

## Review Article

# Congenital Malformations of the Tricuspid Valve: Diagnosis and Management-Part I

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## Abstract

Most commonly encountered congenital malformations of the tricuspid valve are tricuspid atresia, Ebstein's malformation of the tricuspid valve, and Ebstein's type of anomaly of the morphologic tricuspid valve on the left-side in subjects with congenital corrected transposition of the great arteries. In this Part I of this series tricuspid atresia is reviewed. The pathologic and pathophysiologic features of tricuspid atresia are enumerated followed by a discussion of techniques of diagnosis and management methods. It was concluded that congenital tricuspid atresia can be effectively diagnosed with the currently available non-invasive and invasive investigative techniques and this defect can successfully be managed with the existing therapeutic medical and surgical methods.

## INTRODUCTION

A number of congenital malformations of the tricuspid valve have been described and these include, tricuspid atresia, tricuspid stenosis, tricuspid insufficiency, Ebstein's anomaly of the tricuspid valve, and Ebstein's type of abnormality of the morphologic tricuspid valve on the left-side in patients with congenital corrected transposition of the great arteries (CCTGA) [1,2]. In these reviews, tricuspid atresia, Ebstein's anomaly of the tricuspid valve and Ebstein's type of abnormality of left atrioventricular (AV) valve in CCTGA will be discussed. In this Part I, tricuspid atresia will be reviewed while Ebstein's anomaly of the tricuspid valve and Ebstein's type of abnormality of left AV valve in CCTGA will be addressed in Part II of these reviews. Initially, pathology and pathophysiology of tricuspid atresia will be presented followed by the diagnostic features by multiple laboratory techniques and methods of management.

### Tricuspid Atresia

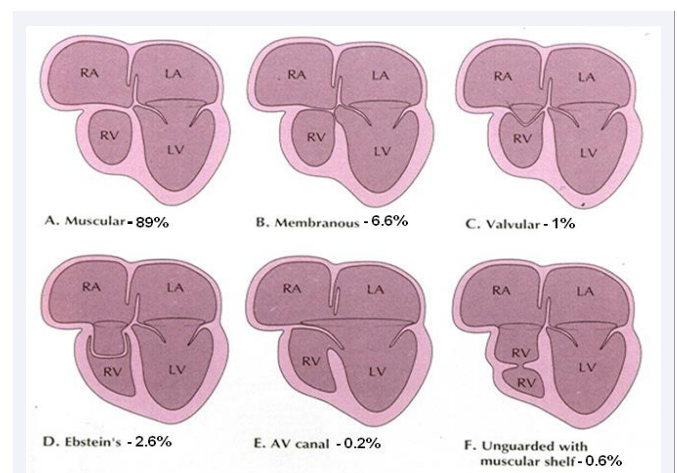
Tricuspid atresia (TA) is a cyanotic, congenital heart defect (CHD) and is defined as congenital absence or agenesis of the morphologic tricuspid valve [3,4]. The exact prevalence of TA is not known. An extensive evaluation of the published literature revealed a prevalence of 2.9% in autopsy cases and a prevalence of 1.4% to 1.5% in clinical cases of CHD [5,6].

### Pathologic Anatomy

The Right Atrium (RA) is enlarged and the tricuspid valve is atretic. In the most common muscular variety, atretic tricuspid valve is seen as a dimple or a localized fibrous thickening in the floor of the RA at the anticipated site of the tricuspid valve [7]. No valvar material can be recognized either on gross or microscopic examination [7]. Other anatomic types, namely, membranous

[8,9], valvular [7,9-11], Ebstein's [8,12-14], atrioventricular canal [15], and unguarded with muscular shelf [16] have characteristic appearances and are diagrammatically portrayed in Figure 1 [17].

An inter-atrial defect is necessary for survival and is typically a stretched Patent Foramen Ovale (PFO). However, occasionally an ostium secundum Atrial Septal Defect (ASD) or an ostium primum ASD may be present facilitating right-to-left shunt.



**Figure 1** Diagrammatic portrayal of anatomic types of tricuspid atresia based on the morphology of the atretic tricuspid valve. A) muscular type, B) membranous type, C) valvular type, D) Ebstein's type, E) atrioventricular canal type, and F) unguarded valve with muscular shelf. The prevalence of each is shown under each diagram. For the sake of simplicity, the great vessels are not shown. Also note that no ventricular septal defects are shown. LA, left atrium; LV, left ventricle; RA, right atrium; RV right ventricle [17].

The left atrium is enlarged, especially when the pulmonary blood flow is increased. The mitral valve is usually bicuspid and morphologically a mitral valve. However, its orifice is large and occasionally incompetent. The left ventricle is evidently a morphological left ventricle, but it is enlarged and hypertrophied. The Right Ventricle (RV) is hypoplastic and is not sufficiently large in size to support pulmonary circulation.

The ventricular septum is intact in a rare patient, but usually a Ventricular Septal Defect (VSD) is present; this may be large or small, or multiple VSDs may be seen. The VSD may be: cono-ventricular or perimembranous type, located inferior to the septal band; conal septal malalignment type, located in between the anterosuperior and posteroinferior limbs of the septal band; muscular, located inferiorly in the muscular septum; or rarely of AV canal type. In our personal experience, the VSDs are most commonly muscular [18-21]. These VSDs are usually restrictive and cause sub-pulmonary obstruction in patients with normally related great arteries and sub-aortic stenosis in patients with transposition of the great arteries [18-26].

The great vessel relationship is variable and forms the basis for clinically useful classification [3], and is listed in Table 1. The ascending aorta is either normal or slightly larger than normal. The RV outflow tract is atretic, narrowed, or normal depending upon the subgroup (a, b, or c-see Table1, bottom section). In patients with normally related great arteries (Type I) the right ventricular outflow obstruction is frequently at the VSD level although sub-valvar or valvar (very rare) pulmonary stenosis or narrow tract of the hypoplastic RV may occasionally be responsible for such obstruction. In cases with transposition of the great arteries (Type II), the obstruction is usually sub-valvar. In cases with pulmonary atresia, either a patent ductus arteriosus (PDA) or aortopulmonary collateral vessels are present.

Other abnormalities may be present in nearly 30% of tricuspid atresia cases [28]; significant amongst these are coarctation of the aorta, particularly in Type II (transposition) cases, and persistent left superior vena cava (SVC), which have therapeutic implications.

UNIFIED CLASSIFICATION OF TRICUSPID ATRESIA	
<b>Type I</b>	Normally related great arteries
<b>Type II</b>	D-transposition of the great arteries
<b>Type III</b>	Malpositions of the great arteries other than D-transposition
Subtype 1:	L-transposition of the great arteries
Subtype 2:	Double-outlet right ventricle
Subtype 3:	Double-outlet left ventricle
Subtype 4:	D-malposition of the great arteries (anatomically corrected)
Subtype 5:	L-malposition of the great arteries (anatomically corrected)
<b>Type IV</b>	Persistent truncus arteriosus
Each type and subtype are divided:	
Subgroup a:	Pulmonary atresia
Subgroup b:	Pulmonary stenosis or hypoplasia
Subgroup c:	Normal pulmonary arteries (no pulmonary stenosis)

**Table 1** Classification of Tricuspid Atresia [27].

## Pathophysiology

Because of the atretic tricuspid valve the entire systemic venous return is transmitted across the atrial septum either via a PFO or ASD. This obligatory right-to-left shunt mixes with the pulmonary venous return in the left atrium. The mixed pulmonary and systemic venous (including coronary) blood enters the left ventricle.

In babies with normally related great arteries and a VSD (Types Ib and Ic), left-to-right shunt occurs across the ventricular septum with resultant perfusion of the lungs. If there is no VSD (Type Ia), the lungs are perfused either via a PDA or through aortopulmonary collateral vessels. The existence of either a VSD or other means of blood supply to the lungs is critical for the baby's survival. The blood flow into the aorta is derived directly from the left ventricle, a mixture of pulmonary and systemic venous returns.

In babies with d-transposition of the great arteries (Type II), the pulmonary blood flow comes directly from the left ventricle. The systemic blood flow is through the VSD and RV.

In Type III, Subtype 1 with l-transposition of the great arteries, the atretic morphologic tricuspid valve is on the left side. Consequently, it is mitral (pulmonary venous atrial) valve obstruction. In other Type III and Type IV [29], babies, the systemic and pulmonary blood flows are largely determined by the size of the VSD and other associated defects.

The above-described pathophysiology results in arterial desaturation and left ventricular volume overloading. The arterial desaturation is related to complete mixture of the systemic, coronary, and pulmonary venous blood in the left atrium and left ventricle. The left ventricular volume overloading occurs because the entire systemic, coronary, and pulmonary venous blood returns have to be pumped by the left ventricle; this is further increased if the pulmonary blood flow is increased either because of mild or no obstruction to the pulmonary outflow tract or because of large surgically created aorto-pulmonary shunts. Both these tend to produce heart failure.

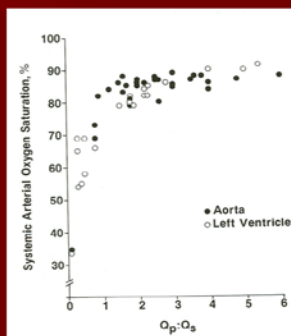
The oxygen saturation is proportional to the amount of the pulmonary blood flow [30,31]. The quantity of pulmonary blood flow is inversely proportional to the degree of obstruction of the pulmonary outflow tract and directly proportional to the size of the PDA when such is present. If a systemic-to-pulmonary artery shunt has been performed by surgery, the pulmonary blood flow is proportional to the size of the shunt. The pulmonary-to-systemic blood flow ratio ( $Q_p:Q_s$ ) which represents the pulmonary blood flow has a curvilinear relationship with the arterial oxygen saturation (Figure 2). A  $Q_p:Q_s$  of 1.5 to 2.5 results in an adequate systemic arterial oxygen saturation [31]. An additional increase in  $Q_p:Q_s$  not only does not produce better oxygen saturation, but also subjects the left ventricle to larger volume overloading and, for that reason, is not advisable [31].

The pathophysiology also evolves with time; this changing hemodynamics are discussed below:

**PDA:** Spontaneous closure of the ductus arteriosus may occur in the early neonatal period and results in severe hypoxemia. Prostaglandin  $E_1$  ( $PGE_1$ ) infusion may help open the ductus.

## PHYSIOLOGIC PRINCIPLES

- The arterial oxygen saturation has a curvilinear relationship with pulmonary-to-systemic blood flow ratio (Qp:Qs)
- A Qp:Qs ratio of 1.5-2.5 seems to result in adequate oxygen saturation
- Higher pulmonary flow does not significantly increase oxygen saturation but instead produces left ventricular volume overloading



**Figure 2** The systemic arterial saturations (left ventricular [LV] or aortic [Ao]) in patients with tricuspid atresia are plotted against the respective pulmonary to systemic blood flow ratios (Qp:Qs). Both Type I and Type II anatomy are included. Note the curvilinear relationship between the two parameters. At low Qp:Qs levels, a slight increase in Qp:Qs produces large increases in systemic O<sub>2</sub> saturation, whereas at higher Qp:Qs levels, a further increase does not produce a significant increase in O<sub>2</sub> saturation. The ideal Qp:Qs appears to be between 1.5 and 2.5, giving O<sub>2</sub> saturations in the low 80s. Aortic saturations are marked as solid circles and LV saturations as open circles [17].

**PFO:** Since the entire systemic venous return must traverse through the PFO, any restriction of this structure causes systemic venous congestion and diminished cardiac output. However, very few patients with tricuspid atresia have clinically significant obstruction [12], especially in the early neonatal period. A tall 'a' wave in the right atrium and a mean inter-atrial pressure difference of more than 5 mmHg are indicative of inter-atrial obstruction. Sometimes balloon atrial septostomy may be required to relieve this obstruction.

**VSD:** The VSD may close spontaneously [18-26], resulting in decreased pulmonary blood flow and hypoxemia in Type I (normally related great arteries) TA babies and subaortic obstruction in Type II (transposed great arteries) infants. The bypassing of the obstructed VSD surgically may be required in Type II patients. However, such VSD closures happen over a period of months and years.

## DIAGNOSTIC METHODS

### Chest X-ray

The heart size is either normal or minimally enlarged in babies with decreased pulmonary flow, while the heart size is moderate to severely enlarged in infants with increased pulmonary blood flow. Several cardiac configuration patterns have been described, but there is no dependable pattern that would be diagnostic of TA [32]. Concavity in the region of pulmonary artery segment is seen in babies with decreased pulmonary blood flow and small pulmonary artery. Right aortic arch may be seen in nearly of 8% of infants with TA and this is less frequent than that seen in babies with tetralogy of Fallot at 25% and truncus arteriosus at 40%. The left border of the heart may have an unusual contour suggestive of l-transposition (Type III-1) seen in association with TA [33].

As mentioned above, spontaneous closure of VSD in Type I patients will result in progressive decrease in the size of the heart and of the pulmonary vascular markings as shown in Figure 3.

The greatest value of the chest X-ray is its capacity to classify babies into those that have diminished pulmonary vascular markings and into those with increased pulmonary vascular markings. Frequently, this is all that is needed to formulate an accurate diagnosis once a history, physical examination, and Electrocardiogram (ECG) have been acquired [33].

### ECG

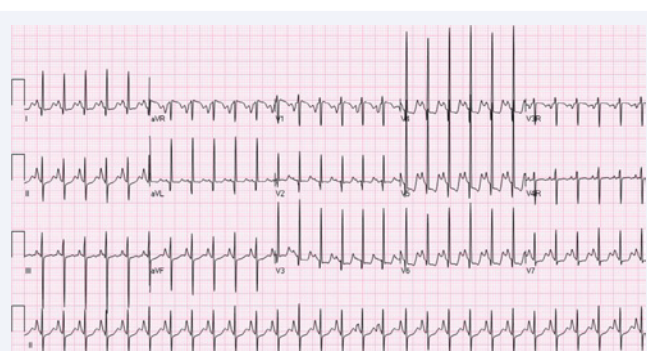
The ECG can virtually be diagnostic of TA in a baby suspected to have cyanotic CHD. Right atrial hypertrophy, left axis deviation (an abnormal, superiorly oriented major QRS vector) in the frontal plane, left ventricular hypertrophy, and decreased RV forces (Figure 4) are typical ECG findings.

Additional details regarding ECG findings in tricuspid atresia, particularly with regard to the origin of left axis deviation can be found elsewhere [32,34,35] for the interested reader.

## PULMONARY VASCULAR MARKINGS



**Figure 3** Chest roentgenograms (Postero-anterior views) of a baby with tricuspid atresia showing an enlarged cardiac size and increased pulmonary vascular markings (A); during follow-up, the size of the heart diminished and the pulmonary vascular markings decreased (B & C). Echocardiographic and angiographic studies demonstrated a progressive decrease in the size of the ventricular septal defect [17].



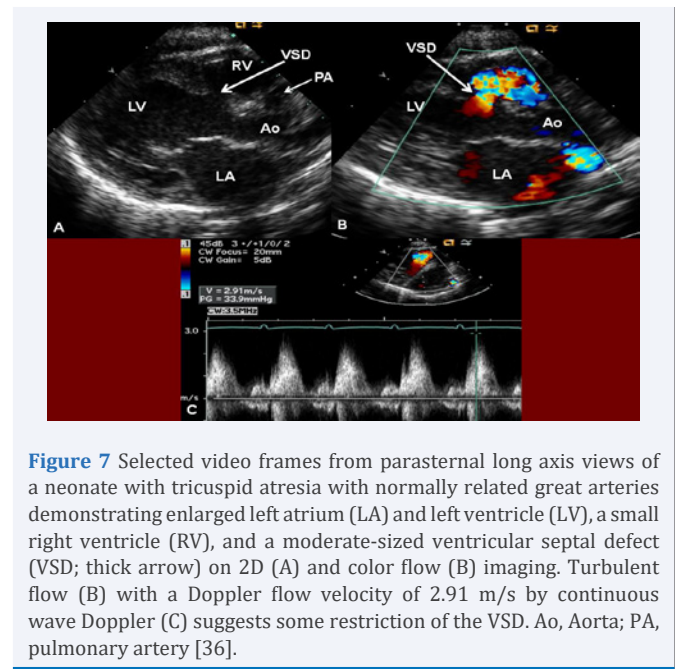
**Figure 4** Twelve lead electrocardiogram showing abnormal, superiorly oriented mean QRS vector in frontal plane (-45°, left axis deviation), left ventricular hypertrophy, and diminished anterior (R waves in leads V1 and V2) and rightward (S waves in leads V5 and V6) forces. Prominent P waves, indicative of biatrial enlargement, are also seen in several leads. This electrocardiogram is highly suggestive of tricuspid atresia [17].

**Echocardiogram**

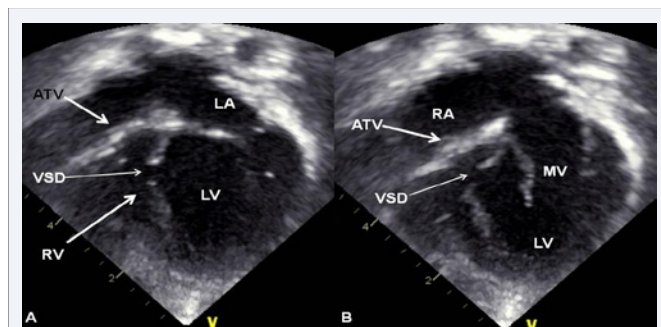
M-mode echocardiograms, while not diagnostic, are useful in evaluating the size of the left atrium and left ventricle and left ventricular systolic function. An enlarged RA, left atrium, and left ventricle and a small RV are seen by 2D echocardiography (Figure 5). In the most common muscular type, the atretic tricuspid valve is imaged directly as a dense band of echoes at the site where the tricuspid valve should be (Figure 5). Apical four-chamber and subcostal views are best to demonstrate the anatomy. The morphology of the atretic tricuspid valve as reviewed above and in Figure 1, namely muscular, membranous, valvular, Ebstein’s, AV canal, and unguarded valve with muscular shelf, may be distinguishable from each other on careful inspection of the site of the tricuspid valve.

Echo-Doppler studies are also useful in demonstrating an ASD/PFO with a right-to-left shunt (Figure 6) and a VSD with a left-to-right shunt (Figure 7).

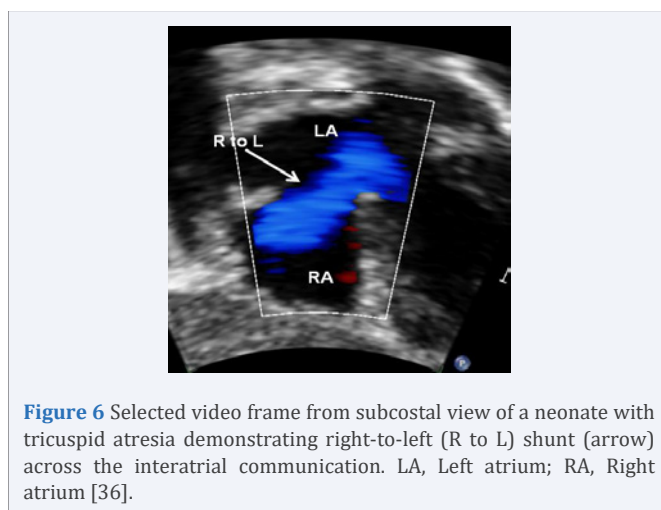
The VSD can be demonstrated by 2D (Figure 7A) and the shunt across it by color (Figure 7B), pulsed, and continuous wave (CW) (Figure 7C) Doppler. The peak Doppler flow velocity



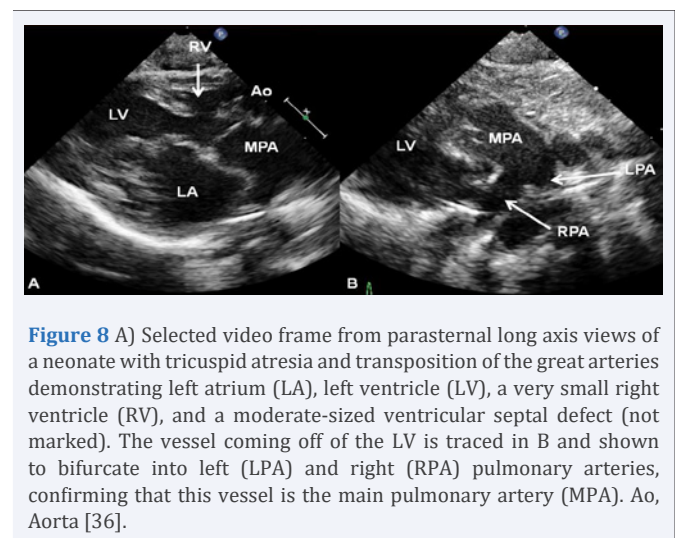
**Figure 7** Selected video frames from parasternal long axis views of a neonate with tricuspid atresia with normally related great arteries demonstrating enlarged left atrium (LA) and left ventricle (LV), a small right ventricle (RV), and a moderate-sized ventricular septal defect (VSD; thick arrow) on 2D (A) and color flow (B) imaging. Turbulent flow (B) with a Doppler flow velocity of 2.91 m/s by continuous wave Doppler (C) suggests some restriction of the VSD. Ao, Aorta; PA, pulmonary artery [36].



**Figure 5** Selected video frames from apical four-chamber, 2-dimensional echocardiographic views of a neonate with tricuspid atresia showing an enlarged left ventricle (LV), a small right ventricle (RV), and a dense band of echoes at the site where the tricuspid valve echo should be (ATV; thick arrow) with closed (A) and open (B) mitral valve (MV). A moderate sized ventricular septal defect (VSD; thin arrow) is shown. LA, Left atrium; RA, Right atrium [36].™Figure 1



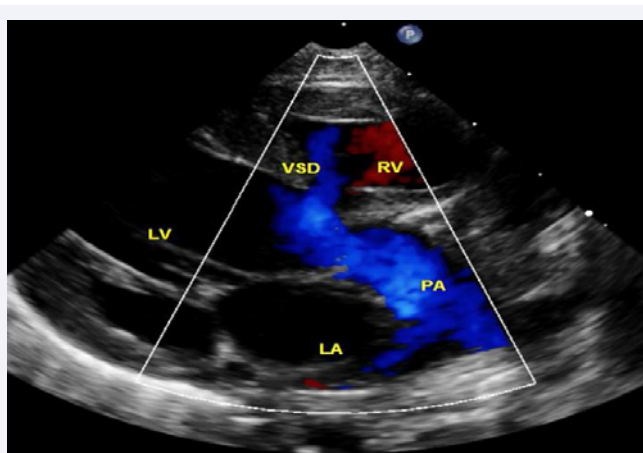
**Figure 6** Selected video frame from subcostal view of a neonate with tricuspid atresia demonstrating right-to-left (R to L) shunt (arrow) across the interatrial communication. LA, Left atrium; RA, Right atrium [36].



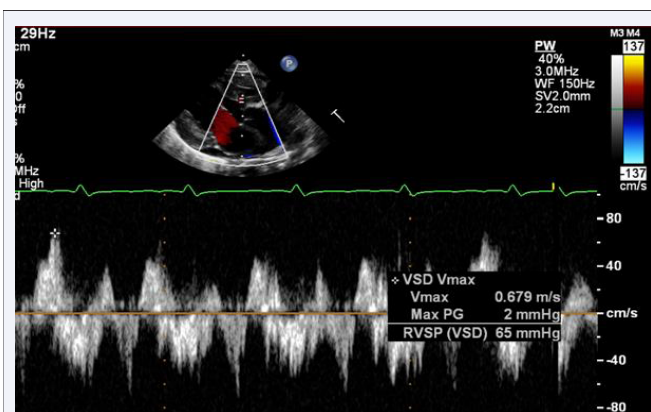
**Figure 8** A) Selected video frame from parasternal long axis views of a neonate with tricuspid atresia and transposition of the great arteries demonstrating left atrium (LA), left ventricle (LV), a very small right ventricle (RV), and a moderate-sized ventricular septal defect (not marked). The vessel coming off of the LV is traced in B and shown to bifurcate into left (LPA) and right (RPA) pulmonary arteries, confirming that this vessel is the main pulmonary artery (MPA). Ao, Aorta [36].

across the right ventricular outflow tract (RVOT) and pulmonary valve will help in identifying obstruction across these sites. The Doppler data from the VSD and RVOT are helpful in the estimating of pulmonary artery pressures.

As mentioned in a preceding section (Table 1), tricuspid atresia is classified based on the relationship of the great arteries; the most common forms are: Type I, normally related great arteries, and Type II, d-transposition of the great arteries. The great artery relationship is established by following the vessel until bifurcation (Figure 8) or aortic arch. In babies with normally related great arteries the VSD provides blood flow into the lungs. In babies with associated transposition, the VSD provides systemic flow. The VSD may be small, causing obstruction to systemic flow and, therefore, the VSD size should be assessed by 2D (Figures 5, 7A, & 8A) and color (Figures 7B & 9), pulsed (Figure 10), and CW Doppler as necessary.



**Figure 9** Selected video frame from parasternal long axis view with color flow mapping of another neonate with tricuspid atresia and transposition of the great arteries demonstrates left atrium (LA), left ventricle (LV), a small right ventricle (RV), and a moderate sized ventricular septal defect (VSD). The vessel coming off the LV bifurcates [36].



**Figure 10** Selected video frame of continuous wave Doppler across the ventricular septal defect of the same baby as shown in figure 9. Low velocity flow across the ventricular septal defect suggests that the defect is non-obstructive [36].

In Type I (normally related great arteries) patients, the VSD peak Doppler velocity is helpful in estimating the size of the VSD; the higher the velocity, the smaller is the VSD. The RV and pulmonary arterial (PA) pressure may also be estimated using the modified Bernoulli equation:

$$RV/PA \text{ systolic pressure} = \text{systolic BP} - 4V^2$$

where RV is the right ventricle, PA is the pulmonary artery, BP is the arm blood pressure, and V is the VSD peak Doppler velocity.

In the presence of pulmonary hypertension or severe infundibular or valvar pulmonary stenosis, the VSD Doppler velocities are not indicative of the size of the VSD. In Type II (d-transposition) patients, a high VSD velocity is suggestive of subaortic obstruction. Interrogation of the right ventricular outflow tract in Type I patients and the pulmonary artery region in Type II patients may reveal pulmonary or sub-pulmonary stenosis; the higher the velocity, the more severe is the obstruction.

Imaging and Doppler interrogation from a suprasternal notch view may show aortic coarctation (Figure 11), which is common in patients with associated transposition of the great arteries (Type II).

2D imaging along with bubble contrast may clearly demonstrate successive opacification of the RA, left atrium, left ventricle, and then the RV in that order, but such an examination is not needed for diagnosis.

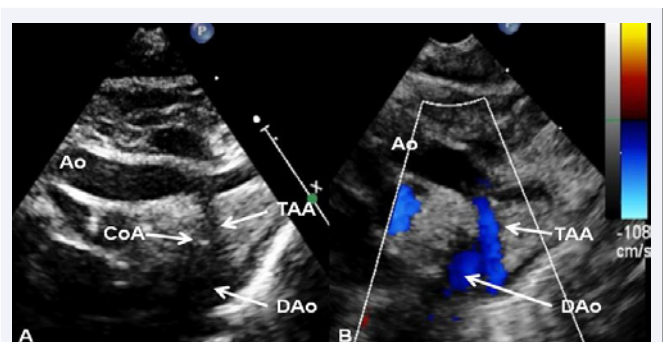
To summarize, the delineation of the majority of anatomic and physiologic issues related to TA is feasible by M-mode, 2-dimensional, and Doppler (pulsed, CW, and color) echocardiography, and consequently cardiac catheterization and selective cineangiography are not necessary for the confirming of the diagnosis.

### Cardiac catheterization and selective cineangiography

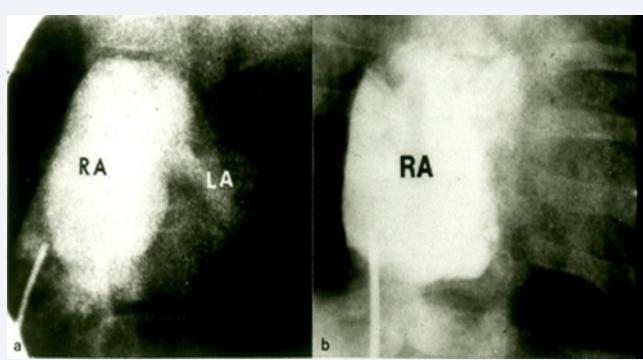
As alluded to in the preceding section, the diagnosis of TA can be made by clinical, chest X-ray, and ECG findings and confirmed by echocardiographic studies, and therefore, cardiac catheterization and selective cineangiography are not necessary to establish the diagnosis [31,37]. There is no need for cardiac catheterization even in those neonates with severe arterial desaturation; the diagnosis of TA made on the basis of clinical and echo-Doppler studies is adequate to make management decisions. However, catheterization may be indicated: 1. If balloon atrial septostomy is necessary and 2. Prior to bidirectional Glenn and Fontan surgery in order to define the pulmonary artery pressures and anatomy. For a detailed discussion of cardiac catheterization and selective cineangiography, the interested reader is referred elsewhere [31,35,37,38]; however, some typical angiographic pictures will be presented in Figures 12 to 17.

### Management

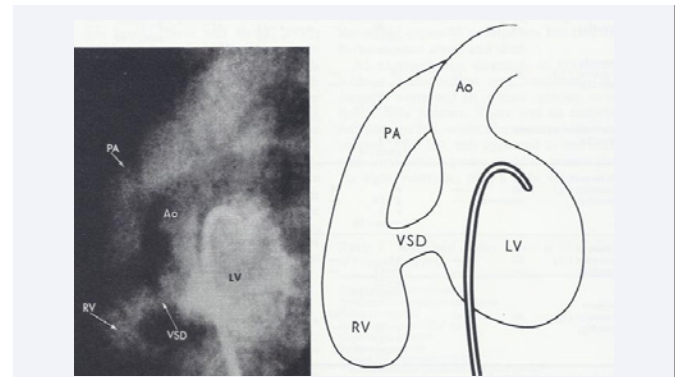
Physiologically “corrective” surgery, i.e., Fontan operation for TA [39,40], and modifications thereof [41-47], have improved the prognosis of patients with TA. However, such surgery is generally performed in patients older than 2 years, at about a weight of 15 kg. However, the majority of TA patients present with symptoms in the neonatal period and should be managed to enable them to



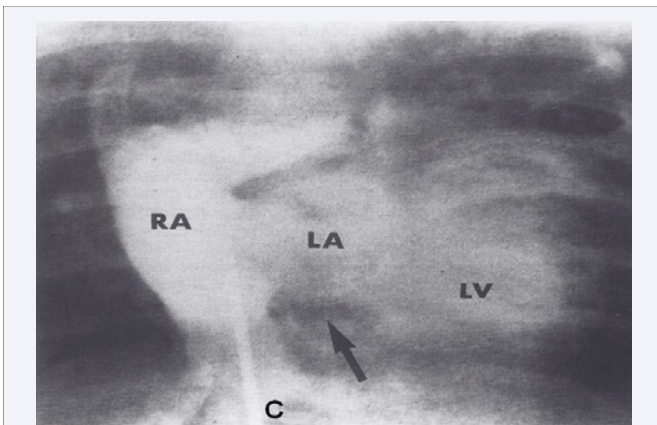
**Figure 11** Selected video frames from suprasternal notch views of the aortic (Ao) arch in 2D (A) and color flow (B) images of a neonate with tricuspid atresia and transposition of the great arteries demonstrating coarctation of the aorta (CoA) and hypoplastic transverse aortic arch (TAA). The association of CoA with tricuspid atresia plus transposition of the great arteries is well known [36].



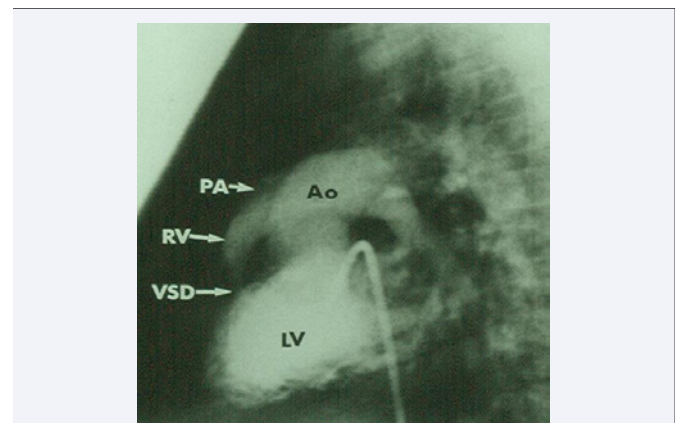
**Figure 12** Selected cine frames of right atrial (RA) cineangiogram in postero-anterior projection of two patients with tricuspid atresia demonstrating blind ending of the right atria. Faint opacification of left atrium (LA) is seen a [38].



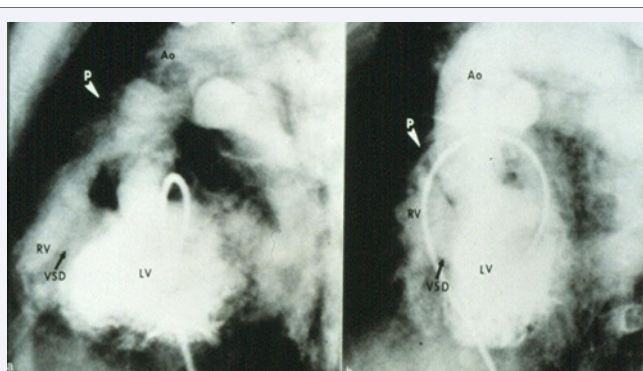
**Figure 15** Selected cine frames from left ventricular (LV) angiogram in straight lateral view in a patient with Type I tricuspid atresia demonstrating a moderate-sized ventricular septal defect (VSD) in the muscular ventricular septum. Ao, aorta; PA, pulmonary artery; RV, right ventricle [20].



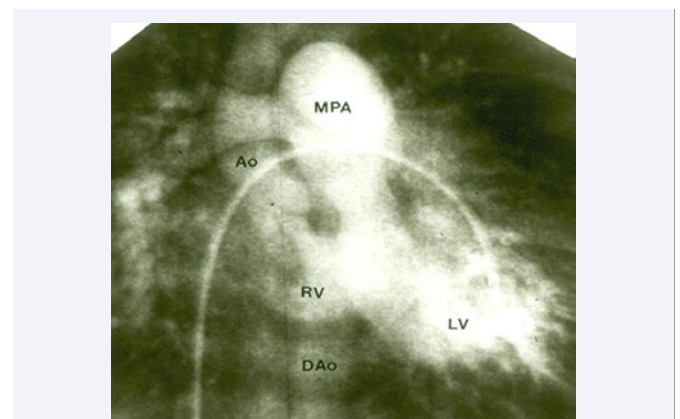
**Figure 13** Selected cine frame from right atrial (RA) angiogram in postero-anterior projection of an infant with tricuspid atresia demonstrating sequential opacification of the left atrium (LA) and left ventricle (LV) without opacification of the right ventricle. The right ventricular window (arrow) is formed by the RA on the right, the LA superiorly, and the LV on the left. This is a classic appearance of the muscular type of tricuspid atresia. C, catheter [1].



**Figure 16** Selected cine frames from left ventricular (LV) angiogram in straight lateral view in a patient with Type I tricuspid atresia demonstrating origin of the aorta (Ao) from the LV and the pulmonary artery (PA) from the right ventricle (RV). Note a small ventricular septal defect (VSD) in the muscular ventricular septum [1].



**Figure 14** Selected cine frames from left ventricular (LV) angiogram in straight lateral (a) and left anterior oblique (b) views in a patient with Type I tricuspid atresia demonstrating origin of the aorta (Ao) from the LV and the pulmonary artery (P) (white arrow head) from the right ventricle (RV). A large ventricular septal defect (VSD) (black arrow) is also shown [27].



**Figure 17** Selected cine frames from left ventricular (LV) angiogram in postero-anterior view in a patient with Type II tricuspid atresia demonstrating origin of the pulmonary artery (MPA) from the LV and the aorta (Ao) from the right ventricle (RV). The RV appears to opacify from the LV via a ventricular septal defect (not labeled) [38].

reach the age and size at which Fontan correction can safely be performed.

The ensuing discussion applies to the most common, muscular (89%) and membranous (6.6%) types of tricuspid atresia as shown in Figure 1. Some of the other morphologic variants may require different approaches, such as the excision of the valve and replacement with an artificial valve [14], subject to the adequacy of the RV to support the pulmonary circulation and will not be discussed further.

The goal of the management plan is to provide symptomatic relief at initial presentation and to prepare the infants to have Fontan operation at a suitable age. As per this objective, the treatment plan will be discussed under the following headings: 1. Medical management at the time of initial presentation; 2. Palliative treatment of specific physiologic abnormalities; 3. Medical management after palliative surgery; 4. Physiologically "corrective" surgery; and 5. Follow-up after corrective operation. For additional details, the interested reader is referred to our prior reviews [35,48-55].

### Medical management at the time of initial presentation

At initial presentation, the management of TA babies is similar to that of other cyanotic neonates [56,57]. During the course of identification, transfer to a pediatric cardiology center equipped to care for newborns with CHD, preliminary work-up, and palliative surgery, as well as after surgery, a neutral thermal environment, normal acid-base status, normoglycemia, and normocalcemia should be preserved by proper monitoring and correction as needed [56,57]. No more than 0.4 FIO<sub>2</sub> is necessary (because of fixed intra-cardiac right-to-left shunting) unless pulmonary parenchymal disease is present. If respiratory acidosis is present, suctioning, intubation, and assisted ventilation is provided, as deemed appropriate. Infants with decreased oxygen saturation and low arterial PO<sub>2</sub> may be ductal dependent, and therefore, the ductus should be kept open by the intravenous administration of PGE<sub>1</sub> [56-59].

### Palliative treatment of specific physiologic abnormalities

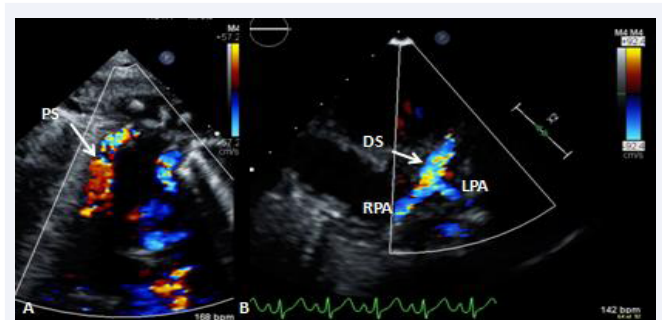
The palliative treatment of babies with TA is largely dependent upon the hemodynamic abnormalities produced by the basic cardiac defect and the associated anomalies. These may be arbitrarily divided into [28,56,57]: 1. Decreased pulmonary blood flow, 2. Increased pulmonary blood flow, and 3. Intracardiac obstruction. If the pulmonary blood flow is adequate, no intervention is necessary.

**Decreased Pulmonary Blood Flow:** In Type Ia and IIa TA neonates with pulmonary atresia and those babies with Type Ib with severe pulmonary oligemia, the intravenous infusion of PGE<sub>1</sub> at a dose of 0.05 to 0.1 µg per kilogram of body weight per minute should be started immediately [56-59]; the dose may be gradually reduced to 0.02 µg/kg/min once the desired oxygen saturation levels are achieved. The lower dose is helpful in reducing the incidence and severity of some of the drug's bothersome side effects, namely, apnea and hyperpyrexia. The PGE<sub>1</sub> infusion rate may be increased if there is no increase in PO<sub>2</sub>,

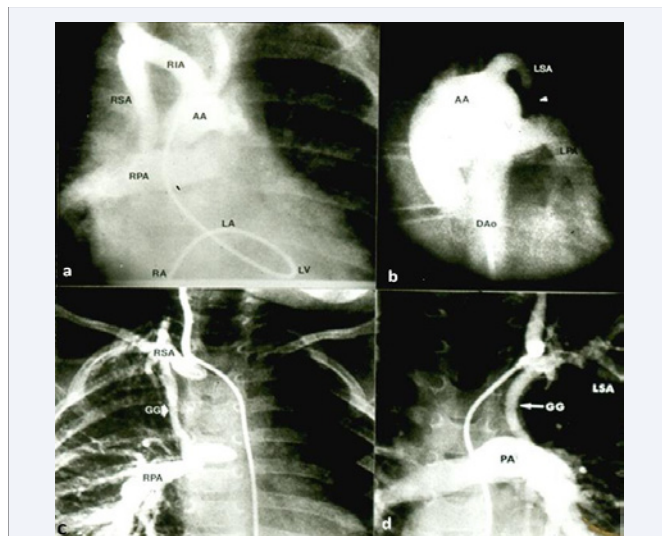
Subsequent to the stabilization of the baby, other ways of providing a more permanent blood flow to the lungs should be sought. Following the initial description of subclavian artery-to-ipsilateral pulmonary artery anastomosis in 1945 by Blalock and Taussig [60], a number of other types of operations/procedures have been developed to increase the pulmonary blood flow as detailed elsewhere [28,32,35]. Most cardiologists/surgeons at present prefer a modified Blalock-Taussig (BT) shunt with a Gore-Tex graft interposed between the subclavian artery and the ipsilateral pulmonary artery, described by de Leval and his associates [61]. The current thinking suggests that modified BT shunt [61], is probably most attractive procedure to adequately augment pulmonary blood flow. Some surgeons favor central, aorta to pulmonary Gore-Tex tube grafts instead. In a rare patient with principal obstruction at the level of pulmonary valve, balloon pulmonary valvuloplasty may be utilized [62,63]. A more physiologic approach of enlarging the VSD advocated by Annechino [64], is not favored by most surgeons because it is an open-heart procedure. Ductal stenting is an attractive nonsurgical alternative and is being used at some pediatric cardiology centers [65-67]. However, because of the technically demanding nature of the procedure, limited experience, and poor stent patency at follow-up [68], stenting is not currently the procedure of choice. Echocardiographic and angiographic images of some of these procedures are shown in Figures 18 to 20.

**Increased Pulmonary Blood Flow:** A marked increase of pulmonary blood flow produces Congestive Heart Failure (CHF). Most of these patients will have a Type IIc anatomy and a few may have Type Ic TA, both without pulmonary stenosis. These infants should initially be stabilized with anti-congestive therapy [70]; this should be followed by banding of the pulmonary artery [71]. The management considerations are slightly different between Type I and Type II patients.

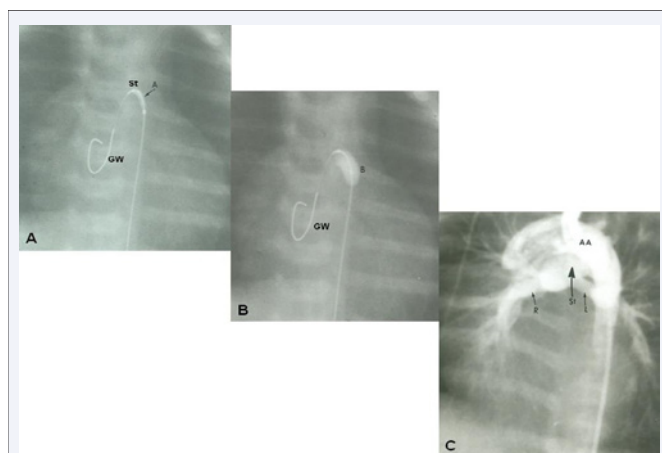
In infants with tricuspid atresia with normally related great arteries (Type Ic), aggressive anti-congestive measures should be promptly instituted. Since natural history studies [18,20-25], suggest that the VSD becomes smaller or closes spontaneously with time and the infants with increased pulmonary flow develop pulmonary oligemia, PA banding should probably not be performed immediately in this subgroup of patients. But, if symptoms of CHF are not relieved after optimal anti-congestive



**Figure 18** Selected video frames from suprasternal notch view demonstrating proximal shunt (PS) by color flow imaging (A). In a slightly different view (B), the flow from the distal shunt (DS) into right (RPA) and left (LPA) pulmonary arteries is shown [69].



**Figure 19** Selected cine frames of angiograms in postero-anterior projection in patients with tricuspid atresia who have undergone classic (a and b) and modified (c and d) Blalock-Taussig shunts. Apart from demonstrating the shunts, the angiographic frames show pulmonary artery (PA) anatomy. Also, note marked narrowing of the left Blalock-Taussig shunt in b. AA, arch of the aorta; DAo, descending aorta; GG, Gore-Tex graft; LA, left atrium; LV, left ventricle; LPA, left pulmonary artery; LSA, left subclavian artery; RA, right atrium; RIA, right innominate artery; RPA, right pulmonary artery; RSA, right subclavian artery [38].



**Figure 20** A) Selected cine frame demonstrating the position of a guide wire which was advanced from the aorta into the main pulmonary artery (via the ductus arteriosus) and from there into the right ventricle. The balloon/stent (St) assembly was then positioned within the ductus. The arrow demarcates the articulation (A) within the stent. B) The balloon carrying the stent is inflated within the ductus. C) An aortic arch (AA) angiographic frame shows the stented ductus (St) and good opacification of the right (R) and left (L) pulmonary arteries [66].

measures, PA banding [71], may be undertaken. Babies who did not have PA banding should have their PA pressures monitored closely. Absorbable PA bands may have a role in palliating such infants [72,73]. The absorbable, polydioxanone band restricts the pulmonary blood flow and decreases PA pressure and abates

symptoms of CHF at first. As spontaneous closure of the VSD occurs the pulmonary artery band is absorbed and does not result in severe pulmonary oligemia which may have occurred with the usual non-absorbable PA bands. The author believes that this is a creative approach; however, it is likely to be helpful in a limited number of patients [73].

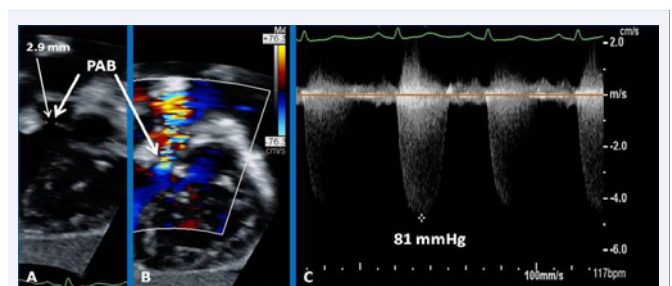
On the contrary, Type IIc patients (with transposition of the great arteries) should have PA banding following stabilization of the patient irrespective of control of CHF. If there are associated cardiac defects such as coarctation of the aorta or other aortic arch anomalies, they should be addressed at this time. While arrangements for surgery are being made, PGE<sub>1</sub> administration [56-59] should be initiated to temporarily relieve aortic obstruction. PA banding, apart from improving CHF, helps to normalize the pulmonary artery pressures so that bidirectional Glenn procedure preparatory to Fontan conversion may be performed later. Subaortic obstruction may develop following pulmonary artery banding; this was attributed to banding by some authorities, but the author believes that this is simply an expression of natural history spontaneous closure of VSD, as discussed elsewhere [20,21,25,26].

Echocardiographic and angiographic examples of some of the banding procedure are shown in Figures 21 to 23.

**Near Normal Pulmonary Blood Flow:** Infants with a mildly increased or near normal Pulmonary Blood Flow (PBF) with O<sub>2</sub> saturations in the low 80s do well and indeed, these babies are less cyanotic than the babies with severe pulmonary oligemia. Such babies do not need any intervention and should be followed until the next stage of surgery.

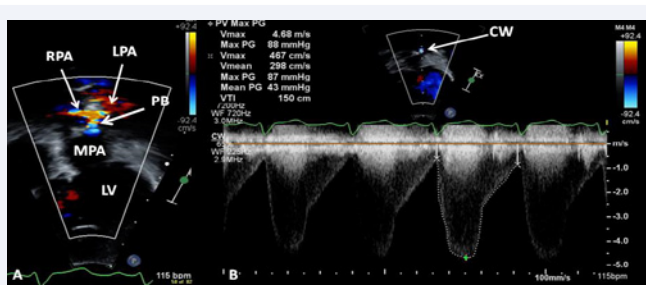
**Intracardiac Obstruction:** Obstruction within the heart can occur at two different levels, namely, PFO and VSD.

**Interatrial Obstruction:** Because of the atretic tricuspid valve, the entire systemic venous return must exit the RA via the PFO. The PFO should be wide enough to permit unhindered egress of systemic venous flow into the left atrium. Restriction of the PFO in the neonate is not as common as one would anticipate, most likely because of preservation of fetal circulatory pathways. The interatrial obstruction may manifest with clinical signs of systemic venous congestion, namely, hepatomegaly and presystolic hepatic and jugular venous pulsations. However, because of the compliant RA and proximal systemic veins early

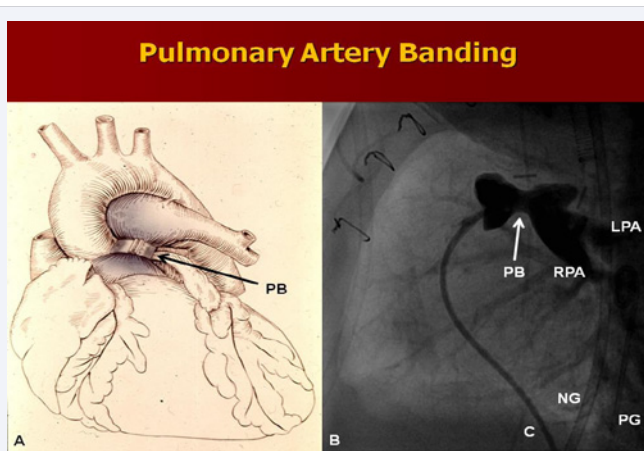


**Figure 21** Selected echocardiographic video frames demonstrating pulmonary artery band (PAB) with narrow diameter of 2.9 mm by 2D (A) and by color flow (B) and a high gradient (81 mmHg) by continuous wave Doppler (C) are shown [69].





**Figure 22** Selected echocardiographic video frames demonstrating pulmonary artery band (PAB) with narrow diameter by color flow (A) and a high gradient (88 mmHg) by continuous wave Doppler (B) are shown [69].



**Figure 23** A) Diagrammatic portrayal of pulmonary artery banding (PB) for patients with markedly increased pulmonary blood flow and severe congestive heart failure. B) Selected cine frame from pulmonary artery cineangiogram in straight lateral view demonstrating constriction of the pulmonary artery (PB; arrow). C, catheter; LPA, left pulmonary artery; NG, nasogastric tube; PG, pigtail catheter; RPA, right pulmonary artery [32].

in life, the signs alluded to may not be obvious. Echo-Doppler studies may reveal small PFO with turbulent flow across the PFO with identifiable mean Doppler gradient (3 to 5 mmHg) across the atrial septum. At cardiac catheterization, a mean pressure difference between the atria of more than 3 to 5 mmHg with giant “a” waves (15 to 20 mmHg) in the RA pressure curve is suggestive of clinically important obstruction. In patients with poor left ventricular function, the RA pressure may be high and interatrial pressure difference may not be present even in the presence of restrictive PFO; this is probably related to markedly compliant RA and proximal systemic veins in the neonate.

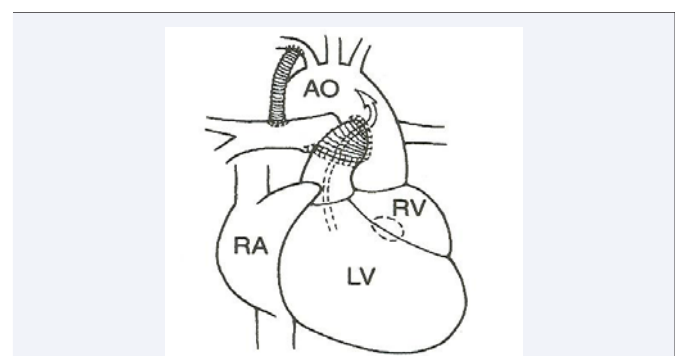
The management of interatrial obstruction is by balloon atrial septostomy [74,75]; most often the procedure is successful with prompt improvement of presystolic hepatic and jugular pulsations and a fall in pressure difference between the atria. It is rare that other methods such as blade atrial septostomy or surgical atrial septostomy become necessary in the neonates, although they may be needed in older infants and children. In such cases, surgical atrial septostomy may be performed along with bidirectional Glenn (see the next section on “Corrective Surgery”).

**Inter-Ventricular Obstruction:** Inter-ventricular obstruction may be produced by spontaneous closure of the VSD [18, 20-26], as mentioned in the preceding sections.

Functional VSD closure [19], in Type I cases results in hypercyanotic spells, similar to those seen in tetralogy of Fallot and the treatment is akin to that of tetralogy of Fallot, namely knee-chest position, humidified oxygenation, and subcutaneous morphine sulfate (0.1 mg/kg). If there is no improvement, beta-blockers (propranolol, esmolol) or intravenous pressors (methoxamine, phenylephrine) are given to elevate systolic blood pressure by 10-20%. Simultaneous correction of anemia and metabolic acidosis, if present, is also undertaken. If there is no improvement, emergency surgical palliation with a modified BT shunt may be needed. However, if the infant’s condition gets better with the above treatment, elective BT shunt, or a bidirectional Glenn procedure may be undertaken, depending on the age of the patient and the status of pulmonary arteries [19,35].

Partial or complete anatomic closure of the VSD in Type I tricuspid atresia produces pulmonary oligemia [20-25], and the management is as detailed in the section on “Decreased Pulmonary Blood Flow” above.

In subjects with Type II tricuspid atresia, spontaneous closure of the VSD (usually partial; complete closures have not been reported) produces subaortic obstruction [20,21,25,26]. Relief of this obstruction should be undertaken soon after its detection since the left ventricular hypertrophy that such closures produce is a risk factor for poor outcome following Fontan operation. The relief of such obstruction may be provided either by enlarging the VSD by surgical resection of conal septal muscle or by bypassing the VSD, RV, and aortic valve by anastomosing the proximal stump of the divided pulmonary artery to the ascending aorta directly or via a prosthetic conduit (Damus-Kaye-Stansel procedure) [76-78] (Figure 24) either at the time of bidirectional Glenn operation or Fontan procedure. Because of potential complications associated with surgical enlargement of the VSD (heart block, inadequate relief or spontaneous closure), most surgeons/pediatric cardiologists, including the author, prefer Damus-Kaye-Stansel procedure [20,21,25,26].



**Figure 24** A. Line drawing illustrating Damus-Kaye-Stansel procedure. The left ventricular (LV) blood flows via the ventricular septal defect (circle) and right ventricle (RV) into the aorta (Ao). If the VSD is small and restrictive, causing “subaortic” obstruction, this obstruction may be bypassed by connecting the proximal stump of the divided pulmonary artery to the Ao directly or a via a non-valved conduit. The pulmonary arteries are supplied with a Blalock-Taussig shunt [20,21].

## Medical Management after Palliative Surgery

The general management of TA is akin to that of other cyanotic CHD [28,50]. Monitoring and treatment of anemia, polycythemia, coagulation problems and hyperuricemia is similar to other cyanotic CHD. The threat of stroke and brain abscess is same as that in other cyanotic CHD as is their management [28,50]. Antibiotic prophylaxis prior to undergoing any bacteremia-producing surgery or procedures is also similar. Issues related to routine well-child care, immunizations (immunizations by the primary care physician, polyvalent pneumococcal vaccine, influenza vaccine and immunization against respiratory syncytial virus), physical activity and exercise, physical and emotional development, genetic counseling, vocational training and rehabilitation, sexuality, pregnancy, and contraception are also addressed similar those of other cyanotic CHD [28,50]. The interested reader is referred to these publications for further details [28,50].

Significant inter-stage mortality associated with intercurrent illnesses has been observed [55,79,80]. Such mortality appears to be higher between initial palliation and bidirectional Glenn than between bidirectional Glenn and final Fontan. Consequently, intercurrent illness should be swiftly evaluated and treated suitably [55,79,80]. Furthermore, the Gore-Tex grafts of modified BT shunts may thrombose, requiring immediate intervention [81]. Therefore, even minor illnesses in patients with single-ventricle physiology, including tricuspid atresia must be addressed aggressively [55,79,80].

## Physiologically “Corrective” Surgery

Since there is only one functioning ventricle, the overall objective is to allow the functioning left ventricle to pump into the systemic circuit and to connect the systemic veins directly to the PAs, namely, Fontan operation [39,40]. As mentioned above, this procedure can't be performed in the neonate because of high PA pressure/resistance. Therefore, it is done by staged procedures. Since their original description by Fontan, Kruezer and their associates [39,40], the types of the procedure and the timing of the procedure have evolved over the years, as reviewed elsewhere [41-47,54,55]. Currently, this is accomplished by staged Total Cavo-Pulmonary Connection (TCPC), described de Leval and his associates [42]. This TCPC is undertaken in three stages: Stages I, II and III.

**Stage I** is as reviewed in the section on “Medical management at the time of initial presentation” reviewed in the preceding section. The type of procedure (s) performed is largely guided by the pathophysiology of TA.

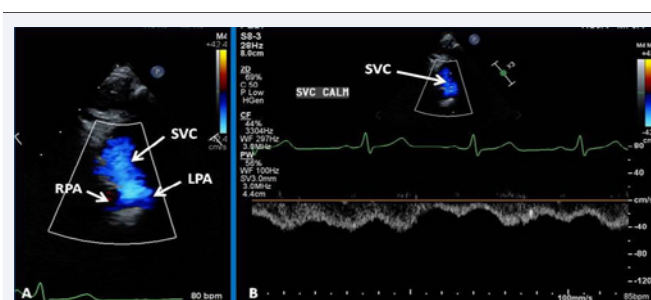
**Stage II - Bidirectional Glenn.** Irrespective of the type of palliative intervention during the neonatal period, bidirectional Glenn procedure [41], i.e., anastomosis of the SVC to the right PA, end-to-side is performed at an approximate age of 6 months. If a prior BT shunt is present, it is ligated at the same time. While performing the procedure at 6 months is generally accepted, the Glenn can be performed as early as 3 months provided normalcy of PA pressure and anatomy can be documented. In babies with persistent left SVC, bilateral bidirectional Glenn is performed especially in patients with a small or absent left innominate vein. A bidirectional Glenn procedure may also be performed

for patients with infra-hepatic interruption of the inferior vena cava (IVC) with azygos or hemiazygos continuation, and such a procedure is called a Kawashima procedure by some surgeons.

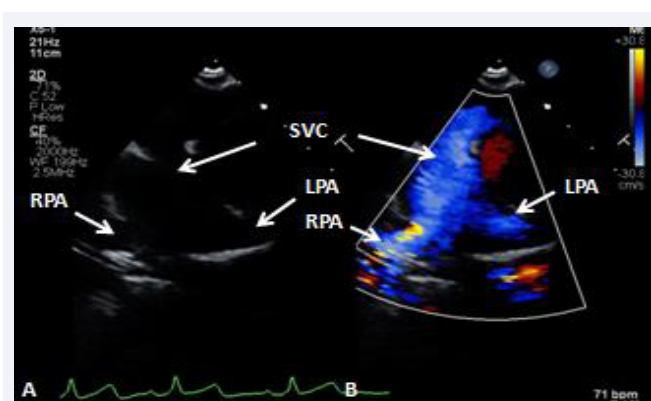
Prior to undertaking the bidirectional Glenn procedure, it must be ensured that the PA pressures are normal and the branch PAs are adequate in size; this is most often accomplished by cardiac catheterization and cineangiography. However, some institutions employ echo-Doppler or other imaging studies (Magnetic Resonance Imaging [MRI] or Computed Tomography [CT]) to accomplish this. If PA stenosis is present, it may be addressed with balloon angioplasty or stent implantation, as deemed appropriate, or it may be repaired during the bidirectional Glenn procedure. Atrioventricular valve regurgitation, aortic coarctation, subaortic obstruction, and other abnormalities, if present, should also be addressed at the time of this operation.

Echocardiographic and angiographic examples of the bidirectional Glenn procedure are shown in Figures 25-28.

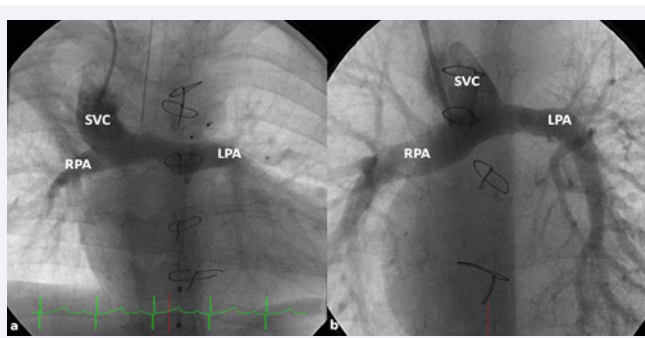
**Stage III - Fontan/Kruezer Procedure:** During this final stage, the IVC flow is diverted into the PA along with creation of a fenestration. We arbitrarily divided these procedures into Stage IIIA (diversion of IVC into the PA) and Stage IIIB (closure of the fenestration) [55,82].



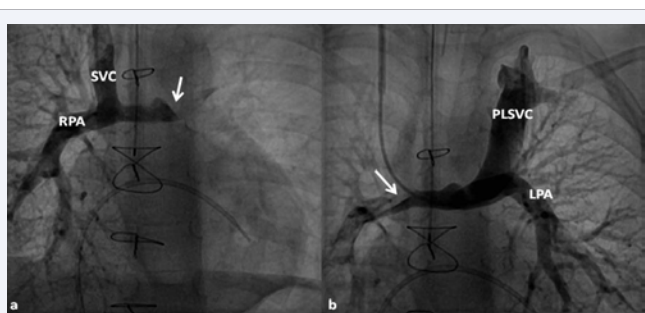
**Figure 25** Selected video frames from suprasternal notch view demonstrating bidirectional Glenn shunt; the superior vena cava (SVC) is shown emptying into the right (RPA) and left (LPA) pulmonary arteries by color flow imaging (A). Low Doppler flow velocity across the shunt (B) indicates unobstructed Glenn [69].



**Figure 26** Selected video frames from suprasternal notch view demonstrating bidirectional Glenn shunt; the superior vena cava (SVC) is shown emptying into the right (RPA) and left (LPA) pulmonary arteries by two dimensional (A) and color flow imaging (B) [69].



**Figure 27** Selected cine frames in postero-anterior (a) and sitting up (b) views, demonstrating bidirectional Glenn procedure (the superior vena cava [SVC] is anastomosed with the right pulmonary artery [RPA]) in two different patients during Stage II of Fontan procedure. Unobstructed flow from the SVC to the right (RPA) and left (LPA) pulmonary arteries is shown [82].



**Figure 28** Selected cineangiographic frames showing a bilateral bidirectional Glenn procedure (Stage II). In a, an injection into the superior vena cava (SVC) shows prompt opacification of the right pulmonary artery (RPA). The arrow in a points to the unopacified blood from a persistent left superior vena cava (PLSVC). In b, an injection into the PLSVC shows prompt opacification of the left pulmonary artery (LPA). The arrow in b points to the unopacified blood from the right SVC. Note the unobstructed flow from the respective SVCs into the pulmonary arteries [82].

**Stage IIIA:** In the Stage IIIA, the TCPC is accomplished by redirecting the IVC flow into the PA either by a lateral tunnel [41,83], or by an extra-cardiac, non-valved conduit [45,84]. This surgery is typically carried out between the ages of 1 and 2 years, frequently 1 year after the bidirectional Glenn (Stage II). At the present time, most surgeons seem to favor an extra-cardiac conduit to achieve the final stage of Fontan. It also appears that the majority of surgeons create a fenestration, 4-6 mm in size, between the conduit and the atria [46]. Whereas fenestration during the Fontan surgery was originally suggested for high-risk patients [46,47], most surgeons and pediatric intensivists appear to prefer fenestration, since creation of fenestration during the Fontan decreases mortality rate and lessens the morbidity during the immediate postoperative period [82].

Echocardiographic and angiographic examples of the Fontan procedure are shown in Figures 29 to 34.

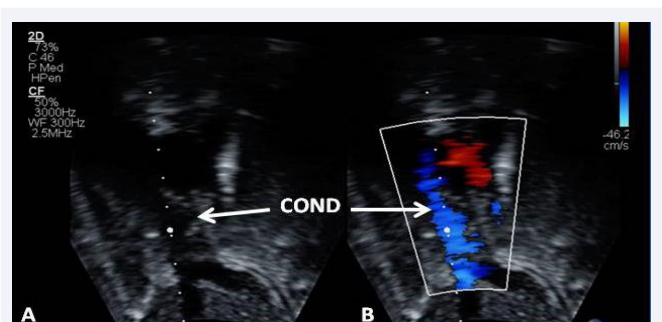
**Stage IIIB:** During the Stage IIIB, the fenestration is occluded (Figures 35) by transcatheter methods [32,46,82,85-87], usually 6 to 12 months following Stage IIIA Fontan. In the past, most

devices used to occlude ASDs [46,87-87], were used for this purpose, but now, Amplatzer Septal Occluders are the most frequently used devices to accomplish such closures. If there are any other residual shunts, they are also occluded by device closure.

**Inter-Stage Mortality:** A substantial inter-stage mortality, ranging from 5 to 15%, has been documented [54,79,80,82]. Some investigators have identified the reason for inter-stage mortality; these are restrictive atrial communication, obstruction of the aortic arch, distortion of the pulmonary arteries, AV valve insufficiency, shunt blockage, and inter-current illnesses [79,88]. The inter-stage mortality is seen more frequently between Stages I and II than between Stages II and III. Strategies to address the inter-stage mortality include, periodic clinical evaluation & echo-Doppler and other imaging studies to detect the above-described abnormalities and provide adequate relief of detected problems in order to prevent/reduce the inter-stage mortality. For a detailed description of how to address these issues, the reader is referred to a prior publication [55].

**Follow-Up after Corrective Operation**

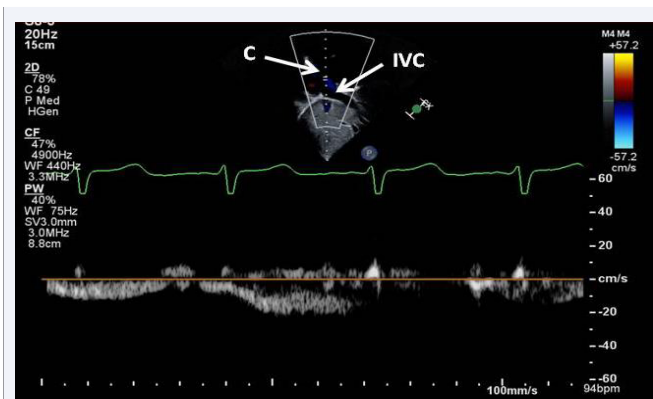
Following completion of Fontan, periodic follow-up is necessary; evaluation at 1, 6, and 12 months after Stage IIIB and yearly thereafter is recommended. Inotropic and/or diuretic



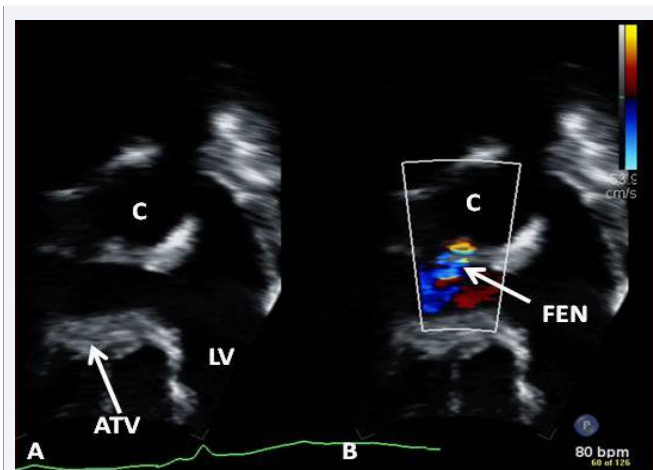
**Figure 29** Selected video frame demonstrating wide open conduit (COND) by 2D (A) and color flow imaging (B). Note laminar flow in B indicating no obstruction [69].



**Figure 30** Selected video frames demonstrating connection between the inferior vena cava (IVC) and the conduit (C) by 2D (A) and color flow imaging (B); note that the IVC-C junction is wide open [69].



**Figure 31** Selected video frame demonstrating low velocity flow between the inferior vena cava (IVC) and the conduit (C) shown in Figure 30, suggesting no evidence for obstruction [69].



**Figure 32** Selected video frames (A and B) from an apical four chamber view focusing on the conduit (C) (A) and with color flow imaging (B) demonstrating fenestration (FEN). ATV, atrietric tricuspid valve; LV, left ventricle [69].

therapy is provided as deemed appropriate. Afterload reduction with an angiotensin-converting enzyme inhibitor (Captopril or Enalapril) is instituted by most cardiologists. Anticoagulation with platelet-inhibiting doses of aspirin (2 to 5 mg/kg/day) in children or clopidogrel (75 mg/day) in adults to prevent thrombus formation is a routine for most patients.

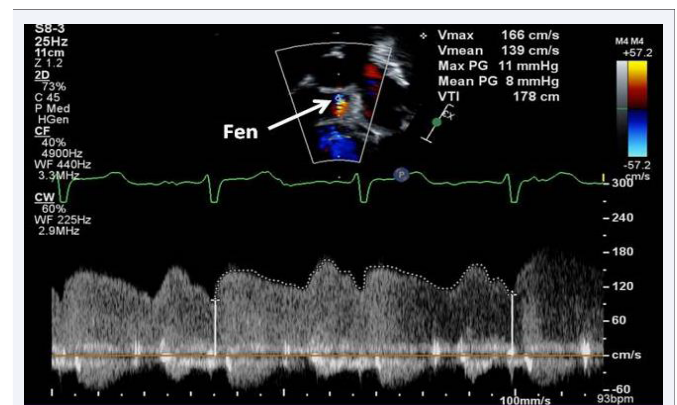
The results of older types of Fontan (RA-to-PA or RA-to-RV anastomosis either directly or via valved or non-valved conduits) revealed high initial mortality rates ranging from 10 to 26% [43,44,89,90]. In addition, the postoperative stay in the Intensive Care Unit (ICU) was prolonged. The initial mortality following staged TCPC without fenestration decreased remarkably with rates ranging from 8 to 10.5% [91-93]. There was further reduction in mortality rates to 4.5 to 7.5% when TCPC with fenestration was employed [94-96].

A number of complications were detected during follow-up: arrhythmias, obstructed Fontan pathways, persistent shunts, thrombo-embolism, development of Cerebro-Vascular Accidents

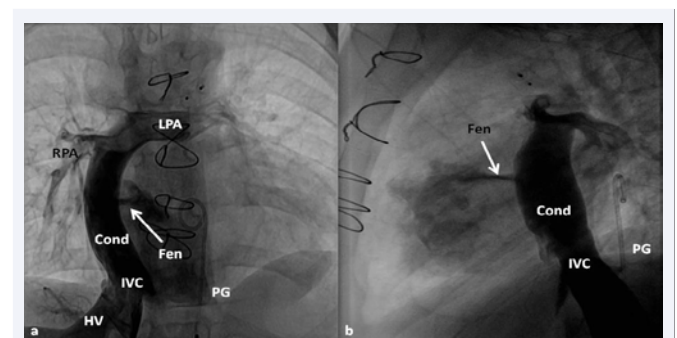
(CVA), cyanosis, systemic venous to pulmonary venous collateral vessels, and systemic venous congestion including protein-losing enteropathy [50,82,96,97]. The complications seem to occur more often with older types of Fontan than with the currently used staged TCPC with extra-cardiac conduit and fenestration. When such complications are detected, they should be promptly investigated and treated as detailed elsewhere [54,55,82].

**SUMMARY AND CONCLUSION**

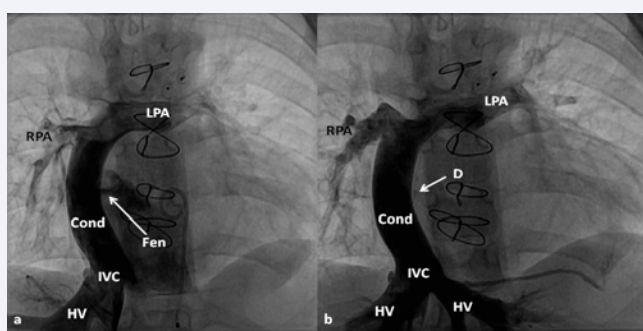
In this review, most commonly encountered congenital malformation of the tricuspid valve, namely, tricuspid atresia was discussed. TA is the third most common cyanotic CHD and accounts for almost 1.5% of all CHDs. TA is classified on the basis of the atretic tricuspid valve morphology and associated heart defects, such as great artery relationship and pulmonary outflow tract status. Initially, the anatomic and pathophysiologic features of TA were reviewed. The diagnosis is relatively simple and can often be made on clinical features and simple laboratory studies (chest roentgenogram and electrocardiogram), which can be confirmed by echocardiography. The atretic tricuspid valve can easily be demonstrated on 2D echocardiography. Ventricular sizes and LV systolic function are first evaluated, followed by the



**Figure 33** Selected video frame with Doppler sampling across the fenestration (Fen) demonstrating a mean gradient of 8 mmHg [69].



**Figure 34** Selected cine frames in postero-anterior (a) and lateral (b) views, demonstrating Stage IIIA Fontan procedure diverting the inferior vena caval (IVC) flow into the pulmonary arteries via a nonvalved conduit (Cond). Flow across the fenestration (Fen) is shown by arrows in a and b. HV, hepatic veins; LPA, left pulmonary artery; PG, pigtail catheter in the descending aorta; RPA, right pulmonary artery [82].



**Figure 35** Selected cineangiographic frames in antero-posterior view, demonstrating Stage IIIA of the Fontan procedure: diverting the inferior vena caval (IVC) flow into the pulmonary arteries via a nonvalved conduit (Cond). Note the fenestration (Fen) shown by the arrow in a. The Fen is closed with an Amplatzer device (D), shown by the arrow in b (Stage IIIB). HV, hepatic veins; LPA, left pulmonary artery; RPA, right pulmonary artery [82].

delineation of great artery anatomy, the status of the pulmonary outflow tract, the demonstration of adequacy of ASD/PFO, the size of VSD, and other associated cardiac defects. Rarely cardiac catheterization and selective cineangiography is needed. Aggressive management to normalize the pulmonary blood flow and correct physiologically important associated defects (for example coarctation of the aorta) should be undertaken at the time of presentation. Follow-up and treatment plans should strive to maintain or normalize cardiac structures and function (pulmonary artery anatomy and pressure and left ventricular function). Subsequently, staged Fontan surgery is performed. Echo-Doppler studies are also useful in evaluating the results of palliative procedures performed early in life, such as aorto-pulmonary shunts and the banding of the PA. Evaluations following bidirectional Glenn and Fontan completion, the assessment of potential causes of interstage mortality, and the identification of complications associated with all surgical procedures are also feasible by the echocardiographic studies. Addressing potential cause of inter-stage mortality and careful follow-up after Fontan are necessary. The currently used staged TCPC with fenestration has markedly improve the prognosis for tricuspid atresia patients. It was concluded that tricuspid atresia can be effectively diagnosed with the currently available non-invasive and invasive investigative techniques and the defect can successfully be managed with the existing therapeutic medical and surgical methods.

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