

February 3, 2021

Philippine Dealing & Exchange Corporation 29th Floor, BDO Equitable Tower 8751 Paseo de Roxas, Makati City 1226

Attention: Atty. Marie Rose M. Magallen-Lirio

Head - Issuer Compliance and Disclosure Dept.

# Gentlemen:

We submit herewith a copy of our disclosure letter to the Philippine Stock Exchange, Inc. and the Securities and Exchange Commission, regarding the Statement of Changes in Beneficial Ownership of Securities (Form 23-B) in the Capital Stock of PLDT Inc., showing the changes in the shareholdings of Ms. Anabelle L. Chua for the month of February 2021.

Thank you.

Very truly yours,

PLDT Inc.

Mars

MA. LOURDES C. RAUSA-CHAN

Corporate Secretary



February 3, 2021

Philippine Stock Exchange
Disclosure Department
6th Floor Philippine Stock Exchange Tower
28th Street corner 5th Avenue
Bonifacio Global City, Taguig City

Attention: Ms. Janet A. Encarnacion Head, Disclosure Department

## Gentlemen:

We are submitting herewith the Statement of Changes in Beneficial Ownership of Securities (Form 23-B) in the Capital Stock of PLDT Inc., showing the changes in the shareholdings of Ms. Anabelle L. Chua for the month of February 2021.

Thank you.

Very truly yours,

PLDT Inc.

Mars

MA. LOURDES C. RAUSA-CHAN

Corporate Secretary



February 3, 2021

Securities and Exchange Commission Ground Floor Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City 1307

Attention: Mr. Vicente Graciano P. Felizmenio, Jr.

<u>Director – Markets and Securities Regulation Dept.</u>

# Gentlemen:

We are submitting herewith the Statement of Changes in Beneficial Ownership of Securities (Form 23-B) in the Capital Stock of PLDT Inc., showing the changes in the shareholdings of Ms. Anabelle L. Chua for the month of February 2021.

Thank you.

Very truly yours,

PLDT Inc.

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MA. LOURDES C. RAUSA-CHAN

Corporate Secretary

# **COVER SHEET**

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	9/F MGO Building, Legaspi cor. Dela Rosa Streets, Makati City																																

**Note**: In case of death, resignation or cessation of office of the officer designated as contact person, such incident shall be reported to the Commission within thirty (30) calendar days from the occurrence thereof with information and complete contact details of the new contact person designated.

### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

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Check box if no longer subject

to filing requirement

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1 Name and A	Address of Reporting Perso	nn .	Issuer Name and Tradi	na Symbol			7. Relationship of Rep	orting Person to Issuer					
1. Name and Address of Reporting Forest			2. Iodadi Hamb ana Haa				7. Holding in the	(Check all applicable)					
CHUA,	ANABELLE	LIM		PLDT I	nc. (TEL)								
(Last) (First) (Middle)			Tax Identification     Number		5. Statement for Month/Year		_x	Director Officer (give title below)		10% Owner Other (specily below			
200 Swallow Drive, Greenmeadows Subd.,			106-904	-025	Feb	ruary 2021							
(Street)			4. Citizenship		6. If Amendment			Senior Vice Pro	esident, Chief F	inancial Officer &			
					Original (Monti	nYear) N/A	Chief Risk Management Officer						
Quezon C	City		FILIPII	NO		14// (		Offici Prior Wal	agement onle	<u> </u>			
(City)	(Province)	(Postal Code)				Table 1 - E	Equity Securities Beneficial	ly Owned					
1. Class of Equity Security		Transaction     Date	Securities Acq	uired (A) or Dispose	ed of (D)	3. Amount of Securities Owned at End of Month 4 Ownership Form: Ulrect (U) or Indirect (I) * Ownership							
			(Month/Day/Year)				%	Number of Shares					
Common Capital Stock			Fobruary/1/2021	Amount N/A	(A) or (D) N/A	Price N/A	0.011143%	24 270	1	Thru PCD			
Commo	i Capital Stock		February/1/2021	IN/A	IN/A	IN/A	0.011143%	24,378	'	THIU PCD			
							0.000000%	(PCD)	D	N/A			
							0.00000%	(Certificated)	<u> Б</u>	IN/A			
								(Certificated)					
						TOTAL	0.011143%	24,378					
NOTE: Last	t filing of SEC Form 23-	B on June 19, 2020											
Lod	gement of 11,258 PLD	Γ common shares on Februa	nry 1, 2021										
							NOTE: Total issued and outstanding shares as of February 28, 2021 = 218,779,886						

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	Number of Derivative Securities     Acquired (A) or Disposed of (D.		5. Date Exercisable and Expiration Date (Month/Day/Year)  Date Exercisable   Expiration   Expira			Title and Amount of Underlying Securities  Amount or    Amount or   Amount or   Amount or   Amount or   Amount or   Amount or   Amount or   Amount or   Amount or   Amount or   Amount or   Amount or   Amount or   Amount or   Amount or   Amount or   Amount or		8. No. of Derivative Securities Beneficially Owned at End of	9. Owner- ship Form of Derivative Security; Direct (D) or	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Date	Title	Number of Shares		Month	Indirect (I) *	
NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

Explanation of Responses:

Note: File **three (3)** copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

# Item Security and Issuer

1.

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item Identity and Background

2.

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

# Item Purpose of Transaction

3.

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item Interest in Securities of the Issuer

4.

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

5.

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item Material to be Filed as Exhibits

6.

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This

report is signed in the City of Makati on February 1, 2021.

(Signature of Reporting Person)

ANABELLE L. CHUA
Senior Vice President, Chief Financial Officer
& Chief Risk Management Officer