



Enclosure 2: Department of the Navy





THE SECRETARY OF THE NAVY
WASHINGTON DC 20350-1000

INFO MEMO

March 9, 2020

FOR: OFFICE OF THE UNDER SECRETARY OF DEFENSE (PERSONNEL AND
READINESS)

FROM: Thomas B. Modly, Acting Secretary of the Navy

A handwritten signature in black ink, appearing to read "T. B. Modly", is written over the "FROM" line.

SUBJECT: Fiscal Year 2019 Department of Defense Annual Report on Sexual Assault in the
Military

- As requested within the “Under Secretary of Defense for Personnel and Readiness” memorandum of September 23, 2019, the attached is provided as input from the Department of the Navy (DON) in support of the Department of Defense Annual Report to Congress on Sexual Assault in the Military for Fiscal Year 2019.
- The DON is committed to having an inclusive culture where all Sailors, Marines, and civilians thrive. The Department has an unwavering commitment to provide all impacted individuals with the best possible care and support, to hold every offender accountable for their actions, and to reduce and ultimately prevent occurrences of sexual assault.
- Recognizing sexual assault as a threat to the readiness and resilience of the fleet and to our ability to fight and win our nation’s wars, the Chief of Naval Operations, the Commandant of the Marine Corps, and I work together to combat sexual assault within our ranks. We are strengthening our reporting and support programs as we also work to identify the latest research and emerging evidence-based tools to address the attitudes, culture, and low-level behaviors that contribute to the prevalence of this intolerable behavior.
- Though there is more to do, we are confident that the Department is making important progress and that we will continue to do so until this battle is won.
- Should you require additional information, my point of contact for this action is Ms. Melissa Cohen, Director, Department of the Navy Sexual Assault Prevention and Response Office. She may be reached by telephone at (703) 697-2180 or by e-mail at melissa.e.cohen@navy.mil.

Attachments:
As stated

Prepared by: Melissa Cohen, DON SAPRO, (703) 695-4217

FY 2019 Annual Report on Sexual Assault in the Military Executive Summary: Department of the Navy

The Department of the Navy is committed to having an inclusive culture where all Sailors, Marines and civilians thrive. Sexual assault results in physical and psychological injuries for teammates, impacting unit-level effectiveness, our reputation, readiness and resilience of the Fleet; it affects our capacity to fight and win our nation's wars. The Department has an enduring commitment to:

- Reduce and ultimately prevent occurrences of sexual assault,
- Provide Marines, Sailors and civilians with the best possible care and support, and
- Hold every offender appropriately accountable for their actions.

The Department of Navy Sexual Assault Prevention and Response Office (DON SAPRO) overview

Operating under the immediate supervision of the Secretary of the Navy, DON SAPRO oversees the sexual assault prevention and response activities for the Navy, the Marine Corps, and the United States Naval Academy.

As the Secretary's principal advisor on issues relating to sexual assault, DON SAPRO provides the Secretary with the evaluation of prevention and response initiatives, through data analyses and relevant subject matter expertise. DON SAPRO also guides the Department's development of future policies and practices, aimed to eliminate sexual assault. Further, DON SAPRO partners with the Department of Defense's Sexual Assault Prevention and Response Office (DoD SAPRO), and other military services, as well as with academia and commercial industry.

To support the Department's objectives (prevention, care, accountability), during Fiscal Year 2019 (FY 2019), DON SAPRO adopted four key areas of focus:

1. Building Partnerships
2. Program Evaluation
3. Providing Resources for the Navy and Marine Corps
4. Oversight and Compliance

While not a comprehensive list, the below are examples of DON SAPRO initiatives relating to each of these areas.

1. BUILDING PARTNERSHIPS

The first area of focus has been in *Building Partnerships* between the civilian institutions and the Services. Through these partnerships, the Department is better equipped to adopt prevention best practices, while testing its more novel prevention and response approaches.

DON SAPRO's related FY 2019 activities included:

- ***Inaugural National Discussion on Sexual Assault and Sexual Harassment:*** In spring of FY 2019, DON SAPRO planned the first *National Discussion on Sexual Assault and Sexual Harassment at America's Colleges, Universities and Service Academies*. This two-day DON SAPRO-hosted event was held at the United States Naval Academy in April 2019. The event was the first-of-its-kind, bringing together the Secretaries of the Navy, Army and Air Force; members of Congress; heads of the military academies; and presidents and other representatives from more than 125 colleges and universities. Having catalyzed collaboration between institutions that had historically isolated themselves on these issues, the Secretaries agreed that the National Discussion would be a continuing annual event. Additionally, the Secretary of the Navy tasked DON SAPRO to expand the scope of this effort, through the creation of regional discussions based on the same model.

- ***Regional Discussions on Sexual Assault and Sexual Harassment:*** In September 2019, DON SAPRO led its first Regional Discussion on sexual assault and sexual harassment at America's colleges, universities and service academies. The event was cohosted with the State University of New York, and more than 250 attendees attended—representing more than 90 colleges and universities and all the Services. DON SAPRO also began preparation for FY 2020 Regional Discussions, including a February 6, 2020 event presented with the University of New Mexico in Albuquerque, New Mexico.

- ***Working Group Involvement (ongoing):*** DON SAPRO regularly participates in a number of working groups including: the DoD Character Assessment Working Group; the DoD First Line Supervisory Working Group; the DoD Men's Working Group; the DoD Prevention Roundtable; Defense Advisory Committee on Women in the Services; and the North Atlantic Treaty Organization Science Technology Organization Human Factors and Medicine Technical Team 295: Sexual Violence in the Military.

2. PROGRAM EVALUATION

Program Evaluation is essential in measuring the effectiveness of our programs. The Department is working towards both evidence-based interventions and empirically-validated forms of assessment. In FY 2019, relevant-efforts included:

- ***Prevention Plan of Action Self-Assessment (May 2019-December 2019):*** DON SAPRO supported the Navy, Marine Corps, and United States Naval Academy self-assessments and implementation relating to the DoD SAPRO's Prevention Plan of Action (PPoA). PPoA requires that Services assess, identify, and lay the foundation for key stakeholders and resources to improve efforts for concrete action to address and ultimately reduce incidences sexual assault and sexual harassment.

• **Program Evaluation Experts (ongoing):** Leveraging subject matter experts from educational institutions, the Department has helped build a framework for measuring behavioral change and program effectiveness. They have also supplied training for the military Services' prevention, research, and legal staff.

• **Centers for Disease Control (CDC) (July 2019):** DON SAPRO, the Navy and the Marine Corps representatives went to the CDC's Atlanta headquarters for briefings on the methodology for assessing the efficacy of sexual assault prevention interventions. The CDC is the nation's leader in analysis of sexual assault interventions' efficacy; therefore, by incorporating CDC's techniques into programmatic review, the Department may improve internal assessments, while also being able to compare its efforts to other federal and industry initiatives.

• **Aligning Metrics Initiative (ongoing):** Following a Regional Discussion, co-hosted with the State University of New York, DON SAPRO planned an engagement with the university on how to best identify ways that universities and military experts could create and use a standardized set of metrics for sexual assault, sexual harassment and other behaviors, to more easily compare prevalence rates. Through this alignment, we will increase our ability to identify progress, measure effectiveness of interventions, analyze trends across the nation, and make more reliable comparisons between military and civilian institutions.

3. PROVIDING RESOURCES FOR THE NAVY AND MARINES

In the third area of focus, *Providing Resources*, DON SAPRO's goal is to disseminate subject matter expertise to the Navy and Marine Corps on a strategic level, while also being a more direct resource for Sailors, Marines and civilians. Therefore, the office is developing and distributing toolkits that can inform the Fleet about existing programs. More broadly, DON SAPRO is also developing prevention programs to address command climate, reduce the incidence of destructive behaviors, and increase positive actions. DON SAPRO's FY 2019 activities in this area include:

• **Education/Training Content (ongoing):** DON SAPRO staff have led quarterly training sessions for Navy and Marines headquarters staff, as well as arranging special events such as a panel for the 32nd Annual Joint Women's Leadership Symposium and a headquarters briefing on the impact of ostracism on command climate. DON SAPRO staff have been featured presenters at Navy and Marines' training sessions, provided overviews of the Department's strategic priorities relating to sexual assault prevention and related services. DON SAPRO has also offered other subject matter expertise for activities such as Sexual Assault Awareness and Prevention Month events.

• **Development of Climate Assessment/Prevention Tools (ongoing):** DON SAPRO and key stakeholders have collaborated on the assessment of command climate factors that may be predictive of military sexual assault, as well as on development of tools to help commanders respond to these factors. This has been in

conjunction with the redesign of the Defense Equal Opportunity Climate Survey (DEOCS).

4. OVERSIGHT AND COMPLIANCE

DON SAPRO's fourth area of focus, *Oversight and Compliance*, has helped ensure that the Secretariat, Navy, Marine Corps and Naval Academy have fulfilled all congressional and related mandates and compliance efforts. Examples of DON SAPRO's FY 2019 Oversight and Compliance actions include:

- ***Policy Review and Promulgation:*** As the Secretary's principal policy advisor, DON SAPRO has supported the Secretary during policy deliberations such as those relating to the Sexual Assault Accountability and Investigation Task Force, National Defense Authorization Act provisions, Navy's revision of its sexual assault prevention instruction, and the Department-wide implementation of the "Catch a Serial Offender" Program.
- ***Site Visits (ongoing):*** In addition to formal assessments, DON SAPRO representatives met with commanders, military criminal investigators, Sexual Assault Prevention and Response Coordinators and Victim Advocates, Victims' Legal Counsel and related key stakeholders. Among those sites visited: Fleet Forces Command; United States Marine Forces Command; the USS EISENHOWER (CVN-69); USS BOISE (SSN 764); and USNA.
- ***Coordination and Analysis of Annual Reports to Congress (current/ongoing):*** In addition to its supervisory and coordination role for this FY 2019 report, DON SAPRO was involved in the execution of the *forthcoming Workplace and Gender Relations Survey of Reserve Component Members* and the United States Naval Academy's submission for the *Military Service Academy Annual Report for Academic Program Year 2018-2019* (released in January 2020).

UNITED STATES NAVY AND UNITED STATES MARINE CORPS OVERVIEW

The Navy and Marine Corps have continued to make progress in the reporting of sexual assault, with more victims willing to report sexual assault and to access support programs. Attached to this executive summary are the two comprehensive reports from both services, outlining their dedicated and steadfast efforts for FY 2019.

For FY 2019, the Navy and the Marine Corps carried out self-assessments based upon the Department of Defense's Prevention Plan of Action (PPoA), a holistic approach to prevention. Their PPoA assessment efforts were led by subject matter experts and key stakeholders, including experts in sexual assault prevention and related behavioral fields (such as suicide prevention, substance abuse, and diversity and inclusion). Through these assessments, the Services identified key prevention strengths and next steps for further improvement.

At the same time, the Navy and Marine Corps have been improving existing programs, with a focus on new and updated SAPR-related training. For example, the Navy has been increasing training opportunities for leaders, mandating advanced training for all Navy Criminal Investigation Service (NCIS) special agents, and updating victims' advocacy training curricula. The Marine Corps, for example, completed revisions to victims' advocacy training, and has been customizing its programs to better address the unique needs across age, rank and location. In addition, they have focused on the training and creation of a standardized measurement program for sexual assault prevention and response training content.

Navy and Marine Corps leadership are at the helm of all prevention efforts: The Chief of Naval Operations leads a 4-Star Flag Officer "Culture of Excellence" Governance Board that addresses how the Navy can counter sexual assault and other destructive behaviors, while promoting positive signature behaviors. Similarly, the Commandant of the Marine Corps focused on the priority of combatting sexual assault in his 2019 Commandant's Planning Guidance. The Sergeant Major of the Marine Corps and the Master Chief Petty Officer for the Navy have also both been outspoken advocates for prevention efforts across the Fleet, as have other senior leaders across the Fleet.

Both services have also been implementing the new "Catch a Serial Offender" program, which empowers victims who filed a restricted report to disclose information about their assailant or assault in a safe and confidential way, is aimed at the removal of serial predators from our ranks.

On the prevention side, the Navy and Marines have been adopting empirically-based prevention approaches, while developing new, innovative scientifically-based interventions. Both Services are moving to more use of data analytics, to better understand when Sailors and Marines are at increased risk, and how to tailor programs to address these circumstances.

More specifically, Navy is taking a more holistic approach to prevention through the Culture of Excellence (COE), an overarching philosophy that aims to achieve warfighting excellence by fostering toughness, trust and connectedness - psychological, physical and emotional toughness, organizational trust and transparency, and inclusion and connectedness among every Sailor, family member and civilian throughout their Navy journey. COE embraces a public health approach for preventing destructive behaviors, promoting Signature Behaviors (positive behaviors) and leveraging science and data analytics.

The Marine Corps is taking a more focused approach to development and evaluation of the annual required SAPR training. With the creation of SAPR Standardized Evaluation Measurement Program (STEMP) system, Headquarters SAPR will have the evaluation data on quality of instruction and effectiveness of training content, knowledge change in participants, and intent to intervene. Initially STEMP's implementation is focused on "Take a Stand" Non-commissioned Officer Bystander Intervention Training, but the goal is to

employ the STEMP process with all SAPR annual trainings, Sexual Assault Response Coordinator initial training, and SAPR Victim Advocacy initial training by Fiscal Year 2021. SAPR is leading the way with this evaluation which will ultimately be replicated across the other Marine and Family Programs Divisions curricula.

In summary, the Department of the Navy has been collaborative, forward leaning and dedicated in its efforts to prevent and respond to sexual assault. While there is much more work to be done, a holistic approach is being implemented, spanning leadership engagement to deliberate evidence based intervention.

FY 2019 Annual Report on Sexual Assault in the Military Executive Summary: United States Navy

The following Executive Summary Template should be used to capture a strategic summary of your submission regarding the progress made and principal challenges confronted by your SAPR program from October 1, 2018 through September 30, 2019. This summary should be written from a high-level perspective, and emphasize key messaging points for your Military Service that link major actions taken throughout the year.

The Navy's Sexual Assault Prevention and Response Program reflects the Navy's force-wide commitment that sexual assault is not tolerated, condoned, or ignored. Sexual assault is a threat to the United States Navy that adversely impacts readiness, morale, and retention. We are focused on developing and implementing strengthened primary prevention efforts and increasing victim reporting, support, and resiliency. Accordingly, Navy leadership is aggressively pursuing a more dynamic, team-focused prevention strategy while requiring continued critical evaluation of prevention programs to eliminate negative behaviors and prevent harmful incidents from happening. The Navy remains committed to cultivating an environment of mutual dignity and respect for all, in which Sailors look out for their shipmates, victims are supported, and offenders are held appropriately accountable.

Endeavoring to establish a shared understanding and more holistic approach for addressing workforce readiness, the Navy developed an overarching prevention effort plan that focuses specifically on building capacity and capability for primary prevention. Additionally, the Navy conducted a headquarters-level Prevention Plan of Action self-assessment to determine strengths and gaps in its primary prevention system. The Navy is implementing policies, programs, practices, and processes that prevent the onset of destructive behaviors through a proactive, population-based public health approach. Response efforts remain an integral and critical component for strategies addressing the welfare of the Navy workforce; however, a comprehensive prevention system and process will reduce risk factors and increase protective factors throughout Sailors' careers to move the Navy to the left of psychological, physical, and behavioral health issues.

To that end, the Navy has continued its Culture of Excellence 4-Star Flag Officer Governance Board chaired by the Chief of Naval Operations to define and align the Navy's efforts to counter destructive behaviors and promote signature behaviors that reflect the Navy ethos and core values. The Culture of Excellence Governance Board and its associated working group lead efforts to establish a culture of excellence that fosters and facilitates Signature Behaviors rather than a culture of mere policy compliance. The Navy's Culture of Excellence framework strengthens the Navy's warfighting and mission effectiveness by developing psychological and physical toughness, building trust through transparency, and maintaining a sense of belonging and connectedness in every Sailor, civilian, and family member. This framework connects the Navy's various resilience and prevention efforts and is grounded in three lines of effort: leveraging data analytics, developing a behavior learning continuum to establish primary prevention touchpoints across a career, and implementing evidence-based policies, programs, practices, and processes.

Commanders and front-line supervisors complement these efforts through sustained emphasis on appropriate behavior, which establishes climates of dignity and respect as well as proper environmental expectations. Environmental and cultural conditions serve as drivers for healthy versus unhealthy behavioral decisions that Sailors make. Key factors such as connectedness, toughness, and trust are associated with choices that simultaneously promote Signature Behaviors and reduce destructive behaviors. Thus, leadership engagement and positive examples reinforce what “right” looks like and help prevent unacceptable behavior, including sexual assault. Pilots, such as the virtual leadership coaching program, will be implemented to provide scaled and appropriate content to Sailors through state-of-the-art learning technologies. The overall aim of persistent deckplate leadership and improved educational platforms is to produce more resilient Sailors and a more lethal workforce; this is achieved by building relational knowledge and skills and by reinforcing healthy environmental and social conditions to increase Sailor readiness and holistic well-being.

Concurrent with its drive toward better primary prevention, the Navy continued to refine response, investigative, and accountability capabilities through readily available high-quality services and support resources provided by well-trained and accessible personnel. Victim assistance and advocacy services address victim physical, mental, and emotional well-being, instill confidence and trust, strengthen resilience, and encourage victims to report. Resources to support this ongoing capability include Sexual Assault Response Coordinators, civilian and unit Sexual Assault Prevention and Response Victim Advocates, Deployed Resiliency Counselors, Victims’ Legal Counsel, Chaplains, and medical providers.

Naval Criminal Investigative Service continued their training efforts with a goal of having all agents and investigators trained and available to respond to allegations of sexual assault. This effort, along with sustained collaboration with Special Victim Investigation and Prosecution personnel is crucial for a holistic approach to sexual assault investigations and to victim participation. Through the expansion of training courses, Judge Advocate General personnel improved the quality of legal support to Sailors who reported sexual assault.

The Navy’s efforts reinforce the expectation that every member of the Navy total force will uphold an environment of dignity, respect, and trust. Adhering to standards of professional behavior and maintaining an environment of mutual respect are critical to establishing a Culture of Excellence. The Navy will leverage data analytics to make informed decisions and focus on outcomes rather than processes. The Navy recognizes that every member of the team must be actively engaged to create social norms conducive to preventing sexual assaults. Leaders at all levels will continue to champion the Navy’s primary prevention efforts so that all Sailors possess the attitude, knowledge, behavior, and skills to keep themselves and their shipmates safe on and off duty. All Sailors deserve, and must expect, a safe and secure work and living environment, and a culture intolerant of destructive behaviors.

1. Goal 1—Prevention: “institutionalize evidenced-based, informed prevention practice and policies across the Department so that all Military Service members are treated with dignity and respect, and have the knowledge, tools, and support needed to prevent sexual assaults.”

1.1 Prevention Plan of Action (PPoA) Self Assessment: Provide a summary of your Military Service’s PPoA Self-Assessment findings. Include in the description the scope of your Self-Assessment prevention activities. (SecDef Memo, *Actions to Address and Prevent Sexual Assault in the Military (May 1, 2019)* / OUSD-PR Memo, *Execution of the Department of Defense Sexual Assault Prevention Plan of Action (April 26, 2019)* / DoD Prevention Plan of Action 2019-2023 (April 2019))

The Navy conducted the Prevention Plan of Action (PPoA) self-assessment in accordance with Department of Defense (DoD) guidelines at the headquarters (HQ) level and used the findings to determine the needs, priorities, next steps, and resources required to sustain progress toward reducing sexual assault prevalence in the Navy. The Navy’s overarching prevention strategy includes implementing the PPoA goals and establishing and maintaining a Culture of Excellence (COE) throughout the Navy. The PPoA is an honest reflection, analysis, and assessment of past initiatives to forge the best way forward. Embracing a public health approach, COE aims to achieve warfighting excellence by fostering psychological, physical, and emotional toughness; promoting organizational trust and transparency; and ensuring inclusion and connectedness among every Sailor, family member, and civilian throughout their Navy journey. This emphasizes a higher standard of excellence as a progressive warfighting organization, which leverages human capital to promote Signature Behaviors (what “right” looks like) while simultaneously preventing destructive behaviors as the Navy continues to mature its critical response capabilities.

Sexual assault prevention requires a holistic, comprehensive approach focusing on the three tiers of prevention (primary, secondary, and tertiary) operating within the social-ecological model (SEM) in conjunction with Navy Sexual Assault Prevention and Response (SAPR) strategies, and, finally, executed with unity of effort toward the desired end state. The Navy acknowledges the role that destructive behaviors play in sustaining environments and harmful attitudes contributing to incidents like sexual assault and sexual harassment. Thus, the Navy self-assessment included sexual assault, sexual harassment, substance misuse (includes drug and alcohol misuse), and suicide prevention, as well as Inclusion and Diversity (I&D) at the HQ level. However, this summary addresses only the SAPR portion of the PPoA self-assessment. For the purpose of this report, Navy HQ refers to the Navy’s 21st Century Sailor Office (N17). A team of more than 20 personnel, including an 8-member final rating panel, assessed N17’s alignment with 24 DoD-established criteria comprised of the following 9 elements: Leadership, Prevention Workforce, Collaborative Relationships, Data, Resources, Policy, Comprehensive Approach, Quality Implementation, and Continuous Evaluation. All criteria were rated based on prevention activities occurring over the last 12 months.

During the PPoA self-assessment, N17 identified key strengths and gaps for each self-assessment element. N17 leadership has significant strengths, including support for prevention staff and evidence-based prevention decisions and programs grounded in

proven research and evaluation. N17 has a clear plan for aligning best practices and next steps as outlined in the PPOA. N17's COE includes promising primary prevention initiatives to inform policy updates under the "Simplify and Align" umbrella of work. N17's most significant strength is its comprehensive approach to primary prevention, as evidenced by the Navy's active prevention capacity and capability-building through Fleet-wide training being developed and implemented with Command Resilience Teams (CRT). The Navy's recognition of I&D as a critical component for COE is reflected in its strong leadership support and recruitment goals. N17 will continue its progressive activities in primary prevention by translating best practices to lower echelon commands Navy-wide.

N17 still has much work to do to achieve a fully functioning and mature prevention system. However, efforts already underway show great promise as N17 implements primary prevention for sexual assault and other destructive behaviors within the Navy. Recognizing the interconnectedness between the risk and protective factors for these behaviors, the Navy's COE supports healthier outcomes that feed into optimal performance and enhanced warfighting excellence. The Navy drives toward this COE by holistically addressing primary prevention for the range of destructive behaviors and by promoting I&D.

1.2 Self-Assessment Elements: For each Self-Assessment element (Human Resources, Collaborative Relationships, Infrastructure, Comprehensive Approach, Quality Implementation, and Continuous Evaluation) provide a brief summary and examples of key strengths and gaps. *(SecDef Memo, Actions to Address and Prevent Sexual Assault in the Military (May 1, 2019) / OUSD-PR Memo, Execution of the Department of Defense Sexual Assault Prevention Plan of Action (April 26, 2019) / DoD Prevention Plan of Action 2019-2023 (April 2019))*

Human Resources – Leadership

Leaders at all levels are key to championing the Navy's prevention efforts but for the purposes of the PPOA self-assessment, leadership is defined as the 21st Century Sailor Office Director, Executive Director, Deputy, Behavioral Development and Performance Branch Head, and Compliance and Competencies Branch Head. Leadership also includes Chief of Naval Personnel (CNP), Vice Chief of Naval Operations (VCNO), Chief of Naval Operations (CNO), and their deputies, but the self-assessment primarily focused on leadership within N17.

N17 leadership consistently leverages the expertise of its Prevention Highly Qualified Expert (HQE), as well as subject matter experts (SME) in all areas of destructive behavior and I&D. Prior to making prevention decisions, leadership often asks its HQE and SMEs for available research, as well as contextual and/or experiential evidence regarding pending initiatives. The N17 HQE and other SMEs provide briefings, information papers, and data regarding practical application gleaned from other similar programs and initiatives in and out of the military. Currently, evidence-based prevention information flows across N17 through a combination of leadership reaching out to ask questions or conversely, the HQE and SMEs driving information up to inform leadership about evidence-based prevention.

N17 considers all of its staff to be part of the prevention workforce, but most are not specifically dedicated or trained for primary prevention. Navy leadership's imperative to shift emphasis to primary prevention required a reorganization of the N17 team to allow for a continued focus on policy and compliance and a new and sustainable effort at behavior development and performance. While the newly structured organization continues to settle into their redefined roles, leadership now has an improved ability to direct and collaborate on primary prevention activities.

Despite the nascent nature of primary prevention knowledge within the Navy, N17 laid the groundwork for evaluating prevention practices. For example, the Navy worked with Boston University to conduct a longitudinal randomized controlled trial (RCT) on the One Love Foundation's Escalation Workshop with 335 Navy Sailors. The Escalation workshop includes a short film about college-age intimate partner violence followed by a peer-facilitated discussion regarding abusive versus healthy dating relationships. The control trial revealed statistically significant improvements in Sailors' attitudes about dating abuse and bystander intervention, including one type of prevention-oriented bystander behavior. The positive findings suggested the Escalation Workshop should be implemented more broadly across the Navy. As a result, leadership supports expansion of this prevention program, as well as continuous evaluation of its effectiveness. The Escalation Workshop is one of the few prevention activities N17 actively evaluates, but N17 has also started the process for evaluating the Navy's CRTs. N17 leadership recognizes a need for more systematic and routine evaluation with all Policies, Programs, Practices, and Processes (P⁴).

The Navy's implementation of COE is leadership-driven and evidence-informed, and it has significant potential for increasing the Navy's primary prevention capacity and capability. However, an existing gap is the reliance on one HQE to inform leadership with a small contingency of specialized experts lacking institutional program area knowledge and primary prevention experience.

Human Resources – Prevention Workforce

The Navy is building its primary prevention capacity and capability through efforts to hire and train staff. The Navy expanded its academic, behavioral, and research capability with the addition of a prevention-focused HQE to advise leadership on initiatives focused on the prevention of destructive behaviors that directly impact the readiness and retention of military members. The team of science-focused professionals brings outside academic, agency, and industry experience to advise Navy leadership on the most relevant empirical and evidence-based practices. N17 strengths include its focus on building skills, including the availability of resources and support for training and professional development. N17 will build prevention capacity and workforce, primarily ensuring more personnel are dedicated and/or properly trained in primary prevention. N17 has a clear way forward that aligns with best practices and next steps as outlined by DoD Sexual Assault Prevention and Response Office (SAPRO) in the PPOA.

Collaborative Relationships

The Navy collaborates internally and externally with academia, fellow Services, and other federal and non-federal organizations when investigating research-informed and evidence-based prevention strategies aimed at reducing, and ultimately eliminating, destructive behaviors. The Navy has collaborated with organizations both internal and external to the military on several pilots and studies related to prevention and has implemented some evidence-based prevention that addresses the full spectrum of behaviors. Internally, N17's COE Working Group (WG) is a primary means of collaboration.

Externally, N17 coordinated with Boston University for the One Love Escalation Workshop pilot and is expanding the program by training Navy personnel to be master trainers and facilitators in the Pacific Fleet area. Additionally, N17 worked with the Centers for Disease Control and Prevention (CDC) Violence Prevention Technical Assistance Center (VPTAC), Embry-Riddle Aeronautical University, and Human Factors and Classification System (HFACS) Incorporated to provide primary prevention and Human Factors Process (HFP) training to more than 200 members of the Navy's prevention workforce (primarily CRT members).

N17 has also coordinated with several institutions to develop evidence-based artificial intelligence (AI) tools to assist with risk awareness and mitigation for Commanders, as well as individually tailored education for Sailors. Other specific examples include, but are not limited to:

- Center for Naval Analyses (CNA) Sailor Life Cycle Data Requirements: This study addresses the leadership and life skills required for a Sailor's professional and personal success, the timing by which these skills should be introduced and reinforced to reduce knowledge decay, and matching the skills to prevent specific destructive behaviors such as sexual assault. Specifically related to interpersonal effectiveness are intrapersonal skills such as appreciation for, and embracing, diversity, self-regulation, self-awareness, and personal responsibility; interpersonal skills such as healthy relationships and empathy/perspective-taking; and cognitive skills such as critical thinking/reasoning, problem-solving, and decision-making. This supports the development of life skills in multiple core areas.
- CNA Multilevel Risk and Protective Factors for Interpersonal Violence: This study considers the complex interplay between individual, relationship, community, and societal risk and protective factors that support effective primary prevention efforts for the Navy. In line with the DoD strategic plan, this study has helped Navy identify activities that advance primary prevention by reducing risk factors associated with interpersonal violence.
- Booz Allen Hamilton Counter Workplace Behaviors Baseline: Data analysts are reviewing various databases to establish behavioral incident baseline numbers by platforms and installation. Results will provide leadership with information on

sexual assault trends relevant to their unit and the resources necessary to respond to those trends.

- RAND Risk and Protective Factors Assessment for Sexual Assaults: RAND is exploring alternative approaches to clustering risk that will clarify whether risk is associated with ship class, operations, home ports, shop duties, commands, or other organizational and operational characteristics. Results will help develop targeted prevention strategies for high-risk communities.

N17's efforts to leverage outside expertise expand our point of view through access to diverse research work to include cutting-edge advances in the prevention of sexual assault and multiple other destructive behaviors. These efforts also support DoD SAPRO's strategic plan "to facilitate a comprehensive and coordinated approach to addressing SAPR efforts in the military."

Infrastructure – Data

N17 has identified key contributing factors of military sexual assault across a sub-set of its populations and program evaluations occasionally assess for these factors. These factors were determined based on the aforementioned studies by CNA, Booz Allen Hamilton and RAND, as well as through Defense Organizational Climate Surveys (DEOCS) and the associated command climate assessment process. The Navy's approach to primary prevention focuses on strategies that prevent destructive behaviors and promote positive behaviors by decreasing risk factors and increasing protective factors and healthy behaviors. N17 has identified alcohol, sexual harassment, and negative command climate as contributing factors of sexual assault across the population. N17 data additionally identified junior enlisted and younger Sailors a higher risk for sexual assault.

Prevention-related data from the Defense Sexual Assault Incident Database (DSAID) is synthesized on a monthly basis to form a Common Operational Picture for leadership. At a strategic level, a primary metric to assess the effectiveness of sexual assault prevention efforts is the prevalence of sexual assault, measured biennially via the Workplace and Gender Relations Survey Active/Reserve (WGRA/R). The combination of DSAID data and survey reports provides an expansive understanding of the reporting environment and the outcomes involved, but it remains a challenge to regularly synthesize the various information in a clear way to inform leadership action for prevention.

Diverse (in experience and expertise) groups of Service Members outside of HQ offices are regularly asked to provide input into strategic prevention decisions and prevention activities, and leaders and prevention staff often take Service Member opinions into account when making decisions. In addition to data science, N17 accounts for Fleet feedback via the COE Working Group (WG). The COE WG membership includes representatives from various SAPR stakeholders and other operational and administrative organizations (e.g. Commander, U.S. Naval Forces Europe - Commander, U.S. Naval Forces Africa (CNE-CNA), Commander, U.S. Fleet Forces Command (CFFC), Commander, U.S. Pacific Fleet (CPF), U.S. Naval Academy (USNA), Master Chief Petty

Officer of the Navy (MCPON), Naval Inspector General (NAVINSGEN), Naval Supply Systems Command (NAVSUP), and Chief of Chaplains (CHC)).

Members of the COE WG have been instrumental in providing input and feedback for multiple N17 initiatives, which all have elements of primary prevention – Primary Prevention Logic Model development, Getting to Outcomes (GTO), “Simplify and Align”, and Perform to Plan (P2P). N17 continues to apply data science and advanced analytics to deepen its comprehensive understanding of sexual assault incidents to inform future prevention pilot programs, studies, and revisions to SAPR P⁴.

Infrastructure – Policy

Navy policies do not impede comprehensive evaluations of sexual assault prevention efforts, and at least one policy encourages evaluation. However, proper assessment of outcomes regarding Navy-specific sexual assault prevention efforts is challenging due to Health Insurance Portability and Accountability Act (HIPAA) restrictions and other privacy related barriers to data collection. Additionally, existing policies do not identify roles/responsibilities or professional development of HQ primary prevention staff. An emerging COE policy, in alignment with the PPOA self-assessment, will outline roles and responsibilities of the CRT and other prevention workforce personnel. N17 staff is also in the beginning stages of incorporating primary prevention into the various policies pertaining to destructive behaviors.

Infrastructure – Resources

For sexual assault prevention efforts, N17 has a plan to fully sustain funding for effective activities in all four of the following areas: 1) staffing; 2) adaptation; 3) implementation and; 4) evaluation. However, due to lack of flexibility in the Program Objective Memorandum (POM) cycle, current fiscal year (FY) funding requirements must be offset. Thus, unless the full scope of adaptation is known and can be programmed for, properly funding adaptation may not always be achievable. N17 partners with Navy’s Director, Research, Assessment & Modeling (OPNAV N1T) and other internal and external entities to ensure enduring research and evaluation efforts.

There are dedicated resources to routinely fund N17 prevention staff for professional development and training on all prevention activities. Because the dedicated and trained prevention staff at N17 is small, N17 is able to properly fund all professional development and training for its staff based on the prevention activities being implemented. However, as further training and education of existing prevention staff increases, funding will also need to increase.

Based on current and pending contracts, N17 has clear plans to prioritize and distribute resources, and those plans are regularly followed. All plans rely on DoD funding and Congressional appropriations. Newly implemented, major programs require the Navy to work through the formal Planning, Programming, Budget and Execution (PPBE) process to acquire funds and properly document a new Program of Record.

Comprehensive Approach

In FY19, N17 made significant progress to increase Fleet and key stakeholder leadership's understanding of the COE prevention efforts, the Primary Prevention Logic Model that informs the development and assessment of primary prevention efforts throughout the Navy, and overarching strategies for prevention efforts with sexual assault and other destructive behaviors. These efforts will build the Navy's capacity to prevent the onset of unhealthy behaviors and enhance readiness and mission accomplishment in a new age of Great Power Competition.

Quality Implementation

N17 has made significant strides with its "Simplify and Align" initiative. However, significant coordination and oversight is required to complete the assessments. To institutionalize the process, N17 will use the CNO's Planning Board for Training and the COE Governance Board to provide periodic status updates. Ultimately, this process provides avenues for reducing burden on the Fleet from duplicative and ineffective programs while allowing for more strategic, comprehensive, and impactful prevention efforts.

Navy has two GTO pilots sponsored by DoD SAPRO. The pilots are part of the Applied Prevention Project (APP) Phase II to implement an evidence-based sexual assault prevention intervention and measure its effectiveness over time. GTO is a RAND developed evidence-based ten step process to help identify, select, plan, evaluate, and improve strategies to prevent sexual violence. The GTO pilots are being conducted in the Norfolk area with Commander, Naval Surface Forces Atlantic (CNSL) and Commander, Naval Installations Command (CNIC) and are focused on preventing interpersonal violence through healthy relationships. The goal for the pilots is to implement and assess prevention programs, build prevention capacity, and validate the effectiveness of the GTO process for operational units and shore-based organizations.

Continuous Evaluation

To address the need for continuous evaluation, N17's Behavioral Development and Performance branch established the Evaluation and Feedback section focused on assessing the SAPR Program P^4. The assessment process will include a specific evaluation model (baseline, implementation, monitoring, and impact assessments) and employ tailored assessment tools (e.g., approved surveys/polls to assess Sailor perceptions and efficacy of policies, prevention efforts, and education). Data will be systematically collected and analyzed to provide leadership with findings and recommendations regarding the efficacy of Navy's prevention activities. Navy will also use evaluations to refine its Primary Prevention Logic Model, which incorporates human factors information based on the HFP. As advocates for the Navy's COE, CRT members embarked on a training continuum of primary prevention and HFP in 2019, and they will contribute to the evaluation process by leveraging DEOCS and other command climate

assessment information. The first evaluation being developed for implementation is on the CRT program.

1.3 Future Plans: Based on your Military Service’s Self-Assessment, summarize leadership-approved priority actions and next steps for sexual assault prevention, including any key considerations or barriers to achieving the priorities. Include a description of progress towards Phase II of the PPOA execution – plan of action and milestones and logic model development. (SecDef Memo, *Actions to Address and Prevent Sexual Assault in the Military (May 1, 2019)* / OUSD-PR Memo, *Execution of the Department of Defense Sexual Assault Prevention Plan of Action (April 26, 2019)* / DoD Prevention Plan of Action 2019-2023 (April 2019))

The Navy’s self-assessment determined that, in general, N17 has successfully expanded Navy’s primary prevention capability. The assessment further concluded that Navy has a particularly strong and comprehensive approach to prevention, collaborative relationships, leadership, and policy. In the criteria assessing prevention workforce, data, resources, quality implementation, and continuous evaluation, Navy identified some gaps in capacity and capability that will be addressed during execution of the PPOA. In an effort to establish a shared understanding and more holistic approach for addressing workforce readiness, the Navy developed an overarching plan for its prevention efforts. Specific focus is on building primary prevention capacity and capability and promoting Inclusion and Diversity. Response efforts remain an integral and critical component for strategies addressing the welfare of the Navy workforce. However, a comprehensive prevention system to get to the left of psychological, physical, and behavioral health issues will reduce risk factors and increase protective factors throughout Sailors’ careers. To ensure the success of this comprehensive prevention system, Navy leaders must afford subordinates the necessary time and resources required for formative program evaluation, quality implementation, and continuous process and outcome evaluations. Primary prevention change is a generational effort requiring ongoing monitoring, evaluation, and feedback.

As part of the COE initiative, N17 is developing tools to help all Sailors better understand the precursor behaviors that can ultimately lead to destructive behaviors. Activities underway by the COE WG, and several research pilots being implemented by N17 and its collaborating partners, consider aspects of sexual assault, sexual harassment, substance misuse, suicide, and other destructive behaviors. These efforts include leveraging data analytics with partners such as the Army Analytics Group to develop a Personnel-Event Data Environment that gives new insights and improves current prevention efforts by providing commanders with relevant synthesized information regarding the overall health of their unit. A critical component of this work is the development of a Commander’s Risk Mitigation Dashboard (CRMD), which will use machine learning algorithms to analyze information from nearly 30 databases to provide commanders a visual representation of command trends and potential risks at the unit level. The CRMD will be used in conjunction with CRTs, HFP, and the Personal Assistant for Lifelong Learning (PAL3) application to understand and address risk factors contributing to destructive behaviors and the protective factors that may prevent them. This data-based real-time awareness of a command’s health is essential for operational readiness and warfighting effectiveness.

N17 will continue to develop and deliver training to ensure that all leaders engaging in primary prevention activities have an understanding of prevention and the tools and resources to drive prevention efforts forward. In addition, N17 will update policy to clarify roles, responsibilities, and standard operating procedures for prevention staff and prevention program management and oversight.

N17 created a prevention logic model with strategic guidance for all current and future prevention activities to help identify gaps and areas of duplication. The Navy Primary Prevention Logic model forms the basis of our comprehensive approach and aims to address the full spectrum of destructive behaviors from the headquarters to the individual unit level. The results of the PPOA self-assessment will help refine Navy's existing primary prevention logic model and subsequent Plan of Action and Milestones (POAM). A prime focus of the POAM will be for Navy to update its P⁴ to increase promotion of I&D and to target risk and protective factors for sexual assault, sexual harassment, substance misuse, and suicide. At this time, N17 is on track to complete execution of PPOA Phase II by June 2020.

2. Goal 2—Victim Assistance & Advocacy: “deliver consistent and effective advocacy and care for all military Service members or their adult dependents, such that it empowers them to report assaults, promotes recovery, facilitates dignified and respectful treatment, and restores military readiness.”

2.1 Strategic Summary: Summarize your efforts to achieve the Victim Assistance & Advocacy goal. In this strategic summary, include significant updates and/or force-wide changes and/or initiatives begun or completed by your Military Service in FY19. As applicable, include any initiatives employed with targeted subgroups (e.g., male victims) or specific locations (e.g., barracks). There is no need to repeat prior Annual Report submissions if these processes have remained largely the same as in previous years. (DoD Sexual Assault Prevention and Response Strategic Plan, 2017-2021 (December 1, 2016), Goal 2 – Victim Assistance & Advocacy, p. 7)

The Navy achieves Victim Assistance and Advocacy by maintaining readily available high quality services and support capabilities provided by well-trained and accessible personnel. SAPR services address victim physical, mental, and emotional wellbeing, instill confidence and trust, strengthen resilience, and encourage victims to report. This support capability includes Sexual Assault Response Coordinators (SARC), civilian and unit SAPR Victim Advocates (SAPR VA), Deployed Resiliency Counselors (DRC), Victims' Legal Counsel (VLC), Chaplains, and medical providers. The Navy's response program continues to mature and deliver consistent and quality care and advocacy to Sailors across the Fleet.

Commander, Naval Installations Command HQ SAPR executes response components of the Navy's SAPR program. Installation SARCs oversee both civilian and unit SAPR VAs under their purview, ensuring integrated advocacy and response. SARCs provide program implementation guidance within their area of responsibility and serve as conduits for information flow to and from CNIC HQ SAPR. SARCs serve as the single point of contact

for coordinating sexual assault response when a sexual assault is reported. SAPR VAs facilitate care and provide referrals and non-clinical support and advocacy to victims. The Navy SAPR program currently has 103 civilian SARCs (95 filled), 4 active duty SARCs, 108 civilian SAPR VAs (78 filled), and 5,636 Unit SAPR VAs (uniformed, collateral duty).

Throughout FY19, CNIC HQ SAPR executed a series of SAPR Leadership Symposiums across the enterprise. A coordinated mobile training team was established to conduct a mandatory, one-day leadership symposium beginning in late Spring 2019. The goal of the symposium was to ensure installation leadership is aligned with policy and that procedures are standardized across the enterprise to enable and support Fleet readiness and resiliency. The mobile training team consisted of representatives from CNIC HQ and N17. The training focused on enhancing practical skills to manage SAPR program operations along with legal and policy requirements and updates. Training participants included installation commanding officers, SARCs, civilian SAPR VAs, and other region and installation leadership personnel who oversee the SAPR program. A large portion of the training was dedicated to clarifying roles and responsibilities of SARCs and SAPR VAs. By providing regionally based symposiums, unique geographic challenges and opportunities were highlighted and resolved.

To further ensure delivery of consistent and effective advocacy, CNIC HQ released an updated SAPR Commanding Officer Toolkit. The SARC delivers the Toolkit brief within 30 days of a new CO assuming command. The SAPR CO Toolkit ensures standardized training for all COs on SAPR response, designation of command SAPR personnel and prevention actions.

Also in FY19, CNIC HQ developed a civilian workforce brochure to increase awareness and clarify available services to the Navy's diverse workforce. Services are primarily available to Service Members and eligible dependents over the age of 18. Additionally, DoD civilians and contractors who work outside the continental United States (OCONUS) or in contingency areas of operation are eligible to receive limited SAPR services. Lastly, foreign national civilian employees in situations involving immediate crisis can also receive limited SAPR services from a SARC and SAPR VA.

The Bureau of Medicine and Surgery (BUMED) continued annual SAPR General Military Training (GMT), local Medical Treatment Facilities (MTF) SAPR drills, and local MTF Sexual Assault Forensic Examiner (SAFE) drills to provide training to medical support personnel. Enhanced Navy Medicine tele-training, provides Sexual Assault Medical Forensic Examiner (SAMFE) refresher/skill sustainment training and allows SAMFE training officers to virtually run SAFE drills. This format allows for real-time training and process improvement of the drills and care being provided. Navy Medicine created a new video on medical management of sexual assault to ensure a standardized delivery of training material to the VAs around the globe, allowing for expectation management in the role of a VA during the medical-forensic exam, as well as enhancing their knowledge of the exam and expectations for their clients.

2.2 Metrics for Assessing Victim Assistance and Advocacy: What metrics or assessment processes are being used for all Service members and/or their adult

dependents? Are these metrics identifying any trends and/or indicators on the effectiveness of your SARCs and SAPR VAs in providing a “quality response” to Service members (and others eligible for SAPR services)? (DoD Sexual Assault Prevention and Response Strategic Plan “Task List,” (December 1, 2016), Goal 2 – Victim Assistance and Advocacy, Objective 2.1, Task #7, p. 3)

CNIC HQ SAPR continues to test the effectiveness of an installation’s response system by conducting SAPR drills twice annually. The drills have consistently identified quality response from SAPR VAs, SARCs, and other first responders during the initial reporting process. Other trends identified the need to provide additional skills-based training for SAPR VAs to further enhance VA competency skills, to reinforce command duty officer procedures, and to clarify command administrative reporting requirements.

Also ongoing is the Fleet and Family Support Program Certification process. A combined onsite and offsite team conducts a comprehensive review of the implementation of the SAPR program and compliance with program policies. In FY19, 16 installation site certification visits were conducted. Trends indicated timely response, coordinated case management, and consistent program awareness and marketing of reporting options and available resources.

CNIC HQ SAPR continues to develop metrics to measure the effectiveness of victim assistance and advocacy efforts. An existing database, the Fleet and Family Services Management Information System (FFSMIS), is a tool used to measure service usage. Towards the end of FY19, CNIC HQ SAPR began a revision of FFSMIS categories to better capture accurate metrics for service provision by SARCs and SAPR VAs.

Other continued program assessments discussed in prior reports include:

- Annual installation Case Management Group (CMG) assessments
- Annual CNIC IG SAPR Program assessments
- Quarterly SAPR Collaboration Working Group meetings
- SAPR Program Advisory Group

Each of these assessments provides feedback and insight into areas of training needs, successes, best practices, and unique situations related to the Navy’s deployable operations.

The current means to assess the effectiveness of a SARC or SAPR VA in providing quality services is accomplished through Fleet and Family Support Center customer-feedback surveys. Feedback is captured and addressed by the supervisor as necessary. Additionally, CNIC HQ SAPR released an updated version of the SARC and SAPR VA self-assessment tool. This tool allows for self-evaluation as well as evaluation of a SAPR VA by the supervising SARC. Currently, these tools exist as informal means of assessing effectiveness.

A new effort during FY19 included the Navy pilot of the DoD SAPRO’s competency assessment tool. The tool structures assessments of critical behaviors associated with

role-play scenarios provided during the initial 40-hour training required for Defense Sexual Assault Advocate Certification Program (D-SAACP) certification of SARC and SAPR VAs. Specifically, the tool assesses four core critical behavior elements related to crisis intervention, victim recovery, interactions with command, and reintegration.

Regional SARCs and Navy Medicine Region SAFE Medical Program Managers routinely discuss advocacy-related issues surrounding a victim's medical treatment. Collaboration between Navy Medicine Region commands and SARCs enhance education to the VAs. BUMED and CNIC have a similarly strong working relationship in order to best support the advocates in the field who interact with SAMFEs at the MTFs.

2.3 SARCs and SAPR VA Suspension, Revocation, and Reinstatement: How many SARCs and SAPR VAs in your Military Service received a suspension? A revocation? A reinstatement? (Identify how many SARCs and SAPR VAs for each category) (DoD Sexual Assault Prevention and Response Strategic Plan, 2017-2021 (December 1, 2016), Goal 2 – Victim Assistance & Advocacy, Objective 2.1, p. 8 / DoDI 6495.03, “Defense Sexual Assault Advocate Certification Program (D-SAACP),” (September 10, 2015), Encl 3, para 3)

In FY19, the Navy suspended or revoked D-SAACP Certifications of 13 military and civilian personnel as a result of D-SAACP Code of Professional Ethics for SARCs and SAPR VAs violations. There were 5 suspensions consisting of 1 civilian SARC and 4 SAPR VAs (2 civilian, 2 active duty) and 8 revocations consisting of 1 civilian SARC and 7 SAPR VAs (1 civilian, 6 active duty). There were no reinstatements for FY19.

To address increased suspension and revocation trends, CNIC HQ SAPR reinforced D-SAACP Code of Ethics requirements as part of the SAPR Leadership Symposiums, as mentioned previously in section 2.1, to include clarifying the process for managing suspensions and revocations.

2.4 Medical Support: How many Service members who reported a sexual assault had their medical care hindered due to a lack of Sexual Assault Forensic Examination (SAFE) kits, timely access to appropriate laboratory testing resources, or other resources? (Note: This answer should be consistent with the number reported in blocks A and C of the Victim Services matrices). (NDAA for FY 2006, section 596)

BUMED did not have any reports of medical care being hindered due to lack of exam kits or other resources. All MTFs with SAFE capabilities are stocked with a sufficient number of kits to perform victim and/or alleged offender exams. A total of 749 SAFE kits exist in the Navy Medicine Area of Responsibility (AOR) inventory. The number of SAFE kits available in the Navy Medicine and Operational AORs are reported and tracked monthly. Those facilities with Memorandum of Agreement (MOA) that provide SAFE services for Navy Installations have the option of using the DoD SAFE kit provided by the MTF, or they can also use their respective state's SAFE kit.

No issues have been reported regarding laboratory testing; all SAFE kits are processed by the United States Army Criminal Investigative Laboratory (USACIL)/Defense Forensic

Science Center, and all forensic toxicology kits are sent to Armed Forces Medical Examiner System. Protocol for handling SAFE kits and toxicology kits are included in MTF protocols and in the MOA, if an MOA exists with a partnered facility.

2.5 Military Protective Orders: How many Military Protective Orders were issued as a result of an Unrestricted Report (include the number issued and number violated)? What new steps (if any) were taken in the last year to improve protections? (DoDI 6495.02, "Sexual Assault Prevention and Response (SAPR) Program Procedures," (May 24, 2017), Encl 5, para 7)

Military Protective Orders (MPO) are commonly used to ensure no contact between victims and their alleged offenders. The status of requests for MPOs as well as their expiration is included on the Case Management Group checklist and is reviewed during each meeting. Violations of MPOs and actions taken by the command and law enforcement, if necessary, are discussed to ensure the safety of the victim. Each MPO is tracked via DSAID.

In FY19, the Navy issued a total of 274 MPOs in response to allegations of sexual assault, with no violations reported.

2.6 Future Plans: Describe your leadership-approved future plans (if any) to further improve the achievement of the Victim Assistance and Advocacy goal.

CNIC HQ SAPR continuously works to develop resources to help improve victim service delivery and consistent, effective victim support, response, and reporting options. In FY20, CNIC HQ SAPR intends to focus training efforts on increasing and enhancing core competency skill-building opportunities for SARCs and SAPR VAs to achieve victim assistance and advocacy goals. Future plans include:

- Finalizing the new Navy SAPR Ethics on-demand course
- Updating the initial SARC and SAPR VA trainings
- Updating the SAPR Program Resource Guide
- Developing interactive refresher trainings that focus on building and reinforcing SARC and SAPR VA competency skills
- Increasing SARC and SAPR VA collaboration and coordination with Command Resiliency Team efforts
- Updating CNIC HQ SAPR program execution protocol and procedures
- Continuing implementation and reinforcement of the Catch a Serial Offender Program (CATCH).
- Developing enhanced training for region and installation leadership personnel who oversee the SAPR program.

BUMED intends to monitor the progress of SAMFE and medical support processes that are in place in FY19 and will respond with ad hoc training and support should any issues arise in these areas.

BUMED SAPR personnel are also engaged in supporting the Department of Health Affairs creation of a medical management of sexual assault office. Until that office is established,

the Navy will continue to have the BUMED SAPR Officer provide oversight of SAPR medical protocols and liaise with other Navy SAPR stakeholders and support personnel.

3. Goal 3—Investigation: “sustain a high level of competence in the investigation of adult sexual assault using investigative resources to yield timely results.”

3.1 Strategic Summary: Summarize your efforts for achieving the Investigation goal. In this strategic summary, include significant updates and/or force-wide changes and/or initiatives begun or completed by your Military Service in FY19. As applicable, include enhancements made to your Military Services’ Special Victim Investigation and Prosecution Capability for Military Criminal Investigative Organizations. Additionally, as applicable, comment on new training enhancements for military criminal investigators, law enforcement personnel, or first responders on sexual assault investigations and preservation of evidence. Also, consider including any new or updated efforts to collaborate and/or share military protective orders and/or conviction information with civilian law enforcement. There is no need to repeat prior Annual Report submissions if these processes have remained largely the same as in previous years. (DoD Sexual Assault Prevention and Response Strategic Plan, 2017-2021 (December 1, 2016), Goal 3 – Investigation, p. 9)

The Naval Criminal Investigative Service (NCIS) recognized in FY19 that advanced sexual assault training is required for all NCIS special agents, and as a result, included the Advanced Adult Sexual Assault Investigator Training Program (AASAITP) curriculum into the Special Agent Basic Training Program (SABTP) for all new agents. AASAITP was developed for NCIS special agents to be compliant with the DoD Instructions 5505.18, “Investigation of Adult Sexual Assault in the Department of Defense,” and 5505.19, “Establishment of Special Victim Investigation and Prosecution (SVIP) Capability within the Military Criminal Investigative Organizations.”

NCIS does not routinely conduct direct/face-to-face engagement with civilian law enforcement for MPOs and/or conviction information. However, MPO information from the Navy is managed and submitted by NCIS to the Federal Bureau of Investigation’s (FBI) National Crime Information Center (NCIC) database. Once NCIC entries are submitted, the information is immediately available to all civilian law enforcement nationwide.

3.2 Evidence Processing Challenges: Has your Military Service had any challenges with evidence being processed at the Defense Forensic Science Center (e.g., turnaround time for processing of Sexual Assault Forensic Examination (SAFE) kits and other evidence). How did you address these challenges? (Joint Chiefs of Staff Strategic Direction to the Joint Force on SAPR (May 7, 2012), p. 11)

NCIS has experienced a delay in USACIL receiving submitted SAFE kits. The normal shipment timeline has taken upwards of 30 days for the SAFE kit to travel to USACIL. NCIS has been proactively seeking alternative methods to accelerate the shipment of SAFE kits from 30 days to a maximum of 15 days. NCIS is working on plans to obtain the authority to increase the evidence shipment budget to permit SAFE kits being shipped “expedited service” versus “regular ground” shipment. An additional challenge facing evidence processing is the USACIL pre-submission process for laboratory examinations.

USACIL initiated a policy change that requires up-front submission of DNA reference standards, for a DNA case, before USACIL will begin their analysis. While USACIL will not immediately return evidence impacted by this change in policy, they may delay the analysis of evidence until appropriate DNA standards are submitted. Many cases have been significantly delayed or required multiple submissions due to DNA standards not being included with the initial submission. If the pre-submission process is used appropriately, there may be a 7-10 day reduction in lab processing time. All field offices have been directed to work diligently with their Forensic Consultant in order to experience the best possible outcome with USACIL.

3.3 (NGB only) GAO Report: Coordination with Office of Complex Investigations (OCI): Describe NGB’s efforts to comply with the cited GAO report, specifically the recommendation to include a requirement in its guidance to collect and maintain supporting documentation as part of its case files that verifies whether and how (1) the National Guard nexus exists for verifying how state National Guard officials determined that sexual assault case acceptance criteria have been met, and (2) the allegation has been referred to the appropriate military criminal investigative organization or civilian law enforcement organization prior to opening an OCI investigation into a sexual assault allegation. (Government Accountability Office Report, GAO-19-109 (December 2018), Office of Complex Investigations Should Update Policies to Require Additional Documentation for Sexual Assault Cases)

Not Applicable.

3.4 Future Plans: Describe your leadership-approved future plans (if any) to further improve the achievement of the Investigation goal.

NCIS intends to monitor the progress of processes that were put into place in or prior to FY19. The primary focus will be ensuring proper implementation of alternative methods to accelerate the shipment of SAFE kits as discussed in section 3.2 above and on maintaining the CATCH database and executing notification of Service SAPR points of contact for potential matches.

4. Goal 4—Accountability: “maintain a high competence in holding alleged offenders appropriately accountable.”

4.1 Strategic Summary: Summarize your efforts to achieve the Accountability goal. In this strategic summary, include significant updates and/or force-wide changes begun or completed by your Military Service in FY19. As applicable, include enhancements made to the SAPR training provided to those who are affiliated with the Special Victim Investigation and Prosecution Capability program (paralegals, trial counsel, and victim-witness assistance personnel) for responding to allegations of sexual assault. There is no need to repeat prior Annual Report submissions if these processes have remained largely the same as in previous years. (DoD Sexual Assault Prevention and Response Strategic Plan, 2017-2021 (December 1, 2016), Goal 4 – Accountability, p. 9)

The Office of the Judge Advocate General (OJAG) Criminal Law Division (Code 20) continued its annual Sexual Assault Policy for the Staff Judge Advocate (SJA) course, which provides military justice and SAPR policy updates and training to judge advocates

who advise convening authorities. This training was updated to include frequently asked questions on the Military Justice Act of 2016 (MJA 2016). At the Advanced Staff Judge Advocate Course, OJAG Code 20 also provided training on sexual assault and military justice updates to judge advocates who advise General Court-Martial Convening Authorities.

OJAG Code 20 partnered with the Naval Justice School (NJS) to conduct a 3-day Special Victims Capabilities Course, which brought together SARCs, SAPR VAs, SJAs, and Legalmen to facilitate training and discussion on sexual assault victim services and support, as well as the latest SAPR issues. Additionally, NJS continued to integrate the latest developments in SAPR and Victim/Witness Assistance Program (VWAP) policies into training curricula at all levels, to include Legalman Accessions, the JAG Corps Basic Lawyer Course, and the Senior Leader's Legal Course.

OJAG Code 20 also took the lead on preparing the Navy's response for the FY19 Report to Congress on Allegations of Collateral Misconduct Against Individuals Identified as the Victim of Sexual Assault in the Case Files of a Military Criminal Investigative Organization. The data collected will be used to assess the perceived barriers to reporting experienced by some victims of sexual assault.

The Trial Counsel Assistance Program (TCAP), in coordination with NCIS, continued to provide training for two special victim courses offered monthly at the Federal Law Enforcement Training Center (FLETC) in Georgia. The two courses, Advanced Adult Sexual Assault Investigation Training Program and Advanced Family and Sexual Violence Training Program, are attended by both NCIS special agents and Navy and Marine Corps trial counsel. During these courses, TCAP specifically addressed changes regarding victim rights and the military justice system, to include changes from MJA 2016.

TCAP also partnered with NJS to provide a week-long SVIP course for trial counsel and paralegals. TCAP made site visits to every trial counsel shop in the Navy to provide advanced training on SVIP cases and changes related to MJA 2016. Furthermore, TCAP created training webinars focused on enhancing SVIP capabilities and prosecutions. These webinars enabled Navy prosecutors and paralegals to attend education programs online presented by the Navy's own and nationally recognized experts at little to no cost. Additionally, the civilian Deputy Director of TCAP and civilian Litigation Attorney Adviser provided on-scene expert assistance in several sexual assault, human trafficking, child sexual assault, and child exploitation cases. Finally, TCAP developed a stand-alone child abuse course to address specific issues related to the investigation and prosecution of child abuse, neglect, and exploitation.

The VLC training program remains robust. Required trainings include initial certification training and child victim representation training, as well as monthly instruction presented by experts and the VLC Program's week-long annual training symposium. Additional trainings include those provided by DoD entities such as NJS as well as non-DoD organizations such as the National Crime Victim Law Institute.

During FY19, the Navy VLC Program improved legal support to victims of sexual offenses by continuing its previously reported effort to enhance communication through the use of technology. All VLC laptops were upgraded or replaced to increase connectivity while traveling with the enhancement of WiFi-capabilities. All VLC report improved ability to serve their clients' interests due to the newly acquired technological capabilities.

Additionally in FY19, the Navy VLC Program re-contracted with a local Bahraini law firm to represent victims of sexual offenses in Bahraini courts. This is the fourth year of this program, which now allows all eligible victims of sexual offenses perpetrated by foreign nationals in Bahrain the ability to fully exercise their rights in court.

4.2 Metrics for Assessing Effectiveness: Provide the metrics your Military Service employs to assess the effectiveness of your Special Victims' Counsel (SVC) / Victims' Legal Counsel (VLC) program. Discuss this years metrics' outcomes and efforts to enhance SVC / VLC program effectiveness. Please update your Military Service's efforts to fund the SVC / VLC program in the POM process. (NDAA for FY 2016, section 532 / NDAA for FY 2013, section 573 / SecDef Memo (August 14, 2013), *Improving Victim Legal Support*, p. 1)

The Navy VLC Program measures success at the Navy level, at the program level, and at the victim level. At the Navy level, the Navy VLC Program is inspected on a routine basis by the Judge Advocate General/Naval Legal Service Command Inspector General (JAG/NLSC IG) through the Uniform Code of Military Justice (UCMJ) Article 6 inspection process, to include review of a VLC Self-Assessment Guide completed by each VLC office at the time of inspection, personal interviews with each VLC and support staff members, interviews with VLC Program leadership, and interviews of local SAPR stakeholders such as SARCs, VAs, and Region Legal Service Office (RLSO) personnel.

At the program level, the Navy VLC Program leadership collects a weekly report from each VLC accounting for new clients, appearances at proceedings, travel, results of specific cases, motions, and other relevant data. These reports are consolidated and provided to Commander, Naval Legal Service Command (CNLSC) on a monthly basis, with a more general weekly update provided during the intervening weeks.

Through this weekly review of reports from each VLC, leadership monitors trends in legal issues, policy conflicts, and practice trends, as well as the professional health and welfare of each VLC. In addition, CNLSC meets with the Navy VLC Program Chief of Staff (COS) by phone on a weekly basis, and meets with individual VLC during site visits.

At the victim level, the Navy VLC Program conducts an online, anonymous, and voluntary survey that all VLC clients are invited to complete at the close of their cases. Victim responses to the survey are incredibly positive. For example, 100% of clients responding to the survey indicated they believe their VLC represented their personal interests and maintained their privacy. In addition, 100% of survey respondents indicated they would recommend VLC to other victims of sexual offenses. Remarkably, the survey comments reflect a satisfaction with VLC representation even when a victim's case did not end as he or she anticipated or desired.

The Navy VLC Program COS is in regular contact with the Navy OJAG Comptroller, to include routine conversations regarding active participation in the POM process. Expenses related to program enhancements such as technology upgrades, contracting for the local counsel in Bahrain, and billet additions or changes are all included in POM planning with the Comptroller who then represents Navy VLC Program requirements during the POM process each year.

4.3 Victim's Preference for Prosecution: Describe your Military Service's process to ensure documentation and tracking of the victim's preference for prosecution by a court-martial or a civilian court with jurisdiction over the alleged offense. (DoD IG Report 2019-064 (March 20, 2019), *Audit of DoD Efforts to Consult with Victims of Sexual Assault Committed by Military Personnel in the United States Regarding the Victim's Preference for Prosecution*)

In accordance with Section 534(b)(1) of the National Defense Authorization Act (NDAA) for FY15, and the supplemental direction provided by the Secretary of Defense on 28 May 2019, Section 0128 of JAGINST 5800.7F (Manual of the Judge Advocate General of the Navy, or "JAGMAN") was modified to direct prosecutors in all cases involving a sex-related offense to obtain the victim's preference on jurisdiction in a Victim Preference Letter (VPL). The VPL is contained in Appendix A-1-q of the JAGMAN. At a minimum, the VPL will document the following: that the alleged victim was asked about a preference for jurisdiction, the victim's preference for jurisdiction (if provided), and the date when such preference was provided. A copy of this form is maintained in the original case file with the RLSO, as well as uploaded into the electronic case management system.

If the victim is unavailable after reasonable attempts to contact the victim, or otherwise declines to provide input, the trial counsel will sign the VPL and document the steps taken to contact the victim and ascertain the victim's preference. Periods of leave or deployments by the victim are normally not grounds for determining a victim is unavailable. All requests to consult with the victim and ascertain a victim's preference will be routed through the VLC, if the victim is represented by counsel.

If the alleged sex-related offense was committed in an area of concurrent jurisdiction and the victim indicates a preference for civilian prosecution, the trial counsel or trial paralegal will determine whether the victim prefers prosecution by the state or federal government or has no preference.

The trial counsel must provide a copy of the completed VPL to the cognizant commander prior to preferral of charges and to the convening authority, via the SJA, prior to the referral of charges.

Although the victim's preference for jurisdiction is not binding, at every stage of the process the cognizant commander shall consider any victim input, if provided, before making any disposition decision.

If the victim expresses a preference for prosecution in a civilian court, the trial counsel will ensure that the civilian authority with jurisdiction over the offense is notified of the victim's preference. In areas of exclusive federal jurisdiction, the trial counsel must notify the United States Attorney's Office. In areas of concurrent jurisdiction, the trial counsel must notify the prosecutorial agency or agencies in that jurisdiction of the victim's preference. The agency notified and the date of notification must be documented in the VPL contained in Appendix A-1-q.

The cognizant commander will ensure the victim is notified of the decision by the civilian authority to prosecute or not prosecute the offense in civilian court. The trial counsel will assist the cognizant commander in notifying the victim. The date the victim was notified must be documented in the VPL.

The requirement to obtain victim's preference for jurisdiction predates the VPL form. Prior to creating the VPL, trial counsel verbally informed victims of their right to express their jurisdictional preference. When victims provided their input, this was documented in the electronic Case Management System (CMS).

In cases where victims elect VLC services, it is routine for VLC to provide additional information regarding this right and to engage with government representatives (such as Trial Counsel and Staff Judge Advocates) regarding the communication of this preference, as necessary, to appropriate authorities such as civilian jurisdictions.

4.4 Catch a Serial Offender (CATCH) Program: Describe your efforts to implement the CATCH Program, to include the plan of action and milestones for force education and response personnel training. (SecDef Memo (May 1, 2019), *Actions to Address and Prevent Sexual Assault in the Military*, p. 2)

The CATCH Program is a new, confidential way for Service members and their adult dependents to provide information about their Restricted Report of sexual assault and/or the offender to DoD criminal investigators for the purpose of identifying individuals suspected of perpetrating multiple sexual assaults. Criminal investigators compare CATCH entries against other CATCH entries, unrestricted reports, and other law enforcement databases that collect crime data, to identify repeat offender matches.

If a match is identified, the victim will be notified and provided the opportunity to participate in the military justice process. The victim decides their level of involvement in the CATCH program and can decline to participate at any time. Victim information remains confidential and is not released to investigators and no investigation will occur unless the victim decides to convert their restricted report into an unrestricted report. A CATCH entry will remain in the system for up to 10 years and can be updated by the victim at any time.

The CATCH program was officially released across DoD in August 2019. The Navy executed the following POAM to support the rollout of the CATCH program and to maximize dissemination of awareness and training to appropriate stakeholders.

Navy CATCH Rollout Plan of Action and Milestones

| No. | Action | Milestones | Suspense Date (if applicable) | Stakeholders OPR in Bold | Predicted Risks/Barriers | Current Status |
|-----|---|--|-------------------------------|---|--------------------------|----------------|
| 1.0 | Establish Sexual Assault Response Coordinator (SARC) login credentials | - Identify all SARCs that need access and grant access. | 1 July 2019 | Commander Navy Installations Command (CNIC) | None | COMPLETE |
| 1.1 | SARC Training | - Use Department of Defense Sexual Assault Prevention and Response Office (DoD SAPRO) provided "CATCH 101" slide deck as a training aid for SARCs. | 30 July 2019 | CNIC | None | COMPLETE |
| 1.2 | Identify Inactive SARC Accounts | - Identify inactive SARC CATCH accounts and reset prior to launch. | 30 July 2019 | Naval Criminal Investigative Service (NCIS), CNIC | None | COMPLETE |
| 1.3 | CATCH initial Media Release/ Official Rollout | -CATCH Goes Live -Story shared on navy.mil and Chief of Navy Personnel (CNP) social media accounts | 5 Aug 2019 | DoD SAPRO, NCIS, N1/CNP, N17, N1 Public Affairs Office (PAO) | None | COMPLETE |
| 1.4 | Updated 2910 and CATCH Forms released | -DoD SAPRO provides updated Victim Preference Form (DD Form 2910) and CATCH information sheet for issuance to victims. | 5 Aug 2019 | DoD SAPRO | None | COMPLETE |
| 1.5 | ALL FLAG Email sent | -CNP distributes ALL FLAG message via Email to senior Navy leadership. Provides background and importance of CATCH program. | 5 Aug 2019 | N1/CNP | None | COMPLETE |

| | | | | | | |
|-----|---------------------------------|--|--|--------------------------------------|------|----------|
| 1.6 | Navy Media Release | -9 AUG: Navy.mil story included in the fleet-wide "USN People Weekly Wire" news wrap-up. - CATCH information sheet included in the monthly "Sailor to Sailor" Fleet-wide newsletter. | 9 Aug 2019 13 Aug 2019 | N1 PAO | None | COMPLETE |
| 1.7 | Navy Infographic release | Release of CATCH information graphic is distributed to CNIC and SAPR Officers Navy-wide. | 13 Aug 2019 | N17, CNIC | None | COMPLETE |
| 1.8 | UVA Training | -Dissemination to UVAs of training materials regarding CATCH, as needed. | Ongoing (training commenced on 1 Aug 2019 and will continue as refresher training into the future) | CNIC Regional SARC's, SARC's) | None | ONGOING |
| 1.9 | JKO Video Release | -DoD SAPRO releases CATCH Training video on Joint Knowledge Online (JKO). -N17 and CNIC notify stakeholders and SAPR response personnel of availability of training video. | 4 Oct 2019 18 Oct 2019 | DOD SAPRO, N17, CNIC | None | COMPLETE |
| 2.0 | Program Review | -SAPR stakeholders review program performance and update training and awareness efforts as required. | Quarterly review for first year: 5 Nov 2019 5 Feb 2020 5 May 2020 5 Sept 2020 | N17, CNIC, VLC, NCIS, OJAG | None | ONGOING |

In addition, VLC Program leadership has been involved in substantive discussions regarding implementation of the CATCH Program. Navy VLC have been provided training and materials on the CATCH Program so that they may advise victims interested in participating. Furthermore, VLC are in a position to identify issues with the CATCH Program from the victim's perspective and share concerns and issues with CATCH Program leadership, as needed.

4.5 Future Plans: Describe your leadership-approved future plans (if any) to further improve the achievement of the Accountability goal.

The Navy Judge Advocate General (TJAG) is an active lead on the DoD Sexual Assault Accountability and Investigation Task Force (SAAITF) to identify, evaluate, and recommend immediate and significant actions to improve the accountability process, specific to the investigation and disposition of cases in which members of the Armed Forces are either victims or alleged offenders of sexual assault, while ensuring our units, our communities, the rights of the victim and the accused, and the integrity of the legal process are protected.

On 30 April 2019, the SAAITF provided its initial report with several recommendations designed to improve investigations and accountability for all sexual assault cases. On 30 September 2019, the SAAITF provided its first status report to the Secretary of Defense that included the proposed implementation plans for each recommendation. The Navy JAG Corps is committed to working with other stakeholders throughout the Navy and DoD to fully implement all of the SAAITF recommendations in accordance with the approved implementation plans.

In addition, the Navy is focused on improving training for all participants in the military justice process. First, the Navy JAG Corps is committed to providing in-depth military justice training to all commanders to ensure compliance with best practices concerning military justice, victim assistance, promotion of healthy command climates, and ensuring the accused is afforded due process rights. Second, the Navy JAG Corps is committed to enhancing the training programs for all military justice practitioners, including victims' legal counsel, defense counsel, and trial counsel. It is imperative that victims' legal counsel and trial counsel have appropriate training on complex issues in special victim cases as well as trauma-informed communications techniques to ensure the victim is treated fairly, and with empathy. Consistent with the purpose of military law to promote justice, training opportunities for defense counsel must be commensurate.

The Navy JAG Corps will enhance coordination between law enforcement, trial counsel, and victim assistance personnel. Integration and synchronization of services and personnel is necessary to increase support for the victim throughout the process; improve the timeliness, efficacy, and quality of investigation; and facilitate offender accountability when appropriate.

The JAG Corps intends to continue to educate stakeholders on policies and procedures in place to ensure victims are informed of all key events throughout the military justice process and are able to voice their opinions and preferences where appropriate.

The Navy VLC Program will continue to monitor VLC workloads on a weekly basis, will be open to shifting assets where need is demonstrated and not already adequately met, and will provide VLC in the field with every available resource necessary for successful representation of and advice to victims of sexual offenses. As a result of this ongoing review effort and in order to provide greater VLC face-to-face services in Sasebo, Japan, a VLC has been added to Sasebo, Japan and will arrive in summer 2020.

Lastly, further technological upgrades are also planned for FY20, to include hardware enhancements, such as provision of new printers and scanners as well as software updates.

5. Goal 5—Assessment: “effectively measure, analyze, assess, and report SAPR Program progress to improve effectiveness.”

5.1 Strategic Summary: Summarize your efforts for achieving the Assessment goal. In this strategic summary, include significant updates and/or force-wide changes begun or completed by your Military Service in FY19. As applicable, include any new training your Military Service has implemented for SARCs and SAPR VAs during the past year and how you measure the training’s effectiveness. There is no need to repeat prior Annual Report submissions if these processes have remained largely the same as in previous years. (DoD Sexual Assault Prevention and Response Strategic Plan, 2017-2021 (December 1, 2016), Goal 5 – Assessment, p. 10)

The Navy recognizes that assessment and feedback mechanisms are critical to measurement and evaluation of SAPR programs and policies and for determining if these efforts are achieving the desired outcome of command cultures and climates where sexual assault and associated behaviors are not tolerated. The Navy strives for meaningful and accurate systems of measurement and evaluation for all aspects of the SAPR program.

As addressed in Goal 1 – Prevention (section 1.2), United States Pacific Fleet (USPACFLT) stood up and led the COE Oversight Committee in an effort to reduce and/or eliminate ineffective P^4 related to resilience and destructive behavior prevention programs. This initiative, directed by the CNO’s COE Governance Board, is intended to reduce redundancy by “Simplifying and Aligning” resilience related P^4s, which includes integrating those determined to be effective into the COE Campaign Plan. As an additional benefit, time is returned to Sailors and commands for other warfighting training and certifications. A total of 196 P^4s were evaluated ranging from mandatory and optional training to collateral duties and database management. The 196 P^4s assessed were evaluated based on cost, return on investment, and the use of the Human Factors Intervention Matrix (HFIX) which assessed each based on feasibility, acceptability, cost/benefit, effectiveness, and sustainability. These criteria were measured against four impact areas/desired outcomes: (1) more resilient sailors; (2) more lethal force; (3) enhanced team performance; and (4) reduced unplanned losses. In September 2019, the COE Oversight Committee reported out to the COE Governance Board and recommended 28 P4s continue “As-Is”, 93 P^4s continue with modifications, and 75 P^4s be discontinued. These recommendations were approved for implementation. For the 18 SAPR related P^4s that were assessed, 4 were recommended to continue “As-Is”, 7 recommended to continue with modifications, and 7 recommended to discontinue. Implementation of these recommendations will be initiated in FY20.

CNIC HQ implemented the CATCH a Serial Offender training for SARCs and SAPR VAs. Additionally, two DSAID Joint Knowledge Online trainings were implemented: DSAID Basic Online Training and DSAID Online Training for SARCs. CNIC HQ SAPR also

reviews student and facilitator feedback from training evaluations across multiple installations to ensure the effectiveness of the training and to identify trends and best practices on how to better improve the training effectiveness in the future.

In FY19, the Navy implemented procedures to address identified challenges with the DD Form 2910 hard-copy 50-year retention requirements. A key procedure was CNIC HQ SAPR instituting quarterly data calls to the regions to ensure that hard-copy DD Forms 2910 were being properly stored and maintained in accordance with DoD policy. These data calls indicated a 100% retention rate and have assisted in identifying areas still requiring process improvement and streamlining record management practices.

In addition, CNIC developed and implemented a SAPR IG checklist to delineate key components of the SAPR program, including hard copy DD-Form 2910 record management and retention. Installations are required to complete this checklist annually to ensure full compliance with program requirements. This annual mandate ensures SAPR program standards are met to ensure SAPR services are being delivered as intended by policy.

Navy SAPR stakeholders continue to collaborate on efforts to ensure the quality, reliability, and validity of data collected in DSAID. This information allows for accurate trend analysis, helping tailor effective and efficient initiatives. CNIC HQ SAPR provides ongoing training of SARCs to effectively use DSAID and it regularly conducts quality assurance of DSAID. Positive feedback from live and pre-recorded webinar sessions used to enhance user training of DSAID, clarify policy guidelines, and avoid common data entry errors has resulted in greater data integrity and was identified as a best practice.

Attorneys at OJAG Code 20 coordinated with NCIS and CNIC HQ SAPR throughout the year to resolve DSAID issues to ensure accurate results for quarterly and year-end data analysis. If additional information is required, attorneys at Code 20 reach out to the command, NCIS, CNIC, or the cognizant RLSO or Staff Judge Advocate to obtain further case information.

Navy Medicine continues to assess SAPR program effectiveness through Medical Inspector General assistance visits and inspections to help commands determine areas of improvement, identify best practices, and provide feedback and resource sharing for program growth. Healthcare provider training is assessed primarily through patient tracers that are performed at MTFs in order to evaluate staff response to victims of sexual assault. Feedback allows the program manager or SAPR POC to examine deficits in the reporting process, excessive time intervals from check-in to treatment, and actions taken by staff members to ensure appropriate patient care/direction. Drills are conducted at various locations at an MTF to ensure staff are in compliance with policies and standard care protocols. In addition, BUMED uses regional SAMFE training officers to implement standardized refresher training. Due to the subjective nature of the training, feedback is obtained via written training assessment/feedback forms. BUMED continues to explore methods of how to better determine effectiveness of training efforts.

N17 coordinated with NAVINSGEN to ensure SAPR inspection checklists for both command inspections and area assessments are accurate and continue to be updated as policy changes are implemented. Additionally, N17 provided SAPR SMEs to accompany the NAVINSGEN team on inspections and observe focus groups to gain understanding of the command climate and assure the command or installation is meeting SAPR policy requirements per DoD and OPNAV instructions. Information obtained from these inspections helps to refine program effectiveness and implement new policy changes when necessary.

5.2 Future Plans: Describe your leadership-approved future plans (if any) to further improve the achievement of the Assessment goal.

Navy leadership intends to continue collaborative force-wide initiatives such as Cultural Workshops, Resilient Workshop Summits, and the CNO-chaired COE Governance Board to further develop, improve, and assess program effectiveness. Navy will continue to leverage data and insights from these efforts to identify and eliminate program gaps, focus on vulnerable populations, and scale initiatives to the Fleet. Beyond efforts previously mentioned in Goals 1 through 4, the following are additional ongoing efforts.

N17's Behavioral Development and Performance branch will continue to leverage its behavioral research SMEs and its evaluation SMEs to make evidence-informed decisions rooted in prevention science and research.

CNIC HQ SAPR continues to administer the annual Post-Sexual Assault Awareness and Prevention Month (SAAPM) Data Collection Survey, which solicits feedback from SARCs on challenges, best practices, and installation efforts. Findings from the survey inform program improvement, such as prevention programming education for SARCs, engaging Sailors and leadership in SAPR, and how to effectively leverage existing resources to maximize the impact of SAAPM efforts.

Navy CATCH stakeholders, including CNIC HQ SAPR, NCIS, VLCP, and N17, are continuously reviewing program performance and will update training and awareness efforts as required to maximize participation.

As mentioned in Goal 1 – Prevention (section 1.3), N17 is developing a Commander's Risk Mitigation Dashboard, a Common Operating Picture that informs leadership of potential counterproductive workplace behavior risks and trends identified from headquarters to the unit level.

These ongoing assessments support the Navy's ability to continually improve overall command culture, and to set conditions to prevent, respond to, or intervene in destructive behaviors.

6. Core Functions: Communication and Policy: Provide a brief summary for new efforts taken in FY2019 on the following:

6.1 General/Flag Officer Discussion on Career Impacts Due to Retaliation: How is your Military Service ensuring that sexual assault victims, uniformed witnesses,

bystanders, and first responders involved in a sexual assault report are provided information on their right to discuss the career impacts with a General/Flag Officer if they believe those impacts were due to their report of retaliation or the assistance they provided to the retaliation reporter. (DoD Retaliation Prevention and Response Implementation Plan (January 2017), p. 13-14)

The Navy is updating OPNAVINST 1752.1C in FY20 to include information ensuring that sexual assault victims, uniformed witnesses, bystanders, and first responders involved in a sexual assault report are provided information on their right to discuss the career impacts with a General/Flag Officer if they believe those impacts were due to their report of retaliation or the assistance they provided to the retaliation reporter.

Navy VLC are specifically trained on retaliation and authorized to directly assist sexual assault victims in redressing incidents of retaliation, to include exercising the right to discuss career impacts with a General/Flag Officer. In addition, VLC are aware of their right to take up an issue with a Flag Officer if they believe their career has been negatively impacted by assisting a victim of sexual assault.

6.2 Retaliation Educational Materials: What educational materials have been developed for retaliation reporters to familiarize them with retaliation processes and procedures? (DoD Retaliation Prevention and Response Implementation Plan (January 2017), Task Number 3.4, p. 19)

The Navy is creating handouts to address retaliation information and procedures as they pertain to sexual assault. These educational materials will be available in FY20. In addition, retaliation information is presented to SARCs, SAPR VAs, and UVAs in initial training curriculum, to Command Triads at CO SAPR inbriefs and in the CO Toolkit, and to Navy personnel in annual SAPR GMT.

7. National Defense Authorization Act (NDAA) Requirements:

Provide your Military Service's status on the NDAA sections listed below. There are unique requirements embedded within each NDAA section's language, so referring to the entire section is necessary.

After reviewing the designated NDAA section:

- If the requirement(s) has/have been implemented, provide the completion date and a short narrative (150 words or less) describing the action taken. For example: "Completed January 15, 2019. Requirement added to AR 600-20, Army Command Policy."

- If the requirement(s) has/have not been implemented, provide the projected completion date and a short narrative (150 words or less) on the status. For example: "Projected completion date is October 2019. Addition of the policy to AR 600-20, Army Command Policy, is currently pending legal review."

7.1 FY 2019 SEC. 545. Development of Resource Guides Regarding Sexual Assault for the Military Service Academies

Projected completion date is December 2019. The United States Naval Academy SAPR Resource Guide, developed in July 2017, is being updated. The updated resource guide

will be emailed to all members of the Brigade of Midshipman and posted on the Academy SAPR website upon completion. (The resource guide was completed 15 December 2019).

7.2 FY 2018, SEC. 520. Consideration of Additional Medical Evidence by Boards for the Correction of Military Records and Liberal Consideration of Evidence Relating to Post-Traumatic Stress Disorder or Traumatic Brain Injury

Completed 3 September 2014. The Board for Correction of Naval Records (BCNR) has considered medical evidence of the Department of Veterans Affairs (VA) or of civilian health care providers since long before the 2017 enactment of this requirement. In addition, the Board has applied liberal consideration to cases involving claims of Post-Traumatic Stress Disorder (PTSD) or related conditions, such as Traumatic Brain Injury (TBI), since 3 September 2014, when then-Secretary of Defense (SECDEF) Hagel issued a memo requiring such consideration. Under that policy, the BCNR has not limited liberal consideration in cases involving PTSD, TBI, or related conditions to combat- or sexual assault-related PTSD or TBI, but grants liberal consideration to all cases involving service-related PTSD, TBI, or other mental health condition.

7.3 FY 2018, SEC. 521. Public Availability of Information Related to Disposition of Claims Regarding Discharge or Release of Members of the Armed Forces When the Claims Involve Sexual Assault

Completed in or about March 2018. Since then, in accordance with this requirement, the BCNR has published on its publicly available website, on a quarterly basis, the number and disposition of claims decided during the preceding quarter in which sexual assault is alleged to have contributed to the characterization of service. (See <https://www.secnav.navy.mil/mra/bcncr/Pages/BCNR-Data.aspx>.)

7.4 FY 2018, SEC. 522. Confidential Review of Characterization of Terms of Discharge of Members Who Are Victims of Sex Related Offenses

Completed in or about February 2015. Under section 547 of the FY15 NDAA (codified at 10 U.S.C. § 1554b by section 522 of the FY18 NDAA), the BCNR, in February 2015, began to require examiners presenting applications requesting a discharge upgrade from applicants alleging they were victims of sexual assault to inform the Board to consider the psychological and physical aspects of the applicant's assault, and to determine what bearing it may have had on the circumstances surrounding the discharge. The Board has not limited sexual assaults to those "sex-related offenses" enumerated in section 1554b (rape, sexual assault, forcible sodomy, or attempts), but has extended the requirement to cases involving sexual harassment and other sexual misconduct. Also, pursuant to the same statute, the Board has, since February 2015, employed a confidential process for applicants alleging their discharge was adversely affected by their sexual victimization, limiting access to the case file to those staff and members—and mental health professionals—with a need to know. Finally, the Board does not publish its decisions in such cases.

7.5 FY 2018, SEC. 523. Training Requirements for Members of Boards for the Correction of Military Records and Personnel Who Investigate Claims of Retaliation

Completed in or about February 2019. Since 2017, in accordance with section 534(c)(1) of the FY 17 NDAA, the BCNR has provided, on an annual basis, comprehensive training in a uniform curriculum approved by the SECDEF to BCNR staff and new members. (Current members who have received training are retrained once every five years). Since 2018, pursuant to section 523 of the FY18 NDAA, this training has included the proper handling of claims in which a sex-related offense is alleged to have contributed to the applicant's characterization of service. (All staff and current and new members were retrained in February 2019 due to the new requirement.)

7.6 FY 2017, SEC. 533. Availability of Certain Correction of Military Records and Discharge Review Board Information Through the Internet

Completed in or about March 2018. Since then, in accordance with this requirement, the BCNR has published on its publicly available website, on a quarterly basis, (1) the number and disposition of claims decided during the preceding quarter in which a mental health condition of the applicant, including PTSD or TBI, is alleged to have contributed to the applicant's characterization of service, and (2) the number and disposition of claims decided during the preceding quarter that relate to an applicant's service during a war or contingency operation, catalogued by war or contingency operation. (See <https://www.secnav.navy.mil/mra/bcncr/Pages/BCNR-Data.aspx>.)

7.7 FY 2017 SEC. 542. Effective Prosecution and Defense in Courts-Martial and Pilot Programs on Professional Military Justice Development for Judge Advocates

Completed 3 May 2007. As described in the FY17 report, the Navy implemented the Military Justice Litigation Career Track (MJLCT), which continues to recruit, train, and assess experienced military justice litigators. There have been no significant changes to the MJLCT in the last year.

7.8 FY 2017, SEC. 547. Notification to Complainants of Resolution of Investigations into Retaliation

Completed 30 September 2017. NCIS will initiate separate investigations if allegations of criminal activity against a victim and/or a witness as a result of providing information regarding a sexual assault are identified. While NCIS will investigate all criminal activity committed in retaliation to a sexual assault victim and/or witness regardless of the severity of the criminal act, NCIS will refer allegations of reprisal, restriction, maltreatment, and ostracism to the appropriate DoD component with investigative authority. In NCIS investigations of retaliation, the NCIS case agent will provide an investigative update to the victim at a minimum of every 30 days during the pendency of the investigation. Once the active investigation is complete and the case is submitted for prosecutorial determination, the trial counsel assumes responsibility for providing updates to the victim.

7.9 FY 2015, SEC. 508. Required Consideration of Certain Elements of Command Climate in Performance Appraisals of Commanding Officers

Completed 1 May 2015. Navy Performance Evaluation System, BUPERSINST 1610.10D, specifies that all officers and senior enlisted personnel shall be assessed on their efforts and quality of results in fostering a command and workplace environment conducive to the growth and development of personnel. Efforts include establishing, training to, and enforcing standards of professionalism, as well as contributing to command or organizational climate/equal opportunity, and development of military bearing/character. Transparency, sensitivity and responsiveness to concerns are hallmarks of superior performance in this area.

7.10 FY 2014, SEC. 1721. Tracking of Compliance of Commanding Officers in Conducting Organizational Climate Assessments for Purposes of Preventing and Responding to Sexual Assaults

Completed 1 May 2015. Navy Performance Evaluation System, BUPERSINST 1610.10D, requires documentation on whether commanders, commanding officers, and officers-in-charge conducted the required command climate assessment be included in their FITREP. Reporting seniors must clearly document the failure to conduct the required command climate assessment.

8. Analytics Discussion

8.1 Military Services & NGB*: Provide an analytic discussion (1,500 words or less) of your Statistical Report of reported sexual assault cases from the Defense Sexual Assault Incident Database (DSAID). Required elements included on this template are information on Unrestricted Reports; Restricted Reports; service referrals for victims alleging sexual assault; and case synopses of completed investigations.

***NGB should provide comments based on its available information and data.**

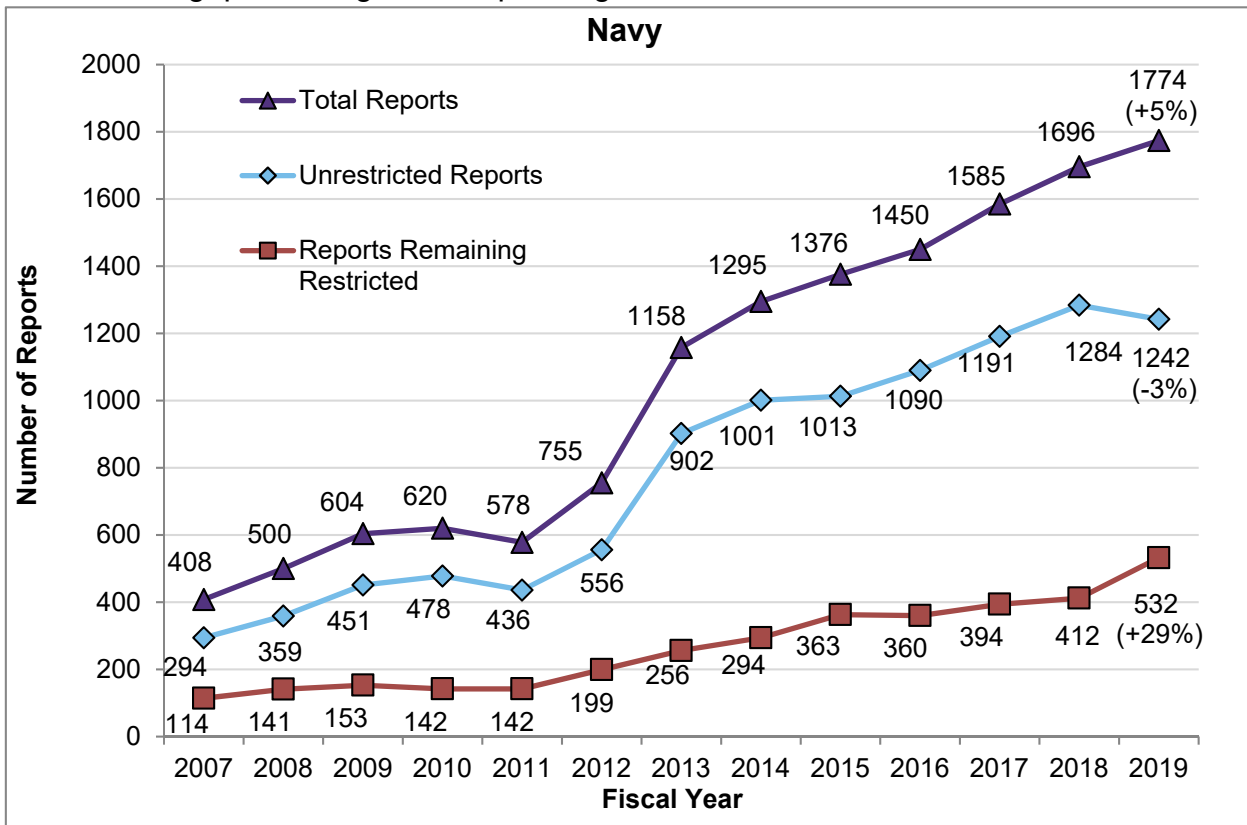
This section must briefly address each of the following:

- Notable changes in the data over time
- Insight or suspected reasons for noted changes, or lack of change, in data
- The application of insights from data analyses for programmatic planning, oversight, and/or research
- Total number of Sexual Assaults (Restricted Reports and Unrestricted Reports) over time (since FY 2008) **(Metric #11)**
- The number of sexual assault investigations completed by the MCIO in the FY and the corresponding mean and median investigation length. Case open date can be in any year, but the close date must be by the end of the FY **(Non-Metric #6)**
- The number of subjects with victims who declined to participate in the military justice process **(Metric #7)**
- Command action for military subjects under DoD legal authority (to be captured using the most serious crime investigated, comparing penetration to contact crimes) **(Non-Metric #1)**
- Sexual assault court-martial outcomes (to be captured using the most serious crime charged, comparing penetration to contact crimes) **(Non-Metric #2)**
- Summary of referral data – Unrestricted and Restricted Reports - either referrals received from other sources or referrals made to other sources (e.g.,

medical/mental health, command, criminal investigation/security services, legal, civilian, or VA authorities, etc.)
 - Any other information relating to sexual assault case data

I. Overview

In FY19, there were 1,774 reports of sexual assault in the Navy, representing a 4.5% increase from FY18 (1,696). The sustained increase in reporting suggests that the Navy is making progress on closing the gap between reporting and prevalence of sexual assault. While these results are a favorable indicator, much work remains in understanding, preventing, and responding to this destructive behavior.



II. Unrestricted Reports

In FY19, there were 1,242 unrestricted reports, representing a 3.3% decrease from FY18 (1,284). Unrestricted Reporting triggers command notification, initiates a MCIO investigation and provides an opportunity to hold alleged offenders accountable, in addition to giving victims access to support and advocacy services.

Service Member on Service Member

Navy Service member on Service member (or “blue-on-blue”) allegations of sexual assault represented 46.5% (578 of 1242) of Unrestricted Reports compared to 45% (578 of 1,284) in FY18. There was a 24.7% increase in blue-on-blue penetrating allegations (277 compared to 222 in FY18) and a 10.2% decrease in blue-on-blue contact allegations (292 compared to 325 in FY18).

Male Victim Reporting

Male Service member victims continue to be an area of focus for the Navy SAPR program and reporting by this population narrowly decreased in FY19. Unrestricted Reports made by males decreased by 2.6% (257 compared to 264 in FY18) and they accounted for a slightly larger percentage of unrestricted reports than in FY18 (20.7% compared to 20.5%).

| Service Member Victim Unrestricted Reports (by Gender) | | | |
|---|-------|---------|-------|
| Fiscal Year (FY) | Males | Females | Total |
| FY19 | 257 | 864 | 1,121 |
| FY18 | 264 | 887 | 1,151 |
| FY17 | 219 | 829 | 1,048 |

Expedited transfers

In FY19, there were 358 requests for expedited transfers made by Service member victims (compared to 325 in FY18). Of these, 64 were unit/duty requests and 294 were installation requests (compared to 18 unit/duty and 305 installation requests in FY18). Nine requests were denied for the following reasons: six reports were found not to be credible; one was listed as other; and two were denied because the Service member was separating from the Navy.

| Expedited Transfer Requests | | | | |
|-----------------------------|-----------|----------|--------|-----------------------------------|
| Fiscal Year (FY) | Requested | Approved | Denied | Total SVM Unrestricted Reports |
| FY19 | 358 | 349 | 9 | 1,121 |
| FY18 | 323 | 315 | 8 | 1,151 |
| FY17 | 305 | 297 | 8 | 1,048 |

Military Protective Orders (MPO)

In FY19, there were 274 MPOs issued, representing a 16.5% decrease from FY18 (328). No MPO violations were reported during this fiscal year.

III. Restricted Reports

In FY19 there were 737 Restricted Reports of sexual assault in the Navy, representing a 32.8% increase from FY18 (555). Of those, 205 or 27.8% were converted to Unrestricted Reports (compared to 143 or 25.7% in FY18), resulting in 532 reports remaining restricted (compared to 412 in FY18). Restricted Reports enable a victim to receive support services, without command notification or initiation of an investigation. SARCs do not report the types of offenses for Restricted Reports.

IV. Victims Support Services

Unrestricted Reports

In FY19, there were 10,727 support service referrals for victims who made unrestricted reports, representing a 26.2% increase from FY18 (8,500). Of those referrals, 9,270 or 86.4% were for military resources and 1,457 or 13.6% were for civilian resources.

The top three military resources requested by victims were: victim advocate (2,231), legal (1,668), and mental health (1,257) referrals. These military resource referrals accounted for 55.6% of all requests made in this category. Additionally, DoD Safe Helpline referrals increased by 29.5% (816 compared to 630 in FY18).

The top three civilian resources requested by victims were: other (901), victim advocate (266), and rape crises center (120) referrals, and they accounted for 88.3% of all requests made in this category.

Restricted Reports

In FY19, there were 3,488 support service referrals for victims who made restricted reports representing a 51.7% increase from FY18 (2,300). Of those referrals, 3,130 or 89.7% were for military resources and 358 or 10.3% were for civilian resources. The top three military resources requested by victims were: victim advocate (862), mental health (492), and legal (409) referrals. These military resource referrals accounted for 56.3% of all requests made in this category.

The top three civilian resources requested by victims were: other (154), victim advocate, (69), and rape crises center (59) referrals. They accounted for 78.8% of all requests made in this category.

V. Investigations

In FY19, 1,032 investigations were completed, representing a 3.6% decrease from FY18 (1,075). Of those investigations, 1,021 or 98.9% were completed by the service MCIOs and 11 or 0.1% were completed by either US civilian or foreign law enforcement agencies.

The average length of investigations conducted by the NCIS was 121 days and the median was 99 days, compared to an average of 107 days and a median of 88 days in FY18 (representing a 13.1% increase in average, but a 12.5% increase in the median). This average includes offenses involving complex investigation, scientific analysis of evidence, and/or procurement of expert witnesses, as well as simpler cases involving confessions or limited evidence (e.g., cases involving victim declinations or unknown subjects).

In cases where the most serious offense investigated by NCIS was a penetration offense, the average length of time between the date the investigation was completed and the date legal advice was rendered to the command regarding case disposition was 54 days and the median was 45 days, compared to 48 days on average and a median of 38 days in FY18 (representing a 12.5% increase on average and 18.4% increase in median days).

In cases disposed of at courts-martial, the average length of time between the date the victim made an Unrestricted Report and the date the sentence was imposed or an accused was acquitted at court-martial was approximately 390 days (a 13.3% decrease from FY18's 450 days) and the median was 400 days in FY19 (a 9.9% decrease from FY18's 444 days). In cases disposed of at non-judicial punishment, the average length of time between the date the victim made an Unrestricted Report and the date non-judicial punishment was concluded was approximately 173 days representing a 2.8% reduction from FY18.

Victims Declining to Participate in the Military Justice Process

In FY19, the percentage of victims who declined to participate in the military justice process decreased to 2% (16 of 787) compared to 3% (26 of 783) in FY18 and 25% (256 of 1,025) in FY17. The sharp decrease in victim declinations between FY18 and

FY17 was due to clarification and guidance from DOD SAPRO on categorization of dispositions. As such, in FY18 when commands were unable to take action due to an unknown subject and the victim did not wish to participate in the military justice process, the cases were categorized as "unknown subject" rather than "victim declination." This clarification on disposition categorizations aligned the Services procedures and allowed for standardization across the Department.

Command Action for Military Subjects Under DoD Legal Authority

In FY19, command action was taken against 458 (58.2% of 787) Service members for both sexual assault and non-sexual assault (e.g., failure to obey order or regulation) allegations. Types of command action include court-martial, non-judicial punishment, administrative separation, and other adverse administrative actions.

Sexual Assault Court-Martial Outcomes

In FY19, there were 134 (17% of 787) cases where court-martial charges were preferred for a sexual assault offense, compared to 116 (14.8% of 783) in FY18. A total of 69 (59.5% of 116) cases proceeded to trial on at least one sexual assault offense. Of those, 45 cases were for penetrating offenses, resulting in 30 (58.8%) convictions and 15 (33.3%) acquittals. The remaining 24 cases were for contact offenses, resulting in 21 (87.5%) convictions and 3 (1.25%) acquittals.

8.2 Complete the following table with your numbers as of the end of the fiscal year.

Use the job/duty descriptions provided and the following inclusion criteria:

- Include all Reserve and Active Duty military personnel. Army and Air Force do not need to include their respective National Guard component information as it will be included in the National Guard Bureau’s response.
- Include civilian and contractor personnel, as applicable
- Only include filled positions
- Indicate the number of full-time and part-time personnel
- Provide the exact number of current personnel, whenever possible. If the number is an estimate, please indicate how the estimate was reached and any other relevant information.

(DoDI 6495.02, “Sexual Assault Prevention and Response (SAPR) Program Procedures,” (May 24, 2017), Encl 2, para 6ac)

| Job/Duty Title | Description of Job/Duty | Full-Time | Part-Time |
|------------------|---|-----------|-----------|
| Program Managers | Capability in developing policy, or program management and execution; and completion of 40+ | 1 | 0 |

| | | | |
|--|--|-----|-------|
| | hours of Military Service-specific National Advocate Credentialing Program and approved SARC training. | | |
| Dedicated Headquarters-Level Professionals | Include policy, advocacy, and prevention professionals who support the headquarters-level SAPR program offices at each Military Service/National Guard Bureau (<i>not including program managers, who are counted in their own category</i>). | 7 | 0 |
| Uniformed SARCs | Serve as the single point of contact at an installation or within a geographic area to oversee sexual assault awareness, prevention, and response training; coordinate medical treatment, including emergency care, for victims of sexual assault; and track the services provided to victims from the initial report through final disposition and resolution. Certified under the nationally-accredited DoD Sexual Assault Advocate Certification Program (D-SAACP). | 0 | 4 |
| Civilian SARCs | See above. | 91 | 0 |
| Uniformed SAPR-VAs | Provide non-clinical crisis intervention, referral, and ongoing non-clinical support to adult sexual assault victims; offer information on available options/resources to victims; coordinate liaison assistance with other organizations and agencies on victim care matters; and report directly to the SARC. Certified under the nationally-accredited D-SAACP. | 0 | 5,635 |
| Civilian SAPR-VAs | See above. | 69 | 9 |
| Sexual Assault-Specific Legal | Legal personnel who specialize in sexual assault cases including prosecutors, Victim Witness Assistance Program personnel, paralegals, legal experts, and Special Victims' Counsel/Victims' Legal Counsel. | 108 | 0 |
| Sexual Assault – Specific Investigators | Military Criminal Investigation Office investigators who specialize in sexual assault cases. | 823 | 0 |
| Sexual Assault Medical Forensic Examiners | Medical providers that have completed the DoD course at Fort Sam Houston, or equivalent. | 7 | 210 |

Notes:

1. Program Managers:
 - a. CNIC: 1

2. Dedicated HQ Level Professionals:
 - a. N17: 5
 - b. NCIS: 3
 - c. VLCP: 1
 - d. SAPR Officers: 35
 - e. CNIC HQ SAPR: 7
 - f. Fleets: 1 full time, 2 part-time

3. Sexual Assault-Specific Legal: This number does not count all trial counsel, defense counsel, and Staff Judge Advocates.
 - a. Trial Counsel Assistance Program: 28 personnel. Senior Trial Counsel, Assistant Senior Trial Counsel, core counsel, legalmen and civilians (who meet SVIP requirement).
 - b. Defense Counsel Assistance Program: 30 personnel. See above.
 - c. VLC Program: 44 personnel. VLC (33) and VLC staff (9), plus Chief of Staff, and Deputy Chief of Staff.
 - d. OJAG Code 20: 6 personnel.

4. Sexual Assault Specific Investigators: NCIS has trained 823 special agents via AASATP or through the addition to SABTP.

5. Sexual Assault Medical Forensic Examiners:
 - a. Civilian SAFE Program Managers: 7 (SAMFE certified)
 - b. SAMFEs: 210 (who stand watch at the MTF and/or are operational)

Unrestricted Reports

| NAVY FY19 UNRESTRICTED REPORTS OF SEXUAL ASSAULTS IN THE MILITARY | | |
|--|--------------------|--|
| <p>A. FY19 REPORTS OF SEXUAL ASSAULT (rape, sexual assault, aggravated sexual contact, abusive sexual contact, forcible sodomy, and attempts to commit these offenses) BY or AGAINST Service Members. Note: The data on this page is raw, uninvestigated information about allegations received during FY19. These Reports may not be fully investigated by the end of the fiscal year. This data is drawn from Defense Sexual Assault Database (DSAB) based on Service affiliation of the Sexual Assault Response Coordinator (SARC) who currently manages the Victim case.</p> | | FY19 Totals |
| # FY19 Unrestricted Reports (one Victim per report) | | 1213 |
| # Service Member Victims | | 1121 |
| # Non-Service Member Victims in allegations against Service Member Subject | | 90 |
| # Relevant Data Not Available | | 2 |
| # Unrestricted Reports in the following categories | | 1213 |
| # Service Member on Service Member | | 578 |
| # Service Member on Non-Service Member | | 90 |
| # Non-Service Member on Service Member | | 24 |
| # Unidentified Subject on Service Member | | 168 |
| # Relevant Data Not Available | | 353 |
| # Unrestricted Reports of sexual assault occurring | | 1213 |
| # On military installation | | 495 |
| # Off military installation | | 483 |
| # Unidentified location | | 235 |
| # Victim in Unrestricted Reports Referred for Investigation | | 1213 |
| # Victims in investigations initiated during FY19 | | 1184 |
| # Victims with Investigations pending completion at end of 30-SEP-2019 | | 344 |
| # Victims with Completed Investigations at end of 30-SEP-2019 | | 840 |
| # Victims with Investigative Data Forthcoming | | 23 |
| # Victims where investigation could not be opened by DoD or Civilian Law Enforcement | | 6 |
| # Victims - Alleged perpetrator not subject to the UCMJ | | 0 |
| # Victims - Crime was beyond statute of limitations | | 0 |
| # Victims - Unrestricted Reports for Matters Occurring Prior to Military Service | | 2 |
| # Victims - Other | | 4 |
| # All Restricted Reports received in FY19 (one Victim per report) | | 737 |
| # Converted from Restricted Report to Unrestricted Report* (report made this year and converted this year) | | 205 |
| # Restricted Reports Remaining Restricted at end of FY19 | | 532 |
| B. DETAILS OF UNRESTRICTED REPORTS FOR FY19 | | |
| | FY19 Totals | FY19 Totals for Service Member Victim Cases |
| Length of time between sexual assault and Unrestricted Report | 1213 | 1121 |
| # Reports made within 3 days of sexual assault | 438 | 405 |
| # Reports made within 4 to 10 days after sexual assault | 111 | 97 |
| # Reports made within 11 to 30 days after sexual assault | 119 | 105 |
| # Reports made within 31 to 365 days after sexual assault | 235 | 211 |
| # Reports made longer than 365 days after sexual assault | 100 | 93 |
| # Relevant Data Not Available | 210 | 210 |
| Time of sexual assault | 1213 | 1121 |
| # Midnight to 6 am | 354 | 321 |
| # 6 am to 6 pm | 213 | 192 |
| # 6 pm to midnight | 298 | 274 |
| # Unknown | 79 | 76 |
| # Relevant Data Not Available | 269 | 258 |
| Day of sexual assault | 1213 | 1121 |
| # Sunday | 141 | 124 |
| # Monday | 122 | 112 |
| # Tuesday | 115 | 103 |
| # Wednesday | 102 | 98 |
| # Thursday | 122 | 113 |
| # Friday | 205 | 186 |
| # Saturday | 197 | 176 |
| # Relevant Data Not Available | 209 | 209 |

Unrestricted Reports (continued)

| C. UNRESTRICTED REPORTS OF SEXUAL ASSAULTS BY OR AGAINST SERVICE MEMBERS (VICTIM AND SUBJECT GENDER) | Male on Female | Male on Male | Female on Male | Female on Female | Unknown on Male | Unknown on Female | Multiple Mixed Gender Assault | Relevant Data Not Available | FY19 Totals | | |
|--|-----------------------------|---|---|----------------------------|--------------------------------------|----------------------------------|--|--|---------------------------------------|---------------------------------|-------------|
| | 548 | 102 | 18 | 19 | 43 | 127 | 1 | 355 | 1213 | | |
| # Service Member on Service Member | 421 | 88 | 18 | 18 | 5 | 23 | 1 | 4 | 578 | | |
| # Service Member on Non-Service Member | 87 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 90 | | |
| # Non-Service Member on Service Member | 17 | 2 | 0 | 0 | 2 | 3 | 0 | 0 | 24 | | |
| # Unidentified Subject on Service Member | 21 | 10 | 0 | 0 | 36 | 101 | 0 | 0 | 168 | | |
| # Relevant Data Not Available | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 351 | 353 | | |
| FY19 UNRESTRICTED REPORTS OF SEXUAL ASSAULT BY MATTER INVESTIGATED TYPE (May not reflect what crimes can be charged upon completion of investigation) | | | | | | | | | | | |
| UNRESTRICTED REPORTS MADE IN FY19 | Penetrating Offenses | | | | Contact Offenses | | | | | | |
| D. UNRESTRICTED REPORTS OF SEXUAL ASSAULTS BY OR AGAINST SERVICE MEMBERS (MOST SERIOUS CRIME ALLEGED, AS CATEGORIZED BY THE MILITARY CRIMINAL INVESTIGATIVE ORGANIZATION) | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 134) (Pre-FY08) | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | FY19 Totals |
| D1. | 147 | 0 | 381 | 9 | 15 | 364 | 0 | 1 | 29 | 267 | 1213 |
| # Service Member on Service Member | 55 | 0 | 220 | 2 | 10 | 281 | 0 | 1 | 9 | 0 | 578 |
| # Service Member on Non-Service Member | 29 | 0 | 38 | 0 | 1 | 21 | 0 | 0 | 1 | 0 | 90 |
| # Non-Service Member on Service Member | 6 | 0 | 4 | 3 | 1 | 7 | 0 | 0 | 1 | 2 | 24 |
| # Unidentified Subject on Service Member | 28 | 0 | 74 | 2 | 0 | 37 | 0 | 0 | 18 | 9 | 168 |
| # Relevant Data Not Available | 29 | 0 | 45 | 2 | 3 | 18 | 0 | 0 | 0 | 256 | 353 |
| D2. | | | | | | | | | | | |
| TOTAL Service Member Victims in FY19 Reports | 117 | 0 | 342 | 9 | 14 | 343 | 0 | 1 | 28 | 267 | 1121 |
| # Service Member Victims: Female | 103 | 0 | 284 | 2 | 11 | 250 | 0 | 1 | 19 | 194 | 864 |
| # Service Member Victims: Male | 14 | 0 | 58 | 7 | 3 | 93 | 0 | 0 | 9 | 73 | 257 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TIME OF INCIDENT BY OFFENSE TYPE FOR UNRESTRICTED REPORTS OF SEXUAL ASSAULT MADE IN FY19 | | | | | | | | | | | |
| D3. Time of sexual assault | 147 | 0 | 381 | 9 | 15 | 364 | 0 | 1 | 29 | 267 | 1213 |
| # Midnight to 6 am | 60 | 0 | 142 | 4 | 3 | 112 | 0 | 1 | 13 | 19 | 354 |
| # 6 am to 6 pm | 18 | 0 | 77 | 2 | 3 | 97 | 0 | 0 | 9 | 7 | 213 |
| # 6 pm to midnight | 51 | 0 | 107 | 2 | 8 | 104 | 0 | 0 | 6 | 20 | 298 |
| # Unknown | 16 | 0 | 25 | 1 | 0 | 17 | 0 | 0 | 0 | 20 | 79 |
| # Relevant Data Not Available | 2 | 0 | 30 | 0 | 1 | 34 | 0 | 0 | 1 | 201 | 269 |
| D4. Day of sexual assault | 147 | 0 | 381 | 9 | 15 | 364 | 0 | 1 | 29 | 267 | 1213 |
| # Sunday | 20 | 0 | 62 | 1 | 1 | 42 | 0 | 1 | 1 | 13 | 141 |
| # Monday | 15 | 0 | 43 | 2 | 2 | 48 | 0 | 0 | 5 | 7 | 122 |
| # Tuesday | 11 | 0 | 45 | 1 | 2 | 47 | 0 | 0 | 3 | 6 | 115 |
| # Wednesday | 15 | 0 | 46 | 0 | 1 | 35 | 0 | 0 | 3 | 2 | 102 |
| # Thursday | 16 | 0 | 39 | 0 | 2 | 57 | 0 | 0 | 3 | 5 | 122 |
| # Friday | 38 | 0 | 64 | 1 | 2 | 82 | 0 | 0 | 7 | 11 | 205 |
| # Saturday | 32 | 0 | 82 | 4 | 5 | 53 | 0 | 0 | 7 | 14 | 197 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 209 | 209 |

| E. SUMMARY OF UNRESTRICTED REPORTS WITH INVESTIGATIONS | FY19 Totals |
|--|--------------------|
| E1. Subjects in Unrestricted Reports Made to Your Service with Investigation Initiated During FY19 | |
| Note: This data is drawn from DSAID based on Service affiliation of the SARC who currently manages the Victim case associated with the investigation and Subject below. | |
| # Investigations Initiated during FY19 | 1149 |
| # Investigations Completed as of FY19 End (group by MCIO #) | 727 |
| # Investigations Pending Completion as of FY19 End (group by MCIO #) | 422 |
| # Subjects in investigations Initiated During FY19 | 949 |
| # Service Member Subjects investigated by CID | 10 |
| # Your Service Member Subjects investigated by CID | 0 |
| # Other Service Member Subjects investigated by CID | 10 |
| # Service Member Subjects investigated by NCIS | 653 |
| # Your Service Member Subjects investigated by NCIS | 622 |
| # Other Service Member Subjects investigated by NCIS | 31 |
| # Service Member Subjects investigated by AFOSI | 4 |
| # Your Service Member Subjects investigated by AFOSI | 0 |
| # Other Service Member Subjects investigated by AFOSI | 4 |
| # Non-Service Member Subjects in Service Investigations | |
| Note: Non-Service Member Subjects are drawn from all CID, NCIS and AFOSI investigations involving a Victim supported by your Service. | 24 |
| # Unidentified Subjects in Service Investigations | |
| Note: Unidentified Subjects are drawn from all CID, NCIS and AFOSI investigations involving a Victim supported by your Service. | 207 |
| # Service Member Subjects investigated by Civilian or Foreign Law Enforcement | |
| Note: Service Member Subjects are drawn from Civilian or Foreign Law Enforcement investigations involving a Victim supported by your Service. | 1 |
| # Your Service Member Subjects investigated by Civilian or Foreign Law Enforcement | 1 |
| # Other Service Member Subjects investigated by Civilian or Foreign Law Enforcement | 0 |
| # Non-Service Member Subjects in Civilian or Foreign Law Enforcement Investigations involving a Victim supported by your Service | 3 |
| # Unidentified Subjects in Civilian or Foreign Law Enforcement Investigations involving a Victim supported by your Service | 3 |
| # Subject or Investigation Relevant Data Not Available | 44 |
| E2. Service Investigations Completed during FY19 | |
| Note: The following data is drawn from DSAID and describes criminal investigations completed during the FY19. These investigations may have been initiated during the FY19 or any prior FY. | |
| # Total Investigations completed by Services during FY19 (Group by MCIO Case Number) | 1021 |
| # Of these investigations with more than one Victim | 38 |
| # Of these investigations with more than one Subject | 62 |
| # Of these investigations with more than one Victim and more than one Subject | 0 |
| # Subjects in investigations completed during FY19 involving a Victim supported by your Service | 948 |
| # Service Member Subjects investigated by CID | 15 |
| # Your Service Member Subjects investigated by CID | 0 |
| # Other Service Member Subjects investigated by CID | 15 |
| # Service Member Subjects investigated by NCIS | 662 |
| # Your Service Member Subjects investigated by NCIS | 637 |
| # Other Service Member Subjects investigated by NCIS | 25 |
| # Service Member Subjects investigated by AFOSI | 3 |
| # Your Service Member Subjects investigated by AFOSI | 0 |
| # Other Service Member Subjects investigated by AFOSI | 3 |
| # Non-Service Member Subjects in completed Service Investigations involving a Victim supported by your Service | 28 |
| # Unidentified Subjects in completed Service Investigations involving a Victim supported by your Service | 179 |
| # Subject Relevant Data Not Available | 61 |
| # Victims in investigations completed during FY19, supported by your Service | 1076 |
| # Service Member Victims in CID investigations | 21 |
| # Your Service Member Victims in CID investigations | 7 |
| # Other Service Member Victims in CID investigations | 14 |
| # Service Member Victims in NCIS investigations | 953 |
| # Your Service Member Victims in NCIS investigations | 943 |
| # Other Service Member Victims in NCIS investigations | 10 |
| # Service Member Victims in AFOSI investigations | 2 |
| # Your Service Member Victims in AFOSI investigations | 2 |
| # Other Service Member Victims in AFOSI investigations | 0 |
| # Non-Service Member Victims in completed Service Investigations, supported by your Service | 97 |
| # Victim Relevant Data Not Available | 3 |

| | |
|---|-----------|
| E3. Subjects and Victims in Investigations Completed by US Civilian and Foreign Agencies during FY19 | |
| Note: This data is entered by your Service SARC for cases supported by your Service. | |
| # Total Investigations completed by US Civilian and Foreign Law Enforcement during FY19 (Group by MCIO Case Number) | 11 |
| # Of these investigations with more than one Victim | 0 |
| # Of these investigations with more than one Subject | 0 |
| # Of these investigations with more than one Victim and more than one Subject | 0 |
| # Subjects in investigations completed during FY19 involving a Victim supported by your Service | 10 |
| # Service Member Subjects investigated by Civilian and Foreign Law Enforcement | 3 |
| # Your Service Member Subjects investigated by Civilian and Foreign Law Enforcement | 2 |
| # Other Service Member Subjects investigated by Civilian and Foreign Law Enforcement | 1 |
| # Non-Service Member Subjects in Civilian and Foreign Law Enforcement Investigations involving a Victim supported by your Service | 5 |
| # Unidentified Subjects in Civilian and Foreign Law Enforcement Investigations involving a Victim supported by your Service | 2 |
| # Subject Relevant Data Not Available | 0 |
| # Victims in investigations completed during FY19, supported by your Service | 11 |
| # Service Member Victims in Civilian and Foreign Law Enforcement investigations | 9 |
| # Your Service Member Victims in Civilian and Foreign Law Enforcement investigations | 9 |
| # Other Service Member Victims in Civilian and Foreign Law Enforcement investigations | 0 |
| # Non-Service Member Victims in Civilian and Foreign Law Enforcement Investigations in a case supported by your Service | 2 |
| # Victim Relevant Data Not Available | 0 |
| E4. Subjects and Victims in Investigations Completed by Military Police/Security Forces/Master At Arms/Marine Corps CID (MPs) during FY19 (all organizations regardless of name are abbreviated below as "MPs") | |
| Note: This data is entered by your Service SARC for cases supported by your Service. | |
| Note: As of 1 Jan 2013, all sexual assault investigations are referred to MCIO for investigation. This section captures remaining Subjects from investigations opened in prior years by Military Police/Security Forces/Master At Arms/Marine Corps CID. | |
| # Total Investigations completed by MPs during FY19 (Group by MCIO Case Number) | 0 |
| # Of these investigations with more than one Victim | 0 |
| # Of these investigations with more than one Subject | 0 |
| # Of these investigations with more than one Victim and more than one Subject | 0 |
| # Subjects in MP investigations completed during FY19 involving a Victim supported by your Service | 0 |
| # Service Member Subjects investigated by MPs | 0 |
| # Your Service Member Subjects investigated by MPs | 0 |
| # Other Service Member Subjects investigated by MPs | 0 |
| # Non-Service Member Subjects in MPs involving a Victim supported by your Service | 0 |
| # Unidentified Subjects in MPs involving a Victim supported by your Service | 0 |
| # Subject Relevant Data Not Available | 0 |
| # Victims in MP investigations completed during FY19, supported by your Service | 0 |
| # Service Member Victims in MP investigations | 0 |
| # Your Service Member Victims in MP investigations | 0 |
| # Other Service Member Victims in MP investigations | 0 |
| # Non-Service Member Victims in MP Investigations, supported by your Service | 0 |
| # Victim Relevant Data Not Available | 0 |

| Victims and Subjects in Investigation Completed in FY19 | Victim Data From Investigations completed during FY19 | | | | | | | | | | FY19 Totals |
|---|---|---|---|----------------------------|--------------------------------------|----------------------------------|--|--|---------------------------------------|---------------------------------|-------------|
| | Penetrating Offenses | | | | Contact Offenses | | | | | | |
| | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 134) (Pre-FY08) | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | |
| F1. Gender of Victims | 151 | 0 | 367 | 11 | 14 | 364 | 0 | 0 | 34 | 146 | 1087 |
| # Male | 16 | 0 | 57 | 9 | 1 | 91 | 0 | 0 | 12 | 42 | 228 |
| # Female | 135 | 0 | 310 | 2 | 13 | 272 | 0 | 0 | 21 | 104 | 857 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 2 |
| F2. Age of Victims | 151 | 0 | 367 | 11 | 14 | 364 | 0 | 0 | 34 | 146 | 1087 |
| # 0-15 | 7 | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 6 | 16 |
| # 16-19 | 37 | 0 | 95 | 2 | 2 | 79 | 0 | 0 | 5 | 3 | 223 |
| # 20-24 | 63 | 0 | 188 | 4 | 9 | 189 | 0 | 0 | 18 | 12 | 483 |
| # 25-34 | 28 | 0 | 58 | 4 | 2 | 66 | 0 | 0 | 7 | 10 | 175 |
| # 35-49 | 4 | 0 | 10 | 0 | 0 | 15 | 0 | 0 | 1 | 1 | 31 |
| # 50-64 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| # 65 and older | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Unknown | 11 | 0 | 13 | 1 | 1 | 14 | 0 | 0 | 3 | 114 | 157 |
| F3. Victim Type | 151 | 0 | 367 | 11 | 14 | 364 | 0 | 0 | 34 | 146 | 1087 |
| # Service Member | 114 | 0 | 326 | 11 | 14 | 343 | 0 | 0 | 31 | 146 | 985 |
| # DoD Civilian | 1 | 0 | 2 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 7 |
| # DoD Contractor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Other US Government Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # US Civilian | 36 | 0 | 37 | 0 | 0 | 14 | 0 | 0 | 0 | 0 | 87 |
| # Foreign National | 0 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 5 |
| # Foreign Military | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 3 |
| F4. Grade of Service Member Victims | 114 | 0 | 326 | 11 | 14 | 343 | 0 | 0 | 31 | 146 | 985 |
| # E1-E4 | 94 | 0 | 249 | 8 | 10 | 261 | 0 | 0 | 17 | 111 | 750 |
| # E5-E9 | 19 | 0 | 62 | 1 | 4 | 71 | 0 | 0 | 12 | 32 | 201 |
| # WO1-WO5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # O1-O3 | 1 | 0 | 7 | 2 | 0 | 5 | 0 | 0 | 1 | 1 | 17 |
| # O4-O10 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| # Cadet/Midshipman | 0 | 0 | 8 | 0 | 0 | 5 | 0 | 0 | 1 | 2 | 16 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F5. Service of Service Member Victims | 114 | 0 | 326 | 11 | 14 | 343 | 0 | 0 | 31 | 146 | 985 |
| # Army | 3 | 0 | 2 | 0 | 0 | 11 | 0 | 0 | 0 | 2 | 18 |
| # Navy | 110 | 0 | 324 | 11 | 13 | 331 | 0 | 0 | 31 | 141 | 961 |
| # Marines | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 2 | 4 |
| # Air Force | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 | 2 |
| # Coast Guard | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F6. Status of Service Member Victims | 114 | 0 | 326 | 11 | 14 | 343 | 0 | 0 | 31 | 146 | 985 |
| # Active Duty | 107 | 0 | 311 | 11 | 14 | 322 | 0 | 0 | 30 | 142 | 937 |
| # Reserve (Activated) | 7 | 0 | 7 | 0 | 0 | 12 | 0 | 0 | 0 | 2 | 28 |
| # National Guard (Activated - Title 10) | 0 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 4 |
| # Cadet/Midshipman | 0 | 0 | 8 | 0 | 0 | 5 | 0 | 0 | 1 | 2 | 16 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| G. DEMOGRAPHICS ON SUBJECTS IN INVESTIGATIONS COMPLETED BY FY19 (Investigation Completed within the Reporting Period. These investigations may have been opened in current or prior fiscal years) | | | | | | | | | | | FY19 Totals |
|---|----------------------|---|---|----------------------------|--------------------------------------|----------------------------------|--|--|---------------------------------------|---------------------------------|-------------|
| Subject Data From Investigations completed during FY19 | | | | | | | | | | | |
| | Penetrating Offenses | | | | | Contact Offenses | | | | | |
| | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 130) (Pre-FY18) | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | |
| G1. Gender of Subjects | 157 | 0 | 364 | 9 | 12 | 360 | 0 | 0 | 43 | 13 | 958 |
| # Male | 126 | 0 | 271 | 7 | 11 | 351 | 0 | 0 | 41 | 3 | 715 |
| # Female | 0 | 0 | 94 | 2 | 1 | 20 | 0 | 0 | 2 | 0 | 39 |
| # Unknown | 29 | 0 | 99 | 0 | 0 | 89 | 0 | 0 | 0 | 0 | 153 |
| # Retiree Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G2. Age of Subjects | 157 | 0 | 364 | 9 | 12 | 360 | 0 | 0 | 43 | 13 | 958 |
| # 0-15 | 11 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 11 |
| # 16-19 | 20 | 0 | 31 | 2 | 2 | 35 | 0 | 0 | 1 | 0 | 91 |
| # 20-24 | 69 | 0 | 143 | 1 | 4 | 91 | 0 | 0 | 4 | 0 | 303 |
| # 25-34 | 31 | 0 | 84 | 0 | 2 | 100 | 0 | 0 | 1 | 0 | 224 |
| # 35-49 | 3 | 0 | 15 | 0 | 0 | 58 | 0 | 0 | 0 | 0 | 81 |
| # 50-64 | 11 | 0 | 0 | 2 | 0 | 6 | 0 | 0 | 0 | 0 | 32 |
| # 65 and older | 0 | 0 | 21 | 0 | 0 | 6 | 0 | 0 | 4 | 1 | 42 |
| # Unknown | 29 | 0 | 9 | 0 | 0 | 8 | 0 | 0 | 0 | 0 | 28 |
| # Retiree Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G3. Subject Type | 157 | 0 | 364 | 9 | 12 | 360 | 0 | 0 | 43 | 13 | 958 |
| # Service Member | 107 | 0 | 365 | 2 | 10 | 355 | 0 | 0 | 12 | 2 | 683 |
| # Drill Instructors/Drill Sergeants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Reservists | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # DoD Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # DoD Contractor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Other US Government Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # US Civilian | 11 | 0 | 7 | 0 | 1 | 2 | 0 | 0 | 1 | 0 | 24 |
| # Foreign National | 0 | 0 | 2 | 0 | 0 | 3 | 0 | 0 | 0 | 0 | 6 |
| # Foreign Military | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 34 | 0 | 20 | 0 | 1 | 48 | 0 | 0 | 22 | 10 | 185 |
| # Retiree Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G4. Grade of Service Member Subjects | 107 | 0 | 265 | 2 | 10 | 285 | 0 | 0 | 12 | 2 | 683 |
| # E-1-E4 | 71 | 0 | 177 | 0 | 4 | 139 | 0 | 0 | 1 | 1 | 403 |
| # E-5-E9 | 36 | 0 | 69 | 0 | 6 | 127 | 0 | 0 | 4 | 1 | 217 |
| # WO1-WO5 | 0 | 0 | 11 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 14 |
| # O1-O3 | 2 | 0 | 11 | 0 | 1 | 6 | 0 | 0 | 0 | 0 | 20 |
| # O4-O10 | 0 | 0 | 0 | 0 | 0 | 5 | 0 | 0 | 0 | 0 | 14 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Retiree Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G5. Service of Service Member Subjects | 107 | 0 | 265 | 2 | 10 | 285 | 0 | 0 | 12 | 2 | 683 |
| # Army | 98 | 0 | 251 | 1 | 10 | 266 | 0 | 0 | 12 | 1 | 633 |
| # Navy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Marines | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Air Force | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Coast Guard | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Retiree Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G6. Status of Service Member Subjects | 107 | 0 | 265 | 2 | 10 | 285 | 0 | 0 | 12 | 2 | 683 |
| # Active Duty | 104 | 0 | 251 | 2 | 10 | 270 | 0 | 0 | 11 | 2 | 650 |
| # Reserve (Activated) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # National Guard (Enlisted - Title 10) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Retiree Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Unrestricted Reports (continued)

| H. FINAL DISPOSITIONS FOR SUBJECTS IN COMPLETED FY19 INVESTIGATIONS | FY19 Totals | H1. ASSOCIATED VICTIM DATA FOR COMPLETED FY19 INVESTIGATIONS | FY19 Totals |
|--|-------------|---|-------------|
| # Subjects in Unrestricted Reports that could not be investigated by DoD or Civilian Law Enforcement Note: These Subjects are from Unrestricted Reports referred to MCIOs or other law enforcement for investigation during FY19, but the agency could not open an investigation based on the reasons below. | 2 | | |
| # Subjects - Not subject to the UCMJ | 0 | | |
| # Subjects - Crime was beyond statute of limitations | 0 | | |
| # Subjects - Matter alleged occurred prior to Victim's Military Service | 1 | | |
| # Subjects - Other | 1 | | |
| # Subjects in investigations completed in FY19 Note: These are Subjects from Tab1b, Cells B29, B59, B77. | 1160 | # Victims in investigations completed in FY19 | 1087 |
| # Service Member Subjects in investigations opened and completed in FY19 | 409 | # Service Member Victims in investigations opened and completed in FY19 | 699 |
| | | | |
| # Total Subjects Outside DoD Prosecutive Authority | 134 | | |
| # Unknown Offenders | 97 | # Service Member Victims in substantiated Unknown Offender Reports | 67 |
| | | # Service Member Victims in remaining Unknown Offender Reports | 18 |
| # US Civilians or Foreign National Subjects not subject to the UCMJ | 35 | # Service Member Victims in substantiated Civilian/Foreign National Subject Reports | 27 |
| | | # Service Member Victims in remaining Civilian/Foreign National Subject Reports | 5 |
| # Service Members Prosecuted by a Civilian or Foreign Authority | 2 | # Service Member Victims in substantiated reports against a Service Member who is being Prosecuted by a Civilian or Foreign Authority | 0 |
| # Subjects who died or deserted | 0 | # Service Member Victims in substantiated reports with a deceased or deserted Subject | 0 |
| | | # Service Member Victims in remaining reports with a deceased or deserted Subject | 0 |
| # Total Command Action Precluded or Declined for Sexual Assault | 196 | | |
| # Service Member Subjects where Victim declined to participate in the military justice action | 22 | # Service Member Victims who declined to participate in the military justice action | 15 |
| # Service Member Subjects whose investigations had insufficient evidence to prosecute | 159 | # Service Member Victims in investigations having insufficient evidence to prosecute | 137 |
| # Service Member Subjects whose cases involved expired statute of limitations | 0 | # Service Member Victims whose cases involved expired statute of limitations | 0 |
| # Service Member Subjects with allegations that were unfounded by Command | 15 | # Service Member Victims whose allegations were unfounded by Command | 11 |
| # Service Member Subjects with Victims who died before completion of military justice action | 0 | # Service Member Victims who died before completion of the military justice action | 0 |
| # Subjects disposition data not yet available | 603 | # Service Member Victims involved in reports with Subject disposition data not yet available | 567 |
| # Subjects for whom Command Action was completed as of 30-SEP-2019 | 204 | | |
| # FY19 Service Member Subjects where evidence supported Command Action | 204 | # FY19 Service Member Victims in cases where evidence supported Command Action | 218 |
| # Service Member Subjects: Courts-Martial charge preferred | 25 | # Service Member Victims involved with Courts-Martial preferences against Subject | 31 |
| # Service Member Subjects: Nonjudicial punishments (Article 15 UCMJ) | 52 | # Service Member Victims involved with Nonjudicial punishments (Article 15) against Subject | 61 |
| # Service Member Subjects: Administrative discharges | 11 | # Service Member Victims involved with Administrative discharges against Subject | 13 |
| # Service Member Subjects: Other adverse administrative actions | 25 | # Service Member Victims involved with Other administrative actions against Subject | 24 |
| # Service Member Subjects: Courts-Martial charge preferred for non-sexual assault offense | 5 | # Service Member Victims involved with Courts-Martial preferences for non-sexual assault offenses | 6 |
| # Service Member Subjects: Non-judicial punishment for non-sexual assault offense | 60 | # Service Member Victims involved with Nonjudicial punishment for non-sexual assault offenses | 56 |
| # Service Member Subjects: Administrative discharges for non-sexual assault offense | 9 | # Service Member Victims involved with administrative discharges for non-SA offense | 11 |
| # Service Member Subjects: Other adverse administrative actions for non-sexual assault offense | 17 | # Service Member Victims involved with Other administrative actions for non-SA offense | 16 |
| * Restricted Reports that convert to Unrestricted Reports are counted with the total number of Unrestricted Reports. | | | |

Unrestricted Reports (continued)

| I. COURTS-MARTIAL ADJUDICATIONS AND OUTCOMES (Sexual Assault Charge). This section reports the outcomes of Courts-Martial for sexual assault crimes completed during FY19 | FY19 Totals |
|--|--------------------|
| # Total Subjects with Courts-Martial Charge Preferred for a Sexual Assault Charge Pending Court Completion | 134 |
| # Subjects whose Courts-Martial action was NOT completed by the end of FY19 | 21 |
| # Subjects whose Courts-Martial was completed by the end of FY19 | 113 |
| # Subjects whose Courts-Martial was dismissed | 33 |
| # Subjects in Charges dismissed subsequent to recommendation by Art. 32 hearing officer | 17 |
| # Subjects in Charges dismissed subsequent to recommendation by Art. 32 hearing officer followed by Art. 15 punishment | 5 |
| # Subjects in Charges dismissed subsequent to recommendation by Art. 32 hearing officer followed by Art. 15 acquittal | 0 |
| # Subjects in Charges dismissed for any other reason prior to Courts-Martial | 7 |
| # Subjects in Charges dismissed for any other reason prior to Courts-Martial followed by Art. 15 punishment | 4 |
| # Subjects in Charges dismissed for any other reason prior to Courts-Martial followed by Art. 15 acquittal | 0 |
| # Subjects who resigned or were discharged in lieu of Courts-Martial | 11 |
| # Officer Subjects who were allowed to resign in lieu of Courts-Martial | 1 |
| # Enlisted Subjects who were discharged in lieu of Courts-Martial | 10 |
| # Subjects with Courts-Martial charges proceeding to trial on a sexual assault charge | 69 |
| # Subjects Acquitted of Charges | 18 |
| # Subjects Convicted of Any Charge at Trial | 51 |
| # Subjects with unknown punishment | 4 |
| # Subjects with no punishment | 0 |
| # Subjects with pending punishment | 0 |
| # Subjects with Punishment | 47 |
| # Subjects receiving confinement | 40 |
| # Subjects receiving reductions in rank | 30 |
| # Subjects receiving fines or forfeitures | 13 |
| # Subjects receiving a punitive discharge (Dishonorable, Bad Conduct, or Dismissal) | 23 |
| # Subjects receiving restriction or some limitation on freedom | 4 |
| # Subjects receiving extra duty | 0 |
| # Subjects receiving hard labor | 0 |
| # Subjects to be processed for administrative discharge or separation subsequent to sexual assault conviction | 13 |
| # Subjects receiving UOTHC administrative discharge | 13 |
| # Subjects receiving General administrative discharge | 0 |
| # Subjects receiving Honorable administrative discharge | 0 |
| # Subjects receiving Uncharacterized administrative discharge | 0 |
| # Convicted Subjects with a conviction under a UCMJ Article that requires Sex Offender Registration | 18 |
| J. NONJUDICIAL PUNISHMENTS IMPOSED (Sexual Assault Charge). This section reports the outcomes of nonjudicial punishments for sexual assault crimes completed during FY19 | FY19 Totals |
| # Total Subjects with Nonjudicial Punishment (Article 15) for a Sexual Assault Charge in FY19 | 80 |
| # Subjects whose nonjudicial punishment action was not completed by the end of FY19 | 12 |
| # Subjects whose nonjudicial punishment action was completed by the end of FY19 | 68 |
| # Subjects whose nonjudicial punishment was dismissed | 9 |
| # Subjects administered nonjudicial punishment | 59 |
| # Subjects with unknown punishment | 1 |
| # Subjects with no punishment | 1 |
| # Subjects with pending punishment | 0 |
| # Subjects with Punishment | 57 |
| # Subjects receiving correctional custody | 2 |
| # Subjects receiving reductions in rank | 40 |
| # Subjects receiving fines or forfeitures | 0 |
| # Subjects receiving restriction or some limitation on freedom | 40 |
| # Subjects receiving extra duty | 24 |
| # Subjects receiving hard labor | 0 |
| # Subjects receiving a reprimand | 5 |
| # Subjects processed for an administrative discharge or separation subsequent to nonjudicial punishment on a sexual assault charge | 23 |
| # Subjects who received NJP followed by UOTHC administrative discharge | 10 |
| # Subjects who received NJP followed by General administrative discharge | 10 |
| # Subjects who received NJP followed by Honorable administrative discharge | 1 |
| # Subjects who received NJP followed by Uncharacterized administrative discharge | 2 |
| K. OTHER ACTIONS TAKEN. This section reports other disciplinary action taken for Subjects who were investigated for sexual assault. It combines outcomes for Subjects in these categories listed in Sections D and E above. | FY19 Totals |
| # Subjects whose administrative discharge or other separation action was not completed by the end of FY19 | 7 |
| # Subjects receiving an administrative discharge or other separation for a sexual assault offense | 20 |
| # Subjects receiving UOTHC administrative discharge | 5 |
| # Subjects receiving General administrative discharge | 5 |
| # Subjects receiving Honorable administrative discharge | 0 |
| # Subjects receiving Uncharacterized administrative discharge | 10 |
| # Subjects whose other adverse administrative action was not completed by the end of FY19 | 2 |
| # Subjects receiving other adverse administrative action for a sexual assault offense | 37 |

Unrestricted Reports (continued)

| L. COURTS-MARTIAL ADJUDICATIONS AND OUTCOMES (Non-sexual assault offense). This section reports the outcomes of Courts-Martials for Subjects who were investigated for sexual assault, but upon review of the evidence there was only probable cause for a non-sexual assault offense. It combines outcomes for Subjects in this category listed in Sections D and E above. | FY19 Totals |
|--|--------------------|
| # Total Subjects with Courts-Martial Charge Preferred for a non-sexual assault offense in FY19 | 19 |
| # Subjects whose Courts-Martial action was NOT completed by the end of FY19 | 2 |
| # Subjects whose Courts-Martial was completed by the end of FY19 | 17 |
| # Subjects whose Courts-Martial was dismissed | 1 |
| # Subjects in Charges dismissed subsequent to recommendation by Art. 32 hearing officer | 0 |
| # Subjects in Charges dismissed subsequent to recommendation by Art. 32 hearing officer followed by Art. 15 punishment | 0 |
| # Subjects in Charges dismissed subsequent to recommendation by Art. 32 hearing officer followed by Art. 15 acquittal | 0 |
| # Subjects in Charges dismissed for any other reason prior to Courts-Martial | 0 |
| # Subjects in Charges dismissed for any other reason prior to Courts-Martial followed by Art. 15 punishment | 1 |
| # Subjects in Charges dismissed for any other reason prior to Courts-Martial followed by Art. 15 acquittal | 0 |
| # Subjects who resigned or were discharged in lieu of Courts-Martial for a non-sexual assault offense | 0 |
| # Officer Subjects who were officers that where allowed to resign in lieu of Courts-Martial | 0 |
| # Enlisted Subjects who were discharged in lieu of Courts-Martial | 0 |
| # Subjects with Courts-Martial charges proceeding to trial on a non-sexual assault offense | 16 |
| # Subjects Acquitted of Charges | 1 |
| # Subjects Convicted of Any Non-Sexual Assault Charge at Trial | 15 |
| # Subjects with unknown punishment | 0 |
| # Subjects with no punishment | 0 |
| # Subjects with pending punishment | 0 |
| # Subjects with Punishment | 15 |
| # Subjects receiving confinement | 12 |
| # Subjects receiving reductions in rank | 10 |
| # Subjects receiving fines or forfeitures | 4 |
| # Subjects receiving a punitive discharge (Dishonorable, Bad Conduct, or Dismissal) | 3 |
| # Subjects receiving restriction or some limitation on freedom | 2 |
| # Subjects receiving extra duty | 0 |
| # Subjects receiving hard labor | 0 |
| # Subjects processed for an administrative discharge or separation subsequent to conviction at trial | 8 |
| # Subjects receiving UOTHC administrative discharge | 8 |
| # Subjects receiving General administrative discharge | 0 |
| # Subjects receiving Honorable administrative discharge | 0 |
| # Subjects receiving Uncharacterized administrative discharge | 0 |
| M. NONJUDICIAL PUNISHMENTS IMPOSED (Non-Sexual Assault Charge). This section reports the outcomes of nonjudicial punishments for Subjects who were investigated for sexual assault, but upon review of the evidence there was only probable cause for a non-sexual assault offense. It combines outcomes for Subjects in this category listed in Sections D and E above. | FY19 Totals |
| # Total Subjects with Nonjudicial Punishment (Article 15) for a non-sexual assault offense in FY19 | 125 |
| # Subjects whose nonjudicial punishment action was not completed by the end of FY19 | 10 |
| # Subjects whose nonjudicial punishment action was completed by the end of FY19 | 115 |
| # Subjects whose nonjudicial punishment was dismissed | 6 |
| # Subjects administered nonjudicial punishment for a non-sexual assault offense | 109 |
| # Subjects with unknown punishment | 3 |
| # Subjects with no punishment | 2 |
| # Subjects with pending punishment | 0 |
| # Subjects with Punishment | 104 |
| # Subjects receiving correctional custody | 0 |
| # Subjects receiving reductions in rank | 78 |
| # Subjects receiving fines or forfeitures | 0 |
| # Subjects receiving restriction or some limitation on freedom | 57 |
| # Subjects receiving extra duty | 53 |
| # Subjects receiving hard labor | 0 |
| # Subjects receiving a reprimand | 11 |
| # Subjects receiving an administrative discharge subsequent to nonjudicial punishment on a non-sexual assault charge | 17 |
| # Subjects who received NJP followed by UOTHC administrative discharge | 2 |
| # Subjects who received NJP followed by General administrative discharge | 12 |
| # Subjects who received NJP followed by Honorable administrative discharge | 0 |
| # Subjects who received NJP followed by Uncharacterized administrative discharge | 3 |
| N. OTHER ACTIONS TAKEN (Non-sexual assault offense). This section reports other disciplinary action taken for Subjects who were investigated for sexual assault, but upon review of the evidence there was only probable cause for a non-sexual assault offense. It combines outcomes for Subjects in these categories listed in Sections D and E above. | FY19 Totals |
| # Subjects whose administrative discharge or other separation action was not completed by the end of FY19 | 2 |
| # Subjects receiving an administrative discharge or other separation for a non-sexual assault offense | 6 |
| # Subjects receiving UOTHC administrative discharge | 1 |
| # Subjects receiving General administrative discharge | 4 |
| # Subjects receiving Honorable administrative discharge | 0 |
| # Subjects receiving Uncharacterized administrative discharge | 1 |
| # Subjects whose other adverse administrative action was not completed by the end of FY19 | 0 |
| # Subjects receiving other adverse administrative action for a non-sexual assault offense | 26 |

Restricted Reports

| NAVY FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT | |
|--|--------------------|
| A. FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT | FY19 Totals |
| # TOTAL Victims initially making Restricted Reports | 737 |
| # Service Member Victims making Restricted Reports | 714 |
| # Non-Service Member Victims making Restricted Report involving a Service Member Subject | 9 |
| # Relevant Data Not Available | 14 |
| # Total Victims who reported and converted from Restricted Report to Unrestricted Report in the FY19* | 205 |
| # Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 188 |
| # Non-Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 3 |
| # Relevant Data Not Available | 14 |
| # Total Victim reports remaining Restricted | 532 |
| # Service Member Victim reports remaining Restricted | 526 |
| # Non-Service Member Victim reports remaining Restricted | 6 |
| # Relevant Data Not Available | 0 |
| # Remaining Restricted Reports involving Service Members in the following categories | 532 |
| # Service Member on Service Member | 312 |
| # Non-Service Member on Service Member | 117 |
| # Service Member on Non-Service Member (entitled to a RR by DoD Policy) | 6 |
| # Unidentified Subject on Service Member | 97 |
| # Relevant Data Not Available | 0 |
| B. INCIDENT DETAILS | FY19 Totals |
| # Reported sexual assaults occurring | 532 |
| # On military installation | 135 |
| # Off military installation | 235 |
| # Unidentified location | 138 |
| # Relevant Data Not Available | 24 |
| Length of time between sexual assault and Restricted Report | 532 |
| # Reports made within 3 days of sexual assault | 140 |
| # Reports made within 4 to 10 days after sexual assault | 47 |
| # Reports made within 11 to 30 days after sexual assault | 46 |
| # Reports made within 31 to 365 days after sexual assault | 78 |
| # Reports made longer than 365 days after sexual assault | 74 |
| # Relevant Data Not Available | 147 |
| Time of sexual assault incident | 532 |
| # Midnight to 6 am | 107 |
| # 6 am to 6 pm | 37 |
| # 6 pm to midnight | 175 |
| # Unknown | 200 |
| # Relevant Data Not Available | 13 |
| Day of sexual assault incident | 532 |
| # Sunday | 72 |
| # Monday | 41 |
| # Tuesday | 32 |
| # Wednesday | 29 |
| # Thursday | 47 |
| # Friday | 64 |
| # Saturday | 102 |
| # Relevant Data Not Available | 145 |
| C. RESTRICTED REPORTING - VICTIM SERVICE AFFILIATION | FY19 Totals |
| # Service Member Victims | 526 |
| # Army Victims | 4 |
| # Navy Victims | 520 |
| # Marines Victims | 0 |
| # Air Force Victims | 2 |
| # Coast Guard Victims | 0 |
| # Relevant Data Not Available | 0 |

Restricted Reports (continued)

| D. DEMOGRAPHICS FOR FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT | FY19 Totals |
|---|--------------------|
| Gender of Victims | 532 |
| # Male | 107 |
| # Female | 425 |
| # Relevant Data Not Available | 0 |
| Age of Victims at the Time of Incident | 532 |
| # 0-15 | 31 |
| # 16-19 | 157 |
| # 20-24 | 236 |
| # 25-34 | 83 |
| # 35-49 | 19 |
| # 50-64 | 2 |
| # 65 and older | 0 |
| # Relevant Data Not Available | 4 |
| Grade of Service Member Victims | 526 |
| # E1-E4 | 377 |
| # E5-E9 | 113 |
| # WO1-WO5 | 0 |
| # O1-O3 | 19 |
| # O4-O10 | 4 |
| # Cadet/Midshipman | 12 |
| # Academy Prep School Student | 1 |
| # Relevant Data Not Available | 0 |
| Status of Service Member Victims | 526 |
| # Active Duty | 501 |
| # Reserve (Activated) | 11 |
| # National Guard (Activated - Title 10) | 1 |
| # Cadet/Midshipman/Prep School Student | 12 |
| # Academy Prep School Student | 1 |
| # Relevant Data Not Available | 0 |
| Victim Type | 532 |
| # Service Member | 526 |
| # DoD Civilian | |
| # DoD Contractor | |
| # Other US Government Civilian | |
| # Non-Service Member | 6 |
| # Foreign National | |
| # Foreign Military | |
| # Relevant Data Not Available | 0 |
| E. RESTRICTED REPORTING FOR A SEXUAL ASSAULT THAT OCCURRED PRIOR TO JOINING SERVICE | FY19 Totals |
| # Service Member Victims making a Restricted Report for Incidents Occurring Prior to Military Service | 96 |
| # Service Member Making A Restricted Report for an Incident that Occurred Prior to Age 18 | 56 |
| # Service Member Making a Restricted Report for an Incident that Occurred After Age 18 | 39 |
| # Service Member Choosing Not to Specify | 1 |
| # Relevant Data Not Available | 0 |
| F. RESTRICTED REPORTS CONVERSION DATA (DSAID USE ONLY) | FY19 Totals |
| Mean # of Days Taken to Change to Unrestricted | 31.66 |
| Standard Deviation of the Mean For Days Taken to Change to Unrestricted | 52.62 |
| Mode # of Days Taken to Change to Unrestricted | 1 |
| G. TOTAL VICTIMS WHO REPORTED IN PRIOR YEARS AND CONVERTED FROM RESTRICTED REPORT TO UNRESTRICTED REPORT IN THE FY19 | FY19 Totals |
| Total Victims who reported in prior years and converted from Restricted Report to Unrestricted Report in the FY19 | 29 |
| # Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 29 |
| # Non-Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 0 |
| # Relevant Data Not Available | 0 |
| * The Restricted Reports are reports that converted to Unrestricted Reports are counted in the total number of Unrestricted Reports listed in Worksheet 1a, Section A. | |

| NAVY FY19 SUPPORT SERVICES FOR VICTIMS OF SEXUAL ASSAULT | | |
|--|---|--|
| <i>NOTE: Totals of referrals and military protective orders are for all activities during the reporting period, regardless of when the sexual assault report was made.</i> | | |
| A. SUPPORT SERVICE REFERRALS TO SERVICE MEMBERS VICTIMS FROM UNRESTRICTED REPORTS: | FY19 Totals | |
| # Support service referrals for Victims in the following categories | | |
| # MILITARY Resources (Referred by DoD) | 7261 | |
| # Medical | 777 | |
| # Mental Health | 1192 | |
| # Legal | 1486 | |
| # Chaplain/Spiritual Support | 844 | |
| # Rape Crisis Center | | |
| # Victim Advocate/Uniformed Victim Advocate | 1458 | |
| # DoD Safe Helpline | 662 | |
| # Other | 842 | |
| # CIVILIAN Resources (Referred by DoD) | 896 | |
| # Medical | 38 | |
| # Mental Health | 110 | |
| # Legal | 7 | |
| # Chaplain/Spiritual Support | 844 | |
| # Rape Crisis Center | 122 | |
| # Victim Advocate | 128 | |
| # DoD Safe Helpline | | |
| # Other | 469 | |
| # Cases where SAFEs were conducted | 164 | |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 2 | |
| # Military Victims making an Unrestricted Report for an incident that occurred prior to military service | 81 | |
| B. FY19 MILITARY PROTECTIVE ORDERS (MPO)* AND EXPEDITED TRANSFERS - UNRESTRICTED REPORTS | FY19 TOTALS | |
| # Military Protective Orders issued during FY19 | 274 | |
| # Reported MPO Violations in FY19 | 0 | |
| # Reported MPO Violations by Subjects | 0 | |
| # Reported MPO Violations by Victims of sexual assault | 0 | |
| # Reported MPO Violations by Both | 0 | |
| *In accordance with DoD Policy, Military Protective Orders are only issued in Unrestricted Reports. A Restricted Report cannot be made when there is a safety risk for the Victim. | | |
| | Use the following categories or add a new category to identify the reason the requests were denied: | FY19 TOTALS |
| # Unit/Duty expedited transfer requests by Service Member Victims of sexual assault | 64 | Total Number Denied 9 |
| # Unit/Duty expedited transfer requests by Service Member Victims Denied | 2 | Reasons for Disapproval (Total) 4 |
| # Installation expedited transfer requests by Service Member Victims of sexual assault | 294 | Report not deemed credible 5 |
| # Installation expedited transfer requests by Service Member Victims Denied | 7 | Victim declined to participate 1 |
| C. SUPPORT SERVICE REFERRALS FOR MILITARY VICTIMS IN RESTRICTED REPORTS | | |
| # Support service referrals for Victims in the following categories | FY19 TOTALS | |
| # MILITARY Resources (Referred by DoD) | 2903 | Victim pending separation 2 |
| # Medical | 365 | Other: No billet available 1 |
| # Mental Health | 492 | |
| # Legal | 405 | |
| # Chaplain/Spiritual Support | 386 | |
| # Rape Crisis Center | | |
| # Victim Advocate/Uniformed Victim Advocate | 738 | |
| # DoD Safe Helpline | 297 | |
| # Other | 220 | |
| # CIVILIAN Resources (Referred by DoD) | 306 | |
| # Medical | 14 | |
| # Mental Health | 57 | |
| # Legal | 4 | |
| # Chaplain/Spiritual Support | 386 | |
| # Rape Crisis Center | 48 | |
| # Victim Advocate | 60 | |
| # DoD Safe Helpline | | |
| # Other | 119 | |
| # Cases where SAFEs were conducted | 81 | |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 1 | |

| CIVILIAN DATA | |
|---|--------------------|
| D. UNRESTRICTED REPORTS FROM NON-SERVICE MEMBERS (e.g., DOD CIVILIANS, DEPENDENTS, CONTRACTORS, ETC) THAT DO NOT INVOLVE A SERVICE MEMBER | FY19 Totals |
| D1. # Non-Service Members in the following categories: | 74 |
| # Non-Service Member on Non-Service Member | 9 |
| # Unidentified Subject or Undisclosed Affiliation on Non-Service Member | 8 |
| # Relevant Data Not Available | 57 |
| D2. Gender of Non-Service Members | 74 |
| # Male | 3 |
| # Female | 53 |
| # Relevant Data Not Available | 18 |
| D3. Age of Non-Service Members at the Time of Incident | 74 |
| # 0-15 | 0 |
| # 16-19 | 3 |
| # 20-24 | 3 |
| # 25-34 | 7 |
| # 35-49 | 0 |
| # 50-64 | 0 |
| # 65 and older | 0 |
| # Relevant Data Not Available | 61 |
| D4. Non-Service Member Type | 74 |
| # DoD Civilian | 4 |
| # DoD Contractor | 2 |
| # Other US Government Civilian | 0 |
| # US Civilian | 43 |
| # Foreign National | 3 |
| # Foreign Military | 0 |
| # Relevant Data Not Available | 22 |
| D5. # Support service referrals for Non-Service Members in the following categories | |
| # MILITARY Resources (Referred by DoD) | 198 |
| # Medical | 17 |
| # Mental Health | 31 |
| # Legal | 36 |
| # Chaplain/Spiritual Support | 19 |
| # Rape Crisis Center | 0 |
| # Victim Advocate/Uniformed Victim Advocate | 46 |
| # DoD Safe Helpline | 24 |
| # Other | 25 |
| # CIVILIAN Resources (Referred by DoD) | 87 |
| # Medical | 5 |
| # Mental Health | 10 |
| # Legal | 12 |
| # Chaplain/Spiritual Support | 1 |
| # Rape Crisis Center | 31 |
| # Victim Advocate | 5 |
| # DoD Safe Helpline | 0 |
| # Other | 23 |
| # Cases where SAFEs were conducted | 13 |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 0 |
| E. FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT FROM NON-SERVICE MEMBERS | FY19 Totals |
| E1. # Non-Service Member Victims making Restricted Report | 16 |
| # Non-Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 1 |
| # Non-Service Member Victim reports remaining Restricted | 15 |
| # Restricted Reports from Non-Service Member Victims in the following categories: | 15 |
| # Non-Service Member on Non-Service Member (entitled to a RR by DoD Policy) | 5 |
| # Unidentified Subject or Undisclosed Affiliation on Non-Service Member | 10 |
| # Relevant Data Not Available | 0 |
| E2. Gender of Non-Service Member Victims | 15 |
| # Male | 1 |
| # Female | 14 |
| # Relevant Data Not Available | 0 |
| E3. Age of Non-Service Member Victims at the Time of Incident | 15 |
| # 0-15 | 0 |
| # 16-19 | 2 |
| # 20-24 | 8 |
| # 25-34 | 5 |
| # 35-49 | 0 |
| # 50-64 | 0 |
| # 65 and older | 0 |
| # Relevant Data Not Available | 0 |
| E4. VICTIM Type | 15 |
| # DoD Civilian | 0 |
| # DoD Contractor | 0 |
| # Other US Government Civilian | 0 |
| # Non-Service Member | 15 |
| # Relevant Data Not Available | 0 |
| E5. # Support service referrals for Non-Service Member Victims in the following categories | |
| # MILITARY Resources | 73 |
| # Medical | 11 |
| # Mental Health | 11 |
| # Legal | 8 |
| # Chaplain/Spiritual Support | 8 |
| # Rape Crisis Center | 0 |
| # Victim Advocate/Uniformed Victim Advocate | 12 |
| # DoD Safe Helpline | 9 |
| # Other | 14 |
| # CIVILIAN Resources (Referred by DoD) | 7 |
| # Medical | 0 |
| # Mental Health | 0 |
| # Legal | 0 |
| # Chaplain/Spiritual Support | 0 |
| # Rape Crisis Center | 4 |
| # Victim Advocate | 1 |
| # DoD Safe Helpline | 0 |
| # Other | 2 |
| # Cases where SAFEs were conducted | 9 |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 0 |

Unrestricted Reports in Combat Areas of Interest

| NAVY COMBAT AREAS OF INTEREST | | |
|---|--|--|
| FY19 UNRESTRICTED REPORTS OF SEXUAL ASSAULTS IN THE MILITARY | | |
| Note: These Reports are a subset of the FY19 Reports of Sexual Assault. | | |
| <p>A. FY19 REPORTS OF SEXUAL ASSAULT IN COMBAT AREAS OF INTEREST (rape, sexual assault, aggravated sexual contact, abusive sexual contact, forcible sodomy, and attempts to commit these offenses) BY or AGAINST Service Members.</p> <p>Note: The data on this page is raw, uninvestigated information about allegations received during FY19. These Reports may not be fully investigated by the end of the fiscal year.</p> <p>This data is drawn from Defense Sexual Assault Database (DSAD) based on Service affiliation of the Sexual Assault Response Coordinator (SARC) who currently manages the Victim case.</p> | | FY19 Totals |
| # FY19 Unrestricted Reports (one Victim per report) | | 8 |
| # Service Member Victims | | 7 |
| # Non-Service Member Victims in allegations against Service Member Subject | | 1 |
| # Relevant Data Not Available | | 0 |
| # Unrestricted Reports in the following categories | | 8 |
| # Service Member on Service Member | | 4 |
| # Service Member on Non-Service Member | | 1 |
| # Non-Service Member on Service Member | | 0 |
| # Unidentified Subject on Service Member | | 2 |
| # Relevant Data Not Available | | 1 |
| # Unrestricted Reports of sexual assault occurring | | 8 |
| # On military installation | | 5 |
| # Off military installation | | 3 |
| # Unidentified location | | 0 |
| # Victim in Unrestricted Reports Referred for Investigation | | 8 |
| # Victims in investigations initiated during FY19 | | 8 |
| # Victims with Investigations pending completion at end of 30-SEP-2019 | | 1 |
| # Victims with Completed Investigations at end of 30-SEP-2019 | | 7 |
| # Victims with Investigative Data Forthcoming | | 0 |
| # Victims where investigation could not be opened by DoD or Civilian Law Enforcement | | 0 |
| # Victims - Alleged perpetrator not subject to the UCMJ | | 0 |
| # Victims - Crime was beyond statute of limitations | | 0 |
| # Victims - Unrestricted Reports for Matters Occurring Prior to Military Service | | 0 |
| # Victims - Other | | 0 |
| # All Restricted Reports in Combat Areas of Interest received in FY19 (one Victim per report) | | 10 |
| # Converted from Restricted Report to Unrestricted Report* (report made this year and converted this year) | | 4 |
| # Restricted Reports Remaining Restricted at end of FY19 | | 6 |
| B. DETAILS OF UNRESTRICTED REPORTS IN COMBAT AREAS OF INTEREST FOR FY19 | | FY19 Totals |
| | | FY19 Totals for Service Member Victim Cases |
| Length of time between sexual assault and Unrestricted Report | | 8 |
| # Reports made within 3 days of sexual assault | | 2 |
| # Reports made within 4 to 10 days after sexual assault | | 1 |
| # Reports made within 11 to 30 days after sexual assault | | 1 |
| # Reports made within 31 to 365 days after sexual assault | | 2 |
| # Reports made longer than 365 days after sexual assault | | 1 |
| # Relevant Data Not Available | | 0 |
| Time of sexual assault | | 8 |
| # Midnight to 6 am | | 4 |
| # 6 am to 6 pm | | 1 |
| # 6 pm to midnight | | 3 |
| # Unknown | | 0 |
| # Relevant Data Not Available | | 0 |
| Day of sexual assault | | 8 |
| # Sunday | | 1 |
| # Monday | | 1 |
| # Tuesday | | 1 |
| # Wednesday | | 1 |
| # Thursday | | 1 |
| # Friday | | 2 |
| # Saturday | | 1 |
| # Relevant Data Not Available | | 0 |

Unrestricted Reports in Combat Areas of Interest (continued)

| C. REPORTED SEXUAL ASSAULTS IN COMBAT AREA OF INTEREST INVOLVING SERVICE MEMBERS BY OR AGAINST SERVICE MEMBERS (VICTIM AND SUBJECT GENDER) | Male on Female | Male on Male | Female on Male | Female on Female | Unknown on Male | Unknown on Female | Multiple Mixed Gender Assault | Relevant Data Not Available | FY19 Totals | | |
|--|-----------------------------|--|--|-----------------------------------|---|---|---|---|--|--|--------------------|
| | 6 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 8 | | |
| # Service Member on Service Member | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 4 | | |
| # Service Member on Non-Service Member | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | | |
| # Non-Service Member on Service Member | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| # Unidentified Subject on Service Member | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 2 | | |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | | |
| FY19 UNRESTRICTED REPORTS OF SEXUAL ASSAULT BY MATTER INVESTIGATED TYPE (May not reflect what crimes can be charged upon completion of investigation) | | | | | | | | | | | |
| UNRESTRICTED REPORTS MADE IN FY19 | Penetrating Offenses | | | | Contact Offenses | | | | | | |
| D. REPORTED SEXUAL ASSAULTS IN COMBAT AREA OF INTEREST INVOLVING SERVICE MEMBERS BY OR AGAINST SERVICE MEMBERS (MOST SERIOUS CRIME ALLEGED, AS CATEGORIZED BY THE MILITARY CRIMINAL INVESTIGATIVE ORGANIZATION) | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 134) (Pre-FY08) | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | FY19 Totals |
| D1. | 4 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 8 |
| # Service Member on Service Member | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 4 |
| # Service Member on Non-Service Member | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Non-Service Member on Service Member | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unidentified Subject on Service Member | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Relevant Data Not Available | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| D2. | | | | | | | | | | | |
| TOTAL Service Member Victims in FY19 Reports | 3 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 7 |
| # Service Member Victims: Female | 3 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 7 |
| # Service Member Victims: Male | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TIME OF INCIDENT BY OFFENSE TYPE FOR UNRESTRICTED REPORTS OF SEXUAL ASSAULT IN COMBAT AREA OF INTEREST MADE IN FY19 | | | | | | | | | | | |
| D3. Time of sexual assault | 4 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 8 |
| # Midnight to 6 am | 1 | 0 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 4 |
| # 6 am to 6 pm | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| # 6 pm to midnight | 3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| D4. Day of sexual assault | 4 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 8 |
| # Sunday | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Monday | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Tuesday | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Wednesday | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Thursday | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Friday | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 2 |
| # Saturday | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Unrestricted Reports in Combat Areas of Interest (continued)

| COMBAT AREAS OF INTEREST - LOCATION OF UNRESTRICTED REPORTS BY TYPE OF OFFENSE | | | | | | | | | | | |
|--|-----------------|---|---|----------------------------|--------------------------------------|----------------------------------|--|--|---------------------------------------|---------------------------------|-------------|
| FY19 COMBAT AREAS OF INTEREST - LOCATIONS OF UNRESTRICTED REPORTS OF SEXUAL ASSAULT. Note: The data in this section is drawn from raw, uninvestigated information about Unrestricted Reports received during FY19. These Reports may not be fully investigated by the end of the fiscal year. | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 134) (Pre-FY08) | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | FY19 Totals |
| TOTAL UNRESTRICTED REPORTS | 4 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 8 |
| Afghanistan | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Bahrain | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 1 |
| Djibouti | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 2 |
| Iraq | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Jordan | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kosovo | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kuwait | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Lebanon | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Pakistan | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Qatar | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Saudi Arabia | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Somalia | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Syria | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Turkey | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Uae | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| Yemen | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL UNRESTRICTED REPORTS | 4 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 8 |

Unrestricted Reports in Combat Areas of Interest (continued)

| E. SUMMARY OF UNRESTRICTED REPORTS WITH INVESTIGATIONS IN COMBAT AREAS OF INTEREST | FY19 Totals |
|--|--------------------|
| E1. Subjects in Unrestricted Reports Made to Your Service with Investigation Initiated During FY19 in Combat Areas of Interest | |
| Note: This data is drawn from DSAID based on Service affiliation of the SARC who currently manages the Victim case associated with the investigation and Subject below. | |
| # Investigations Initiated during FY19 | 8 |
| # Investigations Completed as of FY19 End (group by MCIO #) | 6 |
| # Investigations Pending Completion as of FY19 End (group by MCIO #) | 2 |
| # Subjects in investigations Initiated During FY19 | 8 |
| # Service Member Subjects investigated by CID | 1 |
| # Your Service Member Subjects investigated by CID | 0 |
| # Other Service Member Subjects investigated by CID | 1 |
| # Service Member Subjects investigated by NCIS | 5 |
| # Your Service Member Subjects investigated by NCIS | 5 |
| # Other Service Member Subjects investigated by NCIS | 0 |
| # Service Member Subjects investigated by AFOSI | 0 |
| # Your Service Member Subjects investigated by AFOSI | 0 |
| # Other Service Member Subjects investigated by AFOSI | 0 |
| # Non-Service Member Subjects in Service Investigations | 0 |
| Note: Non-Service Member Subjects are drawn from all CID, NCIS and AFOSI investigations involving a Victim supported by your Service. | |
| # Unidentified Subjects in Service Investigations | 2 |
| Note: Unidentified Subjects are drawn from all CID, NCIS and AFOSI investigations involving a Victim supported by your Service. | |
| # Service Member Subjects investigated by Civilian or Foreign Law Enforcement | 0 |
| Note: Service Member Subjects are drawn from Civilian or Foreign Law Enforcement investigations involving a Victim supported by your Service. | |
| # Your Service Member Subjects investigated by Civilian or Foreign Law Enforcement | 0 |
| # Other Service Member Subjects investigated by Civilian or Foreign Law Enforcement | 0 |
| # Non-Service Member Subjects in Civilian or Foreign Law Enforcement Investigations involving a Victim supported by your Service | 0 |
| # Unidentified Subjects in Civilian or Foreign Law Enforcement Investigations involving a Victim supported by your Service | 0 |
| # Subject or Investigation Relevant Data Not Available | 0 |
| E2. Service Investigations Completed during FY19 in Combat Areas of Interest | |
| Note: The following data is drawn from DSAID and describes criminal investigations completed during the FY19. These investigations may have been initiated during the FY19 or any prior FY. | |
| # Total Investigations completed by Services during FY19 (Group by MCIO Case Number) | 6 |
| # Of these investigations with more than one Victim | 0 |
| # Of these investigations with more than one Subject | 1 |
| # Of these investigations with more than one Victim and more than one Subject | 0 |
| # Subjects in investigations completed during FY19 involving a Victim supported by your Service | 6 |
| # Service Member Subjects investigated by CID | 1 |
| # Your Service Member Subjects investigated by CID | 0 |
| # Other Service Member Subjects investigated by CID | 1 |
| # Service Member Subjects investigated by NCIS | 3 |
| # Your Service Member Subjects investigated by NCIS | 3 |
| # Other Service Member Subjects investigated by NCIS | 0 |
| # Service Member Subjects investigated by AFOSI | 0 |
| # Your Service Member Subjects investigated by AFOSI | 0 |
| # Other Service Member Subjects investigated by AFOSI | 0 |
| # Non-Service Member Subjects in completed Service Investigations involving a Victim supported by your Service | 0 |
| # Unidentified Subjects in completed Service Investigations involving a Victim supported by your Service | 2 |
| # Subject Relevant Data Not Available | 0 |
| # Victims in investigations completed during FY19, supported by your Service | 6 |
| # Service Member Victims in CID investigations | 1 |
| # Your Service Member Victims in CID investigations | 0 |
| # Other Service Member Victims in CID investigations | 1 |
| # Service Member Victims in NCIS investigations | 4 |
| # Your Service Member Victims in NCIS investigations | 3 |
| # Other Service Member Victims in NCIS investigations | 1 |
| # Service Member Victims in AFOSI investigations | 0 |
| # Your Service Member Victims in AFOSI investigations | 0 |
| # Other Service Member Victims in AFOSI investigations | 0 |
| # Non-Service Member Victims in completed Service Investigations, supported by your Service | 1 |
| # Victim Relevant Data Not Available | 0 |

Unrestricted Reports in Combat Areas of Interest (continued)

| | |
|---|----------|
| E3. Subjects and Victims in Investigations Completed by US Civilian and Foreign Agencies during FY19 in Combat Areas of Interest | |
| Note: This data is entered by your Service SARC for cases supported by your Service. | |
| # Total Investigations completed by US Civilian and Foreign Law Enforcement during FY19 (Group by MCIO Case Number) | 0 |
| # Of these investigations with more than one Victim | 0 |
| # Of these investigations with more than one Subject | 0 |
| # Of these investigations with more than one Victim and more than one Subject | 0 |
| # Subjects in investigations completed during FY19 involving a Victim supported by your Service | 0 |
| # Service Member Subjects investigated by Civilian and Foreign Law Enforcement | 0 |
| # Your Service Member Subjects investigated by Civilian and Foreign Law Enforcement | 0 |
| # Other Service Member Subjects investigated by Civilian and Foreign Law Enforcement | 0 |
| # Non-Service Member Subjects in Civilian and Foreign Law Enforcement Investigations involving a Victim supported by your Service | 0 |
| # Unidentified Subjects in Civilian and Foreign Law Enforcement Investigations involving a Victim supported by your Service | 0 |
| # Subject Relevant Data Not Available | 0 |
| # Victims in investigations completed during FY19, supported by your Service | 0 |
| # Service Member Victims in Civilian and Foreign Law Enforcement investigations | 0 |
| # Your Service Member Victims in Civilian and Foreign Law Enforcement investigations | 0 |
| # Other Service Member Victims in Civilian and Foreign Law Enforcement investigations | 0 |
| # Non-Service Member Victims in Civilian and Foreign Law Enforcement Investigations in a case supported by your Service | 0 |
| # Victim Relevant Data Not Available | 0 |
| E4. Subjects and Victims in Investigations Completed by Military Police/Security Forces/Master At Arms/Marine Corps CID (MPs) during FY19 (all organizations regardless of name are abbreviated below as "MPs") in Combat Areas of Interest | |
| Note: This data is entered by your Service SARC for cases supported by your Service. | |
| Note: As of 1 Jan 2013, all sexual assault investigations are referred to MCIO for investigation. This section captures remaining Subjects from investigations opened in prior years by Military Police/Security Forces/Master At Arms/Marine Corps CID. | |
| # Total Investigations completed by MPs during FY19 (Group by MCIO Case Number) | 0 |
| # Of these investigations with more than one Victim | 0 |
| # Of these investigations with more than one Subject | 0 |
| # Of these investigations with more than one Victim and more than one Subject | 0 |
| # Subjects in MP investigations completed during FY19 involving a Victim supported by your Service | 0 |
| # Service Member Subjects investigated by MPs | 0 |
| # Your Service Member Subjects investigated by MPs | 0 |
| # Other Service Member Subjects investigated by MPs | 0 |
| # Non-Service Member Subjects in MPs involving a Victim supported by your Service | 0 |
| # Unidentified Subjects in MPs involving a Victim supported by your Service | 0 |
| # Subject Relevant Data Not Available | 0 |
| # Victims in MP investigations completed during FY19, supported by your Service | 0 |
| # Service Member Victims in MP investigations | 0 |
| # Your Service Member Victims in MP investigations | 0 |
| # Other Service Member Victims in MP investigations | 0 |
| # Non-Service Member Victims in MP Investigations, supported by your Service | 0 |
| # Victim Relevant Data Not Available | 0 |

| Victims in Investigation Completed in FY19 in Combat Areas of Interest | Victim Data From Investigations completed during FY19 | | | | | | | | | | |
|---|---|---|---|----------------------------------|--|--|---|---|--|---------------------------------------|-------------|
| | Penetrating Offenses | | | | Contact Offenses | | | | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | FY19 Totals |
| | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 134) (Pre-FY08) | | | |
| F1. Gender of Victims | 2 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 6 |
| # Male | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Female | 2 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 6 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F2. Age of Victims | 2 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 6 |
| # 0-15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 16-19 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 20-24 | 0 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 4 |
| # 25-34 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # 35-49 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 50-64 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 65 and older | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| F3. Victim Type | 2 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 6 |
| # Service Member | 1 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 5 |
| # DoD Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # DoD Contractor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Other US Government Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # US Civilian | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Foreign National | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Foreign Military | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F4. Grade of Service Member Victims | 1 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 5 |
| # E1-E4 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # E5-E9 | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # WO1-WO5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # O1-O3 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # O4-O10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F5. Service of Service Member Victims | 1 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 5 |
| # Army | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Navy | 0 | 0 | 2 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 3 |
| # Marines | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Air Force | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Coast Guard | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F6. Status of Service Member Victims | 1 | 0 | 2 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 5 |
| # Active Duty | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| # Reserve (Activated) | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # National Guard (Activated - Title 10) | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Unrestricted Reports in Combat Areas of Interest (continued)

| G. DEMOGRAPHICS ON SUBJECTS IN INVESTIGATIONS COMPLETED IN FY19 COMBAT AREAS OF INTEREST (Investigation Completed within the reporting period. These investigations may have been opened in current or prior Fiscal Years) | Subject Data From Investigations completed during FY19 | | | | | | | | | | FY19 Totals |
|--|--|---|---|----------------------------|--------------------------------------|----------------------------------|--|--|---------------------------------------|---------------------------------|-------------|
| | Penetrating Offenses | | | | Contact Offenses | | | | | | |
| | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 134) (Pre-FY08) | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | |
| G1. Gender of Subjects | 3 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 6 |
| # Male | 2 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 5 |
| # Female | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G2. Age of Subjects | 3 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 6 |
| # 0-15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 16-19 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 20-24 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| # 25-34 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| # 35-49 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 50-64 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 65 and older | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| # Relevant Data Not Available | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| G3. Subject Type | 3 | 0 | 1 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 6 |
| # Service Member | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 4 |
| # Drill Instructors/Drill Sergeants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Recruiters | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # DoD Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # DoD Contractor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Other US Government Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # US Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Foreign National | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Foreign Military | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G4. Grade of Service Member Subjects | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 4 |
| # E1-E4 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| # E5-E9 | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| # WO1-WO5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # O1-O3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # O4-O10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G5. Service of Service Member Subjects | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 4 |
| # Army | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| # Navy | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| # Marines | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Air Force | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Coast Guard | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G6. Status of Service Member Subjects | 2 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 4 |
| # Active Duty | 2 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3 |
| # Reserve (Activated) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # National Guard (Activated - Title 10) | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Unrestricted Reports in Combat Areas of Interest (continued)

| H. FINAL DISPOSITIONS FOR SUBJECTS IN COMPLETED FY19 INVESTIGATIONS IN COMBAT AREAS OF INTEREST | FY19 Totals | H1. ASSOCIATED VICTIM DATA FOR COMPLETED FY19 INVESTIGATIONS IN COMBAT AREAS OF INTEREST | FY19 Totals |
|---|-------------|---|-------------|
| # Subjects in Unrestricted Reports that could not be investigated by DoD or Civilian Law Enforcement Note: These Subjects are from Unrestricted Reports referred to MCIOs or other law enforcement for investigation during FY19, but the agency could not open an investigation based on the reasons below. | 0 | | |
| # Subjects - Not subject to the UCMJ | 0 | | |
| # Subjects - Crime was beyond statute of limitations | 0 | | |
| # Subjects - Matter alleged occurred prior to Victim's Military Service | 0 | | |
| # Subjects - Other | 0 | | |
| # Subjects in investigations completed in FY19 Note: These are Subjects from Tab1b, Cells B29, B59, B77. | 7 | # Victims in investigations completed in FY19 | 6 |
| # Service Member Subjects in investigations opened and completed in FY19 | 4 | # Service Member Victims in investigations opened and completed in FY19 | 5 |
| # Total Subjects with allegations unfounded by a Military Criminal Investigative Organization | 0 | # Total Victims associated with MCIO unfounded allegations | 0 |
| # Service Member Subjects with allegations unfounded by MCIO | 0 | # Service Member Victims involved in MCIO unfounded allegations | 0 |
| # Non-Service Member Subjects with allegations unfounded by MCIO | 0 | # Non-Service Member Victims involved in MCIO unfounded allegations | 0 |
| # Unidentified Subjects with allegations unfounded by MCIO | 0 | | |
| # Subjects with Subject data not yet available and with allegations unfounded by MCIO | 0 | # Victims with Victim data not yet available and involved in MCIO unfounded allegations | 0 |
| # Total Subjects Outside DoD Prosecutive Authority | 2 | | |
| # Unknown Offenders | 2 | # Service Member Victims in substantiated Unknown Offender Reports | 2 |
| | | # Service Member Victims in remaining Unknown Offender Reports | 0 |
| # US Civilians or Foreign National Subjects not subject to the UCMJ | 0 | # Service Member Victims in substantiated Civilian/Foreign National Subject Reports | 0 |
| | | # Service Member Victims in remaining Civilian/Foreign National Subject Reports | 0 |
| # Service Members Prosecuted by a Civilian or Foreign Authority | 0 | # Service Member Victims in substantiated reports against a Service Member who is being Prosecuted by a Civilian or Foreign Authority | 0 |
| | | # Service Member Victims in substantiated reports with a deceased or deserted Subject | 0 |
| # Subjects who died or deserted | 0 | # Service Member Victims in remaining reports with a deceased or deserted Subject | 0 |
| # Total Command Action Precluded or Declined for Sexual Assault | 4 | | |
| # Service Member Subjects where Victim declined to participate in the military justice action | 0 | # Service Member Victims who declined to participate in the military justice action | 0 |
| # Service Member Subjects whose investigations had insufficient evidence to prosecute | 3 | # Service Member Victims in investigations having insufficient evidence to prosecute | 1 |
| # Service Member Subjects whose cases involved expired statute of limitations | 0 | # Service Member Victims whose cases involved expired statute of limitations | 0 |
| # Service Member Subjects with allegations that were unfounded by Command | 1 | # Service Member Victims whose allegations were unfounded by Command | 1 |
| # Service Member Subjects with Victims who died before completion of military justice action | 0 | # Service Member Victims who died before completion of the military justice action | 0 |
| # Subjects disposition data not yet available | 1 | # Service Member Victims involved in reports with Subject disposition data not yet available | 2 |
| # Subjects for whom Command Action was completed as of 30-SEP-2019 | 0 | | |
| # FY19 Service Member Subjects where evidence supported Command Action | 0 | # FY19 Service Member Victims in cases where evidence supported Command Action | 0 |
| # Service Member Subjects: Courts-Martial charge preferred | 0 | # Service Member Victims involved with Courts-Martial referrals against Subject | 0 |
| # Service Member Subjects: Nonjudicial punishments (Article 15 UCMJ) | 0 | # Service Member Victims involved with Nonjudicial punishments (Article 15) against Subject | 0 |
| # Service Member Subjects: Administrative discharges | 0 | # Service Member Victims involved with Administrative discharges against Subject | 0 |
| # Service Member Subjects: Other adverse administrative actions | 0 | # Service Member Victims involved with Other administrative actions against Subject | 0 |
| # Service Member Subjects: Courts-Martial charge preferred for non-sexual assault offense | 0 | # Service Member Victims involved with Courts-Martial referrals for non-sexual assault offenses | 0 |
| # Service Member Subjects: Non-judicial punishment for non-sexual assault offense | 0 | # Service Member Victims involved with Nonjudicial punishment for non-sexual assault offenses | 0 |
| # Service Member Subjects: Administrative discharges for non-sexual assault offense | 0 | # Service Member Victims involved with administrative discharges for non-SA offense | 0 |
| # Service Member Subjects: Other adverse administrative actions for non-sexual assault offense | 0 | # Service Member Victims involved with Other administrative actions for non-SA offense | 0 |

Unrestricted Reports that convert to Unrestricted Reports are counted with their Original Category of Unrestricted Reports.

Restricted Reports in Combat Areas of Interest

| NAVY COMBAT AREAS OF INTEREST (CAI) FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT | |
|--|--------------------|
| A. FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT IN COMBAT AREAS OF INTEREST | FY19 Totals |
| # TOTAL Victims initially making Restricted Reports | 10 |
| # Service Member Victims making Restricted Reports | 10 |
| # Non-Service Member Victims making Restricted Report involving a Service Member Subject | 0 |
| # Relevant Data Not Available | 0 |
| # Total Victims who reported and converted from Restricted Report to Unrestricted Report in the FY19* | 4 |
| # Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 4 |
| # Non-Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 0 |
| # Relevant Data Not Available | 0 |
| # Total Victim reports remaining Restricted | 6 |
| # Service Member Victim reports remaining Restricted | 6 |
| # Non-Service Member Victim reports remaining Restricted | 0 |
| # Relevant Data Not Available | 0 |
| # Remaining Restricted Reports involving Service Members in the following categories | 6 |
| # Service Member on Service Member | 4 |
| # Non-Service Member on Service Member | 0 |
| # Service Member on Non-Service Member (entitled to a RR by DoD Policy) | 0 |
| # Unidentified Subject on Service Member | 2 |
| # Relevant Data Not Available | 0 |
| B. INCIDENT DETAILS IN COMBAT AREAS OF INTEREST | FY19 Totals |
| # Reported sexual assaults occurring | 6 |
| # On military installation | 1 |
| # Off military installation | 5 |
| # Unidentified location | 0 |
| # Relevant Data Not Available | 0 |
| Length of time between sexual assault and Restricted Report | 6 |
| # Reports made within 3 days of sexual assault | 4 |
| # Reports made within 4 to 10 days after sexual assault | 0 |
| # Reports made within 11 to 30 days after sexual assault | 0 |
| # Reports made within 31 to 365 days after sexual assault | 1 |
| # Reports made longer than 365 days after sexual assault | 1 |
| # Relevant Data Not Available | 0 |
| Time of sexual assault incident | 6 |
| # Midnight to 6 am | 3 |
| # 6 am to 6 pm | 0 |
| # 6 pm to midnight | 2 |
| # Unknown | 1 |
| # Relevant Data Not Available | 0 |
| Day of sexual assault incident | 6 |
| # Sunday | 1 |
| # Monday | 0 |
| # Tuesday | 0 |
| # Wednesday | 0 |
| # Thursday | 1 |
| # Friday | 3 |
| # Saturday | 1 |
| # Relevant Data Not Available | 0 |
| C. RESTRICTED REPORTING - VICTIM SERVICE AFFILIATION IN COMBAT AREAS OF INTEREST | FY19 Totals |
| # Service Member Victims | 6 |
| # Army Victims | 1 |
| # Navy Victims | 5 |
| # Marines Victims | 0 |
| # Air Force Victims | 0 |
| # Coast Guard Victims | 0 |
| # Relevant Data Not Available | 0 |

Restricted Reports in Combat Areas of Interest (continued)

| NAVY COMBAT AREAS OF INTEREST (CAI) FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT | |
|--|--------------------|
| D. DEMOGRAPHICS FOR FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT IN COMBAT AREAS OF INTEREST | FY19 Totals |
| Gender of Victims | 6 |
| # Male | 0 |
| # Female | 6 |
| # Relevant Data Not Available | 0 |
| Age of Victims at the Time of Incident | 6 |
| # 0-15 | 0 |
| # 16-19 | 0 |
| # 20-24 | 3 |
| # 25-34 | 2 |
| # 35-49 | 1 |
| # 50-64 | 0 |
| # 65 and older | 0 |
| # Relevant Data Not Available | 0 |
| Grade of Service Member Victims | 6 |
| # E1-E4 | 2 |
| # E5-E9 | 4 |
| # WO1-WO5 | 0 |
| # O1-O3 | 0 |
| # O4-O10 | 0 |
| # Cadet/Midshipman | 0 |
| # Academy Prep School Student | 0 |
| # Relevant Data Not Available | 0 |
| Status of Service Member Victims | 6 |
| # Active Duty | 5 |
| # Reserve (Activated) | 1 |
| # National Guard (Activated - Title 10) | 0 |
| # Cadet/Midshipman/Prep School Student | 0 |
| # Academy Prep School Student | 0 |
| # Relevant Data Not Available | 0 |
| Victim Type | 6 |
| # Service Member | 6 |
| # DoD Civilian | 0 |
| # DoD Contractor | 0 |
| # Other US Government Civilian | 0 |
| # Non-Service Member | 0 |
| # Foreign National | 0 |
| # Foreign Military | 0 |
| # Relevant Data Not Available | 0 |
| E. RESTRICTED REPORTING FOR A SEXUAL ASSAULT THAT OCCURRED PRIOR TO JOINING SERVICE IN COMBAT AREAS OF INTEREST | FY19 Totals |
| # Service Member Victims making a Restricted Report for Incidents Occurring Prior to Military Service | 0 |
| # Service Member Making A Restricted Report for an Incident that Occurred Prior to Age 18 | 0 |
| # Service Member Making a Restricted Report for an Incident that Occurred After Age 18 | 0 |
| # Service Member Choosing Not to Specify | 0 |
| # Relevant Data Not Available | 0 |
| F. RESTRICTED REPORTS CONVERSION DATA (DSAID USE ONLY) IN COMBAT AREAS OF INTEREST | FY19 Totals |
| Mean # of Days Taken to Change to Unrestricted | 8 |
| Standard Deviation of the Mean For Days Taken to Change to Unrestricted | 9.8 |
| Mode # of Days Taken to Change to Unrestricted | 0 |
| G. TOTAL VICTIMS WHO REPORTED IN PRIOR YEARS AND CONVERTED FROM RESTRICTED REPORT TO UNRESTRICTED REPORT IN THE FY19 IN COMBAT AREAS OF INTEREST | FY19 Totals |
| Total Victims who reported in prior years and converted from Restricted Report to Unrestricted Report in the FY19 | 0 |
| # Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 0 |
| # Non-Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 0 |
| # Relevant Data Not Available | 0 |
| TOTAL # FY19 COMBAT AREAS OF INTEREST - RESTRICTED REPORTS OF SEXUAL ASSAULT | FY19 Totals |
| TOTAL RESTRICTED ASSAULTS IN COMBAT AREAS OF INTEREST | 6 |
| Afghanistan | 0 |
| Bahrain | 5 |
| Djibouti | 1 |
| Iraq | 0 |
| Jordan | 0 |
| Kosovo | 0 |
| Kuwait | 0 |
| Lebanon | 0 |
| Pakistan | 0 |
| Qatar | 0 |
| Saudi Arabia | 0 |
| Somalia | 0 |
| Syria | 0 |
| Turkey | 0 |
| Uae | 0 |
| Yemen | 0 |
| * The Restricted Reports are reports that converted to Unrestricted Reports are counted in the total number of Unrestricted Reports listed in Worksheet 1a, Section A. | |

Support Services in Combat Areas of Interest

| NAVY CAI FY19 SUPPORT SERVICES FOR VICTIMS OF SEXUAL ASSAULT | |
|--|--------------------|
| <i>NOTE: Totals of referrals and military protective orders are for all activities during the reporting period, regardless of when the sexual assault report was made.</i> | |
| A. SUPPORT SERVICE REFERRALS TO SERVICE MEMBERS VICTIMS FROM UNRESTRICTED REPORTS: | FY19 Totals |
| # Support service referrals for Victims in the following categories | |
| # MILITARY Resources (Referred by DoD) | 67 |
| # Medical | 7 |
| # Mental Health | 13 |
| # Legal | 10 |
| # Chaplain/Spiritual Support | 7 |
| # Rape Crisis Center | |
| # Victim Advocate/Uniformed Victim Advocate | 18 |
| # DoD Safe Helpline | 5 |
| # Other | 7 |
| # CIVILIAN Resources (Referred by DoD) | 13 |
| # Medical | 0 |
| # Mental Health | 1 |
| # Legal | 0 |
| # Chaplain/Spiritual Support | 0 |
| # Rape Crisis Center | 0 |
| # Victim Advocate | 2 |
| # DoD Safe Helpline | |
| # Other | 10 |
| # Cases where SAFEs were conducted | 3 |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 0 |
| # Military Victims making an Unrestricted Report for an incident that occurred prior to military service | 0 |
| B. FY19 MILITARY PROTECTIVE ORDERS (MPO)* AND EXPEDITED TRANSFERS - UNRESTRICTED REPORTS IN COMBAT AREAS OF INTEREST | FY19 TOTALS |
| # Military Protective Orders issued during FY19 | 3 |
| # Reported MPO Violations in FY19 | 0 |
| # Reported MPO Violations by Subjects | 0 |
| # Reported MPO Violations by Victims of sexual assault | 0 |
| # Reported MPO Violations by Both | 0 |
| <i>*In accordance with DoD Policy, Military Protective Orders are only issued in Unrestricted Reports. A Restricted Report cannot be made when</i> | |
| # Unit/Duty expedited transfer requests by Service Member Victims of sexual assault | 0 |
| # Unit/Duty expedited transfer requests by Service Member Victims Denied | 0 |
| # Installation expedited transfer requests by Service Member Victims of sexual assault | 0 |
| # Installation expedited transfer requests by Service Member Victims Denied | 0 |
| C. SUPPORT SERVICE REFERRALS FOR MILITARY VICTIMS IN RESTRICTED REPORTS IN COMBAT AREAS OF INTEREST | FY19 TOTALS |
| # Support service referrals for Victims in the following categories | |
| # MILITARY Resources (Referred by DoD) | 32 |
| # Medical | 5 |
| # Mental Health | 5 |
| # Legal | 6 |
| # Chaplain/Spiritual Support | 7 |
| # Rape Crisis Center | |
| # Victim Advocate/Uniformed Victim Advocate | 7 |
| # DoD Safe Helpline | 2 |
| # Other | 0 |
| # CIVILIAN Resources (Referred by DoD) | 17 |
| # Medical | 0 |
| # Mental Health | 3 |
| # Legal | 0 |
| # Chaplain/Spiritual Support | 0 |
| # Rape Crisis Center | 0 |
| # Victim Advocate | 2 |
| # DoD Safe Helpline | |
| # Other | 12 |
| # Cases where SAFEs were conducted | 2 |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 0 |

| CIVILIAN DATA | |
|--|--------------------|
| D. UNRESTRICTED REPORTS FROM NON-SERVICE MEMBERS (e.g., DOD CIVILIANS, DEPENDENTS, CONTRACTORS, ETC) THAT DO NOT INVOLVE A SERVICE MEMBER IN COMBAT AREAS OF INTEREST | FY19 Totals |
| D1. # Non-Service Members in the following categories: | 0 |
| # Non-Service Member on Non-Service Member | 0 |
| # Unidentified Subject or Undisclosed Affiliation on Non-Service Member | 0 |
| # Relevant Data Not Available | 0 |
| D2. Gender of Non-Service Members | 0 |
| # Male | 0 |
| # Female | 0 |
| # Relevant Data Not Available | 0 |
| D3. Age of Non-Service Members at the Time of Incident | 0 |
| # 0-15 | 0 |
| # 16-19 | 0 |
| # 20-24 | 0 |
| # 25-34 | 0 |
| # 35-49 | 0 |
| # 50-64 | 0 |
| # 65 and older | 0 |
| # Relevant Data Not Available | 0 |
| D4. Non-Service Member Type | 0 |
| # DoD Civilian | 0 |
| # DoD Contractor | 0 |
| # Other US Government Civilian | 0 |
| # US Civilian | 0 |
| # Foreign National | 0 |
| # Foreign Military | 0 |
| # Relevant Data Not Available | 0 |
| D5. # Support service referrals for Non-Service Members in the following categories | 0 |
| # MILITARY Resources (Referred by DoD) | 0 |
| # Medical | 0 |
| # Mental Health | 0 |
| # Legal | 0 |
| # Chaplain/Spiritual Support | 0 |
| # Rape Crisis Center | 0 |
| # Victim Advocate/Uniformed Victim Advocate | 0 |
| # DoD Safe Helpline | 0 |
| # Other | 0 |
| # CIVILIAN Resources (Referred by DoD) | 0 |
| # Medical | 0 |
| # Mental Health | 0 |
| # Legal | 0 |
| # Chaplain/Spiritual Support | 0 |
| # Rape Crisis Center | 0 |
| # Victim Advocate | 0 |
| # DoD Safe Helpline | 0 |
| # Other | 0 |
| # Cases where SAFEs were conducted | 0 |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 0 |
| E. FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT FROM NON-SERVICE MEMBERS IN COMBAT AREAS OF INTEREST | FY19 Totals |
| E1. # Non-Service Member Victims making Restricted Report | 0 |
| # Non-Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 0 |
| # Non-Service Member Victim reports remaining Restricted | 0 |
| # Restricted Reports from Non-Service Member Victims in the following categories: | 0 |
| # Non-Service Member on Non-Service Member (entitled to a RR by DoD Policy) | 0 |
| # Unidentified Subject or Undisclosed Affiliation on Non-Service Member | 0 |
| # Relevant Data Not Available | 0 |
| E2. Gender of Non-Service Member Victims | 0 |
| # Male | 0 |
| # Female | 0 |
| # Relevant Data Not Available | 0 |
| E3. Age of Non-Service Member Victims at the Time of Incident | 0 |
| # 0-15 | 0 |
| # 16-19 | 0 |
| # 20-24 | 0 |
| # 25-34 | 0 |
| # 35-49 | 0 |
| # 50-64 | 0 |
| # 65 and older | 0 |
| # Relevant Data Not Available | 0 |
| E4. VICTIM Type | 0 |
| # DoD Civilian | 0 |
| # DoD Contractor | 0 |
| # Other US Government Civilian | 0 |
| # Non-Service Member | 0 |
| # Relevant Data Not Available | 0 |
| E5. # Support service referrals for Non-Service Member Victims in the following categories | 0 |
| # MILITARY Resources | 0 |
| # Medical | 0 |
| # Mental Health | 0 |
| # Legal | 0 |
| # Chaplain/Spiritual Support | 0 |
| # Rape Crisis Center | 0 |
| # Victim Advocate/Uniformed Victim Advocate | 0 |
| # DoD Safe Helpline | 0 |
| # Other | 0 |
| # CIVILIAN Resources (Referred by DoD) | 0 |
| # Medical | 0 |
| # Mental Health | 0 |
| # Legal | 0 |
| # Chaplain/Spiritual Support | 0 |
| # Rape Crisis Center | 0 |
| # Victim Advocate | 0 |
| # DoD Safe Helpline | 0 |
| # Other | 0 |
| # Cases where SAFEs were conducted | 0 |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 0 |

| No. | Host Service Sexual Assault Investigation Subject Is Investigated For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject: Prior Investigation For Sex Assault? | Subject: Moral Report Accessibility | Subject Referral Type | Quarter/Offense Completed | Case Disposition | Host Service Sexual Assault Offense Charged | Host Service Other Offense Charged | Court Case or Article 15 Outcome | Reason Charges Downgraded or Art 15 Hearing, if applicable | Host Service Offense Convicted | Administrative Actions | | | Annotch Use | Case Synopsis Note | |
|-----|---|-------------------|--------------------|------------------|---------------|---------------------|-------------------|----------------|---|-------------------------------------|-----------------------|---------------------------|--|--|---|--|--|---|-------------------------------|----------------|-------------------------------|--|---|---|
| | | | | | | | | | | | | | | | | | | | Must Register as Sex Offender | Discharge Type | Must Register as Sex Offender | | | |
| 21 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-6 | Female | Navy | E-6 | Male | No | No | | Q2 (January-March) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | Charge dismissed for any other reason prior to Courts-Martial followed by Art. 15 punishment | | None | | | | Both Victim and Subject | Perforation of Pay and Allowances: Yes; Fine: No; Restriction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Conventional Custody (NCP Only): No; Notes: Victim reported Subject made unwanted sexual advances at an off-base location and grabbed her arms, leaving bruises. NCIS investigated. SA-DA preferred charges to a court-martial. Charges were preferred and Subject was given NCP for Art 120 pursuant to a pre-trial agreement and with concurrence of Victim. In addition to NCP, Subject's promotion recommendation to E-7 was rescinded. | |
| 22 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | Assault (Art. 128) | Article 15 Punishment Imposed | | General | | | | Both Victim and Subject | Perforation of Pay and Allowances: Yes; Fine: No; Restriction: Yes; Reduction in Rate: Other; Restriction Length (Days): 45; Reduction in rate: Yes; Pay Grade Reduced To: E-3; Extra Duty: Yes; Extra Duty (Days): 45; Hard Labor: No; Conventional Custody (NCP Only): No; Notes: Victim reported Subject wedged his hand under Victim's buttocks while Victim was sitting in a chair next to Subject. NCIS investigated. Subject admitted to the misconduct. RCD recommended against court-martial. SA-DA returned the case to Subject's command, which imposed NCP for Art. 128. Subject was subsequently separated at an administrative board with a 60-day suspension. | |
| 23 | Abusive Sexual Contact (Art. 120) | | Navy | E-1 | Female | Navy | E-1 | Male | No | No | | Q2 (January-March) | Other adverse administrative action for non-sexual assault offense | | | | | | | | | | Adverse Administrative Action Type: Other; Notes: Victim reported Subject threatened against victim multiple times while conducting an investigation and standing in the galley. NCIS investigated. Subject stated he accidentally grabbed against Victim several times, but always apologized and never had a sexual intent. The probable cause was established for an Art. 120 violation. SA-DA returned case to Subject's command, which imposed Subject non-releasable in the pending position. | |
| 24a | Abusive Sexual Contact (Art. 120) | Unknown | Navy | E-7 | Female | Navy | O-2 | Male | | | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | Assault (Art. 128) | Article 15 Punishment Imposed | | None | | | | Subject (a single subject) | Perforation of Pay and Allowances: No; Fine: No; Restriction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Conventional Custody (NCP Only): No; Notes: Victim reported that, at an off-base bar, Subject grabbed her and pressed his body against her. Shore Patrol confirmed that Subject was intoxicated and inebriated. Subject received non-judicial punishment, and was sent to the Administrative Pay and Allowances (APAA) office. Restriction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Conventional Custody (NCP Only): No. | |
| 24b | Abusive Sexual Contact (Art. 120) | Unknown | Navy | E-7 | Female | Navy | O-2 | Male | | | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | Assault (Art. 128) | Article 15 Punishment Imposed | | None | | | | Subject (a single subject) | Notes: Subject allegedly touched Victim on the breast and buttocks at an off-base bar. Subject received written reprimand at non-judicial punishment for assault, and was recommended for court-martial. SA-DA returned case to Subject's command, which imposed Subject non-releasable in the pending position. | |
| 25 | Sexual Assault (Art. 120) | Unknown | Navy | E-5 | Male | Unknown | | Male | | | | Q2 (January-March) | Offender is Unknown | | | | | | | | | Notes: Victim reported that on port call in Oman, he was escorted by at least one male foreign national in the lobby area of the hotel. The foreign national forcibly attempted to kiss Victim, making explicit contact with the Victim's neck. Foreign and NCIS investigations were not able to identify the subject and foreign investigators did not report the incident as a crime. Court-Martial discharge: None; Confinement: No; Confinement Type: Less Than Life; Confinement (Months): 1; Perforation of Pay and Allowances: No; Fine: No; Restriction: No; Reduction in rate: Yes; Pay Grade Reduced To: E-5; Hard Labor: No. | | |
| 26 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-4 | Male | Yes | No | | Q3 (April-June) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | Convicted | Rate relief statements (Art. 107) | Under Other than Honorable Conditions (OITFC) | | | | Both Victim and Subject | Notes: Victim reported that Subject sexually assaulted Victim in the off-base residence after providing Victim with multiple glasses of wine and providing her with the room while Victim was alone. NCIS investigated. Subject was interviewed and stated that the sexual act was consensual. SA-DA preferred charges of Art. 120 (sexual assault), Art. 121 (Art. 121 and Art. 112a to a General Court-Martial. In accordance with a plea agreement, Subject pleaded guilty to violations of Article 120 and 112a. Sexual assault charges were withdrawn and dismissed pursuant to the plea agreement. | |
| 27 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | No | No | | Multiple Referrals | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 52) | Article 15 Punishment Imposed | | General | | | Both Victim and Subject | Perforation of Pay and Allowances: No; Fine: No; Restriction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Conventional Custody (NCP Only): No; Notes: Victim reported Subject engaged in vaginal intercourse with her in an off-base apartment when she was too intoxicated to consent. NCIS investigated. Subject admitted to providing alcohol to an underage person but denied the sexual acts were consensual. During the investigation, Subject (employee) accused PG and admitted consensual sexual contacts to another person. RCD recommended against court-martial. SA-DA returned case to command, which imposed NCP for Art 12 and Art 124. Subject was separated at an administrative board with a general discharge. | |
| 28 | Sexual Assault (Art. 120) | JAPAN | Army | E-5 | Female | Unknown | | Unknown | | | | Q1 (October-December) | Offender is Unknown | | | | | | | | | Notes: Victim reported sexual assault, but offender is unknown. No action taken due to unknown Subject. | | |
| 29 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-5 | Male | No | No | | Q2 (January-March) | Administrative Discharge | | | | | | | | | Honorable | Notes: Victim reported Subject pushed her hair and forcibly kissed her while on watch. NCIS investigated. RCD recommended against court-martial. Subject's command referred Subject to non-judicial punishment. Subject related incident to the command and demanded that she be court-martial. Command referred Subject to administrative processing. The administrative board recommended releasing Subject to active duty. | |
| 30 | Abusive Sexual Contact (Art. 120) | KOREA, REP OF | Navy | E-5 | Female | Navy | E-6 | Male | | | | Q3 (April-June) | Non-judicial Punishment | Abusive Sexual Contact (Art. 120) | | | | Article 15 Punishment Imposed | | None | | | Both Victim and Subject | Perforation of Pay and Allowances: No; Fine: No; Restriction: Yes; Reduction in Rate: Other; Restriction Length (Days): 30; Reduction in rate: Yes; Pay Grade Reduced To: E-4; Extra Duty: No; Hard Labor: No; Conventional Custody (NCP Only): No; Notes: Victim reported Subject grabbed her buttocks and kissed her neck without consent. NCIS investigated. Subject admitted to the contact. SA-DA returned the case to Subject's command, which imposed NCP for Art. 120. |
| 31 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | No | No | | Q1 (October-December) | Non-judicial Punishment | Abusive Sexual Contact (Art. 120) | | | | | | | | Both Victim and Subject | Notes: Victim reported non-consensual sex to Subject. NCIS investigated. Subject stated incident was consensual. SA-DA declined to prefer court-martial charge and advised case to command for additional action. Command dismissed charges at Art. 15. | |
| 32 | Abusive Sexual Contact (Art. 120) | Australia | Navy | E-2 | Female | Navy | E-5 | Male | | | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 52) | Article 15 Punishment Imposed | | None | | | | | Notes: Victim reported Subject moved his hand up her skirt and touched her underwear while she was seated at a bar during a port call. NCIS investigated. Witnesses related they saw Subject admitted to his Victim at the bar. Subject denied touching or attempting to kiss Victim. SA-DA returned the case to Subject's command, which imposed NCP for Art. 52. | |
| 33 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-6 | Male | | | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 52) | Article 15 Punishment Imposed | | None | | | | | Notes: Victim reported abusive sexual contact and sexual harassment by Subject over a two year period. Subject touched her arm, shoulder, and thigh multiple times, made sexually harassing comments to her, and once showed her pictures of his penis on his cell phone. NCIS investigated. Subject admitted to most of the incidents. SA-DA returned the case to Subject's command for non-judicial and administrative action. Subject was given NCP for violation of Art. 52. | |
| 34 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | No | No | | Q4 (July-September) | Other Adverse Administrative Action | | | | | | | | | Victim (single victim) | Notes: Victim reported that Subject sexually assaulted her while she was intoxicated. NCIS investigated. RCD recommended against prosecution and SA-DA declined to prosecute the case due to insufficient evidence. Command Subject on SMP. Court-Martial discharge: None; Confinement: No; Confinement Type: Less Than Life; Confinement (Months): 7; Perforation of Pay and Allowances: No; Fine: No; Restriction: No; Reduction in rate: No; Hard Labor: No. | |
| 35 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-2 | Male | | | | Q2 (January-March) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | Convicted | | Abusive Sexual Contact (Art. 120) | | None | Yes | | Notes: Victim reported waking up to Subject pulling down her shorts and underwear and pressing his penis to her buttocks while they were both in her car back barracks. NCIS investigated. Subject alleged Victim invited her into her bed and asked her to proceed to penis against her buttocks with the bill they had. SA-DA returned charges to a court-martial. Hearing where Subject was convicted of Art. 120 (contact). Perforation of Pay and Allowances: Yes; Fine: No; Restriction: Yes; Reduction in Rate: Other; Restriction Length (Days): 45; Reduction in rate: Yes; Pay Grade Reduced To: E-3; Extra Duty: Yes; Extra Duty (Days): 45; Hard Labor: No; Conventional Custody (NCP Only): No. | |
| 36 | Sexual Assault (Art. 120) | Unknown | Navy | E-4 | Female | Navy | E-3 | Male | No | No | | Q3 (April-June) | Non-judicial Punishment | Abusive Sexual Contact (Art. 120) | | | | | | | | General | Notes: Two Victims reported penetrative sexual assaults by Subject. NCIS investigated. RCD recommended against court-martial due to insufficient evidence. SA-DA returned case to Subject's command, which imposed NCP for Art. 120 and Art. 96. During the investigation Subject was identified for an Art. 120 violation. SA-DA returned case to Subject's command for Art. 120 offenses. Due to Subject's impending EASO, Subject was administratively separated for the drug offense. | |

| F18 Service Member Sexual Assault Synopsis Report: NAVY | | | | | | | | | | | Administrative Actions | | | | | | | | | | | | |
|---|--|-------------------|--------------------|------------------|-----------------------|---------------------|-----------------|------------------|--|---------------------------------|------------------------|-----------------------|--|---|------------------------------------|--|---|--------------------------------|-------------------------------|--------------------------------|-------------------------|---|--|
| No. | Most Serious Sexual Assault Offense/Subject's Investigated For | Incident Location | Victim Affiliation | Victim's Grade | Victim Gender | Subject Affiliation | Subject's Grade | Subject's Gender | Subject's Prior Investigation For Sex Assault? | Subject's Moral Report/Account? | Subject Referral Type | Quarter/Date Reported | Case Disposition | Most Serious Sexual Assault Offense Charged | Most Serious Other Offense Charged | Court Case or Article 15 Outcome | Reasons Charges Downgraded or Art 15 Hearing, if applicable | Most Serious Offense Convicted | Administrative Discharge Type | Must Register as Sex Offender? | Arrested Date | Case Synopsis/Notes | |
| 144 | Rape (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-4 | Male | | | | Q3 (April-June) | Courts-Martial Charge Preferred | Rape (Art. 120) | | Acquitted | | | | | Both Victim and Subject | Notes: Victim reported Subject engaged in sexual intercourse with her while she was sleeping at an off-base residence. NCIS investigated. Subject initially denied victim was asleep and participated in the sexual acts. SA IDA advised victim may have been asleep. SA IDA referred the case to a General Court-Martial, where charges were acquitted. | |
| 145A | Abusive Sexual Contact (Art. 120) | | Navy | E-4 | Male | Unknown | | Male | | | | Q3 (April-June) | Subject is a Civilian or Foreign National | | | | | | | | | Notes: Victim reported Subject and two other Sailors headed her, including hazing and exposing victim's genitalia. SA IDA contacted the ship, Subject was given NCP for Art 92 (Duty) and Art 134 (Sexual Assault) and discharged from the Navy on 30 May 2013 with an Other than Honorable Discharge. Further action was prohibited due to lack of jurisdiction. Victim concurred with the outcome and stated he reported this incident to a close friend. | |
| 145B | Abusive Sexual Contact (Art. 120) | | Navy | E-4 | Male | Unknown | | Male | | | | Q4 (July-September) | Subject is a Civilian or Foreign National | | | | | | | | | Notes: Victim reported Subject and two other Sailors headed her, including hazing and exposing victim's genitalia. SA IDA contacted the ship, Subject had been given NCP for Art 92 (Duty) and Art 134 (Sexual Assault) and discharged from the Navy on 30 May 2013 with an Other than Honorable Discharge, prior to victim's report. No further action was taken due to lack of jurisdiction. Victim concurred with the outcome and stated he made his report to a close friend. | |
| 145C | Abusive Sexual Contact (Art. 120) | | Navy | E-4 | Male | Unknown | | Male | | | | Q3 (April-June) | Subject is a Civilian or Foreign National | | | | | | | | | Notes: Victim reported Subject and two other Sailors headed her, including hazing and exposing victim's genitalia. Subject had been given NCP for Art 92, Art 120, Art 128, and Art 134 (Sexual Assault) and discharged from the Navy on 30 May 2013 prior to victim's report. No further action was taken due to lack of jurisdiction. Victim concurred with the result and stated he reported his incident to a close friend. | |
| 146 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-5 | Male | No | No | | Q3 (April-June) | Other Adverse Administrative Action | | | | | | | | | Notes: Third party reported that Subject sexually assaulted victim. NCIS investigated and BUO recommended against prosecution. SA IDA took no further action. Subject issued no further action. | |
| 147 | Rape (Art. 120) | UNITED STATES | Navy | US Civilian | Female | Unknown | | Unknown | | | | Q2 (October-December) | Offender is Unknown | | | | | | | | | Notes: Victim reported that she was raped by an unknown male after going out to an off-base bar and walking to a friend's unit in the bathroom. Victim reported that she is pregnant with the assailant's child. NCIS investigated and subsequently referred the case to civilian law enforcement due to the location of the incident. Victim declined to participate further in investigation and no offender has been identified. No further action was taken. | |
| 148 | Abusive Sexual Contact (Art. 120) | | Navy | E-1 | Male | Navy | E-1 | Unknown | No | No | | Q2 (January-March) | Other Adverse Administrative Action | | | | | | | | | Notes: Victim reported Subject touched victim's buttocks with Subject's thigh and groin after physical training. NCIS investigated. BUO recommended against prosecution. Subject's command issued written counseling and work. Subject issued no further action. | |
| 149 | Abusive Sexual Contact (Art. 120) | UNDERWAY | Navy | E-4 | Male | Unknown | | Unknown | | | | Q1 (October-December) | Offender is Unknown | | | | | | | | | Notes: Victim reported waking up in her bunking on the ship to an unknown Subject groping her groin. NCIS investigated but was unable to identify the subject. | |
| 150 | Rape (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | | | | Q2 (January-March) | Courts-Martial Charge Preferred | Rape (Art. 120) | | Convicted | | | | | | Notes: Victim reported Subject raped and threatened to kill her on the ship. SA IDA referred the case to a General Court-Martial. Subject was convicted of Art 120 (Rape), Art 125 (Sexual Assault), and Art 134 (Sexual Assault) and was sentenced to confinement for 12 months and 60 days. | |
| 151 | Rape (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | No | No | | Q4 (July-September) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | Charge dismissed by other reason prior to Court-Martial | | | | | | | Notes: Victim reported that Subject penetrated her with his penis without consent. Court-martial charges preferred but dismissed due to insufficient evidence. |
| 152 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-5 | Female | Navy | E-4 | Male | No | No | | Q4 (July-September) | Other Adverse Administrative Action | | | | | | | | | Notes: Victim reported that she invited subject to her residence and engaged in consensual sexual activity. Subject then digitally penetrated her without her consent. NCIS investigated, BUO recommended against prosecution due to insufficient evidence and victim's declaration. Subject was counseled by command regarding proper relationships and good use and disclosure. | |
| 153 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-3 | Male | No | No | | Q4 (July-September) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 128) | Article 15 Punishment Imposed | | | | | | | | Notes: Victim reported that subject kissed her on and grabbed her breasts while on base. NCIS investigated. BUO recommended against prosecution. Subject was taken to military police station for Article 15 offense (Unlawful Consumption by a Battery) where Commander did not impose punishment. |
| 154 | Non-Consensual Sodomy (Art. 120) | UNITED STATES | Navy | E-1 | Male | Navy | E-4 | Male | | | | Q2 (January-March) | Courts-Martial Charge Preferred | Non-Consensual Sodomy (Art. 120) | | Convicted | | | | | | Notes: Victim reported Subject performed non-consensual oral sex on her. NCIS investigated. Subject admitted to performing oral sex on victim in a private phone call that was monitored by NCIS. SA IDA referred charges to court-martial. Subject pled guilty to the offense. | |
| 155 | Non-Consensual Sodomy (Art. 120) | UNITED STATES | Navy | E-3 | Male | Navy | E-6 | Male | | | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Failure to obey orders or regulations (Art. 92) | Article 15 Punishment Imposed | | | | | | | | Notes: Victim reported Subject made sexual comment and thrust his groin into victim's crotch while on base. NCIS investigated. Subject denied all allegations. SA IDA referred case to Subject's command, where charges were dropped. |
| 156 | Abusive Sexual Contact (Art. 120) | Unknown | Navy | E-4 | Female | Unknown | | Male | No | No | | Q2 (January-March) | Subject is a Civilian or Foreign National | | | | | | | | | Notes: Victim reported that Subject touched her breasts while on the ship. SA IDA referred the case to a General Court-Martial. Subject was convicted of Art 120 (Sexual Assault) and sentenced to confinement for 12 months and 60 days. | |
| 157 | Rape (Art. 120) | UNITED STATES | Navies Corps | E-1 | Female | Navy | E-3 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | Indecent acts with another (Art. 134-2) | Article 15 Punishment Imposed | | | | | | | | Notes: Victim reported Subject forced her to perform oral sex on him and penetrated her vagina with his penis without her consent in a shower at the health clinic. NCIS investigated and evidence established the sexual acts were consensual. SA IDA referred the case to Subject's command, which imposed a non-judicial punishment for Art 92 and Art 134 (indecent acts) and referred Subject to administrative separation. |
| 158 | Attempts to Commit Offense (Art. 80) | UNITED STATES | Navy | E-5 | Female | Unknown | | Unknown | | | | Q1 (October-December) | Subject is a Civilian or Foreign National | | | | | | | | | Notes: Victim reported an unknown civilian Subject touched her buttocks at an off-base party. NCIS contacted victim, who declined to provide additional information or make a report to law authorities. Victim declined all further action. No further action was taken. | |
| 159 | Abusive Sexual Contact (Art. 120) | Japan | Navy | O-3 | Female | Navy | E-7 | Male | No | No | | Q2 (January-March) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | | | | | Notes: Victim reported that, at an off-base bar, Subject touched her buttocks over the clothes and grabbed her hand, which made her feel uncomfortable. NCIS interviewed but Subject denied any non-consensual contact. Agreeing that he had only hugged Victim. Subject received non-judicial punishment, and was sent to an administrative separation board. Subject issued no further action. |
| 160 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | No | No | | Q1 (October-December) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | Charges dismissed subsequent to recommendation by Art. 15 hearing officer followed by Art. 15 punishment | | | | | | | Notes: Victim reported Subject grabbed her buttocks outside of a barracks on base. NCIS investigated and two other victims of sexual assault by Subject. SA IDA performed a command investigation and Art 15 hearing officer recommended adjudication at NCP. SA IDA withdrew the charges and advised the case to Subject's command for non-judicial punishment. |
| 161 | Abusive Sexual Contact (Art. 120) | Unknown | Multiple Services | Multiple Victims | Multiple Victims Male | Navy | O-4 | Male | No | No | | Q1 (October-December) | Administrative Discharge | | | | | | | | | Notes: Initial Victim reported Subject touched her thigh and head and sexual Subject's penis at an off-base party. NCIS investigated and identified three other victims of abusive sexual contact. BUO recommended disposition at a court-martial. Subject was taken to a board of inquiry, which found substantial performance of duty but no misconduct and advised no further action. | |
| 162 | Sexual Assault (Art. 120) | | Navy | E-3 | Male | Unknown | | Unknown | | | | Q1 (October-December) | Offender is Unknown | | | | | | | | | Notes: Victim reported she was possibly drugged and sexually assaulted by an unknown female Subject at an off-base residence. NCIS contacted victim, who declined to provide identifying information. NCIS visited the party, who took no action due to lack of known details about the alleged assault. | |
| 163 | Sexual Assault (Art. 120) | | Navy | E-1 | Male | Navy | E-3 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | | | | | | | | Notes: Victim reported Subject touched victim over the clothes with a bra-covered and made sexual comments. NCIS investigated. BUO recommended charges for Art 82 (Force on a Person) and Art 92 (Sexual Assault). SA IDA referred case to Subject's command, which issued a non-judicial punishment. | |
| 164 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Male | Unknown | | Unknown | | | | Q4 (July-September) | Offender is Unknown | | | | | | | | | Notes: Victim reported abusive rape. NCIS investigated. Victim declined to provide identifying information including the identity of the Subject. No further action due to Unknown Subject. | |

Unrestricted Report Case Synopses

| F133 Service Member Sexual Assault Synopsis Report: NAVY | | | | | | | | | | | | Administrative Actions | | | | | | | | | | |
|--|---|----------------------|-----------------------|-------------------------|------------------|------------------------|----------------------|-------------------|---|--|--------------------------|---------------------------------------|--|---|---|--|--|-----------------------------------|--|------------------------------------|----------------------------|---|
| No. | Host Service Member Subject & Investigated For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject: Prior Investigation For Sex Assault? | Subject: Moral Waiver Accession | Subject Referral Type | Quarter Investigation Completed | Case Disposition | Host Service Member Offense Charged | Host Service Offense Charged | Court Case or Article 15 Outcome | Reason Charge Downgraded or Art 15 Hearing, if Applicable | Host Service Offense Convicted | Administrative Discharge Type | Host Registrar as Sex Offender? | Alcohol Use | Case Synopsis Note |
| 187 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | No | No | | Q2 (January-March) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | General | No | Subject (a single subject) | Perfume of Pay and Allowance: Yes; Fine: No; Restriction: Yes; Restriction Length: Other; Restriction Length (Days): 45; Reduction in rank: Yes; Pay Grade Reduced To: E-3; Extra Duty: Yes; Extra Duty (Days): 45; Hard Labor: No; Conventional Custody (NJP Only): No. Notes: Victim reported that Subject groped her in the stunks deck. Subject received non-judicial punishment and subsequently separated from the Navy with a General Discharge. |
| 188 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-3 | Male | | | | Q2 (January-March) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | Charge dismissed subsequent to recommendation by Art. 32 hearing officer | Evidence did not support a recommendation for prosecution | | | No | Subject (a single subject) | Notes: Victim reported Subject had sexual intercourse with her from to to times over the course of a night when she was too intoxicated to consent. NCS investigated. Subject advised all sexual acts were consensual. An Art. 15 hearing recommended a discharge with SA-IDA. There is no further action. |
| 189 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Male | Navy | E-3 | Male | No | No | Alcohol/Drug Counseling | Q2 (January-March) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | General | No | Subject (a single subject) | Notes: One Victim reported Subject groped his genitals and buttocks over the course of a sexual liaison reported Subject groped his genitals over his clothes. NCS opened two separate cases. RSO reviewed both cases together and recommended against court-martial due to no likelihood of success at that SA-IDA consent case to Subject's command, which required RFP for Art. 15 (Abusive sexual contact). Subject was ordered in rank to E-3 and administratively separated with a General Discharge. |
| 190 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-1 | Male | No | No | | Q3 (April-June) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | Under Other than Honorable Conditions (UDTC) | No | Subject (a single subject) | Perfume of Pay and Allowance: Yes; Fine: No; Restriction: Yes; Restriction Length: Inmate; Restriction Length (Days): 45; Reduction in rank: No; Extra Duty: Yes; Extra Duty (Days): 45; Hard Labor: No; Conventional Custody (NJP Only): No. Notes: Victim reported that Subject humiliated his genital against the victim while standing in line for lunch. Subject was interrogated and stated that the allegations were false due to both the victim and Subject always being far apart from being in a high line. RSO recommended against prosecution. Command imposed nonjudicial punishment for violation of Article 120 (Abusive sexual contact). Subject was administratively separated for misconduct. |
| 191a | Sexual Assault (Art. 120) | UNITED STATES | Navy | US Civilian | Female | Navy | E-3 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 92) | Article 15 Punishment Imposed | | | General | No | Both Victim and Subject | Notes: Victim reported a sexual assault to local police who then contacted NCS. NCS investigated. RSO recommended against prosecution. Commanding Officer imposed nonjudicial punishment and the Subject was administratively separated with a General Discharge of service. |
| 191b | Sexual Assault (Art. 120) | UNITED STATES | Navy | US Civilian | Female | Navy | E-3 | Male | No | No | Alcohol/Drug Counseling | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | False official statements (Art. 107) | Article 15 Punishment Imposed | | | General | No | Both Victim and Subject | Perfume of Pay and Allowance: Yes; Fine: No; Restriction: Yes; Restriction Length: Other; Restriction Length (Days): 45; Reduction in rank: No; Extra Duty: Yes; Extra Duty (Days): 45; Hard Labor: No; Conventional Custody (NJP Only): No. Notes: Victim reported a sexual assault to local police who then contacted NCS. NCS investigated. RSO recommended against prosecution. Commanding Officer imposed nonjudicial punishment and the Subject was administratively separated. |
| 192 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-4 | Male | No | No | Alcohol/Drug Counseling | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | Assault (Art. 128) | Article 15 Punishment Imposed | | | None | No | Both Victim and Subject | Perfume of Pay and Allowance: Yes; Fine: No; Restriction: Yes; Restriction Length: Inmate; Restriction Length (Days): 45; Reduction in rank: No; Extra Duty: Yes; Extra Duty (Days): 45; Hard Labor: No; Conventional Custody (NJP Only): No. Notes: Victim reported that Subject attempted to sexually assault Victim in an off-base apartment. NCS investigated. Subject was interrogated and admitted to sexual assault. RSO recommended against prosecution. Commanding Officer imposed nonjudicial punishment for violation of Article 120 (Sexual Assault - grooming acts) for the victim and 128 (Sexual Assault - grooming acts) for the subject. |
| 193 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Unknown | Unknown | Unknown | | | | Q1 (October-December) | Offender is Unknown | | | | | | | No | Subject (a single subject) | Notes: Victim made a Restricted Report of sexual assault which was inadvertently converted to Unrestricted. Victim declined to participate in investigation and to details of the sexual assault are known. No further action to be taken. |
| 194 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-5 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 92) | Article 15 Punishment Imposed | | | None | No | Involved but not specified | Notes: Victim reported Subject sexually assaulted her. NCS investigated. Subject stated the sexual act was consensual. RSO recommended against court-martial. SA-IDA reviewed the case and recommended a discharge with SA-IDA. |
| 194a | Rape (Art. 120) | UNITED STATES | Multiple Services | Multiple Victims Female | Navy | E-3 | Male | No | No | | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 92) | Article 15 Punishment Imposed | | | None | No | Both Victim and Subject | Notes: Two victims reported that subject sexually assaulted (grooming) them at an on-base party. NCS investigated. Subject stated that he was consensual. One victim declined to participate. SA-IDA declined to prosecute. SA-IDA imposed nonjudicial punishment for violation of Article 92 (Failure to Obey Order or Regulation). |
| 194b | Rape (Art. 120) | UNITED STATES | Multiple Services | Multiple Victims Female | Navy | E-2 | Male | No | No | | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 92) | Article 15 Punishment Imposed | | | None | No | Both Victim and Subject | Notes: Two victims reported that subject sexually assaulted (grooming) them at an on-base party. NCS investigated. Subject stated that he was consensual. One victim declined to participate. SA-IDA declined to prosecute. SA-IDA imposed nonjudicial punishment for violation of Article 92 (Failure to Obey Order or Regulation). |
| 196 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-5 | Male | Navy | O-4 | Female | No | No | | Q1 (April-June) | Other adverse administrative action for non-sexual assault offense | | | | | | | | | Notes: Victim reported that Subject slapped him on the buttocks during duty hours. RSO investigated against charge due to evidence not meeting all elements of sexual assault. Commander issued verbal counseling for sexual harassment. |
| 197 | Abusive Sexual Contact (Art. 120) | GLAM | Navy | E-6 | Male | Unknown | Female | | | | | Q1 (October-December) | Offender is Unknown | | | | | | | | | Notes: Victim reported he was on a training on the ship in an unknown Subject teaching his dog. NCS investigated but was unable to identify the Subject. |
| 198 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Male | Navy | E-5 | Male | No | No | | Q3 (April-June) | Other Adverse Administrative Action | | | | | | | | | Notes: Victim reported that Subject groped and grabbed his buttocks on base. NCS investigated. Victim did not file that punishment was necessary. Command issued Subject a Letter of Instruction for administrative action. |
| 199 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Unknown | Male | No | No | | | Q1 (January-March) | Subject is a Civilian or Foreign National | | | | | | | | | Notes: Victim reported a sexual assault on the ship. Subject held her in the room of victim's cabin. Subject held her in the room of victim's cabin. Subject held her in the room of victim's cabin. NCS referred the case to local police with administrative discharge. |
| 200 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | No | No | | Q4 (October-September) | Other Adverse Administrative Action | | | | | | | | | Notes: Victim reported that Subject sexually assaulted (grooming) at her off-base residence while Victim was intoxicated. NCS investigated. RSO recommended against prosecution. SA-IDA declined to prosecute. SA-IDA imposed nonjudicial punishment for violation of Article 92 (Failure to Obey Order or Regulation). |
| 201 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Male | Navy | E-5 | Male | | | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 92) | Article 15 Punishment Imposed | | | None | No | Subject (a single subject) | Perfume of Pay and Allowance: Yes; Fine: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-4; Extra Duty: Yes; Extra Duty (Days): 14; Hard Labor: No; Conventional Custody (NJP Only): No. |
| 202 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-7 | Male | No | No | | Q3 (April-June) | Courts-Martial Charge Preferred for non-sexual assault offense | | Failure to obey order or regulation (Art. 92) | Convicted | Failure to obey order or regulation (Art. 92) | | | No | Subject (a single subject) | Courts-Martial discharge: None; Confinement: Yes; Confinement Type: Less Than Life; Confinement (Months): 1; Perfume of Pay and Allowance: No; Fine: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-6; Hard Labor: No. Notes: Victim reported Subject engaged in sexual harassment in the workplace and twice touched her breast over her uniform. NCS investigated and identified additional Victims of sexual harassment, including Subject exposing his penis. Subject pled guilty to Art. 92 (Sexual Harassment) at court-martial. |
| 203 | Rape (Art. 120) | UNITED STATES | Navy | E-5 | Female | Navy | E-5 | Male | No | No | | Q3 (April-June) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | Convicted | | Sexual Assault (Art. 120) | | Yes | Both Victim and Subject | Notes: Subject penetrated Victim while she was intoxicated due to alcohol. Subject substantially admitted to sexually assaulting Victim in violation with Official Sex Performance. Subject convicted of sexual assault at general court-martial and sentenced to 12 years confinement and a Dishonorable Discharge. |

| F18 Service Member Sexual Assault Synopsis Report: NAVY | | | | | | | | | | | | | | | Administrative Actions | | | | | | | | |
|---|--|-------------------|--------------------|------------------|------------------|---------------------|-------------------|----------------|--|--------------------------------|-----------------------|--|---|---|---|--|---|--------------------------------|-------------------------------|--------------------------------|-------------------------|--|--|
| No. | Host Service Sexual Assault Offense/Subject to be Investigated | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject's Prior Investigation For Sex Assault? | Subject's Moral Award Account? | Subject Referral Type | Quarter Offense Reported | Case Disposition | Host Service Sexual Assault Offense Charged | Host Service Other Offense Charged | Court Case or Article 15 Outcome | Reason Charges Downrated at Art 32 Hearing, if applicable | Host Service Offense Convicted | Administrative Discharge Type | Host Registrar or See Offender | Armed Use | Case Synopsis Note | |
| 204 | Sexual Assault (Art. 120) | | Navy | E-2 | Female | Navy | E-2 | Male | No | No | Q2 (October-December) | Court-Martial Charge Preferred | Sexual Assault (Art. 120) | | | Charge dismissed subsequent to recommendation by Art. 32 hearing officer | Evidence did not support a recommendation for prosecution | | | | Both Victim and Subject | Notes: Victim reported she woke up next to Subject under a tarp on the deck of a ship at night of heavy drinking. Both Victim and Subject were intoxicated, but Victim does not consent to anything. Victim was taken to the hospital. NCS investigated. Subject was and Victim engaged in consensual sexual intercourse under the Art. 120 charges to a General Court-Martial. An Art. 32 investigating officer recommended the charges be dismissed due to different evidence. SA-IDA withdrew and dismissed the charges and took no further action. | |
| 205 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-5 | Male | No | No | Multiple Months | Q3 (April-June) | Other Adverse Administrative Action | | | | | | | | | Notes: Victim reported that Subject sexually assaulted (contact) Victim. NCS investigated. Victim preferred expedited transfer. ECSI recommended against prosecution due to insufficient evidence. SA-IDA declined to prosecute due to insufficient evidence. Command reported OIC's report. | |
| 206 | Rape (Art. 120) | UNITED STATES | N/A | US Citizen | Female | Navy | E-3 | Male | No | No | Q3 (January-March) | A Civilian/Foreign Authority's Prosecuting Service Review | | | | | | | | | | Notes: Command and NCS were notified of sexual assault charge against Subject when he was arrested for food prices for forcible rape and assault of a spouse. Command administratively reported Subject prior to resolution of food prices case. | |
| 207 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | Cadet/Midshipman | Female | Navy | C-1 | Male | No | No | Q4 (July-September) | Cadet/Midshipman Disciplinary System Action | | | | | | | | | | Notes: Victim reported Subject entered her barracks room and Sexual Assault (Art. 120) charges against Subject when he was arrested for food prices for forcible rape and assault of a spouse. Command administratively reported Subject prior to resolution of food prices case. | |
| 208 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-4 | Male | No | No | Q1 (October-December) | Court-Martial Charge Preferred | Sexual Assault (Art. 120) | | | Convicted | Asault (Art. 120) | | | | Both Victim and Subject | Notes: Victim reported that, after consuming alcohol and passing out at Subject's residence, she fell asleep dished, but woke up and was sexually assaulted. Subject attempted to persuade her, but she was uncooperative. Charges referred to general court-martial. Subject convicted of assault, and subsequently administratively separated with an Other than Honorable characterization. | |
| 209 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Male | Navy | E-1 | Male | No | No | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | | Failure to obey order or regulation (Art. 15) | Article 15 Punishment Imposed | | | | | | | Notes: Victim reported Subject slipped his beltline from behind while Victim was drying off from a shower in a shipboard bathroom. NCS investigated. Subject denied any sexual contact, who imposed RUP for Art 15. Charge referred to general court-martial. Subject was administratively separated with an Other than Honorable characterization. |
| 210 | Abusive Sexual Contact (Art. 120) | UNDERWAY | Navy | E-2 | Male | Navy | E-4 | Male | Yes | No | Q2 (January-March) | Court-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | | Convicted | Asault (Art. 120) | | | | | Notes: Victim reported Subject touched her groin on the office repeatedly. Subject charged with Abusive Sexual Contact at general court-martial, but pled Guilty to Assault. | |
| 211 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Male | Navy | E-4 | Male | Yes | No | Q4 (July-September) | Administrative discharge for non-sexual assault offense | | | | | | | | | | Notes: Victim reported that Subject touched her inappropriately on multiple occasions. NCS investigated. Subject was referred to administrative separation based on basis of sexual misconduct and general pattern of misconduct. Board found no basis for sexual misconduct, but separated based on pattern of misconduct with a General Characterization of service. | |
| 212 | Sexual Assault (Art. 120) | Unknown | Navy | E-4 | Female | Navy | E-4 | Male | No | No | Q3 (April-June) | Other adverse administrative action for non-sexual assault offense | | | | | | | | | | Notes: Victim reported that Subject had sexual intercourse with Victim while Victim was intoxicated. Victim reported that the assault occurred in a restroom at a bar overseas. Victim has no memory of the event, however, Victim reported that Subject told Victim that they had consensual sex. NCS investigated. ECSI recommended against prosecution due to insufficient evidence. SA-IDA chose not to prosecute. Charge, Subject was issued written counseling for non-sexual assault related. | |
| 213 | Rape (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-3 | Male | No | No | Q4 (July-September) | Court-Martial Charge Preferred | Sexual Assault (Art. 120) | | | Charge dismissed subsequent to recommendation by Art. 32 hearing officer | Evidence did not support a recommendation for prosecution | | | | | Both Victim and Subject | Notes: Victim stated Subject engaged in sexual intercourse with her while on duty. Subject was charged with Rape. Charge referred to general court-martial. SA-IDA referred Art. 120 charges to a General Court-Martial. At the Art. 32 hearing, the hearing officer determined there was insufficient evidence to proceed with trial. SA-IDA took no further action. |
| 214 | Rape (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-3 | Male | No | No | Q3 (April-June) | Administrative Discharge | | | | | | | | | | Notes: Victim reported that Subject sexually assaulted (contact) Victim in an off-base residence while she was incapacitated due to alcohol consumption. NCS investigated. SA-IDA referred Art. 120 charges to a General Court-Martial. At the Art. 32 hearing, the hearing officer determined there was insufficient evidence to proceed with trial. SA-IDA took no further action. | |
| 215 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | Multiple Victims | Multiple Victims | Female | Navy | E-5 | Male | No | No | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | | Failure to obey order or regulation (Art. 15) | Article 15 Punishment Imposed | | | | | Both Victim and Subject | Notes: Victim 1 and Victim 2 reported that Subject touched them inappropriately at an off-base party. NCS investigated. Command imposed custodial punishment in Victim 1 case and referred to prosecute in Victim 2 case. No further action. |
| 216 | Approved Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | | Indirect acts (Art. 134-29) | Article 15 Punishment Imposed | | | | | | | Notes: Victim reported being sexually assaulted by four Subjects at an off-base residence while she was incapacitated due to alcohol. Subject #1 was charged with rape. Subject #2 was charged with sexual harassment with the Victim. Subject #3 was charged with sexual harassment with the Victim. Subject #4 admitted to performing oral sex with the Victim. Subject #1 admitted to performing oral sex with the Victim. Subject #2 admitted to performing oral sex with the Victim. Subject #3 admitted to performing oral sex with the Victim. Subject #4 admitted to performing oral sex with the Victim. Subject #1 and Subject #4 were demoted following an Art. 32 hearing. The State Attorney's Office declined to prosecute Subject #2. Subject #4 was taken to RUP for Aggravated Sexual Assault and reported from the Navy. |
| 216 | Approved Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-2 | Male | | | Q2 (January-March) | Court-Martial Charge Preferred | Sexual Assault (Art. 120) | | | Charge dismissed subsequent to recommendation by Art. 32 hearing officer | Evidence did not support a recommendation for prosecution | | | | | | Notes: Victim reported being sexually assaulted by four Subjects at an off-base residence while she was incapacitated due to alcohol. Subject #1 was charged with rape. Subject #2 was charged with sexual harassment with the Victim. Subject #3 was charged with sexual harassment with the Victim. Subject #4 admitted to performing oral sex with the Victim. Subject #1 admitted to performing oral sex with the Victim. Subject #2 admitted to performing oral sex with the Victim. Subject #3 admitted to performing oral sex with the Victim. Subject #4 admitted to performing oral sex with the Victim. Subject #1 and Subject #4 were demoted following an Art. 32 hearing. The State Attorney's Office declined to prosecute Subject #2. Subject #4 was taken to RUP for Aggravated Sexual Assault and reported from the Navy. |
| 216 | Approved Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-3 | Female | | | Q2 (January-March) | Court-Martial Charge Preferred | Approved Sexual Assault (Art. 120) | | | Charge dismissed subsequent to recommendation by Art. 32 hearing officer | Evidence did not support a recommendation for prosecution | | | | | | Notes: Victim reported being sexually assaulted by four Subjects at an off-base residence while she was incapacitated due to alcohol. Subject #1 was charged with rape. Subject #2 was charged with sexual harassment with the Victim. Subject #3 was charged with sexual harassment with the Victim. Subject #4 admitted to performing oral sex with the Victim. Subject #1 admitted to performing oral sex with the Victim. Subject #2 admitted to performing oral sex with the Victim. Subject #3 admitted to performing oral sex with the Victim. Subject #4 admitted to performing oral sex with the Victim. Subject #1 and Subject #4 were demoted following an Art. 32 hearing. The State Attorney's Office declined to prosecute Subject #2. Subject #4 was taken to RUP for Aggravated Sexual Assault and reported from the Navy. |
| 217 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-5 | Multiple Victims | Male | Navy | O-1 | Male | No | No | Q3 (April-June) | Court-Martial Charge preferred for non-sexual assault offense | | | Philatelistry (Art. 134-23) | Convicted | Philatelistry (Art. 134-23) | | | | Both Victim and Subject | Notes: Two Victims reported that Subject sexually assaulted them at Subject's apartment after a night of heavy drinking. NCS investigated. Court-martial charge preferred for sexual assault. Pre a pretrial agreement, Subject pled guilty to violation of Article 134 (Entertainment) at a General Court-Martial. Subject received a reprimand, forfeiture and waived his board of review. |
| 218 | Abusive Sexual Contact (Art. 120) | Unknown | Navy | E-3 | Female | Navy | E-3 | Male | No | No | Q2 (January-March) | Other adverse administrative action for non-sexual assault offense | | | | | | | | | | Notes: Third party reported Subject sexually assaulted Victim on a Navy bus. NCS contacted Victim, who stated Subject assaulted her. Subject stated any contact was accidental. Victim subsequently stated she preferred the investigation not continue. SA-IDA issued order to Subject's command, which issued a Non-Profit Letter of Caution for Art. 120. | |
| 219 | Rape (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | No | No | Q2 (January-March) | Administrative Discharge | | | | | | | | | | Notes: Victim reported that Subject sexually assaulted her at an off-base residence during a party. NCS and Local Law enforcement investigated. Subject pled guilty to forcible oral to aggravated sexual assault. Subject was sentenced to confinement and was discharged with an Other than Honorable characterization. | |
| 220 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-1 | Male | No | No | Q3 (April-June) | Administrative discharge for non-sexual assault offense | | | | | | | | | | Notes: Victim reported that Subject sexually assaulted her on base. NCS investigated. Subject administratively separated with a General (Under Honorable conditions) characterization of service. | |
| 221 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | Multiple Victims | Multiple Victims | Female | Navy | E-5 | Male | No | No | Q3 (April-June) | Court-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | | Discharge or Relegation in Lieu of Court-Martial | | | | | Notes: Three victims reported Subject touched them inappropriately and sexually harassed them over a period of time. NCS investigated. Sexual assault charges preferred by Special Court-Martial. Subject requested separation in lieu of trial. Subject was discharged with an Other than Honorable characterization. | |
| 222 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Unknown | Male | | | | Q4 (July-September) | Offender is Unknown | | | | | | | | | | Notes: Victim reported being sexually assaulted by an unknown Subject. NCS contacted Victim, who desired to provide any information or participate further in the investigation. | |

| F135 Service Member Sexual Assault Synopsis Report: NAVY | | | | | | | | | | | | | | | | | | | | | | | |
|--|--|-------------------|--------------------|------------------|---------------|---------------------|-------------------|----------------|--|---------------------------------|------------------------|---------------------------|---|---|--|-------------------------------------|--|-----------------------------------|-------------------------------|--|-------------|-------------------------|--|
| No. | Most Serious Sexual Assault Alleged Subject & Investigated For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject Prior Investigation For Sex Assault? | Subject: Moral Waiver/Accession | Subject: Referral Type | Offense/Charge/Conviction | Case Disposition | Most Serious Sexual Assault/Offense Charged | Host Nation/Other Offense Charged | Court Context or Article-15 Outcome | Reasons Charges Dismissed or Article-15 Hearing, if applicable | Host Nation Offense Convicted | Administrative Discharge Type | Host Region or SA Officer | Alcohol Use | Case Synopsis Note | |
| 223 | Rape (Art. 120) | UNITED STATES | Navy | E-1 | Female | Unknown | Unknown | Unknown | No | No | | Q1 (October-December) | Subject is a Civilian or Foreign National | Assault (Art. 128) | Article 15 Punishment Imposed | | | | | | | Both Victim and Subject | Notes: Victim reported a previous sexual assault. Subject issued an MPD in October 2018 but is no longer in the Navy. Possibilities of Pay and Abandonment: Yes; Host No; Restriction: Yes; Reduction in rank: No; Pay Grade Reduced To: E-1; Extra Duty: No; Host Labor: No; Conventional Custody (NCP Only): No. |
| 224 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Male | Navy | E-3 | Male | No | No | | Q2 (October-December) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 128) | | | | | | | | | Notes: Victim reported Subject reached over her behind and massaged Victim's chest over her clothes. NCJSD investigated. R2SD recommended court-martial. SA-DIA returned the case to Subject's command for adjudication. |
| 225 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-5 | Female | Navy | E-5 | Male | No | No | | Q3 (April-June) | Administrative Discharge | | | | | | General | | | Both Victim and Subject | Notes: Victim reported that Subject sexually assaulted her (abusive sexual contact) on several occasions while off base. NCJSD investigated. R2SD recommended general punishment. Subject refused non-judicial punishment. Subject administratively separated with a General Under Honorable Discharge. Possibilities of Pay and Abandonment: Yes; Confinement: Yes; Confinement Type: Less Than Life; Confinement (Months): 6; Probation of Pay and Abandonment: No; Fine No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-1; Host Labor: No. |
| 226 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | Yes | No | | Q3 (April-June) | Court-Martial charge preferred for non-sexual assault offense | Assault (Art. 128) | Convicted | | | Other Sexual Offense (Art. 120) | | | | Yes | Notes: Victim reported the Subject forced the Victim to touch his exposed penis while Subject was masturbating and blocking Victim from seeing NCJSD investigators. Subject did not provide a statement to investigators. After meeting Victim in person, Subject was guilty at a Special Court-Martial in violation of Article 12 (Failure to obey an order/regulation) and 120 (sexual communication by battery). Subject received a Chief's Condemnation. |
| 227 | Rape (Art. 120) | UNITED STATES | Navy | LC Chief | Female | Unknown | Unknown | No | No | | | Q3 (April-June) | Offender is Unknown | | | | | | | | | Both Victim and Subject | Notes: Victim reported sexual assault that occurred several years prior. NCJSD investigation had officer as unknown. No action taken as no conviction. Notes: Victim reported that Subject attempted to seduce and attempted her but he would not have sex. NCJSD investigated. Subject administratively separated and was officially declared a "Deserter." Possibilities of Pay and Abandonment: No; Fine No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-3; Extra Duty: No; Host Labor: No; Conventional Custody (NCP Only): No. |
| 228 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-3 | Male | No | No | | Q2 (October-December) | Subject Died or Deceased | | | | | | | | | | Notes: Victim reported that she broke out while drinking alcohol contacted ship with Subject and asked to go to Subject on top of her. After sex, Victim told Subject to stop, but he refused. Victim blocked out again and then woke up. Subject performing oral sex on her for several hours. When Victim awoke she had no memory of her sex with Subject and later spoke to Subject, who told her they had consensual sex. Subject charged with violating Article 12 (Failure to obey an order/regulation) and 120 (sexual communication by battery) and 120 (sexual communication by battery) at a non-judicial punishment, and found in rank. |
| 229 | Rape (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-3 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | Failure to obey order or regulation (Art. 12) | Article 15 Punishment Imposed | | | | | | | | Notes: Victim reported that she was sexually assaulted at an unknown location. NCJSD investigated. Victim desired to provide further information or participate in investigation. No further action. |
| 230 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Female | Unknown | Unknown | Unknown | No | No | | Q3 (April-June) | Offender is Unknown | | | | | | | | | | Notes: Victim reported Subject sexually harassed her, touched her breasts and tried to get into her clothes and caused her to have genitalia over his clothes. Victim 2 reported Subject touched her breast and subjected through her clothing without consent. NCJSD investigated. SA-DIA preferred charges of Art. 120 (contact) and Art. 92 (sexual harassment) at a Special Court-Martial. Subject was found not guilty of all charges. |
| 231 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-6 | Female | Navy | E-6 | Male | No | No | | Q2 (January-March) | Court-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | Acquitted | | | | | | | | Notes: Victim reported Subject sexually harassed her, touched her breasts and tried to get into her clothes, and caused her to have genitalia over his clothes. Victim 2 reported Subject touched her breast and subjected through her clothing without consent. NCJSD investigated. SA-DIA preferred charges of Art. 120 (contact) and Art. 92 (sexual harassment) at a Special Court-Martial. Subject was found not guilty of all charges. |
| 232 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-1 | Male | No | No | | Q2 (January-March) | Court-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | Convicted | | | Abusive Sexual Contact (Art. 120) | | Under Other than Honorific Conditions (DOTH) | | | Notes: Victim reported Subject grabbed her buttocks on the ship. NCJSD investigated. Subject was sent to General Court-Martial and convicted of Art 120 (contact) and Art 121. He subsequently was administratively separated from the Navy. Possibilities of Pay and Abandonment: Yes; Fine No; Restriction: Yes; Reduction in rank: No; Pay Grade Reduced To: E-4; Extra Duty: No; Host Labor: No; Conventional Custody (NCP Only): No. |
| 233 | Sexual Assault (Art. 120) | Japan | Navy | E-4 | Female | Navy | E-5 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Failure to obey order or regulation (Art. 12) | Article 15 Punishment Imposed | | | | | | | | Notes: Victim reported Subject forced her to continue giving him oral sex after she tried to just deny and walk. NCJSD investigated. Subject stated the encounter was consensual. R2SD recommended general punishment due to insufficient evidence and Victim preference not to testify. SA-DIA imposed Court-Martial (DOTH). Possibilities of Pay and Abandonment: Yes; Confinement: Yes; Confinement Type: Less Than Life; Confinement (Months): 3; Probation of Pay and Abandonment: No; Fine No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-3; Host Labor: No. |
| 234 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-5 | Female | Navy | E-5 | Male | No | No | | Q4 (July-September) | Court-Martial charge preferred for non-sexual assault offense | Assault (Art. 128) | Convicted | | | Assault (Art. 128) | | Under Other than Honorific Conditions (DOTH) | | | Notes: Victim reported that Subject engaged in non-consensual sexual contact with her while on watch. NCJSD investigated and SA-DIA preferred Assault Convicted by a Shipboard Charge to Special Court-Martial. Subject pled guilty and was separated from the Navy with an Other than Honorific characterization of DOTH. |
| 235 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Unknown | Unknown | Unknown | No | No | | Q3 (July-September) | Subject is a Civilian or Foreign National | | | | | | | | | | Notes: Victim reported victim Subject blackmailed her regarding sexual intercourse and pressure changed for during their dates. Victim approximately three years earlier. NCJSD investigated. R2SD advised to the Subject for the insufficient evidence. DOD action preferred Subject in a non-judicial conviction. NCJSD case reported to DOJ due to no evidence. Case referred to federal and state prosecutors, who declined to prosecute. Possibilities of Pay and Abandonment: Yes; Fine No; Restriction: No; Reduction in rank: Other; Reduction Length (Days): 0; Conventional Custody (NCP Only): No. |
| 236 | Non-Consensual Sodomy (Art. 120) | UNITED STATES | Navy | E-3 | Male | Navy | E-6 | Male | No | No | | | Court-Martial Charge Preferred | Non-Consensual Sodomy (Art. 125) | Charge, dismissed for any other reason prior to Court-Martial referred by Art. 15 punishment | | | | General | | | | Notes: Victim reported Subject engaged in oral sex with Victim when Victim was intoxicated. NCJSD investigated. Subject admitted to oral sex and oral sex with Victim but denied it was all consensual. SA-DIA preferred charges to a General Court-Martial. The Naval Air Investigating Officer recommended against proceeding to trial. SA-DIA returned case to Subject's command, which imposed non-judicial punishment for Art 82 and Art 125. Subject was administratively separated. Administrative Action: Type: Letter of Counseling (LOC). |
| 237 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-1 | Male | No | No | | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | | Notes: Victim reported Subject sexually assaulted her while at base area. NCJSD investigated. R2SD agreed there was no probable cause to believe a violation of Art. 120 occurred. SA-DIA returned case to Subject's command, which imposed Court-Martial. Possibilities of Pay and Abandonment: Yes; Fine No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-3; Extra Duty: Yes; Extra Duty (Days): 45; Host Labor: No; Conventional Custody (NCP Only): No. |
| 238 | Abusive Sexual Contact (Art. 120) | JAPAN | Navy | E-4 | Male | Navy | E-6 | Male | Yes | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 128) | Article 15 Punishment Imposed | | | | | | | | Notes: Victim reported that Subject got into her hair and kissed her neck and cheek without consent. Subject taken to non-judicial punishment for assault. No further action. |
| 239 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-6 | Female | Navy | E-4 | Male | No | No | | Q4 (July-September) | Court-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | Convicted | | | Abusive Sexual Contact (Art. 120) | | Under Other than Honorific Conditions (DOTH) | Yes | Victim (single victim) | Notes: Victim reported Subject took photographs of her breasts and genitalia while she slept at an off-base residence. Local police initially investigated. Offense confirmed upon the photographs on Subject's cell phone. Victim declined to participate in local investigation and no action was taken. NCJSD advised the investigation on jurisdiction. Subject was convicted at Special Court-Martial of Art 120 (contact) and Art 125 (conduct unbecoming and non-competent). Administrative Action: Type: Letter of Reprimand (LOR). |
| 240 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | O-1 | Female | Navy | O-2 | Male | No | No | | Q2 (January-March) | Other Adverse Administrative Action | | | | | | | | | | Notes: Victim reported Subject grabbed her breast at an off-base location. NCJSD investigated. R2SD recommended general court-martial due to insufficient evidence to show a reasonable likelihood of success at trial. SA-DIA returned case and issued an adverse administrative action to Subject, per victim's report. |
| 241 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-5 | Female | Unknown | Unknown | Male | No | No | | | Subject is a Civilian or Foreign National | | | | | | | | | | Notes: Victim reported Sexual Contact between Subject and Victim in the area of the bridge on the ship while she was trying on a dress at a local shop. NCJSD investigated. Subject was identified. Victim was advised of the process for reporting and reporting charges through the local police due to lack of DOD jurisdiction. Victim declined not to report the incident to local police. |
| 242 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-2 | Female | No | No | | Q4 (July-September) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 128) | Article 15 Acquittal | | | | | | | | Notes: Victim reported Subject was on top of Victim's work in quarters. NCJSD investigated. Victim further stated she did not feel like she was sexually assaulted. SA-DIA returned case to Subject's command, which took Subject to NCP. Charges were administratively dismissed. |
| 243 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Female | Unknown | Unknown | Unknown | No | No | | Q1 (October-December) | Offender is Unknown | | | | | | | | | | Notes: Victim reported per service sexual assault by an unknown Subject. NCJSD contacted Victim, who agreed a preference statement indicating Victim did not wish to participate in the investigation process. Local police were not notified due to Victim preference. |

| PTIS Service Member Sexual Assault Synopsis Report: NAVY | | | | | | | | | | | | | | | Administrative Actions | | | | | | | |
|--|---|-------------------|--------------------|------------------|-------------------------|---------------------|-------------------|----------------|---|---------------------------------|-------------------------|-----------------------------|---|--|---|---|--|---|-------------------------------|---------------------------------|--------------------------|--|
| No. | Host Service Member Report Allegation Subject Is Investigated For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject: Prior Investigation For Sex Offense(s) | Subject: Moral Waiver Accession | Subject Referral Type | Quarter/Exception Completed | Case Disposition | Host Services Assessment Offense Charged | Host Services Other Offense Charged | Court Case or Article 15 Outcome | Reasons Charges Downgraded or Art 15 Recommendation for Protection | Host Services Offense Convicted | Administrative Discharge Type | Host Registrar as Sex Offender? | Alcohol Use | Case Synopsis Note |
| 244 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | No | No | Chapter/Options Support | Q1 (October-December) | Courts-Martial Charge Pending | Abusive Sexual Contact (Art. 120) | | Charge dismissed subsequent to recommendation by Art. 15 hearing officer followed by Art. 15 punishment | Evidence did not support a recommendation for protection | | None | | Subject (single subject) | Perfection of Pay and Allowances: Yes; Fines: No; Restrictions: Yes; Restraints Limit: Quarters; Restraints Length (Days): 0; Reduction in rank: Yes; Pay Grade Reduction To: E-3; Extra Duty: No; Hard Labor: No; Confinement Custody (NCP Only): No; Notes: Victim reported Subject grabbed her buttocks while she was sleeping in her barracks room. NCS investigated this and two other reports that were made about Subject. SA-DA preferred Charges of Art. 120 (contact) and Art. 12 to a court-martial. The Article 15 hearing officer recommended adjudication of NCP. SA-DA withdrew the charges and returned the case to the command. |
| 245 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-6 | Female | Navy | E-6 | Male | | | | Q4 (July-September) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 12) | Article 15 Punishment Imposed | | None | | | | Notes: Victim reported Subject touched her breasts without consent while he was going for a shower message in the workplace. NCS investigated. Subject initially denied any contact but later admitted he would have touched Victim's breast. SA-DA returned the case to Subject's command, which recommended NCP. |
| 246 | Sexual Assault (Art. 120) | UNITED STATES | DoD | US Civilian | Female | Navy | E-4 | Male | No | No | | Q4 (July-September) | Other Adverse Administrative Action | | | | | | | | Both Victim and Subject | Adverse Administrative Action Type: Other; Notes: Victim reported that Subject sexually assaulted them. NCS investigated. RSO recommended against prosecution. Commander issued non-judicial punishment for a violation of Article 120 (sexual harassment). SA-DA returned the case to Subject's command, which recommended NCP. |
| 247 | Abusive Sexual Contact (Art. 120) | JAPAN | Navy | E-4 | Female | Navy | E-6 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 12) | Article 15 Punishment Imposed | | None | | | | Notes: Victim reported that Subject provided unwanted shoulder rubs and one leg massage in the workbooks. Victim also reported that Subject commented on Victim being "cute." NCS investigated. Subject was threatened and stated that contact was not intended to be sexual. Commanding Officer received non-judicial punishment for violation of Article 120 (sexual harassment). SA-DA returned the case to Subject's command, which recommended NCP. |
| 248 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-5 | Male | No | No | | Q4 (July-September) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 12) | Article 15 Punishment Imposed | | None | | | | Notes: Victim reported that subject sexually assaulted her (contact) and maintained against her for denying his proposition. NCS investigated. RSO recommended against prosecution. Commander imposed non-judicial punishment for violation of Article 120 (sexual harassment). |
| 249 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-1 | Male | No | No | | Q1 (October-December) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | Adverse Administrative Action Type: Label of Counseling (LOC); Notes: Victim reported that Subject grabbed her blouse and forcibly kissed her without consent. RSO recommended against prosecution. Commander issued non-judicial punishment for violation of Article 120 (sexual harassment). |
| 250 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Multiple Victims Male | Navy | E-4 | Female | | | | Q3 (April-June) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | Assault (Art. 120) | Article 15 Punishment Imposed | | Uncharacterized | | | | Notes: Two victims reported Subject abused their genitalia in the workbooks on multiple occasions throughout 2011-2013. NCS investigated. Subject denied the sex with both victims. The victims' genitalia, but any contact was uncharacterized. SA-DA returned the case to Subject's command, which imposed NCP and administrative consequences. |
| 251 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-5 | Male | No | No | | Q2 (January-March) | Courts-Martial Charge Pending | Abusive Sexual Contact (Art. 120) | | Acquitted | | | | | Both Victim and Subject | Notes: Victim reported she woke up after a night of drinking to find her pants and underwear wet. Victim did not remember to consenting to sexual activity. NCS investigated. Subject admitted to his involvement in the assault. RSO recommended court-martial due to DNA evidence. SA-DA preferred a charge of Art. 120 (sexual harassment) and court-martial. An Article 15 preliminary hearing officer found probable cause provided for this. Subject was found not guilty at court-martial. |
| 252 | Sexual Assault (Art. 120) | UNITED STATES | Navy | O-3 | Female | Unknown | Unknown | Unknown | | | | Q4 (July-September) | Offender is Unknown | | | | | | | | | Notes: Victim reported sexual assault but declined to participate in investigation. Further details of incident are not known. No action taken by command. |
| 253 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | O-3 | Male | No | No | | Q3 (April-June) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | None | | | | Notes: Victim reported that Subject had touched her buttocks and breasts on multiple occasions during duty hours, over the course of several years. Subject received non-judicial punishment for violation of Article 120 (sexual harassment). |
| 254 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-3 | Male | No | No | | Q4 (July-September) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | Unfavorable Other than Honorable Conditions (OCTHC) | | | | Notes: Victim reported that subject sexually assaulted her (contact). NCS investigated. RSO recommended against prosecution. Commander imposed non-judicial punishment for violation of Article 120 (sexual harassment) and subject was subsequently discharged with an other than Honorable Condition. |
| 255 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Unknown | Unknown | Unknown | | | | Q4 (July-September) | Offender is Unknown | | | | | | | | | Notes: Victim concerned uncharacterized report. NCS investigated. Victim declined to provide identifying information including the identity of the Subject. No further action due to Unknown Subject. |
| 256 | Rape (Art. 120) | UNITED STATES | Multiple Services | US Civilian | Multiple Victims Female | Navy | E-5 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | Assault (Art. 120) | Article 15 Punishment Imposed | | None | | | Both Victim and Subject | Notes: Victim 1 reported that Subject sexually assaulted her on an off-base residence. Victim 2 reported that Subject tried to forcibly kiss her. NCS investigated. RSO recommended against prosecution. Commanding Officer imposed non-judicial punishment for a violation of Article 120 (sexual harassment). |
| 257 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-6 | Male | Unknown | Unknown | Unknown | | | | Q4 (July-September) | Subject is a Civilian or Foreign National | | | | | | | | | Notes: Victim reported that, during a chiropractic examination at the on-base medical clinic, he felt Subject's groin touch his buttocks and believed that Subject was touching him for sexual gratification. Because Subject is a civilian, case referred to civilian prosecution, who declined to bring charges. Case closed/dropped. |
| 258 | Rape (Art. 120) | UNITED STATES | Naval Corps | E-2 | Female | Navy | E-3 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | Inducement with another (Art. 124-129) | Article 15 Punishment Imposed | | General | | | | Notes: Victim reported Subject forced her to perform oral sex on him and penetrated her vagina with his penis without her consent in a dormitory of the health club. NCS investigated and evidence corroborated the sexual acts were consensual. SA-DA returned the case to Subject's command, which imposed NCP for Art 12 and Art 134 (Inducement) and referred Subject to administrative separation. |
| 259 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-5 | Female | Unknown | Unknown | Unknown | No | No | | Q3 (April-June) | Offender is Unknown | | | | | | | | | Notes: Victim reported that an unknown Subject sexually assaulted her (sexual intercourse). Victim reported that she was forced against her will by Subject and subsequently assaulted by a physical object. NCS investigated. No suspect was identified. No further action due to unknown Subject. |
| 260 | Aggravated Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-2 | Male | No | No | | Q3 (April-June) | Courts-Martial Charge Pending | Abusive Sexual Contact (Art. 120) | | Discharge or Resignation (I. Use of Courts-Martial) | | | | | | Notes: Victim reported that Subject had held her down in her barracks room and touched her breasts and thighs without her consent. NCS investigated and Subject admitted to touching Victim. Subject charged with Abusive Sexual Contact, but (consenting) authority approved a Separation in Lieu of Court-Martial. |
| 261 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Multiple Victims Female | Navy | E-1 | Male | No | No | | Q4 (July-September) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | Uncharacterized | | | | Notes: Victim reported that Subject touched their buttocks. One victim reported that Subject also touched her while she was in the clothes. NCS investigated. Subject admitted to touching one victim's buttocks but denied touching her vagina. Commander imposed non-judicial punishment for violation of Article 120 (abusive sexual contact). Subject subsequently given uncharacterized separation from the military. |
| 262 | Abusive Sexual Contact (Art. 120) | UNITED STATES | NA | US Civilian | Female | Navy | E-3 | Male | | | | Q2 (January-March) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Acquittal | | | | | | Notes: Victim reported she fell asleep in Subject's in the barracks room and woke up to Subject touching her genitalia under her pants. NCS investigated. Subject admitted to touching Victim but denied any penetration occurred. Subject's command dismissed the charge as non-judicial punishment. |
| 263 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Multiple Victims Male | Navy | E-1 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 12) | Article 15 Punishment Imposed | | Uncharacterized | | | | Notes: Victim reported that Subject did not hand down Victim's quarters on multiple occasions, without consent. Subject received non-judicial punishment, and administrative consequences. |

| F118 Service Member Sexual Assault System Report - NAVY | | | | | | | | | | | | | | | Administrative Actions | | | | | | | | | |
|---|--|-------------------|--------------------|------------------|---------------|---------------------|-------------------|----------------|--|---------------------------------|-----------------------|-----------------------|---|---|---|--|---|--------------------------------------|-------------------------------|--|---------------|---|--|--|
| No. | Most Serious Sexual Assault Offense Charge | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject Investigation For Sex Assault? | Subject Moral Report Accounted? | Subject Referral Type | Quarter Completed | Case Disposition | Most Serious Sexual Assault Offense Charged | Most Serious Other Offense Charged | Court Case or Article 15 Outcome | Reasons Charged Downgraded At Art 15 Hearing, if applicable | Most Serious Offense Convicted | Administrative Discharge Type | Must Register as Sex Offender | Attested Date | Case Synopsis/Notes | | |
| 264 | Abuse Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Male | Navy | E-7 | Male | No | No | | Q2 (January-March) | Other Adverse Administrative Action | | | | | | | | | Adverse Administrative Action Type: Other; Abuse Sexual Contact; Notes: Victim allegedly granted Victim an off-base nightclub. Subject denied ever having touched Victim. RCD recommended against prosecution. Subject recommended non-punitive resolution. Notes: Victim reported that she was penetrated after falling asleep due to abusive recommender. Victim declined to participate in investigation to identify offender. No further action due to insufficient evidence. | | |
| 265 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Unknown | | Male | | | | Q1 (October-December) | Offense is Unknown | | | | | | | | | Victim (single victim) | Notes: Victim reported that she was penetrated after falling asleep due to abusive recommender. Victim declined to participate in investigation to identify offender. No further action due to insufficient evidence. | |
| 266 | Abuse Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-7 | Male | No | No | | Q3 (April-June) | Non-Judicial Punishment | Abuse Sexual Contact (Art. 120) | | Article 15 Acquittal | | | | | | | Notes: Victim reported that she was penetrated after falling asleep due to abusive recommender. Victim declined to participate in investigation to identify offender. No further action due to insufficient evidence. | |
| 267 | Sexual Assault (Art. 120) | Unknown | Navy | E-3 | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Courts/Martial Charge Preferred | Sexual Assault (Art. 120) | | Acquitted | | | | | | | Notes: Victim reported that she was penetrated after falling asleep due to abusive recommender. Victim declined to participate in investigation to identify offender. No further action due to insufficient evidence. | |
| 268 | Sexual Assault (Art. 120) | Unknown | NA | Foreign National | Female | Navy | E-3 | Male | | | | Q3 (April-June) | In a Civilian/Foreign Authority Proceeding/Service Member | | | | | | | | | | Notes: Victim reported that she was penetrated after falling asleep due to abusive recommender. Victim declined to participate in investigation to identify offender. No further action due to insufficient evidence. | |
| 269 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-2 | Male | No | No | | Q4 (July-September) | Other Adverse Administrative Action | | | | | | | | | | Adverse Administrative Action Type: Other; Abuse Sexual Contact; Notes: Victim reported that subject sexually assaulted them. RCD investigated. RCD recommended against prosecution due to insufficient evidence. Subject was issued a non-punitive resolution. Notes: Victim reported that subject sexually assaulted them. RCD investigated. RCD recommended against prosecution due to insufficient evidence. Subject was issued a non-punitive resolution. | |
| 270 | Abuse Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-5 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 15) | Article 15 Punishment Imposed | | | | | | | Notes: Victim reported forcible kissing by Subject. Subjected garment was torn, but commander imposed non-punitive resolution. | |
| 271 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-3 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | Failure to obey order or regulation (Art. 15) | Article 15 Punishment Imposed | | | | Under Other Than Honorable Conditions (UDHC) | | | Involvement not specified | Notes: Victim reported that Subject had sex with Victim when she was unable to consent due to intoxication. RCD investigated. Subject stated that she was coerced. RCD recommended against prosecution. Commander imposed non-judicial punishment for separate misconduct and the Subject was administratively discharged with an Other Than Honorable Discharge. |
| 272 | Abuse Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-1 | Female | No | No | | Q3 (April-June) | Non-judicial punishment for sexual assault offense | | Assault (Art. 120) | Article 15 Punishment Imposed | | | | | | | Notes: Victim reported that Subject groped her breast during hygiene and made sexual comments to Victim. RCD investigated. RCD recommended against prosecution due to insufficient evidence. Commander imposed non-judicial punishment for violation of Article 121 (conducted by battery) and 121B (obstruction of justice). | |
| 273 | Abuse Sexual Contact (Art. 120) | UNDERWAY | Navy | E-4 | Male | Navy | E-3 | Male | No | No | | Q3 (April-June) | Non-Judicial Punishment | Abuse Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | | | | | Notes: Victim reported that Subject had grabbed Victim's groin and rubbed Victim's penis over the clothes while onboard the ship. Subject was administratively discharged. | |
| 274 | Aggravated Sexual Assault (Art. 120) | Bahran | E-4 | Female | Navy | E-5 | Male | | | | | Q3 (April-June) | Courts/Martial Charge Preferred | Aggravated Sexual Assault (Art. 120) | Convicted | | | False official statements (Art. 107) | | | | Notes: Victim reported that Subject sexually assaulted her on two occasions at sea. RCD investigated. SA-DA referred case to a general court-martial. Subject was acquitted of sexual assault but sentenced to Art. 107. | | |
| 275 | Abuse Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Female | Unknown | | Unknown | | | | | Offense is Unknown | | | | | | | | | | Notes: Victim reported that subject sexually assaulted her in a common room. RCD investigated. RCD recommended against prosecution due to insufficient evidence. Subject was administratively discharged with an Other Than Honorable Discharge. | |
| 276 | Abuse Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Non-Judicial Punishment | Aggravated Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | | | | | Both Victim and Subject | Notes: Victim reported that Subject groped her genital area, kissed her, and grabbed her buttocks without consent at Subject's residence. RCD investigated. Subject denied the assault. Commander imposed non-judicial punishment for violation of Article 120 (abuse sexual contact). Subject was administratively discharged with an Other Than Honorable Discharge. |
| 277 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Male | Unknown | | Unknown | No | No | | Q3 (April-June) | Offense is Unknown | | | | | | | | | | Notes: Victim reported that Unknown Subject sexually assaulted her in a common room. RCD investigated. RCD recommended against prosecution due to insufficient evidence. Subject was administratively discharged with an Other Than Honorable Discharge. | |
| 278 | Abuse Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-7 | Male | | | | Q1 (October-December) | Non-Judicial Punishment | Through Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | | | | | None | Notes: Victim reported multiple instances of sexual harassment by Subject, including Subject slipping her buttocks on one occasion. RCD investigated. Subject denied all allegations. SA-DA referred case to Subject's command, which imposed a non-punitive resolution. |
| 279 | Sexual Assault (Art. 120) | Unknown | Navy | E-3 | Female | Navy | E-4 | Male | No | No | | Q4 (July-September) | Administrative Discharge for non-sexual assault offense | | | | | | | | | General | Notes: Victim reported that subject sexually assaulted her (penetration) on numerous occasions when she was too intoxicated to consent. RCD investigated. Investigation revealed a romantic relationship between the parties. Subject denied the assault. RCD recommended against prosecution due to insufficient evidence. Subject was administratively discharged for a pattern of misconduct with a General (under honorable conditions) characterization of service. | |
| 280 | Abuse Sexual Contact (Art. 120) | Unknown | Navy | O-3 | Male | Navy | O-4 | Male | No | No | | Q1 (October-December) | Administrative Discharge | | | | | | | | | | Notes: Victim reported Subject touched her thigh and neck and exposed Subject's penis at an off-base residence. RCD investigated and identified three Other Victims of abusive sexual contact. RCD recommended disposition at a level less than court-martial. Commander imposed a non-punitive resolution. Subject was taken to a Board of Inquiry, which found substantiated performance of duty but no misconduct and recommended a non-punitive resolution. | |
| 281 | Abuse Sexual Contact (Art. 120) | Unknown | Navy | E-3 | Female | Navy | E-4 | Male | No | No | | Q3 (October-December) | Administrative Discharge | | | | | | | | | | Notes: Victim reported Subject grabbed her buttocks and thrust his groin against her penis at a local bar. RCD investigated. SA-DA referred case to Subject's command for action less than court-martial. Subject was administratively discharged. | |
| 282 | Attempts to Control Offenses (Art. 80) | UNITED STATES | Navy | O-5 | Male | Unknown | | Male | | | | | Subject is a Civilian or Foreign National | | | | | | | | | | Notes: Victim reported that subject sexually assaulted her (penetration) in a common room. RCD investigated. RCD recommended against prosecution due to insufficient evidence. Subject was administratively discharged with an Other Than Honorable Discharge. | |
| 283 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | Yes | No | | Q1 (October-December) | Courts/Martial Charge Preferred | Sexual Assault (Art. 120) | | Acquitted | | | | | | | Notes: Victim reported that Subject penetrated her at Subject's apartment without her consent, after drinking at a bar together. Victim stated she did not remember the event at a bar together. Subject had told her he had asked for consent beforehand. Subject charged with sexual assault, but was acquitted. No further action. | |
| 284 | Rape (Art. 120) | UNITED STATES | Navy | E-3 | Female | Unknown | | Male | No | No | | Q1 (October-December) | Subject is a Civilian or Foreign National | | | | | | | | | | Notes: Victim reported that Subject had raped her in her barracks room in December 2012. Subject, however, had subsequently reassured from the Army. No action by civilian law enforcement. Case completed closed. | |
| 285 | Rape (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | | | | Q4 (July-September) | Courts/Martial Charge Preferred | None (Art. 120) | | Charge dismissed subsequent to recommendation by Art. 15 hearing officer | Evidence did not support a conviction for prosecution | | | | | | Victim (single victim) | Notes: Victim reported that subject sexually assaulted her (penetration) in a common room. RCD investigated. SA-DA referred case to Subject's command, which imposed a non-punitive resolution. |
| 286 | Abuse Sexual Contact (Art. 120) | UNITED STATES | Navy | US Civilian | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | Assault (Art. 120) | Article 15 Punishment Imposed | | | | | | | Notes: Victim reported that Subject placed his hand on Victim's hip and rubbed the front of his body against back of Victim's body. RCD investigated. RCD recommended against prosecution due to insufficient evidence. SA-DA imposed non-judicial punishment for violation of Article 121 (assault). | |
| 287 | Abuse Sexual Contact (Art. 120) | UNITED STATES | Navy | US Civilian | Female | Navy | E-6 | Male | No | No | | Q1 (October-December) | Subject is a Civilian or Foreign National | | | | | | | | | | Notes: Victim reported that subject sexually assaulted her (penetration) in a common room. RCD investigated. RCD recommended against prosecution due to insufficient evidence. SA-DA imposed non-judicial punishment for violation of Article 121 (assault). | |
| 288 | Rape (Art. 120) | UNITED STATES | Navy | E-7 | Male | Unknown | | Male | | | | Q3 (April-June) | Offense is Unknown | | | | | | | | | | Notes: Victim reported that she was sexually assaulted by a foreign national during a massage. RCD made contact with the law enforcement, who stated that there were no going to proceed with an investigation. SA-DA advised. | |
| 289 | Abuse Sexual Contact (Art. 120) | Unknown | Navy | E-2 | Female | Unknown | | Male | | | | Q4 (July-September) | Subject is a Civilian or Foreign National | | | | | | | | | | Notes: Victim reported that she was sexually assaulted by a foreign national during a massage. RCD made contact with the law enforcement, who stated that there were no going to proceed with an investigation. SA-DA advised. | |

Unrestricted Report Case Synopses

| PT19 Service Member Sexual Assault Synopses Report: NAVY | | | | | | | | | | | | | | | | | | | | | | | |
|--|--|-------------------|--------------------|------------------|---------------|---------------------|-------------------|----------------|---|----------------------------------|-----------------------|------------------------|--|---|------------------------------------|----------------------------------|---|--|-------------------------------|--|----------------------------|--|--|
| No. | Final Service Member Sexual Assault Subject Investigated For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject's Prior Investigation for Job Assault | Subject Moral Witness Accession? | Subject Referral Type | Quarter/Date Completed | Case Disposition | Most Serious Sexual Assault Offense Charged | Most Serious Other Offense Charged | Court Case or Article 15 Outcome | Reason Charges Dismissed if Art 15 Hearing if applicable | Most Serious Offense Confirmed | Administrative Discharge Type | Must Register as Sex Offender | Alcohol Use | Case Synopsis Note | |
| 200 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-7 | Male | Unknown | Female | No | No | | | Q1 (October-December) | Offender is Unknown | | | | | | | | | Notes: Victim reported a sexual assault by an unknown Subject. NCIS contacted Victim, who declined to provide any further information on the nature of the assault. Victim signed a professional statement indicating victim did not wish to be interviewed. No administrative action. | |
| 201 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | No | No | | Q4 (July-September) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | | Acquitted | | | | | Notes: Victim reported that Subject digitally penetrated her vagina while she was asleep in an on-base room after a night of drinking. Charges preferred to general court-martial, but victim was acquitted. No further administrative action. Adverse Administration Action Type: Letter of Counseling (LOC). | |
| 202 | Sexual Assault (Art. 120) | Unknown | N/A | US Civilian | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Other adverse administrative action for non-sexual assault offense | | | | | | | | Subject (a single subject) | Notes: Civilian Victim reported that Subject digitally penetrated her vagina while off-base and overseas. NCIS investigated. Victim declined to participate in the military justice process. SA-DA dismissed the charges due to insufficient evidence and victim withdrawal. Subject formally convicted for non-sexual assault. | |
| 203 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | | | | Q1 (October-December) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | | Article 15 Punishment Imposed | | None | | | Notes: Victim reported Subject touched her breasts and buttocks multiple times on two different occasions. NCIS investigated. SA-DA returned the case to Subject's command for action. The command imposed RUP for Art. 120 (contact) under Article 15. | |
| 204 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-4 | Male | | | | Q4 (July-September) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | | Acquitted | | | | | Notes: Victim reported Subject sexually assaulted her in her barracks room when she was extremely intoxicated. NCIS investigated. Subject stated he had sexual intercourse with Victim knowing she was intoxicated. SA-DA referred the case to a General Court-Martial, where Subject was acquitted. | |
| 205 | Abusive Sexual Contact (Art. 120) | UNITED STATES | D+D | US Civilian | Female | Navy | E-5 | Male | No | No | | Q2 (January-March) | Other adverse administrative action for non-sexual assault offense | | | | | | | | | Notes: Victim reported Subject groped her buttocks and anal area while in an on-base office. NCIS investigated. Subject denied any contact. RUCO recommended against court-martial due to insufficient evidence. SA-DA referred the case to Subject's command, which issued a Page 11 Counseling statement for Article 15. | |
| 206 | Rape (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-3 | Male | No | No | | Q4 (July-September) | Courts-Martial Charge Preferred for non-sexual assault offense | | | Assault (Art. 128) | Convicted | | Assault (Art. 128) | Under Other than Honorable Conditions (DCHC) | | Victim (single victim) | Notes: Victim reported Subject sexually assaulted her in her barracks room when she was extremely intoxicated. NCIS investigated. Subject stated he had sexual intercourse with Victim knowing she was intoxicated. SA-DA referred the case to a General Court-Martial, where Subject was acquitted. |
| 207 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Unknown | Unknown | | | | | Q3 (April-June) | Offender is Unknown | | | | | | | | | Notes: Victim reported that on two occasions, Subject groped and penetrated her vagina without her consent. Subject paid \$200 to Subject as a sexual assault fee. | |
| 208 | Rape (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-2 | Male | | | | Q1 (October-December) | Other adverse administrative action for non-sexual assault offense | | | | | | | | | Notes: Victim reported a sexual assault but did not identify a Subject. NCIS investigated. Case closed due to unknown Subject. | |
| 209 | Rape (Art. 120) | Unknown | Navy | E-3 | Female | Navy | E-4 | Male | Yes | No | | Q2 (January-March) | Courts-Martial Charge Preferred | Rape (Art. 120) | | | Convicted | | Assault (Art. 128) | | | Notes: Victim reported Subject engaged in sexual intercourse with her when she was hospitalized due to alcohol. NCIS investigated. Subject adamantly admitted he had sexual intercourse with Victim when she was intoxicated. RUCO recommended against court-martial. Subject's command pursued unspecified adverse administrative action against Victim. | |
| 210 | Abusive Sexual Contact (Art. 120) | Unknown | Navy | E-5 | Female | Navy | E-5 | Male | No | No | | Q2 (January-March) | Courts-Martial Charge Preferred | Rape (Art. 120) | | | Convicted | | Assault (Art. 128) | Under Other than Honorable Conditions (DCHC) | | Notes: Victim reported Subject sexually assaulted her in her barracks room when she was extremely intoxicated. NCIS investigated. Subject stated he had sexual intercourse with Victim knowing she was intoxicated. SA-DA referred the case to a General Court-Martial, where Subject was acquitted. | |
| 211 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-5 | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | | Article 15 Punishment Imposed | | None | | | | Notes: Victim reported that Subject touched her buttocks without consent during a private visit. NCIS investigated. Subject stated he did not consent to the contact. SA-DA referred the case to Subject's command for action. The command imposed RUP for Art. 120 (contact) under Article 15. | |
| 212 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | | Article 15 Punishment Imposed | | Under Other than Honorable Conditions (DCHC) | | | | Notes: Victim reported that, while sleeping in Subject's apartment with friends, she awoke to Subject touching her breasts and vagina. She told him to stop, and he back away. Victim later woke up to Subject touching her breasts and being in bed with her. Command issued an RUCO and found Subject guilty of violating Article 120 at non-judicial punishment. Subject subsequently reported with an Other. | |
| 213 | Rape (Art. 120) | Unknown | Navy | E-3 | Female | Navy | E-4 | Male | | | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | | Article 15 Punishment Imposed | | None | | | | Notes: Victim reported Subject placed his penis in her mouth in a public restroom when she was intoxicated and she believed an additional assault occurred in a equipment utility compartment. NCIS investigated. Subject admitted to having consensual sex with Victim in the bathroom and on the ship. Three witnesses observed what looked like consensual sex in the bathroom. Victim further stated she did not believe what had happened was rape until her boyfriend suggested to her the morning after the incident. SA-DA referred case to Subject's command for non-judicial action. | |
| 214 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-3 | Male | No | No | | Q4 (July-September) | Courts-Martial Charge Preferred | Rape (Art. 120) | | | Charge dismissed for other reason prior to Courts-Martial | | | | | Notes: Victim reported that, during consensual sexual intercourse, Subject removed condom and she told Subject to stop. Subject refused. Subject then removed Subject's pants and the hands around her neck, and penetrated her without consent. NCIS investigated, and ICDCA returned Article 120 charge (Rape to Unlawful Force) to General Court-Martial. Charge subsequently withdrawn and dismissed without prejudice. SA-DA proceeded with Administrative Board for Commission of a Serious Offense (sexual assault). Board members found that the basis for separation was not met, and Subject was retained. | |
| 215 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-1 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | | Article 15 Punishment Imposed | | General | | | Subject (a single subject) | Notes: Victim reported Subject forced her to touch his penis and have intercourse with him on the ship. NCIS investigated. RUCO recommended against court-martial. Subject's command imposed RUP for Art 127 as a result of the investigation. Subject subsequently reported with a General Characterization. | |
| 216 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-5 | Female | Navy | E-3 | Male | No | No | | Q3 (April-June) | Non-judicial punishment | Abusive Sexual Contact (Art. 120) | | | Article 15 Punishment Imposed | | None | | | Notes: Victim reported that Subject touched her thigh and vagina with his finger in a non-base area. NCIS investigated. RUCO recommended against court-martial. Subject's command imposed RUP for Art 120 (contact) under Article 15. | |
| 217 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-6 | Male | No | No | | Q3 (April-June) | Administrative Discharge | | | | | | General | | | Notes: Victim reported that Subject digitally penetrated Victim and later Victim's genitalia during a PRR onboard ship. NCIS investigated. Subject remained silent. Victim declined to prosecute the case. Subject was processed for administrative separation and was separated with a General (under Honorable Conditions) administrative discharge. | |
| 218 | Rape (Art. 120) | UNITED STATES | Navy | E-4 | Female | Unknown | Unknown | | | | | Q4 (July-September) | Subject is a Collusion or Foreign National | | | | | | | | | Notes: Victim reported that she was sexually assaulted by a high school acquaintance. Subject was not identified. NCIS and local law enforcement investigated, but further action due to insufficient evidence. | |
| 219 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Unknown | Unknown | | | | | Q3 (April-June) | Offender is Unknown | | | | | | | | | Notes: Victim reported sexual assault but further details are not known. SA-DA referred case to unknown office. | |
| 220 | Rape (Art. 120) | UNITED STATES | Navy | E-6 | Male | Unknown | Male | No | No | | | Q1 (October-December) | Subject is a Collusion or Foreign National | | | | | | | | | Notes: Victim reported Subject, who was active duty at the time, was sexually penetrated without consent in Victim's barracks room in 2003. NCIS investigated. Subject denied allegations. Case was referred to the US Attorney's office, who declined to | |

| FTIS Service Member Sexual Assault Synopsis Report: NAVY | | | | | | | | | | | | | | | | | | | | | | |
|--|--|-------------------|--------------------|------------------|-------------------------|---------------------|-------------------|----------------|---|---------------------------|----------------------------|-----------------------|---|---|---|---|---|--------------------------------|--|-------------------------------|--|---|
| No. | Host Service Member Allegedly Involved | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject Prior Investigation For Sex Assault | Subject Wives/Accessories | Subject Referral Type | Quarter Completed | Case Disposition | Host Service Member Allegedly Offense Charged | Host Service Member Offense Charged | Court Case or Article 15 Outcome | Reasons Charge Dismissed or Art 15 Hearing, if applicable | Host Service Offense Convicted | Administrative Discharge Type | Host Requirer as Sex Offender | Alcohol Use | Case Synopsis Note |
| 311 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-5 | Female | Navy | E-4 | Male | No | No | Chaplain/Spiritual Support | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | Failure to obey orders or regulations (Art. 12) | Article 15 Punishment Imposed | | | None | | | | <p>Perfidious of Pay and Absconction: Yes; Fine: No; Restriction: No; Restraint: Limit: Quarter; Reassignment (DPD Only): No; Reduction in rank: Yes; Pay Grade Reduction To: E-5; Extra Duty: Yes; Extra Duty (Days): 45; Hard Labor: No; Confinement: (NJP Only): No.</p> <p>Notes: Victim reported Subject groped her buttocks during a training incident. NCIS investigated. RUC recommended against court-martial. SA-DA returned case to Subject's command, which imposed NJP for Art. 15, sexual harassment.</p> <p>Offense: Sexual Harassment, Non-Consensual: Yes; Confines/Type: Less Than Life, Confines/Threats: 4; Parafines of Pay and Absconction: No; Fine: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduction To: E-4; Hard Labor: No.</p> <p>Notes: Victim reported Subject groped her buttocks without consent. NCIS investigated. SA-DA referred charge Art. 120 (contact) and Art. 120 to a Special Court-Martial. Pursuant to a pretrial agreement, Subject pled guilty to two charges of Art. 120. No punitive discharge was awarded. Subject returned to a garrison. Two other witnesses reported Subject fondled victim and fondled Victim in the bathroom of an on-base restaurant. NCIS investigated. Subject failed all charges but consented. Victim was interviewed and reported she did not want Subject to see her and to keep her until she did not respond to her. SA-DA referred the case to a General Court-Martial. Charges were dismissed without prejudice based on the Article 15 hearing.</p> |
| 312 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | US Civilian | Female | Navy | E-7 | Male | No | No | | Q1 (October-December) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | Convicted | | | Assault (Art. 120) | None | | | <p>Notes: Victim reported Subject touched her breasts without consent. NCIS investigated. SA-DA referred charge Art. 120 (contact) and Art. 120 to a Special Court-Martial. Pursuant to a pretrial agreement, Subject pled guilty to two charges of Art. 120. No punitive discharge was awarded. Subject returned to a garrison. Two other witnesses reported Subject fondled victim and fondled Victim in the bathroom of an on-base restaurant. NCIS investigated. Subject failed all charges but consented. Victim was interviewed and reported she did not want Subject to see her and to keep her until she did not respond to her. SA-DA referred the case to a General Court-Martial. Charges were dismissed without prejudice based on the Article 15 hearing.</p> |
| 313 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-3 | Male | | | | Q3 (April-June) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | Charges dismissed subsequent to recommendation by Art. 12 hearing officer | Evidence not support a recommendation for prosecution | | | | | | <p>Perfidious of Pay and Absconction: Yes; Fine: No; Restriction: Yes; Restriction Length: (Days): 45; Reduction in rank: Yes; Pay Grade Reduction To: E-3; Extra Duty: Yes; Extra Duty (Days): 45; Hard Labor: No; Confinement: (NJP Only): No.</p> <p>Notes: Victim reported Subject touched her on the buttocks on multiple occasions, and also touched her on the abdomen and hugged her in a sexual manner. Subject taken to non-judicial punishment, but administratively separated with Courts-Martial discharge. Note: Confines/Type: No; Confines/Type: Less Than Life, Confines/Threats: 2; Parafines of Pay and Absconction: No; Fine: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduction To: E-1; Hard Labor: No.</p> |
| 314 | Sexual Assault (Art. 120) | UNITED STATES | Navy | Multiple Victims | Multiple Victims Male | Navy | E-4 | Male | No | No | | Q2 (January-March) | Non-Judicial Punishment | Sexual Assault (Art. 120) | Convicted | | | Article 15 Punishment Imposed | Under Other than Honorable Conditions (GOFC) | | | <p>Notes: Victim reported that Subject touched her on the buttocks on multiple occasions, and also touched her on the abdomen and hugged her in a sexual manner. Subject taken to non-judicial punishment, but administratively separated with Courts-Martial discharge. Note: Confines/Type: No; Confines/Type: Less Than Life, Confines/Threats: 2; Parafines of Pay and Absconction: No; Fine: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduction To: E-1; Hard Labor: No.</p> |
| 315 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | Convicted | | | Assault (Art. 120) | Under Other than Honorable Conditions (GOFC) | | Both Victim and Subject | <p>Notes: Victim reported that, after drinking with Subject at an on-base location, she awoke to Subject groping her breasts. Subject later admitted to this contact but did not consent. NCIS investigated, and SA-DA preferred an Article 120 charge to Court-Martial. Subject subsequently pled guilty to an Article 120 charge (Assault Committed by a Batterer) at Special Court-Martial, and was later administratively separated with an Other than Honorable characterization of service.</p> |
| 316 | Sexual Assault (Art. 120) | Unknown | Navy | E-3 | Male | Unknown | | Male | | | | | Subject is a Collator or Temporal National | | | | | | | | | <p>Notes: Victim reported under Subject allegedly raped victim in an off base apartment by penetrating his anus with his penis. Victim and subject to stop and could not get away due to the force of the subject's body weight on top of the victim. Subject was interrogated and released that the sexual act was consensual. Outside of DoD jurisdiction for prosecution. DoD banned Subject from all naval installations. DOJ instructed to take the case.</p> |
| 317 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-5 | Female | Navy | E-4 | Male | No | No | | Q4 (July-September) | Other Adverse Administrative Action | Other Adverse Administrative Action | | | | | | | Both Victim and Subject | <p>Adverse Administrative Action Type: Letter of Counseling (LDC)</p> <p>Notes: Victim reported sexual assault by Subject. NCIS investigated but RUC recommended against preferential discharge. Commander gave Subject a Letter of Counseling, but Adverse Administrative Action Type: Other.</p> |
| 318 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-3 | Male | No | No | | Q4 (July-September) | Other Adverse Administrative Action | Other Adverse Administrative Action | | | | | | | Subject (a single subject) | <p>Notes: Victim reported Subject sexually assaulted her by digitally penetrating her in on-base barracks. SA-DA returned case to O-3 Commanding Officer for disposition. Victim declined to participate in military justice process. Commanding Officer advised Subject a Letter of Counseling (LDC).</p> |
| 319 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-1 | Male | No | No | | Q3 (April-June) | Administrative discharge for non-sexual assault offense | | | | | | | | General | <p>Notes: Victim made a report on 03/06/13 where she alleged rape by her husband. Subject denied guilt in violation of Article 120 (sexual) and non-judicial punishment and awarded 90 days restriction. Victim of 100 months pay for her restriction, reduction in rank to E-4, and recommended for administrative separation. Officer separated with a general discharge.</p> <p>Courts-Martial discharge: None; Confines/Type: No; Confines/Type: Less Than Life, Confines/Threats: 2; Parafines of Pay and Absconction: No; Fine: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduction To: E-4; Hard Labor: No.</p> |
| 320 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | Multiple Victims | Multiple Victims Female | Navy | E-6 | Male | | | | Q4 (July-September) | Courts-Martial charge preferred for non-sexual assault offense | | | | | Assault (Art. 120) | Convicted | Assault (Art. 120) | Under Other than Honorable Conditions (GOFC) | <p>Notes: Victim was identified through a command investigation on sexual harassment. NCIS investigated once above sexual contact was reported. SA-DA referred charge to court-martial. Pursuant to a pretrial agreement, Subject pled guilty to multiple charges of Art 92 and Art 120. Subject received two.</p> |
| 321 | Abusive Sexual Contact (Art. 120) | JAPAN | Navy | US Civilian | Female | Navy | E-8 | Male | No | No | | Q2 (January-March) | Administrative Discharge | | | | | | | | Uncharacterized | <p>Notes: Victim reported that Subject sexually assaulted her and used her uniform as a weapon. RUC recommended against prosecution. Subject was released at an administrative separation level after providing evidence that sexual activities administered.</p> <p>Adverse Administrative Action Type: Other.</p> |
| 322 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-6 | Female | Navy | E-6 | Male | No | No | | Q2 (January-March) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | <p>Notes: Victim reported that Subject touched her hair in a sexual manner, then rubbed an unknown body part on Victim from behind. Subject was counseled and assigned to another.</p> <p>Adverse Administrative Action Type: Letter of Counseling (LDC)</p> |
| 323 | Rape (Art. 120) | UNITED STATES | Navy | US Civilian | Female | Navy | E-4 | Male | No | No | | Q1 (October-December) | Other Adverse Administrative Action | | | | | | | | | <p>Notes: Victim reported Subject made sexual advances at her off base residence, which she rejected. Victim then agreed to perform oral sex on Subject. Subject then pushed Victim down and penetrated her vagina without permission. NCIS investigated. Subject denied both the rape and sexual contact with Victim. RUC recommended against court-martial. SA-DA returned case to the command, which referred Subject to an ACDSP board. ACDSP subsequently non-prosecuted after further legal review determined there was a measurable mistake of fact as to consent by Subject. Command issued Subject a written.</p> |
| 324 | Rape (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-3 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Failure to obey orders or regulations (Art. 12) | Article 15 Punishment Imposed | | | None | | | | <p>Perfidious of Pay and Absconction: Yes; Fine: No; Restriction: Yes; Restriction Length: (Days): 45; Reduction in rank: Yes; Pay Grade Reduction To: E-3; Extra Duty: Yes; Extra Duty (Days): 45; Hard Labor: No; Confinement: (NJP Only): No.</p> <p>Notes: Victim reported Subject placed his penis in her vagina and moved without consent in ship recreation. NCIS investigated. Subject admitted to sexual acts but stated they were all consensual. RUC recommended against preferential discharge. SA-DA referred case to Subject's command for non-judicial or administrative action. Subject's command imposed non-judicial punishment for Art 92 (violation).</p> <p>Adverse Administrative Action Type: Letter of Counseling (LDC).</p> |
| 325 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-3 | Male | No | No | | Q2 (January-March) | Other Adverse Administrative Action | | | | | | | | Subject (a single subject) | <p>Notes: Victim reported Subject performed oral sex on her and fondled her vagina with his penis in an off-base hotel room while he was under the influence of alcohol. NCIS investigated. Subject denied both the rape and sexual contact with Victim. RUC recommended against court-martial. SA-DA returned case to Subject's command, which took no punitive or administrative action due to insufficient evidence. Subject received a Letter of Instruction regarding destructive behaviors.</p> |
| 326 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-3 | Male | No | No | | Q1 (October-December) | Administrative discharge for non-sexual assault offense | | | | | | | | Both Victim and Subject | <p>Notes: Victim reported sexual contact to Subject during the course of an unrelated command investigation. NCIS investigated. Subject non-prosecuted ACDSP for consensual relations. Victim consensual with ACDSP process continuing in lieu of the military justice process. Subject was separated with ACDSP.</p> <p>Perfidious of Pay and Absconction: Yes; Fine: No; Restriction: No; Restriction in rank: Yes; Pay Grade Reduction To: E-1; Extra Duty: Yes; Extra Duty (Days): 45; Hard Labor: No; Confinement: (NJP Only): No.</p> |
| 327 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-1 | Male | | | | Q4 (July-September) | Non-judicial punishment for non-sexual assault offense | Failure to obey orders or regulations (Art. 12) | Article 15 Punishment Imposed | | | None | | | Victim (single victim) | <p>Notes: Victim reported Subject had sexual intercourse with her when he should have known she was too drunk to consent. NCIS investigated. Victim remembered participating in the sexual act but stated she was highly intoxicated. In the past of vomiting, SA-DA determined there was insufficient evidence to proceed to trial on the sexual assault charges and returned case to Subject's command for non-judicial action.</p> <p>Perfidious of Pay and Absconction: No; Fine: No; Restriction: No; Reduction in rank: No; Extra Duty: No; Hard Labor: No; Confinement: (NJP Only): No.</p> |
| 328 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-5 | Male | Navy | E-6 | Male | No | No | | Q2 (January-March) | Non-Judicial Punishment | Wrongful Sexual Contact (Art. 120) | Convicted | | | Article 15 Punishment Imposed | General | | | <p>Notes: Victim reported that Subject sexually assaulted Victim with unknown intention. NCIS investigated. Victim declined to participate in military justice process. SA-DA returned case to Subject's command, which imposed non-judicial punishment for Art 120 (sexual contact) and Art 93 (voluntarily and unlawfully). Subject was processed for administrative.</p> |

| F18 Service Member Sexual Assault Synopsis Report: NAVY | | | | | | | | | | | | | Administrative Actions | | | | | | | | | |
|---|-------------------------------------|-------------------|--------------------|------------------|-------------------------|---------------------|-------------------|----------------|--|--------------------------|-----------------------|--|---|---|---|----------------------------------|--|--------------------------------|-------------------------------|-------------------------------|-------------------------|---|
| No. | Most Serious Sexual Assault Offense | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject Prior Investigation For Sex Assault? | Subject Alleged Account? | Subject Referral Type | Quarter Offense Completed | Case Disposition | Most Serious Sexual Assault Offense Charged | Most Serious Other Offense Charged | Court Case or Article 15 Outcome | Reason Charges Downgraded or Art 15 Hearing, if applicable | Most Serious Offense Corrected | Administrative Discharge Type | Must Register as Sex Offender | Arrested On | Case Synopsis Note |
| 329 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-2 | Male | No | No | Q4 (July-September) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 128) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported that Subject tried to kiss her and invaded her personal space on several occasions and that Subject grabbed her when she said "no" to Subject's sexual advances. MCS investigated. Command imposed non-judicial punishment.</p> |
| 330 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Male | Navy | E-1 | Male | | | Q4 (July-September) | Non-judicial Punishment | Abusive Sexual Contact (Art. 120) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported Subject prevented her from leaving the shower, hugged Victim, placed his lips on Victim's lips, and touched Victim's buttocks. MCS investigated. Subject admitted to placing his lips on Victim's lips, but denied any sexual event. SA-IDA returned case to Subject's command, which imposed non-judicial punishment.</p> |
| 331 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-3 | Male | No | No | Q4 (July-September) | Non-judicial punishment for non-sexual assault offense | Failure to obey order or regulation (Art. 15) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported that Subject sexually assaulted her in off base apartment and again in a private vehicle. MCS investigated. Subject denied any sexual interactions with Victim. RSO recommended against prosecution for sexual assault due to insufficient evidence. SA-IDA advised to prosecute. Subject awarded non-judicial punishment for violation of Article 15 (violation of order - providing alcohol to minors) and Article 157 (violation).</p> |
| 332 | Sexual Assault (Art. 120) | Unknown | Navy | US Civilian | Female | Navy | O-2 | Male | No | No | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | Conduct unbecoming (Art. 120) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: No; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported that, at an off-base bar after closing hours, Victim initially gave Subject consensual oral sex, but then Subject bar her over in a bathroom and penetrated her vagina with his penis without consent. Subject received non-judicial punishment, and was sent to an administrative separation board.</p> |
| 333 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-3 | Male | | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | Failure to obey order or regulation (Art. 15) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported Subject grabbed her buttocks while on the ship. MCS investigated. Subject denied contact. RSO recommended against prosecution. SA-IDA returned case to Subject's command, which imposed non-judicial punishment.</p> |
| 334 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Male | Navy | E-5 | Male | No | No | Q4 (July-September) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 128) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported that Subject struck Victim in the groin multiple times. Subject received non-judicial punishment for assault, then sent to an administrative separation board.</p> |
| 335 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Unknown | Unknown | Unknown | | | Q1 (October-December) | Offender is Unknown | | | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: No; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported sexual assault, but identity of Subject is unknown. No action taken due to insufficient evidence.</p> |
| 336 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | O-2 | Female | Navy | W-3 | Male | | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 128) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported Subject pulled her onto his lap and penetrated her buttocks in the ship messroom. MCS investigated. Subject admitted to touching Victim's buttocks but denied it was with sexual intent. SA-IDA returned case to Subject's command, which imposed RJP for Art 12, Art 126, and Art 113.</p> |
| 337 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Male | Navy | E-5 | Male | No | No | Q4 (July-September) | Non-judicial punishment for non-sexual assault offense | Indecent acts with another (Art. 134-29) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Third party reported that subject placed genital on victim's shoulder against victim's will. MCS investigated. Subject and victim denied any contact. Subject admitted to exposing genital. Command imposed non-judicial punishment.</p> |
| 338 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Male | Navy | E-3 | Male | | | Q1 (October-December) | Non-judicial Punishment | Abusive Sexual Contact (Art. 120) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported being on duty to Subject finding his pants and buttocks in ship messroom. Victim immediately took Subject to MCS, where Subject stated he had reached into Victim's shirt to feel her breasts. SA-IDA returned case to Subject's command, which imposed non-judicial punishment for violation of Article 133 (obscenity) for lewd conduct.</p> |
| 339 | Sexual Assault (Art. 120) | BAHRAIN | Navy | E-4 | Female | Navy | E-4 | Male | No | No | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | Adultery (Art. 134-2) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: No; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported Subject sexually assaulted her while she was intoxicated to consent while on deployment overseas. MCS investigated. Article 15 charge was preferred. Article 15 hearing was held. RSO recommended against prosecution due to insufficient evidence. SA-IDA chose to forward the case to court martial for Art 120 (sexual harassment) and Art 128 (sexual assault) for Art 133 (obscenity) for lewd conduct.</p> |
| 340 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Failure to obey order or regulation (Art. 15) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported she had down to sleep in an off-base apartment bathroom after a night of drinking with command members. As she went past Subject entered the bathroom and had sex with Victim. Victim consented to be sleep while Subject rubbed her back and touched her buttocks under her shorts and underwear. Subject said, "you may be asleep" and went to sleep himself. Subject's command imposed RJP for Art 12 and Art 15.</p> |
| 341 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | Multiple Victims | Multiple Victims - Male | Navy | E-6 | Male | No | No | Q1 (October-December) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | Convicted | | Assault (Art. 128) | None | None | | | Both Victim and Subject | <p>Courts-Martial discharge: None; Confinement: No; Forfeiture of Pay and Allowance: No; Finc: No; Restiction: No; Reduction in rate: Yes; Pay Grade Reduced To: E-5; Hard Labor: No;</p> <p>Notes: Subject was investigated for Art 12 (sexual harassment), Art 120 (sexual assault), and Art 128 (sexual assault) against her active-duty Victim. SA-IDA preferred charges to court-martial. After an Article 12 preliminary hearing, Subject pled guilty to Art 128 at a Summary Court-Martial.</p> |
| 342 | Rape (Art. 120) | | Navy | E-4 | Female | Navy | E-7 | Male | | | Q3 (April-June) | Courts-Martial Charge Preferred | Rape (Art. 120) | Charge dismissed subsequent to recommendation by Art. 15 hearing officer | Evidence did not support a recommendation for prosecution | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported Subject forced her to engage in sexual intercourse when she was incapacitated from alcohol. MCS investigated. SA-IDA preferred charges to a General Court-Martial. The Article 15 investigating officer recommended against prosecution to trial due to insufficient evidence. SA-IDA withdrew and dismissed the charges and took no further action.</p> |
| 343 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | US Civilian | Female | Navy | E-6 | Male | No | No | Q4 (July-September) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 128) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported that Subject touched her thigh without consent. Subject did not read the contact scoring. RSO recommended against prosecution. Subject received non-judicial punishment.</p> |
| 344 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | | | Q2 (January-March) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | Charge dismissed subsequent to recommendation by Art. 15 hearing officer followed by Art. 15 punishment | Evidence did not support a recommendation for prosecution | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported Subject sexually assaulted her in his barracks room. MCS investigated. SA-IDA preferred charges to a General Court-Martial. The Art 15 hearing officer recommended imposition of a court-martial for Art 128 (violation of government property) and Art 134 (lewd and lascivious conduct). Subject's command imposed RJP for Art 128 and Art 134 and administratively separated Subject.</p> |
| 345 | Sexual Assault (Art. 120) | | Navy | E-4 | Male | Unknown | Male | Unknown | | | Q1 (October-December) | Offender is Unknown | | | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: No; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported sexual assault by unknown Subject at an off-base hotel. MCS contacted Victim, who declined to participate in the investigative process. SA-IDA took no further action.</p> |
| 346 | Non-Consensual Sodomy (Art. 120) | UNITED STATES | Navy | E-3 | Male | Unknown | Male | Unknown | | | | Subject is a Civilian or Foreign National | | | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported Subject sexually assaulted her while she was on leave in 2015. MCS forwarded case to trial judge, who declined to take action. No further action due to [redacted] of duty.</p> |
| 347 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-3 | Female | No | No | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 128) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported that, after drinking with Subject and others at off-base bar, Victim returned to the ship and asked to Subject performing oral sex on her in her room. RSO recommended against prosecution. Subject received non-judicial punishment.</p> |
| 348 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-6 | Male | No | No | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 128) | Article 15 Punishment Imposed | | | None | None | | | Both Victim and Subject | <p>Perforation of Pay and Allowance: Yes; Finc: No; Restiction: No; Reduction in rate: No; Extra Duty: No; Hard Labor: No; Confinement: No; Confinement (NCP Only): No;</p> <p>Notes: Victim reported Subject touched her inappropriately in their workshop. MCS investigated. Subject stated he was sexually abused while working in the workshop in a small town. RSO recommended disposition at a non-judicial or administrative level. Subject's command imposed RJP for Art 120.</p> |

Unrestricted Report Case Synopsis

| Most Serious Sexual Assault Investigated For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject Investigation For (AUC 120) | Subject Moral Values Accession? | Subject Referral Type | Quarter Operations Completed | Case Disposition | Most Serious Sexual Assault Offense Charged | Most Serious Offense Charged | Court Case or Article 15 Outcome | Reason Charges Dropped at Art. 15 Hearing, if applicable | Most Serious Offense Convicted | Administrative Discharge Type | Most Register as Sex Offender | Alcohol Use | Case Synopsis Note | |
|--|-----------------------------------|--------------------|------------------|---------------|-------------------------|-------------------|----------------|-------------------------------------|---------------------------------|-----------------------|--|--|--|-----------------------------------|----------------------------------|---|---|-------------------------------|-------------------------------|--|--|--|
| 348 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-5 | Male | No | No | Chapter/Spirits Support | Q2 (January-March) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | Charges dismissed subsequent to recommendation by Art. 15 hearing officer | Evidence did not support a recommendation for prosecution | | | All victims and subjects (multiple parties to the crime) | Notes: Victim reported Subject and co-accused sexually assaulted her in an off-base apartment. NCS investigated. Subject denied all acts were consensual. ICSO recommended court-martial. SA IDA preferred charges to a General Court-Martial. At the Art. 15 preliminary hearing, additional evidence was presented that demonstrated a lack of support for the prosecution recommendation. SA IDA withdrew and dismissed the charges based on the results of the Art. 15 and ICSO's recommendation based on the new evidence. No further action recommended. | |
| 349 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | No | No | Multiple Referrals | Q2 (January-March) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | Charges dismissed subsequent to recommendation by Art. 15 hearing officer | Evidence did not support a recommendation for prosecution | | | All victims and subjects (multiple parties to the crime) | Notes: Victim reported Subject and co-accused sexually assaulted her in an off-base apartment. NCS investigated. Subject denied all acts were consensual. ICSO recommended court-martial. SA IDA preferred charges to a General Court-Martial. At the Art. 15 preliminary hearing, additional evidence was presented that demonstrated a lack of support for the prosecution recommendation. SA IDA withdrew and dismissed the charges based on the results of the Art. 15 and ICSO's recommendation based on the new evidence. No further action recommended. | |
| 350 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-7 | Male | No | No | | Q1 (October-December) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | None | | | Notes: Victim reported that Subject touched her inappropriately on multiple occasions. Subject later in non-judicial punishment and awarded punitive letter of reprimand. Command also withdrew endorsement of Subject's advancement. Subject to be separated from the Navy due to High Year Tenure. | |
| 351 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-5 | Female | Navy | E-6 | Male | No | No | | Q3 (April-June) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | Convicted | | | Yes | Involved but not specified | Notes: Victim reported that Subject sexually assaulted her by putting his tongue on her anus without consent. NCS investigated. Court-martial charges preferred. Pre-arrest agreement. Subject pled guilty to violation of Article 120 (Abusive Sexual Contact) and received confinement and a Rank-Forced-Down. | |
| 352 | Non-Consensual Sodomy (Art. 120) | UNITED STATES | Navy | E-3 | Female | Unknown | Male | No | No | No | Q2 (January-March) | Subject is a Cullion or Foreign National | | | | | | | | | Notes: Victim reported being sexually assaulted by two Indian Subjects, one of whom as a juvenile. Local authorities assumed jurisdiction with NCS involvement. Juvenile Subject was held and returned to local courts. Victim separated from the Navy in February 2016 in connection with adult Subject's trial. | |
| 353 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | No | No | | Q4 (July-September) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | Charges dismissed for other reasons prior to Courts-Martial | | | | | Both Victim and Subject | Notes: Victim reported that, after becoming sick due to alcohol consumption at a party, Subject took advantage of her by getting her breasts and digitally penetrating her. Court-martial charges preferred but subsequently withdrawn and dismissed due to investigation uncovering exculpatory evidence. No further action recommended. |
| 354 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Male | Navy | E-3 | Male | No | No | | Q3 (April-June) | Other Adverse Administrative Action | | | | | | | | | Notes: Victim reported that Subject sexually assaulted her. ICSO recommended special prosecution based on insufficient evidence, and Victim failed preference for non-judicial disposition. Subject sent to administrative separation board, but was not sent. |
| 355 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Male | Navy | E-1 | Male | No | No | Alcohol/Drug Counseling | Q3 (April-June) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | Convicted | | | Assault (Art. 120) | | Subject (in single subject) | Notes: Victim reported Subject sexually assaulted Victim in her quarters. NCS investigated. During the investigation, Subject physically assaulted another Sailor and was placed in pretrial confinement. SA IDA preferred charges of Art. 120 (Sexual Assault) and wrongful sexual contact, Art. 12B, Art. 12, and Art. 114 (Interference with Justice and Abuse of Authority) to a Special Court-Martial. Pursuant to a pretrial agreement, Subject pled guilty to all charges except the Art. 120 charge. |
| 356 | Sexual Assault (Art. 120) | Unknown | Navy | E-2 | Female | Navy | E-4 | Male | Yes | No | | Q2 (January-March) | Courts-Martial Charge Preferred | Rape (Art. 120) | | Convicted | | | Assault (Art. 120) | | Both Victim and Subject | Courts-Martial discharge: 03 - Dishonorable Discharge. Confinement: No. Confinement Type: Less Than Life. Confinement (Months): 60. Forfeiture of Pay and Allowances: No. Fine: No. Restriction: No. Reduction in rank: No. Pay Grade Reduction To: E-1; Hard Labor: No. |
| 357 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-6 | Male | No | No | | Q2 (January-March) | Other Adverse Administrative Action | | | | | | | | Involved but not specified | Notes: Victim reported that during a command Christmas party, Subject grabbed her buttocks as she walked by. ICSO recommended special prosecution. Command issued a non-judicial letter of censure. |
| 358 | Rape (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-3 | Male | No | No | in Civilian/Foreign authority in Forwarding Service Member | Q1 (October-December) | | | | | | | | | Both Victim and Subject | Notes: Subject was emboldened by local police for assisting another Sailor in the barracks. Subject pled guilty to Open or Close Contact with a minor, a misdemeanor. Command issued an administrative separation board, which Victim has been not sent and recommended punitive. |
| 359 | Sexual Assault (Art. 120) | | Navy | E-3 | Male | Navy | E-2 | Male | No | No | | Q2 (January-March) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | Convicted | | | Sexual Assault (Art. 120) | | Yes | Notes: Victim reported that she was sexually assaulted by Subject. NCS investigated. ICSO recommended general of charges. Subject was convicted of violating Article 120 Sexual Assault. Administrative Action Type: Letter of Counseling (LOC). |
| 360 | Sexual Assault (Art. 120) | UNITED STATES | Army | US Cullion | Female | Navy | O-4 | Male | No | No | | Q1 (October-December) | Other adverse administrative actions for non-sexual assault offenses | | | | | | | | Both Victim and Subject | Notes: Victim reported Subject engaged in explicit intercourse with her in an off-base apartment when she was not intended to consent. NCS investigated. Subject declined to provide a statement. Witnesses reported the acts appeared consensual. ICSO recommended court-martial. Subject was issued a Non-Punitive Letter of Censure for his lack of consent. |
| 361 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Male | Navy | E-4 | Male | No | No | | Q3 (April-June) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | None | | | | Notes: Victim reported abusive sexual contact by Subject, but subsequently declined to participate in investigation. Although Subject had initially been charged for non-judicial punishment, Subject's commander dismissed the charges due to insufficient prosecutive evidence. |
| 362 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Multiple Victims Female | Navy | E-1 | Female | Yes | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offenses | | | Failure to obey order or regulation (Art. 15) | Article 15 Punishment Imposed | | | Uncharacterized | | Notes: Multiple Victims reported that Subject committed abusive sexual contact. Subject held accountable at non-judicial punishment. Administrative Discharge: None. |
| 363 | Rape (Art. 120) | UNITED STATES | Navy | E-5 | Female | Navy | E-5 | Male | No | No | | Q2 (January-March) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | Acquitted | | | | | Both Victim and Subject | Notes: Victim reported that Subject sexually assaulted the Victim when she was intoxicated from consuming the sexual acts due to impairment by alcohol. The alleged incident occurred while the victim and subject were assigned temporarily overseas. NCS investigated and upon advice of ICSO trial counsel, the SA IDA preferred charges. After an Article 15 hearing, the case was referred to a general court-martial. Subject was found not guilty of all charges. No further action recommended. |
| 364 | Rape (Art. 120) | | Navy | E-3 | Female | Navy | E-5 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offenses | | | Failure to obey order or regulation (Art. 15) | Article 15 Punishment Imposed | | General | | | Notes: Victim initially reported Subject raped her in a stateroom on the day when she was introduced. NCS investigated. Victim later advised she was not intoxicated when she went to the stateroom with Subject and engaged in consensual anal sex, but Subject had sexual intercourse with her without consent. Subject denied all sexual contact with her. Subject's command imposed non-judicial punishment for Art. 15. Subject was administratively separated. |
| 365 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-1 | Male | No | No | | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offenses | | | | | | | | | Notes: Victim reported that Subject grabbed the Victim's buttocks and tried to kiss her in the stateroom and issued victim against her with NCS investigation. Command determined there was insufficient evidence for court-martial or NJP. Subject recommended administrative separation. |
| 366 | Abusive Sexual Contact (Art. 120) | Unknown | Navy | E-4 | Female | Navy | E-4 | Male | No | No | | Q4 (July-September) | Non-judicial punishment for non-sexual assault offenses | | | Failure to obey order or regulation (Art. 15) | Article 15 Punishment Imposed | | None | | Both Victim and Subject | Forfeiture of Pay and Allowances: Yes. Fine: No. Restriction: Yes. Restriction Length: Other. Restriction Length (Days): 45. Reduction in rank: Yes. Pay Grade Reduction To: E-5; Extra Duty: Yes. Extra Duty (Days): 45; Hard Labor: No; Confinement (Months): 0; Confinement (Days): 0; Pay Grade Reduction To: E-1; Hard Labor: No. |
| 367 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Unknown | Unknown | No | No | No | | Q1 (October-December) | Offender is Unknown | | | | | | | | Victim (single victim) | Notes: Victim reported sexual assault during a School to a Fleet. NCS contacted victim, who declined to provide any further information or participate in the investigative process. Command action precluded due to unknown Subject. |
| 368 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Male | Navy | E-4 | Male | No | No | | Q2 (January-March) | Non-judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | None | | | | Notes: Victim reported Subject placed Subject's genitals in Victim's hand while Victim was sleeping. NCS investigated. Subject admitted to exposing his genitalia to Victim but denied any contact. Subject's command imposed non-judicial punishment. |

Unrestricted Report Case Synopsis

| FD-302 Service Member Sexual Assault Synopses Report - IADAT | | | | | | | | | | | | | Administrative Actions | | | | | | | | | | |
|--|--|-------------------|--------------------|--------------------|---------------------------|---------------------|---------------------|------------------|--|---|-------------------------|-----------------------|---|---|------------------------------------|----------------------------------|--|---------------------------------|---|---------------------------------|-------------|--|--|
| No. | Most Serious Sexual Assault Allegation/Subject Is Unemployed For | Incident Location | Victim Affiliation | Victim's Pay Grade | Victim Gender | Subject Affiliation | Subject's Pay Grade | Subject's Gender | Subject's Prior Investigation For Sex Assault? | Subject's Report/Action/Offense Accessor? | Subject's Behavior Type | Offense/Complaint | Case Disposition | Most Serious Sexual Assault Offense Charged | Most Serious Other Offense Charged | Court Case or Article 15 Outcome | Reasons Charges Dismissed at Art 15 Hearing, If Applicable | Most Serious Offense Conviction | Administrative Discharges Type | Most Restrictive See Conviction | Alcohol Use | Case Synopsis Note | |
| | | | | | | | | | | | | | | | | | | | | | | Adverse Administration Action Type: Other; | |
| 309 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Navy | E-3 | Male | No | No | | Q3 (April-June) | Other Adverse Administrative Action | | | | | | | | | Notes: Victim alleged Subject sexually assaulted her in the barracks by holding her down and penetrating her with his penis. Subject stated he had consensual SA with her. Subject stated she was not comfortable with SA and did not consent to SA and did not want to be charged. She was not charged. Case sent back to Commanding Officer, who issued a NOIC. | |
| 310 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-3 | Male | No | No | | Q4 (July-September) | Court-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | | Discharge or Suspension in Lieu of Court-Martial | | | | | | Notes: Victim reported that, while staying at Subject's residence in a hostel base, she worked to Subject's bed for several days. As soon as she woke up, Subject attempted to force her into sexual acts. Subject stated she did not consent. SA-DA reviewed the case. SA-DA stated she was not comfortable with SA and did not consent to SA and did not want to be charged. She was not charged. Case sent back to Commanding Officer, who issued a NOIC. |
| 311 | Rape (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Court-Martial Charge Preferred | Sexual Assault (Art. 120) | | | Charge dismissed for any other reason prior to Court-Martial | | | | | Both Victim and Subject | Notes: Victim reported that Subject penetrated her orally and vaginally in her barracks room without her consent, after consuming alcohol at a barracks party. Subject admitted to the sexual activities, but stated he was not comfortable with SA and did not consent to SA and did not want to be charged. She was not charged. Case sent back to Commanding Officer, who issued a NOIC. |
| 312 | Rape (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-2 | Male | | | | Q1 (October-December) | Other Adverse Administrative Action | | | | | | | | | Notes: Victim reported Subject digitally penetrated her vagina, then later had intercourse with her against her will at two off-base locations during a night out. NCS investigated. Subject stated all sexual acts were consensual. SA-DA reviewed the case. SA-DA stated she was not comfortable with SA and did not consent to SA and did not want to be charged. She was not charged. Case sent back to Commanding Officer, who issued a NOIC. | |
| 313 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | Multiple Victims | Multiple Victims - Female | Navy | O-3 | Male | No | No | | Q3 (April-June) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | | | | Involved but not specified | Notes: Multiple victims reported that Subject sexually assaulted (contact) them. NCS investigated. RSD recommended against prosecution. Command recommended a punitive letter for violation of Article 120 (Abusive Sexual Contact) and 128 (Abusive Sexual Contact) and 128 (Abusive Sexual Contact) by battery. Subject was advised a punitive letter of reprimand and recommended for discharge. |
| 314 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | O-2 | Male | No | No | | Q3 (January-March) | Court-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | | Convicted | | Assault (Art. 128) | | | | Court-Martial discharge. Note: Confinement: No; Failure of Pay and Allowance: No; Fine: No; Restrictions: No; Reduction in rank: No; Hard Labor: No. |
| 315 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Navy | E-1 | Male | | | | Q1 (October-December) | A Civilian/Foreign Authority is Prosecuting Severe Offense | | | | | | | | | Notes: Victim reported Subject sexually assaulted her. Victim filed a report with her civilian employer. NCS investigated. Subject stated she was not comfortable with SA and did not consent to SA and did not want to be charged. She was not charged. Case sent back to Commanding Officer, who issued a NOIC. | |
| 316 | Rape (Art. 120) | UNITED STATES | Navy | E-1 | Female | Unknown | Unknown | Unknown | | | | Q1 (October-December) | Offender is Unknown | | | | | | | | | Notes: Victim reported a pre-service sexual assault. Victim declined to participate in investigation on identity. Offense: No Further Action/Status Unknown/Status Unknown. | |
| 317 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-4 | Male | Yes | No | | Q3 (April-June) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | | Article 15 Punishment Imposed | | | | | | Notes: Victim reported that Subject pinned her buttocks against the ship. Subject taken to non-judicial punishment as well as an administrative separation board. Subject returned. |
| 318 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | US Civilian | Female | Navy | O-3 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | | | Convicted | | | | | Both Victim and Subject | Notes: Civilian victim reported Subject groped her chest area at the ship. NCS investigated. Subject stated he had no memory of the night due to an alcohol induced blackout. SA-DA reviewed the case. SA-DA stated she was not comfortable with SA and did not consent to SA and did not want to be charged. She was not charged. Case sent back to Commanding Officer, who issued a NOIC. |
| 319 | Appropriated Sexual Contact (Art. 122) | JAPAN | Navy | E-5 | Female | Unknown | Unknown | Unknown | | | | Q1 (October-December) | Subject is a Civilian or Foreign National | | | | | | | | | Involved but not specified | Notes: Victim reported Subject committed abusive sexual contact on her and attempted to rape in 2015 in Japan. At the time Subject was an active duty sailor. Victim reported case when she saw Subject working as a contractor on her ship. NCS investigated. Case was referred to OIC, which directed prosecution to insufficient evidence and lack of connection to Japan. Case was referred to OIC, which directed prosecution to insufficient evidence and lack of connection to Japan. |
| 320 | Abusive Sexual Contact (Art. 120) | Etoria | N/A | Foreign National | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Court-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | | Discharge or Suspension in Lieu of Court-Martial | | | | | | Notes: Victim reported that Subject grabbed her buttocks and vagina over the clothes while at an off-base bar. Subject charged with Abusive Sexual Contact, but concerning authority personnel a separation in lieu of that agreement. Subject separated with an Other than Honorable Characterization. |
| 321 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-6 | Male | Navy | E-4 | Female | No | No | | Q3 (January-March) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | | Article 15 Punishment Imposed | | | | | Both Victim and Subject | Notes: Victim reported that Subject invited him to her barracks room, then grabbed his penis over the pants multiple times, causing pain. Subject received non-judicial punishment and sent to an administrative separation board. Board members were advised of the case. |
| 322 | Rape (Art. 120) | Unknown | Navy | E-5 | Male | Unknown | Unknown | Unknown | | | | Q1 (October-December) | Offender is Unknown | | | | | | | | | Victim (single victim) | Notes: Victim reported that he believes he was sexually penetrated while blindfolded due to alcohol, but was unable to identify a suspect. No further action due to unknown Subject. |
| 323 | Sexual Assault (Art. 120) | UNITED STATES | Navy | US Civilian | Female | Navy | E-4 | Male | | | | Q3 (April-June) | Court-Martial Charge Preferred | Sexual Assault (Art. 120) | | | Charge dismissed for any other reason prior to Court-Martial | | | | | | Notes: Victim reported Subject pushed down her pants and performed various sexual acts on her while she continued to talk to him. NCS investigated. Subject stated all sexual acts were consensual. SA-DA reviewed the case. SA-DA stated she was not comfortable with SA and did not consent to SA and did not want to be charged. She was not charged. Case sent back to Commanding Officer, who issued a NOIC. |
| 324 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-6 | Female | Navy | E-1 | Male | No | No | | Q4 (July-September) | Court-Martial charge preferred for non-sexual assault offense | | | | Convicted | | Assault (Art. 128) | | | Both Victim and Subject | Notes: Victim reported that, after consuming alcohol, she walked Subject's room and attempted to remove her clothes while masturbating. Subject used force at a special court-martial. |
| 325 | Rape (Art. 120) | UNITED STATES | Navy | E-3 | Male | Unknown | Unknown | Unknown | | | | Q3 (April-June) | Subject is a Civilian or Foreign National | | | | | | | | | Both Victim and Subject | Notes: Victim reported Subject performed felatio and engaged in oral sex with victim without consent after a night of drinking. Victim stated he delayed reporting until after discharge. He filed a report with the command and was trying to transfer to another command. NCS investigated. Case was referred to OIC, which directed prosecution to insufficient evidence and lack of connection to Japan. |
| 326 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Unknown | Unknown | Unknown | | | | Q1 (October-December) | Offender is Unknown | | | | | | | | | | Notes: Victim reported a sexual assault but declined to participate in the investigation. No further action. |
| 327 | Rape (Art. 120) | UNITED STATES | Navy | E-1 | Female | Unknown | Unknown | Unknown | | | | Q1 (October-December) | Offender is Unknown | | | | | | | | | | Notes: Victim reported pre-service sexual assault. NCS opened a "closed only" investigation based on victim performance and participation in the investigation process. SA-DA took action to Court-Martial discharge. Note: Confinement: No; Failure of Pay and Allowance: No; Fine: No; Restrictions: Yes; Reduction in rank: No; Hard Labor: No. |
| 328 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-3 | Male | Yes | No | | Q1 (October-December) | Court-Martial Charge Preferred | Sexual Assault (Art. 120) | | | Convicted | | Failure to obey order or regulation (Art. 92) | | | | Notes: Victim reported that Subject sexually assaulted 2 victims and also violated protection order. NCS investigated. Subject was found not guilty at a General Court-Martial for Violation of Art 120 (Sexual Assault), was found guilty of Art 92 (Prohibited Violators) and charge of Art 120 (Abusive Sexual Contact) was dismissed. Dismissed charge was prosecuted by Special Court-Martial. Subject convicted of sexual violation, and subsequently administratively separated on with an Other than Honorable Characterization. |
| 329 | Rape (Art. 120) | UNITED STATES | Navy | O-3 | Female | Navy | O-3 | Male | No | No | | Q3 (April-June) | Other Adverse Administrative Action | | | | | | | | | | Adverse Administration Action Type: Other; |
| | | | | | | | | | | | | | | | | | | | | | | Notes: Victim reported that, at an off-base house party, Subject pushed for onto his car and digitally penetrated her vagina, then laid her on a couch and penetrated her vagina with his penis. Because States of California had laws for sexual charges, Subject stated he was not comfortable with SA and did not consent to SA and did not want to be charged. She was not charged. Case sent back to Commanding Officer, who issued a NOIC. | |
| 330 | Rape (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-6 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | | | Failure to obey order or regulation (Art. 92) | | Article 15 Punishment Imposed | | | General | Notes: Victim reported that, while Subject was conducting a barracks inspection, he raped her by holding her down and penetrating her without her consent. RSD recommended against prosecution due to insufficient evidence. Subject received non-judicial punishment, and administratively separated from the command. |

| 7313 Service Member Sexual Assault Synopsis Report: NAVY | | | | | | | | | | | | | Unrestricted Report Case Synopsis | Administrative Actions | | | | | | | | |
|--|--|-------------------|--------------------|--|---------------|---------------------|-------------------|----------------|---|-----------------------------------|-------------------------|-------------------------------|---|---|------------------------------------|---|--|---|-------------------------------|---------------------------|-------------------------|--|
| No. | Most Serious Sexual Offense to Which Subject is Convicted/ PFC | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject's Prior Investigation for Sex. Assault? | Subject's Moral Waiver Assessment | Subject's Referral Type | Quarter/Disposition/Complaint | Case Disposition | Most Serious Sexual Assault Offense Charged | Most Serious Other Offense Charged | Court Case or Article 15 Outcome | Reason Charges Dismissed at Art. 15 Hearing, if applicable | Most Serious Offense Conducted | Administrative Discharge Type | Most Restrictive Sanction | Alcohol Use | Case Synopsis Note |
| 311 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Male | Navy | E-1 | Unknown | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 128) | | Article 15 Punishment Imposed | | General | | | | Perfession of Pay and Allowances: No; Fine: No; Restriction: Yes; Restriction Level: Installation; Restriction Length (Days): 30; Reduction in rank: No; Extra Duty: Yes; Extra Duty (Days): 14; Hard Labor: No; Correctional Custody (NJP Only): No. Notes: Victim reported that the Subject touched her crotch while playing her head on his lap. NCS investigated and RLSD review revealed no sufficient evidence for sexual assault. Commanding Officer requested restricted punishment for violation of Article 92 (Failure to Obey and Endangerment), 121 (False Official Statements), and 120 (Sexual Offense) (convicted by battery). Subject was subsequently administratively discharged. |
| 312 | Rape (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-6 | Male | No | No | | Q3 (April-June) | Court-Martial charge preferred for non-sexual assault offense | Child-like conduct (Art. 134-135) | | Convicted | Failure to obey order or regulation (Art. 92) | Under Other Than Penalties Conditions (OITPC) | | | | Court-Martial discharge; None; Confinement: No; Forfeiture of Pay and Allowances: Yes; Fine: No; Restriction: Yes; Restriction Level: Installation; Restriction Length (Days): 60; Reduction in rank: Yes; Pay Grade Reduced To: 1-5; Hard Labor: No. Notes: Victim reported that Subject sexually assaulted Victim at work. NCS investigated. For a pretrial agreement, Subject pled guilty to violation of Article 62 (Protein Violation) and 134 (obscenity/comedy) at a Special Court-Martial. Subject was administratively discharged with an Other than Honorable characterization of service. |
| 313 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Female | Unknown | Unknown | Unknown | Unknown | Unknown | | Q1 (October-December) | Offender is Unknown | | | | | | | | | Notes: Victim reported an unspecified sexual assault by an unknown Subject. NCS contacted Victim, who signed a preference form indicating she did not wish to participate in the post-incident process. Adverse Administration Action Type: Other. |
| 314 | Abusive Sexual Contact (Art. 120) | Japan | Navy | O-5 | Male | Navy | O-3 | Male | No | No | | Q2 (January-March) | Other Adverse Administrative Action | | | | | | | | | Subject (a single subject) Notes: Victim reported that Subject had touched her buttocks in a social setting at an on-base bowling alley. In addition to making sexual comments towards Victim on multiple occasions, Subject sexually assaulted her during a social gathering. Subject's performance and availability were not impacted. |
| 315 | Abusive Sexual Contact (Art. 120) | Unknown | Navy | O-2 | Female | Unknown | Male | Male | Unknown | Unknown | | Q1 (October-December) | Offender is Unknown | | | | | | | | | Subject (a single subject) Notes: Victim reported that Subject grabbed Victim's buttocks at an on-base validation. Victim verbally confronted Subject at the time. NCS investigated but was unable to identify a Subject. Command action precluded due to unknown Subject. |
| 316 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-3 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | False official statements (Art. 137) | | Article 15 Punishment Imposed | | None | | | Both Victim and Subject | Perfession of Pay and Allowances: Yes; Fine: No; Restriction: Yes; Restriction Level: Other; Restriction Length (Days): 45; Reduction in rank: Yes; Pay Grade Reduced To: E-3; Extra Duty: Yes; Extra Duty (Days): 45; Hard Labor: No; Correctional Custody (NJP Only): No. Notes: Victim reported that Subject sexually assaulted her while Victim was asleep. NCS investigated. RLSD recommended against prosecution due to insufficient evidence. Commanding Officer requested restricted punishment for Art 137 and Art 137. |
| 316 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-3 | Female | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Obstructing justice (Art. 134-135) | | Article 15 Punishment Imposed | | None | | | Both Victim and Subject | Perfession of Pay and Allowances: Yes; Fine: No; Restriction: Yes; Restriction Level: Other; Restriction Length (Days): 30; Reduction in rank: No; Extra Duty: Yes; Extra Duty (Days): 30; Hard Labor: No; Correctional Custody (NJP Only): No. Notes: Victim reported Subject and another SA committed sexual acts on Victim while Victim was asleep from alcohol consumption. NCS investigated. Victim voluntarily submitted a preference not to continue with the military justice process. SA IDA returned due to Subject's command, which imposed NJP for Art. 134 (obstruction of justice). |
| 317 | Non-Consensual Sodomy (Art. 125) | UNITED STATES | Navy | O-2 | Male | Unknown | Male | No | No | No | | Q1 (January-March) | Offender is Unknown | | | | | | | | | Notes: Victim reported that she was raped by an unknown Male Subject while heavily intoxicated and asleep. NCS investigated. Local law enforcement investigated. Victim did not provide any further information for investigation. Case closed per NCS instructions. Notes: Victim reported Subject penetrated her anus without consent. NCS investigated. Subject denied sexual activity with Victim on the night in question and denied any non-consensual sexual activity with Victim over the duration of his assignment. Subject passed a polygraph examination. RLSD recommended against court-martial. SA IDA returned case to Subject's command, where he was administratively separated from the Navy. |
| 318 | Rape (Art. 120) | JAPAN | Navy | E-3 | Female | Navy | E-5 | Male | No | No | | Q3 (April-June) | Administrative Discharge | | | | | | | | | Involvement not specified Notes: Victim reported that Subject sexually assaulted her in 2011, when they were both on shore duty. NCS investigated. Subject separated from the Navy in 2018, prior to be identified in this case. Since the statute of limitation on 2011 case have had expired, the DoD has no jurisdiction on the Subject. Case was referred to the local civilian prosecutor's office. Local authorities investigated. SA IDA returned case to Subject's command, where he was administratively separated from the Navy. |
| 319 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-5 | Female | Navy | E-5 | Male | No | No | | Q2 (January-March) | Subject is a Collator or Foreign National | | | | | | | | | Notes: Victim reported Subject sexually assaulted her in 2011, when they were both on shore duty. NCS investigated. Subject separated from the Navy in 2018, prior to be identified in this case. Since the statute of limitation on 2011 case have had expired, the DoD has no jurisdiction on the Subject. Case was referred to the local civilian prosecutor's office. Local authorities investigated. SA IDA returned case to Subject's command, where he was administratively separated from the Navy. |
| 400 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | Cadet/Midshipman or Multiple Victim's Rank | | Navy | C-4 | Male | No | No | | Q3 (April-June) | Cadet/Midshipman Discipline System Action | | | | | | | | | Adverse Administration Action Type: Cadet/Midshipman Discipline System; Notes: Two Victims reported that Subject touched their buttocks and made sexual remarks. NCS investigated. Victims expressed desire not to prosecute. Subject RLSD recommended against prosecution and SA IDA chose not to prosecute the case. Subject was disciplined in Midshipman status. |
| 401 | Wrongful Sexual Contact (Art. 120) | | Navy | E-4 | Female | Navy | E-5 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | Failure to obey order or regulation (Art. 92) | | Article 15 Acquittal | | | | | | Notes: Victim reported Subject repeatedly touched and invaded her buttocks and made sexual comments to her over the course of a year. NCS investigated. Subject's command charged her with Art. 92 (sexual harassment) and non-judicial punishment. Charges were dismissed due to insufficient evidence. |
| 402 | Rape (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-3 | Male | No | No | | Q2 (January-March) | Court-Martial Charge Preferred | Sexual Assault (Art. 120) | | Acquitted | | | | | Both Victim and Subject | Perfession of Pay and Allowances: Yes; Fine: No; Restriction: No; Reduction in rank: No; Extra Duty: Yes; Extra Duty (Days): 61; Hard Labor: No; Correctional Custody (NJP Only): No. Notes: Victim reported Subject sexually assaulted her at an off-base hotel room. NCS investigated. Subject stated that sexual contact was consensual. SA IDA returned a charge of Art. 120 (sexual assault) to a General Court-Martial. Subject was acquitted of the charge. |
| 403 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-7 | Male | No | No | | Q3 (April-June) | Non-judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | None | | | | Notes: Victim reported that Subject touched her buttocks without consent during deployment. Subject received non-judicial punishment, and was sent to an administrative separation board. Board voted to retain Subject. |
| 404 | Abusive Sexual Contact (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Navy | E-5 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 128) | | Article 15 Punishment Imposed | | None | | | | Notes: Civilian Victim reported Subject grabbed her groin against her buttocks twice. Local police investigated and declined to prosecute. NCS accepted the investigation. Subject denied any sexual contact with Victim. RLSD recommended disposition of a formal case that civil-military. Command retained Subject of NJP for Art. 128. Subject refused NJP and was issued a Non-Profit Letter of Correction. |
| 405 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-2 | Male | No | No | | Q2 (January-March) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Acquittal | | | | | | Notes: Victim reported Subject stopped her buttocks and sent her a picture of his exposed genital. NCS investigated. RLSD opened there was no probable cause for sexual assault. SA IDA returned case to Subject's command. Subject was issued non-judicial punishment for Art. 92 and Art. 128, where he was acquitted of the charge. |
| 406 | Aggravated Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-6 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Failure to obey order or regulation (Art. 92) | | Article 15 Punishment Imposed | | General | | | | Perfession of Pay and Allowances: Yes; Fine: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-3; Extra Duty: No; Hard Labor: No; Correctional Custody (NJP Only): No. Notes: Victim reported Subject kissed her twice without consent and made sexual remarks during command to her. NCS investigated. RLSD recommended against court-martial. Command took Subject to NJP for Art. 92. Subject was administratively discharged with a General (Under Paragraphs) characterization of service. |
| 407 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Male | Navy | E-5 | Male | No | No | | Q1 (October-December) | Court-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | Charges dismissed to any other reason prior to Court-Martial followed by Art. 15 punishment | | None | | | | Perfession of Pay and Allowances: Yes; Fine: No; Restriction: Yes; Restriction Level: Other; Restriction Length (Days): 45; Reduction in rank: Yes; Pay Grade Reduced To: E-4; Extra Duty: Yes; Extra Duty (Days): 45; Hard Labor: No; Correctional Custody (NJP Only): No. Notes: Victim reported Subject grabbed her groin from behind her buttocks. Victim's groin and pulled Victim's hand toward Subject, prior to several different occasions. NCS investigated. Subject stated he was joking when he stopped Victim's buttocks with his hand. SA IDA preferred charges of Art. 120 (contact), Art. 128, and Art. 92 to a Special Court-Martial. Subject and SA IDA entered into a pretrial agreement in which Subject pled guilty to Art. 92. Subject was referred to an administrative separation board, which found no basis for an administrative separation. |
| 408 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Male | Navy | E-4 | Male | No | No | | Q1 (April-June) | Court-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | Convicted | Failure to obey order or regulation (Art. 92) | Under Other Than Penalties Conditions (OITPC) | | | | Court-Martial discharge; None; Confinement: No; Forfeiture of Pay and Allowances: Yes; Fine: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-3; Hard Labor: No. Notes: Victim reported she was sexually harassed and assaulted on board the submarine for 40 hours while duty cycle upon numerous occasions in 2014. NCS investigated. Court-martial charges preferred for sexual assault, sexual harassment, and assault. For a pretrial agreement the Subject pled guilty at a Special Court-Martial to sexual harassment and assault. Subject received an administrative discharge letter with an Other than Honorable characterization. |
| 409 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Male | Navy | E-5 | Male | No | No | | Q1 (October-December) | Court-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | Discharge or Relegation to Law of Court-Martial | | | | | | Notes: Victim reported Subject committed sexual harassment and abusive sexual contact on Victim on multiple occasions. NCS investigated. SA IDA preferred Art. 120 (contact), Art. 128, and Art. 92 (sexual harassment) charges to a Special Court-Martial. Subject requested to be separated in lieu of trial. SA IDA returned case to Subject's command, where he was administratively separated with an Other than Honorable discharge. |
| 410 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-1 | Male | No | No | | Q2 (January-March) | Subject is a Collator or Foreign National | | | | | | | | | Notes: Victim reported Subject grabbed her buttocks and made a sexual comment to her during and pillow. NCS investigated. During the investigation Subject was administratively separated from the Navy with an entry level characterization for convicted rape/battery. NCS referred case to local police. Prosecution declined to prosecute. Case is closed. |

Unrestricted Report Case Synopses

| 7333 Service Member Sexual Assault System Report - DAVID | | | | | | | | | | | | | | | Administrative Actions | | | | | | | | |
|--|---|-------------------|--------------------|------------------|------------------|---------------------|-------------------|----------------|--|---------------------------------|-------------------------|-------------------------------|--|---|------------------------------------|---|--|--------------------------------|---|---------------------------|-------------|---|--|
| No. | Most Serious Sexual Assault Allegations Subject is Investigated For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject Investigation For Sex Assault? | Subject Moral Waiver/Accession? | Subject Referral Type | Quarter Disposition Completed | Case Disposition | Most Serious Sexual Assault Offense Charged | Most Serious Other Offense Charged | Court Case or Article 15 Outcome | Reason Charges Downgraded at Art 15 Hearing, if applicable | Most Serious Offense Convicted | Administrative Discharge Type | Most Restrictive Sanction | Alcohol Use | Case Synopsis Note | |
| 410 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Female | Unknown | | Male | | | | | Subject is a Collator or Foreign National | | | | | | | | | Notes: Victim reported that within three months he returned an airplane, FBI investigated, U.S. Attorney declined to prosecute. Case administratively closed. | |
| 411 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-5 | Female | Navy | E-4 | Male | No | No | | Q1 (July-September) | Administrative Discharge | | | | | | Under Other than Honorable Conditions (DSYFC) | | | Notes: Victim reported that in 2015 she believed out in the barracks from drinking alcohol and wanted to subject being back with her. She had him to stop and the subject complied. NCIS investigated. RSO recommended against prosecution. Subject was administratively separated with an other than Honorable Discharge (DSYFC). | |
| 412 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-1 | Male | No | No | | Q3 (April-June) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | | | | | Annul (Art. 120) | | Notes: Victim reported that Subject sexually assaulted her while she was intoxicated at an off-base hotel room. Court martial charges preferred for sexual assault. Pursuant to a plea agreement, Subject pled guilty to violation of Article 120 (Sexual Assault committed by sailors) and confined and sentenced a 30-Month Detention. | |
| 413 | Rape (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-5 | Male | No | No | | Q3 (April-June) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | | | | | | | Notes: Victim reported that Subject sexually assaulted her (intoxicated) after she looked out due to alcohol consumption at a birthday party. Subject charged with sexual assault at general court-martial, but was acquitted. No further action. | |
| 414 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-5 | Male | No | No | | Q2 (January-March) | Other Adverse Administrative Action | | | | | | | | | Notes: Victim reported that Subject touched her buttocks several times around the ship. Subject admitted that he touched her buttocks, but stated it was consensual. NCIS investigated and command determined that counseling was appropriate. No further action. | |
| 415 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-5 | Female | Unknown | | Unknown | | | | Q1 (October-December) | Offender is Unknown | | | | | | | | | Notes: NCIS was notified of a reported sexual assault. NCIS contacted the Victim's Legal Counsel, who advised NCIS the Victim had wanted a restricted report and did not intend for the report to be unannounced. Victim declined to provide any additional information or participate in the investigation process. Punishment of Pay and Allowance: No; Fine: No; Restriction: No; Reduction in rate: No; Extra Duty (Days): No; Hard Labor: No; Conventional Custody (NCP Only): No. | |
| 416 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-3 | Male | No | No | Alcohol/Drug Counseling | Q3 (April-June) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | | | | Subject (Single Subject) | Notes: Victim reported Subject kissed her without consent at an off-base apartment. NCIS investigated. Subject stated the kissing was consensual. RSO recommended against court-martial. SA-DA deferred and returned case to Subject's command for action deemed appropriate. Subject was given non-judicial punishment for Art 120 (Abusive Sexual Contact) and Art 10 (Change of Rank) to Art 120 (Charge demerit) and Art 10 (Change of Rank) to Art 120 (Charge demerit) due to insufficient evidence. Subject waived. |
| 417 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-6 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | | Indirect language (Art. 120-2) | Article 15 Punishment Imposed | | | | | | Notes: Victim reported several instances of abusive sexual contact and verbal comments by Subject. RSO recommended against charges for abusive sexual contact. Subject held accountable at non-judicial punishment for indirect language. Punishment of Pay and Allowance: No; Fine: No; Restriction: No; Reduction in rate: No; Extra Duty (Days): No; Hard Labor: No; Conventional Custody (NCP Only): No. |
| 418 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Male | Navy | E-3 | Male | No | No | | Q1 (July-September) | Non-judicial punishment for non-sexual assault offense | | | Annul (Art. 120) | Article 15 Punishment Imposed | | | | | Notes: Victim reported that subject placed his genitals on victim's back. NCIS investigated. Investigation revealed that subject was making a joke and actually used his hands to touch victim's back. RSO recommended against prosecution. Commander imposed non-judicial punishment for non-sexual assault offense. | |
| 419 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-3 | Male | No | No | | Q3 (April-June) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | | | | | | | Notes: Victim reported that Subject digitally penetrated her while she was asleep at an off-base party and intoxicated. NCIS investigated. Subject denied the assault. Sexual assault charge referred to a General Court-Martial. Subject was found not guilty. | |
| 420 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-6 | Male | No | No | | Q3 (April-June) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | | | | Notes: Victim reported that Subject touched her buttocks on the street. Commander took Subject to non-judicial punishment. Subject also referred to administrative separation Board but was not referred. | |
| 421 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | Multiple Victims | Multiple Victims | Navy | E-4 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | | Annul (Art. 120) | Article 15 Punishment Imposed | | | | | Notes: Victim 1 reported Subject touched Victim's buttocks with his hand while she was sitting in the bathroom. Victim 2 reported Subject touched her groin while Victim 1 was sitting in the bathroom and touched Victim's buttocks on a separate occasion. NCIS investigated. SA-DA returned case to Subject's command, which imposed NP for Art 120 and Art 52. An administrative separation Board found in favor of separation. | |
| 422 | Rape (Art. 120) | UNITED STATES | Navy | E-5 | Multiple Victims | Female | Unknown | Unknown | | | | Q2 (January-March) | Offender is Unknown | | | | | | | | | Notes: Victim reported that she was raped by an unknown man, who was introduced to her by an Active Duty Sailor, after inviting her to meet her fiancé, Victim. However, man unable to identify the man, after being shown a photo lineup. Case dismissed due to insufficient evidence. | |
| 423 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-5 | Female | Unknown | | Unknown | | | | Q1 (October-December) | Subject is a Collator or Foreign National | | | | | | | | | Notes: Victim reported only unable to identify a foreign national at an off-base location. Victim declined to participate in NCIS or local investigation, as the female states she cannot identify the offender. Case closed. | |
| 424 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-3 | Male | No | No | Alcohol/Drug Counseling | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | | Annul (Art. 120) | Article 15 Punishment Imposed | | | | | Notes: Victim reported Subject touched her thigh and moved his hand toward her groin areas while they were sitting at a picnic at on base. NCIS investigated. RSO recommended against court-martial. SA-DA deferred and returned case to Subject's command, which imposed NP for Art 120 and Art 120 (quid pro quo) for Art 120 (Sexual Assault committed by sailors). | |
| 425 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-6 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | | Failure to obey order or regulation (Art. 10) | Article 15 Punishment Imposed | | | General | | Notes: Victim reported that Subject pressured her to have sex with him against her will by threatening adverse action if she did not. RSO recommended against charges, and Subject taken to non-judicial punishment. Subject subsequently admitted to sexual intercourse with the victim on base when allegedly intoxicated with her on a night out. | |
| 426 | Rape (Art. 120) | UNITED STATES | Navy | E-4 | Female | Unknown | | Male | | | | Q1 (October-December) | Subject is a Collator or Foreign National | | | | | | | | | Notes: Victim reported that Subject grabbed her breasts and groped her groin area while she was sitting at a picnic on base. NCIS investigated. RSO recommended against prosecution because incident involves collision and occurred pre-service. Case is considered closed. | |
| 427 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Male | Navy | E-2 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | | Annul (Art. 120) | Article 15 Punishment Imposed | | | | | Notes: Victim reported that Subject grabbed victim's hips and pressed his face and groin against victim from behind. NCIS investigated. Command imposed non-judicial punishment for violation of Article 120 (Sexual Assault committed by sailors). | |
| 428 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Male | Navy | E-5 | Male | No | No | | Q1 (October-December) | Non-Judicial Punishment | Wrongful Sexual Contact (Art. 120) | | Article 15 Acquittal | | | | | | Notes: Victim reported Subject managed victim's shoulder without consent on base. NCIS investigated. RSO recommended disposition at forum lower than court-martial. SA-DA returned case to Subject's command, which took Subject to NP for Art 120 (Sexual Assault) and Art 120 (Change demerit) at RSO insufficient evidence. | |
| 429 | Rape (Art. 120) | JAPAN | Navy | E-3 | Female | Navy | E-4 | Male | | | | Q1 (October-December) | Courts-Martial Charge Preferred | None | | Charge demerit subsequent to recommendation by Art. 15 hearing officer followed by Art. 15 punishment | Evidence did not support a recommendation for prosecution | | | | | Notes: Victim reported she fell asleep due to alcohol intoxication and woke up to Subject having sexual intercourse with her. NCIS investigated. Subject stated intercourse was consensual. SA-DA preferred charges to a General Court-Martial. The Art 15 hearing officer found insufficient evidence to support a sexual assault or court-martial. SA-DA withdrew the charges and returned case to Subject's command. Command imposed NP for Art 52 and Art 134 (Aubrey). | |
| 430 | Rape (Art. 120) | UNITED STATES | Navy | E-4 | Female | Unknown | | Unknown | | | | Q2 (January-March) | Offender is Unknown | | | | | | | | | Notes: After being arrested for drunk driving, Victim alleged that she had drinks during Vietnam she had been sexually assaulted earlier that night. Victim reported that she had drinks that had intoxicating her and could not recall her having any sexual contact with anyone that night. Victim stated she did not recall anything from the night. NCIS investigated and no offender has been identified. No further action. | |

Unrestricted Report Case Synopsis

| F13 Service Member Sexual Assault Synopsis Report (R2021) | | | | | | | | | | | | | | | Administrative Actions | | | | | | | |
|---|--|-------------------|--------------------|------------------|---------------|---------------------|-------------------|----------------|---|----------------------------------|---------------------------|------------------------------|---|--|----------------------------------|--|-------------------------------------|---|--------------------------------|-------------------------|--|-----------------------------|
| No. | Most Serious Sexual Assault Allegation Subject Is Investigated For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject's Prior Investigation For 2nd Assault | Subject's Moral/Religion Access? | Subject's Return Type | Quarter Conviction Completed | Case Disposition | Most Serious Other Offense Charged | Court Case or Article 15 Outcome | Reason Charges Downloaded at Art 15 Hearing, if applicable | Most Serious Offense Convicted | Administrative Discipline Type | Most Restrictive as per OIG/IA | Alcohol Use | Case Synopsis Note | |
| 411 | Rape (Art. 120) | | Navy | E-4 | Female | Navy | E-5 | Male | | | | Q3 (April-June) | Courts-Martial Charge Preferred | Rape (Art. 120) | Convicted | | Rape (Art. 120) | | Yes | | <p>Notes: Victim reported Subject forced oral and vaginal sex on her when she was a recruit and he was her recruiter. NCS investigated and identified a second Victim, who reported Subject forced oral sex on her. Subject denied all allegations and fled the country. Upon apprehension, Subject was found guilty at a General Court-Martial of violation of Article 85 (Question), Article 92 (Failure to Obey an Order or Regulation), Article 120 (Plain Offense Statement), and Article 120 (Drape Statute). Victim notified they were sexually assaulted while on deployment. Victim did not identify Subject and declined to return to work for SA-DA on a charge.</p> <p>Notes: Victim reported being sexually assaulted by Subject at an off-base. NCS investigated. SA-DA endorsed a charge for Art 120 (sexual assault) to a General Court-Martial. Subject submitted a request for Separation in lieu of that. The request was approved and Subject received an Other Than Honorable Discharge (OTH) of pay and allowances. Yes; No; Restriction: Yes; Restriction Level: Installation; Restriction Length (Days): 60; Reduction in rank: Yes; Pay Grade Reduced To: E-5; Extra Duty: No; Hard Labor: No; Confinement (NCP Only): No</p> | |
| 412 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-5 | Female | | | | | | | Q1 (October-December) | Offender is Unknown | | | | | | | Both Victim and Subject | | |
| 413 | Rape (Art. 120) | Unknown | N/A | US Civilian | Female | Navy | E-2 | Male | No | No | | Q2 (January-March) | Courts-Martial Charge Preferred | Rape (Art. 120) | | | | | | | Both Victim and Subject | |
| 414 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-6 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 120) | Article 15 Punishment Imposed | | | None | | | | |
| 415 | Rape (Art. 120) | Unknown | Navy | E-3 | Male | Unknown | | Unknown | | | | | Offender is Unknown | | | | | | | | | |
| 416 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-3 | Male | No | No | | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | |
| 417 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Unknown | | Unknown | | | | Q2 (October-December) | Offender is Unknown | | | | | | | | | Unknown |
| 418 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-2 | Male | No | No | | Q3 (April-June) | Other Adverse Administrative Action | | | | | | | | | Both Victim and Subject |
| 419 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-5 | Male | No | No | | Q2 (April-June) | Non-judicial punishment for non-sexual assault offense | Indirect acts with another (Art. 134-29) | Article 15 Punishment Imposed | | | None | | | | |
| 420 | Sexual Assault (Art. 120) | Unknown | Navy | E-5 | Female | Navy | E-7 | Male | No | No | | Q2 (January-March) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | Convicted | | Abusive Sexual Contact (Art. 120) | Under Other Than Honorable Conditions (OTHCH) | Yes | | Both Victim and Subject | |
| 421 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Navy | E-7 | Male | No | No | | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | Both Victim and Subject |
| 422 | Rape (Art. 120) | UNITED STATES | Navy | E-5 | Female | Unknown | | Unknown | | | | | Subject is a Civilian or Foreign National | | | | | | | | | Both Victim and Subject |
| 423 | Sexual Assault (Art. 120) | Unknown | Navy | E-3 | Female | Navy | E-4 | Male | Yes | No | | Q2 (January-March) | Courts-Martial Charge Preferred | Rape (Art. 120) | Convicted | | Assault (Art. 120) | | | | | |
| 424 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Navy | E-7 | Male | No | No | | Q4 (July-September) | Courts-Martial charge preferred for non-sexual assault offense | Rehabilitation (Art. 134-23) | Convicted | | False official statement (Art. 107) | Under Other Than Honorable Conditions (OTHCH) | | | | |
| 425 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Male | Navy | E-1 | Male | | | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 120) | Article 15 Punishment Imposed | | | None | | | | |
| 426 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-5 | Male | No | No | Alcohol/Drug Counseling | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | Both Victim and Subject |
| 427 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-1 | Male | No | No | Chapter/Counselor Support | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 120) | Article 15 Punishment Imposed | | | None | | | | Subject is single (subject) |
| 428 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Male | Unknown | | Unknown | | | | Q3 (April-June) | Offender is Unknown | | | | | | | | | |
| 429 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-2 | Male | | | | Q2 (January-March) | Non-judicial punishment | Abusive Sexual Contact (Art. 120) | Article 15 Punishment Imposed | | | None | | | | |
| 430 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-5 | Female | Unknown | | Unknown | No | No | | Q2 (April-June) | Offender is Unknown | | | | | | | | | |
| 431 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Non-judicial punishment | Abusive Sexual Contact (Art. 120) | Article 15 Punishment Imposed | | | None | | | | |

Table with columns: Most Serious Sexual Assault Allegation Subject Is Investigated For, Incident Location, Victim Affiliation, Victim Pay Grade, Victim Gender, Subject Affiliation, Subject Pay Grade, Subject Gender, Subject's Prior Investigation For Sex Assault?, Subject's Role/Accessories, Subject's Relative Type, Quarter/Date/Time Completed, Case Disposition, Most Serious Sexual Assault Offense Charged, Most Serious Other Offense Charged, Court Case or Article 15 Outcome, Reason Charges Dismissed at Art 32 Hearing, If Applicable, Most Serious Offense Convicted, Administrative Discharge Type, Most Severe Punishment, Alcohol Use, Case Synopsis Note.

Unrestricted Report Case Synopsis

| PT15 Service Member Sexual Assault Synopsis Report: NAVY | | | | | | | | | | | | | | | Administrative Actions | | | | | | | | | |
|--|---|-------------------|--------------------|------------------|---------------|---------------------|-------------------|----------------|--|-------------------------------------|----------------------------|---|---|---|-------------------------------------|--|---|---------------------------------|-------------------------------|---------------------------------|-------------------------|---|---|---|
| No. | Host Service Member Assault Incident Subject ID/Investigating For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject: Prior Investigations for Sex Assaults | Subject: Moral Waiver Accessibility | Subject Referral Type | Quarter/Exception Completed | Case Disposition | Host Services Sexual Assault Offense Charged | Host Services Other Offense Charged | Court Case or Article 15 Outcome | Reasons Charged Downgraded or Art 15 Hearing, if Applicable | Host Services Offense Convicted | Administrative Discharge Type | Host Registrar as Sex Offender? | Alcohol Use | Case Synopsis Note | | |
| 475 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-6 | Male | No | No | Chaplain/Spiritual Support | Q2 (January-March) | Administrative Discharge | | | | | General | | | | <p>Notes: Victim reported Subject pulled her into a unoccupied area of the ship and forced her to have sexual contact, and removed his pants and forced her to touch it, all without consent. NCIS investigated. SA IDA preferred charges to court-martial. The Article 15 hearing officer found the evidence did not support a recommendation for prosecution. SA IDA referred the case to Subject's command, which imposed NTP for Art 15 and sent Subject to an administrative separation board for commission of a serious offense. Subject was separated with a General Discharge.</p> | | |
| 476 | Sexual Assault (Art. 120) | Unknown | Navy | E-1 | Female | Navy | E-5 | Male | No | No | | Q3 (April-June) | Administrative Discharge | | | | | Uncharacterized | | | Both Victim and Subject | <p>Notes: Victim reported that Subject sexually assaulted her while at a residence overseas by forcing her, touching her breast, and sexually penetrating her while she was intoxicated. NCIS investigated. RSO recommended against prosecution due to insufficient evidence. Command referred Subject to an administrative separation board for commission of a serious assault offense. The board found no basis for the discharge. Subject was separated with a General Discharge.</p> | | |
| 477 | Rape (Art. 120) | UNITED STATES | Navy | E-2 | Female | Unknown | Unknown | Unknown | | | | Q2 (January-March) | Offender is Unknown | | | | | | | | | <p>Notes: Victim reported that she was sexually assaulted in the barracks. She declined to provide further information to NCIS about the incident. No action taken due to unknown Subject.</p> | | |
| 478 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Unknown | Unknown | Unknown | | | | Q4 (July-September) | Offender is Unknown | | | | | | | | | | <p>Notes: Victim reported that she was sexually assaulted. NCIS investigated. Victim declined to provide profiling information including the identity of the Subject. No further action due to insufficient evidence.</p> | |
| 479 | Rape (Art. 120) | UNITED STATES | Navy | E-2 | Male | Unknown | Male | Male | | | | Q1 (October-December) | Offender is Unknown | | | | | | | | | | <p>Notes: Victim reported a pre-service sexual assault by a family member. NCIS contacted the Victim, who declined to provide profiling information about the assault or Subject, and declined to participate in the investigative process. Case was not referred to civilian law enforcement due to victim preference and to determine the investigation.</p> | |
| 480 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-3 | Female | No | No | | Q4 (July-September) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | Involved but not reported | <p>Adverse Administration Action Type: Letter of Counseling (LOC).</p> <p>Notes: Victim reported that Subject slapped her buttocks on multiple occasions, and grabbed her breast on one occasion. Victim declined to participate in an ordinary justice process.</p> | |
| 481 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-6 | Male | Yes | No | | Q3 (April-June) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | | | | Both Victim and Subject | <p>Profiling of Pay and Allowances: Yes; Fnc: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-5; Extra Duty: Yes; Extra Date (Date): 4; Hard Labor: No; Conventional Custody (NJP Only): No.</p> <p>Notes: Victim reported that, at an off-base location after consuming alcohol, Subject touched and rubbed her thigh. On a later date, removed the ship. Subject grabbed her buttocks. Subject failed to report the incident to the ship's command. Subject was referred to an administrative separation board. Board members voted to retain Subject in grade and assignment. Victim was Reassigned to another command.</p> | |
| 482 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Male | Navy | E-4 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | Assault (Art. 120) | Article 15 Punishment Imposed | | | | | | Subject (in single subject) | <p>Notes: Victim reported Subject committed abusive sexual contact on Victim in an on-base barracks room. NCIS investigated. Victim subsequently withdrew from participation in the investigation. RSO recommended against court-martial. SA IDA referred case to Subject's command for additional action if warranted. Subject received non-judicial punishment for Art. 120.</p> | |
| 483 | Abusive Sexual Contact (Art. 120) | JAPAN | Navy | E-4 | Female | Navy | E-5 | Male | No | No | | Q1 (October-December) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | | | | Both Victim and Subject | <p>Profiling of Pay and Allowances: Yes; Fnc: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-4; Extra Duty: Yes; Extra Date (Date): 4; Hard Labor: No; Conventional Custody (NJP Only): No.</p> <p>Notes: Victim reported Subject touched her buttocks and forced her to have sexual contact with him. NCIS investigated. Subject's CRF was filed and closed. RSO recommended a suspension at least less than court-martial due to victim of offense. Command imposed NTP for Art 120 (single) and related ASEP measures. Subject was separated from the ship with an administrative separation board.</p> | |
| 484 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | | | | Q1 (October-December) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | Discharge or Reassignment in Law of Courts-Martial | | | | | | Both Victim and Subject | <p>Adverse Administration Action Type: Letter of Counseling (LOC).</p> <p>Notes: Subject entered room of sleeping civilian Victim. Victim and Subject had a consensual sexual encounter. SA IDA referred charges to a Special Court-Martial. Victim subsequently stated the assault and an administrative resolution over court-martial proceedings. SA IDA approved Subject's request for a separation in lieu of trial. Subject was administratively separated from active service.</p> | |
| 485 | Abusive Sexual Contact (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Navy | E-5 | Male | No | No | | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | Both Victim and Subject | <p>Adverse Administration Action Type: Letter of Counseling (LOC).</p> <p>Notes: Subject entered room of sleeping civilian Victim. Victim and Subject had a consensual sexual encounter. Victim awoke and attempted physical contact. Victim's mother entered the room and escorted the Subject to another room. Subject left in the morning and returned to Victim's home the following day. Base security responded and took Subject into custody. RSO did not recommend profiling charges due to insufficient evidence. Subject received a letter of counseling.</p> | |
| 486 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Unknown | Male | No | No | | Q2 (January-March) | Subject is a Civilian or Foreign National | | | | | | | | | | | <p>Notes: Victim reported that they were assaulted by a civilian Subject. NCIS investigated. Civilian law enforcement investigated. Civilian prosecutor declined to prosecute the case.</p> | |
| 487 | Rape (Art. 120) | UNITED STATES | Navy | E-8 | Female | Unknown | Male | | | | | | Subject is a Civilian or Foreign National | | | | | | | | | | <p>Notes: Victim reported that civilian Subject sexually assaulted them at an off-base massage business. Civil law enforcement investigated. Case was referred to civilian law enforcement.</p> | |
| 488 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-6 | Male | Unknown | Unknown | Unknown | | | | | Offender is Unknown | | | | | | | | | Unknown | <p>Notes: Victim reported that he was sexually assaulted by male supervisor at premises ship dates. NCIS investigated. Victim declined to identify the Subject or participate in investigation.</p> | |
| 489 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-6 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | Assault (Art. 120) | Article 15 Punishment Imposed | | | | | | Both Victim and Subject | <p>Profiling of Pay and Allowances: No; Fnc: No; Restriction: No; Reduction in rank: No; Extra Duty: No; Hard Labor: No; Conventional Custody (NJP Only): No.</p> <p>Notes: Victim reported Subject touched her buttocks and attempted to have sexual contact with her while they were drinking at a bar. NCIS investigated. RSO recommended against court-martial. SA IDA referred case to command, which imposed NTP for Art 120 (single).</p> | |
| 490 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Navy | E-4 | Male | | | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | Assault (Art. 120) | Article 15 Punishment Imposed | | | | | | | None | <p>Notes: Third-party reported to NCIS about contact between Victim and Subject. NCIS contacted Victim, who stated Subject touched her buttocks while they were goofing off in the messhalls, but she did not believe it was a sexual nature and she was not a victim of sexual assault. Subject admitted he touched Victim's buttocks in response to her getting the groceries as they were standing in a forward manner. Subject demanded Captain's Mast and was given a reprimand and oral reprimand.</p> |
| 491 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-4 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | Assault (Art. 120) | Article 15 Punishment Imposed | | | | | | | None | <p>Profiling of Pay and Allowances: No; Fnc: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-4; Extra Duty: No; Hard Labor: No; Conventional Custody (NJP Only): No.</p> <p>Notes: Victim reported that she experienced unwanted sexual contact when the Subject touched her breast while wearing a water bottle into Victim's jacket without her consent. NCIS investigated. Commanding Officer imposed non-judicial punishment for violation of Article 12 (Dislike) to show an administrative separation board.</p> |
| 492 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Navy | E-4 | Female | No | No | | Q3 (April-June) | Administrative Discharge | | | | | Uncharacterized | | | | Victim (single victim) | <p>Notes: Victim reported that Subject sexually assaulted her. NCIS investigated. RSO recommended against prosecution. Subject was sent to an administrative separation board, which found no basis to separate and recommended Subject be retained.</p> | |
| 493 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-4 | Female | Unknown | Male | | | | | Q4 (July-September) | Offender is Unknown | | | | | | | | | | <p>Notes: Victim reported being sexually assaulted at PTU housing by two subjects. Victim agreed a victim preference statement including she did not wish to participate in the ordinary justice process and gave no information regarding the identity of the subjects or the nature of the allegations. As a result of victim's desire not to participate further, no action was taken.</p> | |
| 494 | Rape (Art. 120) | UNITED STATES | Navy | E-7 | Female | Unknown | Unknown | Unknown | | | | Q4 (July-September) | Offender is Unknown | | | | | | | | | | <p>Notes: Victim reported that she was sexually assaulted 15 years ago. Victim declined to participate in investigation, and offender remains unknown. No further action due to unknown Subject.</p> | |
| 495 | Rape (Art. 120) | UNITED STATES | Navy | E-4 | Female | Unknown | Male | | | | | Q2 (January-March) | Offender is Unknown | | | | | | | | | Both Victim and Subject | <p>Notes: Third-party reported Subject sexually assaulted Victim while Victim was incarcerated from alcohol. NCIS investigated Victim, who declined to meet with NCIS or participate in the investigation process.</p> | |
| 496 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-1 | Male | Navy | E-1 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Falcons (on duty order or regulation) (Art. 15) | | Article 15 Punishment Imposed | | | | | | None | <p>Profiling of Pay and Allowances: No; Fnc: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-3; Extra Duty: No; Hard Labor: No; Conventional Custody (NJP Only): No.</p> <p>Notes: Victim reported Subject pressed his groin area against Victim's buttocks as they stood in formation. NCIS investigated and found no probable cause for a sexual assault. RSO recommended charges for violation of Article 12 (Dislike) to show a non-judicial punishment for violation of Article 12 (Dislike) to show an administrative separation board to Subject's command, which imposed NTP for Art 12 (single on next contact).</p> | |
| 497 | Rape (Art. 120) | UNITED STATES | Navy | E-5 | Female | Unknown | Male | No | No | | | Q2 (January-March) | Subject is a Civilian or Foreign National | | | | | | | | | Both Victim and Subject | <p>Notes: Victim reported that Subject raped Victim at an off-base housing complex by forcing Victim down and penetrating her vaginally with his penis. The exact crime scene could not be identified, therefore, no crime scene examination was conducted. RSO identified the status of locations that existed in relevance to USMC and therefore declined to prosecute. No interview of subject was conducted. Local District Attorney was notified and declined to prosecute. No further action due to Subject being outside of DoD jurisdiction.</p> | |

Unrestricted Report Case Synopsis

| No. | Host Serious Sexual Assault Allegations Subject Is Investigating For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | FTIS Service Member Sexual Assault Synopsis Report: NAVY | | | | Case Disposition | Host Serious Sexual Assault Offense Charged | Host Serious Offense Charged | Court Case or Article 15 Outcome | Reasons Charges Downgraded or Art 15 Hearing, if Applicable | Host Serious Offense Convicted | Administrative Discharge Type | Host Register as Sex Offender? | Alcohol Use | Case Synopsis Note |
|-----|--|-------------------|--------------------|------------------|-----------------------|---------------------|-------------------|--|-----------------------------------|-----------------------|---------------------------|-----------------------|--|---|----------------------------------|---|-----------------------------------|-------------------------------|--|--|---|
| | | | | | | | | Subject: Prior Investigation For Sex Assault | Subject: Marital Waiver Accessory | Subject Referral Type | Quarter Offense Completed | | | | | | | | | | |
| 498 | Abusive Sexual Contact (Art. 120) | | Navy | E-4 | Female | Navy | E-3 | Male | | | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 120) | Article 15 Punishment Imposed | | | | | | Perforation of Pay and Allowances: No; Fee: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-5; Extra Duty: No; Hard Labor: No; Correctional Custody (NCP Only): No; Notes: Victim reported an unknown Subject grabbed her in the hallway of her barracks and tried to touch her breasts. NCIS investigated and obtained consent as general preventative. Victim positively identified Subject. Subject admitted to grabbing Victim but denied to touch her breasts and stated contact with her breast was accidental. SA IDA returned case to Subject's command, which imposed NCP for Art. 120. |
| 499 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Navy | E-1 | Male | Yes | No | | Q3 (April-June) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | Convicted | Sexual Assault (Art. 120) | | Yes | Notes: Civilian victim reported a sexual assault/sexualization to her on duty equipment. NCIS interviewed offender who confessed to the rape. At General Court Martial, Subject pled guilty to violation of Article 120 (Sexual Assault by Brute Force) of the UCMR and was sentenced to confinement for 15 years and a Dishonorable Discharge. He is a pre-trial detainee. | |
| 500 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Female | Navy | E-1 | Male | Yes | No | | Q3 (April-June) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | Under Other than Honorable Conditions (UDHC) | Notes: Victim reported that Subject touched the victim's buttocks multiple times with his hand and his groin. Incidents occurred while walking and exercising as a detainee. Subject was interrogated and stated that the sexual acts with his hands were incidental due to military marching equipment and his groin touching her buttocks was caused by him turning around. (ES) recommended against non-judicial punishment and recommended punishment for violation of Article 120 (abusive sexual contact). Subject was administratively separated for misconduct. | |
| 501 | Sexual Assault (Art. 120) | UNITED STATES | Navy | Multiple Victims | Multiple Victims Male | Navy | E-1 | Male | No | No | Attest/Other Courtmartial | Q3 (April-June) | Non-Judicial Punishment | Sexual Assault (Art. 120) | | Article 15 Punishment Imposed | | | None | Subject (a single subject) Notes: 3 Victims reported that Subject had sexually assaulted them in the barracks. NCIS investigated but (ES) recommended against judicial charges. SA IDA had Subject recommended for non-judicial punishment. Subject was sent to Administrative Separation Board, but the Board members found no basis for separation. | |
| 502 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-6 | Male | Unknown | | Unknown | | | | Q4 (July-September) | Offender is Unknown | | | | | | | Notes: Victim reported that unknown subject sexually assaulted her. NCIS investigated. Victim declined to participate in the investigation. No further action. | |
| 503 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Multiple Victims Male | Navy | E-1 | Male | | | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | Failure to obey order or regulation (Art. 12) | Article 15 Punishment Imposed | | | | None | Notes: Victim 1 and Victim 2 reported Subject entered the laundry room when they were working and groped their buttocks from behind. NCIS investigated. Subject initially denied any contact but later he did slip the back of Victim 1's thigh or knee, but it was made as a joke. SA IDA returned case to Subject's command, who imposed NCP for Art. 12 (sexual contact). Subject was medically separated after 90 days. | |
| 504 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-5 | Male | Navy | E-6 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Failure to obey order or regulation (Art. 12) | Article 15 Punishment Imposed | | | | None | Perforation of Pay and Allowances: Yes; Fee: No; Restriction: Yes; Restriction Length: Quarters; Restriction Length (Days): 30; Reduction in rank: No; Extra Duty: No; Hard Labor: No; Correctional Custody (NCP Only): No; Notes: Victim reported Subject pinned her breast in a degrading manner. NCIS investigated. Victim declined to continue with the military justice investigation. (ES) found insufficient evidence to establish probable cause on the Art. 120 (abusive sexual contact) but other charges were substantiated. (ES) recommended against court-martial. Subject's command imposed non-judicial punishment for Art 12 and Art 13A. | |
| 505 | Rape (Art. 120) | UNITED STATES | Navy | E-4 | Female | Unknown | | Unknown | | | | Q2 (January-March) | Offender is Unknown | | | | | | | Notes: Victim reported sexual assault, but declined to participate in investigation or provide further details. No action taken due to unknown Subject. | |
| 506 | Abusive Sexual Contact (Art. 120) | | Navy | E-1 | Female | Navy | E-3 | Male | | | | Q3 (April-June) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | | Article 15 Punishment Imposed | | | None | Notes: Victim reported Subject touched and rubbed her buttocks without her consent while they were on the ship. NCIS investigated. SA IDA returned case to Subject's command, which imposed NCP. Subject was given three days of custody on board and a reprimand. | |
| 507 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-5 | Female | Unknown | | Male | | | | Q3 (April-June) | Offender is Unknown | | | | | | | Notes: Victim reported that coworker from her previous command sexually assaulted her, but declined to participate in investigation or provide further details. No action taken due to unknown Subject. | |
| 508 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Male | Navy | E-1 | Male | No | No | | Q1 (October-December) | Other Adverse Administrative Action | | | | | | | Adverse Administration Action Type: Letter of Counseling (LTC); Notes: Victim reported Subject made unwanted sexual contact in the barracks. NCIS investigated. (ES) recommended against court-martial. SA IDA returned case to Subject's command, which issued Subject a letter of counseling. | |
| 509 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 120) | Article 15 Punishment Imposed | | | | None | Perforation of Pay and Allowances: Yes; Fee: No; Restriction: Yes; Restriction Length: 45; Reduction in rank: No; Pay Grade Reduced To: E-3; Extra Duty: Yes; Extra Duty (Days): 45; Hard Labor: No; Correctional Custody (NCP Only): No; Notes: Victim made an unrestricted report of sexual assault (contact) to her command. Victim reported that Subject touched her buttocks. NCIS investigated. (ES) recommended against prosecution. Command imposed non-judicial punishment on charge of Article 120 (Abuse) committed by a subordinate. | |
| 510 | Rape (Art. 120) | GUAM | Navy | E-4 | Female | Navy | E-2 | Male | | | | Q1 (October-December) | Courts-Martial Charge Preferred | Aggravated Sexual Assault (Art. 120) | | Convicted | Assault (Art. 120) | | Yes | Notes: Victim reported Subject digitally penetrated her and had sexual intercourse with her without consent onboard the ship. NCIS investigated. Subject admitted to engaging in sexual acts without Victim's consent. Command advised charges of Art. 120 (Aggravated sexual assault), Art. 120, Art. 107, Art. 92, and Art. 13A to a General Court-Martial. After the Article 12 hearing, Victim told NCIS she and Subject had consensual sex. She advised her three wives were and mutually assaulted her one other occasion. These additional charges were also referred to a General Court-Martial. Subject pled guilty to Art. 120 and Art. 92 at a Special Court-Martial process by a plea agreement. | |
| 511 | Rape (Art. 120) | UNITED STATES | Navy | E-4 | Female | Unknown | | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Failure to obey order or regulation (Art. 12) | Article 15 Punishment Imposed | | | | None | Both Victim and Subject Notes: Subject told several people he engaged in sexual acts with Victim after a night of drinking. Victim had no memory of the night. NCIS investigated. Subject admitted he had had sexual sexual sexual encounter. Subject's command imposed non-judicial punishment for Art 12 (sexual harassment). | |
| 512 | Rape (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Navy | E-5 | Male | No | No | | Q3 (April-June) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | Convicted | Sexual Assault (Art. 120) | | Yes | Both Victim and Subject Notes: Subject allegedly sexually assaulted Victim while she was intoxicated and taken to alcohol. Subject subsequently admitted to sexually assault Victim in residence with further law enforcement. Subject convicted of sexual assault at general court-martial and sentenced to 15 years confinement and a Dishonorable Discharge. | |
| 513 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Male | Navy | E-5 | Male | | | | Q1 (October-December) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | Convicted | Abusive Sexual Contact (Art. 120) | | Yes | Notes: Victim reported waking up to Subject fondling Victim's genitalia in the ship's bathroom. NCIS investigated. Subject admitted to the sexual assault. Subject pled guilty to Art 120 (sexual harassment). | |
| 514 | Abusive Sexual Contact (Art. 120) | Unknown | Navy | E-3 | Female | Navy | E-5 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 120) | Article 15 Punishment Imposed | | | | None | Notes: Victim reported that, after she fell asleep on the living room couch in Subject's residence, she awoke to Subject standing over her masturbating. Subject identified her to SA. NCIS investigated and collected DNA from an apparent semen stain on Victim's shirt. DNA matched with Subject. (ES) recommended against prosecution. | |

| F113 Service Member Sexual Assault System Report Summary | | | | | | | | | | | | | | Administrative Actions | | | | | | | | |
|--|--|-------------------|--------------------|------------------|--------------------------------|---------------------|-------------------|----------------|--|---------------------------------|-----------------------|-------------------------------|--|---|------------------------------------|--|---|--------------------------------|--|--|--|--|
| No. | Most Serious Sexual Assault Allegation/Subject Is Investigated For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject Investigation For Sex Assault? | Subject: Moral Waiver/Accession | Subject: Refused Type | Quarter Disposition Completed | Case Disposition | Most Serious Sexual Assault Offense Charged | Most Serious Other Offense Charged | Court Case or Article 15 Outcome | Reasons Charged Downgraded at Art 15 Hearing, if applicable | Most Serious Offense Convicted | Administrative Discharge Type | Most Restrictive Sex Offense | Alcohol Use | Case Synopsis Note |
| 115 | Sexual Assault (Art. 120) | | Navy | E-3 | Multiple Victims Female | Navy | E-6 | Male | | | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | Sexual Assault (Art. 120) | Assault (Art. 128) | Article 15 Punishment Imposed | | | None | | | Paraphrase of Pay and Allowances: Yes; PFC; No; Restriction: Yes; Restriction Level: Other; Restriction Length (Days): 60; Reduction in pay: Yes; Pay Grade Reduced To: E-4; Enlistment Duty: Yes; Hard Labor: No; Conventional Custody (NJP Only): No. Notes: Victim 1 and Victim 2 reported Subject touched their breasts outside of the clothing on separate occasions. NCS investigated. Subject denied any intentional contact with Victim. Subject's command imposed NJP for Art 128 and Art 120. SA-DA advised command. |
| 116 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Male | Navy | E-6 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | Sexual Assault (Art. 120) | Assault (Art. 128) | Article 15 Punishment Imposed | | | None | | | Paraphrase of Pay and Allowances: No; PFC; No; Restriction: Yes; Restriction Level: Other; Restriction Length (Days): 30; Reduction in pay: Yes; Pay Grade Reduced To: E-5; Enlistment Duty: Yes; Enlistment Duty (Days): 30; Hard Labor: No; Conventional Custody (NJP Only): No. Notes: Victim reported that Subject threw his hands into Victim's buttocks (over the clothes) on several occasions. NCS investigated. Subject denied any intentional contact with Victim. Subject's command imposed NJP for Art 128 and Art 120. SA-DA advised command. |
| 117 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Other Adverse Administrative Action | | | | | | | | Both Victim and Subject | Notes: Victim reported that Subject sexually assaulted Victim at an off-base residence. NCS and local police investigated. Subject stated that he was concerned. Local police declined to prosecute. BLSO recommended against prosecution. Subject declined to prosecute. |
| 118 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Navy | E-4 | Male | No | No | | Q2 (January-March) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | Charges dismissed subsequent to recommendation by Art. 15 hearing officer | Evidence did not support a recommendation for prosecution | | | | Both Victim and Subject | Notes: Victim reported Subject engaged in non-consensual sexual intercourse with her after a night of drinking. NCS investigated. SA-DA preferred charges to a General Court-Martial. An Article 15 hearing was held and the hearing officer recommended dismissal of charges due to lack of probable cause that a DCU violation occurred. SA-DA dismissed the charges based on the Article 15 recommendation and discontinued administrative prosecution process was not completed. |
| 119 | Sexual Assault (Art. 120) | | Navy | E-1 | Female | Unknown | | Male | | | | Q1 (October-December) | Offender is Unknown | | | | | | | | | Notes: Victim reported an unknown civilian Subject sexually penetrated her in a public beach. Local police investigated with NCS involvement. Victim was unable to identify the Subject. Case was administratively closed due to unknown offender. |
| 120 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | Multiple Victims | Multiple Victims Male & Female | Navy | E-3 | Male | No | No | | Q3 (April-June) | Non-judicial Punishment | Abusive Sexual Contact (Art. 120) | | | | | Under Other than Honorable Conditions (DDTC) | | Both Victim and Subject | Notes: Subject engaged in abusive sexual contact of Victim by laying on top of her, attempting to take off her bra, and touching her on the buttocks without consent. Other references had to fight Subject off of victim. Subject later received non-judicial punishment, and separated from the Navy with an Other than Honorable Discharge. |
| 121 | Approved Sexual Contact (Art. 120) | UNITED STATES | Navy | E-5 | Female | Navy | E-4 | Male | No | No | | Q2 (January-March) | Courts-Martial Charge Preferred | Wrongful Sexual Contact (Art. 120) | | Discharge or Relegation in lieu of Courts-Martial | | | | | | Notes: Subject reported to have entered the female bathing area without administrative, and inappropriately touched a female Sailor. SA-DA advised to bring in the bathing area, but stated it was to witness a fight he had with a female Sailor. Subject charged with wrongful sexual contact at a special court-martial, but commanding authority accepted agreement for separation in lieu of trial. Subject separated with Other than Honorable Discharge of service. |
| 122 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-1 | Multiple Victims Female | Navy | E-1 | Female | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | Sexual Assault (Art. 120) | Assault (Art. 128) | Article 15 Acquittal | | | | | | Notes: Victim reported Subject repeatedly tried to hold her hand, touch Victim's buttocks, and one time wrapped her legs around Victim while making sexually harassing comments. A second Victim reported Subject repeatedly tried to hold her hand, touch Victim's hair, and make sexually harassing comments. NCS investigated but found no evidence to support sexual assault. SA-DA returned the case to Subject's command. Command took Subject to NJP for Art 128 and Art 120. |
| 123 | Rape (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-5 | Male | | | | Q3 (April-June) | Courts-Martial Charge Preferred | Aggravated Sexual Assault (Art. 120) | | Charges dismissed for pre other main plea to Courts-Martial followed by Art. 15 punishment | | | | | Under Other than Honorable Conditions (DDTC) | Notes: Victim reported Subject engaged in vaginal intercourse without her consent during an off-base recreational sexual encounter. Victim also reported Subject attempted to make multiple other contact mentions and threatened to release multiple photos of her. NCS investigated. SA-DA preferred charges to a general court-martial. The Article 15 investigating officer recommended Non-judicial Punishment and administrative separation. Subject's command imposed NJP and Subject was administratively separated. |
| 124 | Wrongful Sexual Contact (Art. 120) | | Navy | E-6 | Female | Navy | E-6 | Male | | | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | | Failure to obey order or regulation (Art. 12) | Article 15 Punishment Imposed | | | Under Other than Honorable Conditions (DDTC) | Both Victim and Subject | Notes: Victim reported Subject kissed her and touched her breast under her skirt against her will in a rest off-base. NCS investigated. Subject denied any intentional contact with Victim. BLSO recommended against court-martial. Subject was taken to NJP and administratively separated with an Other than Honorable Discharge. |
| 125 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-2 | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Other Adverse Administrative Action | | | | | | | | Both Victim and Subject | Notes: Victim reported that Subject grabbed her hips, tried to unlock her bra, and grabbed her buttocks over the clothing. The Victim then told Subject to stop. Victim and Subject later commensally kissed. NCS investigated. BLSO recommended against prosecution due to insufficient evidence and SA-DA declined to prosecute. Subject issued formal letter of reprimand. |
| 126 | Abusive Sexual Contact (Art. 120) | Unknown | N/A | Foreign National | Female | Navy | E-4 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | Sexual Assault (Art. 120) | Assault (Art. 128) | Article 15 Punishment Imposed | | | None | | Both Victim and Subject | Paraphrase of Pay and Allowances: Yes; PFC; No; Restriction: Yes; Restriction Level: Other; Restriction Length (Days): 45; Reduction in pay: Yes; Pay Grade Reduced To: E-4; Enlistment Duty: Yes; Enlistment Duty (Days): 45; Hard Labor: No; Conventional Custody (NJP Only): No. Notes: Victim reported that Subject bumped her shoulder on two occasions and then touched her public area with open palm while at a nightclub overseas. NCS investigated. BLSO recommended against prosecution. Command imposed non-judicial punishment for violation of Article 128 (sexual harassment). |
| 127 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Navy | E-3 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | | Failure to obey order or regulation (Art. 12) | Article 15 Punishment Imposed | | | None | | Notes: Victim reported that Subject touched Victim inappropriately. NCS investigated. BLSO recommended against court-martial. SA-DA returned case to Subject's command which imposed non-judicial punishment for Art 12 (sexual harassment). |
| 128 | Abusive Sexual Contact (Art. 120) | Unknown | Navy | E-4 | Female | Unknown | | Unknown | | | | Q4 (July-September) | Offender is Unknown | | | | | | | | | Notes: Victim reported that while walking past a group of sailors outside while overseas, someone grabbed her buttocks and touched her on the leg. Victim was unable to remember the identity of the offender, and could not identify a subject. |

FY 2019 Annual Report on Sexual Assault in the Military Executive Summary: United States Marine Corps

The Marine Corps measures the number of sexual assaults in two ways—survey data and report data. The Marine Corps strives to increase reporting and reduce prevalence, ensuring all Marines who experience sexual assault receive the support they need. In Fiscal Year (FY) 2019, reporting slightly decreased from FY18, but we remain steadfast in our goal to increase reporting while decreasing incidents of prevalence. Of note, in FY19 more Marines accessed care than any previous year. Regardless of the progress made, the Marine Corps holds itself to the highest standard and will not rest until all Marines work in an environment free of sexual assault and other forms of mistreatment.

Within the services, the Marine Corps has the youngest and most junior population, with a majority of all Marines aged 25 or younger. This age range population is also considered the most at-risk for experiencing a sexual assault. Given these challenges, sexual assault prevention requires engaged leaders at all levels. Leadership commitment is a key factor in the prevention of sexual assault at the Headquarters Marine Corps (HQMC)-level and throughout the enterprise. The Commandant of the Marine Corps recently noted in his 2019 Commandant's Planning Guidance, "Sexual assault remains the most troubling destructive behavior to me ... We will emphasize the need to educate the force in areas such as unconscious bias. We will focus on prevention, victim protection, and legal support, and timely completion of investigations." He also noted, "We are an elite institution of warriors and will remain so on my watch. It is our shared responsibility to ensure the continued health of our collective soul and identity." This leadership ethos is echoed at all levels of the Marine Corps.

Sexual assault is a crime and an affront to everything Marines and the Marine Corps represent. Sexual assault erodes the confidence and cohesion within the Marine Corps team, degrades our lethality and readiness, and is incompatible with our core values of honor, courage, and commitment. In the end, this is an issue of trust. The trust that fellow Marines will look after each other and will not assault one another, and that their command will take appropriate action. Only Marines who trust and respect each other can be fully prepared to fight and win our Nation's battles.

This emphasis on enhancing the health and readiness of the force through leader skill development and engagement was exemplified by the Marine Corps 2019 Sexual Assault Awareness and Prevention Month (SAAPM) theme, "Protecting Our People Protects Our Mission." Marine Corps sexual assault prevention and response programs are aimed at not only creating an environment where sexual assault does not occur, but creating a culture in which all Marines have the opportunity to thrive. Throughout 2019, the Marine Corps focused heavily on building primary prevention capacity and implementing program evaluation into prevention and response efforts.

HQMC Sexual Assault Prevention and Response (SAPR) conducted a self-assessment based on the Department of Defense's (DoD) Prevention Plan of Action (PPoA). The assessment result information identified key prevention strengths and gaps as well as actions and next steps for improving and advancing prevention within HQMC which are

represented throughout this report. HQMC PPOA Self-Assessment found that HQMC's prevention capability shows promise and is in the early stages of development with strengths in Leadership, Quality Implementation, and Overall Prevention Workforce staffing.

Senior Marine Corps leaders were briefed on the topline issues of the Department of Defense FY 2018 Annual Report on Sexual Assault in the Military. The results indicated the Marine Corps must continue to enhance its sexual assault prevention efforts. Our Marines have a fundamental right to live and work in an environment free from sexual assault and harassment. The Marine Corps is committed to purging these criminal behaviors from our ranks, taking care of victims, and holding offenders accountable.

The Marine Corps continues to leverage subject matter experts, primary prevention, research, and training programs to prevent sexual assault from occurring among its ranks. Preventing sexual assaults and related crimes is one of the Marine Corps' and DoD's highest and enduring priorities. We are committed to eliminating incidents of sexual assault through prevention; victim-centered, trauma-informed support; reporting free of retaliation; investigation; and accountability for those who commit sexual crimes. Any Marine who comes forward to report a sexual assault will receive support and be treated with dignity and respect.

To support the Marine Corps SAPR efforts, HQMC will continue to develop training curriculum that reflects the progression of the roles and responsibilities of a Marine's career, ensuring Sexual Assault Response Coordinators (SARC) and SAPR Victim Advocates (VA) have access to continuing education that supports the latest advocacy and prevention efforts, and operationalizing policy.

1. Goal 1—Prevention: “institutionalize evidenced-based, informed prevention practice and policies across the Department so that all Military Service members are treated with dignity and respect, and have the knowledge, tools, and support needed to prevent sexual assaults.”

1.1 Prevention Plan of Action (PPOA) Self Assessment: Provide a summary of your Military Service's PPOA Self-Assessment findings. Include in the description the scope of your Self-Assessment prevention activities. (Secretary of Defense (SecDef) Memorandum, *Actions to Address and Prevent Sexual Assault in the Military* (May 1, 2019) / Office of the Under Secretary of Defense for Personnel and Readiness (OUSD(P&R)) Memorandum, *Execution of the Department of Defense Sexual Assault Prevention Plan of Action* (April 26, 2019) / DoD Prevention Plan of Action 2019-2023 (April 2019))

Background

The Prevention Plan of Action (PPOA) outlines the way ahead for sexual assault prevention within the Department of Defense (DoD). The steps taken by the Marine Corps to diminish prevalence and prevent sexual assault are consistent with those taken throughout the U.S. Armed Forces.

Scope

Per the guidance from DoD Sexual Assault Prevention and Response Office (SAPRO), each Service determines the scope of the PPOA execution and may limit it to sexual assault prevention. For this self-assessment, Headquarters Marine Corps (HQMC) conducted this evaluation of Sexual Assault Prevention and Response (SAPR) in the context of prevention support capabilities in place for Marine and Family Programs Division (MF).

HQMC intends to employ a program-specific process for evaluating the other prevention-focused programs. For example, the Suicide Prevention Capability is conducting an evaluation separately and assigned distinct ratings.

Throughout the scope of this self-assessment, "leadership" is defined as the Commandant (CMC), Assistant Commandant (ACMC), Deputy Commandant for Manpower and Reserve Affairs (DC M&RA), MF Programs Division Director, Chief of Behavioral Programs, Behavioral Programs Branch Head, and subordinate Behavioral Programs leadership.

Method

Multiple members from HQMC collaborated on this self-assessment. They assessed the extent to which the current system's elements aligned with the comprehensive list of provided criteria, and rated all criteria based on prevention activities occurring over the last 12 months.

The team discussed the criteria, identified gaps and required data, and areas where assessments differed. The results were presented to stakeholders outside of the SAPR team to obtain input and supporting evidence. Input was received from stakeholders such as the Embedded Preventive Behavioral Health Capability; Primary Prevention Manager; Research and Program Evaluation; Data Surveillance; Suicide Prevention Capability; and Prevention and Clinical Services programs. These stakeholders rated the criteria in the PPOA and provided supportive evidence and rationale to the SAPR team.

Separately, the SAPR section coordinated the responses provided by the other stakeholders and calculated overarching ratings for the criteria contained within the PPOA as they directly related to the HQMC SAPR program. Evidence was defined broadly to include any supporting materials that provided insight into the ratings. For example, evidence used to determine ratings included reports that were internal or external to HQMC; policies and protocols; project descriptions; budget documents; calendars; emails; and other similar documents.

Overall Results

Based on the self-assessment process, HQMC SAPR identified key strengths and gaps for each self-assessment element. While HQMC SAPR noted areas that require considerable effort to achieve a fully mature prevention system, current initiatives provide ongoing

improvements to prevention efforts in the Marine Corps. The results of the self-assessment process outline next steps for sexual assault prevention within HQMC SAPR.

1.2 Self-Assessment Elements: For each Self-Assessment element (Human Resources, Collaborative Relationships, Infrastructure, Comprehensive Approach, Quality Implementation, and Continuous Evaluation) provide a brief summary and examples of key strengths and gaps. *(SecDef Memorandum, Actions to Address and Prevent Sexual Assault in the Military (May 1, 2019) / OUSD(P&R) Memorandum, Execution of the Department of Defense Sexual Assault Prevention Plan of Action (April 26, 2019) / DoD Prevention Plan of Action 2019-2023 (April 2019))*

HQMC SAPR Leadership Assessment:

For this self-assessment, the HQMC raters defined “leadership” as the Commandant (CMC), Assistant Commandant (ACMC), Deputy Commandant for Manpower and Reserve Affairs (DC M&RA), Marine and Family Programs Division Director, Chief of Behavioral Programs, Behavioral Programs Branch Head, and subordinate Behavioral Programs leadership. These leaders actively support by using available research regarding sexual assault with a focus on prevention and evaluation of effectiveness. Recognizing the need to develop a holistic prevention strategy to support leadership at all levels, Marine and Family leadership temporarily assigned a SAPR Section Head to work as the Primary Prevention Manager, integrating prevention efforts across Marine and Family programs and formalizing Marine and Family’s commitment to primary prevention. The Primary Prevention Manager is developing an integrated prevention strategy and framework at the HQMC-level. The intent is to disseminate primary prevention practices and education across the enterprise, addressing behavioral health topics including sexual assault.

In addition, leadership at all levels discussed in this report are focused not only on implementing the best strategies, but on implementing measures of performance (MOP) and measures of effectiveness (MOE) to help identify the most effective strategies. The HQMC SAPR team uses logic models as well as DoD and external reports (e.g., Workforce Gender Relations Survey, RAND report on evaluating Marine Corps SAPR) to inform programmatic decisions. In addition, leadership promotes collaboration with different sections within Marine and Family Programs Division, other USMC organizations, and external stakeholders to enhance prevention efforts.

In 2019, the Research and Program Evaluation team of Behavioral Programs created a SAPR Standardized Evaluation Measurement Program (STEMP) system which will provide evaluation data on quality of instruction and effectiveness of training content, knowledge change in participants, and intent to intervene. HQMC Behavioral Programs will implement STEMP in “Take a Stand” non-commissioned officer (NCO) Bystander Intervention Training in February 2020, then throughout SAPR annual, SARC initial, and SAPR VA initial trainings by FY21. This approach to evaluation will be replicated across other Marine and Family Programs trainings in the future. While initiatives are in development, HQMC intends to continue advancing MOE data collection. Behavioral Programs will conduct

additional training evaluations, standardized needs assessments, and continuous process improvements going forward.

HQMC SAPR Prevention Workforce Assessment:

To implement an effective sexual assault prevention program, the Marine Corps requires a trained, equipped, and well-resourced prevention workforce. This workforce is focused on training related to sexual assault prevention and response initiatives; development of supporting policies and protocols; and supporting Marines, leaders, and SAPR fleet personnel. The HQMC dedicated sexual assault prevention workforce includes the following assets:

- Five Non-Appropriated Funds (NAF) 4 Outreach and Education Specialists
- Four NAF 4 SAPR Policy Specialists
- Two NAF 5 SAPR Section Heads
- One Marine O-3 Deputy of Prevention and Response
- One NAF 5 Assistant Branch Head of Prevention and Response
- One NAF 5 Branch Head of Behavioral Programs
- One O-6 Chief of Behavioral Programs

The SAPR team consistently coordinates with multiple prevention-related stakeholders from within Behavioral Programs to include members from the following sections:

- Suicide Prevention Capability (SPC)
- Data Surveillance
- Research and Program Evaluation
- Combat Operational Stress Control Capability (COSCC)
- Embedded Preventive Behavioral Health Capability (EPBHC)
- Family Advocacy Program (FAP)
- Substance Abuse Program (SAP)
- Community Counseling Program (CCP)

In addition, members of the different sections meet regularly at the Prevention Sync (sponsored by the Embedded Preventive Behavioral Health Capability team) and the Prevention Roundtable (sponsored by the Prevention Program Manager). Outside of Behavioral Programs and Marine and Family Division, the HQMC SAPR team works with other Marine Corps sections and programs as an extension of the overall workforce. These include such entities as the Opportunity, Diversity, and Inclusion team (the HQMC team addressing sexual harassment and equal opportunity); HQMC Safety Division; the Chaplain Corps; Judge Advocate Division (JAD); Training and Education Command (TECOM); and Marine Corps Data and Performance Optimization (MCDAPO). These stakeholders collaborate with a sense of a common purpose as it relates to sexual assault prevention efforts, and explore opportunities for comprehensive approaches to prevention.

To maintain an effective prevention workforce, regular professional development is required to build and apply constructive prevention activities in a military context and to adopting

emerging best practices. Challenges include the lack of standardized prevention training for the SAPR workforce at HQMC. While there are requirements for maintaining the certification of SARC and SAPR Victim Advocates; there are no current requirements for credentialing within the DoD for prevention workforce.

Department of Navy (DON) SAPRO has provided annual training opportunities and begun educational working groups for HQMC personnel on varying topics, to include a quarterly training working group, a training session on program evaluation, and involvement with the Regional and National Discussions on Sexual Assault and Sexual Harassment. Although DoD SAPRO facilitated prevention webinars at the beginning of 2019, USMC-specific technical issues created barriers to participation for HQMC level staff. While budget constraints limit the availability to participate in all desired trainings, HQMC has funding available for professional development, and allows all employees to create a Learning Development Plan (LDP).

HQMC SAPR Collaborative Relationships Assessment:

HQMC leadership and the SAPR section have shown dedication to the prevention of sexual assault, and collaborative efforts continue to be a strength for MF. There are staff members within the SAPR team specializing in prevention as a fundamental part of their jobs. Additionally, the MF Division temporarily assigned a Primary Prevention Manager to integrate and synergize prevention efforts across the MF portfolio. Multiple opportunities for collaboration include one on one supervision, executive leadership team meetings, management sync meetings, and program specific team meetings that provide opportunities for regular feedback and information exchange. Weekly Prevention and Response synchronization meetings are held between Suicide Prevention and SAPR leadership. HQMC SAPR leadership hosts weekly engagements with DON SAPRO and DoD SAPRO military liaisons. Monthly prevention syncs and prevention roundtables allow diverse stakeholders to look at shared protective and risk factors and discuss collaborative prevention efforts. In addition, SAPR began working with the HQMC Safety Division explicitly looking at environmental factors at installations to prevent sexual assault. SAPR also works with Training and Education Command on officer and enlisted professional military education, including recently redesigning SAPR content in the Cornerstone course for slated commanders and Sergeants Majors.

MF leadership, Behavioral Programs leadership, and HQMC SAPR are actively involved with DON initiatives and working groups that bring together the Marine Corps, DON, and the Navy SAPR prevention personnel to explore and address shared opportunities to enhance prevention. HQMC SAPR representatives also participate in DoD meetings, conferences, working groups, and other events hosted by DoD SAPRO. For example, HQMC participated in a trip to the Center for Disease Control to look at comprehensive prevention and how it can be applied to the prevention of sexual assault. In August 2019, SAPR, Suicide Prevention Capability, and Embedded Preventive Behavioral Health Capability conducted an integrated site assist visit to III Marine Expeditionary Forces (MEF) in Okinawa, Iwakuni, and Hawaii, to review roles and responsibilities of embedded

preventive personnel in the Fleet Marine Forces. Similar site engagement visits are scheduled to occur in FY20 with I and II MEF.

HQMC can do more to collaborate with external stakeholders. Efforts to collaborate with the Chaplain Corps, HQMC Safety Division, and Marine Corps Data and Performance Optimization will increase during 2020 to strengthen these relationships. One example of this collaboration is a Marine Corps Data and Performance Optimization data analysis project exploring predictors and outcomes of sexual assault. The Research and Program Evaluation team participate in Military Operational Medicine Research Program (MOMRP) reviews of current research to inform prevention efforts. Behavioral Programs participates in the annual conference of the National Organization for Victim Assistance (NOVA), the organization that provides certification to SARCs and SAPR VAs. HQMC SAPR personnel and Marine Forces (MARFOR) level SARCs engaged with university professionals in the DON SAPRO National and Regional Discussions on Sexual Assault and Sexual Harassment. In 2020, the SAPR team intends to increase involvement and collaboration with external agencies.

HQMC SAPR Data Assessment:

In general, data collection, data analysis, and presentation of data are strengths for HQMC. The Data Surveillance team works with the HQMC SAPR team to ensure all staff are informed of the key contributing factors associated with military sexual assault. The team regularly briefs leadership on the risk factors which are common among Marines who report sexual assaults such as: incident location (on or off installation); type of location (e.g., residence, government building); victim demographic information; and incident details such as alcohol involvement. As noted previously, an emerging project with Marine Corps Data and Performance Optimization is focused at employing data methodologies to identify further risk and protective factors for sexual assault.

MF programs, including HQMC SAPR, regularly solicit feedback from Service members outside of the Headquarters environment to help inform prevention efforts. Feedback comes from both formal and informal mechanisms, including site engagement visits, focus groups, interviews, and inspections.

At the same time, some data limitations exist. Defense Sexual Assault Incident Database (DSAID) is the official system of record for sexual assault report data in the DoD. DSAID is limited in its ability to specify several incident factors to include alcohol involvement and specific incident location. Alcohol involvement is indicated by self-reported data point that does not specify who was using alcohol (victim, subject or both), nor the amount consumed. Incident location is limited to a fixed list with broad categories (e.g., residence and does not differentiate between barracks and other permanent housing). Sexual assault 8-day incident reports are snapshot reports submitted by commanders, documenting their initial response to an unrestricted sexual assault report. Due to the one time nature of these reports, data is insufficient to provide meaningful analyses.

HQMC SAPR Policy Assessment:

HQMC is committed to ongoing evaluation of the programs within MF. HQMC has an Institutional Review Board (IRB) and policies governing research and evaluation in the Marine Corps. MF policies encourage planned evaluation. Service-level research and program evaluation efforts are submitted for annual review. Unanticipated projects can be approved by exception. There are currently no policies that impede the comprehensive evaluation of sexual assault prevention efforts within HQMC SAPR. HQMC also has policies in place allowing employees to create Learning Development Plans.

HQMC SAPR Resources Assessment:

HQMC has access to literature databases to review recent research publications. Access to this research is critical to inform sexual assault prevention efforts with best practices, emerging evidence, and evidence-informed approaches to prevention.

Retention of personnel is an important aspect of ensuring a highly qualified prevention workforce. HQMC Behavioral Programs leadership is currently conducting a Table of Organization review and a salary analysis for SARC positions throughout the Marine Corps. MF regularly assesses resource allocation and identifies ways to improve the delivery of quality services. Policies are in place to encourage staff to obtain prevention and other professional training and attend conferences.

SAPR prevention trainings are developed and written by HQ staff. Obtaining curriculum design software and licenses for the software is a challenge.

HQMC would benefit from DoD SAPRO/DON SAPRO providing prevention credentialing and training across all Services, much like SARCs and SAPR VAs obtain victim advocacy credentials on the response side. Training that addresses needed prevention skills (such as coalition building, leadership development, curriculum development, and other skills) can improve prevention initiatives throughout the Marine Corps. Funding for sexual assault prevention training and increased access to curriculum design software and other technology options will benefit the Marine Corps.

HQMC SAPR Comprehensive Approach Assessment:

HQMC is progressing towards creating a multidisciplinary and comprehensive approach to prevention at the HQMC and enterprise-wide levels. Our team is working towards a systematic overarching prevention approach, which will target the total force. The MF Primary Prevention Manager is working with internal and external prevention stakeholders to develop a strategic prevention framework, focusing first on HQMC personnel and programs before expanding efforts enterprise-wide. With input from prevention stakeholders, this program manager has coordinated a team and developed a logic model to visualize the prevention program, which facilitates planning, evaluation, and communication to stakeholders on activities underway and what to expect as a result of primary prevention activities.

While the Marine Corps SAPR order published in 2019 addresses prevention, SAPR plans to cover the topic more comprehensively in future revisions. Once finalized, the order and accompanying Navy and Marine Corps Directives will address the comprehensive plan. In the interim, SAPR is integrating prevention into training, communication, and planning efforts.

HQMC SAPR Quality Implementation Assessment:

Any prevention activities found to drive negative perceptions or to be dangerous in any way will be stopped. HQMC MF leadership works closely with SAPR personnel to look at the potential impact of prevention and other efforts on Marines and their families. Integrated meetings of action officers, section heads, Behavioral Programs leadership, and MF leadership are in place to help identify unintended outcomes and incorporate multiple viewpoints. HQMC is developing and implementing measures of effectiveness for SAPR prevention efforts. A comprehensive evaluation of effectiveness will take several years to fully identify what initiatives and training efforts are most effective in decreasing the prevalence of sexual assault.

HQMC SAPR Continuous Evaluation Assessment:

Marine Corps leadership's strong support of the evaluation of prevention efforts is critical to delivering key initiatives such as STEMP system, which will provide evaluation data on quality of instruction and effectiveness of training content, knowledge change in participants, and intent to intervene. Leadership's enthusiastic support of STEMP was critical in its piloting, scheduled for February 2020. Leadership is also adding prevention-related questions in our Inspector General Marine Corps Functional Area Checklists to help HQMC personnel identify promising practices and general challenges in programs enterprise-wide. DoD SAPRO and DON SAPRO are supportive of evaluation efforts; as DON SAPRO recently provided additional training on program evaluation.

While some of these initiatives are not fully implemented, HQMC will conduct more planned program evaluation efforts across Behavioral Programs, to include training evaluations, standardized needs assessments, and continuous process improvements. Evaluation of prevention activities takes time and it can be challenging to evaluate programs that are implemented in the field, where HQMC personnel cannot ensure the fidelity of programming. It is not always feasible to conduct site assist or fleet engagement activities to ensure a prevention program is being implemented as intended. Deputy Commandant Marine & Reserve Affairs, Marine and Family Programs Division leadership, and Behavioral Programs leadership (including SAPR) support efforts to ensure trainings are conducted with fidelity and initiatives are evaluated for effectiveness.

1.3 Future Plans: Based on your Military Service's Self-Assessment, summarize leadership-approved priority actions and next steps for sexual assault prevention, including any key considerations or barriers to achieving the priorities. Include a description of progress towards Phase II of the PPOA execution – plan of action and milestones and logic model development. (SecDef Memorandum, *Actions to*

Address and Prevent Sexual Assault in the Military (May 1, 2019) / OUSD(P&R) Memorandum, Execution of the Department of Defense Sexual Assault Prevention Plan of Action (April 26, 2019) / DoD Prevention Plan of Action 2019-2023 (April 2019)

The HQMC PPOA Self-Assessment found that HQMC's prevention capability shows promise and is in the early stages of development with strengths in Leadership, Quality Implementation, and Overall Prevention Workforce staffing. Gaps exist in Resources, Data, and Policy specifically dedicated towards prevention of sexual assault (all in emerging status). Several initiatives are under development and will be implemented in 2020. We expect these to deliver strong results going forward.

To address identified gaps of limited professional development of HQMC prevention, HQMC will work with DON SAPRO and DoD SAPRO, as well as Centers for Disease Control (CDC) Violence Prevention Technical Assistance to help provide more ongoing professional development. HQMC will partner with the CDC, DON, and DoD to develop and deliver this training to ensure that all individuals engaging in prevention activities as part of their roles have a common understanding of prevention and implementation throughout the force.

MF's Prevention Program Manager is working with internal and external prevention stakeholders to create a comprehensive prevention framework. The SAPR team will update policies and initiatives to align further with the overarching MF prevention structure as it is implemented. As HQMC MF develops a more comprehensive way ahead for prevention at all levels, additional funding, time, and staffing may be required to execute effectively.

Prevention Functions outside HQMC

A preliminary assessment of the Prevention Workforce, Collaborative Relationships, Data, Resources, Comprehensive Approach, and Quality Implementation outside HQMC was conducted. The Marine Corps currently has a SAPR workforce throughout the total force that includes SARCs and SAPR VAs. These billets are filled by both civilian and uniformed personnel. While the billet descriptions include prevention and response of sexual assault, historically responsibilities focused more on response than on prevention. HQMC launched an Embedded Preventive Behavioral Capability (EPBHC) in 2013 that embeds civilians with behavioral health and public health expertise within Marine Corps units. EPBHC supports commanders through identifying unit-level risk and advising leadership within each unit on comprehensive strategies addressing behavioral health. SARCs, SAPR VAs, EPBHC personnel, the Prevention Program Manager, and other prevention resources are working as a comprehensive prevention workforce to identify additional resources, implement collaborative training, and identify other prevention initiatives.

Based on this self-assessment, HQMC is progressing on the PPOA and the milestones for each PPOA objective. Specifically, the PPOA team has outlined the steps needed to realize each milestone and the anticipated time required for each step. Involvement of multiple

stakeholders across HQMC will identify key partners for future phases of the PPOA, and for further opportunities to cross-train between various programs and capabilities with shared prevention goals. Existing logic models developed for the SAPR program will be examined and assessed in light of the new, emerging Prevention Program logic model. At this time, HQMC is on track to deliver the Plan of Action and Milestones (POA&M) and logic model by the suspense date for Phase II of the PPOA execution.

Primary Prevention Manager

The Marine Corps prevention system currently has the following underway to prevent sexual assault and other problematic behaviors through promotion of positive behaviors in a variety of activities including:

- **Integrated Prevention Network (IPN) Framework:** location-based networks that include Marine Corps stakeholders as well as community stakeholders. A working group will occur to determine the official person responsible in each location as well as to build relationships in areas where Marines are geographically disperse. A pilot will occur first with HQMC and community stakeholders to determine effectiveness before implementing enterprise wide.
- **Communications Plan:** a 12-month communication plan is in development that focuses on positive behaviors and building skills. Not attributed to any program, this plan will push content across print media, face-to-face engagements, leadership guides, and social media. Examples of topics include real relationships, transition and change, problem solving, financial readiness, and total fitness.
- **Website Development:** a forward facing website is under development to house prevention materials for Marines. Topics include outreach, leadership tools, skill building, IPN, and strategy and guidance.
- **Prevention Standardized Evaluation Measurements Program:** prevention trainings will implement SAPR's Standardized Evaluation Measurements Program (STEMP) processes and reporting functionality to achieve continuous process improvement objectives.
- **Innovation, Prevention, and Outreach Forum:** the intent of this forum is to work towards shared goals by improving access to mental health care, creating partnerships, and developing solutions to shared goals. Additionally, the unique needs of geographically dispersed Service members, veterans, and their families will be addressed.
- **Problem Solving Materials:** using a multidisciplinary approach, problem solving curriculum and hip pocket guides (designed for small unit leadership discussions) are being developed.

2. Goal 2—Victim Assistance & Advocacy: “deliver consistent and effective advocacy and care for all military Service members or their adult dependents, such that it empowers them to report assaults, promotes recovery, facilitates dignified and respectful treatment, and restores military readiness.”

2.1 Strategic Summary: Summarize your efforts to achieve the Victim Assistance & Advocacy goal. In this strategic summary, include significant updates and/or force-wide changes and/or initiatives begun or completed by your Military Service in FY19. As applicable, include any initiatives employed with targeted subgroups (e.g., male victims) or specific locations (e.g., barracks). There is no need to repeat prior Annual Report submissions if these processes have remained largely the same as in previous years. (DoD Sexual Assault Prevention and Response Strategic Plan, 2017-2021 (December 1, 2016), Goal 2 – Victim Assistance & Advocacy, p. 7)

With the number of estimated sexual assaults rising, especially among our young Marines, the Marine Corps must evolve its prevention methods and continue to foster a climate and culture of dignity, respect, and trust. The highest at-risk population are female Marines, 24 years old and younger, serving in the ranks Private through Corporal. Most perpetrators are usually their peers within one or two ranks. With this knowledge, Marine Corps leaders and Sexual Assault Response Coordinators (SARC):

- Engaged Lance Corporals and below to ensure awareness and recognition of these crimes, their prevalence in our organization, and prevention initiatives at initial training schools and via social media and the chain of command.
- Further educated and empowered front line leaders, Company Grade and Non-commissioned Officers (NCO) with the knowledge, training, and tools to swiftly address instances of sexual harassment, gender discrimination, or sexual assault within their units.

The Marine Corps is currently implementing these initiatives:

- Creating additional rank-specific leadership training to address skills and knowledge of SAPR programs applicable to each phase of a Marine's career.
- Providing SARCs with continuing education opportunities to maintain their certification. Training focused on leadership, prevention, emotional intelligence, self-care, program management, and positive cultural change.
- Updated the SAPR Marine Corps Order (MCO) 1752.5C in June 2019, with accompanying directives that operationalize protocols, processes, and implementation of the SAPR program across the Marine Corps. The accompanying directives include, among other topics, expedited transfer of victims, High Risk Response Team, and credentialing for those serving in SAPR roles.
- Revised SAPR Victim Advocate (VA) Training. The revised 40-hour curriculum focuses entirely on building and refining victim support skills with activities and practical applications to reinforce lessons learned during the course.

2.2 Metrics for Assessing Victim Assistance and Advocacy: What metrics or assessment processes are being used to address the effectiveness of victim assistance and advocacy efforts intended to deliver consistent care for all Service members and/or their adult dependents? Are these metrics identifying any trends and/or indicators on the effectiveness of your SARCs and SAPR VAs in providing a "quality response" to Service members (and others eligible for SAPR services)? (DoD Sexual Assault Prevention and Response Strategic Plan "Task List,"

(December 1, 2016), Goal 2 – Victim Assistance and Advocacy, Objective 2.1, Task #7, p. 3)

The Marine Corps currently measures the effectiveness of certain aspects of its victim assistance and advocacy components. These include the following:

- HQMC SAPR personnel augment the Marine Corps Inspector General inspections in their mission to promote Marine Corps combat readiness, institutional integrity, effectiveness, discipline, and credibility. Augments participate in short notice inspections to include a detailed assessment of a unit's implementation of SAPR throughout the commander's area of responsibility. The inspection process is comprised of a review of administrative documents such as standard operating procedures, training completion; confirmation of the SAPR personnel's training, certification, and continuing education completion; and supportive process in place for victims. Commands receive teaching and training from the subject matter experts during the inspection which aids in the implementation of a robust SAPR program.
- HQMC SAPR conducts monthly audits of all SAPR 24/7 Support Lines confirming support is readily available for those seeking assistance. This includes all Marine Corps Installations, Marine Forces Reserves, and Marine Corps Recruit Depots.
- HQMC SAPR conducts internal audits of command and installation websites to verify SAPR points of contact and that the information complies with requirements to maintain accurate and up to date communications.

The Safe Helpline Office additionally conducts semi-annual audits of contact information in the Safe Helpline Responder Database in order to verify the degree to which the Marine Corps conforms to Department of Defense (DoD) requirements.

HQMC Behavioral Programs, having recognized the need to incorporate more measures of effectiveness into all aspects of our SAPR programming, explored methods for incorporating Measures of Effectiveness (MOE) and Measures of Performance (MOP) into SAPR victim assistance and quality response elements. In support of this, HQMC is developing a comprehensive logic model that, once fully complete, will identify additional MOPs and MOEs and lead to appropriate metrics to gauge effectiveness. Areas identified for potential evaluation include:

- Developed the SAPR Standardized Evaluation Measurement Program (STEMP) system, which will provide evaluation data on quality of instruction and effectiveness of training content, knowledge change in participants, and intent to intervene.
- Began the Getting to Outcomes Pilot which is a two-pronged project including evaluation of an existing SAPR training, "Take a Stand" non-commissioned officer Bystander Intervention Training.

- Conducted quality assurance is conducted quarterly on the Department of Defense Sexual Assault Incident Database (DSAID) case management information to include but not limited to:
 - Referrals provided by SAPR personnel to the victim
 - Victim demographic and compliance with the case entered within 48 hours in normal environments and 96 hours in deployed environments.
 - Investigation information
 - Case Management Group (CMG) meeting minutes
 - Retaliation data call

SAPR Personnel in the Fleet

Across the Marine Corps at every CMG meeting, the CMG Chair asks the CMG members if the victim, victim's family members, witnesses, bystanders (who intervened), SARCs and SAPR VAs, responders, or other parties to the incident have experienced any incidents of retaliation, reprisal, ostracism, or maltreatment. If any allegations are reported, the CMG Chair forwards the information to the proper authority or authorities (e.g., MCIO, Inspector General, and Military Equal Opportunity). Discretion is exercised in disclosing allegations of retaliation, reprisal, ostracism, or maltreatment when such allegations involve parties to the CMG. Retaliation, reprisal, ostracism, or maltreatment allegations involving the victim, SARCs, and SAPR VAs remain on the CMG agenda for status updates, until the victim's case is closed or until the allegation has been appropriately addressed.

Marine Forces Command (MARFORCOM)

- MARFORCOM Commanding General Inspection Program (CGIP) and its subordinate command CGIP programs have conducted over 50 command program inspections to assess program effectiveness. The functional area checklist did not afford the inspector the ability to identify SARC or SAPR VA effectiveness in providing "quality response", outside of what is administratively documented in a command SOP or anecdotal responses obtained by the SARC or SAPR VA who is standing the inspection.

Marine Forces Central Command (MARCENT)

- Assessment process includes periodic feedback loop by SARCs of victims with active cases. No negative trends have been identified in quality response.

Marine Corps Installation Command (MCICOM)

- MCICOM area of responsibility (AOR) conducted base climate surveys that were helpful in evaluating mission readiness, which included sexual assault response, victim advocacy, and available resources and services. These Equal Opportunity (EO) Command Climate surveys provide findings that trigger command climate focus groups to further evaluate unit readiness. Command climate focus groups provide an opportunity to further evaluate unit readiness.

- Throughout the AOR, overall support services provided by advocates can be surmised through case reviews at the CMG meeting via information reported on contact with advocates and the desire of victims not to transfer their cases.
- MCAS Beaufort continued training in advocacy, ethics, and self-care to ensure optimal delivery of support for military service members and their eligible dependents. Monthly SAPR Information Tables were manned by SARCs and SAPR VAs throughout the installation to help assess the effectiveness and knowledge of victim assistance and our advocacy efforts, including available SAPR resources, new policies, and 24/7 support.
- MCB Camp Lejeune & MCAS New River tracked calls to the Lejeune-New River 24/7 Sexual Assault Support Line and learned that 50 percent of the calls each month are not related to SAPR (e.g., wrong numbers, requests for other agencies, requests for information on the front gate). Camp Lejeune also tracked new reports of sexual assault and identified that new reports increased during the first quarter of the fiscal year (Oct, Nov, Dec).

Marine Corps Forces, Pacific (MARFORPAC)

- The CMG agenda and monthly case review allows for the CMG chair and members to assess a command and command climate for any potential trends. The Safety Screening Tool and Victim Advocacy Services Provided Log is used for every contact with a victim and provided to the SARC to capture in DSAID.

Training and Education Command (TECOM)

- MCRD San Diego offers training throughout recruit training to allow recruits to report concerns and/or ask for services related to SAPR. Feedback is also received during monthly CMGs from commanders and SAPR VAs who have had contact with victims. Further, the SARC meets with each victim to see if they are getting adequate services. A trend of note is victims are concerned with lack of information about NCIS investigations and/or final dispositions.

2.3 SARCs and SAPR VA Suspension, Revocation, and Reinstatement: How many SARCs and SAPR VAs in your Military Service received a suspension? A revocation? A reinstatement? (Identify how many SARCs and SAPR VAs for each category) (DoD Sexual Assault Prevention and Response Strategic Plan, 2017-2021 (December 1, 2016), Goal 2 – Victim Assistance & Advocacy, Objective 2.1, p. 8 / DoDI 6495.03, “Defense Sexual Assault Advocate Certification Program (D-SAACP),” (September 10, 2015), Encl 3, para 3)

There were a total of ten SARC and SAPR VAs who were suspended, reinstated, or revoked. SARCs accounted for three, to include one suspension, one reinstatement, and one revocation. SAPR VAs accounted for the additional seven, to include two suspended and five revocations.

2.4 Medical Support: How many Service members who reported a sexual assault had their medical care hindered due to a lack of Sexual Assault Forensic Examination (SAFE) kits, timely access to appropriate laboratory testing resources, or other resources? (Note: This answer should be consistent with the number reported in blocks A and C of the Victim Services matrices). (NDAA for FY 2006, section 596)

In FY19, one Service Member who reported a sexual assault to the Marine Corps was unable to access a Sexual Assault Forensic Examination (SAFE) due to lack of resources. This represents an extenuating circumstance, in which the victim was TAD and not near any suitable medical treatment facility.

2.5 Military Protective Orders: How many Military Protective Orders were issued as a result of an Unrestricted Report (include the number issued and number violated)? What new steps (if any) were taken in the last year to improve protections? (DoDI 6495.02, "Sexual Assault Prevention and Response (SAPR) Program Procedures," (May 24, 2017), Encl 5, para 7)

Marine Corps Commanders received 152 requests for Military Protective Orders (MPO) in FY19. These requests were made by victims with Unrestricted Reports of sexual assault that may have been reported in FY19 or any prior year. Of these 152 requests, a total of 148 MPOs were issued in FY19 (97% of requests). Two MPOs were violated in FY19, both by the subject of the investigation. Based on the overall success of MPOs in maintaining victim safety, systematic changes are not warranted at this time.

2.6 Future Plans: Describe your leadership-approved future plans (if any) to further improve the achievement of the Victim Assistance and Advocacy goal.

HQMC SAPR is updating Navy and Marine Corps (NAVMC) Directive 1752.5 to support the leadership approved plans and directives. Standardizing procedures ensures consistency throughout the Marine Corps. HQMC SAPR updates these procedures as necessary to ensure compliance with higher headquarters directives. The most recent changes focus on DoD SAPRO's intent regarding the CATCH program, new CMG protocols, and Defense Sexual Assault Advocate Certification Program (D-SAACP) certification protocols, and will incorporate new forms that have been issued. These forms include the updated DD 2950, DD 2950-1, DD 2950-2, and DD 2910.

Through the completion of Phase I of the Prevention Plan of Action, leadership has identified key priorities that USMC SAPR will take action on to include but not limited to:

- Cross-training prevention specialists between various programs and capabilities
- Leveraging existing resources and external stakeholders to enhance a trained prevention force across HQMC programs

HQMC SAPR will host the annual SARC Training in June 2020 to provide SARCs with continuing education towards their certifications as well as best practices across prevention and response.

SAPR Personnel in the Fleet

Marine Corps Forces Reserves (MARFORRES)

- MARFORRES will continue to increase the resource database, to further assist geographically dispersed victims, and will coordinate with sister Services. Staff members plan to continue to travel to sites throughout MARFORRES to provide additional support during SAPR Victim Advocate and leadership turnover, and during training events when possible. Personnel are encouraged to participate in additional training opportunities and to frequently engage with community providers to expand their advocacy knowledge.

Marine Forces Command (MARFORCOM)

- MARFORCOM leadership supports direct collaboration with the Navy to streamline response, enhance collaboration, and identify where resources can be enhanced and shared.
- Getting to Outcomes (GTO) project at 2D Marine Air Wing: MARFORCOM will continue to support GTO during FY20. The Marine Corps GTO project consists of two initiatives, the traditional GTO steps 1-10 that are used to evaluate the SAPR annual training curriculum, "Take a Stand" non-commissioned officer Bystander Intervention Training (TAS); and a second initiative that focuses on measures of effectiveness and the implementation of new primary prevention tools. The primary prevention tools being tested are hip-pocket guides that reinforce healthy relationships and training from Marine and Family Programs. The hip-pocket guides will be used in a discussion group format, 30 to 60 days after the Marines receive their annual TAS training. The hip-pocket guide discussions will occur three times over a 180 day period, based on unit operational tempo. Information retention will be tested through participating Marines completing pre- and post-tests electronically. Additionally, the GTO is evaluating the use of a Mobile Training Team (MTT) to determine if the use of an MTT affords the command more flexibility with training execution and increases the likelihood of information retention and quality discussions.

Marine Corps Systems Command (MARCORSYSCOM)

- MARCORSYSCOM has a unique structure of 80 percent civilian, 20 percent military; command leadership continues to work with HQMC SAPR in the exploration of a full-time civilian SARC. MARCORSYSCOM has been supported by a collateral duty SARC. This capability will allow the command to shift the paradigm from a response-oriented approach to a prevention approach.

Marine Forces Central Command (MARCENT)

- MARCENT will comprehensively identify, update, and publish established SAPR resources provided by sister Services on installations in the CENTCOM AOR. This information will assist deploying units, especially smaller units deploying remotely, to understand what resources are available. MARCENT will use this information to assist with the updating of current SAPR pre-deployment briefs that are used by CONUS-based units and installations in preparation for their deployments to the CENTCOM AOR.

Marine Corps Installation Command (MCICOM)

MCICOM will conduct the initiatives in the following Marine Corps installations:

- MCAS Miramar will conduct leadership training to explain resources available to victims and how leaders can aid in the process by making sure guidance is provided from the top down throughout the ranks. This training will be provided at Officer Calls, Professional Military Education (PME), awareness events, and small group discussions. First Responder training will ensure responders are aware of changes in policy, roles and responsibilities of SAPR professionals, and spark discussions on how SAPR personnel and responders can effectively work together to achieve the goals of all stakeholders while maintaining the dignity and confidentiality of the victim.
- MCAS Yuma provides consistent coverage of the 24/7 Sexual Assault Support Line. The Installation Commander approved the development of a MOU between the installation and tenant O-6 commanders to provide clarification on roles and responsibilities. An alternate civilian SARC billet was approved for MCAS Yuma SAPR.
- MCB Hawaii will address the Victim Assistance and Advocacy goals by holding SAPR events throughout the year. The intent of these SAPR events are to bring awareness to inappropriate behaviors associated with sexual assault and demonstrate support to all who come forward with their report. These events will not only focus on teamwork and comradery, but also highlight sexual assault awareness and prevention. Every Marine, Sailor, civilian, and family member is encouraged to participate in program events.
- MCB Butler, MCAS Futenma, Camps Fuji & Mujuk will strengthen prevention efforts by conducting training events that address behaviors that increase risk for sexual assault (e.g., alcohol misuse). Events will focus on alternative ways to engage Marines through comradery but also highlight awareness and prevention.
- MCB Camp Lejeune & MCAS New River plan to expand the #BeTheChange public awareness campaign to include information on retaliation and targeted populations, to support victims, supporters, and change makers.

- In addition, MCAS New River Installation SARC will increase oversight of SAPR VA annual training to ensure consistent messaging around the resources of the SAPR program. The SARC has an opportunity after observing training to provide feedback to the SAPR VA so future trainings incorporate accurate information and empower possible victims to come forward to report.

Marine Corps Forces, Pacific (MARFORPAC)

- I Marine Expeditionary Force (MEF) will participate in Sexual Assault Awareness and Prevention Month (SAAPM) Events, conduct SAPR VA Refresher Training, and situate additional uniformed SARCs in geographically dispersed areas.

Marine Corps Forces Special Operations Command (MARSOC)

- MARSOC will host Local Safe Help Room chats. These chats can focus on specific topics or open discussion. They can also be targeted to specific audiences (e.g., survivors, advocates, leadership, friends, and support networks of survivors, etc.).
- For SAAPM 2020, MARSOC will host a male survivor speaker in order to dispel myths, reduce the stigma of reporting, and encourage male Marines and Sailors to report sexual assaults. In addition, various information tables will be available providing local resources for assistance.

Marine Corps Forces Europe and Africa (MARFOREUR/AF)

- MARFOREUR/AF commands are all located on sister Services installations (Army, Air Force, and Navy). The intent is to continue to work closely with sister Service partners to reach every victim of sexual assault and make sure they are supported regardless of uniform. Monthly meetings are conducted with the Army SARC and their command leadership to keep open communication and develop activities for SAAPM. Quarterly meetings are held with Air Force and Navy to keep in touch and support Marines at all locations. When the SARC does site visits, there is an office visit with the SAPR VAs to meet the local SARC to become familiar with all local resources for deployed members.

3. Goal 3—Investigation: “sustain a high level of competence in the investigation of adult sexual assault using investigative resources to yield timely results.”

3.1 Strategic Summary: Summarize your efforts for achieving the Investigation goal. In this strategic summary, include significant updates and/or force-wide changes and/or initiatives begun or completed by your Military Service in FY19. As applicable, include enhancements made to your Military Services’ Special Victim Investigation and Prosecution Capability for Military Criminal Investigative Organizations. Additionally, as applicable, comment on new training enhancements for military criminal investigators, law enforcement personnel, or first responders on sexual assault investigations and preservation of evidence. Also, consider including any new or updated efforts to collaborate and/or share military protective orders

and/or conviction information with civilian law enforcement. There is no need to repeat prior Annual Report submissions if these processes have remained largely the same as in previous years. (DoD Sexual Assault Prevention and Response Strategic Plan, 2017-2021 (December 1, 2016), Goal 3 – Investigation, p. 9)

NCIS recognized that what used to be considered advanced sexual assault training was in fact a level of training required by all NCIS special agents; and in 2019, NCIS included the Adult Sexual Assault Investigator Training Program (AASAITP) curriculum in the Special Agent Basic Training Program (SABTP) for all new agents. AASAITP was developed in order for NCIS special agents to be compliant with the requirement in the Department of Defense Instruction 5505.18, "Investigation of Adult Sexual Assault in the Department of Defense" and 5505.19, "Establishment of Special Victim Investigation and Prosecution (SVIP) Capability within the Military Criminal Investigative Organizations."

3.2 Evidence Processing Challenges: Has your Military Service had any challenges with evidence being processed at the Defense Forensic Science Center (e.g., turnaround time for processing of Sexual Assault Forensic Examination (SAFE) kits and other evidence). How did you address these challenges? (Joint Chiefs of Staff Strategic Direction to the Joint Force on SAPR (May 7, 2012), p. 11)

The Marine Corps has experienced challenges with delays in processing DNA through the Defense Forensic Science Center (DFSC), particularly where the DFSC does not prioritize the testing of Sexual Assault Forensic Examination (SAFE) kits or other physical evidence in cases where the question of sexual contact or intercourse is not in question, despite requests by investigators and trial counsel to do so. This typically occurs when the accused makes a statement to law enforcement admitting the sexual contact, claiming consent. This typically results in a delay because trial counsel are required to explain to DFSC why such testing is necessary (e.g., the testing contributes to meeting to the burden of proof at trial, there may be tactical reasons not to introduce the statement of the Accused, there is a potential for an Accused's statement to be suppressed, etc.). Such challenges are exacerbated when the accused is in pretrial confinement, and the requirement for a speedy trial begins to run. Nonetheless, because Naval Criminal Investigative Service (NCIS) is the primary law enforcement body charged to investigate sexual assaults involving Marine Corps personnel, the Marine Corps defers to NCIS regarding any other challenges germane to this topic.

NCIS has experienced a delay in United States Army Criminal Investigation Laboratory (USACIL) receiving the SAFE kits. The normal shipment timeline has taken upwards of 30 days for the SAFE kit to travel to USACIL. NCIS has been proactively seeking alternative methods to accelerate the shipment of SAFE kits from 30 days to a maximum of 15 days. NCIS is working on plans to obtain the authority to increase the evidence shipment budget to favorably reflect SAFE kits being shipped expedited service vice regular ground shipment. An additional challenge facing the evidence processing dilemma is the USACIL pre-submission process for laboratory examinations. USACIL initiated a policy change that requires up-front submission of DNA reference standards, for a DNA case, before USACIL will begin their analysis. While USACIL will not immediately return evidence impacted by

this change in policy, they may delay the analysis of evidence until appropriate DNA standards are submitted. Many cases have been significantly delayed or have required multiple submissions due to DNA standards not being included with the initial submission. If the pre-submission process is utilized appropriately there can be a 7-10 day reduction in lab processing time. All field offices have been directed to work diligently with their Forensic Consultant in order to experience the best possible outcome with USACIL. NCIS is actively working on a plan to change the shipment/ mailing process.

3.3 (NGB only) GAO Report: Coordination with Office of Complex Investigations (OCI): Describe NGB’s efforts to comply with the cited GAO report, specifically the recommendation to include a requirement in its guidance to collect and maintain supporting documentation as part of its case files that verifies whether and how (1) the National Guard nexus exists for verifying how state National Guard officials determined that sexual assault case acceptance criteria have been met, and (2) the allegation has been referred to the appropriate military criminal investigative organization or civilian law enforcement organization prior to opening an OCI investigation into a sexual assault allegation. (Government Accountability Office Report, GAO-19-109 (December 2018), Office of Complex Investigations Should Update Policies to Require Additional Documentation for Sexual Assault Cases)

N/A

3.4 Future Plans: Describe your leadership-approved future plans (if any) to further improve the achievement of the Investigation goal.

NCIS has experienced a delay in United States Army Criminal Investigation Laboratory (USACIL) receiving the Sexual Assault Forensic Examination (SAFE) kits. The normal shipment timeline has taken upwards of 30 days for the SAFE kit to travel to USACIL. NCIS has been proactively seeking alternative methods to accelerate the shipment of SAFE kits from 30 days to a maximum of 15 days. NCIS is working on plans to obtain the authority to increase the evidence shipment budget to favorably reflect SAFE kits being shipped expedited service vice regular ground shipment. An additional challenge facing the evidence processing dilemma is the USACIL pre-submission process for laboratory examinations. USACIL initiated a policy change that requires up-front submission of DNA reference standards, for a DNA case, before USACIL will begin their analysis. While USACIL will not immediately return evidence impacted by this change in policy, they may delay the analysis of evidence until appropriate DNA standards are submitted. Many cases have been significantly delayed or have required multiple submissions due to DNA standards not being included with the initial submission. If the pre-submission process is utilized appropriately there can be a 7-10 day reduction in lab processing time. All field offices have been directed to work diligently with their Forensic Consultant in order to experience the best possible outcome with USACIL. NCIS is actively working on a plan to change the shipment/ mailing process.

4. Goal 4—Accountability: “maintain a high competence in holding alleged offenders appropriately accountable.”

4.1 Strategic Summary: Summarize your efforts to achieve the Accountability goal. In this strategic summary, include significant updates and/or force-wide changes begun or completed by your Military Service in FY 2019. As applicable, include enhancements made to the SAPR training provided to those who are affiliated with the Special Victim Investigation and Prosecution Capability program (paralegals, trial counsel, and victim-witness assistance personnel) for responding to allegations of sexual assault. There is no need to repeat prior Annual Report submissions if these processes have remained largely the same as in previous years. (DoD Sexual Assault Prevention and Response Strategic Plan, 2017-2021 (December 1, 2016), Goal 4 – Accountability, p. 9)

The response to this section remains by and large the same as the Marine Corps response contained in last year's report with a few minor changes. As an initial matter, last year's submission made reference to the Marine Corps Bulletin (MCBul) 5800 which was drafted to ensure that the most effective standards, policies, and procedures govern military justice in the Marine Corps. That MCBul has since expired and will be replaced by the Marine Corps Legal Support and Administration Manual (LSAM) military justice volume which has already been drafted and is currently being vetted for publication. The military justice volume of the LSAM will incorporate MCBul 5800 and all previous guidance into a single, permanent document. Last year's submission also discussed the extensive training involving changes to military justice brought on by the Military Justice Act of 2016. All such training is required of both judge advocates and commanders. At the request of the Staff Judge Advocate to the Commandant of the Marine Corps, the Center for Naval Analyses (CNA) is conducting a comprehensive analysis of the provision of legal services throughout the Marine Corps. This analysis will aid in the assessment as to whether the Marine Corps legal community currently possesses sufficient resources and personnel to most effectively perform military justice functions. The report of the CNA study is expected in mid-2020.

4.2 Metrics for Assessing Effectiveness: Provide the metrics your Military Service employs to assess the effectiveness of your Special Victims' Counsel (SVC) / Victims' Legal Counsel (VLC) program. Discuss this year's metrics' outcomes and efforts to enhance SVC / VLC program effectiveness. Please update your Military Service's efforts to fund the SVC / VLC program in the POM process. (section 532 of the NDAA for FY 2016 / section 573 of the NDAA for FY 2013 / SecDef Memorandum, Improving Victim Legal Support, (August 14, 2013) p. 1)

The Victims' Legal Counsel Office (VLCO) has a standing policy that a Victims' Legal Counsel (VLC) should have a caseload of no more than 40 cases at a given time. Individual caseloads above 40 pose a risk to mission because counsel effectiveness tends to degrade due to task saturation. Hence, Regional Victims' Legal Counsel (RVLC) is required to provide weekly justification to the Officer-in-Charge (OIC) to the Marine Corps VLCO as to why any individual VLC caseload exceeds 40 cases, as well as measures taken to reduce the caseload. Marine VLCs provided legal services to approximately 631 victims during FY19, including intakes and initial counseling and guidance. Of these victims, approximately 85% were victims of sexual assault, including sexual assaults perpetrated by an intimate partner. Approximately 15% were victims of other crimes,

including domestic violence. Individual VLC caseloads varied by installation throughout the FY, with counsel assigned to Camp Pendleton, CA and Camp Lejeune, NC routinely detailed between 35 and 38 cases. Counsel assigned to smaller installations were detailed as few as 11 cases. The OIC of the Marine Corps VLCO conducts, at a minimum, one site inspection at each VLC office annually. These site visits allow the OIC to assess effectiveness through personal observations, inspections, and the practice, procedure, and techniques of VLCs and support personnel in the performance of victims' legal counsel functions. Additionally, the OIC assesses the adequacy of facilities and assets provided to VLCs. The Deputy OIC monitors the performance of RVLCs and VLCs and advises the OIC, VLCO of such observations. RVLCs conduct two site visits per year to each subordinate office within their purview. Additionally, RVLCs supervise and monitor the performance of subordinate VLCs through personal observation, reading records of trial and briefs, and meeting and corresponding with senior judge advocates, military judges, sexual assault response coordinators (SARCs), and victim advocates.

In 2019 Judge Advocate Division fielded an online platform to facilitate better case management by individual VLC attorneys and to enable better organizational oversight by the VLCO. Additionally, the VLCO implemented several manpower proposals to improve its ability to grow. The VLCO added one civilian paralegal at Marine Corps Air Station Cherry Point, one reserve appellate VLC attorney, and one additional reserve VLC attorney. The added billets permitted the VLCO to better align the manpower requirements to the expansive mission. In addition to increasing the organizational structure, the OIC, VLCO routinely engaged with leaders internal and external to the Marine Corps VLCO to enhance the organization's mission accomplishment. Within the organization, he held monthly meetings with each of the four RVLCs to communicate about the Special Victims Counsel Certification (SVCC) course curricula and changes in training needs. External to the Marine Corps, the OIC, and VLCO met quarterly with program managers from the various services to discuss the SVCC course as well as SVC/VLC issues common to all the services.

The Headquarters element of the VLCO submits a budget for VLC training and other VLCO mission-essential expenses annually to Judge Advocate Division. Marine Corps Order 5800.16-V4 dictates that funding for training, resources, and facilities is consistently provided across the Marine Corps legal community, and that there is equitable distribution, commensurate with mission requirements, between the services provided by VLC, defense counsel, and trial counsel with respect to access to resources, capabilities, facilities, seats at continuing legal education courses, training funds, and support staff.

4.3 Victim's Preference for Prosecution: Describe your Military Service's process to ensure documentation and tracking of the victim's preference for prosecution by a court-martial or a civilian court with jurisdiction over the alleged offense. (DoD IG Report 2019-064 (March 20, 2019), *Audit of DoD Efforts to Consult with Victims of Sexual Assault Committed by Military Personnel in the United States Regarding the Victim's Preference for Prosecution*)

The Marine Corps follows JAGINST 5800.7F (JAGMAN), which was updated in 2019 to standardize the procedures for capturing and documenting a victim's preference for prosecution by court-martial or by a civilian court with jurisdiction over the offense. The victim's preference for jurisdiction is documented using the standard Victim's Preference Letter (VPL), contained in Appendix A-1-q of the JAGMAN, signed by the victim and the trial counsel or trial paralegal. Specifically, Appendix A-1-q affords the victim the opportunity to express his or her preference for a military or civilian prosecution, and that such preference may be expressed through counsel. The form similarly informs the victim of the opportunity to express his or her preference for a disposition of the case, to include a willingness to participate in investigative and legal proceedings, to include providing testimony, under oath, at a court-martial. Appendix A-1-q also contains a section requiring trial counsel, should the victim prefer civilian prosecution, to annotate which civilian prosecutorial agency was notified and when. It also contains a section in which the trial counsel notifies the victim of said prosecutorial agency and whether that agency accepted or declined jurisdiction of the case in whole or in part. Before the JAGMAN was updated, the Marine Corps was complying with the requirement to obtain a victim's prosecution preference and was documenting that compliance on the Case Analysis Memorandum (CAM) prepared by the trial counsel.

4.4 Catch a Serial Offender (CATCH) Program: Describe your efforts to implement the CATCH Program, to include the plan of action and milestones for force education and response personnel training. (SecDef Memorandum, *Actions to Address and Prevent Sexual Assault in the Military*, (May 1, 2019) p. 2)

The Catch a Serial Offender (CATCH) program is implemented and executed by Naval Criminal Investigative Service (NCIS). To the extent that the Marine Corps legal community is involved, Marine Corps VLCs are able to discuss and provide counseling to qualifying victims.

NCIS has no significant changes from last year's response.

USMC Plan of Action

1. CATCH Program Implementation:

COMPLETED:

- HQMC SAPR has conducted teleconferences reviewing questions from fleet SAPR personnel prior to and after the launch of CATCH (continuous)
- Updated frequently asked questions (FAQ) from teleconference(s), questions posed by the fleet, meetings with DoD SAPRO, and leadership (continuous)
- HQMC SAPR conducts a weekly all SARC email that includes CATCH updates, to include reminders to log into the CATCH database (continuous)
- HQMC SAPR CATCH points of contact conduct weekly audits of SARC log in access (continuous)

PENDING:

- Development of training scenario for SARCs and SAPR VAs (Qtr 1, FY20)
- Add CATCH scenarios in hip pocket guides (Qtr 2, FY20)

- USMC specific protocols published in supporting NAVMC (Qtr 2 FY20)

2. Force Education:

COMPLETED:

- Commanders Course training slides included CATCH as a victim resource
- Behavioral Health Executive Counsel received training and education of CATCH program with HQMC SAPR SME and NCIS agent

PENDING:

- CATCH training slides will be added to SAPR annual training slides

3. Response Personnel Training:

COMPLETED:

- SAPR Initiative Committee (SIC), facilitated biannually by HQMC SAPR, provided education to Marine Forces SARCs for further training to SARCs, SAPR VAs, and leadership
- SARC Annual Training, hosted by HQMC SAPR, included training and education
- CATCH protocols and documents posted on SARC and SAPR VA Workspaces

PENDING:

- 40 hour initial SAPR VA training, pending fleet wide release 1 Oct 2019-1 Jan 2020, includes CATCH training slides (Qtr 1, FY20)
- Initial SARC training will be updated with CATCH training slides
- SIC - review of current protocols, data points, barriers, additional training needs (Qtr 2, FY20)

4.5 Future Plans: Describe your leadership-approved future plans (if any) to further improve the achievement of the Accountability goal.

The Marine Corps continued to ensure special victim prosecutors were able to maintain a high level of competence by adhering to specialized training requirements for these positions established and described in prior reports. At the same time, the Staff Judge Advocate (SJA) to the Commandant of the Marine Corps (CMC) directed an Operational Advisory Group to study Special Victim Case Processing and identify areas where the Marine Corps may find efficiencies during the investigation and prosecution of special victim cases. This group developed standard procedures for special victims' prosecutors to be detailed to cases earlier, gather victim preferences earlier, and generally take actions to assist in improving the processing timeline for special victim cases. Taken together, these measures enhanced the Marine Corps' ability to provide competent and efficient prosecution of special victim cases.

The SJA to the CMC worked with the Sexual Assault Accountability and Investigation Task Force (SAITF) established by the Secretary of Defense to identify, evaluate, and recommend immediate and significant improvements to the prosecution of sexual assault cases. The Marine Corps, in conjunction with the other services, is currently working to implement the recommendations of this task force to formalize and standardize training for

commanders exercising Sexual Assault Initial Disposition Authority, as well as conducting a compliance review of the Special Victim Investigation and Prosecution (SVIP) capability across all military justice practitioners.

In August 2019, the Secretary of the Navy directed a Comprehensive Review of uniformed legal services in the Department of the Navy. This review complements the work already being done by the Center for Naval Analyses' examination of the provision of legal services throughout the Marine Corps. The Comprehensive Review's holistic review is specifically intended to make detailed findings and recommendations to ensure that the Navy and Marine Corps uniformed legal communities are best organized, manned, trained, and equipped to support the Department of the Navy's mission. The review includes specific areas of interest, including career education for judge advocates at the mid-career level and talent management of the judge advocate community to create and employ specialty skills.

In addition to increasing the inventory of personnel with the 4409 (Criminal Law) Additional Military Occupational Specialty (AMOS), the SJA to the CMC has evaluated the curriculum of the degree granting programs and determined all Master of Laws (LL.M.) degrees will be obtained at The Judge Advocate General's Legal Center and School (TJAGLCS). TJAGLCS students are also trained in the duties of staff judge advocates, advising commanders on issues to include the fair and effective management of the legal process regarding those suspected of crimes.

Upon graduation from TJAGLCS with advanced degrees in military justice and the 4409 AMOS, mid-career Marine Corps judge advocates are assigned to complex litigation roles as trial counsel with the Complex Trial Teams (CTT) or in supervisory roles such as Regional Trial Counsel (RTC) or Senior Trial Counsel (STC) at each of the four Legal Services Support Sections (LSSS). Prioritizing these assignments continues to elevate the practice of law in the military justice system by ensuring current, mature, and well-educated attorneys as serving in leadership roles.

5. Goal 5—Assessment: “effectively measure, analyze, assess, and report SAPR Program progress to improve effectiveness.”

5.1 Strategic Summary: Summarize your efforts for achieving the Assessment goal. In this strategic summary, include significant updates and/or force-wide changes begun or completed by your Military Service in FY 2019. As applicable, include any new training your Military Service has implemented for SARCs and SAPR VAs during the past year and how you measure the training's effectiveness. There is no need to repeat prior Annual Report submissions if these processes have remained largely the same as in previous years. (DoD Sexual Assault Prevention and Response Strategic Plan, 2017-2021 (December 1, 2016), Goal 5 – Assessment, p. 10)

The initial SAPR Victim Advocacy 40-hour course was revised to focus on industry standards in advocacy, skill building, and trauma-informed care. Activities were included with each topic to reinforce learning and provide individuals with the opportunities to practice skills. Sexual Assault Response Coordinator (SARC) training is currently being updated and revised for Installation, Command, and Marine Forces (MARFOR) SARCs. Measures of effectiveness were incorporated in this training to measure change in attitudes, behavior, and intentions via pre- and post-tests. The results will inform future training and education materials as well as topics included in the in-person SARC Annual Training event.

In June of 2019, HQMC SAPR hosted annual training to provide SARCs with continuing education towards their D-SAACP certification. Topics included prevention (primary, through a socio-cultural lens, and the Marine Corps prevention system), self-care, policy updates, leadership briefs (Department of Defense, Department of Navy, and Marine Corps), ethics, program evaluation, D-SAACP, DSAID, and data. Pre- and post-tests were included each day to examine training effectiveness and to inform the 2020 SARC Annual Training.

The Marine Corps is currently working with RAND on Getting to Outcomes (GTO), a DoD-funded project taking place FY20 aboard 2d MAW locations. The USMC project includes evaluating "Take a Stand" non-commissioned officer (NCO) Bystander Intervention Training, annually required training, as well as the SAPR program delivery process at 2d MAW. The GTO training evaluation portion will also include alternative instruction methods testing, e.g. small unit discussion, additional content dosages, and primary prevention-centric training tools. Collected data and outcomes will inform SAPR programs, training, and processes.

In June of 2019, HQMC Embedded Preventive Behavioral Health Capability (EPBHC) participated in the SAPR Initiative Committee (SIC) to update SAPR field personnel on the scope and functions of EPBHC from a HQMC perspective. During the training, participants discussed potential areas in which field staff from both programs may be able to synchronize their behavioral health prevention efforts. Following the training, HQMC EPBHC incorporated representation from HQMC SAPR into an upcoming site engagement visit to III Marine Expeditionary Force (MEF) in order to model and encourage collaborative behavioral health prevention efforts in the field.

During a HQMC integrated site engagement visit to III MEF, HQMC EPBHC personnel facilitated an integrated exercise involving III MEF EPBHC and SAPR field staff. The purpose of this exercise was to demonstrate an integrated relationship between EPBHC and SAPR. During the exercise, field personnel from both programs identified areas in which they are currently collaborating and areas where they could potentially collaborate. The group also discussed future opportunities for collaboration. This information will be used to inform future enterprise-wide prevention policy and program development.

5.2 Future Plans: Describe your leadership-approved future plans (if any) to further improve the achievement of the Assessment goal.

As in prior years, HQMC SAPR is committed to improving the quality of the reporting data we collect. Weekly, monthly, and quarterly audits will ensure that Marine Corps reporting data will be as complete and clean as possible.

Rank-specific training is currently under development and revisions to the currently implemented training, specifically “Step Up” Bystander Intervention Training for Junior Marines is being updated. These curricula focus on a Marine’s rank and responsibility, role in the SAPR program, response, and prevention. Measures of effectiveness are being incorporated into each curriculum based on of the Theory of Planned Behavior. Currently under development, Staff Non-Commission Officer (SNCO) training will be piloted with the target demographic prior to implementation so changes and feedback can be incorporated.

During August 2019, HQMC Behavioral Programs’ EPBHC, SAPR, and Suicide Prevention representatives conducted an integrated site engagement visit at III MEF to meet with stakeholders from each of these programs and discuss integrated behavioral health prevention efforts among embedded behavioral programs assets. Future plans to continue this effort include replicating the site engagement visit at I and II MEFs. Upon completion of these trips, HQMC will use the information gathered to develop guidance for assessing behavioral health prevention efforts in the field.

6. Core Functions: Communication and Policy: Provide a brief summary for new efforts taken in FY 2019 on the following:

6.1 General/Flag Officer Discussion on Career Impacts Due to Retaliation: How is your Military Service ensuring that sexual assault victims, uniformed witnesses, bystanders, and first responders involved in a sexual assault report are provided information on their right to discuss the career impacts with a General/Flag Officer if they believe those impacts were due to their report of retaliation or the assistance they provided to the retaliation reporter. (DoD Retaliation Prevention and Response Implementation Plan (January 2017), p. 13-14)

Marine Corps Victims’ Legal Counsel (VLC) are able to meet with qualifying victims and provide counseling regarding their right to discuss the career impacts with a General Officer, if they believe those impacts were due to their report of retaliation. The Opportunity, Diversity, and Inclusion office does not collect information regarding sexual assault reporting. In addition, the Military Equal Opportunity office (Equal Opportunity Advisor, Equal Opportunity Representative, or Command Equal Opportunity Manager) does not provide information on rights and responsibilities regarding Sexual Assaults to any sexual assault victims, uniformed witnesses, bystanders, and first responders.

IGMC’s policy, which has been approved by DoD OIG and separately by GAO during a 2018 audit, permits only IGMC investigators to analyze and investigate all complaints of military whistleblower reprisal. The purpose of this policy is to ensure that only expertly trained investigators conduct these investigations. IGMC provides investigative findings to cognizant agencies, in accordance with applicable standards.

- DoD OIG's current policy requires service IGs immediately to report allegations of reprisal that arose from sexual assault reports to DoD OIG. Most times, DoD OIG assigns those cases to one of its own three sexual assault reprisal investigative teams for special handling, relieving service IGs of the responsibility to investigate those allegations.
- The Marine Corps considers NAVMC DIR 1700.23F, "Request Mast Procedures" and MCO 1723.7F, "Request Mast" to define one's right to discuss matters of concern with a General Officer. The Marine Corps commonly interprets these standards to allow Request Mast only for certain types of issues that commanders are able to influence; this usually excludes from appropriate Request Mast topics those for which other established avenues of redress exist, such as military whistleblower reprisal.
- IGMC trains Command Inspectors General (CIG) to receive and process allegations of retaliation resulting from any kind of report of wrongdoing, including retaliation. The training specifies that CIGs must report all allegations of professional retaliation to IGMC and may not, themselves, take investigative actions.
- IGMC's website offers information regarding retaliation and a complaint form for use by complainants. Also, three expert military whistleblower reprisal investigators are available to answer questions by phone during normal working hours on most workdays.
- In whistleblower reprisal cases involving senior personnel or personnel assigned to Flag-level commands, the Commanding General (CG) typically serves as the final disposition authority; as a result, reporting retaliation complaints directly to the CG could create a conflict.
- The Marine Corps refers all military whistleblower reprisal complainants to the Board for Correction of Naval Record (BCNR) if they wish to change information in their military records. BCNR is the only DON agency authorized to remove or replace information in a member's permanent record.

Training materials have been updated at the HQ level. All unit SOPs include information about retaliation processes and protections as do Policy Statements. All reports of retaliation are address at the monthly Case Management Group meeting and anyone who makes a report of retaliation is informed of their right to discuss their career concerns with a General/Flag Officer.

The information is verbally provided to victims when they meet with a SARC or SAPR VA. If a situation presents itself where a victim wants to discuss their career impact with a GO/FO, the SARC/SAPR VA will inform the victim of their right to discuss career with the first GO/FO. SOPs and command orders are in process of being updated to reflect the new guidance published in MCO 1752.5C.

At each Case Management Group (CMG), the Installation SARC asks Commanders if individuals mentioned above experienced retaliation and provides resources to assist with dealing with these issues.

At first meeting, victims are provided an information sheet (excerpt from DoDI 6495.02, Enclosure 4, paragraph 7) that lists the resources available to them for reporting retaliation and this list includes the following paragraph:

h. A G/FO if the victim believes that there has been an impact on their military career because they reported a sexual assault or sought mental health treatment for sexual assault that the victim believes is associated with the sexual assault. The victim may discuss the impact with the G/FO.

If an official report of retaliation is made by a uniformed witness, bystander, or first responder, the reporter would be made aware of their right to discuss any career impacts they perceive to be a direct result of their report of retaliation or assistance provided to a reporter with the G/FO.

- MCB Camp Pendleton educates the audience on retaliation and reporting avenues in every training class conducted. Camp Pendleton SAPR is briefed at every CMG regarding any form of retaliation to a victim or advocate. SAPR also works closely with NCIS and Victim's Legal Counsel (VLC), and informs victims and witnesses of their rights, if it is brought to SAPR's attention.
- MCAS Yuma refers personnel to the VLC or informs them of the option to speak with the local Inspector General in order to determine the next course of action.
- MCLB Barstow SAPR VAs and Installation SARC educate Marines and leadership is aware of their rights, and whom to contact, if they experience retaliation. This is done through annual Marine trainings.
- MCB Hawaii conducts retaliation reporting at the monthly CMG meeting, and tracked incidents using the HQMC SAPR quarterly retaliation report. SARCs and SAPR VAs are required to inform victims of the resources available to report instances of retaliation, reprisal, ostracism, maltreatment, and sexual harassment, or to request a transfer or Military Protective Order (MPO). Marines receive annual training and safety stand downs, as well as pre-deployment briefings. Victims of sexual assault have options and may report allegations through a SARC or SAPR VA, VLC, their immediate commander, and/or Inspector General,
- MCB Butler, MCAS Futenma, Camps Fuji & Mujuk disseminate retaliation information through all SAPR briefs to include, but not limited to, New Join briefs, SAPR annual training for both military and civilians, resource briefs, and engaging leadership discussions. All reports are tracked through CMG meetings until the report of retaliation is disposed of.

- MCAS Iwakuni SAPR VAs communicate retaliation policy in the new 40-hour course and pass along retaliation specifics when involved in a case.
- MCB Camp Lejeune & MCAS New River includes retaliation reporting procedures in the “Take a Stand” non-commissioned officer Bystander Intervention Training and in the new SAPR VA 40-hour course.
- I MEF response to reprisal and retaliation is addressed via the following documents, ventures, and professionals: VLC, DD2701, CMG Briefing, and 8 Day Brief Questions.
- MCRD San Diego has included in SOP and policy statements how persons may report retaliation. SAPR VAs are trained to provide avenues to report victim retaliation. Reported retaliations are tracked at the CMG to ensure they are appropriately addressed.
- MCRD Parris Island continues to provide effective training, assesses and requests personnel as needed, and holds offenders accountable when possible.
- Marine Air Ground Task Force Training Command (MAGTFTC) educates individuals upon initial meeting and informs them of their right to talk to the VLC who may be able to go into more depth of the topic. We work hand-in-hand with other resources to ensure that all potential supporting assets (e.g., Uniformed Readiness Coordinators (URC), Marine Corps Community Services) are educated on retaliation and how the reporting process works.

6.2 Retaliation Educational Materials: What educational materials have been developed for retaliation reporters to familiarize them with retaliation processes and procedures? (DoD Retaliation Prevention and Response Implementation Plan (January 2017), Task Number 3.4, p. 19)

DoD OIG offers extensive guidance for military whistleblower reprisal complainants on its website and IGMC’s website links to DoD’s. Additionally, IGMC provides extensive reprisal training materials to CIGs to familiarize them with the statute and investigative process. CIGs may use those classroom products to familiarize complainants with the process.

Every curriculum produced by HQMC SAPR, for SAPR professionals and the total force, contains information about retaliation to include definitions, reporting options, and prevention measures. For example, the impact of trauma and trauma-informed leadership is discussed as well as effective communication. HQMC SAPR works collectively with Opportunity, Diversity, and Inclusion to ensure that the same language is being incorporated into training specific to sexual harassment.

The updated Marine Corps Order includes retaliation protections, processes, and procedures. Within MARFORRES, SOPs provide information about retaliation protections and Points of Contact are included. Policy Statements are reviewed for retaliation information as well.

MARFORCOM has a poster on reporting retaliation, as a result of Marines United. The poster was shared with its subordinates. The poster lists all of the resources for reporting retaliation. SARC and SAPR VAs inform victims of their resources for reporting retaliation when they meet with the victims. With all SAPR annual training, reporting of retaliation is captured. However, the command has not developed any educational materials outside of what has been approved by MF SAPR.

MCICOM reports the following use of retaliation education materials in Marine Corps installations such as:

- MCB Hawaii has incorporated the retaliation reporting process into the annual SAPR training, as well as safety stand downs and pre-deployment briefings. Victims who file an Unrestricted Report of sexual assault may also report allegations of retaliation, reprisal, ostracism, and maltreatment to their SAPR VA or SARC, their chain of command, Inspector General, or (with the victim's permission) the SAPR VA or SARC may report allegations through the monthly CMG meeting.
- MCB Butler, MCAS Futenma, Camps Fuji & Mujuk provide retaliation information on flyers and pamphlets that are also posted throughout the installations. SAPR VA training also provides information to advocates who go over the victim's rights and the process for retaliation reporting when working with a victim. Retaliation information is presented during every SAPR brief.
- MCAS Cherry Point installation SARC ensures that all victims are given the USMC policies and procedures regarding retaliation.
- MCB Camp Lejeune & MCAS New River SARCs/SAPR VAs provide information and education on retaliation to all victims of sexual assault as a part of safety screening and planning to include resource options for reporting. Victims are linked to Victims' Legal Counsel as requested to provide additional support and education on reporting retaliation. "Take a Stand" non-commissioned officer Bystander Intervention Training include a module for retaliation and their reporting options, as well as the opportunity for a G/FO review. The MCIEAST SOP and MCAS New River Station SOP for SAPR include information on reporting retaliation. In the future, retaliation information and materials can be communicated via the SAPR webpage.

7. National Defense Authorization Act (NDAA) Requirements:

Provide your Military Service’s status on the NDAA sections listed below. There are unique requirements embedded within each NDAA section’s language, so referring to the entire section is necessary.

After reviewing the designated NDAA section:

- If the requirement(s) has/have been implemented, provide the completion date and a short narrative (150 words or less) describing the action taken. For example: “Completed January 15, 2019. Requirement added to AR 600-20, Army Command Policy.”

- If the requirement(s) has/have not been implemented, provide the projected completion date and a short narrative (150 words or less) on the status. For example: “Projected completion date is October 2019. Addition of the policy to AR 600-20, Army Command Policy, is currently pending legal review.”

7.1 FY 2019 SEC. 545. Development of Resource Guides Regarding Sexual Assault for the Military Service Academies

N/A

7.2 FY 2018, SEC. 520. Consideration of Additional Medical Evidence by Boards for the Correction of Military Records and Liberal Consideration of Evidence Relating to Post-Traumatic Stress Disorder or Traumatic Brain Injury

The Board for Correction of Naval Records (BCNR) has considered medical evidence of the Department of Veterans Affairs (VA) or of civilian health care providers since long before the 2017 enactment of this requirement. In addition, the Board has applied liberal consideration to cases involving claims of Post-Traumatic Stress Disorder (PTSD) or related conditions, such as Traumatic Brain Injury (TBI), since 3 September 2014, when then-Secretary of Defense (SECDEF) Hagel issued a memo requiring such consideration. Under that policy, the BCNR has not limited liberal consideration in cases involving PTSD, TBI, or related conditions to combat- or sexual assault-related PTSD or TBI, but grants liberal consideration to all cases involving service-related PTSD, TBI, or other mental health condition.

7.3 FY 2018, SEC. 521. Public Availability of Information Related to Disposition of Claims Regarding Discharge or Release of Members of the Armed Forces When the Claims Involve Sexual Assault

Completed in or about March 2018. Since then, in accordance with this requirement, the BCNR has published on its public website, on a quarterly basis, the number and disposition of claims decided during the preceding quarter in which sexual assault is alleged to have contributed to the characterization of service. (See <https://www.secnav.navy.mil/mra/bcncr/Pages/BCNR-Data.aspx>.)

7.4 FY 2018, SEC. 522. Confidential Review of Characterization of Terms of Discharge of Members Who Are Victims of Sex Related Offenses

Under section 547 of the FY15 NDAA (codified at 10 U.S.C. § 1554b by section 522 of the FY18 NDAA), the BCNR, in February 2015, began to require examiners presenting applications requesting a discharge upgrade from applicants alleging they were victims of sexual assault to inform the Board to consider the psychological and physical aspects of the applicant's assault, and to determine what bearing it may have had on the circumstances surrounding the discharge. The Board has not limited sexual assaults to those "sex-related offenses" enumerated in section 1554b (rape, sexual assault, forcible sodomy, or attempts), but has extended the requirement to cases involving sexual harassment and other sexual misconduct. Also, pursuant to the same statute, the Board has, since February 2015, employed a confidential process for applicants alleging their discharge was adversely affected by their sexual victimization, limiting access to the case file to those staff and members—and mental health professionals—with a need to know. Finally, the Board does not publish its decisions in such cases.

7.5 FY 2018, SEC. 523. Training Requirements for Members of Boards for the Correction of Military Records and Personnel Who Investigate Claims of Retaliation

Since 2017, in accordance with section 534(c)(1) of the FY 17 NDAA, the BCNR has provided, on an annual basis, comprehensive training in a uniform curriculum approved by the SECDEF to BCNR staff and new members. (Current members who have received training are retrained once every five years.) Since 2018, pursuant to section 523 of the FY18 NDAA, this training has included the proper handling of claims in which a sex-related offense is alleged to have contributed to the applicant's characterization of service. (All staff and current and new members were retrained in February 2019 due to the new requirement.)

7.6 FY 2017, SEC. 533. Availability of Certain Correction of Military Records and Discharge Review Board Information Through the Internet

Completed in or about March 2018. Since then, in accordance with this requirement, the BCNR has published on its public website, on a quarterly basis, (1) the number and disposition of claims decided during the preceding quarter in which a mental health condition of the applicant, including PTSD or TBI, is alleged to have contributed to the applicant's characterization of service, and (2) the number and disposition of claims decided during the preceding quarter that relate to an applicant's service during a war or contingency operation, catalogued by war or contingency operation. (See <https://www.secnav.navy.mil/mra/bcnr/Pages/BCNR-Data.aspx>.)

7.7 FY 2017 SEC. 542. Effective Prosecution and Defense in Courts-Martial and Pilot Programs on Professional Military Justice Development for Judge Advocates

The Marine Corps has implemented career progression, training, experience requirements, and assignment criteria to ensure that well-qualified judge advocates prosecute and defend sexual assault cases. The assignment criteria ensure that only those attorneys who have experience trying contested cases, who have demonstrated an aptitude for the courtroom, and who have received recommendations from supervisors may try SVIP cases. SVIP cases include murder, manslaughter, death or injury of an unborn child, rape and sexual assault, domestic violence involving grievous bodily harm, child pornography, or any attempts to commit those offenses. SVIP prosecutors also require additional sexual assault prosecution training that they normally receive by attending a one week Trial Counsel Assistance Program (TCAP) annual training seminar. The Marine Corps attempts to ensure that all attorneys who supervise litigation possess the LL.M. in Criminal Law. Currently 64 senior judge advocates possess an LL.M. in Criminal Law.

7.8 FY 2017, SEC. 547. Notification to Complainants of Resolution of Investigations into Retaliation

IGMC sends formal correspondence (letter in .pdf format) and a redacted report of investigation (ROI) to every military whistleblower reprisal complainant. If the cognizant commander takes administrative or disciplinary action in response to information in the ROI (including the positive decision not to take action), IGMC records the action in the case file. Notifying a complainant of actions taken against the investigation's subject would violate the subject's privacy.

7.9 FY 2015, SEC. 508. Required Consideration of Certain Elements of Command Climate in Performance Appraisals of Commanding Officers

MCO 5354.1E directs the completion of Command Climate Assessments and the frequency in which they are to be conducted. The willful failure of the commander to comply with assessment requirements shall result in a mandatory fitness report comment.

7.10 FY 2014, SEC. 1721. Tracking of Compliance of Commanding Officers in Conducting Organizational Climate Assessments for Purposes of Preventing and Responding to Sexual Assaults

The Opportunity, Diversity, and Inclusion Office is the owning office for MCO 5354 and tracks the compliance for the Defense Equal Opportunity Management Institute (DEOMI) Organizational Climate Survey (DEOCS) which has been designated as the service instrument for conducting Command Climate Assessments. Compliance is tracked as a whole across all Organizational Factors and for the last calendar year (2018), the Marine Corps had a 97% Completion rate.

8. Analytics Discussion

8.1 Military Services & NGB*: Provide an analytic discussion (1,500 words or less) of your Statistical Report of reported sexual assault cases from the Defense Sexual Assault Incident Database (DSAID). Required elements included on this template are information on Unrestricted Reports; Restricted Reports; service referrals for victims alleging sexual assault; and case synopses of completed investigations.

*NGB should provide comments based on its available information and data.

This section must briefly address each of the following:

- Notable changes in the data over time
- Insight or suspected reasons for noted changes, or lack of change, in data
- The application of insights from data analyses for programmatic planning, oversight, and/or research
- Total number of Sexual Assaults (Restricted Reports and Unrestricted Reports) over time (since FY 2008) **(Metric #11)**
- The number of sexual assault investigations completed by the MCIO in the FY and the corresponding mean and median investigation length. Case open date can be in any year, but the close date must be by the end of the FY **(Non-Metric #6)**
- The number of subjects with victims who declined to participate in the military justice process **(Metric #7)**
- Command action for military subjects under DoD legal authority (to be captured using the most serious crime investigated, comparing penetration to contact crimes) **(Non-Metric #1)**
- Sexual assault court-martial outcomes (to be captured using the most serious crime charged, comparing penetration to contact crimes) **(Non-Metric #2)**
- Summary of referral data – Unrestricted and Restricted Reports - either referrals received from other sources or referrals made to other sources (e.g., medical/mental health, command, criminal investigation/security services, legal, civilian, or VA authorities, etc.)
- Any other information relating to sexual assault case data

SUMMARY OF THE DATA

Overview of Sexual Assault Reports in the Marine Corps

The number of sexual assault reports that the Marine Corps received in FY19 was 1149. Despite some expected variation from year to year, reported sexual assaults remain rather consistent over time. As in previous years, most victims in Marine Corps reports were enlisted females (E1-E4) electing SAPR services via the Unrestricted Reporting option. The most notable difference in FY19 reports is that the percentage of in-Service incidents involving alcohol is lower than in prior years.

Data Source. In accordance with the 2009 NDAA, the DoD maintains a centralized, case-level database for the collection and maintenance of information regarding sexual assaults reports in the military. The Defense Sexual Assault Incident Database (DSAID) is a Service-wide database that relies on data from multiple sources, including Sexual Assault Response Coordinators (SARCs), Headquarters Marine Corps (HQMC) Sexual Assault

Prevention and Response (SAPR), HQMC Judge Advocate Division, and Naval Criminal Investigative Service (NCIS). As the system of record for all sexual assault report data in the military, we used DSAID to compile the information in this report.

DSAID data are live and subject to change. While we made every effort to align the current results from previous annual reports, this analytic discussion represents a snapshot in time from the live database. It is possible that some data from sexual assault reports filed in prior years will differ slightly from previously published numbers. In these instances, data are current as of 20 November 2019.

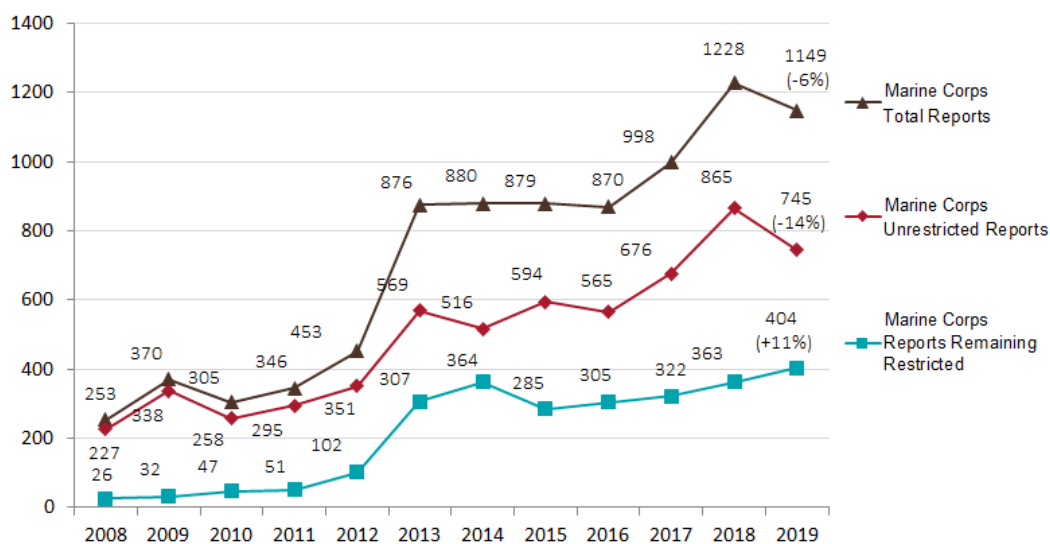
ALL SEXUAL ASSAULT REPORTS

Reports of Sexual Assault over Time (Metric #11)

In FY19, the Marine Corps received 1149 reports of sexual assault. While this is slightly lower than FY18 (1228), it appears to maintain the increase of reports over time. The Marine Corps received more Restricted Reports in FY19 than in previous years, but it is too soon to tell if this is an anomaly or the start of a trend. Figure 1 shows the number of sexual assaults reported to the Marine Corps from FY08-FY19.

Victim choice largely drives requested services and reporting preferences within the SAPR program. The sustained overall increase in reports suggests that Marines continue to seek supportive services to which they may not otherwise have access.

Figure 1. Metric 11: Sexual Assault Reports by Report Type (FY08-FY19)



Victim Gender in All Reports

The majority of victims in FY19 sexual assault reports were women (78.3%). The percentage of male victims in reports likewise remained constant (21.7% in FY19 compared to 21.8% in FY18). Men and women file Unrestricted Reports at approximately the same rate overall. Since FY14 66.4% of women and 67.4% of men reporting a sexual assault to the Marine Corps have done so via an Unrestricted Report.

Conversions from Restricted to Unrestricted Reports

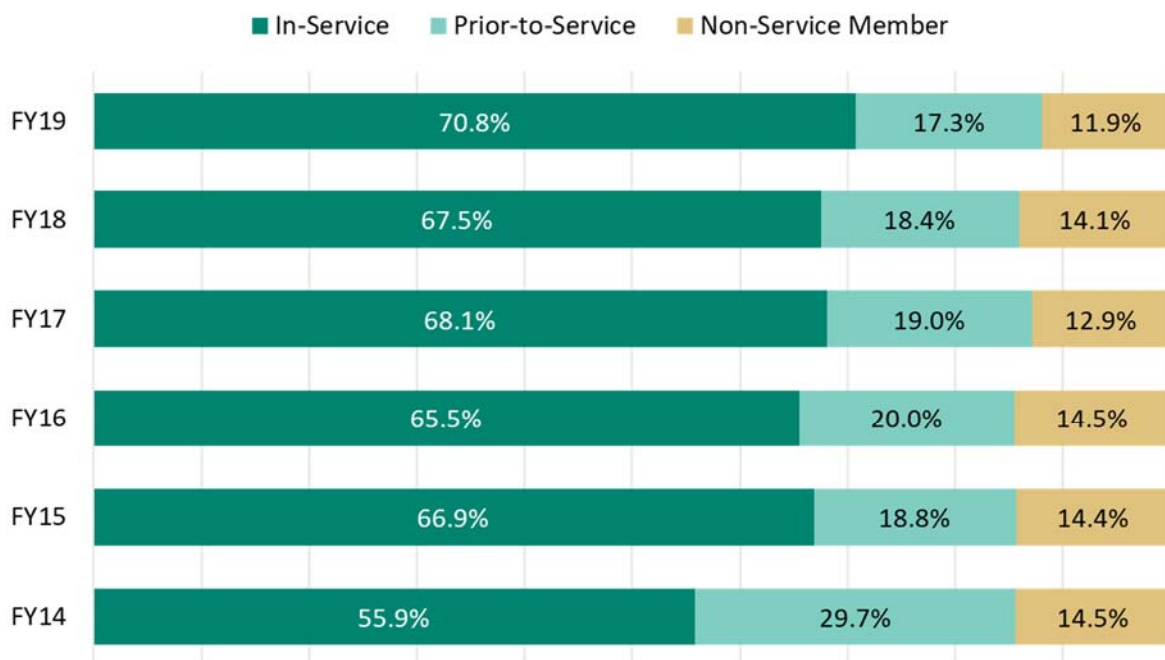
In FY19, 106 victims elected to convert their report from Restricted to Unrestricted, slightly more than any prior year. Marines may choose to convert to an Unrestricted Report at any time and for a variety of reasons, such as a desire for their Command's support, access to additional services (e.g., expedited transfer), or wanting to pursue a criminal investigation.

Victim Military Status

The Marine Corps offers SAPR services to active duty and reserve members of the military, adult military dependents, and DoD employees and contractors OCONUS. Figure 2 below depicts the proportion of all reports involving a non-Service member victim, Service member victim reporting a prior-to-Service incident, and Service member victim reporting an in-Service incident. The composition of victim service status in Marine Corps reports has remained relatively constant since FY15.

In the graph (Figure 2), in-Service indicates incidents that occurred while the victim is in the military; non-Service member indicates that the victim was a civilian (either SAPR-eligible or non-eligible) or foreign national; and prior-to-Service are incidents occurring before the victim entered military Service.

Figure 2. Most Reports are for In-Service Incidents (FY14-FY19)

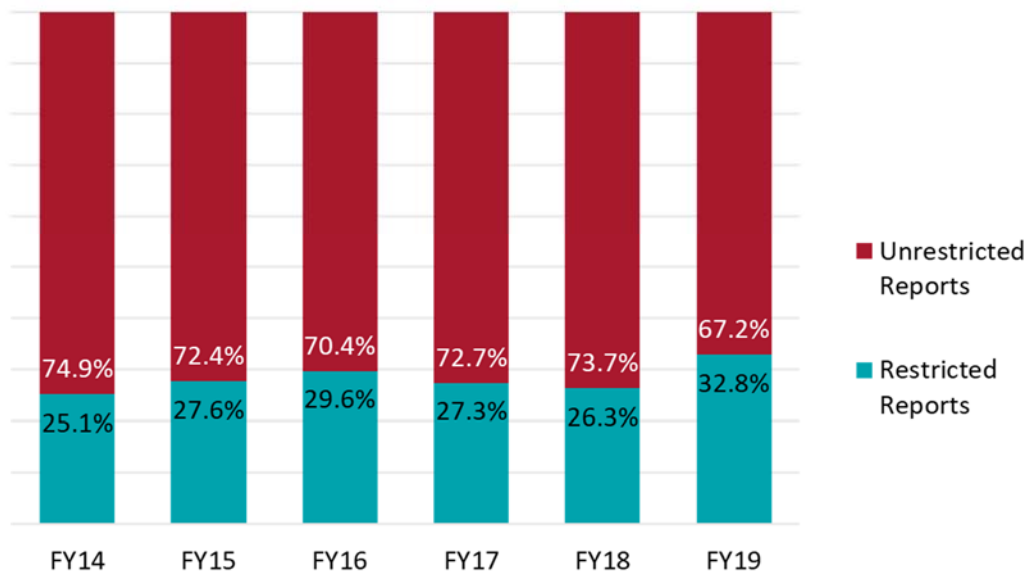


Report Type

As in previous years, the majority of reports we received were for incidents occurring during a Service member's time in the military. The proportion of Unrestricted to Restricted Reports for In-Service Incidents has remained relatively constant since FY14. Figure 3 shows in-Service incidents by report type over time.

VICTIMS WITH REPORTS OF IN-SERVICE INCIDENTS

Figure 3. Most In-Service Incidents are Reported Via Unrestricted Reporting (FY14-FY19)

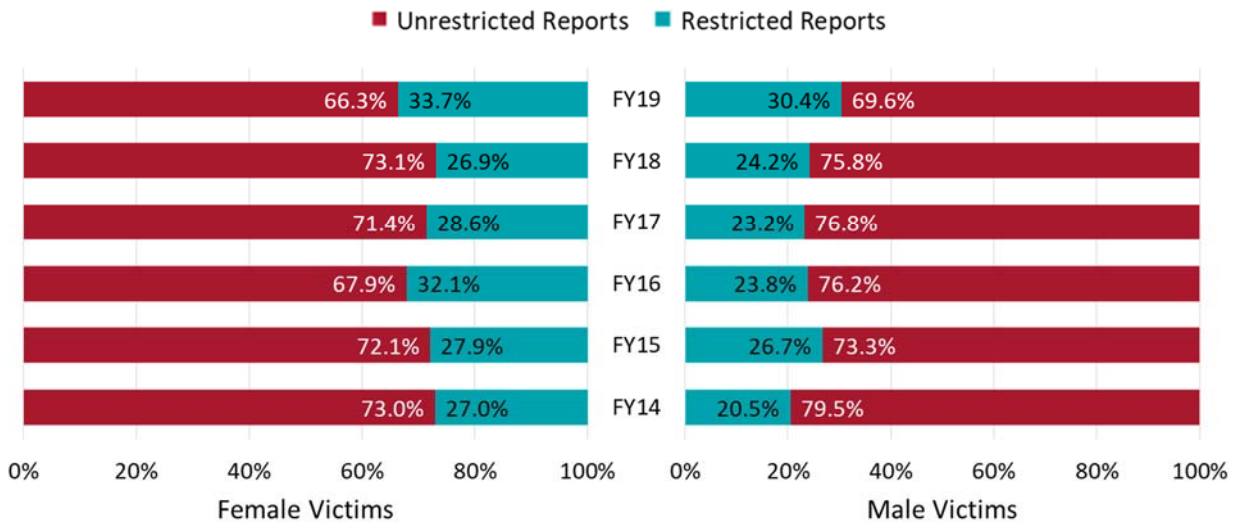


Victim Demographics for In-Service Incidents

Men comprised 25.1% of the victims in FY19 reports of in-Service incidents, sustaining the jump in male reporting that began in FY16.

Men file Unrestricted Reports for in-Service incidents in a slightly greater proportion than women. In FY19, 69.6% of male victims were associated with an Unrestricted Report for an in-Service incident; 66.3% of female victims filed Unrestricted Reports in the same year. Both men and women elected Restricted Reporting more frequently in FY19 than in years past. Figure 5 depicts the proportion of report type by victim gender for in-Service incidents reported in FY14-FY19.

Figure 4. Men and Women Elect Unrestricted Reporting at a Similar Rate (FY14-FY19)

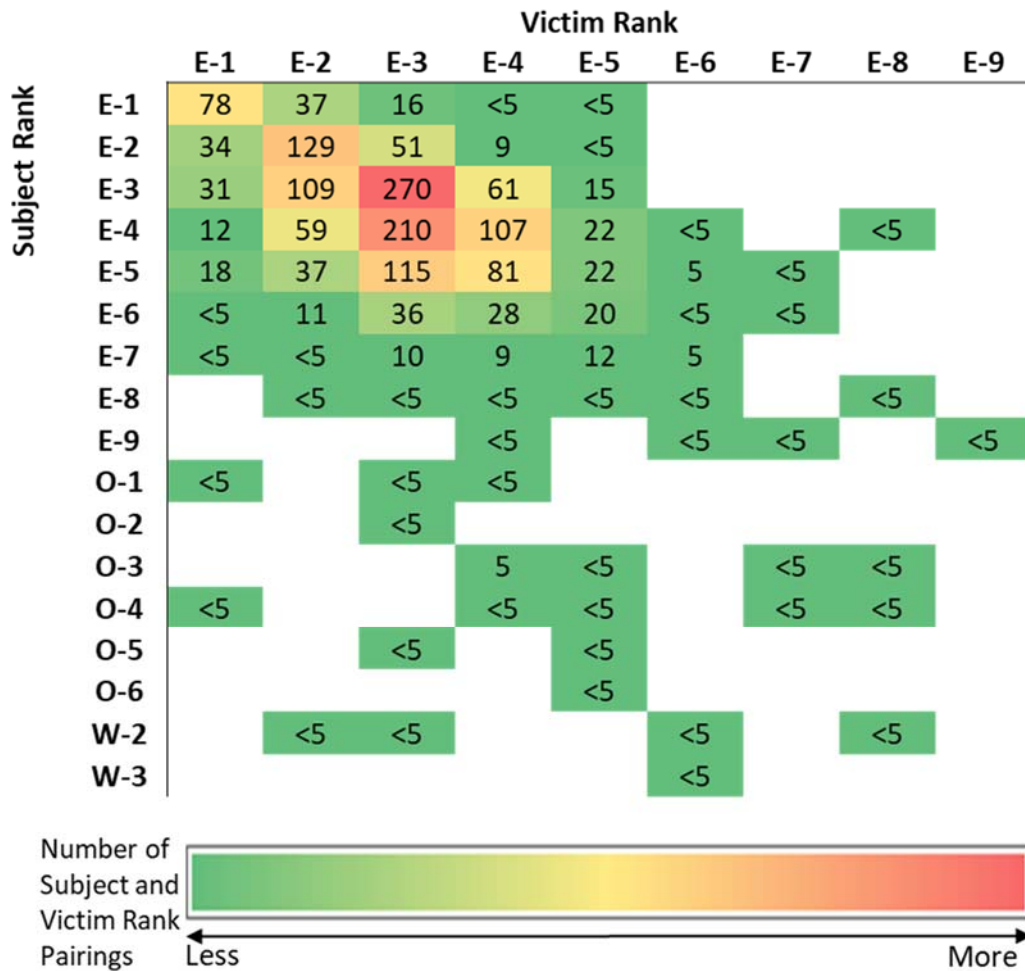


Ranks and Relationship between Victim and Subject

Since FY14, 97.7% of all victims reporting in-Service sexual assaults were enlisted; the remaining 2.3% of victims were commissioned officers or chief warrant officers. Lance Corporals (E-3) continue to be the most frequent victim rank to report a sexual assault to the Marine Corps. In FY19, 35.5% of all in-Service reports involved an E-3 victim, down slightly from 38.2% in FY18. Lance Corporals comprise approximately 23% of active duty Marines, making it the most populous rank in the Marine Corps. The percentage of reports with Lance Corporal victims is disproportionate to the composition of the Marine Corps. Sexual assault is a grossly underreported crime; we cannot determine if Lance Corporals are more likely to be sexually assaulted or more likely to report.

Analysis of in-Service report data over time suggests that Service Member victims and subjects are often peers or near-peers (no more than one rank higher or lower). Service Members indicated that the subject was an acquaintance (29.5%), coworker (15.5%), or friend (11.2%). It is worth noting that subject rank data are limited to Unrestricted Reports as the Marine Corps only collects detailed subject information for individuals titled in a law enforcement investigation. HQMC SAPR continues to develop and offer additional resources to better equip leaders at all levels to discuss issues such as consent, boundaries, and healthy relationships with their Marines. The heat map in Figure 6 illustrates the relationship between victim and subject rank, aggregated from FY14-FY19.

Figure 5. Most Service Member Victims and Subjects are Peers or Near-Peers (FY14-FY19 Aggregated)



Incident Details

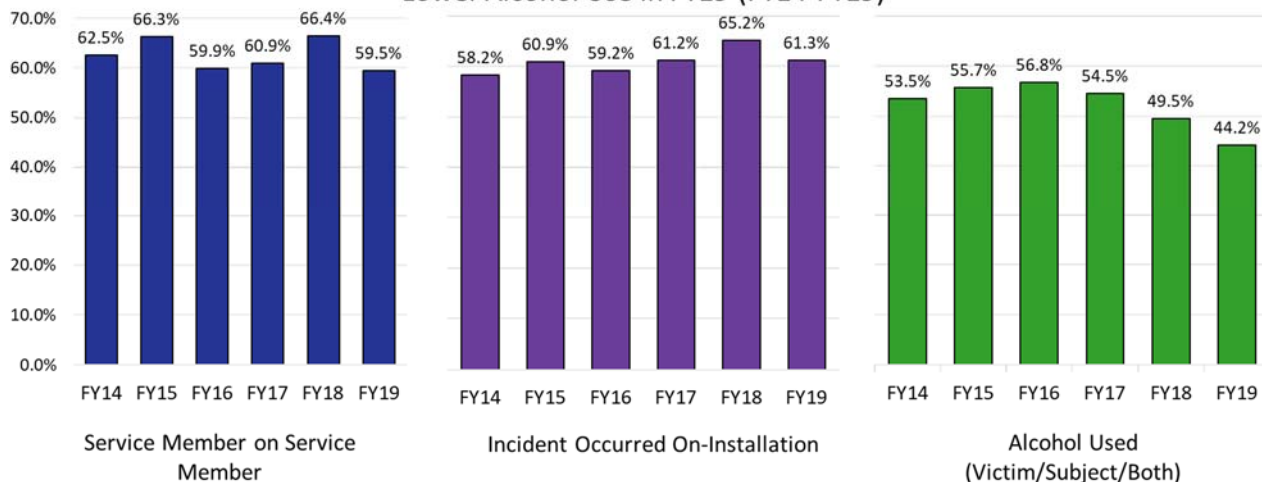
In FY19, 59.5% of all in-Service reports were Service member-on-Service member incidents. As Figure 7 illustrates, this is similar to FY16 and FY17 in which 59.9% and 60.9% were Service member-on-Service member incidents. It does not appear that the higher percentage of Service member-on-Service member reports in FY18 was a meaningful increase. As with rank data, subject demographic data are limited to Unrestricted Reports for individuals subject-titled in a law enforcement investigation.

61.3% of the in-Service incidents reported in FY19 occurred on a military installation or ship, slightly lower than 65.2% in FY18. As with Service member-on-Service member incidents, it is impossible to tell if the small increase in FY18 from previous years was meaningful. Figure 7 provides additional information.

Alcohol involvement is indicated by a single, self-report item in DSAID. A yes for this data point signals that alcohol was used by the subject, victim, or both. It cannot reveal who was drinking or under what circumstances. It cannot indicate intoxication or alcohol

misuse on the part of the victim or subject. Nonetheless, as Figure 7 illustrates, alcohol involvement appears to be decreasing steadily from 56.8% in FY16 to 44.2% in FY19.

Figure 6. Little Change in Service Member on Service Member and On-Installation Incidents, Lower Alcohol Use in FY19 (FY14-FY19)



NON-MILITARY VICTIMS

Summary of Reports with Non-Military Victims

The Marine Corps received 137 reports of sexual assault involving non-military victims. HQMC SAPR collects data on these reports in order to capture supportive services offered to SAPR-eligible civilians (e.g., spouses of Service Members or DoD civilians overseas) or to collect data on a subject affiliated with the Marine Corps. These reports represent a relatively small portion of the overall data and have not demonstrably changed since FY14.

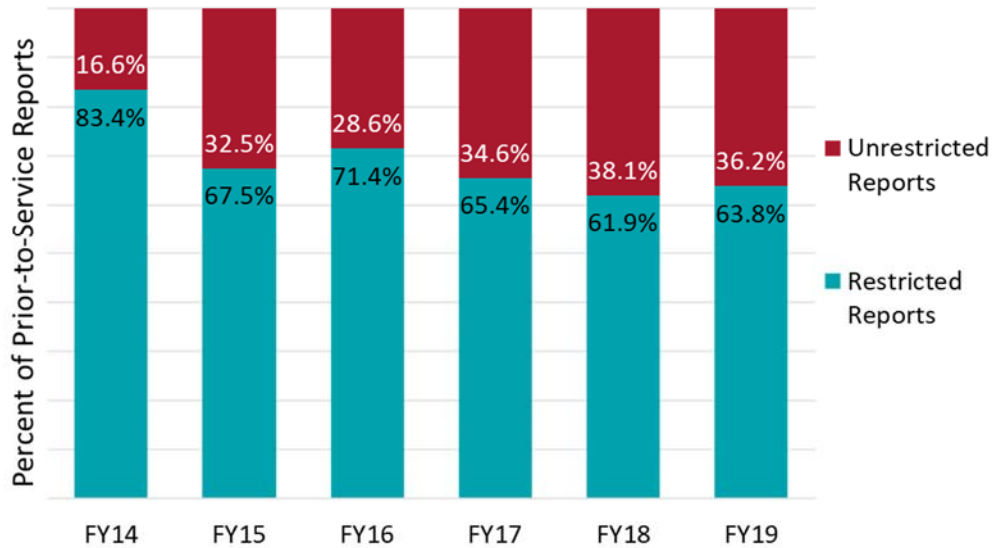
MILITARY VICTIMS REPORTING PRIOR-TO-SERVICE INCIDENTS

Prior-to-Service Incidents

The Marine Corps encourages Service Members to report incidents of sexual assault at any time, regardless of when the incident occurred. Of the 1149 reports filed in FY19, 199 (17.3%) were for incidents that occurred prior to the individual entering military Service. This is similar to 18.3% in FY18.

Most reports of prior-to-Service incidents were filed via the Restricted Report option (63.8% in FY19, similar to 61.9% in FY18). Many Marines who reported a prior-to-Service sexual assault via the Restricted Reporting option indicated reasons for choosing a Restricted Report such as a desire to avoid retelling their story or that the civilian legal process has already concluded. In this way, Restricted Reports are likely an indicator of help-seeking behavior. For many Marines, this may be the first time they have had access to supportive services since they experienced a sexual assault. Figure 7 shows prior-to-Service incidents by report type over time.

Figure 7. Most Prior-to-Service Incidents are Reported Via Restricted Reporting (FY14-FY19)

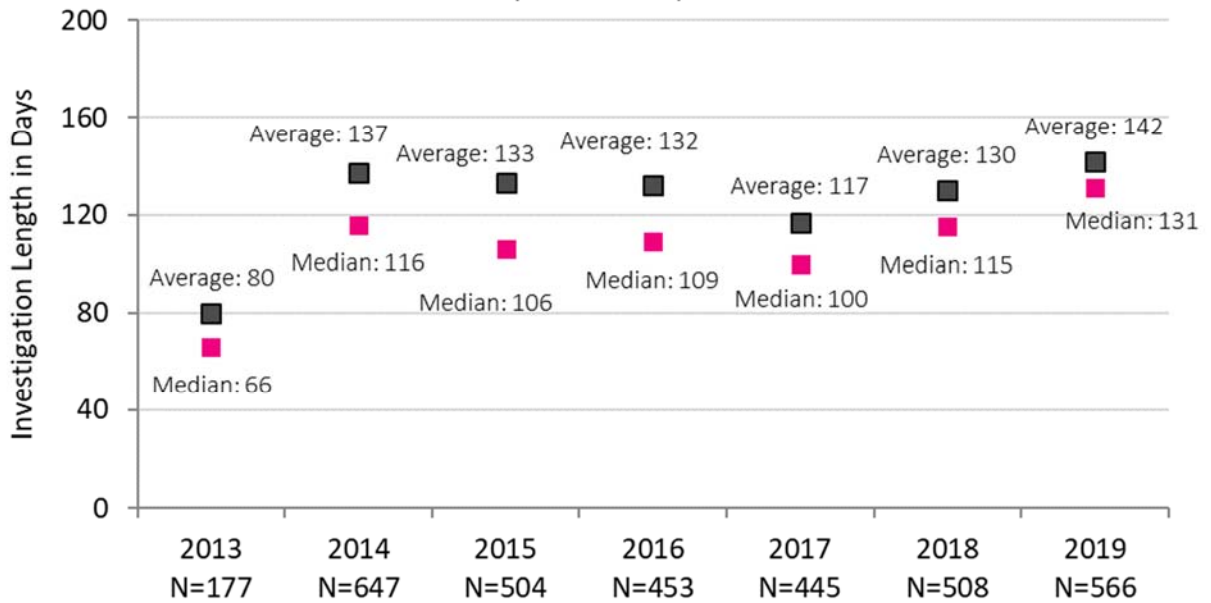


CRIMINAL INVESTIGATIONS AND DISPOSITIONS

Investigations (Non-Metric #6)

NCIS completed 566 investigations for Unrestricted Reports of Sexual Assault in FY19. These reports may have been filed in FY19 or in a previous year. The median length of an NCIS investigation was 131 days, somewhat higher than in previous years. Figure 8 shows median and average investigation length for NCIS investigations from FY13-FY19.

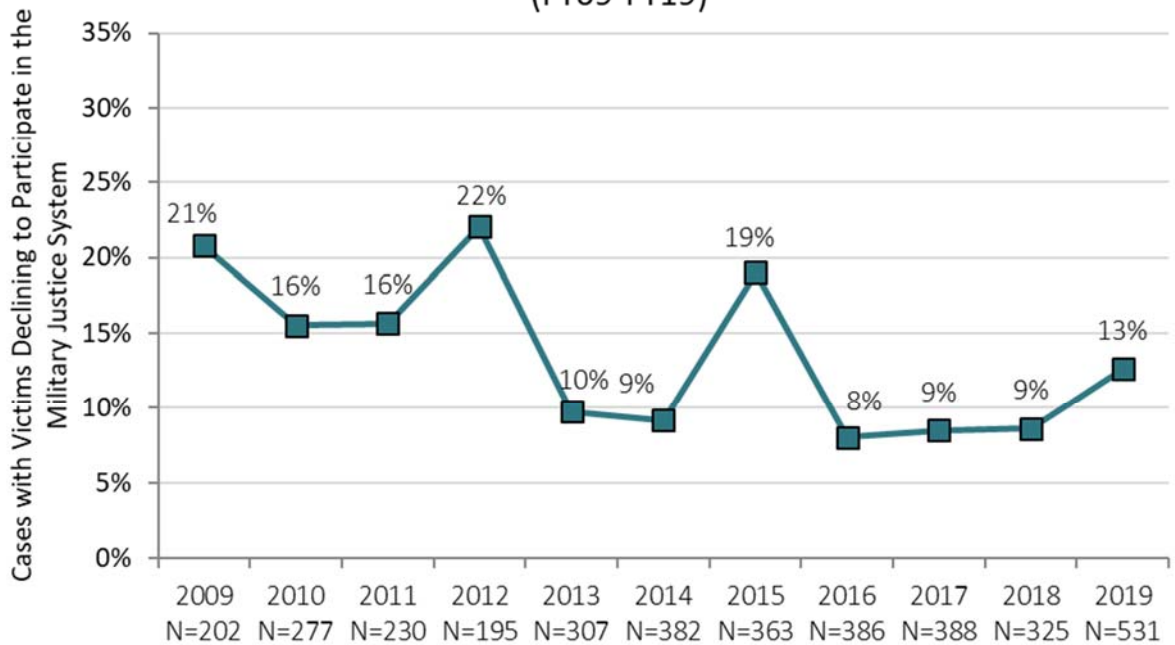
Figure 8. Non-Metric 6: Investigation Length
(FY13-FY19)



Victims Declining to Participate in Military Justice Process (Metric #7)

The Marine Corps documents a victim’s willingness to participate in the military justice process using the standard Victim’s Preference Letter (VPL), contained in Appendix A-1-q of the JAGMAN. Specifically, Appendix A-1-q informs the victim of the opportunity to express his or her willingness to participate in investigative and legal proceedings, to include providing testimony, under oath, at a court-martial. In FY19, 47 victims declined to participate in the military judicial action. Command action was precluded in all 47 of those cases. Figure 9 displays the percentage of cases with victims declining to participate in the military justice process from FY09-FY19 (Metric #7).

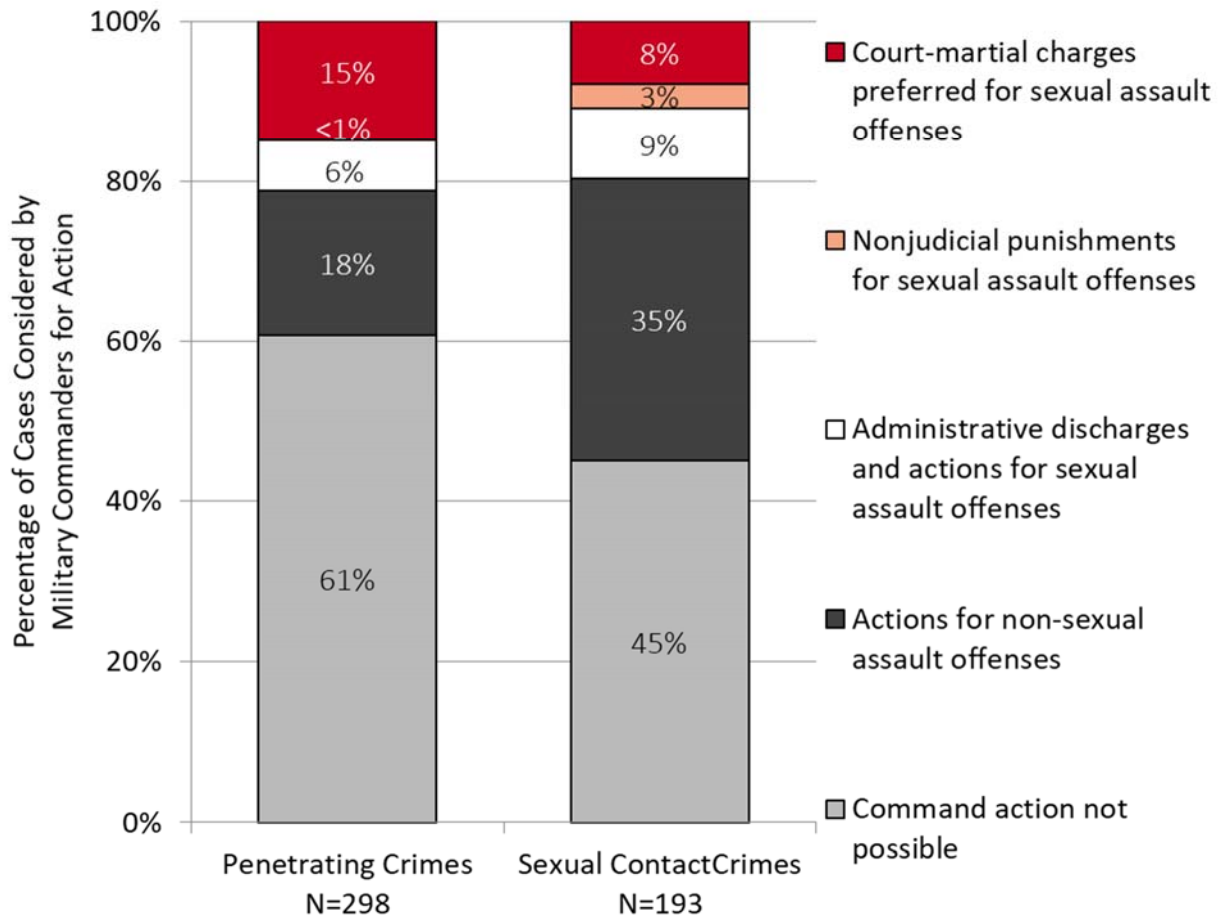
Figure 9. Metric 7: Subjects with Victims Declining to Participate in the Military Justice Process (FY09-FY19)



Command Actions for Military Subjects (Non-Metric #1)

Law enforcement completed 642 sexual assault investigations were completed in FY19, which could have been reported in FY19 or in a previous year. Of these, there was sufficient evidence to support command action 239 cases. Sexual assault charges were substantiated in 112 of those cases, resulting in 67 court-martial preferrals, 6 NJPs, 26 administrative discharges, and 13 other adverse administrative actions. 43 of those 67 preferrals proceeded to trial. Figure 10 shows command actions taken for military subjects from FY09-FY19 (Non-Metric #1).

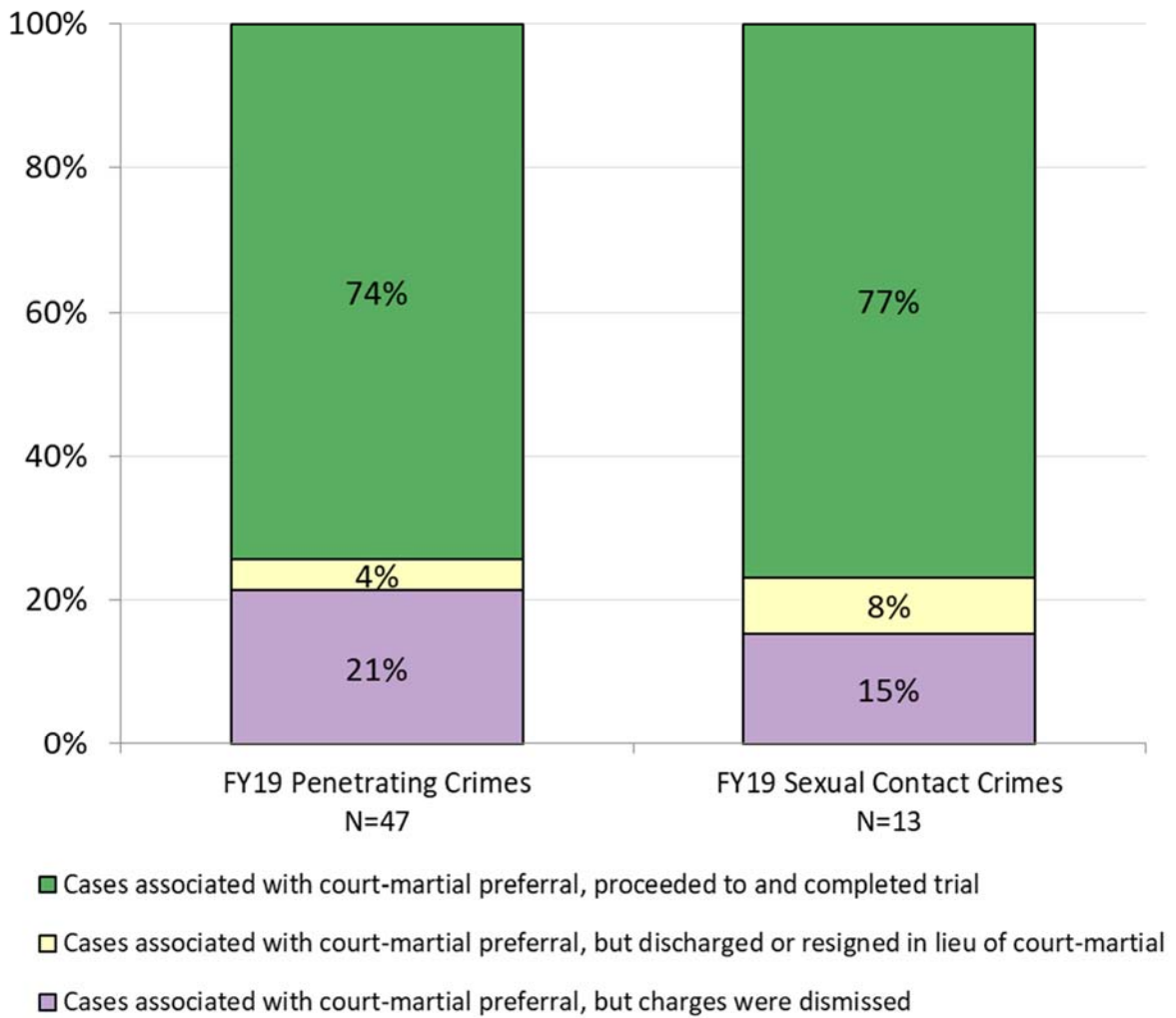
Figure 10. Non-Metric 1: FY19 Completed Command Actions for Penetrating and Sexual Contact Crimes Investigated



Courts-Martial Outcomes (Non-Metric #2)

In FY19, 43 cases proceeded to trial involving at least one charged sexual assault offense. In 37 of those cases, the subject was convicted of at least one charge (though not necessarily a sexual assault charge). In 6 of those cases, the subject was convicted of all charges. Sentences break down as follows: 28 cases resulted in confinement; 30 cases resulted in reduction in rank; 13 cases resulted in fines/forfeitures; 26 cases resulted in punitive discharge/dismissal; 7 cases resulted in restriction; and 4 cases resulted in hard labor without confinement. 15 subjects convicted of a qualifying sexual assault offense were required to register as a sex offender. Figure 11 depicts court-martial actions by crime charged (penetrating sexual assault or sexual contact crime; Non-Metric #2).

Figure 11. Non-Metric 2: Sexual Assault Court-Martial Actions Completed by Crime Charged (FY19)

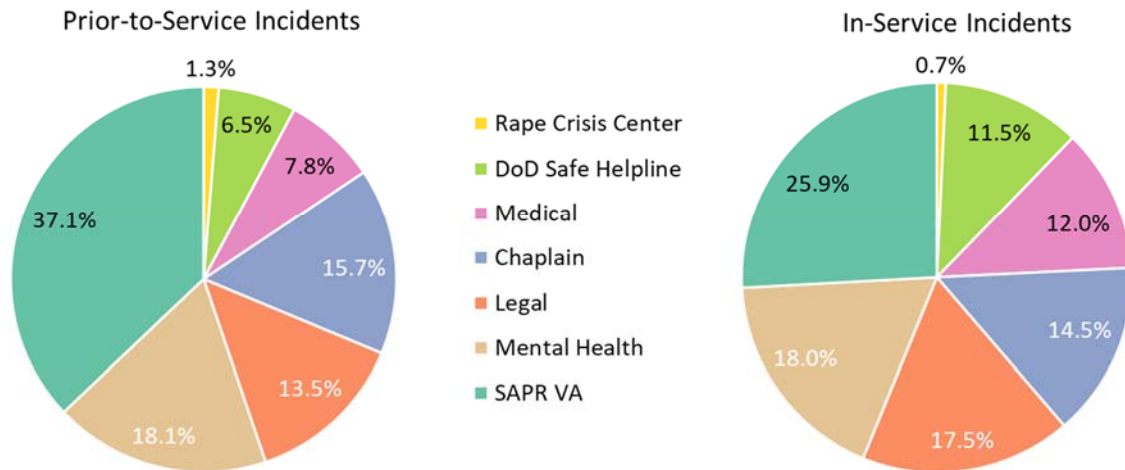


VICTIM SERVICES

Summary of Victim Referrals

Marine Corps SARCs and SAPR VAs offered over 4000 referrals for eligible victims filing Restricted and Unrestricted Reports in FY19, with 28.7 percent of these for SAPR VA services. Because victim choice is the driving force of SAPR services, it is likely that not all of the offered referrals were accepted. Figure 12 below displays the referrals offered to eligible victims in prior-to-Service and in-Service incidents reported in FY19.

Figure 12. SAPR Offered Eligible Victims a Wide Range of Support Services in FY19



Other Services

The Marine Corps received 62 expedited transfer requests from Marines with Unrestricted Reports in FY19, a sizeable decrease from 110 in FY18. These expedited transfer requests could be associated with reports made in FY19 or in a previous year. The majority (56, 90.3%) requested a Permanent Change of Station (PCS), while six (9.7%) requested to be moved to another unit at the same location. HQMC SAPR has made a concerted effort in FY19 to improve leadership understanding of the expedited transfer laws, policies, and orders. This considerable reduction in expedited transfer requests may indicate that Commanders are more comfortable with the process. It is possible that Commanders are using alternate means to support victims' individual recovery process, such as internal moves within the unit or moving the subject instead of the victim.

Of the 62 requests, 90.3 percent were approved, either by the victim's immediate Commander or after a General Officer review, with six (9.7%) requests disapproved. This also includes three expedited transfer requests in support of a SAPR-eligible dependent victim, all of which were approved and executed. Reasons for disapproving an expedited transfer request included the victim is a subject in a separate criminal investigation; no credible report determination of a sexual assault; victim is pending UCMJ action; the alleged offender was moved instead; the victim was relocated via a different process.

8.2 Complete the following table with your numbers as of the end of the fiscal year.

Use the job/duty descriptions provided and the following inclusion criteria:

- Include all Reserve and Active Duty military personnel. Army and Air Force do not need to include their respective National Guard component information as it will be included in the National Guard Bureau's response.
- Include civilian and contractor personnel, as applicable
- Only include filled positions
- Indicate the number of full-time and part-time personnel

- Provide the exact number of current personnel, whenever possible. If the number is an estimate, please indicate how the estimate was reached and any other relevant information.

(DoDI 6495.02, "Sexual Assault Prevention and Response (SAPR) Program Procedures," (May 24, 2017), Encl 2, para 6ac)

| Job/Duty Title | Description of Job/Duty | Full-Time | Part-Time |
|--|--|-----------|-----------|
| Program Managers | Capability in developing policy, or program management and execution; and completion of 40+ hours of Military Service-specific National Advocate Credentialing Program and approved SARC training. | 2 | |
| Dedicated Headquarters-Level Professionals | Include policy, advocacy, and prevention professionals who support the headquarters-level SAPR program offices at each Military Service/National Guard Bureau (<i>not including program managers, who are counted in their own category</i>). | 14 | |
| Uniformed SARCs | Serve as the single point of contact at an installation or within a geographic area to oversee sexual assault awareness, prevention, and response training; coordinate medical treatment, including emergency care, for victims of sexual assault; and track the services provided to victims from the initial report through final disposition and resolution. Certified under the nationally-accredited DoD Sexual Assault Advocate Certification Program (D-SAACP). | | 36 |
| Civilian SARCs | See above. | 55 | 2 |
| Uniformed SAPR-VAs | Provide non-clinical crisis intervention, referral, and ongoing non-clinical support to adult sexual assault victims; offer information on available options/resources to victims; coordinate liaison assistance with other organizations and agencies on victim care matters; and report directly to the SARC. Certified under the nationally-accredited D-SAACP. | | 1,703 |
| Civilian SAPR-VAs | See above. | 15 | |
| Sexual Assault-Specific Legal | Legal personnel who specialize in sexual assault cases including prosecutors, Victim Witness Assistance Program personnel, paralegals, legal experts, and Special Victims' Counsel/Victims' Legal Counsel. | 91 | 21 |

| | | | |
|---|--|------------|--|
| Sexual Assault – Specific Investigators | Military Criminal Investigation Office investigators who specialize in sexual assault cases. | | |
| <p>NCIS recognizes that what used to be considered advanced sexual assault training was in fact a level of training required by all NCIS and as a result we no longer have an organizational structure that supports dedicated investigators that only work sexual assaults. Overall, NCIS has 540 general crimes investigators, of which 150 are in family and sexual assault specific billets designating that a large percentage (not all) of their cases are sexual assault related. Additionally, across all criminal investigators (Counter Intelligence, Fraud, Polygraph, Protective Service, General Crimes, Cyber) NCIS has trained 823 special agents via the NCIS Advanced Adult Sexual Assault Training Course, which meets the requirement as directed in DoDI 5505.18, Investigation of Adult Sexual Assault within the Department of Defense.</p> | | | |
| Sexual Assault Medical Forensic Examiners | Medical providers that have completed the DoD course at Fort Sam Houston, or equivalent. | 210 | |

Unrestricted Reports

| MARINE CORPS FY19 UNRESTRICTED REPORTS OF SEXUAL ASSAULTS IN THE MILITARY | | |
|--|--------------------|--|
| <p>A. FY19 REPORTS OF SEXUAL ASSAULT (rape, sexual assault, aggravated sexual contact, abusive sexual contact, forcible sodomy, and attempts to commit these offenses) BY or AGAINST Service Members. Note: The data on this page is raw, uninvestigated information about allegations received during FY19. These Reports may not be fully investigated by the end of the fiscal year. This data is drawn from Defense Sexual Assault Database (DSAID) based on Service affiliation of the Sexual Assault Response Coordinator (SARC) who currently manages the Victim case.</p> | FY19 Totals | |
| # FY19 Unrestricted Reports (one Victim per report) | 729 | |
| # Service Member Victims | 602 | |
| # Non-Service Member Victims in allegations against Service Member Subject | 127 | |
| # Relevant Data Not Available | 0 | |
| # Unrestricted Reports in the following categories | 729 | |
| # Service Member on Service Member | 293 | |
| # Service Member on Non-Service Member | 127 | |
| # Non-Service Member on Service Member | 30 | |
| # Unidentified Subject on Service Member | 74 | |
| # Relevant Data Not Available | 205 | |
| # Unrestricted Reports of sexual assault occurring | 729 | |
| # On military installation | 422 | |
| # Off military installation | 239 | |
| # Unidentified location | 68 | |
| # Victim in Unrestricted Reports Referred for Investigation | 729 | |
| # Victims in investigations initiated during FY19 | 696 | |
| # Victims with Investigations pending completion at end of 30-SEP-2019 | 237 | |
| # Victims with Completed Investigations at end of 30-SEP-2019 | 459 | |
| # Victims with Investigative Data Forthcoming | 0 | |
| # Victims where investigation could not be opened by DoD or Civilian Law Enforcement | 33 | |
| # Victims - Alleged perpetrator not subject to the UCMJ | 5 | |
| # Victims - Crime was beyond statute of limitations | 0 | |
| # Victims - Unrestricted Reports for Matters Occurring Prior to Military Service | 16 | |
| # Victims - Other | 12 | |
| # All Restricted Reports received in FY19 (one Victim per report) | 494 | |
| # Converted from Restricted Report to Unrestricted Report* (report made this year and converted this year) | 90 | |
| # Restricted Reports Remaining Restricted at end of FY19 | 404 | |
| B. DETAILS OF UNRESTRICTED REPORTS FOR FY19 | FY19 Totals | FY19 Totals for Service Member Victim Cases |
| Length of time between sexual assault and Unrestricted Report | 729 | 602 |
| # Reports made within 3 days of sexual assault | 261 | 214 |
| # Reports made within 4 to 10 days after sexual assault | 84 | 59 |
| # Reports made within 11 to 30 days after sexual assault | 55 | 39 |
| # Reports made within 31 to 365 days after sexual assault | 166 | 144 |
| # Reports made longer than 365 days after sexual assault | 122 | 105 |
| # Relevant Data Not Available | 41 | 41 |
| Time of sexual assault | 729 | 602 |
| # Midnight to 6 am | 169 | 133 |
| # 6 am to 6 pm | 162 | 143 |
| # 6 pm to midnight | 250 | 196 |
| # Unknown | 77 | 66 |
| # Relevant Data Not Available | 71 | 64 |
| Day of sexual assault | 729 | 602 |
| # Sunday | 110 | 92 |
| # Monday | 88 | 77 |
| # Tuesday | 63 | 53 |
| # Wednesday | 70 | 59 |
| # Thursday | 79 | 65 |
| # Friday | 132 | 106 |
| # Saturday | 146 | 109 |
| # Relevant Data Not Available | 41 | 41 |

Unrestricted Reports (continued)

| C. UNRESTRICTED REPORTS OF SEXUAL ASSAULTS BY OR AGAINST SERVICE MEMBERS (VICTIM AND SUBJECT GENDER) | Male on Female | Male on Male | Female on Male | Female on Female | Unknown on Male | Unknown on Female | Multiple Mixed Gender Assault | Relevant Data Not Available | FY19 Totals | | |
|--|---|---|---|----------------------------|--------------------------------------|----------------------------------|--|--|---------------------------------------|---------------------------------|-------------|
| | 355 | 67 | 9 | 8 | 21 | 56 | 1 | 212 | 729 | | |
| # Service Member on Service Member | 216 | 52 | 7 | 8 | 2 | 8 | 0 | 0 | 293 | | |
| # Service Member on Non-Service Member | 120 | 1 | 0 | 0 | 0 | 5 | 1 | 0 | 127 | | |
| # Non-Service Member on Service Member | 16 | 10 | 1 | 0 | 0 | 1 | 0 | 2 | 30 | | |
| # Unidentified Subject on Service Member | 3 | 4 | 1 | 0 | 19 | 42 | 0 | 5 | 74 | | |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 205 | 205 | | |
| FY19 UNRESTRICTED REPORTS OF SEXUAL ASSAULT BY MATTER INVESTIGATED TYPE (May not reflect what crimes can be charged upon completion of investigation) | | | | | | | | | | | |
| UNRESTRICTED REPORTS MADE IN FY19 | Penetrating Offenses | | | | Contact Offenses | | | | | | |
| D. UNRESTRICTED REPORTS OF SEXUAL ASSAULTS BY OR AGAINST SERVICE MEMBERS (MOST SERIOUS CRIME ALLEGED, AS CATEGORIZED BY THE MILITARY CRIMINAL INVESTIGATIVE ORGANIZATION) | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 134) (Pre-FY08) | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | FY19 Totals |
| D1. | 122 | 0 | 229 | 4 | 24 | 212 | 2 | 0 | 14 | 122 | 729 |
| # Service Member on Service Member | 34 | 0 | 104 | 0 | 9 | 137 | 0 | 0 | 7 | 2 | 293 |
| # Service Member on Non-Service Member | 42 | 0 | 43 | 0 | 4 | 38 | 0 | 0 | 0 | 0 | 127 |
| # Non-Service Member on Service Member | 9 | 0 | 7 | 1 | 5 | 3 | 0 | 0 | 0 | 5 | 30 |
| # Unidentified Subject on Service Member | 15 | 0 | 20 | 2 | 1 | 21 | 2 | 0 | 7 | 6 | 74 |
| # Relevant Data Not Available | 22 | 0 | 55 | 1 | 5 | 13 | 0 | 0 | 0 | 109 | 205 |
| D2. | TOTAL Service Member Victims in FY19 Reports | | | | | | | | | | |
| | 80 | 0 | 186 | 4 | 20 | 174 | 2 | 0 | 14 | 122 | 602 |
| # Service Member Victims: Female | 60 | 0 | 153 | 0 | 11 | 128 | 2 | 0 | 8 | 71 | 433 |
| # Service Member Victims: Male | 20 | 0 | 33 | 4 | 9 | 46 | 0 | 0 | 6 | 51 | 169 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TIME OF INCIDENT BY OFFENSE TYPE FOR UNRESTRICTED REPORTS OF SEXUAL ASSAULT MADE IN FY19 | | | | | | | | | | | |
| D3. Time of sexual assault | 122 | 0 | 229 | 4 | 24 | 212 | 2 | 0 | 14 | 122 | 729 |
| # Midnight to 6 am | 37 | 0 | 65 | 1 | 3 | 43 | 0 | 0 | 4 | 16 | 169 |
| # 6 am to 6 pm | 20 | 0 | 42 | 0 | 13 | 68 | 2 | 0 | 2 | 15 | 162 |
| # 6 pm to midnight | 41 | 0 | 94 | 3 | 4 | 81 | 0 | 0 | 6 | 21 | 250 |
| # Unknown | 18 | 0 | 17 | 0 | 1 | 9 | 0 | 0 | 1 | 31 | 77 |
| # Relevant Data Not Available | 6 | 0 | 11 | 0 | 3 | 11 | 0 | 0 | 1 | 39 | 71 |
| D4. Day of sexual assault | 122 | 0 | 229 | 4 | 24 | 212 | 2 | 0 | 14 | 122 | 729 |
| # Sunday | 19 | 0 | 38 | 2 | 3 | 32 | 0 | 0 | 6 | 10 | 110 |
| # Monday | 14 | 0 | 21 | 0 | 1 | 29 | 1 | 0 | 0 | 22 | 88 |
| # Tuesday | 7 | 0 | 22 | 0 | 2 | 19 | 1 | 0 | 3 | 9 | 63 |
| # Wednesday | 13 | 0 | 26 | 1 | 2 | 23 | 0 | 0 | 0 | 5 | 70 |
| # Thursday | 15 | 0 | 31 | 1 | 2 | 20 | 0 | 0 | 2 | 8 | 79 |
| # Friday | 24 | 0 | 41 | 0 | 8 | 45 | 0 | 0 | 2 | 12 | 132 |
| # Saturday | 29 | 0 | 50 | 0 | 6 | 44 | 0 | 0 | 1 | 16 | 146 |
| # Relevant Data Not Available | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 40 | 41 |

| E. SUMMARY OF UNRESTRICTED REPORTS WITH INVESTIGATIONS | FY19 Totals |
|--|--------------------|
| E1. Subjects in Unrestricted Reports Made to Your Service with Investigation Initiated During FY19 | |
| Note: This data is drawn from DSAID based on Service affiliation of the SARC who currently manages the Victim case associated with the investigation and Subject below. | |
| # Investigations Initiated during FY19 | 658 |
| # Investigations Completed as of FY19 End (group by MCIO #) | 386 |
| # Investigations Pending Completion as of FY19 End (group by MCIO #) | 272 |
| # Subjects in investigations Initiated During FY19 | 560 |
| # Service Member Subjects investigated by CID | 3 |
| # Your Service Member Subjects investigated by CID | 3 |
| # Other Service Member Subjects investigated by CID | 0 |
| # Service Member Subjects investigated by NCIS | 411 |
| # Your Service Member Subjects investigated by NCIS | 381 |
| # Other Service Member Subjects investigated by NCIS | 30 |
| # Service Member Subjects investigated by AFOSI | 0 |
| # Your Service Member Subjects investigated by AFOSI | 0 |
| # Other Service Member Subjects investigated by AFOSI | 0 |
| # Non-Service Member Subjects in Service Investigations | 18 |
| Note: Non-Service Member Subjects are drawn from all CID, NCIS and AFOSI investigations involving a Victim supported by your Service. | |
| # Unidentified Subjects in Service Investigations | 106 |
| Note: Unidentified Subjects are drawn from all CID, NCIS and AFOSI investigations involving a Victim supported by your Service. | |
| # Service Member Subjects investigated by Civilian or Foreign Law Enforcement | 9 |
| Note: Service Member Subjects are drawn from Civilian or Foreign Law Enforcement investigations involving a Victim supported by your Service. | |
| # Your Service Member Subjects investigated by Civilian or Foreign Law Enforcement | 9 |
| # Other Service Member Subjects investigated by Civilian or Foreign Law Enforcement | 0 |
| # Non-Service Member Subjects in Civilian or Foreign Law Enforcement Investigations involving a Victim supported by your Service | 8 |
| # Unidentified Subjects in Civilian or Foreign Law Enforcement Investigations involving a Victim supported by your Service | 4 |
| # Subject or Investigation Relevant Data Not Available | 1 |
| E2. Service Investigations Completed during FY19 | |
| Note: The following data is drawn from DSAID and describes criminal investigations completed during the FY19. These investigations may have been initiated during the FY19 or any prior FY. | |
| # Total Investigations completed by Services during FY19 (Group by MCIO Case Number) | 647 |
| # Of these investigations with more than one Victim | 30 |
| # Of these investigations with more than one Subject | 53 |
| # Of these investigations with more than one Victim and more than one Subject | 5 |
| # Subjects in investigations completed during FY19 involving a Victim supported by your Service | 616 |
| # Service Member Subjects investigated by CID | 3 |
| # Your Service Member Subjects investigated by CID | 3 |
| # Other Service Member Subjects investigated by CID | 0 |
| # Service Member Subjects investigated by NCIS | 496 |
| # Your Service Member Subjects investigated by NCIS | 461 |
| # Other Service Member Subjects investigated by NCIS | 35 |
| # Service Member Subjects investigated by AFOSI | 0 |
| # Your Service Member Subjects investigated by AFOSI | 0 |
| # Other Service Member Subjects investigated by AFOSI | 0 |
| # Non-Service Member Subjects in completed Service Investigations involving a Victim supported by your Service | 19 |
| # Unidentified Subjects in completed Service Investigations involving a Victim supported by your Service | 91 |
| # Subject Relevant Data Not Available | 7 |
| # Victims in investigations completed during FY19, supported by your Service | 690 |
| # Service Member Victims in CID investigations | 6 |
| # Your Service Member Victims in CID investigations | 6 |
| # Other Service Member Victims in CID investigations | 0 |
| # Service Member Victims in NCIS investigations | 525 |
| # Your Service Member Victims in NCIS investigations | 497 |
| # Other Service Member Victims in NCIS investigations | 28 |
| # Service Member Victims in AFOSI investigations | 0 |
| # Your Service Member Victims in AFOSI investigations | 0 |
| # Other Service Member Victims in AFOSI investigations | 0 |
| # Non-Service Member Victims in completed Service Investigations, supported by your Service | 158 |
| # Victim Relevant Data Not Available | 1 |

| | |
|---|-----------|
| E3. Subjects and Victims in Investigations Completed by US Civilian and Foreign Agencies during FY19 | |
| Note: This data is entered by your Service SARC for cases supported by your Service. | |
| # Total Investigations completed by US Civilian and Foreign Law Enforcement during FY19 (Group by MCIO Case Number) | 14 |
| # Of these investigations with more than one Victim | 0 |
| # Of these investigations with more than one Subject | 2 |
| # Of these investigations with more than one Victim and more than one Subject | 0 |
| # Subjects in investigations completed during FY19 involving a Victim supported by your Service | 17 |
| # Service Member Subjects investigated by Civilian and Foreign Law Enforcement | 9 |
| # Your Service Member Subjects investigated by Civilian and Foreign Law Enforcement | 9 |
| # Other Service Member Subjects investigated by Civilian and Foreign Law Enforcement | 0 |
| # Non-Service Member Subjects in Civilian and Foreign Law Enforcement Investigations involving a Victim supported by your Service | 5 |
| # Unidentified Subjects in Civilian and Foreign Law Enforcement Investigations involving a Victim supported by your Service | 3 |
| # Subject Relevant Data Not Available | 0 |
| # Victims in investigations completed during FY19, supported by your Service | 14 |
| # Service Member Victims in Civilian and Foreign Law Enforcement investigations | 13 |
| # Your Service Member Victims in Civilian and Foreign Law Enforcement investigations | 13 |
| # Other Service Member Victims in Civilian and Foreign Law Enforcement investigations | 0 |
| # Non-Service Member Victims in Civilian and Foreign Law Enforcement Investigations in a case supported by your Service | 1 |
| # Victim Relevant Data Not Available | 0 |
| E4. Subjects and Victims in Investigations Completed by Military Police/Security Forces/Master At Arms/Marine Corps CID (MPs) during FY19 (all organizations regardless of name are abbreviated below as "MPs") | |
| Note: This data is entered by your Service SARC for cases supported by your Service. | |
| Note: As of 1 Jan 2013, all sexual assault investigations are referred to MCIO for investigation. This section captures remaining Subjects from investigations opened in prior years by Military Police/Security Forces/Master At Arms/Marine Corps CID. | |
| # Total Investigations completed by MPs during FY19 (Group by MCIO Case Number) | 0 |
| # Of these investigations with more than one Victim | 0 |
| # Of these investigations with more than one Subject | 0 |
| # Of these investigations with more than one Victim and more than one Subject | 0 |
| # Subjects in MP investigations completed during FY19 involving a Victim supported by your Service | 0 |
| # Service Member Subjects investigated by MPs | 0 |
| # Your Service Member Subjects investigated by MPs | 0 |
| # Other Service Member Subjects investigated by MPs | 0 |
| # Non-Service Member Subjects in MPs involving a Victim supported by your Service | 0 |
| # Unidentified Subjects in MPs involving a Victim supported by your Service | 0 |
| # Subject Relevant Data Not Available | 0 |
| # Victims in MP investigations completed during FY19, supported by your Service | 0 |
| # Service Member Victims in MP investigations | 0 |
| # Your Service Member Victims in MP investigations | 0 |
| # Other Service Member Victims in MP investigations | 0 |
| # Non-Service Member Victims in MP Investigations, supported by your Service | 0 |
| # Victim Relevant Data Not Available | 0 |

| Victims in Investigation Completed in FY19 | Victim Data From Investigations completed during FY19 | | | | | | | | | | FY19 Totals |
|--|---|---|---|----------------------------|--------------------------------------|----------------------------------|--|--|---------------------------------------|---------------------------------|-------------|
| | Penetrating Offenses | | | | Contact Offenses | | | | | | |
| F. DEMOGRAPHICS ON VICTIMS IN INVESTIGATIONS COMPLETED IN FY19 (Investigation Completed within the reporting period. These investigations may have been opened in current or prior Fiscal Years) | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 134) (Pre-FY08) | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | |
| F1. Gender of Victims | 135 | 0 | 237 | 3 | 30 | 225 | 1 | 0 | 17 | 56 | 704 |
| # Male | 16 | 0 | 21 | 3 | 8 | 49 | 0 | 0 | 4 | 24 | 125 |
| # Female | 119 | 0 | 216 | 0 | 21 | 176 | 1 | 0 | 13 | 32 | 578 |
| # Unknown | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 |
| F2. Age of Victims | 135 | 0 | 237 | 3 | 30 | 225 | 1 | 0 | 17 | 56 | 704 |
| # 0-15 | 3 | 0 | 10 | 0 | 0 | 1 | 0 | 0 | 0 | 3 | 17 |
| # 16-19 | 43 | 0 | 71 | 2 | 13 | 67 | 0 | 0 | 2 | 10 | 208 |
| # 20-24 | 56 | 0 | 110 | 1 | 10 | 97 | 0 | 0 | 10 | 11 | 295 |
| # 25-34 | 14 | 0 | 22 | 0 | 2 | 33 | 1 | 0 | 3 | 4 | 79 |
| # 35-49 | 0 | 0 | 3 | 0 | 3 | 6 | 0 | 0 | 0 | 1 | 13 |
| # 50-64 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 65 and older | 13 | 0 | 17 | 0 | 1 | 16 | 0 | 0 | 2 | 5 | 54 |
| # Unknown | 6 | 0 | 4 | 0 | 1 | 5 | 0 | 0 | 0 | 22 | 38 |
| F3. Victim Type | 135 | 0 | 237 | 3 | 30 | 225 | 1 | 0 | 17 | 56 | 704 |
| # Service Member | 90 | 0 | 181 | 3 | 24 | 174 | 1 | 0 | 16 | 55 | 544 |
| # DoD Civilian | 0 | 0 | 2 | 0 | 1 | 6 | 0 | 0 | 0 | 0 | 9 |
| # DoD Contractor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Other US Government Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # US Civilian | 44 | 0 | 52 | 0 | 2 | 45 | 0 | 0 | 1 | 0 | 144 |
| # Foreign National | 1 | 0 | 2 | 0 | 2 | 0 | 0 | 0 | 0 | 1 | 6 |
| # Foreign Military | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 |
| F4. Grade of Service Member Victims | 90 | 0 | 181 | 3 | 24 | 174 | 1 | 0 | 16 | 55 | 544 |
| # E1-E4 | 82 | 0 | 162 | 3 | 20 | 153 | 0 | 0 | 16 | 44 | 480 |
| # E5-E9 | 7 | 0 | 15 | 0 | 2 | 18 | 1 | 0 | 0 | 10 | 53 |
| # WO1-WO5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # O1-O3 | 1 | 0 | 4 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 7 |
| # O4-O10 | 0 | 0 | 0 | 0 | 2 | 2 | 0 | 0 | 0 | 0 | 4 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F5. Service of Service Member Victims | 90 | 0 | 181 | 3 | 24 | 174 | 1 | 0 | 16 | 55 | 544 |
| # Army | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Navy | 5 | 0 | 10 | 0 | 1 | 9 | 0 | 0 | 1 | 1 | 27 |
| # Marines | 84 | 0 | 171 | 3 | 23 | 165 | 1 | 0 | 15 | 54 | 516 |
| # Air Force | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Coast Guard | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F6. Status of Service Member Victims | 90 | 0 | 181 | 3 | 24 | 174 | 1 | 0 | 16 | 55 | 544 |
| # Active Duty | 90 | 0 | 179 | 1 | 24 | 169 | 1 | 0 | 16 | 52 | 532 |
| # Reserve (Activated) | 0 | 0 | 2 | 2 | 0 | 5 | 0 | 0 | 0 | 3 | 12 |
| # National Guard (Activated - Title 10) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| G. DEMOGRAPHICS ON SUBJECTS IN INVESTIGATIONS COMPLETED IN FY19 (Investigation Completed within the reporting period. These investigations may have been opened in current or prior Fiscal Years) | Subject Data From Investigations completed during FY19 | | | | | | | | | | FY19 Totals |
|--|--|---|---|----------------------------|--------------------------------------|----------------------------------|--|--|---------------------------------------|---------------------------------|-------------|
| | Penetrating Offenses | | | | Contact Offenses | | | | | | |
| | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 134) (Pre-FY08) | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | |
| G1. Gender of Subjects | 138 | 0 | 224 | 4 | 21 | 214 | 1 | 0 | 20 | 11 | 633 |
| # Male | 122 | 0 | 188 | 2 | 19 | 178 | 0 | 0 | 12 | 9 | 530 |
| # Female | 2 | 0 | 4 | 0 | 0 | 12 | 0 | 0 | 1 | 0 | 19 |
| # Unknown | 13 | 0 | 27 | 2 | 2 | 23 | 1 | 0 | 7 | 0 | 75 |
| # Relevant Data Not Available | 1 | 0 | 5 | 0 | 0 | 1 | 0 | 0 | 0 | 2 | 9 |
| G2. Age of Subjects | 138 | 0 | 224 | 4 | 21 | 214 | 1 | 0 | 20 | 11 | 633 |
| # 0-15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 16-19 | 17 | 0 | 29 | 0 | 4 | 26 | 0 | 0 | 1 | 0 | 77 |
| # 20-24 | 81 | 0 | 111 | 0 | 9 | 93 | 0 | 0 | 9 | 3 | 306 |
| # 25-34 | 15 | 0 | 40 | 0 | 2 | 43 | 0 | 0 | 0 | 3 | 103 |
| # 35-49 | 3 | 0 | 7 | 0 | 2 | 24 | 0 | 0 | 2 | 1 | 39 |
| # 50-64 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 2 |
| # 65 and older | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | 2 |
| # Relevant Data Not Available | 21 | 0 | 37 | 4 | 2 | 26 | 1 | 0 | 8 | 4 | 103 |
| G3. Subject Type | 138 | 0 | 224 | 4 | 21 | 214 | 1 | 0 | 20 | 11 | 633 |
| # Service Member | 111 | 0 | 178 | 0 | 17 | 183 | 0 | 0 | 12 | 7 | 508 |
| # Drill Instructors/Drill Sergeants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Recruiters | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # DoD Civilian | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 |
| # DoD Contractor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Other US Government Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # US Civilian | 6 | 0 | 10 | 1 | 2 | 2 | 0 | 0 | 0 | 1 | 22 |
| # Foreign National | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Foreign Military | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 19 | 0 | 30 | 3 | 2 | 29 | 1 | 0 | 8 | 3 | 95 |
| # Relevant Data Not Available | 1 | 0 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 6 |
| G4. Grade of Service Member Subjects | 111 | 0 | 178 | 0 | 17 | 183 | 0 | 0 | 12 | 7 | 508 |
| # E1-E4 | 93 | 0 | 132 | 0 | 12 | 108 | 0 | 0 | 10 | 3 | 358 |
| # E5-E9 | 16 | 0 | 43 | 0 | 5 | 67 | 0 | 0 | 2 | 3 | 136 |
| # WO1-WO5 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| # O1-O3 | 1 | 0 | 0 | 0 | 0 | 4 | 0 | 0 | 0 | 0 | 5 |
| # O4-O10 | 1 | 0 | 3 | 0 | 0 | 3 | 0 | 0 | 0 | 1 | 8 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G5. Service of Service Member Subjects | 111 | 0 | 178 | 0 | 17 | 183 | 0 | 0 | 12 | 7 | 508 |
| # Army | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Navy | 14 | 0 | 8 | 0 | 0 | 11 | 0 | 0 | 1 | 0 | 34 |
| # Marines | 97 | 0 | 169 | 0 | 17 | 172 | 0 | 0 | 11 | 7 | 473 |
| # Air Force | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Coast Guard | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G6. Status of Service Member Subjects | 111 | 0 | 178 | 0 | 17 | 183 | 0 | 0 | 12 | 7 | 508 |
| # Active Duty | 106 | 0 | 175 | 0 | 17 | 177 | 0 | 0 | 12 | 7 | 494 |
| # Reserve (Activated) | 5 | 0 | 3 | 0 | 0 | 6 | 0 | 0 | 0 | 0 | 14 |
| # National Guard (Activated - Title 10) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Unrestricted Reports (continued)

| H. FINAL DISPOSITIONS FOR SUBJECTS IN COMPLETED FY19 INVESTIGATIONS | FY19 Totals | H1. ASSOCIATED VICTIM DATA FOR COMPLETED FY19 INVESTIGATIONS | FY19 Totals |
|---|-------------|---|-------------|
| # Subjects in Unrestricted Reports that could not be investigated by DoD or Civilian Law Enforcement Note: These Subjects are from Unrestricted Reports referred to MCIOs or other law enforcement for investigation during FY19, but the agency could not open an investigation based on the reasons below. | 4 | | |
| # Subjects - Not subject to the UCMJ | 1 | | |
| # Subjects - Crime was beyond statute of limitations | 0 | | |
| # Subjects - Matter alleged occurred prior to Victim's Military Service | 1 | | |
| # Subjects - Other | 2 | | |
| # Subjects in investigations completed in FY19 Note: These are Subjects from Tab1b, Cells B29, B59, B77. | 737 | # Victims in investigations completed in FY19 | 704 |
| # Service Member Subjects in investigations opened and completed in FY19 | 247 | # Service Member Victims in investigations opened and completed in FY19 | 344 |
| | | | |
| | | | |
| | | | |
| | | | |
| # Total Subjects Outside DoD Prosecutive Authority | 80 | | |
| # Unknown Offenders | 65 | # Service Member Victims in substantiated Unknown Offender Reports | 30 |
| | | # Service Member Victims in remaining Unknown Offender Reports | 9 |
| # US Civilians or Foreign National Subjects not subject to the UCMJ | 12 | # Service Member Victims in substantiated Civilian/Foreign National Subject Reports | 7 |
| | | # Service Member Victims in remaining Civilian/Foreign National Subject Reports | 3 |
| # Service Members Prosecuted by a Civilian or Foreign Authority | 2 | # Service Member Victims in substantiated reports against a Service Member who is being Prosecuted by a Civilian or Foreign Authority | 1 |
| # Subjects who died or deserted | 1 | # Service Member Victims in substantiated reports with a deceased or deserted Subject | 1 |
| | | # Service Member Victims in remaining reports with a deceased or deserted Subject | 0 |
| # Total Command Action Precluded or Declined for Sexual Assault | 170 | | |
| # Service Member Subjects where Victim declined to participate in the military justice action | 48 | # Service Member Victims who declined to participate in the military justice action | 17 |
| # Service Member Subjects whose investigations had insufficient evidence to prosecute | 119 | # Service Member Victims in investigations having insufficient evidence to prosecute | 88 |
| # Service Member Subjects whose cases involved expired statute of limitations | 0 | # Service Member Victims whose cases involved expired statute of limitations | 0 |
| # Service Member Subjects with allegations that were unfounded by Command | 3 | # Service Member Victims whose allegations were unfounded by Command | 3 |
| # Service Member Subjects with Victims who died before completion of military justice action | 0 | # Service Member Victims who died before completion of the military justice action | 0 |
| # Subjects disposition data not yet available | 373 | # Service Member Victims involved in reports with Subject disposition data not yet available | 453 |
| # Subjects for whom Command Action was completed as of 30-SEP-2019 | 108 | | |
| # FY19 Service Member Subjects where evidence supported Command Action | 108 | # FY19 Service Member Victims in cases where evidence supported Command Action | 88 |
| # Service Member Subjects: Courts-Martial charge preferred | 25 | # Service Member Victims involved with Courts-Martial preferrals against Subject | 24 |
| # Service Member Subjects: Nonjudicial punishments (Article 15 UCMJ) | 1 | # Service Member Victims involved with Nonjudicial punishments (Article 15) against Subject | 0 |
| # Service Member Subjects: Administrative discharges | 8 | # Service Member Victims involved with Administrative discharges against Subject | 5 |
| # Service Member Subjects: Other adverse administrative actions | 4 | # Service Member Victims involved with Other administrative actions against Subject | 3 |
| # Service Member Subjects: Courts-Martial charge preferred for non-sexual assault offense | 2 | # Service Member Victims involved with Courts-Martial preferrals for non-sexual assault offenses | 1 |
| # Service Member Subjects: Non-judicial punishment for non-sexual assault offense | 21 | # Service Member Victims involved with Nonjudicial punishment for non-sexual assault offenses | 16 |
| # Service Member Subjects: Administrative discharges for non-sexual assault offense | 21 | # Service Member Victims involved with administrative discharges for non-SA offense | 18 |
| # Service Member Subjects: Other adverse administrative actions for non-sexual assault offense | 26 | # Service Member Victims involved with Other administrative actions for non-SA offense | 21 |
| * Restricted Reports that convert to Unrestricted Reports are counted with the total number of Unrestricted Reports. | | | |

Unrestricted Reports (continued)

| I. COURTS-MARTIAL ADJUDICATIONS AND OUTCOMES (Sexual Assault Charge). This section reports the outcomes of Courts-Martial for sexual assault crimes completed during FY19 | FY19 Totals |
|--|--------------------|
| # Total Subjects with Courts-Martial Charge Preferred for a Sexual Assault Charge Pending Court Completion | 72 |
| # Subjects whose Courts-Martial action was NOT completed by the end of FY19 | 12 |
| # Subjects whose Courts-Martial was completed by the end of FY19 | 60 |
| # Subjects whose Courts-Martial was dismissed | 12 |
| # Subjects in Charges dismissed subsequent to recommendation by Art. 32 hearing officer | 2 |
| # Subjects in Charges dismissed subsequent to recommendation by Art. 32 hearing officer followed by Art. 15 punishment | 1 |
| # Subjects in Charges dismissed subsequent to recommendation by Art. 32 hearing officer followed by Art. 15 acquittal | 0 |
| # Subjects in Charges dismissed for any other reason prior to Courts-Martial | 8 |
| # Subjects in Charges dismissed for any other reason prior to Courts-Martial followed by Art. 15 punishment | 1 |
| # Subjects in Charges dismissed for any other reason prior to Courts-Martial followed by Art. 15 acquittal | 0 |
| # Subjects who resigned or were discharged in lieu of Courts-Martial | 3 |
| # Officer Subjects who were allowed to resign in lieu of Courts-Martial | 0 |
| # Enlisted Subjects who were discharged in lieu of Courts-Martial | 3 |
| # Subjects with Courts-Martial charges proceeding to trial on a sexual assault charge | 45 |
| # Subjects Acquitted of Charges | 7 |
| # Subjects Convicted of Any Charge at Trial | 38 |
| # Subjects with unknown punishment | 0 |
| # Subjects with no punishment | 1 |
| # Subjects with pending punishment | 0 |
| # Subjects with Punishment | 37 |
| # Subjects receiving confinement | 29 |
| # Subjects receiving reductions in rank | 30 |
| # Subjects receiving fines or forfeitures | 14 |
| # Subjects receiving a punitive discharge (Dishonorable, Bad Conduct, or Dismissal) | 26 |
| # Subjects receiving restriction or some limitation on freedom | 7 |
| # Subjects receiving extra duty | 0 |
| # Subjects receiving hard labor | 2 |
| # Subjects to be processed for administrative discharge or separation subsequent to sexual assault conviction | 2 |
| # Subjects receiving UOTHC administrative discharge | 2 |
| # Subjects receiving General administrative discharge | 0 |
| # Subjects receiving Honorable administrative discharge | 0 |
| # Subjects receiving Uncharacterized administrative discharge | 0 |
| # Convicted Subjects with a conviction under a UCMJ Article that requires Sex Offender Registration | 15 |
| J. NONJUDICIAL PUNISHMENTS IMPOSED (Sexual Assault Charge). This section reports the outcomes of nonjudicial punishments for sexual assault crimes completed during FY19 | FY19 Totals |
| # Total Subjects with Nonjudicial Punishment (Article 15) for a Sexual Assault Charge in FY19 | 6 |
| # Subjects whose nonjudicial punishment action was not completed by the end of FY19 | 0 |
| # Subjects whose nonjudicial punishment action was completed by the end of FY19 | 6 |
| # Subjects whose nonjudicial punishment was dismissed | 0 |
| # Subjects administered nonjudicial punishment | 6 |
| # Subjects with unknown punishment | 0 |
| # Subjects with no punishment | 0 |
| # Subjects with pending punishment | 0 |
| # Subjects with Punishment | 6 |
| # Subjects receiving correctional custody | 0 |
| # Subjects receiving reductions in rank | 3 |
| # Subjects receiving fines or forfeitures | 6 |
| # Subjects receiving restriction or some limitation on freedom | 4 |
| # Subjects receiving extra duty | 2 |
| # Subjects receiving hard labor | 0 |
| # Subjects receiving a reprimand | 1 |
| # Subjects processed for an administrative discharge or separation subsequent to nonjudicial punishment on a sexual assault charge | 2 |
| # Subjects who received NJP followed by UOTHC administrative discharge | 2 |
| # Subjects who received NJP followed by General administrative discharge | 0 |
| # Subjects who received NJP followed by Honorable administrative discharge | 0 |
| # Subjects who received NJP followed by Uncharacterized administrative discharge | 0 |
| K. OTHER ACTIONS TAKEN. This section reports other disciplinary action taken for Subjects who were investigated for sexual assault. It combines outcomes for Subjects in these categories listed in Sections D and E above. | FY19 Totals |
| # Subjects whose administrative discharge or other separation action was not completed by the end of FY19 | 3 |
| # Subjects receiving an administrative discharge or other separation for a sexual assault offense | 22 |
| # Subjects receiving UOTHC administrative discharge | 15 |
| # Subjects receiving General administrative discharge | 4 |
| # Subjects receiving Honorable administrative discharge | 0 |
| # Subjects receiving Uncharacterized administrative discharge | 3 |
| # Subjects whose other adverse administrative action was not completed by the end of FY19 | 3 |
| # Subjects receiving other adverse administrative action for a sexual assault offense | 14 |

Unrestricted Reports (continued)

| L. COURTS-MARTIAL ADJUDICATIONS AND OUTCOMES (Non-sexual assault offense). This section reports the outcomes of Courts-Martials for Subjects who were investigated for sexual assault, but upon review of the evidence there was only probable cause for a non-sexual assault offense. It combines outcomes for Subjects in this category listed in Sections D and E above. | FY19 Totals |
|--|--------------------|
| # Total Subjects with Courts-Martial Charge Preferred for a non-sexual assault offense in FY19 | 20 |
| # Subjects whose Courts-Martial action was NOT completed by the end of FY19 | 0 |
| # Subjects whose Courts-Martial was completed by the end of FY19 | 20 |
| # Subjects whose Courts-Martial was dismissed | 1 |
| # Subjects in Charges dismissed subsequent to recommendation by Art. 32 hearing officer | 0 |
| # Subjects in Charges dismissed subsequent to recommendation by Art. 32 hearing officer followed by Art. 15 punishment | 0 |
| # Subjects in Charges dismissed subsequent to recommendation by Art. 32 hearing officer followed by Art. 15 acquittal | 0 |
| # Subjects in Charges dismissed for any other reason prior to Courts-Martial | 0 |
| # Subjects in Charges dismissed for any other reason prior to Courts-Martial followed by Art. 15 punishment | 1 |
| # Subjects in Charges dismissed for any other reason prior to Courts-Martial followed by Art. 15 acquittal | 0 |
| # Subjects who resigned or were discharged in lieu of Courts-Martial for a non-sexual assault offense | 0 |
| # Officer Subjects who were officers that were allowed to resign in lieu of Courts-Martial | 0 |
| # Enlisted Subjects who were discharged in lieu of Courts-Martial | 0 |
| # Subjects with Courts-Martial charges proceeding to trial on a non-sexual assault offense | 19 |
| # Subjects Acquitted of Charges | 1 |
| # Subjects Convicted of Any Non-Sexual Assault Charge at Trial | 18 |
| # Subjects with unknown punishment | 0 |
| # Subjects with no punishment | 0 |
| # Subjects with pending punishment | 0 |
| # Subjects with Punishment | 18 |
| # Subjects receiving confinement | 9 |
| # Subjects receiving reductions in rank | 15 |
| # Subjects receiving fines or forfeitures | 6 |
| # Subjects receiving a punitive discharge (Dishonorable, Bad Conduct, or Dismissal) | 6 |
| # Subjects receiving restriction or some limitation on freedom | 5 |
| # Subjects receiving extra duty | 0 |
| # Subjects receiving hard labor | 4 |
| # Subjects processed for an administrative discharge or separation subsequent to conviction at trial | 3 |
| # Subjects receiving UOTHC administrative discharge | 3 |
| # Subjects receiving General administrative discharge | 0 |
| # Subjects receiving Honorable administrative discharge | 0 |
| # Subjects receiving Uncharacterized administrative discharge | 0 |
| M. NONJUDICIAL PUNISHMENTS IMPOSED (Non-Sexual Assault Charge). This section reports the outcomes of nonjudicial punishments for Subjects who were investigated for sexual assault, but upon review of the evidence there was only probable cause for a non-sexual assault offense. It combines outcomes for Subjects in this category listed in Sections D and E above. | FY19 Totals |
| # Total Subjects with Nonjudicial Punishment (Article 15) for a non-sexual assault offense in FY19 | 30 |
| # Subjects whose nonjudicial punishment action was not completed by the end of FY19 | 4 |
| # Subjects whose nonjudicial punishment action was completed by the end of FY19 | 26 |
| # Subjects whose nonjudicial punishment was dismissed | 0 |
| # Subjects administered nonjudicial punishment for a non-sexual assault offense | 26 |
| # Subjects with unknown punishment | 0 |
| # Subjects with no punishment | 1 |
| # Subjects with pending punishment | 0 |
| # Subjects with Punishment | 25 |
| # Subjects receiving correctional custody | 0 |
| # Subjects receiving reductions in rank | 20 |
| # Subjects receiving fines or forfeitures | 20 |
| # Subjects receiving restriction or some limitation on freedom | 17 |
| # Subjects receiving extra duty | 6 |
| # Subjects receiving hard labor | 0 |
| # Subjects receiving a reprimand | 2 |
| # Subjects receiving an administrative discharge subsequent to nonjudicial punishment on a non-sexual assault charge | 1 |
| # Subjects who received NJP followed by UOTHC administrative discharge | 1 |
| # Subjects who received NJP followed by General administrative discharge | 0 |
| # Subjects who received NJP followed by Honorable administrative discharge | 0 |
| # Subjects who received NJP followed by Uncharacterized administrative discharge | 0 |
| N. OTHER ACTIONS TAKEN (Non-sexual assault offense). This section reports other disciplinary action taken for Subjects who were investigated for sexual assault, but upon review of the evidence there was only probable cause for a non-sexual assault offense. It combines outcomes for Subjects in these categories listed in Sections D and E above. | FY19 Totals |
| # Subjects whose administrative discharge or other separation action was not completed by the end of FY19 | 3 |
| # Subjects receiving an administrative discharge or other separation for a non-sexual assault offense | 41 |
| # Subjects receiving UOTHC administrative discharge | 31 |
| # Subjects receiving General administrative discharge | 7 |
| # Subjects receiving Honorable administrative discharge | 0 |
| # Subjects receiving Uncharacterized administrative discharge | 3 |
| # Subjects whose other adverse administrative action was not completed by the end of FY19 | 6 |
| # Subjects receiving other adverse administrative action for a non-sexual assault offense | 36 |

Restricted Reports

| MARINE CORPS FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT | |
|--|--------------------|
| A. FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT | FY19 Totals |
| # TOTAL Victims initially making Restricted Reports | 494 |
| # Service Member Victims making Restricted Reports | 478 |
| # Non-Service Member Victims making Restricted Report involving a Service Member Subject | 14 |
| # Relevant Data Not Available | 2 |
| # Total Victims who reported and converted from Restricted Report to Unrestricted Report in the FY19* | 90 |
| # Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 84 |
| # Non-Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 4 |
| # Relevant Data Not Available | 2 |
| # Total Victim reports remaining Restricted | 404 |
| # Service Member Victim reports remaining Restricted | 394 |
| # Non-Service Member Victim reports remaining Restricted | 10 |
| # Relevant Data Not Available | 0 |
| # Remaining Restricted Reports involving Service Members in the following categories | 404 |
| # Service Member on Service Member | 195 |
| # Non-Service Member on Service Member | 138 |
| # Service Member on Non-Service Member (entitled to a RR by DoD Policy) | 10 |
| # Unidentified Subject on Service Member | 61 |
| # Relevant Data Not Available | 0 |
| B. INCIDENT DETAILS | FY19 Totals |
| # Reported sexual assaults occurring | 404 |
| # On military installation | 145 |
| # Off military installation | 173 |
| # Unidentified location | 55 |
| # Relevant Data Not Available | 31 |
| Length of time between sexual assault and Restricted Report | 404 |
| # Reports made within 3 days of sexual assault | 81 |
| # Reports made within 4 to 10 days after sexual assault | 39 |
| # Reports made within 11 to 30 days after sexual assault | 23 |
| # Reports made within 31 to 365 days after sexual assault | 55 |
| # Reports made longer than 365 days after sexual assault | 99 |
| # Relevant Data Not Available | 107 |
| Time of sexual assault incident | 404 |
| # Midnight to 6 am | 80 |
| # 6 am to 6 pm | 44 |
| # 6 pm to midnight | 165 |
| # Unknown | 90 |
| # Relevant Data Not Available | 25 |
| Day of sexual assault incident | 404 |
| # Sunday | 42 |
| # Monday | 37 |
| # Tuesday | 34 |
| # Wednesday | 23 |
| # Thursday | 25 |
| # Friday | 51 |
| # Saturday | 85 |
| # Relevant Data Not Available | 107 |
| C. RESTRICTED REPORTING - VICTIM SERVICE AFFILIATION | FY19 Totals |
| # Service Member Victims | 394 |
| # Army Victims | 1 |
| # Navy Victims | 24 |
| # Marines Victims | 368 |
| # Air Force Victims | 1 |
| # Coast Guard Victims | 0 |
| # Relevant Data Not Available | 0 |

Restricted Reports (continued)

| D. DEMOGRAPHICS FOR FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT | FY19 Totals |
|---|--------------------|
| Gender of Victims | 404 |
| # Male | 79 |
| # Female | 325 |
| # Relevant Data Not Available | 0 |
| Age of Victims at the Time of Incident | 404 |
| # 0-15 | 60 |
| # 16-19 | 139 |
| # 20-24 | 145 |
| # 25-34 | 34 |
| # 35-49 | 9 |
| # 50-64 | 0 |
| # 65 and older | 0 |
| # Relevant Data Not Available | 17 |
| Grade of Service Member Victims | 394 |
| # E1-E4 | 329 |
| # E5-E9 | 52 |
| # WO1-WO5 | 1 |
| # O1-O3 | 10 |
| # O4-O10 | 2 |
| # Cadet/Midshipman | 0 |
| # Academy Prep School Student | 0 |
| # Relevant Data Not Available | 0 |
| Status of Service Member Victims | 394 |
| # Active Duty | 389 |
| # Reserve (Activated) | 5 |
| # National Guard (Activated - Title 10) | 0 |
| # Cadet/Midshipman/Prep School Student | 0 |
| # Academy Prep School Student | 0 |
| # Relevant Data Not Available | 0 |
| Victim Type | 404 |
| # Service Member | 394 |
| # DoD-Civilian | |
| # DoD-Contractor | |
| # Other US Government Civilian | |
| # Non-Service Member | 10 |
| # Foreign National | |
| # Foreign Military | |
| # Relevant Data Not Available | 0 |
| E. RESTRICTED REPORTING FOR A SEXUAL ASSAULT THAT OCCURRED PRIOR TO JOINING SERVICE | FY19 Totals |
| # Service Member Victims making a Restricted Report for Incidents Occurring Prior to Military Service | 127 |
| # Service Member Making A Restricted Report for an Incident that Occurred Prior to Age 18 | 89 |
| # Service Member Making a Restricted Report for an Incident that Occurred After Age 18 | 30 |
| # Service Member Choosing Not to Specify | 8 |
| # Relevant Data Not Available | 0 |
| F. RESTRICTED REPORTS CONVERSION DATA (DSAID USE ONLY) | FY19 Totals |
| Mean # of Days Taken to Change to Unrestricted | 28.96 |
| Standard Deviation of the Mean For Days Taken to Change to Unrestricted | 49.07 |
| Mode # of Days Taken to Change to Unrestricted | 1 |
| G. TOTAL VICTIMS WHO REPORTED IN PRIOR YEARS AND CONVERTED FROM RESTRICTED REPORT TO UNRESTRICTED REPORT IN THE FY19 | FY19 Totals |
| Total Victims who reported in prior years and converted from Restricted Report to Unrestricted Report in the FY19 | 16 |
| # Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 16 |
| # Non-Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 0 |
| # Relevant Data Not Available | 0 |
| * The Restricted Reports are reports that converted to Unrestricted Reports are counted in the total number of Unrestricted Reports listed in Worksheet 1a, Section A. | |

| MARINE CORPS FY19 SUPPORT SERVICES FOR VICTIMS OF SEXUAL ASSAULT | | |
|--|--------------------|---|
| <i>NOTE: Totals of referrals and military protective orders are for all activities during the reporting period, regardless of when the sexual assault report was made.</i> | | |
| A. SUPPORT SERVICE REFERRALS TO SERVICE MEMBERS VICTIMS FROM UNRESTRICTED REPORTS: | FY19 Totals | |
| # Support service referrals for Victims in the following categories | | |
| # MILITARY Resources (Referred by DoD) | 3149 | |
| # Medical | 302 | |
| # Mental Health | 474 | |
| # Legal | 490 | |
| # Chaplain/Spiritual Support | 377 | |
| # Rape Crisis Center | | |
| # Victim Advocate/Uniformed Victim Advocate | 588 | |
| # DoD Safe Helpline | 300 | |
| # Other | 618 | |
| # CIVILIAN Resources (Referred by DoD) | 124 | |
| # Medical | 7 | |
| # Mental Health | 19 | |
| # Legal | 4 | |
| # Chaplain/Spiritual Support | 377 | |
| # Rape Crisis Center | 36 | |
| # Victim Advocate | 39 | |
| # DoD Safe Helpline | | |
| # Other | 13 | |
| # Cases where SAFEs were conducted | 67 | |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 1 | |
| # Military Victims making an Unrestricted Report for an incident that occurred prior to military service | 72 | |
| B. FY19 MILITARY PROTECTIVE ORDERS (MPO)* AND EXPEDITED TRANSFERS - UNRESTRICTED REPORTS | FY19 TOTALS | |
| # Military Protective Orders issued during FY19 | 122 | |
| # Reported MPO Violations in FY19 | 2 | |
| # Reported MPO Violations by Subjects | 2 | |
| # Reported MPO Violations by Victims of sexual assault | 0 | |
| # Reported MPO Violations by Both | 0 | |
| <i>*In accordance with DoD Policy, Military Protective Orders are only issued in Unrestricted Reports. A Restricted Report cannot be made when</i> | | <i>Use the following categories or add a new category</i> |
| # Unit/Duty expedited transfer requests by Service Member Victims of sexual assault | 8 | Total Number Denied |
| # Unit/Duty expedited transfer requests by Service Member Victims Denied | 2 | Reasons for Disapproval (Total) |
| # Installation expedited transfer requests by Service Member Victims of sexual assault | 52 | Victim is a subject in a separate criminal investigation |
| # Installation expedited transfer requests by Service Member Victims Denied | 6 | No credible report determination of sexual assault |
| C. SUPPORT SERVICE REFERRALS FOR MILITARY VICTIMS IN RESTRICTED REPORTS | | FY19 TOTALS |
| # Support service referrals for Victims in the following categories | FY19 TOTALS | |
| # MILITARY Resources (Referred by DoD) | 1881 | Victim is pending UCMJ action |
| # Medical | 188 | Moved alleged offender instead |
| # Mental Health | 314 | OTHER: Victim was relocated through a faster (and more appropriate) safety move related to a FAP case |
| # Legal | 257 | OTHER: Victim moved to another section within the Command |
| # Chaplain/Spiritual Support | 280 | OTHER |
| # Rape Crisis Center | | |
| # Victim Advocate/Uniformed Victim Advocate | 470 | |
| # DoD Safe Helpline | 176 | |
| # Other | 196 | |
| # CIVILIAN Resources (Referred by DoD) | 46 | |
| # Medical | 6 | |
| # Mental Health | 7 | |
| # Legal | 0 | |
| # Chaplain/Spiritual Support | 280 | |
| # Rape Crisis Center | 10 | |
| # Victim Advocate | 18 | |
| # DoD Safe Helpline | | |
| # Other | 5 | |
| # Cases where SAFEs were conducted | 24 | |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 0 | |

| CIVILIAN DATA | | |
|---|--|--------------------|
| D. UNRESTRICTED REPORTS FROM NON-SERVICE MEMBERS (e.g., DOD CIVILIANS, DEPENDENTS, CONTRACTORS, ETC) THAT DO NOT INVOLVE A SERVICE MEMBER | | FY19 Totals |
| D1. # Non-Service Members in the following categories: | | 75 |
| # Non-Service Member on Non-Service Member | | 3 |
| # Unidentified Subject or Undisclosed Affiliation on Non-Service Member | | 17 |
| # Relevant Data Not Available | | 55 |
| D2. Gender of Non-Service Members | | 75 |
| # Male | | 2 |
| # Female | | 73 |
| # Relevant Data Not Available | | 0 |
| D3. Age of Non-Service Members at the Time of Incident | | 75 |
| # 0-15 | | 0 |
| # 16-19 | | 7 |
| # 20-24 | | 8 |
| # 25-34 | | 6 |
| # 35-49 | | 0 |
| # 50-64 | | 1 |
| # 65 and older | | 9 |
| # Relevant Data Not Available | | 44 |
| D4. Non-Service Member Type | | 75 |
| # DoD Civilian | | 2 |
| # DoD Contractor | | 0 |
| # Other US Government Civilian | | 0 |
| # US Civilian | | 69 |
| # Foreign National | | 4 |
| # Foreign Military | | 0 |
| # Relevant Data Not Available | | 0 |
| D5. # Support service referrals for Non-Service Members in the following categories | | |
| # MILITARY Resources (Referred by DoD) | | 140 |
| # Medical | | 18 |
| # Mental Health | | 26 |
| # Legal | | 18 |
| # Chaplain/Spiritual Support | | 17 |
| # Rape Crisis Center | | 0 |
| # Victim Advocate/Uniformed Victim Advocate | | 42 |
| # DoD Safe Helpline | | 12 |
| # Other | | 7 |
| # CIVILIAN Resources (Referred by DoD) | | 46 |
| # Medical | | 7 |
| # Mental Health | | 2 |
| # Legal | | 0 |
| # Chaplain/Spiritual Support | | 1 |
| # Rape Crisis Center | | 15 |
| # Victim Advocate | | 15 |
| # DoD Safe Helpline | | 0 |
| # Other | | 6 |
| # Cases where SAFEs were conducted | | 10 |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | | 0 |
| E. FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT FROM NON-SERVICE MEMBERS | | FY19 Totals |
| E1. # Non-Service Member Victims making Restricted Report | | 8 |
| # Non-Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | | 0 |
| # Non-Service Member Victim reports remaining Restricted | | 8 |
| # Restricted Reports from Non-Service Member Victims in the following categories: | | 8 |
| # Non-Service Member on Non-Service Member (entitled to a RR by DoD Policy) | | 3 |
| # Unidentified Subject or Undisclosed Affiliation on Non-Service Member | | 5 |
| # Relevant Data Not Available | | 0 |
| E2. Gender of Non-Service Member Victims | | 8 |
| # Male | | 0 |
| # Female | | 8 |
| # Relevant Data Not Available | | 0 |
| E3. Age of Non-Service Member Victims at the Time of Incident | | 8 |
| # 0-15 | | 0 |
| # 16-19 | | 1 |
| # 20-24 | | 4 |
| # 25-34 | | 3 |
| # 35-49 | | 0 |
| # 50-64 | | 0 |
| # 65 and older | | 0 |
| # Relevant Data Not Available | | 0 |
| E4. VICTIM Type | | 8 |
| # DoD Civilian | | 0 |
| # DoD Contractor | | 0 |
| # Other US Government Civilian | | 0 |
| # Non-Service Member | | 8 |
| # Relevant Data Not Available | | 0 |
| E5. # Support service referrals for Non-Service Member Victims in the following categories | | |
| # MILITARY Resources | | 23 |
| # Medical | | 3 |
| # Mental Health | | 2 |
| # Legal | | 2 |
| # Chaplain/Spiritual Support | | 2 |
| # Rape Crisis Center | | 0 |
| # Victim Advocate/Uniformed Victim Advocate | | 9 |
| # DoD Safe Helpline | | 3 |
| # Other | | 2 |
| # CIVILIAN Resources (Referred by DoD) | | 5 |
| # Medical | | 1 |
| # Mental Health | | 2 |
| # Legal | | 0 |
| # Chaplain/Spiritual Support | | 0 |
| # Rape Crisis Center | | 2 |
| # Victim Advocate | | 0 |
| # DoD Safe Helpline | | 0 |
| # Other | | 0 |
| # Cases where SAFEs were conducted | | 4 |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | | 0 |

Unrestricted Reports in Combat Areas of Interest

| MARINE CORPS COMBAT AREAS OF INTEREST FY19 UNRESTRICTED REPORTS OF SEXUAL ASSAULTS IN THE MILITARY Note: These Reports are a subset of the FY19 Reports of Sexual Assault. | | |
|--|--------------------|--|
| A. FY19 REPORTS OF SEXUAL ASSAULT IN COMBAT AREAS OF INTEREST (rape, sexual assault, aggravated sexual contact, abusive sexual contact, forcible sodomy, and attempts to commit these offenses) BY or AGAINST Service Members. Note: The data on this page is raw, uninvestigated information about allegations received during FY19. These Reports may not be fully investigated by the end of the fiscal year. This data is drawn from Defense Sexual Assault Database (DSAD) based on Service affiliation of the Sexual Assault Response Coordinator (SARC) who currently manages the Victim case. | FY19 Totals | |
| # FY19 Unrestricted Reports (one Victim per report) | 2 | |
| # Service Member Victims | 2 | |
| # Non-Service Member Victims in allegations against Service Member Subject | 0 | |
| # Relevant Data Not Available | 0 | |
| # Unrestricted Reports in the following categories | 2 | |
| # Service Member on Service Member | 1 | |
| # Service Member on Non-Service Member | 0 | |
| # Non-Service Member on Service Member | 0 | |
| # Unidentified Subject on Service Member | 0 | |
| # Relevant Data Not Available | 1 | |
| # Unrestricted Reports of sexual assault occurring | 2 | |
| # On military installation | 1 | |
| # Off military installation | 1 | |
| # Unidentified location | 0 | |
| # Victim in Unrestricted Reports Referred for Investigation | 2 | |
| # Victims in investigations initiated during FY19 | 2 | |
| # Victims with Investigations pending completion at end of 30-SEP-2019 | 1 | |
| # Victims with Completed Investigations at end of 30-SEP-2019 | 1 | |
| # Victims with Investigative Data Forthcoming | 0 | |
| # Victims where investigation could not be opened by DoD or Civilian Law Enforcement | 0 | |
| # Victims - Alleged perpetrator not subject to the UCMJ | 0 | |
| # Victims - Crime was beyond statute of limitations | 0 | |
| # Victims - Unrestricted Reports for Matters Occurring Prior to Military Service | 0 | |
| # Victims - Other | 0 | |
| # All Restricted Reports in Combat Areas of Interest received in FY19 (one Victim per report) | 0 | |
| # Converted from Restricted Report to Unrestricted Report* (report made this year and converted this year) | 0 | |
| # Restricted Reports Remaining Restricted at end of FY19 | 0 | |
| B. DETAILS OF UNRESTRICTED REPORTS IN COMBAT AREAS OF INTEREST FOR FY19 | FY19 Totals | FY19 Totals for Service Member Victim Cases |
| Length of time between sexual assault and Unrestricted Report | 2 | 2 |
| # Reports made within 3 days of sexual assault | 1 | 1 |
| # Reports made within 4 to 10 days after sexual assault | 0 | 0 |
| # Reports made within 11 to 30 days after sexual assault | 0 | 0 |
| # Reports made within 31 to 365 days after sexual assault | 1 | 1 |
| # Reports made longer than 365 days after sexual assault | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 |
| Time of sexual assault | 2 | 2 |
| # Midnight to 6 am | 0 | 0 |
| # 6 am to 6 pm | 1 | 1 |
| # 6 pm to midnight | 0 | 0 |
| # Unknown | 0 | 0 |
| # Relevant Data Not Available | 1 | 1 |
| Day of sexual assault | 2 | 2 |
| # Sunday | 0 | 0 |
| # Monday | 0 | 0 |
| # Tuesday | 1 | 1 |
| # Wednesday | 0 | 0 |
| # Thursday | 0 | 0 |
| # Friday | 1 | 1 |
| # Saturday | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 |

Unrestricted Reports in Combat Areas of Interest (continued)

| C. REPORTED SEXUAL ASSAULTS IN COMBAT AREA OF INTEREST INVOLVING SERVICE MEMBERS BY OR AGAINST SERVICE MEMBERS (VICTIM AND SUBJECT GENDER) | Male on Female | Male on Male | Female on Male | Female on Female | Unknown on Male | Unknown on Female | Multiple Mixed Gender Assault | Relevant Data Not Available | FY19 Totals | | |
|---|-----------------------------|---|---|----------------------------|--------------------------------------|----------------------------------|--|--|---------------------------------------|---------------------------------|-------------|
| | | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 2 | |
| # Service Member on Service Member | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | | |
| # Service Member on Non-Service Member | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| # Non-Service Member on Service Member | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| # Unidentified Subject on Service Member | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | | |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | | |
| FY19 UNRESTRICTED REPORTS OF SEXUAL ASSAULT BY MATTER INVESTIGATED TYPE (May not reflect what crimes can be charged upon completion of investigation) | | | | | | | | | | | |
| UNRESTRICTED REPORTS MADE IN FY19 | Penetrating Offenses | | | | Contact Offenses | | | | | | |
| D. REPORTED SEXUAL ASSAULTS IN COMBAT AREA OF INTEREST INVOLVING SERVICE MEMBERS BY OR AGAINST SERVICE MEMBERS (MOST SERIOUS CRIME ALLEGED, AS CATEGORIZED BY THE MILITARY CRIMINAL INVESTIGATIVE ORGANIZATION) | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 134) (Pre-FY08) | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | FY19 Totals |
| D1. | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Service Member on Service Member | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| # Service Member on Non-Service Member | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Non-Service Member on Service Member | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unidentified Subject on Service Member | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| D2. | | | | | | | | | | | |
| TOTAL Service Member Victims in FY19 Reports | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Service Member Victims: Female | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Service Member Victims: Male | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TIME OF INCIDENT BY OFFENSE TYPE FOR UNRESTRICTED REPORTS OF SEXUAL ASSAULT IN COMBAT AREA OF INTEREST MADE IN FY19 | | | | | | | | | | | |
| D3. Time of sexual assault | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Midnight to 6 am | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 6 am to 6 pm | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # 6 pm to midnight | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| D4. Day of sexual assault | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Sunday | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Monday | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Tuesday | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # Wednesday | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Thursday | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Friday | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| # Saturday | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Unrestricted Reports in Combat Areas of Interest (continued)

| COMBAT AREAS OF INTEREST - LOCATION OF UNRESTRICTED REPORTS BY TYPE OF OFFENSE | | | | | | | | | | | |
|--|-----------------------------------|---|---|----------------------------|--------------------------------------|----------------------------------|--|--|---------------------------------------|---------------------------------|-------------|
| FY19 COMBAT AREAS OF INTEREST - LOCATIONS OF UNRESTRICTED REPORTS OF SEXUAL ASSAULT. Note: The data in this section is drawn from raw, uninvestigated information about Unrestricted Reports received during FY19. These Reports may not be fully investigated by the end of the fiscal year. | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 134) (Pre-FY08) | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | FY19 Totals |
| | TOTAL UNRESTRICTED REPORTS | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 |
| Afghanistan | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Bahrain | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| Djibouti | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Iraq | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| Jordan | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kosovo | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Kuwait | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Lebanon | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Pakistan | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Qatar | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Saudi Arabia | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Somalia | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Syria | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Turkey | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Uae | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Yemen | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| TOTAL UNRESTRICTED REPORTS | 0 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |

| E. SUMMARY OF UNRESTRICTED REPORTS WITH INVESTIGATIONS IN COMBAT AREAS OF INTEREST | FY19 Totals |
|--|-------------|
| E1. Subjects in Unrestricted Reports Made to Your Service with Investigation Initiated During FY19 in Combat Areas of Interest | |
| Note: This data is drawn from DSAID based on Service affiliation of the SARC who currently manages the Victim case associated with the investigation and Subject below. | |
| # Investigations Initiated during FY19 | 2 |
| # Investigations Completed as of FY19 End (group by MCIO #) | 1 |
| # Investigations Pending Completion as of FY19 End (group by MCIO #) | 1 |
| # Subjects in investigations Initiated During FY19 | 1 |
| # Service Member Subjects investigated by CID | 0 |
| # Your Service Member Subjects investigated by CID | 0 |
| # Other Service Member Subjects investigated by CID | 0 |
| # Service Member Subjects investigated by NCIS | 1 |
| # Your Service Member Subjects investigated by NCIS | 1 |
| # Other Service Member Subjects investigated by NCIS | 0 |
| # Service Member Subjects investigated by AFOSI | 0 |
| # Your Service Member Subjects investigated by AFOSI | 0 |
| # Other Service Member Subjects investigated by AFOSI | 0 |
| # Non-Service Member Subjects in Service Investigations | 0 |
| Note: Non-Service Member Subjects are drawn from all CID, NCIS and AFOSI investigations involving a Victim supported by your Service. | |
| # Unidentified Subjects in Service Investigations | 0 |
| Note: Unidentified Subjects are drawn from all CID, NCIS and AFOSI investigations involving a Victim supported by your Service. | |
| # Service Member Subjects investigated by Civilian or Foreign Law Enforcement | 0 |
| Note: Service Member Subjects are drawn from Civilian or Foreign Law Enforcement investigations involving a Victim supported by your Service. | |
| # Your Service Member Subjects investigated by Civilian or Foreign Law Enforcement | 0 |
| # Other Service Member Subjects investigated by Civilian or Foreign Law Enforcement | 0 |
| # Non-Service Member Subjects in Civilian or Foreign Law Enforcement Investigations involving a Victim supported by your Service | 0 |
| # Unidentified Subjects in Civilian or Foreign Law Enforcement Investigations involving a Victim supported by your Service | 0 |
| # Subject or Investigation Relevant Data Not Available | 0 |
| E2. Service Investigations Completed during FY19 in Combat Areas of Interest | |
| Note: The following data is drawn from DSAID and describes criminal investigations completed during the FY19. These investigations may have been initiated during the FY19 or any prior FY. | |
| # Total Investigations completed by Services during FY19 (Group by MCIO Case Number) | 2 |
| # Of these investigations with more than one Victim | 0 |
| # Of these investigations with more than one Subject | 0 |
| # Of these investigations with more than one Victim and more than one Subject | 0 |
| # Subjects in investigations completed during FY19 involving a Victim supported by your Service | 2 |
| # Service Member Subjects investigated by CID | 0 |
| # Your Service Member Subjects investigated by CID | 0 |
| # Other Service Member Subjects investigated by CID | 0 |
| # Service Member Subjects investigated by NCIS | 2 |
| # Your Service Member Subjects investigated by NCIS | 2 |
| # Other Service Member Subjects investigated by NCIS | 0 |
| # Service Member Subjects investigated by AFOSI | 0 |
| # Your Service Member Subjects investigated by AFOSI | 0 |
| # Other Service Member Subjects investigated by AFOSI | 0 |
| # Non-Service Member Subjects in completed Service Investigations involving a Victim supported by your Service | 0 |
| # Unidentified Subjects in completed Service Investigations involving a Victim supported by your Service | 0 |
| # Subject Relevant Data Not Available | 0 |
| # Victims in investigations completed during FY19, supported by your Service | 2 |
| # Service Member Victims in CID investigations | 0 |
| # Your Service Member Victims in CID investigations | 0 |
| # Other Service Member Victims in CID investigations | 0 |
| # Service Member Victims in NCIS investigations | 2 |
| # Your Service Member Victims in NCIS investigations | 2 |
| # Other Service Member Victims in NCIS investigations | 0 |
| # Service Member Victims in AFOSI investigations | 0 |
| # Your Service Member Victims in AFOSI investigations | 0 |
| # Other Service Member Victims in AFOSI investigations | 0 |
| # Non-Service Member Victims in completed Service Investigations, supported by your Service | 0 |
| # Victim Relevant Data Not Available | 0 |

Unrestricted Reports in Combat Areas of Interest (continued)

| | |
|---|----------|
| E3. Subjects and Victims in Investigations Completed by US Civilian and Foreign Agencies during FY19 in Combat Areas of Interest | |
| Note: This data is entered by your Service SARC for cases supported by your Service. | |
| # Total Investigations completed by US Civilian and Foreign Law Enforcement during FY19 (Group by MCIO Case Number) | 0 |
| # Of these investigations with more than one Victim | 0 |
| # Of these investigations with more than one Subject | 0 |
| # Of these investigations with more than one Victim and more than one Subject | 0 |
| # Subjects in investigations completed during FY19 involving a Victim supported by your Service | 0 |
| # Service Member Subjects investigated by Civilian and Foreign Law Enforcement | 0 |
| # Your Service Member Subjects investigated by Civilian and Foreign Law Enforcement | 0 |
| # Other Service Member Subjects investigated by Civilian and Foreign Law Enforcement | 0 |
| # Non-Service Member Subjects in Civilian and Foreign Law Enforcement Investigations involving a Victim supported by your Service | 0 |
| # Unidentified Subjects in Civilian and Foreign Law Enforcement Investigations involving a Victim supported by your Service | 0 |
| # Subject Relevant Data Not Available | 0 |
| # Victims in investigations completed during FY19, supported by your Service | 0 |
| # Service Member Victims in Civilian and Foreign Law Enforcement investigations | 0 |
| # Your Service Member Victims in Civilian and Foreign Law Enforcement investigations | 0 |
| # Other Service Member Victims in Civilian and Foreign Law Enforcement investigations | 0 |
| # Non-Service Member Victims in Civilian and Foreign Law Enforcement Investigations in a case supported by your Service | 0 |
| # Victim Relevant Data Not Available | 0 |
| E4. Subjects and Victims in Investigations Completed by Military Police/Security Forces/Master At Arms/Marine Corps CID (MPs) during FY19 (all organizations regardless of name are abbreviated below as "MPs") in Combat Areas of Interest | |
| Note: This data is entered by your Service SARC for cases supported by your Service. | |
| Note: As of 1 Jan 2013, all sexual assault investigations are referred to MCIO for investigation. This section captures remaining Subjects from investigations opened in prior years by Military Police/Security Forces/Master At Arms/Marine Corps CID. | |
| # Total Investigations completed by MPs during FY19 (Group by MCIO Case Number) | 0 |
| # Of these investigations with more than one Victim | 0 |
| # Of these investigations with more than one Subject | 0 |
| # Of these investigations with more than one Victim and more than one Subject | 0 |
| # Subjects in MP investigations completed during FY19 involving a Victim supported by your Service | 0 |
| # Service Member Subjects investigated by MPs | 0 |
| # Your Service Member Subjects investigated by MPs | 0 |
| # Other Service Member Subjects investigated by MPs | 0 |
| # Non-Service Member Subjects in MPs involving a Victim supported by your Service | 0 |
| # Unidentified Subjects in MPs involving a Victim supported by your Service | 0 |
| # Subject Relevant Data Not Available | 0 |
| # Victims in MP investigations completed during FY19, supported by your Service | 0 |
| # Service Member Victims in MP investigations | 0 |
| # Your Service Member Victims in MP investigations | 0 |
| # Other Service Member Victims in MP investigations | 0 |
| # Non-Service Member Victims in MP Investigations, supported by your Service | 0 |
| # Victim Relevant Data Not Available | 0 |

Unrestricted Reports in Combat Areas of Interest (continued)

| Victims in Investigation Completed in FY19 in Combat Areas of Interest | Victim Data From Investigations completed during FY19 | | | | | | | | | | FY19 Totals |
|---|---|---|---|----------------------------------|--|--|---|---|--|---------------------------------------|-------------|
| | Penetrating Offenses | | | | Contact Offenses | | | | | | |
| | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 134) (Pre-FY08) | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | |
| F1. Gender of Victims | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Male | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Female | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F2. Age of Victims | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # 0-15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 16-19 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 20-24 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # 25-34 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 35-49 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 50-64 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 65 and older | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F3. Victim Type | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Service Member | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # DoD Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # DoD Contractor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Other US Government Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # US Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Foreign National | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Foreign Military | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F4. Grade of Service Member Victims | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # E1-E4 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # E5-E9 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # WO1-WO5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # O1-O3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # O4-O10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F5. Service of Service Member Victims | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Army | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Navy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Marines | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Air Force | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Coast Guard | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| F6. Status of Service Member Victims | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Active Duty | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Reserve (Activated) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # National Guard (Activated - Title 10) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

| G. DEMOGRAPHICS ON SUBJECTS IN INVESTIGATIONS COMPLETED IN FY19 COMBAT AREAS OF INTEREST (Investigation Completed within the reporting period. These investigations may have been opened in current or prior Fiscal Years) | Subject Data From Investigations completed during FY19 | | | | | | | | | | FY19 Totals |
|---|--|---|---|----------------------------|--------------------------------------|----------------------------------|--|--|---------------------------------------|---------------------------------|-------------|
| | Penetrating Offenses | | | | Contact Offenses | | | | | | |
| | Rape (Art. 120) | Aggravated Sexual Assault (Oct07-Jun12) | Sexual Assault (After Jun12) (Art. 120) | Forcible Sodomy (Art. 125) | Aggravated Sexual Contact (Art. 120) | Abusive Sexual Contact (Art.120) | Wrongful Sexual Contact (Oct07-Jun12) (Art. 120) | Indecent Assault (Art. 134) (Pre-FY08) | Attempts to Commit Offenses (Art. 80) | Offense Code Data Not Available | |
| G1. Gender of Subjects | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Male | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Female | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G2. Age of Subjects | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # 0-15 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 16-19 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 20-24 | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # 25-34 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 35-49 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 50-64 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # 65 and older | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G3. Subject Type | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Service Member | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Drill Instructors/Drill Sergeants | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Recruiters | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # DoD Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # DoD Contractor | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Other US Government Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # US Civilian | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Foreign National | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Foreign Military | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G4. Grade of Service Member Subjects | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # E1-E4 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| # E5-E9 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| # WO1-WO5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # O1-O3 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # O4-O10 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G5. Service of Service Member Subjects | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Army | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Navy | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Marines | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Air Force | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Coast Guard | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| G6. Status of Service Member Subjects | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Active Duty | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 2 |
| # Reserve (Activated) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # National Guard (Activated - Title 10) | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Cadet/Midshipman | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Academy Prep School Student | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Unknown | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| # Relevant Data Not Available | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Unrestricted Reports in Combat Areas of Interest (continued)

| H. FINAL DISPOSITIONS FOR SUBJECTS IN COMPLETED FY19 INVESTIGATIONS IN COMBAT AREAS OF INTEREST | FY19 Totals | H1. ASSOCIATED VICTIM DATA FOR COMPLETED FY19 INVESTIGATIONS IN COMBAT AREAS OF INTEREST | FY19 Totals |
|---|-------------|---|-------------|
| # Subjects in Unrestricted Reports that could not be investigated by DoD or Civilian Law Enforcement Note: These Subjects are from Unrestricted Reports referred to MCIOs or other law enforcement for investigation during FY19, but the agency could not open an investigation based on the reasons below. | 0 | | |
| # Subjects - Not subject to the UCMJ | 0 | | |
| # Subjects - Crime was beyond statute of limitations | 0 | | |
| # Subjects - Matter alleged occurred prior to Victim's Military Service | 0 | | |
| # Subjects - Other | 0 | | |
| # Subjects in investigations completed in FY19 Note: These are Subjects from Tab1b, Cells B29, B59, B77. | 2 | # Victims in investigations completed in FY19 | 2 |
| # Service Member Subjects in investigations opened and completed in FY19 | 1 | # Service Member Victims in investigations opened and completed in FY19 | 1 |
| # Total Subjects with allegations unfounded by a Military Criminal Investigative Organization | 0 | # Total Victims associated with MCIO unfounded allegations | 0 |
| # Service Member Subjects with allegations unfounded by MCIO | 0 | # Service Member Victims involved in MCIO unfounded allegations | 0 |
| # Non-Service Member Subjects with allegations unfounded by MCIO | 0 | # Non-Service Member Victims involved in MCIO unfounded allegations | 0 |
| # Unidentified Subjects with allegations unfounded by MCIO | 0 | | |
| # Subjects with Subject data not yet available and with allegations unfounded by MCIO | 0 | # Victims with Victim data not yet available and involved in MCIO unfounded allegations | 0 |
| # Total Subjects Outside DoD Prosecutive Authority | 0 | | |
| # Unknown Offenders | 0 | # Service Member Victims in substantiated Unknown Offender Reports | 0 |
| | | # Service Member Victims in remaining Unknown Offender Reports | 0 |
| # US Civilians or Foreign National Subjects not subject to the UCMJ | 0 | # Service Member Victims in substantiated Civilian/Foreign National Subject Reports | 0 |
| | | # Service Member Victims in remaining Civilian/Foreign National Subject Reports | 0 |
| # Service Members Prosecuted by a Civilian or Foreign Authority | 0 | # Service Member Victims in substantiated reports against a Service Member who is being Prosecuted by a Civilian or Foreign Authority | 0 |
| | | # Service Member Victims in substantiated reports with a deceased or deserted Subject | 0 |
| # Subjects who died or deserted | 0 | # Service Member Victims in remaining reports with a deceased or deserted Subject | 0 |
| # Total Command Action Precluded or Declined for Sexual Assault | 1 | | |
| # Service Member Subjects where Victim declined to participate in the military justice action | 0 | # Service Member Victims who declined to participate in the military justice action | 0 |
| # Service Member Subjects whose investigations had insufficient evidence to prosecute | 1 | # Service Member Victims in investigations having insufficient evidence to prosecute | 1 |
| # Service Member Subjects whose cases involved expired statute of limitations | 0 | # Service Member Victims whose cases involved expired statute of limitations | 0 |
| # Service Member Subjects with allegations that were unfounded by Command | 0 | # Service Member Victims whose allegations were unfounded by Command | 0 |
| # Service Member Subjects with Victims who died before completion of military justice action | 0 | # Service Member Victims who died before completion of the military justice action | 0 |
| # Subjects disposition data not yet available | 1 | # Service Member Victims involved in reports with Subject disposition data not yet available | 1 |
| # Subjects for whom Command Action was completed as of 30-SEP-2019 | 0 | | |
| # FY19 Service Member Subjects where evidence supported Command Action | 0 | # FY19 Service Member Victims in cases where evidence supported Command Action | 0 |
| # Service Member Subjects: Courts-Martial charge preferred | 0 | # Service Member Victims involved with Courts-Martial preferrals against Subject | 0 |
| # Service Member Subjects: Nonjudicial punishments (Article 15 UCMJ) | 0 | # Service Member Victims involved with Nonjudicial punishments (Article 15) against Subject | 0 |
| # Service Member Subjects: Administrative discharges | 0 | # Service Member Victims involved with Administrative discharges against Subject | 0 |
| # Service Member Subjects: Other adverse administrative actions | 0 | # Service Member Victims involved with Other administrative actions against Subject | 0 |
| # Service Member Subjects: Courts-Martial charge preferred for non-sexual assault offense | 0 | # Service Member Victims involved with Courts-Martial preferrals for non-sexual assault offenses | 0 |
| # Service Member Subjects: Non-judicial punishment for non-sexual assault offense | 0 | # Service Member Victims involved with Nonjudicial punishment for non-sexual assault offenses | 0 |
| # Service Member Subjects: Administrative discharges for non-sexual assault offense | 0 | # Service Member Victims involved with administrative discharges for non-SA offense | 0 |
| # Service Member Subjects: Other adverse administrative actions for non-sexual assault offense | 0 | # Service Member Victims involved with Other administrative actions for non-SA offense | 0 |
| * Restricted Reports that convert to Unrestricted Reports are counted with the total number of Unrestricted Reports. | | | |

Restricted Reports in Combat Areas of Interest

| MARINE CORPS COMBAT AREAS OF INTEREST (CAI) FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT | |
|--|--------------------|
| A. FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT IN COMBAT AREAS OF INTEREST | FY19 Totals |
| # TOTAL Victims initially making Restricted Reports | 0 |
| # Service Member Victims making Restricted Reports | 0 |
| # Non-Service Member Victims making Restricted Report involving a Service Member Subject | 0 |
| # Relevant Data Not Available | 0 |
| # Total Victims who reported and converted from Restricted Report to Unrestricted Report in the FY19* | 0 |
| # Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 0 |
| # Non-Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 0 |
| # Relevant Data Not Available | 0 |
| # Total Victim reports remaining Restricted | 0 |
| # Service Member Victim reports remaining Restricted | 0 |
| # Non-Service Member Victim reports remaining Restricted | 0 |
| # Relevant Data Not Available | 0 |
| # Remaining Restricted Reports involving Service Members in the following categories | 0 |
| # Service Member on Service Member | 0 |
| # Non-Service Member on Service Member | 0 |
| # Service Member on Non-Service Member (entitled to a RR by DoD Policy) | 0 |
| # Unidentified Subject on Service Member | 0 |
| # Relevant Data Not Available | 0 |
| B. INCIDENT DETAILS IN COMBAT AREAS OF INTEREST | FY19 Totals |
| # Reported sexual assaults occurring | 0 |
| # On military installation | 0 |
| # Off military installation | 0 |
| # Unidentified location | 0 |
| # Relevant Data Not Available | 0 |
| Length of time between sexual assault and Restricted Report | 0 |
| # Reports made within 3 days of sexual assault | 0 |
| # Reports made within 4 to 10 days after sexual assault | 0 |
| # Reports made within 11 to 30 days after sexual assault | 0 |
| # Reports made within 31 to 365 days after sexual assault | 0 |
| # Reports made longer than 365 days after sexual assault | 0 |
| # Relevant Data Not Available | 0 |
| Time of sexual assault incident | 0 |
| # Midnight to 6 am | 0 |
| # 6 am to 6 pm | 0 |
| # 6 pm to midnight | 0 |
| # Unknown | 0 |
| # Relevant Data Not Available | 0 |
| Day of sexual assault incident | 0 |
| # Sunday | 0 |
| # Monday | 0 |
| # Tuesday | 0 |
| # Wednesday | 0 |
| # Thursday | 0 |
| # Friday | 0 |
| # Saturday | 0 |
| # Relevant Data Not Available | 0 |
| C. RESTRICTED REPORTING - VICTIM SERVICE AFFILIATION IN COMBAT AREAS OF INTEREST | FY19 Totals |
| # Service Member Victims | 0 |
| # Army Victims | 0 |
| # Navy Victims | 0 |
| # Marines Victims | 0 |
| # Air Force Victims | 0 |
| # Coast Guard Victims | 0 |
| # Relevant Data Not Available | 0 |

Restricted Reports in Combat Areas of Interest (continued)

| D. DEMOGRAPHICS FOR FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT IN COMBAT AREAS OF INTEREST | FY19 Totals |
|--|--------------------|
| Gender of Victims | 0 |
| # Male | 0 |
| # Female | 0 |
| # Relevant Data Not Available | 0 |
| Age of Victims at the Time of Incident | 0 |
| # 0-15 | 0 |
| # 16-19 | 0 |
| # 20-24 | 0 |
| # 25-34 | 0 |
| # 35-49 | 0 |
| # 50-64 | 0 |
| # 65 and older | 0 |
| # Relevant Data Not Available | 0 |
| Grade of Service Member Victims | 0 |
| # E1-E4 | 0 |
| # E5-E9 | 0 |
| # WO1-WO5 | 0 |
| # O1-O3 | 0 |
| # O4-O10 | 0 |
| # Cadet/Midshipman | 0 |
| # Academy Prep School Student | 0 |
| # Relevant Data Not Available | 0 |
| Status of Service Member Victims | 0 |
| # Active Duty | 0 |
| # Reserve (Activated) | 0 |
| # National Guard (Activated - Title 10) | 0 |
| # Cadet/Midshipman/Prep School Student | 0 |
| # Academy Prep School Student | 0 |
| # Relevant Data Not Available | 0 |
| Victim Type | 0 |
| # Service Member | 0 |
| # DoD Civilian | 0 |
| # DoD Contractor | 0 |
| # Other US Government Civilian | 0 |
| # Non-Service Member | 0 |
| # Foreign National | 0 |
| # Foreign Military | 0 |
| # Relevant Data Not Available | 0 |
| E. RESTRICTED REPORTING FOR A SEXUAL ASSAULT THAT OCCURRED PRIOR TO JOINING SERVICE IN COMBAT AREAS OF INTEREST | FY19 Totals |
| # Service Member Victims making a Restricted Report for Incidents Occurring Prior to Military Service | 0 |
| # Service Member Making A Restricted Report for an Incident that Occurred Prior to Age 18 | 0 |
| # Service Member Making a Restricted Report for an Incident that Occurred After Age 18 | 0 |
| # Service Member Choosing Not to Specify | 0 |
| # Relevant Data Not Available | 0 |
| F. RESTRICTED REPORTS CONVERSION DATA (DSAID USE ONLY) IN COMBAT AREAS OF INTEREST | FY19 Totals |
| Mean # of Days Taken to Change to Unrestricted | 0 |
| Standard Deviation of the Mean For Days Taken to Change to Unrestricted | 0 |
| Mode # of Days Taken to Change to Unrestricted | 0 |
| G. TOTAL VICTIMS WHO REPORTED IN PRIOR YEARS AND CONVERTED FROM RESTRICTED REPORT TO UNRESTRICTED REPORT IN THE FY19 IN COMBAT AREAS OF INTEREST | FY19 Totals |
| Total Victims who reported in prior years and converted from Restricted Report to Unrestricted Report in the FY19 | 0 |
| # Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 0 |
| # Non-Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 0 |
| # Relevant Data Not Available | 0 |
| TOTAL # FY19 COMBAT AREAS OF INTEREST - RESTRICTED REPORTS OF SEXUAL ASSAULT | FY19 Totals |
| TOTAL RESTRICTED ASSAULTS IN COMBAT AREAS OF INTEREST | 0 |
| Afghanistan | 0 |
| Bahrain | 0 |
| Djibouti | 0 |
| Iraq | 0 |
| Jordan | 0 |
| Kosovo | 0 |
| Kuwait | 0 |
| Lebanon | 0 |
| Pakistan | 0 |
| Oatar | 0 |
| Saudi Arabia | 0 |
| Somalia | 0 |
| Syria | 0 |
| Turkey | 0 |
| Uae | 0 |
| Yemen | 0 |
| * The Restricted Reports are reports that converted to Unrestricted Reports are counted in the total number of Unrestricted Reports listed in Worksheet 1a, Section A. | |

| MARINE CORPS CAI FY19 SUPPORT SERVICES FOR VICTIMS OF SEXUAL ASSAULT IN COMBAT AREAS OF INTEREST | | |
|--|--|---------------------|
| <i>NOTE: Totals of referrals and military protective orders are for all activities during the reporting period, regardless of when the sexual assault report was made.</i> | | |
| A. SUPPORT SERVICE REFERRALS TO SERVICE MEMBERS VICTIMS FROM UNRESTRICTED REPORTS: | FY19 Totals | |
| # Support service referrals for Victims in the following categories | | |
| # MILITARY Resources (Referred by DoD) | 33 | |
| # Medical | 1 | |
| # Mental Health | 8 | |
| # Legal | 1 | |
| # Chaplain/Spiritual Support | 1 | |
| # Rape Crisis Center | | |
| # Victim Advocate/Uniformed Victim Advocate | 9 | |
| # DoD Safe Helpline | 1 | |
| # Other | 12 | |
| # CIVILIAN Resources (Referred by DoD) | 0 | |
| # Medical | 0 | |
| # Mental Health | 0 | |
| # Legal | 0 | |
| # Chaplain/Spiritual Support | 0 | |
| # Rape Crisis Center | 0 | |
| # Victim Advocate | 0 | |
| # DoD Safe Helpline | 0 | |
| # Other | 0 | |
| # Cases where SAFEs were conducted | 0 | |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 0 | |
| # Military Victims making an Unrestricted Report for an incident that occurred prior to military service | 0 | |
| B. FY19 MILITARY PROTECTIVE ORDERS (MPO)* AND EXPEDITED TRANSFERS - UNRESTRICTED REPORTS IN COMBAT AREAS OF INTEREST | FY19 TOTALS | |
| # Military Protective Orders issued during FY19 | 0 | |
| # Reported MPO Violations in FY19 | 0 | |
| # Reported MPO Violations by Subjects | 0 | |
| # Reported MPO Violations by Victims of sexual assault | 0 | |
| # Reported MPO Violations by Both | 0 | |
| *In accordance with DoD Policy, Military Protective Orders are only issued in Unrestricted Reports. A Restricted Report cannot be made when | Use the following categories or add a new category | FY19 TOTALS |
| # Unit/Duty expedited transfer requests by Service Member Victims of sexual assault | 0 Total Number Denied | |
| # Unit/Duty expedited transfer requests by Service Member Victims Denied | 0 Reasons for Disapproval (Total) | |
| # Installation expedited transfer requests by Service Member Victims of sexual assault | 0 Moved Alleged Offender Instead | |
| # Installation expedited transfer requests by Service Member Victims Denied | 0 Pre-existing Transfer Order Used Instead | |
| C. SUPPORT SERVICE REFERRALS FOR MILITARY VICTIMS IN RESTRICTED REPORTS IN COMBAT AREAS OF INTEREST | FY19 TOTALS | |
| # Support service referrals for Victims in the following categories | | |
| # MILITARY Resources (Referred by DoD) | 3 | <i>Enter reason</i> |
| # Medical | 0 | <i>Enter reason</i> |
| # Mental Health | 1 | <i>Enter reason</i> |
| # Legal | 0 | <i>Enter reason</i> |
| # Chaplain/Spiritual Support | 0 | |
| # Rape Crisis Center | | |
| # Victim Advocate/Uniformed Victim Advocate | 1 | |
| # DoD Safe Helpline | 0 | |
| # Other | 1 | |
| # CIVILIAN Resources (Referred by DoD) | 0 | |
| # Medical | 0 | |
| # Mental Health | 0 | |
| # Legal | 0 | |
| # Chaplain/Spiritual Support | 0 | |
| # Rape Crisis Center | 0 | |
| # Victim Advocate | 0 | |
| # DoD Safe Helpline | 0 | |
| # Other | 0 | |
| # Cases where SAFEs were conducted | 0 | |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 0 | |

| CIVILIAN DATA | |
|--|--------------------|
| D. UNRESTRICTED REPORTS FROM NON-SERVICE MEMBERS (e.g., DOD CIVILIANS, DEPENDENTS, CONTRACTORS, ETC) THAT DO NOT INVOLVE A SERVICE MEMBER IN COMBAT AREAS OF INTEREST | FY19 Totals |
| D1. # Non-Service Members in the following categories: | 2 |
| # Non-Service Member on Non-Service Member | 0 |
| # Unidentified Subject or Undisclosed Affiliation on Non-Service Member | 0 |
| # Relevant Data Not Available | 2 |
| D2. Gender of Non-Service Members | 2 |
| # Male | 1 |
| # Female | 1 |
| # Relevant Data Not Available | 0 |
| D3. Age of Non-Service Members at the Time of Incident | 2 |
| # 0-15 | 0 |
| # 16-19 | 0 |
| # 20-24 | 0 |
| # 25-34 | 2 |
| # 35-49 | 0 |
| # 50-64 | 0 |
| # 65 and older | 0 |
| # Relevant Data Not Available | 0 |
| D4. Non-Service Member Type | 2 |
| # DoD Civilian | 0 |
| # DoD Contractor | 0 |
| # Other US Government Civilian | 0 |
| # US Civilian | 1 |
| # Foreign National | 1 |
| # Foreign Military | 0 |
| # Relevant Data Not Available | 0 |
| D5. # Support service referrals for Non-Service Members in the following categories | 1 |
| # MILITARY Resources (Referred by DoD) | 1 |
| # Medical | 0 |
| # Mental Health | 0 |
| # Legal | 0 |
| # Chaplain/Spiritual Support | 0 |
| # Rape Crisis Center | 0 |
| # Victim Advocate/Uniformed Victim Advocate | 0 |
| # DoD Safe Helpline | 0 |
| # Other | 1 |
| # CIVILIAN Resources (Referred by DoD) | 0 |
| # Medical | 0 |
| # Mental Health | 0 |
| # Legal | 0 |
| # Chaplain/Spiritual Support | 0 |
| # Rape Crisis Center | 0 |
| # Victim Advocate | 0 |
| # DoD Safe Helpline | 0 |
| # Other | 0 |
| # Cases where SAFEs were conducted | 0 |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 0 |
| E. FY19 RESTRICTED REPORTS OF SEXUAL ASSAULT FROM NON-SERVICE MEMBERS IN COMBAT AREAS OF INTEREST | FY19 Totals |
| E1. # Non-Service Member Victims making Restricted Report | 0 |
| # Non-Service Member Victims who converted from Restricted Report to Unrestricted Report in FY19 | 0 |
| # Non-Service Member Victim reports remaining Restricted | 0 |
| # Restricted Reports from Non-Service Member Victims in the following categories: | 0 |
| # Non-Service Member on Non-Service Member (entitled to a RR by DoD Policy) | 0 |
| # Unidentified Subject or Undisclosed Affiliation on Non-Service Member | 0 |
| # Relevant Data Not Available | 0 |
| E2. Gender of Non-Service Member Victims | 0 |
| # Male | 0 |
| # Female | 0 |
| # Relevant Data Not Available | 0 |
| E3. Age of Non-Service Member Victims at the Time of Incident | 0 |
| # 0-15 | 0 |
| # 16-19 | 0 |
| # 20-24 | 0 |
| # 25-34 | 0 |
| # 35-49 | 0 |
| # 50-64 | 0 |
| # 65 and older | 0 |
| # Relevant Data Not Available | 0 |
| E4. VICTIM Type | 0 |
| # DoD Civilian | 0 |
| # DoD Contractor | 0 |
| # Other US Government Civilian | 0 |
| # Non-Service Member | 0 |
| # Relevant Data Not Available | 0 |
| E5. # Support service referrals for Non-Service Member Victims in the following categories | 0 |
| # MILITARY Resources | 0 |
| # Medical | 0 |
| # Mental Health | 0 |
| # Legal | 0 |
| # Chaplain/Spiritual Support | 0 |
| # Rape Crisis Center | 0 |
| # Victim Advocate/Uniformed Victim Advocate | 0 |
| # DoD Safe Helpline | 0 |
| # Other | 0 |
| # CIVILIAN Resources (Referred by DoD) | 0 |
| # Medical | 0 |
| # Mental Health | 0 |
| # Legal | 0 |
| # Chaplain/Spiritual Support | 0 |
| # Rape Crisis Center | 0 |
| # Victim Advocate | 0 |
| # DoD Safe Helpline | 0 |
| # Other | 0 |
| # Cases where SAFEs were conducted | 0 |
| # Cases where SAFE kits or other needed supplies were not available at time of Victim's exam | 0 |

| FY 20 Service Member Sexual Assault Suspense Report: MARINE CORPS | | | | | | | | | | | | | | | | Administrative Actions | | | | | |
|---|--|-------------------|--------------------|-------------------------|-------------------------|---------------------|-------------------|----------------|--|---------------------------------|------------------------|------------------------------|---|---|---------------------------------|--|--|--|----------------------------|--------------------------|--|
| No. | Most Serious Sexual Assault Investigated For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject: Prior Investigation For Assault | Subject: Prior Waiver Accession | Subject: Referral Type | Quarter Disposition Complete | Most Serious Sexual Assault Offense Charged | Most Serious Offense Charged | Case Code or Article 15 Outcome | Reason Charges Promoted at Art 15 Hearing, if applicable | Most Serious Offense Committed | Administrative Discharge Type | Most Recent Art 15 Offense | Alcohol Use | Case Synopsis Note |
| 30 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-4 | Male | No | No | | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | Adverse Administrative Action Type: Other; Victim and Subject |
| 31 | Abusive Sexual Contact (Art. 120) | UNITED STATES | N/A | Foreign National | Female | Marine Corps | E-3 | Male | No | No | | Q4 (July-September) | Administrative discharge for non-sexual assault offense | | | | General | | | Subject (single subject) | |
| 32 | Rape (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-5 | Male | No | No | | Q3 (April-June) | Administrative discharge for non-sexual assault offense | | | | General | | | | |
| 33 | Sexual Assault (Art. 120) | JAPAN | Marine Corps | US Civilian | Female | Marine Corps | E-6 | Male | No | No | | Q2 (July-September) | Courts-Martial charge preferred for non-sexual assault offense | Failure to obey order or regulation (Art. 10) | Convicted | | None | Failure to obey order | | None | Notes: Victim reported that Subject sexually assaulted her while on base. Alcoholic was consumed by both parties. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority administratively separated the Subject for non-sexual assault related offenses. |
| 34 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Unknown | | | | | | | Offender is Unknown | | | | | | | | Notes: Duplicate case. |
| 35 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-3 | Male | Unknown | | | | | | Q4 (July-September) | Offender is Unknown | | | | | | | | Notes: Victim reported that an unknown Subject sexually assaulted her. Alcoholic was reportedly consumed by the victim. NCIS conducted an investigation. The Convening Authority reviewed the investigation. During the course of the investigation, the victim refused to furnish the report of sexual assault. The Convening Authority took no further action on the reported offense due to the offender being absent. |
| 36 | Rape (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-2 | Male | No | No | | Q3 (April-June) | Administrative Discharge | | | | Under Other than Honorable Conditions (DICTHC) | | | | Notes: Victim reported that Subject sexually assaulted her while on base. Alcoholic was consumed by both parties. NCIS and civilian authorities investigated. The convening authority reviewed the investigation. After consultation with the SA, the convening authority administratively separated Subject for sexual assault related offenses. |
| 37 | Rape (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-3 | Male | No | Yes | | Q3 (April-June) | Administrative Discharge | | | | Under Other than Honorable Conditions (DICTHC) | | | | Notes: Victim reported that Subject sexually assaulted her while on base. Alcoholic was consumed by both parties. NCIS conducted an investigation. The convening authority reviewed the investigation. After consultation with the SA, the convening authority administratively separated Subject for non-sexual assault related offenses. |
| 38 | Abusive Sexual Contact (Art. 120) | KUWAIT | Marine Corps | E-3 | Male | Marine Corps | E-4 | Male | No | No | | Q2 (January-March) | Administrative Discharge | | | | Under Other than Honorable Conditions (DICTHC) | | | | Notes: Victim reported that the Subject fired (fired during a recreational activity) at the SA. The SA advised the SA. After consultation with the SA, the Convening Authority referred the charges to a SPCC Pursuant to AFA, the Subject plead guilty at a SPCC for sexual assault related offenses. The Subject was administratively separated. |
| 39 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-2 | Male | No | No | | Q1 (October-December) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | Adverse Administrative Action Type: Letter of Counseling (DICTLC). |
| 40 | Approved Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Male | Marine Corps | E-3 | Male | No | No | | Q4 (July-September) | Courts-Martial Charge Preferred | Approved Sexual Contact (Art. 120) | Convicted | | Assault (Art. 128) | Under Other than Honorable Conditions (DICTHC) | | | Notes: Victim reported that Subject forcibly kissed her while in the barracks. NCIS conducted an investigation. The convening authority reviewed the investigation. After consultation with the SA, the convening authority issued a page 11 for non-sexual assault related offenses. Punishment: 1; Forfeiture of Pay and Allowance: Yes; Fine: No; Restriction: No; Reduction in rank: No; Hard Labor: Yes; Hard Labor (Days): 22. |
| 40a | Approved Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Male | Marine Corps | E-3 | Male | No | No | | Q4 (July-September) | Courts-Martial Charge Preferred | Approved Sexual Contact (Art. 120) | Convicted | | Assault (Art. 128) | Under Other than Honorable Conditions (DICTHC) | | | Notes: Victim reported that Subject sexually assaulted her while on base. NCIS conducted an investigation. The convening authority reviewed the investigation. After consultation with the SA, the convening authority referred the Subject to a SPCC. Subject was found guilty at a SPCC for non-sexual assault related offenses. Subject was administratively separated for non-sexual assault related offenses. |
| 40b | Approved Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Male | Marine Corps | E-2 | Male | No | No | | Q4 (July-September) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | Convicted | | Assault (Art. 128) | None | | | Notes: Victim reported that Subject sexually assaulted her while on base. NCIS conducted an investigation. Charges were preferred. The Subject was found guilty at a SPCC for non-sexual assault related offenses. |
| 41 | Sexual Assault (Art. 120) | JAPAN | Marine Corps | E-3 | Female | Marine Corps | E-4 | Male | No | No | | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | Adverse Administrative Action Type: Other; |
| 42 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-4 | Male | No | No | | Q1 (October-December) | Administrative Discharge | | | | Under Other than Honorable Conditions (DICTHC) | | | | Notes: Victim reported that Subject sexually assaulted her while in the barracks room. NCIS conducted an investigation. The convening authority reviewed the investigation. After consultation with the SA, the convening authority administratively separated Subject for sexual assault related offenses. |
| 43 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-3 | Male | Marine Corps | E-4 | Male | No | No | Other | Q1 (October-December) | Non-Judicial Punishment | Inherent Abuse (Art. 15A) | Convicted | Article 15 Punishment Imposed | | | | | Notes: Victim reported that the Subject jumped him from the back and made sexual comments at the place of work. NCIS conducted an investigation. After consultation with the SA, TC at LOS, the Subject received 15P for Inherent Abuse. |
| 44 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-3 | Male | No | No | | Q2 (January-March) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | Adverse Administrative Action Type: Other; Subject (single subject) |
| 45 | Abusive Sexual Contact (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-4 | Male | No | No | | Q3 (April-June) | Other Adverse Administrative Action | | | | | | | | Notes: Victim reported that Subject sexually assaulted her while on base. Alcoholic was consumed by both parties. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the Subject to an administrative separation board. No basis was found and Subject was administratively separated. |
| 46 | Sexual Assault (Art. 120) | UNITED STATES | Navy | E-3 | Female | Marine Corps | E-4 | Male | No | No | | Q2 (July-September) | Administrative Discharge | | | | Under Other than Honorable Conditions (DICTHC) | | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the Subject for sexual assault related offenses. |
| 47 | Rape (Art. 120) | JAPAN | Marine Corps | E-3 | Female | Marine Corps | E-3 | Male | No | No | | Q4 (July-September) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | Convicted | | Sexual Assault (Art. 120) | Yes | | Yes | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. Charges were preferred. At Art. 15 hearing, the Subject plead guilty at a SPCC for sexual assault related offenses. |
| 48 | Attempt to Commit Offense (Art. 90) | UNITED STATES | Marine Corps | E-2 | Female | Unknown | | | | | | Q4 (July-September) | Offender is Unknown | | | | | | | | Notes: Victim reported that an unknown Subject sexually assaulted her while on base. NCIS conducted an investigation. The convening authority reviewed the investigation. After consultation with the SA, the Convening Authority took no further action on the reported offense due to the offender being absent. |
| 49 | Rape (Art. 120) | UNITED STATES | N/A | US Civilian | Multiple Victims Female | Marine Corps | E-4 | Male | No | No | | Q1 (October-December) | Courts-Martial Charge Preferred | Rape (Art. 120) | Convicted | | Sexual Assault (Art. 120) | Yes | | | Notes: Victim reported that the Subject sexually assaulted three female Sailors while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the charges to a SPCC Pursuant to AFA, the Subject plead guilty at a SPCC for sexual assault related offenses. |
| 50 | Rape (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-5 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | | | Article 15 Punishment Imposed | | | | Notes: Victim reported that Subject sexually assaulted her while on base. NCIS conducted an investigation. The convening authority reviewed the investigation. After consultation with the SA, the convening authority imposed 15P on Subject for non-sexual assault related offenses. |
| 51 | Sexual Assault Contact (Art. 120) | UNITED STATES | Marine Corps | Multiple Victims Female | Multiple Victims Female | Marine Corps | E-3 | Male | No | No | | Q3 (April-June) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | Convicted | | Assault (Art. 128) | | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. Charges were preferred. At Art. 15 hearing, the Convening Authority considered the recommendations of the SA. The Convening Authority referred the charges to a SPCC Pursuant to AFA, the Subject plead guilty at a SPCC for non-sexual assault related offenses. |
| 52a | Sexual Assault (Art. 120) | JAPAN | Air Force | US Civilian | Female | Marine Corps | E-3 | Male | No | No | | Q2 (January-March) | Non-judicial punishment for non-sexual assault offense | | | | Article 15 Punishment Imposed | | | | Notes: Victim reported that the Subject sexually assaulted her while in the barracks. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the charges to a SPCC Pursuant to AFA, the Subject plead guilty at a SPCC for sexual assault related offenses. |
| 52b | Sexual Assault (Art. 120) | JAPAN | Air Force | US Civilian | Female | Marine Corps | E-3 | Male | No | No | | Q2 (January-March) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | Notes: Victim reported that the Subject sexually assaulted her while in the barracks. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the charges to a SPCC Pursuant to AFA, the Subject plead guilty at a SPCC for sexual assault related offenses. |
| 53 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-1 | Male | No | No | | Q4 (July-September) | Administrative discharge for non-sexual assault offense | | | | Under Other than Honorable Conditions (DICTHC) | | | | Notes: Victim reported that Subject sexually assaulted her while on base. NCIS conducted an investigation. The convening authority reviewed the investigation. After consultation with the SA, the convening authority referred the Subject to a SPCC Pursuant to AFA, the Subject plead guilty at a SPCC for non-sexual assault related offenses. |
| 54 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-4 | Male | Marine Corps | E-5 | Female | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | | | Article 15 Punishment Imposed | | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The convening authority reviewed the investigation. After consultation with the SA, the convening authority referred the charges to a SPCC Pursuant to AFA, the Subject plead guilty at a SPCC for non-sexual assault related offenses. |
| 55 | Approved Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-5 | Female | Marine Corps | E-7 | Male | No | No | | Q3 (April-June) | Courts-Martial charge preferred for non-sexual assault offense | | | | Assault (Art. 128) | Convicted | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The convening authority reviewed the investigation. After consultation with the SA, the convening authority referred the charges to a SPCC Pursuant to AFA, the Subject plead guilty at a SPCC for non-sexual assault related offenses. The Subject was administratively separated for a conviction of a felony. |

| FY 2019 Service Member Sexual Assault Response Report: MARINE CORPS | | | | | | | | | | | | | Administrative Actions | | | | | | | | |
|---|---|-------------------|--------------------|------------------|-----------------------|---------------------|-------------------|----------------|---|--------------------------------|-----------------------|---|---|------------------------------------|----------------------------------|---|-----------------------------------|--|--------------------------------|---|---|
| No. | Most Serious Sexual Assault Allegation Subject to Investigation For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject's Investigation For Sex Assault | Subject's Most Serious Offense | Subject's Report Date | Quarter/Disposition/Completed | Most Serious Sexual Assault Offense Charged | Most Serious Other Offense Charged | Court Code or Article 15 Outcome | Reason Charges Dismissed at Article Hearing if applicable | Most Serious Offense Committed | Administrative Discharge Type | Most Serious Pay Scale Offense | Alcohol Use | Case Synopsis Note |
| 56 | Rape (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-1 | Male | No | No | Q4 (July-September) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | | Convicted | | Lanterny (Art. 121) | None | | All victims and subjects included parties to the crime. | |
| 57 | Rape (Art. 120) | UNITED STATES | Marine Corps | E-5 | Male | Unknown | | Male | No | No | Q2 (January-March) | Subject is a Civilian or Foreign National | | | | | | | | Both Victim and Subject | |
| 58 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-1 | Female | Unknown | | Male | No | No | Q3 (April-June) | Offender is Unknown | | | | | | | | Unknown | |
| 59 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-1 | Female | Marine Corps | E-1 | Female | No | No | Q4 (July-September) | Administrative Discharge for non-sexual assault offense | | | | | | Under Other than Punishable Conditions (OUPHC) | | | |
| 60 | Approved Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-4 | Female | Unknown | | Unknown | No | No | Q4 (July-September) | Offender is Unknown | | | | | | | | | |
| 60 | Approved Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-4 | Female | Unknown | | Unknown | No | No | Q3 (April-June) | Offender is Unknown | | | | | | | | | |
| 61 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-1 | Male | Marine Corps | E-1 | Male | No | No | Q4 (July-September) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | Unknown |
| 62 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | US Civilian | Female | Marine Corps | E-3 | Male | No | No | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | Assault (Art. 128) | Article 15 Punishment Imposed | | | | | Both Victim and Subject | |
| 63 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Multiple Victims Male | Marine Corps | E-4 | Male | No | No | Q3 (April-June) | Administrative Discharge for non-sexual assault offense | | | | | | | | | All victims and subjects included parties to the crime. |
| 64 | Sexual Assault (Art. 120) | AUSTRALIA | Marine Corps | E-4 | Male | Unknown | | Male | No | No | Q4 (July-September) | Offender is Unknown | | | | | | | | | Subject (single subject) |
| 65 | Abusive Sexual Contact (Art. 120) | | Marine Corps | E-5 | Female | Marine Corps | E-3 | Male | No | No | Q3 (April-June) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | | Convicted | | Abusive Sexual Contact (Art. 120) | | Yes | | |
| 66 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Unknown | | Unknown | | | | Offender is Unknown | | | | | | | | | |
| 67 | Non-Consensual Sodomy (Art. 120) | | Marine Corps | E-3 | Male | Unknown | | Male | No | No | Q4 (July-September) | Offender is Unknown | | | | | | | | | |
| 68 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-5 | Female | Marine Corps | E-3 | Male | No | No | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | Both Victim and Subject |
| 69 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-4 | Male | No | No | | Administrative Discharge | | | | | | | | | Under Other than Punishable Conditions (OUPHC) |
| 70 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-4 | Female | Marine Corps | O-2 | Male | No | No | Q2 (October-December) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | |
| 71 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-5 | Female | Marine Corps | E-7 | Male | No | No | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | Unknown |
| 72 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | US Civilian | Female | Unknown | | Male | No | No | Q4 (July-September) | Subject is a Civilian or Foreign National | | | | | | | | | |
| 73 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-3 | Male | Unknown | | Unknown | No | No | Q4 (July-September) | Offender is Unknown | | | | | | | | | |
| 74 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-2 | Male | No | No | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | Subject (single subject) |
| 75 | Rape (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Unknown | | Unknown | No | No | Q3 (April-June) | Subject is a Civilian or Foreign National | | | | | | | | | Unknown |
| 76 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-4 | Female | Marine Corps | E-4 | Male | No | No | Q2 (October-December) | Administrative Discharge for non-sexual assault offense | | | | | | | | | Both Victim and Subject |
| 77 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-4 | Female | Marine Corps | E-4 | Male | No | No | Q4 (July-September) | Courts-Martial charge preferred for non-sexual assault offense | | Assault (Art. 128) | Convicted | | Assault (Art. 128) | None | | | |
| 78 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-4 | Female | Marine Corps | E-4 | Male | No | No | Q3 (April-June) | Other Adverse Administrative Action | | | | | | | | | Unknown |
| 79 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-5 | Female | Unknown | | Unknown | No | No | Q2 (October-December) | Subject is a Civilian or Foreign National | | | | | | | | | |
| 80 | Rape (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-3 | Male | No | No | Q2 (January-March) | Courts-Martial Charge Preferred for non-sexual assault offense | | Assault (Art. 128) | Convicted | | Assault (Art. 128) | | | | Both Victim and Subject |
| 81 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-4 | Female | Unknown | | Male | No | No | Q4 (July-September) | Offender is Unknown | | | | | | | | | |
| 82 | Rape (Art. 120) | UNITED STATES | N/A | US Civilian | Multiple Victims | Marine Corps | E-5 | Male | No | No | Q2 (January-March) | Courts-Martial Charge Preferred | Rape (Art. 120) | | Convicted | | Assault (Art. 128) | | | | |
| 83 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Unknown | | Unknown | No | No | Q4 (July-September) | Offender is Unknown | | | | | | | | | |
| 84 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-4 | Male | Unknown | | Unknown | No | No | Q4 (July-September) | Offender is Unknown | | | | | | | | | |

| FY19 Service Member Sexual Assault Summary Report: MARINE CORPS | | | | | | | | | | | | | Administrative Actions | | | | | | | | | |
|---|--|-------------------|--------------------|------------------|---------------|---------------------|-------------------|----------------|--------------------------------|-------------------------------|-----------------------|---|-------------------------------------|---|--|--|-------------------------------|------------------------------|--------------------------|---|---|--|
| No. | Host Service Member Subject to Investigation For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject: Host Report Accepted? | Subject: Host Report Refused? | Quarter Reported | Host Service Member Offense Charged | Host Service Member Offense Charged | Case or Article 15 Outcome | Reason Charges Dismissed or Article 15 Outcome | Host Service Member Offense Committed | Administrative Discharge Type | Host Report as Sex Offender? | Alcohol Use | Case Synopsis Note | | |
| 84 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-1 | Male | Unknown | Unknown | No | No | | Q4 (July-September) | Offender is Unknown | | | | | | | | None. Victim reported that an unknown Subject sexually assaulted her. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority took no further action on the reported offense due to the offender. | | |
| 85 | Rape (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-3 | Male | No | No | Q4 (July-September) | A Civilian/Foreign activity / Possessing Service Members | | | | | | | Both Victim and Subject | None. Victim reported sexual assault occurred but Alcohol was consumed by both parties. Local authorities investigated. After consultation with the SIA, the Converting Authority took no further action on the reported offense due to the offender. | | |
| 86 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-5 | Male | No | No | Multiple Referrals | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | Acquitted | | | | | Both Victim and Subject | None. Victim reported that the Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA and the TC, the Converting Authority reviewed the investigation and concluded the victim of the crime. After consultation with the SIA and the TC, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for sexual and non-sexual assault offenses. | | |
| 87 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-3 | Male | No | No | Q3 (April-June) | Courts-Martial charge preferred for non-sexual assault offense | Assault (Art. 120) | Convicted | Assault (Art. 120) | Under Other than Honorable Conditions (DDHC) | | | Subject (Single subject) | None. Victim reported that an unknown Subject sexually assaulted her. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority took no further action on the reported offense due to the offender. | | |
| 88 | Attempt to Commit Offense (Art. 80) | UNITED STATES | Marine Corps | E-3 | Female | Unknown | Unknown | No | No | | Q3 (April-June) | Offender is Unknown | | | | | | | | None. Victim reported that an unknown Subject sexually assaulted her. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority took no further action on the reported offense due to the offender. | | |
| 89 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | US Civilian | Female | Marine Corps | E-3 | Male | No | No | Q1 (October-December) | Courts-Martial charge preferred for non-sexual assault offense | Assault (Art. 120) | Convicted | Assault (Art. 120) | None | | | Both Victim and Subject | None. Victim reported that the Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA and the TC, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | | |
| 90 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Male | Marine Corps | E-4 | Male | No | No | Q3 (April-June) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | Charges dismissed for any other reason prior to Courts-Martial | | | | | | None. Victim reported that the Subject sexually assaulted her. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA and the TC, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | | |
| 90 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Male | Unknown | Unknown | Male | No | No | Q1 (October-December) | Offender is Unknown | | | | | | | | None. Victim reported that Subject sexually assaulted her. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority took no further action on the reported offense due to the offender. | | |
| 91 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-3 | Male | No | No | Q2 (January-March) | Courts-Martial charge preferred for non-sexual assault offense | Other Sexual Offense (Art. 120) | Convicted | Other Sexual Offense (Art. 120) | None | | | Unknown | None. Victim reported that the Subject sexually assaulted her. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | | |
| 92 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-2 | Male | No | No | Q3 (April-June) | Administrative discharge for non-sexual assault offense | | | | | | | | None. Victim reported that Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | | |
| 93 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Male | Marine Corps | E-2 | Male | No | No | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | Adverse Administrative Action Type: Other | | |
| 94 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-6 | Male | No | No | Q3 (April-June) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | Charges dismissed for any other reason prior to Courts-Martial referred by Art. 15 punishment | | | | | | Both Victim and Subject | None. Victim reported that the Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA and the TC, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | |
| 95 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-1 | Male | No | No | Q2 (January-March) | Other Adverse Administrative Action | | | | | | | | Adverse Administrative Action Type: Other | | |
| 96 | Attempted Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-1 | Female | Marine Corps | E-4 | Male | No | No | Q3 (April-June) | Courts-Martial charge preferred for non-sexual assault offense | Assault (Art. 120) | Convicted | Assault (Art. 120) | None | | | | None. Victim reported that the Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | | |
| 97 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-3 | Male | No | No | Q4 (July-September) | Non-judicial punishment for non-sexual assault offense | Article 15 Punishment Imposed | Article 15 Punishment Imposed | | | | | | None | | |
| 98 | Sexual Assault (Art. 120) | Unknown | Marine Corps | E-4 | Female | Marine Corps | E-5 | Male | No | No | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | Adverse Administrative Action Type: Other | | |
| 99 | Sexual Assault (Art. 120) | JAPAN | Marine Corps | E-3 | Female | Unknown | Unknown | No | No | | Q3 (April-June) | Offender is Unknown | | | | | | | | None. Victim reported that the Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority took no further action on the reported offense due to the offender. | | |
| 100 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-2 | Male | No | No | Q1 (October-December) | Administrative Discharge | | | | | | | | Both Victim and Subject | None. Victim reported that Subject sexually assaulted her. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | |
| 101 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-4 | Male | No | No | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 120) | Article 15 Punishment Imposed | | | | | | Both Victim and Subject | None. Victim reported that the Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | |
| 102 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Unknown | Unknown | Male | No | No | Q4 (July-September) | Subject is a Civilian or Foreign National | | | | | | | | Victim (Single victim) | None. Victim reported that Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority took no further action on the reported offense due to the offender. | |
| 103 | Sexual Assault (Art. 120) | JAPAN | Marine Corps | E-3 | Male | Marine Corps | E-3 | Male | No | No | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | Both Victim and Subject | None. Victim reported that the Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA and the TC, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | |
| 104 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Unknown | Unknown | No | No | | Q4 (July-September) | Offender is Unknown | | | | | | | | | None. Victim reported that Subject sexually assaulted her. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority took no further action on the reported offense due to the offender. | |
| 105 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-6 | Female | Navy | Male | Male | No | No | Q1 (October-December) | Subject is a Civilian or Foreign National | | | | | | | | | None. Victim reported that Subject sexually assaulted her. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | |
| 106 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-2 | Male | No | No | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | Adverse Administrative Action Type: Other | |
| 107 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-7 | Male | No | No | Q4 (July-September) | Administrative discharge for non-sexual assault offense | | | | | | | | Unknown | None. Victim reported that the Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA and the TC, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | |
| 108 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | US Civilian | Female | Marine Corps | E-3 | Male | No | No | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | Assault (Art. 120) | Article 15 Punishment Imposed | | | | | | Both Victim and Subject | None. Victim reported that the Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | |
| 109 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-4 | Male | No | No | Q4 (July-September) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | Convicted | Assault (Art. 120) | None | | | | Subject (Single subject) | None. Victim reported that the Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA and the TC, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | |
| 110 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-1 | Female | Marine Corps | E-1 | Male | No | No | Q4 (July-September) | Administrative discharge for non-sexual assault offense | | | | | | | | Uncharacterized | None. Victim reported that Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. | |
| 111 | Abusive Sexual Contact (Art. 120) | JAPAN | Marine Corps | E-4 | Female | Marine Corps | E-4 | Male | No | No | Q4 (July-September) | Administrative Discharge | | | | | | | | | Subject (Single subject) | None. Victim reported that Subject sexually assaulted her and Alcohol was consumed by both parties. NCS conducted an investigation. The Converting Authority reviewed the investigation. After consultation with the SIA, the Converting Authority referred the charges to a SPCM. The Subject was found guilty at a SPCM for non-sexual assault related offenses. |
| 112 | Attempted Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-2 | Male | No | No | Q4 (July-September) | Other Adverse Administrative Action | | | | | | | | | Adverse Administrative Action Type: Letter of Counseling (LOC) | |

| FF28 Member Sexual Assault Response Report - MEMBER CORPS | | | | | | | | | | | Administrative Action | | | | | | | | | | |
|---|--|-------------------|--------------------|----------------------|-------------------------|---------------------|-------------------|----------------|---|-----------------------------|-----------------------|-----------------------------|--|---|---|---|---|---|------------------------------|-------------|---|
| Case No. | Most Serious Sexual Assault Allegation Subject Is Designated For | Incident Location | Victim Affiliation | Victim Primary Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject Prior Investigation For Sex Assault | Subject: Name (Abbreviated) | Subject: Report Type | Quarter/Disposition/Conduct | Most Serious Sexual Assault Offense Charged | Most Serious Other Offense Charged | Court Case or Article 15 Outcome | Reason Charges Downgraded at Art 15 Hearing (if applicable) | Most Serious Offense Convicted | Administrative Dispositive Type | Most Restrictive Sex Offense | Alcohol Use | Case Synopsis Note |
| 170 | Sexual Assault (Art. 120) | JAPAN | Marine Corps | E-3 | Female | Marine Corps | E-3 | Male | No | No | | Q2 (January-March) | Administrative Discharge | | | | Under Other than Honorable Conditions (DOTHC) | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to the SJA, the Convening Authority administratively separated Subject for sexual assault offense. The Subject was administratively separated for sexual assault offense. |
| 171 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Multiple Victims Female | Marine Corps | E-4 | Male | No | No | | Q4 (July-September) | Administrative discharge for non-sexual assault offense | | | | Under Other than Honorable Conditions (DOTHC) | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The convening authority referred the investigation. After consultation with the SJA, the convening authority administratively separated Subject for non-sexual assault offense. |
| 172 | Sexual Assault (Art. 120) | United States | Marine Corps | E-4 | Female | Marine Corps | E-1 | Male | No | No | | Q4 (July-September) | Courts-Martial Charge Prefiled | Sexual Assault (Art. 120) | Convicted | | Assault (Art. 120) | Under Other than Honorable Conditions (DOTHC) | Subject (a single subject) | | Courts-Martial Discharge; Name; Confinement: No; Forfeiture of Pay and Allowances: Yes; Fine: No; Restitution: Yes; Restitution Length: Quarter; Restitution Length (Days): 45; Reduction in rate: No; Hard Labor: Yes; Hard Labor (Days): 45; Punishment: Yes; Punishment Type: 15 Days; Punishment Length: 15 Days; Punishment Length (Days): 15; Restitution in rate: Yes; Pay Grade Reduced To: E-3; Data Duty: No; Hard Labor: No; Convictional Category (NCP Only): No. |
| 173 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-4 | Male | No | No | | Q4 (July-September) | Courts-Martial Charge Prefiled | Sexual Assault (Art. 120) | Convicted | | Assault (Art. 120) | None | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. Charges were preferred. Pursuant to a PFA, the Subject pled guilty at a SPCM for non-sexual assault offense. |
| 174 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-4 | Female | Marine Corps | E-3 | Male | No | No | | Q3 (April-June) | Courts-Martial charge preferred for non-sexual assault offense | | Assault (Art. 120) | Convicted | | Assault (Art. 120) | None | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. Charges were preferred. Pursuant to a PFA, the Subject pled guilty at a SPCM for non-sexual assault offense. |
| 175 | Abusive Sexual Contact (Art. 120) | Unknown | Marine Corps | E-3 | Female | Marine Corps | E-7 | Male | No | No | | Q3 (April-June) | Administrative Discharge | | | | Under Other than Honorable Conditions (DOTHC) | Subject (a single subject) | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to an administrative discharge. Subject was administratively discharged for sex-related offense. |
| 176 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Male | Marine Corps | E-3 | Male | No | No | | Q1 (October-December) | Administrative Discharge for non-sexual assault offense | | | | Under Other than Honorable Conditions (DOTHC) | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to a SPCM. Pursuant to a S111, the Subject was administratively separated for non-sexual assault offense. |
| 177 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-4 | Male | No | No | | Q4 (July-September) | Courts-Martial charge preferred for non-sexual assault offense | Failure to obey order or regulation (Art. 10) | Charges dismissed for any other reason prior to Courts-Martial followed by Art. 15 punishment | | None | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. Charges were preferred. Pursuant to a PFA, the Subject received NCP for non-sexual assault offense. |
| 178 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-3 | Male | No | No | | Q2 (January-March) | Administrative Discharge for non-sexual assault offense | | | | Under Other than Honorable Conditions (DOTHC) | Both Victim and Subject | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. Charges were preferred. The Convening Authority referred the charges to a SPCM. Pursuant to a PFA, the Subject pled guilty at a SPCM for non-sexual assault offense. The Subject was administratively separated for a conviction of a crime. |
| 179 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-2 | Male | No | No | | Q2 (October-December) | Administrative Discharge for non-sexual assault offense | | | | Under Other than Honorable Conditions (DOTHC) | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to the SJA. The Convening Authority administratively separated the Subject for sexual assault offense. |
| 180 | Rape (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Unknown | | Male | No | No | | Q3 (April-June) | Offender is Unknown | | | | | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to a SPCM. Pursuant to a S111, the Subject was administratively separated for non-sexual assault offense due to the offender. |
| 181 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Male | Marine Corps | E-4 | Male | No | No | | Q3 (April-June) | Other adverse administrative action for non-sexual assault offense | | | | | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to the SJA. The Convening Authority issued NCP on the Subject for non-sexual assault offense. |
| 182 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Army | E-1 | Female | Marine Corps | E-2 | Male | No | No | | Q4 (July-September) | Administrative Discharge | | | | Under Other than Honorable Conditions (DOTHC) | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to the SJA. The Subject was administratively separated for non-sexual assault offense. |
| 183 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-1 | Male | Marine Corps | E-1 | Male | No | No | | Q2 (October-December) | Administrative Discharge | | | | Uncharacterized | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to the SJA. The Subject was administratively separated for non-sexual assault offense. |
| 184 | Abusive Sexual Contact (Art. 120) | Unknown | Marine Corps | E-4 | Female | Marine Corps | E-4 | Male | No | No | | Q4 (July-September) | Other adverse administrative action for non-sexual assault offense | | | | | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to the SJA. The Subject was administratively separated for non-sexual assault offense. |
| 185 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-1 | Female | Marine Corps | E-1 | Female | No | No | | Q4 (July-September) | Administrative Discharge for non-sexual assault offense | | | | Under Other than Honorable Conditions (DOTHC) | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to the SJA. The Subject was administratively separated for non-sexual assault offense. |
| 186 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | US Civilian | Female | Marine Corps | E-3 | Male | No | No | | Q4 (July-September) | Other adverse administrative action for non-sexual assault offense | | | | Subject (a single subject) | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The convening authority referred the investigation and considered the views of the victim. After consultation with the SJA, the convening authority issued a S111 resulting in the Subject being separated for non-sexual assault offense. |
| 187 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-1 | Male | No | No | | Q1 (October-December) | Other Adverse Administrative Action | | | | Both Victim and Subject | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The convening authority referred the investigation. After consultation with the SJA, the convening authority issued S125 to Subject for non-sexual assault offense. |
| 188 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Unknown | Unknown | | No | No | | Q4 (July-September) | Offender is Unknown | | | | | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the investigation. After consultation with the SJA, the Convening Authority took no further action on the reported offense due to the offender. |
| 189 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-1 | Male | Marine Corps | E-2 | Male | No | No | | Q2 (January-March) | Administrative Discharge | | | | Uncharacterized | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to the SJA. The Subject was administratively separated for non-sexual assault offense. |
| 190 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-2 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | Failure to obey order or regulation (Art. 10) | Article 15 Punishment imposed | | Under Other than Honorable Conditions (DOTHC) | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base and off-base location. NCS conducted an investigation. The Convening Authority referred the investigation and considered the views of the victim. After consultation with the SJA, the Convening Authority issued NCP on the Subject for non-sexual assault offense. The Subject was administratively separated for sexual assault offense. |
| 191 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-5 | Female | Unknown | | Male | No | No | | Q1 (October-December) | Subject is a Child of a Foreign National | | | | | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to the SJA. The Convening Authority took no further action on the reported offense due to lack of jurisdiction. |
| 192 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-4 | Female | Unknown | Unknown | | No | No | | Q3 (April-June) | Offender is Unknown | | | | | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to the SJA. The Convening Authority took no further action on the reported offense due to the offender. |
| 193 | Sexual Assault (Art. 120) | UNITED STATES | Air Force | E-2 | Female | Marine Corps | E-3 | Male | No | No | | Q4 (July-September) | Courts-Martial Charge Prefiled | Sexual Assault (Art. 120) | Charges dismissed for any other reason prior to Courts-Martial | | Subject (a single subject) | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. Charges were preferred. An Article 12 was held. Charges were referred to OCP and subsequently withdrawn and dismissed due to victim unavailability. Subject was issued a S125 resulting in the Subject being separated for sexual assault offense. |
| 194 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-1 | Female | Unknown | Unknown | | No | No | | Q3 (April-June) | Offender is Unknown | | | | | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the investigation. After consultation with the SJA, the Convening Authority took no further action on the reported offense due to the offender. |
| 195 | Rape (Art. 120) | Unknown | Navy | E-4 | Female | Marine Corps | E-5 | Male | No | No | | Q3 (April-June) | Non-judicial punishment for non-sexual assault offense | | Article 15 Punishment imposed | | Both Victim and Subject | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the investigation. After consultation with the SJA, the Convening Authority issued S125 to Subject for non-sexual assault offense. |
| 196 | Rape (Art. 120) | UNITED STATES | Marine Corps | US Civilian | Female | Marine Corps | E-4 | Male | No | No | | Q4 (July-September) | Subject is a Child of a Foreign National | | | | | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The convening authority referred the investigation. After consultation with the SJA, the convening authority took no further action on the reported offense due to Subject being under 18 years of age. |
| 197 | Rape (Art. 120) | UNITED STATES | Marine Corps | E-2 | Male | Unknown | | Male | No | No | | Q3 (April-June) | Subject is a Child of a Foreign National | | | | | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The convening authority referred the investigation. After consultation with the SJA, the convening authority took no further action on the reported offense due to Subject being under 18 years of age. |
| 198 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-3 | Male | No | No | | Q1 (October-December) | Non-judicial punishment for non-sexual assault offense | | Article 15 Punishment imposed | | Both Victim and Subject | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. Charges were preferred. NCS conducted an investigation. The Convening Authority referred the investigation and considered the views of the victim. After consultation with the SJA, the Convening Authority issued NCP on the Subject for non-sexual assault offense. |
| 199 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-3 | Male | No | No | | Q3 (April-June) | Administrative Discharge | | | | Under Other than Honorable Conditions (DOTHC) | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The convening authority administratively separated Subject. |
| 200 | Abusive Sexual Contact (Art. 120) | JAPAN | Marine Corps | E-3 | Female | Marine Corps | E-3 | Male | No | No | | Q3 (April-June) | Other adverse administrative action for non-sexual assault offense | | | | Both Victim and Subject | | | | Notes: Victim reported that Subject sexually assaulted her on an on-base. NCS conducted an investigation. The Convening Authority referred the charges to the SJA. The Convening Authority issued S125 for non-sexual assault offense. |

| FY 23 Service Member Sexual Assault System Reports: PENDING CORPS | | | | | | | | | | | | | Administrative Action | | | | | | | | |
|---|---|-------------------|--------------------|------------------|-------------------------|---------------------|-------------------|----------------|---|-----------------------------------|------------------------|---|---|--|---|--|--------------------------------|--|--|---|--|
| No. | Most Serious Sexual Assault Allegation Investigated For | Incident Location | Victim Affiliation | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject Pay Grade | Subject Gender | Subject: Prior Investigation For SA Report? | Subject: Prior Report Accusatory? | Subject: Report Type | Quarter/Date Completed | Most Serious Sexual Assault Offense Charged | Most Serious Offense Charged | Court Case or Article 15 Outcome | Reason Charges Dismissed at Art. 15 Hearing, if applicable | Most Serious Offense Convicted | Administrative Discharge Type | Most Report as Sex Offender | Alcohol Use | Case Synopsis Note |
| 259 | Sexual Assault (Art. 120) | JAPAN | Marine Corps | E-3 | Female | Marine Corps | E-3 | Male | No | No | Q3 (April-June) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | Convicted | | | Sexual Assault (Art. 120) | | Yes | Both Victim and Subject | Counts-Marital Discharge: Q3 - Domestic Violence; Confession (Phonetic): 44; Forfeiture of Pay and Allowance: Yes; Fine: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-1; Hard Labor: No; Notes: Victim reported that the Subject sexually assaulted her while on base. Alcohol was consumed by both parties. NCIS conducted an investigation. Charges were preferred. An Art. 15 was held. The Convening Authority considered the recommendations of the Art. 15 Officer. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for sexual assault related offenses. |
| 260 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-1 | Female | Marine Corps | E-1 | Female | No | No | Q2 (January-March) | Administrative discharge for non-sexual assault offense | | | | | | Unsubstantiated | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 261 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-1 | Male | Marine Corps | E-1 | Male | No | No | Q4 (July-September) | Administrative Discharge | | | | | | Unsubstantiated | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 262 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-5 | Male | No | No | Q4 (July-September) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | Unknown | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 263 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-2 | Male | No | No | Q4 (July-September) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The convening authority reviewed the investigation. After consultation with the SA, the convening authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 264 | Abusive Sexual Contact (Art. 120) | Unknown | Marine Corps | US Civilian | Female | Marine Corps | E-4 | Male | No | No | Q4 (July-September) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | Convicted | | | Sexual Assault (Art. 120) | None | | Both Victim and Subject | Counts-Marital Discharge: None; Confession: No; Forfeiture of Pay and Allowance: Yes; Fine: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-3; Hard Labor: No; Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. Charges were preferred. An Art. 15 was held. The Convening Authority considered the recommendations of the Art. 15 Officer. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 265 | Sexual Assault (Art. 120) | Unknown | N/A | US Civilian | Female | Marine Corps | E-5 | Male | No | No | Q4 (July-September) | Courts-Martial Charge Preferred | Abusive Sexual Contact (Art. 120) | Convicted | | | Sexual Assault (Art. 120) | None | | Victim (single victim) | Counts-Marital Discharge: None; Confession: No; Forfeiture of Pay and Allowance: Yes; Fine: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-1; Hard Labor: Yes; Hard Labor (Days): 90; Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The convening authority referred the Subject to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 266 | Attempts to Coerce Offenses (Art. 80) | JAPAN | Marine Corps | E-4 | Female | Marine Corps | E-7 | Male | No | No | Q3 (April-June) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | Both Victim and Subject | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the charges to a GCM for non-sexual assault related offenses. |
| 267 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-6 | Female | Marine Corps | E-5 | Male | No | No | Q3 (April-June) | Administrative Discharge | | | | | | Under Other than Honorable Conditions (UOHC) | | Unknown | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the charges to a GCM for non-sexual assault related offenses. The Subject was administratively separated for sexual assault related offenses. |
| 268 | Sexual Assault (Art. 120) | JAPAN | Marine Corps | Multiple Victims | Multiple Victims Female | Marine Corps | E-4 | Male | No | No | Q3 (April-June) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | Convicted | | | Sexual Assault (Art. 120) | | Yes | Both Victim and Subject | Counts-Marital Discharge: None; Confession: No; Forfeiture of Pay and Allowance: Yes; Fine: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-1; Hard Labor: No; Notes: Victim reported that the Subject sexually assaulted her while on base. Alcohol was consumed by both parties. NCIS conducted an investigation. Charges were preferred. The Convening Authority considered the recommendations of the Art. 15 Officer. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 269 | Sexual Assault (Art. 120) | JAPAN | Marine Corps | E-3 | Male | Marine Corps | E-3 | Male | No | No | Q4 (July-September) | Other Adverse Administrative Action | | | | | | | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. After consultation with the SA, the Convening Authority referred the Subject for non-sexual assault related offenses. |
| 270 | Rape (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-5 | Male | No | No | Q2 (January-March) | Courts-Martial charge preferred for non-sexual assault offense | | | | | Sexual Assault (Art. 120) | None | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 271 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Navy | E-3 | Female | Marine Corps | E-3 | Male | No | No | Q2 (January-March) | Courts-Martial charge preferred for non-sexual assault offense | | | | | Sexual Assault (Art. 120) | None | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 272 | Sexual Assault (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-4 | Male | No | No | Q2 (January-March) | Other Adverse Administrative Action | | | | | | | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the Subject for non-sexual assault related offenses. |
| 273 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-5 | Male | No | No | Q3 (April-June) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | Article 15 Punishment Imposed | | | | | Under Other than Honorable Conditions (UOHC) | Unknown | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation and considered the views of the victim. The Convening Authority imposed NJP on the Subject for sexual assault related offenses. Pursuant to a PFA, the Subject was administratively separated for sexual assault related offenses. |
| 274 | Rape (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-5 | Male | No | No | Q4 (July-September) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | Acquitted | | | | | | Both Victim and Subject | Notes: Victim reported that the Subject sexually assaulted her while on base. Alcohol was consumed by both parties. NCIS conducted an investigation. Charges were preferred. An Art. 15 was held. The Convening Authority considered the recommendations of the Art. 15 Officer. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 275 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | O-5 | Male | No | No | Q1 (October-December) | Non-Judicial Punishment | Through Sexual Contact (Art. 120) | Article 15 Punishment Imposed | | | | | | | Notes: Victim reported that the Subject sexually assaulted her while on a command function. NCIS conducted an investigation. The convening authority reviewed the investigation. After consultation with the SA, the convening authority referred the charges to non-judicial punishment. Subject related to the victim's pay and allowances: Yes; Fine: No; Restriction: No; Reduction in rank: No; Extra Duty: No; Conventional Custody (NJP Only): No. |
| 276 | Abusive Sexual Contact (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | O-1 | Male | No | No | Article/DND Counseling | Non-judicial punishment for non-sexual assault offense | | | | | Sexual Assault (Art. 120) | None | | Subject (single subject) | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation and considered the views of the victim. After consultation with the SA, the Convening Authority imposed NJP on the Subject for sexual assault related offenses. Pursuant to a PFA, the Subject was administratively separated for non-sexual assault related offenses. |
| 277 | Rape (Art. 120) | UNITED STATES | Marine Corps | E-3 | Male | Unknown | Unknown | No | No | No | Q4 (July-September) | Offender is Unknown | | | | | | | | | Notes: Victim reported that an unknown Subject sexually assaulted her. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority took no further action on the reported offense due to the offender's status. |
| 278 | Sexual Assault (Art. 120) | N/A | US Civilian | Female | Marine Corps | E-4 | Male | No | No | No | Q4 (July-September) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | Charges dismissed for any other reason prior to Courts-Martial | | | | | | Subject (single subject) | Notes: Victim reported that the Subject sexually assaulted her while on base. Alcohol was consumed by both parties. NCIS conducted an investigation. Charges were preferred. An Art. 15 was held. In light of additional evidence, all charges and offenses were withdrawn and dismissed without prejudice. |
| 279 | Rape (Art. 120) | UNITED STATES | N/A | US Civilian | Female | Marine Corps | E-5 | Male | No | No | Q3 (April-June) | A Civilian/Foreign authority is Processing Service Member | | | | | | | | Victim (single victim) | Notes: Victim reported that the Subject sexually assaulted her while on base. Alcohol was consumed by both parties. NCIS conducted an investigation. The Convening Authority considered the recommendations of the Art. 15 Officer. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 280 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-4 | Male | No | No | Q1 (October-December) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | Convicted | | | Sexual Assault (Art. 120) | | Yes | All victims and subjects (Multiple parties) | Counts-Marital Discharge: Q3 - Domestic Violence; Confession: No; Forfeiture of Pay and Allowance: Yes; Fine: No; Restriction: No; Reduction in rank: Yes; Pay Grade Reduced To: E-1; Hard Labor: No; Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 281 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Multiple Victims Female | Marine Corps | E-1 | Male | No | No | Q4 (July-September) | Courts-Martial Charge Preferred | Aggravated Sexual Assault (Art. 120) | Charge dismissed to recommendation by Art. 15 hearing officer followed by Art. 15 punishment | Evidence did not support a recommendation for prosecution | | | Under Other than Honorable Conditions (UOHC) | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. Charges were preferred. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the charges and imposed NJP on the Subject for non-sexual assault related offenses. Pursuant to a PFA, the Subject was administratively separated for non-sexual assault related offenses. |
| 282 | Rape (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-4 | Male | No | No | Q2 (January-March) | Courts-Martial Charge Preferred | Sexual Assault (Art. 120) | Acquitted | | | | | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. Charges were preferred. An Art. 15 was held. The Convening Authority considered the recommendations of the Art. 15 Officer. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 283 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | E-5 | Female | Marine Corps | E-6 | Male | No | No | Q2 (January-March) | Courts-Martial charge preferred for non-sexual assault offense | | | | | Sexual Assault (Art. 120) | Acquitted | | Both Victim and Subject | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |
| 284 | Sexual Assault (Art. 120) | JAPAN | Marine Corps | E-4 | Female | Marine Corps | E-5 | Male | No | No | Q4 (July-September) | Non-judicial punishment for non-sexual assault offense | | | | | | | | | Notes: Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. The Convening Authority reviewed the investigation and considered the views of the victim. After consultation with the SA, the Convening Authority referred the charges to a GCM. Pursuant to a PFA, the Subject plead guilty at a GCM for non-sexual assault related offenses. |

| FY23 Service Member Sexual Assault Synopses - Report: MARINE CORPS | | | | | | | | | | | | | | | | | | | | | | |
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| No. | Most Serious Sexual Assault Allegation Subject Is Investigated For | Incident Location | Victim Admission | Victim Pay Grade | Victim Gender | Subject Affiliation | Subject's Pay Grade | Subject's Standard | Subject's Prior Investigation for Same Offense? | Subject's Moral/Minor Offenses? | Subject's Referral Type | Quarter/Date Reported | Other Adverse Administrative Action | Most Serious Sexual Assault Offense Charged | Most Serious Other Offense Charged | Court Case or Article 15 Outcome | Recess Charges Dismissed or Art 32 Hearing, if applicable | Most Serious Offense Committed | Administrative Discharge Type | Most Restrictive Sex Offense | Alcohol Use | Case Synopsis Note |
| 205 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Male | Marine Corps | E-1 | Male | No | No | | Q4 (July-September) | Other Adverse Administrative Action | | | | | | | | Unknown | Adverse Administrative Action Type: Other; None; Victim reported that Subject sexually assaulted him; NCIS conducted an investigation. The Conveying Authority reviewed the investigation. After consultation with the SJA, the Conveying Authority issued a DCS to the Subject for a sexual assault offense. |
| 206 | Abusive Sexual Contact (Art. 120) | JAPAN | Marine Corps | E-3 | Female | Marine Corps | E-5 | Male | No | No | | Q4 (July-September) | Administrative Discharge for non-sexual assault offense | | | | | | Under Other than Honorable Conditions (DOTH) | | | None; Victim reported that the Subject sexually harassed them while on base. NCIS conducted an investigation. The Conveying Authority reviewed the investigation. Pursuant to a PFA, the Subject received 90 days of non-sexual assault related offense and was administratively separated for a conviction of sexual harassment. |
| 207 | Rape (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-3 | Male | No | No | | Q2 (January-March) | Other adverse administrative actions for non-sexual assault offense | | | | | | | | Both Victim and Subject | Adverse Administrative Action Type: Other; None; Victim reported that the Subject sexually assaulted her while at the barracks. Alcohol was consumed by both parties. NCIS conducted an investigation. The Conveying Authority reviewed the investigation. After consultation with the SJA, the Conveying Authority formally counseled (E15) the Subject for sexual assault. |
| 208 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-1 | Female | Unknown | Unknown | Unknown | No | No | | Q3 (April-June) | Offender is Unknown | | | | | | | | | None; Victim reported that an unknown Subject sexually assaulted them. NCIS conducted an investigation. The Conveying Authority reviewed the investigation. After consultation with the SJA, the Conveying Authority took no further action on the reported offense due to the offender's status. |
| 209 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-2 | Female | Marine Corps | E-1 | Male | No | No | | Q1 (October-December) | Non-Judicial Punishment for non-sexual assault offense | Assault (Art. 120) | Article 15 Punishment Imposed | | | None | | | Unknown | Perfection of Pay and Allowances: Yes; Fine: No; Restrictions: Yes; Restriction Limit: Other; Restriction Length (Days): 60; Reduction in rank: No; Hard Labor: No; Hard Labor: No; Correctional Custody (NCP Only): No; None; Victim reported that the Subject sexually harassed her both while at barracks. NCIS conducted an investigation. The Conveying Authority reviewed the investigation. After consultation with the SJA, the Conveying Authority imposed NCP on the Subject for non-sexual assault related offense. |
| 210 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Marine Corps | E-3 | Male | No | No | | Q3 (April-June) | Administrative Discharge for non-sexual assault offense | | | | | | Under Other than Honorable Conditions (DOTH) | | | None; Victim reported that the Subject sexually harassed her both while at barracks. NCIS conducted an investigation. The Conveying Authority reviewed the investigation. After consultation with the SJA, the Conveying Authority imposed NCP on the Subject for non-sexual assault related offense. |
| 211 | Rape (Art. 120) | UNITED STATES | Marine Corps | E-3 | Female | Unknown | Unknown | Unknown | No | No | | Q4 (July-September) | Subject is a Victim of Foreign National | | | | | | | | Unknown | None; Victim reported that Subject sexually assaulted her while on base. NCIS conducted an investigation. The Conveying Authority reviewed the investigation. After consultation with the SJA, the Conveying Authority took no further action on the reported offense due to the offender's status. |
| 212 | Wrongful Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-6 | Female | Unknown | Unknown | Unknown | No | No | | Q4 (July-September) | Offender is Unknown | | | | | | | | | None; Victim reported that an unknown Subject sexually assaulted them. NCIS conducted an investigation. The Conveying Authority reviewed the investigation. After consultation with the SJA, the Conveying Authority took no further action on the reported offense due to the offender's status. |
| 213 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-4 | Female | Marine Corps | E-7 | Male | No | No | | Q2 (July-September) | Non-Judicial Punishment | Abusive Sexual Contact (Art. 120) | Article 15 Punishment Imposed | | | None | | | Subject (a single subject) | Perfection of Pay and Allowances: Yes; Fine: No; Restrictions: Yes; Restriction Limit: Quarters; Restriction Length (Days): 60; Reduction in rank: No; Hard Labor: No; Hard Labor: No; Correctional Custody (NCP Only): No; None; Victim reported that the Subject committed abusive sexual contact at an on-base location. Alcohol was consumed by the Subject. NCIS conducted an investigation. The Conveying Authority reviewed the investigation and considered the views of the Victim. After consultation with the SJA, VAC, ETC, and the TC, the Conveying Authority imposed NCP on the Subject for sexual assault related offense. |
| 214 | Sexual Assault (Art. 120) | JAPAN | Marine Corps | E-3 | Female | Marine Corps | E-3 | Male | No | No | | Q1 (October-December) | Courts-Martial Charge Pending | Abusive Sexual Contact (Art. 120) | Convicted | | | Assault (Art. 120) | | | Both Victim and Subject | Completion of Pay and Allowances: Yes; Fine: No; Restrictions: Yes; Restriction Limit: 24; Perfection of Pay and Allowances: Yes; Fine: No; Restrictions: No; Reduction in rank: Yes; Pay Grade Reduced To: E-1; Hard Labor: No; None; Victim reported that the Subject sexually assaulted her while on base. NCIS conducted an investigation. Changes were performed. An Art. 32 was held. The Conveying Authority considered the recommendations of the Art. 32 Officer. After consultation with the SJA, the Conveying Authority referred the charge to a CDR. The Subject was found guilty as a CDR for sexual assault. |
| 215 | Abusive Sexual Contact (Art. 120) | JAPAN | Marine Corps | E-2 | Female | Marine Corps | E-2 | Male | No | No | | Q3 (April-June) | Administrative Discharge for non-sexual assault offense | | | | | | Under Other than Honorable Conditions (DOTH) | | | None; Victim reported that the Subject sexually harassed her while at the barracks. NCIS conducted an investigation. The Conveying Authority reviewed the investigation. After consultation with the SJA, the Conveying Authority imposed a DCS on the Subject for non-sexual assault related offense. The Subject was administratively separated for a conviction of a sexual offense. |
| 216 | Abusive Sexual Contact (Art. 120) | UNITED STATES | Marine Corps | E-5 | Male | Marine Corps | E-8 | Male | No | No | | Q1 (October-December) | Courts-Martial Charge Pending | Sexual Assault (Art. 120) | Convicted | | | Assault (Art. 120) | None | | | None; Victim reported that the Subject kissed and hit them in the groin. NCIS conducted an investigation. Changes were performed. The Conveying Authority reviewed the investigation and considered the views of the Victim. After consultation with the SJA, the Conveying Authority referred the charge to a PFA. The Subject found guilty as a CDR for non-sexual assault related offense. |
| 217 | Abusive Sexual Contact (Art. 120) | Unknown | Marine Corps | E-3 | Female | Marine Corps | E-4 | Male | No | No | | Q1 (October-December) | Other Adverse Administrative Action | | | | | | | | Both Victim and Subject | Adverse Administrative Action Type: Letter of Counseling (LOC); None; Victim reported that the Subject sexually harassed her while on base. NCIS conducted an investigation. The Conveying Authority reviewed the investigation and considered the views of the Victim. After consultation with the SJA, VAC, ETC, and the TC, the Conveying Authority referred the Subject to a non-judicial punishment. The Subject refused. The Conveying Authority formally counseled (E15) the Subject for non-sexual assault related offense. |
| 218 | Sexual Assault (Art. 120) | UNITED STATES | Marine Corps | US Civilian | Female | Unknown | Unknown | Unknown | No | No | | Q4 (July-September) | Offender is Unknown | | | | | | | | | None; Victim reported that an unknown Subject sexually assaulted them. NCIS conducted an investigation. The Conveying Authority reviewed the investigation. After consultation with the SJA, the Conveying Authority took no further action on the reported offense due to the offender's status. |