## Question 1

## Problem 1(a) (Baire category theorem)

Let $X$ be a complete metric space or a locally compact Hausdorff space. Show that every countable collection of dense open sets has a dense intersection. Equivalently, show that the union of every countable collection of closed nowhere dense sets has empty interior. Remark: A subset of $X$ is nowhere dense if its closure has empty interior.

## Solution

This proof is standard. Different expositions can be found, for example, on Wikipedia, in Chapter 48 of Munkres' Topology (second edition), and in Chapter 5.3 of Folland's Real Analysis: Modern Techniques and Their Applications (second edition).

## Problem 1(b)

Let $\mathcal{F}$ be a collection of continuous real-valued functions on a locally compact Hausdorff space or a complete metric space $X$. Suppose that $\mathcal{F}$ is piecewise bounded in the sense that for every $x \in X$, there exists $M>0$ such that $|f(x)| \leq M$ for all $f \in \mathcal{F}$. Show that $\mathcal{F}$ is uniformly bounded on some open set $U \subseteq X$, meaning that there exists $M>0$ such that $\sup _{x \in U}|f(x)| \leq M$ for all $f \in \mathcal{F}$.
Hint: Consider $A_{n}:=\{x \in X| | f(x) \mid \leq n$ for all $f \in \mathcal{F}\}$.

## Solution

Observe that $A_{n}$ as defined in the hint is equal to $\bigcap_{f \in \mathcal{F}} f^{-1}([-n, n])$, and is hence closed. Moreover, $X=\bigcup_{n=1}^{\infty} A_{n}$ by the assumption that $\mathcal{F}$ is piecewise bounded. We claim that some $A_{n}$ has non-empty interior. By way of contradiction, suppose not; then $\left\{A_{n}\right\}_{n=1}^{\infty}$ is a countable collection of closed nowhere dense sets, and hence $X=\bigcup_{n=1}^{\infty} A_{n}$ has empty interior by the Baire category theorem. This is impossible (unless $X=\varnothing$, in which case there is nothing to prove).
Thus, there exists some $n \in \mathbb{N}$ with $\operatorname{Int}\left(A_{n}\right) \neq \varnothing$. Setting $U=\operatorname{Int}\left(A_{n}\right)$ yields the desired open set.

## Problem 1(c)

Let $f: \mathbb{R} \rightarrow \mathbb{R}$ be a continuous function with the property that for every $x \in \mathbb{R}$, $\lim _{n \rightarrow \infty} f(n x)=0$. Show that $\lim _{x \rightarrow \infty} f(x)=0$.

## Solution

Fix $\varepsilon>0$. For each $n \in \mathbb{N}$, define $A_{n}=\{x \in[0, \infty)| | f(n x) \mid \leq \varepsilon\}$ and $B_{n}=\bigcap_{m=n}^{\infty} A_{m}$. Then $A_{n}$ is closed (as the preimage of the closed set $[0, \varepsilon]$ under the continuous function $x \mapsto|f(n x)|)$, hence so too is $B_{n}$. The assumption that $\lim _{n \rightarrow \infty} f(n x)=0$ for every $x \in \mathbb{R}$ implies that $\bigcup_{n=1}^{\infty} B_{n}=[0, \infty)$. By the Baire category theorem, there exists an open interval $(a, b)$ contained in some $B_{N}$. Given $n>N$ and $x \in(a, b)$, it follows that $|f(n x)| \leq \varepsilon$; said differently, $|f(x)| \leq \varepsilon$ for all $x \in(n a, n b)$. These intervals are of length $n(b-a)$, which grows
linearly in $n$; it follows that the union of all such intervals contains all sufficiently large $x$. Thus $|f(x)| \leq \varepsilon$ for all sufficiently large $x$. This holds for all $\varepsilon>0$, whence the conclusion.

## Problem 1(d)

Let $f: \mathbb{R} \rightarrow \mathbb{R}$ be a function and let $D$ be the set of all points in $\mathbb{R}$ at which $f$ is continuous. Show that $D$ cannot be a countable dense set.
Hint: Show that $D$ is a $G_{\delta}$ set.

## Solution

Define

$$
D_{n}=\left\{x \in \mathbb{R} \mid \exists \delta>0 \text { such that }\left|f\left(x_{1}\right)-f\left(x_{2}\right)\right|<\frac{1}{n} \text { whenever } x_{1}, x_{2} \in(x-\delta, x+\delta)\right\} .
$$

The condition defining membership in $D_{n}$ is an open condition, so $D_{n}$ is open. We claim that $D=\bigcap_{n=1}^{\infty} D_{n}$, whence it follows that $D$ is a $G_{\delta}$ set. Indeed, if $x \in D$ and $n \in \mathbb{N}$, then there exists a $\delta>0$ satisfying the $\varepsilon-\delta$ definition of continuity of $f$ at $x$ for $\varepsilon=\frac{1}{2 n}$; then for all $x_{1}, x_{2} \in(x-\delta, x+\delta)$, the triangle inequality yields

$$
\left|f\left(x_{1}\right)-f\left(x_{2}\right)\right| \leq\left|f\left(x_{1}\right)-f(x)\right|+\left|f(x)-f\left(x_{2}\right)\right|<\frac{1}{2 n}+\frac{1}{2 n}=\frac{1}{n}
$$

so $x \in D_{n}$. This holds for all $n$ and $x$, hence $D \subseteq \bigcap_{n=1}^{\infty} D_{n}$. Conversely, suppose $x \in$ $\bigcap_{n=1}^{\infty} D_{n}$. Given $\varepsilon>0$, choose $n \in \mathbb{N}$ such that $\frac{1}{n}<\varepsilon$; then taking $x_{2}=x$ in the definition of $D_{n}$ shows that $f$ is continuous at $x$, hence $x \in D$.
Suppose $D$ is dense. Then $D^{c}=\bigcup_{n=1}^{\infty} D_{n}^{c}$ has empty interior; in particular, each $D_{n}^{c}$ has empty interior, and is thus nowhere dense (as $D_{n}^{c}$ is also closed). From this it follows that $D$ must be uncountable: if it were the case that $D$ were countable, then

$$
\mathbb{R}=D \cup D^{c}=\left(\bigcup_{x \in D}\{x\}\right) \cup \bigcup_{n=1}^{\infty} D_{n}^{c},
$$

which would express $\mathbb{R}$ as a countable union of nowhere dense sets. This is impossible by the Baire category theorem.

## Problem 1(e) (bonus)

Equip $C([0,1])$ with the supremum metric making it a complete metric space. For each $n \in \mathbb{N}$, let $F_{n}$ be the subset of $\left(C\left([0,1], d_{\text {sup }}\right)\right.$ consisting of functions for which there is a point $x_{0} \in[0,1]$ such that $\left|f(x)-f\left(x_{0}\right)\right| \leq n\left|x-x_{0}\right|$ for all $x \in[0,1]$. Show that $F_{n}$ is a closed nowhere dense set. Using the Baire category theorem, conclude that the set of continuous nowhere differentiable functions is dense in $C([0,1])$.

## Solution

First, we introduce some terminology and notation:

- The uniform norm $\|\cdot\|_{u}$ on $C([0,1])$ is defined by $\|f\|_{u}=\sup _{x \in[0,1]}|f(x)|$, so that

$$
d_{\text {sup }}(f, g)=\|f-g\|_{u} .
$$

- A subset $F$ of a topological space $X$ is called meagre if it is a countable union of nowhere dense sets.
- The complement of a meagre set is called residual. If $X$ is a complete metric space or locally compact Hausdorff space (so that the Baire category theorem holds), then every residual subset of $X$ is dense in $X$.
$F_{n}$ is closed: We show that every convergent sequence $\left(f_{k}\right)_{k=1}^{\infty}$ in $F_{n}$ with limit $f$ satisfies $f \in F_{n}$ (note that $f$ is automatically continuous as it is the uniform limit of a sequence of continuous functions). For each $k \in \mathbb{N}$, choose $x_{k} \in[0,1]$ such that

$$
\left|f_{k}(x)-f_{k}\left(x_{k}\right)\right| \leq n\left|x-x_{k}\right|, \quad \forall x \in[0,1] .
$$

Since $[0,1]$ is compact, the sequence $\left(x_{k}\right)_{k=1}^{\infty}$ has a subsequence converging to some $x_{0} \in[0,1]$. By replacing the sequence $\left(f_{k}\right)_{k=1}^{\infty}$ with the corresponding subsequence, we may assume that $x_{k} \rightarrow x_{0}$. Then $f_{k} \rightarrow f$ uniformly and $x_{k} \rightarrow x_{0}$. Note that $\left|f_{k}\left(x_{k}\right)-f\left(x_{0}\right)\right| \leq$ $\left|f_{k}\left(x_{k}\right)-f\left(x_{k}\right)\right|+\left|f\left(x_{k}\right)-f\left(x_{0}\right)\right|$; the first term tends to 0 since $f_{k} \rightarrow f$ uniformly, and the second term tends to 0 since $f$ is continuous and $x_{k} \rightarrow x_{0}$. It follows that $f_{k}\left(x_{k}\right) \rightarrow f\left(x_{0}\right)$. Then for all $x \in[0,1]$, we have

$$
\left|f(x)-f\left(x_{0}\right)\right|=\lim _{k \rightarrow \infty}\left|f_{k}(x)-f_{k}\left(x_{k}\right)\right| \leq \lim _{k \rightarrow \infty} n\left|x-x_{k}\right|=n\left|x-x_{0}\right| .
$$

Thus $f \in F_{n}$.
$F_{n}$ is nowhere dense: We need a few results before proceeding.
Proposition 1.1. Fix $n \in \mathbb{N}$.
(1) If $\psi \in C([0,1])$ is piecewise linear and each linear piece of $\psi$ has slope greater than or equal to $2 n$ in absolute value, then $\psi \notin F_{n}$.
(2) For all $f \in C([0,1])$ and $\varepsilon>0$, there exists $\psi \in C([0,1])$ as in (1) which additionally satisfies $\|f-\psi\|_{u}<\varepsilon$.

For a detailed proof of Proposition 1.1, see the following section.
Since $F_{n}$ is closed, it is nowhere dense if and only if it has empty interior, if and only if every $f \in C([0,1])$ is the (uniform) limit of some sequence $\left(f_{k}\right)_{k=1}^{\infty}$ in $C([0,1]) \backslash F_{n}$. By Proposition 1.1(2), there exists a sequence of piecewise linear functions $f_{k}$ such that $\left\|f_{k}-f\right\|_{u}<\frac{1}{k}$ and the linear pieces of each $f_{k}$ have slopes greater than or equal to $2 n$ in absolute value. Each $f_{k}$ is in $C([0,1]) \backslash F_{n}$ by Proposition 1.1(1), so we are done.
Continuous, nowhere differentiable functions are dense in $C([0,1])$ :
Suppose $f \in C([0,1])$ is differentiable at a point $x_{0} \in[0,1]$. Then there exists $\delta>0$ such that

$$
\left|\frac{f(x)-f\left(x_{0}\right)}{x-x_{0}}-f^{\prime}\left(x_{0}\right)\right| \leq 1 \text { whenever } 0<\left|x-x_{0}\right|<\delta .
$$

Applying the reverse triangle inequality and rearranging, we obtain

$$
\left|f(x)-f\left(x_{0}\right)\right| \leq\left(1+\left|f^{\prime}\left(x_{0}\right)\right|\right)\left|x-x_{0}\right| \text { whenever }\left|x-x_{0}\right|<\delta .
$$

If instead $\left|x-x_{0}\right| \geq \delta$, then

$$
\left|f(x)-f\left(x_{0}\right)\right| \leq 2\|f\|_{u}=\frac{2\|f\|_{u}}{\left|x-x_{0}\right|}\left|x-x_{0}\right| \leq \frac{2\|f\|_{u}}{\delta}\left|x-x_{0}\right| .
$$

Thus, if $n \geq \max \left(1+\left|f^{\prime}\left(x_{0}\right)\right|, 2\|f\|_{u} / \delta\right)$, then $f \in F_{n}$.
It follows that the set $F \subset C([0,1])$ of functions differentiable at some point is contained inside $\bigcup_{n=1}^{\infty} F_{n}$. Each $F_{n}$ is nowhere dense, so $\bigcup_{n=1}^{\infty} F_{n}$ is meagre. A subset of a meagre set is itself meagre, so $F$ is meagre. Thus, $F^{c}$ is residual in $C([0,1])$ (and in particular dense), and $F^{c}$ is precisely the nowhere differentiable continuous functions.

## Proof of Proposition 1.1

Proof of Proposition 1.1(1). Fix $x_{0} \in[0,1]$. For sufficiently small $\varepsilon>0$, we have that $\psi$ is affine linear on at least one of the intervals $\left[x_{0}, x_{0}+\varepsilon\right]$ or $\left[x_{0}-\varepsilon, x_{0}\right]$, with slope $m$ satisfying $|m| \geq 2 n$. Set $x=x_{0} \pm \frac{\varepsilon}{2}$, the sign chosen so that $x$ lies in such an interval. Then

$$
\left|\psi(x)-\psi\left(x_{0}\right)\right|=|m|\left|x-x_{0}\right| \geq 2 n\left|x-x_{0}\right|>n\left|x-x_{0}\right|,
$$

the strict inequality holding because $\left|x-x_{0}\right| \neq 0$.
We prove Proposition 1.1(2) in a two-step process: first, show that every $f \in C([0,1])$ can be uniformly approximated by some piecewise linear function. Then, show that every piecewise linear function can be uniformly approximated by a piecewise linear function with slopes greater than or equal to $2 n$ in absolute value.

Lemma 1.2. For all $f \in C([0,1])$ and $\varepsilon>0$, there exists a piecewise linear $\phi \in C([0,1])$ such that $\|f-\phi\|_{u}<\varepsilon$.

Proof. Since $[0,1]$ is compact, $f$ is uniformly continuous on $[0,1]$; hence there exists $N \in \mathbb{N}$ such that $|f(x)-f(y)|<\frac{\varepsilon}{4}$ whenever $|x-y| \leq \frac{1}{N}$. Set $x_{k}=\frac{k}{N}$, so that $0=x_{0}<x_{1}<\cdots<$ $x_{N}=1$. We define $\phi \in C([0,1])$ by $\phi\left(x_{k}\right)=f\left(x_{k}\right)$ and linearly interpolating between the $x_{k}$ 's, i.e.

$$
\phi(x):=\frac{f\left(x_{k}\right)-f\left(x_{k-1}\right)}{1 / N}\left(x-x_{k-1}\right)+f\left(x_{k-1}\right), \quad \forall x \in\left(x_{k-1}, x_{k}\right) .
$$

Fix $x \in[0,1]$. If $x=x_{k}$ for some $k$, then $f(x)=\phi(x)$; otherwise, $x \in\left(x_{k-1}, x_{k}\right)$ for some $k$, and

$$
\begin{aligned}
|f(x)-\phi(x)| & \leq\left|f(x)-f\left(x_{k-1}\right)\right|+\left|f\left(x_{k}\right)-f\left(x_{k-1}\right)\right| \frac{x-x_{k-1}}{1 / N} \\
& \leq\left|f(x)-f\left(x_{k-1}\right)\right|+\left|f\left(x_{k}\right)-f\left(x_{k-1}\right)\right| \\
& <\varepsilon / 4+\varepsilon / 4 .
\end{aligned}
$$

It follows that $\|f-\phi\|_{u} \leq \varepsilon / 2<\varepsilon$.
Lemma 1.3. Fix $n \in \mathbb{N}$ and $\varepsilon>0$. If $\phi \in C([0,1])$ is piecewise linear, then there exists a piecewise linear $\psi \in C([0,1])$ whose linear pieces have slopes greater than or equal to $2 n$ in absolute value, and such that $\|\phi-\psi\|_{u}<\varepsilon$.

Proof. We claim that if $\phi(x)=m x+c$ is affine linear on $[a, b]$ and $\left|y_{0}-\phi(a)\right| \leq \frac{\varepsilon}{2}$, then there exists $\psi$ piecewise linear on $[a, b]$ such that $\psi(a)=y_{0}$, each linear piece of $\psi$ has slope greater than or equal to $2 n$ in absolute value, and

$$
|\psi(x)-\phi(x)| \leq \frac{\varepsilon}{2}, \quad \forall x \in[a, b] .
$$

Indeed, if $|m| \geq 2 n$, then $\psi(x)=m(x-a)+y_{0}$ works. Otherwise, approximate $m x+c$ with a "see-saw" function whose slopes have absolute value $2 n$ (Figure 1.1).
From this, the lemma follows easily: let $0=x_{0}<x_{1}<\ldots<x_{N}=1$ be such that each $\left.\phi\right|_{\left[x_{k-1}, x_{k}\right]}$ is affine linear. Apply the claim on $\left[0, x_{1}\right]$ with $y_{0}=\phi(0)$ to define $\psi$ on $\left[0, x_{1}\right]$. Then apply the claim on $\left[x_{1}, x_{2}\right]$ with $y_{0}=\psi\left(x_{1}\right)$ (which satisfies $\left|y_{0}-\phi\left(x_{1}\right)\right| \leq \frac{\varepsilon}{2}$ by construction) to define $\psi$ on $\left[x_{1}, x_{2}\right]$. Continuing this iterative process defines $\psi$ on $[0,1]$. By construction, $\psi$ is piecewise linear and each linear piece has slope greater than or equal to $2 n$ in absolute value, and

$$
\|\phi-\psi\|_{u} \leq \frac{\varepsilon}{2}<\varepsilon .
$$

Proof of Proposition 1.1(2). By Lemma 1.2, there exists a piecewise linear $\phi \in C([0,1])$ such that $\|f-\phi\|_{u}<\varepsilon / 2$. By Lemma 1.3, there exists a piecewise linear $\psi \in C([0,1])$ whose linear pieces have slopes greater than or equal to $2 n$ in absolute value, and such that $\|\phi-\psi\|_{u}<\varepsilon / 2$. By the triangle inequality,

$$
\|f-\psi\|_{u} \leq\|f-\phi\|_{u}+\|\phi-\psi\|_{u}<\varepsilon .
$$



Figure 1.1: Approximating $\phi$ when $|m|<2 n$.

## Question 2

## Problem 2(a)

Show that every second-countable, locally compact Hausdorff space is metrizable. Conclude that every $n$-manifold is metrizable.

## Solution

Let $X$ be a second-countable, locally compact Hausdorff space. In view of Urysohn's metrization theorem, it suffices to show that $X$ is regular.

Case 1. If $X$ is compact, then recall that compact + Hausdorff $\Longrightarrow$ normal, hence in particular $X$ is regular.
Case 2. If $X$ is not compact, then consider its one-point compactification $X^{*}$ (see Problem Set 4 Q 4$)$. Since $X^{*}$ is compact and Hausdorff, it is normal, hence in particular regular. Since the property of being regular is hereditary and the original topology on $X$ coincides with the subspace topology induced by the inclusion $X \hookrightarrow X^{*}$, it follows that $X$ is regular.

In particular, every $n$-manifold is by definition second-countable, Hausdorff, and locally homeomorphic to $\mathbb{R}^{n}$. Since $\mathbb{R}^{n}$ is locally compact, so too is every $n$-manifold; thus manifolds are metrizable.

## Problem 2(b)

For a topological space, a sequence $\left\{K_{n}\right\}_{n \in \mathbb{N}}$ of compact sets is called an exhaustion of $X$ by compact sets if the $\bigcup_{n} K_{n}=X$ and $K_{n} \subseteq \operatorname{Int} K_{n+1}$ for every $n \in \mathbb{N}$. Show that every second countable, locally compact Hausdorff space, and in particular an $n$-manifold, admits an exhaustion by compact sets.

## Solution

We claim that $X$ has a countable basis consisting of precompact open sets (i.e., open sets whose closures are compact). To show this, start with any countable basis $\mathcal{B}_{0}$ of $X$. Since $X$ is locally compact, every $x \in X$ admits an open neighbourhood $U$ and a compact set $K$ such that $x \in U \subseteq K$. Since $X$ is Hausdorff, $K$ is closed. Consider $\mathcal{N}_{x}=\left\{B \in \mathcal{B}_{0} \mid B \subseteq U\right\}$. Each $B \in \mathcal{N}_{x}$ satisfies $\bar{B} \subseteq \bar{U} \subseteq \bar{K}=K$, so $\bar{B}$ is compact (as a closed subset of the compact set $K$ ). Then

$$
\mathcal{B}:=\bigcup_{x \in X} \mathcal{N}_{x}
$$

is the desired basis (note that $\mathcal{B} \subseteq \mathcal{B}_{0}$, so $\mathcal{B}$ is also countable).
Indexing $\mathcal{B}=\left\{U_{n}\right\}_{n=1}^{\infty}$, we define an exhaustion $\left\{K_{n}\right\}_{n=1}^{\infty}$ by compact sets inductively. First, set $K_{1}=\overline{U_{1}}$. Suppose that $K_{n}$ has been defined. Since $K_{n}$ is compact and $\mathcal{B}$ is an open cover, there are finitely many subindices $n_{1}, \ldots, n_{j}$ such that $K_{n} \subseteq U_{n_{1}} \cup \cdots \cup U_{n_{j}}$. We then define $K_{n+1}=\overline{U_{n+1}} \cup \overline{U_{n_{1}}} \cup \cdots \cup \overline{U_{n_{j}}}$. This is compact (as a finite union of compact sets) and satisfies

$$
K_{n} \subseteq U_{n+1} \cup U_{n_{1}} \cup \cdots \cup U_{n_{j}} \subseteq \operatorname{Int}\left(\overline{U_{n+1} \cup U_{n_{1}} \cup \cdots \cup U_{n_{j}}}\right)=\operatorname{Int} K_{n+1}
$$

The collection $\left\{K_{n}\right\}_{n=1}^{\infty}$ covers $X$ as each $x \in X$ belongs to some $U_{n}$ (since $\mathcal{B}$ is a basis), which is a subset of $K_{n}$ by construction.

## Problem 2(c)

Let $X$ be a second countable, locally compact Hausdorff space and let $\left\{U_{\alpha}\right\}_{\alpha \in J}$ be an open cover for $X$. Show that there exists an open cover $\left\{V_{\alpha}\right\}_{\alpha \in J}$ such that $\bar{V}_{\alpha} \subseteq U_{\alpha}$ and that for every $x \in X$, there exists a neighbourhood of $x$ that intersects $V_{\alpha}$ for finitely many $\alpha \in J$. Hint: Fix an exhaustion $\left\{K_{n}\right\}_{n \in \mathbb{N}}$ by compact sets and define $A_{n}:=K_{n+1} \backslash \operatorname{Int} K_{n}$ and
$U_{n}:=\operatorname{Int} K_{n+2} \backslash K_{n-1}$.

## Solution

With $K_{n}, A_{n}$, and $U_{n}$ defined as in the hint, we have that $A_{n}$ is compact, $U_{n}$ is open, and $A_{n} \subseteq U_{n}$. The collection $\mathcal{O}=\left\{U \subseteq X\right.$ open $\mid \bar{U} \subseteq U_{\alpha}$ for some $\left.\alpha \in J\right\}$ is an open cover of $X$; thus, for each $n \in \mathbb{N}$, there is a finite subcover $\mathcal{U}_{n} \subseteq \mathcal{O}$ of $A_{n}$. Then $\mathcal{U}=\bigcup_{n=1}^{\infty} \mathcal{U}_{n}$ is another open cover of $X$ with the property that every $x \in X$ admits an open neighbourhood that intersects finitely many sets in $\mathcal{U}$. For each $W \in \mathcal{U}$, there exists some $\alpha \in J$ such that $\bar{W} \subseteq U_{\alpha}$. Choosing one such $\alpha$ for each $W \in \mathcal{U}$ defines a function $f: \mathcal{U} \rightarrow J$. Then setting

$$
V_{\alpha}=\bigcup_{W \in f^{-1}(\alpha)} W
$$

yields the desired open cover $\left\{V_{\alpha}\right\}_{\alpha \in J}$.

## Problem 2(d) (bonus)

Generalize our proof for the existence of a partition of unity to second countable, locally compact Hausdorff spaces and, in particular, to $n$-manifolds.

## Solution

See Theorem 4.85 in Lee's Introduction to Topological Manifolds.

## Question 3

## Problem 3(a)

Show that if $X$ is Hausdorff and $A$ is a retract of $X$, then $A$ is closed.

## Solution

Let $r: X \rightarrow A$ be a retraction. We will show that $A$ is closed by showing that $X \backslash A$ is open. Given $x \in X \backslash A$, we have $r(x) \neq x$; since $X$ is Hausdorff, there are disjoint open neighbourhoods $U$ and $V$ of $x$ and $r(x)$, respectively. Then $V \cap A$ is open in $A$ and contains $r(x)$, hence $r^{-1}(V \cap A)$ is open in $X$ and contains $x$. Define $U_{x}=r^{-1}(V \cap A) \cap U$. Then $U_{x}$ is an open neighbourhood of $x$ which is disjoint from $A$, for if there existed $y \in U_{x} \cap A$, then $r(y)=y \in V \cap A$ and $y \in U$; this is impossible, as $U \cap V=\varnothing$. Since

$$
X \backslash A=\bigcup_{x \in X \backslash A} U_{x},
$$

this shows that $X \backslash A$ is open.

## Problem 3(b)

Suppose that $A$ is a retract of $X$, Show that for any $x_{0} \in A$, the homomorphism $\iota_{*}: \pi\left(A, x_{0}\right) \rightarrow \pi\left(X, x_{0}\right)$ induced by the inclusion map $\iota: A \rightarrow X$ is injective and the homomorphism $r_{*}: \pi\left(X, x_{0}\right) \rightarrow \pi\left(A, x_{0}\right)$ induced by the retraction $r$ is surjective. Conclude that a retract of a simply connected space is simply connected.

## Solution

To say that $r: X \rightarrow A$ is a retraction is equivalent to saying that $r \circ \iota=\operatorname{id}_{A}$, where $\iota: A \hookrightarrow X$ is the inclusion. Thus, for any $x_{0} \in A$, the induced maps on fundamental groups $\iota_{*}: \pi_{1}\left(A, x_{0}\right) \rightarrow \pi_{1}\left(X, x_{0}\right)$ and $r_{*}: \pi_{1}\left(X, x_{0}\right) \rightarrow \pi_{1}\left(A, x_{0}\right)$ satisfy

$$
r_{*} \circ \iota_{*}=(r \circ \iota)_{*}=\left(\operatorname{id}_{A}\right)_{*}=\operatorname{id}_{\pi_{1}\left(A, x_{0}\right)} .
$$

Whenever a composition $g \circ f$ of functions is bijective, the function $f$ must be injective and the function $g$ must be surjective. Thus $\iota_{*}$ is injective and $r_{*}$ is surjective.
In particular, if $X$ is simply connected, then so is $A$ : it is the image of the path-connected space $X$ under $r$, hence path-connected, and since $\pi_{1}\left(X, x_{0}\right)=0$, the homomorphism $r_{*}$ is a surjective map from the trivial group to $\pi_{1}\left(A, x_{0}\right)$, which shows that $\pi_{1}\left(A, x_{0}\right)=0$.

## Problem 3(c)

Show that for any $n \in \mathbb{N}, S^{n-1}$ is a retract of $\mathbb{R}^{n} \backslash\{0\}$. Conclude that $\mathbb{R}^{2} \backslash\{0\}$ is not simply connected.

## Solution

A retract $r: \mathbb{R}^{n} \backslash\{0\} \rightarrow S^{n-1}$ is given by $r(x)=x /\|x\|$, where $\|\cdot\|$ denotes the Euclidean norm. By part (b), it follows that $r_{*}: \pi_{1}\left(\mathbb{R}^{n} \backslash\{0\}\right) \rightarrow \pi_{1}\left(S^{n-1}\right)$ is a surjective homomorphism (suppressing the choice of basepoint from our notation). Specializing to $n=2$, we see that there is a surjection $\pi_{1}\left(\mathbb{R}^{2} \backslash\{0\}\right) \rightarrow \pi_{1}\left(S^{1}\right) \cong \mathbb{Z}$. Thus $\pi_{1}\left(\mathbb{R}^{2} \backslash\{0\}\right) \neq 0$.

## Problem 3(d)

Show that the torus $T=S^{1} \times S^{1}$ is not simply connected by finding a retract that is homeomorphic to $S^{1}$.

## Solution

Many choices of retraction are possible. For instance, fix any $p \in S^{1}$ and define a map $r: S^{1} \times S^{1} \rightarrow S^{1} \times\{p\}$ by $r(x, y)=(x, p)$. This is a retraction, and $S^{1} \times\{p\}$ is homeomorphic to $S^{1}$ via the projection $S^{1} \times\{p\} \rightarrow S^{1}$.

## Problem 3(e)

Let $n \geq 2$ and let $N$ be the north pole in $S^{n}$. Let $p \in S^{n} \backslash\{N\}$. Show that any loop based at $p$ is path-homotopic to one that doesn't contain $N$. Conclude that $S^{n}$ is simply connected.

Hint: Recall that $S^{n} \backslash\{N\}$ is homeomorphic to $\mathbb{R}^{n}$.

## Solution

Let $U \subset S^{n}$ be an open neighbourhood of $N$ which is homeomorphic to a disk. Let $V$ be an open neighbourhood of $S^{n} \backslash U$ which does not contain $N$. For any loop $\gamma:[0,1] \rightarrow S^{n}$ based at $p$, the preimages $\gamma^{-1}(U)$ and $\gamma^{-1}(V)$ are open subsets of $[0,1]$, hence together form a collection of intervals which are open in $[0,1]$. By compactness, there exist finitely many numbers $0=t_{0}<t_{1}<\ldots<t_{n}=1$ such that $\gamma_{i}:=\left.\gamma\right|_{\left[t_{i}, t_{i+1}\right]}$ has image contained in either $U$ or $V$. For those intervals $\left[t_{i}, t_{i+1}\right]$ with image contained in $U$, observe that $U \backslash\{N\}$ is path-connected (this step uses that $n \geq 2$ ), hence there exists a path $\gamma_{i}^{\prime}$ parametrized by the same interval which has the same start and endpoints as $\gamma_{i}$, but avoids $N$. For those intervals $\left[t_{i}, t_{i+1}\right]$ with image contained in $V$, we simply set $\gamma_{i}^{\prime}=\gamma_{i}$. Then $\gamma$ is path-homotopic to the concatenation of the $\gamma_{i}^{\prime}$ 's, which is path-homotopic to the concatenation of the $\gamma_{i}^{\prime \prime}$ s; this loop avoids $N$.
From this, it follows that $S^{n}$ is simply connected for $n \geq 2$ : any loop based at $p \in S^{n} \backslash\{N\}$ is path-homotopic to one with image contained in $S^{n} \backslash\{N\}$. Since $S^{n} \backslash\{N\}$ is homeomorphic to $\mathbb{R}^{n}$ and the latter space is simply connected, it follows that $S^{n}$ is also simply connected.

## Problem 3(f) (bonus)

Define the figure eight $Y \subset \mathbb{R}^{2}$ to be the union of the circles of radius one and centres $(0,1)$ and $(0,-1)$. Show that $Y$ is not simply connected.

## Solution

Let $A=\left\{(x, y) \mid x^{2}+(y-1)^{2}=1\right\} \subset Y$ be the top circle in $Y$. Then there is a retraction $r: Y \rightarrow A$ given by $r(x, y)=(x,|y|)$. Since $A$ is homeomorphic to $S^{1}$, the same reasoning as in parts (c) and (d) shows that $Y$ is not simply connected.

