

**SUFFOLK COUNTY WATER AUTHORITY**

**ANNUAL INVESTMENT REPORT**

**FISCAL YEAR ENDED MAY 31, 2014**

**ANNUAL INVESTMENT REPORT**  
**OF THE**  
**SUFFOLK COUNTY WATER AUTHORITY**  
**FOR THE**  
**FISCAL YEAR ENDED MAY 31, 2014**

**AUTHORIZATION**

Title 7, Section 2925, Paragraph 7(b) of the Public Authorities Law

"Each corporation, other than a corporation included under Paragraph (a) of this subdivision, shall annually submit its investment report to the Chief Executive Officer and Chief Financial Officer of each municipality for the benefit of which it was created and to the Department of Audit and Control."

Name of Official:            Larry B. Kulick, C.P.A  
Title:                            Chief Financial Officer  
Address:                        Suffolk County Water Authority  
                                      P.O. Box 38  
                                      Oakdale, New York 11769

Dated:                        August 31, 2014

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**INVESTMENT POLICY**  
**FOR THE**  
**SUFFOLK COUNTY WATER AUTHORITY**

**I. SCOPE**

This Investment Policy ("Policy") applies to all moneys and other financial resources available for deposit and investment by the Suffolk County Water Authority ("Authority") and on behalf of any other entity or individual.

**II. INVESTMENT OBJECTIVES**

The primary objectives of the Authority's investment program shall, in order of priority, be to: (1) comply with all applicable provisions of law and any applicable covenants contained in the Resolution (as hereinafter defined); (2) safeguard the principal of all deposits and investments; (3) provide sufficient liquidity to ensure that monies invested are available to meet expenditures as they come due; and (4) obtain the maximum rate of return that is consistent with the preceding objectives.

**III. DELEGATION OF AUTHORITY; ESTABLISHMENT OF PROCEDURES**

The members of the Authority ("Board") hereby delegate responsibility for the implementation and administration of the Authority's deposit and investment program ("Program") to the Chief Executive Officer and the Chief Financial Officer ("Finance Officers") who shall establish procedures for the operation of the Program consistent with this Policy ("Procedures"). Such Procedures shall regulate subordinate employees and include an adequate internal control structure to provide a satisfactory level of accountability based on a record incorporating descriptions and amounts of deposits and investments, transaction dates, interest rates and other information necessary to manage the portfolio and to identify the sources of all funds being invested. Except as is otherwise provided in this Policy, no written contracts or agreements shall be required with respect to a specific investment authorized by

this Policy. Any of the Finance Officers shall be authorized to make deposits and investments, or to sell or redeem the same, on behalf of the Authority in accordance with the Procedures and this Policy.

#### **IV. INTERNAL CONTROLS; ANNUAL AUDIT**

(a) The Finance Officers are responsible for establishing and maintaining an internal control structure to provide reasonable assurance that deposits and investments are safeguarded against loss from unauthorized use or disposition, that such transactions are executed in accordance with proper authorization and recorded properly and, that such transactions are managed in compliance with applicable laws, regulations and bond covenants.

(b) The Authority shall be required to have an annual audit of its investments performed by its independent accountants. This annual audit shall include a review of the Authority's internal control structure as it relates to the Program and verify that the Finance Officers have acted in accordance with the Policy and Procedures.

#### **V. PRUDENCE**

The Finance Officers and their subordinates shall at all times act responsibly as custodians of the public trust. Investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their affairs, not for speculation, but for investment, considering the safety of principal as well as the income to be derived. The Finance Officers and their subordinates involved in the investment process shall refrain from personal investment activity that could conflict with proper execution of the Program or which could impair their ability to make impartial investment decisions.

#### **VI. AUTHORIZED INVESTMENTS**

Except as otherwise may be provided in a contract with bond or note holders or this Policy, monies not required for immediate expenditure may be invested in any of the following:

(i) direct obligations of, or obligations the principal of and interest on which are unconditionally guaranteed by, the United States of America;

(ii) bonds, debentures, notes, participation certificates or other evidences of indebtedness issued or guaranteed by the Bank for Cooperatives; Federal Intermediate Credit Bank; Federal Home Loan Bank System; Export-Import Bank of the United States; Federal Land Banks; Federal National Mortgage Association; United States Postal Service; Government National Mortgage Association; Federal Financing Bank; Farmers Home Administration; Federal Home Loan Mortgage Association; Tennessee Valley Authority or any agency or instrumentality of the United States of America or any other corporation wholly-owned by the United States of America;

(iii) New housing authority bonds issued by public agencies or municipalities and fully secured as to the payment of both principal and interest by a pledge of annual contributions under an annual contributions contract or contracts with the United States of America or any agency thereof; or project notes issued by public agencies or municipalities and fully secured as to the payment of both principal and interest by a requisition or payment agreement with the United States of America or any other agency thereof;

(iv) bank time deposits evidenced by certificates of deposit and bankers' acceptances issued by any bank or trust company which is a member of the Federal Deposit Insurance Corporation (hereinafter "Bank");

(v) certificates or other obligations that evidence ownership of the right to payments of principal of or interest on obligations of the United States of America or any state of the United States of America or any political subdivision thereof or any agency or instrumentality of the United States of America or any state or political subdivision, provided that such obligations shall be held in trust by a bank or trust company or a national banking association meeting the requirements for a Bond Fund Trustee under Section 7.1 of the Resolution (as hereinafter

defined), and provided further that, in the case of certificates or other obligations of a state or political subdivision, the payments of all principal of and interest on such certificates or such obligations shall be fully insured or unconditionally guaranteed by, or otherwise unconditionally payable pursuant to a credit support arrangement provided by, one or more financial institutions or insurance companies or associations which shall be rated in the highest rating category by at least two nationally recognized bond rating agencies or, in the case of an insurer providing municipal bond insurance policies insuring the payment, when due, of the principal and interest on municipal bonds, such insurance policy shall result in such municipal bonds being rated in the highest rating category by at least two nationally recognized bond rating agencies, and

(vi) obligations of any state of the United States of America or any political subdivision thereof or any agency, instrumentality or local government unit of any such state or political subdivision, except industrial development revenue bonds, which shall be rated in the highest two rating categories by at least two nationally recognized bond rating agencies.

Investments shall be payable or redeemable, at the option of the Authority, within such time as the proceeds shall be needed to meet expenditures for the purpose for which the monies were provided.

#### **VII. DEPOSITS**

All monies collected by any officer or employee of the Authority shall be deposited in such Banks as have been authorized by the Board of the Authority. It is the policy of the Authority that all monies collected by any officer or employee of the Authority shall be immediately deposited in such Banks in accordance with the Procedures established pursuant to Section III of this Policy.

#### **VIII. DIVERSIFICATION**

Investments and deposits shall be diversified by issuer, financial institution, maturity and type of investment to eliminate the risk of loss resulting from over concentration of assets in a

specific issuer, bank or trading partner or a specific maturity. No more than seventy-five percent (75%) of the Authority's funds may be invested in the securities of any one issuer, with the exception of those obligations enumerated in paragraph (i) of Section VI of this Policy. For purposes of the preceding sentence, each Bank issuing a bank time deposit evidenced by a certificate of deposit or bankers' acceptance shall be deemed to be the issuer of a security.

**IX. AUTHORIZED BANKS AND TRADING PARTNERS**

(a) The Finance Officers shall maintain a list of Banks, brokers, dealers and investment advisors approved to do business with the Authority. No Bank, broker, dealer or investment advisor shall be included on such list unless approved by the Board. If appropriate, the Finance Officers shall establish limits on the amount of investments that may be outstanding with any approved bank, broker or dealer at any time. All Banks, brokers and dealers with which the Authority conducts business must be creditworthy as determined by criteria established by the Finance Officers. All Banks with which the Authority does business shall provide their most recent Consolidated Report of Condition (Call Report) to the Finance Officers at the request of any such officer. Brokers and dealers not affiliated with a Bank shall be recognized primary dealers designated by the Federal Reserve Bank of New York. The Finance Officers are responsible for periodically evaluating the financial position of, and the quality of service provided by, Banks, brokers, dealers and investment advisors with which the Authority does business and, based on such evaluations, shall recommend to the Board revisions to the list of eligible Banks and trading partners as they deem appropriate.

(b) Every Bank, broker, dealer or investment advisor approved pursuant to paragraph (a) of this Section shall be given a copy of this Policy. Each such Bank, broker dealer or investment advisor shall certify in writing to the Authority that it has reviewed the Policy and that it will comply with the provisions thereof.



**X. PROCEDURES FOR SECURING DEPOSITS, SPECIAL TIME DEPOSITS AND CERTIFICATES OF DEPOSIT**

**(a) WRITTEN SECURITY AGREEMENTS**

Monies of the Authority shall only be deposited or invested in a Bank pursuant to paragraph (iv) of Section VI of this Policy if the Authority has entered into a written security agreement with such Bank and only if the total amount of such deposits do not exceed at any one time in the aggregate of (5%) five percent the total of the capital and surplus of such Bank. Such security agreement shall require the Bank to secure all Authority deposits with securities (1) of the type enumerated in paragraphs (i), (ii), or (iii) of Section VI of this Policy; or (2) with direct and general obligations, to the payment of the principal of and interest on which the faith and credit of the issuer is pledged, of the State of New York or any political subdivision thereof; provided that (a) as to such obligations of a political subdivision, all the taxable real property within such political subdivision shall be subject to taxation thereby to pay such obligations and the interest thereon, without limitation as to the rate or amount, and (b) at the time of their purchase, such obligations of such state or political subdivision are rated in one of the three highest rating categories by two nationally recognized bond rating agencies ("Collateral"). Such security agreement shall also (1) require that the Collateral provided by the Bank at all times have a market value (exclusive of accrued interest) at least equal to the amount of deposits or investments secured by such Collateral; (2) prescribe the manner in which the market value of the Collateral shall be determined and provide for the valuation of Collateral; (3) require the Bank to provide additional Collateral if the market value falls below the required amount of Collateral; (4) provide that the Collateral is being provided by the Bank to secure all Authority deposits and investments in the Bank, together with agreed upon interest, if any, and any costs or expenses arising out of the collection of such deposits upon default; (5) grant a security interest to the Authority in the Collateral pledged by the Bank pursuant to the agreement; (6) set forth the conditions under which the Collateral may be sold, presented for payment, substituted

or released; (7) define the events of default that will enable the Authority to exercise its rights against said pledged Collateral; (8) require that Collateral pledged to the Authority to secure deposits and not registered in the name of the Authority be delivered in a form suitable for transfer or with an assignment in blank to a custodial bank with which the Authority has entered into a written custodial agreement; (9) require that the agreement be properly authorized by the board of directors of the Bank and that the Bank maintain such agreement as an official record of the Bank; and, (10) contain such other provisions deemed necessary to enable the Authority to enforce its interest in the Collateral in the event of a default by the Bank.

**(b) CUSTODY AGREEMENTS**

All Collateral pledged by a Bank pursuant to a written security agreement shall be delivered to a Bank with which the Authority has entered into a written custody agreement ("Custodian"). The custody agreement shall: (1) specify the manner in which the custodian shall hold securities; (2) require the Custodian to hold the securities as agent of, and Custodian for, the Authority and to keep such securities separate and apart from the general assets of the Custodian and not permit them to become the backing for any other deposits or liabilities of the Custodian; (3) require the Custodian to confirm in writing the receipt, substitution or release of any securities from the Authority's custody account; (4) provide for the methodology and frequency of valuation of securities held by the Custodian; (5) require the Custodian to make appropriate entries on its books at all times showing the Authority's interest in the securities held by the Custodian; (6) require physical securities be kept in the Custodian's vault and physically segregated from the Custodian's property and other property held by the Custodian; (7) require the Custodian to subordinate any claims it may have against the securities to the Authority's interest therein; (8) permit the Authority access to books and records maintained by the Custodian with respect to the Authority's account; and, (9) contain any other provisions deemed

necessary and appropriate. A Bank shall not be permitted to act as Custodian of any Collateral pledged by such Bank to secure Authority deposits.

**XI. PURCHASE AND SAFEKEEPING OF INVESTMENTS**

(a) In the case of investments in certificates of deposit and special time deposits, the Procedures shall require the solicitation of quotations from more than one of the approved Banks.

(b) In the case of the purchase of securities, the Procedures shall: (1) require competitive quotations, except in the purchase of government securities at their initial auction; (2) require all securities purchased by the Authority to be held in the custody of a Bank with which the Authority has entered into a written custodial agreement which complies with the requirements contained in paragraph (b) of Section X of this Policy; (3) ensure that securities are purchased, sold or presented for redemption or payment by a Custodian only in accordance with instructions from the Finance Officers or a subordinate authorized to make the investment; and (4) provide that payment of the Authority's funds shall be made only upon delivery of the purchased obligations.

(c) The Finance Officers are further authorized to purchase securities subject to a repurchase agreement in accordance with the procedures enumerated in Section XII of this Policy.

**XII. PROCEDURES FOR REPURCHASE AGREEMENTS**

The Finance Officers are authorized to purchase and sell securities pursuant to repurchase agreements subject to the following restrictions:

(1) The Authority shall only enter into repurchase agreements with a Bank, broker or dealer approved by the Finance Officers pursuant to Section IX of this Policy, subject to any trading limits established for each Bank, broker or dealer;

- (2) No repurchase transaction shall be entered into with any Bank, broker or dealer until the Authority has entered into a written master repurchase agreement with such Bank, broker or dealer;
- (3) Only securities enumerated in paragraphs (i) and (ii) of Section VI shall be purchased pursuant to the repurchase agreement;
- (4) Securities purchased pursuant to the repurchase agreement shall be held by a Custodian, other than the Bank, broker or dealer acting as seller under the repurchase agreement, pursuant to a written custody agreement;
- (5) The price paid for the securities shall not be in excess of the market value of the securities being purchased plus any accrued interest not reflected in the market price.

Master repurchase agreements between the Authority and its trading partners shall: (1) contain procedures which ensure that the Authority obtains a perfected security interest in the purchased securities; (2) define events of default; (3) prohibit the trading partner from substituting securities for the purchased securities during the term of the repurchase agreement except with the express consent of the Authority; (4) limit the term of a specific repurchase transaction to a period of not more than thirty (30) days; (5) contain appropriate margin requirements and procedures for timely correction of margin deficiencies or excesses; (6) provide that the Authority shall not make payment for the purchased securities until they are received by the Custodian and that the Custodian shall not transfer the purchased securities to the trading partner until the Authority has received the repurchase price in immediately available funds; (7) require that the terms of all specific repurchase transactions, including rate, price and a description of the specific securities being purchased, be confirmed in writing; (8) provide that all specific repurchase transactions shall be subject to the terms of the master repurchase agreement; and, (9) contain such other provisions as are deemed necessary and appropriate.

The written custody agreement shall, to the extent applicable, comply with the requirements of paragraph (b) of Section X of this Policy.

**XIII. LEGAL REVIEW**

All security agreements, custody agreements and repurchase agreements shall be reviewed by an attorney retained for the purpose of determining their compliance with the requirements of this Policy.

**XIV. INVESTMENT REPORTS**

(a) The Finance Officers shall prepare and file with the Board on a quarterly basis a report showing: (a) any new investments made during the quarter; (b) any investments sold during the quarter, including the gain or loss thereon; (c) an inventory of existing investments (including the name of the investment, the cost of the investment, the stated interest rate and yield to maturity of the investment, the maturity date of the investment, and the current market value of the investment if less than cost); and (d) the list of approved Banks and trading partners required to be maintained pursuant to Section IX of this Policy.

(b) The Finance Officers shall annually prepare and submit to the Board for approval a report which includes: (1) a copy of this Policy; (2) an explanation of this Policy and any recommendations by the Finance Officers for changes to this Policy; (3) an annual independent audit; (4) the investment results obtained by the Authority during the annual period covered by the report (including interest income, realized and unrealized gains and losses, average weighted maturity and weighted average net yield); and (5) a list of total fees, commissions or other charges paid to each investment banker, broker, agent, dealer and provider rendering investment associated services to the Authority. Such Annual Report, as approved by the Board, shall be submitted to the County Executive of Suffolk County and the New York State Department of Audit and Control.

**XIII. INCONSISTENCY WITH RESOLUTION**

Neither this Policy nor the Procedures adopted hereunder shall be construed to authorize any deposit or investment that is not permitted under the Bond Resolution adopted by the Authority on September 27, 1988, as amended October 27, 1988, March 30, 1993 and November 29, 1994 ("Resolution") and in the event of any such inconsistency, the Resolution shall govern.

SUFFOLK COUNTY WATER AUTHORITY

Amendment to the Investment Guidelines Policy of the Authority  
Fiscal Year Ending May 31, 2014

&

Explanations Issued

Removed municipal insurance requirement from Section IV (Authorized Investments) paragraph (vi) per board resolution number 334-08-2013



**SUFFOLK COUNTY WATER AUTHORITY**

Schedule of Investments

May 31, 2014

(With Independent Auditors' Report Thereon)



**SUFFOLK COUNTY WATER AUTHORITY**

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KPMG LLP  
Suite 200  
1305 Walt Whitman Road  
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## Independent Auditors' Report

The Members  
Suffolk County Water Authority;

### Report on the Schedule of Investments

We have audited the accompanying schedule of investments of the Suffolk County Water Authority as of May 31, 2014, and the related notes (the Schedule).

#### *Management's Responsibility for the Schedule*

Management is responsible for the preparation and fair presentation of the Schedule in accordance with U.S. generally accepted accounting principles; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of the Schedule that is free from material misstatement, whether due to fraud or error.

#### *Auditors' Responsibility*

Our responsibility is to express an opinion on this schedule based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the Schedule is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the Schedule. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the Schedule, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the Schedule in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the Schedule.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

#### *Opinion*

In our opinion, the Schedule referred to above presents fairly, in all material respects, the investments of the Authority as of May 31, 2014, in accordance with U.S. generally accepted accounting principles.



***Other Matter***

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of the Suffolk County Water Authority as of and for the year ended May 31, 2014, and our report thereon, dated August 27, 2014, expressed an unmodified opinion on those financial statements.

***Other Reporting Required by Government Auditing Standards***

In accordance with *Government Auditing Standards*, we have also issued our report dated August 27, 2014, on our consideration of the Authority's internal control over reporting of the schedule of investments and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over reporting of the schedule of investments and compliance and the results of that testing, and not to provide an opinion on internal control over reporting of the schedule of investments or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control over reporting of the schedule of investments and compliance.

**KPMG LLP**

August 27, 2014

**SUFFOLK COUNTY WATER AUTHORITY**

Schedule of Investments

May 31, 2014

(In thousands)

Unrestricted:		
Operating, general, and revenue funds	\$	89,651
Restricted:		
Debt service reserve and bond funds		<u>92,038</u>
Total restricted funds		<u>92,038</u>
Total investments	\$	<u><u>181,689</u></u>

See accompanying notes to schedule of investments.

## SUFFOLK COUNTY WATER AUTHORITY

### Notes to Schedule of Investments

May 31, 2014

**(1) Organization and Functions of the Authority**

Suffolk County Water Authority (the Authority) is a public benefit corporation, created by resolution of the Suffolk County Board of Supervisors in 1937, with a twofold purpose. The first was to acquire, construct, maintain, and operate a public water supply for Suffolk County. The second was to develop a single, integrated public water supply and distribution system to serve all of Suffolk County. The accounts of the Authority are maintained generally in accordance with the Uniform System of Accounts prescribed by the New York State Public Service Commission (PSC), although the Authority is not subject to PSC rules and regulations. Board Members are appointed by the Suffolk County Legislature for five-year overlapping terms. Vacancies, other than by expiration of term, are filled by the Suffolk County Legislature by appointment for the unexpired term. The Chairman of the Authority is also appointed by the Suffolk County Legislature. The rates established by the Authority do not require PSC or Suffolk County Legislative approval.

**(2) Summary of Significant Accounting Policies for Investments**

**(a) Investments**

All investments with original maturities of longer than 90 days are reported as investments and are carried at fair value, except for investment agreements and certificates of deposit. Investment agreements, which can take the form of open-time deposits or fixed repurchase agreements, are reported at an amount equal to principal and accrued interest. Certificates of deposit are valued at cost, which approximates fair value.

**(b) Investments Held for Debt Service**

In accordance with the 1988 General Bond Resolution, as amended (the Resolution), the Authority maintains a debt service reserve. The reserve is held by a Fiscal Agent.

Investments held for debt service reserve and bond funds are used solely for the purpose of paying the principal and interest on the bonds, and for retiring the bonds prior to maturity. Amounts in the debt service and bond funds are invested in U.S. Treasury Notes and U.S. government and U.S. government sponsored entity securities.

**SUFFOLK COUNTY WATER AUTHORITY**

Notes to Schedule of Investments

May 31, 2014

**(3) Investments**

Investments consist of the following at May 31, 2014:

	2014 Fair Value	Investment maturities at May 31, 2014 (In years)		
		Less than 1	1 to 5	Greater than 5
		(In thousands)		
U.S. Treasury notes (1)	\$ 4,348	501	3,119	728
U.S. Treasury bonds (1)	1,993	—	—	1,993
FNMA's (1)	37,242	1,275	30,964	5,003
FHLB notes (1)	66,058	20,979	30,908	14,171
FHLMC notes (1)	15,021	4,586	10,435	—
FDIC – insured notes	—	—	—	—
FFCB notes (1)	45,200	—	45,200	—
NYS Municipal Bonds	8,494	—	997	7,497
Money market	3,128	3,128	—	—
Guaranteed investment contracts (1)	205	205	—	—
<b>Total investments</b>	<b>\$ 181,689</b>	<b>30,674</b>	<b>121,623</b>	<b>29,392</b>

(1) Includes approximately \$88.9 million of investments held by Fiscal Agent in the Authority's name at May 31, 2014.

	2014
Investment breakdown (in thousands):	
Restricted for:	
Debt service	\$ 92,038
Unrestricted	89,651
<b>Total investments</b>	<b>\$ 181,689</b>

## SUFFOLK COUNTY WATER AUTHORITY

### Notes to Schedule of Investments

May 31, 2014

The Authority's investment policy states that securities underlying repurchase agreements must have a market value at least equal to the cost of the investment. All investments are either insured or registered and held by the Authority or its agent in the Authority's name.

Investments include U.S. Treasury obligations, U.S. government sponsored entity, guaranteed investment contracts, and repurchase agreements backed by such obligations. Investments are reported at fair value, except for investment agreements and certificates of deposit. Investment agreements, which can take the form of open time deposits or fixed repurchase agreements, are reported at an amount equal to principal and accrued interest. Certificates of deposit are valued at cost.

*Interest Rate Risk:* The Authority's investment policy does not include limits on investment maturities as a means of managing its exposure to fair value losses arising from increasing interest rates.

*Credit Risk:* It is part of the Authority's investment policy to safeguard the principal of its investments while obtaining the highest interest rate possible that is consistent with this and other components of its policy. Toward that end, permitted investments include but are not limited to federally backed securities or obligations of any state of the United States of America or any political subdivision rated by at least two nationally recognized bond-rating agencies. As of May 31, 2014, the Authority's investments in Federal National Mortgage Association, Federal Home Loan Bank, FDIC Guaranteed Bonds, Federal Farm Credit Bank Notes, and the Federal Home Loan Mortgage Corporation were rated Aaa by Moody's Investors Service and AAA by Standard & Poor's Ratings Services and Fitch Ratings. There were no investments in obligations of any state of the United States of America or any political subdivision.

*Concentration of Credit Risk:* The Authority places no limit on the amount the Authority may invest in any one issuer. More than 5% of the Authority's investments are in Federal National Mortgage Association, Federal Home Loan Bank, Federal Home Loan Mortgage Corp., and Federal Farm Credit Bank. These investments are 20.5%: \$(37.2 million), 36.4%: \$(66.1 million), 8.3%: \$(15.0 million), and 24.9%: \$(45.2 million), respectively, of the Authority's total investments.



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**Report on Internal Control over Reporting of the Schedule of Investments and on Compliance and Other Matters Based on an Audit of the Schedule of Investments Performed in Accordance with *Government Auditing Standards***

The Members  
Suffolk County Water Authority:

We have audited the Schedule of Investments as of May 31, 2014 (the Schedule) and the related notes to the Schedule, and have issued our report thereon dated August 27, 2014. We conducted our audit in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the standards for financial and compliance audits contained in the Investment Guidelines for Public Authorities, issued by the Office of the State Comptroller of the State of New York.

**Internal Control over Financial Reporting**

In planning and performing our audit of the Schedule, we considered the Authority's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the Schedule, but not for the purpose of expressing an opinion on the effectiveness of the Authority's internal control. Accordingly, we do not express an opinion on the effectiveness of the Authority's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the Schedule is free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.





**Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the Authority's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Authority's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

**KPMG LLP**

August 27, 2014

**SUFFOLK COUNTY WATER AUTHORITY**

**STATEMENT OF INVESTMENTS**

**JUNE 2013 – MAY 2014**

**SUFFOLK COUNTY WATER AUTHORITY**

**Fees for Investment Services**  
**Fiscal Year Ending May 31, 2014**

**Cutwater Asset Management - \$17,329.08**

**SUFFOLK COUNTY WATER AUTHORITY**

**STATEMENT OF MATURED INVESTMENTS**

**JUNE 2013 – MAY 2014**

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**June-13**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b>GENERAL FUND</b>						
FNMA Step Notes - Coupon Interest	Cutwater Asset Management	5 500,000.00	28-Dec-12	28-Dec-17	0.500%	\$ 1,250.00
FHLB Notes - Coupon Interest	Cutwater Asset Management	500,000.00	07-Jun-13	09-Jun-17	1.000%	2,500.00
FHLMC Notes - Called	Cutwater Asset Management	1,000,000.00	11-Jun-12	07-Jun-17	1.150%	5,750.00
FNMA Step Notes - Coupon Interest	Cutwater Asset Management	550,000.00	10-Dec-12	06-Dec-17	0.700%	1,925.00
FNMA Step Notes - Coupon Interest	HSBC Brokerage	5,000,000.00	29-Jun-12	27-Dec-19	1.000%	25,000.00
FNMA Step Notes - Coupon Interest	HSBC Brokerage	2,500,000.00	18-Dec-12	18-Dec-17	0.750%	9,375.00
FHLMC Notes - Coupon Interest	HSBC Brokerage	2,000,000.00	04-Apr-13	11-Dec-17	1.000%	10,000.00
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658431 &amp; 658450-169010 &amp; 020</b>						
FHLB Discount Notes	BNY	11,715,921.66	25-May-13	03-Jun-13	0.000%	8.14
FHLB Discount Notes	BNY	1,629,999.87	25-May-13	03-Jun-13	0.000%	1.13
<b>BOND FUND - 1998 (2011A) EPC CONST &amp; RES ACCOUNT-64743-165120</b>						
US Treasury SLGS	BNY	243,889.00	15-Mar-11	15-Dec-17	2.360%	2,877.89
<b>BOND FUND - 2000A (2011A) EPC DEBT SERVICE RESERVE ACCOUNT-647787-169110</b>						
US Treasury SLGS	BNY	46,667.00	15-Mar-11	15-Dec-19	2.360%	550.67
<b>BOND FUND - EPC 2003B CONSTRUCTION ACCOUNT -296020-165300</b>						
FHLB Discount Note	BNY	809,681.40	31-Dec-12	26-Jun-13	0.120%	318.60
<b>BOND FUND - Various</b>						
1992 Bond Escrow	BNY		Various dates			51.22
						<u>\$ 59,607.65</u>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturity Investments & Interest Payments**  
**July-13**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b>OPERATING FUND</b>						
FHLMC Notes - Coupon Interest	Wells Fargo	\$ 5,000,000.00	27-Jul-12	27-Jul-17	1.000%	\$ 25,000.00
<b>GENERAL FUND</b>						
FFCB Notes - Coupon Interest	Cutwater Asset Management	1,000,000.00	12-Jul-12	11-Jul-16	0.790%	3,950.00
FFCB Notes - Coupon Interest	Cutwater Asset Management	1,000,000.00	18-Mar-13	30-Jan-16	0.840%	4,700.00
FHLMC Notes - Called	Cutwater Asset Management	1,000,000.00	01-Aug-11	31-Jul-13	0.375%	1,875.00
FNMA Notes - Coupon Interest	Cutwater Asset Management	1,000,000.00	10-Feb-12	30-Jan-16	0.750%	3,750.00
FNMA Notes - Coupon Interest	Cutwater Asset Management	500,000.00	18-Mar-13	30-Jul-15	0.350%	856.25
FHLB Notes - Coupon Interest	HSBC Brokerage	2,000,000.00	11-Feb-13	30-Jul-15	0.375%	3,750.00
FNMA Step Notes - Coupon Interest	Raymond James	3,000,000.00	11-Feb-13	22-Jan-16	0.700%	10,500.00
FNMA Step Notes - Coupon Interest	Raymond James	3,000,000.00	11-Feb-13	30-Jan-13	0.700%	10,500.00
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 920</b>						
FHLB Discount Notes	BNY	2,150,964.05	25-Jun-13	25-Jul-13	0.000%	35.95
FHLB Discount Notes	BNY	270,995.43	25-Jun-13	25-Jul-13	0.000%	4.52
<b>BOND FUND - 2009B (2011A) EFC BOND RESERVE ACCOUNT-647804-165188</b>						
US Treasury SLGS	BNY	318,639.00	15-Mar-11	15-Jul-20	2.380%	3,762.88
<b>BOND FUND - 2001B (2011C) EFC BOND RESERVE ACCOUNT-648253-165200</b>						
FHLB Discount Notes	BNY	644,758.86	11-Sep-12	12-Jul-13	0.190%	1,241.04
<b>BOND FUND - 2002G (2011C) EFC BOND RESERVE ACCOUNT-295912-165200</b>						
FHLB Discount Note	BNY	447,139.34	13-Jul-12	12-Jul-13	0.090%	860.66
<b>BOND FUND - EFC 2003B CONSTRUCTION ACCOUNT-358079-165300</b>						
FNMA Discount Notes	BNY	810,986.93	27-Jun-13	25-Jul-13	0.120%	13.07
<b>BOND FUND - 2005B EFC BOND RESERVE ACCOUNT-296019-165370</b>						
US Treasury SLGS	BNY	813,078.00	24-Jul-03	15-Jan-23	3.666%	16,735.81
US Treasury SLGS - Called	BNY	813,078.00	24-Jul-03	15-Jan-23	3.666%	79,300.01
<b>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT.(RESTRICTED) - 398273, 165560</b>						
FHLB Discount Notes	BNY	9,340,000.00	24-Jan-12	01-Jul-13	0.330%	2,654.12
						<b>\$ 189,289.32</b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**August-13**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b>GENERAL FUND</b>						
FNMA Notes - Coupon Interest	Cutwater Asset Management	\$ 500,000.00	13-Jul-13	13-Feb-17	1.000%	\$ 2,500.00
FHLMC Notes - Coupon Interest	Morgan Stanley	5,000,000.00	10-Feb-12	10-Aug-16	1.050%	26,250.00
FHLMC Notes - Coupon Interest	Cutwater Asset Management	1,000,000.00	24-Feb-13	24-Feb-16	1.000%	5,000.00
FNMA Notes - Coupon Interest	Wells Fargo	3,000,000.00	25-Feb-13	25-Aug-18	0.800%	8,000.00
FNMA Notes - Coupon Interest	Wells Fargo	5,000,000.00	28-Feb-13	28-Aug-01	1.000%	25,000.00
FNMA Notes - Coupon Interest	Cutwater Asset Management	2,000,000.00	30-Aug-12	28-Aug-17	1.050%	2,825.00
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-858451 &amp; 658450-155010 &amp; 020</b>						
FHLB Discount Notes	BNY	4,313,949.67	25-Jul-13	23-Aug-13	0.000%	50.33
FHLB Discount Notes	BNY	542,993.67	26-Jul-13	23-Aug-13	0.000%	6.33
<b>BOND FUND - 2004A EFC RESERVE ACCOUNT-296124-165330</b>						
US Treasury Bond - SLG	BNY	660,544.00	24-Jul-04	15-Aug-24	4.635%	15,308.77
						<u>585,740.43</u>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**September-13**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b>GENERAL FUND</b>						
T-Notes - Coupon Interest	Cutwater Asset Management	\$ 500,000.00	08-Sep-11	31-Aug-17	1.000%	\$ 2,500.00
T-Notes - Coupon Interest	Cutwater Asset Management	500,000.00	08-Feb-13	28-Feb-17	0.975%	2,187.50
FNMA Notes - Coupon Interest	Cutwater Asset Management	1,000,000.00	28-Sep-11	06-Sep-14	1.500%	7,500.00
FHLB Notes - Coupon Interest	Cutwater Asset Management	500,000.00	17-Sep-12	09-Sep-16	0.610%	5,000.00
FHLMC Notes - Coupon Interest	Cutwater Asset Management	1,000,000.00	11-Jun-12	21-Mar-16	1.000%	5,000.00
T-Notes - Coupon Interest	Cutwater Asset Management	500,000.00	08-Aug-13	31-Mar-15	0.250%	625.00
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-858451 &amp; 858450-183010 &amp; 020</b>						
FHLB Discount Notes	BNY	6,470,949.67	23-Aug-13	20-Sep-13	0.010%	50.33
FHLB Discount Notes	BNY	613,993.67	23-Aug-13	20-Sep-13	0.010%	6.33
<b>BOND FUND - 2002G (2011C) EFC BOND RESERVE ACCOUNT-296012-185280</b>						
FHLB Discount Note	BNY	141,935.75	12-Jul-13	25-Sep-13	0.080%	64.25
						<u>\$ 22,933.41</u>



**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**October-13**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b>GENERAL FUND</b>						
FHLB Notes - Called Investment	Raymond James	\$ 1,500,000.00	10-Jul-13	10-Jul-16	1.000%	\$ 3,750.00
FNMA Notes - Called Investment	Morgan Keegan	2,000,000.00	11-Oct-11	11-Oct-16	1.350%	13,500.00
FHLB Step Notes - Coupon Interest	HSBC Brokerage	2,500,000.00	13-May-13	30-Oct-20	1.000%	12,500.00
FNMA Step Notes - Coupon Interest	Morgan Stanley	2,000,000.00	30-Oct-12	30-Oct-17	0.500%	5,000.00
FHLMC Notes - Coupon Interest	HSBC Brokerage	1,000,000.00	11-Feb-13	30-Jul-15	0.375%	1,875.00
<b>BOND FUND - 1998A (2010C) EFC Debt Service Reserve-847492-185130</b>						
US Treasury SLGS	BNY	290,275.00	24-Jun-10	01-Oct-18	2.980%	4,325.10
<b>BOND FUND - 2000A (2011A) EFC DEBT SERVICE RESERVE ACCOUNT-847767-169110</b>						
FHLB Discount Notes	BNY	644,758.85	11-Sep-12	04-Oct-13	0.190%	60.29
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296810, 169200</b>						
US Treasury Bond SLGS	BNY	452,319.00	21-Jun-12	15-Oct-22	1.760%	3,980.41
<b>BOND FUND - 2002G (2011C) EFC BOND CONSTRUCTION ACCOUNT-298813-185270</b>						
FHLB Discount Note	BNY	447,958.19	03-Sep-13	04-Oct-13	0.090%	41.81
<b>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050</b>						
US Treasury SLGS	BNY	407,979.80	24-Jul-03	28-Oct-13	3.666%	20.40
<b>BOND FUND - 2006 EFC BOND RESERVE ACCOUNT-295998-185340</b>						
US Treasury Bond SLGS	BNY	704,738.00	28-Jul-05	01-Oct-25	3.802%	13,397.84
						<b><u>\$58,450.85</u></b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**November-13**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b>GENERAL FUND</b>						
FNMA Step Notes - Coupon Interest	Wells Fargo	\$ 2,000,000.00	01-Nov-12	01-Nov-16	0.400%	\$ 4,000.00
FNMA Notes - Coupon Interest	Morgan Keegan	2,000,000.00	06-Nov-12	06-Nov-15	0.500%	5,000.00
FNMA Step Notes - Coupon Interest	Wells Fargo	2,500,000.00	13-Nov-12	13-Nov-17	0.825%	7,812.50
FNMA Step Notes - Coupon Interest	Morgan Keegan	2,000,000.00	21-Nov-12	21-Nov-17	0.750%	7,500.00
MASGEN Notes - Coupon Interest	Raymond James	1,000,000.00	16-Oct-13	15-Nov-16	1.776%	8,880.00
FHLB Notes - Coupon Interest	HSBC Brokerage	2,500,000.00	17-May-13	18-May-20	1.540%	19,250.00
FHLB Step Notes - Coupon Interest	Morgan Stanley	2,500,000.00	20-May-13	20-May-20	0.750%	9,375.00
FHLB Notes - Coupon Interest	Wells Fargo	2,500,000.00	28-May-13	28-May-20	1.690%	21,125.00
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-644451 &amp; 658450-165010 &amp; 020</b>						
FHLB Discount Notes	BNY	10,785,828.82	28-Oct-13	27-Nov-13	0.000%	171.38
FHLB Discount Notes	BNY	1,357,978.43	28-Oct-13	27-Nov-13	0.000%	21.57
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647975, GL 165090</b>						
US Treasury Bond-SLGS	BNY	128,873.00	15-May-11	15-May-21	2.360%	1,521.88
						<u>\$84,857.33</u>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**December-13**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b>GENERAL FUND</b>						
FNMA Step Notes - Coupon Interest	Cutwater Asset Management	\$ 550,000.00	10-Dec-12	10-Dec-17	0.700%	\$ 1,925.00
FHLB Notes - Coupon Interest	Cutwater Asset Management	500,000.00	07-Jun-13	07-Jun-17	1.000%	2,500.00
FNMA Step Notes - Coupon Interest	Cutwater Asset Management	500,000.00	28-Dec-12	28-Dec-17	0.500%	1,250.00
FNMA Step Notes - Coupon Interest	HSBC Brokerage	2,500,000.00	18-Dec-12	18-Dec-17	0.750%	9,375.00
FHLB Notes - Coupon Interest	Morgan Stanley	2,000,000.00	13-Jun-13	13-Jun-18	1.100%	11,000.00
FNMA Step Notes - Coupon Interest	HSBC Brokerage	5,000,000.00	29-Jun-12	27-Dec-19	1.000%	25,000.00
FHLB Step Notes - Coupon Interest	Raymond James	2,500,000.00	20-Jun-13	11-Dec-20	1.250%	15,625.00
NYSWTR - Coupon Interest	Raymond James	380,000.00	8-Nov-13	15-Jun-21	4.539%	8,350.20
FNMA Step Notes - Coupon Interest	Raymond James	3,000,000.00	29-May-13	29-May-18	3.000%	11,250.00
FHLNC Notes - Coupon Interest	HSBC Brokerage	2,000,000.00	4-Apr-13	11-Dec-17	1.000%	10,000.00
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-668451 &amp; 658450-165010 &amp; 020</b>						
FHLB Discount Notes	BNY	\$ 12,942,882.02	27-Nov-13	02-Dec-13	0.000%	17.98
FHLB Discount Notes	BNY	1,828,937.74	27-Nov-13	02-Dec-13	0.000%	2.28
<b>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040</b>						
FHLB Step Notes - Called	BNY	835,000.00	30-May-13	30-May-17	0.750%	3,131.25
<b>BOND FUND - 1996 (2011A) EFC CONST &amp; RES ACCOUNT-847403- 165120</b>						
US Treasury SLGS	BNY	243,889.00	15-Mar-11	15-Dec-17	2.350%	2,877.89
<b>BOND FUND - 2000A (2011A) EFC DEBT SERVICE RESERVE ACCOUNT-847767-165110</b>						
US Treasury SLGS	BNY	46,667.00	15-Mar-11	15-Dec-19	2.380%	550.67
<b>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399860, 165050</b>						
FHLB Step Notes - Called	BNY	8,753,000.00	30-May-13	30-May-17	0.750%	32,823.75
<b>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165300</b>						
FHLB Step Notes - Called	BNY	8,889,000.00	30-May-13	30-May-17	0.750%	28,008.75
<b>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 398408, 165370</b>						
FHLB Step Notes - Called	BNY	8,846,000.00	30-May-13	30-May-17	0.750%	26,672.50
<b>BOND FUND - SERIES 2007 A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</b>						
FHLB Step Notes - Called	BNY	3,816,000.00	30-May-13	30-May-17	0.750%	14,310.00
<b>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165460</b>						
FHLB Step Notes - Called	BNY	1,497,000.00	30-May-13	30-May-17	0.750%	5,613.75
<b>BOND FUND - 2006 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</b>						
FHLB Step Notes - Called	BNY	5,725,000.00	30-May-13	30-May-17	0.750%	21,468.75
<b>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165480</b>						
FHLB Step Notes - Called	BNY	9,246,000.00	30-May-13	30-May-17	0.750%	34,672.50
						<b>\$ 262,425.25</b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**January-14**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b>GENERAL FUND</b>						
FFCB Notes - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	12-Jul-12	11-Jul-16	0.750%	\$ 3,950.00
FHLB Notes - Coupon Interest	Raymond James	1,500,000.00	17-Jul-13	17-Jul-18	1.750%	13,125.00
FNMA Step Notes - Coupon Interest	Raymond James	3,000,000.00	11-Feb-13	22-Jan-18	0.700%	10,500.00
FHLMC Notes - Coupon Interest	Wells Fargo	5,000,000.00	27-Jul-12	27-Jul-17	1.000%	25,000.00
FNMA Step Notes - Coupon Interest	Raymond James	3,000,000.00	11-Feb-13	30-Jan-18	0.700%	10,500.00
FNMA Notes - Called	Cutwater Asset Management	1,000,000.00	10-Feb-12	30-Jan-15	0.750%	3,750.00
FFCB Notes - Coupon Interest	Cutwater Asset Management	500,000.00	18-Mar-13	30-Jul-15	0.350%	875.00
T-Notes - Coupon Interest	Cutwater Asset Management	500,000.00	8-Aug-13	31-Jul-16	1.500%	3,750.00
FFCB Notes - Coupon Interest	Cutwater Asset Management	1,000,000.00	18-Mar-13	30-Jan-18	0.940%	4,700.00
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-858451 &amp; 658450-165010 &amp; 020</b>						
FHLB Discount Notes	BNY	3,251,988.38	18-Dec-13	23-Jan-14	0.000%	38.49
FHLB Discount Notes	BNY	1,628,997.74	19-Dec-13	23-Jan-14	0.000%	2.63
<b>BOND FUND - 2000B (2011A) EFC BOND RESERVE ACCOUNT-647804-165180</b>						
US Treasury SLGS	BNY	318,889.00	15-Mar-11	15-Jul-20	2.360%	3,782.89
<b>BOND FUND - 2003B (2013B) EFC BOND RESERVE ACCOUNT-296019-165310</b>						
US Treasury SLGS	BNY	407,979.60	04-Nov-13	15-Jan-23	2.410%	1,928.03
						<b>\$ 81,880.04</b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**February-14**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b>GENERAL FUND</b>						
FHLMC Notes - Called	Cutwater Asset Management	\$ 1,000,000.00	24-Feb-12	24-Feb-18	1.000%	\$ 5,000.00
T Notes - Coupon Interest	Cutwater Asset Management	500,000.00	08-Sep-11	31-Aug-16	1.000%	2,500.00
T Notes - Coupon Interest	Cutwater Asset Management	500,000.00	8-Feb-12	28-Feb-17	0.875%	2,187.50
FNMA Notes - Coupon Interest	Cutwater Asset Management	500,000.00	30-Aug-12	28-Aug-17	1.050%	2,625.00
FNMA Notes - Coupon Interest	Cutwater Asset Management	500,000.00	7-Jul-13	13-Feb-17	1.000%	2,500.00
NYSHGR Notes - Coupon Interest	Raymond James	1,570,000.00	17-Oct-13	15-Feb-20	2.500%	16,588.66
NYSHGR Notes - Coupon Interest	Raymond James	1,000,000.00	17-Oct-13	15-Feb-22	3.000%	21,665.54
NYCGEN Notes - Coupon Interest	Raymond James	1,500,000.00	08-Nov-13	01-Aug-22	4.587%	34,402.50
FNMA Notes - Coupon Interest	Wells Fargo	5,000,000.00	28-Feb-13	28-Aug-17	1.000%	25,000.00
FNMA Notes - Coupon Interest	Wells Fargo	3,000,000.00	25-Feb-13	25-Aug-16	0.600%	9,000.00
FHLMC Notes - Called	Morgan Stanley	5,000,000.00	24-Feb-12	24-Feb-16	1.000%	26,250.00
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-185910 &amp; 020</b>						
FHLB Discount Notes	BNY	5,408,975.10	24-Jan-14	25-Feb-14	0.000%	24.04
FHLB Discount Notes	BNY	542,997.58	24-Jan-14	25-Feb-14	0.600%	2.41
<b>BOND FUND - 2904A EFC RESERVE ACCOUNT-290124-165330</b>						
US Treasury Bond - SLGs	BNY	660,544.00	24-Jul-04	15-Aug-24	4.635%	15,308.77
						<u>\$ 163,272.42</u>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**March-14**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b>GENERAL FUND</b>						
FNMA Notes - Coupon Interest	Cutwater Asset Management	\$ 1,000,000.00	28-Sep-11	08-Sep-14	1.500%	\$ 7,500.00
T Notes - Coupon Interest	Cutwater Asset Management	500,000.00	08-Aug-13	31-Mar-15	0.250%	625.00
FHLB Notes - Coupon Interest	Cutwater Asset Management	500,000.00	30-Jan-14	11-Mar-18	1.000%	2,500.00
FHLMC Notes - Called	Cutwater Asset Management	1,000,000.00	11-Jun-12	21-Mar-16	1.000%	5,000.00
FNMA Notes - Coupon Interest	Cutwater Asset Management	500,000.00	17-Sep-12	09-Sep-16	2.000%	5,000.00
FHLB Notes - Coupon Interest	HSBC Brokerage	2,000,000.00	28-Oct-13	26-Sep-19	1.820%	16,200.00
						<u>\$ 36,825.00</u>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**April-14**

	BANK	INVESTMENT	FROM	TO	COUPON RATE	INTEREST
<b>GENERAL FUND</b>						
FFCB Notes - Called	Citigroup Asset Management	\$ 1,000,000.00	12-Jul-12	11-Jul-16	0.780%	\$ 1,185.00
FHLB Notes - Called	Raymond James Assoc.	1,500,000.00	17-Jul-13	17-Jul-18	1.750%	8,562.50
FHLB Step Notes - Coupon Interest	HSBC Securities	2,500,000.00	13-May-13	30-Oct-20	1.000%	12,500.00
FNMA Notes - Coupon Interest	Culwater Asset Management	1,000,000.00	30-Oct-12	30-Oct-17	0.750%	7,500.00
<b>BOND FUND - 1999A (2010C) EFC Debt Service Reserve-047482-185130</b>						
US Treasury SLGS	BNY	290,275.00	24-Jun-10	01-Oct-18	2.980%	4,325.10
<b>BOND FUND - 2001B (2011C) EFC BOND RESERVE ACCOUNT-048252-185200</b>						
FHLB Discount Notes	BNY	644,758.96	11-Sep-12	04-Oct-13	0.190%	130.64
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT-286010-185260</b>						
US Treasury Bond SLG	BNY	452,319.00	21-Jun-12	15-Oct-22	1.760%	3,980.41
<b>BOND FUND - 2002G (2011C) EFC BOND RESERVE ACCOUNT-286012-185280</b>						
FHLB Discount Note	BNY	447,858.19	04-Oct-13	04-Apr-14	0.030%	90.80
<b>BOND FUND - 2005EFC BOND RESERVE ACCOUNT-288996-165340</b>						
US Treasury Bond SLUG	BNY	704,736.00	28-Jul-05	01-Oct-25	3.802%	13,397.84
<b>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 387853-185350</b>						
FHLB Discount Notes	BNY	2,423,826.54	05-Apr-11	04-Apr-13	0.180%	3,186.64
<b>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 886245-185332</b>						
FHLB Discount Notes	BNY	6,837,848.75	06-Mar-14	31-Dec-14	0.180%	10,768.31
						<b>\$ 63,627.04</b>

**SUFFOLK COUNTY WATER AUTHORITY**  
**Maturing Investments & Interest Payments**  
**May-14**

<b>GENERAL FUND</b>	<b>BANK</b>	<b>INVESTMENT</b>	<b>FROM</b>	<b>TO</b>	<b>COUPON RATE</b>	<b>INTEREST</b>
FHLB Notes - Called	Citigroup Asset Management	\$ 500,000.00	28-Feb-14	13-Feb-17	0.500%	\$ 625.00
FNMA Notes - Called	Citigroup Asset Management	500,000.00	7-Jun-13	13-Feb-17	1.000%	1,250.00
FHLB Notes	HSBC Securities	2,500,000.00	17-May-13	20-May-20	1.540%	19,250.00
FHLB Step Notes	Morgan Stanley	2,500,000.00	20-May-13	20-May-20	0.750%	9,375.00
NASGEN Notes	Raymond James	1,000,000.00	16-Oct-13	15-Nov-18	1.776%	8,880.00
FNMA Notes	Raymond James	2,000,000.00	6-Nov-12	19-Nov-15	0.500%	5,000.00
FNMA Step Notes	Raymond James	2,000,000.00	21-Nov-12	17-Nov-17	0.750%	7,500.00
FNMA Step Notes	Raymond James	3,000,000.00	29-May-13	29-May-18	0.750%	11,250.00
NYCGEN Notes	Raymond James	1,515,000.00	15-Nov-13	15-Nov-21	4.950%	37,496.25
FNMA Step Notes	Wells Fargo	2,500,000.00	13-Nov-12	13-Nov-17	0.750%	9,375.00
FHLB Notes	Wells Fargo	2,500,000.00	28-May-13	28-May-20	1.680%	21,125.00
FNMA Step Notes	Wells Fargo	2,000,000.00	1-Nov-12	1-Nov-18	0.600%	6,000.00
<b>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) - 399818, 160040</b>						
FFCB Securities - Coupon Interest	BNY	835,000.00	30-May-14	30-May-17	0.750%	3,131.25
<b>BOND FUND - 2011A (2011A) FFCB BOND RESERVE ACCOUNT (RESTRICTED) - 547976, GL 165090</b>						
US Treasury Bond-SLGS	BNY	128,973.00	15-Mar-11	15-May-21	2.360%	1,521.88
<b>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 398550, 165050</b>						
FFCB Securities - Coupon Interest	BNY	8,753,000.00	30-Nov-13	30-May-14	0.750%	32,823.75
<b>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) - 296137, 165900</b>						
FFCB Securities - Coupon Interest	BNY	6,669,000.00	30-Nov-13	30-May-14	0.750%	25,008.75
<b>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</b>						
FFCB Securities - Coupon Interest	BNY	6,846,000.00	30-Nov-13	30-May-14	0.750%	25,672.50
<b>BOND FUND - SERIES 2007 A RESERVE FUND ACCT (RESTRICTED) - 399907, 165400</b>						
FFCB Securities - Coupon Interest	BNY	3,818,000.00	30-Nov-13	30-May-14	0.750%	14,310.00
<b>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</b>						
FFCB Securities - Coupon Interest	BNY	1,497,000.00	30-Nov-13	30-May-17	0.750%	5,613.75
<b>BOND FUND - 2008 A RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165480</b>						
FFCB Securities - Coupon Interest	BNY	5,725,000.00	30-Nov-13	30-May-14	0.750%	21,458.75
<b>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</b>						
FFCB Securities - Coupon Interest	BNY	9,246,000.00	30-Nov-13	30-May-14	0.750%	34,672.50
<b>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165980</b>						
FNMA Disc. Notes	BNY	9,339,515.96	02-Jul-13	30-May-14	0.000%	9,451.57
<b>BOND FUND - 2013A RESERVE FUND ACCT. (RESTRICTED) - 546118, 165630</b>						
FHLB Discount Notes	BNY	7,048,722.85	31-May-13	30-May-14	0.000%	9,252.84
<b>BOND FUND - Escrow Accounts</b>						
Various Investments - Interest on closed accounts	BNY		Various dates		0.000%	6.26
						<b>\$ 320,060.07</b>



**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS**  
June-13

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>OPERATING FUND</b>						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	\$ 4,940,550.00	1.000%
<b>GENERAL FUND</b>						
FHLB Notes	HSBC Brokerage	11-Feb-13	30-Jul-15	2,000,000.00	1,897,220.00	0.375%
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	2,000,000.00	1,894,440.00	0.500%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-18	5,000,000.00	5,016,800.00	1.050%
FNMA Notes	Wells Fargo Advisors	25-Feb-13	25-Aug-18	3,000,000.00	2,874,620.00	0.600%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-16	2,000,000.00	2,004,560.00	1.350%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-18	2,000,000.00	1,892,480.00	0.400%
FNMA Notes	Wells Fargo Advisors	28-Feb-13	28-Aug-17	5,000,000.00	4,944,100.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	2,000,000.00	1,878,180.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	2,500,000.00	2,459,225.00	0.625%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	2,000,000.00	1,888,420.00	0.750%
FHLMC Notes	HSBC Brokerage	4-Apr-13	11-Dec-17	2,000,000.00	1,951,000.00	1.000%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	2,500,000.00	2,458,875.00	0.750%
FNMA Step Notes	Raymond James	11-Feb-13	22-Jan-18	3,000,000.00	2,835,050.00	0.700%
FNMA Step Notes	Raymond James	11-Feb-13	30-Jan-18	3,000,000.00	2,838,130.00	0.700%
FNMA Step Notes	Raymond James	29-May-13	29-May-18	3,000,000.00	2,822,990.00	0.750%
FHLB Notes	Morgan Stanley	13-Jun-13	13-Jun-18	2,000,000.00	1,947,140.00	1.100%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	5,000,000.00	4,905,150.00	1.000%
FHLB Notes	HSBC Brokerage	17-May-13	15-May-20	2,500,000.00	2,347,775.00	1.540%
FHLB Step Notes	Morgan Stanley	20-May-13	20-May-20	2,500,000.00	2,415,250.00	0.750%
FHLB Notes	Wells Fargo Advisors	28-May-13	28-May-20	2,500,000.00	2,400,000.00	1.880%
FHLB Step notes	HSBC Brokerage	13-May-13	30-Oct-20	2,500,000.00	2,404,850.00	1.000%
FHLB Step Notes	Raymond James	11-Jun-13	11-Dec-20	2,500,000.00	2,407,125.00	1.250%
Various	Cutwater Asset Management	Various	Various	11,550,000.00	11,526,440.80	
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 77,050,000.00</b>	<b>\$ 75,827,470.80</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**June-13**

			FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>							
858451							
FHLB Discount Notes	BNY		04-Jun-13	25-Jul-13	\$ 2,156,964.05	\$ 2,156,978.43	0.000%
658450							
FHLB Discount Notes	BNY		04-Jun-13	25-Jul-13	270,995.48	270,997.29	0.000%
Cash Balance (combined total)	BNY			30-Jun-13	903.18	903.18	
<b><u>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040</u></b>							
FFCB Notes	BNY		30-May-13	30-May-17	835,000.00	818,467.00	0.750%
Cash Balance	BNY			30-Jun-13	3,084.37	3,084.37	
<b><u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u></b>							
US Treasury Note-SLGS	BNY		15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash Balance	BNY			30-Jun-13	0.03	0.03	
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-847492, 185130</u></b>							
US Treasury Note-SLGS	BNY		24-Jun-10	01-Oct-16	290,275.00	290,275.00	2.980%
Cash Balance	BNY			30-Jun-13	25,002.03	25,002.03	
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u></b>							
US Treasury Bond-SLGS	BNY		15-Mar-11	15-Dec-19	48,667.00	48,667.00	2.360%
Cash Balance	BNY			30-Jun-13	0.00	0.00	
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u></b>							
US Treasury Note-SLGS	BNY		15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash Balance	BNY			30-Jun-13	43,315.78	43,315.78	
<b><u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u></b>							
US Treasury Bond-SLGS	BNY		15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash Balance	BNY			30-Jun-13	0.00	0.00	
<b><u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 648252, GL 165200</u></b>							
FHLB Discount Notes	BNY		13-Jul-12	12-Jul-13	644,758.96	645,893.54	0.180%
Cash Balance	BNY			30-Jun-13	290.44	290.44	
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-298010, 165280</u></b>							
US Treasury Bond-SLGS	BNY		21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash Balance	BNY			30-Jun-13	691.81	691.81	
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 295012, 165280</u></b>							
FHLB Discount Note	BNY		13-Jul-12	12-Jul-13	447,139.34	447,995.52	0.180%
FHLB Discount Note	BNY		28-Mar-13	28-Sep-13	141,935.75	141,985.80	0.090%
Cash Balance	BNY			30-Jun-13	798.88	798.88	
<b><u>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050</u></b>							
FFCB Notes	BNY		30-May-13	30-May-17	8,753,000.00	8,579,590.60	0.750%
Cash Balance	BNY			30-Jun-13	29,246.52	29,246.52	
<b><u>BOND FUND - 2003B EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 295020, 165300</u></b>							
FNMA Discount Note	BNY		27-Jun-13	25-Jul-13	810,886.93	810,991.89	0.000%
Cash Balance	BNY			30-Jun-13	13.82	13.82	
<b><u>BOND FUND - 2003B EFC RESERVE ACCOUNT (RESTRICTED) 295015, 165310</u></b>							
US Treasury Bond - SLGS	BNY		24-Jul-03	15-Jan-23	913,078.00	913,078.00	3.686%
Cash Balance	BNY			30-Jun-13	976.31	976.31	
<b><u>BOND FUND - 2004 EFC RESERVE ACCOUNT (RESTRICTED), 296124, 165330</u></b>							
US Treasury Bond - SLGS	BNY		24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash Balance	BNY			30-Jun-13	0.00	0.00	
<b><u>BOND FUND - 2005 EFC RESERVE ACCOUNT (RESTRICTED), 295998, 165340</u></b>							
US Treasury Bond - SLGS	BNY		28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash Balance	BNY			30-Jun-13	0.00	0.00	
<b><u>BOND FUND - 2005 SUB LIEN BOND EFC RESERVE FUND (RESTRICTED) 296137, 165300</u></b>							
FFCB Notes	BNY		30-May-13	30-May-17	6,889,000.00	6,536,933.80	0.75%
Cash Balance	BNY			30-Jun-13	22,127.21	22,127.21	
<b><u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u></b>							
FFCB Notes	BNY		30-May-13	30-May-17	6,846,000.00	6,710,449.20	0.750%
Cash Balance	BNY			30-Jun-13	23,162.30	23,162.30	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd**  
**June-13**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 398507, 165400</b>						
FFCB Notes	BNY	30-May-13	30-May-17	3,818,000.00	3,740,443.20	0.750%
Cash Balance	BNY		30-Jun-13	13,059.27	13,059.27	
<b>BOND FUND - 2005 A RESERVE FUND ACCOUNT (RESTRICTED) - 398773, 165480</b>						
FFCB Notes	BNY	30-May-13	30-May-17	5,725,000.00	5,611,645.00	0.750%
Cash Balance	BNY		30-Jun-13	19,254.52	19,254.52	
<b>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165460</b>						
FFCB Notes	BNY	30-May-13	30-May-17	9,248,000.00	9,062,929.20	0.750%
Cash Balance	BNY		30-Jun-13	30,834.57	30,834.57	
<b>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</b>						
FFCB Notes	BNY	30-May-13	30-May-17	1,487,000.00	1,487,359.40	0.750%
Cash Balance	BNY		30-Jun-13	5,583.02	5,583.02	
<b>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 397953, 185550</b>						
FHLB Discount Notes	BNY	05-Apr-13	03-Apr-14	2,427,813.38	2,428,569.00	0.130%
Cash Balance	BNY		30-Jun-13	764.57	764.57	
<b>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398273, 165540</b>						
FHLB Discount Notes	BNY	24-Jan-12	01-Jul-13	9,353,382.87	9,340,000.00	0.330%
Cash Balance	BNY		30-Jun-13	4,852.83	4,852.83	
<b>BOND FUND - 2012A RESERVE FUND ACCT (RESTRICTED) - 658118, 185820</b>						
FHLB Discount Notes	BNY	31-May-13	30-May-14	7,048,722.85	7,048,895.18	0.130%
Cash Balance	BNY		30-Jun-13	6,472.56	6,472.56	
<b>BOND FUND - 2013 REFUNDING RESERVE FUND (RESTRICTED) - 666283, 165232</b>						
FHLB Discount Notes	BNY	09-Mar-13	05-Mar-14	8,827,231.89	8,832,558.84	0.160%
Cash Balance	BNY		30-Jun-13	133.80	133.80	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>				<u>77,308,876.88</u>	<u>76,442,846.48</u>	
<b>TOTAL INVESTED FUNDS:</b>				<u>\$ 154,358,876.88</u>	<u>\$ 152,270,117.29</u>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**June-13**

**INVESTMENT      INVESTMENTS @ FMV      YIELD**

**WORKING FUNDS - MONEY MARKET ACCOUNTS**

<u>OPERATING FUND-618-986022</u> Money Market - JP Morgan Chase	112001	336,078	336,078	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u> Money Market - Capital One	112911	1,099,152	1,099,152	0.40%
<u>REVENUE FUND -925-114824</u> Money Market - JP Morgan Chase	111905	8,208,350	8,208,350	0.10%
<u>GENERAL FUND-812-003519</u> Money Market - JP Morgan Chase	112907	233,488	233,488	0.10%
<u>GENERAL FUND MONEY MKT-616-002546</u> Money Market JP Morgan Chase	112002	84,770,153	84,770,153	0.25%
<u>2013A BANs MONEY MKT (RESTRICTED)-21788940</u> Money Market Valley National Bank	112904	5,118,118	5,118,118	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u> Money Market Capital One	112914	37,310,554	37,310,554	0.40%
<u>2013B BANs MONEY MONEY MKT (RESTRICTED) -944-580-530</u> Money Market HSBC	112919	32,551,450	32,551,450	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u> Money Market Bridgehampton National Bank	112918	13,502,284	13,502,284	0.20%
<u>OPERATING FUND OPES - MONEY MKT - 166700450</u> Money Market 1st National Bank of L.I.	112930	2,001,572	2,001,571.67	0.60%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u> Money Market Community National Bank	112932	4,101,604	4,101,603.61	0.15%

TOTAL WORKING FUND MONEY MARKET ACCOUNTS : \$ 187,232,810.16 \$ 187,232,810.16

GRAND TOTAL : \$ 341,589,487 \$ 339,502,927

TOTAL RESTRICTED FUNDS : 114,976,244.55 114,112,214.36

TOTAL UNRESTRICTED FUNDS : 226,613,242.29 225,390,713.09

GRAND TOTAL : \$ 341,589,487 \$ 339,502,927

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS**  
**July-13**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>OPERATING FUND</b>						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	\$ 4,925,500.00	1.000%
<b>GENERAL FUND</b>						
FHLB Notes	HSBC Brokerage	11-Feb-13	30-Jul-15	2,000,000.00	1,998,800.00	0.375%
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	2,000,000.00	1,997,440.00	0.500%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	5,000,000.00	5,016,200.00	1.050%
FNMA Notes	Wells Fargo Advisors	25-Feb-13	25-Aug-16	3,000,000.00	2,983,020.00	0.600%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-16	2,000,000.00	2,003,240.00	1.350%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	2,000,000.00	2,000,000.00	0.400%
FNMA Notes	Wells Fargo Advisors	28-Feb-13	28-Aug-17	5,000,000.00	4,926,800.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	2,000,000.00	1,984,680.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	2,500,000.00	2,469,050.00	0.825%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	2,000,000.00	1,971,760.00	0.750%
FHLMC Notes	HSBC Brokerage	4-Apr-13	11-Dec-17	2,000,000.00	1,959,180.00	1.000%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	2,500,000.00	2,465,775.00	0.750%
FNMA Step Notes	Raymond James	11-Feb-13	22-Jan-18	3,000,000.00	2,947,560.00	0.700%
FNMA Step Notes	Raymond James	11-Feb-13	30-Jan-18	3,000,000.00	2,951,220.00	0.700%
FNMA Step Notes	Raymond James	29-May-13	29-May-18	3,000,000.00	2,931,330.00	0.750%
FHLB Notes	Morgan Stanley	13-Jun-13	13-Jun-18	2,000,000.00	1,940,120.00	1.100%
FHLB Step Notes	Raymond James	10-Jul-13	10-Jul-18	1,500,000.00	1,499,145.00	1.000%
FHLB Notes	Raymond James	17-Jul-13	17-Jul-18	1,500,000.00	1,496,490.00	1.750%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	5,000,000.00	4,908,150.00	1.000%
FHLB Notes	HSBC Brokerage	17-May-13	15-May-20	2,500,000.00	2,369,550.00	1.540%
FHLB Step Notes	Morgan Stanley	20-May-13	20-May-20	2,500,000.00	2,411,825.00	0.750%
FHLB Notes	Wells Fargo Advisors	28-May-13	28-May-20	2,500,000.00	2,379,325.00	1.690%
FHLB Step notes	HSBC Brokerage	13-May-13	30-Oct-20	2,500,000.00	2,401,750.00	1.000%
FHLB Step Notes	Raymond James	11-Jun-13	11-Dec-20	2,500,000.00	2,402,950.00	1.250%
Various	Cutwater Asset Management	Various	Various	10,550,000.00	10,548,884.00	
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 79,050,000.00</b>	<b>\$ 77,888,644.00</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**July-13**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</b>						
658451						
FHLB Discount Notes	BNY	26-Jul-13	23-Aug-13	\$ 4,313,949.67	\$ 4,313,956.86	0.010%
658450						
FHLB Discount Notes	BNY	26-Jul-13	23-Aug-13	542,983.87	542,994.57	0.010%
Cash Balance (combined total)	BNY		31-Jul-13	822.55	822.55	
<b>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040</b>						
FFCB Notes	BNY	30-May-13	30-May-17	835,000.00	822,344.53	0.750%
Cash Balance	BNY		31-Jul-13	3,084.37	3,084.37	
<b>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</b>						
US Treasury Note-SLGS	BNY	15-Mar-11	15-Dec-17	243,888.00	243,889.00	2.360%
Cash Balance	BNY		31-Jul-13	0.03	0.03	
<b>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</b>						
US Treasury Note-SLGS	BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash Balance	BNY		31-Jul-13	25,002.03	25,002.03	
<b>BOND FUND - 2011A (2009A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</b>						
US Treasury Bond-SLGS	BNY	15-Mar-11	15-Dec-19	46,867.00	46,867.00	2.360%
Cash Balance	BNY		31-Jul-13	0.00	0.00	
<b>BOND FUND - 2011A (2009B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</b>						
US Treasury Note-SLGS	BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash	BNY		31-Jul-13	0.00	0.00	
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165092</b>						
US Treasury Bond-SLGS	BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash Balance	BNY		31-Jul-13	0.00	0.00	
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</b>						
FHLB Discount Notes	BNY	12-Jul-13	04-Oct-13	645,938.71	645,887.70	0.040%
Cash Balance	BNY		31-Jul-13	350.73	350.73	
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</b>						
US Treasury Bond-SLGS	BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash Balance	BNY		31-Jul-13	691.61	691.61	
<b>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</b>						
FHLB Discount Note	BNY	12-Jul-13	04-Oct-13	447,958.19	447,977.80	0.080%
FHLB Discount Note	BNY	28-Mar-13	28-Sep-13	141,935.75	141,995.74	0.030%
Cash Balance	BNY		31-Jul-13	838.67	838.67	
<b>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 389550, 165050</b>						
FFCB Notes	BNY	30-May-13	30-May-17	8,753,000.00	8,620,337.34	0.750%
Cash Balance	BNY		31-Jul-13	29,246.52	29,248.52	
<b>BOND FUND - 2003 B EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296020, 165300</b>						
FNMA Discount Note	BNY	27-Jun-13	25-Jul-13			0.000%
Cash Balance	BNY		31-Jul-13	110.27	110.27	
<b>BOND FUND - 2003 B EFC RESERVE ACCOUNT (RESTRICTED)- 296019, 165310</b>						
FHLB Discount Notes	BNY	30-Jul-13	28-Oct-13	407,979.60	407,971.44	0.020%
Cash Balance	BNY		31-Jul-13	1,890.71	1,890.71	
<b>BOND FUND - 2004 A EFC RESERVE ACCOUNT (RESTRICTED), 286124, 165330</b>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash Balance	BNY		31-Jul-13	0.00	0.00	
<b>BOND FUND - 2005 B EFC RESERVE ACCOUNT (RESTRICTED), 295998, 165340</b>						
US Treasury Bond - SLGS	BNY	26-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash Balance	BNY		31-Jul-13	0.00	0.00	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**July-13**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) - 298137, 185580</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	6,869,000.00	6,567,922.87	0.75%
Cash Balance	BNY		31-Jul-13	22,127.21	22,127.21	
<b><u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	6,846,000.00	6,742,240.31	0.750%
Cash Balance	BNY		31-Jul-13	23,162.30	23,162.30	
<b><u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 322507, 185400</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	3,816,000.00	3,758,183.75	0.750%
Cash Balance	BNY		31-Jul-13	13,059.27	13,059.27	
<b><u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	5,725,000.00	5,638,230.47	0.750%
Cash Balance	BNY		31-Jul-13	19,264.52	19,264.52	
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	9,246,000.00	9,105,865.31	0.750%
Cash Balance	BNY		31-Jul-13	30,834.57	30,834.57	
<b><u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	1,497,000.00	1,474,311.09	0.750%
Cash Balance	BNY		31-Jul-13	5,583.02	5,583.02	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u></b>						
FHLB Discount Notes	BNY	05-Apr-13	03-Apr-14	2,427,813.36	2,429,346.92	0.130%
Cash Balance	BNY		31-Jul-13	764.57	764.57	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u></b>						
FNMA Discount Notes	BNY	02-Jul-13	30-May-14	9,339,515.96	9,339,557.51	0.000%
Cash Balance	BNY		31-Jul-13	7,790.99	7,790.99	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u></b>						
FHLB Discount Notes	BNY	31-May-13	30-May-14	7,048,722.65	7,050,871.42	0.130%
Cash Balance	BNY		31-Jul-13	6,472.56	6,472.56	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 688285, 165232</u></b>						
FHLB Discount Notes	BNY	05-Mar-13	05-Mar-14	6,627,231.69	6,634,415.48	0.160%
Cash Balance	BNY		31-Jul-13	133.80	133.80	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>				<b>\$76,368,563</b>	<b>\$77,721,993</b>	
<b>TOTAL INVESTED FUNDS:</b>				<b>\$ 157,418,563</b>	<b>\$ 155,611,837</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**July-13**

		<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>YIELD</u>	
<b><u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u></b>					
<u>OPERATING FUND-616-986022</u>		112001			
Money Market -	JP Morgan Chase		316,905	316,905	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u>		112911			
Money Market -	Capital One		1,338,048	1,336,048	0.40%
<u>REVENUE FUND -825-114624</u>		111905			
Money Market -	JP Morgan Chase		3,447,133	3,447,133	0.10%
<u>GENERAL FUND-812-003519</u>		112907			
Money Market -	JP Morgan Chase		1,219,806	1,219,806	0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u>		112002			
Money Market	JP Morgan Chase		89,600,036	89,600,036	0.25%
<u>2013A BANs MONEY MKT (RESTRICTED)-21788940</u>		112904			
Money Market	Valley National Bank		4,120,169	4,120,169	0.25%
<u>OPERATING FUND MONEY MKT- 7047885260</u>		112914			
Money Market	Capital One		41,399,357	41,399,357	0.40%
<u>2013B MONEY MKT (RESTRICTED)-844-580-530</u>		112919			
Money Market	HSBC		20,808,502	20,808,502	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u>		112918			
Money Market	Bridgehampton National Bank		13,500,908	13,500,908	0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u>		112930			
Money Market	1st National Bank of L.I.		2,000,657	2,000,656.52	0.60%
<u>OPERATING FUND RET - MONEY MKT - 10000371</u>		112832			
Money Market	Community National Bank		4,735,894	4,735,893.67	0.15%
<b>TOTAL WORKING FUND MONEY MARKET ACCOUNTS:</b>		:	<b>\$ 182,487,410.71</b>	<b>\$ 182,487,410.71</b>	
<b>GRAND TOTAL</b>		:	<b>\$ 339,905,973.26</b>	<b>\$ 338,099,248.02</b>	
<b>TOTAL RESTRICTED FUNDS</b>		:	<b>103,297,233</b>	<b>102,650,664</b>	
<b>TOTAL UNRESTRICTED FUNDS</b>		:	<b>236,608,740</b>	<b>235,448,584</b>	
<b>GRAND TOTAL</b>		:	<b>\$ 339,905,973.26</b>	<b>\$ 338,099,248.02</b>	



**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS**  
**August-13**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>OPERATING FUND</b>						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	\$ 4,879,000.00	1.000%
<b>GENERAL FUND</b>						
FHLB Notes	HSBC Brokerage	11-Feb-13	30-Jul-15	2,000,000.00	1,987,440.00	0.375%
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	2,000,000.00	1,994,040.00	0.500%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-18	5,000,000.00	5,013,150.00	1.050%
FNMA Notes	Wells Fargo Advisors	25-Feb-13	25-Aug-16	3,000,000.00	2,967,150.00	0.600%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-18	2,000,000.00	2,001,660.00	1.350%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	2,000,000.00	1,992,720.00	0.400%
FNMA Notes	Wells Fargo Advisors	28-Feb-13	28-Aug-17	5,000,000.00	4,877,700.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	2,000,000.00	1,975,100.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	2,500,000.00	2,451,075.00	0.625%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	2,000,000.00	1,955,500.00	0.750%
FHLMC Notes	HSBC Brokerage	4-Apr-13	11-Dec-17	2,000,000.00	1,943,520.00	1.000%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	2,500,000.00	2,454,375.00	0.750%
FNMA Step Notes	Raymond James	11-Feb-13	22-Jan-18	3,000,000.00	2,924,160.00	0.700%
FNMA Step Notes	Raymond James	11-Feb-13	30-Jan-18	3,000,000.00	2,928,000.00	0.700%
FNMA Step Notes	Raymond James	28-May-13	28-May-18	3,000,000.00	2,902,920.00	0.750%
FHLB Notes	Morgan Stanley	13-Jun-13	13-Jun-18	2,000,000.00	1,922,580.00	1.100%
FHLB Step Notes	Raymond James	10-Jul-13	10-Jul-18	1,500,000.00	1,464,940.00	1.000%
FHLB Notes	Raymond James	17-Jul-13	17-Jul-18	1,500,000.00	1,478,340.00	1.750%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	5,000,000.00	4,871,650.00	1.000%
FHLB Notes	HSBC Brokerage	17-May-13	15-May-20	2,500,000.00	2,335,825.00	1.540%
FHLB Step Notes	Morgan Stanley	20-May-13	20-May-20	2,500,000.00	2,337,700.00	0.750%
FHLB Notes	Wells Fargo Advisors	28-May-13	28-May-20	2,500,000.00	2,352,725.00	1.690%
FHLB Step notes	HSBC Brokerage	13-May-13	30-Oct-20	2,500,000.00	2,368,975.00	1.000%
FHLB Step Notes	Raymond James	11-Jun-13	11-Dec-20	2,500,000.00	2,349,500.00	1.250%
Various	Cutwater Asset Management	Various	Various	11,550,000.00	11,521,581.15	
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 80,050,000.00</b>	<b>\$ 78,281,426.15</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**August-13**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</u></b>						
658451						
FHLB Discount Notes	BNY	23-Aug-13	20-Sep-13	\$ 6,470,949.67	\$ 6,470,935.29	0.010%
658450						
FHLB Discount Notes	BNY	23-Aug-13	20-Sep-13	813,993.67	813,991.86	0.010%
Cash Balance (combined total)	BNY		31-Aug-13	1,741.92	1,741.92	
<b><u>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED)-399516, 165040</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	835,000.00	820,487.70	0.750%
Cash Balance	BNY		31-Aug-13	3,084.37	3,084.37	
<b><u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u></b>						
US Treasury Note-SLGS	BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash Balance	BNY		31-Aug-13	0.03	0.03	
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647482, 165130</u></b>						
US Treasury Note-SLGS	BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash Balance	BNY		31-Aug-13	25,002.03	25,002.03	
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647787, GL 165110</u></b>						
US Treasury Bond-SLGS	BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash Balance	BNY		31-Aug-13	0.00	0.00	
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</u></b>						
US Treasury Note-SLGS	BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash Balance	BNY		31-Aug-13	0.00	0.00	
<b><u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</u></b>						
US Treasury Bond-SLGS	BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash Balance	BNY		31-Aug-13	0.00	0.00	
<b><u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</u></b>						
FHLB Discount Notes	BNY	12-Jul-13	04-Oct-13	645,939.71	645,987.09	0.040%
Cash Balance	BNY		31-Aug-13	350.73	350.73	
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u></b>						
US Treasury Bond-SLGS	BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash Balance	BNY		31-Aug-13	691.61	691.61	
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 286012, 165280</u></b>						
FHLB Discount Note	BNY	12-Jul-13	04-Oct-13	447,958.19	447,991.04	0.080%
FHLB Discount Note	BNY	28-Mar-13	28-Sep-13	141,935.75	141,998.58	0.030%
Cash Balance	BNY		31-Aug-13	838.67	838.67	
<b><u>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 389550, 165050</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	8,753,000.00	8,600,872.86	0.750%
Cash Balance	BNY		31-Aug-13	29,246.52	29,246.52	
<b><u>BOND FUND - 2003 B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-- 298020, 165300</u></b>						
FNMA Discount Note	BNY	27-Jun-13	25-Jul-13			0.000%
Cash Balance	BNY		31-Aug-13	110.27	110.27	
<b><u>BOND FUND - 2003 B EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u></b>						
FHLB Discount Notes	BNY	30-Jul-13	28-Oct-13	407,979.60	407,987.76	0.020%
Cash Balance	BNY		31-Aug-13	1,890.71	1,890.71	
<b><u>BOND FUND - 2004 A EFC RESERVE ACCOUNT (RESTRICTED), 296124, 165330</u></b>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash Balance	BNY		31-Aug-13	0.00	0.00	
<b><u>BOND FUND - 2005 B EFC RESERVE ACCOUNT (RESTRICTED), 285985</u></b>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash Balance	BNY		31-Aug-13	0.00	0.00	
<b><u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	8,869,000.00	8,553,092.78	0.75%
Cash Balance	BNY		31-Aug-13	22,127.21	22,127.21	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**August-13**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b><u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	6,846,000.00	6,727,018.52	0.750%
Cash Balance	BNY		31-Aug-13	23,162.30	23,162.30	
<b><u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 390507, 165400</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	3,816,000.00	3,749,677.92	0.750%
Cash Balance	BNY		31-Aug-13	13,059.27	13,059.27	
<b><u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 388771, 165480</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	5,725,000.00	5,625,499.50	0.750%
Cash Balance	BNY		31-Aug-13	19,264.52	19,264.52	
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	9,246,000.00	9,085,304.52	0.750%
Cash Balance	BNY		31-Aug-13	30,834.57	30,834.57	
<b><u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 399772, 165450</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	1,497,000.00	1,470,982.14	0.750%
Cash Balance	BNY		31-Aug-13	5,583.02	5,583.02	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u></b>						
FHLB Discount Notes	BNY	05-Apr-13	03-Apr-14	2,427,813.36	2,429,711.57	0.130%
Cash Balance	BNY		31-Aug-13	764.57	764.57	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 388273, 165580</u></b>						
FNMA Discount Notes	BNY	02-Jul-13	30-May-14	9,339,515.96	9,341,988.25	0.000%
Cash Balance	BNY		31-Aug-13	7,790.99	7,790.99	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 558118, 185820</u></b>						
FHLB Discount Notes	BNY	31-May-13	30-May-14	7,048,722.65	7,052,706.50	0.130%
Cash Balance	BNY		31-Aug-13	6,472.56	6,472.56	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 686285, 165232</u></b>						
FHLB Discount Notes	BNY	05-Mar-13	05-Mar-14	8,627,231.69	8,635,610.32	0.160%
Cash Balance	BNY		31-Aug-13	133.80	133.80	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>				<b>\$ 80,797,481.92</b>	<b>\$ 80,060,283.86</b>	
<b>TOTAL INVESTED FUNDS:</b>				<b>\$ 160,847,481.92</b>	<b>\$ 158,341,710.01</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**August-13**

		<u>INVESTMENT</u>	<u>YIELD</u>
<b><u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u></b>			
<u>OPERATING FUND-618-986022</u>	112001		
Money Market - JP Morgan Chase		962,188	962,188 0.10%
<u>SPECIAL DEPOSIT-1824-000747</u>	112911		
Money Market - Capital One		110,775	110,775 0.40%
<u>REVENUE FUND -825-114624</u>	111905		
Money Market - JP Morgan Chase		5,392,709	5,392,709 0.10%
<u>GENERAL FUND-812-003519</u>	112907		
Money Market - JP Morgan Chase		228,952	228,952 0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u>	112002		
Money Market JP Morgan Chase		91,651,655	91,651,655 0.25%
<u>2013A BANs MONEY MKT (RESTRICTED)-21788840</u>	112804		
Money Market Valley National Bank		4,121,027	4,121,027 0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u>	112914		
Money Market Capital One		41,400,198	41,400,198 0.40%
<u>2013B BANs MONEY MKT (RESTRICTED) -944-560-530</u>	112919		
Money Market HSBC		16,310,925	16,310,925 0.15%
<u>OPERATING FUND MONEY MKT - 0282002608</u>	112918		
Money Market Bridgehampton National Bank		13,502,220	13,502,220 0.20%
<u>OPERATING FUND OPER - MONEY MKT - 166700450</u>	112930		
Money Market 1st National Bank of L.I.		2,000,580	2,000,579.53 0.50%
<u>OPERATING FUND RET - MONEY MKT - 18000371</u>	112932		
Money Market Community National Bank		5,582,779	5,582,778.50 0.15%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:		\$ 181,245,005.96	\$ 181,245,005.98
GRAND TOTAL		\$ 342,092,487.88	\$ 339,586,715.97
TOTAL RESTRICTED FUNDS		101,229,434.02	100,492,235.96
TOTAL UNRESTRICTED FUNDS		240,863,053.86	239,094,480.01
GRAND TOTAL		\$ 342,092,487.88	\$ 339,586,715.97

**SUFFOLK COUNTY WATER AUTHORITY****INVESTMENTS****September-13**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>OPERATING FUND</b>						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	\$ 4,947,000.00	1.000%
<b>GENERAL FUND</b>						
FHLB Notes	HSBC Brokerage	11-Feb-13	30-Jul-15	2,000,000.00	1,999,000.00	0.375%
FNMA Notes	Morgan Keegan	8-Nov-12	6-Nov-15	2,000,000.00	1,999,560.00	0.500%
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	5,000,000.00	5,012,900.00	1.050%
FNMA Notes	Wells Fargo Advisors	25-Feb-13	25-Aug-16	3,000,000.00	2,985,990.00	0.600%
FNMA Notes	Morgan Keegan	11-Oct-11	11-Oct-18	2,000,000.00	2,000,540.00	1.350%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	2,000,000.00	2,000,200.00	0.400%
FNMA Notes	Wells Fargo Advisors	28-Feb-13	28-Aug-17	5,000,000.00	4,938,650.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	2,000,000.00	1,991,460.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	2,500,000.00	2,472,400.00	0.625%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	2,000,000.00	1,977,180.00	0.750%
FHLMC Notes	HSBC Brokerage	4-Apr-13	11-Dec-17	2,000,000.00	1,961,260.00	1.000%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	2,500,000.00	2,475,550.00	0.750%
FNMA Step Notes	Raymond James	11-Feb-13	22-Jan-18	3,000,000.00	2,959,830.00	0.700%
FNMA Step Notes	Raymond James	11-Feb-13	30-Jan-18	3,000,000.00	2,964,330.00	0.700%
FNMA Step Notes	Raymond James	29-May-13	29-May-18	3,000,000.00	2,943,390.00	0.750%
FHLB Notes	Morgan Stanley	13-Jun-13	13-Jun-18	2,000,000.00	1,942,860.00	1.100%
FHLB Step Notes	Raymond James	10-Jul-13	10-Jul-18	1,500,000.00	1,500,345.00	1.000%
FHLB Notes	Raymond James	17-Jul-13	17-Jul-18	1,500,000.00	1,498,140.00	1.750%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	5,000,000.00	4,912,300.00	1.000%
FHLB Notes	HSBC Brokerage	17-May-13	15-May-20	2,500,000.00	2,368,000.00	1.540%
FHLB Step Notes	Morgan Stanley	20-May-13	20-May-20	2,500,000.00	2,371,625.00	0.750%
FHLB Notes	Wells Fargo Advisors	28-May-13	28-May-20	2,500,000.00	2,369,775.00	1.690%
FHLB Step notes	HSBC Brokerage	13-May-13	30-Oct-20	2,500,000.00	2,393,250.00	1.000%
FHLB Step Notes	Raymond James	11-Jun-13	11-Dec-20	2,500,000.00	2,398,200.00	1.250%
Various	Cutwater Asset Management	Various	Various	11,550,000.00	11,567,638.55	
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 80,050,000.00</b>	<b>\$ 76,951,393.55</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**September-13**

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>	
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</b>								
858451								
FHLB Discount Notes	BNY	25-Sep-13	27-Nov-13	\$	8,628,848.99	\$	8,628,741.13	0.010%
658450								
FHLB Discount Notes	BNY	25-Sep-13	27-Nov-13		1,085,981.00		1,085,967.42	0.010%
Cash Balance (combined total)	BNY		30-Sep-13		774.64		774.64	
<b>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED)- 399516, 165040</b>								
FFCB Notes	BNY	30-May-13	30-May-17		835,000.00		824,679.40	0.750%
Cash Balance	BNY		30-Sep-13		3,084.37		3,084.37	
<b>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</b>								
US Treasury Note-SLGS	BNY	15-Mar-11	15-Dec-17		243,889.00		243,889.00	2.360%
Cash Balance	BNY		30-Sep-13		0.03		0.03	
<b>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</b>								
US Treasury Note-SLGS	BNY	24-Jun-10	01-Oct-18		290,275.00		290,275.00	2.980%
Cash Balance	BNY		30-Sep-13		25,002.03		25,002.03	
<b>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-847787, GL 185110</b>								
US Treasury Bond-SLGS	BNY	15-Mar-11	15-Dec-19		46,667.00		46,667.00	2.360%
Cash Balance	BNY		30-Sep-13		0.00		0.00	
<b>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</b>								
US Treasury Note-SLGS	BNY	15-Mar-11	15-Jul-20		318,889.00		318,889.00	2.360%
Cash Balance	BNY		30-Sep-13		0.00		0.00	
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</b>								
US Treasury Bond-SLGS	BNY	15-Mar-11	15-May-21		128,973.00		128,973.00	2.360%
Cash Balance	BNY		30-Sep-13		0.00		0.00	
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 648252, GL 165200</b>								
FHLB Discount Notes	BNY	12-Jul-13	04-Oct-13		645,939.71		646,000.00	0.040%
Cash Balance	BNY		30-Sep-13		350.73		350.73	
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165280</b>								
US Treasury Bond-SLGS	BNY	21-Jun-12	15-Oct-22		452,319.00		452,319.00	1.760%
Cash Balance	BNY		30-Sep-13		691.61		691.61	
<b>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</b>								
FHLB Discount Note	BNY	12-Jul-13	04-Oct-13		447,956.19		448,000.00	0.030%
Cash Balance	BNY		30-Sep-13		2,743.35		2,743.35	
<b>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050</b>								
FFCB Notes	BNY	30-May-13	30-May-17		8,753,000.00		8,644,812.92	0.750%
Cash Balance	BNY		30-Sep-13		29,246.52		29,246.52	
<b>BOND FUND - 2003 B EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296020, 165300</b>								
Cash Balance	BNY		30-Sep-13		110.27		110.27	0.000%
<b>BOND FUND - 2003 B EFC RESERVE ACCOUNT (RESTRICTED)- 298019, 165310</b>								
FHLB Discount Notes	BNY	30-Jul-13	28-Oct-13		407,979.80		407,991.84	0.020%
Cash Balance	BNY		30-Sep-13		1,890.71		1,890.71	
<b>BOND FUND - 2004 A EFC RESERVE ACCOUNT (RESTRICTED), 296124, 165330</b>								
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24		660,544.00		660,544.00	4.635%
Cash Balance	BNY		30-Sep-13		0.00		0.00	
<b>BOND FUND - 2005 B EFC RESERVE ACCOUNT (RESTRICTED), 285996, 165340</b>								
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25		704,736.00		704,736.00	3.802%
Cash Balance	BNY		30-Sep-13		0.00		0.00	
<b>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</b>								
FFCB Notes	BNY	30-May-13	30-May-17		6,669,000.00		6,586,571.16	0.75%
Cash Balance	BNY		30-Sep-13		22,127.21		22,127.21	
<b>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</b>								
FFCB Notes	BNY	30-May-13	30-May-17		6,846,000.00		6,761,383.44	0.750%
Cash Balance	BNY		30-Sep-13		23,162.30		23,162.30	

**SUFFOLK COUNTY WATER AUTHORITY**

**INVESTMENTS cont'd.**

**September-13**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b><u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 389507, 165400</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	3,816,000.00	3,768,834.24	0.750%
Cash Balance	BNY		30-Sep-13	13,059.27	13,059.27	
<b><u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	5,725,000.00	5,654,239.00	0.750%
Cash Balance	BNY		30-Sep-13	19,264.52	19,264.52	
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	9,246,000.00	9,131,719.44	0.750%
Cash Balance	BNY		30-Sep-13	30,834.57	30,834.57	
<b><u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	1,497,000.00	1,478,497.08	0.750%
Cash Balance	BNY		30-Sep-13	5,583.02	5,583.02	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 387953, 165550</u></b>						
FHLB Discount Notes	BNY	05-Apr-13	03-Apr-14	2,427,813.36	2,430,513.80	0.130%
Cash Balance	BNY		30-Sep-13	764.57	764.57	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 388273, 185580</u></b>						
FNMA Discount Notes	BNY	02-Jul-13	30-May-14	9,339,515.96	9,345,821.34	0.000%
Cash Balance	BNY		30-Sep-13	7,790.99	7,790.99	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 185820</u></b>						
FHLB Discount Notes	BNY	31-May-13	30-May-14	7,048,722.85	7,055,600.28	0.130%
Cash Balance	BNY		30-Sep-13	6,472.56	6,472.56	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 660285, 165232</u></b>						
FHLB Discount Notes	BNY	05-Mar-13	05-Mar-14	6,827,231.69	6,837,137.06	0.160%
Cash Balance	BNY		30-Sep-13	133.80	133.80	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>				<b>\$ 83,086,370.22</b>	<b>\$ 82,575,888.62</b>	
<b>TOTAL INVESTED FUNDS:</b>				<b>\$ 163,136,370.22</b>	<b>\$ 161,527,282.17</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**September-13**

			<u>INVESTMENT</u>	<u>YIELD</u>
<b><u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u></b>				
<b><u>OPERATING FUND-618-986022</u></b>		112001		
Money Market -	JP Morgan Chase		174,752.88	174,752.88 0.10%
<b><u>SPECIAL DEPOSIT-1824-000747</u></b>		112911		
Money Market -	Capital One		1,467,323.11	1,467,323.11 0.40%
<b><u>REVENUE FUND -825-114624</u></b>		111805		
Money Market -	JP Morgan Chase		10,661,683.49	10,661,683.49 0.10%
<b><u>GENERAL FUND-812-003519</u></b>		112907		
Money Market -	JP Morgan Chase		110,963.58	110,963.58 0.10%
<b><u>GENERAL FUND MONEY MKT-816-002548</u></b>		112002		
Money Market	JP Morgan Chase		91,651,062.69	91,651,062.69 0.25%
<b><u>2013A BANs MONEY MKT (RESTRICTED)-21788940</u></b>		112904		
Money Market	Valley National Bank		4,121,914.12	4,121,914.12 0.25%
<b><u>OPERATING FUND MONEY MKT- 7047685250</u></b>		112914		
Money Market	Capital One		41,399,857.64	41,399,857.64 0.40%
<b><u>2013B BANs MONEY MKT (RESTRICTED) -944-580-530</u></b>		112919		
Money Market	HSBC		11,012,759.37	11,012,759.37 0.15%
<b><u>OPERATING FUND MONEY MKT - 0282002609</u></b>		112918		
Money Market	Bridgewater National Bank		13,502,293.55	13,502,293.55 0.20%
<b><u>OPERATING FUND OPEB - MONEY MKT - 166700450</u></b>		112930		
Money Market	1st National Bank of L.I.		2,000,579.53	2,000,579.53 0.60%
<b><u>OPERATING FUND RET - MONEY MKT - 19000371</u></b>		112932		
Money Market	Community National Bank		6,209,901.61	6,209,901.61 0.15%
<b>TOTAL WORKING FUND MONEY MARKET ACCOUNTS :</b>			<b>\$ 182,313,091.57</b>	<b>\$ 182,313,091.57</b>
<b>GRAND TOTAL :</b>			<b>\$ 345,449,461.79</b>	<b>\$ 343,840,373.74</b>
<b>TOTAL RESTRICTED FUNDS :</b>			<b>98,221,043.71</b>	<b>97,710,562.11</b>
<b>TOTAL UNRESTRICTED FUNDS :</b>			<b>247,228,418.08</b>	<b>246,129,811.63</b>
<b>GRAND TOTAL :</b>			<b>\$ 345,449,461.79</b>	<b>\$ 343,840,373.74</b>



**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS**  
**October-13**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>OPERATING FUND</b>						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	\$ 4,970,050.00	1.000%
<b>GENERAL FUND</b>						
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	5,000,000.00	5,012,000.00	1.050%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	5,000,000.00	4,938,050.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	2,000,000.00	2,001,640.00	0.750%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	2,000,000.00	1,990,920.00	0.400%
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	2,000,000.00	2,002,300.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	2,500,000.00	2,476,775.00	0.825%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	2,000,000.00	1,986,480.00	0.750%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	2,500,000.00	2,487,450.00	0.750%
FNMA Step Notes	Raymond James	11-Feb-13	22-Jan-18	3,000,000.00	2,975,640.00	0.700%
FNMA Step Notes	Raymond James	11-Feb-13	30-Jan-18	3,000,000.00	2,931,210.00	0.700%
FNMA Notes	Wells Fargo Advisors	25-Feb-13	25-Aug-16	3,000,000.00	2,991,630.00	0.600%
FNMA Notes	Wells Fargo Advisors	28-Feb-13	28-Aug-17	5,000,000.00	4,965,500.00	1.000%
FHLMC Notes	HSBC Brokerage	4-Apr-13	11-Dec-17	2,000,000.00	1,971,740.00	1.000%
FHLB Step notes	HSBC Brokerage	13-May-13	30-Oct-20	2,500,000.00	2,408,350.00	1.000%
FHLB Notes	HSBC Brokerage	17-May-13	15-May-20	2,500,000.00	2,360,700.00	1.540%
FHLB Step Notes	Morgan Stanley	20-May-13	20-May-20	2,500,000.00	2,391,500.00	0.750%
FHLB Notes	Wells Fargo Advisors	28-May-13	28-May-20	2,500,000.00	2,387,800.00	1.690%
FNMA Step Notes	Raymond James	28-May-13	29-May-16	3,000,000.00	2,982,050.00	0.750%
FHLB Step Notes	Raymond James	11-Jun-13	11-Dec-20	2,500,000.00	2,415,000.00	1.250%
FHLB Notes	Morgan Stanley	13-Jun-13	13-Jun-18	2,000,000.00	1,955,360.00	1.100%
FHLB Notes	Raymond James	17-Jul-13	17-Jul-18	1,500,000.00	1,503,240.00	1.750%
NASGEN Notes	Raymond James	18-Oct-13	15-Nov-18	1,000,000.00	886,920.00	1.776%
NYSHGR Notes	Raymond James	16-Oct-13	15-Feb-20	1,570,000.00	1,567,252.50	2.500%
NYSHGR Notes	Raymond James	17-Oct-13	15-Feb-22	1,000,000.00	991,280.00	3.000%
FHLB Notes	HSBC Brokerage	30-Oct-13	26-Sep-19	2,000,000.00	1,944,800.00	1.620%
Various	Cutwater Asset Management	Various	Various	11,550,000.00	11,580,358.65	
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 80,120,000.00</b>	<b>\$ 79,156,896.15</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd,**  
**October-13**

			FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-858491 &amp; 858450-155010 &amp; 020</u></b>							
	658451						
FHLB Discount Notes		BNY	25-Sep-13	27-Nov-13	\$ 10,785,828.62	\$ 10,785,892.14	0.010%
	658450						
FHLB Discount Notes		BNY	25-Sep-13	27-Nov-13	1,357,978.43	1,357,986.42	0.010%
Cash Balance (combined total)		BNY		31-Oct-13	660.28	660.29	
<b><u>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED)-396516, 165040</u></b>							
FFCB Notes		BNY	30-May-13	30-May-17	835,000.00	829,745.85	0.750%
Cash Balance		BNY		31-Oct-13	3,084.37	3,084.37	
<b><u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u></b>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.380%
Cash Balance		BNY		31-Oct-13	0.03	0.03	
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-847492, 165130</u></b>							
US Treasury Note-SLGS		BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash Balance		BNY		31-Oct-13	25,002.03	25,002.03	
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-847767, GL 165110</u></b>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-Dec-19	46,887.00	46,887.00	2.380%
Cash Balance		BNY		31-Oct-13	0.00	0.00	
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-847904, GL 165180</u></b>							
US Treasury Note-SLGS		BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.380%
Cash Balance		BNY		31-Oct-13	0.00	0.00	
<b><u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-847976, GL 165090</u></b>							
US Treasury Bond-SLGS		BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash Balance		BNY		31-Oct-13	0.00	0.00	
<b><u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 848252, GL 165200</u></b>							
FHLB Discount Notes		BNY	04-Oct-13	04-Apr-14	645,869.36	645,832.04	0.040%
Cash Balance		BNY		31-Oct-13	481.37	481.37	
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</u></b>							
US Treasury Bond-SLGS		BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash Balance		BNY		31-Oct-13	891.61	891.61	
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 295012, 165280</u></b>							
FHLB Discount Note		BNY	04-Oct-13	04-Apr-14	447,808.40	447,883.52	0.080%
Cash Balance		BNY		31-Oct-13	2,833.95	2,833.85	
<b><u>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050</u></b>							
FFCB Notes		BNY	30-May-13	30-May-17	8,753,000.00	8,687,440.03	0.750%
Cash Balance		BNY		31-Oct-13	29,246.52	29,246.52	
<b><u>BOND FUND - 2003 B EFC CONSTRUCTION ACCOUNT (RESTRICTED)- 296020, 165300</u></b>							
FNMA Discount Note		BNY	27-Jun-13	25-Jul-13			0.000%
Cash Balance		BNY		31-Oct-13	110.27	110.27	
<b><u>BOND FUND - 2003 B EFC RESERVE ACCOUNT (RESTRICTED)- 296019, 165310</u></b>							
FHLB Discount Notes		BNY	30-Jul-13	28-Oct-13			0.020%
Cash Balance		BNY		31-Oct-13	409,890.71	409,890.71	
<b><u>BOND FUND - 2004 A EFC RESERVE ACCOUNT (RESTRICTED)- 296124, 165330</u></b>							
US Treasury Bond - SLGS		BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash Balance		BNY		31-Oct-13	0.00	0.00	
<b><u>BOND FUND - 2005 B EFC RESERVE ACCOUNT (RESTRICTED)- 285988, 165340</u></b>							
US Treasury Bond - SLGS		BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash Balance		BNY		31-Oct-13	0.00	0.00	
<b><u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</u></b>							
FFCB Notes		BNY	30-May-13	30-May-17	6,669,000.00	6,619,049.19	0.75%
Cash Balance		BNY		31-Oct-13	22,127.21	22,127.21	
<b><u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</u></b>							
FFCB Notes		BNY	30-May-13	30-May-17	6,846,000.00	6,794,723.46	0.750%
Cash Balance		BNY		31-Oct-13	23,162.30	23,162.30	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**October-13**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 388507, 185400</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	3,816,000.00	3,787,418.16	0.750%
Cash Balance	BNY		31-Oct-13	13,059.27	13,059.27	
<b><u>BOND FUND - 2008 A RESERVE FUND ACCOUNT (RESTRICTED) - 388771, 185480</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	5,725,000.00	5,682,119.75	0.750%
Cash Balance	BNY		31-Oct-13	19,284.52	19,284.52	
<b><u>BOND FUND - 2008 B RESERVE FUND ACCOUNT (RESTRICTED) - 388774, 185480</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	9,246,000.00	9,176,747.46	0.750%
Cash Balance	BNY		31-Oct-13	30,834.57	30,834.57	
<b><u>BOND FUND - 2008 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 388772, 185450</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	1,497,000.00	1,485,787.47	0.750%
Cash Balance	BNY		31-Oct-13	5,583.02	5,583.02	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 185550</u></b>						
FHLB Discount Notes	BNY	05-Apr-13	03-Apr-14	2,427,813.36	2,430,367.94	0.130%
Cash Balance	BNY		31-Oct-13	764.57	764.57	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 185580</u></b>						
FNMA Discount Notes	BNY	02-Jul-13	30-May-14	9,339,515.96	9,345,166.91	0.000%
Cash Balance	BNY		31-Oct-13	7,790.99	7,790.99	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u></b>						
FHLB Discount Notes	BNY	31-May-13	30-May-14	7,048,722.65	7,055,106.22	0.130%
Cash Balance	BNY		31-Oct-13	8,472.58	8,472.58	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 866285, 165232</u></b>						
FHLB Discount Notes	BNY	05-Mar-13	05-Mar-14	6,627,231.69	6,836,871.54	0.180%
Cash Balance	BNY		31-Oct-13	133.80	133.80	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>				<b>85,515,355.43</b>	<b>85,214,624.08</b>	
<b>TOTAL INVESTED FUNDS:</b>				<b>\$ 165,635,355.43</b>	<b>\$ 164,371,620.21</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**October-13**

			<u>INVESTMENT</u>	<u>YIELD</u>
<b><u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u></b>				
<u>OPERATING FUND-616-986022</u> Money Market -	JP Morgan Chase	112001	586,316.82	586,316.82 0.10%
<u>SPECIAL DEPOSIT-1824-000747</u> Money Market -	Capital One	112911	996,632.42	996,632.42 0.30%
<u>REVENUE FUND -825-114624</u> Money Market -	JP Morgan Chase	111905	6,947,111.33	6,947,111.33 0.10%
<u>GENERAL FUND-812-003519</u> Money Market -	JP Morgan Chase	112907	375,426.90	375,426.90 0.10%
<u>GENERAL FUND MONEY MKT-816-002548</u> Money Market	JP Morgan Chase	112002	108,880,989.67	108,880,989.67 0.20%
<u>2013A BANS MONEY MKT (RESTRICTED)-21788940</u> Money Market	Valley National Bank	112904	4,122,820.01	4,122,820.01 0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u> Money Market	Capital One	112914	32,499,636.48	32,499,636.48 0.30%
<u>2013B BANS MONEY MKT (RESTRICTED) -944-580-530</u> Money Market	HSBC	112818	11,014,343.82	11,014,343.82 0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u> Money Market	Bridgehampton National Bank	112918	13,502,293.54	13,502,293.54 0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u> Money Market	1st National Bank of L.I.	112930	2,000,579.53	2,000,579.53 0.40%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u> Money Market	Community National Bank	112832	6,857,637.89	6,857,637.89 0.15%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS :			<u>\$ 185,793,588.31</u>	<u>\$ 185,793,588.31</u>
GRAND TOTAL :			<u>\$ 351,428,943.74</u>	<u>\$ 350,165,208.52</u>
TOTAL RESTRICTED FUNDS :			100,652,319.26	100,351,587.88
TOTAL UNRESTRICTED FUNDS :			250,776,624.48	249,813,620.63
GRAND TOTAL :			<u>\$ 351,428,943.74</u>	<u>\$ 350,165,208.52</u>

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS**

**November-13**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>OPERATING FUND</b>						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	\$ 4,974,700.00	1.000%
<b>GENERAL FUND</b>						
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-18	5,000,000.00	5,007,950.00	1.050%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	5,000,000.00	4,936,200.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	2,000,000.00	2,004,860.00	0.750%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	2,000,000.00	1,999,200.00	0.600%
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	2,000,000.00	2,003,800.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	2,500,000.00	2,482,075.00	0.750%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	2,000,000.00	1,990,660.00	0.750%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	2,500,000.00	2,492,075.00	0.750%
FNMA Step Notes	Raymond James	11-Feb-13	22-Jan-18	3,000,000.00	2,881,400.00	0.700%
FNMA Step Notes	Raymond James	11-Feb-13	30-Jan-18	3,000,000.00	2,938,980.00	0.700%
FNMA Notes	Wells Fargo Advisors	25-Feb-13	25-Aug-16	3,000,000.00	2,997,570.00	0.500%
FNMA Notes	Wells Fargo Advisors	28-Feb-13	28-Aug-17	5,000,000.00	4,987,100.00	1.000%
FHLMC Notes	HSBC Brokerage	4-Apr-13	11-Dec-17	2,000,000.00	1,974,660.00	1.000%
FHLB Step notes	HSBC Brokerage	13-May-13	30-Oct-20	2,500,000.00	2,398,700.00	1.000%
FHLB Notes	HSBC Brokerage	17-May-13	15-May-20	2,500,000.00	2,349,325.00	1.540%
FHLB Step Notes	Morgan Stanley	20-May-13	20-May-20	2,500,000.00	2,381,575.00	0.750%
FHLB Notes	Wells Fargo Advisors	28-May-13	28-May-20	2,500,000.00	2,364,825.00	1.690%
FNMA Step Notes	Raymond James	29-May-13	29-May-18	3,000,000.00	2,987,540.00	0.750%
FHLB Step Notes	Raymond James	11-Jun-13	11-Dec-20	2,500,000.00	2,400,900.00	1.250%
FHLB Notes	Morgan Stanley	13-Jun-13	13-Jun-18	2,000,000.00	1,956,180.00	1.100%
FHLB Notes	Raymond James	17-Jul-13	17-Jul-18	1,600,000.00	1,503,120.00	1.750%
NASGEN Notes	Raymond James	18-Oct-13	15-Nov-18	1,000,000.00	987,580.00	1.776%
NYSHGR Notes	Raymond James	16-Oct-13	15-Feb-20	1,570,000.00	1,561,930.20	2.500%
NYSHGR Notes	Raymond James	17-Oct-13	15-Feb-22	1,000,000.00	984,940.00	3.000%
FHLB Notes	HSBC Brokerage	30-Oct-13	28-Sep-19	2,000,000.00	1,939,780.00	1.820%
NYCGEN	Raymond James	15-Nov-13	1-May-21	1,515,000.00	1,711,616.70	4.950%
NYSWTR	Raymond James	6-Nov-13	15-Jun-21	360,000.00	394,819.20	4.639%
NYCGEN	Raymond James	8-Nov-13	1-Aug-22	1,500,000.00	1,614,785.00	4.587%
Various	Cutwater Asset Management	Various	Various	11,550,000.00	11,589,105.30	
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 63,495,000.00</b>	<b>\$ 62,876,041.40</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**November-13**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</b>						
<b>658451</b>						
FHLB Discount Notes	BNY	27-Nov-13	02-Dec-13	\$ 12,942,962.02	\$ 12,843,000.00	0.000%
<b>658450</b>						
FHLB Discount Notes	BNY	27-Nov-13	02-Dec-13	1,628,997.74	1,629,000.00	0.000%
Cash Balance (combined)	BNY		30-Nov-13	1,543.24	1,543.24	
<b>BOND FUND - SERIES 1984 SUB RESERVE FUND ACCOUNT (RESTRICTED) 199516, 165040</b>						
FFCB Notes	BNY	30-May-13	30-May-17	835,000.00	830,783.25	0.750%
Cash Balance	BNY		30-Nov-13	3,084.37	3,084.37	
<b>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647403, 165120</b>						
US Treasury Note-SLGS	BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash Balance	BNY		30-Nov-13	0.03	0.03	
<b>BOND FUND - 2010C (1989A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</b>						
US Treasury Note-SLGS	BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash Balance	BNY		30-Nov-13	25,002.03	25,002.03	
<b>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647787, GL 165110</b>						
US Treasury Bond-SLGS	BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash Balance	BNY		30-Nov-13	0.00	0.00	
<b>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647804, GL 165180</b>						
US Treasury Note-SLGS	BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash Balance	BNY		30-Nov-13	0.00	0.00	
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</b>						
US Treasury Bond-SLGS	BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash Balance	BNY		30-Nov-13	0.00	0.00	
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 646252, GL 165200</b>						
FHLB Discount Notes	BNY	04-Oct-13	04-Apr-14	645,859.35	645,825.58	0.030%
Cash Balance	BNY		30-Nov-13	481.37	481.37	
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</b>						
US Treasury Bond-SLGS	BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash Balance	BNY		30-Nov-13	691.61	691.61	
<b>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)-295012, 165280</b>						
FHLB Discount Note	BNY	04-Oct-13	04-Apr-14	447,909.40	447,878.04	0.030%
Cash Balance	BNY		30-Nov-13	2,833.95	2,833.95	
<b>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 398550, 165050</b>						
FFCB Notes	BNY	30-May-13	30-May-17	8,753,000.00	8,708,797.35	0.750%
Cash Balance	BNY		30-Nov-13	29,246.52	29,246.52	
<b>BOND FUND - 2003 B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-- 296020, 165300</b>						
FNMA Discount Note	BNY	27-Jun-13	25-Jul-13			0.000%
Cash Balance	BNY		30-Nov-13	110.27	110.27	
<b>BOND FUND - 2013 B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</b>						
US Treasury Bond - SLGS	BNY	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
Cash Balance	BNY		30-Nov-13	998.71	998.71	
<b>BOND FUND - 2004 A EFC RESERVE ACCOUNT (RESTRICTED), 296124, 166330</b>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	860,544.00	860,544.00	4.635%
Cash Balance	BNY		30-Nov-13	0.00	0.00	
<b>BOND FUND - 2005 B EFC RESERVE ACCOUNT (RESTRICTED), 295988, 165340</b>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash Balance	BNY		30-Nov-13	0.00	0.00	
<b>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 286137, 165500</b>						
FFCB Notes	BNY	30-May-13	30-May-17	8,689,000.00	8,635,321.55	0.75%
Cash Balance	BNY		30-Nov-13	22,127.21	22,127.21	

SUFFOLK COUNTY WATER AUTHORITY  
 INVESTMENTS cont'd.  
 November-13

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 185370</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	8,848,000.00	8,811,427.70	0.750%
Cash Balance	BNY		30-Nov-13	23,162.30	23,162.30	
<b><u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 389507, 165400</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	3,816,000.00	3,796,729.20	0.750%
Cash Balance	BNY		30-Nov-13	13,059.27	13,059.27	
<b><u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 166480</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	6,725,000.00	6,696,088.75	0.750%
Cash Balance	BNY		30-Nov-13	19,264.52	19,264.52	
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 185490</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	9,246,000.00	9,199,307.70	0.750%
Cash Balance	BNY		30-Nov-13	30,834.57	30,834.57	
<b><u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 388772, 165450</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	1,497,000.00	1,488,440.15	0.750%
Cash Balance	BNY		30-Nov-13	5,583.02	6,583.02	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u></b>						
FHLB Discount Notes	BNY	05-Apr-13	03-Apr-14	2,427,813.38	2,430,343.63	0.130%
Cash Balance	BNY		30-Nov-13	764.57	764.57	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 396273, 165580</u></b>						
FNMA Discount Notes	BNY	02-Jul-13	30-May-14	9,339,515.96	8,344,792.95	0.000%
Cash Balance	BNY		30-Nov-13	7,790.99	7,790.99	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 558118, 165820</u></b>						
FHLB Discount Notes	BNY	31-May-13	30-May-14	7,048,722.65	7,054,823.90	0.130%
Cash Balance	BNY		30-Nov-13	6,472.56	6,472.56	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165232</u></b>						
FHLB Discount Notes	BNY	05-Mar-13	05-Mar-14	6,627,231.69	6,636,805.16	0.160%
Cash Balance	BNY		30-Nov-13	133.80	133.80	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>				<b>87,944,411.09</b>	<b>87,748,734.82</b>	
<b>TOTAL INVESTED FUNDS:</b>				<b>\$ 171,439,411.09</b>	<b>\$ 170,624,776.22</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS.com'd.**  
**November-13**

		<u>INVESTMENT</u>		<u>YIELD</u>
<b><u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u></b>				
<u>OPERATING FUND-618-985022</u> Money Market - JP Morgan Chase	112001	473,545.53	473,545.53	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u> Money Market - Capital One	112911	36,760.46	36,760.46	0.30%
<u>REVENUE FUND-825-114824</u> Money Market - JP Morgan Chase	111905	7,218,760.64	7,218,760.64	0.10%
<u>GENERAL FUND-612-003518</u> Money Market - JP Morgan Chase	112907	295,377.29	295,377.29	0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u> Money Market JP Morgan Chase	112002	110,229,392.99	110,229,392.89	0.13%
<u>2013A BANS MONEY MKT (RESTRICTED)-21788540</u> Money Market Valley National Bank	112904	4,123,450.26	4,123,450.26	0.25%
<u>OPERATING FUND MONEY MKT-7047885250</u> Money Market Capital One	112914	26,497,229.66	26,497,229.86	0.30%
<u>2013B BANS MONEY MKT (RESTRICTED)-844-580-530</u> Money Market HSBC	112919	11,015,701.75	11,015,701.75	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u> Money Market Bridgehampton National Bank	112918	13,502,145.61	13,502,145.61	0.20%
<u>OPERATING FUND QPEB - MONEY MKT - 166700450</u> Money Market 1st National Bank of L.I.	112930	2,000,513.80	2,000,513.80	0.37%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u> Money Market Community National Bank	112932	7,660,220.80	7,660,220.80	0.15%
<b>TOTAL WORKING FUND MONEY MARKET ACCOUNTS:</b>	:	<b>\$ 183,053,098.99</b>	<b>\$ 183,053,098.99</b>	
<b>GRAND TOTAL</b>	:	<b>\$ 354,492,510.08</b>	<b>353,677,875.21</b>	
<b>TOTAL RESTRICTED FUNDS</b>	:	<b>103,083,563.10</b>	<b>102,887,886.83</b>	
<b>TOTAL UNRESTRICTED FUNDS</b>	:	<b>251,408,946.98</b>	<b>250,789,988.38</b>	
<b>GRAND TOTAL</b>	:	<b>\$ 354,492,510.08</b>	<b>353,677,875.21</b>	



**SUFFOLK COUNTY WATER AUTHORITY**

**INVESTMENTS**

**December-13**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>OPERATING FUND</b>						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	\$ 4,954,100.00	1.000%
<b>GENERAL FUND</b>						
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	5,000,000.00	5,004,550.00	1.050%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-18	5,000,000.00	4,948,100.00	1.250%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	2,000,000.00	1,990,100.00	0.750%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	2,000,000.00	1,987,480.00	0.600%
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	2,000,000.00	2,000,400.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	2,500,000.00	2,459,375.00	0.750%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	2,000,000.00	1,973,520.00	0.750%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	2,500,000.00	2,469,200.00	0.750%
FNMA Step Notes	Raymond James	11-Feb-13	22-Jan-18	3,000,000.00	2,953,110.00	0.700%
FNMA Step Notes	Raymond James	11-Feb-13	30-Jan-18	3,000,000.00	2,944,320.00	0.700%
FNMA Notes	Wells Fargo Advisors	25-Feb-13	25-Aug-16	3,000,000.00	2,985,090.00	0.800%
FNMA Notes	Wells Fargo Advisors	28-Feb-13	28-Aug-17	5,000,000.00	4,938,050.00	1.000%
FHLMC Notes	HSBC Brokerage	4-Apr-13	11-Dec-17	2,000,000.00	1,954,980.00	1.000%
FHLB Step notes	HSBC Brokerage	13-May-13	30-Oct-20	2,500,000.00	2,362,700.00	1.000%
FHLB Notes	HSBC Brokerage	17-May-13	15-May-20	2,500,000.00	2,317,200.00	1.540%
FHLB Step Notes	Morgan Stanley	20-May-13	20-May-20	2,500,000.00	2,350,575.00	0.750%
FHLB Notes	Wells Fargo Advisors	28-May-13	28-May-20	2,500,000.00	2,339,575.00	1.690%
FNMA Step Notes	Raymond James	29-May-13	29-May-18	3,000,000.00	2,942,840.00	0.750%
FHLB Step Notes	Raymond James	11-Jun-13	11-Dec-20	2,500,000.00	2,364,450.00	1.250%
FHLB Notes	Morgan Stanley	13-Jun-13	13-Jun-18	2,000,000.00	1,932,000.00	1.100%
FHLB Notes	Raymond James	17-Jul-13	17-Jul-18	1,500,000.00	1,467,625.00	1.750%
NASGEN Notes	Raymond James	16-Oct-13	15-Nov-18	1,000,000.00	974,990.00	1.778%
NYSHGR Notes	Raymond James	18-Oct-13	15-Feb-20	1,570,000.00	1,532,414.20	2.500%
NYSHGR Notes	Raymond James	17-Oct-13	15-Feb-22	1,000,000.00	954,910.00	3.000%
FHLB Notes	HSBC Brokerage	30-Oct-13	26-Sep-19	2,000,000.00	1,929,620.00	1.620%
NYCGEN	Raymond James	15-Nov-13	1-May-21	1,515,000.00	1,660,150.15	4.950%
NYSWTR	Raymond James	6-Nov-13	15-Jun-21	360,000.00	387,543.60	4.639%
NYCGEN	Raymond James	8-Nov-13	1-Aug-22	1,500,000.00	1,601,295.00	4.587%
Various	Cutwater Asset Management	Various	Various	11,550,000.00	11,547,999.15	
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 83,495,000.00</b>	<b>\$ 82,278,362.10</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**December-13**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-558451 &amp; 658450-165010 &amp; 020</b>						
658451						
FHLB Discount Notes	BNY	03-Dec-13	23-Jan-14	\$ 3,251,988.38	\$ 3,251,987.48	0.000%
658450						
FHLB Discount Notes	BNY	03-Dec-13	23-Jan-14	270,997.37	270,997.29	0.000%
Cash Balance (combined total)	BNY		31-Dec-13	1,268.81	1,268.81	
<b>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED)-389516, 165040</b>						
FFCB Notes	BNY	30-May-13	30-May-17	835,000.00	826,549.80	0.750%
Cash Balance	BNY		31-Dec-13	370.62	370.62	
<b>BOND FUND - 2011A (1999B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647403, 165120</b>						
US Treasury Note-SLGS	BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.350%
Cash Balance	BNY		31-Dec-13	0.02	0.02	
<b>BOND FUND - 2011C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</b>						
US Treasury Note-SLGS	BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash Balance	BNY		31-Dec-13	25,002.03	25,002.03	
<b>BOND FUND - 2011A (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647787, GL 165110</b>						
US Treasury Bond-SLGS	BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.350%
Cash Balance	BNY		31-Dec-13	0.00	0.00	
<b>BOND FUND - 2011A (2006) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165100</b>						
US Treasury Note-SLGS	BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.350%
Cash Balance	BNY		31-Dec-13	0.00	0.00	
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</b>						
US Treasury Bond-SLGS	BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.350%
Cash Balance	BNY		31-Dec-13	0.00	0.00	
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-649252, GL 165200</b>						
FHLB Discount Notes	BNY	04-Oct-13	04-Apr-14	645,869.36	645,903.10	0.030%
Cash Balance	BNY		31-Dec-13	481.37	481.37	
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-298010, 165280</b>						
US Treasury Bond-SLGS	BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.750%
Cash Balance	BNY		31-Dec-13	691.61	691.61	
<b>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)-298012, 165280</b>						
FHLB Discount Note	BNY	04-Oct-13	04-Apr-14	447,909.40	447,932.60	0.030%
Cash Balance	BNY		31-Dec-13	2,833.95	2,833.95	
<b>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED)-389550, 165040</b>						
FFCB Notes	BNY	30-May-13	30-May-17	8,753,000.00	8,664,419.64	0.750%
Cash Balance	BNY		31-Dec-13	809.02	809.02	
<b>BOND FUND - 2003 B EFC CONSTRUCTION ACCOUNT (RESTRICTED)-298020, 165300</b>						
FNMA Discount Note	BNY	27-Jun-13	25-Jul-13	0.00	0.00	0.000%
Cash Balance	BNY		31-Dec-13	110.27	110.27	
<b>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-298019, 165310</b>						
US Treasury Bond - SLGS	BNY	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
Cash Balance	BNY		31-Dec-13	996.71	996.71	
<b>BOND FUND - 2004 A EFC RESERVE ACCOUNT (RESTRICTED)-298124, 165330</b>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	5.180%
Cash Balance	BNY		31-Dec-13	0.00	0.00	
<b>BOND FUND - 2005 B EFC RESERVE ACCOUNT (RESTRICTED)-298988, 165340</b>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash Balance	BNY		31-Dec-13	0.00	0.00	
<b>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 286137, 165500</b>						
FFCB Notes	BNY	30-May-13	30-May-17	6,669,000.00	6,601,509.72	0.750%
Cash Balance	BNY		31-Dec-13	465.96	465.96	
<b>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED)-358406, 165370</b>						
FFCB Notes	BNY	30-May-13	30-May-17	6,846,000.00	6,776,718.48	0.750%
Cash Balance	BNY		31-Dec-13	916.05	916.05	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**December-13**

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b><u>BOND FUND - SERIES 2007A RESERVE FUND ACCT. (RESTRICTED) - 399507, 165400</u></b>							
FFCB Notes	BNY		30-May-13	30-May-17	3,816,000.00	3,777,382.08	0.750%
Cash Balance	BNY			31-Dec-13	660.52	660.52	
<b><u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 185480</u></b>							
FFCB Notes	BNY		30-May-13	30-May-17	5,725,000.00	5,667,063.00	0.750%
Cash Balance	BNY			31-Dec-13	658.27	658.27	
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 185490</u></b>							
FFCB Notes	BNY		30-May-13	30-May-17	9,246,000.00	9,152,430.48	0.750%
Cash Balance	BNY			31-Dec-13	788.32	788.32	
<b><u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398772, 185450</u></b>							
FFCB Notes	BNY		30-May-13	30-May-17	1,487,000.00	1,481,850.36	0.750%
Cash Balance	BNY			31-Dec-13	724.27	724.27	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 185550</u></b>							
FHLB Discount Notes	BNY		05-Apr-13	03-Apr-14	2,427,813.36	2,430,635.35	0.120%
Cash Balance	BNY			31-Dec-13	764.57	764.57	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 185480</u></b>							
FNMA Discount Notes	BNY		02-Jul-13	30-May-14	9,339,515.96	9,346,288.79	0.000%
Cash Balance	BNY			31-Dec-13	7,790.99	7,790.99	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165620</u></b>							
FHLB Discount Notes	BNY		31-May-13	30-May-14	7,048,722.65	7,055,953.18	0.120%
Cash Balance	BNY			31-Dec-13	6,472.56	6,472.56	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666285, 165232</u></b>							
FHLB Discount Notes	BNY		05-Mar-13	05-Mar-14	6,827,231.89	6,637,535.34	0.160%
Cash Balance	BNY			31-Dec-13	133.80	133.80	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>					<b>76,754,153.89</b>	<b>76,342,262.61</b>	
<b>TOTAL INVESTED FUNDS:</b>					<b>\$ 160,249,153.89</b>	<b>\$ 158,620,624.71</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**December-13**

		<u>INVESTMENT</u>		<u>YIELD</u>
<b>WORKING FUNDS - MONEY MARKET ACCOUNTS</b>				
<u>OPERATING FUND-818-986022</u>	112001			
Money Market - JP Morgan Chase		2,716,518.50	2,716,518.50	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u>	112911			
Money Market - Capital One		25,770.82	25,770.82	0.30%
<u>REVENUE FUND-825-114624</u>	111805			
Money Market - JP Morgan Chase		4,373,024.63	4,373,024.63	0.10%
<u>GENERAL FUND-812-003519</u>	112907			
Money Market - JP Morgan Chase		326,015.22	326,015.22	0.10%
<u>GENERAL FUND MONEY MKT-818-002548</u>	112002			
Money Market JP Morgan Chase		114,824,479.30	114,824,479.30	0.13%
<u>2013A BAs MONEY MKT (RESTRICTED)-21788940</u>	112904			
Money Market Valley National Bank		4,124,366.57	4,124,366.57	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u>	112914			
Money Market Capital One		15,681,646.94	15,681,646.94	0.30%
<u>2013B BAs MONEY MKT (RESTRICTED)-944-580-530</u>	112919			
Money Market HSBC		14,183,599.83	14,183,599.83	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u>	112918			
Money Market Bridgehampton National Bank		13,502,367.52	13,502,367.52	0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 188700450</u>	112930			
Money Market 1st National Bank of L.I.		5,000,853.49	5,000,853.49	0.37%
<u>OPERATING FUND RET - MONEY MKT - 18000371</u>	112832			
Money Market Community National Bank		801,300.64	801,300.64	0.15%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS :		\$ 175,859,943.48	\$ 175,859,943.46	
GRAND TOTAL :		\$ 335,909,097.35	\$ 334,280,568.17	
TOTAL RESTRICTED FUNDS :		95,062,120.28	94,850,229.01	
TOTAL UNRESTRICTED FUNDS :		240,846,977.08	239,830,339.16	
GRAND TOTAL :		\$ 335,909,097.35	\$ 334,280,568.17	

**SUFFOLK COUNTY WATER AUTHORITY**

**INVESTMENTS**

**January-14**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>OPERATING FUND</b>						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	\$ 4,987,450.00	1.000%
<b>GENERAL FUND</b>						
FHLMC Notes	Morgan Stanley	10-Feb-12	10-Aug-16	5,000,000.00	5,000,850.00	1.050%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	5,000,000.00	5,001,100.00	1.250%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	2,000,000.00	2,003,800.00	0.750%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	2,000,000.00	1,995,080.00	0.600%
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	2,000,000.00	2,003,620.00	0.500%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	2,500,000.00	2,478,450.00	0.750%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	2,000,000.00	1,988,680.00	0.750%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	2,500,000.00	2,489,300.00	0.750%
FNMA Step Notes	Raymond James	11-Feb-13	22-Jan-18	3,000,000.00	2,977,710.00	0.700%
FNMA Step Notes	Raymond James	11-Feb-13	30-Jan-18	3,000,000.00	2,989,070.00	0.700%
FNMA Notes	Wells Fargo Advisors	25-Feb-13	25-Aug-16	3,000,000.00	2,898,620.00	0.600%
FNMA Notes	Wells Fargo Advisors	28-Feb-13	28-Aug-17	5,000,000.00	4,961,600.00	1.000%
FHLMC Notes	HSBC Brokerage	4-Apr-13	11-Dec-17	2,000,000.00	1,872,440.00	1.000%
FHLB Step notes	HSBC Brokerage	13-May-13	30-Oct-20	2,500,000.00	2,409,450.00	1.000%
FHLB Notes	HSBC Brokerage	17-May-13	15-May-20	2,500,000.00	2,382,400.00	1.540%
FHLB Step Notes	Morgan Stanley	20-May-13	20-May-20	2,500,000.00	2,400,150.00	0.750%
FHLB Notes	Wells Fargo Advisors	28-May-13	28-May-20	2,500,000.00	2,408,875.00	1.890%
FNMA Step Notes	Raymond James	29-May-13	29-May-18	3,000,000.00	2,971,500.00	0.750%
FHLB Step Notes	Raymond James	11-Jun-13	11-Dec-20	2,500,000.00	2,412,175.00	1.250%
FHLB Notes	Morgan Stanley	13-Jun-13	13-Jun-18	2,000,000.00	1,954,580.00	1.100%
FHLB Notes	Raymond James	17-Jul-13	17-Jul-18	1,500,000.00	1,502,840.00	1.750%
NASGEN Notes	Raymond James	18-Oct-13	15-Nov-18	1,000,000.00	988,380.00	1.775%
NYSHGR Notes	Raymond James	16-Oct-13	15-Feb-20	1,570,000.00	1,583,029.20	2.500%
NYSHGR Notes	Raymond James	17-Oct-13	15-Feb-22	1,000,000.00	995,750.00	3.000%
FHLB Notes	HSBC Brokerage	30-Oct-13	26-Sep-19	2,000,000.00	1,957,060.00	1.620%
NYCGEN	Raymond James	15-Nov-13	1-May-21	1,515,000.00	1,735,658.75	4.950%
NYSWTR	Raymond James	6-Nov-13	15-Jun-21	360,000.00	396,218.00	4.638%
NYCGEN	Raymond James	8-Nov-13	1-Aug-22	1,500,000.00	1,641,105.00	4.587%
Various	Citewater Asset Management	Various	Various	11,550,000.00	11,586,948.00	
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 83,485,000.00</b>	<b>\$ 83,136,048.95</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**January-14**

			FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</b>							
658451							
FHLB Discount Notes	BNY		24-Jan-14	25-Feb-14	\$ 5,408,975.96	\$ 5,408,945.91	0.000%
658450							
FHLB Discount Notes	BNY		24-Jan-14	25-Feb-14	542,897.95	542,894.57	0.000%
Cash Balance (combined total)	BNY			31-Jan-14	1,157.97	1,157.97	
<b>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 395516, 165040</b>							
FPCB Notes	BNY		30-May-13	30-May-17	835,000.00	828,385.15	0.750%
Cash Balance	BNY			31-Jan-14	370.82	370.62	
<b>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</b>							
US Treasury Note-SLGS	BNY		15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.350%
Cash Balance	BNY			31-Jan-14	0.02	0.02	
<b>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</b>							
US Treasury Note-SLGS	BNY		24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash Balance	BNY			31-Jan-14	25,002.03	25,002.03	
<b>BOND FUND - 2011A (2006A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</b>							
US Treasury Bond-SLGS	BNY		15-Mar-11	15-Dec-19	46,687.00	46,687.00	2.350%
Cash Balance	BNY			31-Jan-14	0.00	0.00	
<b>BOND FUND - 2011A (2006B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</b>							
US Treasury Note-SLGS	BNY		15-Mar-11	15-Jul-20	318,869.00	318,869.00	2.350%
Cash Balance	BNY			31-Jan-14	0.00	0.00	
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165080</b>							
US Treasury Bond-SLGS	BNY		15-Mar-11	15-May-21	128,873.00	128,873.00	2.350%
Cash Balance	BNY			31-Jan-14	0.00	0.00	
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</b>							
FHLB Discount Notes	BNY		04-Oct-13	04-Apr-14	645,869.36	645,854.78	0.030%
Cash Balance	BNY			31-Jan-14	481.37	481.37	
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</b>							
US Treasury Bond-SLGS	BNY		21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.750%
Cash Balance	BNY			31-Jan-14	691.61	691.81	
<b>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)- 296012, 165280</b>							
FHLB Discount Note	BNY		04-Oct-13	04-Apr-14	447,999.40	447,968.64	0.030%
Cash Balance	BNY			31-Jan-14	2,833.95	2,833.95	
<b>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 389590, 165090</b>							
FPCB Notes	BNY		30-May-13	30-May-17	8,753,000.00	8,683,763.77	0.750%
Cash Balance	BNY			31-Jan-14	29,246.52	809.02	
<b>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)- 296018, 165310</b>							
US Treasury Bond - SLGS	BNY		04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
Cash Balance	BNY			31-Jan-14	998.71	998.71	
<b>BOND FUND - 2004 A EFC RESERVE ACCOUNT (RESTRICTED), 296124, 165330</b>							
US Treasury Bond - SLGS	BNY		24-Jul-04	15-Aug-24	660,544.00	660,544.00	5.180%
Cash Balance	BNY			31-Jan-14	0.00	0.00	
<b>BOND FUND - 2005 B EFC RESERVE ACCOUNT (RESTRICTED), 295998, 165340</b>							
US Treasury Bond - SLGS	BNY		28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash Balance	BNY			31-Jan-14	0.00	0.00	
<b>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165500</b>							
FPCB Notes	BNY		30-May-13	30-May-17	6,668,000.00	6,616,248.21	0.75%
Cash Balance	BNY			31-Jan-14	465.96	465.90	
<b>BOND FUND - 2005A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</b>							
FPCB Notes	BNY		30-May-13	30-May-17	6,846,000.00	6,781,848.14	0.750%
Cash Balance	BNY			31-Jan-14	918.05	916.05	
<b>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</b>							
FPCB Notes	BNY		30-May-13	30-May-17	3,816,000.00	3,785,815.44	0.750%
Cash Balance	BNY			31-Jan-14	860.52	690.52	
<b>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</b>							
FPCB Notes	BNY		30-May-13	30-May-17	5,725,000.00	5,679,715.25	0.750%
Cash Balance	BNY			31-Jan-14	858.27	858.27	
<b>BOND FUND - 2008 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</b>							
FPCB Notes	BNY		30-May-13	30-May-17	9,246,000.00	9,172,864.14	0.750%
Cash Balance	BNY			31-Jan-14	788.32	788.32	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**January-14**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b><u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 390772.165450</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	1,487,000.00	1,485,158.73	0.750%
Cash Balance	BNY		31-Jan-14	724.27	724.27	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397863.165550</u></b>						
FHLB Discount Notes	BNY	05-Apr-13	03-Apr-14	2,427,813.36	2,430,829.83	0.120%
Cash Balance	BNY		31-Jan-14	784.57	784.57	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273.165580</u></b>						
FNMA Discount Notes	BNY	02-Jul-13	30-May-14	9,339,515.98	9,347,539.22	0.000%
Cash Balance	BNY		31-Jan-14	7,790.99	7,790.99	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118.165620</u></b>						
FHLB Discount Notes	BNY	31-May-13	30-May-14	7,048,722.85	7,056,897.19	0.120%
Cash Balance	BNY		31-Jan-14	8,472.58	8,472.58	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666245.165232</u></b>						
FHLB Discount Notes	BNY	05-Apr-13	05-Apr-14	6,627,231.69	6,637,867.24	0.180%
Cash Balance	BNY		31-Jan-14	133.80	133.80	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>				<u>79,211,378.44</u>	<u>78,869,710.82</u>	
<b>TOTAL INVESTED FUNDS:</b>				<u>\$ 162,706,378.44</u>	<u>\$ 162,005,758.77</u>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENT'S cont'd.**  
**January-14**

		<u>INVESTMENT</u>	<u>YIELD</u>
<b>WORKING FUNDS - MONEY MARKET ACCOUNTS</b>			
<u>OPERATING FUND-616-988022</u>	112001		
Money Market - JP Morgan Chase		1,219,019.67	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u>	112911		
Money Market - Capital One		192,109.97	0.30%
<u>REVENUE FUND-626-114624</u>	111905		
Money Market - JP Morgan Chase		5,065,847.91	0.10%
<u>GENERAL FUND-812-003519</u>	112907		
Money Market - JP Morgan Chase		304,547.34	0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u>	112002		
Money Market - JP Morgan Chase		118,789,952.74	0.13%
<u>2013A BANK MONEY MKT (RESTRICTED)-21788940</u>	112904		
Money Market - Valley National Bank		3,125,136.38	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u>	112914		
Money Market - Capital One		15,680,297.78	0.30%
<u>2013B BANK MONEY MKT (RESTRICTED) -944-580-530</u>	112919		
Money Market - HSBC		10,362,472.80	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u>	112918		
Money Market - Bridgehampton National Bank		13,502,293.53	0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 186700460</u>	112930		
Money Market - 1st National Bank of L.I.		5,001,488.68	0.37%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u>	112932		
Money Market - Community National Bank		800,941.41	0.15%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS:		\$ 174,044,106.19	\$ 174,044,106.19
GRAND TOTAL		\$ 336,750,484.63	\$ 336,049,865.96
TOTAL RESTRICTED FUNDS		82,898,967.62	92,357,320.00
TOTAL UNRESTRICTED FUNDS		244,051,497.01	243,692,545.96
GRAND TOTAL		\$ 336,750,484.63	\$ 336,049,865.96



**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS**  
**February-14**

<b>OPERATING FUND</b>		<b>FROM</b>	<b>TO</b>	<b>INVESTMENT</b>	<b>INVESTMENTS @ FMV</b>	<b>COUPON RATE</b>
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	\$ 4,994,300.00	1.000%
<b>GENERAL FUND</b>						
FNMA Notes	Morgan Keegan	8-Nov-12	8-Nov-15	2,000,000.00	2,003,120.00	0.500%
FNMA Notes	Wells Fargo Advisors	25-Feb-13	25-Aug-16	3,000,000.00	2,994,900.00	0.600%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	2,000,000.00	1,995,360.00	0.600%
FNMA Notes	Wells Fargo Advisors	28-Feb-13	28-Aug-17	5,000,000.00	4,981,500.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	2,000,000.00	2,003,360.00	0.750%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	2,500,000.00	2,481,000.00	0.750%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	2,000,000.00	1,992,020.00	0.750%
FHLMC Notes	HSBC Brokerage	4-Apr-13	11-Dec-17	2,000,000.00	1,974,060.00	1.000%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	2,500,000.00	2,463,625.00	0.750%
FNMA Step Notes	Raymond James	11-Feb-13	22-Jan-18	3,000,000.00	2,983,200.00	0.700%
FNMA Step Notes	Raymond James	11-Feb-13	30-Jan-18	3,000,000.00	2,974,050.00	0.700%
FNMA Step Notes	Raymond James	29-May-13	29-May-18	3,000,000.00	2,977,170.00	0.750%
FHLB Notes	Morgan Stanley	13-Jun-13	13-Jun-18	2,800,000.00	1,957,480.00	1.100%
FHLB Notes	Raymond James	17-Jul-13	17-Jul-18	1,500,000.00	1,497,525.00	1.750%
NASGEN Notes	Raymond James	16-Oct-13	15-Nov-18	1,000,000.00	993,450.00	1.778%
FHLB Notes	HSBC Brokerage	30-Oct-13	26-Sep-19	2,000,000.00	1,956,740.00	1.620%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	5,000,000.00	4,884,300.00	1.250%
NYSHGR Notes	Raymond James	18-Oct-13	15-Feb-20	1,570,000.00	1,575,185.30	2.500%
FHLB Notes	HSBC Brokerage	17-May-13	15-May-20	2,500,000.00	2,387,100.00	1.540%
FHLB Step Notes	Morgan Stanley	20-May-13	20-May-20	2,500,000.00	2,406,750.00	0.750%
FHLB Notes	Wells Fargo Advisors	28-May-13	28-May-20	2,500,000.00	2,409,425.00	1.690%
FHLB Step notes	HSBC Brokerage	13-May-13	30-Oct-20	2,500,000.00	2,415,150.00	1.000%
FHLB Step Notes	Raymond James	11-Jun-13	11-Dec-20	2,500,000.00	2,418,300.00	1.250%
NYCGEN	Raymond James	15-Nov-13	1-May-21	1,515,000.00	1,741,856.10	4.950%
NYSWTR	Raymond James	6-Nov-13	15-Jun-21	360,000.00	397,821.60	4.639%
NYSHGR Notes	Raymond James	17-Dec-13	15-Feb-22	1,000,000.00	1,004,210.00	3.000%
NYCGEN	Raymond James	8-Nov-13	1-Aug-22	1,500,000.00	1,846,065.00	4.587%
NYSHGR Notes	Raymond James	4-Feb-14	1-Dec-23	1,000,000.00	1,030,470.00	3.742%
Various	Cultivator Asset Management	Various	Various	11,550,000.00	11,612,765.50	
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 79,495,000.00</b>	<b>\$ 79,284,278.50</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS.com'd**  
**February-14**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-185810 A,070</b>						
658451						
FHLB Discount Notes	BNY	28-Feb-14	02-Jun-14	\$ 7,566,184.45	\$ 7,565,394.64	0.030%
658450						
FHLB Discount Notes	BNY	28-Feb-14	02-Jun-14	814,912.16	814,934.80	0.040%
Cash Balance (combined)	BNY		28-Feb-14	924.07	924.07	
<b>BOND FUND - SERIES 1884 SUB RESERVE FUND ACCOUNT (RESTRICTED)-399516, 165040</b>						
FFCB Notes	BNY	30-May-13	30-May-17	835,000.00	829,781.25	0.750%
Cash Balance	BNY		28-Feb-14	370.62	370.62	
<b>BOND FUND - 2011A (1999B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647403, 165120</b>						
US Treasury Note-SLGS	BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.350%
Cash Balance	BNY		28-Feb-14	0.02	0.02	
<b>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647497, 165130</b>						
US Treasury Note-SLGS	BNY	24-Jun-10	01-Oct-16	290,275.00	290,278.00	2.980%
Cash Balance	BNY		28-Feb-14	25,002.03	25,002.03	
<b>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</b>						
US Treasury Bond-SLGS	BNY	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.350%
Cash Balance	BNY		28-Feb-14	0.00	0.00	
<b>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165100</b>						
US Treasury Note-SLGS	BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.350%
Cash Balance	BNY		28-Feb-14	0.00	0.00	
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</b>						
US Treasury Bond-SLGS	BNY	15-Mar-11	15-May-21	126,973.00	126,973.00	2.350%
Cash Balance	BNY		28-Feb-14	0.00	0.00	
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-648252, GL 165200</b>						
FHLB Discount Notes	BNY	04-Oct-13	04-Apr-14	645,869.36	645,987.08	0.030%
Cash Balance	BNY		28-Feb-14	481.37	481.37	
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296030, 165280</b>						
US Treasury Bond-SLGS	BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.750%
Cash Balance	BNY		28-Feb-14	691.61	691.61	
<b>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)-296012, 165280</b>						
FHLB Discount Note	BNY	04-Oct-13	04-Apr-14	447,909.40	447,991.04	0.030%
Cash	BNY		28-Feb-14	2,833.95	2,833.95	
<b>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165040</b>						
FFCB Notes	BNY	30-May-13	30-May-17	8,753,000.00	8,698,293.75	0.750%
Cash Balance	BNY		28-Feb-14	29,248.52	809.02	
<b>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)- 296018, 165310</b>						
US Treasury Bond - SLGS	BNY	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
Cash Balance	BNY		28-Feb-14	996.71	996.71	
<b>BOND FUND - 2004 A EFC RESERVE ACCOUNT (RESTRICTED), 296124, 165330</b>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	5.180%
Cash Balance	BNY		28-Feb-14	0.00	0.00	
<b>BOND FUND - 2005 B EFC RESERVE ACCOUNT (RESTRICTED), 295990, 165340</b>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash Balance	BNY		28-Feb-14	0.00	0.00	
<b>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 296137, 165580</b>						
FFCB Notes	BNY	30-May-13	30-May-17	6,689,000.00	6,616,248.21	0.75%
Cash Balance	BNY		28-Feb-14	465.96	465.96	
<b>BOND FUND - 2005A RESERVE FUND ACCOUNT (RESTRICTED) - 390409, 165370</b>						
FFCB Notes	BNY	30-May-13	30-May-17	6,846,000.00	6,803,212.50	0.750%
Cash Balance	BNY		28-Feb-14	916.05	916.05	
<b>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 399597, 165400</b>						
FFCB Notes	BNY	30-May-13	30-May-17	3,816,000.00	3,792,150.00	0.750%
Cash Balance	BNY		28-Feb-14	660.52	660.52	
<b>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 399771, 165480</b>						
FFCB Notes	BNY	30-May-13	30-May-17	5,725,000.00	5,689,218.75	0.750%
Cash Balance	BNY		28-Feb-14	658.27	658.27	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**February-14**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>BOND FUND - 2008 B RESERVE FUND ACCOUNT (RESTRICTED) - 388774.169490</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	9,246,000.00	9,186,212.50	0.750%
Cash Balance	BNY		28-Feb-14	788.32	788.32	
<b><u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772.165450</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	1,497,000.00	1,487,643.75	0.750%
Cash Balance	BNY		28-Feb-14	724.27	724.27	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 397953.169590</u></b>						
FHLB Discount Notes	BNY	05-Apr-13	03-Apr-14	2,427,813.36	2,430,951.38	0.120%
Cash Balance	BNY		28-Feb-14	764.57	764.57	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398273.165580</u></b>						
FNMA Discount Notes	BNY	02-Jul-13	30-May-14	9,339,515.98	9,348,345.57	0.000%
Cash Balance	BNY		28-Feb-14	7,790.99	7,790.99	
<b><u>BOND FUND - 2012a RESERVE FUND ACCT (RESTRICTED) - 596118.165820</u></b>						
FHLB Discount Notes	BNY	31-May-13	30-May-14	7,048,722.65	7,057,505.94	0.120%
Cash Balance	BNY		28-Feb-14	6,472.58	6,472.56	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND (RESTRICTED) - 666786.166232</u></b>						
FHLB Discount Notes	BNY	05-Mar-13	05-Apr-14	6,627,231.59	6,638,000.00	0.160%
Cash Balance	BNY		28-Feb-14	133.80	133.80	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>				<b>81,640,257.24</b>	<b>81,381,541.87</b>	
<b>TOTAL INVESTED FUNDS:</b>				<b>\$ 151,135,287.24</b>	<b>\$ 150,645,820.37</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**February-14**

		<u>INVESTMENT</u>	<u>YIELD</u>
<b><u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u></b>			
<b><u>OPERATING FUND-418-988022</u></b>	112001		
Money Market - JP Morgan Chase		767,967.78	0.10%
<b><u>SPECIAL DEPOSIT-1824-000747</u></b>	112911		
Money Market - Capital One		447,012.80	0.30%
<b><u>REVENUE FUND-023-114624</u></b>	111905		
Money Market - JP Morgan Chase		8,528,602.03	0.10%
<b><u>GENERAL FUND-812-003819</u></b>	112907		
Money Market - JP Morgan Chase		264,700.74	0.10%
<b><u>GENERAL FUND MONEY MKT-518-002548</u></b>	112002		
Money Market - JP Morgan Chase		122,817,358.95	0.13%
<b><u>2013A BANK MONEY MKT (RESTRICTED)-21788940</u></b>	112904		
Money Market - Valley National Bank		3,125,744.05	0.25%
<b><u>OPERATING FUND MONEY MKT-7047685230</u></b>	112914		
Money Market - Capital One		14,679,771.62	0.30%
<b><u>2013B BANK MONEY MKT (RESTRICTED) -944-580-530</u></b>	112819		
Money Market - HSBC		7,330,357.66	0.15%
<b><u>OPERATING FUND MONEY MKT - 0292002609</u></b>	112918		
Money Market - Bridgehampton National Bank		13,502,071.73	0.20%
<b><u>OPERATING FUND OPER - MONEY MKT - 166700450</u></b>	112930		
Money Market - 1st National Bank of L.I.		5,001,343.29	0.35%
<b><u>OPERATING FUND RET - MONEY MKT - 19000371</u></b>	112932		
Money Market - Community National Bank		2,309,305.88	0.15%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS :		<u>\$ 178,774,236.53</u>	<u>\$ 178,774,236.53</u>
GRAND TOTAL :		<u>\$ 339,909,503.77</u>	<u>\$ 339,420,056.90</u>
TOTAL RESTRICTED FUNDS :		92,096,398.95	91,817,643.58
TOTAL UNRESTRICTED FUNDS :		247,813,134.82	247,602,413.32
GRAND TOTAL :		<u>\$ 339,909,503.77</u>	<u>\$ 339,420,056.90</u>

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS**  
**March-14**

			<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>OPERATING FUND</b>							
FHLMC Notes	Wells Fargo Advisors	4G3YXZ	27-Jul-12	27-Jul-17	\$ 5,000,000.00	\$ 4,961,200.00	1.000%
<b>GENERAL FUND</b>							
FNMA Notes	Morgan Keegan	5G0RS4	8-Nov-12	6-Nov-15	2,000,000.00	2,002,520.00	0.500%
FNMA Notes	Wells Fargo Advisors	8G1E82	25-Feb-13	25-Aug-16	3,000,000.00	2,990,730.00	0.600%
FNMA Step Notes	Wells Fargo Advisors	8G0Z20	1-Nov-12	1-Nov-16	2,000,000.00	1,888,860.00	0.600%
FNMA Notes	Wells Fargo Advisors	8G1EA9	28-Feb-13	28-Aug-17	5,000,000.00	4,950,900.00	1.000%
FNMA Step Notes	Morgan Stanley	6G03Z7	30-Oct-12	30-Oct-17	2,000,000.00	2,002,680.00	0.750%
FNMA Step Notes	Wells Fargo Advisors	8G03RC	13-Nov-12	13-Nov-17	2,500,000.00	2,468,600.00	0.750%
FNMA Step Notes	Morgan Keegan	8G03YF	21-Nov-12	21-Nov-17	2,000,000.00	1,983,760.00	0.750%
FHLMC Notes	HSBC Brokerage	4G3Z2E	4-Apr-13	11-Dec-17	2,000,000.00	1,966,920.00	1.000%
FNMA Step Notes	HSBC Brokerage	6G12F5	18-Dec-12	18-Dec-17	2,500,000.00	2,483,700.00	0.750%
FNMA Step Notes	Raymond James	6G1AP4	11-Feb-13	22-Jan-18	3,000,000.00	2,972,130.00	0.700%
FNMA Step Notes	Raymond James	8G1AJ8	11-Feb-13	30-Jan-18	3,000,000.00	2,983,310.00	0.700%
FNMA Step Notes	Raymond James	8G1MK	29-May-13	29-May-18	3,000,000.00	2,987,120.00	0.750%
FHLB Notes	Morgan Stanley	3B3BD2	13-Jun-13	13-Jun-18	2,000,000.00	1,843,760.00	1.100%
FHLB Notes	Raymond James	3B3MQ1	17-Jul-13	17-Jul-18	1,500,000.00	1,487,090.00	1.750%
NASGEN Notes	Raymond James	663NA5	16-Oct-13	15-Nov-18	1,000,000.00	986,220.00	1.776%
FHLB Notes	HSBC Brokerage	3B0CKE	30-Oct-13	26-Sep-19	2,000,000.00	1,944,300.00	1.620%
FNMA Step Notes	HSBC Brokerage	8G0PNE	28-Jun-12	27-Dec-19	5,000,000.00	4,964,250.00	1.250%
NYSHGR Notes	Raymond James	90ELCE	16-Oct-13	15-Feb-20	1,570,000.00	1,570,408.20	2.500%
FHLB Notes	HSBC Brokerage	3B2ZT3	17-May-13	15-May-20	2,500,000.00	2,372,025.00	1.540%
FHLB Step Notes	Morgan Stanley	3B2ZS5	20-May-13	20-May-20	2,500,000.00	2,393,400.00	0.750%
FHLB Notes	Wells Fargo Advisors	3B3B59	28-May-13	28-May-20	2,500,000.00	2,399,825.00	1.690%
FHLB Step notes	HSBC Brokerage	3B2U68	13-May-13	30-Oct-20	2,500,000.00	2,404,525.00	1.000%
FHLB Step Notes	Raymond James	3B3BE0	11-Jun-13	11-Dec-20	2,500,000.00	2,408,550.00	1.250%
NYCGEN	Raymond James	71MTN4	15-Nov-13	1-May-21	1,515,000.00	1,724,736.60	4.950%
NYSWTR	Raymond James	86AK75	6-Nov-13	15-Jun-21	360,000.00	393,994.80	4.638%
NYSHGR Notes	Raymond James	90ELE5	17-Oct-13	15-Feb-22	1,000,000.00	996,650.00	3.000%
NYCGEN	Raymond James	71MIKE	8-Nov-13	1-Aug-22	1,500,000.00	1,635,180.00	4.587%
NYSHGR Notes	Raymond James	807XW7	4-Feb-14	1-Dec-23	1,000,000.00	1,024,650.00	3.742%
Various	Cutwater Asset Management		Various	Various	11,550,000.00	11,565,887.80	
<b>SUBTOTAL INVESTED FUNDS:</b>					<b>\$ 79,495,000.00</b>	<b>\$ 78,931,272.40</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**March-14**

			FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-105010 &amp; 020</u></b>							
658451							
FHLB Discount Notes	BNY	313385	25-Feb-14	02-Jun-14	\$ 7,566,184.45	\$ 7,566,821.65	0.040%
		313397	25-Mar-14	02-Jun-14	2,157,917.28	2,157,892.10	
658450							
FHLB Discount Notes	BNY	313385	25-Feb-14	02-Jun-14	814,912.18	814,959.25	0.030%
		313397	25-Mar-14	02-Jun-14	270,989.61	270,956.45	
Cash Balance (combined tot)	BNY			31-Mar-14	879.89	879.89	
<b><u>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399518, 165040</u></b>							
FFCB Notes	BNY	3133EC	30-May-13	30-May-17	835,000.00	826,149.00	0.750%
Cash Balance	BNY			31-Mar-14	370.62	370.62	
<b><u>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)- 647403, 165120</u></b>							
US Treasury Note-SLGS	BNY	S35351	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.350%
Cash Balance	BNY			31-Mar-14	0.02	0.02	
<b><u>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</u></b>							
US Treasury Note-SLGS	BNY	S35401	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash Balance	BNY			31-Mar-14	25,002.03	25,002.03	
<b><u>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</u></b>							
US Treasury Bond-SLGS	BNY	S35378	15-Mar-11	15-Dec-19	46,667.00	46,887.00	2.350%
Cash Balance	BNY			31-Mar-14	0.00	0.00	
<b><u>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647804, GL 165180</u></b>							
US Treasury Note-SLGS	BNY	S35352	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.350%
Cash Balance	BNY			31-Mar-14	0.00	0.00	
<b><u>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647876, GL 165090</u></b>							
US Treasury Bond-SLGS	BNY	S35378	15-Mar-11	15-May-21	128,973.00	128,973.00	2.350%
Cash Balance	BNY			31-Mar-14	0.00	0.00	
<b><u>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 185200</u></b>							
FHLB Discount Notes	BNY	313385	04-Oct-13	04-Apr-14	645,869.36	646,000.00	0.030%
Cash Balance	BNY			31-Mar-14	481.37	481.37	
<b><u>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 185280</u></b>							
US Treasury Bond-SLGS	BNY	S35384	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.750%
Cash Balance	BNY			31-Mar-14	691.61	691.61	
<b><u>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)-296012, 165280</u></b>							
FHLB Discount Note	BNY	313385	04-Oct-13	04-Apr-14	447,909.40	448,000.00	0.030%
Cash Balance	BNY			31-Mar-14	2,833.95	2,833.95	
<b><u>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 399550, 165050</u></b>							
FFCB Notes	BNY	3133EC	30-May-13	30-May-17	8,753,000.00	8,660,218.20	0.750%
Cash Balance	BNY			31-Mar-14	29,246.52	809.02	
<b><u>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-- 296019, 165310</u></b>							
US Treasury Bond - SLGS	BNY		04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
Cash Balance	BNY			31-Mar-14	996.71	896.71	
<b><u>BOND FUND - 2004 A EFC RESERVE ACCOUNT(RESTRICTED), 296124, 165330</u></b>							
US Treasury Bond - SLGS	BNY	S35336	24-Jul-04	15-Aug-24	660,544.00	660,544.00	5.180%
Cash Balance	BNY			31-Mar-14	0.00	0.00	
<b><u>BOND FUND - 2005 A EFC RESERVE ACCOUNT(RESTRICTED), 295998, 165340</u></b>							
US Treasury Bond - SLGS	BNY	S35450	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash Balance	BNY			31-Mar-14	0.00	0.00	
<b><u>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 299137, 185500</u></b>							
FFCB Notes	BNY	3133EC	30-May-13	30-May-17	6,669,000.00	6,598,306.60	0.75%
Cash Balance	BNY			31-Mar-14	485.96	465.96	
<b><u>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 185370</u></b>							
FFCB Notes	BNY	3133EC	30-May-13	30-May-17	6,846,000.00	6,773,432.40	0.750%
Cash Balance	BNY			31-Mar-14	916.05	916.05	
<b><u>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 399507, 185400</u></b>							
FFCB Notes	BNY	3133EC	30-May-13	30-May-17	3,818,000.00	3,775,550.40	0.750%
Cash Balance	BNY			31-Mar-14	660.52	660.52	
<b><u>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 185480</u></b>							
FFCB Notes	BNY	3133EC	30-May-13	30-May-17	5,725,000.00	5,664,319.00	0.750%
Cash Balance	BNY			31-Mar-14	658.27	658.27	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**March-14**

			FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 388774, 165490</u></b>							
FPCB Notes	BNY	3133EC	30-May-13	30-May-17	9,248,000.00	9,147,992.40	0.750%
Cash Balance	BNY			31-Mar-14	788.32	788.32	
<b><u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 388772, 165490</u></b>							
FPCB Notes	BNY	3133EC	30-May-13	30-May-17	1,497,000.00	1,461,131.80	0.750%
Cash Balance	BNY			31-Mar-14	724.27	724.27	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 387853, 165550</u></b>							
FHLB Discount Notes	BNY	313385	05-Apr-13	03-Apr-14	2,427,813.36	2,431,000.00	0.120%
Cash Balance	BNY			31-Mar-14	764.57	764.57	
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 388273, 165580</u></b>							
FNMA Discount Notes	BNY	313589	02-Jul-13	30-May-14	8,339,515.96	8,348,532.55	0.000%
Cash Balance	BNY			31-Mar-14	7,790.99	7,790.99	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 558118, 165820</u></b>							
FHLB Discount Notes	BNY	313385	31-May-13	30-May-14	7,048,722.65	7,057,647.10	0.120%
Cash Balance	BNY			31-Mar-14	6,472.56	6,472.56	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND, (RESTRICTED) - 666285, 165232</u></b>							
FHLB Discount Notes	BNY	313385	05-Mar-14	31-Dec-14	6,637,848.75	6,637,948.38	0.160%
Cash Balance	BNY			31-Mar-14	285.05	285.05	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>					<b>\$ 84,078,888.26</b>	<b>\$ 83,613,463.06</b>	
<b>TOTAL INVESTED FUNDS:</b>					<b>\$ 163,574,898.26</b>	<b>\$ 162,544,735.46</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd,**  
**March 14**

Balances based on SAP Balances

		<u>INVESTMENT</u>	<u>YIELD</u>
<b><u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u></b>			
<u>OPERATING FUND-618-986022</u>	112001		
Money Market - JP Morgan Chase		530,865.83	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u>	112911		
Money Market - Capital One		54,676.13	0.30%
<u>REVENUE FUND -825-114624</u>	111905		
Money Market - JP Morgan Chase		5,957,818.99	0.10%
<u>GENERAL FUND-812-003519</u>	112907		
Money Market - JP Morgan Chase		374,073.99	0.10%
<u>GENERAL FUND MONEY MKT-616-002548</u>	112002		
Money Market JP Morgan Chase		131,528,909.03	0.13%
<u>2013A BANs MONEY MKT (RESTRICTED)-21788940</u>	112904		
Money Market Valley National Bank		3,126,416.95	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u>	112914		
Money Market Capital One		15,680,092.27	0.30%
<u>2013B BANs MONEY MKT (RESTRICTED) -944-580-530</u>	112919		
Money Market HSBC		7,331,291.53	0.15%
<u>OPERATING FUND MONEY MKT - 0282002609</u>	112918		
Money Market Bridgehampton National Bank		13,502,293.61	0.20%
<u>OPERATING FUND OPER - MONEY MKT - 168700450</u>	112930		
Money Market 1st National Bank of L. I.		5,001,314.00	0.35%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u>	112932		
Money Market Community National Bank		2,884,202.57	0.15%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS :		<u>\$ 186,071,954.90</u>	<u>\$ 186,071,954.90</u>
GRAND TOTAL :		<u>\$ 349,646,853.16</u>	<u>\$ 348,616,690.36</u>
TOTAL RESTRICTED FUNDS :		94,537,606.74	94,071,171.54
TOTAL UNRESTRICTED FUNDS :		255,109,246.42	254,545,518.82
GRAND TOTAL :		<u>\$ 349,646,853.16</u>	<u>\$ 348,616,690.36</u>



**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS**  
**April-14**

		<u>FROM</u>	<u>TO</u>	<u>INVESTMENT</u>	<u>INVESTMENTS @ FMV</u>	<u>COUPON RATE</u>
<b>OPERATING FUND</b>						
FHLMC Notes	Wells Fargo Advisors	27-Jul-12	27-Jul-17	\$ 5,000,000.00	\$ 4,979,350.00	1.000%
FHLB Step Notes	Morgan Stanley	30-Apr-14	30-Oct-17	2,500,000.00	2,503,875.00	0.750%
FHLB Step Notes	Morgan Stanley	30-Apr-14	30-Apr-19	3,000,000.00	3,002,220.00	1.125%
<b>GENERAL FUND</b>						
FNMA Notes	Morgan Keegan	6-Nov-12	6-Nov-15	2,000,000.00	2,003,020.00	0.500%
FNMA Notes	Wells Fargo Advisors	25-Feb-13	25-Aug-16	3,000,000.00	2,995,320.00	0.600%
FNMA Step Notes	Wells Fargo Advisors	1-Nov-12	1-Nov-16	2,000,000.00	1,992,760.00	0.600%
FNMA Notes	Wells Fargo Advisors	28-Feb-13	28-Aug-17	5,000,000.00	4,985,050.00	1.000%
FNMA Step Notes	Morgan Stanley	30-Oct-12	30-Oct-17	2,000,000.00	1,998,600.00	0.750%
FNMA Step Notes	Wells Fargo Advisors	13-Nov-12	13-Nov-17	2,500,000.00	2,485,950.00	0.750%
FNMA Step Notes	Morgan Keegan	21-Nov-12	21-Nov-17	2,000,000.00	1,990,540.00	0.750%
FHLMC Notes	HSBC Brokerage	4-Apr-13	11-Dec-17	2,000,000.00	1,972,780.00	1.000%
FNMA Step Notes	HSBC Brokerage	18-Dec-12	18-Dec-17	2,500,000.00	2,491,700.00	0.750%
FNMA Step Notes	Raymond James	11-Feb-13	22-Jan-18	3,000,000.00	2,982,510.00	0.700%
FNMA Step Notes	Raymond James	11-Feb-13	30-Jan-18	3,000,000.00	2,975,910.00	0.700%
FNMA Step Notes	Raymond James	28-May-13	29-May-18	3,000,000.00	2,878,510.00	0.750%
FHLB Notes	Morgan Stanley	13-Jun-13	13-Jun-18	2,000,000.00	1,954,180.00	1.100%
NASGEN Notes	Raymond James	18-Oct-13	15-Nov-18	1,000,000.00	990,100.00	1.778%
FHLB Notes	HSBC Brokerage	30-Oct-13	26-Sep-19	2,000,000.00	1,857,040.00	1.620%
FNMA Step Notes	HSBC Brokerage	29-Jun-12	27-Dec-19	5,000,000.00	4,991,850.00	1.250%
NYSHGR Notes	Raymond James	18-Oct-13	15-Feb-20	1,570,000.00	1,587,725.30	2.500%
FHLB Notes	HSBC Brokerage	17-May-13	15-May-20	2,500,000.00	2,418,400.00	1.540%
FHLB Step Notes	Morgan Stanley	20-May-13	20-May-20	2,500,000.00	2,416,975.00	0.750%
FHLB Notes	Wells Fargo Advisors	28-May-13	28-May-20	2,500,000.00	2,423,625.00	1.680%
FHLB Step notes	HSBC Brokerage	13-May-13	30-Oct-20	2,500,000.00	2,418,250.00	1.000%
FHLB Step Notes	Raymond James	11-Jun-13	11-Dec-20	2,500,000.00	2,430,775.00	1.250%
NYCGEN	Raymond James	15-Nov-13	1-May-21	1,815,000.00	1,720,994.55	4.850%
NYSEWTR	Raymond James	6-Nov-13	15-Jun-21	360,000.00	396,270.00	4.839%
NYSHGR Notes	Raymond James	17-Oct-13	15-Feb-22	1,000,000.00	1,012,470.00	3.000%
NYCGEN	Raymond James	8-Nov-13	1-Aug-22	1,500,000.00	1,645,560.00	4.587%
NYSHGR Notes	Raymond James	4-Feb-14	1-Dec-23	1,000,000.00	1,032,350.00	3.742%
Various	Cutwater Asset Management	Various	Various	11,550,000.00	11,596,658.00	
<b>SUBTOTAL INVESTED FUNDS:</b>				<b>\$ 83,495,000.00</b>	<b>\$ 83,332,316.85</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd**  
**April-14**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-858451 &amp; 858450-185010 &amp; 020</b>						
<b>658451</b>						
FHLB Discount Notes	BNY	25-Feb-14	02-Jun-14	\$ 9,723,161.08	\$ 9,723,708.28	0.000%
		25-Mar-14	02-Jun-14	2,157,917.28	2,157,936.28	0.000%
<b>658450</b>						
FHLB Discount Notes	BNY	25-Feb-14	02-Jun-14	1,088,909.21	1,086,967.39	0.000%
		25-Mar-14	02-Jun-14	270,989.61	270,991.87	0.000%
Cash Balance (combined total)	BNY		30-Apr-14	768.82	768.82	
<b>BOND FUND - SERIES 1984 SUB RESERVE FUND ACCOUNT (RESTRICTED) 399516, 165040</b>						
FFCB Notes	BNY	30-May-13	30-May-17	835,000.00	828,215.63	0.750%
Cash Balance	BNY		30-Apr-14	370.62	370.62	
<b>BOND FUND - 2011A (1999B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647403, 165120</b>						
US Treasury Note-SLGS	BNY	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash Balance	BNY		30-Apr-14	0.02	0.02	
<b>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</b>						
US Treasury Note-SLGS	BNY	24-Jun-10	01-Oct-18	290,275.00	290,275.00	2.980%
Cash Balance	BNY		30-Apr-14	25,002.03	25,002.03	
<b>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</b>						
US Treasury Bond-SLGS	BNY	15-Mar-11	15-Dec-18	46,667.00	46,667.00	2.360%
Cash Balance	BNY		30-Apr-14	0.00	0.00	
<b>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</b>						
US Treasury Note-SLGS	BNY	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash Balance	BNY		30-Apr-14	0.00	0.00	
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647976, GL 165090</b>						
US Treasury Bond-SLGS	BNY	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash Balance	BNY		30-Apr-14	0.00	0.00	
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED), 648252, GL 165200</b>						
FHLB Discount Notes	BNY	07-Apr-14	02-Apr-15	646,385.35	646,275.36	0.000%
Cash Balance	BNY		30-Apr-14	98.02	96.02	
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 185260</b>						
US Treasury Bond-SLGS	BNY	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.780%
Cash Balance	BNY		30-Apr-14	691.61	691.61	
<b>BOND FUND - 2011C (2002C) EFC RESERVE ACCOUNT (RESTRICTED)- 298012, 185280</b>						
FHLB Discount Note	BNY	07-Apr-14	02-Apr-15	450,571.55	450,494.88	0.000%
Cash Balance	BNY		30-Apr-14	262.40	262.40	
<b>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED) - 388550, 165050</b>						
FFCB Notes	BNY	30-May-13	30-May-17	8,753,000.00	8,681,881.88	0.750%
Cash Balance	BNY		30-Apr-14	28,246.52	809.02	
<b>BOND FUND - 2013B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)- 296018, 185310</b>						
US Treasury Bond - SLGS	BNY	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
Cash Balance	BNY		30-Apr-14	996.71	996.71	
<b>BOND FUND - 2004 A EFC RESERVE ACCOUNT(RESTRICTED), 296124, 185330</b>						
US Treasury Bond - SLGS	BNY	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash Balance	BNY		30-Apr-14	0.00	0.00	
<b>BOND FUND - 2005 A EFC RESERVE ACCOUNT(RESTRICTED), 299988, 165340</b>						
US Treasury Bond - SLGS	BNY	28-Jul-05	01-Oct-26	704,738.00	704,736.00	3.802%
Cash Balance	BNY		30-Apr-14	0.00	0.00	
<b>BOND FUND - 2005 SUB LIEN BOND SEC RESERVE FUND (RESTRICTED) 298137, 185500</b>						
FFCB Notes	BNY	30-May-13	30-May-17	6,669,000.00	6,614,814.38	0.75%
Cash	BNY		30-Apr-14	465.96	465.96	
<b>BOND FUND - 2004A RESERVE FUND ACCOUNT (RESTRICTED) - 350406, 165370</b>						
FFCB Notes	BNY	30-May-13	30-May-17	6,848,000.00	6,790,376.25	0.750%
Cash Balance	BNY		30-Apr-14	916.05	916.05	
<b>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 399507, 185400</b>						
FFCB Notes	BNY	30-May-13	30-May-17	3,816,000.00	3,784,995.00	0.750%
Cash Balance	BNY		30-Apr-14	660.52	660.52	
<b>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 389771, 165480</b>						
FFCB Notes	BNY	30-May-13	30-May-17	5,725,000.00	5,678,484.38	0.750%
Cash Balance	BNY		30-Apr-14	858.27	858.27	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**April-14**

		FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b><u>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	9,246,000.00	9,170,876.25	0.750%
Cash Balance	BNY		30-Apr-14	788.32	788.32	
<b><u>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</u></b>						
FFCB Notes	BNY	30-May-13	30-May-17	1,497,000.00	1,464,836.88	0.750%
Cash Balance	BNY		30-Apr-14	724.27	724.27	
<b><u>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</u></b>						
FHLB Discount Notes	BNY	07-Apr-14	02-Apr-15	2,431,687.70	2,431,350.79	0.000%
Cash Balance	BNY					
<b><u>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</u></b>						
FNMA Discount Notes	BNY	02-Jul-13	30-May-14	9,339,515.98	9,348,813.02	0.000%
Cash Balance	BNY		30-Apr-14	7,790.99	7,790.99	
<b><u>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 556118, 165820</u></b>						
FHLB Discount Notes	BNY	31-May-13	30-May-14	7,048,722.65	7,057,858.84	0.000%
Cash Balance	BNY		30-Apr-14	6,472.58	6,472.58	
<b><u>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 666265, 165232</u></b>						
FHLB Discount Notes	BNY	05-Mar-14	31-Dec-14	6,637,848.75	6,638,888.56	0.000%
Cash Balance	BNY		30-Apr-14	285.05	285.05	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>				<b>\$ 86,512,091.98</b>	<b>\$ 86,150,708.24</b>	<b>31.027%</b>
<b>TOTAL INVESTED FUNDS:</b>				<b>\$ 176,007,091.98</b>	<b>\$ 169,483,027.09</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS con'd.**  
**April 14**

		<u>INVESTMENT</u>		<u>YIELD</u>
<b><u>WORKING FUNDS - MONEY MARKET ACCOUNTS</u></b>				
<u>OPERATING FUND-618-986022</u>	112001			
Money Market - JP Morgan Chase		445,801.17	445,801.17	0.10%
<u>SPECIAL DEPOSIT-1824-000747</u>	112911			
Money Market - Capital One		116,224.46	116,224.46	0.30%
<u>REVENUE FUND -825-114624</u>	111805			
Money Market - JP Morgan Chase		2,042,605.01	2,042,605.01	0.10%
<u>GENERAL FUND-812-003519</u>	112907			
Money Market - JP Morgan Chase		5,602,539.22	5,602,539.22	0.10%
<u>GENERAL FUND MONEY MKT-818-002548</u>	112002			
Money Market JP Morgan Chase		74,022,548.03	74,022,548.03	0.13%
<u>2013A BANs MONEY MKT (RESTRICTED)-21788940</u>	112904			
Money Market Valley National Bank		3,127,068.29	3,127,068.29	0.25%
<u>OPERATING FUND MONEY MKT- 7047885250</u>	112914			
Money Market Capital One		19,681,058.44	19,681,058.44	0.30%
<u>2013B BANs MONEY MKT (RESTRICTED)-944-560-530</u>	112919			
Money Market HSBC		3,790,938.93	3,790,938.93	0.15%
<u>OPERATING FUND MONEY MKT - 0782002809</u>	112818			
Money Market Bridgehampton National Bank		10,502,203.12	10,502,203.12	0.20%
<u>OPERATING FUND OPEB - MONEY MKT - 166700450</u>	112930			
Money Market 1st National Bank of L.I.		4,001,150.88	4,001,150.88	0.35%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u>	112932			
Money Market Community National Bank		3,621,104.93	3,621,104.93	0.15%
<b>TOTAL WORKING FUND MONEY MARKET ACCOUNTS:</b>		<b>\$ 128,953,240.46</b>	<b>\$ 128,953,240.48</b>	
<b>GRAND TOTAL</b>		<b>\$ 286,960,332.46</b>	<b>\$ 286,436,267.57</b>	
<b>TOTAL RESTRICTED FUNDS</b>		<b>93,430,088.20</b>	<b>93,068,715.46</b>	
<b>TOTAL UNRESTRICTED FUNDS</b>		<b>203,530,233.26</b>	<b>203,367,552.11</b>	
<b>GRAND TOTAL</b>		<b>\$ 296,960,332.46</b>	<b>\$ 296,436,267.57</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS**  
**May-14**

		CUSIP	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>OPERATING FUND</b>							
FHLMC Notes	Wells Fargo Advisors	3134G3YX2	27-Jul-12	27-Jul-17	\$ 5,000,000.00	\$ 4,986,900.00	1.000%
FHLB Step Notes	Morgan Stanley	3130A1RV2	30-Apr-14	30-Oct-17	2,500,000.00	2,503,800.00	0.750%
FHLB Step Notes	Morgan Stanley	3130A1MN5	30-Apr-14	30-Apr-19	3,000,000.00	3,004,820.00	1.125%
<b>GENERAL FUND</b>							
FNMA Notes	Morgan Keegan	3135G0RS4	6-Nov-12	6-Nov-15	2,000,000.00	2,002,220.00	0.500%
FNMA Notes	Wells Fargo Advisors	3136G1E62	25-Feb-13	25-Aug-16	3,000,000.00	3,002,780.00	0.600%
FNMA Step Notes	Wells Fargo Advisors	3136G0Z20	1-Nov-12	1-Nov-16	2,000,000.00	1,997,760.00	0.600%
FHLMC Notes	Raymond James	3134G54J1	28-May-14	28-Nov-16	2,000,000.00	2,001,940.00	0.700%
FNMA Notes	Wells Fargo Advisors	3136G1EA3	28-Feb-13	28-Aug-17	5,000,000.00	4,986,150.00	1.000%
FNMA Step Notes	Morgan Stanley	3136G0322	30-Oct-12	30-Oct-17	2,000,000.00	2,000,100.00	0.750%
FNMA Step Notes	Wells Fargo Advisors	3136G03R0	13-Nov-12	13-Nov-17	2,500,000.00	2,490,475.00	0.750%
FHLB Step Notes	Wells Fargo Advisors	3130A1V96	20-May-14	20-Nov-17	2,000,000.00	1,996,200.00	0.750%
FNMA Step Notes	Morgan Keegan	3136G03Y5	21-Nov-12	21-Nov-17	2,000,000.00	1,995,060.00	0.750%
FNMA Notes	HSBC Brokerage	3134G3Z29	4-Apr-13	11-Dec-17	2,000,000.00	1,976,860.00	1.000%
FNMA Step Notes	HSBC Brokerage	3136G12F5	18-Dec-12	18-Dec-17	2,500,000.00	2,493,100.00	0.750%
FNMA Step Notes	Raymond James	3136G1AP4	11-Feb-13	22-Jan-18	3,000,000.00	2,986,080.00	0.700%
FNMA Step Notes	Raymond James	3136G1AJ8	11-Feb-13	30-Jan-18	3,000,000.00	2,981,790.00	0.700%
FHLB Notes	HSBC Brokerage	3130A1DV3	8-May-14	8-Feb-18	2,000,000.00	2,001,060.00	1.400%
FNMA Step Notes	Raymond James	3136G1MK2	29-May-13	29-May-18	3,000,000.00	2,983,140.00	0.750%
FHLB Notes	Morgan Stanley	3133B3BD2	13-Jun-13	13-Jun-18	2,000,000.00	1,965,740.00	1.100%
NASGEN Notes	Raymond James	631863MA5	16-Oct-13	15-Nov-18	1,000,000.00	987,020.00	1.776%
FHLB Notes	HSBC Brokerage	313380QK8	30-Oct-13	26-Sep-19	2,000,000.00	1,968,290.00	1.620%
FNMA Step Notes	HSBC Brokerage	3136G0PNS	29-Jun-12	27-Dec-18	5,000,000.00	5,003,200.00	1.250%
NYSHGR Notes	Raymond James	64990ELC9	16-Oct-13	15-Feb-20	1,570,000.00	1,803,535.20	2.500%
FHLB Notes	HSBC Brokerage	313382ZT3	17-May-13	15-May-20	2,500,000.00	2,435,950.00	1.540%
FHLB Step Notes	Morgan Stanley	313382Z55	20-May-13	20-May-20	2,500,000.00	2,436,000.00	0.750%
FHLB Notes	Wells Fargo Advisors	3133B3B58	28-May-13	28-May-20	2,500,000.00	2,446,025.00	1.690%
FHLB Step Notes	HSBC Brokerage	313382U68	13-May-13	30-Oct-20	2,500,000.00	2,435,725.00	1.000%
FHLB Step Notes	Raymond James	313383BED	11-Jun-13	11-Dec-20	2,500,000.00	2,448,350.00	1.250%
NYCGEN	Raymond James	64971MTN2	15-Nov-13	1-May-21	1,515,000.00	1,744,492.20	4.950%
NYSWTR	Raymond James	64886AK75	6-Nov-13	18-Jun-21	360,000.00	401,943.60	4.839%
NYSHGR Notes	Raymond James	64890ELE5	17-Oct-13	15-Feb-22	1,000,000.00	1,026,310.00	3.000%
NYCGEN	Raymond James	64871MKK5	8-Nov-13	1-Aug-22	1,500,000.00	1,669,350.00	4.587%
NYSHGR Notes	Raymond James	648907XW7	4-Feb-14	1-Dec-23	1,000,000.00	1,051,270.00	3.742%
Various	Cutwater Asset Management		Various	Various	11,550,000.00	11,617,703.04	
<b>SUBTOTAL INVESTED FUNDS:</b>					<b>\$ 89,495,000.00</b>	<b>\$ 89,651,008.04</b>	

**SUFFOLK COUNTY WATER AUTHORITY**  
**INVESTMENTS cont'd.**  
**May-14**

		CUSIP	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>SENIOR LIEN BOND FUND &amp; SECONDARY BOND FUND (RESTRICTED)-658451 &amp; 658450-165010 &amp; 020</b>							
658451							
FHLB Discount Notes	BNY	313385XP6	25-Feb-14	02-Jun-14	\$ 7,566,184.45	\$ 7,566,837.26	0.000%
FHLMC Discount Notes		313397XP1	25-May-14	02-Jun-14	2,157,917.28	2,157,999.85	0.000%
FHLB Discount Notes		313385XP6	24-Apr-14	02-Jun-14	2,156,976.63	2,157,182.74	0.000%
FHLMC Discount Notes		313397XP1	29-May-14	02-Jun-14	2,156,997.60	2,157,040.15	0.000%
658450							
FHLB Discount Notes	BNY	313385XP6	25-Feb-14	02-Jun-14	814,912.16	814,880.23	0.000%
FHLMC Discount Notes		313397XP1	25-Feb-14	02-Jun-14	270,989.81	271,000.00	0.000%
FHLB Discount Notes		313385XP6	24-Apr-14	02-Jun-14	271,887.05	272,019.77	0.000%
FNMA Discount Notes		313589XP3	28-May-14	02-Jun-14	270,999.70	271,000.00	0.000%
Cash Balance (combined total)	BNY			31-May-14	1,634.33	1,634.33	
<b>BOND FUND - SERIES 1994 SUB RESERVE FUND ACCOUNT (RESTRICTED)-399516, 165040</b>							
FFCB Securities	BNY	3133ECOT4	30-May-13	30-May-17	835,000.00	831,392.80	0.750%
Cash Balance	BNY			31-May-14	3,501.87	3,501.87	
<b>BOND FUND - 2011A (1998B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647403, 165120</b>							
US Treasury Note-SLGS	BNY	S35351090	15-Mar-11	15-Dec-17	243,889.00	243,889.00	2.360%
Cash Balance	BNY			31-May-14	0.02	0.02	
<b>BOND FUND - 2010C (1999A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647492, 165130</b>							
US Treasury Note-SLGS	BNY	S35401500	24-Jun-10	01-Oct-16	290,275.00	290,275.00	2.980%
Cash Balance	BNY			31-May-14	25,002.03	25,002.03	
<b>BOND FUND - 2011A (2000A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647767, GL 165110</b>							
US Treasury Bond-SLGS	BNY	S35378530	15-Mar-11	15-Dec-19	46,667.00	46,667.00	2.360%
Cash Balance	BNY			31-May-14	0.00	0.00	
<b>BOND FUND - 2011A (2000B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647904, GL 165180</b>							
US Treasury Note-SLGS	BNY	S35342370	15-Mar-11	15-Jul-20	318,889.00	318,889.00	2.360%
Cash Balance	BNY			31-May-14	0.00	0.00	
<b>BOND FUND - 2011A (2001A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-647978, GL 165090</b>							
US Treasury Bond-SLGS	BNY	S35378560	15-Mar-11	15-May-21	128,973.00	128,973.00	2.360%
Cash Balance	BNY			31-May-14	0.00	0.00	
<b>BOND FUND - 2011C (2001B) EFC BOND RESERVE ACCOUNT (RESTRICTED)-648252, GL 165290</b>							
FHLB Discount Notes	BNY	313384DV8	07-Apr-14	02-Apr-15	648,385.35	646,456.52	0.090%
Cash Balance	BNY			31-May-14	96.02	96.02	
<b>BOND FUND - 2012B (2002A) EFC BOND RESERVE ACCOUNT (RESTRICTED)-296010, 165260</b>							
US Treasury Bond-SLGS	BNY	S35394810	21-Jun-12	15-Oct-22	452,319.00	452,319.00	1.760%
Cash Balance	BNY			31-May-14	691.61	691.61	
<b>BOND FUND - 2011C (2002G) EFC RESERVE ACCOUNT (RESTRICTED)-296012, 165280</b>							
FHLB Discount Note	BNY	313384DV8	07-Apr-14	02-Apr-15	450,571.55	450,621.16	0.090%
Cash Balance	BNY			31-May-14	262.40	262.40	
<b>BOND FUND - SERIES 2003 RESERVE FUND ACCOUNT (RESTRICTED)-399550, 165050</b>							
FFCB Securities	BNY	3133ECOT4	30-May-13	30-May-17	8,753,000.00	8,715,187.04	0.750%
Cash Balance	BNY			31-May-14	33,632.77	33,632.77	
<b>BOND FUND - 2012B (2003B) EFC RESERVE ACCOUNT (RESTRICTED)-296019, 165310</b>							
US Treasury Bond - SLGS	BNY	S35340040	04-Nov-13	15-Jan-23	408,894.00	408,894.00	2.410%
Cash Balance	BNY			31-May-14	996.71	996.71	
<b>BOND FUND - 2004 A EFC RESERVE ACCOUNT (RESTRICTED)-296124, 165330</b>							
US Treasury Bond - SLGS	BNY	S35336890	24-Jul-04	15-Aug-24	660,544.00	660,544.00	4.635%
Cash Balance	BNY			31-May-14	0.00	0.00	
<b>BOND FUND - 2005 A EFC RESERVE ACCOUNT (RESTRICTED)-296396, 165340</b>							
US Treasury Bond - SLGS	BNY	S35450910	28-Jul-05	01-Oct-25	704,736.00	704,736.00	3.802%
Cash Balance	BNY			31-May-14	0.00	0.00	
<b>BOND FUND - 2005 SUB LIEN BOND SEC. RESERVE FUND (RESTRICTED)-296437, 165500</b>							
FFCB Securities	BNY	3133ECOT4	30-May-13	30-May-17	6,659,000.00	6,840,189.92	0.75%
Cash Balance	BNY			31-May-14	25,474.71	25,474.71	

SUFFOLK COUNTY WATER AUTHORITY  
 INVESTMENTS cont'd.  
 May-14

		CUSIP	FROM	TO	INVESTMENT	INVESTMENTS @ FMV	COUPON RATE
<b>BOND FUND - 2006A RESERVE FUND ACCOUNT (RESTRICTED) - 350408, 165370</b>							
FFCB Securities	BNY	3133ECQT4	30-May-13	30-May-17	8,848,000.00	8,816,425.28	0.750%
Cash Balance	BNY			31-May-14	26,588.55	26,588.55	
<b>BOND FUND - SERIES 2007A RESERVE FUND ACCT (RESTRICTED) - 399507, 165400</b>							
FFCB Securities	BNY	3133ECQT4	30-May-13	30-May-17	3,816,000.00	3,799,514.88	0.750%
Cash Balance	BNY			31-May-14	14,970.52	14,970.52	
<b>BOND FUND - 2009 A RESERVE FUND ACCOUNT (RESTRICTED) - 398771, 165480</b>							
FFCB Securities	BNY	3133ECQT4	30-May-13	30-May-17	5,725,000.00	5,700,268.00	0.750%
Cash Balance	BNY			31-May-14	22,127.02	22,127.02	
<b>BOND FUND - 2009 B RESERVE FUND ACCOUNT (RESTRICTED) - 398774, 165490</b>							
FFCB Securities	BNY	3133ECQT4	30-May-13	30-May-17	9,246,000.00	8,205,057.28	0.750%
Cash Balance	BNY			31-May-14	35,460.82	35,460.82	
<b>BOND FUND - 2009 REFUNDING RESERVE FUND ACCT (RESTRICTED) - 398772, 165450</b>							
FFCB Securities	BNY	3133ECQT4	30-May-13	30-May-17	1,497,000.00	1,490,532.96	0.750%
Cash Balance	BNY			31-May-14	6,338.02	6,338.02	
<b>BOND FUND - 2011 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 397953, 165550</b>							
FHLB Discount Notes	BNY	313384DV8	07-Apr-14	02-Apr-15	2,431,887.70	2,431,935.44	0.090%
Cash Balance	BNY			31-May-14	76.87	76.87	
<b>BOND FUND - 2012 REFUNDING RESERVE FUND ACCT. (RESTRICTED) - 398273, 165580</b>							
FHLB Deb Cons	BNY	3130A2604	30-May-14	29-May-15	9,352,329.26	9,352,329.09	0.125%
Cash Balance	BNY			31-May-14	4,429.26	4,429.26	
<b>BOND FUND - 2012A RESERVE FUND ACCT. (RESTRICTED) - 558118, 165620</b>							
FHLB Deb Cons	BNY	3130A2604	30-May-14	29-May-15	7,061,758.77	7,081,758.85	0.125%
Cash Balance	BNY			31-May-14	2,689.28	2,689.28	
<b>BOND FUND - 2013 REFUNDING RESERVE FUND. (RESTRICTED) - 556289, 165732</b>							
FHLB Discount Notes	BNY	313385V37	08-Mar-14	31-Dec-14	6,637,848.75	6,639,278.78	0.070%
Cash Balance	BNY			31-May-14	285.05	285.05	
<b>SUBTOTAL INVESTED FISCAL AGENT FUNDS:</b>					<b>\$ 88,083,999.72</b>	<b>\$ 88,909,409.66</b>	
<b>TOTAL INVESTED FUNDS:</b>					<b>\$ 178,588,999.72</b>	<b>\$ 178,560,418.70</b>	

SUFFOLK COUNTY WATER AUTHORITY  
 INVESTMENTS cont'd  
 May-14

		INVESTMENT	YIELD
<b>WORKING FUNDS - MONEY MARKET ACCOUNTS</b>			
<u>OPERATING FUND-618-986022</u>	112001		
Money Market - JP Morgan Chase		341,624.25	341,624.25 0.10%
<u>SPECIAL DEPOSIT-1824-000747</u>	112911		
Money Market - Capital One		284,876.96	284,876.96 0.25%
<u>REVENUE FUND -825-114824</u>	111905		
Money Market - JP Morgan Chase		3,473,158.60	3,473,158.60 0.10%
<u>GENERAL FUND-812-003519</u>	112907		
Money Market - JP Morgan Chase		5,318,672.86	5,318,672.86 0.10%
<u>GENERAL FUND MONEY MKT-816-002548</u>	112002		
Money Market JP Morgan Chase		57,521,896.73	57,521,896.73 0.11%
<u>GENERAL FUND MMKT (2013A BANS) MONEY MKT (RESTRICTED)-2178894</u>	112904		
Money Market Valley National Bank		3,127,719.78	3,127,719.78 0.25%
<u>OPERATING FUND MONEY MKT-7047895260</u>	112914		
Money Market Capital One		27,380,342.78	27,380,342.78 0.25%
<u>2013B BANS MONEY MKT (RESTRICTED) -944-580-530</u>	112918		
Money Market HSBC		451.80	451.80 0.15%
<u>GENERAL FUND MONEY MKT -944-580-530</u>	112920		
Money Market HSBC		2,000.00	2,000.00 0.15%
<u>OPERATING FUND MONEY MKT - 0282002608</u>	112918		
Money Market Bridgehampton National Bank		10,501,674.58	10,501,674.58 0.19%
<u>OPERATING FUND OPER - MONEY MKT - 166700450</u>	112930		
Money Market 1st National Bank of L.I.		4,001,189.20	4,001,189.20 0.35%
<u>OPERATING FUND RET - MONEY MKT - 19000371</u>	112932		
Money Market Community National Bank		4,375,088.05	4,375,088.05 0.15%
TOTAL WORKING FUND MONEY MARKET ACCOUNTS	:	<u>\$ 116,328,675.53</u>	<u>\$ 116,328,675.53</u>
GRAND TOTAL	:	<u>\$ 294,917,675.25</u>	<u>\$ 294,889,094.23</u>
TOTAL RESTRICTED FUNDS	:	92,222,171.28	92,037,129.42
TOTAL UNRESTRICTED FUNDS	:	202,695,503.97	202,851,964.81
GRAND TOTAL	:	<u>\$ 294,917,675.25</u>	<u>\$ 294,889,094.23</u>