

# Lecture 2

## Central Simple Algebras

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Remember that the theory of spinor genera is the “abelian part” in the problem of study the difference between local and global information, that is:

$$\begin{aligned}
 \text{gen}(\Lambda) &= G_{\mathbb{A}}.\Lambda \\
 &\mid \\
 \text{spn}(\Lambda) &= GG'_{\mathbb{A}}.\Lambda \\
 &\parallel \quad \text{If } G \text{ is not compact at some archimedean place.} \\
 \text{cls}(\Lambda) &= G.\Lambda
 \end{aligned}$$

where

$$\#\{\text{spinor genera in } \text{gen}(\Lambda)\} = |J_K/K^*H_{\mathbb{A}}(\Lambda)| = [\Sigma_{\Lambda} : K],$$

and  $H_{\mathbb{A}}(\Lambda)$  is the “image” of the spinor norm<sup>1</sup>  $\Theta_{\mathbb{A}} : G_{\mathbb{A}} \rightarrow J_K/J_K^n$ . This theory can be used to study representation problems as:

*Given a lattice  $M \subset \Lambda$  ( $\Lambda$  fixed of maximal rank), how many classes (orbits  $G.\Lambda$ ) in the genus of  $\Lambda$  (in the orbit  $G_{\mathbb{A}}.\Lambda$ ) contain an isomorphic copy of  $M$ ?*

We will focus on the case of orders (lattices with additional structure) in central simple algebras (CSA’s). So, we have to have some background material about CSA’s and orders inside them.

**Motivation.** Central simple algebras and orders appear in different places, for instance:

1. Number theory. Brauer groups play a central role in class field theory.
2. Theory of hyperbolic varieties. Arithmetical Kleinian and Fuchsian groups can be described in terms of maximal orders<sup>2</sup>.

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<sup>1</sup> $n$  is 2 in the cases of forms and the degree of the central simple algebra in that case.

<sup>2</sup>See for example, L.E. Arenas-Carmona, *Representation fields for cyclic orders*, Acta arithmetica, 156.2 (2012)

3. Theory of modular forms. Studying maximal orders in CSA's is one way to generalize the classic theory of modular forms<sup>3</sup>. There is also a connection between a certain space defined in terms of the ideal class group of a maximal order in a quaternion algebra and the space of modular forms of weight 2 and certain level<sup>4</sup> (related with the ramification of the quaternion algebra).
4. Wireless communication. There is a recent book<sup>5</sup> from the AMS showing applications of CSA's via the characterization of space-time codes problems in terms of matrices.

## 1 Central Simple Algebras (CSA's)

Let  $K$  be a field (we think  $K$  as a number field or one of its completions). Let  $A$  be a finite dimensional  $K$ -algebra<sup>6</sup>.

**Definition.** We say that  $A$  is

1. *Central*, if  $Z(A) = K$ .
2. *Simple*, if it has no two-sided (non trivial) ideals.
3. *Central simple*, if it is central and simple.

### Examples.

1. A field  $K$  is a  $K$ -CSA.
2. Quaternion algebras  $(\frac{\alpha, \beta}{K})$  are central simple. Hence,  $\mathbb{H} = (\frac{-1, -1}{\mathbb{R}})$  and  $\mathbb{M}_2(K) \cong (\frac{1, -1}{K})$  are CSA's.
3. A  $K$ -division algebra  $D$  is simple and is central simple over its center<sup>7</sup>  $Z(D)$ .
4.  $\mathbb{M}_n(K)$  is a CSA and it can be shown by using properties<sup>8</sup> of tensor product of algebras, that<sup>9</sup>  $\mathbb{M}_n(D) \cong \mathbb{M}_n(K) \otimes_K D$  is a central simple algebra for any division CSA  $D$  over  $K$ .

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<sup>3</sup>If you google for *Quaternion algebras and shimura curves* you will find a couple of short introductions that make use of maximal orders in quaternion algebras to produce curves.

<sup>4</sup>See A. Pacetti, G. Tornaria, *Shimura correspondence for level  $p^2$  and the central values of L-series*, J. of Number Theory, 124 (2007)

<sup>5</sup>G. Berhuy, F Oggier, *An Introduction to Central Simple Algebras and Their Applications to Wireless Communication*, mathematical surveys and monographs, vol 191, AMS (2013)

<sup>6</sup>For us, an algebra  $A$  will always be an associative algebra with 1, so  $K'' = "1 \cdot K \subset A$ .

<sup>7</sup> $Z(D)$  is a field because  $xy = yx \Leftrightarrow y^{-1}x^{-1} = x^{-1}y^{-1}$  for every  $x, y \in D$ .

<sup>8</sup>E.g. Azumaya-Nakayama (1947) theorem concerning ideals in a tensor product in §5.1 of *Further Algebra and Applications* by P.M. Cohn.

<sup>9</sup>For any  $K$ -algebra  $B$ ,  $\mathbb{M}_n(B) \cong \mathbb{M}_n(K) \otimes_K B$ .

**Theorem.** (Wedderburn<sup>10</sup>, 1907) Let  $A$  be a finite dimensional simple  $K$ -algebra. Then there exists an integer  $n \geq 1$  and a division ring  $D \supset K$  such that  $A \cong \mathbb{M}_n(D)$ . Moreover,  $D$  is unique up to isomorphism.

As an immediate consequence, we have that a CSA over a finite field is a matrix algebra over a field<sup>11</sup>.

**Corollary.** A CSA  $A$  over an algebraically closed field  $K$  satisfies  $A \cong \mathbb{M}_n(K)$ .

**Proof.**  $A \cong \mathbb{M}_n(D)$ , where  $D \supset K$  is a division ring. Now, every element in  $D$  defines an algebraic extension of  $K$ , but  $K$  is algebraically closed, so  $D = K$ .

We conclude that there is always a field extension  $L/K$  such that  $A \otimes_K L \cong \mathbb{M}_n(L)$ . We say that a field  $L$  with the last property is a **splitting field** of the CSA  $A$ .

**Example.** If  $A \cong (\frac{\alpha, \beta}{K})$ , then it is clear that  $K(\sqrt{\alpha})$  is a splitting field for  $A$ .

It can be proved<sup>12</sup> that a CSA has always a separable<sup>13</sup> splitting field  $L$  with  $L \subset A$  and  $[L : K] = n$ . As a consequence, a CSA  $A$  has square dimension and we say that  $\sqrt{\dim(A)}$  is the *degree* of  $A$ .

Now we proceed to state the beautiful Skolem-Noether theorem which implies that the group of automorphisms of a CSA  $A$  is isomorphic to  $A^*/K^*$ .

**Theorem.** (Skolem-Noether<sup>14</sup>, 1927) Let  $A$  be a CSA over  $K$  and  $B$  a simple  $K$ -algebra. Let  $\sigma, \tau : B \rightarrow A$  be two algebra homomorphisms. Then there exists an inner automorphism  $\phi$  of  $A$  such that  $\tau = \phi\sigma$ .

If we take  $B = A$  and  $\sigma = id_A$  in the theorem, we conclude that every automorphism in a CSA is a conjugation (inner). Hence, we have a surjection  $A^* \twoheadrightarrow Aut(A)$  with kernel  $K^*$ .

**Example.** We characterize<sup>15</sup> central simple algebras of dimension 4. Let  $A$  be a CSA with  $\dim_K(A) = 4$ . By Wedderburn's we have  $A \cong \mathbb{M}_n(D)$  and  $4 = \dim_K(A) = n^2 \cdot \dim_K(D)$ . We have two options:  $n = 1$  or  $n = 2$ . If  $n = 2$ , we have  $A \cong \mathbb{M}_2(K)$ . If  $n = 1$ ,  $A$  is a division algebra. Take a separable splitting field  $L \subset A$ . It is clear that  $L = K(a)$  is a quadratic extension of  $K$  and we can choose  $a$  such that  $a^2 \in K$ . By Skolem-Noether's we have an element  $b \in A^*$  such that  $bab^{-1} = \sigma(a)$ , where  $\sigma$  is the non trivial automorphism of  $Gal(L/K)$ . Hence,  $A = L \oplus bL$  and  $b^2 = \beta \in K = Z(A)$ . So, if we define  $\alpha = a^2$ , we have  $A \cong (\frac{\alpha, \beta}{K})$ .

Now, we will define an analogue to the determinant in a CSA. This map will be

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<sup>10</sup>It is in everywhere, but you can see for instance §8 in W. Scharlau, *Quadratic and Hermitian forms*, Springer (1985).

<sup>11</sup>A finite division ring is commutative.

<sup>12</sup>See Scharlau's book.

<sup>13</sup>Hence, there is always a Galois extension of  $K$  (not necessarily contained in  $A$ ) that is a splitting field of  $A$ . CSA's containing Galois splitting fields are called crossed products in the literature.

<sup>14</sup>See Scharlau's book.

<sup>15</sup>When the base field has characteristic different from 2.

essentially our spinor norm.

### Reduced Norm.

We know that, going up, we have  $A_L = A \otimes_K L \cong \mathbb{M}_n(L)$ , so we have an inclusion  $A \hookrightarrow A_L$  and we can see an element  $a \in A$  as a matrix. Hence, we define the characteristic polynomial of  $a \in A$  as  $\chi_a(x) := \chi_{\phi(a)}(x) \in L[x]$ , where  $\phi$  is any isomorphism  $\phi : A_L \rightarrow \mathbb{M}_n(L)$ . This polynomial does not depend on  $\phi$  because of Skolem-Noether's theorem. It can be proved that,  $\chi_a(x)$  is independent of the field  $L$  and  $\chi_a(x) \in K[x]$ . Note that  $\chi_a(0) = (-1)^n \det(\phi(a))$ .

**Definition.** We say that the map  $N : A \rightarrow K$  given by  $a \mapsto (-1)^n \chi_a(0) = \det(\phi(a))$  is the **reduced norm** of  $a \in A$ . We have immediate consequences:

1.  $N(ab) = N(a)N(b), \forall a, b \in A$ .
2.  $N(\lambda a) = \lambda^n N(a), \forall a \in A, \lambda \in K$ .

A less immediate consequence is (in the quaternionic case, this is immediate<sup>16</sup> because you can express the inverse of an element  $q$  as  $(Nq)^{-1}\bar{q}$ ):  $a \in A^*$  if and only if  $N(a) \neq 0$ . Let's prove it. It is clear that if  $a$  is invertible, then  $N(a) \neq 0$ . Now take  $a \in A$  with  $N(a) \neq 0$ . We know that (taking a galois splitting field  $L$  and an isomorphism  $\phi : A_L \rightarrow \mathbb{M}_n(L)$ )  $\phi(a) \in \mathbb{M}_n(L)$  is invertible because its determinant ( $= N(a)$ ) is not 0. Let  $b \in A_L$  be the inverse of  $a$ . If we prove that  $b \in A$  we are done. Take  $G = \text{Gal}(L/K)$  and  $\hat{G} = \text{id}_A \otimes G \subset \text{Aut}(A \otimes_K L)$ . It is clear that the set of fixed points of  $\hat{G}$  is  $A$ . Hence, it is enough to prove that  $\sigma(b) = b$  for every  $\sigma \in \hat{G}$ . If  $\sigma(b) \neq b$ , then  $\sigma(b)$  would be another inverse of  $a$ , which can not occur by uniqueness of inverses.

Note that we used a (nice) “going up and down” or “descent” argument which is frequently used in field theory, galois cohomology and, of course, CSA’s theory.

If  $a \in A$ , there is a relation between  $N(a)$  and  $l_a$ , where  $l_a : A \rightarrow A$  is the linear map given by  $l_a(b) = ab$ . The relation is (the matrix of  $l_a$  in a splitting field is  $\text{diag}(a, \dots, a)$ )

$$\det(l_a) = N(a)^n.$$

Finally, if  $L \subset A$  is a maximal splitting field<sup>17</sup>, then for every  $a \in L$ ,

$$N(a) = N_{L/K}(a).$$

<sup>16</sup>It can be checked, by using the inclusion  $\left(\frac{\alpha, \beta}{K}\right) \hookrightarrow \mathbb{M}_2(K(\sqrt{\alpha}))$  given by  $i \mapsto \begin{pmatrix} \sqrt{\alpha} & 0 \\ 0 & -\sqrt{\alpha} \end{pmatrix}$ ,  $j \mapsto \begin{pmatrix} 0 & 1 \\ \beta & 0 \end{pmatrix}$ , that the quaternion norm  $q \mapsto q\bar{q}$  is the reduced norm of the quaternion algebra.

<sup>17</sup>A splitting field  $L \subset A$  with  $[L : K] = n$ , where  $\dim_K(A) = n^2$ .