable irrigation farming. The basic concept of rehabilitation of these facilities is to make both initial construction cost and O&M cost as low as possible with due consideration to maintain sufficient function, durability, and economic viability. Considering the above, the target level of the works is set as listed below.

- Reliability level of irrigation supply is set at 4 in 5 years or 80%
- Existing canals would be utilized as much as possible for irrigation and drainage purposes
- Canal lining is considered to step forward the advanced irrigation level in the country, but within the reasonable investment cost
- Water resource structures to realize efficient use of three water resources are provided, based on the assumption that basin wide water management system including the Stung Tasal Dam will be established
- Emphasis of development is put on the irrigation, but no substantial improvement of drainage system is considered

Based on the basic concept for irrigation and drainage development plan, facilities to be rehabilitated under KSBISRSP are shown in Table 2.3.1.

**Table 2.3.1 List of Irrigation and Drainage Facilities to be Rehabilitated under KSBISRSP**

No.	Description	Quantity
1)	Sub-project area	3,350 ha in total, consisting of 1,750 ha in the Kandal Stung and 1,600 ha in Bati Areas
2)	Water resource facilities	
	- Diversion weir on Stung Touch River	2 nos. on the Stung Touch river; (one is new headworks and the other is partial improvement of spillway)
	- Replacement of intakes on Stung Touch River	3 nos. on the Stung Touch river; (full replacement for EW-60, EW-58 and NS-82)
	- Pump Station on Lake Tonle Bati	1 no. at Lake Tonle Bati (Full replacement of the existing one, 4 sets of 45 m <sup>3</sup> /min each)
	- Regulator on Prek Thnot River for Stung Touch river	1 no. (Full replacement of Daeum Rues Regulator on the Prek Thnot River)
3)	Main irrigation canal	4 canals, Q= 5.88 – 0.91 m <sup>3</sup> /sec
	- Length	18.9 km in total, Rehabilitation of canal sections for full stretch consisting 11.3 km for Kandal Stung and 7.6 km in Bati Area
	- Off-takes	71 nos.
	- Diversion structures	17 nos.
	- Road crossing	29 nos.
4)	Secondary irrigation canals	8 canals, Q=0.45 – 0.21 m <sup>3</sup> /sec
	- Length	13.6 km in total, Rehabilitation of canal sections for full stretch consisting 5.0 km for Kandal Stung and 8.6 km in Bati Area
	- Off-takes	36 nos.
	- Diversion structures	9 nos.
5)	Main drainage canals	4 canals, Q=2.24 – 0.88 m <sup>3</sup> /sec
	- Length	18.8 km in total, Rehabilitation of canal sections for full stretch consisting 12.1 km for Kandal Stung and 6.7 km in Bati Area
	- Structures	73 nos.
6)	Other facilities	

No.	Description	Quantity
	- Connection canal	3.5 km (Upgrading of NS-82 to Lake Tonle Bati, Q=5.6 m <sup>3</sup> /sec)
	- Spillway of Lake Tonle Bati	1 no. (Full replacement of Kampong Daungkar spillway, Q=197 m <sup>3</sup> /sec)
	- Flood protection dike	Heightening of the existing dike of 2.7 km and replacement of one bridge
7)	Tertiary canal system	
	- Length	101 km in total, new construction and rehabilitation consisting 53 km for Kandal Stung and 48 km in Bati Area

Source: JICA Survey Team

## 2.4 Main Canal 35 Rehabilitation Sub-project

### (1) Location of sub-project site

The Main Canal 35 Irrigation System is located in the upstream of the Slakou River Basin, and situated in the plateau and mountainous region, west of Phnom Penh. The irrigation command area lies in the left bank of the Stung Kat Phluk River along the provincial road, having long and narrow shape extending from west to east with gentle slope. The area is administratively situated mainly in Basedth District, Kampong Speu Province bordering to the National Road No.3, and partly extending in the western part of Takeo Province.

### (2) Scope of sub-project

Khkob Krous Reservoir was recently rehabilitated and well functioning including hydro-mechanical works, and therefore no significant rehabilitation work is required. In contrast, most of the existing irrigation canal and related facilities were constructed in Pol Pot regime, and these now require significant rehabilitation and/or reconstruction to ensure stable irrigation farming.

The basic concept of rehabilitation of these facilities is to make both initial construction cost and O&M cost as low as possible in due consideration of maintaining sufficient function, safety and durability. To meet these, the purpose of the plan would not be to seek for the “perfect” outcome, but to provide the minimum function required for ensuring water resources for irrigation.

Considering the above, the required rehabilitation works will be planned in the following concepts:

- Rehabilitation of the Khkob Krous Reservoir including hydro-mechanical works is not included under MC35RSP, based on the observation and examination including the existing capacity of the spillway in this Survey.
- Irrigation facilities are planned with conditions that (i) reliability level of irrigation supply is set at 4 in 5 years or 80%, (ii) gravity irrigation systems are proposed as much as possible by raising the water level in the canals, except physically difficult (high) land, and (iii) 24-hour water conveyance will be applied for diversion, main and secondary system.
- Most of existing canal routes would be utilized and canal lining would not be considered. In case that the existing canal route is not topographically suitable for rehabilitation, the canal route would be modified based on the topographic situations.
- No construction of new drainage canal would be proposed, because land acquisition for drains is difficult and no serious drainage problem is observed
- Canal and related structures are designed preliminarily at pre-F/S level in this Survey, because no topographic map with large scale and detailed contour line are available. The facilities should be designed in detail at next stage after preparation of topographic map.

Based on the basic concept for the rehabilitation plan mentioned above, facilities to be rehabilitated and/or reconstructed under MC35RSP are shown in Table 2.4.1.

**Table 2.4.1 List of Irrigation and Drainage Facilities to be Rehabilitated under MC35RSP**

No.	Description	Quantity
1)	Irrigation Development Area	- 850 ha in priority area (Zone-A)
2)	Main Canal 35 and Related Structures - Main Canal 35	- Main canal Rehabilitation of existing canal section for Zone-A (12.8 km out of 25.3 km) and construction of new canal (1.2 km)
3)	- Related structures	- Related structures Check structure: 9 nos. Turnout: 16 nos. Culvert: 8 nos. Drain inlet: 10 nos. Drop: 5 nos. Cross Drain: 1 no. Road Bridge: 1 no. Footpath Bridge: 9 nos.
4)	Secondary canals and related structures	Secondary canals and related structures - Secondary canals Rehabilitation of existing secondary canals (5 nos., 9.2 km) and construction of a new canal (1 no., 2.2 km) - Related structures Check Structure: 20 nos. Turnout: 35 nos. Culvert: 26 nos. Drop: 1 no.
5)	Drainage system	Drainage system - Construction of drain structures - Shaping of existing drain
6)	Tertiary canals	Tertiary system development : 26 km (850 ha)
7)	Construction of project office	- Office building (300 m <sup>2</sup> ) - Parking shed, gate and fencing - Well drilling and electric works, etc.

Source JICA Survey Team

## 2.5 Daun Pue Irrigation System Rehabilitation Sub-project

### (1) Location of sub-project site

The Daun Pue Irrigation System is located in the upstream of the Stung Chieb River Basin, about 40 km from its confluence with the Tonle Sap River. The irrigation command area lies in the left bank of the Chieb River and along the provincial road, having long and narrow shape extending from west to east as shown in the location map. Administratively, the area lies in Chieb, Khlong Porporok and Aphivath Communes in Teuk Phos District.

### (2) Scope of sub-project

At present, irrigation water is diverted from the river by temporary weir without permanent facilities, which causes difficulty and low efficiency of sufficient water diversion. Therefore, new construction of headworks is indispensable for DPISRSP. In addition, most of the existing irrigation facilities were constructed in the Pol Pot regime, and they now require significant rehabilitation and/or reconstruction to ensure stable irrigation farming. The basic concept of rehabilitation of these facilities is to make both initial construction cost and O&M cost as low as possible in due consideration of maintaining sufficient function, safety and durability. To meet these, the purpose of the plan would not be to seek for the “perfect” outcome, but to provide the minimum function required for ensuring water resources for irrigation.

Considering the above, the required rehabilitation works will be planned in the following concepts:

- New construction of the headworks instead of the existing temporary weir including gated intake structure is indispensable for the Sub-project.
- Irrigation facilities is planned with conditions that (i) reliability level of irrigation supply is set at 4

in 5 years or 80%, (ii) gravity irrigation systems are proposed as much as possible by raising the water level in the canals, except physically difficult (high) land, and (iii) 24-hour water conveyance will be applied for diversion, main and secondary system.

- Most of existing canal routes would be utilized and canal lining would not be considered. In case that the existing canal route is not topographically suitable for rehabilitation, the canal route would be modified based on the topographic situations.
- No construction of new drainage canal would be proposed, because land acquisition for drains is difficult and no serious drainage problem is observed.
- Canal and related structures are designed preliminarily at pre-F/S level in this Survey, because no topographic map with large-scale and detailed contour line is available. The facilities should be designed in detail at next stage after preparation of topographic map.

Based on the basic concept for the rehabilitation plan mentioned above, facilities to be rehabilitated and/or reconstructed under DPISRSP are shown in Table 2.5.1.

**Table 2.5.1 List of Irrigation and Drainage Facilities to be Rehabilitated under DPISRSP**

Descriptions	Quantities
1) Project Area	(a) Sub-project Area 1,150 ha
2) Hardware Components	
- New construction of headworks	(a) River training of up and down stream of proposed headworks (b) Construction of headworks with provision of flood gates (2 m x 10 m x 4 sets) (c) Construction of intake structure
- Full rehabilitation of Daun Pue Main Canal	(a) Improvement of canal (6.2 km from BP to P6+200, raising of embankment and/or enlargement of canal section), (c) Changing route of main canal (4.9 km from P6+200 to EP, Upgrading of secondary to main canal) (d) Construction of canal inspection road (e) Replacement or new construction of canal related structures; - Check structure 14 nos. - Turnout 34 nos. - Culverts 13 nos.
- Full rehabilitation of secondary canals	(a) Improvement of canal (3.4 km in total) (b) Construction of new secondary canal from main canal to existing secondary canal (1.2 km) (c) Construction of canal inspection road (d) Replacement or new construction of canal related structures; - Check structure 9 nos. - Turnout 15 nos. - Culverts 8 nos.
- Full rehabilitation of drains	(a) Improvement and reshaping of drains
- Development of tertiary canal system	(a) Rehabilitation and improvement of tertiary irrigation canals (35 km)
- New Construction of project office	(a) Office building (300 m <sup>2</sup> ) (b) Parking shed, gate and fencing (c) Well drilling and electric works, etc.

Source: JICA Survey Team

## CHAPTER 3 LEGAL AND POLICY FRAMEWORK

### 3.1 Cambodian Government's Legal Framework

The legal framework of RGC related to land acquisition and resettlement is currently being developed but there is no specific legislation regarding involuntary resettlement. However, there are some laws and regulations related to land acquisition and resettlement.

The Constitution of the Kingdom of Cambodia in 1993 includes provisions that are relevant to involuntary resettlement, which admits the the right to ownership of all persons, individually or collectively, but also admit the right to confiscate (land) possession from any person shall be exercised only in the public interest as provided for under the law with fair and just compensation in advance, in spite of no further suitable supporting procedures or regulatory frameworks.

The Land Law (2001) governs land and property rights in Cambodia. The law states the ownership for non-movable properties in Cambodia, which includes land, trees and structures. It is based on the provisions of the Constitution of 1993. The rights and responsibilities of RGC with respect to eminent domain are also specified in this law. RGC can acquire private lands for public purposes under the conditions of compensation and its advanced payment. The main laws and regulations related to land acquisition and resettlement are described in Table 3.3.1.

**Table 3.1.1 Main law and regulations related to land acquisition and resettlement in Cambodia**

Law and regulations	Main points
Constitution (1993)	The constitution admit mentions the fundamental basis to have land ownership for all persons and the right to confiscate land only in the public interest with fair and just compensation in advance.
Land Law (2001)	"No person may be deprived of his ownership unless it is in the public interest.
Expropriation Law (2010)	The law refers the mechanism and procedure of land expropriation from the legitimate land owner. In the law, the possibility of expropriation for public and national interest or the requirement for government of the purchase of parts of real property left over from expropriation is mentioned.
Decision No. 13 and Prakas No. 98 (1997)	This legislation created the IRC, which is chaired by the MEF with members coming from the MPWT; COM; MAFF; Municipality of Phnom Penh; and the governors or vice-governors of the affected provinces. IRC plays a dominant role in all resettlement activities for both the planning and implementation.
Sub-decree on Social Land Concession (2003)	This sub-decree defines the criteria, procedures, and mechanism for the granting and transferring of private state lands to the poor for residential and/or family farming purposes. This sub-decree is specifically intended for the vulnerable groups. The sub-decree not only provides land, but also includes provision of basic infrastructure and services in order to improve the living standards and livelihood of the recipient families.
Royal Government of Cambodia's Proclamation No. 6 (September 27, 1999)	This is an Order by RGC entitled "Measures to Crack Down on Anarchic Land Grabbing and Encroachment", which prohibits private ownership on state lands. In particular, it required a cessation to encroachment on public and private properties as well as State lands, including public gardens, reserved lands for roads and rail sites.

Source: JICA Survey Team based on each law and regulations

Land registration is being developed in Cambodia currently based on "Sub Decree on Sporadic Land Registration" and land was officially registered in cities or main districts in provincial or main city but there is no registered land in the sub-project area. Therefore it is difficult to identify the legal or illegal land owner and the clarification of occupants of the target land will be implemented in ILO or DMS survey.

### 3.2 JICA's Policy for Involuntary Resettlement and Land Acquisition

JICA requires that involuntary resettlement and loss of means of livelihood are to be avoided and minimized by exploring all viable alternatives. When, avoidance is unfeasible after such an examination, effective measures to minimize impact and to compensate for losses must be agreed upon with the people who will be affected according to JICA's policy. In addition, people who must be resettled involuntarily



and people whose means of livelihood will be hindered or lost must be sufficiently compensated and supported by the proponents of sub-projects, etc. full replacement cost of property damaged by the sub-project is required before the loss of the property. Government must make efforts to enable people affected by sub-projects and to improve their living standard, income, and production levels, or to restore these to pre-project levels at least.

Measures to achieve this may include: providing land and monetary compensation for losses (to cover land and property losses), supporting means for an alternative sustainable livelihood, and providing the expenses necessary for the relocation and re-establishment of communities at resettlement sites.

Appropriate participation by affected people and their communities must be promoted in the planning, implementation, and monitoring of resettlement action plans and measures to prevent the loss of their means of livelihood. In addition, appropriate and accessible grievance mechanisms must be established for the affected people and their communities.

### 3.3 Discrepancies between JICA Guidelines and Cambodian Law and Regulations

There are some discrepancies between JICA Guidelines for Environmental and Social Considerations and Cambodian regulations, in aspect of resettlement policy, compensation policy, consideration of vulnerable APs, etc. To fill the gap with consideration of the condition of area or characteristics of the sub-projects, the policy to be applied for the sub-projects is shown in Table 3.3.1.

**Table 3.3.1 Discrepancy between JICA Guidelines for Environmental and Social Considerations and Cambodian law and regulations**

Item	Cambodian law and regulations	JICA guidelines	Application for the sub-project
Resettlement policy	There is no formally established national policy for resettlement in Cambodia.	There is formal resettlement policy described in JICA guidelines for Environmental and Social Considerations.	JICA guidelines for environmental and social considerations including the OP 4.12 of WB will be adopted basically, because there is no resettlement policy in Cambodia.
Compensation for land acquisition	- For legal ownership, “No person shall be deprived of their ownership unless this action is for the public interest consistent with formalities and procedures provided by the law and after just and fair compensation”. Therefore, compensation is not provided for other types of losses. - Compensation should be fair and just in advance.	Compensation will be done with replacement cost according to OP4. 12 of WB on Involuntary Resettlement. This means that the compensation for lost assets must be made in full amount at fully replacement cost with tax, registration fee, etc based the current market price.	Compensation will be done with fully replacement cost for apparent legal owner, and support of land or structure for their livelihood will be considered for APs who has no apparent ownership, based on illegal with consideration of fair and just and JICA guidelines for environmental and social considerations.
Public participation in the process of planning and implementation	The participation of affected persons during planning and implementation should be promoted.	Appropriate participation by APs and their communities must be promoted in the planning, implementation, and monitoring of RAP and measures to prevent the loss of their means of livelihood.	Public participation procedure will follow the JICA guidelines and Cambodian system because there is no significant difference between both the procedures.
Provision of assistance to restore or improve living standard	The Government has no clear policy or procedure to restore the livelihoods of APs	Living standards and income opportunities, and production levels of APs should be improved or at least restored to	Livelihood restoration program will be considered to restore to their pre-project level with consideration of

Item	Cambodian law and regulations	JICA guidelines	Application for the sub-project
		their pre-project levels.	JICA guidelines for environmental and social considerations.
Consideration for vulnerable APs	The provision of social concession land for poor family is clearly mentioned in the Sub-decree on Social Land Concessions.	Particular attention should be paid to the needs of the poorest affected persons including those without legal title to assets, female-headed households and other vulnerable groups and appropriate assistance provided to help them improve their status.	Social land concession program will be applied for especially vulnerable illegal occupants, which is assistance system of vulnerable APs which do not have legal ownership as, and income and livelihood restoration program.
Provision of support for illegal occupants	Those who have occupied a ROW or public properties are not entitled to any compensation or social support, regardless of their being an AP or from a vulnerable group according to Sechkdey Prakas No. 6 issued by Ministry of Economy and Finance.	The absence of a formal legal title to land by APs should not be a bar to compensation, and that all APs should be assisted to at least restore their pre-project social and economic status, and that vulnerable APs should be assisted to improve their status.	Illegal occupants can be supported by the system of social land concession with appropriate support to improve livelihood but no official land acquisition cannot be provided for the sub-projects.

Source: JICA Survey Team

## CHAPTER 4 SOCIO-ECONOMIC PROFILE OF AFFECTED PERSONS

### 4.1 Roleang Chrey Headworks Rehabilitation Sub-project

#### 4.1.1 General Feature of Socio Economic Condition

##### (1) Geographical Location

RCHRSP area is located at the West of Phnom Penh Municipality in Kampong Speu province and about 50 km from Phnom Penh directly connected by National Road No.4. In the province the main income is agricultural activities where the government has improved this area as a irrigation system.

This RCHRSP area was divided into areas along south main canal and north main canal, delineated in accordance with the water resource availability. Therefore, in total 2 areas with 2 districts, 8 communes, 29 villages in Kampong Speu province shown in Table 4.1.1.

**Table: 4.1.1 Survey Zones and Villages within Target Area**

Name of Canal	Province	District	Commune	Village
South main canal	Kampong Speu	Samrong Tong	Kaheang	Tumpaung
				Voar Preng
				O Veng
				Trach
				Bos Taney
			Roleang Chork	Bak Thmeinh
			Thmei	
			Skus	Beul
				Kok Rongchang
		Krong Chbar Morn	Kandol Dom	Trapeang Prah
				Kandol Dom
				Sre Thnol
			Svay Kravann	Traoh
				Thnol Bambek
				Prey Kdey
North main canal	Kampong Speu	Samrong Tong	Tang Krouch	Andong Sla
				Anlong Thoam
		Krong Chbar Morn	Chbar Morn	Sampov
				Khtum Krang
				Sambour
Borei Kamkor				
Kandol Dom	Thmei			
	Koh Vean			
Rokar Thom	Thmei			
Toul Thnung				
Total:		2	8	29

Source: JICA Survey Team

##### (2) Demography Status

Based on General Population Census of Cambodia 2008, it is found that the total families in Kampong Speu province are 149,132 with total population of 716,517. Of the total population, women contributed 368,923 persons, the family size in the province of 4.8. Furthermore in the sub-project area, there are two districts such Samrong Tong and Chbar Morn districts including eight communes. The population in each commune in the sub-project area is shown in Table 4.1.2.

**Table: 4.1.2 Population in the Sub-project Area by Communes in 2012**

Province	District/ Krong	Commune/ Sangkat	Total	Family	Population		Family size
					Total	Female	
KampongSpeu	Samrong Tong	Kaheang		1513	7613	3906	5.0
		Roleang Chork		1736	8883	4335	5.1
		Skus		2116	10116	5676	4.8
		Tang Krouch		1769	8658	4526	4.9
	Krong Chbar Morn	Kandol Dom		1437	7526	3635	5.2
		Chbar Morn		1686	9175	4667	5.4
		Svay Kravann		1543	8200	4252	5.3
		Rokar Thom		2657	14093	7169	5.3
Total:				12944	66651	34260	5.1

Sources: Commune profiles 2012

In addition to the above, each commune is divided into villages which have residential land and rice field that may also be affected during construction activities. The population in each village in the study area is shown in Table 4.1.3 and Table 4.1.4.

**Table: 4.1.3 Population in Each Affected Villages in South Main Canal**

District	Commune	Village name (affected villages)	Total family	Population	Female	Family size
Samrong Tong	Kaheang	Tumpaung	138	713	374	5.1
		Voar Preng	120	599	314	4.9
		O Veng	107	574	311	5.3
		Trach	94	482	240	5.1
		Bos Taney	106	551	276	5.1
	Roleang Chork	Bak Thmeinh	147	906	510	6.1
		Thmei	72	206	101	2.8
	Skus	Beul	85	384	207	4.5
		Kok Rongang	81	409	200	5.0
	Krong Chbar Morn	Kandol Dom	Trapeang Prah	119	559	291
Kandol Dom			124	659	351	5.3
Sre Thnol			90	478	254	5.3
Svay Kravann		Traoh	76	389	180	5.1
		Thnol Bambek	152	728	386	4.7
		Prey Kdey	135	700	360	5.1
		Phsa Chas	171	1036	523	6.0
		Skus	189	1019	643	5.3
		Dok Por	155	689	345	4.4
		Traoh Sala	172	855	477	4.9

Sources: Commune profiles 2012

**Table: 4.1.4 Population in Each Affected Villages in North Main Canal**

District	Commune	Village name (affected villages)	Total family	Population	Female	Family size
Samrong Tong	Tang Krouch	Andong Sla	133	679	332	5.1
		Anlong Thoam	106	504	224	4.7
Krong Chbar Morn	Chbar Morn	Samov	256	1257	667	4.9
		Khtum Krang	81	463	232	5.7
		Sambour	120	694	367	5.7
		Borei Kamkor	221	1126	662	5.0
	Kandol Dom	Thmei	151	796	409	5.2
		Koh Veau	184	836	417	4.5
	Rokar Thom	Thmei	310	1474	753	4.7
		Toul Thnung	166	864	458	5.2

Sources: Commune profiles 2012

### (3) Ethnic, Minority and Indigenous Distribution

According to the hearing from each commune chief, ethnic, minority or indigenous group has not been identified. Through Public Consultation Meeting (PCM) or socio-economic survey after determination of the ROW, more detail will be identified.

### (4) Land Use and Average Paddy Land Distribution

Paddy field per household varies from commune to another. Based on the Commune Council Members in

each commune said that in the commune there would be divided into residential land, rice field land, farm land, and other (forest, lake, and other public land). The households within this category have their own land holdings, not belonged to parents or rent from others. As a result, their residential areas are also of others. They generally do crop and rice cultivation on other land owner based on agreement from owners. The detail of land use has shown in Table 4.1.5.

**Table 4.1.5 Land Use in Each Commune in Sub-project Area**

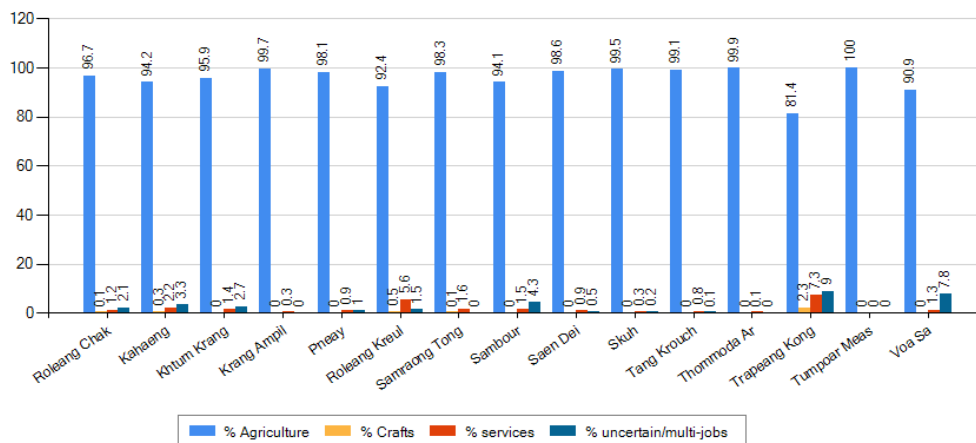
Province	District	Commune	Land Type (ha)				Total Land (ha)
			Rice Field	Farm Land	Residential Land	others	
Kampong Speu	Samrong Tong	Kaheang	703	37	223	437	1400
		Roleang Chork	740	192	225	62	1219
		Skus	2716	317,7	270,7	386.6	3628
		Tang Krouch	1572	117	164	1916	3769
	Krong Chbar Morn	Kandol Dom	561	28	68	5	662
		Chbar Morn	807	228	312	1993	3340
		Svay Kravann	518	30	120	0	668
		Rokar Thom	539	37	173	0	749

Sources: Commune Profile in each commune

(5)Major Livelihood

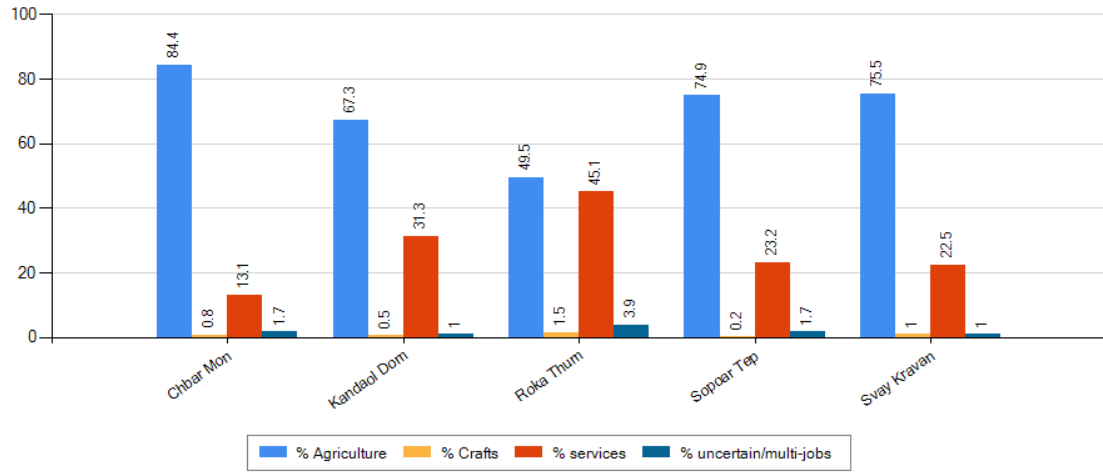
A livelihood is defined as consisting of the capabilities, assets, including material, social resources, and activities required for a means of living. The people in the sub-project area are however not different from rural areas elsewhere in the country. It means that they have traditionally engaged in agriculture, depending on a range of activities to secure food and income which include rice, and other crops both in their farm and around their home, and livestock production, fishing, wage labor, small scale traders and other income generating sources.

In the sub-project area there are 2 districts such as Samrong Tong and Chbar Morn districts as shown in Figure 4.1.1 and 4.1.2.



Sources: National Committee for Sub-national Democratic Development

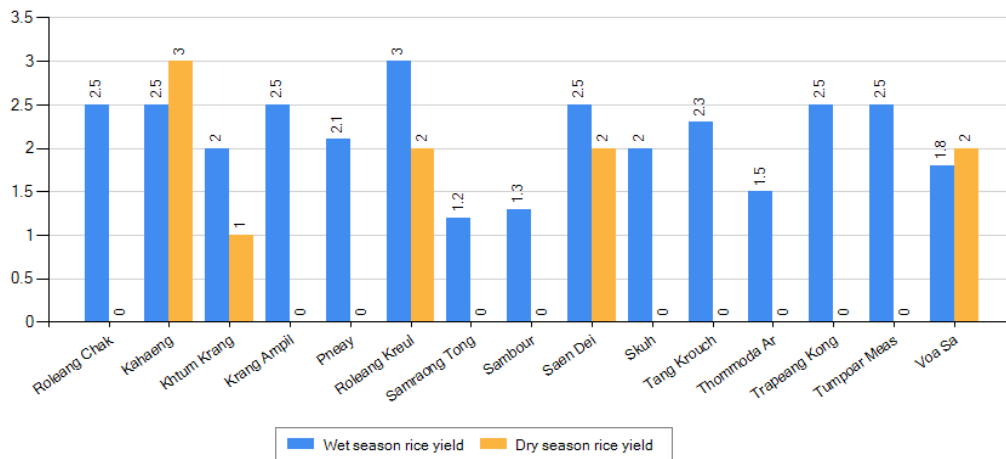
**Figure 4.1.1 Percentage of People per Commune in Four Major Occupations in Samrong Tong District**



Sources: National Committee for Sub-national Democratic Development

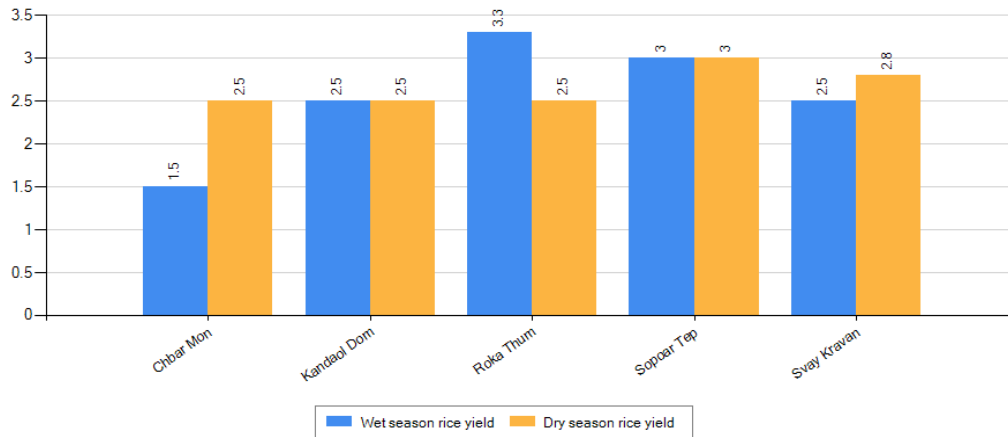
**Figure 4.1.2 Percentage of People per Sangkat in Four Major Occupations in Chbar Morn District**  
 (6) Rice Production

Living condition of the people who live in RCHRSP area are like as people living in other area such most of them is farmer, based on commune profile around 80-90%. Rice production of RCHRSP area, in 8 communes are around 1.5 tons to 3 tons of rice yield per ha as shown in Figure 4.1.3 and Figure 4.1.4.



Note: Average rice yield is calculated by total rice production divided by actual cultivation area, which display as tone(s) per hectare  
 Sources: National Committee for Sub-national Democratic Development

**Figure 4.1.3 Average Rice Yield, Rainy and Dry-Season Rice by Commune in Samraong Tong District**



Note: Average rice yield is calculated by total rice production divided by actual cultivation area, which display as tone(s) per hectare  
Sources: National Committee for Sub-national Democratic Development

**Figure 4.1.4 Average Rice Yield, Rainy and Dry-Season Rice by Sangkat in Chbar Morn District**

#### (7) Average Land Price

Based on the discussion with each commune council member and in each village said that the land price has different cost it depend on the place and the type of the land. However, for cost estimation of the land has shown in Table 4.1.6.

**Table 4.1.6 Average Land Price of RCHRSP Area**

District	Commune	Cost estimation of Land in the area (US\$/m <sup>2</sup> )								
		Rice Field			Farm Land			Residential		
		Mini	Max	Average	Mini	Max	Average	Mini	Max	Average
Samrong Tong	Kaheang	5	10	7.5	N/A	N/A	N/A	5	10	7.5
	Roleang Chork	1	2	1.5	1	3.5	2.25	1	3	2
	Skus	2	3	2.5	1	3	2	2	3	2.5
	Tang Krouch	1	3	2	N/A	N/A	N/A	6	10	8
Chbar Morn	Kandol Dom	3	25	14	N/A	N/A	N/A	30	33	31.5
	Chbar Morn	2	5	3.5	3	7	5	5	10	7.5
	Svay Kravann	2	3	2.5	N/A	N/A	N/A	7	8	7.5
	Rokar Thom	1	5	3	N/A	N/A	N/A	5	15	10

Sources: Commune Profile in each commune

### 4.1.2 Questionnaire Survey

#### (1) Objectives and Methodology

##### (a) Objectives

The Survey has the objectives of identification of the socio-economic profile related to land acquisition and awareness of the land acquisition or compensation at planning stage. To achieve the objectives, a questionnaire survey from APs, identified based the field survey including hearing from commune chief or village chief in the area affected by this sub-project, has been carried out.

##### (b) Methodology (selection procedure of sampling point, contents of questionnaire, etc)

The survey questionnaire drafted by the JICA Survey Team in English and was translated into Khmer language and after the field testing and improvement, which was applied for the field survey.

The survey questionnaire includes 9 different contents such as (i) status of APs, (ii) main income source, (iii) main expenditure for consumption and (iv) preferences of compensation as attachment.

At the time of the study the survey, the sampling points were randomly selected to identify the situation of the area possibly affected by the land acquisition in every affected commune. The total number of sample

is 40 with consideration of time limitation of this survey. Based on this notion, the following steps were taken to select the actual survey location and samples;

- To create a draft map which indicates the possible land acquisition areas as reference map to select the sampling points,
- To discuss with PDOWRAM officials for the relevance of previous Communes/Villages listing as against zoning, and asked them to recommend the appropriate Communes/Villages for the field survey,
- To hold consultation meeting with commune council to inform the aim and objective of this social-economic survey and allow from them to contact the village leader of affected village to collect information,
- To prepare the list of the target commune names by considering questionnaire survey,
- To prepare the list of the target village names within the communes listed is available in work plan,
- To selection of the sampling points through the field survey and implement the pre-test of this questionnaire survey,
- To commence the questionnaire survey by interviewing APs through the questionnaire sheet.

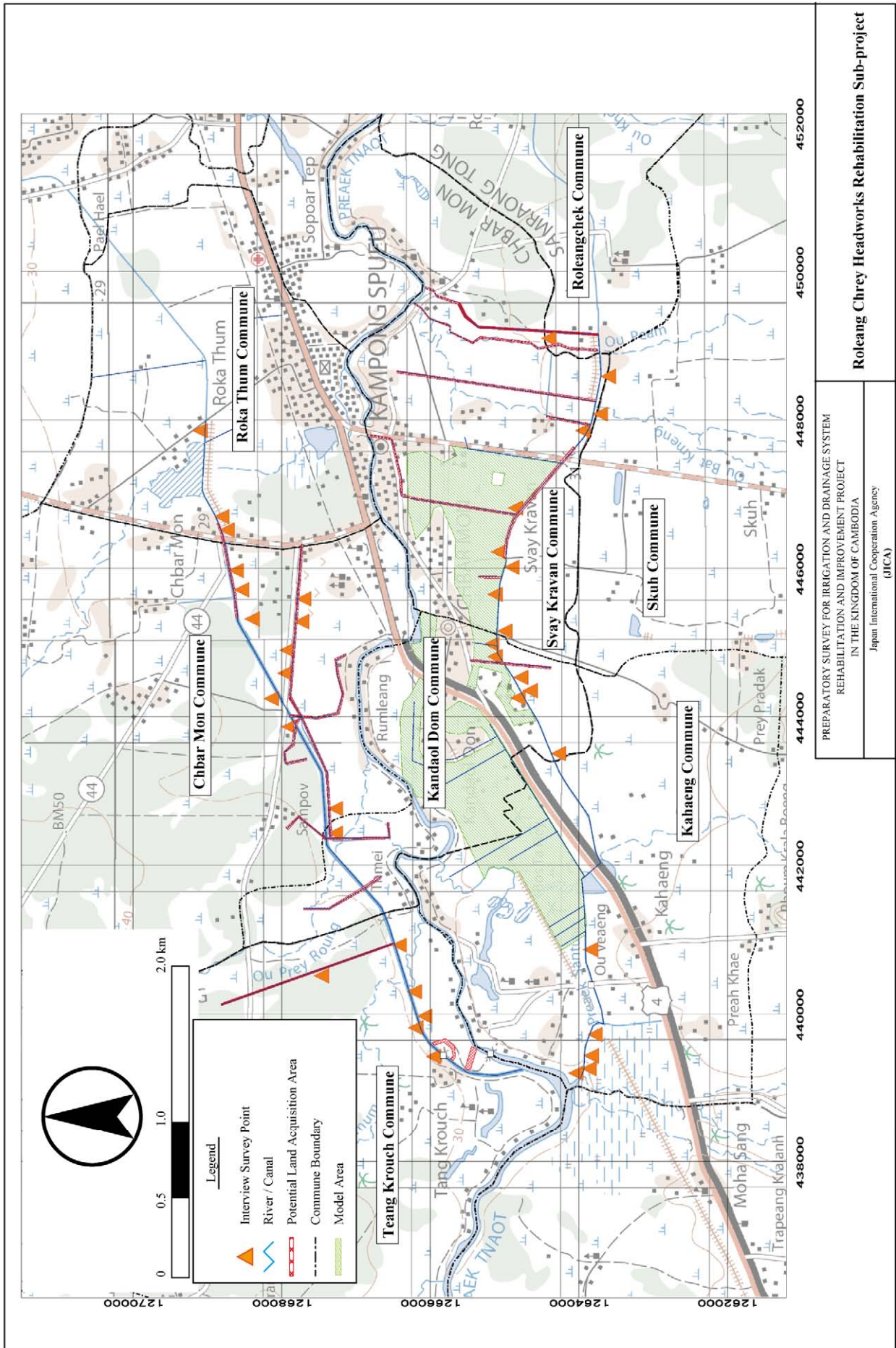
The information of administration of survey area and sample distribution of sample household in each affected villages are shown in Table 4.1.7.

**Table 4.1.7 Socio-Economic Survey Sample Distributions**

District	Commune	Village name (affected villages)	Total family	Population	Sample
<b>South main canal</b>					
Samrong Tong	Kaheang	Tumpaung	138	713	2
		Voar Preng	120	599	2
		O Veng	107	574	1
		Trach	94	482	1
		Bos Taney	106	551	1
	Roleang Chork	Bak Thmeinh	147	906	1
		Thmei	72	206	0
	Skus	Beul	85	384	1
		Kok Rongchang	81	409	1
	Krong Chbar Morn	Kandol Dom	Trapeang Prah	119	559
Kandol Dom			124	659	2
Sre Thnol			90	478	1
Svay Kravann		Traoh	76	389	1
		Thnol Bambek	152	728	1
		Prey Kdey	135	700	1
		Phsa Chas	171	1036	1
		Skus	189	1019	1
		Dok Por	155	689	0
		Traoh Sala	172	855	0
Sub-total:			2333	11936	20
<b>North main canal</b>					
Samrong Tong	Tang Krouch	Andong Sla	133	679	2
		Anlong Thoam	106	504	2
Krong Chbar Morn	Chbar Morn	Sampov	256	1257	2
		Khtum Krang	81	463	2
		Sambour	120	694	2
		Borei Kamkor	221	1126	3
	Kandol Dom	Thmei	151	796	2
		Koh Vean	184	836	2
	Rokar Thom	Thmei	310	1474	2
		Toul Thnung	166	864	1
Sub-total:			1728	8693	20
Total:			4061	20629	40

Source: JICA Survey Team





PREPARATORY SURVEY FOR IRRIGATION AND DRAINAGE SYSTEM  
REHABILITATION AND IMPROVEMENT PROJECT  
IN THE KINGDOM OF CAMBODIA

Japan International Cooperation Agency  
(JICA)

Roleang Chrey Headworks Rehabilitation Sub-project

Figure 4.1.5 Sample Distribution for the Socio-economic Survey

## (2) Survey Result and Analysis

## (a) Attribution of Respondents

Respondents consist of the head of household female and male, and 2 younger sister and only 1 who is oldest son of the household. The average age of respondents is 51 years old. The rate of male and female of the respondents is 42.5% and 50% respectively as shown in Table 4.1.8.

**Table 4.1.8 Male-Female Balance of Respondents**

Item	Number	Rate [%]
Male head of HH	17	42.50
Female head of HH	20	50.00
Oldest son of the HH	1	2.50
Oldest daughter of the HH	0	0.00
Other (younger sister)	2	5.00
Total	40	100

Source: JICA Survey Team

## (b) Family structure

## 1) Average Number of household member

The average number of household member is 5.48 persons in a household of the respondents.

## 2) Balance of male and female

The rate of male and female of the respondents is 48% and 52% respectively as shown in Table 4.1.9.

**Table 4.1.9 Balance of Male and Female of the Total 40 Families**

Item	Number	Rate [%]
Male	105	48.00
Female	114	52.00
Total	219	100

Source: JICA Survey Team

## 3) Total family member and working persons

The average number of working-age population (between >10 to < 64 yrs old) per household is 3.95 while the average of non-working is 1.53 of a family of the respondents. The number and rate working person are shown in Table 4.1.10.

**Table 4.1.10 Total Family Member and Working Person**

Total		Working Person		Non-working Person	
N	%	n	%	n	%
219	100	158	72.15	61	27.85

Source: JICA Survey Team

## (c) Main occupation

The main income sources of the sampled household heads are predominantly from farming activity (65%) for all Zones, as shown Table 4.1.11.

**Table 4.1.11 Main Occupation of Household Heads**

Main Income Sources of Household Heads	Number	Rate [%]
Farmer	26	65
On-farm labor	1	3
Non-farm labor	3	8
Salary worker	0	0
Private business	5	13
Others	5	13
Total	40	100

Source: JICA Survey Team

## (d) Education Level

## 1) Education background

The education levels of the sampled households are shown in Table 4.1.12.

**Table 4.1.12 Education Levels of Sampled Household Member**

Condition of education level	Number	Rate [%]
No formal education	15	6.85
Drop-out at primary school	14	6.39
Graduate from primary school	37	16.89
Drop-out at junior high school	31	14.16
Graduate from junior high school	16	7.31
Drop-out at high school	15	6.85
Graduate from high school	27	12.33
More than high school	7	3.20
Presently going to school	36	16.44
Not going to school	3	1.37
Before school age	18	8.22
Non-formal education for adults	0	0.00
Total	219	100

Source: JICA Survey Team

## 2) Literacy

Literacy rate of sampled household members is 78.54% for total samples, with minor variance among the 2 Zones, as shown in Table 4.1.13.

**Table 4.1.13 Literacy Rate of Sampled Household Members**

Literacy Condition	Number	Rate [%]
Unable to write, read, and calculate for making living	47	21.46
Able to write, read, and calculate for making living	172	78.54
Total	219	100.00

Source: JICA Survey Team

## (e) Income sources

### 1) Income Levels

The average and median household incomes are 19,622,000 [Riel] and 13,630,000 [Riel/year]. Maximum and minimum household incomes are 81,640,000[Riel/year], and 1,163,000 [Riel/year]. The gap between “average” and “median” income per household indicates that there exist numbers of very rich households, or there are few very riches in comparison to the number of poor.

### 2) Proportion of income sources

For this category, the sources of income and their levels during year 2011 was inquired. Household income sources are quite diversified. In average of both zones and sample households, they earn different income sources. The distribution of single and multi income source households among 2 Zones is shown in Table 4.1.14.

**Table 4.1.14 Total Proportional Income Volumes from Different Sources**

No	Cash Income Sources	Rate [%]
1	Selling paddy/rice	6.8
2	Selling vegetables (red pepper/ tobacco/ water melon/ others)	1.5
3	Selling fruits (mango/ papaya, banana/ hairy fruit/ orange/ others)	0.1
4	Selling palm sugar	0.8
5	Selling livestock/ poultry products	10.9
6	Selling fishes	1.6
7	Salary from permanent job	5.2
8	Wage from temporary on-farm job	0.5
9	Wage from temporary off-farm job	2.3
10	Private business (transportation, trading, shop, etc.)	28.7
11	Remittance from family members	21.8
12	Selling firewood/charcoal	8.1
13	Selling handicraft/ cottage industry products	-
14	Selling forest vegetable/ crop	-

No	Cash Income Sources	Rate [%]
15	Others	11.6
Total		100.0

Source: JICA Survey Team

#### (f) Expenditure

##### 1) Expenditure level

Average, median, minimum and maximum expenditures for samples are shown in Table 4.1.15. Average value is more than median value, which indicates that most of households does not expense so much but a few households expense much more than the other households.

**Table 4.1.15 Average, Median, Minimum and Maximum Expenditure**

Item	Expenditure [x1000 Riel/year]
Average/HH	6,534
Median/HH	5,750
Minimum	510
Maximum	29,608

Source: JICA Survey Team

##### 2) Proportion of expenditure source

The result show that expense of transportation, health and medicine occupy a large portion of expenses. The expense of food including rice or other food expenses is small part of expenses. It indicates that food may be self-supported.

**Table 4.1.16 Proportional Expenditure Volumes for Different Purposes**

Item	Rate [%]
1 Rice	8.4
2 Other foods	0.0
3 Health/ medicine	16.5
4 Education	15.7
5 Clothes	6.6
6 Firewood/Kerosene/Electricity/Battery	6.3
7 Transportation (Motor taxi/Gasoline)	28.2
8 Tax	0.3
9 Others (Ceremony/ Wedding)	18.0
10 Total	100.0

Source: JICA Survey Team

#### (g) Preference of compensation type

Half of the respondents require cash compensation but 11 respondents cannot decide in this moment due to no detail information of compensation procedure. A few respondents reply the land compensation with the same condition or free contribution. Only two respondents require the compensation of job training.

**Table 4.1.17 Preference of Compensation Type**

Item	Number	Rate [%]
Cash	20	50
Jobs for family members	2	5
Do not know yet	11	27
Others (Free contribution, Land-to-land)	7	17
Total	40	100

Source: JICA Survey Team

#### (h) Assistance requirement by APs

In the respondents who require the secure of job as compensation, the type of assistance is asked to the respondents, though it is difficult to identify the type of job training due to few respondents. All the respondents require the training for job opportunity. Therefore, job training will be necessary for income

and livelihood restoration program.

**Table 4.1.18 Assistant Requirement of APs**

Item	Number	Rate [%]
Temporary income support until you decide job	0	0.00
Employment opportunity in construction project	0	0.00
Training opportunity for new job	2	100.00
Others	0	0.00
Total	2	100.00

Source: JICA Survey Team

(i) Requirement of job training by APs

Though it is difficult to identify the type of job training due to few respondents, farmer or driver is favorable job training. In the process of income and livelihood restoration program, suitable job training will be provided for respective APs.

**Table 4.1.19 Requirement of Job Training by APs**

Item	Number	Rate [%]
Salary worker	0	0.00
Farmer	1	50.00
Retailer	0	0.00
Others	1	50.00
Total	2	100.00

Source: JICA Survey Team

## 4.2 Upper Slakou Irrigation System Rehabilitation Sub-project

### 4.2.1 General Feature of Socioeconomic Condition

#### (1) Geographical Location

The total land area for Phong commune, Basedth district, Kampong Speu province is 1,350ha of rice land area and 100% cultivating during rainy season but at the present time there are not dry rice land have been cultivated for this year. Unfortunately, we can't identify a number of resident land area, gardening, forest land area or non-cultivating land area for this commune but the other five communes in such as Trapeang Kranhung, TrapeangThumKhangcheung, OuSaray, Cheang Tong, and Ta Phen commune as well.

#### (2) Demography Status

The total population represents overall numbers based on statistics from population commune councils, vital statistics registration systems, or sample surveys pertaining to the recent past as shown in Table 4.2.1.

**Table 4.2.1 Total Population by Commune and Villages**

No	Commune	Village	Families	Population
1	Phong	Dam Bok Khpuos	309	662
		Prey Dork Por	168	312
2	Trapeang Kranhung	Phlov Lok	193	866
3	Ou Saray	Thnaot Chum	251	1,015
		TraPeangKrasaing	223	935
		Stueng	472	2,074
		Russei Mouykum	N/A	1,787
4	Tra peang ThumkhangCherng	Peak bang Aorng	N/A	1,297
		AngkTrav	N/A	1,333
		Prey Talei	N/A	361
5	Cheang Tong	Srae Khvav	N/A	946
6	Ta Pphem	Mrum	155	769
		Ta Mom	99	538
		Mohasena	241	1,228
Total	6 communes	14 villages	2,296.00	11,669.00

Source: Commune profile, 2012

#### (3) Ethnic, Minority and Indigenous Distribution

There is not ethnic, minority and indigenous people found during this survey.

## (4) Land Use and Average Paddy Land Distribution

The land use and average paddy rice land distribution of USISRSP area identified from the commune councils of communes in which affected by the sub-project area. Total land area and the land use of paddy field is only in rainy season or 100% of rice land by commune as shown in Table 4.2.2.

**Table 4.2.2 Land Use for USISRSP Area**

No	Commune	Total Land Area (ha)	Paddy field (Rainy Season)
1	Phong	1,350	1,350
2	Trapeang Kranhung	5,170	5,170
3	Ou Saray	2,636	2,636
4	Trapeang Thumkhangcherng	2,096	2,096
5	Cheang Tong	1,975	1,975
6	Ta Pthem	2,921	2,921

Source: Commune profile, 2012

## (5) Major Livelihood

The major livestock of USISRSP are chicken and duck. We found that 32 households or 80% are raising chicken out of 40 households, 26 households or 65% raising ducks, 14 households or 35% are raising Cattles, 10 households or 25% are raising pigs and the other is Water Buffalo etc.

**Table 4.2.3 Major Livestock Raising of USISRSP Area**

Livestock	Number of animal	Number of household	%
Chicken	374	32	80%
Ducks	208	26	65%
Cattle	47	14	35%
Water buffalo	33	9	23%
Pig	35	10	25%
Horse	0	0	0%
Goat	0	0	0%
Others	0	0	0%

Source: Commune profile, 2012

## (6) Paddy Production

Paddy is a major crop in the USISRSP. area. Current situation of paddy cultivation in Takeo Province is shown in Table 4.2.4.

**Table 4.2.4 Area, Unit Yield and Production of Paddy in Takeo Province**

No.	District	Rainy Season 2010			Dry Season 2011			Total 2010-2011		
		Harvested Area (ha)	Yield (ton/ha)	Production (ton)	Harvested Area (ha)	Yield (ton/ha)	Production (ton)	Harvested Area (ha)	Yield (ton/ha)	Production (ton)
1)	Angkorborey	5,372	3.46	18,564	17,219	4.65	80,068	22,591	4.37	98,632
2)	Bati	20,120	3.16	63,601	2,257	3.90	8,802	22,377	3.24	72,403
3)	Bareychulsa	4,942	3.37	16,631	15,870	4.90	77,763	20,812	4.54	94,394
4)	Kirivong	27,971	3.23	90,391	10,590	4.60	48,714	38,561	3.61	139,105
5)	Kos Ondaet	16,137	3.13	50,476	14,921	4.70	70,129	31,058	3.88	120,605
6)	Preykabas	16,847	3.17	53,426	6,310	4.60	29,026	23,157	3.56	82,452
7)	Samraong	20,685	3.22	66,625	5,418	4.10	22,214	26,103	3.40	88,839
8)	Doun Kaev	3,568	3.32	11,861	3,169	4.20	13,310	6,737	3.74	25,171
9)	Tram Kak	39,156	3.32	129,888	35	3.20	112	39,191	3.32	130,000
10)	Treang	29,006	3.27	94,976	5,115	4.10	20,972	34,121	3.40	115,948
	Total	183,804	3.24	596,439	80,904	4.59	371,110	264,708	3.66	967,549

Note: The Survey Area is in District Tram Kak.

Source: Agricultural Statistics 2010 – 2011, Provincial Department of Agriculture, Takeo Province

## (7) Average Land Price

The average land price in this area is differences from one to another commune for example land price Phong commune is 4,000USD far from road 5,000USD per hecta(rice field land) is near road access but also different from residential land price in average is 10,000USD per hecta according to the information

received from commune council reported during briefing meeting. The land price in the other communes in Tram Kak, Ta Keo province is higher than in Phong commune and the average land price is 6,000USD far from road and 7,000USD near road access (rice field land). The land price for the residential land is 12,000USD / ha except for Cheang Tong. The land price in Ta Phem commune are much higher than the other communes because it is near market area.

#### 4.2.2 Questionnaire Survey

##### (1) Objectives and Methodology

###### (a) Objectives

The Survey has the objectives of identification of the socio-economic profile related to land acquisition and awareness of the land acquisition or compensation at planning stage. To achieve the objectives, a questionnaire survey from APs, identified based the field survey including hearing from commune chief or village chief in the area affected by this sub-project, has been carried out.

###### (b) Methodology (selection procedure of sampling point, contents of questionnaire, etc)

The survey questionnaire drafted by the JICA Survey Team in English and was translated into Khmer language and after the field testing and improvement, which was applied for the field survey.

The survey questionnaire includes 9 different contents such as (i) status of APs, (ii) main income source, (iii) main expenditure for consumption and (iv) preferences of compensation as attachment.

At the time of the study the survey, the sampling points were randomly selected to identify the situation of the area possibly affected by the land acquisition in every affected commune. The total number of sample is 40 with consideration of time limitation of this survey. Based on this notion, the following steps were taken to select the actual survey location and samples;

- To create a draft map which indicates the possible land acquisition areas as reference map to select the sampling points,
- To discuss with PDOWRAM officials for the relevance of previous Communes/Villages listing as against zoning, and asked them to recommend the appropriate Communes/Villages for the field survey,
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- To prepare the list of the target commune names by considering questionnaire survey,
- To prepare the list of the target village names within the communes listed is available in work plan,
- To selection of the sampling points through the field survey and implement the pre-test of this questionnaire survey,
- To commence the questionnaire survey by interviewing APs through the questionnaire sheet.

The information of administration of survey area and sample distribution of sample household in each affected villages are shown in Table 4.2.5.

**Table 4.2.5 Village within the Target Area**

No	Commune	Village	Number of Sample
1	Phong	Dam Bok Khpuos	3
		Prey Dork Por	3
2	Trapeang Kranhung	Phlov Lok	5
3	Ou Saray	Thnaot Chum	1
		TraPeangKrasaing	3
		Stueng	3
		Russei Mouykum	1

No	Commune	Village	Number of Sample
4	Tra peang ThumkhangCherng	Peak bang Aornng	2
		AngkTrav	1
		Prey Talei	2
5	Cheang Tong	Srae Khvav	7
6	Ta Phem	Mrum	3
		Ta Mom	3
		Mohasena	3
Total	6 communes	4 villages	40

Source: JICA Survey Team





## (2) Survey Result and Analysis

## (a) Attribution of Respondents

Respondents consist of the head of household female and male, and 1 younger sister. The average age of respondents is 43.5 years old. The rate of male and female of the respondents is 61.55% and 35.9% respectively as shown in Table 4.2.6.

**Table 4.2.6 Male-Female Balance of Respondents**

Item	Number	Rate [%]
Male head of HH	24	61.54
Female head of HH	14	35.90
Oldest son of the HH	0	0.00
Oldest daughter of the HH	0	0.00
Other (younger sister)	1	2.56
Total	39	100

Source: JICA Survey Team

## (b) Family structure

## 1) Average number of household member

The average number of household member is 4.85 persons in a household of the respondents.

## 2) Balance of male and female

The rate of male and female of the respondents is 54% and 46% respectively as shown in Table 4.2.7.

**Table 4.2.7 Balance of Male and Female of the Total 40 Families**

Item	Number	Rate [%]
Male	105	54.00
Female	89	46.00
Total	194	100

Source: JICA Survey Team

## 3) Total family member and working persons

The average number of working-age population (between >10 to < 64 yrs old) per household is 3.07 while the average of non-working is 1.78 of a family of the respondents. The number and rate working person are shown in Table 4.2.8.

**Table 4.2.8 Total Family Member and Working Person**

Total		Working Person		Non-working Person	
N	%	n	%	n	%
194	100	123	63.40	71	36.60

Source: JICA Survey Team

## (c) Main occupation

The main occupation of these sampled households mostly from farming activity is 27 out of 40 households or 68%, private business 8 households or 20%, others 4 households or 10%, and salary 1 household or 3% as shown in Table 4.2.9.

**Table 4.2.9 Main Occupations of Household Heads**

Item	Number	Rate [%]
Farmer	27	68.0
On-farm labor	0	0.00
Non-farm labor	0	0.00
Salary Worker	1	3.0
Private Business	8	20.0
Others	4	10.0
Total	40	100

Source: JICA Survey Team

## (d) Educational level

## 1) Educational background

The levels of education for USISRSP area are shown in Table 4.2.10.

**Table 4.2.10 Education Levels Sampled Household Members**

Condition of Education Level	Number	Rate [%]
No formal education	12	6.19
Drop-out at primary school	28	14.43
Graduate from primary school	6	3.09
Drop-out at junior high school	13	6.70
Graduate from junior high school	17	8.76
Drop-out at high school	4	2.06
Graduate from high school	27	13.92
More than high school	16	8.25
Presently going to school	49	25.26
Not going to school	17	8.76
Before school age	5	2.58
Non-formal education for adults	0	0.00
Total	194	100

Source: JICA Survey Team

## 2) Literacy

Literacy rate of sampled household members is 81.44% for total samples as shown in Table 4.2.11.

**Table 4.2.11 Literacy Rate of Sampled Household Members**

Literacy Condition	Number	Rate [%]
Unable to write, read, and calculate for making living	36	18.56
Able to write, read, and calculate for making living	158	81.44
Total	194	100

Source: JICA Survey Team

## (e) Income sources

### 1) Income levels

The average and median household incomes are 8,372,000 [Riel] and 7,275,000 [Riel/year]. Maximum and minimum household incomes are 36,400,000[Riel/year], and 940,000 [Riel/year]. The gap between “average” and “median” income per household indicates that there exist numbers of very rich households, or there are few very riches in comparison to the number of poor.

### 2) Proportion of income sources

Private business is most large portion of income source and “selling paddy/rice”, “Selling livestock/poultry products”, “Salary from permanent job” and “Wage from temporary off-farm job” occupy the following large portion as shown in Table 4.2.12.

**Table 4.2.12 Main Income Sources of Sampled Household Heads**

Item	Rate [%]
Selling paddy/rice	12.4
Selling vegetables (red pepper/ tobacco/ water melon/ others)	1.2
Selling fruits (mango/ papaya, banana/ hairy fruit/ orange/ others)	0.0
Selling palm sugar	0.0
Selling livestock/ poultry products	14.3
Selling fishes	0.0
Salary from permanent job	18.5
Wage from temporary on-farm job	0.6
Wage from temporary off-farm job	14.6
Private business (transportation, trading, shop, etc.)	30.9
Remittance from family members	6.3
Selling firewood/charcoal	0.0
Selling handicraft/ cottage industry products	0.0
Selling forest vegetable/ crop	0.0
Others	1.0
Total	100

Source: JICA Survey Team

## (f) Expenditure

### 1) Expenditure level

Average, median, minimum and maximum expenditures for samples are as follows. Average value is more

than median value, which indicates that most of households does not expense so much but a few households expense much more than the other households.

**Table 4.2.13 Average, Median, Minimum and Maximum Expenditure**

Item	Expenditure [x10 <sup>3</sup> Riel/year]
Average/HH ('000 Riel)	8,745
Median/HH ('000 Riel)	5,618
Minimum	1,202
Maximum	47,870

Source: JICA Survey Team

2) Proportion of expenditure source

The expense of other foods except rice occupies a large portion as well as transportation and education as shown in Table 4.2.14. It indicates that food except rice is difficult to self-support.

**Table 4.2.14 Proportional Expenditure Volumes for Different Purposes**

Item	Rate [%]
Rice	1.6
Other foods	29.4
Health/ medicine	7.0
Education	17.2
Clothes	4.3
Firewood/Kerosene/Electricity/Battery	5.2
Transportation (Motor taxi/Gasoline)	21.1
Tax	0.5
Others (Ceremony/ Wedding)	13.6
Total	100.0

Source: JICA Survey Team

(g) Preference of compensation type

A total of 18 out of 40 respondents are requested for the compensation by cash and 22 out of 40 respondents are not requested for any compensation from the government because they are pleased to implementation this sub-project especially they needed water for their dry season rice and gardening as mentioned as shown in Table 4.2.15.

**Table 4.2.15 Preference of Compensation Type**

Item	Number	Rate [%]
Cash	18	45.00
Jobs for family members	0	0.00
Do not know yet	0	0.00
Others (Free contribution, Land-to-land)	22	55.00
Total	40	100.00

Source: JICA Survey Team

(h) Assistance requirement by APs

It is differences from one to another APs required during the field survey, some of them are very happy to hear that the USISRSP will be rehabilitation and or reconstruction but some of them did not happy to get this sub-project because it may affected to their houses, land, and other properties near the scheme. About 60% of these APs does not required compensation from the government but about 40% of APs that living near Market place they did not want to take this sub-project as well as they do not want to remove their house from the sub-project site. For example in Cheang Tong commune they suggested that, the government or donor should be rehabilitation at the existing canal on the other side of road it have more benefits or less money than the new construction canal and not needs for compensation to the APs as well.

(i) Requirement of job training for APs

There are not any APs who requested job training during the field survey and most of APs prefer to cash compensation.

### 4.3 Kandal Stung-Bati Irrigation System Rehabilitation Sub-project

#### 4.3.1 General Feature of Socioeconomic Condition

##### (1) Geographical Location

KSBSRSP area in this study extensively covers 2 districts, 16 communes, and 55 villages of 2 provinces namely Kandal Province and Takeo Province.

Name of affected communes and villages are listed in Table 4.3.1.

**Table 4.3.1 Affected Communes and Villages of Kandal Stung-Bati Area**

Affected Areas of KSBSRSP					
Province	District	Commune	Village	Commune	Village
Kandal	Kandal Steung	Bakou	Kmot	Ampeov Prey	Ampeov Prey 1
			Bakou		Ampeov Prey 2
		Kouk Troap	Svay Lech		Ampeov Prey 3
			Svay Kert		Tadoul Ti 1
			Leak		Cherng Prey
			Kouk Troap		Prek Sleng
			Char	Pon Char	
		Thmey	Kouk Pring	Prek Roka	
			Thmey	Beoung Khaeak	
			Tonlea	Chambok Troap	
		Siem Reap	Siem Reap	Kandouk	Koh Khnol
			Cheychomnaes		Kandouk
			Real Dorb	Tek Nim	
			Rean Thmor	Beoung Kchang	
			Prek Angkunh	Beoung Kchang	
			Chambok	Pralay	
		Trea	Roung Kou	Trapeang Veng	Trapeang Bakou
			Troh		Sleng
			Trea		Proveng
			Trapeang Sva	Damnak Trabek	
Trapeang Kok	Tbeng		Kouk Til		
Takeo	Bati	Krang Thnong	Tonle Bati	Champey	Derm Dong
			Krang Thnong		Makak
			Hanoukman	Kandeong	Trapeang Lerk
			Chroung Sdao		O Phea Sang
			Thbong Domrei		Preah Mlob
		Khlang Sambath	Hanoukman		
		Pot Sor	Tang Russey		
			Khvan Meas		

Source: Commune Profile, 2012

##### (2) Demography Status

The 16 affected communes have total 112 villages, 20,950 households, 94,867 people, and 48,967 female. The total number of household, population, and female status by affected communes has figured in Table 2 (Commune Profile, 2012). The number of migration to other countries is less than 30 people in each commune. The purpose of migration is mostly for occupations in some preferable countries such as Korea, Thailand, and Malaysia.

**Table 4.3.2 Household Number, Population, Female Number by Affected Commune, 2012**

Province	District	Commune	Number of Village	Total Family	Population	
					Total	Female
Kandal	Kandal Steung	Bakou	7	1,152	5,217	2,830
		Kouk Troap	9	1,068	4,619	2,458
		Siem Reap	6	1,059	4,759	2,503
		Kandouk	7	1,443	6,229	3,244

Province	District	Commune	Number of Village	Total Family	Population	
					Total	Female
		Thmey	5	528	2,282	1,337
		Trea	9	1,339	5,804	3,101
		Ompeov Prey	9	1,414	6,207	3,347
		Prek Sleng	4	937	4,206	2,131
		Prek Roka	4	1,150	4,809	2,415
		Beoung Kchang	6	1,547	6,580	3,166
		Tbaeng	7	940	4,255	2,216
		Trapeang Veng	5	853	3,917	2,002
Takeo	Bati	Krang Thnong	8	1,999	9,772	4,984
		Pot Sor	11	2,402	11,849	5,943
		Champeï	7	1,376	6,251	3,094
		Kandeong	8	1,743	8,111	4,196
Total			112	20,950	94,867	48,967

Source: Commune Profile, 2012

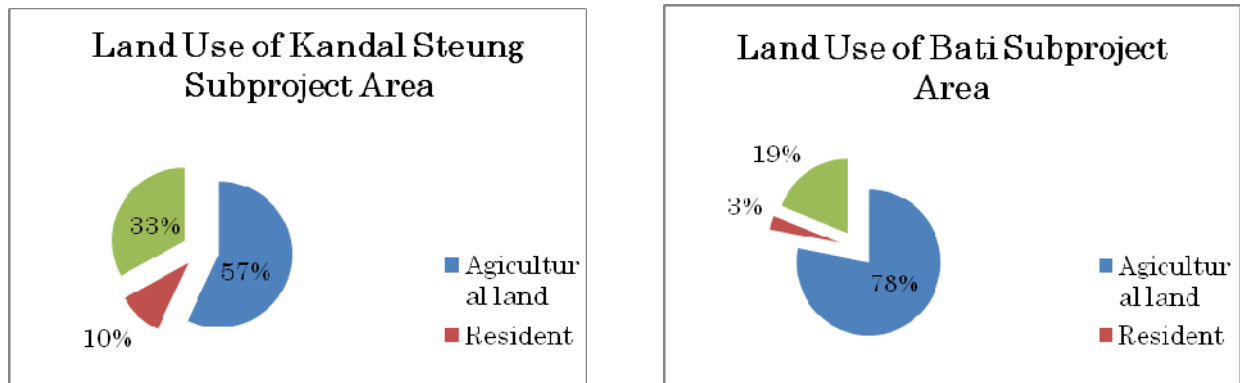
(3) Ethnic, Minority and Indigenous Distribution

None of ethnic, minority and indigenous distribution has indentified in Kandal Steung-Bati area. Through Public Consultation Meeting (PCM) or socio-economic survey after determination of the ROW, more detail will be identified.

(4) Land Use and Average Agricultural Land Distribution

Over 50% of total land has been occupied for agricultural activities, followed by residential land and other including infrastructure, streams, forest etc.

Figure 4.3.1 shows percentage of land use categorized by agriculture, resident, and other of Kandal Stung and Bati area.

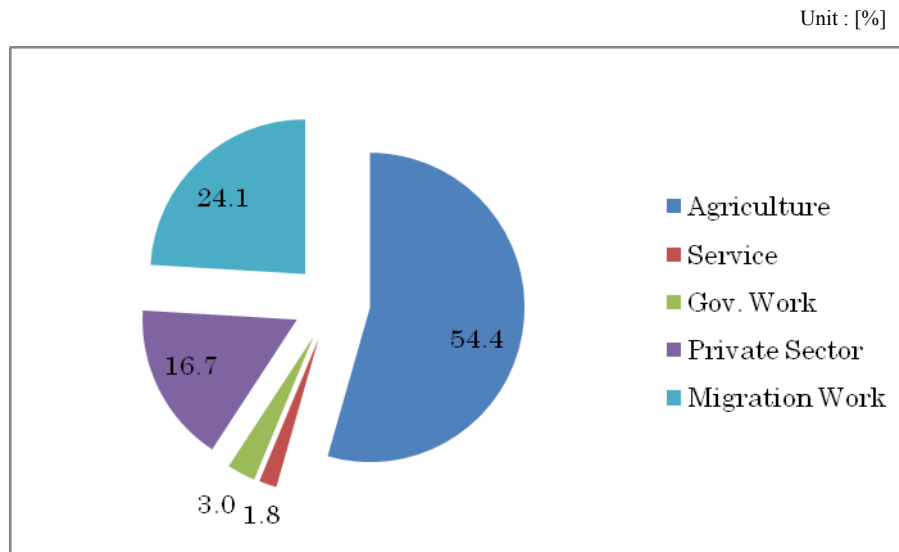


Source: Commune Profile, 2012

Figure4.3.1 Percentage of Land Use of KSBISRSP Area

(5) Major Livelihood

Main occupation in KSBISRSP area is based on agricultural production which shared 54.4% followed by migration work 24.1%, private sector 16.7%, government work 3% and service 1.8% as Figure 4.3.2. Figure 4.3.2 illustrates the number of families per district by occupations' types in Kandal Steung and Bati district.



Source: NCDD, 2011

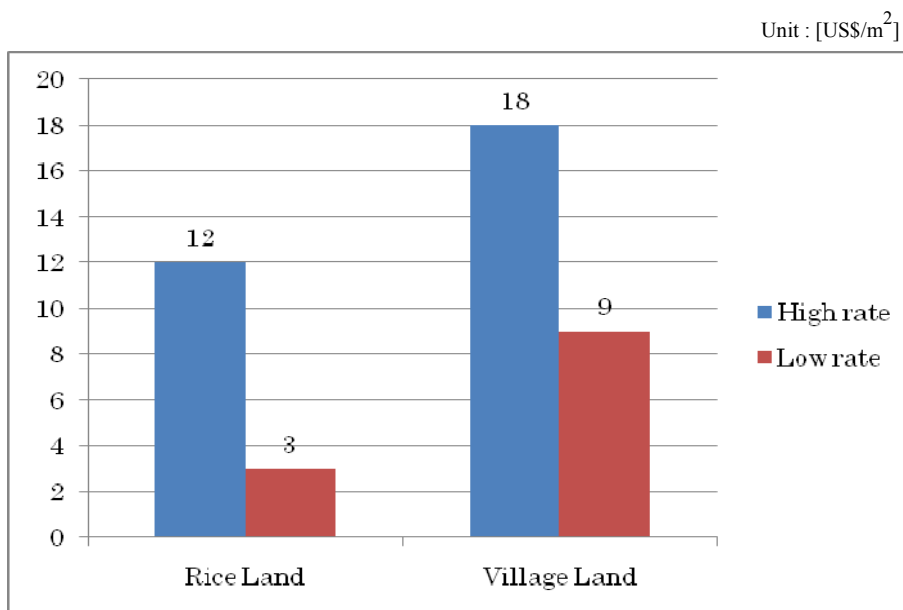
**Figure 4.3.2 Summary Number of Family per District per Occupation of KSBISRSP Area**

(6) Rice Production

Average of rice production in KSBISRSP area is 2.7 tons per hectare. In some places people can produce rice more than two times annually due to geographical condition of rice field nearby stream or irrigated canal.

(7) Average Land Price

Regarding to land price, there is different value from place to place based on its particular location and type of land. The land price in this paper was generally estimated accordance with the geographical location. The average value for agricultural land that is located nearby national or village road is 12USD/m<sup>2</sup> and 3USD/m<sup>2</sup> for the reverse location. Village land price varies from 18USD/m<sup>2</sup> and 9USD/m<sup>2</sup> based on the two type of location as mentioned above.



Source: NCDD, 2011

**Figure 4.3.3 Average High and Low Rate of Rice Land and Village Land in KSBISRSP Area (US\$/m<sup>2</sup>)**



### 4.3.2 Questionnaire Survey

#### (1) Objectives and methodology

##### (a) Objectives

The Survey has the objectives of identification of the socio-economic profile related to land acquisition and awareness of the land acquisition or compensation at planning stage. To achieve the objectives, a questionnaire survey from APs, identified based the field survey including hearing from commune chief or village chief in the area affected by this sub-project, has been carried out.

##### (b) Methodology (selection procedure of sampling point, contents of questionnaire, etc)

The survey questionnaire drafted by the JICA Survey Team in English and was translated into Khmer language and after the field testing and improvement, which was applied for the field survey.

The survey questionnaire includes 9 different contents such as (i) status of APs, (ii) main income source, (iii) main expenditure for consumption and (iv) preferences of compensation as attachment.

At the time of the study the survey, the sampling points were randomly selected to identify the situation of the area possibly affected by the land acquisition in every affected commune. The total number of sample is 40 with consideration of time limitation of this survey. Based on this notion, the following steps were taken to select the actual survey location and samples;

- To create a draft map which indicates the possible land acquisition areas as reference map to select the sampling points,
- To discuss with PDOWRAM officials for the relevance of previous Communes/Villages listing as against zoning, and asked them to recommend the appropriate Communes/Villages for the field survey,
- To hold consultation meeting with commune council to inform the aim and objective of this social-economic survey and allow from them to contact the village leader of affected village to collect information,
- To prepare the list of the target commune names by considering questionnaire survey,
- To prepare the list of the target village names within the communes listed is available in work plan,
- To selection of the sampling points through the field survey and implement the pre-test of this questionnaire survey,
- To commence the questionnaire survey by interviewing APs through the questionnaire sheet.

The information of administration of survey area and sample distribution of sample household in each affected villages are shown in Table 4.3.3.

**Table 4.3.3 Socio-Economic Survey Sample Distribution**

No.	Kandal Stung District, Kandal Province		
	Affect areas		Sample Number
	Commune	Village	-
1	Bakou	Kmot	-
		Bakou	1
2	Kouk Troap	Svay Lech	1
		Svay Kert	1
		Leak	1
		Kouk Troap	-
		Char	1
		Kouk Pring	-
3	Thmey	Thmey	1
		Tonlea	-
4	Siem Reap	Siem Reap	2
		Cheychnaes	1
		Real Dorb	-



Kandal Stung District, Kandal Province				
No.	Affect areas		Sample Number	
		Rean Thmor		-
		Prek Angkunh		-
		Chambok		1
5	Trea	Roung Kou	3	-
		Troh		2
		Trea		-
		Trapeang Sva		1
		Trapeang Kok		-
6	Ampeov Prey	Ampeov Prey 1	2	-
		Ampeov Prey 2		1
		Ampeov Prey 3		1
		Tadoul Ti 1		-
		Cherng Prey		-
7	Prek Sleng	Prek Sleng	2	1
		Pon Char		1
8	Prek Roka	Prek Roka	3	2
		Beoung Khaeak		-
		Chambok Troap		-
		Koh Khnol		1
9	Kandouk	Kandouk	2	1
		Tek Nim		1
10	Beoung Kchang	Beoung Kchang	1	-
		Pralay		1
11	Trapeang Veng	Trapeang Bakou	2	1
		Sleng		1
		Proveng		-
		Damnak Trabek		-
12	Tbeng	Kouk Til	2	2
Bati District, Takeo Province				
13	Krang Thnong	Tonle Bati	5	2
		Krang Thnong		1
		Hanoukman		-
		Chroung Sdao		1
		Thbong Domrei		1
14	Pot Sor	Khlang Sambath	3	1
		Tang Russey		1
		Khvan Meas		1
15	Champey	Derm Dong	2	2
		Makak		-
16	Kandeong	Trapeang Lerk	3	-
		O Phea Sang		1
		Preah Mlob		1
		Hanoukman		1
Total	16	55	40	40

Source: JICA Survey Team

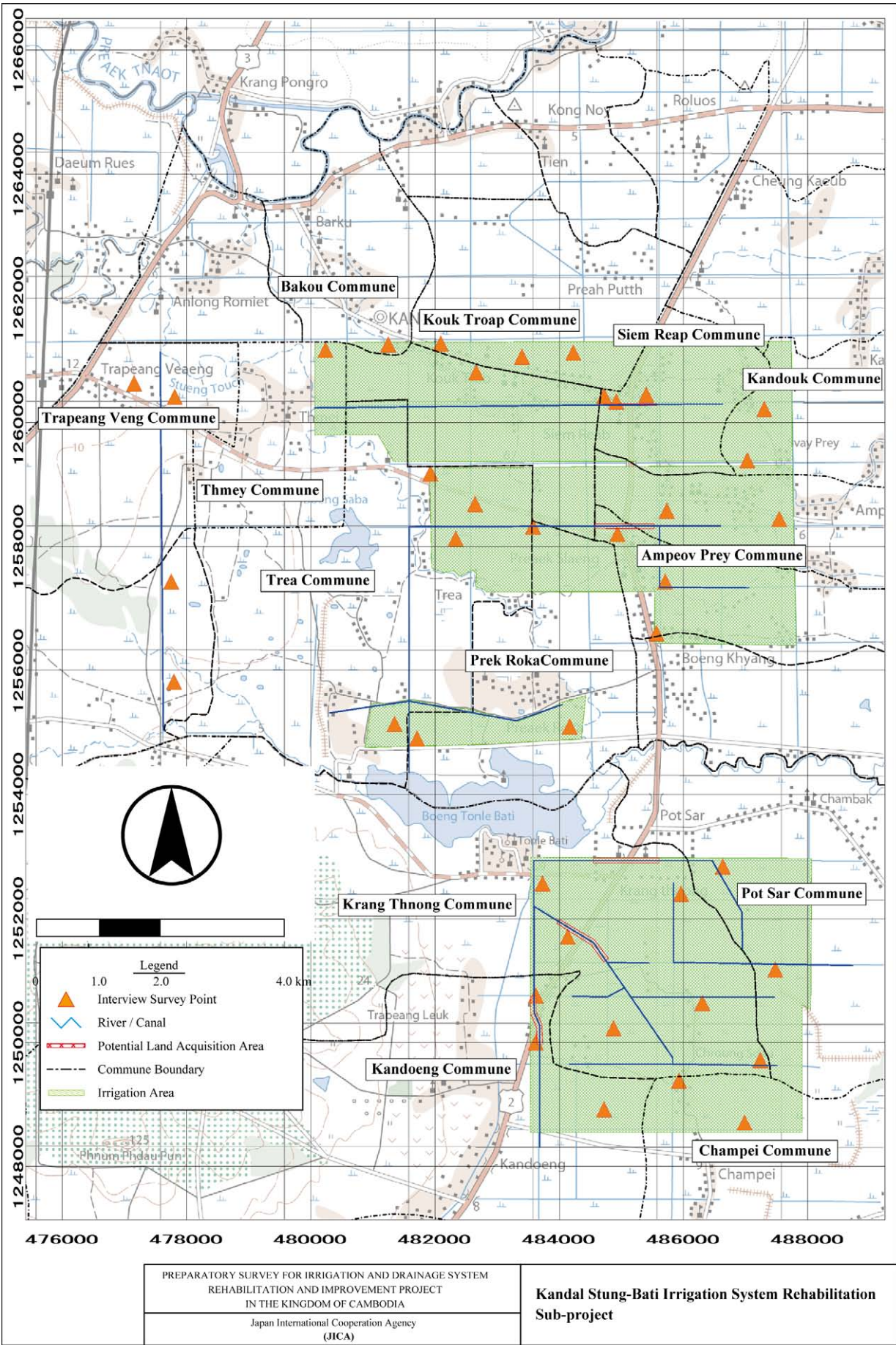


Figure 4.3.4 Sample Distribution for the Socio-economic Survey

## (2) Survey Result and Analysis

## (a) Attribution of Respondents

The survey respondents are mostly the male heads of households which is 65%, follow by the female heads of households which is 35% with their average age 54 years old. The average household member population is 4.93 persons per household for all samples of Kandal Steung-Bati Area.

**Table 4.3.4 Attribution of Male and Female Balance of Respondents**

Item	Number	Rate [%]
Male head of HH	26	65.00
Female head of HH	14	35.00
Oldest son of the HH	0	0.00
Oldest daughter of the HH	0	0.00
Other (younger sister)	0	0.00
Total	40	100

Source: JICA Survey Team

This is almost gender balance among interviewed household members as shown in Table4. The average household member population is 4.93 persons per household for all samples of Kandal Stung-Bati Area.

## (b) Family structure

## 1) Average number of household member

The average number of household member is 5.925 persons in a household of the respondents.

## 2) Balance of male and female

The balance of male and female is shown in Table 4.3.5.

**Table 4.3.5 Male and Female Balance of Household Members**

Item	Number	Rate [%]
Male	98	49.75
Female	99	50.25
Total	197	100

Source: JICA Survey Team

## 3) Total family member and working persons

The average number of working-age population (between >10 to < 64 yrs old) per household is 3.15 while the average of non-working is 1.78 of a family of the respondents. The number and rate working person are shown in Table 4.3.6.

**Table 4.3.6 Total Family Member and Working Person**

Total		Working Person		Non-working Person	
N	%	n	%	n	%
197	100	126	63.96	71	36.04

Source: JICA Survey Team

## (c) Main occupation

The main income sources of the sampled household heads are predominantly from farming activities (87.5%) for this Category Area as shown in Table 4.3.7.

**Table 4.3.7 Main Occupations of Household Heads**

Item	Number	Rate [%]
Farmer	35	87.5
On-farm labor	0	0.0
Non-farm labor	0	0.0
Salary worker	0	0.0
Private business	5	12.5
Others	0	0.0

Item	Number	Rate [%]
Total	40	100

Source: JICA Survey Team

(d) Education level

1) Educational background

The education levels of the sampled households are shown in Table 4.3.8.

**Table 4.3.8 Education Levels of Sampled Household Members**

Item	Number	Rate [%]
(1) No formal education	19	9.64
(2) Drop-out at primary school	58	29.44
(3) Graduate from primary school	1	0.51
(4) Drop-out at junior high school	28	14.21
(5) Graduate from junior high school	15	7.61
(6) Drop-out at high school	5	2.54
(7) Graduate from high school	9	4.57
(8) More than high school	4	2.03
(9) Presently going to school	45	22.84
(10) Not going to school	2	1.02
(11) Before school age	11	5.58
(12) Non-formal education for adults	0	0.00
Total	197	100.00

Source: JICA Survey Team

2) Literacy

Literacy rate of sampled household members in Kandal Stung-Bati Area is shown in Table 4.3.9.

**Table 4.3.9 Literacy Rate of Sampled Household Members**

Literacy Condition	Number	Rate [%]
Unable to write, read, and calculate for making living	152	77.16
Able to write, read, and calculate for making living	45	22.84
Total	197	100.00

Source: JICA Survey Team

(e) Income Sources

1) Income level

The average and median household incomes are 23,813,000 [Riel] and 12,695,000 [Riel/year]. Maximum and minimum household incomes are 44,000,000[Riel/year], and 1,380,000 [Riel/year]. The gap between “average” and “median” income per household indicates that there exist numbers of very rich households, or there are few very rich in comparison to the number of poor.

2) Proportion of income sources

The proportional cash income volumes from various income sources are calculated for each source of Kandal Stung-Bati Area as shown in Table 4.3.10.

**Table 4.3.10 Proportional Cash Income Volumes from Different Sources (%)**

No	Cash Income Sources	Proportion
1	Selling paddy/rice	7.2
2	Selling vegetables (red pepper/ tobacco/ water melon/ others)	1.3
3	Selling fruits (mango/ papaya, banana/ hairy fruit/ orange/ others)	0.9
4	Selling palm sugar	-
5	Selling livestock/ poultry products	4.0
6	Selling fishes	-
7	Salary from permanent job	13.8
8	Wage from temporary on-farm job	-
9	Wage from temporary off-farm job	2.7
10	Private business (transportation, trading, shop, etc.)	57.4

No	Cash Income Sources	Proportion
11	Remittance from family members	11.2
12	Selling firewood/charcoal	-
13	Selling handicraft/ cottage industry products	-
14	Selling forest vegetable/ crop	-
15	Others	1.5
16	Total	100.0

Source: JICA Survey Team

From above Table, Agricultural cash incomes consist approximately 13% of total cash incomes earned by sampled households. It is less proportion in comparing to non-agricultural cash incomes which involve approximately 87%. Mainly, agricultural products including rice paddy, vegetables, fruits, and livestock which were allocated for subsistent food are just enough for families' consumption in year round. Among agricultural income source, the "selling paddy/rice" is the highest cash income source, followed by the "selling livestock/poultry products". The purposes of selling these products are to earn cash for specifically for particular consumption such as educational payment, health problem, and some other expensive properties. Among non-agricultural cash income source, the "private business" is the most viable cash income source which holds 57.4%. The sorts of private business that earn high income volume are rice mill business, agricultural machinery renting, small food shop, and others. The next proportion after the "private business" is the "salary from permanent job" which is 13.8%. The third highest proportion of non-agricultural cash income source is remittance (11.2%) from family members. Mostly, the sampled households are partly supported by family members monthly who are factory workers at the other province or city.

(f) Expenditure

1) Expenditure level

Average, median, minimum and maximum expenditures for samples are shown in Table 4.3.11.

**Table 4.3.11 Expenditure Level**

Item	Expenditure [x 10 <sup>3</sup> riel]
N	40
Average/HH	10,964
Median/HH	9,689
Minimum	1,362
Maximum	45,686

Source: JICA Survey Team

2) Proportion of expenditure source

Expenditure on food shares the highest proportion, follow by education, transportation, wedding/ceremony, health/medicine and others. The proportional expenditure for consumption volumes are calculated as shown in Table 4.3.12.

**Table 4.3.12 Proportional Expenditure for Consumption Volume**

No	Item	Rate [%]
1	Rice	2.1
2	Other foods	34.7
3	Health/ medicine	10.4
4	Education	19.0
5	Clothes	2.5
6	Firewood/Kerosene/Electricity/Battery	3.3
7	Transportation (Motor taxi/Gasoline)	16.7
8	Tax	0.1
9	Others (Ceremony/ Wedding)	11.2
10	Total	100.0

Source: JICA Survey Team

(g) Preference of Compensation Type

39 respondents among 40 answered “Cash” to the question “If your land is acquired for the sub-project what kind of compensation do you prefer”. There is only one respondent chose the answer “Jobs for family members”.

(h) Assistance Requirement by APs

Respondent who chose the answer “No.2” from question “Q-59” answered “Training opportunity for new job” to the question “What kind of assistance you need”.

(i) Requirement of Job Training by APs

Respondent who chose the answer “No.3” from question “Q60” answered “Farmer” to the question “What do you want to get job training for”.

#### 4.4 Main Canal 35 Rehabilitation Sub-project

##### 4.4.1 General Feature of Socioeconomic Condition

(1) Geographical Location

MC35ESP area is located at the Southwest of Phnom Penh in Basedth district, Kampong Speu province. The system covers with 22 villages of 5 communes in Basedth district, Kampong Speu Province.

(2) Demography Status

Basedth District is a District in Kampong Speu Province of Cambodia. It subdivided into 15 respective communes. According to Basedth District Data Book in 2009, the demography status of the district was revealed from 2008 data record, with 132,388 persons in total of 26,226 households and 4,302 woman-headed households.

Population distribution by commune in MC35ESP area is summarized in Table 4.4.1.

**Table 4.4.1 Population in the Sub-project Area by Communes in 2012**

Province	District	Commune	Total Family	Population		Family Size
				Total	Female	
Kampong Speu	Basedth	Phiri Meanchey	1,762	8,869	4,534	5.03
		Basedth	2,681	12,345	6,401	4.60
		Preh Khae	1,366	6,582	3,352	4.82
		Pou Mreal	2,187	10,703	5,623	4.89
		Kak	1,284	6,261	3,253	4.87

Source: Commune Profile, 2012

(3) Ethnic, Minority and Indigenous Distribution

It is not expected that this sub-project has specific impacts on ethnic, minority and indigenous group’s distribution of MC35ESP area and with this condition the preparation of an ethnic, minority and indigenous development plan does not require. However, activity plan require including specific actions to mitigate adverse impacts or safeguard action and enhance the other vulnerable groups to benefit from the sub-project interventions.

(4) Land Use and Average Paddy Land Distribution

Land use is categorized within each commune of MC35ESP area seen as rice field, farmland, residential land, shrub-land, and others. The following table shows land use size by commune with average paddy land owned per household.

**Table 4.4.2 Land Use Distribution by Commune**

Province	District	Commune	Land Use			Total Land
			Paddy Land	Residential	Other	
Kampong Speu	Basedth	Pheari Meanchey	876	220	154	1,250
		Basedth	1,860	9	30	1,899
		Preh Khae	1,250	215	2,335	3,800
		Pou Mreal	1,552	208	219	1,979
		Kak	902	35	98	1,035

Source: Commune Profile, 2012

The average paddy land owned per household in MC35ESP area is less than 1 ha, it varies from 0.50 ha to 0.90 ha by calculating. In fact there some households possesses with more than these figures in their commune as well as having extra in others' while some are less than that size revealed.

#### (5) Major Livelihood

Major livelihood of MC35ESP area is relied much upon the agriculture based occupation. More than 80% of households in the area especially in the five target communes earn a living from this sector. Some portions of income generation are contributed from other sectors followed by salary work and private.

#### (6) Rice Production of MC35ESP Area

Rice production within the five target communes ranges between 1.5t/ha-2.5/ha and much based on water accessibility. Majority of the communes are rainy season based rice cultivation practices.

#### (7) Average Land Price

Only some communes amongst the five are available with the land price. The price varies from one location to another dependence upon some variations such geographical location, type or quality of land, water access, economic trend, living standard and so on. In MC35ESP area, particularly in three communes, Preh Khae, Kak and Pou Mreal, the paddy land value ranges from US\$0.20-US\$1.00 while for residential land is between US\$0.60-US\$6.00, and land along main road rates between US\$0.50-US\$12.00. Table 4.4.3 summarizes the land price by commune with several types based on three dimensions, minimum, maximum and average.

**Table 4.4.3 Estimate of Land Price (US\$/m<sup>2</sup>)**

Commune	Rice Field		Farm Land		Residential Land		Land along Main Road	
	Min	Max	Min	Max	Min	Max	Min	Max
PreahKhe	0.5	1	0.6	1.2	1	5	5	12
Kak	0.2	0.8	0.2	0.8	0.6	1	1	3
Basedth	0.35	0.55	0.4	0.6	2	6	2	4
Pheari Meanchey	0.3	0.7	0.3	0.7	1.2	1.8	0.5	2
Pou Mreal	0.5	1	0.6	1	1	3	1	3

Source: JICA Survey Team

### 4.4.2 Questionnaire Survey

#### (1) Objectives and methodology

##### (a) Objectives

The Survey has the objectives of identification of the socio-economic profile related to land acquisition and awareness of the land acquisition or compensation at planning stage. To achieve the objectives, a questionnaire survey from APs, identified based the field survey including hearing from commune chief or village chief in the area affected by this sub-project, has been carried out.

##### (b) Methodology (selection procedure of sampling point, contents of questionnaire, etc)

The survey questionnaire drafted by the JICA Survey Team in English and was translated into Khmer language and after the field testing and improvement, which was applied for the field survey.

The survey questionnaire includes 9 different contents such as (i) status of APs, (ii) main income source,



(iii) main expenditure for consumption and (iv) preferences of compensation as attachment.

At the time of the study the survey, the sampling points were randomly selected to identify the situation of the area possibly affected by the land acquisition in every affected commune. The total number of sample is 40 with consideration of time limitation of this survey. Based on this notion, the following steps were taken to select the actual survey location and samples;

- To create a draft map which indicates the possible land acquisition areas as reference map to select the sampling points,
- To discuss with PDOWRAM officials for the relevance of previous Communes/Villages listing as against zoning, and asked them to recommend the appropriate Communes/Villages for the field survey,
- To hold consultation meeting with commune council to inform the aim and objective of this social-economic survey and allow from them to contact the village leader of affected village to collect information,
- To prepare the list of the target commune names by considering questionnaire survey,
- To prepare the list of the target village names within the communes listed is available in work plan,
- To selection of the sampling points through the field survey and implement the pre-test of this questionnaire survey,
- To commence the questionnaire survey by interviewing APs through the questionnaire sheet.

The information of administration of survey area and sample distribution of sample household in each affected villages are shown in Table 4.4.4.

**Table 4.4.4 Sample Selection**

Province	District	Commune	Village	Sample
Kampong Speu	Basedth	PreahKhe (Larger irrigated areas)	Prey Bakrong	2
			TrapeangVeng	2
			Thnall	3
			KhnangPhum	2
			ThnalDach	2
			Khlaok	1
			Boeung	2
		Kak	TeuopMareak	3
			KrangTraok	2
			Tareach	2
		Basedth	TrapeangPhong	1
			SreTraok	2
			ThmartLeng	2
			Prey Kok Trap	3
			BoeungSangke	1
			Prey Chheu Teal	2
		Pheari Meanchey	TrapeangPhlong	1
			Prey Ngoung	1
		Pou Mreal	AngDekKandal	2
			SreKhgne	1
			Chambak Ron Cheung	1
			MarealThnort Cheung	2
<b>Total</b>		<b>5 communes</b>	<b>22 villages</b>	<b>40</b>

Source: JICA Survey Team



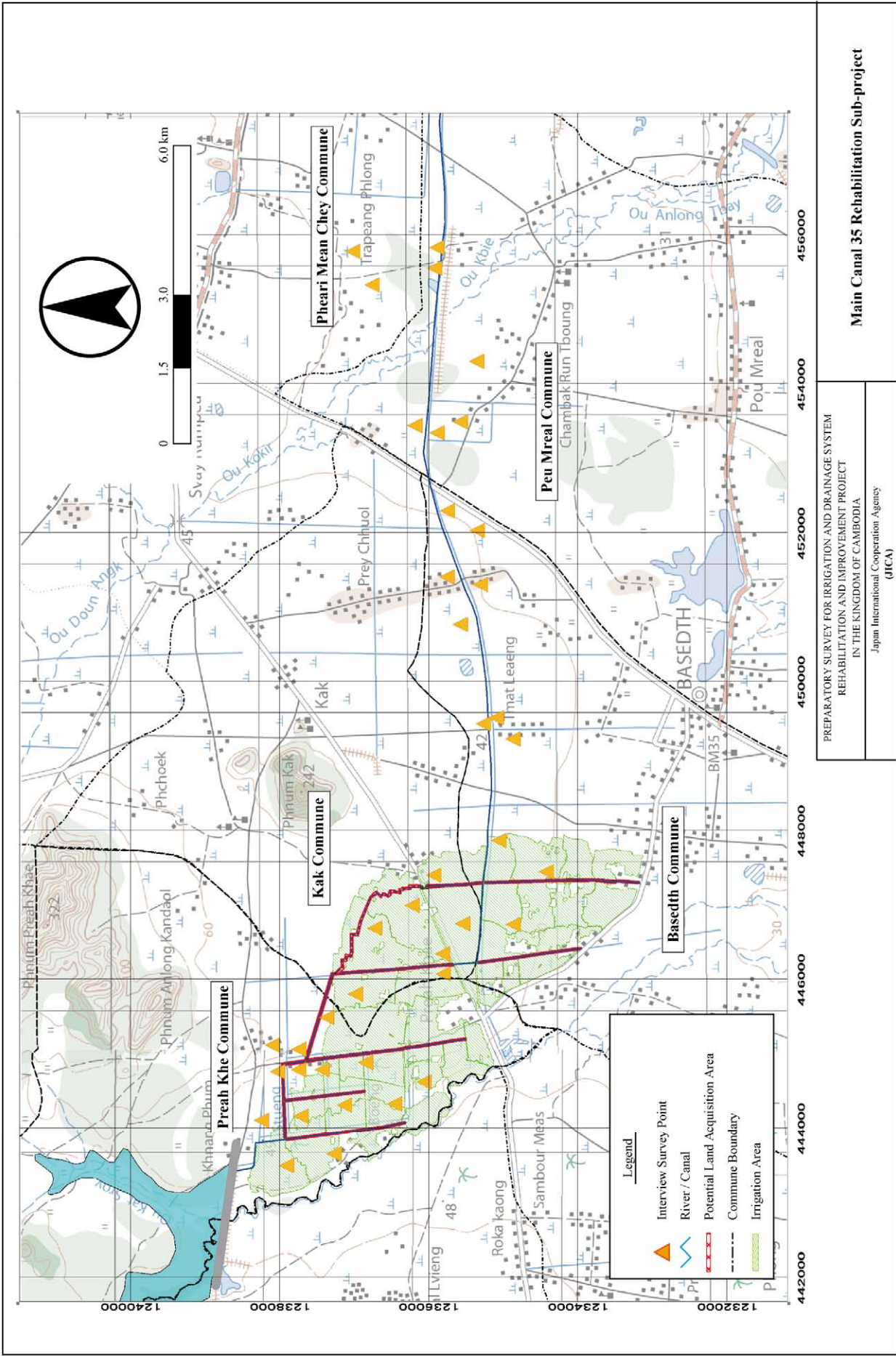


Figure 4.4.1 Sample Distribution for the Socio-economic Survey

## (2) Survey Result and Analysis

## (a) Attribution of Respondents

Respondents consist of the head of household female and male, and first daughter. The average age of respondents is 42 years old. The rate of male and female adults of the respondents is 47.5 and 50% respectively as shown in Table 4.4.5.

**Table 4.4.5 Male-Female Balance of Respondents**

Item	Number	Rate [%]
Male head of HH	19	47.50
Female head of HH	20	50.00
Oldest son of the HH	0	0.00
Oldest daughter of the HH	1	2.50
Other (younger sister)	0	0.00
Total	40	100

Source: JICA Survey Team

## (b) Family structure

## 1) Average Number of household member

The average number of household member is 5.22 persons in a household of the respondents.

## 2) Balance of male and female

Among members of sampled households, the percentage of female is higher than male, that is 56 % and 44% respectively. Table 4.4.6 explains the balance of male and female.

**Table 4.4.6 Balance of Male and Female of the Total 40 families**

Item	Number	Rate [%]
Male	91	44.00
Female	118	56.00
Total	209	100

Source: JICA Survey Team

## 3) Total family member and working persons

Average working members per household is 4.275 persons, while average of non-working member is only 0.95 person. Working persons are equal to 82%(171 persons) of all household members, while not working persons are 18%(38 persons) as shown in Table 4.4.7.

**Table 4.4.7 Total and Working Person of 40 samples**

Total		Working Person		No Working Person	
n	%	n	%	n	%
209	100	171	82	38	18

Source: JICA Survey Team

## (c) Main occupation

Household head who get main income from agriculture activities or farmers occupies 85.0%, and the head of only a few households earn from the other occupation. Main occupation of each household head is shown in Table 4.4.8.

**Table 4.4.8 Main Occupation of All Household Members of 40 Samples Families**

Item	Number	Rate [%]
Farmer	34	85.0
On-farm labor	0	0.0
Non-farm labor	1	2.5
Salary worker	0	0.0
Private business	2	5.0
Others	3	7.5
Total	40	100

Source: JICA Survey Team

## (d) Education Level

## 1) Educational background

Educational background of each household member is important for reflexing the living style. In Cambodia, generally education level of rural people is very low. Table below describe the proportion of all 209 household members in each education level. The education levels of the sampled households are shown in Table 4.4.9.

**Table 4.4.9 Education Levels of Sampled Household Members**

Item	Number	Rate [%]
No formal education	1	0.48
Drop-out at primary school	60	28.71
Graduate from primary school	19	9.09
Drop-out at junior high school	23	11.00
Graduate from junior high school	9	4.31
Drop-out at high school	8	3.83
Graduate from high school	1	0.48
More than high school	7	3.35
Presently going to school	38	18.18
Not going to school	28	13.40
Before school age	15	7.18
Non-formal education for adults	0	0.00
Total	209	100.00

Source: JICA Survey Team

The highest percentage, 28.71%, of household members drops out at primary school, followed by 11% drop-out at junior high school, while 13% did not go to school. This figure indicates the low education of MC35ESP area, reflecting to the low knowledge to support their living standard. Lack of time and support for member going to school are the results of this higher percentage of low education members. No non-formal education system in MC35ESP area results in 1% of non-formal education member.

## 2) Literacy

Literacy rate of sampled household members is 78% as shown in Table 4.4.10. It is necessary to consider the literacy condition for the consultation process with APs.

**Table 4.4.10 Literacy Status of Sampled Household Members**

Literacy Condition	Number	Rate [%]
Unable to write, read, and calculate for making living	47	22
Able to write, read, and calculate for making living	162	78
Total	209	100

Source: JICA Survey Team

## (e) Income sources

## 1) Income levels

The average and median household incomes are 4,792,513 [Riel] and 4,075,000 [Riel/year]. Maximum and minimum household incomes are 29,900,000 [Riel/year], and 2,150,000 [Riel/year]. The gap between “average” and “median” income per household indicates that there exist numbers of very rich households, or there are few riches in comparison to the number of poor.

## 2) Proportion of income sources

There are two kinds of income sources for famer living in rural of Cambodia that is income from agriculture activities and from non-agriculture activities. Table 4.4.11 shows the proportion of those income volumes of 40 samples households.

**Table 4.4.11 Total Proportional Income Volumes from Different Sources**

No	Cash Income Sources	Rate [%]
1	Selling paddy/rice	9.71
2	Selling vegetables (red pepper/ tobacco/ water melon/ others)	0.10
3	Selling fruits (mango/ papaya, banana/fruit/ orange/ others)	0.00
4	Selling palm sugar	0.39
5	Selling livestock/ poultry products	24.86
6	Selling fishes	0.00
7	Salary from permanent job	3.20
8	Wage from temporary on-farm job	0.31
9	Wage from temporary off-farm job	7.27
10	Private business (transportation, trading, shop, etc.)	4.48
11	Remittance from family members	49.21
12	Selling firewood/charcoal	0.20
13	Selling handicraft/ cottage industry products	0.00
14	Selling forest vegetable/ crop	0.02
15	Others	0.26
	Total	100.00

Source: JICA Survey Team

The income from remittance from family members occupies very high portion (49.21%), which includes salary worker of garment or shoe factories in Phnom Penh or the other province. The income from selling livestock or poultry products also occupies high portion, as well as agriculture of rice. On the other hand, private business or salary from permanent job is less in this area. It indicates that only agriculture in this area contributes to the livelihood of the residents in this area.

(f) Expenditure

1) Expenditure levels

Average, median, minimum and maximum expenditures for samples are as Table 4.4.12.

**Table 4.4.12 Average and Median Household Expenditure per Category Area and Total Samples**

Item	Expenditure [x1000 Riel/year]
Average/HH	3,726
Median/HH	3,560
Minimum	2,410
Maximum	7,200

Source: JICA Survey Team

2) Proportion of expenditure source

The result indicates that expense mostly for daily food(48%), then other expense (ceremony/ wedding/ buy some agriculture input supplies) (20%), follow by health/medicine (14%), follow by rice(5%), then education(4%), then cloth(3%) and Electricity/Battery(3%) as shown in Table 4.4.12. It should be noted that all sampled families use battery for electric supplies, and no expense for firewood for cooking. The farmers do not have any expense on tax.

**Table 4.4.13 Proportional Expenditure Volumes for Different Purposes**

Item	Rate [%]
Rice	5
Other foods	48
Health/ medicine	14
Education	4
Clothes	3
Firewood/Kerosene/Electricity/Battery	3
Transportation (Motor taxi/Gasoline)	4
Tax	0
Others (Ceremony/ Wedding)	20
Total	100

Source: JICA Survey Team

In average, household expenses 513, 525 riel yearly for health check and medicine. It should be noted that with this amount is for the case of simple health problem only, such as catch cold, or fever. In case that serious disease, the expense on health is absolutely higher.

This health expense can indicate the poor health of each family household due to poor nutrition intake and less understanding about health care.

(g) Preference of compensation type

Some part of MC 35 irrigation structure affect through the residential and rice land of the farmers. Below table explain the answers of 40 samples families about what kind of compensation in case that construction of main canal and secondary canal affect to their properties, such as rice land and residential. The 40 samples of families selected include the families who have rice land and/or residential located nearby canal as Table 4.4.14.

**Table 4.4.14 Preference of Compensation Type**

Compensation preference	n	%
Cash	40	100
Jobs for family members	0	0
Do not know yet	0	0
Others	0	0

Source: JICA Survey Team

100% of respondents prefer cash as the compensation type that means, if constructions have any affect to their land and/or residential, they want the sub-projects provide them money in any appropriate amount. In case that this sub-project cannot/have no policy of compensate in cash, the second choice is the replace or changes of their affected land and/or residential to anywhere appropriated.

(h) Assistance requirement by APs

All respondents do not want jobs for their family members, as the compensation type.

(i) Requirement of job training by APs

The same case to job requirement as the compensation preference, all respondents do not prefer job training. That is because probably job or job training are not the basic needs for their living, while their income is very low.

## 4.5 Daun Pue Irrigation System Rehabilitation Sub-project

### 4.5.1 General Feature of Socioeconomic Condition

#### (1) Geographical Location

Kampong Chhnang is located at the heartland of Cambodia. Most parts of the province contain fertile soil reservoirs with abundant fish and rice paddies. In the Southwestern part of the province the landscape consists of hills and forests. The Krâvanh Mountains lie along the provincial border with Kampong Speu. Kampong Chhnang province is subdivided into a city/ (Krong in khmer) and 7 districts.

The district shares a border with Pursat and Kampong Speu provinces to the west. The National Railway line from Phnom Penh to Sisophon runs through the district entering in the south and exiting in the North West and the eastern side of the railway line crossing the district has access to significant road infrastructure and is quite well populated in the past but at present is un-active. To the west of the railway there are few settlements and the foothills of Phnom Aural rise in the North West, although the peak itself is just over the border in Pursat Province. The northwestern edge of the district forms part of the Phnom Aural Wildlife Sanctuary.

National Road 53 from Kampong Chhnang town to Romeas terminates in the district as does National Road 138 from Kampong Tralach to Cheab commune. National Road 142 begins at Tuek Phos town and

runs south west to Spean Dach in Kampong Speu province. Numerous smaller tertiary roads run from the national railway line to the National highway

Tuek Phos is one of the district lies in the west of the province of Kompong Chhnang in which the Daun Pue dike/Dam is located about 45 kilometers south west of the provincial capital of Kampong Chhnang by road. The Survey Zones and Villages within the Target Area are shown.

## (2) Demography Status

According to the 2011 of district profile, the population of the district was 61,368 persons in 13,686 households in 2011. This population consisted of 29,785 males (48.53%) and 31, 583 females (51.47%). The average household size in Tuek Phos is 4.51 persons per household, which is lower than the rural average for Cambodia 5.2 persons. The population in each Commune in the study area is shown in Table 4.5.1.

**Table: 4.5.1 Population in the Sub-project Area by Communes in 2012**

Province	District	Commune	Total Family	Population		Family size
				Total	Female	
Kampong Chhnang	Teuk Phos	Chieb	1,656	7,914	3,655	4.78
		Khlong Popok	1,353	5,711	2,994	4.22
		Akphivoadth	2,058	9,690	4,945	4.71
Total/ Average			5,067	22,595	11,594	4.57

Sources: Commune profiles 2012

In additional to the above, there are also residential land such as fence, access from road to home, shop and rice field out site the villages that also be affected during the implementation or construction activities of the sub-project. The Population in each village is shown in Table 4.5.2.

**Table: 4.5.2 Population in the Sub-project Area Affected by Daun Pue Canal**

District	Commune	Village Name Affected	Total family	population	Type of Affected and Number of Family		
					Resident Land	Rice Field	Shop
Teuk Phos	Chieb	Koh Kandal	101	515	0	18	0
		Tang Thnong	75	390	0	17	0
		Boeng Steng	91	482	0	40	0
		Chi Prong	170	867	0	45	0
	KhlongPopk	Boeng Steng	141	705	19	30	6
		Kroy Wath	264	1,372	40	5	0
		Trapang Krobao	308	1,509	30	20	0
		Ta Kab	123	547	20	0	0
	Akphivoadth	Trapang Chhrey	193	860	20	50	0
		Sre Tachhey	858	3,988	0	80	0

Sources: Field survey with commune and village leaders Jul 2012

Daun Pue dam start up from the Chieb commune territory with the flow of water source from the mountain near by for whole years. There are number of people who have rice field land near by or around the main start up point of the canal have developed the water spillway to get water from the canal to irrigate their rice field during the small dry season as well as in dry season. Number of families grown their rice two time per year for those whom their rice field is located near by or next to main canal and they irrigated by water spillway.

## (3) Ethnic, Minority and Indigenous Distribution

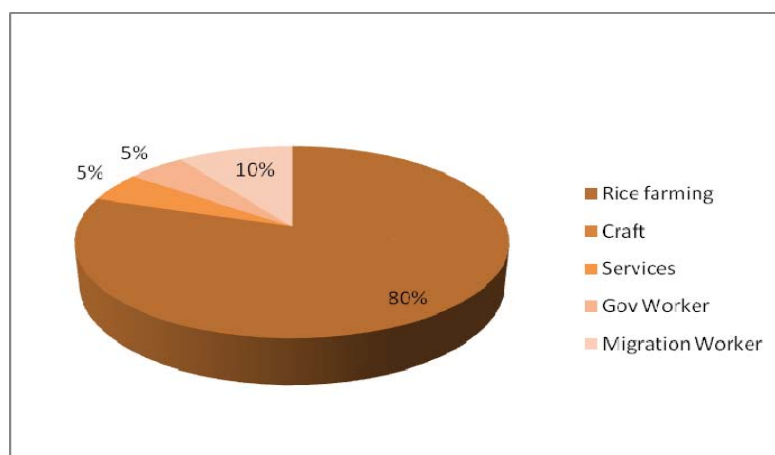
It is not expected that this sub-project has specific impacts on ethnic, minority and indigenous group's distribution of DPISRSP area because there is no ethnic, minority and indigenous people found in this study.

(4) Land Use

Paddy field per household varies from commune to another. In general for the 3 communes that affected by the Daun Pue dam/dike in Teuk Phos district, it is found that people around 90% percent have own paddy rice field up to 1.55ha per family on the average basis and this is excluded the up land rice field or farming farm that they owned somewhere else. Based on the Commune Council Members in each commune said that in the commune there would be divided into residential land, rice field land, farm land, and other (forest, lake, and other public land). The households within this category have their own land holdings, not belonged to parents or rent from others.

(5) Major Livelihood

The people in 3 communes affected by the Daun Pue dam/dike make a living by different ways but in general they can earn income from the following sources such as 80% make a living from agriculture production, 10% from migration worker to work in country and out country with duration from 6 months up, 5% depending on salary from Government such as teacher, police, soldier and another 5% is from services in the villages as mention in Figure 4.5.1.

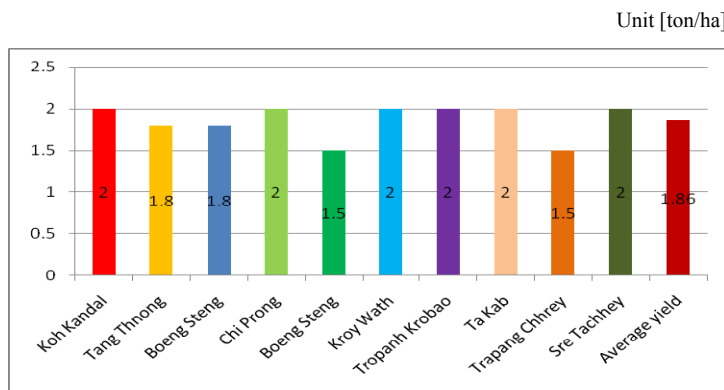


Sources: ncdd.cdb. 2011

Figure 4.5.1 Summary of Number of Family per Occupation Sources

(6) Rice Production

Rice is the staple cereal food crop of rural Cambodians. The rice area cultivated per rural household was higher than the national level and the rice paddy yield per hectare was lower than the national level. Rice production is still limited mainly to the rainy season. This limitation imposed through dependency on rain-fed cultivation is clearly contributing to shortages in food availability. The average rice yield for the rainy season rice production from last year is 1.86 tone per hectares and the detail for each village is mention/ shown by each village affected by Daun Pue dike/Dam as in Figure 4.5.2.

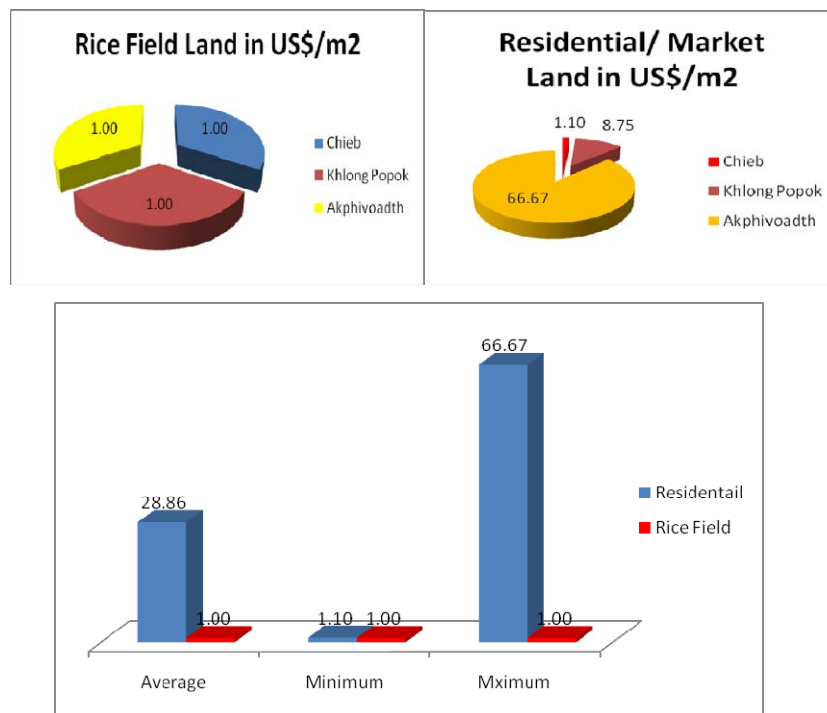


Sources: Field survey data Jul 2012

Figure 4.5.2 Rice Yield in Rainy Season by village in Daun Pue area

## (7) Land Price

The land price in each commune is different from commune to commune and this is depend on the location of each commune and the flow of economic way (near to market, road or developing areas) the calculation of selling land is also different, some commune sell by plot of land with approximately 40 x 100 m, some commune sell by calculate into square meter. The price of land is shown in Figure 4.5.3 by each commune.



Sources :Hearing from commune and village leader Jul 2012

**Figure 4.5.3 Price of Rice Field, Up Land and Residential Land by Commune**

### 4.5.2 Questionnaire Survey

#### (1) Objectives and methodology

##### (a) Objectives

The Survey has the objectives of identification of the socio-economic profile related to land acquisition and awareness of the land acquisition or compensation at planning stage. To achieve the objectives, a questionnaire survey from APs, identified based the field survey including hearing from commune chief or village chief in the area affected by this sub-project, has been carried out.

##### (b) Methodology (selection procedure of sampling point, contents of questionnaire, etc)

The survey questionnaire drafted by the JICA Survey Team in English and was translated into Khmer language and after the field testing and improvement, which was applied for the field survey.

The survey questionnaire includes 9 different contents such as (i) status of APs, (ii) main income source, (iii) main expenditure for consumption and (iv) preferences of compensation as attachment.

At the time of the study the survey, the sampling points were randomly selected to identify the situation of the area possibly affected by the land acquisition in every affected commune. The total number of sample is 40 with consideration of time limitation of this survey. Based on this notion, the following steps were taken to select the actual survey location and samples;

-To create a draft map which indicates the possible land acquisition areas as reference map to select the sampling points,



- To discuss with PDOWRAM officials for the relevance of previous Communes/Villages listing as against zoning, and asked them to recommend the appropriate Communes/Villages for the field survey,
- To hold consultation meeting with commune council to inform the aim and objective of this social-economic survey and allow from them to contact the village leader of affected village to collect information,
- To prepare the list of the target commune names by considering questionnaire survey,
- To prepare the list of the target village names within the communes listed is available in work plan,
- To selection of the sampling points through the field survey and implement the pre-test of this questionnaire survey,
- To commence the questionnaire survey by interviewing APs through the questionnaire sheet.

The information of administration of survey area and sample distribution of sample household in each affected villages are shown in Table 4.5.3 and Figure 4.5.4.

**Table: 4.5.3 Summary of Sample Household in Each Affected Villages**

Province	District	Commune	Village	Sample Number
Kompong Chhnang	Teuk Phos	Cheap	Koh Kandal	4
			Tang Thnong	3
			Boeng Steng	4
			Chi Prong	2
		Khlong Popok	Boeng Steng	5
			Kroy Wath	9
			Trapang Krobao	4
			Ta Kab	2
			Trapang Chhrey	4
			Akphivoadth	Sre Tachhey
Total		3	10	40

Source: JICA Survey Team

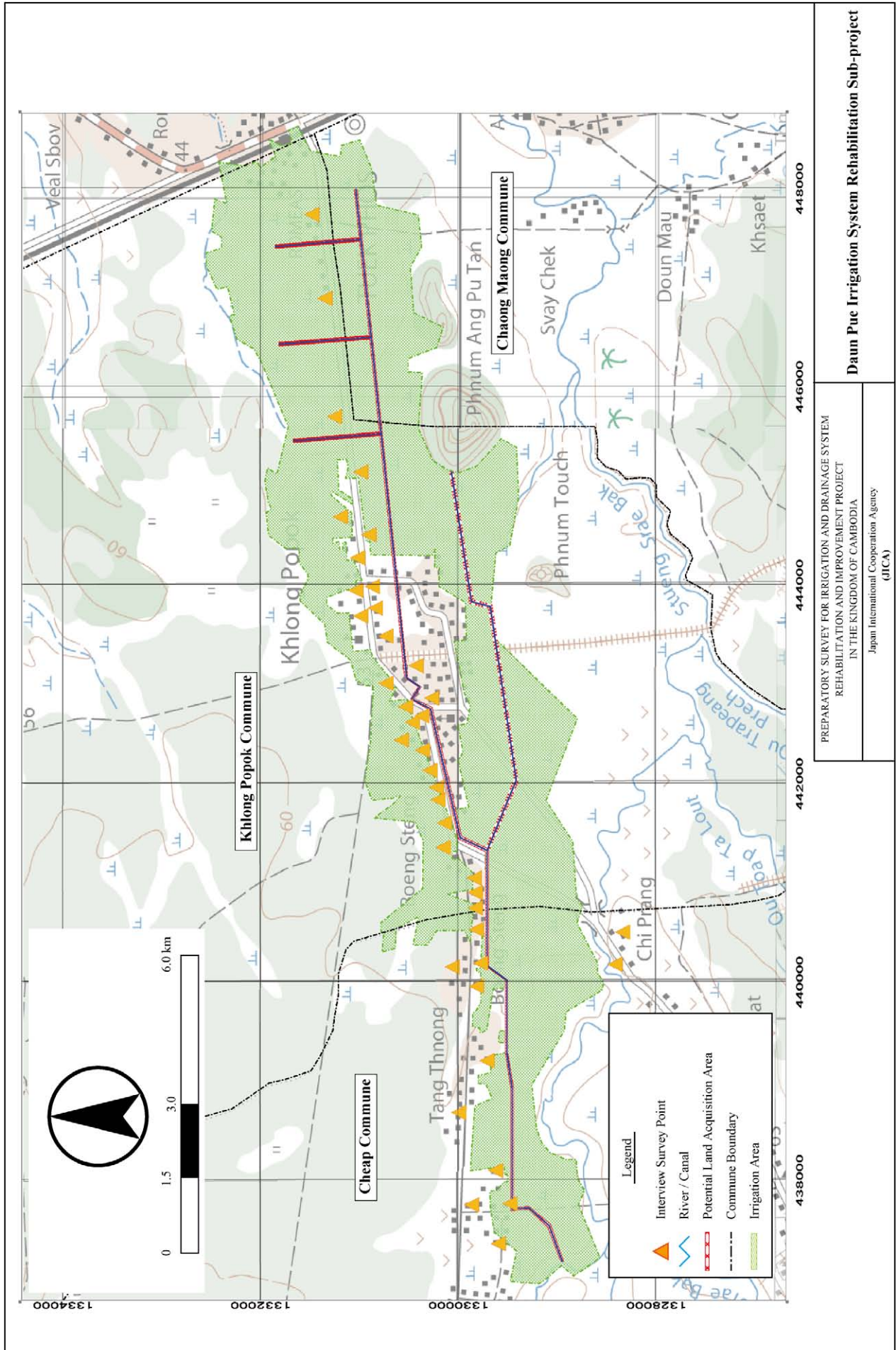


Figure 4.5.4 Sample Distribution for the Socio-economic Survey

## (2) Result and Analysis

## 1) Attribution of respondents

The survey respondents are mostly the male and female heads of households (57.5% and 42.5% respectively) with their average age of 51.15 years old. Of these households, the average household member population is 4.5 persons per household for all samples of Daun Pue Area as shown in Table 4.5.4.

**Table 4.5.4 Male-Female Balance of Respondents**

Item	Number	Rate [%]
Male head of HH	23	57.50
Female head of HH	17	42.50
Oldest son of the HH	0	0.00
Oldest daughter of the HH	0	0.00
Other (younger sister)	0	0.00
Total	40	100

Source: JICA Survey Team

## (b) Family structure

## 1) Average Number of household member

The average number of household member is 4.50 persons in a household of the respondents.

## 2) Balance of male and female

The balance of male and female in the affected households are shown in Table 4.5.5.

**Table 4.5.5 Balance of Male and Female of the Total 40 Families**

Item	Number	Rate [%]
Male	83	45.00
Female	101	55.00
Total	184	100

Source: JICA Survey Team

## 3) Total family member and working persons

The average number of working-age population (between >10 to < 64 yrs old) per household is 3.28 while the average of non-working is 1.23 of a family of the respondents. The number and rate working person are shown in Table 4.5.6.

**Table 4.5.6 Total Family Member and Working Person**

Total		Working Person		Non-working Person	
N	%	n	%	n	%
180	100	131	72.78	49	27.22

Source: JICA Survey Team

## (c) Main occupation

The main income sources of the sampled household heads are predominantly from farming activities are 32 families, salary worker 3 families, private business 4 families and others 1 family as shown in Table 4.5.7.

**Table 4.5.7 Main Occupation of Household Head**

Item	Number	Rate [%]
Farmer	32	80.00
On-farm labor	0	0.00
Non-farm labor	0	0.00
Salary worker	3	7.50
Private business	4	10.00
Others	1	2.50
Total	40	100

Source: JICA Survey Team

## (d) Education level

## 1) Educational Background

The education levels of the sampled households are shown in Table 4.5.8.

**Table 4.5.8 Education Levels of Sampled Household Members**

Item	Number	Rate [%]
No formal education	17	9.24
Drop-out at primary school	57	30.98
Graduate from primary school	30	16.30
Drop-out at junior high school	17	9.24
Graduate from junior high school	22	11.96
Drop-out at high school	2	1.09
Graduate from high school	2	1.09
More than high school	4	2.17
Presently going to school	25	13.59
Not going to school	4	2.17
Before school age	4	2.17
Non-formal education for adults	0	0.00
Total	184	100

Source: JICA Survey Team

## 2) Literacy

Literacy rate of sampled household members in Daun Pue areas is shown as Table 4.5.9.

**Table 4.5.9 Literacy Rate of Sampled Household Members**

Literacy Condition	Number	Rate [%]
Unable to write, read, and calculate for making living	21	11.41
Able to write, read, and calculate for making living	163	88.59
Total	184	100.00

Source: JICA Survey Team

## (e) Income sources

## 1) Income levels

The average and median household incomes are 16,843,000 [Riel] and 3,800,000 [Riel/year]. Maximum and minimum household incomes are 342,546,000[Riel/year], and 500,000 [Riel/year]. The gap between “average” and “median” income per household indicates that there exist numbers of very rich households, or there are few very riches in comparison to the number of poor.

## 2) Proportion of income sources

The households earning only from agricultural income and from non-agricultural incomes includes sales from paddy rice, palm sugar and livestock/poultry, while non-agricultural income includes permanent based salary, on-farm labor, off-farm labor, private business, remittance, selling of firewood/charcoal and others. The proportional income volumes from various income sources are calculated for each source of Daun Pue areas is shown in Table 4.5.10.

**Table 4.5.10 Total Proportional Income Volumes from Different Sources**

Cash income Sources	Rate [%]
1. Selling paddy/rice	12.3
2. Selling vegetables (red pepper/ tobacco/ water melon/ others)	-
3. Selling fruits (mango/ papaya, banana/ hairy fruit/ orange/ others)	0.3
4. Selling palm sugar	1.1
5. Selling livestock/ poultry products	10.5
6. Selling fishes	-
7. Salary from permanent job	12.3
8. Wage from temporary on-farm job	0.8
9. Wage from temporary off-farm job	1.1
10. Private business (transportation, trading, shop, etc.)	30.8
11. Remittance from family members	29.9

Cash income Sources	Rate [%]
12. Selling firewood/charcoal	0.7
13. Selling handicraft/ cottage industry products	-
14. Selling forest vegetable/ crop	-
15. Others	0.2
16. Total	100.0

Source: JICA Survey Team

From the above Table, private business or remittance from family member occupy a large portion of income source. In agriculture, rice or livestock is large income sources.

(f) Expenditure

1) Expenditure Levels

Average, minimum and maximum expenditure for samples in Daun Pue Areas are shown in Table 4.5.11. The average expenditure for each member per family per day is 6,660,000 riel and the maximum is 134,509,000 riel and the minimum is 463,000 riel.

**Table 4.5.11 Average and Median Household Expenditure per Category Area and Total Samples**

Item	Expenditure [x10 <sup>3</sup> Riel/year]
Average/HH	6,660
Median/HH	2,366
Minimum	463
Maximum	134,509

Source: JICA Survey Team

2) Proportional Expenditure Volumes for Different Purposes

The proportional expenditure volumes for different purposes are shown in Table 4.5.12.

**Table 4.5.12 Proportional Expenditure Volumes for Different Purpose**

Item	Rate [%]
1. Rice	4.77
2. Other foods	49.26
3. Health/ medicine	1.60
4. Education	10.14
5. Clothes	3.19
6. Firewood/Kerosene/Electricity	2.70
7. Transportation	18.66
8. Tax	0.33
9. Others	9.35
Total	100.0

Source: JICA Survey Team

(g) Preference of compensation type

As shown in Table 4.5.13, 40% of the respondents is favor to take cash compensation with market price while the 37.5% agreed to accept the land compensation as they do not have other rice field to farm but the 22.5% cannot choose in this moment due to sufficient information.

**Table 4.5.13 Preference of Compensation Type**

Item	Number	Rate [%}
Cash	16	40.00
Jobs for family members	0	0.00
Do not know yet	9	22.50
Others (Free contribution, Land-to-land)	15	37.50
Total	40	100.00

Source: JICA Survey Team

(h) Assistance requirement by APs

As described in Table 4.5.13, no farmer chose job assistance as the compensation. Therefore, the assistance required for the work/job of the family member is not applicable.

(i) Requirement of job training by APs

As described in Table 4.5.13, no farmer chose job assistance as the compensation. Therefore, the assistance required for the work/job of the family member is not applicable.

## CHAPTER 5 COMPENSATION POLICY AND ENTITLEMENT MATRIX

### 5.1 Compensation Policy

Fundamentally, the compensation policy of the sub-projects will follow the laws and regulations in Cambodia in compliance with JICA's policies, such as JICA Guidelines for Environmental and Social Considerations. Based on the gap analysis through the comparison of the above JICA guidelines and Cambodian law and regulations, the applied general policy has been proposed in Chapter 3.

Through the past and recent experiences of land acquisition and resettlement in Cambodia, it is necessary to consider the vulnerable persons and non apparent land owner in public land. Recently Cambodia prepares the system to adopt the policies of the international donors such as WB, ADB or JICA.

In this context, the basic principal of compensation policy is prepared to minimize the negative impacts related to involuntary resettlement or land acquisition and to assist APs in their efforts to restore or improve their former production levels, income earning capacity, and living standards.

The following principles of resettlement and compensation apply in these sub-projects:

- Acquisition of land and other assets, and resettlement of people will be minimized with the selection of suitable way in alternative considerations.
- All APs living in, working, doing business, or cultivating land, or having rights over resources within the ROW as the cut-off date as the day of the commencement of DMS survey in the sub-project area are entitled to compensation for their lost assets, incomes, jobs and businesses at replacement cost.
- Additional relocation assistance and offered support during the transition period will be provided for the APs displaced by the sub-project.
- Relocated persons should also be provided with appropriate development assistance in order to improve or at least restore their incomes and living standards to pre-project levels.
- Lack of legal rights should not impede the APs from entitlement to such compensation for his/her lost assets (improvements including structures, houses, crops, trees, etc.), businesses and incomes, and rehabilitation measures.
- Legal occupants in APs should be entitled to full compensation for the entire affected assets at replacement cost, and in the case of loss of productive assets, incomes, jobs and employment, to additional development assistance that allows them to enhance or at least maintain their standard of living.
- APs affected by partial impact on their assets i.e. partial loss of land or structures and the remaining assets remain viable for continued use, where the livelihood is not land-based, the compensation for the affected assets will be paid in cash.
- Replacement agricultural land or premise/business plot will be as close as possible to the land that was lost and/or acceptable to the APs. All replacement land for agriculture, residential and businesses will be provided with secure tenure status and without any additional cost, taxes, and surcharge to the APs at the time of transfer.
- Plans for acquisition of land and other assets and provision of rehabilitation measures will be carried out in consultation with the APs who will receive prior information of the compensation and rehabilitation options available to them.
- In case that cultural minorities or indigenous peoples are affected (though not identified in the current survey), the social and economic impact they receive would be in harmony with their cultural preferences and would be decided in consultation with affected communities.
- Particular attention shall be paid to the needs of the poorest affected people and vulnerable groups. This may include households headed by females, the elderly, or disabled, and other vulnerable groups, particularly indigenous peoples. Appropriate assistance must be provided to help them improve their socio-economic status.
- Any acquisition of, or restriction on access to resources owned or managed by APs as a common property will be mitigated by arrangements ensuring access of those APs to equivalent resources on a continuing basis.
- APs whose land or assets are temporarily taken by the works under the sub-project will be fully compensated for their net loss of income and damaged assets, the latter at replacement cost. Assets which are only temporarily affected or inoperable, will be compensated at 10% of

the replacement cost of affected assets provided that such assets or properties are required by the sub-project for a maximum of 3 months. In case the assets are required by the sub-project for periods longer than three months, the amount of compensation should be negotiated with the owner of said property.

- The previous level of community services and access to resources will be maintained or improved after resettlement.
- Financial and physical resources for resettlement and rehabilitation will be made available as and when required.
- The DRP will provide for a planned resettlement program and will include adequate institutional arrangements to ensure effective and timely design, planning, consultation and implementation of compensation, resettlement and rehabilitation measures. Project authorities will ensure effective coordination with relevant agencies for implementation of resettlement.
- Adequate arrangements will be made for the effective supervision and monitoring of resettlement, both internally by the Government and externally by an independent organization to be hired for the purpose, to ensure compliance to the resettlement policy and help ensure that APs are able to rehabilitate themselves as planned.
- For APs in the reservoir, the DMS will be concluded by IRC, and this will identify the cut-off date for eligibility.
- APs include anyone who at the cut-off date of the sub-project was located within the sub-project area or any of its component or sub-project or part thereof, and would have (i) their standard of living adversely affected, (ii) right, title or interest in any house, land (including residential, commercial, agricultural and other land), water resources, or any other movable or fixed assets acquired or possessed, in full or in part, temporarily or permanently by public sector acquisition, or (iii) business, occupation, place of work or residence or habitat adversely affected by public sector intervention.

"APs" refers to households and consists of all members residing under one roof and operating as a single economic unit, who are adversely affected by the sub-projects. For resettlement purposes, APs will be considered as members of the affected households by the sub-projects.

## **5.2 Entitlement Matrix**

The entitlement regarding compensation and assistance of livelihood restoration shall be defined according to Cambodian regulations in compliance with donor's policies as mentioned in above policy. According to the policy, the proposed entitlement matrix is described in Table 5.2.1.



**Table 5.2.1 Proposed Entitlement Matrix**

No.	Application	Entitled Person	Entitlements
Application for all directly and indirectly APs			
1	All AP HHs		- For the portion or severe impact all kind of land or structure will provide one-time disruption allowance of \$ 40.
2.	All Indirect Impact people (All people that living in the surrounding sub-project area)	All people in the area	- Replacement of public facilities that lose by the construction of access roads, culvert and bridges, sewerage line
Application for Loss of Land			
3.	Agriculture Land	Owners with acceptable proof	<ul style="list-style-type: none"> <li>- Cash compensation at full replacement cost or entire land arrangement with same productivity and accessibility for compensation</li> <li>- Cash compensation for affected perennials, and crop at replacement cost</li> <li>- If the job has to be changed due to the entire land acquisition or by the sub-projects, income and livelihood restoration program will be provided.</li> <li>- Assistance to look for replacement land with consideration of sufficient time to harvest crops.</li> <li>- If falling in one or more of the categories of vulnerability, one-time cash assistance of \$240 for the categories the APs belongs.</li> </ul>
		APs without ownership	<ul style="list-style-type: none"> <li>- Cash compensation for affected perennials and crop at replacement cost</li> <li>- APs will be given sufficient time to harvest crops on the subject property.</li> <li>- Assistance by governmental land arrangement such as land concession program</li> <li>- If falling in one or more of the categories of vulnerability, one-time cash assistance of \$240 for the categories the AP belongs.</li> </ul>
		Tenant	<ul style="list-style-type: none"> <li>- Cash compensation for affected perennials and crop at replacement cost</li> <li>- If falling in one or more of the categories of vulnerability, one-time cash assistance of \$240 for the categories the AP belongs.</li> </ul>
4	Residential Land	Owners with acceptable proof	<ul style="list-style-type: none"> <li>- Cash compensation at full replacement cost of land or entire land arrangement with same condition like accessibility with ensure of tenure</li> <li>- Assistance to look for replacement land with one time transport allowance if relocation is necessary for APs</li> <li>- If falling in one or more of the categories of vulnerability, one-time cash assistance of \$240 for the categories the APs belongs.</li> </ul>
		APs without ownership	<ul style="list-style-type: none"> <li>- Cash compensation at full replacement cost</li> <li>- Assistance by governmental land arrangement such as land concession program</li> <li>- If falling in one or more of the categories of vulnerability, one-time cash assistance of \$240 for the categories the AP belongs.</li> </ul>
		Tenant	<ul style="list-style-type: none"> <li>- Assistance to look for replacement land with one time transport allowance.</li> <li>- If falling in one or more of the categories of vulnerability, one-time cash assistance of \$240 for the categories the AP belongs.</li> </ul>
Application for Loss of Structure			
5	Main Structures	Owners with acceptable proof	<ul style="list-style-type: none"> <li>- Cash compensation at full replacement cost (i.e., no depreciation and no deduction for salvage materials) for the entire structure.</li> <li>- Assistance to look for replacement structure with one time transport allowance if relocation is necessary.</li> <li>- If falling in one or more of the categories of vulnerability, one-time cash assistance of \$240 for the categories the AP belongs.</li> </ul>
		Tenant	<ul style="list-style-type: none"> <li>- Assistance to look for replacement structure with one time transport allowance if relocation is necessary.</li> <li>- If falling in one or more of the categories of vulnerability, one-time cash assistance of \$240 for the categories the AP belongs.</li> </ul>
6	Other Structures (Loss of, or damage to, affected assets, partially or entirely)	All APs	- Cash compensation at replacement cost for the affected assets.

Source: JICA Survey Team

## CHAPTER 6 INCOME AND LIVELIHOOD RESTORATION PROGRAM

During this Preparatory Survey to prepare this Resettlement Policy Framework, there are some affected persons due to land acquisition though it was not identified to require the involuntary resettlement. There are people who on account of their position in society and/or their physical and economic situation are less capable of re-establishing themselves than the others and, therefore, face greater risks of impoverishment. These people are those who fall in any of the groups of vulnerable households. Consequently, compensation at replacement cost itself is not enough. Relocation assistance through different types of allowances and economic restoration plan is needed to be developed in order to make sure that the APs will not be worse or otherwise improved their livelihood and living standards at new places.

The following people will be categorized for assistance.

- Permanent Loss of Agriculture / Commercial Land and structure
- Vulnerable households to be relocated from the ROW. Eligible members of such family will be identified during planning the LIRP;
- Vulnerable households having no adult male members to shoulder household responsibility (women headed households), the women heading the household will preferably be the eligible member;
- Vulnerable households of the employees and daily wage earners of the diminished businesses or their nominated representatives;
- Vulnerable households losing access to agriculture land including sharecropper, and leaseholders ; and
- Vulnerable households losing access to commercial

The type of assistance includes provision of job opportunity, allowance, job training, etc, according to their requirement and the discussion. The draft contents of income and livelihood restoration program is shown in Table 6.1.1.

**Table 6.1.1 Draft Contents of the Income and Livelihood Restoration Program**

Type of program	Type of entitlement	Draft Contents of Program
Provision of the job opportunity	Vulnerable APs which cannot work during the temporary construction period	Short-term employment in the clearing of trees and related activities at the construction phase of the sub-projects, to be facilitated by the Ministry of Water Resources and Meteorology (MOWRAM), the Executing Agency (EA). The EA will request the civil works contractor to hire the APs as labors for construction process.
Provision of allowance	Vulnerable persons which require the purchase of new agricultural or commercial land for their job	Transitional allowance of \$412 per hectare of land lost in the reservoirs and other infrastructures (all affected agricultural land compensate base of rice field with the average yield of rice productivity per year of 1.5 tons per hectare at a current market value of 1100 Riel per kilogram). This transitional allowance covers for their loss of rice production, which was not able to be grown in a year.
Job training	The persons who are required to change the job.	Job training for private business or other business which APs change from original job

## **CHAPTER 7 GRIEVANCE REDRESS**

### **7.1 Principal of Grievance Redress System**

All APs have the right of appeal against any aspect of decisions made not in accordance with the DRP or with commitments given to them, or on which they disagree with the level or manner of compensation, including that for land losses. The main objectives of the grievance procedure are to provide a mechanism to ensure that the compensation and resettlement program have been implemented accurately and fairly, alleviating any adverse effects on APs, to mediate conflict and to avoid lengthy litigation that is unfair to APs and can delay the sub-project. It also provides people who have objections or concerns about their compensation of other assistance with an accessible and known procedure through which to raise their objections and have them resolved. The objectives of grievance redress system are shown as follows.

- (i) To provide support for the APs being relocated on problems arising out of their adjustment to their new environments;
- (ii) To record grievances of the APs and categorize and prioritize those grievances needing to be resolved by the Grievance Committee;
- (iii) To assist the APs in dealing with the decisions of the Grievance Committee (the Grievance Committee should be given the power to resolve all but the most serious of grievances);
- (iv) To report new developments to the aggrieved parties regarding the hearing of their grievances. The decisions of the Grievance Committee will not be contested in any other forum, except in the courts of law.

### **7.2 Function and Process of Grievance Redress System**

The grievance function and process should be explained to every AP at the time of during the public consultation meetings.

As the first stage, APs will present their complaints and grievances to the Village or Commune Resettlement Sub-Committee. The Sub-Committee will be obliged to provide immediate written confirmation of receiving the complaint. At the same time, the complaint will be forwarded to the Provincial Resettlement Sub-Committee and the Provincial Grievance Committee. If the Village or Commune resettlement Sub-Committee is unable to resolve the grievance, it will refer the grievance with any relevant information or documents to the Provincial Resettlement Sub-Committee through the PIU at PDWRAM, which will advise the Provincial Grievance Committee.

At this or any subsequent stage the Village or Commune Resettlement Sub-committee may be asked by the APs or the Project Implementation Unit (PIU) and RU to carry out a survey and valuation of structures or land which is the subject of dispute and to provide this or otherwise assist in further review or arbitration.

The Provincial Grievance Committee meets with the aggrieved party and tries to resolve the situation. The Committee may ask for a review of the DMS by the external monitor. Within 21 days of the submission of the grievance the Committee must make a written decision and submit copies to PDOWRAM, the monitoring agency, and the APs.

A judgment on the complaint will be made by the Provincial Grievance Committee with the participation of the village head, Commune Chairman within 21 days of the written acknowledgement being issued. The Provincial Grievance Committee will provide the AP with its decision within 21 days of the complaint being lodged.

If the AP is not satisfied with the solution of the Provincial Grievance Committee, the case may be submitted for consideration by the legal system, however, every effort shall be made to avoid this by

resolving grievances within the framework of the provincial administration and the sub-projects.

If the decision is in favor of the aggrieved party, corrective actions must be prescribed in the letter and implemented within 14 days of the decision with interest added for any back payment of compensation.

If no decision can be agreed to and the settlement of the grievance is essential to the successful implementation of the sub-projects, MOWRAM may ask for arbitration to be undertaken by an independent agency, assisted by any survey or valuation by the EMA and with the presence of the EMA.

In the event that this procedure does not achieve an agreed resolution of the grievance, MOWRAM may take the matter to court, with the plea that an order for eviction be granted, but must advise the sub-projects of its intention to take this step one month in advance, and must in any case make payment of the full compensation costs and allowances to which the AP is entitled.

The grievance procedures do not take away the constitutional rights of any AP him or herself to lodge a complaint with the court at the municipal level. This may be followed by subsequent appeals to the court at the provincial level and national level, but the purpose of the grievance procedure is that citizens, particularly people in the municipal and Commune/village level, will not need to take their complaints to the formal legal institutions and that most complaints will be settled at the lowest level. APs will be exempted from all administrative, transfer and legal fees.

It is recognized that, in many cases, APs do not have writing skills and the possibility of being able to express grievances verbally has been considered, however, APs are encouraged to seek assistance from other local NGOs or other family members, village chiefs or community chiefs to have their grievances recorded in writing and to have access to the DMS or other documentation, and to any survey or valuation by the committee, to ensure that where disputes do occur all the details have been recorded accurately enabling all parties to be treated fairly.

Grievance Redress Committee should be set up by the provincial government with the cooperation of local authorities such as village and commune chief. The Committee is chaired by the Provincial Governor or his representative and has as members the Provincial Head of the Department of Water Resources, the Provincial Head of the Department of Economy and Finance, and Justice Department, on a co-opted basis, one or more local leaders (such as the Village Chief or Commune Chief), who are familiar with the area and the circumstances of the complaint, one of whom at least should have been nominated by the APs and briefed to represent him or her. Co-opted members may include any local NGO nominated by the APs and the External Monitor contracted by the IRC. The external monitor and any local NGO representing the APs may offer advocacy, advice or expert support.

## **CHAPTER 8 IMPLEMENTATION STRUCTURE**

### **8.1 Implementation Schedule**

The boundary of the land acquisition area is not unclear until the determination of ROW after completion of topographic survey in the Detail Design (D/D) stage. The land acquisition and compensation activities should be implemented after the determination of ROW and before the commencement of the construction.

The proposed implementation schedule including engineering components such as the D/D, contractor selection and construction, and land acquisition components such as IOL and socio economic survey, DMS, RCS and payment of compensation are shown in Figure 8.1.1.

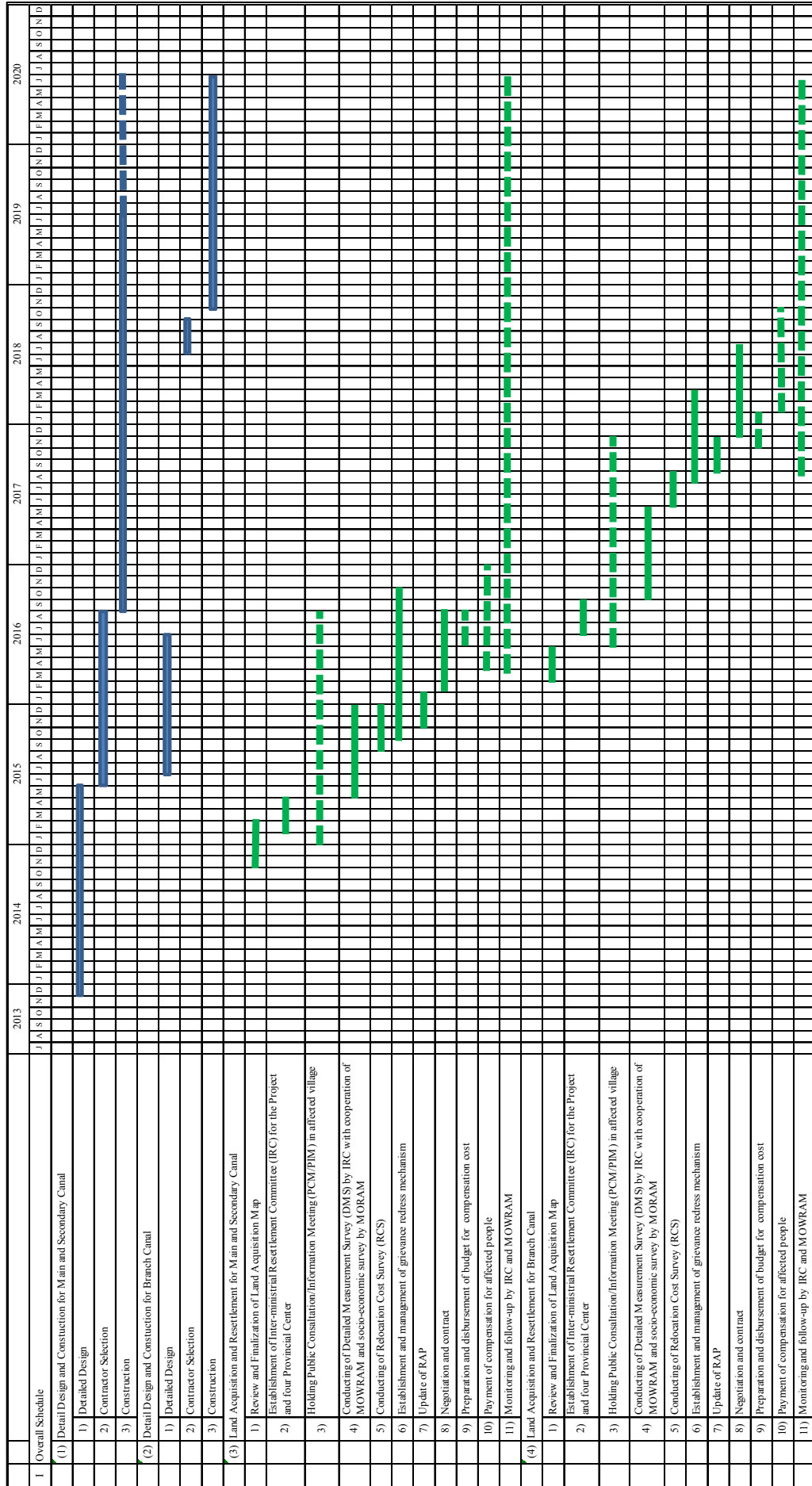


Figure 8.1.1 Schedule of Resettlement and Land Acquisition

## 8.2 Institutional arrangement

MOWRAM and MEF are two key institutions for land acquisition and resettlement. Especially, A Resettlement Unit in MOWRAM (MOWRAM-RU) and Resettlement Department under MEF (MEF-RD) are also the major actors of the two key ministries. The other relevant agencies at national level, Ministry of Interior (MOI), Ministry of Land Management, Urban Planning and Construction (MLMUPC), Ministry of Agriculture, Forestry and Fisheries (MAFF), etc. and provincial levels, departments under the said-ministries and local authorities are relevant agencies. As the collaboration among MOERAM, MEF and the other relevant organization, IRC will be formulated and assign the members of working groups and committees. Duties and responsibilities to be implemented by all above mentioned bodies are designed for driving them through their collaboration efficiently and effectively.

With request from MOWRAM as the implementing agency, MEF further makes request to the RGC in relation to the establishment of Inter-ministerial Resettlement Committee (IRC), and if this request is approved, IRC will be established. IRC after its formulation then requests line ministries to assign member for IRC-WG. MEF plays a role as chairman for the body, whereas Resettlement Department known as permanent department in MEF is the secretariat of IRC and IRC-WG.

Provincial Resettlement Sub-Committee (PRSC) and PRSC-Working Group (PRSC-WG), and Grievance Redress Committee (GRC) will be established latter accordingly within a request of IRC to provincial governor. The local authorities involved in the sub-project area are also included in these committees and subcommittee as well.

The task and relationship of the relevant organizations are shown in Figure 8.2.1.

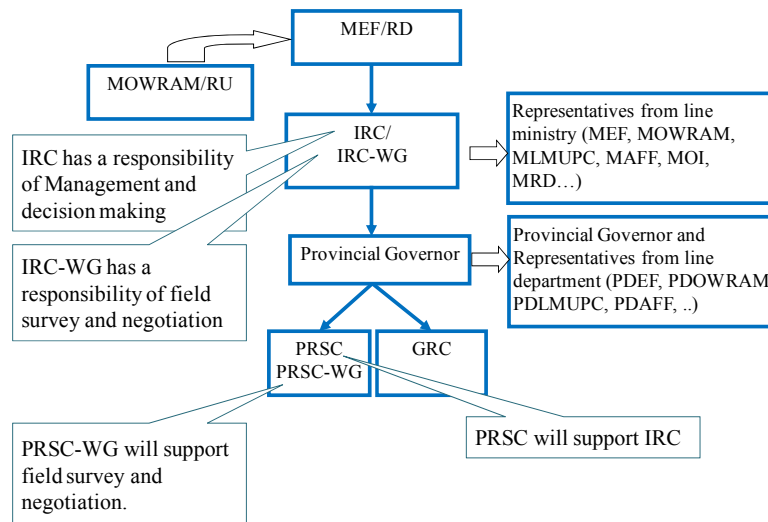


Figure 8.2.1 Relevant Organizations of Land Acquisition and Their Main Tasks

Internal Monitoring Unit (IMU) for conducting internal monitoring will be established by MOWRAM, while an external organization, which will be an NGO or other independent non-governmental agency with socioeconomic research and monitoring capability, may be selected and made contract to the IRC serving as external monitor to provide independent monitoring and evaluation of the resettlement scheme.

In addition to some circumstances that the sub-project activities or resettlement is triggered or still dissatisfy with solution, thus the ultimate action will then be come up with a court by APs' appeal.

The following guidance describes the flow of resettlement in each stage of the sub-projects:

- (1) Pre-Resettlement Implementation Stage

- (a) Resettlement planning purposes to ensure livelihood and living standard of PAPs will be better after the construction. The planning tasks include with sub-project identification, Inventory of Loss (IOL) survey and preparation of draft initial RAP.
- (b) Institutional arrangement aims to conduct effective resettlement by clarifying institutional roles and responsibilities but close coordination. Tasks of this institutional arrangement include the establishment of IRC and IRC-WG, PRSC and PRSC-WG and the formulation of GRC while preparation of respectively internal and external monitoring and evaluation agencies also pursued.

With the stage, the implementing agency has its roles and responsibilities to consider minimization and mitigation measures, to confirm the drawing from consultants, to identify the approximate number of PAPs, to conduct IOL survey for land and property, to estimate budget, to draft initial RAP prepared by Implementation Agency (IA), MOWRAM, and to submit initial RAP to MEF.

However, the contents of IOL survey conducted in the preparation process of initial RAP slightly overlaps the DMS survey conducted by MEF. Therefore, IOL survey can be included in the DMS survey through the discussion with MEF. Basically, MEF-RD has to check the approximate number of PAPs, review the initial RAP, provide comments and recommendations and submit draft RAP to the donor agency like JICA.

## (2) Resettlement Implementation Stage

- (a) DMS aims at conducting IOL survey for compensation to APs. These surveys basically consisted of land demarcation, household interviews and property measurement tasks.
- (b) RCS intends to provide basis for fair and just compensation to APs for their loss based on current market value or other alternative measures. The key tasks for the study consisted of contract with independent evaluator (IE), RCS and RCS report submission.
- (c) The update of RAP and budgeting according to the updated RAP shall be implemented based on the result of DMS and RCS. After MEF approves the updated RAP, IRC submits it to Development Partners.
- (d) Relocation site preparation is to ensure that PAPs could improve their livelihoods and standards of living or at least restore them to levels prior to the beginning of sub-project implementation. There are four tasks including within this preparation, site selection, site survey and land purchase, design and construction.
- (e) Negotiation and contract (based on DMS and RCS, IRC-WG prepares contracts with PAP. IRC-WG meets each PAP after PCM had been done for negotiation. Contract is made if compensation is agreed). Tasks need to be undertaken include with preparation for negotiation and contract, public consultation meeting, negotiation and contract signed if meeting agreement and continue negotiation followed by Grievance Redress Mechanism (GRM) if disagree.
- (f) Budget disbursement and payment should be carried out by IRC/MEF-RD, based on the compensation contract signed on and agreed with APs. The tasks includes the preparation of budget disbursement, holding Public Information Meeting (PIM), date setting and notification of the date for payment and document preparation for related to the payment.
- (g) In the case of necessity of relocation, the relocation should take place only after the sites are ready with basic infrastructure and completely handed over to the community to use and maintenance, while the Corridor of Impact (COI) will be handed over to MOWRAM.

## (3) Cross-Cutting Issues

- (a) PCM aims to improve the quality of decision and to ensure transparency and accountability of decision making process. This meeting will be organized several times during resettlement process such as PCM during IOL survey (if implemented), PCM during DMS (by IRC/IRC-WG, PRSC-WG), PCM during negotiation stage (by IRC/IRC-WG, PRSC-WG), and PIM during payment stage (by IRC/IRC-WG, PRSC-WG).
- (b) GRM contributes to the solution for the APs concerns, complains and grievances in a transparent and fair manner and without any costly burden for APs. There are four stages of the mechanism and every grievance will be started from first stage. At first stage, APs shall



submit his/her grievance to PRSC-WG/IRC-WG or commune. If the grievance could not be settled at 1st stage, secondly it will go to district as 2nd stage. If the grievance could not be settled at 2nd stage, it will go to provincial grievance committee as 3rd stage. If not solved there, it will be transferred to court decision finally.

- (c) Monitoring and evaluation purposes to verify the compliance to RAP and identify any issues during resettlement implementation and possible recommendation for successes as early as possible so that the implementation arrangements can be adjusted.

### **8.3 Monitoring**

#### **8.3.1 Internal Monitoring**

The implementing agency will establish IMU to conduct and responsible for internal monitoring. The purposes of internal monitoring are to assess (i) compliance with the resettlement policy and entitlement matrix (ii) the availability of resources and efficient, effective use of these resources to implement land acquisition and resettlement activities; and, (iii) identification of problems, if any, and remedial actions.

MOWRAM will develop internal monitoring indicators, procedures and reporting requirements that require involuntary land acquisition. Internal monitoring indicators will include: (i) payment of compensation to APs according to RPF or RAP; (ii) coordination and completion of land acquisition, compensation and, as required, resettlement activities before the commencement of civil works; (iii) adherence to public information dissemination and consultation procedures, and report on activities; and, (iv) adherence to grievance redress procedures, and report of activities.

#### **8.3.2 External Monitoring**

The external monitoring agency (EMA) should be independent from the government. For sub-projects financed by a loan, the EMA could be contracted by the government under counterpart funds. The IRC will hire an Independent Monitoring Organization (IMO) to conduct external M&E of voluntary and involuntary land acquisition and resettlement for the sub-project, focusing on the social impacts of the sub-project and whether APs are able to restore, and preferably improve, their living standards, incomes and productive capacity. The IMO will be a qualified NGO or independent consultant with recognized experience in Cambodia. In addition, during the D/D, the tender assistance and contract negotiation stages, international consultant will also implement the role of external monitoring of land acquisition and resettlement process. The key indicators for external monitoring include: a) compliance with the sub-project's policies and procedures; b) the level of satisfaction of APs related to the compensation and assistance levels and grievance procedures; c) the level of income and livelihood restoration of APs; and, d) how well the MOWRAM, PDOWRAM, MEF, IRC and other stakeholders carry out their responsibilities and respect schedules.

## CHAPTER 9 PRELIMINARY COST ESTIMATION AND FUNDING

### 9.1 Preliminary Cost Estimation

#### 9.1.1 Land Acquisition Cost

Land acquisition cost is estimated based on the actual anticipated area for this sub-project for 1) main and secondary canal and 2) branch canal. The land acquisition cost is also divided into two categories such as (i) land acquisition for main and secondary canal with compensation and, (ii) land acquisition for branch canal. Though it is necessary to include the compensation for structure, tree and public assets, and allowance for APs and the cost of income restoration program, it cannot be estimated in this stage due to no implementation of IOL survey. In addition, according to hearing from commune chief about unit cost, the unit cost of land has wide range like location or situation of the demand and supply, etc. Though the detail cost will be determined based on Replacement Cost Study (RCS), the preliminary cost estimation is shown in Table 9.1.1.

**Table 9.1.1 Preliminary Estimated Cost**

Name sub-project	Component	Area (ha)	Unit cost (US\$/m <sup>2</sup> )		Amount (US\$ x 10 <sup>3</sup> )	
			Min	Max	Min	Max
RCHRSP	Main and secondary canal	15	1	10	150	1500
	Branch canal	4	1	10	40	400
	Sub Total	19	-	-	190	1900
USISRSP	Main and secondary canal	0.5	0.4	1.2	2	6
	Branch canal	42	0.4	1.2	168	504
	Sub Total	42.5	-	-	170	510
KSISRSP	Main and secondary canal	5.0	3	12	150	600
	Branch canal	39	3	12	1170	4680
	Sub Total	44	-	-	1320	5280
MC35ESP	Main and secondary canal	16.0	0.2	1.2	32	192
	Branch canal	9	0.2	1.2	18	108
	Sub Total	25	-	-	50	300
DPISRSP	Main and secondary canal	10.6	0.3	1.0	31.8	106
	Branch canal	13	0.3	1.0	39	130
	Sub Total	23.6	-	-	70.8	236

Source: JICA Survey Team

#### 9.1.2 Other Costs

Except land acquisition the other expenses will be necessary such as, compensation cost for structure, tree and public assets, and allowance for APs and the cost of income restoration program as well as indirect cost of administration costs or contingency. The other direct cost including compensation cost for structure, tree and public assets, and allowance for APs and the cost of income restoration program could be possibly estimated as same as land acquisition cost based on similar project, though it should be calculated after the IOL survey. The administration cost will be estimated as 15% of the total costs as well as the consideration of the contingency. MOWRAM and IRC should ensure adequate funds for the preparation and implementation for the efficient and timely implementation of the resettlement activities.

### 9.2 Funding Procedures

IRC/RD takes necessary measures for budget disbursement. After the budget disbursement in the sub-project area, Public Consultation Meeting with contracted APs is held for payment.

IRC will approve budget for disbursement, while RD/MEF will verify and prepare documents for disbursement and notify to the PRSC that disbursement voucher is ready for withdrawing. IRC-WG will prepare necessary documents for payment and join in payment activity.

PRSC dispatches administration officials to Phnom Penh for withdrawing budget and receives a

check/payment voucher from RD. The body has also to set the date and venue for payment and assign administration officials for payment. Also this body needs to send payment documents to RD after payment and liquidation for the payment. PRSC-WG notifies APs the date and venue for the payment and prepares necessary documents for payment implementation.

The cost of resettlement will be calculated based on (i) the losses inventoried during the detailed measurement survey (DMS); (ii) the entitlements set out in the entitlement matrix of the RF; and, (iii) the findings of the replacement cost study and reporting to establish current market prices.

An itemized budget in the RP is required for all resettlement activities, including for land acquisition. An annual resettlement budget is prepared, showing the budget- scheduled expenditure for key items. Land acquisition and resettlement costs are reflected in the sub-project costs.

Resettlement costs, including the income restoration and livelihood development, shall be from the counterpart fund of the Royal Government of Cambodia. The Ministry of Economy and Finance will provide the budget directly to the IRC and IRC will disburse the fund to Provincial Department of Economy and Finance (PDEF) for payments of Compensation and allowances to APs. The IRC and PDEF are responsible for arranging the resettlement budget sufficiently to allow time for resettlement activities.

The sub-project budget will be based on the detailed measurement survey, application of the entitlement matrix, and application of replacement cost as a result of the replacement cost study.

The budget for land acquisition, compensation, and allowances will be financed by the RGC. Ministry of Economy and Finance (MEF) on behalf of RGC will provide the budget directly to the PRU for compensation payments via Provincial Department of Economic and Finance. With assistance of IRC/IRC-WG and PRSC/PRSC-WG funding can then be allocated for APs for their properties losses.

## CHAPTER 10 PROCEDURE OF PUBLIC INVOLVEMENT

### 10.1 General Concept of Public Involvement

According to JICA guidelines for Environmental and Social Considerations, various stakeholders should be involved in the land acquisition and resettlement process from the early stage with consideration of convenience for participants such as meeting time or place and of appropriate atmosphere that every stakeholder easily makes a presentation of their own opinions.

The Provincial Resettlement Working Group (PRWG), assisted by PDOWRAM, carried out an information campaign including a series of public meetings at each of the effected villages before conducting IOL Survey or DMS the registration of APs.

### 10.2 Procedure of Public Involvement

#### 10.2.1 Socio-economic survey

During socio-economic survey in D/D stage, questionnaire survey will be implemented for APs. During the process of interview for the questionnaire survey, the opinion of APs will be informed to implementation agencies or other stakeholders through the analysis and report by the interviewers.

#### 10.2.2 Public Consultation Meeting

Public Consultation Meeting (PCM) is effective tool to keep the accountability and transparency in the decision making process as well as incorporate opinions of various stakeholders. PCMs should be timely held through from initial planning to implementation, monitoring stage.

The main contents of each stage, responsible organization, main participants and material to be delivered to the participants are shown in Table 10.2.1.

**Table 10.2.1 Procedure of Public Consultation Meeting**

Stage to be implemented	Main contents	Organizer	Main participants	Materials
IOL survey stage	Project outline Outline of inventory survey	MOWRAM	MOWRAM, MOWRAM-RU, PDOWRAM, Commune staff, APs, etc	Project Information Material
DMS survey stage	Outline of inventory survey Grievance redress mechanism	IRC/IRC-WG/PRSC-WG	MOWRAM, MOWRAM-RU, PDOWRAM, Commune staff, APs, etc	Public Information Book (PIB)
Negotiation process	Negotiation process Grievance redress mechanism	IRC/IRC-WG/PRSC-WG	MOWRAM, MOWRAM-RU, PDOWRAM, Commune staff, APs, etc	Updated PIB including compensation rate
Disbursement and payment stage	Payment process Warning regarding ROW and encroachment	IRC/IRC-WG/PRSC-WG	MOWRAM, MOWRAM-RU, PDOWRAM, Commune staff, APs, etc	Flyer or Brochures

Source: JICA Survey Team

Public Information Booklet (PIB) will disseminate during DMS Survey by IRC. The PIB contained information on the sub-project compensation policy, compensation payment procedures, and construction schedule aimed at social preparation for relocation and resettlement of the affected persons.

The contents of PIB will include the following contents shown in Table 10.2.2.

**Table 10.2.2 Contents of Public Information Book**

No.	Item	Main Contents
1	Brief Description of sub-Projects	-Back ground and objectives of the sub-project -Brief description of the sub-project
2	Scope of Land Acquisition and Resettlement and Entitlement of Compensation	-Consideration of minimization of the impact of the sub-project -Cut-off date of entitlement -Entitlement matrix
3	Compensation Rate	-Result of DMS -Result of RCS
4	Implementation Schedule	-Schedule of land acquisition and other compensation payment
5	Grievance Redress Mechanism	-Framework of Grievance Redress ( -Grievance Redress Committee and Contract information

Source: JICA Survey Team

The public consultation meeting with the APs revolved around the following concerns:

- a. Explain the relevant details of the sub-project scope and schedule,
- b. Explain the RPF and the various degrees of sub-project impact,
- c. Provide details of the entitlements, eligibility, cutoff data under the RPF and what is required of APs in order to claim their entitlement,
- d. Explain the relocation and resettlement operations and options and enlist the agreement and support of affected people in participating in these operations,
- e. Explain the Implementation Schedule with a timetable for the delivery of entitlements,
- f. Explain the compensation process and set out compensation rates,
- g. Provide a detailed explanation of the grievance process, and
- h. Enlist the help of village leaders and other influential community officials in encouraging the participation of the APs in RPF implementation.

Public consultation should be held on each commune to explain the contents of project and to exchange the opinions among stakeholders.

## CHAPTER 11 NECESSARY ACTIONS TO BE TAKEN BY MOWRAM

In consideration of overall schedule of the sub-project, the term for land acquisition is very tight as shown in Figure 8.1.1 because the land acquisition should be implemented before the commencement of construction and the final ROW will be determined after the middle of D/D stage. Therefore, MOWRAM should implement necessary actions with consideration of the schedule timely.

### (1) Institutional set up

It is necessary to develop the capacity of MOWRAM-RU for smooth implementation of IOL and socio-economic surveys, planning of land acquisition and support the implementation of land acquisition to Inter-ministerial Resettlement Committee (IRC). IRC should be established through the official letter of implementation agency, MOWRAM. It should be elaborated at early stage because it takes long time for the establishment.

### (2) Preparation of RAP

In this RPF, the resettlement policy and entitlement matrix has been prepared for five sub-projects. Based on the RFP, RAP should be prepared during the stage of D/D and the contractor selection by MOWRAM with cooperation of IRC should be prepared. In addition, socio-economic survey in Srass Prambai should be carried out to identify the APs to be compensated during D/D stage though the land acquisition in the area is not necessary due to its governmental land.

### (3) Budget preparation

Timely budget preparation is crucial for the implementation for the planning, implementation and monitoring of land acquisition and compensation. According to the proposed implementation schedule of land acquisition, especially, MOWRAM should consider the necessary budget to prepare RAP including DMS survey or socio-economic survey including public consultation meeting (refer to Table 10.2.1) through the discussion with MEF, though the surveys will commence at the beginning of 2014 (Refer to Figure 8.1.1). However, the IOL survey may be able to be implemented within DMS through the discussion with MEF. MOWRAM should consult with MEF at early stage for the smooth implementation of land acquisition.

### (4) Coordination with relevant organization

During land acquisition process, various organizations will be involved such as MOWRAM, MEF, IRC, each PDOWRAM, each commune and each village, etc. It is necessary to involve the various organizations as stakeholder, especially during the establishment of IRC. Then, MOWRAM should explain MEF and other relevant organizations including PDOWRAM of each province or each commune in the sub-project area about the sub-project including implementation schedule, organization structure and preliminary cost estimation and request the cooperation with the sub-project.

# *Attachment 1*

*Questionnaire Survey Sheet*

# Questionnaire regarding Socio-Economic Survey for Land Acquisition

## SECTION I General Information

			Sample No. <input style="width: 60px;" type="text"/>
Date (M/D/Y):	<input style="width: 200px;" type="text" value=" / /"/>	Project	<input style="width: 180px;" type="text"/>
Enumerator:	<input style="width: 200px;" type="text"/>	Team Leader:	<input style="width: 180px;" type="text"/>
Province:	<input style="width: 200px;" type="text"/>	District:	<input style="width: 180px;" type="text"/>
Commune:	<input style="width: 200px;" type="text"/>	Village:	<input style="width: 180px;" type="text"/>
		Type of village	<input style="width: 180px;" type="text" value="1 Paddy (srock srae)"/> <input style="width: 180px;" type="text" value="2 Upland crop"/>

Sample No.: All questionnaires shall be attached sequential numbers, i.e. 001, 002, ---, 200.  
 Date (M/D/Y): Data format shall be written as "06/22/11" (Month: June /Date: 22nd /Year: 2011). This item is not necessarily given in the data summary.  
 Enumerator: Name of enumerator shall be written in block letters. This item is not necessarily given in the data summary.  
 Team Leader: Enter name of team leader after proofreading. This item is not necessarily given in the data summary.

## SECTION II Status of APs

<b>II-1</b>	Name and Age of interviewee	Name	<input style="width: 150px;" type="text"/>	<b>Q-6</b>
		Age	<input style="width: 150px;" type="text"/>	<b>Q-7</b>
<b>II-2</b>	Who is it?	1 Male head of the household	<input style="width: 150px;" type="text"/>	<b>Q-8</b>
		2 Female head of the household		
		3 Oldest son of the household		
		4 Oldest daughter of the household		
		5 Other ( )		

**Note**  
 I-1 Write interviewee's name (full name in block letters). This item is not necessarily given in the data summary.  
 I-2 Circle a code number and write her/ his age.

<b>II-3</b>	Total number of household members	<input style="width: 60px;" type="text"/>	<b>Q-9</b>
<b>II-4</b>	Number of working available aged persons in the household (Older than 10, younger than 64)	<input style="width: 60px;" type="text"/>	<b>Q-10</b>
<b>II-5</b>	Main activity of this household (income source)	<input style="width: 60px;" type="text"/>	<b>Q-11</b>

**Note:** Choose main activity of this household from codes below.

Main activity	Code	Remarks
Farmer	1	Own/rented land, and agricultural income is more than other one.
On-farm labor	2	Wage for labor work on farm is more than other income.
Non-farm labor	3	Wage for labor work except on farm is more than other income.
Salary worker	4	Salary is more than other work.
Private business	5	Income from private business is more than other work.
Others	6	Specify.



## II-6 Household member in the same house

	Sex	Age	Education	Main occupation	Literacy		
1	M / F	Q-12-1	Q-12-2	Q-12-3	Q-12-4	Y / N	Q-12-5
2	M / F	Q-13-1	Q-13-2	Q-13-3	Q-13-4	Y / N	Q-13-5
3	M / F	Q-14-1	Q-14-2	Q-14-3	Q-14-4	Y / N	Q-14-5
4	M / F	Q-15-1	Q-15-2	Q-15-3	Q-15-4	Y / N	Q-15-5
5	M / F	Q-16-1	Q-16-2	Q-16-3	Q-16-4	Y / N	Q-16-5
6	M / F	Q-17-1	Q-17-2	Q-17-3	Q-17-4	Y / N	Q-17-5
7	M / F	Q-18-1	Q-18-2	Q-18-3	Q-18-4	Y / N	Q-18-5
8	M / F	Q-19-1	Q-19-2	Q-19-3	Q-19-4	Y / N	Q-19-5
9	M / F	Q-20-1	Q-20-2	Q-20-3	Q-20-4	Y / N	Q-20-5
10	M / F	Q-21-1	Q-21-2	Q-21-3	Q-21-4	Y / N	Q-21-5

### Note:

Sex: Choose sex of this member. "M" means male and "F" means female.

Age: Enter age of the members at present.

Education: Education background shall be chosen from codes below.

for adult (>18 yr)	Code	for children (<18 yr)	Code
No formal education	1	Presently going to school	9
Drop-out at primary school	2	Not going to school	10
Graduate from primary school	3	Before school age	11
Drop-out at junior high school	4	Non-formal education for adults	12
Graduate from junior high school	5		
Drop-out at high school	6		
Graduate from high school	7		
More than high school	8		

Main occupation: Main occupation shall be chosen from codes below.

Main occupation	Code	Main occupation	Code
Farmer	1	Housekeeping (cooking, washing, child care, etc.)	6
On-farm labor	2	No job	7
Non-farm labor	3	Student	8
Salary worker	4	Child (below school age)	9
Private business	5	Others	10

\* Definition of Main Occupation: "A person who has more than 1 job, the work that most of his/ her working time is spent is regarded as a main occupation. In case, he/ she engages in only 1 job, it is regarded as a main occupation" (NIS, 1995)

Literacy: If he/she is able to write, read, and calculate for making living, choose "Y".

## SECTION III INCOME AND EXPENDITURE

### III-1 Cash income sources in last year (Last year: January 2011 – December 2011)

1	Selling paddy/ rice	riel/Yr	Q-22	9	Wage from temporary off-farm job	riel/Yr	Q-30
2	Selling vegetables (red pepper/ tobacco/ water melon/ others)	riel/Yr	Q-23	10	Private business (transportation, trading, shop, etc.)	riel/Yr	Q-31
3	Selling fruits (mango/ papaya, banana/ hairy fruit/ orange/ others)	riel/Yr	Q-24	11	Remittance from family members	riel/Yr	Q-32
4	Selling palm sugar	riel/Yr	Q-25	12	Selling firewood/ charcoal	riel/Yr	Q-33
5	Selling livestock/ poultry products	riel/Yr	Q-26	13	Selling handicraft/ cottage industry products	riel/Yr	Q-34
6	Selling fishes	riel/Yr	Q-27	14	Selling forest vegetable/ crop	riel/Yr	Q-35
7	Salary from permanent job	riel/Yr	Q-28	15	Others (Specify: Q-158)	riel/Yr	Q-36
8	Wage from temporary on-farm job	riel/Yr	Q-29	16	Total	riel/Yr	Q-37

Note: Write cash income of this household in 2010 (total of one year). If the interviewee answer in US\$, convert to riel (US\$ = 4,000 riel).

**III-2 Expenditure for consumption (Last year: January 2011 – December 2011)**

1	Rice	riel/Yr	Q-38	.....kg/day .....riel/kg	.....Bag/month .....riel/Bag
2	Other foods	riel/Yr	Q-39	riel/day	riel/month
3	Health/ medicine	riel/Yr	Q-40	riel/day	riel/month
4	Education	riel/Yr	Q-41	riel/day	riel/month
5	Clothes	riel/Yr	Q-42	riel/day	riel/month
6	Firewood/Kerosene/Electricity/Battery	riel/Yr	Q-43	riel/day	riel/month
7	Transportation (Motor taxi/Gasoline)	riel/Yr	Q-44	riel/day	riel/month
8	Tax	riel/Yr	Q-45	riel/day	riel/month
9	Others (Ceremony/ Wedding)	riel/Yr	Q-46	riel/day	riel/month
10	Total	riel/Yr	Q-47	riel/day	riel/month

**Note** Write expenditure for consumption of this household. Total of expenditure should be less than total of income. If the interviewee answer in US\$, convert to riel (US\$ = 4,000 riel).

**VI Awareness of the People for Land Acquisition**

Q-59 If your land is acquired for the project what kind of compensation do you prefer?

1	Cash
2	Jobs for family members
3	Do not know yet
4	Others

Q-60 If you answer "No.2" in "Q-59", What kind of assistance you need

1	Temporary income support until you decide job
2	Employment opportunity in construction project
3	Training opportunity for new job
4	Others

Q-61 If you answer "No. 3" in "Q-60", what do you want to get job training for ?

1	Salary worker
2	Farmer
3	Retailer
4	Others

## *Attachment 2*

*Survey Photo*



Hearing from commune chief and village chief (1)



Hearing from commune chief and village chief (2)



Socio-economic Survey along south main canal



Socio-economic Survey along north main canal



Existing south main canal



Existing north main canal

**Sub-project Name:**

**Roleang Chrey Headworks Rehabilitation Sub-project**

**Preparatory Survey for Irrigation and Drainage System Rehabilitation and Improvement Project in the Kingdom of Cambodia**

**JICA Survey Team**





Hearing from commune chief and village chief (1)



Hearing from commune chief and village chief (2)



Affected persons near Tumnap Lok Reservoir



Affected person near secondary canal



House on canal to be rehabilitated



Damaged culvert on canal

**Sub-project Name:** Upper Slakou Irrigation System Rehabilitation Sub-project

**Preparatory Survey for Irrigation and Drainage System Rehabilitation and Improvement Project in the Kingdom of Cambodia**

**JICA Survey Team**





Hearing from commune chief and village chief (1)



Hearing from commune chief and village chief (2)



View of Canal EW60 in Kandal Stung



Existing main canal in Bati



Rehabilitated Canal MR1 and illegal household



View of Canal EW59 in Kandal Stung

**Sub-project Name: Kandal Stung - Bati Irrigation System Rehabilitation Sub-project**

**Preparatory Survey for Irrigation and Drainage System Rehabilitation and Improvement Project in the Kingdom of Cambodia**

**JICA Survey Team**





Hearing from commune chief and village chief (1)



Hearing from commune chief and village chief



Interviewee of socio economic survey in Phirimeanchey commune



Interviewee of socio economic survey in Preah Khe commune



Intake of Khpob Krous Reservoir



Secondary canal in thnal village

**Sub-project Name:**

**Main Canal 35 Rehabilitation Sub-project**

**Preparatory Survey for Irrigation and Drainage System Rehabilitation and Improvement Project in the Kingdom of Cambodia**

**JICA Survey Team**





Hearing from commune chief



Main canal in Cheap Commune



Area Affected by rehabilitation of canal (1)



Area Affected by rehabilitation of canal (2)



Temporary Weir for Daun Pue Intake made with Sand Bags and Wooden Material



Existing Secondary Canal

<b>Sub-project Name:</b>	<b>Daun Pue Irrigation System Rehabilitation Sub-project</b>	
<b>Preparatory Survey for Irrigation and Drainage System Rehabilitation and Improvement Project in The Kingdom of Cambodia</b>		<b>JICA Survey Team</b>



## ***Attachment 3***

*Presentation Material in the Wrap-up Meeting*




**Additional Study  
regarding Land Acquisition for  
the Preparatory Survey for Irrigation and Drainage  
System  
Rehabilitation and Improvement Project  
in the Kingdom of Cambodia**

**JICA Survey Team**

**August, 2012**

1



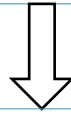
**Today's Contents**

- Background and necessity of this additional study regarding Land Acquisition
  
- Output of additional study
  - Land acquisition area
  - Socio economic survey
  - Draft policy framework and entitlement matrix
  - Implementation structure and Preliminary cost estimation
  
- Further actions to be taken by MOWRAM

2

## Background and Necessity of This Additional Study

- Preparation of the Resettlement Policy Framework based on the JICA Guidelines for Environmental and Social Considerations as additional study
- Confirmation of the further actions to be taken in the future



- Study for the sub-projects which will require land acquisition
- The target area includes main and secondary canals and potential area where branch canal will be developed.

## Situation of Sub-project

Name of Sub-project	Resettlement	Land Acquisition
Roleang Chrey Headworks Rehabilitation Sub-project	Not necessary	Necessary
Upper Slakou Irrigation System Rehabilitation Sub-project	Necessary	Necessary
Kandal Stung – Bati Irrigation System Rehabilitation Sub-Project	Not necessary	Necessary
Main Canal 35 Rehabilitation Sub-project	Not necessary	Necessary
Srass Prambai Water Recession Rehabilitation Sub-project	Not necessary	Not necessary
Daun Pue Irrigation System Rehabilitation Sub-project	Not necessary	Necessary

<b>Cambodian Legal System</b>	
Law and regulations	Main points
Constitution (1993)	The right to confiscate land only in the public interest with fair and just compensation in advance was mentioned.
Land Law (2001)	No person may be deprived of his ownership unless it is in the public interest.
Expropriation Law (2010)	The law refers the mechanism and procedure of land expropriation from the legitimate land owner. In the law, the possibility of expropriation for public and national interest or the requirement for government of the purchase of parts of real property left over from expropriation is mentioned.
Decision No. 13 and Prakas No. 98 (1997)	This legislation created the IRC, which is chaired by the MEF with members coming from various related ministries and provincial authorities.
Sub-decree on Social Land Concession (2003)	This sub-decree defines the criteria, procedures, and mechanism for the granting and transferring of private state lands to the poor for residential and/or family farming purposes.
Royal Government of Cambodia's Proclamation No. 6 (September 27, 1999)	This is an Order by RGC entitled "Measures to Crack Down on Anarchic Land Grabbing and Encroachment", which prohibits private ownership on state lands. In particular, it required a cessation to encroachment on public and private properties as well as State lands, including public gardens, reserved lands for roads and rail sites.

### **Concept of JICA Guidelines for Environmental and Social Consideration**

- Involuntary resettlement and loss of means of livelihood are to be avoided and minimized by exploring all viable alternatives.
  - To compensate the lost of asset sufficiently with full replacement of the damaged before the loss
  - To improve their living standard, income, and production levels, or to restore these to pre-project levels at least.
  - Appropriate participation by affected people and their communities must be promoted in the planning, implementation, and monitoring as well as grievance redress mechanism

### Comparison between the JICA Guidelines and Cambodian Legal System

Item	Cambodia	JICA	Application for this
Resettlement policy	No formal resettlement policy	JICA guidelines describe the formal policy	Basically, JICA guidelines will be applied.
Compensation for land acquisition	Compensation for legal ownership only with fair and just manner.	Full replacement cost for legal owner and support for illegal vulnerable occupants	Full replacement cost for legal owner and support for illegal vulnerable occupants
Public participation in the process of planning and implementation	Public participation from pre-construction stage	Public participation in planning, implementation and monitoring stages.	Public participation procedure will follow the JICA guidelines and Cambodian system
Provision of assistance to restore or improve living standard	No clear policy to maintain living standard at pre-project stage	Living standards and income opportunities of APs should be restored to the pre-project levels.	According to JICA guidelines, livelihood restoration program will be considered
Consideration for vulnerable APs or illegal occupants	Illegal occupants do not have any right for compensation but necessity for vulnerable APs.	Particular attention should be paid to the needs of the poorest affected vulnerable persons including those without legal title	Illegal occupants can be appropriately to improve livelihood but no official land acquisition cannot be provided for this project.

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### Draft Entitlement Matrix

Type of Impact	Entitled Person	Entitlements
• Agriculture Land	Owners with acceptable (recognized) proof or without acceptable of ownership	<ul style="list-style-type: none"> <li>- Cash compensation at full replacement cost</li> <li>- Cash compensation for affected structures, perennials, and crop at replacement cost</li> <li>- APs will be given sufficient time to harvest crops on the subject property.</li> <li>- If falling in one or more of the categories of vulnerability, one-time cash assistance of \$240 for the categories the AP belongs, etc</li> </ul>
• Residential Land	Owners with acceptable (recognized) proof or without acceptable of ownership	<ul style="list-style-type: none"> <li>- Cash compensation at full replacement cost</li> <li>- Cash compensation for affected structures and perennials at replacement cost</li> <li>- If falling in one or more of the categories of vulnerability, one-time cash assistance of \$240 for the categories the AP belongs, etc</li> </ul>
• Structure	Owners of the structures with or without acceptable proof of ownership over the land; with or without building permit	<ul style="list-style-type: none"> <li>- Cash compensation at full replacement cost (i.e., no depreciation and no deduction for salvage materials) for the entire structure.</li> <li>- If falling in one or more of the categories of vulnerability, one-time cash assistance of \$240 for the categories the APs belongs.</li> </ul>
• All AP HHs		For the portion or severe impact all kind of land or structure will provide one-time disruption allowance of \$ 40.

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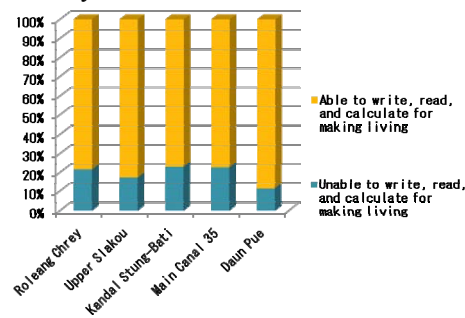


## Result of Socio-economic Survey (General Socio-economic profile No.1)

✓ Demography

	Population	Family	Average of family member
Roleang Chrey	20,629	4,061	5.1
Upper Slakou	11,669	2,296	5.1
Kandal Stung-Bati	94,867	20,950	4.5
Main Canal 35	12,658	2,713	4.7
Daun Pue	22,595	5,067	4.5

✓ Literacy Rate



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## Result of Socio-economic Survey (General Socio-economic profile No.2)

✓ Ethnic and gender issues

- There is no ethnic or minority people based on hearing from commune chief.
- There is no gender issues people based on hearing from commune chief.

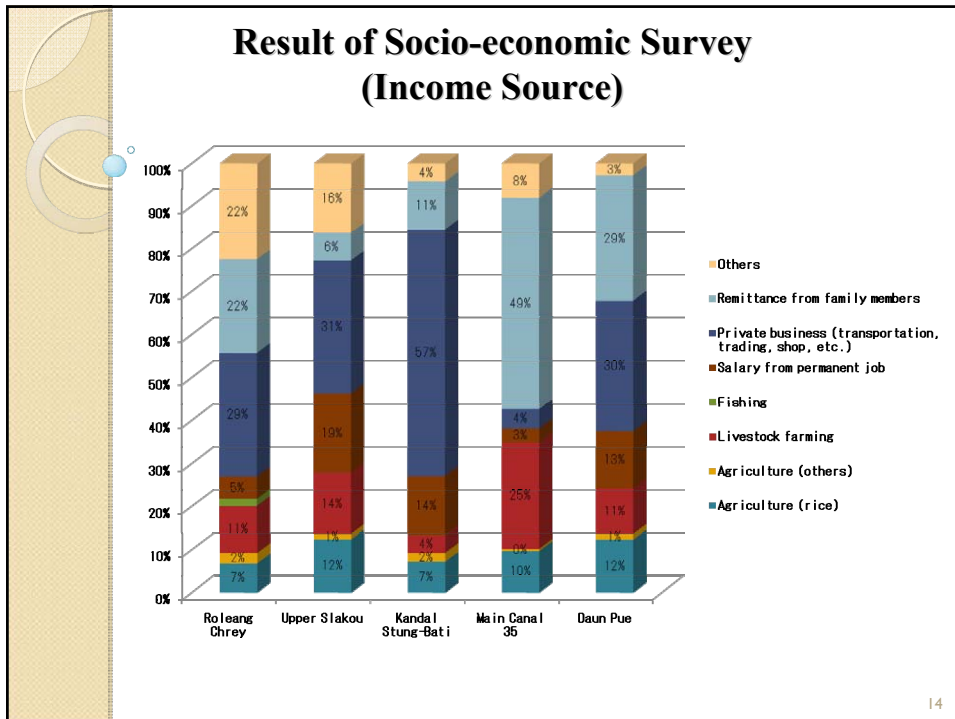
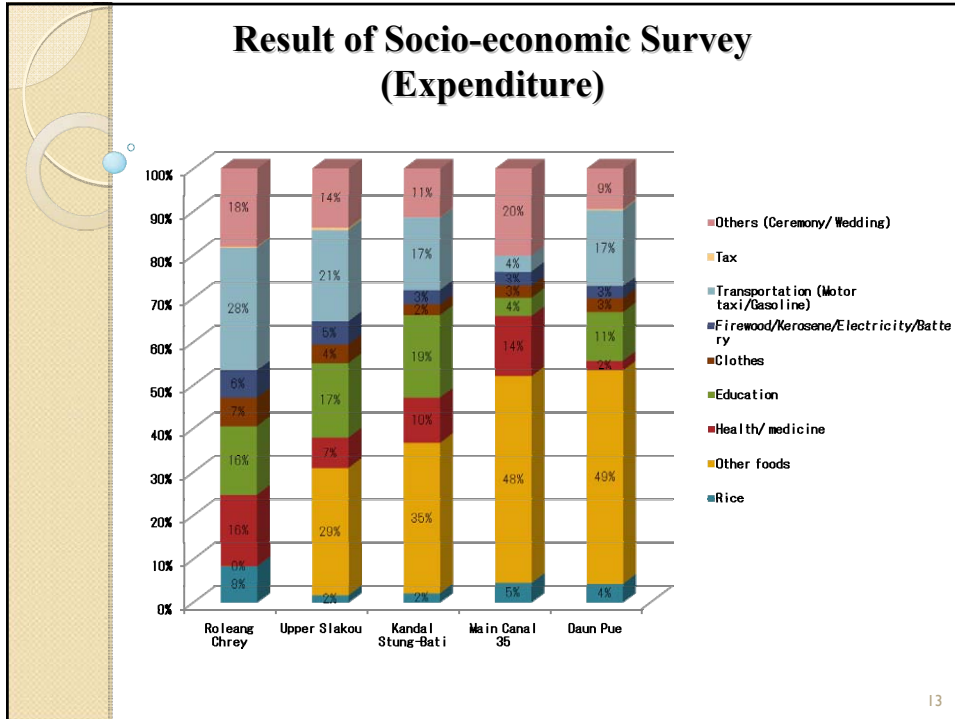
✓ Land use

- Most of land is used as paddy field. There are some forest land are small community in the area

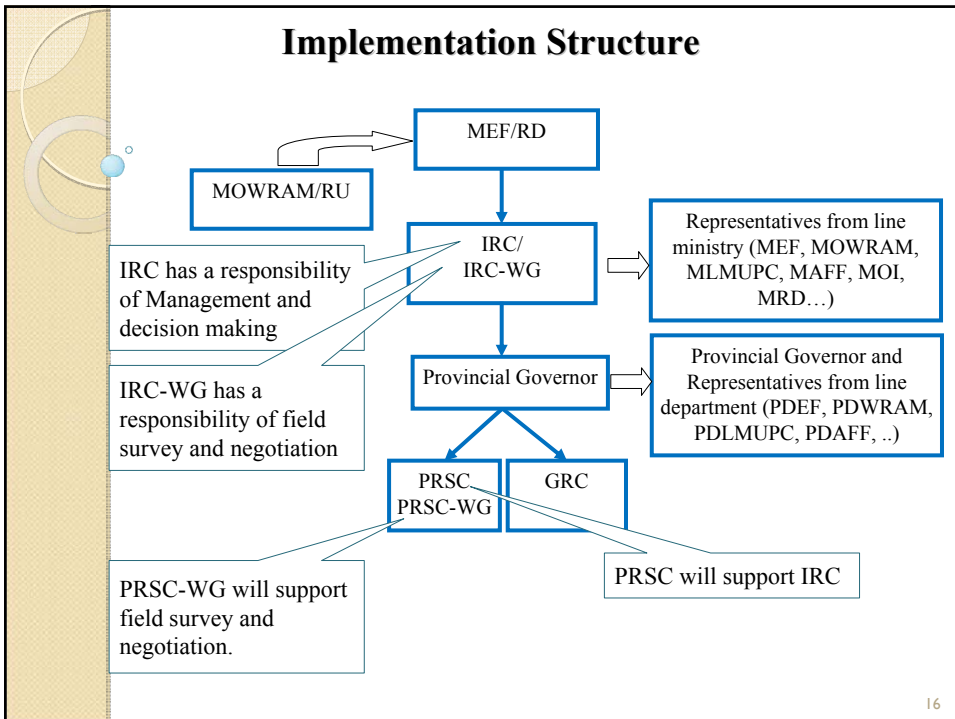
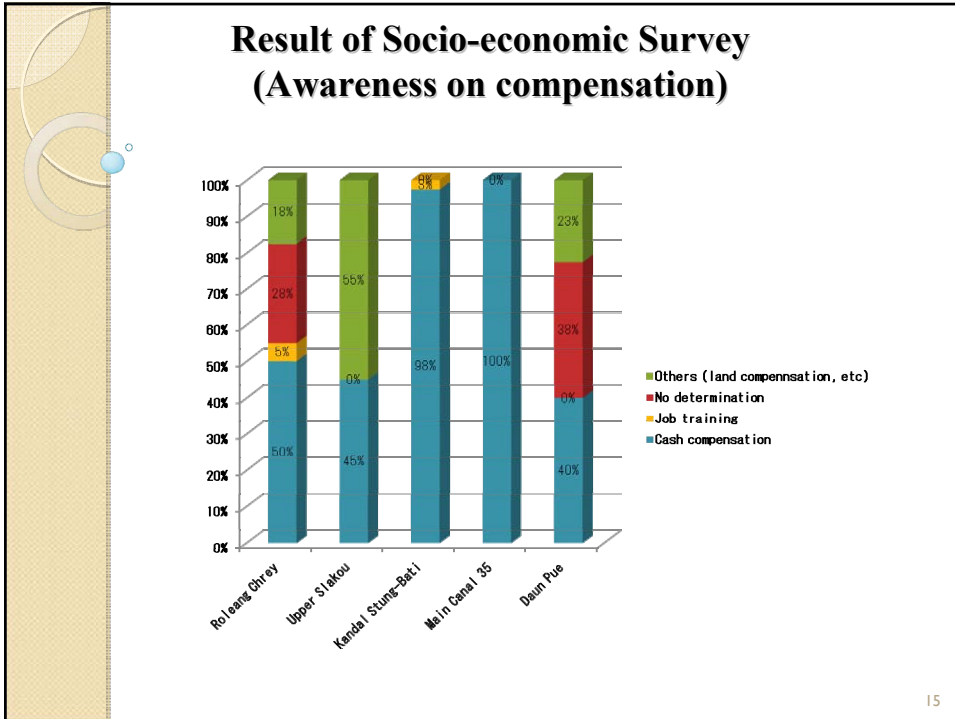


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Implementation Process		
Item	Contents/Remark	Organization
Preparation of Land acquisition map	Preliminary prepared without topographic information	MOWRAM with support of JICA
Preparation of Resettlement Policy Framework	Preparation before L/A	MOWRAM with support of JICA
Review and Finalization of Land acquisition map	During D/D stage, land acquisition map will be finalized.	MOWRAM
Draft RAP preparation with socio-economic and IOL survey	Implementation of IOL survey and socio-economic survey by the support of	MOWRAM
Establishment of Inter- ministerial Resettlement Committee (IRC) for the Project	Implementation agency should take a action to coordinate with MEF to establish IRC at early stage.	MEF
Holding PCM/PIM	Necessity of PCM/PIM for planning, implementation and monitoring stage	MOWRAM/PDO WRAM
Conducting of DMS and RCS and finalization of RAP	Implementation of detail measurement of affected land and structure	MEF/IRC
Establishment and management of grievance redress mechanism	Grievance redress committee will be established by the request by IRC.	IRC
Negotiation and contract	IRC-WG and PRSC-WG will implement the negotiation with APs.	IRC-WG/PRSC-WG /RD
Disbursement of budget for compensation and payment for APs	The monitoring of APs as well as payment for APs is important.	IRC-WG/PRSC-WG /RD

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		2014	2015	2016	2017	2018	2019	2020
Main and secondary canal	D/D	←→						
	Contract Negotiation		←→					
	Construction			←→				
	Survey and plan for land acquisition	←→						
	Land acquisition and compensation		←→					
Branch canal	D/D		←→					
	Contract Negotiation				←→			
	Construction					←→		
	Survey and plan for land acquisition		←→					
	Land acquisition and compensation				←→			

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### Preliminary Cost Estimation (Unit Cost)

	Agricultural land (US\$/m <sup>2</sup> )		Residential land (US\$/m <sup>2</sup> )	
	Max	Min	Max	Min
Roleang Chrey	10	1	33	1
Upper Slakou	0.7	0.4	1.2	1
Kandal Stung-Bati	12	3	18	9
MC35	1.2	0.2	12	0.6
Daun Pue	1.0	0.3	66.67	1.1

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### Preliminary Cost Estimation (Total Cost)

Sub- project area	Minimu m and maximu m value of unit cost	Land acquisition cost (x 1,000US\$ )		Estimated other compensation cost (x 1,000US\$ )		Total cost (x 1,000US\$ )	
		Type of canal					
		Main and Secondary	Branch	Main and Secondary	Branch	Main and Secondary	Branch
Roleang Chrey	Min	150	40	150	40	300	80
	Max	1500	400	1500	400	3000	800
Upper Slakou	Min	2	168	2	168	4	336
	Max	6	504	6	504	12	1008
Kandal Stung-Bati	Min	150	1170	150	1170	300	2340
	Max	600	4680	600	4680	1200	9360
MC35	Min	32	18	32	18	64	36
	Max	192	108	192	108	384	216
Daun Pue	Min	31.8	39	31.8	39	63.6	78
	Max	106	130	106	130	212	260
Total	Min	365.8	1435	365.8	1435	731.6	2870
	Max	2404	5822	2404	5822	4808	11644

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### Public Consultation Meeting

Stage to be implemented	Main contents	Organizer	Main participants	Materials
Inventory survey stage	-Project outline -Outline of inventory survey -Land acquisition policy -Cut off date -Grievance redress system	MOWRAM	MOWRAM, MOWRAM-RU, PDOWRAM, Commune staff, APs, etc	Project Information Material
DMS survey stage	-Outline of DMS Survey -Explanation of land acquisition plan -Negotiation and contract process	IRC/IRC-WG/PRS C-WG	MOWRAM, MOWRAM-RU, PDOWRAM, Commune staff, APs, etc	Public Information Book (PIB)
Negotiation process	-Negotiation and contract procedure -Grievance redress system	IRC/IRC-WG/PRS C-WG	MOWRAM, MOWRAM-RU, PDOWRAM, Commune staff, APs, etc	Updated PIB including compensation rate
Disbursement and payment stage	-Payment procedure -Warning regarding ROW and encroachment -Grievance redress system	IRC/IRC-WG/PRS C-WG	MOWRAM, MOWRAM-RU, PDOWRAM, Commune staff, APs, etc	Flyer or Brochures including information of compensation rate

### Action to be Taken by MOWRAM

- To prepare the budget for IOL survey and socio economic survey
- Setup the IRC during D/D stage
- Preparation of draft RAP during D/D stage

Thank you very much for your attention

# ***Attachment 4***

*Minutes of Wrap-up Meeting*

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**Preparatory Survey for Irrigation and Drainage System  
Rehabilitation and Improvement Project in the Kingdom of Cambodia**

**JICA Japan International Cooperation Agency (JICA)**

*Address: Ministry of Water Resources and Meteorology  
#364 Monivong Boulevard Sangkat Psar Deumthkov Kan Chamkar Morn,  
Phnom Penh, Cambodia  
Telephone: 0236-324684*

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Ref. BIMWM-61/FY2012

August 22, 2012

H.E.Mr.Pich Veasna  
Deputy Director General of Administration Affairs  
Ministry of Water Resources and Meteorology  
Phnom Penh, Cambodia

Dear His Excellency,

Subject: Submittal of Minutes of Wrap-up Meeting of the Additional Survey regarding Land Acquisition

We are pleased to submit herewith the Minutes of Meeting held on August 21, 2012 regarding the output of the additional study regarding land acquisition for the Preparatory Survey for Irrigation and Drainage System Rehabilitation Project and Improvement Project in the Kingdom of Cambodia.

Major discussion and comments are as recorded in the minutes of meeting and summarized as follows:

- Outputs from JICA Survey Team consisting of: (i) map of land acquisition area, (ii) result of Socio-economic survey, (iii) draft policy framework and entitlement matrix, (iv) implementation structure and preliminary cost estimation
- Further actions to be taken by MOWRAM

Major comments and discussed items from the participants are listed as follows.

- Necessity of land acquisition in Sras Prambai
- Unit cost of land based on the hearing from commune chief
- Implementation schedule and budget arrangement, etc

Thank you very much for your attention and necessary actions.

Sincerely yours,



S.Higashinakagawa

JICA Survey Team

- C.C: (1) Dr.Theng Tara, Director of Department of Water Resources Management and Conservation  
(2) Mr.Chea Chhun Keat, Director of Department of Planning and International Cooperation  
(3) Mr.Kenichiro Kobayashi, Director, JICA Headquarters  
(4) Mr.Hiroyuki Yokoi, Representative, JICA Cambodia Office  
(5) File

## Minutes of Meeting

1. Date : August 21, 2012
2. Time : 9:30 to 10:50
3. Place : Meeting Room of TSC
4. List of Participants : Refer to Attachment

The meeting was chaired by Mr. Uch Hing, Deputy Director of Technical Service Center for Irrigation (TSC), Ministry of Water Resource and Methodology (MOWRAM). Main agenda of the meeting consists of: (i) Background and necessity of additional study, (ii) Final output by JICA Survey Team, and (iii) Further actions to be taken by MOWRAM.

After the greeting by Mr. Uch Hing, JICA Survey Team explained the output of the additional study regarding land acquisition.

### 1. Presentation of the additional study

#### 1) Background and necessity of the additional study regarding land acquisition

JICA Survey Team explained the necessity of this survey to prepare the Resettlement Policy Framework for five sub-projects.

#### 2) Output of the additional study

JICA Survey Team explained the main outputs of this study as follow.

- (i) Description of land acquisition area
- (ii) Draft policy framework and entitlement matrix
- (iii) Socio-economic survey
- (iv) Implementation structure and preliminary cost estimation

#### 3) Further actions to be taken by MOWRAM

JICA Survey Team explained the further actions to be taken by MOWRAM.

- (i) Preparation of the budget of IOL survey and socio economic survey
- (ii) Setup the Inter-ministerial Resettlement Committee during D/D stage
- (iii) Preparation of Draft RAP during D/D stage

### 2. Comments and Suggestion

- 1) MOWRAM asked about the necessity of land acquisition in Srass Prambai. JICA Survey Team replied that the land acquisition is not necessary because the project site is only in the Reservoir. However, there are some illegal occupants in the Reservoir who carry out cultivation in dry season. Then, it is necessary to support them, especially for vulnerable persons but no necessity of land acquisition.

## Attachment

- 2) MOWRAM asked why the unit cost of land is various range. The JICA Survey Team replied that the unit cost is different from the location or the situation of each land such as distance from existing canal, market area or accessibility, etc. The cost estimated in this study is preliminary cost estimation to prepare the budget of land acquisition. MOWRAM should consider budget allocation. The detail cost will be determined in the Replacement Cost Survey.
- 3) JICA Cambodia Office asked what is the source of the data (e.g. 240US\$) described in the entitlement matrix. The source is based on the Abbreviated Resettlement Action Plan for Upper Slakou prepared by MOWRAM.
- 4) MOWRAM suggested that JICA Survey Team should use same source and description procedure regarding population. JICA Survey Team agreed that the source and description procedure as same as the DF/R will be used.
- 5) MOWRAM suggested that land acquisition should be completed before the construction in the implementation schedule. JICA Survey Team also suggested that the land acquisition will be implemented step by step in consideration with the time limitation of the planning period of land acquisition and resettlement though the suggestion by MORAM is understandable.
- 6) MOWRAM are concerned about the budget arrangement of IOL and socio-economic survey and asked whether those surveys can be skipped like West Tonle Sap Irrigation and Drainage Rehabilitation and Improvement Project. JICA Survey Team replied that it is not sure that the situation of this project differs from the SAPI. It can be recommended to coordinate with MEF at early stage, if necessary.